

DIVISION OF HOMELAND SECURITY AND EMERGENCY SERVICES

MISSION

The new Division of Homeland Security and Emergency Services (HSES) is responsible for developing and coordinating a comprehensive all hazards strategy for preparedness and response to acts of terrorism and natural disasters. By consolidating functions that focus on disaster prevention, protection, preparedness response and recovery, HSES more closely aligns State resources to conform to the Federal Department of Homeland Security (DHS)/Federal Emergency Management Agency (FEMA) structure. In addition, the new structure provides greater coordination in the delivery of emergency services that meet the needs of local first responders.

The scope of this new agency's responsibility consolidates a broad range of related activities, which heretofore were separately managed by several State agencies, including: assessing the vulnerability of critical assets and systems, supporting the development of interoperable communications systems in New York State, and providing training and support to state and local first responders related to disaster preparedness and mitigation, incident management, and arson investigation. HSES will also coordinate the allocation of a broad range of State and Federal grants; leveraging multiple separate grant programs into a comprehensive whole that achieves a greater statewide impact. Finally, HSES will coordinate the collection and dissemination of counter-terrorism information, sharing that information for the benefit of all law enforcement agencies and other stakeholders in New York State.

ORGANIZATION AND STAFFING

The Division of Homeland Security and Emergency Services consolidates the Office of Homeland Security, the State Emergency Management Office, the Office of Cyber Security and Critical Infrastructure Coordination, the State 911 Board, the interoperable communications program of the Office for Technology, and the Office of Fire Prevention and Control. The new Division will have its central office in Albany, a satellite office in New York City, and five regional emergency management facilities throughout the State. In addition, the State Preparedness Training Center located outside Oriskany, New York, and the Academy of Fire Science in Montour Falls, Schuyler County will serve as key training facilities for first responders across the State. In 2010-11, the Division will have a combined workforce of 397.

BUDGET HIGHLIGHTS

The Executive Budget recommends **\$833 million All Funds** (\$12 million General Fund; \$628 million Federal Funds, \$42 million Capital Projects Funds, and \$151 million Other Funds) for the Division of Homeland Security and Emergency Services. An increase of \$291 million above 2009-10 funding levels reflects the transfer of nearly \$100 million into the new consolidated agency from other budgets. Another \$150 million in increased appropriations reflects new Federal and State grants expected to benefit local governments, as well as \$42 million in capital investments.

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Major budget actions include:

- **New Local Interoperable Communications Grants Program:** The Division of Homeland Security and Emergency Services will award up to \$50 million in new grants to county consortiums to assist them in the development of county-driven interoperable communications networks for use by both State and local first-responder agencies. These grants will be funded from a portion of the cellular surcharge revenues formally dedicated to the build-out of the Statewide Wireless Network.
- **Enhanced Training Facilities for First-Responders:** Over the next five years, the State will invest \$42 million in bonded capital to expand the existing State Preparedness Training Center in Oneida County, outside of Oriskany. This investment will advance the vision of a state-of-the-art training facility for State, local and Federal first-responders, and allow the SPTC to become the central location for preparedness training in the State of New York. By coordinating programs at the SPTC, the Academy of Fire Science in Montour Falls, and other applicable state agencies and training centers, the Division will offer high-quality, integrated multi-disciplinary training to first responders in a much more efficient and coordinated manner.
- **Communications Equipment for State Agencies:** The Executive Budget also includes \$30 million for the purchase of emergency communications equipment for the Division of State Police, Office of Parks, Recreation and Historic Preservation, and the Department of Environmental Conservation. This funding will enable these state agencies to replace and upgrade outdated equipment that plays a critical role in ensuring public safety.
- **Increase in Federal Award:** This budget reflects an additional \$100 million for potential increases in Federal Homeland Security Grant awards to the State. Through this program, New York State will receive approximately \$600 million during the next fiscal year. HSES will take a leadership role in maximizing Federal funding and ensuring distribution and use of Federal grant funds maximizes the support of the State's homeland security strategy and comprehensive emergency management plans.

