

***PUBLIC PROTECTION  
AND GENERAL  
GOVERNMENT***

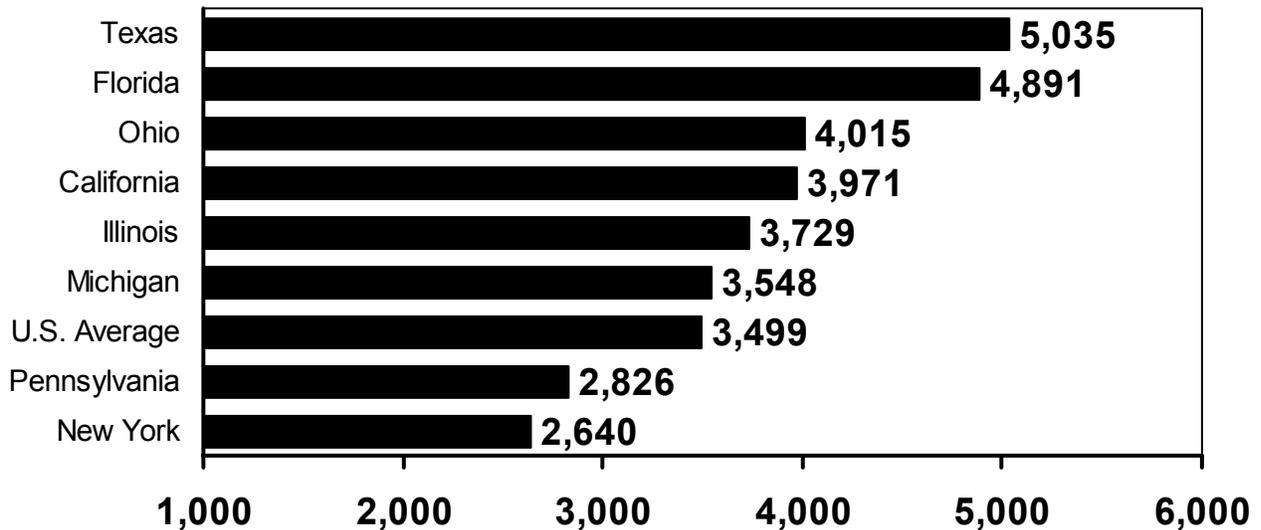


# OVERVIEW AND PERFORMANCE MEASURES

## CRIMINAL JUSTICE

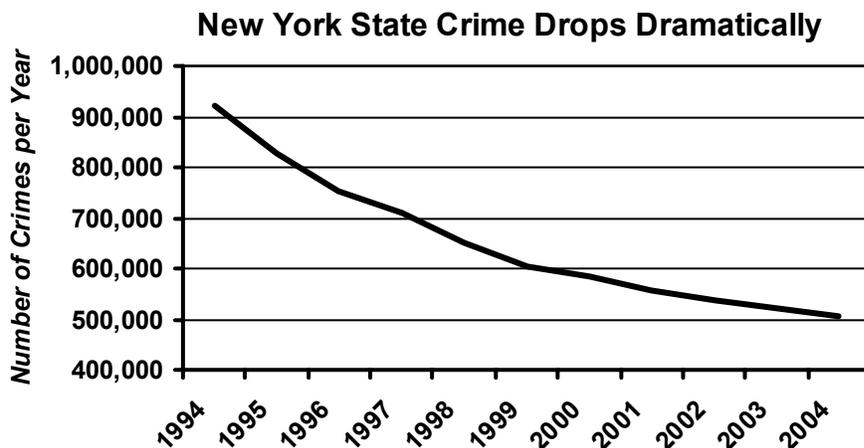
New York is the safest large state in the nation. Among the eight states with a population of 10 million or more, New York has the lowest crime rate. The crime rate in New York was 7 percent lower in 2004 than the next lowest state, Pennsylvania, and was 48 percent lower than the highest state, Texas.

### New York State Crime Rate



Source: FBI, Crime in the United States 2004  
 Rates based on crimes per 100,000 resident population for index crimes (murder, rape, robbery, aggravated assault, burglary, larceny, and motor vehicle theft) reported to the FBI

Since Governor Pataki took office in 1995, major crimes have fallen every year to the lowest levels recorded since statewide reporting began nearly 40 years ago. In 2004, there were 413,630 fewer crimes reported than in 1994. While 2005 crime data are still being



Source: FBI, Crime in the United States 1994 - 2004

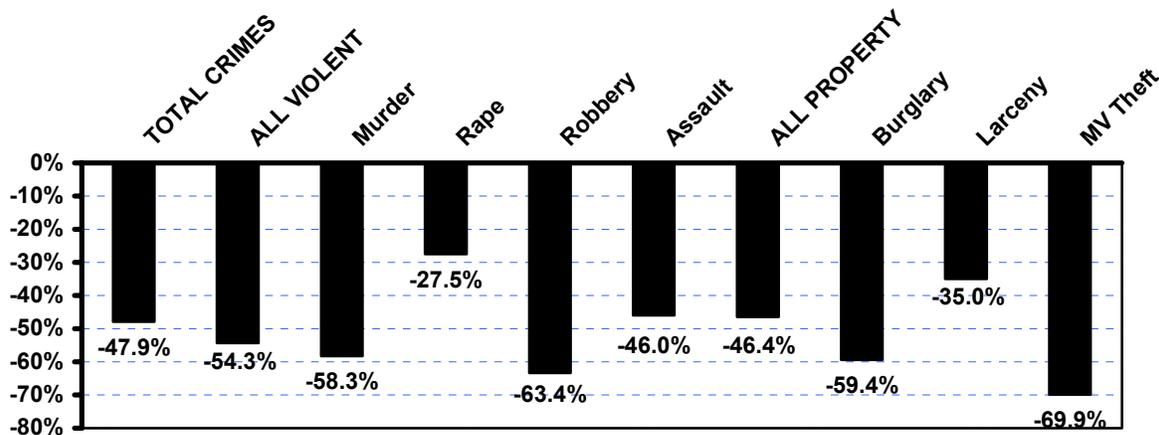
reported by police departments around the State, preliminary projections indicate that crime will continue to decrease.

New York has experienced double-digit decreases in every major index crime category. The largest reductions in crime rates were reported for murders, robberies, burglaries, and motor vehicle

## CRIMINAL JUSTICE

thefts. Overall, the rate of index crimes per 100,000 resident population has declined 48 percent since 1994; the rate of violent crimes (murder, rape, robbery, and aggravated assault) dropped 54 percent and property crimes (burglary, larceny, and motor vehicle theft) fell 46 percent.

### Percentage Change in Crime Rates 1995 vs 2004



Source: FBI, Crime in the United States, 2004. Based on data submitted by DCJS in April 2005.

## OPERATION IMPACT

Operation IMPACT is New York State's crime fighting strategy to achieve the Governor's vision of becoming the safest state in America by 2008. The strategy was initiated in mid-2004, focusing on counties outside of New York City which account for 80 percent of the non-New York City crime.

IMPACT supports the development of local infrastructure to achieve and sustain long term crime reduction throughout the State. There are now 17 counties participating fully in IMPACT, and the 2006-07 Executive Budget recommendations expand and build upon four key components.

### 1. Support Active Local Partnerships

- Build upon established county partnerships with Federal, State and local agencies. These partnerships, under the leadership of the local Police Chief and County District Attorney, develop and implement the local IMPACT strategy.

### 2. Improve Data Available to Help Reduce Crime

- Distribute timely, accurate regional crime trend data to law enforcement, to quickly identify local trends.
- Provide additional funding to support local crime analysis and mapping capability in IMPACT jurisdictions.

**3. Expand Information Sharing and Intelligence**

- Develop and implement an intelligence officer network and database capability to solve and prevent crimes.

**4. Expand Support for Effective Strategies to Combat Local Crime Problems**

- Support local IMPACT strategies by making available State troopers, State parole officers and local probation officers.
- Provide \$1.5 million in grants to jurisdictions outside the IMPACT counties that are experiencing high crime rates or spikes in violent crime.

**COMBATING GUN TRAFFICKING**

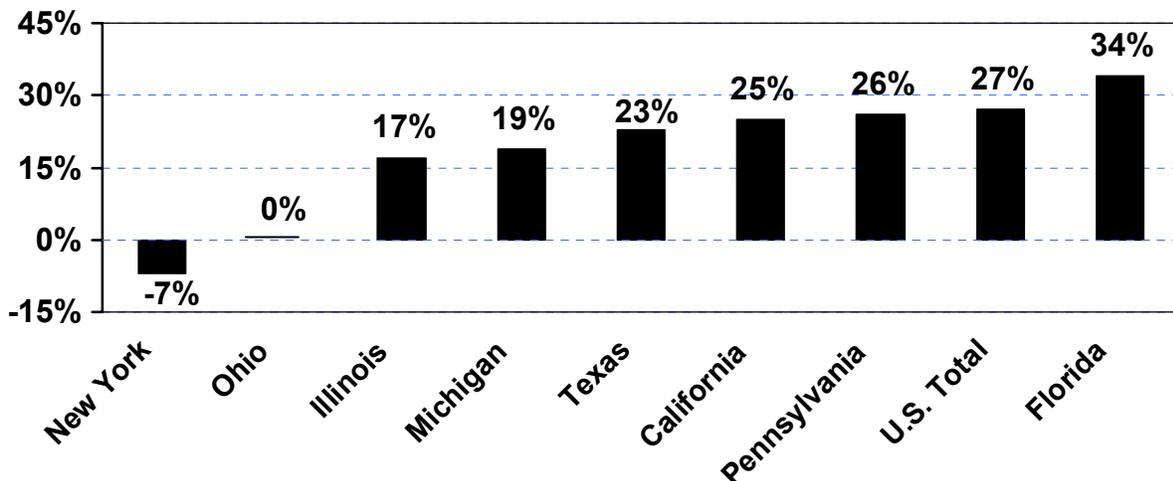
Building on the successful law enforcement partnerships established through Operation IMPACT, an aggressive strategy to combat illegal gun trafficking will be implemented in 2006. This strategy will include:

- Close coordination among the State Police, the Federal Bureau of Alcohol, Tobacco, Firearms and Explosives, and local law enforcement agencies.
- Deployment of 100 new State Police investigators to work with locally established task forces.
- Funding for local police agencies and district attorneys to support street level operations and enhanced prosecution of gun traffickers.

**OFFENDER RE-ENTRY**

While many other states have experienced a decrease in reported crime, New York is the only large state where the prison population has also declined as crime went down. Since fewer crimes are being committed, fewer felons are now going to State prison.

**Percent Change in Under Custody Population  
1994 vs 2004**



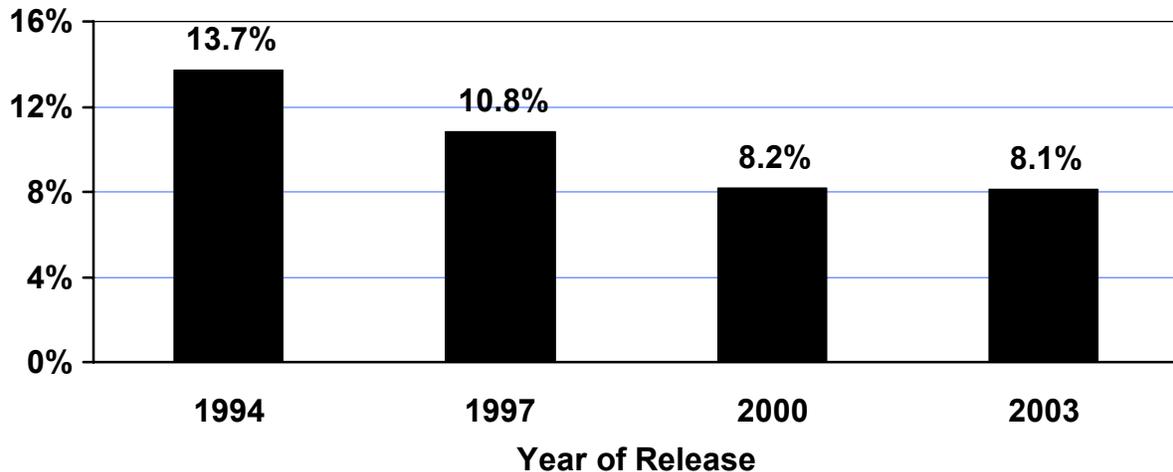
Source: Bureau of Justice Statistics Bulletin October 2005

## **CRIMINAL JUSTICE**

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In New York State, approximately 27,000 offenders were released from prison during 2005, and projections indicate that more than 26,000 will be released in 2006. The goal of offender re-entry strategies is to reduce crime by promoting offender success in the community. Recidivism rates for offenders released from prison have improved dramatically. Today, as compared to 1994, 40 percent fewer offenders return to DOCS within two years for committing a new felony.

### **Percent of Offenders Returned to Prison within 2 years for a new felony conviction**



Source: New York State  
Department of Correctional Services

To ensure our communities remain safe, three cornerstones of effective re-entry will be fully supported in 2006-07.

#### **1. Continue Support for Effective Prison Programming**

- Provide access to education, substance abuse treatment and vocational programs while incarcerated to prepare inmates for their return to the community.

#### **2. Continue Support for Effective Community Supervision**

- Supervise all offenders intensively the first year of release, when program needs are greatest and offenders are at the highest risk of re-offending.

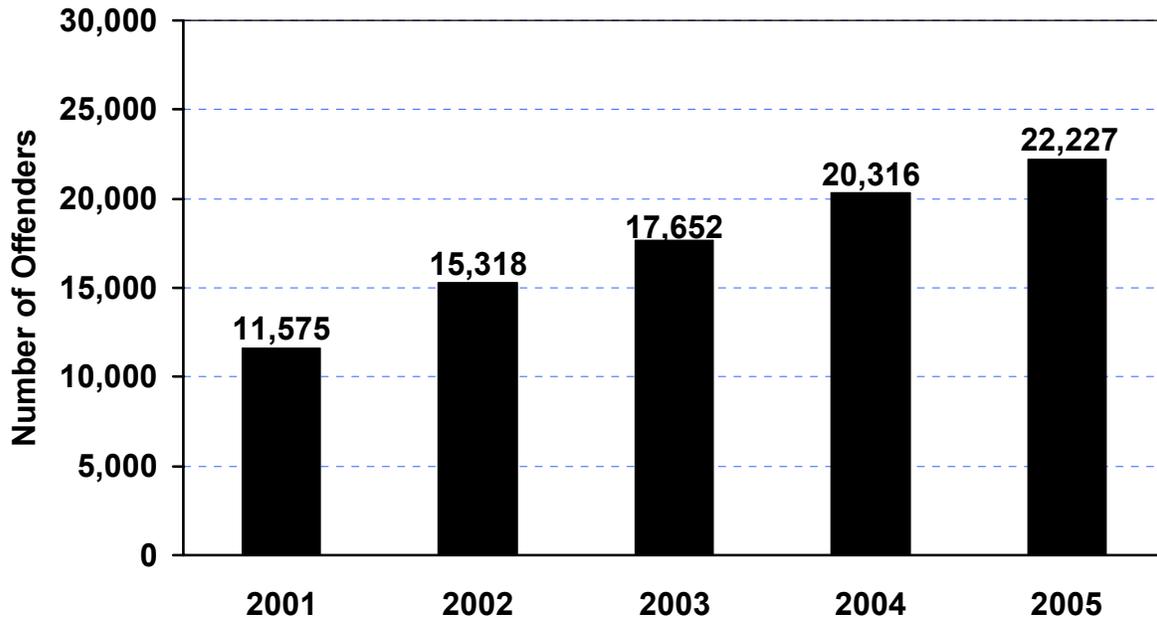
#### **3. Improve Linkages Between Prison and the Community**

- Establish a system through local reentry task forces and contracts with local providers to keep offenders engaged in treatment, employment and other support services after release that will contribute to their success in the community.

**SEX OFFENDER MANAGEMENT**

Protecting our citizens from sexual predators and effectively supervising convicted sex offenders is a high priority of the criminal justice system. All State and local law enforcement agencies work together to accomplish these goals. Megan’s Law, enacted in 1996, requires offenders to register their addresses with the State and authorizes law enforcement to notify the public about certain sex offenders living in their communities. Legislation passed since that time has increased the number of crimes for which offenders are required to register. The NYS Sex Offender Registry has grown dramatically since its inception, with 22,227 offenders registered as of December 2005.

**Sex Offenders on New York State Registry**



Source: Division of Criminal Justice Services

The 2006-07 Executive Budget recommends several actions in support of three key public safety objectives for managing sex offenders.

**1. Maintain up-to-date information on the Sex Offender Registry, and ensure that convicted sex offenders are registered and assessed for risk**

- Improve the Registry’s case management system and expand the records review unit.
- Improve protocols to ensure that risk levels are designated for all registrants.
- Implement new legislation mandating updated photos of all registrants.

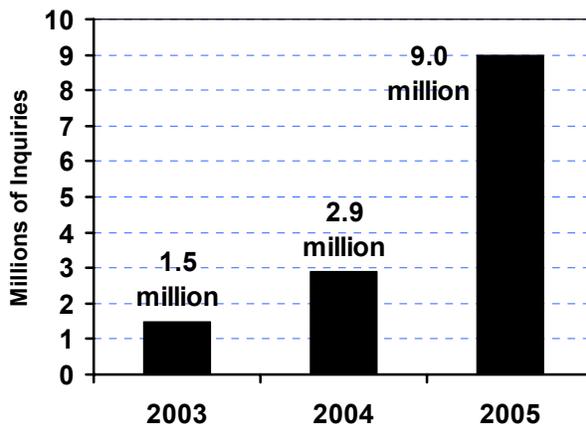
## **CRIMINAL JUSTICE**

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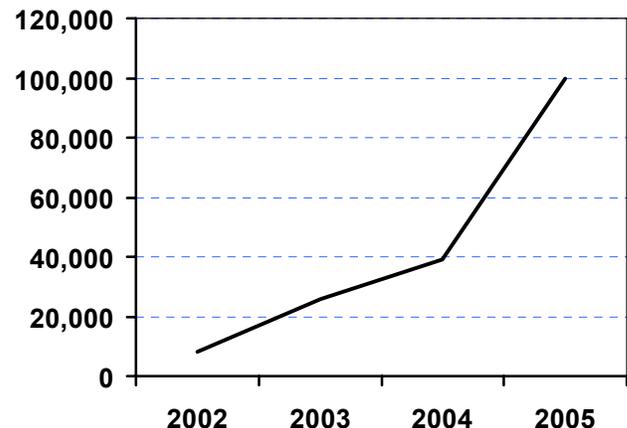
### **2. Provide Registry information to the public**

- Expand the capability of the public website to accommodate the dramatic increase in on-line inquiries. Web inquiries are projected at 20 million for 2006-07.
- Expand the capability of DCJS to support the dramatic increase in calls to the 24 hour toll free phone and fax request line. Requests are projected at 125,000 in 2006-07.

**On-line Inquiries Conducted**



**Phone Line Inquiries Conducted**



Source: Division of Criminal Justice Services

### **3. Expand resources available to State and local law enforcement for managing sex offenders**

- Increase State capacity to house sexually violent predators civilly committed to inpatient psychiatric treatment upon release from prison, including the reuse of a DOCS correctional facility by the Office of Mental Health for the creation of a dedicated facility.
- Continue providing multi-jurisdictional training for all local law enforcement agencies.
- Expand the use of technology to support sex offender supervision and oversight.
- Provide funding to local probation departments to supervise Level 3 offenders at intensive levels.
- Expand specialized intensive Parole sex offender caseloads to include parolees who have a sex offense history, but do not have a sex offense conviction.

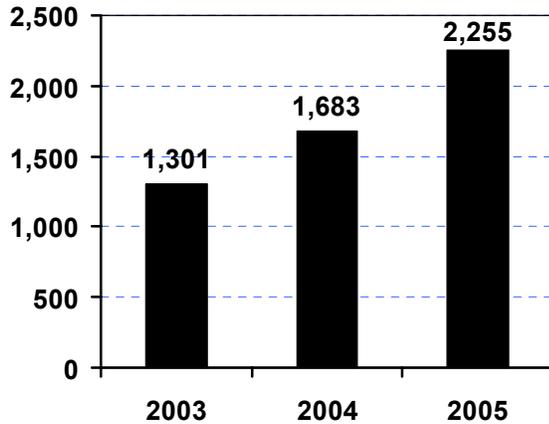
**DNA PROGRAM**

The State’s DNA program enables law enforcement to solve and prevent crimes through the collection of DNA samples from crime scenes and offenders. State and local law enforcement agencies work together to ensure that DNA profiles are on file for all offenders convicted of eligible offenses, and that DNA samples are taken from crime scenes whenever possible. Currently there are 157,000 samples in the Databank.

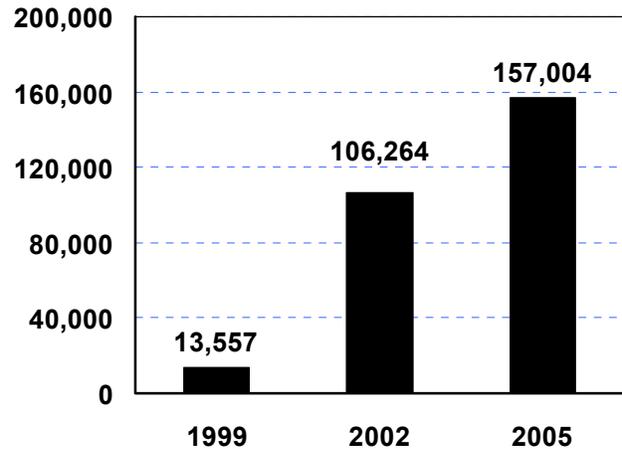
The number of DNA samples on file in NYS has increased dramatically each year since samples were first collected in 1996. In 2005, 16,485 samples were added to the Databank, an increase of 12 percent over 2004.

A DNA hit is a result of a match between DNA profiles developed from crime scene evidence and a DNA profile stored in the DNA Databank. Through these matches, crimes are being solved every day. As of the end of 2005, there were 2,255 hits on the DNA Databank.

**Total DNA Hits**



**DNA Databank Samples on File**



Source: Division of Criminal Justice Services

In 2006-07, the DNA Program will focus on two primary goals.

**1. Increase the Number of Samples on File**

- Expand the Databank by collecting samples from certain offenders convicted of a crime through the imposition of special conditions.
- Increase collections through partnerships with law enforcement agencies, whereby DNA samples are collected from offenders who owe a sample on a previous conviction when they re-enter the criminal justice system.
- Expand the DCJS tracking system to ensure that samples are collected for every offender convicted of an eligible offense.

**2. Increase the collection of DNA Evidence at crime scenes**

- Expand training for prosecutors and law enforcement in the collection of DNA at crime scenes.
- Provide resources to State Police and local crime laboratories to process additional DNA samples collected from crime scenes.



# **DIVISION OF ALCOHOLIC BEVERAGE CONTROL**

## **MISSION**

The Division of Alcoholic Beverage Control regulates and controls the manufacture, sale and distribution of alcoholic beverages within the State. The Division issues and renews licenses and permits to manufacturers, distributors, wholesalers and retailers; works with local law enforcement agencies and localities across the State to ensure compliance with the Alcoholic Beverage Control Law; and regulates trade and credit practices for the sale and distribution of alcoholic beverages.

## **ORGANIZATION AND STAFFING**

The Division will have a workforce of 184 positions for 2006-07, after doubling the number of beverage investigators from 28 to 56.

## **FISCAL BACKGROUND AND BUDGET HIGHLIGHTS**

The Executive Budget recommendations for 2006-07 provide approximately \$19.78 million in special revenue funding derived from license, permit fees, and fine revenue.

## **PROGRAM HIGHLIGHTS**

Staff assigned to the Licensing Program are responsible for the timely processing of permits and licenses. In 2006-07, the Division will undertake an information technology initiative to allow licensees to use the internet for electronic submission of price postings and increase on-line license and permit application filings.

ABC will augment compliance activities during 2006-07, in cooperation with the Division of Criminal Justice Services' Operation IMPACT Program and local law enforcement agencies. The Division investigates complaints and the State Liquor Authority holds administrative hearings against permit and license holders that have violated the State liquor law. Penalties imposed for violations range from warnings to fines and license suspension or revocation, and will be increased significantly for repeat violators, to promote compliance with State liquor laws.

### **ALL FUNDS APPROPRIATIONS (dollars)**

<b>Category</b>	<b>Available 2005-06</b>	<b>Appropriations Recommended 2006-07</b>	<b>Change</b>	<b>Reappropriations Recommended 2006-07</b>
State Operations	14,929,000	19,782,000	4,853,000	0
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
<b>Total</b>	<b>14,929,000</b>	<b>19,782,000</b>	<b>4,853,000</b>	<b>0</b>

# ALCOHOLIC BEVERAGE CONTROL

## ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

### Full-Time Equivalent Positions (FTE)

Program	2005-06	2006-07	FTE Change
	Estimated FTEs 03/31/06	Estimated FTEs 03/31/07	
Administration			
Special Revenue Funds - Other	14	14	0
Compliance			
Special Revenue Funds - Other	69	97	28
Licensing and Wholesaler Services			
Special Revenue Funds - Other	73	73	0
Total	156	184	28

## STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available	Recommended	Change
	2005-06	2006-07	
Special Revenue Funds - Other	14,929,000	19,782,000	4,853,000
Total	14,929,000	19,782,000	4,853,000

## STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available	Recommended	Change
	2005-06	2006-07	
Administration			
Special Revenue Funds - Other	4,028,000	6,286,000	2,258,000
Compliance			
Special Revenue Funds - Other	5,966,000	8,369,000	2,403,000
Licensing and Wholesaler Services			
Special Revenue Funds - Other	4,935,000	5,127,000	192,000
Total	14,929,000	19,782,000	4,853,000

## STATE OPERATIONS - OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 2006-07 RECOMMENDED (dollars)

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Administration	6,286,000	2,258,000	1,000,000	35,000
Compliance	8,369,000	2,403,000	4,927,000	1,365,000
Licensing and Wholesaler Services	5,127,000	192,000	3,064,000	107,000
Total	19,782,000	4,853,000	8,991,000	1,507,000

Program	Nonpersonal Service	
	Amount	Change
Administration	5,286,000	2,223,000
Compliance	3,442,000	1,038,000
Licensing and Wholesaler Services	2,063,000	85,000
Total	10,791,000	3,346,000

# **DEPARTMENT OF AUDIT AND CONTROL**

## **MISSION**

The Department of Audit and Control was created in 1926 and is headed by the State Comptroller, who is elected by the people. The Department is responsible for paying the State's bills and payrolls; verifying all financial transactions of the State; reviewing the financial and management practices of State agencies; supervising the fiscal affairs of local governments; investing State funds and issuing bonds and notes; and administering the retirement program for State and most local government employees.

## **ORGANIZATION AND STAFFING**

The operations of the Department of Audit and Control are organized into ten programs, with its main office in Albany and regional offices in New York City, Buffalo, Rochester, Syracuse, Binghamton, Glens Falls, Newburgh and Hauppauge. These regional offices function primarily as decentralized audit centers, providing financial review of the accounting of revenues collected and expenses incurred by counties, cities, towns and villages, school and fire districts and quasi-governmental entities. The Department will have a workforce of 2,369 positions for 2006-07.

## **FISCAL BACKGROUND AND BUDGET HIGHLIGHTS**

The operating expenses of the Department are funded primarily with State tax dollars from the General Fund and with revenues from the Employee Retirement Systems. The Executive Budget recommends \$222 million for the Department's State Operations budget, including \$130 million, or 59 percent, in General Fund support.

Another 37 percent of the Department's State Operations budget will be supported in 2006-07 with the recommended \$83 million in funding from the Retirement Systems. The remaining 4 percent of this budget will be funded with:

- \$5.8 million in payments made by the City of New York and certain businesses, which support the Department's costs associated with overseeing City finances and with administering an account related to oil spill cleanups;
- \$1.6 million in charges to the Retirement Systems for the Department's staff and other costs related to administering an internal auditing program; and
- \$1.8 million from investment earnings, which will finance checking and direct deposit costs of State government.

The Executive Budget Recommendation includes \$40 million in Aid to Localities for special accidental death benefits for the survivors of police and paid firefighters and \$80 million for increased costs for indigent legal services.

## **PROGRAM HIGHLIGHTS**

- The Executive Direction, Legal Services and Administrative and Data Processing Services programs are responsible for the public information, internal audit, fiscal research, data processing, financial administration, legal, office services, management analysis and human resource functions of the Department.
- The Payroll and Revenue Services program conducts pre-audits of the State payroll and manages two statewide revenue programs. Staff assigned to this program administer the Abandoned Property Law, which requires the timely transfer of abandoned property to the State from holders of the property, notification of the legal owners of the property and payment of all valid claims.

