
NEW YORK STATE



NEW YORK STATE
DIVISION OF THE

BUDGET

FY 2015 ENACTED BUDGET FINANCIAL PLAN

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INTRODUCTION

Introduction

This Enacted Budget Financial Plan for Fiscal Year (FY) 2015 (the “Enacted Budget Financial Plan” or “Current Financial Plan”) summarizes the State of New York’s official Financial Plan projections for FY 2015 through FY 2018. The State’s FY 2015 began on April 1, 2014 and ends on March 31, 2015.

THE STATE BUDGET PROCESS

The requirements of the State budget process are set forth in Article VII of the State Constitution, the State Finance Law, and the Legislative Law. The annual budget process begins with the Governor’s submission of the Executive Budget to the Legislature each January, in preparation for the start of the fiscal year on April 1. (The submission date is February 1 in years following a gubernatorial election.) The General Fund must be balanced on a cash basis, as described below, and must be accompanied by bills that (a) set forth all proposed appropriations and reappropriations, (b) provide for any new or modified revenue measures, and (c) make any other changes to existing law necessary to implement the Budget recommended by the Governor. The Division of the Budget (DOB) prepares a multi-year Financial Plan (“State Financial Plan” or “Financial Plan”) as part of the Executive Budget. The State Financial Plan sets forth projected receipts and disbursements for the current fiscal year, the “budget” year (i.e., the upcoming fiscal year), and the three subsequent fiscal years (“outyears”).

In acting on the bills submitted by the Governor, the Legislature has certain powers to alter the recommended appropriations and proposed changes to existing law. The Legislature may strike or reduce an item of appropriation recommended by the Governor. The Legislature may add distinct new items of appropriation, provided such additions are stated separately. These additional items are then subject to line-item veto by the Governor. If the Governor vetoes an appropriation or a bill (or a portion thereof) related to the budget, these items can be reconsidered in accordance with the rules of each house of the Legislature. If, upon reconsideration, the items are approved by two-thirds of the members of each house, such items will become law notwithstanding the Governor’s veto.

Once the appropriation bills and other budget bills become law, DOB revises the State Financial Plan to reflect the Legislature’s actions, and begins the process of implementing the Enacted Budget. Throughout the fiscal year, DOB monitors actual receipts and disbursements, and may adjust the estimates and projections in the State Financial Plan. Adjustments may also be made to the State Financial Plan to reflect changes in the economic outlook, updated data on program activities, new actions taken by the Governor or the Legislature, and other factors. As required by the State Finance Law, DOB updates the State Financial Plan quarterly.

Once the Budget is adopted for the fiscal year, the Legislature may enact one multi-purpose appropriation bill and additional single-purpose appropriation bills or revenue measures (including tax law changes) during any regular session or, if called into session for that purpose, any special session. In the event additional appropriation bills or revenue measures are disapproved by the Governor, the Legislature may override the Governor’s veto upon the vote



INTRODUCTION

of two-thirds of the members of each house of the Legislature. The Governor may present deficiency appropriations to the Legislature in any fiscal year to supplement existing appropriations or to provide new appropriations for purposes not considered by the regular and supplemental appropriations.

BUDGET PROJECTIONS

The State Financial Plan projections for future years typically show budget gaps in the General Fund. Budget gaps represent the difference between: (a) the projected General Fund disbursements, including transfers to other funds, needed to maintain current services levels and specific commitments, and (b) the expected level of resources to pay for them. The General Fund gap estimates are based on a number of assumptions and projections developed by the DOB in conjunction with other State agencies.¹ Some projections are based on specific, known information (i.e., a statutory requirement to increase payments to a prescribed level), while others are based on more uncertain or speculative information (i.e., the pace at which a new program will enroll recipients). In addition, the Financial Plan typically assumes that money appropriated in one fiscal year will continue to be appropriated in future years, even for programs that were not created in permanent law and that the State has no obligation to fund. Funding levels for nearly all State programs are made (or, in the case of two-year appropriations, reviewed) annually, taking into account the current and projected fiscal position of the State.

BUDGETARY AND ACCOUNTING PRACTICES

The State's **General Fund** receives the majority of State taxes and all income not earmarked for a particular program or activity. State law requires the Governor to submit, and the Legislature to enact, a General Fund budget that is balanced on a cash basis of accounting. The State Constitution and State Finance Law do not provide a precise definition of budget balance. In practice, the General Fund is considered balanced if sufficient resources are, or are expected to be, available during the fiscal year for the State to: (a) make all planned payments, including Personal Income Tax (PIT) refunds, without the issuance of deficit notes or bonds or extraordinary cash management actions, (b) restore the balances in the Tax Stabilization Reserve and Rainy Day Reserve to levels at or above the levels on deposit when the fiscal year began, and (c) maintain other reserves, as required by law. For purposes of calculating budget balance, the General Fund includes transfers to and from other funds.

The General Fund is the sole financing source for the School Tax Relief (STAR) Fund. In addition, it is typically the financing source of last resort for the State's other major funds, which include the Health Care Reform Act (HCRA) funds, the Dedicated Highway and Bridge Trust Fund (DHBTF), and the Lottery Fund. Therefore, the General Fund projections account for any

¹ See DOB's Economic, Revenue, and Spending Methodologies, November 2013, at <http://www.budget.ny.gov/pubs/supporting/supporting.html>



estimated funding shortfalls in these funds. Since the General Fund is the fund that is required to be balanced, the focus of the State's budgetary and gap-closing discussion is generally weighted toward the General Fund.

From time to time, DOB will informally designate unrestricted balances in the General Fund for specific policy goals (e.g., the payment of costs related to potential labor contracts covering prior contract periods). These amounts are typically identified with the phrase "reserved for," and are not held in distinct accounts within the General Fund and may be used for other purposes.

State Operating Funds is a broader measure of spending for operations (as distinct from capital purposes) that is funded with State resources. It includes financial activity not only in the General Fund, but also State-funded special revenue funds and Debt Service Funds (both the General Fund and State Operating Funds exclude spending from Capital Projects Funds and Federal Funds). DOB views State Operating Funds to be a more comprehensive measure than the General Fund of State-funded activities for operating purposes that are funded with State resources (i.e., taxes, assessments, fees, tuition). The State Operating Funds perspective has the advantage of eliminating certain distortions in operating activities that may be caused by, among other things, the State's complex fund structure, the transfer of money among funds, and the accounting of disbursements against appropriations in different funds. For example, the State funds its share of the Medicaid program from both the General Fund and from State Special Revenue Funds, including HCRA funds. The State Operating Funds perspective captures Medicaid disbursements from both of these fund types, giving a more complete accounting of State-funded Medicaid disbursements. For such reasons, the discussion of disbursement projections often emphasizes the State Operating Funds perspective.

The State also reports disbursements and receipts activity for **All Governmental Funds** ("All Funds"), which includes spending from Capital Projects Funds and State and Federal operating funds, providing the most comprehensive view of the cash-basis financial operations of the State. The State accounts for receipts and disbursements by the fund in which the activity takes place (such as the General Fund), and the broad category or purpose of that activity (such as State Operations). The Financial Plan tables sort State projections and results by fund and category.

Fund types of the State include: the General Fund; State special revenue funds, which receive certain dedicated taxes, fees and other revenues that are used for a specified purpose; Federal Special Revenue Funds, which receive certain Federal grants; State and Federal Capital Projects Funds, which account for costs incurred in the construction and rehabilitation of roads, bridges, prisons, university facilities, and other infrastructure projects; and Debt Service Funds, which account for the payment of principal, interest and related expenses for debt issued by the State and its public authorities.



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State Finance Law also requires DOB to prepare a *pro forma* financial plan using, to the extent practicable, generally accepted accounting principles (GAAP), although this requirement is for informational purposes only. The GAAP-basis financial plan is not used by DOB as a benchmark for managing State finances during the fiscal year and is not updated on a quarterly basis. The GAAP-basis financial plan follows, to the extent practicable, the accrual methodologies and fund accounting rules applied by the Office of the State Comptroller (OSC) in preparation of the audited Basic Financial Statements. However, GAAP is a financial reporting regime, not a budgeting system.

RISKS AND UNCERTAINTIES

The factors affecting the State's financial condition are complex. This Financial Plan contains forecasts, projections, and estimates that are based on expectations and assumptions which existed at the time such forecasts were prepared. Since many factors may materially affect fiscal and economic conditions in the State, the inclusion in this Enacted Budget Financial Plan of forecasts, projections, and estimates should not be regarded as a representation that such forecasts, projections, and estimates will occur. Forecasts, projections and estimates are not intended as representations of fact or guarantees of results. The words “expects,” “forecasts,” “projects,” “intends,” “anticipates,” “estimates,” and analogous expressions are intended to identify forward-looking statements in the Enacted Budget Financial Plan. Any such statements inherently are subject to a variety of risks and uncertainties that could cause actual results to differ materially and adversely from those projected. Such risks and uncertainties include, among others, general economic and business conditions; changes in political, social, economic, and environmental conditions, including climate change and extreme weather events; impediments to the implementation of gap-closing actions; regulatory initiatives and compliance with governmental regulations; litigation; actions by the Federal government to reduce or disallow expected aid including Federal aid authorized or appropriated by Congress, but subject to sequestration, administrative actions, or other actions that would reduce aid to the State; and various other events, conditions and circumstances, on a State, national, and international level, many of which are beyond the control of the State. These forward-looking statements speak only as of the date of this Enacted Budget Financial Plan.

FINANCIAL PLAN OVERVIEW

Financial Plan Overview

The following table provides certain Financial Plan information for FY 2014 and FY 2015.

FINANCIAL PLAN AT-A-GLANCE: KEY MEASURES (millions of dollars)				
	FY 2014		FY 2015	
	Revised ¹	Results	Executive Amended ²	Enacted
STATE OPERATING FUNDS DISBURSEMENTS				
Size of Budget	\$90,498	\$90,631	\$92,040	\$92,234
Annual Growth	1.9%	2.0%	1.6%	1.8%
OTHER DISBURSEMENT MEASURES				
General Fund (with Transfers)	\$61,460 4.2%	\$61,243 3.9%	\$63,575 3.8%	\$63,142 3.1%
State Funds (Including Capital)	\$96,624 2.2%	\$96,355 1.9%	\$98,894 2.6%	\$98,995 2.7%
Capital Budget (Federal and State)	\$7,992 6.0%	\$7,751 2.8%	\$8,533 10.1%	\$8,465 9.2%
Federal Operating Aid (Excluding Extraordinary Federal Aid) *	\$36,860 2.0%	\$37,492 3.7%	\$36,615 -2.3%	\$37,201 -0.8%
All Funds (Excluding Extraordinary Federal Aid) *	\$135,350 2.1%	\$135,874 2.5%	\$137,188 1.0%	\$137,900 1.5%
Capital Budget (Including "Off-Budget")	\$9,431 5.9%	\$9,104 2.3%	\$9,464 4.0%	\$9,393 3.2%
All Funds (Including "Off-Budget" Capital) *	\$136,789 2.2%	\$137,227 2.5%	\$138,119 0.7%	\$138,828 1.2%
INFLATION (CPI)	1.4%	1.5%	1.8%	1.9%
ALL FUNDS RECEIPTS				
Taxes	\$69,414 4.7%	\$69,690 5.1%	\$70,794 1.6%	\$70,188 0.7%
Miscellaneous Receipts	\$23,850 -0.7%	\$24,234 0.8%	\$25,310 4.4%	\$25,672 5.9%
Federal Grants *	\$41,991 -0.7%	\$42,137 -0.3%	\$40,819 -3.1%	\$41,725 -1.0%
Total Receipts *	\$135,255 2.0%	\$136,061 2.6%	\$136,923 0.6%	\$137,585 1.1%
GENERAL FUND RESERVES				
Stabilization/Rainy Day Reserve Funds	\$1,803 \$1,306	\$2,235 \$1,481	\$1,743 \$1,306	\$2,055 \$1,481
All Other Reserves/Fund Balances	\$497	\$754	\$437	\$574
STATE WORKFORCE FTEs (Subject to Direct Executive Control)	119,413	118,492	119,173	118,961
DEBT				
Debt Service as % All Funds Receipts	4.7%	5.0%	4.3%	4.3%
State-Related Debt Outstanding	\$55,572	\$55,165	\$57,126	\$55,923
Debt Outstanding as % Personal Income	5.2%	5.2%	5.1%	5.0%

¹ Updated as part of the FY 2015 Executive Budget, as amended.

² The annual percentage change calculations in the FY 2015 "Executive Amended" column have been updated for FY 2014 year-end results.

* All Funds and Federal Operating Funds receipts and disbursements exclude Federal disaster aid for Superstorm Sandy (estimated at \$577 million in FY 2013, \$1.2 billion in FY 2014 and \$1.5 billion in FY 2015), and additional Federal aid under the Affordable Care Act (estimated at approximately \$400 million in FY 2014 and \$2.6 billion in FY 2015). Including disbursements for these purposes, All Funds disbursements are expected to total \$142 billion in FY 2015, an increase of 3.2 percent.



EXECUTIVE SUMMARY

FY 2014 Results

- The State ended FY 2014 with a General Fund balance of \$2.24 billion, which was \$432 million above the estimate in the Executive Budget Financial Plan.² General Fund receipts were \$215 million above the Executive Budget estimate, reflecting stronger than expected tax collections. General Fund disbursements were \$217 million below planned levels, due mainly to lower spending in local assistance and agency operations. (See “FY 2014 Preliminary Year-End Results” herein.) In addition, DOB made certain prepayments at the end of FY 2014, above those planned in the Executive Budget Financial Plan. This had the effect of making additional resources available for FY 2015 and thereafter.
- The State used \$312 million of the \$432 million in excess resources to bolster reserves. At the close of the fiscal year, \$175 million was deposited into the State’s rainy day reserves, bringing the balance to \$1.5 billion, or 2.4 percent of FY 2014 General Fund spending. Another \$137 million was set aside for debt management purposes, bringing the amount designated for this purpose to \$500 million.
- Of the remaining \$120 million in excess resources, \$62 million is expected to fund disbursements that were originally expected to occur in FY 2014 but are now budgeted for FY 2015, and \$58 million has been transferred to a fiduciary fund established with the FY 2015 Budget to account for proceeds realized from a settlement between J.P. Morgan and the State. This settlement is described more fully later in this Financial Plan (see “Budget Risks and Uncertainties to the Financial Plan” herein).
- State Operating Funds spending for FY 2014 totaled \$90.6 billion, an increase of 2 percent from FY 2013 results. This is consistent with the State’s 2 percent benchmark for annual spending growth established by the current Administration in FY 2012.

FY 2015 Budget

- On March 31, 2014, the Legislature completed final action on the budget for FY 2015. On April 11, 2014, the Governor completed his review of all budget bills, including the veto of certain line-item appropriations, none of which had a material impact on the Financial Plan. Consistent with past practice, the Legislature enacted the annual debt service appropriations, without amendment, in advance of the other appropriations (the debt service appropriations were passed on March 19, 2014).

² Executive Budget Financial Plan (as amended) dated February 2014.



FINANCIAL PLAN OVERVIEW

- The Enacted Budget Financial Plan for FY 2015 provides for balanced operations on a cash basis in the General Fund, as required by law. The Enacted Budget reflects savings from the continuation of spending controls and cost containment measures put in place in prior years. Funding for agency operations is generally expected to remain at current levels across the Financial Plan period (excluding the timing of cash disbursements in FY 2014). Reserves are expected to remain intact.
- During negotiations, the Executive and Legislature agreed to \$610 million in gross spending restorations and additions to the Executive Budget proposal. They also agreed to certain tax law changes that increase costs by \$220 million in FY 2015, but reduce costs in future years, compared to the Executive proposal.
- DOB expects relatively modest economic growth in FY 2015, consistent with other economic forecasters. Total tax receipts in FY 2015, adjusted for the acceleration of certain income tax refunds into FY 2014, are expected to decline by 0.2 percent from FY 2014.
- Personal income tax collections for FY 2014 were inflated by a one-time increase in receipts due to an increase in Federal tax rates beginning in calendar year 2013. This caused taxpayers to realize income in calendar year 2012 to avoid the higher tax rates, which in turn substantially increased the State's tax collections in April 2013. However, it also led to large refund payments by the State throughout the remainder of 2013, as some high income taxpayers realized that they had overpaid in April. DOB anticipated that this situation would reverse itself in 2014. This has occurred but the decline in estimated tax payments in April 2014 was greater than expected in the Executive Budget Financial Plan. At the same time, refund payments were lower than expected, which is a positive development. DOB expects that the unanticipated portion of the decline in collections observed in April will reverse itself later in FY 2015. To manage risks and maintain a conservative receipts forecast, however, DOB is making a net downward revision of \$343 million to the General Fund receipts estimate for FY 2015, as described below. This revision is fully offset by other savings and will not impinge on the State's ability to fully meet the commitments in the FY 2015 Enacted Budget in future budget years.
- DOB has identified \$1.2 billion in new resources to fully fund the restorations, additions, tax law changes, and revisions to tax receipts. These include savings from a combination of prepayments in FY 2014, above the level planned in the FY 2015 Executive Budget, and reestimates to estimated disbursements and transfers based on a review of FY 2014 results (\$643 million); and management of debt and capital resources including reimbursement, from planned bond sales and existing bond proceeds, of first-instance capital transfers made by the General Fund in prior years (\$530 million).



FINANCIAL PLAN OVERVIEW

- The Enacted Budget for FY 2015 limits estimated annual growth in State Operating Funds spending to 1.8 percent, consistent with the State’s 2 percent spending benchmark.
- The Financial Plan projections for FY 2016 and thereafter set forth in this Enacted Budget Financial Plan reflect an assumption that the Governor will continue to propose, and the Legislature will continue to enact, balanced budgets in future years that limit annual growth in State Operating Funds to no greater than 2 percent.
- By adhering to the 2 percent spending benchmark, DOB expects that the State is positioned to fully fund the tax reductions and spending commitments in the FY 2015 Enacted Budget and accrue surpluses in future years, based on updated projections. (The savings that would be achieved if the State adheres to the spending benchmark are labeled in the Financial Plan tables as “Adherence to 2 percent State Operating Funds Spending Benchmark.”)



SUMMARY OF FY 2015 ENACTED BUDGET GAP-CLOSING PLAN

The following table summarizes the multi-year impact of the FY 2015 Enacted Budget on General Fund operations. The point of comparison is to the current-services budget gaps that were published in the Mid-Year Update to the FY 2014 Financial Plan. The Enacted Budget Financial Plan projections assume the State adheres to the 2 percent spending benchmark in future years, as shown in the table below.

GENERAL FUND BUDGETARY BASIS SURPLUS/(GAP) PROJECTIONS				
ENACTED BUDGET				
GAP-CLOSING PLAN -- SAVINGS/(COSTS)				
(millions of dollars)				
	FY 2015	FY 2016	FY 2017	FY 2018
MID-YEAR BUDGET SURPLUS/(GAP) ESTIMATE	(1,742)	(2,889)	(2,948)	(3,887)
Spending Changes	<u>2,275</u>	<u>4,402</u>	<u>5,430</u>	<u>6,765</u>
Agency Operations	494	734	940	1,240
Local Assistance	1,825	1,939	2,046	1,699
Capital Projects/Debt Management	671	745	382	409
Initiatives	(715)	(1,110)	(1,323)	(1,499)
Adherence to 2% State Operating Funds Spending Benchmark ¹	n/a	2,094	3,385	4,916
Surplus Available from FY 2014	353	0	0	0
Other Resource Changes	(161)	(282)	(106)	(246)
BUDGET SURPLUS/(GAP) BEFORE TAX ACTIONS	<u>725</u>	<u>1,231</u>	<u>2,376</u>	<u>2,632</u>
Tax Actions	(725)	(1,338)	(1,271)	(744)
Tax Credit Timing		410		(410)
EXECUTIVE BUDGET SURPLUS/(GAP)	<u>0</u>	<u>303</u>	<u>1,105</u>	<u>1,478</u>

¹ Savings estimated from limiting annual spending growth in future years to 2 percent. Calculation based on current FY 2015 estimate. The Governor is expected to propose, and negotiate with the Legislature to enact, budgets in each fiscal year that hold State Operating Funds spending growth to 2 percent. Assumes all savings from holding spending growth to 2 percent are made available to the General Fund.



SPENDING CHANGES

- The Enacted Budget Financial Plan reduces spending in FY 2015 by \$2.3 billion compared to our baseline forecast before any reflecting enacted budget actions. The savings are recurring and are expected to grow in value in subsequent years.
 - **Agency Operations:** Since the Governor took office in January 2011, the costs of operating State agencies have been reduced through ongoing State agency redesign and cost-control efforts. These efforts have included closures and consolidations of facilities to reduce excess capacity; strict controls on attrition and hiring; enterprise-wide consolidation of procurement, information technology (IT), and workforce management functions; and a range of operational measures to improve efficiency. The FY 2015 Enacted Budget holds spending for Executive agency operations constant over the Financial Plan period (excluding the timing of cash disbursements in FY 2014), with limited exceptions. This is expected to provide \$494 million in FY 2015 savings compared to prior projections. In addition, the State's projected costs for pensions and other fringe benefits have been lowered based on updated information.
 - **Local Assistance:** Reductions in State spending from prior projections are expected to generate \$1.8 billion in General Fund savings.³ Savings are expected from, among other things, updated cost estimates for a range of State programs, reflecting the impact of the spending controls and cost-containment enacted in prior years.
 - **Capital Projects/Debt Management:** Savings are expected through continued use of competitive bond sales, refundings, consolidation of debt issuances, the timing of bond sales, the level of Pay-As-You-Go (PAYGO) capital spending, and other debt management actions. In addition, first instance capital transfers made in prior years are expected to be reimbursed from planned bond sales and existing bond proceeds. The savings from debt management in FY 2015 and FY 2016 also reflect actual and planned prepayments of debt service, based on DOB's estimate of available resources. The Enacted Budget Financial Plan does not reflect the use of the \$500 million in General Fund resources designated for debt management. DOB expects to make a decision later in the fiscal year on using those resources, based on market conditions, Financial Plan needs, and other factors.

³ Local assistance includes payments for School Aid, STAR, Medicaid, public assistance, child welfare, local government assistance and a range of other purposes.



- **Initiatives:** The Enacted Budget Financial Plan includes spending additions for School Aid, higher education, and human services. It also includes funding increases for a range of other programs, all of which are managed within the parameters of 2 percent spending growth.

In addition, the Enacted Budget authorizes several new capital initiatives. These include the Smart Schools bond act for education that would fund enhanced technology in schools (\$2 billion) and a capital program to modernize health care facilities (\$1.2 billion) to be used in conjunction with the \$8 billion Medicaid waiver approved by the Federal government. The Smart Schools bond act is subject to referendum in November 2014. The estimated debt service from these capital additions is reflected in the Financial Plan.

RESOURCE CHANGES

- The Enacted Budget includes the use of \$353 million in General Fund surplus resources from FY 2014. The surplus resources, as reported here, consist of the \$310 million identified in the Executive Budget Financial Plan for FY 2015 and \$43 million of the \$432 million in excess General Fund resources that became available from General Fund operations, as described above.

Other resource changes include revisions to tax receipts and miscellaneous receipts based on FY 2014 results, the April tax settlement, and updated economic data, offset by savings from reestimates to planned transfers based on a review of FY 2014 operating results and other updated information.

TAX ACTIONS

- The Enacted Budget includes tax cuts that are intended to provide property, business, and estate tax relief. Property tax actions consist of a residential property tax freeze credit, and a residential real property tax credit (within NYC). Business tax relief consists of an elimination of the tax rate on net income for corporate manufacturers, elimination of the capital base tax for corporations, a 20 percent real property tax credit for manufacturers, and significant corporate tax reform. The estate tax filing threshold will be increased over four years. Finally, the planned phase-out of 18-a temporary utility assessment will be accelerated for all customers.



FINANCIAL PLAN OVERVIEW

ANNUAL SPENDING GROWTH

DOB estimates that annual spending in State Operating Funds will grow at 1.8 percent in FY 2015, consistent with the 2 percent spending benchmark adopted by the current Administration in FY 2012. All Funds spending, which includes spending from capital funds and Federal funds, is expected to increase by 1.5 percent from FY 2014, excluding extraordinary Federal aid related to Superstorm Sandy⁴ and the implementation of the Affordable Care Act (ACA).

TOTAL DISBURSEMENTS (millions of dollars)				
	FY 2014 Results	FY 2015 Enacted	Annual Change	Annual % Change
STATE OPERATING FUNDS	90,631	92,234	1,603	1.8%
General Fund (excluding other transfers)	52,148	55,040	2,892	5.5%
Other State Funds	32,046	31,503	(543)	-1.7%
Debt Service Funds	6,437	5,691	(746)	-11.6%
ALL GOVERNMENTAL FUNDS	135,874	137,900	2,026	1.5%
State Operating Funds	90,631	92,234	1,603	1.8%
Capital Projects Funds	7,751	8,465	714	9.2%
Federal Operating Funds	37,492	37,201	(291)	-0.8%
ALL GOVERNMENTAL FUNDS (INCL. EXTRAORDINARY FEDERAL AID)	137,526	141,964	4,438	3.2%
Federal Disaster Aid for Superstorm Sandy	1,247	1,497	250	20.0%
Federal Affordable Care Act	405	2,567	2,162	533.8%
GENERAL FUND (INCLUDING TRANSFERS)	61,243	63,142	1,899	3.1%
STATE FUNDS	96,355	98,995	2,640	2.7%

⁴ In October 2012, Superstorm Sandy caused widespread flooding, power failures, and wind damage to public and private property in New York City, Long Island, and other downstate areas. Public infrastructure, including mass transit systems, public schools, and municipal buildings, sustained serious damage. The Executive Budget reflects Federal aid which will flow to local governments, public authorities, and not-for-profits over the next five years to continue the State's recovery from Superstorm Sandy. (See "Budget Risks and Uncertainties to the Financial Plan — Federal Issues" herein.)



FINANCIAL PLAN OVERVIEW

The following table illustrates the major sources of annual change in State spending by major program, purpose, and fund type.

STATE SPENDING MEASURES (millions of dollars)				
	FY 2014 Results	FY 2015 Enacted	Annual Change	
			\$	%
LOCAL ASSISTANCE	59,406	61,181	1,775	3.0%
School Aid (State Fiscal Year Basis) ¹	20,420	21,671	1,251	6.1%
DOH Medicaid (Incl Operational Costs) ²	16,382	16,962	580	3.5%
Transportation	4,722	4,817	95	2.0%
Mental Hygiene	2,777	2,925	148	5.3%
STAR	3,357	3,429	72	2.1%
Social Services	3,101	2,777	(324)	-10.4%
Higher Education	2,817	2,916	99	3.5%
Public Health/Aging	2,179	1,868	(311)	-14.3%
Special/Other Education	2,003	2,146	143	7.1%
Local Government Assistance	756	779	23	3.0%
All Other ²	892	891	(1)	-0.1%
STATE OPERATIONS/FRINGE BENEFITS	24,822	25,405	583	2.3%
State Operations	17,864	18,199	335	1.9%
Personal Service:	<u>12,300</u>	<u>12,593</u>	<u>293</u>	<u>2.4%</u>
Executive Agencies	6,989	7,134	145	2.1%
University Systems	3,478	3,586	108	3.1%
Elected Officials	1,833	1,873	40	2.2%
Non-Personal Service:	<u>5,564</u>	<u>5,606</u>	<u>42</u>	<u>0.8%</u>
Executive Agencies	2,753	2,789	36	1.3%
University System	2,298	2,235	(63)	-2.7%
Elected Officials	513	582	69	13.5%
Fringe Benefits/Fixed Costs	6,958	7,206	248	3.6%
Pension Contribution	2,086	2,136	50	2.4%
Health Insurance	3,253	3,355	102	3.1%
Other Fringe Benefits/Fixed Costs	1,619	1,715	96	5.9%
DEBT SERVICE	6,400	5,648	(752)	-11.8%
CAPITAL PROJECTS	3	0	(3)	-100.0%
TOTAL STATE OPERATING FUNDS	90,631	92,234	1,603	1.8%
Capital Projects (State Funds)	5,724	6,761	1,037	18.1%
TOTAL STATE FUNDS	96,355	98,995	2,640	2.7%
Federal Aid (Including Capital Grants) ⁴	39,519	38,905	(614)	-1.6%
TOTAL ALL GOVERNMENTAL FUNDS ⁵	135,874	137,900	2,026	1.5%

¹ School Aid growth on a school year basis is \$1.1 billion or 5.3% and does not include aid for Statewide Universal Full-Day Pre-Kindergarten programs.

² Department of Health Medicaid spending only (excludes other State agency spending and transfers). For display purposes, includes Medicaid operational spending that supports contracts related to the management of Medicaid and the costs of administrative takeover from local governments.

³ "All Other" includes an adjustment for Medicaid operational costs to avoid distorting Financial Plan category totals, as well as local aid spending in a number of other programs, including education, parks and the environment, economic development, and public safety.

^{4,5} All Funds and Federal Operating Funds receipts and disbursements exclude Federal disaster aid for Superstorm Sandy (estimated at \$577 million in FY 2013; \$1.2 billion in FY 2014 and \$1.5 billion in FY 2015), and additional Federal aid under the Affordable Care Act (estimated at approximately \$400 million in FY 2014 and \$2.6 billion in FY 2015). Including disbursements for these purposes, All Funds disbursements are expected to total \$142 billion in FY 2015, an increase of 3.2 percent.

GENERAL FUND FINANCIAL PLAN

General Fund Financial Plan

FY 2014 YEAR-END SUMMARY

General Fund receipts, including transfers from other funds, totaled \$61.9 billion, an increase of \$215 million from the estimate in the Executive Budget. Tax receipts, including the transfer of tax receipts to the General Fund after payment of debt service,⁵ were \$372 million higher than projected in the Executive Budget, reflecting an increase in all major tax categories. Miscellaneous receipts and Federal grants were \$34 million lower than estimated. Non-tax transfers were \$123 million below planned levels, due to the timing of certain transactions that are now expected to occur in FY 2015.

General Fund disbursements, including transfers to other funds, totaled \$61.2 billion, a decrease of \$217 million from the Executive Budget estimate. The decrease is due to a number of factors. Spending for local assistance across a range of programs and activities (\$443 million), agency operations (\$350 million), and transfers to support mental hygiene costs and various other programs (\$126 million) was lower than expected. This was partly offset by higher transfers related to the prepayment of certain FY 2015 expenses (\$344 million) and to the timing of bond reimbursements for first-instance capital spending from the General Fund (\$358 million).

The State ended FY 2014 with a General Fund balance of \$2.2 billion, which was \$432 million above the estimate in the Executive Budget Financial Plan. The State used \$312 million of the \$432 million in excess resources to bolster reserves. Of the remaining \$120 million, \$62 million is expected to be used to fund disbursements that were originally expected to occur in FY 2014 but are now budgeted for FY 2015, and \$58 million has been transferred to a fiduciary fund established with the FY 2015 Budget to account for proceeds realized from a settlement between J.P. Morgan and the State.

⁵ Estimated General Fund tax receipts are affected by changes in the estimated level of debt service needed for PIT Revenue Bonds, Sales Tax Revenue Bonds, New York Local Government Assistance Corporation (LGAC) Bonds, and Clean Water/Clean Air (CW/CA) bonds.



GENERAL FUND FINANCIAL PLAN

FY 2015 FINANCIAL PLAN

DOB estimates that the Enacted Budget for FY 2015 provides for balanced operations in the General Fund, as required by law. The following table summarizes the projected annual changes from FY 2014 to FY 2015 in General Fund receipts, disbursements, and fund balances.

GENERAL FUND FINANCIAL PLAN (millions of dollars)				
	FY 2014 Results	FY 2015 Enacted	Annual Change	
			\$	%
Opening Fund Balance	1,610	2,235	625	38.8%
Taxes (After Debt Service)	57,758	57,994	236	0.4%
Miscellaneous Receipts/Federal Grants	3,219	3,815	596	18.5%
Other Transfers	891	1,153	262	29.4%
Total Receipts	<u>61,868</u>	<u>62,962</u>	<u>1,094</u>	<u>1.8%</u>
Local Assistance Grants	39,940	42,118	2,178	5.5%
Departmental Operations:				
Personal Service	5,563	5,890	327	5.9%
Non-Personal Service	1,746	1,960	214	12.3%
General State Charges	4,899	5,072	173	3.5%
Transfers to Other Funds	9,095	8,102	(993)	-10.9%
Total Disbursements	<u>61,243</u>	<u>63,142</u>	<u>1,899</u>	<u>3.1%</u>
Excess (Deficiency) of Receipts Over Disbursements	625	(180)	(805)	-128.8%
Closing Fund Balance	<u>2,235</u>	<u>2,055</u>	<u>(180)</u>	<u>-8.1%</u>
Statutory Reserves:				
"Rainy Day" Reserve Funds	1,481	1,481	0	0.0%
Community Projects Fund	87	0	(87)	-100.0%
Contingency Reserve Fund	21	21	0	0.0%
Fund Balance Reserved for:				
Debt Management	500	500	0	0.0%
Prior-Year Labor Agreements (2007-2011)	45	53	8	17.8%
J.P. Morgan Settlement Proceeds	58	0	(58)	-100.0%
Undesignated Reserve	43	0	(43)	-100.0%



RECEIPTS

General Fund receipts, including transfers from other funds, are expected to total \$63 billion in FY 2015, an annual increase of \$1.1 billion (1.8 percent). Tax collections, including transfers of tax receipts to the General Fund after payment of debt service, are expected to total \$58 billion in FY 2015, an increase of \$236 million (0.4 percent). Before accounting for the acceleration of an estimated tax refunds into FY 2014 to make surplus resources available in FY 2015, estimated tax collections would decrease by \$384 million (-0.7 percent) from FY 2014 levels.⁶

General Fund Personal Income Tax (PIT) receipts, including transfers after payment of debt service on State PIT Revenue Bonds, are expected to increase by \$724 million from FY 2014. This primarily reflects increases in withholding payments and the payment of additional refunds in FY 2014 that were planned for FY 2015, partially offset by a decline in extension payments attributable to the 2013 tax year.

General Fund user taxes and fee receipts, including transfers after payment of debt service on LGAC and Sales Tax Revenue Bonds, are estimated to total \$12.2 billion in FY 2015, an increase of \$123 million (1 percent) from FY 2014, reflecting projected consumer spending increases across a broad range of consumption categories, offset by declines in cigarette consumption.

General Fund business tax receipts are estimated at \$5.4 billion in FY 2015, a decrease of \$608 million (-10.1 percent) from FY 2014 results. The estimate reflects a decline in corporate franchise tax receipts resulting from the first year of repayment of deferred tax credits and tax law changes, partly offset by base growth in bank and insurance taxes.

Other tax receipts in the General Fund are expected to total nearly \$2 billion in FY 2015, a decrease of \$3 million (-0.2 percent) from FY 2014. The estimate reflects a decline in expected estate tax receipts, the result of Enacted Budget legislation that reduces the estate tax, partially offset by an increase in real estate transfer tax receipts.

General Fund miscellaneous receipts are estimated at \$3.8 billion in FY 2015, an annual increase of \$596 million. The increase largely reflects the expected deposit of \$1 billion from the State Insurance Fund (SIF) reserve release in connection with Workers' Compensation law changes enacted in the FY 2014 budget, partly offset by the accelerated phase-out of the temporary utility assessment and large settlement payments received in FY 2014.

⁶ The Executive Budget Financial Plan identified a FY 2014 surplus of \$310 million, which was expected to be carried into FY 2015 through the acceleration of income tax refunds. This had the effect of reducing net PIT receipts in FY 2014 and increasing them in FY 2015. The acceleration occurred as planned.



GENERAL FUND FINANCIAL PLAN

Non-tax transfers to the General Fund are expected to total \$1.2 billion, an increase of \$262 million, largely due to the timing of transactions and changes in accounting of certain receipts and resources expected to be available from other funds.

General Fund receipts are affected by the deposit of dedicated taxes in other funds for debt service and other purposes, the transfer of balances between funds of the State, and other factors. For a more comprehensive discussion of the State's projections for tax receipts, miscellaneous receipts, and transfers, presented on a State Funds and All Funds basis, see "Multi-Year Financial Plan Projections" herein.

DISBURSEMENTS

General Fund disbursements, including transfers to other funds, are expected to total \$63.1 billion in FY 2015, an increase of \$1.9 billion (3.1 percent) from FY 2014 spending levels.

Local assistance grants are expected to total \$42.1 billion, an annual increase of \$2.2 billion (5.5 percent). General Fund disbursements are expected to increase by \$1.4 billion for School Aid⁷ and other education programs. Other local assistance increases include, among other things, payments for a range of social services, public health, and general purpose aid programs, as well as accounting reclassifications that have the effect of moving spending between financial plan categories, mainly for Medicaid payments to State-operated facilities.

State operations disbursements in the General Fund are expected to total \$7.9 billion in FY 2015, an annual increase of \$541 million (7.4 percent). The largest increases reflect the accounting of Information Technology (IT) services in the General Fund as a result of consolidating, reflected in non-General Fund accounts (\$200 million); the takeover of local Medicaid administrative functions by the Department of Health (DOH) (\$99 million), and increased support for indigent legal services and civil legal services in the Judiciary budget (\$60 million).

General State Charges (GSCs) are expected to total \$5.1 billion in FY 2015, an annual increase of \$173 million (3.5 percent) from FY 2014. Health insurance costs are projected to increase \$102 million or 3.1 percent. The State's annual pension payment is expected to increase by \$50 million, reflecting both growth in normal costs and repayment of amounts amortized in prior years, partly offset by the payment of certain obligations in FY 2014. The State expects to continue to amortize pension costs in excess of the amortization thresholds established in law. In FY 2015, costs in excess of 13.5 percent of payroll for the Employees' Retirement System (ERS) and 21.5 percent for the Police and Fire Retirement System (PFRS) are expected to be amortized.

⁷ School Aid is reported here on a State Fiscal Year basis.



General Fund transfers to other funds are expected to total \$8.1 billion in FY 2015, a decrease of \$993 million from FY 2014. The annual change is attributable to the prepayment in FY 2014 of debt service due in FY 2015 and reduced General Fund support for capital projects spending due to the timing of available bond proceeds. These declines are partly offset by increased support for non-Medicaid Mental Hygiene services.

General Fund disbursements are affected by the level of financing sources available in other funds, transfers of balances between funds of the State, and other factors that may change from year to year. For a more comprehensive discussion of the State's disbursements projections by major activity, presented on a State Operating Funds basis, see "Multi-Year Financial Plan Projections" herein.

CLOSING BALANCE FOR FY 2015

DOB projects that the State will end FY 2015 with a General Fund cash balance of \$2.1 billion, a decrease of \$180 million from the FY 2014 closing balance. The balance in the Community Projects Fund, which finances discretionary grants allocated by the Legislature and Governor, is expected to be expended in FY 2015, reflecting disbursements from prior-year appropriations (\$87 million). In addition, the reduction in the balance includes the transfer of funds received in FY 2014 related to the J.P. Morgan settlement to a new fiduciary fund, the Mortgage Settlement Proceeds Trust Fund (\$58 million), and the use of excess resources from FY 2014 (\$43 million). These declines are partly offset by an \$8 million increase in amounts set aside for the potential costs of prior-year labor agreements, as described below.

Balances in the State's principal "rainy day" reserves, the Tax Stabilization Reserve Fund and the Rainy Day Reserve Fund, are expected to remain unchanged in FY 2015.

The Financial Plan continues to set aside money in the General Fund balance to cover the costs of potential retroactive labor settlements with unions that have not agreed to terms for prior contract periods. The amount identified is calculated based on the "pattern" settlement for FY 2008 through FY 2011 that was agreed to by the State's largest unions. The amount set aside is expected to be reduced as labor agreements for prior periods are reached with unsettled unions.

The Enacted Budget reserves \$500 million for debt management purposes in FY 2015, unchanged from the level held at the end of FY 2014. DOB will make a decision on the use of these funds based on market conditions, Financial Plan needs, and other factors during the year.



GENERAL FUND FINANCIAL PLAN

FY 2015 DETAILED GAP-CLOSING PLAN

The following table and narrative provide a summary of the Enacted Budget gap-closing plan.

FY 2015 ENACTED BUDGET GENERAL FUND GAP-CLOSING PLAN				
SAVINGS/(COSTS)				
(millions of dollars)				
	FY 2015	FY 2016	FY 2017	FY 2018
MID-YEAR BUDGET SURPLUS/(GAP) ESTIMATE ¹	(1,742)	(2,889)	(2,948)	(3,887)
SPENDING CHANGES	2,275	4,402	5,430	6,765
Agency Operations	494	734	940	1,240
Executive Agencies	85	194	256	328
Independent Officials	104	233	245	240
Fringe Benefits/Fixed Costs	305	307	439	672
Local Assistance	1,825	1,939	2,046	1,699
Human Services Cost of Living Adjustment (COLA)	92	125	127	128
Mental Hygiene	199	368	366	(2)
Health Care	152	98	(43)	(161)
DOH Medicaid	270	448	638	688
Education	450	335	404	526
STAR	172	227	232	286
Social Services/Housing	341	201	228	196
All Other	149	137	94	38
Capital Projects/Debt Management Initiatives ²	(715)	(1,110)	(1,323)	(1,499)
Adherence to 2% State Operating Funds Spending Benchmark ³	n/a	2,094	3,385	4,916
SURPLUS AVAILABLE FROM FY 2014	353	0	0	0
OTHER RESOURCE CHANGES	(161)	(282)	(106)	(246)
Tax Receipts	(450)	(88)	249	311
Other Resource Changes	289	(194)	(355)	(557)
SURPLUS/(GAP) ESTIMATE BEFORE TAX ACTIONS	725	1,231	2,376	2,632
Tax Actions	(725)	(928)	(1,271)	(1,154)
SURPLUS/(GAP) ESTIMATE AFTER TAX ACTIONS	0	303	1,105	1,478

¹ All forecast revisions made since the release of the original Executive Budget proposal are accounted for in the appropriate categories of the gap-closing plan (e.g., spending reestimates in the category entitled "Spending Changes").

² Includes distinct new spending additions to the Executive Budget agreed to during negotiations. Restorations to Executive Budget proposals are reflected in the appropriate "Spending Changes," "Resource Changes" and "Tax Actions" categories.

³ Savings estimated from limiting annual spending growth in future years to 2 percent. The Governor is expected to propose, and negotiate with the Legislature to enact, budgets that hold State Operating Funds spending growth to 2 percent. Assumes all savings from holding spending growth are made available to the General Fund.



SPENDING CHANGES

AGENCY OPERATIONS

Operating costs for State agencies include salaries, wages, fringe benefits, and non-personal service costs (i.e., supplies, utilities). These costs have declined over the past several years through ongoing State agency redesign and cost-control efforts. Reductions from the prior projections for agency operations contribute \$494 million to the General Fund gap-closing plan. Specifically:

- **Executive Agencies:** The Budget holds personal service and non-personal service spending flat with limited exceptions, such as costs attributable to the phase-in of the New York State of Health marketplace and IT consolidation efforts. Agencies are expected to continue to utilize less costly forms of service deliveries, improve administrative practices and pursue statewide solutions to common problems.
- **Independent Officials:** Spending growth for the Judiciary is expected to support mandated court operations, statutory salary increases, and additional Family Court Judges. Higher spending for the Department of Audit and Control supports additional pre-school special education audits. Spending for the Department of Law is expected to increase in part due to the lower than expected spending in FY 2014. Spending in future years is expected to remain at FY 2015 levels for all independent officials.
- **Fringe Benefits/Fixed Costs:** Estimates for the State's pension costs and other fringe benefits have been revised downward based on updated information. In addition, FY 2015 and 2016 costs have been reduced as a result of FY 2014 prepayments and a review of FY 2014 operating results.



LOCAL ASSISTANCE

Local assistance spending includes financial aid to local governments and non-profit organizations, as well as entitlement payments to individuals. Reductions from the prior projections for local assistance spending are expected to generate \$1.8 billion in General Fund savings.⁸ Savings are expected from targeted actions, continuation of prior-year cost containment actions, and reestimates based on actual spending data. Specifically:

- **Human Services Cost of Living Adjustment (COLA):** The Enacted Budget provides funding to support a 2 percent salary increase beginning in January 2015 for direct care workers and a 2 percent increase in April 2015 for direct care and clinical workers. This is in lieu of an across-the-board annual COLA for these two years.
- **Mental Hygiene:** Program spending is reduced to reflect revised forecasts for community-based bed development and expansion; efforts to return individuals from more costly out-of-state placements; and continued efforts to expand community services to reduce institutional costs.
- **Healthcare:** Lower spending reflects a downward trend in reimbursement of claims submitted by local governments under the General Public Health Works (GPHW) program and utilizing other insurance for prenatal care services; and lower expected Child Health Plus (CHP) costs as a result of the one-year moratorium on rate increases.
- **DOH Medicaid:** The Enacted Budget includes annual State-share Medicaid savings beginning in FY 2015 achieved under the Medicaid Global Cap. Projected savings result from the continuation of successful Medicaid Redesign Team (MRT) initiatives, improved cash management, and utilization of Federal resources associated with the Affordable Care Act (ACA). In addition, projected annual spending under the Medicaid Global Cap has been adjusted to reflect updated estimates of the medical component of the Consumer Price Index (CPI).
- **Education:** The Budget includes special education program reforms targeted to improve fiscal practices and service delivery. Estimated spending has also been revised downward based on revised school district data.
- **School Tax Relief:** Spending has been reduced to reflect a reduction in the estimated number of STAR exemption recipients. As part of the State's review of recipient data to ensure unlawful exemptions are excluded from State payments, existing STAR recipients were also required to re-register for their benefit.

⁸ Local assistance includes payments for School Aid, School Tax Relief (STAR), Medicaid, public assistance, child welfare, local government assistance and a range of other purposes.



- **Social Services/Housing:** Lower spending is expected in several programs, including Child Welfare Services, Adult Protective and Domestic Violence Services, Public Assistance, and Supplemental Security Income, based on updated claiming data and revised growth (caseload) assumptions.
- **All Other:** Spending reductions are expected to be achieved across multiple functions and program areas including: elimination of certain miscellaneous financial assistance to local governments; utilization of capital financing for eligible homeland security capital needs; revisions to disaster assistance aid; and elimination of certain legislative grants.

CAPITAL PROJECTS/DEBT MANAGEMENT

- Savings are expected through continued use of competitive bond sales, refundings, consolidation of debt issuances, the timing of bond sales, the level of PAYGO capital spending, and other debt management actions. In addition, first instance capital transfers made in prior years are expected to be reimbursed from planned bond sales and existing bond proceeds. The savings from debt management in FY 2015 and FY 2016 also reflect actual and planned prepayments of debt service, based on DOB's estimate of available resources. The Enacted Budget Financial Plan does not reflect the use of the \$500 million in General Fund resources designated for debt management. DOB expects to make a decision on using those resources, based on market conditions, Financial Plan needs, and other factors.
- In addition, the Enacted Budget includes \$30 million from the Metro Mass Transportation Operating Aid (MMTOA) account, with \$20 million in resources available for the same purpose on an annual basis beginning in FY 2016.

INITIATIVES

- The Enacted Budget includes significant spending additions for School Aid, higher education, and human services. During negotiations, the Executive and Legislature agreed to approximately \$550 million in distinct new spending additions to the Executive Budget proposal for FY 2015.



GENERAL FUND FINANCIAL PLAN

- The Financial Plan also reflects the costs of new capital initiatives authorized in the Budget. These include:
 - **Smart Schools Bond Act:** If approved by voters, the \$2 billion Smart Schools bond act will fund enhanced education technology in schools, with eligible projects including infrastructure improvements to bring high-speed broadband to schools and communities in their school district and the purchase of classroom technology for use by students. Additionally, Smart Schools will enable long-term investments in full day pre-kindergarten through the construction of new pre-kindergarten classroom space, replace classroom trailers with permanent classroom space and make investments in high-tech school safety programs. The debt service costs of the bond act are estimated at \$126 million beginning in FY 2017 and \$156 in FY 2018.
 - **Health Care Facility Restructuring:** This \$1.2 billion initiative is intended to improve the financial viability and efficiency of the State's health care delivery system. Funding is expected to be targeted to long-term care, hospitals, primary care, and behavioral/substance abuse services. Priority projects will include those that: align hospital and nursing home bed capacity to regional needs, enable facility integration, merge and consolidate facilities, expand primary care, and facilitate transformation to care management models. The debt service costs of this initiative are estimated at \$5 million in FY 2015, \$24 million in FY 2016, \$43 million in FY 2017, and \$63 million in FY 2018.

RESOURCES

- **Tax Receipts:** The FY 2015 estimate for annual receipts has been revised to reflect updated economic forecast data, and includes downward adjustments to personal income tax and cigarette tax collections, partly offset by upward changes to business tax and estate tax collections.
- **Other Resource Changes:** The estimate for miscellaneous receipts has been revised based on a review of FY 2014 collections, the projected receipt of various banking and insurance-related settlements and recoveries, and other transactions. In addition, the timing of certain transfers and other transactions at the end of FY 2014 had the effect of making additional resources available for FY 2015.



TAX ACTIONS

The Enacted Budget contains a set of tax reform actions that are estimated to result in a net reduction to taxes and assessments of \$725 million in FY 2015 and \$1.3 billion in FY 2016 on an All Funds basis. The most significant tax actions include:

- **Real Property Tax Credit:** The Enacted Budget freezes property taxes for two years, subject to two conditions. In year one, the State will provide tax credits to homeowners outside of New York City with qualifying incomes of \$500,000 or less who live in a jurisdiction that stays within the property tax cap. The tax credits will be extended for a second year in jurisdictions which comply with the tax cap and have put forward a plan to save 1 percent of their tax levy per year, over three years. The freeze will result in over \$1.5 billion in direct property tax relief for as many as 2.8 million taxpayers over the three years that the freeze is paid out.
- **New York City "Circuit Breaker" Tax Credit:** The Enacted Budget creates a refundable tax credit against the PIT to provide targeted tax relief to New York City renters and homeowners based on an individual homeowner's or renter's ability to pay. This relief program is progressively structured to provide a greater proportion of benefits to those with the highest property tax and rent burdens as a share of their income. The program is valued at \$85 million annually and will benefit over 1.4 million taxpayers for two years.
- **Corporate Tax Reform:** The Budget combines the corporate franchise and bank taxes to provide significant tax simplification and relief. The tax rate on net income is reduced from 7.1 percent to 6.5 percent, the lowest rate since 1968, and the alternative minimum tax is repealed.
- **Corporate Capital Base Calculation:** The asset tax is phased out over six years, beginning in 2016.
- **Net Income Tax on Corporate Manufacturers:** The Budget lowers the tax rate on income for corporate manufacturers from the current 5.9 percent to zero in 2014 and thereafter, to encourage the growth of manufacturing.
- **20 Percent Real Property Tax Credit for Manufacturers:** The Budget provides a statewide credit equal to 20 percent of property taxes paid by manufacturers who own or lease property.
- **Accelerate Phase Out of 18-a Temporary Assessment:** The budget accelerates the phase out of the 18-a temporary assessment over the next three years.



GENERAL FUND FINANCIAL PLAN

- **Estate Tax:** The exclusion threshold of the estate tax from \$1 million to conform to the Federal exemption amount (currently \$5.34 million) by January 2019, and indexes it to inflation thereafter. The top rate remains at 16 percent. This action will be coupled with a temporary provision that will require the value of gifts to be added back to the estate.
- **Resident Trust Loophole:** New York beneficiaries of exempt resident trusts will be required to pay tax on accumulated income distributed to such trusts. Furthermore, the income of a particular type of exempt resident trust (known as an incomplete gift, non-grantor trusts) will be taxed to the grantor of the trust.

NEGOTIATED CHANGES TO EXECUTIVE BUDGET

During negotiations, the Executive and Legislature agreed to \$610 million in gross spending restorations and additions to the Executive Budget proposal. Restorations, which are costs from the rejection of certain savings proposals contained in the Executive Budget, totaled approximately \$60 million. Additions, which represent distinct new spending added to the Executive Budget proposal by the Legislature, totaled roughly \$550 million. The most significant additions were for School Aid and other education programs. The Executive and Legislature also reached agreement on the reprogramming of certain spending initiatives proposed in the Executive Budget.

Negotiations on tax law changes resulted in a number of changes from the Executive proposal, including revisions to the property tax freeze, the credit for New York City renters and property owners and adoption of the elimination of the tax on net income for corporate manufacturers statewide (versus upstate only). In addition, the Enacted Budget excluded proposed changes to the Investment Tax Credit and repeal of the Financial Services Investment tax credit. A provision to phase out the capital base calculation under the corporate franchise tax was added. Over the Financial Plan. The tax law changes approved in the Enacted Budget were less costly than proposed in the Executive Budget.

Resources have been identified to finance the restorations and additions, and to provide for a balanced budget in FY 2015. These include reestimates to disbursement estimates based on operating results for FY 2014, resources made available by the prepayment of expenses, and other management actions. In addition, DOB expects to prepay in FY 2015 approximately \$350 million in expenses due in FY 2016. For planning purposes, the Financial Plan assumes the prepayment of debt service, but DOB will determine the specific prepayments that will be made later in the fiscal year. The level of prepayments may change, depending on the State's fiscal position.



GENERAL FUND FINANCIAL PLAN

The table below summarizes all the changes to the Executive Budget, as amended.

CHANGES TO THE EXECUTIVE BUDGET FINANCIAL PLAN				
GENERAL FUND				
(millions of dollars)				
	FY 2015	FY 2016	FY 2017	FY 2018
RESTORATIONS/ADDITIONS	(610)	(918)	(973)	(1,064)
School Aid	(203)	(659)	(677)	(696)
Other Education Aid	(92)	(49)	(65)	(115)
Human Services/Labor	(90)	(60)	(60)	(60)
Higher Education	(70)	(87)	(87)	(87)
Mental Hygiene	(12)	(3)	(3)	(3)
Housing/Agriculture/Environment	(26)	(10)	(10)	(10)
Public Protection/Criminal Justice/Judiciary	(17)	(6)	(10)	(12)
Economic Development	(17)	(3)	(3)	(3)
General Government/Local Government Assistance	(17)	(9)	(10)	(10)
Salary Increase for Direct Care and Clinical Staff	(13)	(122)	(122)	(122)
Transportation	(4)	(3)	(3)	(3)
Health (incl. DOH Medicaid)	(32)	(15)	(15)	(15)
All Other	(17)	108	92	72
NET TAX REVISIONS TO EXECUTIVE PLAN	(220)	326	831	1,399
Eligible Manufacturers at 0% - Modify Statewide	(151)	(151)	(154)	(154)
Reform ITC - Reject	(95)	(95)	(95)	(95)
Close Resident Trust Loophole - Modify	(7)	(22)	(15)	(15)
Circuit Breaker Credit - Modify	0	115	440	1,000
Corporate Reform - Modify	0	0	(55)	(95)
Increase Household Renters Tax Credit - Reject	0	200	400	400
Manufacturer Property Tax Credit - Modify	0	36	36	36
Estate Tax Relief - Modify	8	50	141	322
Property Tax Freeze Credit - Modify	25	193	133	0
AVAILABLE RESOURCES (NET OF NEW COSTS)	830	346	73	(325)
NET SAVINGS/(COSTS)	0	(246)	(69)	10

BUDGET RISKS AND UNCERTAINTIES TO THE FINANCIAL PLAN

Budget Risks and Uncertainties to The Financial Plan

GENERAL

The State's Financial Plan is subject to many complex economic, social, financial, environmental, and political risks and uncertainties, many of which are outside the ability of the State to control. DOB believes that the projections of receipts and disbursements in the Executive Budget are based on reasonable assumptions, but there can be no assurance that actual results will not differ materially and adversely from these projections. In certain fiscal years, actual receipts collections have fallen substantially below the levels forecast in the Financial Plan. In addition, the projections in future years are based on the assumption that annual growth in State Operating Funds is limited to 2 percent, and that all savings that result from the 2 percent limit are made available to the General Fund.

The Financial Plan is based on numerous assumptions, including the condition of the State and national economies and the concomitant receipt of economically-sensitive tax receipts in the amounts projected. Other uncertainties and risks concerning the economic and receipts forecasts include the impact of: national and international events; ongoing financial instability in the Euro Zone; changes in consumer confidence, oil supplies and oil prices; major terrorist events, hostilities, or war; climate change and extreme weather events; Federal statutory and regulatory changes concerning financial sector activities; changes concerning financial sector bonus payouts, as well as any future legislation governing the structure of compensation; shifts in monetary policy affecting interest rates and the financial markets; financial and real estate market developments which may adversely affect bonus income and capital gains realizations; and the effect of household debt reduction on consumer spending and State tax collections.

Among other factors, the Financial Plan is subject to uncertainties and contingencies relating to: wage increases for State employees; changes in the size of the State's workforce; the realization of the projected rate of return for pension fund assets and current assumptions with respect to wages for State employees affecting the State's required pension fund contributions; the willingness and ability of the Federal government to provide the aid expected in the Enacted Budget; the ability of the State to implement cost reduction initiatives, including reductions in State agency operations, and the success with which the State controls expenditures; and the ability of the State and its public authorities to market securities successfully in the public credit markets. Some of these specific issues are described in more detail herein. The projections and assumptions contained in the Financial Plan are subject to revisions which may involve substantial change. No assurance can be given that these estimates and projections, which include actions the State expects to be taken but which are not within the State's control, will be realized.



BUDGET RISKS AND UNCERTAINTIES TO THE FINANCIAL PLAN

DOB estimates that the Enacted Budget for FY 2015 provides for balanced operations in the General Fund. There can be no assurance, however, that the State's financial position will not change materially and adversely from current projections. If this were to occur, the State would be required to take additional gap-closing actions. Such actions may include, but are not limited to, additional reductions in State agency operations; delays or reductions in payments to local governments or other recipients of State aid; delays in or suspension of capital maintenance and construction; extraordinary financing of operating expenses; or other measures. In some cases, the ability of the State to implement such actions requires the approval of the Legislature and cannot be implemented solely by the action of the Governor.

The Financial Plan projections generally assume that School Aid and Medicaid disbursements will be limited to the growth in State personal income and the ten-year average growth in the Medicaid component of the Consumer Price Index (CPI), respectively. However, the FY 2015 Enacted Budget authorized spending for School Aid to increase by 5.3 percent, which is above the 3.1 percent growth in personal income that would otherwise be used to calculate School Aid increases.

State law grants the Governor certain powers to achieve the Medicaid savings assumed in the Enacted Budget Financial Plan. However, there can be no assurance that these powers will be sufficient to limit the rate of annual growth in the Department of Health's (DOH) State Funds Medicaid spending to the levels estimated in the Enacted Budget Financial Plan. In addition, savings are dependent upon timely Federal approvals, revenue performance in the State's Health Care Reform Act (HCRA) fund (which finances approximately one-third of the DOH State-share costs of Medicaid), and the participation of health care industry stakeholders.

The forecast contains specific transaction risks and other uncertainties including, but not limited to, the receipt of certain payments from public authorities; the receipt of miscellaneous revenues at the levels expected in the Financial Plan, including payments pursuant to the Tribal-State Compact that had failed to materialize in prior years, but which were received in the FY 2014 as part of an agreement between the State and certain tribal nations; and the achievement of cost-saving measures including, but not limited to, the transfer of available fund balances to the General Fund at the levels currently projected. Such risks and uncertainties, if they were to materialize, could have an adverse impact on the Financial Plan in the current year or future years.

FEDERAL ISSUES

The State receives a substantial amount of Federal aid for health care, education, transportation, and other governmental purposes, as well as Federal funding to address response to and recovery from severe weather events. Any reduction in Federal funding levels could have a materially adverse impact on the Financial Plan. In addition, the Financial Plan may be adversely affected by other actions taken by the Federal government, including audits,



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disallowances, and changes to Federal participation rates or other Medicaid rules. Issues of particular concern are described below.

MEDICAID REDESIGN TEAM MEDICAID WAIVER

The Federal Centers for Medicare and Medicaid Services (CMS) and the State have reached an agreement in principle authorizing up to \$8 billion in Federal funding, over multiple years, for use in transforming New York's health care system. The final terms of this agreement are still being negotiated and upon final CMS approval will be reflected as an amendment to the State's Partnership Plan 1115 Medicaid waiver.

FEDERAL REIMBURSEMENT FOR STATE MENTAL HYGIENE SERVICES

Pursuant to discussions with the Federal government, the State has lowered Medicaid developmental disability center payment rates effective April 1, 2013. Full implementation of this change has reduced Federal funding to the State by approximately \$1.1 billion annually, beginning in FY 2014. The 2014 Enacted Budget included a multi-year plan to address the loss in Federal aid, including \$90 million in OPWDD savings associated with reduced administrative costs, enhanced audit recoveries and improved program efficiencies. The plan is subject to implementation risks and is dependent, in part, on the approval of the Federal government. In addition, as described below, the CMS may seek to retroactively recover Federal funds paid to the State regarding this matter.

AUDIT DISALLOWANCE

In addition to the rate reduction described above, on February 8, 2013, the U.S. Department of Health & Human Services Office of the Inspector General, at the direction of the CMS, began a Fiscal Management Review to determine the allowability of Medicaid costs for services provided in prior years to the Medicaid population in New York State-Operated Intermediate Care Facilities for the Developmentally Disabled (ICF/DD). The initial review period includes claims for services provided from April 1, 2010 through March 31, 2011. As a result of this review, CMS may seek to recover Federal funds for any payments that it determines to have been in excess of Federal requirements. The State has attempted to address CMS's concerns regarding its prospective payments to ICF/DDs with a State plan change effective April 1, 2013, and continues to have discussions with CMS to resolve the concerns related to the April 1, 2010 through March 31, 2011 period. As noted above, the changes begun in FY 2014 resulted in a reduction in Federal aid of an estimated \$1.1 billion annually. The State expects to receive a final Fiscal Management Review shortly.



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A comparable amount of Federal aid is at risk for any prior period that may be pursued by CMS, with greater amounts in years with higher Federal FMAP participation. Matters of this type are sometimes resolved with a prospective solution (as already commenced by the State), and the State is not aware of any similar attempts by the Federal government to retroactively recover Federal aid of this magnitude that was paid pursuant to an approved State plan. The State continues to seek CMS approval to proceed with the development of a sustainable system of service funding and delivery for individuals with developmental disabilities. However, there can be no assurance that Federal action in this matter will not result in materially adverse changes to the Financial Plan.

BUDGET CONTROL ACT

The Federal Budget Control Act (BCA) of 2011 imposed annual caps on Federal discretionary spending over a ten-year period and mandated an additional \$1.2 trillion in deficit reduction, which, if not enacted, would be achieved through the sequestration of funds in Federal Fiscal Year (FFY) 2013 and lowered discretionary spending caps in the following years. As the required deficit reduction was not achieved by the March 1, 2013 deadline, an across-the-board 5 percent reduction in FFY 2013 funding for Federal nondefense discretionary programs was implemented. In December 2013, the spending caps for FFY 2014 and 2015 were revised upward by the Bipartisan Budget Act (BBA) of 2013. While the BBA provided minor discretionary cap relief over two years, BCA caps in the remaining years were not addressed. Specific funding levels are expected to be determined through the annual congressional budget process if the lowered spending caps remain in place. DOB estimates that New York State and local governments could lose approximately \$5 billion in Federal funding over nine years, including reductions in Federal funding that passes through the State budget for school districts, as well as environmental, criminal justice and social services programs.

DEBT CEILING

In October 2013, an impasse in Congress caused a temporary Federal government shutdown and raised concern for a time that the Federal debt ceiling would not be raised in a timely manner. A Federal government default on payments, particularly if it persisted for a prolonged period, could have a materially adverse effect on the national and state economies, financial markets, and intergovernmental aid payments. The specific effects on the Financial Plan of a Federal government payment default in the future are unknown and impossible to predict. However, data from past economic downturns suggest that the State's revenue loss could be substantial if the economy goes into a recession due to a Federal default.

A payment default by the United States may adversely affect the municipal bond market. Municipal issuers, as well as the State, could face higher borrowing costs and impaired market access. This would jeopardize planned capital investments in roads and bridges, higher



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education facilities, hazardous waste remediation, environmental projects, and economic development projects. Additionally, the market for and market value of outstanding municipal obligations, including municipal obligations of the State, could be adversely affected.

HEALTH INSURANCE COMPANY CONVERSIONS

State law permits a health insurance company to convert its organizational status from a not-for-profit to a for-profit corporation (a “health care conversion”), subject to a number of terms, conditions, and approvals. Under State law, the State is entitled to proceeds from the monetization of a health service corporation, from a not-for-profit to a for-profit corporation, and such proceeds must be used by the State for expenses related to health-care. Prior Financial Plans have counted on proceeds from conversions (\$175 million in FY 2014, and \$300 million annually in FY 2015, FY 2016, and FY 2017), which have not been realized. For planning purposes, the Financial Plan no longer counts on conversion proceeds.

STATUS OF CURRENT LABOR NEGOTIATIONS (CURRENT CONTRACT PERIOD)

The State has settled collective bargaining agreements with 90 percent of the State workforce for the contract period commencing in FY 2012. Five-year agreements were reached with the Civil Service Employees Association (CSEA), the United University Professions (UUP), the New York State Correctional Officers and Police Benevolent Association (NYSCOPBA), and Council 82. Four-year agreements were reached with the Public Employees Federation (PEF) and the New York State Police Benevolent Association (NYSPBA).

The settled agreements include wage and benefit concessions in exchange for contingent employee job protection through the respective contract periods. Nevertheless, reductions in force may be authorized if the State’s fiscal circumstances change materially or unexpectedly, or if such reductions are associated with the closure or restructuring of facilities authorized by legislation or by a Spending and Government Efficiency Commission (SAGE) determination. The agreements have provided: two-year Deficit Reduction Plan (DRP) savings of \$300 million; no general salary increases for the three-year period FY 2012 through FY 2014; a 2 percent general salary increase in FY 2015; and a 2 percent general salary increase in FY 2016 for the employees with five-year agreements. Additionally, the agreements provided full-annual health benefit savings of \$230 million resulting from increases to employee/retiree premium shares, copays, out of network deductibles and coinsurance.

Two lump sum payments — \$775 in FY 2014 and \$225 in FY 2015 — were or will soon be paid to employees represented by CSEA, NYSPBA, NYSCOPBA and Council 82. PEF did not negotiate these lump sum payments, but covered employees will receive repayment for all DRP reductions over an extended time at the end of the contract term. The employees represented by unions which negotiated the lump sum payments will be repaid a portion of their DRP



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reductions over an extended term at the end of their respective contract terms. UUP employees may receive lump sum payments of similar value in the form of Chancellor's Power of State University of New York (SUNY) Awards and Presidential Discretionary Awards.

The unions representing State Police Troopers, Investigators and Commissioned/Non-Commissioned Officers, as well as employees represented by District Council-37 (Housing) in the Division of Housing and Community Renewal (DHCR), continue to have unsettled contracts for the current contract period. The Financial Plan does not include a General Fund reserve for this purpose. The union representing Graduate Students has an unsettled contract for the period starting in FY 2010; there is a reserve for this purpose.

LABOR SETTLEMENTS FOR PRIOR CONTRACT PERIODS

The Financial Plan continues to include a General Fund reserve to cover the costs of a pattern settlement for unsettled contracts prior to FY 2011. There is no assurance this reserve will fully fund these unsettled contracts. In addition, the State's ability to fund all future agreements in FY 2015 and beyond depends on the achievement of balanced budgets in those years.

CURRENT CASH-FLOW PROJECTIONS

The State authorizes the General Fund to borrow resources temporarily from available funds in the Short-Term Investment Pool (STIP) for up to four months, or to the end of the fiscal year, whichever period is shorter. The amount of resources that can be borrowed by the General Fund is limited to the available balances in STIP, as determined by the State Comptroller. Available balances include money in the State's governmental funds and a relatively small amount of other moneys belonging to the State. Several accounts in Debt Service Funds and Capital Projects Funds that are part of All Governmental Funds are excluded from the balances deemed available in STIP. These excluded funds consist of bond proceeds and money obligated for debt service payments.

DOB expects that the State will have sufficient liquidity to make payments as they become due throughout FY 2015, but that the General Fund may, from time to time on a daily basis, need to borrow resources temporarily from other funds in STIP. The State continues to reserve money on a quarterly basis for debt service payments that are financed with General Fund resources. Money to pay debt service on bonds secured by dedicated receipts, including PIT bonds and Sales Tax bonds, continues to be set aside as required by law and bond covenants. The following table provides an estimate of month-end balances for FY 2015.



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PROJECTED ALL FUNDS MONTH-END CASH BALANCES FY 2015 (millions of dollars)			
	General Fund	Other Funds	All Funds
April	5,600	2,226	7,826
May	2,565	3,139	5,704
June	2,515	3,150	5,665
July	2,378	3,489	5,867
August	1,753	3,908	5,661
September	4,072	1,283	5,355
October	2,563	1,727	4,290
November	1,017	2,159	3,176
December	3,029	1,012	4,041
January	5,068	2,582	7,650
February	5,708	3,022	8,730
March	2,055	1,890	3,945

PENSION AMORTIZATION

Under legislation enacted in August 2010, the State and local governments may amortize (defer paying) a portion of their annual pension costs beginning in FY 2011. Amortization temporarily reduces the pension costs that must be paid by public employers in a given fiscal year, but results in higher costs overall when repaid with interest.

The 2010 legislation enacted a formula to set an amortization threshold for each year. The amortization threshold (the “graded rate”) may increase or decrease in the direction of the actuarial contribution rate (the “normal rate”) by up to one percentage point annually. Pension contribution costs in excess of the graded rate may be amortized. Amortization is permitted in all years if the normal rate is greater than the graded rate. However, when the graded rate equals or exceeds the normal rate, amortization is not allowed.

In FY 2015, the graded contribution rates for the Employees’ Retirement Fund (ERS) and the Police and Fire Retirement System (PFRS) will be 13.5 percent and 21.5 percent, respectively. The Financial Plan assumes the State will continue to amortize its pension costs in FY 2015 at these rates.



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Over the past four years, the normal rates and the amortization rates were as follows:

Fiscal Year (FY)	ERS Average Normal Rate	ERS Amortization Rate	PFRS Average Normal Rate	PFRS Amortization Rate
FY 2011	11.5	9.5	18.1	17.5
FY 2012	15.9	10.5	21.5	18.5
FY 2013	18.5	11.5	25.7	19.5
FY 2014	20.5	12.5	28.8	20.5

For both ERS and PFRS, DOB projects the FY 2016 graded rates will be equal to, or more than, the normal contribution rates. As such, continued amortization is not expected. Furthermore, DOB projects the graded rates will exceed the normal contribution rates in FY 2017 through FY 2020. In these years, amounts that exceed the normal contributions will be used to pay the cost of outstanding prior year amortizations, as required by statute. These projections are based on projected market returns and numerous actuarial assumptions. The next five-year experience study conducted by the Retirement Systems' Actuary is scheduled to take place in 2015 and could change these projections materially.

The State is required to begin repayment on each new amortization in the fiscal year immediately following the year in which the deferral was made. The full amount of the amortization, with interest, must be repaid within ten years, but the amount can be paid-off sooner. The annual interest rate on each new amortization is determined by the Office of the State Comptroller (OSC), and is fixed for the entire term of the deferral.

In FY 2014, the State made pension payments to the New York State & Local Retirement System (NYSLRS) of \$1.62 billion, of which \$814 million was amortized. This included an additional \$119.4 million to pay off the 2010 Retirement Incentive and other outstanding liabilities. In addition, the State's Office of Court Administration (OCA) made its pension payment of \$269 million, of which \$123 million was amortized. This included an additional \$7 million to pay off the 2005 pension amortization liability. The total deferred amount — \$937 million — will be repaid with interest over the next ten years, beginning in FY 2015.

For amounts amortized in FY 2011, FY 2012, FY 2013, and FY 2014, the State Comptroller set interest rates of 5 percent, 3.75 percent, 3 percent, and 3.67 percent, respectively. The Financial Plan assumes that both the State and OCA will elect to amortize pension costs in future years, consistent with the provisions of the authorizing legislation, and repay such amounts at an interest cost assumed by DOB to be 3.67 percent per annum over ten years from the date of each deferred payment, consistent with the interest rate charged on the FY 2014 amortized amounts.



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The table below summarizes pension contributions and projections for General State Charges (GSCs) and OCA over the period FY 2011 to FY 2028. The “Normal Costs” column shows the amount of the State’s pension contribution prior to amortization. The “New Amortized Amounts / Payment on Prior Deferrals” column shows new amounts deferred or payments made on prior deferrals in each fiscal year. The “New Amortization Costs” column provide the aggregate cost of amortization in a given fiscal year (principal and interest on all prior deferrals). The “Total” column provides the State’s pension contribution, net of amortization.

EMPLOYEE RETIREMENT SYSTEM AND POLICE AND FIRE RETIREMENT SYSTEM¹ (millions of dollars)				
Fiscal Year	Normal Costs²	New Amortized Amounts/Payment on Prior Deferrals	New Amortization Costs	Total
Results:				
2011	1,552.8	(249.6)	0.0	1,303.2
2012	2,041.7	(562.9)	32.3	1,511.1
2013	2,085.3	(778.5)	100.9	1,407.7
2014	2,633.7	(937.0)	192.1	1,888.8
Projections:				
2015	2,373.5	(742.6)	305.8	1,936.7
2016	1,706.2	0.0	395.8	2,102.0
2017	1,477.9	117.3	395.8	1,991.0
2018	1,355.8	171.9	364.1	1,891.8
2019	1,315.7	178.7	363.5	1,857.9
2020	1,312.7	96.6	295.0	1,704.3
2021	1,389.1	3.6	207.2	1,599.9
2022	1,455.0	0.0	203.7	1,658.7
2023	1,523.7	0.0	203.7	1,727.4
2024	1,596.0	0.0	180.8	1,776.8
2025	1,671.1	0.0	90.1	1,761.2
2026	1,749.2	0.0	0.0	1,749.2
2027	1,830.6	0.0	0.0	1,830.6
2028	1,915.3	0.0	0.0	1,915.3

Source: NYS DOB.

¹ Pension contribution values do not include pension costs related to the Optional Retirement Program and Teachers' Retirement System for SUNY and SED, whereas the projected pension disbursements in this Financial Plan tables include such pension disbursements.

² Normal costs include payments from amortizations prior to FY 2011, which will end in FY 2017.



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Consistent with these amortization assumptions, Part TT of Chapter 57 of the Laws of 2010 requires that: (a) the State make additional contributions in upcoming fiscal years, above the actuarially required contribution, as reflected in the following table, and (b) once all outstanding amortizations are paid off, additional contributions be set aside as reserves for rate increases, to be invested by the State Comptroller and used to offset future rate increases.

As noted above, DOB's most recent pension contribution rate forecast assumes that the normal contribution rate will equal the graded rate in FY 2016. Therefore, the State would not have the option to amortize any of its pension costs in 2016, or in the immediately succeeding fiscal years. In addition, this forecast assumes the State will make amortization payments on prior deferrals pursuant to the formula in statute. These payments are projected to occur in FY 2017 through FY 2021. Projections in the following table are based on certain assumptions concerning investment earnings and benefits that DOB believes are reasonable. However, actual results may vary from the projections provided in the following table, and such variances could be substantial.

STATE PENSION COSTS AND AMORTIZATION SAVINGS (millions of dollars)								
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
AMORTIZATION THRESHOLDS								
ERS (%)	9.5	10.5	11.5	12.5	13.5	14.2	13.2	12.2
PFRS (%)	17.5	18.5	19.5	20.5	21.5	20.8	19.8	18.8
PENSION (NET COST)	1,470	1,697	1,601	2,086	2,136	2,301	2,190	2,091
Gross Pension Costs	1,633	2,141	2,192	2,744	2,499	1,885	1,677	1,555
Amortization Savings	(250)	(563)	(779)	(937)	(743)	0	117	172
Repayment of Amortization (incl. FY 2005 and FY 2006)	87	119	188	279	380	416	396	364



OTHER POST-EMPLOYMENT BENEFITS (OPEB)

State employees become eligible for post-employment benefits (i.e., health insurance) if they reach retirement while working for the State, are enrolled in the New York State Health Insurance Program (NYSHIP), or are enrolled in the State's opt-out program at the time they have reached retirement, and have at least ten years of eligible service for NYSHIP benefits. The cost of providing post-retirement health insurance is shared between the State and the retired employee. Contributions are established by law and may be amended by the Legislature. The State pays its share of costs on a Pay-As-You-Go (PAYGO) basis as required by law.

In accordance with the Governmental Accounting Standards Board (GASB) Statement 45, the State must perform an actuarial valuation every two years for purposes of calculating OPEB liabilities. As disclosed in Note 13 of the State's Basic Financial Statements for FY 2013, the Annual Required Contribution (ARC) represents the projected annual level of funding that, if set aside on an ongoing basis, is anticipated to cover projected normal costs each year and to amortize any unfunded liabilities of the plan over a period not to exceed 30 years. Amounts required but not actually set aside to pay for these benefits are accumulated, with interest, as part of the net OPEB obligation, after adjusting for amounts previously required.

As reported in the State's Basic Financial Statements for FY 2013, the projected unfunded actuarial accrued liability for FY 2013 is \$66.5 billion (\$54.3 billion for the State and \$12.2 billion for SUNY), a decline of \$5.5 billion from FY 2012 (\$5.4 billion for the State and \$0.1 billion for SUNY). The unfunded actuarial accrued liability for FY 2013 used an actuarial valuation of OPEB liabilities as of April 1, 2012 for the State and as of April 1, 2010 for SUNY. These valuations were determined using the Frozen Entry Age actuarial cost method, and are amortized over an open period of 30 years using the level percentage of projected payroll amortization method.

The actuarially determined annual OPEB cost for FY 2013 totaled \$3.4 billion (\$2.6 billion for the State and \$0.8 billion for SUNY), a decline of \$520 million from FY 2012 (\$490 million for the State and \$30 million for SUNY). The actuarially determined cost is calculated using the Frozen Entry Age actuarial cost method, allocating costs on a level basis over earnings. The actuarially determined cost was \$2.0 billion (\$1.4 billion for the State and \$0.6 billion for SUNY) greater than the cash payments for retiree costs made by the State in FY 2013. This difference between the State's PAYGO costs, and the actuarially determined required annual contribution under GASB Statement 45, reduced the State's net asset condition at the end of FY 2013 by \$2.0 billion.



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GASB does not require the additional costs to be funded on the State's budgetary (cash) basis, and no funding is assumed for this purpose in the Executive Budget Financial Plan. The State continues to finance these costs, along with all other employee health care expenses, on a PAYGO basis.

There is no provision in the Executive Budget Financial Plan to fund the actuarial required contribution for OPEB. If the State began making the actuarial required contribution, the additional cost above the PAYGO amounts would be lowered. The State's Health Insurance Council, which consists of the Governor's Office of Employee Relations (GOER), Civil Service and DOB, will continue to review this matter and seek input from the State Comptroller, the legislative fiscal committees and other outside parties. However, it is not expected that the State will alter its planned funding practices in light of existing fiscal conditions.

FINANCIAL SETTLEMENTS

The State periodically receives financial settlements that are deposited to the General Fund. Based on recent experience, the Financial Plan includes additional expected receipts from settlement proceeds of approximately \$275 million in FY 2015, \$250 million in FY 2016, and \$100 million each for FY 2017 and FY 2018. There can be no assurance that State settlement proceeds in upcoming fiscal years will be received at the levels assumed in the Financial Plan.

J.P. MORGAN SETTLEMENT

Pursuant to a litigation settlement reached on November 19, 2013, J.P. Morgan Securities LLC is required to remit \$1 billion to the State of New York. The associated 2012 lawsuit was filed against J.P. Morgan following allegations of the firm's misrepresentation of mortgage-related securities. The settlement stipulated \$387 million in direct restitutions to affected State residents and \$613 million to the credit of the State Treasury, of which a minimum of 85 percent must be allocated for housing and related purposes, and the balance permitted for general State use.

Consistent with the legal stipulations and legislation adopted in the FY 2015 Enacted Budget, \$613 million was deposited in a State escrow account on December 17, 2013 of which \$23.5 million was subsequently transferred to the general fund and \$589.5 million to the newly created Mortgage Settlement Proceeds Trust Fund. The Enacted Budget authorizes the following distributions of the funds: (1) \$440 million in accordance with an approved Memorandum of Understanding (MOU) between the Executive and Legislature in consultation with DHCR, (2) \$81.5 million to be distributed in accordance with a plan developed by the Attorney General, and (3) \$91.5 million in transfers to the General Fund over a four-year period.



LITIGATION

Litigation against the State may include potential challenges to the constitutionality of various actions. The State may also be affected by adverse decisions that are the result of various lawsuits. Such adverse decisions may not meet the materiality threshold to warrant individual description but, in the aggregate, could still adversely affect the Financial Plan.

UPDATE ON STORM RECOVERY

Within the last three years, New York State has sustained damage from three powerful storms that crippled entire regions. In August 2011, Hurricane Irene disrupted power and caused extensive flooding to various New York State counties. In September 2011, Tropical Storm Lee caused flooding in additional New York State counties and, in some cases, exacerbated the damage caused by Hurricane Irene two weeks earlier. Little more than one year later, on October 29, 2012, Superstorm Sandy struck the East Coast, causing widespread infrastructure damage and economic losses to the greater New York region. The frequency and intensity of these storms presents economic and financial risks to the State. State claims for reimbursement for the costs of the immediate response are in process, and both recovery and future mitigation efforts have begun, largely supported by Federal funds. In January 2013, the Federal government approved approximately \$60 billion in Federal disaster aid for general recovery, rebuilding and mitigation activity nationwide. New York anticipates receiving approximately one-half of this amount over the coming years for response, recovery, and mitigation costs. There can be no assurance that all anticipated Federal disaster aid described above will be provided to the State and its affected entities, or that such Federal disaster aid will be provided on the expected schedule.

CLIMATE CHANGE ADAPTATION

Climate change is expected to cause long-term threats to physical and biological systems. Potential hazards and risks related to climate change for the State include, among other things, rising sea levels, more severe coastal flooding and erosion hazards, and more intense storms. Storms in recent years, including Superstorm Sandy, Hurricane Irene, and Tropical Storm Lee, have demonstrated vulnerabilities in the State's infrastructure, including mass transit systems, power transmission and distribution systems, and other critical lifelines, to extreme weather events, including coastal flooding caused by storm surges. Significant long-term planning and investment by the Federal government, State, and municipalities is expected to be needed to adapt existing infrastructure to the risks posed by climate change.



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FINANCIAL CONDITION OF NEW YORK STATE LOCALITIES

The fiscal demands on the State may be affected by the fiscal conditions of New York City and potentially other localities, which rely in part on State aid to balance their budgets and meet their cash requirements. Certain localities outside New York City, including cities, and counties, have experienced financial problems and have requested and received additional State assistance during the last several State fiscal years. In 2013, the Financial Restructuring Board for Local Governments was created to provide assistance to distressed local governments by performing comprehensive reviews and providing grants and loans as a condition of implementing recommended efficiency initiatives. For additional details on the Board, please visit www.frb.ny.gov.

BOND MARKET

Implementation of the Financial Plan is dependent on the State's ability to market its bonds successfully. The State finances much of its capital spending in the first instance from the General Fund or STIP, which it then reimburses with proceeds from the sale of bonds. If the State cannot sell bonds at the levels (or on the timetable) expected in the capital plan, it can adversely affect the State's overall cash position and capital funding plan. The success of projected public sales will, among other things, be subject to prevailing market conditions. Future developments in the financial markets, including possible changes in Federal tax law relating to the taxation of interest on municipal bonds, as well as future developments concerning the State and public discussion of such developments, generally may affect the market for outstanding State-supported and State-related debt.

CAPITAL COMMITMENT PLAN

The State continues to implement the best practices put forth by the New York Works Task Force ("the Task Force"). The Task Force was formed in May 2012 to assist in the coordination of long-term capital planning among State agencies and public authorities. Consistent with the long-term planning goals of New York Works, DOB formulated 10-year capital commitment and disbursement projections. The total commitment and disbursement levels permissible over the 10-year capital planning horizon reflect, among other things, projected capacity under the State's debt limit, anticipated levels of Federal aid, and the timing of capital activity based on known needs and historical patterns.



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DEBT REFORM ACT LIMIT

The Debt Reform Act of 2000 restricts the issuance of State-supported debt to capital purposes only and limits such debt to a maximum term of 30 years. The Act limits the amount of new State-supported debt to 4 percent of State personal income and new State-supported debt service costs to 5 percent of All Funds receipts. The restrictions apply to all new State-supported debt issued since April 1, 2000. The cap on new State-supported debt outstanding began at 0.75 percent of personal income in FY 2001 and was fully phased-in at 4 percent of personal income during FY 2011. The cap on new State-supported debt service costs began at 0.75 percent of All Funds receipts in FY 2001 and was fully phased in at 5 percent during FY 2014. The State was in compliance with the statutory caps for the most recent calculation period (FY 2013).

DOB expects that debt outstanding and debt service will continue to remain below the limits imposed by the Act. Based on the most recent personal income and debt outstanding forecasts, the available room under the debt outstanding cap is expected to decline from \$3.3 billion in FY 2013 to \$366 million in FY 2017. This includes the estimated impact of the bond-financed portion of the Enacted Budget's increased capital commitment levels. Debt outstanding and debt service caps continue to include the existing SUNY Dormitory Facilities lease revenue bonds, which are backed by the State. Bonds issued under the new SUNY Dormitory Facilities Revenue credit implemented in 2013 are not included in the State's calculation of debt caps. Capital spending priorities and debt financing practices may be adjusted from time to time to preserve available debt capacity and stay within the statutory limits, as events warrant.

DEBT OUTSTANDING SUBJECT TO CAP (millions of dollars)								TOTAL STATE-SUPPORTED DEBT (millions of dollars)	
Year	Personal Income	Cap %	Cap \$	Debt Outstanding Since April 1, 2000	\$ Remaining Capacity	Debt as a % of PI	% Remaining Capacity	Debt Outstanding Prior to April 1, 2000	Total State-Supported Debt Outstanding
FY 2014	1,062,391	4.00%	42,496	39,182	3,313	3.69%	0.31%	13,277	52,460
FY 2015	1,115,900	4.00%	44,636	41,841	2,795	3.75%	0.25%	11,756	53,597
FY 2016	1,170,616	4.00%	46,825	46,109	716	3.94%	0.06%	10,276	56,385
FY 2017	1,229,520	4.00%	49,181	48,815	366	3.97%	0.03%	8,842	57,657
FY 2018	1,292,273	4.00%	51,691	50,643	1,047	3.92%	0.08%	7,351	57,995
FY 2019	1,358,927	4.00%	54,357	52,842	1,515	3.89%	0.11%	6,128	58,970

DEBT SERVICE SUBJECT TO CAP (millions of dollars)								TOTAL STATE-SUPPORTED DEBT SERVICE (millions of dollars)	
Year	All Funds Receipts	Cap %	Cap \$	Debt Service Since April 1, 2000	\$ Remaining Capacity	DS as a % of Revenue	% Remaining Capacity	Debt Service Prior to April 1, 2000	Total State-Supported Debt Service
FY 2014	137,713	5.00%	6,886	3,855	3,031	2.80%	2.20%	2,533	6,388
FY 2015	141,649	5.00%	7,082	4,062	3,021	2.87%	2.13%	1,555	5,617
FY 2016	145,941	5.00%	7,297	4,381	2,916	3.00%	2.00%	1,498	5,879
FY 2017	150,455	5.00%	7,523	4,900	2,623	3.26%	1.74%	1,753	6,653
FY 2018	153,919	5.00%	7,696	5,296	2,400	3.44%	1.56%	1,698	6,993
FY 2019	157,755	5.00%	7,888	5,654	2,233	3.58%	1.42%	1,552	7,206



BUDGET RISKS AND UNCERTAINTIES TO THE FINANCIAL PLAN

SECURED HOSPITAL PROGRAM

Under the Secured Hospital Program, the State entered into service contracts to enable certain financially distressed not-for-profit hospitals to have tax-exempt debt issued on their behalf to pay for the cost of upgrading their primary health care facilities. In the event of shortfalls in revenues to pay debt service on the Secured Hospital bonds (which include hospital payments made under loan agreements between the Dormitory Authority of the State of New York (DASNY) and the hospitals and certain reserve funds held by the applicable trustees for the bonds) the service contracts obligate the State to pay debt service, subject to annual appropriations by the Legislature, on bonds issued by the New York State Medical Care Facilities Financing Agency (MCFFA) and by DASNY through the Secured Hospital Program. As of March 31, 2014, there were approximately \$351 million of bonds outstanding for this program.

The financial condition of hospitals in the State's Secured Hospital Program continues to deteriorate. Of the six remaining hospitals in the program, two are experiencing significant operating losses that have impaired their ability to remain current on their loan agreements with DASNY. In relation to the Secured Hospital Program, the State's contingent contractual obligation was invoked to pay debt service for the first time in FY 2014, when \$12 million was paid. The State also expects to pay debt service costs of \$31 million in FY 2015, approximately \$29 million in both FYs 2016 and FY 2017, and \$17 million in FY 2018. These amounts are based on the actual experience to date of the participants in the program, and would cover the debt service costs for two hospitals that currently are not meeting the terms of their legal agreements with DASNY, as well as the debt service costs of a third hospital that is now closed. The State has estimated additional exposure of up to \$31 million annually, if all hospitals in the program failed to meet the terms of their agreement with DASNY and if available reserve funds were depleted.

SUNY DOWNSTATE HOSPITAL AND LONG ISLAND COLLEGE HOSPITAL

In May 2011, the New York State Supreme Court issued an order (the "May 2011 Order") that approved the transfer of real property and other assets of Long Island College Hospital (LICH) to a New York State not-for-profit corporation ("Holdings"), the sole member of which is SUNY. Subsequent to such transfer, Holdings leased the LICH hospital facility to SUNY Downstate Hospital ("Downstate Hospital"). In 2012, DASNY issued a portion of its tax exempt State PIT Revenue Bonds ("PIT Bonds"), Series 2012D to refund approximately \$100 million in outstanding debt originally incurred by LICH.

To address the deteriorating financial condition of Downstate Hospital, which has been caused in part by the deteriorating financial position of LICH, legislation adopted with the FY 2014 Enacted Budget required the Chancellor of SUNY to submit to the Governor and the Legislature a multi-year sustainability plan for the Downstate Hospital. Specifically, the legislation required



BUDGET RISKS AND UNCERTAINTIES TO THE FINANCIAL PLAN

the sustainability plan to: a) set forth recommendations necessary to achieve financial stability for Downstate Hospital, and b) preserve the academic mission of Downstate Hospital's medical school. In accordance with this legislation, the Chancellor of SUNY submitted the sustainability plan for Downstate Hospital on May 31, 2013, and supplemented the plan with changes in a letter dated June 13, 2013. The supplemented plan was approved by both the Commissioner of Health and the Director of the Budget on June 13, 2013. Generally, the approved sustainability plan anticipates: a) a significant restructuring of health care service lines at University Hospital Brooklyn in order to achieve financial milestones assumed in the sustainability plan, and supported by State financial assistance from DOH; and, b) leveraging the LICH asset value to support the costs associated with Downstate Hospital exiting LICH operations, while accommodating continued health care services consistent with the needs of the community. Pursuant to the sustainability plan, as supplemented, SUNY, together with Holdings, issued a request for proposals (the RFP) to provide healthcare services in or around the LICH facilities and to purchase the LICH real estate.

In 2013, State Supreme Court Judge Demarest, who issued the May 2011 Order, issued, sua sponte, certain additional orders that could have affected the validity of the May 2011 Order. Also, in 2013, State Supreme Court Judge Baynes issued a series of orders that, effectively, precluded SUNY from awarding the RFP and exiting LICH operations. On February 25, 2014, Judges Demarest and Baynes approved a settlement whereby all parties agreed to discharge their claims and the judges vacated their orders. Pursuant to the settlement, SUNY, together with Holdings, issued a new RFP to increase the likelihood that the healthcare services component of the successful proposal would include a full-service hospital. The structure of the settlement also increases the likelihood that sufficient proceeds from the transaction will be available to support defeasance of the PIT Bonds and other costs associated with SUNY's exit from LICH. However, there can be no assurance that the resolution of the legal and financial issues surrounding LICH, including payment of outstanding liabilities, will not have a materially adverse impact on SUNY.

MULTI-YEAR FINANCIAL PLAN PROJECTIONS

Multi-Year Financial Plan Projections

INTRODUCTION

This section presents the State's updated multi-year Financial Plan projections for FY 2015 through FY 2018.

The State's cash-basis budgeting system, complex fund structure, and practice of earmarking certain tax receipts for specific purposes, complicate the discussion of the State's receipts and disbursements projections. Therefore, to minimize the distortions caused by these factors and, to highlight relevant aspects of the projections, DOB has adopted the following approaches in summarizing the projections:

- **Receipts:** The detailed discussion of tax receipts covers projections for both the General Fund and State Funds (including capital projects). The latter perspective reflects overall estimated tax receipts before their diversion among various funds and accounts, including tax receipts dedicated to capital projects funds (which fall outside of the General Fund and State Operating Funds accounting perspectives). DOB believes this presentation provides a clearer picture of projected receipts, trends and forecast assumptions, by factoring out the distorting effects of earmarking certain tax receipts.
- **Disbursements:** Roughly 40 percent of projected State-financed spending for operating purposes is accounted for outside of the General Fund and is primarily concentrated in the areas of health care, School Aid, higher education, transportation and mental hygiene. To provide a clearer picture of spending commitments, the multi-year projections and growth rates are presented, where appropriate, on both a General Fund and State Operating Funds basis.

In evaluating the State's multi-year operating forecast, it should be noted that the reliability of the estimates and projections as a predictor of the State's future financial position is likely to diminish the further removed such estimates and projections are from the date of this Enacted Budget. Accordingly, in terms of outyear projections (FY 2016 through FY 2018), FY 2016 is the most relevant from a planning perspective.



SUMMARY

The FY 2015 Enacted Budget limits the annual growth in State Operating Funds spending to 1.8 percent, consistent with the 2 percent spending benchmark adopted by the current Administration in FY 2012. The Financial Plan projections for FY 2016 and thereafter set forth in this Enacted Budget Financial Plan reflects the savings that DOB estimates would occur if the Governor continues to propose, and the Legislature continues to enact, balanced budgets in future years that limit annual growth in State Operating Funds to no greater than 2 percent. The estimated savings are labeled in the Financial Plan tables as “Adherence to 2 percent State Operating Funds Spending Benchmark.”

The following tables present the Financial Plan multi-year projections for the General Fund and State Operating Funds, as well as reconciliation between the State Operating Funds projections and the General Fund budget gaps. The tables are followed by a summary of the multi-year receipts and disbursements forecasts.



MULTI-YEAR FINANCIAL PLAN PROJECTIONS

GENERAL FUND PROJECTIONS

GENERAL FUND PROJECTIONS (millions of dollars)					
	FY 2014 Results	FY 2015 Enacted	FY 2016 Projected	FY 2017 Projected	FY 2018 Projected
RECEIPTS					
Taxes (After Debt Service)	57,758	57,994	61,564	64,679	67,485
Miscellaneous Receipts/Federal Grants	3,219	3,815	2,980	2,790	2,215
Other Transfers	891	1,153	819	777	750
Total Receipts	61,868	62,962	65,363	68,246	70,450
DISBURSEMENTS					
Local Assistance Grants	39,940	42,118	44,827	47,077	49,671
School Aid	17,182	18,456	19,900	20,977	22,155
Medicaid	11,487	11,599	12,381	13,015	13,728
All Other	11,271	12,063	12,546	13,085	13,788
State Operations	7,309	7,850	7,996	7,956	8,027
Personal Service	5,563	5,890	5,986	5,952	5,975
Non-Personal Service	1,746	1,960	2,010	2,004	2,052
General State Charges	4,899	5,072	5,322	5,470	5,583
Transfers to Other Funds	9,095	8,102	8,998	10,011	10,596
Debt Service	1,972	1,081	1,058	1,457	1,509
Capital Projects	1,436	930	1,406	1,761	2,006
State Share of Mental Hygiene Medicaid	1,576	1,638	1,313	1,281	1,156
SUNY Operations	971	977	980	980	980
All Other	3,140	3,476	4,241	4,532	4,945
Total Disbursements	61,243	63,142	67,143	70,514	73,877
Adherence to 2% State Operating Funds Spending Benchmark ¹	n/a	n/a	2,094	3,385	4,916
Use (Reservation) of Fund Balance:	(625)	180	(11)	(12)	(11)
Rainy Day Reserve Fund	(175)	0	0	0	0
Community Projects Fund	6	87	0	0	0
Prior-Year Labor Agreements (2007-2011)	32	(8)	(11)	(12)	(11)
Debt Management	(387)	0	0	0	0
Undesignated Reserve	(43)	43	0	0	0
JP Morgan Settlement Proceeds	(58)	58	0	0	0
BUDGET SURPLUS/(GAP) PROJECTIONS	0	0	303	1,105	1,478

¹ Savings estimated from limiting annual spending growth in future years to 2 percent. The Governor is expected to propose, and negotiate with the Legislature to enact, budgets that hold State Operating Funds spending growth to 2 percent. Assumes all savings from holding spending growth are made available to the General Fund.



MULTI-YEAR FINANCIAL PLAN PROJECTIONS

STATE OPERATING FUNDS PROJECTIONS

FY 2015 ENACTED BUDGET - STATE OPERATING FUNDS PROJECTIONS (millions of dollars)					
	FY 2014 Results	FY 2015 Enacted	FY 2016 Projected	FY 2017 Projected	FY 2018 Projected
RECEIPTS					
Taxes	68,335	68,826	72,844	76,454	79,641
Miscellaneous Receipts/Federal Grants	20,592	20,352	19,632	19,325	18,809
Total Receipts	88,927	89,178	92,476	95,779	98,450
DISBURSEMENTS					
Local Assistance Grants	59,406	61,181	64,141	66,350	69,245
School Aid	20,420	21,671	23,289	24,294	25,502
STAR	3,357	3,429	3,478	3,574	3,616
Other Education Aid	2,003	2,146	2,212	2,365	2,540
Higher Education	2,817	2,916	2,999	3,062	3,123
Medicaid (DOH)	16,241	16,732	17,523	18,282	19,051
Public Health/Aging	2,179	1,868	1,828	1,798	1,827
Mental Hygiene	2,777	2,925	3,063	3,078	3,557
Social Services	3,101	2,777	3,012	3,067	3,134
Transportation	4,722	4,817	4,865	4,936	5,014
Local Government Assistance	756	779	778	789	792
Public Protection	282	342	369	345	309
All Other	751	779	725	760	780
State Operations	17,864	18,199	18,610	18,605	18,684
Personal Service	12,300	12,593	12,831	12,808	12,856
Non-Personal Service	5,564	5,606	5,779	5,797	5,828
General State Charges	6,958	7,206	7,513	7,707	7,854
Pension Contribution	2,086	2,136	2,301	2,190	2,091
Health Insurance (Active Employees)	1,790	1,846	1,959	2,077	2,203
Health Insurance (Retired Employees)	1,463	1,509	1,601	1,698	1,801
All Other	1,619	1,715	1,652	1,742	1,759
Debt Service	6,400	5,648	5,908	6,682	7,011
Capital Projects	3	0	1	2	2
Total Disbursements	90,631	92,234	96,173	99,346	102,796
Net Other Financing Sources/(Uses)	2,134	2,827	1,944	1,547	1,263
Adherence to 2% State Operating Funds Spending Benchmark ¹	n/a	n/a	2,094	3,385	4,916
RECONCILIATION TO GENERAL FUND GAP					
Designated Fund Balances:	(430)	229	(38)	(260)	(355)
Available General Fund Balance	(625)	180	(11)	(12)	(11)
Unavailable Special Revenue Funds	50	42	(14)	(233)	(314)
Unavailable Debt Service Funds	145	7	(13)	(15)	(30)
GENERAL FUND BUDGET SURPLUS/(GAP)	0	0	303	1,105	1,478

¹ Savings estimated from limiting annual spending growth in future years to 2 percent. The Governor is expected to propose, and negotiate with the Legislature to enact, budgets that hold State Operating Funds spending growth to 2 percent. Assumes all savings from spending growth limit are made available to the General Fund.



ECONOMIC OUTLOOK

THE NATIONAL ECONOMY

The overall outlook for the national economy is relatively unchanged from the forecast released with the Executive Budget Updated for Governor's Amendments and Forecast Revisions (February forecast). However, activity in the prior two quarters was weaker than was estimated two months ago. The U.S. Bureau of Economic Analysis revised down its estimate for real U.S. GDP growth for the fourth quarter of 2013 by 0.6 percentage point. Unusually harsh winter weather had a substantial negative impact on the economy, depressing household spending and leaving retailers and producers with additional unwanted inventories. The U.S. Bureau of Economic Analysis estimates that real U.S. GDP grew a mere 0.1 percent for the first quarter of 2014. Real GDP growth for 2014 as a whole has been revised down 0.2 percentage point to 2.5 percent.

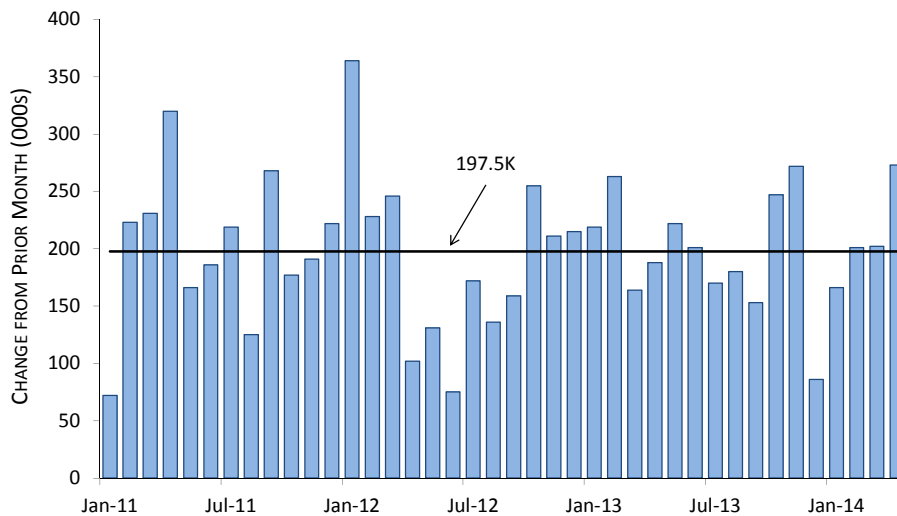
The transition to more seasonal weather in early March was accompanied by a limited rebound in economic activity. March light vehicle sales accelerated to 16.3 million, the highest since November. Retail sales excluding autos and gasoline rebounded as well, but monthly sales growth averaged only 0.2 percent over the four months that ended in March. Real household spending growth of 3.1 percent is projected for the second quarter, but the large inventory overhang from the second half of 2013 is expected to continue to put downward pressure on production for the next couple of quarters. DOB now estimates real growth of about 3 percent for the second quarter of this year, representing an upward revision due to a weather payback, while estimates for the third and fourth quarter remain largely unchanged as indicated above.

Private sector hiring improved substantially after a winter lull, but as illustrated in the figure below, the private labor market has not exhibited a sustained acceleration in more than three years, despite several fits and starts. As a result, DOB's outlook for only moderate improvement in hiring remains unchanged from the February forecast. One factor believed to be holding back hiring has been slow growth in private investment in plant and equipment. The scheduled expiration of bonus depreciation likely accelerated some investment into the fourth quarter of 2013, resulting in a slow start to this year, but the most recent data indicate some improvement ahead. In contrast, private spending for nonresidential structures has been stronger than expected. Overall growth in real private nonresidential investment has been revised up to 4.6 percent for 2014.



MULTI-YEAR FINANCIAL PLAN PROJECTIONS

U.S. PRIVATE SECTOR MONTHLY EMPLOYMENT GAINS



Source: Moody's Analytics.

Income growth has also been revised down for 2014, consistent with slightly lower output growth. Preliminary data for the first quarter have proven disappointing; with the weather likely having a negative impact on wages, but dividend and interest income posted particularly weak results as well. Income growth is expected to improve over the remainder of the year as the economy regains momentum, with that improvement supporting stronger growth in household spending by the second half of the year. Personal income and wage growth of 3.8 percent and 3.7 percent, respectively, are projected for the current year, following growth of 2.8 percent and 3.1 percent for 2013. Income growth remains well below historical averages, even after adjusting for inflation.

U.S. ECONOMIC INDICATORS (Percent change from prior calendar year)			
	2013	2014	2015
	Actual	Forecast	Forecast
Real U.S. Gross Domestic Product	1.9	2.5	2.9
Consumer Price Index (CPI)	1.5	1.7	2.1
Personal Income	2.8	3.8	5.2
Nonagricultural Employment	1.7	1.7	1.9

Source: Moody's Analytics; DOB staff estimates.

Both the housing and foreign sectors proved to be weaker than anticipated in the February forecast. After a November 2013 surge, housing starts fell an average 3.5 percent over the four months ending in March. Home sales have fared no better. Real residential investment is now projected to grow a downwardly revised 3.4 percent for 2014, although double-digit quarterly growth is expected by the second half of the year aided by improving credit conditions, rising



MULTI-YEAR FINANCIAL PLAN PROJECTIONS

employment and income, and seasonal changes in weather. The global economy has also proved to be more fragile than expected, with export growth now projected to be virtually flat for the first quarter of 2014. Anemic growth in Europe, along with slowing growth in other emerging markets, particularly China, has resulted in a downward revision to 4.9 percent for real U.S. export growth in 2014.

Despite this somewhat weaker outlook, the Federal Reserve is still expected to complete the gradual tapering of the growth of its historically large balance sheet by the end of the year. With Janet Yellen now fully ensconced as chair, the Federal Reserve has dropped its 6.5 percent unemployment rate benchmark in favor of a broader set of labor market, inflation, and financial market indicators. Indeed, the national unemployment rate now stands at 6.3 percent for April, falling from 6.7 percent in March. But underpinning that decline was a drop in the labor force of over 800,000, highlighting the difficulty in relying on a single measure to gauge the strength of the labor market. The central bank is not expected to begin raising its short-term interest rate target until the second quarter of 2015.

Despite a weak start to the year, DOB's outlook continues to call for quarterly growth in real U.S. GDP approaching 3 percent by the fourth quarter, accompanied by monthly employment gains exceeding 220,000 per month. But there are significant risks to this forecast. The global economy has become a bigger concern not only due to slower growth in China and other emerging markets, but also because of the growing tension between the U.S. and Russia over Ukraine. A highly interdependent global economy makes the impact of regional conflict difficult to contain. Slower global growth than anticipated could result in slower export growth, which could in turn result in weaker corporate profits and investment, and fewer jobs. The prospect of international conflict has kept energy prices volatile, which, along with equity price volatility, presents risk to household spending. Finally, the response of global financial markets to the unwinding of central bank accommodation remains a risk, particularly given the lack of experience upon which to draw.



MULTI-YEAR FINANCIAL PLAN PROJECTIONS

THE NEW YORK STATE ECONOMY

DOB's outlook for the New York State economy remains virtually unchanged from the Executive forecast. The State's private sector labor market has continued to perform well, exhibiting robust growth in professional and business services, private educational services, and tourism-related leisure and hospitality services. Real estate and construction activity also remains strong. However, with government and finance sector employment expected to continue to contract through the remainder of the year, State wage growth remains tepid. In addition, the non-wage components of U.S. personal income appear weaker than expected in the Executive Budget forecast, resulting in marginal downward revisions to State personal income for FY 2014. With the taxpayer response to changes in 2013 Federal tax law still distorting wage growth on a calendar year basis, we continue to report selected New York economic indicators on a State fiscal year basis.

NEW YORK STATE ECONOMIC INDICATORS (Percent change from prior fiscal year)			
	FY 2014	FY 2015	FY 2016
	Estimate	Forecast	Forecast
Personal Income	2.9	4.7	5.0
Wages	3.6	4.4	4.6
Nonagricultural Employment	1.6	1.3	1.2

Source: Moody's Analytics; New York State Department of Labor; DOB staff estimates.

The recent weakening in several national economic indicators, largely in response to unusually harsh winter weather, is a risk to the New York forecast going forward. State labor market growth has held up well so far, but a weaker labor market than projected could result in lower wages, as well as lower household spending. As the nation's financial capital, financial market volatility poses a particularly large degree of uncertainty for New York. Events over the past year have demonstrated how sensitive markets can be to shifting expectations surrounding Federal Reserve policy. The resulting market gyrations are likely to have a larger impact on the State economy than on the nation as a whole. Should financial and real estate markets be weaker than we expect, taxable capital gains realizations could be negatively affected.

Recent changes in Federal tax policy have added to economic uncertainty in creating a more challenging environment in which to forecast State income. Increases in tax rates at the national level in turn affect employer and taxpayer behavior, resulting in the shifting of income between tax years. These shifts tend to make it more difficult to identify the underlying trends in key economic indicators. In addition, both the bonus and nonbonus components of employee pay have become more difficult to estimate as Wall Street continues to adjust its compensation practices in the wake of new financial reform measures.



RECEIPTS OVERVIEW

Financial Plan receipts comprise a variety of taxes, fees and assessments, charges for State provided services, Federal grants, and other miscellaneous receipts, as well as the collection of a payroll tax on businesses located within the Metropolitan Transportation Authority region. The multi-year tax and miscellaneous receipts estimates are prepared by DOB with the assistance of the Department of Taxation and Finance and other agencies concerned with the collection of State receipts, and are predicated on economic analysis and forecasts.

Overall base growth in tax receipts is dependent on a multitude of factors. In general, base tax receipts growth rates are determined by economic changes, including, but not limited to, changes in interest rates, prices, wages, employment, nonwage income, capital gains realizations, taxable consumption, corporate profits, household net worth, real estate prices and gasoline prices. Federal law changes can influence taxpayer behavior, which also affect base tax receipts growth. State taxes account for approximately half of total All Funds receipts.

The projections of Federal receipts generally correspond to the anticipated spending levels of a variety of programs including Medicaid, temporary and disability assistance, mental hygiene, education, public health, and other activities, including extraordinary aid.



THE REVENUE SITUATION

FY 2014 Highlights:

- Base receipts (adjusted for tax law changes) growth of 6.3 percent;
- A better than expected Tax Year 2012 personal income tax (PIT) settlement, mainly the result of capital gains and income shifted from 2013 and other future years into 2012 in anticipation of higher Federal tax rates beginning in 2013;
- December 2013 and January 2014 PIT estimated payments that exceeded expectations, likely the result of stock market results;
- An uptick in real estate transfer tax collections growth, generally from improved conditions downstate;
- Strong estate tax collections, also likely due in some degree to the increase in net worth generated by stock market and real estate gains;
- Robust sales tax collection growth resulting from Superstorm Sandy recovery spending; and
- Weaker business tax results, mainly from the banking sector, whose profits suffered from fines and increased mortgage rates that reduced taxable income.

FY 2015 Highlights:

- Base receipts (adjusted for law changes) growth of 3.2 percent; however, after factoring out the acceleration of tax refunds in 2014, tax receipts would decline on an annual basis;
- PIT growth consistent with the estimated wage and personal income growth discussed above, but tempered by April 2014 settlement results that were less robust than the prior year, increased refunds generated by the payback of tax credits deferred in Tax Years 2010-2012, and tax cuts included in the Enacted Budget;
- A return to trend taxable consumption growth after the above average growth experienced in FY 2014;
- Another decline in business tax receipts, due primarily to the credit deferral payback and Enacted Budget tax cuts; and
- A decline in estate tax receipts generated by Enacted Budget tax cuts; and
- A slowdown in real estate transfer tax receipt growth consistent with long-term averages.



MULTI-YEAR FINANCIAL PLAN PROJECTIONS

ALL FUNDS RECEIPTS (millions of dollars)									
	FY 2014 Results	FY 2015 Enacted	Change	FY 2016 Projected	Change	FY 2017 Projected	Change	FY 2018 Projected	Change
Personal Income Tax	42,961	43,735	1.8%	46,829	7.1%	50,023	6.8%	52,461	4.9%
User Taxes and Fees	15,099	15,364	1.8%	15,856	3.2%	16,302	2.8%	16,789	3.0%
Business Taxes	8,259	7,671	-7.1%	7,999	4.3%	7,930	-0.9%	8,101	2.2%
Other Taxes	2,167	2,152	-0.7%	2,177	1.2%	2,141	-1.7%	2,161	0.9%
Payroll Tax	1,204	1,266	5.1%	1,332	5.2%	1,403	5.3%	1,478	5.3%
Total State Taxes	69,690	70,188	0.7%	74,193	5.7%	77,799	4.9%	80,990	4.1%
Miscellaneous Receipts	24,234	25,672	5.9%	25,214	-1.8%	24,374	-3.3%	23,178	-4.9%
Federal Receipts	43,789	45,789	4.6%	46,534	1.6%	48,283	3.8%	49,750	3.0%
Total All Fund Receipts	137,713	141,649	2.9%	145,941	3.0%	150,456	3.1%	153,918	2.3%

Total All Funds receipts in FY 2015 are estimated at \$141.6 billion, 2.9 percent above FY 2014 results. State tax receipts are expected to increase 0.7 percent in FY 2015. This modest increase is due to the factors noted above. Miscellaneous receipts growth is mainly due to the deposit of \$1 billion from the State Insurance Fund reserve release in connection with Workers' Compensation reforms enacted in the FY 2014 budget.

Consistent with the projected growth in the New York economy over the multi-year Financial Plan period, all major tax categories are expected to grow, with the exception of business taxes and other taxes. The declines in these categories are the result of the payback of deferred tax credits and recently enacted tax law changes.

GENERAL FUND RECEIPTS (millions of dollars)					
	FY 2014 Results	FY 2015 Enacted	FY 2016 Projected	FY 2017 Projected	FY 2018 Projected
Total All Funds State Taxes	68,486	68,922	72,861	76,396	79,512
Less Dedicated Taxes:					
STAR	(3,357)	(3,429)	(3,478)	(3,574)	(3,616)
Revenue Bond Tax Fund	(10,740)	(10,934)	(11,708)	(12,506)	(13,115)
LGAC/Sales Tax Bond Fund	(5,901)	(6,046)	(6,277)	(6,510)	(6,760)
Cigarette/Tobacco Tax	(1,027)	(970)	(923)	(880)	(842)
Sales Tax	(802)	(854)	(894)	(927)	(956)
User Taxes	(808)	(842)	(854)	(853)	(858)
Business Taxes	(2,213)	(2,233)	(2,271)	(2,321)	(2,372)
Real Estate Transfer Tax	(911)	(955)	(1,020)	(1,079)	(1,149)
Total General Fund Taxes	42,727	42,659	45,436	47,746	49,844
Miscellaneous Receipts	3,219	3,815	2,980	2,790	2,215
Federal Receipts	0	0	0	0	0
Total General Fund Receipts	45,946	46,474	48,416	50,536	52,059
Annual \$ Change		528	1,942	2,120	1,523
Annual % Change		1.1%	4.2%	4.4%	3.0%



MULTI-YEAR FINANCIAL PLAN PROJECTIONS

Approximately 60 percent of All Funds tax receipts are deposited into the General Fund. The remaining tax collections are dedicated for various purposes including School Tax Relief (STAR) payments to school districts, debt service reserves, health care, and transportation. General Fund tax receipts are projected to total \$42.7 billion in FY 2015, virtually unchanged prior year results. General Fund miscellaneous receipts are expected to increase by \$596 million (18.5 percent) mainly due to the deposit of funds related to FY 2014 Workers' Compensation reforms, which is partly offset by the phase-out of the temporary utility assessment.

PERSONAL INCOME TAX

PERSONAL INCOME TAX (millions of dollars)									
	FY 2014 Results	FY 2015 Enacted	Annual % Change	FY 2016 Projected	Annual % Change	FY 2017 Projected	Annual % Change	FY 2018 Projected	Annual % Change
STATE/ALL FUNDS	42,961	43,735	1.8%	46,829	7.1%	50,023	6.8%	52,461	4.9%
Gross Collections	51,575	51,979	0.8%	55,926	7.6%	59,478	6.4%	61,990	4.2%
Refunds (Incl. State/City Offset)	(8,614)	(8,244)	-4.3%	(9,097)	10.3%	(9,455)	3.9%	(9,529)	0.8%
GENERAL FUND¹	28,864	29,372	1.8%	31,643	7.7%	33,943	7.3%	35,730	5.3%
Gross Collections	51,575	51,979	0.8%	55,926	7.6%	59,478	6.4%	61,990	4.2%
Refunds (Incl. State/City Offset)	(8,614)	(8,244)	-4.3%	(9,097)	10.3%	(9,455)	3.9%	(9,529)	0.8%
STAR	(3,357)	(3,429)	2.1%	(3,478)	1.4%	(3,574)	2.8%	(3,616)	1.2%
RBTF	(10,740)	(10,934)	1.8%	(11,708)	7.1%	(12,506)	6.8%	(13,115)	4.9%

¹Excludes Transfers.

PIT is by far New York State's largest source of tax receipts, accounting for approximately 64 percent of estimated FY 2015 State tax receipts. The State's PIT structure conforms closely to the Federal structure, however with modifications for the inclusion or exclusion of certain income. New York allows either a standard deduction or itemized deductions, whichever is greater. Although New York generally conforms to Federal rules pertaining to itemized deductions, the State imposes some additional limitations, mainly for high income taxpayers.

In addition, the PIT structure includes various exclusions, exemptions, tax credits, and other statutory devices designed to adjust State tax liability. These tax expenditures reduce the amount of a taxpayer's liability to the State by providing either economic incentives or tax relief to particular entities to achieve a public purpose.

Overall base growth in PIT receipts is dependent on the forecast of economic changes, including, but not limited to, changes in wages, employment, nonwage income, and capital gains realizations.

All Funds receipts for FY 2015 are projected to be \$43.7 billion, an increase of \$774 million (1.8 percent) from FY 2014. This primarily reflects increases in withholding and estimated payments attributable to the 2014 tax year, partially offset by a substantial decline in tax year 2013 extension payments.



MULTI-YEAR FINANCIAL PLAN PROJECTIONS

Withholding is projected to be \$1.8 billion (5.3 percent) higher compared to FY 2014, due mainly to moderate wage growth. Extension payments are estimated to decline by \$1.8 billion (35.2 percent) due to a combination of reduced capital gains realizations, relative to tax year 2012, and an adjustment in taxpayer behavior. The capital gains acceleration into tax year 2012 at the expense of tax year 2013 and thereafter, which was done in anticipation of the increase in Federal income tax rates between 2012 and 2013, served to create an inflated extension payments base. This income shifting was coupled with unusually high tax year 2012-related (FY 2014) extension overpayments, leading to a significant tax year 2013-related (FY 2015) extension payments decline. Estimated payments for tax year 2014 are projected to be \$604 million (6.4 percent) higher. Final return payments and delinquencies are projected to be \$230 million (9.6 percent) lower and \$71 million (6 percent) higher, respectively.

The decline in total refunds of \$370 million (4.3 percent) reflects a \$328 million (15.8 percent) decrease in current (tax year 2014) refunds, a \$625 million (11.6 percent) decrease in prior (tax year 2013) refunds, a \$36 million decrease in previous (tax year 2012 and earlier) refunds, and a \$167 million (27.2 percent) decline in the State-City offset, partially offset by \$785 million in advanced payments for the Family Tax Relief credit and the newly enacted Real Property Tax Freeze credit.

General Fund income tax receipts are net of deposits to the STAR Fund, which provides property tax relief, and the Revenue Bond Tax Fund (RBTF), which supports debt service payments on State PIT revenue bonds. General Fund income tax receipts for FY 2015 of \$29.4 billion are expected to increase by \$508 million (1.8 percent) from the prior year, mainly reflecting the increase in All Funds receipts noted above. RBTF deposits are projected to be \$10.9 billion and the STAR transfer is projected to be \$3.4 billion.

The following table summarizes, by component, actual receipts for FY 2014 and forecast amounts through FY 2018.



MULTI-YEAR FINANCIAL PLAN PROJECTIONS

PERSONAL INCOME TAX FISCAL YEAR COLLECTION COMPONENTS					
ALL FUNDS					
(millions of dollars)					
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
	Results	Enacted	Projected	Projected	Projected
Receipts					
Withholding	33,368	35,149	37,410	39,491	40,905
Estimated Payments	14,637	13,418	14,895	16,119	17,058
Current Year	9,454	10,059	10,947	11,749	12,463
Prior Year*	5,183	3,359	3,948	4,370	4,595
Final Returns	2,395	2,166	2,328	2,530	2,636
Current Year	2,145	1,924	2,074	2,265	2,359
Prior Year*	250	242	254	265	277
Delinquent	1,175	1,246	1,293	1,338	1,391
Gross Receipts	51,575	51,979	55,926	59,478	61,990
Refunds					
Prior Year*	5,367	4,742	5,578	6,377	6,792
Previous Years	554	519	538	538	539
Current Year*	2,078	1,751	1,751	1,750	1,750
Advanced Credit Payment	0	785	783	342	0
State/City Offset*	615	448	448	448	448
Total Refunds	8,614	8,244	9,097	9,455	9,529
Net Receipts	42,961	43,735	46,829	50,023	52,461
*These components, collectively, are known as the "settlement" on the prior year's tax liability.					