PROGRAM HIGHLIGHTS

The 2010-11 Executive Budget proposes a merger of functions related to homeland security and emergency services, creating a more effective partner for both local and Federal partners – one which is uniquely focused on the support of first responders. While the primary missions of the currently separate organizations will be preserved as specialized offices within HSES, the merger will allow the State to leverage its existing resources as part of a more comprehensive strategy. The State expects to offer improved training for first-responders and a wide array of State and Federal grants programs, including new grants to support the development of regional interoperable communications networks in New York State. Programs and functions which will continue as State priorities within the new consolidated agency are highlighted below.

- **Federal Homeland Security Grant Programs:** Since 2001, over \$2 billion in Federal homeland security grants have been allocated, including over \$500 million in 2009. This includes the development and execution of over 1,300 contracts with State, local and other entities that comply with State and Federal contracting, reporting and oversight requirements. In addition, HSES will work with State

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agencies and the Urban Area Work Groups to submit a comprehensive application for Federal homeland security funds not later than 90 days after the release of federal guidance.

- **Intelligence Collection, Analysis and Dissemination:** HSES will continue to sustain the analysis and dissemination of relevant terrorism information to law enforcement agencies and other stakeholders through the New York State Intelligence Center's (NYSIC) Counter-Terrorism Center (CTC). The CTC provides all-source intelligence support for law enforcement in counter-terrorism matters. In addition, HSES will continue to work closely with numerous Federal, State, local, tribal and Canadian authorities to facilitate a secure border. Federal agencies that HSES works closely with include the FBI, Immigration and Customs Enforcement (ICE), Customs and Border Protection (CBP), the Transportation Security Administration (TSA) and the U.S. Coast Guard. Finally, HSES will sustain information sharing efforts with the Canadian Provinces of Quebec and Ontario.
- **Threat Based Asset Management System:** HSES will continue development of the Threat Based Asset Management System (TBAMS) and the Critical Infrastructure Suspicious Activity Reporting (CI-SAR) system. CI-SAR is a tool for Intelligence Analysts and the resulting Suspicious Activity Reporting data is being integrated with several other states' data under the Federal Office of the Director for National Intelligence. HSES will sustain broader information sharing applications using the Homeland Security Information Network – New York (HSIN-NY).
- **Critical Infrastructure/Key Resources:** The Office's Critical Infrastructure Protection staff continue to conduct security assessments of critical infrastructure in New York State to determine gaps and develop potential solutions. HSES will continue the effort, begun in 2008, to integrate threat and risk analysis and intelligence into the planning for critical infrastructure protection. In doing so, the HSES Critical Infrastructure Program, will work in conjunction with the HSES Intelligence Division, the NYSIC and the DHS Homeland Infrastructure Threat and Risk Analysis Center (HITRAC).
- **Fire Prevention and Control:** The Office of Fire Prevention and Control will continue to provide its core functions of training and technical support for fire fighters, specialized arson investigation training, and fire safety inspections at colleges and State buildings. Further, low interest loans to fire and ambulance companies will continue through the Emergency Revolving Loan Program.
- **Enhancing First Responder Capabilities Through Training and Exercises:** In 2010, HSES will sustain first responder capabilities by training or directly supporting the training of more than 4,500 students at the State Preparedness Training Center (SPTC) in Oriskany. In 2008, the SPTC received accreditation from the Commission on Accreditation for Law Enforcement Agencies (CALEA), only the second training center in New York State to receive this prestigious recognition. In addition, HSES will deliver or facilitate more than 200 courses to train over 6,000 first responders at the SPTC, the Academy of Fire Science, and other locations throughout the State. Expansion plans for the SPTC include a

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Weapons Training Complex and a Simulations Complex to include a converted 200'x200' hanger complete with interior and exterior streets, business facades, apartments and offices. This simulated "Cityscape" will provide first responders with a state-of-the-art, real-life training environment.