## **AUDIT AND CONTROL**

- The State Services program audits all State agency, State public authority and New York City government programs to evaluate their effectiveness and efficiency. Staff assigned to this program also conduct a pre-audit of all non-payroll State expenditures. In this capacity, the Department acts as the State's bookkeeper, recording all collected revenues in the appropriate accounts, and posting all payments. The Higher Education Services Corporation and the departments of Labor and Civil Service fund on-site auditors who monitor selected activities of those agencies.
- The Local Government Services and Economic Development program examines and standardizes fiscal reports and accounts of all governmental and quasi-governmental entities within the State, and monitors and makes recommendations on the fiscal condition of municipalities. Staff also audit school districts and boards of cooperative education, with a portion of these costs funded by the State Education Department. This program also processes revenues generated by the local courts and by the sale of licenses for bingo and games of chance. These revenues are deposited in the Justice Court Fund and are subsequently distributed to the State and localities to which the funds are owed.
- The Office of the State Deputy Comptroller for the City of New York assists the New York State Financial Control Board in carrying out and exercising the responsibilities assigned, and powers granted, to the Board by the Financial Emergency Act for the City of New York.
- The Retirement Services program administers the State Retirement Systems, consisting of the Employees' Retirement System, the Police and Fire Retirement System and the Public Employees' Group Life Insurance Plan. Currently, there are about 2,993 participating government employers, 647,758 active and vested members and approximately 334,251 pensioners and their beneficiaries.
- The Pension Investment and Public Finance program, in addition to overseeing the assets of the Retirement Systems, issues general obligation debt, invests short-term moneys for the State and local governments and selects financial institutions to provide banking services to the State.
- Under Chapter 845 of the Laws of 1977, the Comptroller administers the New York State Environmental Protection and Oil Spill Compensation Fund. Costs associated with cleaning up oil spills are paid from the fund upon certification of the Commissioner of Environmental Conservation. Moneys in this fund consist of receipts from a fee levied on each barrel of petroleum shipped into the State.

### **ALL FUNDS APPROPRIATIONS (dollars)**

<b>Category</b>	<b>Available 2005-06</b>	<b>Appropriations Recommended 2006-07</b>	<b>Change</b>	<b>Reappropriations Recommended 2006-07</b>
State Operations	222,452,000	222,452,000	0	0
Aid To Localities	97,925,000	120,000,000	22,075,000	0
Capital Projects	0	0	0	0
<b>Total</b>	<b>320,377,000</b>	<b>342,452,000</b>	<b>22,075,000</b>	<b>0</b>

# AUDIT AND CONTROL

## ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Program	Full-Time Equivalent Positions (FTE)		
	2005-06 Estimated FTEs	2006-07 Estimated FTEs	FTE Change
	03/31/06	03/31/07	
Administrative and Data Processing			
Services Program			
General Fund	290	290	0
Environmental Protection and Spill			
Compensation			
Special Revenue Funds - Other	6	6	0
Executive Direction			
General Fund	35	35	0
Internal Service Funds	13	13	0
Pension Investment and Public Finance			
Program			
General Fund	11	11	0
Fiduciary Funds	45	45	0
Legal Services			
General Fund	34	34	0
State Services Program			
General Fund	543	543	0
Special Revenue Funds - Federal	8	8	0
Internal Service Funds	11	11	0
Local Government Services and Economic			
Development Program			
General Fund	236	236	0
Payroll and Revenue Services			
General Fund	329	329	0
Office of the Special Deputy Comptroller for			
New York City			
Special Revenue Funds - Other	28	28	0
Retirement Services Program			
Fiduciary Funds	780	780	0
Total	2,369	2,369	0

## STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2005-06	Recommended 2006-07	Change
General Fund	130,042,000	130,042,000	0
Special Revenue Funds - Other	5,838,000	5,838,000	0
Internal Service Funds	3,487,000	3,487,000	0
Fiduciary Funds	83,085,000	83,085,000	0
Total	222,452,000	222,452,000	0

# AUDIT AND CONTROL

## STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

<b>Program</b>	<b>Available 2005-06</b>	<b>Recommended 2006-07</b>	<b>Change</b>
Administrative and Data Processing			
Services Program			
General Fund	31,284,000	31,284,000	0
Environmental Protection and Spill			
Compensation			
Special Revenue Funds - Other	1,006,000	1,006,000	0
Executive Direction			
General Fund	4,960,000	4,960,000	0
Internal Service Funds	1,647,000	1,647,000	0
Pension Investment and Public Finance			
Program			
General Fund	1,379,000	1,379,000	0
Internal Service Funds	1,840,000	1,840,000	0
Fiduciary Funds	7,248,000	7,248,000	0
Legal Services			
General Fund	3,616,000	3,616,000	0
State Services Program			
General Fund	43,350,000	43,350,000	0
Local Government Services and Economic			
Development Program			
General Fund	17,214,000	17,214,000	0
Special Revenue Funds - Other	545,000	545,000	0
Payroll and Revenue Services			
General Fund	28,239,000	28,239,000	0
Office of the Special Deputy Comptroller for			
New York City			
Special Revenue Funds - Other	4,287,000	4,287,000	0
Retirement Services Program			
Fiduciary Funds	75,837,000	75,837,000	0
Total	<u>222,452,000</u>	<u>222,452,000</u>	<u>0</u>

# AUDIT AND CONTROL

**STATE OPERATIONS - GENERAL FUND  
SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES  
2006-07 RECOMMENDED  
(dollars)**

<b>Program</b>	<b>Total</b>		<b>Personal Service Regular (Annual Salaried)</b>	
	<b>Amount</b>	<b>Change</b>	<b>Amount</b>	<b>Change</b>
Administrative and Data Processing				
Services Program	18,830,000	0	18,778,200	0
Executive Direction	4,294,000	0	4,277,700	0
Pension Investment and Public Finance Program	890,000	0	890,000	0
Legal Services	3,366,000	0	3,353,600	0
State Services Program	31,782,000	0	31,630,000	0
Local Government Services and Economic Development Program	15,153,000	0	15,134,000	0
Payroll and Revenue Services	16,113,000	0	15,186,200	0
<b>Total</b>	<b>90,428,000</b>	<b>0</b>	<b>89,249,700</b>	<b>0</b>
<b>Program</b>	<b>Temporary Service (Nonannual Salaried)</b>		<b>Holiday/Overtime Pay (Annual Salaried)</b>	
	<b>Amount</b>	<b>Change</b>	<b>Amount</b>	<b>Change</b>
Administrative and Data Processing				
Services Program	11,600	0	40,200	0
Executive Direction	16,300	0	0	0
Pension Investment and Public Finance Program	0	0	0	0
Legal Services	0	0	12,400	0
State Services Program	92,300	0	59,700	0
Local Government Services and Economic Development Program	0	0	19,000	0
Payroll and Revenue Services	424,400	0	502,400	0
<b>Total</b>	<b>544,600</b>	<b>0</b>	<b>633,700</b>	<b>0</b>

# AUDIT AND CONTROL

**STATE OPERATIONS - GENERAL FUND  
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED  
APPROPRIATIONS AND CHANGES  
2006-07 RECOMMENDED  
(dollars)**

<b>Program</b>	<b>Total</b>		<b>Supplies and Materials</b>	
	<b>Amount</b>	<b>Change</b>	<b>Amount</b>	<b>Change</b>
Administrative and Data Processing				
Services Program	12,454,000	0	663,100	0
Executive Direction	666,000	0	15,600	0
Pension Investment and Public Finance				
Program	489,000	0	6,300	0
Legal Services	250,000	0	5,000	0
State Services Program	11,568,000	0	21,000	0
Local Government Services and Economic				
Development Program	2,061,000	0	33,500	0
Payroll and Revenue Services	12,126,000	0	68,600	0
Total	<u>39,614,000</u>	<u>0</u>	<u>813,100</u>	<u>0</u>

<b>Program</b>	<b>Travel</b>		<b>Contractual Services</b>	
	<b>Amount</b>	<b>Change</b>	<b>Amount</b>	<b>Change</b>
Administrative and Data Processing				
Services Program	20,600	0	11,711,600	0
Executive Direction	21,900	0	571,700	0
Pension Investment and Public Finance				
Program	6,400	0	475,500	0
Legal Services	2,200	0	237,800	0
State Services Program	240,300	0	11,294,950	0
Local Government Services and Economic				
Development Program	404,800	0	1,618,500	0
Payroll and Revenue Services	89,400	0	11,952,250	0
Total	<u>785,600</u>	<u>0</u>	<u>37,862,300</u>	<u>0</u>

<b>Program</b>	<b>Equipment</b>		<b>Maintenance Undistributed</b>	
	<b>Amount</b>	<b>Change</b>	<b>Amount</b>	<b>Change</b>
Administrative and Data Processing				
Services Program	58,700	0	0	0
Executive Direction	2,800	0	54,000	0
Pension Investment and Public Finance				
Program	800	0	0	0
Legal Services	5,000	0	0	0
State Services Program	11,750	0	0	0
Local Government Services and Economic				
Development Program	4,200	0	0	0
Payroll and Revenue Services	15,750	0	0	0
Total	<u>99,000</u>	<u>0</u>	<u>54,000</u>	<u>0</u>

# AUDIT AND CONTROL

## STATE OPERATIONS - OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 2006-07 RECOMMENDED (dollars)

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Environmental Protection and Spill				
Compensation	1,006,000	0	512,000	0
Executive Direction	1,647,000	0	1,038,000	0
Pension Investment and Public Finance				
Program	9,088,000	0	3,929,000	0
Local Government Services and Economic				
Development Program	545,000	0	0	0
Office of the Special Deputy Comptroller for				
New York City	4,287,000	0	2,702,000	0
Retirement Services Program	75,837,000	0	37,355,000	0
Total	<u>92,410,000</u>	<u>0</u>	<u>45,536,000</u>	<u>0</u>

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Environmental Protection and Spill				
Compensation	494,000	0	0	0
Executive Direction	609,000	0	0	0
Pension Investment and Public Finance				
Program	5,159,000	0	0	0
Local Government Services and Economic				
Development Program	0	0	545,000	0
Office of the Special Deputy Comptroller for				
New York City	1,585,000	0	0	0
Retirement Services Program	38,482,000	0	0	0
Total	<u>46,329,000</u>	<u>0</u>	<u>545,000</u>	<u>0</u>

## AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2005-06	Recommended 2006-07	Change
General Fund	37,925,000	40,000,000	2,075,000
Special Revenue Funds - Other	60,000,000	80,000,000	20,000,000
Total	<u>97,925,000</u>	<u>120,000,000</u>	<u>22,075,000</u>

Adjustments:			
Prior Year Deficiency			
Audit and Control, Department of			
General Fund	400,000		
Special Revenue Funds - Other	40,000,000		
Recommended Deficiency			
Audit and Control, Department of			
General Fund	(600,000)		
Special Revenue Funds - Other	(23,000,000)		
Appropriated 2005-06	<u>114,725,000</u>		

## AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2005-06	Recommended 2006-07	Change
Executive Direction			
Special Revenue Funds - Other	60,000,000	80,000,000	20,000,000
State Services Program			
General Fund	37,925,000	40,000,000	2,075,000
Total	<u>97,925,000</u>	<u>120,000,000</u>	<u>22,075,000</u>



# ***DIVISION OF THE BUDGET***

## ***MISSION***

The Governor is responsible under the State Constitution for the preparation and execution of the State's expenditure and revenue plans. The Division of the Budget prepares a proposed budget under the Governor's direction and executes the budget as adopted by the Legislature. The Division also serves as the Governor's primary advisor on such fiscal matters as local government and public authority finances.

## ***ORGANIZATION AND STAFFING***

Headed by the Director of the Budget, the Division is located in Albany. The Division's workforce is supported through both the General Fund and Special Revenues.

## ***FISCAL BACKGROUND AND BUDGET HIGHLIGHTS***

The recommended General Fund appropriation for 2006-07 of \$33.5 million will fund the Budget Division's basic operations, the same level of funding provided in 2005-06.

An appropriation of \$1.5 million is provided to support the Public Authority Budget Office, established by the Governor pursuant to the Public Authorities Accountability Act of 2005. This Office will be coordinated with current Division oversight and reporting responsibilities to assure that public authorities adhere to the highest standards of appropriate governance, accountability and financial management. Within the amount made available, funding will be provided to improve the electronic reporting capabilities of public authorities in order to facilitate access to information on the activities and financial practices of public authorities by the public and the Public Authority Budget Office.

A \$110 million appropriation is also included to support a new statewide Financial Management System. Currently, State agencies operate individual financial management systems. These discrete systems will be replaced with a single Financial Management System (FMS21), which will interface with the new Central Accounting System being developed by the Office of the State Comptroller. FMS21 will standardize day-to-day business operations, resulting in greater efficiency while relieving agencies of the burden of maintaining redundant systems. The first group of agencies will connect to FMS21 during the spring of 2008.

## ***PROGRAM HIGHLIGHTS***

The Division's activities include:

- Establishing budget policy and agency direction;
- Providing fiscal policy advice in revenue and expenditure forecasting, budget process management and intergovernmental relations; and
- Coordinating the development and execution of State agency programs and budgets.

# BUDGET

## ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2005-06	Appropriations Recommended 2006-07	Change	Reappropriations Recommended 2006-07
State Operations	60,824,000	172,324,000	111,500,000	0
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	60,824,000	172,324,000	111,500,000	0

## ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

### Full-Time Equivalent Positions (FTE)

Program	2005-06 Estimated FTEs 03/31/06	2006-07 Estimated FTEs 03/31/07	FTE Change
Budget Division			
General Fund	297	297	0
Special Revenue Funds - Other	68	33	(35)
Financial Management System			
Internal Service Funds	0	25	25
Public Authority Budget Office Program			
Special Revenue Funds - Other	0	10	10
Total	365	365	0

## STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2005-06	Recommended 2006-07	Change
Budget Division			
General Fund	27,970,000	29,470,000	1,500,000
Special Revenue Funds - Other	19,704,000	23,704,000	4,000,000
Internal Service Funds	1,650,000	1,650,000	0
Cash Management Improvement Act			
General Fund	5,500,000	4,000,000	(1,500,000)
Special Revenue Funds - Other	6,000,000	2,000,000	(4,000,000)
Financial Management System			
Internal Service Funds	0	110,000,000	110,000,000
Public Authority Budget Office Program			
Special Revenue Funds - Other	0	1,500,000	1,500,000
Total	60,824,000	172,324,000	111,500,000

# ***CAPITAL DEFENDER OFFICE***

## ***MISSION***

Established simultaneously with the 1995 restoration of the death penalty, the Capital Defender Office is authorized to defend any indigent person charged with a capital crime. Under the restored death penalty legislation, persons convicted of first-degree murder could be sentenced to death by lethal injection, life imprisonment without parole, or 20 to 25 years in prison. To be sentenced to death, a person must be found guilty of first-degree murder, which includes the killing of a police officer, killing for hire or certain other heinous murders.

The Capital Defender Office ensures that offenders who face the death penalty receive the full legal protection to which they are entitled under law. The Office is required to provide legal, investigative and expert services to indigent defendants charged with crimes eligible for the death penalty. Since not all defendants in capital cases will be represented by the Office, the law also requires the Office to set minimum standards for lawyers appointed to defend such cases, provide training and assistance to these attorneys and provide judges with lists of qualified lawyers.

On June 24, 2004 the New York Court of Appeals determined that the deadlock provision of the death penalty statute was unconstitutional. The Court also directed that first degree murder charges could not proceed as capital cases absent the statute being repaired by the Legislature. There is only one remaining death penalty case pending review by the Court of Appeals. If the Legislature fails to enact a statute that addresses the issues raised by the Court, there will no longer be any reason for the continued existence of the Capital Defender Office.

## ***ORGANIZATION AND STAFFING***

A three-member Board oversees the work of the Office. The Board members are appointed, one each by the Temporary President of the Senate, the Speaker of the Assembly and the Chief Judge of the Court of Appeals. The Office will have a staff of 7 located in offices in New York City and Albany.

## ***FISCAL BACKGROUND AND BUDGET HIGHLIGHTS***

The Executive Budget recommendation provides a total of \$6.1 million in State tax dollars to fund the cost of providing death penalty defense in 2006-07. These funds will support the Office's staff attorneys, investigators and experts as well as legal aid societies and private attorneys appointed to represent indigent defendants in capital cases. A portion of these funds will be available to support diminishing office operations, in the absence of legislative action to address the issues raised by the Court of Appeals in its June 2004 decision regarding the State's death penalty. The full amount of this funding will only become available upon passage of the necessary statute.

## ***PROGRAM HIGHLIGHTS***

Since 1995, there have been a total of 875 capital-eligible cases and 58 notices of intent to seek the death penalty filed by the State's district attorneys. Due in part to progress made in reducing violent crime, the number of capital-eligible cases has declined from 138 in 1996 to 18 in 2005.

# CAPITAL DEFENDER

## ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2005-06	Appropriations Recommended 2006-07	Change	Reappropriations Recommended 2006-07
State Operations	12,277,000	6,100,000	(6,177,000)	0
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	12,277,000	6,100,000	(6,177,000)	0

## ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

### Full-Time Equivalent Positions (FTE)

Program	2005-06 Estimated FTEs 03/31/06	2006-07 Estimated FTEs 03/31/07	FTE Change
Capital Defense			
General Fund	7	7	0
Total	7	7	0

## STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2005-06	Recommended 2006-07	Change
General Fund	12,277,000	6,100,000	(6,177,000)
Total	12,277,000	6,100,000	(6,177,000)

## STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2005-06	Recommended 2006-07	Change
Capital Defense			
General Fund	12,277,000	6,100,000	(6,177,000)
Total	12,277,000	6,100,000	(6,177,000)

## STATE OPERATIONS - GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2006-07 RECOMMENDED (dollars)

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Capital Defense	0	(4,648,000)	0	(4,467,000)
Total	0	(4,648,000)	0	(4,467,000)

Program	Temporary Service (Nonannual Salaried)	
	Amount	Change
Capital Defense	0	(181,000)
Total	0	(181,000)

# CAPITAL DEFENDER

STATE OPERATIONS - GENERAL FUND  
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED  
APPROPRIATIONS AND CHANGES  
2006-07 RECOMMENDED  
(dollars)

<b>Program</b>	<b>Total</b>		<b>Supplies and Materials</b>	
	<b>Amount</b>	<b>Change</b>	<b>Amount</b>	<b>Change</b>
Capital Defense	6,100,000	(1,529,000)	0	(187,000)
Total	6,100,000	(1,529,000)	0	(187,000)

<b>Program</b>	<b>Travel</b>		<b>Contractual Services</b>	
	<b>Amount</b>	<b>Change</b>	<b>Amount</b>	<b>Change</b>
Capital Defense	0	(314,000)	0	(2,040,000)
Total	0	(314,000)	0	(2,040,000)

<b>Program</b>	<b>Equipment</b>		<b>Maintenance Undistributed</b>	
	<b>Amount</b>	<b>Change</b>	<b>Amount</b>	<b>Change</b>
Capital Defense	0	(159,000)	6,100,000	1,171,000
Total	0	(159,000)	6,100,000	1,171,000



# **DEPARTMENT OF CIVIL SERVICE**

## **MISSION**

In accordance with the Civil Service Law, the Department of Civil Service is charged with providing human resource management services to State and local governments.

## **ORGANIZATION AND STAFFING**

Based in Albany, the Department of Civil Service operates under the direction of a Commissioner appointed by the Governor. The Civil Service Commission, consisting of the Commissioner, who serves as its President, and two Commissioners appointed by the Governor, is an appellate body responsible for reviewing determinations of the Department and the Director of Classification and Compensation. The Department will maintain a workforce of 572 in 2006-07.

The responsibilities of the Department are carried out through eight divisions:

- The Division of Information Resource Management provides the Department's basic data, information and systems and has primary responsibility for implementation of the Department's technology projects;
- The Staffing Services Division provides State agencies with personnel recruitment and placement services. The Division coordinates the Department's response to agency personnel operations and develops and administers a variety of tests for State positions, including oral, training and experience and performance assessment tests;
- The Testing Services Division develops, administers and validates State and local written tests;
- The Division of Classification and Compensation determines appropriate job titles for agency functions and salary levels for existing and new positions;
- The Division of Personnel Services encompasses the Employee Benefits Division and the Employee Health Service. The Employee Benefits Division administers health, dental, life, vision, disability and accident benefit programs for State employees and participating local governments. Responsibilities include contracting with insurance companies and other vendors to deliver services, financial management of these programs, communicating plan provisions to subscribers, assisting enrollees in resolving disputed claims, maintaining enrollment information for over 1.2 million covered individuals and financial accounting for approximately \$5.4 billion in annual premiums through the New York Benefits Eligibility and Accounting System. The Employee Health Service is responsible for conducting and administering medical examinations and evaluations, work place nursing activities, and occupational health screenings and immunizations for NYS employees located in nursing stations throughout the State;
- The Municipal Service Division assists 101 local civil service agencies in classifying positions, interpreting laws and rules and, together with the Testing Division, providing selection devices and examinations;
- The Diversity Planning and Management Division approves and monitors affirmative action plans for State agencies, provides technical assistance and training in the achievement of cultural diversity in the work force and is also responsible for administering the Workers With Disabilities Program; and
- The Division of Administration provides leadership, management direction and support for the operating divisions of the Department, and is composed of units responsible for personnel, finance, legal, internal audit, planning and training functions.

## **CIVIL SERVICE**

### **FISCAL BACKGROUND AND BUDGET HIGHLIGHTS**

The Department is funded, in part, with tax dollars from the General Fund that supports approximately 40 percent of the Agency's operations. The remaining 60 percent of its operations are funded with payments from other agencies and governmental entities, most of which are made by employers participating in the New York State Health Insurance Program. The premiums paid by public employers are partially used to offset the Department's cost of administering the program. Similarly, the Department is reimbursed for testing and other services provided to State agencies whose operations are funded by special industry assessments. In addition, the Department is authorized to offset some operating costs through application fees for certain State and local examinations. State examination fees are imposed on many of the tests that are open to the general public. The Executive Budget recommends funding of \$65.6 million for the Department, which includes \$23.8 million in General Fund support and \$41.8 million in payments from other State agencies and public entities.

### **PROGRAM HIGHLIGHTS**

The Department of Civil Service will continue to use technology to strengthen services and increase efficiency. The Department will implement an Employee Self Service Project, which will centralize agency benefits counseling services in EBD. Employee benefits information will be delivered by the Department via a newly developed online State portal as well as an EBD call center. Employees will have the ability to access various personnel records through a secure website. In addition, the Department will continue the development of an Integrated Testing System (ITS) to enhance the quality and timeliness of test scoring, list certifications and employee placements.

The Department's Employee Benefits Division (EBD) achieved a reduction of \$46.8 million for Empire Plan subscribers through negotiated changes to the insurance companies requested initial 2006 premium. As a result of new Medicare Part D provisions effective January 1, 2006, EBD is implementing procedures to continue prescription drug coverage under both the Empire Plan and HMOs for Medicare eligible retirees, while seeking the Federal subsidy to partially offset the cost of that coverage.

The Public Management Institute (PMI), which was re-established in 2004, was expanded to 48 internships in 2005. The PMI program serves as an important vehicle for bringing exceptional individuals with a capacity for leadership to careers in New York State government.

#### **ALL FUNDS APPROPRIATIONS (dollars)**

<b>Category</b>	<b>Available 2005-06</b>	<b>Appropriations Recommended 2006-07</b>	<b>Change</b>	<b>Reappropriations Recommended 2006-07</b>
State Operations	63,161,000	65,596,000	2,435,000	0
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	63,161,000	65,596,000	2,435,000	0

**ALL FUND TYPES  
PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM  
FILLED ANNUAL SALARIED POSITIONS**

<b>Program</b>	<b>Full-Time Equivalent Positions (FTE)</b>		
	<b>2005-06</b>	<b>2006-07</b>	<b>FTE Change</b>
	<b>Estimated FTEs 03/31/06</b>	<b>Estimated FTEs 03/31/07</b>	
Administration and Information			
Management			
General Fund	93	90	(3)
Internal Service Funds	23	23	0
Local Civil Service			
General Fund	14	14	0
Labor Management Programs			
General Fund	17	17	0
Personnel Benefit Services			
General Fund	32	32	0
Internal Service Funds	158	158	0
Personnel Management Services			
General Fund	188	188	0
Internal Service Funds	50	50	0
Total	<u>575</u>	<u>572</u>	<u>(3)</u>

**STATE OPERATIONS  
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE  
APPROPRIATIONS  
(dollars)**

<b>Fund Type</b>	<b>Available 2005-06</b>	<b>Recommended 2006-07</b>	<b>Change</b>
General Fund	26,555,000	23,836,000	(2,719,000)
Special Revenue Funds - Other	1,400,000	2,300,000	900,000
Internal Service Funds	35,206,000	39,460,000	4,254,000
Total	<u>63,161,000</u>	<u>65,596,000</u>	<u>2,435,000</u>

Adjustments:

Transfer(s) From	
Special Pay Bill	
General Fund	(100,000)
Appropriated 2005-06	<u>63,061,000</u>

**STATE OPERATIONS  
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM  
APPROPRIATIONS  
(dollars)**

<b>Program</b>	<b>Available 2005-06</b>	<b>Recommended 2006-07</b>	<b>Change</b>
Administration and Information			
Management			
General Fund	10,152,000	7,446,000	(2,706,000)
Internal Service Funds	2,713,000	3,269,000	556,000
Local Civil Service			
General Fund	992,000	1,046,000	54,000
Personnel Benefit Services			
General Fund	2,013,000	2,132,000	119,000
Special Revenue Funds - Other	400,000	300,000	(100,000)
Internal Service Funds	24,716,000	28,422,000	3,706,000
Personnel Management Services			
General Fund	13,398,000	13,212,000	(186,000)
Special Revenue Funds - Other	1,000,000	2,000,000	1,000,000
Internal Service Funds	7,777,000	7,769,000	(8,000)
Total	<u>63,161,000</u>	<u>65,596,000</u>	<u>2,435,000</u>

# CIVIL SERVICE

**STATE OPERATIONS - GENERAL FUND  
SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES  
2006-07 RECOMMENDED  
(dollars)**

<b>Program</b>	<b>Total</b>		<b>Personal Service Regular (Annual Salaried)</b>	
	<b>Amount</b>	<b>Change</b>	<b>Amount</b>	<b>Change</b>
Administration and Information				
Management	5,750,000	69,000	5,747,000	69,000
Local Civil Service	1,014,000	54,000	1,013,000	54,000
Personnel Benefit Services	1,902,000	(13,000)	1,872,000	(13,000)
Personnel Management Services	10,912,000	(517,000)	10,009,000	(517,000)
<b>Total</b>	<b>19,578,000</b>	<b>(407,000)</b>	<b>18,641,000</b>	<b>(407,000)</b>

<b>Program</b>	<b>Temporary Service (Nonannual Salaried)</b>		<b>Holiday/Overtime Pay (Annual Salaried)</b>	
	<b>Amount</b>	<b>Change</b>	<b>Amount</b>	<b>Change</b>
Administration and Information				
Management	0	0	3,000	0
Local Civil Service	0	0	1,000	0
Personnel Benefit Services	28,000	0	2,000	0
Personnel Management Services	887,000	0	16,000	0
<b>Total</b>	<b>915,000</b>	<b>0</b>	<b>22,000</b>	<b>0</b>

**STATE OPERATIONS - GENERAL FUND  
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED  
APPROPRIATIONS AND CHANGES  
2006-07 RECOMMENDED  
(dollars)**

<b>Program</b>	<b>Total</b>		<b>Supplies and Materials</b>	
	<b>Amount</b>	<b>Change</b>	<b>Amount</b>	<b>Change</b>
Administration and Information				
Management	1,696,000	(2,775,000)	45,000	0
Local Civil Service	32,000	0	6,000	0
Personnel Benefit Services	230,000	132,000	37,000	20,000
Personnel Management Services	2,300,000	331,000	168,000	0
<b>Total</b>	<b>4,258,000</b>	<b>(2,312,000)</b>	<b>256,000</b>	<b>20,000</b>

<b>Program</b>	<b>Travel</b>		<b>Contractual Services</b>	
	<b>Amount</b>	<b>Change</b>	<b>Amount</b>	<b>Change</b>
Administration and Information				
Management	32,000	0	1,539,000	(2,775,000)
Local Civil Service	11,000	0	15,000	0
Personnel Benefit Services	20,000	10,000	143,000	87,000
Personnel Management Services	171,000	0	1,938,000	(509,000)
<b>Total</b>	<b>234,000</b>	<b>10,000</b>	<b>3,635,000</b>	<b>(3,197,000)</b>

<b>Program</b>	<b>Equipment</b>		<b>Maintenance Undistributed</b>	
	<b>Amount</b>	<b>Change</b>	<b>Amount</b>	<b>Change</b>
Administration and Information				
Management	80,000	0	0	0
Local Civil Service	0	0	0	0
Personnel Benefit Services	30,000	15,000	0	0
Personnel Management Services	23,000	0	0	840,000
<b>Total</b>	<b>133,000</b>	<b>15,000</b>	<b>0</b>	<b>840,000</b>

**CIVIL SERVICE****STATE OPERATIONS - OTHER THAN GENERAL FUND  
SUMMARY OF APPROPRIATIONS AND CHANGES  
2006-07 RECOMMENDED  
(dollars)**

<b>Program</b>	<b>Total</b>		<b>Personal Service</b>	
	<b>Amount</b>	<b>Change</b>	<b>Amount</b>	<b>Change</b>
Administration and Information				
Management	3,269,000	556,000	1,567,000	374,000
Personnel Benefit Services	28,722,000	3,606,000	9,243,000	845,000
Personnel Management Services	9,769,000	992,000	0	0
Total	<u>41,760,000</u>	<u>5,154,000</u>	<u>10,810,000</u>	<u>1,219,000</u>
<b>Program</b>	<b>Nonpersonal Service</b>		<b>Maintenance Undistributed</b>	
	<b>Amount</b>	<b>Change</b>	<b>Amount</b>	<b>Change</b>
Administration and Information				
Management	1,702,000	182,000	0	0
Personnel Benefit Services	9,850,000	2,410,000	9,629,000	351,000
Personnel Management Services	0	0	9,769,000	992,000
Total	<u>11,552,000</u>	<u>2,592,000</u>	<u>19,398,000</u>	<u>1,343,000</u>



# CONSUMER PROTECTION BOARD

## MISSION

The Consumer Protection Board was created to protect and advance the rights of New York State's consumers. The Agency handles consumer complaints and mediates consumer disputes; promotes consumer education and fraud prevention; and represents consumers in utility rate cases. The Agency also advises the Governor on consumer issues and recommends legislative initiatives on consumer related matters.

