

***HEALTH,
MENTAL HYGIENE
AND ENVIRONMENTAL
CONSERVATION***

ADIRONDACK PARK AGENCY

MISSION

The Adirondack Park Agency (APA) was established in 1971 to "insure the optimum overall conservation, protection, development and use of the unique scenic, aesthetic, wildlife, recreational, open space, ecological and natural resources of the Adirondack Park." The Park itself was created in 1892, and contains six million acres of publicly- and privately-owned lands.

ORGANIZATION AND STAFFING

The APA is governed by an 11-member board, including the Commissioners of Environmental Conservation and Economic Development, and the Secretary of State. The other members, five of whom must be Park residents, are nominated by the Governor with Senate confirmation. The principal functions of the APA are:

- reviewing and issuing permits for private and State land-use projects, consistent with the Adirondack Park State Land Master Plan and the Adirondack Park Private Land Use and Development Plan, and for certain activities on or near fresh water wetlands, pursuant to the 1975 Freshwater Wetlands Act;
- analyzing and developing policy to further the goals for which the agency was established;
- helping local governments develop land use plans and providing technical expertise;
- administering the State's Wild, Scenic and Recreational River System; and
- operating two Visitor Interpretive Centers: one near Paul Smith's College, Franklin County, and one in Newcomb, Essex County, at which visitors can better understand and appreciate the Park's resources.

APA's responsibilities are carried out by the following divisions: Planning, which handles local and regional land use policy issues; Counsel's Office, which provides legal advice to all Agency functions and oversees jurisdictional determinations and enforcement functions; Regulatory, which performs the Agency's permitting function; Interpretive, which operates the Visitor Centers; Resource Analysis, which conducts scientific research of the ecology of the Adirondacks; Economic Services, which assists project sponsors in the review process; and Local Government Services, which provides technical expertise and assistance to communities. For fiscal year 2001-02, the APA will have a workforce of 64 positions.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The Agency's fiscal year 2001-02 operating costs will be funded with State tax dollars and Federal grant moneys. The Executive Budget recommends funding of \$4,345,000 in General Fund support for the Agency's operations and the continuation of \$300,000 in Federal funds for the New York State Scenic Byways Program project to enhance the Route 73 corridor. The Agency's 2001-02 capital infrastructure needs will be addressed by a \$1,500,000 capital appropriation to be suballocated from the Department of Environmental Conservation to address health and safety and building access needs at APA's administrative headquarters in Raybrook. This overall recommendation will support the Agency's core regulatory functions and the operation of the Visitor Interpretive Centers at Newcomb and Paul Smith's.

ADIRONDACK PARK AGENCY

In addition, the Executive Budget recommends General Fund support of \$50,000 for the Adirondack Park Local Government Review Board. The Board advises and assists the APA in carrying out its responsibilities and monitors the implementation of the Adirondack Park Land Use and Development Plan.

PROGRAM HIGHLIGHTS

Since 1995-96, the Agency has worked to achieve a balance between strong environmental protection and sustainable economic development opportunities for the residents of the Adirondack Park. The Agency's priorities for the future include continued updating of the Agency's rules and regulations, facilitating the development of land use plans by local governments, providing local governments with technical expertise and training, and improving resource data base information to better protect the resources of the Adirondack Park.

ALL FUNDS APPROPRIATIONS (dollars)

<u>Category</u>	<u>Available 2000-01</u>	<u>Appropriations Recommended 2001-02</u>	<u>Change</u>	<u>Reappropriations Recommended 2001-02</u>
State Operations	4,315,700	4,295,000	(20,700)	764,000
Aid To Localities	50,000	50,000	0	0
Capital Projects	0	0	0	0
Total	<u>4,365,700</u>	<u>4,345,000</u>	<u>(20,700)</u>	<u>764,000</u>

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Full-Time Equivalent Positions (FTE)

<u>Program</u>	<u>2000-01 Estimated FTEs 03/31/01</u>	<u>2001-02 Estimated FTEs 03/31/02</u>	<u>FTE Change</u>
Administration			
General Fund	64	64	0
Total	<u>64</u>	<u>64</u>	<u>0</u>

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

<u>Fund Type</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>
General Fund	4,015,700	4,295,000	279,300
Special Revenue Funds - Federal	300,000	0	(300,000)
Total	<u>4,315,700</u>	<u>4,295,000</u>	<u>(20,700)</u>

Adjustments:	
Transfer(s) From	
Special Pay Bill	
General Fund	(270,000)
Appropriated 2000-01	<u>4,045,700</u>

ADIRONDACK PARK AGENCY

**STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

<u>Program</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>
Administration			
General Fund	4,015,700	4,295,000	279,300
Special Revenue Funds - Federal	300,000	0	(300,000)
Total	<u>4,315,700</u>	<u>4,295,000</u>	<u>(20,700)</u>

**STATE OPERATIONS - GENERAL FUND
SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES
2001-02 RECOMMENDED
(dollars)**

<u>Program</u>	<u>Total Personal Service</u>		<u>Personal Service Regular (Annual Salaried)</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Administration	3,733,000	259,300	3,589,800	259,300
Total	<u>3,733,000</u>	<u>259,300</u>	<u>3,589,800</u>	<u>259,300</u>

<u>Program</u>	<u>Temporary Service (Nonannual Salaried)</u>		<u>Holiday/Overtime Pay (Annual Salaried)</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Administration	139,500	0	3,700	0
Total	<u>139,500</u>	<u>0</u>	<u>3,700</u>	<u>0</u>

**STATE OPERATIONS - GENERAL FUND
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
2001-02 RECOMMENDED
(dollars)**

<u>Program</u>	<u>Total Nonpersonal Service</u>		<u>Supplies and Materials</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Administration	562,000	20,000	83,500	0
Total	<u>562,000</u>	<u>20,000</u>	<u>83,500</u>	<u>0</u>

<u>Program</u>	<u>Travel</u>		<u>Contractual Services</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Administration	44,500	0	409,900	20,000
Total	<u>44,500</u>	<u>0</u>	<u>409,900</u>	<u>20,000</u>

<u>Program</u>	<u>Equipment</u>	
	<u>Amount</u>	<u>Change</u>
Administration	24,100	0
Total	<u>24,100</u>	<u>0</u>

**STATE OPERATIONS - OTHER THAN GENERAL FUND
SUMMARY OF APPROPRIATIONS AND CHANGES
2001-02 RECOMMENDED
(dollars)**

<u>Program</u>	<u>Total</u>		<u>Nonpersonal Service</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Administration	0	(300,000)	0	(300,000)
Total	<u>0</u>	<u>(300,000)</u>	<u>0</u>	<u>(300,000)</u>

ADIRONDACK PARK AGENCY

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS
(dollars)**

<u>Fund Type</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>
General Fund	50,000	50,000	0
Total	<u>50,000</u>	<u>50,000</u>	<u>0</u>

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

<u>Program</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>
Administration			
General Fund	50,000	50,000	0
Total	<u>50,000</u>	<u>50,000</u>	<u>0</u>

OFFICE OF ADVOCATE FOR PERSONS WITH DISABILITIES

MISSION

The primary mission of the Office of Advocate for Persons with Disabilities (APD) is to promote the inclusion of persons with disabilities in all aspects of community life. The agency ensures that persons with disabilities are afforded the opportunity to exercise the full range of the rights and responsibilities accorded to all residents of New York State. In addition, APD plays an active role in developing innovative opportunities and supports that respond to the needs of New Yorkers with disabilities.

ORGANIZATION AND STAFFING

Headed by the State Advocate who is appointed by the Governor, the Agency is headquartered in Albany. APD will have a workforce of 19 positions for 2001-02. Approximately 75 percent of these positions are paid by State tax dollars from the General Fund and 25 percent are financed by Federal grants and other funding sources.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The 2001-02 All Funds Executive Budget recommendation of \$1,590,000 maintains APD's ability to disseminate comprehensive information on services and programs available to persons with disabilities and to collaborate with other agencies, businesses and organizations to increase the effectiveness of public and private sector services.

PROGRAM HIGHLIGHTS

APD operates a statewide clearinghouse through which individuals can access information and obtain referrals to a variety of disability-related services. Key components of the system are a statewide telephone hotline (800-522-4369) and a website. It is anticipated that more than 65,000 individuals will utilize these services in 2001-02.

The agency's Federally funded Technology Related-Assistance for Individuals with Disabilities (TRAID) Project supports a statewide system that helps New Yorkers with disabilities access technology devices and services to strengthen their ability to participate in the mainstream workplace and community. This program is coordinated through an Interagency Partnership on Assistive Technology and thirteen Regional TRAIID Centers at fifteen sites, which are funded collaboratively with the Department of Health. During 2000, these Regional TRAIID Centers provided awareness training, device demonstrations, information, education, and referrals to over 19,500 persons with disabilities.

APD also provides comprehensive education, public awareness, and technical assistance programs which promote increased voluntary compliance with the Americans with Disabilities Act (ADA). Training activities for 2001-02 will continue to focus on accessibility requirements for local code enforcement personnel, architects, and engineers, in addition to customizing technical assistance for individuals, employers, and State agencies.

ADVOCATE FOR PERSONS WITH DISABILITIES

ALL FUNDS APPROPRIATIONS (dollars)

<u>Category</u>	<u>Available 2000-01</u>	<u>Appropriations Recommended 2001-02</u>	<u>Change</u>	<u>Reappropriations Recommended 2001-02</u>
State Operations	1,721,000	1,590,000	(131,000)	600,000
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	<u>1,721,000</u>	<u>1,590,000</u>	<u>(131,000)</u>	<u>600,000</u>

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Full-Time Equivalent Positions (FTE)

<u>Program</u>	<u>2000-01 Estimated FTEs 03/31/01</u>	<u>2001-02 Estimated FTEs 03/31/02</u>	<u>FTE Change</u>
Advocate for the Disabled			
General Fund	15	14	(1)
Special Revenue Funds - Federal	4	4	0
Expendable Trust Funds	1	1	0
Total	<u>20</u>	<u>19</u>	<u>(1)</u>

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

<u>Fund Type</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>
General Fund	1,135,000	1,030,000	(105,000)
Special Revenue Funds - Federal	479,000	460,000	(19,000)
Enterprise Funds	25,000	25,000	0
Fiduciary Funds	82,000	75,000	(7,000)
Total	<u>1,721,000</u>	<u>1,590,000</u>	<u>(131,000)</u>

Adjustments:

Transfer(s) From	
Special Pay Bill	
General Fund	(70,000)
Special Revenue Funds - Federal	(19,000)
Expendable Trust Funds	(5,000)
Appropriated 2000-01	<u>1,627,000</u>

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

<u>Program</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>
Advocate for the Disabled			
General Fund	1,135,000	1,030,000	(105,000)
Special Revenue Funds - Federal	479,000	460,000	(19,000)
Enterprise Funds	25,000	25,000	0
Expendable Trust Funds	82,000	75,000	(7,000)
Total	<u>1,721,000</u>	<u>1,590,000</u>	<u>(131,000)</u>

ADVOCATE FOR PERSONS WITH DISABILITIES

STATE OPERATIONS - GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2001-02 RECOMMENDED (dollars)

Program	Total Personal Service		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Advocate for the Disabled	920,000	(45,000)	914,000	(45,000)
Total	<u>920,000</u>	<u>(45,000)</u>	<u>914,000</u>	<u>(45,000)</u>

Program	Temporary Service (Nonannual Salaried)	
	Amount	Change
Advocate for the Disabled	6,000	0
Total	<u>6,000</u>	<u>0</u>

STATE OPERATIONS - GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2001-02 RECOMMENDED (dollars)

Program	Total Nonpersonal Service		Supplies and Materials	
	Amount	Change	Amount	Change
Advocate for the Disabled	110,000	(60,000)	18,000	0
Total	<u>110,000</u>	<u>(60,000)</u>	<u>18,000</u>	<u>0</u>

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Advocate for the Disabled	23,000	0	66,900	(10,000)
Total	<u>23,000</u>	<u>0</u>	<u>66,900</u>	<u>(10,000)</u>

Program	Equipment		Maintenance Undistributed	
	Amount	Change	Amount	Change
Advocate for the Disabled	2,100	0	0	(50,000)
Total	<u>2,100</u>	<u>0</u>	<u>0</u>	<u>(50,000)</u>

STATE OPERATIONS - OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 2001-02 RECOMMENDED (dollars)

Program	Total		Maintenance Undistributed	
	Amount	Change	Amount	Change
Advocate for the Disabled	560,000	(26,000)	560,000	(26,000)
Total	<u>560,000</u>	<u>(26,000)</u>	<u>560,000</u>	<u>(26,000)</u>

STATE OFFICE FOR THE AGING

MISSION

The New York State Office for the Aging is responsible for promoting, coordinating and administering State, Federal and local programs and services for 3.2 million New Yorkers aged 60 or older. The Office provides leadership and direction to 59 Area Agencies on Aging, as well as to numerous other local programs and providers that comprise the network of services to the aged.

ORGANIZATION AND STAFFING

The State Office for the Aging is headed by a Director and is composed of four divisions: Executive, Finance and Administration, Local Program Operations, and Policy and Program Development. The Office will have a workforce of 157 employees during FY 2001-02: 145 funded within the agency, and an additional 12 positions funded by other State agencies. Approximately 36 percent of agency operations are funded by the General Fund, and the remaining 64 percent is financed by Federal grants and grants from private organizations.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The 2001-02 Executive Budget recommends nearly \$179 million in State and Federal funds for the continued operations of the agency and the expansion of community-based support services for the elderly. Within the aging system, State support is used to match Older Americans Act and other Federal funding and establish a service-delivery network that attracts a variety of other public and private contributions. When combined, this results in total network spending of over \$384 million, excluding the value of volunteer labor which has been estimated at upwards of \$35 million.

For the second year, these recommendations expand services under the Community Services for the Elderly (CSE) program, bringing the total amount of State support for this program to over \$17 million — an increase of \$1.5 million over SFY 2000-01. Serving nearly 78,000 elderly statewide, this program supports a locally-coordinated continuum of support services designed to assist the elderly, their families and informal caregivers. The goal of the program is to maximize independence and reduce unnecessary reliance on institutional care.

Services available under this program include: case management, nutrition/meals, housekeeping/chore services, personal care, home health aide services, adult day services, transportation, minor renovations or the purchase of equipment for congregate facilities, and other individualized support services. In contrast with the agency's other major programs, which are limited to certain prescribed services, CSE affords Area Agencies on Aging maximum flexibility in selecting which services to offer and/or expand. Strongly linked to local planning efforts, CSE provides a comprehensive array of services that will allow the State to continue to meet the ever-changing needs of our growing elderly population.

PROGRAM HIGHLIGHTS

The full array of programs offered through the Office for the Aging are aimed at keeping seniors independent as long as possible by utilizing family and other informal caregivers, as well as through the limited use of formal support services. These community-based services help keep people healthy and in their homes and out of hospitals and nursing homes.

STATEWIDE COMMUNITY-BASED ASSISTANCE

In addition to the CSE program, the State supports other statewide aging programs administered through Area Agencies on Aging from a combination of State and Federal funding.

The Expanded In-home Services for the Elderly Program (EISEP) provides non-medical in-home services, case management, respite and ancillary services to the frail elderly, most of whom are low income but not eligible for Medicaid. Recipients are required to cost-share, based on their annual income level, for EISEP program services received. More than 29,000 persons are served under this program.

The Congregate Services Initiative (CSI) provides services in community settings where people come together for services and activities, including: information and assistance, counseling, transportation, support services for families/caregivers, volunteer opportunities and employment information and health promotion and disease prevention services.

NUTRITION SERVICES

Through a combination of State tax dollars and Federal grants, the State annually provides nearly 25 million congregate and home-delivered meals as well as other nutritional services to non-institutionalized frail elderly clients. These seniors are at risk of malnutrition because they are no longer able to obtain an adequate diet without assistance. The Office receives \$40 million in appropriation authority from Federal grants in support of congregate and home-delivered meals and another \$17 million to support the purchase of food. The State counterpart to these Federal programs — the Supplemental Nutrition Assistance Program (SNAP) — provides another \$17 million.

PARTNERSHIPS AND TARGETED PROGRAMS

The Office participates in a number of partnerships with businesses, foundations and not-for-profit organizations, and also coordinates programs targeted for special populations.

The term "Naturally Occurring Retirement Community" (NORC) describes a phenomenon experienced by certain housing complexes, cooperatives and apartment buildings where the population has "aged in place". The NORC Supportive Service Program was created to deliver on-site services, thereby allowing residents to continue to remain independent. Fourteen such programs exist, with the State's public funds matched dollar for dollar by private contributions from the retirement communities themselves. Now in its sixth year, this public/private partnership cares for an estimated 20,000 clients.

Under the Caregiver Assistance Program, 17 Caregiver Resource Centers provide a single focal point of assistance for family members and other informal providers of long-term care to homebound elderly. The primary responsibilities of the Centers are to assist caregivers through training programs, support groups and counseling; and to link them with Area Agency on Aging services, as well as other community services. More than 9,000 people are served by this program.

The Long-Term Care Ombudsman Program (LTCOP) supports the advocacy in 52 counties of more than 700 trained volunteers on the behalf of the approximately 150,000 residents of the New York State's nursing homes and adult care facilities. Under this program, the State Office for the Aging and community agencies receive, investigate and resolve a wide range of concerns and complaints regarding conditions and treatment in long-term care facilities, with the goal of ensuring the quality of life for residents.

Through its network of Area Agencies on Aging and local not-for-profit agencies, the Office also provides State grant funding for long-term respite care, the Retired and Senior Volunteer Program and the Foster Grandparent Program.

**ALL FUNDS
APPROPRIATIONS
(dollars)**

<u>Category</u>	<u>Available 2000-01</u>	<u>Appropriations Recommended 2001-02</u>	<u>Change</u>	<u>Reappropriations Recommended 2001-02</u>
State Operations	14,862,000	13,946,000	(916,000)	6,238,000
Aid To Localities	174,833,102	167,562,000	(7,271,102)	84,250,000
Capital Projects	0	0	0	0
Total	<u>189,695,102</u>	<u>181,508,000</u>	<u>(8,187,102)</u>	<u>90,488,000</u>

**ALL FUND TYPES
PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM
FILLED ANNUAL SALARIED POSITIONS**

Full-Time Equivalent Positions (FTE)

<u>Program</u>	<u>2000-01 Estimated FTEs 03/31/01</u>	<u>2001-02 Estimated FTEs 03/31/02</u>	<u>FTE Change</u>
Administration			
General Fund	25	25	0
Special Revenue Funds - Federal	50	50	0
Community Services			
General Fund	24	24	0
Special Revenue Funds - Federal	58	58	0
Total	<u>157</u>	<u>157</u>	<u>0</u>

**STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS
(dollars)**

<u>Fund Type</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>
General Fund	2,922,000	3,096,000	174,000
Special Revenue Funds - Federal	10,787,000	10,150,000	(637,000)
Enterprise Funds	200,000	200,000	0
Fiduciary Funds	953,000	500,000	(453,000)
Total	<u>14,862,000</u>	<u>13,946,000</u>	<u>(916,000)</u>

Adjustments:

Transfer(s) From	
Special Pay Bill	
General Fund	(219,000)
Special Revenue Funds - Federal	(588,000)
Appropriated 2000-01	<u>14,055,000</u>

**STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

<u>Program</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>
Administration			
General Fund	1,302,000	1,602,000	300,000
Special Revenue Funds - Federal	2,810,000	2,700,000	(110,000)
Enterprise Funds	200,000	200,000	0
Community Services			
General Fund	1,620,000	1,494,000	(126,000)
Special Revenue Funds - Federal	7,977,000	7,450,000	(527,000)
Expendable Trust Funds	953,000	500,000	(453,000)
Total	<u>14,862,000</u>	<u>13,946,000</u>	<u>(916,000)</u>

**STATE OPERATIONS - GENERAL FUND
SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES
2001-02 RECOMMENDED
(dollars)**

<u>Program</u>	Total Personal Service		Personal Service Regular (Annual Salaried)	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Administration	1,137,000	20,000	1,135,000	20,000
Community Services	1,324,000	(104,000)	1,315,000	(104,000)
Total	<u>2,461,000</u>	<u>(84,000)</u>	<u>2,450,000</u>	<u>(84,000)</u>

<u>Program</u>	Temporary Service (Nonannual Salaried)		Holiday/Overtime Pay (Annual Salaried)	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Administration	0	0	2,000	0
Community Services	3,000	0	6,000	0
Total	<u>3,000</u>	<u>0</u>	<u>8,000</u>	<u>0</u>

**STATE OPERATIONS - GENERAL FUND
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
2001-02 RECOMMENDED
(dollars)**

<u>Program</u>	Total Nonpersonal Service		Supplies and Materials	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Administration	465,000	280,000	20,000	2,000
Community Services	170,000	(22,000)	23,000	0
Total	<u>635,000</u>	<u>258,000</u>	<u>43,000</u>	<u>2,000</u>

<u>Program</u>	Travel		Contractual Services	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Administration	36,000	2,000	397,000	270,000
Community Services	30,000	(22,000)	114,000	0
Total	<u>66,000</u>	<u>(20,000)</u>	<u>511,000</u>	<u>270,000</u>

<u>Program</u>	Equipment	
	<u>Amount</u>	<u>Change</u>
Administration	12,000	6,000
Community Services	3,000	0
Total	<u>15,000</u>	<u>6,000</u>

**STATE OPERATIONS - OTHER THAN GENERAL FUND
SUMMARY OF APPROPRIATIONS AND CHANGES
2001-02 RECOMMENDED
(dollars)**

<u>Program</u>	Total		Personal Service	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Administration	2,900,000	(110,000)	2,500,000	73,000
Community Services	7,950,000	(980,000)	5,200,000	(926,000)
Total	<u>10,850,000</u>	<u>(1,090,000)</u>	<u>7,700,000</u>	<u>(853,000)</u>

<u>Program</u>	Nonpersonal Service		Maintenance Undistributed	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Administration	200,000	(183,000)	200,000	0
Community Services	2,250,000	399,000	500,000	(453,000)
Total	<u>2,450,000</u>	<u>216,000</u>	<u>700,000</u>	<u>(453,000)</u>

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS
(dollars)**

<u>Fund Type</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>
General Fund	76,133,102	63,962,000	(12,171,102)
Special Revenue Funds - Federal	95,600,000	101,600,000	6,000,000
Fiduciary Funds	3,100,000	2,000,000	(1,100,000)
Total	<u>174,833,102</u>	<u>167,562,000</u>	<u>(7,271,102)</u>

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

<u>Program</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>
Community Services			
General Fund	66,162,000	63,962,000	(2,200,000)
Special Revenue Funds - Federal	95,600,000	101,600,000	6,000,000
Fiduciary Funds	3,100,000	2,000,000	(1,100,000)
Community Projects			
General Fund	9,971,102	0	(9,971,102)
Total	<u>174,833,102</u>	<u>167,562,000</u>	<u>(7,271,102)</u>

DEPARTMENT OF AGRICULTURE AND MARKETS

MISSION

The Department of Agriculture and Markets is charged with fostering a competitive and safe New York food and agricultural industry for the benefit of producers and consumers. Over the next year, the Department will continue to carry out its major responsibilities for encouraging the growth and economic health of the State's agricultural and food industry and conducting various inspection and testing programs to enforce laws on food safety, animal and plant health, and accuracy of labeling. The Department will also continue to act to preserve agricultural resources, improve soil and water quality, and operate the annual State Fair in concert with the Industrial Exhibit Authority.

ORGANIZATION AND STAFFING

The Department will have a workforce of 595 positions for fiscal year 2001-02. The Agency is headquartered in Albany and maintains four regional offices located in Buffalo, Syracuse, Rochester and Brooklyn. Approximately 28 percent of the Department of Agriculture and Markets' operating programs are funded by tax dollars in the General Fund and the remaining 72 percent are financed by fees and Federal grants.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The Executive Budget recommends funding of more than \$112.8 million for the Department of Agriculture and Markets in 2001-02. The cost of operating the Department will be supported from a combination of funding sources:

- Tax dollars from the General Fund will provide \$25.0 million in 2001-02, or 28 percent of the Department's operating expenses.
- Fee revenues will produce \$50.5 million and support 58 percent of the Department's operating costs. These revenues include fees from activities such as licensing food processing operators, certifying weighing and measuring devices, and operation of the State Fair.
- Federal funding of \$12.2 million finances the remaining 14 percent of the Department's operations.

This Budget recommends \$1.4 million in new funding to implement legislation enacted in 2000-01 requiring expanded inspections of retail food stores and the licensing and regulation of pet dealers throughout the State. Continued funding of \$1.2 million will support the Department's efforts in the New York City region to locate and eradicate the Asian Longhorned Beetle, a pest which is deadly to hardwood trees. Recommendations also continue \$4.4 million for 11 migrant child care centers, including operating costs of a new child care center for children of migrant farm workers to be located in the eastern end of Long Island. As in 2000-01, the Department will receive a \$2.5 million suballocation from the Office of Children and Family Services' Federal funding to expand these child care activities.