All Funds income tax receipts for FY 2016 of \$46.8 billion are projected to increase \$3.1 billion (7.1 percent) from the prior year. This primarily reflects increases of \$2.3 billion (6.4 percent) in withholding, \$889 million (8.8 percent) in estimated payments related to tax year 2015, and \$589 million (17.5 percent) in extension payments related to tax year 2014, partially offset by an \$853 million (10.3 percent) increase in total refunds. The growth in withholding is related to projected wage growth of 4.6 percent. Strong growth is expected from extension payments following a decline in FY 2015. The growth in total refunds is primarily attributable to growth in prior (tax year 2014) refunds, following a deflated FY 2015 base which would have been \$328 million higher if the administrative cap on refunds between January and March 2014 had not been increased. Payments from final returns are expected to increase \$162 million (7.5 percent), while delinquencies are projected to increase \$47 million (3.8 percent) from the prior year.

General Fund income tax receipts for FY 2016 of \$31.6 billion are projected to increase by \$2.3 billion (7.7 percent). RBTF deposits are projected to be \$11.7 billion, and the STAR transfer is projected to be \$3.5 billion.



MULTI-YEAR FINANCIAL PLAN PROJECTIONS

All Funds income tax receipts of \$50 billion in FY 2017 are projected to increase \$3.2 billion (6.8 percent) from the prior year. Gross receipts are projected to increase 6.4 percent and reflect withholding that is projected to grow by \$2.1 billion (5.6 percent) and estimated payments related to tax year 2016 that are projected to grow by \$801 million (7.3 percent). Payments from extensions for tax year 2015 are projected to increase by \$422 million (10.7 percent) and final returns are expected to increase \$202 million (8.7 percent). Delinquencies are projected to increase \$45 million (3.5 percent) from the prior year. Total refunds are projected to increase by \$358 million (3.9 percent) from the prior year.

General Fund income tax receipts for FY 2017 of \$33.9 billion are projected to increase by \$2.3 billion (7.3 percent).

All Funds income tax receipts are projected to increase by \$2.4 billion (4.9 percent) in FY 2018 to reach \$52.5 billion, while General Fund receipts are projected to be \$35.7 billion.

USER TAXES AND FEES

USER TAXES AND FEES (millions of dollars)									
	FY 2014 Results	FY 2015 Enacted	Annual % Change	FY 2016 Projected	Annual % Change	FY 2017 Projected	Annual % Change	FY 2018 Projected	Annual % Change
STATE/ALL FUNDS	15,099	15,364	1.8%	15,856	3.2%	16,302	2.8%	16,789	3.0%
Sales Tax	12,588	12,967	3.0%	13,448	3.7%	13,947	3.7%	14,474	3.8%
Cigarette and Tobacco Taxes	1,453	1,299	-10.6%	1,293	-0.5%	1,236	-4.4%	1,186	-4.0%
Motor Fuel Tax	473	487	3.0%	484	-0.6%	485	0.2%	481	-0.8%
Highway Use Tax	136	136	0.0%	145	6.6%	139	-4.1%	141	1.4%
Alcoholic Beverage Taxes	250	256	2.4%	261	2.0%	266	1.9%	271	1.9%
Taxicab Surcharge	85	100	17.6%	101	1.0%	101	0.0%	101	0.0%
Auto Rental Tax	114	119	4.4%	124	4.2%	128	3.2%	135	5.5%
GENERAL FUND¹	6,561	6,652	1.4%	6,908	3.8%	7,132	3.2%	7,373	3.4%
Sales Tax	5,885	6,067	3.1%	6,277	3.5%	6,510	3.7%	6,758	3.8%
Cigarette and Tobacco Taxes	426	329	-22.8%	370	12.5%	356	-3.8%	344	-3.4%
Alcoholic Beverage Taxes	250	256	2.4%	261	2.0%	266	1.9%	271	1.9%

¹Excludes Transfers.

New York imposes certain user taxes and fees, including a 4 percent general sales tax; a \$4.35 per package cigarette tax and tax on other tobacco products; an 8 cent per gallon tax on the sale of motor fuel; highway use tax on commercial vehicles; taxes at various rates on liquor, beer, wine and specialty beverages; and a 6 percent auto rental tax.

All Funds user taxes and fees receipts for FY 2015 are estimated to be \$15.4 billion, a 1.8 percent (\$265 million) increase from FY 2014 results. Sales tax receipts are expected to increase 3 percent (\$379 million) from FY 2014, resulting from 3.9 percent base growth (i.e., absent law changes) offset by a law changes enacted with this and previous Budgets (vending machine exemption increase and Start-Up New York). Cigarette and tobacco collections are estimated to decline 10.6 percent (\$154 million), primarily reflecting greater than trend



MULTI-YEAR FINANCIAL PLAN PROJECTIONS

declines (particularly in NYC) and cigar tax refunds resulting from litigation. Motor fuel tax collections are expected to increase 3 percent (\$14 million), rebounding from FY 2014 losses caused by severe winter weather.

General Fund user taxes and fees receipts for FY 2015 are estimated to total over \$6.6 billion, an increase of 1.4 percent (\$91 million) from FY 2014. This increase largely reflects increased sales tax collections offset by greater than trend declines in cigarette collections and cigar tax refunds.

All Funds user taxes and fees receipts for FY 2016 are projected to be \$15.9 billion, an increase of 3.2 percent (\$492 million) from FY 2015 projections. The 3.7 percent (\$481 million) increase in sales tax receipts reflects sales tax base growth of 3.6 percent due to strong projected disposable income growth. Highway use tax receipts are expected to increase 6.6 percent (\$9 million) as FY 2016 is a triennial renewal year.

General Fund user taxes and fees receipts are projected to total \$6.9 billion in FY 2016, a 3.8 percent (\$256 million) increase from FY 2015 estimates. The projected increase results from increases in sales, cigarette and tobacco, and alcoholic beverage tax receipts. The projected increase in cigarette and tobacco tax receipts is the result of an artificially low FY 2015 base created by the cigar tax refunds mentioned earlier.

All Funds user taxes and fees are projected to increase to \$16.3 billion (2.8 percent) in FY 2017 and \$16.8 billion (3 percent) in FY 2018, representing base growth in sales tax receipts, offset slightly by trend declines in cigarette tax collections.



MULTI-YEAR FINANCIAL PLAN PROJECTIONS

BUSINESS TAXES

BUSINESS TAXES (millions of dollars)									
	FY 2014 Results	FY 2015 Enacted	Annual % Change	FY 2016 Projected	Annual % Change	FY 2017 Projected	Annual % Change	FY 2018 Projected	Annual % Change
STATE/ALL FUNDS	8,259	7,671	-7.1%	7,999	4.3%	7,930	-0.9%	8,101	2.2%
Corporate Franchise Tax	3,812	2,798	-26.6%	4,533	62.0%	4,257	-6.1%	4,442	4.3%
Corporation and Utilities Tax	798	790	-1.0%	780	-1.3%	800	2.6%	818	2.3%
Insurance Tax	1,444	1,534	6.2%	1,596	4.0%	1,572	-1.5%	1,553	-1.2%
Bank Tax	1,050	1,409	34.2%	(10)	-100.7%	203	-2130.0%	190	-6.4%
Petroleum Business Tax	1,155	1,140	-1.3%	1,100	-3.5%	1,098	-0.2%	1,098	0.0%
GENERAL FUND	6,046	5,438	-10.1%	5,728	5.3%	5,609	-2.1%	5,729	2.1%
Corporate Franchise Tax	3,245	2,239	-31.0%	3,750	67.5%	3,435	-8.4%	3,578	4.2%
Corporation and Utilities Tax	615	604	-1.8%	590	-2.3%	604	2.4%	618	2.3%
Insurance Tax	1,298	1,375	5.9%	1,426	3.7%	1,397	-2.0%	1,371	-1.9%
Bank Tax	888	1,220	37.4%	(38)	-103.1%	173	-555.3%	162	-6.4%
Petroleum Business Tax	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%

All Funds business tax receipts for FY 2015 are estimated at \$7.7 billion, a 7.1 percent (\$588 million) decrease from prior year results. The estimate reflects decreases from the first year of the credit deferral payback to taxpayers (an incremental refund increase of \$273 million) and FY 2015 Enacted Budget tax changes which are estimated to reduce All Funds receipts by \$193 million. Growth in the bank and insurance taxes is offset by declines in the corporate franchise tax (for the reasons stated above), the corporation and utilities tax and the petroleum business tax.

Corporate franchise tax receipts are estimated to decrease 26.6 percent (\$1 billion) in FY 2015, reflecting the refund increment noted above (\$273 million), and tax cuts for manufacturers enacted in the FY 2014 and FY 2015 Budgets. The FY 2014 Enacted Budget phased-in a 25 percent tax cut on all four manufacturing tax bases beginning in tax year 2014. Additionally, the FY 2015 Enacted Budget reduced the entire net income tax rate to zero percent for qualified manufacturers effective for Tax Year 2014. The impact of these two actions is estimated to reduce FY 2015 receipts by a total of \$223 million from FY 2014 receipts. In addition to these actions, audit receipts are expected to be 13.3 percent (\$155 million) lower and refunds excluding the credit deferral payback are estimated to increase \$214 million.

Corporation and utilities tax receipts are expected to decline 1 percent (\$8 million) in FY 2015. Both gross receipts and audits are expected to decline from the prior year. The telecommunications sector is expected to show no growth from the prior year as consumers continue to increase their use of smart phones and social networks to communicate. Based on industry information, data revenue per user exceeded voice revenue per user for the first time at the end of calendar year 2013. Data revenue is nontaxable due to Federal law. It is expected that this trend will continue into calendar year 2014. Utility revenue is expected to increase slightly from the prior year which partially offsets the loss of payments made under section 186



MULTI-YEAR FINANCIAL PLAN PROJECTIONS

due to the LIPA restructuring enacted in the 2013 legislative session. Additionally, refunds are expected to be much lower in FY 2015 due to an atypically large refund that was paid in FY 2014.

Insurance tax receipts are expected to increase 6.2 percent (\$90 million) in FY 2015. Strength in premiums growth from authorized insurers as well as unauthorized (excess line brokers) insurers will be only partially offset by the impact of the State's transition of (1) the medical portion of the Empire Plan to self-insurance (effective January 1, 2013) and (2) additional portions of the Empire Plan on January 1, 2014. This transition reduces insurance tax receipts since the State and local governments no longer remit the insurance tax as part of premium payments. Additionally, audits are expected to be higher and refunds lower in FY 2015 than in FY 2014.

Bank tax receipts are estimated to increase 34.2 percent (\$359 million) in FY 2015. Gross receipts are expected to grow 27.4 percent in FY 2015 as liability year 2014 rebounds from a weak 2013. Additionally, audit receipts are expected to increase nearly \$113 million based on the expected case load and implementation of new audit procedures.

Petroleum business tax (PBT) receipts are expected to decrease 1.3 percent (\$15 million) in FY 2015, primarily due to the 0.8 percent decrease in PBT tax rates effective January 2014 and the estimated 4 percent decrease in PBT tax rates effective January 2015. These declines are partially offset by an expected rebound in taxable fuel consumption from FY 2014 results that were depressed by severe winter weather.

General Fund business tax receipts for FY 2015 of \$5.4 billion are estimated to decrease 10.1 percent (\$608 million) from FY 2014 results, reflecting the All Funds trends discussed above.

The massive decline in bank tax receipts and the commensurate large increase in corporate franchise tax receipts beginning in FY 2016 are the result of the repeal of the bank tax and resultant imposition of the corporate franchise tax on former bank taxpayers effective for tax year 2015. All Funds business tax receipts for FY 2016 of \$8 billion are projected to increase 4.3 percent (\$328 million) from the prior year. FY 2016 includes Enacted Budget legislation that is expected to reduce All Funds tax receipts by \$329 million. This year-over-year increase primarily reflects higher audits and lower refunds (the second year of the credit deferral payback to taxpayers is smaller than the amount estimated to be paid out in FY 2014). Additionally, gross receipts are expected to grow 2.3 percent. PBT receipts are expected to decrease 3.5 percent (\$40 million) in FY 2016, primarily due to the 4 percent decrease in PBT tax rates noted above and expected declines in taxable motor fuel consumption due to declining vehicle miles traveled and increases in average vehicle fuel efficiency.



MULTI-YEAR FINANCIAL PLAN PROJECTIONS

General Fund business tax receipts for FY 2016 of \$5.7 billion are projected to increase 5.3 percent (\$290 million), reflecting the All Funds trends discussed above.

ALL FUNDS BUSINESS TAX AUDIT AND NON-AUDIT RECEIPTS (millions of dollars)					
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015
	Actual	Actual	Actual	Actual	Enacted
Corporate Franchise Tax	2,846	3,176	3,009	3,812	2,798
Audit	810	1,080	752	1,158	1,003
Non-Audit	2,036	2,096	2,257	2,655	1,795
Corporation and Utilities Taxes	813	797	894	798	790
Audit	13	30	100	56	44
Non-Audit	800	767	794	742	746
Insurance Taxes	1,351	1,413	1,509	1,444	1,534
Audit	38	21	34	22	31
Non-Audit	1,313	1,392	1,475	1,422	1,503
Bank Taxes	1,179	1,392	1,912	1,050	1,409
Audit	239	125	405	102	215
Non-Audit	940	1,267	1,507	948	1,194
Petroleum Business Taxes	1,090	1,100	1,140	1,155	1,140
Audit	7	6	5	7	6
Non-Audit	1,083	1,094	1,135	1,149	1,134
Total Business Taxes	7,279	7,878	8,464	8,259	7,671
Audit	1,107	1,262	1,296	1,344	1,299
Non-Audit	6,172	6,616	7,168	6,915	6,372

All Funds business tax receipts for FY 2017 and FY 2018 reflect projected trends in corporate profits, taxable insurance premiums, electric utility consumption and prices, the consumption of taxable telecommunications services, and automobile fuel consumption and fuel prices. All Funds business tax receipts are projected to decrease to \$7.9 billion (0.9 percent) in FY 2017, and increase to \$8.1 billion (2.2 percent) in FY 2018. General Fund business tax receipts are expected to decrease to \$5.6 billion (2.1 percent) in FY 2017 and increase to \$5.7 billion (2.1 percent) in FY 2018. The decrease in FY 2017 primarily reflects the reduction of the corporate entire net income tax rate to 6.5 percent from 7.1 percent that was implemented as part of corporate tax reform in the FY 2015 Enacted Budget.



MULTI-YEAR FINANCIAL PLAN PROJECTIONS

OTHER TAXES

OTHER TAXES (millions of dollars)									
	FY 2014 Results	FY 2015 Enacted	Change	FY 2016 Projected	Change	FY 2017 Projected	Change	FY 2018 Projected	Change
STATE/ALL FUNDS	2,167	2,152	-0.7%	2,177	1.2%	2,141	-1.7%	2,161	0.9%
Estate Tax	1,238	1,179	-4.8%	1,139	-3.4%	1,044	-8.3%	994	-4.8%
Real Estate Transfer Tax	911	955	4.8%	1,020	6.8%	1,079	5.8%	1,149	6.5%
Pari-Mutuel Taxes	17	17	0.0%	17	0.0%	17	0.0%	17	0.0%
All Other Taxes	1	1	0.0%	1	100.0%	1	100.0%	1	100.0%
GENERAL FUND¹	1,256	1,197	-4.7%	1,157	-3.3%	1,062	-8.2%	1,012	-4.7%
Estate Tax	1,238	1,179	-4.8%	1,139	-3.4%	1,044	-8.3%	994	-4.8%
Pari-Mutuel Taxes	17	17	0.0%	17	0.0%	17	0.0%	17	0.0%
All Other Taxes	1	1	0.0%	1	100.0%	1	100.0%	1	100.0%

¹Excludes Transfers.

All Funds other tax receipts for FY 2015 are estimated to be nearly \$2.2 billion, a 0.7 percent (\$15 million) decrease from FY 2014 results. This reflects a 4.8 percent (\$59 million) decrease in estate tax receipts, partially offset by a 4.8 percent (\$44 million) increase in real estate transfer tax receipts. The estate tax decrease is primarily the result of Enacted Budget legislation that raises the exemption level from \$1 million to \$5.25 million over a four-year phase-in period and an expected return in FY 2015 to a number of super-large estate payments (payments of over \$25 million) consistent with long-term trends. The FY 2015 real estate transfer tax estimate reflects a slight drop-off from the record volume of transactions in NYC during FY 2014, combined with modest price growth.

General Fund other tax receipts are expected to be nearly \$1.2 billion in FY 2015, a 4.8 percent (\$59 million) decrease from FY 2014 results, reflecting the estate tax change noted above.

All Funds other tax receipts for FY 2016 are projected to be \$2.2 billion, a 1.2 percent (\$25 million) increase from FY 2015 projections. This reflects projected growth in the real estate transfer tax receipts due to projected continued growth in both the residential and commercial real estate markets, particularly in NYC, partially offset by a decline in projected estate tax receipts due to the continued phase in of the increased exemption level.

General Fund other tax receipts are expected to total nearly \$1.2 billion in FY 2016, reflecting the 3.4 percent (\$40 million) decrease in estate tax receipts noted above.

All Funds other tax receipts for FY 2017 are projected to decrease to just over \$2.1 billion (1.7 percent) from FY 2016, then increase to nearly \$2.2 billion (0.9 percent) in FY 2018. This overall change is the result of the continued phase in of the estate tax and the increasing value of property transfers. General Fund other tax receipts for FY 2017 and FY 2018 are projected to decrease by 8.2 percent and 4.7 percent, respectively, due to the projected decline in estate tax receipts noted above.



MULTI-YEAR FINANCIAL PLAN PROJECTIONS

MISCELLANEOUS RECEIPTS AND FEDERAL GRANTS

MISCELLANEOUS RECEIPTS (millions of dollars)									
	FY 2014 Results	FY 2015 Enacted	Change	FY 2016 Projected	Change	FY 2017 Projected	Change	FY 2018 Projected	Change
ALL FUNDS	24,234	25,672	5.9%	25,214	-1.8%	24,374	-3.3%	23,178	-4.9%
General Fund	3,219	3,815	18.5%	2,980	-21.9%	2,790	-6.4%	2,215	-20.6%
Special Revenue Funds	16,776	16,189	-3.5%	16,331	0.9%	16,243	-0.5%	16,307	0.4%
Capital Projects Funds	3,540	5,208	47.1%	5,470	5.0%	4,937	-9.7%	4,257	0.0%
Debt Service Funds	699	460	-34.2%	433	-5.9%	404	-6.7%	399	0.0%

All Funds miscellaneous receipts include monies received from the Health Care Reform Act (HCRA) financing sources, State University of New York (SUNY) tuition and patient income, lottery receipts for education, assessments on regulated industries, and a variety of fees and licenses.

All Funds miscellaneous receipts are estimated to total \$25.7 billion in FY 2015, an increase of 5.9 percent from prior year results. This increase is primarily due to the expected General Fund deposit of \$1 billion from the State Insurance Fund reserve release in connection with Workers' Compensation law changes in the FY 2014 budget, as well as variations in the level of receipts for health care surcharges and other HCRA resources, licensing fees associated with commercial gaming, bond proceeds, atypical fines and the phase-out of the temporary utility assessment.

All Funds miscellaneous receipts are projected to decrease annually beginning in FY 2016, mainly due to reduced transfers from SIF, the phase-out of the temporary utility assessment, and bond proceeds available to fund capital improvement projects.

FEDERAL GRANTS (millions of dollars)									
	FY 2014 Results	FY 2015 Enacted	Change	FY 2016 Projected	Change	FY 2017 Projected	Change	FY 2018 Projected	Change
ALL FUNDS	43,789	45,789	4.6%	46,534	1.6%	48,283	3.8%	49,750	3.0%
General Fund	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Special Revenue Funds	41,405	43,654	5.4%	44,776	2.6%	46,569	4.0%	48,005	3.1%
Capital Projects Funds	2,313	2,062	-10.9%	1,685	-18.3%	1,641	-2.6%	1,672	0.0%
Debt Service Funds	71	73	2.8%	73	0.0%	73	0.0%	73	0.0%

Aid from the Federal government helps pay for a variety of programs including Medicaid, temporary and disability assistance, mental hygiene, school aid, public health, and other activities. Annual changes to Federal grants generally correspond to changes in federally-reimbursed spending. Accordingly, DOB typically projects Federal reimbursements will be received in the State fiscal year in which spending occurs, but due to the variable timing of Federal grant receipts, actual results often differ from the plan.



MULTI-YEAR FINANCIAL PLAN PROJECTIONS

All Funds Federal grants are expected to increase in FY 2015, which is mainly driven by enhanced Federal Medicaid funding associated with the ACA. Federal grants are expected to grow to \$49.8 billion by FY 2018, reflecting the continuation of growth in Federal Medicaid spending associated with the ACA, partly offset by the expected phase-down of costs associated with Federal disaster assistance aid.

ENACTED TAX LAW CHANGES

The Enacted Budget contains tax law changes that are expected to provide more than \$1.3 billion in net tax reductions by FY 2017.

TAX REFORM ACTIONS

TAX REFORM ACTIONS (millions of dollars)				
	General Fund		All Funds	
	FY 2015	FY 2016	FY 2015	FY 2016
Corporate Tax Reform	-	(205)	-	(205)
Eliminate the Corporate Capital Base Calculation	-	-	-	-
Allow Carryback of Net Operating Losses	-	-	-	-
Establish a 20 Percent Real Property Tax Credit for Manufacturers	-	(100)	-	(100)
Eliminate the Net Income Tax on Corporate Manufacturers	(193)	(193)	(193)	(193)
Accelerate Phase Out of 18-a Temporary Assessment	(200)	(200)	(200)	(200)
Establish the Real Property Tax Credit as a Personal Income Tax Credit	(375)	(783)	(375)	(783)
Establish the New York City "Circuit Breaker" Tax Credit	-	(85)	-	(85)
Reform the Estate Tax	(25)	(125)	(25)	(125)
Repeal the Personal Income Tax Add-On Minimum Tax	-	-	-	-
Repeal the Franchise Tax on Agricultural Cooperatives	-	-	-	-
Modify Signature Requirements on e-Filed Returns Prepared by Tax Professionals	-	-	-	-
Align Mobility and Personal Income Tax Filings for the Self-Employed	-	-	-	-
Streamline Corporate Audit Procedures	-	150	-	172
Close the Resident Trust Loophole	68	203	68	203
TOTAL TAX REFORM ACTIONS	(725)	(1,338)	(725)	(1,316)

- Corporate Tax Reform.** Combines the corporate franchise and bank taxes to provide tax simplification and relief, and improve voluntary compliance. The tax rate on net income is reduced from 7.1 percent to 6.5 percent, the lowest rate since 1968, and the alternative minimum tax is repealed.
- Eliminate the Corporate Capital Base Calculation.** A corporate taxpayer which has zero or negative net income usually reverts to a tax on its assets. This asset tax will be phased out over six years, beginning in 2016.
- Allow Carryback of Net Operating Losses.** Allows the carryback of net operating losses for up to three years for corporate taxpayers, thereby reducing the volatility of their tax liability.



MULTI-YEAR FINANCIAL PLAN PROJECTIONS

- **Establish a 20 Percent Real Property Tax Credit for Manufacturers.** Provides a statewide credit equal to 20 percent of property taxes paid by manufacturers who own or lease property.
- **Eliminate the Net Income Tax on Corporate Manufacturers.** Lowers the tax rate on income for corporate manufacturers from the current 5.9 percent to zero in 2014 and thereafter, to encourage the growth of manufacturing.
- **Accelerate Phase Out of 18-a Temporary Assessment.** Accelerates the phase out of the 18-a temporary assessment over the next three years. It had been scheduled to be eliminated by March 2017.
- **Establish the Real Property Tax Credit as a Personal Income Tax Credit.** Freezes property taxes for two years, subject to two conditions. In year one (FY 2015), the State will provide tax credits to homeowners outside of New York City with qualifying incomes of \$500,000 or less who live in a jurisdiction that stays within the property tax cap. The tax credits will be extended for a second year in jurisdictions which comply with the tax cap and have put forward a plan to save 1 percent of their tax levy per year, over three years. Over three years, the program will result in over \$1.5 billion in direct property tax relief for as many as 2.8 million taxpayers.
- **Establish the New York City "Circuit Breaker" Tax Credit.** Creates a refundable tax credit against the personal income tax to provide targeted tax relief to New York City renters and homeowners based on an individual homeowner's or renter's ability to pay. This relief program is progressively structured to provide a greater proportion of benefits to those with the highest property tax and rent burdens as a share of their income. The program is valued at \$85 million annually and will benefit over 1.4 million taxpayers for two years.
- **Reform the Estate Tax.** Increases the exclusion threshold of the estate tax from \$1 million to eventual conformity with the Federal exemption amount (currently \$5.34 million) by January 1, 2019 and indexes it to inflation thereafter. The top rate remains at 16 percent. This action will be coupled with a temporary provision that will require the value of gifts to be added back to the estate.
- **Repeal the Personal Income Tax Add-On Minimum Tax.** Eliminates the add-on minimum tax which is imposed on 200 taxpayers and which generates only \$200,000 annually.
- **Repeal the Franchise Tax on Agricultural Cooperatives.** Eliminates the franchise tax on Agricultural Cooperatives, which is imposed on very few taxpayers and generates almost no revenue, effective January 1, 2018.



MULTI-YEAR FINANCIAL PLAN PROJECTIONS

- Modify Signature Requirements on e-Filed Returns Prepared by Tax Professionals.** Eliminates the current burdensome requirement that a tax professional obtain a signed signature document from his or her client prior to transmitting a return through the Federal/State program and replaces it with an electronic certification which states the taxpayer has authorized the preparer to file the return and the preparer has provided a copy of the filed return to the taxpayer.
- Align Mobility and Personal Income Tax Filings for the Self-Employed.** Self-employed persons are allowed to file and pay their Metropolitan Transportation Authority (MTA) mobility tax when they file and pay their State PIT, simplifying compliance for about 39,000 self-employed taxpayers.
- Streamline Corporate Audit Procedures.** Implements various initiatives which will increase audit efficiency and improve voluntary compliance.
- Close the Resident Trust Loophole.** Requires New York beneficiaries of exempt resident trusts to pay tax on accumulated income distributed to them. Furthermore, the income of a particular type of exempt resident trust, known as an incomplete gift, non-grantor trust, will be taxed to the grantor of the trust.

OTHER REVENUE ACTIONS

OTHER REVENUE ACTIONS (millions of dollars)				
	General Fund		All Funds	
	FY 2015	FY 2016	FY 2015	FY 2016
Enhance the Youth Works Credit	-	(4)	-	(4)
Increase the Sales Tax Exemption On Vending Machine Sales	(5)	(6)	(5)	(6)
Upstate Theater Credit	-	-	-	-
Expand the Low Income Housing Credit	-	(8)	-	(8)
Deduction for Volunteer Length of Service Awards	-	(1)	-	(1)
Third Fuel Sales Tax Prepayment Zone	-	-	-	-
Tax Credit for Hiring the Disabled	-	(6)	-	(6)
Modify Delivery of Family Tax Credit After Tax Year 2014	-	410	-	410
Expand Upstate Counties Eligible for Higher Film and Post Production Credit	-	-	-	-
TOTAL OTHER REVENUE ACTIONS	(5)	385	(5)	385

- Enhance the Youth Works Tax Credit.** Enhances the program, creating more full- and part-time job openings for young, at-risk New Yorkers. Employers are eligible for an additional \$1,000 credit for every at-risk youth employed full-time for an additional year (\$500 for part-time status), and the annual credit allocation is increased from \$6 million to \$10 million. Additionally, the Tax Law is conformed to a recent Labor Law change that reduces, from 20 to 10, the number of hours which full-time students must work in order to be eligible for the employer to claim the credit.



MULTI-YEAR FINANCIAL PLAN PROJECTIONS

- **Increase the Sales Tax Exemption On Vending Machine Sales.** The sales tax exemption for certain items of food and drink is increased from 75 cents to \$1.50.
- **Upstate Theater Credit.** Provides a tax credit equal to twenty-five percent of qualified expenses for productions undertaken in certain upstate theaters to a musical or theatrical production company. The credit is capped at \$4 million annually.
- **Expand the Low Income Housing Credit.** This program provides credits to builders who develop qualifying low income housing projects. Authorizes the Division of Housing and Community Renewal (DHCR) to allocate an additional \$8 million for the program in FY 2016, and an additional \$16 million in FY 2017. Credits are given in equal installments for a ten-year period. The total amount of credits to be awarded from this new authorization is \$160 million over a multi-year period.
- **Deduction for Volunteer Length of Service Awards.** Excludes length of service defined contribution plan and defined benefit plan awards received by volunteer firefighters and ambulance workers from the calculation of New York adjusted gross income, thereby exempting such compensation from the personal income tax.
- **Third Fuel Sales Tax Prepayment Zone.** New York requires the prepayment of a portion of motor fuel sales tax upon first import into the state to improve tax compliance. Adds a third zone with a higher prepayment level for Long Island, where fuel is generally more expensive, to further improve tax enforcement.
- **Tax Credit for Hiring the Disabled.** Provides a non-refundable tax credit available for tax years 2015 through 2019. The credit equals 15 percent of wages paid to a developmentally disabled (capped at \$5,000) individual employed full time and 10 percent of wages (capped at \$2,500) for part time employment.
- **Modify Delivery of Family Tax Credit After Tax Year 2014.** Modifies the prepayment component of the credit to families with children under the age of 17 beginning with Tax Year 2015. Families will continue to get the full benefit of the credit but not prior to their tax filing. This provision allows the Department of Taxation and Finance to determine credit eligibility when taxpayers file their returns instead of determining credit eligibility based on returns filed two years prior.
- **Expand Upstate Counties Eligible for Higher Film and Post Production Credit.** Adds the counties of Albany and Schenectady to the list of upstate counties where the additional 10 percent credit is available for wages and salaries paid to individuals employed in these counties, effective January 1, 2015.



MULTI-YEAR FINANCIAL PLAN PROJECTIONS

TAX LAW EXTENDERS

TAX LAW EXTENDERS (millions of dollars)				
	General Fund		All Funds	
	FY 2015	FY 2016	FY 2015	FY 2016
Extend the Alternative Fuels Tax Exemptions for Two Years	(2)	(4)	(8)	(16)
Extend the Non-Custodial Earned Income Tax Credit (EITC) for Two Years	-	-	-	-
Extend the Commercial Production Tax Credit for Two Years	-	-	-	-
Extend Monticello Video Lottery Terminal Rates for One Year	-	-	(3)	-
Extend Certain Pari-Mutuel Tax Rates and Simulcast Provisions for One Year	-	-	-	-
Extend the Video Lottery Gaming Vendor's Capital Awards Program for One Year	-	-	-	-
Extend Fees for the Establishment of Oil and Gas Unit of Production Values	-	-	-	-
Lower Manhattan Tax Incentives	-	-	-	-
TOTAL TAX LAW EXTENDERS	(2)	(4)	(11)	(16)

- **Extend the Alternative Fuels Tax Exemptions for Two Years.** Extends, from September 1, 2014 to September 1, 2016, the sales, petroleum business tax, and motor fuel tax exemptions on e85, compressed natural gas (CNG), or hydrogen when purchased for use in a motor vehicle engine. The 20 percent exemption on purchases of B20 (i.e., diesel fuel that contains at least 20 percent biofuel) is also extended.
- **Extend the Non-Custodial Earned Income Tax Credit (EITC) for Two Years.** The credit complements the regular EITC by allowing a lower credit for parents who pay child support for a qualifying child with whom they do not reside.
- **Extend the Commercial Production Tax Credit for Two Years.** This tax credit covers 20 percent of qualified commercial production costs in New York State that exceed the average of the three prior years' costs, plus five percent of costs above \$500,000 in the Metropolitan Commuter Transportation District (MCTD), and above \$100,000 outside the MCTD.
- **Extend Monticello Video Lottery Terminal (VLT) Rates for One Year.** The current distribution percentages for net machine income earned at the Monticello VLT facility are extended by one year.
- **Extend Certain Pari-Mutuel Tax Rates and Simulcast Provisions for One Year.** The pari-mutuel tax rate and other racing-related provisions are extended for one year.
- **Extend the Video Lottery Gaming Vendor's Capital Awards Program for One Year.** Provides VLT operators an additional one year to earn qualifying capital awards, which encourages facility upgrades and improvements.
- **Extend Fees for the Establishment of Oil and Gas Unit of Production Values.** The Department of Taxation and Finance established unit of production values which local



MULTI-YEAR FINANCIAL PLAN PROJECTIONS

assessors must use when assessing oil and gas wells and related facilities. Extends the program until 2018.

- **Lower Manhattan Tax Incentives.** Extends various tax and programmatic incentives primarily for businesses to locate in lower Manhattan, including the World Trade Center Site, World Financial Center, and Battery Park City for two years.

SCHOOL TAX RELIEF (STAR) PROGRAM ACTIONS

SCHOOL TAX RELIEF (STAR) PROGRAM ACTIONS (millions of dollars)				
	General Fund		All Funds	
	FY 2015	FY 2016	FY 2015	FY 2016
Expedited Tax Relief for Recently Widowed Seniors	-	-	-	(2)
STAR Direct Pay	-	-	-	-
TOTAL SCHOOL TAX RELIEF (STAR) PROGRAM ACTIONS	-	-	-	(2)

- **Expedited Tax Relief for Recently Widowed Seniors.** Senior homeowners who become eligible for Enhanced STAR benefits due to their recently becoming widows or widowers can now see those Enhanced benefits a full year sooner.
- **STAR Direct Pay.** Allows the Tax Department to pay the STAR tax savings directly to eligible property owners who register for STAR after receiving their 2014-15 school tax bills.

TECHNICAL CORRECTIONS

TECHNICAL CORRECTIONS (millions of dollars)				
	General Fund		All Funds	
	FY 2015	FY 2016	FY 2015	FY 2016
START-UP NY Technical Amendment	-	-	-	-
Simplify the Distribution of Motor Vehicle Fee Receipts	-	-	-	-
Technical Amendments to the Commercial Gaming Statute	-	-	-	-
TOTAL TECHNICAL CORRECTIONS	-	-	-	-

- **START-UP NY Technical Amendment.** Creates a new refundable credit equal to the amount of telecommunications excise tax paid by businesses in START-UP NY areas.
- **Simplify the Distribution of Motor Vehicle Fee Receipts.** A General Fund transfer sourced from specific receipts is replaced by a generic General Fund transfer of an identical amount to simplify an unnecessarily confusing process.
- **Technical Amendments to the Commercial Gaming Statute.** Allow commercial gaming receipts to be distributed for education in the year received (versus the following year) and clarify the statute to provide that payments of regional county aid equals 10 percent of exclusivity payments received by the State.



DISBURSEMENTS

Total disbursements in FY 2015 are estimated at \$63.1 billion in the State's General Fund and at \$92.2 billion in total State Operating Funds. Over the multi-year Financial Plan, State Operating Funds spending projections assume Medicaid and School Aid will grow at their statutorily-indexed rates. Medicaid, education, pension costs, employee and retiree health benefits, and debt service are significant drivers of annual spending growth.

The multi-year disbursements projections take into account various factors, including agency staffing levels, program caseloads, inflation, and funding formulas contained in State and Federal law. Factors that affect spending estimates vary by program. For example, public assistance spending is based primarily on anticipated caseloads that are estimated by analyzing historical trends and projected economic conditions. Projections account for the timing of payments, since not all of the amounts appropriated in the Budget are disbursed in the same fiscal year. Consistent with past years, the aggregate spending projections (i.e., the sum of all projected spending by individual agencies) in State Special Revenue Funds have been adjusted downward in all fiscal years based on typical spending patterns and the observed variance between estimated and actual results over time.

LOCAL ASSISTANCE GRANTS

Local Assistance spending includes payments to local governments, school districts, health care providers, and other entities, as well as financial assistance to, or on behalf of, individuals, families and not-for-profit organizations. Local assistance spending in State Operating Funds is estimated at \$61.2 billion in FY 2015 and accounts for nearly two-thirds of total State Operating Funds spending. Education and health care spending account for approximately two-thirds of local assistance spending.

Certain major factors considered in preparing the spending projections for the State's major local aid programs and activities are summarized in the following table.



MULTI-YEAR FINANCIAL PLAN PROJECTIONS

FORECAST FOR SELECTED PROGRAM MEASURES AFFECTING OPERATING ACTIVITIES

	FY 2014 Results ¹	Forecast			
		FY 2015 Projected	FY 2016 Projected	FY 2017 Projected	FY 2018 Projected
MEDICAID					
Medicaid Coverage	5,147,768	5,830,880	5,950,473	5,973,720	5,985,344
- Family Health Plus Caseload	337,632	0	0	0	0
- Child Health Plus Caseload	308,000	314,000	320,000	326,000	332,000
State Takeover of County/NYC Costs	<u>\$1,789</u>	<u>\$2,067</u>	<u>\$2,475</u>	<u>\$2,819</u>	<u>\$3,164</u>
- Family Health Plus (000s)	\$467	\$155	\$0	\$0	\$0
- Medicaid (000s)	\$1,322	\$1,912	\$2,475	\$2,819	\$3,164
EDUCATION					
SY School Aid (000s)	\$21,109	\$22,237	\$23,101	\$24,183	\$25,388
HIGHER EDUCATION					
Public Higher Education Enrollment (FTEs)	567,219	566,453	565,588	565,390	565,192
Tuition Assistance Program Recipients	305,626	306,129	306,129	306,129	306,129
PUBLIC ASSISTANCE					
Family Assistance Program	258,405	249,131	240,761	234,252	228,911
Safety Net Program - Families	125,424	120,186	115,580	112,047	109,180
Safety Net Program - Singles	196,431	194,850	193,442	192,643	192,157
MENTAL HYGIENE					
Total Mental Hygiene Community Beds	<u>95,608</u>	<u>97,750</u>	<u>99,960</u>	<u>101,670</u>	<u>103,088</u>
- OMH Community Beds	40,248	41,753	43,427	44,827	46,027
- OPWDD Community Beds	41,525	42,033	42,413	42,667	42,790
- OASAS Community Beds	13,835	13,964	14,120	14,176	14,271
PRISON POPULATION (CORRECTIONS)	54,300	54,000	53,800	53,700	53,700

¹ Reflects preliminary unaudited results.



MULTI-YEAR FINANCIAL PLAN PROJECTIONS

EDUCATION

SCHOOL AID

School Aid helps support elementary and secondary education for New York pupils enrolled in 674 major school districts throughout the State. State funding is provided to districts based on statutory aid formulas and through reimbursement of categorical expenses such as bilingual education and education of homeless children. State funding for schools assists districts in meeting locally defined needs, supports the construction of school facilities, and finances school transportation for nearly three million students statewide.

SCHOOL YEAR (SY) (JULY 1 — JUNE 30)

School Aid is expected to total \$22.2 billion in SY 2015, an increase of \$1.1 billion (5.3 percent) from SY 2014. This increase is provided largely through \$853 million of additional general operating support to school districts, consisting of a \$602 million restoration in the Gap Elimination Adjustment (GEA) and a \$251 million increase in Foundation Aid. Another \$275 million supports increased reimbursement in expense-based aid programs (e.g., transportation, BOCES, school construction) and other miscellaneous aid categories.

The FY 2015 Enacted Budget also provides \$340 million of recurring annual funding to support Statewide Universal Full-Day Pre-Kindergarten programs in order to incentivize and fund state-of-the-art programs and encourage creativity through competition. These programs are expected to begin in the fall of 2014.

Based on the final recommendations of the Governor's New NY Education Reform Commission, the FY 2015 Enacted Budget establishes a \$20 million Teacher Excellence Fund and provides \$5 million for additional Pathways in Technology Early College High School (P-TECH) grants.

Finally, the FY 2015 Enacted Budget maintains the two-year appropriation that continues Education Law provisions. School Aid is projected to increase by an additional \$864 million (3.9 percent) in SY 2016 and \$1.1 billion (4.7 percent) in SY 2017. School Aid is projected to reach an annual total of \$25.4 billion in SY 2018.

SCHOOL AID - SCHOOL YEAR BASIS (JULY 1 - JUNE 30)									
(millions of dollars)									
	SY 2014	SY 2015	Change	SY 2016	Change	SY 2017	Change	SY 2018	Change
Total	21,109	22,237	1,128 5.3%	23,101	864 3.9%	24,183	1,082 4.7%	25,388	1,205 5.0%

* School year values reflected in table do not include aid for Statewide Universal Full-Day Pre-Kindergarten programs or the Governor's New NY Education Reform Commission.



MULTI-YEAR FINANCIAL PLAN PROJECTIONS

STATE FISCAL YEAR

The State finances School Aid from General Fund receipts and from Lottery Fund receipts, including video VLTs, which are accounted for and disbursed from a dedicated account. Because the State fiscal year begins on April 1, the State typically pays approximately 70 percent of the annual school year commitment during the State fiscal year in which it is enacted, and pays the remaining 30 percent in the first three months of the following State fiscal year.

The table below summarizes the multi-year projected funding levels on a State fiscal year basis.

SCHOOL AID AND EDUCATION AID - STATE FISCAL YEAR BASIS (millions of dollars)									
	FY 2014 Results	FY 2015 Enacted	Change	FY 2016 Projected	Change	FY 2017 Projected	Change	FY 2018 Projected	Change
TOTAL STATE OPERATING FUNDS	20,420	21,671	6.1%	23,289	7.5%	24,294	4.3%	25,502	5.0%
General Fund Local Assistance	17,238	18,456	7.1%	19,900	7.8%	20,977	5.4%	22,155	5.6%
Core Lottery Aid	2,235	2,220	-0.7%	2,252	1.4%	2,210	-1.9%	2,200	-0.5%
VLT Lottery Aid	938	944	0.6%	977	3.5%	918	-6.0%	886	-3.5%
Commerical Gaming - VLT Offset	0	0	N/A	0	N/A	29	N/A	61	110.3%
Commerical Gaming	0	0	N/A	160	N/A	160	0.0%	200	25.0%
Prior Year General Fund/Lottery Resources	9	51	N/A	0	N/A	0	0.0%	0	0.0%

State spending for School Aid is projected to total \$21.7 billion in FY 2015. In future years, receipts available to finance this category of aid from core lottery sales are projected to remain stable. Beginning in FY 2016, School Aid spending will be supplemented by commercial gaming revenues. In addition to State aid, school districts receive approximately \$3 billion annually in Federal categorical aid.



MULTI-YEAR FINANCIAL PLAN PROJECTIONS

OTHER EDUCATION FUNDING

In addition to School Aid, the State provides funding and support for various other education-related initiatives. These include: special education services; programs administered by the office of pre-kindergarten through grade 12 education; cultural education; higher and professional education programs; and adult career and continuing education services.

New York State provides a full spectrum of special education services to over 400,000 students with disabilities from ages 3 to 21. Major programs under the Office of Pre-kindergarten through Grade 12 address specialized student needs or reimburse school districts for education-related services, including the school lunch and breakfast program, after school programs and other educational grant programs. Higher and professional education programs monitor the quality and availability of postsecondary education programs and regulate the licensing and oversight of 50 professions. Adult career and continuing education services focuses on the education and employment needs of New York State’s adult citizens, including ensuring that such individuals have access to a “one-stop” source for all their employment needs and that they are made aware of the full range of services available in other agencies.

OTHER EDUCATION (millions of dollars)									
	FY 2014 Results	FY 2015 Enacted	Change	FY 2016 Projected	Change	FY 2017 Projected	Change	FY 2018 Projected	Change
TOTAL STATE OPERATING FUNDS	2,003	2,146	7.1%	2,212	3.1%	2,365	6.9%	2,540	7.4%
Special Education	1,408	1,496	6.3%	1,593	6.5%	1,724	8.2%	1,841	6.8%
All Other Education	595	650	9.2%	619	-4.8%	641	3.6%	699	9.0%

Special education growth is primarily driven by an increase in enrollment and an increase in the level of services ordered for students in the preschool special education and the summer school special education programs. In relation to special education programs, the FY 2015 Enacted Budget advances targeted reforms to improve fiscal practices and service delivery. The decrease in other education spending for FY 2016 relative to FY 2015 is driven primarily by one-time costs associated with the timing of claims-based aid payments, and targeted aid and grants in FY 2015.



MULTI-YEAR FINANCIAL PLAN PROJECTIONS

SCHOOL TAX RELIEF (STAR) PROGRAM

The STAR program provides school tax relief to taxpayers by exempting the first \$30,000 of every eligible homeowner's property value from the local school tax levy. Lower-income senior citizens will receive a \$64,200 exemption in FY 2015. The Department of Taxation and Finance oversees local property assessment administration, and is responsible for establishing STAR property tax exemption amounts.

The three components of STAR and their approximate shares in FY 2015 are: the basic school property tax exemption for homeowners with income under \$500,000 (56 percent); the enhanced school property tax exemption for senior citizen homeowners with incomes under \$81,900 (26 percent); and a flat refundable credit and rate reduction for income-eligible resident New York City personal income taxpayers (18 percent).

Spending for the STAR property tax exemption reflects reimbursements made to school districts to offset the reduction in property tax revenues. The annual increase in a qualifying homeowner's STAR exemption benefit is limited to 2 percent. New York City personal income taxpayers with annual incomes over \$500,000 have a reduced benefit.

SCHOOL TAX RELIEF (STAR)									
(millions of dollars)									
	FY 2014 Results	FY 2015 Enacted	Change	FY 2016 Projected	Change	FY 2017 Projected	Change	FY 2018 Projected	Change
TOTAL STATE OPERATING FUNDS	3,357	3,429	2.1%	3,478	1.4%	3,574	2.8%	3,616	1.2%
Basic Exemption	1,879	1,915	1.9%	1,925	0.5%	1,963	2.0%	2,002	2.0%
Enhanced (Seniors)	867	887	2.3%	894	0.8%	948	6.0%	932	-1.7%
New York City PIT	611	627	2.6%	659	5.1%	663	0.6%	682	2.9%

The spending growth is primarily a reflection of the number of STAR exemption recipients who are expected to participate in the program.



MULTI-YEAR FINANCIAL PLAN PROJECTIONS

HIGHER EDUCATION

Local assistance for higher education spending includes funding for the City University of New York (CUNY), the State University of New York (SUNY) and the Higher Education Services Corporation (HESC).

The State provides assistance for CUNY's senior college operations, and works in conjunction with New York City to support CUNY's community colleges. The CUNY system is the largest urban public university system in the nation. Funding for SUNY supports 30 community colleges across multiple campuses. The State also provides a sizeable benefit to CUNY and SUNY through the debt service it pays on bond-financed capital projects at the universities. State debt service payments for capital projects at SUNY and CUNY are expected to total about \$1.2 billion in FY 2015 (this is not reflected in the annual spending totals for the universities). HESC administers the Tuition Assistance Program (TAP) that provides awards to income-eligible students. It also provides centralized processing for other student financial aid programs, and offers prospective students information and guidance on how to finance a college education. The financial aid programs that HESC administers are funded by the State and the Federal government.

HIGHER EDUCATION (millions of dollars)									
	FY 2014 Results	FY 2015 Enacted	Change	FY 2016 Projected	Change	FY 2017 Projected	Change	FY 2018 Projected	Change
TOTAL STATE OPERATING FUNDS	2,817	2,916	3.5%	2,999	2.8%	3,062	2.1%	3,123	2.0%
City University	1,346	1,394	3.6%	1,441	3.4%	1,490	3.4%	1,542	3.5%
Senior Colleges	1,130	1,171	3.6%	1,217	3.9%	1,266	4.0%	1,318	4.1%
Community College	216	223	3.2%	224	0.4%	224	0.0%	224	0.0%
Higher Education Services	990	1,034	4.4%	1,064	2.9%	1,078	1.3%	1,087	0.8%
Tuition Assistance Program	944	973	3.1%	994	2.2%	997	0.3%	997	0.0%
Scholarships/Awards	35	49	40.0%	58	18.4%	69	19.0%	78	13.0%
Aid for Part Time Study	11	12	9.1%	12	0.0%	12	0.0%	12	0.0%
State University	481	488	1.5%	494	1.2%	494	0.0%	494	0.0%
Community College	470	481	2.3%	487	1.2%	487	0.0%	487	0.0%
Other/Cornell	11	7	-36.4%	7	0.0%	7	0.0%	7	0.0%

Note: State support for SUNY four-year institutions is funded through State operations rather than local assistance.

Annual growth by CUNY reflects the net impact of additional base operating support at community colleges and fringe benefit cost increases at Senior colleges. Growth in HESC reflects the implementation of a new scholarship for Science, Technology, Engineering and Mathematics as well as an \$165 increase to the maximum TAP award. SUNY local assistance reflects the net impact of additional base operating aid and enrollment changes at community colleges.



HEALTH CARE

Local assistance for health care-related spending includes Medicaid, statewide public health programs and a variety of mental hygiene programs. The Department of Health (DOH) works with local health departments and social services departments, including New York City, to coordinate and administer statewide health insurance programs and activities. The majority of government-financed health care programs are included under DOH, but many programs are supported through multi-agency efforts.

MEDICAID

Medicaid is a means-tested program that finances health care services for low-income individuals and long-term care services for the elderly and disabled, primarily through payments to health care providers. The Medicaid program is financed jointly by the State, the Federal government, and local governments. Eligible services include inpatient hospital care, outpatient hospital services, clinics, nursing homes, managed care, prescription drugs, home care, the Family Health Plus (FHP)⁹ program and services provided in a variety of community-based settings (including mental health, substance abuse treatment, developmental disabilities services, school-based services and foster care services).

In FY 2012, legislation was enacted to limit the year-to-year growth in State funds Medicaid spending to the ten-year rolling average in the medical component of the Consumer Price Index (CPI). The statutory provisions of the Medicaid spending cap (or “Global Cap”) also allow for flexibility in adjusting Medicaid projections to meet unanticipated costs resulting from the event of a natural or other type of disaster. The FY 2015 Enacted Budget reflects the continuation of the Medicaid spending cap for FY 2015 and FY 2016, and the Financial Plan assumes that statutory authority will be extended in subsequent years. Allowable growth under the cap is 3.8 percent for FY 2015. DOB estimates the cap growth at 3.6 percent in FY 2016; 3.4 percent in FY 2017; and 3.3 percent in FY 2018 attributed to projected CPI reductions.

The Global Cap applies to a majority of the State share of Medicaid spending that is budgeted and expended principally through DOH. However, the Global Cap excludes State costs associated with the takeover of local Medicaid growth and the multi-year assumption of local Medicaid Administration, as well as increased Federal financial participation that became effective in January 2014. State share Medicaid spending also appears in the Financial Plan

⁹ The FY 2014 Enacted Budget eliminated the FHP program effective January 1, 2015. The majority of the population receiving health care benefits through FHP will begin receiving more robust health care benefits through the Medicaid program, pursuant to new Medicaid eligibility thresholds and increased Federal payments pursuant to the Affordable Care Act (ACA). The remaining FHP population, those above Medicaid levels, will be eligible for Federal tax credits in the New York State of Health insurance benefit exchange and the State will pay remaining out-of-pocket costs for these individuals up to previous FHP levels.



MULTI-YEAR FINANCIAL PLAN PROJECTIONS

estimates for other State agencies, including the mental hygiene agencies, child welfare programs, education aid, and the Department of Corrections and Community Supervision (DOCCS).

TOTAL STATE-SHARE MEDICAID DISBURSEMENTS (millions of dollars)					
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
	Results	Enacted	Projected	Projected	Projected
Department of Health ¹	16,382	16,962	17,740	18,511	19,280
Local Assistance	16,241	16,732	17,523	18,282	19,051
State Operations ²	141	230	217	229	229
Other State Agencies	4,986	5,090	5,323	5,285	5,694
Mental Hygiene	4,842	4,990	5,218	5,177	5,582
Foster Care	88	88	92	95	99
Corrections	0	12	13	13	13
Education	56	0	0	0	0
Total State Share (All Agencies)	21,368	22,052	23,063	23,796	24,974
Annual \$ Change		684	1,011	733	1,178
Annual % Change		3.2%	4.6%	3.2%	5.0%

¹ Department of Health spending in the Financial Plan includes certain items that are excluded from the global cap. This includes administrative costs, including the takeover of local administrative responsibilities; the decision of Monroe County to participate in the Medicaid local cap program, rather than continuing the sales tax intercept option; and increased Federal Financial Participation that became effective in January 2014.

² Beginning in FY 2014 the Office of Health Insurance Programs was transferred to Medicaid from Public Health as part of the five-year phase-in initiative of the State to assume local administrative functions.

The State share of DOH Medicaid spending is financed by a combination of the General Fund, HCRA, provider assessment revenue, and indigent care payments. The following table provides information on the financing sources for State Medicaid spending (more information on HCRA can be found in the section entitled "HCRA Financial Plan").

DEPARTMENT OF HEALTH MEDICAID ¹ (millions of dollars)									
	FY 2014	FY 2015		FY 2016		FY 2017		FY 2018	
	Results	Enacted	Change	Projected	Change	Projected	Change	Projected	Change
STATE OPERATING FUNDS	16,382	16,962	3.5%	17,740	4.6%	18,511	4.3%	19,280	4.2%
Total General Fund - Local	11,487	11,599	1.0%	12,381	6.7%	13,015	5.1%	13,728	5.5%
Department of Health	10,757	10,884	1.2%	11,666	7.2%	12,110	3.8%	13,040	7.7%
Mental Hygiene Stabilization Fund	730	445	-39.0%	267	-40.0%	267	0.0%	0	-100.0%
Financial Plan Relief	0	270	--	448	65.9%	638	42.4%	688	7.8%
Total General Fund - State Operations	141	230	63.1%	217	-5.7%	229	5.5%	229	0.0%
Other State Funds Support	4,754	5,133	8.0%	5,142	0.2%	5,267	2.4%	5,323	1.1%
HCRA Financing ²	3,177	3,556	11.9%	3,565	0.3%	3,690	3.5%	3,746	1.5%
Indigent Care Support	776	792	2.1%	792	0.0%	792	0.0%	792	0.0%
Provider Assessment/Other Revenue	801	785	-2.0%	785	0.0%	785	0.0%	785	0.0%

¹ Does not include Medicaid spending in other State agencies, transfers, or the local government share of total Medicaid program spending.

² FY 2015 HCRA financing includes \$30 million for New York State of Health.



MULTI-YEAR FINANCIAL PLAN PROJECTIONS

Beginning in FY 2014, certain Office for People with Developmental Disabilities (OPWDD)-related Medicaid costs were financed within available resources under the Global Cap to alleviate the financial impact of reduced Federal revenue associated with the reimbursement of Medicaid costs at State-operated facilities providing developmental disability services. In FY 2015, additional costs were funded under the cap to benefit the State Financial Plan. These costs were accommodated through the State's implementation of the Medicaid Redesign Team (MRT) initiatives; cash management improvements; and the utilization of Federal resources associated with the ACA.

Fluctuation in enrollment, costs of provider health care services (particularly in managed care), and utilization levels drive higher Medicaid spending that must be managed within the Global Cap. The number of Medicaid recipients is expected to exceed 5.8 million by the end of FY 2015, a 6.3 percent increase from the current caseload of 5.5 million. This expected growth is mainly attributable to expanded eligibility pursuant to the ACA. Under the provisions of the ACA, which became effective in January 2014, the Federal government is expected to finance a greater share of Medicaid costs, the impact of which is expected to lower growth in the State share of Medicaid.



MULTI-YEAR FINANCIAL PLAN PROJECTIONS

PUBLIC HEALTH/AGING PROGRAMS

Public Health includes the Child Health Plus (CHP) program that finances health insurance coverage for children of low-income families up to the age of 19, the General Public Health Work (GPHW) program that reimburses local health departments for the cost of providing certain public health services, the Elderly Pharmaceutical Insurance Coverage (EPIC) program that provides prescription drug insurance to low-income seniors, and the Early Intervention (EI) program that pays for services to infants and toddlers under the age of three with disabilities or developmental delays. Many public health programs, such as EI and GPHW programs, are run by county health departments and reimbursed by the State for a share of program costs. The State spending projections do not include the county share of public health funding. In addition, a significant portion of HCRA spending is included under the Public Health budget

The State Office for the Aging (SOFA) promotes and administers programs and services for New Yorkers 60 years of age and older. The Office primarily oversees community-based services (including in-home services and nutrition assistance) provided through a network of county Area Agencies on Aging and local providers.

PUBLIC HEALTH AND AGING (millions of dollars)									
	FY 2014 Results	FY 2015 Enacted	Change	FY 2016 Projected	Change	FY 2017 Projected	Change	FY 2018 Projected	Change
TOTAL STATE OPERATING FUNDS	2,179	1,868	-14.3%	1,828	-2.1%	1,798	-1.6%	1,827	1.6%
Public Health	2,067	1,747	-15.5%	1,701	-2.6%	1,667	-2.0%	1,693	1.6%
Child Health Plus	401	417	4.0%	374	-10.3%	314	-16.0%	327	4.1%
General Public Health Works	178	192	7.9%	198	3.1%	207	4.5%	207	0.0%
EPIC	126	119	-5.6%	117	-1.7%	122	4.3%	126	3.3%
Early Intervention	163	167	2.5%	167	0.0%	167	0.0%	167	0.0%
HCRA Program	426	453	6.3%	453	0.0%	453	0.0%	453	0.0%
F-SHRP ¹	389	0	-100.0%	0	n/a	0	0.0%	0	0.0%
All Other	384	399	3.9%	392	-1.8%	404	3.1%	413	2.2%
Aging	112	121	8.0%	127	5.0%	131	3.1%	134	2.3%

¹The Federal-State Health Reform Partnership Program expired March 31, 2014.

CHP spending is expected to grow in FY 2015 due to forecasted caseload growth under the ACA. As CHP enrollment increases, initial costs will be incurred by the State until enhanced Federal participation rates become effective beginning in FY 2016. The FY 2015 Enacted Budget holds CHP reimbursement rates in FY 2015 at FY 2014 levels.

GPHW spending growth in FY 2015 is primarily attributable to the timing of a one-time recoupment from NYC which resulted in lower FY 2014 spending relative to historical patterns. Beginning in FY 2015, spending growth is expected to be managed at moderate levels in part through lower projected county claiming, as well as through encouraging enrollment in other insurance for clinical prenatal care services currently supported through GPHW.



MULTI-YEAR FINANCIAL PLAN PROJECTIONS

EPIC program spending is projected to decline through FY 2016 due to enrollment changes. Growth in FY 2017 and FY 2018 reflects the expansion of the EPIC program based on increased income limits for services.

EI program growth in FY 2015 is mainly due to additional funding provided with the FY 2015 Enacted Budget to reimburse certain pending claims. This growth will remain flat through the remainder of the Financial Plan, as enrollment is expected to be stable. Increased spending for HCRA programs in FY 2015 is attributable to an additional \$25 million annual subsidy for the Roswell Park Cancer Institute (RPCI), which is intended to offset the expiration of capital grant awards in order to maintain the current level of State funding for the RPCI.



MULTI-YEAR FINANCIAL PLAN PROJECTIONS

HCRA FINANCIAL PLAN

HCRA was established in 1996 to help finance a portion of State health care activities. Extensions and modifications to HCRA have financed new health care programs, including FHP and CHP. HCRA has also provided additional financing for the health care industry, including investments in worker recruitment and retention, and the Health Care Efficiency and Affordability Law for New Yorkers (HEAL NY) program for capital improvements to health care facilities (funding was completed during FY 2014). HCRA authorization has been extended through FY 2017, pursuant to legislation included in the FY 2015 Enacted Budget.

HCRA receipts include surcharges and assessments on hospital revenues, a “covered lives” assessment paid by insurance carriers, and a portion of cigarette tax revenues. These resources are used to fund roughly 20 percent of the State Share of Medicaid, FHP, CHP, HEAL NY, EPIC, physician excess medical malpractice insurance, and Indigent Care payments, which provide funding to hospitals serving a disproportionate share of individuals without health insurance.

HCRA FINANCIAL PLAN FY 2014 THROUGH FY 2018					
(millions of dollars)					
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
	Results	Enacted	Projected	Projected	Projected
OPENING BALANCE	18	9	0	0	0
TOTAL RECEIPTS	5,320	5,507	5,646	5,684	5,683
Surcharges	2,788	2,962	3,111	3,176	3,263
Covered Lives Assessment	1,039	1,085	1,110	1,110	1,045
Cigarette Tax Revenue	1,027	970	923	880	842
Hospital Assessments	368	400	416	433	449
NYC Cigarette Tax Transfer/Other	98	90	86	85	84
TOTAL DISBURSEMENTS	5,329	5,516	5,646	5,684	5,683
Medicaid Assistance Account	3,177	3,526	3,565	3,689	3,746
Medicaid Costs	2,333	3,018	3,368	3,492	3,549
Family Health Plus	651	311	0	0	0
Workforce Recruitment & Retention	193	197	197	197	197
Hospital Indigent Care	776	792	792	792	792
HCRA Program Account	433	467	467	467	467
Child Health Plus	406	425	383	323	337
Elderly Pharmaceutical Insurance Coverage	143	132	130	135	139
SHIN-NY/APCD	0	40	65	65	0
New York State of Health ¹	0	30	114	81	75
Public Health Programs	27	0	0	0	0
HEAL NY	266	0	0	0	0
All Other	101	104	130	132	127
ANNUAL OPERATING SURPLUS/(DEFICIT)	(9)	(9)	0	0	0
CLOSING BALANCE	9	0	0	0	0

¹ FY 2015 spending will be financed from the Medical Assistance Account.



MULTI-YEAR FINANCIAL PLAN PROJECTIONS

HCRA receipts are estimated to grow 1.7 percent on average through FY 2018. Surcharge and assessment revenue is expected to increase due to expanded coverage under the ACA, and will be dedicated to finance additional administrative costs associated with the New York State of Health Exchange. This growth is partly offset by projected declines in cigarette tax revenue due to declining tobacco consumption.

HCRA spending is expected to increase by \$187 million in FY 2015 and total \$5.5 billion. The most significant areas of growth include additional financing of the State share of Medicaid costs; capital costs associated with the implementation of the new All Payers Claims Database (APCD) and Statewide Health Information Network for New York (SHIN-NY), which is expected to improve information capabilities and increase efficiency associated with health insurance claiming; and a \$25 million increase in annual funding for RPCI to offset the expiration of other capital grant award funding.

The FY 2015 Enacted Budget will lower costs associated with certain programs financed with HCRA revenue, the most notable of which is a planned freeze of reimbursement rates associated with the CHP program for one year.

HCRA is expected to remain in balance over the multi-year projection period. Under the current HCRA appropriation structure, spending reductions will occur if resources are insufficient to meet spending levels. Any potential spending reductions could affect General Fund Medicaid Funding or HCRA programs. Conversely, any unanticipated balances or excess resources in HCRA are expected to finance Medicaid costs that would otherwise be paid from the General Fund.



MULTI-YEAR FINANCIAL PLAN PROJECTIONS

MENTAL HYGIENE

The Department of Mental Hygiene is comprised of three independent agencies: OPWDD, the Office of Mental Health (OMH), and the Office of Alcoholism and Substance Abuse Services (OASAS). Services are administered to adults with serious and persistent mental illness; children with serious emotional disturbances; individuals with developmental disabilities and their families; persons with chemical dependencies; and individuals with compulsive gambling problems. These agencies provide services directly to their patients through State-operated facilities and indirectly through community service providers. The costs associated with providing these services are funded by reimbursement from Medicaid, Medicare, third-party insurance and State funding. Patient care revenues are pledged first to the payment of debt service on outstanding mental hygiene bonds, which are issued to finance improvements to infrastructure at mental hygiene facilities throughout the State, with the remaining revenue used to support State operating costs.

Legislation enacted in FY 2013 established the Justice Center for the Protection of People with Special Needs, which has the primary responsibility for tracking, investigating and pursuing serious abuse/neglect complaints at facilities and provider settings operated, certified, or licensed by six State agencies.

MENTAL HYGIENE (millions of dollars)									
	FY 2014 Results	FY 2015 Enacted	Change	FY 2016 Projected	Change	FY 2017 Projected	Change	FY 2018 Projected	Change
TOTAL STATE OPERATING FUNDS	2,777	2,925	5.3%	3,063	4.7%	3,078	0.5%	3,557	15.6%
People with Developmental Disabilities	1,364	1,463	7.3%	1,533	4.8%	1,463	-4.6%	1,812	23.9%
Residential Services	1,372	1,429	4.2%	1,475	3.2%	1,554	5.4%	1,640	5.5%
Day Programs	598	616	3.0%	635	3.1%	669	5.4%	707	5.7%
Clinic	20	21	5.0%	22	4.8%	23	4.5%	24	4.3%
Other Local	104	112	7.7%	116	3.6%	122	5.2%	129	5.7%
Mental Hygiene Stabilization Fund	(730)	(445)	-39.0%	(267)	-40.0%	(267)	0.0%	0	-100.0%
Financial Plan Relief	0	(270)	--	(448)	65.9%	(638)	42.4%	(688)	7.8%
Mental Health	1,101	1,143	3.8%	1,205	5.4%	1,278	6.1%	1,396	9.2%
Adult Local Services	920	952	3.5%	1,008	5.9%	1,075	6.6%	1,179	9.7%
Children Local Services	181	191	5.5%	197	3.1%	203	3.0%	217	6.9%
Alcohol and Substance Abuse	311	318	2.3%	324	1.9%	336	3.7%	348	3.6%
Outpatient/Methadone	125	125	0.0%	127	1.6%	131	3.1%	134	2.3%
Residential	120	127	5.8%	130	2.4%	135	3.8%	142	5.2%
Prevention and Program Support	53	53	0.0%	54	1.9%	56	3.7%	58	3.6%
Crisis	13	13	0.0%	13	0.0%	14	7.7%	14	0.0%
CQCAPD/Justice Center¹	1	1	0.0%	1	0.0%	1	0.0%	1	0.0%

¹ The activities of the Commission on Quality of Care and Advocacy for Persons with Disabilities were subsumed by the Justice Center on June 30, 2013.



MULTI-YEAR FINANCIAL PLAN PROJECTIONS

Local assistance spending accounts for nearly half of total mental hygiene spending from State Operating Funds, and is projected to grow by an average rate of 6.4 percent annually. The main factor driving this level of growth is the phase-down of the Mental Hygiene Stabilization Fund, whereby certain OPWDD-related Medicaid costs are funded under the Medicaid Global Cap. When adjusting for the phase-down of the Mental Hygiene Stabilization Fund, local program spending is expected to increase by an average annual rate of 4.9 percent, and is mainly attributable to increases in the projected State share of Medicaid costs and projected expansion of the various mental hygiene service systems, including costs associated with developing new OPWDD residential and non-residential services; expansions in community mental health services intended to reduce reliance on inpatient treatment; developing new opiate and heroin treatment and prevention programs; the New York/New York III Supportive Housing agreement; and community beds that are currently under development for adult home and nursing home residents with mental illness. Additional outyear spending is assumed in Financial Plan estimates for costs associated with efforts to move individuals to the least restrictive setting possible, as well as several chemical dependence treatment and prevention initiatives for individuals receiving services through OASAS.

In FY 2015, additional OPWDD-related Medicaid costs were funded under the cap to benefit the State Financial Plan. These costs were accommodated through the State's implementation of the Medicaid Redesign Team (MRT) initiatives; cash management improvements; and the utilization of Federal resources associated with the ACA.

The FY 2015 Enacted Budget replaces the statutorily-indexed COLA with a 2 percent increase, beginning in January 2015, to support salary increases for Direct Care and Direct Support workers and payments to Foster/Adoptive parents. Additionally, the Budget also authorizes another 2 percent increase beginning in April 2015 for the same individuals, with the April 2015 increase expanded to also include Clinical staff. In total, the FY 2015 Enacted Budget commits \$13 million in FY 2015, growing to \$122 million in FY 2016 to support salary increases for the lowest paid Not-For-Profit (NFP) workers and Foster/Adoptive parents.



SOCIAL SERVICES

The Office of Temporary and Disability Assistance (OTDA) local assistance programs provide cash benefits and supportive services to low-income families. The State's three main programs include Family Assistance, Safety Net Assistance and Supplemental Security Income (SSI). The Family Assistance program, which is financed by the Federal government, provides time-limited cash assistance to eligible families. The Safety Net Assistance program, financed by the State and local districts, provides cash assistance for single adults, childless couples, and families that have exhausted their five-year limit on Family Assistance imposed by Federal law. The State SSI Supplementation program provides a supplement to the Federal SSI benefit for the elderly, visually handicapped, and disabled.

TEMPORARY AND DISABILITY ASSISTANCE (millions of dollars)									
	FY 2014 Results	FY 2015 Enacted	Change	FY 2016 Projected	Change	FY 2017 Projected	Change	FY 2018 Projected	Change
TOTAL STATE OPERATING FUNDS	1,351	1,232	-8.8%	1,259	2.2%	1,270	0.9%	1,281	0.9%
SSI	739	653	-11.6%	676	3.5%	686	1.5%	695	1.3%
Public Assistance Benefits	487	459	-5.7%	459	0.0%	459	0.0%	459	0.0%
Welfare Initiatives	20	20	0.0%	18	-10.0%	18	0.0%	18	0.0%
All Other	105	100	-4.8%	106	6.0%	107	0.9%	109	1.9%

As of October 1, 2014, the State will assume responsibility for administration of the State's SSI Supplementation program from the Federal government, which will generate savings and result in an annual spending decline. DOB estimates a decline in projected costs for public assistance due to an expected 2.8 percent annual decrease in average public assistance caseload, which is projected to total 564,167 recipients in FY 2015. Approximately 249,131 families are expected to receive benefits through the Family Assistance program in FY 2015, a decrease of 3.6 percent from FY 2014. In the Safety Net program an average of 120,186 families are expected to be helped in FY 2015, a decrease of 4.2 percent from FY 2014. The caseload for single adults/childless couples supported through the Safety Net program is projected at 194,850 in FY 2015, a decrease of 0.8 percent from FY 2014.

The Office of Children and Family Services (OCFS) provides funding for foster care, adoption, child protective services, preventive services, delinquency prevention, and child care. OCFS oversees the State's system of family support and child welfare services administered by social services departments and community-based organizations. Specifically, child welfare services, which are financed jointly by the Federal government, the State, and local districts, are structured to encourage local governments to invest in preventive services intended to reduce out-of-home placement of children. In addition, the Child Care Block Grant, which is also financed by a combination of Federal, State and local sources, supports child care subsidies for public assistance and low-income families.



MULTI-YEAR FINANCIAL PLAN PROJECTIONS

CHILDREN AND FAMILY SERVICES (millions of dollars)									
	FY 2014 Results	FY 2015 Enacted	Change	FY 2016 Projected	Change	FY 2017 Projected	Change	FY 2018 Projected	Change
TOTAL STATE OPERATING FUNDS	1,750	1,545	-11.7%	1,753	13.5%	1,797	2.5%	1,853	3.1%
Child Welfare Service	635	255	-59.8%	425	66.7%	425	0.0%	426	0.2%
Foster Care Block Grant	436	436	0.0%	436	0.0%	454	4.1%	474	4.4%
Adoption	156	159	1.9%	158	-0.6%	162	2.5%	166	2.5%
Day Care	170	311	82.9%	311	0.0%	311	0.0%	311	0.0%
Youth Programs	113	137	21.2%	159	16.1%	159	0.0%	159	0.0%
Medicaid	88	88	0.0%	90	2.3%	94	4.4%	98	4.3%
Committees on Special Education	43	40	-7.0%	42	5.0%	45	7.1%	47	4.4%
Adult Protective/Domestic Violence	31	32	3.2%	32	0.0%	32	0.0%	32	0.0%
All Other	78	87	11.5%	100	14.9%	115	15.0%	140	21.7%

OCFS spending in FY 2015 is projected to decline from FY 2014 levels, mainly due to a decrease in spending on Child Welfare Services that is attributable to lower estimated claims. Increased Day Care spending includes a \$55 million subsidy increase and a drop in Federal aid that result in State share increase to maintain program funding. In addition, the Committees on Special Education growth is based on the five-year historical average of 4.5 percent pursuant to caseload changes and rate increases for both in-state and out-of-state placements.



MULTI-YEAR FINANCIAL PLAN PROJECTIONS

TRANSPORTATION

In FY 2015, the Department of Transportation (DOT) will provide \$4.8 billion to support the operating costs of the Statewide mass transit systems financed from dedicated taxes and fees. The Metropolitan Transportation Authority (MTA), due to the size and scope of its transit and commuter rail systems, receives the majority of the statewide mass transit operating aid. In addition, the MTA receives operating support from the Mobility Tax and MTA Aid Trust Fund, authorized in May 2009 to collect regional taxes and fees imposed within the Metropolitan Commuter Transportation District (MCTD). The State collects these taxes and fees on behalf of, and disburses the entire amount to, the MTA to support the transit and commuter rail systems. Pursuant to legislation enacted in December 2011, MTA payroll tax was eliminated for all elementary and secondary schools and small business operators within the MCTD, and the General Fund now provides additional annual support to the MTA as compensation for the loss of revenue.

TRANSPORTATION (millions of dollars)									
	FY 2014 Results	FY 2015 Enacted	Change	FY 2016 Projected	Change	FY 2017 Projected	Change	FY 2018 Projected	Change
TOTAL STATE OPERATING FUNDS	4,722	4,817	2.0%	4,865	1.0%	4,936	1.5%	5,014	1.6%
Mass Transit Operating Aid:	<u>2,101</u>	<u>2,161</u>	<u>2.9%</u>	<u>2,161</u>	<u>0.0%</u>	<u>2,161</u>	<u>0.0%</u>	<u>2,161</u>	<u>0.0%</u>
Metro Mass Transit Aid	1,964	2,015	2.6%	2,015	0.0%	2,015	0.0%	2,015	0.0%
Public Transit Aid	85	94	10.6%	94	0.0%	94	0.0%	94	0.0%
18-b General Fund Aid	27	27	0.0%	27	0.0%	27	0.0%	27	0.0%
School Fare	25	25	0.0%	25	0.0%	25	0.0%	25	0.0%
Mobility Tax and MTA Aid Trust	1,898	1,931	1.7%	2,004	3.8%	2,076	3.6%	2,154	3.8%
Dedicated Mass Transit	677	679	0.3%	654	-3.7%	653	-0.2%	652	-0.2%
AMTAP	45	45	0.0%	45	0.0%	45	0.0%	45	0.0%
All Other	1	1	0.0%	1	0.0%	1	0.0%	2	100.0%

Increased operating aid to the MTA and other transit systems reflects the current receipts forecast and the timing of resources due to transactional delays during FY 2014.

The FY 2015 Enacted Budget includes legislative authorization to offset General Fund support for MTA-related debt service costs by transferring \$30 million in dedicated resources from the Metro Mass Transportation Operating Aid (MMTOA) account to the General Debt Service Fund. The Financial Plan also assumes that \$20 million in MMTOA resources will be available to offset MTA-related debt service costs on an annual basis beginning in FY 2016.



MULTI-YEAR FINANCIAL PLAN PROJECTIONS

LOCAL GOVERNMENT ASSISTANCE

Direct aid to local governments includes the Aid and Incentives for Municipalities (AIM) program, which was created in FY 2006 to consolidate various unrestricted local aid funding streams; miscellaneous financial assistance for certain counties, towns, and villages; and efficiency-based incentive grants provided to local governments.

LOCAL GOVERNMENT ASSISTANCE (millions of dollars)									
	FY 2014 Results	FY 2015 Enacted	Change	FY 2016 Projected	Change	FY 2017 Projected	Change	FY 2018 Projected	Change
TOTAL STATE OPERATING FUNDS	756	779	3.0%	778	-0.1%	789	1.4%	792	0.4%
AIM:									
Big Four Cities	429	429	0.0%	429	0.0%	429	0.0%	429	0.0%
Other Cities	218	218	0.0%	218	0.0%	218	0.0%	218	0.0%
Towns and Villages	68	68	0.0%	68	0.0%	68	0.0%	68	0.0%
Restructuring/Efficiency	4	24	500.0%	34	41.7%	45	32.4%	48	6.7%
All Other Local Aid	37	40	8.1%	29	-27.5%	29	0.0%	29	0.0%

Spending for AIM efficiency incentive grants increases over the multi-year period reflecting the anticipated awards from the Financial Restructuring Board for Local Governments.



AGENCY OPERATIONS

Agency operating costs include personal service, non-personal service, and General State Charges (GSCs). Personal service costs include the salaries of State employees of the Executive, Legislative, and Judicial branches; as well as the salaries of temporary/seasonal employees. Non-personal service costs reflect the cost of operating State agencies, including real estate rental, utilities, contractual payments (i.e., consultants, Information Technology (IT), and professional business services), supplies and materials, equipment, and telephone service. GSCs reflect the cost of fringe benefits (i.e., pensions, health insurance) provided to State employees and retirees of the Executive, Legislative and Judicial branches, and certain fixed costs paid by the State, such as taxes on public lands and litigations. Certain agency operations of Transportation and Motor Vehicles are included in the capital projects fund type and are not reflected in the State Operating Funds totals.

Approximately 94 percent of the State workforce is unionized. The largest unions include Civil Service Employees Association (CSEA), which represents office support staff and administrative personnel, machine operators, skilled trade workers, and therapeutic and custodial care staff; Public Employees Federation (PEF), which represents professional and technical personnel (i.e., attorneys, nurses, accountants, engineers, social workers, and institution teachers); United University Professions (UUP), which represents faculty and non-teaching professional staff within the State University system; and New York State Correctional Officers and Police Benevolent Association (NYSCOPBA), which represents security personnel (correction officers, safety and security officers).

Selected assumptions used in preparing the spending projections for the State's major programs and activities are summarized in the following table.



MULTI-YEAR FINANCIAL PLAN PROJECTIONS

FORECAST OF SELECTED PROGRAM MEASURES AFFECTING PERSONAL SERVICE AND FRINGE BENEFITS

	FY 2014 Results	Forecast			
		FY 2015 Enacted	FY 2016 Projected	FY 2017 Projected	FY 2018 Projected
Negotiated Base Salary Increases ¹					
CSEA/NYSCOPBA/Council 82/UUP	0%	2%	2%	TBD	TBD
PEF / NYSPBA	0%	2%	TBD	TBD	TBD
State Workforce ²	118,492	118,961	118,961	118,961	118,961
ERS Pension Contribution Rate ³					
Before Amortization (Normal/Admin/GLIP)	21.5%	20.6%	14.6%	12.6%	11.2%
After Amortization	12.5%	13.5%	14.2%	13.2%	12.2%
PFRS Pension Contribution Rate					
Before Amortization (Normal/Admin/GLIP)	29.9%	28.5%	20.9%	18.9%	17.1%
After Amortization	20.5%	21.5%	20.8%	19.8%	18.8%
Employee/Retiree Health Insurance Growth Rates	3.8%	3.3%	6.5%	6.5%	6.5%
PS/Fringe as % of Receipts (All Funds Basis)	14.4%	14.4%	14.4%	14.1%	13.9%

¹ Reflects current collective bargaining agreements with settled unions. Does not reflect potential impact of future negotiated labor agreements.

² Reflects workforce that is Subject to Direct Executive Control.

³ As Percent of Salary.

The majority of State agencies are expected to hold personal service and non-personal service spending constant over the plan period. Costs from collective bargaining agreements, which include 2 percent salary increases in FY 2015 and FY 2016 (for certain unions), applicable lump sum payments of \$225, and repayment of a portion of the deficit reduction adjustment made to employee salaries, are expected to be funded from operational savings.

Gaming, health care, and SUNY are three areas expected to experience limited programmatic growth over the ensuing four years. The growth in Gaming is attributable to activities related to casino development and oversight. Increases in DOH are primarily driven by the State's implementation of the NY State of Health insurance benefit exchange, the State's insurance marketplace program as mandated by ACA. Beginning in FY 2015, program costs for NY State of Health are partially offset by Federal grants; however, DOH must fully absorb the start-up costs by FY 2016. SUNY spending is driven by tuition funding and reflects anticipated operating needs.

Other increases are technical in nature and reflect funding reclassifications or administrative reconciliations. For example, growth in Temporary and Disability Assistance reflects the reclassification of local assistance contracts to agency operation spending; while the consolidation of state agency IT functions into one central agency, IT Services, drives a higher cost in FY 2015 compared to FY 2014. In addition, the State's workforce is paid on a bi-weekly basis, weekly pay cycles that alternate between Administrative and Institutional payrolls. There are typically 26 pay periods in a fiscal year. In FY 2016, employees in the Mental Hygiene and DOCCS facilities will have one additional institutional payroll.



MULTI-YEAR FINANCIAL PLAN PROJECTIONS

STATE OPERATING FUNDS - AGENCY OPERATIONS (millions of dollars)					
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
	Results	Enacted	Projected	Projected	Projected
SUBJECT TO DIRECT EXECUTIVE CONTROL	9,741	9,924	10,216	10,074	10,045
Mental Hygiene	2,915	2,878	2,892	2,890	2,890
Corrections and Community Supervision	2,584	2,572	2,576	2,580	2,582
State Police	648	651	647	647	647
Public Health	406	421	530	497	489
Tax and Finance	345	339	332	331	331
Children and Family Services	277	264	252	252	252
Environmental Conservation	235	234	235	235	213
Information Technology Services	220	421	427	427	427
Financial Services	195	202	202	202	202
Medicaid Admin	141	230	217	229	229
Parks, Recreation and Historic Preservation	186	178	178	178	178
Gaming	137	166	165	165	166
Temporary and Disability Assistance	139	150	161	161	161
General Services	164	150	149	149	149
Workers' Compensation Board	148	142	142	142	142
Disaster Assistance	1	(68)	0	0	0
27th Institutional Payroll	0	0	124	0	0
All Other	1,000	994	987	989	987
UNIVERSITY SYSTEMS	5,777	5,821	5,940	6,077	6,185
State University	5,698	5,731	5,849	5,984	6,090
City University	79	90	91	93	95
INDEPENDENT AGENCIES	300	309	309	309	309
Law	162	168	168	168	168
Audit & Control	138	141	141	141	141
TOTAL, EXCLUDING JUDICIARY AND LEGISLATURE	15,818	16,054	16,465	16,460	16,539
Judiciary	1,838	1,926	1,926	1,926	1,926
Legislature	208	219	219	219	219
Statewide Total	17,864	18,199	18,610	18,605	18,684
Personal Service	12,300	12,593	12,831	12,808	12,856
	-0.8%	2.4%	1.9%	-0.2%	0.4%
Non-Personal Service	5,564	5,606	5,779	5,797	5,828
	5.4%	0.8%	3.1%	0.3%	0.5%



MULTI-YEAR FINANCIAL PLAN PROJECTIONS

In FY 2015, \$12.6 billion or 13.6 percent of the State Operating Funds Budget is projected to be spent on personal service costs and supports roughly 98,700 FTE employees under direct Executive control and another 15,100 employees of the Legislature and Judiciary. Roughly 75 percent of all personal service spending occurs in four areas: SUNY, the Mental Hygiene agencies, DOCCS, and Judiciary.

STATE OPERATING FUNDS FY 2015 PERSONAL SERVICE SPENDING BY AGENCY (millions of dollars)		
	<u>Dollars</u>	<u>FTEs</u>
Subject to Direct Executive Control	<u>7,134</u>	<u>98,719</u>
Mental Hygiene Agencies	2,270	33,961
Corrections and Community Supervision	2,090	28,171
State Police	559	5,439
Tax and Finance	275	4,368
Health	264	3,769
Environmental Conservation	177	2,254
Children and Family Services	158	2,595
Financial Services	147	1,299
Parks, Recreation and Historic Preservation	132	1,592
All Other	1,062	15,271
University Systems	<u>3,586</u>	<u>43,606</u>
State University	3,545	43,339
City University	41	267
Independent Agencies	<u>1,873</u>	<u>18,229</u>
Law	114	1,578
Audit & Control	110	1,582
Judiciary	1,483	15,069
Legislature ¹	166	0
Total Spending / FTEs	<u>12,593</u>	<u>160,554</u>
¹ Excludes employees of the Legislature.		
Note: CUNY employees are funded primarily through an agency trust fund. This represents approximately an additional 13,376 FTEs.		



MULTI-YEAR FINANCIAL PLAN PROJECTIONS

GENERAL STATE CHARGES

Fringe benefit payments, many of which are mandated by statute or collective bargaining agreements, include employer contributions for pensions, the State's share of Social Security, health insurance, workers' compensation, unemployment insurance and dental and vision benefits. The majority of employee fringe benefit costs are paid centrally from statewide appropriations. However, certain agencies, including the Judiciary and SUNY, directly pay all or a portion of their employees' fringe benefit costs from their respective budgets. Employee fringe benefits paid through GSCs are paid from the General Fund in the first instance, and then partially reimbursed by revenue collected from fringe benefit assessments on Federal funds and other special revenue accounts. The largest General Fund reimbursement comes from the mental hygiene agencies.

GSCs also include fixed costs for several categories including State payments in lieu of taxes, payments for local assessments on State-owned land and judgments against the State pursuant to the Court of Claims Act.

GENERAL STATE CHARGES (millions of dollars)									
	FY 2014 Results	FY 2015 Enacted	Change	FY 2016 Projected	Change	FY 2017 Projected	Change	FY 2018 Projected	Change
TOTAL STATE OPERATING FUNDS	6,958	7,206	3.6%	7,513	4.3%	7,707	2.6%	7,854	1.9%
Fringe Benefits	6,564	6,805	3.7%	7,115	4.6%	7,322	2.9%	7,461	1.9%
Health Insurance	3,253	3,355	3.1%	3,560	6.1%	3,775	6.0%	4,004	6.1%
Employee Health Insurance	1,790	1,846	3.1%	1,959	6.1%	2,077	6.0%	2,203	6.1%
Retiree Health Insurance	1,463	1,509	3.1%	1,601	6.1%	1,698	6.1%	1,801	6.1%
Pensions	2,086	2,136	2.4%	2,301	7.7%	2,190	-4.8%	2,091	-4.5%
Social Security	944	967	2.4%	987	2.1%	1,008	2.1%	1,026	1.8%
All Other Fringe	281	347	23.5%	267	-23.1%	349	30.7%	340	-2.6%
Fixed Costs	394	401	1.8%	398	-0.7%	385	-3.3%	393	2.1%

GSCs are projected to increase at an average annual rate of 3.1 percent over the Financial Plan period due mainly to projected growth in the employer share of cost of employee and retiree health insurance and social security payments, which generally move in tandem with the State's personal service costs. Fixed costs are projected to be appropriately \$395 million annually over the multi-year plan. The declines in FY 2016 and FY 2017 reflect the expected final litigation payments for certain settlements.



MULTI-YEAR FINANCIAL PLAN PROJECTIONS

TRANSFERS TO OTHER FUNDS (GENERAL FUND BASIS)

General Fund transfers help finance the State's share of Medicaid costs for mental hygiene facilities, debt service for bonds that do not have dedicated revenues, SUNY operating costs, certain capital initiatives, and a range of other activities.

GENERAL FUND TRANSFERS TO OTHER FUNDS (millions of dollars)					
	FY 2014 Results	FY 2015 Enacted	FY 2016 Projected	FY 2017 Projected	FY 2018 Projected
TOTAL TRANSFERS TO OTHER FUNDS	9,095	8,102	8,998	10,011	10,596
State Share of Mental Hygiene Medicaid	1,576	1,638	1,313	1,281	1,156
Debt Service	1,972	1,081	1,058	1,457	1,509
SUNY University Operations	971	977	980	980	980
Capital Projects	1,436	930	1,406	1,761	2,006
Dedicated Highway and Bridge Trust Fund	450	719	697	776	848
All Other Capital	986	211	709	985	1,158
ALL OTHER TRANSFERS	3,140	3,476	4,241	4,532	4,945
Mental Hygiene	2,135	2,216	3,039	3,320	3,732
Department of Transportation (MTA Tax)	329	335	335	335	336
SUNY - Disproportionate Share	173	209	228	228	228
Judiciary Funds	107	107	107	107	107
SUNY - Hospital Operations	67	88	88	88	88
Dedicated Mass Transportation Trust Fund	0	63	63	63	63
Mortgage Settlement Proceeds Trust Fund	0	58	0	0	0
Banking Services	41	50	52	54	55
Indigent Legal Services	28	40	40	40	40
Mass Transportation Operating Assistance	34	37	37	37	37
Alcoholic Beverage Control	18	20	20	20	20
Information Technology Services	40	14	6	0	0
Public Transportation Systems	12	15	15	15	15
Correctional Industries	10	12	11	11	11
All Other	146	212	200	214	213

A significant portion of the capital and operating expenses of DOT and the Department of Motor Vehicles (DMV) are funded from the Dedicated Highway and Bridge Trust Fund (DHBTF). The Fund receives various dedicated tax and fee revenues, including the petroleum business tax, motor fuel tax, and highway use taxes. The Financial Plan includes transfers from the General Fund that effectively subsidize the expenses of the DHBTF. The subsidy is required because the cumulative expenses of the fund – capital and operating expenses of DOT and DMV, debt service on certain transportation bonds – exceed current and projected revenue deposits and bond proceeds.



MULTI-YEAR FINANCIAL PLAN PROJECTIONS

DEBT SERVICE

The State pays debt service on all outstanding State-supported bonds. These include General Obligation Bonds, for which the State is constitutionally obligated to pay debt service, as well as bonds issued by State public authorities (e.g., Empire State Development (ESD), DASNY, and the Thruway Authority, subject to an appropriation). Depending on the credit structure, debt service is financed by transfers from the General Fund, dedicated taxes and fees, and other resources, such as patient income revenues.

DEBT SERVICE SPENDING PROJECTIONS (millions of dollars)				
	FY 2014 Results	FY 2015 Enacted	Annual Change	Percent Change
General Fund	1,972	1,081	(891)	-45.2%
Other State Support	4,428	4,567	139	3.1%
State Operating/All Funds Total	6,400	5,648	(752)	-11.8%

Total debt service is projected at \$5.6 billion in FY 2015, of which approximately \$1.1 billion is paid from the General Fund through transfers, and \$4.6 billion from other State funds. The General Fund transfer finances debt service payments on general obligation and service contract bonds. Debt service is paid directly from other State funds for the State's revenue bonds, including PIT and Sales Tax bonds, DHBTB bonds, and mental health facilities bonds.

Enacted Budget estimates for debt service spending have been revised to reflect a number of factors, including actual bond sales through FY 2014 and revised estimates for future bonding levels, including increased debt service costs associated with additional capital commitments. Also, FY 2015 spending estimates assume the prepayment of \$350 million of debt service that is due during FY 2016.

**FY 2014
PRELIMINARY
YEAR-END RESULTS**

FY 2014 Preliminary Year-End Results

This section provides a summary of operating results for April 2013 through March 2014 compared to (1) the “initial plan” set forth in the FY 2014 Enacted Budget; (2) the “revised plan” set forth in the FY 2015 Executive Budget, as amended; and (3) results through March 2013. The explanations of variances from planned projections are focused on the initial plan.

GENERAL FUND RESULTS

The State ended March 2014 with a General Fund closing balance of \$2.2 billion, \$526 million higher than initially estimated in the FY 2014 Enacted Budget. This increase reflects the combination of higher than planned receipts (\$612 million) and spending (\$86 million). Compared to the revised Financial Plan (released February 2014), the General Fund balance was \$432 million higher.

GENERAL FUND OPERATING RESULTS THROUGH MARCH 2014							
(millions of dollars)							
	Initial Plan	Revised Plan	Results	Variance Above/(Below)			
				Initial		Revised	
Opening Balance	1,610	1,610	1,610	0		0	
Total Receipts	61,256	61,653	61,868	612	1.0%	215	0.3%
Taxes:	57,292	57,386	57,758	466	0.8%	372	0.6%
Personal Income Tax ¹	37,328	37,522	37,686	358	1.0%	164	0.4%
User Taxes and Fees ¹	11,988	12,012	12,065	77	0.6%	53	0.4%
Business Taxes	6,375	5,988	6,046	(329)	-5.2%	58	1.0%
Other Taxes ¹	1,601	1,864	1,961	360	22.5%	97	5.2%
Receipts and Grants	3,098	3,253	3,219	121	3.9%	(34)	-1.0%
Transfers From Other Funds	866	1,014	891	25	2.9%	(123)	-12.1%
Total Spending	61,157	61,460	61,243	86	0.1%	(217)	-0.4%
Education	19,309	19,257	19,231	(78)	-0.4%	(26)	-0.1%
Health Care	11,945	12,177	12,183	238	2.0%	6	0.0%
Social Services	2,992	2,961	3,097	105	3.5%	136	4.6%
Higher Education	2,792	2,781	2,784	(8)	-0.3%	3	0.1%
All Other Local Assistance	3,220	3,207	2,645	(575)	-17.9%	(562)	-17.5%
Personal Service	5,681	5,704	5,563	(118)	-2.1%	(141)	-2.5%
Non-Personal Service	1,883	1,950	1,746	(137)	-7.3%	(204)	-10.5%
General State Charges	4,953	4,904	4,899	(54)	-1.1%	(5)	-0.1%
Debt Service Transfer	1,328	1,628	1,972	644	48.5%	344	21.1%
Capital Projects Transfer	1,227	1,078	1,436	209	17.0%	358	33.2%
State Share Medicaid Transfer	1,813	1,813	1,576	(237)	-13.1%	(237)	-13.1%
SUNY Operations Transfer	971	971	971	0	0	0	0.0%
All Other Transfers	3,043	3,029	3,140	97	3.2%	111	3.7%
Change in Operations	99	193	625	526		432	
Closing Balance	1,709	1,803	2,235	526		432	

¹ Includes transfers from other funds after debt service.



RECEIPTS

Through March 2014, General Fund receipts, including transfers from other funds, were \$612 million higher than the initial plan. Actual tax collections exceeded plan projections by \$466 million and include higher personal income tax receipts (\$358 million) from a stronger than expected growth in estimated payments; higher user tax collections (\$77 million) due to an increase in taxable purchases such as restaurant dining and entertainment; and higher collections in other taxes (\$360 million) as a result of increased estate tax receipts driven by both the volume and average value of transactions. These additional receipts were partly offset by lower business tax receipts (\$329 million) as the weakness in 2013 liability payments generated reduced collections in both insurance and bank taxes.

Miscellaneous receipts were \$121 million above initial projections due to the unanticipated settlement payments from the Bank of Tokyo-Mitsubishi UFJ (\$250 million) and the Royal Bank of Scotland (\$50 million) for their violation of banking laws; and J.P. Morgan (\$82 million) for its violation of mortgage lending practices. These settlement receipts are partly offset by lower abandoned property collections (\$117 million), licensing and fee revenue (\$75 million), and various other reimbursements.

Non-tax transfers were slightly above initial estimates. This variance includes the receipt of payments owed to the State under the Tribal-State Compact as a result of the resolution of several long-standing disputes between the State and certain Tribal Nations concerning exclusivity rights related to gaming (\$210 million), which is partly offset by the timing of transfers associated with Federal revenue from DOH (\$89 million) and OTDA (\$72 million), as well as lower business licensing receipts transfers (\$33 million)

SPENDING

Through March 2014, General Fund disbursements, including transfers to other funds, were \$86 million higher than initial projections. This variance is due mainly to a combination of higher transfers to support debt service payments (\$644 million) and lower disbursements for local assistance (\$318 million) and agency operations (\$309 million).

Actual local assistance spending was roughly one percent lower than estimated in both the initial and revised plans. The largest variances reflect revenue shortfalls in the Health Care Reform Act (HCRA) fund, which drive higher General Fund spending for health care, and increased child welfare payments, which were more than offset by lower spending across a range of programs and activities.

Lower spending in both personal service and non-personal service occurred across a number of agencies. The most notable variances include lower costs for DOH associated with the State's takeover of local Medicaid administrative duties (\$66 million); OTDA (\$46 million) due to the



FY 2014 PRELIMINARY YEAR-END RESULTS

shift of technology costs to ITS; the Labor Management Committee (\$16 million) from lower than anticipated costs for negotiated employee benefits; the Legislature (\$11 million); and the Judiciary (\$11 million). Lower spending for General State Charges (GSCs) reflects higher than expected reimbursements from non-General Fund accounts, which were used to pay certain pension obligations.

Higher General Fund transfers include the payment of debt service obligations due in FY 2015 (\$644 million); additional transfers to support capital projects spending partly due to the timing of a General Obligation bond originally scheduled for 2014 (\$209 million), and lower transfers to support mental hygiene facility operational costs (\$237 million).



FY 2014 PRELIMINARY YEAR-END RESULTS

STATE OPERATING FUNDS RESULTS

The State ended March 2014 with a closing balance of \$4.8 billion in State Operating Funds, \$520 million above the initial plan estimate and \$438 million above the revised plan. The higher balance is primarily attributable to higher total receipts, particularly in the area of non-tax revenue, partly offset by higher spending.

STATE OPERATING FUNDS RESULTS THROUGH MARCH 2014 (millions of dollars)							
	Initial Plan	Revised Plan	Results	Variance Above/(Below)			
				Initial		Revised	
Opening Balance	4,359	4,359	4,359	0		0	
Total Receipts	87,250	87,567	88,927	1,677	1.9%	1,360	1.6%
Taxes:	67,951	68,016	68,335	384	0.6%	319	0.5%
Personal Income Tax	42,543	42,828	42,961	418	1.0%	133	0.3%
User Taxes and Fees	14,510	14,501	14,518	8	0.1%	17	0.1%
Business Taxes	7,963	7,513	7,604	(359)	-4.5%	91	1.2%
Other Taxes	2,935	3,174	3,252	317	10.8%	78	2.5%
Miscellaneous/Federal Receipts	19,299	19,551	20,592	1,293	6.7%	1,041	5.3%
Total Spending	90,225	90,498	90,631	406	0.4%	133	0.1%
Education	22,504	22,452	22,423	(81)	-0.4%	(29)	-0.1%
Health Care	18,336	18,288	18,308	(28)	-0.2%	20	0.1%
Social Services	2,995	2,965	3,101	106	3.5%	136	4.6%
Transportation	4,746	4,737	4,722	(24)	-0.5%	(15)	-0.3%
Higher Education	2,824	2,813	2,816	(8)	-0.3%	3	0.1%
All Other Local Assistance	8,159	8,240	8,036	(123)	-1.5%	(204)	-2.5%
Personal Service	12,357	12,376	12,300	(57)	-0.5%	(76)	-0.6%
Non-Personal Service	5,467	5,579	5,564	97	1.8%	(15)	-0.3%
General State Charges	7,089	6,976	6,958	(131)	-1.8%	(18)	-0.3%
Debt Service	5,743	6,061	6,400	657	11.4%	339	5.6%
Capital Projects	5	11	3	(2)	-40.0%	(8)	-72.7%
Other Financing Sources	2,885	2,923	2,134	(751)	-26.0%	(789)	-27.0%
Change in Operations	(90)	(8)	430	520		438	
Closing Balance	4,269	4,351	4,789	520		438	



RECEIPTS

Through March 2014, total receipts in State Operating Funds were \$1.7 billion higher than initial projections, comprised of higher tax collections (\$384 million) and miscellaneous receipts (\$1.3 billion).

Consistent with the General Fund results, the State Operating Funds tax receipts variance reflects higher personal income tax (PIT) and estate tax receipts, offset by lower business tax receipts. In addition, School Tax Relief (STAR) payments were \$63 million lower than initial projections, which have the effect of increasing PIT receipts.

Higher non-tax receipts are based on settlement payments and the revenue associated with the settlement of Tribal-State compact agreements. Additional receipts also included the State University of New York (SUNY) tuition income revenue (\$148 million); non-Medicaid revenue received by mental hygiene agencies (\$97 million); and Workers' Compensation fund receipts (\$37 million).

SPENDING

State Operating Funds spending was \$406 million above initial estimates due in large part to the prepayment of certain debt services expenses (\$657 million); offset by lower than expected spending in local assistance (\$158 million) and agency operations (\$91 million).

The largest local assistance variances include lower spending for the STAR program (\$63 million), mental hygiene (\$57 million), school aid (\$51 million), public health (\$41 million) and disaster assistance (\$36 million) due to the timing of Federal reimbursement. These decreases were partly offset by higher spending from the receipt of settlement revenue pursuant to Tribal State compact agreements (\$120 million).

Total State Operations (Personal Service and Non Personal Service) spending exceeded the initial projections by \$40 million. Higher than estimated spending occurred for SUNY (\$117 million); disaster assistance (\$85 million) due to the timing of reimbursements; ITS (\$77 million) based on the timing of consolidation of technology costs from other agency budgets; OPWDD (\$50 million) based on the timing of conversions of State operated beds to community based settings; and Corrections (\$25 million). This higher spending is partly offset by lower spending across multiple agencies, with the largest including Health (\$73 million), OTDA (\$47 million), Judiciary (\$40 million), Gaming (\$25 million), and OMH (\$27 million).

The lower spending for GSCs reflects higher escrow payments that lower the General Fund cost of fringe benefits (\$59 million), as well as lower than assumed fringe benefit spending for SUNY (\$27 million), Mental Hygiene agencies (\$19 million) and the Judiciary (\$10 million).



FY 2014 PRELIMINARY YEAR-END RESULTS

Higher debt service spending reflects the payment of debt service obligations due in FY 2015 (\$657 million), part of which was planned in the FY 2015 Executive Budget.

OTHER FINANCING SOURCES

Other financing sources, which represent the difference between transfers to and from State Operating Funds, were \$751 million lower than initial estimates, largely reflecting higher support for capital projects (\$348 million), and lower Federal reimbursements (\$431 million), most notably for costs at State-operated mental hygiene facilities.



FY 2014 PRELIMINARY YEAR-END RESULTS

ALL GOVERNMENTAL FUNDS RESULTS

The State ended March 2014 with an All Governmental Funds closing balance of \$4.0 billion, \$22 million above the 2014 Enacted Budget Financial Plan estimate. The higher balance is due to the combination of lower receipts (\$2.7 billion), available resources from other financing sources (\$299 million), and spending (\$3.0 billion).

ALL GOVERNMENTAL FUNDS RESULTS THROUGH MARCH 2014							
(millions of dollars)							
	Initial Plan	Revised Plan	Results	Variance Above/(Below)			
				Initial	Revised		
Opening Balance	3,876	3,876	3,876	0		0	
Total Receipts	140,405	140,770	137,713	(2,692)	-1.9%	(3,057)	-2.2%
Taxes:	<u>69,351</u>	<u>69,414</u>	<u>69,690</u>	<u>339</u>	<u>0.5%</u>	<u>276</u>	<u>0.4%</u>
Personal Income Tax	42,543	42,828	42,961	418	1.0%	133	0.3%
User Taxes and Fees	15,117	15,107	15,100	(17)	-0.1%	(7)	0.0%
Business Taxes	8,638	8,186	8,258	(380)	-4.4%	72	0.9%
Other Taxes	3,053	3,293	3,371	318	10.4%	78	2.4%
Miscellaneous Receipts	23,621	23,850	24,234	613	2.6%	384	1.6%
Federal Grants	47,433	47,506	43,789	(3,644)	-7.7%	(3,717)	-7.8%
Total Spending	140,539	140,865	137,526	(3,013)	-2.1%	(3,339)	-2.4%
State Operating Funds:	<u>90,225</u>	<u>90,498</u>	<u>90,631</u>	<u>406</u>	<u>0.4%</u>	<u>133</u>	<u>0.1%</u>
Education	22,504	22,452	22,423	(81)	-0.4%	(29)	-0.1%
Health Care	18,336	18,288	18,308	(28)	-0.2%	20	0.1%
Social Services	2,995	2,965	3,101	106	3.5%	136	4.6%
Transportation	4,746	4,737	4,722	(24)	-0.5%	(15)	-0.3%
Higher Education	2,824	2,813	2,816	(8)	-0.3%	3	0.1%
All Other Local Assistance	8,159	8,240	8,036	(123)	-1.5%	(204)	-2.5%
Personal Service	12,357	12,376	12,300	(57)	-0.5%	(76)	-0.6%
Non-Personal Service	5,467	5,579	5,564	97	1.8%	(15)	-0.3%
General State Charges	7,089	6,976	6,958	(131)	-1.8%	(18)	-0.3%
Debt Service	5,743	6,061	6,400	657	11.4%	339	5.6%
Capital Projects	5	11	3	(2)	-40.0%	(8)	-72.7%
Capital Projects Funds	7,996	7,992	7,751	(245)	-3.1%	(241)	-3.0%
Federal Operating Funds	42,318	42,375	39,144	(3,174)	-7.5%	(3,231)	-7.6%
Other Financing Sources	271	281	(28)	(299)	-110.3%	(309)	-110.0%
Change in Operations	137	186	159	22		(27)	
Closing Balance	4,013	4,062	4,035	22		(27)	



RECEIPTS

Through March 2014, total receipts in All Funds were \$2.7 billion lower than initial projections, reflecting the combined impact of lower Federal aid (\$3.6 billion); higher tax collections (\$339 million); and higher miscellaneous receipts (\$613 million).

In addition to the tax collection and miscellaneous receipts variances noted earlier, other significant variances from initial estimates include lower than planned miscellaneous receipts for capital projects (\$671 million) due to the timing of bond reimbursements and the postponement of a general obligation bond sale in March 2014; and lower than anticipated Federal grants, which is roughly commensurate to the spending variances described below.

SPENDING

In addition to the General Fund and State Operating Funds spending variances described earlier, spending variances on an All Governmental Fund basis are attributable to factors associated with capital projects and federal operating funds.

Lower capital spending (\$245 million) was driven mainly by slower than anticipated spending for transportation projects related to the Metropolitan Transportation Authority (MTA) and variances across a number of programs.

Federal operating funds spending was \$3.2 billion lower than initial estimates and is almost entirely due to lower disaster assistance spending (\$3.6 billion) due to the difficulty in predicting the timing associated with claiming and receiving Federal reimbursement for storm recovery costs. The lower spending was partly offset by higher Temporary Assistance for Needy Families (TANF) spending (\$542 million) due to spendout of higher prior year grant awards.



FY 2014 PRELIMINARY YEAR-END RESULTS

CAPITAL PROJECTS RESULTS

The State ended March 2014 with a Capital Projects closing balance of negative \$629 million, \$369 million lower than the initial plan. This lower balance reflects the combined impact of lower receipts and spending.

CAPITAL PROJECTS FUNDS RESULTS THROUGH MARCH 2014					
(millions of dollars)					
	Initial Plan	Revised Plan	Results	Variance Above/(Below)	
				Initial	Revised
Opening Balance	(486)	(486)	(486)	0	0
Total Receipts	7,832	7,832	7,208	(624)	(624)
Taxes:	1,400	1,398	1,355	(45)	(43)
User Taxes and Fees	607	606	582	(25)	(24)
Business Taxes	675	673	654	(21)	(19)
Other Taxes	118	119	119	1	-
Miscellaneous Receipts	4,211	4,188	3,540	(671)	(648)
Federal Grants	2,221	2,246	2,313	92	67
Total Spending	7,996	7,992	7,751	(245)	(241)
Local Assistance Grants	2,104	2,107	2,242	138	135
Economic Development	93	111	46	(47)	(65)
Parks & the Environment	487	494	372	(115)	(122)
Transportation	3,453	3,431	3,302	(151)	(129)
Health & Social Welfare	37	37	40	3	3
Mental Hygiene	125	125	163	38	38
Public Protection	333	285	282	(51)	(3)
Education	1,195	1,179	1,114	(81)	(65)
All Other Capital Projects	169	223	190	21	(33)
Other Financing Sources	390	354	400	10	46
Change in Operations	226	194	(143)	(369)	(337)
Closing Balance	(260)	(292)	(629)	(369)	(337)



RECEIPTS

Through March 2014, total receipts in Capital Projects Funds were \$624 million lower than initial projections, reflecting lower miscellaneous receipts (\$671 million) and taxes (\$45 million); partly offset by higher Federal grants (\$92 million). Lower miscellaneous receipts were driven by the timing of bond reimbursements, due in part to the delay of a \$340 million General Obligation bond sale. Higher Federal grants receipts reflect reimbursements from a commensurate increase in Federal capital spending.

SPENDING

Capital projects spending was \$245 million lower than initial estimates. The spending variances relate to timing and the impact of weather delays. Capital spending occurs over a multi-year period with payments tied to performance on construction contracts. Spending variances in economic development, environment, transportation and education reflect a prolonged spend-out as compared to initial estimates. In addition, a delay in a General Obligation bond sale forced certain payments to be postponed.



FY 2014 PRELIMINARY YEAR-END RESULTS

ALL GOVERNMENTAL FUNDS ANNUAL CHANGE

The All Governmental Funds balance through March 2014 was \$4.0 billion, or \$159 million higher than the prior year. The growth in the fund balance in FY 2014 is attributable to a higher opening balance (\$516 million), receipts (\$4.5 billion), and spending (\$4.4 billion), and lower financing from other sources (\$465 million).

All GOVERNMENTAL FUNDS RESULTS YEAR-OVER-YEAR APRIL THROUGH MARCH (millions of dollars)				
	FY 2013 Results	FY 2014 Results	Increase/(Decrease)	
			\$	%
Opening Balance	3,360	3,876	516	
Total Receipts	133,176	137,713	4,537	3.4%
Taxes:	66,302	69,690	3,388	5.1%
Personal Income Tax	40,227	42,961	2,734	6.8%
User Taxes and Fees	14,616	15,100	484	3.3%
Business Taxes	8,463	8,258	(205)	-2.4%
Other Taxes	2,996	3,371	375	12.5%
Miscellaneous Receipts	24,031	24,234	203	0.8%
Federal Grants	42,843	43,789	946	2.2%
Total Spending	133,097	137,526	4,429	3.3%
State Operating Funds:	88,844	90,631	1,787	2.0%
Education	22,090	22,423	333	1.5%
Health Care	17,806	18,308	502	2.8%
Social Services	3,031	3,101	70	2.3%
Transportation	4,304	4,722	418	9.7%
Higher Education	2,629	2,816	187	7.1%
All Other Local Assistance	8,718	8,036	(682)	-7.8%
Personal Service	12,403	12,300	(103)	-0.8%
Non-Personal Service	5,280	5,564	284	5.4%
General State Charges	6,437	6,958	521	8.1%
Debt Service	6,138	6,400	262	4.3%
Capital Projects	8	3	(5)	-62.5%
Capital Projects Funds	7,540	7,751	211	2.8%
Federal Operating Funds	36,713	39,144	2,431	6.6%
Other Financing Sources	437	(28)	(465)	
Change in Operations	516	159	(357)	
Closing Balance	3,876	4,035	159	



RECEIPTS

All Funds tax receipts during FY 2014 were \$3.4 billion higher than receipts collected during the prior year, with 80 percent of the growth attributable to higher PIT collections (\$2.7 billion), due largely to strength in withholding as a result of a strong bonus season in the financial sector, as well as higher extension payments due to taxpayers accelerating income into the 2012 tax year in order to avoid increased Federal rates in 2013. The overall gains in year-over-year PIT collections were partly offset by growth in refunds and other offsets related to tax year 2012. Other growth in tax receipts includes higher user tax collections (\$484 million) associated with recurring and non-recurring taxable purchases such as auto sales, entertainment activities, and expenses for post-Sandy repair work; and higher other taxes (\$375 million), which is attributable to growth in Real Estate Transfer Tax (RETT) liability (particularly in New York City) and growth in estate tax receipts, both in terms of volume and average amount. Decreased business tax receipts (\$205 million) were driven by lower gross collections for insurance and bank taxes due to weak 2013 liability payments.

Growth in miscellaneous receipts are mainly attributable to the additional Tribal-State revenues pursuant to the recently settled compact agreements (\$482 million); partly offset by lower abandoned property collections (\$181 million) and the loss of Medicaid payments from Monroe County (\$151 million), which entered the State's Medicaid local cap program in February 2013, thereby eliminating the need for the State to intercept a portion of the county's sales tax collections in lieu of payment. The remaining growth in receipts is in Federal grants (\$946 million), and is generally a result of increased Federal program spending, as described in greater detail below.

SPENDING

More than half of the \$4.4 billion annual increase in All Funds spending during FY 2014 was attributable to higher Federal spending (\$2.4 billion), mainly in the areas of Medicaid (\$908 million), driven by typical growth factors and increased Federal spending due to the impact of the ACA that went into effect on January 1, 2014; disaster assistance (\$525 million) associated with Sandy-related storm recovery activities; public assistance programs (\$586 million) as a result of spendout of higher prior year grant awards and education (\$520 million), where payments assumed for FY 2013 were not paid until the early part of FY 2014.

State Operating Funds spending increased by \$1.8 billion during FY 2014, or 2.0 percent, comprised of a \$828 million increase in local assistance; a \$702 million increase in agency operating costs; and a \$262 million increase in debt service.

Growth in local assistance spending was driven mainly by budgeted spending growth in the areas of Medicaid (\$363 million) and school aid (\$257 million); as well as for transit operating aid costs (\$419 million) based on the timing of available resources; and for higher education



FY 2014 PRELIMINARY YEAR-END RESULTS

spending (\$185 million) due to the timing of certain payments and increased support for the City University of New York (CUNY) fringe benefits. Partly offsetting the overall local spending growth was reduced annual costs associated with OPWDD-related Medicaid Payments (\$835 million), reflecting the shifting of certain program costs to DOH in order to be managed under the Global Cap, a shift which was integrated into the FY 2014 Enacted Budget in order to mitigate the impact of reduced Federal reimbursement rates for Medicaid eligible expenses incurred at OPWDD-operated facilities that went into effect April 1, 2013.

Agency operations spending growth reflects higher non-personal service costs (\$284 million) due largely to increased spending by SUNY as a result of the expansion of services being provided at campuses and teaching hospitals; and higher fringe benefits costs (\$521 million) generated by higher pension costs from a \$119 million prepayment in FY 2014 and a one percent increase in the State's amortization contribution rate.

The debt service annual increase (\$262 million) reflects prepayment of FY 2015 debt costs in FY 2014 in order to generate savings.

Capital projects spending increased by \$211 million from the prior year, which was attributable to growth associated with economic development initiatives; initial grants awarded for the State and Municipal Facilities program; the updating of mental hygiene facilities; the ongoing development of infrastructure within the mental hygiene provider community; and spending to remediate storm-related damage.

FISCAL IMPACT ON LOCAL GOVERNMENTS

Fiscal Impact on Local Governments

This section presents the estimated fiscal impact of the 2014-15 Enacted Budget on New York's municipalities as required by State Finance Law. To supplement this narrative, charts detailing the local government impact are provided in the "Financial Plan Tables" section of this report.

MAJOR CHANGES FROM THE EXECUTIVE BUDGET

- **Education:** The Enacted Budget provides an additional \$519 million in allocated School Aid. In addition, the Budget includes a \$2 billion general obligation bond act, to be brought before voters in November 2014. Bond proceeds will fund enhanced education technology in schools, with eligible projects including infrastructure improvements to bring high-speed broadband to schools and communities in their school district and the purchase of classroom technology for students. The Budget also provides \$340 million of recurring annual funding to support the Statewide Universal Full-Day Pre-Kindergarten program, of which \$300 million is designated for New York City. This program aims to incentivize and fund state-of-the-art innovative pre-kindergarten programs and to encourage program creativity through competition.
- **Transportation:** The Enacted Budget provides an additional \$40 million in Extreme Winter Recovery assistance allocated through the Consolidated Local Street and Highway Improvement Program (CHIPS) formula that will go to counties, cities, towns and villages to help reconstruct local roads after the harsh winter.
- **Municipal Aid:** The Enacted Budget includes new Miscellaneous Financial Assistance for Seneca, Cayuga and Franklin Counties (\$3.2 million); an increase in video lottery terminal (VLT) aid to eligible municipalities (\$2.1 million); and, an increase for the Village Per Capita Aid Program (\$500,000).

LOCAL YEAR 2015 FISCAL SUMMARY

Enacted Budget actions, in total, will result in a positive local impact of \$1.19 billion for local fiscal years ending in 2015 — the first full-annual local fiscal year affected by the recently Enacted Budget. Overall, this total net impact is \$553 million greater than the impact of the Executive Budget (\$633.7 million), with most significant increases occurring in School Aid. The fiscal summary of the impact on local governments for local fiscal year 2015 is as follows:

- **School Districts:** School districts outside of New York City will realize a \$719 million positive impact for their 2014-15 school year, primarily due to a \$691 million school aid increase, exclusive of Universal Full-Day Pre-Kindergarten funding and potential funding from the Smart Schools Bond Act, when approved by voters in November 2014. The Yonkers City School District will also receive \$28 million in special assistance.



FISCAL IMPACT ON LOCAL GOVERNMENTS

- **New York City:** A \$446 million positive impact is estimated for New York City primarily due to \$436 million in additional aid for New York City schools, exclusive of Universal Full-Day Pre-Kindergarten funding and potential funding from the Smart Schools Bond Act, when approved by voters in November 2014. The City will benefit from \$33.8 million in additional personal income tax revenue through closing the resident trust loophole. This new revenue would be partially offset by a loss of sales tax from a two-year extension of the alternative fuels tax exemption (\$1.2 million) and an extension of the of the vending machine exemption from \$0.75 to \$1.50 (\$2.1 million). New York City will also benefit from an additional \$4.2 million in in Extreme Winter Recovery assistance, and \$2.2 million in increased transit assistance for NYCDOT and Staten Island Ferry. This overall positive impact will be partially offset by a rent cap for low-income New Yorkers who are permanently disabled by HIV/AIDS and receive public assistance (\$17 million), and the implementation of a fair hearings chargeback (\$10 million).
- **Counties:** County governments will realize an estimated \$5.6 million positive impact in 2015, primarily due to an additional \$3.5 million in assistance to Dutchess County for miscellaneous projects, \$3.2 in Miscellaneous Financial Assistance for Seneca, Cayuga and Franklin Counties, \$3.0 million in Extreme Winter Recovery assistance, \$2.8 million in increased transit assistance for downstate county transit systems, and an increase in VLT aid to eligible municipalities (\$600,000). These impacts will be partially offset by the elimination of miscellaneous financial assistance to Madison & Oneida counties (\$3.0 million) if payments commence from the new Oneida Indian Nation gaming compact during the State's 2014-15 fiscal year, and by an estimated \$4.5 million reduction in sales tax revenues due to an extension of the of the vending machine exemption from \$0.75 to \$1.50, and a two year extension of the alternative fuels tax exemption.
- **Other Municipalities:** The impact to other cities, towns and villages is a positive \$15.9 million in local fiscal years ending in 2015, attributed primarily to a \$8.6 million increase in Extreme Winter Recovery assistance and \$6.0 million in special assistance to the City of Rochester. Other increases include increase in VLT aid to eligible municipalities (\$1.5 million) and \$500,000 in additional funding for the Village Per Capita Aid Program. These impacts will be partially offset by the reduction in sales tax revenues due to an extension of the of the vending machine exemption from \$0.75 to \$1.50 (\$600,000) and a two year extension of the alternative fuels tax exemption (\$300,000).



OTHER BUDGET ACTIONS AFFECTING LOCAL GOVERNMENTS

- **Municipal Property Tax Credit.** The Enacted Budget includes a two-year property tax credit for homeowners in school districts and local governments that stay within the property tax cap. To ensure that officials are working together to eliminate waste and duplication, local governments and school districts will be required to develop efficiency plans in order for their residents to receive the second year of the tax credit. This will result in over \$1.5 billion in direct property tax relief over the entire program.
- **Transforming Information Technology Operations.** As part of an ongoing effort to improve efficiencies and reduce costs, the State has invested in the consolidation of information technology (IT) and implementation of shared services. The Enacted Budget includes provisions allowing local governments to access the State's data center and other IT services. Specifically, end user computing and collaboration tools used by State agencies will be offered to localities. Local governments will be able to control or save costs by avoiding the need to procure, operate and maintain their own independent systems.