- **NY Alert emergency notification system.** Beginning in 2007-08, a statewide solution to emergency notifications benefiting schools, State University of New York campuses, and citizens across the State was established by the State Emergency Management Office. This function is preserved in HSES, with enhancements allowing for increased enrollments, greater use of GIS in the programs, and expanded communications mediums that allow NY Alert to reach more citizen in times of emergency.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2009-10	Appropriations Recommended 2010-11	Change	Reappropriations Recommended 2010-11
State Operations	29,131,000	106,428,000	77,297,000	31,145,000
Aid To Localities	512,362,700	684,417,700	172,055,000	2,801,369,700
Capital Projects	0	42,000,000	42,000,000	0
Total	<u>541,493,700</u>	<u>832,845,700</u>	<u>291,352,000</u>	<u>2,832,514,700</u>

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Full-Time Equivalent Positions (FTE)

Program	2009-10 Estimated FTEs 03/31/10	2010-11 Estimated FTEs 03/31/11	FTE Change
Administration			
General Fund	92	80	(12)
Special Revenue Funds - Other	0	20	20
Cyber Security and Critical Infrastructure Coordination Program			
General Fund	40	44	4
Special Revenue Funds - Federal	4	4	0
Emergency Management			
General Fund	0	25	25
Special Revenue Funds - Federal	0	62	62
Special Revenue Funds - Other	0	10	10
Homeland Security Program			
Special Revenue Funds - Federal	40	40	0
Interop Program			
Special Revenue Funds - Other	0	8	8
Fire Prevention and Control			
Special Revenue Funds - Other	0	104	104
Total	<u>176</u>	<u>397</u>	<u>221</u>

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STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2009-10	Recommended 2010-11	Change
General Fund	18,222,000	11,949,000	(6,273,000)
Special Revenue Funds - Federal	3,300,000	9,111,000	5,811,000
Special Revenue Funds - Other	6,109,000	33,368,000	27,259,000
Enterprise Funds	0	50,000,000	50,000,000
Internal Service Funds	1,500,000	2,000,000	500,000
Total	<u>29,131,000</u>	<u>106,428,000</u>	<u>77,297,000</u>

Adjustments:

Transfer(s) From	
State, Department of	
Special Revenue Funds - Federal	(3,300,000)
Special Revenue Funds - Other	(1,408,000)
Appropriated 2009-10	<u>24,423,000</u>

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2009-10	Recommended 2010-11	Change
Administration			
General Fund	13,101,000	6,407,000	(6,694,000)
Special Revenue Funds - Other	0	13,470,000	13,470,000
Cyber Security and Critical Infrastructure Coordination Program			
General Fund	5,121,000	3,421,000	(1,700,000)
Special Revenue Funds - Other	4,701,000	12,800,000	8,099,000
Internal Service Funds	1,500,000	2,000,000	500,000
Disaster Assistance			
Special Revenue Funds - Federal	0	4,786,000	4,786,000
Emergency Management			
General Fund	0	2,121,000	2,121,000
Special Revenue Funds - Federal	0	1,025,000	1,025,000
Special Revenue Funds - Other	0	3,703,000	3,703,000
Enterprise Funds	0	50,000,000	50,000,000
Interop Program			
Special Revenue Funds - Other	0	2,000,000	2,000,000
Fire Prevention and Control			
Special Revenue Funds - Federal	3,300,000	3,300,000	0
Special Revenue Funds - Other	1,408,000	1,395,000	(13,000)
Total	<u>29,131,000</u>	<u>106,428,000</u>	<u>77,297,000</u>

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STATE OPERATIONS - GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2010-11 RECOMMENDED (dollars)

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Administration	6,107,000	(1,820,000)	5,828,000	(1,820,000)
Cyber Security and Critical Infrastructure Coordination Program	2,421,000	178,000	2,412,000	178,000
Emergency Management	2,121,000	2,121,000	2,044,000	2,044,000
Total	<u>10,649,000</u>	<u>479,000</u>	<u>10,284,000</u>	<u>402,000</u>

