

***MISCELLANEOUS***



# MISCELLANEOUS

## ALL STATE APPROPRIATION FOR SERVICES, EXPENSES OR GRANTS

### ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2001-02	Appropriations Recommended 2002-03	Change	Reappropriations Recommended 2002-03
State Operations	0	0	0	0
Aid To Localities	0	0	0	5,159,000
Capital Projects	0	0	0	0
Total	0	0	0	5,159,000

## CASH MANAGEMENT IMPROVEMENT ACT

### STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2001-02	Recommended 2002-03	Change
General Fund	15,000,000	15,000,000	0
Special Revenue Funds - Other	0	9,000,000	9,000,000
Total	15,000,000	24,000,000	9,000,000

## COLLECTIVE BARGAINING AGREEMENTS

### PROGRAM HIGHLIGHTS

The recommendations for the Collective Bargaining Agreements include \$38,222,300 in General Fund support for labor/management initiatives funded pursuant to the multi-year agreements that have been reached with employee unions. This funding supports such initiatives as joint labor/management committees, certain employee benefits, training, safety and health, child care, and employee assistance.

A total of \$250,000 in Special Revenue-Other funding is recommended for the administrative costs of the NYS Flex Spending Accounts. These costs are funded by those employees who participate in this program, which allows participating employees to make pre-tax payroll deductions for child and elder care expenses.

### ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2001-02	Appropriations Recommended 2002-03	Change	Reappropriations Recommended 2002-03
State Operations	37,791,600	38,472,300	680,700	33,887,750
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	37,791,600	38,472,300	680,700	33,887,750

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## ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Program	Full-Time Equivalent Positions (FTE)		
	2001-02	2002-03	FTE Change
	Estimated FTEs 03/31/02	Estimated FTEs 03/31/03	
Labor Management Programs			
General Fund	60	60	0
Total	60	60	0

## STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2001-02	Recommended 2002-03	Change
General Fund	37,541,600	38,222,300	680,700
Special Revenue Funds - Other	250,000	250,000	0
Total	37,791,600	38,472,300	680,700

Adjustments:			
Transfer(s) From			
Special Pay Bill			
General Fund	(376,900)		
Appropriated 2001-02	37,414,700		

## STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2001-02	Recommended 2002-03	Change
Labor Management Programs			
General Fund	37,541,600	38,222,300	680,700
Special Revenue Funds - Other	250,000	250,000	0
Total	37,791,600	38,472,300	680,700

## STATE OPERATIONS - GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2002-03 RECOMMENDED (dollars)

Program	Total		Maintenance Undistributed	
	Amount	Change	Amount	Change
Labor Management Programs	38,222,300	680,700	38,222,300	680,700
Total	38,222,300	680,700	38,222,300	680,700

## STATE OPERATIONS - OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 2002-03 RECOMMENDED (dollars)

Program	Total		Nonpersonal Service	
	Amount	Change	Amount	Change
Labor Management Programs	250,000	0	250,000	0
Total	250,000	0	250,000	0

**COMMUNITY SERVICE PROVIDER  
ASSISTANCE PROGRAM**

**ALL FUNDS  
APPROPRIATIONS  
(dollars)**

<b>Category</b>	<b>Available 2001-02</b>	<b>Appropriations Recommended 2002-03</b>	<b>Change</b>	<b>Reappropriations Recommended 2002-03</b>
State Operations	0	0	0	0
Aid To Localities	200,000,000	0	(200,000,000)	200,000,000
Capital Projects	0	0	0	0
Total	200,000,000	0	(200,000,000)	200,000,000

**AID TO LOCALITIES  
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE  
APPROPRIATIONS  
(dollars)**

<b>Fund Type</b>	<b>Available 2001-02</b>	<b>Recommended 2002-03</b>	<b>Change</b>
Special Revenue Funds - Other	200,000,000	0	(200,000,000)
Total	200,000,000	0	(200,000,000)

**DEFERRED COMPENSATION BOARD**

**MISSION**

The Deferred Compensation Board oversees the administration of public employee Deferred Compensation Plan assets.

**ORGANIZATION AND STAFFING**

The Board consists of three members, one each appointed by the Governor, the Senate Majority Leader, and the Assembly Speaker. The Board has four staff and contracts with a law firm, a financial management firm, and an accounting firm which collectively advise the Board on plan administration. In addition, the Board contracts with a third-party administrator to operate the Plan and serve as its record keeper.