Nearly \$600,000 is provided for capital projects to maintain, repair and rehabilitate the State Fair's 14 major buildings and other structures. The Executive Budget also recommends \$2.0 million for a capital special revenue fund to continue support for development of private partnerships at the State Fair. Funding from the 1996 Clean Water/Clean Air Bond Act and the Environmental Protection Fund is also provided for Soil and Water Conservation Districts, non-point source pollution control and farmland preservation programs.

AGRICULTURE AND MARKETS

The Executive Budget recommends \$12.5 million from the General Fund for local assistance to continue and expand programs which provide valuable services to the State's agricultural community. Included in these funds is \$1.3 million in continued resources to support agricultural economic development and farmland viability program activities. In addition, an increase of \$2.0 million in Federal appropriation authority will allow the Department to apply for funding to expand senior citizens' participation in Federal nutrition programs.

PROGRAM HIGHLIGHTS

The Agricultural Business Services program promotes the agricultural economy of the State and fosters the responsible use of resources to preserve agricultural land and the environment. These purposes are carried out through six divisions which:

- Inspect and test livestock, poultry and plants to control and eradicate diseases which can both cause severe economic losses for farmers and present a public health hazard;
- Oversee the activities of county soil and water conservation districts, direct the agricultural non-point source pollution control and farmland preservation grant programs, establish agricultural districts, administer agricultural product market orders and the Federal Farmers Market Coupon Program for low income families, and collect and disseminate statistical agricultural information; and
- Exercise a variety of statutorily required activities involving the pricing and marketing of milk and milk products, and the licensing and bonding of milk and farm products dealers.

The Consumer Food Services program ensures that wholesome food products are sold to the consumer and that the industry and the public are protected from fraud, adulteration or malpractice in the production, processing, transportation and retailing of food and gasoline, and in the use of measuring devices. In carrying out these purposes, the program:

- Licenses and inspects over 28,000 businesses that produce, process, manufacture, or distribute food products, enforces labeling laws governing food represented as kosher, and grades farm products;
- Inspects and tests dairy products to enforce laws pertaining to milk and milk product sanitation and guards against harmful or misrepresented food; and
- Certifies and calibrates weighing and measuring devices and oversees the testing of motor fuels under the Motor Fuel Quality and Clean Air Programs.

STATE FAIR

The Department and the Industrial Exhibit Authority together direct the New York State Fair and Fairgrounds, located in Syracuse. The Fairgrounds, a 365-acre complex, has 14 major exhibit buildings and 107 other structures. It is used for the annual 12-day State Fair, and its facilities are rented year-round for various shows and activities. The operating costs of the Fair and Fairgrounds are fully funded from admission, rental and concession fees. General Fund capital funding of \$600,000 is included in the budget to support costs of maintaining the Fair's facilities. In addition, a capital special revenue fund appropriation of \$2.0 million is recommended to allow the Fair to expand private partnerships and make capital improvements at the Fairgrounds.

AGRICULTURE AND MARKETS

ALL FUNDS APPROPRIATIONS (dollars)

<u>Category</u>	<u>Available 2000-01</u>	<u>Appropriations Recommended 2001-02</u>	<u>Change</u>	<u>Reappropriations Recommended 2001-02</u>
State Operations	78,322,100	87,789,200	9,467,100	21,809,900
Aid To Localities	37,008,500	22,450,200	(14,558,300)	17,210,000
Capital Projects	3,100,000	2,600,000	(500,000)	4,812,000
Total	<u>118,430,600</u>	<u>112,839,400</u>	<u>(5,591,200)</u>	<u>43,831,900</u>

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Full-Time Equivalent Positions (FTE)

<u>Program</u>	<u>2000-01 Estimated FTEs 03/31/01</u>	<u>2001-02 Estimated FTEs 03/31/02</u>	<u>FTE Change</u>
Administration			
General Fund	35	35	0
Agricultural Business Services			
General Fund	113	110	(3)
Special Revenue Funds - Federal	3	3	0
Special Revenue Funds - Other	42	42	0
Expendable Trust Funds	9	9	0
Clean Water/Clean Air Administration Program			
Capital Projects Funds - Other	8	0	(8)
Consumer Food Services			
General Fund	212	236	24
Special Revenue Funds - Federal	21	21	0
Special Revenue Funds - Other	63	63	0
Environment & Recreation			
Capital Projects Funds - Other	0	18	18
State Fair			
Enterprise Funds	58	58	0
Total	<u>564</u>	<u>595</u>	<u>31</u>

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

<u>Fund Type</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>
General Fund	24,181,200	25,046,300	865,100
Special Revenue Funds - Federal	9,692,000	12,192,000	2,500,000
Special Revenue Funds - Other	26,469,900	30,419,900	3,950,000
Enterprise Funds	16,271,900	18,384,200	2,112,300
Fiduciary Funds	1,707,100	1,746,800	39,700
Total	<u>78,322,100</u>	<u>87,789,200</u>	<u>9,467,100</u>

Adjustments:

Transfer(s) From	
Special Pay Bill	
General Fund	(1,375,000)
Special Revenue Funds - Federal	(95,000)
Special Revenue Funds - Other	(513,000)
Enterprise Funds	(47,000)
Expendable Trust Funds	(35,000)
Appropriated 2000-01	<u>76,257,100</u>

AGRICULTURE AND MARKETS

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

<u>Program</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>
Administration			
General Fund	4,016,300	3,803,800	(212,500)
Agricultural Business Services			
General Fund	8,965,500	9,252,300	286,800
Special Revenue Funds - Federal	7,257,000	9,257,000	2,000,000
Special Revenue Funds - Other	17,215,000	21,211,600	3,996,600
Fiduciary Funds	1,707,100	1,746,800	39,700
Consumer Food Services			
General Fund	11,199,400	11,990,200	790,800
Special Revenue Funds - Federal	2,435,000	2,935,000	500,000
Special Revenue Funds - Other	9,254,900	9,208,300	(46,600)
State Fair			
Enterprise Funds	16,271,900	18,384,200	2,112,300
Total	<u>78,322,100</u>	<u>87,789,200</u>	<u>9,467,100</u>

STATE OPERATIONS - GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2001-02 RECOMMENDED (dollars)

<u>Program</u>	<u>Total Personal Service</u>		<u>Personal Service Regular (Annual Salaried)</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Administration	2,021,100	(12,500)	1,911,300	(14,300)
Agricultural Business Services	5,930,100	612,400	5,151,800	596,900
Consumer Food Services	10,345,600	669,800	9,969,300	710,700
Total	<u>18,296,800</u>	<u>1,269,700</u>	<u>17,032,400</u>	<u>1,293,300</u>

<u>Program</u>	<u>Temporary Service (Nonannual Salaried)</u>		<u>Holiday/Overtime Pay (Annual Salaried)</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Administration	100,100	1,900	9,700	(100)
Agricultural Business Services	657,300	13,700	121,000	1,800
Consumer Food Services	111,900	2,000	264,400	(42,900)
Total	<u>869,300</u>	<u>17,600</u>	<u>395,100</u>	<u>(41,200)</u>

AGRICULTURE AND MARKETS

STATE OPERATIONS - GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2001-02 RECOMMENDED (dollars)

<u>Program</u>	<u>Total Nonpersonal Service</u>		<u>Supplies and Materials</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Administration	1,782,700	(200,000)	29,100	(700)
Agricultural Business Services	3,322,200	(325,600)	234,900	62,300
Consumer Food Services	1,644,600	121,000	166,200	10,500
Total	<u>6,749,500</u>	<u>(404,600)</u>	<u>430,200</u>	<u>72,100</u>

<u>Program</u>	<u>Travel</u>		<u>Contractual Services</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Administration	30,600	(700)	1,723,000	(198,600)
Agricultural Business Services	476,800	71,000	2,253,100	341,100
Consumer Food Services	805,200	(80,000)	379,000	28,700
Total	<u>1,312,600</u>	<u>(9,700)</u>	<u>4,355,100</u>	<u>171,200</u>

<u>Program</u>	<u>Equipment</u>		<u>Maintenance Undistributed</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Agricultural Business Services	357,400	50,000	0	(850,000)
Consumer Food Services	294,200	161,800	0	0
Total	<u>651,600</u>	<u>211,800</u>	<u>0</u>	<u>(850,000)</u>

STATE OPERATIONS - OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 2001-02 RECOMMENDED (dollars)

<u>Program</u>	<u>Total</u>		<u>Personal Service</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Agricultural Business Services	32,215,400	6,036,300	3,202,700	(144,300)
Consumer Food Services	12,143,300	453,400	4,843,100	(105,300)
State Fair	18,384,200	2,112,300	5,268,600	619,400
Total	<u>62,742,900</u>	<u>8,602,000</u>	<u>13,314,400</u>	<u>369,800</u>

<u>Program</u>	<u>Nonpersonal Service</u>		<u>Maintenance Undistributed</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Agricultural Business Services	29,012,700	6,180,600	0	0
Consumer Food Services	7,300,200	658,700	0	(100,000)
State Fair	13,115,600	1,492,900	0	0
Total	<u>49,428,500</u>	<u>8,332,200</u>	<u>0</u>	<u>(100,000)</u>

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

<u>Fund Type</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>
General Fund	19,008,500	12,450,200	(6,558,300)
Special Revenue Funds - Federal	18,000,000	10,000,000	(8,000,000)
Total	<u>37,008,500</u>	<u>22,450,200</u>	<u>(14,558,300)</u>

AGRICULTURE AND MARKETS

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

<u>Program</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>
Agricultural Business Services			
General Fund	15,417,500	12,450,200	(2,967,300)
Special Revenue Funds - Federal	18,000,000	10,000,000	(8,000,000)
Community Projects			
General Fund	<u>3,591,000</u>	<u>0</u>	<u>(3,591,000)</u>
Total	<u><u>37,008,500</u></u>	<u><u>22,450,200</u></u>	<u><u>(14,558,300)</u></u>

**CAPITAL PROJECTS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

<u>Comprehensive Construction Program</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>	<u>Reappropriations 2001-02</u>
Design and Construction Supervision				
Capital Projects Fund	0	0	0	390,000
State Fair				
Capital Projects Fund	600,000	600,000	0	2,922,000
Misc. Capital Projects	2,000,000	2,000,000	0	1,500,000
Clean Water - Clean Air Implementation				
Clean Water Clean Air Implementation Fund	<u>500,000</u>	<u>0</u>	<u>(500,000)</u>	<u>0</u>
Total	<u><u>3,100,000</u></u>	<u><u>2,600,000</u></u>	<u><u>(500,000)</u></u>	<u><u>4,812,000</u></u>

DEVELOPMENTAL DISABILITIES PLANNING COUNCIL

MISSION

The New York State Developmental Disabilities Planning Council is fully funded under the recently reauthorized Federal Developmental Disabilities Assistance and Bill of Rights Act. The Act, originally signed into law in 1975, allows the Council to prepare, implement and monitor a plan for improving the quality of life for people with developmental disabilities.

ORGANIZATION AND STAFFING

Located in Albany, the Council is composed of 35 members, who have been appointed by the Governor to three-year staggered terms. Federal law requires that at least 60 percent of the Council membership be composed of persons with developmental disabilities, parents and guardians of children with developmental disabilities, and immediate relatives and guardians of adults with mentally impairing developmental disabilities who cannot advocate for themselves. Other required members represent State agencies, educational and training providers, and local public and private services agencies.

The Chairperson of the Council is appointed by the Governor from within the Council. In addition, a full-time staff of 18, under the leadership of an Executive Director, will assist the Council in carrying out its mission in 2001-02.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

Federal funds fully support all Council operations, including State staff and contracts with non-profit agencies to develop new services and service delivery methods. The contracts are monitored by the Council to ensure that Federal funds provided to the State are used to augment — rather than duplicate or replace — existing services for people with developmental disabilities.

The 2001-02 recommendation of \$4.3 million in Federal funds represents a slight decrease from 2000-01 and is based on the level of anticipated Federal funding. The decrease reflects a technical adjustment related to collective bargaining payments in 2000-01. This funding is sufficient to support the Council's role in coordinating information about persons with developmental disabilities and the services available to them, and in overseeing grant funds.

PROGRAM HIGHLIGHTS

Through its Council membership of State agency heads, including the Commissioner of the Office of Mental Retardation and Developmental Disabilities, the Council employs an interagency approach to advocate improved and enhanced services, supports, and assistance for persons with developmental disabilities. Its activities include quarterly meetings, task forces, white papers, conferences, training, technical assistance and the development of a three-year State Plan. The Council applies its resources to work in association with persons with developmental disabilities, parents, service providers, advocacy groups and local and state government agencies to advocate for an enhanced system of community services, individualized supports and assistance that facilitates self-determination, independence, community inclusion and productivity.

DEVELOPMENTAL DISABILITIES PLANNING COUNCIL

ALL FUNDS APPROPRIATIONS (dollars)

<u>Category</u>	<u>Available 2000-01</u>	<u>Appropriations Recommended 2001-02</u>	<u>Change</u>	<u>Reappropriations Recommended 2001-02</u>
State Operations	4,336,000	4,300,000	(36,000)	5,392,000
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	<u>4,336,000</u>	<u>4,300,000</u>	<u>(36,000)</u>	<u>5,392,000</u>

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Full-Time Equivalent Positions (FTE)

<u>Program</u>	<u>2000-01 Estimated FTEs 03/31/01</u>	<u>2001-02 Estimated FTEs 03/31/02</u>	<u>FTE Change</u>
Developmental Disabilities Planning			
Special Revenue Funds - Federal	18	18	0
Total	<u>18</u>	<u>18</u>	<u>0</u>

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

<u>Fund Type</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>
Special Revenue Funds - Federal	4,336,000	4,300,000	(36,000)
Total	4,336,000	<u>4,300,000</u>	<u>(36,000)</u>
Adjustments:			
Transfer(s) From			
Special Pay Bill			
Special Revenue Funds - Federal	(86,000)		
Appropriated 2000-01	<u>4,250,000</u>		

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

<u>Program</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>
Developmental Disabilities Planning			
Special Revenue Funds - Federal	4,336,000	4,300,000	(36,000)
Total	<u>4,336,000</u>	<u>4,300,000</u>	<u>(36,000)</u>

DEVELOPMENTAL DISABILITIES PLANNING COUNCIL

**STATE OPERATIONS - OTHER THAN GENERAL FUND
SUMMARY OF APPROPRIATIONS AND CHANGES
2001-02 RECOMMENDED
(dollars)**

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Developmental Disabilities Planning	4,300,000	(36,000)	900,000	46,000
Total	4,300,000	(36,000)	900,000	46,000
Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Developmental Disabilities Planning	564,000	(40,000)	2,836,000	(42,000)
Total	564,000	(40,000)	2,836,000	(42,000)

DEPARTMENT OF ENVIRONMENTAL CONSERVATION

MISSION

The Department of Environmental Conservation is responsible for conserving, improving and protecting the State's natural resources and environment. The Department also works to control water, land and air pollution in order to enhance the health, safety and welfare of all New Yorkers. In addition, the Department plays a major role in the implementation of the \$1.75 billion Clean Water/Clean Air Bond Act, which was overwhelmingly approved by the voters in 1996.

ORGANIZATION AND STAFFING

The Department is headed by a Commissioner appointed by the Governor. The Department's Central Office is in Albany, with regional offices in Buffalo, Watertown, Avon, Syracuse, Long Island City, Ray Brook, Schenectady, New Paltz and Stony Brook. These regional offices are the operational arms of the Department. They review and issue environmental permits for activities regulated by the Department such as the operation of landfills and sewage treatment plants. In addition, these offices assure compliance with State and Federal environmental statutes, consistent with policy and management direction from program divisions in the Central Office.

The Department will have a workforce of 3,743 in fiscal year 2001-02. Approximately 38 percent of these positions are paid by State tax dollars from the General Fund; 46 percent are supported by State fees and other revenues and the remaining 16 percent are financed by Federal grants.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The 2000-01 Executive Budget recommends funding of almost \$1.06 billion for the Department of Environmental Conservation, including \$114 million in General Fund support. This recommendation will support the Department's core mission and ensure funding for ongoing priority projects.

General Fund appropriations will finance 27 percent of the Department of Environmental Conservation's operations in 2001-02. Fees and license revenues will support 50 percent, including the major permitting functions, the hazardous substances bulk storage and oil spill programs, and the hazardous waste remedial and enforcement programs. Federal funds will support the remaining 23 percent of the Department's budget.

The Environmental Protection Fund (EPF), established in 1993, will provide dedicated resources of \$150 million, a \$25 million increase over 2000-01 levels, to support environmental programs, including open space protection, farmland preservation, recycling programs, non-point source pollution control projects, municipal park and waterfront revitalization projects, and the restoration and preservation of historic barns. The Fund is supported by revenues from the sale/lease of State property (\$3 million), the real estate transfer tax (\$132 million), revenues from the sale of oil and gas leases on State lands (\$5 million) and other revenues (\$10 million).

The voter-approved Clean Water/Clean Air Bond Act authorizes \$1.75 billion for critical environmental programs in the following categories: Safe Drinking Water Program (\$355 million), Clean Water Program (\$790 million), Solid Waste Projects (\$175 million), Air Quality Projects (\$230 million), and Environmental Restoration Projects (\$200 million).

ENVIRONMENTAL CONSERVATION

The 2001-02 Executive Budget advances amendments to the Environmental Restoration component which provide municipalities with financial incentives and liability protection to more aggressively clean up brownfields and return them to productive use.

The Clean Air Fund, first established in 1993-94, will continue to provide the resources needed to meet the State's obligations under the Federal Clean Air Act to control stationary and mobile sources of air pollution. The Fund is supported by vehicle emission inspection fees and fees on regulated pollutants emitted by factories, power plants and other stationary source facilities.

General Fund appropriations will support the preservation and maintenance of the State's dams, bridges, regional facilities, maintenance centers, and the 20 percent match required for Federal grants provided through the State Revolving Fund, which provides low-cost loans to municipalities for water pollution control projects. Since 1992-93, the cost of the State match has been reimbursed by proceeds from the sale of bonds issued by the Environmental Facilities Corporation. Principal and interest on the bonds are paid from State taxes.

The 2001-02 Executive Budget provides almost \$1.06 billion to support the Department's critical environmental, resource management and recreation programs. Included is:

- \$219.6 million from the Clean Water/Clean Air Bond Act for priority projects to restore brownfields, improve our air and water resources and support local recycling efforts. With the \$50 million included in the Department of Health and the \$1.3 billion available from appropriations made from 1996-97 through 2000-01 over \$1.5 billion will be provided for Bond Act projects through 2001-02.
- \$150 million in new funding from the Environmental Protection Fund (EPF) to provide resources to address such high priority programs as open space preservation, continued implementation of the Hudson River Estuary Management Plan, stewardship projects at State parks and lands, development of the Hudson River Park, Onondaga Lake remediation, and the restoration and preservation of historic barns. Other projects funded by the EPF in 2001-02 will include: local waste reduction/recycling and marketing of recycled materials; municipal park grants; local waterfront revitalization projects; non-point source water pollution control projects; farmland protection; the operation of the Pesticide Sales and Use Database as well as breast cancer/environmental risks studies at Cornell University; and the Albany Pine Bush Commission, biodiversity stewardship, and Long Island Pine Barrens/South Shore Estuary Reserve planning.

Recommendations also include:

- \$138 million to support the refinanced Superfund program to continue the clean up of inactive hazardous waste sites and municipal landfill closures after the exhaustion of the 1986 Environmental Quality Bond Act funding. The new program will also provide, for the first time, funding to address hazardous substance sites. Recommendations also include \$376.4 million in reappropriated funds from the 1986 Environmental Quality Bond Act to continue work already underway for existing Superfund sites.
- \$5.0 million to implement the New York City Watershed Agreement. These funds will support State enforcement and monitoring efforts in the Watershed and the provision of technical assistance to participating Watershed communities.
- \$178.6 million in new State and Federal funds for the State Revolving Fund low-interest loan program to build and rehabilitate municipal sewage treatment facilities.
- \$42.9 million to support the programs of the Conservation Fund. In 2001-02 an increase in licensing fees will be required to meet continuing program needs.
- \$30.7 million in new funding for basic capital infrastructure projects to ensure health, safety and compliance with State and Federal laws and environmental

- requirements, including \$4.5 million for flood control feasibility studies for Lindenhurst/Babylon shores and the Ramapo/Mahwah, Ausable, Sawmill, Bouquet and Upper Delaware rivers.
- \$2.4 million in new revenues from proposed pesticide fee increases which will support oversight, pesticide applicator examination and training, non-toxic alternatives to mosquito control and other West Nile prevention measures, and expanded integrated pest management programs.
 - \$500,000 in new resources to support the continued planning for the Henry Hudson Rivers Institute for estuarine and riverine studies.
 - \$30.7 million in non-General Fund support for the State's Clean Air programs. New programs were begun in 1997-98 to control pollution from automobiles and to enhance permits for major stationary sources of air pollution and in 1999-2000, to control air pollution from heavy duty vehicles.
 - \$3.3 million in taxpayer funds to partially reimburse local governments for property tax revenue losses due to forest property tax exemption.
 - \$411 million to support the operations of the Department, including a workforce of 3,743.

PROGRAM HIGHLIGHTS

Under both State and Federal law, the Department carries out a wide range of environmental, regulatory, remedial, resource management, outdoor recreation and education programs. These include the protection and management of the State's air, water, mineral and energy resources, as well as the management of both solid and hazardous waste.

Since 1995-96, the Department has restructured its operations to eliminate redundant management layers and refocused its attention on those activities that present the greatest environmental risk. Additionally, the regulatory process for issuing environmental permits has been streamlined to simplify requirements while still ensuring that environmental standards are maintained and the State's natural resources are protected.

The Department's functions can be divided into the following categories: Natural Resources, Environmental Quality/Remediation and Environmental Enforcement and Regulation.

NATURAL RESOURCES

In addition to its responsibility to protect the State's fish, wildlife and marine resources and habitats, the Fish, Wildlife and Marine program also manages the operation of 12 fish hatcheries, 1 game farm and 260 boat launching and fishing access sites. The primary source of funding for this program is sporting license fees (approximately \$33 million annually) deposited to the Conservation Fund.

The Lands and Forests program manages the more than 4 million acres of State land under the Department's jurisdiction, including nearly 3 million acres of State-owned land within the Adirondack and Catskill parks and 250,000 acres of conservation easements. Revenues from the sale of forest products from State lands help offset program costs. The State Forest Ranger force is charged with firefighting, search and rescue and enforcing rules, regulations and laws on State land.

The Mineral Resources program regulates the State's oil and gas wells and oversees the mined land reclamation program. This program is focused on returning land used for mining to a productive use and also performs technical and environmental reviews of new and existing mined lands.

The Department also operates 52 campgrounds and 25 day-use areas within the Adirondack and Catskill parks, as well as the Belleayre Mountain Ski Center. These

ENVIRONMENTAL CONSERVATION

recreational facilities serve approximately 2 million visitors annually, generating tourist trade to their host communities. Camping and skiing user fees are intended to fully support facility operations.

ENVIRONMENTAL QUALITY/REMEDIATION

The 1988 Solid Waste Management Act established the State's policies for the management of solid waste, identifying reduction and recycling as the preferred options. The Act required municipalities to implement source separation programs and to prepare Local Solid Waste Management Plans. Also in 1988, the Department of Environmental Conservation established requirements for the construction and operation of solid waste management facilities, such as landfills. Local governments must meet those requirements before the Department will grant an operating permit.

The 1990 amendments to the Federal Clean Air Act imposed sweeping new mandates on the State. While the cost of complying with the Act is significant, the cost of non-compliance would be equally severe. If the Federal Environmental Protection Agency determines that there is a deficiency in New York's clean air programs, then it must apply sanctions if the deficiency is not corrected within 18 months. Sanctions include the withholding of Federal highway funds and the requirement of a 2-for-1 air pollution emissions offset for new or modified sources of emissions in areas that do not meet Federal air quality standards. The sanction would result in a virtual ban on industrial expansion and would place New York at a severe disadvantage with other states.

New York has taken steps to implement a comprehensive stationary source air permit program, a small business assistance program and programs to reduce vehicular travel and inspect vehicle emission systems — all Federal requirements. In 1997-98, the State began implementing a decentralized vehicle emission testing system mandated by the Federal Clean Air Act. New York's system is more convenient and less costly to motorists than other Federally approved testing systems, while still reducing air pollution. This decentralized and simpler testing program allows motorists to visit their local automotive service stations to have emissions inspections performed. In 2000-01, the new Heavy Duty Inspection and Maintenance Program was fully implemented and is supported by registration fees.