## ORGANIZATION AND STAFFING

The Consumer Protection Board consists of three units: Consumer Assistance Unit, Office of Strategic Programs and the Law and Investigations Unit. The Agency is located in Albany, with satellite offices in Rochester, Long Island Newburgh, Utica and New York City. For 2006-07 the Consumer Protection Board will have a workforce of 32.

## FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The Consumer Protection Board is financed entirely from non-taxpayer sources, primarily assessments on utilities operating within the State. The Executive Budget recommendation provides approximately \$4.36 million for the Consumer Protection Board for 2006-07, an increase of \$565,000, which will permit the Board to provide greater assistance in resolving consumer complaints and enhance consumer education programs.

## PROGRAM HIGHLIGHTS

The Office of Strategic Programs consists of the utility intervention and outreach information units. These units represent consumers in utility rate cases and develop and deliver informational programs on consumer related issues. The Consumer Assistance Unit mediates disputes between consumers and businesses. The Office of Law and Investigations researches and investigates consumer issues including potential violations of New York State's Motor Fuel Marketing Practices Act and enforces the No Telemarketing Sales Call Law.

### ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2005-06	Appropriations Recommended 2006-07	Change	Reappropriations Recommended 2006-07
State Operations	3,792,000	4,357,000	565,000	0
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	3,792,000	4,357,000	565,000	0

### ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Program	Full-Time Equivalent Positions (FTE)		
	2005-06 Estimated FTEs 03/31/06	2006-07 Estimated FTEs 03/31/07	FTE Change
Consumer Protection			
Special Revenue Funds - Other	32	32	0
Total	32	32	0

# CONSUMER PROTECTION

**STATE OPERATIONS  
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE  
APPROPRIATIONS  
(dollars)**

<b>Fund Type</b>	<b>Available 2005-06</b>	<b>Recommended 2006-07</b>	<b>Change</b>
Special Revenue Funds - Other	3,792,000	4,357,000	565,000
Total	<u>3,792,000</u>	<u>4,357,000</u>	<u>565,000</u>

**STATE OPERATIONS  
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM  
APPROPRIATIONS  
(dollars)**

<b>Program</b>	<b>Available 2005-06</b>	<b>Recommended 2006-07</b>	<b>Change</b>
Consumer Protection			
Special Revenue Funds - Other	3,792,000	4,357,000	565,000
Total	<u>3,792,000</u>	<u>4,357,000</u>	<u>565,000</u>

**STATE OPERATIONS - OTHER THAN GENERAL FUND  
SUMMARY OF APPROPRIATIONS AND CHANGES  
2006-07 RECOMMENDED  
(dollars)**

<b>Program</b>	<b>Total</b>		<b>Personal Service</b>	
	<b>Amount</b>	<b>Change</b>	<b>Amount</b>	<b>Change</b>
Consumer Protection	4,357,000	565,000	2,138,000	196,000
Total	<u>4,357,000</u>	<u>565,000</u>	<u>2,138,000</u>	<u>196,000</u>

<b>Program</b>	<b>Nonpersonal Service</b>		<b>Maintenance Undistributed</b>	
	<b>Amount</b>	<b>Change</b>	<b>Amount</b>	<b>Change</b>
Consumer Protection	2,208,000	369,000	11,000	0
Total	<u>2,208,000</u>	<u>369,000</u>	<u>11,000</u>	<u>0</u>

# STATE COMMISSION OF CORRECTION

## MISSION

The State Commission of Correction regulates and oversees the operation and management of State and local correctional facilities. The Agency's role is to promote a safe, secure and stable correctional system and to provide for the accountability of corrections officials. As a result of legislation enacted in 1996, the Commission's role includes the oversight of secure youth facilities operated by the Office of Children and Family Services.

## ORGANIZATION AND STAFFING

The Commission is made up of three members appointed by the Governor, one of whom is designated Chair. The other Commissioners respectively chair the Citizens Policy and Complaint Review Council, which reviews grievances and complaints against correctional facilities, and the Medical Review Board, which investigates inmate deaths and oversees inmate health care services.

Regional teams of review specialists are responsible for visiting and inspecting local and State correctional facilities. They investigate unusual events at facilities, provide technical assistance to improve facility management and monitor facilities for compliance with standards and regulations. The agency will operate in 2006-07 with a staff of 35.

## FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The Commission of Correction will be supported by approximately \$2.6 million in State tax dollars in 2006-07 .

## PROGRAM HIGHLIGHTS

The Commission monitors 70 State correctional facilities, 60 county jails, 16 New York City correctional facilities, 267 locally operated police department detention facilities throughout the State and 4 juvenile detention facilities operated by the Office of Children and Family Services. The agency also participates in the multi-agency Criminal Justice Systems Analysis Team (CJSAT) — operated in conjunction with the Division of Criminal Justice Services and the Division of Probation and Correctional Alternatives — to assist localities in analyzing operational issues in local correctional facilities.

### ALL FUNDS APPROPRIATIONS (dollars)

Category	Available	Appropriations	Change	Reappropriations
	2005-06	Recommended 2006-07		Recommended 2006-07
State Operations	2,577,000	2,607,000	30,000	0
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	2,577,000	2,607,000	30,000	0

# CORRECTION

**ALL FUND TYPES  
PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM  
FILLED ANNUAL SALARIED POSITIONS**

**Full-Time Equivalent Positions (FTE)**

<b>Program</b>	<b>2005-06 Estimated FTEs 03/31/06</b>	<b>2006-07 Estimated FTEs 03/31/07</b>	<b>FTE Change</b>
Improvement of Correctional Facilities			
General Fund	32	32	0
Special Revenue Funds - Federal	3	3	0
Total	<u>35</u>	<u>35</u>	<u>0</u>

**STATE OPERATIONS  
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE  
APPROPRIATIONS  
(dollars)**

<b>Fund Type</b>	<b>Available 2005-06</b>	<b>Recommended 2006-07</b>	<b>Change</b>
General Fund	2,577,000	2,607,000	30,000
Total	<u>2,577,000</u>	<u>2,607,000</u>	<u>30,000</u>

**STATE OPERATIONS  
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM  
APPROPRIATIONS  
(dollars)**

<b>Program</b>	<b>Available 2005-06</b>	<b>Recommended 2006-07</b>	<b>Change</b>
Improvement of Correctional Facilities			
General Fund	2,577,000	2,607,000	30,000
Total	<u>2,577,000</u>	<u>2,607,000</u>	<u>30,000</u>

**STATE OPERATIONS - GENERAL FUND  
SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES  
2006-07 RECOMMENDED  
(dollars)**

<b>Program</b>	<b>Total</b>		<b>Personal Service Regular (Annual Salaried)</b>	
	<b>Amount</b>	<b>Change</b>	<b>Amount</b>	<b>Change</b>
Improvement of Correctional Facilities	2,139,000	20,000	2,119,000	20,000
Total	<u>2,139,000</u>	<u>20,000</u>	<u>2,119,000</u>	<u>20,000</u>

<b>Program</b>	<b>Holiday/Overtime Pay (Annual Salaried)</b>	
	<b>Amount</b>	<b>Change</b>
Improvement of Correctional Facilities	20,000	0
Total	<u>20,000</u>	<u>0</u>

**CORRECTION**

**STATE OPERATIONS - GENERAL FUND  
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED  
APPROPRIATIONS AND CHANGES  
2006-07 RECOMMENDED  
(dollars)**

<b>Program</b>	<b>Total</b>		<b>Supplies and Materials</b>	
	<b>Amount</b>	<b>Change</b>	<b>Amount</b>	<b>Change</b>
Improvement of Correctional Facilities	468,000	10,000	16,000	0
Total	468,000	10,000	16,000	0

<b>Program</b>	<b>Travel</b>		<b>Contractual Services</b>	
	<b>Amount</b>	<b>Change</b>	<b>Amount</b>	<b>Change</b>
Improvement of Correctional Facilities	161,000	4,000	283,000	6,000
Total	161,000	4,000	283,000	6,000

<b>Program</b>	<b>Equipment</b>	
	<b>Amount</b>	<b>Change</b>
Improvement of Correctional Facilities	8,000	0
Total	8,000	0



# **DEPARTMENT OF CORRECTIONAL SERVICES**

## **MISSION**

The Department of Correctional Services is responsible for the safe and secure confinement of convicted felons, and the preparation of these individuals for successful reintegration into the community upon release.

## **ORGANIZATION AND STAFFING**

The Department oversees the nation's fourth largest state prison system. With a staff of approximately 31,300 employees, the Department currently operates 70 facilities, grouped within nine regional hubs. Each facility is managed by a Superintendent, who reports to the Commissioner. Nearly 68 percent of the Department's staff are security personnel, with remaining personnel primarily dedicated to the delivery of inmate programs, health services or facility operations. The Department also operates the Willard Drug Treatment Campus in Seneca County, in cooperation with the Division of Parole and the Office of Alcoholism and Substance Abuse Services.

## **FISCAL BACKGROUND AND BUDGET HIGHLIGHTS**

For 2006-07 the Department will be supported by appropriations of \$2.6 billion. Recommendations account for the current and projected prison population, and support the safe and efficient operation of the state prison system.

The 2006-07 Executive Budget recommends the transfer of the Camp Pharsalia minimum security facility to the Office of Mental Health for the civil commitment of sexually violent predators.

The Department's \$2.3 billion in operating expenses will be supported primarily by State tax dollars, which comprise 93 percent of the Department's operating budget. Nearly \$36 million in Federal funds offset the State cost of housing illegal alien felons, inmate education and substance abuse treatment. Another \$71 million is authorized for the operation of the Correctional Industries program, an inmate vocational program which produces commodities for sale to government agencies. Finally, \$245 million will support the Department's capital program.

## **PROGRAM HIGHLIGHTS**

Over the last decade, penalties for violent crime have increased significantly in New York State. Jenna's Law, enacted in 1998, together with the Truth-in-Sentencing legislation, enacted in 1995, ensures that violent offenders will serve at least 85 percent of their sentence in prison. These and other criminal justice reforms have reduced crime rates resulting in fewer people sentenced to prison. By the close of the 2006-07 fiscal year, the population is expected to be an estimated 63,100 inmates – 8,400 inmates less than the 1999 peak of 71,500.

The decline in inmate population over the past several years can be attributed to reduced crime rates; alternative to incarceration programs, such as the Willard Drug Treatment campus, which provides the court with the option to sentence certain non-violent offenders to treatment for addiction; and programs in which non-violent offenders earn early release from prison through good behavior and progress in inmate programs. The success of these programs has contributed to lower rates of recidivism.

## **CORRECTIONAL SERVICES**

Although the overall size of the prison population has declined, violent and predatory offenders are remaining in prison longer — on average, 53 percent longer. Tougher penalties for the most serious crimes and the elimination of parole for violent felony offenders have led to a prison population more heavily comprised of violent offenders. Violent offenders now constitute 57 percent of the total inmate population, up from 51 percent in 1995.

To ensure sufficient capacity to appropriately house the greater proportion of violent offenders, 4,950 maximum-security beds were constructed during the late 1990's — including nine 200-bed disciplinary housing units and two new 1,500-bed facilities. Since the population began to decline in 2000, 6,200 minimum and medium security beds once needed to house the much larger prison population have been eliminated. Assaults on inmates and staff are down 64 percent and 45 percent, respectively — the lowest levels since the early 1980's.

The Department is comprised of seven major programs, aimed at providing a safe and secure environment that affords each inmate the opportunity for rehabilitation. These include:

- **Administration:** Administrative staff formulate policy and provide centralized operational support to correctional facilities, including directing the classification and movement of inmates, as well as coordinating labor relations, personnel and financial functions and the Department's response to legal issues.
- **Support Services:** Dedicated to facility management, this program ensures smooth operations within correctional facilities, including food service, transportation, utility management and maintenance of the physical plant.
- **Supervision of Inmates:** A total of 21,000 correction officers ensures a safe and secure environment for both employees and inmates, and protects the safety of surrounding communities.
- **Program Services:** The Department offers a wide range of programs designed to prepare inmates for successful reintegration into the community, including basic educational and vocational training, sex offender and substance abuse treatment, aggression management and transitional planning.
- **Health Services:** Treating a population with significant health care needs and high rates of infectious disease (e.g., AIDS, tuberculosis and hepatitis), the Department combines the use of telemedicine with access to regional medical units to achieve cost-effective healthcare. Inmate mental health services are provided jointly with the Office of Mental Health, and a planned expansion of services is on track.
- **Correctional Industries:** This program provides commodities and services for the Department, other State agencies and local governments. Inmates are employed by Correctional Industries, providing them with vocational training and real work experience.
- **Facilities Planning and Development:** The Department's capital program ensures that all aspects of each facility remain safe and secure. The advanced age of some of the system's facilities, as well as the changing needs of the inmate population, require a steady program of rehabilitation and preservation projects.

### ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2005-06	Appropriations Recommended 2006-07	Change	Reappropriations Recommended 2006-07
State Operations	2,263,313,000	2,346,239,000	82,926,000	37,000,000
Aid To Localities	8,000,000	6,000,000	(2,000,000)	8,000,000
Capital Projects	205,000,000	248,000,000	43,000,000	820,865,000
Total	<u>2,476,313,000</u>	<u>2,600,239,000</u>	<u>123,926,000</u>	<u>865,865,000</u>

# CORRECTIONAL SERVICES

## ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Program	Full-Time Equivalent Positions (FTE)		
	2005-06	2006-07	FTE Change
	Estimated FTEs 03/31/06	Estimated FTEs 03/31/07	
Administration			
General Fund	235	235	0
Special Revenue Funds - Federal	655	655	0
Enterprise Funds	11	11	0
Correctional Industries			
Internal Service Funds	474	474	0
Facilities Planning and Development			
Capital Projects Funds - Other	32	32	0
Health Services			
General Fund	1,891	1,924	33
Enterprise Funds	26	26	0
Program Services			
General Fund	3,273	3,260	(13)
Enterprise Funds	42	42	0
Supervision of Inmates			
General Fund	21,251	21,160	(91)
Support Services			
General Fund	3,582	3,549	(33)
Total	<u>31,472</u>	<u>31,368</u>	<u>(104)</u>

## STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available	Recommended	Change
	2005-06	2006-07	
General Fund	2,091,745,000	2,179,398,000	87,653,000
Special Revenue Funds - Federal	36,600,000	35,700,000	(900,000)
Special Revenue Funds - Other	1,000,000	850,000	(150,000)
Enterprise Funds	58,957,000	59,046,000	89,000
Internal Service Funds	75,011,000	71,245,000	(3,766,000)
Total	<u>2,263,313,000</u>	<u>2,346,239,000</u>	<u>82,926,000</u>

Adjustments:	
Prior Year Deficiency	
Correctional Services, Department of	
General Fund	116,000,000
Appropriated 2005-06	<u>2,379,313,000</u>

# CORRECTIONAL SERVICES

## STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2005-06	Recommended 2006-07	Change
Administration			
General Fund	25,434,000	27,557,000	2,123,000
Special Revenue Funds - Federal	36,600,000	35,700,000	(900,000)
Special Revenue Funds - Other	400,000	250,000	(150,000)
Enterprise Funds	2,082,000	2,082,000	0
Correctional Industries			
Internal Service Funds	75,011,000	71,245,000	(3,766,000)
Health Services			
General Fund	291,770,000	323,694,000	31,924,000
Enterprise Funds	9,694,000	2,613,000	(7,081,000)
Program Services			
General Fund	203,114,000	205,101,000	1,987,000
Special Revenue Funds - Other	100,000	100,000	0
Enterprise Funds	46,356,000	52,986,000	6,630,000
Supervision of Inmates			
General Fund	1,174,924,000	1,170,850,000	(4,074,000)
Support Services			
General Fund	396,503,000	452,196,000	55,693,000
Special Revenue Funds - Other	500,000	500,000	0
Enterprise Funds	825,000	1,365,000	540,000
Total	<u>2,263,313,000</u>	<u>2,346,239,000</u>	<u>82,926,000</u>

## STATE OPERATIONS - GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2006-07 RECOMMENDED (dollars)

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Administration	15,510,000	197,000	15,435,000	195,000
Health Services	121,401,000	10,469,000	109,968,000	10,295,000
Program Services	170,994,000	4,298,000	155,868,000	373,000
Supervision of Inmates	1,148,247,000	(6,310,000)	1,085,582,000	5,089,000
Support Services	162,320,000	6,486,000	152,946,000	6,041,000
Total	<u>1,618,472,000</u>	<u>15,140,000</u>	<u>1,519,799,000</u>	<u>21,993,000</u>
Program	Temporary Service (Nonannual Salaried)		Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change	Amount	Change
Administration	0	0	75,000	2,000
Health Services	3,919,000	(401,000)	7,514,000	575,000
Program Services	11,917,000	3,810,000	3,209,000	115,000
Supervision of Inmates	7,176,000	(591,000)	55,489,000	(10,808,000)
Support Services	442,000	86,000	8,932,000	359,000
Total	<u>23,454,000</u>	<u>2,904,000</u>	<u>75,219,000</u>	<u>(9,757,000)</u>

# CORRECTIONAL SERVICES

## STATE OPERATIONS - GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2006-07 RECOMMENDED (dollars)

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Administration	12,047,000	1,926,000	523,000	109,000
Health Services	202,293,000	21,455,000	86,776,000	10,262,000
Program Services	34,107,000	(2,311,000)	10,193,000	640,000
Supervision of Inmates	22,603,000	2,236,000	11,710,000	(422,000)
Support Services	289,876,000	49,207,000	141,673,000	34,883,000
Total	560,926,000	72,513,000	250,875,000	45,472,000

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration	454,000	126,000	8,180,000	1,669,000
Health Services	187,000	74,000	113,646,000	10,107,000
Program Services	480,000	85,000	21,251,000	(4,553,000)
Supervision of Inmates	3,474,000	998,000	6,674,000	1,372,000
Support Services	489,000	161,000	137,836,000	14,621,000
Total	5,084,000	1,444,000	287,587,000	23,216,000

Program	Equipment		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration	890,000	22,000	2,000,000	0
Health Services	1,684,000	1,012,000	0	0
Program Services	2,183,000	1,517,000	0	0
Supervision of Inmates	745,000	288,000	0	0
Support Services	9,878,000	(458,000)	0	0
Total	15,380,000	2,381,000	2,000,000	0

## STATE OPERATIONS - OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 2006-07 RECOMMENDED (dollars)

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Administration	38,032,000	(1,050,000)	32,327,000	0
Correctional Industries	71,245,000	(3,766,000)	23,761,000	(922,000)
Health Services	2,613,000	(7,081,000)	2,364,000	119,000
Program Services	53,086,000	6,630,000	2,273,000	25,000
Support Services	1,865,000	540,000	0	0
Total	166,841,000	(4,727,000)	60,725,000	(778,000)

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration	1,505,000	(150,000)	4,200,000	(900,000)
Correctional Industries	47,484,000	(2,844,000)	0	0
Health Services	249,000	(7,200,000)	0	0
Program Services	50,813,000	6,605,000	0	0
Support Services	1,865,000	540,000	0	0
Total	101,916,000	(3,049,000)	4,200,000	(900,000)

## AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2005-06	Recommended 2006-07	Change
General Fund	8,000,000	6,000,000	(2,000,000)
Total	8,000,000	6,000,000	(2,000,000)

# **CORRECTIONAL SERVICES**

## **AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)**

<b>Program</b>	<b>Available 2005-06</b>	<b>Recommended 2006-07</b>	<b>Change</b>
Support Services			
General Fund	8,000,000	6,000,000	(2,000,000)
Total	<u>8,000,000</u>	<u>6,000,000</u>	<u>(2,000,000)</u>

## **CAPITAL PROJECTS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)**

<b>Comprehensive Construction Program</b>	<b>Available 2005-06</b>	<b>Recommended 2006-07</b>	<b>Change</b>	<b>Reappropriations 2006-07</b>
Maintenance and Improvement of Existing Facilities				
Federal Capital Projects Fund	0	0	0	3,482,000
Special Conservation Activities Account	0	3,000,000	3,000,000	0
Correctional Facilities Capital Improvement Fund	205,000,000	245,000,000	40,000,000	555,980,000
UDC Financed and Other New Facility Capacity Expansion				
Correctional Facilities Capital Improvement Fund	0	0	0	261,403,000
Total	<u>205,000,000</u>	<u>248,000,000</u>	<u>43,000,000</u>	<u>820,865,000</u>

# **CRIME VICTIMS BOARD**

## **MISSION**

Since its establishment in 1966, the Crime Victims Board (CVB) has been the lead State agency in assisting persons who have been the victims of crime, particularly crimes of a violent nature.

The agency's principal mission is to provide financial assistance to victims for losses they suffer as a result of crime. The Board provides grants to local agencies, which assist witnesses and victims, and serves as the State's advocate for crime victims' rights, needs and interests.

## **ORGANIZATION AND STAFFING**

The Board consists of five members, appointed by the Governor to seven-year terms, who work full-time to administer the agency and to make final decisions on victim compensation awards. The Governor designates one member of the Board to be the Chair. The agency has primary offices in Albany and New York City and has a satellite office in Buffalo. Each office processes victim claims and provides grant program aid and advocacy services on a regional basis in support of the Board's mission. Including the five Board members, the agency will have 103 staff in 2006-07.

## **FISCAL BACKGROUND AND BUDGET HIGHLIGHTS**

During 2006-07, \$7.1 million will be spent to operate the agency. Approximately \$67.6 million in Federal aid and revenue from offenders will support compensation payments to victims, local grants to programs assisting victims with treatment and other services, and payments for forensic rape exams.

Funding is included to support CVB's efforts to further modernize its operations, thereby improving the timeliness of processing compensation claims from victims and their families.

## **PROGRAM HIGHLIGHTS**

The Crime Victims Board operates with three programs. The Payment to Victims Program compensates individual crime victims for crime-related losses. The Victim and Witness Assistance Program administers grants to local agencies. The Administration Program provides executive direction and administrative support to the agency, as well as advocacy services for crime victims.

The September 11<sup>th</sup> attacks on the World Trade Center in New York City were a catastrophic crime, which affected an unprecedented number of people in terms of deaths, injuries and loss of economic support. Although virtually all claims have been processed, the agency will continue to assist all victims of the World Trade Center attacks to meet medical, housing, employment, and counseling expenses.

## **PAYMENTS TO VICTIMS**

The agency annually reviews more than 18,000 cases of persons who may have suffered financial loss as the result of violent crime or, in the case of the elderly and disabled, any crime. Assistance is given with losses for which no other source of compensation is available. Categories in which payments are made include medical expenses, lost wages due to work missed because of an injury, stolen or damaged essential personal property and the costs of counseling to relieve the traumatic effects of victimization. Surviving family members of a victim also may be eligible for these services, as well as reimbursement for funeral expenses for a crime victim.

## CRIME VICTIMS

Over the past several years, the agency has made a significant effort to increase public awareness about the services available to assist victims of crime. As a result, the number of people seeking help has risen.

### VICTIM AND WITNESS ASSISTANCE

The Crime Victims Board currently administers approximately 200 contracts with other State agencies, local governments, and not-for-profit agencies to provide direct services to crime victims and witnesses. In a cooperative program with the New York State Police, CVB funds are used for victim advocates who link crime victims, law enforcement officials and assistance programs for an overall enhancement to criminal justice. Similarly, the agency cooperates with the Department of Correctional Services to fund advocate positions and the automated victim notification system to keep victims informed about the legal status of the offenders responsible for the crimes committed against them. Services provided by local not-for-profit agencies include crisis intervention, counseling and assistance in filing victim compensation.

### ADVOCACY

The agency is responsible by law to “coordinate State programs and activities relating to crime victims” and “to advise and assist the Governor in developing policies designed to recognize the legitimate rights, needs and interests of crime victims.” To that end, the agency provides legal and technical assistance to other State agencies and to local organizations involved with crime victims. In addition, the Crime Victims Board sponsors an annual statewide conference on crime victim issues.

The State’s “Son of Sam Law” prevents convicted persons from profiting from their crimes, including the sale of publishing or film rights to their stories. Any such profits can, by law, be payable to the persons who were victims of the crimes. The agency is charged with notifying victims of a convicted person that a “Son of Sam” situation exists, and may also act on the victims’ behalf to prevent the profits from being spent or otherwise put beyond the reach of the victims while a recovery suit is pending.

#### ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2005-06	Appropriations Recommended 2006-07	Change	Reappropriations Recommended 2006-07
State Operations	6,184,000	7,134,000	950,000	0
Aid To Localities	67,614,000	67,614,000	0	40,410,000
Capital Projects	0	0	0	0
Total	73,798,000	74,748,000	950,000	40,410,000

#### ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Program	Full-Time Equivalent Positions (FTE)		
	2005-06 Estimated FTEs 03/31/06	2006-07 Estimated FTEs 03/31/07	FTE Change
	Administration		
General Fund	64	70	6
Special Revenue Funds - Federal	28	28	0
Special Revenue Funds - Other	5	5	0
Payment to Victims			
Special Revenue Funds - Other	6	0	(6)
Total	103	103	0

**STATE OPERATIONS  
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE  
APPROPRIATIONS  
(dollars)**

<b>Fund Type</b>	<b>Available 2005-06</b>	<b>Recommended 2006-07</b>	<b>Change</b>
General Fund	3,332,000	4,282,000	950,000
Special Revenue Funds - Federal	1,925,000	1,925,000	0
Special Revenue Funds - Other	927,000	927,000	0
<b>Total</b>	<b>6,184,000</b>	<b>7,134,000</b>	<b>950,000</b>

**STATE OPERATIONS  
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM  
APPROPRIATIONS  
(dollars)**

<b>Program</b>	<b>Available 2005-06</b>	<b>Recommended 2006-07</b>	<b>Change</b>
Administration			
General Fund	3,332,000	4,282,000	950,000
Special Revenue Funds - Federal	1,925,000	1,925,000	0
Special Revenue Funds - Other	927,000	927,000	0
<b>Total</b>	<b>6,184,000</b>	<b>7,134,000</b>	<b>950,000</b>

**STATE OPERATIONS - GENERAL FUND  
SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES  
2006-07 RECOMMENDED  
(dollars)**

<b>Program</b>	<b>Total</b>		<b>Personal Service Regular (Annual Salaried)</b>	
	<b>Amount</b>	<b>Change</b>	<b>Amount</b>	<b>Change</b>
Administration	3,490,000	375,000	3,490,000	375,000
<b>Total</b>	<b>3,490,000</b>	<b>375,000</b>	<b>3,490,000</b>	<b>375,000</b>

**STATE OPERATIONS - GENERAL FUND  
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED  
APPROPRIATIONS AND CHANGES  
2006-07 RECOMMENDED  
(dollars)**

<b>Program</b>	<b>Total</b>		<b>Supplies and Materials</b>	
	<b>Amount</b>	<b>Change</b>	<b>Amount</b>	<b>Change</b>
Administration	792,000	575,000	21,000	0
<b>Total</b>	<b>792,000</b>	<b>575,000</b>	<b>21,000</b>	<b>0</b>

<b>Program</b>	<b>Travel</b>		<b>Contractual Services</b>	
	<b>Amount</b>	<b>Change</b>	<b>Amount</b>	<b>Change</b>
Administration	15,000	0	751,000	575,000
<b>Total</b>	<b>15,000</b>	<b>0</b>	<b>751,000</b>	<b>575,000</b>

<b>Program</b>	<b>Equipment</b>	
	<b>Amount</b>	<b>Change</b>
Administration	5,000	0
<b>Total</b>	<b>5,000</b>	<b>0</b>

# CRIME VICTIMS

**STATE OPERATIONS - OTHER THAN GENERAL FUND  
SUMMARY OF APPROPRIATIONS AND CHANGES  
2006-07 RECOMMENDED  
(dollars)**

<b>Program</b>	<b>Total</b>		<b>Personal Service</b>	
	<b>Amount</b>	<b>Change</b>	<b>Amount</b>	<b>Change</b>
Administration	2,852,000	0	1,349,000	0
Total	2,852,000	0	1,349,000	0

<b>Program</b>	<b>Nonpersonal Service</b>	
	<b>Amount</b>	<b>Change</b>
Administration	1,503,000	0
Total	1,503,000	0

**AID TO LOCALITIES  
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE  
APPROPRIATIONS  
(dollars)**

<b>Fund Type</b>	<b>Available</b>	<b>Recommended</b>	<b>Change</b>
	<b>2005-06</b>	<b>2006-07</b>	
Special Revenue Funds - Federal	36,523,000	36,523,000	0
Special Revenue Funds - Other	31,091,000	31,091,000	0
Total	67,614,000	67,614,000	0

**AID TO LOCALITIES  
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM  
APPROPRIATIONS  
(dollars)**

<b>Program</b>	<b>Available</b>	<b>Recommended</b>	<b>Change</b>
	<b>2005-06</b>	<b>2006-07</b>	
Payment to Victims			
Special Revenue Funds - Federal	11,523,000	11,523,000	0
Special Revenue Funds - Other	24,000,000	24,000,000	0
Victim and Witness Assistance			
Special Revenue Funds - Federal	25,000,000	25,000,000	0
Special Revenue Funds - Other	7,091,000	7,091,000	0
Total	67,614,000	67,614,000	0

# ***DIVISION OF CRIMINAL JUSTICE SERVICES***

## ***MISSION***

The mission of the Division of Criminal Justice Services is to enhance public safety and improve criminal justice. The Division measures progress toward the overall goal of reducing crime, and tracks the effectiveness of both agency and systemwide criminal justice strategies designed to increase public safety.