GLOSSARY OF ACRONYMS

Glossary of Acronyms

ACA	Affordable Care Act
AIM	Aid and Incentives for Municipalities
AMTAP	Additional Mass Transportation Operations Assistance Program
APCD	All-Payer Claims Database
ARC	Annual Required Contribution
ARRA	American Recovery and Reinvestment Act of 2009
BANs	Bond Anticipation Notes
BBA	Bipartisan Budget Act
BCA	Budget Control Act
BEA	Bureau of Economic Analysis
BOCES	Boards of Cooperative Educational Services
BTMU	Bank of Tokyo-Mitsubishi UFJ
CHIPS	Consolidated Highway Improvement Program
CHP	Child Health Plus
CMS	Centers for Medicare and Medicaid Services
CNG	Compressed Natural Gas
COLA	Cost of Living Adjustment
CPI	Consumer Price Index
CQCAPD	Commission on Quality of Care and Advocacy for Persons with Disabilities
CSEA	Civil Service Employees Association
CUNY	City University of New York
CW/CA	Clean Water/Clean Air
CY	Current Year
DASNY	Dormitory Authority of the State of New York
DFS	Department of Financial Services
DHBTF	Dedicated Highway and Bridge Trust Fund
DHCR	Division of Housing and Community Renewal
DMV	Department of Motor Vehicles
DOB	Division of the Budget
DOCCS	Department of Corrections and Community Supervision
DOH	Department of Health
DOT	Department of Transportation
DRP	Deficit Reduction Plan
DRRF	Debt Reduction Reserve Fund
DTF	Department of Taxation and Finance
EI	Early Intervention
EITC	Earned Income Tax Credit
EPIC	Elderly Pharmaceutical Insurance Coverage
ERS	Employees' Retirement System
ESD	Empire State Development
FFY	Federal Fiscal Year
FHP	Family Health Plus
F-SHRP	Federal-State Health Reform Partnership



GLOSSARY OF ACRONYMS

FTEs	Full-Time Equivalents
FY	Fiscal Year
GAAP	Generally Accepted Accounting Principles
GASB	Governmental Accounting Standards Board
GDP	Gross Domestic Product
GEA	Gap Elimination Adjustment
GLIP	Group Life Insurance Plan
GOER	Governor's Office of Employee Relations
GPHW	General Public Health Works
GSCs	General State Charges
HCRA	Health Care Reform Act
HEAL NY	Health Care Efficiency and Affordability Law for New Yorkers
HESC	Higher Education Services Corporation
ICF/DD	Intermediate Care Facilities for the Developmentally Disabled
IRMMA	Income-Related Monthly Adjusted Medicare
IT	Information Technology
ITC	Investment Tax Credit
LGAC	Local Government Assistance Corporation
LICH	Long Island College Hospital
LIPA	Long Island Power Authority
LLC	Limited Liability Company
MA	Medicaid
MCFFA	Medical Care Facilities Financing Agency
MCTD	Metropolitan Commuter Transportation District
MMTOA	Metro Mass Transportation Operating Aid
MOU	Memorandum of Understanding
MRT	Medicaid Redesign Team
MTA	Metropolitan Transportation Authority
NY	New York
NYC	New York City
NYS	New York State
NYSCOPBA	New York State Correctional Officers and Police Benevolent Association
NYSHIP	New York State Health Insurance Program
NYSLRS	New York State & Local Retirement System
NYSPBA	New York State Police Benevolent Association
OASAS	Office of Alcoholism and Substance Abuse Services
OCA	Office of Court Administration
OCFS	Office of Children and Family Services
OGS	Office of General Services
OMH	Office of Mental Health
OPEB	Other Post-Employment Benefits
OPWDD	Office for People with Developmental Disabilities
OSC	Office of the State Comptroller
OTDA	Office of Temporary and Disability Assistance



PAYGO	Pay-As-You-Go
PBT	Petroleum Business Tax
PEF	Public Employees Federation
PFRS	Police and Fire Retirement System
PI	Personal Income
PIT	Personal Income Tax
PS	Personal Service
P-Tech	Pathways in Technology Early College High School
QE	Quantitative Easing
RBTF	Revenue Bond Tax Fund
RETT	Real Estate Transfer Tax
RFP	Request for Proposals
RPCI	Roswell Park Cancer Institute
SAGE	Spending and Government Efficiency Commission
SED	State Education Department
SHIN-NY	Statewide Health Information Network for New York
SIF	State Insurance Fund
SOF	State Operating Funds
SOFA	State Office for the Aging
SRO	State Special Revenue
SSI	Supplemental Security Income
STAR	School Tax Relief
STEM	Science, Technology, Engineering and Math
STIP	Short-Term Investment Pool
SUNY	State University of New York
SY	School Year
TANF	Temporary Assistance for Needy Families
TAP	Tuition Assistance Program
U.S.	United States
UUP	United University Professions
VLT	Video Lottery Terminal

**FINANCIAL PLAN
TABLES AND
ACCOMPANYING
NOTES**

Financial Plan Tables and Accompanying Notes

The notes to the **Financial** Plan tables herein should be read in conjunction with the tables that follow.

NOTE 1 — BASIS OF ACCOUNTING

Pursuant to law, all Financial Plan tables presented herein are prepared on the cash basis of accounting, unless otherwise noted. Under the cash basis of accounting, revenues are recognized when received, and spending is recorded when cash is disbursed.

NOTE 2 — FUND TYPES AND PERSPECTIVES

The State records its transactions in the following fund types:

Governmental Funds

General Fund - This is the major operating fund of the State. It receives all State income not earmarked for a particular program or activity and not specified by law to be deposited in another fund. State income for Financial Plan purposes consists of moneys (taxes, fees, and miscellaneous receipts including certain repayments of State advances) deposited to the credit of the General Fund or transferred from other funds during the fiscal year.

Special Revenue - These funds account for State receipts from specific revenue sources and are legally restricted to disbursement for specified purposes. This governmental fund type is divided into two classifications: (1) State Special Revenue Funds and (2) Federal Special Revenue Funds. An example of a State Special Revenue Fund is the Conservation Fund which finances a number of State environmental programs. An example of a Federal Special Revenue Fund is the Health and Human Services Fund. Although any earmarked revenue fund is treated as a Special Revenue Fund for cash-basis budgeting and reporting purposes, some are combined with the General Fund for purposes of reporting on the basis of Generally Accepted Accounting Principles (GAAP).

Debt Service - All tax-financed State debt service on long-term debt and payments on certain lease-purchase or other contractual obligations are paid from Debt Service funds. These account for the accumulation of money for, and the payment of principal and interest on, general long-term debt. Lease-purchase payments for Health and Mental Hygiene facilities under contractual agreements with public authorities are also paid from funds classified as Debt Service funds. Debt service on highway bonds supported by dedicated highway revenues is also reflected in this fund type. Sources of revenue for this fund type include transfers from the General Fund, dedicated taxes, and other revenues.



Capital Projects - These funds finance a variety of capital construction costs including: (1) planning, land acquisition, design, construction, construction management and supervision, and equipment costs; (2) highway, parkway and rail preservation projects; outdoor recreation and environmental conservation projects, and buildings and other capital facilities required by various State departments and agencies; (3) payments to local governments to help finance their capital programs, including highway, parkway, bridge, mass transportation, aviation, economic development, port development, community college, community and State mental health, outdoor recreation, State-assisted housing, and environmental quality; and (4) advances for capital construction costs reimbursable by public authorities, instrumentalities of the State, the Federal government or local governments. Sources of revenue for this fund type include transfers from other State funds including the General Fund, dedicated taxes and other revenues, reimbursement of advances, bond proceeds, and Federal capital grants.

State Operating Funds

The State Funds operating budget comprises the General Fund and other State-supported activities financed by dedicated revenues in State Special Revenue funds, as well as Debt Service funds accounting for the payment of debt service on all tax-financed State long-term debt. All capital spending, regardless of financing source, is included in the Capital budget.

Proprietary Funds

Internal Service Funds - Account for the financing of goods or services supplied by one State agency to other State agencies or governmental units on a cost-reimbursement basis.

Enterprise Funds - Account for operations that are similar to private business enterprises.

The Internal Service funds and Enterprise funds are treated as Proprietary funds for cash-basis budgeting and reporting purposes, and are combined with the General Fund for purposes of reporting on a GAAP basis.

Fiduciary Funds

Private-Purpose Trust Funds - Account for all trust arrangements under which principal and income benefit individuals, private organizations, or other governments.

Pension Trust Fund - Accounts for the cash-basis results of operations for the administrative portion of the State's Common Retirement Fund. It does not reflect investment activity, balances, or other assets available to this Fund. In addition, pension contributions and payments to retirees are excluded since these payments are not required to be appropriated.



Agency Funds - Account for funds held by the State in a purely custodial capacity. Cash is held temporarily until disbursements are made to individuals, private organizations, or other governments.

NOTE 3 — DISBURSEMENT DESCRIPTIONS

The State's Financial Plan and reporting includes only those payments made pursuant to an appropriation and paid from funds available in the State Treasury. All State spending is classified in one of the following categories:

Local Assistance Grants - Include payments to counties, cities, towns, villages, school districts and other local entities, as well as certain financial assistance to, or on behalf of, individuals and nonprofit organizations.

Personal Service - Includes the payment of salaries and compensation for State employees.

Non-Personal Service - Includes payments for miscellaneous contractual obligations, supplies and materials, travel, rentals and repairs, utilities, postage and shipping, printing, telephone, and other operating costs.

General State Charges (GSCs) - Include costs mandated by statute, collective bargaining agreements or court order. Charges in this category can be further subdivided into the following:

Fringe Benefits: Contributions to pension systems, the employer's share of Social Security contributions, employer contributions toward the cost of health insurance, workers compensation and unemployment insurance, and contributions to union employee benefit funds which provide vision care and other services.

Fixed Costs: For State payments in lieu of taxes, as well as payments for local assessments on State-owned land, judgments against the State pursuant to the Court of Claims Act, defenses by private counsel or alternatively payments on behalf of State officers and employees in civil judicial proceedings.

Debt Service - Includes payments made for tax-financed State debt service on long-term debt; contractual-obligation and lease-purchase arrangements with several public authorities and municipalities; and lease-purchase payments for Health and Mental Hygiene facilities.

Capital Projects - Include payments made for the acquisition or construction of the State's capital facilities. Included in this category are planning, land acquisition, design, construction, engineering services, and equipment costs attributable to highway, parkway and rail preservation projects; outdoor recreation and environmental conservation projects; payments



to local government units and public authorities to help finance highways, parkways, bridges, mass transportation, aviation, economic development, port development, community colleges, community and State mental hygiene buildings, outdoor recreational parks, correctional and State-assisted housing as well as environmental quality projects. Advances are made for capital construction costs reimbursable by public authorities, the Federal or local governments, or from the proceeds of State bond and note sales.

Bond Proceeds - Includes the proceeds of General Obligation Bonds and short-term notes issued in the form of commercial paper or Bond Anticipation Notes (BANs) and are stated net of notes redeemed from the proceeds of long-term bonds or reissued notes.

Operating Transfers - Constitutes legally authorized transfers from a fund receiving revenues, to a fund through which disbursements will ultimately be made.

NOTE 4 — RESERVATIONS OF GENERAL FUND BALANCE

Fund balance may be legally segregated for specific future use or set aside informally for specified purposes. The following terms are used in the reporting of reservations of balances in the General Fund:

Tax Stabilization Reserve Fund - Created pursuant to law in order to provide a reserve to finance a cash-basis operating deficit in the General Fund at the end of the fiscal year, and to make temporary loans to the General Fund during the year. Annual deposits may not exceed 0.2 percent of General Fund spending and the balance may not exceed 2.0 percent of General Fund spending. These amounts may be borrowed by the General Fund temporarily and repaid within the same fiscal year. They may also be borrowed to cover an operating deficit at year end, but these loans must be repaid within six years in no less than three annual installments.

Rainy Day Reserve Fund - Created pursuant to law, to account for funds set aside for use during economic downturns or in response to a catastrophic event, as defined in the law. The economic downturn clause is triggered after five consecutive months of decline in the State's composite index of business cycle indicators. The reserve may have a maximum balance equal to 3 percent of projected General Fund spending during the fiscal year immediately following the then-current fiscal year.

Contingency Reserve Fund - Created pursuant to law to provide a reserve to fund extraordinary needs arising from litigation against the State. These amounts may be used for payment of judgments against the State where the amount is in excess of \$25 million and such payments are not previously appropriated, or emergency payments relating to natural or physical disasters, or to make payments for the enhancement of the State's economy.



Community Projects Fund - Created pursuant to law to finance discretionary, usually local, projects ("member items") sponsored by individual legislators and the Governor. The Enacted State Budget has typically included lump sum appropriations for the Governor, Senate and the Assembly, to be designated for various grants, awards and contracts with local governments, not-for-profit organizations and community groups. The FY 2015 Enacted Budget includes no new appropriations and only the spend-down of prior appropriations is included.

Debt Reduction Reserve Fund (DRRF) - Created pursuant to law to reduce the State's debt burden. DRRF receives moneys credited or transferred to it from the General Fund or any other designated sources. Subject to appropriation, DRRF's authorized purposes include i) the payment of principal, interest, or related expenses, ii) retiring or defeasing existing State-supported debt obligations, including accrued interest, and iii) the funding of capital projects, equipment, or similar expenses that would otherwise be financed with debt.

Undesignated Fund Balance - From time to time, DOB will informally designate unrestricted balances in the General Fund for specific policy goals. These amounts are typically identified with the phrase "reserved for."

Prior-Year Labor Agreements - Due to the nature of the timing of labor agreements, DOB will informally reserve balances for possible prior year costs for unions without current contracts.

NOTE 5 — SPENDING ADJUSTMENTS

Certain Special Revenue accounts formerly reported in the Financial Plan as Federal Operating Funds have been reclassified to State Operating Funds. The State changed this reporting with the FY 2012 Executive Budget. This change has the effect of increasing the reported disbursements from State Operating Funds, and reducing reported disbursements from Federal Operating Funds by an equal amount. Accordingly, there is no impact on the State's reported All Governmental Funds spending totals.



NOTE 6 — ITEMS AFFECTING ANNUAL COMPARABILITY

American Recovery and Reinvestment Act of 2009 (ARRA) - On February 17, 2009, President Obama signed into law the ARRA of 2009. This Act contains provisions for direct Federal aid for fiscal relief, consisting of increases in the Federal matching rate for eligible State Medicaid expenditures, and funds provided through the State Fiscal Stabilization Fund to restore proposed reductions in education, higher education, and to maintain essential government services. Primary ARRA-related support ended in FY 2012.

Superstorm Sandy - In October 2012, Superstorm Sandy caused widespread flooding, power failures, and wind damage to public and private property in New York City, Long Island, and other downstate areas. Public infrastructure, including mass transit systems, public schools, and municipal buildings, sustained serious damage. The Enacted Budget reflects Federal aid which will flow to local governments, public authorities, and not-for-profits over the next three years to continue the State's recovery from Superstorm Sandy.

Affordable Care Act - The Affordable Care Act (ACA), which became effective January 1, 2014, is intended to provide access to health insurance coverage, ensure appropriate access to care, and to contain escalating health care costs. The Enacted Budget Financial Plan reflects the impact of the ACA in a number of ways, including, but not limited to, the benefit of lower costs associated with the State share of Medicaid as a result of enhanced Federal funding provided for Medicaid costs and program expansion. In addition, beginning in FY 2015, the Department of Health will assume new costs during the Financial Plan period associated with operating the New York State of Health insurance benefit exchange, which is the State's single point of access insurance marketplace as mandated by the ACA, as well as to implement new information systems designed to improve health insurance claiming.

Mergers - State agency mergers in recent years impacting the Financial Plan tables include the following:

- New York State Gaming Commission was created and made effective on February 1, 2013. The Commission merged the functions and responsibilities of the former Division of the Lottery and the former Racing and Wagering Board. As such, spending for FY 2013 has been reflected in the former agencies, while projections for FY 2014 and forward reflect the impact of the agency merger.
- The activities of the Commission on Quality of Care and Advocacy for Persons with Disabilities (CQCAPD) were subsumed by the New York State Justice Center for the Protection of People with Special Needs when it became operational on June 30, 2013.
- Division of Parole merged with the Department of Correctional Services to become the new Department of Corrections and Community Supervision.



- Consumer Protection Board merged into Department of State.
- Foundation for Science, Technology, and Innovation merged into the Department of Economic Development.
- Banking and Insurance Departments merged into a new agency known as the Department of Financial Services (DFS).

NOTE 7 — STATE FUNDING OF SUNY OPERATING SUPPORT

As part of the FY 2013 Budget, the State changed the process through which the State University of New York (SUNY) receives the State share of its operating support, from direct General Fund spending to General Fund transfers. SUNY's special revenue tuition offset account, which was traditionally used to offset the State's direct General Fund spending for SUNY operations, now reflects all spending for the university operations, effective with the academic year that began in July 2012. All General Fund support for SUNY operations is now transferred by the General Fund to this special revenue account, supplementing tuition revenue also being received by this account, and from which all university operations are funded.

NOTE 8 — OFF-BUDGET TRANSACTIONS

Represent certain capital spending that is not reported in cash spending results, but is reported in the State's Generally Accepted Accounting Principles (GAAP) Financial Statements. This spending is related to programs which are financed directly from bond proceeds that are on deposit at various public authorities, rather than from a loan from the Short-Term Investment Pool (STIP) or the General Fund.

NOTE 9 — GENERAL FUND/ HEALTH CARE REFORM ACT (HCRA) COMBINED GAP

The FY 2015 Budget extends the HCRA authorization through March 31, 2017. HCRA is projected to remain balanced through FY 2018. However, any unaddressed shortfall would need to be financed by the General Fund or reduction in HCRA programs.

NOTE 10 — MTA FINANCIAL ASSISTANCE FUND

The Metropolitan Commuter Transportation Mobility Tax (Mobility Tax) is imposed on certain employers and self-employed individuals engaging in business within the Metropolitan Commuter Transportation District (MCTD). This revenue source is collected by the State on behalf of, and disbursed in its entirety to, the Metropolitan Transportation Authority (MTA). Due to requirements of the enabling legislation, the tax is reflected in the State's Special Revenue Funds, increasing estimated receipts and disbursements.



Additional funding to support the total aid provided to the MTA is provided through a transfer from the General Fund, pursuant to December 2011 tax reform legislation which exempts school districts and small businesses operating within the MCTD from the provisions of the Mobility Tax.

NOTE 11 — CHANGES TO THE MEDICAID PROGRAM

Since January 2006, the State has paid the entire non-Federal share of the Family Health Plus (FHP) program and any annual Medicaid increases above a fixed level that would have otherwise been paid for by Local Social Services Districts. These statutory indexing provisions were amended through legislation included in the FY 2013 Enacted Budget to implement a three-year phased-takeover of the Local Social Services Districts' share of all growth above the previous year's enacted levels beginning on April 1, 2013 for County Year 2013, with the State assuming all growth in County Year 2015.

NOTE 12 — STATEWIDE CASH FLOW ADMINISTRATION

The Office of the State Comptroller (OSC) invests General Fund moneys, bond proceeds, and other funds not immediately required to make payments through STIP, which is comprised of joint custody funds (Governmental Funds, Internal Service Funds, Enterprise Funds and Private Purpose Trust Funds), as well as several sole custody funds including the Tobacco Settlement Fund.

OSC is authorized to make short-term loans from STIP to cover temporary cash shortfalls in certain funds and accounts, including the General Fund, resulting from the timing of receipts and disbursements. The Legislature authorizes the funds and accounts that may receive loans each year, based on legislation submitted annually. Loans may be granted only for amounts that the Director of the Budget certifies are "receivable on account" or can be repaid from the current operating receipts of the fund (i.e., loans cannot be granted in expectation of future revenue enhancements).

NOTE 13 — TRANSFER ELIMINATIONS

The Special Revenue Funds and Capital Projects Funds include transfers of resources between Federal and State accounts within each fund. In some instances, Federal aid that is initially deposited into a Federal account is transferred to State accounts where the aid is used to support the programs for which it is intended to fund. To avoid recording spending twice, initially as the transfer of resources to another account and subsequently when payments are made, these transfers are eliminated in these funds. The transfer eliminations are consistent with the State Comptroller's accounting practices shown in Exhibit A of the Comptroller's Annual Report to the Legislature.



NOTE 14 — OUTSTANDING TEMPORARY LOANS SUMMARY

The total outstanding loan balance as of March 31, 2014 was \$2.2 billion, comprised of advances for bond-reimbursable capital spending pending the receipt of bond proceeds (\$1,035 million), activities that are financed initially by the State pending Federal receipt (\$643 million), State Special Revenue Funds (\$443 million), and Proprietary Funds (\$123 million).

The total loan balance typically increases throughout the State fiscal year, reaching its peak between the second and third quarters. The spike mainly reflects the payment of lottery aid for education, which is financed in large part by a loan that is repaid over the course of the year as lottery revenues are received.

Note 15 — Pension Amortization

The Financial Plan projections assume that the State and the Office of Court Administration (OCA) will amortize pension costs above a certain percentage of payroll, as authorized by legislation enacted in FY 2011. Amortization (deferment of payment) temporarily reduces the pension costs that must be paid by the State in a given fiscal year, but results in higher costs overall when repaid with interest. Consistent with the authorizing legislation, the interest cost is calculated by OSC. In FY 2014, the interest rate charged on the deferred amounts will be 3.67 percent. Outyear repayments of amortizations in the following table assume that 3.67 percent interest will continue to be charged. The FY 2016 amortization threshold (the “graded rate”) is projected to equal the normal rate. Therefore, no amortizations are projected in FY 2016. In FY 2017 and FY 2018 the graded rate will exceed the normal rate. This will result in additional contributions that will be used to pre-pay outstanding amortizations.

STATE PENSION COSTS AND AMORTIZATION SAVINGS (millions of dollars)								
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018
AMORTIZATION THRESHOLDS								
ERS (%)	9.5	10.5	11.5	12.5	13.5	14.2	13.2	12.2
PFRS (%)	17.5	18.5	19.5	20.5	21.5	20.8	19.8	18.8
PENSION (NET COST)	1,470	1,697	1,601	2,086	2,134	2,299	2,189	2,089
Gross Pension Costs	1,633	2,141	2,192	2,744	2,497	1,883	1,676	1,553
Amortization Savings	(250)	(563)	(779)	(937)	(743)	0	117	172
Repayment of Amortization (incl. FY 2005 and FY 2006)	87	119	188	279	380	416	396	364



Note 16 —2 Percent Spending Benchmark

The line on the Financial Plan tables labeled “Adherence to 2 percent State Operating Funds Spending Benchmark” is the estimated savings that would be realized by limiting annual spending growth in future years to 2 percent. The Governor is expected to propose, and negotiate with the Legislature to enact, budgets that hold State Operating Funds spending growth to 2 percent. The General Fund operating projections assume that all savings from adhering to 2 percent spending growth are made available to the General Fund.

Note 17 —Reclassification of SUNY Dormitory Income Fund

Legislation included with the FY 2014 Enacted Budget created a new bonding program for SUNY Dormitory Facilities. The new bonding program is supported solely by third party revenues generated by student rents. All rental revenues initially flow to the Dormitory Authority of the State of New York (DASNY) for the payment of debt service on SUNY Dormitory Facilities, after which the balance of rental revenues are credited to the State's SUNY Dormitory Income fund to support other costs associated with SUNY Dormitory Facilities. There will be no prospective debt service spending from the SUNY Dormitory Income fund, and therefore this fund has been reclassified from a Debt Service Fund to a Special Revenue Fund of the State, effective in FY 2015.



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**CASH FINANCIAL PLAN
GENERAL FUND
FY 2015 through FY 2018
(millions of dollars)**

	<u>FY 2015 Enacted</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>	<u>FY 2018 Projected</u>
Receipts:				
Taxes:				
Personal Income Tax	29,372	31,643	33,943	35,730
User Taxes and Fees	6,652	6,908	7,132	7,373
Business Taxes	5,438	5,728	5,609	5,729
Other Taxes	1,197	1,157	1,062	1,012
Miscellaneous Receipts	3,815	2,980	2,790	2,215
Federal Receipts	0	0	0	0
Transfers from Other Funds:				
PIT in Excess of Revenue Bond Debt Service	9,038	9,636	10,235	10,664
Sales Tax in Excess of LGAC	2,628	2,743	2,880	3,087
Sales Tax in Excess of Revenue Bond Debt Service	2,908	2,923	2,933	2,932
Real Estate Taxes in Excess of CW/CA Debt Service	761	826	885	958
All Other	1,153	819	777	750
Total Receipts	<u>62,962</u>	<u>65,363</u>	<u>68,246</u>	<u>70,450</u>
Disbursements:				
Local Assistance Grants	42,118	44,827	47,077	49,671
Departmental Operations:				
Personal Service	5,890	5,986	5,952	5,975
Non-Personal Service	1,960	2,010	2,004	2,052
General State Charges	5,072	5,322	5,470	5,583
Transfers to Other Funds:				
Debt Service	1,081	1,058	1,457	1,509
Capital Projects	930	1,406	1,761	2,006
State Share of Mental Hygiene Medicaid	1,638	1,313	1,281	1,156
SUNY Operations	977	980	980	980
Other Purposes	3,476	4,241	4,532	4,945
Total Disbursements	<u>63,142</u>	<u>67,143</u>	<u>70,514</u>	<u>73,877</u>
Use (Reservation) of Fund Balance:				
Community Projects Fund	87	0	0	0
Prior-Year Labor Agreements (2007-2011)	(8)	(11)	(12)	(11)
Undesignated Reserve	43	0	0	0
J.P. Morgan Settlement Proceeds	58	0	0	0
Total Use (Reservation) of Fund Balance	<u>180</u>	<u>(11)</u>	<u>(12)</u>	<u>(11)</u>
Adherence to 2% State Operating Funds Spending Benchmark		2,094	3,385	4,916
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements	<u>0</u>	<u>303</u>	<u>1,105</u>	<u>1,478</u>

**CASH FINANCIAL PLAN
GENERAL FUND
ANNUAL CHANGE
(millions of dollars)**

	<u>FY 2014 Results</u>	<u>FY 2015 Enacted</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
Opening Fund Balance	1,610	2,235	625	38.8%
Receipts:				
Taxes:				
Personal Income Tax	28,864	29,372	508	1.8%
User Taxes and Fees	6,561	6,652	91	1.4%
Business Taxes	6,046	5,438	(608)	-10.1%
Other Taxes	1,256	1,197	(59)	-4.7%
Miscellaneous Receipts	3,219	3,815	596	18.5%
Federal Receipts	0	0	0	--
Transfers from Other Funds:				
PIT in Excess of Revenue Bond Debt Service	8,822	9,038	216	2.4%
Sales Tax in Excess of LGAC	2,568	2,628	60	2.3%
Sales Tax in Excess of Revenue Bond Debt Service	2,936	2,908	(28)	-1.0%
Real Estate Taxes in Excess of CW/CA Debt Service	705	761	56	7.9%
All Other	891	1,153	262	29.4%
Total Receipts	<u>61,868</u>	<u>62,962</u>	<u>1,094</u>	<u>1.8%</u>
Disbursements:				
Local Assistance Grants	39,940	42,118	2,178	5.5%
Departmental Operations:				
Personal Service	5,563	5,890	327	5.9%
Non-Personal Service	1,746	1,960	214	12.3%
General State Charges	4,899	5,072	173	3.5%
Transfers to Other Funds:				
Debt Service	1,972	1,081	(891)	-45.2%
Capital Projects	1,436	930	(506)	-35.2%
State Share of Mental Hygiene Medicaid	1,576	1,638	62	3.9%
SUNY Operations	971	977	6	0.6%
Other Purposes	3,140	3,476	336	10.7%
Total Disbursements	<u>61,243</u>	<u>63,142</u>	<u>1,899</u>	<u>3.1%</u>
Excess (Deficiency) of Receipts Over Disbursements	<u>625</u>	<u>(180)</u>	<u>(805)</u>	<u>-128.8%</u>
Closing Fund Balance	<u>2,235</u>	<u>2,055</u>	<u>(180)</u>	<u>-8.1%</u>
Statutory Reserves				
Tax Stabilization Reserve Fund	1,131	1,131	0	
Rainy Day Reserve Fund	350	350	0	
Contingency Reserve Fund	21	21	0	
Community Projects Fund	87	0	(87)	
Reserved For				
Prior-Year Labor Agreements (2007-2011)	45	53	8	
Debt Management	500	500	0	
Undesignated Reserve	43	0	(43)	
J.P. Morgan Settlement Proceeds	58	0	(58)	

**CASH FINANCIAL PLAN
GENERAL FUND
FY 2015
(millions of dollars)**

	<u>Executive</u>	<u>Change</u>	<u>Enacted</u>
Receipts:			
Taxes:			
Personal Income Tax	29,669	(297)	29,372
User Taxes and Fees	6,714	(62)	6,652
Business Taxes	5,612	(174)	5,438
Other Taxes	1,192	5	1,197
Miscellaneous Receipts	3,857	(42)	3,815
Federal Receipts	0	0	0
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	9,162	(124)	9,038
Sales Tax in Excess of LGAC	2,640	(12)	2,628
Sales Tax in Excess of Revenue Bond Debt Service	2,918	(10)	2,908
Real Estate Taxes in Excess of CW/CA Debt Service	675	86	761
All Other	1,076	77	1,153
Total Receipts	<u>63,515</u>	<u>(553)</u>	<u>62,962</u>
Disbursements:			
Local Assistance Grants	41,797	321	42,118
Departmental Operations:			
Personal Service	5,880	10	5,890
Non-Personal Service	1,961	(1)	1,960
General State Charges	5,265	(193)	5,072
Transfers to Other Funds:			
Debt Service	1,119	(38)	1,081
Capital Projects	1,439	(509)	930
State Share of Mental Hygiene Medicaid	1,488	150	1,638
SUNY Operations	970	7	977
Other Purposes	3,656	(180)	3,476
Total Disbursements	<u>63,575</u>	<u>(433)</u>	<u>63,142</u>
Use (Reservation) of Fund Balance:			
Community Projects Fund	68	19	87
Prior-Year Labor Agreements (2007-2011)	(8)	0	(8)
Undesignated Reserve	0	43	43
J.P. Morgan Settlement Proceeds	0	58	58
Total Use (Reservation) of Fund Balance	<u>60</u>	<u>120</u>	<u>180</u>
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements	<u>0</u>	<u>0</u>	<u>0</u>

**CASH FINANCIAL PLAN
GENERAL FUND
FY 2016
(millions of dollars)**

	<u>Executive</u>	<u>Change</u>	<u>Enacted</u>
Receipts:			
Taxes:			
Personal Income Tax	31,555	88	31,643
User Taxes and Fees	6,929	(21)	6,908
Business Taxes	5,852	(124)	5,728
Other Taxes	1,054	103	1,157
Miscellaneous Receipts	3,072	(92)	2,980
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	9,556	80	9,636
Sales Tax in Excess of LGAC	2,750	(7)	2,743
Sales Tax in Excess of Revenue Bond Debt Service	2,928	(5)	2,923
Real Estate Taxes in Excess of CW/CA Debt Service	716	110	826
All Other	929	(110)	819
Total Receipts	<u>65,341</u>	<u>22</u>	<u>65,363</u>
Disbursements:			
Local Assistance Grants	43,984	843	44,827
Departmental Operations:			
Personal Service	5,979	7	5,986
Non-Personal Service	2,008	2	2,010
General State Charges	5,433	(111)	5,322
Transfers to Other Funds:			
Debt Service	1,434	(376)	1,058
Capital Projects	1,571	(165)	1,406
State Share of Mental Hygiene Medicaid	1,313	0	1,313
SUNY Operations	969	11	980
Other Purposes	4,184	57	4,241
Total Disbursements	<u>66,875</u>	<u>268</u>	<u>67,143</u>
Use (Reservation) of Fund Balance:			
Prior-Year Labor Agreements (2007-2011)	<u>(11)</u>	<u>0</u>	<u>(11)</u>
Total Use (Reservation) of Fund Balance	<u>(11)</u>	<u>0</u>	<u>(11)</u>
Adherence to 2% State Operating Funds Spending Benchmark	1,699	395	2,094
Net General Fund Surplus (Deficit)	<u>154</u>	<u>149</u>	<u>303</u>

**CASH FINANCIAL PLAN
GENERAL FUND
FY 2017
(millions of dollars)**

	<u>Executive</u>	<u>Change</u>	<u>Enacted</u>
Receipts:			
Taxes:			
Personal Income Tax	33,437	506	33,943
User Taxes and Fees	7,154	(22)	7,132
Business Taxes	5,833	(224)	5,609
Other Taxes	853	209	1,062
Miscellaneous Receipts	2,646	144	2,790
Federal Receipts			
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	10,019	216	10,235
Sales Tax in Excess of LGAC	2,887	(7)	2,880
Sales Tax in Excess of Revenue Bond Debt Service	2,938	(5)	2,933
Real Estate Taxes in Excess of CW/CA Debt Service	772	113	885
All Other	896	(119)	777
Total Receipts	<u>67,435</u>	<u>811</u>	<u>68,246</u>
Disbursements:			
Local Assistance Grants	46,022	1,055	47,077
Departmental Operations:			
Personal Service	5,942	10	5,952
Non-Personal Service	2,002	2	2,004
General State Charges	5,542	(72)	5,470
Transfers to Other Funds:			
Debt Service	1,468	(11)	1,457
Capital Projects	1,932	(171)	1,761
State Share of Mental Hygiene Medicaid	1,281	0	1,281
SUNY Operations	969	11	980
Other Purposes	4,476	56	4,532
Total Disbursements	<u>69,634</u>	<u>880</u>	<u>70,514</u>
Use (Reservation) of Fund Balance:			
Prior-Year Labor Agreements (2007-2011)	(12)	0	(12)
Total Use (Reservation) of Fund Balance	<u>(12)</u>	<u>0</u>	<u>(12)</u>
Adherence to 2% State Operating Funds Spending Benchmark	2,375	1,010	3,385
Net General Fund Surplus (Deficit)	<u>164</u>	<u>941</u>	<u>1,105</u>

**CASH FINANCIAL PLAN
GENERAL FUND
FY 2018
(millions of dollars)**

	<u>Executive</u>	<u>Change</u>	<u>Enacted</u>
Receipts:			
Taxes:			
Personal Income Tax	34,861	869	35,730
User Taxes and Fees	7,396	(23)	7,373
Business Taxes	6,013	(284)	5,729
Other Taxes	604	408	1,012
Miscellaneous Receipts	2,149	66	2,215
Federal Receipts			0
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	10,353	311	10,664
Sales Tax in Excess of LGAC	3,094	(7)	3,087
Sales Tax in Excess of Revenue Bond Debt Service	2,940	(8)	2,932
Real Estate Taxes in Excess of CW/CA Debt Service	846	112	958
All Other	894	(144)	750
Total Receipts	<u>69,150</u>	<u>1,300</u>	<u>70,450</u>
Disbursements:			
Local Assistance Grants	48,364	1,307	49,671
Departmental Operations:			
Personal Service	5,962	13	5,975
Non-Personal Service	2,051	1	2,052
General State Charges	5,655	(72)	5,583
Transfers to Other Funds:			
Debt Service	1,520	(11)	1,509
Capital Projects	2,161	(155)	2,006
State Share of Mental Hygiene Medicaid	1,281	(125)	1,156
SUNY Operations	983	(3)	980
Other Purposes	4,610	335	4,945
Total Disbursements	<u>72,587</u>	<u>1,290</u>	<u>73,877</u>
Use (Reservation) of Fund Balance:			
Prior-Year Labor Agreements (2007-2011)	(11)	0	(11)
Total Use (Reservation) of Fund Balance	<u>(11)</u>	<u>0</u>	<u>(11)</u>
Adherence to 2% State Operating Funds Spending Benchmark	3,622	1,294	4,916
Net General Fund Surplus (Deficit)	<u>174</u>	<u>1,304</u>	<u>1,478</u>

**CASH FINANCIAL PLAN
GENERAL FUND
FY 2014
(millions of dollars)**

	<u>Executive</u>	<u>Change</u>	<u>Results</u>
Opening Fund Balance	1,610	0	1,610
Receipts:			
Taxes:			
Personal Income Tax	28,732	132	28,864
User Taxes and Fees	6,525	36	6,561
Business Taxes	5,988	58	6,046
Other Taxes	1,238	18	1,256
Miscellaneous Receipts	3,251	(32)	3,219
Federal Receipts	2	(2)	0
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	8,790	32	8,822
Sales Tax in Excess of LGAC	2,560	8	2,568
Sales Tax in Excess of Revenue Bond Debt Service	2,927	9	2,936
Real Estate Taxes in Excess of CW/CA Debt Service	626	79	705
All Other	1,014	(123)	891
Total Receipts	<u>61,653</u>	<u>215</u>	<u>61,868</u>
Disbursements:			
Local Assistance Grants	40,383	(443)	39,940
Departmental Operations:			
Personal Service	5,704	(141)	5,563
Non-Personal Service	1,950	(204)	1,746
General State Charges	4,904	(5)	4,899
Transfers to Other Funds:			
Debt Service	1,628	344	1,972
Capital Projects	1,078	358	1,436
State Share of Mental Hygiene Medicaid	1,813	(237)	1,576
SUNY Operations	971	0	971
Other Purposes	3,029	111	3,140
Total Disbursements	<u>61,460</u>	<u>(217)</u>	<u>61,243</u>
Excess (Deficiency) of Receipts Over Disbursements	<u>193</u>	<u>432</u>	<u>625</u>
Closing Fund Balance	<u>1,803</u>	<u>432</u>	<u>2,235</u>
Statutory Reserves			
Tax Stabilization Reserve Fund	1,131	0	1,131
Rainy Day Reserve Fund	175	175	350
Contingency Reserve Fund	21	0	21
Community Projects Fund	68	19	87
Reserved For			
Prior-Year Labor Agreements (2007-2011)	45	0	45
Debt Management	363	137	500
Undesignated Reserve	0	43	43
J.P. Morgan Settlement Proceeds	0	58	58

**CASH FINANCIAL PLAN
GENERAL FUND
FY 2014
(millions of dollars)**

	<u>FY 2014 Enacted</u>	<u>Change</u>	<u>FY 2014 Results</u>
Opening Fund Balance	1,610	0	1,610
Receipts:			
Taxes:			
Personal Income Tax	28,488	376	28,864
User Taxes and Fees	6,548	13	6,561
Business Taxes	6,375	(329)	6,046
Other Taxes	1,069	187	1,256
Miscellaneous Receipts	3,096	123	3,219
Federal Receipts	2	(2)	0
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	8,840	(18)	8,822
Sales Tax in Excess of LGAC	2,545	23	2,568
Sales Tax in Excess of Revenue Bond Debt Service	2,895	41	2,936
Real Estate Taxes in Excess of CW/CA Debt Service	532	173	705
All Other	866	25	891
Total Receipts	<u>61,256</u>	<u>612</u>	<u>61,868</u>
Disbursements:			
Local Assistance Grants	40,258	(318)	39,940
Departmental Operations:			
Personal Service	5,681	(118)	5,563
Non-Personal Service	1,883	(137)	1,746
General State Charges	4,953	(54)	4,899
Transfers to Other Funds:			
Debt Service	1,328	644	1,972
Capital Projects	1,227	209	1,436
State Share of Mental Hygiene Medicaid	1,813	(237)	1,576
SUNY Operations	971	0	971
Other Purposes	3,043	97	3,140
Total Disbursements	<u>61,157</u>	<u>86</u>	<u>61,243</u>
Excess (Deficiency) of Receipts Over Disbursements	<u>99</u>	<u>526</u>	<u>625</u>
Closing Fund Balance	<u>1,709</u>	<u>526</u>	<u>2,235</u>
Statutory Reserves			
Tax Stabilization Reserve Fund	1,131	0	1,131
Rainy Day Reserve Fund	175	175	350
Contingency Reserve Fund	21	0	21
Community Projects Fund	68	19	87
Reserved For			
Prior-Year Labor Agreements (2007-2011)	51	(6)	45
Debt Management	263	237	500
Undesignated Reserve	0	43	43
J.P. Morgan Settlement Proceeds	0	58	58

**CASH FINANCIAL PLAN
GENERAL FUND
ANNUAL CHANGE
(millions of dollars)**

	<u>FY 2013 Results</u>	<u>FY 2014 Results</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
Opening Fund Balance	<u>1,787</u>	<u>1,610</u>	<u>(177)</u>	<u>-9.9%</u>
Receipts:				
Taxes:				
Personal Income Tax	26,884	28,864	1,980	7.4%
User Taxes and Fees	9,112	6,561	(2,551)	-28.0%
Business Taxes	6,253	6,046	(207)	-3.3%
Other Taxes	1,034	1,256	222	21.5%
Miscellaneous Receipts	3,504	3,219	(285)	-8.1%
Federal Receipts	62	0	(62)	-100.0%
Transfers from Other Funds:				
PIT in Excess of Revenue Bond Debt Service	8,328	8,822	494	5.9%
Sales Tax in Excess of LGAC	2,416	2,568	152	6.3%
Sales Tax in Excess of Revenue Bond Debt Service	0	2,936	2,936	--
Real Estate Taxes in Excess of CW/CA Debt Service	541	705	164	30.3%
All Other	649	891	242	37.3%
Total Receipts	<u>58,783</u>	<u>61,868</u>	<u>3,085</u>	<u>5.2%</u>
Disbursements:				
Local Assistance Grants	39,760	39,940	180	0.5%
Departmental Operations:				
Personal Service	6,130	5,563	(567)	-9.2%
Non-Personal Service	1,726	1,746	20	1.2%
General State Charges	4,550	4,899	349	7.7%
Transfers to Other Funds:				
Debt Service	1,647	1,972	325	19.7%
Capital Projects	916	1,436	520	56.8%
State Share of Mental Hygiene Medicaid	2,846	1,576	(1,270)	-44.6%
SUNY Operations	340	971	631	185.6%
Other Purposes	1,045	3,140	2,095	200.5%
Total Disbursements	<u>58,960</u>	<u>61,243</u>	<u>2,283</u>	<u>3.9%</u>
Excess (Deficiency) of Receipts Over Disbursements	<u>(177)</u>	<u>625</u>	<u>802</u>	<u>453.1%</u>
Closing Fund Balance	<u>1,610</u>	<u>2,235</u>	<u>625</u>	<u>38.8%</u>
Statutory Reserves				
Tax Stabilization Reserve Fund	1,131	1,131	0	
Rainy Day Reserve Fund	175	350	175	
Contingency Reserve Fund	21	21	0	
Community Projects Fund	93	87	(6)	
Reserved For				
Prior-Year Labor Agreements (2007-2011)	77	45	(32)	
Debt Management	113	500	387	
Undesignated Fund Balance	0	43	43	
J.P. Morgan Settlement Proceeds	0	58	58	

**CASH RECEIPTS
CURRENT STATE RECEIPTS
GENERAL FUND
FY 2015 THROUGH FY 2018
(millions of dollars)**

	FY 2015 Enacted	FY 2016 Projected	FY 2017 Projected	FY 2018 Projected
Taxes:				
Withholdings	35,149	37,410	39,491	40,905
Estimated Payments	13,418	14,895	16,119	17,058
Final Payments	2,166	2,328	2,530	2,636
Other Payments	1,246	1,293	1,338	1,391
Gross Collections	<u>51,979</u>	<u>55,926</u>	<u>59,478</u>	<u>61,990</u>
State/City Offset	(448)	(448)	(448)	(448)
Refunds	(7,796)	(8,649)	(9,007)	(9,081)
Reported Tax Collections	<u>43,735</u>	<u>46,829</u>	<u>50,023</u>	<u>52,461</u>
STAR (Dedicated Deposits)	(3,429)	(3,478)	(3,574)	(3,616)
RBTF (Dedicated Transfers)	(10,934)	(11,708)	(12,506)	(13,115)
Personal Income Tax	<u>29,372</u>	<u>31,643</u>	<u>33,943</u>	<u>35,730</u>
Sales and Use Tax	12,113	12,554	13,020	13,518
Cigarette and Tobacco Taxes	329	370	356	344
Motor Fuel Tax	0	0	0	0
Alcoholic Beverage Taxes	256	261	266	271
Highway Use Tax	0	0	0	0
Auto Rental Tax	0	0	0	0
Taxicab Surcharge	0	0	0	0
Gross Utility Taxes and Fees	<u>12,698</u>	<u>13,185</u>	<u>13,642</u>	<u>14,133</u>
LGAC/STBF (Dedicated Transfers)	(6,046)	(6,277)	(6,510)	(6,760)
User Taxes and Fees	<u>6,652</u>	<u>6,908</u>	<u>7,132</u>	<u>7,373</u>
Corporation Franchise Tax	2,239	3,750	3,435	3,578
Corporation and Utilities Tax	604	590	604	618
Insurance Taxes	1,375	1,426	1,397	1,371
Bank Tax	1,220	(38)	173	162
Petroleum Business Tax	0	0	0	0
Business Taxes	<u>5,438</u>	<u>5,728</u>	<u>5,609</u>	<u>5,729</u>
Estate Tax	1,179	1,139	1,044	994
Real Estate Transfer Tax	955	1,020	1,079	1,149
Gift Tax	0	0	0	0
Real Property Gains Tax	0	0	0	0
Pari-Mutuel Taxes	17	17	17	17
Other Taxes	1	1	1	1
Gross Other Taxes	<u>2,152</u>	<u>2,177</u>	<u>2,141</u>	<u>2,161</u>
Real Estate Transfer Tax (Dedicated)	(955)	(1,020)	(1,079)	(1,149)
Other Taxes	<u>1,197</u>	<u>1,157</u>	<u>1,062</u>	<u>1,012</u>
Payroll Tax	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Total Taxes	<u>42,659</u>	<u>45,436</u>	<u>47,746</u>	<u>49,844</u>
Licenses, Fees, Etc.	757	758	760	760
Abandoned Property	655	655	655	655
Motor Vehicle Fees	155	155	155	155
ABC License Fee	56	65	61	62
Reimbursements	299	289	279	269
Investment Income	10	10	10	10
Other Transactions	1,883	1,048	870	304
Miscellaneous Receipts	<u>3,815</u>	<u>2,980</u>	<u>2,790</u>	<u>2,215</u>
Federal Receipts	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Total	<u>46,474</u>	<u>48,416</u>	<u>50,536</u>	<u>52,059</u>

**CURRENT STATE RECEIPTS
GENERAL FUND
FY 2014 and FY 2015
(millions of dollars)**

	FY 2014 Results	FY 2015 Enacted	Annual \$ Change	Annual % Change
Taxes:				
Withholdings	33,368	35,149	1,781	5.3%
Estimated Payments	14,637	13,418	(1,219)	-8.3%
Final Payments	2,395	2,166	(229)	-9.6%
Other Payments	1,175	1,246	71	6.0%
Gross Collections	51,575	51,979	404	0.8%
State/City Offset	(615)	(448)	167	27.2%
Refunds	(7,999)	(7,796)	203	2.5%
Reported Tax Collections	42,961	43,735	774	1.8%
STAR (Dedicated Deposits)	(3,357)	(3,429)	(72)	-2.1%
RBTF (Dedicated Transfers)	(10,740)	(10,934)	(194)	-1.8%
Personal Income Tax	28,864	29,372	508	1.8%
Sales and Use Tax	11,786	12,113	327	2.8%
Cigarette and Tobacco Taxes	426	329	(97)	-22.8%
Motor Fuel Tax	0	0	0	--
Alcoholic Beverage Taxes	250	256	6	2.4%
Highway Use Tax	0	0	0	--
Auto Rental Tax	0	0	0	--
Taxicab Surcharge	0	0	0	--
Gross Utility Taxes and Fees	12,462	12,698	236	1.9%
LGAC/STBF (Dedicated Transfers)	(5,901)	(6,046)	(145)	-2.5%
User Taxes and Fees	6,561	6,652	91	1.4%
Corporation Franchise Tax	3,245	2,239	(1,006)	-31.0%
Corporation and Utilities Tax	615	604	(11)	-1.8%
Insurance Taxes	1,298	1,375	77	5.9%
Bank Tax	888	1,220	332	37.4%
Petroleum Business Tax	0	0	0	--
Business Taxes	6,046	5,438	(608)	-10.1%
Estate Tax	1,238	1,179	(59)	-4.8%
Real Estate Transfer Tax	911	955	44	4.8%
Gift Tax	0	0	0	--
Real Property Gains Tax	0	0	0	--
Pari-Mutuel Taxes	17	17	0	0.0%
Other Taxes	1	1	0	0.0%
Gross Other Taxes	2,167	2,152	(15)	-0.7%
Real Estate Transfer Tax (Dedicated)	(911)	(955)	(44)	-4.8%
Other Taxes	1,256	1,197	(59)	-4.7%
Payroll Tax	0	0	0	--
Total Taxes	42,727	42,659	(68)	-0.2%
Licenses, Fees, Etc.	622	757	135	21.7%
Abandoned Property	533	655	122	22.9%
Motor Vehicle Fees	2	155	153	7650.0%
ABC License Fee	64	56	(8)	-12.5%
Reimbursements	281	299	18	6.4%
Investment Income	1	10	9	900.0%
Other Transactions	1,716	1,883	167	9.7%
Miscellaneous Receipts	3,219	3,815	596	18.5%
Federal Receipts	0	0	0	--
Total	45,946	46,474	528	1.1%

CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2013
(millions of dollars)

	General Fund	State Special Revenue Funds	Debt Service Funds	State Operating Funds Total
Opening Fund Balance	1,787	1,633	427	3,847
Receipts:				
Taxes	43,283	8,146	13,503	64,932
Miscellaneous Receipts	3,504	15,584	913	20,001
Federal Receipts	62	0	79	141
Total Receipts	<u>46,849</u>	<u>23,730</u>	<u>14,495</u>	<u>85,074</u>
Disbursements:				
Local Assistance Grants	39,760	18,818	0	58,578
Departmental Operations:				
Personal Service	6,130	6,273	0	12,403
Non-Personal Service	1,726	3,510	44	5,280
General State Charges	4,550	1,887	0	6,437
Debt Service	0	0	6,138	6,138
Capital Projects	0	8	0	8
Total Disbursements	<u>52,166</u>	<u>30,496</u>	<u>6,182</u>	<u>88,844</u>
Other Financing Sources (Uses):				
Transfers from Other Funds	11,934	8,149	6,319	26,402
Transfers to Other Funds	(6,794)	(646)	(14,680)	(22,120)
Bond and Note Proceeds	0	0	0	0
Net Other Financing Sources (Uses)	<u>5,140</u>	<u>7,503</u>	<u>(8,361)</u>	<u>4,282</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>(177)</u>	<u>737</u>	<u>(48)</u>	<u>512</u>
Closing Fund Balance	<u><u>1,610</u></u>	<u><u>2,370</u></u>	<u><u>379</u></u>	<u><u>4,359</u></u>

**CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2014
(millions of dollars)**

	General Fund	State Special Revenue Funds	Debt Service Funds	State Operating Funds Total
Opening Fund Balance	1,610	2,370	379	4,359
Receipts:				
Taxes	42,727	8,175	17,433	68,335
Miscellaneous Receipts	3,219	16,603	699	20,521
Federal Receipts	0	0	71	71
Total Receipts	<u>45,946</u>	<u>24,778</u>	<u>18,203</u>	<u>88,927</u>
Disbursements:				
Local Assistance Grants	39,940	19,466	0	59,406
Departmental Operations:				
Personal Service	5,563	6,737	0	12,300
Non-Personal Service	1,746	3,781	37	5,564
General State Charges	4,899	2,059	0	6,958
Debt Service	0	0	6,400	6,400
Capital Projects	0	3	0	3
Total Disbursements	<u>52,148</u>	<u>32,046</u>	<u>6,437</u>	<u>90,631</u>
Other Financing Sources (Uses):				
Transfers from Other Funds	15,922	8,350	5,211	29,483
Transfers to Other Funds	(9,095)	(1,132)	(17,122)	(27,349)
Bond and Note Proceeds	0	0	0	0
Net Other Financing Sources (Uses)	<u>6,827</u>	<u>7,218</u>	<u>(11,911)</u>	<u>2,134</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>625</u>	<u>(50)</u>	<u>(145)</u>	<u>430</u>
Closing Fund Balance	<u><u>2,235</u></u>	<u><u>2,320</u></u>	<u><u>234</u></u>	<u><u>4,789</u></u>

**CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2015
(millions of dollars)**

	<u>General Fund</u>	<u>State Special Revenue Funds</u>	<u>Debt Service Funds</u>	<u>State Operating Funds Total</u>
Opening Fund Balance	2,235	2,489	65	4,789
Receipts:				
Taxes	42,659	8,351	17,816	68,826
Miscellaneous Receipts	3,815	16,003	460	20,278
Federal Receipts	0	1	73	74
Total Receipts	<u>46,474</u>	<u>24,355</u>	<u>18,349</u>	<u>89,178</u>
Disbursements:				
Local Assistance Grants	42,118	19,063	0	61,181
Departmental Operations:				
Personal Service	5,890	6,703	0	12,593
Non-Personal Service	1,960	3,603	43	5,606
General State Charges	5,072	2,134	0	7,206
Debt Service	0	0	5,648	5,648
Capital Projects	0	0	0	0
Total Disbursements	<u>55,040</u>	<u>31,503</u>	<u>5,691</u>	<u>92,234</u>
Other Financing Sources (Uses):				
Transfers from Other Funds	16,488	8,104	4,467	29,059
Transfers to Other Funds	(8,102)	(998)	(17,132)	(26,232)
Bond and Note Proceeds	0	0	0	0
Net Other Financing Sources (Uses)	<u>8,386</u>	<u>7,106</u>	<u>(12,665)</u>	<u>2,827</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>(180)</u>	<u>(42)</u>	<u>(7)</u>	<u>(229)</u>
Closing Fund Balance	<u>2,055</u>	<u>2,447</u>	<u>58</u>	<u>4,560</u>

**CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2016
(millions of dollars)**

	<u>General Fund</u>	<u>State Special Revenue Funds</u>	<u>Debt Service Funds</u>	<u>State Operating Funds Total</u>
Receipts:				
Taxes	45,436	8,522	18,886	72,844
Miscellaneous Receipts	2,980	16,145	433	19,558
Federal Receipts	0	1	73	74
Total Receipts	<u>48,416</u>	<u>24,668</u>	<u>19,392</u>	<u>92,476</u>
Disbursements:				
Local Assistance Grants	44,827	19,314	0	64,141
Departmental Operations:				
Personal Service	5,986	6,845	0	12,831
Non-Personal Service	2,010	3,726	43	5,779
General State Charges	5,322	2,191	0	7,513
Debt Service	0	0	5,908	5,908
Capital Projects	0	1	0	1
Total Disbursements	<u>58,145</u>	<u>32,077</u>	<u>5,951</u>	<u>96,173</u>
Other Financing Sources (Uses):				
Transfers from Other Funds	16,947	8,177	4,104	29,228
Transfers to Other Funds	(8,998)	(754)	(17,532)	(27,284)
Bond and Note Proceeds	0	0	0	0
Net Other Financing Sources (Uses)	<u>7,949</u>	<u>7,423</u>	<u>(13,428)</u>	<u>1,944</u>
Use (Reservation) of Fund Balance:				
Prior-Year Labor Agreements (2007-2011)	(11)	0	0	(11)
Total Use (Reservation) of Fund Balance	<u>(11)</u>	<u>0</u>	<u>0</u>	<u>(11)</u>
Adherence to 2% State Operating Funds Spending Benchmark	2,094	0	0	2,094
Net Surplus (Deficit)	<u>303</u>	<u>14</u>	<u>13</u>	<u>330</u>

**CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2017
(millions of dollars)**

	<u>General Fund</u>	<u>State Special Revenue Funds</u>	<u>Debt Service Funds</u>	<u>State Operating Funds Total</u>
Receipts:				
Taxes	47,746	8,732	19,976	76,454
Miscellaneous Receipts	2,790	16,057	404	19,251
Federal Receipts	0	1	73	74
Total Receipts	<u>50,536</u>	<u>24,790</u>	<u>20,453</u>	<u>95,779</u>
Disbursements:				
Local Assistance Grants	47,077	19,273	0	66,350
Departmental Operations:				
Personal Service	5,952	6,856	0	12,808
Non-Personal Service	2,004	3,750	43	5,797
General State Charges	5,470	2,237	0	7,707
Debt Service	0	0	6,682	6,682
Capital Projects	0	2	0	2
Total Disbursements	<u>60,503</u>	<u>32,118</u>	<u>6,725</u>	<u>99,346</u>
Other Financing Sources (Uses):				
Transfers from Other Funds	17,710	8,270	4,454	30,434
Transfers to Other Funds	(10,011)	(709)	(18,167)	(28,887)
Bond and Note Proceeds	0	0	0	0
Net Other Financing Sources (Uses)	<u>7,699</u>	<u>7,561</u>	<u>(13,713)</u>	<u>1,547</u>
Use (Reservation) of Fund Balance:				
Prior-Year Labor Agreements (2007-2011)	(12)	0	0	(12)
Total Use (Reservation) of Fund Balance	<u>(12)</u>	<u>0</u>	<u>0</u>	<u>(12)</u>
Adherence to 2% State Operating Funds Spending Benchmark	3,385	0	0	3,385
Net Surplus (Deficit)	<u>1,105</u>	<u>233</u>	<u>15</u>	<u>1,353</u>

**CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2018
(millions of dollars)**

	General Fund	State Special Revenue Funds	Debt Service Funds	State Operating Funds Total
Receipts:				
Taxes	49,844	8,892	20,905	79,641
Miscellaneous Receipts	2,215	16,121	399	18,735
Federal Receipts	0	1	73	74
Total Receipts	<u>52,059</u>	<u>25,014</u>	<u>21,377</u>	<u>98,450</u>
Disbursements:				
Local Assistance Grants	49,671	19,574	0	69,245
Departmental Operations:				
Personal Service	5,975	6,881	0	12,856
Non-Personal Service	2,052	3,733	43	5,828
General State Charges	5,583	2,271	0	7,854
Debt Service	0	0	7,011	7,011
Capital Projects	0	2	0	2
Total Disbursements	<u>63,281</u>	<u>32,461</u>	<u>7,054</u>	<u>102,796</u>
Other Financing Sources (Uses):				
Transfers from Other Funds	18,391	8,391	4,417	31,199
Transfers to Other Funds	(10,596)	(630)	(18,710)	(29,936)
Bond and Note Proceeds	0	0	0	0
Net Other Financing Sources (Uses)	<u>7,795</u>	<u>7,761</u>	<u>(14,293)</u>	<u>1,263</u>
Use (Reservation) of Fund Balance:				
Prior-Year Labor Agreements (2007-2011)	(11)	0	0	(11)
Total Use (Reservation) of Fund Balance	<u>(11)</u>	<u>0</u>	<u>0</u>	<u>(11)</u>
Adherence to 2% State Operating Funds Spending Benchmark	4,916	0	0	4,916
Net Surplus (Deficit)	<u>1,478</u>	<u>314</u>	<u>30</u>	<u>1,822</u>

**CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2014 and FY 2015
(millions of dollars)**

	<u>FY 2014 Results</u>	<u>FY 2015 Enacted</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
Opening Fund Balance	4,359	4,789	430	9.9%
Receipts:				
Taxes	68,335	68,826	491	0.7%
Miscellaneous Receipts	20,521	20,278	(243)	-1.2%
Federal Receipts	71	74	3	4.2%
Total Receipts	<u>88,927</u>	<u>89,178</u>	<u>251</u>	<u>0.3%</u>
Disbursements:				
Local Assistance Grants	59,406	61,181	1,775	3.0%
Departmental Operations:				
Personal Service	12,300	12,593	293	2.4%
Non-Personal Service	5,564	5,606	42	0.8%
General State Charges	6,958	7,206	248	3.6%
Debt Service	6,400	5,648	(752)	-11.8%
Capital Projects	3	0	(3)	-100.0%
Total Disbursements	<u>90,631</u>	<u>92,234</u>	<u>1,603</u>	<u>1.8%</u>
Other Financing Sources (Uses):				
Transfers from Other Funds	29,483	29,059	(424)	-1.4%
Transfers to Other Funds	(27,349)	(26,232)	1,117	4.1%
Bond and Note Proceeds	0	0	0	--
Net Other Financing Sources (Uses)	<u>2,134</u>	<u>2,827</u>	<u>693</u>	<u>32.5%</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>430</u>	<u>(229)</u>	<u>(659)</u>	<u>-153.3%</u>
Closing Fund Balance	<u><u>4,789</u></u>	<u><u>4,560</u></u>	<u><u>(229)</u></u>	<u><u>-4.8%</u></u>

**CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2014
(millions of dollars)**

	<u>Executive</u>	<u>Change</u>	<u>Results</u>
Opening Fund Balance	4,359	0	4,359
Receipts:			
Taxes	68,016	319	68,335
Miscellaneous Receipts	19,476	1,045	20,521
Federal Receipts	75	(4)	71
Total Receipts	<u>87,567</u>	<u>1,360</u>	<u>88,927</u>
Disbursements:			
Local Assistance Grants	59,495	(89)	59,406
Departmental Operations:			
Personal Service	12,376	(76)	12,300
Non-Personal Service	5,579	(15)	5,564
General State Charges	6,976	(18)	6,958
Debt Service	6,061	339	6,400
Capital Projects	11	(8)	3
Total Disbursements	<u>90,498</u>	<u>133</u>	<u>90,631</u>
Other Financing Sources (Uses):			
Transfers from Other Funds	29,728	(245)	29,483
Transfers to Other Funds	(26,805)	(544)	(27,349)
Bond and Note Proceeds	0	0	0
Net Other Financing Sources (Uses)	<u>2,923</u>	<u>(789)</u>	<u>2,134</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>(8)</u>	<u>438</u>	<u>430</u>
Closing Fund Balance	<u>4,351</u>	<u>438</u>	<u>4,789</u>

**CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2014
(millions of dollars)**

	FY 2014 Enacted	Change	Results
Opening Fund Balance	4,360	(1)	4,359
Receipts:			
Taxes	67,951	384	68,335
Miscellaneous Receipts	19,224	1,297	20,521
Federal Receipts	75	(4)	71
Total Receipts	<u>87,250</u>	<u>1,677</u>	<u>88,927</u>
Disbursements:			
Local Assistance Grants	59,564	(158)	59,406
Departmental Operations:			
Personal Service	12,357	(57)	12,300
Non-Personal Service	5,467	97	5,564
General State Charges	7,089	(131)	6,958
Debt Service	5,743	657	6,400
Capital Projects	5	(2)	3
Total Disbursements	<u>90,225</u>	<u>406</u>	<u>90,631</u>
Other Financing Sources (Uses):			
Transfers from Other Funds	28,169	1,314	29,483
Transfers to Other Funds	(25,284)	(2,065)	(27,349)
Bond and Note Proceeds	0	0	0
Net Other Financing Sources (Uses)	<u>2,885</u>	<u>(751)</u>	<u>2,134</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>(90)</u>	<u>520</u>	<u>430</u>
Closing Fund Balance	<u><u>4,270</u></u>	<u><u>519</u></u>	<u><u>4,789</u></u>

**CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2013
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>All Funds Total</u>
Opening Fund Balance	1,787	1,595	(449)	427	3,360
Receipts:					
Taxes	43,283	8,146	1,370	13,503	66,302
Miscellaneous Receipts	3,504	15,757	3,857	913	24,031
Federal Receipts	62	40,576	2,126	79	42,843
Total Receipts	<u>46,849</u>	<u>64,479</u>	<u>7,353</u>	<u>14,495</u>	<u>133,176</u>
Disbursements:					
Local Assistance Grants	39,760	53,794	1,875	0	95,429
Departmental Operations:					
Personal Service	6,130	6,882	0	0	13,012
Non-Personal Service	1,726	4,399	0	44	6,169
General State Charges	4,550	2,126	0	0	6,676
Debt Service	0	0	0	6,138	6,138
Capital Projects	0	8	5,665	0	5,673
Total Disbursements	<u>52,166</u>	<u>67,209</u>	<u>7,540</u>	<u>6,182</u>	<u>133,097</u>
Other Financing Sources (Uses):					
Transfers from Other Funds	11,934	7,478	1,172	6,319	26,903
Transfers to Other Funds	(6,794)	(3,970)	(1,456)	(14,680)	(26,900)
Bond and Note Proceeds	0	0	434	0	434
Net Other Financing Sources (Uses)	<u>5,140</u>	<u>3,508</u>	<u>150</u>	<u>(8,361)</u>	<u>437</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>(177)</u>	<u>778</u>	<u>(37)</u>	<u>(48)</u>	<u>516</u>
Closing Fund Balance	<u>1,610</u>	<u>2,373</u>	<u>(486)</u>	<u>379</u>	<u>3,876</u>

**CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2014
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>All Funds Total</u>
Opening Fund Balance	1,610	2,373	(486)	379	3,876
Receipts:					
Taxes	42,727	8,175	1,355	17,433	69,690
Miscellaneous Receipts	3,219	16,776	3,540	699	24,234
Federal Receipts	0	41,405	2,313	71	43,789
Total Receipts	<u>45,946</u>	<u>66,356</u>	<u>7,208</u>	<u>18,203</u>	<u>137,713</u>
Disbursements:					
Local Assistance Grants	39,940	56,391	2,242	0	98,573
Departmental Operations:					
Personal Service	5,563	7,394	0	0	12,957
Non-Personal Service	1,746	5,021	0	37	6,804
General State Charges	4,899	2,381	0	0	7,280
Debt Service	0	0	0	6,400	6,400
Capital Projects	0	3	5,509	0	5,512
Total Disbursements	<u>52,148</u>	<u>71,190</u>	<u>7,751</u>	<u>6,437</u>	<u>137,526</u>
Other Financing Sources (Uses):					
Transfers from Other Funds	15,922	7,644	1,817	5,211	30,594
Transfers to Other Funds	(9,095)	(2,988)	(1,417)	(17,122)	(30,622)
Bond and Note Proceeds	0	0	0	0	0
Net Other Financing Sources (Uses)	<u>6,827</u>	<u>4,656</u>	<u>400</u>	<u>(11,911)</u>	<u>(28)</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>625</u>	<u>(178)</u>	<u>(143)</u>	<u>(145)</u>	<u>159</u>
Closing Fund Balance	<u>2,235</u>	<u>2,195</u>	<u>(629)</u>	<u>234</u>	<u>4,035</u>

**CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2015
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>All Funds Total</u>
Opening Fund Balance	2,235	2,364	(629)	65	4,035
Receipts:					
Taxes	42,659	8,351	1,362	17,816	70,188
Miscellaneous Receipts	3,815	16,189	5,208	460	25,672
Federal Receipts	0	43,654	2,062	73	45,789
Total Receipts	<u>46,474</u>	<u>68,194</u>	<u>8,632</u>	<u>18,349</u>	<u>141,649</u>
Disbursements:					
Local Assistance Grants	42,118	58,138	2,474	0	102,730
Departmental Operations:					
Personal Service	5,890	7,365	0	0	13,255
Non-Personal Service	1,960	4,822	0	43	6,825
General State Charges	5,072	2,443	0	0	7,515
Debt Service	0	0	0	5,648	5,648
Capital Projects	0	0	5,991	0	5,991
Total Disbursements	<u>55,040</u>	<u>72,768</u>	<u>8,465</u>	<u>5,691</u>	<u>141,964</u>
Other Financing Sources (Uses):					
Transfers from Other Funds	16,488	7,719	1,048	4,467	29,722
Transfers to Other Funds	(8,102)	(3,060)	(1,509)	(17,132)	(29,803)
Bond and Note Proceeds	0	0	306	0	306
Net Other Financing Sources (Uses)	<u>8,386</u>	<u>4,659</u>	<u>(155)</u>	<u>(12,665)</u>	<u>225</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>(180)</u>	<u>85</u>	<u>12</u>	<u>(7)</u>	<u>(90)</u>
Closing Fund Balance	<u>2,055</u>	<u>2,449</u>	<u>(617)</u>	<u>58</u>	<u>3,945</u>

**CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2016
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>All Funds Total</u>
Receipts:					
Taxes	45,436	8,522	1,349	18,886	74,193
Miscellaneous Receipts	2,980	16,331	5,470	433	25,214
Federal Receipts	0	44,776	1,685	73	46,534
Total Receipts	<u>48,416</u>	<u>69,629</u>	<u>8,504</u>	<u>19,392</u>	<u>145,941</u>
Disbursements:					
Local Assistance Grants	44,827	60,238	2,635	0	107,700
Departmental Operations:					
Personal Service	5,986	7,528	0	0	13,514
Non-Personal Service	2,010	4,738	0	43	6,791
General State Charges	5,322	2,518	0	0	7,840
Debt Service	0	0	0	5,908	5,908
Capital Projects	0	1	7,115	0	7,116
Total Disbursements	<u>58,145</u>	<u>75,023</u>	<u>9,750</u>	<u>5,951</u>	<u>148,869</u>
Other Financing Sources (Uses):					
Transfers from Other Funds	16,947	7,834	1,545	4,104	30,430
Transfers to Other Funds	(8,998)	(2,425)	(1,508)	(17,532)	(30,463)
Bond and Note Proceeds	0	0	1,120	0	1,120
Net Other Financing Sources (Uses)	<u>7,949</u>	<u>5,409</u>	<u>1,157</u>	<u>(13,428)</u>	<u>1,087</u>
Use (Reservation) of Fund Balance:					
Prior-Year Labor Agreements (2007-2011)	(11)	0	0	0	(11)
Total Use (Reservation) of Fund Balance	<u>(11)</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>(11)</u>
Adherence to 2% State Operating Funds Spending Benchmark	2,094	0	0	0	2,094
Net Surplus (Deficit)	<u>303</u>	<u>15</u>	<u>(89)</u>	<u>13</u>	<u>242</u>

**CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2017
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>All Funds Total</u>
Receipts:					
Taxes	47,746	8,732	1,345	19,976	77,799
Miscellaneous Receipts	2,790	16,243	4,937	404	24,374
Federal Receipts	0	46,569	1,641	73	48,283
Total Receipts	<u>50,536</u>	<u>71,544</u>	<u>7,923</u>	<u>20,453</u>	<u>150,456</u>
Disbursements:					
Local Assistance Grants	47,077	62,268	2,224	0	111,569
Departmental Operations:					
Personal Service	5,952	7,549	0	0	13,501
Non-Personal Service	2,004	4,578	0	43	6,625
General State Charges	5,470	2,567	0	0	8,037
Debt Service	0	0	0	6,682	6,682
Capital Projects	0	2	6,366	0	6,368
Total Disbursements	<u>60,503</u>	<u>76,964</u>	<u>8,590</u>	<u>6,725</u>	<u>152,782</u>
Other Financing Sources (Uses):					
Transfers from Other Funds	17,710	7,927	1,896	4,454	31,987
Transfers to Other Funds	(10,011)	(2,274)	(1,567)	(18,167)	(32,019)
Bond and Note Proceeds	0	0	415	0	415
Net Other Financing Sources (Uses)	<u>7,699</u>	<u>5,653</u>	<u>744</u>	<u>(13,713)</u>	<u>383</u>
Use (Reservation) of Fund Balance:					
Prior-Year Labor Agreements (2007-2011)	(12)	0	0	0	(12)
Total Use (Reservation) of Fund Balance	<u>(12)</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>(12)</u>
Adherence to 2% State Operating Funds Spending Benchmark	3,385	0	0	0	3,385
Net Surplus (Deficit)	<u>1,105</u>	<u>233</u>	<u>77</u>	<u>15</u>	<u>1,430</u>

**CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2018
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>All Funds Total</u>
Receipts:					
Taxes	49,844	8,892	1,349	20,905	80,990
Miscellaneous Receipts	2,215	16,307	4,257	399	23,178
Federal Receipts	0	48,005	1,672	73	49,750
Total Receipts	<u>52,059</u>	<u>73,204</u>	<u>7,278</u>	<u>21,377</u>	<u>153,918</u>
Disbursements:					
Local Assistance Grants	49,671	64,124	1,990	0	115,785
Departmental Operations:					
Personal Service	5,975	7,578	0	0	13,553
Non-Personal Service	2,052	4,570	0	43	6,665
General State Charges	5,583	2,604	0	0	8,187
Debt Service	0	0	0	7,011	7,011
Capital Projects	0	2	6,146	0	6,148
Total Disbursements	<u>63,281</u>	<u>78,878</u>	<u>8,136</u>	<u>7,054</u>	<u>157,349</u>
Other Financing Sources (Uses):					
Transfers from Other Funds	18,391	8,391	2,070	4,417	33,269
Transfers to Other Funds	(10,596)	(2,403)	(1,617)	(18,710)	(33,326)
Bond and Note Proceeds	0	0	392	0	392
Net Other Financing Sources (Uses)	<u>7,795</u>	<u>5,988</u>	<u>845</u>	<u>(14,293)</u>	<u>335</u>
Use (Reservation) of Fund Balance:					
Prior-Year Labor Agreements (2007-2011)	(11)	0	0	0	(11)
Total Use (Reservation) of Fund Balance	<u>(11)</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>(11)</u>
Adherence to 2% State Operating Funds Spending Benchmark	4,916	0	0	0	4,916
Net Surplus (Deficit)	<u>1,478</u>	<u>314</u>	<u>(13)</u>	<u>30</u>	<u>1,809</u>

**CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2014 and FY 2015
(millions of dollars)**

	<u>FY 2014 Results</u>	<u>FY 2015 Enacted</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
Opening Fund Balance	3,876	4,035	159	4.1%
Receipts:				
Taxes	69,690	70,188	498	0.7%
Miscellaneous Receipts	24,234	25,672	1,438	5.9%
Federal Receipts	43,789	45,789	2,000	4.6%
Total Receipts	<u>137,713</u>	<u>141,649</u>	<u>3,936</u>	<u>2.9%</u>
Disbursements:				
Local Assistance Grants	98,573	102,730	4,157	4.2%
Departmental Operations:				
Personal Service	12,957	13,255	298	2.3%
Non-Personal Service	6,804	6,825	21	0.3%
General State Charges	7,280	7,515	235	3.2%
Debt Service	6,400	5,648	(752)	-11.8%
Capital Projects	5,512	5,991	479	8.7%
Total Disbursements	<u>137,526</u>	<u>141,964</u>	<u>4,438</u>	<u>3.2%</u>
Other Financing Sources (Uses):				
Transfers from Other Funds	30,594	29,722	(872)	-2.9%
Transfers to Other Funds	(30,622)	(29,803)	819	2.7%
Bond and Note Proceeds	0	306	306	--
Net Other Financing Sources (Uses)	<u>(28)</u>	<u>225</u>	<u>253</u>	<u>903.6%</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>159</u>	<u>(90)</u>	<u>(249)</u>	<u>-156.6%</u>
Closing Fund Balance	<u><u>4,035</u></u>	<u><u>3,945</u></u>	<u><u>(90)</u></u>	<u><u>-2.2%</u></u>

**CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2014
(millions of dollars)**

	<u>Executive</u>	<u>Change</u>	<u>Results</u>
Opening Fund Balance	<u>3,877</u>	<u>(1)</u>	<u>3,876</u>
Receipts:			
Taxes	69,414	276	69,690
Miscellaneous Receipts	23,850	384	24,234
Federal Receipts	47,506	(3,717)	43,789
Total Receipts	<u>140,770</u>	<u>(3,057)</u>	<u>137,713</u>
Disbursements:			
Local Assistance Grants	101,936	(3,363)	98,573
Departmental Operations:			
Personal Service	13,035	(78)	12,957
Non-Personal Service	6,635	169	6,804
General State Charges	7,302	(22)	7,280
Debt Service	6,061	339	6,400
Capital Projects	5,896	(384)	5,512
Total Disbursements	<u>140,865</u>	<u>(3,339)</u>	<u>137,526</u>
Other Financing Sources (Uses):			
Transfers from Other Funds	30,377	217	30,594
Transfers to Other Funds	(30,434)	(188)	(30,622)
Bond and Note Proceeds	338	(338)	0
Net Other Financing Sources (Uses)	<u>281</u>	<u>(309)</u>	<u>(28)</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>186</u>	<u>(27)</u>	<u>159</u>
Closing Fund Balance	<u><u>4,063</u></u>	<u><u>(28)</u></u>	<u><u>4,035</u></u>

**CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2014
(millions of dollars)**

	<u>FY 2014 Enacted</u>	<u>Change</u>	<u>Results</u>
Opening Fund Balance	<u>3,879</u>	<u>(3)</u>	<u>3,876</u>
Receipts:			
Taxes	69,351	339	69,690
Miscellaneous Receipts	23,621	613	24,234
Federal Receipts	47,433	(3,644)	43,789
Total Receipts	<u>140,405</u>	<u>(2,692)</u>	<u>137,713</u>
Disbursements:			
Local Assistance Grants	101,944	(3,371)	98,573
Departmental Operations:			
Personal Service	13,010	(53)	12,957
Non-Personal Service	6,538	266	6,804
General State Charges	7,407	(127)	7,280
Debt Service	5,743	657	6,400
Capital Projects	5,897	(385)	5,512
Total Disbursements	<u>140,539</u>	<u>(3,013)</u>	<u>137,526</u>
Other Financing Sources (Uses):			
Transfers from Other Funds	29,727	867	30,594
Transfers to Other Funds	(29,794)	(828)	(30,622)
Bond and Note Proceeds	338	(338)	0
Net Other Financing Sources (Uses)	<u>271</u>	<u>(299)</u>	<u>(28)</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>137</u>	<u>22</u>	<u>159</u>
Closing Fund Balance	<u><u>4,016</u></u>	<u><u>19</u></u>	<u><u>4,035</u></u>

CASH RECEIPTS
ALL GOVERNMENTAL FUNDS
FY 2014
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	Total
Taxes:					
Withholdings	33,368	0	0	0	33,368
Estimated Payments	14,637	0	0	0	14,637
Final Payments	2,395	0	0	0	2,395
Other Payments	1,175	0	0	0	1,175
Gross Collections	51,575	0	0	0	51,575
State/City Offset	(615)	0	0	0	(615)
Refunds	(7,999)	0	0	0	(7,999)
Reported Tax Collections	42,961	0	0	0	42,961
STAR (Dedicated Deposits)	(3,357)	3,357	0	0	0
RBTF (Dedicated Transfers)	(10,740)	0	0	10,740	0
Personal Income Tax	28,864	3,357	0	10,740	42,961
Sales and Use Tax	11,786	802	0	0	12,588
Cigarette and Tobacco Taxes	426	1,027	0	0	1,453
Motor Fuel Tax	0	99	374	0	473
Alcoholic Beverage Taxes	250	0	0	0	250
Highway Use Tax	0	0	136	0	136
Auto Rental Tax	0	43	71	0	114
Taxicab Surcharge	0	85	0	0	85
Gross Utility Taxes and Fees	12,462	2,056	581	0	15,099
LGAC/STBF (Dedicated Transfers)	(5,901)	0	0	5,901	0
User Taxes and Fees	6,561	2,056	581	5,901	15,099
Corporation Franchise Tax	3,245	567	0	0	3,812
Corporation and Utilities Tax	615	169	14	0	798
Insurance Taxes	1,298	146	0	0	1,444
Bank Tax	888	162	0	0	1,050
Petroleum Business Tax	0	514	641	0	1,155
Business Taxes	6,046	1,558	655	0	8,259
Estate Tax	1,238	0	0	0	1,238
Real Estate Transfer Tax	911	0	0	0	911
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	17	0	0	0	17
Other Taxes	1	0	0	0	1
Gross Other Taxes	2,167	0	0	0	2,167
Real Estate Transfer Tax (Dedicated)	(911)	0	119	792	0
Other Taxes	1,256	0	119	792	2,167
Payroll Tax	0	1,204	0	0	1,204
Total Taxes	42,727	8,175	1,355	17,433	69,690
Licenses, Fees, Etc.	622	0	0	0	622
Abandoned Property	533	0	0	0	533
Motor Vehicle Fees	2	485	785	0	1,272
ABC License Fee	64	0	0	0	64
Reimbursements	281	0	0	0	281
Investment Income	1	0	0	0	1
Other Transactions	1,716	16,291	2,755	699	21,461
Miscellaneous Receipts	3,219	16,776	3,540	699	24,234
Federal Receipts	0	41,405	2,313	71	43,789
Total	45,946	66,356	7,208	18,203	137,713

CASH RECEIPTS
ALL GOVERNMENTAL FUNDS
FY 2015
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	Total
Taxes:					
Withholdings	35,149	0	0	0	35,149
Estimated Payments	13,418	0	0	0	13,418
Final Payments	2,166	0	0	0	2,166
Other Payments	1,246	0	0	0	1,246
Gross Collections	51,979	0	0	0	51,979
State/City Offset	(448)	0	0	0	(448)
Refunds	(7,796)	0	0	0	(7,796)
Reported Tax Collections	43,735	0	0	0	43,735
STAR (Dedicated Deposits)	(3,429)	3,429	0	0	0
RBTF (Dedicated Transfers)	(10,934)	0	0	10,934	0
Personal Income Tax	29,372	3,429	0	10,934	43,735
Sales and Use Tax	12,113	854	0	0	12,967
Cigarette and Tobacco Taxes	329	970	0	0	1,299
Motor Fuel Tax	0	102	385	0	487
Alcoholic Beverage Taxes	256	0	0	0	256
Highway Use Tax	0	0	136	0	136
Auto Rental Tax	0	45	74	0	119
Taxicab Surcharge	0	100	0	0	100
Gross Utility Taxes and Fees	12,698	2,071	595	0	15,364
LGAC/STBF (Dedicated Transfers)	(6,046)	0	0	6,046	0
User Taxes and Fees	6,652	2,071	595	6,046	15,364
Corporation Franchise Tax	2,239	559	0	0	2,798
Corporation and Utilities Tax	604	171	15	0	790
Insurance Taxes	1,375	159	0	0	1,534
Bank Tax	1,220	189	0	0	1,409
Petroleum Business Tax	0	507	633	0	1,140
Business Taxes	5,438	1,585	648	0	7,671
Estate Tax	1,179	0	0	0	1,179
Real Estate Transfer Tax	955	0	0	0	955
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	17	0	0	0	17
Other Taxes	1	0	0	0	1
Gross Other Taxes	2,152	0	0	0	2,152
Real Estate Transfer Tax (Dedicated)	(955)	0	119	836	0
Other Taxes	1,197	0	119	836	2,152
Payroll Tax	0	1,266	0	0	1,266
Total Taxes	42,659	8,351	1,362	17,816	70,188
Licenses, Fees, Etc.	757	0	0	0	757
Abandoned Property	655	0	0	0	655
Motor Vehicle Fees	155	411	734	0	1,300
ABC License Fee	56	0	0	0	56
Reimbursements	299	0	0	0	299
Investment Income	10	0	0	0	10
Other Transactions	1,883	15,778	4,474	460	22,595
Miscellaneous Receipts	3,815	16,189	5,208	460	25,672
Federal Receipts	0	43,654	2,062	73	45,789
Total	46,474	68,194	8,632	18,349	141,649

CASH RECEIPTS
ALL GOVERNMENTAL FUNDS
FY 2016
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	Total
Taxes:					
Withholdings	37,410	0	0	0	37,410
Estimated Payments	14,895	0	0	0	14,895
Final Payments	2,328	0	0	0	2,328
Other Payments	1,293	0	0	0	1,293
Gross Collections	55,926	0	0	0	55,926
State/City Offset	(448)	0	0	0	(448)
Refunds	(8,649)	0	0	0	(8,649)
Reported Tax Collections	46,829	0	0	0	46,829
STAR (Dedicated Deposits)	(3,478)	3,478	0	0	0
RBTF (Dedicated Transfers)	(11,708)	0	0	11,708	0
Personal Income Tax	31,643	3,478	0	11,708	46,829
Sales and Use Tax	12,554	894	0	0	13,448
Cigarette and Tobacco Taxes	370	923	0	0	1,293
Motor Fuel Tax	0	102	382	0	484
Alcoholic Beverage Taxes	261	0	0	0	261
Highway Use Tax	0	0	145	0	145
Auto Rental Tax	0	47	77	0	124
Taxicab Surcharge	0	101	0	0	101
Gross Utility Taxes and Fees	13,185	2,067	604	0	15,856
LGAC/STBF (Dedicated Transfers)	(6,277)	0	0	6,277	0
User Taxes and Fees	6,908	2,067	604	6,277	15,856
Corporation Franchise Tax	3,750	783	0	0	4,533
Corporation and Utilities Tax	590	175	15	0	780
Insurance Taxes	1,426	170	0	0	1,596
Bank Tax	(38)	28	0	0	(10)
Petroleum Business Tax	0	489	611	0	1,100
Business Taxes	5,728	1,645	626	0	7,999
Estate Tax	1,139	0	0	0	1,139
Real Estate Transfer Tax	1,020	0	0	0	1,020
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	17	0	0	0	17
Other Taxes	1	0	0	0	1
Gross Other Taxes	2,177	0	0	0	2,177
Real Estate Transfer Tax (Dedicated)	(1,020)	0	119	901	0
Other Taxes	1,157	0	119	901	2,177
Payroll Tax	0	1,332	0	0	1,332
Total Taxes	45,436	8,522	1,349	18,886	74,193
Licenses, Fees, Etc.	758	0	0	0	758
Abandoned Property	655	0	0	0	655
Motor Vehicle Fees	155	411	734	0	1,300
ABC License Fee	65	0	0	0	65
Reimbursements	289	0	0	0	289
Investment Income	10	0	0	0	10
Other Transactions	1,048	15,920	4,736	433	22,137
Miscellaneous Receipts	2,980	16,331	5,470	433	25,214
Federal Receipts	0	44,776	1,685	73	46,534
Total	48,416	69,629	8,504	19,392	145,941

CASH RECEIPTS
ALL GOVERNMENTAL FUNDS
FY 2017
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	Total
Taxes:					
Withholdings	39,491	0	0	0	39,491
Estimated Payments	16,119	0	0	0	16,119
Final Payments	2,530	0	0	0	2,530
Other Payments	1,338	0	0	0	1,338
Gross Collections	59,478	0	0	0	59,478
State/City Offset	(448)	0	0	0	(448)
Refunds	(9,007)	0	0	0	(9,007)
Reported Tax Collections	50,023	0	0	0	50,023
STAR (Dedicated Deposits)	(3,574)	3,574	0	0	0
RBTF (Dedicated Transfers)	(12,506)	0	0	12,506	0
Personal Income Tax	33,943	3,574	0	12,506	50,023
Sales and Use Tax	13,020	927	0	0	13,947
Cigarette and Tobacco Taxes	356	880	0	0	1,236
Motor Fuel Tax	0	103	382	0	485
Alcoholic Beverage Taxes	266	0	0	0	266
Highway Use Tax	0	0	139	0	139
Auto Rental Tax	0	48	80	0	128
Taxicab Surcharge	0	101	0	0	101
Gross Utility Taxes and Fees	13,642	2,059	601	0	16,302
LGAC/STBF (Dedicated Transfers)	(6,510)	0	0	6,510	0
User Taxes and Fees	7,132	2,059	601	6,510	16,302
Corporation Franchise Tax	3,435	822	0	0	4,257
Corporation and Utilities Tax	604	181	15	0	800
Insurance Taxes	1,397	175	0	0	1,572
Bank Tax	173	30	0	0	203
Petroleum Business Tax	0	488	610	0	1,098
Business Taxes	5,609	1,696	625	0	7,930
Estate Tax	1,044	0	0	0	1,044
Real Estate Transfer Tax	1,079	0	0	0	1,079
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	17	0	0	0	17
Other Taxes	1	0	0	0	1
Gross Other Taxes	2,141	0	0	0	2,141
Real Estate Transfer Tax (Dedicated)	(1,079)	0	119	960	0
Other Taxes	1,062	0	119	960	2,141
Payroll Tax	0	1,403	0	0	1,403
Total Taxes	47,746	8,732	1,345	19,976	77,799
Licenses, Fees, Etc.	760	0	0	0	760
Abandoned Property	655	0	0	0	655
Motor Vehicle Fees	155	411	734	0	1,300
ABC License Fee	61	0	0	0	61
Reimbursements	279	0	0	0	279
Investment Income	10	0	0	0	10
Other Transactions	870	15,832	4,203	404	21,309
Miscellaneous Receipts	2,790	16,243	4,937	404	24,374
Federal Receipts	0	46,569	1,641	73	48,283
Total	50,536	71,544	7,923	20,453	150,456

CASH RECEIPTS
ALL GOVERNMENTAL FUNDS
FY 2018
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	Total
Taxes:					
Withholdings	40,905	0	0	0	40,905
Estimated Payments	17,058	0	0	0	17,058
Final Payments	2,636	0	0	0	2,636
Other Payments	1,391	0	0	0	1,391
Gross Collections	61,990	0	0	0	61,990
State/City Offset	(448)	0	0	0	(448)
Refunds	(9,081)	0	0	0	(9,081)
Reported Tax Collections	52,461	0	0	0	52,461
STAR (Dedicated Deposits)	(3,616)	3,616	0	0	0
RBTF (Dedicated Transfers)	(13,115)	0	0	13,115	0
Personal Income Tax	35,730	3,616	0	13,115	52,461
Sales and Use Tax	13,518	956	0	0	14,474
Cigarette and Tobacco Taxes	344	842	0	0	1,186
Motor Fuel Tax	0	101	380	0	481
Alcoholic Beverage Taxes	271	0	0	0	271
Highway Use Tax	0	0	141	0	141
Auto Rental Tax	0	51	84	0	135
Taxicab Surcharge	0	101	0	0	101
Gross Utility Taxes and Fees	14,133	2,051	605	0	16,789
LGAC/STBF (Dedicated Transfers)	(6,760)	0	0	6,760	0
User Taxes and Fees	7,373	2,051	605	6,760	16,789
Corporation Franchise Tax	3,578	864	0	0	4,442
Corporation and Utilities Tax	618	185	15	0	818
Insurance Taxes	1,371	182	0	0	1,553
Bank Tax	162	28	0	0	190
Petroleum Business Tax	0	488	610	0	1,098
Business Taxes	5,729	1,747	625	0	8,101
Estate Tax	994	0	0	0	994
Real Estate Transfer Tax	1,149	0	0	0	1,149
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	17	0	0	0	17
Other Taxes	1	0	0	0	1
Gross Other Taxes	2,161	0	0	0	2,161
Real Estate Transfer Tax (Dedicated)	(1,149)	0	119	1,030	0
Other Taxes	1,012	0	119	1,030	2,161
Payroll Tax	0	1,478	0	0	1,478
Total Taxes	49,844	8,892	1,349	20,905	80,990
Licenses, Fees, Etc.	760	0	0	0	760
Abandoned Property	655	0	0	0	655
Motor Vehicle Fees	155	411	734	0	1,300
ABC License Fee	62	0	0	0	62
Reimbursements	269	0	0	0	269
Investment Income	10	0	0	0	10
Other Transactions	304	15,896	3,523	399	20,122
Miscellaneous Receipts	2,215	16,307	4,257	399	23,178
Federal Receipts	0	48,005	1,672	73	49,750
Total	52,059	73,204	7,278	21,377	153,918

CURRENT STATE RECEIPTS
ALL GOVERNMENTAL FUNDS
FY 2014 and FY 2015
(millions of dollars)

	FY 2014 Results	FY 2015 Enacted	Annual \$ Change	Annual % Change
Taxes:				
Withholdings	33,368	35,149	1,781	5.3%
Estimated Payments	14,637	13,418	(1,219)	-8.3%
Final Payments	2,395	2,166	(229)	-9.6%
Other Payments	1,175	1,246	71	6.0%
Gross Collections	51,575	51,979	404	0.8%
State/City Offset	(615)	(448)	167	27.2%
Refunds	(7,999)	(7,796)	203	2.5%
Reported Tax Collections	42,961	43,735	774	1.8%
STAR (Dedicated Deposits)	0	0	0	--
RBTF (Dedicated Transfers)	0	0	0	--
Personal Income Tax	42,961	43,735	774	1.8%
Sales and Use Tax	12,588	12,967	379	3.0%
Cigarette and Tobacco Taxes	1,453	1,299	(154)	-10.6%
Motor Fuel Tax	473	487	14	3.0%
Alcoholic Beverage Taxes	250	256	6	2.4%
Highway Use Tax	136	136	0	0.0%
Auto Rental Tax	114	119	5	4.4%
Taxicab Surcharge	85	100	15	17.6%
Gross Utility Taxes and Fees	15,099	15,364	265	1.8%
LGAC/STBF (Dedicated Transfers)	0	0	0	--
User Taxes and Fees	15,099	15,364	265	1.8%
Corporation Franchise Tax	3,812	2,798	(1,014)	-26.6%
Corporation and Utilities Tax	798	790	(8)	-1.0%
Insurance Taxes	1,444	1,534	90	6.2%
Bank Tax	1,050	1,409	359	34.2%
Petroleum Business Tax	1,155	1,140	(15)	-1.3%
Business Taxes	8,259	7,671	(588)	-7.1%
Estate Tax	1,238	1,179	(59)	-4.8%
Real Estate Transfer Tax	911	955	44	4.8%
Gift Tax	0	0	0	--
Real Property Gains Tax	0	0	0	--
Pari-Mutuel Taxes	17	17	0	0.0%
Other Taxes	1	1	0	0.0%
Gross Other Taxes	2,167	2,152	(15)	-0.7%
Real Estate Transfer Tax (Dedicated)	0	0	0	--
Other Taxes	2,167	2,152	(15)	-0.7%
Payroll Tax	1,204	1,266	62	5.1%
Total Taxes	69,690	70,188	498	0.7%
Licenses, Fees, Etc.	622	757	135	21.7%
Abandoned Property	533	655	122	22.9%
Motor Vehicle Fees	1,272	1,300	28	2.2%
ABC License Fee	64	56	(8)	-12.5%
Reimbursements	281	299	18	6.4%
Investment Income	1	10	9	900.0%
Other Transactions	21,461	22,595	1,134	5.3%
Miscellaneous Receipts	24,234	25,672	1,438	5.9%
Federal Receipts	43,789	45,789	2,000	4.6%
Total	137,713	141,649	3,936	2.9%

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2013
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Transfer Eliminations</u>	<u>Total</u>
Opening Fund Balance	1,633	(38)	0	1,595
Receipts:				
Taxes	8,146	0	0	8,146
Miscellaneous Receipts	15,584	173	0	15,757
Federal Receipts	0	40,576	0	40,576
Total Receipts	<u>23,730</u>	<u>40,749</u>	<u>0</u>	<u>64,479</u>
Disbursements:				
Local Assistance Grants	18,818	34,976	0	53,794
Departmental Operations:				
Personal Service	6,273	609	0	6,882
Non-Personal Service	3,510	889	0	4,399
General State Charges	1,887	239	0	2,126
Capital Projects	8	0	0	8
Total Disbursements	<u>30,496</u>	<u>36,713</u>	<u>0</u>	<u>67,209</u>
Other Financing Sources (Uses):				
Transfers from Other Funds	8,149	0	(671)	7,478
Transfers to Other Funds	(646)	(3,995)	671	(3,970)
Net Other Financing Sources (Uses)	<u>7,503</u>	<u>(3,995)</u>	<u>0</u>	<u>3,508</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>737</u>	<u>41</u>	<u>0</u>	<u>778</u>
Closing Fund Balance	<u><u>2,370</u></u>	<u><u>3</u></u>	<u><u>0</u></u>	<u><u>2,373</u></u>

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2014
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Transfer Eliminations</u>	<u>Total</u>
Opening Fund Balance	2,370	3	0	2,373
Receipts:				
Taxes	8,175	0	0	8,175
Miscellaneous Receipts	16,603	173	0	16,776
Federal Receipts	0	41,405	0	41,405
Total Receipts	<u>24,778</u>	<u>41,578</u>	<u>0</u>	<u>66,356</u>
Disbursements:				
Local Assistance Grants	19,466	36,925	0	56,391
Departmental Operations:				
Personal Service	6,737	657	0	7,394
Non-Personal Service	3,781	1,240	0	5,021
General State Charges	2,059	322	0	2,381
Capital Projects	3	0	0	3
Total Disbursements	<u>32,046</u>	<u>39,144</u>	<u>0</u>	<u>71,190</u>
Other Financing Sources (Uses):				
Transfers from Other Funds	8,350	0	(706)	7,644
Transfers to Other Funds	(1,132)	(2,562)	706	(2,988)
Net Other Financing Sources (Uses)	<u>7,218</u>	<u>(2,562)</u>	<u>0</u>	<u>4,656</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>(50)</u>	<u>(128)</u>	<u>0</u>	<u>(178)</u>
Closing Fund Balance	<u>2,320</u>	<u>(125)</u>	<u>0</u>	<u>2,195</u>

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2015
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Transfer Eliminations</u>	<u>Total</u>
Opening Fund Balance	2,489	(125)	0	2,364
Receipts:				
Taxes	8,351	0	0	8,351
Miscellaneous Receipts	16,003	186	0	16,189
Federal Receipts	1	43,653	0	43,654
Total Receipts	<u>24,355</u>	<u>43,839</u>	<u>0</u>	<u>68,194</u>
Disbursements:				
Local Assistance Grants	19,063	39,075	0	58,138
Departmental Operations:				
Personal Service	6,703	662	0	7,365
Non-Personal Service	3,603	1,219	0	4,822
General State Charges	2,134	309	0	2,443
Capital Projects	0	0	0	0
Total Disbursements	<u>31,503</u>	<u>41,265</u>	<u>0</u>	<u>72,768</u>
Other Financing Sources (Uses):				
Transfers from Other Funds	8,104	0	(385)	7,719
Transfers to Other Funds	(998)	(2,447)	385	(3,060)
Net Other Financing Sources (Uses)	<u>7,106</u>	<u>(2,447)</u>	<u>0</u>	<u>4,659</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>(42)</u>	<u>127</u>	<u>0</u>	<u>85</u>
Closing Fund Balance	<u><u>2,447</u></u>	<u><u>2</u></u>	<u><u>0</u></u>	<u><u>2,449</u></u>

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2016
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Transfer Eliminations</u>	<u>Total</u>
Opening Fund Balance	2,447	2	0	2,449
Receipts:				
Taxes	8,522	0	0	8,522
Miscellaneous Receipts	16,145	186	0	16,331
Federal Receipts	1	44,775	0	44,776
Total Receipts	<u>24,668</u>	<u>44,961</u>	<u>0</u>	<u>69,629</u>
Disbursements:				
Local Assistance Grants	19,314	40,924	0	60,238
Departmental Operations:				
Personal Service	6,845	683	0	7,528
Non-Personal Service	3,726	1,012	0	4,738
General State Charges	2,191	327	0	2,518
Capital Projects	1	0	0	1
Total Disbursements	<u>32,077</u>	<u>42,946</u>	<u>0</u>	<u>75,023</u>
Other Financing Sources (Uses):				
Transfers from Other Funds	8,177	0	(343)	7,834
Transfers to Other Funds	(754)	(2,014)	343	(2,425)
Net Other Financing Sources (Uses)	<u>7,423</u>	<u>(2,014)</u>	<u>0</u>	<u>5,409</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>14</u>	<u>1</u>	<u>0</u>	<u>15</u>
Closing Fund Balance	<u><u>2,461</u></u>	<u><u>3</u></u>	<u><u>0</u></u>	<u><u>2,464</u></u>

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2017
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Transfer Eliminations</u>	<u>Total</u>
Opening Fund Balance	2,461	3	0	2,464
Receipts:				
Taxes	8,732	0	0	8,732
Miscellaneous Receipts	16,057	186	0	16,243
Federal Receipts	1	46,568	0	46,569
Total Receipts	<u>24,790</u>	<u>46,754</u>	<u>0</u>	<u>71,544</u>
Disbursements:				
Local Assistance Grants	19,273	42,995	0	62,268
Departmental Operations:				
Personal Service	6,856	693	0	7,549
Non-Personal Service	3,750	828	0	4,578
General State Charges	2,237	330	0	2,567
Capital Projects	2	0	0	2
Total Disbursements	<u>32,118</u>	<u>44,846</u>	<u>0</u>	<u>76,964</u>
Other Financing Sources (Uses):				
Transfers from Other Funds	8,270	0	(343)	7,927
Transfers to Other Funds	(709)	(1,908)	343	(2,274)
Net Other Financing Sources (Uses)	<u>7,561</u>	<u>(1,908)</u>	<u>0</u>	<u>5,653</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>233</u>	<u>0</u>	<u>0</u>	<u>233</u>
Closing Fund Balance	<u>2,694</u>	<u>3</u>	<u>0</u>	<u>2,697</u>

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2018
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Transfer Eliminations</u>	<u>Total</u>
Opening Fund Balance	2,694	3	0	2,697
Receipts:				
Taxes	8,892	0	0	8,892
Miscellaneous Receipts	16,121	186	0	16,307
Federal Receipts	1	48,004	0	48,005
Total Receipts	<u>25,014</u>	<u>48,190</u>	<u>0</u>	<u>73,204</u>
Disbursements:				
Local Assistance Grants	19,574	44,550	0	64,124
Departmental Operations:				
Personal Service	6,881	697	0	7,578
Non-Personal Service	3,733	837	0	4,570
General State Charges	2,271	333	0	2,604
Capital Projects	2	0	0	2
Total Disbursements	<u>32,461</u>	<u>46,417</u>	<u>0</u>	<u>78,878</u>
Other Financing Sources (Uses):				
Transfers from Other Funds	8,391	0	(342)	8,049
Transfers to Other Funds	(630)	(1,773)	342	(2,061)
Net Other Financing Sources (Uses)	<u>7,761</u>	<u>(1,773)</u>	<u>0</u>	<u>5,988</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>314</u>	<u>0</u>	<u>0</u>	<u>314</u>
Closing Fund Balance	<u><u>3,008</u></u>	<u><u>3</u></u>	<u><u>0</u></u>	<u><u>3,011</u></u>

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2014 and FY 2015
(millions of dollars)**

	<u>FY 2014 Results</u>	<u>FY 2015 Enacted</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
Opening Fund Balance	2,373	2,364	(9)	-0.4%
Receipts:				
Taxes	8,175	8,351	176	2.2%
Miscellaneous Receipts	16,776	16,189	(587)	-3.5%
Federal Receipts	41,405	43,654	2,249	5.4%
Total receipts	<u>66,356</u>	<u>68,194</u>	<u>1,838</u>	<u>2.8%</u>
Disbursements:				
Local Assistance Grants	56,391	58,138	1,747	3.1%
Departmental Operations:				
Personal Service	7,394	7,365	(29)	-0.4%
Non-Personal Service	5,021	4,822	(199)	-4.0%
General State Charges	2,381	2,443	62	2.6%
Debt Service	0	0	0	--
Capital Projects	3	0	(3)	-100.0%
Total Disbursements	<u>71,190</u>	<u>72,768</u>	<u>1,578</u>	<u>2.2%</u>
Other Financing Sources (Uses):				
Transfers from Other Funds	7,644	7,719	75	1.0%
Transfers to Other Funds	(2,988)	(3,060)	(72)	-2.4%
Net Other Financing Sources (Uses)	<u>4,656</u>	<u>4,659</u>	<u>3</u>	<u>0.1%</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>(178)</u>	<u>85</u>	<u>263</u>	<u>147.8%</u>
Closing Fund Balance	<u><u>2,195</u></u>	<u><u>2,449</u></u>	<u><u>254</u></u>	<u><u>11.6%</u></u>

CASH RECEIPTS
SPECIAL REVENUE FUNDS
FY 2015 THROUGH FY 2018
(millions of dollars)

	<u>FY 2015 Enacted</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>	<u>FY 2018 Projected</u>
Personal Income Tax	3,429	3,478	3,574	3,616
User Taxes and Fees	2,071	2,067	2,059	2,051
Sales and Use Tax	854	894	927	956
Cigarette and Tobacco Taxes	970	923	880	842
Motor Fuel Tax	102	102	103	101
Auto Rental Tax	45	47	48	51
Taxicab Surcharge	100	101	101	101
Business Taxes	1,585	1,645	1,696	1,747
Corporation Franchise Tax	559	783	822	864
Corporation and Utilities Tax	171	175	181	185
Insurance Taxes	159	170	175	182
Bank Tax	189	28	30	28
Petroleum Business Tax	507	489	488	488
Payroll Tax	1,266	1,332	1,403	1,478
Total Taxes	8,351	8,522	8,732	8,892
Miscellaneous Receipts	16,189	16,331	16,243	16,307
HCRA	4,537	4,723	4,804	4,841
State University Income	4,386	4,475	4,617	4,680
Lottery	3,325	3,393	3,293	3,252
Medicaid	788	788	788	788
Industry Assessments	807	814	814	0
Motor Vehicle Fees	411	411	411	411
All Other	1,935	1,727	1,516	2,335
Federal Receipts	43,654	44,776	46,569	48,005
Total	<u>68,194</u>	<u>69,629</u>	<u>71,544</u>	<u>73,204</u>

CASH RECEIPTS
SPECIAL REVENUE FUNDS
FY 2014 and FY 2015
(millions of dollars)

	<u>FY 2014 Results</u>	<u>FY 2015 Enacted</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
Personal Income Tax	3,357	3,429	72	2.1%
User Taxes and Fees	2,056	2,071	15	0.7%
Sales and Use Tax	802	854	52	6.5%
Cigarette and Tobacco Taxes	1,027	970	(57)	-5.6%
Motor Fuel Tax	99	102	3	3.0%
Auto Rental Tax	43	45	2	4.7%
Taxicab Surcharge	85	100	15	17.6%
Business Taxes	1,558	1,585	27	1.7%
Corporation Franchise Tax	567	559	(8)	-1.4%
Corporation and Utilities Tax	169	171	2	1.2%
Insurance Taxes	146	159	13	8.9%
Bank Tax	162	189	27	16.7%
Petroleum Business Tax	514	507	(7)	-1.4%
Payroll Tax	1,204	1,266	62	5.1%
Total Taxes	8,175	8,351	176	2.2%
Miscellaneous Receipts	16,776	16,189	(587)	-3.5%
HCRA	4,293	4,537	244	5.7%
State University Income	4,428	4,386	(42)	-0.9%
Lottery	3,303	3,325	22	0.7%
Medicaid	790	788	(2)	-0.3%
Industry Assessments	786	807	21	2.7%
Motor Vehicle Fees	485	411	(74)	-15.3%
All Other	2,691	1,935	(756)	-28.1%
Federal Receipts	41,405	43,654	2,249	5.4%
Total	<u>66,356</u>	<u>68,194</u>	<u>1,838</u>	<u>2.8%</u>

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
FY 2013
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Transfer Eliminations</u>	<u>Total</u>
Opening Fund Balance	(288)	(161)	0	(449)
Receipts:				
Taxes	1,370	0	0	1,370
Miscellaneous Receipts	3,855	2	0	3,857
Federal Receipts	5	2,121	0	2,126
Total Receipts	<u>5,230</u>	<u>2,123</u>	<u>0</u>	<u>7,353</u>
Disbursements:				
Local Assistance Grants	1,063	812	0	1,875
Capital Projects	4,616	1,049	0	5,665
Total Disbursements	<u>5,679</u>	<u>1,861</u>	<u>0</u>	<u>7,540</u>
Other Financing Sources (Uses):				
Transfers from Other Funds	1,462	0	(290)	1,172
Transfers to Other Funds	(1,452)	(294)	290	(1,456)
Bond and Note Proceeds	434	0	0	434
Net Other Financing Sources (Uses)	<u>444</u>	<u>(294)</u>	<u>0</u>	<u>150</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>(5)</u>	<u>(32)</u>	<u>0</u>	<u>(37)</u>
Closing Fund Balance	<u>(293)</u>	<u>(193)</u>	<u>0</u>	<u>(486)</u>

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
FY 2014
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Transfer Eliminations</u>	<u>Total</u>
Opening Fund Balance	(293)	(193)	0	(486)
Receipts:				
Taxes	1,355	0	0	1,355
Miscellaneous Receipts	3,537	3	0	3,540
Federal Receipts	5	2,308	0	2,313
Total Receipts	<u>4,897</u>	<u>2,311</u>	<u>0</u>	<u>7,208</u>
Disbursements:				
Local Assistance Grants	1,270	972	0	2,242
Capital Projects	4,454	1,055	0	5,509
Total Disbursements	<u>5,724</u>	<u>2,027</u>	<u>0</u>	<u>7,751</u>
Other Financing Sources (Uses):				
Transfers from Other Funds	2,117	0	(300)	1,817
Transfers to Other Funds	(1,417)	(300)	300	(1,417)
Bond and Note Proceeds	0	0	0	0
Net Other Financing Sources (Uses)	<u>700</u>	<u>(300)</u>	<u>0</u>	<u>400</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>(127)</u>	<u>(16)</u>	<u>0</u>	<u>(143)</u>
Closing Fund Balance	<u>(420)</u>	<u>(209)</u>	<u>0</u>	<u>(629)</u>

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
FY 2015
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Transfer Eliminations</u>	<u>Total</u>
Opening Fund Balance	(420)	(209)	0	(629)
Receipts:				
Taxes	1,362	0	0	1,362
Miscellaneous Receipts	5,208	0	0	5,208
Federal Receipts	5	2,057	0	2,062
Total Receipts	<u>6,575</u>	<u>2,057</u>	<u>0</u>	<u>8,632</u>
Disbursements:				
Local Assistance Grants	1,782	692	0	2,474
Capital Projects	4,979	1,012	0	5,991
Total Disbursements	<u>6,761</u>	<u>1,704</u>	<u>0</u>	<u>8,465</u>
Other Financing Sources (Uses):				
Transfers from Other Funds	1,444	0	(396)	1,048
Transfers to Other Funds	(1,498)	(407)	396	(1,509)
Bond and Note Proceeds	306	0	0	306
Net Other Financing Sources (Uses)	<u>252</u>	<u>(407)</u>	<u>0</u>	<u>(155)</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>66</u>	<u>(54)</u>	<u>0</u>	<u>12</u>
Closing Fund Balance	<u>(354)</u>	<u>(263)</u>	<u>0</u>	<u>(617)</u>

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
FY 2016
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Transfer Eliminations</u>	<u>Total</u>
Opening Fund Balance	(354)	(263)	0	(617)
Receipts:				
Taxes	1,349	0	0	1,349
Miscellaneous Receipts	5,470	0	0	5,470
Federal Receipts	5	1,680	0	1,685
Total Receipts	<u>6,824</u>	<u>1,680</u>	<u>0</u>	<u>8,504</u>
Disbursements:				
Local Assistance Grants	1,984	651	0	2,635
Capital Projects	6,418	697	0	7,115
Total Disbursements	<u>8,402</u>	<u>1,348</u>	<u>0</u>	<u>9,750</u>
Other Financing Sources (Uses):				
Transfers from Other Funds	1,848	0	(303)	1,545
Transfers to Other Funds	(1,497)	(315)	303	(1,509)
Bond and Note Proceeds	1,120	0	0	1,120
Net Other Financing Sources (Uses)	<u>1,471</u>	<u>(315)</u>	<u>0</u>	<u>1,156</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>(107)</u>	<u>17</u>	<u>0</u>	<u>(90)</u>
Closing Fund Balance	<u>(461)</u>	<u>(246)</u>	<u>0</u>	<u>(707)</u>

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
FY 2017
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Transfer Eliminations</u>	<u>Total</u>
Opening Fund Balance	<u>(461)</u>	<u>(246)</u>	<u>0</u>	<u>(707)</u>
Receipts:				
Taxes	1,345	0	0	1,345
Miscellaneous Receipts	4,937	0	0	4,937
Federal Receipts	5	1,636	0	1,641
Total Receipts	<u>6,287</u>	<u>1,636</u>	<u>0</u>	<u>7,923</u>
Disbursements:				
Local Assistance Grants	1,583	641	0	2,224
Capital Projects	5,709	657	0	6,366
Total Disbursements	<u>7,292</u>	<u>1,298</u>	<u>0</u>	<u>8,590</u>
Other Financing Sources (Uses):				
Transfers from Other Funds	2,206	0	(310)	1,896
Transfers to Other Funds	(1,556)	(322)	310	(1,568)
Bond and Note Proceeds	415	0	0	415
Net Other Financing Sources (Uses)	<u>1,065</u>	<u>(322)</u>	<u>0</u>	<u>743</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>60</u>	<u>16</u>	<u>0</u>	<u>76</u>
Closing Fund Balance	<u>(401)</u>	<u>(230)</u>	<u>0</u>	<u>(631)</u>

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
FY 2018
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Transfer Eliminations</u>	<u>Total</u>
Opening Fund Balance	(401)	(230)	0	(631)
Receipts:				
Taxes	1,349	0	0	1,349
Miscellaneous Receipts	4,257	0	0	4,257
Federal Receipts	5	1,667	0	1,672
Total Receipts	<u>5,611</u>	<u>1,667</u>	<u>0</u>	<u>7,278</u>
Disbursements:				
Local Assistance Grants	1,349	641	0	1,990
Capital Projects	5,467	679	0	6,146
Total Disbursements	<u>6,816</u>	<u>1,320</u>	<u>0</u>	<u>8,136</u>
Other Financing Sources (Uses):				
Transfers from Other Funds	2,389	0	(319)	2,070
Transfers to Other Funds	(1,606)	(330)	319	(1,617)
Bond and Note Proceeds	392	0	0	392
Net Other Financing Sources (Uses)	<u>1,175</u>	<u>(330)</u>	<u>0</u>	<u>845</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>(30)</u>	<u>17</u>	<u>0</u>	<u>(13)</u>
Closing Fund Balance	<u>(431)</u>	<u>(213)</u>	<u>0</u>	<u>(644)</u>

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
FY 2014 and FY 2015
(millions of dollars)**

	<u>FY 2014 Results</u>	<u>FY 2015 Enacted</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
Opening Fund Balance	(486)	(629)	(143)	-29.4%
Receipts:				
Taxes	1,355	1,362	7	0.5%
Miscellaneous Receipts	3,540	5,208	1,668	47.1%
Federal Receipts	2,313	2,062	(251)	-10.9%
Total Receipts	<u>7,208</u>	<u>8,632</u>	<u>1,424</u>	<u>19.8%</u>
Disbursements:				
Local Assistance Grants	2,242	2,474	232	10.3%
Capital Projects	5,509	5,991	482	8.7%
Total Disbursements	<u>7,751</u>	<u>8,465</u>	<u>714</u>	<u>9.2%</u>
Other Financing Sources (Uses):				
Transfers From Other Funds	1,817	1,048	(769)	-42.3%
Transfers to Other Funds	(1,417)	(1,509)	(92)	-6.5%
Bond and Note Proceeds	0	306	306	--
Net Other Financing Sources (Uses)	<u>400</u>	<u>(155)</u>	<u>(555)</u>	<u>-138.8%</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>(143)</u>	<u>12</u>	<u>155</u>	<u>108.4%</u>
Closing Fund Balance	<u>(629)</u>	<u>(617)</u>	<u>12</u>	<u>1.9%</u>

**CASH RECEIPTS
CAPITAL PROJECTS FUNDS
FY 2015 THROUGH FY 2018
(millions of dollars)**

	<u>FY 2015 Enacted</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>	<u>FY 2018 Projected</u>
User Taxes and Fees	595	604	601	605
Motor Fuel Tax	385	382	382	380
Highway Use Tax	136	145	139	141
Auto Rental Tax	74	77	80	84
Business Taxes	648	626	625	625
Corporation and Utilities Tax	15	15	15	15
Petroleum Business Tax	633	611	610	610
Other Taxes	119	119	119	119
Real Estate Transfer Tax	119	119	119	119
Total Taxes	<u>1,362</u>	<u>1,349</u>	<u>1,345</u>	<u>1,349</u>
Miscellaneous Receipts	5,208	5,470	4,937	4,257
Authority Bond Proceeds	4,095	4,631	4,451	4,120
State Park Fees	90	105	112	116
Environmental Revenues	77	77	77	77
Motor Vehicle Fees	734	734	734	734
All Other	212	(77)	(437)	(790)
Federal Receipts	2,062	1,685	1,641	1,672
Total	<u><u>8,632</u></u>	<u><u>8,504</u></u>	<u><u>7,923</u></u>	<u><u>7,278</u></u>

**CASH RECEIPTS
CAPITAL PROJECTS FUNDS
FY 2014 and FY 2015
(millions of dollars)**

	<u>FY 2014 Results</u>	<u>FY 2015 Enacted</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
User Taxes and Fees	581	595	14	2.4%
Motor Fuel Tax	374	385	11	2.9%
Highway Use Tax	136	136	0	0.0%
Auto Rental Tax	71	74	3	4.2%
Business Taxes	655	648	(7)	-1.1%
Corporation and Utilities Tax	14	15	1	7.1%
Petroleum Business Tax	641	633	(8)	-1.2%
Other Taxes	119	119	0	0.0%
Real Estate Transfer Tax	119	119	0	0.0%
Total Taxes	<u>1,355</u>	<u>1,362</u>	<u>7</u>	<u>0.5%</u>
Miscellaneous Receipts	3,540	5,208	1,668	47.1%
Authority Bond Proceeds	2,552	4,095	1,543	60.5%
State Park Fees	77	90	13	16.9%
Environmental Revenues	77	77	0	0.0%
Motor Vehicle Fees	785	734	(51)	-6.5%
All Other	49	212	163	332.7%
Federal Receipts	2,313	2,062	(251)	-10.9%
Total	<u><u>7,208</u></u>	<u><u>8,632</u></u>	<u><u>1,424</u></u>	<u><u>19.8%</u></u>

**CASH DISBURSEMENTS BY FUNCTION
CAPITAL OFF-BUDGET SPENDING
(millions of dollars)**

	<u>FY 2014 Results</u>	<u>FY 2015 Enacted</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>	<u>FY 2018 Projected</u>
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Economic Development	11	0	0	0	0
Empire State Development Corporation	2	0	0	0	0
Functional Total	<u>13</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
TRANSPORTATION					
Transportation, Department of	478	0	0	0	0
Functional Total	<u>478</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
MENTAL HEALTH					
Mental Health, Office of	157	128	123	123	123
People with Developmental Disabilities, Office for	26	40	40	40	40
Alcoholism and Substance Abuse Services, Office of	9	5	5	5	5
Functional Total	<u>192</u>	<u>173</u>	<u>168</u>	<u>168</u>	<u>168</u>
EDUCATION					
Education School Aid	59	75	82	0	0
Functional Total	<u>59</u>	<u>75</u>	<u>82</u>	<u>0</u>	<u>0</u>
HIGHER EDUCATION					
City University of New York	421	520	559	585	575
State University of New York	186	155	135	135	140
Functional Total	<u>607</u>	<u>675</u>	<u>694</u>	<u>720</u>	<u>715</u>
ALL OTHER					
Judiciary	4	5	0	0	0
Functional Total	<u>4</u>	<u>5</u>	<u>0</u>	<u>0</u>	<u>0</u>
TOTAL CAPITAL OFF-BUDGET SPENDING	<u>1,353</u>	<u>928</u>	<u>944</u>	<u>888</u>	<u>883</u>

Reflects certain capital spending that is not reported in actual cash spending results, but is reported in the State's GAAP Financial Statements. This spending is related to programs which are financed directly from bond proceeds that are on deposit at various public authorities rather than from a short-term loan from the Short-Term Investment Pool or cash from the General Fund.

