

STATE OFFICE FOR THE AGING

MISSION

The New York State Office for the Aging is responsible for promoting, coordinating and administering State, Federal and local programs and services for 3.2 million New Yorkers aged 60 or older. The Office provides leadership and direction to 59 Area Agencies on Aging, as well as to numerous other local programs and providers that comprise the network of services to the aged.

ORGANIZATION AND STAFFING

The State Office for the Aging is headed by a Director and is composed of four divisions: Executive, Finance and Administration, Local Program Operations, and Policy and Program Development. The Office will have a workforce of 157 employees during FY 2001-02: 145 funded within the agency, and an additional 12 positions funded by other State agencies. Approximately 36 percent of agency operations are funded by the General Fund, and the remaining 64 percent is financed by Federal grants and grants from private organizations.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The 2001-02 Executive Budget recommends nearly \$179 million in State and Federal funds for the continued operations of the agency and the expansion of community-based support services for the elderly. Within the aging system, State support is used to match Older Americans Act and other Federal funding and establish a service-delivery network that attracts a variety of other public and private contributions. When combined, this results in total network spending of over \$384 million, excluding the value of volunteer labor which has been estimated at upwards of \$35 million.

For the second year, these recommendations expand services under the Community Services for the Elderly (CSE) program, bringing the total amount of State support for this program to over \$17 million — an increase of \$1.5 million over SFY 2000-01. Serving nearly 78,000 elderly statewide, this program supports a locally-coordinated continuum of support services designed to assist the elderly, their families and informal caregivers. The goal of the program is to maximize independence and reduce unnecessary reliance on institutional care.

Services available under this program include: case management, nutrition/meals, housekeeping/chore services, personal care, home health aide services, adult day services, transportation, minor renovations or the purchase of equipment for congregate facilities, and other individualized support services. In contrast with the agency's other major programs, which are limited to certain prescribed services, CSE affords Area Agencies on Aging maximum flexibility in selecting which services to offer and/or expand. Strongly linked to local planning efforts, CSE provides a comprehensive array of services that will allow the State to continue to meet the ever-changing needs of our growing elderly population.

PROGRAM HIGHLIGHTS

The full array of programs offered through the Office for the Aging are aimed at keeping seniors independent as long as possible by utilizing family and other informal caregivers, as well as through the limited use of formal support services. These community-based services help keep people healthy and in their homes and out of hospitals and nursing homes.

STATEWIDE COMMUNITY-BASED ASSISTANCE

In addition to the CSE program, the State supports other statewide aging programs administered through Area Agencies on Aging from a combination of State and Federal funding.

The Expanded In-home Services for the Elderly Program (EISEP) provides non-medical in-home services, case management, respite and ancillary services to the frail elderly, most of whom are low income but not eligible for Medicaid. Recipients are required to cost-share, based on their annual income level, for EISEP program services received. More than 29,000 persons are served under this program.

The Congregate Services Initiative (CSI) provides services in community settings where people come together for services and activities, including: information and assistance, counseling, transportation, support services for families/caregivers, volunteer opportunities and employment information and health promotion and disease prevention services.

NUTRITION SERVICES

Through a combination of State tax dollars and Federal grants, the State annually provides nearly 25 million congregate and home-delivered meals as well as other nutritional services to non-institutionalized frail elderly clients. These seniors are at risk of malnutrition because they are no longer able to obtain an adequate diet without assistance. The Office receives \$40 million in appropriation authority from Federal grants in support of congregate and home-delivered meals and another \$17 million to support the purchase of food. The State counterpart to these Federal programs — the Supplemental Nutrition Assistance Program (SNAP) — provides another \$17 million.

PARTNERSHIPS AND TARGETED PROGRAMS

The Office participates in a number of partnerships with businesses, foundations and not-for-profit organizations, and also coordinates programs targeted for special populations.

The term "Naturally Occurring Retirement Community" (NORC) describes a phenomenon experienced by certain housing complexes, cooperatives and apartment buildings where the population has "aged in place". The NORC Supportive Service Program was created to deliver on-site services, thereby allowing residents to continue to remain independent. Fourteen such programs exist, with the State's public funds matched dollar for dollar by private contributions from the retirement communities themselves. Now in its sixth year, this public/private partnership cares for an estimated 20,000 clients.

Under the Caregiver Assistance Program, 17 Caregiver Resource Centers provide a single focal point of assistance for family members and other informal providers of long-term care to homebound elderly. The primary responsibilities of the Centers are to assist caregivers through training programs, support groups and counseling; and to link them with Area Agency on Aging services, as well as other community services. More than 9,000 people are served by this program.

The Long-Term Care Ombudsman Program (LTCOP) supports the advocacy in 52 counties of more than 700 trained volunteers on the behalf of the approximately 150,000 residents of the New York State's nursing homes and adult care facilities. Under this program, the State Office for the Aging and community agencies receive, investigate and resolve a wide range of concerns and complaints regarding conditions and treatment in long-term care facilities, with the goal of ensuring the quality of life for residents.

Through its network of Area Agencies on Aging and local not-for-profit agencies, the Office also provides State grant funding for long-term respite care, the Retired and Senior Volunteer Program and the Foster Grandparent Program.