In addition, DEC will also initiate several other actions to aggressively address serious air pollution problems. New regulations are being developed to control the precursors to acid rain from sources within the State and reduce sulfur dioxide emissions by 50 percent beyond the requirements of the Clean Air Act. The aggressive nitrogen oxide control program for ozone, currently in effect only in the summer months, will be extended to an annual basis. DEC has also finalized regulations to adopt the new California law emission vehicle requirements for both light and medium duty vehicles.

The 1986 Environmental Quality Bond Act — commonly known as the State Superfund — made \$1.1 billion available for inactive hazardous waste cleanups, allowing the Department to embark on one of the most ambitious remedial programs in the nation. In response to New York's actions, responsible parties have committed more than \$2.6 billion for the investigation and remediation of identified inactive hazardous waste sites. This is in addition to the \$815 million spent from Bond Act funds to investigate and remediate 902 toxic waste sites. Bond Act funds will be sufficient to support critical activities through the end of the 2000-01 State Fiscal Year.

To maintain New York State's place as a national leader in protecting the environment, Governor Pataki has proposed legislation to refinance and improve the New York State Superfund program, providing \$138 million annually beginning in the 2001-02 State Fiscal Year for three cleanup programs — State Superfund, Voluntary Cleanup, and Oil Spill. This program will be funded equally by the State and industry. Additionally, the legislation provides for technical assistance grants to municipalities to identify and plan for redevelopment of brownfields, tax credits of up to \$41 million to encourage the

ENVIRONMENTAL CONSERVATION

redevelopment of abandoned industrial sites statewide, as well as other targeted tax credits to promote the development and reuse of restored but dormant brownfield sites in Upstate New York. The legislation maintains the most stringent environmental and public health standards in the nation, upholds the "polluters pay" principle and makes common sense reforms that will enable New York State to remove more contamination from the environment and return more sites to productive use safely and quickly.

ENVIRONMENTAL ENFORCEMENT/REGULATION

An additional responsibility the Department of Environmental Conservation undertakes is to enforce and regulate a myriad of activities undertaken by businesses, local governments and individuals which can have an impact on the environment and the State's natural resources.

The Department carries out this responsibility through a variety of regulatory and permitting processes and through ongoing communication with those subject to environmental laws and regulations to ensure that such requirements are understood. The Department's Environmental Conservation Officers work closely with other staff to ensure that environmental laws and regulations are enforced.

Over the last three years, the Department has enhanced and improved its overall regulatory and enforcement capabilities, including the coordination of enforcement and inspection programs at each of its regional offices. The Department will continue to review its regulatory practices to streamline procedures and eliminate unnecessary requirements, while still ensuring that strict environmental standards are maintained.

ALL FUNDS APPROPRIATIONS (dollars)

<u>Category</u>	<u>Available 2000-01</u>	<u>Appropriations Recommended 2001-02</u>	<u>Change</u>	<u>Reappropriations Recommended 2001-02</u>
State Operations	393,758,800	411,005,000	17,246,200	184,451,700
Aid To Localities	11,242,418	4,813,300	(6,429,118)	5,292,500
Capital Projects	600,774,000	643,898,000	43,124,000	4,130,591,000
Total	<u>1,005,775,218</u>	<u>1,059,716,300</u>	<u>53,941,082</u>	<u>4,320,335,200</u>

ENVIRONMENTAL CONSERVATION

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Full-Time Equivalent Positions (FTE)			
<u>Program</u>	<u>2000-01 Estimated FTEs 03/31/01</u>	<u>2001-02 Estimated FTEs 03/31/02</u>	<u>FTE Change</u>
Administration			
General Fund	120	118	(2)
Special Revenue Funds - Federal	183	183	0
Special Revenue Funds - Other	16	16	0
Air and Water Quality Management			
General Fund	155	179	24
Special Revenue Funds - Federal	208	208	0
Special Revenue Funds - Other	390	391	1
Clean Water/Clean Air Administration Program			
Capital Projects Funds - Other	95	40	(55)
Environmental Enforcement			
General Fund	225	203	(22)
Special Revenue Funds - Other	255	270	15
Environment & Recreation			
Capital Projects Funds - Other	0	19	19
Fish, Wildlife and Marine Resources			
General Fund	95	89	(6)
Special Revenue Funds - Federal	91	91	0
Special Revenue Funds - Other	292	292	0
Forest and Land Resources			
General Fund	269	256	(13)
Special Revenue Funds - Federal	14	14	0
Special Revenue Funds - Other	117	117	0
Operations			
General Fund	387	386	(1)
Special Revenue Funds - Other	66	66	0
Rehabilitation and Improvement			
Capital Projects Funds - Other	54	54	0
Solid and Hazardous Waste Management			
General Fund	188	173	(15)
Special Revenue Funds - Federal	82	82	0
Special Revenue Funds - Other	444	464	20
Capital Projects Funds - Federal	32	32	0
Total	<u>3,778</u>	<u>3,743</u>	<u>(35)</u>

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

<u>Fund Type</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>
General Fund	102,681,600	109,040,600	6,359,000
Special Revenue Funds - Federal	79,729,400	94,822,400	15,093,000
Special Revenue Funds - Other	211,317,800	207,107,000	(4,210,800)
Internal Service Funds	30,000	35,000	5,000
Total	<u>393,758,800</u>	<u>411,005,000</u>	<u>17,246,200</u>
Adjustments:			
Transfer(s) From			
Special Pay Bill			
General Fund	(6,807,000)		
Special Revenue Funds - Other	(9,856,000)		
Transfer(s) To			
Environmental Facilities Corporation			
Special Revenue Funds - Other	52,800		
Appropriated 2000-01	<u>377,148,600</u>		

ENVIRONMENTAL CONSERVATION

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

<u>Program</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>
Administration			
General Fund	7,982,400	8,552,000	569,600
Special Revenue Funds - Federal	11,598,000	12,731,700	1,133,700
Special Revenue Funds - Other	2,189,600	2,291,900	102,300
Internal Service Funds	30,000	35,000	5,000
Air and Water Quality Management			
General Fund	11,097,500	12,541,200	1,443,700
Special Revenue Funds - Federal	26,484,000	30,235,400	3,751,400
Special Revenue Funds - Other	65,637,300	68,105,500	2,468,200
Air Resources			
Special Revenue Funds - Federal	10,279,400	9,091,000	(1,188,400)
Construction Management			
Special Revenue Funds - Federal	4,434,800	4,018,600	(416,200)
Environmental Enforcement			
General Fund	20,354,300	19,740,700	(613,600)
Special Revenue Funds - Other	28,004,400	29,074,400	1,070,000
Fish, Wildlife and Marine Resources			
General Fund	7,857,500	8,886,500	1,029,000
Special Revenue Funds - Federal	16,796,100	19,427,700	2,631,600
Special Revenue Funds - Other	28,317,600	28,823,700	506,100
Forest and Land Resources			
General Fund	15,656,700	15,831,100	174,400
Special Revenue Funds - Federal	3,816,500	12,890,200	9,073,700
Special Revenue Funds - Other	18,048,200	18,924,200	876,000
Operations			
General Fund	28,906,100	32,620,000	3,713,900
Special Revenue Funds - Other	12,438,200	11,782,400	(655,800)
Solid and Hazardous Waste Management			
General Fund	10,827,100	10,869,100	42,000
Special Revenue Funds - Federal	6,320,600	6,427,800	107,200
Special Revenue Funds - Other	56,682,500	48,104,900	(8,577,600)
Total	<u>393,758,800</u>	<u>411,005,000</u>	<u>17,246,200</u>

ENVIRONMENTAL CONSERVATION

STATE OPERATIONS - GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2001-02 RECOMMENDED (dollars)

Program	Total Personal Service		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Administration	6,906,800	289,200	6,371,100	270,700
Air and Water Quality Management	11,480,600	1,422,900	11,366,600	1,418,700
Environmental Enforcement	13,074,300	(1,514,400)	10,509,400	(1,337,600)
Fish, Wildlife and Marine Resources	4,657,700	(121,100)	4,430,300	(130,200)
Forest and Land Resources	14,356,700	109,000	12,774,500	46,200
Operations	17,233,100	25,700	16,074,600	(14,400)
Solid and Hazardous Waste Management	10,590,700	440,300	10,380,900	432,300
Total	78,299,900	651,600	71,907,400	685,700

Program	Temporary Service (Nonannual Salaried)		Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change	Amount	Change
Administration	498,700	16,800	37,000	1,700
Air and Water Quality Management	75,900	2,600	38,100	1,600
Environmental Enforcement	0	0	2,564,900	(176,800)
Fish, Wildlife and Marine Resources	197,200	7,900	30,200	1,200
Forest and Land Resources	595,000	20,100	987,200	42,700
Operations	1,063,000	35,900	95,500	4,200
Solid and Hazardous Waste Management	106,700	3,600	103,100	4,400
Total	2,536,500	86,900	3,856,000	(121,000)

STATE OPERATIONS - GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2001-02 RECOMMENDED (dollars)

Program	Total Nonpersonal Service		Supplies and Materials	
	Amount	Change	Amount	Change
Administration	1,645,200	280,400	334,900	30,400
Air and Water Quality Management	1,060,600	20,800	380,000	19,400
Environmental Enforcement	6,666,400	900,800	403,400	38,500
Fish, Wildlife and Marine Resources	4,228,800	1,150,100	750,500	57,400
Forest and Land Resources	1,474,400	65,400	982,600	62,900
Operations	15,386,900	3,688,200	1,804,300	164,800
Solid and Hazardous Waste Management	278,400	(398,300)	49,500	1,700
Total	30,740,700	5,707,400	4,705,200	375,100

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration	36,800	0	1,255,400	250,000
Air and Water Quality Management	83,700	0	437,800	1,400
Environmental Enforcement	486,800	450,000	208,100	900
Fish, Wildlife and Marine Resources	16,600	0	3,136,200	1,217,700
Forest and Land Resources	71,400	0	318,800	2,500
Operations	1,446,300	668,900	11,466,600	2,854,500
Solid and Hazardous Waste Management	20,000	0	207,300	0
Total	2,161,600	1,118,900	17,030,200	4,327,000

Program	Equipment		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration	18,100	0	0	0
Air and Water Quality Management	159,100	0	0	0
Environmental Enforcement	20,500	0	5,547,600	411,400
Fish, Wildlife and Marine Resources	75,500	0	250,000	(125,000)
Forest and Land Resources	101,600	0	0	0
Operations	669,700	0	0	0
Solid and Hazardous Waste Management	1,600	0	0	(400,000)
Total	1,046,100	0	5,797,600	(113,600)

ENVIRONMENTAL CONSERVATION

STATE OPERATIONS - OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 2001-02 RECOMMENDED (dollars)

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Administration	15,058,600	1,241,000	9,576,500	840,400
Air and Water Quality Management	98,340,900	6,219,600	33,602,500	2,405,700
Air Resources	9,091,000	(1,188,400)	5,084,000	184,000
Construction Management	4,018,600	(416,200)	2,596,000	162,000
Environmental Enforcement	29,074,400	1,070,000	17,367,300	233,600
Fish, Wildlife and Marine Resources	48,251,400	3,137,700	20,987,000	(163,400)
Forest and Land Resources	31,814,400	9,949,700	13,017,300	437,100
Operations	11,782,400	(655,800)	2,757,400	83,900
Solid and Hazardous Waste Management	54,532,700	(8,470,400)	18,500,800	(5,151,700)
Total	<u>301,964,400</u>	<u>10,887,200</u>	<u>123,488,800</u>	<u>(968,400)</u>

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration	5,482,100	400,600	0	0
Air and Water Quality Management	38,135,400	3,803,900	26,603,000	10,000
Air Resources	4,007,000	(1,372,400)	0	0
Construction Management	1,422,600	(578,200)	0	0
Environmental Enforcement	11,207,100	836,400	500,000	0
Fish, Wildlife and Marine Resources	24,128,400	3,328,600	3,136,000	(27,500)
Forest and Land Resources	17,797,100	9,632,600	1,000,000	(120,000)
Operations	9,000,000	(741,700)	25,000	2,000
Solid and Hazardous Waste Management	10,977,600	(1,822,200)	25,054,300	(1,496,500)
Total	<u>122,157,300</u>	<u>13,487,600</u>	<u>56,318,300</u>	<u>(1,632,000)</u>

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2000-01	Recommended 2001-02	Change
General Fund	10,442,418	4,813,300	(5,629,118)
Special Revenue Funds - Other	800,000	0	(800,000)
Total	<u>11,242,418</u>	<u>4,813,300</u>	<u>(6,429,118)</u>

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2000-01	Recommended 2001-02	Change
Administration			
General Fund	2,908,800	6,300	(2,902,500)
Air and Water Quality Management			
General Fund	1,157,000	1,057,000	(100,000)
Special Revenue Funds - Other	300,000	0	(300,000)
Fish, Wildlife and Marine Resources			
Special Revenue Funds - Other	500,000	0	(500,000)
Forest and Land Resources			
General Fund	0	3,300,000	3,300,000
Solid and Hazardous Waste Management			
General Fund	1,600,000	450,000	(1,150,000)
Community Projects			
General Fund	4,776,618	0	(4,776,618)
Total	<u>11,242,418</u>	<u>4,813,300</u>	<u>(6,429,118)</u>

ENVIRONMENTAL CONSERVATION

CAPITAL PROJECTS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

<u>Comprehensive Construction Program</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>	<u>Reappropriations 2001-02</u>
Design and Construction Supervision				
Capital Projects Fund	0	0	0	14,000
Marine Resources				
Capital Projects Fund	0	0	0	124,000
Federal Capital Projects Fund	3,850,000	1,450,000	(2,400,000)	6,861,000
Air Resources - EQBA				
Capital Projects Fund - EQBA (Bondable)	0	0	0	16,874,000
Environmental Quality Bond Act Fund				
Environmental Quality Bond Act Fund - 1986	0	0	0	396,427,000
State Air Quality Projects				
Capital Projects Fund - EQBA (Bondable)	0	0	0	1,112,000
Air Quality Projects				
Clean Air Fund	0	0	0	176,000
Water Resources - PWBA				
Capital Projects Fund - PWBA (Bondable)	0	0	0	33,886,000
Solid Waste Management				
Capital Projects Fund	4,025,000	675,000	(3,350,000)	3,250,000
Capital Projects Fund - Advances	0	0	0	2,442,000
Federal Capital Projects Fund	0	0	0	61,247,000
Environmental Protection and Enhancements				
Environmental Protection Fund	10,000,000	0	(10,000,000)	27,378,000
Environment and Recreation				
Environmental Protection Fund	125,000,000	150,000,000	25,000,000	351,835,000
Fish and Wildlife				
Capital Projects Fund	1,200,000	200,000	(1,000,000)	2,408,000
Federal Capital Projects Fund	2,000,000	1,600,000	(400,000)	6,533,000
Hudson River Habitat Restor. Fund	0	0	0	351,000
Lands and Forests				
Capital Projects Fund	1,250,000	2,782,000	1,532,000	16,255,000
Forest Preserve Expansion Fund	0	0	0	142,000
Water Resources - EQBA				
Capital Projects Fund - EQBA (Bondable)	0	0	0	9,734,000
Air Resources				
Capital Projects Fund	500,000	0	(500,000)	3,395,000
Clean Air Fund	3,000,000	3,000,000	0	6,100,000
Administration				
Capital Projects Fund	500,000	500,000	0	1,348,000
Federal Capital Projects Fund	0	0	0	175,000
Enterprise Fund	0	0	0	175,000
Lands and Forests - EQBA				
Capital Projects Fund - EQBA (Bondable)	0	0	0	622,000
Environmental Quality Protection Bond Fund				
Environmental Quality Protection Bond Fund	0	0	0	74,926,000
Clean Water/Clean Air Bond Fund				
Clean Water - Clean Air Bond Fund	0	0	0	1,254,259,000
Pure Waters Bond Fund				
Pure Waters Bond Fund	0	0	0	33,911,000
Recreation				
Capital Projects Fund	150,000	350,000	200,000	1,294,000
Solid Waste Management - EQBA				
Capital Projects Fund - EQBA (Bondable)	0	0	0	37,545,000
Operations				
Capital Projects Fund	10,900,000	17,460,000	6,560,000	23,039,000
Financial Security Fund	0	0	0	1,972,000
Natural Resource Damages Fund	0	0	0	10,486,000
Water Resources				
Capital Projects Fund	52,183,000	38,542,000	(13,641,000)	128,705,000
Capital Projects Fund - Advances	1,275,000	1,305,000	30,000	17,698,000
Federal Capital Projects Fund	216,914,000	148,860,000	(68,054,000)	373,909,000
Air Quality - Clean Water/Clean Air 96				
Capital Projects Fund - 1996 CWA (Bondable)	26,000,000	12,000,000	(14,000,000)	87,327,000

ENVIRONMENTAL CONSERVATION

CAPITAL PROJECTS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

<u>Comprehensive Construction Program</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>	<u>Reappropriations 2001-02</u>
Clean Water - Clean Water/Clean Air 96				
Capital Projects Fund - 1996 CWA (Bondable)	109,500,000	87,582,000	(21,918,000)	482,666,000
Solid Waste - Clean Water/Clean Air 96				
Capital Projects Fund - 1996 CWA (Bondable)	16,500,000	45,000,000	28,500,000	85,832,000
Environmental Restoration - Clean Water/Clean Air 96				
Capital Projects Fund - 1996 CWA (Bondable)	10,000,000	25,000,000	15,000,000	91,444,000
Clean Water - Clean Air Implementation				
Clean Water Clean Air Implementation Fund	6,027,000	2,592,000	(3,435,000)	6,027,000
Solid and Hazardous Waste Management - EQBA 86				
Capital Projects Fund - EQBA 86 (Bondable)	0	0	0	376,402,000
Lands and Forests - EQBA 86				
Capital Projects Fund - EQBA 86 (Bondable)	0	0	0	8,000
Solid and Hazardous Waste Management				
Capital Projects Fund	0	0	0	1,891,000
Capital Projects Fund - Advances	0	0	0	92,386,000
Remedial Program Transfer Fund	0	105,000,000	105,000,000	0
Total	<u>600,774,000</u>	<u>643,898,000</u>	<u>43,124,000</u>	<u>4,130,591,000</u>

ENVIRONMENTAL FACILITIES CORPORATION

MISSION

The Environmental Facilities Corporation is a public benefit corporation established to help local governments, state agencies and private industry comply with State and Federal environmental laws and regulations. The Corporation works with these entities to design, construct, operate and finance air pollution control, drinking water and wastewater treatment, and solid and hazardous waste disposal facilities. The Environmental Facilities Corporation's largest program is the Clean Water State Revolving Fund. This program, administered jointly with the Department of Environmental Conservation, provides reduced-interest rate loans to municipalities to construct water pollution control facilities. The Corporation, in conjunction with the New York State Department of Health, jointly administers the Drinking Water State Revolving Fund, which provides reduced-interest rate loans and grants for community and private water systems to finance safe drinking water projects. Funding for these programs is provided by a Federal grant and a corresponding State match. The State match for the Drinking Water Revolving Fund is provided from the Clean Water/Clean Air Bond Act. Administration of these programs is also supported by fees and interest generated through program operations.

ORGANIZATION AND STAFFING

The Environmental Facilities Corporation is governed by a seven-member Board of Directors who serve without compensation: the Commissioner of Environmental Conservation who serves as the chairman, the Commissioner of Health, the Secretary of State, and four members nominated to six-year terms by the Governor with Senate confirmation.

The Corporation is operated by a President appointed by the Board of Directors and has a workforce of 110, including 12 staff of the Department of Environmental Conservation who are assigned administratively to the Corporation.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The operations of the Environmental Facilities Corporation are funded by:

- Financing fees and annual fees charged to municipalities that receive Revolving Fund loans and a portion of State and Federal grants made for the Clean Water and Drinking Water programs; and
- User fees paid by businesses, municipalities and industrial clients for the Corporation's technical and financial services.

For fiscal year 2001-02, a total of \$8,253,200 is recommended for operations of the Corporation. In addition, State funds are included in the Department of Environmental Conservation and Department of Health's capital budgets for the required match to Federal funding for the Clean Water State Revolving Fund and Drinking Water State Revolving Fund programs.

ENVIRONMENTAL FACILITIES

PROGRAM HIGHLIGHTS

The Environmental Facilities Corporation currently administers six major programs: the two State Revolving Funds, the Industrial Finance Program, Technical Advisory Services, the Clean Water/Clean Air Bond Act's small business environmental compliance assistance programs, and the Pipeline for Jobs Program.

CLEAN WATER STATE REVOLVING FUND

This program was established in 1989 to help municipalities comply with the Federal Clean Water Act by providing low-interest rate loans to build or upgrade water pollution control facilities. Since 1989, the program has received Federal and State appropriations totaling \$1.87 billion and \$374 million, respectively. The State Revolving Fund has made loans totaling \$5.3 billion to 341 municipalities across the State. Interest rates range from as low as zero percent to no more than two-thirds of the market rate. These low-interest rate loans substantially reduce the cost of water pollution control projects for municipal borrowers, making environmental compliance and protection more attainable.

DRINKING WATER STATE REVOLVING FUND PROGRAM

In 1996, Congress enacted Federal legislation authorizing the Drinking Water State Revolving Fund program. This program, modeled after the Clean Water State Revolving Fund program, provides reduced interest rate loans to community and private water systems to finance safe drinking water projects. In cases of financial hardship, funds are also available from the Clean Water/Clean Air Bond Act to make grants for the construction of drinking water facilities. The Drinking Water Program has made loans totaling \$465.5 million and grants totaling \$69.5 million to 121 recipients across the State. Federal funding provided for the Drinking Water State Revolving Fund requires a 20 percent State match. Since 1996, the program has been supported by \$299.5 million in Federal awards, requiring a State match of \$59.9 million. The State's share of the Drinking Water State Revolving Fund is provided from the Clean Water/Clean Air Bond Act.

INDUSTRIAL FINANCE PROGRAM

Since 1976, the Industrial Finance Program has provided more than \$957 million in low-interest rate loans and \$188 million in loan refinancing to businesses and State agencies for environmental improvement projects. Projects eligible for loans include solid waste management facilities, hazardous waste management and water supply and wastewater management facilities. Loans under the program are financed from the proceeds of special obligation revenue bonds issued by the Corporation.

TECHNICAL ADVISORY SERVICES

The Technical Advisory Services Program advises businesses, industrial clients, State agencies and municipalities on pollution prevention, waste management and compliance with environmental laws and regulations. The Corporation also provides fund management and loan and grant servicing to its clients. The Environmental Facilities Corporation addresses such issues as air pollution control; multi-media waste management; inactive hazardous waste site remediation and compliance; water and waste water management; and resource recovery and recycling. This program is funded by fees paid by Corporation clients who contract for these services.

FINANCIAL ASSISTANCE TO BUSINESS

The 1996 Clean Water/Clean Air Bond Act provides \$60 million to assist small businesses in achieving compliance with environmental laws and regulations pertaining to air and water quality. The air and water quality programs are funded at \$30 million each and are used to assist small businesses in targeted sectors to procure new capital equipment necessary to achieve environmental compliance. The Environmental Facilities Corporation will work with village, town and city governments to identify businesses in need of assistance.

PIPELINE FOR JOBS

The Pipeline For Jobs Program was created in 1999 to provide low-cost loans and financial assistance to municipalities, public utilities, public benefit corporations and businesses. The purpose of the program is to encourage and support water supply and cold water supply improvement projects which provide an economic development benefit to the State. Recommendations include \$6.25 million in new appropriations and \$14.5 million in reappropriations for this program.