**CASH RECEIPTS
DEBT SERVICE FUNDS
FY 2015 THROUGH FY 2018
(millions of dollars)**

	<u>FY 2015 Enacted</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>	<u>FY 2018 Projected</u>
Personal Income Tax	10,934	11,708	12,506	13,115
User Taxes and Fees	6,046	6,277	6,510	6,760
Sales and Use Tax	6,046	6,277	6,510	6,760
Other Taxes	836	901	960	1,030
Real Estate Transfer Tax	836	901	960	1,030
Total Taxes	<u>17,816</u>	<u>18,886</u>	<u>19,976</u>	<u>20,905</u>
Miscellaneous Receipts	460	433	404	399
Mental Hygiene Patient Receipts	323	298	300	296
SUNY Dormitory Fees	0	0	0	0
Health Patient Receipts	128	128	98	98
All Other	9	7	6	5
Federal Receipts	73	73	73	73
Total	<u><u>18,349</u></u>	<u><u>19,392</u></u>	<u><u>20,453</u></u>	<u><u>21,377</u></u>

**CASH RECEIPTS
DEBT SERVICE FUNDS
FY 2014 and FY 2015
(millions of dollars)**

	<u>FY 2014 Results</u>	<u>FY 2015 Enacted</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
Personal Income Tax	10,740	10,934	194	1.8%
User Taxes and Fees	5,901	6,046	145	2.5%
Sales and Use Tax	5,901	6,046	145	2.5%
Other Taxes	792	836	44	5.6%
Real Estate Transfer Tax	792	836	44	5.6%
Total Taxes	<u>17,433</u>	<u>17,816</u>	<u>383</u>	<u>2.2%</u>
Miscellaneous Receipts	699	460	(239)	-34.2%
Mental Hygiene Patient Receipts	313	323	10	3.2%
SUNY Dormitory Fees	257	0	(257)	-100.0%
Health Patient Receipts	120	128	8	6.7%
All Other	9	9	0	0.0%
Federal Receipts	71	73	2	2.8%
Total	<u><u>18,203</u></u>	<u><u>18,349</u></u>	<u><u>146</u></u>	<u><u>0.8%</u></u>

**CASH FINANCIAL PLAN
STATE FUNDS
FY 2013
(millions of dollars)**

	General Fund	State Special Revenue Funds	State Capital Projects Funds	Debt Service Funds	State Funds Total
Opening Fund Balance	1,787	1,633	(288)	427	3,559
Receipts:					
Taxes	43,283	8,146	1,370	13,503	66,302
Miscellaneous Receipts	3,504	15,584	3,855	913	23,856
Federal Receipts	62	0	5	79	146
Total Receipts	<u>46,849</u>	<u>23,730</u>	<u>5,230</u>	<u>14,495</u>	<u>90,304</u>
Disbursements:					
Local Assistance Grants	39,760	18,818	1,063	0	59,641
Departmental Operations:					
Personal Service	6,130	6,273	0	0	12,403
Non-Personal Service	1,726	3,510	0	44	5,280
General State Charges	4,550	1,887	0	0	6,437
Debt Service	0	0	0	6,138	6,138
Capital Projects	0	8	4,616	0	4,624
Total Disbursements	<u>52,166</u>	<u>30,496</u>	<u>5,679</u>	<u>6,182</u>	<u>94,523</u>
Other Financing Sources (Uses):					
Transfers from Other Funds	11,934	8,149	1,462	6,319	27,864
Transfers to Other Funds	(6,794)	(646)	(1,452)	(14,680)	(23,572)
Reserve for Collective Bargaining	0	0	0	0	0
Reserve for Community Projects Fund	0	0	0	0	0
Bond and Note Proceeds	0	0	434	0	434
Net Other Financing Sources (Uses)	<u>5,140</u>	<u>7,503</u>	<u>444</u>	<u>(8,361)</u>	<u>4,726</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>(177)</u>	<u>737</u>	<u>(5)</u>	<u>(48)</u>	<u>507</u>
Closing Fund Balance	<u>1,610</u>	<u>2,370</u>	<u>(293)</u>	<u>379</u>	<u>4,066</u>

**CASH FINANCIAL PLAN
STATE FUNDS
FY 2014
(millions of dollars)**

	General Fund	State Special Revenue Funds	State Capital Projects Funds	Debt Service Funds	State Funds Total
Opening Fund Balance	1,610	2,370	(293)	379	4,066
Receipts:					
Taxes	42,727	8,175	1,355	17,433	69,690
Miscellaneous Receipts	3,219	16,603	3,537	699	24,058
Federal Receipts	0	0	5	71	76
Total Receipts	<u>45,946</u>	<u>24,778</u>	<u>4,897</u>	<u>18,203</u>	<u>93,824</u>
Disbursements:					
Local Assistance Grants	39,940	19,466	1,270	0	60,676
Departmental Operations:					
Personal Service	5,563	6,737	0	0	12,300
Non-Personal Service	1,746	3,781	0	37	5,564
General State Charges	4,899	2,059	0	0	6,958
Debt Service	0	0	0	6,400	6,400
Capital Projects	0	3	4,454	0	4,457
Total Disbursements	<u>52,148</u>	<u>32,046</u>	<u>5,724</u>	<u>6,437</u>	<u>96,355</u>
Other Financing Sources (Uses):					
Transfers from Other Funds	15,922	8,350	2,117	5,211	31,600
Transfers to Other Funds	(9,095)	(1,132)	(1,417)	(17,122)	(28,766)
Bond and Note Proceeds	0	0	0	0	0
Net Other Financing Sources (Uses)	<u>6,827</u>	<u>7,218</u>	<u>700</u>	<u>(11,911)</u>	<u>2,834</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>625</u>	<u>(50)</u>	<u>(127)</u>	<u>(145)</u>	<u>303</u>
Closing Fund Balance	<u><u>2,235</u></u>	<u><u>2,320</u></u>	<u><u>(420)</u></u>	<u><u>234</u></u>	<u><u>4,369</u></u>

CASH FINANCIAL PLAN
STATE FUNDS
FY 2015
(millions of dollars)

	<u>General Fund</u>	<u>State Special Revenue Funds</u>	<u>State Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>State Funds Total</u>
Opening Fund Balance	2,235	2,489	(420)	65	4,369
Receipts:					
Taxes	42,659	8,351	1,362	17,816	70,188
Miscellaneous Receipts	3,815	16,003	5,208	460	25,486
Federal Receipts	0	1	5	73	79
Total Receipts	<u>46,474</u>	<u>24,355</u>	<u>6,575</u>	<u>18,349</u>	<u>95,753</u>
Disbursements:					
Local Assistance Grants	42,118	19,063	1,782	0	62,963
Departmental Operations:					
Personal Service	5,890	6,703	0	0	12,593
Non-Personal Service	1,960	3,603	0	43	5,606
General State Charges	5,072	2,134	0	0	7,206
Debt Service	0	0	0	5,648	5,648
Capital Projects	0	0	4,979	0	4,979
Total Disbursements	<u>55,040</u>	<u>31,503</u>	<u>6,761</u>	<u>5,691</u>	<u>98,995</u>
Other Financing Sources (Uses):					
Transfers from Other Funds	16,488	8,104	1,444	4,467	30,503
Transfers to Other Funds	(8,102)	(998)	(1,498)	(17,132)	(27,730)
Bond and Note Proceeds	0	0	306	0	306
Net Other Financing Sources (Uses)	<u>8,386</u>	<u>7,106</u>	<u>252</u>	<u>(12,665)</u>	<u>3,079</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses)					
Over Disbursements	<u>(180)</u>	<u>(42)</u>	<u>66</u>	<u>(7)</u>	<u>(163)</u>
Closing Fund Balance	<u>2,055</u>	<u>2,447</u>	<u>(354)</u>	<u>58</u>	<u>4,206</u>

**CASH FINANCIAL PLAN
STATE FUNDS
FY 2016
(millions of dollars)**

	<u>General Fund</u>	<u>State Special Revenue Funds</u>	<u>State Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>State Funds Total</u>
Receipts:					
Taxes	45,436	8,522	1,349	18,886	74,193
Miscellaneous Receipts	2,980	16,145	5,470	433	25,028
Federal Receipts	0	1	5	73	79
Total Receipts	<u>48,416</u>	<u>24,668</u>	<u>6,824</u>	<u>19,392</u>	<u>99,300</u>
Disbursements:					
Local Assistance Grants	44,827	19,314	1,984	0	66,125
Departmental Operations:					
Personal Service	5,986	6,845	0	0	12,831
Non-Personal Service	2,010	3,726	0	43	5,779
General State Charges	5,322	2,191	0	0	7,513
Debt Service	0	0	0	5,908	5,908
Capital Projects	0	1	6,418	0	6,419
Total Disbursements	<u>58,145</u>	<u>32,077</u>	<u>8,402</u>	<u>5,951</u>	<u>104,575</u>
Other Financing Sources (Uses):					
Transfers from Other Funds	16,947	8,177	1,848	4,104	31,076
Transfers to Other Funds	(8,998)	(754)	(1,497)	(17,532)	(28,781)
Bond and Note Proceeds	0	0	1,120	0	1,120
Net Other Financing Sources (Uses)	<u>7,949</u>	<u>7,423</u>	<u>1,471</u>	<u>(13,428)</u>	<u>3,415</u>
Use (Reservation) of Fund Balance:					
Prior-Year Labor Agreements (2007-2011)	(11)				
Total Use (Reservation) of Fund Balance	<u>(11)</u>				
Adherence to 2% State Operating Funds Spending Benchmark	2,094				
Net General Fund Surplus (Deficit)	<u>303</u>				

**CASH FINANCIAL PLAN
STATE FUNDS
FY 2017
(millions of dollars)**

	<u>General Fund</u>	<u>State Special Revenue Funds</u>	<u>State Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>State Funds Total</u>
Receipts:					
Taxes	47,746	8,732	1,345	19,976	77,799
Miscellaneous Receipts	2,790	16,057	4,937	404	24,188
Federal Receipts	0	1	5	73	79
Total Receipts	<u>50,536</u>	<u>24,790</u>	<u>6,287</u>	<u>20,453</u>	<u>102,066</u>
Disbursements:					
Local Assistance Grants	47,077	19,273	1,583	0	67,933
Departmental Operations:					
Personal Service	5,952	6,856	0	0	12,808
Non-Personal Service	2,004	3,750	0	43	5,797
General State Charges	5,470	2,237	0	0	7,707
Debt Service	0	0	0	6,682	6,682
Capital Projects	0	2	5,709	0	5,711
Total Disbursements	<u>60,503</u>	<u>32,118</u>	<u>7,292</u>	<u>6,725</u>	<u>106,638</u>
Other Financing Sources (Uses):					
Transfers from Other Funds	17,710	8,270	2,206	4,454	32,640
Transfers to Other Funds	(10,011)	(709)	(1,556)	(18,167)	(30,443)
Bond and Note Proceeds	0	0	415	0	415
Net Other Financing Sources (Uses)	<u>7,699</u>	<u>7,561</u>	<u>1,065</u>	<u>(13,713)</u>	<u>2,612</u>
Use (Reservation) of Fund Balance:					
Prior-Year Labor Agreements (2007-2011)		(12)			(12)
Total Use (Reservation) of Fund Balance		<u>(12)</u>			<u>(12)</u>
Adherence to 2% State Operating Funds Spending Benchmark			3,385		
Net General Fund Surplus (Deficit)			<u>1,105</u>		

**CASH FINANCIAL PLAN
STATE FUNDS
FY 2018
(millions of dollars)**

	<u>General Fund</u>	<u>State Special Revenue Funds</u>	<u>State Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>State Funds Total</u>
Receipts:					
Taxes	49,844	8,892	1,349	20,905	80,990
Miscellaneous Receipts	2,215	16,121	4,257	399	22,992
Federal Receipts	0	1	5	73	79
Total Receipts	<u>52,059</u>	<u>25,014</u>	<u>5,611</u>	<u>21,377</u>	<u>104,061</u>
Disbursements:					
Local Assistance Grants	49,671	19,574	1,349	0	70,594
Departmental Operations:					
Personal Service	5,975	6,881	0	0	12,856
Non-Personal Service	2,052	3,733	0	43	5,828
General State Charges	5,583	2,271	0	0	7,854
Debt Service	0	0	0	7,011	7,011
Capital Projects	0	2	5,467	0	5,469
Total Disbursements	<u>63,281</u>	<u>32,461</u>	<u>6,816</u>	<u>7,054</u>	<u>109,612</u>
Other Financing Sources (Uses):					
Transfers from Other Funds	18,391	8,391	2,389	4,417	33,588
Transfers to Other Funds	(10,596)	(630)	(1,606)	(18,710)	(31,542)
Bond and Note Proceeds	0	0	392	0	392
Net Other Financing Sources (Uses)	<u>7,795</u>	<u>7,761</u>	<u>1,175</u>	<u>(14,293)</u>	<u>2,438</u>
Use (Reservation) of Fund Balance:					
Prior-Year Labor Agreements (2007-2011)	<u>(11)</u>				
Total Use (Reservation) of Fund Balance	<u>(11)</u>				
Adherence to 2% State Operating Funds Spending Benchmark	4,916				
Net General Fund Surplus (Deficit)	<u>1,478</u>				

**CASH FINANCIAL PLAN
STATE FUNDS
FY 2014 and FY 2015
(millions of dollars)**

	<u>FY 2014 Results</u>	<u>FY 2015 Enacted</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
Opening Fund Balance	4,066	4,369	303	7.5%
Receipts:				
Taxes	69,690	70,188	498	0.7%
Miscellaneous Receipts	24,058	25,486	1,428	5.9%
Federal Receipts	76	79	3	3.9%
Total Receipts	<u>93,824</u>	<u>95,753</u>	<u>1,929</u>	<u>2.1%</u>
Disbursements:				
Local Assistance Grants	60,676	62,963	2,287	3.8%
Departmental Operations:				
Personal Service	12,300	12,593	293	2.4%
Non-Personal Service	5,564	5,606	42	0.8%
General State Charges	6,958	7,206	248	3.6%
Debt Service	6,400	5,648	(752)	-11.8%
Capital Projects	4,457	4,979	522	11.7%
Total Disbursements	<u>96,355</u>	<u>98,995</u>	<u>2,640</u>	<u>2.7%</u>
Other Financing Sources (Uses):				
Transfers from Other Funds	31,600	30,503	(1,097)	-3.5%
Transfers to Other Funds	(28,766)	(27,730)	1,036	3.6%
Bond and Note Proceeds	0	306	306	--
Net Other Financing Sources (Uses)	<u>2,834</u>	<u>3,079</u>	<u>245</u>	<u>8.6%</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>303</u>	<u>(163)</u>	<u>(466)</u>	<u>-153.8%</u>

**CASHFLOW
GENERAL FUND
FY 2014
(dollars in millions)**

	2013 April Results	2013 May Results	2013 June Results	2013 July Results	2013 August Results	2013 September Results	2013 October Results	2013 November Results	2013 December Results	2014 January Results	2014 February Results	2014 March Results	Total
OPENING BALANCE	1,610	6,379	3,744	4,805	4,407	3,642	6,273	5,521	4,533	5,887	8,127	8,839	1,610
RECEIPTS:													
Personal Income Tax	4,993	1,790	2,448	1,812	1,662	2,866	1,797	1,331	2,869	3,235	2,369	1,692	28,864
User Taxes and Fees	540	431	664	525	506	657	505	505	657	537	438	596	6,561
Business Taxes	355	109	946	87	65	1,007	99	71	958	187	37	2,125	6,046
Other Taxes	91	111	75	138	76	112	200	96	88	106	106	57	1,256
Total Taxes	5,979	2,441	4,133	2,562	2,309	4,642	2,601	2,003	4,572	4,065	2,950	4,470	42,727
Abandoned Property	0	0	0	1	4	54	12	134	18	34	83	193	533
ABC License Fee	6	6	5	6	6	6	6	5	5	5	5	3	64
Investment Income	0	0	0	0	0	0	0	0	0	0	0	0	1
Licenses, Fees, etc.	41	82	70	42	63	74	70	101	(23)	2	60	40	622
Motor Vehicle Fees	28	(24)	(4)	0	0	0	0	0	0	(94)	0	2	2
Reimbursements	8	1	56	10	10	51	3	19	45	14	30	34	281
Other Transactions	38	2	580	48	(7)	291	59	19	195	70	115	306	1,716
Total Miscellaneous Receipts	121	67	707	107	76	476	150	278	334	31	293	579	3,219
Federal Receipts	0	0	0	0	0	0	0	0	0	0	0	0	0
PIT in Excess of Revenue Bond Debt Service	1,664	421	956	393	202	1,140	391	170	1,026	971	524	964	8,822
Tax in Excess of LGAC	214	47	464	227	167	297	223	222	297	237	3	170	2,568
Sales Tax Bond Fund	163	271	302	226	226	297	216	215	290	241	201	288	2,936
Real Estate Taxes in Excess of CW/CA Debt Service	41	57	34	54	61	72	71	71	62	73	68	41	705
All Other	35	59	18	(16)	1	219	43	67	154	83	1	227	891
Total Transfers from Other Funds	2,117	855	1,774	884	657	2,025	944	745	1,829	1,605	797	1,690	15,922
TOTAL RECEIPTS	8,217	3,363	6,614	3,553	3,042	7,143	3,695	3,026	6,735	5,701	4,040	6,739	61,868
DISBURSEMENTS:													
School Aid	188	2,489	1,617	169	600	1,379	638	1,062	1,547	311	492	6,746	17,238
Higher Education	19	8	598	450	132	54	442	29	101	160	323	468	2,784
All Other Education	23	261	52	151	75	72	337	40	26	258	204	494	1,993
Medicaid - DOH	973	1,253	803	1,016	1,145	598	868	1,225	1,048	542	965	1,051	11,487
Public Health	23	46	23	24	139	48	23	52	80	46	98	94	696
Mental Hygiene	2	0	235	1	2	234	161	3	239	101	114	205	1,297
Children and Families	62	58	170	30	104	262	66	64	129	234	53	515	1,747
Temporary & Disability Assistance	151	105	164	105	109	99	101	102	108	99	52	155	1,350
Transportation	0	23	1	0	25	0	0	24	14	0	11	0	98
Unrestricted Aid	0	11	387	2	1	91	11	1	186	1	0	65	756
All Other	8	19	196	25	38	37	(15)	39	105	(35)	38	39	494
Total Local Assistance Grants	1,449	4,273	4,246	1,973	2,370	2,874	2,632	2,641	3,583	1,717	2,350	9,832	39,940
Personal Service	447	525	435	578	440	437	509	430	566	421	406	369	5,563
Non-Personal Service	116	154	112	141	153	119	129	147	129	129	143	281	1,746
Total Departmental Operations	563	679	547	719	593	556	631	577	695	550	549	650	7,309
General State Charges	443	603	113	619	384	235	618	358	296	492	269	469	4,899
Debt Service	567	(187)	(61)	397	(2)	(253)	594	(2)	(4)	405	(6)	524	1,972
Capital Projects	66	111	95	(18)	126	200	(203)	(1)	41	170	63	786	1,436
State Share Medicaid	40	226	301	44	235	312	1	147	64	102	59	45	1,576
SUNY Operations	210	210	210	182	0	0	1	159	0	0	0	0	971
Other Purposes	110	83	102	35	101	588	174	135	706	25	44	1,037	3,140
Total Transfers to Other Funds	993	443	647	640	460	847	566	438	807	702	160	2,392	9,095
TOTAL DISBURSEMENTS	3,448	5,998	5,553	3,951	3,807	4,512	4,447	4,014	5,381	3,461	3,328	13,343	61,243
Excess/(Deficiency) of Receipts over Disbursements	4,769	(2,635)	1,061	(398)	(765)	2,631	(752)	(988)	1,354	2,240	712	(6,604)	625
CLOSING BALANCE	6,379	3,744	4,805	4,407	3,642	6,273	5,521	4,533	5,887	8,127	8,839	2,235	2,235

CASHFLOW
ALL GOVERNMENTAL FUNDS
FY 2014
(dollars in millions)

	2013 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2014 January Results	February Results	March Results	Total
OPENING BALANCE	3,876	9,554	7,509	7,489	7,078	6,954	7,813	7,896	6,522	7,147	10,634	11,280	3,876
RECEIPTS:													
Personal Income Tax	6,657	2,387	3,827	2,416	2,216	4,073	2,405	1,819	4,036	7,405	3,158	2,562	42,961
User Taxes and Fees	1,153	1,125	1,521	1,220	1,161	1,511	1,184	1,142	1,506	1,235	1,000	1,341	15,099
Business Taxes	496	222	1,219	208	1,262	1,262	211	180	1,203	300	2,639	2,639	8,259
Other Taxes	269	273	199	308	237	277	391	270	261	358	319	209	3,371
Total Taxes	8,575	4,007	6,766	4,152	3,793	7,123	4,191	3,411	7,006	9,298	4,617	6,751	69,690
Abandoned Property	0	0	0	1	4	54	12	134	18	34	83	193	533
ABC License Fee	6	6	5	6	6	6	388	5	5	5	5	3	64
HCRA	331	369	352	372	335	355	0	346	372	354	329	390	4,293
Investment Income	0	0	0	0	0	0	0	0	0	0	0	0	1
Licenses, Fees, etc.	41	82	70	42	63	74	70	101	(23)	2	60	40	622
Lottery	260	361	253	305	257	259	306	238	262	287	254	261	3,303
Medicaid	66	61	69	64	66	76	64	70	63	66	62	63	790
Motor vehicle fees	57	31	44	38	39	30	37	19	127	(36)	50	51	487
Reimbursements	8	1	56	10	10	51	3	19	45	14	30	34	281
State University Income	348	271	253	285	383	735	244	250	278	431	620	330	4,428
Other Transactions	503	407	1,021	625	860	1,050	1,153	534	1,102	636	540	1,001	9,432
Total Miscellaneous Receipts	1,620	1,589	2,123	1,748	2,023	2,690	2,283	1,716	2,249	1,793	2,033	2,387	24,234
Federal Receipts	2,494	4,927	3,287	3,662	3,825	3,649	3,718	2,976	3,942	4,239	3,215	3,855	43,789
TOTAL RECEIPTS	12,689	10,523	12,176	9,562	9,641	13,462	10,192	8,103	13,197	15,330	9,865	12,973	137,713
DISBURSEMENTS:													
School Aid	339	3,123	2,141	381	699	3,542	925	1,498	1,835	628	759	7,131	23,001
Higher Education	19	8	598	450	132	54	442	29	101	160	323	500	2,816
All Other Education	129	461	142	264	127	113	419	75	155	294	274	654	3,107
STAR	0	0	422	0	0	189	8	33	142	2,318	0	245	3,357
Medicaid - DOH	2,921	4,234	3,090	3,537	3,371	2,845	3,688	3,568	3,245	3,677	3,276	3,425	40,877
Public Health	144	235	379	210	552	215	217	278	334	317	382	523	3,786
Mental Hygiene	80	62	409	173	63	423	276	64	467	243	161	495	2,916
Children and Families	68	63	173	277	166	340	79	70	607	378	514	454	2,460
Temporary & Disability Assistance	346	400	551	509	447	577	337	296	607	349	250	661	5,330
Transportation	182	494	447	330	475	410	307	596	813	161	298	241	4,754
Unrestricted Aid	0	11	387	2	1	91	11	1	186	1	0	65	756
All Other	232	554	487	805	572	497	246	241	404	652	311	412	5,413
Total Local Assistance Grants	4,460	9,645	9,226	6,938	6,605	9,296	6,955	6,749	8,565	9,178	6,090	14,866	98,573
Personal Service	1,063	1,196	993	1,313	991	990	1,155	998	1,328	979	964	987	12,957
Non-Personal Service	407	509	509	481	545	604	562	536	562	527	611	953	6,804
Total Departmental Operations	1,470	1,703	1,502	1,794	1,536	1,594	1,717	1,534	1,890	1,506	1,575	1,940	19,761
General State Charges	469	648	526	649	725	395	716	577	647	757	582	589	7,280
Debt Service	282	137	410	90	373	794	219	177	969	89	529	2,331	6,400
Capital Projects	329	430	516	500	525	519	496	437	501	317	439	503	5,512
TOTAL DISBURSEMENTS	7,010	12,563	12,180	9,971	9,764	12,598	10,103	9,474	12,572	11,847	9,215	20,229	137,526
OTHER FINANCING SOURCES (uses):													
Transfers from other funds	3,313	1,930	2,975	2,056	1,723	3,499	1,974	1,602	2,891	2,628	1,316	4,687	30,594
Transfers to other funds	(3,314)	(1,935)	(2,991)	(2,058)	(1,724)	(3,504)	(1,980)	(1,605)	(2,891)	(2,624)	(1,320)	(4,676)	(30,622)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES/(USES)	(1)	(5)	(16)	(2)	(1)	(5)	(6)	(3)	0	4	(4)	11	(28)
Excess/(Deficiency) of Receipts over Disbursements	5,678	(2,045)	(20)	(411)	(124)	859	83	(1,374)	625	3,487	646	(7,245)	159
CLOSING BALANCE	9,554	7,509	7,489	7,078	6,954	7,813	7,896	6,522	7,147	10,634	11,280	4,035	4,035

**CASHFLOW
SPECIAL REVENUE FUNDS
FY 2014
(dollars in millions)**

	2013 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2014 January Results	February Results	March Results	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	2,373	2,970	3,499	2,920	2,634	3,311	2,078	2,189	1,640	1,558	1,448	1,536		2,373
RECEIPTS:														
Personal Income Tax	0	0	422	0	0	189	7	33	158	2,319	0	229	0	3,357
User Taxes and Fees	199	163	191	195	158	192	185	149	196	186	122	120	0	2,056
Business Taxes	91	59	211	65	56	196	60	57	64	64	51	459	0	1,558
Other Taxes	122	99	70	96	82	73	100	84	91	159	130	98	0	1,204
Total Taxes	412	321	894	356	296	650	352	323	634	2,728	303	906	0	8,175
HCRA	331	369	352	372	335	355	388	346	372	354	329	390	0	4,293
State University Income	348	271	253	285	383	735	244	250	278	431	620	330	0	4,428
Lottery	260	361	253	305	257	259	306	238	262	261	287	261	0	3,303
Medicaid	66	61	69	64	66	76	64	70	63	66	62	63	0	790
Motor Vehicle Fees	29	55	48	38	39	30	37	19	33	58	50	49	0	485
Other Transactions	313	235	217	215	683	191	274	226	304	206	206	407	0	3,477
Total Miscellaneous Receipts	1,347	1,352	1,192	1,279	1,763	1,646	1,313	1,149	1,312	1,402	1,521	1,500	0	16,776
Federal Receipts	2,382	4,739	3,126	3,398	3,610	3,286	3,517	2,819	3,753	3,996	3,037	3,742	0	41,405
TOTAL RECEIPTS	4,141	6,412	5,212	5,033	5,669	5,582	5,182	4,291	5,699	8,126	4,861	6,148	0	66,356
DISBURSEMENTS:														
School Aid	151	634	524	212	99	2,163	287	436	288	317	267	385	0	5,763
Higher Education	0	0	0	0	0	0	0	0	0	0	0	32	0	32
All Other Education	106	200	90	113	52	41	82	35	129	36	70	160	0	1,114
STAR	0	0	422	0	0	189	8	33	142	2,318	2,318	245	0	3,357
Medicaid - DOH	1,948	2,981	2,287	2,521	2,226	2,247	2,820	2,343	2,197	3,135	2,311	2,374	0	29,390
Public Health	121	189	356	186	413	167	194	226	254	271	284	429	0	3,090
Mental Hygiene	78	62	174	172	61	189	115	61	228	142	47	290	0	1,619
Children and Families	6	5	3	247	62	78	13	6	147	144	3	(1)	0	713
Temporary & Disability Assistance	195	295	387	404	338	478	236	194	499	250	198	506	0	3,980
Transportation	182	471	446	330	450	410	307	572	799	161	287	241	0	4,656
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	139	428	137	555	338	160	120	100	151	270	109	170	0	2,677
Total Local Assistance Grants	2,926	5,265	4,826	4,740	4,039	6,122	4,182	4,006	4,834	7,044	3,576	4,831	0	56,391
Personal Service	616	671	558	735	551	553	646	568	762	558	558	618	0	7,394
Non-Personal Service	290	352	394	334	388	480	439	388	430	397	466	663	0	5,021
Total Departmental Operations	906	1,023	952	1,069	939	1,033	1,085	956	1,192	955	1,024	1,281	0	12,415
General State Charges	26	45	413	30	341	160	98	219	351	265	313	120	0	2,381
Capital Projects	2	5	1	0	1	(4)	0	0	(3)	0	0	1	0	3
TOTAL DISBURSEMENTS	3,860	6,338	6,192	5,839	5,320	7,311	5,365	5,181	6,374	8,264	4,913	6,233	0	71,190
OTHER FINANCING SOURCES (uses)*:														
Transfers from Other Funds	414	812	774	636	607	1,043	576	621	886	312	385	1,284	(706)	7,644
Transfers to Other Funds	(98)	(357)	(373)	(116)	(279)	(547)	(282)	(280)	(293)	(284)	(245)	(540)	706	(2,988)
NET OTHER FINANCING SOURCES/(USES)	316	455	401	520	328	496	294	341	593	28	140	744	0	4,656
Excess/(Deficiency) of Receipts over Disbursements	597	529	(579)	(286)	677	(1,233)	111	(549)	(82)	(110)	88	659	0	(178)
CLOSING BALANCE	2,970	3,499	2,920	2,634	3,311	2,078	2,189	1,640	1,558	1,448	1,536	2,195	0	2,195

CASHFLOW
SPECIAL REVENUE STATE FUNDS
FY 2014
(dollars in millions)

	2013 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2014 January Results	February Results	March Results	Total
OPENING BALANCE	2,370	3,155	3,493	3,055	3,076	3,438	2,333	2,244	2,009	1,750	1,469	1,592	2,370
RECEIPTS:													
Personal Income Tax	0	0	422	0	0	189	7	33	158	2,319	0	229	3,357
User Taxes and Fees	199	163	191	195	158	192	185	149	196	186	122	120	2,056
Business Taxes	91	59	211	65	56	196	60	57	189	64	51	459	1,558
Other Taxes	122	99	70	96	73	100	84	84	91	159	130	98	1,204
Total Taxes	412	321	894	356	296	650	352	323	634	2,728	303	906	8,175
HCRA	331	369	352	372	335	355	388	346	372	354	329	390	4,293
State University Income	348	271	253	285	383	735	244	250	278	431	620	330	4,428
Lottery	260	361	253	305	257	259	306	238	262	287	254	261	3,303
Medicaid	66	61	69	64	66	76	64	70	63	66	62	63	790
Motor Vehicle Fees	29	55	48	38	39	30	37	19	33	58	50	49	485
Other Transactions	300	198	206	203	180	180	263	212	292	197	192	396	3,304
Total Miscellaneous Receipts	1,334	1,315	1,181	1,267	1,745	1,635	1,302	1,135	1,300	1,393	1,507	1,489	16,603
Federal Receipts	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL RECEIPTS	1,746	1,636	2,075	1,623	2,041	2,285	1,654	1,458	1,934	4,121	1,810	2,395	24,778
DISBURSEMENTS:													
School Aid	0	0	313	0	0	2,012	143	142	143	143	144	142	3,182
Higher Education	0	0	0	0	0	0	0	0	0	0	0	32	32
All Other Education	2	(1)	0	1	3	3	3	0	0	0	0	0	11
STAR	0	0	422	0	0	189	8	33	142	2,318	0	245	3,357
Medicaid - DOH	242	446	431	504	357	371	538	293	304	666	278	324	4,754
Public Health	16	59	210	67	159	49	80	117	118	159	127	211	1,372
Mental Hygiene	59	49	168	157	179	46	94	52	218	130	32	294	1,478
Children and Families	0	1	0	0	0	0	1	0	0	1	1	(1)	3
Temporary & Disability Assistance	0	0	0	0	0	0	0	0	0	0	0	1	1
Transportation	180	470	441	327	448	406	303	569	796	159	284	241	4,624
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	10	16	18	241	129	47	37	15	10	13	24	92	652
Total Local Assistance Grants	509	1,040	2,003	1,297	1,142	3,256	1,207	1,221	1,731	3,589	890	1,581	19,466
Personal Service	565	624	506	671	506	508	600	520	688	509	512	528	6,737
Non-Personal Service	250	294	327	265	298	341	339	291	343	293	330	410	3,781
Total Departmental Operations	815	918	833	936	804	849	939	811	1,031	802	842	938	10,518
General State Charges	18	42	365	23	335	108	84	199	280	249	258	98	2,059
Capital Projects	2	5	1	0	1	(4)	0	0	(3)	0	0	1	3
TOTAL DISBURSEMENTS	1,344	2,005	3,202	2,256	2,282	4,209	2,230	2,231	3,039	4,640	1,990	2,618	32,046
OTHER FINANCING SOURCES (uses):													
Transfers from Other Funds	414	812	774	636	607	1,043	576	621	886	312	385	1,284	8,350
Transfers to Other Funds	(31)	(105)	(85)	18	(4)	(224)	(89)	(83)	(40)	(74)	(82)	(333)	(1,132)
NET OTHER FINANCING SOURCES/(USES)	383	707	689	654	603	819	487	538	846	238	303	951	7,218
Excess/(Deficiency) of Receipts over Disbursements	785	338	(438)	21	362	(1,105)	(89)	(235)	(259)	(281)	123	728	(50)
CLOSING BALANCE	3,155	3,493	3,055	3,076	3,438	2,333	2,244	2,009	1,750	1,469	1,592	2,320	2,320

CASHFLOW
SPECIAL REVENUE FEDERAL FUNDS
FY 2014
(dollars in millions)

	2013 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2014 January Results	February Results	March Results	Total
OPENING BALANCE	3	(185)	6	(135)	(442)	(127)	(255)	(55)	(369)	(192)	(21)	(56)	3
RECEIPTS:													
Miscellaneous Receipts	13	37	11	12	18	11	11	14	12	9	14	11	173
Federal Receipts	2,382	4,739	3,126	3,398	3,610	3,286	3,517	2,819	3,753	3,996	3,037	3,742	41,405
TOTAL RECEIPTS	2,395	4,776	3,137	3,410	3,628	3,297	3,528	2,833	3,765	4,005	3,051	3,753	41,578
DISBURSEMENTS:													
School Aid	151	634	211	212	99	151	144	294	145	174	123	243	2,581
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	104	201	90	112	49	38	79	35	129	36	70	160	1,103
STAR	0	0	0	0	0	0	0	0	0	0	0	0	0
Medicaid - DOH	1,706	2,535	1,856	2,017	1,869	1,876	2,282	2,050	1,893	2,469	2,033	2,050	24,636
Public Health	105	130	146	119	254	118	114	109	136	112	157	218	1,718
Mental Hygiene	19	13	6	15	15	10	21	9	10	12	15	(4)	141
Children and Families	6	4	3	247	62	78	12	6	147	143	2	0	710
Temporary & Disability Assistance	195	295	387	404	338	478	236	194	499	250	198	505	3,979
Transportation	2	1	5	3	2	4	4	3	3	2	3	0	32
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	129	412	119	314	209	113	83	85	141	257	85	78	2,025
Total Local Assistance Grants	2,417	4,225	2,823	3,443	2,897	2,866	2,975	2,785	3,103	3,455	2,686	3,250	36,925
Personal Service	51	47	52	64	45	45	46	48	74	49	46	90	657
Non-Personal Service	40	58	67	69	90	139	100	97	87	104	136	253	1,240
Total Departmental Operations	91	105	119	133	135	184	146	145	161	153	182	343	1,897
General State Charges	8	3	48	7	6	52	14	20	71	16	55	22	322
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL DISBURSEMENTS	2,516	4,333	2,990	3,583	3,038	3,102	3,135	2,950	3,335	3,624	2,923	3,615	39,144
OTHER FINANCING SOURCES (uses):													
Transfers from Other Funds	0	0	0	0	0	0	0	0	0	0	0	0	0
Transfers to Other Funds	(67)	(252)	(288)	(134)	(275)	(323)	(193)	(197)	(253)	(210)	(163)	(207)	(2,562)
NET OTHER FINANCING SOURCES/(USES)	(67)	(252)	(288)	(134)	(275)	(323)	(193)	(197)	(253)	(210)	(163)	(207)	(2,562)
Excess/(Deficiency) of Receipts over Disbursements	(188)	191	(141)	(307)	315	(128)	200	(314)	177	171	(35)	(69)	(128)
CLOSING BALANCE	(185)	6	(135)	(442)	(127)	(255)	(55)	(369)	(192)	(21)	(56)	(125)	(125)

**CASHFLOW
DEBT SERVICE FUNDS
FY 2014
(dollars in millions)**

	2013 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2014 January Results	February Results	March Results	Total
OPENING BALANCE	379	803	928	578	989	1,172	464	991	1,279	499	1,889	1,825	379
RECEIPTS:													
Personal Income Tax	1,664	597	957	604	554	1,018	601	455	1,009	1,851	789	641	10,740
User Taxes and Fees	373	489	605	453	452	595	447	446	595	472	402	572	5,901
Other Taxes	56	63	42	62	67	80	79	78	70	81	71	43	792
Total Taxes	2,093	1,149	1,604	1,119	1,073	1,693	1,127	979	1,674	2,404	1,262	1,256	17,433
Miscellaneous Receipts	27	53	55	45	28	45	52	67	92	83	62	90	699
Federal Receipts	0	0	0	2	33	0	0	0	0	1	35	0	71
TOTAL RECEIPTS	2,120	1,202	1,659	1,166	1,134	1,738	1,179	1,046	1,766	2,488	1,359	1,346	18,203
DISBURSEMENTS:													
Departmental Operations	1	1	3	6	4	5	1	1	3	1	2	9	37
Debt Service	282	137	410	90	373	794	219	177	969	89	529	2,331	6,400
TOTAL DISBURSEMENTS	283	138	413	96	377	799	220	178	972	90	531	2,340	6,437
OTHER FINANCING SOURCES (uses):													
Transfers from Other Funds	726	128	311	584	344	273	744	251	179	617	92	962	5,211
Transfers to Other Funds	(2,139)	(1,067)	(1,907)	(1,243)	(918)	(1,920)	(1,176)	(831)	(1,753)	(1,625)	(984)	(1,559)	(17,122)
NET OTHER FINANCING SOURCES/(USES)	(1,413)	(939)	(1,596)	(659)	(574)	(1,647)	(432)	(580)	(1,574)	(1,008)	(892)	(597)	(11,911)
Excess/(Deficiency) of Receipts over Disbursements	424	125	(350)	411	183	(708)	527	288	(780)	1,390	(64)	(1,591)	(145)
CLOSING BALANCE	803	928	578	989	1,172	464	991	1,279	499	1,889	1,825	234	234

**CASHFLOW
CAPITAL PROJECTS FUNDS
FY 2014**
(dollars in millions)

	2013 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2014 January Results	February Results	March Results	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	(486)	(598)	(662)	(814)	(952)	(1,171)	(1,002)	(805)	(930)	(797)	(830)	(920)		(486)
RECEIPTS:														
User Taxes and Fees	41	42	61	47	45	67	47	42	58	40	38	53	0	581
Business Taxes	50	54	56	56	58	59	52	52	56	49	52	55	0	655
Other Taxes	0	0	12	12	12	12	12	12	0	12	12	11	0	119
Total Taxes	91	96	135	115	115	138	111	106	126	101	102	119	0	1,355
Miscellaneous Receipts	125	117	169	317	156	523	768	222	511	277	157	198	0	3,540
Federal Receipts	112	188	161	262	182	363	201	157	189	242	143	113	0	2,313
TOTAL RECEIPTS	328	401	465	694	453	1,024	1,080	485	826	620	402	430	0	7,208
DISBURSEMENTS:														
Local Assistance Grants	85	107	154	225	196	300	141	102	148	417	164	203	0	2,242
Total Local Assistance Grants	85	107	154	225	196	300	141	102	148	417	164	203	0	2,242
Economic Development	0	0	0	0	1	0	1	1	11	1	7	24	0	46
Parks & the Environment	21	29	28	28	19	42	27	31	36	29	25	57	0	372
Transportation	187	229	361	321	330	359	315	273	314	172	259	182	0	3,302
Health & Social Welfare	1	2	3	2	2	4	2	2	4	6	3	9	0	40
Mental Hygiene	7	12	18	9	12	10	13	13	22	15	14	18	0	163
Public Protection	13	20	21	29	33	21	27	26	21	19	39	13	0	282
Education	89	99	75	102	118	80	103	81	83	66	79	139	0	1,114
All Other	9	34	9	9	9	7	8	10	13	9	13	60	0	190
Total Capital Projects	327	425	515	500	524	523	496	437	504	317	439	502	0	5,509
TOTAL DISBURSEMENTS	412	532	669	725	720	823	637	539	652	734	603	705	0	7,751
OTHER FINANCING SOURCES (uses)*:														
Transfers from Other Funds	67	157	144	(12)	138	196	(153)	19	49	173	378	961	(300)	1,817
Transfers to Other Funds	(95)	(90)	(92)	(95)	(90)	(228)	(93)	(90)	(90)	(92)	(267)	(395)	300	(1,417)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES/(USES)	(28)	67	52	(107)	48	(32)	(246)	(71)	(41)	81	111	566	0	400
Excess/(Deficiency) of Receipts over Disbursements	(112)	(64)	(152)	(138)	(219)	169	197	(125)	133	(33)	(90)	291	0	(143)
CLOSING BALANCE	(598)	(662)	(814)	(952)	(1,171)	(1,002)	(805)	(930)	(797)	(830)	(920)	(629)	0	(629)

CASHFLOW
CAPITAL PROJECTS STATE FUNDS
FY 2014
(dollars in millions)

	2013 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2014 January Results	February Results	March Results	Total
OPENING BALANCE	(293)	(398)	(497)	(634)	(825)	(1,020)	(917)	(713)	(851)	(771)	(803)	(704)	(293)
RECEIPTS:													
User Taxes and Fees	41	42	61	47	45	67	47	42	58	40	38	54	582
Business Taxes	50	54	62	56	58	59	52	54	56	49	52	54	654
Other Taxes	0	0	12	12	12	12	12	12	12	12	12	11	119
Total Taxes	91	96	135	115	115	138	111	106	126	101	102	119	1,355
Miscellaneous Receipts	125	117	169	317	156	523	767	221	510	277	157	198	3,537
Federal Receipts	0	0	0	0	0	2	0	0	0	0	0	3	5
TOTAL RECEIPTS	216	213	304	432	271	663	878	327	636	378	259	320	4,897
DISBURSEMENTS:													
Local Assistance Grants	22	44	91	138	135	131	74	60	82	223	103	167	1,270
Total Local Assistance Grants	22	44	91	138	135	131	74	60	82	223	103	167	1,270
Economic Development	0	0	0	0	1	0	1	1	11	1	7	24	46
Parks & the Environment	21	28	28	27	19	42	27	30	35	29	25	56	367
Transportation	136	142	251	201	186	235	175	176	246	126	225	182	2,281
Health & Social Welfare	1	2	2	2	2	4	2	2	3	6	3	8	37
Mental Hygiene	7	12	18	9	12	10	13	13	22	15	14	18	163
Public Protection	12	18	19	27	32	19	25	23	19	19	39	21	273
Education	89	99	75	102	118	80	103	81	83	66	79	139	1,114
All Other	5	34	9	10	9	7	8	8	14	6	12	51	173
Total Capital Projects	271	335	402	378	379	397	354	334	433	268	404	499	4,454
TOTAL DISBURSEMENTS	293	379	493	516	514	528	428	394	515	491	507	666	5,724
OTHER FINANCING SOURCES (uses):													
Transfers from Other Funds	67	157	144	(12)	138	196	(153)	19	49	173	378	961	2,117
Transfers to Other Funds	(95)	(90)	(92)	(95)	(90)	(228)	(93)	(90)	(90)	(92)	(31)	(331)	(1,417)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES/(USES)	(28)	67	52	(107)	48	(32)	(246)	(71)	(41)	81	347	630	700
Excess/(Deficiency) of Receipts over Disbursements	(105)	(99)	(137)	(191)	(195)	103	204	(138)	80	(32)	99	284	(127)
CLOSING BALANCE	(398)	(497)	(634)	(825)	(1,020)	(917)	(713)	(851)	(771)	(803)	(704)	(420)	(420)

CASHFLOW
CAPITAL PROJECTS FUNDS FEDERAL FUNDS
FY 2014
(dollars in millions)

	2013 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2014 January Results	February Results	March Results	Total
OPENING BALANCE	(193)	(200)	(165)	(180)	(127)	(151)	(85)	(92)	(79)	(26)	(27)	(216)	(193)
RECEIPTS:													
User Taxes and Fees	0	0	0	0	0	0	0	0	0	0	0	0	0
Business Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Other Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	0	0	0	0	0	0	1	1	1	0	0	0	3
Federal Receipts	112	188	161	262	182	361	201	157	189	242	143	110	2,308
TOTAL RECEIPTS	112	188	161	262	182	361	202	158	190	242	143	110	2,311
DISBURSEMENTS:													
Local Assistance Grants	63	63	63	87	61	169	67	42	66	194	61	36	972
Total Local Assistance Grants	63	63	63	87	61	169	67	42	66	194	61	36	972
Economic Development	0	0	0	0	0	0	0	0	0	0	0	0	0
Parks & the Environment	0	1	0	1	0	0	0	1	1	0	0	1	5
Transportation	51	87	110	120	144	124	140	97	68	46	34	0	1,021
Health & Social Welfare	0	0	1	0	0	0	0	0	1	0	0	2	4
Mental Hygiene	0	0	0	0	0	0	0	0	0	0	0	0	0
Public Protection	1	2	2	2	1	2	2	3	2	0	0	(8)	9
Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	4	0	0	(1)	0	0	0	2	(1)	3	1	8	16
Total Capital Projects	56	90	113	122	145	126	142	103	71	49	35	3	1,055
TOTAL DISBURSEMENTS	119	153	176	209	206	295	209	145	137	243	96	39	2,027
OTHER FINANCING SOURCES (uses):													
Transfers from Other Funds	0	0	0	0	0	0	0	0	0	0	0	0	0
Transfers to Other Funds	0	0	0	0	0	0	0	0	0	0	(236)	(64)	(300)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES/(USES)	0	0	0	0	0	0	0	0	0	0	(236)	(64)	(300)
Excess/(Deficiency) of Receipts over Disbursements	(7)	35	(15)	53	(24)	66	(7)	13	53	(1)	(189)	7	(16)
CLOSING BALANCE	(200)	(165)	(180)	(127)	(151)	(85)	(92)	(79)	(26)	(27)	(216)	(209)	(209)

**CASHFLOW
STATE FUNDS
FY 2014**
(dollars in millions)

	2013 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2014 January Results	February Results	March Results	Total
OPENING BALANCE	4,066	9,939	7,668	7,804	7,647	7,232	8,153	8,043	6,970	7,365	10,682	11,552	4,066
RECEIPTS:													
Personal Income Tax	6,657	2,387	3,827	2,416	2,216	4,073	2,405	1,819	4,036	7,405	3,158	2,562	42,961
User Taxes and Fees	1,153	1,125	1,521	1,220	1,161	1,511	1,184	1,142	1,506	1,235	1,000	1,342	15,100
Business Taxes	496	222	1,219	208	1,219	211	211	180	1,203	300	2,638	2,638	8,258
Other Taxes	269	273	199	308	237	277	391	270	261	358	319	209	3,371
Total Taxes	8,575	4,007	6,766	4,152	3,793	7,123	4,191	3,411	7,006	9,298	4,617	6,751	69,690
Abandoned Property	0	0	0	1	4	54	12	134	18	34	83	193	533
ABC License Fee	6	6	5	6	6	6	6	5	5	5	5	3	64
HCRA	331	369	352	372	335	355	388	346	372	354	329	390	4,293
Investment Income	0	0	0	0	0	0	0	0	0	0	0	0	1
Licenses, Fees, etc.	41	82	70	42	63	74	70	101	(23)	2	60	40	622
Lottery	260	361	253	305	257	259	306	238	262	287	254	261	3,303
Medicaid	66	61	69	64	66	76	63	70	63	66	66	63	790
Motor vehicle fees	57	31	44	38	39	30	37	19	127	(36)	50	51	487
Reimbursements	8	1	56	10	10	51	3	19	45	14	30	34	281
State University Income	348	271	253	285	383	735	244	250	278	431	620	330	4,428
Other Transactions	490	370	1,010	613	842	1,039	1,141	519	1,089	627	526	990	9,256
Total Miscellaneous Receipts	1,607	1,552	2,112	1,736	2,005	2,679	2,271	1,701	2,236	1,784	2,019	2,356	24,058
Federal Receipts	0	0	0	2	33	2	0	0	0	1	35	3	76
TOTAL RECEIPTS	10,182	5,559	8,878	5,890	5,831	9,804	6,462	5,112	9,242	11,083	6,671	9,110	93,824
DISBURSEMENTS:													
School Aid	188	2,489	1,930	169	600	3,391	781	1,204	1,690	454	636	6,888	20,420
Higher Education	19	8	598	450	132	54	442	29	101	160	323	500	2,816
All Other Education	25	260	52	152	78	75	340	40	42	258	204	494	2,004
STAR	0	0	422	0	189	8	8	33	142	2,318	0	245	3,357
Medicaid - DOH	1,215	1,699	1,234	1,520	1,502	969	1,406	1,518	1,352	1,208	1,243	1,375	16,241
Public Health	39	105	233	91	298	97	103	169	198	205	225	305	2,068
Mental Hygiene	61	49	403	158	48	413	255	55	457	231	146	499	2,775
Children and Families	62	59	170	30	104	262	67	64	129	235	54	514	1,750
Temporary & Disability Assistance	151	105	164	105	109	99	101	102	108	99	52	156	1,351
Transportation	180	493	442	327	473	406	303	593	810	159	295	241	4,722
Unrestricted Aid	0	11	387	2	1	91	11	1	186	1	0	65	756
All Other	40	79	305	404	302	215	96	114	197	201	165	298	2,416
Total Local Assistance Grants	1,980	5,357	6,340	3,408	3,647	6,261	3,913	3,922	5,396	5,529	3,343	11,580	60,676
Personal Service	1,012	1,149	941	1,249	946	945	1,109	950	1,254	930	918	897	12,300
Non-Personal Service	367	449	442	412	455	465	462	439	475	423	475	700	5,564
Total Departmental Operations	1,379	1,598	1,383	1,661	1,401	1,410	1,571	1,389	1,729	1,353	1,393	1,597	17,864
General State Charges	461	645	478	642	719	343	702	557	576	741	527	567	6,958
Debt Service	282	137	410	90	373	794	219	177	969	89	529	2,331	6,400
Capital Projects	273	340	403	378	380	393	354	334	430	268	404	500	4,457
TOTAL DISBURSEMENTS	4,375	8,077	9,014	6,179	6,520	9,201	6,759	6,379	9,100	7,980	6,196	16,575	96,355
OTHER FINANCING SOURCES (uses):													
Transfers from other funds	3,324	1,952	3,003	2,092	1,746	3,537	2,111	1,636	2,943	2,707	1,652	4,897	31,600
Transfers to other funds	(3,258)	(1,705)	(2,731)	(1,960)	(1,472)	(3,219)	(1,924)	(1,442)	(2,690)	(2,493)	(1,257)	(4,615)	(28,766)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES/(USES)	66	247	272	132	274	318	187	194	253	214	395	282	2,834
Excess/(Deficiency) of Receipts over Disbursements	5,873	(2,271)	136	(157)	(415)	921	(110)	(1,073)	395	3,317	870	(7,183)	303
CLOSING BALANCE	9,939	7,668	7,804	7,647	7,232	8,153	8,043	6,970	7,365	10,682	11,552	4,369	4,369

**CASHFLOW
GENERAL FUND
FY 2015
(dollars in millions)**

	2014		2015										Total
	April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	January Projected	February Projected	March Projected	
OPENING BALANCE	2,235	5,600	2,565	2,515	2,378	1,753	4,072	2,563	1,017	3,029	5,068	5,708	2,235
RECEIPTS:													
Personal Income Tax	3,978	1,297	2,696	1,989	1,784	3,067	1,426	1,544	3,311	3,349	2,699	2,232	29,372
User Taxes and Fees	481	473	645	519	506	647	524	520	699	551	457	630	6,652
Business Taxes	139	8	922	73	41	952	116	77	903	154	98	1,955	5,438
Other Taxes	86	101	101	101	102	102	101	101	101	100	100	101	1,197
Total Taxes	4,684	1,879	4,364	2,682	2,433	4,768	2,167	2,242	5,014	4,154	3,354	4,918	42,659
Abandoned Property	1	0	0	1	4	50	20	140	25	35	85	294	655
ABC License Fee	9	5	5	5	5	5	5	5	3	4	3	2	56
Investment Income	0	0	0	0	0	1	0	0	0	0	0	4	10
Licenses, Fees, etc.	38	50	65	50	50	70	50	70	90	75	65	84	757
Motor Vehicle Fees	37	13	13	12	12	12	10	10	10	9	9	8	155
Reimbursements	4	5	45	10	10	10	10	20	55	15	30	45	299
Other Transactions	88	1,011	27	31	11	162	34	15	49	37	19	399	1,883
Total Miscellaneous Receipts	177	1,084	156	109	92	350	129	260	236	175	211	836	3,815
Federal Receipts	0	0	0	0	0	0	0	0	0	0	0	0	0
PIT in Excess of Revenue Bond Debt Service	1,326	262	1,041	417	243	1,241	361	177	1,166	1,112	477	1,215	9,038
Tax in Excess of LGAC	212	57	464	228	177	295	235	236	319	246	3	156	2,628
Sales Tax Bond Fund	206	219	287	220	220	292	221	222	306	232	197	286	2,908
Real Estate Taxes in Excess of CW/CA Debt Service	65	62	66	70	80	75	67	67	52	55	60	49	761
All Other	90	88	57	36	2	113	38	2	35	56	228	408	1,153
Total Transfers from Other Funds	1,899	688	1,915	971	722	2,016	922	697	1,878	1,701	965	2,114	16,488
TOTAL RECEIPTS	6,760	3,651	6,435	3,762	3,247	7,134	3,218	3,199	7,128	6,030	4,530	7,868	62,962
DISBURSEMENTS:													
School Aid	282	2,660	1,748	90	555	1,586	936	1,496	1,662	425	487	6,529	18,456
Higher Education	13	18	836	259	140	60	466	41	191	35	337	488	2,884
All Other Education	20	304	175	206	159	83	248	72	44	293	209	321	2,134
Medicaid - DOH	1,108	1,034	1,037	927	1,149	756	976	1,117	611	1,025	1,091	767	11,598
Public Health	1	106	65	56	84	33	32	35	81	61	90	107	751
Mental Hygiene	5	1	272	1	1	273	157	0	278	121	85	250	1,444
Children and Families	27	122	141	76	76	246	76	76	229	88	62	322	1,541
Temporary & Disability Assistance	97	106	106	161	115	42	98	98	98	98	98	115	1,232
Transportation	0	24	0	0	24	0	0	24	14	0	12	0	98
Unrestricted Aid	0	13	391	1	1	105	8	1	188	1	3	67	779
All Other	22	3	217	50	55	46	102	107	123	111	122	243	1,201
Total Local Assistance Grants	1,575	4,391	4,988	1,827	2,359	3,230	3,099	3,067	3,519	2,258	2,596	9,209	42,118
Personal Service	447	526	445	588	450	448	537	463	614	458	458	456	5,890
Non-Personal Service	83	144	141	142	135	150	171	171	184	164	192	279	1,960
Total Departmental Operations	530	670	586	730	585	598	712	634	798	622	650	735	7,850
General State Charges	505	859	292	617	418	327	623	425	218	521	208	59	5,072
Debt Service	247	0	(2)	226	(3)	(99)	140	0	(32)	394	(19)	229	1,081
Capital Projects	12	80	(207)	139	61	161	(113)	0	68	49	106	574	930
State Share Medicaid	169	143	154	148	154	155	108	129	120	119	121	118	1,638
SUNY Operations	210	210	210	210	0	0	0	160	0	0	0	(1)	977
Other Purposes	147	333	464	24	298	443	158	330	425	28	228	598	3,476
Total Transfers to Other Funds	785	766	619	725	510	660	293	619	581	590	436	1,518	8,102
TOTAL DISBURSEMENTS	3,395	6,686	6,485	3,899	3,872	4,815	4,727	4,745	5,116	3,991	3,890	11,521	63,142
Excess/(Deficiency) of Receipts over Disbursements	3,365	(3,035)	(50)	(137)	(625)	2,319	(1,509)	(1,546)	2,012	2,039	640	(3,653)	(180)
CLOSING BALANCE	5,600	2,565	2,515	2,378	1,753	4,072	2,563	1,017	3,029	5,068	5,708	2,055	2,055

**CASHFLOW
STATE OPERATING FUNDS
FY 2015**
(dollars in millions)

	2014		2015										Total
	April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	January Projected	February Projected	March Projected	
OPENING BALANCE	4,789	8,914	6,456	6,308	6,584	6,344	6,546	5,377	4,255	5,086	8,740	9,801	4,789
RECEIPTS:													
Personal Income Tax	5,304	1,729	4,160	2,652	2,379	4,360	1,912	2,103	4,608	7,940	3,599	2,989	43,735
User Taxes and Fees	1,138	1,083	1,424	1,172	1,119	1,427	1,182	1,141	1,533	1,231	1,000	1,319	14,769
Business Taxes	204	53	1,151	133	96	1,183	182	136	1,121	225	2,379	2,023	7,023
Other Taxes	290	273	247	279	275	260	279	256	256	329	301	329	3,299
Total Taxes	6,936	3,138	6,982	4,236	3,869	7,230	3,555	3,636	7,518	9,725	5,060	6,941	68,826
Abandoned Property	1	0	0	1	4	50	20	140	25	35	85	294	655
ABC License Fee	9	5	5	5	5	5	5	5	3	4	3	2	56
HCRRA	331	372	403	374	379	373	380	374	377	378	378	418	4,537
Investment Income	0	0	0	0	0	1	0	0	0	0	0	0	10
Licenses, Fees, etc.	38	50	65	50	50	70	50	70	90	75	65	84	757
Lottery	310	252	254	316	258	258	316	252	316	252	254	287	3,325
Medicaid	76	61	61	76	61	61	76	61	76	61	61	57	788
Motor vehicle fees	70	55	51	45	45	45	49	43	40	41	42	40	566
Reimbursements	4	5	45	10	10	50	10	20	55	15	30	45	299
State University Income	258	189	317	281	427	546	355	220	245	468	672	408	4,386
Other Transactions	259	1,310	340	367	261	511	231	332	262	259	162	605	4,899
Total Miscellaneous Receipts	1,356	2,299	1,542	1,525	1,500	1,970	1,492	1,517	1,493	1,588	1,752	2,244	20,278
Federal Receipts	0	0	0	0	2	35	0	0	0	0	2	35	74
TOTAL RECEIPTS	8,292	5,437	8,524	5,761	5,371	9,235	5,047	5,153	9,011	11,313	6,814	9,220	89,178
DISBURSEMENTS:													
School Aid	282	2,660	2,065	90	555	3,632	1,078	1,638	1,804	567	629	6,672	21,672
Higher Education	13	18	836	259	140	60	466	41	191	35	337	520	2,916
All Other Education	21	305	176	207	160	84	249	74	45	294	210	322	2,147
STAR	0	0	424	0	0	203	8	33	145	2,606	0	10	3,429
Medicaid - DOH	1,380	1,622	1,363	1,427	1,491	1,190	1,463	1,575	1,056	1,479	1,501	1,184	16,731
Public Health	30	139	275	93	247	88	77	76	165	119	156	283	1,748
Mental Hygiene	53	43	438	148	90	398	285	44	540	215	141	529	2,924
Children and Families	27	122	141	76	246	76	76	76	229	88	62	326	1,545
Temporary & Disability Assistance	97	106	106	161	115	42	98	98	98	98	98	115	1,232
Transportation	156	485	448	325	500	408	366	600	814	169	277	269	4,817
Unrestricted Aid	0	13	391	1	1	105	8	1	188	1	3	67	779
All Other	40	22	243	286	79	99	33	45	71	43	42	238	1,241
Total Local Assistance Grants	2,099	5,535	6,906	3,073	3,454	6,555	4,207	4,301	5,346	5,714	3,456	10,535	61,181
Personal Service	1,003	1,124	963	1,296	956	955	1,133	981	1,301	970	972	939	12,593
Non-Personal Service	353	404	477	473	494	461	468	459	503	460	500	554	5,606
Total Departmental Operations	1,356	1,528	1,440	1,769	1,450	1,416	1,601	1,440	1,804	1,430	1,472	1,493	18,199
General State Charges	680	902	532	667	560	569	737	605	585	602	434	333	7,206
Debt Service	170	219	292	78	325	849	83	151	621	78	529	2,253	5,648
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL DISBURSEMENTS	4,305	8,184	9,170	5,587	5,789	9,389	6,628	6,497	8,356	7,824	5,891	14,614	92,234
OTHER FINANCING SOURCES (uses):													
Transfers from other funds	2,985	1,834	3,165	1,956	1,567	3,126	1,775	1,691	2,817	2,607	1,608	3,928	29,059
Transfers to other funds	(2,847)	(1,545)	(2,667)	(1,854)	(1,389)	(2,770)	(1,363)	(1,469)	(2,641)	(2,442)	(1,470)	(3,775)	(26,232)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES/(USES)	138	289	498	102	178	356	412	222	176	165	138	153	2,827
Excess/(Deficiency) of Receipts over Disbursements	4,125	(2,458)	(148)	276	(240)	202	(1,169)	(1,122)	831	3,654	1,061	(5,241)	(229)
CLOSING BALANCE	8,914	6,456	6,308	6,584	6,344	6,546	5,377	4,255	5,086	8,740	9,801	4,560	4,560

CASHFLOW
ALL GOVERNMENTAL FUNDS
FY 2015
(dollars in millions)

	2014 April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2015 January Projected	February Projected	March Projected	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	4,035	7,826	5,704	5,665	5,867	5,661	5,355	4,290	3,176	4,041	7,650	8,730	0	4,035
RECEIPTS:														
Personal Income Tax	5,304	1,729	4,160	2,652	2,379	4,360	1,912	2,103	4,608	7,940	3,599	2,989	0	43,735
User Taxes and Fees	1,183	1,124	1,487	1,220	1,163	1,494	1,230	1,181	1,594	1,274	1,040	1,374	0	15,364
Business Taxes	254	107	1,213	1,189	153	1,243	233	188	1,178	272	210	2,431	0	7,671
Other Taxes	290	273	259	291	287	272	233	268	268	341	313	265	0	3,418
Total Taxes	7,031	3,233	7,119	4,352	3,987	7,369	3,666	3,740	7,648	9,827	5,162	7,059	0	70,188
Abandoned Property	1	0	0	1	4	50	20	140	25	35	85	294	0	655
ABC License Fee	9	5	5	5	5	5	5	5	3	4	3	2	0	56
HCRA	331	372	403	374	379	373	380	374	377	378	378	418	0	4,537
Investment Income	0	0	1	0	0	1	0	0	4	0	0	4	0	10
Licenses, Fees, etc.	38	50	65	50	50	70	50	70	90	75	65	84	0	757
Lottery	310	252	254	316	258	258	316	252	316	252	254	287	0	3,325
Medicaid	76	61	61	76	61	61	76	61	76	61	61	57	0	788
Motor vehicle fees	70	55	51	45	45	45	49	43	40	41	42	40	0	566
Reimbursements	4	5	45	10	10	50	10	20	55	15	30	45	0	299
State University Income	258	189	317	281	427	546	355	220	245	468	672	408	0	4,386
Other Transactions	463	1,481	1,171	572	565	769	860	599	935	447	317	2,114	0	10,293
Total Miscellaneous Receipts	1,560	2,470	2,373	1,730	1,804	2,228	2,121	1,784	2,166	1,776	1,907	3,753	0	25,672
Federal Receipts	3,376	3,705	3,659	3,793	3,986	3,893	3,825	3,736	4,043	3,166	3,962	4,645	0	45,789
TOTAL RECEIPTS	11,967	9,408	13,151	9,875	9,772	13,490	9,612	9,260	13,857	14,769	11,031	15,457	0	141,649
DISBURSEMENTS:														
School Aid	573	2,888	2,293	318	783	3,860	1,305	1,866	1,981	741	803	6,895	0	24,306
Higher Education	13	18	836	259	140	60	466	41	191	36	338	526	0	2,924
All Other Education	176	309	183	284	167	91	256	81	244	301	466	507	0	3,065
STAR	0	0	424	0	203	0	8	33	145	2,606	0	10	0	3,429
Medicaid - DOH	3,637	3,666	3,725	3,602	3,832	3,767	3,605	3,718	3,476	3,320	3,862	4,026	0	44,236
Public Health	140	242	375	192	455	186	181	177	266	210	240	495	0	3,159
Mental Hygiene	70	56	448	160	102	409	300	56	553	229	157	529	0	3,069
Children and Families	185	145	201	166	262	331	119	174	332	141	177	378	0	2,511
Temporary & Disability Assistance	282	376	376	431	385	314	391	444	446	401	401	427	0	4,674
Transportation	159	488	451	328	503	411	369	603	817	172	279	271	0	4,851
Unrestricted Aid	0	13	391	1	1	105	8	1	188	1	3	67	0	779
All Other	219	116	536	795	423	517	249	425	542	368	363	1,172	0	5,727
Total Local Assistance Grants	5,454	8,317	10,239	6,536	7,055	10,254	7,257	7,619	9,181	8,526	6,989	15,303	0	102,730
Personal Service	1,052	1,174	1,017	1,368	1,004	1,002	1,183	1,032	1,376	1,021	1,021	1,005	0	13,255
Non-Personal Service	442	477	552	552	580	619	571	561	600	600	607	700	0	6,825
Total Departmental Operations	1,494	1,651	1,569	1,920	1,584	1,621	1,754	1,593	1,976	1,585	1,628	1,705	0	20,080
General State Charges	690	910	586	676	567	628	747	617	643	612	448	391	0	7,515
Debt Service	170	219	292	78	325	849	83	151	621	78	529	2,253	0	5,648
Capital Projects	306	427	525	456	426	436	832	390	580	356	348	909	0	5,991
TOTAL DISBURSEMENTS	8,114	11,524	13,211	9,666	9,957	13,788	10,673	10,370	13,001	11,157	9,942	20,561	0	141,964
OTHER FINANCING SOURCES (uses):														
Transfers from other funds	3,010	2,022	2,966	2,104	1,635	3,292	1,812	1,695	2,888	2,660	1,704	4,715	(781)	29,722
Transfers to other funds	(3,072)	(2,028)	(2,945)	(2,111)	(1,656)	(3,300)	(1,816)	(1,699)	(2,879)	(2,663)	(1,713)	(4,702)	781	(29,802)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	306	0	306
NET OTHER FINANCING SOURCES/(USES)	(62)	(6)	21	(7)	(21)	(8)	(4)	(4)	9	(3)	(9)	319	0	225
Excess/(Deficiency) of Receipts over Disbursements	3,791	(2,122)	(39)	202	(206)	(306)	(1,065)	(1,114)	865	3,609	1,080	(4,785)	0	(90)
CLOSING BALANCE	7,826	5,704	5,665	5,867	5,661	5,355	4,290	3,176	4,041	7,650	8,730	3,945	0	3,945

**CASHFLOW
SPECIAL REVENUE FUNDS
FY 2015
(dollars in millions)**

	2014 April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2015 January Projected	February Projected	March Projected	Total
OPENING BALANCE	2,364	2,603	3,346	3,568	3,427	3,584	1,908	2,270	2,387	1,711	1,998	2,276	2,364
RECEIPTS:													
Personal Income Tax	0	0	424	0	0	203	8	33	145	2,606	0	10	3,429
User Taxes and Fees	228	155	189	196	156	188	187	148	193	188	123	120	2,071
Business Taxes	65	45	229	60	231	231	66	59	218	71	62	424	1,585
Other Taxes	133	104	73	101	86	77	105	88	96	168	136	99	1,266
Total Taxes	426	304	915	357	297	699	366	328	652	3,033	321	653	8,251
HCRA	331	372	403	374	379	373	380	374	377	378	378	418	4,537
State University Income	258	189	317	281	427	546	355	220	245	468	672	408	4,386
Lottery	310	252	254	316	258	258	316	252	316	252	254	287	3,325
Medicaid	76	61	61	76	61	61	76	61	76	61	61	57	788
Motor Vehicle Fees	33	42	38	33	33	33	39	33	30	32	33	32	411
Other Transactions	141	266	285	286	211	318	167	296	189	163	114	306	2,742
Total Miscellaneous Receipts	1,149	1,182	1,358	1,366	1,369	1,589	1,333	1,236	1,233	1,354	1,512	1,508	16,189
Federal Receipts	3,264	3,556	3,509	3,617	3,768	3,640	3,609	3,521	3,868	3,016	3,812	4,474	43,654
TOTAL RECEIPTS	4,839	5,042	5,782	5,340	5,434	5,928	5,308	5,085	5,753	7,403	5,645	6,635	68,194
DISBURSEMENTS:													
School Aid	291	228	545	228	228	2,274	369	370	319	316	316	366	5,850
Higher Education	0	0	0	0	0	0	0	0	0	1	1	38	40
All Other Education	156	5	8	78	8	8	8	9	200	8	257	186	931
STAR	0	0	424	0	0	203	8	33	145	2,606	0	10	3,429
Medicaid - DOH	2,529	2,632	2,688	2,675	2,683	3,011	2,629	2,601	2,865	2,295	2,771	3,259	32,638
Public Health	139	136	310	136	371	153	149	142	185	149	150	388	2,408
Mental Hygiene	65	55	176	159	101	136	143	56	275	108	72	279	1,625
Children and Families	158	23	60	90	186	85	43	98	103	53	15	56	970
Temporary & Disability Assistance	185	270	270	270	270	272	293	346	348	303	303	312	3,442
Transportation	159	464	451	328	479	411	369	579	803	172	267	271	4,753
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	144	16	116	564	171	171	22	208	78	156	141	221	2,052
Total Local Assistance Grants	3,826	3,829	5,048	4,528	4,541	6,724	4,033	4,442	5,321	6,167	4,293	5,386	58,138
Personal Service	605	648	572	780	554	554	646	569	762	563	563	549	7,365
Non-Personal Service	359	330	408	432	432	465	396	387	414	400	411	410	4,822
Total Departmental Operations	964	978	980	1,190	986	1,019	1,042	956	1,176	963	974	959	12,187
General State Charges	185	51	294	59	149	301	124	192	425	91	240	332	2,443
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL DISBURSEMENTS	4,975	4,858	6,322	5,777	5,676	8,044	5,199	5,590	6,922	7,221	5,507	6,677	72,768
OTHER FINANCING SOURCES (uses)*:													
Transfers from Other Funds	625	887	1,000	516	591	775	512	776	717	304	498	903	7,719
Transfers to Other Funds	(250)	(328)	(238)	(220)	(192)	(335)	(259)	(154)	(224)	(199)	(358)	(688)	(3,060)
NET OTHER FINANCING SOURCES/(USES)	375	559	762	296	399	440	253	622	493	105	140	215	4,659
Excess/(Deficiency) of Receipts over Disbursements	239	743	222	(141)	157	(1,676)	362	117	(676)	287	278	173	85
CLOSING BALANCE	2,603	3,346	3,568	3,427	3,584	1,908	2,270	2,387	1,711	1,998	2,276	2,449	2,449

CASHFLOW
SPECIAL REVENUE STATE FUNDS
FY 2015
(dollars in millions)

	2014 April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2015 January Projected	February Projected	March Projected	Total
OPENING BALANCE	2,489	3,058	3,358	3,579	3,437	3,593	2,215	2,276	2,392	1,727	2,012	2,287	2,489
RECEIPTS:													
Personal Income Tax	0	0	424	0	0	203	8	33	145	2,606	0	10	3,429
User Taxes and Fees	228	155	189	196	156	188	187	148	193	188	123	120	2,071
Business Taxes	65	45	229	60	55	231	66	59	218	71	62	424	1,585
Other Taxes	133	104	73	101	86	77	105	88	96	168	136	99	1,266
Total Taxes	426	304	915	357	297	699	366	328	652	3,033	321	653	8,351
HCRA	331	372	403	374	379	373	380	374	377	378	378	418	4,537
State University Income	258	189	317	281	427	546	355	220	245	468	672	408	4,386
Lottery	310	252	254	316	258	258	316	252	316	252	254	287	3,325
Medicaid	76	61	61	76	61	61	61	61	76	61	61	57	788
Motor Vehicle Fees	33	42	38	33	33	33	39	33	30	32	33	32	411
Other Transactions	138	263	282	283	208	315	164	293	186	160	112	152	2,556
Total Miscellaneous Receipts	1,146	1,179	1,355	1,363	1,366	1,586	1,330	1,233	1,230	1,351	1,510	1,354	16,003
Federal Receipts	0	0	0	0	0	0	0	0	0	0	0	1	1
TOTAL RECEIPTS	1,572	1,483	2,270	1,720	1,663	2,285	1,696	1,561	1,882	4,384	1,831	2,008	24,355
DISBURSEMENTS:													
School Aid	0	0	317	0	0	2,046	142	142	142	142	142	143	3,216
Higher Education	0	0	0	0	0	0	0	0	0	0	0	32	32
All Other Education	1	1	1	1	1	1	1	2	1	1	1	1	13
STAR	0	0	424	0	0	203	8	33	145	2,606	0	10	3,429
Medicaid - DOH	272	588	326	500	342	434	487	458	445	454	410	417	5,133
Public Health	29	33	210	37	163	55	45	41	84	58	66	176	997
Mental Hygiene	48	42	166	147	89	125	128	44	262	94	56	279	1,480
Children and Families	0	0	0	0	0	0	0	0	0	0	0	4	4
Temporary & Disability Assistance	0	0	0	0	0	0	0	0	0	0	0	0	0
Transportation	156	461	448	325	476	408	366	576	800	169	265	269	4,719
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	18	19	26	236	24	53	(69)	(62)	(52)	(68)	(80)	(5)	40
Total Local Assistance Grants	524	1,144	1,918	1,246	1,095	3,325	1,108	1,234	1,827	3,456	860	1,326	19,063
Personal Service	556	598	518	708	506	507	596	518	687	512	514	483	6,703
Non-Personal Service	270	257	333	331	346	307	293	285	317	296	304	264	3,603
Total Departmental Operations	826	855	851	1,039	852	814	889	803	1,004	808	818	747	10,306
General State Charges	175	43	240	50	142	242	114	180	367	81	226	274	2,134
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL DISBURSEMENTS	1,525	2,042	3,009	2,335	2,089	4,381	2,111	2,217	3,198	4,345	1,904	2,347	31,503
OTHER FINANCING SOURCES (uses):													
Transfers from Other Funds	625	887	1,000	516	591	775	512	776	717	304	498	903	8,104
Transfers to Other Funds	(103)	(28)	(40)	(43)	(9)	(57)	(36)	(4)	(66)	(58)	(150)	(404)	(998)
NET OTHER FINANCING SOURCES/(USES)	522	859	960	473	582	718	476	772	651	246	348	499	7,106
Excess/(Deficiency) of Receipts over Disbursements	569	300	221	(142)	156	(1,378)	61	116	(665)	285	275	160	(42)
CLOSING BALANCE	3,058	3,358	3,579	3,437	3,593	2,215	2,276	2,392	1,727	2,012	2,287	2,447	2,447

**CASHFLOW
DEBT SERVICE FUNDS
FY 2015
(dollars in millions)**

	2014	2015											
	April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	January Projected	February Projected	March Projected	Total
OPENING BALANCE	65	256	533	214	769	998	259	538	846	330	1,660	1,806	65
RECEIPTS:													
Personal Income Tax	1,326	432	1,040	663	595	1,090	478	526	1,152	1,985	900	747	10,934
User Taxes and Fees	429	455	590	457	457	592	471	473	641	492	420	569	6,046
Other Taxes	71	68	73	77	87	81	73	67	59	61	65	54	836
Total Taxes	1,826	955	1,703	1,197	1,139	1,763	1,022	1,066	1,852	2,538	1,385	1,370	17,816
Miscellaneous Receipts	33	36	31	53	42	34	33	24	27	62	31	54	460
Federal Receipts	0	0	0	0	2	35	0	0	0	0	2	34	73
TOTAL RECEIPTS	1,859	991	1,734	1,250	1,183	1,832	1,055	1,090	1,879	2,600	1,418	1,458	18,349
DISBURSEMENTS:													
Departmental Operations	0	3	3	0	13	4	0	3	2	0	4	11	43
Debt Service	170	219	292	78	325	849	83	151	621	78	529	2,253	5,648
TOTAL DISBURSEMENTS	170	222	295	78	338	853	83	154	623	78	533	2,264	5,691
OTHER FINANCING SOURCES (uses):													
Transfers from Other Funds	461	259	250	469	254	335	341	218	222	602	145	911	4,467
Transfers to Other Funds	(1,959)	(751)	(2,008)	(1,086)	(870)	(2,053)	(1,034)	(846)	(1,994)	(1,794)	(884)	(1,853)	(17,132)
NET OTHER FINANCING SOURCES/(USES)	(1,498)	(492)	(1,758)	(617)	(616)	(1,718)	(693)	(628)	(1,772)	(1,192)	(739)	(942)	(12,665)
Excess/(Deficiency) of Receipts over Disbursements	191	277	(319)	555	229	(739)	279	308	(516)	1,330	146	(1,748)	(7)
CLOSING BALANCE	256	533	214	769	998	259	538	846	330	1,660	1,806	58	58

**CASHFLOW
CAPITAL PROJECTS FUNDS
FY 2015**
(dollars in millions)

	2014 April Projected	2014 May Projected	2014 June Projected	2014 July Projected	2014 August Projected	2014 September Projected	2014 October Projected	2014 November Projected	2014 December Projected	2015 January Projected	2015 February Projected	2015 March Projected	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	(629)	(633)	(740)	(632)	(707)	(674)	(884)	(1,081)	(1,074)	(1,029)	(1,076)	(1,060)		(629)
RECEIPTS:														
User Taxes and Fees	45	41	63	48	44	67	48	40	61	43	40	55	0	595
Business Taxes	50	54	62	56	57	60	51	52	57	47	50	52	0	648
Other Taxes	0	0	12	12	12	12	12	12	12	12	12	11	0	119
Total Taxes	95	95	137	116	113	139	111	104	130	102	102	118	0	1,362
Miscellaneous Receipts	201	168	828	202	301	255	626	264	670	185	153	1,355	0	5,208
Federal Receipts	112	149	150	176	216	218	216	215	175	150	148	137	0	2,062
TOTAL RECEIPTS	408	412	1,115	494	630	612	953	583	975	437	403	1,610	0	8,632
DISBURSEMENTS:														
Local Assistance Grants	53	97	203	181	155	300	125	110	341	101	100	708	0	2,474
Total Local Assistance Grants	53	97	203	181	155	300	125	110	341	101	100	708	0	2,474
Economic Development	27	11	17	34	11	10	9	9	17	10	12	44	0	211
Parks & the Environment	15	32	34	36	39	40	40	37	38	36	37	132	0	516
Transportation	205	246	314	193	198	239	626	189	369	158	129	327	0	3,193
Health & Social Welfare	1	5	6	5	5	5	8	7	8	8	9	28	0	95
Mental Hygiene	6	15	10	8	13	10	7	10	13	11	12	22	0	137
Public Protection	16	23	24	31	21	23	22	29	23	31	31	137	0	411
Education	21	74	94	124	117	87	97	89	88	81	96	185	0	1,153
All Other	15	21	26	25	22	22	23	20	24	21	22	34	0	275
Total Capital Projects	306	427	525	456	426	436	832	390	580	356	348	909	0	5,991
TOTAL DISBURSEMENTS	359	524	728	637	581	736	957	500	921	457	448	1,617	0	8,465
OTHER FINANCING SOURCES (uses)*:														
Transfers from Other Funds	25	188	(199)	148	68	166	37	4	71	53	96	787	(396)	1,048
Transfers to Other Funds	(78)	(183)	(80)	(80)	(84)	(252)	(230)	(80)	(80)	(80)	(35)	(643)	396	(1,509)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	306	0	306
NET OTHER FINANCING SOURCES/(USES)	(53)	5	(279)	68	(16)	(86)	(193)	(76)	(9)	(27)	61	450	0	(155)
Excess/(Deficiency) of Receipts over Disbursements	(4)	(107)	108	(75)	33	(210)	(197)	7	45	(47)	16	443	0	12
CLOSING BALANCE	(633)	(740)	(632)	(707)	(674)	(674)	(1,081)	(1,074)	(1,029)	(1,076)	(1,060)	(617)	0	(617)

**CASHFLOW
STATE FUNDS
FY 2015**
(dollars in millions)

	2014 April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2015 January Projected	February Projected	March Projected	Total
OPENING BALANCE	4,369	8,493	5,984	5,922	6,096	5,841	5,795	4,541	3,378	4,227	7,811	8,845	4,369
RECEIPTS:													
Personal Income Tax	5,304	1,729	4,160	2,652	2,379	4,360	1,912	2,103	4,608	7,940	3,599	2,989	43,735
User Taxes and Fees	1,183	1,124	1,487	1,220	1,163	1,494	1,230	1,181	1,594	1,274	1,040	1,374	15,364
Business Taxes	254	107	1,213	189	153	1,243	233	188	1,178	272	210	2,431	7,671
Other Taxes	290	273	259	291	287	272	291	268	268	341	313	265	3,418
Total Taxes	7,031	3,233	7,119	4,352	3,982	7,369	3,666	3,740	7,648	9,827	5,162	7,059	70,188
Abandoned Property	1	0	0	1	4	50	20	140	25	35	85	294	655
ABC License Fee	9	5	5	5	5	5	5	5	3	4	3	2	56
HCRA	331	372	403	374	379	373	380	374	377	378	378	418	4,537
Investment Income	0	0	0	0	0	1	0	0	4	0	0	4	10
Licenses, Fees, etc.	38	50	65	50	50	70	50	70	90	75	65	84	757
Lottery	310	252	254	316	258	258	316	252	316	252	254	287	3,325
Medicaid	76	61	61	76	61	61	76	61	76	61	61	57	788
Motor vehicle fees	70	55	51	45	45	45	49	43	40	41	42	40	566
Reimbursements	4	5	45	10	10	50	10	20	55	15	30	45	299
State University Income	258	189	317	281	427	546	355	220	942	468	672	408	4,386
Other Transactions	460	1,478	1,168	569	562	766	857	596	220	444	315	1,960	10,107
Total Miscellaneous Receipts	1,557	2,467	2,370	1,727	1,801	2,225	2,118	1,781	2,163	1,773	1,905	3,599	25,486
Federal Receipts	0	0	0	0	2	37	0	0	0	0	2	38	79
TOTAL RECEIPTS	8,588	5,700	9,489	6,079	5,785	9,631	5,784	5,521	9,811	11,600	7,069	10,696	95,753
DISBURSEMENTS:													
School Aid	282	2,660	2,065	90	555	3,632	1,078	1,638	1,804	567	629	6,672	21,672
Higher Education	13	18	836	259	140	60	466	41	191	35	337	520	2,916
All Other Education	21	305	176	207	160	84	249	74	45	294	210	322	2,147
STAR	0	0	424	0	0	203	8	33	145	2,606	0	10	3,429
Medicaid - DOH	1,380	1,622	1,363	1,427	1,491	1,190	1,463	1,575	1,056	1,479	1,501	1,184	16,731
Public Health	30	139	275	93	247	88	77	76	165	119	156	283	1,748
Mental Hygiene	53	43	438	148	90	398	285	44	540	215	141	529	2,924
Children and Families	27	122	141	76	76	246	76	76	229	88	62	326	1,545
Temporary & Disability Assistance	97	106	106	161	115	42	98	98	98	98	98	115	1,232
Transportation	156	485	448	325	500	408	366	600	814	169	277	269	4,817
Unrestricted Aid	0	13	391	1	1	105	8	1	188	1	3	67	779
All Other	54	79	396	409	172	337	96	93	355	93	102	837	3,023
Total Local Assistance Grants	2,113	5,592	7,059	3,196	3,547	6,793	4,270	4,349	5,630	5,764	3,516	11,134	62,963
Personal Service	1,003	1,124	963	1,296	956	955	1,133	981	1,301	970	972	939	12,593
Non-Personal Service	353	404	477	473	494	461	468	459	503	460	500	554	5,606
Total Departmental Operations	1,356	1,528	1,440	1,769	1,450	1,416	1,601	1,440	1,804	1,430	1,472	1,493	18,199
General State Charges	680	902	532	667	560	569	737	605	585	602	434	333	7,206
Debt Service	170	219	292	78	325	849	83	151	621	78	529	2,253	5,648
Capital Projects	230	365	447	365	320	320	716	285	489	280	283	879	4,979
TOTAL DISBURSEMENTS	4,549	8,606	9,770	6,075	6,202	9,947	7,407	6,830	9,129	8,154	6,234	16,092	98,995
OTHER FINANCING SOURCES (uses):													
Transfers from other funds	3,010	2,022	2,966	2,104	1,635	3,292	1,812	1,695	2,888	2,660	1,704	4,715	30,503
Transfers to other funds	(2,925)	(1,625)	(2,747)	(1,934)	(1,473)	(3,022)	(1,443)	(1,549)	(2,721)	(2,522)	(1,505)	(4,264)	(27,730)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	306	306
NET OTHER FINANCING SOURCES/(USES)	85	397	219	170	162	270	369	146	167	138	199	757	3,079
Excess/(Deficiency) of Receipts over Disbursements	4,124	(2,509)	(62)	174	(255)	(46)	(1,254)	(1,163)	849	3,584	1,034	(4,639)	(163)
CLOSING BALANCE	8,493	5,984	5,922	6,096	5,841	5,784	4,541	3,378	4,227	7,811	8,845	4,206	4,206

CASH FINANCIAL PLAN
HEALTH CARE REFORM ACT RESOURCES FUND
FY 2015
(millions of dollars)

	<u>Executive</u>	<u>Change</u>	<u>Enacted</u>
Opening Fund Balance	<u>0</u>	<u>9</u>	<u>9</u>
Receipts:			
Taxes	985	(15)	970
Miscellaneous receipts	<u>4,537</u>	<u>0</u>	<u>4,537</u>
	<u>5,522</u>	<u>(15)</u>	<u>5,507</u>
Disbursements:			
Medical Assistance Account	3,538	(12)	3,526
Hospital Indigent Care Fund	792	0	792
HCRA Program Account	463	4	467
Child Health Plus (CHP)	425	0	425
Elderly Pharmaceutical Insurance Coverage (EPIC)	127	5	132
SHIN-NY/APCD	40	0	40
New York State of Health (NYSOH)	29	1	30
All Other	<u>108</u>	<u>(4)</u>	<u>104</u>
Total Disbursements	<u>5,522</u>	<u>(6)</u>	<u>5,516</u>
Change in Fund Balance	<u>0</u>	<u>(9)</u>	<u>(9)</u>
Closing Fund Balance	<u>0</u>	<u>0</u>	<u>0</u>

Note: (1) FY 2015 costs of the NYSOH will be financed from the Medicaid Assistance account.

**CASH FINANCIAL PLAN
HEALTH CARE REFORM ACT RESOURCES FUND
FY 2015 THROUGH FY 2018
(millions of dollars)**

	FY 2015 Enacted	FY 2016 Projected	FY 2017 Projected	FY 2018 Projected
Opening Fund Balance	<u>9</u>	<u>0</u>	<u>0</u>	<u>0</u>
Receipts:				
Taxes	970	923	880	842
Miscellaneous receipts	<u>4,537</u>	<u>4,723</u>	<u>4,804</u>	<u>4,841</u>
Total Receipts	<u>5,507</u>	<u>5,646</u>	<u>5,684</u>	<u>5,683</u>
Disbursements:				
Medical Assistance Account	3,526	3,565	3,689	3,746
Hospital Indigent Care Fund	792	792	792	792
HCRA Program Account	467	467	467	467
Child Health Plus (CHP)	425	383	323	337
Elderly Pharmaceutical Insurance Coverage (EPIC)	132	130	135	139
SHIN-NY/APCD	40	65	65	0
New York State of Health (NYSOH)	30	114	81	75
All Other	<u>104</u>	<u>130</u>	<u>132</u>	<u>127</u>
Total Disbursements	<u>5,516</u>	<u>5,646</u>	<u>5,684</u>	<u>5,683</u>
Change in Fund Balance	<u>(9)</u>	<u>0</u>	<u>0</u>	<u>0</u>
Closing Fund Balance	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>

Note: (1) The FY 2015 Enacted Budget includes legislation to extend the statutory HCRA authorization through FY 2017.
(2) FY 2015 costs of the NYSOH will be financed from the Medicaid Assistance account.

**CASH FINANCIAL PLAN
HEALTH CARE REFORM ACT RESOURCES FUND
FY 2014 and FY 2015
(millions of dollars)**

	FY 2014 Results	FY 2015 Enacted	Annual Change
Opening Fund Balance	<u>18</u>	<u>9</u>	<u>(9)</u>
Receipts:			
Taxes	1,027	970	(57)
Miscellaneous receipts	<u>4,293</u>	<u>4,537</u>	<u>244</u>
	<u>5,320</u>	<u>5,507</u>	<u>187</u>
Disbursements:			
Medical Assistance Account	3,177	3,526	349
Hospital Indigent Care Fund	776	792	16
HCRA Program Account	433	467	34
Child Health Plus (CHP)	406	425	19
Elderly Pharmaceutical Insurance Coverage (EPIC)	143	132	(11)
SHIN-NY/APCD	0	40	40
New York State of Health (NYSOH)	0	30	30
Public Health	27	0	(27)
HEAL NY	266	0	(266)
All Other	<u>101</u>	<u>104</u>	<u>3</u>
Total Disbursements	<u>5,329</u>	<u>5,516</u>	<u>187</u>
Change in Fund Balance	<u>(9)</u>	<u>(9)</u>	<u>0</u>
Closing Fund Balance	<u>9</u>	<u>0</u>	<u>(9)</u>

Note: (1) FY 2015 costs of the NYSOH will be financed from the Medicaid Assistance account.

CASH FLOW
HEALTH CARE REFORM ACT RESOURCES FUND
FY 2014
(dollars in millions)

	April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	January Results	February Results	March Results	Total
Opening Fund Balance	18	175	162	18	72	54	84	66	167	253	99	110	18
Receipts:													
Taxes	84	93	90	104	87	89	94	78	93	91	57	67	1,027
Miscellaneous receipts	331	369	352	372	335	355	388	346	372	354	329	390	4,293
Total Receipts	415	462	442	476	422	444	482	424	465	445	386	457	5,320
Disbursements:													
Medical Assistance Account	174	315	306	306	232	303	326	158	237	472	155	193	3,177
Hospital Indigent Care Fund	68	63	64	64	62	66	68	64	65	67	61	64	776
HCRA Program Account	6	7	155	2	43	3	2	61	41	21	35	57	433
Child Health Plus (CHP)	1	27	38	25	89	24	24	23	24	23	28	80	406
Elderly Pharmaceutical Insurance Coverage (EPIC)	3	6	16	11	9	6	22	14	11	13	12	20	143
Public Health	4	9	6	3	3	1	1	0	(1)	0	0	(26)	0
HEAL NY	0	0	0	0	0	0	45	0	0	0	80	(143)	27
All Other	2	3	1	11	2	11	12	3	2	3	4	212	266
Total Disbursements	258	475	586	422	440	414	500	323	379	599	375	457	5,228
Change in Fund Balance	157	(13)	(144)	54	(18)	30	(18)	101	86	(154)	11	0	92
Closing Fund Balance	175	162	18	72	54	84	66	167	253	99	110	110	110

CASH FLOW
HEALTH CARE REFORM ACT RESOURCES FUND
FY 2015
(dollars in millions)

	April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	January Projected	February Projected	March Projected	Total
Opening Fund Balance	9	191	82	79	88	98	115	114	120	128	136	147	9
Receipts:													
Taxes	83	83	84	99	82	84	89	74	88	86	54	64	970
Miscellaneous receipts	331	372	403	374	379	373	380	374	377	378	378	418	4,537
Total Receipts	414	455	487	473	461	457	469	448	465	464	432	482	5,507
Disbursements:													
Medical Assistance Account	198	394	197	354	213	305	343	329	299	326	282	286	3,526
Hospital Indigent Care Fund	0	132	66	66	66	66	66	66	66	66	66	66	792
HCRA Program Account	4	4	177	4	69	26	4	4	49	22	29	75	467
Child Health Plus (CHP)	25	25	25	24	88	25	25	25	25	25	25	88	425
Elderly Pharmaceutical Insurance Coverage (EPIC)	3	7	10	11	9	6	19	14	11	14	14	14	132
SHIN-NY/APCD	0	0	0	0	0	0	0	0	0	0	0	40	40
New York State of Health (NYSOH)	2	2	2	4	2	2	2	2	4	2	2	4	30
All Other	0	0	13	1	4	10	11	2	3	1	3	56	104
Total Disbursements	232	564	490	464	451	440	470	442	457	456	421	629	5,516
Change in Fund Balance	182	(109)	(3)	9	10	17	(1)	6	8	8	11	(147)	(9)
Closing Fund Balance	191	82	79	88	98	115	114	120	128	136	147	0	0

Note: (1) FY 2015 costs of the NYSOH will be financed from the Medicaid Assistance account.

**CASH FINANCIAL PLAN
PROPRIETARY AND FIDUCIARY FUNDS**
(millions of dollars)

	FY 2014			FY 2015			FY 2016			FY 2017			FY 2018		
	Internal Service	Enterprise	Fiduciary	Internal Service	Enterprise	Fiduciary	Internal Service	Enterprise	Fiduciary	Internal Service	Enterprise	Fiduciary	Internal Service	Enterprise	Fiduciary
Opening Fund Balance	(6)	84	(107)	(73)	64	(117)	(161)	64	450	(271)	64	428	(398)	64	406
Receipts:															
Unemployment Taxes	0	2,974	0	0	2,472	0	0	2,472	0	0	2,472	0	0	2,472	0
Miscellaneous Receipts	590	151	1	1,021	153	536	992	140	5	993	140	5	992	140	5
Federal Receipts	0	1,436	0	0	1,000	0	0	1,000	0	0	1,000	0	0	1,000	0
Total Receipts	590	4,561	1	1,021	3,625	536	992	3,612	5	993	3,612	5	992	3,612	5
Disbursements:															
Local Assistance Grants	0	0	0	8	0	0	8	0	0	8	0	0	8	0	0
Departmental Operations:															
Personal Service	108	6	0	121	7	0	124	7	0	125	7	0	125	7	0
Non-Personal Service	531	136	0	957	143	0	954	130	0	965	130	0	973	130	0
Unemployment Benefits	0	4,437	0	0	3,472	0	0	3,472	0	0	3,472	0	0	3,472	0
General State Charges	57	2	0	71	3	0	76	3	0	80	3	0	79	3	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	696	4,581	0	1,157	3,625	0	1,162	3,612	0	1,178	3,612	0	1,185	3,612	0
Other Financing Sources (Uses):															
Transfers from Other Funds	97	0	0	105	0	58	98	0	0	94	0	0	96	0	0
Transfers to Other Funds	(58)	0	(11)	(57)	0	(27)	(38)	0	(27)	(36)	0	(27)	(36)	0	(4)
	39	0	(11)	48	0	31	60	0	(27)	58	0	(27)	60	0	(4)
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(67)	(20)	(10)	(88)	0	567	(110)	0	(22)	(127)	0	(22)	(133)	0	1
Closing Fund Balance	(73)	64	(117)	(161)	64	450	(271)	64	428	(398)	64	406	(531)	64	407

Workforce Impact Summary

General Fund 2012-13 Through 2014-15

	2012-13 Actuals (03/31/13)	2013-14 Actuals (03/31/14)	2014-15 Estimate (03/31/15)
Major Agencies			
Children and Family Services, Office of	2,641	2,508	2,551
Corrections and Community Supervision, Department of	29,117	28,637	28,167
Education Department, State	265	274	279
Environmental Conservation, Department of	1,069	1,055	1,063
General Services, Office of	782	879	924
Health, Department of	1,603	1,480	1,543
Information Technology Services, Office of	1,744	3,669	3,738
Labor, Department of	0	1	1
Parks, Recreation and Historic Preservation, Office of	1,420	1,606	1,419
State Police, Division of	5,142	5,314	5,361
Taxation and Finance, Department of	4,291	4,230	3,667
Temporary and Disability Assistance, Office of	900	916	973
Subtotal - Major Agencies	48,974	50,569	49,686
Minor Agencies	2,870	3,085	3,414
Subtotal - Subject to Direct Executive Control	51,844	53,654	53,100
Independently Elected Agencies			
Audit and Control, Department of	1,316	1,316	1,413
Law, Department of	1,023	1,020	1,101
Subtotal - Independently Elected Agencies	2,339	2,336	2,514
Grand Total	54,183	55,990	55,614

Workforce Impact Summary

General Fund 2012-13 Through 2014-15

	2012-13 Actuals (03/31/13)	2013-14 Actuals (03/31/14)	2014-15 Estimate (03/31/15)
Minor Agencies			
Adirondack Park Agency	54	52	54
Aging, Office for the	14	18	19
Agriculture and Markets, Department of	363	382	370
Alcoholism and Substance Abuse Services, Office of	2	2	0
Arts, Council on the	25	25	28
Budget, Division of the	245	237	254
Civil Service, Department of	158	157	169
Correction, Commission of	26	28	29
Criminal Justice Services, Division of	380	384	418
Economic Development, Department of	139	140	150
Elections, State Board of	56	54	69
Employee Relations, Office of	26	23	26
Executive Chamber	111	134	136
Homeland Security and Emergency Services, Division of	71	78	91
Housing and Community Renewal, Division of	78	83	54
Hudson River Valley Greenway Communities Council	1	1	1
Human Rights, Division of	167	125	124
Inspector General, Office of the	62	63	67
Judicial Conduct, Commission on	46	46	50
Justice Center for the Protection of People with Special Needs	0	268	326
Labor Management Committees	63	72	77
Lieutenant Governor, Office of the	5	4	7
Medicaid Inspector General, Office of the	237	224	242
Military and Naval Affairs, Division of	149	151	150
Prevention of Domestic Violence, Office for	15	17	18
Public Employment Relations Board	30	27	33
Public Ethics, Joint Commission on	43	37	45
Quality of Care and Advocacy for Persons With Disabilities, Commission on	51	0	0
State, Department of	145	147	144
Statewide Financial System	0	0	139
Tax Appeals, Division of	25	25	27
Veterans' Affairs, Division of	82	79	90
Welfare Inspector General, Office of	1	2	7
Subtotal - Minor Agencies	2,870	3,085	3,414

Workforce Impact Summary

State Operating Funds 2012-13 Through 2014-15

	2012-13 Actuals (03/31/13)	2013-14 Actuals (03/31/14)	2014-15 Estimate (03/31/15)
Major Agencies			
Children and Family Services, Office of	2,681	2,549	2,595
Corrections and Community Supervision, Department of	29,119	28,640	28,171
Education Department, State	1,272	1,266	1,295
Environmental Conservation, Department of	2,224	2,257	2,254
Financial Services, Department of	1,238	1,268	1,299
General Services, Office of	836	933	988
Health, Department of	3,831	3,673	3,769
Information Technology Services, Office of	2,718	3,669	3,801
Labor, Department of	412	398	520
Mental Health, Office of	14,489	14,545	14,555
Motor Vehicles, Department of	726	715	684
Parks, Recreation and Historic Preservation, Office of	1,601	1,620	1,592
People with Developmental Disabilities, Office for	20,104	19,259	18,293
State Police, Division of	5,222	5,386	5,439
Taxation and Finance, Department of	4,352	4,280	4,368
Temporary and Disability Assistance, Office of	900	916	973
Transportation, Department of	137	137	97
Workers' Compensation Board	1,167	1,145	1,220
Subtotal - Major Agencies	93,029	92,656	91,913
Minor Agencies	6,349	6,456	6,806
Subtotal - Subject to Direct Executive Control	99,378	99,112	98,719
University Systems			
City University of New York	273	264	267
State University of New York	43,239	43,325	43,339
Subtotal - University Systems	43,512	43,589	43,606
Independently Elected Agencies			
Audit and Control, Department of	1,491	1,495	1,582
Law, Department of	1,501	1,491	1,578
Subtotal - Independently Elected Agencies	2,992	2,986	3,160
Grand Total	145,882	145,687	145,485

Workforce Impact Summary

State Operating Funds 2012-13 Through 2014-15

	2012-13 Actuals (03/31/13)	2013-14 Actuals (03/31/14)	2014-15 Estimate (03/31/15)
Minor Agencies			
Adirondack Park Agency	54	52	54
Aging, Office for the	14	18	19
Agriculture and Markets, Department of	416	435	410
Alcoholic Beverage Control, Division of	115	121	127
Alcoholism and Substance Abuse Services, Office of	765	765	762
Arts, Council on the	25	25	28
Budget, Division of the	268	258	276
Civil Service, Department of	162	161	174
Correction, Commission of	26	28	29
Criminal Justice Services, Division of	383	388	423
Deferred Compensation Board	4	4	4
Economic Development, Department of	141	142	152
Elections, State Board of	56	54	69
Employee Relations, Office of	26	23	26
Executive Chamber	111	134	136
Financial Control Board, New York State	14	13	14
Gaming Commission, New York State	365	369	430
Higher Education Services Corporation, New York State	422	298	292
Homeland Security and Emergency Services, Division of	263	256	304
Housing and Community Renewal, Division of	556	584	562
Hudson River Valley Greenway Communities Council	1	1	1
Human Rights, Division of	167	125	124
Indigent Legal Services, Office of	10	10	10
Inspector General, Office of the	62	63	67
Interest on Lawyer Account	8	8	8
Judicial Conduct, Commission on	46	46	50
Justice Center for the Protection of People with Special Needs	0	284	351
Labor Management Committees	63	72	77
Lieutenant Governor, Office of the	5	4	7
Medicaid Inspector General, Office of the	237	224	242
Military and Naval Affairs, Division of	154	156	156
Prevention of Domestic Violence, Office for	15	17	18
Public Employment Relations Board	30	27	33
Public Ethics, Joint Commission on	43	37	45
Public Service Department	477	494	518
Quality of Care and Advocacy for Persons With Disabilities, Commission on	74	0	0
State, Department of	495	494	495
Statewide Financial System	118	111	139
Tax Appeals, Division of	25	25	27
Veterans' Affairs, Division of	82	79	90
Victim Services, Office of	49	49	50
Welfare Inspector General, Office of	2	2	7
Subtotal - Minor Agencies	6,349	6,456	6,806

Workforce Impact Summary

State Funds
2012-13 Through 2014-15

	2012-13 Actuals (03/31/13)	2013-14 Actuals (03/31/14)	2014-15 Estimate (03/31/15)
Major Agencies			
Children and Family Services, Office of	2,687	2,566	2,687
Corrections and Community Supervision, Department of	29,413	28,946	28,496
Education Department, State	1,413	1,405	1,437
Environmental Conservation, Department of	2,591	2,618	2,614
Financial Services, Department of	1,238	1,268	1,299
General Services, Office of	1,306	1,449	1,564
Health, Department of	3,884	3,723	3,825
Information Technology Services, Office of	3,383	3,689	3,801
Labor, Department of	412	416	520
Mental Health, Office of	14,538	14,593	14,605
Motor Vehicles, Department of	2,225	2,220	2,187
Parks, Recreation and Historic Preservation, Office of	1,716	1,732	1,718
People with Developmental Disabilities, Office for	20,104	19,259	18,293
State Police, Division of	5,222	5,386	5,439
Taxation and Finance, Department of	4,352	4,280	4,368
Temporary and Disability Assistance, Office of	900	916	978
Transportation, Department of	8,619	8,474	8,264
Workers' Compensation Board	1,167	1,145	1,220
Subtotal - Major Agencies	105,170	104,085	103,315
Minor Agencies	6,553	6,674	7,051
Subtotal - Subject to Direct Executive Control	111,723	110,759	110,366
University Systems			
City University of New York	13,437	13,864	13,643
State University Construction Fund	150	146	152
State University of New York	43,242	43,325	43,341
Subtotal - University Systems	56,829	57,335	57,136
Independently Elected Agencies			
Audit and Control, Department of	2,471	2,493	2,638
Law, Department of	1,507	1,495	1,585
Subtotal - Independently Elected Agencies	3,978	3,988	4,223
Grand Total	172,530	172,082	171,725

Workforce Impact Summary

State Funds
2012-13 Through 2014-15

	2012-13 Actuals (03/31/13)	2013-14 Actuals (03/31/14)	2014-15 Estimate (03/31/15)
Minor Agencies			
Adirondack Park Agency	54	52	54
Aging, Office for the	14	18	19
Agriculture and Markets, Department of	463	479	458
Alcoholic Beverage Control, Division of	115	121	127
Alcoholism and Substance Abuse Services, Office of	765	765	762
Arts, Council on the	25	25	28
Budget, Division of the	268	258	276
Civil Service, Department of	299	318	350
Correction, Commission of	26	28	29
Criminal Justice Services, Division of	383	388	423
Deferred Compensation Board	4	4	4
Economic Development, Department of	141	142	152
Elections, State Board of	56	54	69
Employee Relations, Office of	38	30	37
Executive Chamber	111	134	136
Financial Control Board, New York State	14	13	14
Gaming Commission, New York State	365	369	430
Higher Education Services Corporation, New York State	422	298	292
Homeland Security and Emergency Services, Division of	263	256	304
Housing and Community Renewal, Division of	556	584	562
Hudson River Valley Greenway Communities Council	1	1	1
Human Rights, Division of	167	125	124
Indigent Legal Services, Office of	10	10	10
Inspector General, Office of the	62	63	67
Interest on Lawyer Account	8	8	8
Judicial Conduct, Commission on	46	46	50
Justice Center for the Protection of People with Special Needs	0	284	351
Labor Management Committees	63	72	77
Lieutenant Governor, Office of the	5	4	7
Medicaid Inspector General, Office of the	237	224	242
Military and Naval Affairs, Division of	154	156	156
Prevention of Domestic Violence, Office for	23	27	28
Public Employment Relations Board	30	27	33
Public Ethics, Joint Commission on	43	37	45
Public Service Department	477	494	518
Quality of Care and Advocacy for Persons With Disabilities, Commission on	74	0	0
State, Department of	495	494	495
Statewide Financial System	118	111	139
Tax Appeals, Division of	25	25	27
Veterans' Affairs, Division of	82	79	90
Victim Services, Office of	49	49	50
Welfare Inspector General, Office of	2	2	7
Subtotal - Minor Agencies	6,553	6,674	7,051

Workforce Impact Summary

All Funds

2012-13 Through 2014-15

	2012-13 Actuals (03/31/13)	2013-14 Actuals (03/31/14)	2014-15 Estimate (03/31/15)
Major Agencies			
Children and Family Services, Office of	3,068	2,951	3,071
Corrections and Community Supervision, Department of	29,443	28,975	29,142
Education Department, State	2,618	2,611	2,692
Environmental Conservation, Department of	2,901	2,917	2,919
Financial Services, Department of	1,242	1,271	1,322
General Services, Office of	1,306	1,449	1,564
Health, Department of	4,546	4,701	5,000
Information Technology Services, Office of	3,726	3,689	3,804
Labor, Department of	3,615	3,417	3,247
Mental Health, Office of	14,538	14,593	14,616
Motor Vehicles, Department of	2,243	2,237	2,205
Parks, Recreation and Historic Preservation, Office of	1,731	1,748	1,737
People with Developmental Disabilities, Office for	20,116	19,271	18,311
State Police, Division of	5,222	5,386	5,439
Taxation and Finance, Department of	4,352	4,280	4,368
Temporary and Disability Assistance, Office of	1,834	1,790	1,918
Transportation, Department of	8,687	8,540	8,337
Workers' Compensation Board	1,167	1,145	1,220
Subtotal - Major Agencies	112,355	110,971	110,912
Minor Agencies	7,401	7,521	8,049
Subtotal - Subject to Direct Executive Control	119,756	118,492	118,961
University Systems			
City University of New York	13,437	13,864	13,643
State University Construction Fund	150	146	152
State University of New York	43,243	43,326	43,342
Subtotal - University Systems	56,830	57,336	57,137
Independently Elected Agencies			
Audit and Control, Department of	2,476	2,498	2,643
Law, Department of	1,740	1,715	1,833
Subtotal - Independently Elected Agencies	4,216	4,213	4,476
Grand Total	180,802	180,041	180,574

Workforce Impact Summary

All Funds
2012-13 Through 2014-15

	2012-13 Actuals (03/31/13)	2013-14 Actuals (03/31/14)	2014-15 Estimate (03/31/15)
Minor Agencies			
Adirondack Park Agency	54	52	54
Aging, Office for the	89	88	95
Agriculture and Markets, Department of	467	483	486
Alcoholic Beverage Control, Division of	115	121	127
Alcoholism and Substance Abuse Services, Office of	765	765	762
Arts, Council on the	25	25	28
Budget, Division of the	268	258	276
Civil Service, Department of	299	318	350
Correction, Commission of	26	28	29
Criminal Justice Services, Division of	416	417	455
Deferred Compensation Board	4	4	4
Economic Development, Department of	141	142	152
Elections, State Board of	56	54	70
Employee Relations, Office of	38	30	37
Executive Chamber	111	134	136
Financial Control Board, New York State	14	13	14
Gaming Commission, New York State	365	369	430
Higher Education Services Corporation, New York State	422	298	292
Homeland Security and Emergency Services, Division of	368	377	433
Housing and Community Renewal, Division of	660	685	683
Hudson River Valley Greenway Communities Council	1	1	1
Human Rights, Division of	167	154	164
Indigent Legal Services, Office of	10	10	10
Inspector General, Office of the	62	63	67
Interest on Lawyer Account	8	8	8
Judicial Conduct, Commission on	46	46	50
Justice Center for the Protection of People with Special Needs	0	284	352
Labor Management Committees	63	72	77
Lieutenant Governor, Office of the	5	4	7
Medicaid Inspector General, Office of the	474	448	484
Military and Naval Affairs, Division of	367	357	387
Prevention of Domestic Violence, Office for	23	27	28
Public Employment Relations Board	30	27	33
Public Ethics, Joint Commission on	43	37	45
Public Service Department	477	494	533
Quality of Care and Advocacy for Persons With Disabilities, Commission on	76	0	0
State, Department of	544	536	545
Statewide Financial System	118	111	139
Tax Appeals, Division of	25	25	27
Veterans' Affairs, Division of	88	85	98
Victim Services, Office of	69	69	74
Welfare Inspector General, Office of	2	2	7
Subtotal - Minor Agencies	7,401	7,521	8,049

Workforce Impact Summary

Special Revenue Funds - Other 2012-13 Through 2014-15

	2012-13 Actuals (03/31/13)	2013-14 Actuals (03/31/14)	2014-15 Estimate (03/31/15)
Major Agencies			
Children and Family Services, Office of	40	41	44
Corrections and Community Supervision, Department of	2	3	4
Education Department, State	1,007	992	1,016
Environmental Conservation, Department of	1,155	1,202	1,191
Financial Services, Department of	1,238	1,268	1,299
General Services, Office of	54	54	64
Health, Department of	2,228	2,193	2,226
Information Technology Services, Office of	974	0	63
Labor, Department of	412	397	519
Mental Health, Office of	14,489	14,545	14,555
Motor Vehicles, Department of	726	715	684
Parks, Recreation and Historic Preservation, Office of	181	14	173
People with Developmental Disabilities, Office for	20,104	19,259	18,293
State Police, Division of	80	72	78
Taxation and Finance, Department of	61	50	701
Transportation, Department of	137	137	97
Workers' Compensation Board	1,167	1,145	1,220
Subtotal - Major Agencies	44,055	42,087	42,227
Minor Agencies	3,479	3,371	3,392
Subtotal - Subject to Direct Executive Control	47,534	45,458	45,619
University Systems			
City University of New York	273	264	267
State University of New York	43,239	43,325	43,339
Subtotal - University Systems	43,512	43,589	43,606
Independently Elected Agencies			
Audit and Control, Department of	175	179	169
Law, Department of	478	471	477
Subtotal - Independently Elected Agencies	653	650	646
Grand Total	91,699	89,697	89,871

Workforce Impact Summary

Special Revenue Funds - Other 2012-13 Through 2014-15

	2012-13 Actuals (03/31/13)	2013-14 Actuals (03/31/14)	2014-15 Estimate (03/31/15)
Minor Agencies			
Agriculture and Markets, Department of	53	53	40
Alcoholic Beverage Control, Division of	115	121	127
Alcoholism and Substance Abuse Services, Office of	763	763	762
Budget, Division of the	23	21	22
Civil Service, Department of	4	4	5
Criminal Justice Services, Division of	3	4	5
Deferred Compensation Board	4	4	4
Economic Development, Department of	2	2	2
Financial Control Board, New York State	14	13	14
Gaming Commission, New York State	365	369	430
Higher Education Services Corporation, New York State	422	298	292
Homeland Security and Emergency Services, Division of	192	178	213
Housing and Community Renewal, Division of	478	501	508
Indigent Legal Services, Office of	10	10	10
Interest on Lawyer Account	8	8	8
Justice Center for the Protection of People with Special Needs	0	16	25
Military and Naval Affairs, Division of	5	5	6
Public Service Department	477	494	518
Quality of Care and Advocacy for Persons With Disabilities, Commission on	23	0	0
State, Department of	350	347	351
Statewide Financial System	118	111	0
Victim Services, Office of	49	49	50
Welfare Inspector General, Office of	1	0	0
Subtotal - Minor Agencies	3,479	3,371	3,392

Workforce Impact Summary

Special Revenue Funds - Federal 2012-13 Through 2014-15

	2012-13 Actuals (03/31/13)	2013-14 Actuals (03/31/14)	2014-15 Estimate (03/31/15)
Major Agencies			
Children and Family Services, Office of	381	385	384
Corrections and Community Supervision, Department of	30	29	646
Education Department, State	1,205	1,206	1,255
Environmental Conservation, Department of	304	292	298
Financial Services, Department of	4	3	23
Health, Department of	662	978	1,175
Information Technology Services, Office of	343	0	3
Labor, Department of	3,203	3,001	2,727
Mental Health, Office of	0	0	11
Motor Vehicles, Department of	18	17	18
Parks, Recreation and Historic Preservation, Office of	15	16	19
People with Developmental Disabilities, Office for	12	12	18
Temporary and Disability Assistance, Office of	934	874	940
Transportation, Department of	68	66	73
Subtotal - Major Agencies	7,179	6,879	7,590
Minor Agencies			
Aging, Office for the	75	70	76
Agriculture and Markets, Department of	4	4	28
Criminal Justice Services, Division of	33	29	32
Elections, State Board of	0	0	1
Homeland Security and Emergency Services, Division of	105	121	129
Housing and Community Renewal, Division of	104	101	101
Human Rights, Division of	0	29	40
Justice Center for the Protection of People with Special Needs	0	0	1
Medicaid Inspector General, Office of the	237	224	242
Military and Naval Affairs, Division of	213	201	231
Public Service Department	0	0	15
Quality of Care and Advocacy for Persons With Disabilities, Commission on	2	0	0
State, Department of	49	42	50
Veterans' Affairs, Division of	6	6	8
Victim Services, Office of	20	20	24
Subtotal - Minor Agencies	848	847	978
Subtotal - Subject to Direct Executive Control	8,027	7,726	8,568
University Systems			
State University of New York	1	1	1
Subtotal - University Systems	1	1	1
Independently Elected Agencies			
Audit and Control, Department of	5	5	5
Law, Department of	233	220	248
Subtotal - Independently Elected Agencies	238	225	253
Grand Total	8,266	7,952	8,822

Workforce Impact Summary

Capital Projects Funds - Other 2012-13 Through 2014-15

	2012-13 Actuals (03/31/13)	2013-14 Actuals (03/31/14)	2014-15 Estimate (03/31/15)
Major Agencies			
Children and Family Services, Office of	6	4	4
Corrections and Community Supervision, Department of	26	25	30
Environmental Conservation, Department of	367	361	360
Health, Department of	53	50	56
Information Technology Services, Office of	234	0	0
Mental Health, Office of	36	35	32
Motor Vehicles, Department of	1,499	1,505	1,503
Parks, Recreation and Historic Preservation, Office of	115	112	126
Temporary and Disability Assistance, Office of	0	0	5
Transportation, Department of	8,482	8,337	8,167
Subtotal - Major Agencies	10,818	10,429	10,283
Subtotal - Subject to Direct Executive Control	10,818	10,429	10,283
University Systems			
State University Construction Fund	150	146	152
State University of New York	3	0	2
Subtotal - University Systems	153	146	154
Independently Elected Agencies			
Law, Department of	6	4	7
Subtotal - Independently Elected Agencies	6	4	7
Grand Total	10,977	10,579	10,444

Workforce Impact Summary

Capital Projects Funds - Federal 2012-13 Through 2014-15

	2012-13 Actuals (03/31/13)	2013-14 Actuals (03/31/14)	2014-15 Estimate (03/31/15)
Major Agencies			
Environmental Conservation, Department of	6	7	7
Subtotal - Major Agencies	6	7	7
Minor Agencies			
Housing and Community Renewal, Division of	0	0	20
Subtotal - Minor Agencies	0	0	20
Subtotal - Subject to Direct Executive Control	6	7	27
Grand Total	6	7	27

Workforce Impact Summary

Enterprise Funds 2012-13 Through 2014-15

	2012-13 Actuals (03/31/13)	2013-14 Actuals (03/31/14)	2014-15 Estimate (03/31/15)
Major Agencies			
Corrections and Community Supervision, Department of	5	7	10
General Services, Office of	6	7	10
Information Technology Services, Office of	1	0	0
Mental Health, Office of	0	0	5
Subtotal - Major Agencies	12	14	25
Minor Agencies			
Agriculture and Markets, Department of	44	42	45
Subtotal - Minor Agencies	44	42	45
Subtotal - Subject to Direct Executive Control	56	56	70
Grand Total	56	56	70

Workforce Impact Summary

Internal Service Funds 2012-13 Through 2014-15

	2012-13 Actuals (03/31/13)	2013-14 Actuals (03/31/14)	2014-15 Estimate (03/31/15)
Major Agencies			
Children and Family Services, Office of	0	13	88
Corrections and Community Supervision, Department of	263	274	285
Education Department, State	141	139	142
General Services, Office of	464	509	566
Information Technology Services, Office of	430	20	0
Labor, Department of	0	18	0
Mental Health, Office of	13	13	13
Subtotal - Major Agencies	1,311	986	1,094
Minor Agencies			
Civil Service, Department of	137	157	176
Employee Relations, Office of	12	7	11
Prevention of Domestic Violence, Office for	8	10	10
Subtotal - Minor Agencies	157	174	197
Subtotal - Subject to Direct Executive Control	1,468	1,160	1,291
Independently Elected Agencies			
Audit and Control, Department of	75	78	42
Subtotal - Independently Elected Agencies	75	78	42
Grand Total	1,543	1,238	1,333

Workforce Impact Summary

Agency Trust Funds 2012-13 Through 2014-15

	2012-13 Actuals (03/31/13)	2013-14 Actuals (03/31/14)	2014-15 Estimate (03/31/15)
University Systems			
City University of New York	13,164	13,600	13,376
Subtotal - University Systems	13,164	13,600	13,376
Independently Elected Agencies			
Audit and Control, Department of	2	0	0
Subtotal - Independently Elected Agencies	2	0	0
Grand Total	13,166	13,600	13,376

Workforce Impact Summary

Pension Trust Funds 2012-13 Through 2014-15

	2012-13 Actuals (03/31/13)	2013-14 Actuals (03/31/14)	2014-15 Estimate (03/31/15)
Independently Elected Agencies			
Audit and Control, Department of	903	920	1,014
Subtotal - Independently Elected Agencies	903	920	1,014
Grand Total	903	920	1,014

Workforce Impact Summary

Private Purpose Trust Funds 2012-13 Through 2014-15

	2012-13 Actuals (03/31/13)	2013-14 Actuals (03/31/14)	2014-15 Estimate (03/31/15)
Minor Agencies			
Agriculture and Markets, Department of	3	2	3
Subtotal - Minor Agencies	3	2	3
Subtotal - Subject to Direct Executive Control	3	2	3
Grand Total	3	2	3

Impact of 2014-15 Enacted Budget Recommendations on Local Governments Local Fiscal Years Ending in 2014 (\$ in Millions)						
	Total	NYC	School Districts	Counties	Other Cities	Towns & Villages
Revenue Actions	2.2	5.1	0.0	(2.5)	(0.2)	(0.2)
- Close Resident Trust Loophole	5.6	5.6	0.0	0.0	0.0	0.0
- Extend the Alternative Fuels Tax Exemption	(0.8)	0.0	0.0	(0.7)	(0.1)	0.0
- Increase Vending Machine Exemption	(2.6)	(0.5)	0.0	(1.8)	(0.1)	(0.2)
Human Services	(6.8)	(6.8)	0.0	0.0	0.0	0.0
- Create Rent Cap for Low-Income Residents with HIV/AIDS	(4.3)	(4.3)	0.0	0.0	0.0	0.0
- Implement a Fair Hearings Chargeback	(2.5)	(2.5)	0.0	0.0	0.0	0.0
Transportation	26.9	2.0	0.0	11.1	1.9	11.9
- Increase Highway Assistance for Extreme Winter Recovery	24.2	1.4	0.0	9.0	1.9	11.9
- Increase Transit Assistance (NYC, Suffolk, Nassau, Rockland, Westchester)	2.7	0.6	0.0	2.1	0.0	0.0
Health	4.1	2.7	0.0	1.4	0.0	0.0
- Make Payments to EI Providers for Third Party Claims	4.1	2.7	0.0	1.4	0.0	0.0
Municipal Aid	5.8	0.0	0.0	4.2	0.5	1.1
- Provide Assistance to Dutchess County for Miscellaneous Projects through DOS	1.4	0.0	0.0	1.4	0.0	0.0
- Provide Miscellaneous Financial Assistance to Seneca, Cayuga & Franklin Counties	3.2	0.0	0.0	3.2	0.0	0.0
- Provide Aid to Onondaga Co. for a School Discipline Project with Syracuse Schools	2.0	0.0	0.0	2.0	0.0	0.0
- Increase VLT Aid to Eligible Municipalities	1.9	0.0	0.0	0.6	0.5	0.8
- Provide Aid to the North Patchogue Fire Department	0.2	0.0	0.0	0.0	0.0	0.2
- Provide Aid to the Town of Brookhaven	0.1	0.0	0.0	0.0	0.0	0.1
- Eliminate Miscellaneous Financial Assistance to Oneida and Madison Counties	(3.0)	0.0	0.0	(3.0)	0.0	0.0
Total 2014-15 Enacted Budget Actions	32.2	0.3	0.0	12.8	2.2	12.8

Impact of 2014-15 Enacted Budget Recommendations on Local Governments Local Fiscal Years Ending in 2015 (\$ in Millions)						
	Total	NYC	School Districts	Counties	Other Cities	Towns & Villages
School Aid / Education*	1,155.0	436.0	719.0	0.0	0.0	0.0
- Allocated School Aid	1,127.0	436.0	691.0	0.0	0.0	0.0
- Provide Special Assistance to Yonkers	28.0	0.0	0.0	0.0	0.0	0.0
Revenue Actions	25.0	30.5	(0.1)	(4.5)	(0.5)	(0.4)
- Close Resident Trust Loophole	33.8	33.8	0.0	0.0	0.0	0.0
- Extend the Alternative Fuels Tax Exemption	(3.2)	(1.2)	0.0	(1.7)	(0.2)	(0.1)
- Increase Vending Machine Exemption	(5.6)	(2.1)	(0.1)	(2.8)	(0.3)	(0.3)
Human Services	(27.0)	(27.0)	0.0	0.0	0.0	0.0
- Create Rent Cap for Low-Income Residents with HIV/AIDS	(17.0)	(17.0)	0.0	0.0	0.0	0.0
- Implement a Fair Hearings Chargeback	(10.0)	(10.0)	0.0	0.0	0.0	0.0
Transportation	20.8	6.4	0.0	5.8	1.9	6.7
- Increase Highway Assistance for Extreme Winter Recovery	15.8	4.2	0.0	3.0	1.9	6.7
- Increase Transit Assistance (NYC, Suffolk, Nassau, Rockland, Westchester)	5.0	2.2	0.0	2.8	0.0	0.0
Municipal Aid	12.5	0.0	0.0	4.3	6.7	1.5
- Provide Special Assistance to Rochester	6.0	0.0	0.0	0.0	6.0	0.0
- Provide Assistance to Dutchess County for Miscellaneous Projects through DOS	3.5	0.0	0.0	3.5	0.0	0.0
- Provide Interim Financial Assistance to Seneca, Cayuga & Franklin Counties	3.2	0.0	0.0	3.2	0.0	0.0
- Increase VLT Aid to Eligible Municipalities	2.1	0.0	0.0	0.6	0.6	0.9
- Increase Village per Capita Aid	0.5	0.0	0.0	0.0	0.0	0.5
- Provide Aid to Syracuse for a Shared Services Project	0.1	0.0	0.0	0.0	0.1	0.0
- Provide Aid to the Village of Bellport	0.1	0.0	0.0	0.0	0.0	0.1
- Eliminate MFA to Oneida and Madison Counties	(3.0)	0.0	0.0	(3.0)	0.0	0.0
Subtotal	1,186.3	445.9	718.9	5.6	8.1	7.8
- Smart Schools Bond Act	2,000.0	783.0	1,217.0	0.0	0.0	0.0
Total 2014-15 Enacted Budget Actions	3,186.3	1,228.9	1,935.9	5.6	8.1	7.8