**FISCAL BACKGROUND AND BUDGET HIGHLIGHTS**

The Executive Budget recommendations for 2002-03 will provide continued support for the current operations of the Deferred Compensation Board. The recommended funding includes \$154,000 in State tax dollars from the General Fund for the costs of providing legal advice and assistance to local governments on the establishment and maintenance of deferred compensation plans. The balance of funds needed to operate the Plan are derived from a participant fee and administrative rebates that the Plan receives from the investment firms. An amount of \$562,000 in Special Revenue funding is included to pay for the Plan's operating expenses.

**PROGRAM HIGHLIGHTS**

The Board continues to pursue the highest and safest return for Plan assets that total more than \$4.7 billion. Beginning in January 2002, the State Deferred Compensation Plan will undergo numerous changes due to the enactment of the Economic Growth and Tax

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Relief Reconciliation Act of 2001 (EGTRRA). The Board will continue to provide guidance to local governments on compliance issues related to Federal statutory changes and the State's Model Deferred Compensation Plan. The Board will also continue to seek ways to improve the statewide Deferred Compensation Plan for public employees.

### ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2001-02	Appropriations Recommended 2002-03	Change	Reappropriations Recommended 2002-03
State Operations	674,200	716,000	41,800	200,000
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	674,200	716,000	41,800	200,000

### ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

#### Full-Time Equivalent Positions (FTE)

Program	2001-02 Estimated FTEs 03/31/02	2002-03 Estimated FTEs 03/31/03	FTE Change
Operations			
Special Revenue Funds - Other	4	4	0
Total	4	4	0

### STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2001-02	Recommended 2002-03	Change
General Fund	154,000	154,000	0
Special Revenue Funds - Other	520,200	562,000	41,800
Total	674,200	716,000	41,800

## TASK FORCE ON ELECTION MODERNIZATION

### ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2001-02	Appropriations Recommended 2002-03	Change	Reappropriations Recommended 2002-03
State Operations	0	300,000	300,000	0
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	0	300,000	300,000	0

**ALL FUND TYPES  
PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM  
FILLED ANNUAL SALARIED POSITIONS**

Program	Full-Time Equivalent Positions (FTE)		
	2001-02	2002-03	FTE Change
	Estimated FTEs 03/31/02	Estimated FTEs 03/31/03	
Administration			
General Fund	0	3	3
Total	0	3	3

**STATE OPERATIONS  
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE  
APPROPRIATIONS  
(dollars)**

Fund Type	Available	Recommended	Change
	2001-02	2002-03	
General Fund	0	300,000	300,000
Total	0	300,000	300,000

**EMERGENCY HIGHWAY CONSTRUCTION  
AND RECONSTRUCTION**

This appropriation previously provided the legal authorization for payment from the Local Assistance Account of the General Fund to the Emergency Highway Construction and Reconstruction Fund for amounts which were certified as necessary by the Commissioner of Taxation and Finance under the terms of a cooperative highway contractual agreement.

For 2002-03, an appropriation is not recommended since the bonds outstanding will be defeased with existing bond reserve funds.

**AID TO LOCALITIES  
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE  
APPROPRIATIONS  
(dollars)**

Fund Type	Available	Recommended	Change
	2001-02	2002-03	
General Fund	301,000,000	0	(301,000,000)
Total	301,000,000	0	(301,000,000)

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**EMERGENCY HIGHWAY RECONDITIONING  
AND PRESERVATION**

This appropriation previously provided the legal authorization for payment from the Local Assistance Account of the General Fund to the Emergency Highway Reconditioning and Preservation Fund for amounts which were certified as necessary by the Commissioner of Taxation and Finance under the terms of a cooperative highway contractual agreement.

For 2002-03, an appropriation is not recommended since the bonds outstanding will be defeased with existing bond reserve funds.

**AID TO LOCALITIES  
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE  
APPROPRIATIONS  
(dollars)**

<b>Fund Type</b>	<b>Available 2001-02</b>	<b>Recommended 2002-03</b>	<b>Change</b>
General Fund	233,600,000	0	(233,600,000)
Total	233,600,000	0	(233,600,000)

**GENERAL STATE CHARGES**

General State Charges are primarily the costs of providing fringe benefits for most State employees, which are authorized in collective bargaining agreements and various statutes. The General State Charges budget also includes miscellaneous fixed costs such as litigation settlements and taxes on State-owned lands.

**BUDGET AND PROGRAM HIGHLIGHTS**

The recommended 2002-03 General State Charges appropriation of \$2.48 billion reflects continuing emphasis on the control of fringe benefit cost increases and improvements in the management of employee benefit programs.

The major fringe benefit and fixed cost components of General State Charges are described below.

