



NEW YORK
STATE OF
OPPORTUNITY™

FY 2021 Mid-Year Update

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Introduction

Introduction

This is the Mid-Year Update to the Financial Plan (the “Quarterly Update” or “Updated Financial Plan”) for Fiscal Year (FY) 2021. Except for the specific revisions described herein, the projections in the Updated Financial Plan (and the assumptions upon which they are based) are consistent with the projections set forth in the FY 2021 First Quarterly Update to the Financial Plan (the “First Quarterly Update”) issued in August 2020. The State’s FY 2021 began on April 1, 2020 and ends on March 31, 2021. The Division of the Budget (DOB) expects to update the State’s official Financial Plan projections with the FY 2022 Executive Budget.

Factors affecting the State’s financial condition are numerous and complex. This Updated Financial Plan contains “forward-looking statements” relating to future results and economic performance as defined in the Private Securities Litigation Reform Act of 1995. Since many factors may materially affect fiscal and economic conditions in the State, the forecasts, projections, and estimates should not be regarded as a representation that such forecasts, projections, and estimates will occur. The forward-looking statements contained herein are based on the State’s expectations at the time they were prepared and are necessarily dependent upon assumptions, estimates and data that it believes are reasonable as of the date made, but that may be incorrect, incomplete or imprecise or not reflective of actual results. Forecasts, projections, and estimates are not intended as representations of fact or guarantees of results. The words “expects,” “forecasts,” “projects,” “intends,” “anticipates,” “estimates,” “assumes” and analogous expressions are intended to identify forward-looking statements. Any such statements inherently are subject to a variety of risks and uncertainties that could cause actual results to differ materially and adversely from projections. Such risks and uncertainties include, but are not limited to, general economic and business conditions; natural calamities; foreign hostilities or wars; domestic or foreign terrorism; changes in political, social, economic and environmental conditions, including climate change and extreme weather events; severe epidemic or pandemic events; cybersecurity events; impediments to the implementation of gap-closing actions; regulatory initiatives and compliance with governmental regulations; litigation; Federal tax law changes; actions by the Federal government to reduce or disallow expected aid, including Federal aid authorized or appropriated by Congress, but subject to sequestration, administrative actions, or other actions that would reduce aid to the State; and various other events, conditions and circumstances. Many of these risks and uncertainties are beyond the control of the State. These forward-looking statements are based on the State’s expectations as of the date of this Updated Financial Plan.

Significant Budgetary/Accounting Practices

Unless clearly noted otherwise, all financial information is presented on a cash basis of accounting.¹

The State accounts for receipts and disbursements by the fund in which the activity takes place (such as the General Fund), and the broad category or purpose of that activity (such as State Operations). The Updated Financial Plan tables present State projections and results by fund and category.

Fund types of the State include: the General Fund; State Special Revenue Funds, which receive certain dedicated taxes, fees, and other revenues that are used for specified purposes; Federal Special Revenue Funds, which receive certain Federal grants; State and Federal Capital Projects Funds, which account for costs incurred in the construction, maintenance, and rehabilitation of roads, bridges, prisons, university facilities, and other infrastructure projects; and Debt Service Funds, which account for the payment of principal, interest, and related expenses for debt issued by the State and on the State's behalf by its public authorities.

The State's **General Fund** receives most State taxes and all income not earmarked for a specified program or activity. State law requires the Governor to submit, and the Legislature to enact, a General Fund Budget that is balanced on a cash basis of accounting. The State Constitution and State Finance Law do not provide a precise definition of budget balance. In practice, the General Fund is considered balanced if sufficient resources are, or are expected to be, available during the fiscal year for the State to: (a) make all planned payments, including Personal Income Tax (PIT) refunds, without the issuance of deficit notes or bonds, or extraordinary cash management actions; (b) restore the balances in the Tax Stabilization Reserve Fund and the Rainy Day Reserve Fund (collectively, the "Rainy Day Reserves") to levels at or above those on deposit when the fiscal year began; and (c) maintain other reserves, as required by law. For purposes of calculating budget balance, the General Fund includes transfers to and from other funds.

The General Fund is the sole financing source for the School Tax Relief (STAR) fund and is typically the financing source of last resort for the State's other major funds, including the Health Care Reform Act (HCRA) funds, the Dedicated Highway and Bridge Trust Fund (DHBTF), and the Lottery Fund. Therefore, General Fund projections account for any estimated funding shortfalls in these funds. Since the General Fund is required by law to be balanced, the focus of the State's budgetary and gap-closing discussion in the Updated Financial Plan is generally weighted toward the General Fund.

¹ State Finance Law also requires DOB to prepare a pro forma Financial Plan using, to the extent practicable, Generally Accepted Accounting Principles (GAAP). The GAAP-basis Financial Plan is informational only. DOB does not use it as a benchmark for managing State finances during the fiscal year and does not update it on a quarterly basis. The GAAP-basis Financial Plan follows, to the extent practicable, the accrual methodologies and fund accounting rules applied by the Office of the State Comptroller (OSC) in preparation of the audited Basic Financial Statements, but there can be no assurance that the pro forma GAAP financial plan conforms to all GAAP principles.

At times, DOB will informally designate unrestricted balances in the General Fund for specific policy goals (e.g., reserve for timing of payments). These amounts are typically, but not uniformly, identified with the phrase “reserved for” They are not held in distinct accounts within the General Fund and may be used for other purposes.

Projections for future years may show budget gaps or budget surpluses in the General Fund. Budget gaps represent the difference between: (a) the projected General Fund disbursements, including transfers to other funds, needed to maintain current service levels and specific commitments, and (b) the projected level of resources, including transfers from other funds, to pay for these disbursements. The General Fund projections are based on many assumptions and are developed by DOB in conjunction with other State agencies. Some projections are based on specific, known information (e.g., a statutory requirement to increase payments to a prescribed level), while others are based on more uncertain or speculative information (e.g., the pace at which a new program will enroll recipients). In general, the multi-year projections assume that money appropriated in one fiscal year will continue to be appropriated in future years, even for programs that were not created in permanent law and that the State has no obligation to fund. Funding levels for nearly all State programs are reviewed annually in the context of the current and projected fiscal position of the State.

State Operating Funds is a broader measure of spending on operations (as distinct from capital purposes) that is funded with State resources. It includes financial activity in the General Fund, as well as State-funded Special Revenue Funds and Debt Service Funds (spending from Capital Projects Funds and Federal Funds is excluded). As significant financial activity occurs in funds outside the General Fund, the State Operating Funds perspective is, in DOB’s view, a more comprehensive measure of operations funded with State resources (e.g., taxes, assessments, fees and tuition). The State Operating Funds perspective eliminates certain distortions in operating activities that may be caused by, among other things, the State's complex fund structure and the transfer of money between funds. For example, the State funds its share of the Medicaid program from both the General Fund and State Special Revenue Funds. The State Operating Funds perspective captures Medicaid disbursements from both fund types, giving a more complete accounting of State-funded Medicaid disbursements. Accordingly, projections often emphasize the State Operating Funds perspective.

The Updated Financial Plan projections reflect certain actions that have affected, or are intended to affect, the amount of annual spending reported on a State Operating Funds basis. Such actions include but are not limited to: (a) payment of certain operating costs using available resources outside the State Operating Funds basis of reporting; and (b) reclassification as Enterprise Funds of certain activities in which goods or services are provided to the public for a fee. If these or other transactions are not executed or reported in a manner consistent with DOB’s interpretation of the legislation and legislative intent, annual spending growth in State Operating Funds would be higher than projections.

The State also reports disbursements and receipts activity for **All Governmental Funds** (All Funds), which includes spending from Capital Projects Funds and Federal Funds, in addition to State Operating Funds. The All Funds basis provides the most comprehensive view of the cash-basis financial operations of the State.

Differences may occur from time to time between DOB and OSC's financial reports in presentation and reporting of receipts and disbursements. For example, DOB may reflect a net expenditure amount while OSC may report the gross expenditure. Any such differences in reporting between DOB and OSC could result in differences in the presentation and reporting for total receipts and disbursements under different fund perspectives (e.g., State Operating Funds and All Governmental Funds).

Financial Plan Overview

The following table provides certain Financial Plan information for FY 2020 and FY 2021 as of the Mid-Year Update to the Financial Plan.

FINANCIAL PLAN AT-A-GLANCE: KEY MEASURES (millions of dollars)			
	FY 2020 Results	FY 2021	
		First Quarter	Mid-Year
State Operating Funds Disbursements			
Size of Budget	\$102,160	\$98,152	\$97,908
Annual Growth	0.3%	-3.9%	-4.2%
Other Disbursement Measures			
General Fund (Including Transfers) ¹	\$77,469	\$70,690	\$70,877
Annual Growth	6.4%	-8.8%	-8.5%
Capital Budget (Federal and State)	\$11,999	\$14,676	\$13,959
Annual Growth	-2.2%	22.3%	16.3%
Federal Operating Aid ²	\$58,823	\$72,256	\$77,970
Annual Growth	0.6%	22.8%	32.6%
All Funds	\$172,982	\$185,084	\$189,837
Annual Growth	1.2%	7.0%	9.7%
Capital Budget (Including "Off-Budget" Capital) ³	\$12,484	\$15,035	\$14,268
Annual Growth	-2.3%	20.4%	14.3%
All Funds (Including "Off-Budget" Capital) ³	\$173,467	\$185,443	\$190,146
Annual Growth	1.2%	6.9%	9.6%
Inflation (CPI)	1.9%	0.3%	1.1%
All Funds Receipts			
Taxes	\$82,889	\$74,461	\$74,461
Annual Growth	9.7%	-10.2%	-10.2%
Miscellaneous Receipts	\$29,466	\$31,066	\$30,784
Annual Growth	-5.5%	5.4%	4.5%
Federal Receipts (Operating and Capital) ²	\$65,080	\$77,097	\$83,058
Annual Growth	6.1%	18.5%	27.6%
Total All Funds Receipts ²	\$177,435	\$182,624	\$188,303
Annual Growth	5.5%	2.9%	6.1%
General Fund Cash Balance			
	\$8,944	\$7,087	\$7,237
Rainy Day Reserves	\$2,476	\$2,476	2,476
Extraordinary Monetary Settlements	\$2,610	\$2,185	2,185
Economic Uncertainties	\$890	\$1,340	1,490
All Other Reserves/Fund Balances	\$2,968	\$1,086	1,086
Debt			
Debt Service as % All Funds Receipts ⁴	2.8%	3.3%	3.2%
State-Related Debt Outstanding	\$54,447	\$60,093	\$60,514
Debt Outstanding as % Personal Income	3.9%	4.3%	4.2%
State Workforce FTEs (Subject to Direct Executive Control)	118,193	118,850	118,170
¹ Includes planned transfer of Extraordinary Monetary Settlements from the General Fund to other funds for designated purposes. ² Includes the receipt and planned use of \$5.1 billion from the Coronavirus Relief Fund, pursuant to the Federal CARES Act. ³ Includes capital spending that occurs outside the All Funds budget financed directly from State-supported bond proceeds held by public authorities. ⁴ Excludes the repayment of \$4.5 billion in short-term borrowing executed and expected to be repaid in FY 2021.			

Summary

The General Fund is expected to remain in balance in FY 2021. The assured extension of enhanced Federal Medical Assistance Percentage (eFMAP) through December 2020, which is valued at roughly \$750 million, offsets updated costs for Medicaid enrollment and an expected decline in HCRA receipts and provider assessments. Both the increased enrollment and the decline in receipts are mainly due to pandemic effects, with the increase in enrollment driven by job losses and the decline in receipts by safety and capacity restrictions. Based on results to date and given the extraordinary economic, financial, and political uncertainties ahead, DOB is not making any revisions to the tax receipts forecast in this Mid-Year Update.

The budget gaps for future years are now projected at \$8.7 billion in FY 2022, \$9.7 billion in FY 2023, and \$9.4 billion in FY 2024. The projected budget gap for FY 2022 has increased by roughly \$370 million compared to the First Quarterly Update estimate. This is due mainly due to health care enrollment through FY 2022 above prior estimates and the assumed expiration of eFMAP on December 31, 2020.

The Financial Plan estimates and projections for each year, including FY 2021, reflect \$8 billion in local aid reductions that are expected to be executed pursuant to the budget reduction authority granted to the Budget Director in the Enacted Budget (the “Reduction Authority”). Substantially all such outyear savings are dependent on the Legislature approving the continuation of the Reduction Authority or specific gap-closing actions, or both, in future years. If the U.S. Congress approves substantial new recovery aid to the states and localities, the level of State-planned reductions may be reduced.

In the absence of Federal action since enactment of the FY 2021 budget, DOB began withholding 20 percent of most local aid payments in June 2020, pursuant to the withholding authority granted by State legislation enacted in connection with the adoption of the Enacted Budget. It has also imposed a rigorous process for reviewing all planned payments for local aid, agency operations, and capital projects. Through the end of September 2020, DOB estimates that approximately \$2.4 billion in local aid payments were not made as budgeted. All or a portion of these budgeted payments may not be made during FY 2021, depending on the size and timing of new Federal aid, if any.



Financial Plan Overview

Consistent with the Enacted Budget Financial Plan, the State has implemented a hiring freeze and controls on non-personal service and capital commitments and expenditures. It has also deferred, through December 30, 2020, the general salary increases that were scheduled to take effect on April 1, 2020. State agencies have been directed to reduce operating expenditures by 10 percent from the levels authorized in the Enacted Budget Financial Plan. Certain exceptions are expected to be granted for facility operations and public health and safety priorities. The Enacted Budget Financial Plan describes these controls on agency operations in more detail.

The State completed two PIT note sales for cash flow purposes in the first quarter of FY 2021. The note sales generated a total of \$4.5 billion in net proceeds, as planned in the Enacted Budget Financial Plan. The sales were undertaken to meet anticipated liquidity needs arising from the Federal government's decision to extend the calendar year 2019 income tax filing deadline from April 15, 2020 to July 15, 2020. The notes are scheduled to be repaid in December 2020 (\$1 billion) and March 2021 (\$3.5 billion).

The Department of Financial Services (DFS) announced a \$150 million settlement with Goldman Sachs for Goldman's role in the fraudulent misappropriation of funds related to a strategic investment development fund. Consistent with recent settlements, we show the entire amount reserved for economic uncertainties. The balance reserved for this purpose is now nearly \$1.5 billion.

The wide-ranging economic, health, and social disruptions caused by the pandemic continue to have an adverse impact on State authorities and localities. The Metropolitan Transportation Authority (MTA) and the Thruway Authority have disclosed that observed declines in mass transit, commuter rail, and vehicular traffic are having an adverse and material impact on their financial condition and operating results. The City of New York has made material reductions to estimated tax receipts for City Fiscal Year (CFY) 2020 and CFY 2021, and other localities have identified similar concerns. The State aid reductions expected to be taken in the Updated Financial Plan may materially and adversely affect the financial position of the MTA, the City of New York, and other localities.

Updated Budget Gap Closing Plan

In comparison to the Executive Budget Financial Plan (“February Plan”), the last plan released before the pandemic, DOB has reduced the FY 2021 estimate for General Fund receipts by \$14.9 billion. Projections for All Funds tax receipts alone are down by \$13.5 billion -- a 15.3 percent reduction since the February Plan. The dramatic decline in General Fund receipts is not a one-year problem, and while receipts are expected to exhibit growth in upcoming fiscal years, they are still not expected to return to FY 2020 levels until after FY 2024. DOB expects the reduced receipts to carry through each subsequent year of the Updated Financial Plan, creating a total loss of nearly \$63 billion through FY 2024 compared to the February Plan period, as summarized in the following table.

GENERAL FUND RECEIPTS REVISIONS TO THE FEBRUARY PLAN					
(millions of dollars)					
	FY 2021 Updated ¹	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected	Total
TOTAL GENERAL FUND REVISIONS	(14,922)	(16,328)	(15,922)	(15,665)	(62,837)
ENACTED/Q1 UPDATE REVISIONS	(14,516)	(16,207)	(15,814)	(15,556)	(62,093)
General Fund Tax Receipts	(12,862)	(15,472)	(15,238)	(15,153)	(58,725)
Licenses and Fees	(300)	(200)	(150)	(100)	(750)
Lottery/Gaming Receipts	(1,146)	(479)	(377)	(252)	(2,254)
Dedicated Tax Receipts (DHBTF)	(208)	(56)	(49)	(51)	(364)
MID-YEAR UPDATE REVISIONS	(406)	(121)	(108)	(109)	(744)
Health Care Receipts	(406)	(121)	(108)	(109)	(744)

¹ Excludes receipts of Extraordinary Monetary Settlements.

The following table summarizes the FY 2021 gap-closing plan, updated for revisions in the Updated Financial Plan. The gap-closing plan for FY 2021 must now cover an estimated General Fund receipts shortfall of \$14.9 billion. The plan consists of specific savings in the Enacted Budget Financial Plan, budget control actions taken by the Executive to reduce authorized spending, and surplus resources.

GENERAL FUND BUDGETARY BASIS SURPLUS/(GAP) PROJECTIONS (millions of dollars)				
	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
EXECUTIVE BUDGET (FEB. 2020) SURPLUS/(GAP)	0	(1,939)	(3,313)	(3,266)
Receipts Revisions	(14,922)	(16,328)	(15,922)	(15,665)
UPDATED BUDGET SURPLUS/(GAP)	(14,922)	(18,267)	(19,235)	(18,931)
Changes to Exec. Proposals Adopted in Enacted Budget:	782	911	737	618
School Aid - Offset by Federal Funds	1,169	1,791	1,986	2,278
Medicaid	(100)	(778)	(1,160)	(1,543)
Legislative Adds	(180)	(10)	(10)	(10)
Legislative Rejection of Executive Proposals	(107)	(92)	(79)	(107)
Budget Control Actions:	10,165	9,178	9,170	9,224
Budget Balance Reductions (Aid to Localities)	8,180	8,010	8,010	8,010
Cash Management Withholds (Apr-Sep)	2,354	0	0	0
Financial Plan Reconciliation	(2,354)	0	0	0
<i>School Aid</i>	<i>tbd</i>	<i>tbd</i>	<i>tbd</i>	<i>tbd</i>
<i>Medicaid/Health</i>	<i>tbd</i>	<i>tbd</i>	<i>tbd</i>	<i>tbd</i>
<i>Higher Education</i>	<i>tbd</i>	<i>tbd</i>	<i>tbd</i>	<i>tbd</i>
<i>Social Services</i>	<i>tbd</i>	<i>tbd</i>	<i>tbd</i>	<i>tbd</i>
<i>Mental Hygiene</i>	<i>tbd</i>	<i>tbd</i>	<i>tbd</i>	<i>tbd</i>
<i>Transportation</i>	<i>tbd</i>	<i>tbd</i>	<i>tbd</i>	<i>tbd</i>
<i>Other</i>	<i>tbd</i>	<i>tbd</i>	<i>tbd</i>	<i>tbd</i>
Agency Operations Budget Balance Reductions	1,654	872	955	1,000
Debt Service/Other Revisions	331	296	205	214
Resource Changes:	5,031	517	(19)	2
Federal Medicaid Share Increase (eFMAP)	2,984	0	0	0
Prior Year Balances	553	550	0	0
Fund Eligible Expenses from CRF	869	0	0	0
Refunded Prior-Year COVID-19 Expenses	132	0	0	0
Other Resources	493	(33)	(19)	2
New Costs:	(1,056)	(1,064)	(396)	(332)
Health Care: Enrollment Increases/Other	(985)	(785)	(100)	(36)
Ongoing COVID-19 Expenses	0	(200)	(200)	(200)
Delayed Prison Downsizing	(41)	0	0	0
DMV Operation Costs	(30)	(67)	(83)	(83)
Other Costs	0	(12)	(13)	(13)
MID-YEAR UPDATE SURPLUS/(GAP)	0	(8,725)	(9,743)	(9,419)

The gap-closing plan for FY 2021 is described at length in the Enacted Budget Financial Plan. The incremental changes to that plan are described in the First Quarterly Update and the following section. The Enacted Budget Financial Plan and the Updated Financial Plans should be read in their entirety.

Mid-Year Revisions

The following table summarizes the General Fund revisions to the First Quarterly Update. The table is followed by a brief explanation of the revisions.

FY 2021 MID-YEAR UPDATE				
GENERAL FUND BUDGETARY BASIS SURPLUS/(GAP) PROJECTIONS				
(millions of dollars)				
	FY 2021	FY 2022	FY 2023	FY 2024
	Updated	Projected	Projected	Projected
FIRST QUARTERLY UPDATE SURPLUS/(GAP)	0	(8,358)	(9,849)	(9,352)
Resource Changes	337	250	184	230
Tax Receipts:	<u>(14)</u>	<u>(31)</u>	<u>(22)</u>	<u>17</u>
Debt Service	(14)	(31)	(22)	17
Non-Tax Receipts/Transfers:	<u>351</u>	<u>281</u>	<u>206</u>	<u>213</u>
Allocation of Agency Operations Savings	188	265	195	205
Extraordinary Monetary Settlements	150	0	0	0
Debt Service - Mental Hygiene	10	13	8	6
All Other	3	3	3	2
Spending Changes	(187)	(617)	(78)	(297)
Local Assistance:	<u>73</u>	<u>(295)</u>	<u>384</u>	<u>491</u>
Enhanced FMAP Extension (10/1 to 12/31)	746	0	0	0
Delayed Implementation of MRT II Savings Actions	(175)	0	0	0
Health Care Receipts (General Fund Offset for Medicaid)	(406)	(121)	(108)	(109)
Health Care Enrollment/Other	(139)	(253)	427	508
Allocation of Agency Operations Savings	14	14	14	14
All Other	33	65	51	78
Agency Operations/Fringe Benefits:	<u>(316)</u>	<u>(416)</u>	<u>(535)</u>	<u>(832)</u>
Allocation of Agency Operations Savings ¹	(328)	(380)	(545)	(545)
Fringe Benefits/Fixed Costs	71	180	225	(72)
Ongoing COVID-19 Expenses	0	(200)	(200)	(200)
All Other	(59)	(16)	(15)	(15)
Transfers to Other Funds	<u>56</u>	<u>94</u>	<u>73</u>	<u>44</u>
Allocation of Agency Operations Savings	61	76	99	99
Debt Service	14	94	66	30
DMV Operations	(30)	(67)	(83)	(83)
All Other	11	(9)	(9)	(2)
Use/(Reserve) of Fund Balances	(150)	0	0	0
Economic Uncertainties	(150)	0	0	0
MID-YEAR UPDATE SURPLUS/(GAP)	0	(8,725)	(9,743)	(9,419)

¹ A portion of savings has been allocated to other financial plan categories (e.g., transfers).

Resource Changes

Debt Service. General Fund tax receipts are revised downward due exclusively to changes in debt service costs. The Revenue Bond Tax Fund (RBTF) received lower reimbursements from capital programs for transportation and mental hygiene that are used to cover their share of debt service costs as the actual debt service costs were below estimated levels. The variance was due to lower capital spending and related debt issuances. This resulted in a lower transfer of tax receipts from the RBTF to the General Fund. Debt service savings related to refundings and interest rate assumptions are reflected in non-tax transfers from other funds and transfers to other funds, and in total provide net General Fund savings of \$10 million in FY 2021.

Allocation of Agency Operations Savings. The annual estimates for transfers from other funds have been increased to reflect changes in available resources in other funds due to expected operational savings consistent with the allocation of the agency budget reduction savings plan. These savings include mental hygiene rate adjustments and additional Federal Disproportionate Share Hospital (DSH) revenues partially offset by COVID related revenue declines attributable to facility patient volume.

Extraordinary Monetary Settlement. The DFS recently announced a settlement with Goldman Sachs for Goldman's role in the fraudulent misappropriation of funds related to a strategic investment development fund. The State is expected to receive a \$150 million penalty payment, which will be reserved for economic uncertainties, consistent with the treatment of other recent settlements. The amount reserved for this purpose now totals nearly \$1.5 billion.

Spending Changes

Local Assistance. Health Care revisions account for most of the updated local assistance estimates in this Quarterly Update.

- **eFMAP Extension.** The First Quarterly Update included \$2.2 billion in General Fund savings from the eFMAP rate authorized in the Families First Coronavirus Response Act (FFCRA) through September 30, 2020. The Secretary of Health and Human Services has extended the enhanced rate through January 2021. However, the enhanced rate can be revoked any time prior to the start of a new quarter. Accordingly, DOB is counting only on the assured extension, which runs from October 1 through December 31, 2020.

- **Delayed Implementation of MRT II Savings Actions.** Certain MRT savings are prohibited by the Federal government if the State intends to draw down the eFMAP rate authorized in FFCRA during the public health emergency declaration (\$175 million in FY 2021). These include planned modifications to program enrollment criteria for the Personal Care Services (PCS) program; eligibility standards in the PCS and Consumer Directed Personal Assistance Program (CDPAP); and an eligibility lookback period for the Homes and Community Bases Services (HCBS) program.
- **Health Care Receipts.** The estimate for HCRA resources for FY 2021 has been reduced by \$345 million. This consists of decreased surcharge collections as hospital services utilization, including elective procedures, dropped sharply during the first half of FY 2021, and reduced Covered Lives Assessments (CLA), consistent with shifting enrollment trends from commercial to public health insurance plans, which are not subject to the CLA. In addition, provider assessments have been reduced by \$61 million in FY 2021 to reflect a decline in the assessments levied against providers due to reduced patient volume and service delivery attributable to the COVID-19 pandemic.
- **Health Care Enrollment.** The spike in unemployment caused by the COVID-19 pandemic is expected to drive increased enrollment in public health insurance programs. At the time of the First Quarterly Update, DOB and the Department of Health (DOH) projected that approximately 518,000 people will become eligible for Medicaid, Child Health Plus (CHP), or Essential Plan (EP) coverage. Based on actual enrollment growth as of September 2020, DOB and DOH have increased this estimate by another 500,000, at a cost of roughly \$180 million in FY 2021. This increase is partially attributable to the maintenance of effort requirements that prevents the State from disenrolling individuals from Medicaid. Savings starting in FY 2023 reflect an expected decline in unemployment and downward trend in enrollment compared to the prior update. Other revisions include modest savings related to the updated Global Cap Index forecast and adjustments to CHP spending projections to reflect updated trends.
- **Other Revisions.** Additional local savings reflect forecast revisions based on results to date and updated information. Revisions are mainly related to the timing of State reimbursement of Nonpublic Science, Technology, Engineering and Mathematics (STEM) program costs for prior academic years and a decline in applications from local governments for State matching grants under the County-Wide Shared Services Initiative. In addition, operational reductions achieved in higher education and HCRA contribute modest local assistance savings. Savings in FY 2022 and beyond reflect the adjustment to the estimated 2.5 percent Human Services Cost-of-Living Adjustment (COLA) to the revised statutory rate of 1 percent.

Agency Operations/Fringe Benefits

- **Allocation of Agency Budget Reductions.** Executive agency budgets, with exceptions for facility operations and public health and safety, are expected to reduce costs by 10 percent from budgeted levels. The Judiciary and elected officials are expected to achieve comparable reductions in their budgets for FY 2021. These savings were included in the Enacted Budget and have been allocated to agencies in this update. Savings are expected to be achieved through adherence to a strict freeze on hiring and transfers, and limiting new contracts or purchase orders for non-personal service expenditures to those needed to protect the health, safety and security of employees and citizens and to ensure the continuation of high priority operations and services. As a portion of agency operational funding occurs outside of the General Fund, the savings realized in the General Fund include increased transfers from other funds and decreased transfers to other funds, as well as a modest decrease in local assistance spending mainly due to health care spending offsets. In addition, savings related to the Statewide hiring freeze also occur in fringe benefits.
- **Fringe Benefits/Fixed Costs.** Savings are expected due to lower than expected costs for health and dental insurance, taxes on public lands, State University of New York (SUNY) Teachers Insurance and Annuity Association (TIAA) College Retirement Equities Fund (CREF) pension, and Workers' Compensation. These savings are in part associated with the Statewide hiring freeze and agency adherence to cash controls and mandatory operating reductions.
- **Ongoing COVID-19 Expenses.** Spending has been increased in FY 2022 and beyond to recognize the prolonged impact of COVID-19 that is expected to continue to drive higher operational costs, particularly in human services and health care settings. The Updated Financial Plan continues to assume that the Federal government will fully fund the State's direct cost of the pandemic response during the public health emergency period that has currently been extended through January 2021.
- **Other Revisions.** The Updated Financial Plan includes additional costs of \$41 million in FY 2021 due to the delayed reduction of excess prison capacity consistent with declines in prison population, which is a direct impact of the COVID-19 pandemic. The delay is necessary as extra bed space is expected to be needed to control disease transmission, and additional areas that would otherwise be decommissioned are being used to isolate inmates that are infected or under quarantine.

Transfers to Other Funds. Estimated transfers to other funds have been updated to reflect expected operational savings consistent with the allocation of the agency budget reduction savings plan, debt services savings based on actual sales to date, and other modest revisions based on operating results to date and updated forecasts. In addition, transfers have been increased to reflect higher costs related to the reopening of the Department of Motor Vehicles (DMV) and necessary operational revisions needed (\$30 million in FY 2021).

State Spending

State Operating Funds spending, excluding planned PIT note repayments, is expected to total \$93.4 billion, a decrease of \$8.8 billion (8.6 percent) compared to FY 2020 results. The Updated Financial Plan reflects proceeds from \$4.5 billion of PIT notes issued in the first quarter of FY 2021 to mitigate the cash flow impact of the PIT filing extension from April 15 to July 15, 2020. The \$4.5 billion of PIT notes are expected to be repaid in December 2020 (\$1 billion) and March 2021 (\$3.5 billion). State Operating Funds disbursements, including the planned note repayments, is estimated at \$97.9 billion in FY 2021, a decrease of \$4.3 billion (4.2 percent) compared to FY 2020 results.

The decrease in spending includes nearly \$10 billion in expected budget balance reductions comprised of reduced aid-to-localities disbursements that will be executed pursuant to the budget-balance and withholding authority granted in the Enacted Budget, as well as operational reductions for Executive agencies, Judiciary and elected officials, and deferral of the employer's share of Social Security taxes through December 2020 as permitted in the Coronavirus Aid, Relief, and Economic Security Act (CARES Act).

Annual Spending Growth

The following table summarizes the annual change in spending.

STATE OPERATING FUNDS DISBURSEMENTS				
FY 2020 TO FY 2021				
(millions of dollars)				
	FY 2020	FY 2021	Annual Change	
	Results	Updated	\$	%
LOCAL ASSISTANCE	68,653	60,913	(7,740)	-11.3%
School Aid (School Year Basis) ²	27,812	26,780	(1,032)	-3.7%
DOH Medicaid ³	22,077	23,325	1,248	5.7%
Temporary eFMAP Increase	0	(2,984)	(2,984)	0.0%
Transportation	3,488	3,792	304	8.7%
STAR ⁴	2,184	2,073	(111)	-5.1%
Social Services	2,355	3,250	895	38.0%
Higher Education	2,362	3,514	1,152	48.8%
Mental Hygiene ⁵	3,427	3,230	(197)	-5.7%
All Other ⁶	4,948	5,933	985	19.9%
Budget Balance Reduction	0	(8,000)	(8,000)	0.0%
STATE OPERATIONS/GENERAL STATE CHARGES	28,591	26,641	(1,950)	-6.8%
State Operations	20,168	18,576	(1,592)	-7.9%
Personal Service:	<u>14,090</u>	<u>13,893</u>	<u>(197)</u>	<u>-1.4%</u>
Executive Agencies	7,814	7,373	(441)	-5.6%
27th Administrative Payroll	0	107	107	0.0%
University Systems	4,128	4,360	232	5.6%
Elected Officials	2,148	2,053	(95)	-4.4%
Non-Personal Service:	<u>6,078</u>	<u>4,683</u>	<u>(1,395)</u>	<u>-23.0%</u>
Executive Agencies	3,226	2,040	(1,186)	-36.8%
University Systems	2,298	2,134	(164)	-7.1%
Elected Officials	554	509	(45)	-8.1%
General State Charges	8,423	8,065	(358)	-4.3%
Pension Contribution	2,456	2,552	96	3.9%
Health Insurance	4,303	4,473	170	4.0%
Other Fringe Benefits/Fixed Costs	1,664	1,040	(624)	-37.5%
DEBT SERVICE	4,916	5,854	938	19.1%
CAPITAL PROJECTS	0	0	0	0.0%
TOTAL STATE OPERATING FUNDS (Excluding Liquidity Financing)	102,160	93,408	(8,752)	-8.6%
Liquidity Financing	0	4,500	4,500	0.0%
TOTAL STATE OPERATING FUNDS (Including Liquidity Financing)	102,160	97,908	(4,252)	-4.2%

¹ Local assistance spending includes payments to local governments, school districts, health care providers, and other entities, as well as financial assistance to, or on behalf of, individuals, families and not-for-profit organizations.

² FY 2021 does not reflect \$1.1 billion in Federal CARES Act funding.

³ Total State share Medicaid funding is reported prior to the spending offset from the application of Master Settlement Agreement (MSA) payments, which are deposited directly to a Medicaid Escrow Fund to cover a portion of the State's takeover of Medicaid costs for counties and New York City. The value of this offset is reported in "All Other" local assistance disbursements.

⁴ The conversion of benefit payments to a State PIT credit decreases reported disbursements for STAR and decreases reported PIT receipts by an identical amount. The shift from the basic exemption to the credit program does not reduce the value of the benefit received by homeowners.

⁵ Total Mental Hygiene spending is \$4.3 billion in FY 2021, an increase of 7 percent from FY 2020, a portion of which is funded by the DOH Medicaid budget.

⁶ "All Other" includes spending for various other functions, as well as reclassifications between financial plan categories, a reconciliation between school year and State fiscal year spending for School Aid, and MSA payments deposited directly to a Medicaid Escrow Fund (\$321 million in FY 2020 and \$362 million in FY 2021), which reduces reported disbursements.

Budget Balance Reduction Plan

State legislation enacted in connection with the adoption of the Enacted Budget granted the Budget Director the authority to reduce aid-to-localities appropriations and disbursements by any amount needed to achieve a balanced budget, as estimated by DOB. In addition, the Budget Director is authorized under section 1(f) of Chapter 53 of the Laws of 2020, to withhold all or some of specific local aid payments during FY 2021 if the budget is deemed unbalanced and if the Budget Director deems, in his sole discretion, that such withholding is necessary to respond to the direct and indirect economic, financial, and social effects of the COVID-19 pandemic. The Enacted Budget is deemed out of balance for FY 2021, and the Budget Director’s powers are activated, if actual tax receipts are less than 99 percent of estimated tax receipts, or actual disbursements are more than 101 percent of estimated disbursements, as measured at three points during 2020 (April 1-30, May 1-June 30, and July 1-December 31).

As of the initial measurement period (April 1 - 30) the Budget Director’s powers have been activated to maintain budget balance and are in force for the remainder of FY 2021.² The results for the initial measurement period are summarized in the table below.

APRIL 2020 MEASUREMENT PERIOD STATE OPERATING FUNDS (millions of dollars)				
		Actual Results		
	30-Day Estimate	Dollar	% of Estimate	Trigger
Tax Receipts	11,746	3,584	31%	<99%
Disbursements	7,479	4,373	58%	>101%

The Enacted Budget Financial Plan for FY 2021 reflected \$8.0 billion in recurring reductions in aid-to-localities disbursements that were expected to be implemented pursuant to the budget balance and withholding authority described above.

² The second measurement period (May 1 - June 30) showed actual tax receipts at 94 percent of estimated receipts.

The Enacted Budget Financial Plan noted that the ultimate size of any permanent reductions would depend in part on the availability of unrestricted Federal aid. While the U.S. Congress has begun discussions on additional recovery legislation, no agreement has been reached as of the date of this Update. Therefore, DOB now expects to transmit a detailed aid-to-localities reduction plan to the Legislature later in the State’s FY 2021.

In the interim, without assurance of Federal aid, DOB is withholding a minimum of 20 percent of most local aid payments to achieve the cash flow savings anticipated in the Updated Financial Plan pursuant to the Reduction Authority. As of September 30, 2020, DOB has withheld roughly \$612 million pursuant to the Reduction Authority. In addition, DOB is withholding a range of other payments, including local aid payments that do not have specific statutory payment dates and liabilities, transfers to other funds, and general salary increases that were scheduled to take effect on April 1, 2020. DOB estimates through the end of September 2020, that approximately \$2.6 billion in payments were not made as budgeted. It is expected that, in the absence of unrestricted Federal aid, DOB will continue to withhold a range of payments.

TOTAL BUDGETED PAYMENTS WITHHELD/NOT PAID AS OF SEPTEMBER 30, 2020 (millions of dollars)	
Total	<u>2,639</u>
Local Assistance ¹	<u>2,354</u>
Payments with Statutory Due Dates	612
All Other Payments	1,742
Transfers	190
General Salary Increases	95
¹ Excludes budgeted payments below \$500,000, which are also subject to withholding.	

Federal Funding of COVID-19 Related Spending

The pandemic is causing severe and far-ranging effects on public health, economic activity, and tax collections across the State. The State has, and is expected to continue to, incur substantial direct costs in responding to the pandemic. These costs include, but are not limited to, the purchase of personal protective equipment and medical and sanitation supplies; emergency facilities; testing and contact tracing; housing and food assistance; reopening expenses; and certain personnel and telework expenses.

The Updated Financial Plan continues to assume that the Federal government will fully fund the State's direct cost of the pandemic response during the public health emergency period that has currently been extended through January 2021.

The two primary Federal resources available to cover the State's direct expenses are the Coronavirus Relief Fund (CRF) and public assistance from the Federal Emergency Management Agency (FEMA).

The CARES Act established the CRF to assist states and local governments in funding COVID-19 related expenses. The State received \$5.1 billion from the CRF, which is limited in its use to cover costs incurred through December 31, 2020. In accordance with section 502 of the Stafford Act, eligible emergency protective measures taken to respond to the COVID-19 emergency, including necessary emergency protective measures for activities taken in response to COVID-19, at the direction or guidance of public health officials' may be reimbursed under FEMA's Public Assistance program.

In evaluating the needs of the State and other entities, the potential for a sustained increase in COVID-19 cases, and evolving Federal rules and guidance pertaining to the eligible uses of both CRF and FEMA funding, DOB is carefully evaluating all COVID-19 spending in order to make appropriate Federal funding determinations for all costs. Expenses that are not deemed eligible for Federal funding through Requests for Public Assistance (RPAs) from FEMA are expected to be funded with CRF resources. In addition, updated Federal guidance permits the use of CRF for personnel expenses of public health and public safety employees. The FY 2021 Financial Plan includes the offset of roughly \$500 million in General Fund costs for public health and public safety personnel expenses, including fringe benefits. It also includes reimbursement for certain costs incurred in FY 2020 and reimbursed in FY 2021. DOB is evaluating the need and use for all available Federal Funds and will determine the use of CRF to cover additional allowable personnel expenses in subsequent Financial Plan updates. As such, the State expects to completely exhaust the funds by the end of the fiscal year. The following table summarizes the estimated direct costs that are eligible for CRF reimbursement.

ESTIMATED STATE EXPENSES ELIGIBLE FOR CRF FUNDING (millions of dollars)	
	FY 2021 Projected
Public Health and Public Safety Payroll	2,700
Personal Protective Equipment	750
FEMA Non Federal Requirements	750
Durable Medical Equipment	600
Mass Transit	500
Testing	245
Financing - Tax and Revenue Anticipation	170
Other COVID-19 Expenses ¹	<u>1,240</u>
Total	6,955
¹ Includes reopening costs, housing and food assistance, and other emergency response activities.	

As of September 30, an estimated \$1.15 billion of costs identified as eligible for CRF funding have been allocated, reducing the balance to roughly \$4 billion at month-end. DOB expects to continue to move costs to the CRF over the next several months.

A more detailed discussion of Federal aid related to the pandemic can be found later in this Updated Financial Plan.



General Fund Financial Plan

General Fund Cash-Basis Financial Plan

General Fund receipts are affected by the deposit of dedicated taxes in other funds for debt service and other purposes, the transfer of balances between funds of the State, and other factors. Two significant factors affect reported General Fund tax receipts that are unrelated to actual collections. First, changes in debt service on State revenue bonds affect General Fund tax receipts. The State has bonding programs where tax receipts are deposited into dedicated debt service funds (outside the General Fund) and used to make debt service payments on bonds issued by the State. After satisfying debt service requirements for these bonding programs, the balance is transferred to the General Fund. Second, the STAR program is funded from PIT receipts, with changes in the cost of the program affecting reported PIT receipts.

General Fund disbursements are affected by the level of financing sources available in other funds, transfers of balances between funds of the State, and other factors that may change from year to year.

For a more comprehensive discussion of the State's projections for tax receipts, miscellaneous receipts, disbursements, and transfers, presented on a State Funds and All Funds basis, see "State Financial Plan Multi-Year Projections" herein.

Disbursement estimates by Financial Plan category reflect the impact of the local assistance budget balance reductions that DOB expects to execute during FY 2021 as a distinct line below the Financial Plan category. The precise reductions in the aid-to-localities programs will be contained in the reduction plan that DOB expects to submit to the Legislature. The cash disbursement estimates to local aid programs are expected to be allocated by agency later in the fiscal year.

FY 2021 Financial Plan

The General Fund is estimated to be balanced on a cash-basis in FY 2021. The estimate is dependent on many factors, including the accuracy of the tax receipts forecast, which is subject to many uncertainties as a result of the COVID-19 pandemic and recession; the successful implementation of steep and wide-ranging reductions to aid-to-localities disbursements and controls on State agency operating expenses; the reimbursement of first-instance capital expenditures with bond proceeds; and the receipt of Federal funding, through FEMA, the CRF, and other aid, to cover the full cost of the State’s pandemic response efforts in FY 2021.

The following table summarizes the projected annual change in General Fund receipts, disbursements, and fund balances from FY 2020 to FY 2021.

GENERAL FUND FINANCIAL PLAN (millions of dollars)				
	FY 2020 Results	FY 2021 Updated	Annual Change	
			Dollar	Percent
Opening Fund Balance	7,206	8,944	1,738	24.1%
Total Receipts	<u>79,207</u>	<u>69,170</u>	<u>(10,037)</u>	<u>-12.7%</u>
Taxes ¹	73,133	59,514	(13,619)	-18.6%
Miscellaneous Receipts	3,159	6,896	3,737	118.3%
Non-Tax Transfers from Other Funds	2,915	2,760	(155)	-5.3%
Total Disbursements	<u>77,469</u>	<u>70,877</u>	<u>(6,592)</u>	<u>-8.5%</u>
Local Assistance	51,863	46,212	(5,651)	-10.9%
State Operations	19,508	18,219	(1,289)	-6.6%
Transfers to Other Funds	6,098	6,446	348	5.7%
Net Change in Operations	<u>1,738</u>	<u>(1,707)</u>	<u>(3,445)</u>	<u>-198.2%</u>
Closing Fund Balance	<u>8,944</u>	<u>7,237</u>	<u>(1,707)</u>	<u>-19.1%</u>
Rainy Day Reserves	2,476	2,476	0	
Economic Uncertainties	890	1,490	600	
Reserve for Timing of Payments	1,313	0	(1,313)	
All Other Reserves/Balances	1,655	1,086	(569)	
Extraordinary Monetary Settlements	2,610	2,185	(425)	

¹ Includes the transfer of tax receipts from other funds after debt service.

General Fund tax receipts, miscellaneous receipts, and transfers to other funds in the table above are each affected by the use of liquidity financing (PIT notes) to manage the cash flow impact of the extension of income tax filing deadlines. The Updated Financial Plan reflects the issuance of \$4.5 billion in PIT notes, which are currently budgeted to be repaid within FY 2021. In FY 2021, General Fund miscellaneous receipts reflect the deposit of \$4.5 billion in note proceeds. PIT receipts are expected to be reserved and used for repayment of the notes. The Updated Financial Plan assumes that interest expense on the PIT notes will be reimbursed from Federal aid as an eligible COVID-19 expense, as the need for liquidity financings was a direct result of the extension by the Federal and State governments of tax filing deadlines as a result of the pandemic.

General Fund receipts and disbursements, as well as fund balances, are affected by the receipt and use of Extraordinary Monetary Settlements. The table below summarizes the General Fund sources and uses of Extraordinary Monetary Settlements and how they impact General Fund miscellaneous receipts and capital projects transfers.³

GENERAL FUND FINANCIAL PLAN EXTRAORDINARY MONETARY SETTLEMENTS (millions of dollars)				
	FY 2020 Results	FY 2021 Updated	Annual Change	
			Dollar	Percent
Opening Balance	4,194	2,610	(1,584)	-37.8%
Total Receipts	889	600	(289)	-32.5%
Settlements Received	895	600	(295)	-33.0%
Funds Retained by Dept. of Law	(6)	0	6	-100.0%
Total Uses	2,473	1,025	(1,448)	-58.6%
Capital Purposes	1,345	425	(920)	-68.4%
Rainy Day Reserves	238	0	(238)	-100.0%
Economic Uncertainties	890	600	(290)	-32.6%
Net Change in Operations	(1,584)	(425)	1,159	73.2%
Closing Balance	2,610	2,185	(425)	-16.3%

³ More information on the receipt and use of Extraordinary Monetary Settlements can be found in “Other Matters Affecting the Financial Plan” herein.

Receipts

As noted above, the reporting of General Fund tax receipts and miscellaneous receipts is affected by the use of liquidity financings to manage the impact of the tax filing extensions on monthly cash flows.

General Fund receipts, including transfers from other funds, are estimated to total \$69.2 billion in FY 2021, a decrease of \$10 billion (12.7 percent) from FY 2020 results due to the shock to the economy brought on by the global pandemic.

PIT receipts, including transfers after payment of debt service on State PIT Revenue Bonds, are estimated to drop from \$50.5 billion in FY 2020 to \$40.1 billion in FY 2021, a decrease of \$10.4 billion (20.7 percent). The decrease reflects extraordinary declines in both bonus and non-bonus wages impacting withholding and estimated payments. In addition, refunds are expected to decline due to a steep decline in advance credit payments related to Tax Year 2020, due to the expired Property Tax Relief Credit program, as well as a decrease in the administrative cap on the amount of refunds paid from January to March 2021. General Fund PIT receipts in FY 2021 are also impacted by the repayment of \$4.5 billion of PIT notes issued earlier in FY 2020. The note proceeds are recorded as miscellaneous receipts, while the repayment results in a reduction of PIT receipts.

Consumption/use tax receipts, including transfers after payment of debt service on Local Government Assistance Corporation (LGAC) and Sales Tax Revenue Bonds, are estimated to total nearly \$11.1 billion in FY 2021, a drop of \$3.2 billion (22.3 percent) from FY 2020. The drop reflects a significant decline in the sales tax base of roughly 23 percent. This is partly offset by the full-year impact of the new requirements that online marketplace providers collect sales and use tax (SUT) on sales that they facilitate and making Energy Service Companies (ESCOs) subject to sales tax.

Business tax receipts are estimated at \$6.5 billion in FY 2021, an increase of \$136 million (2.1 percent) from FY 2020. The increase is primarily attributable to growth in corporation franchise tax (CFT) receipts, driven by higher audit receipts and lower refunds.

Other tax receipts, including transfers after payment of debt service on Clean Water/Clean Air (CW/CA) Bonds, are expected to total \$1.9 billion in FY 2021, a decrease of \$142 million (7.0 percent) from FY 2020, primarily due to an estimated decrease in real estate transfer tax receipts resulting from a large estimated decline in housing starts, housing prices, and bonuses. This decline is partly offset by a slight increase in estate tax receipts, primarily due to a partial-year impact of the estimated growth in household net worth.

Non-tax receipts and transfers are estimated at \$9.7 billion in FY 2021, an increase of \$3.6 billion from FY 2020. This increase reflects an increase of \$4.5 billion in miscellaneous receipts from liquidity financing for cash flow purposes. This increase is partly offset by a reduction in the level of Extraordinary Monetary Settlements from \$889 million in FY 2020 to \$600 million in FY 2021, and the use of certain resources available in FY 2020 that either do not recur or recur at a lower amount in FY 2021.

Disbursements

General Fund disbursements, including transfers to other funds, are expected to total \$70.9 billion in FY 2021, a decrease of \$6.6 billion (8.5 percent) from FY 2020.

Local assistance spending is estimated at \$46.2 billion in FY 2021, a decrease of \$5.7 billion (10.9 percent) from FY 2020. This estimate includes \$1.9 billion projected growth in School Aid and Medicaid (excluding eFMAP), prior to the expected allocation of the budget reduction plan. This growth is more than offset by \$8 billion in recurring reductions in aid-to-localities disbursements that are expected to be implemented pursuant to the Reduction Authority granted in the Enacted Budget. The allocation of the savings plan will depend on what programs are included or excluded from reductions, the level of targeted reductions in certain areas, and the availability of Federal aid. Accordingly, the specific agency and program spending levels described below do not reflect any reductions that may occur as a result of the savings plan.

General Fund School Aid spending, on a State fiscal year basis, is expected to grow by \$532 million, reflecting in part the expected reduction in lottery and gaming receipts that must now be funded by the General Fund. Medicaid spending is expected to decrease by \$1.6 billion, driven by the temporary increase in Federal FMAP funds that is expected to provide \$3 billion in General Fund savings in FY 2021. Medicaid spending subject to the Global Cap Index is expected to grow by \$559 million (2.9 percent) and the cost of minimum wage increases and local takeover, currently outside the Global Cap, are estimated to grow above FY 2020 levels by \$314 million and \$183 million, respectively.

General Fund personal and non-personal service costs are expected to total \$11.2 billion in FY 2021, a decrease of \$834 million (6.9 percent) from FY 2020. The decrease reflects the allocation of certain eligible General Fund expenses to the CRF consistent with U.S. Treasury guidelines, as well as the allocation of recurring savings from the planned 10 percent reduction in Executive agency spending compared to the amounts authorized in the Enacted Budget. Limited exceptions were made for facility operations and public health and safety. The Updated Financial Plan also reflects comparable reductions in the Judiciary and elected officials budgets.

General State Charges (GSCs), which include fringe benefits and certain fixed costs, are projected to decline by \$455 million (6.1 percent) from FY 2020. The decrease is mainly due to the interest-free deferral of the employer's share of Social Security taxes through December 2020 (\$667 million) that will be repaid in equal installments in December 2021 and December 2022, as permitted in the CARES Act. Health insurance costs for State employees and retirees are projected to increase by \$170 million (4 percent), due to medical inflation and current enrollment levels. The State's annual pension payment is projected to grow by \$96 million (3.9 percent). The State's costs for Workers' Compensation are expected to increase by \$27 million, due to underlying growth in average weekly wage, benefit and medical costs, as well as a reduction in other resources available to offset costs.

General Fund transfers to other funds are projected to total \$6.4 billion in FY 2021, an increase of \$348 million from FY 2020. The increase primarily reflects support for School Aid as a result of lower video lottery and commercial gaming revenues.

FY 2021 Closing Balance

The State’s liquidity position is dependent on the performance of tax receipts, the management of cash disbursements, and the execution of reductions in aid-to-localities programs and State agency operations. All of these actions are subject to risks and uncertainties. Accordingly, reserves are not used to help close the FY 2021 budget gap, but instead are held to preserve liquidity and respond to further deterioration in tax receipts.

DOB projects the State will end FY 2021 with a General Fund cash balance of \$7.2 billion, a decrease of \$1.7 billion from FY 2020. The change in the balance reflects the deposit of Extraordinary Monetary Settlements received to the reserve for Economic Uncertainties, use of available cash at the end of FY 2020 to reduce the budget gap in FY 2021 and the timing of payments not made at the close of FY 2020 that are expected to be made in FY 2021. The estimated closing balance is dependent on many factors, including the implementation of reductions in local aid and State agency operations, the performance of tax receipts, the level of Federal aid available to the State and other assumptions in the Updated Financial Plan.

In addition, the expected use of Extraordinary Monetary Settlements for initiatives approved in prior budgets will reduce the balance in the General Fund. See "Other Matters Affecting the Financial Plan - Extraordinary Monetary Settlements" herein.

TOTAL BALANCES (millions of dollars)			
	<u>FY 2020</u> <u>Results</u>	<u>FY 2021</u> <u>Updated</u>	<u>Annual</u> <u>Change</u>
TOTAL GENERAL FUND BALANCE	8,944	7,237	(1,707)
Statutory Reserves:			
Rainy Day Reserves	2,476	2,476	0
Community Projects	31	15	(16)
Contingency Reserve	21	21	0
Fund Balance Reserved for:			
Economic Uncertainties	890	1,490	600
Debt Management	500	500	0
Timing of Payments	1,313	0	(1,313)
Undesignated Fund Balance	1,103	550	(553)
Subtotal Excluding Settlements	6,334	5,052	(1,282)
Extraordinary Monetary Settlements	2,610	2,185	(425)

Cash Flow

State Finance Law authorizes the General Fund to borrow money temporarily from available funds held in the Short-Term Investment Pool (STIP). The Enacted Budget amended the statute to permit the borrowings until the end of FY 2021. Previously, the borrowing period was limited to four months. The State last used this authorization in April 2011 when the General Fund needed to borrow funds from STIP for a period of five days. The amount of resources that can be borrowed by the General Fund is limited to available balances in STIP, as determined by the State Comptroller. Available balances include money in the State's governmental funds and a relatively small amount of other money belonging to the State, held in internal service and enterprise funds, as well as certain agency funds. Several accounts in Debt Service Funds and Capital Projects Funds that are part of All Governmental Funds are excluded from the balances deemed available in STIP. These excluded funds consist of bond proceeds and money obligated for debt service payments.

Pursuant to authorization included in the Enacted Budget, the State completed two PIT note sales for cash flow purposes in the first quarter of FY 2021. The note sales generated a total of \$4.5 billion in net proceeds, consistent with the assumptions in the Enacted Budget Financial Plan. The sales were done to meet anticipated liquidity needs arising from the Federal government's decision to extend the income tax filing deadline from April 15, 2020 to July 15, 2020. The receipt and expected repayments are shown in the monthly cash balances. The Enacted Budget included authorization for the State to issue up to \$8 billion in PIT notes for cash flow purposes in FY 2021. All cash flow notes must be issued by December 31, 2020. The notes may be renewed once for up to a year, and as a contingency option, refinanced on a long-term basis. A line of credit for \$3.0 billion has also been secured. Draws on the line of credit may be done through March 31, 2021, subject to available appropriation. Any balance on the line of credit may be refinanced twice for up to a year at each refinancing, and, as a contingency option, refinanced on a long-term basis. No draws have been made pursuant to the authorization included in the Enacted Budget as of the date of this Quarterly Update, and none are planned at this time.

The State continues to reserve money on a quarterly basis for debt service payments financed with General Fund resources. Money to pay debt service on bonds secured by dedicated receipts, including PIT bonds and Sales Tax Revenue bonds, continues to be set aside as required by law and bond covenants.

PROJECTED MONTH-END CASH BALANCES

FY 2021

(millions of dollars)

	General Fund	Other Funds	All Funds	Adjustments		Adjusted
				Liquidity Financing ¹	Budget Balance	All Funds
April 2020	10,082	10,461	20,543	0	0	20,543
May 2020	7,310	10,339	17,649	(1,000)	0	16,649
June 2020	6,864	13,760	20,624	(3,500)	0	16,124
July 2020	14,383	13,905	28,288	0	0	23,788
August 2020	13,523	13,934	27,457	0	0	22,957
September 2020	15,442	10,358	25,800	0	0	21,300
October 2020	16,101	10,849	26,950	0	0	22,450
November 2020	13,971	10,193	24,164	0	(750)	18,914
December 2020	14,533	7,929	22,462	1,000	(750)	17,462
January 2021	15,951	9,651	25,602	0	(1,000)	19,602
February 2021	10,896	14,084	24,980	0	(750)	18,230
March 2021	7,237	5,926	13,163	3,382	(4,750)	5,045

¹ The short-term notes were sold at a premium and the interest costs are expected to be reimbursed by Federal Funds for expenses related to COVID-19.

Other Matters Affecting the Financial Plan

General

The Updated Financial Plan is subject to complex economic, social, financial, political, public health, and environmental risks and uncertainties, many of which are outside the ability of the State to predict or control. DOB asserts that the projections of receipts and disbursements in the Updated Financial Plan are based on reasonable assumptions, but there can be no assurance that results will not differ materially and adversely from these projections. For example, in past years, tax receipts collections have varied substantially from the levels forecasted, and entitlement-based programmatic spending has also varied significantly from initial projections. More recently, DOB recognized the need to correct a structural imbalance under the Medicaid Global Cap as spending levels exceeded the indexed levels. Similarly, there are inherent risks with the financial condition of health care providers and enrollment in public health insurance programs driven directly or indirectly by the COVID-19 pandemic. Updated Financial Plan projections include recurring savings associated with reductions implemented in FY 2020 and the Medicaid Redesign Team II (MRT II) actions authorized in the Enacted Budget to limit Medicaid spending, which also included increased General Fund support.

DOB routinely executes cash management actions to manage the State's large and complex budget. These actions are intended for a variety of purposes that include improving the State's cash flow, managing resources within and across State fiscal years, assisting in adherence to spending targets, and better positioning the State to address future risks and unanticipated costs, such as economic downturns, unexpected revenue deterioration, and unplanned expenditures. As such, the State has regularly made certain payments above those initially planned, subject to available resources, to maintain budget flexibility.

The Updated Financial Plan is based on numerous assumptions including the condition of the State and national economies, and the concomitant collection of economically sensitive tax receipts in the amounts projected. Other uncertainties and risks concerning the economic and receipts forecasts include impacts of: national and international events; ongoing financial risks in the Eurozone; changes in consumer confidence, price and supply of oil and gas; major terrorist events and hostilities or war; climate change and extreme weather events; severe epidemic or pandemic events; cybersecurity threats; Federal statutory and regulatory changes concerning financial sector activities; Federal tax law; changes to Federal programs; changes concerning financial sector bonus payouts, as well as any future legislation governing the structure of compensation; shifts in monetary policy affecting interest rates and the financial markets; credit rating agency actions; financial and real estate market developments which may adversely affect bonus income and capital gains realizations; technology industry developments and employment; effect of household debt on consumer spending and State tax collections; and outcomes of litigation and other claims affecting the State.

The Updated Financial Plan is subject to various uncertainties and contingencies relating to: wage and benefit increases for State employees that exceed projected annual costs; changes in the size of the State's workforce; realization of the projected rate of return for pension fund assets, and current assumptions with respect to wages for State employees affecting the State's required pension fund contributions; willingness and ability of the Federal government to provide the aid projected in the Updated Financial Plan; ability of the State to implement cost reduction initiatives, including reductions in State agency operations, and the success with which the State controls expenditures; unanticipated growth in Medicaid program costs; and ability of the State and its public authorities to issue securities successfully in public credit markets. Some of these issues are described in more detail herein. The projections and assumptions contained in the Updated Financial Plan are subject to revisions which may result in substantial changes. No assurance can be given that these estimates and projections, which depend in part upon actions the State expects to be taken but which are not within the State's control, will be realized.

Potential Long Term Financial Plan Risks from the COVID-19 Pandemic

While the State has made considerable strides in containing the spread and severity of the COVID-19 pandemic in the State there is no assurance that a second surge in infections could not and will not occur in the State impacted by among other factors: (i) travelers to the State from regions of the United States or other countries experiencing high infection rates, (ii) failure of individuals or institutions in adhering to proven infection mitigation strategies, such as social distancing, wearing of masks and enhanced hygiene, (iii) return to on-site learning at public and private schools statewide, and (iv) adverse impacts from convergence of COVID-19 with the seasonal flu and cold risks driven in part by colder weather and more in-door gatherings. It is also impossible to assess or forecast with any degree of certainty or precision the long-term impacts of COVID-19 on commuting patterns, remote working, social gathering, tourism, use of public transportation, aviation and more. Adverse results in the foregoing could have long-term trend impacts on the sources of revenues in the State's Financial Plan, including PIT consumption and corporate taxes fees and more, and such impacts could be material.

For example, the COVID pandemic has led to changes in the behavior of resident and nonresident taxpayers. Consistent with the growth in remote work arrangements, many non-residents are no longer commuting into New York and instead are working remotely from home offices. However, under long-standing state policy, a nonresident working from home pays New York taxes on wages from a New York employer unless that employer has established the nonresident's home office as a bona fide office of the employer.

The COVID pandemic has also led some New York residents to shelter in locations outside the state. In addition, some taxpayers domiciled in New York may have relocated during the pandemic.

Budget Risks and Uncertainties

There can be no assurance that the State's financial position will not change materially and adversely from current projections. If this were to occur, the State would be required to take additional gap-closing actions. Such actions may include, but are not limited to: reductions in State agency operations; delays or reductions in payments to local governments or other recipients of State aid; delays in or suspension of capital maintenance and construction; extraordinary financing of operating expenses; use of nonrecurring resources; or other measures. In some cases, the ability of the State to implement such actions requires the approval of the Legislature and cannot be implemented solely by the Governor. The Enacted Budget granted the Budget Director the authority to reduce aid-to-localities appropriations and disbursements by any amount needed to maintain a balanced budget, as estimated by DOB. The Budget Director's powers are activated if actual State Operating Funds tax receipts are less than 99 percent of estimated tax receipts, or actual State Operating Funds disbursements are more than 101 percent of estimated disbursements, as measured at three points during the year (April 1-30, May 1-June 30, and July 1-December 31). As of the initial measurement period (April 1 - 30) the Budget Director's powers have been activated and are in force for the remainder of FY 2021 to maintain a balanced budget.⁴ The Budget Director is authorized to transmit a plan to the Legislature identifying the specific appropriations and cash disbursements that would be reduced to maintain a balanced budget. The Legislature would then have ten days to adopt, by concurrent resolution, its own balanced budget plan. If no plan is adopted, the plan submitted by the Budget Director would take effect automatically. The process exempts certain types of local assistance appropriations from uniform reduction, including public assistance and Supplemental Security Income (SSI) payments.

Any reductions made pursuant to this authorization may be paid in full or in part if one or both of the following events occur: (i) Actual State Operating Funds Tax Receipts through February 28, 2021 are not less than 98 percent of Estimated State Operating Funds Tax Receipts through February 28, 2021; or (ii) the Federal government provides aid that the Budget Director deems sufficient to reduce or eliminate the imbalance in the General Fund for FY 2021 and does not adversely impact the projected budget gap in FY 2022.

In addition, to maintain a balanced budget in the General Fund, the Budget Director is authorized to withhold any payments, including amounts that are to be paid on specific dates prescribed in law or regulation, if such action is necessary to respond to the direct and indirect economic, financial, and social effects of the COVID-19 pandemic.

⁴ The second measurement period (May 1 - June 30) showed actual tax receipts at 94 percent of estimated receipts.

The Updated Financial Plan forecast assumes various transactions will occur as planned including, but not limited to: receipt of certain payments from public authorities; receipt of revenue sharing payments under the Tribal-State Compacts; receipt of miscellaneous revenues at the levels set forth in the Updated Financial Plan; and achievement of cost-saving measures including, but not limited to, transfer of available fund balances to the General Fund at levels currently projected and Federal approvals necessary to implement the MRT II savings actions authorized in the Enacted Budget. Such risks and uncertainties, if they were to materialize, could adversely impact the Updated Financial Plan in current or future years, or both.

The Updated Financial Plan also includes actions that affect spending reported on a State Operating Funds basis, including accounting and reporting changes. If these and other transactions are not implemented or reported as planned, the annual spending change in State Operating Funds would increase above current estimates.

In developing the Updated Financial Plan, DOB attempts to mitigate financial risks from receipts volatility, litigation, and unexpected costs, with an emphasis on the General Fund. It does this by, among other things, exercising caution when calculating total General Fund disbursements and managing the accumulation of financial resources that can be used to offset new costs. Such resources include, but are not limited to, fund balances not needed each year, reimbursement for capital advances, acceleration of tax refunds above the level budgeted each year, and prepayment of expenses. There can be no assurance that such resources will be enough to address risks that may materialize in a given fiscal year.

In FY 2012, the State enacted legislation intended to limit the year-to-year growth in the State's two largest local assistance programs, School Aid and Medicaid. These limitations on spending growth are described further in the following sections.

School Aid

The School Aid growth cap was previously calculated based on the annual growth in the State Personal Income Growth Index (PIGI). With the exception of the 2013 school year increase (based on a five-year average), the PIGI was based on a one-year growth index. However, in FYs 2014 through 2019, the authorized School Aid increases were above the indexed levels. Beginning in FY 2021, the statutory PIGI for School Aid has been amended to limit School Aid increases to no more than the average annual income growth over a ten-year period. This change will reduce volatility in allowable growth and align the School Aid cap with the statutory Medicaid cap. Due to the anticipated impact of the COVID-19 pandemic on State revenues, State support for School Aid for School Year (SY) 2021 in the Updated Financial Plan is 3.7 percent lower than in SY 2020, but is offset in part with increased Federal support noted below. This reduction in State Operating Funds support will be offset by approximately \$1.1 billion in funding provided to the State through the Federal CARES Act, including the Elementary and Secondary School Emergency Relief Fund and the Governor's Emergency Education Relief Fund. With these Federal funds, SY 2021 School Aid is expected to total \$27.9 billion, an annual increase of approximately \$100 million or 0.4 percent.

Medicaid Global Cap

A portion of DOH State Funds Medicaid spending growth is subject to the Global Cap -- the ten-year rolling average of the medical component of the Consumer Price Index (CPI). Thus, the Global Cap allows for growth attributable to increasing costs, but not increasing utilization.

The statutory provisions of the Global Cap allow for flexibility in adjusting Medicaid projections to meet unanticipated costs resulting from a disaster, and grant the Commissioner of Health certain powers to limit Medicaid disbursements to the level authorized by the Global Cap. The Commissioner's powers are intended to limit the annual growth rate to the levels set by the Global Cap indexed rate for the then current fiscal year, through actions which may include reducing reimbursement rates to providers. These actions may be dependent upon timely Federal approvals and other elements of the program that govern implementation. Major changes to the State share of Medicaid spending, outside of the Global Cap, include State costs for the takeover of Medicaid growth from local governments and reimbursement to providers for increased minimum wage costs. It should be further noted that General Fund spending remains sensitive to revenue performance in the State's HCRA fund that finances approximately one-quarter of DOH State-share costs in the Medicaid program. Limitations on elective procedures, changes in consumer behavior, and other factors attributable to the COVID-19 pandemic may have a material and adverse impact on HCRA revenues.

Since enactment of the Global Cap, subject to the management action described below, the portion of DOH State Funds Medicaid spending subject to the Global Cap has remained at or below indexed levels. However, DOH has taken management actions, including adjustments to the timing of Medicaid payments, consistent with contractual terms, to ensure compliance with the Global Cap.

At the close of FY 2019, DOH deferred, for three business days into FY 2020, the final cycle payment to Medicaid Managed Care Organizations, as well as other payments. The FY 2019 deferral had a State-share value of \$1.7 billion and was paid from available funds in the General Fund in April 2019, consistent with contractual obligations. Absent the deferral and any other actions, Medicaid spending under the Global Cap would have exceeded the statutorily indexed rate for FY 2019 and the State would have used available General Fund resources to fund the payments in FY 2019. According to DOH, the deferral had no impact on provider services and was attributable to growth in managed care and long-term managed care enrollment and utilization costs above initial projections, as well as timing of certain savings actions and offsets not processed by the end of FY 2019.

MRT II Solutions to Global Cap Imbalance

Following the need to defer FY 2019 Medicaid payments, DOB recognized that a structural imbalance existed within the Global Cap based on a review of price and utilization trends, and other factors.⁵ A structural imbalance in this case meant that estimated expense growth in State-share Medicaid subject to the Global Cap, absent measures to control costs, was growing faster than allowed under the Global Cap spending growth index.⁶

DOB estimated that, absent actions to control costs, State-share Medicaid spending subject to the Global Cap would have exceeded the indexed growth amount by upwards of \$3 to \$4 billion annually, inclusive of the FY 2019 deferral of \$1.7 billion.

In response to the estimated Global Cap imbalance, the Governor formed the MRT II as part of the FY 2021 Executive Budget with the objective of restoring financial sustainability to the Medicaid program while connecting other programmatic initiatives that would advance the core healthcare strategies pursued by the Governor since taking office in 2011. The Enacted Budget included \$2.2 billion in recommendations put forward by the MRT II to create efficiencies within the Medicaid program and address the Medicaid imbalance, including identifying efficiencies in Managed Care and Managed Long-Term Care, as well as administrative reforms.

Additionally, policy initiatives such as the carve out of services from Managed Care within pharmacy and the centralization of a transportation broker, will increase transparency and identify efficiencies within these areas. The MRT II also focuses on greater program integrity within the Medicaid program and includes reforms to modernize regulations to eliminate fraud, waste and abuse.

⁵ Factors that place upward pressure on State-share Medicaid spending include, but are not limited to: reimbursement to providers for the cost of the increase in the minimum wage; phase-out of enhanced Federal funding; increased enrollment and costs in managed long-term care; and larger payments to financially distressed hospitals.

⁶ Under State law, annual growth in Medicaid spending subject to the Global Cap is limited to the ten-year rolling average of the medical component of the CPI.

Through a combination of MRT II recommended actions, continued payment restructuring, and use of General Fund resources, the Medicaid program is expected to stay within statutorily allowable levels in FY 2021 and beyond. If these measures are insufficient or Federal approvals necessary to implement such savings do not materialize, the Updated Financial Plan in current or future years, or both, could be adversely impacted.

The following table summarizes the Medicaid savings actions reflected in the Enacted Budget Financial Plan.

SUMMARY OF MEDICAID SAVINGS ACTIONS				
SAVINGS/(COSTS)				
(millions of dollars)				
	FY 2021	FY 2022	FY 2023	FY 2024
Total Medicaid Savings Actions¹	3,251	2,737	2,754	2,772
Non-MRT II Savings	1,050	0	0	0
MRT II Savings	2,201	2,737	2,754	2,772
Continue FY 2020 Reductions	739	682	682	682
Across the Board (ATB) Rate Reduction (1.0% Annually; Effective 1/1/20)	248	248	248	248
Discontinue Delivery System Reform Incentive Program (DSRIP) Equity Pools	190	190	190	190
Medicaid Managed Care (MMC) Rate Range Reduction	96	96	96	96
Discontinue the Hospital Enhanced Safety Net Program	66	66	66	66
Reduce Mainstream Managed Care (MMC) Quality Pool Payments by 50%	60	60	60	60
Other	79	22	22	22
FY 2021 Budget Year Reductions	1,462	2,056	2,073	2,091
Managed Care	145	134	134	134
Encounter Data Accountability Penalty/Withhold (2.0% on MMC Plans)	143	115	115	115
Authorize Electronic Notifications	2	5	5	5
Other	0	14	14	14
Hospitals	297	304	304	304
HH Financial Assistance	186	193	193	193
Reduce Indigent Care Pool for Voluntary Hospitals	88	88	88	88
Discontinue Hospital Quality and Sole Community Pools	35	35	35	35
Other	(12)	(12)	(12)	(12)
Long-Term Care	669	1,055	1,055	1,055
Cap Statewide Managed Long-Term Care (MLTC) Enrollment Growth at a Target Percentage and Implement a 3% Withhold	215	215	215	215
Modify Benefit Eligibility Criteria for Personal Care Services (PCS) & Consumer Directed Personal Assistance Program (CDPAS) Benefit ²	119	277	277	277
Encounter Data Accountability Penalty/Withhold (1.5% on MLTC Plans)	102	89	89	89
Administrative Reforms to the PCS and CDPAS Benefit ²	82	263	263	263
Delay Community First Choice Option (CFCO) Services	47	47	47	47
Other	104	164	164	164
Care Management	43	70	70	70
Comprehensive Prevention and Management of Chronic Disease	17	37	37	37
Discontinue Health Home Outreach	16	16	16	16
Achieve Health Home (HH) Rate Efficiencies (HH Admission/Step Down Criteria Revisions)	12	16	16	16
Reform Patient Center Medical Homes (PCMH)	6	18	18	18
Establish Plan of Care Incentive/Penalty Payments	5	5	5	5
Other	(13)	(22)	(22)	(22)
Pharmacy	35	130	147	165
Reduce Drug Cap Growth by Enhancing Purchasing Power	46	43	43	43
Transition Pharmacy Benefit to Fee-for-Service (FFS)	(11)	87	104	122
Transportation	75	217	217	217
Public Emergency Certified Public Expenditure (CPE)	38	90	90	90
Reduce Taxi/Livery Rates	35	51	51	51
Maximize Public Transit in NYC	2	26	26	26
Other	0	50	50	50
All Other	198	146	146	146
Additional ATB Rate Reduction (0.5% Annually; Effective 4/1/20)	125	50	50	50
Other	73	96	96	96

¹ Excludes the temporary 6.2 percent eFMAP authorized in the CARES Act. The Updated Financial Plan reflects a twelve month, \$3.0 billion State share benefit retroactive to January 2020.

² A portion of these savings will not be achieved as the Federal government prohibits program modifications through the duration of the Public Health Emergency declaration.

Public Health Insurance Programs/Public Assistance

Historically, the State has experienced growth in Medicaid enrollment and public assistance caseloads during economic downturns resulting from increased unemployment. DOB is evaluating public health insurance program enrollment and public assistance caseload trends connected to the economic downturn attributable to the COVID-19 pandemic. Many who were laid off or otherwise saw family income decrease in 2020 due to the COVID-19 pandemic are expected to qualify for Medicaid, EP, and CHP. As Medicaid enrollees remain eligible for coverage for 12 continuous months, these enrollment impacts will continue into the outyear projections. In FY 2021, the cost of the enrollment increase will be partially offset by eFMAP provided in the FFCRA.

Public Assistance caseload has remained stable in large part due to the Federal Pandemic Unemployment Compensation program (FPUC), which provided an additional \$600 per week to individuals collecting regular unemployment benefits through July 31, 2020. In addition, retroactive to August 1, 2020, the Federal Lost Wages Assistance (LWA) Program provides an additional \$300 in weekly benefits to unemployed New Yorkers through December 27, 2020, or until the dedicated funds are depleted, whichever occurs first. Similarly, a state-imposed eviction moratorium for residential tenants facing financial hardships due to the COVID-19 pandemic has mitigated the need for public assistance benefits. Absent Federal action to provide a continuation of these benefits, DOB anticipates a material uptick in caseload projections at the next Financial Plan update.

Federal Impacts to the Financial Plan

Overview

The Federal government influences the economy and budget of New York State through grants, direct spending on its own programs, such as Medicare and Social Security, and through Federal tax policy. Federal policymakers may place conditions on grants, mandate certain state actions, preempt state laws, change state and local tax (SALT) bases and taxpayer behavior through tax policies, and influence industries through regulatory action. Federal resources support vital services such as health care, education, transportation, as well severe weather and emergency response and recovery. Any changes to Federal policy or funding levels could have a materially adverse impact on the Financial Plan.

Federal funding is a significant component of New York's budget, approximately a quarter of total All Funds spending in FY 2021. Federal funds are predominantly targeted at programs that support vulnerable populations and those living at or near the poverty level, such as Medicaid, Temporary Assistance for Needy Families (TANF), Elementary and Secondary Education Act (ESEA) Title I grants, and Individuals with Disabilities Education Act (IDEA) grants. Other Federal resources are directed at infrastructure and public protection. Overall, the Federal resources expected to be utilized in the FY 2021 Budget include:

- **Medicaid (\$45.5 billion).** Funding shared by the Federal government helps support health care costs for more than seven million New Yorkers, including more than two million children. Medicaid is the single largest category of Federal funding.

In response to the COVID-19 pandemic, the Federal government has temporarily increased its share of Medicaid funding (eFMAP) by 6.2 percent for each calendar quarter occurring during the public health emergency. The enhanced funding is retroactive to January 1, 2020 and is currently expected to continue through December 31, 2020, providing \$3.6 billion in additional Federal resources, which reduces State and Local government costs by \$3.0 billion and \$646 million, respectively.

- **Education (\$3.8 billion).** Funding supports K-12 education and special education. Similar to Medicaid and the human service programs, much of Federal education funding received is directed toward vulnerable New Yorkers, such as students in high poverty schools or those with disabilities.
- **Temporary and Disability Assistance (\$3.6 billion).** Funding provides assistance for several programs managed by the Office of Temporary and Disability Assistance (OTDA), including TANF-funded public assistance benefits and the Flexible Fund for Family Services, Home Energy Assistance Program (HEAP) benefits, Supplemental Nutrition Assistance Program (SNAP) administrative costs, and Child Support administrative costs.

- **Public Health (\$7.4 billion).** The Federal government provides support for several health programs administered by DOH, including the EP, which provides health care coverage for low-income individuals who do not qualify for Medicaid or CHP.
- **Children and Families (\$1 billion).** Support from the Federal government provides assistance for programs managed by the Office of Children and Family Services (OCFS), such as the Foster Care program.
- **Transportation (\$1.6 billion).** Federal resources support infrastructure investments in highway and transit systems throughout the state, including funding participation in ongoing transportation capital plans.
- **Public Protection (\$1.6 billion).** Federal funding supports various programs and operations of the State Police, the Department of Corrections and Community Supervision (DOCCS), the Office of Victim Services, the Division of Homeland Security and Emergency Services (DHSES), and the Division of Military and Naval Affairs (DMNA). Federal funds are also passed on to municipalities to support a variety of public safety programs.
- **All Other Funding (\$1.2 billion).** Several other programs in the economic development, mental hygiene, parks and environmental conservation, and general government areas are also supported by Federal resources.
- **COVID-19 Funding (\$10.3 Billion).** In response to the COVID-19 pandemic, the Federal government has authorized various funding to States and other entities including \$5.1 billion from the CRF established in the CARES Act to provide funding for states and local governments to respond to the COVID-19 pandemic, and the LWA program that provides funding to grant eligible claimants that are unemployed or partially unemployed due to the pandemic a supplemental payment of \$300 per week, in addition to their unemployment benefits. In addition, the CARES Act provided grants for the purpose of providing educational agencies with emergency assistance to address the impact of COVID-19. Other sources of funding, including aid that is passed directly to other entities, is included in various functions, mainly health care, transportation, and social services.

Federal Funding Trends

Federal Funds spending is expected to total \$79.7 billion, an increase of \$19.1 billion (31 percent) compared to FY 2020 results. Significant increases are expected for health care, education, and transportation, which in part is due to costs associated with the COVID-19 pandemic.

FEDERAL FUNDS DISBURSEMENTS (millions of dollars)					
	<u>FY 2020</u> <u>Results</u>	<u>FY 2021</u> <u>Updated</u>	<u>FY 2022</u> <u>Projected</u>	<u>FY 2023</u> <u>Projected</u>	<u>FY 2024</u> <u>Projected</u>
DISBURSEMENTS					
Health	47,684	53,035	54,145	53,924	55,338
eFMAP	0	3,632	0	0	0
Social Welfare	5,551	5,266	5,302	5,303	5,305
Education	3,747	3,858	3,857	3,857	3,857
Transportation	1,646	1,645	1,573	1,573	1,573
Public Protection	1,547	1,632	1,333	1,335	1,306
Coronavirus Relief Fund	0	5,140	0	0	0
Lost Wages Assistance	0	4,200	0	0	0
Education CARES Act Funds	0	842	360	0	0
All Other ¹	513	487	498	494	487
Total Disbursements	<u>60,688</u>	<u>79,738</u>	<u>67,068</u>	<u>66,487</u>	<u>67,867</u>

¹ All Other includes economic development, mental hygiene, parks, environment, higher education, elected officials, and general government areas.

Federal Coronavirus Response Legislation

To date, the Federal government has taken legislative, administrative, and Federal Reserve actions intended to stabilize financial markets; extend aid to large and small businesses, health care providers, and individuals; and reimburse governments for the direct costs of pandemic response. An approximate total of \$17.1 billion of funding from four Federal bills for expenses related to COVID-19 have been awarded to the State, and other organizations such as Health Research, Inc. (HRI) and MTA. For a majority of the enacted legislation, the economic benefits do not flow to or through the State's Financial Plan, but instead flow directly to individuals in the form of tax rebates, and to large and small businesses in the form of loans or grants. Specifically, the Federal government enacted four pieces of legislation in response to the ongoing COVID-19 pandemic:

(i) The Coronavirus Preparedness and Response Supplemental Appropriations Act which provides an initial \$8 billion in emergency funding to respond to the COVID-19 pandemic, including support for vaccine development, the Public Health Emergency Preparedness program, and small businesses (\$38 million);

(ii) The Families First Coronavirus Response Act which provides \$192 billion in aid, and includes paid sick leave, free testing, expanded food assistance and unemployment benefits, protections for health care workers, and increased Medicaid funding in response to the COVID-19 pandemic (\$3.8 billion);

(iii) The CARES Act which provides approximately \$1.8 trillion in aid for Federal agencies, individuals, businesses, states and localities to respond to the COVID-19 pandemic, and authorized the Federal Reserve Bank to purchase revenue and bond anticipation notes of states and certain local governments through the Municipal Liquidity Facility (MLF) (CRF \$5.1 billion; Other \$7.4 billion) and;

(iv) The Paycheck Protection Program and Health Care Enhancement Act which provides \$484 billion in funding for small business programs, hospitals, and testing activities (\$700 million).

Assistance to states through the CARES Act is generally restricted to specific purposes and includes the CRF (\$5.1 billion State allocation) and the Education Stabilization Fund (\$2.2 billion State allocation). In addition, the FFCRA includes an emergency 6.2 percent increase to the Medicaid FMAP during the public health emergency. This is estimated to provide the State with roughly \$3.0 billion in savings in FY 2021, however, projected Medicaid enrollment growth as a result of the recession erodes the value of the FMAP benefit.

In response to the President's major disaster declaration for the State, FEMA is also expected to provide funding for costs related to emergency protective measures conducted as a result of the COVID-19 pandemic. However, there can be no assurance that FEMA will approve claims in time for the State to receive reimbursement within the same year the costs are incurred. The State also received additional Federal aid in the form of enhanced Unemployment Insurance funding.

The Updated Financial Plan assumes that the Federal aid will fully fund the direct costs of the State's pandemic response efforts. Aid is expected through FEMA disaster assistance grants and the CARES Act. Accordingly, the Updated Financial Plan reflects no net costs from COVID-19 related expenses in FY 2021. However, the Updated Financial Plan reflects reclassifications of eligible expenses incurred in the prior year and payroll expenses for public health and safety employees to the Federal CRF pursuant to U.S. Treasury eligibility guidelines.

The Federal legislation to date, however, provides only limited unrestricted aid to replace the expected severe loss in State receipts -- nearly \$63 billion over four years. As of the date of this Updated Financial Plan, the U.S. House of Representatives adopted legislation to provide such aid to the states and local governments, but no consensus has been reached. In the absence of Federal funding to offset that loss, the State will need to achieve \$8.2 billion in savings through local assistance cuts in addition to operational reductions agencies are expected to achieve (\$980 million). About 90 percent of State funding supports schools, healthcare, local grants and services for the most vulnerable populations. Therefore, the State cannot count on additional Federal aid and expects to move ahead with plans to impose deep, widespread reductions to local aid programs and agency operations to provide for a balanced budget in FY 2021. If unrestricted Federal aid becomes available, or State tax receipts rebound unexpectedly, the planned reductions may become less severe.

Federal Risks

The amount and composition of Federal funds received by the State has changed over time as a result of legislative and regulatory actions at the Federal level and will likely continue to change in the coming year. Notable areas with potential for change include health care, human services and infrastructure policy. Any reductions in Federal aid could have a materially adverse impact on the Financial Plan.

Notable Federal risks include:

- **Medicaid DSH Payments.** Provisions within the Federal Medicaid statute allow for a capped amount of payments to hospitals that treat a disproportionate number of Medicaid recipients. Changes made initially in the Affordable Care Act (ACA) to reduce the aggregate amount of Federal reimbursements for DSH payments are scheduled to take effect in Federal Fiscal Year (FFY) 2021, beginning December 12, 2020, reflecting recent provisions included in the FFY 2021 continuing resolution. The State estimates that if the changes take effect as scheduled, New York hospitals will lose \$5.9 billion when fully phased in. This would be the largest reduction in Federal DSH payments among all states.
- **Surface Transportation Reauthorization.** The Fixing America's Surface Transportation (FAST) Act, which funds Federal highway, transit, intercity rail, freight, highway traffic safety, and motor carrier safety programs is set to expire on September 30, 2021, after a one year extension was passed into law. In FFY 2020, the final year of the FAST Act, the State and State Transit Authorities are expected to receive \$3.3 billion in highway and transit funding alone. This funding will be at risk if the Federal government does not act to capitalize the Federal Highway Trust Fund and ensure that an extension of current law or a new authorization is in place prior to October 1, 2021.

- **Census 2020.** The results of recent Censuses indicate that New York State is exposed to being undercounted in the Census. This would have crucial carry-over effects in the population estimates used in a myriad of Federal aid programs, leading New York to receive less funding than it otherwise would. Recent population data also indicates that New York State is anticipated to lose one Federal congressional seat in the 2020 Census.
- **Title X.** Last year the U.S. DOH and Human Services finalized regulatory changes to the Federal Title X family planning program that block the availability of Federal funds to family planning providers that also offer abortion services with other funds and prohibit participating providers from referring pregnant clients to abortion providers, among other changes. As a result of these changes the State withdrew from the programs, incurring a cost of over \$14 million annually to continue the services.

Federal Issues

The State receives a substantial amount of Federal aid for health care, education, transportation, and other governmental purposes, as well as Federal funding to respond to and recover from acute crises or emergencies such as severe weather events, disasters and disease outbreaks. Many policies that drive this Federal aid are subject to possible changes by the Trump Administration and Congress. Current Federal aid projections and the assumptions on which they rely are subject to revision because of changes in Federal policy.

Similarly, the Updated Financial Plan may also be adversely affected by other Federal government actions including audits, disallowances, and changes to Federal participation rates or other Medicaid rules. For instance, the Updated Financial Plan includes reimbursement to the Federal government of \$100 million annually through FY 2027 pursuant to a March 2015 agreement between the State and the Centers for Medicare & Medicaid Services (CMS). The agreement resolved a pending disallowance for FY 2011 and all related payment disputes for State-operated services prior to April 1, 2013, including home and community-based waiver services. Pursuant to the agreement, the State must adjust the Federal/State share of future Medicaid costs to reimburse the Federal government. The State used \$850 million in Extraordinary Monetary Settlement payments, previously set aside for financial risks, to finance the initial repayment amount in FY 2016.

Current Federal Aid

President Trump proposed significant cuts to mandatory and discretionary domestic programs in FFYs 2018, 2019, and 2020 which were largely rejected in the final appropriations bills approved for each of those years.

The Bipartisan Budget Act of 2019 (BBA 19) increased the discretionary spending caps set by the Budget Control Act of 2011 for the final two years that the caps are in place under current law – FFYs 2020 and 2021. The FFY 2021 budget process began with the release of the President’s budget proposal in February 2020. The proposal continued the President’s prior calls for cuts to many programs, including discretionary spending levels below those authorized in BBA 19. Congressional officials are currently negotiating fiscal 2021 spending bills. The deadline for an agreement is currently December 11, 2020, the end of the current continuing resolution.

Federal Debt Limit

The BBA 19 suspended the Federal debt limit through July 31, 2021 and brought to a close the extraordinary measures that the U.S. Treasury had been operating under since the prior suspension expired on March 1, 2019. A Federal government default on payments, particularly for a prolonged period, could have a materially adverse effect on national and State economies, financial markets, and intergovernmental aid payments. Specific effects on the Financial Plan of a future Federal government default are unknown and impossible to predict. However, data from past economic downturns suggests that the State’s revenue loss could be substantial if there was an economic downturn due to a Federal default.

A payment default by the Federal Government may also adversely affect the municipal bond market. Municipal issuers, including the State, could face higher borrowing costs and impaired access to capital markets. This would jeopardize planned capital investments in transportation infrastructure, higher education facilities, hazardous waste remediation, environmental projects, and economic development projects. Additionally, the market for and market value of outstanding municipal obligations, including municipal obligations of the State, could be adversely affected.

Federal Tax Law Changes

On December 22, 2017, President Trump signed into law the Tax Cuts and Jobs Act of 2017 (TCJA) (H.R. 1, P.L. 115-97), making major changes to the Federal Internal Revenue Code, most of which were effective in Tax Year 2018. The TCJA made extensive changes to Federal personal income taxes, corporate income taxes, and estate taxes.

The State’s income tax system interacts with the Federal system in numerous ways. Changes to the Federal tax code have significant flow-through effects on State tax burdens and State tax receipts. From the standpoint of certain individual New York State taxpayers, the \$10,000 limit on the deductibility of SALT payments, effective for Tax Year 2018, is substantial.

The SALT deduction originated with the first Federal income tax implemented to fund the Civil War effort and has been in place continuously since 1913. The TCJA's SALT deduction limit represents a large increase in the State's effective tax rate relative to historical experience and may adversely affect New York State's economic competitiveness.

Moreover, the TCJA contains numerous provisions that may adversely affect residential real estate prices in New York State and elsewhere, of which the SALT deduction limit is the most significant. A loss of wealth associated with a decline in home prices could have a significant impact on household spending in the State through the wealth effect, whereby consumers perceive the rise and fall of the value of an asset, such as a home, as a corresponding increase or decline in income, causing them to alter their spending practices. Reductions in household spending by New York residents, if they were to occur, would be expected to result in lower sales for the State's businesses which, in turn, would cause further reductions in economic activity and employment. Lastly, falling home prices could result in homeowners delaying the sale of their homes. The combined impact of lower home prices and fewer sales transactions could result in lower real estate transfer tax collections.

In sum, the Federal tax law changes may intensify migration pressures and the drag on the value of home prices, thereby posing risks to the State's tax base and current Financial Plan projections.

State Response to Federal Tax Law Changes

In response to the TCJA, the State enacted tax reforms in Tax Year 2018 intended to mitigate issues arising from the Federal law, including decoupling many State tax provisions from the Federal changes, creation of an optional payroll tax program, and establishment of a new State charitable giving vehicle, as described below.

The State developed the Employer Compensation Expense Program (ECEP) and the Charitable Gifts Trust Fund, based on a review of existing laws, regulations, and precedents. However, there can be no assurance that the IRS will allow taxes paid under the ECEP by an electing employer, or donations made by taxpayers to the Charitable Gifts Trust Fund, to be deductible for Federal tax purposes under current law and the TCJA. As noted below, the IRS issued regulations that impair the ability of taxpayers to deduct donations to the Charitable Gifts Trust Fund from Federally taxable income, while receiving State tax credits for such donations.

