

DEPARTMENT OF CORRECTIONAL SERVICES

MISSION

The Department of Correctional Services (DOCS) is responsible for the secure confinement of convicted felons and the preparation of these individuals for successful reintegration into the community upon release.

ORGANIZATION AND STAFFING

The Department, headed by a Commissioner, has more than 32,000 employees to operate 71 facilities. Each correctional facility is headed by a Superintendent and executive staff to oversee the daily operation of the nation's third largest state prison system.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The budget recommendations for the Department of Corrections reflect the declining prison population and agency operating efficiencies. The projected reduced demand for prison space represents a significant change in historical trends. For the past two decades New York has been faced with a growing need for prison capacity. Current population estimates project a decline for the coming fiscal year. This reversal of the historical trend is the result of several factors, including a decline in the statewide number of felony arrests, and the success of initiatives (such as Shock Incarceration, the Willard Drug Treatment Campus and the Merit Time Program) designed to reduce the number of non-violent offenders in prison. It is important to note that this reduction in demand for prison space will occur despite the fact that violent criminals are serving longer prison terms as a result of sentencing reforms enacted since 1995. Over the past six years, penalties for violent crime have increased significantly in New York State. Jenna's Law, enacted in August 1998, together with Truth-in-Sentencing legislation enacted in 1995, ensure that violent offenders will serve 85 percent of their sentence in prison. In addition, violent offenders have been barred from participation in work release programs, and sentences were lengthened for assault crimes.

The projected lower demand for prison space allows the Department of Correctional Services to reduce the number of temporary beds currently in use in the prison system, and reduce the need for housing State-ready prisoners in local jails due to the availability of adequate State prison capacity.

- State tax dollars finance 88 percent of the Department's State Operations Budget.
- State tax dollars support 100 percent of the Department's Aid to Localities Budget. The \$21.5 million appropriation allows the State to reimburse counties for the cost of housing inmates awaiting transfer to State prison.
- Federal funds support 4.1 percent of the Department's State Operations Budget, including funds which offset the cost of housing illegal alien felons.
- Correctional Facilities Capital Improvement Fund appropriations of \$215 million ensure that all housing, medical buildings and support space remain functional, safe and secure.
- The DOCS Internal Service Fund appropriates \$90.2 million related to operation of the Correctional Industries program (Corcraft). This inmate vocational program engages in the production of commodities, as well as prison maintenance and rehabilitation projects, in the process giving individuals an opportunity to learn

CORRECTIONAL SERVICES

employment skills to improve their chances of successful reintegration into the community. This appropriation includes support of the general reissue of license plates which is scheduled to commence in January 2001.

PROGRAM HIGHLIGHTS

The Department operates correctional facilities with a wide range of security measures. Inmates are provided with appropriate programming to afford offenders an opportunity for rehabilitation aimed at reducing recidivism.

Additionally, the Department, in cooperation with the Division of Parole, operates the Willard Drug Treatment Center in Seneca County. Through this program, courts have the option to remand low level, nonviolent offenders to treatment — an option expected to stop the cycle of drug-related criminal activity at far less cost to the taxpayers than traditional incarceration.

The 2001-02 recommendations incorporate a realignment of staff and resources providing mental health services from Program Services to Health Services. The realignment will improve the coordination and planning for mental health services provided to inmates.

ADMINISTRATION

Administrative staff formulate and oversee agency policy and provide operational support to correctional facilities. The responsibilities of this program include the direction of inmate classification and movement, labor relations, personnel and financial transactions, and the Department's legal affairs.

SUPPORT SERVICES

The Support Services Program provides all resources necessary for the operation of the 71 facilities housing individuals remanded to State custody. This includes the employment of all facility managers and centrally assigned staff essential to operate and maintain the agency's physical plant. Through this program, the agency also provides inmate food and transportation services, and enters into cooperative agreements with local governments for sewer/water systems. The agency has been increasingly successful in implementing initiatives to improve operational efficiencies.

