

# OFFICE OF MENTAL HEALTH

## MISSION

The Office of Mental Health's (OMH) mission is to promote the mental health of all New Yorkers, with a particular focus on providing hope and recovery for adults and children with serious mental illness or emotional disturbances.

## ORGANIZATION AND STAFFING

The Office of Mental Health is headed by a Commissioner who is appointed by the Governor. New York's public mental health system consists of programs that are operated by the Office of Mental Health, as well as community programs certified and funded by the State, but operated by local governments, not-for-profit, and proprietary providers. As the State's mental health authority, OMH gives priority to ensuring access to high quality services for adults and children with severe mental illness or serious emotional disturbances. In addition, OMH promotes overall public mental health through education and advocacy. The Agency has four lines of business underpinning these functions:

- **Regulating, Certifying, Financing and Overseeing New York's Public Mental Health System.** OMH oversees 58 local governmental units, one of which covers New York City in its entirety. The Agency also regulates and/or licenses more than 2,500 mental health programs operated by local governments and private agencies serving more than 600,000 persons annually, including inpatient, outpatient, emergency, residential and family care, and community support services;
- **Providing State-operated Inpatient and Outpatient Mental Health Services.** OMH is a major provider of intermediate and long-term inpatient as well as outpatient treatment services through a network of 25 psychiatric centers that includes 16 Adult Psychiatric Centers and 6 Children's Psychiatric Centers. OMH operates 3 facilities serving forensic patients involved with the criminal justice system. Additionally, OMH provides mental health services in 23 sites around the State to inmates incarcerated in Department of Correctional Services' facilities;
- **Conducting Basic and Applied Research to Advance Prevention, Treatment, and Recovery.** OMH conducts basic and applied research at the New York State Psychiatric Institute in Manhattan and the Nathan S. Kline Institute for Psychiatric Research in Rockland County, with a focus on identifying interventions that have been proven by scientific research to be effective and that can be incorporated into mainstream practice. New York State's investment in research is augmented by many grants from Federal and other sources through the Research Foundation for Mental Hygiene (RFMH), Inc. Additionally, OMH's Office of Performance Measurement and Evaluation conducts evaluation research and statistical analysis which are used to examine service outcomes, investigate pressing mental health issues, and develop sound approaches for improving service quality and access; and

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- **Promoting Public Mental Health through Education and Outreach.** OMH supports a variety of educational activities focusing on the nature and impact of mental illness, effective treatments and services, useful preventive and coping strategies, and service accessibility. The Agency's information dissemination strategies are designed to reach as many New Yorkers as possible, with a particular focus on high-risk groups.

The Executive Budget recommends a staffing level of **16,169 FTEs**. This represents a decline of 128 staff from 2009-10. The vast majority of the Agency's workforce provides direct care services to patients.

### ***BUDGET HIGHLIGHTS***

The Executive Budget recommends **\$3.6 billion All Funds** (\$561 million General Fund; \$3.0 billion Other funds) for the Office of Mental Health (OMH). This is a net decrease of **\$132 million** (-3.5 percent).

OMH continues to improve the efficiency and cost-effectiveness of its operations and the network of non-profit providers it supports, and has ongoing efforts to reform or restructure activities based on a review of its core mission. In addition to savings initiatives undertaken in 2009-10 to control costs, including a significant slowdown in new residential bed development, major new budget actions to promote cost efficiency and rationalize reimbursement include:

- **Aid to Localities Efficiencies:** OMH will continue to restructure a variety of programs, and focus resources to emerging priorities. OMH will renew efforts to ensure that all appropriate third-party revenue standards for providers are maintained, and recover State funds with enhanced audit activities, including reconciliations, State aid letters and direct contract close-outs, and exempt income revenue in excess of operating funds, saving an estimated \$19 million. The Budget will remove prescription medications from the Medicaid rate setting methodology for Residential Treatment Facilities and instead bill on a fee-for-service basis saving \$.4 million in 2010-11 (\$.5 million annually).
- **State Operations Ward Closures and Efficiencies:** OMH will close a total of 8 adult inpatient wards (5 percent of capacity), shifting the staffing resources associated with 6 wards to less costly and more appropriate community programs, and converting 2 adult inpatient wards to a less staffing intensive outpatient residential level of care which provides support to transition individuals to community care. This will save an estimated \$9 million in 2010-11, growing to \$18 million in 2011-12, and reduce FTEs by 226;
- **OMH Forensics/Sex Offender Management Treatment Act (SOMTA) Restructuring:** The projected census for civilly confined sexual offenders is not to exceed 230 patients. As a result, forensics capacity will no longer be required at Manhattan Psychiatric Center, but will still be maintained at Central NY Psychiatric Center and St. Lawrence Psychiatric Center. In addition, the budget reflects the use of video conferencing to reduce costly transportation and security issues. The funding and workforce projections currently in the Financial Plan will be reduced by \$10 million in 2010-11 and 130 FTEs;
- **State Operations Efficiencies:** OMH will continue to reduce state operations costs through enhanced efforts to curtail all less critical NPS spending; increased use of alternative work schedules; reducing the use of stand-by/on call shifts; reducing overtime; converting information technology consultant staff to less

- costly State employees; reducing redundant reporting requirements; and reducing less critical staff via attrition. These actions will produce \$44 million of savings in 2010-11;
- **Continuation of Restructuring Efforts:** OMH will continue implementing the Ambulatory Care restructuring started in 2008-09, which will rationalize the reimbursement of providers of mental health clinical services. Additionally, OMH will focus on best practices modalities, including the conversion of programs to Personalized Recovery Oriented Services (PROS) and peer recovery and peer support services. Also, OMH was awarded a second \$6 million Federal grant to continue improving employment opportunities for individuals with mental illness;
  - **Forensic Services Funding:** OMH will continue to fund Residential Mental Health Units (RMHU) located in the Department of Correctional Services. The first unit opened in December 2009 in Marcy, NY and is designed to employ a range of security modalities and progressive therapies as an alternative to Special Housing Unit confinement, at a cost of \$3 million annually to support 28 FTEs. A second RMHU is scheduled to open at Five Points Correctional Facility in July 2011. The Budget also includes funding of \$7 million and 61 FTEs for the screening and evaluation of all incoming inmates for mental health issues; and
  - **Adult Home Litigation:** In response to a pending court decision, the Budget reflects a multi-year plan to provide additional Supported Housing for individuals leaving Adult Homes. In 2010-11, funding of \$1 million will begin assessments of impacted Adult Home residents (annualizing to \$2 million in 2011-12) to determine if residents are clinically appropriate for Supported Housing. Additionally, the Budget reflects support for an additional 1,000 Supported Housing units (200 per year starting in 2011-12). The full annual cost of the plan is \$20 million.

### **PROGRAM HIGHLIGHTS**

To live successfully, most individuals with serious mental illness need both treatments that manage or eliminate their psychiatric symptoms and support services that address the needs associated with the disabling effects of their illness. Public mental health services are grouped in four major categories across the health care continuum: community support, outpatient, inpatient, and emergency services. In New York State, both State and locally operated programs provide services in each of these four categories:

- **Community Support** helps individuals diagnosed with serious mental illness live as independently as possible in the community, and helps children with serious emotional disturbance remain with their families. These programs provide case management, vocational, self-help, residential and other support services. Although the specific array of community support services differs for adults and children, the goal is always to support successful and full community living;
- **Outpatient Services** provide treatment and rehabilitation in an ambulatory setting, including clinics, partial hospitalization, day treatment for children, continuing day treatment for adults, Assertive Community Treatment (ACT), Prepaid Mental Health Plan (PMHP), and Personalized Recovery-Oriented Services (PROS);

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- **Inpatient Services** provide acute stabilization and intensive treatment and rehabilitation with 24-hour care in a controlled environment when community services and supports do not meet the needs of adults and children; and
- **Emergency Services** provide rapid psychiatric and/or medical stabilization and ensure the safety of individuals who present a risk to themselves or others. These programs include a range of crisis counseling and residential services, as well as Comprehensive Psychiatric Emergency Programs.