ALL FUNDS APPROPRIATIONS (dollars)				
<u>Category</u>	<u>Available 2000-01</u>	<u>Appropriations Recommended 2001-02</u>	<u>Change</u>	<u>Reappropriations Recommended 2001-02</u>
State Operations	7,646,600	8,253,200	606,600	0
Aid To Localities	0	0	0	0
Capital Projects	292,000	6,542,000	6,250,000	14,500,000
Total	7,938,600	14,795,200	6,856,600	14,500,000

**ALL FUND TYPES
PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM
FILLED ANNUAL SALARIED POSITIONS**

Full-Time Equivalent Positions (FTE)			
<u>Program</u>	<u>2000-01 Estimated FTEs 03/31/01</u>	<u>2001-02 Estimated FTEs 03/31/02</u>	<u>FTE Change</u>
Administration			
Special Revenue Funds - Other	92	92	0
Clean Water/Clean Air Administration Program			
Capital Projects Funds - Other	6	6	0
Total	98	98	0

ENVIRONMENTAL FACILITIES

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

<u>Fund Type</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>
Special Revenue Funds - Other	7,646,600	8,253,200	606,600
Total	7,646,600	8,253,200	606,600

Adjustments:

Transfer(s) From			
Environmental Conservation, Department of			
Special Revenue Funds - Other	(52,800)		
Special Pay Bill			
Special Revenue Funds - Other	(450,000)		
Appropriated 2000-01	7,143,800		

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

<u>Program</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>
Administration			
Special Revenue Funds - Other	7,646,600	8,253,200	606,600
Total	7,646,600	8,253,200	606,600

STATE OPERATIONS - OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 2001-02 RECOMMENDED (dollars)

<u>Program</u>	<u>Total</u>		<u>Personal Service</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Administration	8,253,200	606,600	5,199,900	152,600
Total	8,253,200	606,600	5,199,900	152,600

<u>Program</u>	<u>Nonpersonal Service</u>	
	<u>Amount</u>	<u>Change</u>
Administration	3,053,300	454,000
Total	3,053,300	454,000

CAPITAL PROJECTS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

<u>Comprehensive Construction Program</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>	<u>Reappropriations 2001-02</u>
Pipeline for Jobs Program				
Capital Projects Fund	0	6,250,000	6,250,000	14,500,000
Clean Water - Clean Air Implementation				
Clean Water Clean Air Implementation Fund	292,000	292,000	0	0
Total	292,000	6,542,000	6,250,000	14,500,000

DEPARTMENT OF HEALTH

MISSION

The Department of Health ensures that high quality, appropriate health services are available to all New York State residents at a reasonable cost. Department functions and responsibilities include:

- Promoting and supervising public health activities throughout the State;
- Ensuring sound and cost-effective quality medical care for all residents; and,
- Reducing infectious diseases such as tuberculosis, measles, mumps and rubella and chronic disabling illnesses such as heart disease, cancer, stroke and respiratory diseases.

The Department of Health is also the principal State agency that interacts with the Federal and local governments, health care providers and program participants for the State's Medicaid program.

ORGANIZATION AND STAFFING

Under the direction of the Commissioner, who is appointed by the Governor, the Department of Health meets its responsibilities through the Office of Medicaid Management, the Office of Managed Care, the centers located in the Office of Public Health, and the Office of Health Systems Management. These entities provide policy and management direction to the Department's system of regional offices. Department staff located in regional offices conduct health facility surveillance, public health monitoring and direct services, and oversee county health department activities.

Additionally, the Department is responsible for five health care facilities that are engaged in advanced medical research and patient care including the Roswell Park Cancer Institute in Buffalo, the Helen Hayes Hospital in West Haverstraw, and three nursing homes for the care of veterans and their dependents in Oxford, New York City and Batavia. In fiscal year 2001-02, construction is expected to be completed on a fourth veterans' nursing home located in Montrose, with an expected opening date in the first half of 2001. In early 1999, responsibility for the operations of the Roswell Park Cancer Institute was transferred to a public benefit corporation, the Roswell Park Cancer Institute Corporation, pursuant to an operating agreement between the Corporation and the Department. This has provided Roswell with the flexibility needed to compete more effectively in a changing health care environment.

In fiscal year 2001-02, the Department of Health will have a workforce of approximately 6,250 positions, with almost 25 percent of those positions employed in the Department's health care facilities. Since 1995-96, the number of non-institutional positions has decreased by approximately 375, reflecting new program initiatives such as the safe drinking water program, newborn screening and the West Nile virus response, offset by the impact of attrition, early retirements, and management efficiencies.

- Approximately 19 percent of these positions are paid exclusively by the General Fund;
- 11 percent are directly supported by fees;
- 53 percent are supported by third party, private patient care and Federal reimbursement; and,
- The remaining 17 percent are directly funded by Federal grants.

MEDICAID

Total Medicaid spending in New York will be approximately \$32.5 billion next year. The 2001-02 Medicaid budget reflects a continued commitment to an effective and

affordable delivery system that promotes quality health care, protects patients, and assures access to appropriate services to meet the health care needs of the State's neediest residents.

Acute Care

State Medicaid spending for hospitals and clinics will reach over \$2 billion in 2001-02. Hospitals will continue to rely on the landmark Health Care Reform Act (HCRA), originally enacted in 1996, as the basis for their funding. HCRA encourages competition in the health care industry by allowing most non-Medicaid payors to negotiate rates with hospitals.

The Health Care Reform Act of 2000 (HCRA 2000) continues, through March 31, 2003, cost containment actions enacted as part of prior year Budgets. In addition, HCRA 2000 continues to provide hospitals and clinics with adequate funding to ensure that quality health care services are accessible and affordable for all New Yorkers.

The 2001-02 budget recommends initiatives to achieve State and local savings through strategies to maximize Federal revenues including additional hospital Intergovernmental Transfers, Upper Payment Limit payments, and pursuing Federal reimbursement for hospital services that were previously provided to State inmates.

Long Term Care

Medicaid costs, which have been controlled in recent years, are beginning to rise at a rate which places an undue burden on State and local governments. New York pays far more than any other state on Medicaid long term care services — nursing home and community-based care — approximately \$3.5 billion in 2001-02. Accordingly, a series of initiatives is proposed to rein in these spiraling costs, including: eliminating inflationary payment increases for nursing homes; eliminating an unnecessary supplemental payment to facilities that have more than 300 beds; eliminating the return on equity payments to proprietary homes, an ineffective incentive given the significant dollars owners of these homes have withdrawn from the system; and, updating the Medicaid nursing home rate methodology to eliminate the existing subsidy State taxpayers provide to nursing homes that serve more costly Medicare and other-payer recipients. This component of the reimbursement methodology is no longer necessary because recent changes in Federal reimbursement policy now fully cover the nursing home's cost of serving these non-Medicaid recipients. Even after these actions, New York will still spend far more on long term care services than any other state.

Progress continues to be made in implementing the provisions of the Long-Term Care Integration and Finance Act of 1997. Continuing Care Retirement Communities are providing the elderly with more affordable and accessible options for comprehensive, independent living arrangements that include a wide array of home care, nursing care and other medical services. The rapidly growing Managed Long Term Care program is offering a broader and more integrated continuum of long term care service options.

In addition, the Partnership for Long Term Care is providing long term care insurance that protects individuals from having to spend down their assets to qualify for Medicaid in the event of a lengthy illness. This innovative Partnership, used in three other states, now represents a significant portion of New York's long term care insurance market.

Managed Care

The 2001-02 Budget reflects the continued mandatory enrollment of Medicaid recipients in managed care. Federal approval of New York's 1115 managed care waiver in July 1997 set the stage for mandatory enrollment to commence in October 1997. To date, sections of New York City and thirteen upstate counties — Albany, Broome, Columbia,

Erie, Greene, Monroe, Niagara, Onondaga, Ontario, Oswego, Rensselaer, Saratoga and Westchester — are operating mandatory managed care programs. New York City will continue to phase in managed care, by zip code, in five phases, one every four months, beginning in the last quarter of fiscal year 2000-01. The remainder of the State will also phase in at four-month intervals subject to Federal approval.

Managed Care enrollment is projected to reach approximately 796,000 by the end of 2000-01 and 1.1 million by the end of 2001-02. When fully implemented, approximately three-quarters of all Medicaid recipients are expected to be enrolled in mandatory managed care. As a result of existing managed care enrollment, New York State continues to have increased primary care use, lower emergency room use, and fewer inpatient days.

The State's Medicaid Managed Care program assures that the neediest people receive high quality, accessible health care. Legislation passed in 2000 extends the mandatory Medicaid managed care program until December 31, 2003 and the authorization for the State to certify special managed care plans to provide comprehensive services to individuals infected with the HIV virus. These Special Needs Plans will begin operation in 2001-02. Start-up grant funding will be provided to facilitate implementation of these plans. Medicaid Managed Care also incorporates a comprehensive set of consumer protections to ensure that recipients obtain enrollment assistance and quality care, and understand their rights and responsibilities under managed care plans.

Medicaid Administration

The Department of Health is responsible for overall management of the Medicaid program including the State's interaction with Federal and local governments, health care providers and Medicaid recipients. Counties will continue their role in making Medicaid eligibility determinations and contracting with providers of Medicaid services. Payments to health care providers also continue to be made through the State's computerized Medicaid Management Information System (MMIS) which is operated by a private agency with oversight by State personnel. The Department has obtained management and development services for a Replacement Medicaid System (RMS) that will replace both MMIS and the Electronic Medicaid Eligibility Verification System (EMEVS) with an integrated claims processing system. RMS will provide updated technologies and bring New York State into compliance with new Federal reporting requirements. In addition, the new system will substantially enhance front-end detection of Medicaid fraud.

Moreover, an independent Pharmacy and Therapeutics Committee will be established to help ensure the safe, cost effective and appropriate use of pharmaceuticals in the Medicaid program, as well as in the Elderly Pharmaceutical Insurance Coverage (EPIC) and AIDS Drug Assistance programs. The 2001-02 Budget also adds 25 new audit staff within the Department of Health to bolster the State's anti-fraud efforts.

CHILD HEALTH PLUS

New York's Child Health Plus program continues to set a national standard for children's health insurance coverage for children up to age 19. The Child Health Plus program was significantly expanded with passage of legislation under Governor Pataki's leadership in both 1996 and 1998, and resulted in a dramatic increase in enrollment to 530,000. Under Governor Pataki, the program has expanded to include comprehensive health benefits, Medicaid coverage for 15 to 19 year olds and, increased eligibility to 250 percent of the Federal Poverty Level. The 2001-02 budget proposes extending the Child Health Plus program to June 30, 2003.

When eligible Federal funds are combined with State Health Care Reform Act (HCRA) moneys, the Child Health Plus programs will provide comprehensive coverage to virtually all eligible children in the State.

OTHER PUBLIC HEALTH PROGRAMS

Excluding Medicaid program costs, General Fund appropriations finance 52 percent of the Department of Health's budget in 2001-02.

Other revenue, including 1) reimbursement for patient care provided at the Department's health care facilities; 2) regulatory fees and audit recoveries; 3) management fees for hospital and nursing home construction projects financed through bond proceeds; and, 4) registration, testing and certification fees for various public health services, support 15 percent of the Department of Health's budget, including the Professional Medical Conduct Program, clinical and environmental laboratory certification activities, and health care facilities' operating costs. The remaining 33 percent is provided by Federal grants and Fiduciary and Enterprise funds.

Capital Projects appropriations preserve and maintain the Department's hospitals, nursing homes, and the three separate laboratory facilities in Albany County which constitute the Wadsworth Center for Laboratories and Research. The costs of projects at the health care facilities are funded from facility revenues and/or the General Fund.

This overall recommendation ensures that public health priorities are preserved. As such, the 2001-02 Budget:

- Adds new support totaling \$5.8 million for recently enacted legislation such as the Health Information and Quality Improvement Act — also known as Physician Profiling, and the Syringe Access Demonstration program.
- Adds \$5 million to implement the Newborn Hearing Program and continues funding of \$5 million to expand New York's newborn screening program to keep pace with advances in science. Genetic and other medical research is making it possible to diagnose an increasing number of treatable, yet potentially life threatening, disorders.
- Provides \$3.7 million in State funding to support the immunization of underinsured children with a new pneumonia vaccine recommended by the Federal government for children under 59 months of age.
- Increases long term care surveillance field staff to the highest level in the Department's history to provide more on-site inspections and investigative capacity for this function.
- Includes \$90 million for the Roswell Park Cancer Institute Corporation, \$60 million from HCRA and \$30 million from the General Fund.
- Sustains the State's commitment to fighting the AIDS epidemic by continuing statewide spending at more than \$2.2 billion, including \$107.2 million to be spent by the AIDS Institute. Emphasis will continue to be placed on prevention and specialized services which target resources to populations with the greatest risk of infection.
- Provides \$360 million in support for the Elderly Pharmaceutical Insurance Coverage program (EPIC) to ensure that more than 200,000 senior citizens receive crucial prescription insurance.
- Includes approximately \$216.8 million to reimburse counties and New York City for providing public health services such as childhood immunizations, primary health care, and control of communicable diseases such as tuberculosis and sexually-transmitted diseases, as well as West Nile Virus — an emerging public health concern. The West Nile Virus caused an increase in spending of 13 percent to fund counties' activities in the areas of epidemiology, surveillance and laboratory testing to address this outbreak.
- Continues support of \$44.5 million, funded largely from HCRA, for an enhanced anti-smoking program including counter advertising, community and school-based education programs, cancer mapping and strict enforcement of laws regulating the sale and use of tobacco products.

- Supports the optional State supplementation of the Federal nutrition assistance program for women, infants and children (WIC) with \$28 million. New York continues to be one of only thirteen states to augment Federal nutrition funds.
- Promotes program efficiencies and Medicaid revenue maximization in the \$155 million Early Intervention program serving infants and toddlers under the age of three who have developmental delays.
- Continues funding of \$14 million from all sources for programs to promote sexual abstinence among adolescents, including \$7.7 million suballocated from the Office of Children and Family Services.
- Enhances the Department's oversight of drinking water program operations and grants to support local safe drinking water activities by providing \$11 million, an increase of \$5 million from current year spending.

PROGRAM HIGHLIGHTS

MEDICAID

Originally established in 1965 by the Federal government as a health insurance program for the poor, New York's Medicaid program costs totaled \$30.8 billion in 2000-01, and provides coverage to approximately 3.1 million New Yorkers. Cost containment and revenue maximization initiatives have resulted in the State's 2000-01 Medicaid spending being held to nearly 1994-95 levels. In addition to the federally-mandated services — inpatient and outpatient hospital care, nursing facility care, physician services, home health care, family planning, laboratory and x-ray services — New York also provides almost all federally permissible optional services.

Traditionally, the Federal government has paid for 50 percent of the State's Medicaid program. The State pays about 35 percent of the total costs and counties pay about 15 percent. The Federal government has matched, on an unlimited basis, each State dollar expended on Medicaid.

ACCESS TO QUALITY HEALTH CARE

The Department develops and implements programs to ensure that residents of New York have access to high quality health care. Over the last four years, significant legislation has been enacted that will enhance the availability of appropriate care to all New Yorkers:

- The landmark Health Care Reform Act of 1996 deregulated inpatient hospital reimbursement and introduced a market-based system which allows commercial insurers, managed care entities and self-insured plans to establish rates of payment directly through negotiations with hospitals and without State intervention. The Health Care Reform Act of 2000, enacted in 1999, retains the State's commitment to ensuring that the hospital system adapts to the changing health care environment. In addition, HCRA 2000 provides comprehensive new programs for the uninsured, including Family Health Plus and Healthy New York.
- Pioneering consumer managed care legislation enacted in 1996 — the Managed Care Bill of Rights — ensures that consumers will be appropriately informed about managed care choices and benefits, and guarantees that providers can discuss all appropriate health care options.
- Enhanced consumer protections were added in External Review legislation enacted in 1998 which provide health plan enrollees the opportunity to request an external appeal when coverage of health care services is denied on the grounds that the service is not medically necessary, or that it is experimental or investigational.

HEALTH

- The Long-Term Care Integration and Finance Act of 1997 authorizes various managed long-term care models for evaluation, expands service options, increases available financing streams for long-term care, and ensures the tax deductibility of long-term care insurance.
- The Health Information and Quality Improvement Act was enacted in 2000 to ensure that all New Yorkers have the information they need to make informed health care decisions. The bill requires New York to develop a database, which will be accessible to the public, containing profiles of all health care professionals and to create a Patient Safety Center to develop strategies and establish best practices to maximize patient safety and reduce medical errors.

In addition, the 2001-02 Executive Budget advances legislation to expand Medicaid eligibility to both cover the cost of treatment for low income women diagnosed with breast and cervical cancer through the Center for Disease Control's national screening program, and to provide low-income, working disabled individuals with access to comprehensive quality health care.

PUBLIC HEALTH

Efforts such as education, research and prevention of injuries and disease are aimed at improving the health of all New Yorkers. Particular focus is placed on nutrition, prenatal and perinatal care, child health, treatment and control of sexually transmitted diseases and tuberculosis, childhood immunization, the health risks of environmental contaminants, drinking water purity, and follow-up investigations of disease outbreak. In addition, the Department serves as primary liaison with local and county health departments to ensure the quality of public health services throughout New York State.

The Wadsworth Center for Laboratories and Research assists the Department in accomplishing its public health mission. Screening programs conducted by the Center annually report nearly three million test results to providers. These programs encompass such public health concerns as HIV, tuberculosis, and genetic disorders in newborns. Ongoing research, largely funded by external grants, is carried out for public health problems such as AIDS, Lyme disease, cancer and the toxic effects of chemical substances and radiation. In addition, the Wadsworth Center regulates over 800 environmental laboratories and over 1,800 clinical laboratories and blood banks to ensure testing quality, and the public's health and safety. The Wadsworth Center and DOH's Center for Community Health have played key roles in managing the State's response to, and containment of, West Nile disease, which was first identified in New York in 1999.

A COMMITMENT TO AIDS RESEARCH AND PREVENTION

The Department develops and funds HIV prevention and health care programs, educates the public and health care providers, formulates policy and directs regional and statewide HIV/AIDS planning. New York remains a leader in combating this complex epidemic by responding to changes in incidence with even greater attention to population-based programming, long term care and policies designed to reduce discrimination and guarantee basic medical care and treatment for the uninsured. New York State will spend \$2.2 billion to combat HIV/AIDS next year.

HEALTH SYSTEMS MANAGEMENT

The Department assures that quality health care is available to all New York residents by overseeing the services provided by hospitals, nursing homes, diagnostic and treatment centers and home care providers. The Department strives to ensure that limited health care dollars are prudently spent through its reimbursement rate methodologies, rate setting,

and review and monitoring of health facility plans for construction and expansion. Federal reimbursement for surveillance and certification, and fees for overseeing facilities to assure that bonded debt is repaid, help to offset program costs.

**ALL FUNDS
APPROPRIATIONS
(dollars)**

Category	Available 2000-01	Appropriations Recommended 2001-02	Change	Reappropriations Recommended 2001-02
State Operations	3,834,303,600	3,975,558,000	141,254,400	4,887,766,200
Aid To Localities	25,203,942,493	27,585,333,000	2,381,390,507	17,086,396,700
Capital Projects	<u>120,077,000</u>	<u>115,259,000</u>	<u>(4,818,000)</u>	<u>208,352,000</u>
Total	<u><u>29,158,323,093</u></u>	<u><u>31,676,150,000</u></u>	<u><u>2,517,826,907</u></u>	<u><u>22,182,514,900</u></u>

**ALL FUND TYPES
PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM
FILLED ANNUAL SALARIED POSITIONS**

Full-Time Equivalent Positions (FTE)			
<u>Program</u>	<u>2000-01 Estimated FTEs 03/31/01</u>	<u>2001-02 Estimated FTEs 03/31/02</u>	<u>FTE Change</u>
Administration and Executive Direction			
General Fund	148	126	(22)
Special Revenue Funds - Federal	144	144	0
Special Revenue Funds - Other	228	268	40
AIDS Institute			
General Fund	198	194	(4)
Special Revenue Funds - Other	20	20	0
Child Health Insurance			
Special Revenue Funds - Other	42	42	0
Community Health			
General Fund	90	82	(8)
Special Revenue Funds - Federal	569	569	0
Office of Continuing Care			
General Fund	396	406	10
Special Revenue Funds - Other	2	2	0
Elderly Pharmaceutical Insurance Coverage			
General Fund	28	28	0
Environmental Health			
General Fund	135	131	(4)
Special Revenue Funds - Federal	117	117	0
Special Revenue Funds - Other	175	175	0
Health Care Financing			
General Fund	74	74	0
Special Revenue Funds - Other	55	55	0
Health Care Standards and Surveillance			
General Fund	302	302	0
Special Revenue Funds - Other	234	234	0
Health Services			
Enterprise Funds	12	12	0
Institution Management			
Special Revenue Funds - Other	1,569	1,569	0
Laboratories and Research			
General Fund	409	409	0
Special Revenue Funds - Federal	97	97	0
Special Revenue Funds - Other	152	152	0
Managed Care			
General Fund	152	152	0
Special Revenue Funds - Other	3	3	0
Medicaid Audit and Fraud Prevention			
General Fund	226	239	13
Special Revenue Funds - Federal	231	243	12
Special Revenue Funds - Other	27	27	0
Office of Medicaid Management			
General Fund	382	382	0
Special Revenue Funds - Other	5	5	0
Total	<u>6,222</u>	<u>6,259</u>	<u>37</u>

**STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS
(dollars)**

<u>Fund Type</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>
General Fund	206,406,800	227,995,000	21,588,200
Special Revenue Funds - Federal	3,275,044,400	3,392,061,000	117,016,600
Special Revenue Funds - Other	350,117,400	351,527,000	1,409,600
Enterprise Funds	10,000	10,000	0
Fiduciary Funds	<u>2,725,000</u>	<u>3,965,000</u>	<u>1,240,000</u>
Total	3,834,303,600	<u>3,975,558,000</u>	<u>141,254,400</u>
Adjustments:			
Transfer(s) From			
Health, Department of			
General Fund (Aid To Localities)	(3,000,000)		
Special Revenue Funds - Other	(5,651,800)		
Special Pay Bill			
General Fund	(12,601,000)		
Special Revenue Funds - Federal	(4,662,000)		
Special Revenue Funds - Other	(10,974,000)		
Transfer(s) To			
Helen Hayes Hospital			
Special Revenue Funds - Other	2,897,100		
New York City Veterans Home			
Special Revenue Funds - Other	1,078,800		
New York State Home for Veterans and Their Dependents			
Special Revenue Funds - Other	1,124,800		
Western New York Veterans Home			
Special Revenue Funds - Other	<u>551,100</u>		
Appropriated 2000-01	<u>3,803,066,600</u>		

**STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

<u>Program</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>
Administration and Executive Direction			
General Fund	18,758,400	17,291,000	(1,467,400)
Special Revenue Funds - Federal	14,464,000	12,839,000	(1,625,000)
Special Revenue Funds - Other	24,877,600	29,917,000	5,039,400
AIDS Institute			
General Fund	16,838,700	16,851,000	12,300
Special Revenue Funds - Other	2,062,200	2,136,000	73,800
Child Health Insurance			
Special Revenue Funds - Federal	13,400,000	30,280,000	16,880,000
Special Revenue Funds - Other	11,251,600	11,680,000	428,400
Community Health			
General Fund	9,442,700	9,797,000	354,300
Special Revenue Funds - Federal	103,759,700	98,268,000	(5,491,700)
Special Revenue Funds - Other	5,596,800	5,554,000	(42,800)
Fiduciary Funds	0	1,000,000	1,000,000
Office of Continuing Care			
General Fund	22,477,400	23,902,000	1,424,600
Special Revenue Funds - Federal	12,778,000	61,000	(12,717,000)
Special Revenue Funds - Other	3,632,700	5,626,000	1,993,300
Fiduciary Funds	400,000	40,000	(360,000)
Elderly Pharmaceutical Insurance Coverage			
General Fund	12,320,600	11,039,000	(1,281,600)
Environmental Health			
General Fund	9,797,000	10,204,000	407,000
Special Revenue Funds - Federal	13,255,300	11,105,000	(2,150,300)
Special Revenue Funds - Other	13,819,200	14,290,000	470,800
Health Care Financing			
General Fund	5,934,800	5,575,000	(359,800)
Special Revenue Funds - Other	5,782,700	6,145,000	362,300
Health Care Standards and Surveillance			
General Fund	26,876,000	30,319,000	3,443,000
Special Revenue Funds - Other	42,022,300	43,372,000	1,349,700
Institution Management			
Special Revenue Funds - Other	111,707,000	102,860,000	(8,847,000)
Enterprise Funds	10,000	10,000	0
Fiduciary Funds	325,000	325,000	0
Laboratories and Research			
General Fund	35,604,800	35,867,000	262,200
Special Revenue Funds - Federal	7,962,200	7,932,000	(30,200)
Special Revenue Funds - Other	28,777,300	29,359,000	581,700
Fiduciary Funds	2,000,000	2,600,000	600,000
Maintenance Undistributed			
General Fund	(79,338,000)	(78,338,000)	1,000,000
Special Revenue Funds - Other	78,338,000	78,338,000	0
Managed Care			
General Fund	14,098,300	13,234,000	(864,300)
Medicaid Audit and Fraud Prevention			
General Fund	18,303,700	18,977,000	673,300
Special Revenue Funds - Federal	34,563,500	36,566,000	2,002,500
Special Revenue Funds - Other	14,500,000	14,500,000	0
Office of Medicaid Management			
General Fund	46,616,400	52,511,000	5,894,600
Special Revenue Funds - Federal	3,007,350,700	3,127,500,000	120,149,300
Special Revenue Funds - Other	7,750,000	7,750,000	0
Medicaid Management Information System			
General Fund	48,676,000	60,766,000	12,090,000
Special Revenue Funds - Federal	67,511,000	67,510,000	(1,000)
Total	<u>3,834,303,600</u>	<u>3,975,558,000</u>	<u>141,254,400</u>

**STATE OPERATIONS - GENERAL AND OFFSET FUNDS
SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES
2001-02 RECOMMENDED
(dollars)**