Food services efficiencies include lower costs associated with the expanded number of inmates served by the Department's "cook chill" food production center, centralized food purchasing, and increased milk production and distribution from facility farms.

SUPERVISION OF INMATES

The Department employs more than 21,600 correction officers to ensure a secure environment for employees and inmates within the correctional setting and to protect the safety of surrounding communities. The Department continues to maintain one of the highest officer-to-inmate ratios in the United States.

PROGRAM SERVICES

The majority of inmates entering State prison have histories of substance abuse and severe educational deficits — two factors highly predictive of criminal behavior. To counter this problem, the agency's rehabilitation efforts focus on basic education and simple vocational skill achievement to ready inmates for employment upon release. The agency's Comprehensive Alcohol and Substance Abuse Treatment program (CASAT) will continue

to provide over 4,900 offenders each year with six months of residential treatment and follow-up care. Resources to coordinate the agency's sex offender treatment programs, aggression management programs and transitional services will enable the Department to better treat and stabilize the prison population.

HEALTH SERVICES

Offenders entering prison present a significant need for health care because of high rates of opportunistic disease related to AIDS, tuberculosis and other infectious conditions. The recommended budget ensures that the Department has the resources to meet the full need for AIDS screening and interventions, and all other requirements to prevent the spread of disease and treat critical illness.

The Department's approach to health care has kept pace with the national trend toward cost efficient managed care programs. To contain escalating costs of appropriate health care for the inmate population the Department will continue the operation of regional medical units on the grounds of Mohawk, Coxsackie, Wende, Bedford Hills and Fishkill correctional facilities.

The 2001-02 budget recommendations fully support the provision of pharmaceutical treatment for HIV-positive inmates according to established standards of care. It is expected that comprehensive early treatment will result in a healthier HIV/AIDS population, reducing illness and the need for hospitalization. Notably, the number of inmates dying annually from HIV related disease has plummeted by 87 percent since 1995.

CAPITAL PROJECTS

The Department of Correctional Services operates an institutional network of 71 correctional facilities, a number of which were converted during prison expansion in the 1980's from very old facilities initially built for other uses. With the completion of Five Points Correctional Facility signaling the end of the most recent capacity expansion effort, the Capital Projects Fund will now focus its resources on critical physical plant maintenance and rehabilitation projects.

DEPARTMENT OF CORRECTIONAL SERVICES MALE FACILITIES

Maximum Security

Attica Correctional Facility	(Wyoming County)
Auburn Correctional Facility	(Cayuga County)
Clinton Correctional Facility	(Clinton County)
Coxsackie Correctional Facility	(Greene County)
Downstate Correctional Facility	(Dutchess County)
Eastern Correctional Facility	(Ulster County)
Elmira Correctional Facility	(Chemung County)
Five Points Correctional Facility	(Seneca County)
Green Haven Correctional Facility	(Dutchess County)
Great Meadow Correctional Facility	(Washington County)
Shawangunk Correctional Facility	(Ulster County)
Sing Sing Correctional Facility	(Westchester County)
Southport Correctional Facility	(Chemung County)
Sullivan Correctional Facility	(Sullivan County)
Upstate Correctional Facility	(Franklin County)
Wende Correctional Facility	(Erie County)

