

OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES

MISSION

The mission of the Office of Alcoholism and Substance Abuse Services (OASAS) is to improve the lives of New Yorkers by leading a premier system of services for addiction prevention, treatment, and recovery.

ORGANIZATION AND STAFFING

Headed by a Commissioner appointed by the Governor, OASAS is responsible for the development and management of the State's policy on chemical dependence and compulsive gambling. The Office exercises these responsibilities directly as a provider of treatment services through a statewide system of 13 Addiction Treatment Centers (ATCs), through the regulation and oversight of over 1,500 chemical dependence and compulsive gambling prevention, treatment, and recovery services providers, the largest in the nation, and as a conduit for Federal and State financial assistance. The Office also furthers State policy goals in areas that include Public Safety, Public Health, Public Welfare, and Public Education by coordinating chemical dependence and compulsive gambling resources and strategies in collaboration with other State agencies.

BUDGET HIGHLIGHTS

The 2008-09 Executive Budget recommends over **\$748 million All Funds (including \$151M General Fund; \$597M Other Funds)** for OASAS to continue agency and community operations, including the full operation of its 13 ATCs. This funding is supplemented by Federal and State resources budgeted in other State agencies, and by the contributions of local governments, voluntary agencies and other sources. Overall, the recommended budget increases **\$91 million from 2007-08**, which is largely attributable to technical adjustments associated with a major restructuring of Medicaid resources designed to improve transparency in the budget. Excluding such technical adjustments, the increase over 2007-08 is **\$63 million**, of which nearly \$30 million is due to employee fringe benefits now budgeted in OASAS. The remaining net change primarily reflects the annualization of prior year initiatives, savings associated with program efficiencies, and targeted investments, as outlined below.

In 2008-09, OASAS will have a workforce of 1,010, of which nearly 60 percent provide an array of clinical treatment services through the ATCs. This represents an **increase of 20 FTEs** over 2007-08, primarily due to enhanced clinical services in the ATCs and increased administrative oversight of the OASAS Service System.

- **Eliminating Unnecessary Spending and Non-Critical Programs.** By collaborating with the Office of the State Medicaid Inspector General and the Department of Health (DOH) in the area of Medicaid regulatory compliance and enforcement, OASAS will save an additional \$10 million in wasteful, inappropriate or unnecessary spending in DOH's budget. Further, annual savings of \$1 million will be realized by improving provider performance reviews.

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- **Identifying State Operations Efficiencies.** OASAS will also achieve \$2.6 million in savings by maintaining strict controls on hiring and engaging in such creative management strategies as shared services, energy efficiencies and exploring bulk information technology purchasing with other agencies.
- **Reforming Services to High-Cost Populations.** The 2008-09 recommendation provides \$1.5 million — annualizing to \$3 million — to help OASAS expand intensive care coordination initiatives with the Office of Mental Health, DOH and other stakeholders to improve patient care and outcomes, particularly for individuals with both mental illness and chemical dependence, with the establishment of a co-occurring disorders program and the addition of three county-based Dual Diagnosis Coordinators. For the State-operated service system, new resources will permit OASAS to replicate a successful swing bed detoxification program at its Ward ATC, as well as enhance necessary clinical staffing to address more complex client needs at its ATCs. In conjunction with the Office of Temporary and Disability Assistance (OTDA), OASAS will also explore opportunities to streamline processes and funding associated with the provision of chemical dependence treatment services to public assistance recipients in OASAS-certified residential facilities.
- **Reforming Detoxification Services.** OASAS will continue to collaborate with DOH, other State agencies and service providers to redesign the delivery of detoxification services to promote recovery and better integrate reimbursement with outcomes. One goal is to strengthen the ability of community-based detoxification providers to deal with less complicated detoxification episodes.
- **Enhancing Community-Based Services.** OASAS will develop 21 community recovery centers statewide over the next three years — at a full annualized cost of \$2.7 million — to help persons in recovery and their families with emotional counseling, as well as information, recreation and other social supports to break the addiction cycle and maintain sobriety. In addition, the 2008-09 recommendation permits OASAS to address unmet residential need by providing operating support to establish 125 scattered site apartments with case management services and capital funds to develop 120 community residential and supportive livings beds. Lastly, the Budget supports the expansion of Compulsive Gambling Prevention programs to an additional 18 counties in 2008-09 and an additional 19 counties in 2009-10, that when fully annualized will cost \$2.3 million.
- **Improving Program Outcomes and Oversight.** Eleven additional State staff are recommended to support the agency in a variety of areas, including new residential program expansion, Compulsive Gambling Prevention expansion, enhancing its program evaluation capabilities and establishing a multi-year program to develop and deliver training programs to enhance the competencies of professionals in the addictions and compulsive gambling fields.