**ALL FUNDS
APPROPRIATIONS
(dollars)**

<u>Category</u>	<u>Available 2000-01</u>	<u>Appropriations Recommended 2001-02</u>	<u>Change</u>	<u>Reappropriations Recommended 2001-02</u>
State Operations	14,862,000	13,946,000	(916,000)	6,238,000
Aid To Localities	174,833,102	167,562,000	(7,271,102)	84,250,000
Capital Projects	0	0	0	0
Total	<u>189,695,102</u>	<u>181,508,000</u>	<u>(8,187,102)</u>	<u>90,488,000</u>

**ALL FUND TYPES
PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM
FILLED ANNUAL SALARIED POSITIONS**

Full-Time Equivalent Positions (FTE)

<u>Program</u>	<u>2000-01 Estimated FTEs 03/31/01</u>	<u>2001-02 Estimated FTEs 03/31/02</u>	<u>FTE Change</u>
Administration			
General Fund	25	25	0
Special Revenue Funds - Federal	50	50	0
Community Services			
General Fund	24	24	0
Special Revenue Funds - Federal	58	58	0
Total	<u>157</u>	<u>157</u>	<u>0</u>

**STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS
(dollars)**

<u>Fund Type</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>
General Fund	2,922,000	3,096,000	174,000
Special Revenue Funds - Federal	10,787,000	10,150,000	(637,000)
Enterprise Funds	200,000	200,000	0
Fiduciary Funds	953,000	500,000	(453,000)
Total	<u>14,862,000</u>	<u>13,946,000</u>	<u>(916,000)</u>
Adjustments:			
Transfer(s) From			
Special Pay Bill			
General Fund	(219,000)		
Special Revenue Funds - Federal	(588,000)		
Appropriated 2000-01	<u>14,055,000</u>		

**STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

<u>Program</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>
Administration			
General Fund	1,302,000	1,602,000	300,000
Special Revenue Funds - Federal	2,810,000	2,700,000	(110,000)
Enterprise Funds	200,000	200,000	0
Community Services			
General Fund	1,620,000	1,494,000	(126,000)
Special Revenue Funds - Federal	7,977,000	7,450,000	(527,000)
Expendable Trust Funds	953,000	500,000	(453,000)
Total	<u>14,862,000</u>	<u>13,946,000</u>	<u>(916,000)</u>

**STATE OPERATIONS - GENERAL FUND
SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES
2001-02 RECOMMENDED
(dollars)**

<u>Program</u>	Total Personal Service		Personal Service Regular (Annual Salaried)	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Administration	1,137,000	20,000	1,135,000	20,000
Community Services	1,324,000	(104,000)	1,315,000	(104,000)
Total	<u>2,461,000</u>	<u>(84,000)</u>	<u>2,450,000</u>	<u>(84,000)</u>

<u>Program</u>	Temporary Service (Nonannual Salaried)		Holiday/Overtime Pay (Annual Salaried)	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Administration	0	0	2,000	0
Community Services	3,000	0	6,000	0
Total	<u>3,000</u>	<u>0</u>	<u>8,000</u>	<u>0</u>

**STATE OPERATIONS - GENERAL FUND
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
2001-02 RECOMMENDED
(dollars)**

<u>Program</u>	Total Nonpersonal Service		Supplies and Materials	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Administration	465,000	280,000	20,000	2,000
Community Services	170,000	(22,000)	23,000	0
Total	<u>635,000</u>	<u>258,000</u>	<u>43,000</u>	<u>2,000</u>

<u>Program</u>	Travel		Contractual Services	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Administration	36,000	2,000	397,000	270,000
Community Services	30,000	(22,000)	114,000	0
Total	<u>66,000</u>	<u>(20,000)</u>	<u>511,000</u>	<u>270,000</u>

<u>Program</u>	Equipment	
	<u>Amount</u>	<u>Change</u>
Administration	12,000	6,000
Community Services	3,000	0
Total	<u>15,000</u>	<u>6,000</u>

**STATE OPERATIONS - OTHER THAN GENERAL FUND
SUMMARY OF APPROPRIATIONS AND CHANGES
2001-02 RECOMMENDED
(dollars)**

<u>Program</u>	Total		Personal Service	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Administration	2,900,000	(110,000)	2,500,000	73,000
Community Services	7,950,000	(980,000)	5,200,000	(926,000)
Total	<u>10,850,000</u>	<u>(1,090,000)</u>	<u>7,700,000</u>	<u>(853,000)</u>

<u>Program</u>	Nonpersonal Service		Maintenance Undistributed	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Administration	200,000	(183,000)	200,000	0
Community Services	2,250,000	399,000	500,000	(453,000)
Total	<u>2,450,000</u>	<u>216,000</u>	<u>700,000</u>	<u>(453,000)</u>

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS
(dollars)**

<u>Fund Type</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>
General Fund	76,133,102	63,962,000	(12,171,102)
Special Revenue Funds - Federal	95,600,000	101,600,000	6,000,000
Fiduciary Funds	3,100,000	2,000,000	(1,100,000)
Total	<u>174,833,102</u>	<u>167,562,000</u>	<u>(7,271,102)</u>

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

<u>Program</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>
Community Services			
General Fund	66,162,000	63,962,000	(2,200,000)
Special Revenue Funds - Federal	95,600,000	101,600,000	6,000,000
Fiduciary Funds	3,100,000	2,000,000	(1,100,000)
Community Projects			
General Fund	9,971,102	0	(9,971,102)
Total	<u>174,833,102</u>	<u>167,562,000</u>	<u>(7,271,102)</u>