**FRINGE BENEFITS**

The State provides a comprehensive fringe benefits package to its employees. These benefits are supported primarily by General Fund tax revenues and supplemented by fringe benefit assessments on Federal and other dedicated revenue programs. Major fringe benefits include:

**Health Insurance:** Through the New York State Health Insurance Program (NYSHIP), State employees and retirees have the option to participate in the Empire Plan, a custom-designed indemnity insurance plan, or one of 18 health maintenance organizations. Approximately 80 percent of State employees choose the Empire Plan to cover their health benefits.

The recommended 2002-03 appropriation of \$1.25 billion reflects, in part, an 11 percent average increase in 2002 health insurance premiums.

**Pension Benefits:** The New York State and Local Retirement Systems, with approximately \$112 billion in assets, comprise the New York State and Local Employees' Retirement System (ERS) and the New York State and Local Police and Fire Retirement System (PFRS). These systems administer a variety of retirement benefits to State employees. Funding for these benefits is provided by State contributions to the retirement systems, bi-weekly contributions from ERS members with less than ten years of service, and pension fund investment earnings.

The recommended appropriation for the State's contribution to the retirement systems in 2002-03 is \$129.8 million. This appropriation reflects an estimated ERS contribution rate of 1.5 percent of salary.

**Social Security:** In addition to employer contributions made to the retirement systems, the State makes weekly tax payments to the Federal government for the Social Security and Medicare benefit programs. The State contributes approximately 7.65 percent of eligible salaries toward these benefits.

The recommended appropriation for Social Security and Medicare taxes in 2002-03 is \$520 million.

**Workers' Compensation:** The State reimburses the State Insurance Fund on a pay-as-you-go basis for actual medical and compensation claims incurred by State employees for job-related injuries. The statutory workers' compensation benefit is two-thirds of salary up to a maximum of \$400 per week.

The recommended appropriation for workers' compensation benefits in 2002-03 is \$187.6 million. Efforts to contain costs and improve program management will be made through funding a dispute resolution program, the One Card Rx initiative, and the automated Accident Reporting System.

**Dental Insurance:** The State administers a dental insurance program, which provides full coverage of premiums for employees in the Security Services and Security Supervisors collective bargaining units, the Public Employees' Federation, the State Police bargaining units, and Management/Confidential employees.

The recommended appropriation for dental insurance in 2002-03 is \$49.2 million.

**Employee Benefit Funds:** Some of the unions representing State employees operate benefit funds, which provide dental, vision and other ancillary services to their members in lieu of benefits provided directly by the State. These funds are supported by quarterly contributions from the State based on per capita rates authorized in collective bargaining agreements.

The recommended appropriation for Employee Benefit Funds in 2002-03 is \$36.5 million.

## **FIXED COSTS**

In addition to employee fringe benefits, the State pays for a variety of fixed costs from the General State Charges budget. These costs are supported in full by General Fund revenues. Major fixed costs include:

**Taxes on State-Owned Lands:** Real Property Tax Law authorizes local governments to tax certain parcels of State-owned land. Presently, the State provides financial support to local governments by paying local and school property taxes on approximately 3.9 million acres of land throughout the State as well as transition assessments on tax-exempt State-owned lands.

The recommended appropriation for payments to local governments for taxes on State-owned lands in 2002-03 is \$120.1 million.

**Court of Claims Judgments:** Annual appropriations are provided for negotiated settlements and judgments rendered against the State in the Court of Claims. The majority of claims against the State involve contract disputes and tort liabilities.

The recommended appropriation for Court of Claims judgments in 2002-03 is \$80 million.

**Defense and Indemnification of State Employees:** Public Officers Law requires the State to defend and indemnify its employees in civil and criminal proceedings for actions carried out in the course of their official duties.

The recommended appropriation for defense and indemnification of State employees in 2002-03 is \$40 million.

**Settlements:** Other fixed costs include payments to the Property Casualty Insurance Fund pursuant to a settlement reached between the State and the insurance industry in the Alliance v. Chu case, and to various states as part of a multi-year abandoned property settlement.

The recommended appropriation for these settlements in 2002-03 is \$20 million.