On July 17, 2018, the State, joined by Connecticut, Maryland, and New Jersey, filed a lawsuit to protect New York taxpayers from the new Federal limit on the SALT deduction. The lawsuit claimed the new SALT limit was enacted to target New York and similarly situated states, interfered with states' rights to make their own fiscal decisions, and disproportionately harmed taxpayers in these states. On September 30, 2019, U.S. District Court in the Southern District of New York found that the states failed to make a valid legal claim that the SALT limit unconstitutionally encroaches on states' sovereign authority to determine their own taxation and fiscal policies. The State, along with Connecticut, Maryland, and New Jersey, filed a notice of appeal on November 26, 2019, and the briefing for the appeal was completed as of June 29, 2020. Oral argument is expected to occur sometime before June 2021.



Other Matters Affecting the Financial Plan

On June 13, 2019, the IRS issued final regulations (Treasury Decision 9864) that provided final rules and additional guidance on the availability of Federal income tax deductions for charitable contributions, when a taxpayer receives or expects to receive a State or local tax credit for such charitable contributions. In the case of State tax credits received by a taxpayer making a charitable contribution, the regulations require the taxpayer to reduce the Federal income tax deduction by the amount of the State tax credit received for such charitable contribution. This rule does not apply, however, if the value of the State tax credit does not exceed 15 percent of the charitable contribution. The regulations were made retroactive to August 27, 2018 (the date on which the U.S. Treasury and IRS first published proposed regulatory changes).

On July 17, 2019, New York State, joined by Connecticut and New Jersey, filed a Federal lawsuit challenging Treasury Decision 9864. Among other things, the lawsuit seeks to restore the full Federal income tax deduction for charitable contributions, regardless of the amount of any State tax credit provided to taxpayers as a result of contributions made to the Charitable Gifts Trust Fund, in accordance with the precedent since 1917. The Federal defendants moved to dismiss the complaint, in the alternative for summary judgment, on December 23, 2019, and the states responded by filing their own motion for summary judgment on February 28, 2020. Briefing on the motions has now completed and the parties are awaiting oral argument. If the lawsuit is successful it is expected that donations to the Charitable Gifts Trust Fund in future years could be higher than the \$93 million level of donations made in 2018. See "Impact of State Tax Law Changes on PIT Revenue Bonds" below.

As part of the State tax reforms enacted in 2018, taxpayers may claim reimbursement from the State for interest on underpayments of Federal tax liability for the 2019, 2020 and 2021 Tax Years, if the underpayments arise from reliance on the 2018 amendments to State Tax Law. To receive reimbursement, taxpayers are required to submit their reimbursement claims to the Department of Taxation and Finance (DTF) within 60 days of making an interest payment to the IRS.

The State would incur costs if taxpayer participation in the ECEP and Charitable Gifts initiatives for the 2019, 2020 and 2021 Tax Years results in Federal determinations of underpayment of Federal income tax. Any cost to the Updated Financial Plan from State reimbursement of interest charges would occur in FY 2021 at the earliest, for determinations on 2019 tax payments due in July 2020 or thereafter.

The Updated Financial Plan does not include any estimate of the magnitude of the possible interest expense to the State, which depends on several factors including the rates of participation in the ECEP; magnitude of donations to the Charitable Gifts Trust Fund; aggregate amount of underpayment attributable to reliance on the 2018 amendments to State Tax Law; amount of time between the due date of the return and the date any Federal determination is issued; interest rate applied; and frequency at which taxpayers submit timely reimbursement claims to the State. Interest on unpaid Federal tax generally accrues from the due date of the return until the date of payment in full. Under current Federal law, the interest rate is determined quarterly and is the Federal short-term rate plus 3 percent, compounded daily.

Liquidity Support

The MLF was established by the Federal Reserve based on funding provided in the CARES Act to support the financial stability of state and local governments impacted by the COVID-19 pandemic. Subject to change, the MLF is authorized to purchase up to \$500 billion of short-term notes through December 31, 2020 from U.S. states and the District of Columbia, U.S. cities with populations of at least 250,000 residents, U.S. counties with at least 500,000 residents, multi-state entities, and designated municipal issuers. The MLF is intended to facilitate the purchase of short-term notes from qualifying issuers which will in turn provide proceeds that will enable government entities to manage reductions in cash flow and increases in expenses related to the COVID-19 pandemic. DOB will continue to monitor any further Federal Reserve actions or changes to the MLF.

Essential Plan (EP)

Due to the economic downturn caused by the COVID-19 pandemic, the number of eligible recipients for EP coverage is anticipated to increase as unemployment increases, employer sponsored coverage ends and incomes fall below the eligibility threshold. New costs associated with increased EP coverage are expected to continue in the outyears as the economy recovers. Since the EP is fully Federally funded, additional enrollment costs will draw in additional Federal revenues and is not anticipated to increase State support in FY 2021 and beyond.

Although the EP is not a Medicaid program, EP resources are managed within the Medicaid Global Cap. Accordingly, State savings associated with the EP local assistance program are realized within the Global Cap.

MRT Medicaid Waiver

The CMS and the State have an existing agreement authorizing up to \$8 billion in Federal funding through March 31, 2021 to transform New York's health care system and ensure access to quality care for all Medicaid beneficiaries. This funding was provided through an amendment to the State's Partnership Plan 1115 Medicaid waiver. Since January 1, 2014, in accordance with provisions of the ACA, the State has been eligible for eFMAP funding associated with childless adults.

Due to the demonstrated success of the Delivery System Reform Incentive Payment (DSRIP) waiver, the State submitted a waiver request to CMS on November 25, 2019, seeking an extension of the original waiver to authorize the remaining \$625 million of spending in FY 2021 for an additional period of four years (through FY 2024) and up to \$8 billion in additional Federal funding for continued health care beginning in FY 2022.

However, CMS denied the State's request on February 21, 2020. CMS' denial was on the basis that the original DSRIP award was time-limited and meant to be a one-time investment, and that it was not in a position to authorize a conceptual agreement beyond the current demonstration program. While the State's requested amendment was denied, the State submitted a subsequent 1115 Medicaid waiver request that aligns with the expiration of the MRT Waiver on March 31, 2021. The COVID-19 1115 Waiver was submitted to CMS on May 11, 2020 and, if approved, would provide the State with \$1.9 billion and new flexibilities to respond to the public health emergency.

Employer Compensation Expense Program

Employers that elect to participate in the ECEP will be subject to a 5 percent State tax on all annual payroll expenses in excess of \$40,000 per employee, phased in over three years beginning on January 1, 2019 as follows: 1.5 percent in Tax Year 2019, 3 percent in Tax Year 2020, and 5 percent in Tax Year 2021. Employers must elect to participate in the ECEP for the upcoming tax year by December 1 of the preceding calendar year. For Tax Year 2019, 262 employers elected to participate in the ECEP and remitted \$1.5 million, with the number of participating employers increasing to 311 for Tax Year 2020.

The ECEP is intended to mitigate the tax burden for employees affected by the SALT deduction limit. While the TCJA limits deductibility for individuals, it does not cap deductibility for ordinary and necessary business expenses paid or incurred by employers in carrying on a trade or business. The ECEP is expected to be State revenue-neutral, with any decrease in New York State PIT receipts expected to be offset by a comparable increase in ECEP revenue. A State PIT credit is available to employees whose wages are subject to the tax. Any decrease in New York State PIT receipts is expected to be offset by a comparable increase in ECEP revenue because the formula used to calculate the State PIT credit corresponds in value to the ECEP. Remittance of ECEP revenue to the State began in the fourth quarter of FY 2019.

Charitable Gifts Trust Fund

Starting in Tax Year 2018, the Charitable Gifts Trust Fund was established to accept gifts for the purposes of funding health care and education in New York State. Taxpayers who itemize deductions may claim these charitable contributions as deductions on their Federal and State income tax returns. Any taxpayer who donates may also claim a State tax credit equal to 85 percent of the donation amount for the tax year after the donation is made. State PIT receipts will be reduced by the State tax deduction and 85 percent tax credit.⁷

Through FY 2020, the State received \$93 million in charitable gifts deposited to the Charitable Gifts Trust Fund for healthcare and education (\$58 million and \$35 million, respectively). Charitable gifts are appropriated for the authorized purposes.

Impact of State Tax Law Changes on PIT Revenue Bonds

To offset the potential reduction in the level of New York State PIT receipts resulting from activity of the ECEP and donations to the Charitable Gifts Trust Fund, State Finance Law provisions creating the RBTF were amended to increase the percentage of New York State PIT receipts required to be deposited upon receipt in the RBTF, from 25 percent to 50 percent. In addition, the legislation that created the ECEP required that 50 percent of ECEP receipts received by the State be deposited to the RBTF. These changes became effective April 1, 2018.

The amendments also increased the amount of all New York State PIT receipts collected from payroll withholding and ECEP receipts that must be deposited in the RBTF if (a) the State Legislature fails to appropriate amounts required to make all debt service payments on State PIT Revenue Bonds or (b) having been appropriated and set aside pursuant to a certificate of the Director of the Budget, debt service payments and other cash requirements under the applicable financing agreements have not been made when due on the State PIT Revenue Bonds. Under prior law, New York State PIT receipts from payroll withholding were to be deposited to the RBTF until amounts on deposit in the RBTF equaled the greater of 25 percent of annual New York State PIT receipts, or \$6 billion. Under the new law, New York State PIT receipts and ECEP receipts are deposited to the RBTF until amounts on deposit in the RBTF equal the greater of 40 percent of the aggregate of annual New York State PIT receipts and ECEP receipts, or \$12 billion.

Donations to the Charitable Gifts Trust Fund could reduce State PIT receipts by nearly one dollar for every dollar donated. If Treasury Decision 9864 is upheld in Federal court, taxpayer participation in the future will likely be reduced. However, if the legal challenge is successful in restoring the full Federal tax deduction for charitable contributions, donations to the Charitable Gifts Trust Fund in future years could be higher than in 2018, when donations totaled \$93 million. In such event, the amount of donations to the Charitable Gifts Trust Fund would pose a risk to the amount of New York State PIT receipts deposited to the RBTF in future years. To address this risk, the State increased the amount of PIT receipts deposited to the RBTF from 25 percent to 50 percent as part of the State tax reforms enacted in 2018.

⁷ SUNY Research Foundation, City University of New York (CUNY) Research Foundation, and HRI. are allowed to accept up to \$10 million each in charitable gifts on an annual basis. State PIT receipts will also be reduced by the State tax deduction and 85 percent credit for these donations.



Other Matters Affecting the Financial Plan

DOB and DTF performed a calculation of the maximum amount of charitable donations to the Charitable Gifts Trust Fund that could occur annually under varying assumptions. This calculation of the maximum amount of potential contributions to the Charitable Gifts Trust Fund was intended to serve as a stress test on State PIT receipts that may flow to the RBTF under different levels of assumed taxpayer participation. Accordingly, the calculation should not, under any circumstances, be viewed as a projection of likely donations in any future year. The factors that may influence donation activity are complex and include, but are not limited to, possible statements, actions, or interpretive guidance by the IRS or others relating to the deductibility of such donations; the liquidity position, risk tolerance, and knowledge of individual taxpayers; advice or guidance of tax advisors or other professionals; changes in general economic conditions; adoption of similar trusts in other states; and tax reciprocity agreements among states.

The calculation of the maximum amount of potential donations from Tax Year 2020 through 2023 is on average in the range of \$25 billion annually. The calculation assumes that every resident taxpayer who has an incentive to donate will do so, and such donations will be equal to the total value of each resident taxpayer's SALT payments, less the value of the \$10,000 Federal SALT deduction limit, up to the value of the taxpayer's total State tax liability. The calculation is dependent on several assumptions concerning the number of itemized filers. It relies on the most recent PIT population study file, as trended forward, as well as the impact of the TCJA and State law changes on the number and distribution of itemized and standardized filers. The calculation also assumes that (a) no further changes in Federal tax law occur, and (b) DOB projections of the level of State taxpayer liability for the forecast period as set forth in the Updated Financial Plan are materially accurate.

In general, assumptions made regarding taxpayer behavior were intended to maximize the calculated impact of charitable giving on PIT receipts in each year. After factoring in all the foregoing adjustments and with inclusion of ECEP revenues, RBTF receipts are projected to remain above the level of receipts that would have been expected under statutes in effect prior to April 2018, even in a maximum participation scenario.

The DOB and DTF calculation of the projected maximum amount of potential contributions to the Charitable Gifts Trust Fund is necessarily based on many assumptions that may change materially over time. While DOB believes that these factors can be expected to constrain donation activity, there can be no assurance that, under conditions of maximum participation, the amount of annual charitable gifts will not reduce the level of PIT receipts deposited into the RBTF below the levels projected in February 2018 before State tax reforms were enacted. If that were to occur, it is DOB's expectation that changes to the tax law would be recommended to further increase the percentage of PIT receipts deposited into the RBTF.

Climate Change Adaptation

Climate change poses significant long-term threats to physical, biological and economic systems in New York and around the world. Potential hazards and risks related to climate change for the State include, among other things, rising sea levels, more severe coastal flooding and erosion hazards, and more intense storms. Storms in recent years, including Superstorm Sandy, Hurricane Irene, and Tropical Storm Lee, have demonstrated vulnerabilities in the State's infrastructure (including mass transit systems, power transmission and distribution systems, and other critical lifelines) to extreme weather events including coastal flooding caused by storm surges. The potential effects of climate change could adversely impact the Financial Plan in current or future years. To mitigate and manage these impacts, significant long-term planning and investments by the Federal government, the State, municipalities, and public utilities are expected to be needed to adapt existing infrastructure to climate change risks.

The State continues to recover from the damage sustained during three powerful storms that crippled entire regions of the State. In August 2011, Hurricane Irene disrupted power and caused extensive flooding in various counties. In September 2011, Tropical Storm Lee caused flooding in additional counties and, in some cases, exacerbated the damage caused by Hurricane Irene two weeks earlier. On October 29, 2012, Superstorm Sandy struck the East Coast, causing widespread infrastructure damage and economic losses to the greater New York region. The frequency and intensity of these storms present economic and financial risks to the State. Reimbursement claims for costs of the immediate response, recovery, and future mitigation efforts continue, largely supported by Federal funds. In January 2013, the Federal government approved approximately \$60 billion in Federal disaster aid for general recovery, rebuilding, and mitigation activity nationwide in response to Superstorm Sandy. To date, a total of \$28.9 billion has been committed to repairing impacted homes and businesses, restoring community services, and mitigating future storm risks to the State and its localities.

Financial market participants are increasingly acknowledging climate change risks. In June 2017, an industry-led Task Force on Climate-Related Financial Disclosure convened by the Financial Stability Board (an international body which monitors the global financial system), published recommendations stating that climate risk affects most market sectors and that climate-related risk should be publicly disclosed to investors in annual financial filings.⁸ In November 2017, Moody's Investors Service issued guidance to state and local governments that climate change is forecast to heighten exposure to economic losses, placing potential pressure on credit ratings. The Moody's report identified rising sea levels and their effect on coastal infrastructure as the primary climate risks for the northeastern United States, including New York State. These risks are heightened by population and critical infrastructure concentration in coastal counties.

⁸ For further context to the June 2017 disclosure recommendations, the Financial Stability Board was asked by an international coalition of G20 Finance Ministers and Central Bank Governors to address concerns that undisclosed climate risk could destabilize global financial markets.

An October 2018 special report released by the Intergovernmental Panel on Climate Change of the United Nations (IPCC) found that human activity has already caused approximately 1.0°C of warming and is continuing to increase average global temperatures at 0.2°C per decade due to past and ongoing emissions. The IPCC states that global warming is likely to reach 1.5°C of warming between 2030 and 2052 if temperatures continue to increase at the current rate. This increase is expected to produce a range of adverse outcomes (“reasons for concern”). For example, the IPCC rates global risks of extreme weather events and coastal flooding as increasing from moderate (“detectable”) today, to high (“severe and widespread”) at 1.5°C of warming. The risk of severe impacts increases further at higher temperatures. Using current trends, climate change risks increasingly fall within the term of current outstanding bonds of the State, its public authorities and municipalities. State bonds may be issued with a term of up to 30 years under State statute.

The State is participating in efforts to reduce greenhouse gas emissions in order to mitigate the risk of severe impacts from climate change. The Climate Leadership and Community Protection Act of 2019 set the State on a path toward developing regulations to reduce statewide greenhouse gas emissions to 85 percent below the 1990 level by 2050. As part of this target, the State plans to fully transition its electricity sector away from carbon emissions by 2040. The State is a member of the Regional Greenhouse Gas Initiative (RGGI) and has used a cap and trade mechanism to regulate carbon dioxide emissions from electric power plants since 2008.

Other Matters Affecting the Financial Plan



Extraordinary Monetary Settlements

Beginning in FY 2015, the State began receiving Extraordinary Monetary Settlements for violations of State laws by major financial institutions and other entities. The table below lists the receipts by firm and amount. Effective April 1, 2019, DOB no longer classifies or distinctly identifies any settlement of less than \$25 million as an Extraordinary Monetary Settlement.

SUMMARY OF RECEIPTS OF EXTRAORDINARY MONETARY SETTLEMENTS BETWEEN REGULATORS AND FINANCIAL INSTITUTIONS (millions of dollars)								
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	Total
Extraordinary Monetary Settlements	4,942	3,605	1,317	805	1,186	895	600	13,350
Aetna Insurance Company	0	0	0	0	2	0	0	2
Agricultural Bank of China	0	0	215	0	0	0	0	215
American International Group, Inc.	35	0	0	0	0	0	0	35
Athene Life Insurance	0	0	0	0	15	0	45	60
AXA Equitable Life Insurance Company	20	0	0	0	0	0	0	20
Bank Hapoalim	0	0	0	0	0	0	220	220
Bank Leumi	130	0	0	0	0	0	0	130
Bank of America	300	0	0	0	0	0	0	300
Bank of America Merrill Lynch	0	0	0	0	42	0	0	42
Bank of Korea	0	0	0	0	0	0	35	35
Bank of Tokyo Mitsubishi	315	0	0	0	0	0	0	315
Barclays	0	670	0	0	15	0	0	685
BNP Paribas	2,243	1,348	0	350	0	0	0	3,941
Chubb	0	0	0	0	1	0	0	1
Cigna	0	0	0	2	0	0	0	2
Citigroup (State Share)	92	0	0	0	0	0	0	92
Commerzbank	610	82	0	0	0	0	0	692
Conduent Education Services	0	0	0	0	1	0	0	1
Credit Agricole	0	459	0	0	0	0	0	459
Credit Suisse AG	715	30	0	135	0	0	0	880
Deutsche Bank	0	800	444	0	205	0	150	1,599
FedEx	0	0	0	0	26	0	0	26
Goldman Sachs	0	50	190	0	55	0	150	445
Google/YouTube	0	0	0	0	0	34	0	34
Habib Bank	0	0	0	225	0	0	0	225
Intesa SanPaolo	0	0	235	0	0	0	0	235
Lockton Affinity	0	0	0	0	7	0	0	7
Mashreqbank	0	0	0	0	40	0	0	40
Mega Bank	0	0	180	0	0	0	0	180
MetLife Parties	50	0	0	0	20	0	0	70
Morgan Stanley	0	150	0	0	0	0	0	150
MUFG Bank	0	0	0	0	0	33	0	33
Nationstar Mortgage	0	0	0	0	5	0	0	5
New Day	0	1	0	0	0	0	0	1
Ocwen Financial	100	0	0	0	0	0	0	100
Oscar Insurance Company	0	0	0	0	1	0	0	1
PHH Mortgage	0	0	28	0	0	0	0	28
PricewaterhouseCoopers LLP	25	0	0	0	0	0	0	25
Promontory	0	15	0	0	0	0	0	15
RBS Financial Products Inc.	0	0	0	0	100	0	0	100
Société Générale SA	0	0	0	0	498	0	0	498
Standard Chartered Bank	300	0	0	0	40	322	0	662
Unicredit	0	0	0	0	0	506	0	506
UBS	0	0	0	0	41	0	0	41
Volkswagen	0	0	32	33	0	0	0	65
Wells Fargo	0	0	0	0	65	0	0	65
Western Union	0	0	0	60	0	0	0	60
William Penn	0	0	0	0	6	0	0	6
Other Settlements	7	0	(7)	0	1	0	0	1

The table below summarizes the past and planned uses of Extraordinary Monetary Settlements received. The planned use of settlements will be evaluated in light of economic conditions and fiscal needs arising from the COVID-19 pandemic.

GENERAL FUND SUMMARY OF RECEIPTS AND USE/TRANSFER OF FUNDS FROM EXTRAORDINARY MONETARY SETTLEMENTS BETWEEN REGULATORS AND FINANCIAL INSTITUTIONS (millions of dollars)								
	FYs							Total
	2015 - 2019	FY 2020	FY 2021	FY 2022	FY 2023	FY 2024	FY 2025	
Opening Settlement Balance in General Fund	0	4,194	2,610	2,185	1,226	479	134	0
Receipt of Extraordinary Monetary Settlements	11,855	895	600	0	0	0	0	13,350
Use/Transfer of Funds	7,661	2,479	1,025	959	747	345	134	13,350
Capital Purposes:	4,134	1,345	425	959	747	345	134	8,089
Dedicated Infrastructure Investment Fund	3,374	939	1,130	877	525	330	134	7,309
Environmental Protection Fund	120	0	0	0	0	0	0	120
Mass Transit	70	3	7	2	2	1	0	85
Healthcare	24	132	80	30	45	14	0	325
Clean Water Grants	0	0	25	50	175	0	0	250
Javits Center Expansion	546	271	183	0	0	0	0	1,000
Bond Proceed Receipts for Javits Center Expansion	0	0	(1,000)	0	0	0	0	(1,000)
Other Purposes:	3,122	6	0	0	0	0	0	3,128
Audit Disallowance - Federal Settlement	850	0	0	0	0	0	0	850
CSX Litigation Payment	76	0	0	0	0	0	0	76
Financial Plan - General Fund Operating Purposes	1,807	0	0	0	0	0	0	1,807
Mass Transit Operating	10	0	0	0	0	0	0	10
MTA Operating Aid	194	0	0	0	0	0	0	194
Department of Law - Litigation Services Operations	180	6	0	0	0	0	0	186
OASAS Chemical Dependence Program	5	0	0	0	0	0	0	5
Reservation of Funds:	405	1,128	600	0	0	0	0	2,133
Rainy Day Reserves	250	238	0	0	0	0	0	488
Reserve for Economic Uncertainties	0	890	600	0	0	0	0	1,490
Reserve for Retroactive Labor Agreements	155	0	0	0	0	0	0	155
Closing Settlement Balance in General Fund	4,194	2,610	2,185	1,226	479	134	0	0

Current Labor Negotiations and Agreements (Current Contract Period)

On December 18, 2019, the State and the Police Benevolent Association of New York State (PBANYS) conferred authority to a public arbitration panel to issue a final and binding arbitration award covering the four-year period April 1, 2015 to March 31, 2019 (FY 2016 to FY 2019). The award provides a 2 percent general salary increase in each fiscal year and additional compensation, which is partially offset by benefit design changes within New York State Health Insurance Program (NYSHIP) and reductions in overtime costs. The cost of this award has been reflected in the multi-year spending projections in the Updated Financial Plan for the affected agencies.

The State has multi-year labor agreements in place with most of the unionized workforce and continues to negotiate new agreements with the Public Employees Federation (PEF), the Council 82 Security Supervisors Unit and PBANYS.

The Civil Service Employees Association (CSEA) and DC-37 (Local 1359 Rent Regulation Service Employees) have five-year labor contracts that provide annual salary increases of 2 percent for FYs 2017 through 2021 and additional compensation changes, offset by benefit design changes within NYSHIP and reductions in overtime costs. Salary increases provided to CSEA and DC-37 (Local 1359) employees were also extended to Management/Confidential (M/C) employees.

The United University Professions (UUP) has a six-year labor contract (2017 through 2022). The contract provides for 2 percent general salary increases annually and additional compensation changes, which are partly offset by benefit design changes within NYSHIP.

The Graduate Student Employees Union (GSEU) has a four-year labor contract that provides for 2 percent general annual salary increases for 2020 through 2023.

The Professional Staff Congress at CUNY has a six-year labor contract (2018 through 2023). The contract provides for annual 2 percent general salary increases commencing October 1, 2018.

The Police Benevolent Association of the New York State Troopers (NYSTPBA) and the New York State Police Investigators Association (NYSPIA) have five-year collective bargaining agreements for FY 2019 through FY 2023. The agreements provide for 2 percent general salary increases in each year of the contracts and additional compensation changes, which are partly offset by benefit design changes within NYSHIP.

The New York State Correctional Officers and Police Benevolent Association (NYSCOPBA) has a seven-year labor contract (FY 2017 through FY 2023). The contract provides for 2 percent general salary increases in each year of the agreement and additional compensation changes, offset by benefit design changes within NYSHIP and reductions in overtime costs.

Contract periods and related general salary increases for State employee union contracts are summarized below.

UNION LABOR CONTRACTS											
	Contract Period	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023	FY 2024
NYSTPBA	FY 2019 - FY 2023	2%	2%	1.5%	1.5%	2%	2%	2%	2%	2%	TBD
NYSPIA	FY 2019 - FY 2023	2%	2%	1.5%	1.5%	2%	2%	2%	2%	2%	TBD
NYSCOPBA	FY 2017 - FY 2023	2%	2%	2%	2%	2%	2%	2%	2%	2%	TBD
GSEU	AY 2020 - AY 2023	2%	2%	2%	2%	2%	2%	2%	2%	2%	TBD
CUNY	AY 2018 - AY 2023	2.5%	2%	2%	1.5%	2%	2%	2%	2%	2%	TBD
UUP	AY 2017 - AY 2022	2%	2%	2%	2%	2%	2%	2%	2%	TBD	TBD
CSEA	FY 2017 - FY 2021	2%	2%	2%	2%	2%	2%	2%	TBD	TBD	TBD
DC-37	FY 2017 - FY 2021	2%	2%	2%	2%	2%	2%	2%	TBD	TBD	TBD
PEF	FY 2017 - FY 2019	2%	2%	2%	2%	2%	TBD	TBD	TBD	TBD	TBD
PBANYS	FY 2016 - FY 2019	2%	2%	2%	2%	2%	TBD	TBD	TBD	TBD	TBD
COUNCIL 82	FY 2010 - FY 2016	2%	2%	TBD	TBD	TBD	TBD	TBD	TBD	TBD	TBD

The Judiciary also has contracts in place with all 12 unions represented within its workforce, which include CSEA (FY 2018 to FY 2021); the New York State Supreme Court Officers Association, the New York State Court Officers Association and the Court Clerks Association (FY 2012 to FY 2021); and eight other unions (FY 2020 to FY 2021).

Due to the adverse financial impacts from the COVID-19 pandemic, the State is withholding the general salary increases that were scheduled to go into effect on April 1, 2020. The Updated Financial Plan reflects only the liquidity benefit of the withholding. If a decision is made to withhold the full amount for the fiscal year, it would provide savings of \$215 million in FY 2021 and partially offset the need for reductions elsewhere.

Pension Contributions

Overview

The State makes annual contributions to the New York State and Local Retirement System (NYSLRS) for employees in the New York State and Local Employees' Retirement System (ERS) and the New York State and Local Police and Fire Retirement System (PFRS). This section discusses contributions from the State, including the Judiciary, to the NYSLRS, which account for the majority of the State's pension costs.⁹ All projections are based on estimated market returns and numerous actuarial assumptions which, if unrealized, could change these projections materially.

During FY 2016, the NYSLRS updated its actuarial assumptions based on the results of the 2015 five-year experience study. In September 2015, NYSLRS announced that employer contribution rates would decrease beginning in FY 2017 and the assumed rate of return would be lowered from 7.5 percent to 7 percent. The salary scale assumptions were also changed – for ERS the scale was reduced from 4.9 percent to 3.8 percent, and for PFRS the scale was reduced from 6 percent to 4.5 percent. During FY 2019, salary scale assumptions were further changed via a one-time 10 percent increase for both ERS and PFRS, which was reflected in FY 2020 contribution rates.

In August 2019, the actuary for NYSLRS issued the Annual Report to the Comptroller on Actuarial Assumptions, which provided a reduction in the State pension fund's assumed long-term rate of return on investments from 7 percent to 6.8 percent. The estimated average employer contribution rate for the ERS will remain stable at 14.6 percent of payroll due to offsetting gains from a change in the mortality improvement scale and new Tier 6 entrants. However, the estimated average employer contribution rate for the PFRS will increase by 0.9 percent, from 23.5 percent to 24.4 percent of payroll (the assumed rate reduction had more leverage in PFRS due to the maturity of the system).¹⁰

The FY 2022 ERS/PFRS pension estimate of \$2.7 billion relied upon the October 2020 estimate from the State Comptroller, which reflects a negative 2.68 percent return in the Common Retirement Fund in FY 2020 and improvements in the mortality of retirees and beneficiaries. These increases were partially offset by the use of a new mortality improvement scale and lower cost Tier 6 entrants. The estimate does not reflect the State's ability to amortize a portion of the bill. Per the October 2020 estimate the State is eligible to amortize a maximum of \$178 million. The State will continue to pay \$432 million towards the balance outstanding on prior-year deferrals. OSC does not forecast pension liability estimates beyond the budget year, thus estimates for FY 2023 and beyond are developed by DOB. DOB's forecast assumes growth in the salary base consistent with collective bargaining agreements and a conservative rate of return compared to the actuary's assumed rate of return. The current Financial Plan forecast does not reflect the potential losses in asset value as a result of the COVID-19 outbreak and recession.

⁹ The State's aggregate pension costs also include costs for State employees in the Teachers' Retirement System (TRS) for both the SUNY and the State Education Department (SED), the Optional Retirement Program (ORP) for both SUNY and SED, and the New York State Voluntary Defined Contribution Plan (VDC).

¹⁰ Average contribution rates include the Group Life Insurance Plan (GLIP), and thus differ from the system average normal rates reported in the pension amortization section.

The pension liability also reflects changes to military service credit provisions found in Section 1000 of the Retirement and Social Security Law (RSSL) enacted during the 2016 legislative session (Chapter 41 of the Laws of 2016). All veterans who are members of NYSLRS may, upon application, receive extra service credit for up to three years of military duty if such veterans (a) were honorably discharged, (b) have achieved five years of credited service in a public retirement system, and (c) have agreed to pay the employee share of such additional pension credit. Costs to the State for employees in the ERS will be incurred at the time each member purchases credit, as documented by OSC at the end of each calendar year. Costs for employees in PFRS will be distributed across PFRS employers and billed on a two-year lag (e.g., FY 2017 costs were first billed in FY 2019). Additionally, Section 25 of the RSSL requires the State to pay the ERS employer contributions associated with this credit on behalf of local governments. The State is also permitted to amortize the cost of past service credits that are newly incurred in a given fiscal year. The ERS cost to the State (including costs covered for local ERS) was \$16 million in FY 2020 based on actual credit purchased through December 31, 2019. DOB has revised estimates to reflect stronger participation in the program. ERS costs are estimated to be \$20 million in FY 2021, \$25 million in FY 2022 and \$15 million annually in the outyears.

Pension Amortization

Under legislation enacted in August 2010, the State and local governments may amortize (defer paying) a portion of their annual pension costs. Amortization temporarily reduces the pension costs that must be paid by public employers in a given fiscal year but results in higher costs overall when repaid with interest.

The State and local governments are required to begin repayment on each new amortization in the fiscal year immediately following the year in which the amortization was initiated. The full amount of each amortization must be repaid within ten years at a fixed interest rate determined by OSC. Legislation included in the FY 2017 Enacted Budget authorized the State to prepay a portion of remaining principal associated with an amortization, and then pay a lower recalculated interest installment in any subsequent year for which the principal has been prepaid. This option does not allow the State to delay the original ten-year repayment schedule, nor does it allow for the interest rate initially applied to the amortization amount to be modified.

The portion of an employer's annual pension costs that may be amortized is determined by comparing the employer's amortization-eligible contributions as a percentage of employee salaries (i.e., the normal rate¹¹) to a system-wide amortization threshold (i.e., the graded rate). Graded rates are determined for ERS and PFRS according to a statutory formula, and generally move toward their system's average normal rate by up to one percentage point per year. When an employer's normal rate is greater than the system-wide graded rate, the employer can elect to amortize the difference. However, when the normal rate of an employer that previously amortized is less than the system-wide graded rate, the employer is required to pay the graded rate. Additional contributions are first used to pay off existing amortizations and are then deposited into a reserve

¹¹ For the purpose of this discussion, the "normal rate" refers to all amortization-eligible costs (i.e., normal and administrative costs, as well as certain employer-provided options such as sick leave credit) divided by salary base.

Other Matters Affecting the Financial Plan



account to offset future increases in contribution rates. Chapter 48 of the Laws of 2017 changed the graded rate computation to provide an employer-specific graded rate based on the employer's own tier and plan demographics.

Neither the State nor the Office of Court Administration (OCA) have amortized pension costs since FY 2016.

The amortization threshold is equal to the normal rate and is projected to remain so in the upcoming fiscal years. The following table reflects projected pension contributions and amortizations exclusively for Executive branch and Judiciary employers participating in ERS and PFRS.

EMPLOYEE RETIREMENT SYSTEM AND POLICE AND FIRE RETIREMENT SYSTEM IMPACTS OF AMORTIZATION ON PENSION CONTRIBUTIONS									
(millions of dollars)									
Fiscal Year	Statewide Pension Payments ¹				Interest Rate on Amortization Amount (%) ³	Rates for Determining (Amortization Amount) / Excess Contributions			
	Normal Costs ²	(Amortization Amount) / Excess Contributions		Total Statewide Pension Payments		System Average Normal Rate ⁴		Amortization Threshold (Graded Rate)	
		Repayment of Amortization				ERS (%)	PFRS (%)	ERS (%)	PFRS (%)
2011	1,543.2	(249.6)	0.0	1,293.6	5.00	11.5	18.1	9.5	17.5
2012	2,037.6	(562.9)	32.3	1,507.0	3.75	15.9	21.6	10.5	18.5
2013	2,076.0	(778.5)	100.9	1,398.4	3.00	18.5	25.7	11.5	19.5
2014	2,633.7	(937.0)	192.1	1,888.8	3.67	20.5	28.9	12.5	20.5
2015	2,325.6	(713.1)	305.8	1,918.3	3.15	19.7	27.5	13.5	21.5
2016	1,972.0	(356.1)	390.0	2,005.9	3.21	17.7	24.7	14.5	22.5
2017	1,789.0	0.0	432.2	2,221.2	2.33	15.1	24.3	15.1	23.5
2018	1,788.7	0.0	432.2	2,220.9	2.84	14.9	24.3	14.9	24.3
2019	1,770.2	0.0	432.2	2,202.4	3.64	14.4	23.5	14.4	23.5
2020	1,782.2	0.0	432.2	2,214.4	2.55	14.2	23.5	14.2	23.5
2021 Est.	1,878.4	0.0	432.2	2,310.6	1.33	14.1	24.4	14.1	24.4
2022 Est.	2,236.3	0.0	399.9	2,636.2	TBD	15.8	28.3	15.1	25.4
----- Projected by DOB ⁵ -----									
2023	2,403.5	0.0	331.3	2,734.8	TBD	17.4	30.7	16.1	26.4
2024	2,805.7	0.0	240.0	3,045.7	TBD	20.9	34.6	17.1	27.4
2025	3,527.6		126.4	3,654.0	TBD	26.2	40.5	18.1	28.4

¹ Pension Contribution values in this table do not include pension costs related to the ORP, VDC, and TRS for SUNY and SED, whereas the projected pension costs in other Financial Plan tables include such pension disbursements.

² Normal costs include payments from amortizations prior to FY 2011, which ended in FY 2016 as a result of early repayments.

³ Interest rates are determined by the Comptroller based on the market rate of return on comparable taxed fixed income investments (e.g., Ten-Year Treasuries). The interest rate is fixed for the duration of the ten-year repayment period.

⁴ The system average normal rate represents system-wide amortization-eligible costs (i.e. normal and administrative costs, as well as the cost of certain employer options) as a percentage of the system's total salary base. The normal rate does not include the following costs, which are not eligible for amortization: Group Life Insurance Program (GLIP) contributions, deficiency contributions, previous amortizations, incentive costs, costs of new legislation in some cases, and prior-year adjustments. "(Amortized) / Excess Contributions" are calculated for each employer in the system using employer-specific normal rates, which may differ from the system average.

⁵ Outyear projections are prepared by DOB. The retirement system does not prepare, or make available, outyear projections of pension costs.

The “Normal Costs” column shows the State’s underlying pension cost in each fiscal year, before the effects of amortization. The “(Amortization Amount) / Excess Contributions” column shows amounts amortized. The “Repayment of Amortization” column provides the amount paid in principal and interest towards the outstanding balance on prior-year amortizations. The “Total Statewide Pension Payments” column provides the State’s actual or planned pension contribution, including amortization. The “Interest Rate on Amortization Amount (%)” column provides the interest rate at which the State will repay the amortized contribution, as determined by OSC. The remaining columns provide information on the normal rate and graded rate, which are used to determine the maximum allowed “(Amortized)” amount or the mandatory “Excess Contributions” amount for a given fiscal year.

Social Security

The CARES Act, in response to impacts caused by the COVID-19 pandemic, allows employers, including the State, to defer the deposit and payment of the employer’s share of Social Security taxes through December 2020, and for the deferral to be repaid, interest free, in two equal installments in December 2021 and December 2022. The Executive and the Judiciary have elected to defer the allowable Social Security payments for estimated savings of \$599 million and \$68 million, respectively.

Other Post-Employment Benefits (OPEB)

State employees become eligible for post-employment benefits (e.g., health insurance) if they reach retirement while working for the State; are enrolled in either NYSHIP or the NYSHIP opt-out program at the time they reach retirement; and have the required years of eligible service. The cost of providing post-retirement health insurance is shared between the State and the retired employee. Contributions are established by law and may be amended by the Legislature. The State pays its share of costs on a Pay-As-You-Go (PAYGO) basis as required by law.

The State Comptroller adopted Governmental Accounting Standards Board (GASB) Statement (GASBS) 75, *Accounting and Financial Reporting for Postemployment Benefits Other Than Pensions*, for the State’s Basic Financial Statements for FY 2019. GASBS 75, which replaces GASBS 45 and GASBS 57, addresses accounting and financial reporting for OPEB that is provided to the employees of state and local governmental employers. GASBS 75 establishes standards for recognizing and measuring liabilities and expenses/expenditures, as well as identifying the methods and assumptions required to be used to project benefit payments, discount projected benefit payments to their actuarial determined present value, and attribute that present value to periods of employee service. Specifically, GASBS 75 now requires that the full liability be recognized.

The State's total OPEB liability equals the employer's share of the actuarial determined present value of projected benefit payments attributed to past periods of employee service. The total OPEB obligation less any OPEB assets set aside in an OPEB trust or similar arrangement represent the net OPEB obligation.

As reported in the State's Basic Financial Statements for FY 2020, the total ending OPEB liability for FY 2020 is \$63.9 billion (\$51.1 billion for the State and \$12.8 billion for SUNY). The total OPEB liability as of March 31, 2020 was measured as of March 31, 2019 and was determined using an actuarial valuation as of April 1, 2018, with update procedures used to roll forward the total OPEB liability to March 31, 2019. The total beginning OPEB liability for FY 2020 was \$63.4 billion (\$50.9 billion for the State and \$12.5 billion for SUNY). The total OPEB liability was calculated using the Entry Age Normal cost method. The discount rate is based on the Bond Buyer 20-year general obligation municipal bond index rate on March 31 (3.89 percent in FY 2019 and 3.79 percent in FY 2020). The total OPEB liability increased by \$529 million (0.8 percent) during FY 2020.

The contribution requirements of NYSHIP members and the State are established by, and may be amended by, the Legislature. The State is not required to provide funding above the PAYGO amount necessary to provide current benefits to retirees and has not funded a qualified trust or its equivalent as defined in GASBS 75. The State continues to fund these costs, along with all other employee health care expenses, on a PAYGO basis, meaning the State pays these costs as they become due.

The FY 2018 Enacted Budget included legislation creating a Retiree Health Benefit Trust Fund (the "Trust Fund"), a qualified trust under GASBS 75 that authorizes the State to reserve money for the payment of health benefits of retired employees and their dependents. Under the legislation, the State may deposit into the Trust Fund, in any given fiscal year, up to 0.5 percent of total then-current unfunded actuarial accrued OPEB liability. The Updated Financial Plan does not currently include any deposits to the Trust Fund.

GASBS 75 is not expected to alter the Updated Financial Plan cash PAYGO projections for health insurance costs. DOB's methodology for forecasting these costs over a multi-year period already incorporates factors and considerations consistent with the new actuarial methods and calculations required by the GASB Statement.

Litigation

Litigation against the State may include, among other things, potential challenges to the constitutionality of various actions. The State may also be affected by adverse decisions that are the result of various lawsuits. Such adverse decisions may not meet the materiality threshold to warrant a description herein but, in the aggregate, could still adversely affect the Updated Financial Plan.

Cybersecurity

New York State government, like many other large public and private entities, relies on a large and complex technology environment to conduct its operations. As a recipient and provider of personal, private, or sensitive information, the State and its public corporations and municipalities face multiple cyber threats including, but not limited to, hacking, viruses, malware and other attacks on computer and other sensitive digital networks and systems. Entities or individuals may attempt to gain unauthorized access to the State's digital systems for the purposes of misappropriating assets or information or causing operational disruption and damage. To mitigate the risk of business operations impact and/or damage from cyber incidents or cyber-attacks, the State invests in multiple forms of cybersecurity and operational controls. The State's Chief Information Security Office (CISO) within the State's Office of Information Technology Services (ITS) maintains comprehensive policies and standards, programs, and services relating to the security of State government networks and geographic information systems,¹² and annually assesses the implementation of security policies and standards to ensure compliance through the Nationwide Cyber Security Review. In addition, the CISO maintains the New York State Cyber Command Center team, which provides a security operations center, digital forensics capabilities, and related procedures for cyber incident reporting and response, distributes real-time advisories and alerts, provides managed security services, and implements statewide information security training and exercises. While controls are routinely reviewed and tested, no assurances can be given that such security and operational control measures will be completely successful at guarding against cyber threats and attacks. The results of any such attack could impact business operations and/or damage State digital networks and systems, State and local infrastructure, and the costs of remedying any such damage could be substantial.

The State has also adopted regulations designed to protect the financial services industry from cyberattacks. Banks, insurance companies and other covered entities regulated by DFS are, unless eligible for limited exemptions, required to: (a) maintain a cybersecurity program, (b) create written cybersecurity policies and perform risk assessments, (c) designate a CISO with responsibility to oversee the cybersecurity program, (d) annually certify compliance with the cybersecurity regulations, and (e) report to DFS cybersecurity events that have a reasonable likelihood of materially harming any material part of the entity's normal operation(s) or for which notice is required to any government body, self-regulatory agency, or supervisory body.

¹² Statewide cybersecurity policies can be found at: <https://its.ny.gov/ciso/policies/security>.

Financial Condition of New York State Localities

The State's localities rely in part on State aid to balance their budgets and meet their cash requirements. As such, unanticipated financial need among localities can adversely affect the State's Financial Plan projections. Certain localities outside New York City, including cities and counties, have experienced financial problems and have requested and received additional State assistance during the last several State fiscal years. In 2013, the Financial Restructuring Board for Local Governments was created to aid distressed local governments. The Restructuring Board performs comprehensive reviews and provides grants and loans on the condition of implementing recommended efficiency initiatives. For additional details on the Restructuring Board, please visit www.frb.ny.gov.

The wide-ranging economic, health, and social disruptions caused by the COVID-19 outbreak are having an adverse impact on State authorities and localities, including the MTA and the City of New York. The aid-to-localities reductions that are expected to be taken as set forth in the Updated Financial Plan may materially and adversely affect the financial position of the MTA, the City of New York, and other localities.

Bond Market and Credit Ratings

Successful implementation of the Updated Financial Plan is dependent on the State's ability to market bonds. The State finances much of its capital spending, in the first instance, from the General Fund or STIP, which it then reimburses with proceeds from the sale of bonds. An inability of the State to sell bonds or notes at the level or on the timetable it expects could have a material and adverse impact on the State's financial position and the implementation of its FY 2021 Enacted Capital Program and Financing Plan ("Capital Plan"). The success of projected public sales of municipal bonds is subject to prevailing market conditions and related ratings issued by national credit rating agencies, among other factors. The outbreak of COVID-19 in the United States significantly disrupted the municipal bond market. In response, the Federal CARES Act created the MLF, which authorizes the Federal Reserve Bank to purchase revenue and bond anticipation notes of states and certain other municipal issuers. Future developments in the financial markets, including possible changes in Federal tax law relating to the taxation of interest on municipal bonds, as well as future developments concerning the State and public discussion of such developments generally, may affect the market for outstanding State-supported and State-related debt. The TCJA adversely impacted the State and its public authorities by removing certain refunding opportunities for Federal tax-exempt financing, including advance refunding for debt service savings when interest rates are favorable.



Other Matters Affecting the Financial Plan

The major rating agencies, Fitch, Kroll, Moody's, and Standard & Poor's assign the State general credit ratings of AA+, AA+, Aa2, and AA+, respectively. On October 1, 2020, Moody's downgraded the State's credit rating from Aa1 to Aa2, noting the financial consequences to the State of the disproportionate impact of the coronavirus pandemic. On April 10, 2020, Fitch changed the State's credit outlook from "stable" to "negative," citing "the considerable economic and fiscal uncertainty faced by the state as it confronts the coronavirus pandemic."

On April 16, 2020, Standard & Poor's confirmed the State's stable outlook, noting the State's "strong track record of fiscal resilience during periods of crisis" but observing that "pressures on the state's finances will mount as a result of the COVID-19 induced recession and prudent actions taken to mitigate related health and safety risks."

The State, through its public authorities and general obligation issuances, is one of the largest issuers of municipal bonds in the United States. The State relies on regular bond sales to fund its capital program. In addition, in FY 2021, the State is authorized to sell short-term notes to meet temporary liquidity needs caused by the pandemic.

The State has no plans to use the MLF at this time, as it can borrow more cost effectively in the open market as of the date of this Quarterly Update. DOB will continue to monitor any further Federal Reserve actions or changes to the MLF program.

Debt Reform Act Limit

The Debt Reform Act of 2000 (“Debt Reform Act”) restricts the issuance of State-supported debt funding to capital purposes only and limits the maximum term of bonds to 30 years. The Act limits the amount of new State-supported debt to 4 percent of State personal income, and new State-supported debt service costs to 5 percent of All Funds receipts. The restrictions apply to new State-supported debt issued after April 1, 2000. DOB, as administrator of the Debt Reform Act, determined that the State was in compliance with the statutory caps in the most recent calculation period.

The statute requires that limitations on the amount of State-supported debt and debt service costs be calculated by October 31 of each year and reported in the Mid-Year Financial Plan. If the actual amount of new State-supported debt outstanding and debt service costs for the prior fiscal year are below the caps at that time, State-supported debt may continue to be issued. However, if either the debt outstanding or debt service caps are met or exceeded, the State would be precluded from issuing new State-supported debt until the next annual cap calculation is made and the debt is found to be within the applicable limitations.

For FY 2020, the cumulative debt outstanding and debt service caps are 4 and 5 percent, respectively. As shown in the following tables, actual levels of debt outstanding and debt service costs continue to remain below the statutory caps. From April 1, 2000 through March 31, 2020, the State has issued new debt resulting in \$49.4 billion of debt outstanding applicable to the debt reform cap. This is about \$6.4 billion below the statutory debt outstanding limitation. In addition, the debt service costs on this new debt totaled \$5.0 billion in FY 2020, or roughly \$3.8 billion below the statutory debt service limitation.

	DEBT OUTSTANDING CAP (millions of dollars)	
	Dollar	Percent
Personal Income (CY 2019) ¹	1,395,147	
Max. Allowable Debt Outstanding	55,806	4.00%
Debt Outstanding Subject to Cap	49,433	3.54%
Remaining Capacity	6,373	0.46%

¹ Bureau of Economic Analysis (BEA).

	DEBT SERVICE CAP (millions of dollars)	
	Dollar	Percent
All Funds Receipts (FY 2020)	177,435	
Max. Allowable Debt Service	8,872	5.00%
Debt Service Subject to Cap	4,991	2.81%
Remaining Capacity	3,881	2.19%

State legislation enacted in connection with the adoption of the Enacted Budget suspended the Debt Reform Act for FY 2021 issuances as part of the State response to the COVID-19 pandemic. Accordingly, any State-supported debt issued in FY 2021 is not limited to capital purposes and is not counted towards the statutory caps on debt outstanding and debt service. The suspension of the Debt Reform Act also includes up to \$8 billion of PIT notes and up to \$3.0 billion of line of credit facilities that were authorized by State legislation enacted in connection with the adoption of the Enacted Budget, as well as any short or long-term refinancing of such borrowings in future years.

Current projections anticipate that debt outstanding and debt service will continue to remain below limits imposed by the Debt Reform Act, due to the suspension of the debt cap during FY 2021. Based on the most recent personal income and debt outstanding forecasts, the available debt capacity under the debt outstanding cap is expected to fluctuate from \$6.4 billion in FY 2020 to a low point of \$4.8 billion in FY 2024. This calculation excludes all State-supported debt issuances in FY 2021 but includes the estimated impact of funding increased capital commitment levels with State bonds after FY 2021. The debt service on State-supported debt issued after April 1, 2000 and subject to the statutory cap is projected at \$5.1 billion in FY 2021, or roughly \$4.3 billion below the statutory debt service limit.

DEBT OUTSTANDING SUBJECT TO CAP (millions of dollars)								TOTAL STATE-SUPPORTED DEBT (millions of dollars)	
Year	Personal Income		Cap \$	Debt Outstanding	\$ Remaining	Debt as a	% Remaining	Debt Outstanding Excluded from Cap	Total State-Supported Debt Outstanding
	Cap %	Cap %		Included in Cap ¹	Capacity	% of PI	Capacity		
FY 2020	\$1,395,147	4.00%	55,806	49,433	6,373	3.54%	0.46%	4,774	54,207
FY 2021	\$1,454,674	4.00%	58,187	46,651	11,536	3.21%	0.79%	13,690	60,341
FY 2022	\$1,432,537	4.00%	57,301	51,068	6,233	3.56%	0.44%	12,974	64,042
FY 2023	\$1,499,311	4.00%	59,972	54,540	5,432	3.64%	0.36%	12,129	66,669
FY 2024	\$1,562,400	4.00%	62,496	57,666	4,830	3.69%	0.31%	11,399	69,065
FY 2025	\$1,626,902	4.00%	65,076	59,881	5,195	3.68%	0.32%	10,641	70,522

DEBT SERVICE SUBJECT TO CAP (millions of dollars)								TOTAL STATE-SUPPORTED DEBT SERVICE (millions of dollars)	
Year	All Funds Receipts		Cap \$	Debt Service	\$ Remaining	DS as a	% Remaining	Debt Service Excluded from Cap ²	Total State-Supported Debt Service ³
	Cap %	Cap %		Included in Cap ¹	Capacity	% of Revenue	Capacity		
FY 2020	\$177,435	5.00%	8,872	4,991	3,881	2.81%	2.19%	959	5,950
FY 2021	\$188,299	5.00%	9,415	5,116	4,299	2.72%	2.28%	5,702	10,818
FY 2022	\$171,372	5.00%	8,569	5,150	3,419	3.01%	1.99%	1,791	6,941
FY 2023	\$173,538	5.00%	8,677	5,659	3,018	3.26%	1.74%	1,725	7,384
FY 2024	\$178,553	5.00%	8,928	6,034	2,894	3.38%	1.62%	1,541	7,575
FY 2025	\$180,216	5.00%	9,011	6,456	2,555	3.58%	1.42%	1,241	7,697

¹ Does not include debt issued prior to April 1, 2000. In addition, debt issued during FY 2021 is not subject to caps pursuant to Chapter 56 of the Laws of 2020.

² Includes liquidity financings expected to be repaid within FY 2021, consisting of \$4.5 billion of short-term notes.

³ Total State-supported debt service is adjusted for prepayments.

The State uses personal income estimates published by the Federal government, specifically the Bureau of Economic Analysis (BEA), to calculate the cap on debt outstanding, as required by statute. The BEA revises these estimates on a quarterly basis and such revisions can be significant. The volatility in New York State personal income estimates has prompted DOB to reexamine the way BEA calculates personal income, in particular the apportionment of income among states. For Federal reporting purposes, BEA reassigns income from the state where it was earned to the state in which a person resides, for situations where a person lives and earns income in different states (the “residency adjustment”). The BEA residency adjustment has the effect of reducing reported New York State personal income because income earned in New York by nonresidents regularly exceeds income earned in other states by New York residents. The State taxes all personal income earned in New York, regardless of place of residency. Therefore, including the BEA personal income residency adjustment in the debt cap calculation reduces alignment with the State tax base and understates the PIT revenues available to support State-supported debt. To date, in administering the debt reform cap, DOB has used State personal income, as reduced by the BEA residency adjustment, in debt outstanding cap calculations and projections, which correspondingly reduces the State’s debt capacity under the Debt Reform Act.

Changes in the State's available debt capacity reflect personal income forecast adjustments, debt amortizations, and bond sale results. The debt capacity continues to reflect the suspension of the Debt Reform Act for FY 2021 issuances in response to the COVID-19 pandemic, as discussed previously. The State may adjust capital spending priorities and debt financing practices from time to time to preserve available debt capacity and stay within the statutory limits, as events warrant.

DEBT OUTSTANDING SUBJECT TO CAP ^{1,2}						
REMAINING CAPACITY SUMMARY						
(millions of dollars)						
	FY 2020	FY 2021	FY 2022	FY 2023	FY 2024	FY 2025
	Results	Updated	Projected	Projected	Projected	Projected
First Quarterly Update Financial Plan	6,143	9,671	4,126	3,100	2,425	2,747
Personal Income Forecast Adjustment	230	1,865	2,107	2,332	2,405	2,448
Mid-Year Update Financial Plan	6,373	11,536	6,233	5,432	4,830	5,195

¹ Does not include liquidity financings expected to be repaid within FY 2021, consisting of \$4.5 billion of short-term notes.

² Debt issued during FY 2021 is not subject to cap pursuant to Chapter 56 of the Laws of 2020.

Secured Hospital Program

Under the Secured Hospital Program, the State entered into service contracts to enable certain financially distressed not-for-profit hospitals to have tax-exempt debt issued on their behalf, to pay for upgrading their primary health care facilities. Revenues pledged to pay debt service on the bonds include hospital payments made under loan agreements between the Dormitory Authority of the State of New York (DASNY) and the hospitals, and certain reserve funds held by the applicable trustees for the bonds. In the event of revenue shortfalls to pay debt service on the Secured Hospital bonds, the service contracts obligate the State to pay debt service, subject to annual appropriations by the Legislature, on bonds issued by DASNY through the Secured Hospital Program. As of March 31, 2020, approximately \$135 million of bonds were outstanding under this program.

Three of the four remaining hospitals in the State's Secured Hospital Program are in poor financial condition. In relation to the Secured Hospital Program, the State's contingent contractual obligation was invoked to pay debt service for the first time in FY 2014. Since then the State has paid \$159 million for debt service costs. DASNY estimates that the State will pay debt service costs of approximately \$32 million in FY 2021, \$27 million in FY 2022, \$21 million in both FY 2023 and FY 2024, and \$13 million in FY 2025. These amounts are based on the actual experience to date of the participants in the program and would cover debt service costs for one hospital whose debt service obligation was discharged in bankruptcy, a second hospital which closed in 2010, and a third hospital that is currently delinquent in its payments. The State has estimated additional exposure of up to \$6 million annually, if all hospitals in the program failed to meet the terms of their agreements with DASNY, and if available reserve funds were depleted.

SUNY Downstate Hospital and the Long Island College Hospital (LICH)

In May 2011, the New York State Supreme Court issued an order that approved the transfer of real property and other assets of LICH to a New York State not-for-profit corporation (“Holdings”), the sole member of which is SUNY. Subsequent to such transfer, Holdings leased the LICH hospital facility to SUNY University Hospital at Brooklyn. In 2012, DASNY issued tax exempt State PIT Revenue Bonds (“PIT Bonds”), to refund approximately \$120 million in outstanding debt originally incurred by LICH and assumed by Holdings.

Pursuant to a court-approved settlement in 2014, SUNY, together with Holdings, issued a request for proposals (RFP) seeking a qualified party to provide or arrange to provide health care services at LICH and to purchase the LICH property.

In accordance with the settlement, Holdings has entered into a purchase and sale agreement with (a) the Fortis Property Group (FPG) Cobble Hill Acquisitions, LLC (the “Purchaser”), an affiliate of Fortis Property Group, LLC (“Fortis”) (also party to the agreement), which proposes to purchase the LICH property, and (b) New York University (NYU) Hospitals Center (now “NYU Langone”), which proposes to provide both interim and long-term health care services. The Fortis affiliate plans to develop a mixed-use project. The agreement was approved by the Offices of the Attorney General and the State Comptroller, and the sale of all or substantially all of the assets of Holdings was approved by the State Supreme Court in Kings County. The initial closing was held as of September 1, 2015, and on September 3, 2015 sale proceeds of approximately \$120 million were transferred to the trustee for the PIT Bonds, which were paid and legally defeased from such proceeds. Titles to 17 of the 20 properties were conveyed to the special purpose entities formed by the Purchaser to hold title.

The second closing occurred on March 13, 2020 (the New Medical Site (NMS) Closing) and title to the NMS portion of the LICH property was conveyed to NYU Langone.

The final closing is anticipated to occur within 36 months after the NMS Closing. At the final closing, titles to the two remaining portions of the LICH properties will be conveyed to special purpose entities of Fortis, and Holdings will receive the balance of the purchase price, \$120 million less the remaining down payment. The third and final closing is conditioned upon completion of the New Medical Building by NYU Hospitals Center, and relocation of the emergency department to the New Medical Building.

There can be no assurance that the resolution of legal, financial, and regulatory issues surrounding LICH, including the payment of outstanding liabilities, will not have a materially adverse impact on SUNY.



State Financial Plan Multi-Year Projections

Introduction

This section presents FY 2020 results and the State's multi-year Financial Plan projections for receipts and disbursements, reflecting the impact of forecast revisions in FY 2021 through FY 2024, with an emphasis on FY 2021 projections, which reflect the impact of the Financial Plan.

The State's cash-basis budgeting system, complex fund structure, and practice of earmarking certain tax receipts for specific purposes complicate the discussion of the State's receipts and disbursements projections. Therefore, to minimize the distortions caused by these factors and, equally important, to highlight relevant aspects of the projections, DOB has adopted the following approaches in summarizing the projections:

Receipts. The detailed discussion of tax receipts covers projections for both the General Fund and State Funds (including capital projects). The State Funds perspective reflects estimated tax receipts before distribution to various funds and accounts, including tax receipts dedicated to Capital Projects Funds (which fall outside the General Fund and State Operating Funds accounting perspectives). DOB believes this presentation provides a clearer picture of projected receipts, trends, and forecast assumptions, by factoring out the distorting effects of earmarking tax receipts for specific purposes.

Disbursements. Roughly 30 percent of projected State-financed spending for operating purposes (excluding transfers) is accounted for outside the General Fund, concentrated primarily in the areas of health care, School Aid, higher education, and transportation. To provide a clear picture of spending commitments, the multi-year projections and growth rates are presented, where appropriate, on both a General Fund and State Operating Funds basis.

In evaluating the State's multi-year operating forecast, it should be noted that the reliability of the estimates and projections as a predictor of the State's future financial position is likely to diminish, the further removed such estimates and projections are from the date of the Financial Plan. Accordingly, in terms of outyear projections, the first "outyear," FY 2022, is the most relevant from a planning perspective. In addition, the reliability of all projections is further complicated by the impacts of the COVID-19 pandemic, given the uncertainty as to its duration and the pace of a sustained recovery.

Differences may occur from time to time between DOB and OSC's financial reports in presentation and reporting of receipts and disbursements. For example, DOB may reflect a net expenditure while OSC may report the gross expenditure. Any such differences in reporting between DOB and OSC could result in differences in the presentation and reporting of receipts and disbursements for discrete funds, as well as differences in the presentation and reporting for total receipts and disbursements under different fund perspectives (e.g., State Operating Funds and All Governmental Funds).

State Financial Plan Multi-Year Projections



The following tables present the Financial Plan multi-year projections for the General Fund and State Operating Funds, as well as reconciliation between State Operating Funds projections and General Fund budget gaps. The Updated Financial Plan continues to assume that all direct COVID-19 pandemic costs incurred by agencies will be fully covered with Federal aid, and thus not included in the following tables. Such costs may include, but are not limited to, a wide range of pandemic control activities that could be needed to address a potential increase in COVID-19 cases and the safe, timely distribution of vaccines, if such vaccines become available. The tables are followed by a summary of multi-year receipts and disbursements forecasts.



State Financial Plan Multi-Year Projections

General Fund Projections

GENERAL FUND PROJECTIONS (millions of dollars)					
	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
RECEIPTS					
Taxes (After Debt Service)	73,133	59,514	63,632	67,367	71,232
Miscellaneous Receipts	3,159	6,896	1,753	1,776	1,814
Other Transfers	2,915	2,760	2,100	1,717	1,564
Total Receipts	79,207	69,170	67,485	70,860	74,610
DISBURSEMENTS					
Local Assistance	51,863	46,212	49,954	52,638	55,564
School Aid	23,522	24,054	24,336	25,262	26,094
Medicaid	16,071	14,471	18,268	19,257	20,168
All Other	12,270	15,687	15,350	16,119	17,302
Budget Balance Reduction	0	(8,000)	(8,000)	(8,000)	(8,000)
State Operations	12,054	11,220	12,147	12,326	12,591
Personal Service	8,940	8,923	9,192	9,299	9,411
Non-Personal Service	3,114	2,297	2,955	3,027	3,180
General State Charges	7,454	6,999	8,833	9,334	9,761
Transfers to Other Funds	6,098	6,446	6,783	7,052	6,458
Debt Service	736	308	471	489	545
Capital Projects	3,128	3,334	3,715	3,895	3,139
SUNY Operations	1,179	1,273	1,273	1,267	1,267
All Other	1,055	1,531	1,324	1,401	1,507
Total Disbursements	77,469	70,877	77,717	81,350	84,374
Use (Reservation) of Fund Balance:	(1,738)	1,707	1,507	747	345
Community Projects	4	16	0	0	0
Labor Agreements	206	0	0	0	0
Business Tax Refund	202	0	0	0	0
Timing of Payments	(1,313)	1,313	0	0	0
Undesignated Fund Balance	(1,103)	553	548	0	0
Rainy Day Reserves	(428)	0	0	0	0
Economic Uncertainties	(890)	(600)	0	0	0
Extraordinary Monetary Settlements ¹	1,584	425	959	747	345
BUDGET SURPLUS/(GAP) PROJECTIONS	0	0	(8,725)	(9,743)	(9,419)

¹ Reflects transfers of Extraordinary Monetary Settlement funds from the General Fund to the Dedicated Infrastructure Investment Fund, the Environmental Protection Fund, and the Capital Projects Fund.

State Operating Funds Projections

STATE OPERATING FUNDS DISBURSEMENTS (millions of dollars)					
	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
RECEIPTS					
Taxes	81,472	73,240	73,997	78,039	81,873
Miscellaneous Receipts/Federal Grants	22,761	22,480	17,846	17,311	16,957
Total Receipts	104,233	95,720	91,843	95,350	98,830
DISBURSEMENTS					
Local Assistance	68,653	60,913	65,235	67,590	70,340
School Aid (School Year Basis) ¹	27,812	26,780	27,918	28,911	29,854
DOH Medicaid ²	22,077	20,341	24,281	25,368	26,361
Transportation	3,488	3,792	3,769	3,971	4,161
STAR	2,184	2,073	1,979	1,858	1,750
Higher Education	2,362	3,514	2,920	2,957	2,987
Social Services	2,355	3,250	2,893	3,006	3,043
Mental Hygiene	3,427	3,230	3,855	3,783	4,325
All Other ³	4,948	5,933	5,620	5,736	5,859
Budget Balance Reduction	0	(8,000)	(8,000)	(8,000)	(8,000)
State Operations	20,168	18,576	19,411	19,524	19,663
Personal Service	14,090	13,893	13,995	14,085	14,143
Non-Personal Service	6,078	4,683	5,416	5,439	5,520
General State Charges	8,423	8,065	9,909	10,437	10,872
Pension Contribution	2,456	2,552	2,884	2,989	3,306
Health Insurance	4,303	4,473	4,761	5,113	5,493
All Other	1,664	1,040	2,264	2,335	2,073
Debt Service	4,916	5,854	6,941	7,384	7,575
Capital Projects	0	0	0	0	0
Total Disbursements (Excluding Liquidity Financing)	102,160	93,408	101,496	104,935	108,450
Liquidity Financing	0	4,500	0	0	0
Total Disbursements (Including Liquidity Financing)	102,160	97,908	101,496	104,935	108,450
Net Other Financing Sources/(Uses)	(28)	(8)	(599)	(982)	(180)
RECONCILIATION TO GENERAL FUND GAP					
Designated Fund Balances:	(2,045)	2,196	1,527	824	381
General Fund	(1,738)	1,707	1,507	747	345
Special Revenue Funds	(309)	484	24	81	56
Debt Service Funds	2	5	(4)	(4)	(20)
GENERAL FUND BUDGET SURPLUS/(GAP)	0	0	(8,725)	(9,743)	(9,419)

¹ FY 2021 does not reflect \$1.1 billion in Federal CARES Act funding.

² Total State share Medicaid funding is reported prior to the spending offset from the application of Master Settlement Agreement (MSA) payments, which are deposited directly to a Medicaid Escrow Fund to cover a portion of the State's takeover of Medicaid costs for counties and New York City. The value of the offset is reported in "All Other" local assistance disbursements. Spending is offset by the benefit of enhanced FMAP for 6.2 percent for 12 months.

³ All Other includes education, parks, environment, economic development, and public safety, as well as the MSA payment offset, and a reconciliation between school year and State fiscal year spending on School Aid.

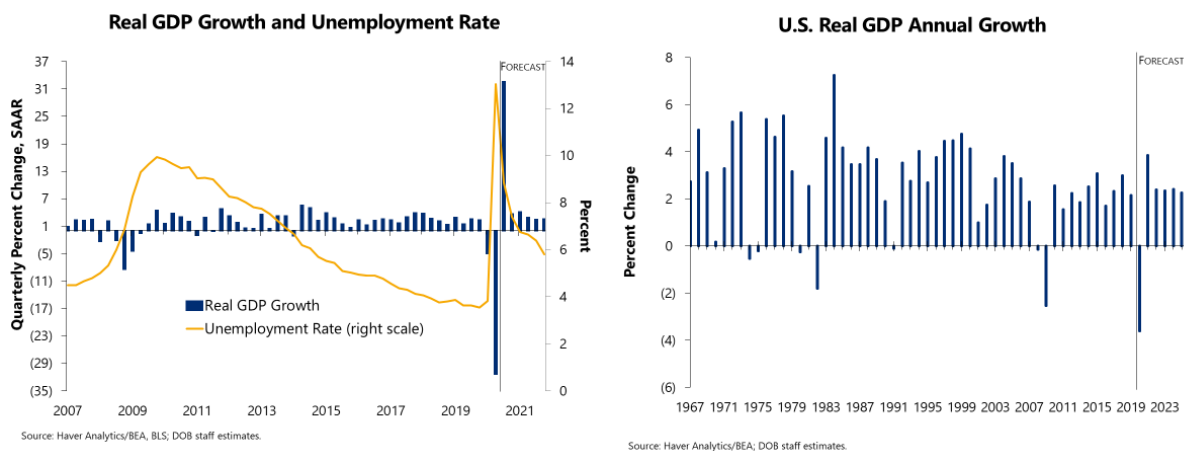
Economic Backdrop

The U.S. and Global Economy

Worldwide lockdowns to prevent the spread of COVID-19 sent most major economies into an economic downturn in the first half of 2020. During May and June, many advanced economies started to reopen from their lockdowns, and the global economy began its economic recovery trajectory. The International Monetary Fund (IMF), in its latest World Economic Outlook report (October 2020), revised up its projections of global economic growth for calendar year 2020 compared to its June 2020 outlook.¹³ However, the IMF’s October 2020 global economic growth projections for 2021 are slightly weaker than its June 2020 forecast.

The U.S. Economic Forecast¹⁴

BEA reported that real Gross Domestic Product (GDP) plunged at a 31.4 percent rate during the second quarter of 2020, after slipping 5.0 percent in the first quarter. The second quarter decline marked the strongest quarterly contraction in the 73 years since the government began reporting quarterly GDP figures. The previous record was a 10 percent decline during the first quarter of 1958.

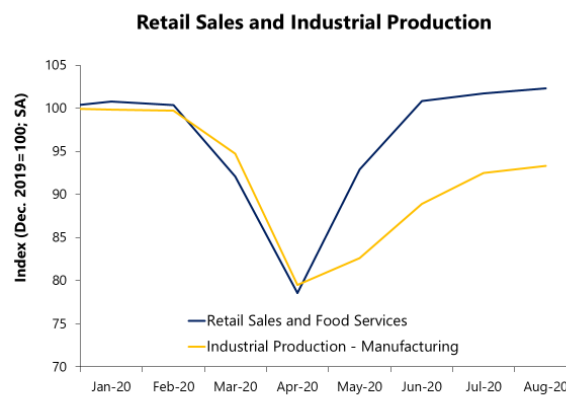


The National Bureau of Economic Research (NBER) Business Cycle Dating Committee designated February 2020 as a business cycle peak. DOB expects that the Committee will ultimately declare that a business cycle trough occurred during the second quarter of 2020. Although the 2020 recession may have been short in length (first and second quarters of 2020), the decline in economic activity has been extraordinary in terms of the depth and the pace of the economic

¹³ <https://www.imf.org/en/Publications/WEO/Issues/2020/09/30/world-economic-outlook-october-2020>

¹⁴ DOB’s U.S. Macro forecast incorporates the third estimate of 2020 second quarter GDP report released on September 30, 2020; August 2020 BEA personal income and outlays report released on October 1, 2020; September 2020 employment report released on October 2, 2020; and August 2020 CPI report released on September 11, 2020.

contraction. In addition, the economic contraction initiated by the COVID-19 pandemic has been very different than past recessions. In previous U.S. recessions, the services and trade sectors tended to suffer less of a contraction than manufacturing industries. During the initial months of the COVID-19 pandemic, the public policy response implemented to slow the transmission of COVID-19 led to sectors more reliant on face-to-face interactions such as retail trade, travel, restaurants, hospitality, arts, and entertainment witnessing a stronger contraction than most manufacturing sectors. However, retail sales have recovered and surpassed pre-pandemic peaks, while manufacturing is still significantly below December 2019 levels. Monthly data show that the economy started to recover in May and June, especially equity prices, employment, and consumer spending.



Source: Haver Analytics/Census Bureau, Federal Reserve Board.

DOB expects that U.S. real GDP will surge 32.6 percent in the third quarter and grow 3.7 percent in the fourth quarter of 2020. The peak-to-trough decline (fourth quarter of 2019 to second quarter of 2020) in real GDP is 10.1 percent. Real GDP for 2020 is estimated to decline 3.6 percent, 1.9 percentage points less severe than the First Quarterly Update forecast. As the economy recovers, real GDP growth is expected to remain elevated in the first quarter of 2021 before slowing to 2.6 percent growth by the fourth quarter of 2021. The projection of 2021 real GDP growth is 3.9 percent, 1.2 percentage points weaker than the First Quarterly Update forecast of 5.1 percent. In terms of levels, however, real GDP is expected to surpass its recent peak, achieved in the fourth quarter of 2019, in the fourth quarter of 2021.

The labor market has been recovering since May. The employment report released on October 2, 2020, indicated that while 22.2 million jobs were lost in March and April, 11.4 million jobs were added from May through September. In September, 661,000 jobs were created, while the unemployment rate declined 0.5 percentage point to 7.9 percent. Employment growth has been decelerating since July. Currently, the level of payroll employment is approximately 10.7 million below its February peak. The September unemployment rate is 6.8 percentage points below its April peak of 14.7 percent. DOB expects national employment to gradually improve over the last quarter of 2020. Nonfarm payrolls are expected to decline 5.6 percent in 2020 before a 3.4 percent gain in 2021. The annual average unemployment rate is expected to peak at 8.3 percent for 2020 before dropping to 6.4 percent for 2021.

Equity prices have moved significantly ahead of the economy. The S&P 500 stock price index has rebounded sharply since the end of March and surpassed its all-time highs by the end of August. The index retreated around 10 percent in September but returned to above 3,500 in early October. The S&P 500 index is projected to increase 8.3 percent in 2020, up 3.5 percentage points from the First Quarterly Update forecast.

U.S. ECONOMIC INDICATORS (Calendar Year Growth)			
	CY 2019	CY 2020	CY 2021
	Actual	Forecast	Forecast
Real U.S. Gross Domestic Product	2.2	-3.6	3.9
Consumer Price Index (CPI)	1.8	1.2	2.1
Personal Income	3.9	5.9	0.1
Nonfarm Employment	1.4	-5.6	3.4
Civilian Unemployment Rate	3.7	8.3	6.4

Source: Haver Analytics; DOB staff estimates.

Oil prices have gradually recovered from their April lows of less than \$20 per barrel as global growth rebounded and OPEC+ countries succeeded in restricting production, but remain well below the early-2020 level of around \$60 per barrel.¹⁵ As aggregate demand rebounded in the third quarter of 2020, consumer prices (especially goods prices) surged in July and August. However, the September CPI report shows that the previous upward pressure on goods prices is starting to fade while subdued demand is still weighing on inflation across the services sector. Therefore, there is little concern about core inflation rising sustainably above the Federal Reserve’s 2 percent target. DOB’s CPI inflation outlook is revised up to 1.2 percent for 2020 and 2.1 percent for 2021.

DOB continues to expect monetary policy to be supportive of the recovery. The Federal Reserve is expected to keep its Federal funds rate target around zero for the next four years and to continue to use its full range of tools to support the U.S. economy and ensure the normal functioning of the financial markets. Fiscal stimulus, on the other hand, is likely to be scaled back. According to the BEA’s personal income and outlays report released on October 1, 2020, Federal pandemic response programs boosted personal income by \$774 billion from April to August. In September, an additional \$42 billion in funding, diverted from FEMA to replace lost wages under President Trump’s Executive Order of August 8, was disbursed. However, after the middle two quarters of 2020, direct fiscal support to personal income, and therefore consumer spending, will fade rapidly without additional stimulus.

¹⁵ OPEC+ member countries are the Organization of Petroleum Exporting Countries (OPEC) plus other key oil producers like Azerbaijan, Bahrain, Brunei, Kazakhstan, Malaysia, Mexico, Oman, Russia, South Sudan and Sudan.

As states have begun lifting restrictions on business and social gatherings, recent data on mobility, credit card spending, restaurant dining, and other consumer activities, all suggest an earlier and stronger than anticipated rebound. DOB estimates consumer spending to recover at a stronger pace in the third quarter of 2020 than was expected in July, but its recovery may have shifted into a lower gear coming into the fourth quarter. As construction activities resume, housing permits, pending home sales, and mortgage applications also climbed quickly in the third quarter of 2020. In addition, record-low interest rates may have played an important role in boosting real residential investment to a very robust pace. Real nonresidential fixed investment growth had been declining since the fourth quarter of 2019 due to trade policy uncertainty, slowing global growth, Boeing 737 Max aircraft production delays, and a global manufacturing downturn. With factory closures and production slowdowns, real nonresidential fixed investment growth plunged in the second quarter of 2020, but not as much as the declines in consumer spending. Its recovery is thus not expected to be as fast as that of consumer spending. Real exports and imports, having shrunk considerably during the first half of 2020, are expected to recover strongly as soon as domestic demand and foreign growth start to rise.

Risks

Unsuccessful stimulus negotiations between the White House and Congress are contributing to increased market volatility, as is the continuing coronavirus pandemic. The recent resurgence of COVID-19 cases, especially with winter approaching, threatens the economic recovery and adds more uncertainties to financial markets. Slower-than-expected re-openings, further shutdowns due to a second surge, or unexpected layoffs, could pose significant downside risks to DOB's employment and personal income outlook. Upside risks, such as faster-than-expected containment of the virus, development of a safe and effective vaccine that is widely available and trusted by the public, a stimulus package, and more robust national and global growth, each could result in higher employment and output growth.

The New York State Economy¹⁶

New York State, and especially New York City, have been hit hard by the COVID-19 pandemic. However, lower case numbers and continued re-opening of nonessential businesses point to a steady but slow recovery. Between May and August 2020, according to the August State Current Employment Statistics (CES), New York State recovered 35 percent of the jobs lost during March and April. Nonetheless, the seasonally adjusted level of employment in August was 12.8 percent lower than its February 2020 level. These employment figures were worse than expected in the First Quarterly Update forecast. Private sector employment is now expected to decline 9.7 percent in 2020, 1.2 percentage points lower than in the First Quarterly Update forecast. Although private sector employment growth is revised up for 2021, to 5.1 percent growth, a full recovery to pre-COVID employment levels is expected to take several years.

NEW YORK STATE ECONOMIC INDICATORS (State Fiscal Year Growth)			
	FY 2020	FY 2021	FY 2022
	Actual	Estimated	Forecast
Personal Income*	4.2	2.3	0.6
Wages	4.6	-5.4	7.3
Nonfarm Employment	1.0	-10.1	6.7

Source: Moody's Analytics; New York State Department of Labor; DOB staff estimates.
* Personal income is constructed by using QCEW wages and BEA non-wage income.

The impact on wages has been relatively smaller since approximately 75 percent of the job losses occurred in relatively low-wage sectors such as leisure and hospitality, retail trade, transportation and warehousing, education, healthcare and social assistance, administrative and support services, and other services. Stock market performance was better than expected, as the average monthly S&P 500 index increased 27 percent between March and September 2020, surpassing its pre-COVID levels. The better-than-expected equity market's performance led us to reduce the decline in finance and insurance sector bonuses from 28.0 percent to 21.1 percent in FY 2021. The improved total bonuses forecast, coupled with higher than expected PIT withholdings, justifies an upward revision to total wage growth in FY 2021 to a 5.4 percent decline from a 6.3 percent decline in the First Quarterly Update forecast. Total wages are projected to increase 7.3 percent in FY 2022 as economic and financial conditions improve.

¹⁶ DOB's New York State economic forecast incorporates the 2020 second quarter BEA State personal income report released on September 24, 2020.



State property income and proprietor's income are projected to decline 2.7 percent and 11.9 percent, respectively, in FY 2021. State transfer income, aided by the CARES Act that provided additional unemployment insurance benefits and stimulus checks, is projected to increase 39.4 percent in FY 2021, revised up from 25.6 percent in the First Quarterly Update forecast. On balance, State personal income is revised up by 3.6 percentage points from the First Quarterly Update forecast to an increase of 2.3 percent in FY 2021, followed by projected growth of 0.6 percent in FY 2022.

All the risks to the U.S. forecast apply to the State forecast as well. As the nation's financial capital, both the volume of financial market activity and volatility in equity markets pose a significant degree of risk for the New York State economy. Although the State was successful in curbing the number of cases after it became an early center of the COVID-19 outbreak in the U.S., the resurgence of the virus could threaten the State's economic recovery.



Receipts

Financial Plan receipts results and projections include a variety of taxes, fees and assessments, charges for State-provided services, Federal grants, and other miscellaneous receipts. Multiyear receipts estimates are prepared by DOB with the assistance of DTF and other agencies which collect State receipts and are premised on economic analysis and forecasts.

Overall base growth (i.e., growth not due to law changes) in tax receipts is dependent on many factors. In general, base tax receipts growth rates are determined by economic changes including, but not limited to, changes in interest rates, prices, wages, employment, nonwage income, capital gains realizations, taxable consumption, corporate profits, household net worth, real estate prices and gasoline prices. Federal law changes can influence taxpayer behavior, which often alters base tax receipts. State taxes account for approximately half of total All Funds receipts.

Projections of Federal receipts generally correspond to the anticipated spending levels of a variety of programs including Medicaid, public assistance, mental hygiene, education, public health, and other activities.

Where noted, certain tables in the following section display General Fund tax receipts that exclude amounts transferred to the General Fund in excess of amounts needed for certain debt service obligations (e.g., PIT receipts in excess of the amount transferred for debt service on revenue bonds).

Overview of the Receipts Forecast

All Funds receipts in FY 2021 are projected to total \$188.3 billion, 6.1 percent, (\$10.9 billion) increase from FY 2020 results. FY 2021 State tax receipts are projected to decrease \$8.4 billion (10.2 percent) from prior year results.

ALL FUNDS RECEIPTS (millions of dollars)									
	FY 2020 Results	FY 2021 Updated	Change	FY 2022 Projected	Change	FY 2023 Projected	Change	FY 2024 Projected	Change
Personal Income Tax	53,660	49,046	-8.6%	47,975	-2.2%	50,732	5.7%	53,862	6.2%
Consumption/Use Taxes	18,021	14,404	-20.1%	16,439	14.1%	17,090	4.0%	17,496	2.4%
Business Taxes	8,996	8,945	-0.6%	8,874	-0.8%	9,403	6.0%	9,592	2.0%
Other Taxes	2,212	2,066	-6.6%	2,054	-0.6%	2,161	5.2%	2,266	4.9%
Total State Taxes	82,889	74,461	-10.2%	75,342	1.2%	79,386	5.4%	83,216	4.8%
Miscellaneous Receipts	29,466	30,784	4.5%	25,461	-17.3%	24,160	-5.1%	23,753	-1.7%
Federal Receipts	65,080	83,058	27.6%	70,567	-15.0%	69,991	-0.8%	71,586	2.3%
Total All Funds Receipts	177,435	188,303	6.1%	171,370	-9.0%	173,537	1.3%	178,555	2.9%

The COVID-19 pandemic is projected to continue to have a significant negative impact on tax receipts. The Enacted Budget Financial Plan anticipated reductions to FY 2021 All Funds tax receipts of over \$12 billion. These estimates were adjusted further, consistent with the economic analysis outlined in the First Quarterly Update. Total tax receipts reductions from the Executive Budget Financial Plan reach over \$13 billion for FY 2021 estimates.

- Personal income taxes are reduced significantly in FY 2021 with an estimated loss of nearly \$8 billion and a projected \$11 billion annual decline across the financial plan period over the Executive Budget forecasts.
- Consumption/Use taxes and fees are reduced by over \$4 billion with the majority of the decline in sales and use taxes.
- Business taxes are reduced by nearly \$1 billion in FY 2021 with the largest portion of the decline in corporate franchise taxes.
- Other taxes are reduced by \$274 million in FY 2021 and over \$370 million in the outyears.

Further analysis of each tax component by fiscal year is below.



State Financial Plan Multi-Year Projections

Personal Income Tax

PERSONAL INCOME TAX (millions of dollars)									
	FY 2020 Results	FY 2021 Updated	Change	FY 2022 Projected	Change	FY 2023 Projected	Change	FY 2024 Projected	Change
STATE/ALL FUNDS	53,660	49,046	-8.6%	47,975	-2.2%	50,732	5.7%	53,862	6.2%
Gross Collections	64,985	59,640	-8.2%	60,084	0.7%	63,330	5.4%	66,921	5.7%
Refunds (Incl. State/City Offset)	(11,325)	(10,594)	6.5%	(12,109)	-14.3%	(12,598)	-4.0%	(13,059)	-3.7%
GENERAL FUND¹	24,646	22,450	-9.8%	22,008	-2.0%	23,508	6.8%	25,181	7.1%
Gross Collections	64,985	59,640	-8.2%	60,084	0.7%	63,330	5.4%	66,921	5.7%
Refunds (Incl. State/City Offset)	(11,325)	(10,594)	6.5%	(12,109)	-14.3%	(12,598)	-4.0%	(13,059)	-3.7%
STAR	(2,184)	(2,073)	5.1%	(1,979)	4.5%	(1,858)	6.1%	(1,750)	5.8%
RBTF	(26,830)	(24,523)	8.6%	(23,988)	2.2%	(25,366)	-5.7%	(26,931)	-6.2%

¹Excludes Transfers.

All Funds PIT receipts for FY 2021 are estimated to decrease significantly, primarily reflecting steep declines in withholding and total estimated payments, partially offset by a decrease in total refunds.

State Financial Plan Multi-Year Projections



The following table summarizes, by component, actual receipts for FY 2020 and forecast amounts through FY 2024.

ALL FUNDS PERSONAL INCOME TAX FISCAL YEAR COLLECTION COMPONENTS					
(millions of dollars)					
	FY 2020	FY 2021	FY 2022	FY 2023	FY 2024
	Results	Updated	Projected	Projected	Projected
Receipts					
Withholding	43,118	39,752	42,570	44,344	46,269
Estimated Payments	17,025	14,669	12,951	14,091	15,438
Current Year	10,996	9,129	9,683	10,576	11,254
Prior Year ¹	6,029	5,540	3,268	3,515	4,184
Final Returns	3,454	3,608	2,882	3,164	3,431
Current Year	340	316	331	346	367
Prior Year ¹	3,114	3,292	2,551	2,818	3,064
Delinquent	<u>1,388</u>	<u>1,611</u>	<u>1,681</u>	<u>1,731</u>	<u>1,783</u>
Gross Receipts	64,985	59,640	60,084	63,330	66,921
Refunds					
Prior Year ¹	5,928	6,267	7,475	7,645	7,793
Previous Years	531	638	669	700	732
Current Year ¹	2,244	1,751	1,750	1,750	1,750
Advanced Credit Payment	1,505	664	816	979	1,135
State/City Offset ¹	<u>1,117</u>	<u>1,274</u>	<u>1,399</u>	<u>1,524</u>	<u>1,649</u>
Total Refunds	11,325	10,594	12,109	12,598	13,059
Net Receipts	53,660	49,046	47,975	50,732	53,862
¹ These components, collectively, are known as the "settlement" on the prior year's tax liability.					

FY 2021 withholding is estimated to be markedly lower than FY 2020 results, driven by extraordinary declines in both bonus and non-bonus wages. Extension payments related to Tax Year 2019 are projected to decrease due to improved extension payment accuracy. The three-month pandemic-related filing deadline delay is expected to result in less-than-usual extension overpayment since taxpayers had additional time to estimate their tax liabilities. Estimated payments attributable to Tax Year 2020 are expected to substantially decrease, driven by steep declines in capital gains and business income. FY 2021 final return payments and delinquencies are both expected to increase.



State Financial Plan Multi-Year Projections

The decrease in total refunds reflects a steep decrease in advanced credit payments attributable to Tax Year 2020, coupled with a decline in the administrative January-March refund cap. These decreases are partially offset by increases in prior-year refunds related to Tax Year 2019, refunds related to tax years prior to 2019, and the State-City offset. The large decline in advanced credit payments attributable to Tax Year 2020 reflects the expiration of the Property Tax Relief Credit. General Fund PIT receipts are net of deposits to the STAR Fund, which provides property tax relief, and the RBTF, which supports debt service payments on State PIT revenue bonds. The FY 2021 STAR transfer is expected to decline. PIT RBTF receipts are statutorily set to 50 percent of net PIT receipts, and FY 2021 RBTF receipts therefore reflect the decrease in All Funds receipts noted above. FY 2021 General Fund PIT is expected to decrease due to these changes.

All Funds FY 2022 receipts are projected to decrease, reflecting sharp declines in Tax Year 2020 extension payments and final returns, coupled with growth in total refunds. These changes are primarily driven by exceptionally weak Tax Year 2020 capital gains and business income. Revenue declines are partially offset by increases in withholding, Tax Year 2020 current estimated payments, and delinquencies. The FY 2022 STAR transfer is expected to decline. The FY 2022 RBTF is projected to decrease based on the decrease in FY 2022 All Funds receipts. General Fund PIT receipts for FY 2022 are also expected to decrease, driven by the aforementioned changes to All Funds receipts, the STAR transfer, and RBTF receipts.

All Funds PIT receipts for FY 2023 are projected to increase from FY 2022 projections as the State economy recovers. Gross PIT receipts are projected to increase as well, reflecting projected increases in withholding and total estimated payments, partially offset by a projected increase in total refunds.

General Fund PIT receipts for FY 2023 are expected to increase, reflecting an increase in All Funds PIT receipts coupled with a decrease in the STAR transfer, partially offset by an increase in RBTF receipts.

All Funds PIT receipts and General Fund PIT receipts are both expected to increase in FY 2024 reflecting normal baseline growth in income and associated tax liability.

Consumption/Use Taxes

CONSUMPTION/USE TAXES									
(millions of dollars)									
	FY 2020	FY 2021		FY 2022		FY 2023		FY 2024	
	Results	Updated	Change	Projected	Change	Projected	Change	Projected	Change
STATE/ALL FUNDS	18,021	14,404	-20.1%	16,439	14.1%	17,090	4.0%	17,496	2.4%
Sales Tax	15,932	12,482	-21.7%	14,456	15.8%	15,139	4.7%	15,581	2.9%
Cigarette and Tobacco Taxes	1,035	971	-6.2%	946	-2.6%	904	-4.4%	864	-4.4%
Vapor Excise Tax	10	14	40.0%	6	-57.1%	6	0.0%	6	0.0%
Motor Fuel Tax	512	447	-12.7%	509	13.9%	507	-0.4%	502	-1.0%
Highway Use Tax	142	131	-7.7%	139	6.1%	138	-0.7%	140	1.4%
Alcoholic Beverage Taxes	259	266	2.7%	264	-0.8%	266	0.8%	269	1.1%
Opioid Excise Tax	19	34	78.9%	34	0.0%	34	0.0%	34	0.0%
Medical Cannabis Excise Tax	6	7	16.7%	7	0.0%	7	0.0%	7	0.0%
Auto Rental Tax ¹	106	52	-50.9%	78	50.0%	89	14.1%	93	4.5%
GENERAL FUND²	8,038	6,446	-19.8%	7,380	14.5%	7,691	4.2%	7,890	2.6%
Sales Tax	7,447	5,850	-21.4%	6,777	15.8%	7,096	4.7%	7,302	2.9%
Cigarette and Tobacco Taxes	313	296	-5.4%	305	3.0%	295	-3.3%	285	-3.4%
Alcoholic Beverage Taxes	259	266	2.7%	264	-0.8%	266	0.8%	269	1.1%
Opioid Excise Tax	19	34	78.9%	34	0.0%	34	0.0%	34	0.0%

¹No longer includes receipts remitted directly to the MTA without an appropriation beginning in FY 2020.

²Excludes Transfers.