In all mental health settings, the fundamental goal of OMH is to maximize access to quality mental health care for every single New Yorker. This includes bridging the gap between science and service; focusing on accountability for results, best practices, and coordination of care; and eliminating disparities and promoting culturally and linguistically competent services and supports.

### ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2009-10	Appropriations Recommended 2010-11	Change	Reappropriations Recommended 2010-11
State Operations	2,048,658,000	2,131,294,000	82,636,000	2,079,000
Aid To Localities	1,153,427,107	1,281,183,000	127,755,893	33,271,000
Capital Projects	576,543,000	234,291,000	(342,252,000)	1,931,019,000
Total	3,778,628,107	3,646,768,000	(131,860,107)	1,966,369,000

### ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Program	Full-Time Equivalent Positions (FTE)		
	2009-10 Estimated FTEs 03/31/10	2010-11 Estimated FTEs 03/31/11	FTE Change
Administration and Finance			
Special Revenue Funds - Federal	11	11	0
Special Revenue Funds - Other	651	651	0
Enterprise Funds	10	10	0
Internal Service Funds	20	20	0
Adult Services			
Special Revenue Funds - Other	11,169	11,041	(128)
Capital Planning			
Capital Projects Funds - Other	41	41	0
Children and Youth Services			
Special Revenue Funds - Other	1,956	1,956	0
Forensic Services			
Special Revenue Funds - Other	1,959	1,959	0
Research			
Special Revenue Funds - Other	480	480	0
Total	16,297	16,169	(128)

**STATE OPERATIONS  
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE  
APPROPRIATIONS  
(dollars)**

<u>Fund Type</u>	<u>Available 2009-10</u>	<u>Recommended 2010-11</u>	<u>Change</u>
General Fund	800,000	800,000	0
Special Revenue Funds - Federal	1,358,000	2,038,000	680,000
Special Revenue Funds - Other	2,035,140,000	2,117,040,000	81,900,000
Enterprise Funds	8,578,000	8,606,000	28,000
Internal Service Funds	2,782,000	2,810,000	28,000
Total	<u>2,048,658,000</u>	<u>2,131,294,000</u>	<u>82,636,000</u>

Adjustments:

Transfer(s) From Special Pay Bill General Fund	(800,000)
Appropriated 2009-10	<u>2,047,858,000</u>

**STATE OPERATIONS  
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM  
APPROPRIATIONS  
(dollars)**

<u>Program</u>	<u>Available 2009-10</u>	<u>Recommended 2010-11</u>	<u>Change</u>
Administration and Finance			
Special Revenue Funds - Federal	1,358,000	2,038,000	680,000
Special Revenue Funds - Other	105,293,000	102,076,000	(3,217,000)
Enterprise Funds	8,578,000	8,606,000	28,000
Internal Service Funds	2,782,000	2,810,000	28,000
Adult Services			
General Fund	800,000	800,000	0
Special Revenue Funds - Other	1,380,185,000	1,447,924,000	67,739,000
Children and Youth Services			
Special Revenue Funds - Other	220,211,000	227,257,000	7,046,000
Forensic Services			
Special Revenue Funds - Other	243,575,000	251,915,000	8,340,000
Research			
Special Revenue Funds - Other	85,876,000	87,868,000	1,992,000
Total	<u>2,048,658,000</u>	<u>2,131,294,000</u>	<u>82,636,000</u>

**STATE OPERATIONS - GENERAL FUND  
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED  
APPROPRIATIONS AND CHANGES  
2010-11 RECOMMENDED  
(dollars)**

<u>Program</u>	<u>Total</u>		<u>Supplies and Materials</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Adult Services	800,000	0	0	(800,000)
Total	<u>800,000</u>	<u>0</u>	<u>0</u>	<u>(800,000)</u>