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## STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

<u>Fund Type</u>	<u>Available 2001-02</u>	<u>Recommended 2002-03</u>	<u>Change</u>
General Fund	2,303,522,600	2,482,679,000	179,156,400
Total	2,303,522,600	2,482,679,000	179,156,400
Adjustments:			
Prior Year Deficiency			
General State Charges			
General Fund	23,500,000		
Recommended Deficiency			
General State Charges			
General Fund	(36,900,000)		
Transfer(s) From			
Special Pay Bill			
General Fund	(7,590,400)		
State University of New York			
General Fund	(5,460,000)		
Appropriated 2001-02	2,277,072,200		

## STATE OPERATIONS - GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2002-03 RECOMMENDED (dollars)

<u>Program</u>	<u>Total</u>	
	<u>Amount</u>	<u>Change</u>
General State Charges	2,482,679,000	179,156,400
Total	2,482,679,000	179,156,400

# GOVERNMENTAL ACCOUNTING STANDARDS BOARD

## ALL FUNDS APPROPRIATIONS (dollars)

<u>Category</u>	<u>Available 2001-02</u>	<u>Appropriations Recommended 2002-03</u>	<u>Change</u>	<u>Reappropriations Recommended 2002-03</u>
State Operations	2,500,000	0	(2,500,000)	650,000
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	2,500,000	0	(2,500,000)	650,000

**GREEN THUMB PROGRAM**

The Green Thumb Program provides income-eligible elderly citizens with part-time employment in State agencies through the not-for-profit organization, Green Thumb Environmental Beautification, Inc. The 2002-03 Executive Budget recommends a General Fund appropriation of \$2,394,000, the same level of funding provided in 2001-02.

**ALL FUNDS  
APPROPRIATIONS  
(dollars)**

Category	Available	Appropriations	Change	Reappropriations
	2001-02	Recommended 2002-03		Recommended 2002-03
State Operations	2,394,000	2,394,000	0	0
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	2,394,000	2,394,000	0	0

**THE GREENWAY HERITAGE CONSERVANCY OF  
THE HUDSON RIVER VALLEY**

The Greenway Heritage Conservancy of the Hudson River Valley was established in the Hudson River Valley Greenway Act of 1991 to promote the preservation of natural and cultural resources in the Valley, serve as a land trust in the acquisition of lands important to the Greenway, and designate and develop the Hudson River Valley Greenway Trail. The Executive Budget recommends total funding of \$250,000 from the General Fund for operational support of the Conservancy.

**ALL FUNDS  
APPROPRIATIONS  
(dollars)**

Category	Available	Appropriations	Change	Reappropriations
	2001-02	Recommended 2002-03		Recommended 2002-03
State Operations	230,000	250,000	20,000	0
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	230,000	250,000	20,000	0

**STATE OPERATIONS - GENERAL FUND  
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED  
APPROPRIATIONS AND CHANGES  
2002-03 RECOMMENDED  
(dollars)**

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Operations	250,000	20,000	250,000	20,000
Total	250,000	20,000	250,000	20,000

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### **HEALTH INSURANCE CONTINGENCY RESERVE**

STATE OPERATIONS  
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE  
APPROPRIATIONS  
(dollars)

<b>Fund Type</b>	<b>Available 2001-02</b>	<b>Recommended 2002-03</b>	<b>Change</b>
General Fund	333,800,000	380,505,000	46,705,000
Total	333,800,000	380,505,000	46,705,000

### **HEALTH INSURANCE RESERVE RECEIPTS FUND**

STATE OPERATIONS  
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE  
APPROPRIATIONS  
(dollars)

<b>Fund Type</b>	<b>Available 2001-02</b>	<b>Recommended 2002-03</b>	<b>Change</b>
Fiduciary Funds	78,300,000	78,400,000	100,000
Total	78,300,000	78,400,000	100,000

### **HIGHER EDUCATION**

STATE OPERATIONS  
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE  
APPROPRIATIONS  
(dollars)

<b>Fund Type</b>	<b>Available 2001-02</b>	<b>Recommended 2002-03</b>	<b>Change</b>
General Fund	568,000	568,000	0
Total	568,000	568,000	0

### **HOMELAND SECURITY**

In the aftermath of the World Trade Center attack, Governor Pataki issued an Executive Order and appointed a Director of the Office of Public Security to coordinate anti-terrorist efforts throughout New York State.

State resources were deployed to protect potential terrorist targets. Other public safety needs include testing of potential biological agents, new communications and technology systems, and equipment.

The 2002-03 Executive Budget includes a \$200 million All State Departments and Agencies - All Funds reappropriation of a 2001-02 deficiency appropriation to support homeland security initiatives. This amount is expected to cover current cost estimates for these activities through the end of 2002-03.