All Funds consumption/use tax receipts for FY 2021 are estimated to decrease significantly from FY 2020 results due to the impacts of the COVID-19 pandemic. Sales tax receipts are estimated to decrease due to a significant decline in taxable consumption (i.e., estimated sales tax base decline of 23.1 percent). The excise taxes on opioids and vapor products are both fully implemented in FY 2021. Vapor products tax receipts are projected to moderately increase from FY 2020 results despite legislation in the Enacted Budget to ban all flavored vapor products other than tobacco flavored products. Cigarette and tobacco tax collections are projected to decrease, reflecting a continued decline in taxable cigarette consumption. Highway use tax (HUT) collections are estimated to decrease, reflecting a decline in demand from the trucking sector related to the economic slowdown and limited travel activities. Motor fuel tax receipts are estimated to decrease due to declines in both gasoline and diesel consumption. Auto rental tax receipts are estimated to decrease, mainly due to the significant and ongoing negative impact of the COVID-19 pandemic on the travel industry.

A portion of sales tax receipts is initially deposited to the Local Government Assistance Tax Fund (25 percent), and the Sales Tax Revenue Bond Fund (25 percent), which support debt service payments on bonds issued under LGAC and State Sales Tax Revenue Bond programs, respectively. Receipts in excess of the debt service requirements of these funds and the local assistance payments to New York City, or its assignee, are subsequently transferred to the General Fund.



State Financial Plan Multi-Year Projections

General Fund consumption/use tax receipts for FY 2021 are estimated to decrease, largely due to the SUT trends noted above.

All Funds consumption/use tax receipts for FY 2022 are projected to increase by slightly more than \$2 billion from FY 2021 estimates. The increase in sales tax receipts reflects a rebound in taxable consumption with projected base growth of 16.0 percent. The excise tax on opioids is projected to remain flat. Motor fuel tax, auto rental tax, and HUT receipts are all estimated to increase from FY 2021 estimates as the economy and travel activity are expected to improve compared to the prior year. These increases are partially offset by a continued decline in taxable cigarette consumption.

FY 2022 General Fund consumption/use tax receipts are projected to increase, mainly due to the SUT trend noted above.

FY 2023 and FY 2024 All Funds consumption/use tax receipts are projected to increase compared to the prior year, largely reflecting growth in the sales tax base, which is slightly offset by a continued decline in taxable cigarette consumption. Similarly, General Fund consumption/use tax receipts are projected to increase in both FY 2023 and FY 2024 primarily due to the All Funds SUT and cigarette tax trends noted above.

Business Taxes

BUSINESS TAXES (millions of dollars)									
	FY 2020	FY 2021		FY 2022		FY 2023		FY 2024	
	Results	Updated	Change	Projected	Change	Projected	Change	Projected	Change
STATE/ALL FUNDS	8,996	8,945	-0.6%	8,874	-0.8%	9,403	6.0%	9,592	2.0%
Corporate Franchise Tax	4,824	4,868	0.9%	4,883	0.3%	5,345	9.5%	5,476	2.5%
Corporation and Utilities Tax	705	640	-9.2%	637	-0.5%	654	2.7%	659	0.8%
Insurance Tax	2,306	2,165	-6.1%	2,269	4.8%	2,327	2.6%	2,389	2.7%
Bank Tax	0	270	0.0%	0	-100.0%	0	0.0%	0	0.0%
Petroleum Business Tax	1,161	1,002	-13.7%	1,085	8.3%	1,077	-0.7%	1,068	-0.8%
GENERAL FUND	6,370	6,506	2.1%	6,337	-2.6%	6,778	7.0%	6,918	2.1%
Corporate Franchise Tax	3,791	3,882	2.4%	3,852	-0.8%	4,228	9.8%	4,309	1.9%
Corporation and Utilities Tax	518	470	-9.3%	463	-1.5%	477	3.0%	481	0.8%
Insurance Tax	2,053	1,929	-6.0%	2,022	4.8%	2,073	2.5%	2,128	2.7%
Bank Tax	8	225	2712.5%	0	-100.0%	0	0.0%	0	0.0%

FY 2021 All Funds business tax receipts are estimated to decline slightly, albeit \$1 billion below Executive Budget Financial Plan estimates, driven primarily by a decrease in gross receipts from corporation and utilities taxes, insurance taxes, and petroleum business taxes. These declines are partially offset by increases in bank tax audit receipts and a decline in CFT refunds paid.

CFT receipts are estimated to increase slightly in FY 2021, reflecting a reduction in refunds paid and an increase in audit receipts. Refunds are estimated to return to recent historical levels after the previous year included a large refund that was originally anticipated to be paid in FY 2019. Audit receipts are estimated to increase based on anticipated large cases expected to close this fiscal year. Gross receipts are estimated to decline due to projected large declines in corporate profits and investment in equipment and software, in addition to the continued phase-out of the capital base that will be complete in 2021.

Corporation and utilities tax receipts for FY 2021 are estimated to decrease over the prior fiscal year, largely driven by decreases in gross receipts from both the telecommunication and utilities sectors and a decrease in audits. FY 2020 audit receipts more than doubled over the prior year and are expected to return to trend level in FY 2021 while refunds are estimated to increase slightly.

Insurance tax receipts for FY 2021 are estimated to decrease significantly due to a decline in gross receipts. FY 2020 gross receipts increased sharply due to payments covering two liability periods from the conversion of a not-for-profit insurer to a for-profit insurer. Projected declines in corporate profits also contribute to the drop in gross receipts. Audits are estimated to increase to trend levels while refunds paid are expected to decline compared to historically high refunds paid last fiscal year.



State Financial Plan Multi-Year Projections

Receipts from the repealed bank tax (all from prior liability periods) in FY 2021 are estimated to increase, primarily due to an estimated increase in audits based on large cases expected to close this fiscal year. Petroleum business tax (PBT) receipts are estimated to decrease from FY 2020 results, primarily due to a decline in both gasoline and diesel consumption coupled with the impact of a 2 percent decline in the PBT rate index on January 1, 2020, paired with a 5 percent decline in the PBT rate index effective on January 1, 2021.

General Fund business tax receipts for FY 2021 are estimated to increase due to the trends in bank and CFT receipts described above.

General Fund and All Funds business tax receipts for FY 2022 are projected to decline, primarily reflecting a decline in audit receipts from bank taxes. A projected decline in bank taxes and corporation and utilities taxes is offset by projected increases in CFT, insurance tax, and PBT receipts.

General Fund and All Funds business tax receipts for FY 2023 are projected to increase, primarily reflecting increases in CFT, insurance taxes, and corporation and utilities taxes. This increase is partially offset by a modest decline in PBT receipts.

General Fund and All Funds business tax receipts for FY 2024 reflect projected trends in corporate profits, taxable insurance premiums, electric utility consumption and prices, consumption of taxable telecommunications services, and automobile fuel consumption and fuel prices.

Other Taxes

OTHER TAXES									
(millions of dollars)									
	FY 2020	FY 2021		FY 2022		FY 2023		FY 2024	
	Results	Updated	Change	Projected	Change	Projected	Change	Projected	Change
STATE/ALL FUNDS	2,212	2,066	-6.6%	2,054	-0.6%	2,161	5.2%	2,266	4.9%
Estate Tax	1,070	1,100	2.8%	1,028	-6.5%	1,077	4.8%	1,128	4.7%
Real Estate Transfer Tax	1,124	949	-15.6%	1,004	5.8%	1,061	5.7%	1,114	5.0%
Employer Compensation Expense Program	2	4	100.0%	5	25.0%	7	40.0%	7	0.0%
Pari-Mutuel Taxes	14	11	-21.4%	14	27.3%	14	0.0%	14	0.0%
All Other Taxes	2	2	0.0%	3	50.0%	2	-33.3%	3	50.0%
GENERAL FUND¹	1,087	1,115	2.6%	1,047	-6.1%	1,097	4.8%	1,148	4.6%
Estate Tax	1,070	1,100	2.8%	1,028	-6.5%	1,077	4.8%	1,128	4.7%
Employer Compensation Expense Program	1	2	100.0%	2	0.0%	4	100.0%	3	-25.0%
Pari-Mutuel Taxes	14	11	-21.4%	14	27.3%	14	0.0%	14	0.0%
All Other Taxes	2	2	0.0%	3	50.0%	2	-33.3%	3	50.0%

¹Excludes Transfers.

All Funds other tax receipts for FY 2021 are estimated to decrease from FY 2020 results, primarily due to an estimated decrease in real estate transfer tax receipts resulting from large estimated declines in housing starts, housing prices, and bonuses. The real estate transfer tax receipts estimated decrease is partially offset by a slight increase in estate tax receipts, primarily due to small growth in estimated household net worth.

General Fund other tax receipts are estimated to increase, mainly due to the estimated increase in estate tax receipts noted above.

All Funds other tax receipts for FY 2022 are projected to decrease slightly, primarily due to a decrease in estate tax receipts, reflecting an estimated year-over-year decline in super large payments and slower-than-typical growth in key variables such as household net worth and Wilshire 5000. This is largely offset by an increase in real estate transfer tax receipts, primarily due to projected growth in housing starts and housing prices as activity rebounds compared to the prior year.

General Fund other tax receipts for FY 2022 are projected to decrease, due to the decline in estate tax receipts noted above.

All Funds other tax receipts for FY 2023 and FY 2024 are projected to increase, largely due to increases in both estate tax and real estate transfer tax receipts, reflecting projected growth in household net worth, housing starts, and housing prices.

General Fund other tax receipts for FY 2023 and FY 2024 are projected to increase, resulting from the projected increases in estate tax receipts noted above.

Miscellaneous Receipts

All Funds miscellaneous receipts include moneys received from HCRA financing sources, SUNY tuition and patient income, lottery receipts for education, assessments on regulated industries, Tribal-State Compact receipts, Extraordinary Monetary Settlements and a variety of fees. As such, miscellaneous receipts are driven in part by year-to-year variations in health care surcharges and other HCRA resources, bond proceeds, tuition income revenue and other miscellaneous receipts.

MISCELLANEOUS RECEIPTS									
(millions of dollars)									
	FY 2020 Results	FY 2021 Updated	Change	FY 2022 Projected	Change	FY 2023 Projected	Change	FY 2024 Projected	Change
ALL FUNDS	29,466	30,784	4.5%	25,461	-17.3%	24,160	-5.1%	23,753	-1.7%
General Fund	3,159	6,896	118.3%	1,753	-74.6%	1,776	1.3%	1,814	2.1%
Special Revenue Funds	19,279	15,393	-20.2%	15,884	3.2%	15,324	-3.5%	14,934	-2.5%
Capital Projects Funds	6,551	8,121	24.0%	7,440	-8.4%	6,673	-10.3%	6,618	-0.8%
Debt Service Funds	477	374	-21.6%	384	2.7%	387	0.8%	387	0.0%

All Funds miscellaneous receipts are projected to total \$30.8 billion in FY 2021, an increase of 4.5 percent from FY 2020 results, driven by the issuance of \$4.5 billion in PIT notes in response to the COVID-19 pandemic and increasing bond proceeds.

All Funds miscellaneous receipts are projected to decline annually after FY 2021, reflecting the nonrecurring short-term financing, continued impact of the COVID-19 pandemic and a decrease in bond proceed reimbursements in later years, which corresponds to prior-year capital expenses.

Federal Grants

FEDERAL GRANTS (millions of dollars)									
	FY 2020 Results	FY 2021 Updated	Change	FY 2022 Projected	Change	FY 2023 Projected	Change	FY 2024 Projected	Change
ALL FUNDS	65,080	83,058	27.6%	70,567	-15.0%	69,991	-0.8%	71,586	2.3%
General Fund	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Special Revenue Funds	62,897	80,802	28.5%	68,282	-15.5%	67,708	-0.8%	69,334	2.4%
Capital Projects Funds	2,109	2,182	3.5%	2,213	1.4%	2,214	0.0%	2,186	-1.3%
Debt Service Funds	74	74	0.0%	72	-2.7%	69	-4.2%	66	-4.3%

Aid from the Federal government helps to pay for a variety of programs including Medicaid, public assistance, mental hygiene, School Aid, public health, transportation, and other activities. Annual changes to Federal grants generally correspond to changes in Federally-reimbursed spending. Accordingly, DOB typically projects Federal reimbursements will be received in the State fiscal year in which spending occurs, but due to the variable timing of Federal grant receipts, actual results often differ from projections.

All Funds Federal grants projections primarily reflect the continuation of growth in Federal Medicaid spending related to Federal health care transformation initiatives, a temporary increase in the FMAP, funding from the CRF, and funding for the LWA program partly offset by the projected phase-down of Federal disaster assistance. All Federal receipts are subject to Congressional authorization, appropriations and budget action.

Under the Trump Administration and the current Congress, many of the policies that drive Federal aid may be subject to change. At this time, it is not possible to assess the potential fiscal impact of future policies that may be proposed and adopted. If Federal funding to the State were reduced, this could have a materially adverse impact on the Updated Financial Plan.



Disbursements

In FY 2021, disbursements from the State's General Fund, including transfers, are expected to total \$70.9 billion, and disbursements from State Operating Funds are expected to total \$97.9 billion. School Aid, Medicaid, transportation, debt service, and health benefits are significant drivers of annual spending growth, as further described in this section.

The multi-year disbursements projections consider various factors including statutorily-indexed rates, agency staffing levels, program caseloads, inflation, and funding formulas contained in State and Federal law. Factors that affect spending estimates vary by program. For example, public assistance spending is based primarily on anticipated caseloads that are estimated by analyzing historical trends and projected economic conditions. Projections also account for the timing of payments, since not all of the amounts appropriated are disbursed in the same fiscal year. Consistent with past years, the aggregate spending projections (i.e., the sum of all projected spending by individual agencies) in State Special Revenue Funds have been adjusted downward in all fiscal years, based on typical spending patterns and the observed variance between estimated and actual results over time. A corresponding downward adjustment is also made to miscellaneous receipts.

The Updated Financial Plan estimates include \$8 billion in recurring reductions in aid-to-localities disbursements that are expected to be implemented pursuant to the budget-balance and withholding authority granted in the Enacted Budget. The allocation of the savings plan will depend on what programs are included or excluded from reductions, the level of targeted reductions in certain areas, and the availability of Federal aid. Accordingly, the specific agency and program spending levels described below do not reflect any reductions that may occur as a result of the savings plan. However, such reductions may be significant.

Local Assistance Grants

Local assistance spending includes payments to local governments, school districts, health care providers, and other entities, as well as financial assistance to, or on behalf of, individuals, families and not-for-profit organizations. Local assistance spending in State Operating Funds, including budget balance reductions, is estimated at \$60.9 billion in FY 2021, which is approximately two-thirds of total State Operating Funds spending. School Aid and health care spending account for approximately three-quarters of State Operating Funds local assistance spending.

Certain major factors considered in preparing spending projections for the State’s major local assistance programs and activities are summarized below.

FORECAST FOR SELECTED PROGRAM MEASURES AFFECTING OPERATING ACTIVITIES (millions of dollars)					
	FY 2020 Results ¹	FY 2021 Updated	Forecast		
			FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
HEALTH CARE²					
Medicaid - Individuals Covered	6,179,986	7,141,716	6,553,946	6,150,548	6,110,194
Essential Plan - Individuals Covered	800,438	871,304	862,915	824,779	806,702
Child Health Plus - Individuals Covered	433,405	418,013	436,838	429,943	431,588
State Takeover of County/NYC Costs ³	<u>\$4,115</u>	<u>\$4,468</u>	<u>\$4,818</u>	<u>\$5,179</u>	<u>\$5,551</u>
CY 2005 Local Medicaid Cap	\$3,015	\$3,185	\$3,353	\$3,531	\$3,720
FY 2013 Local Takeover Costs	\$1,100	\$1,283	\$1,465	\$1,648	\$1,831
EDUCATION					
School Aid (School Year-Basis Funding) ⁴	\$27,812	\$26,780	\$27,918	\$28,911	\$29,854
HIGHER EDUCATION					
Public Higher Education Enrollment (FTEs)	536,550	509,725	509,725	509,725	509,725
Tuition Assistance Program (Recipients)	252,651	242,866	242,866	242,866	242,866
PUBLIC ASSISTANCE					
Family Assistance Program (Families)	178,038	171,392	166,404	165,110	165,243
Safety Net Program (Families)	105,016	101,741	99,351	98,373	97,930
Safety Net Program (Singles)	191,424	196,052	201,179	206,590	212,376
MENTAL HYGIENE					
OMH Community Beds	45,596	48,052	49,362	50,585	51,085
OPWDD Community Beds	43,099	43,331	43,564	43,798	44,034
OASAS Community Beds	13,494	13,574	13,804	14,035	14,115
Total	102,189	104,957	106,730	108,418	109,234

¹ Reflects updated information on results.

² Enrollment in public health insurance programs is subject to direct/indirect risks related to the COVID-19 pandemic.

³ Reflects the total State cost of taking over the local share of Medicaid growth, which was initially capped at approximately 3 percent annually, then fully transferred to the State as of calendar year 2015. A portion of the State takeover costs are funded from Master Settlement Agreement resources.

⁴ FY 2021 does not reflect \$1.1 billion in Federal CARES Act funding.



State Financial Plan Multi-Year Projections

Education

School Aid

School Aid supports elementary and secondary education for New York pupils enrolled in the 673 major school districts. State aid is provided to districts based on statutory aid formulas and through reimbursement of categorical expenses, such as prekindergarten programs, education of homeless children, and bilingual education. State funding for schools assists districts in meeting locally-defined needs, supports the construction of school facilities, and finances school transportation for nearly three million students statewide.

School Year (July 1 – June 30)

State Operating Funds support for School Aid is expected to total \$26.8 billion in SY 2021, an annual decrease of \$1 billion (3.7 percent). This reduction in State Operating Funds support will be offset by approximately \$1.1 billion in funding provided to the State through the Federal CARES Act, including the Elementary and Secondary School Emergency Relief Fund and the Governor’s Emergency Education Relief Fund. With these Federal funds, SY 2021 School Aid is expected to total \$27.9 billion, an annual increase of approximately \$100 million or 0.4 percent.

The Updated Financial Plan reflects prior year funding levels for existing programs, including Foundation Aid, Community Schools and Universal Prekindergarten. The Updated Financial Plan also reflects the State providing over \$200 million in support for competitive grant programs, including \$1 million for development of a new Civics Education curriculum and \$10 million for a Student Mental Health program. Funding for expense-based aids, such as Building Aid, Transportation Aid, and Boards of Cooperative Educational Services (BOCES) Aid, is continued under existing aid formulas.

Outyear growth in School Aid reflects current projections of the ten-year average growth in State personal income.

SCHOOL AID - SCHOOL YEAR BASIS (JULY 1 - JUNE 30)									
(millions of dollars)									
	<u>SY 2020</u>	<u>SY 2021¹</u>	<u>Change</u>	<u>SY 2022</u>	<u>Change</u>	<u>SY 2023</u>	<u>Change</u>	<u>SY 2024</u>	<u>Change</u>
Total	27,812	26,780	-1,032 -3.7%	27,918	1,138 4.2%	28,911	993 3.6%	29,854	943 3.3%

¹ Does not reflect \$1.1 billion in Federal CARES Act funding.

State Fiscal Year

The State finances School Aid from the General Fund, commercial gaming receipts and Lottery Fund receipts, including revenues from Video Lottery Terminals (VLTs). Commercial gaming and Lottery Fund receipts are accounted for and disbursed from dedicated accounts. Because the State fiscal year begins on April 1 and the school year begins on July 1, the State typically pays approximately 70 percent of the annual school year commitment during the initial State fiscal year and the remaining 30 percent in the first three months of the following State fiscal year.

The table below summarizes the projected sources of School Aid spending on a State fiscal year basis.

SCHOOL AID - STATE FISCAL YEAR BASIS (millions of dollars)									
	FY 2020 Results	FY 2021 ¹ Updated	Change	FY 2022 Projected	Change	FY 2023 Projected	Change	FY 2024 Projected	Change
TOTAL STATE OPERATING FUNDS	27,368	26,906	-1.7%	27,453	2.0%	28,478	3.7%	29,435	3.4%
General Fund Local Assistance	23,384	23,913	2.3%	24,195	1.2%	25,122	3.8%	25,954	3.3%
Medicaid	138	140	1.4%	140	0.0%	140	0.0%	140	0.0%
Lottery Aid	2,709	2,206	-18.6%	2,246	1.8%	2,246	0.0%	2,246	0.0%
VLT Lottery Aid	975	558	-42.8%	746	33.7%	834	11.8%	943	13.1%
Commercial Gaming	162	89	-45.1%	126	41.6%	136	7.9%	152	11.8%

¹ Does not reflect \$1.1 billion in Federal CARES Act funding.

State fiscal year spending for School Aid on a State Operating Funds basis is projected to total \$26.9 billion in FY 2021, a 1.7 percent decrease from FY 2020. In FY 2021, the share of School Aid spending financed by lottery, video lottery and commercial gaming revenues is projected to decrease due largely to the impact of the COVID-19 pandemic on economic activity. If gaming revenues drop further below currently projected levels, then the General Fund is expected to transfer the value of the shortfall to the appropriate State Special Revenue Fund. In addition to State aid, school districts currently receive more than \$3 billion annually in existing Federal aid. School districts are also expected to receive approximately \$1.1 billion in Federal CARES Act funds.



State Financial Plan Multi-Year Projections

Other Education Funding

The State also provides funding and support for various other education-related programs. These include: special education services; programs administered by the Office of Prekindergarten through Grade 12 Education; cultural education; higher and professional education programs; and adult career and continuing education services.

OTHER EDUCATION FUNDING (millions of dollars)									
	FY 2020 Results	FY 2021 Updated	Change	FY 2022 Projected	Change	FY 2023 Projected	Change	FY 2024 Projected	Change
TOTAL STATE OPERATING FUNDS	2,319	2,273	-2.0%	2,387	5.0%	2,473	3.6%	2,542	2.8%
Special Education	1,331	1,357	2.0%	1,428	5.2%	1,495	4.7%	1,564	4.6%
All Other Education	988	916	-7.3%	959	4.7%	978	2.0%	978	0.0%

The State helps fund special education services for approximately 500,000 students with disabilities, from ages 3 to 21. Major programs under the Office of Prekindergarten through Grade 12 address specialized student needs or reimburse school districts for education-related services, including the school breakfast and lunch programs, after-school programs and other educational grant programs. Cultural education includes aid for operating expenses of the major cultural institutions, State Archives, State Library, and State Museum, as well as support for the Office of Educational Television and Public Broadcasting. Higher and professional education programs monitor the quality and availability of post-secondary education programs, and license and regulate over 50 professions. Adult career and continuing education services focus on the education and employment needs of the State’s adult citizens, ensuring that such individuals have access to a one-stop source for all their employment needs, and are made aware of the full range of services available in other agencies.

The increase in projected Special Education spending in FY 2021 and thereafter is primarily attributable to increased State reimbursement to special education providers for minimum wage costs and projected enrollment and cost growth in preschool and summer school special education programs.

The projected spending decrease for All Other Education programs in FY 2021 is primarily attributable to the discontinuation of one-time aid and grants and timing-related delays in FY 2019 nonpublic school aid payments that instead occurred in FY 2020. The projected spending increases in FYs 2022 – 2023 are largely due to continued growth in charter school supplemental tuition, facilities aid payments for charter schools in New York City, and payments to nonpublic schools.

School Tax Relief Program

The STAR program provides school tax relief to taxpayers by exempting the first \$30,000 of every eligible homeowner's property value from the local school tax levy. Lower-income (below \$88,050) senior citizens will receive a \$69,800 exemption in FY 2021.

Spending on STAR property tax exemptions reflects reimbursements made to school districts to offset the reduction in the amount of property tax revenue collected from homeowners. Since FY 2017, the STAR exemption program has been gradually transitioned from a spending program to an advance refundable PIT credit program. As a result, first-time homebuyers and homeowners who move receive a refundable PIT credit in lieu of a property tax exemption. This change initially had no impact on the value of the STAR benefit received by homeowners. Since the FY 2020 Enacted Budget and moving forward, homeowners who receive a property tax exemption will not see an increase in their STAR benefit (details below).

The STAR program also includes a credit for income-eligible resident New York City taxpayers. The New York City PIT rate reduction was converted into a State PIT tax credit starting with Tax Year 2017. As of FY 2019, New York City STAR payments are no longer a component of State Operating Funds spending. This change has no impact on the value of the STAR benefit received by taxpayers.

SCHOOL TAX RELIEF (STAR) - REVENUE REDUCTION RESULTING FROM STAR ACTIONS									
(millions of dollars)									
	FY 2020	FY 2021		FY 2022		FY 2023		FY 2024	
	Results	Updated	Change	Projected	Change	Projected	Change	Projected	Change
TOTAL STATE OPERATING FUNDS	2,184	2,073	-5.1%	1,979	-4.5%	1,858	-6.1%	1,750	-5.8%
Gross Program Costs	3,353	3,434	2.4%	3,511	2.2%	3,571	1.7%	3,636	1.8%
Personal Income Tax Credit	(1,169)	(1,361)	-16.4%	(1,532)	-12.6%	(1,713)	-11.8%	(1,886)	-10.1%
Basic Exemption	<u>1,321</u>	<u>1,230</u>	<u>-6.9%</u>	<u>1,171</u>	<u>-4.8%</u>	<u>1,095</u>	<u>-6.5%</u>	<u>1,027</u>	<u>-6.2%</u>
Gross Program Costs	1,737	1,802	3.7%	1,860	3.2%	1,916	3.0%	1,967	2.7%
Personal Income Tax Credit	(416)	(572)	-37.5%	(689)	-20.5%	(821)	-19.2%	(940)	-14.5%
Enhanced (Senior) Exemption	<u>863</u>	<u>843</u>	<u>-2.3%</u>	<u>808</u>	<u>-4.2%</u>	<u>763</u>	<u>-5.6%</u>	<u>723</u>	<u>-5.2%</u>
Gross Program Costs	936	935	-0.1%	936	0.1%	922	-1.5%	918	-0.4%
Personal Income Tax Credit	(73)	(92)	-26.0%	(128)	-39.1%	(159)	-24.2%	(195)	-22.6%
New York City PIT	<u>0</u>	<u>0</u>	<u>0.0%</u>	<u>0</u>	<u>0.0%</u>	<u>0</u>	<u>0.0%</u>	<u>0</u>	<u>0.0%</u>
Gross Program Costs	680	697	2.5%	715	2.6%	733	2.5%	751	2.5%
Personal Income Tax Credit	(680)	(697)	-2.5%	(715)	-2.6%	(733)	-2.5%	(751)	-2.5%

Starting in FY 2020, all homeowners with incomes above \$250,000 were transitioned from the basic exemption benefit program to the advance credit program. Additionally, the zero percent growth cap on the STAR exemption benefit that was included in the FY 2020 Enacted Budget remains in effect. Most of the spending decline projected in FYs 2021 through 2024 can be attributed to these actions. By shifting taxpayers to the credit program, the State can more efficiently administer the program while strengthening its ability to prevent abuse. The shift from the basic exemption to the credit program does not reduce the value of the benefit received by homeowners.

Higher Education

Local assistance for higher education spending includes funding for CUNY, SUNY, and the Higher Education Services Corporation (HESC).

HIGHER EDUCATION (millions of dollars)									
	FY 2020	FY 2021		FY 2022		FY 2023		FY 2024	
	Results	Updated	Change	Projected	Change	Projected	Change	Projected	Change
TOTAL STATE OPERATING FUNDS	2,362	3,514	48.8%	2,920	-16.9%	2,957	1.3%	2,987	1.0%
City University	933	2,245	140.6%	1,625	-27.6%	1,658	2.0%	1,688	1.8%
Senior Colleges	873	1,812	107.6%	1,381	-23.8%	1,415	2.5%	1,445	2.1%
Community College	60	433	621.7%	244	-43.6%	243	-0.4%	243	0.0%
Higher Education Services	950	810	-14.7%	834	3.0%	839	0.6%	839	0.0%
Tuition Assistance Program	833	660	-20.8%	665	0.8%	661	-0.6%	661	0.0%
Scholarships/Awards	108	138	27.8%	157	13.8%	166	5.7%	166	0.0%
Aid for Part-Time Study	9	12	33.3%	12	0.0%	12	0.0%	12	0.0%
State University	479	459	-4.2%	461	0.4%	460	-0.2%	460	0.0%
Community College	475	455	-4.2%	457	0.4%	456	-0.2%	456	0.0%
Other/Cornell	4	4	0.0%	4	0.0%	4	0.0%	4	0.0%

SUNY and CUNY operate 47 four-year colleges and graduate schools with a total enrollment of nearly 400,000 full- and part-time students. SUNY and CUNY also operate 37 community colleges, serving approximately 286,000 students. State funds support a significant portion of SUNY and CUNY operations. In addition to the spending reflected in the above table, the State provides more than \$1 billion annually for SUNY campus operations through a General Fund transfer and more than \$2 billion to fully support fringe benefit costs of SUNY employees at State-operated campuses. The State is also projected to pay \$1.3 billion in FY 2021 for debt service on bond financed capital projects at SUNY and CUNY. In FY 2021, an estimated \$250 million in student financial aid support will be transferred from HESC to SUNY. This is the result of an accounting change implemented in FY 2020 to reflect certain financial aid payments from HESC to SUNY as transfers instead of disbursements.

HESC is New York State’s student financial aid agency and a national leader in helping make college affordable. HESC oversees numerous State-funded financial aid programs, including the Excelsior Scholarship, Tuition Assistance Program (TAP), the Aid for Part-Time Study program, and 25 other scholarship and loan forgiveness programs. Together, these programs provide financial aid to approximately 380,000 students. HESC also partners with OSC in administering the College Choice Tuition Savings program.

Spending on higher education is projected to increase by \$1.2 billion, or 48.8 percent, from FY 2020 to FY 2021, and decrease by \$594 million, or 16.9 percent, from FY 2021 to FY 2022. The spending increase in FY 2021, and subsequent decrease in FY 2022, is primarily due to the timing of academic year 2021 payments for CUNY Senior and Community Colleges. Additionally, the implementation of accounting changes, which reflect the payment of certain student financial aid from HESC to SUNY as transfers instead of disbursements, will result in lower disbursements in FY 2021. The increase in outyear spending is primarily attributable to increased support for CUNY fringe benefits.

Health Care

DOH works with local health departments and social services departments, including New York City, to coordinate and administer statewide health insurance programs and activities. Local assistance for health care-related spending includes Medicaid, statewide public health programs and a variety of mental hygiene programs. The majority of government-financed health care programs are included under DOH, but a number of programs are also supported through multi-agency efforts.

In addition to State funding, DOH also engages in Federal supported initiatives, such as the DSRIP program, with the goal of transforming New York's health care system. For more information on the MRT Medicaid Waiver and DSRIP program please see "Other Matters Affecting the Financial Plan" herein.

Medicaid

Medicaid is a means-tested program that finances health care services for low-income individuals and long-term care services for the elderly and disabled, primarily through payments to health care providers. The Medicaid program is financed by a combination of State, Federal, and local government resources. Eligible services include inpatient hospital care, outpatient hospital services, clinics, nursing homes, managed care, prescription drugs, home care and services provided in a variety of community-based settings (including mental health, substance abuse treatment, developmental disabilities services, school-based services and foster care services).

Historically, the State has experienced growth in Medicaid enrollment during economic downturns resulting from increased unemployment. Accordingly, the spike in unemployment caused by the COVID-19 pandemic has driven increased enrollment. Based on actual enrollment growth to date, the Updated Financial Plan reflects an additional 498,568 enrollees over levels anticipated in the First Quarterly Update. Savings in the outyears reflects an expected decline in unemployment and concomitant decline in program eligibility and enrollment. As new enrollees remain eligible for continuous coverage for 12 months, costs associated with enrollment growth are expected to persist in the outyears, but at reduced levels. Enrollment in Medicaid is also increasing among populations associated with higher service utilization and costs, augmenting growth in the State share of Medicaid spending. These aforementioned spending pressures will be offset by eFMAP provided in the FFCRA.

Other factors that continue to place upward pressure on State-share Medicaid spending (which includes spending within and outside the Global Cap) include, but are not limited to: reimbursement to providers for the cost of the increase in the minimum wage; the phase-out of enhanced Federal funding; increased costs and enrollment growth in managed long-term care; and payments to financially distressed hospitals.



State Financial Plan Multi-Year Projections

Financing of Medicaid Spending

The State share of DOH Medicaid spending is financed by a combination of the General Fund, HCRA resources, indigent care support, provider assessment revenue, and tobacco settlement proceeds. The following table provides information on financing sources for State Medicaid spending.

DEPARTMENT OF HEALTH MEDICAID (millions of dollars)									
	FY 2020 Results	FY 2021 Updated	Change	FY 2022 Projected	Change	FY 2023 Projected	Change	FY 2024 Projected	Change
STATE OPERATING FUNDS	26,300	24,228	-7.9%	28,887	19.2%	29,797	3.2%	31,246	4.9%
Department of Health Medicaid	22,037	20,247	-8.1%	24,215	19.6%	25,276	4.4%	26,276	4.0%
General Fund - DOH Medicaid Local	16,071	14,471	-10.0%	18,268	26.2%	19,257	5.4%	20,168	4.7%
DOH Medicaid	13,228	13,677	3.4%	14,492	6.0%	14,707	1.5%	15,556	5.8%
Non-DOH Medicaid ¹	611	1,090	78.4%	662	-39.3%	991	49.7%	685	-30.9%
Minimum Wage	1,453	1,767	21.6%	2,011	13.8%	2,273	13.0%	2,458	8.1%
Local Takeover Cost ²	1,100	1,283	16.6%	1,465	14.2%	1,648	12.5%	1,831	11.1%
MSA Payments (Share of Local Growth) ³	(321)	(362)	-12.8%	(362)	0.0%	(362)	0.0%	(362)	0.0%
Enhanced FMAP ⁴	0	(2,984)	0.0%	0	100.0%	0	0.0%	0	0.0%
General Fund - DOH Medicaid State Ops	207	204	-1.4%	232	13.7%	207	-10.8%	214	3.4%
General Fund - Essential Plan	74	64	-13.5%	64	0.0%	63	-1.6%	63	0.0%
Local Assistance	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%
State Operations	74	64	-13.5%	64	0.0%	63	-1.6%	63	0.0%
Other State Funds - DOH Medicaid Local	5,685	5,508	-3.1%	5,651	2.6%	5,749	1.7%	5,831	1.4%
HCRA Financing	3,836	3,916	2.1%	3,991	1.9%	4,050	1.5%	4,106	1.4%
Indigent Care Support	917	717	-21.8%	717	0.0%	717	0.0%	717	0.0%
Provider Assessment Revenue	931	873	-6.2%	941	7.8%	980	4.1%	1,006	2.7%
Medical Indemnity Fund	1	2	100.0%	2	0.0%	2	0.0%	2	0.0%
Other State Agency Medicaid Spending	4,263	3,981	-6.6%	4,672	17.4%	4,521	-3.2%	4,970	9.9%
Use of MSA Payments (Share of Local Growth) ³	321	362	12.8%	362	0.0%	362	0.0%	362	0.0%
LOCAL SHARE OF MEDICAID⁵	8,353	7,289	-12.7%	7,422	1.8%	7,292	-1.8%	7,327	0.5%
FEDERAL SHARE OF MEDICAID	44,756	53,916	20.5%	51,608	-4.3%	51,366	-0.5%	52,765	2.7%
DOH Medicaid	40,922	49,120	20.0%	46,746	-4.8%	46,591	-0.3%	48,094	3.2%
Essential Plan	3,834	4,796	25.1%	4,862	1.4%	4,775	-1.8%	4,671	-2.2%
ALL FUNDING SOURCES	79,730	85,795	7.6%	88,279	2.9%	88,817	0.6%	91,700	3.2%

¹ The DOH Medicaid budget funds a portion of Medicaid-related Mental Hygiene program costs under the Global Cap.
² Beginning in FY 2013, the State began phasing (3-2-1-0) in takeover of the local government share of growth. As of County Year (CY) 2015 the State pays the full share of Medicaid program growth on behalf of local governments.
³ MSA payments are deposited directly to a Medicaid Escrow Fund to cover a portion of the State's share of local Medicaid growth.
⁴ Enhanced FMAP of 6.2 percent for 12 months retro to January 2020.
⁵ The Local Share of Medicaid is paid by the Local Social Service Districts (counties), and is not included in the State's All Governmental Funds disbursement totals. Fluctuation in the local share of Medicaid is related to certain supplemental payments made by local districts. Local Medicaid services payments are capped at CY 2015 levels.

State Financial Plan Multi-Year Projections



State share Medicaid spending also appears in the Updated Financial Plan estimates for other State agencies and programs, including the mental hygiene agencies, child welfare programs, education aid and corrections.

TOTAL STATE-SHARE MEDICAID DISBURSEMENTS¹ (millions of dollars)					
	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Department of Health Medicaid	<u>21,963</u>	<u>20,183</u>	<u>24,151</u>	<u>25,213</u>	<u>26,213</u>
Local Assistance	22,077	23,325	24,281	25,368	26,361
State Operations	207	204	232	207	214
MSA Payments (Share of Local Growth) ²	(321)	(362)	(362)	(362)	(362)
Enhanced FMAP ³	0	(2,984)	0	0	0
Other State Agency Medicaid Spending	<u>4,263</u>	<u>3,981</u>	<u>4,672</u>	<u>4,521</u>	<u>4,970</u>
Mental Hygiene	4,088	3,768	4,457	4,306	4,755
Foster Care	37	71	75	75	75
Education	138	140	140	140	140
Corrections	0	2	0	0	0
Total State Share Medicaid (All Agencies)	26,226	24,164	28,823	29,734	31,183
Annual \$ Change		(2,062)	4,659	911	1,449
Annual % Change		-7.9%	19.3%	3.2%	4.9%
Essential Plan⁴	74	64	64	63	63
Local Assistance	0	0	0	0	0
State Operations	74	64	64	63	63

¹ DOH spending in the Financial Plan includes certain items that are excluded from the indexed provisions of the Medicaid Global Cap. This includes administrative costs, such as the takeover of local administrative responsibilities; the decision of Monroe County to participate in the Medicaid local cap program rather than continuing the sales tax intercept option; increased Federal Financial Participation that became effective in January 2014; and a share of minimum wage increases.

² MSA payments are deposited directly to a Medicaid Escrow Fund to cover a portion of the State share for Medicaid.

³ Enhanced FMAP of 6.2 percent for 12 months retroactive to January 2020.

⁴ The EP is not a Medicaid program; however, State-funded resources for the EP are managed under the Medicaid Global Cap.

Global Cap

The majority of DOH State Funds Medicaid spending is budgeted and expended principally through DOH. A portion of this spending is subject to the Global Cap -- the ten-year rolling average of the medical component of the CPI. The Global Cap excludes non-indexed items including the takeover of local Medicaid growth, the multi-year takeover assumption of local Medicaid administration costs, increased Federal Financial Participation (FFP) pursuant to the ACA (effective in January 2014), and the cost of minimum wage increases for health care providers. The Global Cap allows for growth related to increasing costs but does not account for utilization growth. The statutory provisions of the Global Cap allow for flexibility in adjusting Medicaid projections to meet unanticipated costs resulting from a disaster, and grant the Commissioner of Health certain powers to limit Medicaid disbursements to the level authorized by the Global Cap. The Commissioner's powers are intended to limit the annual growth rate to the levels set by the Global Cap indexed rate for the then-current fiscal year, through actions which may include reducing reimbursement rates to providers. These actions may be dependent upon timely Federal approvals and other elements of the program that govern implementation.

Medicaid Redesign Team (MRT) II

In FY 2020, DOB recognized that a structural imbalance existed in the Medicaid program. Absent actions to rein in spending growth, State Medicaid spending levels would have exceeded the allowable indexed growth as set by Global Cap statute. In response to the imbalance, the Governor formed the MRT II with the objective of restoring financial sustainability to the Medicaid program while connecting other programmatic initiatives that would advance the Governor's core healthcare strategies.

The Updated Financial Plan includes \$2.2 billion in recommendations, including the recurring value of savings that began in FY 2020, put forward by the MRT II to create efficiencies within the Medicaid program and address the Medicaid imbalance, including identifying efficiencies in Managed Care and Managed Long-Term Care. Additionally, policy initiatives, including the carve out of services from Managed Care within pharmacy and the centralization of a transportation broker will lead to better transparency and greater efficiencies within these areas. MRT II also focused on greater program integrity within Medicaid and included reforms to modernize regulations to eliminate fraud, waste and abuse.

Through a combination of MRT II actions, the continued FY 2020 savings plan, payment delays and restructuring, spending under the Global Cap has been significantly reduced to ensure Medicaid spending stays within statutorily allowable levels in FY 2021 and beyond. In FY 2020, spending was roughly \$650 million lower than anticipated, resulting in a temporary reduction to the continued payment deferral previously planned. These savings, along with a recurring \$400 million spending reduction, result in a \$100 million reduction to the required General Fund contribution in FY 2021.

Programmatic and payment reforms to the Medicaid program addressed by the MRT II include, but are not limited to; reductions in hospital supplemental pool payments; promoting quality Managed Care Encounter Data by withholding a portion of premiums; delaying new discretionary Community First Choice Option (CFCO) services that are already furnished via Medicaid waivers; reducing drug cap growth by enhancing the purchasing power to lower cost drugs and an across the board rate reduction. For more information on the MRT II activities please see “Other Matters Affecting the Financial Plan” herein.

As a result of the MRT II and other combined savings actions, Global Cap spending growth is projected to adhere to the indexed rate of 2.9 percent in FY 2021. Similarly, the Updated Financial Plan reflects the continuation of the “Global Cap” through FY 2024, and the projections assume that statutory authority will be extended in subsequent years.

MEDICAID GLOBAL CAP FORECAST (millions of dollars)					
	FY 2020	FY 2021	FY 2022	FY 2023	FY 2024
Global Medicaid Cap¹	19,433	19,992	20,572	21,188	21,820
Annual \$ Change		559	580	616	632
Annual % Change		2.9%	2.9%	3.0%	3.0%

¹ Under the Global Cap, forecasted Medicaid services growth is indexed to the 10-year average of the medical component of the CPI.

Temporary eFMAP

In response to the COVID-19 pandemic, the President signed into law the FFCRA in March 2020 which included supplemental Federal funding for various programs, including an eFMAP for unexpected costs attributable to the pandemic retroactive to January 2020.

The FFCRA includes a 6.2 percent base increase to the FMAP rate for each calendar quarter occurring during the public health emergency, with exemptions placed on certain expenditures, including expansion spending that already receives enhanced Federal support. The public health emergency has not been lifted and as such, the enhanced funding remains in place for quarter four of the calendar year. The Updated Financial Plan assumes a twelve-month State benefit of approximately \$3.0 billion that will be used to offset unanticipated General Fund expenses directly or indirectly related to the pandemic, including costs associated with increased Medicaid enrollment.¹⁷

¹⁷ In October 2020, the Secretary of Health and Human Services extended the public health emergency period through January 2021, which would trigger a fifth quarter of the 6.2 base increase through March 31, 2021. However, the enhanced rate can be revoked any time prior to the start of a new quarter. Accordingly, DOB is counting only on the assured extension, which runs from October 1 through December 31, 2020.

Master Settlement Agreement (MSA)

In FY 2018, all outstanding bonds secured by annual payments from tobacco manufacturers under the MSA were retired, and with no remaining debt service requirements to be paid on these bonds, DOB expects to receive MSA payments of approximately \$362 million in FY 2021 and in each subsequent year. Existing statutes direct these payments be used to help defray costs of the State’s takeover of Medicaid costs for counties and New York City. The State takeover, which capped local districts’ Medicaid costs at calendar year 2015 levels, is expected to cost the State \$1.3 billion in FY 2021, growing to \$1.5 billion in FY 2022. Consistent with State law, DOB expects MSA payments to be deposited directly to a Medicaid Payment Escrow Fund to offset the non-Federal share of annual Medicaid growth, formerly borne by local governments, which the State now pays on behalf of local governments. The deposit mechanism has no impact on overall Medicaid spending funded with State resources but reduces reported State-supported Medicaid spending accounted for in State Operating Funds.

The table below shows total State spending adjusted for MSA payments.

FUNDING SOURCES FOR STATE MEDICAID CONTRIBUTIONS (millions of dollars)					
	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
State Share Support	<u>26,621</u>	<u>24,590</u>	<u>29,249</u>	<u>30,159</u>	<u>31,608</u>
State Funds Medicaid Disbursements	26,300	24,228	28,887	29,797	31,246
MSA Payments (Local Growth)	321	362	362	362	362

Minimum Wage

Medicaid spending includes the cost of increases in the minimum wage for employees in the health care sector. These costs are not subject to the Global Cap indexed spending limit. The State costs of minimum wage increases in the health care sector are projected to grow roughly \$300 million to \$1.8 billion in FY 2021. Per State statute, home health care workers in New York City and certain counties receive supplemental benefits in addition to their base wage. These benefits include paid leave, differential wages, premiums for certain shifts, education and fringe benefits. The supplemental benefits typically can be satisfied by increasing the base cash wage by a corresponding amount. As a result, wages for home health care workers in these regions exceed minimum wage levels by \$4.09 for New York City and \$3.22 for Westchester, Nassau, and Suffolk counties. However, State statute exempts the supplemental wages portion of total compensation from the minimum wage calculation to ensure home health care workers in these counties receive incremental growth in wage compensation commensurate with the new minimum wage schedule.

Local Medicaid Cap

The local Medicaid Cap was designed to relieve pressure on county property taxes and the New York City budget by capping local costs and having the State absorb all local program growth above a fixed statutory inflation rate. Beginning in January 2006, counties' Medicaid cost contributions were capped based on 2005 expenditures that were indexed at a growth rate of 3.5 percent in 2006, 3.25 percent in 2007, and 3 percent per year thereafter. In FY 2013, the State committed to phasing out over a three-year period all growth in the local share of Medicaid costs. The takeover of local Medicaid costs by the State is projected to save local districts a total of \$4.5 billion in FY 2021 including approximately \$2.3 billion for counties outside New York City and \$2.2 billion for New York City.



State Financial Plan Multi-Year Projections

LOCAL GOVERNMENT SAVINGS					
STATE TAKEOVER OF LOCAL MEDICAID COSTS (2005 CAP AND GROWTH TAKEOVER)					
FY 2020 to FY 2024					
(in dollars)					
County	FY 2020	FY 2021	FY 2022	FY 2023	FY 2024
Albany	42,689,168	45,924,447	49,145,707	52,460,384	55,871,186
Allegany	6,772,552	7,282,837	7,790,910	8,313,717	8,851,686
Broome	45,031,526	47,571,195	50,099,859	52,701,854	55,379,307
Cattaraugus	15,132,371	16,107,474	17,078,352	18,077,385	19,105,391
Cayuga	15,561,190	16,470,059	17,374,989	18,306,163	19,264,340
Chautauqua	30,536,154	32,422,534	34,300,740	36,233,414	38,222,136
Chemung	16,488,992	17,606,113	18,718,393	19,862,930	21,040,658
Chenango	8,645,524	9,211,451	9,774,926	10,354,742	10,951,372
Clinton	13,123,058	14,054,886	14,982,677	15,937,373	16,919,755
Columbia	12,839,564	13,567,329	14,291,940	15,037,564	15,804,811
Cortland	8,805,834	9,380,674	9,953,023	10,541,971	11,147,998
Delaware	8,898,054	9,433,363	9,966,352	10,514,798	11,079,148
Dutchess	56,414,674	59,419,628	62,411,561	65,490,261	68,658,242
Erie	177,505,131	189,303,042	201,049,829	213,137,272	225,575,252
Essex	5,624,785	6,001,647	6,376,876	6,762,988	7,160,296
Franklin	8,587,732	9,155,077	9,719,964	10,301,233	10,899,359
Fulton	10,673,940	11,419,990	12,162,806	12,927,165	13,713,689
Genesee	9,025,263	9,592,429	10,157,138	10,738,223	11,336,160
Greene	9,557,304	10,145,907	10,731,959	11,335,007	11,955,543
Hamilton	687,021	727,545	767,892	809,410	852,132
Herkimer	12,250,594	13,037,477	13,820,950	14,627,145	15,456,719
Jefferson	18,285,842	19,451,308	20,611,724	21,805,792	23,034,488
Lewis	4,243,589	4,527,009	4,809,201	5,099,576	5,398,373
Livingston	9,545,038	10,117,564	10,687,610	11,274,187	11,877,774
Madison	10,611,590	11,274,217	11,933,972	12,612,860	13,311,436
Monroe	162,292,163	172,706,043	183,074,797	193,744,244	204,723,105
Montgomery	13,283,037	14,050,740	14,815,117	15,601,660	16,411,013
Nassau	236,493,602	250,812,829	265,070,006	279,740,641	294,836,725
Niagara	39,497,776	42,088,881	44,668,758	47,323,452	50,055,132
Oneida	50,086,271	53,309,028	56,517,821	59,819,668	63,217,269
Onondaga	100,968,739	107,166,225	113,336,855	119,686,433	126,220,149
Ontario	16,280,759	17,271,271	18,257,491	19,272,311	20,316,561
Orange	90,379,187	95,303,291	100,206,057	105,251,004	110,442,254
Orleans	8,078,898	8,577,544	9,074,029	9,584,912	10,110,610
Oswego	25,520,345	27,054,376	28,581,761	30,153,439	31,770,697
Otsego	8,536,571	9,117,002	9,694,918	10,289,593	10,901,514
Putnam	11,406,609	12,045,986	12,682,592	13,337,660	14,011,725
Rensselaer	24,542,662	26,323,971	28,097,561	29,922,585	31,800,535
Rockland	83,821,671	88,391,821	92,942,167	97,624,473	102,442,566
St. Lawrence	18,202,037	19,484,562	20,761,529	22,075,528	23,427,634
Saratoga	26,933,877	28,503,780	30,066,880	31,675,310	33,330,384
Schenectady	37,450,843	39,623,716	41,787,173	44,013,370	46,304,127
Schoharie	5,166,051	5,498,147	5,828,803	6,169,049	6,519,161
Schuyler	3,033,781	3,240,753	3,446,828	3,658,879	3,877,080
Seneca	5,619,596	5,972,765	6,324,404	6,686,240	7,058,570
Steuben	17,261,543	18,381,710	19,497,022	20,644,679	21,825,618
Suffolk	284,306,151	300,519,369	316,662,330	333,273,436	350,366,264
Sullivan	22,057,621	23,346,278	24,629,350	25,949,631	27,308,200
Tioga	6,304,446	6,744,480	7,182,606	7,633,439	8,097,345
Tompkins	11,104,669	11,806,747	12,505,782	13,225,089	13,965,256
Ulster	41,646,568	44,016,950	46,377,060	48,805,613	51,304,594
Warren	9,939,189	10,615,110	11,288,103	11,980,612	12,693,204
Washington	11,939,872	12,646,329	13,349,724	14,073,518	14,818,302
Wayne	18,840,889	19,842,160	20,839,092	21,864,935	22,920,527
Westchester	175,865,126	187,832,130	199,747,277	212,007,964	224,624,210
Wyoming	5,528,109	5,861,491	6,193,427	6,534,990	6,886,458
Yates	3,731,585	3,975,272	4,217,903	4,467,571	4,724,478
Rest of State	2,133,656,735	2,265,335,960	2,396,444,576	2,531,355,341	2,670,178,519
New York City	1,981,151,384	2,201,926,595	2,421,745,114	2,647,938,370	2,880,691,230
Statewide	4,114,808,119	4,467,262,556	4,818,189,690	5,179,293,711	5,550,869,749

Health Care Transformation Fund (HCTF)

Pursuant to Part FFF of Chapter 59 of the Laws of 2018, the Health Care Transformation Fund (HCTF) was created to account for receipts associated with health care asset sales and conversions. Moneys in the HCTF are to be made available for transfer to any other fund of the State, as directed by the Director of the Budget, to support health care delivery, including for capital investment, debt retirement or restructuring, housing and other social determinants of health, or transitional operating support to health care providers. Future proceeds related to asset sales and conversions may be directed to flow through the HCTF, subject to regulatory approvals.

HEALTH CARE TRANSFORMATION FUND					
PURSUANT TO PART FFF OF CHAPTER 59 OF THE LAWS OF 2018					
(millions of dollars)					
	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Opening Balance	525	315	0	0	0
Receipts	<u>501</u>	<u>138</u>	<u>138</u>	<u>68</u>	<u>0</u>
Fidelis Payment	400	50	50	0	0
Centene Payment	68	68	68	68	0
CVS Payment	13	13	13	0	0
Cigna Payment	7	7	7	0	0
STIP Interest	13	0	0	0	0
Planned Uses	<u>(711)</u>	<u>(453)</u>	<u>(138)</u>	<u>(68)</u>	<u>0</u>
Housing Rental Subsidies	(272)	(272)	(118)	(68)	0
State-Only Medicaid Payments	(228)	(160)	0	0	0
Capital Projects	(211)	(21)	(20)	0	0
Closing Balance	315	0	0	0	0

Fidelis - Centene Asset Sale

In September 2017, Fidelis Care (a nonprofit insurer associated with the Catholic Diocese of New York) agreed to sell a substantial share of its assets (under Sections 510 and 511-a of the Not-for-Profit Corporation Law “N-PCL”) to Centene Corporation, a for-profit health insurer based in St. Louis, Missouri, in order to enter New York’s health insurance marketplace. Consistent with previous transactions of similar nature in New York, the transaction was subject to regulatory approval by DOH, DFS and the Office of the Attorney General (OAG). The transaction included an agreement that the companies would contribute an estimated \$2 billion over five years beginning in FY 2019.



State Financial Plan Multi-Year Projections

Direct payments are expected to offset State costs for health care transformation activities, including enhancing access to affordable quality health care and health care-related services for the poor, disabled, disadvantaged, elderly and/or underserved people of the State, and/or to assist populations with any unmet health care-related needs including, but not limited to, those associated with the social determinants of health.

Following completion of all regulatory approvals, the initial \$1 billion direct payment from Fidelis Care was deposited into the HCTF in July 2018. The State recently received the second round of conversion proceeds totaling \$468 million. Future deposits into the HCTF from these entities include \$118 million in both FY 2021 and FY 2022, as well as \$68 million in FY 2023, at which time the conversion will be complete. The HCTF does not include increased insurance tax receipts from Centene or higher Medicaid provider rates paid to Centene, which are reflected in the General Fund.

CVS – Aetna Acquisition

In November 2018, DFS approved an application by CVS Health Corp. and CVS Pharmacy Inc. to acquire Aetna Health Insurance Company, a New York domestic stock accident and health insurance company. The acquisition was subject to several conditions, including enhanced consumer and health insurance rate protections, privacy controls, cybersecurity compliance, and a \$40 million obligation to New York State over three years. In January 2020, the State received the first of three planned installments, which totaled approximately \$13 million. Two remaining installments, commensurate with amounts collected in FY 2020, are planned to be collected in FY's 2021 and 2022.

Cigna Health and Life Insurance Company (Cigna) – Express Scripts

In December 2018, DFS approved the request by Cigna Corporation, a health services organization, to acquire Express Scripts, a subsidiary pharmacy benefit management organization of Medco Containment Insurance Company of New York. Pursuant to the DFS approved terms, the combined entity is expected to contribute a total of \$20 million to New York through FY 2022 and will implement an enhanced care model that will reduce the cost of care and coverage gaps related to diabetes care, cardiology care and opioid abuse. Additional conditions include adherence to New York's cyber-security regulations and consumer protections related to insurance premiums and drug prices. In February 2020, the State received the first of three annual installments totaling approximately \$7 million.

DOB expects to transfer HCTF funds from the above transactions to the General Fund to offset State costs for health care transformation activities.

Essential Plan

The FY 2015 Enacted Budget authorized the State to participate in the EP, a health insurance program which receives Federal subsidies authorized through the ACA. The EP includes health insurance coverage for legally residing immigrants in New York not eligible for Medicaid, CHP or other employer-sponsored coverage. Individuals who meet the EP eligibility standards are enrolled through the New York State of Health (NYSOH) insurance exchange, with the cost of insurance premiums subsidized by the State and Federal governments. The Exchange – NYSOH – serves as a centralized marketplace to shop for, compare, and enroll in a health plan. More than 870,000 New Yorkers are expected to be enrolled in the EP in FY 2021. An increase in EP enrollment is anticipated in FY 2021, resulting from increased unemployment attributable to the COVID-19 pandemic.

ESSENTIAL PLAN (millions of dollars)									
	FY 2020 Results	FY 2021 Updated	Change	FY 2022 Projected	Change	FY 2023 Projected	Change	FY 2024 Projected	Change
TOTAL ALL FUNDS SPENDING	3,908	4,860	24.4%	4,926	1.4%	4,838	-1.8%	4,734	-2.1%
State Operating Funds	74	64	-13.5%	64	0.0%	63	-1.6%	63	0.0%
Local Assistance ¹	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%
State Operations	74	64	-13.5%	64	0.0%	63	-1.6%	63	0.0%
Federal Operating Funds	3,834	4,796	25.1%	4,862	1.4%	4,775	-1.8%	4,671	-2.2%

¹The EP is not a Medicaid program; however, State savings associated with the EP local assistance program are realized within the Global Cap, where EP resources are managed.

On an All Funds basis, Essential Plan spending is anticipated to fluctuate over the Updated multiyear Financial Plan, reflecting a mix of factors, including growth in costs associated with increased eligibility and program enrollment, in addition to continued Federal support. The increase from FY 2020 to FY 2021 is partially attributable to the delayed transition of the Value Based Payment Quality Incentive Program to Federal funds and increased EP reimbursement rates to providers. Spending growth attributable to these rates tapers in the outyears.

As the State continues to collect a high Federal reimbursement rate for the EP under the current methodology, increased EP local assistance costs attributable to higher enrollment is not expected to increase State share support in FY 2021. The Updated Financial Plan assumes the local assistance share of the EP will continue to be fully Federally funded. However, efforts by the Trump Administration beginning in 2017 to change the reimbursement, continue to present uncertainties in future funding shares of the EP. Despite the uncertainty, the Updated Financial Plan reflects full support for the EP.

Public Health/Aging Programs

Public Health includes many programs. The largest is CHP, which provides health insurance coverage for children of low-income families, up to the age of 19: General Public Health Work (GPHW) reimburses local health departments for the cost of providing certain public health services; Elderly Pharmaceutical Insurance Coverage (EPIC) which provides prescription drug insurance to seniors; and the Early Intervention (EI) program pays for services provided to infants and toddlers under the age of three with disabilities or developmental delays. Many public health programs, such as the EI and GPHW programs, are run by county health departments that are reimbursed by the State for a share of program costs. State spending projections do not include the county share of public health costs. In addition, a significant portion of HCRA spending is included under the Public Health budget.

The State Office for the Aging (SOFA) promotes and administers programs and services for New Yorkers 60 years of age and older. SOFA primarily oversees community-based services (including in-home services and nutrition assistance) provided through a network of county Area Agencies on Aging (AAA) and local providers.

PUBLIC HEALTH AND AGING (millions of dollars)									
	FY 2020 Results	FY 2021 Updated	Change	FY 2022 Projected	Change	FY 2023 Projected	Change	FY 2024 Projected	Change
TOTAL STATE OPERATING FUNDS	1,805	1,830	1.4%	1,866	2.0%	1,890	1.3%	1,905	0.8%
Public Health	1,669	1,689	1.2%	1,723	2.0%	1,742	1.1%	1,751	0.5%
Child Health Plus ¹	735	557	-24.2%	733	31.6%	753	2.7%	761	1.1%
General Public Health Work ²	75	266	254.7%	163	-38.7%	163	0.0%	163	0.0%
EPIC	101	104	3.0%	103	-1.0%	103	0.0%	103	0.0%
Early Intervention ²	84	254	202.4%	163	-35.8%	163	0.0%	163	0.0%
HCRA Program	355	283	-20.3%	328	15.9%	328	0.0%	328	0.0%
All Other	319	225	-29.5%	233	3.6%	232	-0.4%	233	0.4%
Aging	136	141	3.7%	143	1.4%	148	3.5%	154	4.1%

¹ FY 2020 CHP spending includes the transfer of the Aliessa population previously funded under the Medicaid Global Cap. This change has no impact on service delivery.
² Fluctuating costs for General Public Health Works and Early Intervention is attributable to the timing of payment processing at the end of FY 2020.

The projected spending increase in FY 2021 is primarily attributable to the timing of FY 2020 payments. The standard review process for State payments was disrupted by the COVID-19 pandemic, causing a lag in the release of several payments at the end of FY 2020, including GPHW and EI. Growth in Public Health spending over the multi-year Financial Plan can be attributable to the CHP program from increased enrollment, as well as the full impact of phased down Federal support currently provided under the ACA, which increases the State share of CHP costs. In FY 2021, reduced CHP spending is attributed to the collection of eFMAP through December 31, 2020, as authorized by the Secretary of Health and Human Services.



In addition to ongoing program support, the Updated Financial Plan leverages \$73 million in new Federal funding to support public health programs that improve the health of children. The Health Services Initiatives option, available under CHP, will be used to offset State costs in programs such as GPHW, Healthy Neighborhoods, Genetic Disease, Public Health Campaign sexually transmitted diseases, and SNAP.

The Updated Financial Plan also includes SOFA support to address locally identified capacity needs for services to maintain the elderly in their communities, support family and friends in their caregiving roles, and reduce future Medicaid costs by intervening earlier with less intensive services.

HCRA Financial Plan

HCRA was established in 1996 to help fund a portion of State health care activities and is currently authorized through FY 2023. HCRA resources include surcharges and assessments on hospital revenues, a “covered lives” assessment paid by insurance carriers, and a portion of cigarette tax revenues. These resources are used to fund roughly 25 percent of State share Medicaid costs, and other programs and health care industry investments including CHP, EPIC, Physician Excess Medical Malpractice Insurance, Indigent Care payments to hospitals serving a disproportionate share of individuals without health insurance; Worker Recruitment and Retention; Doctors Across New York; and the Statewide Health Information Network for New York (SHIN-NY)/All-Payer Claims Databases (APCD) infrastructure development initiative, which improves the informational and data capabilities associated with claiming records.

HCRA FINANCIAL PLAN					
(millions of dollars)					
	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
OPENING BALANCE	0	16	0	0	0
TOTAL RECEIPTS	6,261	5,835	6,129	6,189	6,253
Surcharges	3,875	3,523	3,797	3,872	3,949
Covered Lives Assessment	1,050	1,049	1,110	1,110	1,110
Cigarette Tax Revenue	722	675	641	609	579
Hospital Assessments	503	487	487	502	518
Excise Tax on Vapor Products	10	14	6	6	6
NYC Cigarette Tax Transfer	23	21	21	21	21
EPIC Receipts/ICR Audit Fees	78	66	67	69	70
TOTAL DISBURSEMENTS AND TRANSFERS	6,245	5,851	6,129	6,189	6,253
Medicaid Assistance Account	<u>3,836</u>	<u>3,916</u>	<u>3,991</u>	<u>4,050</u>	<u>4,106</u>
Medicaid Costs	3,639	3,741	3,816	3,875	3,931
Workforce Recruitment & Retention	197	175	175	175	175
Hospital Indigent Care	917	717	717	717	717
HCRA Program Account	363	292	336	336	336
Child Health Plus ¹	747	568	745	766	774
Elderly Pharmaceutical Insurance Coverage	112	116	114	114	114
Qualified Health Plan Administration	41	40	40	40	40
SHIN-NY/APCD	40	40	40	40	40
All Other	189	162	146	126	126
ANNUAL OPERATING SURPLUS/(DEFICIT)	16	(16)	0	0	0
CLOSING BALANCE	16	0	0	0	0

¹ The fluctuation in Child Health Plus expenditures from FY 2020 to FY 2021 reflects the impact of transitioning certain funding from the Medicaid Assistance account to Child Health Plus. This transition has no impact on service delivery.

HCRA receipts are anticipated to decline sharply in FY 2021 before gradually reverting back to levels comparable to FY 2020, primarily reflecting the anticipated impacts of the COVID-19 pandemic on hospital services utilization, including elective procedures, and subsequently, surcharge collections. The FY 2021 CLA has been adjusted to levels consistent with prior year collection levels to reflect the impact of shifting enrollment trends from commercial to public health insurance plans, which are not subject to the CLA. Anticipated base declines in cigarette tax revenue, attributable to reduced consumption, and further impacted by the full year impact of FY 2020 Enacted legislation that raised the purchasing age for tobacco products to 21, also contributes to reduced HCRA resource availability in FY 2021.

Tax receipts in the State's HCRA fund are influenced by the consumption of nicotine-based products. Continued declines in the consumption of cigarettes, paired with the full year impact of raising the purchasing age for tobacco products to 21 years, drives the projected decrease in HCRA tax receipts in FY 2021 and beyond.

Effective December 1, 2019, a 20 percent excise tax on the sale of vapor products went into effect in New York. The Enacted Budget includes legislation that bans the sale of most flavored vapor products, which represent a significant portion of the market. As such, the ban is expected to significantly reduce consumption and subsequently, HCRA tax receipts. Projected outyear declines in Vapor Tax receipts reflect the full annual impact of the vapor flavor ban.

HCRA spending in FY 2021 is expected to decline in line with the aforementioned COVID-19 pandemic impacts on receipts collections. Additionally, lower CHP spending is attributed to the collection of eFMAP, providing \$77 million in State share savings. The most substantial area of spending growth in the outyears is for the CHP program, largely due to the expiration of enhanced Federal resources provided through the ACA and expected utilization growth related to increased eligibility.

HCRA is expected to remain in balance over the multi-year Financial Plan period. Under the current HCRA appropriation structure, spending reductions will occur if resources are insufficient to maintain a balanced fund. Any such spending reductions could affect General Fund Medicaid funding or HCRA programs. Conversely, any unanticipated balances or excess resources in HCRA are expected to fund Medicaid costs that would have otherwise been paid from the General Fund.

Mental Hygiene

Mental Hygiene services are delivered by the Office for People with Developmental Disabilities (OPWDD), the Office of Mental Health (OMH), the Office of Addiction Services and Supports (OASAS), the Developmental Disabilities Planning Council (DDPC), and the Justice Center for the Protection of People with Special Needs (Justice Center). Services are provided for adults with mental illness, children with emotional disturbance, individuals with developmental disabilities and their families, persons with chemical dependencies, and individuals with compulsive gambling problems.

These agencies provide services directly to their clients through State-operated facilities and indirectly through community-based providers. Costs of providing these services are reimbursed by Medicaid, Medicare, third-party insurance, and State funding. Patient care revenues are pledged first to the payment of debt service on outstanding mental hygiene bonds, issued to finance infrastructure improvements at State mental hygiene facilities. Revenues in excess of debt service commitments are used to support State operating costs associated with Mental Hygiene service delivery.

MENTAL HYGIENE (millions of dollars)									
	FY 2020	FY 2021		FY 2022		FY 2023		FY 2024	
	Results	Updated	Change	Projected	Change	Projected	Change	Projected	Change
TOTAL STATE OPERATING FUNDS	3,427	3,230	-5.7%	3,855	19.3%	3,783	-1.9%	4,325	14.3%
People with Developmental Disabilities	2,352	2,466	4.8%	2,615	6.0%	2,778	6.2%	2,936	5.7%
Residential Services	1,363	1,451	6.5%	1,512	4.2%	1,608	6.3%	1,699	5.7%
Day Programs	693	738	6.5%	769	4.2%	817	6.2%	864	5.8%
Clinic	17	18	5.9%	19	5.6%	20	5.3%	21	5.0%
All Other Services (Net of Offsets)	279	259	-7.2%	315	21.6%	333	5.7%	352	5.7%
Mental Health	1,322	1,485	12.3%	1,524	2.6%	1,593	4.5%	1,653	3.8%
Adult Local Services	1,091	1,229	12.6%	1,262	2.7%	1,321	4.7%	1,371	3.8%
Children Local Services	231	256	10.8%	262	2.3%	272	3.8%	282	3.7%
Addiction Services and Supports	363	368	1.4%	377	2.4%	402	6.6%	420	4.5%
Residential	91	94	3.3%	87	-7.4%	97	11.5%	101	4.1%
Other Treatment	190	188	-1.1%	197	4.8%	207	5.1%	216	4.3%
Prevention	50	51	2.0%	55	7.8%	57	3.6%	60	5.3%
Recovery	32	35	9.4%	38	8.6%	41	7.9%	43	4.9%
Justice Center	1	1	0.0%	1	0.0%	1	0.0%	1	0.0%
Total Spending Funded by DOH Medicaid Global Cap ¹	(611)	(1,090)	-78.4%	(662)	39.3%	(991)	-49.7%	(685)	30.9%
People with Developmental Disabilities	(611)	(1,090)	-78.4%	(662)	39.3%	(991)	-49.7%	(685)	30.9%
TOTAL MENTAL HYGIENE SPENDING ¹	4,038	4,320	7.0%	4,517	4.6%	4,774	5.7%	5,010	4.9%

¹ Reflects a portion of mental hygiene spending reported under the Medicaid Global Cap that has no impact on mental hygiene service delivery or operations.

Local assistance funding for the mental hygiene agencies is expected to grow by an average 5.5 percent over the Financial Plan period. Increased funding reflects reimbursement to not-for-profit providers for increasing employee wages related to salary increases for direct care and clinical workers; compliance with incremental pay standards and related fringe benefit increases associated with the transition to a \$15 per hour minimum wage; and community-based employment and residential opportunities for individuals with disabilities.

Investments to leverage up to \$120 million (gross) in additional OPWDD funding will allow for the development of new certified housing supports in the community, support more independent living, provide more day program and employment options, and increase respite availability. Additional OMH funding will support existing residential programs and expansion of suicide prevention efforts for veterans, law enforcement, correction officers and first responders.

Spending also reflects a 4 percent total increase through FY 2021 for direct care workers and a 2 percent pay raise for clinical workers serving the mental hygiene community. Both increases are aimed at assisting not-for-profits in recruitment and retention of employees. When fully annualized, these investments will increase State share support for workers by \$107 million (\$188 million on an All Funds basis).

The Updated Financial Plan reflects continued funding for OASAS prevention, treatment and recovery programs targeted toward chemical dependency, residential service opportunities, and public awareness activities.

A \$1.1 billion portion of mental hygiene spending is reported under the DOH Medicaid Global Cap in FY 2021, an increase of roughly \$500 million over FY 2020. This has no impact on mental hygiene service delivery or operations.

Social Services

Office of Temporary and Disability Assistance (OTDA)

OTDA local assistance programs provide cash benefits and supportive services to low-income families. The State’s three main programs are Family Assistance, Safety Net Assistance and SSI. The Family Assistance program, financed by the Federal government, provides time-limited cash assistance to eligible families. The Safety Net Assistance program, financed by the State and local districts, provides cash assistance for single adults, childless couples, and families that have exhausted their five-year limit on Family Assistance imposed by Federal law. The State SSI Supplementation program provides a supplement to the Federal SSI benefit for the elderly, visually handicapped, and disabled persons.

TEMPORARY AND DISABILITY ASSISTANCE (millions of dollars)									
	FY 2020 Results	FY 2021 Updated	Change	FY 2022 Projected	Change	FY 2023 Projected	Change	FY 2024 Projected	Change
TOTAL STATE OPERATING FUNDS	1,161	1,416	22.0%	1,346	-4.9%	1,459	8.4%	1,496	2.5%
SSI	635	666	4.9%	667	0.2%	667	0.0%	667	0.0%
Public Assistance Benefits	420	647	54.0%	541	-16.4%	541	0.0%	541	0.0%
Public Assistance Initiatives	10	9	-10.0%	9	0.0%	9	0.0%	9	0.0%
Homeless Housing and Services	92	90	-2.2%	126	40.0%	239	89.7%	277	15.9%
All Other	4	4	0.0%	3	-25.0%	3	0.0%	2	-33.3%

DOB’s caseload models project a total of 469,185 public assistance recipients in FY 2021. Approximately 171,392 families are expected to receive benefits through the Family Assistance program in FY 2021, a decrease of 3.7 percent from FY 2020. The Safety Net caseload for families is projected at 101,741 in FY 2021, a decrease of 3.1 percent from FY 2020. The caseload for single adults and childless couples supported through the Safety Net program is projected at 196,052 in FY 2021, an increase of 2.4 percent from FY 2020.

SSI spending is projected to increase slightly over the course of the multi-year Financial Plan as caseload is expected to level off. The large increase in Public Assistance payments in FY 2021 is due to interruptions in the March 2020 payment review process stemming from the COVID-19 pandemic, that resulted in payments moved into FY 2021. Budget actions include shifting the cost of Consolidated Homeless Programs to off-budget resources and restructuring financing for the Family Assistance and Emergency Assistance for Needy Families programs, to move 5 percent of costs previously financed by Federal TANF resources to the City of New York. Spending increases in the outyears reflect a transition from State settlement funds to the General Fund for the Empire State Supportive Housing Initiative (ESSHI) supportive housing constructed for vulnerable homeless populations under the Governor’s Affordable Housing and Homelessness Plan. This transition from settlement funds reflects all costs of the ESSHI program that are shared by multiple agencies and will be allocated to those agencies in a future update to the Financial Plan.

Office of Children and Family Services (OCFS)

OCFS provides funding for foster care, adoption, child protective services, preventive services, delinquency prevention, and child care. It oversees the State’s system of family support and child welfare services administered by local social services districts and community-based organizations. Specifically, child welfare services, financed jointly by the Federal government, the State, and local districts, are structured to encourage local governments to invest in preventive services for reducing out-of-home placement of children. In addition, the Child Care Block Grant, which is also financed by a combination of Federal, State and local sources, supports child care subsidies for public assistance and low-income families.

CHILDREN AND FAMILY SERVICES (millions of dollars)									
	FY 2020	FY 2021		FY 2022		FY 2023		FY 2024	
	Results	Updated	Change	Projected	Change	Projected	Change	Projected	Change
TOTAL STATE OPERATING FUNDS	1,194	1,834	53.6%	1,547	-15.6%	1,547	0.0%	1,547	0.0%
Child Welfare Service	305	685	124.6%	476	-30.5%	476	0.0%	476	0.0%
Foster Care Block Grant	287	480	67.2%	391	-18.5%	391	0.0%	391	0.0%
Child Care	191	192	0.5%	209	8.9%	209	0.0%	209	0.0%
Adoption	127	161	26.8%	146	-9.3%	146	0.0%	146	0.0%
Youth Programs	94	101	7.4%	92	-8.9%	92	0.0%	92	0.0%
Medicaid	37	71	91.9%	75	5.6%	75	0.0%	75	0.0%
Adult Protective/Domestic Violence	19	81	326.3%	54	-33.3%	54	0.0%	54	0.0%
Committees on Special Education	30	0	-100.0%	29	0.0%	29	0.0%	29	0.0%
All Other	104	63	-39.4%	75	19.0%	75	0.0%	75	0.0%

As the State responded to the COVID-19 pandemic, the normal review process for payments was interrupted. This caused a delay in the release of several payments from FY 2020 to FY 2021, including Child Welfare Services, Foster Care Block Grant, Adoption, Youth and Adult Protective/Domestic Violence programs.



State Financial Plan Multi-Year Projections

Transportation

The Department of Transportation (DOT) directly maintains and improves approximately 44,500 State highway lane miles and nearly 7,900 bridges. The Department also partially funds regional and local transit systems, including the MTA; local government highway and bridge construction; and rail, airport, and port programs.

Transit operating aid estimates have been revised since the Enacted Budget due to declining revenue expectations. In FY 2021, the State expects to provide \$6.0 billion in operating aid to mass transit systems, including up to \$2.2 billion from the direct remittance of various dedicated taxes and fees to the MTA (not included in the table below) and \$244 million annually from a State supplement to the Payroll Mobility Tax (PMT) tax collections. The MTA, the nation's largest transit and commuter rail system, receives an estimated 90 percent of the State's mass transit aid.

TRANSPORTATION (millions of dollars)									
	FY 2020	FY 2021		FY 2022		FY 2023		FY 2024	
	Results	Updated	Change	Projected	Change	Projected	Change	Projected	Change
STATE OPERATING FUNDS SUPPORT	3,488	3,792	8.7%	3,769	-0.6%	3,971	5.4%	4,161	4.8%
Mass Transit Operating Aid:	<u>2,448</u>	<u>2,709</u>	<u>10.7%</u>	<u>2,593</u>	<u>-4.3%</u>	<u>2,717</u>	<u>4.8%</u>	<u>2,806</u>	<u>3.3%</u>
Metro Mass Transit Aid	2,292	2,571	12.2%	2,440	-5.1%	2,562	5.0%	2,650	3.4%
Public Transit Aid	112	94	-16.1%	109	16.0%	111	1.8%	112	0.9%
18-b General Fund Aid	19	19	0.0%	19	0.0%	19	0.0%	19	0.0%
School Fare	25	25	0.0%	25	0.0%	25	0.0%	25	0.0%
Mobility Tax	245	244	-0.4%	289	18.4%	370	28.0%	472	27.6%
MTA Aid Trust	32	0	-100.0%	0	0.0%	0	0.0%	0	0.0%
NY Central Business District Trust	0	150	0.0%	152	1.3%	153	0.7%	155	1.3%
Dedicated Mass Transit	697	623	-10.6%	669	7.4%	665	-0.6%	662	-0.5%
AMTAP	66	66	0.0%	66	0.0%	66	0.0%	66	0.0%
All Other	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%

Projected operating aid to the MTA and other transit systems reflects the current receipts forecast, certain commitments to MTA capital plans, and a lag in the release of several payments in FY 2020 caused by interruption of the normal payment review process as the State responded to the evolving COVID-19 pandemic. A substantial amount of new funding to the MTA was authorized in the FY 2020 Enacted Budget as part of a comprehensive reform plan expected to generate an estimated \$25 billion in financing for the MTA's 2020-2024 Capital Plan. This includes sales tax receipts from online marketplace provider sales tax collections on all sales facilitated through their platforms, and implementation and enforcement of regulations associated with the *Wayfair* decision, which is projected to provide the MTA with \$150 million in dedicated revenues in FY 2021.

The MTA, and its operating agencies, have suffered devastating reductions in ridership and traffic as a result of the COVID-19 pandemic. Compared to 2019 results, as of October 19, 2020, ridership has declined 70 percent on the subways, 77 percent on MTA Metro-North Railroad, 72 percent on the Long Island Rail Road, and 52 percent on buses. Crossings at the Triborough Bridge and Tunnel Authority on October 19, 2020, were down by an estimated 11 percent from 2019 figures, a significant improvement from the most severe decline on April 5, 2020, of an estimated 79 percent.

At the request of the MTA, McKinsey & Company (McKinsey) provided a detailed economic analysis to assist MTA in assessing the financial impact of the COVID-19 pandemic on the MTA's operating budget. The McKinsey analysis projects the full calendar year 2020 financial impact of the pandemic on the MTA to be between \$7 and \$8.5 billion in calendar year 2020 and another \$5.1 to \$7.8 billion in 2021, including substantial reductions in revenues from State dedicated taxes and fees. The MTA has received approximately \$4 billion under the Federal CARES Act. The MTA has requested \$12 billion in additional Federal aid to get through the remainder of calendar year 2020 and 2021, which accounts for the estimated fare, toll and dedicated tax revenue loss described in MTA's July 2020 financial plan attributable to COVID-19 impacts, and the delay of the Central Business District Tolling Program.

The adverse impact of the pandemic on the operating budget has affected credit ratings on MTA Transportation Revenue Bonds. Due to the increased cost of borrowing for the MTA, the State issued PIT Revenue Bonds in July and in October 2020 to fund \$1.8 billion of the State's portion of the MTA's 2015-19 Capital Plan. Previously the Enacted Budget had assumed that the projects would be bonded by the MTA, but funded by the State through additional operating aid to the MTA. Accordingly, the Updated Financial Plan reflects a decrease in local aid disbursements from the Enacted Plan of \$31 million in FY 2021 and \$79 million in each of the outyears, and an accompanying increase in PIT Bond debt service. It is expected that the State may undertake additional bond sales in FY 2021 or in later years to fund all or a portion of its remaining contribution to the MTA 2015-19 Capital Plan.



State Financial Plan Multi-Year Projections

Local Government Assistance

Direct aid to local governments includes the Aid and Incentives for Municipalities (AIM) program, created in FY 2006 to consolidate various unrestricted local aid funding streams; miscellaneous financial assistance for certain counties, cities, towns, and villages; and efficiency-based incentive grants to local governments.

LOCAL GOVERNMENT ASSISTANCE - AIM PROGRAM (millions of dollars)									
	FY 2020	FY 2021		FY 2022		FY 2023		FY 2024	
	Results	Updated	Change	Projected	Change	Projected	Change	Projected	Change
TOTAL STATE OPERATING FUNDS	662	671	1.4%	704	4.9%	704	0.0%	704	0.0%
Big Four Cities	429	429	0.0%	429	0.0%	429	0.0%	429	0.0%
Other Cities	218	218	0.0%	218	0.0%	218	0.0%	218	0.0%
Towns and Villages	9	9	0.0%	9	0.0%	9	0.0%	9	0.0%
Restructuring/Efficiency	6	15	150.0%	48	220.0%	48	0.0%	48	0.0%

The State continues to support towns and villages at the same funding level as FY 2020. State Operating Funds spending for the various efficiency and restructuring grants within the AIM program is projected to increase due to potential awards from the Financial Restructuring Board for Local Governments.

Agency Operations

Agency operating costs consist of Personal Service (PS), Non-Personal Service (NPS), and GSCs. PS includes salaries of State employees of the Executive, Legislative, and Judicial branches consistent with current negotiated collective bargaining agreements, as well as temporary/seasonal employees. NPS includes real estate rentals, utilities, contractual payments (e.g., consultants, Information Technology (IT), and professional business services), supplies and materials, equipment, and telephone service. GSCs, discussed separately, reflect the cost of fringe benefits (e.g., pensions and health insurance) provided to State employees and retirees of the Executive, Legislative and Judicial branches, as well as certain fixed costs such as litigation expenses and taxes on public lands. Certain agency operating costs of DOT and DMV are included in Capital Projects Funds and are not reflected in State Operating Funds.

Approximately 94 percent of the State workforce is unionized. The largest unions include CSEA, which represents office support staff and administrative personnel, machine operators, skilled trade workers, and therapeutic and custodial care staff; PEF, which represents professional and technical personnel (attorneys, nurses, accountants, engineers, social workers, and institution teachers); UUP, which represents faculty and nonteaching professional staff within the SUNY system; and NYSCOPBA, which represents security personnel (correction officers, safety and security officers).

The following table presents certain factors used in preparing the spending projections for agency operations.

FORECAST OF SELECTED PROGRAM MEASURES AFFECTING PERSONAL SERVICE AND FRINGE BENEFITS					
	FY 2020 Results ¹	FY 2021 Updated	Forecast		
			FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Negotiated Base Salary Increases ²					
NYSTPBA /NYSPIA/NYSCOPBA/GSEU	2%	2%	2%	2%	TBD
UUP	2%	2%	2%	TBD	TBD
CSEA/DC-37 (Rent Regulation Unit)/MC	2%	2%	TBD	TBD	TBD
Council 82/PEF/PBANYS	TBD	TBD	TBD	TBD	TBD
State Workforce ³	118,193	118,170	TBD	TBD	TBD
ERS Contribution Rate					
Before Amortization ⁴	15.1%	15.2%	16.9%	18.3%	21.8%
After Amortization ⁵	18.7%	18.7%	20.1%	20.9%	23.6%
PFRS Contribution Rate					
Before Amortization ⁴	24.0%	25.0%	28.6%	30.7%	34.6%
After Amortization ⁵	26.8%	27.6%	31.0%	32.8%	36.1%
Employee/Retiree Health Insurance Growth Rates	2.6%	3.9%	6.4%	7.4%	7.4%
PS/Fringe as % of Receipts (All Funds Basis)	13.0%	12.3%	14.3%	14.5%	14.3%
¹ Reflects preliminary unaudited results. ² Reflects current collective bargaining agreements with settled unions. Does not reflect potential impact of future negotiated labor agreements. ³ Reflects workforce that is subject to direct Executive control. ⁴ Before amortization contribution rate reflects the State's normal and administrative costs, contributions for the Group Life Insurance Plan (GLIP), and Chapter 41 of 2016 veterans' pension credit legislation. ⁵ After amortization contribution rate additionally includes new amortization, if any, and payments on prior amortizations.					



State Financial Plan Multi-Year Projections

Consistent with the Enacted Budget Financial Plan, the State has implemented a hiring freeze and controls on non-personal service and capital commitments and expenditures. It has also deferred, through at least December 31, the general salary increases that were scheduled to take effect on April 1, 2020.

STATE OPERATING FUNDS - PERSONAL SERVICE / NON-PERSONAL SERVICE COSTS					
(millions of dollars)					
	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
SUBJECT TO DIRECT EXECUTIVE CONTROL¹	11,040	9,520	10,548	10,565	10,625
Mental Hygiene ²	2,882	2,860	2,908	2,954	2,999
Corrections and Community Supervision ²	2,871	2,665	2,629	2,669	2,666
State Police	774	476	791	810	810
Department of Health ²	710	697	729	704	711
Information Technology Services	540	491	495	487	487
Children and Family Services	146	343	340	333	338
Tax and Finance	316	329	315	301	302
Transportation	345	308	304	292	292
Environmental Conservation	216	226	219	214	213
COVID-19 Pandemic	503	(501)	200	200	200
All Other	1,737	1,626	1,618	1,601	1,607
UNIVERSITY SYSTEMS	6,426	6,494	6,358	6,454	6,533
State University	6,324	6,362	6,355	6,451	6,530
City University	102	132	3	3	3
INDEPENDENT AGENCIES	337	321	314	314	314
Law	185	173	169	169	169
Audit & Control (OSC)	152	148	145	145	145
TOTAL, EXCLUDING JUDICIARY AND LEGISLATURE	17,803	16,335	17,220	17,333	17,472
Judiciary	2,137	2,017	1,960	1,960	1,960
Legislature	228	224	231	231	231
Statewide Total	20,168	18,576	19,411	19,524	19,663
Personal Service	14,090	13,893	13,995	14,085	14,143
Non-Personal Service	6,078	4,683	5,416	5,439	5,520

¹ FY 2020 results include \$185 million in retroactive salary payments for NYSOPBA, PBA and NYSPIA labor agreements.

² Excludes costs incurred, or expected to be incurred, in response to the COVID-19 pandemic.

State agencies have been directed to reduce operating expenditures by 10 percent from the levels authorized in the Enacted Budget Financial Plan, with limited exceptions for facility operations and public health and safety. The Judiciary and elected officials are expected to achieve comparable reductions in their budgets for FY 2021. These savings, included in the Enacted Budget, have been allocated to agencies and are expected to be achieved through adherence to a strict freeze on hiring and transfers, limiting new contracts or purchase orders for non-personal service expenditures to those needed to protect the health, safety and security of employees and citizens, and to ensure the continuation of high priority operations and services. Partially offsetting these savings is the one-time cost of an additional payroll in FY 2021. Other notable spending changes include:

- **State Police.** Spending projections for the State Police have been updated to reflect the reclassification of personal service costs for first responders and public safety officers to the Federal CRF pursuant to Treasury eligibility guidelines. This results in lower estimated General Fund spending in FY 2021 and a concomitant increase in the Federal CRF.
- **Corrections and Community Supervision.** Lower spending reflects retroactive salary payments made in FY 2020, partially offset by increasing costs associated with solitary confinement reforms. Savings previously assumed from the reduction of excess prison capacity in FY 2021 will not materialize due to need for additional beds and space in the prison facilities to enable isolation of inmates that are infected with COVID-19 or under quarantine.
- **Children and Family Services.** Increased spending is mainly driven by the annualized cost of raising the age of criminal responsibility from 16 to 18, and a modification to the youth facility billing process implemented in FY 2020.
- **Mental Hygiene.** Increased spending includes the cost of continued delivery of services in State-operated program settings.
- **State University.** Spending for SUNY hospitals has been revised upward to adjust for an increase in COVID-related costs, partially offset by lower spending at SUNY campuses that reflects enrollment trends and implementation of spending controls.
- **City University.** The Enacted Budget authorized the reclassification of certain fees and associated spending, from a special revenue fund to an agency trust fund, to align with current classification of CUNY tuition revenues resulting in a reduction in CUNY spending. The implementation of this reclassification is deferred from FY 2021 to FY 2022.
- **Judiciary.** The Judiciary's request for increased operating support to fund salary and staff increases in court operations and security.



State Financial Plan Multi-Year Projections

- **COVID-19 Pandemic.** FY 2020 actual spending results reflect non-personal service costs incurred in response to the COVID-19 pandemic. The Enacted Budget Financial Plan assumed that all direct COVID-19 costs in FY 2021 would be fully funded by the Federal government and DOB did not include any estimate of such costs. However, spending projections have been updated to reflect the reclassification and reversal of non-personal service expenses that were incurred prior to March 31, 2020. Costs to build out hospital facilities, secure critical equipment, and fund other pandemic response activities totaled roughly \$500 million in FY 2020. A portion of these disbursements, roughly \$369 million is expected to be reclassified to the Federal CRF pursuant to Treasury eligibility guidelines. Another \$132 million has been refunded pursuant to cancelled contracts due to various violations of contractual terms and conditions. Spending has been increased in FY 2022 and beyond to recognize the prolonged impact of COVID-19 that is expected to continue to drive higher operational costs, particularly in human services and health care settings.

Workforce

In FY 2021, \$13.9 billion, or 14.2 percent, of the State Operating Funds budget is dedicated to supporting Full-Time Equivalent (FTE) employees under direct Executive control; individuals employed by SUNY (46,740) and Independent Agencies; employees paid on a nonannual salaried basis; and overtime pay. Roughly two-thirds of Executive agency workforce is in the mental hygiene agencies and DOCCS.

STATE OPERATING FUNDS		
FY 2021 FTEs ¹ AND PERSONAL SERVICE SPENDING BY AGENCY		
(millions of dollars)		
	Dollars	FTEs
SUBJECT TO DIRECT EXECUTIVE CONTROL	7,480	97,584
Mental Hygiene	2,378	32,331
Corrections and Community Supervision	2,198	27,311
State Police	417	5,543
Department of Health	277	4,090
Information Technology Services	292	3,403
Tax and Finance	258	4,085
Children and Family Services	245	2,297
Environmental Conservation	189	2,282
Transportation	152	2,593
Financial Services	158	1,392
All Other	916	12,257
UNIVERSITY SYSTEMS	4,360	47,117
State University	4,276	46,740
City University ²	84	377
INDEPENDENT AGENCIES	2,053	18,331
Law	125	1,490
Audit & Control (OSC)	118	1,565
Judiciary	1,632	15,273
Legislature ³	178	3
Statewide Total	13,893	163,032

¹ FTEs represent the number of annual-salaried full-time filled positions (e.g., one FTE may represent a single employee serving at 100 percent full-time, or a combination of employees serving at less than full-time that, when combined, equal a full-time position). The reported FTEs do not include nonannual salaried positions, such as those filled on an hourly, per-diem or seasonal basis.

