

OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES

MISSION

The Office of Alcoholism and Substance Abuse Services (OASAS) is responsible for licensing and evaluating service providers, and for implementing and advocating policies and programs for the prevention, early intervention and treatment of alcoholism and substance abuse. In conjunction with local governments, providers and communities, the Office works to ensure that a full range of necessary and cost-effective prevention and treatment services is available statewide.

ORGANIZATION AND STAFFING

The Office of Alcoholism and Substance Abuse Services is headed by a Commissioner, responsible for the development and management of the State's policy on chemical dependence. The Agency exercises these responsibilities directly as a provider of treatment services through a statewide system of 13 Addiction Treatment Centers (ATCs), through the regulation and oversight of prevention and chemical dependence treatment services, and as a conduit for Federal and State financial assistance. The Agency also furthers other State policy goals in areas that include criminal justice, health care and public assistance by coordinating chemical dependence resources and strategies in collaboration with other State agencies.

In 2003-04, OASAS will have a workforce of 951, of which 58 percent provide clinical treatment services through the ATCs. This represents a year-to-year reduction of 28 administrative positions, accomplished through normal attrition and retirements.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The 2003-04 Executive Budget recommends \$508 million in State and other resources for OASAS to continue agency and community operations. This funding is supplemented by Federal and State resources budgeted in other State agencies, and by the contributions of local governments, voluntary agencies and other sources.

The recommended budget is sufficient to ensure the full operation of all 13 ATCs and maintenance of health and safety standards in both the State and voluntary facilities. Despite the severe fiscal constraints facing the State, the stabilization of the non-profit workforce remains a high priority, and the Governor's Budget fully annualizes funding for the three percent cost of living adjustment implemented in December 2002, achieved through redirecting a portion of savings from cost efficiency measures advanced in the Budget.

The 2003-04 Executive Budget continues the consolidation of the OASAS system by uniting the separate alcoholism and substance abuse systems into a single chemical dependence system. Consolidated outpatient chemical dependence fees were implemented in December 2002. To address the short-term needs of eligible outpatient providers as they adjust to the new Medicaid fee system, the Governor's budget maintains \$5.7 million to help them transition over an 18-month period. As a next step in implementing the new fee structure for outpatient chemical dependence services, OASAS will develop a financing mechanism to recognize the cost of outpatient specialty services. During 2003-04, OASAS will also initiate development and implementation of new financing mechanisms for residential treatment services for adolescents.

Following the authorization and approval of fees for Medicaid reimbursement of community-based detoxification services, OASAS will expand the availability of these services statewide. This community-based alternative to hospital-based detoxification will lower costs and increase coordination and access to needed treatment. Inpatient hospitalization will continue to provide the more medically complicated detoxification services.

SUBSTANCE ABUSE SERVICES

To complement the detoxification initiative, OASAS will continue to support, and enhance where possible, mechanisms that promote effective case management of clients in the treatment system. These services have been demonstrated to reduce recidivism, improve treatment outcomes and lead to State and local savings.

In addition, as part of the 2003-04 Budget Recommendations, OASAS will continue to:

- Implement structural and procedural changes in its central office and field offices, and reduce staff resources dedicated to lesser priority functions;
- Assure that core services are maintained, agency priorities are addressed and improvements in productivity and revenue generation are achieved;
- Maximize Federal and other revenue sources in an effort to maintain existing programs at current levels;
- Achieve efficiencies in local programs by reducing funding to those provider agencies whose performance is not meeting established standards, as determined through OASAS' annual performance measurement, review and evaluation processes; and
- Advance voluntary provider workforce development as a critical priority. In 2003-04, OASAS' Taskforce on Workforce Development - comprising representatives of providers, local governments and professional associations - will increase its efforts to evaluate and pursue such initiatives as: partnering with academic institutions and promoting academic internships; marketing career opportunities in the addiction field; reengineering the credentialing process, including regulatory revisions; reducing administrative reporting burdens, and developing and disseminating a guide on employer best practices.

PROGRAM HIGHLIGHTS

As the largest drug and alcohol prevention and treatment system in the nation, OASAS administers a comprehensive array of prevention and treatment services for New Yorkers. The Office works with a network of State, local government and voluntary agencies, as well as school districts, to provide prevention and treatment services. In accordance with Federal and State statutes, the Agency licenses and regulates program providers, ensures that fiscal resources are appropriately spent, and assists local programs in providing the highest quality services.

On any given day, approximately 114,000 patients are enrolled in New York State's licensed chemical dependence treatment and rehabilitation system. Services within this system are provided by approximately 1,300 community-based agencies.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2002-03	Appropriations Recommended 2003-04	Change	Reappropriations Recommended 2003-04
State Operations	74,006,000	76,525,000	2,519,000	620,000
Aid To Localities	403,471,600	394,377,000	(9,094,600)	195,173,000
Capital Projects	31,020,000	36,835,000	5,815,000	118,164,000
Total	508,497,600	507,737,000	(760,600)	313,957,000