Impact of 2014-15 Enacted Budget Recommendations on NYC					
City Fiscal Year					
	CFY	CFY	CFY	CFY	CFY
	2013-14	2014-15	2015-16	2016-17	
	(\$ in Millions)				
School Aid / Education*	0.0	436.0	1,061.0	1,174.0	
- Allocated School Aid	0.0	436.0	761.0	1,174.0	
- Provide Statewide Universal Full-Day Pre-K	0.0	0.0	300.0	0.0	
Revenue Actions	5.1	30.5	58.1	42.0	
- Close Resident Trust Loophole	5.6	33.8	61.9	45.0	
- Extend the Alternative Fuels Tax Exemption	0.0	(1.2)	(1.4)	(0.6)	
- Increase Vending Machine Exemption	(0.5)	(2.1)	(2.4)	(2.4)	
Human Services	(6.8)	(27.0)	(27.0)	(27.0)	
- Create Rent Cap for Low-Income Residents with HIV/AIDS	(4.3)	(17.0)	(17.0)	(17.0)	
- Implement a Fair Hearings Chargeback	(2.5)	(10.0)	(10.0)	(10.0)	
Health	2.7	0.0	0.0	0.0	
- Make Payments to EI Providers for Third Party Claims	2.7	0.0	0.0	0.0	
Transportation	2.0	6.4	2.2	2.2	
- Increase Highway Assistance for Extreme Winter Recovery	1.4	4.2	0.0	0.0	
- Increase Transit Assistance (NYCDOT & Staten Island Ferry)	0.6	2.2	2.2	2.2	
Municipal Aid	0.0	0.0	0.4	0.8	
- Provide Aid to NYC for Senior Citizen Rent Increase Exemption Program	0.0	0.0	0.4	0.8	
Total 2014-15 Enacted Budget Actions	3.0	445.9	1,094.7	1,192.0	

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2014 Results	FY 2015 Enacted	FY 2016 Projected	FY 2017 Projected	FY 2018 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
<i>Agriculture and Markets, Department of</i>	53,164	62,928	58,229	58,229	58,229
Local Assistance Grants	21,416	29,754	25,955	25,955	25,955
State Operations	31,748	33,174	32,274	32,274	32,274
Personal Service	24,433	25,819	25,819	25,819	25,819
Non-Personal Service	7,315	7,355	6,455	6,455	6,455
<i>Economic Development, Department of</i>	49,886	76,173	81,525	81,325	80,525
Local Assistance Grants	32,075	56,527	61,879	61,879	61,879
State Operations	17,811	19,646	19,646	19,446	18,646
Personal Service	11,478	13,039	13,039	13,039	13,039
Non-Personal Service	6,333	6,607	6,607	6,407	5,607
<i>Empire State Development Corporation</i>	91,340	89,462	112,824	137,096	137,096
Local Assistance Grants	90,139	88,462	111,824	136,096	136,096
State Operations	1,201	1,000	1,000	1,000	1,000
Personal Service	500	500	500	500	500
Non-Personal Service	701	500	500	500	500
<i>Energy Research and Development Authority</i>	10,000	0	0	0	0
State Operations	10,000	0	0	0	0
Non-Personal Service	10,000	0	0	0	0
<i>Olympic Regional Development Authority</i>	2,929	3,011	3,011	3,011	3,011
State Operations	2,929	3,011	3,011	3,011	3,011
Personal Service	2,522	2,548	2,548	2,548	2,548
Non-Personal Service	407	463	463	463	463
Functional Total	207,319	231,574	255,589	279,661	278,861
PARKS AND THE ENVIRONMENT					
<i>Adirondack Park Agency</i>	4,169	4,292	4,292	4,292	4,292
State Operations	4,169	4,292	4,292	4,292	4,292
Personal Service	3,843	3,957	3,957	3,957	3,957
Non-Personal Service	326	335	335	335	335
<i>Environmental Conservation, Department of</i>	94,854	103,965	97,565	97,565	97,815
Local Assistance Grants	3,807	12,542	4,292	4,292	4,542
State Operations	91,047	91,423	93,273	93,273	93,273
Personal Service	82,098	81,468	81,318	81,318	81,318
Non-Personal Service	8,949	9,955	11,955	11,955	11,955
<i>Parks, Recreation and Historic Preservation, Office of</i>	115,992	113,046	112,621	112,621	112,796
Local Assistance Grants	3,786	3,000	2,575	2,575	2,750
State Operations	112,206	110,046	110,046	110,046	110,046
Personal Service	107,148	102,117	102,117	102,117	102,117
Non-Personal Service	5,058	7,929	7,929	7,929	7,929
Functional Total	215,015	221,303	214,478	214,478	214,903
TRANSPORTATION					
<i>Thruway Authority, New York State</i>	20,246	24,000	24,000	24,000	24,000
State Operations	20,246	24,000	24,000	24,000	24,000
Non-Personal Service	20,246	24,000	24,000	24,000	24,000
<i>Transportation, Department of</i>	98,800	98,639	98,639	98,639	98,639
Local Assistance Grants	97,766	97,551	97,551	97,551	97,551
State Operations	1,034	1,088	1,088	1,088	1,088
Non-Personal Service	1,034	1,088	1,088	1,088	1,088
Functional Total	119,046	122,639	122,639	122,639	122,639
HEALTH					
<i>Aging, Office for the</i>	113,350	122,574	128,763	132,228	135,493
Local Assistance Grants	111,742	121,135	127,324	130,789	134,054
State Operations	1,608	1,439	1,439	1,439	1,439
Personal Service	1,427	1,258	1,258	1,258	1,258

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	<u>FY 2014 Results</u>	<u>FY 2015 Enacted</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>	<u>FY 2018 Projected</u>
Non-Personal Service	181	181	181	181	181
Health, Department of	12,477,117	12,742,613	13,515,281	14,176,823	14,899,294
Medical Assistance	10,980,879	11,145,753	12,004,862	12,651,410	13,363,931
Local Assistance Grants	10,980,879	11,145,753	12,004,862	12,651,410	13,363,931
State Operations	0	0	0	0	0
Non-Personal Service	0	0	0	0	0
Medicaid Administration	647,566	682,897	593,397	593,397	593,397
Local Assistance Grants	506,256	452,558	376,431	364,411	364,411
State Operations	141,310	230,339	216,966	228,986	228,986
Personal Service	27,163	41,803	55,903	67,903	67,903
Non-Personal Service	114,147	188,536	161,063	161,083	161,083
Public Health	848,672	913,963	917,022	932,016	941,966
Local Assistance Grants	695,569	750,543	753,602	774,003	783,853
State Operations	153,103	163,420	163,420	158,013	158,113
Personal Service	86,233	90,996	90,996	90,996	90,996
Non-Personal Service	66,870	72,424	72,424	67,017	67,117
Medicaid Inspector General, Office of the	20,202	22,776	22,776	22,776	22,776
State Operations	20,202	22,776	22,776	22,776	22,776
Personal Service	16,470	17,470	17,470	17,470	17,470
Non-Personal Service	3,732	5,306	5,306	5,306	5,306
Functional Total	12,610,669	12,887,963	13,666,820	14,331,827	15,057,563
SOCIAL WELFARE					
Children and Family Services, Office of	1,993,144	1,772,917	1,968,601	2,013,263	2,069,192
OCFS	1,904,962	1,684,581	1,876,798	1,917,783	1,969,813
Local Assistance Grants	1,659,254	1,453,111	1,657,728	1,698,713	1,750,743
State Operations	245,708	231,470	219,070	219,070	219,070
Personal Service	166,449	154,614	145,671	145,671	145,671
Non-Personal Service	79,259	76,856	73,399	73,399	73,399
OCFS - Other	88,182	88,336	91,803	95,480	99,379
Local Assistance Grants	88,182	88,336	91,803	95,480	99,379
Housing and Community Renewal, Division of	20,419	15,913	35,745	48,294	48,844
Local Assistance Grants	13,622	9,103	29,135	41,684	42,234
State Operations	6,797	6,810	6,610	6,610	6,610
Personal Service	2,756	4,318	4,318	4,318	4,318
Non-Personal Service	4,041	2,492	2,292	2,292	2,292
Human Rights, Division of	11,391	10,332	10,332	10,332	10,332
State Operations	11,391	10,332	10,332	10,332	10,332
Personal Service	9,197	9,596	9,596	9,596	9,596
Non-Personal Service	2,194	736	736	736	736
Labor, Department of	6,479	14,881	285	285	285
Local Assistance Grants	6,331	14,596	0	0	0
State Operations	148	285	285	285	285
Personal Service	27	85	85	85	85
Non-Personal Service	121	200	200	200	200
National and Community Service	763	687	687	687	687
Local Assistance Grants	420	350	350	350	350
State Operations	343	337	337	337	337
Personal Service	338	328	328	328	328
Non-Personal Service	5	9	9	9	9
Temporary and Disability Assistance, Office of	1,489,505	1,382,170	1,419,586	1,430,986	1,441,886
Welfare Assistance	1,245,962	1,132,463	1,152,823	1,162,823	1,172,323
Local Assistance Grants	1,245,962	1,132,463	1,152,823	1,162,823	1,172,323
All Other	243,543	249,707	266,763	268,163	269,563
Local Assistance Grants	104,466	99,696	106,096	107,496	108,896
State Operations	139,077	150,011	160,667	160,667	160,667
Personal Service	65,353	60,815	62,557	62,557	62,557
Non-Personal Service	73,724	89,196	98,110	98,110	98,110

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2014 Results	FY 2015 Enacted	FY 2016 Projected	FY 2017 Projected	FY 2018 Projected
Functional Total	3,521,701	3,196,900	3,435,236	3,503,847	3,571,226
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	24,951	28,923	28,853	28,853	28,853
OASAS	3,626	7,598	7,528	7,528	7,528
Local Assistance Grants	3,626	7,598	7,528	7,528	7,528
OASAS - Other	21,325	21,325	21,325	21,325	21,325
Local Assistance Grants	21,325	21,325	21,325	21,325	21,325
Justice Center	30,356	35,647	35,647	35,647	35,647
Local Assistance Grants	111	170	170	170	170
State Operations	30,245	35,477	35,477	35,477	35,477
Personal Service	11,038	18,863	18,863	18,863	18,863
Non-Personal Service	19,207	16,614	16,614	16,614	16,614
Mental Health, Office of	357,548	339,055	351,733	373,238	416,096
OMH	5,691	800	800	800	800
Local Assistance Grants	5,015	0	0	0	0
State Operations	676	800	800	800	800
Personal Service	293	0	0	0	0
Non-Personal Service	383	800	800	800	800
OMH - Other	351,857	338,255	350,933	372,438	415,296
Local Assistance Grants	351,857	338,255	350,933	372,438	415,296
People with Developmental Disabilities, Office for	915,656	1,077,220	1,213,909	1,155,835	1,495,838
OPWDD	9	0	0	0	0
Local Assistance Grants	9	0	0	0	0
OPWDD - Other	915,647	1,077,220	1,213,909	1,155,835	1,495,838
Local Assistance Grants	915,647	1,077,220	1,213,909	1,155,835	1,495,838
Quality of Care and Advocacy for Persons With Disabilities, Commission on	1,260	0	0	0	0
Local Assistance Grants	101	0	0	0	0
State Operations	1,159	0	0	0	0
Personal Service	1,021	0	0	0	0
Non-Personal Service	138	0	0	0	0
Functional Total	1,329,771	1,480,845	1,630,142	1,593,573	1,976,434
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	2,101	2,651	2,651	2,651	2,651
State Operations	2,101	2,651	2,651	2,651	2,651
Personal Service	1,841	2,414	2,414	2,414	2,414
Non-Personal Service	260	237	237	237	237
Correctional Services, Department of	2,587,412	2,574,990	2,653,990	2,582,990	2,584,990
Local Assistance Grants	5,253	6,022	6,022	6,022	6,022
State Operations	2,582,159	2,568,968	2,647,968	2,576,968	2,578,968
Personal Service	2,069,250	2,089,732	2,168,732	2,097,732	2,099,732
Non-Personal Service	512,909	479,236	479,236	479,236	479,236
Corrections and Community Supervision Medicaid, Department of	0	11,500	12,500	12,500	12,500
Local Assistance Grants	0	11,500	12,500	12,500	12,500
Criminal Justice Services, Division of	157,473	184,620	155,811	155,811	155,811
Local Assistance Grants	116,875	149,926	121,117	121,117	121,117
State Operations	40,598	34,694	34,694	34,694	34,694
Personal Service	24,441	26,010	26,010	26,010	26,010
Non-Personal Service	16,157	8,684	8,684	8,684	8,684
Disaster Assistance	33,106	(77,580)	0	0	0
Local Assistance Grants	32,571	(10,000)	0	0	0
State Operations	535	(67,580)	0	0	0
Personal Service	(17,031)	(4,222)	0	0	0
Non-Personal Service	17,566	(63,358)	0	0	0
Homeland Security and Emergency Services, Division of	(2,666)	18,982	14,190	10,190	10,190

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	<u>FY 2014 Results</u>	<u>FY 2015 Enacted</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>	<u>FY 2018 Projected</u>
Local Assistance Grants	(9,537)	13,014	8,222	4,222	4,222
State Operations	<u>6,871</u>	<u>5,968</u>	<u>5,968</u>	<u>5,968</u>	<u>5,968</u>
Personal Service	6,688	4,968	4,968	4,968	4,968
Non-Personal Service	183	1,000	1,000	1,000	1,000
Judicial Conduct, Commission on	<u>5,165</u>	<u>5,484</u>	<u>5,484</u>	<u>5,484</u>	<u>5,484</u>
State Operations	<u>5,165</u>	<u>5,484</u>	<u>5,484</u>	<u>5,484</u>	<u>5,484</u>
Personal Service	3,904	4,081	4,081	4,081	4,081
Non-Personal Service	1,261	1,403	1,403	1,403	1,403
Judicial Nomination, Commission on	<u>45</u>	<u>30</u>	<u>30</u>	<u>30</u>	<u>30</u>
State Operations	<u>45</u>	<u>30</u>	<u>30</u>	<u>30</u>	<u>30</u>
Non-Personal Service	45	30	30	30	30
Judicial Screening Committees, New York State	<u>50</u>	<u>38</u>	<u>38</u>	<u>38</u>	<u>38</u>
State Operations	<u>50</u>	<u>38</u>	<u>38</u>	<u>38</u>	<u>38</u>
Personal Service	0	13	13	13	13
Non-Personal Service	50	25	25	25	25
Military and Naval Affairs, Division of	<u>22,566</u>	<u>22,469</u>	<u>22,469</u>	<u>22,469</u>	<u>22,469</u>
Local Assistance Grants	718	967	967	967	967
State Operations	<u>21,848</u>	<u>21,502</u>	<u>21,502</u>	<u>21,502</u>	<u>21,502</u>
Personal Service	16,219	15,780	15,780	15,780	15,780
Non-Personal Service	5,629	5,722	5,722	5,722	5,722
State Police, Division of	<u>592,669</u>	<u>588,097</u>	<u>600,597</u>	<u>600,597</u>	<u>600,597</u>
State Operations	<u>592,669</u>	<u>588,097</u>	<u>600,597</u>	<u>600,597</u>	<u>600,597</u>
Personal Service	544,731	546,358	546,358	546,358	546,358
Non-Personal Service	47,938	41,739	54,239	54,239	54,239
Statewide Financial System	<u>0</u>	<u>29,700</u>	<u>29,706</u>	<u>29,711</u>	<u>29,717</u>
State Operations	<u>0</u>	<u>29,700</u>	<u>29,706</u>	<u>29,711</u>	<u>29,717</u>
Personal Service	0	10,638	10,638	10,638	10,638
Non-Personal Service	0	19,062	19,068	19,073	19,079
Functional Total	<u><u>3,397,921</u></u>	<u><u>3,360,981</u></u>	<u><u>3,497,466</u></u>	<u><u>3,422,471</u></u>	<u><u>3,424,477</u></u>
HIGHER EDUCATION					
City University of New York	<u>1,345,524</u>	<u>1,394,160</u>	<u>1,440,940</u>	<u>1,489,692</u>	<u>1,542,105</u>
Local Assistance Grants	1,345,524	1,394,160	1,440,940	1,489,692	1,542,105
Higher Education Services Corporation, New York State	<u>957,713</u>	<u>1,001,459</u>	<u>1,048,120</u>	<u>1,077,784</u>	<u>1,087,280</u>
Local Assistance Grants	957,713	1,001,459	1,048,120	1,077,784	1,087,280
State Operations	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Non-Personal Service	0	0	0	0	0
State University of New York	<u>700,673</u>	<u>698,324</u>	<u>704,339</u>	<u>704,339</u>	<u>704,339</u>
Local Assistance Grants	480,927	488,269	494,284	494,284	494,284
State Operations	<u>11,081</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Personal Service	6,776	0	0	0	0
Non-Personal Service	4,305	0	0	0	0
General State Charges	208,665	210,055	210,055	210,055	210,055
Functional Total	<u><u>3,003,910</u></u>	<u><u>3,093,943</u></u>	<u><u>3,193,399</u></u>	<u><u>3,271,815</u></u>	<u><u>3,333,724</u></u>
EDUCATION					
Arts, Council on the	<u>26,401</u>	<u>46,955</u>	<u>39,955</u>	<u>39,955</u>	<u>39,955</u>
Local Assistance Grants	22,990	42,835	35,835	35,835	35,835
State Operations	<u>3,411</u>	<u>4,120</u>	<u>4,120</u>	<u>4,120</u>	<u>4,120</u>
Personal Service	2,128	2,298	2,298	2,298	2,298
Non-Personal Service	1,283	1,822	1,822	1,822	1,822
Education, Department of	<u>19,279,828</u>	<u>20,638,135</u>	<u>22,146,970</u>	<u>23,377,539</u>	<u>24,729,773</u>
School Aid	<u>17,238,328</u>	<u>18,455,569</u>	<u>19,899,558</u>	<u>20,977,355</u>	<u>22,154,722</u>
Local Assistance Grants	17,238,328	18,455,569	19,899,558	20,977,355	22,154,722
Special Education Categorical Programs	<u>1,408,456</u>	<u>1,496,331</u>	<u>1,593,496</u>	<u>1,724,096</u>	<u>1,841,196</u>
Local Assistance Grants	1,408,456	1,496,331	1,593,496	1,724,096	1,841,196
All Other	<u>633,044</u>	<u>686,235</u>	<u>653,916</u>	<u>676,088</u>	<u>733,855</u>
Local Assistance Grants	584,537	637,252	606,133	628,305	686,072

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	<u>FY 2014 Results</u>	<u>FY 2015 Enacted</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>	<u>FY 2018 Projected</u>
State Operations	48,507	48,983	47,783	47,783	47,783
Personal Service	26,510	24,875	24,875	24,875	24,875
Non-Personal Service	21,997	24,108	22,908	22,908	22,908
Functional Total	<u>19,306,229</u>	<u>20,685,090</u>	<u>22,186,925</u>	<u>23,417,494</u>	<u>24,769,728</u>
GENERAL GOVERNMENT					
Budget, Division of the	<u>21,021</u>	<u>24,036</u>	<u>23,955</u>	<u>23,955</u>	<u>23,955</u>
State Operations	21,021	24,036	23,955	23,955	23,955
Personal Service	19,522	21,440	22,276	22,276	22,276
Non-Personal Service	1,499	2,596	1,679	1,679	1,679
Civil Service, Department of	<u>12,130</u>	<u>12,054</u>	<u>12,054</u>	<u>12,054</u>	<u>12,054</u>
State Operations	12,130	12,054	12,054	12,054	12,054
Personal Service	11,449	11,810	11,810	11,810	11,810
Non-Personal Service	681	244	244	244	244
Deferred Compensation Board	<u>69</u>	<u>57</u>	<u>57</u>	<u>57</u>	<u>57</u>
State Operations	69	57	57	57	57
Personal Service	32	32	32	32	32
Non-Personal Service	37	25	25	25	25
Elections, State Board of	<u>5,026</u>	<u>10,404</u>	<u>8,404</u>	<u>8,404</u>	<u>8,404</u>
Local Assistance Grants	105	2,000	0	0	0
State Operations	4,921	8,404	8,404	8,404	8,404
Personal Service	4,119	5,065	5,065	5,065	5,065
Non-Personal Service	802	3,339	3,339	3,339	3,339
Employee Relations, Office of	<u>2,282</u>	<u>2,581</u>	<u>2,581</u>	<u>2,581</u>	<u>2,581</u>
State Operations	2,282	2,581	2,581	2,581	2,581
Personal Service	2,219	2,510	2,510	2,510	2,510
Non-Personal Service	63	71	71	71	71
General Services, Office of	<u>155,557</u>	<u>139,892</u>	<u>137,835</u>	<u>137,835</u>	<u>137,835</u>
State Operations	155,557	139,892	137,835	137,835	137,835
Personal Service	52,933	58,811	58,811	58,811	58,811
Non-Personal Service	102,624	81,081	79,024	79,024	79,024
Inspector General, Office of the	<u>6,434</u>	<u>6,917</u>	<u>6,917</u>	<u>6,917</u>	<u>6,917</u>
State Operations	6,434	6,917	6,917	6,917	6,917
Personal Service	6,117	6,360	6,360	6,360	6,360
Non-Personal Service	317	557	557	557	557
Labor Management Committees	<u>19,599</u>	<u>35,056</u>	<u>35,056</u>	<u>35,056</u>	<u>35,056</u>
State Operations	19,599	35,056	35,056	35,056	35,056
Personal Service	6,327	5,446	5,446	5,446	5,446
Non-Personal Service	13,272	29,610	29,610	29,610	29,610
Prevention of Domestic Violence, Office for	<u>2,016</u>	<u>2,276</u>	<u>2,276</u>	<u>2,276</u>	<u>2,276</u>
Local Assistance Grants	658	685	685	685	685
State Operations	1,358	1,591	1,591	1,591	1,591
Personal Service	1,156	1,388	1,388	1,388	1,388
Non-Personal Service	202	203	203	203	203
Public Employment Relations Board	<u>3,248</u>	<u>3,529</u>	<u>3,529</u>	<u>3,529</u>	<u>3,529</u>
State Operations	3,248	3,529	3,529	3,529	3,529
Personal Service	2,902	3,336	3,336	3,336	3,336
Non-Personal Service	346	193	193	193	193
Public Integrity, Commission on	<u>3,610</u>	<u>4,331</u>	<u>4,331</u>	<u>4,331</u>	<u>4,331</u>
State Operations	3,610	4,331	4,331	4,331	4,331
Personal Service	2,878	3,420	3,420	3,420	3,420
Non-Personal Service	732	911	911	911	911
State, Department of	<u>19,838</u>	<u>27,366</u>	<u>17,773</u>	<u>17,773</u>	<u>17,073</u>
Local Assistance Grants	7,276	13,012	3,440	3,440	3,440
State Operations	12,562	14,354	14,333	14,333	13,633
Personal Service	11,813	12,009	12,009	12,009	12,009
Non-Personal Service	749	2,345	2,324	2,324	1,624
Tax Appeals, Division of	<u>2,818</u>	<u>3,174</u>	<u>3,174</u>	<u>3,174</u>	<u>3,174</u>
State Operations	2,818	3,174	3,174	3,174	3,174
Personal Service	2,671	2,962	2,962	2,962	2,962
Non-Personal Service	147	212	212	212	212

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2014 Results	FY 2015 Enacted	FY 2016 Projected	FY 2017 Projected	FY 2018 Projected
Taxation and Finance, Department of	280,799	273,297	267,077	267,077	267,077
Local Assistance Grants	959	926	926	926	926
State Operations	279,840	272,371	266,151	266,151	266,151
Personal Service	242,471	234,005	234,005	234,005	234,005
Non-Personal Service	37,369	38,366	32,146	32,146	32,146
Technology, Office for	220,088	420,708	426,848	426,885	426,836
State Operations	220,088	420,708	426,848	426,885	426,836
Personal Service	134,370	276,101	276,128	276,131	276,101
Non-Personal Service	85,718	144,607	150,720	150,754	150,735
Veterans' Affairs, Division of	12,356	14,319	13,479	13,479	13,479
Local Assistance Grants	7,034	8,227	7,637	7,637	7,637
State Operations	5,322	6,092	5,842	5,842	5,842
Personal Service	4,964	5,550	5,550	5,550	5,550
Non-Personal Service	358	542	292	292	292
Welfare Inspector General, Office of	352	972	972	972	972
State Operations	352	972	972	972	972
Personal Service	219	514	514	514	514
Non-Personal Service	133	458	458	458	458
Functional Total	767,243	980,969	966,318	966,355	965,606
ELECTED OFFICIALS					
Audit and Control, Department of	156,128	157,642	157,642	157,642	157,642
Local Assistance Grants	32,025	32,024	32,024	32,024	32,024
State Operations	124,103	125,618	125,618	125,618	125,618
Personal Service	96,321	99,298	99,298	99,298	99,298
Non-Personal Service	27,782	26,320	26,320	26,320	26,320
Executive Chamber	13,673	13,578	13,578	13,578	13,578
State Operations	13,673	13,578	13,578	13,578	13,578
Personal Service	10,467	10,811	11,135	11,469	11,813
Non-Personal Service	3,206	2,767	2,443	2,109	1,765
Judiciary	2,382,669	2,473,303	2,483,303	2,493,303	2,503,303
Local Assistance Grants	2,446	17,400	17,400	17,400	17,400
State Operations	1,753,688	1,813,800	1,813,800	1,813,800	1,813,800
Personal Service	1,399,158	1,425,500	1,425,500	1,425,500	1,425,500
Non-Personal Service	354,530	388,300	388,300	388,300	388,300
General State Charges	626,535	642,103	652,103	662,103	672,103
Law, Department of	98,750	101,538	101,538	101,538	101,538
State Operations	98,750	101,538	101,538	101,538	101,538
Personal Service	87,359	86,744	86,744	86,744	86,744
Non-Personal Service	11,391	14,794	14,794	14,794	14,794
Legislature	206,780	217,845	217,845	217,845	217,845
State Operations	206,780	217,845	217,845	217,845	217,845
Personal Service	158,325	166,331	166,331	166,331	166,331
Non-Personal Service	48,455	51,514	51,514	51,514	51,514
Lieutenant Governor, Office of the	427	614	614	614	614
State Operations	427	614	614	614	614
Personal Service	346	480	498	513	523
Non-Personal Service	81	134	116	101	91
Functional Total	2,858,427	2,964,520	2,974,520	2,984,520	2,994,520
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	718,950	738,814	749,293	759,576	763,491
Local Assistance Grants	718,950	738,814	749,293	759,576	763,491
Efficiency Incentive Grants Program	4,987	3,230	0	0	0
Local Assistance Grants	4,987	3,230	0	0	0
Miscellaneous Financial Assistance	4,873	7,798	2,023	2,423	1,623
Local Assistance Grants	4,873	7,798	2,023	2,423	1,623
Municipalities with VLT Facilities	27,246	29,331	27,246	27,246	27,246
Local Assistance Grants	27,246	29,331	27,246	27,246	27,246

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	<u>FY 2014 Results</u>	<u>FY 2015 Enacted</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>	<u>FY 2018 Projected</u>
<i>Small Government Assistance</i>	217	218	218	218	218
Local Assistance Grants	217	218	218	218	218
Functional Total	<u>756,273</u>	<u>779,391</u>	<u>778,780</u>	<u>789,463</u>	<u>792,578</u>
ALL OTHER CATEGORIES					
<i>General State Charges</i>	4,045,490	4,215,492	4,455,530	4,593,122	4,696,130
General State Charges	4,045,490	4,215,492	4,455,530	4,593,122	4,696,130
<i>Miscellaneous</i>	8,912	818,662	767,267	1,012,267	1,082,267
Local Assistance Grants	(10,172)	577,277	520,882	740,882	740,882
State Operations	1,232	236,965	241,965	266,965	336,965
Personal Service	52	97,253	102,253	127,253	147,253
Non-Personal Service	1,180	139,712	139,712	139,712	189,712
General State Charges	17,852	4,420	4,420	4,420	4,420
Functional Total	<u>4,054,402</u>	<u>5,034,154</u>	<u>5,222,797</u>	<u>5,605,389</u>	<u>5,778,397</u>
TOTAL GENERAL FUND SPENDING	<u>52,147,926</u>	<u>55,040,272</u>	<u>58,145,109</u>	<u>60,503,532</u>	<u>63,280,656</u>

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2014 Results	FY 2015 Enacted	FY 2016 Projected	FY 2017 Projected	FY 2018 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	53,164	62,928	58,229	58,229	58,229
Economic Development, Department of	49,886	76,173	81,525	81,325	80,525
Empire State Development Corporation	91,340	89,462	112,824	137,096	137,096
Energy Research and Development Authority	10,000	0	0	0	0
Olympic Regional Development Authority	2,929	3,011	3,011	3,011	3,011
Functional Total	207,319	231,574	255,589	279,661	278,861
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	4,169	4,292	4,292	4,292	4,292
Environmental Conservation, Department of	94,854	103,965	97,565	97,565	97,815
Parks, Recreation and Historic Preservation, Office of	115,992	113,046	112,621	112,621	112,796
Functional Total	215,015	221,303	214,478	214,478	214,903
TRANSPORTATION					
Thruway Authority, New York State	20,246	24,000	24,000	24,000	24,000
Transportation, Department of	98,800	98,639	98,639	98,639	98,639
Functional Total	119,046	122,639	122,639	122,639	122,639
HEALTH					
Aging, Office for the	113,350	122,574	128,763	132,228	135,493
Health, Department of	12,477,117	12,742,613	13,515,281	14,176,823	14,899,294
<i>Medical Assistance</i>	10,980,879	11,145,753	12,004,862	12,651,410	13,363,931
<i>Medicaid Administration</i>	647,566	682,897	593,397	593,397	593,397
<i>Public Health</i>	848,672	913,963	917,022	932,016	941,966
Medicaid Inspector General, Office of the	20,202	22,776	22,776	22,776	22,776
Functional Total	12,610,669	12,887,963	13,666,820	14,331,827	15,057,563
SOCIAL WELFARE					
Children and Family Services, Office of	1,993,144	1,772,917	1,968,601	2,013,263	2,069,192
<i>OCFS</i>	1,904,962	1,684,581	1,876,798	1,917,783	1,969,813
<i>OCFS - Other</i>	88,182	88,336	91,803	95,480	99,379
Housing and Community Renewal, Division of	20,419	15,913	35,745	48,294	48,844
Human Rights, Division of	11,391	10,332	10,332	10,332	10,332
Labor, Department of	6,479	14,881	285	285	285
National and Community Service	763	687	687	687	687
Temporary and Disability Assistance, Office of	1,489,505	1,382,170	1,419,586	1,430,986	1,441,886
<i>Welfare Assistance</i>	1,245,962	1,132,463	1,152,823	1,162,823	1,172,323
<i>All Other</i>	243,543	249,707	266,763	268,163	269,563
Functional Total	3,521,701	3,196,900	3,435,236	3,503,847	3,571,226
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	24,951	28,923	28,853	28,853	28,853
<i>OASAS</i>	3,626	7,598	7,528	7,528	7,528
<i>OASAS - Other</i>	21,325	21,325	21,325	21,325	21,325
Justice Center	30,356	35,647	35,647	35,647	35,647
Mental Health, Office of	357,548	339,055	351,733	373,238	416,096
<i>OMH</i>	5,691	800	800	800	800
<i>OMH - Other</i>	351,857	338,255	350,933	372,438	415,296
People with Developmental Disabilities, Office for	915,656	1,077,220	1,213,909	1,155,835	1,495,838
<i>OPWDD</i>	9	0	0	0	0
<i>OPWDD - Other</i>	915,647	1,077,220	1,213,909	1,155,835	1,495,838
Quality of Care and Advocacy for Persons With Disabilities, Commission on	1,260	0	0	0	0
Functional Total	1,329,771	1,480,845	1,630,142	1,593,573	1,976,434
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	2,101	2,651	2,651	2,651	2,651
Correctional Services, Department of	2,587,412	2,574,990	2,653,990	2,582,990	2,584,990
Corrections and Community Supervision Medicaid, Department of	0	11,500	12,500	12,500	12,500
Criminal Justice Services, Division of	157,473	184,620	155,811	155,811	155,811
Disaster Assistance	33,106	(77,580)	0	0	0
Homeland Security and Emergency Services, Division of	(2,666)	18,982	14,190	10,190	10,190
Judicial Conduct, Commission on	5,165	5,484	5,484	5,484	5,484
Judicial Nomination, Commission on	45	30	30	30	30
Judicial Screening Committees, New York State	50	38	38	38	38
Military and Naval Affairs, Division of	22,566	22,469	22,469	22,469	22,469

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	<u>FY 2014 Results</u>	<u>FY 2015 Enacted</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>	<u>FY 2018 Projected</u>
State Police, Division of	592,669	588,097	600,597	600,597	600,597
Statewide Financial System	0	29,700	29,706	29,711	29,717
Functional Total	<u>3,397,921</u>	<u>3,360,981</u>	<u>3,497,466</u>	<u>3,422,471</u>	<u>3,424,477</u>
HIGHER EDUCATION					
City University of New York	1,345,524	1,394,160	1,440,940	1,489,692	1,542,105
Higher Education Services Corporation, New York State	957,713	1,001,459	1,048,120	1,077,784	1,087,280
State University of New York	700,673	698,324	704,339	704,339	704,339
Functional Total	<u>3,003,910</u>	<u>3,093,943</u>	<u>3,193,399</u>	<u>3,271,815</u>	<u>3,333,724</u>
EDUCATION					
Arts, Council on the	26,401	46,955	39,955	39,955	39,955
Education, Department of	<u>19,279,828</u>	<u>20,638,135</u>	<u>22,146,970</u>	<u>23,377,539</u>	<u>24,729,773</u>
<i>School Aid</i>	17,238,328	18,455,569	19,899,558	20,977,355	22,154,722
<i>Special Education Categorical Programs</i>	1,408,456	1,496,331	1,593,496	1,724,096	1,841,196
<i>All Other</i>	633,044	686,235	653,916	676,088	733,855
Functional Total	<u>19,306,229</u>	<u>20,685,090</u>	<u>22,186,925</u>	<u>23,417,494</u>	<u>24,769,728</u>
GENERAL GOVERNMENT					
Budget, Division of the	21,021	24,036	23,955	23,955	23,955
Civil Service, Department of	12,130	12,054	12,054	12,054	12,054
Deferred Compensation Board	69	57	57	57	57
Elections, State Board of	5,026	10,404	8,404	8,404	8,404
Employee Relations, Office of	2,282	2,581	2,581	2,581	2,581
General Services, Office of	155,557	139,892	137,835	137,835	137,835
Inspector General, Office of the	6,434	6,917	6,917	6,917	6,917
Labor Management Committees	19,599	35,056	35,056	35,056	35,056
Prevention of Domestic Violence, Office for	2,016	2,276	2,276	2,276	2,276
Public Employment Relations Board	3,248	3,529	3,529	3,529	3,529
Public Integrity, Commission on	3,610	4,331	4,331	4,331	4,331
State, Department of	19,838	27,366	17,773	17,773	17,073
Tax Appeals, Division of	2,818	3,174	3,174	3,174	3,174
Taxation and Finance, Department of	280,799	273,297	267,077	267,077	267,077
Technology, Office for	220,088	420,708	426,848	426,885	426,836
Veterans' Affairs, Division of	12,356	14,319	13,479	13,479	13,479
Welfare Inspector General, Office of	352	972	972	972	972
Functional Total	<u>767,243</u>	<u>980,969</u>	<u>966,318</u>	<u>966,355</u>	<u>965,606</u>
ELECTED OFFICIALS					
Audit and Control, Department of	156,128	157,642	157,642	157,642	157,642
Executive Chamber	13,673	13,578	13,578	13,578	13,578
Judiciary	2,382,669	2,473,303	2,483,303	2,493,303	2,503,303
Law, Department of	98,750	101,538	101,538	101,538	101,538
Legislature	206,780	217,845	217,845	217,845	217,845
Lieutenant Governor, Office of the	427	614	614	614	614
Functional Total	<u>2,858,427</u>	<u>2,964,520</u>	<u>2,974,520</u>	<u>2,984,520</u>	<u>2,994,520</u>
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	718,950	738,814	749,293	759,576	763,491
Efficiency Incentive Grants Program	4,987	3,230	0	0	0
Miscellaneous Financial Assistance	4,873	7,798	2,023	2,423	1,623
Municipalities with VLT Facilities	27,246	29,331	27,246	27,246	27,246
Small Government Assistance	217	218	218	218	218
Functional Total	<u>756,273</u>	<u>779,391</u>	<u>778,780</u>	<u>789,463</u>	<u>792,578</u>
ALL OTHER CATEGORIES					
General State Charges	4,045,490	4,215,492	4,455,530	4,593,122	4,696,130
Miscellaneous	8,912	818,662	767,267	1,012,267	1,082,267
Functional Total	<u>4,054,402</u>	<u>5,034,154</u>	<u>5,222,797</u>	<u>5,605,389</u>	<u>5,778,397</u>
TOTAL GENERAL FUND SPENDING	<u><u>52,147,926</u></u>	<u><u>55,040,272</u></u>	<u><u>58,145,109</u></u>	<u><u>60,503,532</u></u>	<u><u>63,280,656</u></u>

GSC: Agency disbursements include grants to local governments, state operations and general state charges, which is a departure from prior Financial plan publications. In prior reports, general state charges were excluded from agency spending totals.

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
LOCAL ASSISTANCE GRANTS
(thousands of dollars)**

	<u>FY 2014 Results</u>	<u>FY 2015 Enacted</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>	<u>FY 2018 Projected</u>
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	21,416	29,754	25,955	25,955	25,955
Economic Development, Department of	32,075	56,527	61,879	61,879	61,879
Empire State Development Corporation	90,139	88,462	111,824	136,096	136,096
Functional Total	<u>143,630</u>	<u>174,743</u>	<u>199,658</u>	<u>223,930</u>	<u>223,930</u>
PARKS AND THE ENVIRONMENT					
Environmental Conservation, Department of	3,807	12,542	4,292	4,292	4,542
Parks, Recreation and Historic Preservation, Office of	3,786	3,000	2,575	2,575	2,750
Functional Total	<u>7,593</u>	<u>15,542</u>	<u>6,867</u>	<u>6,867</u>	<u>7,292</u>
TRANSPORTATION					
Transportation, Department of	97,766	97,551	97,551	97,551	97,551
Functional Total	<u>97,766</u>	<u>97,551</u>	<u>97,551</u>	<u>97,551</u>	<u>97,551</u>
HEALTH					
Aging, Office for the	111,742	121,135	127,324	130,789	134,054
Health, Department of	12,182,704	12,348,854	13,134,895	13,789,824	14,512,195
<i>Medical Assistance</i>	10,980,879	11,145,753	12,004,862	12,651,410	13,363,931
<i>Medicaid Administration</i>	506,256	452,558	376,431	364,411	364,411
<i>Public Health</i>	695,569	750,543	753,602	774,003	783,853
Functional Total	<u>12,294,446</u>	<u>12,469,989</u>	<u>13,262,219</u>	<u>13,920,613</u>	<u>14,646,249</u>
SOCIAL WELFARE					
Children and Family Services, Office of	1,747,436	1,541,447	1,749,531	1,794,193	1,850,122
<i>OCFS</i>	1,659,254	1,453,111	1,657,728	1,698,713	1,750,743
<i>OCFS - Other</i>	88,182	88,336	91,803	95,480	99,379
Housing and Community Renewal, Division of	13,622	9,103	29,135	41,684	42,234
Labor, Department of	6,331	14,596	0	0	0
National and Community Service	420	350	350	350	350
Temporary and Disability Assistance, Office of	1,350,428	1,232,159	1,258,919	1,270,319	1,281,219
<i>Welfare Assistance</i>	1,245,962	1,132,463	1,152,823	1,162,823	1,172,323
<i>All Other</i>	104,466	99,696	106,096	107,496	108,896
Functional Total	<u>3,118,237</u>	<u>2,797,655</u>	<u>3,037,935</u>	<u>3,106,546</u>	<u>3,173,925</u>
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	24,951	28,923	28,853	28,853	28,853
<i>OASAS</i>	3,626	7,598	7,528	7,528	7,528
<i>OASAS - Other</i>	21,325	21,325	21,325	21,325	21,325
Justice Center	111	170	170	170	170
Mental Health, Office of	356,872	338,255	350,933	372,438	415,296
<i>OMH</i>	5,015	0	0	0	0
<i>OMH - Other</i>	351,857	338,255	350,933	372,438	415,296
People with Developmental Disabilities, Office for	915,656	1,077,220	1,213,909	1,155,835	1,495,838
<i>OPWDD</i>	9	0	0	0	0
<i>OPWDD - Other</i>	915,647	1,077,220	1,213,909	1,155,835	1,495,838
Quality of Care and Advocacy for Persons With Disabilities, Commission on	101	0	0	0	0
Functional Total	<u>1,297,691</u>	<u>1,444,568</u>	<u>1,593,865</u>	<u>1,557,296</u>	<u>1,940,157</u>
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correctional Services, Department of	5,253	6,022	6,022	6,022	6,022
Corrections and Community Supervision Medicaid, Department of	0	11,500	12,500	12,500	12,500
Criminal Justice Services, Division of	116,875	149,926	121,117	121,117	121,117
Disaster Assistance	32,571	(10,000)	0	0	0
Homeland Security and Emergency Services, Division of	(9,537)	13,014	8,222	4,222	4,222
Military and Naval Affairs, Division of	718	967	967	967	967
Functional Total	<u>145,880</u>	<u>171,429</u>	<u>148,828</u>	<u>144,828</u>	<u>144,828</u>
HIGHER EDUCATION					
City University of New York	1,345,524	1,394,160	1,440,940	1,489,692	1,542,105
Higher Education Services Corporation, New York State	957,713	1,001,459	1,048,120	1,077,784	1,087,280
State University of New York	480,927	488,269	494,284	494,284	494,284
Functional Total	<u>2,784,164</u>	<u>2,883,888</u>	<u>2,983,344</u>	<u>3,061,760</u>	<u>3,123,669</u>
EDUCATION					

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
LOCAL ASSISTANCE GRANTS
(thousands of dollars)**

	<u>FY 2014 Results</u>	<u>FY 2015 Enacted</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>	<u>FY 2018 Projected</u>
Arts, Council on the	22,990	42,835	35,835	35,835	35,835
Education, Department of	<u>19,231,321</u>	<u>20,589,152</u>	<u>22,099,187</u>	<u>23,329,756</u>	<u>24,681,990</u>
<i>School Aid</i>	17,238,328	18,455,569	19,899,558	20,977,355	22,154,722
<i>Special Education Categorical Programs</i>	1,408,456	1,496,331	1,593,496	1,724,096	1,841,196
<i>All Other</i>	584,537	637,252	606,133	628,305	686,072
Functional Total	<u>19,254,311</u>	<u>20,631,987</u>	<u>22,135,022</u>	<u>23,365,591</u>	<u>24,717,825</u>
GENERAL GOVERNMENT					
Elections, State Board of	105	2,000	0	0	0
Prevention of Domestic Violence, Office for	658	685	685	685	685
State, Department of	7,276	13,012	3,440	3,440	3,440
Taxation and Finance, Department of	959	926	926	926	926
Veterans' Affairs, Division of	<u>7,034</u>	<u>8,227</u>	<u>7,637</u>	<u>7,637</u>	<u>7,637</u>
Functional Total	<u>16,032</u>	<u>24,850</u>	<u>12,688</u>	<u>12,688</u>	<u>12,688</u>
ELECTED OFFICIALS					
Audit and Control, Department of	32,025	32,024	32,024	32,024	32,024
Judiciary	<u>2,446</u>	<u>17,400</u>	<u>17,400</u>	<u>17,400</u>	<u>17,400</u>
Functional Total	<u>34,471</u>	<u>49,424</u>	<u>49,424</u>	<u>49,424</u>	<u>49,424</u>
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	718,950	738,814	749,293	759,576	763,491
Efficiency Incentive Grants Program	4,987	3,230	0	0	0
Miscellaneous Financial Assistance	4,873	7,798	2,023	2,423	1,623
Municipalities with VLT Facilities	27,246	29,331	27,246	27,246	27,246
Small Government Assistance	<u>217</u>	<u>218</u>	<u>218</u>	<u>218</u>	<u>218</u>
Functional Total	<u>756,273</u>	<u>779,391</u>	<u>778,780</u>	<u>789,463</u>	<u>792,578</u>
ALL OTHER CATEGORIES					
Miscellaneous	<u>(10,172)</u>	<u>577,277</u>	<u>520,882</u>	<u>740,882</u>	<u>740,882</u>
Functional Total	<u>(10,172)</u>	<u>577,277</u>	<u>520,882</u>	<u>740,882</u>	<u>740,882</u>
TOTAL LOCAL ASSISTANCE GRANTS SPENDING	<u>39,940,322</u>	<u>42,118,294</u>	<u>44,827,063</u>	<u>47,077,439</u>	<u>49,670,998</u>

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
STATE OPERATIONS
(thousands of dollars)**

	<u>FY 2014 Results</u>	<u>FY 2015 Enacted</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>	<u>FY 2018 Projected</u>
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	31,748	33,174	32,274	32,274	32,274
Economic Development, Department of	17,811	19,646	19,646	19,446	18,646
Empire State Development Corporation	1,201	1,000	1,000	1,000	1,000
Energy Research and Development Authority	10,000	0	0	0	0
Olympic Regional Development Authority	2,929	3,011	3,011	3,011	3,011
Functional Total	<u>63,689</u>	<u>56,831</u>	<u>55,931</u>	<u>55,731</u>	<u>54,931</u>
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	4,169	4,292	4,292	4,292	4,292
Environmental Conservation, Department of	91,047	91,423	93,273	93,273	93,273
Parks, Recreation and Historic Preservation, Office of	112,206	110,046	110,046	110,046	110,046
Functional Total	<u>207,422</u>	<u>205,761</u>	<u>207,611</u>	<u>207,611</u>	<u>207,611</u>
TRANSPORTATION					
Thruway Authority, New York State	20,246	24,000	24,000	24,000	24,000
Transportation, Department of	1,034	1,088	1,088	1,088	1,088
Functional Total	<u>21,280</u>	<u>25,088</u>	<u>25,088</u>	<u>25,088</u>	<u>25,088</u>
HEALTH					
Aging, Office for the	1,608	1,439	1,439	1,439	1,439
Health, Department of	294,413	393,759	380,386	386,999	387,099
<i>Medical Assistance</i>	0	0	0	0	0
<i>Medicaid Administration</i>	141,310	230,339	216,966	228,986	228,986
<i>Public Health</i>	153,103	163,420	163,420	158,013	158,113
Medicaid Inspector General, Office of the	20,202	22,776	22,776	22,776	22,776
Functional Total	<u>316,223</u>	<u>417,974</u>	<u>404,601</u>	<u>411,214</u>	<u>411,314</u>
SOCIAL WELFARE					
Children and Family Services, Office of	245,708	231,470	219,070	219,070	219,070
<i>OCFS</i>	245,708	231,470	219,070	219,070	219,070
Housing and Community Renewal, Division of	6,797	6,810	6,610	6,610	6,610
Human Rights, Division of	11,391	10,332	10,332	10,332	10,332
Labor, Department of	148	285	285	285	285
National and Community Service	343	337	337	337	337
Temporary and Disability Assistance, Office of	139,077	150,011	160,667	160,667	160,667
<i>All Other</i>	139,077	150,011	160,667	160,667	160,667
Functional Total	<u>403,464</u>	<u>399,245</u>	<u>397,301</u>	<u>397,301</u>	<u>397,301</u>
MENTAL HYGIENE					
Justice Center	30,245	35,477	35,477	35,477	35,477
Mental Health, Office of	676	800	800	800	800
<i>OMH</i>	676	800	800	800	800
Quality of Care and Advocacy for Persons With Disabilities, Commission on	1,159	0	0	0	0
Functional Total	<u>32,080</u>	<u>36,277</u>	<u>36,277</u>	<u>36,277</u>	<u>36,277</u>
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	2,101	2,651	2,651	2,651	2,651
Correctional Services, Department of	2,582,159	2,568,968	2,647,968	2,576,968	2,578,968
Criminal Justice Services, Division of	40,598	34,694	34,694	34,694	34,694
Disaster Assistance	535	(67,580)	0	0	0
Homeland Security and Emergency Services, Division of	6,871	5,968	5,968	5,968	5,968
Judicial Conduct, Commission on	5,165	5,484	5,484	5,484	5,484
Judicial Nomination, Commission on	45	30	30	30	30
Judicial Screening Committees, New York State	50	38	38	38	38
Military and Naval Affairs, Division of	21,848	21,502	21,502	21,502	21,502
State Police, Division of	592,669	588,097	600,597	600,597	600,597
Statewide Financial System	0	29,700	29,706	29,711	29,717
Functional Total	<u>3,252,041</u>	<u>3,189,552</u>	<u>3,348,638</u>	<u>3,277,643</u>	<u>3,279,649</u>
HIGHER EDUCATION					
Higher Education Services Corporation, New York State	0	0	0	0	0
State University of New York	11,081	0	0	0	0
Functional Total	<u>11,081</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
EDUCATION					

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
STATE OPERATIONS
(thousands of dollars)**

	<u>FY 2014 Results</u>	<u>FY 2015 Enacted</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>	<u>FY 2018 Projected</u>
Arts, Council on the	3,411	4,120	4,120	4,120	4,120
Education, Department of	48,507	48,983	47,783	47,783	47,783
<i>All Other</i>	48,507	48,983	47,783	47,783	47,783
Functional Total	<u>51,918</u>	<u>53,103</u>	<u>51,903</u>	<u>51,903</u>	<u>51,903</u>
GENERAL GOVERNMENT					
Budget, Division of the	21,021	24,036	23,955	23,955	23,955
Civil Service, Department of	12,130	12,054	12,054	12,054	12,054
Deferred Compensation Board	69	57	57	57	57
Elections, State Board of	4,921	8,404	8,404	8,404	8,404
Employee Relations, Office of	2,282	2,581	2,581	2,581	2,581
General Services, Office of	155,557	139,892	137,835	137,835	137,835
Inspector General, Office of the	6,434	6,917	6,917	6,917	6,917
Labor Management Committees	19,599	35,056	35,056	35,056	35,056
Prevention of Domestic Violence, Office for	1,358	1,591	1,591	1,591	1,591
Public Employment Relations Board	3,248	3,529	3,529	3,529	3,529
Public Integrity, Commission on	3,610	4,331	4,331	4,331	4,331
State, Department of	12,562	14,354	14,333	14,333	13,633
Tax Appeals, Division of	2,818	3,174	3,174	3,174	3,174
Taxation and Finance, Department of	279,840	272,371	266,151	266,151	266,151
Technology, Office for	220,088	420,708	426,848	426,885	426,836
Veterans' Affairs, Division of	5,322	6,092	5,842	5,842	5,842
Welfare Inspector General, Office of	352	972	972	972	972
Functional Total	<u>751,211</u>	<u>956,119</u>	<u>953,630</u>	<u>953,667</u>	<u>952,918</u>
ELECTED OFFICIALS					
Audit and Control, Department of	124,103	125,618	125,618	125,618	125,618
Executive Chamber	13,673	13,578	13,578	13,578	13,578
Judiciary	1,753,688	1,813,800	1,813,800	1,813,800	1,813,800
Law, Department of	98,750	101,538	101,538	101,538	101,538
Legislature	206,780	217,845	217,845	217,845	217,845
Lieutenant Governor, Office of the	427	614	614	614	614
Functional Total	<u>2,197,421</u>	<u>2,272,993</u>	<u>2,272,993</u>	<u>2,272,993</u>	<u>2,272,993</u>
ALL OTHER CATEGORIES					
Miscellaneous	1,232	236,965	241,965	266,965	336,965
Functional Total	<u>1,232</u>	<u>236,965</u>	<u>241,965</u>	<u>266,965</u>	<u>336,965</u>
TOTAL STATE OPERATIONS SPENDING	<u><u>7,309,062</u></u>	<u><u>7,849,908</u></u>	<u><u>7,995,938</u></u>	<u><u>7,956,393</u></u>	<u><u>8,026,950</u></u>

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
PERSONAL SERVICE
(thousands of dollars)**

	<u>FY 2014 Results</u>	<u>FY 2015 Enacted</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>	<u>FY 2018 Projected</u>
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	24,433	25,819	25,819	25,819	25,819
Economic Development, Department of	11,478	13,039	13,039	13,039	13,039
Empire State Development Corporation	500	500	500	500	500
Olympic Regional Development Authority	2,522	2,548	2,548	2,548	2,548
Functional Total	<u>38,933</u>	<u>41,906</u>	<u>41,906</u>	<u>41,906</u>	<u>41,906</u>
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	3,843	3,957	3,957	3,957	3,957
Environmental Conservation, Department of	82,098	81,468	81,318	81,318	81,318
Parks, Recreation and Historic Preservation, Office of	107,148	102,117	102,117	102,117	102,117
Functional Total	<u>193,089</u>	<u>187,542</u>	<u>187,392</u>	<u>187,392</u>	<u>187,392</u>
HEALTH					
Aging, Office for the	1,427	1,258	1,258	1,258	1,258
Health, Department of	113,396	132,799	146,899	158,899	158,899
<i>Medicaid Administration</i>	27,163	41,803	55,903	67,903	67,903
<i>Public Health</i>	86,233	90,996	90,996	90,996	90,996
Medicaid Inspector General, Office of the	16,470	17,470	17,470	17,470	17,470
Functional Total	<u>131,293</u>	<u>151,527</u>	<u>165,627</u>	<u>177,627</u>	<u>177,627</u>
SOCIAL WELFARE					
Children and Family Services, Office of	166,449	154,614	145,671	145,671	145,671
<i>OCFS</i>	166,449	154,614	145,671	145,671	145,671
Housing and Community Renewal, Division of	2,756	4,318	4,318	4,318	4,318
Human Rights, Division of	9,197	9,596	9,596	9,596	9,596
Labor, Department of	27	85	85	85	85
National and Community Service	338	328	328	328	328
Temporary and Disability Assistance, Office of	65,353	60,815	62,557	62,557	62,557
<i>All Other</i>	65,353	60,815	62,557	62,557	62,557
Functional Total	<u>244,120</u>	<u>229,756</u>	<u>222,555</u>	<u>222,555</u>	<u>222,555</u>
MENTAL HYGIENE					
Justice Center	11,038	18,863	18,863	18,863	18,863
Mental Health, Office of	293	0	0	0	0
<i>OMH</i>	293	0	0	0	0
Quality of Care and Advocacy for Persons With Disabilities, Commission on	1,021	0	0	0	0
Functional Total	<u>12,352</u>	<u>18,863</u>	<u>18,863</u>	<u>18,863</u>	<u>18,863</u>
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	1,841	2,414	2,414	2,414	2,414
Correctional Services, Department of	2,069,250	2,089,732	2,168,732	2,097,732	2,099,732
Criminal Justice Services, Division of	24,441	26,010	26,010	26,010	26,010
Disaster Assistance	(17,031)	(4,222)	0	0	0
Homeland Security and Emergency Services, Division of	6,688	4,968	4,968	4,968	4,968
Judicial Conduct, Commission on	3,904	4,081	4,081	4,081	4,081
Judicial Screening Committees, New York State	0	13	13	13	13
Military and Naval Affairs, Division of	16,219	15,780	15,780	15,780	15,780
State Police, Division of	544,731	546,358	546,358	546,358	546,358
Statewide Financial System	0	10,638	10,638	10,638	10,638
Functional Total	<u>2,650,043</u>	<u>2,695,772</u>	<u>2,778,994</u>	<u>2,707,994</u>	<u>2,709,994</u>
HIGHER EDUCATION					
State University of New York	6,776	0	0	0	0
Functional Total	<u>6,776</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
EDUCATION					
Arts, Council on the	2,128	2,298	2,298	2,298	2,298
Education, Department of	26,510	24,875	24,875	24,875	24,875
<i>All Other</i>	26,510	24,875	24,875	24,875	24,875
Functional Total	<u>28,638</u>	<u>27,173</u>	<u>27,173</u>	<u>27,173</u>	<u>27,173</u>
GENERAL GOVERNMENT					
Budget, Division of the	19,522	21,440	22,276	22,276	22,276
Civil Service, Department of	11,449	11,810	11,810	11,810	11,810

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
PERSONAL SERVICE
(thousands of dollars)**

	<u>FY 2014 Results</u>	<u>FY 2015 Enacted</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>	<u>FY 2018 Projected</u>
Deferred Compensation Board	32	32	32	32	32
Elections, State Board of	4,119	5,065	5,065	5,065	5,065
Employee Relations, Office of	2,219	2,510	2,510	2,510	2,510
General Services, Office of	52,933	58,811	58,811	58,811	58,811
Inspector General, Office of the	6,117	6,360	6,360	6,360	6,360
Labor Management Committees	6,327	5,446	5,446	5,446	5,446
Prevention of Domestic Violence, Office for	1,156	1,388	1,388	1,388	1,388
Public Employment Relations Board	2,902	3,336	3,336	3,336	3,336
Public Integrity, Commission on	2,878	3,420	3,420	3,420	3,420
State, Department of	11,813	12,009	12,009	12,009	12,009
Tax Appeals, Division of	2,671	2,962	2,962	2,962	2,962
Taxation and Finance, Department of	242,471	234,005	234,005	234,005	234,005
Technology, Office for	134,370	276,101	276,128	276,131	276,101
Veterans' Affairs, Division of	4,964	5,550	5,550	5,550	5,550
Welfare Inspector General, Office of	219	514	514	514	514
Functional Total	<u>506,162</u>	<u>650,759</u>	<u>651,622</u>	<u>651,625</u>	<u>651,595</u>
ELECTED OFFICIALS					
Audit and Control, Department of	96,321	99,298	99,298	99,298	99,298
Executive Chamber	10,467	10,811	11,135	11,469	11,813
Judiciary	1,399,158	1,425,500	1,425,500	1,425,500	1,425,500
Law, Department of	87,359	86,744	86,744	86,744	86,744
Legislature	158,325	166,331	166,331	166,331	166,331
Lieutenant Governor, Office of the	346	480	498	513	523
Functional Total	<u>1,751,976</u>	<u>1,789,164</u>	<u>1,789,506</u>	<u>1,789,855</u>	<u>1,790,209</u>
ALL OTHER CATEGORIES					
Miscellaneous	52	97,253	102,253	127,253	147,253
Functional Total	<u>52</u>	<u>97,253</u>	<u>102,253</u>	<u>127,253</u>	<u>147,253</u>
TOTAL PERSONAL SERVICE SPENDING	<u><u>5,563,434</u></u>	<u><u>5,889,715</u></u>	<u><u>5,985,891</u></u>	<u><u>5,952,243</u></u>	<u><u>5,974,567</u></u>

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
NON-PERSONAL SERVICE
(thousands of dollars)**

	<u>FY 2014 Results</u>	<u>FY 2015 Enacted</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>	<u>FY 2018 Projected</u>
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	7,315	7,355	6,455	6,455	6,455
Economic Development, Department of	6,333	6,607	6,607	6,407	5,607
Empire State Development Corporation	701	500	500	500	500
Energy Research and Development Authority	10,000	0	0	0	0
Olympic Regional Development Authority	407	463	463	463	463
Functional Total	<u>24,756</u>	<u>14,925</u>	<u>14,025</u>	<u>13,825</u>	<u>13,025</u>
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	326	335	335	335	335
Environmental Conservation, Department of	8,949	9,955	11,955	11,955	11,955
Parks, Recreation and Historic Preservation, Office of	5,058	7,929	7,929	7,929	7,929
Functional Total	<u>14,333</u>	<u>18,219</u>	<u>20,219</u>	<u>20,219</u>	<u>20,219</u>
TRANSPORTATION					
Thruway Authority, New York State	20,246	24,000	24,000	24,000	24,000
Transportation, Department of	1,034	1,088	1,088	1,088	1,088
Functional Total	<u>21,280</u>	<u>25,088</u>	<u>25,088</u>	<u>25,088</u>	<u>25,088</u>
HEALTH					
Aging, Office for the	181	181	181	181	181
Health, Department of	181,017	260,960	233,487	228,100	228,200
<i>Medical Assistance</i>	0	0	0	0	0
<i>Medicaid Administration</i>	114,147	188,536	161,063	161,083	161,083
<i>Public Health</i>	66,870	72,424	72,424	67,017	67,117
Medicaid Inspector General, Office of the	3,732	5,306	5,306	5,306	5,306
Functional Total	<u>184,930</u>	<u>266,447</u>	<u>238,974</u>	<u>233,587</u>	<u>233,687</u>
SOCIAL WELFARE					
Children and Family Services, Office of	79,259	76,856	73,399	73,399	73,399
<i>OCFS</i>	79,259	76,856	73,399	73,399	73,399
Housing and Community Renewal, Division of	4,041	2,492	2,292	2,292	2,292
Human Rights, Division of	2,194	736	736	736	736
Labor, Department of	121	200	200	200	200
National and Community Service	5	9	9	9	9
Temporary and Disability Assistance, Office of	73,724	89,196	98,110	98,110	98,110
<i>All Other</i>	73,724	89,196	98,110	98,110	98,110
Functional Total	<u>159,344</u>	<u>169,489</u>	<u>174,746</u>	<u>174,746</u>	<u>174,746</u>
MENTAL HYGIENE					
Justice Center	19,207	16,614	16,614	16,614	16,614
Mental Health, Office of	383	800	800	800	800
<i>OMH</i>	383	800	800	800	800
Quality of Care and Advocacy for Persons With Disabilities, Commission on	138	0	0	0	0
Functional Total	<u>19,728</u>	<u>17,414</u>	<u>17,414</u>	<u>17,414</u>	<u>17,414</u>
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	260	237	237	237	237
Correctional Services, Department of	512,909	479,236	479,236	479,236	479,236
Criminal Justice Services, Division of	16,157	8,684	8,684	8,684	8,684
Disaster Assistance	17,566	(63,358)	0	0	0
Homeland Security and Emergency Services, Division of	183	1,000	1,000	1,000	1,000
Judicial Conduct, Commission on	1,261	1,403	1,403	1,403	1,403
Judicial Nomination, Commission on	45	30	30	30	30
Judicial Screening Committees, New York State	50	25	25	25	25
Military and Naval Affairs, Division of	5,629	5,722	5,722	5,722	5,722
State Police, Division of	47,938	41,739	54,239	54,239	54,239
Statewide Financial System	0	19,062	19,068	19,073	19,079
Functional Total	<u>601,998</u>	<u>493,780</u>	<u>569,644</u>	<u>569,649</u>	<u>569,655</u>
HIGHER EDUCATION					
Higher Education Services Corporation, New York State	0	0	0	0	0
State University of New York	4,305	0	0	0	0
Functional Total	<u>4,305</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
NON-PERSONAL SERVICE
(thousands of dollars)**

	<u>FY 2014 Results</u>	<u>FY 2015 Enacted</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>	<u>FY 2018 Projected</u>
EDUCATION					
Arts, Council on the	1,283	1,822	1,822	1,822	1,822
Education, Department of	<u>21,997</u>	<u>24,108</u>	<u>22,908</u>	<u>22,908</u>	<u>22,908</u>
<i>All Other</i>	<u>21,997</u>	<u>24,108</u>	<u>22,908</u>	<u>22,908</u>	<u>22,908</u>
Functional Total	<u>23,280</u>	<u>25,930</u>	<u>24,730</u>	<u>24,730</u>	<u>24,730</u>
GENERAL GOVERNMENT					
Budget, Division of the	1,499	2,596	1,679	1,679	1,679
Civil Service, Department of	681	244	244	244	244
Deferred Compensation Board	37	25	25	25	25
Elections, State Board of	802	3,339	3,339	3,339	3,339
Employee Relations, Office of	63	71	71	71	71
General Services, Office of	102,624	81,081	79,024	79,024	79,024
Inspector General, Office of the	317	557	557	557	557
Labor Management Committees	13,272	29,610	29,610	29,610	29,610
Prevention of Domestic Violence, Office for	202	203	203	203	203
Public Employment Relations Board	346	193	193	193	193
Public Integrity, Commission on	732	911	911	911	911
State, Department of	749	2,345	2,324	2,324	1,624
Tax Appeals, Division of	147	212	212	212	212
Taxation and Finance, Department of	37,369	38,366	32,146	32,146	32,146
Technology, Office for	85,718	144,607	150,720	150,754	150,735
Veterans' Affairs, Division of	358	542	292	292	292
Welfare Inspector General, Office of	133	458	458	458	458
Functional Total	<u>245,049</u>	<u>305,360</u>	<u>302,008</u>	<u>302,042</u>	<u>301,323</u>
ELECTED OFFICIALS					
Audit and Control, Department of	27,782	26,320	26,320	26,320	26,320
Executive Chamber	3,206	2,767	2,443	2,109	1,765
Judiciary	354,530	388,300	388,300	388,300	388,300
Law, Department of	11,391	14,794	14,794	14,794	14,794
Legislature	48,455	51,514	51,514	51,514	51,514
Lieutenant Governor, Office of the	81	134	116	101	91
Functional Total	<u>445,445</u>	<u>483,829</u>	<u>483,487</u>	<u>483,138</u>	<u>482,784</u>
ALL OTHER CATEGORIES					
Miscellaneous	1,180	139,712	139,712	139,712	189,712
Functional Total	<u>1,180</u>	<u>139,712</u>	<u>139,712</u>	<u>139,712</u>	<u>189,712</u>
TOTAL NON-PERSONAL SERVICE SPENDING	<u>1,745,628</u>	<u>1,960,193</u>	<u>2,010,047</u>	<u>2,004,150</u>	<u>2,052,383</u>

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
GENERAL STATE CHARGES
(thousands of dollars)**

	<u>FY 2014 Results</u>	<u>FY 2015 Enacted</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>	<u>FY 2018 Projected</u>
HIGHER EDUCATION					
State University of New York	208,665	210,055	210,055	210,055	210,055
Functional Total	<u>208,665</u>	<u>210,055</u>	<u>210,055</u>	<u>210,055</u>	<u>210,055</u>
ELECTED OFFICIALS					
Judiciary	626,535	642,103	652,103	662,103	672,103
Functional Total	<u>626,535</u>	<u>642,103</u>	<u>652,103</u>	<u>662,103</u>	<u>672,103</u>
ALL OTHER CATEGORIES					
General State Charges	4,045,490	4,215,492	4,455,530	4,593,122	4,696,130
Miscellaneous	17,852	4,420	4,420	4,420	4,420
Functional Total	<u>4,063,342</u>	<u>4,219,912</u>	<u>4,459,950</u>	<u>4,597,542</u>	<u>4,700,550</u>
TOTAL GENERAL STATE CHARGES SPENDING	<u><u>4,898,542</u></u>	<u><u>5,072,070</u></u>	<u><u>5,322,108</u></u>	<u><u>5,469,700</u></u>	<u><u>5,582,708</u></u>

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2014 Results	FY 2015 Enacted	FY 2016 Projected	FY 2017 Projected	FY 2018 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
<i>Agriculture and Markets, Department of</i>	75,293	85,450	80,791	80,870	80,919
Local Assistance Grants	21,416	29,754	25,955	25,955	25,955
State Operations	52,594	54,063	53,163	53,166	53,166
Personal Service	27,512	28,394	28,394	28,394	28,394
Non-Personal Service/Indirect Costs	25,082	25,669	24,769	24,772	24,772
General State Charges	1,283	1,633	1,673	1,749	1,798
<i>Alcoholic Beverage Control, Division of</i>	17,986	17,537	17,653	17,810	17,987
State Operations	13,337	13,008	13,010	13,012	13,014
Personal Service	8,127	8,147	8,147	8,147	8,147
Non-Personal Service/Indirect Costs	5,210	4,861	4,863	4,865	4,867
General State Charges	4,649	4,529	4,643	4,798	4,973
<i>Economic Development, Department of</i>	69,147	92,151	95,503	86,303	85,503
Local Assistance Grants	50,341	70,527	73,879	64,879	64,879
State Operations	18,806	21,596	21,596	21,396	20,596
Personal Service	11,478	13,142	13,142	13,142	13,142
Non-Personal Service/Indirect Costs	7,328	8,454	8,454	8,254	7,454
General State Charges	0	28	28	28	28
<i>Empire State Development Corporation</i>	91,340	89,462	112,824	137,096	137,096
Local Assistance Grants	90,139	88,462	111,824	136,096	136,096
State Operations	1,201	1,000	1,000	1,000	1,000
Personal Service	500	500	500	500	500
Non-Personal Service/Indirect Costs	701	500	500	500	500
<i>Energy Research and Development Authority</i>	28,517	16,158	16,230	16,230	16,230
Local Assistance Grants	9,471	7,439	7,439	7,439	7,439
State Operations	16,592	6,551	6,551	6,551	6,551
Personal Service	5,181	4,154	4,154	4,154	4,154
Non-Personal Service/Indirect Costs	11,411	2,397	2,397	2,397	2,397
General State Charges	2,454	2,168	2,240	2,240	2,240
<i>Financial Services, Department of</i>	502,023	502,165	502,460	504,511	506,901
Local Assistance Grants	228,507	216,952	215,952	215,952	215,952
State Operations	195,464	202,086	202,003	202,003	202,003
Personal Service	139,639	146,557	146,557	146,557	146,557
Non-Personal Service/Indirect Costs	55,825	55,529	55,446	55,446	55,446
General State Charges	78,052	83,127	84,505	86,556	88,946
<i>Olympic Regional Development Authority</i>	4,134	3,161	3,161	3,161	3,161
State Operations	4,134	3,161	3,161	3,161	3,161
Personal Service	2,533	2,548	2,548	2,548	2,548
Non-Personal Service/Indirect Costs	1,601	613	613	613	613
<i>Public Service Department</i>	68,323	74,955	75,791	76,373	77,183
Local Assistance Grants	0	200	200	200	200
State Operations	48,175	51,447	51,363	51,363	51,447
Personal Service	40,390	42,106	42,025	42,025	42,106
Non-Personal Service/Indirect Costs	7,785	9,341	9,338	9,338	9,341
General State Charges	20,148	23,308	24,228	24,810	25,536
Functional Total	856,763	881,039	904,413	922,354	924,980
PARKS AND THE ENVIRONMENT					
<i>Adirondack Park Agency</i>	4,169	4,292	4,292	4,292	4,292
State Operations	4,169	4,292	4,292	4,292	4,292
Personal Service	3,843	3,957	3,957	3,957	3,957
Non-Personal Service/Indirect Costs	326	335	335	335	335
<i>Environmental Conservation, Department of</i>	283,259	290,352	284,133	285,188	257,434
Local Assistance Grants	3,849	12,542	4,292	4,292	4,542
State Operations	235,419	233,868	235,237	235,137	213,217
Personal Service	175,900	176,561	176,209	176,209	164,336
Non-Personal Service/Indirect Costs	59,519	57,307	59,028	58,928	48,881
General State Charges	43,991	43,942	44,604	45,759	39,675
<i>Parks, Recreation and Historic Preservation, Office of</i>	203,343	188,516	189,091	190,591	190,766
Local Assistance Grants	7,971	7,850	7,425	7,425	7,600
State Operations	185,640	177,771	177,771	177,771	177,771

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2014 Results	FY 2015 Enacted	FY 2016 Projected	FY 2017 Projected	FY 2018 Projected
Personal Service	137,514	132,224	132,224	132,224	132,224
Non-Personal Service/Indirect Costs	48,126	45,547	45,547	45,547	45,547
General State Charges	2,803	2,895	2,895	2,895	2,895
Capital Projects	6,929	0	1,000	2,500	2,500
Functional Total	490,771	483,160	477,516	480,071	452,492
TRANSPORTATION					
Motor Vehicles, Department of	94,865	86,942	87,535	88,220	89,017
State Operations	67,964	62,407	62,383	62,382	62,382
Personal Service	49,989	43,545	43,545	43,545	43,545
Non-Personal Service/Indirect Costs	17,975	18,862	18,838	18,837	18,837
General State Charges	26,901	24,535	25,152	25,838	26,635
Thruway Authority, New York State	20,246	24,000	24,000	24,000	24,000
State Operations	20,246	24,000	24,000	24,000	24,000
Non-Personal Service/Indirect Costs	20,246	24,000	24,000	24,000	24,000
Transportation, Department of	4,747,045	4,842,130	4,890,912	4,961,962	5,039,532
Local Assistance Grants	4,722,126	4,816,677	4,865,428	4,936,373	5,013,821
State Operations	22,767	21,186	21,173	21,173	21,173
Personal Service	9,464	7,473	7,473	7,473	7,473
Non-Personal Service/Indirect Costs	13,303	13,713	13,700	13,700	13,700
General State Charges	5,733	4,267	4,311	4,416	4,538
Capital Projects	(3,581)	0	0	0	0
Functional Total	4,862,156	4,953,072	5,002,447	5,074,182	5,152,549
HEALTH					
Aging, Office for the	113,350	122,575	128,764	132,229	135,494
Local Assistance Grants	111,742	121,135	127,324	130,789	134,054
State Operations	1,608	1,440	1,440	1,440	1,440
Personal Service	1,427	1,258	1,258	1,258	1,258
Non-Personal Service/Indirect Costs	181	182	182	182	182
Health, Department of	18,891,612	19,158,382	20,005,544	20,710,013	21,498,506
Medical Assistance	15,734,887	16,278,782	17,147,151	17,917,732	18,687,102
Local Assistance Grants	15,734,887	16,278,782	17,147,151	17,917,732	18,687,102
State Operations	0	0	0	0	0
Non-Personal Service/Indirect Costs	0	0	0	0	0
Medicaid Administration	647,566	682,897	593,397	593,397	593,397
Local Assistance Grants	506,256	452,558	376,431	364,411	364,411
State Operations	141,310	230,339	216,966	228,986	228,986
Personal Service	27,163	41,803	55,903	67,903	67,903
Non-Personal Service/Indirect Costs	114,147	188,536	161,063	161,083	161,083
Public Health	2,509,159	2,196,703	2,264,996	2,198,884	2,218,007
Local Assistance Grants	2,067,385	1,747,266	1,700,854	1,666,539	1,693,399
State Operations	405,873	421,021	529,561	497,157	489,320
Personal Service	226,876	222,393	232,013	236,999	237,199
Non-Personal Service/Indirect Costs	178,997	198,628	297,548	260,158	252,121
General State Charges	35,901	28,416	34,581	35,188	35,288
Medicaid Inspector General, Office of the	20,202	22,776	22,776	22,776	22,776
State Operations	20,202	22,776	22,776	22,776	22,776
Personal Service	16,470	17,470	17,470	17,470	17,470
Non-Personal Service/Indirect Costs	3,732	5,306	5,306	5,306	5,306
Stem Cell and Innovation	32,571	37,900	37,900	37,900	37,900
State Operations	32,295	37,900	37,900	37,900	37,900
Personal Service	463	472	472	472	472
Non-Personal Service/Indirect Costs	31,832	37,428	37,428	37,428	37,428
General State Charges	276	0	0	0	0
Functional Total	19,057,735	19,341,633	20,194,984	20,902,918	21,694,676
SOCIAL WELFARE					
Children and Family Services, Office of	2,027,954	1,811,674	2,007,358	2,052,020	2,107,946

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2014 Results	FY 2015 Enacted	FY 2016 Projected	FY 2017 Projected	FY 2018 Projected
OCFS	1,939,772	1,723,338	1,915,555	1,956,540	2,008,567
Local Assistance Grants	1,662,223	1,456,693	1,661,310	1,702,295	1,754,325
State Operations	276,508	263,987	251,587	251,587	251,584
Personal Service	169,186	157,918	148,975	148,975	148,972
Non-Personal Service/Indirect Costs	107,322	106,069	102,612	102,612	102,612
General State Charges	1,041	2,658	2,658	2,658	2,658
OCFS - Other	88,182	88,336	91,803	95,480	99,379
Local Assistance Grants	88,182	88,336	91,803	95,480	99,379
Housing and Community Renewal, Division of	77,755	75,455	95,287	107,836	108,386
Local Assistance Grants	13,909	9,955	29,987	42,536	43,086
State Operations	48,171	48,822	48,622	48,622	48,622
Personal Service	36,805	40,001	40,001	40,001	40,001
Non-Personal Service/Indirect Costs	11,366	8,821	8,621	8,621	8,621
General State Charges	15,675	16,678	16,678	16,678	16,678
Human Rights, Division of	11,391	10,332	10,332	10,332	10,332
State Operations	11,391	10,332	10,332	10,332	10,332
Personal Service	9,197	9,596	9,596	9,596	9,596
Non-Personal Service/Indirect Costs	2,194	736	736	736	736
Labor, Department of	69,300	81,069	66,473	66,473	66,473
Local Assistance Grants	6,340	14,746	150	150	150
State Operations	45,452	47,597	47,597	47,597	47,597
Personal Service	31,300	33,102	33,102	33,102	33,102
Non-Personal Service/Indirect Costs	14,152	14,495	14,495	14,495	14,495
General State Charges	17,508	18,726	18,726	18,726	18,726
National and Community Service	763	687	687	687	687
Local Assistance Grants	420	350	350	350	350
State Operations	343	337	337	337	337
Personal Service	338	328	328	328	328
Non-Personal Service/Indirect Costs	5	9	9	9	9
Temporary and Disability Assistance, Office of	1,489,604	1,382,370	1,419,786	1,431,186	1,442,086
Welfare Assistance	1,245,962	1,132,463	1,152,823	1,162,823	1,172,323
Local Assistance Grants	1,245,962	1,132,463	1,152,823	1,162,823	1,172,323
All Other	243,642	249,907	266,963	268,363	269,763
Local Assistance Grants	104,727	99,696	106,096	107,496	108,896
State Operations	138,915	150,211	160,867	160,867	160,867
Personal Service	65,353	60,815	62,557	62,557	62,557
Non-Personal Service/Indirect Costs	73,562	89,396	98,310	98,310	98,310
Functional Total	3,676,767	3,361,587	3,599,923	3,668,534	3,735,910
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	416,771	419,636	426,870	438,019	450,934
OASAS	334,244	339,589	344,776	357,396	369,958
Local Assistance Grants	289,517	296,964	302,664	314,848	327,153
State Operations	29,561	29,565	29,509	29,518	29,515
Personal Service	21,681	21,195	21,195	21,195	21,195
Non-Personal Service/Indirect Costs	7,880	8,370	8,314	8,323	8,320
General State Charges	15,166	13,060	12,603	13,030	13,290
OASAS - Other	82,527	80,047	82,094	80,623	80,976
Local Assistance Grants	21,325	21,325	21,325	21,325	21,325
State Operations	43,800	41,288	42,614	41,258	41,252
Personal Service	31,636	29,627	30,957	29,627	29,627
Non-Personal Service/Indirect Costs	12,164	11,661	11,657	11,631	11,625
General State Charges	17,402	17,434	18,155	18,040	18,399
Justice Center	32,017	40,995	41,017	41,054	41,100
Local Assistance Grants	347	620	620	620	620
State Operations	31,227	38,706	38,700	38,700	38,700
Personal Service	11,978	21,816	21,816	21,816	21,816
Non-Personal Service/Indirect Costs	19,249	16,890	16,884	16,884	16,884
General State Charges	443	1,669	1,697	1,734	1,780
Mental Health, Office of	3,081,010	3,168,839	3,297,122	3,345,810	3,475,048

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2014 Results	FY 2015 Enacted	FY 2016 Projected	FY 2017 Projected	FY 2018 Projected
OMH	1,276,299	1,322,519	1,397,964	1,434,251	1,511,129
Local Assistance Grants	748,694	804,991	854,005	906,416	981,352
State Operations	336,436	356,981	371,372	354,894	354,773
Personal Service	270,579	290,410	307,763	290,410	290,410
Non-Personal Service/Indirect Costs	65,857	66,571	63,609	64,484	64,363
General State Charges	191,169	160,547	172,587	172,941	175,004
OMH - Other	1,804,711	1,846,320	1,899,158	1,911,559	1,963,919
Local Assistance Grants	351,857	338,255	350,933	372,438	415,296
State Operations	1,058,628	1,050,497	1,082,537	1,065,373	1,065,225
Personal Service	813,902	803,768	840,022	818,745	818,745
Non-Personal Service/Indirect Costs	244,726	246,729	242,515	246,628	246,480
General State Charges	394,226	457,568	465,688	473,748	483,398
Mental Hygiene, Department of	312	0	0	0	0
State Operations	312	0	0	0	0
Non-Personal Service/Indirect Costs	312	0	0	0	0
People with Developmental Disabilities, Office for	3,401,602	3,449,869	3,555,714	3,491,891	3,852,447
OPWDD	447,797	385,926	319,088	307,562	315,754
Local Assistance Grants	447,696	385,745	318,907	307,381	315,573
State Operations	101	181	181	181	181
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Costs	101	181	181	181	181
General State Charges	0	0	0	0	0
OPWDD - Other	2,953,805	3,063,943	3,236,626	3,184,329	3,536,693
Local Assistance Grants	916,753	1,077,220	1,213,909	1,155,835	1,495,838
State Operations	1,413,051	1,360,512	1,375,048	1,360,212	1,359,928
Personal Service	1,149,690	1,102,867	1,117,967	1,102,867	1,102,867
Non-Personal Service/Indirect Costs	263,361	257,645	257,081	257,345	257,061
General State Charges	624,001	626,211	647,669	668,282	680,927
Capital Projects	0	0	0	0	0
Quality of Care and Advocacy for Persons With Disabilities, Commission on	1,953	0	0	0	0
Local Assistance Grants	286	0	0	0	0
State Operations	1,697	0	0	0	0
Personal Service	1,508	0	0	0	0
Non-Personal Service/Indirect Costs	189	0	0	0	0
General State Charges	(30)	0	0	0	0
Functional Total	6,933,665	7,079,339	7,320,723	7,316,774	7,819,529
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	2,101	2,651	2,651	2,651	2,651
State Operations	2,101	2,651	2,651	2,651	2,651
Personal Service	1,841	2,414	2,414	2,414	2,414
Non-Personal Service/Indirect Costs	260	237	237	237	237
Correctional Services, Department of	2,588,875	2,577,960	2,656,963	2,585,968	2,587,972
Local Assistance Grants	5,253	6,022	6,022	6,022	6,022
State Operations	2,583,582	2,571,814	2,650,814	2,579,814	2,581,814
Personal Service	2,069,341	2,089,951	2,168,951	2,097,951	2,099,951
Non-Personal Service/Indirect Costs	514,241	481,863	481,863	481,863	481,863
General State Charges	40	124	127	132	136
Corrections and Community Supervision Medicaid, Department of	0	11,500	12,500	12,500	12,500
Local Assistance Grants	0	11,500	12,500	12,500	12,500
Criminal Justice Services, Division of	194,175	222,805	193,851	193,854	193,854
Local Assistance Grants	143,295	182,943	153,984	153,984	153,984
State Operations	50,810	39,782	39,782	39,782	39,782
Personal Service	24,747	26,393	26,393	26,393	26,393
Non-Personal Service/Indirect Costs	26,063	13,389	13,389	13,389	13,389
General State Charges	70	80	85	88	88
Disaster Assistance	33,106	(77,580)	0	0	0
Local Assistance Grants	32,571	(10,000)	0	0	0
State Operations	535	(67,580)	0	0	0
Personal Service	(17,031)	(4,222)	0	0	0
Non-Personal Service/Indirect Costs	17,566	(63,358)	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2014 Results	FY 2015 Enacted	FY 2016 Projected	FY 2017 Projected	FY 2018 Projected
Homeland Security and Emergency Services, Division of	62,936	98,745	127,415	102,949	66,983
Local Assistance Grants	22,870	57,396	86,023	61,523	25,523
State Operations	39,430	40,346	40,347	40,348	40,348
Personal Service	15,450	15,325	15,325	15,325	15,325
Non-Personal Service/Indirect Costs	23,980	25,021	25,022	25,023	25,023
General State Charges	636	1,003	1,045	1,078	1,112
Indigent Legal Services, Office of	54,584	67,317	82,917	82,917	82,917
Local Assistance Grants	53,002	65,400	81,000	81,000	81,000
State Operations	1,093	1,539	1,539	1,539	1,539
Personal Service	885	1,004	1,004	1,004	1,004
Non-Personal Service/Indirect Costs	208	535	535	535	535
General State Charges	489	378	378	378	378
Judicial Conduct, Commission on	5,165	5,484	5,484	5,484	5,484
State Operations	5,165	5,484	5,484	5,484	5,484
Personal Service	3,904	4,081	4,081	4,081	4,081
Non-Personal Service/Indirect Costs	1,261	1,403	1,403	1,403	1,403
Judicial Nomination, Commission on	45	30	30	30	30
State Operations	45	30	30	30	30
Non-Personal Service/Indirect Costs	45	30	30	30	30
Judicial Screening Committees, New York State	50	38	38	38	38
State Operations	50	38	38	38	38
Personal Service	0	13	13	13	13
Non-Personal Service/Indirect Costs	50	25	25	25	25
Military and Naval Affairs, Division of	26,563	26,049	26,049	26,049	26,049
Local Assistance Grants	718	967	967	967	967
State Operations	25,481	25,002	25,002	25,002	25,002
Personal Service	16,785	16,564	16,564	16,564	16,564
Non-Personal Service/Indirect Costs	8,696	8,438	8,438	8,438	8,438
General State Charges	364	80	80	80	80
State Police, Division of	651,136	654,719	650,614	650,800	651,009
State Operations	647,660	651,162	646,788	646,791	646,796
Personal Service	557,338	559,062	559,062	559,062	559,062
Non-Personal Service/Indirect Costs	90,322	92,100	87,726	87,729	87,734
General State Charges	3,476	3,557	3,826	4,009	4,213
Statewide Financial System	52,390	29,700	29,706	29,711	29,717
State Operations	52,390	29,700	29,706	29,711	29,717
Personal Service	8,414	10,638	10,638	10,638	10,638
Non-Personal Service/Indirect Costs	43,976	19,062	19,068	19,073	19,079
Victim Services, Office of	29,503	34,346	34,513	34,512	34,512
Local Assistance Grants	24,216	28,182	28,182	28,182	28,182
State Operations	3,924	4,136	4,140	4,140	4,140
Personal Service	3,162	3,509	3,509	3,509	3,509
Non-Personal Service/Indirect Costs	762	627	631	631	631
General State Charges	1,363	2,028	2,191	2,190	2,190
Functional Total	3,700,629	3,653,764	3,822,731	3,727,463	3,693,716
HIGHER EDUCATION					
City University of New York	1,430,435	1,489,670	1,538,242	1,588,804	1,643,068
Local Assistance Grants	1,345,524	1,394,160	1,440,940	1,489,692	1,542,105
State Operations	78,839	89,510	91,302	93,112	94,963
Personal Service	38,368	41,301	42,363	43,425	44,509
Non-Personal Service/Indirect Costs	40,471	48,209	48,939	49,687	50,454
General State Charges	6,072	6,000	6,000	6,000	6,000
Higher Education - Miscellaneous	366	1,300	1,300	1,300	1,300
State Operations	267	1,201	1,201	1,201	1,201
Personal Service	179	198	198	198	198
Non-Personal Service/Indirect Costs	88	1,003	1,003	1,003	1,003
General State Charges	99	99	99	99	99
Higher Education Services Corporation, New York State	1,059,863	1,097,909	1,128,701	1,142,718	1,152,626
Local Assistance Grants	989,713	1,033,565	1,064,120	1,077,784	1,087,280

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	<u>FY 2014 Results</u>	<u>FY 2015 Enacted</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>	<u>FY 2018 Projected</u>
State Operations	59,659	54,333	54,333	54,333	54,333
Personal Service	24,887	17,631	17,631	17,631	17,631
Non-Personal Service/Indirect Costs	34,772	36,702	36,702	36,702	36,702
General State Charges	10,491	10,011	10,248	10,601	11,013
State University Construction Fund	0	0	0	0	0
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Costs	0	0	0	0	0
General State Charges	0	0	0	0	0
State University of New York	6,756,881	6,828,786	6,950,805	7,091,778	7,203,551
Local Assistance Grants	480,927	488,269	494,284	494,284	494,284
State Operations	5,698,161	5,731,319	5,849,176	5,984,227	6,089,976
Personal Service	3,439,681	3,544,757	3,608,971	3,694,039	3,748,480
Non-Personal Service/Indirect Costs	2,258,480	2,186,562	2,240,205	2,290,188	2,341,496
General State Charges	577,793	609,198	607,345	613,267	619,291
Functional Total	9,247,545	9,417,665	9,619,048	9,824,600	10,000,545
EDUCATION					
Arts, Council on the	26,401	47,053	40,053	40,053	40,053
Local Assistance Grants	22,990	42,933	35,933	35,933	35,933
State Operations	3,411	4,120	4,120	4,120	4,120
Personal Service	2,128	2,298	2,298	2,298	2,298
Non-Personal Service/Indirect Costs	1,283	1,822	1,822	1,822	1,822
Education, Department of	25,946,751	27,409,357	29,141,145	30,395,861	31,820,965
School Aid	20,420,108	21,671,173	23,288,558	24,294,355	25,501,722
Local Assistance Grants	20,420,108	21,671,173	23,288,558	24,294,355	25,501,722
STAR Property Tax Relief	3,356,792	3,429,375	3,478,479	3,573,844	3,615,792
Local Assistance Grants	3,356,792	3,429,375	3,478,479	3,573,844	3,615,792
Special Education Categorical Programs	1,408,456	1,496,331	1,593,496	1,724,096	1,841,196
Local Assistance Grants	1,408,456	1,496,331	1,593,496	1,724,096	1,841,196
All Other	761,395	812,478	780,612	803,566	862,255
Local Assistance Grants	594,976	650,165	619,046	641,218	698,985
State Operations	135,590	130,104	128,904	128,891	128,891
Personal Service	84,389	81,522	81,522	81,522	81,522
Non-Personal Service/Indirect Costs	51,201	48,582	47,382	47,369	47,369
General State Charges	30,829	32,209	32,662	33,457	34,379
Functional Total	25,973,152	27,456,410	29,181,198	30,435,914	31,861,018
GENERAL GOVERNMENT					
Budget, Division of the	30,760	30,905	30,764	30,880	30,981
State Operations	28,540	29,409	29,321	29,323	29,324
Personal Service	23,099	23,799	24,755	24,849	24,902
Non-Personal Service/Indirect Costs	5,441	5,610	4,566	4,474	4,422
General State Charges	2,220	1,496	1,443	1,557	1,657
Civil Service, Department of	13,275	12,980	12,984	12,984	12,984
State Operations	13,131	12,808	12,808	12,808	12,808
Personal Service	11,726	12,144	12,144	12,144	12,144
Non-Personal Service/Indirect Costs	1,405	664	664	664	664
General State Charges	144	172	176	176	176
Deferred Compensation Board	689	854	855	866	866
State Operations	486	629	630	641	641
Personal Service	399	410	410	410	410
Non-Personal Service/Indirect Costs	87	219	220	231	231
General State Charges	203	225	225	225	225
Elections, State Board of	5,160	10,404	8,404	8,404	8,404
Local Assistance Grants	105	2,000	0	0	0
State Operations	5,055	8,404	8,404	8,404	8,404
Personal Service	4,119	5,065	5,065	5,065	5,065
Non-Personal Service/Indirect Costs	936	3,339	3,339	3,339	3,339

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2014 Results	FY 2015 Enacted	FY 2016 Projected	FY 2017 Projected	FY 2018 Projected
Employee Relations, Office of	2,282	2,581	2,581	2,581	2,581
State Operations	2,282	2,581	2,581	2,581	2,581
Personal Service	2,219	2,510	2,510	2,510	2,510
Non-Personal Service/Indirect Costs	63	71	71	71	71
Gaming Commission, New York State	151,546	185,109	224,954	232,363	252,161
Local Assistance Grants	0	0	40,000	47,200	65,300
State Operations	137,113	166,402	165,194	165,317	166,398
Personal Service	31,461	33,075	35,438	35,504	36,548
Non-Personal Service/Indirect Costs	105,652	133,327	129,756	129,813	129,850
General State Charges	14,433	18,707	19,760	19,846	20,463
General Services, Office of	166,552	152,504	151,552	151,636	151,654
State Operations	164,347	150,247	149,192	149,193	149,193
Personal Service	56,410	62,726	62,726	62,726	62,726
Non-Personal Service/Indirect Costs	107,937	87,521	86,466	86,467	86,467
General State Charges	2,205	2,257	2,360	2,443	2,461
Inspector General, Office of the	6,434	6,917	6,917	6,917	6,917
State Operations	6,434	6,917	6,917	6,917	6,917
Personal Service	6,117	6,360	6,360	6,360	6,360
Non-Personal Service/Indirect Costs	317	557	557	557	557
Labor Management Committees	19,637	35,356	35,356	35,356	35,356
State Operations	19,637	35,356	35,356	35,356	35,356
Personal Service	6,327	5,446	5,446	5,446	5,446
Non-Personal Service/Indirect Costs	13,310	29,910	29,910	29,910	29,910
Lottery, Division of the	0	0	0	0	0
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Costs	0	0	0	0	0
General State Charges	0	0	0	0	0
Prevention of Domestic Violence, Office for	2,016	2,281	2,281	2,281	2,281
Local Assistance Grants	658	685	685	685	685
State Operations	1,358	1,596	1,596	1,596	1,596
Personal Service	1,156	1,388	1,388	1,388	1,388
Non-Personal Service/Indirect Costs	202	208	208	208	208
Public Employment Relations Board	3,333	3,731	3,731	3,572	3,573
State Operations	3,333	3,731	3,731	3,572	3,573
Personal Service	2,991	3,495	3,495	3,336	3,336
Non-Personal Service/Indirect Costs	342	236	236	236	237
Public Integrity, Commission on	3,610	4,331	4,331	4,331	4,331
State Operations	3,610	4,331	4,331	4,331	4,331
Personal Service	2,878	3,420	3,420	3,420	3,420
Non-Personal Service/Indirect Costs	732	911	911	911	911
Racing and Wagering Board, State	0	0	0	0	0
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Costs	0	0	0	0	0
General State Charges	0	0	0	0	0
State, Department of	57,312	67,098	56,771	56,935	56,433
Local Assistance Grants	8,036	13,551	3,979	3,979	3,979
State Operations	41,774	44,459	43,595	43,557	42,818
Personal Service	29,855	28,051	28,015	27,978	27,940
Non-Personal Service/Indirect Costs	11,919	16,408	15,580	15,579	14,878
General State Charges	7,502	9,103	9,212	9,414	9,651
Capital Projects	0	(15)	(15)	(15)	(15)
Tax Appeals, Division of	2,818	3,174	3,174	3,174	3,174
State Operations	2,818	3,174	3,174	3,174	3,174
Personal Service	2,671	2,962	2,962	2,962	2,962
Non-Personal Service/Indirect Costs	147	212	212	212	212
Taxation and Finance, Department of	368,301	363,317	357,135	357,173	357,212
Local Assistance Grants	959	926	926	926	926
State Operations	345,227	339,152	332,041	331,415	331,454
Personal Service	275,415	275,359	275,359	275,359	275,359
Non-Personal Service/Indirect Costs	69,812	63,793	56,682	56,056	56,095

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2014 Results	FY 2015 Enacted	FY 2016 Projected	FY 2017 Projected	FY 2018 Projected
General State Charges	22,115	23,239	24,168	24,832	24,832
Technology, Office for	220,088	420,708	426,848	426,885	426,836
State Operations	220,088	420,708	426,848	426,885	426,836
Personal Service	134,370	276,101	276,128	276,131	276,101
Non-Personal Service/Indirect Costs	85,718	144,607	150,720	150,754	150,735
Veterans' Affairs, Division of	12,356	14,319	13,479	13,479	13,479
Local Assistance Grants	7,034	8,227	7,637	7,637	7,637
State Operations	5,322	6,092	5,842	5,842	5,842
Personal Service	4,964	5,550	5,550	5,550	5,550
Non-Personal Service/Indirect Costs	358	542	292	292	292
Welfare Inspector General, Office of	355	972	972	972	972
State Operations	349	972	972	972	972
Personal Service	219	514	514	514	514
Non-Personal Service/Indirect Costs	130	458	458	458	458
General State Charges	6	0	0	0	0
Workers' Compensation Board	193,433	187,623	189,999	191,739	193,713
State Operations	147,933	141,706	141,771	141,791	141,811
Personal Service	85,571	80,977	80,977	80,977	80,977
Non-Personal Service/Indirect Costs	62,362	60,729	60,794	60,814	60,834
General State Charges	45,500	45,917	48,228	49,948	51,902
Functional Total	1,259,957	1,505,164	1,533,088	1,542,528	1,563,908
ELECTED OFFICIALS					
Audit and Control, Department of	171,989	175,086	175,163	175,218	175,282
Local Assistance Grants	32,025	32,024	32,024	32,024	32,024
State Operations	138,288	141,399	141,399	141,399	141,399
Personal Service	106,972	109,735	109,735	109,735	109,735
Non-Personal Service/Indirect Costs	31,316	31,664	31,664	31,664	31,664
General State Charges	1,676	1,663	1,740	1,795	1,859
Executive Chamber	13,673	13,578	13,578	13,578	13,578
State Operations	13,673	13,578	13,578	13,578	13,578
Personal Service	10,467	10,811	11,135	11,469	11,813
Non-Personal Service/Indirect Costs	3,206	2,767	2,443	2,109	1,765
Judiciary	2,592,838	2,716,603	2,727,403	2,738,253	2,749,053
Local Assistance Grants	104,079	121,600	121,600	121,600	121,600
State Operations	1,838,218	1,925,900	1,925,900	1,925,900	1,925,900
Personal Service	1,455,114	1,483,400	1,483,400	1,483,400	1,483,400
Non-Personal Service/Indirect Costs	383,104	442,500	442,500	442,500	442,500
General State Charges	650,541	669,103	679,903	690,753	701,553
Law, Department of	177,527	183,214	183,214	183,219	183,224
State Operations	161,621	168,335	168,335	168,340	168,345
Personal Service	112,867	113,563	113,563	113,563	113,563
Non-Personal Service/Indirect Costs	48,754	54,772	54,772	54,777	54,782
General State Charges	15,906	14,879	14,879	14,879	14,879
Legislature	207,984	218,795	218,795	218,795	218,795
State Operations	207,984	218,795	218,795	218,795	218,795
Personal Service	158,325	166,331	166,331	166,331	166,331
Non-Personal Service/Indirect Costs	49,659	52,464	52,464	52,464	52,464
Lieutenant Governor, Office of the	427	614	614	614	614
State Operations	427	614	614	614	614
Personal Service	346	480	498	513	523
Non-Personal Service/Indirect Costs	81	134	116	101	91
Functional Total	3,164,438	3,307,890	3,318,767	3,329,677	3,340,546
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	718,950	738,814	749,293	759,576	763,491
Local Assistance Grants	718,950	738,814	749,293	759,576	763,491
Efficiency Incentive Grants Program	4,987	3,230	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2014 Results	FY 2015 Enacted	FY 2016 Projected	FY 2017 Projected	FY 2018 Projected
Local Assistance Grants	4,987	3,230	0	0	0
Miscellaneous Financial Assistance	4,873	7,798	2,023	2,423	1,623
Local Assistance Grants	4,873	7,798	2,023	2,423	1,623
Municipalities with VLT Facilities	27,246	29,331	27,246	27,246	27,246
Local Assistance Grants	27,246	29,331	27,246	27,246	27,246
Small Government Assistance	217	218	218	218	218
Local Assistance Grants	217	218	218	218	218
Functional Total	756,273	779,391	778,780	789,463	792,578
ALL OTHER CATEGORIES					
General State Charges	4,045,490	4,215,492	4,455,530	4,593,122	4,696,130
General State Charges	4,045,490	4,215,492	4,455,530	4,593,122	4,696,130
Long-Term Debt Service	6,437,053	5,691,731	5,950,911	6,725,437	7,054,005
State Operations	37,357	43,369	43,369	43,369	43,369
Non-Personal Service/Indirect Costs	37,357	43,369	43,369	43,369	43,369
Debt Service	6,399,696	5,648,362	5,907,542	6,682,068	7,010,636
Miscellaneous	168,567	106,890	12,529	13,529	13,533
Local Assistance Grants	143,088	96,777	2,382	3,382	3,382
State Operations	6,339	4,217	4,219	4,219	4,220
Personal Service	2,330	2,492	2,492	2,492	2,492
Non-Personal Service/Indirect Costs	4,009	1,725	1,727	1,727	1,728
General State Charges	19,140	5,896	5,928	5,928	5,931
Functional Total	10,651,110	10,014,113	10,418,970	11,332,088	11,763,668
TOTAL STATE OPERATING FUNDS SPENDING	90,630,961	92,234,227	96,172,588	99,346,566	102,796,115

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2014 Results	FY 2015 Enacted	FY 2016 Projected	FY 2017 Projected	FY 2018 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	75,293	85,450	80,791	80,870	80,919
Alcoholic Beverage Control, Division of	17,986	17,537	17,653	17,810	17,987
Economic Development, Department of	69,147	92,151	95,503	86,303	85,503
Empire State Development Corporation	91,340	89,462	112,824	137,096	137,096
Energy Research and Development Authority	28,517	16,158	16,230	16,230	16,230
Financial Services, Department of	502,023	502,165	502,460	504,511	506,901
Olympic Regional Development Authority	4,134	3,161	3,161	3,161	3,161
Public Service Department	68,323	74,955	75,791	76,373	77,183
Functional Total	856,763	881,039	904,413	922,354	924,980
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	4,169	4,292	4,292	4,292	4,292
Environmental Conservation, Department of	283,259	290,352	284,133	285,188	257,434
Parks, Recreation and Historic Preservation, Office of	203,343	188,516	189,091	190,591	190,766
Functional Total	490,771	483,160	477,516	480,071	452,492
TRANSPORTATION					
Motor Vehicles, Department of	94,865	86,942	87,535	88,220	89,017
Thruway Authority, New York State	20,246	24,000	24,000	24,000	24,000
Transportation, Department of	4,747,045	4,842,130	4,890,912	4,961,962	5,039,532
Functional Total	4,862,156	4,953,072	5,002,447	5,074,182	5,152,549
HEALTH					
Aging, Office for the	113,350	122,575	128,764	132,229	135,494
Health, Department of	18,891,612	19,158,382	20,005,544	20,710,013	21,498,506
<i>Medical Assistance</i>	15,734,887	16,278,782	17,147,151	17,917,732	18,687,102
<i>Medicaid Administration</i>	647,566	682,897	593,397	593,397	593,397
<i>Public Health</i>	2,509,159	2,196,703	2,264,996	2,198,884	2,218,007
Medicaid Inspector General, Office of the	20,202	22,776	22,776	22,776	22,776
Stem Cell and Innovation	32,571	37,900	37,900	37,900	37,900
Functional Total	19,057,735	19,341,633	20,194,984	20,902,918	21,694,676
SOCIAL WELFARE					
Children and Family Services, Office of	2,027,954	1,811,674	2,007,358	2,052,020	2,107,946
<i>OCFS</i>	1,939,772	1,723,338	1,915,555	1,956,540	2,008,567
<i>OCFS - Other</i>	88,182	88,336	91,803	95,480	99,379
Housing and Community Renewal, Division of	77,755	75,455	95,287	107,836	108,386
Human Rights, Division of	11,391	10,332	10,332	10,332	10,332
Labor, Department of	69,300	81,069	66,473	66,473	66,473
National and Community Service	763	687	687	687	687
Temporary and Disability Assistance, Office of	1,489,604	1,382,370	1,419,786	1,431,186	1,442,086
<i>Welfare Assistance</i>	1,245,962	1,132,463	1,152,823	1,162,823	1,172,323
<i>All Other</i>	243,642	249,907	266,963	268,363	269,763
Functional Total	3,676,767	3,361,587	3,599,923	3,668,534	3,735,910
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	416,771	419,636	426,870	438,019	450,934
<i>OASAS</i>	334,244	339,589	344,776	357,396	369,958
<i>OASAS - Other</i>	82,527	80,047	82,094	80,623	80,976
Justice Center	32,017	40,995	41,017	41,054	41,100
Mental Health, Office of	3,081,010	3,168,839	3,297,122	3,345,810	3,475,048
<i>OMH</i>	1,276,299	1,322,519	1,397,964	1,434,251	1,511,129
<i>OMH - Other</i>	1,804,711	1,846,320	1,899,158	1,911,559	1,963,919
Mental Hygiene, Department of	312	0	0	0	0
People with Developmental Disabilities, Office for	3,401,602	3,449,869	3,555,714	3,491,891	3,852,447
<i>OPWDD</i>	447,797	385,926	319,088	307,562	315,754
<i>OPWDD - Other</i>	2,953,805	3,063,943	3,236,626	3,184,329	3,536,693
Quality of Care and Advocacy for Persons With Disabilities, Commission on	1,953	0	0	0	0
Functional Total	6,933,665	7,079,339	7,320,723	7,316,774	7,819,529
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	2,101	2,651	2,651	2,651	2,651
Correctional Services, Department of	2,588,875	2,577,960	2,656,963	2,585,968	2,587,972
Corrections and Community Supervision Medicaid, Department of	0	11,500	12,500	12,500	12,500
Criminal Justice Services, Division of	194,175	222,805	193,851	193,854	193,854
Disaster Assistance	33,106	(77,580)	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	<u>FY 2014 Results</u>	<u>FY 2015 Enacted</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>	<u>FY 2018 Projected</u>
Homeland Security and Emergency Services, Division of	62,936	98,745	127,415	102,949	66,983
Indigent Legal Services, Office of	54,584	67,317	82,917	82,917	82,917
Judicial Conduct, Commission on	5,165	5,484	5,484	5,484	5,484
Judicial Nomination, Commission on	45	30	30	30	30
Judicial Screening Committees, New York State	50	38	38	38	38
Military and Naval Affairs, Division of	26,563	26,049	26,049	26,049	26,049
State Police, Division of	651,136	654,719	650,614	650,800	651,009
Statewide Financial System	52,390	29,700	29,706	29,711	29,717
Victim Services, Office of	29,503	34,346	34,513	34,512	34,512
Functional Total	<u>3,700,629</u>	<u>3,653,764</u>	<u>3,822,731</u>	<u>3,727,463</u>	<u>3,693,716</u>
HIGHER EDUCATION					
City University of New York	1,430,435	1,489,670	1,538,242	1,588,804	1,643,068
Higher Education - Miscellaneous	366	1,300	1,300	1,300	1,300
Higher Education Services Corporation, New York State	1,059,863	1,097,909	1,128,701	1,142,718	1,152,626
State University Construction Fund	0	0	0	0	0
State University of New York	6,756,881	6,828,786	6,950,805	7,091,778	7,203,551
Functional Total	<u>9,247,545</u>	<u>9,417,665</u>	<u>9,619,048</u>	<u>9,824,600</u>	<u>10,000,545</u>
EDUCATION					
Arts, Council on the	26,401	47,053	40,053	40,053	40,053
Education, Department of	25,946,751	27,409,357	29,141,145	30,395,861	31,820,965
<i>School Aid</i>	20,420,108	21,671,173	23,288,558	24,294,355	25,501,722
<i>STAR Property Tax Relief</i>	3,356,792	3,429,375	3,478,479	3,573,844	3,615,792
<i>Special Education Categorical Programs</i>	1,408,456	1,496,331	1,593,496	1,724,096	1,841,196
<i>All Other</i>	761,395	812,478	780,612	803,566	862,255
Functional Total	<u>25,973,152</u>	<u>27,456,410</u>	<u>29,181,198</u>	<u>30,435,914</u>	<u>31,861,018</u>
GENERAL GOVERNMENT					
Budget, Division of the	30,760	30,905	30,764	30,880	30,981
Civil Service, Department of	13,275	12,980	12,984	12,984	12,984
Deferred Compensation Board	689	854	855	866	866
Elections, State Board of	5,160	10,404	8,404	8,404	8,404
Employee Relations, Office of	2,282	2,581	2,581	2,581	2,581
Gaming Commission, New York State	151,546	185,109	224,954	232,363	252,161
General Services, Office of	166,552	152,504	151,552	151,636	151,654
Inspector General, Office of the	6,434	6,917	6,917	6,917	6,917
Labor Management Committees	19,637	35,356	35,356	35,356	35,356
Lottery, Division of the	0	0	0	0	0
Prevention of Domestic Violence, Office for	2,016	2,281	2,281	2,281	2,281
Public Employment Relations Board	3,333	3,731	3,731	3,572	3,573
Public Integrity, Commission on	3,610	4,331	4,331	4,331	4,331
Racing and Wagering Board, State	0	0	0	0	0
State, Department of	57,312	67,098	56,771	56,935	56,433
Tax Appeals, Division of	2,818	3,174	3,174	3,174	3,174
Taxation and Finance, Department of	368,301	363,317	357,135	357,173	357,212
Technology, Office for	220,088	420,708	426,848	426,885	426,836
Veterans' Affairs, Division of	12,356	14,319	13,479	13,479	13,479
Welfare Inspector General, Office of	355	972	972	972	972
Workers' Compensation Board	193,433	187,623	189,999	191,739	193,713
Functional Total	<u>1,259,957</u>	<u>1,505,164</u>	<u>1,533,088</u>	<u>1,542,528</u>	<u>1,563,908</u>
ELECTED OFFICIALS					
Audit and Control, Department of	171,989	175,086	175,163	175,218	175,282
Executive Chamber	13,673	13,578	13,578	13,578	13,578
Judiciary	2,592,838	2,716,603	2,727,403	2,738,253	2,749,053
Law, Department of	177,527	183,214	183,214	183,219	183,224
Legislature	207,984	218,795	218,795	218,795	218,795
Lieutenant Governor, Office of the	427	614	614	614	614
Functional Total	<u>3,164,438</u>	<u>3,307,890</u>	<u>3,318,767</u>	<u>3,329,677</u>	<u>3,340,546</u>
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	718,950	738,814	749,293	759,576	763,491
Efficiency Incentive Grants Program	4,987	3,230	0	0	0
Miscellaneous Financial Assistance	4,873	7,798	2,023	2,423	1,623
Municipalities with VLT Facilities	27,246	29,331	27,246	27,246	27,246
Small Government Assistance	217	218	218	218	218
Functional Total	<u>756,273</u>	<u>779,391</u>	<u>778,780</u>	<u>789,463</u>	<u>792,578</u>

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	<u>FY 2014 Results</u>	<u>FY 2015 Enacted</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>	<u>FY 2018 Projected</u>
ALL OTHER CATEGORIES					
General State Charges	4,045,490	4,215,492	4,455,530	4,593,122	4,696,130
Long-Term Debt Service	6,437,053	5,691,731	5,950,911	6,725,437	7,054,005
Miscellaneous	168,567	106,890	12,529	13,529	13,533
Functional Total	<u>10,651,110</u>	<u>10,014,113</u>	<u>10,418,970</u>	<u>11,332,088</u>	<u>11,763,668</u>
TOTAL STATE OPERATING FUNDS SPENDING	<u>90,630,961</u>	<u>92,234,227</u>	<u>96,172,588</u>	<u>99,346,566</u>	<u>102,796,115</u>

GSC: Agency disbursements include grants to local governments, state operations and general state charges, which is a departure from prior Financial plan publications. In prior reports, general state charges were excluded from agency spending totals.