² CUNY employees are funded through an agency trust fund that supports 13,130 FTEs, which are excluded from this table. The \$84 million in costs represents personal service expenses reflected in the CUNY Tuition Reimbursement account.

³ Legislative employees who are nonannual salaried are excluded from this table.

General State Charges

The State provides a variety of fringe benefits to current and former employees, including health insurance, pensions, workers' compensation coverage, unemployment insurance, survivors' benefits, and dental and vision benefits (some of which are provided through union-specific Employee Benefit Funds). The GSC budget also pays the Social Security payroll tax and certain statewide fixed costs, including taxes on State-owned lands, Payments in Lieu of Taxes (PILOT) and judgments and settlements awarded in the Court of Claims. Many of these payments are mandated by law or collective bargaining agreements.

Employee fringe benefits paid through GSCs are financed from the General Fund in the first instance, then partially reimbursed by revenue collected from agency fringe benefit assessments. In FY 2021, fringe benefit assessments have been updated to reflect the reclassification of personal service and related fringe benefits costs for State Police first responders and public safety officers to the Federal CRF pursuant to Treasury guidelines. This results in higher Federal fringe benefit assessments and lower General Fund spending in FY 2021.

GSC spending is projected to increase by an average of 6.6 percent over the multi-year Financial Plan period mostly due to the deferment of payroll tax payments in the current year. In response to the COVID-19 pandemic, the Federal CARES Act authorized employers to defer payment of non-Medicare payroll taxes from April – December 2020, and for the deferral to be repaid without interest in two equal payments on December 31, 2021 and December 31, 2022. Payroll taxes are 7.65 percent of personal service costs (6.2 percent for Social Security and 1.45 percent for Medicare). The Executive and the Judiciary have elected to defer the allowable non-Medicare payment -- an estimated \$599 million for the Executive and \$68 million for the Judiciary.

Growth in health insurance reflects medical inflation and current enrollment levels. Workers' Compensation costs are increasing due to growth in the average weekly wage used for benefit calculations and medical costs. Increases in other programs such as employee benefits and dental insurance are attributable to collectively negotiated salary increases and benefit enhancements.

State Financial Plan Multi-Year Projections



Overall pension costs are projected to increase based on the most recent estimate received from the State Comptroller’s Office, which reflects a variety of actuarial assumptions including salary increases and investment returns. The FY 2021 pension bill includes a reduction by OSC to the expected rate of return on pension assets from 7 percent to 6.8 percent. This was estimated to increase the State’s contribution by roughly \$300 million, however, the higher cost is partially offset by the implementation of a new “mortality improvement” scale and lower cost of Tier 6 entrants. The FY 2022 pension estimate of \$2.7 billion relied upon the October 2020 estimate from the State Comptroller, which reflects a negative 2.68 percent return in the Common Retirement Fund in FY 2020 and improvements in the mortality of retirees and beneficiaries. DOB’s forecast assumes growth in the salary base consistent with collective bargaining agreements and lower projected returns than OSC due to an uncertain economy and last year’s negative returns.

GENERAL STATE CHARGES (millions of dollars)									
	FY 2020 Results	FY 2021 Updated	Change	FY 2022 Projected	Change	FY 2023 Projected	Change	FY 2024 Projected	Change
TOTAL STATE OPERATING FUNDS	8,423	8,065	-4.3%	9,909	22.9%	10,437	5.3%	10,872	4.2%
Fringe Benefits	8,063	7,649	-5.1%	9,435	23.3%	9,963	5.6%	10,398	4.4%
Health Insurance	4,303	4,473	4.0%	4,761	6.4%	5,113	7.4%	5,493	7.4%
Pensions	2,456	2,552	3.9%	2,884	13.0%	2,989	3.6%	3,306	10.6%
Social Security	1,070	463	-56.7%	1,472	217.9%	1,489	1.2%	1,175	-21.1%
Workers' Compensation	452	479	6.0%	527	10.0%	580	10.1%	638	10.0%
Employee Benefits	103	106	2.9%	117	10.4%	121	3.4%	121	0.0%
Dental Insurance	55	59	7.3%	65	10.2%	66	1.5%	66	0.0%
Unemployment Insurance	11	12	9.1%	12	0.0%	12	0.0%	12	0.0%
All Other/Non-State Escrow	(387)	(495)	-27.9%	(403)	18.6%	(407)	-1.0%	(413)	-1.5%
Fixed Costs	360	416	15.6%	474	13.9%	474	0.0%	474	0.0%
Public Land Taxes/PILOTS	262	280	6.9%	302	7.9%	302	0.0%	302	0.0%
Litigation	98	136	38.8%	172	26.5%	172	0.0%	172	0.0%

Transfers to Other Funds (General Fund Basis)

General Fund transfers help finance debt service for bonds that do not have dedicated revenues, SUNY operating costs, certain capital initiatives, and a range of other activities.

GENERAL FUND TRANSFERS TO OTHER FUNDS					
(millions of dollars)					
	FY 2020	FY 2021	FY 2022	FY 2023	FY 2024
	Results	Updated	Projected	Projected	Projected
TOTAL TRANSFERS TO OTHER FUNDS	6,098	6,446	6,783	7,052	6,458
Debt Service	736	308	471	489	545
SUNY University Operations	1,179	1,273	1,273	1,267	1,267
Capital Projects	3,128	3,334	3,715	3,895	3,139
Extraordinary Monetary Settlements:	1,345	425	959	747	345
Dedicated Infrastructure Investment Fund	939	1,130	877	525	330
Javits Center Expansion	271	183	0	0	0
Bond Proceeds Receipts for Javits Center Expansion	0	(1,000)	0	0	0
Clean Water Grants	0	25	50	175	0
Mass Transit Capital	3	7	2	2	1
Health Care	132	80	30	45	14
Dedicated Highway and Bridge Trust Fund	397	616	330	469	516
Environmental Protection Fund	28	20	19	85	85
All Other Capital	1,358	2,273	2,407	2,594	2,193
ALL OTHER TRANSFERS	1,055	1,531	1,324	1,401	1,507
Department of Transportation (MTA Payroll Tax)	244	244	290	369	473
SUNY - Medicaid Reimbursement	306	243	243	243	243
NY Central Business District Trust	113	150	152	153	155
Judiciary Funds	114	115	115	115	115
Dedicated Mass Transportation Trust Fund	66	65	65	65	65
Banking Services	40	44	44	44	44
Indigent Legal Services	22	28	75	75	75
Business Services Center	8	27	30	30	30
Mass Transportation Operating Assistance	23	21	21	21	21
Correctional Industries	21	21	21	21	21
General Services	20	20	13	10	10
Public Transportation Systems	16	16	16	16	16
Health Income Fund	10	16	16	16	16
Health Insurance Internal Services Account	8	12	12	12	12
Centralized Technology Services	11	11	11	11	11
Spinal Cord Injury Fund	9	9	9	9	9
Commercial Gaming Revenue (School Aid Support)	0	44	0	0	0
Video Lottery Terminal (School Aid Support)	0	244	0	0	0
All Other	24	201	191	191	191

General Fund transfers to other funds are expected to total \$6.4 billion in FY 2021, a \$348 million increase from FY 2020. The increase primarily reflects support for School Aid in lieu of lower video lottery and commercial gaming revenues. Debt service transfers were higher in FY 2020 as the State prepaid FY 2021 debt at the end of FY 2020.

In addition, capital projects transfers are expected to increase by \$206 million in FY 2021. The capital increase reflects use of new hard dollar resources, rather than debt to fund capital projects. All other transfers in FY 2021 and beyond reflect a conservative estimate of General Fund resources needed to support various programs outside the General Fund.

The DHBTF receives revenue from motor vehicle fees, PBT, the motor fuel tax, HUT, the auto rental tax, utilities taxes, and miscellaneous transportation-related fees. Receipts deposited into the DHBTF are used to pay debt service on transportation bonds, finance capital projects on a PAYGO basis, and pay certain operating expenses of DOT and the DMV. The General Fund subsidizes DHBTF expenses, as expenses routinely exceed revenue deposits and bond proceeds.

Debt Service

The State pays debt service on all outstanding State-supported bonds. These include General Obligation bonds for which the State is constitutionally obligated to pay debt service, as well as certain bonds issued by State public authorities, such as Empire State Development Corporation (ESD), DASNY, and the New York State Thruway Authority (NYSTA). Depending on the credit structure, debt service is financed by transfers from the General Fund, dedicated taxes and fees, and other resources such as patient income revenues.

DEBT SERVICE SPENDING PROJECTIONS (millions of dollars)									
	FY 2020 Results	FY 2021 Updated	Change	FY 2022 Projected	Change	FY 2023 Projected	Change	FY 2024 Projected	Change
General Fund	736	308	-58.2%	471	52.9%	489	3.8%	545	11.5%
Other State Support	4,180	5,664	35.5%	6,470	14.2%	6,895	6.6%	7,030	2.0%
Liquidity Financing ¹	0	4,382	0.0%	0	-100.0%	0	0.0%	0	0.0%
State Operating/All Funds Total	4,916	10,354	110.6%	6,941	-33.0%	7,384	6.4%	7,575	2.6%

¹ FY 2021 short-term notes issued at a premium in order to generate \$4.5 billion of proceeds.

Total State Operating/All Funds debt service is projected to be \$10.4 billion in FY 2021, of which \$308 million is paid from the General Fund via transfers, \$5.7 billion is paid from other State funds supported by dedicated tax receipts, and \$4.4 billion is for repayment of short-term liquidity financings, which represents the short-term PIT notes issued at a premium in order to generate \$4.5 billion of proceeds for cashflow relief. The General Fund finances debt service payments on General Obligation and service contract bonds. Debt service for other State-supported bonds is paid directly from other dedicated State funds, subject to appropriation, including PIT and Sales Tax Revenue bonds, DHBTB bonds, and mental health facilities bonds.

The Enacted Budget authorized liquidity financing in the form of up to \$8 billion of PIT notes and \$3.0 billion of line of credit facilities. Debt service growth from FY 2020 to FY 2021 reflects repayment in FY 2021 of \$4.5 billion of PIT notes, which were issued to help manage the adverse impact on cash flow that resulted from the extension of the Federal tax filing deadline due to the COVID-19 pandemic. A \$3.0 billion line of credit facility secured by a State service contract is currently in place, for which the Updated Financial Plan does not assume any draws. Although no further liquidity financings are currently assumed, DOB will regularly evaluate cash results and adjust the use and size of liquidity tools based on updated information. The interest costs for liquidity financings are expected to be reimbursed by Federal Funds for expenses related to COVID-19 and are not reflected in the debt service estimates.

The Updated Financial Plan estimates for debt service spending have been revised to reflect bond sale results to date, refunding savings, and the adjustment of debt issuances to align with projected bond-financed capital spending. Bond sale results to date include the issuance of PIT Revenue Bonds to fund \$1.8 billion of the State’s portion of the MTA’s 2015-19 Capital Plan. Previously the Financial Plan had assumed that the projects would be bonded by the MTA, but funded by the State through additional operating aid to the MTA. Accordingly, the Quarterly Update reflects a decrease in PMT disbursements from the Enacted Budget of \$31 million in FY 2021 and \$79 million in each of the outyears, and an accompanying increase in PIT Bond debt service. It is expected that the State may execute additional bond sales in FY 2021 or in later years to fund all or a portion of its remaining contribution to the MTA 2015-19 Capital Plan.

Debt service spending estimates also reflect prepayment of \$1.5 billion in FY 2019 for debt service costs due in FY 2020, as well as the prepayment of \$465 million in FY 2020 for debt service costs due in FY 2021. See the section on “Other Matters Affecting the Financial Plan – Debt Reform Act Limit” herein for additional information on the status of State compliance with debt limits established in the State Debt Reform Act.



Year-To-Date Operating Results



Year-To-Date Operating Results

This section provides a summary of preliminary operating results for FY 2021 compared to: (1) the projections set forth in the FY 2021 Enacted Budget Financial Plan ("initial estimates"), (2) the most recent projections set forth in the FY 2021 First Quarterly Update ("revised estimates") and (3) prior year FY 2020 results for the same period (April 2019 through September 2019). The following discussions of the variances is focused on the comparison to the initial plan.

State spending through September reflects the withholding of a minimum of 20 percent of most local aid payments as well as certain other payments, to achieve the cash flow savings anticipated in the Financial Plan and the net impact of unbudgeted COVID spending and the reclassification of certain eligible expenses to the Federal CRF.

Payments planned through September 30 in the initial estimates that were subsequently withheld or not paid resulted in lower spending primarily for local assistance. State Operations spending was also reduced by the delay in general salary increases that were scheduled to take effect on April 1. In addition, State operations spending is impacted by COVID related spending that is being incurred by the State in the first instance that is expected to be reclassified to the CRF later in the fiscal year or reimbursed under FEMA.

Year-To-Date Operating Results



Summary of General Fund Operating Results

FY 2021 GENERAL FUND OPERATING RESULTS COMPARED TO PLANS							
FY 2021 April to September							
(millions of dollars)							
	Initial Estimate	Revised Estimate	Results	Variance Above/ (Below)			
				Initial Estimate		Revised Estimate	
				\$	%	\$	%
OPENING BALANCE	8,944	8,944	8,944	0	0.0%	0	0.0%
Total Receipts	39,570	39,676	40,735	1,165	2.9%	1,059	2.7%
Taxes:	33,689	33,195	34,230	541	1.6%	1,035	3.1%
Personal Income Tax ¹	23,816	23,959	24,440	624	2.6%	481	2.0%
Consumption / Use Taxes ¹	5,888	5,404	5,988	100	1.7%	584	10.8%
Business Taxes	3,102	2,911	2,931	(171)	-5.5%	20	0.7%
Other Taxes ¹	883	921	871	(12)	-1.4%	(50)	-5.4%
Receipts and Grants	5,193	5,639	5,680	487	9.4%	41	0.7%
Transfers From Other Funds	688	842	825	137	19.9%	(17)	-2.0%
Total Spending	38,576	34,792	34,237	(4,339)	-11.2%	(555)	-1.6%
Local Assistance	24,249	22,350	22,262	(1,987)	-8.2%	(88)	-0.4%
Agency Operations (including GSCs)	10,444	9,253	9,423	(1,021)	-9.8%	170	1.8%
Transfers to Other Funds	3,883	3,189	2,552	(1,331)	-34.3%	(637)	-20.0%
Debt Service Transfer	103	79	91	(12)	-11.7%	12	15.2%
Capital Projects Transfer	2,114	1,512	1,068	(1,046)	-49.5%	(444)	-29.4%
SUNY Operations Transfer	1,107	939	865	(242)	-21.9%	(74)	-7.9%
All Other Transfers	559	659	528	(31)	-5.5%	(131)	-19.9%
Change in Operations	994	4,884	6,498	5,504	553.7%	1,614	33.0%
CLOSING BALANCE	9,938	13,828	15,442	5,504	55.4%	1,614	11.7%

¹ Includes transfers from other funds after debt service.

Results Compared to Initial Estimates

The General Fund ended September 2020 with a balance of \$15.4 billion, \$5.5 billion above the initial estimate, comprised of \$4.3 billion in lower spending and \$1.2 billion in higher receipts. Lower spending is primarily due to payment withholdings, as well as lower agency operations due to the reclassification of certain eligible costs to the Federal CRF, a strict hiring freeze and spending controls. Transfers supporting Capital Projects are lower than projected due to limits on new capital commitments. Higher receipts are primarily due to stronger than anticipated PIT withholding growth and current year estimated payments growth, and slower than expected current year PIT refund payments, and unplanned monetary settlement payments, partially offset by lower than projected business tax collections.

Through September 2020, General Fund receipts, including transfers from other funds, totaled \$40.7 billion, \$1.2 billion (2.9 percent) above the initial estimate. PIT collections were \$624 million (2.6 percent) higher than expected and reflect a combination of stronger than expected withholding and current year estimated payments growth, augmented by weaker than expected growth in total refunds, offset by weaker than expected growth in extensions, final returns, and delinquencies.

Higher consumption tax receipts are primarily due to stronger-than-projected second quarter sales tax receipts that offset weaker-than-projected first quarter sales tax receipts driven by the State's "Stay-at-Home" order and retail closures in response to the COVID-19 pandemic. Lower business taxes are attributable to reduced receipts in audits and lower than anticipated gross Insurance Taxes.

Miscellaneous receipts through September include the receipt of an unplanned \$220 million Extraordinary Monetary Settlement from Bank Hapoalim for a penalty issued by DFS in relation to assisting U.S. clients with the evasion of state and Federal taxes by conducting illegal cross-border banking business and \$150 million from Deutsche Bank for significant compliance failures in connection to its relationships with Jeffrey Epstein, Dankse Bank Estonia, and FBME Bank. In addition, higher receipts also occurred in abandoned property (\$100 million) and licenses/fees (\$44 million).

General Fund disbursements, including transfers to other funds, totaled \$34.2 billion, \$4.3 billion (11.2 percent) below the initial estimate of which most of the variances, primarily in local assistance, is due to payment withholds previously noted. Agency operations spending, including fringe benefits, was \$1 billion below the initial estimates due to cost controls put in place to limit spending to health, safety and essential services, as well as reclassification of certain eligible expenses to CRF. The lower spending is partly offset by COVID-19 pandemic related expenses incurred by the State that are expected to be moved to the Federal Fund over the next few months. Lower than projected transfers to support Capital Projects is attributable to slowed spending in response to the pandemic. Transfers supporting SUNY Operations were also subject to withholding consistent with local assistance payments.

Year-To-Date Operating Results



The table below summarizes variances from the initial estimates, excluding Extraordinary Monetary Settlements.

FY 2021 GENERAL FUND OPERATING RESULTS					
(millions of dollars)					
	Initial Estimate	Revised Estimate	Results	Variance Above/ (Below)	
				Initial Estimate	Revised Estimate
Opening Fund Balance (Excl. Extr. Monetary Settlements)	6,334	6,334	6,334	0	0
Total Receipts	<u>39,490</u>	<u>39,226</u>	<u>40,285</u>	<u>795</u>	<u>1,059</u>
Taxes ¹	33,689	33,195	34,230	541	1,035
Non-Tax Receipts/Transfers ²	5,801	6,031	6,055	254	24
Total Disbursements	<u>38,548</u>	<u>34,462</u>	<u>34,023</u>	<u>(4,525)</u>	<u>(439)</u>
Local Assistance	24,249	22,350	22,262	(1,987)	(88)
Agency Operations	10,444	9,253	9,423	(1,021)	170
Transfers to Other Funds ³	3,855	2,859	2,338	(1,517)	(521)
Net Change in Operations	942	4,764	6,262	5,320	1,498
Closing Fund Balance (Excl. Extr. Monetary Settlements)	<u>7,276</u>	<u>11,098</u>	<u>12,596</u>	<u>5,320</u>	<u>1,498</u>
Extraordinary Monetary Settlements					
Opening Balance	2,610	2,610	2,610	0	0
Settlements Received/Expected ⁴	80	450	450	370	0
Transfers/Uses	(28)	(330)	(214)	(186)	116
Closing Balance	<u>2,662</u>	<u>2,730</u>	<u>2,846</u>	<u>184</u>	<u>116</u>
Closing Fund Balance (Incl. Extr. Monetary Settlements)	<u>9,938</u>	<u>13,828</u>	<u>15,442</u>	<u>5,504</u>	<u>1,614</u>

¹ Includes transfers from other funds after debt service.
² Non-tax receipts exclude the monetary settlements received by the General Fund less amounts retained by the Department of Law in other funds to support operational costs.
³ Transfers/Uses exclude the use of monetary settlements to support transfers from the General Fund to other funds (e.g., Dedicated Investment Infrastructure Fund).
⁴ Includes gross value of all settlements received/expected by the State, including amounts retained by the Department of Law in other funds to support operational costs.

All Governmental Funds Results Compared to Prior Year

All Funds ended September with a balance of \$25.8 billion, \$11.5 billion above FY 2020, primarily due to a higher opening balance (\$4.3 billion) and higher receipts and disbursements than the prior year levels.

Tax receipts are lower than the prior year due to a combination of losses attributable to the economic impact of the public health emergency. Growth in miscellaneous receipts is mainly due to the sale of \$4.5 billion in short-term notes to offset the impact of the Federal tax filing deadline extension from April 15, 2020 to July 15, 2020. Higher Federal Grants is attributable to the advance receipt of \$5.1 billion from the Federal government under the CARES Act that is intended to reimburse certain COVID-19 response costs, \$3.8 billion from the FEMA Disaster Relief Fund for lost wage payments, and \$2.3 billion eFMAP.

In addition to the payment withholds previously noted, lower spending is also attributable to the timing of certain Medicaid payments and the impact of eFMAP, which lowered State-share Medicaid costs. Operational spending is impacted by the one-time NYSCOPBA collective bargaining retro payments made in FY 2020, spending controls and the reclassification of certain COVID related spending to the CRF. Debt service spending was higher than the prior year due mainly to a combination of prepayments, refunding savings, and variation in the timing of payments across the State's debt service credits. Lower than projected support for Capital Projects is attributable to slowed spending driven by COVID-19.

Year-To-Date Operating Results



ALL GOVERNMENTAL FUNDS - RESULTS COMPARED TO PRIOR YEAR				
FY 2021 April to September				
(millions of dollars)				
	Results		Increase/(Decrease)	
	FY 2020	FY 2021	\$	%
OPENING BALANCE	9,975	14,284	4,309	43.2%
ALL FUNDS RECEIPTS:	87,496	98,902	11,406	13.0%
Total Taxes	42,402	39,552	(2,850)	-6.7%
Personal Income Tax	27,981	26,859	(1,122)	-4.0%
All Other Taxes	14,421	12,693	(1,728)	-12.0%
Miscellaneous Receipts	13,067	16,609	3,542	27.1%
Federal Grants	32,027	42,741	10,714	33.5%
ALL FUNDS DISBURSEMENTS:	83,059	87,188	4,129	5.0%
STATE OPERATING FUNDS	48,997	44,693	(4,304)	-8.8%
Local Assistance	32,969	29,685	(3,284)	-10.0%
School Aid	11,712	11,405	(307)	-2.6%
DOH Medicaid (incl. admin and EP)	13,212	10,896	(2,316)	-17.5%
All Other	8,045	7,384	(661)	-8.2%
State Operations	15,051	13,729	(1,322)	-8.8%
Agency Operations	9,872	8,939	(933)	-9.5%
Executive Agencies	5,326	4,429	(897)	-16.8%
University Systems	3,179	3,140	(39)	-1.2%
Elected Officials	1,367	1,370	3	0.2%
Fringe Benefits/Fixed Costs	5,179	4,790	(389)	-7.5%
Pension Contribution	2,311	2,298	(13)	-0.6%
Health Insurance	2,114	2,219	105	5.0%
Other Fringe Benefits/Fixed Costs	754	273	(481)	-63.8%
Debt Service	977	1,279	302	30.9%
CAPITAL PROJECTS (State and Federal Funds)	5,904	5,424	(480)	-8.1%
FEDERAL OPERATING AID	28,158	37,071	8,913	31.7%
NET OTHER FINANCING SOURCES	(102)	(198)	(96)	-94.1%
CHANGE IN OPERATIONS	4,335	11,516	7,181	165.7%
CLOSING BALANCE	14,310	25,800	11,490	80.3%

Receipts

PIT collections were \$1.1 billion (4 percent) lower than last year primarily due to a strong decline in current year estimated payments, extension payments and delinquencies. Year-over-year consumption/use tax collections (\$1.4 billion) were lower primarily attributable to significant declines in sales tax and motor fuel tax receipts related to the effects of the COVID-19 pandemic on taxpayer behavior. Lower business tax collections (\$214 million) are due to reduced gross Insurance Taxes and CFT, partially offset by lower CFT refunds.

Growth in miscellaneous receipts (\$3.5 billion) was due to the PIT Note sales (\$4.5 billion) and higher bond reimbursements (\$1 billion) to capital projects for SUNY (\$732 million), DOCCS (\$257 million) and ESDC (\$112 million), partially offset by lower reimbursements to MTA (324 million). In addition, compared to last year, the State received fewer extraordinary settlements (\$310 million) and reduced Lottery receipts (\$625 million), HCRA receipts (\$280 million), other licenses/fees (\$127 million), and motor vehicle fees (\$112 million), all of which were all negatively impacted by the COVID-19 pandemic.

Federal grants were \$10.7 billion higher in FY 2021 than in FY 2020 largely due to the receipt of Federal CARES Act funding, funding for the LWA program and eFMAP.

Spending

State Operating Funds spending totaled \$44.7 billion in FY 2021, a decrease of \$4.3 billion (8.8 percent) from FY 2020.

Local assistance spending was \$3.3 billion lower than the prior year, primarily attributable to Medicaid due to the temporary Federal share (eFMAP) increase (\$2.3 billion). Spending declines in other areas are due in part to the ongoing review and withholding process, as well as claiming and processing delays. These areas include Pre-School Special Education (\$372 million) and other education programs (\$190 million), TAP (\$416 million), Transportation (\$315 million), and School Aid (\$307 million). Areas of underspending were partially offset by CUNY Senior and Community Colleges related to the partial payment of the remaining Academic Year 2020 liabilities in June (\$345 million); and Child Welfare Services (\$317 million), public assistance payments (\$184 million), public health GPHW (\$130 million) and Early Intervention (\$61 million), all due to delayed payments in the first quarter of FY 2020.

Lower executive agency operational spending is driven by one-time personal service costs associated with the FY 2020 NYSCOPBA collective bargaining retro payments (\$149 million), limiting spending to health, safety and essential services, and the reclassification of certain eligible expenses to the Federal CRF. Fringe benefits spending declined mostly due to the deferment of Social Security Payments permitted under the CARES Act, partially offset by higher Health Insurance payments (\$105 million).

Year-To-Date Operating Results



Higher debt service spending is a combination of prepayments, refunding savings, and variation in the timing of payments across the State's debt service credits. Lower Capital Projects spending (State and Federal) mostly occurred in ESD (\$351 million) and SIA (\$276 million), offset by increased disbursements in DHCR (\$201 million) driven by new facility housing construction and rehabilitation.

Federal operating spending growth (\$8.9 billion) mainly reflects the lost wage payments, temporary eFMAP, public health and safety costs charged to the Federal CRF, and higher social services spending.



Year-To-Date Operating Results

All Governmental Funds Results Compared to Estimates

ALL GOVERNMENTAL FUNDS COMPARED TO PLANS							
FY 2021 April to September							
(millions of dollars)							
	Initial Estimate	Revised Estimate	Results	Variance Above/ (Below)			
				Initial Estimate		Revised Estimate	
				\$	%	\$	%
OPENING BALANCE	14,284	14,284	14,284	0	0.0%	0	0.0%
ALL FUNDS RECEIPTS:	92,132	96,661	98,902	6,770	7.3%	2,241	2.3%
Total Taxes	38,533	38,404	39,552	1,019	2.6%	1,148	3.0%
Personal Income Tax	25,756	26,364	26,859	1,103	4.3%	495	1.9%
Consumption / Use Tax	7,631	7,087	7,725	94	1.2%	638	9.0%
Business Taxes	4,191	3,958	4,025	(166)	-4.0%	67	1.7%
Other Taxes	955	995	943	(12)	-1.3%	(52)	-5.2%
Miscellaneous Receipts	16,059	16,321	16,609	550	3.4%	288	1.8%
Federal Grants	37,540	41,936	42,741	5,201	13.9%	805	1.9%
ALL FUNDS DISBURSEMENTS:	87,439	84,468	87,188	(251)	-0.3%	2,720	3.2%
STATE OPERATING FUNDS	47,704	44,012	44,693	(3,011)	-6.3%	681	1.5%
Local Assistance	31,617	29,264	29,685	(1,932)	-6.1%	421	1.4%
School Aid	11,832	11,458	11,405	(427)	-3.6%	(53)	-0.5%
DOH Medicaid ¹	11,728	10,841	10,896	(832)	-7.1%	55	0.5%
Higher Education	2,267	1,811	1,458	(809)	-35.7%	(353)	-19.5%
Transportation	1,948	1,596	1,449	(499)	-25.6%	(147)	-9.2%
Social Services	1,688	1,514	1,372	(316)	-18.7%	(142)	-9.4%
Mental Hygiene	1,033	1,116	857	(176)	-17.0%	(259)	-23.2%
All Other	3,121	2,328	2,248	(873)	-28.0%	(80)	-3.4%
Budget Balance Reduction	(2,000)	(1,400)	0	2,000	0.0%	1,400	0.0%
State Operations	14,766	13,444	13,729	(1,429)	-9.7%	285	2.1%
Agency Operations	9,814	8,682	8,939	(1,267)	-12.9%	257	3.0%
Personal Service:	7,501	6,997	7,082	(419)	-5.6%	85	1.2%
Executive Agencies	3,932	3,620	3,725	(207)	-5.3%	105	2.9%
University Systems	2,307	2,148	2,185	(122)	-5.3%	37	1.7%
Elected Officials	1,262	1,229	1,172	(90)	-7.1%	(57)	-4.6%
Non-Personal Service:	2,705	1,881	1,857	(848)	-31.3%	(24)	-1.3%
Executive Agencies	1,361	597	704	(657)	-48.3%	107	17.9%
University Systems	1,045	1,036	955	(90)	-8.6%	(81)	-7.8%
Elected Officials	299	248	198	(101)	-33.8%	(50)	-20.2%
Budget Balance Reduction	(392)	(196)	0	392	0.0%	196	0.0%
Fringe Benefits/Fixed Costs	4,952	4,762	4,790	(162)	-3.3%	28	0.6%
Pension Contribution	2,292	2,302	2,298	6	0.3%	-4	-0.2%
Health Insurance	2,275	2,233	2,219	(56)	-2.5%	-14	-0.6%
Other Fringe Benefits/Fixed Costs	385	227	273	(112)	-29.1%	46	20.3%
Debt Service	1,321	1,304	1,279	(42)	-3.2%	(25)	-1.9%
CAPITAL PROJECTS (State and Federal Funds)	7,279	5,836	5,424	(1,855)	-25.5%	(412)	-7.1%
FEDERAL OPERATING AID	32,456	34,620	37,071	4,615	14.2%	2,451	7.1%
NET OTHER FINANCING SOURCES	(81)	(94)	(198)	(117)	-144.4%	(104)	-110.6%
CHANGE IN OPERATIONS	4,612	12,099	11,516	6,904	149.7%	(583)	-4.8%
CLOSING BALANCE	18,896	26,383	25,800	6,904	36.5%	(583)	-2.2%

1. Includes the Essential Plan

Receipts

Compared to the initial estimates, PIT collections (\$1.1 billion) and consumption/use tax collections (\$94 million) were higher than projected partially offset by lower than anticipated business taxes (\$166 million), consistent with the General Fund variances above.

Higher miscellaneous receipts (\$550 million) were mostly due to the unplanned Extraordinary Monetary Settlements from Bank Hapoalim (\$220 million) and Deutsche Bank (\$150 million), and higher receipts in SUNY (\$101 million) and abandoned property (\$100 million); offset by lower than planned receipts in HCRA (\$287 million), resulting from a decline in patient volume and fewer general elective surgeries, and lottery (\$95 million) during the COVID-19 pandemic.

Federal grants reflect Federal operating aid disbursements, as well as the receipt of Federal CARES Act funding (\$5.1 billion).

Compared to the revised estimates, the major change in tax collection is in consumption/use taxes primarily due to stronger than projected August and September sales tax receipts and business taxes related to higher gross and audits for CFT and PBT collections, partially offset by lower Insurance Taxes and bank audits. Miscellaneous receipts exceed the projection (\$288 million) mostly due to higher than anticipated revenues in abandoned property and various special revenue funds. Federal grants are also higher than planned (\$805 million).

Spending

In comparison to the initial estimates, State Operating Funds spending was \$3 billion under projections of which lower local assistance spending contributed \$1.9 billion and is mainly due to withholding of certain payments discussed above and Medicaid due to lower than anticipated claims and a quarterly extension (July through September) of eFMAP, authorized by the Secretary of Health and Human Services but not reflected in initial estimates. State operations, including GSCs, was \$1.4 billion lower mainly due to the reclassification of certain eligible expenses to CRF as well as cost controls put in place to limit spending to health, safety and essential services. Lower than projected spending for University systems is mainly attributable to spending declines in SUNY State operated campuses associated with the delayed general salary increases and reduced spending on non-essential items.

Capital Projects spending was \$1.9 billion lower than initial projections, which is primarily attributable to lower spending for economic development (\$943 million), Education (\$323 million), parks and environment (\$219 million) and health and social welfare (\$178 million). The lower spending is primarily due to disruptions in the construction industry due to the COVID-19 pandemic. The pandemic has caused many State capital projects, as well as projects funded with State capital grants, to be delayed or postponed. Additionally, a mandated pause, and additional review and prioritization, of new capital contracts has also led to lower than anticipated spending through September.

Federal operating aid spending was \$4.6 billion (14.2 percent) higher than initial projections and is largely attributable COVID related spending supported by the Federal government legislation enacted in response to the ongoing COVID-19 pandemic including the CARES Act which provides funding for COVID related expenses through the CRF, and the LWA program with provided a time limited \$300 benefit to unemployed New Yorkers. In addition, underspending was attributable to:

- Medicaid (\$15 million lower) driven by lower than anticipated claims (\$923 million), almost fully offset by an additional quarter of eFMAP (\$908 million).
- Social Services (\$259 million lower) driven by timing of public assistance payments (\$128 million) and underspending on SNAP (\$67 million) and HEAP (\$62 million).
- School Aid (\$212 million lower) due to underspending on Elementary and Secondary Education Act (ESEA) Title grants (\$352 million), offset by higher United States Department of Agriculture School Lunch Act grants (\$140 million).
- Medicaid Administration (\$159 million lower) attributable to the timing payments to certain districts as a result of spending controls and the timing of contract payments.

Financial Plan Accompanying Notes

The notes to the Financial Plan tables herein should be read in conjunction with the tables that follow.

Note 1 — Basis of Accounting

Pursuant to law, all Financial Plan tables presented herein are prepared on the cash basis of accounting, unless otherwise noted. Under the cash basis of accounting, revenues are recognized when received, and spending is recorded when cash is disbursed.

Note 2 — Fund Types and Perspectives

The State records its transactions in the following fund types:

Governmental Funds

General Fund - This is the major operating fund of the State. It receives all State income not earmarked for a particular program or activity and not specified by law to be deposited in another fund. State income for Financial Plan purposes consists of moneys (taxes, fees, and miscellaneous receipts including certain repayments of State advances) deposited to the credit of the General Fund or transferred from other funds during the fiscal year.

Special Revenue - These funds account for State receipts from specific revenue sources and are legally restricted to disbursement for specified purposes. This governmental fund type is divided into two classifications: (1) State Special Revenue Funds; and (2) Federal Special Revenue Funds. An example of a State Special Revenue Fund is the Conservation Fund which finances a number of State environmental programs. An example of a Federal Special Revenue Fund is the Health and Human Services Fund which finances various social services programs, including Medicaid and public assistance. Although any earmarked revenue fund is treated as a Special Revenue Fund for cash-basis budgeting and reporting purposes, some are combined with the General Fund for purposes of reporting on the basis of GAAP.

Debt Service - All tax-financed State debt service on long-term debt and payments on certain lease-purchase or other contractual obligations are paid from Debt Service funds. These account for the accumulation of money for, and the payment of principal and interest on, general long-term debt. Lease-purchase payments for Health and Mental Hygiene facilities under contractual agreements with public authorities are also paid from funds classified as Debt Service funds. Debt service on highway bonds supported by dedicated highway revenues is also reflected in this fund type. Sources of revenue for this fund type include transfers from the General Fund, dedicated taxes, and other revenues.

Capital Projects - These funds finance a variety of capital construction costs including: (a) planning, land acquisition, design, construction, construction management and supervision, and equipment costs; (b) highway, parkway and rail preservation projects; (c) outdoor recreation and environmental conservation projects; (d) buildings and other capital facilities required by various State departments and agencies; (e) payments to local governments to help finance their capital programs, including highway, parkway, bridge, mass transportation, aviation, economic development, port development, community college, community and State mental health, outdoor recreation, State-assisted housing, and environmental quality; and (f) advances for capital construction costs reimbursable by public authorities, instrumentalities of the State, the Federal government or local governments. Sources of revenue for this fund type include transfers from other State funds, including the General Fund and Special Revenue Funds, dedicated taxes and other revenues, reimbursement of advances, bond proceeds, and Federal capital grants.

State Operating Funds

The State Operating Funds budget is a broader measure of spending for operations (as distinct from capital purposes) that is funded with State resources and comprises the General Fund and other State-supported activities financed by dedicated revenues in State Special Revenue Funds, as well as Debt Service funds accounting for the payment of debt service on all tax-financed State long-term debt.

Proprietary Funds

Internal Service Funds - Account for the financing of goods or services supplied by one State agency to another State agency or other governmental entities on a cost-reimbursement basis.

Enterprise Funds - Account for operations that are similar to private business enterprises.

The Internal Service funds and Enterprise funds are treated as Proprietary funds for cash-basis budgeting and reporting purposes and are combined with the General Fund for purposes of reporting on a GAAP basis.

Fiduciary Funds

Private-Purpose Trust Funds - Account for all trust arrangements under which principal and income benefit individuals, private organizations, or other governments.

Pension Trust Fund - Accounts for the cash-basis results of operations for the administrative portion of the State's Common Retirement Fund. It does not reflect investment activity, balances, or other assets available to this Fund. In addition, pension contributions and payments to retirees are excluded since these payments are not required to be appropriated.

Agency Funds - Account for funds held by the State in a purely custodial capacity. Cash is held temporarily until disbursements are made to individuals, private organizations, or other governments.

Note 3 – Disbursement Descriptions

The State's Financial Plan and reporting include only those payments made pursuant to an appropriation and paid from funds available in the State Treasury. All State spending is classified in one of the following categories:

Local Assistance Grants - Include payments to counties, cities, towns, villages, school districts and other local entities, as well as certain financial assistance to, or on behalf of, individuals and nonprofit organizations. Certain disbursements from Capital Projects Funds, including payments to local government units and public authorities, are recorded as local assistance.

PS (Personal Service) - Includes the payment of salaries and compensation for State employees.

NPS (Non-Personal Service) - Includes payments for operational costs such as miscellaneous contractual obligations, supplies and materials, travel, rentals and repairs, utilities, postage and shipping, printing, and telephone.

GSCs (General State Charges) - Includes costs mandated by statute, collective bargaining agreements or court order. Charges in this category can be further subdivided into the following:

Fringe Benefits. Contributions to pension systems, the employer's share of Social Security contributions, employer contributions toward the cost of health insurance, workers' compensation and unemployment insurance, and contributions to union employee benefit funds which provide vision care and other services.

Fixed Costs. For State PILOT programs, as well as payments for local assessments on State-owned land, judgments against the State pursuant to the Court of Claims Act, defenses by private counsel, or alternatively payments on behalf of State officers and employees in civil judicial proceedings.

Debt Service - Includes payments made for tax-financed State debt service on long-term debt contractual-obligation and lease-purchase arrangements with several public authorities and municipalities and lease-purchase payments for Health and Mental Hygiene facilities.

Capital Projects - Includes payments made for the acquisition or construction of the State's capital facilities. Included in this category are planning, land acquisition, design, construction, engineering services, and equipment costs; highway, parkway and rail preservation projects; and outdoor recreation and environmental conservation projects. Advances are made for capital construction costs reimbursable by public authorities, the Federal or local governments, or from the proceeds of State bond and note sales.

Bond Proceeds - Includes the proceeds of General Obligation Bonds and short-term notes issued in the form of commercial paper or Bond Anticipation Notes (BANs) and are stated net of notes redeemed from the proceeds of long-term bonds or reissued notes.

Operating Transfers - Constitutes legally authorized transfers from a fund receiving revenues, to a fund through which disbursements will ultimately be made.

Note 4 — Reservations of General Fund Balance

Funds of the General Fund may be legally segregated for specific future use or informally reserved for specified purposes. The following funds of the General Fund are established in law:

Tax Stabilization Reserve - Created to provide a reserve to finance a cash-basis operating deficit in the General Fund at the end of the fiscal year, and to make temporary loans to the General Fund during the year. Annual deposits may not exceed 0.2 percent of General Fund spending, and the balance may not exceed 2 percent of General Fund spending. These amounts may be borrowed by the General Fund temporarily and repaid within the same fiscal year. They may also be borrowed to cover an operating deficit at year end, but these loans must be repaid within six years in no fewer than three annual installments.

Rainy Day Reserves - Created pursuant to law to account for funds set aside for use during economic downturns or in response to a catastrophic event, as defined in the law. The economic downturn clause is triggered after five consecutive months of decline in the State's composite index of business cycle indicators. The reserve may have a maximum balance equal to 5 percent of projected General Fund spending during the fiscal year immediately following the then-current fiscal year.

Contingency Reserve - Created pursuant to law to provide a reserve to fund extraordinary needs arising from litigation against the State. These amounts may be used for payment of judgments against the State where the amount is in excess of \$25 million and such payments are not previously appropriated, or emergency payments relating to natural or physical disasters, or to make payments for the enhancement of the State's economy.

Community Projects - Created pursuant to law to finance discretionary, usually local, projects ("member items") sponsored by individual legislators and the Governor. In previous years, the Budget included lump sum appropriations for the Governor, Senate and the Assembly, to be designated for various grants, awards and contracts with local governments, not-for-profit organizations and community groups. The FY 2021 Enacted Budget includes no new appropriations.

The following funds of the General Fund are reserved for specified or undesignated purposes:

Debt Management - Reserved for a) payment of principal, interest, or related expenses; b) retiring or defeasing existing State-supported debt obligations, including accrued interest; and c) funding of capital projects, equipment, or similar expenses that would otherwise be financed with debt.

Undesignated Fund Balance - From time to time, DOB will informally designate unrestricted balances in the General Fund for specific purposes. These amounts are typically identified with the phrase “reserved for.” For example, due to the nature of the timing of labor agreements, DOB will informally reserve balances for possible prior-year costs for unions without current contracts.

Extraordinary Monetary Settlements - Includes the balance of Extraordinary Monetary Settlements after planned uses.

Note 5 — Items Affecting Annual Comparability

MTA Fees and Taxes

Beginning in FY 2020, various supplemental fees and taxes levied on driver licenses, motor vehicle registration, taxis, and passenger car rentals, are remitted directly to the MTA without legislative appropriation. This eliminates the pass through of these fees and taxes, ensuring more timely receipt by the MTA, and is consistent with treatment of mobility tax collections (described below). The Financial Plan no longer includes these supplemental fees and taxes or associated local assistance payments.

The Metropolitan Commuter Transportation Mobility Tax is imposed on certain employers and self-employed individuals engaging in business within the Metropolitan Commuter Transportation District (MCTD). Through FY 2018, the State collected these taxes and fees on behalf of, and disbursed the entire amount to, the MTA. Beginning in FY 2019 Mobility Tax collections are remitted directly to the MTA. This eliminated the pass through of this tax and ensures more timely receipt by the MTA. The State Financial Plan no longer includes new tax receipts or their associated local assistance.

Changes to the Medicaid Program

Since January 2006, the State has paid any annual Medicaid increases above a fixed level that otherwise would have been paid by local social services districts. The statutory indexing provisions were amended through legislation to implement a three-year phased takeover of the local social services districts’ share of all growth above the previous year’s enacted levels beginning on April 1, 2013 for County Fiscal Year 2013, with the State assuming all growth in County Fiscal Year 2015.

STAR

Since FY 2017, the conversion of STAR benefits to State PIT credits has lowered STAR spending. The conversion of STAR benefits to PIT credits initially had no impact on the value of the STAR benefits received by taxpayers. However, since FY 2020, Exemption homeowners' STAR benefit is capped at zero percent growth. The conversion to a PIT credit decreases the level of reported PIT receipts and reported disbursements for STAR on a State Operating Funds basis by an identical amount.

Superstorm Sandy

In October 2012, Superstorm Sandy caused widespread flooding, power failures, and wind damage to public and private property in New York City, Long Island, and other downstate areas. Public infrastructure including mass transit systems, public schools, and municipal buildings sustained serious damage. The Financial Plan reflects Federal aid which will flow to local governments, public authorities, and not-for-profits over the multi-year Plan period to continue the State's recovery from Superstorm Sandy.

Federal Health Care Transformation

The ACA, effective January 1, 2014, is intended to provide access to health insurance coverage to ensure appropriate access to care, and to restrain escalating health care costs. The Financial Plan reflects the impact of the ACA in a number of ways including, but not limited to, the benefit of lower costs associated with the State share of Medicaid as a result of enhanced Federal funding provided for Medicaid costs and program expansion. In addition, beginning in FY 2015, DOH assumed new costs associated with operating the NYSOH insurance exchange, which is the State's single point of access insurance marketplace, in accordance with the ACA, and has begun implementing a new information system designed to improve health insurance claiming.

On April 14, 2014, Governor Andrew M. Cuomo announced that terms and conditions were finalized between the State and Federal governments, allowing the State to reinvest \$8 billion in Federal savings generated by MRT reforms. The waiver amendment addressed critical health care issues statewide and allowed for comprehensive reform through the DSRIP program. The program promoted community-level collaborations and focused on system reform, specifically the goal of achieving a 25 percent reduction in avoidable hospital use over five years, in addition to clinical and population health improvements. Due to the demonstrated success of the DSRIP waiver, the State submitted a waiver request to CMS on November 25, 2019, seeking an extension on the original waiver to authorize the remaining \$625 million of spending in FY 2021 for an additional period of four years (through FY 2024) and up to \$8 billion in additional Federal funding for continued health care beginning in FY 2022. The extensions were denied by CMS on February 21, 2020, on the basis that the original DSRIP award was time-limited and meant to be a one-time investment, and that it was not in a position to authorize a conceptual agreement beyond the current demonstration program. While the State's requested amendment was denied, the State intends to address its needs as part of a subsequent 1115 waiver request that aligns with the expiration of the MRT Waiver on March 31, 2021.

The EP is a health insurance program authorized through the ACA. The FY 2016 Enacted Budget authorized the State's option to participate in the EP. In April 2015, the State began phasing in certain legally residing immigrants who were receiving State-only Medicaid coverage. Individuals who meet the eligibility standards of the EP are enrolled through the NYSOH insurance exchange, with the cost of the EP subsidized by the State and Federal governments.

Beginning in 2017, the Trump Administration took action to reduce Federal support for the EP program. Continued efforts by the Administration to reduce the Federal share of EP costs presents uncertainties in the future funding shares of the EP. Despite the uncertainty, the Financial Plan reflects full support for the EP.

Temporary eFMAP

In response to the COVID-19 pandemic, the President signed into law the FFCRA in March 2020. The measure included supplemental funding for various programs, including an eFMAP for unexpected costs attributable to the pandemic retroactive to January 2020.

The FFCRA includes a 6.2 percent base increase to the FMAP rate for each calendar quarter occurring during the public health emergency, with exemptions placed on certain expenditures, including expansion spending that already receives enhanced Federal support.

In late July, the Secretary of Health and Human Services extended the public health emergency period through October 23, 2020, triggering a fourth quarter of the 6.2 base increase through December 31, 2020.

The Updated Financial Plan assumes a twelve-month State benefit of approximately \$3.0 billion that will be used to offset unanticipated General Fund expenses directly or indirectly related to the pandemic, including increased costs associated with higher Medicaid enrollment.

In early October 2020, the Secretary of Health and Human Services extended the public health emergency period through January 2021, which would trigger a fifth quarter of the 6.2 base increase through March 2021. However, the enhanced rate can be revoked any time prior to the start of a new quarter. Accordingly, DOB is counting only on the assured extension, which runs from October 1 through December 31, 2020.

Note 6 — Off-Budget Transactions

These represent certain capital spending that is not reported in cash spending results but is reported in the State's GAAP Financial Statements. This spending is related to programs which are financed directly from bond proceeds on deposit at various public authorities, rather than from a STIP or General Fund loan.

Note 7 — General Fund/HCRA Combined Gap

Current HCRA authorization ends March 31, 2023. HCRA is projected to remain balanced over this period. Any unforeseen shortfall would need to be financed by the General Fund or HCRA programs.

Note 8 — Statewide Cash Flow Administration

OSC invests General Fund moneys, bond proceeds, and other funds not immediately required to make payments through STIP, which is comprised of joint custody funds (Governmental Funds, Internal Service Funds, Enterprise Funds and Private Purpose Trust Funds), as well as several sole custody funds, including the Tobacco Settlement Fund.

OSC is authorized to make short-term loans from STIP to cover temporary cash shortfalls in certain funds and accounts, including the General Fund, that result from the timing of receipts and disbursements. The Legislature authorizes the funds and accounts that may receive loans each year, based on legislation submitted annually. Loans may be granted only for amounts that the Director of the Budget certifies are “receivable on account” or can be repaid from the current operating receipts of the fund (i.e., loans cannot be granted in expectation of future revenue enhancements).

The FY 2021 Enacted Budget amended the statute to permit the borrowings until the end of the fiscal year, which was previously limited to up to four months. The State last used this authorization in April 2011 when the General Fund needed to temporarily borrow a minimal amount of funds from STIP for a period of five days. The amount of resources that can be borrowed by the General Fund is limited to the available balances in STIP, as determined by the State Comptroller. Available balances include money in the State’s governmental funds and a relatively small amount of other money belonging to the State. Several accounts in Debt Service Funds and Capital Projects Funds that are part of All Governmental Funds are excluded from the balances deemed available in STIP. These excluded funds consist of bond proceeds and money obligated for debt service payments.

Note 9 — Transfer Eliminations

The Special Revenue Funds and Capital Projects Funds include transfers of resources between Federal and State accounts within each fund. In some instances, Federal aid that is initially deposited into a Federal account is transferred to State accounts where the aid is used to support the programs it is intended to fund. To avoid recording spending twice, initially as the transfer of resources to another account and subsequently when payments are made, these transfers are eliminated in these funds. The transfer eliminations are consistent with the State Comptroller’s accounting practices shown in Exhibit A of the Comptroller’s Annual Report to the Legislature.

Note 10 — Temporary Loans Summary

Outstanding loans include activities that are financed initially by advances for bond-reimbursable capital spending pending receipt of bond proceeds) the State pending Federal receipts, State Special Revenue Funds; and Proprietary Funds. The total loan balance typically increases throughout the State fiscal year, reaching its peak between the second and third quarters. The spike reflects mainly the payment of lottery aid for education, which is financed in large part by a loan that is repaid over the course of the year as lottery revenues are received. Total outstanding loans increased \$438 million over March 31, 2019 levels, mainly attributable to the timing of year end Medicaid cycles.

TEMPORARY LOANS OUTSTANDING			
(millions of dollars)			
	March 31		Annual Change
	2019	2020	
Total Loans Outstanding	5,405	5,843	438
State Special Revenue Funds	350	379	29
Federal Funds	3,417	3,898	481
Capital Funds	1,300	1,226	(74)
Proprietary Funds	338	340	2

Note 11 - List of Extraordinary Monetary Settlements Received and Uses

From the beginning of FY 2015, the State received the following Extraordinary Monetary Settlements:

- Agricultural Bank of China Limited and Agricultural Bank of China, New York Branch (collectively “Agricultural Bank of China”) paid the State a \$215 million civil monetary penalty pursuant to a consent order between DFS and Agricultural Bank of China. This consent order pertained to Agricultural Bank of China’s serious and persistent compliance failures which indicate a fundamental lack of recognition of the need for a rigorous compliance infrastructure, and inadequate attention to the state of compliance.
- American Family Life Assurance Company of New York paid a \$176,890 civil penalty pursuant to a July 2, 2018 consent order between American Family Life Assurance Company of New York and DFS. This consent order pertains to violations of New York State Insurance laws and regulations in connection with the life, annuities and accident and health insurance business.
- American International Group, Inc. (AIG) paid a \$35 million civil monetary penalty pursuant to an October 31, 2014 consent order between DFS and AIG. This consent order pertained to a DFS investigation which uncovered former subsidiaries American Life Insurance Company (ALICO) and Delaware American Life Insurance Company (DelAm) (a) solicited, insurance business in New York without a license and (b) made intentional misrepresentations and omissions to DFS concerning such activities.
- ALICO, DelAm, and MetLife, Inc. (MetLife) (collectively “MetLife Parties”) paid \$50 million as a civil fine pursuant to a March 31, 2014 consent order between DFS and MetLife Parties. This consent order pertained to a DFS investigation into whether ALICO and DelAm conducted an insurance business in New York without a New York license, and aided other insurers in conducting businesses in New York without a New York license.
- Athene Annuity and Life Company and Athene Holding Ltd., together “Athene,” paid a \$45 million civil monetary penalty pursuant to an April 11, 2020 Consent Order between Athene and the New York State DFS. This consent order pertains to Athene’s operation of an unlicensed insurance business in the State.
- Athene Life Insurance Company of New York (“Athene”) paid a \$15 million civil monetary penalty pursuant to a June 28, 2018 consent order between Athene and DFS. This consent order pertains to Athene’s failure to provide required information to about 15,000 policyholders from 2015 to 2017.



Financial Plan Accompanying Notes

- AXA Equitable Life Insurance Company (AXA) paid a \$20 million civil fine pursuant to a March 17, 2014 consent order between DFS and AXA. The consent order pertained to whether AXA properly informed DFS regarding the implementation of its “AXA Tactical Manager” strategy.
- Bank Hapoalim, B.M. and Bank Hapoalim, B.M. New York Branches (collectively “Bank Hapoalim”) paid a \$220 million penalty pursuant to an April 30, 2020 Consent Order between Bank Hapoalim and the New York State DFS. This consent order pertains to Bank Hapoalim engaging in certain activities within its cross-border banking business that facilitated its customers’ concealment of their offshore assets and income from the IRS and other Federal and state agencies.
- Bank Leumi paid a \$130 million civil monetary penalty pursuant to a December 22, 2014 consent order between DFS and Bank Leumi. This consent order pertained to Bank Leumi’s (a) knowing and willful operation of a wrongful cross-border banking business which assisted U.S. clients in concealing offshore assets and evading U.S. tax obligations, and (b) misleading DFS about Bank Leumi’s improper activities.
- Bank of America (BofA) paid \$300 million pursuant to an August 18, 2014 settlement agreement to remediate harms resulting from BofA’s violations of State law regarding the packaging, origination, marketing, sale, structuring, arrangement, and issuance of residential mortgage-backed securities and collateralized debt obligations. The settlement agreement is the result of investigations by Federal and State entities into BofA Corporation, Bank of America, N.A., and BofA Mortgage Securities, as well as their current and former subsidiaries and affiliates.
- Bank of America Corporation and Merrill Lynch, Pierce, Fenner and Smith Incorporated (collectively, "BofAML") paid a \$42 million penalty to the State of New York pursuant to a March 22, 2018 settlement agreement between BofAML and the OAG of the State of New York. This settlement agreement pertains to BofAML's fraudulent conduct in connection with its electronic trading practices.
- Industrial Bank of Korea and Industrial Bank of Korea, New York Branch, together “Industrial Bank of Korea,” paid a \$35 million civil monetary penalty pursuant to an April 20, 2020 Consent Order between Industrial Bank of Korea and the New York State DFS. This consent order pertains to Industrial Bank of Korea’s failure to maintain appropriate books, accounts, records, and an effective and compliant anti-money laundering program.

- The Bank of Tokyo-Mitsubishi UFJ, Ltd. (BTMU) paid a \$315 million civil monetary penalty pursuant to a November 18, 2014 consent order between DFS and BTMU. This consent order pertained to BTMU's wrongful actions in misleading DFS concerning BTMU's U.S. dollar-clearing services conducted on behalf of sanctioned Sudanese, Iranian, and Burmese parties. Previously, BTMU paid a \$250 million civil monetary penalty pursuant to a June 19, 2013 consent order between DFS and BTMU. Such consent order pertained to BTMU's unlawful clearance through the New York Branch and other New York-based financial institutions of approximately 28,000 U.S. dollar payments, valued at approximately \$100 billion, on behalf of certain sanctioned parties.
- Barclays paid the State \$635 million, which included (a) a \$485 million civil monetary penalty in accordance with a May 2015 consent order between Barclays and DFS, and (b) a \$150 million civil monetary penalty in accordance with a November 2015 consent order between Barclays and DFS. The May 2015 consent order pertained to Barclays' attempted manipulation of benchmark foreign exchange rates and other manipulative conduct in violation of New York State Banking Law and regulations. The November 2015 consent order pertained to Barclays' automated electronic foreign exchange trading misconduct.
- Barclays Capital Inc. paid the State \$35 million pursuant to a settlement agreement between the OAG of the State of New York and Barclays Capital Inc. to settle investigations into false statements and omissions made in connection with the marketing of their dark pools and other high-speed electronic equities trading services.
- Barclays Bank PLC and Barclays Bank PLC, New York Branch (together, "Barclays") paid a \$15 million penalty pursuant to a December 18, 2018 consent order between Barclays and DFS. This consent order pertains to Barclays' (a) failure to implement effective governance and controls with respect to its whistleblowing program; and (b) failure to report on the use of Federal law enforcement resources secured through incomplete or inaccurate information provided to a Federal agency, in order to investigate a non-threatening or non-exigent whistleblower complaint.
- BNP Paribas S.A. and BNP Paribas S.A., New York Branch (collectively "BNPP") paid nearly \$3.6 billion pursuant to (a) a June 29, 2014 consent order between the New York State DFS and BNPP and (b) a June 30, 2014 plea agreement between BNPP and the New York County District Attorney (DANY) in connection with conduct by BNPP which violated U.S. national security and foreign policy and raised serious safety and soundness concerns for regulators. BNPP's conduct included obstructing governmental administration, failing to report crimes and misconduct, offering false instruments for filing, and falsifying business records.
- BNPP paid a \$350 million civil monetary penalty pursuant to a May 24, 2017 consent order between BNPP and DFS. This consent order pertains to BNPP's significant and material failure with respect to implementing effective controls over its foreign exchange trading business.

- Chubb Group Holdings Inc. and Illinois Union Insurance Company (collectively, “Chubb”) paid a \$1.3 million civil monetary penalty pursuant to a May 7, 2018 consent order between Chubb and DFS. This consent order pertains to Chubb’s issuance of insurance policies in New York State, or policies otherwise covering New York State residents, which may not be offered in the New York State excess line market. Chubb also issued liability insurance coverage to New York residents that failed to contain required liability insurance policy provisions.
- Cigna Health and Life Insurance Company (Cigna) paid a \$2 million civil penalty pursuant to a November 14, 2017 consent order between Cigna and DFS. This consent order pertains to Cigna’s violation of laws and regulations by selling stop-loss and fully-insured health insurance policies out of state to New York-based small groups with employees in the State.
- Citigroup Inc. (Citigroup) paid \$92 million pursuant to a July 2014 settlement agreement to remediate harms to the State resulting from the packaging, marketing, sale, structuring, arrangement, and issuance of residential mortgage-backed securities and collateralized debt obligations. The settlement agreement is the result of investigations into Citigroup by Federal and State entities.
- Commerzbank AG New York Branch and Commerzbank AG (collectively “Commerzbank”) paid a \$610 million civil monetary penalty pursuant to a March 12, 2015 consent order between Commerzbank and DFS. This consent order pertained to Commerzbank’s transactions on behalf of Iran, Sudan, and a Japanese corporation which engaged in accounting fraud in violation of New York State Banking Law and regulations. Additionally, Commerzbank AG paid \$81.7 million in fines and forfeiture payments pursuant to a Deferred Prosecution Agreement between Commerzbank, the New York County District Attorney’s Office and the United States Department of Justice. This Deferred Prosecution Agreement pertained to Commerzbank’s actions in moving more than \$250 million through the U.S. financial system primarily on behalf of Iranian and Sudanese customers in violation of U.S. sanctions, by concealing the illegal nature of these transactions and deceiving U.S. banks that processed the illegal wire payments.
- Conduent Education Services, LLC, f/k/a Xerox Education Services, LLC, f/k/a and d/b/a ACS Education Services, Inc. (hereinafter “ACS”) paid \$1 million in penalties pursuant to a January 4, 2019 consent order between ACS and DFS. This consent order pertains to ACS’s repeated or persistent fraudulent, illegal or deceptive conduct in the servicing of Federally-guaranteed student loans.
- Credit Agricole paid \$459 million, which included (a) a \$385 million civil monetary penalty pursuant to an October 2015 consent order between DFS and Credit Agricole, and (b) an asset forfeiture of \$74 million pursuant to a deferred prosecution agreement with the New York County District Attorney’s office. Both the consent order and deferred prosecution agreement pertained to Credit Agricole’s processing billions of dollars of payments on behalf of certain sanctioned parties.

- Credit Suisse AG paid a \$715 million civil monetary penalty pursuant to a May 18, 2014 consent order between DFS and Credit Suisse AG. This consent order pertained to Credit Suisse AG's decades-long operation of an illegal cross-border banking business whereby Credit Suisse AG knowingly and willfully (a) aided thousands of U.S. clients in opening and maintaining undeclared accounts, and (b) concealed offshore assets and income from the IRS and New York authorities.
- Credit Suisse Securities (USA) LLC paid the State \$30 million pursuant to a settlement agreement between the OAG of the State of New York and Credit Suisse Securities, to settle investigations into false statements and omissions made in connection with the marketing of dark pools and other high-speed electronic equities trading services.
- Credit Suisse AG and Credit Suisse AG, New York Branch (together, "Credit Suisse") paid a \$135 million civil monetary penalty pursuant to a November 13, 2017 consent order between Credit Suisse and DFS. This consent order pertains to Credit Suisse's failure to comply with laws and regulations designed to combat improper, unsafe, and unsound conduct in the foreign exchange trading business.
- Deutsche Bank AG and Deutsche Bank AG New York Branch (collectively "Deutsche Bank") paid a \$600 million civil monetary penalty in accordance with an April 23, 2015 consent order between Deutsche Bank and DFS. This consent order pertained to Deutsche Bank's manipulation of benchmark interest rates including (a) the London Interbank Offered Rate, (b) the Euro Interbank Offered Rate and (c) the Euroyen Tokyo Interbank Offered Rate, in violation of New York State Banking Law and regulations.
- Deutsche Bank paid a \$200 million civil monetary penalty pursuant to a November 3, 2015 consent order between DFS and Deutsche Bank. This consent order pertained to Deutsche Bank's use of non-transparent methods and practices to conduct more than 27,200 U.S. dollar clearing transactions, valued at over \$10.86 billion, on behalf of Iranian, Libyan, Syrian, Burmese, and Sudanese financial institutions and other entities subject to U.S. economic sanctions, including entities on the Specially Designated Nationals List of the U.S. Treasury Department's Office of Foreign Assets Control.
- In January 2017, Deutsche Bank paid a \$18.5 million monetary penalty in accordance with a 2016 settlement agreement between Deutsche Bank and the OAG of the State of New York. This settlement agreement pertained to Deutsche Bank's material misstatements and omissions in various written materials disseminated to clients and potential clients with respect to Deutsche bank's routing technology.
- On February 7, 2017, the State received a \$425 million fine from Deutsche Bank in accordance with a consent order between Deutsche Bank and DFS. The consent order addresses compliance deficiencies at Deutsche Bank that allowed bank traders and offshore entities to improperly and covertly transfer more than \$10 billion out of Russia through security trading schemes known as "mirror trades."

- Deutsche Bank AG and Deutsche Bank AG New York Branch (collectively, Deutsche Bank), paid a \$205 million civil monetary penalty pursuant to a June 20, 2018 consent order between Deutsche Bank and DFS. This consent order pertains to Deutsche Bank conducting business in an improper, unsafe, and unsound manner by failing to implement effective controls over its foreign exchange business.
- Deutsche Bank AG, Deutsche Bank AG New York Branch, and Deutsche Bank Trust Company of the Americas (collectively “Deutsche Bank”) paid a \$150 million penalty pursuant to a July 6, 2020 Consent Order between Deutsche Bank and the New York State DFS. This consent order pertains to Deutsche Bank’s relationship with Jeffrey Epstein and correspondent relationships with Danske Estonia and FBME Bank.
- FedEx Corporation, FedEx Ground, Federal Express Corporation, FedEx Freight, and FedEx Corporate Services, Inc. (together, “FedEx”) paid \$35 million pursuant to a December 27, 2018 Settlement Agreement between FedEx, the City of New York, and the People of the State of New York. This settlement agreement pertains to FedEx’s alleged shipment of illegal cigarettes.
- Goldman Sachs Group, Inc. as well as its current and former subsidiaries (collectively “Goldman Sachs”), paid the State \$190 million pursuant to an April 2016 settlement agreement between the OAG and Goldman Sachs. This settlement agreement pertained to the marketing, structuring, arrangement, underwriting, issuance, and sale of residential mortgage-backed securities by Goldman Sachs in 2006 and 2007.
- Goldman Sachs Group, Inc. (“Goldman Sachs”) will pay a \$150 million civil monetary penalty pursuant to an October 21, 2020 Consent Order between Goldman Sachs and the New York State DFS. This Consent Order pertains to the fraudulent misappropriation of funds from Malaysia’s strategic investment development fund, known as 1 Malaysia Development Berhad.
- Goldman Sachs Group, Inc. and Goldman Sachs and Co. (collectively “Goldman”) paid a \$50 million civil monetary penalty pursuant to an October 2015 consent order between DFS and Goldman. This consent order pertained to Goldman’s failure to implement and maintain adequate policies and procedures relating to post-employment restrictions of former government employees.
- The Goldman Sachs Group, Inc. (Goldman Sachs) paid a \$54.8 million civil monetary penalty pursuant to a May 1, 2018 consent order between Goldman Sachs and DFS. This consent order pertains to Goldman Sachs conducting business in an improper, unsafe, and unsound manner by failing to implement effective controls over its foreign exchange business.

- Google, LLC (“Google”) and YouTube, LLC (“YouTube”) paid a \$34 million penalty to the State pursuant to a September 10, 2019 stipulated order between Google and YouTube and the Federal Trade Commission and the People of the State of New York. This order pertains to Google and YouTube’s failure to post a privacy policy on their online service in a clear, understandable, and complete way regarding the collection of personal information from children.
- Habib Bank Limited and Habib Bank Limited, New York Branch (together “Habib Bank”) paid a \$225 million civil monetary penalty pursuant to a September 7, 2017 consent order between Habib Bank and DFS. This consent order pertains to Habib Bank’s failure to comply with laws and regulations designed to combat money laundering, terrorist financing, and other illicit financial transactions.
- Intesa Sanpaola S.p.A. and Intesa Sanpaola S.p.A. New York Branch (collectively “Intesa Bank”) paid the State a \$235 million civil monetary penalty pursuant to a consent order between DFS and Intesa Bank. This consent order pertains to compliance failures by Intesa Bank in 2005-06 and over the past several years, and Intesa Bank’s use of non-transparent practices from 2002-06 to process payments on behalf of Iranian clients and other entities.
- Lockton Affinity, LLC and Lockton Companies, LLC (collectively, “Lockton”) paid a \$7 million civil monetary penalty pursuant to a May 2, 2018 consent order between Lockton and DFS. This consent order pertains to brokerage, administration, solicitation and marketing of insurance coverage that violated New York State laws and regulations, and submission of inaccurate affidavits required by the insurance law pertaining to excess lines insurance coverage.
- Mashreqbank, psc and Mashreqbank, psc, New York Branch (together, “Mashreqbank) paid a \$40 million penalty pursuant to an October 10, 2018 Consent Order between Mashreqbank and DFS. This consent order pertains to Mashreqbank’s (a) failure to maintain an effective and compliant anti-money laundering (AML) program, and (b) failure to maintain and make available appropriate books, accounts and records reflecting all transactions and actions. Aetna Health, Inc., Aetna Health Insurance Company of New York and Aetna Life Insurance Company (hereinafter “Aetna”) paid a \$1.95 million civil penalty pursuant to a December 12, 2018 consent order between Aetna and DFS. This consent order pertains to Aetna’s business practices and fulfillment of their obligations to policyholders and claimants.
- Mega International Commercial Bank Co., LTD. and Mega International Commercial Bank Co. LTD. – New York Branch (collectively “Mega Bank”) paid the State a \$180 million monetary penalty in accordance with an August 19, 2016 consent order between DFS and Mega Bank. This consent order pertains to Mega Bank’s failure to maintain effective complaint and compliance programs, its failure to report the discovery of certain misconduct, and for other violations of New York State Banking Law.

- The Metropolitan Life Insurance Company paid a \$19.75 million civil penalty pursuant to a January 28, 2019 Consent Order between the Metropolitan Life Insurance Company and the New York State DFS. This consent order pertains to Metropolitan Life Insurance Company's pension risk transfer group annuity operations.
- Morgan Stanley paid \$150 million pursuant to a 2016 settlement agreement between the OAG and Morgan Stanley. This settlement agreement pertained to harms to the State resulting from Morgan Stanley's creation, packaging, marketing, underwriting, sale, structuring, arrangement, and issuance of residential mortgage-backed securities in 2006 and 2007.
- MUFG Bank, Ltd., f/k/a The Bank of Tokyo-Mitsubishi UFJ, Ltd. ("MUFG Bank") paid a \$33 million penalty to the State of New York pursuant to a June 24, 2019 settlement agreement between MUFG Bank and DFS, the DFS Acting Superintendent, and the Office of the New York State Attorney General. This settlement agreement pertains to a dispute between MUFG Bank and DFS over DFS's authority to issue an order requiring MUFG Bank to continue to submit to DFS's supervisory authority during the time which MUFG Bank attempted to convert its State-licensed branch in New York to a Federally-licensed branch.
- Nationstar Mortgage LLC (Nationstar) paid a \$5 million penalty pursuant to an April 11, 2018 consent order between Nationstar and DFS. This consent order pertains to Nationstar's violations of laws, regulations and applicable industry guidance, including (a) failure to obtain authorization for the use of multiple domain names, (b) failure to maintain books, records and customer files, (c) failure to fund mortgage loans within the timeframe set forth in various loan or other documents for individual borrowers, (d) operation of two branch locations without authorization, (e) failure to maintain required documentation in servicing files, (f) failure to maintain a schedule of fees on its website, (g) failure to submit quarterly reports in a timely manner, and (h) failure to file multiple 90-day pre-foreclosure notices.
- New Day Financial LLC Fulton, Maryland (New Day) paid a \$1 million civil monetary penalty pursuant to a November 18, 2015 consent order between the DFS and New Day. The consent order pertained to New Day's violation of the Nationwide Multistate Licensing System and Registry Rules of Conduct and New York Mortgage Banking Laws.
- Ocwen Financial (Ocwen) paid a \$100 million civil monetary penalty and another \$50 million as restitution to current and former Ocwen borrowers pursuant to a December 19, 2014 consent order between DFS and Ocwen. This consent order pertained to, among other things, numerous and significant violations of a 2011 agreement between Ocwen and DFS which required Ocwen to adhere to certain servicing practices in the best interest of borrowers and investors. The \$100 million payment is to be used by the State for housing, foreclosure relief, and community redevelopment programs supporting New York's housing recovery. The \$50 million restitution payment will be used to make \$10,000 payments to current and former Ocwen borrowers in New York whose homes were foreclosed upon by Ocwen between January 1, 2009 and December 19, 2014. The balance of the \$50 million will then be distributed equally among borrowers who had foreclosure actions filed against them by Ocwen between January 1, 2009 and December 19, 2014, but in which Ocwen did not complete such foreclosure action.

- Oscar Insurance Corporation (hereinafter "Oscar Insurance") paid a \$576,950 civil penalty pursuant to a December 12, 2018 consent order between Oscar Insurance and DFS. This consent order pertains to Oscar Insurance's (a) failure to make a determination for prospective and concurrent utilization reviews within the required time; (b) failure to include an accurate and detailed explanation of the clinical rationale for denials in the adverse determination notices; and (c) failure to include forfeiture language in the explanation of benefit statements.
- PHH Mortgage and PHH Homes Loans, LLC (collectively "PHH Mortgage") paid a \$28 million penalty in accordance with a 2016 consent order between PHH Mortgage and DFS. This consent order pertained to PHH Mortgage's failure to (a) maintain books, accounts, records, and files in an appropriate manner, (b) adequately and accurately disclose certain fees, and (c) comply with other laws and regulations.
- PricewaterhouseCoopers LLP (PwC) paid \$25 million pursuant to an August 14, 2014 settlement agreement between DFS and PwC to (a) resolve the DFS's investigation of PwC's actions in performing certain consulting services for the Tokyo Branch of The Bank of Tokyo-Mitsubishi UFJ, Ltd. in 2007 and 2008, and (b) establish the basis for a constructive relationship between the parties that will better protect investors and the public.
- Promontory Financial Group, LLC (Promontory) paid the State \$15 million pursuant to an August 18, 2015 agreement between DFS and Promontory. This agreement pertained to Promontory's performance of regulatory compliance work for Standard Chartered Bank wherein Promontory failed to meet DFS's requirements for consultants performing such regulatory compliance work.
- RBS Financial Products Inc. f/k/a Greenwich Capital Financial Products, Inc. (RBS) paid \$100 million to New York State and another \$400 million in consumer relief credits in New York State pursuant to a March 6, 2018 settlement agreement between RBS and the New York State OAG. This settlement agreement pertains to RBS's creation, packaging, structuring, arrangement, underwriting, issuance, marketing and sale of residential mortgage-backed securities prior to January 1, 2009.
- Société Générale SA paid the New York County District Attorney's Office pursuant to a November 18, 2018 deferred prosecution agreement between Société Générale SA and the Office of the United States Attorney for the Southern District of New York. This deferred prosecution agreement pertains to Société Générale SA's violation of U.S. economic sanctions, which caused both affiliated and unaffiliated U.S. financial institutions to process transactions that otherwise should have been rejected, blocked or stopped for investigation. The State received \$77.649 million of the payment.

- Société Générale SA and Société Générale, New York Branch (together, "Société Générale") paid a \$95 million civil monetary penalty pursuant to a November 19, 2018 consent order between Société Générale and DFS. This consent order pertains to Société Générale's persistent, uncorrected, and serious deficiencies in its Secrecy Act/Anti-Money Laundering compliance program that went uncorrected for multiple examination cycles.
- Société Générale SA and Société Générale, New York Branch (together, "Société Générale") paid a \$325 million penalty pursuant to a November 19, 2018 consent order between Société Générale and DFS. This consent order pertains to Société Générale's (a) unsafe and unsound manner of conducting business; (b) failure to maintain an effective and compliant Office of Foreign Assets Control (OFAC) compliance program; (c) false entries in the books, reports, and statements and omissions of material with the intent to deceive or mislead; (d) failure to maintain and make available, at the New York Branch, appropriate books, accounts and records reflecting all transactions and actions; (e) failure to submit a report immediately upon discovering fraud, dishonesty, making of false entries and omission of true entries, or other misconduct; and (f) failure to submit a report of one or more incidents that appear to relate to a plan or scheme that would be of interest to similar organizations located in the same area or throughout the state.
- Standard Chartered Bank, New York Branch (SCB NY) paid \$300 million pursuant to an August 19, 2014 consent order between DFS and SCB NY for failure to fully comply with a September 21, 2012 consent order between the parties. The August 19, 2014 consent order pertained to SCB NY's use of ineffective risk management systems for the identification and management of risks related to compliance with the Bank Security Act (BSA) and AML laws, rules, and regulations. Such risks included U.S. dollar-clearing for clients of SCB United Arab Emirates and SCB Hong Kong, among others.
- The Standard Chartered Bank and Standard Chartered Bank, New York Branch (together, "Standard Chartered") paid a \$40 million civil monetary penalty pursuant to a January 29, 2019 Consent Order between Standard Chartered and the New York State DFS. This consent order pertains to Standard Chartered's unsafe, unsound, and improper conduct, and its failure to implement effective controls over its foreign exchange business.
- The Standard Chartered Bank and Standard Chartered Bank, New York Branch (together, "Standard Chartered") paid a \$180 million civil monetary penalty pursuant to an April 9, 2019 Consent Order between Standard Chartered and the New York State DFS. This consent order pertains to Standard Chartered (i) conducting business in an unsafe and unsound manner, (ii) failing to maintain an effective and compliant OFAC compliance program, (iii) failing to maintain and make available appropriate books, accounts, and records, reflecting all transactions and actions, (iv) failing to submit a report immediately upon discovering fraud, dishonesty, making of false entries or omission of true entries, or other misconduct, and (v) failing to submit a report of one or more incidents that appear to relate to a plan or scheme that would be of interest to similar organizations located in the same area or through the state.

- Standard Chartered Bank and Standard Chartered Bank, New York Branch (together, "Standard Chartered") paid the State \$141.7 million. An April 9, 2019 press release issued by the Manhattan District Attorney's Office indicated that such payment was required by an amended Deferred Prosecution Agreement pertaining to Standard Chartered's falsification of records of New York financial institutions.
- Transamerica Financial Life Insurance Company paid a \$762,700 civil penalty pursuant to a July 2, 2018 consent order between Transamerica Financial Life Insurance Company and DFS. This consent order pertains to violations of New York State Insurance laws and regulations in connection with the life, annuities and accident and health insurance business.
- The Manhattan District Attorney's Office indicates in an April 15, 2019 press release that UniCredit Bank Austria AG has agreed to pay in criminal forfeiture approximately \$316 million to the Manhattan District Attorney's Office in relation to UniCredit Bank Austria AG's falsifying of business records and conspiracy to illicitly move money through New York Banks. The State received \$101.3 million as its share of the forfeiture received by the Manhattan District Attorney's Office in accordance with Section 1349 of the NYS Civil Practice Laws and Rules.
- UniCredit Bank AG and its New York Branch, UniCredit S.p.A and its New York Branch, and UniCredit Bank Austria AG (together, the "UniCredit Group") paid a \$405 million civil monetary penalty pursuant to an April 2019 Consent Order between UniCredit Group and the New York State DFS. This consent order pertains to UniCredit Group's (i) unsafe and unsound business conduct, (ii) failure to maintain an effective and complaint compliance program, (iii) failure to submit reports immediately upon discovering fraud, dishonesty, false entries or omissions of true entries, or other misconduct, and (iv) failure to submit reports of one or more incidents that appear to relate to a plan or scheme that would be of interest to similar organizations located in the same area or throughout the state.
- UBS Securities LLC and UBS Real Estate Securities Inc. (collectively, "UBS") paid \$41 million to New York State and another \$189 million in consumer relief credits in New York State pursuant to a March 20, 2018 settlement agreement between UBS and the New York State OAG. This settlement agreement pertains to UBS's creation, packaging, structuring, arrangement, underwriting, issuance, marketing and sale of residential mortgage-backed securities prior to January 1, 2009.
- The NYS Attorney General announced that Volkswagen AG, Audi and Porsche Affiliates (collectively, "Volkswagen") paid the State \$32 million in monetary recoveries in accordance with a series of proposed settlement agreements between the OAG (amongst others) and Volkswagen. This settlement agreement pertains to Volkswagen's violations of emissions standards and state consumer protection laws. Additionally, the State will receive, and the Department of Environmental Conservation (DEC) will administer, approximately \$128 million for air quality improvement programs in New York.

- Volkswagen AG, Audi AG, Volkswagen Group of America, Inc. (d/b/a Volkswagen of America, Inc. or Audi of America, Inc.), Audi of America, L.L.C., and Volkswagen Group of America Chattanooga Operations LLC (collectively “Volkswagen”) paid \$157.4 million pursuant to a March 29, 2017 Second Partial Settlement Agreement between Volkswagen and Dr. Ing. h.c. F. Porsche AG and Porsche Cars North America, Inc. (together, “Porsche”) (Volkswagen and Porsche together, “Defendants”) and the Attorneys General of the states of Connecticut, Delaware, Maine, New York, Oregon, Rhode Island, Vermont, and Washington, and the commonwealths of Massachusetts and Pennsylvania. The Second Partial Settlement Agreement resolves any claims or potential claims against defendants for their use of “Defeat Devices” in certain vehicles made pursuant to (a) Federal, State and/or local environmental laws, rules and/or regulations, including but not limited to, laws, rules and/or regulations regarding (i) mobile source emissions, (ii) certification, (iii) reporting of information, inspection and maintenance of vehicles, and/or (iv) anti-tampering provisions, and (b) with the exception of Vermont, claims that could be brought for injunctive relief and/or restitution or other monetary payments to consumers under applicable consumer protection, unfair trade, or deceptive acts and practices laws, rules and/or regulations, as well as common law and equitable claims to the extent not previously resolved under the First Partial Settlement Agreement. New York State was allocated \$32.5 million of the \$157.4 million settlement to be paid by Volkswagen and may, consistent with State law and at its sole discretion, use all or a portion of its allocation for any environmentally beneficial purpose.
- Wells Fargo & Company (“Wells Fargo”) paid a \$65 million penalty pursuant to an October 18, 2018 Settlement Agreement between Wells Fargo and the OAG of the State of New York. This Settlement Agreement pertains to Wells Fargo's representations to investors regarding its cross-selling business model and publicly reported cross-sell metrics. This payment has not been reflected as the disposition of the funds by the Attorney General is not yet known.
- Wells Fargo & Company (“Wells Fargo”) paid a \$65 million penalty pursuant to an October 18, 2018 settlement agreement between Wells Fargo and the OAG of the State of New York. This settlement agreement pertains to Wells Fargo's representations to investors regarding its cross-selling business model and publicly reported cross-sell metrics in violation of law.
- Western Union Financial Services, Inc. (Western Union) paid a \$60 million civil monetary penalty pursuant to a January 4, 2018 consent order between Western Union and DFS. This consent order pertains to Western Union’s willful failure to comply with laws and regulations designed to combat money laundering and other illicit financial transactions.
- William Penn Life Insurance Company of New York (William Penn) paid a \$6.3 million civil penalty pursuant to a May 3, 2018 consent order between William Penn and DFS. This consent order pertains to unapproved reinsurance transactions in 2014 through 2018, and materially inaccurate statements to DFS regarding such reinsurance transactions.

The following purposes were identified in past budgets and are expected to continue to be funded with Extraordinary Monetary Settlement funds reappropriated in FY 2021:

- **Thruway Stabilization (\$2.0 billion).** The \$2.0 billion investment will support both the Governor Mario M. Cuomo Bridge project and other transportation infrastructure needs for the rest of the Thruway system.
- **Upstate Revitalization Program (\$1.7 billion).** In FY 2015, \$1.5 billion was awarded through the Upstate Revitalization Initiative (URI) to the three Upstate regions. An additional \$200 million (\$170 million from monetary settlements) was provided in 2016 to support projects in the remaining four eligible Upstate regions.
- **Health Care/Hospitals (\$725 million).** The Capital Plan provides \$680 million in grants to health care providers to facilitate mergers, consolidations, acquisitions, or other corporate restructuring activities. The Capital Plan also funds capital expenses of the Roswell Park Cancer Institute (RPCI) (\$15.5 million); a community health care revolving loan (\$19.5 million); IT and other infrastructure costs associated with the inclusion of behavioral health sciences in the Medicaid Managed Care benefit package (\$10 million); and \$125 million to the health care facility transformation program.
- **Affordable and Homeless Housing (\$640 million).** Settlement funds will augment the multi-year investment in affordable housing services (\$590 million) and provide housing opportunities for individuals and families who are homeless or at risk of homelessness (\$50 million).
- **Broadband Initiative (\$500 million).** The \$500 million investment in the New NY Broadband Fund Program is intended to expand the availability and capacity of broadband across the State, and support development of other telecommunication infrastructure.
- **Buffalo Billion Phase II (\$400 million).** The Capital Plan reflects a continued investment of \$400 million from monetary settlement funds to support the second phase of the Buffalo Billion initiative, which totals \$500 million.
- **Life Sciences (\$320 million).** The Capital Plan reflects the commitment of \$320 million from monetary settlement funds to support the State's multi-year \$620 million Life Sciences Initiative. The State will provide \$220 million to support state-of-the-art laboratory space, equipment, and technology. Furthermore, \$100 million will be provided in investment capital for early stage life science firms, which is expected to be matched by private sector partners.
- **MTA Capital Plan (\$315 million).** The Capital Plan includes \$250 million for the MTA Penn Station Access project, which will open a new Metro-North link directly into Penn Station. The project is expected to provide enhanced system resiliency, improvement in regional mobility, and construction of four new Metro-North stations in the Bronx. Also, an additional \$65 million was provided to the MTA's 2015-2019 Capital Program, which was paid to the MTA in FY 2018.

- **Municipal Restructuring and Downtown Revitalization (\$270 million).** The Capital Plan includes \$20 million in funding for a municipal consolidation competition to encourage the reduction of costs through a competitive process to be administered by the Department of State (DOS). This funding is in addition to \$150 million for the first Downtown Revitalization Initiative and for municipal restructuring to assist and encourage local governments and school districts to implement shared services, cooperation agreements, mergers, and other actions that are intended to reduce operating costs and property tax burdens. The second Downtown Revitalization Initiative (\$100 million) funds housing, economic development, transportation, and community projects to attract and retain residents, visitors, and businesses to downtowns.
- **Clean Water Infrastructure (\$250 million).** The Capital Plan continues the commitment of \$500 million for water quality capital projects, including \$250 million funded from monetary settlements. The investment continues supporting drinking water infrastructure, wastewater infrastructure, and source water protection.
- **Resiliency, Mitigation, Security, and Emergency Response (\$250 million).** The Capital Plan provides funding for the preparedness and response efforts related to severe weather events, as well as efforts to prevent, prepare for, and respond to acts of terrorism, other public safety and health emergencies, and natural and man-made disasters. Additionally, funding has been used for counter-terrorism efforts in New York City, including increased security and anti-terror exercises at nine MTA-operated bridges.
- **Transportation Capital Plan (\$200 million).** The Capital Plan provides funding for transportation infrastructure projects across the State.
- **Transformative Economic Development Projects (\$150 million).** The Capital Plan includes funds to promote economic development in Nassau and Suffolk counties.
- **Environmental Protection Fund (EPF) (\$120 million).** This \$120 million and other EPF resources provide dedicated funding to communities throughout New York State to improve the environment, respond to problems caused by climate change, and reduce greenhouse gas emissions.
- **Infrastructure Improvements (\$115 million).** The Capital Plan provides \$115 million in funding for infrastructure improvements to support transportation, upstate transit, rail, airport, port, and other projects.

- **Economic Development (\$85 million).** The Capital Plan includes \$85 million in funding for economic development with the intention of creating jobs, strengthening and diversifying economies, and generating economic opportunities across the State, including investments in infrastructure.
- **Southern Tier/Hudson Valley Farm Initiative (\$50 million).** The Capital Plan contains \$50 million in funding to help landowners in the Southern Tier and Hudson Valley maintain and develop farming, agricultural, and related businesses.
- **Empire State Poverty Reduction Initiative (ESPRI) (\$25 million).** The ESPRI is intended to bring together State and local governments, nonprofits, and community groups to design and implement coordinated solutions for addressing poverty in 16 municipalities: Albany, Binghamton, the Bronx, Buffalo, Elmira, Hempstead, Jamestown, Newburgh, Niagara Falls, Oneonta, Oswego, Rochester, Syracuse, Troy, Utica, and Watertown.
- **Non-MTA Transit (\$20 million).** These settlement funds will be directed by DOT toward upstate and downstate public transportation systems other than the MTA to defray the costs of capital projects or acquisitions.

Since 2015, the receipt of \$12.8 billion in monetary settlements has increased the State's cash on hand and improved its liquidity position. A large portion of the monetary settlements (\$8.1 billion) has been programmed for capital projects that are expected to spend over multiple years. This has provided the flexibility to temporarily use these cash resources to support capital spending and avoid issuing debt immediately, saving on interest costs. Accordingly, the budget assumes the use of a portion of monetary settlements for two different purposes:

1. Use \$1.3 billion to fund bond-financed capital disbursements. As shown in the table below, settlement resources were used to pay for \$1.3 billion of capital spending in FY 2017 to support higher education, transportation, and economic development. This \$1.3 billion advance from settlement funds has been repaid over a two-year period, as the State reimbursed this capital spending with bond proceeds in FY 2018 (\$800 million) and FY 2019 (\$500 million).
2. Meet initial capital funding requirements for the Javits Center expansion project. As shown in the following table, the Javits expansion will be supported by settlement fund balances in the first instance, beginning in FY 2018. Subsequently, these expenses will be reimbursed from bond proceeds in FY 2021.

ALLOCATION OF MONETARY SETTLEMENTS TO CAPITAL PROJECTS FUNDS (millions of dollars)										
	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023	FY 2024	FY 2025
Settlements Allocated to Capital Projects Funds	4,550	1,960	1,205	125	250	0	0	0	0	0
Transfers to Capital Projects Funds	(857)	(817)	(1,027)	(887)	(1,075)	(1,242)	(959)	(747)	(345)	(134)
Remaining Settlement Funds	3,693	4,836	3,714	3,588	2,881	1,368	1,226	479	134	0
Transfer to DIIF for Javits Center	0	0	(164)	(382)	(271)	(183)	0	0	0	0
Bond Proceed Receipts for Javits Center	0	0	0	0	0	1,000	0	0	0	0
Management of Debt Issuances	0	(1,300)	800	500	0	0	0	0	0	0
Adjusted Remaining Settlement Funds	3,693	3,536	4,350	3,706	2,610	2,185	1,226	479	134	0

Note 12 – FY 2019 State Operating Funds Restatement

To avoid piercing the Medicaid Global Cap (“Global Cap”) in FY 2019, DOH deferred \$1.7 billion in planned Medicaid payments from FY 2019 to FY 2020. If the deferral had not occurred, State Operating Funds disbursements for FY 2019 would have increased by a like amount. In response to the unexpected deferral, DOB and DOH conducted an in-depth examination of Medicaid expenditures. In the FY 2020 Mid-Year Update to the Financial Plan released in November 2019 (“Mid-Year Update”), DOB reported that a structural imbalance existed within the Medicaid Global Cap estimated at \$4 billion in FY 2020, including the FY 2019 payment deferral, and projected at \$3.1 billion in FY 2021. The Mid-Year Update included an assumption that the State would permanently restructure \$2.2 billion in Medicaid payments as part of the response to the FY 2020 Global Cap imbalance. The assumed restructuring included the continued deferral of the FY 2019 payment (\$1.7 billion), and a new deferral (\$552 million) in FY 2020. DOB subsequently concluded that the latter deferral was not needed and the payment was made by the end of FY 2020. The Financial Plan does not include new deferrals beyond the continuation of the FY 2019 \$1.7 billion deferral. The FY 2019 disbursement level is restated for the deferrals as shown in the table below.