CORRECTIONAL SERVICES

Medium Security

Adirondack Correctional Facility	(Clinton County)
Altona Correctional Facility	(Clinton County)
Arthurkill Correctional Facility and CASAT**	(Richmond County)
Bare Hill Correctional Facility	(Franklin County)
Butler CASAT	(Wayne County)
Cape Vincent Correctional Facility and CASAT	(Jefferson County)
Cayuga Correctional Facility	(Cayuga County)
Chateaugay CASAT	(Franklin County)
Collins Correctional Facility	(Erie County)
Fishkill Correctional Facility	(Dutchess County)
Franklin Correctional Facility	(Franklin County)
Gouverneur Correctional Facility	(St. Lawrence County)
Gowanda Correctional Facility	(Erie County)
Greene Correctional Facility	(Greene County)
Groveland Correctional Facility	(Livingston County)
Hale Creek CASAT	(Fulton County)
Hudson Correctional Facility	(Columbia County)
Livingston Correctional Facility	(Livingston County)
Marcy Correctional Facility and CASAT Annex	(Oneida County)
Mid-Orange Correctional Facility	(Orange County)
Mid-State Correctional Facility	(Oneida County)
Mohawk Correctional Facility	(Oneida County)
Mt. McGregor Correctional Facility	(Saratoga County)
Ogdensburg Correctional Facility	(St. Lawrence County)
Oneida Correctional Facility	(Oneida County)
Orleans Correctional Facility	(Orleans County)
Otisville Correctional Facility	(Orange County)
Riverview Correctional Facility	(St. Lawrence County)
Ulster Correctional Facility	(Ulster County)
Walkkill Correctional Facility	(Ulster County)
Washington Correctional Facility	(Washington County)
Watertown Correctional Facility	(Jefferson County)
Woodbourne Correctional Facility	(Sullivan County)
Wyoming Correctional Facility	(Wyoming County)

Minimum Security

Butler Correctional Facility	(Wayne County)
Lyon Mountain Correctional Facility	(Clinton County)

Minimum Work Release

Buffalo Correctional Facility	(Erie County)
Edgecombe Correctional Facility	(New York County)
Fishkill Correctional Facility*	(Dutchess County)
Fulton Correctional Facility	(Bronx County)
Hudson Correctional Facility*	(Columbia County)
Lincoln Correctional Facility	(New York County)
Queensboro Correctional Facility	(Queens County)
Rochester Correctional Facility	(Monroe County)

CORRECTIONAL SERVICES

Camps

Camp Gabriels	(Franklin County)
Camp Georgetown	(Madison County)
Camp Mt. McGregor*	(Saratoga County)
Camp Pharsalia	(Chenango County)
Camp Fallsburg*	(Sullivan County)

Shock Incarceration

Lakeview Shock Incarceration Facility	(Chautauqua County)
Monterey Shock Incarceration Facility	(Schuyler County)
Moriah Shock Incarceration Facility	(Essex County)
Summit Shock Incarceration Facility	(Schoharie County)

Drug Treatment Campus

Willard Drug Treatment Campus	(Seneca County)
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DEPARTMENT OF CORRECTIONAL SERVICES FEMALE FACILITIES

Maximum Security

Bedford Hills Correctional Facility	(Westchester County)
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Medium Security

Albion Correctional Facility	(Orleans County)
Bayview Correctional Facility	(New York County)
Taconic Correctional Facility and CASAT	(Westchester County)

Minimum Security

Beacon Correctional Facility	(Dutchess County)
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Minimum Work Release

Albion Correctional Facility*	(Orleans County)
Bayview Correctional Facility*	(New York County)

Shock Incarceration

Lakeview Shock Incarceration Facility	(Chautauqua County)
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Drug Treatment Campus

Willard Drug Treatment Campus	(Seneca County)
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* Indicates programs are operating as part of a larger correctional facility listed under the same name.

** CASAT is an acronym for Comprehensive Alcohol and Substance Abuse Treatment.

CORRECTIONAL SERVICES

ALL FUNDS APPROPRIATIONS (dollars)

<u>Category</u>	<u>Available 2000-01</u>	<u>Appropriations Recommended 2001-02</u>	<u>Change</u>	<u>Reappropriations Recommended 2001-02</u>
State Operations	2,018,027,800	2,035,707,700	17,679,900	11,200,000
Aid To Localities	69,934,300	21,537,000	(48,397,300)	0
Capital Projects	220,000,000	235,000,000	15,000,000	813,101,000
Total	<u>2,307,962,100</u>	<u>2,292,244,700</u>	<u>(15,717,400)</u>	<u>824,301,000</u>

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Full-Time Equivalent Positions (FTE)