The recommendation also continues to fund several prior year priorities and, therefore, fully supports the third year of a three-year cost of living adjustment (COLA) tied to the Consumer Price Index for existing funded providers of chemical dependence and compulsive gambling services. This COLA will be used by OASAS to enhance funding by about \$12 million in 2008-09 for localities and not-for-profit providers to strengthen efforts to recruit and retain qualified direct care and clinical staff and respond to other inflationary pressures. Most importantly, this Budget also extends the COLA for another three years through March 31, 2012, to continue efforts to address recruitment and retention issues and provide relief for escalating energy, pharmaceutical, insurance, and utility costs.

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In addition, the Executive Budget recognizes that preserving the infrastructure of the current statewide service network is critical and therefore continues an investment of \$120 million in bonded capital funds over the next several years to either relocate or extensively renovate existing chemical dependence treatment programs, whose aging infrastructure results in the inefficient delivery of treatment services and jeopardizes the health and safety of clients and staff.

PROGRAM HIGHLIGHTS

OASAS administers a comprehensive array of prevention, treatment, and recovery services for New Yorkers. This is accomplished through a highly qualified network of State, local government and voluntary agencies and school districts. In accordance with Federal and State statute, OASAS licenses and regulates program providers, ensures that fiscal resources are appropriately spent, and assists local programs in providing the highest quality services.

Approximately 260,000 individuals receive services annually in New York State's licensed chemical dependence treatment and compulsive gambling system, which is provided by approximately 1,200 community-based programs. About 110,000 individuals are enrolled in New York's chemical dependence treatment programs on any given day. OASAS also provides funding to approximately 300 prevention providers who utilize science-based, outcome-oriented programs focusing on such risk factors as family conflict, permissive attitudes towards alcohol and substance abuse, and lack of commitment to school, which research shows are predictive of adolescent problem behaviors like alcohol and substance abuse, delinquency, teen pregnancy, school drop-out and violence. While reducing these risk factors, prevention programs also focus on nurturing healthy beliefs and clear standards within community and family, since combining both activities is crucial to reducing the prevalence of problem behaviors like alcohol and substance abuse.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2007-08	Appropriations Recommended 2008-09	Change	Reappropriations Recommended 2008-09
State Operations	61,983,000	132,079,000	70,096,000	6,445,000
Aid To Localities	475,499,000	494,981,000	19,482,000	201,130,000
Capital Projects	119,606,000	121,336,000	1,730,000	269,059,000
Total	<u>657,088,000</u>	<u>748,396,000</u>	<u>91,308,000</u>	<u>476,634,000</u>

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ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Program	Full-Time Equivalent Positions (FTE)		
	2007-08	2008-09	FTE Change
	Estimated FTEs 03/31/08	Estimated FTEs 03/31/09	
Executive Direction			
Special Revenue Funds - Federal	81	81	0
Special Revenue Funds - Other	349	360	11
Capital-Administration			
Capital Projects Funds - Other	8	8	0
Institutional Services			
Special Revenue Funds - Federal	16	16	0
Special Revenue Funds - Other	536	545	9
Total	990	1,010	20

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available	Recommended	Change
	2007-08	2008-09	
Special Revenue Funds - Federal	6,445,000	6,445,000	0
Special Revenue Funds - Other	55,538,000	125,634,000	70,096,000
Total	61,983,000	132,079,000	70,096,000