**ALL FUNDS  
APPROPRIATIONS  
(dollars)**

<b>Category</b>	<b>Available 2001-02</b>	<b>Appropriations Recommended 2002-03</b>	<b>Change</b>	<b>Reappropriations Recommended 2002-03</b>
State Operations	200,000,000	0	(200,000,000)	200,000,000
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
<b>Total</b>	<b>200,000,000</b>	<b>0</b>	<b>(200,000,000)</b>	<b>200,000,000</b>

**STATE OPERATIONS  
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE  
APPROPRIATIONS  
(dollars)**

<b>Fund Type</b>	<b>Available 2001-02</b>	<b>Recommended 2002-03</b>	<b>Change</b>
All Funds	200,000,000	0	(200,000,000)
<b>Total</b>	<b>200,000,000</b>	<b>0</b>	<b>(200,000,000)</b>

Adjustments:  
 Recommended Deficiency  
     Homeland Security  
     All Funds  
 Appropriated 2001-02

	(200,000,000)
	<u>0</u>

**HUDSON RIVER VALLEY GREENWAY  
COMMUNITIES COUNCIL**

The Hudson River Valley Greenway Communities Council is a 25-member advisory board, created in 1991, which is responsible for promoting the preservation of natural and cultural resources in the Hudson River Valley.

The Council's operations are funded with State tax dollars from the General Fund. The Executive Budget recommends total funding of \$575,000 in 2002-03 to support the Council's administration, technical assistance, and local planning grants programs.

**ALL FUNDS  
APPROPRIATIONS  
(dollars)**

<b>Category</b>	<b>Available 2001-02</b>	<b>Appropriations Recommended 2002-03</b>	<b>Change</b>	<b>Reappropriations Recommended 2002-03</b>
State Operations	339,400	371,000	31,600	0
Aid To Localities	204,000	204,000	0	611,000
Capital Projects	0	0	0	0
<b>Total</b>	<b>543,400</b>	<b>575,000</b>	<b>31,600</b>	<b>611,000</b>

**ALL FUND TYPES  
PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM  
FILLED ANNUAL SALARIED POSITIONS**

**Full-Time Equivalent Positions (FTE)**

<b>Program</b>	<b>2001-02 Estimated FTEs 03/31/02</b>	<b>2002-03 Estimated FTEs 03/31/03</b>	<b>FTE Change</b>
Operations			
General Fund	5	5	0
<b>Total</b>	<b>5</b>	<b>5</b>	<b>0</b>

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**STATE OPERATIONS - GENERAL FUND  
SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES  
2002-03 RECOMMENDED  
(dollars)**

<b>Program</b>	<b>Total</b>		<b>Personal Service Regular (Annual Salaried)</b>	
	<b>Amount</b>	<b>Change</b>	<b>Amount</b>	<b>Change</b>
Operations	298,000	18,600	298,000	18,600
Total	298,000	18,600	298,000	18,600

**STATE OPERATIONS - GENERAL FUND  
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED  
APPROPRIATIONS AND CHANGES  
2002-03 RECOMMENDED  
(dollars)**

<b>Program</b>	<b>Total</b>		<b>Supplies and Materials</b>	
	<b>Amount</b>	<b>Change</b>	<b>Amount</b>	<b>Change</b>
Operations	73,000	13,000	73,000	13,000
Total	73,000	13,000	73,000	13,000

**AID TO LOCALITIES  
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE  
APPROPRIATIONS  
(dollars)**

<b>Fund Type</b>	<b>Available 2001-02</b>	<b>Recommended 2002-03</b>	<b>Change</b>
General Fund	204,000	204,000	0
Total	204,000	204,000	0

## **INSURANCE AND SECURITIES FUNDS RESERVE GUARANTEE**

**STATE OPERATIONS  
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE  
APPROPRIATIONS  
(dollars)**

<b>Fund Type</b>	<b>Available 2001-02</b>	<b>Recommended 2002-03</b>	<b>Change</b>
General Fund	1,672,000,000	1,672,000,000	0
Total	1,672,000,000	1,672,000,000	0

**STATE OPERATIONS  
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM  
APPROPRIATIONS  
(dollars)**

<b>Program</b>	<b>Available 2001-02</b>	<b>Recommended 2002-03</b>	<b>Change</b>
Aggregate Trust Fund			
General Fund	220,000,000	220,000,000	0
Stock Workmen's Compensation Security Fund			
General Fund	67,000,000	67,000,000	0
Property/Casualty Insurance Security Fund			
General Fund	90,000,000	90,000,000	0
State Insurance Fund			
General Fund	1,295,000,000	1,295,000,000	0
Total	1,672,000,000	1,672,000,000	0

**LOCAL GOVERNMENT ASSISTANCE**

The following appropriations provide unrestricted aid to all classes of local governments. Of the total miscellaneous local government assistance, only 4.5 percent is appropriated from the General Fund. The remaining 95.5 percent is appropriated as fiduciary funds with no General Fund impact.