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
LOCAL ASSISTANCE GRANTS
(thousands of dollars)**

	<u>FY 2014 Results</u>	<u>FY 2015 Enacted</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>	<u>FY 2018 Projected</u>
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	21,416	29,754	25,955	25,955	25,955
Economic Development, Department of	50,341	70,527	73,879	64,879	64,879
Empire State Development Corporation	90,139	88,462	111,824	136,096	136,096
Energy Research and Development Authority	9,471	7,439	7,439	7,439	7,439
Financial Services, Department of	228,507	216,952	215,952	215,952	215,952
Public Service Department	0	200	200	200	200
Functional Total	<u>399,874</u>	<u>413,334</u>	<u>435,249</u>	<u>450,521</u>	<u>450,521</u>
PARKS AND THE ENVIRONMENT					
Environmental Conservation, Department of	3,849	12,542	4,292	4,292	4,542
Parks, Recreation and Historic Preservation, Office of	7,971	7,850	7,425	7,425	7,600
Functional Total	<u>11,820</u>	<u>20,392</u>	<u>11,717</u>	<u>11,717</u>	<u>12,142</u>
TRANSPORTATION					
Transportation, Department of	4,722,126	4,816,677	4,865,428	4,936,373	5,013,821
Functional Total	<u>4,722,126</u>	<u>4,816,677</u>	<u>4,865,428</u>	<u>4,936,373</u>	<u>5,013,821</u>
HEALTH					
Aging, Office for the	111,742	121,135	127,324	130,789	134,054
Health, Department of	18,308,528	18,478,606	19,224,436	19,948,682	20,744,912
<i>Medical Assistance</i>	15,734,887	16,278,782	17,147,151	17,917,732	18,687,102
<i>Medicaid Administration</i>	506,256	452,558	376,431	364,411	364,411
<i>Public Health</i>	2,067,385	1,747,266	1,700,854	1,666,539	1,693,399
Functional Total	<u>18,420,270</u>	<u>18,599,741</u>	<u>19,351,760</u>	<u>20,079,471</u>	<u>20,878,966</u>
SOCIAL WELFARE					
Children and Family Services, Office of	1,750,405	1,545,029	1,753,113	1,797,775	1,853,704
<i>OCFS</i>	1,662,223	1,456,693	1,661,310	1,702,295	1,754,325
<i>OCFS - Other</i>	88,182	88,336	91,803	95,480	99,379
Housing and Community Renewal, Division of	13,909	9,955	29,987	42,536	43,086
Labor, Department of	6,340	14,746	150	150	150
National and Community Service	420	350	350	350	350
Temporary and Disability Assistance, Office of	1,350,689	1,232,159	1,258,919	1,270,319	1,281,219
<i>Welfare Assistance</i>	1,245,962	1,132,463	1,152,823	1,162,823	1,172,323
<i>All Other</i>	104,727	99,696	106,096	107,496	108,896
Functional Total	<u>3,121,763</u>	<u>2,802,239</u>	<u>3,042,519</u>	<u>3,111,130</u>	<u>3,178,509</u>
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	310,842	318,289	323,989	336,173	348,478
<i>OASAS</i>	289,517	296,964	302,664	314,848	327,153
<i>OASAS - Other</i>	21,325	21,325	21,325	21,325	21,325
Justice Center	347	620	620	620	620
Mental Health, Office of	1,100,551	1,143,246	1,204,938	1,278,854	1,396,648
<i>OMH</i>	748,694	804,991	854,005	906,416	981,352
<i>OMH - Other</i>	351,857	338,255	350,933	372,438	415,296
People with Developmental Disabilities, Office for	1,364,449	1,462,965	1,532,816	1,463,216	1,811,411
<i>OPWDD</i>	447,696	385,745	318,907	307,381	315,573
<i>OPWDD - Other</i>	916,753	1,077,220	1,213,909	1,155,835	1,495,838
Quality of Care and Advocacy for Persons With Disabilities, Commission on	286	0	0	0	0
Functional Total	<u>2,776,475</u>	<u>2,925,120</u>	<u>3,062,363</u>	<u>3,078,863</u>	<u>3,557,157</u>
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correctional Services, Department of	5,253	6,022	6,022	6,022	6,022
Corrections and Community Supervision Medicaid, Department of	0	11,500	12,500	12,500	12,500
Criminal Justice Services, Division of	143,295	182,943	153,984	153,984	153,984
Disaster Assistance	32,571	(10,000)	0	0	0
Homeland Security and Emergency Services, Division of	22,870	57,396	86,023	61,523	25,523
Indigent Legal Services, Office of	53,002	65,400	81,000	81,000	81,000
Military and Naval Affairs, Division of	718	967	967	967	967
Victim Services, Office of	24,216	28,182	28,182	28,182	28,182
Functional Total	<u>281,925</u>	<u>342,410</u>	<u>368,678</u>	<u>344,178</u>	<u>308,178</u>
HIGHER EDUCATION					
City University of New York	1,345,524	1,394,160	1,440,940	1,489,692	1,542,105

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
LOCAL ASSISTANCE GRANTS
(thousands of dollars)**

	FY 2014 Results	FY 2015 Enacted	FY 2016 Projected	FY 2017 Projected	FY 2018 Projected
Higher Education Services Corporation, New York State	989,713	1,033,565	1,064,120	1,077,784	1,087,280
State University of New York	480,927	488,269	494,284	494,284	494,284
Functional Total	2,816,164	2,915,994	2,999,344	3,061,760	3,123,669
EDUCATION					
Arts, Council on the	22,990	42,933	35,933	35,933	35,933
Education, Department of	25,780,332	27,247,044	28,979,579	30,233,513	31,657,695
<i>School Aid</i>	20,420,108	21,671,173	23,288,558	24,294,355	25,501,722
<i>STAR Property Tax Relief</i>	3,356,792	3,429,375	3,478,479	3,573,844	3,615,792
<i>Special Education Categorical Programs</i>	1,408,456	1,496,331	1,593,496	1,724,096	1,841,196
<i>All Other</i>	594,976	650,165	619,046	641,218	698,985
Functional Total	25,803,322	27,289,977	29,015,512	30,269,446	31,693,628
GENERAL GOVERNMENT					
Elections, State Board of	105	2,000	0	0	0
Gaming Commission, New York State	0	0	40,000	47,200	65,300
Prevention of Domestic Violence, Office for	658	685	685	685	685
State, Department of	8,036	13,551	3,979	3,979	3,979
Taxation and Finance, Department of	959	926	926	926	926
Veterans' Affairs, Division of	7,034	8,227	7,637	7,637	7,637
Functional Total	16,792	25,389	53,227	60,427	78,527
ELECTED OFFICIALS					
Audit and Control, Department of	32,025	32,024	32,024	32,024	32,024
Judiciary	104,079	121,600	121,600	121,600	121,600
Functional Total	136,104	153,624	153,624	153,624	153,624
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	718,950	738,814	749,293	759,576	763,491
Efficiency Incentive Grants Program	4,987	3,230	0	0	0
Miscellaneous Financial Assistance	4,873	7,798	2,023	2,423	1,623
Municipalities with VLT Facilities	27,246	29,331	27,246	27,246	27,246
Small Government Assistance	217	218	218	218	218
Functional Total	756,273	779,391	778,780	789,463	792,578
ALL OTHER CATEGORIES					
Miscellaneous	143,088	96,777	2,382	3,382	3,382
Functional Total	143,088	96,777	2,382	3,382	3,382
TOTAL LOCAL ASSISTANCE GRANTS SPENDING	59,405,996	61,181,065	64,140,583	66,350,355	69,244,702

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
STATE OPERATIONS
(thousands of dollars)**

	<u>FY 2014 Results</u>	<u>FY 2015 Enacted</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>	<u>FY 2018 Projected</u>
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	52,594	54,063	53,163	53,166	53,166
Alcoholic Beverage Control, Division of	13,337	13,008	13,010	13,012	13,014
Economic Development, Department of	18,806	21,596	21,596	21,396	20,596
Empire State Development Corporation	1,201	1,000	1,000	1,000	1,000
Energy Research and Development Authority	16,592	6,551	6,551	6,551	6,551
Financial Services, Department of	195,464	202,086	202,003	202,003	202,003
Olympic Regional Development Authority	4,134	3,161	3,161	3,161	3,161
Public Service Department	48,175	51,447	51,363	51,363	51,447
Functional Total	<u>350,303</u>	<u>352,912</u>	<u>351,847</u>	<u>351,652</u>	<u>350,938</u>
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	4,169	4,292	4,292	4,292	4,292
Environmental Conservation, Department of	235,419	233,868	235,237	235,137	213,217
Parks, Recreation and Historic Preservation, Office of	185,640	177,771	177,771	177,771	177,771
Functional Total	<u>425,228</u>	<u>415,931</u>	<u>417,300</u>	<u>417,200</u>	<u>395,280</u>
TRANSPORTATION					
Motor Vehicles, Department of	67,964	62,407	62,383	62,382	62,382
Thruway Authority, New York State	20,246	24,000	24,000	24,000	24,000
Transportation, Department of	22,767	21,186	21,173	21,173	21,173
Functional Total	<u>110,977</u>	<u>107,593</u>	<u>107,556</u>	<u>107,555</u>	<u>107,555</u>
HEALTH					
Aging, Office for the	1,608	1,440	1,440	1,440	1,440
Health, Department of	547,183	651,360	746,527	726,143	718,306
<i>Medical Assistance</i>	0	0	0	0	0
<i>Medicaid Administration</i>	141,310	230,339	216,966	228,986	228,986
<i>Public Health</i>	405,873	421,021	529,561	497,157	489,320
Medicaid Inspector General, Office of the	20,202	22,776	22,776	22,776	22,776
Stem Cell and Innovation	32,295	37,900	37,900	37,900	37,900
Functional Total	<u>601,288</u>	<u>713,476</u>	<u>808,643</u>	<u>788,259</u>	<u>780,422</u>
SOCIAL WELFARE					
Children and Family Services, Office of	276,508	263,987	251,587	251,587	251,584
<i>OCFS</i>	276,508	263,987	251,587	251,587	251,584
Housing and Community Renewal, Division of	48,171	48,822	48,622	48,622	48,622
Human Rights, Division of	11,391	10,332	10,332	10,332	10,332
Labor, Department of	45,452	47,597	47,597	47,597	47,597
National and Community Service	343	337	337	337	337
Temporary and Disability Assistance, Office of	138,915	150,211	160,867	160,867	160,867
<i>All Other</i>	138,915	150,211	160,867	160,867	160,867
Functional Total	<u>520,780</u>	<u>521,286</u>	<u>519,342</u>	<u>519,342</u>	<u>519,339</u>
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	73,361	70,853	72,123	70,776	70,767
<i>OASAS</i>	29,561	29,565	29,509	29,518	29,515
<i>OASAS - Other</i>	43,800	41,288	42,614	41,258	41,252
Justice Center	31,227	38,706	38,700	38,700	38,700
Mental Health, Office of	1,395,064	1,407,478	1,453,909	1,420,267	1,419,998
<i>OMH</i>	336,436	356,981	371,372	354,894	354,773
<i>OMH - Other</i>	1,058,628	1,050,497	1,082,537	1,065,373	1,065,225
Mental Hygiene, Department of	312	0	0	0	0
People with Developmental Disabilities, Office for	1,413,152	1,360,693	1,375,229	1,360,393	1,360,109
<i>OPWDD</i>	101	181	181	181	181
<i>OPWDD - Other</i>	1,413,051	1,360,512	1,375,048	1,360,212	1,359,928
Quality of Care and Advocacy for Persons With Disabilities, Commission on	1,697	0	0	0	0
Functional Total	<u>2,914,813</u>	<u>2,877,730</u>	<u>2,939,961</u>	<u>2,890,136</u>	<u>2,889,574</u>
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	2,101	2,651	2,651	2,651	2,651
Correctional Services, Department of	2,583,582	2,571,814	2,650,814	2,579,814	2,581,814
Criminal Justice Services, Division of	50,810	39,782	39,782	39,782	39,782
Disaster Assistance	535	(67,580)	0	0	0
Homeland Security and Emergency Services, Division of	39,430	40,346	40,347	40,348	40,348
Indigent Legal Services, Office of	1,093	1,539	1,539	1,539	1,539

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
STATE OPERATIONS
(thousands of dollars)**

	FY 2014 Results	FY 2015 Enacted	FY 2016 Projected	FY 2017 Projected	FY 2018 Projected
Judicial Conduct, Commission on	5,165	5,484	5,484	5,484	5,484
Judicial Nomination, Commission on	45	30	30	30	30
Judicial Screening Committees, New York State	50	38	38	38	38
Military and Naval Affairs, Division of	25,481	25,002	25,002	25,002	25,002
State Police, Division of	647,660	651,162	646,788	646,791	646,796
Statewide Financial System	52,390	29,700	29,706	29,711	29,717
Victim Services, Office of	3,924	4,136	4,140	4,140	4,140
Functional Total	3,412,266	3,304,104	3,446,321	3,375,330	3,377,341
HIGHER EDUCATION					
City University of New York	78,839	89,510	91,302	93,112	94,963
Higher Education - Miscellaneous	267	1,201	1,201	1,201	1,201
Higher Education Services Corporation, New York State	59,659	54,333	54,333	54,333	54,333
State University Construction Fund	0	0	0	0	0
State University of New York	5,698,161	5,731,319	5,849,176	5,984,227	6,089,976
Functional Total	5,836,926	5,876,363	5,996,012	6,132,873	6,240,473
EDUCATION					
Arts, Council on the	3,411	4,120	4,120	4,120	4,120
Education, Department of	135,590	130,104	128,904	128,891	128,891
<i>All Other</i>	135,590	130,104	128,904	128,891	128,891
Functional Total	139,001	134,224	133,024	133,011	133,011
GENERAL GOVERNMENT					
Budget, Division of the	28,540	29,409	29,321	29,323	29,324
Civil Service, Department of	13,131	12,808	12,808	12,808	12,808
Deferred Compensation Board	486	629	630	641	641
Elections, State Board of	5,055	8,404	8,404	8,404	8,404
Employee Relations, Office of	2,282	2,581	2,581	2,581	2,581
Gaming Commission, New York State	137,113	166,402	165,194	165,317	166,398
General Services, Office of	164,347	150,247	149,192	149,193	149,193
Inspector General, Office of the	6,434	6,917	6,917	6,917	6,917
Labor Management Committees	19,637	35,356	35,356	35,356	35,356
Lottery, Division of the	0	0	0	0	0
Prevention of Domestic Violence, Office for	1,358	1,596	1,596	1,596	1,596
Public Employment Relations Board	3,333	3,731	3,731	3,572	3,573
Public Integrity, Commission on	3,610	4,331	4,331	4,331	4,331
Racing and Wagering Board, State	0	0	0	0	0
State, Department of	41,774	44,459	43,595	43,557	42,818
Tax Appeals, Division of	2,818	3,174	3,174	3,174	3,174
Taxation and Finance, Department of	345,227	339,152	332,041	331,415	331,454
Technology, Office for	220,088	420,708	426,848	426,885	426,836
Veterans' Affairs, Division of	5,322	6,092	5,842	5,842	5,842
Welfare Inspector General, Office of	349	972	972	972	972
Workers' Compensation Board	147,933	141,706	141,771	141,791	141,811
Functional Total	1,148,837	1,378,674	1,374,304	1,373,675	1,374,029
ELECTED OFFICIALS					
Audit and Control, Department of	138,288	141,399	141,399	141,399	141,399
Executive Chamber	13,673	13,578	13,578	13,578	13,578
Judiciary	1,838,218	1,925,900	1,925,900	1,925,900	1,925,900
Law, Department of	161,621	168,335	168,335	168,340	168,345
Legislature	207,984	218,795	218,795	218,795	218,795
Lieutenant Governor, Office of the	427	614	614	614	614
Functional Total	2,360,211	2,468,621	2,468,621	2,468,626	2,468,631
ALL OTHER CATEGORIES					
Long-Term Debt Service	37,357	43,369	43,369	43,369	43,369
Miscellaneous	6,339	4,217	4,219	4,219	4,220
Functional Total	43,696	47,586	47,588	47,588	47,589
TOTAL STATE OPERATIONS SPENDING	17,864,326	18,198,500	18,610,519	18,605,247	18,684,182

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	<u>FY 2014 Results</u>	<u>FY 2015 Enacted</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>	<u>FY 2018 Projected</u>
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	27,512	28,394	28,394	28,394	28,394
Alcoholic Beverage Control, Division of	8,127	8,147	8,147	8,147	8,147
Economic Development, Department of	11,478	13,142	13,142	13,142	13,142
Empire State Development Corporation	500	500	500	500	500
Energy Research and Development Authority	5,181	4,154	4,154	4,154	4,154
Financial Services, Department of	139,639	146,557	146,557	146,557	146,557
Olympic Regional Development Authority	2,533	2,548	2,548	2,548	2,548
Public Service Department	40,390	42,106	42,025	42,025	42,106
Functional Total	<u>235,360</u>	<u>245,548</u>	<u>245,467</u>	<u>245,467</u>	<u>245,548</u>
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	3,843	3,957	3,957	3,957	3,957
Environmental Conservation, Department of	175,900	176,561	176,209	176,209	164,336
Parks, Recreation and Historic Preservation, Office of	137,514	132,224	132,224	132,224	132,224
Functional Total	<u>317,257</u>	<u>312,742</u>	<u>312,390</u>	<u>312,390</u>	<u>300,517</u>
TRANSPORTATION					
Motor Vehicles, Department of	49,989	43,545	43,545	43,545	43,545
Transportation, Department of	9,464	7,473	7,473	7,473	7,473
Functional Total	<u>59,453</u>	<u>51,018</u>	<u>51,018</u>	<u>51,018</u>	<u>51,018</u>
HEALTH					
Aging, Office for the	1,427	1,258	1,258	1,258	1,258
Health, Department of	254,039	264,196	287,916	304,902	305,102
<i>Medicaid Administration</i>	27,163	41,803	55,903	67,903	67,903
<i>Public Health</i>	226,876	222,393	232,013	236,999	237,199
Medicaid Inspector General, Office of the	16,470	17,470	17,470	17,470	17,470
Stem Cell and Innovation	463	472	472	472	472
Functional Total	<u>272,399</u>	<u>283,396</u>	<u>307,116</u>	<u>324,102</u>	<u>324,302</u>
SOCIAL WELFARE					
Children and Family Services, Office of	169,186	157,918	148,975	148,975	148,972
<i>OCFS</i>	169,186	157,918	148,975	148,975	148,972
Housing and Community Renewal, Division of	36,805	40,001	40,001	40,001	40,001
Human Rights, Division of	9,197	9,596	9,596	9,596	9,596
Labor, Department of	31,300	33,102	33,102	33,102	33,102
National and Community Service	338	328	328	328	328
Temporary and Disability Assistance, Office of	65,353	60,815	62,557	62,557	62,557
<i>All Other</i>	65,353	60,815	62,557	62,557	62,557
Functional Total	<u>312,179</u>	<u>301,760</u>	<u>294,559</u>	<u>294,559</u>	<u>294,556</u>
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	53,317	50,822	52,152	50,822	50,822
<i>OASAS</i>	21,681	21,195	21,195	21,195	21,195
<i>OASAS - Other</i>	31,636	29,627	30,957	29,627	29,627
Justice Center	11,978	21,816	21,816	21,816	21,816
Mental Health, Office of	1,084,481	1,094,178	1,147,785	1,109,155	1,109,155
<i>OMH</i>	270,579	290,410	307,763	290,410	290,410
<i>OMH - Other</i>	813,902	803,768	840,022	818,745	818,745
People with Developmental Disabilities, Office for	1,149,690	1,102,867	1,117,967	1,102,867	1,102,867
<i>OPWDD</i>	0	0	0	0	0
<i>OPWDD - Other</i>	1,149,690	1,102,867	1,117,967	1,102,867	1,102,867
Quality of Care and Advocacy for Persons With Disabilities, Commission on	1,508	0	0	0	0
Functional Total	<u>2,300,974</u>	<u>2,269,683</u>	<u>2,339,720</u>	<u>2,284,660</u>	<u>2,284,660</u>
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	1,841	2,414	2,414	2,414	2,414
Correctional Services, Department of	2,069,341	2,089,951	2,168,951	2,097,951	2,099,951
Criminal Justice Services, Division of	24,747	26,393	26,393	26,393	26,393
Disaster Assistance	(17,031)	(4,222)	0	0	0
Homeland Security and Emergency Services, Division of	15,450	15,325	15,325	15,325	15,325
Indigent Legal Services, Office of	885	1,004	1,004	1,004	1,004
Judicial Conduct, Commission on	3,904	4,081	4,081	4,081	4,081
Judicial Screening Committees, New York State	0	13	13	13	13

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	FY 2014 Results	FY 2015 Enacted	FY 2016 Projected	FY 2017 Projected	FY 2018 Projected
Military and Naval Affairs, Division of	16,785	16,564	16,564	16,564	16,564
State Police, Division of	557,338	559,062	559,062	559,062	559,062
Statewide Financial System	8,414	10,638	10,638	10,638	10,638
Victim Services, Office of	3,162	3,509	3,509	3,509	3,509
Functional Total	2,684,836	2,724,732	2,807,954	2,736,954	2,738,954
HIGHER EDUCATION					
City University of New York	38,368	41,301	42,363	43,425	44,509
Higher Education - Miscellaneous	179	198	198	198	198
Higher Education Services Corporation, New York State	24,887	17,631	17,631	17,631	17,631
State University Construction Fund	0	0	0	0	0
State University of New York	3,439,681	3,544,757	3,608,971	3,694,039	3,748,480
Functional Total	3,503,115	3,603,887	3,669,163	3,755,293	3,810,818
EDUCATION					
Arts, Council on the	2,128	2,298	2,298	2,298	2,298
Education, Department of	84,389	81,522	81,522	81,522	81,522
<i>All Other</i>	84,389	81,522	81,522	81,522	81,522
Functional Total	86,517	83,820	83,820	83,820	83,820
GENERAL GOVERNMENT					
Budget, Division of the	23,099	23,799	24,755	24,849	24,902
Civil Service, Department of	11,726	12,144	12,144	12,144	12,144
Deferred Compensation Board	399	410	410	410	410
Elections, State Board of	4,119	5,065	5,065	5,065	5,065
Employee Relations, Office of	2,219	2,510	2,510	2,510	2,510
Gaming Commission, New York State	31,461	33,075	35,438	35,504	36,548
General Services, Office of	56,410	62,726	62,726	62,726	62,726
Inspector General, Office of the	6,117	6,360	6,360	6,360	6,360
Labor Management Committees	6,327	5,446	5,446	5,446	5,446
Lottery, Division of the	0	0	0	0	0
Prevention of Domestic Violence, Office for	1,156	1,388	1,388	1,388	1,388
Public Employment Relations Board	2,991	3,495	3,495	3,336	3,336
Public Integrity, Commission on	2,878	3,420	3,420	3,420	3,420
Racing and Wagering Board, State	0	0	0	0	0
State, Department of	29,855	28,051	28,015	27,978	27,940
Tax Appeals, Division of	2,671	2,962	2,962	2,962	2,962
Taxation and Finance, Department of	275,415	275,359	275,359	275,359	275,359
Technology, Office for	134,370	276,101	276,128	276,131	276,101
Veterans' Affairs, Division of	4,964	5,550	5,550	5,550	5,550
Welfare Inspector General, Office of	219	514	514	514	514
Workers' Compensation Board	85,571	80,977	80,977	80,977	80,977
Functional Total	681,967	829,352	832,662	832,629	833,658
ELECTED OFFICIALS					
Audit and Control, Department of	106,972	109,735	109,735	109,735	109,735
Executive Chamber	10,467	10,811	11,135	11,469	11,813
Judiciary	1,455,114	1,483,400	1,483,400	1,483,400	1,483,400
Law, Department of	112,867	113,563	113,563	113,563	113,563
Legislature	158,325	166,331	166,331	166,331	166,331
Lieutenant Governor, Office of the	346	480	498	513	523
Functional Total	1,844,091	1,884,320	1,884,662	1,885,011	1,885,365
ALL OTHER CATEGORIES					
Miscellaneous	2,330	2,492	2,492	2,492	2,492
Functional Total	2,330	2,492	2,492	2,492	2,492
TOTAL PERSONAL SERVICE SPENDING	12,300,478	12,592,750	12,831,023	12,808,395	12,855,708

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	<u>FY 2014 Results</u>	<u>FY 2015 Enacted</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>	<u>FY 2018 Projected</u>
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	25,082	25,669	24,769	24,772	24,772
Alcoholic Beverage Control, Division of	5,210	4,861	4,863	4,865	4,867
Economic Development, Department of	7,328	8,454	8,454	8,254	7,454
Empire State Development Corporation	701	500	500	500	500
Energy Research and Development Authority	11,411	2,397	2,397	2,397	2,397
Financial Services, Department of	55,825	55,529	55,446	55,446	55,446
Olympic Regional Development Authority	1,601	613	613	613	613
Public Service Department	7,785	9,341	9,338	9,338	9,341
Functional Total	<u>114,943</u>	<u>107,364</u>	<u>106,380</u>	<u>106,185</u>	<u>105,390</u>
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	326	335	335	335	335
Environmental Conservation, Department of	59,519	57,307	59,028	58,928	48,881
Parks, Recreation and Historic Preservation, Office of	48,126	45,547	45,547	45,547	45,547
Functional Total	<u>107,971</u>	<u>103,189</u>	<u>104,910</u>	<u>104,810</u>	<u>94,763</u>
TRANSPORTATION					
Motor Vehicles, Department of	17,975	18,862	18,838	18,837	18,837
Thruway Authority, New York State	20,246	24,000	24,000	24,000	24,000
Transportation, Department of	13,303	13,713	13,700	13,700	13,700
Functional Total	<u>51,524</u>	<u>56,575</u>	<u>56,538</u>	<u>56,537</u>	<u>56,537</u>
HEALTH					
Aging, Office for the	181	182	182	182	182
Health, Department of	293,144	387,164	458,611	421,241	413,204
<i>Medical Assistance</i>	0	0	0	0	0
<i>Medicaid Administration</i>	114,147	188,536	161,063	161,083	161,083
<i>Public Health</i>	178,997	198,628	297,548	260,158	252,121
Medicaid Inspector General, Office of the	3,732	5,306	5,306	5,306	5,306
Stem Cell and Innovation	31,832	37,428	37,428	37,428	37,428
Functional Total	<u>328,889</u>	<u>430,080</u>	<u>501,527</u>	<u>464,157</u>	<u>456,120</u>
SOCIAL WELFARE					
Children and Family Services, Office of	107,322	106,069	102,612	102,612	102,612
<i>OCFS</i>	107,322	106,069	102,612	102,612	102,612
Housing and Community Renewal, Division of	11,366	8,821	8,621	8,621	8,621
Human Rights, Division of	2,194	736	736	736	736
Labor, Department of	14,152	14,495	14,495	14,495	14,495
National and Community Service	5	9	9	9	9
Temporary and Disability Assistance, Office of	73,562	89,396	98,310	98,310	98,310
<i>All Other</i>	73,562	89,396	98,310	98,310	98,310
Functional Total	<u>208,601</u>	<u>219,526</u>	<u>224,783</u>	<u>224,783</u>	<u>224,783</u>
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	20,044	20,031	19,971	19,954	19,945
<i>OASAS</i>	7,880	8,370	8,314	8,323	8,320
<i>OASAS - Other</i>	12,164	11,661	11,657	11,631	11,625
Justice Center	19,249	16,890	16,884	16,884	16,884
Mental Health, Office of	310,583	313,300	306,124	311,112	310,843
<i>OMH</i>	65,857	66,571	63,609	64,484	64,363
<i>OMH - Other</i>	244,726	246,729	242,515	246,628	246,480
Mental Hygiene, Department of	312	0	0	0	0
People with Developmental Disabilities, Office for	263,462	257,826	257,262	257,526	257,242
<i>OPWDD</i>	101	181	181	181	181
<i>OPWDD - Other</i>	263,361	257,645	257,081	257,345	257,061
Quality of Care and Advocacy for Persons With Disabilities, Commission on	189	0	0	0	0
Functional Total	<u>613,839</u>	<u>608,047</u>	<u>600,241</u>	<u>605,476</u>	<u>604,914</u>
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	260	237	237	237	237
Correctional Services, Department of	514,241	481,863	481,863	481,863	481,863
Criminal Justice Services, Division of	26,063	13,389	13,389	13,389	13,389
Disaster Assistance	17,566	(63,358)	0	0	0
Homeland Security and Emergency Services, Division of	23,980	25,021	25,022	25,023	25,023

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2014 Results	FY 2015 Enacted	FY 2016 Projected	FY 2017 Projected	FY 2018 Projected
Indigent Legal Services, Office of	208	535	535	535	535
Judicial Conduct, Commission on	1,261	1,403	1,403	1,403	1,403
Judicial Nomination, Commission on	45	30	30	30	30
Judicial Screening Committees, New York State	50	25	25	25	25
Military and Naval Affairs, Division of	8,696	8,438	8,438	8,438	8,438
State Police, Division of	90,322	92,100	87,726	87,729	87,734
Statewide Financial System	43,976	19,062	19,068	19,073	19,079
Victim Services, Office of	762	627	631	631	631
Functional Total	727,430	579,372	638,367	638,376	638,387
HIGHER EDUCATION					
City University of New York	40,471	48,209	48,939	49,687	50,454
Higher Education - Miscellaneous	88	1,003	1,003	1,003	1,003
Higher Education Services Corporation, New York State	34,772	36,702	36,702	36,702	36,702
State University Construction Fund	0	0	0	0	0
State University of New York	2,258,480	2,186,562	2,240,205	2,290,188	2,341,496
Functional Total	2,333,811	2,272,476	2,326,849	2,377,580	2,429,655
EDUCATION					
Arts, Council on the	1,283	1,822	1,822	1,822	1,822
Education, Department of	51,201	48,582	47,382	47,369	47,369
<i>All Other</i>	51,201	48,582	47,382	47,369	47,369
Functional Total	52,484	50,404	49,204	49,191	49,191
GENERAL GOVERNMENT					
Budget, Division of the	5,441	5,610	4,566	4,474	4,422
Civil Service, Department of	1,405	664	664	664	664
Deferred Compensation Board	87	219	220	231	231
Elections, State Board of	936	3,339	3,339	3,339	3,339
Employee Relations, Office of	63	71	71	71	71
Gaming Commission, New York State	105,652	133,327	129,756	129,813	129,850
General Services, Office of	107,937	87,521	86,466	86,467	86,467
Inspector General, Office of the	317	557	557	557	557
Labor Management Committees	13,310	29,910	29,910	29,910	29,910
Lottery, Division of the	0	0	0	0	0
Prevention of Domestic Violence, Office for	202	208	208	208	208
Public Employment Relations Board	342	236	236	236	237
Public Integrity, Commission on	732	911	911	911	911
Racing and Wagering Board, State	0	0	0	0	0
State, Department of	11,919	16,408	15,580	15,579	14,878
Tax Appeals, Division of	147	212	212	212	212
Taxation and Finance, Department of	69,812	63,793	56,682	56,056	56,095
Technology, Office for	85,718	144,607	150,720	150,754	150,735
Veterans' Affairs, Division of	358	542	292	292	292
Welfare Inspector General, Office of	130	458	458	458	458
Workers' Compensation Board	62,362	60,729	60,794	60,814	60,834
Functional Total	466,870	549,322	541,642	541,046	540,371
ELECTED OFFICIALS					
Audit and Control, Department of	31,316	31,664	31,664	31,664	31,664
Executive Chamber	3,206	2,767	2,443	2,109	1,765
Judiciary	383,104	442,500	442,500	442,500	442,500
Law, Department of	48,754	54,772	54,772	54,777	54,782
Legislature	49,659	52,464	52,464	52,464	52,464
Lieutenant Governor, Office of the	81	134	116	101	91
Functional Total	516,120	584,301	583,959	583,615	583,266
ALL OTHER CATEGORIES					
Long-Term Debt Service	37,357	43,369	43,369	43,369	43,369
Miscellaneous	4,009	1,725	1,727	1,727	1,728
Functional Total	41,366	45,094	45,096	45,096	45,097
TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING	5,563,848	5,605,750	5,779,496	5,796,852	5,828,474

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	<u>FY 2014 Results</u>	<u>FY 2015 Enacted</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>	<u>FY 2018 Projected</u>
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	1,283	1,633	1,673	1,749	1,798
Alcoholic Beverage Control, Division of	4,649	4,529	4,643	4,798	4,973
Economic Development, Department of	0	28	28	28	28
Energy Research and Development Authority	2,454	2,168	2,240	2,240	2,240
Financial Services, Department of	78,052	83,127	84,505	86,556	88,946
Public Service Department	20,148	23,308	24,228	24,810	25,536
Functional Total	<u>106,586</u>	<u>114,793</u>	<u>117,317</u>	<u>120,181</u>	<u>123,521</u>
PARKS AND THE ENVIRONMENT					
Environmental Conservation, Department of	43,991	43,942	44,604	45,759	39,675
Parks, Recreation and Historic Preservation, Office of	2,803	2,895	2,895	2,895	2,895
Functional Total	<u>46,794</u>	<u>46,837</u>	<u>47,499</u>	<u>48,654</u>	<u>42,570</u>
TRANSPORTATION					
Motor Vehicles, Department of	26,901	24,535	25,152	25,838	26,635
Transportation, Department of	5,733	4,267	4,311	4,416	4,538
Functional Total	<u>32,634</u>	<u>28,802</u>	<u>29,463</u>	<u>30,254</u>	<u>31,173</u>
HEALTH					
Health, Department of	35,901	28,416	34,581	35,188	35,288
<i>Public Health</i>	35,901	28,416	34,581	35,188	35,288
Stem Cell and Innovation	276	0	0	0	0
Functional Total	<u>36,177</u>	<u>28,416</u>	<u>34,581</u>	<u>35,188</u>	<u>35,288</u>
SOCIAL WELFARE					
Children and Family Services, Office of	1,041	2,658	2,658	2,658	2,658
<i>OCFS</i>	1,041	2,658	2,658	2,658	2,658
Housing and Community Renewal, Division of	15,675	16,678	16,678	16,678	16,678
Labor, Department of	17,508	18,726	18,726	18,726	18,726
Functional Total	<u>34,224</u>	<u>38,062</u>	<u>38,062</u>	<u>38,062</u>	<u>38,062</u>
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	32,568	30,494	30,758	31,070	31,689
<i>OASAS</i>	15,166	13,060	12,603	13,030	13,290
<i>OASAS - Other</i>	17,402	17,434	18,155	18,040	18,399
Justice Center	443	1,669	1,697	1,734	1,780
Mental Health, Office of	585,395	618,115	638,275	646,689	658,402
<i>OMH</i>	191,169	160,547	172,587	172,941	175,004
<i>OMH - Other</i>	394,226	457,568	465,688	473,748	483,398
People with Developmental Disabilities, Office for	624,001	626,211	647,669	668,282	680,927
<i>OPWDD</i>	0	0	0	0	0
<i>OPWDD - Other</i>	624,001	626,211	647,669	668,282	680,927
Quality of Care and Advocacy for Persons With Disabilities, Commission on	(30)	0	0	0	0
Functional Total	<u>1,242,377</u>	<u>1,276,489</u>	<u>1,318,399</u>	<u>1,347,775</u>	<u>1,372,798</u>
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correctional Services, Department of	40	124	127	132	136
Criminal Justice Services, Division of	70	80	85	88	88
Homeland Security and Emergency Services, Division of	636	1,003	1,045	1,078	1,112
Indigent Legal Services, Office of	489	378	378	378	378
Military and Naval Affairs, Division of	364	80	80	80	80
State Police, Division of	3,476	3,557	3,826	4,009	4,213
Victim Services, Office of	1,363	2,028	2,191	2,190	2,190
Functional Total	<u>6,438</u>	<u>7,250</u>	<u>7,732</u>	<u>7,955</u>	<u>8,197</u>
HIGHER EDUCATION					
City University of New York	6,072	6,000	6,000	6,000	6,000
Higher Education - Miscellaneous	99	99	99	99	99
Higher Education Services Corporation, New York State	10,491	10,011	10,248	10,601	11,013
State University Construction Fund	0	0	0	0	0
State University of New York	577,793	609,198	607,345	613,267	619,291
Functional Total	<u>594,455</u>	<u>625,308</u>	<u>623,692</u>	<u>629,967</u>	<u>636,403</u>

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	<u>FY 2014 Results</u>	<u>FY 2015 Enacted</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>	<u>FY 2018 Projected</u>
EDUCATION					
Education, Department of	30,829	32,209	32,662	33,457	34,379
<i>All Other</i>	30,829	32,209	32,662	33,457	34,379
Functional Total	<u>30,829</u>	<u>32,209</u>	<u>32,662</u>	<u>33,457</u>	<u>34,379</u>
GENERAL GOVERNMENT					
Budget, Division of the	2,220	1,496	1,443	1,557	1,657
Civil Service, Department of	144	172	176	176	176
Deferred Compensation Board	203	225	225	225	225
Gaming Commission, New York State	14,433	18,707	19,760	19,846	20,463
General Services, Office of	2,205	2,257	2,360	2,443	2,461
Lottery, Division of the	0	0	0	0	0
Racing and Wagering Board, State	0	0	0	0	0
State, Department of	7,502	9,103	9,212	9,414	9,651
Taxation and Finance, Department of	22,115	23,239	24,168	24,832	24,832
Welfare Inspector General, Office of	6	0	0	0	0
Workers' Compensation Board	45,500	45,917	48,228	49,948	51,902
Functional Total	<u>94,328</u>	<u>101,116</u>	<u>105,572</u>	<u>108,441</u>	<u>111,367</u>
ELECTED OFFICIALS					
Audit and Control, Department of	1,676	1,663	1,740	1,795	1,859
Judiciary	650,541	669,103	679,903	690,753	701,553
Law, Department of	15,906	14,879	14,879	14,879	14,879
Functional Total	<u>668,123</u>	<u>685,645</u>	<u>696,522</u>	<u>707,427</u>	<u>718,291</u>
ALL OTHER CATEGORIES					
General State Charges	4,045,490	4,215,492	4,455,530	4,593,122	4,696,130
Miscellaneous	19,140	5,896	5,928	5,928	5,931
Functional Total	<u>4,064,630</u>	<u>4,221,388</u>	<u>4,461,458</u>	<u>4,599,050</u>	<u>4,702,061</u>
TOTAL GENERAL STATE CHARGES SPENDING	<u>6,957,595</u>	<u>7,206,315</u>	<u>7,512,959</u>	<u>7,706,411</u>	<u>7,854,110</u>

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
CAPITAL PROJECTS
(thousands of dollars)**

	<u>FY 2014 Results</u>	<u>FY 2015 Enacted</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>	<u>FY 2018 Projected</u>
PARKS AND THE ENVIRONMENT					
Parks, Recreation and Historic Preservation, Office of	6,929	0	1,000	2,500	2,500
Functional Total	<u>6,929</u>	<u>0</u>	<u>1,000</u>	<u>2,500</u>	<u>2,500</u>
TRANSPORTATION					
Transportation, Department of	(3,581)	0	0	0	0
Functional Total	<u>(3,581)</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
MENTAL HYGIENE					
People with Developmental Disabilities, Office for <i>OPWDD - Other</i>	0	0	0	0	0
Functional Total	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
GENERAL GOVERNMENT					
State, Department of	0	(15)	(15)	(15)	(15)
Functional Total	<u>0</u>	<u>(15)</u>	<u>(15)</u>	<u>(15)</u>	<u>(15)</u>
TOTAL CAPITAL PROJECTS SPENDING	<u>3,348</u>	<u>(15)</u>	<u>985</u>	<u>2,485</u>	<u>2,485</u>

**CASH DISBURSEMENTS BY FUNCTION
CAPITAL PROJECTS FUNDS
(thousands of dollars)**

	FY 2014 Results	FY 2015 Enacted	FY 2016 Projected	FY 2017 Projected	FY 2018 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	5,681	9,899	4,388	4,001	4,000
Economic Development Capital	11,358	14,000	14,000	23,000	23,000
Economic Development, Department of	12,102	19,859	16,667	5,000	0
Empire State Development Corporation	462,150	631,718	835,649	761,865	728,253
Energy Research and Development Authority	5,946	20,100	25,000	18,000	13,000
Olympic Regional Development Authority	0	6,900	0	0	0
Regional Economic Development Program	172	1,500	1,500	1,500	1,500
Strategic Investment Program	1,899	5,000	5,000	5,000	5,000
Functional Total	499,308	708,976	902,204	818,366	774,753
PARKS AND THE ENVIRONMENT					
Environmental Conservation, Department of	673,750	561,460	533,603	511,385	441,738
Hudson River Park Trust	10,008	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	132,176	99,827	113,400	118,650	123,150
Functional Total	815,934	661,287	647,003	630,035	564,888
TRANSPORTATION					
Metropolitan Transportation Authority	62,519	183,229	512,171	310,000	0
Motor Vehicles, Department of	194,828	195,646	190,697	191,942	193,390
Thruway Authority, New York State	2,251	1,800	1,800	1,800	1,800
Transportation, Department of	3,771,536	4,129,046	3,700,273	3,672,569	3,794,876
Functional Total	4,031,134	4,509,721	4,404,941	4,176,311	3,990,066
HEALTH					
Health, Department of	439,648	340,500	352,500	348,500	283,500
<i>Public Health</i>	439,648	340,500	352,500	348,500	283,500
Functional Total	439,648	340,500	352,500	348,500	283,500
SOCIAL WELFARE					
Children and Family Services, Office of	22,311	20,914	20,931	20,931	20,931
<i>OCFS</i>	22,311	20,914	20,931	20,931	20,931
Housing and Community Renewal, Division of	84,639	85,229	98,731	102,227	108,227
Temporary and Disability Assistance, Office of	32,000	28,000	40,500	63,000	57,000
<i>All Other</i>	32,000	28,000	40,500	63,000	57,000
Functional Total	138,950	134,143	160,162	186,158	186,158
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	35,072	49,911	58,523	64,523	70,523
<i>OASAS</i>	35,072	49,911	58,523	64,523	70,523
Mental Health, Office of	144,408	138,263	141,366	143,206	146,206
<i>OMH</i>	144,408	138,263	141,366	143,206	146,206
People with Developmental Disabilities, Office for	39,486	43,099	43,099	43,099	43,099
<i>OPWDD</i>	39,486	43,099	43,099	43,099	43,099
Functional Total	218,966	231,273	242,988	250,828	259,828
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correctional Services, Department of	231,303	233,010	235,160	239,064	241,064
Disaster Assistance	0	0	0	0	0
Homeland Security and Emergency Services, Division of	19,296	91,569	74,900	8,000	0
Military and Naval Affairs, Division of	19,469	51,869	41,607	35,607	20,000
State Police, Division of	11,897	34,583	25,800	20,000	11,065
Functional Total	281,965	411,031	377,467	302,671	272,129
HIGHER EDUCATION					
City University of New York	37,583	40,000	35,000	35,000	35,400
Higher Education Facilities Capital Matching Grants Program	8,353	7,000	10,000	10,000	10,000
State University of New York	1,068,808	1,097,246	958,336	899,894	838,910
Functional Total	1,114,744	1,144,246	1,003,336	944,894	884,310
EDUCATION					
Education, Department of	21,500	30,052	1,058,486	390,896	387,400
<i>School Aid</i>	0	0	1,000,000	350,000	350,000
<i>All Other</i>	21,500	30,052	58,486	40,896	37,400

**CASH DISBURSEMENTS BY FUNCTION
CAPITAL PROJECTS FUNDS
(thousands of dollars)**

	<u>FY 2014 Results</u>	<u>FY 2015 Enacted</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>	<u>FY 2018 Projected</u>
Functional Total	21,500	30,052	1,058,486	390,896	387,400
GENERAL GOVERNMENT					
General Services, Office of	76,599	115,383	97,883	69,883	69,883
State, Department of	0	1,377	656	10,000	0
Technology, Office for	18,379	41,247	77,510	24,135	29,269
Functional Total	<u>94,978</u>	<u>158,007</u>	<u>176,049</u>	<u>104,018</u>	<u>99,152</u>
ELECTED OFFICIALS					
Judiciary	0	0	0	0	0
Law, Department of	0	1,000	2,000	3,000	2,000
Functional Total	<u>0</u>	<u>1,000</u>	<u>2,000</u>	<u>3,000</u>	<u>2,000</u>
ALL OTHER CATEGORIES					
Miscellaneous	94,007	136,000	423,000	434,000	432,000
Functional Total	<u>94,007</u>	<u>136,000</u>	<u>423,000</u>	<u>434,000</u>	<u>432,000</u>
TOTAL CAPITAL PROJECTS FUNDS SPENDING	<u><u>7,751,134</u></u>	<u><u>8,466,236</u></u>	<u><u>9,750,136</u></u>	<u><u>8,589,677</u></u>	<u><u>8,136,184</u></u>

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2014 Results	FY 2015 Enacted	FY 2016 Projected	FY 2017 Projected	FY 2018 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	93,470	108,556	98,667	98,631	98,926
Local Assistance Grants	21,416	29,754	25,955	25,955	25,955
State Operations	63,328	66,077	65,344	65,565	65,751
Personal Service	30,432	31,352	31,337	31,367	31,367
Non-Personal Service/Indirect Costs	32,896	34,725	34,007	34,198	34,384
General State Charges	3,045	2,826	2,980	3,110	3,220
Capital Projects	5,681	9,899	4,388	4,001	4,000
Alcoholic Beverage Control, Division of	17,986	17,537	17,653	17,810	17,987
State Operations	13,337	13,008	13,010	13,012	13,014
Personal Service	8,127	8,147	8,147	8,147	8,147
Non-Personal Service/Indirect Costs	5,210	4,861	4,863	4,865	4,867
General State Charges	4,649	4,529	4,643	4,798	4,973
Economic Development Capital	11,358	14,000	14,000	23,000	23,000
Local Assistance Grants	11,313	0	0	0	0
Capital Projects	45	14,000	14,000	23,000	23,000
Economic Development, Department of	88,537	118,310	118,470	97,603	91,803
Local Assistance Grants	69,385	76,582	79,934	70,934	70,934
State Operations	19,043	21,841	21,841	21,641	20,841
Personal Service	11,478	13,142	13,142	13,142	13,142
Non-Personal Service/Indirect Costs	7,565	8,699	8,699	8,499	7,699
General State Charges	0	28	28	28	28
Capital Projects	109	19,859	16,667	5,000	0
Empire State Development Corporation	553,490	721,180	948,473	898,961	865,349
Local Assistance Grants	517,702	586,437	448,484	233,730	304,820
State Operations	1,201	1,000	1,000	1,000	1,000
Personal Service	500	500	500	500	500
Non-Personal Service/Indirect Costs	701	500	500	500	500
Capital Projects	34,587	133,743	498,989	664,231	559,529
Energy Research and Development Authority	34,463	36,258	41,230	34,230	29,230
Local Assistance Grants	9,471	7,439	7,439	7,439	7,439
State Operations	16,592	6,551	6,551	6,551	6,551
Personal Service	5,181	4,154	4,154	4,154	4,154
Non-Personal Service/Indirect Costs	11,411	2,397	2,397	2,397	2,397
General State Charges	2,454	2,168	2,240	2,240	2,240
Capital Projects	5,946	20,100	25,000	18,000	13,000
Financial Services, Department of	504,094	502,165	502,460	504,511	506,901
Local Assistance Grants	228,507	216,952	215,952	215,952	215,952
State Operations	197,335	202,086	202,003	202,003	202,003
Personal Service	140,574	146,557	146,557	146,557	146,557
Non-Personal Service/Indirect Costs	56,761	55,529	55,446	55,446	55,446
General State Charges	78,252	83,127	84,505	86,556	88,946
Olympic Regional Development Authority	4,134	10,061	3,161	3,161	3,161
State Operations	4,134	3,161	3,161	3,161	3,161
Personal Service	2,533	2,548	2,548	2,548	2,548
Non-Personal Service/Indirect Costs	1,601	613	613	613	613
Capital Projects	0	6,900	0	0	0
Public Service Department	70,481	77,267	77,731	78,329	79,159
Local Assistance Grants	0	200	200	200	200
State Operations	49,556	52,897	52,619	52,619	52,703
Personal Service	41,500	43,499	43,227	43,227	43,308
Non-Personal Service/Indirect Costs	8,056	9,398	9,392	9,392	9,395
General State Charges	20,925	24,170	24,912	25,510	26,256
Regional Economic Development Program	172	1,500	1,500	1,500	1,500
Local Assistance Grants	172	0	0	0	0
Capital Projects	0	1,500	1,500	1,500	1,500
Strategic Investment Program	1,899	5,000	5,000	5,000	5,000
Local Assistance Grants	1,899	0	0	0	0
Capital Projects	0	5,000	5,000	5,000	5,000
Functional Total	1,380,084	1,611,834	1,828,345	1,762,736	1,722,016

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	<u>FY 2014 Results</u>	<u>FY 2015 Enacted</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>	<u>FY 2018 Projected</u>
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	4,294	4,642	4,642	4,642	4,642
State Operations	4,294	4,642	4,642	4,642	4,642
Personal Service	3,843	3,957	3,957	3,957	3,957
Non-Personal Service/Indirect Costs	451	685	685	685	685
Environmental Conservation, Department of	1,016,286	905,633	872,281	851,110	753,709
Local Assistance Grants	447,520	157,542	149,292	146,874	139,542
State Operations	279,242	277,297	279,111	279,006	257,086
Personal Service	202,238	203,234	203,327	203,322	191,449
Non-Personal Service/Indirect Costs	77,004	74,063	75,784	75,684	65,637
General State Charges	59,445	54,334	55,275	56,427	50,343
Capital Projects	230,079	416,460	388,603	368,803	306,738
Hudson River Park Trust	10,008	0	0	0	0
Capital Projects	10,008	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	341,389	292,436	306,609	313,359	318,034
Local Assistance Grants	9,303	9,120	8,695	8,695	8,870
State Operations	190,282	179,973	179,989	179,989	179,989
Personal Service	139,328	133,316	133,332	133,332	133,332
Non-Personal Service/Indirect Costs	50,954	46,657	46,657	46,657	46,657
General State Charges	2,912	3,516	3,525	3,525	3,525
Capital Projects	138,892	99,827	114,400	121,150	125,650
Functional Total	1,371,977	1,202,711	1,183,532	1,169,111	1,076,385
TRANSPORTATION					
Metropolitan Transportation Authority	62,519	183,229	512,171	310,000	0
Local Assistance Grants	62,519	183,229	512,171	310,000	0
Capital Projects	0	0	0	0	0
Motor Vehicles, Department of	305,726	309,619	305,733	307,886	310,192
Local Assistance Grants	11,954	18,000	18,000	18,000	18,000
State Operations	71,662	69,566	69,795	69,930	69,930
Personal Service	51,102	47,045	47,217	47,280	47,280
Non-Personal Service/Indirect Costs	20,560	22,521	22,578	22,650	22,650
General State Charges	27,282	26,407	27,241	28,014	28,872
Capital Projects	194,828	195,646	190,697	191,942	193,390
Thruway Authority, New York State	22,497	25,800	25,800	25,800	25,800
Local Assistance Grants	2,251	0	0	0	0
State Operations	20,246	24,000	24,000	24,000	24,000
Non-Personal Service/Indirect Costs	20,246	24,000	24,000	24,000	24,000
Capital Projects	0	1,800	1,800	1,800	1,800
Transportation, Department of	8,558,663	9,016,012	8,636,413	8,679,759	8,879,636
Local Assistance Grants	5,418,741	5,984,198	5,957,803	6,003,440	6,085,283
State Operations	28,734	29,125	29,305	29,305	29,305
Personal Service	13,507	12,737	12,860	12,860	12,860
Non-Personal Service/Indirect Costs	15,227	16,388	16,445	16,445	16,445
General State Charges	7,791	7,237	7,480	7,585	7,707
Capital Projects	3,103,397	2,995,452	2,641,825	2,639,429	2,757,341
Functional Total	8,949,405	9,534,660	9,480,117	9,323,445	9,215,628
HEALTH					
Aging, Office for the	217,583	222,997	229,068	232,568	235,833
Local Assistance Grants	209,486	213,904	220,093	223,558	226,823
State Operations	8,097	9,071	8,953	8,988	8,988
Personal Service	6,952	7,871	7,746	7,777	7,777
Non-Personal Service/Indirect Costs	1,145	1,200	1,207	1,211	1,211
General State Charges	0	22	22	22	22
Health, Department of	46,197,507	48,857,060	51,094,861	54,273,174	56,895,442
Medical Assistance	39,971,486	43,278,430	45,616,539	48,769,120	51,379,605
Local Assistance Grants	39,971,486	43,278,430	45,616,539	48,769,120	51,379,605
State Operations	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2014 Results	FY 2015 Enacted	FY 2016 Projected	FY 2017 Projected	FY 2018 Projected
Non-Personal Service/Indirect Costs	0	0	0	0	0
Medicaid Administration	1,259,009	1,341,147	1,192,147	1,192,147	1,192,147
Local Assistance Grants	905,884	958,008	822,381	810,361	810,361
State Operations	353,125	383,139	369,766	381,786	381,786
Personal Service	48,119	63,803	77,903	89,903	89,903
Non-Personal Service/Indirect Costs	305,006	319,336	291,863	291,883	291,883
Public Health	4,967,012	4,237,483	4,286,175	4,311,907	4,323,690
Local Assistance Grants	4,209,550	3,428,409	3,487,130	3,536,654	3,621,174
State Operations	675,792	681,188	656,520	635,586	627,749
Personal Service	276,863	301,955	320,035	336,489	336,689
Non-Personal Service/Indirect Costs	398,929	379,233	336,485	299,097	291,060
General State Charges	66,433	57,386	60,025	61,167	61,267
Capital Projects	15,237	70,500	82,500	78,500	13,500
Medicaid Inspector General, Office of the	53,441	56,718	56,718	56,718	56,718
State Operations	43,360	47,343	47,343	47,343	47,343
Personal Service	33,803	35,194	35,194	35,194	35,194
Non-Personal Service/Indirect Costs	9,557	12,149	12,149	12,149	12,149
General State Charges	10,081	9,375	9,375	9,375	9,375
Stem Cell and Innovation	32,571	37,900	37,900	37,900	37,900
State Operations	32,295	37,900	37,900	37,900	37,900
Personal Service	463	472	472	472	472
Non-Personal Service/Indirect Costs	31,832	37,428	37,428	37,428	37,428
General State Charges	276	0	0	0	0
Functional Total	46,501,102	49,174,675	51,418,547	54,600,360	57,225,893
SOCIAL WELFARE					
Children and Family Services, Office of	2,856,400	2,902,712	3,099,404	3,144,066	3,204,074
OCFS	2,768,218	2,814,376	3,007,601	3,048,586	3,104,695
Local Assistance Grants	2,372,124	2,422,993	2,627,610	2,668,595	2,720,625
State Operations	358,320	355,276	343,495	343,495	347,381
Personal Service	194,896	186,294	177,959	177,959	178,539
Non-Personal Service/Indirect Costs	163,424	168,982	165,536	165,536	168,842
General State Charges	15,463	15,193	15,565	15,565	15,758
Capital Projects	22,311	20,914	20,931	20,931	20,931
OCFS - Other	88,182	88,336	91,803	95,480	99,379
Local Assistance Grants	88,182	88,336	91,803	95,480	99,379
Housing and Community Renewal, Division of	237,170	238,645	272,257	288,440	295,183
Local Assistance Grants	156,231	156,616	190,148	206,197	212,747
State Operations	57,862	58,212	58,343	58,481	58,626
Personal Service	44,087	47,034	47,326	47,408	47,491
Non-Personal Service/Indirect Costs	13,775	11,178	11,017	11,073	11,135
General State Charges	20,213	20,815	20,762	20,762	20,810
Capital Projects	2,864	3,002	3,004	3,000	3,000
Human Rights, Division of	15,592	14,284	14,414	14,414	14,477
State Operations	15,592	14,284	14,414	14,414	14,477
Personal Service	11,869	12,350	12,448	12,448	12,479
Non-Personal Service/Indirect Costs	3,723	1,934	1,966	1,966	1,998
Labor, Department of	666,793	651,346	649,985	653,619	659,785
Local Assistance Grants	186,364	172,863	158,267	158,267	158,475
State Operations	340,020	343,588	348,464	348,315	352,945
Personal Service	247,296	241,405	246,201	246,054	248,270
Non-Personal Service/Indirect Costs	92,724	102,183	102,263	102,261	104,675
General State Charges	140,409	134,895	143,254	147,037	148,365
National and Community Service	19,619	14,909	14,909	14,909	16,029
Local Assistance Grants	420	350	350	350	350
State Operations	19,199	14,559	14,559	14,559	15,679
Personal Service	634	690	690	690	701
Non-Personal Service/Indirect Costs	18,565	13,869	13,869	13,869	14,978
Temporary and Disability Assistance, Office of	5,671,389	5,033,149	5,093,948	5,130,318	5,137,807
Welfare Assistance	4,414,284	3,759,039	3,779,399	3,789,399	3,798,899

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2014 Results	FY 2015 Enacted	FY 2016 Projected	FY 2017 Projected	FY 2018 Projected
Local Assistance Grants	4,414,284	3,759,039	3,779,399	3,789,399	3,798,899
All Other	1,257,105	1,274,110	1,314,549	1,340,919	1,338,908
Local Assistance Grants	947,766	942,796	961,696	985,596	980,996
State Operations	268,426	290,921	307,880	310,350	312,939
Personal Service	134,879	136,209	142,576	143,377	144,186
Non-Personal Service/Indirect Costs	133,547	154,712	165,304	166,973	168,753
General State Charges	40,913	40,393	44,973	44,973	44,973
Functional Total	9,466,963	8,855,045	9,144,917	9,245,766	9,327,355
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	559,795	582,093	596,077	613,357	632,389
OASAS	477,268	502,046	513,983	532,734	551,413
Local Assistance Grants	424,900	438,371	449,946	468,130	486,435
State Operations	37,346	35,505	35,488	35,498	35,499
Personal Service	27,084	25,619	25,619	25,619	25,621
Non-Personal Service/Indirect Costs	10,262	9,886	9,869	9,879	9,878
General State Charges	15,166	15,087	15,466	16,023	16,396
Capital Projects	(144)	13,083	13,083	13,083	13,083
OASAS - Other	82,527	80,047	82,094	80,623	80,976
Local Assistance Grants	21,325	21,325	21,325	21,325	21,325
State Operations	43,800	41,288	42,614	41,258	41,252
Personal Service	31,636	29,627	30,957	29,627	29,627
Non-Personal Service/Indirect Costs	12,164	11,661	11,657	11,631	11,625
General State Charges	17,402	17,434	18,155	18,040	18,399
Developmental Disabilities Planning Council	3,148	4,200	4,200	4,200	4,200
State Operations	2,720	3,542	3,487	3,470	3,441
Personal Service	1,045	1,230	1,253	1,253	1,266
Non-Personal Service/Indirect Costs	1,675	2,312	2,234	2,217	2,175
General State Charges	428	658	713	730	759
Justice Center	32,264	41,649	41,687	41,739	41,803
Local Assistance Grants	347	620	620	620	620
State Operations	31,453	39,303	39,311	39,325	39,340
Personal Service	12,005	21,916	21,917	21,918	21,919
Non-Personal Service/Indirect Costs	19,448	17,387	17,394	17,407	17,421
General State Charges	464	1,726	1,756	1,794	1,843
Mental Health, Office of	3,259,553	3,340,177	3,471,582	3,522,119	3,654,366
OMH	1,454,842	1,493,857	1,572,424	1,610,560	1,690,447
Local Assistance Grants	800,769	890,099	942,113	996,524	1,074,460
State Operations	338,145	357,718	372,109	355,631	355,510
Personal Service	271,437	290,994	308,347	290,994	290,994
Non-Personal Service/Indirect Costs	66,708	66,724	63,762	64,637	64,516
General State Charges	191,570	160,860	172,919	173,282	175,354
Capital Projects	124,358	85,180	85,283	85,123	85,123
OMH - Other	1,804,711	1,846,320	1,899,158	1,911,559	1,963,919
Local Assistance Grants	351,857	338,255	350,933	372,438	415,296
State Operations	1,058,628	1,050,497	1,082,537	1,065,373	1,065,225
Personal Service	813,902	803,768	840,022	818,745	818,745
Non-Personal Service/Indirect Costs	244,726	246,729	242,515	246,628	246,480
General State Charges	394,226	457,568	465,688	473,748	483,398
Mental Hygiene, Department of	312	0	0	0	0
State Operations	312	0	0	0	0
Non-Personal Service/Indirect Costs	312	0	0	0	0
People with Developmental Disabilities, Office for	3,450,583	3,502,468	3,608,313	3,544,490	3,905,046
OPWDD	496,778	438,525	371,687	360,161	368,353
Local Assistance Grants	456,569	398,124	331,286	319,760	327,952
State Operations	1,073	1,181	1,181	1,181	1,181
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Costs	1,073	1,181	1,181	1,181	1,181
General State Charges	0	0	0	0	0
Capital Projects	39,136	39,220	39,220	39,220	39,220

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2014 Results	FY 2015 Enacted	FY 2016 Projected	FY 2017 Projected	FY 2018 Projected
OPWDD - Other	2,953,805	3,063,943	3,236,626	3,184,329	3,536,693
Local Assistance Grants	916,753	1,077,220	1,213,909	1,155,835	1,495,838
State Operations	1,413,051	1,360,512	1,375,048	1,360,212	1,359,928
Personal Service	1,149,690	1,102,867	1,117,967	1,102,867	1,102,867
Non-Personal Service/Indirect Costs	263,361	257,645	257,081	257,345	257,061
General State Charges	624,001	626,211	647,669	668,282	680,927
Capital Projects	0	0	0	0	0
Quality of Care and Advocacy for Persons With Disabilities, Commission on	4,333	0	0	0	0
Local Assistance Grants	286	0	0	0	0
State Operations	4,012	0	0	0	0
Personal Service	1,622	0	0	0	0
Non-Personal Service/Indirect Costs	2,390	0	0	0	0
General State Charges	35	0	0	0	0
Functional Total	7,309,988	7,470,587	7,721,859	7,725,905	8,237,804
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	2,101	2,651	2,651	2,651	2,651
State Operations	2,101	2,651	2,651	2,651	2,651
Personal Service	1,841	2,414	2,414	2,414	2,414
Non-Personal Service/Indirect Costs	260	237	237	237	237
Correctional Services, Department of	2,838,809	2,829,590	2,910,412	2,843,303	2,847,307
Local Assistance Grants	5,253	6,022	6,022	6,022	6,022
State Operations	2,601,294	2,589,089	2,667,758	2,596,758	2,598,758
Personal Service	2,085,858	2,106,035	2,184,704	2,113,704	2,115,704
Non-Personal Service/Indirect Costs	515,436	483,054	483,054	483,054	483,054
General State Charges	959	1,469	1,472	1,459	1,463
Capital Projects	231,303	233,010	235,160	239,064	241,064
Corrections and Community Supervision Medicaid, Department of	0	11,500	12,500	12,500	12,500
Local Assistance Grants	0	11,500	12,500	12,500	12,500
Criminal Justice Services, Division of	227,237	255,239	228,064	228,365	228,365
Local Assistance Grants	164,599	201,743	172,784	172,784	172,784
State Operations	62,107	51,794	51,960	52,133	52,133
Personal Service	29,152	31,790	31,953	31,995	31,995
Non-Personal Service/Indirect Costs	32,955	20,004	20,007	20,138	20,138
General State Charges	531	1,702	3,320	3,448	3,448
Disaster Assistance	33,106	(77,580)	0	0	0
Local Assistance Grants	32,571	(10,000)	0	0	0
State Operations	535	(67,580)	0	0	0
Personal Service	(17,031)	(4,222)	0	0	0
Non-Personal Service/Indirect Costs	17,566	(63,358)	0	0	0
Capital Projects	0	0	0	0	0
Homeland Security and Emergency Services, Division of	1,983,938	2,153,826	2,409,852	1,805,938	1,313,325
Local Assistance Grants	1,797,821	1,907,308	2,228,335	1,740,294	1,255,647
State Operations	160,305	151,144	102,656	53,650	53,650
Personal Service	47,128	21,657	21,815	21,815	21,815
Non-Personal Service/Indirect Costs	113,177	129,487	80,841	31,835	31,835
General State Charges	6,516	3,805	3,961	3,994	4,028
Capital Projects	19,296	91,569	74,900	8,000	0
Indigent Legal Services, Office of	54,584	67,317	82,917	82,917	82,917
Local Assistance Grants	53,002	65,400	81,000	81,000	81,000
State Operations	1,093	1,539	1,539	1,539	1,539
Personal Service	885	1,004	1,004	1,004	1,004
Non-Personal Service/Indirect Costs	208	535	535	535	535
General State Charges	489	378	378	378	378
Judicial Conduct, Commission on	5,165	5,484	5,484	5,484	5,484
State Operations	5,165	5,484	5,484	5,484	5,484
Personal Service	3,904	4,081	4,081	4,081	4,081
Non-Personal Service/Indirect Costs	1,261	1,403	1,403	1,403	1,403
Judicial Nomination, Commission on	45	30	30	30	30
State Operations	45	30	30	30	30
Non-Personal Service/Indirect Costs	45	30	30	30	30

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	<u>FY 2014 Results</u>	<u>FY 2015 Enacted</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>	<u>FY 2018 Projected</u>
Judicial Screening Committees, New York State	50	38	38	38	38
State Operations	50	38	38	38	38
Personal Service	0	13	13	13	13
Non-Personal Service/Indirect Costs	50	25	25	25	25
Military and Naval Affairs, Division of	83,405	119,126	109,075	103,075	87,468
Local Assistance Grants	718	967	967	967	967
State Operations	58,331	59,550	59,462	59,249	59,249
Personal Service	38,445	28,521	28,521	28,521	28,521
Non-Personal Service/Indirect Costs	19,886	31,029	30,941	30,728	30,728
General State Charges	4,887	6,740	7,039	7,252	7,252
Capital Projects	19,469	51,869	41,607	35,607	20,000
State Police, Division of	685,293	709,602	695,914	690,300	681,574
State Operations	668,788	669,962	664,788	664,791	664,796
Personal Service	567,610	566,862	566,062	566,062	566,062
Non-Personal Service/Indirect Costs	101,178	103,100	98,726	98,729	98,734
General State Charges	4,608	5,057	5,326	5,509	5,713
Capital Projects	11,897	34,583	25,800	20,000	11,065
Statewide Financial System	52,390	29,700	29,706	29,711	29,717
State Operations	52,390	29,700	29,706	29,711	29,717
Personal Service	8,414	10,638	10,638	10,638	10,638
Non-Personal Service/Indirect Costs	43,976	19,062	19,068	19,073	19,079
Victim Services, Office of	63,881	66,908	67,172	67,182	67,182
Local Assistance Grants	57,182	58,310	58,310	58,310	58,310
State Operations	5,336	6,216	6,299	6,310	6,310
Personal Service	4,357	5,087	5,166	5,167	5,167
Non-Personal Service/Indirect Costs	979	1,129	1,133	1,143	1,143
General State Charges	1,363	2,382	2,563	2,562	2,562
Functional Total	6,030,004	6,173,431	6,553,815	5,871,494	5,358,558
HIGHER EDUCATION					
City University of New York	1,471,374	1,529,670	1,573,242	1,623,804	1,678,468
Local Assistance Grants	1,345,524	1,394,160	1,440,940	1,489,692	1,542,105
State Operations	82,195	89,510	91,302	93,112	94,963
Personal Service	38,368	41,301	42,363	43,425	44,509
Non-Personal Service/Indirect Costs	43,827	48,209	48,939	49,687	50,454
General State Charges	6,072	6,000	6,000	6,000	6,000
Capital Projects	37,583	40,000	35,000	35,000	35,400
Higher Education - Miscellaneous	366	1,300	1,300	1,300	1,300
State Operations	267	1,201	1,201	1,201	1,201
Personal Service	179	198	198	198	198
Non-Personal Service/Indirect Costs	88	1,003	1,003	1,003	1,003
General State Charges	99	99	99	99	99
Higher Education Facilities Capital Matching Grants Program	8,353	7,000	10,000	10,000	10,000
Local Assistance Grants	8,353	7,000	7,000	974	0
Capital Projects	0	0	3,000	9,026	10,000
Higher Education Services Corporation, New York State	1,064,910	1,104,961	1,135,753	1,149,770	1,159,678
Local Assistance Grants	989,801	1,033,565	1,064,120	1,077,784	1,087,280
State Operations	64,477	60,966	60,966	60,966	60,966
Personal Service	25,127	18,467	18,467	18,467	18,467
Non-Personal Service/Indirect Costs	39,350	42,499	42,499	42,499	42,499
General State Charges	10,632	10,430	10,667	11,020	11,432
State University Construction Fund	0	0	0	0	0
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Costs	0	0	0	0	0
General State Charges	0	0	0	0	0
State University of New York	8,128,157	8,168,884	8,151,993	8,234,524	8,285,313
Local Assistance Grants	481,239	496,210	502,225	502,225	502,225
State Operations	5,999,953	5,966,179	6,084,036	6,219,087	6,324,836
Personal Service	3,448,446	3,551,986	3,616,200	3,701,268	3,755,709
Non-Personal Service/Indirect Costs	2,551,507	2,414,193	2,467,836	2,517,819	2,569,127

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2014 Results	FY 2015 Enacted	FY 2016 Projected	FY 2017 Projected	FY 2018 Projected
General State Charges	578,157	609,249	607,396	613,318	619,342
Capital Projects	1,068,808	1,097,246	958,336	899,894	838,910
Functional Total	10,673,160	10,811,815	10,872,288	11,019,398	11,134,759
EDUCATION					
Arts, Council on the	27,009	47,573	40,573	40,573	40,573
Local Assistance Grants	23,598	43,353	36,353	36,353	36,353
State Operations	3,411	4,220	4,220	4,220	4,220
Personal Service	2,128	2,298	2,298	2,298	2,298
Non-Personal Service/Indirect Costs	1,283	1,922	1,922	1,922	1,922
Education, Department of	29,895,193	31,325,512	34,157,040	34,671,417	36,198,025
School Aid	23,001,609	24,306,363	27,018,748	27,429,355	28,741,722
Local Assistance Grants	23,001,349	24,305,923	26,018,308	27,079,355	28,391,722
State Operations	201	299	299	0	0
Personal Service	187	196	196	0	0
Non-Personal Service/Indirect Costs	14	103	103	0	0
General State Charges	59	141	141	0	0
Capital Projects	0	0	1,000,000	350,000	350,000
STAR Property Tax Relief	3,356,792	3,429,375	3,478,479	3,573,844	3,615,792
Local Assistance Grants	3,356,792	3,429,375	3,478,479	3,573,844	3,615,792
Special Education Categorical Programs	2,210,604	2,301,631	2,415,196	2,554,016	2,671,116
Local Assistance Grants	2,189,817	2,301,631	2,415,196	2,554,016	2,671,116
State Operations	15,793	0	0	0	0
Personal Service	8,508	0	0	0	0
Non-Personal Service/Indirect Costs	7,285	0	0	0	0
General State Charges	4,994	0	0	0	0
All Other	1,326,188	1,288,143	1,244,617	1,114,202	1,169,395
Local Assistance Grants	930,690	777,387	701,036	727,948	785,715
State Operations	310,125	414,102	415,044	275,994	275,994
Personal Service	155,325	166,681	168,587	166,008	166,008
Non-Personal Service/Indirect Costs	154,800	247,421	246,457	109,986	109,986
General State Charges	77,745	80,602	84,051	83,364	84,286
Capital Projects	7,628	16,052	44,486	26,896	23,400
Functional Total	29,922,202	31,373,085	34,197,613	34,711,990	36,238,598
GENERAL GOVERNMENT					
Budget, Division of the	30,760	30,905	30,764	30,880	30,981
State Operations	28,540	29,409	29,321	29,323	29,324
Personal Service	23,099	23,799	24,755	24,849	24,902
Non-Personal Service/Indirect Costs	5,441	5,610	4,566	4,474	4,422
General State Charges	2,220	1,496	1,443	1,557	1,657
Civil Service, Department of	13,275	12,980	12,984	12,984	12,984
State Operations	13,131	12,808	12,808	12,808	12,808
Personal Service	11,726	12,144	12,144	12,144	12,144
Non-Personal Service/Indirect Costs	1,405	664	664	664	664
General State Charges	144	172	176	176	176
Deferred Compensation Board	689	854	855	866	866
State Operations	486	629	630	641	641
Personal Service	399	410	410	410	410
Non-Personal Service/Indirect Costs	87	219	220	231	231
General State Charges	203	225	225	225	225
Elections, State Board of	10,614	29,584	9,484	8,404	8,404
Local Assistance Grants	783	2,100	0	0	0
State Operations	9,831	27,484	9,484	8,404	8,404
Personal Service	4,119	5,145	5,145	5,065	5,065
Non-Personal Service/Indirect Costs	5,712	22,339	4,339	3,339	3,339
Employee Relations, Office of	2,282	2,581	2,581	2,581	2,581
State Operations	2,282	2,581	2,581	2,581	2,581
Personal Service	2,219	2,510	2,510	2,510	2,510
Non-Personal Service/Indirect Costs	63	71	71	71	71

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2014 Results	FY 2015 Enacted	FY 2016 Projected	FY 2017 Projected	FY 2018 Projected
Gaming Commission, New York State	151,546	185,109	224,954	232,363	252,161
Local Assistance Grants	0	0	40,000	47,200	65,300
State Operations	137,113	166,402	165,194	165,317	166,398
Personal Service	31,461	33,075	35,438	35,504	36,548
Non-Personal Service/Indirect Costs	105,652	133,327	129,756	129,813	129,850
General State Charges	14,433	18,707	19,760	19,846	20,463
General Services, Office of	249,445	273,124	254,672	226,756	226,774
Local Assistance Grants	0	250	250	250	250
State Operations	170,641	155,234	154,179	154,180	154,180
Personal Service	56,410	62,726	62,726	62,726	62,726
Non-Personal Service/Indirect Costs	114,231	92,508	91,453	91,454	91,454
General State Charges	2,205	2,257	2,360	2,443	2,461
Capital Projects	76,599	115,383	97,883	69,883	69,883
Inspector General, Office of the	6,434	6,917	6,917	6,917	6,917
State Operations	6,434	6,917	6,917	6,917	6,917
Personal Service	6,117	6,360	6,360	6,360	6,360
Non-Personal Service/Indirect Costs	317	557	557	557	557
Labor Management Committees	19,637	35,356	35,356	35,356	35,356
State Operations	19,637	35,356	35,356	35,356	35,356
Personal Service	6,327	5,446	5,446	5,446	5,446
Non-Personal Service/Indirect Costs	13,310	29,910	29,910	29,910	29,910
Lottery, Division of the	0	0	0	0	0
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Costs	0	0	0	0	0
General State Charges	0	0	0	0	0
Prevention of Domestic Violence, Office for	2,076	2,281	2,281	2,281	2,281
Local Assistance Grants	658	685	685	685	685
State Operations	1,417	1,596	1,596	1,596	1,596
Personal Service	1,215	1,388	1,388	1,388	1,388
Non-Personal Service/Indirect Costs	202	208	208	208	208
General State Charges	1	0	0	0	0
Public Employment Relations Board	3,333	3,731	3,731	3,572	3,573
State Operations	3,333	3,731	3,731	3,572	3,573
Personal Service	2,991	3,495	3,495	3,336	3,336
Non-Personal Service/Indirect Costs	342	236	236	236	237
Public Integrity, Commission on	3,610	4,331	4,331	4,331	4,331
State Operations	3,610	4,331	4,331	4,331	4,331
Personal Service	2,878	3,420	3,420	3,420	3,420
Non-Personal Service/Indirect Costs	732	911	911	911	911
Racing and Wagering Board, State	0	0	0	0	0
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Costs	0	0	0	0	0
General State Charges	0	0	0	0	0
State, Department of	136,090	134,196	123,363	132,954	122,452
Local Assistance Grants	82,434	69,008	59,436	59,436	59,436
State Operations	44,930	52,146	51,365	51,327	50,588
Personal Service	32,379	31,714	31,746	31,709	31,671
Non-Personal Service/Indirect Costs	12,551	20,432	19,619	19,618	18,917
General State Charges	8,726	11,680	11,921	12,206	12,443
Capital Projects	0	1,362	641	9,985	(15)
Tax Appeals, Division of	2,818	3,174	3,174	3,174	3,174
State Operations	2,818	3,174	3,174	3,174	3,174
Personal Service	2,671	2,962	2,962	2,962	2,962
Non-Personal Service/Indirect Costs	147	212	212	212	212
Taxation and Finance, Department of	368,773	364,537	358,355	358,393	358,432
Local Assistance Grants	959	926	926	926	926
State Operations	345,699	340,372	333,261	332,635	332,674
Personal Service	275,415	275,359	275,359	275,359	275,359
Non-Personal Service/Indirect Costs	70,284	65,013	57,902	57,276	57,315
General State Charges	22,115	23,239	24,168	24,832	24,832

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	<u>FY 2014 Results</u>	<u>FY 2015 Enacted</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>	<u>FY 2018 Projected</u>
Technology, Office for	238,467	461,955	504,358	451,020	456,105
State Operations	220,088	420,708	426,848	426,885	426,836
Personal Service	134,370	276,101	276,128	276,131	276,101
Non-Personal Service/Indirect Costs	85,718	144,607	150,720	150,754	150,735
Capital Projects	18,379	41,247	77,510	24,135	29,269
Veterans' Affairs, Division of	13,084	16,029	15,217	15,217	15,217
Local Assistance Grants	7,034	8,227	7,637	7,637	7,637
State Operations	5,831	7,452	7,230	7,230	7,230
Personal Service	5,349	6,332	6,346	6,346	6,346
Non-Personal Service/Indirect Costs	482	1,120	884	884	884
General State Charges	219	350	350	350	350
Welfare Inspector General, Office of	355	972	972	972	972
State Operations	349	972	972	972	972
Personal Service	219	514	514	514	514
Non-Personal Service/Indirect Costs	130	458	458	458	458
General State Charges	6	0	0	0	0
Workers' Compensation Board	200,986	191,247	193,623	195,363	197,337
State Operations	155,486	145,330	145,395	145,415	145,435
Personal Service	85,571	80,977	80,977	80,977	80,977
Non-Personal Service/Indirect Costs	69,915	64,353	64,418	64,438	64,458
General State Charges	45,500	45,917	48,228	49,948	51,902
Functional Total	1,454,274	1,759,863	1,787,972	1,724,384	1,740,898
ELECTED OFFICIALS					
Audit and Control, Department of	171,989	175,086	175,163	175,218	175,282
Local Assistance Grants	32,025	32,024	32,024	32,024	32,024
State Operations	138,288	141,399	141,399	141,399	141,399
Personal Service	106,972	109,735	109,735	109,735	109,735
Non-Personal Service/Indirect Costs	31,316	31,664	31,664	31,664	31,664
General State Charges	1,676	1,663	1,740	1,795	1,859
Executive Chamber	13,673	13,578	13,578	13,578	13,578
State Operations	13,673	13,578	13,578	13,578	13,578
Personal Service	10,467	10,811	11,135	11,469	11,813
Non-Personal Service/Indirect Costs	3,206	2,767	2,443	2,109	1,765
Judiciary	2,598,591	2,723,103	2,733,903	2,744,753	2,755,553
Local Assistance Grants	104,079	121,600	121,600	121,600	121,600
State Operations	1,843,866	1,932,400	1,932,400	1,932,400	1,932,400
Personal Service	1,456,674	1,483,400	1,483,400	1,483,400	1,483,400
Non-Personal Service/Indirect Costs	387,192	449,000	449,000	449,000	449,000
General State Charges	650,646	669,103	679,903	690,753	701,553
Capital Projects	0	0	0	0	0
Law, Department of	212,783	222,236	224,823	226,504	226,237
State Operations	186,542	195,826	196,485	196,792	197,104
Personal Service	130,353	133,340	133,709	133,862	134,017
Non-Personal Service/Indirect Costs	56,189	62,486	62,776	62,930	63,087
General State Charges	26,241	25,410	26,338	26,712	27,133
Capital Projects	0	1,000	2,000	3,000	2,000
Legislature	207,984	218,795	218,795	218,795	218,795
State Operations	207,984	218,795	218,795	218,795	218,795
Personal Service	158,325	166,331	166,331	166,331	166,331
Non-Personal Service/Indirect Costs	49,659	52,464	52,464	52,464	52,464
Lieutenant Governor, Office of the	427	614	614	614	614
State Operations	427	614	614	614	614
Personal Service	346	480	498	513	523
Non-Personal Service/Indirect Costs	81	134	116	101	91
Functional Total	3,205,447	3,353,412	3,366,876	3,379,462	3,390,059
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	718,950	738,814	749,293	759,576	763,491

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	<u>FY 2014 Results</u>	<u>FY 2015 Enacted</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>	<u>FY 2018 Projected</u>
Local Assistance Grants	718,950	738,814	749,293	759,576	763,491
Efficiency Incentive Grants Program	4,987	3,230	0	0	0
Local Assistance Grants	4,987	3,230	0	0	0
Miscellaneous Financial Assistance	4,873	7,798	2,023	2,423	1,623
Local Assistance Grants	4,873	7,798	2,023	2,423	1,623
Municipalities with VLT Facilities	27,246	29,331	27,246	27,246	27,246
Local Assistance Grants	27,246	29,331	27,246	27,246	27,246
Small Government Assistance	217	218	218	218	218
Local Assistance Grants	217	218	218	218	218
Functional Total	<u>756,273</u>	<u>779,391</u>	<u>778,780</u>	<u>789,463</u>	<u>792,578</u>
 ALL OTHER CATEGORIES					
General State Charges	4,045,490	4,215,492	4,455,530	4,593,122	4,696,130
General State Charges	4,045,490	4,215,492	4,455,530	4,593,122	4,696,130
Long-Term Debt Service	6,437,053	5,691,731	5,950,911	6,725,437	7,054,005
State Operations	37,357	43,369	43,369	43,369	43,369
Non-Personal Service/Indirect Costs	37,357	43,369	43,369	43,369	43,369
Debt Service	6,399,696	5,648,362	5,907,542	6,682,068	7,010,636
Miscellaneous	23,086	(42,050)	128,539	140,539	138,543
Local Assistance Grants	(96,319)	(168,163)	(254,608)	(223,608)	(223,608)
State Operations	6,354	4,217	4,219	4,219	4,220
Personal Service	2,330	2,492	2,492	2,492	2,492
Non-Personal Service/Indirect Costs	4,024	1,725	1,727	1,727	1,728
General State Charges	19,140	5,896	5,928	5,928	5,931
Capital Projects	93,911	116,000	373,000	354,000	352,000
Functional Total	<u>10,505,629</u>	<u>9,865,173</u>	<u>10,534,980</u>	<u>11,459,098</u>	<u>11,888,678</u>
TOTAL ALL GOVERNMENTAL FUNDS SPENDING	<u>137,526,508</u>	<u>141,965,682</u>	<u>148,869,641</u>	<u>152,782,612</u>	<u>157,349,209</u>

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2014 Results	FY 2015 Enacted	FY 2016 Projected	FY 2017 Projected	FY 2018 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	93,470	108,556	98,667	98,631	98,926
Alcoholic Beverage Control, Division of	17,986	17,537	17,653	17,810	17,987
Economic Development Capital	11,358	14,000	14,000	23,000	23,000
Economic Development, Department of	88,537	118,310	118,470	97,603	91,803
Empire State Development Corporation	553,490	721,180	948,473	898,961	865,349
Energy Research and Development Authority	34,463	36,258	41,230	34,230	29,230
Financial Services, Department of	504,094	502,165	502,460	504,511	506,901
Olympic Regional Development Authority	4,134	10,061	3,161	3,161	3,161
Public Service Department	70,481	77,267	77,731	78,329	79,159
Regional Economic Development Program	172	1,500	1,500	1,500	1,500
Strategic Investment Program	1,899	5,000	5,000	5,000	5,000
Functional Total	1,380,084	1,611,834	1,828,345	1,762,736	1,722,016
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	4,294	4,642	4,642	4,642	4,642
Environmental Conservation, Department of	1,016,286	905,633	872,281	851,110	753,709
Hudson River Park Trust	10,008	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	341,389	292,436	306,609	313,359	318,034
Functional Total	1,371,977	1,202,711	1,183,532	1,169,111	1,076,385
TRANSPORTATION					
Metropolitan Transportation Authority	62,519	183,229	512,171	310,000	0
Motor Vehicles, Department of	305,726	309,619	305,733	307,886	310,192
Thruway Authority, New York State	22,497	25,800	25,800	25,800	25,800
Transportation, Department of	8,558,663	9,016,012	8,636,413	8,679,759	8,879,636
Functional Total	8,949,405	9,534,660	9,480,117	9,323,445	9,215,628
HEALTH					
Aging, Office for the	217,583	222,997	229,068	232,568	235,833
Health, Department of	46,197,507	48,857,060	51,094,861	54,273,174	56,895,442
<i>Medical Assistance</i>	39,971,486	43,278,430	45,616,539	48,769,120	51,379,605
<i>Medicaid Administration</i>	1,259,009	1,341,147	1,192,147	1,192,147	1,192,147
<i>Public Health</i>	4,967,012	4,237,483	4,286,175	4,311,907	4,323,690
Medicaid Inspector General, Office of the	53,441	56,718	56,718	56,718	56,718
Stem Cell and Innovation	32,571	37,900	37,900	37,900	37,900
Functional Total	46,501,102	49,174,675	51,418,547	54,600,360	57,225,893
SOCIAL WELFARE					
Children and Family Services, Office of	2,856,400	2,902,712	3,099,404	3,144,066	3,204,074
<i>OCFS</i>	2,768,218	2,814,376	3,007,601	3,048,586	3,104,695
<i>OCFS - Other</i>	88,182	88,336	91,803	95,480	99,379
Housing and Community Renewal, Division of	237,170	238,645	272,257	288,440	295,183
Human Rights, Division of	15,592	14,284	14,414	14,414	14,477
Labor, Department of	666,793	651,346	649,985	653,619	659,785
National and Community Service	19,619	14,909	14,909	14,909	16,029
Temporary and Disability Assistance, Office of	5,671,389	5,033,149	5,093,948	5,130,318	5,137,807
<i>Welfare Assistance</i>	4,414,284	3,759,039	3,779,399	3,789,399	3,798,899
<i>All Other</i>	1,257,105	1,274,110	1,314,549	1,340,919	1,338,908
Functional Total	9,466,963	8,855,045	9,144,917	9,245,766	9,327,355
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	559,795	582,093	596,077	613,357	632,389
<i>OASAS</i>	477,268	502,046	513,983	532,734	551,413
<i>OASAS - Other</i>	82,527	80,047	82,094	80,623	80,976
Developmental Disabilities Planning Council	3,148	4,200	4,200	4,200	4,200
Justice Center	32,264	41,649	41,687	41,739	41,803
Mental Health, Office of	3,259,553	3,340,177	3,471,582	3,522,119	3,654,366
<i>OMH</i>	1,454,842	1,493,857	1,572,424	1,610,560	1,690,447
<i>OMH - Other</i>	1,804,711	1,846,320	1,899,158	1,911,559	1,963,919
Mental Hygiene, Department of	312	0	0	0	0
People with Developmental Disabilities, Office for	3,450,583	3,502,468	3,608,313	3,544,490	3,905,046
<i>OPWDD</i>	496,778	438,525	371,687	360,161	368,353
<i>OPWDD - Other</i>	2,953,805	3,063,943	3,236,626	3,184,329	3,536,693
Quality of Care and Advocacy for Persons With Disabilities, Commission on	4,333	0	0	0	0
Functional Total	7,309,988	7,470,587	7,721,859	7,725,905	8,237,804
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	2,101	2,651	2,651	2,651	2,651

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2014 Results	FY 2015 Enacted	FY 2016 Projected	FY 2017 Projected	FY 2018 Projected
Correctional Services, Department of	2,838,809	2,829,590	2,910,412	2,843,303	2,847,307
Corrections and Community Supervision Medicaid, Department of	0	11,500	12,500	12,500	12,500
Criminal Justice Services, Division of	227,237	255,239	228,064	228,365	228,365
Disaster Assistance	33,106	(77,580)	0	0	0
Homeland Security and Emergency Services, Division of	1,983,938	2,153,826	2,409,852	1,805,938	1,313,325
Indigent Legal Services, Office of	54,584	67,317	82,917	82,917	82,917
Judicial Conduct, Commission on	5,165	5,484	5,484	5,484	5,484
Judicial Nomination, Commission on	45	30	30	30	30
Judicial Screening Committees, New York State	50	38	38	38	38
Military and Naval Affairs, Division of	83,405	119,126	109,075	103,075	87,468
State Police, Division of	685,293	709,602	695,914	690,300	681,574
Statewide Financial System	52,390	29,700	29,706	29,711	29,717
Victim Services, Office of	63,881	66,908	67,172	67,182	67,182
Functional Total	6,030,004	6,173,431	6,553,815	5,871,494	5,358,558
HIGHER EDUCATION					
City University of New York	1,471,374	1,529,670	1,573,242	1,623,804	1,678,468
Higher Education - Miscellaneous	366	1,300	1,300	1,300	1,300
Higher Education Facilities Capital Matching Grants Program	8,353	7,000	10,000	10,000	10,000
Higher Education Services Corporation, New York State	1,064,910	1,104,961	1,135,753	1,149,770	1,159,678
State University Construction Fund	0	0	0	0	0
State University of New York	8,128,157	8,168,884	8,151,993	8,234,524	8,285,313
Functional Total	10,673,160	10,811,815	10,872,288	11,019,398	11,134,759
EDUCATION					
Arts, Council on the	27,009	47,573	40,573	40,573	40,573
Education, Department of	29,895,193	31,325,512	34,157,040	34,671,417	36,198,025
<i>School Aid</i>	23,001,609	24,306,363	27,018,748	27,429,355	28,741,722
<i>STAR Property Tax Relief</i>	3,356,792	3,429,375	3,478,479	3,573,844	3,615,792
<i>Special Education Categorical Programs</i>	2,210,604	2,301,631	2,415,196	2,554,016	2,671,116
<i>All Other</i>	1,326,188	1,288,143	1,244,617	1,114,202	1,169,395
Functional Total	29,922,202	31,373,085	34,197,613	34,711,990	36,238,598
GENERAL GOVERNMENT					
Budget, Division of the	30,760	30,905	30,764	30,880	30,981
Civil Service, Department of	13,275	12,980	12,984	12,984	12,984
Deferred Compensation Board	689	854	855	866	866
Elections, State Board of	10,614	29,584	9,484	8,404	8,404
Employee Relations, Office of	2,282	2,581	2,581	2,581	2,581
Gaming Commission, New York State	151,546	185,109	224,954	232,363	252,161
General Services, Office of	249,445	273,124	254,672	226,756	226,774
Inspector General, Office of the	6,434	6,917	6,917	6,917	6,917
Labor Management Committees	19,637	35,356	35,356	35,356	35,356
Lottery, Division of the	0	0	0	0	0
Prevention of Domestic Violence, Office for	2,076	2,281	2,281	2,281	2,281
Public Employment Relations Board	3,333	3,731	3,731	3,572	3,573
Public Integrity, Commission on	3,610	4,331	4,331	4,331	4,331
Racing and Wagering Board, State	0	0	0	0	0
State, Department of	136,090	134,196	123,363	132,954	122,452
Tax Appeals, Division of	2,818	3,174	3,174	3,174	3,174
Taxation and Finance, Department of	368,773	364,537	358,355	358,393	358,432
Technology, Office for	238,467	461,955	504,358	451,020	456,105
Veterans' Affairs, Division of	13,084	16,029	15,217	15,217	15,217
Welfare Inspector General, Office of	355	972	972	972	972
Workers' Compensation Board	200,986	191,247	193,623	195,363	197,337
Functional Total	1,454,274	1,759,863	1,787,972	1,724,384	1,740,898
ELECTED OFFICIALS					
Audit and Control, Department of	171,989	175,086	175,163	175,218	175,282
Executive Chamber	13,673	13,578	13,578	13,578	13,578
Judiciary	2,598,591	2,723,103	2,733,903	2,744,753	2,755,553
Law, Department of	212,783	222,236	224,823	226,504	226,237
Legislature	207,984	218,795	218,795	218,795	218,795
Lieutenant Governor, Office of the	427	614	614	614	614
Functional Total	3,205,447	3,353,412	3,366,876	3,379,462	3,390,059
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	718,950	738,814	749,293	759,576	763,491
Efficiency Incentive Grants Program	4,987	3,230	0	0	0
Miscellaneous Financial Assistance	4,873	7,798	2,023	2,423	1,623

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	<u>FY 2014 Results</u>	<u>FY 2015 Enacted</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>	<u>FY 2018 Projected</u>
Municipalities with VLT Facilities	27,246	29,331	27,246	27,246	27,246
Small Government Assistance	217	218	218	218	218
Functional Total	<u>756,273</u>	<u>779,391</u>	<u>778,780</u>	<u>789,463</u>	<u>792,578</u>
ALL OTHER CATEGORIES					
General State Charges	4,045,490	4,215,492	4,455,530	4,593,122	4,696,130
Long-Term Debt Service	6,437,053	5,691,731	5,950,911	6,725,437	7,054,005
Miscellaneous	23,086	(42,050)	128,539	140,539	138,543
Functional Total	<u>10,505,629</u>	<u>9,865,173</u>	<u>10,534,980</u>	<u>11,459,098</u>	<u>11,888,678</u>
TOTAL ALL GOVERNMENTAL FUNDS SPENDING	<u><u>137,526,508</u></u>	<u><u>141,965,682</u></u>	<u><u>148,869,641</u></u>	<u><u>152,782,612</u></u>	<u><u>157,349,209</u></u>

GSC: Agency disbursements include grants to local governments, state operations and general state charges, which is a departure from prior Financial plan publications. In prior reports, general state charges were excluded from agency spending totals.

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
LOCAL ASSISTANCE GRANTS
(thousands of dollars)**

	FY 2014 Results	FY 2015 Enacted	FY 2016 Projected	FY 2017 Projected	FY 2018 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	21,416	29,754	25,955	25,955	25,955
Economic Development Capital	11,313	0	0	0	0
Economic Development, Department of	69,385	76,582	79,934	70,934	70,934
Empire State Development Corporation	517,702	586,437	448,484	233,730	304,820
Energy Research and Development Authority	9,471	7,439	7,439	7,439	7,439
Financial Services, Department of	228,507	216,952	215,952	215,952	215,952
Public Service Department	0	200	200	200	200
Regional Economic Development Program	172	0	0	0	0
Strategic Investment Program	1,899	0	0	0	0
Functional Total	859,865	917,364	777,964	554,210	625,300
PARKS AND THE ENVIRONMENT					
Environmental Conservation, Department of	447,520	157,542	149,292	146,874	139,542
Parks, Recreation and Historic Preservation, Office of	9,303	9,120	8,695	8,695	8,870
Functional Total	456,823	166,662	157,987	155,569	148,412
TRANSPORTATION					
Metropolitan Transportation Authority	62,519	183,229	512,171	310,000	0
Motor Vehicles, Department of	11,954	18,000	18,000	18,000	18,000
Thruway Authority, New York State	2,251	0	0	0	0
Transportation, Department of	5,418,741	5,984,198	5,957,803	6,003,440	6,085,283
Functional Total	5,495,465	6,185,427	6,487,974	6,331,440	6,103,283
HEALTH					
Aging, Office for the	209,486	213,904	220,093	223,558	226,823
Health, Department of	45,086,920	47,664,847	49,926,050	53,116,135	55,811,140
<i>Medical Assistance</i>	39,971,486	43,278,430	45,616,539	48,769,120	51,379,605
<i>Medicaid Administration</i>	905,884	958,008	822,381	810,361	810,361
<i>Public Health</i>	4,209,550	3,428,409	3,487,130	3,536,654	3,621,174
Functional Total	45,296,406	47,878,751	50,146,143	53,339,693	56,037,963
SOCIAL WELFARE					
Children and Family Services, Office of	2,460,306	2,511,329	2,719,413	2,764,075	2,820,004
<i>OCFS</i>	2,372,124	2,422,993	2,627,610	2,668,595	2,720,625
<i>OCFS - Other</i>	88,182	88,336	91,803	95,480	99,379
Housing and Community Renewal, Division of	156,231	156,616	190,148	206,197	212,747
Labor, Department of	186,364	172,863	158,267	158,267	158,475
National and Community Service	420	350	350	350	350
Temporary and Disability Assistance, Office of	5,362,050	4,701,835	4,741,095	4,774,995	4,779,895
<i>Welfare Assistance</i>	4,414,284	3,759,039	3,779,399	3,789,399	3,798,899
<i>All Other</i>	947,766	942,796	961,696	985,596	980,996
Functional Total	8,165,371	7,542,993	7,809,273	7,903,884	7,971,471
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	446,225	459,696	471,271	489,455	507,760
<i>OASAS</i>	424,900	438,371	449,946	468,130	486,435
<i>OASAS - Other</i>	21,325	21,325	21,325	21,325	21,325
Justice Center	347	620	620	620	620
Mental Health, Office of	1,152,626	1,228,354	1,293,046	1,368,962	1,489,756
<i>OMH</i>	800,769	890,099	942,113	996,524	1,074,460
<i>OMH - Other</i>	351,857	338,255	350,933	372,438	415,296
People with Developmental Disabilities, Office for	1,373,322	1,475,344	1,545,195	1,475,595	1,823,790
<i>OPWDD</i>	456,569	398,124	331,286	319,760	327,952
<i>OPWDD - Other</i>	916,753	1,077,220	1,213,909	1,155,835	1,495,838
Quality of Care and Advocacy for Persons With Disabilities, Commission on	286	0	0	0	0
Functional Total	2,972,806	3,164,014	3,310,132	3,334,632	3,821,926
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correctional Services, Department of	5,253	6,022	6,022	6,022	6,022
Corrections and Community Supervision Medicaid, Department of	0	11,500	12,500	12,500	12,500
Criminal Justice Services, Division of	164,599	201,743	172,784	172,784	172,784
Disaster Assistance	32,571	(10,000)	0	0	0
Homeland Security and Emergency Services, Division of	1,797,821	1,907,308	2,228,335	1,740,294	1,255,647
Indigent Legal Services, Office of	53,002	65,400	81,000	81,000	81,000
Military and Naval Affairs, Division of	718	967	967	967	967

CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
LOCAL ASSISTANCE GRANTS
(thousands of dollars)

	FY 2014 Results	FY 2015 Enacted	FY 2016 Projected	FY 2017 Projected	FY 2018 Projected
Victim Services, Office of	57,182	58,310	58,310	58,310	58,310
Functional Total	2,111,146	2,241,250	2,559,918	2,071,877	1,587,230
HIGHER EDUCATION					
City University of New York	1,345,524	1,394,160	1,440,940	1,489,692	1,542,105
Higher Education Facilities Capital Matching Grants Program	8,353	7,000	7,000	974	0
Higher Education Services Corporation, New York State	989,801	1,033,565	1,064,120	1,077,784	1,087,280
State University of New York	481,239	496,210	502,225	502,225	502,225
Functional Total	2,824,917	2,930,935	3,014,285	3,070,675	3,131,610
EDUCATION					
Arts, Council on the	23,598	43,353	36,353	36,353	36,353
Education, Department of	29,478,648	30,814,316	32,613,019	33,935,163	35,464,345
<i>School Aid</i>	23,001,349	24,305,923	26,018,308	27,079,355	28,391,722
<i>STAR Property Tax Relief</i>	3,356,792	3,429,375	3,478,479	3,573,844	3,615,792
<i>Special Education Categorical Programs</i>	2,189,817	2,301,631	2,415,196	2,554,016	2,671,116
<i>All Other</i>	930,690	777,387	701,036	727,948	785,715
Functional Total	29,502,246	30,857,669	32,649,372	33,971,516	35,500,698
GENERAL GOVERNMENT					
Elections, State Board of	783	2,100	0	0	0
Gaming Commission, New York State	0	0	40,000	47,200	65,300
General Services, Office of	0	250	250	250	250
Prevention of Domestic Violence, Office for	658	685	685	685	685
State, Department of	82,434	69,008	59,436	59,436	59,436
Taxation and Finance, Department of	959	926	926	926	926
Veterans' Affairs, Division of	7,034	8,227	7,637	7,637	7,637
Functional Total	91,868	81,196	108,934	116,134	134,234
ELECTED OFFICIALS					
Audit and Control, Department of	32,025	32,024	32,024	32,024	32,024
Judiciary	104,079	121,600	121,600	121,600	121,600
Functional Total	136,104	153,624	153,624	153,624	153,624
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	718,950	738,814	749,293	759,576	763,491
Efficiency Incentive Grants Program	4,987	3,230	0	0	0
Miscellaneous Financial Assistance	4,873	7,798	2,023	2,423	1,623
Municipalities with VLT Facilities	27,246	29,331	27,246	27,246	27,246
Small Government Assistance	217	218	218	218	218
Functional Total	756,273	779,391	778,780	789,463	792,578
ALL OTHER CATEGORIES					
Miscellaneous	(96,319)	(168,163)	(254,608)	(223,608)	(223,608)
Functional Total	(96,319)	(168,163)	(254,608)	(223,608)	(223,608)
TOTAL LOCAL ASSISTANCE GRANTS SPENDING	98,572,971	102,731,113	107,699,778	111,569,109	115,784,721

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
STATE OPERATIONS
(thousands of dollars)**

	<u>FY 2014 Results</u>	<u>FY 2015 Enacted</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>	<u>FY 2018 Projected</u>
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	63,328	66,077	65,344	65,565	65,751
Alcoholic Beverage Control, Division of	13,337	13,008	13,010	13,012	13,014
Economic Development, Department of	19,043	21,841	21,841	21,641	20,841
Empire State Development Corporation	1,201	1,000	1,000	1,000	1,000
Energy Research and Development Authority	16,592	6,551	6,551	6,551	6,551
Financial Services, Department of	197,335	202,086	202,003	202,003	202,003
Olympic Regional Development Authority	4,134	3,161	3,161	3,161	3,161
Public Service Department	49,556	52,897	52,619	52,619	52,703
Functional Total	<u>364,526</u>	<u>366,621</u>	<u>365,529</u>	<u>365,552</u>	<u>365,024</u>
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	4,294	4,642	4,642	4,642	4,642
Environmental Conservation, Department of	279,242	277,297	279,111	279,006	257,086
Parks, Recreation and Historic Preservation, Office of	190,282	179,973	179,989	179,989	179,989
Functional Total	<u>473,818</u>	<u>461,912</u>	<u>463,742</u>	<u>463,637</u>	<u>441,717</u>
TRANSPORTATION					
Motor Vehicles, Department of	71,662	69,566	69,795	69,930	69,930
Thruway Authority, New York State	20,246	24,000	24,000	24,000	24,000
Transportation, Department of	28,734	29,125	29,305	29,305	29,305
Functional Total	<u>120,642</u>	<u>122,691</u>	<u>123,100</u>	<u>123,235</u>	<u>123,235</u>
HEALTH					
Aging, Office for the	8,097	9,071	8,953	8,988	8,988
Health, Department of	1,028,917	1,064,327	1,026,286	1,017,372	1,009,535
<i>Medical Assistance</i>	0	0	0	0	0
<i>Medicaid Administration</i>	353,125	383,139	369,766	381,786	381,786
<i>Public Health</i>	675,792	681,188	656,520	635,586	627,749
Medicaid Inspector General, Office of the	43,360	47,343	47,343	47,343	47,343
Stem Cell and Innovation	32,295	37,900	37,900	37,900	37,900
Functional Total	<u>1,112,669</u>	<u>1,158,641</u>	<u>1,120,482</u>	<u>1,111,603</u>	<u>1,103,766</u>
SOCIAL WELFARE					
Children and Family Services, Office of	358,320	355,276	343,495	343,495	347,381
<i>OCFS</i>	358,320	355,276	343,495	343,495	347,381
Housing and Community Renewal, Division of	57,862	58,212	58,343	58,481	58,626
Human Rights, Division of	15,592	14,284	14,414	14,414	14,477
Labor, Department of	340,020	343,588	348,464	348,315	352,945
National and Community Service	19,199	14,559	14,559	14,559	15,679
Temporary and Disability Assistance, Office of	268,426	290,921	307,880	310,350	312,939
<i>All Other</i>	268,426	290,921	307,880	310,350	312,939
Functional Total	<u>1,059,419</u>	<u>1,076,840</u>	<u>1,087,155</u>	<u>1,089,614</u>	<u>1,102,047</u>
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	81,146	76,793	78,102	76,756	76,751
<i>OASAS</i>	37,346	35,505	35,488	35,498	35,499
<i>OASAS - Other</i>	43,800	41,288	42,614	41,258	41,252
Developmental Disabilities Planning Council	2,720	3,542	3,487	3,470	3,441
Justice Center	31,453	39,303	39,311	39,325	39,340
Mental Health, Office of	1,396,773	1,408,215	1,454,646	1,421,004	1,420,735
<i>OMH</i>	338,145	357,718	372,109	355,631	355,510
<i>OMH - Other</i>	1,058,628	1,050,497	1,082,537	1,065,373	1,065,225
Mental Hygiene, Department of	312	0	0	0	0
People with Developmental Disabilities, Office for	1,414,124	1,361,693	1,376,229	1,361,393	1,361,109
<i>OPWDD</i>	1,073	1,181	1,181	1,181	1,181
<i>OPWDD - Other</i>	1,413,051	1,360,512	1,375,048	1,360,212	1,359,928
Quality of Care and Advocacy for Persons With Disabilities, Commission on	4,012	0	0	0	0
Functional Total	<u>2,930,540</u>	<u>2,889,546</u>	<u>2,951,775</u>	<u>2,901,948</u>	<u>2,901,376</u>
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	2,101	2,651	2,651	2,651	2,651
Correctional Services, Department of	2,601,294	2,589,089	2,667,758	2,596,758	2,598,758
Criminal Justice Services, Division of	62,107	51,794	51,960	52,133	52,133
Disaster Assistance	535	(67,580)	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
STATE OPERATIONS
(thousands of dollars)**

	FY 2014 Results	FY 2015 Enacted	FY 2016 Projected	FY 2017 Projected	FY 2018 Projected
Homeland Security and Emergency Services, Division of	160,305	151,144	102,656	53,650	53,650
Indigent Legal Services, Office of	1,093	1,539	1,539	1,539	1,539
Judicial Conduct, Commission on	5,165	5,484	5,484	5,484	5,484
Judicial Nomination, Commission on	45	30	30	30	30
Judicial Screening Committees, New York State	50	38	38	38	38
Military and Naval Affairs, Division of	58,331	59,550	59,462	59,249	59,249
State Police, Division of	668,788	669,962	664,788	664,791	664,796
Statewide Financial System	52,390	29,700	29,706	29,711	29,717
Victim Services, Office of	5,336	6,216	6,299	6,310	6,310
Functional Total	3,617,540	3,499,617	3,592,371	3,472,344	3,474,355
HIGHER EDUCATION					
City University of New York	82,195	89,510	91,302	93,112	94,963
Higher Education - Miscellaneous	267	1,201	1,201	1,201	1,201
Higher Education Services Corporation, New York State	64,477	60,966	60,966	60,966	60,966
State University Construction Fund	0	0	0	0	0
State University of New York	5,999,953	5,966,179	6,084,036	6,219,087	6,324,836
Functional Total	6,146,892	6,117,856	6,237,505	6,374,366	6,481,966
EDUCATION					
Arts, Council on the	3,411	4,220	4,220	4,220	4,220
Education, Department of	326,119	414,401	415,343	275,994	275,994
<i>School Aid</i>	201	299	299	0	0
<i>Special Education Categorical Programs</i>	15,793	0	0	0	0
<i>All Other</i>	310,125	414,102	415,044	275,994	275,994
Functional Total	329,530	418,621	419,563	280,214	280,214
GENERAL GOVERNMENT					
Budget, Division of the	28,540	29,409	29,321	29,323	29,324
Civil Service, Department of	13,131	12,808	12,808	12,808	12,808
Deferred Compensation Board	486	629	630	641	641
Elections, State Board of	9,831	27,484	9,484	8,404	8,404
Employee Relations, Office of	2,282	2,581	2,581	2,581	2,581
Gaming Commission, New York State	137,113	166,402	165,194	165,317	166,398
General Services, Office of	170,641	155,234	154,179	154,180	154,180
Inspector General, Office of the	6,434	6,917	6,917	6,917	6,917
Labor Management Committees	19,637	35,356	35,356	35,356	35,356
Lottery, Division of the	0	0	0	0	0
Prevention of Domestic Violence, Office for	1,417	1,596	1,596	1,596	1,596
Public Employment Relations Board	3,333	3,731	3,731	3,572	3,573
Public Integrity, Commission on	3,610	4,331	4,331	4,331	4,331
Racing and Wagering Board, State	0	0	0	0	0
State, Department of	44,930	52,146	51,365	51,327	50,588
Tax Appeals, Division of	2,818	3,174	3,174	3,174	3,174
Taxation and Finance, Department of	345,699	340,372	333,261	332,635	332,674
Technology, Office for	220,088	420,708	426,848	426,885	426,836
Veterans' Affairs, Division of	5,831	7,452	7,230	7,230	7,230
Welfare Inspector General, Office of	349	972	972	972	972
Workers' Compensation Board	155,486	145,330	145,395	145,415	145,435
Functional Total	1,171,656	1,416,632	1,394,373	1,392,664	1,393,018
ELECTED OFFICIALS					
Audit and Control, Department of	138,288	141,399	141,399	141,399	141,399
Executive Chamber	13,673	13,578	13,578	13,578	13,578
Judiciary	1,843,866	1,932,400	1,932,400	1,932,400	1,932,400
Law, Department of	186,542	195,826	196,485	196,792	197,104
Legislature	207,984	218,795	218,795	218,795	218,795
Lieutenant Governor, Office of the	427	614	614	614	614
Functional Total	2,390,780	2,502,612	2,503,271	2,503,578	2,503,890
ALL OTHER CATEGORIES					
Long-Term Debt Service	37,357	43,369	43,369	43,369	43,369
Miscellaneous	6,354	4,217	4,219	4,219	4,220
Functional Total	43,711	47,586	47,588	47,588	47,589
TOTAL STATE OPERATIONS SPENDING	19,761,723	20,079,175	20,306,454	20,126,343	20,218,197

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	FY 2014 Results	FY 2015 Enacted	FY 2016 Projected	FY 2017 Projected	FY 2018 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	30,432	31,352	31,337	31,367	31,367
Alcoholic Beverage Control, Division of	8,127	8,147	8,147	8,147	8,147
Economic Development, Department of	11,478	13,142	13,142	13,142	13,142
Empire State Development Corporation	500	500	500	500	500
Energy Research and Development Authority	5,181	4,154	4,154	4,154	4,154
Financial Services, Department of	140,574	146,557	146,557	146,557	146,557
Olympic Regional Development Authority	2,533	2,548	2,548	2,548	2,548
Public Service Department	41,500	43,499	43,227	43,227	43,308
Functional Total	240,325	249,899	249,612	249,642	249,723
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	3,843	3,957	3,957	3,957	3,957
Environmental Conservation, Department of	202,238	203,234	203,327	203,322	191,449
Parks, Recreation and Historic Preservation, Office of	139,328	133,316	133,332	133,332	133,332
Functional Total	345,409	340,507	340,616	340,611	328,738
TRANSPORTATION					
Motor Vehicles, Department of	51,102	47,045	47,217	47,280	47,280
Transportation, Department of	13,507	12,737	12,860	12,860	12,860
Functional Total	64,609	59,782	60,077	60,140	60,140
HEALTH					
Aging, Office for the	6,952	7,871	7,746	7,777	7,777
Health, Department of	324,982	365,758	397,938	426,392	426,592
<i>Medicaid Administration</i>	48,119	63,803	77,903	89,903	89,903
<i>Public Health</i>	276,863	301,955	320,035	336,489	336,689
Medicaid Inspector General, Office of the	33,803	35,194	35,194	35,194	35,194
Stem Cell and Innovation	463	472	472	472	472
Functional Total	366,200	409,295	441,350	469,835	470,035
SOCIAL WELFARE					
Children and Family Services, Office of	194,896	186,294	177,959	177,959	178,539
<i>OCFS</i>	194,896	186,294	177,959	177,959	178,539
Housing and Community Renewal, Division of	44,087	47,034	47,326	47,408	47,491
Human Rights, Division of	11,869	12,350	12,448	12,448	12,479
Labor, Department of	247,296	241,405	246,201	246,054	248,270
National and Community Service	634	690	690	690	701
Temporary and Disability Assistance, Office of	134,879	136,209	142,576	143,377	144,186
<i>All Other</i>	134,879	136,209	142,576	143,377	144,186
Functional Total	633,661	623,982	627,200	627,936	631,666
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	58,720	55,246	56,576	55,246	55,248
<i>OASAS</i>	27,084	25,619	25,619	25,619	25,621
<i>OASAS - Other</i>	31,636	29,627	30,957	29,627	29,627
Developmental Disabilities Planning Council	1,045	1,230	1,253	1,253	1,266
Justice Center	12,005	21,916	21,917	21,918	21,919
Mental Health, Office of	1,085,339	1,094,762	1,148,369	1,109,739	1,109,739
<i>OMH</i>	271,437	290,994	308,347	290,994	290,994
<i>OMH - Other</i>	813,902	803,768	840,022	818,745	818,745
People with Developmental Disabilities, Office for	1,149,690	1,102,867	1,117,967	1,102,867	1,102,867
<i>OPWDD</i>	0	0	0	0	0
<i>OPWDD - Other</i>	1,149,690	1,102,867	1,117,967	1,102,867	1,102,867
Quality of Care and Advocacy for Persons With Disabilities, Commission on	1,622	0	0	0	0
Functional Total	2,308,421	2,276,021	2,346,082	2,291,023	2,291,039
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	1,841	2,414	2,414	2,414	2,414
Correctional Services, Department of	2,085,858	2,106,035	2,184,704	2,113,704	2,115,704
Criminal Justice Services, Division of	29,152	31,790	31,953	31,995	31,995
Disaster Assistance	(17,031)	(4,222)	0	0	0
Homeland Security and Emergency Services, Division of	47,128	21,657	21,815	21,815	21,815
Indigent Legal Services, Office of	885	1,004	1,004	1,004	1,004
Judicial Conduct, Commission on	3,904	4,081	4,081	4,081	4,081
Judicial Screening Committees, New York State	0	13	13	13	13

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	FY 2014 Results	FY 2015 Enacted	FY 2016 Projected	FY 2017 Projected	FY 2018 Projected
Military and Naval Affairs, Division of	38,445	28,521	28,521	28,521	28,521
State Police, Division of	567,610	566,862	566,062	566,062	566,062
Statewide Financial System	8,414	10,638	10,638	10,638	10,638
Victim Services, Office of	4,357	5,087	5,166	5,167	5,167
Functional Total	2,770,563	2,773,880	2,856,371	2,785,414	2,787,414
HIGHER EDUCATION					
City University of New York	38,368	41,301	42,363	43,425	44,509
Higher Education - Miscellaneous	179	198	198	198	198
Higher Education Services Corporation, New York State	25,127	18,467	18,467	18,467	18,467
State University Construction Fund	0	0	0	0	0
State University of New York	3,448,446	3,551,986	3,616,200	3,701,268	3,755,709
Functional Total	3,512,120	3,611,952	3,677,228	3,763,358	3,818,883
EDUCATION					
Arts, Council on the	2,128	2,298	2,298	2,298	2,298
Education, Department of	164,020	166,877	168,783	166,008	166,008
<i>School Aid</i>	187	196	196	0	0
<i>Special Education Categorical Programs</i>	8,508	0	0	0	0
<i>All Other</i>	155,325	166,681	168,587	166,008	166,008
Functional Total	166,148	169,175	171,081	168,306	168,306
GENERAL GOVERNMENT					
Budget, Division of the	23,099	23,799	24,755	24,849	24,902
Civil Service, Department of	11,726	12,144	12,144	12,144	12,144
Deferred Compensation Board	399	410	410	410	410
Elections, State Board of	4,119	5,145	5,145	5,065	5,065
Employee Relations, Office of	2,219	2,510	2,510	2,510	2,510
Gaming Commission, New York State	31,461	33,075	35,438	35,504	36,548
General Services, Office of	56,410	62,726	62,726	62,726	62,726
Inspector General, Office of the	6,117	6,360	6,360	6,360	6,360
Labor Management Committees	6,327	5,446	5,446	5,446	5,446
Lottery, Division of the	0	0	0	0	0
Prevention of Domestic Violence, Office for	1,215	1,388	1,388	1,388	1,388
Public Employment Relations Board	2,991	3,495	3,495	3,336	3,336
Public Integrity, Commission on	2,878	3,420	3,420	3,420	3,420
Racing and Wagering Board, State	0	0	0	0	0
State, Department of	32,379	31,714	31,746	31,709	31,671
Tax Appeals, Division of	2,671	2,962	2,962	2,962	2,962
Taxation and Finance, Department of	275,415	275,359	275,359	275,359	275,359
Technology, Office for	134,370	276,101	276,128	276,131	276,101
Veterans' Affairs, Division of	5,349	6,332	6,346	6,346	6,346
Welfare Inspector General, Office of	219	514	514	514	514
Workers' Compensation Board	85,571	80,977	80,977	80,977	80,977
Functional Total	684,935	833,877	837,269	837,156	838,185
ELECTED OFFICIALS					
Audit and Control, Department of	106,972	109,735	109,735	109,735	109,735
Executive Chamber	10,467	10,811	11,135	11,469	11,813
Judiciary	1,456,674	1,483,400	1,483,400	1,483,400	1,483,400
Law, Department of	130,353	133,340	133,709	133,862	134,017
Legislature	158,325	166,331	166,331	166,331	166,331
Lieutenant Governor, Office of the	346	480	498	513	523
Functional Total	1,863,137	1,904,097	1,904,808	1,905,310	1,905,819
ALL OTHER CATEGORIES					
Miscellaneous	2,330	2,492	2,492	2,492	2,492
Functional Total	2,330	2,492	2,492	2,492	2,492
TOTAL PERSONAL SERVICE SPENDING	12,957,858	13,254,959	13,514,186	13,501,223	13,552,440

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2014 Results	FY 2015 Enacted	FY 2016 Projected	FY 2017 Projected	FY 2018 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	32,896	34,725	34,007	34,198	34,384
Alcoholic Beverage Control, Division of	5,210	4,861	4,863	4,865	4,867
Economic Development, Department of	7,565	8,699	8,699	8,499	7,699
Empire State Development Corporation	701	500	500	500	500
Energy Research and Development Authority	11,411	2,397	2,397	2,397	2,397
Financial Services, Department of	56,761	55,529	55,446	55,446	55,446
Olympic Regional Development Authority	1,601	613	613	613	613
Public Service Department	8,056	9,398	9,392	9,392	9,395
Functional Total	124,201	116,722	115,917	115,910	115,301
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	451	685	685	685	685
Environmental Conservation, Department of	77,004	74,063	75,784	75,684	65,637
Parks, Recreation and Historic Preservation, Office of	50,954	46,657	46,657	46,657	46,657
Functional Total	128,409	121,405	123,126	123,026	112,979
TRANSPORTATION					
Motor Vehicles, Department of	20,560	22,521	22,578	22,650	22,650
Thruway Authority, New York State	20,246	24,000	24,000	24,000	24,000
Transportation, Department of	15,227	16,388	16,445	16,445	16,445
Functional Total	56,033	62,909	63,023	63,095	63,095
HEALTH					
Aging, Office for the	1,145	1,200	1,207	1,211	1,211
Health, Department of	703,935	698,569	628,348	590,980	582,943
<i>Medical Assistance</i>	0	0	0	0	0
<i>Medicaid Administration</i>	305,006	319,336	291,863	291,883	291,883
<i>Public Health</i>	398,929	379,233	336,485	299,097	291,060
Medicaid Inspector General, Office of the	9,557	12,149	12,149	12,149	12,149
Stem Cell and Innovation	31,832	37,428	37,428	37,428	37,428
Functional Total	746,469	749,346	679,132	641,768	633,731
SOCIAL WELFARE					
Children and Family Services, Office of	163,424	168,982	165,536	165,536	168,842
<i>OCFS</i>	163,424	168,982	165,536	165,536	168,842
Housing and Community Renewal, Division of	13,775	11,178	11,017	11,073	11,135
Human Rights, Division of	3,723	1,934	1,966	1,966	1,998
Labor, Department of	92,724	102,183	102,263	102,261	104,675
National and Community Service	18,565	13,869	13,869	13,869	14,978
Temporary and Disability Assistance, Office of	133,547	154,712	165,304	166,973	168,753
<i>All Other</i>	133,547	154,712	165,304	166,973	168,753
Functional Total	425,758	452,858	459,955	461,678	470,381
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	22,426	21,547	21,526	21,510	21,503
<i>OASAS</i>	10,262	9,886	9,869	9,879	9,878
<i>OASAS - Other</i>	12,164	11,661	11,657	11,631	11,625
Developmental Disabilities Planning Council	1,675	2,312	2,234	2,217	2,175
Justice Center	19,448	17,387	17,394	17,407	17,421
Mental Health, Office of	311,434	313,453	306,277	311,265	310,996
<i>OMH</i>	66,708	66,724	63,762	64,637	64,516
<i>OMH - Other</i>	244,726	246,729	242,515	246,628	246,480
Mental Hygiene, Department of	312	0	0	0	0
People with Developmental Disabilities, Office for	264,434	258,826	258,262	258,526	258,242
<i>OPWDD</i>	1,073	1,181	1,181	1,181	1,181
<i>OPWDD - Other</i>	263,361	257,645	257,081	257,345	257,061
Quality of Care and Advocacy for Persons With Disabilities, Commission on	2,390	0	0	0	0
Functional Total	622,119	613,525	605,693	610,925	610,337
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	260	237	237	237	237
Correctional Services, Department of	515,436	483,054	483,054	483,054	483,054
Criminal Justice Services, Division of	32,955	20,004	20,007	20,138	20,138
Disaster Assistance	17,566	(63,358)	0	0	0
Homeland Security and Emergency Services, Division of	113,177	129,487	80,841	31,835	31,835

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2014 Results	FY 2015 Enacted	FY 2016 Projected	FY 2017 Projected	FY 2018 Projected
Indigent Legal Services, Office of	208	535	535	535	535
Judicial Conduct, Commission on	1,261	1,403	1,403	1,403	1,403
Judicial Nomination, Commission on	45	30	30	30	30
Judicial Screening Committees, New York State	50	25	25	25	25
Military and Naval Affairs, Division of	19,886	31,029	30,941	30,728	30,728
State Police, Division of	101,178	103,100	98,726	98,729	98,734
Statewide Financial System	43,976	19,062	19,068	19,073	19,079
Victim Services, Office of	979	1,129	1,133	1,143	1,143
Functional Total	846,977	725,737	736,000	686,930	686,941
HIGHER EDUCATION					
City University of New York	43,827	48,209	48,939	49,687	50,454
Higher Education - Miscellaneous	88	1,003	1,003	1,003	1,003
Higher Education Services Corporation, New York State	39,350	42,499	42,499	42,499	42,499
State University Construction Fund	0	0	0	0	0
State University of New York	2,551,507	2,414,193	2,467,836	2,517,819	2,569,127
Functional Total	2,634,772	2,505,904	2,560,277	2,611,008	2,663,083
EDUCATION					
Arts, Council on the	1,283	1,922	1,922	1,922	1,922
Education, Department of	162,099	247,524	246,560	109,986	109,986
<i>School Aid</i>	14	103	103	0	0
<i>Special Education Categorical Programs</i>	7,285	0	0	0	0
<i>All Other</i>	154,800	247,421	246,457	109,986	109,986
Functional Total	163,382	249,446	248,482	111,908	111,908
GENERAL GOVERNMENT					
Budget, Division of the	5,441	5,610	4,566	4,474	4,422
Civil Service, Department of	1,405	664	664	664	664
Deferred Compensation Board	87	219	220	231	231
Elections, State Board of	5,712	22,339	4,339	3,339	3,339
Employee Relations, Office of	63	71	71	71	71
Gaming Commission, New York State	105,652	133,327	129,756	129,813	129,850
General Services, Office of	114,231	92,508	91,453	91,454	91,454
Inspector General, Office of the	317	557	557	557	557
Labor Management Committees	13,310	29,910	29,910	29,910	29,910
Lottery, Division of the	0	0	0	0	0
Prevention of Domestic Violence, Office for	202	208	208	208	208
Public Employment Relations Board	342	236	236	236	237
Public Integrity, Commission on	732	911	911	911	911
Racing and Wagering Board, State	0	0	0	0	0
State, Department of	12,551	20,432	19,619	19,618	18,917
Tax Appeals, Division of	147	212	212	212	212
Taxation and Finance, Department of	70,284	65,013	57,902	57,276	57,315
Technology, Office for	85,718	144,607	150,720	150,754	150,735
Veterans' Affairs, Division of	482	1,120	884	884	884
Welfare Inspector General, Office of	130	458	458	458	458
Workers' Compensation Board	69,915	64,353	64,418	64,438	64,458
Functional Total	486,721	582,755	557,104	555,508	554,833
ELECTED OFFICIALS					
Audit and Control, Department of	31,316	31,664	31,664	31,664	31,664
Executive Chamber	3,206	2,767	2,443	2,109	1,765
Judiciary	387,192	449,000	449,000	449,000	449,000
Law, Department of	56,189	62,486	62,776	62,930	63,087
Legislature	49,659	52,464	52,464	52,464	52,464
Lieutenant Governor, Office of the	81	134	116	101	91
Functional Total	527,643	598,515	598,463	598,268	598,071
ALL OTHER CATEGORIES					
Long-Term Debt Service	37,357	43,369	43,369	43,369	43,369
Miscellaneous	4,024	1,725	1,727	1,727	1,728
Functional Total	41,381	45,094	45,096	45,096	45,097
TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING	6,803,865	6,824,216	6,792,268	6,625,120	6,665,757

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	FY 2014 Results	FY 2015 Enacted	FY 2016 Projected	FY 2017 Projected	FY 2018 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	3,045	2,826	2,980	3,110	3,220
Alcoholic Beverage Control, Division of	4,649	4,529	4,643	4,798	4,973
Economic Development, Department of	0	28	28	28	28
Energy Research and Development Authority	2,454	2,168	2,240	2,240	2,240
Financial Services, Department of	78,252	83,127	84,505	86,556	88,946
Public Service Department	20,925	24,170	24,912	25,510	26,256
Functional Total	109,325	116,848	119,308	122,242	125,663
PARKS AND THE ENVIRONMENT					
Environmental Conservation, Department of	59,445	54,334	55,275	56,427	50,343
Parks, Recreation and Historic Preservation, Office of	2,912	3,516	3,525	3,525	3,525
Functional Total	62,357	57,850	58,800	59,952	53,868
TRANSPORTATION					
Motor Vehicles, Department of	27,282	26,407	27,241	28,014	28,872
Transportation, Department of	7,791	7,237	7,480	7,585	7,707
Functional Total	35,073	33,644	34,721	35,599	36,579
HEALTH					
Aging, Office for the	0	22	22	22	22
Health, Department of	66,433	57,386	60,025	61,167	61,267
<i>Public Health</i>	66,433	57,386	60,025	61,167	61,267
Medicaid Inspector General, Office of the	10,081	9,375	9,375	9,375	9,375
Stem Cell and Innovation	276	0	0	0	0
Functional Total	76,790	66,783	69,422	70,564	70,664
SOCIAL WELFARE					
Children and Family Services, Office of	15,463	15,193	15,565	15,565	15,758
<i>OCFS</i>	15,463	15,193	15,565	15,565	15,758
Housing and Community Renewal, Division of	20,213	20,815	20,762	20,762	20,810
Labor, Department of	140,409	134,895	143,254	147,037	148,365
Temporary and Disability Assistance, Office of	40,913	40,393	44,973	44,973	44,973
<i>All Other</i>	40,913	40,393	44,973	44,973	44,973
Functional Total	216,998	211,296	224,554	228,337	229,906
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	32,568	32,521	33,621	34,063	34,795
<i>OASAS</i>	15,166	15,087	15,466	16,023	16,396
<i>OASAS - Other</i>	17,402	17,434	18,155	18,040	18,399
Developmental Disabilities Planning Council	428	658	713	730	759
Justice Center	464	1,726	1,756	1,794	1,843
Mental Health, Office of	585,796	618,428	638,607	647,030	658,752
<i>OMH</i>	191,570	160,860	172,919	173,282	175,354
<i>OMH - Other</i>	394,226	457,568	465,688	473,748	483,398
People with Developmental Disabilities, Office for	624,001	626,211	647,669	668,282	680,927
<i>OPWDD</i>	0	0	0	0	0
<i>OPWDD - Other</i>	624,001	626,211	647,669	668,282	680,927
Quality of Care and Advocacy for Persons With Disabilities, Commission on	35	0	0	0	0
Functional Total	1,243,292	1,279,544	1,322,366	1,351,899	1,377,076
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correctional Services, Department of	959	1,469	1,472	1,459	1,463
Criminal Justice Services, Division of	531	1,702	3,320	3,448	3,448
Homeland Security and Emergency Services, Division of	6,516	3,805	3,961	3,994	4,028
Indigent Legal Services, Office of	489	378	378	378	378
Military and Naval Affairs, Division of	4,887	6,740	7,039	7,252	7,252
State Police, Division of	4,608	5,057	5,326	5,509	5,713
Victim Services, Office of	1,363	2,382	2,563	2,562	2,562
Functional Total	19,353	21,533	24,059	24,602	24,844
HIGHER EDUCATION					
City University of New York	6,072	6,000	6,000	6,000	6,000
Higher Education - Miscellaneous	99	99	99	99	99
Higher Education Services Corporation, New York State	10,632	10,430	10,667	11,020	11,432
State University Construction Fund	0	0	0	0	0

CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
GENERAL STATE CHARGES
(thousands of dollars)