Adjustments:	
Transfer(s) From	
Alcoholism and Substance Abuse Services, Office of General Fund	(54,206,000)
Special Revenue Funds - Other	(21,500,000)
Transfer(s) To	
Alcoholism and Substance Abuse Services, Office of General Fund	21,500,000
Special Revenue Funds - Other	54,206,000
Health, Department of General Fund (Aid To Localities)	27,837,000
Appropriated 2007-08	89,820,000

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available	Recommended	Change
	2007-08	2008-09	
Executive Direction			
Special Revenue Funds - Federal	5,245,000	5,245,000	0
Special Revenue Funds - Other	9,232,000	62,298,000	53,066,000
Institutional Services			
Special Revenue Funds - Federal	1,200,000	1,200,000	0
Special Revenue Funds - Other	46,306,000	63,336,000	17,030,000
Total	61,983,000	132,079,000	70,096,000

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STATE OPERATIONS - OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 2008-09 RECOMMENDED (dollars)

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Executive Direction	67,543,000	53,066,000	36,686,000	24,708,000
Institutional Services	64,536,000	17,030,000	34,121,000	632,000
Total	<u>132,079,000</u>	<u>70,096,000</u>	<u>70,807,000</u>	<u>25,340,000</u>

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Executive Direction	30,167,000	28,358,000	690,000	0
Institutional Services	30,415,000	16,398,000	0	0
Total	<u>60,582,000</u>	<u>44,756,000</u>	<u>690,000</u>	<u>0</u>

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2007-08	Recommended 2008-09	Change
General Fund	145,337,000	151,478,000	6,141,000
Special Revenue Funds - Federal	135,468,000	135,473,000	5,000
Special Revenue Funds - Other	194,694,000	208,030,000	13,336,000
Total	<u>475,499,000</u>	<u>494,981,000</u>	<u>19,482,000</u>

Adjustments:

Transfer(s) From	
Alcoholism and Substance Abuse Services, Office of General Fund	(186,654,000)
Transfer(s) To	
Alcoholism and Substance Abuse Services, Office of Special Revenue Funds - Other	<u>186,654,000</u>
Appropriated 2007-08	<u>475,499,000</u>

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2007-08	Recommended 2008-09	Change
Community Treatment Services Program			
General Fund	144,253,000	151,478,000	7,225,000
Special Revenue Funds - Federal	102,413,000	102,418,000	5,000
Special Revenue Funds - Other	126,552,000	135,698,000	9,146,000
Prevention and Program Support			
Special Revenue Funds - Federal	33,055,000	33,055,000	0
Special Revenue Funds - Other	68,142,000	72,332,000	4,190,000
Community Projects			
General Fund	1,084,000	0	(1,084,000)
Total	<u>475,499,000</u>	<u>494,981,000</u>	<u>19,482,000</u>

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CAPITAL PROJECTS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

<u>Comprehensive Construction Program</u>	<u>Available 2007-08</u>	<u>Recommended 2008-09</u>	<u>Change</u>	<u>Reappropriations 2008-09</u>
Design and Construction Supervision				
Capital Projects Fund	4,000,000	2,000,000	(2,000,000)	4,403,000
MH Capital Improvements - Authority Bonds	500,000	500,000	0	500,000
Administration				
Capital Projects Fund	1,200,000	1,230,000	30,000	0
Community Alcoholism and Substance Abuse Facilities				
Capital Projects Fund	4,810,000	4,810,000	0	23,416,000
MH Capital Improvements - Authority Bonds	106,846,000	108,546,000	1,700,000	219,228,000
Institutional Services Program				
Capital Projects Fund	500,000	500,000	0	2,472,000
MH Capital Improvements - Authority Bonds	1,000,000	3,000,000	2,000,000	19,040,000
Non-Bondable				
Capital Projects Fund	750,000	750,000	0	0
Total	<u>119,606,000</u>	<u>121,336,000</u>	<u>1,730,000</u>	<u>269,059,000</u>