SUBSTANCE ABUSE SERVICES

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Program	Full-Time Equivalent Positions (FTE)		
	2002-03	2003-04	FTE Change
	Estimated FTEs 03/31/03	Estimated FTEs 03/31/04	
Executive Direction			
General Fund	327	315	(12)
Special Revenue Funds - Federal	75	59	(16)
Special Revenue Funds - Other	12	12	0
Capital-Administration			
Capital Projects Funds - Other	8	8	0
Institutional Services			
General Fund	547	531	(16)
Special Revenue Funds - Federal	0	16	16
Prevention and Program Support			
Special Revenue Funds - Federal	10	10	0
Total	979	951	(28)

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available	Recommended	Change
	2002-03	2003-04	
General Fund	49,290,000	48,670,000	(620,000)
Special Revenue Funds - Federal	5,675,000	6,379,000	704,000
Special Revenue Funds - Other	19,041,000	21,476,000	2,435,000
Total	74,006,000	76,525,000	2,519,000

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available	Recommended	Change
	2002-03	2003-04	
Executive Direction			
General Fund	20,359,000	22,461,000	2,102,000
Special Revenue Funds - Federal	5,675,000	5,179,000	(496,000)
Special Revenue Funds - Other	8,911,000	9,226,000	315,000
Institutional Services			
General Fund	28,931,000	26,209,000	(2,722,000)
Special Revenue Funds - Federal	0	1,200,000	1,200,000
Special Revenue Funds - Other	10,130,000	12,250,000	2,120,000
Total	74,006,000	76,525,000	2,519,000

STATE OPERATIONS - GENERAL AND OFFSET FUNDS SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2003-04 RECOMMENDED (dollars)

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Executive Direction	20,697,000	(184,000)	20,517,000	(184,000)
Institutional Services	29,632,000	(568,000)	28,771,000	(568,000)
Total	50,329,000	(752,000)	49,288,000	(752,000)

Program	Temporary Service (Nonannual Salaried)		Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change	Amount	Change
Executive Direction	112,000	0	68,000	0
Institutional Services	454,000	0	407,000	0
Total	566,000	0	475,000	0

SUBSTANCE ABUSE SERVICES

STATE OPERATIONS - GENERAL AND OFFSET FUNDS SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2003-04 RECOMMENDED (dollars)

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Executive Direction	9,704,000	2,526,000	540,000	0
Institutional Services	8,827,000	(34,000)	3,067,000	0
Total	18,531,000	2,492,000	3,607,000	0

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Executive Direction	641,000	0	8,499,000	2,526,000
Institutional Services	251,000	0	5,157,000	0
Total	892,000	0	13,656,000	2,526,000

Program	Equipment	
	Amount	Change
Executive Direction	24,000	0
Institutional Services	352,000	(34,000)
Total	376,000	(34,000)

STATE OPERATIONS - OTHER THAN GENERAL FUND AND OFFSET FUNDS SUMMARY OF APPROPRIATIONS AND CHANGES 2003-04 RECOMMENDED (dollars)

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Executive Direction	6,465,000	(421,000)	2,753,000	(797,000)
Institutional Services	1,200,000	1,200,000	865,000	865,000
Total	7,665,000	779,000	3,618,000	68,000

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Executive Direction	2,027,000	284,000	1,685,000	92,000
Institutional Services	335,000	335,000	0	0
Total	2,362,000	619,000	1,685,000	92,000

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2002-03	Recommended 2003-04	Change
General Fund	254,767,600	244,793,000	(9,974,600)
Special Revenue Funds - Federal	135,004,000	143,084,000	8,080,000
Special Revenue Funds - Other	13,700,000	6,500,000	(7,200,000)
Total	403,471,600	394,377,000	(9,094,600)

SUBSTANCE ABUSE SERVICES

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2002-03	Recommended 2003-04	Change
Community Treatment Services Program			
General Fund	203,489,000	195,839,000	(7,650,000)
Special Revenue Funds - Federal	91,552,000	98,890,000	7,338,000
Special Revenue Funds - Other	5,700,000	0	(5,700,000)
Prevention and Program Support			
General Fund	50,454,000	48,954,000	(1,500,000)
Special Revenue Funds - Federal	43,452,000	44,194,000	742,000
Special Revenue Funds - Other	8,000,000	6,500,000	(1,500,000)
Community Projects			
General Fund	824,600	0	(824,600)
Total	<u>403,471,600</u>	<u>394,377,000</u>	<u>(9,094,600)</u>

CAPITAL PROJECTS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Comprehensive Construction Program	Available 2002-03	Recommended 2003-04	Change	Reappropriations 2003-04
Design and Construction Supervision				
Capital Projects Fund	1,250,000	1,500,000	250,000	1,250,000
Mental Hygiene Capital Improvement Fund	2,250,000	500,000	(1,750,000)	0
Administration				
Capital Projects Fund	982,000	1,025,000	43,000	0
Community Alcoholism and Substance Abuse Facilities				
Capital Projects Fund	3,288,000	5,310,000	2,022,000	13,000,000
Mental Hygiene Capital Improvement Fund	20,000,000	26,250,000	6,250,000	89,822,000
Institutional Services Program				
Capital Projects Fund	500,000	500,000	0	1,632,000
Mental Hygiene Capital Improvement Fund	2,000,000	1,000,000	(1,000,000)	12,460,000
Non-Bondable				
Capital Projects Fund	750,000	750,000	0	0
Total	<u>31,020,000</u>	<u>36,835,000</u>	<u>5,815,000</u>	<u>118,164,000</u>