Program	Temporary Service (Nonannual Salaried)		Holiday/Overtime Pay	
	Amount	Change	Amount	Change
Administration	262,000	0	17,000	0
Cyber Security and Critical Infrastructure Coordination Program	0	0	9,000	0
Emergency Management	40,000	40,000	37,000	37,000
Total	<u>302,000</u>	<u>40,000</u>	<u>63,000</u>	<u>37,000</u>

STATE OPERATIONS - GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2010-11 RECOMMENDED (dollars)

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Administration	300,000	(4,874,000)	20,000	(911,000)
Cyber Security and Critical Infrastructure Coordination Program	1,000,000	(1,878,000)	30,000	0
Total	<u>1,300,000</u>	<u>(6,752,000)</u>	<u>50,000</u>	<u>(911,000)</u>

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration	0	0	260,000	(3,015,000)
Cyber Security and Critical Infrastructure Coordination Program	15,000	0	850,000	(1,878,000)
Total	<u>15,000</u>	<u>0</u>	<u>1,110,000</u>	<u>(4,893,000)</u>

Program	Equipment		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration	20,000	(828,000)	0	(120,000)
Cyber Security and Critical Infrastructure Coordination Program	105,000	0	0	0
Total	<u>125,000</u>	<u>(828,000)</u>	<u>0</u>	<u>(120,000)</u>

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STATE OPERATIONS - OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 2010-11 RECOMMENDED (dollars)

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Administration	13,470,000	13,470,000	1,000,000	1,000,000
Cyber Security and Critical Infrastructure				
Coordination Program	14,800,000	8,599,000	1,321,000	753,000
Disaster Assistance	4,786,000	4,786,000	2,200,000	2,200,000
Emergency Management	54,728,000	54,728,000	1,139,000	1,139,000
Interop Program	2,000,000	2,000,000	1,000,000	1,000,000
Fire Prevention and Control	4,695,000	(13,000)	505,000	(11,000)
Total	<u>94,479,000</u>	<u>83,570,000</u>	<u>7,165,000</u>	<u>6,081,000</u>

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration	4,500,000	4,500,000	7,970,000	7,970,000
Cyber Security and Critical Infrastructure				
Coordination Program	13,479,000	7,846,000	0	0
Disaster Assistance	2,586,000	2,586,000	0	0
Emergency Management	2,564,000	2,564,000	51,025,000	51,025,000
Interop Program	0	0	1,000,000	1,000,000
Fire Prevention and Control	4,190,000	(2,000)	0	0
Total	<u>27,319,000</u>	<u>17,494,000</u>	<u>59,995,000</u>	<u>59,995,000</u>

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2009-10	Recommended 2010-11	Change
Special Revenue Funds - Federal	500,000,000	618,363,000	118,363,000
Special Revenue Funds - Other	12,362,700	66,054,700	53,692,000
Total	512,362,700	<u>684,417,700</u>	<u>172,055,000</u>

Adjustments:	
Transfer(s) From	
State, Department of	
Special Revenue Funds - Other	(12,362,700)
Appropriated 2009-10	<u>500,000,000</u>

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2009-10	Recommended 2010-11	Change
Emergency Management			
Special Revenue Funds - Federal	0	18,363,000	18,363,000
Special Revenue Funds - Other	0	2,967,000	2,967,000
Homeland Security Program			
Special Revenue Funds - Federal	500,000,000	600,000,000	100,000,000
Interop Program			
Special Revenue Funds - Other	0	50,000,000	50,000,000
Fire Prevention and Control			
Special Revenue Funds - Other	12,362,700	13,087,700	725,000
Total	<u>512,362,700</u>	<u>684,417,700</u>	<u>172,055,000</u>

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**CAPITAL PROJECTS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

Comprehensive Construction Program	Available 2009-10	Recommended 2010-11	Change	Reappropriations 2010-11
Design and Construction Supervision				
Capital Projects Fund	0	42,000,000	42,000,000	0
Total	0	42,000,000	42,000,000	0