FY 2019 STATE OPERATING FUNDS SPENDING	
REPORTED AND RESTATED	
<i>(millions of dollars)</i>	
Cash-basis results reported by State Comptroller	100,137
Adjustment for Medicaid Deferrals	1,692
DOB Restatement of FY 2019 Results	101,829

The calculation of annual State Operating Funds spending growth from FY 2019 to FY 2020 is presented herein using the restated FY 2019 results. The Financial Plan tables are not adjusted and use the cash-basis results as reported in the Comptroller’s Annual Report to the Legislature on State Funds Cash Basis of Accounting, Fiscal Year Ended March 31, 2019.

Note 13 – Budget Balance Reduction

Local Assistance estimates reflect the impact of the budget balance reductions that DOB expects to execute during FY 2021 as a distinct line below the Financial Plan category. The precise reductions in the aid-to-localities programs will be contained in the reduction plan that DOB expects to submit to the Legislature. The cash disbursement reductions are expected to be allocated by agency later in the fiscal year.

FY 2021 ENACTED BUDGET BUDGET BALANCE REDUCTIONS (millions of dollars)				
	FY 2021 Enacted	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Budget Balance Reduction	8,000	8,000	8,000	8,000
Local Assistance	8,000	8,000	8,000	8,000

In the absence of Federal action since enactment of the FY 2021 budget and to achieve budgeted cash flow savings, DOB began withholding 20 percent of most local aid payments in June 2020, pursuant to the withholding authority granted by State legislation enacted in connection with the adoption of the Enacted Budget. Through the end of September 2020, DOB estimates that approximately \$2.4 billion in local aid payments were not made as budgeted. All or a portion of these budgeted payments may not be made during FY 2021, depending on the size and timing of new Federal aid, if any.

The following is a summary of local aid payments withheld or not paid by function.

BUDGETED LOCAL AID PAYMENTS WITHHELD/NOT PAID APRIL THROUGH SEPTEMBER ¹ (in millions)	
Higher Education	486
Transportation	457
Other Education/Arts	362
School Aid	300
Health Care	289
Human Services/Housing	252
Mental Hygiene	87
Local Government Aid	85
Public Safety/General Govt	26
Economic Development	10
Total Payments Withheld	2,354

¹ Local aid payments planned through September and budgeted at \$500,000 or more prior to withholding.

Note 14 – Gaming Receipts

GAMING RECEIPTS (millions of dollars)					
	FY 2020	FY 2021	FY 2022	FY 2023	FY 2024
	<u>Results</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>	<u>Projected</u>
<u>Total Receipts</u>	<u>3,699</u>	<u>3,083</u>	<u>3,320</u>	<u>3,442</u>	<u>3,600</u>
<u>Education</u>	<u>3,573</u>	<u>2,554</u>	<u>3,122</u>	<u>3,220</u>	<u>3,345</u>
Traditional Lottery	2,473	2,180	2,241	2,241	2,241
VLT Gaming	944	313	746	834	943
Commercial Gaming (School Aid)	150	56	129	139	155
Interactive Fantasy Sports	6	5	6	6	6
<u>All Other</u>	<u>126</u>	<u>529</u>	<u>198</u>	<u>222</u>	<u>255</u>
Tribal State Compact	88	515	166	187	216
Commercial Gaming (Local)	38	14	32	35	39

This note provides additional detail on State Operating Funds gaming receipts projections over the course of the Financial Plan.

Education gaming receipts in FY 2021 are estimated to decrease significantly from FY 2020, primarily due to the impact of COVID-19 stay-at-home orders on traditional lottery sales during the first quarter of the fiscal year, as well as the extended closure of VLT and commercial gaming facilities. VLT and commercial gaming facilities began to reopen in September with a 25 percent occupancy limit and are expected to have reduced visitation resulting in reduced gaming spending. Customers are not expected to return to their full pre-COVID gambling habits for several years.

All other tax receipts are estimated to increase in FY 2021, mainly due to the anticipated receipt of slot share payments owed to the State by the Seneca Nation since April 2017. In FY 2022, receipts are projected to significantly decrease as collections return to their normal pattern.

Education gaming receipts are projected to increase in FY 2022, under the expectation that VLT and commercial gaming facilities will be open for the entire fiscal year with fewer capacity limitations. Education gaming and all other tax receipts for FY 2023 and FY 2024 are expected to increase in both years driven by the continued ramp-up of gaming facilities to their pre-COVID levels as visitation, and therefore overall gaming spending, continues to trend upward. The gaming facilities are projected to return to or exceed pre-COVID revenue levels by FY 2024.

Glossary of Acronyms

AAA	Area Agencies on Aging
ACA	Affordable Care Act
AG	Attorney General
AIG	American International Group, Inc.
AIM	Aid and Incentives for Municipalities
ALICO	American Life Insurance Company
AML	Anti-Money Laundering
AMTAP	Additional Mass Transportation Assistance Program
APCD	All-Payer Claims Databases
ATB	Across the Board
AXA	AXA Equitable Life Insurance Company
AY	Academic Year (July 1 through June 30) – SUNY/CUNY
BANs	Bond Anticipation Notes
BBA 19	Bipartisan Budget Act of 2019
BEA	Bureau of Economic Analysis
BNPP	BNP Paribas, S.A., New York Branch
BOCES	Boards of Cooperative Educational Services
BofA	Bank of America
BofAML	Bank of America Corporation and Merrill Lynch, Pierce, Fenner and Smith Incorporated
BSA	Bank Security Act
BTMU	Bank of Tokyo-Mitsubishi UFJ, Ltd.
CARES Act	Coronavirus Aid, Relief, and Economic Security Act
CDPAP	Consumer Directed Personal Assistance Program
CES	Current Employment Statistics
CFCO	Community First Choice Option
CFT	Corporate Franchise Tax
CFY	City Fiscal Year
CHP	Child Health Plus
CIGNA	Cigna Health and Life Insurance Company
CISO	Chief Information Security Office
CLA	Covered Lives Assessments
CMS	Centers for Medicare & Medicaid Services
COLA	Cost-of-Living Adjustment
COVID-19	Coronavirus Disease 2019
CPI	Consumer Price Index
CREF	College Retirement Equities Fund
CRF	Coronavirus Relief Fund
CSEA	Civil Service Employees Association
CSX	CSX Transportation, Inc.
CUNY	City University of New York
CW/CA	Clean Water/Clean Air
CY	County Year
DANY	New York County District Attorney
DASNY	Dormitory Authority of the State of New York
DC-37	District Council 37
DDPC	Developmental Disabilities Planning Council
DEC	Department of Environmental Conservation
DelAm	Delaware American Life Insurance Company
DFS	Department of Financial Services
DHBTF	Dedicated Highway and Bridge Trust Fund
DHSES	Division of Homeland Security & Emergency Services
DMNA	Division of Military and Naval Affairs
DMV	Department of Motor Vehicles
DOB	Division of the Budget
DOCCS	Department of Corrections and Community Supervision

Glossary of Acronyms



DOH	Department of Health
DOS	Department of State
DOT	Department of Transportation
DS	Debt Service
DSH	Disproportionate Share Hospital
DSRIP	Delivery System Reform Incentive Payment
DTF	Department of Taxation and Finance
ECEP	Employer Compensation Expense Program
eFMAP	enhanced Federal Medical Assistance Percentage
EI	Early Intervention
EP	Essential Plan
EPF	Environmental Protection Fund
EPIC	Elderly Pharmaceutical Insurance Coverage
ERS	Employees' Retirement System
ESCO	Energy Service Company
ESEA	Elementary and Secondary Education Act
ESD	Empire State Development
ESPRI	Empire State Poverty Reduction Initiative
ESSHI	Empire State Supportive Housing Initiative
FAST	Fixing America's Surface Transportation
FEMA	Federal Emergency Management Agency
FFCRA	Families First Coronavirus Response Act
FFP	Federal Financial Participation
FFY	Federal Fiscal Year (October 1 Through September 30)
FMAP	Federal Medical Assistance Percentage
FPUC	Federal Pandemic Unemployment Compensation
FPG	Fortis Property Group
FRB	Financial Restructuring Board
FTE	Full-Time Equivalent
FY	Fiscal Year
GAAP	Generally Accepted Accounting Principles
GASB	Governmental Accounting Standards Board
GASBS	Governmental Accounting Standards Board Statement
GDP	Gross Domestic Product
GLIP	Group Life Insurance Plan
GPHW	General Public Health Work
GSCs	General State Charges
GSEU	Graduate Student Employees Union
HCBS	Homes and Community Bases Services
HCRA	Health Care Reform Act
HCTF	Health Care Transformation Fund
HEAP	Home Energy Assistance Program
HESC	Higher Education Services Corporation
HRI	Health Research, Inc.
HUT	Highway Use Tax
ICR	Institutional Cost Reports
IDEA	Individuals with Disabilities Education Act
IMF	International Monetary Fund
IPCC	Intergovernmental Panel on Climate Change of the United Nations
IRS	Internal Revenue Service
IT	Information Technology
ITS	Information Technology Services
LGAC	Local Government Assistance Corporation
LICH	Long Island College Hospital
LLC	Limited Liability Company

LWA	Lost Wages Assistance
M/C	Management/Confidential
MCTD	Metropolitan Commuter Transportation District
MLF	Municipal Liquidity Facility
MRT	Medicaid Redesign Team
MRT II	Medicaid Redesign Team II
MSA	Master Settlement Agreement
MTA	Metropolitan Transportation Authority
NBER	National Bureau of Economic Research
NMS	New Medical Site
N-PCL	Not-for Profit Corporation Law
NPS	Non-Personal Service
NYC	New York City
NYS	New York State
NYSCOPBA	New York State Correctional Officers and Police Benevolent Association
NYSHIP	New York State Health Insurance Program
NYSLRS	New York State and Local Retirement System
NYSOH	New York State of Health
NYSPIA	New York State Police Investigators Association
NYSTA	New York State Thruway Authority
NYSTPBA	Police Benevolent Association of the New York State Troopers
NYU	New York University
OAG	Office of the Attorney General
OASAS	Office of Addiction Services and Supports
OCA	Office of Court Administration
OCFS	Office of Children and Family Services
OFAC	Office of Foreign Assets Control
OMH	Office of Mental Health
OPEB	Other Post-Employment Benefits
OPEC	Organization of Petroleum Exporting Countries
OPWDD	Office for People with Developmental Disabilities
ORP	Optional Retirement Program
OSC	Office of the State Comptroller
OTDA	Office of Temporary and Disability Assistance
PAYGO	Pay-As-You-Go
PBANYS	Police Benevolent Association of New York State
PBT	Petroleum Business Tax
PCS	Personal Care Services
PEF	Public Employees Federation
PFRS	Police and Fire Retirement System
PI	Personal Income
PIGI	Personal Income Growth Index
PILOT	Payments in Lieu of Taxes
PIT	Personal Income Tax
PMT	Payroll Mobility Tax
PS	Personal Service
PwC	PricewaterhouseCoopers LLP
QCEW	Quarterly Census of Employment and Wages
RBS	RBS Financial Products Inc. (formally Greenwich Capital Financial Products, Inc.)
RBTF	Revenue Bond Tax Fund
RFP	Request for Proposals
RGGI	Regional Greenhouse Gas Initiative
RPA	Requests for Public Assistance
RPCI	Roswell Park Cancer Institute
RSSL	Retirement and Social Security Law

Glossary of Acronyms



SALT	State and Local Tax
SCB	Standard Chartered Bank
SCB NY	Standard Chartered Bank, New York Branch
SED	State Education Department
SFY	State Fiscal Year (April 1 Through March 31)
SHIN-NY	Statewide Health Information Network for New York
SNAP	Supplemental Nutrition Assistance Program
SOFA	State Office for the Aging
SSI	Supplemental Security Income
STAR	School Tax Relief
STEM	Science, Technology, Engineering and Mathematics
STIP	Short-Term Investment Pool
SUNY	State University of New York
SUT	Sales and Use Tax
SY	School Year (July 1 through June 30)
TANF	Temporary Assistance for Needy Families
TAP	Tuition Assistance Program
TCJA	Tax Cuts and Jobs Act of 2017
TIAA	Teachers Insurance and Annuity Association
TRS	Teachers' Retirement System
TY	Tax Year (January 1 Through December 31)
UBS	UBS Securities LLC and UBS Real Estate Securities Inc.
URI	Upstate Revitalization Initiative
U.S.	United States
UUP	United University Professions
VDC	Voluntary Defined Contribution
VLT	Video Lottery Terminal

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**CASH FINANCIAL PLAN
GENERAL FUND
(millions of dollars)**

	<u>FY 2021 Mid-Year</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
Receipts:				
Taxes:				
Personal Income Tax	22,450	22,008	23,508	25,181
Consumption/Use Taxes	6,446	7,380	7,691	7,890
Business Taxes	6,506	6,337	6,778	6,918
Other Taxes	1,115	1,047	1,097	1,148
Miscellaneous Receipts	6,896	1,753	1,776	1,814
Transfers from Other Funds:				
PIT in Excess of Revenue Bond Debt Service	17,607	20,487	21,591	23,130
ECEP in Excess of Revenue Bond Debt Service	2	3	3	4
Sales Tax in Excess of LGAC Bond Debt Service	2,841	3,389	3,549	3,651
Sales Tax in Excess of Revenue Bond Debt Service	1,766	2,140	2,245	2,349
Real Estate Taxes in Excess of CW/CA Debt Service	781	841	905	961
All Other	2,760	2,100	1,717	1,564
Total Receipts	<u>69,170</u>	<u>67,485</u>	<u>70,860</u>	<u>74,610</u>
Disbursements:				
Local Assistance	46,212	49,954	52,638	55,564
State Operations:				
Personal Service	8,923	9,192	9,299	9,411
Non-Personal Service	2,297	2,955	3,027	3,180
General State Charges	6,999	8,833	9,334	9,761
Transfers to Other Funds:				
Debt Service	308	471	489	545
Capital Projects	3,334	3,715	3,895	3,139
SUNY Operations	1,273	1,273	1,267	1,267
Other Purposes	1,531	1,324	1,401	1,507
Total Disbursements	<u>70,877</u>	<u>77,717</u>	<u>81,350</u>	<u>84,374</u>
Use (Reservation) of Fund Balance:				
Community Projects	16	0	0	0
Timing of Payments	1,313	0	0	0
Undesignated Fund Balance	553	548	0	0
Economic Uncertainties	(600)	0	0	0
Extraordinary Monetary Settlements	425	959	747	345
Total Use (Reservation) of Fund Balance	<u>1,707</u>	<u>1,507</u>	<u>747</u>	<u>345</u>
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements	<u>0</u>	<u>(8,725)</u>	<u>(9,743)</u>	<u>(9,419)</u>

**CASH FINANCIAL PLAN
GENERAL FUND
(millions of dollars)**

	FY 2020 Results	FY 2021 Mid-Year	Annual \$ Change	Annual % Change
Opening Fund Balance	7,206	8,944	1,738	24.1%
Receipts:				
Taxes:				
Personal Income Tax	24,646	22,450	(2,196)	-8.9%
Consumption/Use Taxes	8,038	6,446	(1,592)	-19.8%
Business Taxes	6,370	6,506	136	2.1%
Other Taxes	1,087	1,115	28	2.6%
Miscellaneous Receipts	3,159	6,896	3,737	118.3%
Transfers from Other Funds:				
PIT in Excess of Revenue Bond Debt Service	25,862	17,607	(8,255)	-31.9%
ECEP in Excess of Revenue Bond Debt Service	0	2	2	0.0%
Sales Tax in Excess of LGAC Bond Debt Service	3,417	2,841	(576)	-16.9%
Sales Tax in Excess of Revenue Bond Debt Service	2,762	1,766	(996)	-36.1%
Real Estate Taxes in Excess of CW/CA Debt Service	951	781	(170)	-17.9%
All Other	2,915	2,760	(155)	-5.3%
Total Receipts	79,207	69,170	(10,037)	-12.7%
Disbursements:				
Local Assistance	51,863	46,212	(5,651)	-10.9%
State Operations:				
Personal Service	8,940	8,923	(17)	-0.2%
Non-Personal Service	3,114	2,297	(817)	-26.2%
General State Charges	7,454	6,999	(455)	-6.1%
Transfers to Other Funds:				
Debt Service	736	308	(428)	-58.2%
Capital Projects	3,128	3,334	206	6.6%
SUNY Operations	1,179	1,273	94	8.0%
Other Purposes	1,055	1,531	476	45.1%
Total Disbursements	77,469	70,877	(6,592)	-8.5%
Excess (Deficiency) of Receipts Over Disbursements	1,738	(1,707)	(3,445)	-198.2%
Closing Fund Balance	8,944	7,237	(1,707)	-19.1%
Statutory Reserves				
Tax Stabilization Reserve	1,258	1,258	0	
Rainy Day Reserves	1,218	1,218	0	
Contingency Reserve	21	21	0	
Community Projects	31	15	(16)	
Reserved For				
Timing of Payments	1,313	0	(1,313)	
Undesignated Fund Balance	1,103	550	(553)	
Debt Management	500	500	0	
Economic Uncertainties	890	1,490	600	
Extraordinary Monetary Settlements	2,610	2,185	(425)	

**CASH FINANCIAL PLAN
GENERAL FUND
(millions of dollars)**

	<u>FY 2021 First Quarter</u>	<u>Change</u>	<u>FY 2021 Mid-Year</u>
Receipts:			
Taxes:			
Personal Income Tax	22,450	0	22,450
Consumption/Use Taxes	6,446	0	6,446
Business Taxes	6,506	0	6,506
Other Taxes	1,115	0	1,115
Miscellaneous Receipts	6,744	152	6,896
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	17,621	(14)	17,607
ECEP in Excess of Revenue Bond Debt Service	2	0	2
Sales Tax in Excess of LGAC Bond Debt Service	2,841	0	2,841
Sales Tax in Excess of Revenue Bond Debt Service	1,766	0	1,766
Real Estate Taxes in Excess of CW/CA Debt Service	781	0	781
All Other	2,561	199	2,760
Total Receipts	<u>68,833</u>	<u>337</u>	<u>69,170</u>
Disbursements:			
Local Assistance	46,285	(73)	46,212
State Operations:			
Personal Service	8,739	184	8,923
Non-Personal Service	2,094	203	2,297
General State Charges	7,070	(71)	6,999
Transfers to Other Funds:			
Debt Service	310	(2)	308
Capital Projects	3,396	(62)	3,334
SUNY Operations	1,273	0	1,273
Other Purposes	1,523	8	1,531
Total Disbursements	<u>70,690</u>	<u>187</u>	<u>70,877</u>
Use (Reservation) of Fund Balance:			
Community Projects	16	0	16
Timing of Payments	1,313	0	1,313
Undesignated Fund Balance	553	0	553
Reserve for Economic Uncertainties	(450)	(150)	(600)
Extraordinary Monetary Settlements	425	0	425
Total Use (Reservation) of Fund Balance	<u>1,857</u>	<u>(150)</u>	<u>1,707</u>
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements			
	<u>0</u>	<u>0</u>	<u>0</u>

CASH FINANCIAL PLAN
GENERAL FUND
(millions of dollars)

	<u>FY 2022 First Quarter</u>	<u>Change</u>	<u>FY 2022 Mid-Year</u>
Receipts:			
Taxes:			
Personal Income Tax	22,008	0	22,008
Consumption/Use Taxes	7,380	0	7,380
Business Taxes	6,337	0	6,337
Other Taxes	1,047	0	1,047
Miscellaneous Receipts	1,750	3	1,753
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	20,506	(19)	20,487
ECEP in Excess of Revenue Bond Debt Service	3	0	3
Sales Tax in Excess of LGAC Bond Debt Service	3,389	0	3,389
Sales Tax in Excess of Revenue Bond Debt Service	2,152	(12)	2,140
Real Estate Taxes in Excess of CW/CA Debt Service	841	0	841
All Other	1,822	278	2,100
Total Receipts	<u>67,235</u>	<u>250</u>	<u>67,485</u>
Disbursements:			
Local Assistance	49,659	295	49,954
State Operations:			
Personal Service	8,999	193	9,192
Non-Personal Service	2,552	403	2,955
General State Charges	9,013	(180)	8,833
Transfers to Other Funds:			
Debt Service	488	(17)	471
Capital Projects	3,749	(34)	3,715
SUNY Operations	1,273	0	1,273
Other Purposes	1,367	(43)	1,324
Total Disbursements	<u>77,100</u>	<u>617</u>	<u>77,717</u>
Use (Reservation) of Fund Balance:			
Undesignated Fund Balance	548	0	548
Extraordinary Monetary Settlements	959	0	959
Total Use (Reservation) of Fund Balance	<u>1,507</u>	<u>0</u>	<u>1,507</u>
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements	<u>(8,358)</u>	<u>(367)</u>	<u>(8,725)</u>

**CASH FINANCIAL PLAN
GENERAL FUND
(millions of dollars)**

	<u>FY 2023 First Quarter</u>	<u>Change</u>	<u>FY 2023 Mid-Year</u>
Receipts:			
Taxes:			
Personal Income Tax	23,508	0	23,508
Consumption/Use Taxes	7,691	0	7,691
Business Taxes	6,778	0	6,778
Other Taxes	1,097	0	1,097
Miscellaneous Receipts	1,773	3	1,776
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	21,589	2	21,591
ECEP in Excess of Revenue Bond Debt Service	3	0	3
Sales Tax in Excess of LGAC Bond Debt Service	3,549	0	3,549
Sales Tax in Excess of Revenue Bond Debt Service	2,269	(24)	2,245
Real Estate Taxes in Excess of CW/CA Debt Service	905	0	905
All Other	1,514	203	1,717
Total Receipts	<u>70,676</u>	<u>184</u>	<u>70,860</u>
Disbursements:			
Local Assistance	53,022	(384)	52,638
State Operations:			
Personal Service	9,062	237	9,299
Non-Personal Service	2,504	523	3,027
General State Charges	9,559	(225)	9,334
Transfers to Other Funds:			
Debt Service	501	(12)	489
Capital Projects	3,913	(18)	3,895
SUNY Operations	1,267	0	1,267
Other Purposes	1,444	(43)	1,401
Total Disbursements	<u>81,272</u>	<u>78</u>	<u>81,350</u>
Use (Reservation) of Fund Balance:			
Extraordinary Monetary Settlements	747	0	747
Total Use (Reservation) of Fund Balance	<u>747</u>	<u>0</u>	<u>747</u>
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements	<u>(9,849)</u>	<u>106</u>	<u>(9,743)</u>

**CASH FINANCIAL PLAN
GENERAL FUND
(millions of dollars)**

	<u>FY 2024 First Quarter</u>	<u>Change</u>	<u>FY 2024 Mid-Year</u>
Receipts:			
Taxes:			
Personal Income Tax	25,181	0	25,181
Consumption/Use Taxes	7,890	0	7,890
Business Taxes	6,918	0	6,918
Other Taxes	1,148	0	1,148
Miscellaneous Receipts	1,811	3	1,814
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	23,090	40	23,130
ECEP in Excess of Revenue Bond Debt Service	4	0	4
Sales Tax in Excess of LGAC Bond Debt Service	3,651	0	3,651
Sales Tax in Excess of Revenue Bond Debt Service	2,372	(23)	2,349
Real Estate Taxes in Excess of CW/CA Debt Service	961	0	961
All Other	1,354	210	1,564
Total Receipts	<u>74,380</u>	<u>230</u>	<u>74,610</u>
Disbursements:			
Local Assistance	56,055	(491)	55,564
State Operations:			
Personal Service	9,202	209	9,411
Non-Personal Service	2,629	551	3,180
General State Charges	9,689	72	9,761
Transfers to Other Funds:			
Debt Service	553	(8)	545
Capital Projects	3,133	6	3,139
SUNY Operations	1,267	0	1,267
Other Purposes	1,549	(42)	1,507
Total Disbursements	<u>84,077</u>	<u>297</u>	<u>84,374</u>
Use (Reservation) of Fund Balance:			
Extraordinary Monetary Settlements	345	0	345
Total Use (Reservation) of Fund Balance	<u>345</u>	<u>0</u>	<u>345</u>
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements	<u>(9,352)</u>	<u>(67)</u>	<u>(9,419)</u>

**CASH RECEIPTS
GENERAL FUND
(millions of dollars)**

	FY 2021 Mid-Year	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Taxes:				
Withholdings	39,752	42,570	44,344	46,269
Estimated Payments	14,669	12,951	14,091	15,438
Final Payments	3,608	2,882	3,164	3,431
Other Payments	1,611	1,681	1,731	1,783
Gross Collections	59,640	60,084	63,330	66,921
State/City Offset	(1,274)	(1,399)	(1,524)	(1,649)
Refunds	(9,320)	(10,710)	(11,074)	(11,410)
Reported Tax Collections	49,046	47,975	50,732	53,862
STAR (Dedicated Deposits)	(2,073)	(1,979)	(1,858)	(1,750)
RBTF (Dedicated Transfers)	(24,523)	(23,988)	(25,366)	(26,931)
Personal Income Tax	22,450	22,008	23,508	25,181
Sales and Use Tax	11,700	13,554	14,192	14,604
Cigarette and Tobacco Taxes	296	305	295	285
Vapor Excise Tax	0	0	0	0
Motor Fuel Tax	0	0	0	0
Alcoholic Beverage Taxes	266	264	266	269
Opioid Excise Tax	34	34	34	34
Medical Cannabis Excise Tax	0	0	0	0
Adult Use Cannabis Tax	0	0	0	0
Highway Use Tax	0	0	0	0
Auto Rental Tax	0	0	0	0
Taxicab Surcharge	0	0	0	0
Gross Consumption/Use Taxes	12,296	14,157	14,787	15,192
LGAC/STBF (Dedicated Transfers)	(5,850)	(6,777)	(7,096)	(7,302)
Consumption/Use Taxes	6,446	7,380	7,691	7,890
Corporation Franchise Tax	3,882	3,852	4,228	4,309
Corporation and Utilities Tax	470	463	477	481
Insurance Taxes	1,929	2,022	2,073	2,128
Bank Tax	225	0	0	0
Petroleum Business Tax	0	0	0	0
Business Taxes	6,506	6,337	6,778	6,918
Estate Tax	1,100	1,028	1,077	1,128
Real Estate Transfer Tax	949	1,004	1,061	1,114
Employer Compensation Expense Program	4	6	7	7
Gift Tax	0	0	0	0
Real Property Gains Tax	0	0	0	0
Pari-Mutuel Taxes	11	14	14	14
Other Taxes	2	3	2	3
Gross Other Taxes	2,066	2,055	2,161	2,266
Real Estate Transfer Tax (Dedicated)	(949)	(1,004)	(1,061)	(1,114)
RBTF (Dedicated Transfers)	(2)	(4)	(3)	(4)
Other Taxes	1,115	1,047	1,097	1,148
Payroll Tax	0	0	0	0
Total Taxes	36,517	36,772	39,074	41,137
Licenses, Fees, Etc.	378	478	528	578
Abandoned Property	450	450	450	450
Motor Vehicle Fees	331	246	238	238
ABC License Fee	60	68	65	64
Reimbursements	124	70	70	66
Investment Income	79	43	27	20
Extraordinary Settlements	600	0	0	0
Other Transactions	4,874	398	398	398
Miscellaneous Receipts	6,896	1,753	1,776	1,814
Federal Receipts	0	0	0	0
Total	43,413	38,525	40,850	42,951

**CURRENT STATE RECEIPTS
GENERAL FUND
(millions of dollars)**

	FY 2020 Results	FY 2021 Mid-Year	Annual \$ Change	Annual % Change
Taxes:				
Withholdings	43,118	39,752	(3,366)	-7.8%
Estimated Payments	17,025	14,669	(2,356)	-13.8%
Final Payments	3,454	3,608	154	4.5%
Other Payments	1,388	1,611	223	16.1%
Gross Collections	64,985	59,640	(5,345)	-8.2%
State/City Offset	(1,117)	(1,274)	(157)	-14.1%
Refunds	(10,208)	(9,320)	888	8.7%
Reported Tax Collections	53,660	49,046	(4,614)	-8.6%
STAR (Dedicated Deposits)	(2,184)	(2,073)	111	5.1%
RBTF (Dedicated Transfers)	(26,830)	(24,523)	2,307	8.6%
Personal Income Tax	24,646	22,450	(2,196)	-8.9%
Sales and Use Tax	14,883	11,700	(3,183)	-21.4%
Cigarette and Tobacco Taxes	313	296	(17)	-5.4%
Vapor Excise Tax	0	0	0	0.0%
Motor Fuel Tax	0	0	0	0.0%
Alcoholic Beverage Taxes	259	266	7	2.7%
Opioid Excise Tax	19	34	15	78.9%
Medical Cannabis Excise Tax	0	0	0	0.0%
Adult Use Cannabis Tax	0	0	0	0.0%
Highway Use Tax	0	0	0	0.0%
Auto Rental Tax	0	0	0	0.0%
Taxicab Surcharge	0	0	0	0.0%
Gross Consumption/Use Taxes	15,474	12,296	(3,178)	-20.5%
LGAC/STBF (Dedicated Transfers)	(7,436)	(5,850)	1,586	21.3%
Consumption/Use Taxes	8,038	6,446	(1,592)	-19.8%
Corporation Franchise Tax	3,791	3,882	91	2.4%
Corporation and Utilities Tax	518	470	(48)	-9.3%
Insurance Taxes	2,053	1,929	(124)	-6.0%
Bank Tax	8	225	217	2712.5%
Petroleum Business Tax	0	0	0	0.0%
Business Taxes	6,370	6,506	136	2.1%
Estate Tax	1,070	1,100	30	2.8%
Real Estate Transfer Tax	1,124	949	(175)	-15.6%
Employer Compensation Expense Program	2	4	2	100.0%
Gift Tax	0	0	0	0.0%
Real Property Gains Tax	0	0	0	0.0%
Pari-Mutuel Taxes	14	11	(3)	-21.4%
Other Taxes	2	2	0	0.0%
Gross Other Taxes	2,212	2,066	(146)	-6.6%
Real Estate Transfer Tax (Dedicated)	(1,124)	(949)	175	15.6%
RBTF (Dedicated Transfers)	(1)	(2)	(1)	-100.0%
Other Taxes	1,087	1,115	28	2.6%
Payroll Tax	0	0	0	0.0%
Total Taxes	40,141	36,517	(3,624)	-9.0%
Licenses, Fees, Etc.	697	378	(319)	-45.8%
Abandoned Property	450	450	0	0.0%
Motor Vehicle Fees	342	331	(11)	-3.2%
ABC License Fee	73	60	(13)	-17.8%
Reimbursements	214	124	(90)	-42.1%
Investment Income	174	79	(95)	-54.6%
Extraordinary Settlements	787	600	(187)	-23.8%
Other Transactions	422	4,874	4,452	1055.0%
Miscellaneous Receipts	3,159	6,896	3,737	118.3%
Federal Receipts	0	0	0	0.0%
Total	43,300	43,413	113	0.3%

CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2020
(millions of dollars)

	General Fund	State Special Revenue Funds	Debt Service Funds	State Operating Funds Total
Opening Fund Balance	<u>7,206</u>	<u>5,091</u>	<u>65</u>	<u>12,362</u>
Receipts:				
Taxes	40,141	6,059	35,272	81,472
Miscellaneous Receipts	3,159	19,064	477	22,700
Federal Receipts	<u>0</u>	<u>(13)</u>	<u>74</u>	<u>61</u>
Total Receipts	<u>43,300</u>	<u>25,110</u>	<u>35,823</u>	<u>104,233</u>
Disbursements:				
Local Assistance	51,863	16,790	0	68,653
State Operations:				
Personal Service	8,940	5,150	0	14,090
Non-Personal Service	3,114	2,928	36	6,078
General State Charges	7,454	969	0	8,423
Debt Service	0	0	4,916	4,916
Capital Projects	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Total Disbursements	<u>71,371</u>	<u>25,837</u>	<u>4,952</u>	<u>102,160</u>
Other Financing Sources (Uses):				
Transfers from Other Funds	35,907	2,269	3,742	41,918
Transfers to Other Funds	(6,098)	(1,233)	(34,615)	(41,946)
Bond and Note Proceeds	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Net Other Financing Sources (Uses)	<u>29,809</u>	<u>1,036</u>	<u>(30,873)</u>	<u>(28)</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>1,738</u>	<u>309</u>	<u>(2)</u>	<u>2,045</u>
Closing Fund Balance	<u>8,944</u>	<u>5,400</u>	<u>63</u>	<u>14,407</u>

CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2021
(millions of dollars)

	General Fund	State Special Revenue Funds	Debt Service Funds	State Operating Funds Total
Opening Fund Balance	<u>8,944</u>	<u>5,400</u>	<u>63</u>	<u>14,407</u>
Receipts:				
Taxes	36,517	5,518	31,205	73,240
Miscellaneous Receipts	6,896	15,159	374	22,429
Federal Receipts	<u>0</u>	<u>(23)</u>	<u>74</u>	<u>51</u>
Total Receipts	<u>43,413</u>	<u>20,654</u>	<u>31,653</u>	<u>95,720</u>
Disbursements:				
Local Assistance	46,212	14,701	0	60,913
State Operations:				
Personal Service	8,923	4,970	0	13,893
Non-Personal Service	2,297	2,342	44	4,683
General State Charges	6,999	1,066	0	8,065
Debt Service	0	0	10,354	10,354
Capital Projects	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Total Disbursements	<u>64,431</u>	<u>23,079</u>	<u>10,398</u>	<u>97,908</u>
Other Financing Sources (Uses):				
Transfers from Other Funds	25,757	2,805	3,272	31,834
Transfers to Other Funds	(6,446)	(864)	(24,532)	(31,842)
Bond and Note Proceeds	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Net Other Financing Sources (Uses)	<u>19,311</u>	<u>1,941</u>	<u>(21,260)</u>	<u>(8)</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>(1,707)</u>	<u>(484)</u>	<u>(5)</u>	<u>(2,196)</u>
Closing Fund Balance	<u>7,237</u>	<u>4,916</u>	<u>58</u>	<u>12,211</u>

CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2022
(millions of dollars)

	<u>General Fund</u>	<u>State Special Revenue Funds</u>	<u>Debt Service Funds</u>	<u>State Operating Funds Total</u>
Receipts:				
Taxes	36,772	5,572	31,653	73,997
Miscellaneous Receipts	1,753	15,657	384	17,794
Federal Receipts	0	(20)	72	52
Total Receipts	<u>38,525</u>	<u>21,209</u>	<u>32,109</u>	<u>91,843</u>
Disbursements:				
Local Assistance	49,954	15,281	0	65,235
State Operations:				
Personal Service	9,192	4,803	0	13,995
Non-Personal Service	2,955	2,418	43	5,416
General State Charges	8,833	1,076	0	9,909
Debt Service	0	0	6,941	6,941
Capital Projects	0	0	0	0
Total Disbursements	<u>70,934</u>	<u>23,578</u>	<u>6,984</u>	<u>101,496</u>
Other Financing Sources (Uses):				
Transfers from Other Funds	28,960	2,589	3,255	34,804
Transfers to Other Funds	(6,783)	(244)	(28,376)	(35,403)
Bond and Note Proceeds	0	0	0	0
Net Other Financing Sources (Uses)	<u>22,177</u>	<u>2,345</u>	<u>(25,121)</u>	<u>(599)</u>
Use (Reservation) of Fund Balance:				
Undesignated Fund Balance	548	0	0	548
Extraordinary Monetary Settlements	959	0	0	959
Total Use (Reservation) of Fund Balance	<u>1,507</u>	<u>0</u>	<u>0</u>	<u>1,507</u>
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements	<u>(8,725)</u>	<u>(24)</u>	<u>4</u>	<u>(8,745)</u>

CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2023
(millions of dollars)

	<u>General Fund</u>	<u>State Special Revenue Funds</u>	<u>Debt Service Funds</u>	<u>State Operating Funds Total</u>
Receipts:				
Taxes	39,074	5,558	33,407	78,039
Miscellaneous Receipts	1,776	15,097	387	17,260
Federal Receipts	0	(18)	69	51
Total Receipts	<u>40,850</u>	<u>20,637</u>	<u>33,863</u>	<u>95,350</u>
Disbursements:				
Local Assistance	52,638	14,952	0	67,590
State Operations:				
Personal Service	9,299	4,786	0	14,085
Non-Personal Service	3,027	2,369	43	5,439
General State Charges	9,334	1,103	0	10,437
Debt Service	0	0	7,384	7,384
Capital Projects	0	0	0	0
Total Disbursements	<u>74,298</u>	<u>23,210</u>	<u>7,427</u>	<u>104,935</u>
Other Financing Sources (Uses):				
Transfers from Other Funds	30,010	2,664	3,195	35,869
Transfers to Other Funds	(7,052)	(172)	(29,627)	(36,851)
Bond and Note Proceeds	0	0	0	0
Net Other Financing Sources (Uses)	<u>22,958</u>	<u>2,492</u>	<u>(26,432)</u>	<u>(982)</u>
Use (Reservation) of Fund Balance:				
Extraordinary Monetary Settlements	747	0	0	747
Total Use (Reservation) of Fund Balance	<u>747</u>	<u>0</u>	<u>0</u>	<u>747</u>
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements	<u>(9,743)</u>	<u>(81)</u>	<u>4</u>	<u>(9,820)</u>

CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2024
(millions of dollars)

	<u>General Fund</u>	<u>State Special Revenue Funds</u>	<u>Debt Service Funds</u>	<u>State Operating Funds Total</u>
Receipts:				
Taxes	41,137	5,504	35,232	81,873
Miscellaneous Receipts	1,814	14,707	387	16,908
Federal Receipts	0	(17)	66	49
Total Receipts	<u>42,951</u>	<u>20,194</u>	<u>35,685</u>	<u>98,830</u>
Disbursements:				
Local Assistance	55,564	14,776	0	70,340
State Operations:				
Personal Service	9,411	4,732	0	14,143
Non-Personal Service	3,180	2,297	43	5,520
General State Charges	9,761	1,111	0	10,872
Debt Service	0	0	7,575	7,575
Capital Projects	0	0	0	0
Total Disbursements	<u>77,916</u>	<u>22,916</u>	<u>7,618</u>	<u>108,450</u>
Other Financing Sources (Uses):				
Transfers from Other Funds	31,659	2,776	3,285	37,720
Transfers to Other Funds	(6,458)	(110)	(31,332)	(37,900)
Bond and Note Proceeds	0	0	0	0
Net Other Financing Sources (Uses)	<u>25,201</u>	<u>2,666</u>	<u>(28,047)</u>	<u>(180)</u>
Use (Reservation) of Fund Balance:				
Extraordinary Monetary Settlements	345	0	0	345
Total Use (Reservation) of Fund Balance	<u>345</u>	<u>0</u>	<u>0</u>	<u>345</u>
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements	<u>(9,419)</u>	<u>(56)</u>	<u>20</u>	<u>(9,455)</u>

**CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
(millions of dollars)**

	<u>FY 2020 Results</u>	<u>FY 2021 Mid-Year</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
Opening Fund Balance	12,362	14,407	2,045	16.5%
Receipts:				
Taxes	81,472	73,240	(8,232)	-10.1%
Miscellaneous Receipts	22,700	22,429	(271)	-1.2%
Federal Receipts	61	51	(10)	-16.4%
Total Receipts	104,233	95,720	(8,513)	-8.2%
Disbursements:				
Local Assistance	68,653	60,913	(7,740)	-11.3%
State Operations:				
Personal Service	14,090	13,893	(197)	-1.4%
Non-Personal Service	6,078	4,683	(1,395)	-23.0%
General State Charges	8,423	8,065	(358)	-4.3%
Debt Service	4,916	10,354	5,438	110.6%
Capital Projects	0	0	0	0.0%
Total Disbursements	102,160	97,908	(4,252)	-4.2%
Other Financing Sources (Uses):				
Transfers from Other Funds	41,918	31,834	(10,084)	-24.1%
Transfers to Other Funds	(41,946)	(31,842)	10,104	24.1%
Bond and Note Proceeds	0	0	0	0.0%
Net Other Financing Sources (Uses)	(28)	(8)	20	71.4%
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	2,045	(2,196)	(4,241)	-207.4%
Closing Fund Balance	14,407	12,211	(2,196)	-15.2%

**CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2020
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>All Funds Total</u>
Opening Fund Balance	7,206	3,842	(1,138)	65	9,975
Receipts:					
Taxes	40,141	6,059	1,417	35,272	82,889
Miscellaneous Receipts	3,159	19,279	6,551	477	29,466
Federal Receipts	0	62,897	2,109	74	65,080
Total Receipts	<u>43,300</u>	<u>88,235</u>	<u>10,077</u>	<u>35,823</u>	<u>177,435</u>
Disbursements:					
Local Assistance	51,863	73,243	5,013	0	130,119
State Operations:					
Personal Service	8,940	5,787	0	0	14,727
Non-Personal Service	3,114	4,327	0	36	7,477
General State Charges	7,454	1,303	0	0	8,757
Debt Service	0	0	0	4,916	4,916
Capital Projects	0	0	6,986	0	6,986
Total Disbursements	<u>71,371</u>	<u>84,660</u>	<u>11,999</u>	<u>4,952</u>	<u>172,982</u>
Other Financing Sources (Uses):					
Transfers from Other Funds	35,907	2,269	3,547	3,742	45,465
Transfers to Other Funds	(6,098)	(3,375)	(1,522)	(34,615)	(45,610)
Bond and Note Proceeds	0	0	0	0	0
Net Other Financing Sources (Uses)	<u>29,809</u>	<u>(1,106)</u>	<u>2,025</u>	<u>(30,873)</u>	<u>(145)</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>1,738</u>	<u>2,469</u>	<u>103</u>	<u>(2)</u>	<u>4,308</u>
Closing Fund Balance	<u>8,944</u>	<u>6,311</u>	<u>(1,035)</u>	<u>63</u>	<u>14,283</u>

CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2021
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	All Funds Total
Opening Fund Balance	8,944	6,311	(1,035)	63	14,283
Receipts:					
Taxes	36,517	5,518	1,221	31,205	74,461
Miscellaneous Receipts	6,896	15,393	8,121	374	30,784
Federal Receipts	0	80,802	2,182	74	83,058
Total Receipts	43,413	101,713	11,524	31,653	188,303
Disbursements:					
Local Assistance	46,212	85,132	5,121	0	136,465
State Operations:					
Personal Service	8,923	6,057	0	0	14,980
Non-Personal Service	2,297	8,092	0	44	10,433
General State Charges	6,999	1,622	0	0	8,621
Debt Service	0	144	0	10,354	10,498
Capital Projects	0	2	8,838	0	8,840
Total Disbursements	64,431	101,049	13,959	10,398	189,837
Other Financing Sources (Uses):					
Transfers from Other Funds	25,757	2,805	3,714	3,272	35,548
Transfers to Other Funds	(6,446)	(3,023)	(1,498)	(24,532)	(35,499)
Bond and Note Proceeds	0	0	365	0	365
Net Other Financing Sources (Uses)	19,311	(218)	2,581	(21,260)	414
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(1,707)	446	146	(5)	(1,120)
Closing Fund Balance	7,237	6,757	(889)	58	13,163

CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2022
(millions of dollars)

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>All Funds Total</u>
Receipts:					
Taxes	36,772	5,572	1,345	31,653	75,342
Miscellaneous Receipts	1,753	15,884	7,440	384	25,461
Federal Receipts	0	68,282	2,213	72	70,567
Total Receipts	<u>38,525</u>	<u>89,738</u>	<u>10,998</u>	<u>32,109</u>	<u>171,370</u>
Disbursements:					
Local Assistance	49,954	78,050	5,236	0	133,240
State Operations:					
Personal Service	9,192	5,471	0	0	14,663
Non-Personal Service	2,955	3,843	0	43	6,841
General State Charges	8,833	1,451	0	0	10,284
Debt Service	0	0	0	6,941	6,941
Capital Projects	0	0	9,409	0	9,409
Total Disbursements	<u>70,934</u>	<u>88,815</u>	<u>14,645</u>	<u>6,984</u>	<u>181,378</u>
Other Financing Sources (Uses):					
Transfers from Other Funds	28,960	2,589	4,080	3,255	38,884
Transfers to Other Funds	(6,783)	(2,406)	(1,363)	(28,376)	(38,928)
Bond and Note Proceeds	0	0	799	0	799
Net Other Financing Sources (Uses)	<u>22,177</u>	<u>183</u>	<u>3,516</u>	<u>(25,121)</u>	<u>755</u>
Use (Reservation) of Fund Balance:					
Undesignated Fund Balance	548	0	0	0	548
Extraordinary Monetary Settlements	959	0	0	0	959
Total Use (Reservation) of Fund Balance	<u>1,507</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>1,507</u>
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements	<u>(8,725)</u>	<u>1,106</u>	<u>(131)</u>	<u>4</u>	<u>(7,746)</u>

CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2023
(millions of dollars)

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>All Funds Total</u>
Receipts:					
Taxes	39,074	5,558	1,347	33,407	79,386
Miscellaneous Receipts	1,776	15,324	6,673	387	24,160
Federal Receipts	0	67,708	2,214	69	69,991
Total Receipts	<u>40,850</u>	<u>88,590</u>	<u>10,234</u>	<u>33,863</u>	<u>173,537</u>
Disbursements:					
Local Assistance	52,638	77,160	4,515	0	134,313
State Operations:					
Personal Service	9,299	5,460	0	0	14,759
Non-Personal Service	3,027	3,767	0	43	6,837
General State Charges	9,334	1,479	0	0	10,813
Debt Service	0	0	0	7,384	7,384
Capital Projects	0	0	8,977	0	8,977
Total Disbursements	<u>74,298</u>	<u>87,866</u>	<u>13,492</u>	<u>7,427</u>	<u>183,083</u>
Other Financing Sources (Uses):					
Transfers from Other Funds	30,010	2,664	4,273	3,195	40,142
Transfers to Other Funds	(7,052)	(2,140)	(1,513)	(29,627)	(40,332)
Bond and Note Proceeds	0	0	413	0	413
Net Other Financing Sources (Uses)	<u>22,958</u>	<u>524</u>	<u>3,173</u>	<u>(26,432)</u>	<u>223</u>
Use (Reservation) of Fund Balance:					
Extraordinary Monetary Settlements	747	0	0	0	747
Total Use (Reservation) of Fund Balance	<u>747</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>747</u>
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements	<u>(9,743)</u>	<u>1,248</u>	<u>(85)</u>	<u>4</u>	<u>(8,576)</u>

CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2024
(millions of dollars)

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>All Funds Total</u>
Receipts:					
Taxes	41,137	5,504	1,343	35,232	83,216
Miscellaneous Receipts	1,814	14,934	6,618	387	23,753
Federal Receipts	0	69,334	2,186	66	71,586
Total Receipts	<u>42,951</u>	<u>89,772</u>	<u>10,147</u>	<u>35,685</u>	<u>178,555</u>
Disbursements:					
Local Assistance	55,564	78,389	3,961	0	137,914
State Operations:					
Personal Service	9,411	5,408	0	0	14,819
Non-Personal Service	3,180	3,704	0	43	6,927
General State Charges	9,761	1,487	0	0	11,248
Debt Service	0	0	0	7,575	7,575
Capital Projects	0	0	8,436	0	8,436
Total Disbursements	<u>77,916</u>	<u>88,988</u>	<u>12,397</u>	<u>7,618</u>	<u>186,919</u>
Other Financing Sources (Uses):					
Transfers from Other Funds	31,659	2,776	3,502	3,285	41,222
Transfers to Other Funds	(6,458)	(2,069)	(1,553)	(31,332)	(41,412)
Bond and Note Proceeds	0	0	283	0	283
Net Other Financing Sources (Uses)	<u>25,201</u>	<u>707</u>	<u>2,232</u>	<u>(28,047)</u>	<u>93</u>
Use (Reservation) of Fund Balance:					
Extraordinary Monetary Settlements	345	0	0	0	345
Total Use (Reservation) of Fund Balance	<u>345</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>345</u>
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements	<u>(9,419)</u>	<u>1,491</u>	<u>(18)</u>	<u>20</u>	<u>(7,926)</u>

**CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
(millions of dollars)**

	<u>FY 2020 Results</u>	<u>FY 2021 Mid-Year</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
Opening Fund Balance	9,975	14,283	4,308	43.2%
Receipts:				
Taxes	82,889	74,461	(8,428)	-10.2%
Miscellaneous Receipts	29,466	30,784	1,318	4.5%
Federal Receipts	65,080	83,058	17,978	27.6%
Total Receipts	177,435	188,303	10,868	6.1%
Disbursements:				
Local Assistance	130,119	136,465	6,346	4.9%
State Operations:				
Personal Service	14,727	14,980	253	1.7%
Non-Personal Service	7,477	10,433	2,956	39.5%
General State Charges	8,757	8,621	(136)	-1.6%
Debt Service	4,916	10,498	5,582	113.5%
Capital Projects	6,986	8,840	1,854	26.5%
Total Disbursements	172,982	189,837	16,855	9.7%
Other Financing Sources (Uses):				
Transfers from Other Funds	45,465	35,548	(9,917)	-21.8%
Transfers to Other Funds	(45,610)	(35,499)	10,111	22.2%
Bond and Note Proceeds	0	365	365	0.0%
Net Other Financing Sources (Uses)	(145)	414	559	385.5%
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	4,308	(1,120)	(5,428)	-126.0%
Closing Fund Balance	14,283	13,163	(1,120)	-7.8%

CASH RECEIPTS
ALL GOVERNMENTAL FUNDS
FY 2020
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	Total
Taxes:					
Withholdings	43,118	0	0	0	43,118
Estimated Payments	17,025	0	0	0	17,025
Final Payments	3,454	0	0	0	3,454
Other Payments	1,388	0	0	0	1,388
Gross Collections	64,985	0	0	0	64,985
State/City Offset	(1,117)	0	0	0	(1,117)
Refunds	(10,208)	0	0	0	(10,208)
Reported Tax Collections	53,660	0	0	0	53,660
STAR (Dedicated Deposits)	(2,184)	2,184	0	0	0
RBTF (Dedicated Transfers)	(26,830)	0	0	26,830	0
Personal Income Tax	24,646	2,184	0	26,830	53,660
Sales and Use Tax	14,883	1,049	0	0	15,932
Cigarette and Tobacco Taxes	313	722	0	0	1,035
Vapor Excise Tax	0	10	0	0	10
Motor Fuel Tax	0	108	404	0	512
Alcoholic Beverage Taxes	259	0	0	0	259
Opioid Excise Tax	19	0	0	0	19
Medical Cannabis Excise Tax	0	6	0	0	6
Adult Use Cannabis Tax	0	0	0	0	0
Highway Use Tax	0	1	141	0	142
Auto Rental Tax	0	20	86	0	106
Taxicab Surcharge	0	0	0	0	0
Gross Consumption/Use Taxes	15,474	1,916	631	0	18,021
LGAC/STBF (Dedicated Transfers)	(7,436)	0	0	7,436	0
Consumption/Use Taxes	8,038	1,916	631	7,436	18,021
Corporation Franchise Tax	3,791	1,033	0	0	4,824
Corporation and Utilities Tax	518	172	15	0	705
Insurance Taxes	2,053	253	0	0	2,306
Bank Tax	8	(8)	0	0	0
Petroleum Business Tax	0	509	652	0	1,161
Business Taxes	6,370	1,959	667	0	8,996
Estate Tax	1,070	0	0	0	1,070
Real Estate Transfer Tax	1,124	0	0	0	1,124
Employer Compensation Expense Program	2	0	0	0	2
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	14	0	0	0	14
Other Taxes	2	0	0	0	2
Gross Other Taxes	2,212	0	0	0	2,212
Real Estate Transfer Tax (Dedicated)	(1,124)	0	119	1,005	0
RBTF (Dedicated Transfers)	(1)	0	0	1	0
Other Taxes	1,087	0	119	1,006	2,212
Payroll Tax	0	0	0	0	0
Total Taxes	40,141	6,059	1,417	35,272	82,889
Licenses, Fees, Etc.	697	0	0	0	697
Abandoned Property	450	0	0	0	450
Motor Vehicle Fees	342	208	806	0	1,356
ABC License Fee	73	0	0	0	73
Reimbursements	214	0	0	0	214
Investment Income	174	0	0	0	174
Extraordinary Settlements	787	0	0	0	787
Other Transactions	422	19,071	5,745	477	25,715
Miscellaneous Receipts	3,159	19,279	6,551	477	29,466
Federal Receipts	0	62,897	2,109	74	65,080
Total	43,300	88,235	10,077	35,823	177,435

CASH RECEIPTS
ALL GOVERNMENTAL FUNDS
FY 2021
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	Total
Taxes:					
Withholdings	39,752	0	0	0	39,752
Estimated Payments	14,669	0	0	0	14,669
Final Payments	3,608	0	0	0	3,608
Other Payments	1,611	0	0	0	1,611
Gross Collections	59,640	0	0	0	59,640
State/City Offset	(1,274)	0	0	0	(1,274)
Refunds	(9,320)	0	0	0	(9,320)
Reported Tax Collections	49,046	0	0	0	49,046
STAR (Dedicated Deposits)	(2,073)	2,073	0	0	0
RBTF (Dedicated Transfers)	(24,523)	0	0	24,523	0
Personal Income Tax	22,450	2,073	0	24,523	49,046
Sales and Use Tax	11,700	782	0	0	12,482
Cigarette and Tobacco Taxes	296	675	0	0	971
Vapor Excise Tax	0	14	0	0	14
Motor Fuel Tax	0	94	353	0	447
Alcoholic Beverage Taxes	266	0	0	0	266
Opioid Excise Tax	34	0	0	0	34
Medical Cannabis Excise Tax	0	7	0	0	7
Adult Use Cannabis Tax	0	0	0	0	0
Highway Use Tax	0	0	131	0	131
Auto Rental Tax	0	11	41	0	52
Taxicab Surcharge	0	0	0	0	0
Gross Consumption/Use Taxes	12,296	1,583	525	0	14,404
LGAC/STBF (Dedicated Transfers)	(5,850)	0	0	5,850	0
Consumption/Use Taxes	6,446	1,583	525	5,850	14,404
Corporation Franchise Tax	3,882	986	0	0	4,868
Corporation and Utilities Tax	470	156	14	0	640
Insurance Taxes	1,929	236	0	0	2,165
Bank Tax	225	45	0	0	270
Petroleum Business Tax	0	439	563	0	1,002
Business Taxes	6,506	1,862	577	0	8,945
Estate Tax	1,100	0	0	0	1,100
Real Estate Transfer Tax	949	0	0	0	949
Employer Compensation Expense Program	4	0	0	0	4
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	11	0	0	0	11
Other Taxes	2	0	0	0	2
Gross Other Taxes	2,066	0	0	0	2,066
Real Estate Transfer Tax (Dedicated)	(949)	0	119	830	0
RBTF (Dedicated Transfers)	(2)	0	0	2	0
Other Taxes	1,115	0	119	832	2,066
Payroll Tax	0	0	0	0	0
Total Taxes	36,517	5,518	1,221	31,205	74,461
Licenses, Fees, Etc.	378	0	0	0	378
Abandoned Property	450	0	0	0	450
Motor Vehicle Fees	331	220	806	0	1,357
ABC License Fee	60	0	0	0	60
Reimbursements	124	0	0	0	124
Investment Income	79	0	0	0	79
Extraordinary Settlements	600	0	0	0	600
Other Transactions	4,874	15,173	7,315	374	27,736
Miscellaneous Receipts	6,896	15,393	8,121	374	30,784
Federal Receipts	0	80,802	2,182	74	83,058
Total	43,413	101,713	11,524	31,653	188,303

CASH RECEIPTS
ALL GOVERNMENTAL FUNDS
FY 2022
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	Total
Taxes:					
Withholdings	42,570	0	0	0	42,570
Estimated Payments	12,951	0	0	0	12,951
Final Payments	2,882	0	0	0	2,882
Other Payments	1,681	0	0	0	1,681
Gross Collections	60,084	0	0	0	60,084
State/City Offset	(1,399)	0	0	0	(1,399)
Refunds	(10,710)	0	0	0	(10,710)
Reported Tax Collections	47,975	0	0	0	47,975
STAR (Dedicated Deposits)	(1,979)	1,979	0	0	0
RBTF (Dedicated Transfers)	(23,988)	0	0	23,988	0
Personal Income Tax	22,008	1,979	0	23,988	47,975
Sales and Use Tax	13,554	902	0	0	14,456
Cigarette and Tobacco Taxes	305	641	0	0	946
Vapor Excise Tax	0	6	0	0	6
Motor Fuel Tax	0	107	402	0	509
Alcoholic Beverage Taxes	264	0	0	0	264
Opioid Excise Tax	34	0	0	0	34
Medical Cannabis Excise Tax	0	7	0	0	7
Adult Use Cannabis Tax	0	0	0	0	0
Highway Use Tax	0	1	138	0	139
Auto Rental Tax	0	17	61	0	78
Taxicab Surcharge	0	0	0	0	0
Gross Consumption/Use Taxes	14,157	1,681	601	0	16,439
LGAC/STBF (Dedicated Transfers)	(6,777)	0	0	6,777	0
Consumption/Use Taxes	7,380	1,681	601	6,777	16,439
Corporation Franchise Tax	3,852	1,031	0	0	4,883
Corporation and Utilities Tax	463	159	15	0	637
Insurance Taxes	2,022	247	0	0	2,269
Bank Tax	0	0	0	0	0
Petroleum Business Tax	0	475	610	0	1,085
Business Taxes	6,337	1,912	625	0	8,874
Estate Tax	1,028	0	0	0	1,028
Real Estate Transfer Tax	1,004	0	0	0	1,004
Employer Compensation Expense Program	6	0	0	0	6
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	14	0	0	0	14
Other Taxes	3	0	0	0	3
Gross Other Taxes	2,055	0	0	0	2,055
Real Estate Transfer Tax (Dedicated)	(1,004)	0	119	885	0
RBTF (Dedicated Transfers)	(4)	0	0	3	(1)
Other Taxes	1,047	0	119	888	2,054
Payroll Tax	0	0	0	0	0
Total Taxes	36,772	5,572	1,345	31,653	75,342
Licenses, Fees, Etc.	478	0	0	0	478
Abandoned Property	450	0	0	0	450
Motor Vehicle Fees	246	219	795	0	1,260
ABC License Fee	68	0	0	0	68
Reimbursements	70	0	0	0	70
Investment Income	43	0	0	0	43
Extraordinary Settlements	0	0	0	0	0
Other Transactions	398	15,665	6,645	384	23,092
Miscellaneous Receipts	1,753	15,884	7,440	384	25,461
Federal Receipts	0	68,282	2,213	72	70,567
Total	38,525	89,738	10,998	32,109	171,370

CASH RECEIPTS
ALL GOVERNMENTAL FUNDS
FY 2023
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	Total
Taxes:					
Withholdings	44,344	0	0	0	44,344
Estimated Payments	14,091	0	0	0	14,091
Final Payments	3,164	0	0	0	3,164
Other Payments	1,731	0	0	0	1,731
Gross Collections	63,330	0	0	0	63,330
State/City Offset	(1,524)	0	0	0	(1,524)
Refunds	(11,074)	0	0	0	(11,074)
Reported Tax Collections	50,732	0	0	0	50,732
STAR (Dedicated Deposits)	(1,858)	1,858	0	0	0
RBTF (Dedicated Transfers)	(25,366)	0	0	25,366	0
Personal Income Tax	23,508	1,858	0	25,366	50,732
Sales and Use Tax	14,192	947	0	0	15,139
Cigarette and Tobacco Taxes	295	609	0	0	904
Vapor Excise Tax	0	6	0	0	6
Motor Fuel Tax	0	107	400	0	507
Alcoholic Beverage Taxes	266	0	0	0	266
Opioid Excise Tax	34	0	0	0	34
Medical Cannabis Excise Tax	0	7	0	0	7
Adult Use Cannabis Tax	0	0	0	0	0
Highway Use Tax	0	0	138	0	138
Auto Rental Tax	0	20	69	0	89
Taxicab Surcharge	0	0	0	0	0
Gross Consumption/Use Taxes	14,787	1,696	607	0	17,090
LGAC/STBF (Dedicated Transfers)	(7,096)	0	0	7,096	0
Consumption/Use Taxes	7,691	1,696	607	7,096	17,090
Corporation Franchise Tax	4,228	1,117	0	0	5,345
Corporation and Utilities Tax	477	162	15	0	654
Insurance Taxes	2,073	254	0	0	2,327
Bank Tax	0	0	0	0	0
Petroleum Business Tax	0	471	606	0	1,077
Business Taxes	6,778	2,004	621	0	9,403
Estate Tax	1,077	0	0	0	1,077
Real Estate Transfer Tax	1,061	0	0	0	1,061
Employer Compensation Expense Program	7	0	0	0	7
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	14	0	0	0	14
Other Taxes	2	0	0	0	2
Gross Other Taxes	2,161	0	0	0	2,161
Real Estate Transfer Tax (Dedicated)	(1,061)	0	119	942	0
RBTF (Dedicated Transfers)	(3)	0	0	3	0
Other Taxes	1,097	0	119	945	2,161
Payroll Tax	0	0	0	0	0
Total Taxes	39,074	5,558	1,347	33,407	79,386
Licenses, Fees, Etc.	528	0	0	0	528
Abandoned Property	450	0	0	0	450
Motor Vehicle Fees	238	220	798	0	1,256
ABC License Fee	65	0	0	0	65
Reimbursements	70	0	0	0	70
Investment Income	27	0	0	0	27
Extraordinary Settlements	0	0	0	0	0
Other Transactions	398	15,104	5,875	387	21,764
Miscellaneous Receipts	1,776	15,324	6,673	387	24,160
Federal Receipts	0	67,708	2,214	69	69,991
Total	40,850	88,590	10,234	33,863	173,537

CASH RECEIPTS
ALL GOVERNMENTAL FUNDS
FY 2024
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	Total
Taxes:					
Withholdings	46,269	0	0	0	46,269
Estimated Payments	15,438	0	0	0	15,438
Final Payments	3,431	0	0	0	3,431
Other Payments	1,783	0	0	0	1,783
Gross Collections	66,921	0	0	0	66,921
State/City Offset	(1,649)	0	0	0	(1,649)
Refunds	(11,410)	0	0	0	(11,410)
Reported Tax Collections	53,862	0	0	0	53,862
STAR (Dedicated Deposits)	(1,750)	1,750	0	0	0
RBTF (Dedicated Transfers)	(26,931)	0	0	26,931	0
Personal Income Tax	25,181	1,750	0	26,931	53,862
Sales and Use Tax	14,604	977	0	0	15,581
Cigarette and Tobacco Taxes	285	579	0	0	864
Vapor Excise Tax	0	6	0	0	6
Motor Fuel Tax	0	106	396	0	502
Alcoholic Beverage Taxes	269	0	0	0	269
Opioid Excise Tax	34	0	0	0	34
Medical Cannabis Excise Tax	0	7	0	0	7
Adult Use Cannabis Tax	0	0	0	0	0
Highway Use Tax	0	0	140	0	140
Auto Rental Tax	0	21	72	0	93
Taxicab Surcharge	0	0	0	0	0
Gross Consumption/Use Taxes	15,192	1,696	608	0	17,496
LGAC/STBF (Dedicated Transfers)	(7,302)	0	0	7,302	0
Consumption/Use Taxes	7,890	1,696	608	7,302	17,496
Corporation Franchise Tax	4,309	1,167	0	0	5,476
Corporation and Utilities Tax	481	163	15	0	659
Insurance Taxes	2,128	261	0	0	2,389
Bank Tax	0	0	0	0	0
Petroleum Business Tax	0	467	601	0	1,068
Business Taxes	6,918	2,058	616	0	9,592
Estate Tax	1,128	0	0	0	1,128
Real Estate Transfer Tax	1,114	0	0	0	1,114
Employer Compensation Expense Program	7	0	0	0	7
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	14	0	0	0	14
Other Taxes	3	0	0	0	3
Gross Other Taxes	2,266	0	0	0	2,266
Real Estate Transfer Tax (Dedicated)	(1,114)	0	119	995	0
RBTF (Dedicated Transfers)	(4)	0	0	4	0
Other Taxes	1,148	0	119	999	2,266
Payroll Tax	0	0	0	0	0
Total Taxes	41,137	5,504	1,343	35,232	83,216
Licenses, Fees, Etc.	578	0	0	0	578
Abandoned Property	450	0	0	0	450
Motor Vehicle Fees	238	219	795	0	1,252
ABC License Fee	64	0	0	0	64
Reimbursements	66	0	0	0	66
Investment Income	20	0	0	0	20
Extraordinary Settlements	0	0	0	0	0
Other Transactions	398	14,715	5,823	387	21,323
Miscellaneous Receipts	1,814	14,934	6,618	387	23,753
Federal Receipts	0	69,334	2,186	66	71,586
Total	42,951	89,772	10,147	35,685	178,555

STATE RECEIPTS
ALL GOVERNMENTAL FUNDS
(millions of dollars)

	FY 2020 Results	FY 2021 Mid-Year	Annual \$ Change	Annual % Change
Taxes:				
Withholdings	43,118	39,752	(3,366)	-7.8%
Estimated Payments	17,025	14,669	(2,356)	-13.8%
Final Payments	3,454	3,608	154	4.5%
Other Payments	1,388	1,611	223	16.1%
Gross Collections	64,985	59,640	(5,345)	-8.2%
State/City Offset	(1,117)	(1,274)	(157)	-14.1%
Refunds	(10,208)	(9,320)	888	8.7%
Reported Tax Collections	53,660	49,046	(4,614)	-8.6%
STAR (Dedicated Deposits)	0	0	0	0.0%
RBTF (Dedicated Transfers)	0	0	0	0.0%
Personal Income Tax	53,660	49,046	(4,614)	-8.6%
Sales and Use Tax	15,932	12,482	(3,450)	-21.7%
Cigarette and Tobacco Taxes	1,035	971	(64)	-6.2%
Vapor Excise Tax	10	14	4	40.0%
Motor Fuel Tax	512	447	(65)	-12.7%
Alcoholic Beverage Taxes	259	266	7	2.7%
Opioid Excise Tax	19	34	15	78.9%
Medical Cannabis Excise Tax	6	7	1	16.7%
Adult Use Cannabis Tax	0	0	0	0.0%
Highway Use Tax	142	131	(11)	-7.7%
Auto Rental Tax	106	52	(54)	-50.9%
Taxicab Surcharge	0	0	0	0.0%
Gross Consumption/Use Taxes	18,021	14,404	(3,617)	-20.1%
LGAC/STBF (Dedicated Transfers)	0	0	0	0.0%
Consumption/Use Taxes	18,021	14,404	(3,617)	-20.1%
Corporation Franchise Tax	4,824	4,868	44	0.9%
Corporation and Utilities Tax	705	640	(65)	-9.2%
Insurance Taxes	2,306	2,165	(141)	-6.1%
Bank Tax	0	270	270	0.0%
Petroleum Business Tax	1,161	1,002	(159)	-13.7%
Business Taxes	8,996	8,945	(51)	-0.6%
Estate Tax	1,070	1,100	30	2.8%
Real Estate Transfer Tax	1,124	949	(175)	-15.6%
Employer Compensation Expense Program	2	4	2	100.0%
Gift Tax	0	0	0	0.0%
Real Property Gains Tax	0	0	0	0.0%
Pari-Mutuel Taxes	14	11	(3)	-21.4%
Other Taxes	2	2	0	0.0%
Gross Other Taxes	2,212	2,066	(146)	-6.6%
Real Estate Transfer Tax (Dedicated)	0	0	0	0.0%
RBTF (Dedicated Transfers)	0	0	0	0.0%
Other Taxes	2,212	2,066	(146)	-6.6%
Payroll Tax	0	0	0	0.0%
Total Taxes	82,889	74,461	(8,428)	-10.2%
Licenses, Fees, Etc.	697	378	(319)	-45.8%
Abandoned Property	450	450	0	0.0%
Motor Vehicle Fees	1,356	1,357	1	0.1%
ABC License Fee	73	60	(13)	-17.8%
Reimbursements	214	124	(90)	-42.1%
Investment Income	174	79	(95)	-54.6%
Extraordinary Settlements	787	600	(187)	-23.8%
Other Transactions	25,715	27,736	2,021	7.9%
Miscellaneous Receipts	29,466	30,784	1,318	4.5%
Federal Receipts	65,080	83,058	17,978	27.6%
Total	177,435	188,303	10,868	6.1%

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2020
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	<u>5,091</u>	<u>(1,249)</u>	<u>3,842</u>
Receipts:			
Taxes	6,059	0	6,059
Miscellaneous Receipts	19,064	215	19,279
Federal Receipts	(13)	62,910	62,897
Total Receipts	<u>25,110</u>	<u>63,125</u>	<u>88,235</u>
Disbursements:			
Local Assistance	16,790	56,453	73,243
State Operations:			
Personal Service	5,150	637	5,787
Non-Personal Service	2,928	1,399	4,327
General State Charges	969	334	1,303
Capital Projects	0	0	0
Total Disbursements	<u>25,837</u>	<u>58,823</u>	<u>84,660</u>
Other Financing Sources (Uses):			
Transfers from Other Funds	2,269	0	2,269
Transfers to Other Funds	(1,233)	(2,142)	(3,375)
Net Other Financing Sources (Uses)	<u>1,036</u>	<u>(2,142)</u>	<u>(1,106)</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>309</u>	<u>2,160</u>	<u>2,469</u>
Closing Fund Balance	<u>5,400</u>	<u>911</u>	<u>6,311</u>

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2021
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	5,400	911	6,311
Receipts:			
Taxes	5,518	0	5,518
Miscellaneous Receipts	15,159	234	15,393
Federal Receipts	(23)	80,825	80,802
Total Receipts	20,654	81,059	101,713
Disbursements:			
Local Assistance	14,701	70,431	85,132
State Operations:			
Personal Service	4,970	1,087	6,057
Non-Personal Service	2,342	5,750	8,092
General State Charges	1,066	556	1,622
Debt Service	0	144	144
Capital Projects	0	2	2
Total Disbursements	23,079	77,970	101,049
Other Financing Sources (Uses):			
Transfers from Other Funds	2,805	0	2,805
Transfers to Other Funds	(864)	(2,159)	(3,023)
Net Other Financing Sources (Uses)	1,941	(2,159)	(218)
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(484)	930	446
Closing Fund Balance	4,916	1,841	6,757

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2022
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	<u>4,916</u>	<u>1,841</u>	<u>6,757</u>
Receipts:			
Taxes	5,572	0	5,572
Miscellaneous Receipts	15,657	227	15,884
Federal Receipts	(20)	68,302	68,282
Total Receipts	<u>21,209</u>	<u>68,529</u>	<u>89,738</u>
Disbursements:			
Local Assistance	15,281	62,769	78,050
State Operations:			
Personal Service	4,803	668	5,471
Non-Personal Service	2,418	1,425	3,843
General State Charges	1,076	375	1,451
Capital Projects	0	0	0
Total Disbursements	<u>23,578</u>	<u>65,237</u>	<u>88,815</u>
Other Financing Sources (Uses):			
Transfers from Other Funds	2,589	0	2,589
Transfers to Other Funds	(244)	(2,162)	(2,406)
Net Other Financing Sources (Uses)	<u>2,345</u>	<u>(2,162)</u>	<u>183</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>(24)</u>	<u>1,130</u>	<u>1,106</u>
Closing Fund Balance	<u>4,892</u>	<u>2,971</u>	<u>7,863</u>

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2023
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	<u>4,892</u>	<u>2,971</u>	<u>7,863</u>
Receipts:			
Taxes	5,558	0	5,558
Miscellaneous Receipts	15,097	227	15,324
Federal Receipts	(18)	67,726	67,708
Total Receipts	<u>20,637</u>	<u>67,953</u>	<u>88,590</u>
Disbursements:			
Local Assistance	14,952	62,208	77,160
State Operations:			
Personal Service	4,786	674	5,460
Non-Personal Service	2,369	1,398	3,767
General State Charges	1,103	376	1,479
Capital Projects	0	0	0
Total Disbursements	<u>23,210</u>	<u>64,656</u>	<u>87,866</u>
Other Financing Sources (Uses):			
Transfers from Other Funds	2,664	0	2,664
Transfers to Other Funds	(172)	(1,968)	(2,140)
Net Other Financing Sources (Uses)	<u>2,492</u>	<u>(1,968)</u>	<u>524</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>(81)</u>	<u>1,329</u>	<u>1,248</u>
Closing Fund Balance	<u><u>4,811</u></u>	<u><u>4,300</u></u>	<u><u>9,111</u></u>

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2024
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	4,811	4,300	9,111
Receipts:			
Taxes	5,504	0	5,504
Miscellaneous Receipts	14,707	227	14,934
Federal Receipts	(17)	69,351	69,334
Total Receipts	20,194	69,578	89,772
Disbursements:			
Local Assistance	14,776	63,613	78,389
State Operations:			
Personal Service	4,732	676	5,408
Non-Personal Service	2,297	1,407	3,704
General State Charges	1,111	376	1,487
Capital Projects	0	0	0
Total Disbursements	22,916	66,072	88,988
Other Financing Sources (Uses):			
Transfers from Other Funds	2,776	0	2,776
Transfers to Other Funds	(110)	(1,959)	(2,069)
Net Other Financing Sources (Uses)	2,666	(1,959)	707
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(56)	1,547	1,491
Closing Fund Balance	4,755	5,847	10,602

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
(millions of dollars)**

	<u>FY 2020 Results</u>	<u>FY 2021 Mid-Year</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
Opening Fund Balance	3,842	6,311	2,469	64.3%
Receipts:				
Taxes	6,059	5,518	(541)	-8.9%
Miscellaneous Receipts	19,279	15,393	(3,886)	-20.2%
Federal Receipts	62,897	80,802	17,905	28.5%
Total Receipts	88,235	101,713	13,478	15.3%
Disbursements:				
Local Assistance	73,243	85,132	11,889	16.2%
State Operations:				
Personal Service	5,787	6,057	270	4.7%
Non-Personal Service	4,327	8,092	3,765	87.0%
General State Charges	1,303	1,622	319	24.5%
Debt Service	0	144	144	0.0%
Capital Projects	0	2	2	0.0%
Total Disbursements	84,660	101,049	16,389	19.4%
Other Financing Sources (Uses):				
Transfers from Other Funds	2,269	2,805	536	23.6%
Transfers to Other Funds	(3,375)	(3,023)	352	10.4%
Net Other Financing Sources (Uses)	(1,106)	(218)	888	80.3%
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	2,469	446	(2,023)	-81.9%
Closing Fund Balance	6,311	6,757	446	7.1%

**CASH RECEIPTS
SPECIAL REVENUE FUNDS
(millions of dollars)**

	FY 2021	FY 2022	FY 2023	FY 2024
	Mid-Year	Projected	Projected	Projected
Personal Income Tax	2,073	1,979	1,858	1,750
Consumption/Use Taxes	1,583	1,681	1,696	1,696
Sales and Use Tax	782	902	947	977
Cigarette and Tobacco Taxes	675	641	609	579
Vapor Excise Tax	14	6	6	6
Motor Fuel Tax	94	107	107	106
Highway Use Tax	0	1	0	0
Medical Cannabis Excise Tax	7	7	7	7
Adult Use Cannabis Tax	0	0	0	0
Auto Rental Tax	11	17	20	21
Taxicab Surcharge	0	0	0	0
Business Taxes	1,862	1,912	2,004	2,058
Corporation Franchise Tax	986	1,031	1,117	1,167
Corporation and Utilities Tax	156	159	162	163
Insurance Taxes	236	247	254	261
Bank Tax	45	0	0	0
Petroleum Business Tax	439	475	471	467
Payroll Tax	0	0	0	0
Total Taxes	5,518	5,572	5,558	5,504
Miscellaneous Receipts	15,393	15,884	15,324	14,934
HCRA	5,146	5,482	5,574	5,668
State University Income	5,162	4,974	5,071	5,184
Lottery	2,549	3,042	3,130	3,239
Medicaid	875	941	981	1,007
Industry Assessments	710	704	704	704
Motor Vehicle Fees	220	219	220	219
All Other	731	522	(356)	(1,087)
Federal Receipts	80,802	68,282	67,708	69,334
Total	101,713	89,738	88,590	89,772

**CASH RECEIPTS
SPECIAL REVENUE FUNDS
(millions of dollars)**

	FY 2020	FY 2021	Annual	Annual
	Results	Mid-Year	\$ Change	% Change
Personal Income Tax	2,184	2,073	(111)	-5.1%
Consumption/Use Taxes	1,916	1,583	(333)	-17.4%
Sales and Use Tax	1,049	782	(267)	-25.5%
Cigarette and Tobacco Taxes	722	675	(47)	-6.5%
Vapor Excise Tax	10	14	4	40.0%
Motor Fuel Tax	108	94	(14)	-13.0%
Highway Use Tax	1	0	(1)	-100.0%
Medical Cannabis Excise Tax	6	7	1	16.7%
Adult Use Cannabis Tax	0	0	0	0.0%
Auto Rental Tax	20	11	(9)	-45.0%
Taxicab Surcharge	0	0	0	0.0%
Business Taxes	1,959	1,862	(97)	-5.0%
Corporation Franchise Tax	1,033	986	(47)	-4.5%
Corporation and Utilities Tax	172	156	(16)	-9.3%
Insurance Taxes	253	236	(17)	-6.7%
Bank Tax	(8)	45	53	662.5%
Petroleum Business Tax	509	439	(70)	-13.8%
Payroll Tax	0	0	0	0.0%
Total Taxes	6,059	5,518	(541)	-8.9%
Miscellaneous Receipts	19,279	15,393	(3,886)	-20.2%
HCRA	5,529	5,146	(383)	-6.9%
State University Income	4,763	5,162	399	8.4%
Lottery	3,483	2,549	(934)	-26.8%
Medicaid	931	875	(56)	-6.0%
Industry Assessments	766	710	(56)	-7.3%
Motor Vehicle Fees	208	220	12	5.8%
All Other	3,599	731	(2,868)	-79.7%
Federal Receipts	62,897	80,802	17,905	28.5%
Total	88,235	101,713	13,478	15.3%

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
FY 2020
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	(633)	(505)	(1,138)
Receipts:			
Taxes	1,417	0	1,417
Miscellaneous Receipts	6,550	1	6,551
Federal Receipts	5	2,104	2,109
Total Receipts	7,972	2,105	10,077
Disbursements:			
Local Assistance	4,218	795	5,013
State Operations:			
Personal Service	0	0	0
Non-Personal Service	0	0	0
General State Charges	0	0	0
Debt Service	0	0	0
Capital Projects	5,916	1,070	6,986
Total Disbursements	10,134	1,865	11,999
Other Financing Sources (Uses):			
Transfers from Other Funds	3,846	(299)	3,547
Transfers to Other Funds	(1,522)	0	(1,522)
Bond and Note Proceeds	0	0	0
Net Other Financing Sources (Uses)	2,324	(299)	2,025
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	162	(59)	103
Closing Fund Balance	(471)	(564)	(1,035)

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
FY 2021
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	(471)	(564)	(1,035)
Receipts:			
Taxes	1,221	0	1,221
Miscellaneous Receipts	8,121	0	8,121
Federal Receipts	5	2,177	2,182
Total Receipts	9,347	2,177	11,524
Disbursements:			
Local Assistance	4,415	706	5,121
State Operations:			
Personal Service	0	0	0
Non-Personal Service	0	0	0
General State Charges	0	0	0
Debt Service	0	0	0
Capital Projects	7,776	1,062	8,838
Total Disbursements	12,191	1,768	13,959
Other Financing Sources (Uses):			
Transfers from Other Funds	4,116	(402)	3,714
Transfers to Other Funds	(1,498)	0	(1,498)
Bond and Note Proceeds	365	0	365
Net Other Financing Sources (Uses)	2,983	(402)	2,581
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	139	7	146
Closing Fund Balance	(332)	(557)	(889)

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
FY 2022
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	(332)	(557)	(889)
Receipts:			
Taxes	1,345	0	1,345
Miscellaneous Receipts	7,440	0	7,440
Federal Receipts	5	2,208	2,213
Total Receipts	8,790	2,208	10,998
Disbursements:			
Local Assistance	4,530	706	5,236
State Operations:			
Personal Service	0	0	0
Non-Personal Service	0	0	0
General State Charges	0	0	0
Debt Service	0	0	0
Capital Projects	8,284	1,125	9,409
Total Disbursements	12,814	1,831	14,645
Other Financing Sources (Uses):			
Transfers from Other Funds	4,456	(376)	4,080
Transfers to Other Funds	(1,363)	0	(1,363)
Bond and Note Proceeds	799	0	799
Net Other Financing Sources (Uses)	3,892	(376)	3,516
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(132)	1	(131)
Closing Fund Balance	(464)	(556)	(1,020)

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
FY 2023
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	(464)	(556)	(1,020)
Receipts:			
Taxes	1,347	0	1,347
Miscellaneous Receipts	6,673	0	6,673
Federal Receipts	5	2,209	2,214
Total Receipts	8,025	2,209	10,234
Disbursements:			
Local Assistance	3,809	706	4,515
State Operations:			
Personal Service	0	0	0
Non-Personal Service	0	0	0
General State Charges	0	0	0
Debt Service	0	0	0
Capital Projects	7,852	1,125	8,977
Total Disbursements	11,661	1,831	13,492
Other Financing Sources (Uses):			
Transfers from Other Funds	4,634	(361)	4,273
Transfers to Other Funds	(1,513)	0	(1,513)
Bond and Note Proceeds	413	0	413
Net Other Financing Sources (Uses)	3,534	(361)	3,173
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(102)	17	(85)
Closing Fund Balance	(566)	(539)	(1,105)

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
FY 2024
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	(566)	(539)	(1,105)
Receipts:			
Taxes	1,343	0	1,343
Miscellaneous Receipts	6,618	0	6,618
Federal Receipts	5	2,181	2,186
Total Receipts	7,966	2,181	10,147
Disbursements:			
Local Assistance	3,255	706	3,961
State Operations:			
Personal Service	0	0	0
Non-Personal Service	0	0	0
General State Charges	0	0	0
Debt Service	0	0	0
Capital Projects	7,347	1,089	8,436
Total Disbursements	10,602	1,795	12,397
Other Financing Sources (Uses):			
Transfers from Other Funds	3,866	(364)	3,502
Transfers to Other Funds	(1,553)	0	(1,553)
Bond and Note Proceeds	283	0	283
Net Other Financing Sources (Uses)	2,596	(364)	2,232
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(40)	22	(18)
Closing Fund Balance	(606)	(517)	(1,123)

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
(millions of dollars)**

	<u>FY 2020 Results</u>	<u>FY 2021 Mid-Year</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
Opening Fund Balance	(1,138)	(1,035)	103	9.1%
Receipts:				
Taxes	1,417	1,221	(196)	-13.8%
Miscellaneous Receipts	6,551	8,121	1,570	24.0%
Federal Receipts	2,109	2,182	73	3.5%
Total Receipts	10,077	11,524	1,447	14.4%
Disbursements:				
Local Assistance	5,013	5,121	108	2.2%
State Operations:				
Personal Service	0	0	0	0.0%
Non-Personal Service	0	0	0	0.0%
General State Charges	0	0	0	0.0%
Debt Service	0	0	0	0.0%
Capital Projects	6,986	8,838	1,852	26.5%
Total Disbursements	11,999	13,959	1,960	16.3%
Other Financing Sources (Uses):				
Transfers From Other Funds	3,547	3,714	167	4.7%
Transfers to Other Funds	(1,522)	(1,498)	24	1.6%
Bond and Note Proceeds	0	365	365	0.0%
Net Other Financing Sources (Uses)	2,025	2,581	556	27.5%
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	103	146	43	41.7%
Closing Fund Balance	(1,035)	(889)	146	14.1%

**CASH RECEIPTS
CAPITAL PROJECTS FUNDS
(millions of dollars)**

	FY 2021 Mid-Year	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Consumption/Use Taxes	525	601	607	608
Motor Fuel Tax	353	402	400	396
Highway Use Tax	131	138	138	140
Auto Rental Tax	41	61	69	72
Business Taxes	577	625	621	616
Corporation and Utilities Tax	14	15	15	15
Petroleum Business Tax	563	610	606	601
Other Taxes	119	119	119	119
Real Estate Transfer Tax	119	119	119	119
Total Taxes	1,221	1,345	1,347	1,343
Miscellaneous Receipts	8,121	7,440	6,673	6,618
Authority Bond Proceeds	7,026	6,374	5,582	5,534
State Park Fees	127	127	127	127
Environmental Revenues	77	77	77	77
Motor Vehicle Fees	806	795	798	795
All Other	85	67	89	85
Federal Receipts	2,182	2,213	2,214	2,186
Total	11,524	10,998	10,234	10,147

**CASH RECEIPTS
CAPITAL PROJECTS FUNDS
(millions of dollars)**

	FY 2020 Results	FY 2021 Mid-Year	Annual \$ Change	Annual % Change
Consumption/Use Taxes	631	525	(106)	-16.8%
Motor Fuel Tax	404	353	(51)	-12.6%
Highway Use Tax	141	131	(10)	-7.1%
Auto Rental Tax	86	41	(45)	-52.3%
Business Taxes	667	577	(90)	-13.5%
Corporation and Utilities Tax	15	14	(1)	-6.7%
Petroleum Business Tax	652	563	(89)	-13.7%
Other Taxes	119	119	0	0.0%
Real Estate Transfer Tax	119	119	0	0.0%
Total Taxes	1,417	1,221	(196)	-13.8%
Miscellaneous Receipts	6,551	8,121	1,570	24.0%
Authority Bond Proceeds	5,430	7,026	1,596	29.4%
State Park Fees	110	127	17	15.5%
Environmental Revenues	77	77	0	0.0%
Motor Vehicle Fees	806	806	0	0.0%
All Other	128	85	(43)	-33.6%
Federal Receipts	2,109	2,182	73	3.5%
Total	10,077	11,524	1,447	14.4%

CASH DISBURSEMENTS BY FUNCTION
CAPITAL OFF-BUDGET SPENDING
(millions of dollars)

	<u>FY 2020</u> <u>Results</u>	<u>FY 2021</u> <u>Mid-Year</u>	<u>FY 2022</u> <u>Projected</u>	<u>FY 2023</u> <u>Projected</u>	<u>FY 2024</u> <u>Projected</u>
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Economic Development	8	4	3	3	3
Functional Total	<u>8</u>	<u>4</u>	<u>3</u>	<u>3</u>	<u>3</u>
MENTAL HEALTH					
Mental Health, Office of	147	0	0	0	0
People with Developmental Disabilities, Office for	19	0	0	0	0
Addiction Services and Supports, Office of	3	0	0	0	0
Functional Total	<u>169</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
EDUCATION					
Education School Aid	10	15	15	15	7
Functional Total	<u>10</u>	<u>15</u>	<u>15</u>	<u>15</u>	<u>7</u>
HIGHER EDUCATION					
City University of New York	297	290	413	433	435
State University of New York	0	0	0	0	0
Functional Total	<u>297</u>	<u>290</u>	<u>413</u>	<u>433</u>	<u>435</u>
ALL OTHER					
Judiciary	1	0	0	0	0
Functional Total	<u>1</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
TOTAL CAPITAL OFF-BUDGET SPENDING	<u><u>485</u></u>	<u><u>309</u></u>	<u><u>431</u></u>	<u><u>451</u></u>	<u><u>445</u></u>

Note: This table reflects certain capital spending that is not reported in actual cash spending results, but is reported in the State's GAAP Financial Statements. This spending is related to programs which are financed directly from bond proceeds that are on deposit at various public authorities rather than from a short-term loan from the Short-Term Investment Pool or cash from the General Fund.