## ***VISION***

The Division of Criminal Justice Services' strategic vision is to make New York the safest state in the nation.

## ***OPERATING HIGHLIGHTS***

- Leads Operation IMPACT, supporting crime-fighting approaches in 17 upstate counties and certain high crime communities.
- Manages more than 2,100 contracts totaling \$134 million in State and Federal funds to support statewide crime reduction strategies.
- Provides technical support through training and crime analysis to law enforcement agencies to reduce crime throughout New York State.
- Maintains the Sex Offender Registry and the State DNA Databank.
- Operates the Statewide Automated Fingerprint Identification System which expedites access to information for local police departments.
- Supports eJustice NY, a secure communications network which provides law enforcement with essential operational support, such as criminal history information and offender photos.
- Operates the Missing and Exploited Children Clearinghouse and the Operation SAFE CHILD program.

## ***ENVIRONMENTAL CHALLENGES AND OPPORTUNITIES***

Through their strategic plan, the Division of Criminal Justice Services is meeting the challenge to:

- Continue lowering the crime rate in New York.
- Improve coordination among Federal, State, and local law enforcement agencies.
- Improve information available to help fight crime.
- Expand the use of technology to combat crime.

## ***CRIMINAL JUSTICE SERVICES***

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### ***KEY AGENCY STRATEGIES***

The Division of Criminal Justice Services will implement the following major strategies during the next three years to accomplish its vision:

- Improve the effectiveness of statewide enforcement efforts.
- Improve offender management through technology and information-sharing systems.
- Expand public safety information and services.

### ***ACTIONS TO IMPLEMENT THE STRATEGIES***

The Division of Criminal Justice Services will pursue the following actions and innovations to implement the above key strategies:

#### ***Improve the Effectiveness of Statewide Enforcement Efforts.***

Implementation actions include expansion of Operation IMPACT, the eJusticeNY program, and DNA processing. The Budget provides an additional \$5 million for Operation IMPACT, to assist communities that are experiencing high crime rates; \$6 million for local crime labs to expand DNA processing capabilities and \$2 million for training in the collection, testing and use of DNA through a partnership with the John Jay College of Criminal Justice; \$2 million for local law enforcement and district attorneys associated with the Governor's initiative to combat illegal gun trafficking; and, an additional \$5 million for programs aimed at improving the recruitment and retention of assistant district attorneys.

<b>Action</b>	<b>Three-Year Performance Goals</b>
<ul style="list-style-type: none"><li>➤ Continue support for Operation IMPACT in the 17 counties outside of New York City who report the highest crime volume, and provide grants to other jurisdictions who are experiencing increases in violent crime</li></ul>	<ul style="list-style-type: none"><li>• Make New York the safest state in the country by 2008</li></ul>
<ul style="list-style-type: none"><li>➤ Improve the effectiveness of the DNA program through increased aid to local laboratories and expanded law enforcement training</li></ul>	<ul style="list-style-type: none"><li>• Reduce DNA sample processing times for local laboratories; train law enforcement on the collection and use of DNA evidence</li></ul>
<ul style="list-style-type: none"><li>➤ Expand access to eJusticeNY, a secure communications network for law enforcement</li></ul>	<ul style="list-style-type: none"><li>• 100 percent of all law enforcement agencies linked to and using the network</li></ul>
<ul style="list-style-type: none"><li>➤ Conduct 200 law enforcement training sessions for 6,000 officers annually through the Office of Public Safety</li></ul>	<ul style="list-style-type: none"><li>• All sessions evaluated by participants as excellent or very good</li></ul>
<ul style="list-style-type: none"><li>➤ Provide an efficient equipment repair and certification service to law enforcement through the Office of Public Safety</li></ul>	<ul style="list-style-type: none"><li>• Repair and certify 5,300 breathalyzers and speed enforcement devices annually within 3 days of receipt, with service ratings of excellent or very good</li></ul>

## CRIMINAL JUSTICE SERVICES

### ***Improve Offender Management Through Technology and Information-Sharing Systems.***

Implementation actions include expanding electronic transmission of fingerprints and improving the Sex Offender Registry. The Budget provides \$21 million from the Fingerprint Identification and Technology Account to fully fund major criminal justice technology initiatives including improvements to eJusticeNY and the computerized Sex Offender Registry.

Action	Three-Year Performance Goals
<ul style="list-style-type: none"><li>➤ Provide timely, accurate criminal history information to law enforcement and civil customers</li></ul>	<ul style="list-style-type: none"><li>• Process 650,000 criminal and 400,000 civil prints annually; process electronically submitted prints within 3 hours of receipt</li></ul>
<ul style="list-style-type: none"><li>➤ Expand the number of agencies submitting fingerprint arrest records electronically</li></ul>	<ul style="list-style-type: none"><li>• Increase the percent of criminal fingerprints submitted electronically from 80 to 100 percent</li></ul>
<ul style="list-style-type: none"><li>➤ Improve the Sex Offender Registry by improving the case management system and implementing a process to update offender photos</li></ul>	<ul style="list-style-type: none"><li>• Register all high risk sex offenders within one day of receipt of registration information; update public website photos of high risk sex offenders annually</li></ul>

### ***Expand Public Safety Information and Services.***

Implementation actions include expanding Operation SAFE CHILD and the inquiry capacity of the Sex Offender Registry.

Action	Three-Year Performance Goals
<ul style="list-style-type: none"><li>➤ Expand Operation SAFE CHILD through a partnership with 25 local law enforcement agencies</li></ul>	<ul style="list-style-type: none"><li>• Expand the number of SAFE CHILD ID cards issued to 60,000 annually</li></ul>
<ul style="list-style-type: none"><li>➤ Provide the public with information on sex offenders by providing a 24 hour toll free phone service and operating a public website</li></ul>	<ul style="list-style-type: none"><li>• Maintain a website that can respond to up to 20 million Sex Offender Registry inquiries annually, and staff the toll free line to respond to 125,000 public inquiries annually</li></ul>

# CRIMINAL JUSTICE SERVICES

## ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2005-06	Appropriations Recommended 2006-07	Change	Reappropriations Recommended 2006-07
State Operations	143,459,000	128,567,000	(14,892,000)	410,714,000
Aid To Localities	147,185,000	131,591,000	(15,594,000)	239,109,000
Capital Projects	0	0	0	0
Total	290,644,000	260,158,000	(30,486,000)	649,823,000

## ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

### Full-Time Equivalent Positions (FTE)

Program	2005-06 Estimated FTEs 03/31/06	2006-07 Estimated FTEs 03/31/07	FTE Change
Administration			
General Fund	82	82	0
Funding and Program Assistance			
General Fund	53	53	0
Special Revenue Funds - Federal	117	117	0
Special Revenue Funds - Other	4	4	0
Operation and Systems			
General Fund	349	363	14
Special Revenue Funds - Federal	29	29	0
Public Safety			
General Fund	55	55	0
Special Revenue Funds - Other	3	3	0
Total	692	706	14

## STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2005-06	Recommended 2006-07	Change
General Fund	42,499,000	55,907,000	13,408,000
Special Revenue Funds - Federal	90,310,000	50,010,000	(40,300,000)
Special Revenue Funds - Other	10,650,000	22,650,000	12,000,000
Total	143,459,000	128,567,000	(14,892,000)

## STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2005-06	Recommended 2006-07	Change
Administration			
General Fund	14,188,000	18,215,000	4,027,000
Funding and Program Assistance			
General Fund	2,940,000	3,279,000	339,000
Special Revenue Funds - Federal	82,810,000	42,510,000	(40,300,000)
Special Revenue Funds - Other	500,000	500,000	0
Operation and Systems			
General Fund	22,395,000	30,913,000	8,518,000
Special Revenue Funds - Federal	7,500,000	7,500,000	0
Special Revenue Funds - Other	9,200,000	21,200,000	12,000,000
Public Safety			
General Fund	2,976,000	3,500,000	524,000
Special Revenue Funds - Other	950,000	950,000	0
Total	143,459,000	128,567,000	(14,892,000)

# CRIMINAL JUSTICE SERVICES

## STATE OPERATIONS - GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2006-07 RECOMMENDED (dollars)

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Administration	6,953,000	625,000	6,914,000	625,000
Funding and Program Assistance	3,130,000	339,000	3,121,000	339,000
Operation and Systems	17,169,000	1,972,000	17,087,000	1,972,000
Public Safety	2,922,000	324,000	2,878,000	324,000
Total	30,174,000	3,260,000	30,000,000	3,260,000

Program	Temporary Service (Nonannual Salaried)		Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change	Amount	Change
Administration	5,000	0	34,000	0
Funding and Program Assistance	0	0	9,000	0
Operation and Systems	0	0	82,000	0
Public Safety	0	0	44,000	0
Total	5,000	0	169,000	0

## STATE OPERATIONS - GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2006-07 RECOMMENDED (dollars)

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Administration	11,262,000	3,402,000	346,000	0
Funding and Program Assistance	149,000	0	20,000	0
Operation and Systems	13,744,000	6,546,000	66,000	0
Public Safety	578,000	200,000	87,000	0
Total	25,733,000	10,148,000	519,000	0

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration	271,000	0	5,976,000	402,000
Funding and Program Assistance	23,000	0	97,000	0
Operation and Systems	25,000	0	13,603,000	6,546,000
Public Safety	215,000	0	12,000	0
Total	534,000	0	19,688,000	6,948,000

Program	Equipment		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration	1,169,000	0	3,500,000	3,000,000
Funding and Program Assistance	9,000	0	0	0
Operation and Systems	50,000	0	0	0
Public Safety	264,000	200,000	0	0
Total	1,492,000	200,000	3,500,000	3,000,000

# CRIMINAL JUSTICE SERVICES

## STATE OPERATIONS - OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 2006-07 RECOMMENDED (dollars)

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Funding and Program Assistance	43,010,000	(40,300,000)	4,100,000	4,100,000
Operation and Systems	28,700,000	12,000,000	0	0
Public Safety	950,000	0	0	0
Total	<u>72,660,000</u>	<u>(28,300,000)</u>	<u>4,100,000</u>	<u>4,100,000</u>

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Funding and Program Assistance	500,000	0	38,410,000	(44,400,000)
Operation and Systems	0	0	28,700,000	12,000,000
Public Safety	0	0	950,000	0
Total	<u>500,000</u>	<u>0</u>	<u>68,060,000</u>	<u>(32,400,000)</u>

## AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available	Recommended	Change
	2005-06	2006-07	
General Fund	63,435,000	27,135,000	(36,300,000)
Special Revenue Funds - Federal	58,050,000	33,050,000	(25,000,000)
Special Revenue Funds - Other	25,700,000	71,406,000	45,706,000
Total	<u>147,185,000</u>	<u>131,591,000</u>	<u>(15,594,000)</u>

## AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available	Recommended	Change
	2005-06	2006-07	
Funding and Program Assistance			
General Fund	63,435,000	27,135,000	(36,300,000)
Special Revenue Funds - Federal	58,050,000	33,050,000	(25,000,000)
Special Revenue Funds - Other	25,700,000	71,406,000	45,706,000
Total	<u>147,185,000</u>	<u>131,591,000</u>	<u>(15,594,000)</u>

# STATE BOARD OF ELECTIONS

## MISSION

The New York State Board of Elections executes and enforces all laws relating to the elective franchise and oversees the disclosure of campaign financing and practices.

## ORGANIZATION AND STAFFING

The State Board of Elections, comprised of four commissioners, two chosen by each major political party, administers provisions of the Election Law regarding campaign financial disclosure, including civil judgments levied for failure to file disclosure documents; oversees the petitioning process and certification of ballots; investigates allegations of criminal violations of the Election Law and recommends prosecution where warranted; and certifies electronic voting machines purchased by local Boards of Elections. The Board also assists County Boards of Elections by completing administrative reviews, assisting in resolving complaints and producing reports and recommendations. The Board will have a workforce of 62 in 2006-07.

## FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The Executive Budget recommends over \$4.6 million in General Fund support for the Board of Elections and includes a total of \$237 million in appropriations for the implementation of the Help America Vote Act. This funding will permit the Board to continue to carry out its various responsibilities related to the electoral process, including HAVA initiatives and the development of a local campaign report database, as well as voter outreach activities and interaction with local Boards of Elections.

## PROGRAM HIGHLIGHTS

Over the past 30 years, the scope of the Board's services has grown to include providing legal counsel to 62 County Boards of Election, administering registration efforts, providing technical assistance to administrators of elections, investigating violations of the Election Law and coordinating the State's responses to new Federal election requirements.

The Federal Help America Vote Act of 2002 provides Federal funding for State and local election related initiatives including modernizing voting machines, developing a statewide voter registration database, training poll workers, providing voter education and assuring accessibility for the disabled.

A priority of the Board remains the continued use of technology to provide services and information to local election boards and the public. Financial disclosure reports and election information on the Board's web site are accessed by an estimated 10 million requestors annually.

### ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2005-06	Appropriations Recommended 2006-07	Change	Reappropriations Recommended 2006-07
State Operations	31,929,000	14,888,000	(17,041,000)	27,700,000
Aid To Localities	200,000,000	0	(200,000,000)	200,000,000
Capital Projects	0	0	0	0
Total	231,929,000	14,888,000	(217,041,000)	227,700,000

# ELECTIONS

## ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Program	Full-Time Equivalent Positions (FTE)		
	2005-06	2006-07	FTE Change
	Estimated FTEs 03/31/06	Estimated FTEs 03/31/07	
Regulation of Elections			
General Fund	43	53	10
Special Revenue Funds - Federal	4	9	5
Total	47	62	15

## STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2005-06	Recommended 2006-07	Change
General Fund	4,109,000	4,688,000	579,000
Special Revenue Funds - Federal	20,000,000	10,000,000	(10,000,000)
Special Revenue Funds - Other	7,820,000	200,000	(7,620,000)
Total	31,929,000	14,888,000	(17,041,000)

Adjustments:	
Recommended Deficiency	
Elections, State Board of	
General Fund	(500,000)
Appropriated 2005-06	31,429,000

## STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2005-06	Recommended 2006-07	Change
Regulation of Elections			
General Fund	4,109,000	4,688,000	579,000
Special Revenue Funds - Federal	20,000,000	10,000,000	(10,000,000)
Special Revenue Funds - Other	7,820,000	200,000	(7,620,000)
Total	31,929,000	14,888,000	(17,041,000)

## STATE OPERATIONS - GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2006-07 RECOMMENDED (dollars)

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Regulation of Elections	3,130,000	544,000	3,130,000	544,000
Total	3,130,000	544,000	3,130,000	544,000

## STATE OPERATIONS - GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2006-07 RECOMMENDED (dollars)

Program	Total		Contractual Services	
	Amount	Change	Amount	Change
Regulation of Elections	1,558,000	35,000	1,558,000	35,000
Total	1,558,000	35,000	1,558,000	35,000

**STATE OPERATIONS - OTHER THAN GENERAL FUND  
SUMMARY OF APPROPRIATIONS AND CHANGES  
2006-07 RECOMMENDED  
(dollars)**

<b>Program</b>	<b>Total</b>		<b>Maintenance Undistributed</b>	
	<b>Amount</b>	<b>Change</b>	<b>Amount</b>	<b>Change</b>
Regulation of Elections	10,200,000	(17,620,000)	10,200,000	(17,620,000)
Total	10,200,000	(17,620,000)	10,200,000	(17,620,000)

**AID TO LOCALITIES  
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE  
APPROPRIATIONS  
(dollars)**

<b>Fund Type</b>	<b>Available 2005-06</b>	<b>Recommended 2006-07</b>	<b>Change</b>
Special Revenue Funds - Federal	200,000,000	0	(200,000,000)
Total	200,000,000	0	(200,000,000)

**AID TO LOCALITIES  
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM  
APPROPRIATIONS  
(dollars)**

<b>Program</b>	<b>Available 2005-06</b>	<b>Recommended 2006-07</b>	<b>Change</b>
Regulation of Elections			
Special Revenue Funds - Federal	200,000,000	0	(200,000,000)
Total	200,000,000	0	(200,000,000)



# **OFFICE OF EMPLOYEE RELATIONS**

## **MISSION**

In accordance with the Public Employees' Fair Employment Act (the Taylor Law), the Office of Employee Relations (OER) represents the Governor in collective bargaining with public employee unions and directs the State's employee relations policies so that agencies and employees provide high quality, uninterrupted State government services.

## **ORGANIZATION AND STAFFING**

Located in Albany, OER is administered by a Director appointed by the Governor. OER will have a workforce of 72 positions for 2006-07. This staffing level will enable the agency to carry out its responsibilities for negotiating and implementing collective bargaining agreements.

## **FISCAL BACKGROUND AND BUDGET HIGHLIGHTS**

A total of \$7.0 million is recommended for the Office of Employee Relations, including \$4.0 million in General Fund support. This funding will provide continued support for the Office's primary mission of negotiating and administering collective bargaining agreements.

Funding from the Office's other sources includes:

- \$2.5 million in charges to the Collective Bargaining Agreements to support statewide employee training and developmental programs and the cost of administering these agreements; and
- Revenues of \$479,000 received from non-General Fund agencies to reimburse the Office for providing training programs and collective bargaining services. These revenues also include payments from the National Association of State Directors of Employee Relations to support the operations of that organization.

## **PROGRAM HIGHLIGHTS**

The Office of Employee Relations represents the Governor in Executive Branch collective bargaining negotiations with nine public employee unions, assists State agencies in interpreting and administering the negotiated agreements and represents the State in hearings and arbitrations before the State Labor Relations Board.

The Office of Employee Relations also is the Executive Branch's in-house consulting agency for advancing sound labor management practices and improving productivity and innovation in State government's workforce. The Office is further charged with designing and administering statewide training programs, policy development and oversight of several employee benefit programs.

In its capacity as the Governor's labor relations agent, the Office continues to be instrumental in providing direction for workforce management and, through labor management partnerships, working with the unions to mitigate the impact of structural changes aimed at improving the efficiency of State government.

The Office also promotes labor-relations excellence by offering a comprehensive training program through the Employee Relations Institute and by increasing the use of technology for better communication of common issues and concerns. A major focus during 2006-07 will be administration of collective bargaining agreements and the programs that are derived from them, and preparation for the next cycle of collective bargaining, which will begin in the winter of 2007.

# EMPLOYEE RELATIONS

## ALL FUNDS APPROPRIATIONS (dollars)

Category	Available	Appropriations	Change	Reappropriations
	2005-06	Recommended 2006-07		Recommended 2006-07
State Operations	6,508,000	6,969,000	461,000	0
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	6,508,000	6,969,000	461,000	0

## ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

### Full-Time Equivalent Positions (FTE)

Program	2005-06	2006-07	FTE Change
	Estimated FTEs 03/31/06	Estimated FTEs 03/31/07	
Contract Negotiation and Administration			
General Fund	35	41	6
Internal Service Funds	33	27	(6)
Management Confidential Affairs			
General Fund	4	4	0
Total	72	72	0

## STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available	Recommended	Change
	2005-06	2006-07	
General Fund	3,559,000	4,020,000	461,000
Special Revenue Funds - Other	479,000	479,000	0
Internal Service Funds	2,470,000	2,470,000	0
Total	6,508,000	6,969,000	461,000

## STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available	Recommended	Change
	2005-06	2006-07	
Contract Negotiation and Administration			
General Fund	3,111,000	3,572,000	461,000
Special Revenue Funds - Other	479,000	479,000	0
Internal Service Funds	2,470,000	2,470,000	0
Management Confidential Affairs			
General Fund	448,000	448,000	0
Total	6,508,000	6,969,000	461,000

## EMPLOYEE RELATIONS

**STATE OPERATIONS - GENERAL FUND  
SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES  
2006-07 RECOMMENDED  
(dollars)**

<b>Program</b>	<b>Total</b>		<b>Personal Service Regular (Annual Salaried)</b>	
	<b>Amount</b>	<b>Change</b>	<b>Amount</b>	<b>Change</b>
Contract Negotiation and Administration	3,298,000	447,000	3,288,000	447,000
Management Confidential Affairs	288,000	0	287,000	0
Total	<u>3,586,000</u>	<u>447,000</u>	<u>3,575,000</u>	<u>447,000</u>

<b>Program</b>	<b>Temporary Service (Nonannual Salaried)</b>		<b>Holiday/Overtime Pay (Annual Salaried)</b>	
	<b>Amount</b>	<b>Change</b>	<b>Amount</b>	<b>Change</b>
Contract Negotiation and Administration	10,000	0	0	0
Management Confidential Affairs	0	0	1,000	0
Total	<u>10,000</u>	<u>0</u>	<u>1,000</u>	<u>0</u>

**STATE OPERATIONS - GENERAL FUND  
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED  
APPROPRIATIONS AND CHANGES  
2006-07 RECOMMENDED  
(dollars)**

<b>Program</b>	<b>Total</b>		<b>Supplies and Materials</b>	
	<b>Amount</b>	<b>Change</b>	<b>Amount</b>	<b>Change</b>
Contract Negotiation and Administration	274,000	14,000	35,000	0
Management Confidential Affairs	160,000	0	3,000	0
Total	<u>434,000</u>	<u>14,000</u>	<u>38,000</u>	<u>0</u>

<b>Program</b>	<b>Travel</b>		<b>Contractual Services</b>	
	<b>Amount</b>	<b>Change</b>	<b>Amount</b>	<b>Change</b>
Contract Negotiation and Administration	31,000	0	208,000	14,000
Management Confidential Affairs	4,000	0	18,000	0
Total	<u>35,000</u>	<u>0</u>	<u>226,000</u>	<u>14,000</u>

<b>Program</b>	<b>Maintenance Undistributed</b>	
	<b>Amount</b>	<b>Change</b>
Contract Negotiation and Administration	0	0
Management Confidential Affairs	135,000	0
Total	<u>135,000</u>	<u>0</u>

**STATE OPERATIONS - OTHER THAN GENERAL FUND  
SUMMARY OF APPROPRIATIONS AND CHANGES  
2006-07 RECOMMENDED  
(dollars)**

<b>Program</b>	<b>Total</b>		<b>Personal Service</b>	
	<b>Amount</b>	<b>Change</b>	<b>Amount</b>	<b>Change</b>
Contract Negotiation and Administration	2,949,000	0	1,449,000	(244,000)
Total	<u>2,949,000</u>	<u>0</u>	<u>1,449,000</u>	<u>(244,000)</u>

<b>Program</b>	<b>Nonpersonal Service</b>		<b>Maintenance Undistributed</b>	
	<b>Amount</b>	<b>Change</b>	<b>Amount</b>	<b>Change</b>
Contract Negotiation and Administration	1,021,000	244,000	479,000	0
Total	<u>1,021,000</u>	<u>244,000</u>	<u>479,000</u>	<u>0</u>



# EXECUTIVE CHAMBER

## MISSION

The Executive Chamber is the Office of the Governor and includes the immediate staff that assists in managing State government.

## ORGANIZATION AND STAFFING

The Office of the Governor is located in the State Capitol in Albany. This budget represents programs directly related to the Governor's Office and is supported by General Fund revenues.

## FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The 2006-07 Executive Budget recommends a General Fund appropriation of \$15.1 million, the same level of funding provided in 2005-06. A special revenue appropriation of \$100,000 is also requested to permit the use of private grants within the Office of Community Affairs.

### ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2005-06	Appropriations Recommended 2006-07	Change	Reappropriations Recommended 2006-07
State Operations	15,216,000	15,216,000	0	0
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	15,216,000	15,216,000	0	0

### ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

#### Full-Time Equivalent Positions (FTE)

Program	2005-06 Estimated FTEs 03/31/06	2006-07 Estimated FTEs 03/31/07	FTE Change
Executive Chamber			
General Fund	153	153	0
Total	153	153	0

### STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2005-06	Recommended 2006-07	Change
Executive Chamber			
General Fund	15,116,000	15,116,000	0
Special Revenue Funds - Other	100,000	100,000	0
Total	15,216,000	15,216,000	0

**EXECUTIVE CHAMBER**

**OFFICE OF THE LIEUTENANT GOVERNOR**

**ALL FUNDS  
APPROPRIATIONS  
(dollars)**

<b>Category</b>	<b>Available 2005-06</b>	<b>Appropriations Recommended 2006-07</b>	<b>Change</b>	<b>Reappropriations Recommended 2006-07</b>
State Operations	508,500	509,000	500	0
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
<b>Total</b>	<b>508,500</b>	<b>509,000</b>	<b>500</b>	<b>0</b>

**ALL FUND TYPES  
PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM  
FILLED ANNUAL SALARIED POSITIONS**

**Full-Time Equivalent Positions (FTE)**

<b>Program</b>	<b>2005-06 Estimated FTEs 03/31/06</b>	<b>2006-07 Estimated FTEs 03/31/07</b>	<b>FTE Change</b>
Administration			
General Fund	5	5	0
<b>Total</b>	<b>5</b>	<b>5</b>	<b>0</b>

**STATE OPERATIONS  
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM  
APPROPRIATIONS  
(dollars)**

<b>Program</b>	<b>Available 2005-06</b>	<b>Recommended 2006-07</b>	<b>Change</b>
Administration			
General Fund	508,500	509,000	500
<b>Total</b>	<b>508,500</b>	<b>509,000</b>	<b>500</b>

# **OFFICE OF GENERAL SERVICES**

## **MISSION**

The Office of General Services (OGS) manages and leases real property, designs and builds facilities, contracts for goods, services and technology, and delivers a wide array of support services. OGS aims to provide government and nonprofit agencies with innovative solutions, integrated service, and best value, enabling the State of New York to function optimally.

## **VISION**

The Office of General Services' strategic vision centers on:

- Keeping the physical and operational infrastructure for State government in optimal condition and delivering customer-focused services by sustaining preventive maintenance, prioritizing capital investments, enhancing project and portfolio management and providing improved security/safety systems;
- Reducing the cost of government and stimulating State and local economies through its real estate management, construction, and statewide procurement activities, including a new energy management agenda and environment-friendly initiatives;
- Positioning itself for long-term organizational effectiveness through efforts to make the Office an excellent place to work, including systematic staff development and succession management, computerization of business processes facilitated by a strengthened information technology infrastructure, and cooperative employee relations.

## **OPERATING HIGHLIGHTS**

The Office of General Services:

- Manages approximately 18 million square feet of interior space used by State agencies spread across 55 major and 88 ancillary buildings. Services include indoor and outdoor maintenance, heating, ventilation and cooling, office space planning and renovations, and security.
- Manages a portfolio of 595 leases, covering 15 million square feet of privately-owned office space used by State agencies.
- Manages design and construction projects on behalf of State agencies (including OGS) with current portfolio values totaling approximately \$900 million in design and \$760 million in construction.
- Manages more than 2,800 centralized procurement contracts, with a total purchasing value of approximately \$3.1 billion per year, used by State agencies, municipalities, and selected public authorities and nonprofit entities.
- Administers a wide variety of other facilities and programs serving State and local government agencies as well as the general public, including managing parking facilities across the State, events at the Empire State Plaza and its Convention Center, a donated foods program for local school districts, and providing administrative services to 15 State agencies.

## ***GENERAL SERVICES***

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### ***ENVIRONMENTAL CHALLENGES AND OPPORTUNITIES***

The lead issues currently confronting OGS are:

- **Scarcity and rising cost of energy resources combined with public concerns for environmental quality.** As a major consumer of energy, as well as a lead procurer and user of a vast range of equipment and products, OGS has an opportunity and obligation to pursue ways to conserve energy use, reduce energy expenses, and minimize possible adverse environmental impacts from governmental operations.
- **Heightened threat of harm to governmental property, people, and information.** As a major building owner/operator, OGS must protect the personal safety of occupants and reduce the vulnerability of all assets to deliberate or accidental damage, while continuing to keep government accessible. OGS is pursuing planned upgrades to a host of physical and information technology systems, policies, and procedures, matched with safety awareness education for building operators, system users, and tenants.
- **Aging public buildings infrastructure.** Most OGS-managed facilities are over 30 years old, meaning that major components such as facades, roofs, operating systems, and grounds are in need of improvements, modernization, or total replacement. Continued preventive maintenance coupled with capital investments will avert extraordinary, unplanned repairs.
- **Complexity and competitiveness in government purchasing.** Contracts constitute a major OGS product. Supporting significant public spending, they can require months of effort for both buyers and sellers. OGS, State agencies, and vendors will all benefit from streamlined procurement procedures that can expedite the process without compromising the goals and principles of public procurement.
- **Fast pace of change in information technology industry and increased business requirements for information technology (IT).** OGS' information technology infrastructure and services are under great pressure to bridge the gap between the agency's business demands and systems capabilities, streamline data and applications, and safeguard information technology assets.