Program	Total Personal Service		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Administration and Executive Direction	7,891,000	(502,200)	7,663,000	(502,200)
AIDS Institute	10,389,000	(237,900)	10,374,000	(237,900)
Community Health	4,667,000	227,200	4,581,400	220,400
Office of Continuing Care	21,877,000	1,199,600	21,677,000	999,600
Elderly Pharmaceutical Insurance Coverage	1,539,000	421,400	1,534,000	417,400
Environmental Health	8,122,000	1,761,200	7,924,200	1,760,200
Health Care Financing	4,707,000	(359,500)	4,675,000	(359,500)
Health Care Standards and Surveillance	16,706,000	976,700	16,536,000	976,700
Laboratories and Research	21,121,000	(353,700)	20,799,500	(353,700)
Managed Care	8,533,000	(889,300)	8,533,000	(889,300)
Medicaid Audit and Fraud Prevention	14,293,000	672,900	14,293,000	769,900
Office of Medicaid Management	21,353,000	(1,572,000)	21,133,000	(1,572,000)
Total	141,198,000	1,344,400	139,723,100	1,229,600

Program	Temporary Service (Nonannual Salaried)		Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change	Amount	Change
Administration and Executive Direction	125,000	0	103,000	0
AIDS Institute	0	0	15,000	0
Community Health	65,000	5,000	20,600	1,800
Office of Continuing Care	100,000	100,000	100,000	100,000
Elderly Pharmaceutical Insurance Coverage	0	0	5,000	4,000
Environmental Health	186,300	0	11,500	1,000
Health Care Financing	0	0	32,000	0
Health Care Standards and Surveillance	20,000	0	150,000	0
Laboratories and Research	64,200	0	257,300	0
Managed Care	0	0	0	0
Medicaid Audit and Fraud Prevention	0	(48,500)	0	(48,500)
Office of Medicaid Management	70,000	0	150,000	0
Total	630,500	56,500	844,400	58,300

**STATE OPERATIONS - GENERAL AND OFFSET FUNDS
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
2001-02 RECOMMENDED
(dollars)**

Program	Total Nonpersonal Service		Supplies and Materials	
	Amount	Change	Amount	Change
Administration and Executive Direction	9,400,000	(965,200)	740,000	0
AIDS Institute	6,462,000	250,200	1,500,900	0
Community Health	5,130,000	127,100	1,473,900	354,100
Office of Continuing Care	2,025,000	225,000	108,200	0
Elderly Pharmaceutical Insurance Coverage	9,500,000	(1,703,000)	22,000	3,400
Environmental Health	2,082,000	(1,354,200)	158,600	25,000
Health Care Financing	868,000	(300)	12,000	(200)
Health Care Standards and Surveillance	13,613,000	2,466,300	241,300	199,900
Laboratories and Research	14,746,000	615,900	3,209,900	62,200
Managed Care	4,701,000	25,000	0	(122,100)
Medicaid Audit and Fraud Prevention	4,684,000	400	85,300	0
Office of Medicaid Management	31,158,000	7,466,600	269,000	177,680
Medicaid Management Information System	60,766,000	12,090,000	0	0
Total	165,135,000	19,243,800	7,821,100	699,980

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration and Executive Direction	262,200	0	8,346,800	3,234,800
AIDS Institute	310,700	200	3,709,500	0
Community Health	139,500	(27,000)	3,478,200	(200,000)
Office of Continuing Care	766,900	0	1,102,400	725,000
Elderly Pharmaceutical Insurance Coverage	30,000	20,300	9,372,000	3,771,200
Environmental Health	414,900	40,500	1,367,900	45,300
Health Care Financing	38,300	(100)	807,000	3,000
Health Care Standards and Surveillance	480,400	100,000	7,265,800	500,000
Laboratories and Research	76,000	0	6,105,600	553,700
Managed Care	0	(292,000)	4,701,000	839,600
Medicaid Audit and Fraud Prevention	180,000	0	2,743,200	100,400
Office of Medicaid Management	256,000	(64,800)	3,622,000	(137,480)
Medicaid Management Information System	0	0	60,766,000	12,090,000
Total	2,954,900	(222,900)	113,387,400	21,525,520

Program	Equipment		General State Charges	
	Amount	Change	Amount	Change
Administration and Executive Direction	51,000	0	0	0
AIDS Institute	240,900	0	0	0
Community Health	38,400	0	0	0
Office of Continuing Care	47,500	0	0	0
Elderly Pharmaceutical Insurance Coverage	5,000	1,500	0	0
Environmental Health	140,600	35,000	0	0
Health Care Financing	10,700	(3,000)	0	0
Health Care Standards and Surveillance	159,500	12,100	0	(811,700)
Laboratories and Research	354,500	0	0	0
Managed Care	0	(400,500)	0	0
Medicaid Audit and Fraud Prevention	526,500	(100,000)	0	0
Office of Medicaid Management	260,000	191,200	0	0
Total	1,834,600	(263,700)	0	(811,700)

STATE OPERATIONS - GENERAL AND OFFSET FUNDS
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
2001-02 RECOMMENDED
(dollars)

Program	Maintenance Undistributed	
	Amount	Change
Administration and Executive Direction	0	(4,200,000)
AIDS Institute	700,000	250,000
Office of Continuing Care	0	(500,000)
Elderly Pharmaceutical Insurance Coverage	71,000	(5,499,400)
Environmental Health	0	(1,500,000)
Health Care Standards and Surveillance	5,466,000	2,466,000
Laboratories and Research	5,000,000	0
Medicaid Audit and Fraud Prevention	1,149,000	0
Office of Medicaid Management	26,751,000	7,300,000
Total	39,137,000	(1,683,400)

STATE OPERATIONS - OTHER THAN GENERAL FUND AND OFFSET FUNDS
SUMMARY OF APPROPRIATIONS AND CHANGES
2001-02 RECOMMENDED
(dollars)

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Administration and Executive Direction	42,756,000	3,414,400	16,326,000	1,928,600
AIDS Institute	2,136,000	73,800	842,000	9,100
Child Health Insurance	41,960,000	17,308,400	2,336,000	(54,700)
Community Health	104,822,000	(4,534,500)	0	(27,200)
Office of Continuing Care	5,727,000	(11,083,700)	133,000	(2,500)
Environmental Health	25,395,000	(1,679,500)	4,769,000	274,900
Health Care Financing	6,145,000	362,300	3,671,000	439,000
Health Care Standards and Surveillance	43,372,000	1,349,700	12,522,000	(341,400)
Institution Management	91,028,000	(18,514,000)	0	0
Laboratories and Research	39,891,000	1,151,500	9,415,000	225,600
Medicaid Audit and Fraud Prevention	51,066,000	2,002,500	0	0
Office of Medicaid Management	3,135,250,000	120,149,300	0	0
Medicaid Management Information System	67,510,000	(1,000)	0	0
Total	3,657,058,000	109,999,200	50,014,000	2,451,400

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration and Executive Direction	11,938,000	1,457,800	14,492,000	28,000
AIDS Institute	1,294,000	64,700	0	0
Child Health Insurance	9,344,000	483,100	30,280,000	16,880,000
Community Health	100,000	(16,200)	104,722,000	(4,491,100)
Office of Continuing Care	3,393,000	(104,200)	2,201,000	(10,977,000)
Environmental Health	9,371,000	195,900	11,255,000	(2,150,300)
Health Care Financing	2,474,000	423,300	0	(500,000)
Health Care Standards and Surveillance	12,260,000	660,300	18,590,000	1,030,800
Institution Management	0	0	91,028,000	(18,514,000)
Laboratories and Research	11,444,000	356,100	19,032,000	569,800
Medicaid Audit and Fraud Prevention	10,000,000	0	41,066,000	2,002,500
Office of Medicaid Management	0	0	3,135,250,000	120,149,300
Medicaid Management Information System	0	0	67,510,000	(1,000)
Total	71,618,000	3,520,800	3,535,426,000	104,027,000

HEALTH

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS
(dollars)**

<u>Fund Type</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>
General Fund	6,320,167,893	6,820,080,000	499,912,107
Special Revenue Funds - Federal	16,559,793,500	18,113,784,000	1,553,990,500
Special Revenue Funds - Other	1,673,981,100	1,801,469,000	127,487,900
Fiduciary Funds	<u>650,000,000</u>	<u>850,000,000</u>	<u>200,000,000</u>
Total	25,203,942,493	<u>27,585,333,000</u>	<u>2,381,390,507</u>
Adjustments:			
Transfer(s) To			
Health, Department of			
General Fund (State Operations)		<u>3,000,000</u>	
Appropriated 2000-01		<u>25,206,942,493</u>	

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

<u>Program</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>
Administration and Executive Direction			
General Fund	869,300	869,000	(300)
AIDS Institute			
General Fund	61,556,700	53,656,000	(7,900,700)
Special Revenue Funds - Other	34,595,000	34,597,000	2,000
Child Health Insurance			
Special Revenue Funds - Federal	291,600,000	726,720,000	435,120,000
Special Revenue Funds - Other	240,000,000	280,320,000	40,320,000
Community Health			
General Fund	393,356,500	461,588,000	68,231,500
Special Revenue Funds - Federal	584,097,900	569,686,000	(14,411,900)
Special Revenue Funds - Other	36,578,100	36,614,000	35,900
Office of Continuing Care			
General Fund	11,342,200	7,342,000	(4,000,200)
Special Revenue Funds - Federal	0	315,000	315,000
Elderly Pharmaceutical Insurance Coverage			
General Fund	252,200,000	360,400,000	108,200,000
Environmental Health			
General Fund	1,500,000	6,000,000	4,500,000
Special Revenue Funds - Federal	2,810,800	2,208,000	(602,800)
Special Revenue Funds - Other	200,000	200,000	0
Health Care Standards and Surveillance			
General Fund	10,284,900	9,535,000	(749,900)
Institution Management			
General Fund	0	30,000,000	30,000,000
Laboratories and Research			
General Fund	1,071,000	1,071,000	0
Special Revenue Funds - Federal	2,134,800	2,105,000	(29,800)
Maintenance Undistributed			
General Fund	(209,608,000)	(272,338,000)	(62,730,000)
Special Revenue Funds - Other	209,608,000	272,338,000	62,730,000
Medical Assistance			
General Fund	5,694,300,000	6,083,400,000	389,100,000
Special Revenue Funds - Federal	15,300,500,000	16,424,000,000	1,123,500,000
Special Revenue Funds - Other	1,153,000,000	1,177,400,000	24,400,000
Fiduciary Funds	650,000,000	850,000,000	200,000,000
Medical Assistance Administration			
General Fund	87,750,000	78,557,000	(9,193,000)
Special Revenue Funds - Federal	378,650,000	388,750,000	10,100,000
Community Projects			
General Fund	15,545,293	0	(15,545,293)
Total	<u>25,203,942,493</u>	<u>27,585,333,000</u>	<u>2,381,390,507</u>

HEALTH

**CAPITAL PROJECTS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

<u>Comprehensive Construction Program</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>	<u>Reappropriations 2001-02</u>
Design and Construction Supervision				
Capital Projects Fund	0	0	0	1,503,000
Rehabilitation And Improvements				
Capital Projects Fund	0	0	0	2,461,000
Laboratories and Research				
Capital Projects Fund	4,000,000	4,000,000	0	8,852,000
Maintenance and Improvements of Existing Institutions				
Capital Projects Fund	7,600,000	7,600,000	0	15,013,000
New Institution Construction				
Capital Projects Fund - Advances	0	0	0	26,500,000
Department of Health Facilities Capital Improvemt Fund	0	0	0	7,932,000
Water Resources				
Federal Capital Projects Fund	48,477,000	53,659,000	5,182,000	136,091,000
Safe Drinking Water - Clean Water/Clean Air 96				
Capital Projects Fund - 1996 CWA (Bondable)	<u>60,000,000</u>	<u>50,000,000</u>	<u>(10,000,000)</u>	<u>10,000,000</u>
Total	<u>120,077,000</u>	<u>115,259,000</u>	<u>(4,818,000)</u>	<u>208,352,000</u>

HUDSON RIVER PARK TRUST

MISSION

The Hudson River Park Trust (HRPT) is a public benefit corporation established in 1998 to design, develop, and maintain the 550 acre Hudson River Park, which will extend five miles along the Hudson River waterfront from Battery Park to 59th Street. The Trust is governed by a 13 member board: 5 members appointed by the Governor, 5 by the Mayor of New York City, and 3 by the Manhattan Borough President.

ORGANIZATION AND STAFFING

The Trust is headed by a President and Chief Executive Officer who is appointed by the Board. For 2001-02, the Trust will have a workforce of 24 permanent staff, 20 summer interns for seasonal programs, and four part-time interns.

FISCAL BACKGROUND

A recommended overall resource level of \$40 million in new appropriations, in concert with \$76 million in reappropriations, will fund all Trust capital costs in 2001-02 associated with the planning, design and construction of Park projects. Specifically, 2001-02 Budget recommendations provide \$20 million for Park development from the Environmental Protection Fund within the budget for the Department of Environmental Conservation, and a State-funded advance appropriation of \$20 million, which will be repaid by New York City as part of its share of the Park's initial development costs. All Trust administrative activities, including operating costs, are paid directly from a portion of the commercial lease payments and other revenues generated by businesses and activities conducted on the Park property. These receipts are deposited directly to the Trust.

PROGRAM HIGHLIGHTS

During 2001-02, the Trust will continue to refine the framework and scope of the Hudson River Park, work with the Project Manager to complete both design and construction segments of the park, develop detailed cost estimates, explore alternative sources of funding and continue to oversee capital projects to build the Park.

ALL FUNDS APPROPRIATIONS (dollars)				
Category	Available 2000-01	Appropriations Recommended 2001-02	Change	Reappropriations Recommended 2001-02
State Operations	0	0	0	0
Aid To Localities	0	0	0	0
Capital Projects	65,000,000	20,000,000	(45,000,000)	52,000,000
Total	65,000,000	20,000,000	(45,000,000)	52,000,000

HUDSON RIVER PARK TRUST

**CAPITAL PROJECTS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

<u>Comprehensive Construction Program</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>	<u>Reappropriations 2001-02</u>
Regional Development				
Capital Projects Fund - Advances	52,000,000	20,000,000	(32,000,000)	52,000,000
Hudson River Park Fund	13,000,000	0	(13,000,000)	0
Total	<u>65,000,000</u>	<u>20,000,000</u>	<u>(45,000,000)</u>	<u>52,000,000</u>

DIVISION OF HUMAN RIGHTS

MISSION

New York State holds the proud distinction of being the first state to enact a Human Rights Law, affording every citizen an equal opportunity to enjoy a full and productive life. Discriminating against others on the grounds of their race, creed, color, sex, age, national origin, disability, genetic predisposition and carrier status, or other specified classes is illegal in the State of New York.

The New York State Division of Human Rights serves as the administrative arm of the law, with its primary mission being the enforcement and protection of human rights. Programs of the Division of Human Rights focus on ensuring equal opportunity in employment, housing, public accommodation, education and credit. The Division:

- Investigates and resolves complaints of illegal discrimination; and
- Promotes human rights awareness through education and acts as a resource in the prevention and elimination of discrimination.

ORGANIZATION AND STAFFING

Under the direction of the Commissioner, the Division operates from its main office in New York City and from nine regional offices (Albany, Binghamton, Buffalo, Rochester, Brooklyn, Long Island, Lower Manhattan, Upper Manhattan and White Plains) and two satellite offices (Long Island and Syracuse).

The Division will have a workforce of 200 employees during SFY 2001-02: 35 for administration of the Division and 165 to investigate, determine probable cause, and hear individual cases, of discrimination. Funding is also provided to support 6 per diem administrative law judges not reflected in the 200 salaried staff.

BUDGET AND PROGRAM HIGHLIGHTS

In the last four years, the Division has made solid progress toward reducing its backlog of cases. Open cases totaled 10,750 at the close of the 1999-2000 fiscal year, down from 16,880, since 1995.

The State continues to work vigorously to reduce the backlog and meet reasonable standards for timely case processing. To assist in the achievement of this goal, the 2001-02 Executive Budget recommends the addition of 9 positions and a budget initiative of \$1 million.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2000-01	Appropriations Recommended 2001-02	Change	Reappropriations Recommended 2001-02
State Operations	15,023,000	16,129,000	1,106,000	2,800,000
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	15,023,000	16,129,000	1,106,000	2,800,000

HUMAN RIGHTS

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Program	Full-Time Equivalent Positions (FTE)		
	2000-01	2001-02	FTE Change
	Estimated FTEs 03/31/01	Estimated FTEs 03/31/02	
Administration			
General Fund	43	45	2
Legal Enforcement			
General Fund	25	27	2
Regional Affairs			
General Fund	90	95	5
Special Revenue Funds - Federal	33	33	0
Total	<u>191</u>	<u>200</u>	<u>9</u>

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2000-01	Recommended 2001-02	Change
General Fund	11,992,000	13,139,000	1,147,000
Special Revenue Funds - Federal	2,941,000	2,900,000	(41,000)
Special Revenue Funds - Other	70,000	70,000	0
Fiduciary Funds	20,000	20,000	0
Total	<u>15,023,000</u>	<u>16,129,000</u>	<u>1,106,000</u>

Adjustments:			
Transfer(s) From			
Special Pay Bill			
General Fund	(696,000)		
Special Revenue Funds - Federal	(141,000)		
Appropriated 2000-01	<u>14,186,000</u>		

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2000-01	Recommended 2001-02	Change
Administration			
General Fund	5,311,000	6,028,000	717,000
Special Revenue Funds - Other	70,000	70,000	0
Fiduciary Funds	20,000	20,000	0
Legal Enforcement			
General Fund	1,254,000	1,423,000	169,000
Regional Affairs			
General Fund	5,427,000	5,688,000	261,000
Special Revenue Funds - Federal	<u>2,941,000</u>	<u>2,900,000</u>	<u>(41,000)</u>
Total	<u>15,023,000</u>	<u>16,129,000</u>	<u>1,106,000</u>

**STATE OPERATIONS - GENERAL FUND
SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES
2001-02 RECOMMENDED
(dollars)**

Program	Total Personal Service		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Administration	2,886,000	166,000	2,662,000	166,000
Legal Enforcement	1,318,000	157,000	1,317,000	157,000
Regional Affairs	5,228,000	246,000	5,226,000	246,000
Total	<u>9,432,000</u>	<u>569,000</u>	<u>9,205,000</u>	<u>569,000</u>

Program	Temporary Service (Nonannual Salaried)		Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change	Amount	Change
Administration	222,000	0	2,000	0
Legal Enforcement	0	0	1,000	0
Regional Affairs	0	0	2,000	0
Total	<u>222,000</u>	<u>0</u>	<u>5,000</u>	<u>0</u>

**STATE OPERATIONS - GENERAL FUND
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
2001-02 RECOMMENDED
(dollars)**

Program	Total Nonpersonal Service		Supplies and Materials	
	Amount	Change	Amount	Change
Administration	3,142,000	551,000	10,000	1,000
Legal Enforcement	105,000	12,000	7,000	0
Regional Affairs	460,000	15,000	12,000	1,000
Total	<u>3,707,000</u>	<u>578,000</u>	<u>29,000</u>	<u>2,000</u>

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration	36,000	5,000	3,060,000	542,000
Legal Enforcement	28,000	0	64,000	12,000
Regional Affairs	30,000	1,000	358,000	12,000
Total	<u>94,000</u>	<u>6,000</u>	<u>3,482,000</u>	<u>566,000</u>

Program	Equipment	
	Amount	Change
Administration	36,000	3,000
Legal Enforcement	6,000	0
Regional Affairs	60,000	1,000
Total	<u>102,000</u>	<u>4,000</u>

**STATE OPERATIONS - OTHER THAN GENERAL FUND
SUMMARY OF APPROPRIATIONS AND CHANGES
2001-02 RECOMMENDED
(dollars)**

Program	Total		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration	90,000	0	90,000	0
Regional Affairs	2,900,000	(41,000)	2,900,000	(41,000)
Total	<u>2,990,000</u>	<u>(41,000)</u>	<u>2,990,000</u>	<u>(41,000)</u>

DEPARTMENT OF MENTAL HYGIENE

The Department of Mental Hygiene operates through three independent agencies: the Office of Mental Health, the Office of Mental Retardation and Developmental Disabilities, and the Office of Alcoholism and Substance Abuse Services. All three agencies provide services directly to their clients through State operated facilities, and receive reimbursement for these services, primarily Medicaid. However, these patient revenues are pledged first to the payment of debt service on outstanding Mental Hygiene bonds.

This appropriation from the Patient Income Account may be distributed to any of the three agencies in order to access additional revenues made available due to a decreased set-aside for debt service. The 2001-02 Executive Budget anticipates debt service payment changes as a result of the defeasance of Mental Hygiene bonds during 2000-01 with Debt Reduction Reserve Fund monies.

ALL FUNDS APPROPRIATIONS (dollars)

<u>Category</u>	<u>Available 2000-01</u>	<u>Appropriations Recommended 2001-02</u>	<u>Change</u>	<u>Reappropriations Recommended 2001-02</u>
State Operations	0	17,200,000	17,200,000	0
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	<u>0</u>	<u>17,200,000</u>	<u>17,200,000</u>	<u>0</u>

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

<u>Fund Type</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>
Special Revenue Funds - Other	0	17,200,000	17,200,000
Total	<u>0</u>	<u>17,200,000</u>	<u>17,200,000</u>

OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES

MISSION

The Office of Alcoholism and Substance Abuse Services (OASAS) is responsible for licensing and evaluating service providers, and for implementing and advocating policies and programs for the prevention, early intervention and treatment of alcoholism and substance abuse. In conjunction with local governments, providers and communities, the Office works to provide a full range of necessary and cost-effective services for persons with chemical dependencies and at risk of addiction.

ORGANIZATION AND STAFFING

The Office of Alcoholism and Substance Abuse Services is headed by a Commissioner, responsible for the development and management of the State's policy on chemical dependence. The agency exercises these responsibilities directly as a provider of treatment services through a statewide system of 13 Addiction Treatment Centers (ATCs), through the regulation and oversight of prevention and chemical dependence services, and as a conduit for Federal and State financial assistance. The agency also furthers other State policy goals — including reform efforts in criminal justice, health care and public assistance — by coordinating chemical dependence resources and strategies in collaboration with other State agencies.

In 2001-02, OASAS will have a workforce of 1,001, of which 55 percent provide clinical treatment services through the ATCs. This represents a year-to-year reduction of 27 positions — of which the final seven will occur in 2001-02 — accomplished through the restructuring of central oversight functions. No staffing reductions will be made in the ATCs.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The 2001-02 Executive Budget recommends \$495 million in State and other resources for OASAS to continue agency operations, bolster community infrastructure and expand services to priority populations. This funding is supplemented by Federal and State resources budgeted in other State agencies, and by the contributions of local government, voluntary agencies and other sources. When combined, nearly \$1.5 billion will be invested in the prevention and treatment of chemical dependence in 2001-02.

In comparison to the prior year, total OASAS appropriations decrease by nearly \$10 million. Nearly half of this reduction is attributable to a non-recurring 2000-01 capital increase of \$5 million to develop 100 new beds offering specialized treatment to adolescents and women with children. This funding is continued as a reappropriation. The remaining decrease reflects the maximization of Federal reimbursement, more efficient operations, and the elimination of other non-recurring costs.

The recommended funding level is sufficient to ensure the continuation of all existing services, including:

- full operation of all 13 ATCs;
- all necessary operating funds in support of planned residential bed expansions begun in both 1997-98 and 2000-01;
- preservation of the full 2 percent cost of living increase granted in 2000-01; and,
- sufficient capital funding to meet and maintain health and safety standards in both the State and voluntary facilities.

ALCOHOLISM AND SUBSTANCE ABUSE SERVICES

In addition, the 2001-02 Executive Budget continues the consolidation of the OASAS system — uniting the separate alcoholism and substance abuse systems into a single chemical dependence system.

PROGRAM HIGHLIGHTS

As the largest drug and alcohol prevention and treatment system in the nation, OASAS administers a comprehensive array of prevention and treatment services for New Yorkers suffering from addiction. The Office works with a network of State, local government and voluntary agencies, as well as school districts, to provide prevention and treatment services. In accordance with Federal and State statutes, the agency licenses and regulates program providers, ensures that fiscal resources are appropriately spent, and assists local programs in providing the highest quality services.

