

OFFICE OF THE STATE INSPECTOR GENERAL

MISSION

The Office of the State Inspector General is responsible for detecting, investigating, eliminating and deterring fraud, corruption, criminal activity, conflicts of interest and abuse in State government. Its mission is designed to ensure that State government maintains the highest standards of integrity and accountability.

ORGANIZATION AND STAFFING

The Office of the State Inspector General was fundamentally restructured pursuant to Executive Order 39 issued by the Governor in June 1996. The Executive Order provides for the consolidation of most of the State's inspector general activities in a single Office that replaces what were formerly separate, semi-independent deputy inspectors general based in the agencies they served. Headed by the Inspector General who is appointed by the Governor, the Office is headquartered in Albany and has offices in New York City and Buffalo. It will have a workforce of 82 in 2001-02.

FISCAL BACKGROUND AND HIGHLIGHTS

The 2001-02 Executive Budget recommends a General Fund appropriation of \$5.0 million and \$1.4 million in support coming primarily from public authorities. This will allow the Office to maintain existing operations.

PROGRAM HIGHLIGHTS

Since the promulgation of Executive Order 39, the Office of the State Inspector General has acted on more than 4,500 complaints of fraud, criminal activity, waste and abuse involving State employees and resources. The Office has focused its investigative efforts on major cases involving theft, bribery, contract fraud, abuse of authority, and other serious allegations of corruption. Currently, the agency's investigators are working jointly with local, state, and federal law enforcement personnel and prosecutors on significant matters of public concern.

During calendar years 1999 and 2000, the Office's investigations resulted in the arrest of more than 100 individuals, and referrals for discipline in numerous other cases. In addition, many cases resulted in recommendations for administrative or policy changes. The State Inspector General's cases uncovered instances of theft, bid rigging, bribery, creation of fraudulent documents, misuse of computers, narcotics trafficking, and sexual assault. Equally important, the Office's investigations have, in many instances, cleared agencies and individuals of allegations that were unfounded or unsubstantiated.

STATE INSPECTOR GENERAL

ALL FUNDS APPROPRIATIONS (dollars)

<u>Category</u>	<u>Available 2000-01</u>	<u>Appropriations Recommended 2001-02</u>	<u>Change</u>	<u>Reappropriations Recommended 2001-02</u>
State Operations	6,496,600	6,417,000	(79,600)	0
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	<u>6,496,600</u>	<u>6,417,000</u>	<u>(79,600)</u>	<u>0</u>

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Full-Time Equivalent Positions (FTE)

<u>Program</u>	<u>2000-01 Estimated FTEs 03/31/01</u>	<u>2001-02 Estimated FTEs 03/31/02</u>	<u>FTE Change</u>
Inspector General			
General Fund	66	63	(3)
Special Revenue Funds - Other	19	19	0
Total	<u>85</u>	<u>82</u>	<u>(3)</u>

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

<u>Fund Type</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>
General Fund	5,097,600	5,018,000	(79,600)
Special Revenue Funds - Other	1,399,000	1,399,000	0
Total	<u>6,496,600</u>	<u>6,417,000</u>	<u>(79,600)</u>

Adjustments:

Transfer(s) From	
Special Pay Bill	
General Fund	(391,000)
Special Revenue Funds - Other	(99,000)
Appropriated 2000-01	<u>6,006,600</u>

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

<u>Program</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>
Inspector General			
General Fund	5,097,600	5,018,000	(79,600)
Special Revenue Funds - Other	1,399,000	1,399,000	0
Total	<u>6,496,600</u>	<u>6,417,000</u>	<u>(79,600)</u>

STATE OPERATIONS - GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2001-02 RECOMMENDED (dollars)

<u>Program</u>	<u>Total Personal Service</u>		<u>Personal Service Regular (Annual Salaried)</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Inspector General	4,387,000	(130,600)	4,387,000	(130,600)
Total	<u>4,387,000</u>	<u>(130,600)</u>	<u>4,387,000</u>	<u>(130,600)</u>

STATE INSPECTOR GENERAL

**STATE OPERATIONS - GENERAL FUND
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
2001-02 RECOMMENDED
(dollars)**

Program	Total Nonpersonal Service		Contractual Services	
	Amount	Change	Amount	Change
Inspector General	631,000	51,000	631,000	51,000
Total	631,000	51,000	631,000	51,000

**STATE OPERATIONS - OTHER THAN GENERAL FUND
SUMMARY OF APPROPRIATIONS AND CHANGES
2001-02 RECOMMENDED
(dollars)**

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Inspector General	1,399,000	0	1,028,000	(12,000)
Total	1,399,000	0	1,028,000	(12,000)

Program	Nonpersonal Service	
	Amount	Change
Inspector General	371,000	12,000
Total	371,000	12,000