**CASH RECEIPTS
DEBT SERVICE FUNDS
(millions of dollars)**

	FY 2021 Mid-Year	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Personal Income Tax	24,523	23,988	25,366	26,931
Consumption/Use Taxes	5,850	6,777	7,096	7,302
Sales and Use Tax	5,850	6,777	7,096	7,302
Other Taxes	832	888	945	999
Real Estate Transfer Tax	830	885	942	995
Employer Compensation Expense Program	2	3	3	4
Total Taxes	31,205	31,653	33,407	35,232
Miscellaneous Receipts	374	384	387	387
Mental Hygiene Patient Receipts	226	238	242	242
SUNY Dormitory Fees	0	0	0	0
Health Patient Receipts	144	144	144	144
All Other	4	2	1	1
Federal Receipts	74	72	69	66
Total	31,653	32,109	33,863	35,685

**CASH RECEIPTS
DEBT SERVICE FUNDS
(millions of dollars)**

	FY 2020 Results	FY 2021 Mid-Year	Annual \$ Change	Annual % Change
Personal Income Tax	26,830	24,523	(2,307)	-8.6%
Consumption/Use Taxes	7,436	5,850	(1,586)	-21.3%
Sales and Use Tax	7,436	5,850	(1,586)	-21.3%
Other Taxes	1,006	832	(174)	-17.3%
Real Estate Transfer Tax	1,005	830	(175)	-17.4%
Employer Compensation Expense Program	1	2	1	100.0%
Total Taxes	35,272	31,205	(4,067)	-11.5%
Miscellaneous Receipts	477	374	(103)	-21.6%
Mental Hygiene Patient Receipts	320	226	(94)	-29.4%
SUNY Dormitory Fees	0	0	0	0.0%
Health Patient Receipts	152	144	(8)	-5.3%
All Other	5	4	(1)	-20.0%
Federal Receipts	74	74	0	0.0%
Total	35,823	31,653	(4,170)	-11.6%

CASH FINANCIAL PLAN
STATE FUNDS
FY 2020
(millions of dollars)

	General Fund	State Special Revenue Funds	State Capital Projects Funds	Debt Service Funds	State Funds Total
Opening Fund Balance	<u>7,206</u>	<u>5,091</u>	<u>(633)</u>	<u>65</u>	<u>11,729</u>
Receipts:					
Taxes	40,141	6,059	1,417	35,272	82,889
Miscellaneous Receipts	3,159	19,064	6,550	477	29,250
Federal Receipts	0	(13)	5	74	66
Total Receipts	<u>43,300</u>	<u>25,110</u>	<u>7,972</u>	<u>35,823</u>	<u>112,205</u>
Disbursements:					
Local Assistance	51,863	16,790	4,218	0	72,871
State Operations:					
Personal Service	8,940	5,150	0	0	14,090
Non-Personal Service	3,114	2,928	0	36	6,078
General State Charges	7,454	969	0	0	8,423
Debt Service	0	0	0	4,916	4,916
Capital Projects	0	0	5,916	0	5,916
Total Disbursements	<u>71,371</u>	<u>25,837</u>	<u>10,134</u>	<u>4,952</u>	<u>112,294</u>
Other Financing Sources (Uses):					
Transfers from Other Funds	35,907	2,269	3,846	3,742	45,764
Transfers to Other Funds	(6,098)	(1,233)	(1,522)	(34,615)	(43,468)
Bond and Note Proceeds	0	0	0	0	0
Net Other Financing Sources (Uses)	<u>29,809</u>	<u>1,036</u>	<u>2,324</u>	<u>(30,873)</u>	<u>2,296</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>1,738</u>	<u>309</u>	<u>162</u>	<u>(2)</u>	<u>2,207</u>
Closing Fund Balance	<u>8,944</u>	<u>5,400</u>	<u>(471)</u>	<u>63</u>	<u>13,936</u>

CASH FINANCIAL PLAN
STATE FUNDS
FY 2021
(millions of dollars)

	<u>General Fund</u>	<u>State Special Revenue Funds</u>	<u>State Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>State Funds Total</u>
Opening Fund Balance	8,944	5,400	(471)	63	13,936
Receipts:					
Taxes	36,517	5,518	1,221	31,205	74,461
Miscellaneous Receipts	6,896	15,159	8,121	374	30,550
Federal Receipts	0	(23)	5	74	56
Total Receipts	43,413	20,654	9,347	31,653	105,067
Disbursements:					
Local Assistance	46,212	14,701	4,415	0	65,328
State Operations:					
Personal Service	8,923	4,970	0	0	13,893
Non-Personal Service	2,297	2,342	0	44	4,683
General State Charges	6,999	1,066	0	0	8,065
Debt Service	0	0	0	10,354	10,354
Capital Projects	0	0	7,776	0	7,776
Total Disbursements	64,431	23,079	12,191	10,398	110,099
Other Financing Sources (Uses):					
Transfers from Other Funds	25,757	2,805	4,116	3,272	35,950
Transfers to Other Funds	(6,446)	(864)	(1,498)	(24,532)	(33,340)
Bond and Note Proceeds	0	0	365	0	365
Net Other Financing Sources (Uses)	19,311	1,941	2,983	(21,260)	2,975
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(1,707)	(484)	139	(5)	(2,057)
Closing Fund Balance	7,237	4,916	(332)	58	11,879

CASH FINANCIAL PLAN
STATE FUNDS
FY 2022
(millions of dollars)

	<u>General</u> <u>Fund</u>	<u>State Special</u> <u>Revenue</u> <u>Funds</u>	<u>State Capital</u> <u>Projects</u> <u>Funds</u>	<u>Debt</u> <u>Service</u> <u>Funds</u>	<u>State</u> <u>Funds</u> <u>Total</u>
Receipts:					
Taxes	36,772	5,572	1,345	31,653	75,342
Miscellaneous Receipts	1,753	15,657	7,440	384	25,234
Federal Receipts	0	(20)	5	72	57
Total Receipts	<u>38,525</u>	<u>21,209</u>	<u>8,790</u>	<u>32,109</u>	<u>100,633</u>
Disbursements:					
Local Assistance	49,954	15,281	4,530	0	69,765
State Operations:					
Personal Service	9,192	4,803	0	0	13,995
Non-Personal Service	2,955	2,418	0	43	5,416
General State Charges	8,833	1,076	0	0	9,909
Debt Service	0	0	0	6,941	6,941
Capital Projects	0	0	8,284	0	8,284
Total Disbursements	<u>70,934</u>	<u>23,578</u>	<u>12,814</u>	<u>6,984</u>	<u>114,310</u>
Other Financing Sources (Uses):					
Transfers from Other Funds	28,960	2,589	4,456	3,255	39,260
Transfers to Other Funds	(6,783)	(244)	(1,363)	(28,376)	(36,766)
Bond and Note Proceeds	0	0	799	0	799
Net Other Financing Sources (Uses)	<u>22,177</u>	<u>2,345</u>	<u>3,892</u>	<u>(25,121)</u>	<u>3,293</u>
Use (Reservation) of Fund Balance:					
Undesignated Fund Balance	548				
Extraordinary Monetary Settlements	959				
Total Use (Reservation) of Fund Balance	<u>1,507</u>				
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements	<u>(8,725)</u>				

CASH FINANCIAL PLAN
STATE FUNDS
FY 2023
(millions of dollars)

	<u>General Fund</u>	<u>State Special Revenue Funds</u>	<u>State Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>State Funds Total</u>
Receipts:					
Taxes	39,074	5,558	1,347	33,407	79,386
Miscellaneous Receipts	1,776	15,097	6,673	387	23,933
Federal Receipts	0	(18)	5	69	56
Total Receipts	<u>40,850</u>	<u>20,637</u>	<u>8,025</u>	<u>33,863</u>	<u>103,375</u>
Disbursements:					
Local Assistance	52,638	14,952	3,809	0	71,399
State Operations:					
Personal Service	9,299	4,786	0	0	14,085
Non-Personal Service	3,027	2,369	0	43	5,439
General State Charges	9,334	1,103	0	0	10,437
Debt Service	0	0	0	7,384	7,384
Capital Projects	0	0	7,852	0	7,852
Total Disbursements	<u>74,298</u>	<u>23,210</u>	<u>11,661</u>	<u>7,427</u>	<u>116,596</u>
Other Financing Sources (Uses):					
Transfers from Other Funds	30,010	2,664	4,634	3,195	40,503
Transfers to Other Funds	(7,052)	(172)	(1,513)	(29,627)	(38,364)
Bond and Note Proceeds	0	0	413	0	413
Net Other Financing Sources (Uses)	<u>22,958</u>	<u>2,492</u>	<u>3,534</u>	<u>(26,432)</u>	<u>2,552</u>
Use (Reservation) of Fund Balance:					
Extraordinary Monetary Settlements	747				
Total Use (Reservation) of Fund Balance	<u>747</u>				
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements	<u>(9,743)</u>				

CASH FINANCIAL PLAN
STATE FUNDS
FY 2024
(millions of dollars)

	<u>General</u> <u>Fund</u>	<u>State Special</u> <u>Revenue</u> <u>Funds</u>	<u>State Capital</u> <u>Projects</u> <u>Funds</u>	<u>Debt</u> <u>Service</u> <u>Funds</u>	<u>State</u> <u>Funds</u> <u>Total</u>
Receipts:					
Taxes	41,137	5,504	1,343	35,232	83,216
Miscellaneous Receipts	1,814	14,707	6,618	387	23,526
Federal Receipts	0	(17)	5	66	54
Total Receipts	42,951	20,194	7,966	35,685	106,796
Disbursements:					
Local Assistance	55,564	14,776	3,255	0	73,595
State Operations:					
Personal Service	9,411	4,732	0	0	14,143
Non-Personal Service	3,180	2,297	0	43	5,520
General State Charges	9,761	1,111	0	0	10,872
Debt Service	0	0	0	7,575	7,575
Capital Projects	0	0	7,347	0	7,347
Total Disbursements	77,916	22,916	10,602	7,618	119,052
Other Financing Sources (Uses):					
Transfers from Other Funds	31,659	2,776	3,866	3,285	41,586
Transfers to Other Funds	(6,458)	(110)	(1,553)	(31,332)	(39,453)
Bond and Note Proceeds	0	0	283	0	283
Net Other Financing Sources (Uses)	25,201	2,666	2,596	(28,047)	2,416
Use (Reservation) of Fund Balance:					
Extraordinary Monetary Settlements	345				
Total Use (Reservation) of Fund Balance	345				
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements	(9,419)				

CASH FINANCIAL PLAN
STATE FUNDS
(millions of dollars)

	<u>FY 2020</u> <u>Results</u>	<u>FY 2021</u> <u>Mid-Year</u>	<u>Annual</u> <u>\$ Change</u>	<u>Annual</u> <u>% Change</u>
Opening Fund Balance	11,729	13,936	2,207	18.8%
Receipts:				
Taxes	82,889	74,461	(8,428)	-10.2%
Miscellaneous Receipts	29,250	30,550	1,300	4.4%
Federal Receipts	66	56	(10)	-15.2%
Total Receipts	112,205	105,067	(7,138)	-6.4%
Disbursements:				
Local Assistance	72,871	65,328	(7,543)	-10.4%
State Operations:				
Personal Service	14,090	13,893	(197)	-1.4%
Non-Personal Service	6,078	4,683	(1,395)	-23.0%
General State Charges	8,423	8,065	(358)	-4.3%
Debt Service	4,916	10,354	5,438	110.6%
Capital Projects	5,916	7,776	1,860	31.4%
Total Disbursements	112,294	110,099	(2,195)	-2.0%
Other Financing Sources (Uses):				
Transfers from Other Funds	45,764	35,950	(9,814)	-21.4%
Transfers to Other Funds	(43,468)	(33,340)	10,128	23.3%
Bond and Note Proceeds	0	365	365	0.0%
Net Other Financing Sources (Uses)	2,296	2,975	679	29.6%
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	2,207	(2,057)	(4,264)	-193.2%
Closing Fund Balance	13,936	11,879	(2,057)	-14.8%

**CASHFLOW
GENERAL FUND
FY 2020**
(dollars in millions)

	2019	April	May	June	July	August	September	October	November	December	January	February	March	Total
	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results
OPENING BALANCE	7,206	11,969	5,222	6,593	6,614	6,886	9,050	8,815	6,571	8,180	10,818	10,963	7,206	
RECEIPTS:														
Personal Income Tax	4,608	1,242	2,605	1,665	1,454	2,417	1,289	1,231	2,106	2,342	1,848	1,839	24,646	
Consumption/Use Taxes	585	598	796	637	813	630	627	635	790	711	558	658	8,038	
Business Taxes	543	(181)	1,443	1,600	(20)	1,443	73	57	1,351	124	(102)	1,938	6,370	
Other Taxes	81	58	65	126	44	56	164	89	145	95	116	48	1,087	
Total Taxes	5,817	1,717	4,609	2,588	2,108	4,570	2,153	2,012	4,392	3,272	2,420	4,483	40,141	
Abandoned Property	1	0	0	0	5	30	35	215	0	0	0	24	140	450
ABC License Fee	6	6	5	6	7	7	7	7	5	6	5	5	6	73
Investment Income	22	17	20	13	14	13	14	13	11	10	13	14	14	174
Licenses, Fees, etc.	69	42	50	49	49	67	85	41	61	78	44	44	62	697
Motor Vehicle Fees	33	35	12	35	24	24	34	10	41	28	18	48	342	
Reimbursements	7	29	33	(69)	70	40	41	20	41	(84)	84	95	22	214
Extraordinary Settlements	585	142	0	33	0	0	28	0	0	0	0	0	(1)	787
Other Transactions	19	8	38	20	(1)	98	33	25	68	39	7	7	68	422
Total Miscellaneous Receipts	742	279	158	87	168	279	246	331	227	77	206	206	359	3,159
Federal Receipts	0	0	0	0	0	0	0	0	0	0	0	0	0	0
PIT in Excess of Revenue Bond Debt Service	4,607	1,126	2,606	1,658	1,476	2,683	1,289	960	2,147	2,950	1,049	3,311	25,862	
ECEP in Excess of Revenue Bond Debt Service	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Tax in Excess of LGAC	261	105	542	290	277	367	303	292	370	318	(19)	311	3,417	
Sales Tax Bond Fund	191	198	294	212	213	367	205	282	284	255	182	159	2,762	
Real Estate Taxes in Excess of CW/CA Debt Service	70	86	85	114	74	94	55	83	70	78	68	74	951	
All Other	49	111	118	249	119	71	83	68	155	519	363	1,010	2,915	
Total Transfers from Other Funds	5,178	1,626	3,645	2,523	2,159	3,582	1,935	1,605	3,026	4,120	1,643	4,865	35,907	
TOTAL RECEIPTS	11,737	3,622	8,412	5,198	4,435	8,431	4,334	3,948	7,645	7,469	4,269	9,707	79,207	
DISBURSEMENTS:														
School Aid	775	3,896	1,722	366	599	1,497	864	1,661	1,969	711	668	8793	23,521	
Higher Education	38	24	337	1,112	28	141	85	25	145	42	57	329	2,363	
All Other Education	97	57	130	183	44	706	181	53	136	54	138	528	2,307	
Medicaid - DOH	3,302	1,717	1,426	1,270	1,088	1,282	1,623	1,941	1,248	1,637	944	(1,407)	16,071	
Public Health	24	23	100	41	30	34	32	43	31	21	39	31	449	
Mental Hygiene	124	63	267	181	75	199	176	56	299	94	280	1,609	3,423	
Children and Families	19	21	30	29	93	79	364	186	68	108	141	52	1,190	
Temporary & Disability Assistance	67	24	150	106	95	105	65	245	65	67	64	68	1,162	
Transportation	0	24	14	0	24	0	0	24	11	0	13	0	110	
Unrestricted Aid	0	11	388	0	7	39	10	0	193	1	1	64	714	
All Other	24	(67)	144	32	65	34	31	75	38	30	55	92	553	
Total Local Assistance	4,470	5,834	4,708	3,320	2,148	4,116	3,431	4,309	4,203	2,765	2,400	10,159	51,863	
Personal Service	689	1,072	679	697	853	676	805	685	684	794	664	642	8,940	
Non-Personal Service	159	249	219	201	211	189	257	147	179	234	230	839	3,114	
Total State Operations	848	1,321	898	898	1,064	865	1,062	832	863	1,028	894	1,481	12,054	
General State Charges	728	2,349	365	385	423	477	573	410	409	447	415	473	7,454	
Debt Service	135	11	(7)	132	(17)	(28)	130	(20)	5	213	(29)	211	736	
Capital Projects	499	406	610	252	473	703	(712)	492	472	309	360	(736)	3,128	
SUNY Operations	219	218	327	181	3	59	0	97	10	18	31	18	1,179	
Other Purposes	75	230	140	9	69	75	85	72	74	53	53	120	1,055	
Total Transfers to Other Funds	928	865	1,070	574	528	809	(497)	641	561	591	415	(387)	6,098	
TOTAL DISBURSEMENTS	6,974	10,369	7,041	5,177	4,163	6,267	4,569	6,192	6,036	4,831	4,124	11,726	77,469	
Excess/(Deficiency) of Receipts over Disbursements	4,763	(6,747)	1,371	21	272	2,164	(235)	(2,244)	1,609	2,638	145	(2,019)	1,738	
CLOSING BALANCE	11,969	5,222	6,593	6,614	6,886	9,050	8,815	6,571	8,180	10,818	10,963	8,944	8,944	

CASHFLOW
STATE OPERATING FUNDS
FY 2020
(dollars in millions)

	2019	April	May	June	July	August	September	October	November	December	2020	January	February	March	Intra-Fund	Total	
	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Transfer	Eliminations	Total
OPENING BALANCE	12,362	18,718	12,243	14,050	14,506	14,735	14,634	14,770	12,595	14,023	19,009	20,009	0	12,362			
RECEIPTS:																	
Personal Income Tax	9,216	2,484	5,210	3,331	2,908	4,833	2,578	2,468	4,289	8,899	3,696	3,748	0	53,660			
Consumption/Use Taxes	1,320	1,295	1,709	1,381	1,366	1,361	1,362	1,368	1,718	1,718	1,501	1,407	0	17,391			
Business Taxes	747	(139)	1,436	245	43	1,559	147	132	1,658	1,98	(10)	2,313	0	8,329			
Other Taxes	164	144	152	245	123	141	237	177	219	178	189	123	0	2,092			
Total Taxes	11,447	3,784	8,507	5,202	4,440	8,289	4,324	4,145	7,884	10,776	5,083	7,591	0	81,472			
Abandoned Property	1	0	0	0	5	30	35	215	0	0	24	140	0	450			
ABC License Fee	6	6	5	6	7	7	7	7	5	6	5	6	0	73			
HCRA	541	448	435	511	441	473	467	396	456	489	450	422	0	5,529			
Investment Income	22	17	20	13	14	13	14	13	11	10	13	14	0	174			
Licenses, Fees, etc.	69	42	50	49	61	67	85	41	61	78	44	62	0	697			
Lottery	298	349	266	316	247	254	315	251	251	321	250	365	0	3,483			
Medicaid	92	76	82	73	80	77	75	80	74	92	86	44	0	931			
Motor Vehicle Fees	53	56	28	50	43	32	32	29	63	46	33	65	0	550			
Reimbursements	7	29	33	(69)	41	40	10	20	41	(84)	95	22	0	214			
State University Income	336	258	262	340	441	692	415	260	255	554	715	235	0	4,763			
Extraordinary Settlements	585	142	0	33	0	0	28	0	0	0	0	(1)	0	787			
Other Transactions	332	303	525	387	235	484	376	278	511	801	366	451	0	5,049			
Total Miscellaneous Receipts	2,342	1,726	1,706	1,709	1,632	2,169	1,879	1,590	1,728	2,313	2,081	1,825	0	22,700			
Federal Receipts	0	0	(1)	1	54	0	1	0	0	(26)	35	(3)	0	61			
TOTAL RECEIPTS	13,789	5,510	10,212	6,912	6,126	10,458	6,204	5,735	9,612	13,063	7,199	9,413	0	104,233			
DISBURSEMENTS:																	
School Aid	775	3,896	2,071	366	599	4,005	1,010	1,807	2,114	858	814	9,052	0	27,367			
Higher Education	38	24	337	1,112	28	141	85	25	145	42	57	329	0	2,363			
All Other Education	97	58	131	183	46	710	182	53	136	54	139	529	0	2,318			
STAR	0	0	0	0	0	0	0	3	38	2,107	0	36	0	2,184			
Medicaid - DOH	3,302	2,600	1,792	1,853	1,775	1,890	2,094	2,350	1,682	2,221	1,438	(1,242)	0	21,755			
Public Health	84	56	204	84	195	88	88	84	131	125	94	437	0	1,670			
Mental Hygiene	124	63	268	181	176	200	176	56	300	94	280	1,609	0	3,426			
Children and Families	20	22	30	29	94	78	364	187	68	108	142	52	0	1,194			
Temporary & Disability Assistance	67	65	150	106	95	105	65	245	65	67	64	68	0	1,162			
Transportation	68	427	279	274	389	327	280	499	739	63	103	40	0	3,488			
Unrestricted Aid	0	11	388	0	7	39	10	193	0	1	1	64	0	714			
All Other	70	(30)	163	68	100	77	63	122	84	25	154	116	0	1,012			
Total Local Assistance	4,645	7,192	5,813	4,256	3,403	7,660	4,417	5,431	5,695	5,765	3,286	11,090	0	68,653			
Personal Service	1,091	1,652	1,073	1,147	1,205	1,064	1,364	1,084	1,092	1,193	1,068	1,057	0	14,090			
Non-Personal Service	404	500	418	438	473	406	516	430	400	541	505	1,047	0	6,078			
Total State Operations	1,495	2,152	1,491	1,585	1,678	1,470	1,880	1,514	1,492	1,734	1,573	2,104	0	20,168			
General State Charges	792	2,436	421	464	518	549	639	540	514	529	480	541	0	8,423			
Debt Service	72	122	230	45	74	434	44	75	412	44	720	2,640	0	4,916			
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0	0			
TOTAL DISBURSEMENTS	7,004	11,902	7,955	6,350	5,673	10,113	6,984	7,560	8,113	8,072	6,059	16,375	0	102,160			
OTHER FINANCING SOURCES (USES):																	
Transfers from other funds	5,705	2,418	4,298	3,020	2,505	4,022	2,373	1,885	3,514	4,657	1,985	6,046	(510)	41,918			
Transfers to other funds	(6,134)	(2,501)	(4,748)	(3,126)	(2,729)	(4,468)	(1,457)	(2,235)	(3,585)	(4,662)	(2,125)	(4,686)	510	(41,946)			
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0	0			
NET OTHER FINANCING SOURCES/(USES)	(429)	(83)	(450)	(106)	(224)	(446)	916	(350)	(71)	(5)	(140)	1,360	0	(28)			
Excess/(Deficiency) of Receipts over Disbursements	6,356	(6,475)	1,807	456	229	(101)	136	(2,175)	1,428	4,986	1,000	(5,602)	0	2,045			
CLOSING BALANCE	18,718	12,243	14,050	14,506	14,735	14,634	14,770	12,595	14,023	19,009	20,009	14,407	0	14,407			

CASHFLOW
ALL GOVERNMENTAL FUNDS
FY 2020
(dollars in millions)

	2019 April Results	2019 May Results	2019 June Results	2019 July Results	2019 August Results	2019 September Results	2019 October Results	2019 November Results	2019 December Results	2020 January Results	2020 February Results	2020 March Results	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	9,975	18,268	10,925	13,728	12,775	13,740	14,310	14,340	12,091	14,900	19,627	20,491		9,975
RECEIPTS:														
Personal Income Tax	9,216	2,484	5,210	3,331	2,908	4,833	2,578	2,468	4,289	8,899	3,696	3,748	0	53,660
Consumption/Use Taxes	1,374	1,341	1,774	1,427	1,414	1,835	1,406	1,414	1,784	1,547	1,245	1,464	0	18,023
Business Taxes	807	(84)	1,496	298	103	1,619	207	185	1,712	253	35	2,364	0	8,995
Other Taxes	164	144	164	257	135	152	249	189	231	190	201	135	0	2,211
Total Taxes	11,561	3,885	8,644	5,313	4,560	8,439	4,440	4,254	8,016	10,889	5,177	7,711	0	82,889
Abandoned Property	1	0	0	0	5	30	35	215	0	0	24	140	0	450
ABC License Fee	6	6	5	6	7	7	7	7	5	6	5	6	0	73
HCRA	541	448	435	511	441	473	467	396	456	489	450	422	0	5,529
Investment Income	22	17	20	13	14	13	14	13	11	10	13	14	0	174
Licenses, Fees, etc.	69	42	50	49	49	67	85	41	61	78	44	62	0	697
Lottery	298	349	266	316	247	254	315	251	251	321	250	365	0	3,483
Medical	92	76	82	73	77	73	75	80	74	92	86	44	0	931
Motor Vehicle Fees	53	56	28	50	43	32	52	29	63	46	33	65	0	550
Reimbursements	7	29	33	(69)	41	40	10	20	41	(84)	95	22	0	214
State University Income	336	258	262	340	441	692	415	260	255	554	715	235	0	4,763
Extraordinary Settlements	585	142	0	33	0	0	28	0	0	0	0	(1)	0	787
Other Transactions	650	447	791	647	436	1,078	2,091	426	929	1,432	490	2,398	0	11,815
Total Miscellaneous Receipts	2,660	1,870	1,972	1,969	2,763	1,833	3,594	1,738	2,146	2,944	2,205	3,772	0	29,466
Federal Receipts	6,242	4,959	5,803	3,275	6,083	5,663	5,710	5,394	6,606	5,728	5,138	4,479	0	65,080
TOTAL RECEIPTS	20,463	10,714	16,419	10,557	12,476	16,865	13,744	11,386	16,768	19,561	12,520	15,962	0	177,435
DISBURSEMENTS:														
School Aid	1,065	4,398	2,300	617	762	4,123	1,161	2,015	2,423	1,076	985	9,259	0	30,184
Higher Education	38	24	337	1,112	28	141	85	25	145	42	57	329	0	2,363
All Other Education	154	150	174	229	127	821	252	90	178	155	228	641	0	3,199
STAR	0	0	0	0	0	0	0	3	38	2,107	0	36	0	2,184
Medical - DOH	6,760	6,758	5,309	5,172	5,919	5,371	5,984	6,284	5,264	6,465	5,224	1,665	0	66,175
Public Health	252	219	578	291	340	422	282	193	464	382	280	795	0	4,498
Mental Hygiene	138	71	282	198	97	216	191	75	321	109	292	1,635	0	3,625
Children and Families	68	56	68	63	194	342	547	356	72	246	162	175	0	2,349
Temporary & Disability Assistance	154	134	377	378	156	338	927	575	161	322	305	893	0	4,720
Transportation	306	479	358	338	439	860	370	541	1,071	124	190	196	0	5,272
Unrestricted Aid	0	11	388	0	7	39	10	0	193	1	1	64	0	714
All Other	286	336	566	243	226	301	389	486	428	706	448	421	0	4,836
Total Local Assistance	9,221	12,636	10,737	8,641	8,295	12,974	10,198	10,643	10,758	11,735	8,172	16,109	0	130,119
Personal Service	1,140	1,718	1,128	1,191	1,253	1,115	1,440	1,131	1,145	1,242	1,119	1,105	0	14,727
Non-Personal Service	474	576	515	520	578	592	649	513	525	683	656	1,196	0	7,477
Total State Operations	1,614	2,294	1,643	1,711	1,831	1,707	2,089	1,644	1,670	1,925	1,775	2,301	0	22,204
General State Charges	814	2,460	454	500	542	574	665	566	540	566	509	567	0	8,757
Debt Service	72	122	230	45	74	434	48	75	412	44	720	2,640	0	4,916
Capital Projects	434	529	536	600	750	582	711	705	577	552	477	533	0	6,986
TOTAL DISBURSEMENTS	12,155	18,041	13,600	11,497	11,492	16,271	13,711	13,633	13,957	14,822	11,653	22,150	0	172,982
OTHER FINANCING SOURCES (USES):														
Transfers from other funds	6,215	2,831	4,931	3,280	2,991	4,774	1,665	2,379	4,023	4,980	2,364	5,542	(510)	45,465
Transfers to other funds	(6,230)	(2,847)	(4,947)	(3,293)	(3,010)	(4,798)	(1,668)	(2,381)	(4,025)	(4,992)	(2,367)	(5,562)	510	(45,610)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES/(USES)	(15)	(16)	(16)	(13)	(19)	(24)	(3)	(2)	(2)	(12)	(3)	(20)	0	(145)
Excess/(Deficiency) of Receipts over Disbursements	8,293	(7,327)	2,819	(933)	965	570	30	(2,249)	2,809	4,727	864	(6,208)	0	4,308
CLOSING BALANCE	18,268	10,925	13,728	12,775	13,740	14,310	14,340	12,091	14,900	19,627	20,491	14,283	0	14,283

**CASHFLOW
SPECIAL REVENUE FUNDS
FY 2020
(dollars in millions)**

	2019 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2020 January Results	February Results	March Results	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	3,842	7,002	6,269	7,986	6,880	7,461	6,241	5,867	5,600	6,862	7,175	7,586		3,842
RECEIPTS:														
Personal Income Tax	0	0	0	0	0	0	0	3	39	2,107	0	35	0	2,184
Consumption/Use Taxes	197	146	170	164	155	181	157	149	186	155	128	128	0	1,916
Business Taxes	204	42	293	85	63	275	74	75	307	74	92	375	0	1,959
Other Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Taxes	401	188	463	249	218	456	231	227	532	2,336	220	538	0	6,059
HGRA	541	448	435	511	441	473	467	396	456	489	450	422	0	5,529
State University Income	336	258	340	340	441	262	415	441	255	554	715	235	0	4,763
Lottery	298	349	266	316	254	241	315	251	251	321	250	365	0	3,483
Medicaid	92	76	82	73	80	77	75	80	74	92	86	44	0	931
Motor Vehicle Fees	20	21	16	15	19	8	18	19	22	18	15	17	0	208
Other Transactions	284	310	461	292	215	382	325	247	405	736	346	362	0	4,365
Total Miscellaneous Receipts	1,571	1,462	1,522	1,547	1,443	1,886	1,615	1,253	1,463	2,210	1,862	1,445	0	19,279
Federal Receipts	6,204	4,816	5,671	3,118	5,874	5,484	5,368	5,210	6,447	5,383	4,983	4,339	0	62,897
TOTAL RECEIPTS	8,176	6,466	7,656	4,914	7,535	7,826	7,214	6,690	8,442	9,929	7,065	6,322	0	88,235
DISBURSEMENTS:														
School Aid	282	499	569	244	155	2,610	288	341	433	346	315	460	0	6,542
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	56	93	43	44	79	89	66	35	38	100	89	111	0	843
STAR	0	0	0	0	0	0	0	3	38	2,107	0	36	0	2,184
Medicaid - DOH	3,458	5,041	3,883	3,902	4,831	4,089	4,361	4,343	4,016	4,828	4,280	3,072	0	50,104
Public Health	200	156	460	181	294	460	371	126	393	282	213	695	0	3,552
Mental Hygiene	10	7	12	11	17	13	9	9	17	6	6	15	0	135
Children and Families	49	35	38	34	101	263	183	170	4	138	21	123	0	1,159
Temporary & Disability Assistance	87	69	211	272	61	217	862	330	96	239	241	811	0	3,496
Transportation	72	408	268	277	369	329	282	478	732	71	96	40	0	3,422
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	172	90	9	111	81	112	183	238	165	231	237	177	0	1,806
Total Local Assistance	4,386	6,398	5,493	5,076	5,991	8,093	6,415	6,073	5,932	8,348	5,498	5,540	0	73,243
Personal Service	451	646	449	494	400	439	635	446	461	448	455	463	0	5,787
Non-Personal Service	314	325	294	310	360	402	391	364	344	449	424	350	0	4,327
Total State Operations	765	971	743	804	760	841	1,026	810	805	897	879	813	0	10,114
General State Charges	86	111	89	115	119	97	92	156	131	119	94	94	0	1,303
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL DISBURSEMENTS	5,237	7,480	6,325	5,995	6,870	9,031	7,533	7,039	6,868	9,364	6,471	6,447	0	84,660
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	309	585	567	214	160	169	120	186	111	84	123	151	(510)	2,269
Transfers to Other Funds	(88)	(304)	(181)	(239)	(244)	(184)	(175)	(104)	(423)	(336)	(306)	(1,301)	510	(3,375)
NET OTHER FINANCING SOURCES/(USES)	221	281	386	(25)	(84)	(15)	(55)	82	(312)	(252)	(183)	(1,150)	0	(1,106)
Excess/(Deficiency) of Receipts over Disbursements	3,160	(733)	1,717	(1,106)	581	(1,220)	(374)	(267)	1,262	313	411	(1,275)	0	2,469
CLOSING BALANCE	7,002	6,269	7,986	6,880	7,461	6,241	5,867	5,600	6,862	7,175	7,586	6,311	0	6,311

CASHFLOW
SPECIAL REVENUE STATE FUNDS
FY 2020
(dollars in millions)

	2019 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2020 January Results	February Results	March Results	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	5,091	6,434	6,338	7,095	7,277	7,105	5,277	5,351	5,062	4,858	5,609	6,037		5,091
RECEIPTS:														
Personal Income Tax	0	0	0	0	0	0	0	3	39	2,107	0	35	0	2,184
Consumption/Use Taxes	197	146	170	164	155	181	157	149	186	155	128	128	0	1,916
Business Taxes	204	42	293	85	63	275	74	75	307	74	92	375	0	1,959
Other Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Taxes	401	188	463	249	218	456	231	227	532	2,336	220	538	0	6,039
HCRA	541	448	435	511	441	473	467	396	456	489	450	422	0	5,529
State University Income	336	258	262	340	441	692	415	260	255	554	715	235	0	4,763
Lottery	298	349	266	316	247	254	315	231	251	321	250	365	0	3,483
Medicaid	92	76	82	73	77	75	75	80	74	92	86	44	0	931
Motor Vehicle Fees	20	21	16	15	19	8	18	19	22	18	15	17	0	208
Other Transactions	270	259	449	277	193	370	312	228	391	724	327	350	0	4,150
Total Miscellaneous Receipts	1,557	1,411	1,510	1,532	1,421	1,874	1,602	1,234	1,449	2,198	1,843	1,433	0	19,064
Federal Receipts	0	0	(1)	0	19	0	0	0	0	(27)	0	(4)	0	(13)
TOTAL RECEIPTS	1,958	1,599	1,972	1,781	1,658	2,330	1,833	1,461	1,981	4,507	2,063	1,967	0	25,110
DISBURSEMENTS:														
School Aid	0	0	349	0	0	2,508	146	146	145	147	146	259	0	3,846
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	0	1	1	0	2	4	1	0	0	0	1	1	0	11
STAR	0	0	0	0	0	0	0	3	38	2,107	0	36	0	2,184
Medicaid - DOH	0	883	366	583	687	608	471	409	434	584	494	165	0	5,684
Public Health	60	33	104	43	165	54	56	41	100	104	55	406	0	1,221
Mental Hygiene	0	0	1	0	0	1	0	0	1	0	0	0	0	3
Children and Families	1	1	1	0	1	(1)	0	1	0	0	1	0	0	4
Temporary & Disability Assistance	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Transportation	68	403	265	274	365	327	280	475	728	63	90	40	0	3,378
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	46	37	19	36	35	43	32	47	46	(5)	99	24	0	459
Total Local Assistance	175	1,358	1,105	936	1,255	3,544	986	1,122	1,492	3,000	886	931	0	16,790
Personal Service	402	580	394	450	352	388	559	399	408	399	404	415	0	5,150
Non-Personal Service	244	249	197	228	232	216	258	281	219	307	273	201	0	2,928
Total State Operations	646	829	591	678	607	604	817	680	627	706	677	616	0	8,078
General State Charges	64	87	56	79	95	72	66	130	105	82	65	68	0	969
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL DISBURSEMENTS	885	2,274	1,752	1,693	1,957	4,220	1,869	1,932	2,224	3,788	1,628	1,615	0	25,837
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	308	585	567	215	160	169	120	186	111	84	123	151	(510)	2,269
Transfers to Other Funds	(38)	(6)	(30)	(121)	(33)	(107)	(10)	(4)	(72)	(52)	(130)	(1,140)	510	(1,233)
NET OTHER FINANCING SOURCES/(USES)	270	579	537	94	127	62	110	182	39	32	(7)	(989)	0	1,036
Excess/(Deficiency) of Receipts over Disbursements	1,343	(96)	757	182	(172)	(1,828)	74	(289)	(204)	751	428	(637)	0	309
CLOSING BALANCE	6,434	6,338	7,095	7,277	7,105	5,277	5,351	5,062	4,858	5,609	6,037	5,400	0	5,400

CASHFLOW
SPECIAL REVENUE FEDERAL FUNDS
FY 2020
(dollars in millions)

	2019 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2020 January Results	February Results	March Results	Total
OPENING BALANCE	(1,249)	568	(69)	891	(397)	356	964	516	538	2,004	1,566	1,549	(1,249)
RECEIPTS:													
Miscellaneous Receipts	14	51	12	15	22	12	13	19	14	12	19	12	215
Federal Receipts	6,204	4,816	5,672	3,118	5,855	5,484	5,368	5,210	6,447	5,410	4,983	4,343	62,910
TOTAL RECEIPTS	6,218	4,867	5,684	3,133	5,877	5,496	5,381	5,229	6,461	5,422	5,002	4,355	63,125
DISBURSEMENTS:													
School Aid	282	499	220	244	155	102	142	195	288	199	169	201	2,696
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	56	92	42	44	77	85	65	35	38	100	88	110	832
STAR	0	0	0	0	0	0	0	0	0	0	0	0	0
Medicaid - DOH	3,458	4,158	3,517	3,319	4,144	3,481	3,890	3,934	3,582	4,244	3,786	2,907	44,420
Public Health	140	123	356	138	129	317	125	85	293	178	158	289	2,331
Mental Hygiene	10	7	11	11	20	12	9	9	16	6	6	15	132
Children and Families	48	34	38	34	100	264	183	169	4	138	20	123	1,155
Temporary & Disability Assistance	87	69	211	272	61	217	862	330	96	239	241	811	3,496
Transportation	4	5	3	3	4	2	2	3	4	8	6	0	44
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	126	53	(10)	75	46	69	151	191	119	236	138	153	1,347
Total Local Assistance	4,211	5,040	4,388	4,140	4,736	4,549	5,429	4,951	4,440	5,348	4,612	4,609	56,453
Personal Service	49	66	55	44	48	51	76	47	53	49	51	48	637
Non-Personal Service	70	76	97	82	105	186	133	83	125	142	151	149	1,399
Total State Operations	119	142	152	126	153	237	209	130	178	191	202	197	2,036
General State Charges	22	24	33	36	24	25	26	26	26	37	29	26	334
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL DISBURSEMENTS	4,352	5,206	4,573	4,302	4,913	4,811	5,664	5,107	4,644	5,576	4,843	4,832	58,823
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	1	0	0	(1)	0	0	0	0	0	0	0	0	0
Transfers to Other Funds	(50)	(298)	(151)	(118)	(211)	(77)	(165)	(100)	(351)	(284)	(176)	(161)	(2,142)
NET OTHER FINANCING SOURCES/(USES)	(49)	(298)	(151)	(119)	(211)	(77)	(165)	(100)	(351)	(284)	(176)	(161)	(2,142)
Excess/(Deficiency) of Receipts over Disbursements	1,817	(637)	960	(1,288)	753	608	(448)	22	1,466	(438)	(17)	(638)	2,160
CLOSING BALANCE	568	(69)	891	(397)	356	964	516	538	2,004	1,566	1,549	911	911

**CASHFLOW
DEBT SERVICE FUNDS
FY 2020
(dollars in millions)**

	2019 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2020 January Results	February Results	March Results	Total
OPENING BALANCE	65	315	683	362	615	744	307	604	962	985	2,582	3,009	65
RECEIPTS:													
Personal Income Tax	4,608	1,242	2,605	1,666	1,454	2,416	1,289	1,234	2,144	4,450	1,848	1,874	26,830
Consumption/Use Taxes	538	551	743	580	581	762	578	584	742	635	522	621	7,437
Other Taxes	83	86	87	119	79	85	73	88	74	83	73	75	1,005
Total Taxes	5,229	1,879	3,435	2,365	2,114	3,263	1,940	1,906	2,960	5,168	2,443	2,570	35,272
Miscellaneous Receipts	43	36	38	90	43	16	31	25	52	38	32	33	477
Federal Receipts	0	0	0	1	35	0	1	0	0	1	35	1	74
TOTAL RECEIPTS	5,272	1,915	3,473	2,456	2,192	3,279	1,972	1,931	3,012	5,207	2,510	2,604	35,823
DISBURSEMENTS:													
State Operations	1	2	2	9	7	1	1	2	2	0	2	7	36
Debt Service	72	122	230	45	74	434	48	75	412	44	720	2,640	4,916
TOTAL DISBURSEMENTS	73	124	232	54	81	435	49	77	414	44	722	2,647	4,952
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	219	207	86	282	186	271	318	94	377	453	219	1,030	3,742
Transfers to Other Funds	(5,168)	(1,630)	(3,648)	(2,431)	(2,168)	(3,552)	(1,944)	(1,590)	(2,952)	(4,019)	(1,580)	(3,953)	(34,615)
NET OTHER FINANCING SOURCES/(USES)	(4,949)	(1,423)	(3,562)	(2,149)	(1,982)	(3,281)	(1,626)	(1,496)	(2,575)	(3,566)	(1,361)	(2,903)	(30,873)
Excess/(Deficiency) of Receipts over Disbursements	250	368	(321)	253	129	(437)	297	358	23	1,597	427	(2,946)	(2)
CLOSING BALANCE	315	683	362	615	744	307	604	962	985	2,582	3,009	63	63

**CASHFLOW
CAPITAL PROJECTS FUNDS
FY 2020
(dollars in millions)**

	2019 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2020 January Results	February Results	March Results	Total
OPENING BALANCE	(1,138)	(1,018)	(1,249)	(1,213)	(1,334)	(1,351)	(1,288)	(946)	(1,042)	(1,127)	(948)	(1,067)	(1,138)
RECEIPTS:													
Consumption/Use Taxes	54	46	65	46	48	79	44	44	66	46	37	57	632
Business Taxes	60	55	60	53	60	60	60	53	54	55	45	51	666
Other Taxes	0	0	12	12	12	11	12	12	12	12	12	12	119
Total Taxes	114	101	137	111	120	150	116	109	132	113	94	120	1,417
Miscellaneous Receipts	304	93	254	245	179	582	1,702	129	404	619	105	1,935	6,551
Federal Receipts	38	143	132	156	174	179	341	184	159	344	120	139	2,109
TOTAL RECEIPTS	456	337	523	512	473	911	2,159	422	695	1,076	319	2,194	10,077
DISBURSEMENTS:													
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	1	0	1	2	4	26	5	2	4	1	1	2	49
Public Health	28	40	18	69	16	17	69	24	40	79	28	69	497
Mental Hygiene	4	1	3	6	2	4	6	10	5	9	6	11	67
School Aid	8	3	9	7	8	16	9	13	21	19	2	6	121
Temporary & Disability Assistance	0	0	16	0	0	16	0	0	0	16	0	14	62
Transportation	234	47	76	61	46	531	88	39	328	53	81	156	1,740
All Other Local	90	313	413	100	80	155	175	173	225	445	156	152	2,477
Total Local Assistance	365	404	536	245	156	765	352	261	623	622	274	410	5,013
Economic Development	3	3	22	3	28	1	2	35	1	12	43	(17)	136
Parks & the Environment	34	42	46	49	89	54	59	71	44	47	51	63	649
Transportation	229	279	281	309	333	323	380	362	301	254	203	194	3,448
Health & Social Welfare	4	5	6	5	6	9	5	5	7	8	27	11	98
Mental Hygiene	24	32	34	26	25	24	25	32	27	28	25	36	338
Public Protection	39	38	41	56	52	46	35	57	64	50	52	71	601
Education	57	78	47	85	145	72	134	80	59	113	65	89	1,024
All Other	44	52	59	67	72	53	71	63	74	40	11	86	692
Total Capital Projects	434	529	536	600	750	582	711	705	577	552	477	533	6,986
TOTAL DISBURSEMENTS	799	933	1,072	845	906	1,347	1,063	966	1,200	1,174	751	943	11,999
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	509	413	633	261	486	752	(708)	494	509	323	379	(504)	3,547
Transfers to Other Funds	(46)	(48)	(48)	(49)	(70)	(253)	(46)	(46)	(89)	(46)	(66)	(715)	(1,522)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES/(USES)	463	365	585	212	416	499	(754)	448	420	277	313	(1,219)	2,025
Excess/(Deficiency) of Receipts over Disbursements	120	(231)	36	(121)	(17)	63	342	(96)	(85)	179	(119)	32	103
CLOSING BALANCE	(1,018)	(1,249)	(1,213)	(1,334)	(1,351)	(1,288)	(946)	(1,042)	(1,127)	(948)	(1,067)	(1,035)	(1,035)

**CASHFLOW
CAPITAL PROJECTS STATE FUNDS
FY 2020
(dollars in millions)**

	2019 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2020 January Results	February Results	March Results	Total
OPENING BALANCE	(633)	(446)	(699)	(637)	(771)	(804)	(759)	(373)	(491)	(580)	(482)	(500)	(633)
RECEIPTS:													
Consumption/Use Taxes	54	46	65	46	48	79	44	44	66	46	37	57	632
Business Taxes	60	55	60	53	60	60	60	53	54	55	45	51	666
Other Taxes	0	0	12	12	12	11	12	12	12	12	12	12	119
Total Taxes	114	101	137	111	120	150	116	109	132	113	94	120	1,417
Miscellaneous Receipts	304	93	254	245	179	582	1,702	129	404	619	105	1,934	6,550
Federal Receipts	0	0	0	0	0	2	0	0	0	0	0	3	5
TOTAL RECEIPTS	418	194	391	356	299	734	1,818	238	536	732	199	2,057	7,972
DISBURSEMENTS:													
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	1	0	1	2	4	26	5	2	4	1	1	2	49
Public Health	28	40	18	69	16	16	31	24	40	79	28	65	454
Mental Hygiene	4	1	3	6	2	4	6	10	5	11	6	11	67
School Aid	8	3	9	7	8	16	9	13	21	19	2	6	121
Temporary & Disability Assistance	0	0	16	0	0	16	0	0	0	16	0	14	62
Transportation	194	10	28	22	7	499	38	7	269	13	9	102	1,198
All Other Local	90	313	403	100	80	145	175	165	225	274	156	141	2,267
Total Local Assistance	325	367	478	206	117	722	264	221	564	411	202	341	4,218
Economic Development	3	3	22	3	28	1	2	35	1	12	43	(17)	136
Parks & the Environment	33	40	45	48	88	52	58	70	43	47	63	63	636
Transportation	169	199	186	209	217	211	264	245	209	206	160	157	2,432
Health & Social Welfare	3	4	5	5	6	9	4	5	7	7	27	12	94
Mental Hygiene	24	32	34	26	25	24	25	32	27	28	25	36	338
Public Protection	36	37	38	53	50	44	32	53	61	48	49	65	566
Education	57	78	47	85	145	72	134	80	59	113	65	89	1,024
All Other	44	52	59	67	72	53	71	63	74	40	11	84	690
Total Capital Projects	369	445	436	496	631	466	590	583	481	501	429	489	5,916
TOTAL DISBURSEMENTS	694	812	914	702	748	1,188	854	804	1,045	912	631	830	10,134
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	509	413	633	261	486	752	(532)	494	509	323	481	(483)	3,846
Transfers to Other Funds	(46)	(48)	(48)	(49)	(70)	(253)	(46)	(46)	(89)	(45)	(67)	(715)	(1,522)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES/(USES)	463	365	585	212	416	499	(578)	448	420	278	414	(1,198)	2,324
Excess/(Deficiency) of Receipts over Disbursements	187	(253)	62	(134)	(33)	45	386	(118)	(89)	98	(18)	29	162
CLOSING BALANCE	(446)	(699)	(637)	(771)	(804)	(759)	(373)	(491)	(580)	(482)	(500)	(471)	(471)

**CASHFLOW
CAPITAL PROJECTS FEDERAL FUNDS
FY 2020
(dollars in millions)**

	2019	April	May	June	July	August	September	October	November	December	2020	January	February	March	Total
	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results
OPENING BALANCE	(505)	(572)	(572)	(550)	(576)	(563)	(547)	(529)	(573)	(551)	(547)	(466)	(567)	(505)	
RECEIPTS:															
Consumption/Use Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Business Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Other Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Federal Receipts	38	143	143	132	156	174	177	341	184	159	344	120	136	2,104	
TOTAL RECEIPTS	38	143	143	132	156	174	177	341	184	159	344	120	137	2,105	
DISBURSEMENTS:															
Public Health	0	0	0	0	0	0	1	38	0	0	0	0	0	4	43
Transportation	40	37	37	48	39	39	32	50	32	59	40	72	54	542	
All Other Local	0	0	0	10	0	0	10	0	8	0	171	0	11	210	
Total Local Assistance	40	37	37	58	39	39	43	88	40	59	211	72	69	795	
Economic Development	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Parks & the Environment	1	2	2	1	1	1	2	1	1	1	0	2	0	13	
Transportation	60	80	80	95	100	116	112	116	117	92	48	43	37	1,016	
Health & Social Welfare	1	1	1	1	0	0	0	1	0	0	1	0	(1)	4	
Mental Hygiene	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
Public Protection	3	1	1	3	3	2	2	3	4	3	2	3	6	35	
Education	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
All Other	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
Total Capital Projects	65	84	84	100	104	119	116	121	122	96	51	48	44	1,070	
TOTAL DISBURSEMENTS	105	121	121	158	143	158	159	209	162	155	262	120	113	1,865	
OTHER FINANCING SOURCES (USES):															
Transfers from Other Funds	0	0	0	0	0	0	0	(176)	0	0	0	(102)	(21)	(299)	
Transfers to Other Funds	0	0	0	0	0	0	0	0	0	0	(1)	1	0	0	
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
NET OTHER FINANCING SOURCES/(USES)	0	0	0	0	0	0	0	(176)	0	0	(1)	(101)	(21)	(299)	
Excess/(Deficiency) of Receipts over Disbursements	(67)	22	22	(26)	13	16	18	(44)	22	4	81	(101)	3	(59)	
CLOSING BALANCE	(572)	(550)	(550)	(576)	(563)	(547)	(529)	(573)	(551)	(547)	(466)	(567)	(564)	(564)	

**CASHFLOW
STATE FUNDS
FY 2020
(dollars in millions)**

	2019 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2020 January Results	February Results	March Results	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	11,729	18,272	11,544	13,413	13,735	13,931	13,875	14,397	12,104	13,443	18,527	19,509	0	11,729
RECEIPTS:														
Personal Income Tax	9,216	2,484	5,210	3,331	2,908	4,833	2,578	2,468	4,289	8,899	3,696	3,748	0	53,660
Consumption/Use Taxes	1,374	1,341	1,774	1,427	1,414	1,835	1,406	1,412	1,784	1,547	1,245	1,464	0	18,023
Business Taxes	807	(84)	1,496	298	1,03	1,619	207	185	1,712	253	35	2,364	0	8,995
Other Taxes	164	144	164	257	135	152	249	189	231	190	201	135	0	2,211
Total Taxes	11,561	3,885	8,644	5,313	4,560	8,439	4,440	4,254	8,016	10,889	5,177	7,711	0	82,889
Abandoned Property	1	0	0	0	5	30	35	215	0	0	24	6	0	450
ABC License Fee	6	6	5	6	7	7	7	7	5	6	5	6	0	73
HCPA	541	448	435	511	441	473	467	396	456	489	450	422	0	5,529
Investment Income	22	17	20	13	14	13	14	13	11	10	13	14	0	174
Licenses, Fees, etc.	69	42	50	49	49	67	85	41	61	78	44	62	0	697
Lottery	298	349	266	316	247	254	315	251	251	321	250	365	0	3,483
Medicaid	92	76	82	73	80	77	75	74	86	92	86	44	0	931
Motor Vehicle Fees	53	56	28	50	43	32	52	29	63	46	33	65	0	550
Reimbursements	7	29	33	(69)	70	40	10	20	41	(84)	95	22	0	214
State University Income	336	258	262	340	441	692	415	260	255	554	715	235	0	4,763
Extraordinary Settlements	585	142	0	33	0	0	28	0	0	0	0	(1)	0	787
Other Transactions	636	396	779	632	414	1,066	2,078	407	915	1,420	471	2,385	0	11,599
Total Miscellaneous Receipts	2,646	1,819	1,960	1,954	1,811	2,751	3,581	1,719	2,132	2,932	2,186	3,759	0	29,250
Federal Receipts	0	0	(1)	1	54	2	1	0	0	(26)	35	0	0	66
TOTAL RECEIPTS	14,207	5,704	10,603	7,268	6,425	11,192	8,022	5,973	10,148	13,795	7,398	11,470	0	112,205
DISBURSEMENTS:														
School Aid	783	3,899	2,080	373	607	4,021	1,019	1,820	2,135	877	816	9,058	0	27,488
Higher Education	38	24	337	1,112	28	141	85	25	145	42	57	329	0	2,363
All Other Education	98	58	132	185	50	736	187	55	140	55	140	531	0	2,367
STAR	0	0	0	0	0	0	0	3	38	2,107	0	36	0	2,184
Medicaid - DOH	3,302	2,600	1,792	1,853	1,775	1,890	2,094	2,350	1,682	2,221	1,438	(1,242)	0	21,755
Public Health	112	96	222	153	211	104	119	108	171	204	122	502	0	2,124
Mental Hygiene	128	64	271	187	77	204	182	66	305	103	286	1,620	0	3,493
Children and Families	20	22	30	29	94	30	364	187	68	108	142	52	0	1,194
Temporary & Disability Assistance	67	65	166	106	95	121	65	245	65	83	64	142	0	1,224
Transportation	262	437	307	296	396	826	318	506	1,008	76	112	142	0	4,686
Unrestricted Aid	0	11	388	0	7	39	10	0	193	1	1	64	0	714
All Other	160	283	566	168	180	222	238	287	309	299	310	257	0	3,279
Total Local Assistance	4,970	7,559	6,291	4,462	3,520	8,382	4,681	5,652	6,259	6,176	3,488	11,431	0	72,871
Personal Service	1,091	1,652	1,073	1,147	1,205	1,064	1,364	1,084	1,092	1,193	1,068	1,057	0	14,090
Non-Personal Service	404	500	418	438	473	406	516	430	400	541	505	1,047	0	6,078
Total State Operations	1,495	2,152	1,491	1,585	1,678	1,470	1,880	1,514	1,492	1,734	1,573	2,104	0	20,168
General State Charges	792	2,436	421	464	518	549	639	540	514	529	480	541	0	8,423
Debt Service	72	122	230	45	74	434	48	75	412	44	720	2,640	0	4,916
Capital Projects	369	445	436	496	631	466	590	583	481	501	429	489	0	5,916
TOTAL DISBURSEMENTS	7,698	12,714	8,869	7,052	6,421	11,301	7,838	8,364	9,158	8,984	6,690	17,205	0	112,294
OTHER FINANCING SOURCES (USES):														
Transfers from other funds	6,214	2,831	4,931	3,281	2,991	4,774	1,841	2,379	4,023	4,980	2,466	5,563	(510)	45,764
Transfers to other funds	(6,180)	(2,549)	(4,796)	(3,175)	(2,799)	(4,721)	(1,503)	(2,281)	(3,674)	(4,707)	(2,192)	(5,401)	510	(43,468)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES(USES)	34	282	135	106	192	53	338	98	349	273	274	162	0	2,296
Excess/(Deficiency) of Receipts over Disbursements/	6,543	(6,728)	1,869	322	196	(56)	522	(2,293)	1,339	5,084	982	(5,573)	0	2,207
CLOSING BALANCE	18,272	11,544	13,413	13,735	13,931	13,875	14,397	12,104	13,443	18,527	19,509	13,936	0	13,936

**CASHFLOW
GENERAL FUND
FY 2021
(dollars in millions)**

	2020 April Results	May Results	June Results	July Results	August Results	September Results	October Projected	November Projected	December Projected	2021 January Projected	February Projected	March Projected	Total
OPENING BALANCE	8,944	10,082	7,310	6,864	14,383	13,523	15,442	16,101	13,971	14,533	15,951	10,896	8,944
RECEIPTS:													
Personal Income Tax	1,033	1,100	2,184	5,115	1,362	2,635	1,443	931	1,880	1,612	1,455	1,700	22,450
Consumption/Use Taxes	459	414	621	594	586	804	608	473	485	469	431	502	6,446
Business Taxes	280	(125)	925	491	78	1,282	147	225	1,474	(25)	(79)	1,833	6,506
Other Taxes	74	52	148	149	57	92	102	102	102	80	79	113	1,115
Total Taxes	1,846	1,441	3,878	6,349	2,083	4,813	2,300	1,731	3,941	2,136	1,886	4,113	36,517
Abandoned Property	0	0	0	0	25	85	10	150	0	0	30	150	450
ABC License Fee	2	3	3	5	5	5	5	6	5	8	7	6	70
Investment Income	16	1	1	1	2	2	10	8	8	7	8	10	79
Licenses, Fees, etc.	24	5	21	54	93	2	35	40	35	15	25	29	378
Motor Vehicle Fees	(100)	(49)	127	110	13	43	27	11	46	31	20	52	331
Reimbursements	7	66	30	1	24	(83)	59	9	9	9	9	(16)	124
Extraordinary Settlements	80	220	0	150	0	0	0	150	0	0	0	0	600
Other Transactions	8	1,003	3,570	21	5	74	15	8	66	9	20	75	4,874
Total Miscellaneous Receipts	37	1,254	3,753	342	166	128	161	382	169	79	119	306	6,896
Federal Receipts	0	0	0	0	0	0	0	0	0	0	0	0	0
PIT in Excess of Revenue Bond Debt Service	1,033	1,099	2,178	4,324	487	1,890	1,443	(240)	1,915	1,873	(2,992)	4,597	17,607
EGCP in Excess of Revenue Bond Debt Service	0	0	0	0	0	0	0	0	0	0	0	0	2
Tax in Excess of LGAC	197	87	384	265	268	354	279	214	220	204	118	251	2,841
Sales Tax Bond Fund	87	75	176	155	159	302	167	102	108	92	88	255	1,766
Real Estate Taxes in Excess of CW/CA Debt Service	44	44	38	52	66	66	80	84	84	84	83	88	781
All Other	75	194	84	126	196	152	231	254	232	269	502	445	2,760
Total Transfers from Other Funds	1,436	1,503	2,860	4,920	1,162	2,764	2,200	414	2,560	2,522	(2,201)	5,617	25,757
TOTAL RECEIPTS	3,319	4,198	10,491	11,611	3,411	7,705	4,661	2,527	6,670	4,737	(196)	10,036	69,170
DISBURSEMENTS:													
School Aid	724	4,024	1,774	53	744	1,465	780	1,690	2,178	570	833	9,219	24,054
Higher Education	5	1	1,333	39	59	22	250	147	172	25	285	1,177	3,515
All Other Education	18	5	18	484	52	83	54	110	493	38	214	692	2,261
Medicaid - DOH	288	1,292	2,408	1,188	1,436	1,479	1,218	1,530	725	1,090	1,364	453	14,471
Public Health	6	9	100	222	26	40	18	54	75	54	54	53	711
Mental Hygiene	57	37	143	242	45	332	243	48	809	102	497	668	3,223
Children and Families	16	2	11	328	4	231	27	193	355	144	167	352	1,830
Temporary & Disability Assistance	63	156	57	249	53	202	61	105	105	105	107	153	1,416
Transportation	0	0	0	25	13	2	0	24	5	0	19	22	110
Unrestricted Aid	0	0	323	0	0	31	6	2	151	2	2	207	724
Budget Balance Reduction	0	0	0	0	0	0	0	(750)	(750)	(1,000)	(750)	(4,750)	(8,000)
All Other	(38)	2	211	10	12	46	(135)	60	76	57	91	1,505	1,897
Total Local Assistance	1,139	5,528	6,378	2,840	2,444	3,933	2,522	3,213	4,394	1,187	2,883	9,751	46,212
Personal Service	894	691	565	739	663	836	600	594	757	667	666	1,251	8,923
Non-Personal Service	313	195	165	(507)	222	261	168	271	28	250	249	682	2,297
Total State Operations	1,207	886	730	232	885	1,097	768	865	785	917	915	1,933	11,220
General State Charges	460	331	2,512	335	272	476	393	340	390	447	518	525	6,999
Debt Service	32	(2)	4	83	(4)	(22)	74	0	(2)	176	(23)	(8)	308
Capital Projects	(800)	204	343	510	566	246	(55)	116	460	548	524	672	3,334
SUNY Operations	0	0	759	62	30	14	44	76	17	17	22	232	1,273
Other Purposes	143	23	211	30	78	42	256	47	64	27	20	590	1,531
Total Transfers to Other Funds	(625)	225	1,317	685	670	280	319	239	539	768	543	1,486	6,446
TOTAL DISBURSEMENTS	2,181	6,970	10,937	4,092	4,271	5,786	4,002	4,657	6,108	3,319	4,859	13,695	70,877
Excess/(Deficiency) of Receipts over Disbursements	1,138	(2,772)	(446)	7,519	(860)	1,919	659	(2,130)	562	1,418	(5,055)	(3,659)	(1,707)
CLOSING BALANCE	10,082	7,310	6,864	14,383	13,523	15,442	16,101	13,971	14,533	15,951	10,896	7,237	7,237
Exclude Budget Balance Reduction	0	0	0	0	0	0	0	(750)	(750)	(1,000)	(750)	(4,750)	(8,000)
Exclude Liquidity Financing	0	(1,000)	(3,500)	475	425	950	600	600	600	876	0	(444)	(118)
CLOSING BALANCE BEFORE REDUCTIONS/BORROWING	10,082	6,310	2,364	10,358	9,923	12,792	14,051	11,771	12,183	13,477	7,672	(881)	(881)

**CASHFLOW
STATE OPERATING FUNDS
FY 2021**
(dollars in millions)

	2020 April Results	May Results	June Results	July Results	August Results	September Results	October Projected	November Projected	December Projected	2021 January Projected	February Projected	March Projected	Total
OPENING BALANCE	14,407	16,171	13,542	14,605	22,666	22,416	22,583	24,381	23,023	21,975	25,608	25,056	14,407
RECEIPTS:													
Personal Income Tax	2,066	2,200	4,368	10,230	2,724	5,271	2,886	1,882	3,830	7,260	2,910	3,419	0
Consumption/Use Taxes	1,009	890	1,342	1,276	1,263	1,683	1,308	1,014	1,064	998	930	1,097	0
Business Taxes	378	(117)	1,133	665	1,133	1,556	219	309	1,821	41	(33)	2,251	0
Other Taxes	131	100	187	202	113	162	186	190	191	168	166	151	0
Total Taxes	3,584	3,073	7,042	12,373	4,238	8,672	4,599	3,395	6,906	8,467	3,973	6,918	73,240
Abandoned Property	0	0	0	0	25	85	10	150	0	0	30	150	0
ABC License Fee	2	3	5	5	5	5	5	6	5	8	7	6	60
HCRA	481	397	445	389	394	463	446	432	476	463	399	361	5,146
Investment Income	16	6	2	1	1	2	10	8	8	7	8	10	79
Licenses, Fees, etc.	24	5	21	54	93	233	35	40	35	15	25	29	378
Lottery	157	143	174	202	196	233	240	223	283	231	243	224	2,949
Medical	91	74	67	65	72	63	74	74	74	74	74	73	875
Motor Vehicle Fees	(80)	(38)	138	133	29	58	46	33	70	52	39	71	0
Reimbursements	7	66	30	1	24	(83)	9	9	9	9	9	(16)	0
State University Income	445	336	402	317	339	653	858	308	219	501	621	163	124
Extraordinary Settlements	80	220	0	150	0	0	0	0	0	0	0	0	5,162
Other Transactions	208	1,110	3,852	285	221	474	430	287	369	349	743	(1,873)	600
Total Miscellaneous Receipts	1,431	2,322	5,134	1,602	1,399	1,955	2,213	1,720	1,548	1,709	2,198	(802)	22,429
Federal Receipts	0	0	4	(4)	3	49	9	0	0	(24)	2	12	51
TOTAL RECEIPTS	5,015	5,395	12,180	13,971	5,640	10,676	6,821	5,115	8,454	10,152	6,173	6,128	95,720
DISBURSEMENTS:													
School Aid	724	4,059	2,071	53	744	3,754	927	1,753	2,241	2,241	896	9,052	26,907
Higher Education	5	1	1,333	39	59	22	250	147	172	25	25	1,177	3,515
All Other Education	18	5	18	484	52	84	0	10	493	38	214	701	2,274
STAR	0	0	0	0	0	0	0	0	35	2,018	0	10	2,073
Medicaid - DOH	817	1,767	2,489	2,012	1,861	2,000	1,635	1,994	1,199	1,606	1,718	930	19,978
Public Health	36	34	167	273	273	231	73	158	202	115	137	192	1,689
Mental Hygiene	57	37	143	243	45	332	244	48	810	102	497	671	3,229
Children and Families	16	2	11	328	4	231	27	194	356	145	168	352	1,834
Temporary & Disability Assistance	63	156	57	249	53	202	61	105	105	105	107	153	1,416
Transportation	62	42	19	727	358	243	254	555	804	62	110	556	3,792
Unrestricted Aid	0	0	323	0	0	31	6	2	151	2	2	207	724
Budget Balance Reduction	0	0	0	0	0	0	0	(750)	(750)	(1,000)	(750)	(4,750)	(8,000)
All Other	(12)	16	225	33	73	84	(115)	127	150	138	168	595	1,482
Total Local Assistance	1,786	6,119	6,806	4,441	3,320	7,214	3,413	4,453	5,968	3,989	3,552	9,846	60,913
Personal Service	1,495	1,085	956	1,114	990	1,443	980	1,020	1,217	1,077	1,087	1,429	13,893
Non-Personal Service	543	372	336	(308)	384	529	410	506	268	493	516	634	4,683
Total State Operations	2,038	1,457	1,292	806	1,374	1,972	1,390	1,526	1,485	1,570	1,603	2,063	18,576
General State Charges	512	371	2,583	387	344	592	456	480	532	527	594	687	8,065
Debt Service	36	24	29	11	337	842	40	31	1,230	11	879	6,884	10,354
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL DISBURSEMENTS	4,372	7,971	10,710	5,645	5,375	10,620	5,305	6,490	9,215	6,097	6,628	19,480	97,908
OTHER FINANCING SOURCES (USES):													
Transfers from other funds	1,939	1,675	3,795	5,368	1,327	3,218	2,812	660	2,825	2,886	(1,845)	7,660	31,834
Transfers to other funds	(818)	(1,728)	(4,202)	(5,633)	(1,842)	(3,107)	(2,530)	(643)	(3,112)	(3,308)	1,748	(7,153)	(486)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	0	(31,842)
NET OTHER FINANCING SOURCES/(USES)	1,121	(53)	(407)	(265)	(515)	111	282	17	(287)	(422)	(97)	507	(8)
Excess/(Deficiency) of Receipts over Disbursements	1,764	(2,629)	1,063	8,061	(250)	167	1,798	(1,358)	(1,048)	3,633	(552)	(12,845)	(2,196)
CLOSING BALANCE	16,171	13,542	14,605	22,666	22,416	22,583	24,381	23,023	21,975	25,608	25,056	12,211	12,211
Exclude Budget Balance Reduction	0	0	0	0	0	0	0	(750)	(750)	(1,000)	(750)	(4,750)	(8,000)
Exclude Liquidity Financing	0	(1,000)	(3,500)	0	0	0	0	0	1,000	0	0	3,382	(118)
CLOSING BALANCE BEFORE REDUCTIONS/BORROWING	16,171	12,542	10,105	18,166	17,916	18,083	19,881	17,773	16,975	19,608	18,306	4,093	4,093

CASHFLOW
ALL GOVERNMENTAL FUNDS
FY 2021
(dollars in millions)

	2020 April Results	May Results	June Results	July Results	August Results	September Results	October Projected	November Projected	December Projected	2021 January Projected	February Projected	March Projected	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	14,283	20,543	17,649	20,624	28,288	27,457	25,800	26,950	24,164	22,462	25,602	24,980		14,283
RECEIPTS:														
Personal Income Tax	2,066	2,200	4,368	10,230	2,724	5,271	2,886	1,882	3,830	7,260	2,910	3,419	0	49,046
Consumption/Use Taxes	1,045	916	1,389	1,319	1,312	1,744	1,357	1,057	1,115	1,040	967	1,143	0	14,404
Business Taxes	416	(96)	1,193	718	181	1,614	279	360	1,874	95	10	2,301	0	8,945
Other Taxes	131	100	199	213	125	174	198	202	203	180	178	163	0	2,066
Total Taxes	3,658	3,120	7,149	12,480	4,342	8,803	4,720	3,501	7,022	8,575	4,065	7,026	0	74,461
Abandoned Property	0	0	0	0	25	85	10	150	0	0	30	150	0	450
ABC License Fee	2	3	445	389	394	463	446	432	476	463	399	361	0	5,146
HCRA	16	2	1	8	1	10	2	8	7	7	8	10	0	79
Investment Income	24	5	21	54	93	2	35	40	35	15	25	29	0	378
Licenses, Fees, etc.	157	143	174	202	196	233	240	223	283	231	243	224	0	2,549
Lottery	91	74	67	65	72	63	74	74	74	74	74	73	0	875
Medical	(80)	(38)	138	133	29	58	46	33	70	52	39	71	0	551
Motor Vehicle Fees	7	66	30	1	24	(83)	59	9	9	9	9	(16)	0	124
Reimbursements	445	336	402	317	339	653	858	308	219	501	621	163	0	5,162
State University Income	80	220	0	150	0	0	0	150	0	0	0	0	0	600
Extraordinary Settlements	1,413	1,228	4,277	661	372	964	1,405	1,133	1,418	586	1,782	(429)	0	14,810
Other Transactions	2,636	2,440	5,559	1,978	1,590	2,445	3,188	2,566	2,597	1,946	3,237	642	0	30,784
Total Miscellaneous Receipts	10,864	4,206	7,519	5,424	4,592	11,335	5,732	5,255	7,574	5,393	6,311	10,053	0	83,058
Federal Receipts	17,158	9,766	20,227	19,882	10,484	21,383	13,640	11,322	17,193	15,914	13,613	17,721	0	188,303
TOTAL RECEIPTS														
DISBURSEMENTS:														
School Aid	1,063	4,116	2,539	249	893	4,009	1,119	1,993	2,555	1,025	1,286	10,013	0	30,860
Higher Education	5	1	1,333	39	59	22	250	147	172	25	285	1,177	0	3,515
All Other Education	74	16	106	523	118	124	168	206	556	101	285	964	0	3,241
STAR	0	0	0	0	0	0	0	10	35	2,018	0	10	0	2,073
Medical - DOH	5,883	5,481	6,348	5,715	5,664	7,154	5,390	6,295	5,931	5,974	6,957	6,728	0	73,520
Public Health	133	217	508	418	277	746	271	320	538	285	281	571	0	4,565
Mental Hygiene	63	49	164	269	347	260	347	63	828	117	523	739	0	3,487
Children and Families	70	6	19	333	158	519	141	252	414	203	226	411	0	2,752
Temporary & Disability Assistance	129	168	293	432	151	847	289	386	562	357	368	940	0	4,922
Transportation	90	91	76	838	511	619	774	632	1,060	141	187	798	0	5,817
Unrestricted Aid	0	0	323	0	0	31	6	2	151	2	2	207	0	724
Budget Balance Reduction	0	0	0	0	0	0	0	(750)	(750)	(1,000)	(750)	(4,750)	0	(8,000)
All Other	150	135	536	417	329	4,054	865	342	384	391	448	938	0	8,989
Total Local Assistance	7,660	10,280	12,245	9,233	8,225	18,472	9,533	9,898	12,436	9,639	10,098	18,746	0	136,465
Personal Service	1,570	1,136	1,117	1,279	1,054	1,527	1,110	1,121	1,311	1,121	1,135	1,499	0	14,980
Non-Personal Service	584	416	504	577	605	757	651	1,688	2,547	723	675	706	0	10,433
Total State Operations	2,154	1,552	1,621	1,856	1,659	2,284	1,761	2,809	3,858	1,844	1,810	2,205	0	25,413
General State Charges	535	395	2,622	471	415	615	541	564	553	554	623	733	0	8,621
Debt Service	36	24	29	11	337	842	40	31	1,242	11	879	7,016	0	10,498
Capital Projects	510	406	679	643	667	708	608	802	794	721	944	1,358	0	8,840
TOTAL DISBURSEMENTS	10,895	12,657	17,196	12,214	11,303	22,921	12,483	14,104	18,883	12,769	14,354	30,058	0	189,837
OTHER FINANCING SOURCES (USES):														
Transfers from other funds	1,134	1,873	4,155	5,892	1,893	3,468	2,762	781	3,290	3,439	(1,300)	8,647	(486)	35,548
Transfers to other funds	(1,137)	(1,876)	(4,211)	(5,896)	(1,905)	(3,587)	(2,769)	(785)	(3,302)	(3,444)	1,419	(8,492)	486	(35,499)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	365	0	365
NET OTHER FINANCING SOURCES/(USES)	(3)	(3)	(56)	(4)	(12)	(119)	(7)	(4)	(12)	(5)	119	520	0	414
Excess/(Deficiency) of Receipts over Disbursements	6,260	(2,894)	2,975	7,664	(831)	(1,657)	1,150	(2,786)	(1,702)	3,140	(622)	(11,817)	0	(1,120)
CLOSING BALANCE	20,543	17,649	20,624	28,288	27,457	25,800	26,950	24,164	22,462	25,602	24,980	13,163	0	13,163
Exclude Budget Balance Reduction	0	0	0	0	0	0	0	(750)	(750)	(1,000)	(750)	(4,750)	0	(8,000)
Exclude Liquidity Financing	0	(1,000)	(3,500)	0	0	0	0	0	1,000	0	0	3,382	0	(118)
CLOSING BALANCE BEFORE REDUCTIONS/BORROWING	20,543	16,649	16,124	23,788	22,957	21,300	22,450	18,914	17,462	19,602	18,230	5,045	0	5,045

**CASHFLOW
SPECIAL REVENUE FUNDS
FY 2021**
(dollars in millions)

	2020		2021												Intra-Fund Transfer Eliminations	Total
	April Results	May Results	June Results	July Results	August Results	September Results	October Projected	November Projected	December Projected	January Projected	February Projected	March Projected				
OPENING BALANCE	6,311	11,224	11,140	14,472	13,233	12,870	9,510	10,059	8,183	6,599	6,424	6,655		6,311		
RECEIPTS:																
Personal Income Tax	0	0	0	0	0	0	0	10	35	2,018	0	10	0	2,073		
Consumption/Use Taxes	156	106	149	152	146	170	143	113	139	121	99	89	0	1,583		
Business Taxes	98	8	220	174	55	274	72	84	347	66	46	418	0	1,862		
Other Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0	0		
Total Taxes	254	114	369	326	201	444	215	207	521	2,205	145	517	0	5,518		
HCR	481	397	445	389	394	463	446	432	476	463	399	361	0	5,146		
State University Income	445	336	402	317	339	653	858	308	219	501	621	163	0	5,162		
Lottery	157	143	174	202	196	233	240	223	283	231	243	224	0	2,549		
Medicaid	91	74	67	65	74	63	74	74	74	74	74	73	0	875		
Motor Vehicle Fees	20	11	11	23	16	15	19	22	24	21	19	19	0	220		
Other Transactions	167	148	248	228	187	373	401	269	293	321	709	(1,903)	0	1,441		
Total Miscellaneous Receipts	1,361	1,109	1,347	1,224	1,204	1,800	2,038	1,328	1,369	1,611	2,065	(1,063)	0	15,393		
Federal Receipts	10,778	4,104	7,351	5,215	4,405	9,934	5,563	5,070	7,336	5,218	6,094	9,734	0	80,802		
TOTAL RECEIPTS	12,393	5,327	9,067	6,765	5,810	12,178	7,816	6,605	9,226	9,034	8,304	9,188	0	101,713		
DISBURSEMENTS:																
School Aid	328	92	761	196	149	2,544	334	238	312	390	388	724	0	6,456		
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0	0		
All Other Education	55	11	87	38	65	40	112	59	59	59	67	243	0	895		
STAR	0	0	0	0	0	0	0	10	35	2,018	0	10	0	2,073		
Medicaid - DOH	5,595	4,189	3,940	4,527	4,228	5,675	4,172	4,765	5,206	4,884	5,593	6,275	0	59,049		
Public Health	101	150	383	161	183	683	195	225	385	178	192	355	0	3,191		
Mental Hygiene	2	12	12	24	11	12	11	10	13	7	14	29	0	157		
Children and Families	54	4	8	5	154	288	114	59	59	59	59	922	0	922		
Temporary & Disability Assistance	66	12	236	173	94	640	218	281	447	252	251	774	0	3,444		
Transportation	65	44	23	708	349	246	260	548	816	79	108	550	0	3,796		
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0	0		
All Other	111	79	173	288	109	3,858	854	129	130	141	130	(853)	0	5,149		
Total Local Assistance	6,377	4,593	5,623	6,120	5,342	13,986	6,270	6,324	7,462	8,067	6,802	8,166	0	85,132		
Personal Service	676	445	552	540	391	691	510	527	554	454	469	248	0	6,057		
Non-Personal Service	271	221	326	1,078	380	488	483	1,414	2,518	473	421	19	0	8,092		
Total State Operations	947	666	878	1,618	771	1,179	993	1,941	3,072	927	890	267	0	14,149		
General State Charges	75	64	110	136	143	139	148	224	163	107	105	208	0	1,622		
Debt Service	0	0	0	0	0	0	0	0	12	0	0	132	0	144		
Capital Projects	0	0	0	0	2	0	0	0	0	0	0	0	0	2		
TOTAL DISBURSEMENTS	7,399	5,323	6,611	7,874	6,258	15,304	7,411	8,489	10,709	9,101	7,797	8,773	0	101,049		
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	223	42	896	136	116	206	384	147	96	68	63	914	(486)	2,805		
Transfers to Other Funds	(304)	(130)	(20)	(266)	(31)	(440)	(240)	(139)	(197)	(176)	(339)	(1,227)	486	(3,023)		
NET OTHER FINANCING SOURCES/(USES)	(81)	(88)	876	(130)	85	(234)	144	8	(101)	(108)	(276)	(313)	0	(218)		
Excess/(Deficiency) of Receipts over Disbursements	4,913	(84)	3,332	(1,239)	(363)	(3,360)	549	(1,876)	(1,584)	(175)	231	102	0	446		
CLOSING BALANCE	11,224	11,140	14,472	13,233	12,870	9,510	10,059	8,183	6,599	6,424	6,655	6,757	0	6,757		

CASHFLOW
SPECIAL REVENUE STATE FUNDS
FY 2021
(dollars in millions)

	2020 April Results	May Results	June Results	July Results	August Results	September Results	October Projected	November Projected	December Projected	2021 January Projected	February Projected	March Projected	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	5,400	5,696	5,710	7,246	6,680	6,749	4,804	5,855	5,481	5,023	5,286	5,943		5,400
RECEIPTS:														
Personal Income Tax	0	0	0	0	0	0	0	10	35	2,018	0	10	0	2,073
Consumption/Use Taxes	156	106	149	152	146	139	143	113	139	121	99	89	0	1,583
Business Taxes	98	8	220	174	55	274	72	84	347	66	46	418	0	1,862
Other Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Taxes	254	114	369	326	201	444	215	207	521	2,205	145	517	0	5,518
HICRA	481	397	445	389	394	463	446	432	476	463	399	361	0	5,146
State University Income	445	336	402	317	339	653	858	308	219	501	621	163	0	5,162
Lottery	157	143	174	202	196	233	240	223	283	231	243	224	0	2,549
Medicaid	91	74	67	65	74	74	74	74	74	74	74	74	0	875
Motor Vehicle Fees	20	11	11	23	16	15	19	22	24	21	19	19	0	220
Other Transactions	152	94	239	218	170	364	400	259	282	310	699	(1,980)	0	1,207
Total Miscellaneous Receipts	1,346	1,055	1,338	1,214	1,187	1,791	2,037	1,318	1,358	1,600	2,055	(1,140)	0	15,159
Federal Receipts	0	0	4	(4)	3	25	9	0	0	(24)	0	(36)	0	(23)
TOTAL RECEIPTS	1,600	1,169	1,711	1,536	1,391	2,260	2,261	1,525	1,879	3,781	2,200	(659)	0	20,654
DISBURSEMENTS:														
School Aid	0	35	297	0	0	2,289	147	63	63	63	63	(167)	0	2,853
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	0	0	0	0	0	1	3	0	0	0	0	9	0	13
STAR	0	0	0	0	0	0	0	10	35	2,018	0	0	0	2,073
Medicaid - DOH	529	475	31	824	425	521	417	464	474	516	354	477	0	5,507
Public Health	30	25	67	51	45	191	55	104	127	61	83	139	0	978
Mental Hygiene	0	0	0	1	0	0	1	0	1	0	0	3	0	6
Children and Families	0	0	0	0	0	0	0	1	1	1	1	0	0	4
Temporary & Disability Assistance	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Transportation	62	42	19	702	345	241	254	531	799	62	91	534	0	3,682
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	26	14	14	23	61	38	20	67	74	81	77	(910)	0	(415)
Total Local Assistance	647	591	428	1,601	876	3,281	897	1,240	1,574	2,802	669	95	0	14,701
Personal Service	601	394	391	375	327	607	380	426	460	410	421	178	0	4,970
Non-Personal Service	230	177	158	193	159	260	232	239	239	243	262	(53)	0	2,342
Total State Operations	831	571	549	568	486	867	622	658	699	653	683	125	0	7,312
General State Charges	52	40	71	52	72	116	63	140	142	80	76	162	0	1,066
Debt Service	0	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL DISBURSEMENTS	1,530	1,202	1,048	2,221	1,434	4,264	1,582	2,038	2,415	3,535	1,428	382	0	23,079
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	223	42	896	136	116	206	384	147	96	68	63	914	(486)	2,805
Transfers to Other Funds	3	5	(23)	(17)	(4)	(147)	(12)	(8)	(78)	(51)	(178)	(900)	486	(864)
NET OTHER FINANCING SOURCES/(USES)	226	47	873	119	112	59	372	139	18	17	(115)	14	0	1,941
Excess/(Deficiency) of Receipts over Disbursements	296	14	1,536	(566)	69	(1,945)	1,051	(374)	(458)	263	657	(1,027)	0	(484)
CLOSING BALANCE	5,696	5,710	7,246	6,680	6,749	4,804	5,855	5,481	5,023	5,286	5,943	4,916	0	4,916

CASHFLOW
SPECIAL REVENUE FEDERAL FUNDS
FY 2021
(dollars in millions)

	2020 April Results	May Results	June Results	July Results	August Results	September Results	October Projected	November Projected	December Projected	2021 January Projected	February Projected	March Projected	Total
OPENING BALANCE	911	5,528	5,430	7,226	6,553	6,121	4,706	4,204	2,702	1,576	1,138	712	911
RECEIPTS:													
Miscellaneous Receipts	15	54	9	10	17	9	1	10	11	11	10	77	234
Federal Receipts	10,778	4,104	7,347	5,219	4,402	9,909	5,554	5,070	7,336	5,242	6,094	9,770	80,825
TOTAL RECEIPTS	10,793	4,158	7,356	5,229	4,419	9,918	5,555	5,080	7,347	5,253	6,104	9,847	81,059
DISBURSEMENTS:													
School Aid	328	57	464	196	149	255	187	175	249	327	325	891	3,603
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	55	11	87	38	65	39	109	59	59	59	67	234	882
STAR	0	0	0	0	0	0	0	0	0	0	0	0	0
Medicaid - DOH	5,066	3,714	3,909	3,703	3,803	5,154	3,755	4,301	4,732	4,368	5,239	5,798	53,542
Public Health	71	125	316	110	138	492	140	121	258	117	109	216	2,213
Mental Hygiene	2	12	12	23	11	12	10	10	12	7	14	26	151
Children and Families	54	4	8	5	154	288	114	58	58	58	58	59	918
Temporary & Disability Assistance	66	12	236	173	94	640	218	281	447	252	251	774	3,444
Transportation	3	2	4	6	4	5	6	17	17	17	17	16	114
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	85	65	159	265	48	3,820	834	62	56	60	53	57	5,564
Total Local Assistance	5,730	4,002	5,195	4,519	4,466	10,705	5,373	5,084	5,888	5,265	6,133	8,071	70,431
Personal Service	75	51	161	165	64	84	130	101	94	44	48	70	1,087
Non-Personal Service	41	44	168	885	221	228	241	1,182	2,279	230	159	72	5,750
Total State Operations	116	95	329	1,050	285	312	371	1,283	2,373	274	207	142	6,837
General State Charges	23	24	39	84	71	23	85	84	21	27	29	46	556
Debt Service	0	0	0	0	0	0	0	0	12	0	0	132	144
Capital Projects	0	0	0	0	2	0	0	0	0	0	0	0	2
TOTAL DISBURSEMENTS	5,869	4,121	5,563	5,653	4,824	11,040	5,829	6,451	8,294	5,566	6,369	8,391	77,970
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	0	0	0	0	0	0	0	0	0	0	0	0	0
Transfers to Other Funds	(307)	(135)	3	(249)	(27)	(293)	(228)	(131)	(179)	(125)	(161)	(327)	(2,159)
NET OTHER FINANCING SOURCES/(USES)	(307)	(135)	3	(249)	(27)	(293)	(228)	(131)	(179)	(125)	(161)	(327)	(2,159)
Excess/(Deficiency) of Receipts over Disbursements	4,617	(98)	1,796	(673)	(432)	(1,415)	(502)	(1,502)	(1,126)	(438)	(426)	1,129	930
CLOSING BALANCE	5,528	5,430	7,226	6,553	6,121	4,706	4,204	2,702	1,576	1,138	712	1,841	1,841

**CASHFLOW
DEBT SERVICE FUNDS
FY 2021
(dollars in millions)**

	2020		2021											
	April Results	May Results	June Results	July Results	August Results	September Results	October Projected	November Projected	December Projected	January Projected	February Projected	March Projected	Total	
OPENING BALANCE	63	393	522	495	1,603	2,144	2,337	2,425	3,571	2,419	4,371	8,217	63	
RECEIPTS:														
Personal Income Tax	1,033	1,100	2,184	5,115	1,362	2,636	1,443	941	1,915	3,630	1,455	1,709	24,523	
Consumption/Use Taxes	394	370	572	530	536	709	557	428	440	408	400	506	5,850	
Other Taxes	57	48	39	53	56	70	84	88	89	88	87	73	832	
Total Taxes	1,484	1,518	2,795	5,698	1,954	3,415	2,084	1,457	2,444	4,126	1,942	2,288	31,205	
Miscellaneous Receipts	48	13	43	46	46	36	15	20	21	30	24	32	374	
Federal Receipts	0	0	0	0	0	24	0	0	0	0	2	48	74	
TOTAL RECEIPTS	1,532	1,531	2,838	5,744	2,000	3,475	2,099	1,477	2,465	4,156	1,968	2,368	31,653	
DISBURSEMENTS:														
State Operations	0	0	13	6	3	8	0	3	1	0	5	5	44	
Debt Service	36	24	29	11	337	842	40	31	1,230	11	879	6,884	10,354	
TOTAL DISBURSEMENTS	36	24	42	17	340	850	40	34	1,231	11	884	6,889	10,398	
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	280	130	39	312	49	248	228	99	169	296	293	1,129	3,272	
Transfers to Other Funds	(1,446)	(1,508)	(2,862)	(4,931)	(1,168)	(2,680)	(2,199)	(396)	(2,555)	(2,489)	2,469	(4,767)	(24,532)	
NET OTHER FINANCING SOURCES/(USES)	(1,166)	(1,378)	(2,823)	(4,619)	(1,119)	(2,432)	(1,971)	(297)	(2,386)	(2,193)	2,762	(3,638)	(21,260)	
Excess/(Deficiency) of Receipts over Disbursements	330	129	(27)	1,108	541	193	88	1,146	(1,152)	1,952	3,846	(8,159)	(5)	
CLOSING BALANCE	393	522	495	1,603	2,144	2,337	2,425	3,571	2,419	4,371	8,217	58	58	
Exclude Liquidity Financing	0	0	0	(475)	(425)	(950)	(600)	(600)	400	(876)	0	3,526	0	
CLOSING BALANCE BEFORE REDUCTIONS/BORROWING	393	522	495	1,128	1,244	487	(25)	521	(231)	845	4,691	58	58	

**CASHFLOW
CAPITAL PROJECTS FUNDS
FY 2021
(dollars in millions)**

	2020 April Results	May Results	June Results	July Results	August Results	September Results	October Projected	November Projected	December Projected	2021 January Projected	February Projected	March Projected	Total
OPENING BALANCE	(1,035)	(1,156)	(1,323)	(1,207)	(931)	(1,080)	(1,489)	(1,635)	(1,561)	(1,089)	(1,144)	(788)	(1,035)
RECEIPTS:													
Consumption/Use Taxes	36	26	47	43	44	61	49	43	51	42	37	46	525
Business Taxes	38	21	48	53	48	58	53	51	53	54	43	50	577
Other Taxes	0	0	12	11	12	12	12	12	12	12	12	12	119
Total Taxes	74	47	107	107	104	131	121	106	116	108	92	108	1,221
Miscellaneous Receipts	1,190	64	416	366	134	481	974	836	1,038	226	1,029	1,367	8,121
Federal Receipts	86	102	168	209	187	177	169	185	238	175	215	271	2,182
TOTAL RECEIPTS	1,350	213	691	682	425	789	1,264	1,127	1,392	509	1,336	1,746	11,524
DISBURSEMENTS:													
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	1	0	1	1	1	1	2	37	4	4	4	29	85
Public Health	26	58	25	35	68	23	58	41	78	53	35	163	663
Mental Hygiene	4	0	9	3	9	3	6	5	6	8	12	42	107
School Aid	11	0	4	0	0	0	5	65	65	65	65	70	350
Temporary & Disability Assistance	0	0	0	0	4	5	10	0	239	62	10	13	62
Transportation	25	47	53	105	149	371	514	60	239	62	60	226	1,911
All Other Local	77	54	152	119	208	150	146	153	178	193	227	286	1,943
Total Local Assistance	144	159	244	273	439	553	741	361	580	385	413	829	5,121
Economic Development	2	2	13	14	10	12	35	149	119	117	280	280	1,033
Parks & the Environment	54	36	71	64	46	66	75	68	82	67	80	88	797
Transportation	247	232	364	361	312	417	307	347	392	352	360	444	4,135
Health & Social Welfare	5	4	6	2	11	9	4	13	11	11	15	63	156
Mental Hygiene	51	16	42	27	18	40	27	27	23	37	44	81	433
Public Protection	46	31	56	49	69	53	29	43	46	40	35	17	514
Education	53	59	42	61	158	69	143	86	90	83	68	89	1,001
All Other	52	26	85	65	41	42	(12)	69	29	14	62	296	769
Total Capital Projects	510	406	679	643	665	708	608	802	794	721	944	1,358	8,838
TOTAL DISBURSEMENTS	654	565	923	916	1,104	1,261	1,349	1,163	1,374	1,106	1,357	2,187	13,959
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	(805)	198	360	524	566	250	(50)	121	465	553	545	987	3,714
Transfers to Other Funds	(12)	(13)	(12)	(14)	(36)	(187)	(11)	(11)	(11)	(11)	(168)	(1,012)	(1,498)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	365	365
NET OTHER FINANCING SOURCES/(USES)	(817)	185	348	510	530	63	(61)	110	454	542	377	340	2,581
Excess/(Deficiency) of Receipts over Disbursements	(121)	(167)	116	276	(149)	(409)	(146)	74	472	(55)	356	(101)	146
CLOSING BALANCE	(1,156)	(1,323)	(1,207)	(931)	(1,080)	(1,489)	(1,635)	(1,561)	(1,089)	(1,144)	(788)	(889)	(889)