<u>Program</u>	<u>Travel</u>	
	<u>Amount</u>	<u>Change</u>
Adult Services	800,000	800,000
Total	<u>800,000</u>	<u>800,000</u>

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## STATE OPERATIONS - OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 2010-11 RECOMMENDED (dollars)

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Administration and Finance	115,530,000	(2,481,000)	49,812,000	(2,571,000)
Adult Services	1,447,924,000	67,739,000	838,702,000	70,496,000
Children and Youth Services	227,257,000	7,046,000	137,318,000	4,423,000
Forensic Services	251,915,000	8,340,000	151,535,000	4,191,000
Research	87,868,000	1,992,000	49,138,000	844,000
Total	2,130,494,000	82,636,000	1,226,505,000	77,383,000

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration and Finance	65,718,000	1,090,000	0	(1,000,000)
Adult Services	606,057,000	(1,757,000)	3,165,000	(1,000,000)
Children and Youth Services	89,939,000	2,623,000	0	0
Forensic Services	100,380,000	4,149,000	0	0
Research	38,730,000	1,148,000	0	0
Total	900,824,000	7,253,000	3,165,000	(2,000,000)

## AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2009-10	Recommended 2010-11	Change
General Fund	531,740,296	560,254,000	28,513,704
Special Revenue Funds - Federal	43,839,000	46,807,000	2,968,000
Special Revenue Funds - Other	577,847,811	674,122,000	96,274,189
Total	1,153,427,107	1,281,183,000	127,755,893

Adjustments:

Recommended Deficiency	
Mental Health, Office of	
General Fund	(3,000,000)
Special Revenue Funds - Other	(29,000,000)
Appropriated 2009-10	1,121,427,107

## AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2009-10	Recommended 2010-11	Change
Adult Services			
General Fund	385,633,337	402,596,000	16,962,663
Special Revenue Funds - Federal	36,777,000	41,006,000	4,229,000
Special Revenue Funds - Other	493,869,216	578,703,000	84,833,784
Children and Youth Services			
General Fund	145,565,459	157,658,000	12,092,541
Special Revenue Funds - Federal	7,062,000	5,801,000	(1,261,000)
Special Revenue Funds - Other	75,684,579	87,153,000	11,468,421
Enhanced Community Services			
Special Revenue Funds - Other	500,000	500,000	0
Community Support and Workforce Reinvestment			
Special Revenue Funds - Other	7,794,016	7,766,000	(28,016)
Community Projects			
General Fund	541,500	0	(541,500)
Total	1,153,427,107	1,281,183,000	127,755,893

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**CAPITAL PROJECTS  
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM  
APPROPRIATIONS  
(dollars)**

<b>Comprehensive Construction Program</b>	<b>Available 2009-10</b>	<b>Recommended 2010-11</b>	<b>Change</b>	<b>Reappropriations 2010-11</b>
Design and Construction Supervision				
Capital Projects Fund	2,000,000	2,000,000	0	2,000,000
MH Capital Improvements - Authority Bonds	12,000,000	12,000,000	0	27,905,000
Executive Direction				
Capital Projects Fund	0	0	0	2,445,000
MH Capital Improvements - Authority Bonds	3,717,000	3,717,000	0	6,121,000
Community Mental Health Facilities				
Capital Projects Fund	6,000,000	6,000,000	0	36,678,000
MH Capital Improvements - Authority Bonds	5,630,000	5,639,000	9,000	760,463,000
Maintenance and Improvements of Existing Facilities				
Capital Projects Fund	29,010,000	28,600,000	(410,000)	58,760,000
MH Capital Improvements - Authority Bonds	517,186,000	175,335,000	(341,851,000)	1,031,602,000
Non-Bondable				
Capital Projects Fund	1,000,000	1,000,000	0	5,045,000
Total	<u>576,543,000</u>	<u>234,291,000</u>	<u>(342,252,000)</u>	<u>1,931,019,000</u>