On any given day, approximately 120,000 patients are enrolled in New York State's licensed alcoholism and substance abuse treatment and rehabilitation system. Services within this system are provided by more than 1,300 community-based agencies — approximately 600 licensed alcoholism programs and 700 licensed substance abuse programs.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2000-01	Appropriations Recommended 2001-02	Change	Reappropriations Recommended 2001-02
State Operations	72,587,000	70,432,000	(2,155,000)	0
Aid To Localities	397,304,781	394,497,000	(2,807,781)	57,821,000
Capital Projects	35,186,000	30,940,000	(4,246,000)	132,172,000
Total	505,077,781	495,869,000	(9,208,781)	189,993,000

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Full-Time Equivalent Positions (FTE)

Program	2000-01 Estimated FTEs 03/31/01	2001-02 Estimated FTEs 03/31/02	FTE Change
Executive Direction			
General Fund	352	345	(7)
Special Revenue Funds - Federal	75	75	0
Special Revenue Funds - Other	12	12	0
Capital-Administration			
Capital Projects Funds - Other	8	8	0
Institutional Services			
General Fund	551	551	0
Prevention and Program Support			
Special Revenue Funds - Federal	10	10	0
Total	1,008	1,001	(7)

ALCOHOLISM AND SUBSTANCE ABUSE SERVICES

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

<u>Fund Type</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>
General Fund	49,444,000	46,941,000	(2,503,000)
Special Revenue Funds - Federal	5,922,000	5,663,000	(259,000)
Special Revenue Funds - Other	17,221,000	17,828,000	607,000
Total	<u>72,587,000</u>	<u>70,432,000</u>	<u>(2,155,000)</u>

Adjustments:

Transfer(s) From			
Alcoholism and Substance Abuse Services, Office of			
Special Revenue Funds - Federal (Aid To Localities)	(1,233,000)		
Special Pay Bill			
General Fund	(4,201,000)		
Special Revenue Funds - Federal	(316,000)		
Special Revenue Funds - Other	(43,000)		
Appropriated 2000-01	<u>66,794,000</u>		

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

<u>Program</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>
Executive Direction			
General Fund	23,430,000	20,128,000	(3,302,000)
Special Revenue Funds - Federal	5,922,000	5,663,000	(259,000)
Special Revenue Funds - Other	7,871,000	8,828,000	957,000
Institutional Services			
General Fund	26,014,000	26,813,000	799,000
Special Revenue Funds - Other	9,350,000	9,000,000	(350,000)
Total	<u>72,587,000</u>	<u>70,432,000</u>	<u>(2,155,000)</u>

STATE OPERATIONS - GENERAL AND OFFSET FUNDS SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2001-02 RECOMMENDED (dollars)

<u>Program</u>	Total Personal Service		Personal Service Regular (Annual Salaried)	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Executive Direction	20,982,000	(1,503,000)	20,802,000	(1,503,000)
Institutional Services	27,746,000	(334,000)	27,001,000	(334,000)
Total	<u>48,728,000</u>	<u>(1,837,000)</u>	<u>47,803,000</u>	<u>(1,837,000)</u>

<u>Program</u>	Temporary Service (Nonannual Salaried)		Holiday/Overtime Pay (Annual Salaried)	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Executive Direction	112,000	0	68,000	0
Institutional Services	404,000	0	341,000	0
Total	<u>516,000</u>	<u>0</u>	<u>409,000</u>	<u>0</u>

ALCOHOLISM AND SUBSTANCE ABUSE SERVICES

STATE OPERATIONS - GENERAL AND OFFSET FUNDS SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2001-02 RECOMMENDED (dollars)

Program	Total Nonpersonal Service		Supplies and Materials	
	Amount	Change	Amount	Change
Executive Direction	6,846,000	(799,000)	579,000	0
Institutional Services	8,067,000	783,000	3,682,000	291,000
Total	14,913,000	(16,000)	4,261,000	291,000

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Executive Direction	641,000	(150,000)	5,602,000	(649,000)
Institutional Services	251,000	0	3,748,000	492,000
Total	892,000	(150,000)	9,350,000	(157,000)

Program	Equipment	
	Amount	Change
Executive Direction	24,000	0
Institutional Services	386,000	0
Total	410,000	0

STATE OPERATIONS - OTHER THAN GENERAL FUND AND OFFSET FUNDS SUMMARY OF APPROPRIATIONS AND CHANGES 2001-02 RECOMMENDED (dollars)

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Executive Direction	6,791,000	(302,000)	3,389,000	600,000
Total	6,791,000	(302,000)	3,389,000	600,000

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Executive Direction	1,904,000	(859,000)	1,498,000	(43,000)
Total	1,904,000	(859,000)	1,498,000	(43,000)

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available	Recommended	Change
	2000-01	2001-02	
General Fund	257,136,781	254,730,000	(2,406,781)
Special Revenue Funds - Federal	132,168,000	131,767,000	(401,000)
Special Revenue Funds - Other	8,000,000	8,000,000	0
Total	397,304,781	394,497,000	(2,807,781)

Adjustments:	
Transfer(s) To	
Alcoholism and Substance Abuse	
Services, Office of	
Special Revenue Funds - Federal (State	
Operations)	1,233,000
Appropriated 2000-01	398,537,781

ALCOHOLISM AND SUBSTANCE ABUSE SERVICES

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

<u>Program</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>
Community Treatment Services Program			
General Fund	205,476,000	204,611,000	(865,000)
Special Revenue Funds - Federal	89,451,000	88,993,000	(458,000)
Prevention and Program Support			
General Fund	50,119,000	50,119,000	0
Special Revenue Funds - Federal	42,717,000	42,774,000	57,000
Special Revenue Funds - Other	8,000,000	8,000,000	0
Community Projects			
General Fund	1,541,781	0	(1,541,781)
Total	<u>397,304,781</u>	<u>394,497,000</u>	<u>(2,807,781)</u>

CAPITAL PROJECTS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

<u>Comprehensive Construction Program</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>	<u>Reappropriations 2001-02</u>
Design and Construction Supervision				
Capital Projects Fund	1,500,000	1,250,000	(250,000)	3,500,000
Mental Hygiene Capital Improvement Fund	2,966,000	2,250,000	(716,000)	8,182,000
Administration				
Capital Projects Fund	519,000	902,000	383,000	0
Mental Hygiene Capital Improvement Fund	279,000	0	(279,000)	0
Non-Bondable Projects				
Capital Projects Fund	752,000	750,000	(2,000)	752,000
Community Alcoholism and Substance Abuse Facilities				
Capital Projects Fund	2,750,000	3,288,000	538,000	20,315,000
Mental Hygiene Capital Improvement Fund	24,100,000	20,000,000	(4,100,000)	82,239,000
Institutional Services Program				
Capital Projects Fund	383,000	500,000	117,000	3,668,000
Mental Hygiene Capital Improvement Fund	1,937,000	2,000,000	63,000	13,516,000
Total	<u>35,186,000</u>	<u>30,940,000</u>	<u>(4,246,000)</u>	<u>132,172,000</u>

OFFICE OF MENTAL HEALTH

MISSION

The Office of Mental Health's (OMH) mission is to promote hope and recovery for people with psychiatric disabilities. It is responsible for planning and operating an integrated system of mental health care that serves adults with serious and persistent mental illness and children with serious emotional disturbances.

ORGANIZATION AND STAFFING

The Office of Mental Health is headed by a Commissioner who is appointed by the Governor. New York's public mental health system consists of programs that are operated by the Office of Mental Health, as well as community programs certified and funded by the State, but operated by local governments, and not-for-profit and profit-making providers. OMH regulates and licenses approximately 2,500 programs across the State. The combined system serves both adults who have serious and persistent mental illness and children with serious emotional disturbances who have experienced substantial problems in functioning.

OMH currently operates 28 facilities across the State, including 17 Adult Psychiatric Centers and six Children's Psychiatric Centers. In addition, OMH operates three Forensic Psychiatric Centers — which serve individuals with histories in the criminal justice system — and two Research Institutes.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The 2001-02 Executive Budget provides \$2.16 billion in total authorized spending for the Office of Mental Health from General Fund, Federal and third-party resources, an increase of \$112.3 million, compared to 2000-01. General Fund support to the agency increases by \$140.2 million from the prior year.

Budget recommendations for the Office of Mental Health will strengthen community mental health care and accountability and provide the highest quality inpatient care for people requiring such services.

Over the past six years, the Office of Mental Health has acted to strengthen community services while achieving greater efficiencies in the operation of its inpatient programs. Using the resources provided in recent Budgets — such as State Aid to Localities, Medicaid reimbursement, major capital financing initiatives for community housing, Assisted Outpatient Treatment, the Enhanced Community Services Program and the Community Mental Health Reinvestment Act — the Office of Mental Health has reshaped the balance of funding provided to psychiatric centers and community operations. The agency has closed unneeded State-operated inpatient beds as well as four psychiatric centers; it has consolidated adult inpatient care from three campuses onto one campus on Long Island; and it has fundamentally restructured State-operated outpatient services. Simultaneously, it has significantly expanded community housing opportunities and, through Kendra's Law and other actions, has initiated major expansions of case management and other community services and oversight of individuals with mental illness. Approximately 70 percent of State funding and Medicaid dollars are now spent on community mental health care.

The recommended Budget for 2001-02 provides full funding for all multi-year initiatives underway, including expansions under the Enhanced Community Services Program; Kendra's Law, including its psychiatric medication grant program; the New York/New York II agreement and other housing development; and the Community Mental Health Reinvestment Act.

MENTAL HEALTH

COMMUNITY REINVESTMENT

The financing provisions of the current Community Mental Health Reinvestment Act expire at the end of September, 2001. Accordingly, with \$3.3 million in part-year funding, the 2001-02 Executive Budget completes the implementation of this program by providing an increase of \$6.6 million in annualized Reinvestment authorization to expand community-based recovery and rehabilitation services. This brings the total amount provided for program expansion since the inception of Community Reinvestment to \$212 million on a full annual basis.

Community Reinvestment was designed in the early 1990s as a way to better balance the resources allocated to State-operated facilities and to community-based services. With dramatic changes in the psychiatric center and community-based systems, and with the state facilities nearing their long-term census projections, the expiration of the financing formula provides a new opportunity to meet current needs by refocusing our commitments. Among the highest of priorities is to strengthen the State's extensive system of community services and housing by supporting its basic infrastructure and workforce.

Toward this end, the 2001-02 Executive Budget recommends enactment of a new Community Mental Health Support and Workforce Reinvestment Act, which will fund multi-year Cost of Living Adjustment (COLA) increases for local programs and a 10 percent Medicaid fee increase for outpatient programs licensed under Article 31 of the Mental Hygiene Law. The new Act will utilize savings from the consolidation and closure or re-location of facilities, and the closure of inpatient beds no longer needed to meet community needs.

Staffing and other management efficiencies, along with significant capital construction savings, are anticipated through the consolidation, closure and re-location of facilities. The Community Mental Health Support and Workforce Reinvestment Act includes the authorization for the following psychiatric centers to be consolidated, closed, or re-located:

- Western New York Children's Psychiatric Center will re-locate to the Buffalo Psychiatric Center campus on April 1, 2002;
- Hutchings Psychiatric Center will be consolidated with Mohawk Valley Psychiatric Center and will close on January 1, 2003;
- Sagamore Children's Psychiatric Center will re-locate to the Pilgrim Psychiatric Center campus on January 1, 2003;
- Rockland Children's Psychiatric Center will re-locate with Rockland Psychiatric Center on January 1, 2003;
- Kirby Forensic Psychiatric Center will re-locate to the Manhattan Psychiatric Center on July 1, 2003;
- Queens Children's Psychiatric Center will re-locate to Creedmoor Psychiatric Center on October 1, 2003; and
- Middletown Psychiatric Center will be consolidated with Rockland Psychiatric Center and will close on January 1, 2004.

Once complete, the annualized savings generated by these actions will provide more than \$64 million in funding to strengthen the community-based system. The Community Mental Health Support and Workforce Reinvestment Act also provides that, until full implementation of the facility consolidations and re-locations are complete, the General Fund will match a percentage of the savings generated. In total, the actions authorized in the legislation will support a 10 percent Medicaid fee increase for mental health clinics, day treatment services and other licensed Article 31 outpatient programs, and an annual 2.5 percent COLA to community-based services and housing programs in each of the next three years.

The proposed legislation also authorizes the development of as many as 104 State-operated adult transitional housing beds and 40 State-operated children's crisis residence beds on the grounds of State psychiatric centers which will assist adults and children to recover from mental illness.

ADULT PSYCHIATRIC CENTER BED CLOSURES

During 2000-01, the State placed a moratorium on further adult psychiatric center bed closures to ensure that any client who appropriately requires inpatient care provided by these facilities would not have to wait for a placement. Experience in the current year has shown that although admissions, treatment and discharge policies have not changed, the need for inpatient beds continues to decrease. Although State-operated adult facilities were fully funded and staffed at prior year levels, individual patients were discharged to community programs whenever clinically appropriate.

As the community system expands through the Enhanced Community Services Program, New York/New York II, Kendra's Law and other State Aid programs, the Office of Mental Health continues to project the need for fewer inpatient beds. The 2001-02 Executive Budget reflects savings from the closure of 240 beds across the State, and has reinvested the savings in Aid to Localities under the provisions of both the current Community Mental Health Reinvestment Act and the newly proposed Community Mental Health Support and Workforce Reinvestment Act. The Office of Mental Health's long-range projection of statewide inpatient bed need over the next two years shows a similar surplus, and accordingly, the agency expects to close an additional 485 beds. The closure of excess bed capacity generates savings which supports the new Community Mental Health Support and Workforce Reinvestment Act. Overall, the agency will close most of its anticipated excess capacity, but will keep open an average of approximately 150 additional beds beyond its projection of bed needs from 2001-02 through 2003-04.

ASSISTED OUTPATIENT TREATMENT

A General Fund appropriation of \$32 million is included for the Assisted Outpatient Treatment program ("Kendra's Law") enacted in 1999-2000. The program provides court ordered assisted outpatient treatment for certain people with mental illness who, based on their treatment history and present circumstances, are unlikely to survive safely in the community without supervision. The appropriations support case management and other services aimed at keeping the clients in a treatment program, including psychiatric medication as required. The appropriations also provide psychiatric medication to individuals being discharged from State psychiatric centers, community hospitals, prisons and jails while their Medicaid eligibility is being determined.

ENHANCED COMMUNITY SERVICES

Increased appropriations of \$29.9 million are provided to the Enhanced Community Services Program proposed by Governor Pataki last year. The program is supported by Health Care Reform Act (HCRA) revenues and provides \$125 million in additional spending for a wide variety of services designed to strengthen the community mental health service system for children and adults. The program significantly expands case management, housing, family support, family-based treatment, home and community-based waiver, and mobile crisis team services. Moreover, the Enhanced Community Services Program will result in more effective systems for managing resources to best serve the clients by establishing new Single Points of Entry at the local level. This will improve the assignment of case management and housing opportunities to the most needy clients.

COMMUNITY HOUSING AND OTHER SERVICES

The 2001-02 Budget supports a community housing system of over 26,400 beds by the end of the fiscal year. It provides operational and capital funding for local programs

MENTAL HEALTH

to maintain the existing residential system and continue the development of community beds previously authorized through New York/New York II and other housing initiatives. Including the new residential beds in the Governor's Enhanced Community Services Program, and those now in various stages of development, this Budget provides operational funding for about 2,700 new community beds which are expected to open by the end of 2001-02. Another 2,100 are in other stages of development.

The Governor's Budget also includes \$5.2 million to increase the stipends paid to community providers for the operation of Supported Housing beds. This action will strengthen the program for about 5,100 beds currently operating, by increasing the stipend to the levels authorized under the Enhanced Community Services Program. In addition, an increase of about \$2 million is recommended to strengthen both the Family Based Treatment program and the Children's Community Residences program.

OTHER ACTIONS

The Governor's Budget saves \$6.7 million by eliminating 150 funded vacancies that were previously temporarily assigned to various psychiatric centers to support programs during periods of significant census decreases. This stand-by authorization is no longer required as only modest census decline is anticipated in the coming years. Also, the State psychiatric center system will maintain additional staffing beyond projected needs to ensure timely access to State inpatient beds. The Budget also reflects savings of \$3.6 million from efficiencies realized from the automation of manual medical records and revenue billing processes.

OMH's Capital Budget includes new appropriations of \$174.7 million for preservation and maintenance of inpatient and community facilities. Capital spending from new appropriations and re-appropriations will support facility projects that will increase health and safety, preserve and maintain the physical plant consistent with the Office of Mental Health's facility maintenance program and comply with accreditation standards. This year's new appropriations include approximately \$57 million in funding to implement the psychiatric center consolidations and re-locations authorized under the new Community Mental Health Support and Workforce Reinvestment Act. These actions, however, will avoid an estimated \$168 million in capital costs required for these facilities at their current locations. In addition, the capital appropriations include funds to develop the new adult transitional housing beds and children's crisis beds also authorized in the proposed Community Mental Health Support and Workforce Reinvestment Act. Capital spending is also provided for local programs to maintain the existing residential system and to continue development of community beds previously authorized.

PROGRAM HIGHLIGHTS

New York State will continue to rank number one in the nation in total spending and per capita spending on State and local programs for the mentally ill.

- State-operated facilities provide inpatient, outpatient, and community support programs throughout the State that serve adults, children, and forensic populations. State-operated psychiatric centers provide inpatient services to approximately 9,700 adults and children who are admitted annually, while over 37,000 individuals are served through various outpatient and support programs.
- While inpatients vary greatly in age, level of disability and length of hospitalization, a range of treatment and rehabilitative services is offered as patients progress from admission to discharge. In addition, OMH provides specialized services for physically disabled, aggressive and assaultive, and multi-diagnosed patients, as well as patients who have criminal histories.

- The Office operates an array of community-based programs located throughout the State. These programs, staffed with State employees, provide supportive services to enable individuals with mental illness to avoid long-term inpatient stays. State-operated community-based services currently include community residential facilities and residential care centers for adults and children, outpatient programs, intensive case management positions, and a variety of supportive employment, work-for-pay, crisis and other community support programs.
- OMH operates two research units supported by State tax dollars and Federal and other grants, which include the OMH New York Psychiatric Institute in Manhattan and the OMH Nathan S. Kline Institute, located on the grounds of Rockland Psychiatric Center. These facilities conduct research in children and adult clinical treatment, basic biomedical and neurological sciences, and health services for the mentally ill.
- OMH also funds a wide range of community services provided by local governments and private organizations. These include emergency services such as Comprehensive Psychiatric Emergency Programs; outpatient services such as mental health clinics and day treatment programs and community support programs such as Intensive and Supportive Case Management, residential programs and consumer-run self-help and self-operated programs. Overall, State Aid and Medicaid (budgeted largely in the Department of Health) will provide about \$2 billion for not-for-profit and county-operated community mental health programs through a network of approximately 2,500 programs serving over 500,000 persons a year.

**ALL FUNDS
APPROPRIATIONS
(dollars)**

<u>Category</u>	<u>Available 2000-01</u>	<u>Appropriations Recommended 2001-02</u>	<u>Change</u>	<u>Reappropriations Recommended 2001-02</u>
State Operations	1,139,380,000	1,148,262,000	8,882,000	929,000
Aid To Localities	776,514,650	839,317,000	62,802,350	31,157,000
Capital Projects	134,120,000	174,735,000	40,615,000	548,061,000
Total	<u>2,050,014,650</u>	<u>2,162,314,000</u>	<u>112,299,350</u>	<u>580,147,000</u>

MENTAL HEALTH

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Program	Full-Time Equivalent Positions (FTE)		
	2000-01 Estimated FTEs 03/31/01	2001-02 Estimated FTEs 03/31/02	FTE Change
Administration and Finance			
General Fund	589	579	(10)
Special Revenue Funds - Federal	11	11	0
Enterprise Funds	20	20	0
Internal Service Funds	24	24	0
Adult Services			
General Fund	13,159	12,813	(346)
Capital Planning			
Capital Projects Funds - Other	41	41	0
Children and Youth Services			
General Fund	1,955	1,953	(2)
Forensic Services			
General Fund	1,878	1,881	3
Maintenance Undistributed			
Special Revenue Funds - Other	338	397	59
Research			
General Fund	497	497	0
Special Revenue Funds - Other	27	27	0
Total	<u>18,539</u>	<u>18,243</u>	<u>(296)</u>

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2000-01	Recommended 2001-02	Change
General Fund	611,749,000	731,413,000	119,664,000
Special Revenue Funds - Federal	929,000	1,258,000	329,000
Special Revenue Funds - Other	516,239,000	404,772,000	(111,467,000)
Enterprise Funds	7,842,000	8,015,000	173,000
Internal Service Funds	2,051,000	2,234,000	183,000
Fiduciary Funds	570,000	570,000	0
Total	<u>1,139,380,000</u>	<u>1,148,262,000</u>	<u>8,882,000</u>
Adjustments:			
Transfer(s) From			
Mental Health, Office of			
General Fund (Aid To Localities)	(1,197,000)		
Special Pay Bill			
General Fund	(74,015,000)		
Enterprise Funds	(29,000)		
Internal Service Funds	(84,000)		
Appropriated 2000-01	<u>1,064,055,000</u>		

**STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

<u>Program</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>
Administration and Finance			
General Fund	57,460,000	57,213,000	(247,000)
Special Revenue Funds - Federal	929,000	1,258,000	329,000
Special Revenue Funds - Other	0	1,300,000	1,300,000
Enterprise Funds	7,842,000	8,015,000	173,000
Internal Service Funds	2,051,000	2,234,000	183,000
Fiduciary Funds	570,000	570,000	0
Adult Services			
General Fund	788,013,000	785,998,000	(2,015,000)
Children and Youth Services			
General Fund	115,353,000	115,645,000	292,000
Enhanced Community Services			
General Fund	15,948,000	19,600,000	3,652,000
Forensic Services			
General Fund	105,163,000	109,846,000	4,683,000
Maintenance Undistributed			
General Fund	(510,488,000)	(397,700,000)	112,788,000
Special Revenue Funds - Other	510,488,000	397,700,000	(112,788,000)
Research			
General Fund	40,300,000	40,811,000	511,000
Special Revenue Funds - Other	5,751,000	5,772,000	21,000
Total	<u>1,139,380,000</u>	<u>1,148,262,000</u>	<u>8,882,000</u>

**STATE OPERATIONS - GENERAL AND OFFSET FUNDS
SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES
2001-02 RECOMMENDED
(dollars)**

<u>Program</u>	<u>Total Personal Service</u>		<u>Personal Service Regular (Annual Salaried)</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Administration and Finance	38,135,000	2,455,000	37,179,000	2,776,000
Adult Services	649,197,000	(8,843,000)	601,473,000	(6,479,000)
Children and Youth Services	102,108,000	291,000	95,402,000	290,000
Forensic Services	99,470,000	4,345,000	92,637,000	3,312,000
Research	35,735,000	333,000	35,167,000	338,000
Total	<u>924,645,000</u>	<u>(1,419,000)</u>	<u>861,858,000</u>	<u>237,000</u>
<u>Program</u>	<u>Temporary Service (Nonannual Salaried)</u>		<u>Holiday/Overtime Pay (Annual Salaried)</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Administration and Finance	718,000	(260,000)	238,000	(61,000)
Adult Services	14,116,000	(1,529,000)	33,608,000	(835,000)
Children and Youth Services	3,165,000	1,000	3,541,000	0
Forensic Services	3,035,000	377,000	3,798,000	656,000
Research	81,000	1,000	487,000	(6,000)
Total	<u>21,115,000</u>	<u>(1,410,000)</u>	<u>41,672,000</u>	<u>(246,000)</u>

MENTAL HEALTH

**STATE OPERATIONS - GENERAL AND OFFSET FUNDS
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
2001-02 RECOMMENDED
(dollars)**

Program	Total Nonpersonal Service		Supplies and Materials	
	Amount	Change	Amount	Change
Administration and Finance	19,078,000	(2,702,000)	809,000	(15,000)
Adult Services	136,801,000	6,828,000	68,280,000	4,853,000
Children and Youth Services	13,537,000	1,000	5,681,000	1,000
Enhanced Community Services	19,600,000	3,652,000	0	0
Forensic Services	10,376,000	338,000	6,106,000	168,000
Research	5,076,000	178,000	2,128,000	74,000
Total	<u>204,468,000</u>	<u>8,295,000</u>	<u>83,004,000</u>	<u>5,081,000</u>

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration and Finance	1,271,000	(22,000)	15,225,000	(2,634,000)
Adult Services	2,913,000	86,000	59,892,000	1,811,000
Children and Youth Services	495,000	(1,000)	6,835,000	1,000
Forensic Services	605,000	7,000	3,471,000	156,000
Research	74,000	3,000	2,765,000	97,000
Total	<u>5,358,000</u>	<u>73,000</u>	<u>88,188,000</u>	<u>(569,000)</u>

Program	Equipment		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration and Finance	1,773,000	(31,000)	0	0
Adult Services	2,551,000	78,000	3,165,000	0
Children and Youth Services	526,000	0	0	0
Enhanced Community Services	0	0	19,600,000	3,652,000
Forensic Services	194,000	7,000	0	0
Research	109,000	4,000	0	0
Total	<u>5,153,000</u>	<u>58,000</u>	<u>22,765,000</u>	<u>3,652,000</u>