**CASHFLOW
CAPITAL PROJECTS STATE FUNDS
FY 2021
(dollars in millions)**

	2020 April Results	May Results	June Results	July Results	August Results	September Results	October Projected	November Projected	December Projected	2021 January Projected	February Projected	March Projected	Total
OPENING BALANCE	(471)	(598)	(754)	(629)	(390)	(565)	(935)	(1,069)	(1,003)	(581)	(699)	(280)	(471)
RECEIPTS:													
Consumption/Use Taxes	36	26	47	43	44	61	49	43	51	42	37	46	525
Business Taxes	38	21	48	53	48	58	60	51	53	54	43	50	577
Other Taxes	0	0	12	11	12	12	12	12	12	12	12	12	119
Total Taxes	74	47	107	107	104	131	121	106	116	108	92	108	1,221
Miscellaneous Receipts	1,190	64	416	366	134	480	974	836	1,038	226	1,029	1,368	8,121
Federal Receipts	0	0	0	0	0	2	0	0	0	0	0	3	5
TOTAL RECEIPTS	1,264	111	523	473	238	613	1,095	942	1,154	334	1,121	1,479	9,347
DISBURSEMENTS:													
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	1	0	1	1	1	1	2	37	4	4	4	29	85
Public Health	26	58	25	34	68	22	58	28	53	52	35	134	593
Mental Hygiene	4	0	9	3	9	3	6	5	6	8	12	42	107
School Aid	11	0	4	0	0	0	5	65	65	65	65	70	350
Temporary & Disability Assistance	0	0	0	10	4	5	10	0	10	0	10	13	62
Transportation	3	6	17	64	106	318	472	18	197	20	18	191	1,430
All Other Local	77	54	149	119	208	150	128	135	153	163	195	257	1,788
Total Local Assistance	122	118	205	231	396	499	681	288	488	312	339	736	4,415
Economic Development	2	2	13	14	10	12	35	149	119	117	280	280	1,033
Parks & the Environment	53	34	70	62	44	45	75	68	82	67	80	96	776
Transportation	193	165	230	236	198	279	192	247	302	317	350	435	3,144
Health & Social Welfare	4	3	6	2	11	9	4	13	11	11	15	56	145
Mental Hygiene	51	16	42	27	18	40	27	27	23	37	44	81	433
Public Protection	44	29	53	46	67	51	24	40	43	37	37	11	482
Education	53	59	42	61	158	69	143	86	90	83	68	89	1,001
All Other	52	26	85	65	41	42	(13)	68	28	13	66	289	762
Total Capital Projects	452	334	541	513	547	547	487	698	698	682	940	1,337	7,776
TOTAL DISBURSEMENTS	574	452	746	744	943	1,046	1,168	986	1,186	994	1,279	2,073	12,191
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	(805)	198	(12)	524	566	250	(50)	121	465	553	745	1,189	4,116
Transfers to Other Funds	(12)	(13)	(12)	(14)	(36)	(187)	(11)	(11)	(11)	(11)	(168)	(1,012)	(1,498)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	365	365
NET OTHER FINANCING SOURCES/(USES)	(817)	185	348	510	530	63	(61)	110	454	542	577	542	2,983
Excess/(Deficiency) of Receipts over Disbursements	(127)	(156)	125	239	(175)	(370)	(134)	66	422	(118)	419	(52)	139
CLOSING BALANCE	(598)	(754)	(629)	(390)	(565)	(935)	(1,069)	(1,003)	(581)	(699)	(280)	(332)	(332)

CASHFLOW
CAPITAL PROJECTS FEDERAL FUNDS
FY 2021
(dollars in millions)

	2020 April Results	May Results	June Results	July Results	August Results	September Results	October Projected	November Projected	December Projected	2021 January Projected	February Projected	March Projected	Total
OPENING BALANCE	(564)	(558)	(569)	(578)	(541)	(515)	(554)	(566)	(558)	(508)	(445)	(508)	(564)
RECEIPTS:													
Consumption/Use Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Business Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Other Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	0	0	0	0	0	1	0	0	0	0	0	(1)	0
Federal Receipts	86	102	168	209	187	175	169	185	238	175	215	268	2,177
TOTAL RECEIPTS	86	102	168	209	187	176	169	185	238	175	215	267	2,177
DISBURSEMENTS:													
Public Health	0	0	0	1	0	1	0	13	25	1	0	29	70
Transportation	22	41	36	41	43	53	42	42	42	42	42	35	481
All Other Local	0	0	3	0	0	0	18	18	25	30	32	29	155
Total Local Assistance	22	41	39	42	43	54	60	73	92	73	74	93	706
Economic Development	0	0	0	0	0	0	0	0	0	0	0	0	0
Parks & the Environment	1	2	1	2	2	21	0	0	0	0	0	(8)	21
Transportation	54	67	134	125	114	138	115	100	90	35	10	9	991
Health & Social Welfare	1	1	0	0	0	0	0	0	2	0	0	7	11
Mental Hygiene	0	0	0	0	0	0	0	0	0	0	0	0	0
Public Protection	2	2	3	3	2	2	5	3	3	3	(2)	6	32
Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	0	0	0	0	0	0	1	1	1	1	(4)	7	7
Total Capital Projects	58	72	138	130	118	161	121	104	96	39	4	21	1,062
TOTAL DISBURSEMENTS	80	113	177	172	161	215	181	177	188	112	78	114	1,768
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	0	0	0	0	0	0	0	0	0	0	(200)	(202)	(402)
Transfers to Other Funds	0	0	0	0	0	0	0	0	0	0	0	0	0
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES/(USES)	0	0	0	0	0	0	0	0	0	0	(200)	(202)	(402)
Excess/(Deficiency) of Receipts over Disbursements	6	(11)	(9)	37	26	(39)	(12)	8	50	63	(63)	(49)	7
CLOSING BALANCE	(558)	(569)	(578)	(541)	(515)	(554)	(566)	(558)	(508)	(445)	(508)	(557)	(557)

**CASHFLOW
STATE FUNDS
FY 2021**
(dollars in millions)

	2020 April Results	May Results	June Results	July Results	August Results	September Results	October Projected	November Projected	December Projected	2021 January Projected	February Projected	March Projected	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	13,936	15,573	12,788	13,976	22,276	21,851	21,648	23,312	22,020	21,394	24,909	24,776		13,936
RECEIPTS:														
Personal Income Tax	2,066	2,200	4,368	10,230	2,724	5,271	2,886	1,882	3,830	7,260	2,910	3,419	0	49,046
Consumption/Use Taxes	1,045	916	1,389	1,319	1,312	1,744	1,357	1,057	1,115	1,040	967	1,143	0	14,404
Business Taxes	416	(96)	1,139	1,139	1,811	1,614	279	360	1,874	95	10	2,301	0	8,945
Other Taxes	131	100	139	213	125	174	198	202	203	180	178	163	0	2,066
Total Taxes	3,658	3,120	7,149	12,480	4,342	8,893	4,720	3,501	7,022	8,575	4,065	7,026	0	74,461
Abandoned Property	0	0	0	0	25	85	10	150	0	0	30	150	0	450
ABC License Fee	2	3	3	5	5	5	5	6	5	8	7	6	0	60
HCRA	481	397	445	389	394	463	446	432	476	463	399	361	0	5,146
Investment Income	16	6	2	1	1	2	10	8	8	7	8	10	0	79
Licenses, Fees, etc.	24	5	21	54	93	2	35	40	35	15	25	29	0	378
Lottery	157	143	174	202	196	233	240	223	283	231	243	224	0	2,549
Medicaid	91	74	67	65	72	63	74	74	74	74	74	73	0	875
Motor Vehicle Fees	(80)	(38)	138	133	29	58	46	33	70	52	39	71	0	551
Reimbursements	7	66	30	1	24	(83)	59	9	9	9	9	(16)	0	124
State University Income	445	336	402	317	339	653	858	308	219	501	621	163	0	5,162
Extraordinary Settlements	80	220	0	150	0	0	0	150	0	0	0	0	0	600
Other Transactions	1,398	1,174	4,268	651	355	954	1,404	1,123	1,407	575	1,772	(505)	0	14,576
Total Miscellaneous Receipts	2,621	2,386	5,550	1,968	1,533	2,435	3,187	2,556	2,586	1,935	3,227	566	0	30,550
Federal Receipts	0	0	4	(4)	3	51	9	0	0	(24)	2	15	0	56
TOTAL RECEIPTS	6,279	5,506	12,703	14,444	5,878	11,289	7,916	6,057	9,608	10,486	7,294	7,607	0	105,067
DISBURSEMENTS:														
School Aid	735	4,059	2,075	53	744	3,754	932	1,818	2,306	698	961	9,122	0	27,257
Higher Education	5	1	1,333	39	59	22	250	147	172	25	285	1,177	0	3,515
All Other Education	19	5	19	485	53	85	59	147	497	42	218	730	0	2,359
STAR	0	0	0	0	0	0	0	10	35	2,018	0	10	0	2,073
Medicaid - DOH	817	1,767	2,439	2,012	1,861	2,000	1,635	1,994	1,199	1,606	1,718	930	0	19,978
Public Health	62	92	192	307	139	253	131	186	255	167	172	326	0	2,282
Mental Hygiene	61	37	152	246	54	335	250	53	816	110	509	713	0	3,336
Children and Families	16	2	11	328	4	231	27	194	356	145	168	352	0	1,834
Temporary & Disability Assistance	63	156	57	259	57	207	71	105	115	105	117	166	0	1,478
Transportation	65	48	36	791	464	561	726	573	1,001	82	128	747	0	5,222
Unrestricted Aid	0	0	323	0	0	31	6	2	151	2	2	207	0	724
Budget Balance Reduction	0	0	0	0	0	0	0	(750)	(750)	(1,000)	(750)	(4,750)	0	(8,000)
All Other	65	70	374	152	281	234	13	262	303	301	363	852	0	3,270
Total Local Assistance	1,908	6,237	7,011	4,672	3,716	7,713	4,100	4,741	6,456	4,301	3,891	10,582	0	65,328
Personal Service	1,495	1,085	956	1,114	990	1,443	980	1,020	1,217	1,077	1,087	1,429	0	13,893
Non-Personal Service	543	372	336	(308)	384	529	410	506	268	493	516	634	0	4,683
Total State Operations	2,038	1,457	1,292	806	1,374	1,972	1,390	1,526	1,485	1,570	1,605	2,063	0	18,576
General State Charges	512	371	2,583	387	344	592	456	480	532	527	594	687	0	8,065
Debt Service	36	24	29	11	337	842	40	31	1,230	11	879	6,884	0	10,354
Capital Projects	452	334	541	513	547	547	487	698	698	682	940	1,337	0	7,776
TOTAL DISBURSEMENTS	4,946	8,423	11,456	6,389	6,318	11,666	6,473	7,476	10,401	7,091	7,907	21,553	0	110,099
OTHER FINANCING SOURCES (USES):														
Transfers from other funds	1,134	1,873	4,155	5,892	1,893	3,468	2,762	781	3,290	3,439	(1,100)	8,849	(486)	35,950
Transfers to other funds	(830)	(1,741)	(4,214)	(5,647)	(1,878)	(3,294)	(2,541)	(654)	(3,123)	(3,319)	1,580	(6,165)	486	(33,340)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	365	0	365
NET OTHER FINANCING SOURCES/(USES)	304	132	(59)	245	15	174	221	127	167	120	480	1,049	0	2,975
Excess/(Deficiency) of Receipts over Disbursements	1,637	(2,785)	1,188	8,300	(425)	(203)	1,664	(1,292)	(626)	3,515	(133)	(12,897)	0	(2,057)
CLOSING BALANCE	15,573	12,788	13,976	22,276	21,851	21,648	23,312	22,020	21,394	24,909	24,776	11,879	0	11,879
Exclude Budget Balance Reduction	0	0	0	0	0	0	0	(750)	(750)	(1,000)	(750)	(4,750)	0	(8,000)
Exclude Liquidity Financing	0	(1,000)	(3,500)	0	0	0	0	0	1,000	0	0	3,382	0	(118)
CLOSING BALANCE BEFORE REDUCTIONS/BORROWING	15,573	11,788	9,476	17,776	17,351	17,148	18,812	16,770	16,394	18,909	18,026	3,761	0	3,761

CASH FINANCIAL PLAN
HEALTH CARE REFORM ACT RESOURCES FUND
FY 2021
(millions of dollars)

	<u>First Quarter</u>	<u>Change</u>	<u>Mid-Year Update</u>
Opening Fund Balance	<u>16</u>	<u>0</u>	<u>16</u>
Receipts:			
Taxes	689	0	689
Miscellaneous receipts	<u>5,491</u>	<u>(345)</u>	<u>5,146</u>
Total Receipts	<u>6,180</u>	<u>(345)</u>	<u>5,835</u>
Disbursements and Transfers:			
Medical Assistance Account	4,149	(233)	3,916
Hospital Indigent Care Fund	717	0	717
HCRA Program Account	291	1	292
Child Health Plus (CHP)	673	(105)	568
Elderly Pharmaceutical Insurance Coverage (EPIC)	116	0	116
Qualified Health Plan Administration	48	(8)	40
All Other	<u>202</u>	<u>0</u>	<u>202</u>
Total Disbursements and Transfers	<u>6,196</u>	<u>(345)</u>	<u>5,851</u>
Change in Fund Balance	<u>(16)</u>	<u>0</u>	<u>(16)</u>
Closing Fund Balance	<u>0</u>	<u>0</u>	<u>0</u>

CASH FINANCIAL PLAN
HEALTH CARE REFORM ACT RESOURCES FUND
FY 2021 THROUGH FY 2024
(millions of dollars)

	<u>FY 2021</u> <u>Mid-Year</u>	<u>FY 2022</u> <u>Projected</u>	<u>FY 2023</u> <u>Projected</u>	<u>FY 2024</u> <u>Projected</u>
Opening Fund Balance	16	0	0	0
Receipts:				
Taxes	689	647	615	585
Miscellaneous receipts	5,146	5,482	5,574	5,668
Total Receipts	<u>5,835</u>	<u>6,129</u>	<u>6,189</u>	<u>6,253</u>
Disbursements and Transfers:				
Medical Assistance Account	3,916	3,991	4,050	4,106
Hospital Indigent Care	717	717	717	717
HCRA Program Account	292	336	336	336
Child Health Plus	568	745	766	774
Elderly Pharmaceutical Insurance Coverage	116	114	114	114
Qualified Health Plan Administration	40	40	40	40
All Other	202	186	166	166
Total Disbursements and Transfers	<u>5,851</u>	<u>6,129</u>	<u>6,189</u>	<u>6,253</u>
Change in Fund Balance	(16)	0	0	0
Closing Fund Balance	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>

CASH FINANCIAL PLAN
HEALTH CARE REFORM ACT RESOURCES FUND
FY 2020 and FY 2021
(millions of dollars)

	<u>FY 2020</u> <u>Results</u>	<u>FY 2021</u> <u>Mid-Year</u>	<u>Annual</u> <u>Change</u>
Opening Fund Balance	0	16	16
Receipts:			
Taxes	732	689	(43)
Miscellaneous receipts	5,529	5,146	(383)
Total Receipts	<u>6,261</u>	<u>5,835</u>	<u>(426)</u>
Disbursements and Transfers:			
Medical Assistance Account	3,836	3,916	80
Hospital Indigent Care	917	717	(200)
HCRA Program Account	363	292	(71)
Child Health Plus	747	568	(179)
Elderly Pharmaceutical Insurance Coverage	112	116	4
Qualified Health Plan Administration	41	40	(1)
All Other	229	202	(27)
Total Disbursements and Transfers	<u>6,245</u>	<u>5,851</u>	<u>(394)</u>
Change in Fund Balance	16	(16)	(32)
Closing Fund Balance	<u>16</u>	<u>0</u>	<u>(16)</u>

**CASH FLOW
HEALTH CARE REFORM ACT RESOURCES FUND
FY 2020
(dollars in millions)**

	April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	January Projected	February Projected	March Projected	Total
Opening Fund Balance	0	543	283	300	420	137	80	152	223	212	230	216	0
Receipts:													
Taxes	63	59	57	75	64	59	66	58	69	55	45	62	732
Miscellaneous receipts	541	448	436	511	441	473	466	395	456	490	451	421	5,529
Total Receipts	604	507	493	586	505	532	532	453	525	545	496	483	6,261
Disbursements and Transfers:													
Medical Assistance Account	0	600	300	330	551	330	330	330	330	330	347	58	3,836
Hospital Indigent Care	0	123	66	89	59	199	65	0	104	87	62	63	917
HCRA Program Account	33	4	53	15	131	2	24	32	5	31	12	21	363
Child Health Plus	22	21	41	19	23	41	26	3	79	61	38	373	747
Elderly Pharmaceutical Insurance Coverage	5	9	10	10	10	10	10	7	13	12	6	10	112
Qualified Health Plan Administration	0	1	4	1	2	2	4	2	3	3	10	9	41
All Other	1	9	2	2	12	5	1	8	2	3	35	149	229
Total	61	767	476	466	788	589	460	382	536	527	510	683	6,245
Change in Fund Balance	543	(260)	17	120	(283)	(57)	72	71	(11)	18	(14)	(200)	16
Closing Fund Balance	543	283	300	420	137	80	152	223	212	230	216	16	16

**CASH FLOW
HEALTH CARE REFORM ACT RESOURCES FUND
FY 2021
(dollars in millions)**

	April Results	May Results	June Results	July Results	August Results	September Results	October Projected	November Projected	December Projected	January Projected	February Projected	March Projected	Total
Opening Fund Balance	16	96	80	491	186	218	104	145	167	170	171	231	16
Receipts:													
Taxes	69	51	72	68	60	78	56	49	58	48	38	42	689
Miscellaneous receipts	481	397	445	389	394	463	446	432	476	463	399	361	5,146
Total Receipts	550	448	517	457	454	541	502	481	534	511	437	403	5,835
Disbursements and Transfers:													
Medical Assistance Account	375	375	0	675	300	300	300	340	350	392	230	279	3,916
Hospital Indigent Care	63	63	31	31	73	153	51	50	50	50	50	52	717
HCRA Program Account	0	0	0	10	11	103	54	12	25	15	33	29	292
Child Health Plus	26	18	60	33	30	76	32	37	89	34	41	92	568
Elderly Pharmaceutical Insurance Coverage	4	7	10	9	2	15	11	12	11	11	8	16	116
Qualified Health Plan Administration	2	0	3	3	2	3	3	3	2	3	6	10	40
All Other	0	1	2	1	4	5	10	5	4	5	9	156	202
Total	470	464	106	762	422	655	461	459	531	510	377	634	5,851
Change in Fund Balance	80	(16)	411	(305)	32	(114)	41	22	3	1	60	(231)	(16)
Closing Fund Balance	96	80	491	186	218	104	145	167	170	171	231	0	0

**CASH FINANCIAL PLAN
PROPRIETARY AND FIDUCIARY FUNDS
(millions of dollars)**

	FY 2020 Results			FY 2021 Mid-Year			FY 2022 Projected			FY 2023 Projected			FY 2024 Projected		
	Internal Service	Enterprise	Fiduciary	Internal Service	Enterprise	Fiduciary	Internal Service	Enterprise	Fiduciary	Internal Service	Enterprise	Fiduciary	Internal Service	Enterprise	Fiduciary
Opening Fund Balance	(303)	25	14	(298)	30	15	(279)	26	16	17	21	17	(251)	16	18
Receipts:															
Unemployment Taxes	0	2,285	0	0	17,729	0	0	2,450	0	2,450	0	0	0	2,450	0
Miscellaneous Receipts	589	81	1	671	82	1	597	97	1	605	98	1	607	98	1
Federal Receipts	0	17	0	0	42,271	0	0	50	0	0	50	0	0	50	0
Total Receipts	589	2,383	1	671	60,082	1	597	2,597	1	605	2,598	1	607	2,598	1
Disbursements:															
Local Assistance	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
State Operations:															
Personal Service	126	17	0	145	19	0	144	22	0	144	22	0	144	22	0
Non-Personal Service	490	57	0	568	66	0	490	76	0	495	77	0	497	77	0
Unemployment Benefits	0	2,303	0	0	60,000	0	0	2,500	0	0	2,500	0	0	2,500	0
General State Charges	56	2	0	77	3	0	77	6	0	77	6	0	77	6	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	672	2,379	0	790	60,088	0	711	2,604	0	716	2,605	0	718	2,605	0
Other Financing Sources (Uses):															
Transfers from Other Funds	110	1	0	150	3	0	136	3	0	133	3	0	133	3	0
Transfers to Other Funds	(22)	0	0	(12)	(1)	0	(8)	(1)	0	(8)	(1)	0	(8)	(1)	0
	88	1	0	138	2	0	128	2	0	125	2	0	125	2	0
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	5	5	1	19	(4)	1	14	(5)	1	14	(5)	1	14	(5)	1
Closing Fund Balance	(298)	30	15	(279)	26	16	(265)	21	17	(251)	16	18	(237)	11	19

Workforce Impact Summary

General Fund 2018-19 Through 2020-21

	2018-19 Actuals (03/31/19)	2019-20 Actuals (03/31/20)	2020-21 Estimate (03/31/21)
Major Agencies			
Children and Family Services, Office of	2,429	2,315	2,253
Corrections and Community Supervision, Department of	28,769	28,297	27,307
Education Department, State	328	305	279
Environmental Conservation, Department of	1,048	1,121	1,160
General Services, Office of	404	416	409
Health, Department of	1,568	1,572	1,933
Information Technology Services, Office of	3,541	3,377	3,403
Labor, Department of	0	0	1
Mental Health, Office of	13,293	13,348	12,988
Motor Vehicles, Department of	164	164	167
Parks, Recreation and Historic Preservation, Office of	1,303	1,209	1,216
People with Developmental Disabilities, Office for	18,640	18,589	18,187
State Police, Division of	5,367	5,387	5,230
Taxation and Finance, Department of	3,750	3,737	3,386
Temporary and Disability Assistance, Office of	1,011	990	1,014
Transportation, Department of	2,692	2,664	2,555
Subtotal - Major Agencies	84,307	83,491	81,488
Minor Agencies			
Addiction Services and Supports, Office of	714	713	727
Adirondack Park Agency	49	50	54
Aging, Office for the	12	12	18
Agriculture and Markets, Department of	387	400	391
Alcoholic Beverage Control, Division of	108	109	120
Arts, Council on the	28	27	30
Budget, Division of the	238	245	245
Civil Service, Department of	207	223	219
Correction, Commission of	30	39	38
Criminal Justice Services, Division of	380	378	378
Economic Development, Department of	138	139	152
Elections, State Board of	69	68	68
Employee Relations, Office of	66	55	55
Executive Chamber	112	118	136
Gaming Commission, New York State	65	58	56
Higher Education Services Corporation, New York State	0	0	2
Housing and Community Renewal, Division of	35	30	54
Hudson River Valley Greenway Communities Council	0	0	1
Human Rights, Division of	147	154	124
Inspector General, Office of the	81	78	77
Judicial Conduct, Commission on	38	42	40
Justice Center for the Protection of People with Special Needs	413	419	415
Labor Management Committees	68	71	71
Lieutenant Governor, Office of the	5	4	7
Medicaid Inspector General, Office of the	203	205	249
Military and Naval Affairs, Division of	96	93	89
Prevention of Domestic Violence, Office for	17	22	22
Public Employment Relations Board	30	32	31
Public Ethics, Joint Commission on	51	46	48
State, Department of	212	218	225
Statewide Financial System	134	137	145
Tax Appeals, Division of	22	24	27
Veterans' Services, Division of	80	85	90
Welfare Inspector General, Office of	7	6	6
Subtotal - Minor Agencies	4,242	4,300	4,410
Subtotal - Subject to Direct Executive Control	88,549	87,791	85,898
University Systems			
State University of New York	3	3	3
Subtotal - University Systems	3	3	3
Independently Elected Agencies			
Audit and Control, Department of	1,326	1,384	1,394
Law, Department of	1,083	1,050	1,036
Subtotal - Independently Elected Agencies	2,409	2,434	2,430
Grand Total	90,961	90,228	88,331

Workforce Impact Summary

State Operating Funds 2018-19 Through 2020-21

	2018-19 Actuals (03/31/19)	2019-20 Actuals (03/31/20)	2020-21 Estimate (03/31/21)
Major Agencies			
Children and Family Services, Office of	2,486	2,360	2,297
Corrections and Community Supervision, Department of	28,773	28,301	27,311
Education Department, State	1,279	1,236	1,263
Environmental Conservation, Department of	2,128	2,185	2,282
Financial Services, Department of	1,342	1,329	1,392
General Services, Office of	460	475	468
Health, Department of	3,515	3,562	4,090
Information Technology Services, Office of	3,541	3,377	3,403
Labor, Department of	327	334	508
Mental Health, Office of	13,293	13,348	12,988
Motor Vehicles, Department of	661	662	659
Parks, Recreation and Historic Preservation, Office of	1,553	1,419	1,440
People with Developmental Disabilities, Office for	18,640	18,589	18,187
State Police, Division of	5,704	5,701	5,543
Taxation and Finance, Department of	3,806	3,787	4,085
Temporary and Disability Assistance, Office of	1,011	990	1,014
Transportation, Department of	2,729	2,704	2,593
Workers' Compensation Board	1,044	1,081	1,059
Subtotal - Major Agencies	92,292	91,440	90,582
Minor Agencies	6,521	6,693	7,002
Subtotal - Subject to Direct Executive Control	98,813	98,133	97,584
University Systems			
City University of New York	377	392	377
State University of New York	46,447	47,083	46,740
Subtotal - University Systems	46,824	47,475	47,117
Independently Elected Agencies			
Audit and Control, Department of	1,494	1,555	1,565
Law, Department of	1,551	1,513	1,490
Subtotal - Independently Elected Agencies	3,045	3,068	3,055
Grand Total	148,682	148,676	147,756

Workforce Impact Summary

State Operating Funds 2018-19 Through 2020-21

	2018-19 Actuals (03/31/19)	2019-20 Actuals (03/31/20)	2020-21 Estimate (03/31/21)
Minor Agencies			
Addiction Services and Supports, Office of	714	713	727
Adirondack Park Agency	49	50	54
Aging, Office for the	12	12	18
Agriculture and Markets, Department of	422	441	448
Alcoholic Beverage Control, Division of	108	109	120
Arts, Council on the	28	27	30
Budget, Division of the	252	261	261
Civil Service, Department of	211	225	221
Correction, Commission of	30	39	38
Criminal Justice Services, Division of	385	380	380
Deferred Compensation Board	4	4	4
Economic Development, Department of	139	140	153
Elections, State Board of	69	68	68
Employee Relations, Office of	66	55	55
Executive Chamber	112	118	136
Financial Control Board, New York State	10	12	12
Gaming Commission, New York State	397	376	430
Higher Education Services Corporation, New York State	156	145	176
Homeland Security and Emergency Services, Division of	390	452	446
Housing and Community Renewal, Division of	508	549	655
Hudson River Valley Greenway Communities Council	0	0	1
Human Rights, Division of	147	154	124
Indigent Legal Services, Office of	31	30	30
Inspector General, Office of the	81	78	77
Interest on Lawyer Account	9	9	9
Judicial Conduct, Commission on	38	42	40
Justice Center for the Protection of People with Special Needs	425	432	429
Labor Management Committees	68	71	71
Lieutenant Governor, Office of the	5	4	7
Medicaid Inspector General, Office of the	203	205	249
Military and Naval Affairs, Division of	96	93	89
Prevention of Domestic Violence, Office for	17	22	22
Public Employment Relations Board	30	32	31
Public Ethics, Joint Commission on	51	46	48
Public Service Department	489	490	503
State, Department of	479	511	527
Statewide Financial System	134	137	145
Tax Appeals, Division of	22	24	27
Veterans' Services, Division of	80	85	90
Victim Services, Office of	47	46	45
Welfare Inspector General, Office of	7	6	6
Subtotal - Minor Agencies	6,521	6,693	7,002

Workforce Impact Summary

State Funds
2018-19 Through 2020-21

	2018-19 Actuals (03/31/19)	2019-20 Actuals (03/31/20)	2020-21 Estimate (03/31/21)
Major Agencies			
Children and Family Services, Office of	2,552	2,426	2,366
Corrections and Community Supervision, Department of	28,800	28,331	27,341
Education Department, State	1,279	1,300	1,263
Environmental Conservation, Department of	2,717	2,749	2,898
Financial Services, Department of	1,342	1,329	1,392
General Services, Office of	881	878	854
Health, Department of	3,639	3,698	4,222
Information Technology Services, Office of	3,566	3,423	3,448
Labor, Department of	327	334	508
Mental Health, Office of	13,846	13,921	13,671
Motor Vehicles, Department of	2,324	2,977	2,855
Parks, Recreation and Historic Preservation, Office of	1,988	1,924	1,947
People with Developmental Disabilities, Office for	19,024	18,973	18,572
State Police, Division of	5,784	5,785	5,624
Taxation and Finance, Department of	3,806	3,787	4,085
Temporary and Disability Assistance, Office of	1,017	997	1,022
Transportation, Department of	8,336	8,392	8,118
Workers' Compensation Board	1,044	1,081	1,059
Subtotal - Major Agencies	102,272	102,305	101,245
Minor Agencies	6,594	6,750	7,068
Subtotal - Subject to Direct Executive Control	108,866	109,055	108,313
University Systems			
City University of New York	377	392	377
State University Construction Fund	142	141	133
State University of New York	46,447	47,083	46,740
Subtotal - University Systems	46,966	47,616	47,250
Independently Elected Agencies			
Audit and Control, Department of	1,499	1,557	1,565
Law, Department of	1,555	1,516	1,493
Subtotal - Independently Elected Agencies	3,054	3,073	3,058
Grand Total	158,886	159,744	158,621

Workforce Impact Summary

State Funds
2018-19 Through 2020-21

	2018-19 Actuals (03/31/19)	2019-20 Actuals (03/31/20)	2020-21 Estimate (03/31/21)
Minor Agencies			
Addiction Services and Supports, Office of	728	724	737
Adirondack Park Agency	49	50	54
Aging, Office for the	12	12	18
Agriculture and Markets, Department of	435	441	462
Alcoholic Beverage Control, Division of	108	109	120
Arts, Council on the	28	27	30
Budget, Division of the	252	261	261
Civil Service, Department of	211	225	221
Correction, Commission of	30	39	38
Criminal Justice Services, Division of	385	380	380
Deferred Compensation Board	4	4	4
Economic Development, Department of	139	140	153
Elections, State Board of	69	68	68
Employee Relations, Office of	66	55	55
Executive Chamber	112	118	136
Financial Control Board, New York State	10	12	12
Gaming Commission, New York State	397	376	430
Higher Education Services Corporation, New York State	156	145	176
Homeland Security and Emergency Services, Division of	390	452	446
Housing and Community Renewal, Division of	508	549	655
Hudson River Valley Greenway Communities Council	0	0	1
Human Rights, Division of	147	154	124
Indigent Legal Services, Office of	31	30	30
Inspector General, Office of the	81	78	77
Interest on Lawyer Account	9	9	9
Judicial Conduct, Commission on	38	42	40
Justice Center for the Protection of People with Special Needs	425	432	429
Labor Management Committees	68	71	71
Lieutenant Governor, Office of the	5	4	7
Medicaid Inspector General, Office of the	203	205	249
Military and Naval Affairs, Division of	142	139	131
Prevention of Domestic Violence, Office for	17	22	22
Public Employment Relations Board	30	32	31
Public Ethics, Joint Commission on	51	46	48
Public Service Department	489	490	503
State, Department of	479	511	527
Statewide Financial System	134	137	145
Tax Appeals, Division of	22	24	27
Veterans' Services, Division of	80	85	90
Victim Services, Office of	47	46	45
Welfare Inspector General, Office of	7	6	6
Subtotal - Minor Agencies	6,594	6,750	7,068

Workforce Impact Summary

All Funds

2018-19 Through 2020-21

	2018-19 Actuals (03/31/19)	2019-20 Actuals (03/31/20)	2020-21 Estimate (03/31/21)
Major Agencies			
Children and Family Services, Office of	3,008	2,889	2,856
Corrections and Community Supervision, Department of	29,117	28,651	27,647
Education Department, State	2,606	2,680	2,692
Environmental Conservation, Department of	2,996	3,017	3,162
Financial Services, Department of	1,342	1,329	1,392
General Services, Office of	1,803	1,844	1,801
Health, Department of	4,715	4,813	5,679
Information Technology Services, Office of	3,566	3,423	3,448
Labor, Department of	2,838	2,770	2,987
Mental Health, Office of	13,856	13,929	13,692
Motor Vehicles, Department of	2,363	3,025	2,899
Parks, Recreation and Historic Preservation, Office of	2,010	2,035	2,063
People with Developmental Disabilities, Office for	19,037	18,984	18,590
State Police, Division of	5,784	5,785	5,624
Taxation and Finance, Department of	3,806	3,787	4,085
Temporary and Disability Assistance, Office of	1,995	1,922	1,987
Transportation, Department of	8,442	8,487	8,245
Workers' Compensation Board	1,044	1,081	1,059
Subtotal - Major Agencies	110,328	110,451	109,908
Minor Agencies	7,639	7,742	8,262
Subtotal - Subject to Direct Executive Control	117,967	118,193	118,170
University Systems			
City University of New York	13,806	13,797	13,507
State University Construction Fund	142	141	133
State University of New York	46,448	47,085	46,742
Subtotal - University Systems	60,396	61,023	60,382
Independently Elected Agencies			
Audit and Control, Department of	2,610	2,698	2,693
Law, Department of	1,826	1,801	1,782
Subtotal - Independently Elected Agencies	4,436	4,499	4,475
Grand Total	182,799	183,715	183,027

Workforce Impact Summary

All Funds
2018-19 Through 2020-21

	2018-19 Actuals (03/31/19)	2019-20 Actuals (03/31/20)	2020-21 Estimate (03/31/21)
Minor Agencies			
Addiction Services and Supports, Office of	728	724	737
Adirondack Park Agency	49	50	54
Aging, Office for the	89	85	95
Agriculture and Markets, Department of	461	479	552
Alcoholic Beverage Control, Division of	108	109	120
Arts, Council on the	28	27	30
Budget, Division of the	252	261	261
Civil Service, Department of	346	346	341
Correction, Commission of	30	39	38
Criminal Justice Services, Division of	413	405	403
Deferred Compensation Board	4	4	4
Economic Development, Department of	139	140	153
Elections, State Board of	74	76	76
Employee Relations, Office of	75	63	61
Executive Chamber	112	118	136
Financial Control Board, New York State	10	12	12
Gaming Commission, New York State	397	376	430
Higher Education Services Corporation, New York State	156	145	176
Homeland Security and Emergency Services, Division of	559	579	570
Housing and Community Renewal, Division of	584	622	776
Hudson River Valley Greenway Communities Council	0	0	1
Human Rights, Division of	159	154	164
Indigent Legal Services, Office of	31	30	30
Inspector General, Office of the	81	78	77
Interest on Lawyer Account	9	9	9
Judicial Conduct, Commission on	38	42	40
Justice Center for the Protection of People with Special Needs	425	432	429
Labor Management Committees	68	71	71
Lieutenant Governor, Office of the	5	4	7
Medicaid Inspector General, Office of the	405	409	496
Military and Naval Affairs, Division of	382	386	374
Prevention of Domestic Violence, Office for	23	27	27
Public Employment Relations Board	30	32	31
Public Ethics, Joint Commission on	51	46	48
Public Service Department	489	490	528
State, Department of	491	524	541
Statewide Financial System	134	137	145
Tax Appeals, Division of	22	24	27
Veterans' Services, Division of	87	93	98
Victim Services, Office of	88	88	88
Welfare Inspector General, Office of	7	6	6
Subtotal - Minor Agencies	7,639	7,742	8,262

Workforce Impact Summary

Special Revenue Funds - Other 2018-19 Through 2020-21

	2018-19 Actuals (03/31/19)	2019-20 Actuals (03/31/20)	2020-21 Estimate (03/31/21)
Major Agencies			
Children and Family Services, Office of	57	45	44
Corrections and Community Supervision, Department of	4	4	4
Education Department, State	951	931	984
Environmental Conservation, Department of	1,080	1,064	1,122
Financial Services, Department of	1,342	1,329	1,392
General Services, Office of	56	59	59
Health, Department of	1,947	1,990	2,157
Labor, Department of	327	334	507
Motor Vehicles, Department of	497	498	492
Parks, Recreation and Historic Preservation, Office of	250	210	224
State Police, Division of	337	314	313
Taxation and Finance, Department of	56	50	699
Transportation, Department of	37	40	38
Workers' Compensation Board	1,044	1,081	1,059
Subtotal - Major Agencies	7,985	7,949	9,094
Minor Agencies			
Agriculture and Markets, Department of	35	41	57
Budget, Division of the	14	16	16
Civil Service, Department of	4	2	2
Criminal Justice Services, Division of	5	2	2
Deferred Compensation Board	4	4	4
Economic Development, Department of	1	1	1
Financial Control Board, New York State	10	12	12
Gaming Commission, New York State	332	318	374
Higher Education Services Corporation, New York State	156	145	174
Homeland Security and Emergency Services, Division of	390	452	446
Housing and Community Renewal, Division of	473	519	601
Indigent Legal Services, Office of	31	30	30
Interest on Lawyer Account	9	9	9
Justice Center for the Protection of People with Special Needs	12	13	14
Public Service Department	489	490	503
State, Department of	267	293	302
Victim Services, Office of	47	46	45
Subtotal - Minor Agencies	2,279	2,393	2,592
Subtotal - Subject to Direct Executive Control	10,264	10,342	11,686
University Systems			
City University of New York	377	392	377
State University of New York	46,444	47,080	46,737
Subtotal - University Systems	46,821	47,472	47,114
Independently Elected Agencies			
Audit and Control, Department of	168	171	171
Law, Department of	468	463	454
Subtotal - Independently Elected Agencies	636	634	625
Grand Total	57,721	58,448	59,425

Workforce Impact Summary

Special Revenue Funds - Federal 2018-19 Through 2020-21

	2018-19 Actuals (03/31/19)	2019-20 Actuals (03/31/20)	2020-21 Estimate (03/31/21)
Major Agencies			
Children and Family Services, Office of	369	382	391
Corrections and Community Supervision, Department of	30	32	31
Education Department, State	1,185	1,238	1,285
Environmental Conservation, Department of	274	264	260
Health, Department of	1,037	1,074	1,411
Labor, Department of	2,492	2,423	2,463
Mental Health, Office of	0	0	11
Motor Vehicles, Department of	39	48	44
Parks, Recreation and Historic Preservation, Office of	22	27	31
People with Developmental Disabilities, Office for	13	11	18
Temporary and Disability Assistance, Office of	978	925	965
Transportation, Department of	106	95	127
Subtotal - Major Agencies	6,545	6,519	7,037
Minor Agencies			
Aging, Office for the	77	73	77
Agriculture and Markets, Department of	0	0	35
Criminal Justice Services, Division of	28	25	23
Elections, State Board of	5	8	8
Homeland Security and Emergency Services, Division of	169	127	124
Housing and Community Renewal, Division of	76	73	121
Human Rights, Division of	12	0	40
Medicaid Inspector General, Office of the	202	204	247
Military and Naval Affairs, Division of	177	182	175
Public Service Department	0	0	25
State, Department of	12	13	14
Veterans' Services, Division of	7	8	8
Victim Services, Office of	41	42	43
Subtotal - Minor Agencies	806	755	940
Subtotal - Subject to Direct Executive Control	7,351	7,274	7,977
University Systems			
State University of New York	1	2	2
Subtotal - University Systems	1	2	2
Independently Elected Agencies			
Audit and Control, Department of	5	5	4
Law, Department of	220	204	201
Subtotal - Independently Elected Agencies	225	209	205
Grand Total	7,577	7,485	8,184

Workforce Impact Summary

Capital Projects Funds - Other 2018-19 Through 2020-21

	2018-19 Actuals (03/31/19)	2019-20 Actuals (03/31/20)	2020-21 Estimate (03/31/21)
Major Agencies			
Children and Family Services, Office of	66	66	69
Corrections and Community Supervision, Department of	27	30	30
Education Department, State	0	64	0
Environmental Conservation, Department of	589	564	616
General Services, Office of	421	403	386
Health, Department of	124	136	132
Information Technology Services, Office of	25	46	45
Mental Health, Office of	553	573	683
Motor Vehicles, Department of	1,663	2,315	2,196
Parks, Recreation and Historic Preservation, Office of	435	505	507
People with Developmental Disabilities, Office for	384	384	385
State Police, Division of	80	84	81
Temporary and Disability Assistance, Office of	6	7	8
Transportation, Department of	5,607	5,688	5,525
Subtotal - Major Agencies	9,980	10,865	10,663
Minor Agencies			
Addiction Services and Supports, Office of	14	11	10
Agriculture and Markets, Department of	13	0	14
Military and Naval Affairs, Division of	46	46	42
Subtotal - Minor Agencies	73	57	66
Subtotal - Subject to Direct Executive Control	10,053	10,922	10,729
University Systems			
State University Construction Fund	142	141	133
Subtotal - University Systems	142	141	133
Independently Elected Agencies			
Audit and Control, Department of	5	2	0
Law, Department of	4	3	3
Subtotal - Independently Elected Agencies	9	5	3
Grand Total	10,204	11,068	10,865

Workforce Impact Summary

Capital Projects Funds - Federal 2018-19 Through 2020-21

	2018-19 Actuals (03/31/19)	2019-20 Actuals (03/31/20)	2020-21 Estimate (03/31/21)
Major Agencies			
Environmental Conservation, Department of	5	4	4
Health, Department of	39	41	46
Subtotal - Major Agencies	44	45	50
Minor Agencies			
Military and Naval Affairs, Division of	63	65	68
Subtotal - Minor Agencies	63	65	68
Subtotal - Subject to Direct Executive Control	107	110	118
Grand Total	107	110	118

Workforce Impact Summary

Enterprise Funds 2018-19 Through 2020-21

	2018-19 Actuals (03/31/19)	2019-20 Actuals (03/31/20)	2020-21 Estimate (03/31/21)
Major Agencies			
Corrections and Community Supervision, Department of	6	4	4
General Services, Office of	9	10	9
Parks, Recreation and Historic Preservation, Office of	0	84	85
Subtotal - Major Agencies	15	98	98
Minor Agencies			
Agriculture and Markets, Department of	25	34	52
Subtotal - Minor Agencies	25	34	52
Subtotal - Subject to Direct Executive Control	40	132	150
Grand Total	40	132	150

Workforce Impact Summary

Internal Service Funds 2018-19 Through 2020-21

	2018-19 Actuals (03/31/19)	2019-20 Actuals (03/31/20)	2020-21 Estimate (03/31/21)
Major Agencies			
Children and Family Services, Office of	87	81	99
Corrections and Community Supervision, Department of	281	284	271
Education Department, State	142	142	144
General Services, Office of	913	956	938
Labor, Department of	19	13	16
Mental Health, Office of	10	8	10
Subtotal - Major Agencies	1,452	1,484	1,478
Minor Agencies			
Civil Service, Department of	135	121	120
Employee Relations, Office of	9	8	6
Prevention of Domestic Violence, Office for	6	5	5
Subtotal - Minor Agencies	150	134	131
Subtotal - Subject to Direct Executive Control	1,602	1,618	1,609
Independently Elected Agencies			
Audit and Control, Department of	171	149	147
Law, Department of	51	81	88
Subtotal - Independently Elected Agencies	222	230	235
Grand Total	1,824	1,848	1,844

Workforce Impact Summary

Agency Trust Funds 2018-19 Through 2020-21

	2018-19 Actuals (03/31/19)	2019-20 Actuals (03/31/20)	2020-21 Estimate (03/31/21)
University Systems			
City University of New York	13,429	13,405	13,130
Subtotal - University Systems	13,429	13,405	13,130
Grand Total	13,429	13,405	13,130

Workforce Impact Summary

Pension Trust Funds 2018-19 Through 2020-21

	2018-19 Actuals (03/31/19)	2019-20 Actuals (03/31/20)	2020-21 Estimate (03/31/21)
Independently Elected Agencies			
Audit and Control, Department of	935	987	977
Subtotal - Independently Elected Agencies	935	987	977
Grand Total	935	987	977

Workforce Impact Summary

Private Purpose Trust Funds 2018-19 Through 2020-21

	2018-19 Actuals (03/31/19)	2019-20 Actuals (03/31/20)	2020-21 Estimate (03/31/21)
Minor Agencies			
Agriculture and Markets, Department of	1	4	3
Subtotal - Minor Agencies	1	4	3
Subtotal - Subject to Direct Executive Control	1	4	3
Grand Total	1	4	3

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
<i>Agriculture and Markets, Department of</i>	65,377	70,748	57,156	58,896	58,696	58,696
Local Assistance	33,027	35,047	23,075	26,501	26,501	26,501
State Operations	32,350	35,701	34,081	32,395	32,195	32,195
Personal Service	27,105	28,153	29,600	28,277	28,277	28,277
Non-Personal Service	5,245	7,548	4,481	4,118	3,918	3,918
<i>Alcoholic Beverage Control, Division of</i>	11,546	10,611	10,896	10,380	10,380	10,380
State Operations	11,546	10,611	10,896	10,380	10,380	10,380
Personal Service	8,166	8,088	8,316	8,316	8,316	8,316
Non-Personal Service	3,380	2,523	2,580	2,064	2,064	2,064
<i>Economic Development, Department of</i>	71,702	51,869	59,586	59,436	59,436	59,436
Local Assistance	55,760	36,019	45,974	45,824	45,824	45,824
State Operations	15,942	15,850	13,612	13,612	13,612	13,612
Personal Service	13,090	12,934	11,826	11,826	11,826	11,826
Non-Personal Service	2,852	2,916	1,786	1,786	1,786	1,786
<i>Empire State Development Corporation</i>	85,177	105,111	58,800	58,800	58,800	58,800
Local Assistance	85,177	105,111	58,800	58,800	58,800	58,800
<i>Olympic Regional Development Authority</i>	11,143	11,956	11,526	11,526	11,526	11,526
Local Assistance	2,360	2,268	0	0	0	0
State Operations	8,783	9,688	11,526	11,526	11,526	11,526
Personal Service	5,595	5,500	5,338	5,338	5,338	5,338
Non-Personal Service	3,188	4,188	6,188	6,188	6,188	6,188
Functional Total	244,945	250,295	197,964	199,038	198,838	198,838
PARKS AND THE ENVIRONMENT						
<i>Adirondack Park Agency</i>	4,297	4,443	4,733	4,621	4,676	4,614
State Operations	4,297	4,443	4,733	4,621	4,676	4,614
Personal Service	3,950	4,069	4,243	4,256	4,327	4,299
Non-Personal Service	347	374	490	365	349	315
<i>Environmental Conservation, Department of</i>	105,456	112,491	120,856	118,594	119,036	125,298
Local Assistance	2,431	2,202	1,478	1,247	978	978
State Operations	103,025	110,289	119,378	117,347	118,058	124,320
Personal Service	90,087	94,779	96,431	94,305	95,016	101,287
Non-Personal Service	12,938	15,510	22,947	23,042	23,042	23,033
<i>Parks, Recreation and Historic Preservation, Office of</i>	108,081	108,355	112,688	110,047	107,379	107,379
Local Assistance	2,188	1,399	100	100	100	100
State Operations	105,893	106,956	112,588	109,947	107,279	107,279
Personal Service	99,679	101,312	106,714	104,073	101,405	101,405
Non-Personal Service	6,214	5,644	5,874	5,874	5,874	5,874
Functional Total	217,834	225,289	238,277	233,262	231,091	237,291
TRANSPORTATION						
<i>Motor Vehicles, Department of</i>	10,677	10,562	12,586	12,830	12,830	12,830
Local Assistance	375	0	0	0	0	0
State Operations	10,302	10,562	12,586	12,830	12,830	12,830
Personal Service	8,318	8,478	8,868	9,046	9,046	9,046
Non-Personal Service	1,984	2,084	3,718	3,784	3,784	3,784
<i>Transportation, Department of</i>	595,676	446,884	408,926	405,261	393,050	393,050
Local Assistance	303,461	110,339	109,851	109,851	109,851	109,851
State Operations	292,215	336,545	299,075	295,410	283,199	283,199

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Personal Service	153,653	158,175	148,322	140,996	134,978	134,978
Non-Personal Service	138,562	178,370	150,753	154,414	148,221	148,221
Functional Total	606,353	457,446	421,512	418,091	405,880	405,880
HEALTH						
Aging, Office for the	131,371	137,607	143,311	145,291	150,396	155,630
Local Assistance	130,141	135,561	141,306	143,329	148,434	153,668
State Operations	1,230	2,046	2,005	1,962	1,962	1,962
Personal Service	1,125	1,917	1,899	1,856	1,856	1,856
Non-Personal Service	105	129	106	106	106	106
Health, Department of	15,474,913	17,443,252	15,078,575	19,222,135	20,183,257	21,102,255
Medical Assistance	13,837,091	15,540,959	14,004,313	17,815,848	18,817,876	19,742,958
Local Assistance	13,837,091	15,540,959	14,004,313	17,815,848	18,817,876	19,742,958
Essential Plan	76,580	73,970	63,790	63,722	62,762	62,756
State Operations	76,580	73,970	63,790	63,722	62,762	62,756
Personal Service	3,233	3,326	4,138	4,453	4,476	4,413
Non-Personal Service	73,347	70,644	59,652	59,269	58,286	58,343
Medicaid Administration	764,404	737,623	670,528	684,709	645,163	639,085
Local Assistance	503,108	530,424	466,502	452,297	438,613	425,431
State Operations	261,296	207,199	204,026	232,412	206,550	213,654
Personal Service	37,949	34,960	35,751	40,153	45,587	48,119
Non-Personal Service	223,347	172,239	168,275	192,259	160,963	165,535
Public Health	796,838	1,090,700	339,944	657,856	657,456	657,456
Local Assistance	662,604	448,560	711,245	524,822	524,422	524,422
State Operations	134,234	642,140	(371,301)	133,034	133,034	133,034
Personal Service	93,657	101,668	98,036	102,282	102,282	102,282
Non-Personal Service	40,577	540,472	(469,337)	30,752	30,752	30,752
Medicaid Inspector General, Office of the	18,116	17,983	18,513	17,906	17,906	17,906
State Operations	18,116	17,983	18,513	17,906	17,906	17,906
Personal Service	15,204	15,599	16,116	15,509	15,509	15,509
Non-Personal Service	2,912	2,384	2,397	2,397	2,397	2,397
Functional Total	15,624,400	17,598,842	15,240,399	19,385,332	20,351,559	21,275,791
SOCIAL WELFARE						
Children and Family Services, Office of	1,900,002	1,319,237	2,153,951	1,863,049	1,856,899	1,861,550
OCFS	1,827,888	1,282,022	2,083,093	1,788,500	1,782,350	1,787,001
Local Assistance	1,583,160	1,153,112	1,759,157	1,468,075	1,468,649	1,468,649
State Operations	244,728	128,910	323,936	320,425	313,701	318,352
Personal Service	172,601	83,069	241,798	237,860	235,103	237,738
Non-Personal Service	72,127	45,841	82,138	82,565	78,598	80,614
OCFS - Other	72,114	37,215	70,858	74,549	74,549	74,549
Local Assistance	72,114	37,215	70,858	74,549	74,549	74,549
Housing and Community Renewal, Division of	13,881	37,753	7,397	51,197	51,106	51,106
Local Assistance	9,692	30,019	2,983	46,937	46,937	46,937
State Operations	4,189	7,734	4,414	4,260	4,169	4,169
Personal Service	3,049	3,228	4,042	3,888	3,797	3,797
Non-Personal Service	1,140	4,506	372	372	372	372
Human Rights, Division of	9,993	11,048	9,646	9,180	8,830	8,830
State Operations	9,993	11,048	9,646	9,180	8,830	8,830
Personal Service	8,934	9,543	9,109	8,744	8,411	8,411

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Non-Personal Service	1,059	1,505	537	436	419	419
Labor, Department of	15,715	25,756	2,574	5,316	5,316	5,316
Local Assistance	15,373	25,480	2,250	5,000	5,000	5,000
State Operations	342	276	324	316	316	316
Personal Service	87	84	69	56	56	56
Non-Personal Service	255	192	255	260	260	260
National and Community Service	560	655	781	781	781	784
Local Assistance	270	349	432	432	432	432
State Operations	290	306	349	349	349	352
Personal Service	288	305	340	340	340	343
Non-Personal Service	2	1	9	9	9	9
Temporary and Disability Assistance, Office of	1,262,856	1,304,567	1,533,446	1,466,483	1,574,693	1,611,493
Welfare Assistance	1,037,938	1,065,325	1,321,712	1,217,312	1,217,312	1,217,312
Local Assistance	1,037,938	1,065,325	1,321,712	1,217,312	1,217,312	1,217,312
All Other	224,918	239,242	211,734	249,171	357,381	394,181
Local Assistance	101,093	96,214	94,215	128,996	241,796	278,596
State Operations	123,825	143,028	117,519	120,175	115,585	115,585
Personal Service	68,003	64,862	68,359	70,106	67,432	67,432
Non-Personal Service	55,822	78,166	49,160	50,069	48,153	48,153
Functional Total	3,203,007	2,699,016	3,707,795	3,396,006	3,497,625	3,539,079
MENTAL HYGIENE						
Addiction Services and Supports, Office of	426,203	442,943	443,953	458,183	484,001	501,621
OASAS	352,360	369,664	375,078	387,083	412,204	429,823
Local Assistance	324,201	338,842	341,703	351,202	376,342	394,079
State Operations	28,159	30,822	33,375	35,881	35,862	35,744
Personal Service	22,378	23,279	26,497	28,599	28,264	27,916
Non-Personal Service	5,781	7,543	6,878	7,282	7,598	7,828
OASAS - Other	73,843	73,279	68,875	71,100	71,797	71,798
Local Assistance	21,325	21,325	21,325	21,325	21,325	21,325
State Operations	52,518	51,954	47,550	49,775	50,472	50,473
Personal Service	37,825	37,249	35,334	35,855	36,047	36,243
Non-Personal Service	14,693	14,705	12,216	13,920	14,425	14,230
Justice Center	40,349	41,577	42,290	42,055	42,418	42,774
Local Assistance	170	170	170	170	170	170
State Operations	40,179	41,407	42,120	41,885	42,248	42,604
Personal Service	31,149	33,333	33,228	32,660	32,793	32,922
Non-Personal Service	9,030	8,074	8,892	9,225	9,455	9,682
Mental Health, Office of	2,626,444	2,712,931	2,864,522	3,000,242	3,073,466	3,137,242
OMH	1,330,551	1,387,457	1,530,118	1,594,157	1,656,790	1,713,870
Local Assistance	1,002,556	1,032,781	1,172,742	1,210,067	1,270,657	1,328,448
State Operations	327,995	354,676	357,376	384,090	386,133	385,422
Personal Service	268,080	286,101	320,544	340,799	341,383	338,832
Non-Personal Service	59,915	68,575	36,832	43,291	44,750	46,590
OMH - Other	1,295,893	1,325,474	1,334,404	1,406,085	1,416,676	1,423,372
Local Assistance	278,961	288,507	311,306	312,811	321,455	323,261
State Operations	1,016,932	1,036,967	1,023,098	1,093,274	1,095,221	1,100,111
Personal Service	818,694	831,217	804,801	840,525	830,788	824,100
Non-Personal Service	198,238	205,750	218,297	252,749	264,433	276,011
People with Developmental Disabilities, Office for	1,851,002	3,098,646	2,717,545	3,331,959	3,177,773	3,654,870

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
OPWDD	389,699	408,280	352,843	351,628	360,419	394,439
Local Assistance	389,437	408,279	352,843	351,628	360,419	394,439
State Operations	262	1	0	0	0	0
Personal Service	262	0	0	0	0	0
Non-Personal Service	0	1	0	0	0	0
OPWDD - Other	1,461,303	2,690,366	2,364,702	2,980,331	2,817,354	3,260,431
Local Assistance	131,150	1,333,390	1,023,039	1,601,480	1,427,173	1,857,407
State Operations	1,330,153	1,356,976	1,341,663	1,378,851	1,390,181	1,403,024
Personal Service	1,142,342	1,161,329	1,155,502	1,171,359	1,179,092	1,186,786
Non-Personal Service	187,811	195,647	186,161	207,492	211,089	216,238
Functional Total	4,943,998	6,296,097	6,068,310	6,832,439	6,777,658	7,336,507
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,541	3,149	2,505	2,467	2,467	2,467
State Operations	2,541	3,149	2,505	2,467	2,467	2,467
Personal Service	2,276	2,652	2,288	2,245	2,245	2,245
Non-Personal Service	265	497	217	222	222	222
Corrections and Community Supervision, Department of	2,601,761	2,877,434	2,669,231	2,631,315	2,671,059	2,668,059
DOCCS	2,601,761	2,877,434	2,667,231	2,631,315	2,671,059	2,668,059
Local Assistance	3,435	6,336	4,836	4,836	4,836	4,836
State Operations	2,598,326	2,871,098	2,662,395	2,626,479	2,666,223	2,663,223
Personal Service	2,111,029	2,380,498	2,198,124	2,168,827	2,202,246	2,202,246
Non-Personal Service	487,297	490,600	464,271	457,652	463,977	460,977
DOCCS - Other	0	0	2,000	0	0	0
Local Assistance	0	0	2,000	0	0	0
Criminal Justice Services, Division of	194,937	180,758	162,355	162,085	162,773	163,473
Local Assistance	160,967	146,855	127,781	127,781	127,781	127,781
State Operations	33,970	33,903	34,574	34,304	34,992	35,692
Personal Service	28,029	27,666	28,591	28,150	28,664	29,227
Non-Personal Service	5,941	6,237	5,983	6,154	6,328	6,465
Homeland Security and Emergency Services, Division of	5,188	6,552	5,572	5,290	5,396	5,505
Local Assistance	4,188	5,552	4,572	4,290	4,376	4,464
State Operations	1,000	1,000	1,000	1,000	1,020	1,041
Personal Service	1,000	1,000	1,000	1,000	1,020	1,041
Indigent Legal Services, Office of	0	0	47,000	0	0	0
Local Assistance	0	0	47,000	0	0	0
Judicial Conduct, Commission on	5,423	5,748	6,266	6,444	6,550	6,550
State Operations	5,423	5,748	6,266	6,444	6,550	6,550
Personal Service	3,959	4,203	4,878	4,813	4,903	4,903
Non-Personal Service	1,464	1,545	1,388	1,631	1,647	1,647
Judicial Nomination, Commission on	0	0	30	30	30	30
State Operations	0	0	30	30	30	30
Non-Personal Service	0	0	30	30	30	30
Judicial Screening Committees, New York State	7	6	38	38	38	38
State Operations	7	6	38	38	38	38
Non-Personal Service	7	6	38	38	38	38
Military and Naval Affairs, Division of	21,330	20,951	21,957	22,593	23,046	23,509
Local Assistance	885	885	820	886	904	923
State Operations	20,445	20,066	21,137	21,707	22,142	22,586
Personal Service	14,335	14,158	14,539	14,615	14,908	15,207

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Non-Personal Service	6,110	5,908	6,598	7,092	7,234	7,379
State Police, Division of	640,201	696,253	393,753	713,373	731,661	731,661
State Operations	640,201	696,253	393,753	713,373	731,661	731,661
Personal Service	611,673	661,291	366,678	668,682	686,258	686,258
Non-Personal Service	28,528	34,962	27,075	44,691	45,403	45,403
Statewide Financial System	30,520	31,517	28,089	28,038	27,556	27,556
State Operations	30,520	31,517	28,089	28,038	27,556	27,556
Personal Service	11,294	11,650	11,937	11,690	11,711	11,711
Non-Personal Service	19,226	19,867	16,152	16,348	15,845	15,845
Victim Services, Office of	20	37	0	0	0	0
Local Assistance	20	37	0	0	0	0
Functional Total	3,501,928	3,822,405	3,336,796	3,571,673	3,630,576	3,628,848
HIGHER EDUCATION						
City University of New York	1,508,007	933,466	2,245,142	1,625,374	1,657,767	1,687,524
Local Assistance	1,508,007	933,466	2,245,142	1,625,374	1,657,767	1,687,524
Higher Education Services Corporation, New York State	984,334	950,474	810,646	834,785	839,190	839,428
Local Assistance	984,334	950,474	810,146	834,285	838,690	838,928
State Operations	0	0	500	500	500	500
Personal Service	0	0	500	500	500	500
State University of New York	488,672	482,093	460,244	461,968	460,968	460,968
Local Assistance	487,744	478,769	459,460	461,184	460,184	460,184
State Operations	928	3,324	784	784	784	784
Personal Service	0	52	42	42	42	42
Non-Personal Service	928	3,272	742	742	742	742
Functional Total	2,981,013	2,366,033	3,516,032	2,922,127	2,957,925	2,987,920
EDUCATION						
Arts, Council on the	43,514	48,264	44,819	44,692	44,538	44,538
Local Assistance	39,248	44,013	40,835	40,835	40,835	40,835
State Operations	4,266	4,251	3,984	3,857	3,703	3,703
Personal Service	2,636	2,681	2,519	2,423	2,423	2,423
Non-Personal Service	1,630	1,570	1,465	1,434	1,280	1,280
Education, Department of	25,270,888	25,884,919	26,365,018	26,758,908	27,769,697	28,670,580
School Aid	22,927,234	23,384,248	23,913,656	24,195,508	25,121,791	25,954,418
Local Assistance	22,927,234	23,384,248	23,913,656	24,195,508	25,121,791	25,954,418
School Aid – Other	152,867	137,708	140,000	140,000	140,000	140,000
Local Assistance	152,867	137,708	140,000	140,000	140,000	140,000
Special Education Categorical Programs	1,290,608	1,330,673	1,357,221	1,428,066	1,495,451	1,564,031
Local Assistance	1,290,608	1,330,673	1,357,221	1,428,066	1,495,451	1,564,031
All Other	900,179	1,032,290	954,141	995,334	1,012,455	1,012,131
Local Assistance	843,652	976,583	903,455	946,000	965,026	964,702
State Operations	56,527	55,707	50,686	49,334	47,429	47,429
Personal Service	30,847	32,211	29,594	28,431	27,471	27,471
Non-Personal Service	25,680	23,496	21,092	20,903	19,958	19,958
Functional Total	25,314,402	25,933,183	26,409,837	26,803,600	27,814,235	28,715,118
GENERAL GOVERNMENT						
Budget, Division of the	22,431	23,927	23,749	22,895	22,895	22,895

CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
State Operations	22,431	23,927	23,749	22,895	22,895	22,895
Personal Service	20,534	22,089	23,070	22,216	22,216	22,216
Non-Personal Service	1,897	1,838	679	679	679	679
Civil Service, Department of	15,793	15,182	13,791	12,757	12,765	12,765
Local Assistance	567	78	300	300	300	300
State Operations	15,226	15,104	13,491	12,457	12,465	12,465
Personal Service	14,874	15,103	13,491	12,457	12,465	12,465
Non-Personal Service	352	1	0	0	0	0
Deferred Compensation Board	34	36	58	57	57	57
State Operations	34	36	58	57	57	57
Personal Service	34	35	33	32	32	32
Non-Personal Service	0	1	25	25	25	25
Elections, State Board of	8,348	9,991	10,133	9,604	8,978	8,978
Local Assistance	5	1,352	0	0	0	0
State Operations	8,343	8,639	10,133	9,604	8,978	8,978
Personal Service	5,773	6,039	6,471	6,378	6,216	6,216
Non-Personal Service	2,570	2,600	3,662	3,226	2,762	2,762
Employee Relations, Office of	2,097	5,880	6,421	6,288	6,289	6,289
State Operations	2,097	5,880	6,421	6,288	6,289	6,289
Personal Service	2,040	5,789	6,310	6,177	6,177	6,177
Non-Personal Service	57	91	111	111	112	112
Gaming Commission, New York State	4,541	4,522	5,937	5,742	5,509	5,510
State Operations	4,541	4,522	5,937	5,742	5,509	5,510
Personal Service	3,177	3,254	2,875	2,705	2,595	2,596
Non-Personal Service	1,364	1,268	3,062	3,037	2,914	2,914
General Services, Office of	135,673	101,989	73,531	75,127	76,679	78,179
State Operations	135,673	101,989	73,531	75,127	76,679	78,179
Personal Service	57,198	36,171	39,835	39,044	39,820	40,612
Non-Personal Service	78,475	65,818	33,696	36,083	36,859	37,567
Information Technology Services, Office of	544,541	540,195	491,273	494,666	486,691	486,691
State Operations	544,541	540,195	491,273	494,666	486,691	486,691
Personal Service	299,018	296,582	291,761	297,162	299,828	299,828
Non-Personal Service	245,523	243,613	199,512	197,504	186,863	186,863
Inspector General, Office of the	6,844	6,381	7,076	7,311	7,460	7,460
State Operations	6,844	6,381	7,076	7,311	7,460	7,460
Personal Service	5,909	5,423	6,220	6,107	6,244	6,244
Non-Personal Service	935	958	856	1,204	1,216	1,216
Labor Management Committees	35,520	36,952	37,325	38,072	38,833	39,610
State Operations	29,699	30,566	32,325	33,072	33,833	34,610
Personal Service	8,618	8,420	5,698	5,487	5,487	5,487
Non-Personal Service	21,081	22,146	26,627	27,585	28,346	29,123
General State Charges	5,821	6,386	5,000	5,000	5,000	5,000
Prevention of Domestic Violence, Office for	2,816	2,984	3,070	3,008	3,008	3,008
Local Assistance	1,260	1,288	1,385	1,412	1,412	1,412
State Operations	1,556	1,696	1,685	1,596	1,596	1,596
Personal Service	1,394	1,550	1,501	1,408	1,408	1,408
Non-Personal Service	162	146	184	188	188	188
Public Employment Relations Board	3,393	3,380	3,475	3,288	3,288	3,288
State Operations	3,393	3,380	3,475	3,288	3,288	3,288
Personal Service	3,175	3,214	3,262	3,112	3,112	3,112
Non-Personal Service	218	166	213	176	176	176
Public Ethics, Joint Commission on	5,223	5,217	5,435	5,610	5,719	5,719

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
State Operations	5,223	5,217	5,435	5,610	5,719	5,719
Personal Service	4,516	4,486	4,617	4,577	4,674	4,674
Non-Personal Service	707	731	818	1,033	1,045	1,045
State, Department of	23,622	25,155	17,769	13,407	13,407	13,407
Local Assistance	12,989	14,789	4,317	4,317	4,317	4,317
State Operations	10,633	10,366	13,452	9,090	9,090	9,090
Personal Service	10,301	10,012	9,216	8,854	8,854	8,854
Non-Personal Service	332	354	4,236	236	236	236
Tax Appeals, Division of	2,820	2,871	3,150	2,714	2,604	2,604
State Operations	2,820	2,871	3,150	2,714	2,604	2,604
Personal Service	2,513	2,640	2,936	2,600	2,509	2,516
Non-Personal Service	307	231	214	114	95	88
Taxation and Finance, Department of	304,503	255,432	257,726	242,677	229,760	230,348
Local Assistance	921	885	926	926	926	926
State Operations	303,582	254,547	256,800	241,751	228,834	229,422
Personal Service	262,755	214,530	214,060	200,315	187,398	187,986
Non-Personal Service	40,827	40,017	42,740	41,436	41,436	41,436
Veterans' Services, Division of	16,219	14,442	13,674	13,598	13,378	13,445
Local Assistance	10,499	8,235	7,840	7,840	7,840	7,840
State Operations	5,720	6,207	5,834	5,758	5,538	5,605
Personal Service	5,161	5,546	5,730	5,653	5,501	5,562
Non-Personal Service	559	661	104	105	37	43
Welfare Inspector General, Office of	629	640	731	753	768	768
State Operations	629	640	731	753	768	768
Personal Service	615	630	654	646	659	659
Non-Personal Service	14	10	77	107	109	109
Functional Total	1,135,047	1,055,176	974,324	957,574	938,088	941,021
ELECTED OFFICIALS						
Audit and Control, Department of	166,778	169,540	161,473	159,051	159,051	159,051
Local Assistance	32,025	32,025	32,025	32,025	32,025	32,025
State Operations	134,753	137,515	129,448	127,026	127,026	127,026
Personal Service	108,084	110,531	103,213	100,791	100,791	100,791
Non-Personal Service	26,669	26,984	26,235	26,235	26,235	26,235
Executive Chamber	12,673	13,239	14,032	13,578	13,578	13,578
State Operations	12,673	13,239	14,032	13,578	13,578	13,578
Personal Service	9,135	10,876	11,567	11,113	11,113	11,113
Non-Personal Service	3,538	2,363	2,465	2,465	2,465	2,465
Judiciary	2,824,285	2,859,520	2,662,044	2,770,958	2,770,957	2,737,053
Local Assistance	3,020	47,313	4,500	66,500	66,500	66,500
State Operations	2,063,276	2,022,751	1,911,360	1,856,560	1,856,560	1,856,560
Personal Service	1,669,267	1,646,896	1,569,180	1,514,380	1,514,380	1,514,380
Non-Personal Service	394,009	375,855	342,180	342,180	342,180	342,180
General State Charges	757,989	789,456	746,184	847,898	847,897	813,993
Law, Department of	107,960	110,067	106,426	103,180	103,180	103,180
State Operations	107,960	110,067	106,426	103,180	103,180	103,180
Personal Service	97,853	101,472	97,257	94,011	94,011	94,011
Non-Personal Service	10,107	8,595	9,169	9,169	9,169	9,169
Legislature	223,009	227,546	223,106	229,999	230,052	230,052
State Operations	223,009	227,546	223,106	229,999	230,052	230,052
Personal Service	175,304	177,365	177,583	183,366	183,419	183,419
Non-Personal Service	47,705	50,181	45,523	46,633	46,633	46,633

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
<i>Lieutenant Governor, Office of the</i>	530	518	634	614	614	614
State Operations	530	518	634	614	614	614
Personal Service	414	431	543	523	523	523
Non-Personal Service	116	87	91	91	91	91
Functional Total	3,335,235	3,380,430	3,167,715	3,277,380	3,277,432	3,243,528
LOCAL GOVERNMENT ASSISTANCE						
<i>Aid and Incentives for Municipalities</i>	721,979	662,056	671,166	704,192	704,192	704,192
Local Assistance	721,732	662,054	671,166	704,192	704,192	704,192
State Operations	247	2	0	0	0	0
Non-Personal Service	247	2	0	0	0	0
<i>County-Wide Shared Services Initiative</i>	0	11,166	20,000	60,000	60,000	60,000
Local Assistance	0	11,166	20,000	60,000	60,000	60,000
<i>Miscellaneous Financial Assistance</i>	12,001	11,998	3,750	3,750	3,750	3,750
Local Assistance	12,001	11,998	3,750	3,750	3,750	3,750
<i>Municipalities with VLT Facilities</i>	28,885	28,885	28,885	28,885	28,885	28,885
Local Assistance	28,885	28,885	28,885	28,885	28,885	28,885
<i>Small Government Assistance</i>	217	217	218	218	218	218
Local Assistance	217	217	218	218	218	218
Functional Total	763,082	714,322	724,019	797,045	797,045	797,045
ALL OTHER CATEGORIES						
<i>General State Charges</i>	6,373,457	6,655,088	6,242,880	7,975,454	8,476,429	8,937,637
General State Charges	6,373,457	6,655,088	6,242,880	7,975,454	8,476,429	8,937,637
<i>Miscellaneous</i>	(19,359)	(82,880)	(5,815,310)	(5,835,767)	(5,056,745)	(4,328,222)
Local Assistance	(67,147)	(131,464)	(6,657,679)	(6,734,279)	(6,133,779)	(5,633,779)
State Operations	46,414	45,809	837,949	894,092	1,072,614	1,301,137
Personal Service	68	73	390,056	390,056	465,056	565,056
Non-Personal Service	46,346	45,736	447,893	504,036	607,558	736,081
General State Charges	1,374	2,775	4,420	4,420	4,420	4,420
Functional Total	6,354,098	6,572,208	427,570	2,139,687	3,419,684	4,609,415
TOTAL GENERAL FUND SPENDING	68,225,342	71,370,742	64,430,550	70,933,254	74,297,636	77,916,281

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	65,377	70,748	57,156	58,896	58,696	58,696
Alcoholic Beverage Control, Division of	11,546	10,611	10,896	10,380	10,380	10,380
Economic Development, Department of	71,702	51,869	59,586	59,436	59,436	59,436
Empire State Development Corporation	85,177	105,111	58,800	58,800	58,800	58,800
Olympic Regional Development Authority	11,143	11,956	11,526	11,526	11,526	11,526
Functional Total	244,945	250,295	197,964	199,038	198,838	198,838
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,297	4,443	4,733	4,621	4,676	4,614
Environmental Conservation, Department of	105,456	112,491	120,856	118,594	119,036	125,298
Parks, Recreation and Historic Preservation, Office of	108,081	108,355	112,688	110,047	107,379	107,379
Functional Total	217,834	225,289	238,277	233,262	231,091	237,291
TRANSPORTATION						
Motor Vehicles, Department of	10,677	10,562	12,586	12,830	12,830	12,830
Transportation, Department of	595,676	446,884	408,926	405,261	393,050	393,050
Functional Total	606,353	457,446	421,512	418,091	405,880	405,880
HEALTH						
Aging, Office for the	131,371	137,607	143,311	145,291	150,396	155,630
Health, Department of	15,474,913	17,443,252	15,078,575	19,222,135	20,183,257	21,102,255
<i>Medical Assistance</i>	13,837,091	15,540,959	14,004,313	17,815,848	18,817,876	19,742,958
<i>Essential Plan</i>	76,580	73,970	63,790	63,722	62,762	62,756
<i>Medicaid Administration</i>	764,404	737,623	670,528	684,709	645,163	639,085
<i>Public Health</i>	796,838	1,090,700	339,944	657,856	657,456	657,456
Medicaid Inspector General, Office of the	18,116	17,983	18,513	17,906	17,906	17,906
Functional Total	15,624,400	17,598,842	15,240,399	19,385,332	20,351,559	21,275,791
SOCIAL WELFARE						
Children and Family Services, Office of	1,900,002	1,319,237	2,153,951	1,863,049	1,856,899	1,861,550
<i>OCFS</i>	1,827,888	1,282,022	2,083,093	1,788,500	1,782,350	1,787,001
<i>OCFS - Other</i>	72,114	37,215	70,858	74,549	74,549	74,549
Housing and Community Renewal, Division of	13,881	37,753	7,397	51,197	51,106	51,106
Human Rights, Division of	9,993	11,048	9,646	9,180	8,830	8,830
Labor, Department of	15,715	25,756	2,574	5,316	5,316	5,316
National and Community Service	560	655	781	781	781	784
Temporary and Disability Assistance, Office of	1,262,856	1,304,567	1,533,446	1,466,483	1,574,693	1,611,493
<i>Welfare Assistance</i>	1,037,938	1,065,325	1,321,712	1,217,312	1,217,312	1,217,312
<i>All Other</i>	224,918	239,242	211,734	249,171	357,381	394,181
Functional Total	3,203,007	2,699,016	3,707,795	3,396,006	3,497,625	3,539,079
MENTAL HYGIENE						
Addiction Services and Supports, Office of	426,203	442,943	443,953	458,183	484,001	501,621
<i>OASAS</i>	352,360	369,664	375,078	387,083	412,204	429,823
<i>OASAS - Other</i>	73,843	73,279	68,875	71,100	71,797	71,798
Justice Center	40,349	41,577	42,290	42,055	42,418	42,774
Mental Health, Office of	2,626,444	2,712,931	2,864,522	3,000,242	3,073,466	3,137,242
<i>OMH</i>	1,330,551	1,387,457	1,530,118	1,594,157	1,656,790	1,713,870
<i>OMH - Other</i>	1,295,893	1,325,474	1,334,404	1,406,085	1,416,676	1,423,372
People with Developmental Disabilities, Office for	1,851,002	3,098,646	2,717,545	3,331,959	3,177,773	3,654,870
<i>OPWDD</i>	389,699	408,280	352,843	351,628	360,419	394,439
<i>OPWDD - Other</i>	1,461,303	2,690,366	2,364,702	2,980,331	2,817,354	3,260,431
Functional Total	4,943,998	6,296,097	6,068,310	6,832,439	6,777,658	7,336,507
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,541	3,149	2,505	2,467	2,467	2,467
Corrections and Community Supervision, Department of	2,601,761	2,877,434	2,669,231	2,631,315	2,671,059	2,668,059

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
<i>DOCCS</i>	2,601,761	2,877,434	2,667,231	2,631,315	2,671,059	2,668,059
<i>DOCCS - Other</i>	0	0	2,000	0	0	0
Criminal Justice Services, Division of	194,937	180,758	162,355	162,085	162,773	163,473
Homeland Security and Emergency Services, Division of	5,188	6,552	5,572	5,290	5,396	5,505
Indigent Legal Services, Office of	0	0	47,000	0	0	0
Judicial Conduct, Commission on	5,423	5,748	6,266	6,444	6,550	6,550
Judicial Nomination, Commission on	0	0	30	30	30	30
Judicial Screening Committees, New York State	7	6	38	38	38	38
Military and Naval Affairs, Division of	21,330	20,951	21,957	22,593	23,046	23,509
State Police, Division of	640,201	696,253	393,753	713,373	731,661	731,661
Statewide Financial System	30,520	31,517	28,089	28,038	27,556	27,556
Victim Services, Office of	20	37	0	0	0	0
Functional Total	3,501,928	3,822,405	3,336,796	3,571,673	3,630,576	3,628,848
HIGHER EDUCATION						
City University of New York	1,508,007	933,466	2,245,142	1,625,374	1,657,767	1,687,524
Higher Education Services Corporation, New York State	984,334	950,474	810,646	834,785	839,190	839,428
State University of New York	488,672	482,093	460,244	461,968	460,968	460,968
Functional Total	2,981,013	2,366,033	3,516,032	2,922,127	2,957,925	2,987,920
EDUCATION						
Arts, Council on the	43,514	48,264	44,819	44,692	44,538	44,538
Education, Department of	25,270,888	25,884,919	26,365,018	26,758,908	27,769,697	28,670,580
<i>School Aid</i>	22,927,234	23,384,248	23,913,656	24,195,508	25,121,791	25,954,418
<i>School Aid – Other</i>	152,867	137,708	140,000	140,000	140,000	140,000
<i>Special Education Categorical Programs</i>	1,290,608	1,330,673	1,357,221	1,428,066	1,495,451	1,564,031
<i>All Other</i>	900,179	1,032,290	954,141	995,334	1,012,455	1,012,131
Functional Total	25,314,402	25,933,183	26,409,837	26,803,600	27,814,235	28,715,118
GENERAL GOVERNMENT						
Budget, Division of the	22,431	23,927	23,749	22,895	22,895	22,895
Civil Service, Department of	15,793	15,182	13,791	12,757	12,765	12,765
Deferred Compensation Board	34	36	58	57	57	57
Elections, State Board of	8,348	9,991	10,133	9,604	8,978	8,978
Employee Relations, Office of	2,097	5,880	6,421	6,288	6,289	6,289
Gaming Commission, New York State	4,541	4,522	5,937	5,742	5,509	5,510
General Services, Office of	135,673	101,989	73,531	75,127	76,679	78,179
Information Technology Services, Office of	544,541	540,195	491,273	494,666	486,691	486,691
Inspector General, Office of the	6,844	6,381	7,076	7,311	7,460	7,460
Labor Management Committees	35,520	36,952	37,325	38,072	38,833	39,610
Prevention of Domestic Violence, Office for	2,816	2,984	3,070	3,008	3,008	3,008
Public Employment Relations Board	3,393	3,380	3,475	3,288	3,288	3,288
Public Ethics, Joint Commission on	5,223	5,217	5,435	5,610	5,719	5,719
State, Department of	23,622	25,155	17,769	13,407	13,407	13,407
Tax Appeals, Division of	2,820	2,871	3,150	2,714	2,604	2,604
Taxation and Finance, Department of	304,503	255,432	257,726	242,677	229,760	230,348
Veterans' Services, Division of	16,219	14,442	13,674	13,598	13,378	13,445
Welfare Inspector General, Office of	629	640	731	753	768	768
Functional Total	1,135,047	1,055,176	974,324	957,574	938,088	941,021
ELECTED OFFICIALS						
Audit and Control, Department of	166,778	169,540	161,473	159,051	159,051	159,051
Executive Chamber	12,673	13,239	14,032	13,578	13,578	13,578
Judiciary	2,824,284	2,859,520	2,662,044	2,770,958	2,770,957	2,737,053
Law, Department of	107,960	110,067	106,426	103,180	103,180	103,180
Legislature	223,009	227,546	223,106	229,999	230,052	230,052
Lieutenant Governor, Office of the	530	518	634	614	614	614
Functional Total	3,335,234	3,380,430	3,167,715	3,277,380	3,277,432	3,243,528
LOCAL GOVERNMENT ASSISTANCE						

CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)

	<u>FY 2019 Results</u>	<u>FY 2020 Results</u>	<u>FY 2021 Updated</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
Aid and Incentives for Municipalities	721,979	662,056	671,166	704,192	704,192	704,192
County-Wide Shared Services Initiative	0	11,166	20,000	60,000	60,000	60,000
Miscellaneous Financial Assistance	12,001	11,998	3,750	3,750	3,750	3,750
Municipalities with VLT Facilities	28,885	28,885	28,885	28,885	28,885	28,885
Small Government Assistance	217	217	218	218	218	218
Functional Total	<u>763,082</u>	<u>714,322</u>	<u>724,019</u>	<u>797,045</u>	<u>797,045</u>	<u>797,045</u>
ALL OTHER CATEGORIES						
General State Charges	6,373,456	6,655,087	6,242,880	7,975,454	8,476,429	8,937,637
Miscellaneous	(19,359)	(82,880)	(5,815,310)	(5,835,767)	(5,056,745)	(4,328,222)
Functional Total	<u>6,354,097</u>	<u>6,572,207</u>	<u>427,570</u>	<u>2,139,687</u>	<u>3,419,684</u>	<u>4,609,415</u>
TOTAL GENERAL FUND SPENDING	<u><u>68,225,340</u></u>	<u><u>71,370,741</u></u>	<u><u>64,430,550</u></u>	<u><u>70,933,254</u></u>	<u><u>74,297,636</u></u>	<u><u>77,916,281</u></u>

CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
LOCAL ASSISTANCE
(thousands of dollars)

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	33,027	35,047	23,075	26,501	26,501	26,501
Economic Development, Department of	55,760	36,019	45,974	45,824	45,824	45,824
Empire State Development Corporation	85,177	105,111	58,800	58,800	58,800	58,800
Olympic Regional Development Authority	2,360	2,268	0	0	0	0
Functional Total	176,324	178,445	127,849	131,125	131,125	131,125
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	2,431	2,202	1,478	1,247	978	978
Parks, Recreation and Historic Preservation, Office of	2,188	1,399	100	100	100	100
Functional Total	4,619	3,601	1,578	1,347	1,078	1,078
TRANSPORTATION						
Motor Vehicles, Department of	375	0	0	0	0	0
Transportation, Department of	303,461	110,339	109,851	109,851	109,851	109,851
Functional Total	303,836	110,339	109,851	109,851	109,851	109,851
HEALTH						
Aging, Office for the	130,141	135,561	141,306	143,329	148,434	153,668
Health, Department of	15,002,803	16,519,943	15,182,060	18,792,967	19,780,911	20,692,811
<i>Medical Assistance</i>	13,837,091	15,540,959	14,004,313	17,815,848	18,817,876	19,742,958
<i>Medicaid Administration</i>	503,108	530,424	466,502	452,297	438,613	425,431
<i>Public Health</i>	662,604	448,560	711,245	524,822	524,422	524,422
Functional Total	15,132,944	16,655,504	15,323,366	18,936,296	19,929,345	20,846,479
SOCIAL WELFARE						
Children and Family Services, Office of	1,655,274	1,190,327	1,830,015	1,542,624	1,543,198	1,543,198
<i>OCFS</i>	1,583,160	1,153,112	1,759,157	1,468,075	1,468,649	1,468,649
<i>OCFS - Other</i>	72,114	37,215	70,858	74,549	74,549	74,549
Housing and Community Renewal, Division of	9,692	30,019	2,983	46,937	46,937	46,937
Labor, Department of	15,373	25,480	2,250	5,000	5,000	5,000
National and Community Service	270	349	432	432	432	432
Temporary and Disability Assistance, Office of	1,139,031	1,161,539	1,415,927	1,346,308	1,459,108	1,495,908
<i>Welfare Assistance</i>	1,037,938	1,065,325	1,321,712	1,217,312	1,217,312	1,217,312
<i>All Other</i>	101,093	96,214	94,215	128,996	241,796	278,596
Functional Total	2,819,640	2,407,714	3,251,607	2,941,301	3,054,675	3,091,475
MENTAL HYGIENE						
Addiction Services and Supports, Office of	345,526	360,167	363,028	372,527	397,667	415,404
<i>OASAS</i>	324,201	338,842	341,703	351,202	376,342	394,079
<i>OASAS - Other</i>	21,325	21,325	21,325	21,325	21,325	21,325
Justice Center	170	170	170	170	170	170
Mental Health, Office of	1,281,517	1,321,288	1,484,048	1,522,878	1,592,112	1,651,709
<i>OMH</i>	1,002,556	1,032,781	1,172,742	1,210,067	1,270,657	1,328,448
<i>OMH - Other</i>	278,961	288,507	311,306	312,811	321,455	323,261
People with Developmental Disabilities, Office for	520,587	1,741,669	1,375,882	1,953,108	1,787,592	2,251,846
<i>OPWDD</i>	389,437	408,279	352,843	351,628	360,419	394,439
<i>OPWDD - Other</i>	131,150	1,333,390	1,023,039	1,601,480	1,427,173	1,857,407
Functional Total	2,147,800	3,423,294	3,223,128	3,848,683	3,777,541	4,319,129
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Corrections and Community Supervision, Department of	3,435	6,336	6,836	4,836	4,836	4,836
<i>DOCCS</i>	3,435	6,336	4,836	4,836	4,836	4,836
<i>DOCCS - Other</i>	0	0	2,000	0	0	0
Criminal Justice Services, Division of	160,967	146,855	127,781	127,781	127,781	127,781
Homeland Security and Emergency Services, Division of	4,188	5,552	4,572	4,290	4,376	4,464
Indigent Legal Services, Office of	0	0	47,000	0	0	0

CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
LOCAL ASSISTANCE
(thousands of dollars)

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Military and Naval Affairs, Division of	885	885	820	886	904	923
Victim Services, Office of	20	37	0	0	0	0
Functional Total	169,495	159,665	187,009	137,793	137,897	138,004
HIGHER EDUCATION						
City University of New York	1,508,007	933,466	2,245,142	1,625,374	1,657,767	1,687,524
Higher Education Services Corporation, New York State	984,334	950,474	810,146	834,285	838,690	838,928
State University of New York	487,744	478,769	459,460	461,184	460,184	460,184
Functional Total	2,980,085	2,362,709	3,514,748	2,920,843	2,956,641	2,986,636
EDUCATION						
Arts, Council on the	39,248	44,013	40,835	40,835	40,835	40,835
Education, Department of	25,214,361	25,829,212	26,314,332	26,709,574	27,722,268	28,623,151
<i>School Aid</i>	22,927,234	23,384,248	23,913,656	24,195,508	25,121,791	25,954,418
<i>School Aid – Other</i>	152,867	137,708	140,000	140,000	140,000	140,000
<i>Special Education Categorical Programs</i>	1,290,608	1,330,673	1,357,221	1,428,066	1,495,451	1,564,031
<i>All Other</i>	843,652	976,583	903,455	946,000	965,026	964,702
Functional Total	25,253,609	25,873,225	26,355,167	26,750,409	27,763,103	28,663,986
GENERAL GOVERNMENT						
Civil Service, Department of	567	78	300	300	300	300
Elections, State Board of	5	1,352	0	0	0	0
Prevention of Domestic Violence, Office for	1,260	1,288	1,385	1,412	1,412	1,412
State, Department of	12,989	14,789	4,317	4,317	4,317	4,317
Taxation and Finance, Department of	921	885	926	926	926	926
Veterans' Services, Division of	10,499	8,235	7,840	7,840	7,840	7,840
Functional Total	26,241	26,627	14,768	14,795	14,795	14,795
ELECTED OFFICIALS						
Audit and Control, Department of	32,025	32,025	32,025	32,025	32,025	32,025
Judiciary	3,020	47,313	4,500	66,500	66,500	66,500
Functional Total	35,045	79,338	36,525	98,525	98,525	98,525
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	721,732	662,054	671,166	704,192	704,192	704,192
County-Wide Shared Services Initiative	0	11,166	20,000	60,000	60,000	60,000
Miscellaneous Financial Assistance	12,001	11,998	3,750	3,750	3,750	3,750
Municipalities with VLT Facilities	28,885	28,885	28,885	28,885	28,885	28,885
Small Government Assistance	217	217	218	218	218	218
Functional Total	762,835	714,320	724,019	797,045	797,045	797,045
ALL OTHER CATEGORIES						
Miscellaneous	(67,147)	(131,464)	(6,657,679)	(6,734,279)	(6,133,779)	(5,633,779)
Functional Total	(67,147)	(131,464)	(6,657,679)	(6,734,279)	(6,133,779)	(5,633,779)
TOTAL LOCAL ASSISTANCE SPENDING	49,745,326	51,863,317	46,211,936	49,953,734	52,637,842	55,564,349

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
STATE OPERATIONS
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	32,350	35,701	34,081	32,395	32,195	32,195
Alcoholic Beverage Control, Division of	11,546	10,611	10,896	10,380	10,380	10,380
Economic Development, Department of	15,942	15,850	13,612	13,612	13,612	13,612
Olympic Regional Development Authority	8,783	9,688	11,526	11,526	11,526	11,526
Functional Total	68,621	71,850	70,115	67,913	67,713	67,713
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,297	4,443	4,733	4,621	4,676	4,614
Environmental Conservation, Department of	103,025	110,289	119,378	117,347	118,058	124,320
Parks, Recreation and Historic Preservation, Office of	105,893	106,956	112,588	109,947	107,279	107,279
Functional Total	213,215	221,688	236,699	231,915	230,013	236,213
TRANSPORTATION						
Motor Vehicles, Department of	10,302	10,562	12,586	12,830	12,830	12,830
Transportation, Department of	292,215	336,545	299,075	295,410	283,199	283,199
Functional Total	302,517	347,107	311,661	308,240	296,029	296,029
HEALTH						
Aging, Office for the	1,230	2,046	2,005	1,962	1,962	1,962
Health, Department of	472,110	923,309	(103,485)	429,168	402,346	409,444
<i>Essential Plan</i>	76,580	73,970	63,790	63,722	62,762	62,756
<i>Medicaid Administration</i>	261,296	207,199	204,026	232,412	206,550	213,654
<i>Public Health</i>	134,234	642,140	(371,301)	133,034	133,034	133,034
Medicaid Inspector General, Office of the	18,116	17,983	18,513	17,906	17,906	17,906
Functional Total	491,456	943,338	(82,967)	449,036	422,214	429,312
SOCIAL WELFARE						
Children and Family Services, Office of	244,728	128,910	323,936	320,425	313,701	318,352
<i>OCFS</i>	244,728	128,910	323,936	320,425	313,701	318,352
Housing and Community Renewal, Division of	4,189	7,734	4,414	4,260	4,169	4,169
Human Rights, Division of	9,993	11,048	9,646	9,180	8,830	8,830
Labor, Department of	342	276	324	316	316	316
National and Community Service	290	306	349	349	349	352
Temporary and Disability Assistance, Office of	123,825	143,028	117,519	120,175	115,585	115,585
<i>All Other</i>	123,825	143,028	117,519	120,175	115,585	115,585
Functional Total	383,367	291,302	456,188	454,705	442,950	447,604
MENTAL HYGIENE						
Addiction Services and Supports, Office of	80,677	82,776	80,925	85,656	86,334	86,217
<i>OASAS</i>	28,159	30,822	33,375	35,881	35,862	35,744
<i>OASAS - Other</i>	52,518	51,954	47,550	49,775	50,472	50,473
Justice Center	40,179	41,407	42,120	41,885	42,248	42,604
Mental Health, Office of	1,344,927	1,391,643	1,380,474	1,477,364	1,481,354	1,485,533
<i>OMH</i>	327,995	354,676	357,376	384,090	386,133	385,422
<i>OMH - Other</i>	1,016,932	1,036,967	1,023,098	1,093,274	1,095,221	1,100,111
People with Developmental Disabilities, Office for	1,330,415	1,356,977	1,341,663	1,378,851	1,390,181	1,403,024
<i>OPWDD</i>	262	1	0	0	0	0
<i>OPWDD - Other</i>	1,330,153	1,356,976	1,341,663	1,378,851	1,390,181	1,403,024
Functional Total	2,796,198	2,872,803	2,845,182	2,983,756	3,000,117	3,017,378
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,541	3,149	2,505	2,467	2,467	2,467
Corrections and Community Supervision, Department of	2,598,326	2,871,098	2,662,395	2,626,479	2,666,223	2,663,223
<i>DOCCS</i>	2,598,326	2,871,098	2,662,395	2,626,479	2,666,223	2,663,223
Criminal Justice Services, Division of	33,970	33,903	34,574	34,304	34,992	35,692
Homeland Security and Emergency Services, Division of	1,000	1,000	1,000	1,000	1,020	1,041
Judicial Conduct, Commission on	5,423	5,748	6,266	6,444	6,550	6,550
Judicial Nomination, Commission on	0	0	30	30	30	30