<u>Program</u>	<u>2000-01 Estimated FTEs 03/31/01</u>	<u>2001-02 Estimated FTEs 03/31/02</u>	<u>FTE Change</u>
Administration			
General Fund	271	271	0
Special Revenue Funds - Federal	874	874	0
Correctional Industries			
Internal Service Funds	541	541	0
Facilities Planning and Development			
Capital Projects Funds - Other	35	35	0
Health Services			
General Fund	1,730	1,699	(31)
Enterprise Funds	32	32	0
Program Services			
General Fund	3,553	3,427	(126)
Enterprise Funds	204	204	0
Supervision of Inmates			
General Fund	22,028	21,614	(414)
Support Services			
General Fund	3,618	3,575	(43)
Total	<u>32,886</u>	<u>32,272</u>	<u>(614)</u>

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

<u>Fund Type</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>
General Fund	1,809,810,100	1,799,322,000	(10,488,100)
Special Revenue Funds - Federal	61,939,000	83,344,000	21,405,000
Enterprise Funds	60,590,700	62,856,700	2,266,000
Internal Service Funds	85,670,000	90,167,000	4,497,000
Fiduciary Funds	18,000	18,000	0
Total	<u>2,018,027,800</u>	<u>2,035,707,700</u>	<u>17,679,900</u>

Adjustments:

Transfer(s) From	
Special Pay Bill	
General Fund	(135,767,000)
Special Revenue Funds - Federal	(379,000)
Enterprise Funds	(455,700)
Internal Service Funds	<u>(3,114,000)</u>
Appropriated 2000-01	<u>1,878,312,100</u>

CORRECTIONAL SERVICES

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

<u>Program</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>
Administration			
General Fund	21,870,714	21,689,000	(181,714)
Special Revenue Funds - Federal	61,939,000	83,344,000	21,405,000
Enterprise Funds	1,817,700	1,817,700	0
Correctional Industries			
Internal Service Funds	85,670,000	90,167,000	4,497,000
Health Services			
General Fund	204,799,122	201,825,000	(2,974,122)
Enterprise Funds	16,024,820	16,025,000	180
Program Services			
General Fund	200,423,213	196,204,000	(4,219,213)
Enterprise Funds	42,248,180	44,514,000	2,265,820
Fiduciary Funds	18,000	18,000	0
Supervision of Inmates			
General Fund	1,061,556,600	1,056,923,000	(4,633,600)
Support Services			
General Fund	321,160,451	322,681,000	1,520,549
Enterprise Funds	500,000	500,000	0
Total	<u>2,018,027,800</u>	<u>2,035,707,700</u>	<u>17,679,900</u>

STATE OPERATIONS - GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2001-02 RECOMMENDED (dollars)

<u>Program</u>	<u>Total Personal Service</u>		<u>Personal Service Regular (Annual Salaried)</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Administration	15,450,000	(181,714)	15,274,000	(181,714)
Health Services	92,227,000	(854,660)	84,964,000	(2,122,200)
Program Services	158,070,000	(836,575)	148,137,000	(897,635)
Supervision of Inmates	1,040,398,000	(2,019,000)	1,005,878,000	382,900
Support Services	142,895,000	(650,551)	139,901,000	(649,951)
Total	<u>1,449,040,000</u>	<u>(4,542,500)</u>	<u>1,394,154,000</u>	<u>(3,468,600)</u>

<u>Program</u>	<u>Temporary Service (Nonannual Salaried)</u>		<u>Holiday/Overtime Pay (Annual Salaried)</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Administration	10,000	0	166,000	0
Health Services	4,023,000	392,540	3,240,000	875,000
Program Services	7,105,000	60,660	2,828,000	400
Supervision of Inmates	1,608,000	(2,393,400)	32,912,000	(8,500)
Support Services	165,000	(200)	2,829,000	(400)
Total	<u>12,911,000</u>	<u>(1,940,400)</u>	<u>41,975,000</u>	<u>866,500</u>