**STATE OPERATIONS - OTHER THAN GENERAL FUND AND OFFSET FUNDS
SUMMARY OF APPROPRIATIONS AND CHANGES
2001-02 RECOMMENDED
(dollars)**

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Administration and Finance	13,377,000	1,985,000	4,165,000	505,000
Research	5,772,000	21,000	1,836,000	(549,000)
Total	<u>19,149,000</u>	<u>2,006,000</u>	<u>6,001,000</u>	<u>(44,000)</u>

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration and Finance	7,912,000	180,000	1,300,000	1,300,000
Research	3,936,000	570,000	0	0
Total	<u>11,848,000</u>	<u>750,000</u>	<u>1,300,000</u>	<u>1,300,000</u>

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS
(dollars)**

<u>Fund Type</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>
General Fund	706,313,650	733,109,000	26,795,350
Special Revenue Funds - Federal	27,126,000	31,673,000	4,547,000
Special Revenue Funds - Other	43,075,000	74,535,000	31,460,000
Total	<u>776,514,650</u>	<u>839,317,000</u>	<u>62,802,350</u>
Adjustments:			
Transfer(s) To			
Mental Health, Office of			
General Fund (State Operations)	1,197,000		
Appropriated 2000-01	<u>777,711,650</u>		

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

<u>Program</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>
Adult Services			
General Fund	444,014,000	447,029,000	3,015,000
Special Revenue Funds - Federal	21,617,000	24,695,000	3,078,000
Special Revenue Funds - Other	2,475,000	7,735,000	5,260,000
Children and Youth Services			
General Fund	92,400,000	96,090,000	3,690,000
Special Revenue Funds - Federal	5,509,000	6,978,000	1,469,000
Enhanced Community Services			
General Fund	40,600,000	66,800,000	26,200,000
Maintenance Undistributed			
General Fund	(40,600,000)	(66,800,000)	(26,200,000)
Special Revenue Funds - Other	40,600,000	66,800,000	26,200,000
Reinvestment			
General Fund	167,422,000	189,990,000	22,568,000
Community Projects			
General Fund	2,477,650	0	(2,477,650)
Total	<u>776,514,650</u>	<u>839,317,000</u>	<u>62,802,350</u>

**CAPITAL PROJECTS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

<u>Comprehensive Construction Program</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>	<u>Reappropriations 2001-02</u>
Design and Construction Supervision				
Capital Projects Fund	1,750,000	1,750,000	0	1,700,000
Mental Hygiene Capital Improvement Fund	9,000,000	7,500,000	(1,500,000)	16,280,000
Executive Direction				
Capital Projects Fund	0	0	0	3,325,000
Mental Hygiene Capital Improvement Fund	3,300,000	3,300,000	0	3,300,000
Community Mental Health Facilities				
Capital Projects Fund	5,000,000	5,000,000	0	26,489,000
Mental Hygiene Capital Improvement Fund	5,412,000	5,424,000	12,000	153,841,000
Non-Bondable Projects				
Capital Projects Fund	11,000,000	3,000,000	(8,000,000)	8,000,000
Maintenance and Improvements of Existing Facilities				
Capital Projects Fund	26,238,000	27,969,000	1,731,000	29,937,000
Mental Hygiene Capital Improvement Fund	72,420,000	120,792,000	48,372,000	305,189,000
Total	<u>134,120,000</u>	<u>174,735,000</u>	<u>40,615,000</u>	<u>548,061,000</u>

OFFICE OF MENTAL RETARDATION AND DEVELOPMENTAL DISABILITIES

MISSION

The Office of Mental Retardation and Developmental Disabilities (OMRDD) serves and supports individuals and families of individuals with developmental disabilities. OMRDD works with local governments and non-profit providers to oversee a comprehensive system for delivery of services to people who have developmental disabilities. Both institutional and community-based services are delivered through a network of non-profit providers, State Developmental Centers and numerous State-operated programs based in the community.

ORGANIZATION AND STAFFING

Under the direction of a Commissioner appointed by the Governor, OMRDD currently operates through district offices, called Developmental Disabilities Services Offices (DDSO). Its Central Office oversees and supports operations of the district offices.

OMRDD will have a workforce of 22,181 for 2001-02, most of whom work directly with consumers of services. The remainder of the workforce are supervisors of direct care workers, clinicians, administrative support and management personnel.

By the end of 2001-02, OMRDD will operate some 662 developmental center beds, along with 1,030 special unit beds. From 1995 to the end of the 2001-02 fiscal year, the State will have moved some 3,300 people into more appropriate community care and will have closed more than 1,900 unneeded institutional beds.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

Total funding of \$2.4 billion supports a comprehensive system of care serving more than 120,000 persons with disabilities and their families. Over the last seven years, OMRDD's operating budget has grown by \$503 million, reflecting the Governor's ongoing commitment to serving the needs of persons with developmental disabilities. In 2001-02, OMRDD's mission is supported by the following recommendations:

- OMRDD's Institutional Services Program will receive total funding of \$360.7 million, to support residential care and habilitative services for people in developmental centers. In addition, another \$71.4 million funds OMRDD's Central Coordination and Support and Research in Mental Retardation Programs, which provide policy direction and technical support to the entire service system.
- OMRDD's Community Services Program will receive nearly \$1.9 billion to fund hundreds of State-operated residential and day programs and ancillary services such as clinics and transportation networks, as well as to support local governments and a network of more than 600 non-profit agencies.
- OMRDD's new capital appropriations of \$92.8 million support the maintenance of existing institutions, community residential and day program sites as well as the development and renovation of residential and day programming sites and other expanded bed capacity.

This budget reflects a \$143 million increase over 2000-01 funding, primarily attributable to growth in funding for community-based services. These recommendations anticipate that OMRDD will continue to aggressively pursue Federal financial support for its programs as well as take other targeted administrative actions to achieve management efficiencies

MENTAL RETARDATION AND DEVELOPMENTAL DISABILITIES

in both the State and non-profit sectors. As a result, General Fund support for OMRDD's operations increases by \$24.9 million, in part reflecting one-time General Fund spending for collective bargaining payments in 2000-01. The Executive Budget recommendations preserve essential direct care and maintain the quality of services for consumers in both non-profit and OMRDD-operated programs.

The 2001-02 Executive Budget incorporates third-year funding of \$105 million for the Governor's nationally-acclaimed five-year initiative known as New York State-Creating Alternatives in Residential Environments and Services (NYS-CARES). This initiative will virtually eliminate the OMRDD adult residential waiting list for persons with developmental disabilities in New York State who are seeking a home in the community. The 2001-02 Budget supports the development of nearly 1,000 additional beds, 600 case management opportunities, and 190 day service opportunities. Over five years, New York State will invest \$314 million in State and Federal funds to provide services to 8,100 individuals requiring out-of-home residential placements.

In support of existing services, a Medicaid trend factor of 3.52 percent will be provided to Intermediate Care Facilities (ICF) and most Home and Community Based Services (HCBS) Waiver programs, providing more than \$20 million in additional State funding to non-profit community-based providers for residential and other services. All new residential programs developed under NYS-CARES will receive this trend. Further, in recognition of the impact of higher fuel costs on day treatment programs, special funding has been set aside to allow service providers to apply for additional reimbursement to ensure consumers receive appropriate transportation services.

The Budget provides funding sufficient for a base salary increase for 38,000 direct care and support staff in the not-for-profit sector, in order to encourage qualified individuals to enter, and remain, in the field of direct care. Non-trended programs will receive \$4.3 million in special General Fund support for a \$500 base wage and benefit increase, while trended programs will be encouraged to invest similarly in direct care from the 3.52 percent trend factor. In addition, OMRDD will be pursuing an e-commerce approach towards publicizing the availability of direct care and support positions in New York State. This approach will promote those job opportunities and is designed to enhance recruitment for direct care and support personnel.

The State's commitment to continued expansion of non-profit community services is reflected in funding for development of 1,050 day habilitation service opportunities for persons aging out of special education day school programs and provision of 140 new beds, day services, and service coordination for legally mandated populations, such as those referred by the New York City Administration for Children's Services. Further, the Governor's budget will support the creation of 1,550 new supported employment placements, with a new emphasis on providing more severely disabled persons the opportunity to work. In 2001-02, OMRDD will continue to restructure its system to provide services in the most appropriate, least restrictive settings.

During 2001-02, the effort to place individuals residing in the developmental centers into more appropriate community settings will continue. An additional 179 individuals will leave institutions for care in their own communities during 2001-02 and new admissions will be limited to emergency situations of persons with special needs and to persons entering special treatment units. Projections are that by the end of next fiscal year, there will be fewer than 1,700 people living in institutional settings, of whom more than 60 percent will live in specialized settings. OMRDD remains committed to deinstitutionalization for all consumers who can be appropriately cared for in community settings.

In addition, the safety and welfare of the public, as well as those served by OMRDD, continues to be a priority of the Governor. Therefore, the 2001-02 Executive Budget recommends funding to increase State-operated capacity for persons with severe behavioral involvement who require intensive treatment services. Many of these individuals are referred from the criminal justice system as a condition of parole or diverted by the

MENTAL RETARDATION AND DEVELOPMENTAL DISABILITIES

courts at sentencing, while others are identified from within the OMRDD system as requiring more intensive interventions. These placements ensure that these individuals will not be a danger to themselves or others.

Sixty beds will be added in these enriched units, which are known as Intensive Treatment Units and offer an appropriate level of care to persons who cannot be adequately cared for in regular developmental centers or Multiply Disabled Units, but do not require a secure treatment setting. Including Intensive Treatment beds which opened in 2000-01, OMRDD will have a total of 235 beds at this level of care by the end of 2001-02. In addition, these Budget recommendations also support 60 new beds at the secure Valley Ridge Center for Intensive Treatment in Chenango County, which is under construction and scheduled to open in January 2002.

In 2001-02, OMRDD will also serve 40 new consumers dually diagnosed with both developmental disabilities and with mental illness, but where their primary diagnosis is mental retardation/developmental disability. Many of these individuals will transfer from the Office of Mental Health's care to OMRDD, where they will receive more appropriate care.

In addition to this expansion of specialized settings, OMRDD will open 24 State-operated community beds in New York City to accommodate high priority referrals of severely disabled children from the Health and Hospitals Corporation and the Administration for Children's Services. The Budget recommendations also fund continued development of 100 State-operated community residential opportunities for the NYS-CARES program.

Consistent with the Governor's emphasis on restructuring government to provide higher quality services more efficiently, including the innovative use of technology, OMRDD will undertake a number of actions to streamline administrative operations in 2001-02. The Office will centralize certain routine human resources and payroll functions, reducing the workload on its district offices, by implementing new automated systems. Through partnerships with voluntary not-for-profit providers and other agencies, education and training services will be delivered to both the public and private sectors on a regional basis, including using the Internet for distance learning. Voluntary provider payments for services — such as room and board supplements — which parallel Medicaid services will be automated, reducing clerical processing workload.

In addition, Article VII legislation is proposed to consolidate the Taconic DDSO into other district office catchment areas, creating a unified administration for developmental disabilities services in the upper Hudson Valley. Since 1995, OMRDD will have streamlined its district office structure from 19 DDSOs to 12 by the end of 2001-02, enabling the reduction of administrative costs while expanding services and oversight. Along with other efficiencies, such as purchasing and overtime best practices, these management actions will save OMRDD \$18 million annually when fully implemented in 2002-03.

Infrastructure throughout the State and not-for-profit systems will be maintained through new capital appropriations of \$93 million and reappropriations of \$111 million. Capital appropriations for institutional projects will focus on the need to reinvest resources into preserving and maintaining long-term facilities mostly for a core population of consumers who have severe behavioral treatment needs and/or have been involved with the criminal justice system. In 2001-02, OMRDD will develop new institutional capacity for these individuals by developing 60 new beds in Intensive Treatment Units across the state. The agency will also complete capital construction associated with other secure and special unit expansions begun in prior years, including opening the Valley Ridge Center for Intensive Treatment. Additionally, OMRDD will begin a significant new capital project at the Institute for Basic Research in Developmental Disabilities to replace old, outdated labs with 17 new labs to ensure ongoing compliance with health and safety requirements.

MENTAL RETARDATION AND DEVELOPMENTAL DISABILITIES

New appropriations for institutional projects increase by approximately \$17.5 million in 2001-02. Funding for capital renovations to support placements in special units and the laboratory project at the Institute for Basic Research are the major components of the year to year change.

State and voluntary-operated community capital appropriations increase by \$12.5 million. Capital projects in the community reflect a continued investment to maintain both State and voluntary not-for-profit community based sites, and to develop State-operated residential placements for adults in developmental centers and on the NYS-CARES waiting list. While a modest increase in bonded appropriations is included, in part to address funding needs for small inner-city providers which cannot obtain alternative financing, voluntary not-for-profit capital development needs for NYS-CARES and other program expansion initiatives will continue to be met primarily through the use of non-State revenue such as Federal Housing and Urban Development (HUD) grants and private financing.

In total, these recommendations — through the combination of new and currently operating programs — will support an estimated 8,100 new service opportunities in community programs during 2001-02. This includes community placements for people in developmental centers and for mandated populations, as well as service opportunities for people on community waiting lists. Services to individuals and families have also been preserved within family support services.

PROGRAM HIGHLIGHTS

OMRDD serves more than 120,000 New Yorkers with developmental disabilities, which include mental retardation, epilepsy, cerebral palsy, neurological impairments and autism. In recent years, New York has made great strides in its methods of delivering services to this vulnerable population, moving more persons from institutions to the community than any other state in the nation. In addition, New York continues to place greater emphasis on consumer choice and satisfaction.

Under a 1991 Federal Medicaid waiver, consumers have the opportunity to receive individualized services in less restrictive settings. With the aid of trained service coordinators, consumers choose the services they need, and receive them in appropriate settings. New York commits more resources to its Home and Community Based Services (HCBS) Waiver program than any state in the nation, investing three times as much as the next highest state offering services under a waiver.

Maintaining a statewide system of services, delivered through both the State and non-profit providers, OMRDD:

- provides 35,000 persons with certified residential services;
- provides 63,000 persons with community day services; and,
- assists in the care of 72,000 persons with developmental disabilities who are living in their own homes.

An overview of these services is provided below:

RESIDENTIAL SERVICES

Residential services are offered through a continuum of programs in both community and institutional settings. The alternative which provides the most intensive services in a community setting is the Intermediate Care Facility for the Developmentally Disabled (ICF/DD). These facilities — some 8,300 beds operated statewide by both State and not-for-profit providers — are appropriate for individuals requiring 24-hour care. A typical community ICF has 12 to 14 beds.

The most common residential placement for persons under the waiver are Individual Residential Alternatives (IRAs) — homes, typically for 6 to 8 consumers, operated by

MENTAL RETARDATION AND DEVELOPMENTAL DISABILITIES

either the State or not-for-profit providers in the community. This budget supports more than 15,000 IRA beds and accompanying service coordination. Other community living arrangements include Community Residences and Family Care homes, which serve more than 9,000 people.

In addition to these community-based programs, OMRDD operates ten campuses across the State. Fewer than 1,700 people will be served in these settings by the end of 2001-02, of whom more than 60 percent will be receiving specialized services. OMRDD remains committed to providing community residential opportunities for all those in State institutions who can receive appropriate care in the community. Currently, New York serves more persons in community residential settings than any other state, with the exception of California.

DAY SERVICES

OMRDD supports an array of day services for persons with developmental disabilities, which also vary depending on the needs of the consumer. The major programs include:

- day treatment which provides diagnostic, treatment, and rehabilitative services;
- day habilitation, a smaller more individualized service for persons under the Federal Medicaid waiver for home and community based services;
- supported work, which provides the opportunity for individuals to work in competitive positions usually in integrated settings in the private sector;
- sheltered workshops which provide basic, non-competitive work opportunities; and
- day training which develops the knowledge and skills that enable persons with developmental disabilities to improve their personal, social, and vocational skills and to function independently.

SUPPORT FOR CONSUMERS LIVING IN THEIR OWN HOMES

OMRDD services also support families and individuals in their own homes. The Family Support Services program assists families in caring for more than 64,000 persons with developmental disabilities, enabling these individuals to remain at home. Services offered include respite, crisis intervention, case management, recreation, information and referral, and home care.

The Individualized Support Services program provides services to more than 1,600 disabled individuals who live independently. In addition, almost 6,300 individuals living in their own homes receive residential habilitation under the Federal Medicaid waiver.

The Care at Home program provides a third alternative, designed to preserve family settings for persons under 18 years of age with developmental disabilities, who are medically frail. This program allows the parents of young persons with severe disabilities to maintain the child at home, regardless of family income level, thereby preventing more costly out-of-home placements.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2000-01	Appropriations Recommended 2001-02	Change	Reappropriations Recommended 2001-02
State Operations	1,116,464,000	1,140,152,000	23,688,000	44,000
Aid To Localities	1,074,046,684	1,163,599,000	89,552,316	641,000
Capital Projects	63,117,000	92,836,000	29,719,000	110,542,000
Total	<u>2,253,627,684</u>	<u>2,396,587,000</u>	<u>142,959,316</u>	<u>111,227,000</u>

MENTAL RETARDATION AND DEVELOPMENTAL DISABILITIES

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Full-Time Equivalent Positions (FTE)			
<u>Program</u>	<u>2000-01 Estimated FTEs 03/31/01</u>	<u>2001-02 Estimated FTEs 03/31/02</u>	<u>FTE Change</u>
Central Coordination and Support			
General Fund	770	763	(7)
Special Revenue Funds - Other	18	0	(18)
Community Services			
General Fund	15,673	15,905	232
Institutional Services			
General Fund	5,253	5,291	38
Enterprise Funds	1	1	0
Research in Mental Retardation			
General Fund	222	222	0
Total	<u>21,937</u>	<u>22,182</u>	<u>245</u>

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

<u>Fund Type</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>
General Fund	202,337,000	153,296,000	(49,041,000)
Special Revenue Funds - Federal	80,000	80,000	0
Special Revenue Funds - Other	911,535,000	983,521,000	71,986,000
Enterprise Funds	1,957,000	2,350,000	393,000
Internal Service Funds	0	150,000	150,000
Fiduciary Funds	555,000	755,000	200,000
Total	<u>1,116,464,000</u>	<u>1,140,152,000</u>	<u>23,688,000</u>
Adjustments:			
Transfer(s) From			
Special Pay Bill			
General Fund	(70,815,000)		
Special Revenue Funds - Other	(124,000)		
Enterprise Funds	(7,000)		
Appropriated 2000-01	<u>1,045,518,000</u>		

MENTAL RETARDATION AND DEVELOPMENTAL DISABILITIES

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

<u>Program</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>
Central Coordination and Support			
General Fund	54,278,000	54,333,000	55,000
Special Revenue Funds - Federal	80,000	80,000	0
Special Revenue Funds - Other	1,728,000	0	(1,728,000)
Internal Service Funds	0	150,000	150,000
Community Services			
General Fund	686,700,000	708,127,000	21,427,000
Institutional Services			
General Fund	354,167,000	357,605,000	3,438,000
Enterprise Funds	1,957,000	2,350,000	393,000
Fiduciary Funds	505,000	705,000	200,000
Maintenance Undistributed			
General Fund	(909,807,000)	(983,521,000)	(73,714,000)
Special Revenue Funds - Other	909,807,000	983,521,000	73,714,000
Research in Mental Retardation			
General Fund	16,932,000	16,752,000	(180,000)
Fiduciary Funds	50,000	50,000	0
Community Projects			
General Fund	67,000	0	(67,000)
Total	<u>1,116,464,000</u>	<u>1,140,152,000</u>	<u>23,688,000</u>

STATE OPERATIONS - GENERAL AND OFFSET FUNDS SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2001-02 RECOMMENDED (dollars)

<u>Program</u>	<u>Total Personal Service</u>		<u>Personal Service Regular (Annual Salaried)</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Central Coordination and Support	39,171,000	(137,000)	36,932,000	(146,000)
Community Services	603,254,000	16,277,000	587,091,000	15,209,000
Institutional Services	221,707,000	(5,236,000)	214,233,000	(5,059,000)
Research in Mental Retardation	14,894,000	63,000	14,472,000	63,000
Total	<u>879,026,000</u>	<u>10,967,000</u>	<u>852,728,000</u>	<u>10,067,000</u>
<u>Program</u>	<u>Temporary Service (Nonannual Salaried)</u>		<u>Holiday/Overtime Pay (Annual Salaried)</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Central Coordination and Support	1,991,000	0	248,000	9,000
Community Services	4,765,000	281,000	11,398,000	787,000
Institutional Services	1,030,000	(25,000)	6,444,000	(152,000)
Research in Mental Retardation	260,000	0	162,000	0
Total	<u>8,046,000</u>	<u>256,000</u>	<u>18,252,000</u>	<u>644,000</u>

MENTAL RETARDATION AND DEVELOPMENTAL DISABILITIES

STATE OPERATIONS - GENERAL AND OFFSET FUNDS SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2001-02 RECOMMENDED (dollars)

<u>Program</u>	<u>Total Nonpersonal Service</u>		<u>Supplies and Materials</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Central Coordination and Support	15,162,000	192,000	556,000	22,000
Community Services	104,873,000	5,150,000	36,718,000	1,649,000
Institutional Services	135,898,000	8,674,000	20,091,000	1,992,000
Research in Mental Retardation	1,858,000	(243,000)	697,000	(91,000)
Community Projects	0	(67,000)	0	0
Total	<u>257,791,000</u>	<u>13,706,000</u>	<u>58,062,000</u>	<u>3,572,000</u>

<u>Program</u>	<u>Travel</u>		<u>Contractual Services</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Central Coordination and Support	1,254,000	141,000	12,051,000	(20,000)
Community Services	5,860,000	263,000	51,344,000	2,745,000
Institutional Services	1,048,000	104,000	29,074,000	2,883,000
Research in Mental Retardation	55,000	(7,000)	845,000	(111,000)
Total	<u>8,217,000</u>	<u>501,000</u>	<u>93,314,000</u>	<u>5,497,000</u>

<u>Program</u>	<u>Equipment</u>		<u>Maintenance Undistributed</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Central Coordination and Support	1,301,000	49,000	0	0
Community Services	5,111,000	230,000	5,840,000	263,000
Institutional Services	2,017,000	200,000	83,668,000	3,495,000
Research in Mental Retardation	261,000	(34,000)	0	0
Community Projects	0	0	0	(67,000)
Total	<u>8,690,000</u>	<u>445,000</u>	<u>89,508,000</u>	<u>3,691,000</u>

STATE OPERATIONS - OTHER THAN GENERAL FUND AND OFFSET FUNDS SUMMARY OF APPROPRIATIONS AND CHANGES 2001-02 RECOMMENDED (dollars)

<u>Program</u>	<u>Total</u>		<u>Personal Service</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Central Coordination and Support	230,000	(1,578,000)	0	(1,126,000)
Institutional Services	3,055,000	593,000	274,000	(5,000)
Research in Mental Retardation	50,000	0	0	0
Total	<u>3,335,000</u>	<u>(985,000)</u>	<u>274,000</u>	<u>(1,131,000)</u>

<u>Program</u>	<u>Nonpersonal Service</u>		<u>Maintenance Undistributed</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Central Coordination and Support	0	(602,000)	230,000	150,000
Institutional Services	2,781,000	598,000	0	0
Research in Mental Retardation	50,000	0	0	0
Total	<u>2,831,000</u>	<u>(4,000)</u>	<u>230,000</u>	<u>150,000</u>

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

<u>Fund Type</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>
General Fund	626,058,684	699,955,000	73,896,316
Special Revenue Funds - Other	445,988,000	461,644,000	15,656,000
Fiduciary Funds	2,000,000	2,000,000	0
Total	<u>1,074,046,684</u>	<u>1,163,599,000</u>	<u>89,552,316</u>

MENTAL RETARDATION AND DEVELOPMENTAL DISABILITIES

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

<u>Program</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>
Community Services			
General Fund	622,196,000	699,955,000	77,759,000
Special Revenue Funds - Other	445,988,000	461,644,000	15,656,000
Fiduciary Funds	2,000,000	2,000,000	0
Community Projects			
General Fund	3,862,684	0	(3,862,684)
Total	<u>1,074,046,684</u>	<u>1,163,599,000</u>	<u>89,552,316</u>

CAPITAL PROJECTS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

<u>Comprehensive Construction Program</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>	<u>Reappropriations 2001-02</u>
Design and Construction Supervision				
Capital Projects Fund	2,000,000	2,000,000	0	0
Mental Hygiene Capital Improvement Fund	3,000,000	3,000,000	0	0
Non-Bondable Projects				
Capital Projects Fund	2,000,000	1,000,000	(1,000,000)	0
Voluntary-Operated Community Facilities				
Capital Projects Fund	8,383,000	9,782,000	1,399,000	8,383,000
Mental Hygiene Capital Improvement Fund	2,678,000	6,758,000	4,080,000	2,678,000
State-Operated Community Services Program				
Capital Projects Fund	10,200,000	15,096,000	4,896,000	9,608,000
Mental Hygiene Capital Improvement Fund	2,500,000	5,000,000	2,500,000	21,200,000
Institutional Services Program				
Capital Projects Fund	19,445,000	14,250,000	(5,195,000)	30,839,000
Mental Hygiene Capital Improvement Fund	12,911,000	35,450,000	22,539,000	37,834,000
Eaccre Gymnasium Fund	0	500,000	500,000	0
Total	<u>63,117,000</u>	<u>92,836,000</u>	<u>29,719,000</u>	<u>110,542,000</u>

OFFICE OF PARKS, RECREATION AND HISTORIC PRESERVATION

MISSION

The mission of the Office of Parks, Recreation and Historic Preservation is to provide safe and enjoyable recreational and interpretive opportunities for all New York State residents and visitors and to be responsible stewards of our valuable natural, historic and cultural resources. The Office operates and maintains 162 parks and 35 historic sites, hosts a multitude of cultural and educational programs and offers diverse recreational opportunities ranging from secluded campsites to the internationally renowned Niagara Falls State Park. Over 60 million people visit the State's parks and historic sites annually.