	FY 2014 Results	FY 2015 Enacted	FY 2016 Projected	FY 2017 Projected	FY 2018 Projected
State University of New York	578,157	609,249	607,396	613,318	619,342
Functional Total	594,960	625,778	624,162	630,437	636,873
EDUCATION					
Education, Department of	82,798	80,743	84,192	83,364	84,286
<i>School Aid</i>	59	141	141	0	0
<i>Special Education Categorical Programs</i>	4,994	0	0	0	0
<i>All Other</i>	77,745	80,602	84,051	83,364	84,286
Functional Total	82,798	80,743	84,192	83,364	84,286
GENERAL GOVERNMENT					
Budget, Division of the	2,220	1,496	1,443	1,557	1,657
Civil Service, Department of	144	172	176	176	176
Deferred Compensation Board	203	225	225	225	225
Gaming Commission, New York State	14,433	18,707	19,760	19,846	20,463
General Services, Office of	2,205	2,257	2,360	2,443	2,461
Lottery, Division of the	0	0	0	0	0
Prevention of Domestic Violence, Office for	1	0	0	0	0
Racing and Wagering Board, State	0	0	0	0	0
State, Department of	8,726	11,680	11,921	12,206	12,443
Taxation and Finance, Department of	22,115	23,239	24,168	24,832	24,832
Veterans' Affairs, Division of	219	350	350	350	350
Welfare Inspector General, Office of	6	0	0	0	0
Workers' Compensation Board	45,500	45,917	48,228	49,948	51,902
Functional Total	95,772	104,043	108,631	111,583	114,509
ELECTED OFFICIALS					
Audit and Control, Department of	1,676	1,663	1,740	1,795	1,859
Judiciary	650,646	669,103	679,903	690,753	701,553
Law, Department of	26,241	25,410	26,338	26,712	27,133
Functional Total	678,563	696,176	707,981	719,260	730,545
ALL OTHER CATEGORIES					
General State Charges	4,045,490	4,215,492	4,455,530	4,593,122	4,696,130
Miscellaneous	19,140	5,896	5,928	5,928	5,931
Functional Total	4,064,630	4,221,388	4,461,458	4,599,050	4,702,061
TOTAL GENERAL STATE CHARGES SPENDING	7,279,911	7,515,626	7,839,654	8,036,889	8,186,874

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
CAPITAL PROJECTS
(thousands of dollars)**

	<u>FY 2014 Results</u>	<u>FY 2015 Enacted</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>	<u>FY 2018 Projected</u>
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	5,681	9,899	4,388	4,001	4,000
Economic Development Capital	45	14,000	14,000	23,000	23,000
Economic Development, Department of	109	19,859	16,667	5,000	0
Empire State Development Corporation	34,587	133,743	498,989	664,231	559,529
Energy Research and Development Authority	5,946	20,100	25,000	18,000	13,000
Olympic Regional Development Authority	0	6,900	0	0	0
Regional Economic Development Program	0	1,500	1,500	1,500	1,500
Strategic Investment Program	0	5,000	5,000	5,000	5,000
Functional Total	<u>46,368</u>	<u>211,001</u>	<u>565,544</u>	<u>720,732</u>	<u>606,029</u>
PARKS AND THE ENVIRONMENT					
Environmental Conservation, Department of	230,079	416,460	388,603	368,803	306,738
Hudson River Park Trust	10,008	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	138,892	99,827	114,400	121,150	125,650
Functional Total	<u>378,979</u>	<u>516,287</u>	<u>503,003</u>	<u>489,953</u>	<u>432,388</u>
TRANSPORTATION					
Metropolitan Transportation Authority	0	0	0	0	0
Motor Vehicles, Department of	194,828	195,646	190,697	191,942	193,390
Thruway Authority, New York State	0	1,800	1,800	1,800	1,800
Transportation, Department of	3,103,397	2,995,452	2,641,825	2,639,429	2,757,341
Functional Total	<u>3,298,225</u>	<u>3,192,898</u>	<u>2,834,322</u>	<u>2,833,171</u>	<u>2,952,531</u>
HEALTH					
Health, Department of	15,237	70,500	82,500	78,500	13,500
<i>Public Health</i>	15,237	70,500	82,500	78,500	13,500
Functional Total	<u>15,237</u>	<u>70,500</u>	<u>82,500</u>	<u>78,500</u>	<u>13,500</u>
SOCIAL WELFARE					
Children and Family Services, Office of	22,311	20,914	20,931	20,931	20,931
<i>OCFS</i>	22,311	20,914	20,931	20,931	20,931
Housing and Community Renewal, Division of	2,864	3,002	3,004	3,000	3,000
Functional Total	<u>25,175</u>	<u>23,916</u>	<u>23,935</u>	<u>23,931</u>	<u>23,931</u>
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	(144)	13,083	13,083	13,083	13,083
<i>OASAS</i>	(144)	13,083	13,083	13,083	13,083
Mental Health, Office of	124,358	85,180	85,283	85,123	85,123
<i>OMH</i>	124,358	85,180	85,283	85,123	85,123
People with Developmental Disabilities, Office for	39,136	39,220	39,220	39,220	39,220
<i>OPWDD</i>	39,136	39,220	39,220	39,220	39,220
<i>OPWDD - Other</i>	0	0	0	0	0
Functional Total	<u>163,350</u>	<u>137,483</u>	<u>137,586</u>	<u>137,426</u>	<u>137,426</u>
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correctional Services, Department of	231,303	233,010	235,160	239,064	241,064
Disaster Assistance	0	0	0	0	0
Homeland Security and Emergency Services, Division of	19,296	91,569	74,900	8,000	0
Military and Naval Affairs, Division of	19,469	51,869	41,607	35,607	20,000
State Police, Division of	11,897	34,583	25,800	20,000	11,065
Functional Total	<u>281,965</u>	<u>411,031</u>	<u>377,467</u>	<u>302,671</u>	<u>272,129</u>
HIGHER EDUCATION					
City University of New York	37,583	40,000	35,000	35,000	35,400
Higher Education Facilities Capital Matching Grants Program	0	0	3,000	9,026	10,000
State University of New York	1,068,808	1,097,246	958,336	899,894	838,910
Functional Total	<u>1,106,391</u>	<u>1,137,246</u>	<u>996,336</u>	<u>943,920</u>	<u>884,310</u>
EDUCATION					
Education, Department of	7,628	16,052	1,044,486	376,896	373,400
<i>School Aid</i>	0	0	1,000,000	350,000	350,000
<i>All Other</i>	7,628	16,052	44,486	26,896	23,400
Functional Total	<u>7,628</u>	<u>16,052</u>	<u>1,044,486</u>	<u>376,896</u>	<u>373,400</u>

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
CAPITAL PROJECTS
(thousands of dollars)**

	<u>FY 2014 Results</u>	<u>FY 2015 Enacted</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>	<u>FY 2018 Projected</u>
GENERAL GOVERNMENT					
General Services, Office of	76,599	115,383	97,883	69,883	69,883
State, Department of	0	1,362	641	9,985	(15)
Technology, Office for	18,379	41,247	77,510	24,135	29,269
Functional Total	<u>94,978</u>	<u>157,992</u>	<u>176,034</u>	<u>104,003</u>	<u>99,137</u>
ELECTED OFFICIALS					
Judiciary	0	0	0	0	0
Law, Department of	0	1,000	2,000	3,000	2,000
Functional Total	<u>0</u>	<u>1,000</u>	<u>2,000</u>	<u>3,000</u>	<u>2,000</u>
ALL OTHER CATEGORIES					
Miscellaneous	93,911	116,000	373,000	354,000	352,000
Functional Total	<u>93,911</u>	<u>116,000</u>	<u>373,000</u>	<u>354,000</u>	<u>352,000</u>
TOTAL CAPITAL PROJECTS SPENDING	<u><u>5,512,207</u></u>	<u><u>5,991,406</u></u>	<u><u>7,116,213</u></u>	<u><u>6,368,203</u></u>	<u><u>6,148,781</u></u>

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
(thousands of dollars)**

	FY 2014 Results	FY 2015 Enacted	FY 2016 Projected	FY 2017 Projected	FY 2018 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	80,974	95,349	85,179	84,871	84,919
Alcoholic Beverage Control, Division of	17,986	17,537	17,653	17,810	17,987
Economic Development Capital	11,358	14,000	14,000	23,000	23,000
Economic Development, Department of	81,249	112,010	112,170	91,303	85,503
Empire State Development Corporation	553,490	721,180	948,473	898,961	865,349
Energy Research and Development Authority	34,463	36,258	41,230	34,230	29,230
Financial Services, Department of	502,023	502,165	502,460	504,511	506,901
Olympic Regional Development Authority	4,134	10,061	3,161	3,161	3,161
Public Service Department	68,323	74,955	75,791	76,373	77,183
Regional Economic Development Program	172	1,500	1,500	1,500	1,500
Strategic Investment Program	1,899	5,000	5,000	5,000	5,000
Functional Total	1,356,071	1,590,015	1,806,617	1,740,720	1,699,733
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	4,169	4,292	4,292	4,292	4,292
Environmental Conservation, Department of	657,846	749,270	715,149	693,986	596,585
Hudson River Park Trust	10,008	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	332,094	285,543	299,691	306,441	311,116
Functional Total	1,004,117	1,039,105	1,019,132	1,004,719	911,993
TRANSPORTATION					
Metropolitan Transportation Authority	62,519	183,229	512,171	310,000	0
Motor Vehicles, Department of	289,693	282,588	278,232	280,162	282,407
Thruway Authority, New York State	22,497	25,800	25,800	25,800	25,800
Transportation, Department of	6,852,239	7,508,546	7,475,592	7,562,717	7,724,359
Functional Total	7,226,948	8,000,163	8,291,795	8,178,679	8,032,566
HEALTH					
Aging, Office for the	113,350	122,575	128,764	132,229	135,494
Health, Department of	19,300,958	19,428,882	20,288,044	20,988,513	21,712,006
<i>Medical Assistance</i>	15,734,887	16,278,782	17,147,151	17,917,732	18,687,102
<i>Medicaid Administration</i>	647,566	682,897	593,397	593,397	593,397
<i>Public Health</i>	2,918,505	2,467,203	2,547,496	2,477,384	2,431,507
Medicaid Inspector General, Office of the	20,202	22,776	22,776	22,776	22,776
Stem Cell and Innovation	32,571	37,900	37,900	37,900	37,900
Functional Total	19,467,081	19,612,133	20,477,484	21,181,418	21,908,176
SOCIAL WELFARE					
Children and Family Services, Office of	2,050,265	1,832,588	2,028,289	2,072,951	2,128,877
<i>OCFS</i>	1,962,083	1,744,252	1,936,486	1,977,471	2,029,498
<i>OCFS - Other</i>	88,182	88,336	91,803	95,480	99,379
Housing and Community Renewal, Division of	159,530	157,682	191,014	207,063	213,613
Human Rights, Division of	11,391	10,332	10,332	10,332	10,332
Labor, Department of	69,300	81,069	66,473	66,473	66,473
National and Community Service	763	687	687	687	687
Temporary and Disability Assistance, Office of	1,521,604	1,410,370	1,460,286	1,494,186	1,499,086
<i>Welfare Assistance</i>	1,245,962	1,132,463	1,152,823	1,162,823	1,172,323
<i>All Other</i>	275,642	277,907	307,463	331,363	326,763
Functional Total	3,812,853	3,492,728	3,757,081	3,851,692	3,919,068
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	451,843	469,547	485,393	502,542	521,457
<i>OASAS</i>	369,316	389,500	403,299	421,919	440,481
<i>OASAS - Other</i>	82,527	80,047	82,094	80,623	80,976
Justice Center	32,017	40,995	41,017	41,054	41,100
Mental Health, Office of	3,225,418	3,307,102	3,438,488	3,489,016	3,621,254
<i>OMH</i>	1,420,707	1,460,782	1,539,330	1,577,457	1,657,335
<i>OMH - Other</i>	1,804,711	1,846,320	1,899,158	1,911,559	1,963,919
Mental Hygiene, Department of	312	0	0	0	0
People with Developmental Disabilities, Office for	3,441,088	3,492,968	3,598,813	3,534,990	3,895,546
<i>OPWDD</i>	487,283	429,025	362,187	350,661	358,853
<i>OPWDD - Other</i>	2,953,805	3,063,943	3,236,626	3,184,329	3,536,693
Quality of Care and Advocacy for Persons With Disabilities, Commission on	1,953	0	0	0	0
Functional Total	7,152,631	7,310,612	7,563,711	7,567,602	8,079,357
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	2,101	2,651	2,651	2,651	2,651

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
(thousands of dollars)**

	FY 2014 Results	FY 2015 Enacted	FY 2016 Projected	FY 2017 Projected	FY 2018 Projected
Correctional Services, Department of	2,820,178	2,810,970	2,892,123	2,825,032	2,829,036
Corrections and Community Supervision Medicaid, Department of	0	11,500	12,500	12,500	12,500
Criminal Justice Services, Division of	194,175	222,805	193,851	193,854	193,854
Disaster Assistance	33,106	(77,580)	0	0	0
Homeland Security and Emergency Services, Division of	82,232	190,314	202,315	110,949	66,983
Indigent Legal Services, Office of	54,584	67,317	82,917	82,917	82,917
Judicial Conduct, Commission on	5,165	5,484	5,484	5,484	5,484
Judicial Nomination, Commission on	45	30	30	30	30
Judicial Screening Committees, New York State	50	38	38	38	38
Military and Naval Affairs, Division of	37,193	40,194	38,294	38,294	36,049
State Police, Division of	663,033	689,302	676,414	670,800	662,074
Statewide Financial System	52,390	29,700	29,706	29,711	29,717
Victim Services, Office of	29,503	34,346	34,513	34,512	34,512
Functional Total	3,973,755	4,027,071	4,170,836	4,006,772	3,955,845
HIGHER EDUCATION					
City University of New York	1,468,018	1,529,670	1,573,242	1,623,804	1,678,468
Higher Education - Miscellaneous	366	1,300	1,300	1,300	1,300
Higher Education Facilities Capital Matching Grants Program	8,353	7,000	10,000	10,000	10,000
Higher Education Services Corporation, New York State	1,059,863	1,097,909	1,128,701	1,142,718	1,152,626
State University Construction Fund	0	0	0	0	0
State University of New York	7,825,689	7,926,032	7,909,141	7,991,672	8,042,461
Functional Total	10,362,289	10,561,911	10,622,384	10,769,494	10,884,855
EDUCATION					
Arts, Council on the	26,401	47,053	40,053	40,053	40,053
Education, Department of	25,968,251	27,439,409	30,199,631	30,786,757	32,208,365
<i>School Aid</i>	20,420,108	21,671,173	24,288,558	24,644,355	25,851,722
<i>STAR Property Tax Relief</i>	3,356,792	3,429,375	3,478,479	3,573,844	3,615,792
<i>Special Education Categorical Programs</i>	1,408,456	1,496,331	1,593,496	1,724,096	1,841,196
<i>All Other</i>	782,895	842,530	839,098	844,462	899,655
Functional Total	25,994,652	27,486,462	30,239,684	30,826,810	32,248,418
GENERAL GOVERNMENT					
Budget, Division of the	30,760	30,905	30,764	30,880	30,981
Civil Service, Department of	13,275	12,980	12,984	12,984	12,984
Deferred Compensation Board	689	854	855	866	866
Elections, State Board of	5,160	10,404	8,404	8,404	8,404
Employee Relations, Office of	2,282	2,581	2,581	2,581	2,581
Gaming Commission, New York State	151,546	185,109	224,954	232,363	252,161
General Services, Office of	243,151	267,887	249,435	221,519	221,537
Inspector General, Office of the	6,434	6,917	6,917	6,917	6,917
Labor Management Committees	19,637	35,356	35,356	35,356	35,356
Lottery, Division of the	0	0	0	0	0
Prevention of Domestic Violence, Office for	2,016	2,281	2,281	2,281	2,281
Public Employment Relations Board	3,333	3,731	3,731	3,572	3,573
Public Integrity, Commission on	3,610	4,331	4,331	4,331	4,331
Racing and Wagering Board, State	0	0	0	0	0
State, Department of	57,312	68,475	57,427	66,935	56,433
Tax Appeals, Division of	2,818	3,174	3,174	3,174	3,174
Taxation and Finance, Department of	368,301	363,317	357,135	357,173	357,212
Technology, Office for	238,467	461,955	504,358	451,020	456,105
Veterans' Affairs, Division of	12,356	14,319	13,479	13,479	13,479
Welfare Inspector General, Office of	355	972	972	972	972
Workers' Compensation Board	193,433	187,623	189,999	191,739	193,713
Functional Total	1,354,935	1,663,171	1,709,137	1,646,546	1,663,060
ELECTED OFFICIALS					
Audit and Control, Department of	171,989	175,086	175,163	175,218	175,282
Executive Chamber	13,673	13,578	13,578	13,578	13,578
Judiciary	2,592,838	2,716,603	2,727,403	2,738,253	2,749,053
Law, Department of	177,527	184,214	185,214	186,219	185,224
Legislature	207,984	218,795	218,795	218,795	218,795
Lieutenant Governor, Office of the	427	614	614	614	614
Functional Total	3,164,438	3,308,890	3,320,767	3,332,677	3,342,546
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	718,950	738,814	749,293	759,576	763,491
Efficiency Incentive Grants Program	4,987	3,230	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
(thousands of dollars)**

	<u>FY 2014 Results</u>	<u>FY 2015 Enacted</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>	<u>FY 2018 Projected</u>
Miscellaneous Financial Assistance	4,873	7,798	2,023	2,423	1,623
Municipalities with VLT Facilities	27,246	29,331	27,246	27,246	27,246
Small Government Assistance	217	218	218	218	218
Functional Total	<u>756,273</u>	<u>779,391</u>	<u>778,780</u>	<u>789,463</u>	<u>792,578</u>
ALL OTHER CATEGORIES					
General State Charges	4,045,490	4,215,492	4,455,530	4,593,122	4,696,130
Long-Term Debt Service	6,437,053	5,691,731	5,950,911	6,725,437	7,054,005
Miscellaneous	246,230	216,890	410,529	423,529	423,533
Functional Total	<u>10,728,773</u>	<u>10,124,113</u>	<u>10,816,970</u>	<u>11,742,088</u>	<u>12,173,668</u>
TOTAL STATE FUNDS SPENDING	<u><u>96,354,816</u></u>	<u><u>98,995,765</u></u>	<u><u>104,574,378</u></u>	<u><u>106,638,680</u></u>	<u><u>109,611,863</u></u>

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
LOCAL ASSISTANCE GRANTS
(thousands of dollars)**

	FY 2014 Results	FY 2015 Enacted	FY 2016 Projected	FY 2017 Projected	FY 2018 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	21,416	29,754	25,955	25,955	25,955
Economic Development Capital	11,313	0	0	0	0
Economic Development, Department of	62,334	70,527	73,879	64,879	64,879
Empire State Development Corporation	517,702	586,437	448,484	233,730	304,820
Energy Research and Development Authority	9,471	7,439	7,439	7,439	7,439
Financial Services, Department of	228,507	216,952	215,952	215,952	215,952
Public Service Department	0	200	200	200	200
Regional Economic Development Program	172	0	0	0	0
Strategic Investment Program	1,899	0	0	0	0
Functional Total	852,814	911,309	771,909	548,155	619,245
PARKS AND THE ENVIRONMENT					
Environmental Conservation, Department of	150,419	67,542	59,292	56,874	49,542
Parks, Recreation and Historic Preservation, Office of	8,144	7,850	7,425	7,425	7,600
Functional Total	158,563	75,392	66,717	64,299	57,142
TRANSPORTATION					
Metropolitan Transportation Authority	62,519	183,229	512,171	310,000	0
Thruway Authority, New York State	2,251	0	0	0	0
Transportation, Department of	4,741,445	5,417,903	5,432,515	5,488,532	5,570,375
Functional Total	4,806,215	5,601,132	5,944,686	5,798,532	5,570,375
HEALTH					
Aging, Office for the	111,742	121,135	127,324	130,789	134,054
Health, Department of	18,703,191	18,678,606	19,424,436	20,148,682	20,944,912
<i>Medical Assistance</i>	15,734,887	16,278,782	17,147,151	17,917,732	18,687,102
<i>Medicaid Administration</i>	506,256	452,558	376,431	364,411	364,411
<i>Public Health</i>	2,462,048	1,947,266	1,900,854	1,866,539	1,893,399
Functional Total	18,814,933	18,799,741	19,551,760	20,279,471	21,078,966
SOCIAL WELFARE					
Children and Family Services, Office of	1,750,405	1,545,029	1,753,113	1,797,775	1,853,704
<i>OCFS</i>	1,662,223	1,456,693	1,661,310	1,702,295	1,754,325
<i>OCFS - Other</i>	88,182	88,336	91,803	95,480	99,379
Housing and Community Renewal, Division of	95,684	92,182	125,714	141,763	148,313
Labor, Department of	6,340	14,746	150	150	150
National and Community Service	420	350	350	350	350
Temporary and Disability Assistance, Office of	1,382,689	1,260,159	1,299,419	1,333,319	1,338,219
<i>Welfare Assistance</i>	1,245,962	1,132,463	1,152,823	1,162,823	1,172,323
<i>All Other</i>	136,727	127,696	146,596	170,496	165,896
Functional Total	3,235,538	2,912,466	3,178,746	3,273,357	3,340,736
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	346,058	355,117	369,429	387,613	405,918
<i>OASAS</i>	324,733	333,792	348,104	366,288	384,593
<i>OASAS - Other</i>	21,325	21,325	21,325	21,325	21,325
Justice Center	347	620	620	620	620
Mental Health, Office of	1,120,601	1,196,329	1,261,021	1,336,937	1,457,731
<i>OMH</i>	768,744	858,074	910,088	964,499	1,042,435
<i>OMH - Other</i>	351,857	338,255	350,933	372,438	415,296
People with Developmental Disabilities, Office for	1,364,799	1,466,844	1,536,695	1,467,095	1,815,290
<i>OPWDD</i>	448,046	389,624	322,786	311,260	319,452
<i>OPWDD - Other</i>	916,753	1,077,220	1,213,909	1,155,835	1,495,838
Quality of Care and Advocacy for Persons With Disabilities, Commission on	286	0	0	0	0
Functional Total	2,832,091	3,018,910	3,167,765	3,192,265	3,679,559
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correctional Services, Department of	5,253	6,022	6,022	6,022	6,022
Corrections and Community Supervision Medicaid, Department of	0	11,500	12,500	12,500	12,500
Criminal Justice Services, Division of	143,295	182,943	153,984	153,984	153,984
Disaster Assistance	32,571	(10,000)	0	0	0
Homeland Security and Emergency Services, Division of	22,870	57,396	86,023	61,523	25,523
Indigent Legal Services, Office of	53,002	65,400	81,000	81,000	81,000
Military and Naval Affairs, Division of	718	967	967	967	967
Victim Services, Office of	24,216	28,182	28,182	28,182	28,182

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
LOCAL ASSISTANCE GRANTS
(thousands of dollars)**

	FY 2014 Results	FY 2015 Enacted	FY 2016 Projected	FY 2017 Projected	FY 2018 Projected
Functional Total	<u>281,925</u>	<u>342,410</u>	<u>368,678</u>	<u>344,178</u>	<u>308,178</u>
HIGHER EDUCATION					
City University of New York	1,345,524	1,394,160	1,440,940	1,489,692	1,542,105
Higher Education Facilities Capital Matching Grants Program	8,353	7,000	7,000	974	0
Higher Education Services Corporation, New York State	989,713	1,033,565	1,064,120	1,077,784	1,087,280
State University of New York	<u>480,927</u>	<u>488,269</u>	<u>494,284</u>	<u>494,284</u>	<u>494,284</u>
Functional Total	<u>2,824,517</u>	<u>2,922,994</u>	<u>3,006,344</u>	<u>3,062,734</u>	<u>3,123,669</u>
EDUCATION					
Arts, Council on the	22,990	42,933	35,933	35,933	35,933
Education, Department of	<u>25,794,204</u>	<u>27,261,044</u>	<u>28,993,579</u>	<u>30,247,513</u>	<u>31,671,695</u>
<i>School Aid</i>	20,420,108	21,671,173	23,288,558	24,294,355	25,501,722
<i>STAR Property Tax Relief</i>	3,356,792	3,429,375	3,478,479	3,573,844	3,615,792
<i>Special Education Categorical Programs</i>	1,408,456	1,496,331	1,593,496	1,724,096	1,841,196
<i>All Other</i>	<u>608,848</u>	<u>664,165</u>	<u>633,046</u>	<u>655,218</u>	<u>712,985</u>
Functional Total	<u>25,817,194</u>	<u>27,303,977</u>	<u>29,029,512</u>	<u>30,283,446</u>	<u>31,707,628</u>
GENERAL GOVERNMENT					
Elections, State Board of	105	2,000	0	0	0
Gaming Commission, New York State	0	0	40,000	47,200	65,300
Prevention of Domestic Violence, Office for	658	685	685	685	685
State, Department of	8,036	13,551	3,979	3,979	3,979
Taxation and Finance, Department of	959	926	926	926	926
Veterans' Affairs, Division of	<u>7,034</u>	<u>8,227</u>	<u>7,637</u>	<u>7,637</u>	<u>7,637</u>
Functional Total	<u>16,792</u>	<u>25,389</u>	<u>53,227</u>	<u>60,427</u>	<u>78,527</u>
ELECTED OFFICIALS					
Audit and Control, Department of	32,025	32,024	32,024	32,024	32,024
Judiciary	<u>104,079</u>	<u>121,600</u>	<u>121,600</u>	<u>121,600</u>	<u>121,600</u>
Functional Total	<u>136,104</u>	<u>153,624</u>	<u>153,624</u>	<u>153,624</u>	<u>153,624</u>
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	718,950	738,814	749,293	759,576	763,491
Efficiency Incentive Grants Program	4,987	3,230	0	0	0
Miscellaneous Financial Assistance	4,873	7,798	2,023	2,423	1,623
Municipalities with VLT Facilities	27,246	29,331	27,246	27,246	27,246
Small Government Assistance	<u>217</u>	<u>218</u>	<u>218</u>	<u>218</u>	<u>218</u>
Functional Total	<u>756,273</u>	<u>779,391</u>	<u>778,780</u>	<u>789,463</u>	<u>792,578</u>
ALL OTHER CATEGORIES					
Miscellaneous	<u>143,184</u>	<u>116,777</u>	<u>52,382</u>	<u>83,382</u>	<u>83,382</u>
Functional Total	<u>143,184</u>	<u>116,777</u>	<u>52,382</u>	<u>83,382</u>	<u>83,382</u>
TOTAL LOCAL ASSISTANCE GRANTS SPENDING	<u>60,676,143</u>	<u>62,963,512</u>	<u>66,124,130</u>	<u>67,933,333</u>	<u>70,593,609</u>

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
STATE OPERATIONS
(thousands of dollars)**

	FY 2014 Results	FY 2015 Enacted	FY 2016 Projected	FY 2017 Projected	FY 2018 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	52,594	54,063	53,163	53,166	53,166
Alcoholic Beverage Control, Division of	13,337	13,008	13,010	13,012	13,014
Economic Development, Department of	18,806	21,596	21,596	21,396	20,596
Empire State Development Corporation	1,201	1,000	1,000	1,000	1,000
Energy Research and Development Authority	16,592	6,551	6,551	6,551	6,551
Financial Services, Department of	195,464	202,086	202,003	202,003	202,003
Olympic Regional Development Authority	4,134	3,161	3,161	3,161	3,161
Public Service Department	48,175	51,447	51,363	51,363	51,447
Functional Total	350,303	352,912	351,847	351,652	350,938
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	4,169	4,292	4,292	4,292	4,292
Environmental Conservation, Department of	235,419	233,868	235,237	235,137	213,217
Parks, Recreation and Historic Preservation, Office of	185,640	177,771	177,771	177,771	177,771
Functional Total	425,228	415,931	417,300	417,200	395,280
TRANSPORTATION					
Motor Vehicles, Department of	67,964	62,407	62,383	62,382	62,382
Thruway Authority, New York State	20,246	24,000	24,000	24,000	24,000
Transportation, Department of	22,767	21,186	21,173	21,173	21,173
Functional Total	110,977	107,593	107,556	107,555	107,555
HEALTH					
Aging, Office for the	1,608	1,440	1,440	1,440	1,440
Health, Department of	547,183	651,360	746,527	726,143	718,306
<i>Medical Assistance</i>	0	0	0	0	0
<i>Medicaid Administration</i>	141,310	230,339	216,966	228,986	228,986
<i>Public Health</i>	405,873	421,021	529,561	497,157	489,320
Medicaid Inspector General, Office of the	20,202	22,776	22,776	22,776	22,776
Stem Cell and Innovation	32,295	37,900	37,900	37,900	37,900
Functional Total	601,288	713,476	808,643	788,259	780,422
SOCIAL WELFARE					
Children and Family Services, Office of	276,508	263,987	251,587	251,587	251,584
<i>OCFS</i>	276,508	263,987	251,587	251,587	251,584
Housing and Community Renewal, Division of	48,171	48,822	48,622	48,622	48,622
Human Rights, Division of	11,391	10,332	10,332	10,332	10,332
Labor, Department of	45,452	47,597	47,597	47,597	47,597
National and Community Service	343	337	337	337	337
Temporary and Disability Assistance, Office of	138,915	150,211	160,867	160,867	160,867
<i>All Other</i>	138,915	150,211	160,867	160,867	160,867
Functional Total	520,780	521,286	519,342	519,342	519,339
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	73,361	70,853	72,123	70,776	70,767
<i>OASAS</i>	29,561	29,565	29,509	29,518	29,515
<i>OASAS - Other</i>	43,800	41,288	42,614	41,258	41,252
Justice Center	31,227	38,706	38,700	38,700	38,700
Mental Health, Office of	1,395,064	1,407,478	1,453,909	1,420,267	1,419,998
<i>OMH</i>	336,436	356,981	371,372	354,894	354,773
<i>OMH - Other</i>	1,058,628	1,050,497	1,082,537	1,065,373	1,065,225
Mental Hygiene, Department of	312	0	0	0	0
People with Developmental Disabilities, Office for	1,413,152	1,360,693	1,375,229	1,360,393	1,360,109
<i>OPWDD</i>	101	181	181	181	181
<i>OPWDD - Other</i>	1,413,051	1,360,512	1,375,048	1,360,212	1,359,928
Quality of Care and Advocacy for Persons With Disabilities, Commission on	1,697	0	0	0	0
Functional Total	2,914,813	2,877,730	2,939,961	2,890,136	2,889,574
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	2,101	2,651	2,651	2,651	2,651
Correctional Services, Department of	2,583,582	2,571,814	2,650,814	2,579,814	2,581,814
Criminal Justice Services, Division of	50,810	39,782	39,782	39,782	39,782
Disaster Assistance	535	(67,580)	0	0	0
Homeland Security and Emergency Services, Division of	39,430	40,346	40,347	40,348	40,348
Indigent Legal Services, Office of	1,093	1,539	1,539	1,539	1,539

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
STATE OPERATIONS
(thousands of dollars)**

	FY 2014 Results	FY 2015 Enacted	FY 2016 Projected	FY 2017 Projected	FY 2018 Projected
Judicial Conduct, Commission on	5,165	5,484	5,484	5,484	5,484
Judicial Nomination, Commission on	45	30	30	30	30
Judicial Screening Committees, New York State	50	38	38	38	38
Military and Naval Affairs, Division of	25,481	25,002	25,002	25,002	25,002
State Police, Division of	647,660	651,162	646,788	646,791	646,796
Statewide Financial System	52,390	29,700	29,706	29,711	29,717
Victim Services, Office of	3,924	4,136	4,140	4,140	4,140
Functional Total	3,412,266	3,304,104	3,446,321	3,375,330	3,377,341
HIGHER EDUCATION					
City University of New York	78,839	89,510	91,302	93,112	94,963
Higher Education - Miscellaneous	267	1,201	1,201	1,201	1,201
Higher Education Services Corporation, New York State	59,659	54,333	54,333	54,333	54,333
State University Construction Fund	0	0	0	0	0
State University of New York	5,698,161	5,731,319	5,849,176	5,984,227	6,089,976
Functional Total	5,836,926	5,876,363	5,996,012	6,132,873	6,240,473
EDUCATION					
Arts, Council on the	3,411	4,120	4,120	4,120	4,120
Education, Department of	135,590	130,104	128,904	128,891	128,891
<i>All Other</i>	135,590	130,104	128,904	128,891	128,891
Functional Total	139,001	134,224	133,024	133,011	133,011
GENERAL GOVERNMENT					
Budget, Division of the	28,540	29,409	29,321	29,323	29,324
Civil Service, Department of	13,131	12,808	12,808	12,808	12,808
Deferred Compensation Board	486	629	630	641	641
Elections, State Board of	5,055	8,404	8,404	8,404	8,404
Employee Relations, Office of	2,282	2,581	2,581	2,581	2,581
Gaming Commission, New York State	137,113	166,402	165,194	165,317	166,398
General Services, Office of	164,347	150,247	149,192	149,193	149,193
Inspector General, Office of the	6,434	6,917	6,917	6,917	6,917
Labor Management Committees	19,637	35,356	35,356	35,356	35,356
Lottery, Division of the	0	0	0	0	0
Prevention of Domestic Violence, Office for	1,358	1,596	1,596	1,596	1,596
Public Employment Relations Board	3,333	3,731	3,731	3,572	3,573
Public Integrity, Commission on	3,610	4,331	4,331	4,331	4,331
Racing and Wagering Board, State	0	0	0	0	0
State, Department of	41,774	44,459	43,595	43,557	42,818
Tax Appeals, Division of	2,818	3,174	3,174	3,174	3,174
Taxation and Finance, Department of	345,227	339,152	332,041	331,415	331,454
Technology, Office for	220,088	420,708	426,848	426,885	426,836
Veterans' Affairs, Division of	5,322	6,092	5,842	5,842	5,842
Welfare Inspector General, Office of	349	972	972	972	972
Workers' Compensation Board	147,933	141,706	141,771	141,791	141,811
Functional Total	1,148,837	1,378,674	1,374,304	1,373,675	1,374,029
ELECTED OFFICIALS					
Audit and Control, Department of	138,288	141,399	141,399	141,399	141,399
Executive Chamber	13,673	13,578	13,578	13,578	13,578
Judiciary	1,838,218	1,925,900	1,925,900	1,925,900	1,925,900
Law, Department of	161,621	168,335	168,335	168,340	168,345
Legislature	207,984	218,795	218,795	218,795	218,795
Lieutenant Governor, Office of the	427	614	614	614	614
Functional Total	2,360,211	2,468,621	2,468,621	2,468,626	2,468,631
ALL OTHER CATEGORIES					
Long-Term Debt Service	37,357	43,369	43,369	43,369	43,369
Miscellaneous	6,339	4,217	4,219	4,219	4,220
Functional Total	43,696	47,586	47,588	47,588	47,589
TOTAL STATE OPERATIONS SPENDING	17,864,326	18,198,500	18,610,519	18,605,247	18,684,182

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	<u>FY 2014 Results</u>	<u>FY 2015 Enacted</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>	<u>FY 2018 Projected</u>
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	27,512	28,394	28,394	28,394	28,394
Alcoholic Beverage Control, Division of	8,127	8,147	8,147	8,147	8,147
Economic Development, Department of	11,478	13,142	13,142	13,142	13,142
Empire State Development Corporation	500	500	500	500	500
Energy Research and Development Authority	5,181	4,154	4,154	4,154	4,154
Financial Services, Department of	139,639	146,557	146,557	146,557	146,557
Olympic Regional Development Authority	2,533	2,548	2,548	2,548	2,548
Public Service Department	40,390	42,106	42,025	42,025	42,106
Functional Total	<u>235,360</u>	<u>245,548</u>	<u>245,467</u>	<u>245,467</u>	<u>245,548</u>
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	3,843	3,957	3,957	3,957	3,957
Environmental Conservation, Department of	175,900	176,561	176,209	176,209	164,336
Parks, Recreation and Historic Preservation, Office of	137,514	132,224	132,224	132,224	132,224
Functional Total	<u>317,257</u>	<u>312,742</u>	<u>312,390</u>	<u>312,390</u>	<u>300,517</u>
TRANSPORTATION					
Motor Vehicles, Department of	49,989	43,545	43,545	43,545	43,545
Transportation, Department of	9,464	7,473	7,473	7,473	7,473
Functional Total	<u>59,453</u>	<u>51,018</u>	<u>51,018</u>	<u>51,018</u>	<u>51,018</u>
HEALTH					
Aging, Office for the	1,427	1,258	1,258	1,258	1,258
Health, Department of	254,039	264,196	287,916	304,902	305,102
<i>Medicaid Administration</i>	27,163	41,803	55,903	67,903	67,903
<i>Public Health</i>	226,876	222,393	232,013	236,999	237,199
Medicaid Inspector General, Office of the	16,470	17,470	17,470	17,470	17,470
Stem Cell and Innovation	463	472	472	472	472
Functional Total	<u>272,399</u>	<u>283,396</u>	<u>307,116</u>	<u>324,102</u>	<u>324,302</u>
SOCIAL WELFARE					
Children and Family Services, Office of	169,186	157,918	148,975	148,975	148,972
<i>OCFS</i>	169,186	157,918	148,975	148,975	148,972
Housing and Community Renewal, Division of	36,805	40,001	40,001	40,001	40,001
Human Rights, Division of	9,197	9,596	9,596	9,596	9,596
Labor, Department of	31,300	33,102	33,102	33,102	33,102
National and Community Service	338	328	328	328	328
Temporary and Disability Assistance, Office of	65,353	60,815	62,557	62,557	62,557
<i>All Other</i>	65,353	60,815	62,557	62,557	62,557
Functional Total	<u>312,179</u>	<u>301,760</u>	<u>294,559</u>	<u>294,559</u>	<u>294,556</u>
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	53,317	50,822	52,152	50,822	50,822
<i>OASAS</i>	21,681	21,195	21,195	21,195	21,195
<i>OASAS - Other</i>	31,636	29,627	30,957	29,627	29,627
Justice Center	11,978	21,816	21,816	21,816	21,816
Mental Health, Office of	1,084,481	1,094,178	1,147,785	1,109,155	1,109,155
<i>OMH</i>	270,579	290,410	307,763	290,410	290,410
<i>OMH - Other</i>	813,902	803,768	840,022	818,745	818,745
People with Developmental Disabilities, Office for	1,149,690	1,102,867	1,117,967	1,102,867	1,102,867
<i>OPWDD</i>	0	0	0	0	0
<i>OPWDD - Other</i>	1,149,690	1,102,867	1,117,967	1,102,867	1,102,867
Quality of Care and Advocacy for Persons With Disabilities, Commission on	1,508	0	0	0	0
Functional Total	<u>2,300,974</u>	<u>2,269,683</u>	<u>2,339,720</u>	<u>2,284,660</u>	<u>2,284,660</u>
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	1,841	2,414	2,414	2,414	2,414
Correctional Services, Department of	2,069,341	2,089,951	2,168,951	2,097,951	2,099,951
Criminal Justice Services, Division of	24,747	26,393	26,393	26,393	26,393
Disaster Assistance	(17,031)	(4,222)	0	0	0
Homeland Security and Emergency Services, Division of	15,450	15,325	15,325	15,325	15,325
Indigent Legal Services, Office of	885	1,004	1,004	1,004	1,004
Judicial Conduct, Commission on	3,904	4,081	4,081	4,081	4,081
Judicial Screening Committees, New York State	0	13	13	13	13

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	FY 2014 Results	FY 2015 Enacted	FY 2016 Projected	FY 2017 Projected	FY 2018 Projected
Military and Naval Affairs, Division of	16,785	16,564	16,564	16,564	16,564
State Police, Division of	557,338	559,062	559,062	559,062	559,062
Statewide Financial System	8,414	10,638	10,638	10,638	10,638
Victim Services, Office of	3,162	3,509	3,509	3,509	3,509
Functional Total	2,684,836	2,724,732	2,807,954	2,736,954	2,738,954
HIGHER EDUCATION					
City University of New York	38,368	41,301	42,363	43,425	44,509
Higher Education - Miscellaneous	179	198	198	198	198
Higher Education Services Corporation, New York State	24,887	17,631	17,631	17,631	17,631
State University Construction Fund	0	0	0	0	0
State University of New York	3,439,681	3,544,757	3,608,971	3,694,039	3,748,480
Functional Total	3,503,115	3,603,887	3,669,163	3,755,293	3,810,818
EDUCATION					
Arts, Council on the	2,128	2,298	2,298	2,298	2,298
Education, Department of	84,389	81,522	81,522	81,522	81,522
<i>All Other</i>	84,389	81,522	81,522	81,522	81,522
Functional Total	86,517	83,820	83,820	83,820	83,820
GENERAL GOVERNMENT					
Budget, Division of the	23,099	23,799	24,755	24,849	24,902
Civil Service, Department of	11,726	12,144	12,144	12,144	12,144
Deferred Compensation Board	399	410	410	410	410
Elections, State Board of	4,119	5,065	5,065	5,065	5,065
Employee Relations, Office of	2,219	2,510	2,510	2,510	2,510
Gaming Commission, New York State	31,461	33,075	35,438	35,504	36,548
General Services, Office of	56,410	62,726	62,726	62,726	62,726
Inspector General, Office of the	6,117	6,360	6,360	6,360	6,360
Labor Management Committees	6,327	5,446	5,446	5,446	5,446
Lottery, Division of the	0	0	0	0	0
Prevention of Domestic Violence, Office for	1,156	1,388	1,388	1,388	1,388
Public Employment Relations Board	2,991	3,495	3,495	3,336	3,336
Public Integrity, Commission on	2,878	3,420	3,420	3,420	3,420
Racing and Wagering Board, State	0	0	0	0	0
State, Department of	29,855	28,051	28,015	27,978	27,940
Tax Appeals, Division of	2,671	2,962	2,962	2,962	2,962
Taxation and Finance, Department of	275,415	275,359	275,359	275,359	275,359
Technology, Office for	134,370	276,101	276,128	276,131	276,101
Veterans' Affairs, Division of	4,964	5,550	5,550	5,550	5,550
Welfare Inspector General, Office of	219	514	514	514	514
Workers' Compensation Board	85,571	80,977	80,977	80,977	80,977
Functional Total	681,967	829,352	832,662	832,629	833,658
ELECTED OFFICIALS					
Audit and Control, Department of	106,972	109,735	109,735	109,735	109,735
Executive Chamber	10,467	10,811	11,135	11,469	11,813
Judiciary	1,455,114	1,483,400	1,483,400	1,483,400	1,483,400
Law, Department of	112,867	113,563	113,563	113,563	113,563
Legislature	158,325	166,331	166,331	166,331	166,331
Lieutenant Governor, Office of the	346	480	498	513	523
Functional Total	1,844,091	1,884,320	1,884,662	1,885,011	1,885,365
ALL OTHER CATEGORIES					
Miscellaneous	2,330	2,492	2,492	2,492	2,492
Functional Total	2,330	2,492	2,492	2,492	2,492
TOTAL PERSONAL SERVICE SPENDING	12,300,478	12,592,750	12,831,023	12,808,395	12,855,708

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	<u>FY 2014 Results</u>	<u>FY 2015 Enacted</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>	<u>FY 2018 Projected</u>
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	25,082	25,669	24,769	24,772	24,772
Alcoholic Beverage Control, Division of	5,210	4,861	4,863	4,865	4,867
Economic Development, Department of	7,328	8,454	8,454	8,254	7,454
Empire State Development Corporation	701	500	500	500	500
Energy Research and Development Authority	11,411	2,397	2,397	2,397	2,397
Financial Services, Department of	55,825	55,529	55,446	55,446	55,446
Olympic Regional Development Authority	1,601	613	613	613	613
Public Service Department	7,785	9,341	9,338	9,338	9,341
Functional Total	<u>114,943</u>	<u>107,364</u>	<u>106,380</u>	<u>106,185</u>	<u>105,390</u>
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	326	335	335	335	335
Environmental Conservation, Department of	59,519	57,307	59,028	58,928	48,881
Parks, Recreation and Historic Preservation, Office of	48,126	45,547	45,547	45,547	45,547
Functional Total	<u>107,971</u>	<u>103,189</u>	<u>104,910</u>	<u>104,810</u>	<u>94,763</u>
TRANSPORTATION					
Motor Vehicles, Department of	17,975	18,862	18,838	18,837	18,837
Thruway Authority, New York State	20,246	24,000	24,000	24,000	24,000
Transportation, Department of	13,303	13,713	13,700	13,700	13,700
Functional Total	<u>51,524</u>	<u>56,575</u>	<u>56,538</u>	<u>56,537</u>	<u>56,537</u>
HEALTH					
Aging, Office for the	181	182	182	182	182
Health, Department of	293,144	387,164	458,611	421,241	413,204
<i>Medical Assistance</i>	0	0	0	0	0
<i>Medicaid Administration</i>	114,147	188,536	161,063	161,083	161,083
<i>Public Health</i>	178,997	198,628	297,548	260,158	252,121
Medicaid Inspector General, Office of the	3,732	5,306	5,306	5,306	5,306
Stem Cell and Innovation	31,832	37,428	37,428	37,428	37,428
Functional Total	<u>328,889</u>	<u>430,080</u>	<u>501,527</u>	<u>464,157</u>	<u>456,120</u>
SOCIAL WELFARE					
Children and Family Services, Office of	107,322	106,069	102,612	102,612	102,612
<i>OCFS</i>	107,322	106,069	102,612	102,612	102,612
Housing and Community Renewal, Division of	11,366	8,821	8,621	8,621	8,621
Human Rights, Division of	2,194	736	736	736	736
Labor, Department of	14,152	14,495	14,495	14,495	14,495
National and Community Service	5	9	9	9	9
Temporary and Disability Assistance, Office of	73,562	89,396	98,310	98,310	98,310
<i>All Other</i>	73,562	89,396	98,310	98,310	98,310
Functional Total	<u>208,601</u>	<u>219,526</u>	<u>224,783</u>	<u>224,783</u>	<u>224,783</u>
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	20,044	20,031	19,971	19,954	19,945
<i>OASAS</i>	7,880	8,370	8,314	8,323	8,320
<i>OASAS - Other</i>	12,164	11,661	11,657	11,631	11,625
Justice Center	19,249	16,890	16,884	16,884	16,884
Mental Health, Office of	310,583	313,300	306,124	311,112	310,843
<i>OMH</i>	65,857	66,571	63,609	64,484	64,363
<i>OMH - Other</i>	244,726	246,729	242,515	246,628	246,480
Mental Hygiene, Department of	312	0	0	0	0
People with Developmental Disabilities, Office for	263,462	257,826	257,262	257,526	257,242
<i>OPWDD</i>	101	181	181	181	181
<i>OPWDD - Other</i>	263,361	257,645	257,081	257,345	257,061
Quality of Care and Advocacy for Persons With Disabilities, Commission on	189	0	0	0	0
Functional Total	<u>613,839</u>	<u>608,047</u>	<u>600,241</u>	<u>605,476</u>	<u>604,914</u>
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	260	237	237	237	237
Correctional Services, Department of	514,241	481,863	481,863	481,863	481,863
Criminal Justice Services, Division of	26,063	13,389	13,389	13,389	13,389
Disaster Assistance	17,566	(63,358)	0	0	0
Homeland Security and Emergency Services, Division of	23,980	25,021	25,022	25,023	25,023

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2014 Results	FY 2015 Enacted	FY 2016 Projected	FY 2017 Projected	FY 2018 Projected
Indigent Legal Services, Office of	208	535	535	535	535
Judicial Conduct, Commission on	1,261	1,403	1,403	1,403	1,403
Judicial Nomination, Commission on	45	30	30	30	30
Judicial Screening Committees, New York State	50	25	25	25	25
Military and Naval Affairs, Division of	8,696	8,438	8,438	8,438	8,438
State Police, Division of	90,322	92,100	87,726	87,729	87,734
Statewide Financial System	43,976	19,062	19,068	19,073	19,079
Victim Services, Office of	762	627	631	631	631
Functional Total	727,430	579,372	638,367	638,376	638,387
HIGHER EDUCATION					
City University of New York	40,471	48,209	48,939	49,687	50,454
Higher Education - Miscellaneous	88	1,003	1,003	1,003	1,003
Higher Education Services Corporation, New York State	34,772	36,702	36,702	36,702	36,702
State University Construction Fund	0	0	0	0	0
State University of New York	2,258,480	2,186,562	2,240,205	2,290,188	2,341,496
Functional Total	2,333,811	2,272,476	2,326,849	2,377,580	2,429,655
EDUCATION					
Arts, Council on the	1,283	1,822	1,822	1,822	1,822
Education, Department of	51,201	48,582	47,382	47,369	47,369
<i>All Other</i>	51,201	48,582	47,382	47,369	47,369
Functional Total	52,484	50,404	49,204	49,191	49,191
GENERAL GOVERNMENT					
Budget, Division of the	5,441	5,610	4,566	4,474	4,422
Civil Service, Department of	1,405	664	664	664	664
Deferred Compensation Board	87	219	220	231	231
Elections, State Board of	936	3,339	3,339	3,339	3,339
Employee Relations, Office of	63	71	71	71	71
Gaming Commission, New York State	105,652	133,327	129,756	129,813	129,850
General Services, Office of	107,937	87,521	86,466	86,467	86,467
Inspector General, Office of the	317	557	557	557	557
Labor Management Committees	13,310	29,910	29,910	29,910	29,910
Lottery, Division of the	0	0	0	0	0
Prevention of Domestic Violence, Office for	202	208	208	208	208
Public Employment Relations Board	342	236	236	236	237
Public Integrity, Commission on	732	911	911	911	911
Racing and Wagering Board, State	0	0	0	0	0
State, Department of	11,919	16,408	15,580	15,579	14,878
Tax Appeals, Division of	147	212	212	212	212
Taxation and Finance, Department of	69,812	63,793	56,682	56,056	56,095
Technology, Office for	85,718	144,607	150,720	150,754	150,735
Veterans' Affairs, Division of	358	542	292	292	292
Welfare Inspector General, Office of	130	458	458	458	458
Workers' Compensation Board	62,362	60,729	60,794	60,814	60,834
Functional Total	466,870	549,322	541,642	541,046	540,371
ELECTED OFFICIALS					
Audit and Control, Department of	31,316	31,664	31,664	31,664	31,664
Executive Chamber	3,206	2,767	2,443	2,109	1,765
Judiciary	383,104	442,500	442,500	442,500	442,500
Law, Department of	48,754	54,772	54,772	54,777	54,782
Legislature	49,659	52,464	52,464	52,464	52,464
Lieutenant Governor, Office of the	81	134	116	101	91
Functional Total	516,120	584,301	583,959	583,615	583,266
ALL OTHER CATEGORIES					
Long-Term Debt Service	37,357	43,369	43,369	43,369	43,369
Miscellaneous	4,009	1,725	1,727	1,727	1,728
Functional Total	41,366	45,094	45,096	45,096	45,097
TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING	5,563,848	5,605,750	5,779,496	5,796,852	5,828,474

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	FY 2014 Results	FY 2015 Enacted	FY 2016 Projected	FY 2017 Projected	FY 2018 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	1,283	1,633	1,673	1,749	1,798
Alcoholic Beverage Control, Division of	4,649	4,529	4,643	4,798	4,973
Economic Development, Department of	0	28	28	28	28
Energy Research and Development Authority	2,454	2,168	2,240	2,240	2,240
Financial Services, Department of	78,052	83,127	84,505	86,556	88,946
Public Service Department	20,148	23,308	24,228	24,810	25,536
Functional Total	106,586	114,793	117,317	120,181	123,521
PARKS AND THE ENVIRONMENT					
Environmental Conservation, Department of	43,991	43,942	44,604	45,759	39,675
Parks, Recreation and Historic Preservation, Office of	2,803	2,895	2,895	2,895	2,895
Functional Total	46,794	46,837	47,499	48,654	42,570
TRANSPORTATION					
Motor Vehicles, Department of	26,901	24,535	25,152	25,838	26,635
Transportation, Department of	5,733	4,267	4,311	4,416	4,538
Functional Total	32,634	28,802	29,463	30,254	31,173
HEALTH					
Health, Department of	35,901	28,416	34,581	35,188	35,288
<i>Public Health</i>	35,901	28,416	34,581	35,188	35,288
Stem Cell and Innovation	276	0	0	0	0
Functional Total	36,177	28,416	34,581	35,188	35,288
SOCIAL WELFARE					
Children and Family Services, Office of	1,041	2,658	2,658	2,658	2,658
<i>OCFS</i>	1,041	2,658	2,658	2,658	2,658
Housing and Community Renewal, Division of	15,675	16,678	16,678	16,678	16,678
Labor, Department of	17,508	18,726	18,726	18,726	18,726
Functional Total	34,224	38,062	38,062	38,062	38,062
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	32,568	30,494	30,758	31,070	31,689
<i>OASAS</i>	15,166	13,060	12,603	13,030	13,290
<i>OASAS - Other</i>	17,402	17,434	18,155	18,040	18,399
Justice Center	443	1,669	1,697	1,734	1,780
Mental Health, Office of	585,395	618,115	638,275	646,689	658,402
<i>OMH</i>	191,169	160,547	172,587	172,941	175,004
<i>OMH - Other</i>	394,226	457,568	465,688	473,748	483,398
People with Developmental Disabilities, Office for	624,001	626,211	647,669	668,282	680,927
<i>OPWDD</i>	0	0	0	0	0
<i>OPWDD - Other</i>	624,001	626,211	647,669	668,282	680,927
Quality of Care and Advocacy for Persons With Disabilities, Commission on	(30)	0	0	0	0
Functional Total	1,242,377	1,276,489	1,318,399	1,347,775	1,372,798
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correctional Services, Department of	40	124	127	132	136
Criminal Justice Services, Division of	70	80	85	88	88
Homeland Security and Emergency Services, Division of	636	1,003	1,045	1,078	1,112
Indigent Legal Services, Office of	489	378	378	378	378
Military and Naval Affairs, Division of	364	80	80	80	80
State Police, Division of	3,476	3,557	3,826	4,009	4,213
Victim Services, Office of	1,363	2,028	2,191	2,190	2,190
Functional Total	6,438	7,250	7,732	7,955	8,197
HIGHER EDUCATION					
City University of New York	6,072	6,000	6,000	6,000	6,000
Higher Education - Miscellaneous	99	99	99	99	99
Higher Education Services Corporation, New York State	10,491	10,011	10,248	10,601	11,013
State University Construction Fund	0	0	0	0	0
State University of New York	577,793	609,198	607,345	613,267	619,291
Functional Total	594,455	625,308	623,692	629,967	636,403

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	<u>FY 2014 Results</u>	<u>FY 2015 Enacted</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>	<u>FY 2018 Projected</u>
EDUCATION					
Education, Department of	30,829	32,209	32,662	33,457	34,379
<i>All Other</i>	30,829	32,209	32,662	33,457	34,379
Functional Total	<u>30,829</u>	<u>32,209</u>	<u>32,662</u>	<u>33,457</u>	<u>34,379</u>
GENERAL GOVERNMENT					
Budget, Division of the	2,220	1,496	1,443	1,557	1,657
Civil Service, Department of	144	172	176	176	176
Deferred Compensation Board	203	225	225	225	225
Gaming Commission, New York State	14,433	18,707	19,760	19,846	20,463
General Services, Office of	2,205	2,257	2,360	2,443	2,461
Lottery, Division of the	0	0	0	0	0
Racing and Wagering Board, State	0	0	0	0	0
State, Department of	7,502	9,103	9,212	9,414	9,651
Taxation and Finance, Department of	22,115	23,239	24,168	24,832	24,832
Welfare Inspector General, Office of	6	0	0	0	0
Workers' Compensation Board	45,500	45,917	48,228	49,948	51,902
Functional Total	<u>94,328</u>	<u>101,116</u>	<u>105,572</u>	<u>108,441</u>	<u>111,367</u>
ELECTED OFFICIALS					
Audit and Control, Department of	1,676	1,663	1,740	1,795	1,859
Judiciary	650,541	669,103	679,903	690,753	701,553
Law, Department of	15,906	14,879	14,879	14,879	14,879
Functional Total	<u>668,123</u>	<u>685,645</u>	<u>696,522</u>	<u>707,427</u>	<u>718,291</u>
ALL OTHER CATEGORIES					
General State Charges	4,045,490	4,215,492	4,455,530	4,593,122	4,696,130
Miscellaneous	19,140	5,896	5,928	5,928	5,931
Functional Total	<u>4,064,630</u>	<u>4,221,388</u>	<u>4,461,458</u>	<u>4,599,050</u>	<u>4,702,061</u>
TOTAL GENERAL STATE CHARGES SPENDING	<u><u>6,957,595</u></u>	<u><u>7,206,315</u></u>	<u><u>7,512,959</u></u>	<u><u>7,706,411</u></u>	<u><u>7,854,110</u></u>

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
CAPITAL PROJECTS
(thousands of dollars)**

	<u>FY 2014 Results</u>	<u>FY 2015 Enacted</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>	<u>FY 2018 Projected</u>
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	5,681	9,899	4,388	4,001	4,000
Economic Development Capital	45	14,000	14,000	23,000	23,000
Economic Development, Department of	109	19,859	16,667	5,000	0
Empire State Development Corporation	34,587	133,743	498,989	664,231	559,529
Energy Research and Development Authority	5,946	20,100	25,000	18,000	13,000
Olympic Regional Development Authority	0	6,900	0	0	0
Regional Economic Development Program	0	1,500	1,500	1,500	1,500
Strategic Investment Program	0	5,000	5,000	5,000	5,000
Functional Total	<u>46,368</u>	<u>211,001</u>	<u>565,544</u>	<u>720,732</u>	<u>606,029</u>
PARKS AND THE ENVIRONMENT					
Environmental Conservation, Department of	228,017	403,918	376,016	356,216	294,151
Hudson River Park Trust	10,008	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	135,507	97,027	111,600	118,350	122,850
Functional Total	<u>373,532</u>	<u>500,945</u>	<u>487,616</u>	<u>474,566</u>	<u>417,001</u>
TRANSPORTATION					
Metropolitan Transportation Authority	0	0	0	0	0
Motor Vehicles, Department of	194,828	195,646	190,697	191,942	193,390
Thruway Authority, New York State	0	1,800	1,800	1,800	1,800
Transportation, Department of	2,082,294	2,065,190	2,017,593	2,048,596	2,128,273
Functional Total	<u>2,277,122</u>	<u>2,262,636</u>	<u>2,210,090</u>	<u>2,242,338</u>	<u>2,323,463</u>
HEALTH					
Health, Department of	14,683	70,500	82,500	78,500	13,500
<i>Public Health</i>	14,683	70,500	82,500	78,500	13,500
Functional Total	<u>14,683</u>	<u>70,500</u>	<u>82,500</u>	<u>78,500</u>	<u>13,500</u>
SOCIAL WELFARE					
Children and Family Services, Office of	22,311	20,914	20,931	20,931	20,931
<i>OCFS</i>	22,311	20,914	20,931	20,931	20,931
Functional Total	<u>22,311</u>	<u>20,914</u>	<u>20,931</u>	<u>20,931</u>	<u>20,931</u>
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	(144)	13,083	13,083	13,083	13,083
<i>OASAS</i>	(144)	13,083	13,083	13,083	13,083
Mental Health, Office of	124,358	85,180	85,283	85,123	85,123
<i>OMH</i>	124,358	85,180	85,283	85,123	85,123
People with Developmental Disabilities, Office for	39,136	39,220	39,220	39,220	39,220
<i>OPWDD</i>	39,136	39,220	39,220	39,220	39,220
<i>OPWDD - Other</i>	0	0	0	0	0
Functional Total	<u>163,350</u>	<u>137,483</u>	<u>137,586</u>	<u>137,426</u>	<u>137,426</u>
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correctional Services, Department of	231,303	233,010	235,160	239,064	241,064
Disaster Assistance	0	0	0	0	0
Homeland Security and Emergency Services, Division of	19,296	91,569	74,900	8,000	0
Military and Naval Affairs, Division of	10,630	14,145	12,245	12,245	10,000
State Police, Division of	11,897	34,583	25,800	20,000	11,065
Functional Total	<u>273,126</u>	<u>373,307</u>	<u>348,105</u>	<u>279,309</u>	<u>262,129</u>
HIGHER EDUCATION					
City University of New York	37,583	40,000	35,000	35,000	35,400
Higher Education Facilities Capital Matching Grants Program	0	0	3,000	9,026	10,000
State University of New York	1,068,808	1,097,246	958,336	899,894	838,910
Functional Total	<u>1,106,391</u>	<u>1,137,246</u>	<u>996,336</u>	<u>943,920</u>	<u>884,310</u>
EDUCATION					
Education, Department of	7,628	16,052	1,044,486	376,896	373,400
<i>School Aid</i>	0	0	1,000,000	350,000	350,000
<i>All Other</i>	7,628	16,052	44,486	26,896	23,400
Functional Total	<u>7,628</u>	<u>16,052</u>	<u>1,044,486</u>	<u>376,896</u>	<u>373,400</u>

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
CAPITAL PROJECTS
(thousands of dollars)**

	<u>FY 2014 Results</u>	<u>FY 2015 Enacted</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>	<u>FY 2018 Projected</u>
GENERAL GOVERNMENT					
General Services, Office of	76,599	115,383	97,883	69,883	69,883
State, Department of	0	1,362	641	9,985	(15)
Technology, Office for	18,379	41,247	77,510	24,135	29,269
Functional Total	<u>94,978</u>	<u>157,992</u>	<u>176,034</u>	<u>104,003</u>	<u>99,137</u>
ELECTED OFFICIALS					
Judiciary	0	0	0	0	0
Law, Department of	0	1,000	2,000	3,000	2,000
Functional Total	<u>0</u>	<u>1,000</u>	<u>2,000</u>	<u>3,000</u>	<u>2,000</u>
ALL OTHER CATEGORIES					
Miscellaneous	77,567	90,000	348,000	330,000	330,000
Functional Total	<u>77,567</u>	<u>90,000</u>	<u>348,000</u>	<u>330,000</u>	<u>330,000</u>
TOTAL CAPITAL PROJECTS SPENDING	<u>4,457,056</u>	<u>4,979,076</u>	<u>6,419,228</u>	<u>5,711,621</u>	<u>5,469,326</u>

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
LOCAL ASSISTANCE GRANTS
(thousands of dollars)**

	<u>FY 2014 Results</u>	<u>FY 2015 Enacted</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>	<u>FY 2018 Projected</u>
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Economic Development, Department of	18,266	14,000	12,000	3,000	3,000
Energy Research and Development Authority	9,471	7,439	7,439	7,439	7,439
Financial Services, Department of	228,507	216,952	215,952	215,952	215,952
Public Service Department	0	200	200	200	200
Functional Total	<u>256,244</u>	<u>238,591</u>	<u>235,591</u>	<u>226,591</u>	<u>226,591</u>
PARKS AND THE ENVIRONMENT					
Environmental Conservation, Department of	42	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	4,185	4,850	4,850	4,850	4,850
Functional Total	<u>4,227</u>	<u>4,850</u>	<u>4,850</u>	<u>4,850</u>	<u>4,850</u>
TRANSPORTATION					
Transportation, Department of	4,624,360	4,719,126	4,767,877	4,838,822	4,916,270
Functional Total	<u>4,624,360</u>	<u>4,719,126</u>	<u>4,767,877</u>	<u>4,838,822</u>	<u>4,916,270</u>
HEALTH					
Health, Department of	6,125,824	6,129,752	6,089,541	6,158,858	6,232,717
<i>Medical Assistance</i>	4,754,008	5,133,029	5,142,289	5,266,322	5,323,171
<i>Public Health</i>	1,371,816	996,723	947,252	892,536	909,546
Functional Total	<u>6,125,824</u>	<u>6,129,752</u>	<u>6,089,541</u>	<u>6,158,858</u>	<u>6,232,717</u>
SOCIAL WELFARE					
Children and Family Services, Office of	2,969	3,582	3,582	3,582	3,582
<i>OCFS</i>	2,969	3,582	3,582	3,582	3,582
Housing and Community Renewal, Division of	287	852	852	852	852
Labor, Department of	9	150	150	150	150
Temporary and Disability Assistance, Office of	261	0	0	0	0
<i>All Other</i>	261	0	0	0	0
Functional Total	<u>3,526</u>	<u>4,584</u>	<u>4,584</u>	<u>4,584</u>	<u>4,584</u>
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	285,891	289,366	295,136	307,320	319,625
<i>OASAS</i>	285,891	289,366	295,136	307,320	319,625
Justice Center	236	450	450	450	450
Mental Health, Office of	743,679	804,991	854,005	906,416	981,352
<i>OMH</i>	743,679	804,991	854,005	906,416	981,352
People with Developmental Disabilities, Office for	448,793	385,745	318,907	307,381	315,573
<i>OPWDD</i>	447,687	385,745	318,907	307,381	315,573
<i>OPWDD - Other</i>	1,106	0	0	0	0
Quality of Care and Advocacy for Persons With Disabilities, Commission on	185	0	0	0	0
Functional Total	<u>1,478,784</u>	<u>1,480,552</u>	<u>1,468,498</u>	<u>1,521,567</u>	<u>1,617,000</u>
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Criminal Justice Services, Division of	26,420	33,017	32,867	32,867	32,867
Homeland Security and Emergency Services, Division of	32,407	44,382	77,801	57,301	21,301
Indigent Legal Services, Office of	53,002	65,400	81,000	81,000	81,000
Victim Services, Office of	24,216	28,182	28,182	28,182	28,182
Functional Total	<u>136,045</u>	<u>170,981</u>	<u>219,850</u>	<u>199,350</u>	<u>163,350</u>
HIGHER EDUCATION					
Higher Education Services Corporation, New York State	32,000	32,106	16,000	0	0
Functional Total	<u>32,000</u>	<u>32,106</u>	<u>16,000</u>	<u>0</u>	<u>0</u>
EDUCATION					
Arts, Council on the	0	98	98	98	98
Education, Department of	6,549,011	6,657,892	6,880,392	6,903,757	6,975,705
<i>School Aid</i>	3,181,780	3,215,604	3,389,000	3,317,000	3,347,000
<i>STAR Property Tax Relief</i>	3,356,792	3,429,375	3,478,479	3,573,844	3,615,792
<i>All Other</i>	10,439	12,913	12,913	12,913	12,913
Functional Total	<u>6,549,011</u>	<u>6,657,990</u>	<u>6,880,490</u>	<u>6,903,855</u>	<u>6,975,803</u>
GENERAL GOVERNMENT					
Gaming Commission, New York State	0	0	40,000	47,200	65,300

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
LOCAL ASSISTANCE GRANTS
(thousands of dollars)**

	<u>FY 2014 Results</u>	<u>FY 2015 Enacted</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>	<u>FY 2018 Projected</u>
State, Department of	760	539	539	539	539
Functional Total	<u>760</u>	<u>539</u>	<u>40,539</u>	<u>47,739</u>	<u>65,839</u>
ELECTED OFFICIALS					
Judiciary	101,633	104,200	104,200	104,200	104,200
Functional Total	<u>101,633</u>	<u>104,200</u>	<u>104,200</u>	<u>104,200</u>	<u>104,200</u>
ALL OTHER CATEGORIES					
Miscellaneous	153,260	(480,500)	(518,500)	(737,500)	(737,500)
Functional Total	<u>153,260</u>	<u>(480,500)</u>	<u>(518,500)</u>	<u>(737,500)</u>	<u>(737,500)</u>
TOTAL LOCAL ASSISTANCE GRANTS SPENDING	<u><u>19,465,674</u></u>	<u><u>19,062,771</u></u>	<u><u>19,313,520</u></u>	<u><u>19,272,916</u></u>	<u><u>19,573,704</u></u>

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	<u>FY 2014 Results</u>	<u>FY 2015 Enacted</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>	<u>FY 2018 Projected</u>
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	3,079	2,575	2,575	2,575	2,575
Alcoholic Beverage Control, Division of	8,127	8,147	8,147	8,147	8,147
Economic Development, Department of	0	103	103	103	103
Energy Research and Development Authority	5,181	4,154	4,154	4,154	4,154
Financial Services, Department of	139,639	146,557	146,557	146,557	146,557
Olympic Regional Development Authority	11	0	0	0	0
Public Service Department	40,390	42,106	42,025	42,025	42,106
Functional Total	<u>196,427</u>	<u>203,642</u>	<u>203,561</u>	<u>203,561</u>	<u>203,642</u>
PARKS AND THE ENVIRONMENT					
Environmental Conservation, Department of	93,802	95,093	94,891	94,891	83,018
Parks, Recreation and Historic Preservation, Office of	30,366	30,107	30,107	30,107	30,107
Functional Total	<u>124,168</u>	<u>125,200</u>	<u>124,998</u>	<u>124,998</u>	<u>113,125</u>
TRANSPORTATION					
Motor Vehicles, Department of	49,989	43,545	43,545	43,545	43,545
Transportation, Department of	9,464	7,473	7,473	7,473	7,473
Functional Total	<u>59,453</u>	<u>51,018</u>	<u>51,018</u>	<u>51,018</u>	<u>51,018</u>
HEALTH					
Health, Department of	140,643	131,397	141,017	146,003	146,203
<i>Public Health</i>	140,643	131,397	141,017	146,003	146,203
Medicaid Inspector General, Office of the	0	0	0	0	0
Stem Cell and Innovation	463	472	472	472	472
Functional Total	<u>141,106</u>	<u>131,869</u>	<u>141,489</u>	<u>146,475</u>	<u>146,675</u>
SOCIAL WELFARE					
Children and Family Services, Office of	2,737	3,304	3,304	3,304	3,301
<i>OCFS</i>	2,737	3,304	3,304	3,304	3,301
Housing and Community Renewal, Division of	34,049	35,683	35,683	35,683	35,683
Labor, Department of	31,273	33,017	33,017	33,017	33,017
Temporary and Disability Assistance, Office of	0	0	0	0	0
<i>All Other</i>	0	0	0	0	0
Functional Total	<u>68,059</u>	<u>72,004</u>	<u>72,004</u>	<u>72,004</u>	<u>72,001</u>
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	53,317	50,822	52,152	50,822	50,822
<i>OASAS</i>	21,681	21,195	21,195	21,195	21,195
<i>OASAS - Other</i>	31,636	29,627	30,957	29,627	29,627
Justice Center	940	2,953	2,953	2,953	2,953
Mental Health, Office of	1,084,188	1,094,178	1,147,785	1,109,155	1,109,155
<i>OMH</i>	270,286	290,410	307,763	290,410	290,410
<i>OMH - Other</i>	813,902	803,768	840,022	818,745	818,745
People with Developmental Disabilities, Office for	1,149,690	1,102,867	1,117,967	1,102,867	1,102,867
<i>OPWDD</i>	0	0	0	0	0
<i>OPWDD - Other</i>	1,149,690	1,102,867	1,117,967	1,102,867	1,102,867
Quality of Care and Advocacy for Persons With Disabilities, Commission on	487	0	0	0	0
Functional Total	<u>2,288,622</u>	<u>2,250,820</u>	<u>2,320,857</u>	<u>2,265,797</u>	<u>2,265,797</u>
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correctional Services, Department of	91	219	219	219	219
Criminal Justice Services, Division of	306	383	383	383	383
Homeland Security and Emergency Services, Division of	8,762	10,357	10,357	10,357	10,357
Indigent Legal Services, Office of	885	1,004	1,004	1,004	1,004
Military and Naval Affairs, Division of	566	784	784	784	784
State Police, Division of	12,607	12,704	12,704	12,704	12,704
Statewide Financial System	8,414	0	0	0	0
Victim Services, Office of	3,162	3,509	3,509	3,509	3,509
Functional Total	<u>34,793</u>	<u>28,960</u>	<u>28,960</u>	<u>28,960</u>	<u>28,960</u>
HIGHER EDUCATION					
City University of New York	38,368	41,301	42,363	43,425	44,509
Higher Education - Miscellaneous	179	198	198	198	198

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	<u>FY 2014 Results</u>	<u>FY 2015 Enacted</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>	<u>FY 2018 Projected</u>
Higher Education Services Corporation, New York State	24,887	17,631	17,631	17,631	17,631
State University Construction Fund	0	0	0	0	0
State University of New York	3,432,905	3,544,757	3,608,971	3,694,039	3,748,480
Functional Total	<u>3,496,339</u>	<u>3,603,887</u>	<u>3,669,163</u>	<u>3,755,293</u>	<u>3,810,818</u>
EDUCATION					
Education, Department of	57,879	56,647	56,647	56,647	56,647
<i>All Other</i>	57,879	56,647	56,647	56,647	56,647
Functional Total	<u>57,879</u>	<u>56,647</u>	<u>56,647</u>	<u>56,647</u>	<u>56,647</u>
GENERAL GOVERNMENT					
Budget, Division of the	3,577	2,359	2,479	2,573	2,626
Civil Service, Department of	277	334	334	334	334
Deferred Compensation Board	367	378	378	378	378
Gaming Commission, New York State	31,461	33,075	35,438	35,504	36,548
General Services, Office of	3,477	3,915	3,915	3,915	3,915
Lottery, Division of the	0	0	0	0	0
Public Employment Relations Board	89	159	159	0	0
Racing and Wagering Board, State	0	0	0	0	0
State, Department of	18,042	16,042	16,006	15,969	15,931
Taxation and Finance, Department of	32,944	41,354	41,354	41,354	41,354
Welfare Inspector General, Office of	0	0	0	0	0
Workers' Compensation Board	85,571	80,977	80,977	80,977	80,977
Functional Total	<u>175,805</u>	<u>178,593</u>	<u>181,040</u>	<u>181,004</u>	<u>182,063</u>
ELECTED OFFICIALS					
Audit and Control, Department of	10,651	10,437	10,437	10,437	10,437
Judiciary	55,956	57,900	57,900	57,900	57,900
Law, Department of	25,508	26,819	26,819	26,819	26,819
Functional Total	<u>92,115</u>	<u>95,156</u>	<u>95,156</u>	<u>95,156</u>	<u>95,156</u>
ALL OTHER CATEGORIES					
Miscellaneous	2,278	(94,761)	(99,761)	(124,761)	(144,761)
Functional Total	<u>2,278</u>	<u>(94,761)</u>	<u>(99,761)</u>	<u>(124,761)</u>	<u>(144,761)</u>
TOTAL PERSONAL SERVICE SPENDING	<u>6,737,044</u>	<u>6,703,035</u>	<u>6,845,132</u>	<u>6,856,152</u>	<u>6,881,141</u>

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	<u>FY 2014 Results</u>	<u>FY 2015 Enacted</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>	<u>FY 2018 Projected</u>
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	17,767	18,314	18,314	18,317	18,317
Alcoholic Beverage Control, Division of	5,210	4,861	4,863	4,865	4,867
Economic Development, Department of	995	1,847	1,847	1,847	1,847
Energy Research and Development Authority	1,411	2,397	2,397	2,397	2,397
Financial Services, Department of	55,825	55,529	55,446	55,446	55,446
Olympic Regional Development Authority	1,194	150	150	150	150
Public Service Department	7,785	9,341	9,338	9,338	9,341
Functional Total	<u>90,187</u>	<u>92,439</u>	<u>92,355</u>	<u>92,360</u>	<u>92,365</u>
PARKS AND THE ENVIRONMENT					
Environmental Conservation, Department of	50,570	47,352	47,073	46,973	36,926
Parks, Recreation and Historic Preservation, Office of	43,068	37,618	37,618	37,618	37,618
Functional Total	<u>93,638</u>	<u>84,970</u>	<u>84,691</u>	<u>84,591</u>	<u>74,544</u>
TRANSPORTATION					
Motor Vehicles, Department of	17,975	18,862	18,838	18,837	18,837
Transportation, Department of	12,269	12,625	12,612	12,612	12,612
Functional Total	<u>30,244</u>	<u>31,487</u>	<u>31,450</u>	<u>31,449</u>	<u>31,449</u>
HEALTH					
Aging, Office for the	0	1	1	1	1
Health, Department of	112,127	126,204	225,124	193,141	185,004
<i>Public Health</i>	112,127	126,204	225,124	193,141	185,004
Stem Cell and Innovation	31,832	37,428	37,428	37,428	37,428
Functional Total	<u>143,959</u>	<u>163,633</u>	<u>262,553</u>	<u>230,570</u>	<u>222,433</u>
SOCIAL WELFARE					
Children and Family Services, Office of	28,063	29,213	29,213	29,213	29,213
<i>OCFS</i>	28,063	29,213	29,213	29,213	29,213
Housing and Community Renewal, Division of	7,325	6,329	6,329	6,329	6,329
Labor, Department of	14,031	14,295	14,295	14,295	14,295
Temporary and Disability Assistance, Office of	(162)	200	200	200	200
<i>All Other</i>	(162)	200	200	200	200
Functional Total	<u>49,257</u>	<u>50,037</u>	<u>50,037</u>	<u>50,037</u>	<u>50,037</u>
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	20,044	20,031	19,971	19,954	19,945
<i>OASAS</i>	7,880	8,370	8,314	8,323	8,320
<i>OASAS - Other</i>	12,164	11,661	11,657	11,631	11,625
Justice Center	42	276	270	270	270
Mental Health, Office of	310,200	312,500	305,324	310,312	310,043
<i>OMH</i>	65,474	65,771	62,809	63,684	63,563
<i>OMH - Other</i>	244,726	246,729	242,515	246,628	246,480
Mental Hygiene, Department of	312	0	0	0	0
People with Developmental Disabilities, Office for	263,462	257,826	257,262	257,526	257,242
<i>OPWDD</i>	101	181	181	181	181
<i>OPWDD - Other</i>	263,361	257,645	257,081	257,345	257,061
Quality of Care and Advocacy for Persons With Disabilities, Commission on	51	0	0	0	0
Functional Total	<u>594,111</u>	<u>590,633</u>	<u>582,827</u>	<u>588,062</u>	<u>587,500</u>
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correctional Services, Department of	1,332	2,627	2,627	2,627	2,627
Criminal Justice Services, Division of	9,906	4,705	4,705	4,705	4,705
Homeland Security and Emergency Services, Division of	23,797	24,021	24,022	24,023	24,023
Indigent Legal Services, Office of	208	535	535	535	535
Military and Naval Affairs, Division of	3,067	2,716	2,716	2,716	2,716
State Police, Division of	42,384	50,361	33,487	33,490	33,495
Statewide Financial System	43,976	0	0	0	0
Victim Services, Office of	762	627	631	631	631
Functional Total	<u>125,432</u>	<u>85,592</u>	<u>68,723</u>	<u>68,727</u>	<u>68,732</u>
HIGHER EDUCATION					
City University of New York	40,471	48,209	48,939	49,687	50,454
Higher Education - Miscellaneous	88	1,003	1,003	1,003	1,003

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2014 Results	FY 2015 Enacted	FY 2016 Projected	FY 2017 Projected	FY 2018 Projected
Higher Education Services Corporation, New York State	34,772	36,702	36,702	36,702	36,702
State University Construction Fund	0	0	0	0	0
State University of New York	2,254,175	2,186,562	2,240,205	2,290,188	2,341,496
Functional Total	2,329,506	2,272,476	2,326,849	2,377,580	2,429,655
EDUCATION					
Education, Department of	29,204	24,474	24,474	24,461	24,461
<i>All Other</i>	29,204	24,474	24,474	24,461	24,461
Functional Total	29,204	24,474	24,474	24,461	24,461
GENERAL GOVERNMENT					
Budget, Division of the	3,942	3,014	2,887	2,795	2,743
Civil Service, Department of	724	420	420	420	420
Deferred Compensation Board	50	194	195	206	206
Elections, State Board of	134	0	0	0	0
Gaming Commission, New York State	105,652	133,327	129,756	129,813	129,850
General Services, Office of	5,313	6,440	7,442	7,443	7,443
Inspector General, Office of the	0	0	0	0	0
Labor Management Committees	38	300	300	300	300
Lottery, Division of the	0	0	0	0	0
Prevention of Domestic Violence, Office for	0	5	5	5	5
Public Employment Relations Board	(4)	43	43	43	44
Racing and Wagering Board, State	0	0	0	0	0
State, Department of	11,170	14,063	13,256	13,255	13,254
Taxation and Finance, Department of	32,443	25,427	24,536	23,910	23,949
Welfare Inspector General, Office of	(3)	0	0	0	0
Workers' Compensation Board	62,362	60,729	60,794	60,814	60,834
Functional Total	221,821	243,962	239,634	239,004	239,048
ELECTED OFFICIALS					
Audit and Control, Department of	3,534	5,344	5,344	5,344	5,344
Judiciary	28,574	54,200	54,200	54,200	54,200
Law, Department of	37,363	39,978	39,978	39,983	39,988
Legislature	1,204	950	950	950	950
Functional Total	70,675	100,472	100,472	100,477	100,482
ALL OTHER CATEGORIES					
Long-Term Debt Service	0	0	0	0	0
Miscellaneous	2,829	(137,987)	(137,985)	(137,985)	(187,984)
Functional Total	2,829	(137,987)	(137,985)	(137,985)	(187,984)
TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING	3,780,863	3,602,188	3,726,080	3,749,333	3,732,722

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	<u>FY 2014 Results</u>	<u>FY 2015 Enacted</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>	<u>FY 2018 Projected</u>
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	1,283	1,633	1,673	1,749	1,798
Alcoholic Beverage Control, Division of	4,649	4,529	4,643	4,798	4,973
Economic Development, Department of	0	28	28	28	28
Energy Research and Development Authority	2,454	2,168	2,240	2,240	2,240
Financial Services, Department of	78,052	83,127	84,505	86,556	88,946
Public Service Department	20,148	23,308	24,228	24,810	25,536
Functional Total	<u>106,586</u>	<u>114,793</u>	<u>117,317</u>	<u>120,181</u>	<u>123,521</u>
PARKS AND THE ENVIRONMENT					
Environmental Conservation, Department of	43,991	43,942	44,604	45,759	39,675
Parks, Recreation and Historic Preservation, Office of	2,803	2,895	2,895	2,895	2,895
Functional Total	<u>46,794</u>	<u>46,837</u>	<u>47,499</u>	<u>48,654</u>	<u>42,570</u>
TRANSPORTATION					
Motor Vehicles, Department of	26,901	24,535	25,152	25,838	26,635
Transportation, Department of	5,733	4,267	4,311	4,416	4,538
Functional Total	<u>32,634</u>	<u>28,802</u>	<u>29,463</u>	<u>30,254</u>	<u>31,173</u>
HEALTH					
Health, Department of	35,901	28,416	34,581	35,188	35,288
<i>Public Health</i>	35,901	28,416	34,581	35,188	35,288
Stem Cell and Innovation	276	0	0	0	0
Functional Total	<u>36,177</u>	<u>28,416</u>	<u>34,581</u>	<u>35,188</u>	<u>35,288</u>
SOCIAL WELFARE					
Children and Family Services, Office of	1,041	2,658	2,658	2,658	2,658
<i>OCFS</i>	1,041	2,658	2,658	2,658	2,658
Housing and Community Renewal, Division of	15,675	16,678	16,678	16,678	16,678
Labor, Department of	17,508	18,726	18,726	18,726	18,726
Functional Total	<u>34,224</u>	<u>38,062</u>	<u>38,062</u>	<u>38,062</u>	<u>38,062</u>
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	32,568	30,494	30,758	31,070	31,689
<i>OASAS</i>	15,166	13,060	12,603	13,030	13,290
<i>OASAS - Other</i>	17,402	17,434	18,155	18,040	18,399
Justice Center	443	1,669	1,697	1,734	1,780
Mental Health, Office of	585,395	618,115	638,275	646,689	658,402
<i>OMH</i>	191,169	160,547	172,587	172,941	175,004
<i>OMH - Other</i>	394,226	457,568	465,688	473,748	483,398
People with Developmental Disabilities, Office for	624,001	626,211	647,669	668,282	680,927
<i>OPWDD</i>	0	0	0	0	0
<i>OPWDD - Other</i>	624,001	626,211	647,669	668,282	680,927
Quality of Care and Advocacy for Persons With Disabilities, Commission on	(30)	0	0	0	0
Functional Total	<u>1,242,377</u>	<u>1,276,489</u>	<u>1,318,399</u>	<u>1,347,775</u>	<u>1,372,798</u>
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correctional Services, Department of	40	124	127	132	136
Criminal Justice Services, Division of	70	80	85	88	88
Homeland Security and Emergency Services, Division of	636	1,003	1,045	1,078	1,112
Indigent Legal Services, Office of	489	378	378	378	378
Military and Naval Affairs, Division of	364	80	80	80	80
State Police, Division of	3,476	3,557	3,826	4,009	4,213
Victim Services, Office of	1,363	2,028	2,191	2,190	2,190
Functional Total	<u>6,438</u>	<u>7,250</u>	<u>7,732</u>	<u>7,955</u>	<u>8,197</u>
HIGHER EDUCATION					
City University of New York	6,072	6,000	6,000	6,000	6,000
Higher Education - Miscellaneous	99	99	99	99	99
Higher Education Services Corporation, New York State	10,491	10,011	10,248	10,601	11,013
State University Construction Fund	0	0	0	0	0
State University of New York	369,128	399,143	397,290	403,212	409,236
Functional Total	<u>385,790</u>	<u>415,253</u>	<u>413,637</u>	<u>419,912</u>	<u>426,348</u>

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	<u>FY 2014 Results</u>	<u>FY 2015 Enacted</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>	<u>FY 2018 Projected</u>
EDUCATION					
Education, Department of	30,829	32,209	32,662	33,457	34,379
<i>All Other</i>	<u>30,829</u>	<u>32,209</u>	<u>32,662</u>	<u>33,457</u>	<u>34,379</u>
Functional Total	<u>30,829</u>	<u>32,209</u>	<u>32,662</u>	<u>33,457</u>	<u>34,379</u>
GENERAL GOVERNMENT					
Budget, Division of the	2,220	1,496	1,443	1,557	1,657
Civil Service, Department of	144	172	176	176	176
Deferred Compensation Board	203	225	225	225	225
Gaming Commission, New York State	14,433	18,707	19,760	19,846	20,463
General Services, Office of	2,205	2,257	2,360	2,443	2,461
Lottery, Division of the	0	0	0	0	0
Racing and Wagering Board, State	0	0	0	0	0
State, Department of	7,502	9,103	9,212	9,414	9,651
Taxation and Finance, Department of	22,115	23,239	24,168	24,832	24,832
Welfare Inspector General, Office of	6	0	0	0	0
Workers' Compensation Board	45,500	45,917	48,228	49,948	51,902
Functional Total	<u>94,328</u>	<u>101,116</u>	<u>105,572</u>	<u>108,441</u>	<u>111,367</u>
ELECTED OFFICIALS					
Audit and Control, Department of	1,676	1,663	1,740	1,795	1,859
Judiciary	24,006	27,000	27,800	28,650	29,450
Law, Department of	15,906	14,879	14,879	14,879	14,879
Functional Total	<u>41,588</u>	<u>43,542</u>	<u>44,419</u>	<u>45,324</u>	<u>46,188</u>
ALL OTHER CATEGORIES					
Miscellaneous	1,288	1,476	1,508	1,508	1,511
Functional Total	<u>1,288</u>	<u>1,476</u>	<u>1,508</u>	<u>1,508</u>	<u>1,511</u>
TOTAL GENERAL STATE CHARGES SPENDING	<u>2,059,053</u>	<u>2,134,245</u>	<u>2,190,851</u>	<u>2,236,711</u>	<u>2,271,402</u>

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
LOCAL ASSISTANCE GRANTS
(thousands of dollars)**

	FY 2014 Results	FY 2015 Enacted	FY 2016 Projected	FY 2017 Projected	FY 2018 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Economic Development, Department of	7,051	6,055	6,055	6,055	6,055
Functional Total	7,051	6,055	6,055	6,055	6,055
PARKS AND THE ENVIRONMENT					
Parks, Recreation and Historic Preservation, Office of	1,119	1,270	1,270	1,270	1,270
Functional Total	1,119	1,270	1,270	1,270	1,270
TRANSPORTATION					
Motor Vehicles, Department of	11,954	18,000	18,000	18,000	18,000
Transportation, Department of	32,057	33,927	33,927	33,927	33,927
Functional Total	44,011	51,927	51,927	51,927	51,927
HEALTH					
Aging, Office for the	97,744	92,769	92,769	92,769	92,769
Health, Department of	26,353,981	28,916,241	30,431,614	32,897,453	34,796,228
<i>Medical Assistance</i>	24,236,599	26,999,648	28,469,388	30,851,388	32,692,503
<i>Medicaid Administration</i>	399,628	505,450	445,950	445,950	445,950
<i>Public Health</i>	1,717,754	1,411,143	1,516,276	1,600,115	1,657,775
Functional Total	26,451,725	29,009,010	30,524,383	32,990,222	34,888,997
SOCIAL WELFARE					
Children and Family Services, Office of	709,901	966,300	966,300	966,300	966,300
<i>OCFS</i>	709,901	966,300	966,300	966,300	966,300
Housing and Community Renewal, Division of	60,547	64,434	64,434	64,434	64,434
Labor, Department of	180,024	158,117	158,117	158,117	158,325
Temporary and Disability Assistance, Office of	3,979,361	3,441,676	3,441,676	3,441,676	3,441,676
<i>Welfare Assistance</i>	3,168,322	2,626,576	2,626,576	2,626,576	2,626,576
<i>All Other</i>	811,039	815,100	815,100	815,100	815,100
Functional Total	4,929,833	4,630,527	4,630,527	4,630,527	4,630,735
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	100,167	104,579	101,842	101,842	101,842
<i>OASAS</i>	100,167	104,579	101,842	101,842	101,842
Mental Health, Office of	32,025	32,025	32,025	32,025	32,025
<i>OMH</i>	32,025	32,025	32,025	32,025	32,025
People with Developmental Disabilities, Office for	8,523	8,500	8,500	8,500	8,500
<i>OPWDD</i>	8,523	8,500	8,500	8,500	8,500
Functional Total	140,715	145,104	142,367	142,367	142,367
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Criminal Justice Services, Division of	21,304	18,800	18,800	18,800	18,800
Homeland Security and Emergency Services, Division of	1,774,951	1,849,912	2,142,312	1,678,771	1,230,124
Victim Services, Office of	32,966	30,128	30,128	30,128	30,128
Functional Total	1,829,221	1,898,840	2,191,240	1,727,699	1,279,052
HIGHER EDUCATION					
Higher Education Services Corporation, New York State	88	0	0	0	0
State University of New York	312	7,941	7,941	7,941	7,941
Functional Total	400	7,941	7,941	7,941	7,941
EDUCATION					
Arts, Council on the	608	420	420	420	420
Education, Department of	3,684,444	3,553,272	3,619,440	3,687,650	3,792,650
<i>School Aid</i>	2,581,241	2,634,750	2,729,750	2,785,000	2,890,000
<i>Special Education Categorical Programs</i>	781,361	805,300	821,700	829,920	829,920
<i>All Other</i>	321,842	113,222	67,990	72,730	72,730
Functional Total	3,685,052	3,553,692	3,619,860	3,688,070	3,793,070
GENERAL GOVERNMENT					
Elections, State Board of	678	100	0	0	0
General Services, Office of	0	250	250	250	250
State, Department of	74,398	55,457	55,457	55,457	55,457
Functional Total	75,076	55,807	55,707	55,707	55,707

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
LOCAL ASSISTANCE GRANTS
(thousands of dollars)**

	<u>FY 2014 Results</u>	<u>FY 2015 Enacted</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>	<u>FY 2018 Projected</u>
ALL OTHER CATEGORIES					
Miscellaneous	(239,503)	(284,940)	(306,990)	(306,990)	(306,990)
Functional Total	<u>(239,503)</u>	<u>(284,940)</u>	<u>(306,990)</u>	<u>(306,990)</u>	<u>(306,990)</u>
TOTAL LOCAL ASSISTANCE GRANTS SPENDING	<u>36,924,700</u>	<u>39,075,233</u>	<u>40,924,287</u>	<u>42,994,795</u>	<u>44,550,131</u>

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	<u>FY 2014 Results</u>	<u>FY 2015 Enacted</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>	<u>FY 2018 Projected</u>
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	2,920	2,958	2,943	2,973	2,973
Financial Services, Department of	935	0	0	0	0
Public Service Department	1,110	1,393	1,202	1,202	1,202
Functional Total	<u>4,965</u>	<u>4,351</u>	<u>4,145</u>	<u>4,175</u>	<u>4,175</u>
PARKS AND THE ENVIRONMENT					
Environmental Conservation, Department of	26,338	26,673	27,118	27,113	27,113
Parks, Recreation and Historic Preservation, Office of	1,814	1,092	1,108	1,108	1,108
Functional Total	<u>28,152</u>	<u>27,765</u>	<u>28,226</u>	<u>28,221</u>	<u>28,221</u>
TRANSPORTATION					
Motor Vehicles, Department of	1,113	3,500	3,672	3,735	3,735
Transportation, Department of	4,043	5,264	5,387	5,387	5,387
Functional Total	<u>5,156</u>	<u>8,764</u>	<u>9,059</u>	<u>9,122</u>	<u>9,122</u>
HEALTH					
Aging, Office for the	5,525	6,613	6,488	6,519	6,519
Health, Department of	70,943	101,562	110,022	121,490	121,490
<i>Medicaid Administration</i>	20,956	22,000	22,000	22,000	22,000
<i>Public Health</i>	49,987	79,562	88,022	99,490	99,490
Medicaid Inspector General, Office of the	17,333	17,724	17,724	17,724	17,724
Functional Total	<u>93,801</u>	<u>125,899</u>	<u>134,234</u>	<u>145,733</u>	<u>145,733</u>
SOCIAL WELFARE					
Children and Family Services, Office of	25,710	28,376	28,984	28,984	29,567
<i>OCFS</i>	25,710	28,376	28,984	28,984	29,567
Housing and Community Renewal, Division of	7,282	7,033	7,325	7,407	7,490
Human Rights, Division of	2,672	2,754	2,852	2,852	2,883
Labor, Department of	215,996	208,303	213,099	212,952	215,168
National and Community Service	296	362	362	362	373
Temporary and Disability Assistance, Office of	69,526	75,394	80,019	80,820	81,629
<i>All Other</i>	69,526	75,394	80,019	80,820	81,629
Functional Total	<u>321,482</u>	<u>322,222</u>	<u>332,641</u>	<u>333,377</u>	<u>337,110</u>
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	5,403	4,424	4,424	4,424	4,426
<i>OASAS</i>	5,403	4,424	4,424	4,424	4,426
Developmental Disabilities Planning Council	1,045	1,230	1,253	1,253	1,266
Justice Center	27	100	101	102	103
Mental Health, Office of	858	584	584	584	584
<i>OMH</i>	858	584	584	584	584
Quality of Care and Advocacy for Persons With Disabilities, Commission on	114	0	0	0	0
Functional Total	<u>7,447</u>	<u>6,338</u>	<u>6,362</u>	<u>6,363</u>	<u>6,379</u>
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correctional Services, Department of	16,517	16,084	15,753	15,753	15,753
Criminal Justice Services, Division of	4,405	5,397	5,560	5,602	5,602
Homeland Security and Emergency Services, Division of	31,678	6,332	6,490	6,490	6,490
Military and Naval Affairs, Division of	21,660	11,957	11,957	11,957	11,957
State Police, Division of	10,272	7,800	7,000	7,000	7,000
Victim Services, Office of	1,195	1,578	1,657	1,658	1,658
Functional Total	<u>85,727</u>	<u>49,148</u>	<u>48,417</u>	<u>48,460</u>	<u>48,460</u>
HIGHER EDUCATION					
Higher Education Services Corporation, New York State	240	836	836	836	836
State University of New York	8,765	7,229	7,229	7,229	7,229
Functional Total	<u>9,005</u>	<u>8,065</u>	<u>8,065</u>	<u>8,065</u>	<u>8,065</u>
EDUCATION					
Education, Department of	79,631	85,355	87,261	84,486	84,486
<i>School Aid</i>	187	196	196	0	0
<i>Special Education Categorical Programs</i>	8,508	0	0	0	0
<i>All Other</i>	70,936	85,159	87,065	84,486	84,486

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	<u>FY 2014 Results</u>	<u>FY 2015 Enacted</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>	<u>FY 2018 Projected</u>
Functional Total	79,631	85,355	87,261	84,486	84,486
GENERAL GOVERNMENT					
Elections, State Board of	0	80	80	0	0
Prevention of Domestic Violence, Office for	59	0	0	0	0
State, Department of	2,524	3,663	3,731	3,731	3,731
Veterans' Affairs, Division of	385	782	796	796	796
Functional Total	<u>2,968</u>	<u>4,525</u>	<u>4,607</u>	<u>4,527</u>	<u>4,527</u>
ELECTED OFFICIALS					
Judiciary	1,560	0	0	0	0
Law, Department of	17,486	19,777	20,146	20,299	20,454
Functional Total	<u>19,046</u>	<u>19,777</u>	<u>20,146</u>	<u>20,299</u>	<u>20,454</u>
TOTAL PERSONAL SERVICE SPENDING	<u>657,380</u>	<u>662,209</u>	<u>683,163</u>	<u>692,828</u>	<u>696,732</u>

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	<u>FY 2014 Results</u>	<u>FY 2015 Enacted</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>	<u>FY 2018 Projected</u>
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	7,814	9,056	9,238	9,426	9,612
Economic Development, Department of	237	245	245	245	245
Financial Services, Department of	936	0	0	0	0
Public Service Department	271	57	54	54	54
Functional Total	<u>9,258</u>	<u>9,358</u>	<u>9,537</u>	<u>9,725</u>	<u>9,911</u>
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	125	350	350	350	350
Environmental Conservation, Department of	17,485	16,756	16,756	16,756	16,756
Parks, Recreation and Historic Preservation, Office of	2,828	1,110	1,110	1,110	1,110
Functional Total	<u>20,438</u>	<u>18,216</u>	<u>18,216</u>	<u>18,216</u>	<u>18,216</u>
TRANSPORTATION					
Motor Vehicles, Department of	2,585	3,659	3,740	3,813	3,813
Transportation, Department of	1,924	2,675	2,745	2,745	2,745
Functional Total	<u>4,509</u>	<u>6,334</u>	<u>6,485</u>	<u>6,558</u>	<u>6,558</u>
HEALTH					
Aging, Office for the	964	1,018	1,025	1,029	1,029
Health, Department of	410,791	311,405	169,737	169,739	169,739
<i>Medicaid Administration</i>	190,859	130,800	130,800	130,800	130,800
<i>Public Health</i>	219,932	180,605	38,937	38,939	38,939
Medicaid Inspector General, Office of the	5,825	6,843	6,843	6,843	6,843
Functional Total	<u>417,580</u>	<u>319,266</u>	<u>177,605</u>	<u>177,611</u>	<u>177,611</u>
SOCIAL WELFARE					
Children and Family Services, Office of	56,102	62,913	62,924	62,924	66,230
<i>OCFS</i>	56,102	62,913	62,924	62,924	66,230
Housing and Community Renewal, Division of	2,409	2,357	2,396	2,452	2,514
Human Rights, Division of	1,529	1,198	1,230	1,230	1,262
Labor, Department of	78,572	87,688	87,768	87,766	90,180
National and Community Service	18,560	13,860	13,860	13,860	14,969
Temporary and Disability Assistance, Office of	59,985	65,316	66,994	68,663	70,443
<i>All Other</i>	59,985	65,316	66,994	68,663	70,443
Functional Total	<u>217,157</u>	<u>233,332</u>	<u>235,172</u>	<u>236,895</u>	<u>245,598</u>
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	2,382	1,516	1,555	1,556	1,558
<i>OASAS</i>	2,382	1,516	1,555	1,556	1,558
Developmental Disabilities Planning Council	1,675	2,312	2,234	2,217	2,175
Justice Center	199	497	510	523	537
Mental Health, Office of	851	153	153	153	153
<i>OMH</i>	851	153	153	153	153
People with Developmental Disabilities, Office for	972	1,000	1,000	1,000	1,000
<i>OPWDD</i>	972	1,000	1,000	1,000	1,000
Quality of Care and Advocacy for Persons With Disabilities, Commission on	2,201	0	0	0	0
Functional Total	<u>8,280</u>	<u>5,478</u>	<u>5,452</u>	<u>5,449</u>	<u>5,423</u>
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correctional Services, Department of	1,195	1,191	1,191	1,191	1,191
Criminal Justice Services, Division of	6,892	6,615	6,618	6,749	6,749
Homeland Security and Emergency Services, Division of	89,197	104,466	55,819	6,812	6,812
Military and Naval Affairs, Division of	11,190	22,591	22,503	22,290	22,290
State Police, Division of	10,856	11,000	11,000	11,000	11,000
Victim Services, Office of	217	502	502	512	512
Functional Total	<u>119,547</u>	<u>146,365</u>	<u>97,633</u>	<u>48,554</u>	<u>48,554</u>
HIGHER EDUCATION					
City University of New York	3,356	0	0	0	0
Higher Education Services Corporation, New York State	4,578	5,797	5,797	5,797	5,797
State University of New York	293,027	227,631	227,631	227,631	227,631
Functional Total	<u>300,961</u>	<u>233,428</u>	<u>233,428</u>	<u>233,428</u>	<u>233,428</u>
EDUCATION					

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2014 Results	FY 2015 Enacted	FY 2016 Projected	FY 2017 Projected	FY 2018 Projected
Arts, Council on the	0	100	100	100	100
Education, Department of	110,898	198,942	199,178	62,617	62,617
<i>School Aid</i>	14	103	103	0	0
<i>Special Education Categorical Programs</i>	7,285	0	0	0	0
<i>All Other</i>	103,599	198,839	199,075	62,617	62,617
Functional Total	110,898	199,042	199,278	62,717	62,717
GENERAL GOVERNMENT					
Elections, State Board of	4,776	19,000	1,000	0	0
General Services, Office of	6,294	4,987	4,987	4,987	4,987
State, Department of	632	4,024	4,039	4,039	4,039
Taxation and Finance, Department of	472	1,220	1,220	1,220	1,220
Veterans' Affairs, Division of	124	578	592	592	592
Workers' Compensation Board	7,553	3,624	3,624	3,624	3,624
Functional Total	19,851	33,433	15,462	14,462	14,462
ELECTED OFFICIALS					
Judiciary	4,088	6,500	6,500	6,500	6,500
Law, Department of	7,435	7,714	8,004	8,153	8,305
Functional Total	11,523	14,214	14,504	14,653	14,805
ALL OTHER CATEGORIES					
Miscellaneous	15	0	0	0	0
Functional Total	15	0	0	0	0
TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING	1,240,017	1,218,466	1,012,772	828,268	837,283

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	FY 2014 Results	FY 2015 Enacted	FY 2016 Projected	FY 2017 Projected	FY 2018 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	1,762	1,193	1,307	1,361	1,422
Financial Services, Department of	200	0	0	0	0
Public Service Department	777	862	684	700	720
Functional Total	2,739	2,055	1,991	2,061	2,142
PARKS AND THE ENVIRONMENT					
Environmental Conservation, Department of	15,454	10,392	10,671	10,668	10,668
Parks, Recreation and Historic Preservation, Office of	109	621	630	630	630
Functional Total	15,563	11,013	11,301	11,298	11,298
TRANSPORTATION					
Motor Vehicles, Department of	381	1,872	2,089	2,176	2,237
Transportation, Department of	2,058	2,970	3,169	3,169	3,169
Functional Total	2,439	4,842	5,258	5,345	5,406
HEALTH					
Aging, Office for the	0	22	22	22	22
Health, Department of	30,532	28,970	25,444	25,979	25,979
<i>Public Health</i>	30,532	28,970	25,444	25,979	25,979
Medicaid Inspector General, Office of the	10,081	9,375	9,375	9,375	9,375
Functional Total	40,613	38,367	34,841	35,376	35,376
SOCIAL WELFARE					
Children and Family Services, Office of	14,422	12,535	12,907	12,907	13,100
<i>OCFS</i>	14,422	12,535	12,907	12,907	13,100
Housing and Community Renewal, Division of	4,538	4,137	4,084	4,084	4,132
Labor, Department of	122,901	116,169	124,528	128,311	129,639
Temporary and Disability Assistance, Office of	40,913	40,393	44,973	44,973	44,973
<i>All Other</i>	40,913	40,393	44,973	44,973	44,973
Functional Total	182,774	173,234	186,492	190,275	191,844
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	0	2,027	2,863	2,993	3,106
<i>OASAS</i>	0	2,027	2,863	2,993	3,106
Developmental Disabilities Planning Council	428	658	713	730	759
Justice Center	21	57	59	60	63
Mental Health, Office of	401	313	332	341	350
<i>OMH</i>	401	313	332	341	350
Quality of Care and Advocacy for Persons With Disabilities, Commission on	65	0	0	0	0
Functional Total	915	3,055	3,967	4,124	4,278
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correctional Services, Department of	919	1,345	1,345	1,327	1,327
Criminal Justice Services, Division of	461	1,622	3,235	3,360	3,360
Homeland Security and Emergency Services, Division of	5,880	2,802	2,916	2,916	2,916
Military and Naval Affairs, Division of	4,523	6,660	6,959	7,172	7,172
State Police, Division of	1,132	1,500	1,500	1,500	1,500
Victim Services, Office of	0	354	372	372	372
Functional Total	12,915	14,283	16,327	16,647	16,647
HIGHER EDUCATION					
Higher Education Services Corporation, New York State	141	419	419	419	419
State University of New York	364	51	51	51	51
Functional Total	505	470	470	470	470
EDUCATION					
Education, Department of	51,969	48,534	51,530	49,907	49,907
<i>School Aid</i>	59	141	141	0	0
<i>Special Education Categorical Programs</i>	4,994	0	0	0	0
<i>All Other</i>	46,916	48,393	51,389	49,907	49,907
Functional Total	51,969	48,534	51,530	49,907	49,907
GENERAL GOVERNMENT					
Prevention of Domestic Violence, Office for	1	0	0	0	0
State, Department of	1,224	2,577	2,709	2,792	2,792
Veterans' Affairs, Division of	219	350	350	350	350

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	FY 2014 Results	FY 2015 Enacted	FY 2016 Projected	FY 2017 Projected	FY 2018 Projected
Functional Total	<u>1,444</u>	<u>2,927</u>	<u>3,059</u>	<u>3,142</u>	<u>3,142</u>
ELECTED OFFICIALS					
Judiciary	105	0	0	0	0
Law, Department of	<u>10,335</u>	<u>10,531</u>	<u>11,459</u>	<u>11,833</u>	<u>12,254</u>
Functional Total	<u>10,440</u>	<u>10,531</u>	<u>11,459</u>	<u>11,833</u>	<u>12,254</u>
TOTAL GENERAL STATE CHARGES SPENDING	<u><u>322,316</u></u>	<u><u>309,311</u></u>	<u><u>326,695</u></u>	<u><u>330,478</u></u>	<u><u>332,764</u></u>

General Fund Transfers From Other Funds
(thousands of dollars)

SFS Fund	Account Name	FY 2014 Results	FY 2015 Enacted	FY 2016 Projected	FY 2017 Projected	FY 2018 Projected
RBTF - Dedicated PIT in excess of Debt Service		8,821,785	9,037,778	9,635,698	10,235,192	10,663,898
STBF - Sales Tax Bond Fund		2,935,989	2,907,666	2,922,783	2,932,778	2,932,347
LGAC - Dedicated Sales Tax in excess of Debt Service		2,567,787	2,628,141	2,742,940	2,880,192	3,087,143
CWCA - Real Estate Transfer Tax in excess of Debt Service		704,606	761,140	826,236	884,785	957,871
Total All Other Transfers		890,890	1,153,266	819,290	777,083	750,381
339.22094	Accident Prevention Course	606	606	606	606	606
339.21982	Administration Program Account	-	1,301	1,301	1,301	1,301
339.22091	Adult Home Quality Enhancement Account	21	21	21	21	21
339.22033	Alcohol Beverage Control	-	837	837	837	837
339.22110	Assisted Living Residence Quality Oversight Account	9	9	9	9	9
339.22138	Authority Budget Office	-	45	45	45	45
323.550ZY	Building Administration Account - Internal Service Fund	-	25	25	25	25
339.219YL	Building Administration Account - Special Revenue Fund	-	1,006	1,006	1,006	1,006
339.21977	Business and Licensing Services Account	-	69,033	39,095	41,336	40,581
339.22028	Central Registry	1,822	1,822	1,822	1,822	1,822
339.21920	Certificate of Need Account	1,586	1,086	1,086	1,086	1,086
334.55055	Civil Service Administration	-	1,651	1,651	1,651	1,651
339.21962	Clinical Laboratories Fee Account	-	289	289	289	289
339.21904	Code Enforcement Account	14,810	14,810	14,810	14,810	14,810
339.21922	Continuing Care Retirement Community Account	2	2	2	2	2
331.50318	Convention Center Account	-	9	9	9	9
397.55350	Correctional Industries	-	357	357	357	357
339.21945	Criminal Justice Improvement	8,377	8,916	8,611	8,596	8,596
339.22042	DED Marketing Account	631	131	131	131	131
072.30050	Dedicated Highway and Bridge Trust Fund	-	19,045	19,045	19,045	19,045
072.30050	Dedicated Highway and Bridge Trust Fund	-	20,702	20,702	20,702	20,702
323.55010	Design and Construction Account	-	433	433	433	433
339.22087	DMV-Compulsory Insurance Fund	13,409	11,043	10,043	9,923	9,766
339.21923	DOL Fee Penalty	9,372	8,372	8,372	8,372	8,372
366.23102	Drinking Water Program Account	-	1,108	1,108	1,108	1,108
061.20809	Emergency Medical Services Training Account	-	131	131	131	131
396.55301	Employee Benefit Division Administration	-	639	639	639	639
334.55056	Employee Health Services Occupational Health Program	-	8	8	8	8
301.21080	ENCON Magazine Account	131	131	131	131	131
339.21959	Environmental Laboratory Fee Account	-	131	131	131	131
078.304CC	Environmental Protection Fund	15,000	-	-	-	-
301.21081	Environmental Regulatory Account	-	1,459	1,459	1,459	1,459
339.22101	EPIC Premium Account	51,847	-	-	-	-
307.21351	Equipment Loan Fund	7	7	7	7	7
339.22065	Examination and Miscellaneous Revenue	1,566	1,961	1,961	1,961	1,961
323.550ZX	Executive Direction Program Fund	-	5	5	5	5
267.25200	Federal Education	-	669	669	669	669
267.25200	Federal Education	350	900	900	900	900
265.25100	Federal HHS - AG&MKTS	-	100	50	50	50
265.25100	Federal HHS - AGING	-	772	772	772	772
265.25100	Federal HHS - DOH	-	2,326	2,326	2,326	2,326
265.25100	Federal HHS - OCFS	8,038	8,390	8,390	8,390	8,390
265.25100	Federal HHS - OMIG	-	1,579	1,579	1,579	1,579
265.25100	Federal HHS - OTDA	115,079	140,521	100,800	100,800	100,800
301.21065	Federal Indirect Recovery Account	-	134	134	134	134
290.25300	Federal Operating Grant - DHCR	-	401	401	401	401
290.25300	Federal Operating Grant - DOH	-	102	102	102	102
290.25300	Federal Operating Grant - HSES	10,000	2,320	-	-	-
290.25300	Federal Operating Grant - STATE	-	59	59	59	59
261.25000	Federal USDA/FNS - AG&MKTS	-	900	450	450	450
261.25000	Federal USDA/FNS - DOH	-	3,251	3,251	3,251	3,251
261.25000	Federal USDA/FNS - OTDA	7,745	52,455	30,100	30,100	30,100
339.21950	Fingerprint Identification and Technology Account	-	12,563	12,563	12,563	12,563
339.21996	Fire Protection	13	13	13	13	13
339.21900	Fund Sweeps	-	100,000	-	-	-
339.22075	Funeral Directing Account	8	8	8	8	8
312.31500	Hazardous Waste	24,249	28,849	28,849	28,849	28,849
396.55300	Health Insurance Revolving Fund	-	3,428	3,428	3,428	3,428
339.22140	Helen Hayes Hospital Account	-	299	299	299	299
339.21960	HESC Insurance Premium Payments Account	-	12,120	12,120	12,120	12,120
345.22656	Hospitals Debt Service	51,700	58,536	42,170	38,564	38,564
339.22090	Housing Indirect Cost Recovery Account	-	201	201	201	201
339.21930	I Love NY Water Account	64	64	64	64	64
345.22653	Income Fund Revenues	26,000	35,762	47,543	57,022	54,322
390.23551	Indigent Legal	11,000	-	-	-	-

General Fund Transfers From Other Funds
(thousands of dollars)

SFS Fund	Account Name	FY 2014 Results	FY 2015 Enacted	FY 2016 Projected	FY 2017 Projected	FY 2018 Projected
301.21060	Indirect Charges Account	523	863	863	863	863
339.21994	Insurance Department	1,200	-	-	-	-
339.22096	Legal Services Assistance	-	2,000	2,000	2,000	2,000
052.20501	Local Government Records Management Improvement	782	782	782	782	782
339.22097	Local Public Health Services Account	5	5	5	5	5
160.20902	Lottery Administration	-	4,040	4,040	4,040	4,040
301.21066	Low Level Radioactive Waste Account	-	336	336	336	336
169.60615	Medicaid Recoveries Account	3,700	3,700	3,700	3,700	3,700
061.20804	Medical Assistance Account	-	-	-	-	-
301.21084	Mined Land Reclamation Account	-	1,300	1,300	1,300	1,300
314.21452	Mobile Source	-	4,594	4,594	4,594	4,594
225.23651	Mobility Tax Trust Fund	1,673	5,400	5,400	5,400	5,400
339.22144	Montrose Veteran's Home	-	67	67	67	67
169.60690	Mortgage Settlement Fund	-	22,817	22,817	22,817	-
339.22149	Motor Fuel Quality Account	-	200	200	200	200
354.22802	Motor Vehicle Enforcement	100,800	100,800	100,800	100,800	100,800
339.21976	Motorcycle Safety	6	6	6	6	6
225.23652	MTA Aid Trust	108	225	225	225	225
339.21989	Multi-Agency Training	500	-	-	-	-
339.22141	New York City Veterans' Home Account	-	107	107	107	107
339.22142	New York State Home for Veterans Account	-	119	119	119	119
339.22156	NYC Rent Revenue	-	115	115	115	115
314.21451	Operating Permit Program Account	-	110	110	110	110
339.22139	Patient Safety	73	73	73	73	73
339.22163	Patron Services Account	-	70	70	70	70
061.20816	Pilot Health Insurance Account	-	102	102	102	102
061.20814	Primary Care Initiatives Account	-	158	158	158	158
339.22051	Professional Education Services	2,777	2,777	2,777	2,777	2,777
339.22088	Professional Medical Conduct Account	1,000	291	291	291	291
050.20452	Proprietary Vocational School Supervision Fund	297	297	297	297	297
061.20815	Provider Collection Monitoring Account	-	674	674	674	674
339.22123	Public Safety Communications Fund	20,000	50,000	50,000	-	-
339.22011	Public Service Account	-	3,823	3,971	4,002	3,906
339.21915	Quality of Care Improvement Account	7,400	118,219	-	-	-
339.21965	Radiological Health Protection Program Account	216	216	216	216	216
339.21944	Radiology	1,350	1,350	1,350	1,350	1,350
339.21993	Radon Detection Device Account	2	2	2	2	2
339.22046	Regulation of Indian Gaming Account	-	327	327	327	327
339.22021	Regulation of Manufactured Housing Account	100	100	100	100	100
339.21912	Regulation of Racing Account	-	448	448	448	448
339.21900	Reserve for Transaction Risks	-	(86,732)	(109,989)	(110,007)	(110,007)
339.22024	Revenue Arrearage	22,554	22,908	22,925	22,840	22,677
323.550ZZ	Standards and Purchase Account - Internal Service Fund	-	1,354	1,354	1,354	1,354
339.219YN	Standards and Purchase Account - Special Revenue Fund	3,000	3,034	3,034	3,034	3,034
325.50050	State Fair Receipts Account	-	419	427	427	421
339.21902	Statewide Planning and Research	-	4,214	4,214	4,214	4,214
346.22700	Substance Abuse Services	1,000	-	-	-	-
339.22162	Systems & Technology	833	5,066	5,117	5,003	4,995
339.21969	Teacher Certification	861	861	861	861	861
339.22055	Traffic Adjudication Account	-	2,288	2,288	2,288	2,288
339.22002	Training Materials & Register Fees	58	-	-	-	-
339.21933	Transportation Surplus Property	1,303	803	803	803	803
339.22169	Tribal - State Compact	315,060	119,700	127,700	127,700	127,700
339.22044	Tug Hill Administration Account	-	10	10	10	10
050.20451	Tuition Reimbursement Fund	23	23	23	23	23
482.23601	UI Special Interest & Penalty Account	3,211	3,211	3,211	3,211	3,211
339.22172	Underground Facilities Safety Training	161	175	175	175	175
480.25900	Unemployment Insurance Administration Fund	-	41,184	41,184	41,184	41,184
339.22103	Vital Records Management Account	-	2,405	2,405	2,405	2,405
160.20903	VLT Administration Account	-	662	662	662	662
365.23051	Vocational Rehabilitation Fund	32	32	32	32	32
301.21053	Waste Tire Management and Recycling Program Account	2,000	-	-	-	-
339.22150	Weights and Measures Account	30	30	30	30	30
339.21995	Workers Compensation Board	-	16,253	16,253	16,253	16,253
339.22186	Youth Facility Per Diem Fund	24,810	55,000	55,000	55,000	55,000
TOTAL TRANSFERS FROM OTHER FUNDS		15,921,057	16,487,991	16,946,947	17,710,030	18,391,640

General Fund Transfers To Other Funds
(thousands of dollars)

SFS Fund	Account Name	FY 2014 Results	FY 2015 Enacted	FY 2016 Projected	FY 2017 Projected	FY 2018 Projected
Transfers to State Share Medicaid		1,576,014	1,638,392	1,313,124	1,280,591	1,155,591
Transfers to Debt Service Funds		1,971,572	1,081,062	1,058,231	1,457,232	1,509,337
Transfers to Capital Projects Funds		1,435,669	929,772	1,405,922	1,760,886	2,005,793
Transfers to SUNY University Operations		970,708	977,464	979,530	979,530	979,530
Total All Other Transfers		3,140,447	3,475,193	4,240,574	4,531,885	4,945,314
339.22033	Alcohol Beverage Control	18,151	19,851	19,851	19,851	19,851
020.20143	Alzheimers Disease Research & Assistance	268	250	250	250	250
334.55057	Banking Service	40,883	50,075	51,805	53,565	55,435
339.22157	Batavia-Medicaid Income Account	127	-	-	-	-
339.22032	Batavia School for the Blind Fund	900	900	900	900	900
020.20155	Breast Cancer Research & Education	446	650	650	650	650
334.55069	Centralized Technology Services	40,000	14,000	6,000	-	-
054.20601	Charter Schools Stimulus Fund	4,837	4,837	4,837	4,837	4,837
020.20100	Combined Expendable Trust	-	110,219	109,300	109,300	109,300
397.55350	Correctional Industries	9,500	11,500	10,500	10,500	10,500
340.22501	Court Facilities Incentive Aid Fund	106,978	106,800	107,000	107,000	107,000
073.20853	Dedicated Mass Trust Fund	-	5,013	5,013	5,013	5,013
345.22656	Disproportionate Share	66,939	87,764	87,764	87,764	87,764
319.40300	DOH Income Fund	17,708	16,079	16,079	16,079	16,079
339.22161	Empire State Stem Cell Trust	4,173	11,373	-	-	-
396.55301	Employee Benefit Division Administration	-	240	240	240	240
323.5502X	Executive Direction Program Fund	-	21,800	21,794	21,789	21,783
339.22015	Financial Crimes Revenue Fund	16,000	14,300	14,300	14,300	14,300
339.22074	FMS Account	52,600	-	-	-	-
396.55300	Health Insurance Internal Service	6,829	7,843	7,843	7,843	7,843
339.21934	Hospital and Nursing Home Management Account	5,782	-	-	-	-
345.22656	Hospital Operations	173,291	208,550	228,175	228,175	228,175
316.40250	Housing Debt	2,441	1,000	1,000	1,000	1,000
345.22653	Income Fund Revenues	-	-	-	14,251	13,540
345.22654	Income Offset Loan Repayment	8,318	8,318	8,318	8,318	8,318
390.23551	Indigent Legal Services	28,353	40,000	40,000	40,000	40,000
339.21909	Mental Hygiene Patient Income Fund	1,116,939	788,178	1,181,319	1,332,458	1,513,543
339.21907	Mental Hygiene Program Fund	1,017,568	1,428,292	1,857,787	1,987,573	2,218,417
313.21402	Metropolitan Mass Transportation	34,328	36,500	36,500	36,500	36,500
225.23651	Mobility Tax Trust Fund	329,434	334,825	335,213	335,593	335,940
169.60690	Mortgage Settlement Fund	-	58,000	-	-	-
368.23151	NYC County Clerk Operations Offset Fund	4,913	8,700	8,800	8,800	8,800
339.21905	NYS Thruway Authority Account	6,004	-	-	-	-
339.22038	OPWDD Provider	2,185	-	-	-	-
339.22163	Patron Services Account	2,408	-	-	-	-
020.20183	Prostate Cancer Research, Detection & Education	171	150	150	150	150
313.21401	Public Transportation Systems	12,000	14,809	14,809	14,809	14,809
073.20852	Railroad Account	-	8,772	8,772	8,772	8,772
301.21067	Recreation Account	245	-	-	-	-
339.22171	Recruitment Incentive and Retention	2,087	2,087	2,087	2,087	2,087
339.22053	Rome School for the Deaf Fund	1,019	1,020	1,020	1,020	1,020
339.219YN	Standards and Purchase Account - Special Revenue Fund	3,000	-	-	-	-
339.22168	Tax Revenue Arrearage	3,000	3,000	3,000	3,000	3,000
073.20851	Transit Authority	-	48,876	48,876	48,876	48,876
020.20128	WB Hoyt Memorial	622	622	622	622	622
TOTAL TRANSFERS TO OTHER FUNDS		9,094,410	8,101,883	8,997,381	10,010,124	10,595,565