Executive Budget recommended appropriations for 2002-03 include:

- General Purpose Local Government Aid funding for cities, towns and villages is continued at the SFY 2001-02 level of \$561.6 million. Nearly \$220 million in aid reductions to New York City between 1991 and 1993 will continue in effect through reimbursement to the State from the Municipal Assistance State Aid Fund.
- Emergency Financial Assistance to Eligible Municipalities and Emergency Financial Aid to Certain Cities are also continued at SFY 2001-02 levels: \$20.8 million and \$26.4 million, respectively.
- Supplemental Municipal Aid for cities is continued at the 2001-02 level of \$182.9 million. Aid to counties, towns and villages totaling \$6.2 million is eliminated.
- Local Government Aid to Counties is recommended at \$22.0 million, including the third and final \$5 million increment. Aid is disbursed to counties proportionately by population.
- \$70 million is appropriated for the City of Yonkers in accordance with the terms of the settlement agreement that ended two decades of contentious litigation and Federal intervention in the City's public schools.
- Fiduciary appropriations totaling more than \$24 billion are continued for the Municipal Assistance State Aid Fund, the Municipal Assistance Tax Fund, and the Stock Transfer Tax Fund to ensure that New York City and the city of Troy have adequate Municipal Assistance Corporation debt service coverage.

**ALL FUNDS  
APPROPRIATIONS  
(dollars)**

Category	Available	Appropriations	Change	Reappropriations
	2001-02	Recommended 2002-03		Recommended 2002-03
State Operations	3,008,000	3,008,000	0	0
Aid To Localities	25,344,474,002	25,199,204,002	(145,270,000)	0
Capital Projects	0	0	0	0
<b>Total</b>	<b>25,347,482,002</b>	<b>25,202,212,002</b>	<b>(145,270,000)</b>	<b>0</b>

**ALL FUND TYPES  
PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM  
FILLED ANNUAL SALARIED POSITIONS**

Program	Full-Time Equivalent Positions (FTE)		
	2001-02	2002-03	FTE Change
	Estimated FTEs 03/31/02	Estimated FTEs 03/31/03	
Financial Control Board for the City of New York			
Special Revenue Funds - Other	19	19	0
<b>Total</b>	<b>19</b>	<b>19</b>	<b>0</b>

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## STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

<b>Program</b>	<b>Available 2001-02</b>	<b>Recommended 2002-03</b>	<b>Change</b>
Financial Control Board for the City of New York			
Special Revenue Funds - Other	3,008,000	3,008,000	0
Total	<u>3,008,000</u>	<u>3,008,000</u>	<u>0</u>

## AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

<b>Fund Type</b>	<b>Available 2001-02</b>	<b>Recommended 2002-03</b>	<b>Change</b>
General Fund	1,269,174,002	1,123,904,002	(145,270,000)
Fiduciary Funds	24,075,300,000	24,075,300,000	0
Total	<u>25,344,474,002</u>	<u>25,199,204,002</u>	<u>(145,270,000)</u>

Adjustments:

Recommended Deficiency	
Local Government Assistance	
General Fund	(70,000,000)
Appropriated 2001-02	<u>25,274,474,002</u>

## AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

<b>Program</b>	<b>Available 2001-02</b>	<b>Recommended 2002-03</b>	<b>Change</b>
Aid to Counties			
General Fund	17,000,000	22,000,000	5,000,000
Emergency Financial Aid to Certain Cities			
General Fund	26,474,000	26,474,000	0
Emergency Financial Assistance to Eligible Municipalities			
General Fund	20,814,000	20,814,000	0
General Purpose Local Government Aid			
General Fund	781,322,000	781,322,000	0
Miscellaneous Financial Assistance			
General Fund	5,130,000	420,000	(4,710,000)
Municipal Assistance State Aid Fund			
Fiduciary Funds	563,300,000	563,300,000	0
Municipal Assistance Tax Fund			
Fiduciary Funds	13,512,000,000	13,512,000,000	0
Nassau County Interim Finance Authority			
General Fund	25,000,000	20,000,000	(5,000,000)
Stock Transfer Tax Fund			
Fiduciary Funds	10,000,000,000	10,000,000,000	0
Supplemental Municipal Aid			
General Fund	189,034,002	182,874,002	(6,160,000)
Yonkers Court Order			
General Fund	134,400,000	0	(134,400,000)
Yonkers Settlement			
General Fund	70,000,000	70,000,000	0
Total	<u>25,344,474,002</u>	<u>25,199,204,002</u>	<u>(145,270,000)</u>

## **MISCELLANEOUS GUARANTEE APPROPRIATIONS**

**STATE OPERATIONS  
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE  
APPROPRIATIONS  
(dollars)**

<b>Fund Type</b>	<b>Available 2001-02</b>	<b>Recommended 2002-03</b>	<b>Change</b>
General Fund	972,505,000	1,030,855,000	58,350,000
Total	972,505,000	1,030,855,000	58,350,000

## **NATIONAL AND COMMUNITY SERVICE**

### **MISSION**

The Office of National and Community Service provides staff support to the New York State Commission on National and Community Service. The Commission qualifies the State for Federal community service grants for local not-for-profit agencies.