New York State's growing park system and its unparalleled recreation opportunities are an important factor in the State's tourism industry and economy. Services open to the public at State parks include developed beaches, golf courses, performing arts centers, swimming pools, marinas, cabins, campgrounds, and many significant historic sites.

ORGANIZATION AND STAFFING

The Office is headed by a Commissioner, appointed by the Governor. Operations are administered through a network of 11 regional offices: Allegany, Central, Finger Lakes, Genesee, Long Island, New York City, Niagara, Palisades, Saratoga/Capital District, Taconic, and Thousand Islands. The central office is located in Albany, and includes executive staff and fiscal, personnel and other administrative support functions.

For fiscal year 2001-02, the Office will have a workforce of 1,661. Extensive use of more than 5,000 temporary and seasonal employees will be used to supplement the permanent staff in the peak summer season.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The 2001-02 Executive Budget recommends nearly \$242 million for the Office's programs, including \$131.2 million in General Fund moneys and over \$48.8 million in fee revenues. These funds will support operation of all park facilities and provide resources to extend the hours of operation at certain parks and historic sites, to expand services to include new entrepreneurial ventures, and to develop parklands acquired with funding from the Environmental Protection Fund and 1996 Clean Water/Clean Air Bond Act.

Specifically, these recommendations include \$1.5 million for operations at newly-acquired or expanded parks, including DeVeaux Woods, Eastern District Terminal, Camp Hero, and Schodack Island. The Budget also continues the \$10 million Heritage Trail program to identify, preserve and promote historically-significant places in New York State. Also included is \$500,000 in new resources to ensure recruitment and retention of entry-level seasonal staff, and \$500,000 to support increased energy costs incurred in the maintenance of the State's vast park system and in providing public safety for park patrons. The Budget also continues funding for the Empire State Games at the 2000-01 level, provides \$4.95 million for the Zoos, Botanical Gardens and Aquariums program, and funding for performing arts programming at Artpark will be \$475,000.

In 2001-02, the share of park operations financed with user fees will remain constant, while accommodating contractual salary increases. However, the General Fund continues to be the Office's primary source of support for its operating and local assistance budgets, providing 65 percent of its funding. The remaining 35 percent is provided by a variety of sources, including:

- User fees at the parks (30 percent);

PARKS, RECREATION AND HISTORIC PRESERVATION

- Federal grants for activities related to the use of recreational vehicles and land and water conservation (3 percent); and
- Fiduciary and Enterprise funds, including moneys earmarked for historic sites, arboretums and the Empire State Games (2 percent).

The primary focus of the Office's capital program is maintenance and rehabilitation of existing facilities, and the health and safety of park visitors. Park facilities include more than 5,000 buildings, 51 swimming pools, 76 improved beaches, 27 golf courses, 27 marinas, 40 boat launching sites, 18 nature centers, 774 cabins, and 8,320 campsites. The Office also maintains hundreds of miles of roads and trails, expansive utility systems, 106 dams and 604 bridges.

For 2001-02, appropriations of \$30.5 million are recommended for capital projects from the State Park Infrastructure Fund, a dedicated fund consisting of revenues generated from day use and camping fees at the parks, as well as concession revenues and other miscellaneous revenues. Budget recommendations also include \$15.5 million in fiduciary funding for the Observation Tower project at Niagara Reservation State Park, Niagara Gorge Trail projects, and other potential gifts to improve various parks.

Funding from the State Park Infrastructure Fund will be supplemented with resources for State parks capital projects from the Clean Water/Clean Air Bond Act and the Environmental Protection Fund (EPF). In 2001-02, the EPF will provide \$10 million in funding for infrastructure and stewardship projects at State parks and lands operated by the Office and the Department of Environmental Conservation.

PROGRAM HIGHLIGHTS

Since 1995-96, the Office has re-organized functions and consolidated management operations to more effectively provide safe and enjoyable recreational services to the public. These efforts will continue in 2001-02 as the Office achieves efficiencies through the streamlining of administrative oversight, business office and engineering services which will be pooled on a regional basis among park clusters. The Office has also fostered public-private partnerships to enhance park facilities and events, including corporate sponsorships for fireworks displays, playground construction and the Empire State Games. With private sector support and expertise, the Black Course at Bethpage State Park will be the first public course ever to host the U.S. Open national golf championship tournament in 2002.

The responsibilities of the Office are carried out through five major programs:

- Administration: provides executive direction, fiscal, personnel and audit services, public communications, and management of the Office's capital program;
- Park Operations: operates the State's 162 parks. Seasonal and full time personnel are assigned to specific facilities which, in turn, are part of one of the 11 regions. Staff include security and field operations staff, as well as skilled and semi-skilled maintenance personnel. Day use, golf course, and other user fees directly offset the cost of facility operations;
- Empire State Games: plans and implements the Games for the Physically Challenged, Senior Games, Summer Games and Winter Games;
- Historic Preservation: oversees preservation activities at 35 historic sites, develops a statewide Comprehensive Historic Preservation Plan, and maintains the State Register of Historic Places; and
- Natural Heritage Trust: receives and administers funds, including private gifts and bequests, to advance conservation, outdoor recreation and historic preservation purposes. Created under the Public Authorities Law in 1968, the Natural Heritage Trust is a public benefit corporation.

PARKS, RECREATION AND HISTORIC PRESERVATION

ALL FUNDS APPROPRIATIONS (dollars)

<u>Category</u>	<u>Available 2000-01</u>	<u>Appropriations Recommended 2001-02</u>	<u>Change</u>	<u>Reappropriations Recommended 2001-02</u>
State Operations	159,234,700	157,159,200	(2,075,500)	10,539,000
Aid To Localities	57,465,345	34,722,000	(22,743,345)	25,993,500
Capital Projects	38,483,000	49,682,000	11,199,000	88,584,000
Total	<u>255,183,045</u>	<u>241,563,200</u>	<u>(13,619,845)</u>	<u>125,116,500</u>

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Full-Time Equivalent Positions (FTE)

<u>Program</u>	<u>2000-01 Estimated FTEs 03/31/01</u>	<u>2001-02 Estimated FTEs 03/31/02</u>	<u>FTE Change</u>
Administration			
General Fund	72	90	18
Clean Water/Clean Air Administration Program			
Capital Projects Funds - Other	18	0	(18)
Historic Preservation			
General Fund	149	147	(2)
Special Revenue Funds - Federal	12	12	0
Special Revenue Funds - Other	1	1	0
Park Operations			
General Fund	1,188	1,177	(11)
Special Revenue Funds - Federal	10	10	0
Special Revenue Funds - Other	71	72	1
Expendable Trust Funds	3	3	0
Nonexpendable Trust Funds	1	1	0
Capital Projects Funds - Other	137	137	0
Recreation Services			
General Fund	11	11	0
Total	<u>1,673</u>	<u>1,661</u>	<u>(12)</u>

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

<u>Fund Type</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>
General Fund	108,550,900	102,555,000	(5,995,900)
Special Revenue Funds - Federal	3,446,600	3,982,300	535,700
Special Revenue Funds - Other	43,531,000	46,879,400	3,348,400
Enterprise Funds	2,500,000	2,500,000	0
Fiduciary Funds	1,206,200	1,242,500	36,300
Total	<u>159,234,700</u>	<u>157,159,200</u>	<u>(2,075,500)</u>

Adjustments:

Transfer(s) From	
Special Pay Bill	
General Fund	(7,360,000)
Special Revenue Funds - Federal	(88,000)
Special Revenue Funds - Other	(352,000)
Nonexpendable Trust Funds	(3,000)
Appropriated 2000-01	<u>151,431,700</u>

PARKS, RECREATION AND HISTORIC PRESERVATION

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

<u>Program</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>
Administration			
General Fund	5,393,700	6,563,000	1,169,300
Special Revenue Funds - Federal	75,000	395,000	320,000
Historic Preservation			
General Fund	19,931,900	9,944,600	(9,987,300)
Special Revenue Funds - Federal	996,300	1,423,300	427,000
Special Revenue Funds - Other	64,400	61,800	(2,600)
Fiduciary Funds	40,000	41,100	1,100
Park Operations			
General Fund	80,991,300	83,750,700	2,759,400
Special Revenue Funds - Federal	2,375,300	2,164,000	(211,300)
Special Revenue Funds - Other	43,466,600	46,817,600	3,351,000
Fiduciary Funds	1,166,200	1,201,400	35,200
Recreation Services			
General Fund	2,234,000	2,296,700	62,700
Enterprise Funds	2,500,000	2,500,000	0
Total	<u>159,234,700</u>	<u>157,159,200</u>	<u>(2,075,500)</u>

STATE OPERATIONS - GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2001-02 RECOMMENDED (dollars)

<u>Program</u>	<u>Total Personal Service</u>		<u>Personal Service Regular (Annual Salaried)</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Administration	4,970,900	1,125,900	4,904,800	1,123,000
Historic Preservation	8,364,700	96,100	6,601,600	77,200
Park Operations	75,567,000	2,408,400	48,797,900	568,500
Recreation Services	635,000	17,300	532,100	10,600
Total	<u>89,537,600</u>	<u>3,647,700</u>	<u>60,836,400</u>	<u>1,779,300</u>

<u>Program</u>	<u>Temporary Service (Nonannual Salaried)</u>		<u>Holiday/Overtime Pay (Annual Salaried)</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Administration	24,700	(800)	41,400	3,700
Historic Preservation	1,681,900	11,600	81,200	7,300
Park Operations	25,092,500	1,690,100	1,676,600	149,800
Recreation Services	81,400	4,800	21,500	1,900
Total	<u>26,880,500</u>	<u>1,705,700</u>	<u>1,820,700</u>	<u>162,700</u>

PARKS, RECREATION AND HISTORIC PRESERVATION

STATE OPERATIONS - GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2001-02 RECOMMENDED (dollars)

Program	Total Nonpersonal Service		Supplies and Materials	
	Amount	Change	Amount	Change
Administration	1,592,100	43,400	115,100	3,100
Historic Preservation	1,579,900	(10,083,400)	386,700	(38,100)
Park Operations	8,183,700	351,000	858,500	28,200
Recreation Services	1,661,700	45,400	237,000	6,500
Total	13,017,400	(9,643,600)	1,597,300	(300)

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration	90,400	2,500	1,386,600	37,800
Historic Preservation	68,800	(46,800)	729,500	(28,800)
Park Operations	766,400	69,500	4,344,100	196,100
Recreation Services	116,000	3,200	1,294,900	35,300
Total	1,041,600	28,400	7,755,100	240,400

Program	Equipment		Maintenance Undistributed	
	Amount	Change	Amount	Change
Historic Preservation	194,900	5,300	200,000	(9,975,000)
Park Operations	669,700	57,200	1,545,000	0
Recreation Services	13,800	400	0	0
Total	878,400	62,900	1,745,000	(9,975,000)

STATE OPERATIONS - OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 2001-02 RECOMMENDED (dollars)

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Administration	395,000	320,000	0	0
Historic Preservation	1,526,200	425,500	640,800	(34,700)
Park Operations	50,183,000	3,174,900	17,621,700	1,495,700
Recreation Services	2,500,000	0	0	0
Total	54,604,200	3,920,400	18,262,500	1,461,000

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration	395,000	320,000	0	0
Historic Preservation	885,400	460,200	0	0
Park Operations	32,160,300	1,679,200	401,000	0
Recreation Services	2,500,000	0	0	0
Total	35,940,700	2,459,400	401,000	0

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2000-01	Recommended 2001-02	Change
General Fund	54,095,345	28,652,000	(25,443,345)
Special Revenue Funds - Federal	1,870,000	4,170,000	2,300,000
Special Revenue Funds - Other	1,500,000	1,900,000	400,000
Total	57,465,345	34,722,000	(22,743,345)

PARKS, RECREATION AND HISTORIC PRESERVATION

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

<u>Program</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>
Administration			
General Fund	2,200,000	2,200,000	0
Special Revenue Funds - Federal	200,000	0	(200,000)
Historic Preservation			
Special Revenue Funds - Federal	170,000	170,000	0
Natural Heritage Trust			
General Fund	15,200,500	26,452,000	11,251,500
Park Operations			
Special Revenue Funds - Federal	1,000,000	2,500,000	1,500,000
Special Revenue Funds - Other	1,500,000	1,900,000	400,000
Recreation Services			
Special Revenue Funds - Federal	500,000	1,500,000	1,000,000
Community Projects			
General Fund	36,694,845	0	(36,694,845)
Total	<u>57,465,345</u>	<u>34,722,000</u>	<u>(22,743,345)</u>

CAPITAL PROJECTS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

<u>Comprehensive Construction Program</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>	<u>Reappropriations 2001-02</u>
Parks EQBA 86				
Capital Projects Fund - EQBA 86 (Bondable)	0	0	0	17,176,000
Natural Heritage Trust				
Capital Projects Fund	0	0	0	300,000
Federal Capital Projects Fund				
Federal Capital Projects Fund	1,000,000	2,500,000	1,500,000	3,284,000
New Facilities				
State Parks Infrastructure Fund	1,250,000	850,000	(400,000)	2,175,000
Maintenance and Improvements of Existing Facilities				
Fiduciary funds - Misc Combined Expendble Trust Fund	6,000,000	15,450,000	9,450,000	6,000,000
Misc. Capital Projects	1,000,000	1,250,000	250,000	2,767,000
State Parks Infrastructure Fund	27,780,000	29,632,000	1,852,000	55,463,000
Park Lands EQBA				
Capital Projects Fund - EQBA (Bondable)	0	0	0	406,000
Outdoor Recreation Development Bond Fund				
Outdoor Recreation Development Bond Fund	0	0	0	230,000
Parks and Recreation Land Acquisition Bond Fund				
Parks and Recreation Land Acquisition Bond Fund	0	0	0	783,000
Clean Water - Clean Air Implementation				
Clean Water Clean Air Implementation Fund	1,453,000	0	(1,453,000)	0
Total	<u>38,483,000</u>	<u>49,682,000</u>	<u>11,199,000</u>	<u>88,584,000</u>

COMMISSION ON QUALITY OF CARE FOR THE MENTALLY DISABLED

MISSION

The Commission on Quality of Care for the Mentally Disabled provides oversight of the State and local mental hygiene systems that collectively spend more than \$5 billion in public funds annually. The Commission:

- monitors conditions of care in State institutions for the mentally ill and mentally retarded, licensed residential facilities, and outpatient programs;
- reports to the Governor and Legislature on how the laws and policies established to protect the rights of mentally disabled persons are being implemented; and
- makes recommendations to improve quality of care.

ORGANIZATION AND STAFFING

The Commission consists of a full-time chairman and two unsalaried members, each appointed by the Governor and confirmed by the Senate to serve for staggered five-year terms. During 2001-02, the agency will have a workforce of 93 positions funded by the General Fund, Federal Grants and other Federal revenues related to oversight of Medicaid programs.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The Commission's State Operations appropriation, which comprises 96 percent of the total agency budget, is supported by the General Fund (28 percent), Federal grants (46 percent) and other funding, primarily receipts from the Medicaid program related to program oversight activities (26 percent).

The Aid to Localities appropriations, which comprise 4 percent of the total agency budget, fund:

- contracts with private, non-profit service provider agencies that provide advocacy services to residents of adult homes and adult care facilities; and
- contracts with non-profit Community Dispute Resolution Centers, which provide support services for the Surrogate Decision Making Committee program.

The Executive Budget recommendation of \$11.1 million is \$810,000 more than 2000-01. This includes \$750,000 in Federal appropriation added in anticipation of a new grant award for the Federal Ticket to Work and Work Incentives Improvement Act of 1999. Overall, the recommendation fully supports existing program and service levels.

PROGRAM HIGHLIGHTS

OVERSIGHT OF SERVICE DELIVERY

The Commission, through its Administration program, provides independent oversight and review of State- and voluntary-operated programs serving individuals with mental illness, developmental disabilities, and alcohol and substance abuse problems. Most importantly, it investigates complaints including allegations of patient abuse or mistreatment in facilities operated or licensed by these agencies.

QUALITY OF CARE FOR THE MENTALLY DISABLED

PROTECTION AND ADVOCACY

Federal funding provides statewide protection and advocacy services for individuals with disabilities, using State staff and contracts with non-profit agencies, through the following programs: Protection and Advocacy for the Developmentally Disabled; Client Assistance; Protection and Advocacy for Individuals with Mental Illness; Protection and Advocacy of Individual Rights; and Technology Related Protection and Advocacy. Additionally, the Commission anticipates a Federal grant to provide protection and advocacy services related to the Federal Ticket to Work and Work Incentives Improvement Act of 1999. These programs assist the Commission in strengthening the non-profit contract network which provides individuals with severe disabilities with protection and advocacy services under Federal law.

ADULT HOMES

Residents of adult homes that are serving a large number of persons who have received services through the mental hygiene system enjoy advocacy services administered by the Commission through contracts with local not-for-profit organizations. These services, funded in part through a Quality Incentive Program grant from the Department of Health, focus on protecting and promoting residents' rights. The Commission has also developed a dedicated team, funded in its Administration program, to work collaboratively with the Department of Health to conduct investigations into the quality of care provided to individuals living in adult homes.

SURROGATE DECISION MAKING COMMITTEE

The Surrogate Decision Making Committee (SDMC) program reviews recommendations for medical services on behalf of individuals receiving Mental Hygiene residential services with neither a legal guardian nor the ability to make decisions for themselves. SDMC utilizes volunteer teams comprised of medical, legal and health care professionals and advocates, working with local dispute resolution centers to accomplish reviews. Commission staff, in the Administration program, provide administrative support to SDMC. The Governor's 1998-99 budget supported a multi-year initiative to expand this service statewide. Expansion to the final two regions, Western New York and the Finger Lakes area, will be completed in 2001-02.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2000-01	Appropriations Recommended 2001-02	Change	Reappropriations Recommended 2001-02
State Operations	9,785,000	10,595,000	810,000	5,649,000
Aid To Localities	468,000	468,000	0	0
Capital Projects	0	0	0	0
Total	<u>10,253,000</u>	<u>11,063,000</u>	<u>810,000</u>	<u>5,649,000</u>

QUALITY OF CARE FOR THE MENTALLY DISABLED

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Full-Time Equivalent Positions (FTE)			
Program	2000-01 Estimated FTEs 03/31/01	2001-02 Estimated FTEs 03/31/02	FTE Change
Administration			
General Fund	41	39	(2)
Special Revenue Funds - Other	28	30	2
Client Assistance			
Special Revenue Funds - Federal	2	2	0
Protection and Advocacy for the Developmentally Disabled			
Special Revenue Funds - Federal	11	11	0
Protection and Advocacy for the Mentally Ill			
Special Revenue Funds - Federal	9	9	0
Protection and Advocacy IR			
Special Revenue Funds - Federal	2	2	0
Total	93	93	0

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2000-01	Recommended 2001-02	Change
General Fund	3,134,000	2,987,000	(147,000)
Special Revenue Funds - Federal	4,147,000	4,894,000	747,000
Special Revenue Funds - Other	2,484,000	2,694,000	210,000
Enterprise Funds	20,000	20,000	0
Total	9,785,000	10,595,000	810,000
Adjustments:			
Transfer(s) From			
Special Pay Bill			
General Fund	(201,000)		
Special Revenue Funds - Federal	(125,000)		
Special Revenue Funds - Other	(158,000)		
Appropriated 2000-01	9,301,000		

QUALITY OF CARE FOR THE MENTALLY DISABLED

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

<u>Program</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>
Administration			
General Fund	3,134,000	2,987,000	(147,000)
Special Revenue Funds - Other	2,484,000	2,694,000	210,000
Enterprise Funds	20,000	20,000	0
Client Assistance			
Special Revenue Funds - Federal	642,000	646,000	4,000
Protection and Advocacy for the Developmentally Disabled			
Special Revenue Funds - Federal	1,569,000	1,546,000	(23,000)
Protection and Advocacy for the Mentally Ill			
Special Revenue Funds - Federal	1,233,000	1,230,000	(3,000)
Protection and Advocacy IR			
Special Revenue Funds - Federal	653,000	662,000	9,000
Technology Related Protection and Advocacy			
Special Revenue Funds - Federal	50,000	60,000	10,000
Ticket to Work and Work Incentive Improvement Protection and Advocacy			
Special Revenue Funds - Federal	0	750,000	750,000
Total	<u>9,785,000</u>	<u>10,595,000</u>	<u>810,000</u>

STATE OPERATIONS - GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2001-02 RECOMMENDED (dollars)

<u>Program</u>	<u>Total Personal Service</u>		<u>Personal Service Regular (Annual Salaried)</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Administration	2,336,000	(121,000)	2,322,000	(123,000)
Total	<u>2,336,000</u>	<u>(121,000)</u>	<u>2,322,000</u>	<u>(123,000)</u>

<u>Program</u>	<u>Holiday/Overtime Pay (Annual Salaried)</u>	
	<u>Amount</u>	<u>Change</u>
Administration	14,000	2,000
Total	<u>14,000</u>	<u>2,000</u>

STATE OPERATIONS - GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2001-02 RECOMMENDED (dollars)

<u>Program</u>	<u>Total Nonpersonal Service</u>		<u>Supplies and Materials</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Administration	651,000	(26,000)	41,100	0
Total	<u>651,000</u>	<u>(26,000)</u>	<u>41,100</u>	<u>0</u>

<u>Program</u>	<u>Travel</u>		<u>Contractual Services</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Administration	121,200	0	437,600	(19,000)
Total	<u>121,200</u>	<u>0</u>	<u>437,600</u>	<u>(19,000)</u>

<u>Program</u>	<u>Equipment</u>	
	<u>Amount</u>	<u>Change</u>
Administration	51,100	(7,000)
Total	<u>51,100</u>	<u>(7,000)</u>

QUALITY OF CARE FOR THE MENTALLY DISABLED

STATE OPERATIONS - OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 2001-02 RECOMMENDED (dollars)

<u>Program</u>	Total		Personal Service	
	Amount	Change	Amount	Change
Administration	2,714,000	210,000	1,710,000	126,000
Client Assistance	646,000	4,000	108,000	3,300
Protection and Advocacy for the Developmentally Disabled	1,546,000	(23,000)	613,000	32,500
Protection and Advocacy for the Mentally III	1,230,000	(3,000)	468,000	(3,400)
Protection and Advocacy IR	662,000	9,000	112,000	21,800
Technology Related Protection and Advocacy	60,000	10,000	0	0
Ticket to Work and Work Incentive Improvement Protection and Advocacy	750,000	750,000	0	0
Total	<u>7,608,000</u>	<u>957,000</u>	<u>3,011,000</u>	<u>180,200</u>

<u>Program</u>	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration	1,004,000	84,000	0	0
Client Assistance	538,000	700	0	0
Protection and Advocacy for the Developmentally Disabled	933,000	(55,500)	0	0
Protection and Advocacy for the Mentally III	762,000	400	0	0
Protection and Advocacy IR	550,000	(12,800)	0	0
Technology Related Protection and Advocacy	60,000	10,000	0	0
Ticket to Work and Work Incentive Improvement Protection and Advocacy	0	0	750,000	750,000
Total	<u>3,847,000</u>	<u>26,800</u>	<u>750,000</u>	<u>750,000</u>

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

<u>Fund Type</u>	Available 2000-01	Recommended 2001-02	Change
General Fund	95,000	95,000	0
Special Revenue Funds - Other	373,000	373,000	0
Total	<u>468,000</u>	<u>468,000</u>	<u>0</u>

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

<u>Program</u>	Available 2000-01	Recommended 2001-02	Change
Adult Homes			
General Fund	95,000	95,000	0
Surrogate Decision Making			
Special Revenue Funds - Other	373,000	373,000	0
Total	<u>468,000</u>	<u>468,000</u>	<u>0</u>