### ***KEY AGENCY STRATEGIES***

OGS will implement the following major strategies during the next three years to accomplish its vision:

- **Leverage the entire State's buying power to minimize State energy expenditures.**
- **Improve building security systems and practices to reduce personal safety and property damage risks from accidental or malicious harm.**
- **Initiate a long-range maintenance and repair program focused on maintaining total facility integrity while preventing emergency replacement expenditures.**
- **Modernize methodologies used in centralized procurement contracting.**
- **Strengthen management and protection of the agency's information and technology assets.**

### ***ACTIONS TO IMPLEMENT THE STRATEGIES***

OGS will pursue the following actions and innovations to implement the above key strategies:

***Leverage the entire State’s buying power to minimize State energy expenditures.***

Implementation actions include: providing OGS with statutory and appropriation authority (\$110 million) to enable consolidated energy purchases on behalf of other State facility operators including but not limited to the Department of Correctional Services, Office of Children and Family Services, and State mental hygiene agencies; arranging for structured growth in OGS’ purchase of green power; and establishing an energy management office to coordinate energy market analysis and develop innovative buying practices.

Action	Three-Year Performance Goals
<ul style="list-style-type: none"> <li>➤ Consolidate State energy purchases made for/by New York State</li> <li>➤ Adjust energy buying practices to gradually incorporate green power purchases</li> <li>➤ Establish an energy management office with coordinative responsibilities</li> </ul>	<ul style="list-style-type: none"> <li>• Achieve \$10 million in annual cost avoidance on statewide energy purchases</li> <li>• Increase OGS energy usage from renewable sources from the currently minimal level to 18% in 2008-09</li> <li>• Decrease OGS and statewide energy use by 35% from 1990 baseline</li> </ul>

***Improve building security systems and practices to reduce personal safety and property damage risks from accidental or malicious harm.***

Implementation actions include: a joint project with the Division of State Police that will enhance detection and security response capability in the Empire State Plaza by integrating the existing mix of security systems and expanding the use of the building access systems now employed throughout the Empire State Plaza to additional OGS-managed facilities.

Action	Three-Year Performance Goals
<ul style="list-style-type: none"> <li>➤ Integrate both physically and logically the multiple security systems installed in the Empire State Plaza</li> <li>➤ Install building access system and security upgrades statewide</li> </ul>	<ul style="list-style-type: none"> <li>• Reduce incident response time and achieve 85% tenant satisfaction with building safety/security</li> <li>• Increase number of buildings meeting building-specific standards from 2 to 18 buildings</li> </ul>

***Initiate a long-range maintenance and repair program focused on maintaining total facility integrity while preventing emergency replacement expenditures.***

Implementation actions include: launching an initiative to preserve and protect the structural and functional integrity of the Empire State Plaza (ESP) by replacing the Plaza’s stone and marble facades and reconstructing its deck over a twenty-year period; and improving State office building indoor air quality through ventilation systems projects and building staff and tenant education.

Action	Three-Year Performance Goals
<ul style="list-style-type: none"> <li>➤ Develop and pursue a 20-year capital program to replace the exterior surfaces of the Empire State Plaza</li> <li>➤ Implement indoor air quality improvement plan throughout OGS’ building portfolio</li> </ul>	<ul style="list-style-type: none"> <li>• Achieve top condition rating for building facades for 5% of ESP in 2008-09</li> <li>• Eliminate emergencies and accidents resulting from façade failures</li> <li>• Improve tenant satisfaction with air quality in OGS buildings from 54% currently to 65% or better in 2008-09</li> </ul>

## **GENERAL SERVICES**

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### ***Modernize methodologies used in centralized procurement contracting.***

Implementation actions include: building on the agency's recent success in reducing spending by coordinating large multi-agency aggregated purchases of computer and related technology components; and using technology to systematize and simplify the work steps involved in bidding, establishing and monitoring State procurement contracts.

<b>Action</b>	<b>Three-Year Performance Goals</b>
<ul style="list-style-type: none"> <li>➤ Expand use of the aggregated buy method</li> </ul>	<ul style="list-style-type: none"> <li>• Increase annual savings from statewide aggregated purchasing from \$12 million currently to \$25 million in 2008-09</li> </ul>
<ul style="list-style-type: none"> <li>➤ Further automate procurement and contract management activities</li> </ul>	<ul style="list-style-type: none"> <li>• Improve proportion of contracts with continuous coverage from 74% currently to 80% or better in 2008-09</li> </ul>

### ***Strengthen management and protection of the agency's information and technology assets.***

Implementation actions include: establishing a five-year requirements plan that enables strategic and proactive advancement of the agency's total IT portfolio; transitioning the Office's technical infrastructure to technology that enables more efficient operation and storage; implementing a robust plan for disaster recovery and business continuity; and reinforcing current staff capacity directed toward maintaining, updating, and enhancing the agency's hardware and software.

<b>Action</b>	<b>Three-Year Performance Goals</b>
<ul style="list-style-type: none"> <li>➤ Design and implement a multi-year, comprehensive resource requirements planning process for OGS IT infrastructure and services, aligned with the State CIO direction</li> </ul>	<ul style="list-style-type: none"> <li>• Reduce unplanned IT projects (over \$50,000) from 10 to fewer than five in 2008-09</li> </ul>
<ul style="list-style-type: none"> <li>➤ Migrate to new technical infrastructure on which to run the agency's computer applications, complementing statewide IT infrastructure plans</li> </ul>	<ul style="list-style-type: none"> <li>• Reduce number of servers by 33%, from 120 currently to 80 in 2008-09</li> <li>• Improve overall system reliability from 99.88% currently to 99.95%</li> </ul>
<ul style="list-style-type: none"> <li>➤ Develop and implement IT disaster recovery/business continuity</li> </ul>	<ul style="list-style-type: none"> <li>• Initiate an off-site replication of critical computer applications to achieve 100% replication by 2008-09</li> </ul>
<ul style="list-style-type: none"> <li>➤ Add capacity for performing IT hardware/software maintenance, updates and enhancements</li> </ul>	<ul style="list-style-type: none"> <li>• Reduce number of known critical vulnerabilities in OGS IT production environment to industry standard or better</li> </ul>

# GENERAL SERVICES

## ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2005-06	Appropriations Recommended 2006-07	Change	Reappropriations Recommended 2006-07
State Operations	401,647,000	451,748,000	50,101,000	71,830,000
Aid To Localities	0	0	0	0
Capital Projects	100,250,000	122,800,000	22,550,000	244,356,000
Total	<u>501,897,000</u>	<u>574,548,000</u>	<u>72,651,000</u>	<u>316,186,000</u>

## ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

### Full-Time Equivalent Positions (FTE)

Program	2005-06 Estimated FTEs 03/31/06	2006-07 Estimated FTEs 03/31/07	FTE Change
Design and Construction			
Internal Service Funds	425	425	0
Executive Direction			
General Fund	106	111	5
Internal Service Funds	16	19	3
Procurement Services			
General Fund	151	161	10
Special Revenue Funds - Other	13	18	5
Internal Service Funds	79	79	0
Real Property Management and Development			
General Fund	814	821	7
Special Revenue Funds - Other	55	55	0
Enterprise Funds	12	12	0
Internal Service Funds	48	50	2
Total	<u>1,719</u>	<u>1,751</u>	<u>32</u>

## STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2005-06	Recommended 2006-07	Change
General Fund	148,003,000	158,127,000	10,124,000
Special Revenue Funds - Federal	7,700,000	7,730,000	30,000
Special Revenue Funds - Other	18,910,000	16,246,000	(2,664,000)
Enterprise Funds	1,371,000	2,121,000	750,000
Internal Service Funds	225,413,000	267,274,000	41,861,000
Fiduciary Funds	250,000	250,000	0
Total	<u>401,647,000</u>	<u>451,748,000</u>	<u>50,101,000</u>

Adjustments:	
Prior Year Deficiency	
General Services, Office of General Fund	20,500,000
Recommended Deficiency	
General Services, Office of General Fund	(16,595,000)
Transfer(s) From	
Homeland Security - Miscellaneous All Funds	(2,285,000)
Appropriated 2005-06	<u>403,267,000</u>

# GENERAL SERVICES

## STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

<b>Program</b>	<b>Available 2005-06</b>	<b>Recommended 2006-07</b>	<b>Change</b>
Design and Construction			
Internal Service Funds	47,027,000	54,027,000	7,000,000
Executive Direction			
General Fund	11,997,000	13,119,000	1,122,000
Special Revenue Funds - Other	1,248,000	1,017,000	(231,000)
Enterprise Funds	34,000	34,000	0
Internal Service Funds	79,293,000	172,293,000	93,000,000
Procurement Services			
General Fund	9,898,000	11,624,000	1,726,000
Special Revenue Funds - Federal	7,700,000	7,730,000	30,000
Special Revenue Funds - Other	6,534,000	6,034,000	(500,000)
Internal Service Funds	31,945,000	22,945,000	(9,000,000)
Real Property Management and Development			
General Fund	126,108,000	133,384,000	7,276,000
Special Revenue Funds - Other	11,128,000	9,195,000	(1,933,000)
Enterprise Funds	1,337,000	2,087,000	750,000
Internal Service Funds	67,148,000	18,009,000	(49,139,000)
Fiduciary Funds	250,000	250,000	0
Total	<u>401,647,000</u>	<u>451,748,000</u>	<u>50,101,000</u>

## STATE OPERATIONS - GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2006-07 RECOMMENDED (dollars)

<b>Program</b>	<b>Total</b>		<b>Personal Service Regular (Annual Salaried)</b>	
	<b>Amount</b>	<b>Change</b>	<b>Amount</b>	<b>Change</b>
Executive Direction	6,758,000	1,104,000	6,537,000	1,093,000
Procurement Services	9,860,000	1,111,000	9,751,000	1,100,000
Real Property Management and Development	39,286,000	2,152,000	38,130,000	2,087,000
Total	<u>55,904,000</u>	<u>4,367,000</u>	<u>54,418,000</u>	<u>4,280,000</u>
<b>Program</b>	<b>Temporary Service (Nonannual Salaried)</b>		<b>Holiday/Overtime Pay (Annual Salaried)</b>	
	<b>Amount</b>	<b>Change</b>	<b>Amount</b>	<b>Change</b>
Executive Direction	156,000	0	65,000	11,000
Procurement Services	0	0	109,000	11,000
Real Property Management and Development	0	0	1,156,000	65,000
Total	<u>156,000</u>	<u>0</u>	<u>1,330,000</u>	<u>87,000</u>

# GENERAL SERVICES

**STATE OPERATIONS - GENERAL FUND  
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED  
APPROPRIATIONS AND CHANGES  
2006-07 RECOMMENDED  
(dollars)**

<b>Program</b>	<b>Total</b>		<b>Supplies and Materials</b>	
	<b>Amount</b>	<b>Change</b>	<b>Amount</b>	<b>Change</b>
Executive Direction	6,361,000	18,000	266,000	0
Procurement Services	1,764,000	615,000	100,000	0
Real Property Management and Development	94,098,000	5,124,000	10,379,000	1,571,000
Total	<u>102,223,000</u>	<u>5,757,000</u>	<u>10,745,000</u>	<u>1,571,000</u>

<b>Program</b>	<b>Travel</b>		<b>Contractual Services</b>	
	<b>Amount</b>	<b>Change</b>	<b>Amount</b>	<b>Change</b>
Executive Direction	97,000	0	1,823,000	18,000
Procurement Services	61,000	0	1,528,000	563,000
Real Property Management and Development	213,000	0	82,838,000	3,553,000
Total	<u>371,000</u>	<u>0</u>	<u>86,189,000</u>	<u>4,134,000</u>

<b>Program</b>	<b>Equipment</b>		<b>Maintenance Undistributed</b>	
	<b>Amount</b>	<b>Change</b>	<b>Amount</b>	<b>Change</b>
Executive Direction	0	0	4,175,000	0
Procurement Services	75,000	52,000	0	0
Real Property Management and Development	668,000	0	0	0
Total	<u>743,000</u>	<u>52,000</u>	<u>4,175,000</u>	<u>0</u>

**STATE OPERATIONS - OTHER THAN GENERAL FUND  
SUMMARY OF APPROPRIATIONS AND CHANGES  
2006-07 RECOMMENDED  
(dollars)**

<b>Program</b>	<b>Total</b>		<b>Personal Service</b>	
	<b>Amount</b>	<b>Change</b>	<b>Amount</b>	<b>Change</b>
Design and Construction	54,027,000	7,000,000	24,117,000	0
Executive Direction	173,344,000	92,769,000	1,813,000	(142,000)
Procurement Services	36,709,000	(9,470,000)	4,312,000	0
Real Property Management and Development	29,541,000	(50,322,000)	5,955,000	229,000
Total	<u>293,621,000</u>	<u>39,977,000</u>	<u>36,197,000</u>	<u>87,000</u>

<b>Program</b>	<b>Nonpersonal Service</b>		<b>Maintenance Undistributed</b>	
	<b>Amount</b>	<b>Change</b>	<b>Amount</b>	<b>Change</b>
Design and Construction	29,910,000	7,000,000	0	0
Executive Direction	171,331,000	92,911,000	200,000	0
Procurement Services	32,397,000	(9,470,000)	0	0
Real Property Management and Development	23,336,000	(5,551,000)	250,000	(45,000,000)
Total	<u>256,974,000</u>	<u>84,890,000</u>	<u>450,000</u>	<u>(45,000,000)</u>

# **GENERAL SERVICES**

## **CAPITAL PROJECTS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)**

<b>Comprehensive Construction Program</b>	<b>Available 2005-06</b>	<b>Recommended 2006-07</b>	<b>Change</b>	<b>Reappropriations 2006-07</b>
Design and Construction Supervision				
Capital Projects Fund	12,000,000	12,600,000	600,000	22,360,000
Maintenance and Improvement of Real Property				
Facilities				
Capital Projects Fund	84,250,000	84,400,000	150,000	198,305,000
Capital Projects Fund - Advances	0	5,800,000	5,800,000	0
Cap Proj Fund - Alfred E. Smith Bldg. (Auth Bonds)	0	0	0	13,621,000
Cap Proj Fund - Elk Street Garage (Auth Bonds)	4,000,000	0	(4,000,000)	10,070,000
Capital Projects Fund - Authority Bonds	0	20,000,000	20,000,000	0
Total	<u>100,250,000</u>	<u>122,800,000</u>	<u>22,550,000</u>	<u>244,356,000</u>

# **OFFICE OF HOMELAND SECURITY**

## **MISSION**

The Office of Homeland Security is responsible for the development and implementation of a comprehensive statewide strategy to detect, protect against and respond to terrorist threats and events. Established as a permanent office by anti-terror legislation enacted in July 2004, the Office maintains strong partnerships with relevant State and local agencies, as well as Federal security-related agencies including the Department of Homeland Security. Among its responsibilities are assessments of vulnerability of critical assets, systems and material; policy development; the allocation of Federal homeland security and related funds; cyber readiness and the prevention and detection of cyber events. Additionally, the Office is required to coordinate the collection and dissemination of counter-terrorism information, as well as the development and implementation of a statewide strategy for disaster preparedness training.

## **ORGANIZATION AND STAFFING**

The Office of Homeland Security has its permanent office in Albany with a satellite office in New York City. The Office is also now establishing the State's Preparedness Training Center in Oneida County. The Director, appointed by the Governor, provides counsel on counter-terrorism affairs and coordinates a myriad of activities with Federal, state, and local organizations to better prepare the state to respond to threats and terrorist-related incidents. The Office has two programs, Administration and Cyber Security, and will have a workforce of 147 in 2006-07.

## **FISCAL BACKGROUND AND BUDGET HIGHLIGHTS**

The 2006-07 Executive Budget provides \$17.7 million for the operation of the Office. In addition, Federal funds anticipated from the State Homeland Security Grant and Urban Area Security Initiative programs will continue to be appropriated under the auspices of the Office. This reflects the Office's leadership role in maximizing Federal funding and ensuring distribution of that funding in an effective manner which supports the State's comprehensive security strategy.

## **PROGRAM HIGHLIGHTS**

Since its creation, the Office has pursued a comprehensive, coordinated strategy for domestic counter-terrorism preparedness in the State. Agencies and organizations from each jurisdictional level, and representing various disciplines, have been brought together to formulate and implement a comprehensive approach to public security for New York.

The Office has implemented a Counter-Terrorism Network, the first of its kind in the nation. The network is used to notify local law enforcement and other government agencies of terrorism and security-related alerts and is being expanded in 2006-07 to additional public sectors. The Office's Critical Infrastructure Assessment Teams are also conducting security assessments of critical infrastructure in New York State, including large scale reviews of security measures at energy generation and transmission plants, chemical, and general aviation facilities.

The Preparedness Training Center in Oneida County will begin training significant numbers of the State's 300,000 first responders in 2006. The latest advances in the Counterterrorism Network will be incorporated into the Center's training delivery methods, ensuring maximum benefit to fire fighters, law enforcement personnel, emergency medical services personnel and emergency managers.

## **HOMELAND SECURITY**

The Office of Cyber Security has developed a comprehensive cyber security strategy that includes the private sector along with local and Federal jurisdictions. The Office chairs the Public/Private Sector Cyber Security Workgroup, and continues to play a leadership role in coordinating the Multi-State Information Sharing and Analysis Center. This Center facilitates communication among 11 northeastern states regarding cyber readiness and response efforts, and is the primary point of contact between those states and the Federal government on cyber security issues. These efforts have been lauded by the Federal Department of Homeland Security as exemplary.

Further, State Information Security policy is being implemented, with the Office of Cyber Security providing technical assistance and monitoring critical segments of the State's information technology infrastructure. Cyber Security is also completing a four-year project to provide digital imagery of the entire state, which will assist State and local government in emergency response, local planning, E911 and watershed and coastal management. Similarly, Cyber Security provides State agencies with access to cyber-intrusion detection services and contracts.

### **ALL FUNDS APPROPRIATIONS (dollars)**

<b>Category</b>	<b>Available 2005-06</b>	<b>Appropriations Recommended 2006-07</b>	<b>Change</b>	<b>Reappropriations Recommended 2006-07</b>
State Operations	17,508,000	17,767,000	259,000	0
Aid To Localities	350,000,000	350,000,000	0	350,000,000
Capital Projects	0	0	0	0
<b>Total</b>	<b>367,508,000</b>	<b>367,767,000</b>	<b>259,000</b>	<b>350,000,000</b>

### **ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS**

#### **Full-Time Equivalent Positions (FTE)**

<b>Program</b>	<b>2005-06 Estimated FTEs 03/31/06</b>	<b>2006-07 Estimated FTEs 03/31/07</b>	<b>FTE Change</b>
Administration			
General Fund	42	79	37
Cyber Security and Critical Infrastructure Coordination Program			
Special Revenue Funds - Federal	4	4	0
Special Revenue Funds - Other	40	40	0
Homeland Security Program			
Special Revenue Funds - Federal	24	24	0
<b>Total</b>	<b>110</b>	<b>147</b>	<b>37</b>

### **STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)**

<b>Fund Type</b>	<b>Available 2005-06</b>	<b>Recommended 2006-07</b>	<b>Change</b>
General Fund	8,590,000	8,849,000	259,000
Special Revenue Funds - Other	7,418,000	7,418,000	0
Internal Service Funds	1,500,000	1,500,000	0
<b>Total</b>	<b>17,508,000</b>	<b>17,767,000</b>	<b>259,000</b>

# HOMELAND SECURITY

## STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2005-06	Recommended 2006-07	Change
Administration			
General Fund	8,590,000	8,849,000	259,000
Cyber Security and Critical Infrastructure Coordination Program			
Special Revenue Funds - Other	7,418,000	7,418,000	0
Internal Service Funds	1,500,000	1,500,000	0
Total	<u>17,508,000</u>	<u>17,767,000</u>	<u>259,000</u>

## STATE OPERATIONS - GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2006-07 RECOMMENDED (dollars)

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Administration	3,300,000	252,000	3,300,000	252,000
Total	<u>3,300,000</u>	<u>252,000</u>	<u>3,300,000</u>	<u>252,000</u>

## STATE OPERATIONS - GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2006-07 RECOMMENDED (dollars)

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Administration	5,549,000	7,000	497,000	(229,000)
Total	<u>5,549,000</u>	<u>7,000</u>	<u>497,000</u>	<u>(229,000)</u>

Program	Contractual Services		Equipment	
	Amount	Change	Amount	Change
Administration	4,004,000	299,000	1,048,000	(63,000)
Total	<u>4,004,000</u>	<u>299,000</u>	<u>1,048,000</u>	<u>(63,000)</u>

## STATE OPERATIONS - OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 2006-07 RECOMMENDED (dollars)

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Cyber Security and Critical Infrastructure Coordination Program	8,918,000	0	2,789,000	250,000
Total	<u>8,918,000</u>	<u>0</u>	<u>2,789,000</u>	<u>250,000</u>

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Cyber Security and Critical Infrastructure Coordination Program	4,006,000	127,000	2,123,000	(377,000)
Total	<u>4,006,000</u>	<u>127,000</u>	<u>2,123,000</u>	<u>(377,000)</u>

## AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2005-06	Recommended 2006-07	Change
Special Revenue Funds - Federal	350,000,000	350,000,000	0
Total	<u>350,000,000</u>	<u>350,000,000</u>	<u>0</u>

# ***HOMELAND SECURITY***

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**AID TO LOCALITIES  
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM  
APPROPRIATIONS  
(dollars)**

<b>Program</b>	<b>Available 2005-06</b>	<b>Recommended 2006-07</b>	<b>Change</b>
Homeland Security Program			
Special Revenue Funds - Federal	350,000,000	350,000,000	0
Total	<u>350,000,000</u>	<u>350,000,000</u>	<u>0</u>

# OFFICE OF THE STATE INSPECTOR GENERAL

## MISSION

The Office of the State Inspector General is responsible for detecting, investigating, eliminating and deterring fraud, corruption, criminal activity, conflicts of interest and abuse in State government. Its mission is designed to ensure that State government maintains the highest standards of integrity and accountability.

## ORGANIZATION AND STAFFING

The Office of the State Inspector General was fundamentally restructured pursuant to Executive Order 39 issued by the Governor in 1996. The Executive Order provided for the consolidation of most of the State's inspector general activities in a single office that replaced what were formerly separate, semi-independent deputy inspectors general based in the agencies they served. Led by the Inspector General who is appointed by the Governor, the Office is headquartered in Albany and has offices in New York City and Buffalo. The Office will have a workforce of 70 in 2006-07.

## FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The 2006-07 Executive Budget recommendations provide over \$4.7 million in State tax dollar support and \$2.2 million in funding from other governmental entities to meet the demands of increasingly sophisticated investigations of alleged fraudulent activity within State government.

## PROGRAM HIGHLIGHTS

Since June 1996, the Office of the State Inspector General has acted on more than 9,150 complaints of fraud, criminal activity, waste and abuse involving State employees and resources. The Office has focused its investigative efforts on major cases involving theft, bribery, contract fraud, abuse of authority and other serious allegations of corruption. Currently, the Agency's investigators are working jointly with local, State and Federal law enforcement personnel and prosecutors on significant matters of public concern.

The Office's investigations have resulted in the arrest of more than over 480 individuals, and referrals for discipline in numerous other cases. In addition, many cases have resulted in recommendations for administrative or policy changes. The State Inspector General's cases have uncovered instances of theft, bid rigging, bribery, creation of fraudulent documents, misuse of computers, narcotics trafficking and sexual assault. Equally important, the Office's investigations have, in many instances, cleared agencies and individuals of allegations that were unfounded or unsubstantiated.

### ALL FUNDS APPROPRIATIONS (dollars)

Category	Available	Appropriations	Change	Reappropriations
	2005-06	Recommended 2006-07		Recommended 2006-07
State Operations	6,540,000	7,015,000	475,000	0
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	6,540,000	7,015,000	475,000	0

# INSPECTOR GENERAL

## ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

### Full-Time Equivalent Positions (FTE)

Program	2005-06	2006-07	FTE Change
	Estimated FTEs 03/31/06	Estimated FTEs 03/31/07	
Inspector General			
General Fund	50	50	0
Special Revenue Funds - Other	20	20	0
Total	70	70	0

## STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available	Recommended	Change
	2005-06	2006-07	
General Fund	4,606,000	4,779,000	173,000
Special Revenue Funds - Other	1,934,000	2,236,000	302,000
Total	6,540,000	7,015,000	475,000

## STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available	Recommended	Change
	2005-06	2006-07	
Inspector General			
General Fund	4,606,000	4,779,000	173,000
Special Revenue Funds - Other	1,934,000	2,236,000	302,000
Total	6,540,000	7,015,000	475,000

## STATE OPERATIONS - GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2006-07 RECOMMENDED (dollars)

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Inspector General	4,380,000	164,000	4,380,000	164,000
Total	4,380,000	164,000	4,380,000	164,000

## STATE OPERATIONS - GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2006-07 RECOMMENDED (dollars)

Program	Total		Contractual Services	
	Amount	Change	Amount	Change
Inspector General	399,000	9,000	399,000	9,000
Total	399,000	9,000	399,000	9,000

# INSPECTOR GENERAL

STATE OPERATIONS - OTHER THAN GENERAL FUND  
SUMMARY OF APPROPRIATIONS AND CHANGES  
2006-07 RECOMMENDED  
(dollars)

<b>Program</b>	<b>Total</b>		<b>Personal Service</b>	
	<b>Amount</b>	<b>Change</b>	<b>Amount</b>	<b>Change</b>
Inspector General	2,236,000	302,000	1,196,000	134,000
Total	<u>2,236,000</u>	<u>302,000</u>	<u>1,196,000</u>	<u>134,000</u>

<b>Program</b>	<b>Nonpersonal Service</b>	
	<b>Amount</b>	<b>Change</b>
Inspector General	1,040,000	168,000
Total	<u>1,040,000</u>	<u>168,000</u>



# INTEREST ON LAWYER ACCOUNT

## MISSION

The Interest on Lawyer Account (IOLA) Fund was established in 1983 to finance civil legal services for the indigent. Revenues are derived from the interest earned on small trust accounts in which attorneys hold certain funds for their clients. Banks transfer the interest earned on these IOLA escrow accounts to the Interest on Lawyer Account to fund grants to not-for-profit, tax-exempt entities providing civil legal services to the indigent, elderly, disabled and others. Legislation enacted in 1988 made participation in IOLA mandatory for attorneys in private practice who hold nominal short-term escrow accounts for clients.

## ORGANIZATION AND STAFFING

A 15-member board of trustees appointed by the Governor administers the Interest on Lawyer Account. Board members serve without compensation. Day-to-day operations are handled by a workforce of nine located in New York City.

## FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The Executive Budget recommendations enable a grant level of up to \$13 million for the calendar year 2006 grant cycle, the specific amount being dependent upon actual revenue generated. The Interest on Lawyer Account and participating banks have worked successfully to lower account costs. These efforts, combined with higher earned interest, allows the amount available for grants to increase.

## PROGRAM HIGHLIGHTS

At least 75 percent of the grants from the Interest on Lawyer Account must be used to deliver civil legal services to the indigent. The balance must be allocated to purposes related to the improvement of the administration of justice, including the provision of civil legal services to underserved groups such as the elderly and disabled. In the 2006 calendar year, the board administering the Interest on Lawyer Account will award grants to an estimated 48 organizations.

### ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2005-06	Appropriations Recommended 2006-07	Change	Reappropriations Recommended 2006-07
State Operations	1,661,000	1,747,000	86,000	0
Aid To Localities	11,000,000	13,000,000	2,000,000	0
Capital Projects	0	0	0	0
Total	12,661,000	14,747,000	2,086,000	0

### ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Program	Full-Time Equivalent Positions (FTE)		
	2005-06 Estimated FTEs 03/31/06	2006-07 Estimated FTEs 03/31/07	FTE Change
New York Interest on Lawyer Account			
Special Revenue Funds - Other	9	9	0
Total	9	9	0

# INTEREST ON LAWYER

**STATE OPERATIONS  
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE  
APPROPRIATIONS  
(dollars)**

<b>Fund Type</b>	<b>Available 2005-06</b>	<b>Recommended 2006-07</b>	<b>Change</b>
Special Revenue Funds - Other	1,661,000	1,747,000	86,000
Total	1,661,000	1,747,000	86,000

**STATE OPERATIONS  
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM  
APPROPRIATIONS  
(dollars)**

<b>Program</b>	<b>Available 2005-06</b>	<b>Recommended 2006-07</b>	<b>Change</b>
New York Interest on Lawyer Account			
Special Revenue Funds - Other	1,661,000	1,747,000	86,000
Total	1,661,000	1,747,000	86,000

**STATE OPERATIONS - OTHER THAN GENERAL FUND  
SUMMARY OF APPROPRIATIONS AND CHANGES  
2006-07 RECOMMENDED  
(dollars)**

<b>Program</b>	<b>Total</b>		<b>Personal Service</b>	
	<b>Amount</b>	<b>Change</b>	<b>Amount</b>	<b>Change</b>
New York Interest on Lawyer Account	1,747,000	86,000	619,000	21,000
Total	1,747,000	86,000	619,000	21,000

<b>Program</b>	<b>Nonpersonal Service</b>	
	<b>Amount</b>	<b>Change</b>
New York Interest on Lawyer Account	1,128,000	65,000
Total	1,128,000	65,000

**AID TO LOCALITIES  
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE  
APPROPRIATIONS  
(dollars)**

<b>Fund Type</b>	<b>Available 2005-06</b>	<b>Recommended 2006-07</b>	<b>Change</b>
Special Revenue Funds - Other	11,000,000	13,000,000	2,000,000
Total	11,000,000	13,000,000	2,000,000

**AID TO LOCALITIES  
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM  
APPROPRIATIONS  
(dollars)**

<b>Program</b>	<b>Available 2005-06</b>	<b>Recommended 2006-07</b>	<b>Change</b>
New York Interest on Lawyer Account			
Special Revenue Funds - Other	11,000,000	13,000,000	2,000,000
Total	11,000,000	13,000,000	2,000,000

# TEMPORARY STATE COMMISSION OF INVESTIGATION

## MISSION

The Temporary State Commission of Investigation serves as a bipartisan fact-finding agency investigating and reporting on organized crime and racketeering, the conduct of public officers and other matters affecting public peace, safety and justice.