**FY 2014 SPECIAL REVENUE FUND BALANCE SWEEPS
PURSUANT TO \$500 MILLION AUTHORIZATION
(in dollars)**

<u>Sending Fund</u>	<u>Receiving Fund</u>	<u>Transfer Amount</u>
339.22101 EPIC Premium Account	003 General Fund	51,846,901.52
345.22653 SUNY Income Fund Revenues	003 General Fund	4,000,000.00
339.22051 Professional Education Services	003 General Fund	2,777,000.00
301.21053 Waste Tire Management and Recycling	003 General Fund	2,000,000.00
339.21994 Insurance Department	003 General Fund	1,200,000.00
339.21920 Certificate of Need Account	003 General Fund	1,086,000.00
339.21969 Teacher Certification	003 General Fund	861,000.00
339.22162 Systems & Technology	003 General Fund	833,000.00
339.21933 Transportation Surplus Property	003 General Fund	803,000.00
052.20501 Local Government Records Management Improvement	003 General Fund	782,000.00
301.21060 Indirect Charges Account	003 General Fund	523,000.00
050.20452 Proprietary Vocational School Supervision Fund	003 General Fund	297,000.00
339.21965 Radiological Health Protection Program Account	003 General Fund	216,000.00
301.21080 ENCON Magazine Account	003 General Fund	131,000.00
339.22042 DED Marketing Account	003 General Fund	131,000.00
339.22021 Regulation of Manufactured Housing Account	003 General Fund	100,000.00
339.22139 Patient Safety	003 General Fund	73,000.00
339.21930 I Love NY Water Account	003 General Fund	64,000.00
339.22002 Training Materials and Register Fees	003 General Fund	58,000.00
365.23051 Vocational Rehabilitation Fund	003 General Fund	32,000.00
339.22150 Weights and Measure Account	003 General Fund	30,000.00
050.20451 Tuition Reimbursement Fund	003 General Fund	23,000.00
339.22091 Adult Home Quality Enhancement Account	003 General Fund	21,000.00
339.21996 Fire Protection	003 General Fund	13,000.00
339.22110 Assisted Living Residence Quality Oversight Account	003 General Fund	9,000.00
339.22075 Funeral Directing Account	003 General Fund	8,000.00
339.22097 Local Public Health Services Account	003 General Fund	5,000.00
339.21922 Continuing Care Retirement Community Account	003 General Fund	2,000.00
339.21993 Radon Detection Device Account	003 General Fund	2,000.00
	Total Transfers	67,926,901.52

**CASH COMBINING STATEMENT
GENERAL FUND
FY 2015
(millions of dollars)**

	Tax		Community		Rainy Day Reserve Fund	Refund Reserve	Eliminations	Total
	General Fund	Stabilization Reserve Fund	Contingency Reserve Fund	Projects Fund				
Opening Fund Balance	0	1,131	21	87	350	646	0	2,235
Receipts:								
Taxes	42,659	0	0	0	0	0	0	42,659
Miscellaneous Receipts	3,815	0	0	0	0	0	0	3,815
Federal Grants	0	0	0	0	0	0	0	0
Total receipts	46,474	0	0	0	0	0	0	46,474
Disbursements:								
Grants to Local Governments	42,046	0	0	72	0	0	0	42,118
State Operations	7,850	0	0	0	0	0	0	7,850
General State charges	5,072	0	0	0	0	0	0	5,072
Debt Service	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0
Total disbursements	54,968	0	0	72	0	0	0	55,040
Other financing sources (uses):								
Transfers from Other Funds	46,849	0	0	0	0	13	(30,374)	16,488
Transfers to Other Funds	(38,355)	0	0	(15)	0	(106)	30,374	(8,102)
Bond and Note Proceeds	0	0	0	0	0	0	0	0
Net other financing sources (uses)	8,494	0	0	(15)	0	(93)	0	8,386
Change in Fund Balance	0	0	0	(87)	0	(93)	0	(180)
Closing Fund Balance	0	1,131	21	0	350	553	0	2,055

**CASH COMBINING STATEMENT
SPECIAL REVENUE FUNDS
FY 2015
(thousands of dollars)**

	019	020	023	024	025	050	052	053	054	059	061	073	160
Opening Fund Balance	2,257	67,229	9,342	108	75	5,778	4,077	0	5,440	0	9,024	71,861	86,672
Receipts:													
Taxes	0	0	0	0	0	0	0	3,429,375	0	0	970,000	473,900	0
Miscellaneous Receipts	142	(89,295)	10,000	290	65	3,757	9,233	0	0	0	4,537,300	127,506	3,324,660
Federal Grants	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Receipts	142	(89,295)	10,000	290	65	3,757	9,233	3,429,375	0	0	5,507,300	601,406	3,324,660
Disbursements:													
Grants to Local Governments	0	6,707	7,500	0	0	0	5,056	3,429,375	4,837	0	5,325,662	678,625	3,215,604
State Operations	144	9,484	1,252	420	251	2,345	2,163	0	0	0	52,170	0	143,034
General State Charges	0	1,079	438	186	133	818	1,002	0	0	0	3,543	0	12,924
Debt Service	0	0	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	144	17,270	9,190	606	384	3,163	8,221	3,429,375	4,837	0	5,381,375	678,625	3,371,562
Other Financing Sources (Uses):													
Transfers from Other Funds	0	111,891	0	300	300	0	0	0	4,837	0	0	62,661	0
Transfers to Other Funds	0	0	0	(8)	0	(562)	(1,383)	0	0	0	(134,944)	0	(4,702)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	(2)	111,891	0	292	300	(562)	(1,383)	0	4,837	0	(134,944)	62,661	(4,702)
Change in Fund Balance	(2)	5,326	810	(24)	(19)	32	(371)	0	0	0	(9,019)	(14,558)	(51,604)
Closing Fund Balance	2,255	72,555	10,152	84	56	5,810	3,706	0	5,440	0	5	57,303	35,068
221	225	261	265	267	269	290	290	300	301	302	303	305	306
12,164	72,966	1,441	(51,673)	(1,832)	0	(124,090)	1,033	(32,729)	88,061	19,350	1,050	8,398	
Receipts:													
Taxes	0	1,411,000	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	22,314	190,690	98,900	49,479	2,755	0	(23,245)	3,700	76,139	49,969	48,821	49,365	9,200
Federal Grants	650	0	1,930,660	35,371,297	3,312,158	0	2,535,605	0	0	0	0	0	0
Total Receipts	22,964	1,601,690	2,029,560	35,420,776	3,314,913	0	2,512,360	3,700	76,139	49,969	48,821	49,365	9,200
Disbursements:													
Grants to Local Governments	0	1,931,003	1,902,250	32,324,218	2,687,768	0	2,002,476	0	0	0	0	0	0
State Operations	22,647	0	61,969	632,517	560,661	0	329,719	3,645	70,926	37,869	24,779	34,089	12,800
General State Charges	0	0	9,284	92,745	52,205	0	38,941	0	21,526	14,452	6,221	13,054	200
Debt Service	0	0	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	22,647	1,931,003	1,973,503	33,049,480	3,300,634	0	2,371,136	3,645	92,452	52,321	31,000	47,143	13,000
Other Financing Sources (Uses):													
Transfers from Other Funds	0	334,825	0	0	0	0	0	0	19,660	75	20,006	0	0
Transfers to Other Funds	0	(5,625)	(57,497)	(2,318,264)	(12,446)	0	(17,137)	(78)	(10,037)	(1,891)	(36,658)	0	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	0	329,200	(57,497)	(2,318,264)	(12,446)	0	(17,137)	(78)	9,623	(1,816)	(16,652)	0	0
Change in Fund Balance	317	(113)	(1,440)	53,032	1,833	0	(124,087)	(23)	(6,690)	(4,168)	1,169	2,222	(3,800)
Closing Fund Balance	12,481	72,853	1	1,359	1	0	(3)	1,010	(39,419)	83,893	20,519	3,272	4,598

**CASH COMBINING STATEMENT
SPECIAL REVENUE FUNDS
FY 2015
(thousands of dollars)**

	307	313	314	318	321	330	332	333	338	339	340	341	345
Opening Fund Balance	516	181,364	(21,758)	67	10,485	168,760	3,489	(1)	812	895,046	4,346	49	597,029
Receipts:													
Taxes	0	2,067,100	0	0	0	0	0	0	0	9	0	0	0
Miscellaneous Receipts	63	21,400	42,500	0	1,719	332,415	115	75	60	2,284,870	0	0	4,385,503
Federal Grants	0	0	0	0	0	0	0	0	0	89	0	0	0
Total Receipts	63	2,088,500	42,500	0	1,719	332,415	115	75	60	2,284,968	0	0	4,385,503
Disbursements:													
Grants to Local Governments	0	2,109,498	0	0	0	0	0	0	98	2,166,827	104,200	0	0
State Operations	82	3,630	26,685	0	950	0	59	75	0	4,233,937	1,800	0	5,426,257
General State Charges	0	1,659	11,057	0	0	0	0	0	0	1,634,678	800	0	381,143
Debt Service	0	0	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	(15)	0	0	0
Total Disbursements	82	2,114,787	37,742	0	950	0	59	75	98	8,035,427	106,800	0	5,807,400
Other Financing Sources (Uses):													
Transfers from Other Funds	0	51,309	0	0	0	0	0	0	0	7,832,965	106,800	0	1,551,046
Transfers to Other Funds	(7)	(30,000)	(4,704)	0	(342,420)	0	0	0	0	(2,164,970)	(1,307)	0	(93,909)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	(7)	21,309	(4,704)	0	(342,420)	0	0	0	0	5,667,995	105,493	0	1,457,137
Change in Fund Balance	(26)	(4,978)	54	0	769	(10,005)	56	0	(38)	(82,464)	(1,307)	0	35,240
Closing Fund Balance	490	176,386	(21,704)	67	11,254	158,755	3,545	(1)	774	813,582	3,039	49	632,269
Opening Fund Balance	17,679	489	10,389	152	23	9,369	(5,011)	155	(6,723)	(49,884)	7,168	132,844	67
Receipts:													
Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	7,580	1,208	114,600	160	3,709	900	3,068	100	6,788	24,500	29,000	82,156	85
Federal Grants	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Receipts	7,580	1,208	114,600	160	3,709	900	3,068	100	6,788	24,500	29,000	82,156	85
Disbursements:													
Grants to Local Governments	6,970	0	4,537	0	0	852	0	20	0	0	0	0	0
State Operations	570	935	9,242	159	0	3,449	0	48	4,539	24,100	23,600	89,510	75
General State Charges	0	270	80	49	0	0	0	0	1,925	10,500	7,900	6,000	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	7,540	1,205	13,859	208	0	852	3,449	68	6,464	34,600	31,500	95,510	75
Other Financing Sources (Uses):													
Transfers from Other Funds	0	0	0	0	0	0	0	0	0	8,700	0	0	0
Transfers to Other Funds	0	0	(100,800)	0	0	0	0	(32)	(1,108)	0	0	0	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	0	0	(100,800)	0	0	0	0	(32)	(1,108)	8,700	0	0	0
Change in Fund Balance	40	3	(59)	(48)	3,709	48	(381)	0	(784)	(1,400)	(2,500)	(13,354)	10
Closing Fund Balance	17,719	492	10,330	104	3,732	9,417	(5,392)	155	(7,507)	(51,284)	4,668	119,490	77

**CASH COMBINING STATEMENT
SPECIAL REVENUE FUNDS
FY 2015
(thousands of dollars)**

	390	480	482	484	486	S01	SRO	SRE	Sub Total	Eliminations	Financial Plan
Opening Fund Balance	88,536	51,177	9,279	1,434	(2,209)	(15)	0	0	2,364,125	0	2,364,125
Receipts:											
Taxes	0	0	0	0	0	0	0	0	8,351,384	0	8,351,384
Miscellaneous Receipts	73,000	58,000	9,600	0	0	204,000	0	0	16,188,919	0	16,188,919
Federal Grants	0	325,395	0	6,847	171,007	0	0	(381)	43,654,327	0	43,654,327
Total Receipts	73,000	383,395	9,600	6,847	171,007	204,000	0	(381)	68,194,630	0	68,194,630
Disbursements:											
Grants to Local Governments	65,400	10,000	0	7,987	140,534	0	0	0	58,138,004	0	58,138,004
State Operations	26,539	273,010	3,215	0	22,799	8,749	0	0	12,186,898	0	12,186,898
General State Charges	378	110,673	946	0	5,463	284	0	0	2,442,556	0	2,442,556
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	(15)	0	(15)
Total Disbursements	92,317	393,683	4,161	7,987	168,796	9,033	0	0	72,768,443	0	72,768,443
Other Financing Sources (Uses):											
Transfers from Other Funds	40,000	0	0	0	0	0	0	0	10,145,375	(2,426,172)	7,719,203
Transfers to Other Funds	0	(41,184)	(3,211)	0	0	0	(101,286)	0	(5,486,170)	2,426,172	(3,059,998)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	40,000	(41,184)	(3,211)	0	0	0	(101,286)	0	4,659,205	0	4,659,205
Change in Fund Balance	20,683	(51,472)	2,228	(1,140)	2,211	194,967	(101,286)	(381)	85,392	0	85,392
Closing Fund Balance	109,219	(295)	11,507	294	2,194,952	(101,286)		(381)	2,449,517	0	2,449,517

CASH COMBINING STATEMENT BY ACCOUNT
SPECIAL REVENUE OTHER FUNDS (Excluding Fund 339)
FY 2015

(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
019.20000-Went Hyg Gifts	2,255	0	142	0	0	0	142	0	0	144	0	0	0	0	0	0	144	2,253
020.20100-Combined Exp Tr	(35)	0	(110,000)	0	0	110,219	219	0	0	0	0	0	0	0	0	0	0	184
020.20101-Planting Fields	1,401	0	350	0	0	0	350	0	216	48	7	0	127	0	0	0	398	1,353
020.20103-Chambers Restor	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
020.20105-Animal Disease	51	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	51
020.20107-DOCS Gift & Don	64	0	5	0	0	0	5	0	0	5	0	0	0	0	0	0	5	64
020.20109-Heien Hayes Hsp	68	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	68
020.20110-Oxford Donation	233	0	166	0	0	0	166	0	0	73	0	0	0	0	0	0	73	326
020.20111-Donat-St.Albans	5	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5
020.20112-CVB Gifts & Beq	56	0	5	0	0	0	5	0	0	8	0	0	0	0	0	0	8	53
020.20113-Donations-Bataw	13	0	19	0	0	0	19	0	0	19	0	0	0	0	0	0	19	13
020.20114-Montrose Donati	149	0	12	0	0	0	12	0	0	0	0	0	0	0	0	0	0	161
020.20116-IBR Genetic Cou	27	0	108	0	0	0	108	0	0	108	0	0	0	0	0	0	108	27
020.20118-Tech Transfer	14	0	50	0	0	0	50	0	0	50	0	0	0	0	0	0	50	14
020.20120-Spec Events	611	0	412	0	0	0	412	0	0	274	0	0	0	0	0	0	274	749
020.20123-L.M. Josephthal	51	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	51
020.20124-OSC Misc Grant	14	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	14
020.20126-NYSCB Ven Stand	1,145	0	814	0	0	0	814	0	41	470	0	0	734	0	0	0	1,245	714
020.20127-DMINA Military	12	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	12
020.20128-WB Hoyt Memoria	2,620	0	0	0	0	622	622	750	0	0	0	0	0	0	0	0	750	2,492
020.20129-NYSCB Gift& Beq	203	0	5	0	0	0	5	0	0	15	0	0	0	0	0	0	15	193
020.20130-St. Transim Money	19,338	0	8,000	0	0	0	8,000	0	0	930	0	0	0	0	0	0	930	26,408
020.20142-Youth Grants &	272	0	387	0	0	0	387	0	41	370	0	0	17	0	0	0	428	231
020.20143-Alzheimers Dis	2,535	0	175	0	0	250	425	0	0	1,250	0	0	0	0	0	0	1,250	1,710
020.20144-Local Gov Comm	142	0	12	0	0	0	12	0	0	7	0	0	0	0	0	0	7	147
020.20147-Prostate/Testic	414	0	(373)	0	0	0	(373)	0	0	0	0	0	0	0	0	0	0	41
020.20149-Autism Aware &	53	0	10	0	0	0	10	0	0	20	0	0	0	0	0	0	20	43
020.20150-Emergency Serv	11,599	0	2,688	0	0	0	2,688	3,101	127	93	4	0	72	0	0	0	3,397	10,890
020.20151-Batavia-Charlot	332	0	20	0	0	0	20	0	0	23	0	0	0	0	0	0	23	329
020.20152-Rome-Gifts And	70	0	20	0	0	0	20	0	0	19	0	0	0	0	0	0	19	71
020.20155-Br Can Res & Ed	8,587	0	540	0	0	650	1,190	0	0	4,000	0	0	0	0	0	0	4,000	5,777
020.20159-Community Relat	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
020.20162-Disab Tech Asst	30	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	30
020.20165-DMINA Youth Prog	98	0	5	0	0	0	5	0	0	5	0	0	0	0	0	0	5	98
020.20166-Erie Canal Muse	8	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	8
020.20167-Grants and Bequ	8	0	1	0	0	0	1	0	0	2	0	0	0	0	0	0	2	7
020.20174-Life Pass It on	833	0	200	0	0	0	200	0	0	200	0	0	0	0	0	0	200	833
020.20176-Misc. Gifts Acc	8,971	0	4,000	0	0	0	4,000	0	0	20	0	0	0	0	0	0	20	12,971
020.20178-Multiple Sclero	4	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	43
020.20182-Parole Ofcr Mem	43	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	43
020.20183-Prostate Cancer	3,511	0	613	0	0	150	763	1,750	0	0	0	0	0	0	0	0	1,750	2,524
020.20185-Percy T Phillip	40	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	40
020.20192-Missing Children	882	0	400	0	0	0	400	0	249	142	0	0	0	0	0	0	391	891
020.20199-HESC Gifts Dona	523	0	106	0	0	0	106	106	0	0	0	0	0	0	0	0	106	523
020.20184-DFY Rec & Welfr	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
020.20188-DAAA Grnts And	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
020.201DR-Human Rights Dis	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
020.201F1-Women Vet Monum	150	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	150
020.201FF-Ford Foundation	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
020.201GW-CCF Grts & Beqs	141	0	107	0	0	0	107	0	13	80	1	0	15	0	0	0	109	139
020.201HH-OWH Grant & Beq	928	0	20	0	0	0	20	0	0	21	0	0	0	0	0	0	21	927
020.201MI-RPMI Schoelkpf	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
020.201PG-DCJS - MUNY Pol	1	0	25	0	0	0	25	0	0	25	0	0	0	0	0	0	25	1
020.201RP-Aging Grants An	(1)	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	(1)
020.201RW-RW Johnson Foun	(5)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(5)

CASH COMBINING STATEMENT BY ACCOUNT
SPECIAL REVENUE OTHER FUNDS (Excluding Fund 339)
FY 2015

(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
020.201.XK-Grants Account	743	0	1,500	0	0	0	1,500	1,000	200	300	5	0	114	0	0	0	1,619	624
020.201.XX-S U Restrict Cur	12	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	12
020.201.ZS-Grants	229	0	300	0	0	0	300	0	0	0	0	0	0	0	0	0	0	529
020.201.ZZ-Donated Funds	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
020.20201-Veterans Rem Ce	38	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	38
023.20300-N Y Int Lawyers	9,341	0	10,000	0	0	0	10,000	7,500	647	554	51	0	438	0	0	0	9,190	10,151
024.20350-NY S Archvs Pine	108	0	290	0	0	300	590	0	292	119	9	0	186	0	0	8	614	84
025.20401-Child Performer	78	0	65	0	0	300	365	0	235	9	7	0	133	0	0	0	384	59
050.20451-Tuition Reimb	3,141	0	705	0	0	0	705	0	0	225	0	0	0	0	0	23	248	3,598
050.20452-Voc School Supe	2,636	0	3,052	0	0	0	3,052	0	1,438	641	41	0	818	0	0	539	3,477	2,211
052.20501-Loc Govt Record	4,075	0	9,233	0	0	0	9,233	5,056	1,763	350	50	0	1,002	0	0	1,383	9,604	3,704
053.20550-Sch Tax Relief	(1)	3,429,375	0	0	0	0	3,429,375	3,429,375	0	0	0	0	0	0	0	0	3,429,375	(1)
054.20601-Chr Sch Sti Ac	5,439	0	0	0	0	4,837	4,837	4,837	0	0	0	0	0	0	0	0	4,837	5,439
056.20701-Greenway Commun	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
056.20702-Greenway Herit	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
059.20751-Alcohol&Subst A	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
061.20800-LTC Ins Res Acc	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
061.20801-Tobacco Contr &	741	0	0	0	0	0	0	0	735	17	57	0	1,031	0	0	503	2,343	(1,602)
061.20802-Health Care Srv	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
061.20803-Medicaid Fraud	(2)	0	0	0	0	0	0	0	50	263	2	0	29	0	0	0	344	(346)
061.20804-Medical Assist.	4,067	0	0	0	0	0	0	3,556,129	0	0	0	0	0	0	0	0	3,556,129	(3,552,062)
061.20805-Enhanced Com	(2)	0	0	0	0	0	0	452,798	0	14,500	0	0	0	0	0	0	0	(2)
061.20807-HCRA Program	19,610	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(447,688)
061.20808-HCRA Transition	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
061.20809-EWS Training	2,015	0	0	0	0	0	0	0	1,600	13,712	70	0	1,289	0	0	798	17,469	(15,454)
061.20810-Child Health In	(93,052)	0	0	0	0	0	0	416,659	1,356	6,778	(18)	0	179	0	0	394	425,348	(518,400)
061.20811-HCRA Undistrib	62,677	970,000	4,490,100	0	0	0	5,460,100	0	0	0	0	0	0	0	0	121,244	121,244	5,401,533
061.20812-Hospital Based	(821)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(821)
061.20813-Ad Home Res Co	30	0	0	0	0	0	0	60	0	0	0	0	0	0	0	0	60	(30)
061.20814-Primary Care In	189	0	0	0	0	0	0	0	18	0	9	0	112	0	0	283	422	(233)
061.20815-Prev Coll Monit	386	0	0	0	0	0	0	0	(319)	159	6	0	295	0	0	1,166	1,307	(921)
061.20816-Pilot Health In	111	0	0	0	0	0	0	0	(77)	71	4	0	224	0	0	388	610	(499)
061.20817-Indigent Care	4	0	0	0	0	0	0	781,500	0	0	0	0	0	0	0	10,000	791,500	(791,496)
061.20819-Health Occup De	12,445	0	47,200	0	0	0	47,200	118,516	2,602	10,400	0	0	1	0	0	0	131,519	(71,874)
061.20820-Matern & Ch HIV	490	0	0	0	0	0	0	0	(94)	57	14	0	248	0	0	129	354	136
061.20821-Health Care Del	132	0	0	0	0	0	0	0	174	17	7	0	135	0	0	39	372	(240)
061.NY.SOH-NYS of Health	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
068.300DS-Dispro Sh Med	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
073.20851-Transit Authori	48,118	369,800	98,818	0	0	48,876	517,494	518,311	0	0	0	0	0	0	0	0	518,311	47,301
073.20852-Railroad Account	8,497	66,300	17,286	0	0	8,772	92,358	91,613	0	0	0	0	0	0	0	0	91,613	9,242
073.20853-DMTF	15,239	37,800	11,402	0	0	5,013	54,215	68,701	0	0	0	0	0	0	0	0	68,701	753
160.20901-Education - New	45,016	0	2,220,000	0	0	0	2,220,000	2,265,000	0	0	0	0	0	0	0	0	2,265,000	16
160.20902-Lottery Adm New	33,149	0	148,877	0	0	0	148,877	0	19,286	114,036	574	0	10,941	0	0	4,040	148,877	33,149
160.20903-VLT - Admin	1,650	0	11,783	0	0	0	11,783	950,604	3,279	5,755	104	0	1,983	0	0	662	11,783	1,650
160.20904-VLT - Education	6,859	0	944,000	0	0	0	944,000	0	0	22,647	0	0	0	0	0	0	950,604	255
221.20950-Comb Student Ln	12,165	0	22,314	650	0	0	22,964	0	0	0	0	0	0	0	0	0	22,647	12,482
225.23651-Mobility Tax Tr	49,908	1,266,000	9,600	0	0	334,825	1,610,425	1,605,003	0	0	0	0	0	0	0	5,400	1,610,403	49,930
225.23652-MTA Aid Trust	20,617	1,45,000	181,090	0	0	0	326,090	326,000	0	0	0	0	0	0	0	225	326,225	20,482
300.21002-Ercon Admin Acc	1,031	0	3,700	0	0	0	3,700	0	3,636	9	0	0	0	0	0	78	3,723	1,008
301.21051-ErCon Energy Ef	193	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	193
301.21052-ErCon-Seized AS	362	0	20	0	0	0	20	0	0	0	0	0	0	0	0	0	0	382
301.21053-Wst Tire Mgt/Re	8,215	0	24,000	0	0	0	24,000	0	11,639	5,000	420	0	6,617	0	0	0	23,676	8,539
301.21054-Oil & Gas Accou	91	0	108	0	0	0	108	0	0	98	0	0	0	0	0	0	98	101
301.21055-Marine/Coastal	108	0	13	0	0	0	13	0	0	0	0	0	0	0	0	0	0	121

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Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
301.21060-Indirect Charge	3,616	0	0	0	0	10,660	10,660	0	1,880	4,529	68	0	1,068	0	0	863	8,408	5,868
301.21061-Hazardous Sub B	465	0	350	0	0	0	350	0	152	33	8	0	86	0	0	0	279	536
301.21063-S-Area Landfill	20	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	20
301.21064-Utility Envir R	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
301.21065-Fed Indirect R	805	0	40	0	0	9,000	9,040	0	8,652	168	0	0	0	0	0	134	8,954	891
301.21066-Low Level Radio	(3,998)	0	2,789	0	0	0	2,789	0	1,364	144	46	0	790	0	0	666	3,010	(4,219)
301.21067-Recreation Acco	(11,186)	0	10,500	0	0	0	10,500	0	6,433	2,928	226	0	609	0	0	255	10,451	(11,137)
301.21077-Public Safety R	(1,482)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1,482)
301.21080-Encon Magazine	504	0	705	0	0	0	705	0	0	314	0	0	0	0	0	131	445	764
301.21081-Environment Enf	(27,420)	0	27,000	0	0	0	27,000	0	14,198	3,024	472	0	8,073	0	0	4,574	30,341	(30,761)
301.21082-Natural Resourc	(21,462)	0	4,000	0	0	0	4,000	0	2,127	397	136	0	1,209	0	0	400	4,269	(21,731)
301.21083-UST-Trust Recov	190	0	12	0	0	0	12	0	0	0	0	0	0	0	0	0	0	202
301.21084-Mined Land Recl	1,298	0	4,210	0	0	0	4,210	0	1,779	117	62	0	1,012	0	0	1,300	4,270	1,238
301.21089-SEQR Review	(43)	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	(43)
301.21057-Town Of Riverhe	17	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	17
301.2107V-ATV DESF	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
301.2107Z-Monitors-Aggre	16,971	0	2,391	0	0	0	2,391	0	3,824	493	194	0	2,062	0	0	1,714	8,287	11,075
301.21150-Conservation	33,082	0	43,134	0	0	75	43,209	0	24,309	10,740	879	0	13,823	0	0	1,816	51,567	24,724
302.21151-Marine Resource	1,834	0	4,200	0	0	0	4,200	0	991	619	74	0	563	0	0	0	2,247	3,787
302.21152-Migratory Bird	208	0	10	0	0	0	10	0	0	45	0	0	0	0	0	0	45	173
302.21153-Guides License	33	0	55	0	0	0	55	0	51	6	1	0	29	0	0	0	87	1
302.21154-Fish And Game T	52,456	0	2,500	0	0	0	2,500	0	0	0	0	0	0	0	0	75	75	54,881
302.21155-Surf Clam/Quaho	135	0	0	0	0	0	0	0	26	104	0	0	37	0	0	0	167	(32)
302.21156-Habitat Account	252	0	45	0	0	0	45	0	0	24	0	0	0	0	0	0	24	273
302.21157-Venison Donatio	22	0	25	0	0	0	25	0	0	0	0	0	0	0	0	0	0	47
302.21158-OUTDOOR REC & T	12	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	12
302.21159-ison Bequest	24	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	24
303.21201-Oil Spill - DAC	3	0	121	0	0	705	826	0	534	228	17	0	312	0	0	0	1,091	(262)
303.21202-Oil Sp Relocatn	4	0	0	0	0	301	301	0	19	28	5	0	85	0	0	0	137	168
303.21203-Oil Spill - DEC	399	0	0	0	0	19,000	19,000	0	10,243	815	286	0	5,824	0	0	2,952	20,120	(721)
303.21204-Oil Spill - DAC	18,944	0	35,000	0	0	0	35,000	0	0	12,604	0	0	0	0	0	20,006	32,610	21,334
303.21205-License Fee Sur	(1)	0	13,700	0	0	0	13,700	0	0	0	0	0	0	0	0	13,700	13,700	(1)
305.21251-OSH Trng & Educ	546	0	26,642	0	0	0	26,642	0	10,964	7,201	308	0	6,218	0	0	0	24,691	2,497
305.21252-OSHA Inspection	501	0	22,723	0	0	0	22,723	0	12,053	3,224	339	0	6,836	0	0	0	22,452	772
306.21301-CSF Regis Fee	8,400	0	9,200	0	0	0	9,200	0	600	12,200	0	0	200	0	0	0	13,000	4,600
307.21351-Equip Loan Fund	517	0	63	0	0	0	63	0	0	82	0	0	0	0	0	7	89	491
313.21401-Pub Tran Systs	(2,669)	80,300	0	0	0	14,809	95,109	94,450	564	392	14	0	323	0	0	0	95,743	(3,303)
313.21402-Metro Mass Tran	180,403	1,986,800	21,400	0	0	36,500	2,044,700	2,015,048	2,339	259	62	0	1,336	0	0	30,000	2,049,044	176,059
313.21403-Urban Mass Tran	106	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	106
313.21404-Add Mass Trans	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
314.21451-Operatng Permit	(17,083)	0	8,500	0	0	0	8,500	0	4,152	2,097	209	0	2,361	0	0	110	8,929	(17,512)
314.21452-Mobile Source	(4,679)	0	34,000	0	0	0	34,000	0	15,691	4,071	465	0	8,696	0	0	4,594	33,517	(4,196)
318.21501-Housing Reseve	66	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	66
321.21551-Legisj Comp R&D	10,425	0	1,717	0	0	0	1,717	0	0	950	0	0	0	0	0	0	950	11,192
321.21552-Demographics/Re	60	0	2	0	0	0	2	0	0	0	0	0	0	0	0	0	0	62
330.40350-S U Dorm Income	168,760	0	332,415	0	0	0	332,415	0	0	0	0	0	0	0	0	342,420	342,420	158,753
332.21651-Brunner Award	35	0	6	0	0	0	6	0	0	6	0	0	0	0	0	0	6	35
332.21652-William Vorce F	228	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	228
332.21653-Rocky Pocanico	34	0	110	0	0	0	110	0	0	52	0	0	0	0	0	0	52	92
332.21654-OPWDD Nonexp Tr	74	0	(1)	0	0	0	(1)	0	0	1	0	0	0	0	0	0	1	72
332.21655-Rockefeller Tru	3,000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3,000
332.21656-Helen Hayes Hos	15	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	15
332.21657-Cunningham Fund	100	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	100
333.21700-Wintr Sports Ed	0	0	75	0	0	0	75	0	0	75	0	0	0	0	0	0	75	0
335.21750-Nys Musical Ins	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1

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338.21851-Arts Capital Re	811	0	60	0	0	0	60	98	0	0	0	0	0	0	0	0	98	773
340.22501-CFIA Undistrib	4,345	0	0	0	0	106,800	106,800	104,200	1,700	100	0	0	800	0	0	1,307	108,107	3,038
341.22552-DFY-NYC Summer	50	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	50
345.22651-Interest Income	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
345.22652-L Vets Home	25,384	0	43,700	0	0	0	43,700	0	27,457	16,147	0	0	0	0	0	0	43,604	25,480
345.22653-S U Genl IFR	451,793	0	678,785	0	0	0	678,785	0	162,710	473,477	0	0	6,140	0	0	35,373	677,700	452,878
345.22654-S U Inc Offset	(27,688)	0	0	0	0	8,318	8,318	0	0	0	0	0	0	0	0	0	0	(19,370)
345.22655-Gen Rev Offset	(520)	0	1,605,539	0	0	977,464	2,583,003	0	2,076,368	481,035	0	0	0	0	0	0	2,557,403	25,080
345.22656-S U Hosp Ops	37,663	0	1,907,434	0	0	565,264	2,472,698	0	1,056,347	973,483	0	0	375,003	0	0	58,536	2,463,369	46,992
345.22657-SUNY Stabilizat	13,874	0	0	0	0	0	0	0	0	5,000	0	0	0	0	0	0	5,000	8,874
345.22658-State Univ Hosp	1,274	0	44,493	0	0	0	44,493	0	37,427	7,067	0	0	0	0	0	0	44,494	1,273
345.22659-SUNY Tuition Re	94,693	0	105,552	0	0	0	105,552	0	52,680	57,059	0	0	0	0	0	0	109,739	90,506
345.2266P-Bridge Program	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
346.22700-Chem Dep Svcs	17,677	0	7,580	0	0	0	7,580	6,970	0	570	0	0	0	0	0	0	7,540	17,717
349.22751-Lk George Park	490	0	1,208	0	0	0	1,208	0	666	250	19	0	270	0	0	0	1,205	493
354.22801-MVTIFA	4,772	0	4,700	0	0	0	4,700	4,537	134	4	4	0	80	0	0	0	4,759	4,713
354.22802-St Police MV En	5,619	0	109,900	0	0	0	109,900	0	4,000	5,100	0	0	0	0	0	100,800	109,900	5,619
355.22851-Great Lakes Pro	149	0	160	0	0	0	160	0	86	70	3	0	49	0	0	0	208	101
359.22901-Revenue Maximiz	1,577	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,577
359.22902-Local Maximizat	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
359.22908-Rev Maxim Contr	(1,555)	0	3,709	0	0	0	3,709	0	0	0	0	0	0	0	0	0	0	2,154
360.22950-Housing Develop	9,368	0	900	0	0	0	900	852	0	0	0	0	0	0	0	0	852	9,416
362.23001-DOT Comm Veh Sa	(5,011)	0	3,068	0	0	0	3,068	0	2,954	495	0	0	0	0	0	0	3,449	(5,392)
365.23051-Vocall Rehabil	157	0	100	0	0	0	100	20	0	48	0	0	0	0	0	32	100	157
366.23101-Drinking Water	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
366.23102-Drink Water DOH	(6,994)	0	6,788	0	0	0	6,788	0	3,927	483	129	0	1,925	0	0	1,108	7,572	(7,778)
368.23151-NYCCC Operat Of	(49,882)	0	24,500	0	0	8,700	33,200	0	20,600	3,500	0	0	10,500	0	0	0	34,600	(51,282)
369.23201-Jud Data Proc O	7,168	0	29,000	0	0	0	29,000	0	17,700	5,900	0	0	7,900	0	0	0	31,500	4,668
377.23267-CUNY Stabilizn	15,354	0	3,000	0	0	0	3,000	0	3,000	0	0	0	0	0	0	0	3,000	15,354
377.2327X-CUNY Tutin Reim	45,254	0	4,256	0	0	0	4,256	0	3,670	0	0	0	0	0	0	0	3,670	45,840
377.2327Y-CUNY Inc Reimb	72,237	0	74,900	0	0	0	74,900	0	34,631	48,209	0	0	6,000	0	0	0	88,840	58,297
385.23501-Lk Placid Train	68	0	85	0	0	0	85	0	0	75	0	0	0	0	0	0	75	78
390.23551-Indigent Legal	88,536	0	73,000	0	0	40,000	113,000	65,400	1,004	25,510	25	0	378	0	0	0	92,317	109,219
482.23601-UJ Sp Int & Pen	9,280	0	9,600	0	0	0	9,600	0	1,668	1,500	47	0	946	0	0	3,211	7,372	11,508
501.23701-Commer Game Rev	0	0	200,000	0	0	0	200,000	0	0	0	0	0	0	0	0	0	0	200,000
501.23702-Comm Game Regul	(15)	0	4,000	0	0	0	4,000	0	534	8,200	15	0	284	0	0	0	9,033	(5,048)
502.SCEAC-2014 St Com Ele	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2015

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.21901-Article VII Int	4,345	0	500	0	0	0	500	100	0	0	0	0	0	0	0	0	4,745
339.21902-S P A R C S	2,149	0	4,023	0	0	1,464	5,487	0	1,112	1,100	98	0	529	0	0	4,214	583
339.21903-OPWDD Provider	0	0	0	0	0	131,551	131,551	121,333	0	0	0	0	0	0	0	0	10,218
339.21904-Fire Prev/Code	4,700	0	14,810	0	0	0	14,810	0	0	0	0	0	0	0	0	14,810	4,700
339.21905-NYS Twp Police	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.21906-DMV Seiz Assets	311	0	50	0	0	0	50	0	0	182	0	0	0	0	0	0	179
339.21907-Mental Hygiene	(1,277)	0	76,464	0	0	3,066,684	3,143,148	1,350,299	928,853	208,958	26,266	0	523,748	0	0	107,217	(3,470)
339.21909-M H Patient Inc	8	0	0	0	0	2,486,629	2,486,629	0	1,318,911	310,683	38,334	0	751,072	0	0	68,021	(384)
339.21911-Fin Cntrl Board	(804)	0	3,132	0	0	0	3,132	0	1,500	734	46	0	852	0	0	0	(804)
339.21912-Reg of Racing	(5,952)	0	11,247	0	0	0	11,247	0	6,492	3,835	168	0	3,450	0	0	448	(9,098)
339.21913-NY Metro Trans	(21,091)	0	0	0	0	14,806	14,806	0	4,444	5,995	145	0	2,536	0	0	0	(19,405)
339.21914-S U Constr Fund	107	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	107
339.21915-Quality Care	52,983	0	0	0	0	64,563	64,563	0	0	0	0	0	0	0	0	118,219	(673)
339.21916-Nurses Aide Reg	1,000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,000
339.21917-Seized Assets	427	0	50	0	0	0	50	0	0	238	0	0	0	0	0	0	239
339.21918-Child Care & Pr	802	0	70	0	0	0	70	100	0	0	0	0	0	0	0	0	772
339.21919-Cyber Sec Upgr	726	0	1,500	0	0	0	1,500	0	0	1,548	0	0	0	0	0	0	678
339.21920-Cert of Need	35,326	0	2,959	0	0	0	2,959	0	2,187	1,071	64	0	1,154	0	0	4,086	29,723
339.21921-Lobbying Enforc	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.21922-Retir Community	652	0	131	0	0	0	131	0	28	2	2	0	15	0	0	2	734
339.21923-DOL Fee Penalty	6,408	0	20,525	0	0	0	20,525	0	6,080	1,215	171	0	3,449	0	0	8,672	7,346
339.21924-Educ Museum	111	0	986	0	0	0	986	0	360	350	10	0	205	0	0	62	110
339.21925-NS Hm Receivshp	2,820	0	25	0	0	0	25	0	0	0	0	0	0	0	0	0	2,845
339.21926-3rd Party Hlth	450	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	450
339.21927-Boating Noise L	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.21928-I Love NY Water	335	0	921	0	0	0	921	0	0	0	0	0	0	0	0	0	1,256
339.21929-Summer Sch Arts	321	0	655	0	0	0	655	0	104	489	3	0	59	0	0	0	321
339.21930-I Love NY Water	3,304	0	245	0	0	0	245	0	130	25	3	0	32	0	0	64	3,295
339.21932-Snowmobile	4,891	0	11,400	0	0	0	11,400	4,850	111	363	9	0	67	0	0	0	10,891
339.21933-Tr Surplus Prop	1,411	0	2,200	0	0	0	2,200	0	0	1,974	0	0	0	0	0	803	834
339.21934-Hosp & Nurs Mgt	5	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5
339.21935-Watershed Pptr	(4)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(4)
339.21936-World Univ Game	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.21937-S U Dorm Reimb	(6)	0	0	0	0	292,420	292,420	0	131,768	150,647	0	0	18,000	0	0	0	(8,001)
339.21938-ODTA Train Cont	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.21939-ODTA State Matc	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.21941-Methadone Regis	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.21943-Energy Research	(5,293)	0	16,388	0	0	0	16,388	7,439	4,154	1,513	884	0	2,168	0	0	0	(5,063)
339.21944-Radiology	1,993	0	6,000	0	0	0	6,000	3,000	1,033	557	29	0	586	0	0	1,350	1,438
339.21945-Crim Jus Improv	14,264	0	42,644	0	0	0	42,644	28,182	3,080	303	112	0	2,028	0	0	8,916	14,287
339.21948-Farm Prod Insp-	173	0	1,750	0	0	0	1,750	0	801	123	41	0	541	0	0	0	417
339.21950-FgprintD&Tech	11,105	0	12,850	0	0	0	12,850	0	0	4,495	0	0	0	0	0	12,563	6,897
339.21953-NY Fire Academy	98	0	468	0	0	0	468	0	278	469	9	0	157	0	0	0	(347)
339.21958-Domestic Awaren	70	0	7	0	0	0	7	0	0	3	0	0	0	0	0	0	74
339.21959-Envir.Lab.Fee A	(314)	0	3,700	0	0	0	3,700	0	1,625	549	40	0	873	0	0	131	168
339.21960-HECS Ins Prem P	2,233	0	108,464	0	0	0	108,464	32,000	17,631	36,213	489	0	10,011	0	0	12,120	2,233
339.21961-Train Mgmt Eval	215	0	2,634	0	0	0	2,634	0	3,105	227	136	0	892	0	0	0	(1,511)
339.21962-Clin Lab Refrnc	(20,993)	0	18,059	0	0	0	18,059	0	6,786	2,799	175	0	3,445	0	0	289	(16,428)
339.21964-Pub Emp Rel Brd	524	0	86	0	0	0	86	0	159	43	0	0	0	0	0	0	408
339.21965-Radio Hlth Prot	3,794	0	4,048	0	0	0	4,048	0	2,209	270	61	0	1,107	0	0	216	3,979
339.21966-Cons Food Indus	552	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	552

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2015

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.21967-OHRD St Match	6,655	0	0	0	0	6,000	6,000	0	0	3,510	0	0	0	0	0	0	9,145
339.21968-Educatein Library	91	0	65	0	0	0	65	0	0	70	0	0	0	0	0	0	86
339.21969-Teacher Certif	1,225	0	6,800	0	0	0	6,800	0	3,500	200	98	0	1,990	0	0	1,311	926
339.21970-Banking Deptmnt	24,546	0	88,835	0	0	0	88,835	0	46,902	13,049	1,401	0	26,603	0	0	0	25,426
339.21971-Cable TV Acct	12,405	0	2,879	0	0	0	2,879	0	1,607	159	45	0	911	0	0	0	12,562
339.21972-Econ Devel Asst	300	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	300
339.21973-Fin Svcs Seized	702	0	50	0	0	0	50	0	0	50	0	0	0	0	0	0	702
339.21975-ODD Earned Revn	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.21976-Motorcycle Sfty	4,242	0	2,000	0	0	0	2,000	0	87	1,322	2	0	49	0	0	6	4,776
339.21977-Business Licens	66,699	0	71,387	0	0	0	71,387	539	14,129	11,911	504	0	8,157	0	(15)	69,033	33,828
339.21978-Indir Cost Reco	2,479	0	0	0	0	18,481	18,481	0	8,811	3,650	0	0	5,010	0	0	0	3,489
339.21979-High School Equ	522	0	225	0	0	0	225	0	0	225	0	0	0	0	0	0	522
339.21980-OTDA Program	2,806	0	0	0	0	2,500	2,500	0	0	200	0	0	0	0	0	0	5,106
339.21981-Disas Prep Conf	24	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	24
339.21982-Administration	18,357	0	13	0	0	2,635	2,648	0	4,317	533	108	0	2,172	0	0	3,301	10,574
339.21983-Rail Safety Ins	1,569	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,391	178
339.21984-Fedi Admin Reim	1	0	0	0	0	992	992	0	0	0	0	0	0	0	0	0	993
339.21985-Abandon Prop Au	0	0	12,229	0	0	0	12,229	0	7,586	4,914	0	0	0	0	0	0	(271)
339.21986-Seized Assets	31	0	2	0	0	0	2	0	0	0	0	0	0	0	0	0	33
339.21987-Spinal Injury	296	0	2,000	0	0	0	2,000	7,000	0	129	0	0	0	0	0	0	(4,833)
339.21988-Child Supp Rev	78	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	78
339.21989-Mult Agen Train	15,750	0	0	0	0	22,000	22,000	0	0	23,184	66	0	957	0	0	0	13,543
339.21990-OCTF Crime Forf	687	0	2,600	0	0	0	2,600	0	150	2,236	5	0	83	0	0	0	813
339.21991-DMNA-Seiz Asset	1,456	0	200	0	0	0	200	0	0	518	0	0	0	0	0	0	1,138
339.21992-Critical Infras	742	0	1,698	0	0	0	1,698	0	331	1,161	9	0	188	0	0	0	751
339.21993-Radon Detct Dev	352	0	13	0	0	0	13	0	0	11	0	0	0	0	0	2	352
339.21994-Insurance Dept	167,440	0	415,122	0	0	0	415,122	216,102	99,655	37,249	2,800	0	56,524	0	0	0	170,232
339.21995-Workers Comp Bd	53,150	0	202,916	0	0	0	202,916	0	80,977	58,423	2,306	0	45,917	0	0	16,253	52,190
339.21996-Fire Protection	171	0	25	0	0	0	25	0	0	0	0	0	0	0	0	13	183
339.21997-Conf Fee Acct	6	0	5	0	0	0	5	0	0	33	0	0	0	0	0	0	(82)
339.21998-Public Work Enf	2,571	0	3,982	0	0	0	3,982	0	2,017	217	57	0	1,144	0	0	0	3,118
339.21999-Asset Forfeitur	110	0	250	0	0	0	250	0	0	250	0	0	0	0	0	0	110
339.219A2-MMIA	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219AC-Non-Ivd Wage Wl	(58)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(58)
339.219AF-Hosp Grants	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.219AK-Ins Voucher Pio	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.219AM-Hlth Care Advls	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219AR-Adopt Info Regi	(1)	0	50	0	0	0	50	0	0	0	0	0	0	0	0	0	49
339.219AS-Quality Assuran	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219BO-Primary Care In	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.219BU-Land Utilizatio	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219C2-Jones Bch Theat	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219CB-FS Reinvestment	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219CD-Daycare Earned	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219CG-Tech & Scientif	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219CH-Child Hlth Ins	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219D1-Food Stp Rec Fr	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219DM-EAD Metallurgl	1	0	6	0	0	0	6	0	0	0	0	0	0	0	0	0	7
339.219DN-Fines Penalties	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219E7-Unif Commc Cd	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219EA-Bus & Licen Sv	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2015

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.219EB-Anitrust Enfor	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219EE-Map Revenue	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219EF-TAP Sys Redesign	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219EM-Emerg Med Svcs	(1)	0	0	0	0	0	0	0	(55)	0	(4)	0	(27)	0	0	0	85
339.219F6-Lc On Solid Was	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219FC-Fostr Care Savi	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219H3-Pilot Health In	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219H5-Triple Prescr F	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.219G-Ins Gent Opems	(22)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(22)
339.219JD-Problm Solv Cou	86	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	86
339.219K2-Equip Repair	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219K3-Catastrophic HI	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219KA-Primary Hlth Cr	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219L5-Adult Cyst Fibr	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219L8-DOS Licensing	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219LB-Health Occup De	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219LC-Matern Chld Hiv	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.219SA-Health Services	31	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	31
339.219SS-DOT Sign Shop	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219TF-Tran Fees Perms	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219WE-Medicaid Train	0	0	(1,000)	0	0	0	(1,000)	0	0	0	0	0	0	0	0	0	(1,000)
339.219XX-A&M-Aggregated	3,884	0	16,500	0	0	0	16,500	0	292	15,814	8	0	166	0	0	0	4,104
339.219YL-OGS Bldg Admin	2,213	0	39,363	0	0	0	39,363	0	3,145	3,969	88	0	1,813	0	0	1,006	31,555
339.219YN-OGS Std & Purch	10,777	0	5,657	0	0	0	5,657	0	770	1,881	22	0	444	0	0	3,034	10,283
339.219Z3-MHP/IA OMR NPS	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219Z6-Human Rights Ac	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.219ZR-Milk Producers	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219ZT-FHPEP	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
339.219ZV-S T A Research	(19)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(19)
339.22001-VESID SS	2,855	0	2,500	0	0	0	2,500	2,000	205	0	6	0	117	0	0	52	2,975
339.22002-Trn Mills Regist	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
339.22003-Bell Jar Collec	(297)	0	1,713	0	0	0	1,713	0	971	163	27	0	552	0	0	0	(297)
339.22004-Ind & Util Serv	1,356	0	2,470	0	0	0	2,470	0	1,550	0	74	0	846	0	0	0	1,356
339.22009-Asbestos Trning	(19)	0	330	0	0	0	330	0	180	19	7	0	122	0	0	0	(17)
339.22010-IMP R P Tax Adm	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.22011-Public Service	36,289	0	79,393	0	0	0	79,393	0	40,499	7,999	1,138	0	22,397	0	0	3,823	39,826
339.22012-Aty Licensing	1,063	0	32,500	0	0	0	32,500	0	17,300	7,500	0	0	7,600	0	0	0	1,163
339.22014-DSS Prov Recovs	188	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	188
339.22015-Crimes Against	8,356	0	0	0	0	14,300	14,300	14,300	0	0	0	0	0	0	0	0	8,356
339.22017-Camp Smith Bill	18	0	197	0	0	0	197	0	134	9	4	0	14	0	0	0	54
339.22018-Fire Safe Cigar	0	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	0
339.22020-Comm Feed Lic	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.22021-Reg Manu Hsg	156	0	200	0	0	0	200	0	0	0	0	0	0	0	0	100	256
339.22022-College Savings	5,006	0	813	0	0	0	813	0	198	985	18	0	99	0	0	0	4,519
339.22023-Discover Queens	14	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	14
339.22024-Reven Arrearage	11,876	0	25,000	0	0	0	25,000	0	1,425	2,697	40	0	808	0	0	24,734	7,172
339.22025-Comm Svce Assis	8,539	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	8,539
339.22026-Cell Phone Towe	928	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	928
339.22027-Spec Conserv Ac	28	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	28
339.22028-Central Registry	845	0	2,017	0	0	0	2,017	0	104	0	4	0	43	0	0	1,822	889
339.22029-Plant Industry	191	0	322	0	0	0	322	0	390	0	11	0	221	0	0	0	(109)

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2015

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.22032-Batavia School	(6,340)	0	9,600	0	0	900	10,500	0	5,535	681	155	0	3,147	0	0	0	(5,358)
339.22033-Alcohol Beverag	4,346	0	0	0	0	19,951	19,951	0	8,147	4,637	224	0	4,529	0	0	887	5,823
339.22034-Investment Serv	833	0	4,038	0	0	0	4,038	0	2,070	673	64	0	1,231	0	0	0	833
339.22035-Diabetes Resear	67	0	6	0	0	0	6	0	0	0	0	0	0	0	0	0	73
339.22037-Keep Kids Drug	26	0	9	0	0	0	9	0	0	0	0	0	0	0	0	0	35
339.22038-OPWDD Day Servi	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22039-OSDC Finan Over	(953)	0	4,183	0	0	0	4,183	0	2,317	113	72	0	1,351	0	0	0	(623)
339.22040-Senate Recycliab	502	0	20	0	0	0	20	0	0	0	0	0	0	0	0	0	522
339.22041-Medicaid Fraud	86,874	0	14,000	0	0	0	14,000	0	5,948	2,518	185	0	3,300	0	0	0	88,923
339.22042-DED Marketing A	5,578	0	1,944	0	0	0	1,944	0	63	1,710	2	0	28	0	0	131	5,588
339.22044-Tug Hill Admin	65	0	38	0	0	0	38	0	29	3	0	0	0	0	0	10	61
339.22045-Settlement Enf	1,656	0	900	0	0	0	900	850	0	50	0	0	0	0	0	0	1,656
339.22046-Indian Gaming	(54,277)	0	8,188	0	0	0	8,188	0	8,263	824	248	0	5,054	0	0	327	(60,805)
339.22047-NYS FLEX Spend	0	0	300	0	0	0	300	0	0	300	0	0	0	0	0	0	0
339.22050-Crime Victims B	13	0	54	0	0	0	54	0	0	54	0	0	0	0	0	0	13
339.22051-Olc of Professi	18,923	0	47,265	0	0	0	47,265	0	19,123	9,795	536	0	10,873	0	0	6,032	19,829
339.22052-Armory Rental A	1,698	0	1,825	0	0	0	1,825	0	650	973	18	0	66	0	0	0	1,816
339.22053-Rome School	(457)	0	9,600	0	0	1,020	10,620	0	4,452	614	125	0	2,531	0	0	0	2,441
339.22054-Seized Assets	4,322	0	14,245	0	0	0	14,245	0	0	18,628	0	0	0	0	0	0	(61)
339.22055-Traf Adjudicacn	(3,891)	0	35,500	0	0	0	35,500	0	19,364	10,247	542	0	11,011	0	0	2,288	(11,843)
339.22056-Fed Salary Shar	1,256	0	2,100	0	0	2,246	2,246	390	2,953	151	92	0	1,669	0	0	0	(1,753)
339.22057-Cook/Chill Acco	1,134	0	2,100	0	0	0	2,100	0	0	2,155	0	0	0	0	0	0	1,079
339.22060-Credential Svcs	321	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	321
339.22061-Seized Assets	43	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	43
339.22062-NYC Assessment	39,242	0	79,653	0	0	0	79,653	0	37,154	20,551	1,147	0	20,801	0	0	0	39,242
339.22063-Cultural Educat	(3,854)	0	27,700	0	0	0	27,700	0	11,156	5,400	312	0	6,343	0	0	1,976	(1,341)
339.22064-Distance Learn	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.22065-Exam & Misc Rev	2,549	0	3,150	0	0	0	3,150	0	334	409	11	0	172	0	0	1,961	2,812
339.22067-Trans Regul Acc	14,941	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,466	13,475
339.22068-Cons Prot Acct	1,359	0	91	0	0	0	91	0	236	77	7	0	131	0	0	0	999
339.22070-OER NASDER	117	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	117
339.22071-Fin Aid Audit	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22072-8th Air Force H	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.22073-Fed Liability	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
339.22074-FMS Account	2,724	0	515	0	0	0	515	0	229	24	7	0	124	0	0	8	2,724
339.22075-Funeral	1,307	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,430
339.22076-FSHRP	12	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	12
339.22077-Educ Archives	54	0	15	0	0	0	15	0	0	15	0	0	0	0	0	0	54
339.22078-Local Services	696	0	973	0	0	0	973	0	580	0	32	0	361	0	0	0	696
339.22079-DOT-Accident Da	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
339.22080-Adult Shelter	7,211	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	7,211
339.22081-QAA Earned Rev	393	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	393
339.22082-Family Pres Svc	1,606	0	0	0	0	2,700	2,700	2,732	0	0	0	0	0	0	0	0	1,574
339.22083-Electronic Bene	44	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	44
339.22084-Federal-Seized	7	0	0	0	0	0	0	0	0	92	0	0	0	0	0	0	(85)
339.22085-DHCR Mortgage S	(756)	0	6,671	0	0	0	6,671	0	3,231	187	0	0	0	0	0	0	2,497
339.22086-OMH-Research OH	73	0	2,848	0	0	0	2,848	0	103	2,817	0	0	0	0	0	0	1
339.22087-DMV-Compulsory	1,934	0	24,600	0	0	0	24,600	0	8,249	1,298	231	0	4,691	0	0	11,043	1,022
339.22088-Prof Medic Cond	10,192	0	23,451	0	0	0	23,451	0	8,756	6,084	251	0	5,536	0	0	291	12,725
339.22089-Hwy Const & Ma	1,456	0	260	0	0	0	260	0	0	135	0	0	0	0	0	0	1,581
339.22090-Housing Indirec	(5,839)	0	0	0	0	5,739	5,739	0	2,389	152	0	0	0	0	0	201	(2,842)

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2015

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.22091-Adlt Hme Qlty E	1,148	0	193	0	0	0	193	0	0	278	0	0	0	0	0	21	1,042
339.22092-Homeless Hsg	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22093-COCOT	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.22094-Accid Prevent C	2,350	0	1,000	0	0	0	1,000	0	154	406	4	0	88	0	0	606	2,092
339.22095-IG Szd Assets	98	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	98
339.22096-Leg Svcs Assist	14,282	0	13,600	0	0	0	13,600	14,180	0	0	0	0	0	0	0	2,000	11,702
339.22097-Loc Pub Hlth	2,827	0	84	0	0	0	84	0	173	6	3	0	62	0	0	5	2,662
339.22098-Local Dist Trai	0	0	0	0	0	0	0	0	0	273	0	0	0	0	0	0	(273)
339.22099-Voting Mach Exa	372	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	372
339.22100-Interest Assess	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22101-EPIC Premium Ac	1,457	0	5,000	0	0	0	5,000	0	3,837	305	119	0	2,129	0	0	489	(422)
339.22102-Drug Enforce Ta	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.22103-Vial Rec Mgmt	88	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	88
339.22104-CHCCDP Transfer	6,132	0	3,852	0	0	0	3,852	0	1,040	122	11	0	336	0	0	4,405	4,070
339.22105-Tobacco Enforce	35	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	35
339.22108-Hwy Rev/Soc Sec	18	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	18
339.22109-Conference & Sp	990	0	267	0	0	0	267	0	0	210	0	0	0	0	0	0	1,047
339.22110-Asst Living Res	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.22111-OCFS Program	497	9	86	0	0	0	95	0	0	0	0	0	0	0	0	9	583
339.22112-OTDA Income Acc	1,206	0	0	0	0	0	0	0	0	585	0	0	0	0	0	0	621
339.22114-Disabil Determs	139	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	139
339.22115-OPWDD Jt Clin O	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.22116-Special Medical	37	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	37
339.22117-Litigation Sett	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.22118-Animal Populati	14,027	0	65,320	0	0	0	65,320	0	20,165	33,340	627	0	11,188	0	0	0	14,027
339.22119-Love Your Libra	142	0	855	0	0	0	855	0	0	867	0	0	0	0	0	0	130
339.22122-Local Wireless	51	0	6	0	0	0	6	0	0	0	0	0	0	0	0	0	57
339.22123-Pub Safe Commun	116	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	116
339.22124-Cuba Lake Mgmt	74,342	0	109,000	0	0	0	109,000	38,081	8,568	45,641	0	0	0	0	0	55,000	36,032
339.22126-St Justice Inst	161	0	200	0	0	0	200	0	0	206	0	0	0	0	0	0	155
339.22128-Med Reimb Acct	69	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	69
339.22130-Low Inc Housing	0	0	1,500	0	0	0	1,500	1,500	0	0	0	0	0	0	0	0	0
339.22131-Medicaid Inquir	3,186	0	3,000	0	0	0	3,000	0	2,288	0	71	0	1,269	0	0	150	2,408
339.22132-New York Alert	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22133-Procure Op News	54	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	54
339.22134-OVS RESTITUTION	647	0	0	0	0	0	0	0	40	135	0	0	0	0	0	0	472
339.22135-EFC Corp Admin	897	0	579	0	0	0	579	0	429	150	0	0	0	0	0	0	897
339.22136-Food Prod Ctr	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.22137-Pet Dealer	1,793	0	1,300	0	0	0	1,300	0	219	1,052	6	0	124	0	0	0	1,692
339.22138-Auth Bldg Office	61	0	32	0	0	0	32	0	0	0	0	0	0	0	0	0	93
339.22139-Patient Safety	748	0	2,088	0	0	1,826	3,914	0	982	254	31	0	545	0	0	45	2,805
339.22140-Helen Hayes Hos	28	0	487	0	0	0	487	0	0	312	0	0	0	0	0	73	130
339.22141-NYC Veterans	32	0	115	0	0	55,284	55,399	0	35,301	19,992	0	0	0	0	0	299	(161)
339.22142-NYS Home-Vetera	3,833	0	350	0	0	29,451	29,801	0	16,378	11,416	0	0	7,002	0	0	107	(1,269)
339.22143-WNY Vets Home	1,548	0	120	0	0	23,356	23,476	0	15,910	7,292	0	0	0	0	0	119	1,703
339.22144-Montrose S V H	677	0	55	0	0	9,603	9,658	0	7,949	3,234	0	0	0	0	0	0	(848)
339.22145-DOH Hospital Ho	1,768	0	30	0	0	22,396	22,426	0	16,551	6,695	0	0	0	0	0	67	881
339.22146-HEAP Earned Rev	6,510	0	0	0	0	110,638	110,638	0	0	0	0	0	0	0	0	110,639	6,509
339.22147-Quality of Care	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.22149-Motor Fuel Qual	8,453	0	1,387	0	0	0	1,387	0	0	845	0	0	0	0	0	0	8,995
	1,074	0	2,800	0	0	2,800	2,800	0	950	1,364	31	0	624	0	0	200	705

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2015

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.22150-Weights Measure	268	0	350	0	0	0	350	0	142	51	4	0	81	0	0	30	310
339.22151-Defer Comp Adm	(143)	0	820	0	0	0	820	0	378	183	11	0	225	0	0	0	(120)
339.22152-Hazard Abatement	1	0	200	0	0	0	200	150	0	0	0	0	0	0	0	0	51
339.22153-Education Stals	103	0	0	89	0	0	89	0	0	38	0	0	0	0	0	0	154
339.22154-Real Estate Fin	4,479	0	1,693	0	0	0	1,693	0	556	812	17	0	308	0	0	0	4,479
339.22156-NYC Rent Rev	(38,306)	0	74,972	0	0	0	74,972	0	23,482	4,750	731	0	13,027	0	0	4,115	(9,439)
339.22157-Medicaid Income	(449)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(449)
339.22158-Rent Revenue	(637)	0	550	0	0	0	550	0	456	0	14	0	253	0	0	0	(810)
339.22159-CSFP Salvage Ac	11	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	11
339.22161-ES Stem Cell Tr	1,604	0	0	0	0	37,900	37,900	0	472	37,428	0	0	0	0	0	0	1,604
339.22162-Systems & Tech	5,219	0	7,300	0	0	0	7,300	0	934	240	37	0	688	0	0	5,066	5,554
339.22163-OPR Patron Serv	6,581	0	69,900	0	0	0	69,900	0	29,650	37,112	(1)	0	2,669	0	0	70	6,981
339.22165-Trans Aviatn	2,912	0	3,660	0	0	0	3,660	0	126	3,646	3	0	72	0	0	0	2,725
339.22166-Teacher Ed Accr	11	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	11
339.22167-Training Academ	465	0	10	0	0	0	10	0	0	10	0	0	0	0	0	0	465
339.22168-Tax Rev Arrear	5	0	0	0	0	3,000	3,000	0	1,886	0	0	0	0	0	0	0	1,119
339.22169-TSCR Account	14,000	0	205,700	0	0	0	205,700	82,000	0	0	0	0	0	0	0	119,700	18,000
339.22170-Statewide Gamin	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22171-Recruitment Inc	3,428	0	39	0	0	2,087	2,126	0	0	1,186	0	0	0	0	0	0	4,368
339.22172-Undgrnd Sfity T	(1)	0	110	0	0	0	110	0	0	0	0	0	0	0	0	175	(66)
339.22173-Vol Fire Rec&Re	649	0	200	0	0	0	200	200	0	0	0	0	0	0	0	0	649
339.22174-HAVA Match	1,603	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,603
339.22175-VRSS	16	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	16
339.22177-Occ Hlth Clinic	868	0	9,000	0	0	0	9,000	0	281	10,077	8	0	143	0	0	0	(641)
339.22178-Crim Back Check	378	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	378
339.22180-SR-Connections	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22181-NYS Water Rescu	7	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	7
339.22182-OWIG Adm Reimb	3,173	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3,173
339.22184-Wine Industry	5	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5
339.22185-Assembly Recyc	646	0	40	0	0	0	40	0	0	0	0	0	0	0	0	0	686
339.22186-Yth Fac PerDiem	1	0	55,000	0	0	0	55,000	0	0	0	0	0	0	0	0	55,000	1
339.22187-Provider Assess	0	0	788,400	0	0	0	788,400	785,400	0	0	0	0	0	0	0	0	3,000
339.22188-Fed Indirect Re	142	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	142
339.22189-DOCS Asset Forf	195	0	314	0	0	0	314	0	0	314	0	0	0	0	0	0	195
339.22190-Conference&Sign	107	0	35	0	0	0	35	0	0	35	0	0	0	0	0	0	107
339.22191-Educ Assessment	6	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	6
339.22192-Tax Ret Prep Fe	1,633	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,633
339.22193-Sales Tax Re Fe	58	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	58
339.22195-Equitable Shari	2,219	0	1,000	0	0	0	1,000	0	0	1,000	0	0	0	0	0	0	2,219
339.22196-C & F Qual Enha	14	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	14
339.22197-ULTVI RADIA DEV	262	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	262
339.22198-HEP	103	0	0	0	0	0	0	0	0	300	0	0	0	0	0	0	(197)
339.22199-Airport Securit	175	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	175
339.22200-Greenwood Lake	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22202-SBCI Account	9	0	14,000	0	0	0	14,000	14,000	0	0	0	0	0	0	0	0	9
339.22203-Article X Inter	1	0	0	0	0	0	0	100	0	0	0	0	0	0	0	0	(99)
339.22206-Wholesale Mkt	10,005	0	0	0	0	0	0	0	0	1,000	0	0	0	0	0	0	9,005
339.22207-Tech Financing	4,844	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4,844
339.22208-Offender Progra	0	0	1,000	0	0	0	1,000	0	0	1,000	0	0	0	0	0	0	0
339.NYSCEF-NYS Cam Finance	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

**CASH COMBINING STATEMENT
CAPITAL PROJECTS FUNDS
FY 2015**
(thousands of dollars)

	002	072	074	075	076	077	078	079	101	105	109	115	121
Opening Fund Balance	998	(149,394)	111,415	4,932	(72,793)	14	16,595	0	164	743	3,391	1,816	47,857
Receipts:													
Taxes	0	1,242,900	0	0	0	0	119,100	0	0	0	0	0	0
Miscellaneous Receipts	2,884,208	1,436,690	10,645	1,800	90,277	0	37,400	0	0	0	0	0	0
Federal Grants	0	4,892	0	0	0	0	0	0	0	0	0	0	0
Total Receipts	2,884,208	2,684,482	10,645	1,800	90,277	0	156,500	0	0	0	0	0	0
Disbursements:													
Grants to Local Governments	1,497,489	90,826	0	0	0	0	0	0	0	0	0	0	0
State Operations	0	0	0	0	0	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	1,944,915	2,122,639	60,645	1,800	90,277	0	170,200	0	0	0	0	0	0
Total Disbursements	3,442,404	2,213,465	60,645	1,800	90,277	0	170,200	0	0	0	0	0	0
Other Financing Sources (Uses):													
Transfers from Other Funds	533,060	1,117,082	50,000	0	0	0	5,000	0	0	0	0	0	0
Transfers to Other Funds	(2,325)	(1,468,704)	0	0	0	0	0	0	(25)	(600)	(100)	(1,500)	(245,958)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	25	600	100	1,500	245,958
Net Other Financing Sources (Uses)	530,735	(351,622)	50,000	0	0	0	5,000	0	0	0	0	0	0
Change in Fund Balance	(27,461)	119,395	0	0	0	0	(8,700)	0	0	0	0	0	0
Closing Fund Balance	(26,463)	(29,999)	111,415	4,932	(72,793)	14	7,895	0	164	743	3,391	1,816	47,857

	123	124	126	127	291	310	312	327	357	374	376	378	380
Opening Fund Balance	4,257	14,227	2,837	3,797	(184,380)	896	(102,581)	506	(7,423)	(13,150)	(106,983)	15,833	(12,348)
Receipts:													
Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	0	0	0	0	0	10	103,250	0	19,014	0	109,652	1,000	0
Federal Grants	0	0	0	0	2,056,774	0	0	0	0	0	0	0	0
Total Receipts	0	0	0	0	2,056,774	10	103,250	0	19,014	0	109,652	1,000	0
Disbursements:													
Grants to Local Governments	0	0	0	0	692,368	0	0	0	0	0	110,227	0	0
State Operations	0	0	0	0	0	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	1,012,330	10	105,874	0	19,014	0	0	1,008	0
Total Disbursements	0	0	0	0	1,704,698	10	105,874	0	19,014	0	110,227	1,008	0
Other Financing Sources (Uses):													
Transfers from Other Funds	0	0	0	0	0	0	15,900	0	0	0	575	0	0
Transfers to Other Funds	(1,000)	(4,000)	(2,000)	(50,343)	(406,995)	0	(28,849)	0	0	0	0	0	0
Bond & Note Proceeds	1,000	4,000	2,000	50,343	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	0	0	0	0	(406,995)	0	(12,949)	0	0	0	575	0	0
Change in Fund Balance	0	0	0	0	(54,919)	0	(15,573)	0	(7,423)	(13,150)	(106,983)	15,825	(12,348)
Closing Fund Balance	4,257	14,227	2,837	3,797	(239,299)	896	(118,154)	506	(7,423)	(13,150)	(106,983)	15,825	(12,348)

**CASH COMBINING STATEMENT
CAPITAL PROJECTS FUNDS
FY 2015**
(thousands of dollars)

	384	387	388	389	399	CD1	CPO	F07	Sub Total	Eliminations	Financial Plan
Opening Fund Balance	219,873	32,885	(23)	(386,386)	(65,257)	(10,000)	0	0	(628,682)	0	(628,682)
Receipts:											
Taxes	0	0	0	0	0	0	0	0	1,362,000	0	1,362,000
Miscellaneous Receipts	111,000	7,250	0	160,835	211,510	23,369	1	0	5,207,911	0	5,207,911
Federal Grants	0	0	0	0	0	0	0	0	2,061,666	0	2,061,666
Total Receipts	111,000	7,250	0	160,835	211,510	23,369	1	0	8,631,577	0	8,631,577
Disbursements:											
Grants to Local Governments	0	0	0	83,505	0	0	0	0	2,474,415	0	2,474,415
State Operations	0	0	0	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	111,000	15,650	0	79,080	233,010	23,369	0	0	5,990,821	0	5,990,821
Total Disbursements	111,000	15,650	0	162,585	233,010	23,369	0	0	8,465,236	0	8,465,236
Other Financing Sources (Uses):											
Transfers from Other Funds	(389)	7,000	0	1,750	21,500	0	0	0	1,751,478	(703,538)	1,047,940
Transfers to Other Funds	0	0	0	0	0	0	0	0	(2,212,399)	703,538	(1,508,861)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	305,526	0	305,526
Net Other Financing Sources (Uses)	(389)	7,000	0	1,750	21,500	0	0	0	(155,395)	0	(155,395)
Change in Fund Balance	(389)	(1,400)	0	0	0	0	1	0	10,946	0	10,946
Closing Fund Balance	219,484	31,485	(23)	(386,386)	(65,257)	(10,000)	1	0	(616,936)	0	(616,936)

**CASH COMBINING STATEMENT
DEBT SERVICE
FY 2015**

	<u>304</u>	<u>311</u>	<u>316</u>	<u>319</u>	<u>361</u>	<u>364</u>	<u>Sub Total</u>	<u>Eliminations</u>	<u>Financial Plan</u>
Opening Fund Balance	33,072	0	0	32,038	0	1	65,111	0	65,111
Receipts:									
Taxes	0	13,957,025	0	0	835,900	3,023,100	17,816,025	0	17,816,025
Miscellaneous Receipts	322,917	0	8,786	127,830	0	500	460,033	0	460,033
Federal Grants	0	73,129	0	0	0	0	73,129	0	73,129
Total Receipts	322,917	14,030,154	8,786	127,830	835,900	3,023,600	18,349,187	0	18,349,187
Disbursements:									
Grants to Local Governments	0	0	0	0	0	0	0	0	0
State Operations	5,555	31,047	0	1,909	0	4,858	43,369	0	43,369
General State Charges	0	0	0	0	0	0	0	0	0
Debt Service	266,176	4,953,597	9,786	28,202	0	390,601	5,648,362	0	5,648,362
Capital Projects	0	0	0	0	0	0	0	0	0
Total Disbursements	271,731	4,984,644	9,786	30,111	0	395,459	5,691,731	0	5,691,731
Other Financing Sources (Uses):									
Transfers from Other Funds	1,779,991	2,899,937	1,000	42,069	0	0	4,722,997	(255,542)	4,467,455
Transfers to Other Funds	(1,837,792)	(11,945,444)	0	(140,089)	(835,900)	(2,628,141)	(17,387,366)	255,542	(17,131,824)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	(57,801)	(9,045,507)	1,000	(98,020)	(835,900)	(2,628,141)	(12,665,369)	0	(12,665,369)
Change in Fund Balance	(6,615)	3	0	(301)	0	0	(7,913)	0	(7,913)
Closing Fund Balance	26,457	3	0	31,737	0	1	57,198	0	57,198

CASH COMBINING STATEMENT BY ACCOUNT
INTERNAL SERVICE
FY 2015
(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
323.55009-Admin Support	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
323.55010-Design & Constr	949	0	60,288	0	0	0	60,288	0	27,980	16,695	786	0	16,133	0	0	433	62,027	(790)
323.55020-OGS Ent Contr	(42,556)	0	500,000	0	0	0	500,000	0	600	499,074	16	0	310	0	0	0	500,000	(42,556)
323.55022-Business Srv Ct	(338)	0	0	0	0	0	0	0	12,567	0	353	0	7,246	0	0	0	20,166	(20,504)
323.550ML-Broome St Waste	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
323.550XX-Misc Centrl Srv	42	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	42
323.5502X-OGS Exec Direct	(24,930)	0	162,156	0	0	21,800	183,956	0	4,112	192,310	116	0	2,371	0	0	48,357	247,266	(88,240)
323.5502Y-OGS Bldg Admin	7,002	0	25,130	0	0	0	25,130	0	1,859	17,753	52	0	1,072	0	0	25	20,761	11,371
323.550ZZ-OGS Std & Purch	(4,335)	0	20,263	0	0	0	20,263	0	2,973	13,045	84	0	1,714	0	0	1,354	19,170	(3,242)
334.55050-Agencies Int Sv	15	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	15
334.55052-Archives R	219	0	1,500	0	0	0	1,500	0	896	114	28	0	396	0	0	0	1,434	285
334.55053-Fedl Single Aud	2,151	0	1,500	0	0	0	1,500	0	0	1,500	0	0	0	0	0	0	1,500	2,151
334.55054-Quick Copy Cent	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
334.55055-CS Administrat	2,801	0	5,963	0	0	0	5,963	0	2,602	2,324	80	0	1,449	0	0	1,651	8,106	658
334.55056-EHS Occup Hlth	241	0	870	0	0	0	870	0	602	474	18	0	337	0	0	8	1,439	(328)
334.55057-Banking Service	(6)	0	500	0	0	50,075	50,575	0	0	50,575	0	0	0	0	0	0	50,575	(6)
334.55058-Cult Resources	(1,740)	0	7,329	0	0	0	7,329	0	1,419	4,082	45	0	888	0	0	284	6,718	(1,129)
334.55059-Neighbor Work P	(8,651)	0	8,200	0	0	0	8,200	8,100	0	0	0	0	0	0	0	0	8,100	(8,551)
334.55060-Auto/Print Chgb	1,650	0	16,500	0	0	0	16,500	0	8,298	4,150	0	0	4,756	0	0	0	17,204	946
334.55061-NYTT Account	4,180	0	47,303	0	0	0	47,303	0	1,079	47,478	7	0	495	0	0	0	49,059	2,424
334.55062-State Data Ctr	(5,320)	0	54,366	0	0	0	54,366	0	6,991	39,230	374	0	6,472	0	0	0	53,067	(4,021)
334.55063-Human Svcs Tele	6,944	0	13,910	0	0	0	13,910	0	8,187	3,591	267	0	4,830	0	0	0	16,875	3,979
334.55065-OPWDD Copy Cent	637	0	150	0	0	0	150	0	0	150	0	0	0	0	0	0	150	637
334.55066-Intrusion Detec	(1,204)	0	1,528	0	0	0	1,528	0	0	1,350	0	0	0	0	0	0	1,350	(1,026)
334.55067-Dom Violence Gr	(216)	0	772	0	0	0	772	0	672	97	3	0	0	0	0	0	772	(216)
334.55068-Statewide Train	6	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	6
334.55069-Cent Tech Svcs.	8,489	0	13,000	0	0	14,000	27,000	0	699	21,762	22	0	394	0	0	0	22,877	12,612
334.55070-Learning Mgmt S	1,693	0	0	0	0	0	0	0	1,217	1,180	38	0	664	0	0	0	3,099	(1,406)
334.55071-Labor Cont Ctr	(407)	0	1,753	0	0	0	1,753	0	898	321	25	0	509	0	0	0	1,753	(407)
334.55072-HS Cont Ctr	743	0	5,263	0	0	0	5,263	0	4,737	1,048	147	0	2,672	0	0	0	8,604	(2,598)
334.550CR-Civil Recover	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
334.550MI-Personnel Mgmt	29	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	29
334.550PF-Public Financng	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
334.550XZ-Misc Intl Serv	(118)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(118)
343.55100-Mental Hygiene	318	0	1,967	0	0	0	1,967	0	973	1,144	29	0	542	0	0	0	2,688	(403)
347.55150-DFY Voc Educatn	68	0	25	0	0	0	25	0	0	0	0	0	0	0	0	0	0	93
394.55200-Joint Labor-Mgt	1,508	0	2,000	0	0	0	2,000	0	890	396	29	0	522	0	0	0	1,837	1,671
395.55251-Ex Dir Intl Aud	1,858	0	1,550	0	0	0	1,550	0	2,124	396	66	0	1,228	0	0	0	3,814	(406)
396.55300-Health Ins Intr	(11,915)	0	14,121	0	0	7,843	21,964	0	8,631	2,353	268	0	4,972	0	0	3,428	19,652	(9,603)
396.55301-CS EBD Adm Reim	(763)	0	4,500	0	0	240	4,740	0	1,757	368	53	0	982	0	0	639	3,799	178
397.55350-Corr Industries	(11,724)	0	49,000	0	0	11,500	60,500	0	18,238	31,170	518	0	10,338	0	0	357	60,621	(11,845)

CASH COMBINING STATEMENT BY ACCOUNT

ENTERPRISE

FY 2015

(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
324.50000-DY Commissary	196	0	120	0	0	0	120	0	0	120	0	0	0	0	0	0	120	196
325.50050-State Fair Rece	1,874	0	18,000	0	0	0	18,000	0	5,385	10,833	151	0	1,766	0	0	419	18,554	1,320
326.50100-DOCS Commissary	3,293	0	39,262	0	0	0	39,262	0	0	39,180	0	0	0	0	0	0	39,180	3,375
331.50301-Mental Disab Pr	17	0	6	0	0	0	6	0	0	6	0	0	0	0	0	0	6	17
331.50302-DYF Products	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
331.50303-Aging Enterpris	0	0	2	0	0	0	2	0	0	2	0	0	0	0	0	0	2	0
331.50304-Maps And Demogr	13	0	1	0	0	0	1	0	0	0	0	0	0	0	0	0	0	14
331.50305-Empire St Games	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
331.50306-DSS Trng Maitris	171	0	24	0	0	0	24	0	0	0	0	0	0	0	0	0	0	195
331.50311-Arts & Crafts	1	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	0	1
331.50313-TRAID Services	11	0	10	0	0	0	10	0	0	10	0	0	0	0	0	0	10	11
331.50318-Convention Ctr	452	0	1,222	0	0	0	1,222	0	586	157	16	0	338	0	0	9	1,106	568
331.50319-Attica Emp Mess	185	0	1,256	0	0	0	1,256	0	279	803	10	0	167	0	0	0	1,259	182
331.50322-Asset Preservat	59	0	14	0	0	0	14	0	0	21	0	0	0	0	0	0	21	52
331.50323-Farm Program	1,229	0	629	0	0	0	629	0	123	444	2	0	60	0	0	0	629	1,229
331.503NY-NY-Alert	0	0	1,000	0	0	0	1,000	0	0	1,000	0	0	0	0	0	0	1,000	0
331.503ZZ-DDPFC Pub Acct	0	0	10	0	0	0	10	0	0	10	0	0	0	0	0	0	10	0
331.LGISF-Lake Grg Inv Sp	0	0	350	0	0	0	350	0	35	285	10	0	20	0	0	0	350	0
351.50400-OMH Shel Wrkshs	1,929	0	2,200	0	0	0	2,200	0	0	2,200	0	0	0	0	0	0	2,200	1,929
352.50450-MR Shel Wrkshop	1,195	0	950	0	0	0	950	0	0	1,050	0	0	0	0	0	0	1,050	1,095
353.50500-MH & MR Communi	3,615	0	2,200	0	0	0	2,200	0	382	1,172	13	0	260	0	0	0	1,827	3,988
353.50516-MR Community St	141	0	660	0	0	0	660	0	218	326	9	0	108	0	0	0	661	140
450.2595F-IEA / State Fai	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
481.50650-U / Benefit Fnd	875,296	2,472,000	0	1,000,000	0	0	3,472,000	0	0	0	0	3,472,000	0	0	0	0	3,472,000	875,296
481.50651-Interest Assess	32,758	0	85,000	0	0	0	85,000	0	0	85,000	0	0	0	0	0	0	85,000	32,758
481.506FS-Federal Stimulu	(860,933)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(860,933)

**GENERAL FUND CASH TO APPROPRIATION TABLE -- FY 2014 RESULTS
NEW, REAPPROPRIATIONS AND DEFICIENCY APPROPRIATIONS
(thousands of dollars)**

	Local Assistance		State Operations	
	Cash	Appropriation	Cash	Appropriation
ECONOMIC DEVELOPMENT				
Agriculture and Markets, Department of	21,151	47,543	31,748	53,095
Economic Development, Department of	31,944	247,117	17,811	28,131
Empire State Development Corporation	87,233	413,822	1,201	0
Olympic Regional Development Authority	0	0	2,929	4,086
FUNCTIONAL TOTAL	140,328	708,482	53,689	85,312
PARKS AND THE ENVIRONMENT				
Adirondack Park Agency	0	0	4,169	4,385
Environmental Conservation, Department of	3,746	11,333	91,047	124,192
Parks, Recreation and Historic Preservation, Office of	2,425	11,333	112,206	129,656
FUNCTIONAL TOTAL	6,171	22,666	207,422	258,233
TRANSPORTATION				
Thruway Authority, New York State	0	0	20,246	24,000
Transportation, Department of	97,548	98,748	1,034	2,035
FUNCTIONAL TOTAL	97,548	98,748	21,280	26,035
HEALTH				
Aging, Office for the	111,310	195,174	1,608	1,608
Health, Department of	12,182,551	49,040,169	294,413	592,724
Medicaid Inspector General, Office of the	0	0	20,202	23,915
FUNCTIONAL TOTAL	12,293,861	49,235,343	316,223	618,247
SOCIAL WELFARE				
Children and Family Services, Office of	1,747,056	2,387,117	245,708	351,546
Housing and Community Renewal, Division of	13,062	56,029	6,797	13,650
Human Rights, Division of	0	0	11,391	12,010
Labor, Department of	6,118	47,969	148	285
National and Community Service	420	1,528	343	334
Temporary and Disability Assistance, Office of	1,350,428	1,632,640	139,077	264,793
FUNCTIONAL TOTAL	3,117,084	4,125,283	403,464	642,618
MENTAL HYGIENE				
Alcoholism and Substance Abuse Services, Office of	24,946	28,531	0	0
Mental Health, Office of	356,802	395,482	676	796
People with Developmental Disabilities, Office for	915,647	1,807,940	0	0
Justice Center	111	128	30,245	35,306
Quality of Care and Advocacy for Persons With Disabilities, Commission on	101	128	1,159	1,379
FUNCTIONAL TOTAL	1,297,607	2,232,209	32,080	37,481
PUBLIC PROTECTION				
Correction, Commission of	0	0	2,101	2,915
Correctional Services, Department of	5,253	31,314	2,582,159	2,638,996
Criminal Justice Services, Division of	116,474	313,460	40,598	45,499
Disaster Assistance	32,571	0	535	0
Homeland Security and Emergency Services, Division of	(9,537)	634,729	6,871	7,108
Judicial Conduct, Commission on	0	0	5,165	5,384
Judicial Nomination, Commission on	0	0	45	30
Judicial Screening, Committees	0	0	50	38
Military and Naval Affairs, Division of	718	1,251	21,848	24,444
State Police, Division of	0	0	592,669	601,860
FUNCTIONAL TOTAL	145,479	980,754	3,252,041	3,326,274
EDUCATION				
Arts, Council on the	22,990	71,827	3,411	4,119
City University of New York	1,345,524	1,369,880	0	0
Education, Department of	19,231,200	38,946,705	48,507	48,170
Higher Education Services Corporation, New York State	957,713	1,012,018	0	0
State University of New York	480,915	476,328	11,081	0
FUNCTIONAL TOTAL	22,038,342	41,876,758	62,999	52,289
GENERAL GOVERNMENT				
Budget, Division of the	0	0	21,021	28,776
Civil Service, Department of	0	0	12,130	14,485
Elections, State Board of	105	2,900	4,921	5,200
Employee Relations, Office of	0	0	2,282	7,863
General Services, Office of	0	0	155,557	161,017
Inspector General, Office of the	0	0	6,434	6,794
Prevention of Domestic Violence, Office for	658	1,346	1,358	1,745
Public Employment Relations Board	0	0	3,248	3,600
Public Integrity, Commission on	0	0	3,610	4,556
State, Department of	4,221	23,290	12,562	18,045
Tax Appeals, Division of	0	0	2,818	3,121
Taxation and Finance, Department of	959	926	279,840	295,246
Technology, Office for	0	0	220,088	149,640
Veterans' Affairs, Division of	6,894	10,226	5,322	6,406
Welfare Inspector General, Office of	0	0	352	1,162
FUNCTIONAL TOTAL	12,837	38,688	731,543	707,656
ELECTED OFFICIALS				
Audit and Control, Department of	32,025	32,025	124,103	125,345
Executive Chamber	0	0	13,673	17,854
Law, Department of	0	0	98,750	99,505
Judiciary	2,446	17,446	1,753,688	1,741,683
Legislature	0	0	206,780	339,402
Lieutenant Governor, Office of the	0	0	427	630
FUNCTIONAL TOTAL	34,471	49,471	2,197,421	2,324,419
LOCAL GOVERNMENTS/SALES TAX ASSET RECEIVABLE CORPORATION				
Sales Tax Asset Receivable Corporation	170,000	170,000	0	0
Local Government Assistance	756,273	913,409	0	0
FUNCTIONAL TOTAL	926,273	1,083,409	0	0

NOTE 1: Cash disbursements can vary from the level of available appropriation authority due to intra-year transfers or suballocation, changes in the amount and timing of carry-out spending across years, and the use of agency appropriation interchange authorization. Appropriation amounts include new appropriation authority, reappropriations, and other appropriation amendments, but do not include carry-in authority from prior years which is subject to lapse.

NOTE 2: Special statewide appropriation authority is available to all State agencies to meet funding needs during emergencies, and for the Federal Government's share of costs resulting from natural or man-made natural disasters in advance of the availability of Federal reimbursement.

**GENERAL FUND CASH TO APPROPRIATION TABLE -- FY 2015 ENACTED BUDGET
NEW, REAPPROPRIATIONS AND DEFICIENCY APPROPRIATIONS
(thousands of dollars)**

	Local Assistance		State Operations	
	Cash	Appropriation	Cash	Appropriation
ECONOMIC DEVELOPMENT				
Agriculture and Markets, Department of	29,754	50,293	33,174	42,383
Economic Development, Department of	56,527	250,140	19,646	26,880
Empire State Development Corporation	88,462	344,170	1,000	0
Olympic Regional Development Authority	0	0	3,011	4,168
FUNCTIONAL TOTAL	174,743	644,603	56,831	73,431
PARKS AND THE ENVIRONMENT				
Adirondack Park Agency	0	0	4,292	4,385
Environmental Conservation, Department of	12,542	18,055	91,423	144,125
Parks, Recreation and Historic Preservation, Office of	3,000	13,276	110,046	129,656
FUNCTIONAL TOTAL	15,542	31,331	205,761	278,166
TRANSPORTATION				
Thruway Authority, New York State	0	0	24,000	24,000
Transportation, Department of	97,551	99,247	1,088	1,050
FUNCTIONAL TOTAL	97,551	99,247	25,088	25,050
HEALTH				
Aging, Office for the	121,135	227,268	1,439	1,439
Health, Department of	11,598,311	52,046,336	393,759	639,565
Medicaid Inspector General, Office of the	750,543	0	22,776	22,886
FUNCTIONAL TOTAL	12,469,989	52,273,604	417,974	663,890
SOCIAL WELFARE				
Children and Family Services, Office of	1,541,447	2,640,909	231,470	308,569
Housing and Community Renewal, Division of	9,103	32,567	6,810	12,618
Human Rights, Division of	0	0	10,332	12,010
Labor, Department of	14,596	51,990	285	485
National and Community Service	350	1,563	337	334
Temporary and Disability Assistance, Office of	1,232,159	1,406,694	150,011	202,777
FUNCTIONAL TOTAL	2,797,655	4,133,723	399,245	536,793
MENTAL HYGIENE				
Alcoholism and Substance Abuse Services, Office of	28,923	31,752	0	0
Mental Health, Office of	338,255	395,482	800	796
People with Developmental Disabilities, Office for	1,077,220	1,827,357	0	0
Justice Center	170	208	35,477	36,685
Quality of Care and Advocacy for Persons With Disabilities, Commission on	0	0	0	0
FUNCTIONAL TOTAL	1,444,568	2,254,799	36,277	37,481
PUBLIC PROTECTION				
Correction, Commission of	0	0	2,651	2,894
Correctional Services, Department of	17,522	32,245	2,568,968	2,608,804
Criminal Justice Services, Division of	149,926	270,971	34,694	38,194
Disaster Assistance	(10,000)	0	(67,580)	0
Homeland Security and Emergency Services, Division of	13,014	591,118	5,968	6,288
Judicial Conduct, Commission on	0	0	5,484	5,484
Judicial Nomination, Commission on	0	0	30	30
Judicial Screening, Committees	0	0	38	38
Military and Naval Affairs, Division of	967	1,700	21,502	24,715
State Police, Division of	0	0	588,097	601,313
Statewide Financial System	0	0	29,700	29,700
FUNCTIONAL TOTAL	171,429	896,034	3,189,552	3,317,460
EDUCATION				
Arts, Council on the	42,835	73,332	4,120	4,119
City University of New York	1,394,160	1,419,169	0	0
Education, Department of	20,589,152	43,120,383	48,983	49,670
Higher Education Services Corporation, New York State	1,001,459	1,042,334	0	0
State University of New York	488,269	485,787	0	0
FUNCTIONAL TOTAL	23,515,875	46,141,005	53,103	53,789
GENERAL GOVERNMENT				
Budget, Division of the	0	0	24,036	29,857
Civil Service, Department of	0	0	12,054	14,079
Elections, State Board of	2,000	2,600	8,404	8,140
Employee Relations, Office of	0	0	2,581	7,863
General Services, Office of	0	0	139,892	144,985
Inspector General, Office of the	0	0	6,917	6,794
Prevention of Domestic Violence, Office for	685	1,808	1,591	1,728
Public Employment Relations Board	0	0	3,529	3,600
Public Integrity, Commission on	0	0	4,331	4,382
State, Department of	13,012	18,554	14,354	17,646
Tax Appeals, Division of	0	0	3,174	3,121
Taxation and Finance, Department of	926	926	272,371	279,250
Technology, Office for	0	1,530	420,708	418,262
Veterans' Affairs, Division of	8,227	13,924	6,092	7,009
Welfare Inspector General, Office of	0	0	972	1,162
FUNCTIONAL TOTAL	24,850	39,342	921,006	947,878
ELECTED OFFICIALS				
Audit and Control, Department of	32,024	32,025	125,618	127,345
Executive Chamber	0	0	13,578	17,854
Law, Department of	0	0	101,538	102,823
Judiciary	17,400	17,446	1,813,800	1,821,881
Legislature	0	0	217,845	394,733
Lieutenant Governor, Office of the	0	0	614	630
FUNCTIONAL TOTAL	49,424	49,471	2,272,993	2,465,266
LOCAL GOVERNMENTS/SALES TAX ASSET RECEIVABLE CORPORATION				
Sales Tax Asset Receivable Corporation	170,000	170,000	0	0
Local Government Assistance	779,391	918,332	0	0
FUNCTIONAL TOTAL	949,391	1,088,332	0	0

NOTE 1: Cash disbursements can vary from the level of available appropriation authority due to intra-year transfers or suballocation, changes in the amount and timing of carry-out spending across years, and the use of agency appropriation interchange authorization. Appropriation amounts include new appropriation authority, reappropriations, and other appropriation amendments, but do not include carry-in authority from prior years which is subject to lapse.

NOTE 2: Special statewide appropriation authority is available to all State agencies to meet funding needs during emergencies, and for the Federal Government's share of costs resulting from natural or man-made natural disasters in advance of the availability of Federal reimbursement.

**GAAP FINANCIAL PLAN
GENERAL FUND
FY 2015
(millions of dollars)**

	<u>Executive</u>	<u>Change</u>	<u>Enacted</u>
Receipts:			
Taxes:			
Personal Income Tax	29,175	(601)	28,574
User Taxes and Fees	6,725	(69)	6,656
Business Taxes	5,838	(53)	5,785
Other Taxes	1,096	73	1,169
Miscellaneous Receipts	6,890	(159)	6,731
Federal Receipts	0	0	0
Total Receipts	<u>49,724</u>	<u>(809)</u>	<u>48,915</u>
Disbursements:			
Local Assistance Grants	42,949	(66)	42,883
Departmental Operations	12,174	54	12,228
General State Charges	6,863	(176)	6,687
Debt Service	0	0	0
Capital Projects	0	0	0
Total Disbursements	<u>61,986</u>	<u>(188)</u>	<u>61,798</u>
Other Financing Sources (Uses):			
Transfers From Other Funds	17,520	390	17,910
Transfers To Other Funds	(5,954)	336	(5,618)
Proceeds From Financing Arrangements/ Advance Refundings	504	(504)	0
Net Other Financing Sources (Uses)	<u>12,070</u>	<u>222</u>	<u>12,292</u>
Operating Surplus/(Deficit)	<u>(192)</u>	<u>(399)</u>	<u>(591)</u>

**GAAP FINANCIAL PLAN
GENERAL FUND
FY 2015 THROUGH FY 2018
(millions of dollars)**

	<u>FY 2015 Enacted</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>	<u>FY 2018 Projected</u>
Receipts:				
Taxes:				
Personal Income Tax	28,574	31,072	33,761	35,790
User Taxes and Fees	6,656	6,923	7,144	7,387
Business Taxes	5,785	5,407	5,918	5,880
Other Taxes	1,169	1,091	1,027	974
Miscellaneous Receipts	6,731	5,933	5,238	4,646
Federal Receipts	0	0	0	0
Total Receipts	<u>48,915</u>	<u>50,426</u>	<u>53,088</u>	<u>54,677</u>
Disbursements:				
Local Assistance Grants	42,883	45,796	47,787	50,388
Departmental Operations	12,228	12,534	12,199	12,321
General State Charges	6,687	7,017	7,173	7,357
Debt Service	0	0	0	0
Capital Projects	0	0	0	0
Total Disbursements	<u>61,798</u>	<u>65,347</u>	<u>67,159</u>	<u>70,066</u>
Other Financing Sources (Uses):				
Transfers From Other Funds	17,910	17,500	17,986	18,473
Transfers To Other Funds	(5,618)	(5,895)	(6,258)	(6,624)
Proceeds From Financing Arrangements/ Advance Refundings	0	0	0	0
Net Other Financing Sources (Uses)	<u>12,292</u>	<u>11,605</u>	<u>11,728</u>	<u>11,849</u>
Operating Surplus/(Deficit)	<u>(591)</u>	<u>(3,316)</u>	<u>(2,343)</u>	<u>(3,540)</u>

GAAP FINANCIAL PLAN
ALL FUNDS
FY 2015
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	(MEMO) Total
Receipts:					
Taxes	42,184	8,355	1,362	17,824	69,725
Public Health/Patient Fees	0	4,537	0	451	4,988
Miscellaneous Receipts	6,731	1,615	1,141	8	9,495
Federal Receipts	0	49,899	2,062	73	52,034
Total Receipts	48,915	64,406	4,565	18,356	136,242
Disbursements:					
Local Assistance Grants	42,883	62,169	2,568	0	107,620
Departmental Operations	12,228	2,028	0	43	14,299
General State Charges	6,687	426	0	0	7,113
Debt Service	0	0	0	4,607	4,607
Capital Projects	0	0	5,926	0	5,926
Total Disbursements	61,798	64,623	8,494	4,650	139,565
Other Financing Sources (Uses):					
Transfers From Other Funds	17,910	3,593	998	4,467	26,968
Transfers To Other Funds	(5,618)	(3,190)	(1,509)	(18,173)	(28,490)
Proceeds Of General Obligation Bonds	0	0	306	0	306
Proceeds From Financing Arrangements/ Advance Refundings	0	0	4,060	0	4,060
Net Other Financing Sources (Uses)	12,292	403	3,855	(13,706)	2,844
Operating Surplus/(Deficit)	(591)	186	(74)	0	(479)

**GAAP FINANCIAL PLAN
ALL FUNDS
FY 2015
(millions of dollars)**

	Major Funds				Eliminations	Total
	General Fund	Federal Special Revenue	General Debt Service	Other Governmental Funds		
Receipts:						
Taxes:						
Personal Income Tax	28,574	0	10,884	3,479	0	42,937
User Taxes and Fees	6,656	0	3,023	5,699	0	15,378
Business Taxes	5,785	0	0	2,236	0	8,021
Other Taxes	1,169	0	0	2,220	0	3,389
Public Health/Patient Fees	0	0	0	4,988	0	4,988
Miscellaneous Receipts	6,731	186	0	2,578	0	9,495
Federal Receipts	0	49,898	73	2,063	0	52,034
Total Receipts	48,915	50,084	13,980	23,263	0	136,242
Disbursements:						
Local Assistance Grants	42,883	45,295	0	19,442	0	107,620
Departmental Operations	12,228	1,601	31	439	0	14,299
General State Charges	6,687	320	0	106	0	7,113
Debt Service	0	0	3,912	695	0	4,607
Capital Projects	0	0	0	5,926	0	5,926
Total Disbursements	61,798	47,216	3,943	26,608	0	139,565
Other Financing Sources (Uses):						
Transfers From Other Funds	17,910	0	2,900	6,158	(21,022)	5,946
Transfers To Other Funds	(5,618)	(2,741)	(12,937)	(7,194)	21,022	(7,468)
Proceeds Of General Obligation Bonds	0	0	0	306	0	306
Proceeds From Financing Arrangements/ Advance Refundings	0	0	0	4,060	0	4,060
Net Other Financing Sources (Uses)	12,292	(2,741)	(10,037)	3,330	0	2,844
Operating Surplus/(Deficit)	(591)	127	0	(15)	0	(479)

GAAP COMBINING STATEMENT
GENERAL FUND
FY 2015
(millions of dollars)

	001	003	007	166	013	008	323	325	326	331	334
Receipts:											
Personal Income Tax	0	28,574	0	0	0	0	0	0	0	0	0
User Taxes And Fees	0	6,656	0	0	0	0	0	0	0	0	0
Business Taxes	0	5,785	0	0	0	0	0	0	0	0	0
Other Taxes	0	1,169	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	0	3,730	0	700	0	768	18	39	4	184	0
Federal Receipts	0	0	0	0	0	0	0	0	0	0	0
Total Receipts	0	45,914	0	700	0	768	18	39	4	184	0
Disbursements:											
Local Assistance Grants	40,637	0	71	0	0	0	0	0	0	0	8
Departmental Operations	0	8,161	0	50	0	790	16	39	3	219	0
General State Charges	0	4,344	0	650	0	29	2	0	1	24	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	40,637	12,505	71	700	0	819	18	39	4	251	0
Other Financing Sources (Uses):											
Transfers From Other Funds	0	16,431	0	0	0	21	0	0	0	0	67
Transfers To Other Funds	(3,218)	(6,048)	(16)	0	0	(50)	(1)	0	0	0	(2)
Proceeds From Financing Arrangements/Advance Refundings	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	(3,218)	10,383	(16)	0	0	(29)	(1)	0	0	0	65
Operating Surplus/(Deficit)	(43,855)	43,792	(87)	0	0	(80)	(1)	0	0	0	(2)

	339	343	351	352	353	394	395	396	397	450	Total
Receipts:											
Personal Income Tax	0	0	0	0	0	0	0	0	0	0	28,574
User Taxes And Fees	0	0	0	0	0	0	0	0	0	0	6,656
Business Taxes	0	0	0	0	0	0	0	0	0	0	5,785
Other Taxes	0	0	0	0	0	0	0	0	0	0	1,169
Miscellaneous Receipts	2,285	2	2	1	2	2	2	18	49	0	6,731
Federal Receipts	0	0	0	0	0	0	0	0	0	0	0
Total Receipts	2,285	2	2	1	2	2	2	18	49	0	48,915
Disbursements:											
Local Assistance Grants	2,167	0	0	0	0	0	0	0	0	0	42,883
Departmental Operations	3,951	2	2	1	2	1	3	13	50	0	12,228
General State Charges	1,618	1	0	0	0	1	1	6	10	0	6,687
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	7,736	3	2	1	2	2	4	19	60	0	61,798
Other Financing Sources (Uses):											
Transfers From Other Funds	5,857	0	0	0	0	0	0	8	11	0	17,910
Transfers To Other Funds	(764)	0	0	0	0	0	0	(4)	0	0	(5,618)
Proceeds From Financing Arrangements/Advance Refundings	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	5,093	0	0	0	0	0	0	4	11	0	12,292
Operating Surplus/(Deficit)	(358)	(1)	0	0	0	0	(2)	3	0	0	(591)

CASH TO GAAP CONVERSION TABLE
GENERAL FUND
FY 2015
(millions of dollars)

	Cash Financial Plan	Perspective Difference		Entity Difference		Cash Basis Subtotal	Changes in Accruals	Elimin- ations	Intrafund Eliminations	Reclass- ification	GAAP Financial Plan
		Special Revenue Funds	Other Funds	Special Revenue Funds	Other Funds						
Receipts:											
Taxes:											
Personal Income Tax	29,372	0	0	29,372	0	(798)	0	0	0	0	28,574
User Taxes and Fees	6,652	0	0	6,652	4	0	0	0	0	0	6,656
Business Taxes	5,438	0	0	5,438	347	0	0	0	0	0	5,785
Other Taxes	1,197	0	0	1,197	(28)	0	0	0	0	0	1,169
Miscellaneous Receipts	3,815	2,285	1,089	7,189	0	0	555	(1,075)	62	62	6,731
Federal Receipts	0	0	0	0	0	0	0	0	0	0	0
Total Receipts	46,474	2,285	1,089	49,848	(475)		555	(1,075)	62		48,915
Disbursements:											
Local Assistance Grants	42,118	2,167	8	44,293	(182)	0	0	0	0	(1,228)	42,883
Departmental Operations	7,850	4,233	1,143	13,226	(1)	(41)	(41)	(1,075)	119	119	12,228
General State Charges	5,072	1,636	76	6,784	(53)	0	596	0	(640)	0	6,687
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	55,040	8,036	1,227	64,303	(236)		555	(1,075)	(1,749)		61,798
Other Financing Sources (Uses):											
Transfers From Other Funds	16,488	6,155	106	22,749	0	(4,485)	0	0	0	(354)	17,910
Transfers To Other Funds	(8,102)	(487)	(57)	(8,646)	0	4,485	0	0	0	(1,457)	(5,618)
Proceeds From Financing Arrangements/ Advance Refundings	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	8,386	5,668	49	14,103	0		0	0	(1,811)		12,292
Excess (deficiency) Of Revenues And Other Financing Sources Over Expenditures And Other Financing Uses	(180)	(83)	(89)	(352)	(239)		0	0	0	0	(591)
(Increase)/Decrease in Reserves Operating Surplus/(Deficit)	180	0	0	180	(180)		0	0	0	0	0
	0	(83)	(89)	(172)	(419)		0	0	0	0	(591)

CASH TO GAAP CONVERSION TABLE
SPECIAL REVENUE FUNDS
FY 2015

	Estimated Cash Disbursements	CUNY (Fund 377)	SUNY (Fund 345)	(millions of dollars)			Food Stamps	Reclass Public Health	Reclass SUNY	Interfund Activity	System Accruals	Estimated GAAP Expenditures
				MSSRF (Fund 339)	LOTTERY (Fund 160)	Reclass						
Receipts:												
Taxes	8,351	0	0	0	0	0	0	0	0	4	8,355	
Public Health	0	0	0	0	0	0	4,537	0	0	0	4,537	
Miscellaneous Receipts	16,189	(82)	(4,385)	(2,285)	(3,325)	0	(4,537)	0	0	40	1,615	
Federal Receipts	43,653	0	0	0	0	5,937	0	0	0	309	49,899	
Total Receipts	68,193	(82)	(4,385)	(2,285)	(3,325)	5,937	0	0	0	353	64,406	
Disbursements:												
Local Assistance Grants	58,138	0	0	(2,167)	(52)	5,937	0	0	0	313	62,169	
Departmental Operations	12,186	(89)	(5,426)	(4,233)	(143)	0	(295)	0	0	28	2,028	
General State Charges	2,443	0	(381)	(1,635)	(13)	0	0	0	0	12	426	
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	
Total Disbursements	72,767	(89)	(5,807)	(8,035)	(208)	5,937	0	(295)	0	353	64,623	
Other Financing Sources (Uses):												
Transfers From Other Funds	8,104	0	(1,551)	(6,155)	3,164	0	0	0	0	0	3,593	
Transfers To Other Funds	(3,445)	0	94	487	0	0	0	(295)	(31)	0	(3,190)	
Net Other Financing Sources (Uses)	4,659	0	(1,457)	(5,668)	3,164	0	0	(295)	0	0	403	
Operating Surplus/(Deficit)	85	7	(35)	82	47	0	0	0	0	0	186	

CASH TO GAAP CONVERSION TABLE
CAPITAL PROJECTS FUND
FY 2015

	Estimated Cash Disbursements	SUNY Rehab (Fund 074)	SUNY Capital (Fund 384)	(millions of dollars)			COPS	Off-Budget Capital	Reclass Proceeds	System Accruals	Estimated GAAP Expenditures	
				SUNY/CUNY (Fund 002)	Appropriated Loans	Reclass						
Receipts:												
Taxes	1,362	0	0	0	0	0	0	0	0	0	1,362	
Miscellaneous Receipts	5,208	(11)	(111)	(895)	(7)	0	0	(3,114)	71	0	1,141	
Federal Receipts	2,062	0	0	0	0	0	0	0	0	0	2,062	
Total Receipts	8,632	(11)	(111)	(895)	(7)	0	0	(3,114)	71	0	4,565	
Disbursements:												
Local Assistance Grants	2,475	0	0	0	0	0	0	0	0	93	2,568	
Capital Projects	5,991	(61)	(111)	(966)	(7)	0	928	0	152	0	5,926	
Total Disbursements	8,466	(61)	(111)	(966)	(7)	0	928	0	245	0	8,494	
Other Financing Sources (Uses):												
Transfers From Other Funds	1,048	(50)	0	0	0	0	0	0	0	0	998	
Transfers To Other Funds	(1,509)	0	0	0	0	0	0	0	0	0	(1,509)	
Proceeds Of GO Bonds	306	0	0	0	0	0	0	0	0	0	306	
Proceeds From Financing Arrangements/ Advance Refundings	0	0	0	0	0	0	946	3,114	0	0	4,060	
Net Other Financing Sources (Uses)	(155)	(50)	0	0	0	0	946	3,114	0	0	3,855	
Operating Surplus/(Deficit)	11	0	0	71	0	0	18	0	(174)	0	(74)	

CASH TO GAAP CONVERSION TABLE
DEBT SERVICE FUND
FY 2015

	Estimated Cash Disbursements	LGAC	Patient Fees	(millions of dollars)			System Accruals	Estimated GAAP Expenditures
				Reclass	SUNY/ CUNY/DS	Reclass		
Receipts:								
Taxes	17,816	0	0	0	0	8	17,824	
Patient Fees	0	0	451	0	0	0	451	
Miscellaneous Receipts	460	(1)	(451)	0	8	0	0	
Federal Receipts	73	0	0	0	0	73	73	
Total Receipts	18,349	(1)	0	0	0	8	18,356	
Disbursements:								
Departmental Operations	43	0	0	0	0	0	43	
Debt Service	5,648	0	0	(1,041)	0	0	4,607	
Total Disbursements	5,691	0	0	(1,041)	0	0	4,650	
Other Financing Sources (Uses):								
Transfers From Other Funds	4,467	0	0	0	0	0	4,467	
Transfers To Other Funds	(17,132)	0	0	(1,041)	0	0	(18,173)	
Net Other Financing Sources (Uses)	(12,665)	0	0	(1,041)	0	0	(13,706)	
Operating Surplus/(Deficit)	(7)	(1)	0	0	0	8	0	

**STATE OF NEW YORK
LIST OF JOINT CUSTODY FUNDS - AS CLASSIFIED BY OSC - APRIL 2014**

APPENDIX

CAS FUND NUMBER	SFS FUND RANGE	FUND NAME	FUND CLASSIFICATION
001	10000-10049	Local Assistance Account	General
002	30000-30049	State Capital Projects Fund	Capital Projects
003	10050-10099	State Operations Account	General
004	10100-10149	Tax Stabilization Reserve Account	General
005	10150-10199	Contingency Reserve Fund	General
006	10200-10249	Universal Pre-Kindergarten Reserve	General
007	10250-10299	Community Projects Fund	General
008	10300-10349	Rainy Day Reserve Fund	General
017	10400-10449	Refund Reserve Account	General
019	20000-20099	Mental Health Gift and Donations Fund	Special Revenue
020	20100-20299	Combined Expendable Trust Fund	Special Revenue
021	66000-66049	Agriculture Producers' Security Fund	Private Purpose Trust
022	66050-66099	Milk Producers' Security Fund	Private Purpose Trust
023	20300-20349	New York Interest on Lawyer Account Fund	Special Revenue
024	20350-20399	NYS Archives Partnership Trust Fund	Special Revenue
025	20400-20449	Child Performer's Protection Fund	Special Revenue
050	20450-20499	Tuition Reimbursement Fund	Special Revenue
052	20500-20549	NYS Local Government Records Management Improvement Fund	Special Revenue
053	20550-20599	School Tax Relief Fund	Special Revenue
054	20600-20649	Charter Schools Stimulus Fund	Special Revenue
055	20650-20699	Not-For-Profit Short-Term Revolving Loan Fund	Special Revenue
061	20800-20849	Health Care Reform Act (HCRA) Resources Fund	Special Revenue
064	40000-40049	Debt Reduction Reserve Fund	Debt Service
072	30050-30099	Dedicated Highway and Bridge Trust Fund	Capital Projects
073	20850-20899	Dedicated Mass Transportation Trust Fund	Special Revenue
074	30100-30299	SUNY Residence Halls Rehabilitation and Repair Fund	Capital Projects
075	30300-30349	NYS Canal System Development Fund	Capital Projects
076	30350-30399	State Park Infrastructure Fund	Capital Projects
077	30400-30449	Passenger Facility Charge Fund	Capital Projects
078	30450-30499	Environmental Protection Fund	Capital Projects
079	30500-30549	Clean Water/Clean Air Implementation Fund	Capital Projects
100	10450-10499	General Fund	General
101	30600-30609	Energy Conservation Thru Improved Transportation Bond Fund	Capital Projects
103	30610-30619	Park and Recreation Land Acquisition Bond Fund	Capital Projects

**STATE OF NEW YORK
LIST OF JOINT CUSTODY FUNDS - AS CLASSIFIED BY OSC - APRIL 2014**

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CAS FUND NUMBER	SFS FUND RANGE	FUND NAME	FUND CLASSIFICATION
105	30620-30629	Pure Waters Bond Fund	Capital Projects
106	30750-30799	Outdoor Recreation Development Bond Fund	Capital Projects
109	30630-30639	Transportation Capital Facilities Bond Fund	Capital Projects
115	30640-30649	Environmental Quality Protection Fund	Capital Projects
118		Rail Preservation and Development Bond Fund	Capital Projects
119	30700-30749	State Housing Bond Fund	Capital Projects
121	30650-30659	Rebuild and Renew New York Transportation Bond Fund	Capital Projects
123	30660-30669	Transportation Infrastructure Renewal Bond Fund	Capital Projects
124	30670-30679	Environmental Quality Bond Act Fund	Capital Projects
126	30680-30689	Accelerated Capacity and Transportation Improvements Bond Fund	Capital Projects
127	30690-30699	Clean Water/Clean Air Bond Fund	Capital Projects
130	60050-60149	School Capital Facilities Financing Reserve Fund	Agency
135/136/137	60150-60199	Child Performer's Holding Fund	Agency
152	60200-60249	Employees Health Insurance Fund	Agency
153	60250-60299	Social Security Contribution Fund	Agency
154	60300-60399	Payroll Deduction Escrow Fund	Agency
160	20900-20949	State Lottery Fund	Special Revenue
162	60400-60449	Employees Dental Insurance Fund	Agency
163	60450-60499	Management Confidential Group Insurance Fund	Agency
165	60500-60549	Lottery Prize Fund	Agency
166	10500-10549	Fringe Benefit Escrow Account	General
167	60550-60599	Health Insurance Reserve Receipts Fund	Agency
169	60600-60799	Miscellaneous NYS Agency Fund	Agency
175	60800-60849	EPIC Escrow Fund	Agency
176	60850-60899	CUNY Senior College Operating Fund	Agency
179	60900-60949	MMIS Statewide Escrow Fund	Agency
221	20950-20999	Combined Student Loan Fund	Special Revenue
225	23650-23699	Metropolitan Transportation Authority (MTA) Financial Assistance Fund	Special Revenue
261	25000-25099	Federal USDA-Food and Nutrition Services Fund	Special Revenue
265	25100-25199	Federal Health and Human Services Fund	Special Revenue
267	25200-25249	Federal Education Fund	Special Revenue
269	25250-25299	Federal Block Grants Fund	Special Revenue

**STATE OF NEW YORK
LIST OF JOINT CUSTODY FUNDS - AS CLASSIFIED BY OSC - APRIL 2014**

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CAS FUND NUMBER	SFS FUND RANGE	FUND NAME	FUND CLASSIFICATION
290	25300-25899	Federal Miscellaneous Operating Grants Fund	Special Revenue
291	31350-31449	Federal Capital Projects Fund	Capital Projects
300	21000-21049	Sewage Treatment Program Management and Administration Fund	Special Revenue
301	21050-21149	Environmental Conservation Special Revenue Fund	Special Revenue
302	21150-21199	Conservation Fund	Special Revenue
303	21200-21249	Environmental Protection and Oil Spill Compensation Fund	Special Revenue
304	40100-40149	Mental Health Services Fund	Debt Service
305	21250-21299	Training and Education Program on Occupational Safety and Health Fund	Special Revenue
306	21300-21349	Lawyers' Fund For Client Protection	Special Revenue
307	21350-21399	Equipment Loan Fund for the Disabled	Special Revenue
309	60950-60999	Special Education Fund	Agency
310	31450-31499	Forest Preserve Expansion Fund	Capital Projects
311	40150-40199	General Debt Service Fund	Debt Service
312	31500-31549	Hazardous Waste Remedial Fund	Capital Projects
313	21400-21449	Mass Transportation Operating Assistance Fund	Special Revenue
314	21450-21499	Clean Air Fund	Special Revenue
316	40250-40299	Housing Debt Fund	Debt Service
318	21500-21549	New York State Infrastructure Trust Fund	Special Revenue
319	40300-40349	Department of Health Income Fund	Debt Service
321	21550-21599	Legislative Computer Services Fund	Special Revenue
323	55000-55049	Centralized Services Account	Internal Service
324	50000-50049	Youth Commissary Account	Enterprise
325	50050-50099	State Exposition Special Account	Enterprise
326	50100-50299	Correctional Services Commissary Account	Enterprise
327	31650-31699	Suburban Transportation Fund	Capital Projects
328	21600-21649	Biodiversity Stewardship and Research Fund	Special Revenue
330	40350-40399	State University Dormitory Income Fund	Debt Service
331	50300-50399	Agency Enterprise Fund	Enterprise
332	21650-21699	Combined Non-Expendable Trust Fund	Special Revenue
333	21700-21749	Winter Sports Education Trust Fund	Special Revenue
334	55050-55099	Agency Internal Service Account	Internal Service
335	21750-21799	Musical Instrument Revolving Fund	Special Revenue
338	21850-21899	Arts Capital Revolving Fund	Special Revenue
339	21900-22499	Miscellaneous Special Revenue Account	Special Revenue

**STATE OF NEW YORK
LIST OF JOINT CUSTODY FUNDS - AS CLASSIFIED BY OSC - APRIL 2014**

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CAS FUND NUMBER	SFS FUND RANGE	FUND NAME	FUND CLASSIFICATION
340	22500-22549	Court Facilities Incentive Aid Fund	Special Revenue
341	22550-22599	Employment Training Fund	Special Revenue
342	22600-22649	Homeless Housing and Assistance Fund	Special Revenue
343	55100-55149	Mental Hygiene Revolving Account	Internal Service
344	61000-61099	State University New York Revenue Collection Fund	Agency
345	22650-22699	State University Income Fund	Special Revenue
346	22700-22749	Chemical Dependence Service Fund	Special Revenue
347	55150-55199	Youth Vocational Education Account	Internal Service
348	10550-10599	Tobacco Revenue Guarantee Fund	General
349	22750-22799	Lake George Park Trust Fund	Special Revenue
351	50400-50449	Office of Mental Health Sheltered Workshop Fund	Enterprise
352	50450-50499	Office for Persons with Developmental Disabilities Sheltered Workshop Fund	Enterprise
353	50500-50599	Mental Hygiene Community Stores Account	Enterprise
354	22800-22849	State Police and Motor Vehicle Law Enforcement and Motor Vehicle Theft and Insurance Fraud Prevention Fund	Special Revenue
355	22850-22899	New York Great Lakes Protection Fund	Special Revenue
357	31700-31749	Division for Youth Facilities Improvement Fund	Capital Projects
359	22900-22949	Federal Revenue Maximization Fund	Special Revenue
360	22950-22999	Housing Development Fund	Special Revenue
361	40400-40449	Clean Water/Clean Air Fund	Debt Service
362	23000-23049	NYS DOT Highway Safety Program Fund	Special Revenue
364	40450-40499	Local Government Assistance Tax Fund	Debt Service
365	23050-23099	Vocational Rehabilitation Fund	Special Revenue
366	23100-23149	Drinking Water Program Management and Administration Fund	Special Revenue
368	23150-23199	New York City County Clerks' Operations Offset Fund	Special Revenue
369	23200-23249	Judiciary Data Processing Offset Fund	Special Revenue
374	31800-31849	Housing Assistance Fund	Capital Projects
376	31850-31899	Housing Program Fund	Capital Projects
377	23250-23449	IFR/City University Tuition Fund	Special Revenue
378	31900-31949	Natural Resource Damages Fund	Capital Projects
380	31950-31999	Department of Transportation Engineering Services Fund	Capital Projects
382	61100-61199	State University Federal Direct Lending Program Fund	Agency
384	32400-32999	State University Capital Projects Fund	Capital Projects

**STATE OF NEW YORK
LIST OF JOINT CUSTODY FUNDS - AS CLASSIFIED BY OSC - APRIL 2014**

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CAS FUND NUMBER	SFS FUND RANGE	FUND NAME	FUND CLASSIFICATION
385	23500-23549	US Olympic Committee/Lake Placid Olympic Training Fund	Special Revenue
387	32200-32249	Miscellaneous Capital Projects Fund	Capital Projects
388	32250-32299	City University of New York Capital Projects Fund	Capital Projects
389	32300-32349	Mental Hygiene Facilities Capital Improvement Fund	Capital Projects
390	23550-23599	Indigent Legal Services Fund	Special Revenue
394	55200-55249	Joint Labor/Management Administration Account	Internal Service
395	55250-55299	Audit and Control Revolving Account	Internal Service
396	55300-55349	Health Insurance Revolving Account	Internal Service
397	55350-55399	Correctional Industries Revolving Account	Internal Service
399	32350-32399	Correctional Facilities Capital Improvement Fund	Capital Projects
400	65000-65049	Common Retirement Fund	Pension Trust
480	25900-25949	Federal Unemployment Insurance Administration Fund	Special Revenue
481	50650-50699	Unemployment Insurance Benefit Fund	Enterprise
482	23600-23649	Unemployment Insurance Interest and Penalty Fund	Special Revenue
484	25950-25999	Federal Unemployment Insurance Occupational Training Fund	Special Revenue
486	26000-26049	Federal Emergency Employment Act Fund	Special Revenue
	33000-33049	New York State Storm Recovery Capital Fund	Capital Projects
	23700-23750	New York State Commercial Gaming Fund	Special Revenue

STATE OF NEW YORK FUND STRUCTURE