CORRECTIONAL SERVICES

**STATE OPERATIONS - GENERAL FUND
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
2001-02 RECOMMENDED
(dollars)**

<u>Program</u>	<u>Total Nonpersonal Service</u>		<u>Supplies and Materials</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Administration	6,239,000	0	137,000	0
Health Services	109,598,000	(2,119,462)	45,991,000	4,496,038
Program Services	38,134,000	(3,382,638)	12,736,000	(1,155,838)
Supervision of Inmates	16,525,000	(2,614,600)	4,351,000	(2,042,700)
Support Services	179,786,000	2,171,100	97,416,000	1,234,000
Total	<u>350,282,000</u>	<u>(5,945,600)</u>	<u>160,631,000</u>	<u>2,531,500</u>

<u>Program</u>	<u>Travel</u>		<u>Contractual Services</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Administration	562,000	0	4,203,000	0
Health Services	173,000	(400)	63,070,000	(6,450,100)
Program Services	358,000	7,000	24,525,000	(2,233,800)
Supervision of Inmates	2,343,000	(147,000)	8,982,000	(475,400)
Support Services	2,742,000	0	72,659,000	936,400
Total	<u>6,178,000</u>	<u>(140,400)</u>	<u>173,439,000</u>	<u>(8,222,900)</u>

<u>Program</u>	<u>Equipment</u>		<u>Maintenance Undistributed</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Administration	769,000	0	568,000	0
Health Services	364,000	0	0	(165,000)
Program Services	515,000	0	0	0
Supervision of Inmates	849,000	123,000	0	(72,500)
Support Services	6,969,000	700	0	0
Total	<u>9,466,000</u>	<u>123,700</u>	<u>568,000</u>	<u>(237,500)</u>

**STATE OPERATIONS - OTHER THAN GENERAL FUND
SUMMARY OF APPROPRIATIONS AND CHANGES
2001-02 RECOMMENDED
(dollars)**

<u>Program</u>	<u>Total</u>		<u>Personal Service</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Administration	85,161,700	21,405,000	80,630,700	21,205,000
Correctional Industries	90,167,000	4,497,000	24,828,000	309,000
Health Services	16,025,000	180	2,184,000	(220)
Program Services	44,532,000	2,265,820	4,302,000	520
Support Services	500,000	0	0	0
Total	<u>236,385,700</u>	<u>28,168,000</u>	<u>111,944,700</u>	<u>21,514,300</u>

<u>Program</u>	<u>Nonpersonal Service</u>		<u>Maintenance Undistributed</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Administration	2,771,000	0	1,760,000	200,000
Correctional Industries	65,339,000	4,188,000	0	0
Health Services	13,841,000	400	0	0
Program Services	40,230,000	2,265,300	0	0
Support Services	500,000	0	0	0
Total	<u>122,681,000</u>	<u>6,453,700</u>	<u>1,760,000</u>	<u>200,000</u>

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS
(dollars)**

<u>Fund Type</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>
General Fund	69,934,300	21,537,000	(48,397,300)
Total	<u>69,934,300</u>	<u>21,537,000</u>	<u>(48,397,300)</u>

CORRECTIONAL SERVICES

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

<u>Program</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>
Support Services			
General Fund	69,784,300	21,537,000	(48,247,300)
Community Projects			
General Fund	150,000	0	(150,000)
Total	<u>69,934,300</u>	<u>21,537,000</u>	<u>(48,397,300)</u>

CAPITAL PROJECTS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

<u>Comprehensive Construction Program</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>	<u>Reappropriations 2001-02</u>
Maintenance and Improvement of Existing Facilities				
Capital Projects Fund	0	0	0	3,000,000
Federal Capital Projects Fund	0	20,000,000	20,000,000	55,646,000
Correctional Facilities Capital Improvement Fund	220,000,000	215,000,000	(5,000,000)	509,985,000
UDC Financed and Other New Facility Capacity Expansion				
Correctional Facilities Capital Improvement Fund	0	0	0	244,470,000
Total	<u>220,000,000</u>	<u>235,000,000</u>	<u>15,000,000</u>	<u>813,101,000</u>