## ORGANIZATION AND STAFFING

The Commission has six salaried members, two each appointed by the Governor, the Temporary President of the Senate and the Speaker of the Assembly. In addition to the 6 commissioners, there will be 25 staff positions at the Commission, which has its main office in New York City.

## FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The Executive Budget recommendation for fiscal year 2006-07 provides nearly \$3.5 million in State tax dollars, and \$287,000 in anticipated asset forfeitures, to support the Commission.

### ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2005-06	Appropriations Recommended 2006-07	Change	Reappropriations Recommended 2006-07
State Operations	3,678,000	3,785,000	107,000	0
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	3,678,000	3,785,000	107,000	0

### ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

#### Full-Time Equivalent Positions (FTE)

Program	2005-06 Estimated FTEs 03/31/06	2006-07 Estimated FTEs 03/31/07	FTE Change
Investigation			
General Fund	31	31	0
Total	31	31	0

### STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2005-06	Recommended 2006-07	Change
General Fund	3,486,000	3,498,000	12,000
Special Revenue Funds - Other	192,000	287,000	95,000
Total	3,678,000	3,785,000	107,000

# INVESTIGATION

**STATE OPERATIONS  
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM  
APPROPRIATIONS  
(dollars)**

<b>Program</b>	<b>Available 2005-06</b>	<b>Recommended 2006-07</b>	<b>Change</b>
Investigation			
General Fund	3,486,000	3,498,000	12,000
Special Revenue Funds - Other	192,000	287,000	95,000
Total	<u>3,678,000</u>	<u>3,785,000</u>	<u>107,000</u>

**STATE OPERATIONS - GENERAL FUND  
SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES  
2006-07 RECOMMENDED  
(dollars)**

<b>Program</b>	<b>Total</b>		<b>Personal Service Regular (Annual Salaried)</b>	
	<b>Amount</b>	<b>Change</b>	<b>Amount</b>	<b>Change</b>
Investigation	2,652,000	66,000	2,637,000	66,000
Total	<u>2,652,000</u>	<u>66,000</u>	<u>2,637,000</u>	<u>66,000</u>

<b>Program</b>	<b>Temporary Service (Nonannual Salaried)</b>	
	<b>Amount</b>	<b>Change</b>
Investigation	15,000	0
Total	<u>15,000</u>	<u>0</u>

**STATE OPERATIONS - GENERAL FUND  
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED  
APPROPRIATIONS AND CHANGES  
2006-07 RECOMMENDED  
(dollars)**

<b>Program</b>	<b>Total</b>		<b>Supplies and Materials</b>	
	<b>Amount</b>	<b>Change</b>	<b>Amount</b>	<b>Change</b>
Investigation	846,000	(54,000)	17,000	0
Total	<u>846,000</u>	<u>(54,000)</u>	<u>17,000</u>	<u>0</u>

<b>Program</b>	<b>Travel</b>		<b>Contractual Services</b>	
	<b>Amount</b>	<b>Change</b>	<b>Amount</b>	<b>Change</b>
Investigation	66,000	2,000	728,000	(57,000)
Total	<u>66,000</u>	<u>2,000</u>	<u>728,000</u>	<u>(57,000)</u>

<b>Program</b>	<b>Equipment</b>	
	<b>Amount</b>	<b>Change</b>
Investigation	35,000	1,000
Total	<u>35,000</u>	<u>1,000</u>

**STATE OPERATIONS - OTHER THAN GENERAL FUND  
SUMMARY OF APPROPRIATIONS AND CHANGES  
2006-07 RECOMMENDED  
(dollars)**

<b>Program</b>	<b>Total</b>		<b>Nonpersonal Service</b>	
	<b>Amount</b>	<b>Change</b>	<b>Amount</b>	<b>Change</b>
Investigation	287,000	95,000	287,000	95,000
Total	<u>287,000</u>	<u>95,000</u>	<u>287,000</u>	<u>95,000</u>

# JUDICIAL COMMISSIONS

## MISSION

New York State's three Judicial Commissions play important roles in maintaining the integrity of the court system. The Commission on Judicial Nomination and the Judicial Screening Committees screen potential nominees for high-level judicial appointments by the Governor. The Commission on Judicial Conduct investigates and acts upon allegations of judicial misconduct.

## COMMISSION ON JUDICIAL CONDUCT

The State Constitution established the Commission on Judicial Conduct, which is responsible for investigating complaints of misconduct against State judges and local justices. The Commission has disciplinary powers, which include the authority to remove judges and justices from office for serious misconduct, subject to review by the Court of Appeals.

The Commission on Judicial Conduct has 11 members, 4 of whom are appointed by the Governor, 3 by the Chief Judge of the Court of Appeals and the remaining 4 by the Legislature. Commission members serve without pay and meet periodically to consider complaints, hear testimony and determine the disposition of cases. The Commission will have a staff of 28 in fiscal year 2006-07. Its main office is in New York City, with branches in Albany and Rochester. Its budget of over \$2.7 million is supported entirely by State tax dollars. The Commission continues to work with the Temporary State Commission of Investigation to identify opportunities for sharing resources and reducing costs.

## COMMISSION ON JUDICIAL NOMINATION

The State Constitution established the Commission on Judicial Nomination to evaluate candidates and make recommendations to the Governor for appointment to the Court of Appeals, the State's highest court.

The Commission on Judicial Nomination has 12 members appointed by the Governor, the Chief Judge of the Court of Appeals and the Legislature. These members serve without pay and conduct the search for qualified candidates upon formal notification of a vacancy on the Court of Appeals.

## GOVERNOR'S JUDICIAL SCREENING COMMITTEES

The Governor's Judicial Screening Committees are established by Executive Order to evaluate the qualifications of candidates and make recommendations to the Governor for appointment to judgeships other than those on the Court of Appeals. The Committees are located throughout the State. In fiscal year 2006-07, a total of \$150,000 in State tax dollars will support the Committees.

### ALL FUNDS APPROPRIATIONS (dollars)

Category	Available	Appropriations	Change	Reappropriations
	2005-06	Recommended 2006-07		Recommended 2006-07
State Operations	2,769,000	2,933,000	164,000	0
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	2,769,000	2,933,000	164,000	0

**ALL FUND TYPES  
PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM  
FILLED ANNUAL SALARIED POSITIONS**

**Full-Time Equivalent Positions (FTE)**

<b>Program</b>	<b>2005-06 Estimated FTEs 03/31/06</b>	<b>2006-07 Estimated FTEs 03/31/07</b>	<b>FTE Change</b>
Judicial Conduct			
General Fund	28	28	0
Total	<u>28</u>	<u>28</u>	<u>0</u>

**STATE OPERATIONS  
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE  
APPROPRIATIONS  
(dollars)**

<b>Fund Type</b>	<b>Available 2005-06</b>	<b>Recommended 2006-07</b>	<b>Change</b>
General Fund	2,769,000	2,933,000	164,000
Total	<u>2,769,000</u>	<u>2,933,000</u>	<u>164,000</u>

**STATE OPERATIONS  
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM  
APPROPRIATIONS  
(dollars)**

<b>Program</b>	<b>Available 2005-06</b>	<b>Recommended 2006-07</b>	<b>Change</b>
Judicial Conduct			
General Fund	2,609,000	2,773,000	164,000
Judicial Nomination, Commission on			
General Fund	10,000	10,000	0
Judicial Screening Committees			
General Fund	150,000	150,000	0
Total	<u>2,769,000</u>	<u>2,933,000</u>	<u>164,000</u>

**STATE OPERATIONS - GENERAL FUND  
SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES  
2006-07 RECOMMENDED  
(dollars)**

<b>Program</b>	<b>Total</b>		<b>Personal Service Regular (Annual Salaried)</b>	
	<b>Amount</b>	<b>Change</b>	<b>Amount</b>	<b>Change</b>
Judicial Conduct	2,101,000	110,000	2,081,000	110,000
Total	<u>2,101,000</u>	<u>110,000</u>	<u>2,081,000</u>	<u>110,000</u>

<b>Program</b>	<b>Temporary Service (Nonannual Salaried)</b>	
	<b>Amount</b>	<b>Change</b>
Judicial Conduct	20,000	0
Total	<u>20,000</u>	<u>0</u>

**STATE OPERATIONS - GENERAL FUND  
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED  
APPROPRIATIONS AND CHANGES  
2006-07 RECOMMENDED  
(dollars)**

<b>Program</b>	<b>Total</b>		<b>Supplies and Materials</b>	
	<b>Amount</b>	<b>Change</b>	<b>Amount</b>	<b>Change</b>
Judicial Conduct	672,000	54,000	40,000	6,000
Judicial Nomination, Commission on	10,000	0	0	0
Judicial Screening Committees	150,000	0	0	0
<b>Total</b>	<b>832,000</b>	<b>54,000</b>	<b>40,000</b>	<b>6,000</b>

<b>Program</b>	<b>Travel</b>		<b>Contractual Services</b>	
	<b>Amount</b>	<b>Change</b>	<b>Amount</b>	<b>Change</b>
Judicial Conduct	73,000	40,000	477,000	(59,000)
Judicial Nomination, Commission on	0	0	0	0
Judicial Screening Committees	0	0	0	0
<b>Total</b>	<b>73,000</b>	<b>40,000</b>	<b>477,000</b>	<b>(59,000)</b>

<b>Program</b>	<b>Equipment</b>		<b>Maintenance Undistributed</b>	
	<b>Amount</b>	<b>Change</b>	<b>Amount</b>	<b>Change</b>
Judicial Conduct	82,000	67,000	0	0
Judicial Nomination, Commission on	0	0	10,000	0
Judicial Screening Committees	0	0	150,000	0
<b>Total</b>	<b>82,000</b>	<b>67,000</b>	<b>160,000</b>	<b>0</b>



# **DEPARTMENT OF LAW**

## **MISSION**

The Department of Law was created in 1926 and is headed by the State Attorney General, who is elected by the people. The Department is responsible for protecting the legal rights of New York State and its citizens by representing the State in litigation and in other legal affairs.

In implementing its constitutional responsibilities, the Department performs a wide range of functions. Major activities of the Department include prosecuting or defending actions and proceedings for or against the State and its departments; prosecuting certain criminal violations of the Labor, Workers' Compensation and Unemployment Insurance laws; investigating and prosecuting other criminal cases at the request of the Governor or the commissioners of State departments; investigating the activities of organized crime; bringing civil and/or criminal actions against polluters, violators of antitrust laws and those who defraud consumers or investors; mediating consumer complaints; and investigating and prosecuting cases of Medicaid fraud.

## **ORGANIZATION AND STAFFING**

The legal functions of the Department of Law are divided into major divisions which comprise bureaus dealing with specific legal issues and cases. These are the divisions of Administration, Appeals and Opinions, Criminal Prosecutions, State Counsel, Public Advocacy and Regional Offices.

The Department's main offices are located in Albany and New York City, with regional offices in Binghamton, Poughkeepsie, Syracuse, Buffalo, Plattsburgh, Rochester, Watertown, Mineola, Hauppauge, Harlem, Utica, Brooklyn, Pearl River and White Plains. The Department will have a workforce of 1,752 positions for 2006-07.

## **FISCAL BACKGROUND AND BUDGET HIGHLIGHTS**

The Executive Budget recommends \$223 million for the Department. This recommendation includes nearly \$125 million in tax dollars from the General Fund, which will finance 56 percent of department expenses for 2006-07. Additional support for 2006-07 will be provided by Federal funding for Medicaid fraud efforts and revenues from the collection of non-tax debt, litigation settlements and assets seized as part of criminal prosecution activities and Medicaid fraud recoveries.

## **PROGRAM HIGHLIGHTS**

Agency divisions perform the following functions:

- The Administration Division provides budget, personnel, operations and technology services for the Agency;
- The Division of Appeals and Opinions handles appellate litigation in both State and Federal courts. The Division also prepares opinions, both formal and informal, interpreting State laws for State agencies and municipalities;
- The Criminal Division investigates and prosecutes criminal cases, including those involving Medicaid fraud, auto insurance fraud, white collar and organized crime cases involving multi-county, multi-state and even multi-national criminal activities occurring within New York State;
- The Division of State Counsel provides State agencies, the Governor, other State officials and the Legislature with counsel and representation in legal proceedings. It recoups non-tax revenue on behalf of State taxpayers and provides legal assistance to State agencies in connection with the acquisition and disposition of public land;

## LAW

- The Division of Public Advocacy defends and protects the public interest in the courts. It enforces laws to prevent trade restraint, protects charitable donors and beneficiaries and enforces laws prohibiting discrimination. The Division protects consumers from fraudulent, and/or deceptive business practices, enforces environmental laws and regulates sales of investment securities. It also enforces the State's health care laws and addresses concerns about on-line criminal or fraudulent activities; and
- The Regional Offices program provides mini-satellite offices across the State to ensure that all New York agencies have cost effective representation in all local and Federal courts, and that citizens have full access to the programs and services of the Department.

### ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2005-06	Appropriations Recommended 2006-07	Change	Reappropriations Recommended 2006-07
State Operations	223,172,000	223,150,000	(22,000)	55,376,000
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	223,172,000	223,150,000	(22,000)	55,376,000

### ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

#### Full-Time Equivalent Positions (FTE)

Program	2005-06 Estimated FTEs 03/31/06	2006-07 Estimated FTEs 03/31/07	FTE Change
Administration			
General Fund	165	165	0
Appeals and Opinions			
General Fund	60	60	0
Counsel for the State			
General Fund	298	298	0
Special Revenue Funds - Other	265	265	0
Criminal Prosecutions			
General Fund	179	179	0
Special Revenue Funds - Other	74	74	0
Medicaid Fraud Control			
Special Revenue Funds - Federal	208	208	0
Special Revenue Funds - Other	75	75	0
Public Advocacy			
General Fund	276	276	0
Capital Projects Funds - Other	7	7	0
Regional Offices			
General Fund	145	145	0
Total	1,752	1,752	0

### STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2005-06	Recommended 2006-07	Change
General Fund	124,678,000	124,678,000	0
Special Revenue Funds - Federal	32,738,000	32,716,000	(22,000)
Special Revenue Funds - Other	65,756,000	65,756,000	0
Total	223,172,000	223,150,000	(22,000)

**STATE OPERATIONS  
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM  
APPROPRIATIONS  
(dollars)**

<b>Program</b>	<b>Available 2005-06</b>	<b>Recommended 2006-07</b>	<b>Change</b>
Administration			
General Fund	29,594,000	29,594,000	0
Appeals and Opinions			
General Fund	5,435,000	5,435,000	0
Counsel for the State			
General Fund	34,412,000	34,412,000	0
Special Revenue Funds - Other	47,908,000	47,908,000	0
Criminal Prosecutions			
General Fund	19,526,000	19,526,000	0
Special Revenue Funds - Federal	22,000	0	(22,000)
Special Revenue Funds - Other	4,904,000	4,904,000	0
Medicaid Fraud Control			
Special Revenue Funds - Federal	32,716,000	32,716,000	0
Special Revenue Funds - Other	12,944,000	12,944,000	0
Public Advocacy			
General Fund	23,565,000	23,565,000	0
Regional Offices			
General Fund	12,146,000	12,146,000	0
Total	<u>223,172,000</u>	<u>223,150,000</u>	<u>(22,000)</u>

**STATE OPERATIONS - GENERAL FUND  
SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES  
2006-07 RECOMMENDED  
(dollars)**

<b>Program</b>	<b>Total</b>		<b>Personal Service Regular (Annual Salaried)</b>	
	<b>Amount</b>	<b>Change</b>	<b>Amount</b>	<b>Change</b>
Administration	14,426,000	0	14,136,000	0
Appeals and Opinions	4,608,000	0	4,463,000	0
Counsel for the State	24,619,000	0	24,086,000	0
Criminal Prosecutions	16,673,000	0	16,618,000	0
Public Advocacy	21,988,000	0	21,470,000	0
Regional Offices	10,442,000	0	10,116,000	0
Total	<u>92,756,000</u>	<u>0</u>	<u>90,889,000</u>	<u>0</u>

<b>Program</b>	<b>Temporary Service (Nonannual Salaried)</b>	
	<b>Amount</b>	<b>Change</b>
Administration	290,000	0
Appeals and Opinions	145,000	0
Counsel for the State	533,000	0
Criminal Prosecutions	55,000	0
Public Advocacy	518,000	0
Regional Offices	326,000	0
Total	<u>1,867,000</u>	<u>0</u>

**STATE OPERATIONS - GENERAL FUND  
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED  
APPROPRIATIONS AND CHANGES  
2006-07 RECOMMENDED  
(dollars)**

<b>Program</b>	<b>Total</b>		<b>Supplies and Materials</b>	
	<b>Amount</b>	<b>Change</b>	<b>Amount</b>	<b>Change</b>
Administration	15,168,000	0	83,000	0
Appeals and Opinions	827,000	0	317,000	0
Counsel for the State	9,793,000	0	46,000	0
Criminal Prosecutions	2,853,000	0	68,000	0
Public Advocacy	1,577,000	0	80,000	0
Regional Offices	1,704,000	0	19,000	0
<b>Total</b>	<b>31,922,000</b>	<b>0</b>	<b>613,000</b>	<b>0</b>

<b>Program</b>	<b>Travel</b>		<b>Contractual Services</b>	
	<b>Amount</b>	<b>Change</b>	<b>Amount</b>	<b>Change</b>
Administration	60,000	0	14,694,000	0
Appeals and Opinions	29,000	0	479,000	0
Counsel for the State	108,000	0	1,437,000	0
Criminal Prosecutions	293,000	0	1,927,000	0
Public Advocacy	78,000	0	1,280,000	0
Regional Offices	218,000	0	1,430,000	0
<b>Total</b>	<b>786,000</b>	<b>0</b>	<b>21,247,000</b>	<b>0</b>

<b>Program</b>	<b>Equipment</b>		<b>Maintenance Undistributed</b>	
	<b>Amount</b>	<b>Change</b>	<b>Amount</b>	<b>Change</b>
Administration	331,000	0	0	0
Appeals and Opinions	2,000	0	0	0
Counsel for the State	44,000	0	8,158,000	0
Criminal Prosecutions	565,000	0	0	0
Public Advocacy	139,000	0	0	0
Regional Offices	37,000	0	0	0
<b>Total</b>	<b>1,118,000</b>	<b>0</b>	<b>8,158,000</b>	<b>0</b>

**STATE OPERATIONS - OTHER THAN GENERAL FUND  
SUMMARY OF APPROPRIATIONS AND CHANGES  
2006-07 RECOMMENDED  
(dollars)**

<b>Program</b>	<b>Total</b>		<b>Personal Service</b>	
	<b>Amount</b>	<b>Change</b>	<b>Amount</b>	<b>Change</b>
Counsel for the State	47,908,000	0	16,505,000	0
Criminal Prosecutions	4,904,000	(22,000)	0	0
Medicaid Fraud Control	45,660,000	0	23,746,000	0
<b>Total</b>	<b>98,472,000</b>	<b>(22,000)</b>	<b>40,251,000</b>	<b>0</b>

<b>Program</b>	<b>Nonpersonal Service</b>		<b>Maintenance Undistributed</b>	
	<b>Amount</b>	<b>Change</b>	<b>Amount</b>	<b>Change</b>
Counsel for the State	31,403,000	0	0	0
Criminal Prosecutions	4,904,000	0	0	(22,000)
Medicaid Fraud Control	21,914,000	0	0	0
<b>Total</b>	<b>58,221,000</b>	<b>0</b>	<b>0</b>	<b>(22,000)</b>

# **TEMPORARY STATE COMMISSION ON LOBBYING**

## **MISSION**

The Temporary State Commission on Lobbying is responsible for monitoring, maintaining and making public the identities, activities and expenditures of lobbyists, clients and public corporations attempting to influence the legislative, regulatory and rule and rate-making actions of elected State officials, agency decision makers and local governments. The Commission is also authorized to hold hearings, impose civil penalties and make public the records of appearances before regulatory State agencies.

## **ORGANIZATION AND STAFFING**

The Lobbying Act sets forth the administrative and enforcement responsibilities of the Commission. Under the guidance of a six-member bipartisan board appointed by the Governor, with advice from the legislative leaders, these responsibilities are carried out by a workforce of 34. The increased staff level is to address the expanded oversight responsibility of the Lobbying Commission to implement and enforce the Omnibus Lobbying Reform Act.

## **FISCAL BACKGROUND AND BUDGET HIGHLIGHTS**

The Commission will receive \$3.016 million in 2006-07, with 78 percent of its support from State tax dollars. The remaining 22 percent of its operations will be funded by civil penalty fines assessed on lobbyists for violations of the Lobbying Law.

## **PROGRAM HIGHLIGHTS**

In 2005, the Commission's oversight responsibilities included 3,840 registered lobbyists, 2,345 clients of lobbyists and 79 public corporations that reported lobbying expenses. The Commission's monitoring responsibilities encompassed both registered and unregistered special interest groups. These groups were active on bills before the Legislature and rules, regulations and rate applications involving State agencies.

In 2005, the Commission made further enhancements to its Electronic Filing Program to permit on-line payment of fees, which will further simplify reporting, accelerate public disclosure and enhance staff efficiencies. The Commission will implement and administer the Omnibus Lobbying Reform Act, Chapter 1 of 2005, which enacted comprehensive reforms that will allow for more effective regulation of lobbying before State and municipal entities. A \$500,000 deficiency is recommended to fund the initial start-up costs associated with implementing this new program. During 2006-07, the Commission will focus on implementation and deployment of an educational program to make lobbyists aware of their new responsibilities.

# LOBBYING

## ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2005-06	Appropriations Recommended 2006-07	Change	Reappropriations Recommended 2006-07
State Operations	2,014,000	3,016,000	1,002,000	0
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	2,014,000	3,016,000	1,002,000	0

## ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

### Full-Time Equivalent Positions (FTE)

Program	2005-06 Estimated FTEs 03/31/06	2006-07 Estimated FTEs 03/31/07	FTE Change
Operations			
General Fund	15	28	13
Special Revenue Funds - Other	3	6	3
Total	18	34	16

## STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2005-06	Recommended 2006-07	Change
General Fund	1,474,000	2,348,000	874,000
Special Revenue Funds - Other	540,000	668,000	128,000
Total	2,014,000	3,016,000	1,002,000

Adjustments:

Recommended Deficiency Lobbying, Temporary State Commission on General Fund	(500,000)
Appropriated 2005-06	1,514,000

## STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2005-06	Recommended 2006-07	Change
Operations			
General Fund	1,474,000	2,348,000	874,000
Special Revenue Funds - Other	540,000	668,000	128,000
Total	2,014,000	3,016,000	1,002,000

## STATE OPERATIONS - GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2006-07 RECOMMENDED (dollars)

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Operations	2,348,000	874,000	2,348,000	874,000
Total	2,348,000	874,000	2,348,000	874,000

**STATE OPERATIONS - OTHER THAN GENERAL FUND  
SUMMARY OF APPROPRIATIONS AND CHANGES  
2006-07 RECOMMENDED  
(dollars)**

<b>Program</b>	<b>Total</b>		<b>Maintenance Undistributed</b>	
	<b>Amount</b>	<b>Change</b>	<b>Amount</b>	<b>Change</b>
Operations	668,000	128,000	668,000	128,000
Total	668,000	128,000	668,000	128,000



# ***DIVISION OF MILITARY AND NAVAL AFFAIRS***

## ***MISSION***

The Division of Military and Naval Affairs (DMNA) has two primary missions. Through the State's organized militia, DMNA maintains a well-trained military force ready to respond to civil emergencies, natural and man-made disasters, and threats to the nation's security. Through the State Emergency Management Office (SEMO), the Division coordinates the State's response to disasters and prepares the State, local governments, and citizens to deal effectively with potential disasters.

## ***ORGANIZATION AND STAFFING***

Under the direction of the Adjutant General, who is appointed by the Governor, the Division consists of the New York Army National Guard, the New York Air National Guard, the Naval Militia, the New York Guard, and SEMO. Coordinated through the State headquarters in Albany, the Division operates 58 armories as well as 32 maintenance shops, 6 Air Guard bases, 3 Army Aviation Support facilities, and 5 regional emergency management facilities. In 2006-07, DMNA and SEMO will have a combined workforce of 601.

## ***FISCAL BACKGROUND AND BUDGET HIGHLIGHTS***

The Executive Budget recommendations for fiscal year 2006-07 fully support the Division's mission and activities. Recommended funding for State Operations of \$78.9 million, includes \$22.3 million in General Fund support, \$45.7 million from Federal funding and \$10.9 million supported by armory rental proceeds and fund transfer. As in 2005-06, and consistent with Federal Homeland Security grant structure requirements, appropriations for federally supported emergency management State Operations are included in consolidated Homeland Security Program appropriations.

The Division's Aid to Localities budget includes State and Federal disaster assistance reappropriations available if necessary for future disasters, and \$12.9 million in anticipated Federal grants and assessments paid by nuclear power generating facilities to support emergency preparedness activities.

The 2006-07 Capital Plan recommendation of \$47.5 million in new appropriations including \$31.5 million for the multi-year Federal military construction plan to replace or expand armories and equipment maintenance facilities across the State. New capital appropriations are also included for the maintenance of existing facilities to support military readiness and to preserve the historic and future value of the armories.

## ***PROGRAM HIGHLIGHTS***

### ***MILITARY READINESS***

The Military Readiness programs include the New York Army National Guard, the New York Air National Guard, the New York Guard and the New York Naval Militia. Over 1,000 members of the New York National Guard are currently fighting the war on terror, and all stand ready to serve if and when called upon to do so. Since September 11, 2001, the Readiness program has mounted a sustained activation to safeguard the State's citizenry from emerging security threats. Other responses to civilian emergencies in recent years have included the abatement and mitigation of the effects of forest fires, blizzards, floods and ice storms.

# MILITARY AND NAVAL AFFAIRS

## EMERGENCY MANAGEMENT

The State Emergency Management Office serves as the operational component of the Disaster Preparedness Commission and coordinates the State's response to natural and man made disasters, as well as other civil emergencies. Recent disasters have included multiple life-threatening snowstorms across the State in the winter of 2003-04, forest fires and hurricanes in the fall of 2004, and severe flooding in the spring of 2005. Through participation in the national Emergency Management Assistance Compact, SEMO coordinated over 20 disaster assistance missions to states affected by Hurricane Katrina.

The Office also trains personnel to mitigate the risks of future disasters and administers the Disaster Assistance Program, which provides State and federally supported financial relief to localities and individuals affected by disasters. It is also responsible for the development, testing and revision of radiological emergency plans at the State and county levels to deal with possible radiological accidents at nuclear powered electric generating facilities.

## SPECIAL SERVICES

Established in 1996, the Recruitment Incentive and Retention Program provides qualified individuals and active National Guard members with a tuition benefit for undergraduate study. The enormous success of the program has helped to stabilize the Guard's troop strength and significantly improved member morale in recent years.

Another important element of DMNA's special services is "GuardHELP," an innovative program to link Federal Guard resources with current community volunteer, youth activity, and environmental needs. Additionally, the Special Services program includes the activities associated with armory rentals.

### ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2005-06	Appropriations Recommended 2006-07	Change	Reappropriations Recommended 2006-07
State Operations	65,427,000	78,904,000	13,477,000	20,196,000
Aid To Localities	58,795,000	12,950,000	(45,845,000)	546,645,000
Capital Projects	48,800,000	47,500,000	(1,300,000)	104,000,000
Total	173,022,000	139,354,000	(33,668,000)	670,841,000

### ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Program	Full-Time Equivalent Positions (FTE)		
	2005-06 Estimated FTEs 03/31/06	2006-07 Estimated FTEs 03/31/07	FTE Change
Administration			
General Fund	62	62	0
Emergency Management			
General Fund	30	30	0
Special Revenue Funds - Federal	75	75	0
Special Revenue Funds - Other	18	18	0
Military Readiness			
General Fund	155	158	3
Special Revenue Funds - Federal	241	255	14
Special Service			
Special Revenue Funds - Other	3	3	0
Total	584	601	17

# MILITARY AND NAVAL AFFAIRS

## STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2005-06	Recommended 2006-07	Change
General Fund	22,230,000	22,269,000	39,000
Special Revenue Funds - Federal	33,127,000	45,688,000	12,561,000
Special Revenue Funds - Other	10,070,000	10,947,000	877,000
Total	<u>65,427,000</u>	<u>78,904,000</u>	<u>13,477,000</u>

Adjustments:

Recommended Deficiency	
Military and Naval Affairs, Division of	
General Fund	(1,670,000)
Transfer(s) From	
Homeland Security - Miscellaneous	
All Funds	(1,612,000)
Appropriated 2005-06	<u>62,145,000</u>

## STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2005-06	Recommended 2006-07	Change
Administration			
General Fund	3,707,000	3,896,000	189,000
Disaster Assistance			
Special Revenue Funds - Federal	4,366,000	4,504,000	138,000
Emergency Management			
General Fund	3,458,000	3,402,000	(56,000)
Special Revenue Funds - Federal	505,000	635,000	130,000
Special Revenue Funds - Other	1,724,000	2,000,000	276,000
Military Readiness			
General Fund	14,615,000	14,971,000	356,000
Special Revenue Funds - Federal	28,256,000	40,549,000	12,293,000
Special Service			
General Fund	450,000	0	(450,000)
Special Revenue Funds - Other	8,346,000	8,947,000	601,000
Total	<u>65,427,000</u>	<u>78,904,000</u>	<u>13,477,000</u>

## STATE OPERATIONS - GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2006-07 RECOMMENDED (dollars)

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Administration	3,448,000	139,000	3,439,000	164,000
Emergency Management	1,751,000	(66,000)	1,723,000	(66,000)
Military Readiness	6,757,000	226,000	6,732,000	225,000
Total	<u>11,956,000</u>	<u>299,000</u>	<u>11,894,000</u>	<u>323,000</u>

Program	Temporary Service (Nonannual Salaried)		Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change	Amount	Change
Administration	0	0	9,000	(25,000)
Emergency Management	0	0	28,000	0
Military Readiness	25,000	2,000	0	(1,000)
Total	<u>25,000</u>	<u>2,000</u>	<u>37,000</u>	<u>(26,000)</u>

# MILITARY AND NAVAL AFFAIRS

## STATE OPERATIONS - GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2006-07 RECOMMENDED (dollars)

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Administration	448,000	50,000	81,000	5,000
Emergency Management	1,651,000	10,000	114,000	700
Military Readiness	8,214,000	130,000	397,000	0
Special Service	0	(450,000)	0	0
Total	10,313,000	(260,000)	592,000	5,700

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration	32,000	5,000	194,000	20,000
Emergency Management	18,000	(500)	1,018,000	758,200
Military Readiness	49,000	0	6,999,000	130,000
Special Service	0	0	0	(450,000)
Total	99,000	4,500	8,211,000	458,200

Program	Equipment		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration	141,000	20,000	0	0
Emergency Management	501,000	(748,400)	0	0
Military Readiness	29,000	0	740,000	0
Special Service	0	0	0	0
Total	671,000	(728,400)	740,000	0

## STATE OPERATIONS - OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 2006-07 RECOMMENDED (dollars)

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Disaster Assistance	4,504,000	138,000	2,525,000	66,000
Emergency Management	2,635,000	406,000	1,299,000	66,000
Military Readiness	40,549,000	12,293,000	12,480,000	1,425,000
Special Service	8,947,000	601,000	658,000	17,000
Total	56,635,000	13,438,000	16,962,000	1,574,000

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Disaster Assistance	1,979,000	72,000	0	0
Emergency Management	1,336,000	340,000	0	0
Military Readiness	28,069,000	10,868,000	0	0
Special Service	4,311,000	584,000	3,978,000	0
Total	35,695,000	11,864,000	3,978,000	0

## AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2005-06	Recommended 2006-07	Change
General Fund	46,000,000	0	(46,000,000)
Special Revenue Funds - Federal	11,145,000	11,300,000	155,000
Special Revenue Funds - Other	1,650,000	1,650,000	0
Total	58,795,000	12,950,000	(45,845,000)

Adjustments:	
Recommended Deficiency	
Military and Naval Affairs, Division of	
General Fund	(45,000,000)
Appropriated 2005-06	13,795,000

# **MILITARY AND NAVAL AFFAIRS**

## **AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)**

<b>Program</b>	<b>Available 2005-06</b>	<b>Recommended 2006-07</b>	<b>Change</b>
Disaster Assistance			
General Fund	46,000,000	0	(46,000,000)
Emergency Management			
Special Revenue Funds - Federal	11,145,000	11,300,000	155,000
Special Revenue Funds - Other	1,650,000	1,650,000	0
Total	<u>58,795,000</u>	<u>12,950,000</u>	<u>(45,845,000)</u>

## **CAPITAL PROJECTS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)**

<b>Comprehensive Construction Program</b>	<b>Available 2005-06</b>	<b>Recommended 2006-07</b>	<b>Change</b>	<b>Reappropriations 2006-07</b>
Design and Construction Supervision				
Capital Projects Fund	1,000,000	5,400,000	4,400,000	3,300,000
Federal Capital Projects Fund	11,600,000	3,500,000	(8,100,000)	12,500,000
Maintenance and Improvements				
Capital Projects Fund	7,000,000	9,100,000	2,100,000	17,400,000
Federal Capital Projects Fund	29,200,000	29,500,000	300,000	70,800,000
Total	<u>48,800,000</u>	<u>47,500,000</u>	<u>(1,300,000)</u>	<u>104,000,000</u>



# ***DIVISION OF PAROLE***

## ***MISSION***

The Division of Parole, which consists of the Board of Parole and Division staff, is responsible for preparing inmates for release from prison and the community supervision and successful reintegration of those offenders back into society. Offenders are released from prison and placed under Parole supervision by action of the Parole Board, a presumptive release decision by the Department of Correctional Services, by conditional release, or when inmates with determinate sentences reach their maximum expiration date.

## ***ORGANIZATION AND STAFFING***

The 19 members of the Board of Parole are appointed by the Governor, and confirmed by the Senate, for six-year terms. One member is designated by the Governor to serve as the Board's Chair and Chief Executive Officer of the Division. Board members review the cases of inmates eligible for parole release and determine if and when the offender should be released to parole supervision. Board members establish conditions the parolee must abide by in the community if released. Board members also establish the conditions of release for inmates released through mechanisms other than a Parole Board determination. Conditions outline a parolee's responsibilities while under community supervision and, if these conditions are violated, serve as justification for parole revocation.

The Division's administrative staff are located in its central office in Albany. Parole operations staff are located across the state in 70 correctional facilities and 38 community-based field supervision offices. The Division will have a workforce of 2,029 in 2006-07.

## ***FISCAL BACKGROUND AND BUDGET HIGHLIGHTS***

In 2006-07, approximately \$157 million in State tax dollars will enable the Division of Parole to promote public safety by preparing inmates for release, supervising offenders in the community, and arranging for treatment services for parolees.

The Budget provides for the supervision of offenders in the community through intensive parole officer supervision caseloads for violent felony offenders, offenders with severe mental health conditions, sex offenders and for those parolees who graduate from the shock incarceration program or who complete the drug treatment program at the Willard Drug Treatment Campus.

In order to maintain the highest levels of public safety throughout the State, the Division is expanding its efforts to ensure that sex offenders under parole supervision are strictly monitored and kept away from the most vulnerable members of the community. The Budget includes funds for increased levels of community supervision and provides for the use of polygraphs as a pre-emptive monitoring tool to deter the predatory behavior of these offenders.

Approximately \$40 million in State tax dollars is recommended to support the Aid to Localities portion of the budget. Approximately \$16 million of this funding supports vocational development, residential stabilization, and relapse prevention programs. Included in those funds is \$3 million of a \$6 million joint Division of Parole and Office of Alcoholism and Substance Abuse Services initiative on offender re-entry. Under this initiative, a consortium of not-for-profit providers will secure early access to substance abuse, employment and housing services for parolees, thereby enhancing the prospects of their successful re-integration into the community.

## **PAROLE**

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There is also Aid to Localities funding for county jails that house parole violators awaiting a parole revocation hearing that will determine whether the individual will return to State prison or be returned to the community. This Budget supports an increase in the reimbursement rate paid to local jails. The Division continues to develop procedural changes that make the parole revocation process more efficient which reduces the amount of time parole violators spend in local jails.

### **PROGRAM HIGHLIGHTS**

As a result of changes to the Penal Law by the Sentencing Reform Act of 1995 and Jenna's Law, violent felony offenders are subject to determinate sentences and not eligible for early parole release. Additionally, the 2004 drug law reforms provide for determinate sentences. All offenders with determinate sentences are subject to fixed periods of post-release supervision by Parole Officers upon their release from prison.

In addition to closely monitoring offenders in the community, the Division helps prevent parolees from reverting to a life of crime by contracting for various rehabilitative services to support their return to society, such as substance abuse counseling, residential treatment and employment training and programming.

### **PAROLE OPERATIONS**

The Parole Operations program, the core of the State parole system, consists of three major areas: preparing inmates for reentry into the community; assisting the Board in making release determinations as well as providing the Board with information needed for setting conditions of release; and supervising parolees released from prison while supporting their successful reintegration into the community.

Institutional Parole staff, assigned to correctional facilities, assist the Board by preparing an assessment of an inmate's readiness for release based on his or her case history. The staff summarizes an inmate's rehabilitation progress, family background and community connections, as well as housing and employment prospects for the Board's consideration. Parole Officers attempt to obtain housing, employment and other services for inmates that are to be released into the community.

When offenders are to be released from prison, the Board imposes conditions of release and they are assigned to a Parole Officer. Field Parole staff supervise parolees by monitoring behavior, reviewing employment and treatment progress, administering drug tests and arranging for services to help parolees remain productive and law-abiding members of the community. Parole Officers also investigate alleged violations and revoke parole when necessary.

#### **ALL FUNDS APPROPRIATIONS (dollars)**

<b>Category</b>	<b>Available 2005-06</b>	<b>Appropriations Recommended 2006-07</b>	<b>Change</b>	<b>Reappropriations Recommended 2006-07</b>
State Operations	151,926,000	158,348,000	6,422,000	1,921,000
Aid To Localities	44,439,000	53,146,000	8,707,000	0
Capital Projects	0	0	0	0
Total	196,365,000	211,494,000	15,129,000	1,921,000

**ALL FUND TYPES  
PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM  
FILLED ANNUAL SALARIED POSITIONS**

Program	Full-Time Equivalent Positions (FTE)		
	2005-06	2006-07	FTE Change
	Estimated FTEs 03/31/06	Estimated FTEs 03/31/07	
Administration			
General Fund	107	107	0
Parole Operations			
General Fund	1,962	1,922	(40)
Total	<u>2,069</u>	<u>2,029</u>	<u>(40)</u>

**STATE OPERATIONS  
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE  
APPROPRIATIONS  
(dollars)**

Fund Type	Available 2005-06	Recommended 2006-07	Change
General Fund	150,601,000	157,023,000	6,422,000
Special Revenue Funds - Federal	500,000	500,000	0
Special Revenue Funds - Other	825,000	825,000	0
Total	<u>151,926,000</u>	<u>158,348,000</u>	<u>6,422,000</u>

**STATE OPERATIONS  
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM  
APPROPRIATIONS  
(dollars)**

Program	Available 2005-06	Recommended 2006-07	Change
Administration			
General Fund	8,042,000	8,727,000	685,000
Parole Operations			
General Fund	142,559,000	148,296,000	5,737,000
Special Revenue Funds - Federal	500,000	500,000	0
Special Revenue Funds - Other	825,000	825,000	0
Total	<u>151,926,000</u>	<u>158,348,000</u>	<u>6,422,000</u>

**STATE OPERATIONS - GENERAL FUND  
SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES  
2006-07 RECOMMENDED  
(dollars)**

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Administration	7,102,000	626,000	7,064,000	721,000
Parole Operations	114,281,000	250,000	109,715,000	(86,000)
Total	<u>121,383,000</u>	<u>876,000</u>	<u>116,779,000</u>	<u>635,000</u>

Program	Temporary Service (Nonannual Salaried)		Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change	Amount	Change
Administration	0	0	38,000	(95,000)
Parole Operations	84,000	0	4,482,000	336,000
Total	<u>84,000</u>	<u>0</u>	<u>4,520,000</u>	<u>241,000</u>

# PAROLE

**STATE OPERATIONS - GENERAL FUND  
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED  
APPROPRIATIONS AND CHANGES  
2006-07 RECOMMENDED  
(dollars)**

<b>Program</b>	<b>Total</b>		<b>Supplies and Materials</b>	
	<b>Amount</b>	<b>Change</b>	<b>Amount</b>	<b>Change</b>
Administration	1,625,000	59,000	22,000	1,000
Parole Operations	34,015,000	5,487,000	1,139,000	71,000
Total	35,640,000	5,546,000	1,161,000	72,000

<b>Program</b>	<b>Travel</b>		<b>Contractual Services</b>	
	<b>Amount</b>	<b>Change</b>	<b>Amount</b>	<b>Change</b>
Administration	288,000	48,000	1,300,000	9,000
Parole Operations	3,994,000	941,000	27,157,000	4,417,000
Total	4,282,000	989,000	28,457,000	4,426,000

<b>Program</b>	<b>Equipment</b>	
	<b>Amount</b>	<b>Change</b>
Administration	15,000	1,000
Parole Operations	1,725,000	58,000
Total	1,740,000	59,000

**STATE OPERATIONS - OTHER THAN GENERAL FUND  
SUMMARY OF APPROPRIATIONS AND CHANGES  
2006-07 RECOMMENDED  
(dollars)**

<b>Program</b>	<b>Total</b>		<b>Maintenance Undistributed</b>	
	<b>Amount</b>	<b>Change</b>	<b>Amount</b>	<b>Change</b>
Parole Operations	1,325,000	0	1,325,000	0
Total	1,325,000	0	1,325,000	0

**AID TO LOCALITIES  
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE  
APPROPRIATIONS  
(dollars)**

<b>Fund Type</b>	<b>Available</b>	<b>Recommended</b>	<b>Change</b>
	<b>2005-06</b>	<b>2006-07</b>	
General Fund	35,189,000	43,896,000	8,707,000
Internal Service Funds	9,250,000	9,250,000	0
Total	44,439,000	53,146,000	8,707,000

**AID TO LOCALITIES  
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM  
APPROPRIATIONS  
(dollars)**

<b>Program</b>	<b>Available</b>	<b>Recommended</b>	<b>Change</b>
	<b>2005-06</b>	<b>2006-07</b>	
Parole Operations			
General Fund	35,189,000	43,896,000	8,707,000
Internal Service Funds	9,250,000	9,250,000	0
Total	44,439,000	53,146,000	8,707,000

# DIVISION OF PROBATION AND CORRECTIONAL ALTERNATIVES

## MISSION

The Division of Probation and Correctional Alternatives oversees county probation departments, providing them with training and technical assistance related to the supervision and treatment of offenders.

## ORGANIZATION AND STAFFING

Headed by a State Director appointed by the Governor, the Division is located in Albany. The Division will operate in 2006-07 with a staff of 28.

## FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

In 2006-07, approximately \$1.9 million in State tax dollars will support the Division's State Operations budget. The Division's Aid to Localities program will provide \$70 million in funding for Probation Aid, the Intensive Supervision Program, the Juvenile Intensive Supervision Program and a number of alternative to incarceration programs, as well as increased assistance for monitoring sex offenders.

## PROGRAM HIGHLIGHTS

The Division of Probation and Correctional Alternatives will continue to focus on performance measurement, enhanced training and education for local providers, and improved technology. The Division currently maintains two automated offender risk assessment-screening instruments, the Youth Assessment and Screening Instrument (YASI) and the Correctional Offender Management Profiling for Alternative Sanctions Instrument (COMPAS), along with Prober, an automated case management tool for counties. The Division will continue to enhance and expand these systems.

### ALL FUNDS APPROPRIATIONS (dollars)

Category	Available	Appropriations Recommended	Change	Reappropriations Recommended
	2005-06	2006-07		2006-07
State Operations	1,888,000	1,954,000	66,000	0
Aid To Localities	68,549,000	69,603,000	1,054,000	19,797,000
Capital Projects	0	0	0	0
<b>Total</b>	<b>70,437,000</b>	<b>71,557,000</b>	<b>1,120,000</b>	<b>19,797,000</b>

### ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Program	Full-Time Equivalent Positions (FTE)		
	2005-06	2006-07	FTE Change
	Estimated FTEs 03/31/06	Estimated FTEs 03/31/07	
Community Corrections			
General Fund	28	28	0
Total	28	28	0

# PROBATION AND CORRECTIONAL ALTERNATIVES

## STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2005-06	Recommended 2006-07	Change
General Fund	1,888,000	1,954,000	66,000
Total	1,888,000	1,954,000	66,000

## STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2005-06	Recommended 2006-07	Change
Community Corrections			
General Fund	1,888,000	1,954,000	66,000
Total	1,888,000	1,954,000	66,000

## STATE OPERATIONS - GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2006-07 RECOMMENDED (dollars)

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Community Corrections	1,542,000	56,000	1,542,000	56,000
Total	1,542,000	56,000	1,542,000	56,000

## STATE OPERATIONS - GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2006-07 RECOMMENDED (dollars)

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Community Corrections	412,000	10,000	16,000	1,000
Total	412,000	10,000	16,000	1,000

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Community Corrections	42,000	1,000	344,000	8,000
Total	42,000	1,000	344,000	8,000

Program	Equipment	
	Amount	Change
Community Corrections	10,000	0
Total	10,000	0

## AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2005-06	Recommended 2006-07	Change
General Fund	68,549,000	69,603,000	1,054,000
Total	68,549,000	69,603,000	1,054,000

# **PROBATION AND CORRECTIONAL ALTERNATIVES**

**AID TO LOCALITIES  
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM  
APPROPRIATIONS  
(dollars)**

<b>Program</b>	<b>Available 2005-06</b>	<b>Recommended 2006-07</b>	<b>Change</b>
Community Corrections			
General Fund	<u>68,549,000</u>	<u>69,603,000</u>	<u>1,054,000</u>
Total	<u>68,549,000</u>	<u>69,603,000</u>	<u>1,054,000</u>



# OFFICE FOR THE PREVENTION OF DOMESTIC VIOLENCE

## MISSION

The Office for the Prevention of Domestic Violence develops statewide policies to protect victims of domestic violence and conducts domestic violence prevention training for judges, prosecutors, police, attorneys, probation and parole personnel, social services and health care providers.

## ORGANIZATION AND STAFFING

The Office, headed by an Executive Director appointed by the Governor, has its central office in the Capital District and one field office in New York City. The Office will have a workforce of 33 persons in 2006-07.

## FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The Executive Budget continues support for the Office's policy development and training programs. The All Funds appropriation for the Office will be \$2.6 million in 2006-07 to carry out domestic violence prevention activities.

## PROGRAM HIGHLIGHTS

The Office works to improve the response of various State and local public agencies to families affected by domestic violence. In cooperation with other agencies, the Office develops domestic violence policies and provides training and information about domestic violence. These programs clarify professional and legal responsibility to promote the safety of victims of domestic violence and to hold offenders accountable for their actions. Each training program provides an in-depth examination of the nature and dynamics of domestic violence.

The Office also serves as a clearinghouse for information about domestic violence, receiving more than 4,000 calls a year from social service and health care agencies, police departments, domestic violence shelters, local violence prevention programs and domestic violence victims.

Over the past several years, the Office's accomplishments have included development of model county and State domestic violence prevention policies which guide the response to victims and perpetrators of domestic violence in all county and State agencies. Additionally, as a result of the 1997 Welfare Reform legislation, the Office works with the Office of Temporary and Disability Assistance and Office of Children and Family Services to improve procedures for notifying public assistance applicants and recipients about the availability of domestic violence protection and services, and to provide training to social services personnel to recognize the presence of domestic violence.

### ALL FUNDS APPROPRIATIONS (dollars)

Category	Available	Appropriations	Change	Reappropriations
	2005-06	Recommended 2006-07		Recommended 2006-07
State Operations	1,683,000	1,756,000	73,000	300,000
Aid To Localities	927,000	927,000	0	112,000
Capital Projects	0	0	0	0
Total	2,610,000	2,683,000	73,000	412,000

# PREVENTION OF DOMESTIC VIOLENCE

## ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

### Full-Time Equivalent Positions (FTE)

Program	2005-06	2006-07	FTE Change
	Estimated FTEs 03/31/06	Estimated FTEs 03/31/07	
Administration			
General Fund	15	15	0
Special Revenue Funds - Federal	18	18	0
Total	33	33	0

## STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available	Recommended	Change
	2005-06	2006-07	
General Fund	1,513,000	1,586,000	73,000
Special Revenue Funds - Federal	100,000	100,000	0
Special Revenue Funds - Other	70,000	70,000	0
Total	1,683,000	1,756,000	73,000

## STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available	Recommended	Change
	2005-06	2006-07	
Administration			
General Fund	1,513,000	1,586,000	73,000
Special Revenue Funds - Federal	100,000	100,000	0
Special Revenue Funds - Other	70,000	70,000	0
Total	1,683,000	1,756,000	73,000

## STATE OPERATIONS - GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2006-07 RECOMMENDED (dollars)

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Administration	1,139,000	63,000	1,139,000	63,000
Total	1,139,000	63,000	1,139,000	63,000

# PREVENTION OF DOMESTIC VIOLENCE

## STATE OPERATIONS - GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2006-07 RECOMMENDED (dollars)

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Administration	447,000	10,000	48,000	1,000
Total	447,000	10,000	48,000	1,000

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration	41,000	1,000	340,000	8,000
Total	41,000	1,000	340,000	8,000

Program	Equipment	
	Amount	Change
Administration	18,000	0
Total	18,000	0

## STATE OPERATIONS - OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 2006-07 RECOMMENDED (dollars)

Program	Total		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration	170,000	0	170,000	0
Total	170,000	0	170,000	0

## AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available	Recommended	Change
	2005-06	2006-07	
General Fund	927,000	927,000	0
Total	927,000	927,000	0

## AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available	Recommended	Change
	2005-06	2006-07	
Administration			
General Fund	927,000	927,000	0
Total	927,000	927,000	0



# ***PUBLIC EMPLOYMENT RELATIONS BOARD***

## ***MISSION***

The Public Employment Relations Board resolves labor disputes between public employers and employees. The Board provides mediation, fact-finding and arbitration in contract disputes for approximately 4,750 negotiating units in New York State. In addition, the Board settles questions of union representation, conducts hearings on charges of improper practices, designates Management/Confidential positions, and acts as a clearinghouse for information on wages, benefits and employment practices.

## ***ORGANIZATION AND STAFFING***

The Board consists of a full-time Chair and two part-time members nominated by the Governor for six-year terms. The Board's jurisdiction includes State, county and local governments, certain special service districts, school districts and public authorities. Central offices are in Albany, with additional staff in Buffalo and Brooklyn.

## ***FISCAL BACKGROUND AND BUDGET HIGHLIGHTS***

The Board receives 88 percent of its funding from the General Fund, which supports its mediation, fact-finding and arbitration services and administrative costs.

The Executive Budget recommends \$3.7 million in General Fund support for the Board, and the Board's remaining expenses of \$482,000 are financed by fees paid by public employers and unions for filings and publications.

## ***PROGRAM HIGHLIGHTS***

The Board provides three principal services: conciliation, settlement of petitions involving representation and rulings on charges of improper practices.

## ***CONCILIATION***

The Board provided assistance in approximately 385 of the 2,382 State and local contracts negotiated in 2004-2005. The Board has followed many of these cases through the full range of impasse resolution steps: mediation, followed by either fact-finding or arbitration and conciliation.

## ***REPRESENTATION***

Through its Office of Public Employment Practices and Representation, the Board reviews all petitions from public employee unions and employers requesting the creation of new negotiating units or the transfer of members between units. It also reviews requests to remove positions from negotiating units and may designate them management or confidential. In 2004-2005, the Board received 125 petitions raising questions about representation and conducted 6 elections for representation.

## ***EMPLOYMENT PRACTICES***

The Board conducts hearings and renders decisions on improper practice charges, and received petitions on 850 charges of improper employment and negotiating practices in the previous year. In 2004-2005, the agency wrote over 126 decisions and closed, either by

## **PUBLIC EMPLOYMENT RELATIONS**

decision or settlement, over 820 improper practice cases. Each case must be addressed in a pre-hearing conference attended by the affected parties. If a case cannot be resolved, a Board administrative law judge must rule on the charge after conducting a formal hearing.

### **ALL FUNDS APPROPRIATIONS (dollars)**

<b>Category</b>	<b>Available 2005-06</b>	<b>Appropriations Recommended 2006-07</b>	<b>Change</b>	<b>Reappropriations Recommended 2006-07</b>
State Operations	3,951,000	4,147,000	196,000	0
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
<b>Total</b>	<b>3,951,000</b>	<b>4,147,000</b>	<b>196,000</b>	<b>0</b>

### **ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS**

#### **Full-Time Equivalent Positions (FTE)**

<b>Program</b>	<b>2005-06 Estimated FTEs 03/31/06</b>	<b>2006-07 Estimated FTEs 03/31/07</b>	<b>FTE Change</b>
Administration			
General Fund	37	37	0
<b>Total</b>	<b>37</b>	<b>37</b>	<b>0</b>

### **STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)**

<b>Fund Type</b>	<b>Available 2005-06</b>	<b>Recommended 2006-07</b>	<b>Change</b>
General Fund	3,694,000	3,665,000	(29,000)
Special Revenue Funds - Other	257,000	482,000	225,000
<b>Total</b>	<b>3,951,000</b>	<b>4,147,000</b>	<b>196,000</b>

### **STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)**

<b>Program</b>	<b>Available 2005-06</b>	<b>Recommended 2006-07</b>	<b>Change</b>
Administration			
General Fund	3,694,000	3,665,000	(29,000)
Special Revenue Funds - Other	257,000	482,000	225,000
<b>Total</b>	<b>3,951,000</b>	<b>4,147,000</b>	<b>196,000</b>

# PUBLIC EMPLOYMENT RELATIONS

## STATE OPERATIONS - GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2006-07 RECOMMENDED (dollars)

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Administration	3,183,000	(48,000)	2,876,000	(24,000)
Total	3,183,000	(48,000)	2,876,000	(24,000)

Program	Temporary Service (Nonannual Salaried)	
	Amount	Change
Administration	307,000	(24,000)
Total	307,000	(24,000)

## STATE OPERATIONS - GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2006-07 RECOMMENDED (dollars)

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Administration	482,000	19,000	83,000	9,000
Total	482,000	19,000	83,000	9,000

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration	72,000	0	318,000	10,000
Total	72,000	0	318,000	10,000

Program	Equipment	
	Amount	Change
Administration	9,000	0
Total	9,000	0

## STATE OPERATIONS - OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 2006-07 RECOMMENDED (dollars)

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Administration	482,000	225,000	140,000	0
Total	482,000	225,000	140,000	0

Program	Nonpersonal Service	
	Amount	Change
Administration	342,000	225,000
Total	342,000	225,000



# ***DIVISION OF STATE POLICE***

## ***MISSION***

The Division of State Police promotes highway safety and protects our citizens from crime. It is responsible for patrolling roads and highways outside major urban centers, and providing specialty and investigative police services throughout the State. The work of the State Police ranges from the traditional patrol duties to that of specially trained investigators who conduct sophisticated operations against drug traffickers and other criminals.

## ***ORGANIZATION AND STAFFING***

The Division, headed by a Superintendent who is appointed by the Governor, is organized into a Division Headquarters located in Albany and 11 Troops. Each Troop encompasses a geographic area of the State, with the exception of Troop "T" which is dedicated to providing police services on the New York State Thruway. Troop "NYC" provides specialized investigative and support services in the five boroughs of New York City. The remaining nine Troops provide patrol coverage and criminal investigation services appropriate to local conditions. Factors which affect services include population, highway mileage and availability of county and local law enforcement agencies.

The Division will have a total workforce of 5,977 in 2006-07, with 4,884 sworn police officers.

## ***FISCAL BACKGROUND AND BUDGET HIGHLIGHTS***

Approximately \$450 million of the Division's operations budget will be supported by State tax dollars from the General Fund. The balance is financed by Federal funds and various State revenue sources, including seized assets, a portion of the State's monthly surcharge on cellular telephone bills, fees for accident reports and reimbursement from the New York State Thruway Authority for services rendered on the State Thruway. The cost of Division security at the various casinos throughout the State is reimbursed by the Native American Nations which own and operate these facilities. In addition, revenue raised from the annual fee on insurance policies of all registered motor vehicles will support \$60.4 million of vital State Police public safety operations.

The 2006-07 Executive Budget includes \$16 million in funding for an initiative, begun in December 2005, to combat illegal gun trafficking and gun violence in New York State. This initiative calls for 100 new State Police Investigators to partner with federal and local law enforcement officials to remove illegal guns from communities with the highest rates of gun violence and to reduce the illegal transport of guns into New York from other states.

The Executive Budget also provides additional funding for the following:

- \$9.1 million to expand the use of forensic DNA analysis to property crimes and other lower level infractions;
- \$2.0 million for a new SUNY forensic DNA training academy;
- \$3.1 million to enhance the Division's ability to investigate and respond to computer and Internet crimes;
- \$4.0 million in new capital funds to support the construction of a new Troop L facility in Nassau County; and
- \$8.4 million in new bonded capital funds for the construction of new evidence storage facilities at five State Police Troop Headquarters throughout the State.