### **ORGANIZATION AND STAFFING**

The Office of National and Community Service is housed and staffed within the Office of Children and Family Services.

### **FISCAL BACKGROUND AND BUDGET HIGHLIGHTS**

The 2002-03 Executive Budget recommends an appropriation of \$30 million in anticipation of the receipt of continued Federal funding for this program. In addition, State funding of \$332,000 is also recommended to meet match requirements for the Office's administration grant.

**STATE OPERATIONS  
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE  
APPROPRIATIONS  
(dollars)**

<b>Fund Type</b>	<b>Available 2001-02</b>	<b>Recommended 2002-03</b>	<b>Change</b>
General Fund	332,000	332,000	0
Special Revenue Funds - Federal	30,000,000	30,000,000	0
Total	30,332,000	30,332,000	0

**MISCELLANEOUS**

**NORTHEASTERN QUEENS NATURE AND HISTORICAL PRESERVE COMMISSION**

The Northeastern Queens Nature and Historical Preserve Commission was created in 1973 to regulate publicly owned lands and wetlands in this section of Queens County. The Executive Budget recommends \$92,000 to support the Commission's operations in 2002-03.

**STATE OPERATIONS  
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE  
APPROPRIATIONS  
(dollars)**

<b>Fund Type</b>	<b>Available 2001-02</b>	<b>Recommended 2002-03</b>	<b>Change</b>
General Fund	87,000	92,000	5,000
Total	87,000	92,000	5,000

**PETROLEUM STORAGE TANKS – COPS REPAYMENT**

**STATE OPERATIONS  
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE  
APPROPRIATIONS  
(dollars)**

<b>Fund Type</b>	<b>Available 2001-02</b>	<b>Recommended 2002-03</b>	<b>Change</b>
General Fund	3,800,000	2,085,000	(1,715,000)
Total	3,800,000	2,085,000	(1,715,000)

**RESERVE FOR FEDERAL AUDIT DISALLOWANCES**

**STATE OPERATIONS  
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE  
APPROPRIATIONS  
(dollars)**

<b>Fund Type</b>	<b>Available 2001-02</b>	<b>Recommended 2002-03</b>	<b>Change</b>
General Fund	50,000,000	50,000,000	0
Total	50,000,000	50,000,000	0

**SPECIAL EMERGENCY APPROPRIATION**

**ALL FUNDS  
APPROPRIATIONS  
(dollars)**

<b>Category</b>	<b>Available 2001-02</b>	<b>Appropriations Recommended 2002-03</b>	<b>Change</b>	<b>Reappropriations Recommended 2002-03</b>
State Operations	29,500,000	50,000,000	20,500,000	0
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	29,500,000	50,000,000	20,500,000	0

**STATEWIDE ENERGY IMPROVEMENT**

STATE OPERATIONS  
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE  
APPROPRIATIONS  
(dollars)

<u>Fund Type</u>	<u>Available 2001-02</u>	<u>Recommended 2002-03</u>	<u>Change</u>
Special Revenue Funds - Other	0	2,500,000	2,500,000
Total	0	2,500,000	2,500,000

**STATEWIDE GAMING**

ALL FUNDS  
APPROPRIATIONS  
(dollars)

<u>Category</u>	<u>Available 2001-02</u>	<u>Appropriations Recommended 2002-03</u>	<u>Change</u>	<u>Reappropriations Recommended 2002-03</u>
State Operations	0	21,200,000	21,200,000	0
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	0	21,200,000	21,200,000	0

STATE OPERATIONS  
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE  
APPROPRIATIONS  
(dollars)

<u>Fund Type</u>	<u>Available 2001-02</u>	<u>Recommended 2002-03</u>	<u>Change</u>
Special Revenue Funds - Other	0	21,200,000	21,200,000
Total	0	21,200,000	21,200,000

STATE OPERATIONS  
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM  
APPROPRIATIONS  
(dollars)