# STATE POLICE

## PROGRAM HIGHLIGHTS

The Division is divided into two principal branches, the Uniform Force and the Bureau of Criminal Investigation. Each of these branches fulfills specific law enforcement assignments and cooperates with local and county law enforcement agencies. In the coming year, the Division will continue to play a significant role in Homeland Security initiatives.

### UNIFORM FORCE

The Uniform Force has two primary responsibilities: protecting life and property and promoting highway safety. In many areas of the State, Uniform Troopers are the primary law enforcement agency, and respond to all types of calls including burglaries, missing children, assaults, robberies and homicides. State Troopers also provide essential support to local police departments.

### BUREAU OF CRIMINAL INVESTIGATION

The Bureau of Criminal Investigation (BCI) is the plain clothes investigative branch of the Division. BCI Investigators concentrate on cases related to narcotics, child abuse, auto theft, consumer product tampering, organized crime, violent crimes and terrorism. In addition to conducting their own investigations, investigators regularly assist local and county law enforcement agencies that lack the resources or expertise needed for major crime investigations.

#### ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2005-06	Appropriations Recommended 2006-07	Change	Reappropriations Recommended 2006-07
State Operations	626,161,000	632,574,000	6,413,000	11,277,000
Aid To Localities	0	0	0	0
Capital Projects	19,200,000	20,100,000	900,000	21,470,000
Total	645,361,000	652,674,000	7,313,000	32,747,000

#### ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Program	Full-Time Equivalent Positions (FTE)		
	2005-06 Estimated FTEs 03/31/06	2006-07 Estimated FTEs 03/31/07	FTE Change
Administration			
General Fund	208	208	0
Criminal Investigation Activities			
General Fund	1,096	1,215	119
Special Revenue Funds - Federal	62	0	(62)
Special Revenue Funds - Other	111	198	87
Patrol Activities			
General Fund	3,065	3,121	56
Special Revenue Funds - Federal	41	41	0
Special Revenue Funds - Other	36	28	(8)
Special Revenue Funds - Other	4	4	0
Policing the Thruway			
Special Revenue Funds - Other	340	340	0
Technical Police Services			
General Fund	760	817	57
Special Revenue Funds - Other	6	5	(1)
Total	5,729	5,977	248

**STATE OPERATIONS  
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE  
APPROPRIATIONS  
(dollars)**

<b>Fund Type</b>	<b>Available 2005-06</b>	<b>Recommended 2006-07</b>	<b>Change</b>
General Fund	442,387,200	449,527,000	7,139,800
Special Revenue Funds - Federal	4,709,000	4,621,000	(88,000)
Special Revenue Funds - Other	179,064,800	178,426,000	(638,800)
Total	<u>626,161,000</u>	<u>632,574,000</u>	<u>6,413,000</u>

Adjustments:

Transfer(s) From	
Special Pay Bill	
General Fund	(85,805,200)
Special Revenue Funds - Other	(14,676,800)
Appropriated 2005-06	<u>525,679,000</u>

**STATE OPERATIONS  
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM  
APPROPRIATIONS  
(dollars)**

<b>Program</b>	<b>Available 2005-06</b>	<b>Recommended 2006-07</b>	<b>Change</b>
Administration			
General Fund	16,369,200	16,529,000	159,800
Special Revenue Funds - Other	208,000	208,000	0
Criminal Investigation Activities			
General Fund	121,810,000	127,782,000	5,972,000
Special Revenue Funds - Federal	700,000	700,000	0
Special Revenue Funds - Other	20,661,800	22,106,000	1,444,200
Patrol Activities			
General Fund	235,160,000	212,941,000	(22,219,000)
Special Revenue Funds - Federal	3,867,000	3,921,000	54,000
Special Revenue Funds - Other	68,943,000	68,254,000	(689,000)
Policing the Thruway			
Special Revenue Funds - Other	52,350,000	50,956,000	(1,394,000)
Technical Police Services			
General Fund	69,048,000	92,275,000	23,227,000
Special Revenue Funds - Federal	142,000	0	(142,000)
Special Revenue Funds - Other	36,902,000	36,902,000	0
Total	<u>626,161,000</u>	<u>632,574,000</u>	<u>6,413,000</u>

**STATE OPERATIONS - GENERAL FUND  
SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES  
2006-07 RECOMMENDED  
(dollars)**

<b>Program</b>	<b>Total</b>		<b>Personal Service Regular (Annual Salaried)</b>	
	<b>Amount</b>	<b>Change</b>	<b>Amount</b>	<b>Change</b>
Administration	15,715,000	771,000	15,656,000	771,000
Criminal Investigation Activities	118,561,000	5,245,000	117,104,000	5,245,000
Patrol Activities	207,367,000	(21,136,000)	194,930,000	(29,136,000)
Technical Police Services	43,291,000	5,163,000	43,119,000	5,163,000
Total	<u>384,934,000</u>	<u>(9,957,000)</u>	<u>370,809,000</u>	<u>(17,957,000)</u>

<b>Program</b>	<b>Holiday/Overtime Pay (Annual Salaried)</b>	
	<b>Amount</b>	<b>Change</b>
Administration	59,000	0
Criminal Investigation Activities	1,457,000	0
Patrol Activities	12,437,000	8,000,000
Technical Police Services	172,000	0
Total	<u>14,125,000</u>	<u>8,000,000</u>

# STATE POLICE

**STATE OPERATIONS - GENERAL FUND  
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED  
APPROPRIATIONS AND CHANGES  
2006-07 RECOMMENDED  
(dollars)**

<b>Program</b>	<b>Total</b>		<b>Supplies and Materials</b>	
	<b>Amount</b>	<b>Change</b>	<b>Amount</b>	<b>Change</b>
Administration	814,000	(611,200)	330,000	(611,200)
Criminal Investigation Activities	9,221,000	727,000	708,000	6,000
Patrol Activities	5,574,000	(1,083,000)	1,209,000	39,000
Technical Police Services	48,984,000	18,064,000	9,653,000	6,973,000
<b>Total</b>	<b>64,593,000</b>	<b>17,096,800</b>	<b>11,900,000</b>	<b>6,406,800</b>

<b>Program</b>	<b>Travel</b>		<b>Contractual Services</b>	
	<b>Amount</b>	<b>Change</b>	<b>Amount</b>	<b>Change</b>
Administration	80,000	0	396,000	0
Criminal Investigation Activities	70,000	0	496,000	5,000
Patrol Activities	1,977,000	0	647,000	21,000
Technical Police Services	3,761,000	0	15,343,000	998,000
<b>Total</b>	<b>5,888,000</b>	<b>0</b>	<b>16,882,000</b>	<b>1,024,000</b>

<b>Program</b>	<b>Equipment</b>		<b>Maintenance Undistributed</b>	
	<b>Amount</b>	<b>Change</b>	<b>Amount</b>	<b>Change</b>
Administration	8,000	0	0	0
Criminal Investigation Activities	7,947,000	1,716,000	0	(1,000,000)
Patrol Activities	1,741,000	57,000	0	(1,200,000)
Technical Police Services	20,227,000	10,093,000	0	0
<b>Total</b>	<b>29,923,000</b>	<b>11,866,000</b>	<b>0</b>	<b>(2,200,000)</b>

**STATE OPERATIONS - OTHER THAN GENERAL FUND  
SUMMARY OF APPROPRIATIONS AND CHANGES  
2006-07 RECOMMENDED  
(dollars)**

<b>Program</b>	<b>Total</b>		<b>Personal Service</b>	
	<b>Amount</b>	<b>Change</b>	<b>Amount</b>	<b>Change</b>
Administration	208,000	0	0	0
Criminal Investigation Activities	22,806,000	1,444,200	9,232,000	656,200
Patrol Activities	72,175,000	(635,000)	56,921,000	(489,000)
Policing the Thruway	50,956,000	(1,394,000)	33,858,000	(1,971,000)
Technical Police Services	36,902,000	(142,000)	4,000,000	4,000,000
<b>Total</b>	<b>183,047,000</b>	<b>(726,800)</b>	<b>104,011,000</b>	<b>2,196,200</b>

<b>Program</b>	<b>Nonpersonal Service</b>		<b>Maintenance Undistributed</b>	
	<b>Amount</b>	<b>Change</b>	<b>Amount</b>	<b>Change</b>
Administration	208,000	0	0	0
Criminal Investigation Activities	12,874,000	788,000	700,000	0
Patrol Activities	15,254,000	(146,000)	0	0
Policing the Thruway	17,098,000	577,000	0	0
Technical Police Services	30,602,000	(4,142,000)	2,300,000	0
<b>Total</b>	<b>76,036,000</b>	<b>(2,923,000)</b>	<b>3,000,000</b>	<b>0</b>

**CAPITAL PROJECTS  
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM  
APPROPRIATIONS  
(dollars)**

<b>Comprehensive Construction Program</b>	<b>Available 2005-06</b>	<b>Recommended 2006-07</b>	<b>Change</b>	<b>Reappropriations 2006-07</b>
Maintenance and Improvement of Existing Facilities				
Capital Projects Fund	3,500,000	6,200,000	2,700,000	5,773,000
Misc. Capital Projects	0	1,500,000	1,500,000	0
New Facilities				
Capital Projects Fund - Authority Bonds	15,700,000	12,400,000	(3,300,000)	15,697,000
<b>Total</b>	<b>19,200,000</b>	<b>20,100,000</b>	<b>900,000</b>	<b>21,470,000</b>

# **OFFICE FOR TECHNOLOGY**

## **MISSION**

Since its inception in 1997, the Office for Technology (“OFT” or “the Office”) has evolved from an organization that plans and coordinates the State’s investments regarding information technology into an organization with significant operational responsibilities. The Office’s mission today is to provide centralized technology services, shape technology policy and coordinate statewide technology related initiatives to improve efficiency for New York State government.

## **ORGANIZATION AND STAFFING**

The Office is located in Albany and is overseen by the Director, who works in partnership with the State’s Chief Information Officer. The Office is supported with State tax dollars from the General Fund and payments from other State agencies. The Office will have a staff of 729 in 2006-07.

## **FISCAL BACKGROUND AND BUDGET HIGHLIGHTS**

The 2006-07 General Fund recommendation of \$23 million supports ongoing agency activities. Other major recommendations include:

- A \$75 million Capital Projects appropriation for the construction of a new Consolidated Data Center that will permit the safe and secure operation of the State’s mainframe computers and servers at a single, efficient primary site. The data center will be designed to permit the consolidation of the growing number of servers operated by OFT and individual agencies. By pooling these resources, staff and hardware can be used far more efficiently to provide better, more dependable service to agencies and the customers they serve;
- A \$115 million Internal Service Fund appropriation for operation of the current consolidated State Data Center sites;
- A \$105 million Internal Service Fund appropriation for the New York Intranet (NYeNet) — a high-speed communications network system supported by fees charged to State and local users — and for the operation of the State’s telephone system;
- A \$36.5 million Internal Service Fund appropriation to support the Human Services Network, a statewide data communication system that connects the State’s human services agencies with local social services offices and voluntary provider organizations; and
- Working with the Office of General Services, OFT will continue a statewide effort to coordinate acquisitions of information technology and services to ensure that State agencies receive the best value at the lowest possible price.

## **PROGRAM HIGHLIGHTS**

The Office for Technology’s primary objectives for fiscal year 2006-07 include two significant priorities for improving the State’s technology infrastructure: commencing design and construction of a new consolidated data center to meet the growing needs for secure space, power and cooling; and continuing the design and beginning primary regional build-out of the Statewide Wireless Network (SWN). A contract was awarded in late 2005 to design and begin to build and test SWN by regions. The Office for Technology will also continue to sharpen its focus on the State as an enterprise, concentrating resources on opportunities to develop enterprise solutions and to work cooperatively on common

## TECHNOLOGY

problems identified in the planning process. In this regard, an additional priority will be to improve services to agencies by earlier joint planning to meet agency needs. Building on the newly-instituted Annual Technology Plans developed by each agency, OFT and customer agencies will be better positioned to ensure that resources are in place when needed.

### ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2005-06	Appropriations Recommended 2006-07	Change	Reappropriations Recommended 2006-07
State Operations	270,953,000	279,595,000	8,642,000	0
Aid To Localities	0	0	0	0
Capital Projects	0	75,000,000	75,000,000	0
Total	270,953,000	354,595,000	83,642,000	0

### ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

#### Full-Time Equivalent Positions (FTE)

Program	2005-06 Estimated FTEs 03/31/06	2006-07 Estimated FTEs 03/31/07	FTE Change
Technology			
General Fund	127	135	8
Internal Service Funds	594	594	0
Total	721	729	8

### STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2005-06	Recommended 2006-07	Change
General Fund	21,953,000	23,095,000	1,142,000
Internal Service Funds	249,000,000	256,500,000	7,500,000
Total	270,953,000	279,595,000	8,642,000

### STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2005-06	Recommended 2006-07	Change
Technology			
General Fund	21,953,000	23,095,000	1,142,000
Internal Service Funds	249,000,000	256,500,000	7,500,000
Total	270,953,000	279,595,000	8,642,000

# TECHNOLOGY

**STATE OPERATIONS - GENERAL FUND  
SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES  
2006-07 RECOMMENDED  
(dollars)**

<b>Program</b>	<b>Total</b>		<b>Personal Service Regular (Annual Salaried)</b>	
	<b>Amount</b>	<b>Change</b>	<b>Amount</b>	<b>Change</b>
Technology	7,986,000	118,000	7,776,000	118,000
Total	7,986,000	118,000	7,776,000	118,000

<b>Program</b>	<b>Temporary Service (Nonannual Salaried)</b>		<b>Holiday/Overtime Pay (Annual Salaried)</b>	
	<b>Amount</b>	<b>Change</b>	<b>Amount</b>	<b>Change</b>
Technology	200,000	0	10,000	0
Total	200,000	0	10,000	0

**STATE OPERATIONS - GENERAL FUND  
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED  
APPROPRIATIONS AND CHANGES  
2006-07 RECOMMENDED  
(dollars)**

<b>Program</b>	<b>Total</b>		<b>Supplies and Materials</b>	
	<b>Amount</b>	<b>Change</b>	<b>Amount</b>	<b>Change</b>
Technology	15,109,000	1,024,000	260,000	4,000
Total	15,109,000	1,024,000	260,000	4,000

<b>Program</b>	<b>Travel</b>		<b>Contractual Services</b>	
	<b>Amount</b>	<b>Change</b>	<b>Amount</b>	<b>Change</b>
Technology	27,000	1,000	4,788,000	774,000
Total	27,000	1,000	4,788,000	774,000

<b>Program</b>	<b>Equipment</b>	
	<b>Amount</b>	<b>Change</b>
Technology	10,034,000	245,000
Total	10,034,000	245,000

**STATE OPERATIONS - OTHER THAN GENERAL FUND  
SUMMARY OF APPROPRIATIONS AND CHANGES  
2006-07 RECOMMENDED  
(dollars)**

<b>Program</b>	<b>Total</b>		<b>Maintenance Undistributed</b>	
	<b>Amount</b>	<b>Change</b>	<b>Amount</b>	<b>Change</b>
Technology	256,500,000	7,500,000	256,500,000	7,500,000
Total	256,500,000	7,500,000	256,500,000	7,500,000

**CAPITAL PROJECTS  
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM  
APPROPRIATIONS  
(dollars)**

<b>Comprehensive Construction Program</b>	<b>Available 2005-06</b>	<b>Recommended 2006-07</b>	<b>Change</b>	<b>Reappropriations 2006-07</b>
New Facilities				
Capital Projects Fund - Authority Bonds	0	75,000,000	75,000,000	0
Total	0	75,000,000	75,000,000	0



# ***DIVISION OF VETERANS' AFFAIRS***

## ***MISSION***

The Division of Veterans' Affairs assists veterans, members of the armed forces and their families and dependents in securing benefits earned through military service. The Division coordinates veterans' programs and services offered by other State agencies, works closely with the Federal Department of Veterans' Affairs and advocates State and Federal actions to meet veterans' needs. The Division serves 1.1 million New Yorkers who are veterans, as well as their dependents and those on active duty.

## ***ORGANIZATION AND STAFFING***

The Division is headed by a Director who is appointed by the Governor. The Division's central office is in Albany, with regional offices in New York City and Buffalo. The Division staffs a network of approximately 70 community based access points in nearly all of the State's counties and New York City. The Division will have 112 employees in 2006-07.

## ***FISCAL BACKGROUND AND BUDGET HIGHLIGHTS***

The Executive Budget recommends \$14.9 million for the Division of Veterans' Affairs to fund administration, veterans' services and benefits. The Blind Veteran Annuity Assistance Program has been increased slightly to accommodate the potential for changes in the number of beneficiaries. State aid is continued for county and city veterans' service agencies and Federal appropriations for the Veterans' Education Program are maintained. The Office of General Services will continue to provide administrative support, permitting the Division to focus on its main mission, serving the State's veterans and their families.

## ***PROGRAM HIGHLIGHTS***

The largest of the Division of Veterans' Affairs four programs, the Veterans' Counseling Program, provides benefits counseling and claims services through a statewide network of State veterans' counselors. The counselors — who are all veterans — and support staff help veterans complete and file applications for Federal, State, local and private veterans' benefits. Veterans' counselors also help veterans access the services provided by Veterans' Affairs Medical Centers, senior centers, State Veterans' Homes, local nursing homes and public assistance offices.

The Division of Veterans' Affairs is responsible for securing a substantial portion of the more than \$1.25 billion annually in recurring Federal VA compensation and pension payments made directly to New York State veterans and their dependents. State veterans' counselors file nearly 8,000 benefit claims annually that result in approximately \$64 million in new and recurring Federal benefits. The average compensation award for each veteran represented by State veterans' counselors exceeds \$11,500 annually. State veterans' counselors also assist constituents in obtaining additional veterans benefits, including VA medical care, various other Federal, State and local economic assistance, tax exemptions and a variety of other benefits offered in recognition of military service. Additionally, with the enactment of New York Patriot Plans in 2003, 2004, and 2005, State veterans' counselors help to ensure that active duty military personnel and their families receive the supplemental benefits to which they are now entitled.

The Blind Veteran Annuity Program provides visually impaired veterans and eligible surviving spouses with monthly assistance. In 2006-07, this program will assist more than 4,300 recipients. Legislation approved in 2004 provides for an annual cost of living increase tied to Federal increases in veterans' compensation in order to maintain the value of the benefit paid to each recipient.

## VETERANS' AFFAIRS

The Veterans' Education Program certifies post-secondary educational and vocational programs as providing quality education and training to veterans eligible for Federal G. I. education benefits. The program staff reviews and approves thousands of programs for use by veterans, ensuring a wide variety of career training and educational opportunities for veterans and eligible dependents and survivors.

The Division of Veterans' Affairs continues to maintain its community outreach activities through senior citizens' seminars and similar forums for women veterans. In addition, it is the driving force behind the Interagency Veterans' Council, a consortium of Federal, State and local agencies and non-profit organizations that meets periodically to address and resolve problems and concerns within the veteran community. The Division also collaborates with other State agencies to better identify veterans and their dependents on public assistance, to assist them in securing Federal veterans' benefits to which they are entitled, and to advise senior citizens of veterans' benefits and services that could improve the quality of their lives.

### ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2005-06	Appropriations Recommended 2006-07	Change	Reappropriations Recommended 2006-07
State Operations	8,487,000	8,530,000	43,000	2,082,000
Aid To Localities	6,130,000	6,330,000	200,000	750,000
Capital Projects	0	0	0	0
Total	14,617,000	14,860,000	243,000	2,832,000

### ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

#### Full-Time Equivalent Positions (FTE)

Program	2005-06 Estimated FTEs 03/31/06	2006-07 Estimated FTEs 03/31/07	FTE Change
Administration			
General Fund	10	9	(1)
Higher Education			
Special Revenue Funds - Federal	12	12	0
Veteran Counseling Services Program			
General Fund	91	91	0
Total	113	112	(1)

### STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2005-06	Recommended 2006-07	Change
General Fund	6,212,000	6,230,000	18,000
Special Revenue Funds - Federal	2,275,000	2,300,000	25,000
Total	8,487,000	8,530,000	43,000

# VETERANS' AFFAIRS

## STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2005-06	Recommended 2006-07	Change
Administration			
General Fund	844,000	802,000	(42,000)
Higher Education			
Special Revenue Funds - Federal	1,775,000	1,800,000	25,000
Veteran Counseling Services Program			
General Fund	5,368,000	5,428,000	60,000
Special Revenue Funds - Federal	500,000	500,000	0
Total	<u>8,487,000</u>	<u>8,530,000</u>	<u>43,000</u>

## STATE OPERATIONS - GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2006-07 RECOMMENDED (dollars)

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Administration	690,000	(47,000)	690,000	(47,000)
Veteran Counseling Services Program	4,938,000	0	4,938,000	0
Total	<u>5,628,000</u>	<u>(47,000)</u>	<u>5,628,000</u>	<u>(47,000)</u>

## STATE OPERATIONS - GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2006-07 RECOMMENDED (dollars)

Program	Total		Contractual Services	
	Amount	Change	Amount	Change
Administration	112,000	5,000	112,000	5,000
Veteran Counseling Services Program	490,000	60,000	490,000	110,000
Total	<u>602,000</u>	<u>65,000</u>	<u>602,000</u>	<u>115,000</u>

Program	Maintenance Undistributed	
	Amount	Change
Administration	0	0
Veteran Counseling Services Program	0	(50,000)
Total	<u>0</u>	<u>(50,000)</u>

## STATE OPERATIONS - OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 2006-07 RECOMMENDED (dollars)

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Higher Education	1,800,000	25,000	1,055,000	0
Veteran Counseling Services Program	500,000	0	0	0
Total	<u>2,300,000</u>	<u>25,000</u>	<u>1,055,000</u>	<u>0</u>

Program	Nonpersonal Service	
	Amount	Change
Higher Education	745,000	25,000
Veteran Counseling Services Program	500,000	0
Total	<u>1,245,000</u>	<u>25,000</u>

# VETERANS' AFFAIRS

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**AID TO LOCALITIES  
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE  
APPROPRIATIONS  
(dollars)**

<b>Fund Type</b>	<b>Available 2005-06</b>	<b>Recommended 2006-07</b>	<b>Change</b>
General Fund	6,130,000	6,330,000	200,000
Total	<u>6,130,000</u>	<u>6,330,000</u>	<u>200,000</u>

**AID TO LOCALITIES  
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM  
APPROPRIATIONS  
(dollars)**

<b>Program</b>	<b>Available 2005-06</b>	<b>Recommended 2006-07</b>	<b>Change</b>
Administration			
General Fund	200,000	200,000	0
Blind Veteran Annuity Assistance			
General Fund	5,000,000	5,200,000	200,000
Veteran Counseling Services Program			
General Fund	930,000	930,000	0
Total	<u>6,130,000</u>	<u>6,330,000</u>	<u>200,000</u>

# **WORKERS' COMPENSATION BOARD**

## **MISSION**

To protect the well-being of New York's labor force, most private and public sector employers in New York are required to carry workers' compensation and disability benefits insurance for their employees or demonstrate their ability to self-insure. The Workers' Compensation Board reviews claims for workers' compensation payments and assists in resolving disputed claims. In performing these responsibilities, the Board administers provisions of the following laws: the Workers' Compensation Law; the Disability Benefits Law; the Civil Defense Volunteers' Law; the Volunteer Firefighters' Benefit Law; and the Volunteer Ambulance Workers' Benefit Law.

Because of its broad mission, the Board is responsible for implementing many of the reforms included in the Governor's New York Employment, Safety and Security Act that made historic reforms in the workers' compensation system. The Act improved workplace safety and reduced average workers' compensation rates by approximately 35 percent since enactment of the reforms.

## **ORGANIZATION AND STAFFING**

The Board is headed by a Chairperson selected by the Governor and includes 12 additional full-time members nominated by the Governor and confirmed by the Senate for seven year terms. District offices are located in Albany, Binghamton, Brooklyn, Buffalo, Hauppauge, Hempstead, Manhattan, Peekskill, Queens, Rochester and Syracuse, with administrative offices in Albany. To make the hearing process as convenient as possible, the Board has 30 additional customer service centers located throughout the State. The Board will have a workforce of 1,539 positions for 2006-07.

## **FISCAL BACKGROUND AND BUDGET HIGHLIGHTS**

The Board is funded through assessments on the Workers' Compensation and Disability Benefits insurance industry and with revenues produced by various services provided by the Board. The assessments paid by insurers are allocated to three major programs: Disability Benefits; Systems Modernization; and Workers' Compensation.

The 2006-07 Executive Budget recommends approximately \$190 million in support for the Board. The recommended funding will enable the Board to fulfill the Governor's commitment to improve service to businesses and injured workers.

## **PROGRAM HIGHLIGHTS**

The Board continues to improve services by resolving claims in the most efficient and equitable manner possible. For instance, the agency continues to refine its formal and informal adjudication tools and resolve more outstanding claims issues for new and reactivated cases.

The Board's Office of Fraud Inspector General (OFIG) continues to proactively fight Worker's Compensation fraud statewide. During 2004, OFIG received 3,274 fraud complaints, investigated and closed 3,448 fraud cases, submitted 1,716 cases to various authorities for criminal prosecution or other appropriate action and returned \$2.2 million to defrauded victims.

## **DISABILITY BENEFITS PROGRAM**

Disability benefits provide cash payments in lieu of lost wages to temporarily disabled employees. Coverage is required for employers of one or more employees with such selected exceptions as agricultural enterprises and public employers.

## **WORKERS' COMPENSATION**

### **SYSTEMS MODERNIZATION PROGRAM**

The Office for Systems Modernization manages a multi-year effort to overhaul the Board's capacity to manage and use information. This program also maintains computerized systems vital to various Board operations and collects and analyzes data that measure performance and identify potential problems. Now that the Board has automated and improved the claims processing operation, its focus continues to be directed to other functional areas in need of automation and re-engineering, including Administration, Research and Compliance and Regulatory Services. When the modernization program is complete, the Board will have a new Workers' Compensation Board Information System that will automate and streamline all business activities. This has already increased productivity by enhancing the Board's capacity to process the millions of pieces of information it receives each year.

### **WORKERS' COMPENSATION PROGRAM**

The Workers' Compensation Board reviews workers' claims for benefits, reports of injury filed by employers and medical reports from physicians and other health care providers. The Board adjudicates all issues and law judges make awards and findings to ensure that an entitled claimant promptly receives benefits and medical treatment. The decisions by the law judges may subsequently be appealed to Board panels and eventually to the courts. The Board, through its Bureau of Compliance, also monitors employers to ensure that they properly provide coverage for their employees and imposes penalties on those employers who fail to do so. In addition, the Board's Regulatory Services Bureau authorizes physicians to treat compensation cases, awards licenses to medical providers and arbitrates disputed medical bills.

#### **ALL FUNDS APPROPRIATIONS (dollars)**

<b>Category</b>	<b>Available 2005-06</b>	<b>Appropriations Recommended 2006-07</b>	<b>Change</b>	<b>Reappropriations Recommended 2006-07</b>
State Operations	184,196,600	190,046,000	5,849,400	0
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
<b>Total</b>	<b>184,196,600</b>	<b>190,046,000</b>	<b>5,849,400</b>	<b>0</b>

#### **ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS**

<b>Program</b>	<b>Full-Time Equivalent Positions (FTE)</b>		
	<b>2005-06 Estimated FTEs 03/31/06</b>	<b>2006-07 Estimated FTEs 03/31/07</b>	<b>FTE Change</b>
	Disability Benefits		
Special Revenue Funds - Other	51	51	0
Systems Modernization			
Special Revenue Funds - Other	81	81	0
Workers Compensation			
Special Revenue Funds - Other	1,407	1,407	0
<b>Total</b>	<b>1,539</b>	<b>1,539</b>	<b>0</b>

# WORKERS' COMPENSATION

## STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2005-06	Recommended 2006-07	Change
Special Revenue Funds - Other	184,196,600	190,046,000	5,849,400
Total	<u>184,196,600</u>	<u>190,046,000</u>	<u>5,849,400</u>

## STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2005-06	Recommended 2006-07	Change
Disability Benefits			
Special Revenue Funds - Other	7,516,000	7,457,000	(59,000)
Systems Modernization			
Special Revenue Funds - Other	36,798,600	38,136,000	1,337,400
Workers Compensation			
Special Revenue Funds - Other	139,882,000	144,453,000	4,571,000
Total	<u>184,196,600</u>	<u>190,046,000</u>	<u>5,849,400</u>

## STATE OPERATIONS - OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 2006-07 RECOMMENDED (dollars)

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Disability Benefits	7,457,000	(59,000)	3,723,000	(133,000)
Systems Modernization	38,136,000	1,337,400	4,845,000	198,400
Workers Compensation	144,453,000	4,571,000	73,657,000	1,099,000
Total	<u>190,046,000</u>	<u>5,849,400</u>	<u>82,225,000</u>	<u>1,164,400</u>

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Disability Benefits	3,734,000	74,000	0	0
Systems Modernization	33,291,000	1,139,000	0	0
Workers Compensation	70,099,000	3,478,000	697,000	(6,000)
Total	<u>107,124,000</u>	<u>4,691,000</u>	<u>697,000</u>	<u>(6,000)</u>