<u>Program</u>	<u>Available 2001-02</u>	<u>Recommended 2002-03</u>	<u>Change</u>
Statewide Gaming			
Special Revenue Funds - Other	0	21,200,000	21,200,000
Total	0	21,200,000	21,200,000

STATE OPERATIONS - OTHER THAN GENERAL FUND  
SUMMARY OF APPROPRIATIONS AND CHANGES  
2002-03 RECOMMENDED  
(dollars)

<u>Program</u>	<u>Total</u>		<u>Maintenance Undistributed</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Statewide Gaming	21,200,000	21,200,000	21,200,000	21,200,000
Total	21,200,000	21,200,000	21,200,000	21,200,000

**MISCELLANEOUS**

**STATEWIDE WIRELESS NETWORK**

The Statewide Wireless Network Task Force is responsible for the development and deployment of the new statewide emergency communications system network. The Executive Budget recommends a total of \$6.9 million for 2002-03 to support the development of a multi-agency radio communications network. The funding for the network is fully supported by a monthly surcharge on cellular telephones.

Many of the existing radio systems in New York State are antiquated, difficult to maintain, and incapable of communicating with neighboring jurisdictions. The Statewide Wireless Network Task Force is working cooperatively with State and local agencies to create an inventory of existing equipment and identified needs, and to develop a strategy to establish a statewide communications system. The new statewide emergency communications system will provide an integrated emergency communications network that will enable public safety agencies at all levels of government to coordinate emergency responses.

**ALL FUNDS  
APPROPRIATIONS  
(dollars)**

<b>Category</b>	<b>Available</b>	<b>Appropriations</b>	<b>Change</b>	<b>Reappropriations</b>
	<b>2001-02</b>	<b>Recommended 2002-03</b>		<b>Recommended 2002-03</b>
State Operations	5,214,000	6,859,000	1,645,000	0
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	<u>5,214,000</u>	<u>6,859,000</u>	<u>1,645,000</u>	<u>0</u>

**STATE OPERATIONS  
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE  
APPROPRIATIONS  
(dollars)**

<b>Fund Type</b>	<b>Available</b>	<b>Recommended</b>	<b>Change</b>
	<b>2001-02</b>	<b>2002-03</b>	
Special Revenue Funds - Other	<u>5,214,000</u>	<u>6,859,000</u>	<u>1,645,000</u>
Total	<u>5,214,000</u>	<u>6,859,000</u>	<u>1,645,000</u>

Adjustments:

Recommended Deficiency	
Statewide Wireless Network	
Special Revenue Funds - Other	<u>(5,214,000)</u>
Appropriated 2001-02	<u>0</u>

**WORKERS' COMPENSATION RESERVE**

**STATE OPERATIONS  
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE  
APPROPRIATIONS  
(dollars)**

<b>Fund Type</b>	<b>Available</b>	<b>Recommended</b>	<b>Change</b>
	<b>2001-02</b>	<b>2002-03</b>	
General Fund	<u>37,200,000</u>	<u>33,000,000</u>	<u>(4,200,000)</u>
Total	<u>37,200,000</u>	<u>33,000,000</u>	<u>(4,200,000)</u>

**WORLD TRADE CENTER**

In the days immediately following the September 11, 2001 attacks on the World Trade Center, Governor Pataki pressed for, and received, a commitment from the Federal Government to pay for 100 percent of the costs associated with the rescue, recovery and rebuilding efforts in New York City.

In addition, the Governor continues to work with New York's congressional delegation and the White House to secure funds to spur New York's economic recovery, including assistance for businesses and dislocated workers.

The Executive Budget includes \$15 billion in reappropriated Federal funds, consisting of \$5 billion appropriated by the Legislature in September and a \$10 billion deficiency appropriation, to ensure adequate authority to receive and disburse Federal reimbursement and other funds related to the World Trade Center recovery effort. These funds are appropriated within the Division of Military and Naval Affairs budget. In addition, a \$500 million All State Department and Agencies – All Funds appropriation is recommended for reappropriation to accommodate necessary World Trade Center related spending from any funding source, including private donations.

**ALL FUNDS  
APPROPRIATIONS  
(dollars)**

<b>Category</b>	<b>Available</b>	<b>Appropriations</b>	<b>Change</b>	<b>Reappropriations</b>
	<b>2001-02</b>	<b>Recommended 2002-03</b>		<b>Recommended 2002-03</b>
State Operations	500,000,000	0	(500,000,000)	475,000,000
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
<b>Total</b>	<b>500,000,000</b>	<b>0</b>	<b>(500,000,000)</b>	<b>475,000,000</b>