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
STATE OPERATIONS
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Judicial Screening Committees, New York State	7	6	38	38	38	38
Military and Naval Affairs, Division of	20,445	20,066	21,137	21,707	22,142	22,586
State Police, Division of	640,201	696,253	393,753	713,373	731,661	731,661
Statewide Financial System	30,520	31,517	28,089	28,038	27,556	27,556
Functional Total	3,332,433	3,662,740	3,149,787	3,433,880	3,492,679	3,490,844
HIGHER EDUCATION						
Higher Education Services Corporation, New York State	0	0	500	500	500	500
State University of New York	928	3,324	784	784	784	784
Functional Total	928	3,324	1,284	1,284	1,284	1,284
EDUCATION						
Arts, Council on the	4,266	4,251	3,984	3,857	3,703	3,703
Education, Department of	56,527	55,707	50,686	49,334	47,429	47,429
<i>All Other</i>	56,527	55,707	50,686	49,334	47,429	47,429
Functional Total	60,793	59,958	54,670	53,191	51,132	51,132
GENERAL GOVERNMENT						
Budget, Division of the	22,431	23,927	23,749	22,895	22,895	22,895
Civil Service, Department of	15,226	15,104	13,491	12,457	12,465	12,465
Deferred Compensation Board	34	36	58	57	57	57
Elections, State Board of	8,343	8,639	10,133	9,604	8,978	8,978
Employee Relations, Office of	2,097	5,880	6,421	6,288	6,289	6,289
Gaming Commission, New York State	4,541	4,522	5,937	5,742	5,509	5,510
General Services, Office of	135,673	101,989	73,531	75,127	76,679	78,179
Information Technology Services, Office of	544,541	540,195	491,273	494,666	486,691	486,691
Inspector General, Office of the	6,844	6,381	7,076	7,311	7,460	7,460
Labor Management Committees	29,699	30,566	32,325	33,072	33,833	34,610
Prevention of Domestic Violence, Office for	1,556	1,696	1,685	1,596	1,596	1,596
Public Employment Relations Board	3,393	3,380	3,475	3,288	3,288	3,288
Public Ethics, Joint Commission on	5,223	5,217	5,435	5,610	5,719	5,719
State, Department of	10,633	10,366	13,452	9,090	9,090	9,090
Tax Appeals, Division of	2,820	2,871	3,150	2,714	2,604	2,604
Taxation and Finance, Department of	303,582	254,547	256,800	241,751	228,834	229,422
Veterans' Services, Division of	5,720	6,207	5,834	5,758	5,538	5,605
Welfare Inspector General, Office of	629	640	731	753	768	768
Functional Total	1,102,985	1,022,163	954,556	937,779	918,293	921,226
ELECTED OFFICIALS						
Audit and Control, Department of	134,753	137,515	129,448	127,026	127,026	127,026
Executive Chamber	12,673	13,239	14,032	13,578	13,578	13,578
Judiciary	2,063,276	2,022,751	1,911,360	1,856,560	1,856,560	1,856,560
Law, Department of	107,960	110,067	106,426	103,180	103,180	103,180
Legislature	223,009	227,546	223,106	229,999	230,052	230,052
Lieutenant Governor, Office of the	530	518	634	614	614	614
Functional Total	2,542,201	2,511,636	2,385,006	2,330,957	2,331,010	2,331,010
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	247	2	0	0	0	0
Functional Total	247	2	0	0	0	0
ALL OTHER CATEGORIES						
Miscellaneous	46,414	45,809	837,949	894,092	1,072,614	1,301,137
Functional Total	46,414	45,809	837,949	894,092	1,072,614	1,301,137
TOTAL STATE OPERATIONS SPENDING	11,341,375	12,053,720	11,220,130	12,146,748	12,326,048	12,590,882

CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
PERSONAL SERVICE
(thousands of dollars)

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	27,105	28,153	29,600	28,277	28,277	28,277
Alcoholic Beverage Control, Division of	8,166	8,088	8,316	8,316	8,316	8,316
Economic Development, Department of	13,090	12,934	11,826	11,826	11,826	11,826
Olympic Regional Development Authority	5,595	5,500	5,338	5,338	5,338	5,338
Functional Total	53,956	54,675	55,080	53,757	53,757	53,757
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	3,950	4,069	4,243	4,256	4,327	4,299
Environmental Conservation, Department of	90,087	94,779	96,431	94,305	95,016	101,287
Parks, Recreation and Historic Preservation, Office of	99,679	101,312	106,714	104,073	101,405	101,405
Functional Total	193,716	200,160	207,388	202,634	200,748	206,991
TRANSPORTATION						
Motor Vehicles, Department of	8,318	8,478	8,868	9,046	9,046	9,046
Transportation, Department of	153,653	158,175	148,322	140,996	134,978	134,978
Functional Total	161,971	166,653	157,190	150,042	144,024	144,024
HEALTH						
Aging, Office for the	1,125	1,917	1,899	1,856	1,856	1,856
Health, Department of	134,839	139,954	137,925	146,888	152,345	154,814
<i>Essential Plan</i>	3,233	3,326	4,138	4,453	4,476	4,413
<i>Medicaid Administration</i>	37,949	34,960	35,751	40,153	45,587	48,119
<i>Public Health</i>	93,657	101,668	98,036	102,282	102,282	102,282
Medicaid Inspector General, Office of the	15,204	15,599	16,116	15,509	15,509	15,509
Functional Total	151,168	157,470	155,940	164,253	169,710	172,179
SOCIAL WELFARE						
Children and Family Services, Office of	172,601	83,069	241,798	237,860	235,103	237,738
<i>OCFS</i>	172,601	83,069	241,798	237,860	235,103	237,738
Housing and Community Renewal, Division of	3,049	3,228	4,042	3,888	3,797	3,797
Human Rights, Division of	8,934	9,543	9,109	8,744	8,411	8,411
Labor, Department of	87	84	69	56	56	56
National and Community Service	288	305	340	340	340	343
Temporary and Disability Assistance, Office of	68,003	64,862	68,359	70,106	67,432	67,432
<i>All Other</i>	68,003	64,862	68,359	70,106	67,432	67,432
Functional Total	252,962	161,091	323,717	320,994	315,139	317,777
MENTAL HYGIENE						
Addiction Services and Supports, Office of	60,203	60,528	61,831	64,454	64,311	64,159
<i>OASAS</i>	22,378	23,279	26,497	28,599	28,264	27,916
<i>OASAS - Other</i>	37,825	37,249	35,334	35,855	36,047	36,243
Justice Center	31,149	33,333	33,228	32,660	32,793	32,922
Mental Health, Office of	1,086,774	1,117,318	1,125,345	1,181,324	1,172,171	1,162,932
<i>OMH</i>	268,080	286,101	320,544	340,799	341,383	338,832
<i>OMH - Other</i>	818,694	831,217	804,801	840,525	830,788	824,100
People with Developmental Disabilities, Office for	1,142,604	1,161,329	1,155,502	1,171,359	1,179,092	1,186,786
<i>OPWDD</i>	262	0	0	0	0	0
<i>OPWDD - Other</i>	1,142,342	1,161,329	1,155,502	1,171,359	1,179,092	1,186,786
Functional Total	2,320,730	2,372,508	2,375,906	2,449,797	2,448,367	2,446,799
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,276	2,652	2,288	2,245	2,245	2,245
Corrections and Community Supervision, Department of	2,111,029	2,380,498	2,198,124	2,168,827	2,202,246	2,202,246
<i>DOCCS</i>	2,111,029	2,380,498	2,198,124	2,168,827	2,202,246	2,202,246
Criminal Justice Services, Division of	28,029	27,666	28,591	28,150	28,664	29,227
Homeland Security and Emergency Services, Division of	1,000	1,000	1,000	1,000	1,020	1,041

CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
PERSONAL SERVICE
(thousands of dollars)

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Judicial Conduct, Commission on	3,959	4,203	4,878	4,813	4,903	4,903
Military and Naval Affairs, Division of	14,335	14,158	14,539	14,615	14,908	15,207
State Police, Division of	611,673	661,291	366,678	668,682	686,258	686,258
Statewide Financial System	11,294	11,650	11,937	11,690	11,711	11,711
Functional Total	2,783,595	3,103,118	2,628,035	2,900,022	2,951,955	2,952,838
HIGHER EDUCATION						
Higher Education Services Corporation, New York State	0	0	500	500	500	500
State University of New York	0	52	42	42	42	42
Functional Total	0	52	542	542	542	542
EDUCATION						
Arts, Council on the	2,636	2,681	2,519	2,423	2,423	2,423
Education, Department of	30,847	32,211	29,594	28,431	27,471	27,471
<i>All Other</i>	30,847	32,211	29,594	28,431	27,471	27,471
Functional Total	33,483	34,892	32,113	30,854	29,894	29,894
GENERAL GOVERNMENT						
Budget, Division of the	20,534	22,089	23,070	22,216	22,216	22,216
Civil Service, Department of	14,874	15,103	13,491	12,457	12,465	12,465
Deferred Compensation Board	34	35	33	32	32	32
Elections, State Board of	5,773	6,039	6,471	6,378	6,216	6,216
Employee Relations, Office of	2,040	5,789	6,310	6,177	6,177	6,177
Gaming Commission, New York State	3,177	3,254	2,875	2,705	2,595	2,596
General Services, Office of	57,198	36,171	39,835	39,044	39,820	40,612
Information Technology Services, Office of	299,018	296,582	291,761	297,162	299,828	299,828
Inspector General, Office of the	5,909	5,423	6,220	6,107	6,244	6,244
Labor Management Committees	8,618	8,420	5,698	5,487	5,487	5,487
Prevention of Domestic Violence, Office for	1,394	1,550	1,501	1,408	1,408	1,408
Public Employment Relations Board	3,175	3,214	3,262	3,112	3,112	3,112
Public Ethics, Joint Commission on	4,516	4,486	4,617	4,577	4,674	4,674
State, Department of	10,301	10,012	9,216	8,854	8,854	8,854
Tax Appeals, Division of	2,513	2,640	2,936	2,600	2,509	2,516
Taxation and Finance, Department of	262,755	214,530	214,060	200,315	187,398	187,986
Veterans' Services, Division of	5,161	5,546	5,730	5,653	5,501	5,562
Welfare Inspector General, Office of	615	630	654	646	659	659
Functional Total	707,605	641,513	637,740	624,930	615,195	616,644
ELECTED OFFICIALS						
Audit and Control, Department of	108,084	110,531	103,213	100,791	100,791	100,791
Executive Chamber	9,135	10,876	11,567	11,113	11,113	11,113
Judiciary	1,669,267	1,646,896	1,569,180	1,514,380	1,514,380	1,514,380
Law, Department of	97,853	101,472	97,257	94,011	94,011	94,011
Legislature	175,304	177,365	177,583	183,366	183,419	183,419
Lieutenant Governor, Office of the	414	431	543	523	523	523
Functional Total	2,060,057	2,047,571	1,959,343	1,904,184	1,904,237	1,904,237
ALL OTHER CATEGORIES						
Miscellaneous	68	73	390,056	390,056	465,056	565,056
Functional Total	68	73	390,056	390,056	465,056	565,056
TOTAL PERSONAL SERVICE SPENDING	8,719,311	8,939,776	8,923,050	9,192,065	9,298,624	9,410,738

CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
NON-PERSONAL SERVICE
(thousands of dollars)

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	5,245	7,548	4,481	4,118	3,918	3,918
Alcoholic Beverage Control, Division of	3,380	2,523	2,580	2,064	2,064	2,064
Economic Development, Department of	2,852	2,916	1,786	1,786	1,786	1,786
Olympic Regional Development Authority	3,188	4,188	6,188	6,188	6,188	6,188
Functional Total	14,665	17,175	15,035	14,156	13,956	13,956
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	347	374	490	365	349	315
Environmental Conservation, Department of	12,938	15,510	22,947	23,042	23,042	23,033
Parks, Recreation and Historic Preservation, Office of	6,214	5,644	5,874	5,874	5,874	5,874
Functional Total	19,499	21,528	29,311	29,281	29,265	29,222
TRANSPORTATION						
Motor Vehicles, Department of	1,984	2,084	3,718	3,784	3,784	3,784
Transportation, Department of	138,562	178,370	150,753	154,414	148,221	148,221
Functional Total	140,546	180,454	154,471	158,198	152,005	152,005
HEALTH						
Aging, Office for the	105	129	106	106	106	106
Health, Department of	337,271	783,355	(241,410)	282,280	250,001	254,630
<i>Essential Plan</i>	73,347	70,644	59,652	59,269	58,286	58,343
<i>Medicaid Administration</i>	223,347	172,239	168,275	192,259	160,963	165,535
<i>Public Health</i>	40,577	540,472	(469,337)	30,752	30,752	30,752
Medicaid Inspector General, Office of the	2,912	2,384	2,397	2,397	2,397	2,397
Functional Total	340,288	785,868	(238,907)	284,783	252,504	257,133
SOCIAL WELFARE						
Children and Family Services, Office of	72,127	45,841	82,138	82,565	78,598	80,614
<i>OCFS</i>	72,127	45,841	82,138	82,565	78,598	80,614
Housing and Community Renewal, Division of	1,140	4,506	372	372	372	372
Human Rights, Division of	1,059	1,505	537	436	419	419
Labor, Department of	255	192	255	260	260	260
National and Community Service	2	1	9	9	9	9
Temporary and Disability Assistance, Office of	55,822	78,166	49,160	50,069	48,153	48,153
<i>All Other</i>	55,822	78,166	49,160	50,069	48,153	48,153
Functional Total	130,405	130,211	132,471	133,711	127,811	129,827
MENTAL HYGIENE						
Addiction Services and Supports, Office of	20,474	22,248	19,094	21,202	22,023	22,058
<i>OASAS</i>	5,781	7,543	6,878	7,282	7,598	7,828
<i>OASAS - Other</i>	14,693	14,705	12,216	13,920	14,425	14,230
Justice Center	9,030	8,074	8,892	9,225	9,455	9,682
Mental Health, Office of	258,153	274,325	255,129	296,040	309,183	322,601
<i>OMH</i>	59,915	68,575	36,832	43,291	44,750	46,590
<i>OMH - Other</i>	198,238	205,750	218,297	252,749	264,433	276,011
People with Developmental Disabilities, Office for	187,811	195,648	186,161	207,492	211,089	216,238
<i>OPWDD</i>	0	1	0	0	0	0
<i>OPWDD - Other</i>	187,811	195,647	186,161	207,492	211,089	216,238
Functional Total	475,468	500,295	469,276	533,959	551,750	570,579
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	265	497	217	222	222	222
Corrections and Community Supervision, Department of	487,297	490,600	464,271	457,652	463,977	460,977
<i>DOCCS</i>	487,297	490,600	464,271	457,652	463,977	460,977
Criminal Justice Services, Division of	5,941	6,237	5,983	6,154	6,328	6,465
Judicial Conduct, Commission on	1,464	1,545	1,388	1,631	1,647	1,647

CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
NON-PERSONAL SERVICE
(thousands of dollars)

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Judicial Nomination, Commission on	0	0	30	30	30	30
Judicial Screening Committees, New York State	7	6	38	38	38	38
Military and Naval Affairs, Division of	6,110	5,908	6,598	7,092	7,234	7,379
State Police, Division of	28,528	34,962	27,075	44,691	45,403	45,403
Statewide Financial System	19,226	19,867	16,152	16,348	15,845	15,845
Functional Total	548,838	559,622	521,752	533,858	540,724	538,006
HIGHER EDUCATION						
State University of New York	928	3,272	742	742	742	742
Functional Total	928	3,272	742	742	742	742
EDUCATION						
Arts, Council on the	1,630	1,570	1,465	1,434	1,280	1,280
Education, Department of	25,680	23,496	21,092	20,903	19,958	19,958
<i>All Other</i>	25,680	23,496	21,092	20,903	19,958	19,958
Functional Total	27,310	25,066	22,557	22,337	21,238	21,238
GENERAL GOVERNMENT						
Budget, Division of the	1,897	1,838	679	679	679	679
Civil Service, Department of	352	1	0	0	0	0
Deferred Compensation Board	0	1	25	25	25	25
Elections, State Board of	2,570	2,600	3,662	3,226	2,762	2,762
Employee Relations, Office of	57	91	111	111	112	112
Gaming Commission, New York State	1,364	1,268	3,062	3,037	2,914	2,914
General Services, Office of	78,475	65,818	33,696	36,083	36,859	37,567
Information Technology Services, Office of	245,523	243,613	199,512	197,504	186,863	186,863
Inspector General, Office of the	935	958	856	1,204	1,216	1,216
Labor Management Committees	21,081	22,146	26,627	27,585	28,346	29,123
Prevention of Domestic Violence, Office for	162	146	184	188	188	188
Public Employment Relations Board	218	166	213	176	176	176
Public Ethics, Joint Commission on	707	731	818	1,033	1,045	1,045
State, Department of	332	354	4,236	236	236	236
Tax Appeals, Division of	307	231	214	114	95	88
Taxation and Finance, Department of	40,827	40,017	42,740	41,436	41,436	41,436
Veterans' Services, Division of	559	661	104	105	37	43
Welfare Inspector General, Office of	14	10	77	107	109	109
Functional Total	395,380	380,650	316,816	312,849	303,098	304,582
ELECTED OFFICIALS						
Audit and Control, Department of	26,669	26,984	26,235	26,235	26,235	26,235
Executive Chamber	3,538	2,363	2,465	2,465	2,465	2,465
Judiciary	394,009	375,855	342,180	342,180	342,180	342,180
Law, Department of	10,107	8,595	9,169	9,169	9,169	9,169
Legislature	47,705	50,181	45,523	46,633	46,633	46,633
Lieutenant Governor, Office of the	116	87	91	91	91	91
Functional Total	482,144	464,065	425,663	426,773	426,773	426,773
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	247	2	0	0	0	0
Functional Total	247	2	0	0	0	0
ALL OTHER CATEGORIES						
Miscellaneous	46,346	45,736	447,893	504,036	607,558	736,081
Functional Total	46,346	45,736	447,893	504,036	607,558	736,081
TOTAL NON-PERSONAL SERVICE SPENDING	2,622,064	3,113,944	2,297,080	2,954,683	3,027,424	3,180,144

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
GENERAL STATE CHARGES
(thousands of dollars)**

	<u>FY 2019 Results</u>	<u>FY 2020 Results</u>	<u>FY 2021 Updated</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
GENERAL GOVERNMENT						
Labor Management Committees	5,821	6,386	5,000	5,000	5,000	5,000
Functional Total	<u>5,821</u>	<u>6,386</u>	<u>5,000</u>	<u>5,000</u>	<u>5,000</u>	<u>5,000</u>
ELECTED OFFICIALS						
Judiciary	757,988	789,456	746,184	847,898	847,897	813,993
Functional Total	<u>757,988</u>	<u>789,456</u>	<u>746,184</u>	<u>847,898</u>	<u>847,897</u>	<u>813,993</u>
ALL OTHER CATEGORIES						
General State Charges	6,373,456	6,655,087	6,242,880	7,975,454	8,476,429	8,937,637
Miscellaneous	1,374	2,775	4,420	4,420	4,420	4,420
Functional Total	<u>6,374,830</u>	<u>6,657,862</u>	<u>6,247,300</u>	<u>7,979,874</u>	<u>8,480,849</u>	<u>8,942,057</u>
TOTAL GENERAL STATE CHARGES SPENDING	<u><u>7,138,639</u></u>	<u><u>7,453,704</u></u>	<u><u>6,998,484</u></u>	<u><u>8,832,772</u></u>	<u><u>9,333,746</u></u>	<u><u>9,761,050</u></u>

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
<i>Agriculture and Markets, Department of</i>	73,582	76,530	65,132	83,006	82,841	82,841
Local Assistance	33,027	35,047	23,075	26,501	26,501	26,501
State Operations	37,473	40,172	39,897	54,244	54,044	54,044
Personal Service	30,255	30,677	32,934	31,777	31,777	31,777
Non-Personal Service/Indirect Costs	7,218	9,495	6,963	22,467	22,267	22,267
General State Charges	3,082	1,311	2,160	2,261	2,296	2,296
<i>Alcoholic Beverage Control, Division of</i>	11,546	10,611	10,896	10,380	10,380	10,380
State Operations	11,546	10,611	10,896	10,380	10,380	10,380
Personal Service	8,166	8,088	8,316	8,316	8,316	8,316
Non-Personal Service/Indirect Costs	3,380	2,523	2,580	2,064	2,064	2,064
<i>Economic Development, Department of</i>	73,570	53,059	61,564	66,414	66,414	66,414
Local Assistance	55,760	36,019	45,974	50,824	50,824	50,824
State Operations	17,810	17,040	15,562	15,562	15,562	15,562
Personal Service	13,090	12,934	11,929	11,929	11,929	11,929
Non-Personal Service/Indirect Costs	4,720	4,106	3,633	3,633	3,633	3,633
General State Charges	0	0	28	28	28	28
<i>Empire State Development Corporation</i>	85,177	105,126	58,800	58,800	58,800	58,800
Local Assistance	85,177	105,126	58,800	58,800	58,800	58,800
<i>Financial Services, Department of</i>	392,863	371,795	388,157	382,991	382,991	382,991
Local Assistance	64,179	55,146	61,581	59,896	59,896	59,896
State Operations	215,165	218,385	213,231	208,844	208,844	208,844
Personal Service	156,895	157,002	157,986	153,893	153,893	153,893
Non-Personal Service/Indirect Costs	58,270	61,383	55,245	54,951	54,951	54,951
General State Charges	113,519	98,264	113,345	114,251	114,251	114,251
<i>Olympic Regional Development Authority</i>	11,175	11,956	11,676	11,676	11,676	11,676
Local Assistance	2,360	2,268	0	0	0	0
State Operations	8,784	9,688	11,676	11,676	11,676	11,676
Personal Service	5,595	5,500	5,338	5,338	5,338	5,338
Non-Personal Service/Indirect Costs	3,189	4,188	6,338	6,338	6,338	6,338
General State Charges	31	0	0	0	0	0
<i>Public Service Department</i>	75,433	79,617	87,723	82,239	81,099	81,099
Local Assistance	218	1,341	3,100	60	60	60
State Operations	52,432	51,122	53,426	51,406	49,740	49,740
Personal Service	42,628	42,684	46,025	44,550	44,577	44,577
Non-Personal Service/Indirect Costs	9,804	8,438	7,401	6,856	5,163	5,163
General State Charges	22,783	27,154	31,197	30,773	31,299	31,299
Functional Total	723,346	708,694	683,948	695,506	694,201	694,201
PARKS AND THE ENVIRONMENT						
<i>Adirondack Park Agency</i>	4,297	4,443	4,733	4,621	4,676	4,614
State Operations	4,297	4,443	4,733	4,621	4,676	4,614
Personal Service	3,950	4,069	4,243	4,256	4,327	4,299
Non-Personal Service/Indirect Costs	347	374	490	365	349	315
<i>Environmental Conservation, Department of</i>	275,632	266,117	274,639	265,108	259,765	251,091
Local Assistance	2,431	2,202	1,478	1,247	978	978
State Operations	210,767	216,331	225,736	219,036	213,615	212,709
Personal Service	174,576	179,044	189,230	181,783	176,318	175,794
Non-Personal Service/Indirect Costs	36,191	37,287	36,506	37,253	37,297	36,915
General State Charges	62,434	47,584	47,425	44,825	45,172	37,404
<i>Parks, Recreation and Historic Preservation, Office of</i>	186,543	175,540	167,935	164,167	161,499	161,499
Local Assistance	6,502	6,028	3,750	3,750	3,750	3,750

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
State Operations	176,384	164,815	162,714	159,012	156,344	156,344
Personal Service	133,979	126,957	136,358	132,656	129,988	129,988
Non-Personal Service/Indirect Costs	42,405	37,858	26,356	26,356	26,356	26,356
General State Charges	3,657	4,697	1,471	1,405	1,405	1,405
Functional Total	466,472	446,100	447,307	433,896	425,940	417,204
TRANSPORTATION						
Motor Vehicles, Department of	87,876	82,879	80,727	81,060	81,060	81,060
Local Assistance	375	0	0	0	0	0
State Operations	59,189	60,446	54,764	54,445	54,445	54,445
Personal Service	43,766	44,731	44,207	44,497	44,497	44,497
Non-Personal Service/Indirect Costs	15,423	15,715	10,557	9,948	9,948	9,948
General State Charges	28,312	22,433	25,963	26,615	26,615	26,615
Transportation, Department of	4,240,127	3,835,340	4,102,006	4,075,543	4,265,302	4,455,145
Local Assistance	3,937,827	3,488,416	3,791,513	3,769,099	3,971,034	4,160,842
State Operations	300,619	345,088	308,081	304,321	292,110	292,110
Personal Service	156,307	161,055	151,773	144,344	138,326	138,326
Non-Personal Service/Indirect Costs	144,312	184,033	156,308	159,977	153,784	153,784
General State Charges	1,681	1,836	2,412	2,123	2,158	2,193
Functional Total	4,328,003	3,918,219	4,182,733	4,156,603	4,346,362	4,536,205
HEALTH						
Aging, Office for the	131,371	137,607	143,311	145,291	150,396	155,630
Local Assistance	130,141	135,561	141,306	143,329	148,434	153,668
State Operations	1,230	2,046	2,005	1,962	1,962	1,962
Personal Service	1,125	1,917	1,899	1,856	1,856	1,856
Non-Personal Service/Indirect Costs	105	129	106	106	106	106
Health, Department of	22,376,592	24,670,635	21,902,759	26,410,977	27,491,871	28,500,880
Medical Assistance	19,528,919	21,224,760	19,511,345	23,464,522	24,565,817	25,572,051
Local Assistance	19,528,919	21,224,760	19,511,345	23,464,522	24,565,817	25,572,051
Essential Plan	76,580	73,970	63,790	63,722	62,762	62,756
State Operations	76,580	73,970	63,790	63,722	62,762	62,756
Personal Service	3,233	3,326	4,138	4,453	4,476	4,413
Non-Personal Service/Indirect Costs	73,347	70,644	59,652	59,269	58,286	58,343
Medicaid Administration	764,404	738,152	672,069	686,250	646,704	640,626
Local Assistance	503,108	530,424	466,502	452,297	438,613	425,431
State Operations	261,296	207,526	204,986	233,372	207,510	214,614
Personal Service	37,949	35,276	36,661	41,063	46,497	49,029
Non-Personal Service/Indirect Costs	223,347	172,250	168,325	192,309	161,013	165,585
General State Charges	0	202	581	581	581	581
Public Health	2,006,689	2,633,753	1,655,555	2,196,483	2,216,588	2,225,447
Local Assistance	1,582,129	1,669,065	1,689,393	1,722,623	1,742,090	1,750,583
State Operations	389,638	928,668	(72,919)	432,294	432,866	433,129
Personal Service	225,732	240,016	235,854	242,224	242,316	242,463
Non-Personal Service/Indirect Costs	163,906	688,652	(308,773)	190,070	190,550	190,666
General State Charges	34,922	36,020	39,081	41,566	41,632	41,735
Medicaid Inspector General, Office of the	18,116	17,983	18,513	17,906	17,906	17,906
State Operations	18,116	17,983	18,513	17,906	17,906	17,906
Personal Service	15,204	15,599	16,116	15,509	15,509	15,509
Non-Personal Service/Indirect Costs	2,912	2,384	2,397	2,397	2,397	2,397
Functional Total	22,526,079	24,826,225	22,064,583	26,574,174	27,660,173	28,674,416

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
SOCIAL WELFARE						
<i>Children and Family Services, Office of</i>	1,922,296	1,342,973	2,178,810	1,888,199	1,882,049	1,887,048
OCFS	1,850,182	1,305,758	2,107,952	1,813,650	1,807,500	1,812,499
Local Assistance	1,587,065	1,156,746	1,762,739	1,471,657	1,472,231	1,472,231
State Operations	260,874	146,381	342,963	339,727	333,003	338,002
Personal Service	176,225	86,540	245,281	241,309	238,552	241,220
Non-Personal Service/Indirect Costs	84,649	59,841	97,682	98,418	94,451	96,782
General State Charges	2,243	2,631	2,250	2,266	2,266	2,266
OCFS - Other	72,114	37,215	70,858	74,549	74,549	74,549
Local Assistance	72,114	37,215	70,858	74,549	74,549	74,549
<i>Housing and Community Renewal, Division of</i>	83,158	102,272	22,861	67,511	66,912	66,912
Local Assistance	10,178	30,427	3,835	47,789	47,789	47,789
State Operations	51,072	52,701	16,505	15,878	15,279	15,279
Personal Service	41,155	39,887	15,933	15,306	14,707	14,707
Non-Personal Service/Indirect Costs	9,917	12,814	572	572	572	572
General State Charges	21,908	19,144	2,521	3,844	3,844	3,844
<i>Human Rights, Division of</i>	9,993	11,048	9,646	9,180	8,830	8,830
State Operations	9,993	11,048	9,646	9,180	8,830	8,830
Personal Service	8,934	9,543	9,109	8,744	8,411	8,411
Non-Personal Service/Indirect Costs	1,059	1,505	537	436	419	419
<i>Labor, Department of</i>	91,114	93,925	67,319	71,583	69,966	69,966
Local Assistance	15,469	25,517	2,400	5,150	5,150	5,150
State Operations	49,769	47,559	44,432	43,428	41,811	41,811
Personal Service	33,501	32,931	31,440	30,168	28,551	28,551
Non-Personal Service/Indirect Costs	16,268	14,628	12,992	13,260	13,260	13,260
General State Charges	25,876	20,849	20,487	23,005	23,005	23,005
<i>National and Community Service</i>	560	655	781	781	781	784
Local Assistance	270	349	432	432	432	432
State Operations	290	306	349	349	349	352
Personal Service	288	305	340	340	340	343
Non-Personal Service/Indirect Costs	2	1	9	9	9	9
<i>Temporary and Disability Assistance, Office of</i>	1,266,537	1,305,567	1,533,774	1,466,811	1,575,021	1,611,821
Welfare Assistance	1,037,938	1,065,325	1,321,712	1,217,312	1,217,312	1,217,312
Local Assistance	1,037,938	1,065,325	1,321,712	1,217,312	1,217,312	1,217,312
All Other	228,599	240,242	212,062	249,499	357,709	394,509
Local Assistance	101,093	96,214	94,215	128,996	241,796	278,596
State Operations	127,470	143,963	117,719	120,375	115,785	115,785
Personal Service	68,003	64,862	68,359	70,106	67,432	67,432
Non-Personal Service/Indirect Costs	59,467	79,101	49,360	50,269	48,353	48,353
General State Charges	36	65	128	128	128	128
Functional Total	3,373,658	2,856,440	3,813,191	3,504,065	3,603,559	3,645,361
MENTAL HYGIENE						
<i>Addiction Services and Supports, Office of</i>	435,819	452,774	455,224	469,469	495,468	513,284
OASAS	361,970	379,495	386,349	398,369	423,671	441,486
Local Assistance	327,526	342,230	346,503	356,002	381,142	398,879
State Operations	34,444	37,265	39,846	42,367	42,529	42,607
Personal Service	22,378	23,279	26,497	28,599	28,264	27,916
Non-Personal Service/Indirect Costs	12,066	13,986	13,349	13,768	14,265	14,691
OASAS - Other	73,849	73,279	68,875	71,100	71,797	71,798

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Local Assistance	21,325	21,325	21,325	21,325	21,325	21,325
State Operations	52,524	51,954	47,550	49,775	50,472	50,473
Personal Service	37,825	37,249	35,334	35,855	36,047	36,243
Non-Personal Service/Indirect Costs	14,699	14,705	12,216	13,920	14,425	14,230
Justice Center	43,551	43,601	45,118	44,928	45,328	45,723
Local Assistance	679	649	649	649	649	649
State Operations	41,652	42,363	43,582	43,369	43,746	44,116
Personal Service	32,560	34,257	34,650	34,095	34,241	34,384
Non-Personal Service/Indirect Costs	9,092	8,106	8,932	9,274	9,505	9,732
General State Charges	1,220	589	887	910	933	958
Mental Health, Office of	2,581,803	2,715,731	2,871,042	3,006,762	3,079,986	3,143,762
OMH	1,323,262	1,390,257	1,536,638	1,600,677	1,663,310	1,720,390
Local Assistance	1,002,843	1,032,812	1,173,817	1,211,142	1,271,732	1,329,523
State Operations	331,100	357,445	362,821	389,535	391,578	390,867
Personal Service	268,077	286,101	320,647	340,902	341,486	338,935
Non-Personal Service/Indirect Costs	63,023	71,344	42,174	48,633	50,092	51,932
General State Charges	(10,681)	0	0	0	0	0
OMH - Other	1,258,541	1,325,474	1,334,404	1,406,085	1,416,676	1,423,372
Local Assistance	278,961	288,507	311,306	312,811	321,455	323,261
State Operations	1,013,556	1,036,967	1,023,098	1,093,274	1,095,221	1,100,111
Personal Service	818,643	831,217	804,801	840,525	830,788	824,100
Non-Personal Service/Indirect Costs	194,913	205,750	218,297	252,749	264,433	276,011
General State Charges	(33,976)	0	0	0	0	0
Mental Hygiene, Department of	0	0	0	0	0	(22,594)
Debt Service	0	0	0	0	0	(22,594)
People with Developmental Disabilities, Office for	1,839,724	3,098,722	2,717,748	3,332,162	3,177,976	3,655,073
OPWDD	387,604	408,356	353,046	351,831	360,622	394,642
Local Assistance	387,306	408,279	352,843	351,628	360,419	394,439
State Operations	298	77	203	203	203	203
Personal Service	262	0	0	0	0	0
Non-Personal Service/Indirect Costs	36	77	203	203	203	203
OPWDD - Other	1,452,120	2,690,366	2,364,702	2,980,331	2,817,354	3,260,431
Local Assistance	131,150	1,333,390	1,023,039	1,601,480	1,427,173	1,857,407
State Operations	1,320,970	1,356,976	1,341,663	1,378,851	1,390,181	1,403,024
Personal Service	1,142,322	1,161,329	1,155,502	1,171,359	1,179,092	1,186,786
Non-Personal Service/Indirect Costs	178,648	195,647	186,161	207,492	211,089	216,238
Functional Total	4,900,897	6,310,828	6,089,132	6,853,321	6,798,758	7,335,248
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,541	3,149	2,505	2,467	2,467	2,467
State Operations	2,541	3,149	2,505	2,467	2,467	2,467
Personal Service	2,276	2,652	2,288	2,245	2,245	2,245
Non-Personal Service/Indirect Costs	265	497	217	222	222	222
Corrections and Community Supervision, Department of	2,602,994	2,878,884	2,672,183	2,634,267	2,674,036	2,671,036
DOCCS	2,602,994	2,878,884	2,670,183	2,634,267	2,674,036	2,671,036
Local Assistance	3,435	6,336	4,836	4,836	4,836	4,836
State Operations	2,599,368	2,872,407	2,665,241	2,629,325	2,669,094	2,666,094
Personal Service	2,111,244	2,380,718	2,198,343	2,169,046	2,202,469	2,202,469
Non-Personal Service/Indirect Costs	488,124	491,689	466,898	460,279	466,625	463,625
General State Charges	191	141	106	106	106	106
DOCCS - Other	0	0	2,000	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Local Assistance	0	0	2,000	0	0	0
<i>Criminal Justice Services, Division of</i>	217,569	210,316	237,782	238,603	199,347	200,112
Local Assistance	181,941	174,934	202,171	202,171	162,171	162,171
State Operations	35,628	35,382	35,611	36,432	37,176	37,941
Personal Service	28,281	27,813	28,981	28,537	29,051	29,622
Non-Personal Service/Indirect Costs	7,347	7,569	6,630	7,895	8,125	8,319
<i>Homeland Security and Emergency Services, Division of</i>	86,408	75,316	94,894	140,968	143,889	144,831
Local Assistance	49,327	33,693	57,142	100,839	102,925	103,013
State Operations	36,318	40,957	36,945	39,256	40,091	40,945
Personal Service	20,611	28,927	27,685	29,577	30,133	30,789
Non-Personal Service/Indirect Costs	15,707	12,030	9,260	9,679	9,958	10,156
General State Charges	763	666	807	873	873	873
<i>Indigent Legal Services, Office of</i>	88,453	90,265	213,432	226,614	276,785	290,707
Local Assistance	83,765	85,503	207,000	220,000	270,000	283,762
State Operations	2,837	3,117	4,276	4,380	4,468	4,543
Personal Service	2,430	2,620	3,440	3,524	3,595	3,667
Non-Personal Service/Indirect Costs	407	497	836	856	873	876
General State Charges	1,851	1,645	2,156	2,234	2,317	2,402
<i>Judicial Conduct, Commission on</i>	5,423	5,748	6,266	6,444	6,550	6,550
State Operations	5,423	5,748	6,266	6,444	6,550	6,550
Personal Service	3,959	4,203	4,878	4,813	4,903	4,903
Non-Personal Service/Indirect Costs	1,464	1,545	1,388	1,631	1,647	1,647
<i>Judicial Nomination, Commission on</i>	0	0	30	30	30	30
State Operations	0	0	30	30	30	30
Non-Personal Service/Indirect Costs	0	0	30	30	30	30
<i>Judicial Screening Committees, New York State</i>	7	6	38	38	38	38
State Operations	7	6	38	38	38	38
Non-Personal Service/Indirect Costs	7	6	38	38	38	38
<i>Military and Naval Affairs, Division of</i>	24,968	26,112	25,612	26,420	26,878	27,415
Local Assistance	885	885	820	886	904	923
State Operations	23,869	25,227	24,792	25,524	25,964	26,482
Personal Service	14,432	14,158	14,539	14,776	15,073	15,376
Non-Personal Service/Indirect Costs	9,437	11,069	10,253	10,748	10,891	11,106
General State Charges	214	0	0	10	10	10
<i>State Police, Division of</i>	763,179	800,259	504,576	819,866	839,528	839,528
Local Assistance	0	15	0	0	0	0
State Operations	717,416	773,899	475,623	790,543	810,005	810,005
Personal Service	657,453	709,801	416,810	719,052	737,678	737,678
Non-Personal Service/Indirect Costs	59,963	64,098	58,813	71,491	72,327	72,327
General State Charges	45,763	26,345	28,953	29,323	29,523	29,523
<i>Statewide Financial System</i>	30,520	31,517	28,089	28,038	27,556	27,556
State Operations	30,520	31,517	28,089	28,038	27,556	27,556
Personal Service	11,294	11,650	11,937	11,690	11,711	11,711
Non-Personal Service/Indirect Costs	19,226	19,867	16,152	16,348	15,845	15,845
<i>Victim Services, Office of</i>	30,267	30,913	33,078	33,876	33,876	33,876
Local Assistance	24,865	25,496	27,744	28,398	28,398	28,398
State Operations	3,508	3,757	3,684	3,795	3,795	3,795
Personal Service	2,958	3,119	3,173	3,122	3,122	3,122
Non-Personal Service/Indirect Costs	550	638	511	673	673	673
General State Charges	1,894	1,660	1,650	1,683	1,683	1,683
Functional Total	3,852,329	4,152,485	3,818,485	4,157,631	4,230,980	4,244,146

HIGHER EDUCATION

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
City University of New York	1,603,808	1,035,366	2,377,798	1,628,867	1,661,260	1,691,017
Local Assistance	1,508,007	933,466	2,245,142	1,625,374	1,657,767	1,687,524
State Operations	95,672	101,696	132,506	3,493	3,493	3,493
Personal Service	47,274	48,676	84,145	3,493	3,493	3,493
Non-Personal Service/Indirect Costs	48,398	53,020	48,361	0	0	0
General State Charges	129	204	150	0	0	0
Higher Education - Miscellaneous	540	609	441	441	441	441
State Operations	340	428	291	291	291	291
Personal Service	232	283	198	198	198	198
Non-Personal Service/Indirect Costs	108	145	93	93	93	93
General State Charges	200	181	150	150	150	150
Higher Education Services Corporation, New York State	1,033,360	986,171	847,440	871,579	875,984	876,222
Local Assistance	984,334	950,474	810,146	834,285	838,690	838,928
State Operations	39,219	29,082	30,475	30,475	30,475	30,475
Personal Service	12,337	10,530	11,353	11,353	11,353	11,353
Non-Personal Service/Indirect Costs	26,882	18,552	19,122	19,122	19,122	19,122
General State Charges	9,807	6,615	6,819	6,819	6,819	6,819
State University of New York	7,011,073	7,244,706	7,338,696	7,347,170	7,466,118	7,561,219
Local Assistance	487,744	478,769	459,460	461,184	460,184	460,184
State Operations	6,000,806	6,324,242	6,362,151	6,355,261	6,450,603	6,529,815
Personal Service	3,952,902	4,079,009	4,276,133	4,212,970	4,281,054	4,332,630
Non-Personal Service/Indirect Costs	2,047,904	2,245,233	2,086,018	2,142,291	2,169,549	2,197,185
General State Charges	522,523	441,695	517,085	530,725	555,331	571,220
Functional Total	9,648,781	9,266,852	10,564,375	9,848,057	10,003,803	10,128,899
EDUCATION						
Arts, Council on the	43,514	48,264	44,917	44,790	44,636	44,636
Local Assistance	39,248	44,013	40,933	40,933	40,933	40,933
State Operations	4,266	4,251	3,984	3,857	3,703	3,703
Personal Service	2,636	2,681	2,519	2,423	2,423	2,423
Non-Personal Service/Indirect Costs	1,630	1,570	1,465	1,434	1,280	1,280
Education, Department of	31,150,849	32,048,137	31,433,510	31,994,851	32,981,318	33,899,129
School Aid	26,250,357	27,230,042	26,766,456	27,313,108	28,337,791	29,295,418
Local Assistance	26,250,356	27,230,042	26,766,456	27,313,108	28,337,791	29,295,418
State Operations	1	0	0	0	0	0
Non-Personal Service/Indirect Costs	1	0	0	0	0	0
School Aid – Other	152,867	137,708	140,000	140,000	140,000	140,000
Local Assistance	152,867	137,708	140,000	140,000	140,000	140,000
STAR Property Tax Relief	2,423,112	2,183,689	2,073,116	1,979,457	1,857,985	1,749,913
Local Assistance	2,423,112	2,183,689	2,073,116	1,979,457	1,857,985	1,749,913
Special Education Categorical Programs	1,290,608	1,330,673	1,357,221	1,428,066	1,495,451	1,564,031
Local Assistance	1,290,608	1,330,673	1,357,221	1,428,066	1,495,451	1,564,031
All Other	1,033,905	1,166,025	1,096,717	1,134,220	1,150,091	1,149,767
Local Assistance	852,272	987,856	916,488	959,033	978,059	977,735
State Operations	138,419	140,930	138,771	134,606	130,763	130,763
Personal Service	88,514	90,098	89,462	85,523	82,789	82,789
Non-Personal Service/Indirect Costs	49,905	50,832	49,309	49,083	47,974	47,974
General State Charges	43,214	37,239	41,458	40,581	41,269	41,269
Functional Total	31,194,363	32,096,401	31,478,427	32,039,641	33,025,954	33,943,765
GENERAL GOVERNMENT						

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Budget, Division of the	26,125	28,955	30,603	29,596	29,596	29,596
State Operations	25,218	27,999	28,886	27,939	27,939	27,939
Personal Service	21,921	23,615	25,511	24,567	24,567	24,567
Non-Personal Service/Indirect Costs	3,297	4,384	3,375	3,372	3,372	3,372
General State Charges	907	956	1,717	1,657	1,657	1,657
Civil Service, Department of	16,128	15,490	14,423	13,805	13,826	13,826
Local Assistance	567	78	300	300	300	300
State Operations	15,422	15,294	13,879	13,264	13,281	13,281
Personal Service	15,062	15,287	13,867	12,822	12,830	12,830
Non-Personal Service/Indirect Costs	360	7	12	442	451	451
General State Charges	139	118	244	241	245	245
Deferred Compensation Board	776	733	833	837	841	841
State Operations	474	473	586	585	585	585
Personal Service	424	441	414	413	413	413
Non-Personal Service/Indirect Costs	50	32	172	172	172	172
General State Charges	302	260	247	252	256	256
Elections, State Board of	8,621	10,261	10,133	9,604	8,978	8,978
Local Assistance	5	1,352	0	0	0	0
State Operations	8,616	8,909	10,133	9,604	8,978	8,978
Personal Service	5,773	6,039	6,471	6,378	6,216	6,216
Non-Personal Service/Indirect Costs	2,843	2,870	3,662	3,226	2,762	2,762
Employee Relations, Office of	2,097	5,880	6,421	6,288	6,289	6,289
State Operations	2,097	5,880	6,421	6,288	6,289	6,289
Personal Service	2,040	5,789	6,310	6,177	6,177	6,177
Non-Personal Service/Indirect Costs	57	91	111	111	112	112
Gaming Commission, New York State	159,059	165,136	248,895	170,062	178,090	191,859
Local Assistance	69,013	97,809	169,324	92,915	103,262	117,021
State Operations	67,292	50,264	59,933	58,399	56,080	56,090
Personal Service	35,418	34,014	34,756	33,143	31,799	31,805
Non-Personal Service/Indirect Costs	31,874	16,250	25,177	25,256	24,281	24,285
General State Charges	22,754	17,063	19,638	18,748	18,748	18,748
General Services, Office of	142,000	117,857	78,260	79,862	81,489	82,989
State Operations	141,462	112,884	77,677	79,287	80,904	82,404
Personal Service	61,158	41,123	40,732	39,915	40,691	41,483
Non-Personal Service/Indirect Costs	80,304	71,761	36,945	39,372	40,213	40,921
General State Charges	538	4,973	583	575	585	585
Information Technology Services, Office of	544,541	540,195	491,273	494,666	486,691	486,691
State Operations	544,541	540,195	491,273	494,666	486,691	486,691
Personal Service	299,018	296,582	291,761	297,162	299,828	299,828
Non-Personal Service/Indirect Costs	245,523	243,613	199,512	197,504	186,863	186,863
Inspector General, Office of the	6,844	6,381	7,076	7,311	7,460	7,460
State Operations	6,844	6,381	7,076	7,311	7,460	7,460
Personal Service	5,909	5,423	6,220	6,107	6,244	6,244
Non-Personal Service/Indirect Costs	935	958	856	1,204	1,216	1,216
Labor Management Committees	35,520	37,107	37,631	38,378	39,139	39,916
State Operations	29,699	30,721	32,631	33,378	34,139	34,916
Personal Service	8,618	8,420	5,698	5,487	5,487	5,487
Non-Personal Service/Indirect Costs	21,081	22,301	26,933	27,891	28,652	29,429
General State Charges	5,821	6,386	5,000	5,000	5,000	5,000
Prevention of Domestic Violence, Office for	2,816	2,984	3,075	3,013	3,013	3,013
Local Assistance	1,260	1,288	1,385	1,412	1,412	1,412
State Operations	1,556	1,696	1,690	1,601	1,601	1,601
Personal Service	1,394	1,550	1,501	1,408	1,408	1,408

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Non-Personal Service/Indirect Costs	162	146	189	193	193	193
Public Employment Relations Board	3,430	3,401	3,520	3,333	3,333	3,333
State Operations	3,430	3,401	3,520	3,333	3,333	3,333
Personal Service	3,175	3,214	3,262	3,112	3,112	3,112
Non-Personal Service/Indirect Costs	255	187	258	221	221	221
Public Ethics, Joint Commission on	5,223	5,217	5,435	5,610	5,719	5,719
State Operations	5,223	5,217	5,435	5,610	5,719	5,719
Personal Service	4,516	4,486	4,617	4,577	4,674	4,674
Non-Personal Service/Indirect Costs	707	731	818	1,033	1,045	1,045
State, Department of	71,381	66,893	62,427	57,593	57,610	57,610
Local Assistance	13,928	14,789	4,317	4,317	4,317	4,317
State Operations	42,005	42,464	43,322	38,210	38,210	38,210
Personal Service	28,629	28,759	30,346	29,258	29,258	29,258
Non-Personal Service/Indirect Costs	13,376	13,705	12,976	8,952	8,952	8,952
General State Charges	15,448	9,640	14,788	15,066	15,083	15,083
Tax Appeals, Division of	2,820	2,871	3,150	2,714	2,604	2,604
State Operations	2,820	2,871	3,150	2,714	2,604	2,604
Personal Service	2,513	2,640	2,936	2,600	2,509	2,516
Non-Personal Service/Indirect Costs	307	231	214	114	95	88
Taxation and Finance, Department of	320,486	352,151	354,269	339,595	325,878	326,466
Local Assistance	2,435	3,302	3,491	3,491	3,491	3,491
State Operations	312,384	316,020	328,585	314,821	301,310	301,898
Personal Service	269,428	265,994	258,337	244,672	231,421	232,009
Non-Personal Service/Indirect Costs	42,956	50,026	70,248	70,149	69,889	69,889
General State Charges	5,667	32,829	22,193	21,283	21,077	21,077
Veterans' Services, Division of	16,219	14,442	13,674	13,598	13,378	13,445
Local Assistance	10,499	8,235	7,840	7,840	7,840	7,840
State Operations	5,720	6,207	5,834	5,758	5,538	5,605
Personal Service	5,161	5,546	5,730	5,653	5,501	5,562
Non-Personal Service/Indirect Costs	559	661	104	105	37	43
Welfare Inspector General, Office of	629	640	731	753	768	768
State Operations	629	640	731	753	768	768
Personal Service	615	630	654	646	659	659
Non-Personal Service/Indirect Costs	14	10	77	107	109	109
Workers' Compensation Board	198,486	193,375	205,865	196,439	196,439	196,439
State Operations	141,918	139,495	148,002	143,219	143,219	143,219
Personal Service	82,890	84,865	89,608	84,892	84,892	84,892
Non-Personal Service/Indirect Costs	59,028	54,630	58,394	58,327	58,327	58,327
General State Charges	56,568	53,880	57,863	53,220	53,220	53,220
Functional Total	1,563,201	1,569,969	1,577,694	1,473,057	1,461,141	1,477,842
ELECTED OFFICIALS						
Audit and Control, Department of	182,562	185,742	182,404	179,695	179,695	179,695
Local Assistance	32,025	32,025	32,025	32,025	32,025	32,025
State Operations	148,498	152,149	148,182	145,473	145,473	145,473
Personal Service	118,729	121,837	118,207	115,498	115,498	115,498
Non-Personal Service/Indirect Costs	29,769	30,312	29,975	29,975	29,975	29,975
General State Charges	2,039	1,568	2,197	2,197	2,197	2,197
Executive Chamber	12,673	13,239	14,032	13,578	13,578	13,578
State Operations	12,673	13,239	14,032	13,578	13,578	13,578
Personal Service	9,135	10,876	11,567	11,113	11,113	11,113
Non-Personal Service/Indirect Costs	3,538	2,363	2,465	2,465	2,465	2,465

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Judiciary	3,057,041	3,123,386	2,914,544	3,021,258	3,021,557	2,987,653
Local Assistance	101,561	166,113	117,500	179,500	179,500	179,500
State Operations	2,168,278	2,136,879	2,016,480	1,959,660	1,959,960	1,959,960
Personal Service	1,731,580	1,713,277	1,631,600	1,574,100	1,574,400	1,574,400
Non-Personal Service/Indirect Costs	436,698	423,602	384,880	385,560	385,560	385,560
General State Charges	787,202	820,394	780,564	882,098	882,097	848,193
Law, Department of	213,712	201,835	194,827	189,426	189,426	189,426
State Operations	187,295	185,013	173,778	169,183	169,183	169,183
Personal Service	134,904	136,064	125,370	120,774	120,774	120,774
Non-Personal Service/Indirect Costs	52,391	48,949	48,408	48,409	48,409	48,409
General State Charges	26,417	16,822	21,049	20,243	20,243	20,243
Legislature	223,573	228,725	224,056	230,949	231,002	231,002
State Operations	223,573	228,725	224,056	230,949	231,002	231,002
Personal Service	175,304	177,365	177,583	183,366	183,419	183,419
Non-Personal Service/Indirect Costs	48,269	51,360	46,473	47,583	47,583	47,583
Lieutenant Governor, Office of the	530	518	634	614	614	614
State Operations	530	518	634	614	614	614
Personal Service	414	431	543	523	523	523
Non-Personal Service/Indirect Costs	116	87	91	91	91	91
Functional Total	3,690,091	3,753,445	3,530,497	3,635,520	3,635,872	3,601,968
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	721,979	662,056	671,166	704,192	704,192	704,192
Local Assistance	721,732	662,054	671,166	704,192	704,192	704,192
State Operations	247	2	0	0	0	0
Non-Personal Service/Indirect Costs	247	2	0	0	0	0
County-Wide Shared Services Initiative	0	11,166	20,000	60,000	60,000	60,000
Local Assistance	0	11,166	20,000	60,000	60,000	60,000
Miscellaneous Financial Assistance	12,001	11,998	3,750	3,750	3,750	3,750
Local Assistance	12,001	11,998	3,750	3,750	3,750	3,750
Municipalities with VLT Facilities	28,885	28,885	28,885	28,885	28,885	28,885
Local Assistance	28,885	28,885	28,885	28,885	28,885	28,885
Small Government Assistance	217	217	218	218	218	218
Local Assistance	217	217	218	218	218	218
Functional Total	763,082	714,322	724,019	797,045	797,045	797,045
ALL OTHER CATEGORIES						
General State Charges	6,373,457	6,655,088	6,242,880	7,975,454	8,476,429	8,937,637
General State Charges	6,373,457	6,655,088	6,242,880	7,975,454	8,476,429	8,937,637
Long-Term Debt Service	6,736,264	4,952,363	10,398,010	6,983,720	7,427,294	7,641,058
State Operations	37,699	36,271	43,657	43,072	43,072	43,072
Non-Personal Service/Indirect Costs	37,699	36,271	43,657	43,072	43,072	43,072
Debt Service	6,698,565	4,916,092	10,354,353	6,940,648	7,384,222	7,597,986
Miscellaneous	(3,289)	(67,928)	(7,708,504)	(7,632,565)	(7,653,533)	(7,625,002)
Local Assistance	(56,188)	(120,953)	(7,741,481)	(7,776,279)	(7,825,779)	(7,825,779)
State Operations	49,930	48,975	27,191	137,921	166,443	194,966
Personal Service	2,132	2,088	(7,595)	(7,595)	(7,595)	(7,595)
Non-Personal Service/Indirect Costs	47,798	46,887	34,786	145,516	174,038	202,561

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	<u>FY 2019 Results</u>	<u>FY 2020 Results</u>	<u>FY 2021 Updated</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
General State Charges	2,969	4,050	5,786	5,793	5,803	5,811
Functional Total	<u>13,106,432</u>	<u>11,539,523</u>	<u>8,932,386</u>	<u>7,326,609</u>	<u>8,250,190</u>	<u>8,953,693</u>
TOTAL STATE OPERATING FUNDS SPENDING	<u>100,136,734</u>	<u>102,159,503</u>	<u>97,906,777</u>	<u>101,495,125</u>	<u>104,933,978</u>	<u>108,449,993</u>

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	73,582	76,530	65,132	83,006	82,841	82,841
Alcoholic Beverage Control, Division of	11,546	10,611	10,896	10,380	10,380	10,380
Economic Development, Department of	73,570	53,059	61,564	66,414	66,414	66,414
Empire State Development Corporation	85,177	105,126	58,800	58,800	58,800	58,800
Financial Services, Department of	392,863	371,795	388,157	382,991	382,991	382,991
Olympic Regional Development Authority	11,175	11,956	11,676	11,676	11,676	11,676
Public Service Department	75,433	79,617	87,723	82,239	81,099	81,099
Functional Total	723,346	708,694	683,948	695,506	694,201	694,201
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,297	4,443	4,733	4,621	4,676	4,614
Environmental Conservation, Department of	275,632	266,117	274,639	265,108	259,765	251,091
Parks, Recreation and Historic Preservation, Office of	186,543	175,540	167,935	164,167	161,499	161,499
Functional Total	466,472	446,100	447,307	433,896	425,940	417,204
TRANSPORTATION						
Motor Vehicles, Department of	87,876	82,879	80,727	81,060	81,060	81,060
Transportation, Department of	4,240,127	3,835,340	4,102,006	4,075,543	4,265,302	4,455,145
Functional Total	4,328,003	3,918,219	4,182,733	4,156,603	4,346,362	4,536,205
HEALTH						
Aging, Office for the	131,371	137,607	143,311	145,291	150,396	155,630
Health, Department of	22,376,592	24,670,635	21,902,759	26,410,977	27,491,871	28,500,880
<i>Medical Assistance</i>	19,528,919	21,224,760	19,511,345	23,464,522	24,565,817	25,572,051
<i>Essential Plan</i>	76,580	73,970	63,790	63,722	62,762	62,756
<i>Medicaid Administration</i>	764,404	738,152	672,069	686,250	646,704	640,626
<i>Public Health</i>	2,006,689	2,633,753	1,655,555	2,196,483	2,216,588	2,225,447
Medicaid Inspector General, Office of the	18,116	17,983	18,513	17,906	17,906	17,906
Functional Total	22,526,079	24,826,225	22,064,583	26,574,174	27,660,173	28,674,416
SOCIAL WELFARE						
Children and Family Services, Office of	1,922,296	1,342,973	2,178,810	1,888,199	1,882,049	1,887,048
<i>OCFS</i>	1,850,182	1,305,758	2,107,952	1,813,650	1,807,500	1,812,499
<i>OCFS - Other</i>	72,114	37,215	70,858	74,549	74,549	74,549
Housing and Community Renewal, Division of	83,158	102,272	22,861	67,511	66,912	66,912
Human Rights, Division of	9,993	11,048	9,646	9,180	8,830	8,830
Labor, Department of	91,114	93,925	67,319	71,583	69,966	69,966
National and Community Service	560	655	781	781	781	784
Temporary and Disability Assistance, Office of	1,266,537	1,305,567	1,533,774	1,466,811	1,575,021	1,611,821
<i>Welfare Assistance</i>	1,037,938	1,065,325	1,321,712	1,217,312	1,217,312	1,217,312
<i>All Other</i>	228,599	240,242	212,062	249,499	357,709	394,509
Functional Total	3,373,658	2,856,440	3,813,191	3,504,065	3,603,559	3,645,361
MENTAL HYGIENE						
Addiction Services and Supports, Office of	435,819	452,774	455,224	469,469	495,468	513,284
<i>OASAS</i>	361,970	379,495	386,349	398,369	423,671	441,486
<i>OASAS - Other</i>	73,849	73,279	68,875	71,100	71,797	71,798
Justice Center	43,551	43,601	45,118	44,928	45,328	45,723
Mental Health, Office of	2,581,803	2,715,731	2,871,042	3,006,762	3,079,986	3,143,762
<i>OMH</i>	1,323,262	1,390,257	1,536,638	1,600,677	1,663,310	1,720,390
<i>OMH - Other</i>	1,258,541	1,325,474	1,334,404	1,406,085	1,416,676	1,423,372
Mental Hygiene, Department of	0	0	0	0	0	(22,594)
People with Developmental Disabilities, Office for	1,839,724	3,098,722	2,717,748	3,332,162	3,177,976	3,655,073
<i>OPWDD</i>	387,604	408,356	353,046	351,831	360,622	394,642
<i>OPWDD - Other</i>	1,452,120	2,690,366	2,364,702	2,980,331	2,817,354	3,260,431
Functional Total	4,900,897	6,310,828	6,089,132	6,853,321	6,798,758	7,335,248
PUBLIC PROTECTION/CRIMINAL JUSTICE						

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Correction, Commission of	2,541	3,149	2,505	2,467	2,467	2,467
Corrections and Community Supervision, Department of	2,602,994	2,878,884	2,672,183	2,634,267	2,674,036	2,671,036
DOCCS	2,602,994	2,878,884	2,670,183	2,634,267	2,674,036	2,671,036
DOCCS - Other	0	0	2,000	0	0	0
Criminal Justice Services, Division of	217,569	210,316	237,782	238,603	199,347	200,112
Homeland Security and Emergency Services, Division of	86,408	75,316	94,894	140,968	143,889	144,831
Indigent Legal Services, Office of	88,453	90,265	213,432	226,614	276,785	290,707
Judicial Conduct, Commission on	5,423	5,748	6,266	6,444	6,550	6,550
Judicial Nomination, Commission on	0	0	30	30	30	30
Judicial Screening Committees, New York State	7	6	38	38	38	38
Military and Naval Affairs, Division of	24,968	26,112	25,612	26,420	26,878	27,415
State Police, Division of	763,179	800,259	504,576	819,866	839,528	839,528
Statewide Financial System	30,520	31,517	28,089	28,038	27,556	27,556
Victim Services, Office of	30,267	30,913	33,078	33,876	33,876	33,876
Functional Total	3,852,329	4,152,485	3,818,485	4,157,631	4,230,980	4,244,146
HIGHER EDUCATION						
City University of New York	1,603,808	1,035,366	2,377,798	1,628,867	1,661,260	1,691,017
Higher Education - Miscellaneous	540	609	441	441	441	441
Higher Education Services Corporation, New York State	1,033,360	986,171	847,440	871,579	875,984	876,222
State University of New York	7,011,074	7,244,705	7,338,696	7,347,170	7,466,118	7,561,219
Functional Total	9,648,782	9,266,851	10,564,375	9,848,057	10,003,803	10,128,899
EDUCATION						
Arts, Council on the	43,514	48,264	44,917	44,790	44,636	44,636
Education, Department of	31,150,849	32,048,137	31,433,510	31,994,851	32,981,318	33,899,129
School Aid	26,250,357	27,230,042	26,766,456	27,313,108	28,337,791	29,295,418
School Aid – Other	152,867	137,708	140,000	140,000	140,000	140,000
STAR Property Tax Relief	2,423,112	2,183,689	2,073,116	1,979,457	1,857,985	1,749,913
Special Education Categorical Programs	1,290,608	1,330,673	1,357,221	1,428,066	1,495,451	1,564,031
All Other	1,033,905	1,166,025	1,096,717	1,134,220	1,150,091	1,149,767
Functional Total	31,194,363	32,096,401	31,478,427	32,039,641	33,025,954	33,943,765
GENERAL GOVERNMENT						
Budget, Division of the	26,125	28,955	30,603	29,596	29,596	29,596
Civil Service, Department of	16,128	15,490	14,423	13,805	13,826	13,826
Deferred Compensation Board	776	733	833	837	841	841
Elections, State Board of	8,621	10,261	10,133	9,604	8,978	8,978
Employee Relations, Office of	2,097	5,880	6,421	6,288	6,289	6,289
Gaming Commission, New York State	159,059	165,136	248,895	170,062	178,090	191,859
General Services, Office of	142,000	117,857	78,260	79,862	81,489	82,989
Information Technology Services, Office of	544,541	540,195	491,273	494,666	486,691	486,691
Inspector General, Office of the	6,844	6,381	7,076	7,311	7,460	7,460
Labor Management Committees	35,520	37,107	37,631	38,378	39,139	39,916
Prevention of Domestic Violence, Office for	2,816	2,984	3,075	3,013	3,013	3,013
Public Employment Relations Board	3,430	3,401	3,520	3,333	3,333	3,333
Public Ethics, Joint Commission on	5,223	5,217	5,435	5,610	5,719	5,719
State, Department of	71,381	66,893	62,427	57,593	57,610	57,610
Tax Appeals, Division of	2,820	2,871	3,150	2,714	2,604	2,604
Taxation and Finance, Department of	320,486	352,151	354,269	339,595	325,878	326,466
Veterans' Services, Division of	16,219	14,442	13,674	13,598	13,378	13,445
Welfare Inspector General, Office of	629	640	731	753	768	768
Workers' Compensation Board	198,486	193,375	205,865	196,439	196,439	196,439
Functional Total	1,563,201	1,569,969	1,577,694	1,473,057	1,461,141	1,477,842
ELECTED OFFICIALS						
Audit and Control, Department of	182,562	185,742	182,404	179,695	179,695	179,695
Executive Chamber	12,673	13,239	14,032	13,578	13,578	13,578
Judiciary	3,057,043	3,123,384	2,914,544	3,021,258	3,021,557	2,987,653
Law, Department of	213,712	201,835	194,827	189,426	189,426	189,426

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)

	<u>FY 2019 Results</u>	<u>FY 2020 Results</u>	<u>FY 2021 Updated</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
Legislature	223,573	228,725	224,056	230,949	231,002	231,002
Lieutenant Governor, Office of the	530	518	634	614	614	614
Functional Total	<u>3,690,093</u>	<u>3,753,443</u>	<u>3,530,497</u>	<u>3,635,520</u>	<u>3,635,872</u>	<u>3,601,968</u>
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	721,979	662,056	671,166	704,192	704,192	704,192
County-Wide Shared Services Initiative	0	11,166	20,000	60,000	60,000	60,000
Miscellaneous Financial Assistance	12,001	11,998	3,750	3,750	3,750	3,750
Municipalities with VLT Facilities	28,885	28,885	28,885	28,885	28,885	28,885
Small Government Assistance	217	217	218	218	218	218
Functional Total	<u>763,082</u>	<u>714,322</u>	<u>724,019</u>	<u>797,045</u>	<u>797,045</u>	<u>797,045</u>
ALL OTHER CATEGORIES						
General State Charges	6,373,456	6,655,087	6,242,880	7,975,454	8,476,429	8,937,637
Long-Term Debt Service	6,736,264	4,952,363	10,398,010	6,983,720	7,427,294	7,641,058
Miscellaneous	(3,289)	(67,928)	(7,708,504)	(7,632,565)	(7,653,533)	(7,625,002)
Functional Total	<u>13,106,431</u>	<u>11,539,522</u>	<u>8,932,386</u>	<u>7,326,609</u>	<u>8,250,190</u>	<u>8,953,693</u>
TOTAL STATE OPERATING FUNDS SPENDING	<u>100,136,736</u>	<u>102,159,499</u>	<u>97,906,777</u>	<u>101,495,125</u>	<u>104,933,978</u>	<u>108,449,993</u>

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
LOCAL ASSISTANCE
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	33,027	35,047	23,075	26,501	26,501	26,501
Economic Development, Department of	55,760	36,019	45,974	50,824	50,824	50,824
Empire State Development Corporation	85,177	105,126	58,800	58,800	58,800	58,800
Financial Services, Department of	64,179	55,146	61,581	59,896	59,896	59,896
Olympic Regional Development Authority	2,360	2,268	0	0	0	0
Public Service Department	218	1,341	3,100	60	60	60
Functional Total	240,721	234,947	192,530	196,081	196,081	196,081
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	2,431	2,202	1,478	1,247	978	978
Parks, Recreation and Historic Preservation, Office of	6,502	6,028	3,750	3,750	3,750	3,750
Functional Total	8,933	8,230	5,228	4,997	4,728	4,728
TRANSPORTATION						
Motor Vehicles, Department of	375	0	0	0	0	0
Transportation, Department of	3,937,827	3,488,416	3,791,513	3,769,099	3,971,034	4,160,842
Functional Total	3,938,202	3,488,416	3,791,513	3,769,099	3,971,034	4,160,842
HEALTH						
Aging, Office for the	130,141	135,561	141,306	143,329	148,434	153,668
Health, Department of	21,614,156	23,424,249	21,667,240	25,639,442	26,746,520	27,748,065
<i>Medical Assistance</i>	19,528,919	21,224,760	19,511,345	23,464,522	24,565,817	25,572,051
<i>Medicaid Administration</i>	503,108	530,424	466,502	452,297	438,613	425,431
<i>Public Health</i>	1,582,129	1,669,065	1,689,393	1,722,623	1,742,090	1,750,583
Functional Total	21,744,297	23,559,810	21,808,546	25,782,771	26,894,954	27,901,733
SOCIAL WELFARE						
Children and Family Services, Office of	1,659,179	1,193,961	1,833,597	1,546,206	1,546,780	1,546,780
<i>OCFS</i>	1,587,065	1,156,746	1,762,739	1,471,657	1,472,231	1,472,231
<i>OCFS - Other</i>	72,114	37,215	70,858	74,549	74,549	74,549
Housing and Community Renewal, Division of	10,178	30,427	3,835	47,789	47,789	47,789
Labor, Department of	15,469	25,517	2,400	5,150	5,150	5,150
National and Community Service	270	349	432	432	432	432
Temporary and Disability Assistance, Office of	1,139,031	1,161,539	1,415,927	1,346,308	1,459,108	1,495,908
<i>Welfare Assistance</i>	1,037,938	1,065,325	1,321,712	1,217,312	1,217,312	1,217,312
<i>All Other</i>	101,093	96,214	94,215	128,996	241,796	278,596
Functional Total	2,824,127	2,411,793	3,256,191	2,945,885	3,059,259	3,096,059
MENTAL HYGIENE						
Addiction Services and Supports, Office of	348,851	363,555	367,828	377,327	402,467	420,204
<i>OASAS</i>	327,526	342,230	346,503	356,002	381,142	398,879
<i>OASAS - Other</i>	21,325	21,325	21,325	21,325	21,325	21,325
Justice Center	679	649	649	649	649	649
Mental Health, Office of	1,281,804	1,321,319	1,485,123	1,523,953	1,593,187	1,652,784
<i>OMH</i>	1,002,843	1,032,812	1,173,817	1,211,142	1,271,732	1,329,523
<i>OMH - Other</i>	278,961	288,507	311,306	312,811	321,455	323,261
People with Developmental Disabilities, Office for	518,456	1,741,669	1,375,882	1,953,108	1,787,592	2,251,846
<i>OPWDD</i>	387,306	408,279	352,843	351,628	360,419	394,439
<i>OPWDD - Other</i>	131,150	1,333,390	1,023,039	1,601,480	1,427,173	1,857,407
Functional Total	2,149,790	3,427,192	3,229,482	3,855,037	3,783,895	4,325,483
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Corrections and Community Supervision, Department of	3,435	6,336	6,836	4,836	4,836	4,836
<i>DOCCS</i>	3,435	6,336	4,836	4,836	4,836	4,836
<i>DOCCS - Other</i>	0	0	2,000	0	0	0
Criminal Justice Services, Division of	181,941	174,934	202,171	202,171	162,171	162,171

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
LOCAL ASSISTANCE
(thousands of dollars)

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Homeland Security and Emergency Services, Division of	49,327	33,693	57,142	100,839	102,925	103,013
Indigent Legal Services, Office of	83,765	85,503	207,000	220,000	270,000	283,762
Military and Naval Affairs, Division of	885	885	820	886	904	923
State Police, Division of	0	15	0	0	0	0
Victim Services, Office of	24,865	25,496	27,744	28,398	28,398	28,398
Functional Total	344,218	326,862	501,713	557,130	569,234	583,103
HIGHER EDUCATION						
City University of New York	1,508,007	933,466	2,245,142	1,625,374	1,657,767	1,687,524
Higher Education Services Corporation, New York State	984,334	950,474	810,146	834,285	838,690	838,928
State University of New York	487,744	478,769	459,460	461,184	460,184	460,184
Functional Total	2,980,085	2,362,709	3,514,748	2,920,843	2,956,641	2,986,636
EDUCATION						
Arts, Council on the	39,248	44,013	40,933	40,933	40,933	40,933
Education, Department of	30,969,215	31,869,968	31,253,281	31,819,664	32,809,286	33,727,097
<i>School Aid</i>	26,250,356	27,230,042	26,766,456	27,313,108	28,337,791	29,295,418
<i>School Aid – Other</i>	152,867	137,708	140,000	140,000	140,000	140,000
<i>STAR Property Tax Relief</i>	2,423,112	2,183,689	2,073,116	1,979,457	1,857,985	1,749,913
<i>Special Education Categorical Programs</i>	1,290,608	1,330,673	1,357,221	1,428,066	1,495,451	1,564,031
<i>All Other</i>	852,272	987,856	916,488	959,033	978,059	977,735
Functional Total	31,008,463	31,913,981	31,294,214	31,860,597	32,850,219	33,768,030
GENERAL GOVERNMENT						
Civil Service, Department of	567	78	300	300	300	300
Elections, State Board of	5	1,352	0	0	0	0
Gaming Commission, New York State	69,013	97,809	169,324	92,915	103,262	117,021
Prevention of Domestic Violence, Office for	1,260	1,288	1,385	1,412	1,412	1,412
State, Department of	13,928	14,789	4,317	4,317	4,317	4,317
Taxation and Finance, Department of	2,435	3,302	3,491	3,491	3,491	3,491
Veterans' Services, Division of	10,499	8,235	7,840	7,840	7,840	7,840
Functional Total	97,707	126,853	186,657	110,275	120,622	134,381
ELECTED OFFICIALS						
Audit and Control, Department of	32,025	32,025	32,025	32,025	32,025	32,025
Judiciary	101,561	166,113	117,500	179,500	179,500	179,500
Functional Total	133,586	198,138	149,525	211,525	211,525	211,525
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	721,732	662,054	671,166	704,192	704,192	704,192
County-Wide Shared Services Initiative	0	11,166	20,000	60,000	60,000	60,000
Miscellaneous Financial Assistance	12,001	11,998	3,750	3,750	3,750	3,750
Municipalities with VLT Facilities	28,885	28,885	28,885	28,885	28,885	28,885
Small Government Assistance	217	217	218	218	218	218
Functional Total	762,835	714,320	724,019	797,045	797,045	797,045
ALL OTHER CATEGORIES						
Miscellaneous	(56,188)	(120,953)	(7,741,481)	(7,776,279)	(7,825,779)	(7,825,779)
Functional Total	(56,188)	(120,953)	(7,741,481)	(7,776,279)	(7,825,779)	(7,825,779)
TOTAL LOCAL ASSISTANCE SPENDING	66,176,776	68,652,298	60,912,885	65,235,006	67,589,458	70,339,867

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
STATE OPERATIONS
(thousands of dollars)

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	37,473	40,172	39,897	54,244	54,044	54,044
Alcoholic Beverage Control, Division of	11,546	10,611	10,896	10,380	10,380	10,380
Economic Development, Department of	17,810	17,040	15,562	15,562	15,562	15,562
Financial Services, Department of	215,165	218,385	213,231	208,844	208,844	208,844
Olympic Regional Development Authority	8,784	9,688	11,676	11,676	11,676	11,676
Public Service Department	52,432	51,122	53,426	51,406	49,740	49,740
Functional Total	343,210	347,018	344,688	352,112	350,246	350,246
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,297	4,443	4,733	4,621	4,676	4,614
Environmental Conservation, Department of	210,767	216,331	225,736	219,036	213,615	212,709
Parks, Recreation and Historic Preservation, Office of	176,384	164,815	162,714	159,012	156,344	156,344
Functional Total	391,448	385,589	393,183	382,669	374,635	373,667
TRANSPORTATION						
Motor Vehicles, Department of	59,189	60,446	54,764	54,445	54,445	54,445
Transportation, Department of	300,619	345,088	308,081	304,321	292,110	292,110
Functional Total	359,808	405,534	362,845	358,766	346,555	346,555
HEALTH						
Aging, Office for the	1,230	2,046	2,005	1,962	1,962	1,962
Health, Department of	727,514	1,210,164	195,857	729,388	703,138	710,499
<i>Essential Plan</i>	76,580	73,970	63,790	63,722	62,762	62,756
<i>Medicaid Administration</i>	261,296	207,526	204,986	233,372	207,510	214,614
<i>Public Health</i>	389,638	928,668	(72,919)	432,294	432,866	433,129
Medicaid Inspector General, Office of the	18,116	17,983	18,513	17,906	17,906	17,906
Functional Total	746,860	1,230,193	216,375	749,256	723,006	730,367
SOCIAL WELFARE						
Children and Family Services, Office of	260,874	146,381	342,963	339,727	333,003	338,002
<i>OCFS</i>	260,874	146,381	342,963	339,727	333,003	338,002
Housing and Community Renewal, Division of	51,072	52,701	16,505	15,878	15,279	15,279
Human Rights, Division of	9,993	11,048	9,646	9,180	8,830	8,830
Labor, Department of	49,769	47,559	44,432	43,428	41,811	41,811
National and Community Service	290	306	349	349	349	352
Temporary and Disability Assistance, Office of	127,470	143,963	117,719	120,375	115,785	115,785
<i>All Other</i>	127,470	143,963	117,719	120,375	115,785	115,785
Functional Total	499,468	401,958	531,614	528,937	515,057	520,059
MENTAL HYGIENE						
Addiction Services and Supports, Office of	86,968	89,219	87,396	92,142	93,001	93,080
<i>OASAS</i>	34,444	37,265	39,846	42,367	42,529	42,607
<i>OASAS - Other</i>	52,524	51,954	47,550	49,775	50,472	50,473
Justice Center	41,652	42,363	43,582	43,369	43,746	44,116
Mental Health, Office of	1,344,656	1,394,412	1,385,919	1,482,809	1,486,799	1,490,978
<i>OMH</i>	331,100	357,445	362,821	389,535	391,578	390,867
<i>OMH - Other</i>	1,013,556	1,036,967	1,023,098	1,093,274	1,095,221	1,100,111
People with Developmental Disabilities, Office for	1,321,268	1,357,053	1,341,866	1,379,054	1,390,384	1,403,227
<i>OPWDD</i>	298	77	203	203	203	203
<i>OPWDD - Other</i>	1,320,970	1,356,976	1,341,663	1,378,851	1,390,181	1,403,024
Functional Total	2,794,544	2,883,047	2,858,763	2,997,374	3,013,930	3,031,401
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,541	3,149	2,505	2,467	2,467	2,467
Corrections and Community Supervision, Department of	2,599,368	2,872,407	2,665,241	2,629,325	2,669,094	2,666,094
<i>DOCCS</i>	2,599,368	2,872,407	2,665,241	2,629,325	2,669,094	2,666,094

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
STATE OPERATIONS
(thousands of dollars)

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Criminal Justice Services, Division of	35,628	35,382	35,611	36,432	37,176	37,941
Homeland Security and Emergency Services, Division of	36,318	40,957	36,945	39,256	40,091	40,945
Indigent Legal Services, Office of	2,837	3,117	4,276	4,380	4,468	4,543
Judicial Conduct, Commission on	5,423	5,748	6,266	6,444	6,550	6,550
Judicial Nomination, Commission on	0	0	30	30	30	30
Judicial Screening Committees, New York State	7	6	38	38	38	38
Military and Naval Affairs, Division of	23,869	25,227	24,792	25,524	25,964	26,482
State Police, Division of	717,416	773,899	475,623	790,543	810,005	810,005
Statewide Financial System	30,520	31,517	28,089	28,038	27,556	27,556
Victim Services, Office of	3,508	3,757	3,684	3,795	3,795	3,795
Functional Total	3,457,435	3,795,166	3,283,100	3,566,272	3,627,234	3,626,446
HIGHER EDUCATION						
City University of New York	95,672	101,696	132,506	3,493	3,493	3,493
Higher Education - Miscellaneous	340	428	291	291	291	291
Higher Education Services Corporation, New York State	39,219	29,082	30,475	30,475	30,475	30,475
State University of New York	6,000,806	6,324,240	6,362,151	6,355,261	6,450,603	6,529,815
Functional Total	6,136,037	6,455,446	6,525,423	6,389,520	6,484,862	6,564,074
EDUCATION						
Arts, Council on the	4,266	4,251	3,984	3,857	3,703	3,703
Education, Department of	138,420	140,930	138,771	134,606	130,763	130,763
<i>School Aid</i>	1	0	0	0	0	0
<i>All Other</i>	138,419	140,930	138,771	134,606	130,763	130,763
Functional Total	142,686	145,181	142,755	138,463	134,466	134,466
GENERAL GOVERNMENT						
Budget, Division of the	25,218	27,999	28,886	27,939	27,939	27,939
Civil Service, Department of	15,422	15,294	13,879	13,264	13,281	13,281
Deferred Compensation Board	474	473	586	585	585	585
Elections, State Board of	8,616	8,909	10,133	9,604	8,978	8,978
Employee Relations, Office of	2,097	5,880	6,421	6,288	6,289	6,289
Gaming Commission, New York State	67,292	50,264	59,933	58,399	56,080	56,090
General Services, Office of	141,462	112,884	77,677	79,287	80,904	82,404
Information Technology Services, Office of	544,541	540,195	491,273	494,666	486,691	486,691
Inspector General, Office of the	6,844	6,381	7,076	7,311	7,460	7,460
Labor Management Committees	29,699	30,721	32,631	33,378	34,139	34,916
Prevention of Domestic Violence, Office for	1,556	1,696	1,690	1,601	1,601	1,601
Public Employment Relations Board	3,430	3,401	3,520	3,333	3,333	3,333
Public Ethics, Joint Commission on	5,223	5,217	5,435	5,610	5,719	5,719
State, Department of	42,005	42,464	43,322	38,210	38,210	38,210
Tax Appeals, Division of	2,820	2,871	3,150	2,714	2,604	2,604
Taxation and Finance, Department of	312,384	316,020	328,585	314,821	301,310	301,898
Veterans' Services, Division of	5,720	6,207	5,834	5,758	5,538	5,605
Welfare Inspector General, Office of	629	640	731	753	768	768
Workers' Compensation Board	141,918	139,495	148,002	143,219	143,219	143,219
Functional Total	1,357,350	1,317,011	1,268,764	1,246,740	1,224,648	1,227,590
ELECTED OFFICIALS						
Audit and Control, Department of	148,498	152,149	148,182	145,473	145,473	145,473
Executive Chamber	12,673	13,239	14,032	13,578	13,578	13,578
Judiciary	2,168,280	2,136,878	2,016,480	1,959,660	1,959,960	1,959,960
Law, Department of	187,295	185,013	173,778	169,183	169,183	169,183
Legislature	223,573	228,725	224,056	230,949	231,002	231,002
Lieutenant Governor, Office of the	530	518	634	614	614	614
Functional Total	2,740,849	2,716,522	2,577,162	2,519,457	2,519,810	2,519,810

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
STATE OPERATIONS
(thousands of dollars)**

	<u>FY 2019 Results</u>	<u>FY 2020 Results</u>	<u>FY 2021 Updated</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	247	2	0	0	0	0
Functional Total	<u>247</u>	<u>2</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
ALL OTHER CATEGORIES						
Long-Term Debt Service	37,699	36,271	43,657	43,072	43,072	43,072
Miscellaneous	49,930	48,975	27,191	137,921	166,443	194,966
Functional Total	<u>87,629</u>	<u>85,246</u>	<u>70,848</u>	<u>180,993</u>	<u>209,515</u>	<u>238,038</u>
TOTAL STATE OPERATIONS SPENDING	<u><u>19,057,571</u></u>	<u><u>20,167,913</u></u>	<u><u>18,575,520</u></u>	<u><u>19,410,559</u></u>	<u><u>19,523,964</u></u>	<u><u>19,662,719</u></u>

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
PERSONAL SERVICE
(thousands of dollars)

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	30,255	30,677	32,934	31,777	31,777	31,777
Alcoholic Beverage Control, Division of	8,166	8,088	8,316	8,316	8,316	8,316
Economic Development, Department of	13,090	12,934	11,929	11,929	11,929	11,929
Financial Services, Department of	156,895	157,002	157,986	153,893	153,893	153,893
Olympic Regional Development Authority	5,595	5,500	5,338	5,338	5,338	5,338
Public Service Department	42,628	42,684	46,025	44,550	44,577	44,577
Functional Total	256,629	256,885	262,528	255,803	255,830	255,830
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	3,950	4,069	4,243	4,256	4,327	4,299
Environmental Conservation, Department of	174,576	179,044	189,230	181,783	176,318	175,794
Parks, Recreation and Historic Preservation, Office of	133,979	126,957	136,358	132,656	129,988	129,988
Functional Total	312,505	310,070	329,831	318,695	310,633	310,081
TRANSPORTATION						
Motor Vehicles, Department of	43,766	44,731	44,207	44,497	44,497	44,497
Transportation, Department of	156,307	161,055	151,773	144,344	138,326	138,326
Functional Total	200,073	205,786	195,980	188,841	182,823	182,823
HEALTH						
Aging, Office for the	1,125	1,917	1,899	1,856	1,856	1,856
Health, Department of	266,914	278,618	276,653	287,740	293,289	295,905
<i>Essential Plan</i>	3,233	3,326	4,138	4,453	4,476	4,413
<i>Medicaid Administration</i>	37,949	35,276	36,661	41,063	46,497	49,029
<i>Public Health</i>	225,732	240,016	235,854	242,224	242,316	242,463
Medicaid Inspector General, Office of the	15,204	15,599	16,116	15,509	15,509	15,509
Functional Total	283,243	296,134	294,668	305,105	310,654	313,270
SOCIAL WELFARE						
Children and Family Services, Office of	176,225	86,540	245,281	241,309	238,552	241,220
<i>OCFS</i>	176,225	86,540	245,281	241,309	238,552	241,220
Housing and Community Renewal, Division of	41,155	39,887	15,933	15,306	14,707	14,707
Human Rights, Division of	8,934	9,543	9,109	8,744	8,411	8,411
Labor, Department of	33,501	32,931	31,440	30,168	28,551	28,551
National and Community Service	288	305	340	340	340	343
Temporary and Disability Assistance, Office of	68,003	64,862	68,359	70,106	67,432	67,432
<i>All Other</i>	68,003	64,862	68,359	70,106	67,432	67,432
Functional Total	328,106	234,068	370,462	365,973	357,993	360,664
MENTAL HYGIENE						
Addiction Services and Supports, Office of	60,203	60,528	61,831	64,454	64,311	64,159
<i>OASAS</i>	22,378	23,279	26,497	28,599	28,264	27,916
<i>OASAS - Other</i>	37,825	37,249	35,334	35,855	36,047	36,243
Justice Center	32,560	34,257	34,650	34,095	34,241	34,384
Mental Health, Office of	1,086,720	1,117,318	1,125,448	1,181,427	1,172,274	1,163,035
<i>OMH</i>	268,077	286,101	320,647	340,902	341,486	338,935
<i>OMH - Other</i>	818,643	831,217	804,801	840,525	830,788	824,100
People with Developmental Disabilities, Office for	1,142,584	1,161,329	1,155,502	1,171,359	1,179,092	1,186,786
<i>OPWDD</i>	262	0	0	0	0	0
<i>OPWDD - Other</i>	1,142,322	1,161,329	1,155,502	1,171,359	1,179,092	1,186,786
Functional Total	2,322,067	2,373,432	2,377,431	2,451,335	2,449,918	2,448,364
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,276	2,652	2,288	2,245	2,245	2,245
Corrections and Community Supervision, Department of	2,111,244	2,380,718	2,198,343	2,169,046	2,202,469	2,202,469
<i>DOCCS</i>	2,111,244	2,380,718	2,198,343	2,169,046	2,202,469	2,202,469

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
PERSONAL SERVICE
(thousands of dollars)

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Criminal Justice Services, Division of	28,281	27,813	28,981	28,537	29,051	29,622
Homeland Security and Emergency Services, Division of	20,611	28,927	27,685	29,577	30,133	30,789
Indigent Legal Services, Office of	2,430	2,620	3,440	3,524	3,595	3,667
Judicial Conduct, Commission on	3,959	4,203	4,878	4,813	4,903	4,903
Military and Naval Affairs, Division of	14,432	14,158	14,539	14,776	15,073	15,376
State Police, Division of	657,453	709,801	416,810	719,052	737,678	737,678
Statewide Financial System	11,294	11,650	11,937	11,690	11,711	11,711
Victim Services, Office of	2,958	3,119	3,173	3,122	3,122	3,122
Functional Total	2,854,938	3,185,661	2,712,074	2,986,382	3,039,980	3,041,582
HIGHER EDUCATION						
City University of New York	47,274	48,676	84,145	3,493	3,493	3,493
Higher Education - Miscellaneous	232	283	198	198	198	198
Higher Education Services Corporation, New York State	12,337	10,530	11,353	11,353	11,353	11,353
State University of New York	3,952,903	4,079,009	4,276,133	4,212,970	4,281,054	4,332,630
Functional Total	4,012,746	4,138,498	4,371,829	4,228,014	4,296,098	4,347,674
EDUCATION						
Arts, Council on the	2,636	2,681	2,519	2,423	2,423	2,423
Education, Department of	88,514	90,098	89,462	85,523	82,789	82,789
<i>All Other</i>	88,514	90,098	89,462	85,523	82,789	82,789
Functional Total	91,150	92,779	91,981	87,946	85,212	85,212
GENERAL GOVERNMENT						
Budget, Division of the	21,921	23,615	25,511	24,567	24,567	24,567
Civil Service, Department of	15,062	15,287	13,867	12,822	12,830	12,830
Deferred Compensation Board	424	441	414	413	413	413
Elections, State Board of	5,773	6,039	6,471	6,378	6,216	6,216
Employee Relations, Office of	2,040	5,789	6,310	6,177	6,177	6,177
Gaming Commission, New York State	35,418	34,014	34,756	33,143	31,799	31,805
General Services, Office of	61,158	41,123	40,732	39,915	40,691	41,483
Information Technology Services, Office of	299,018	296,582	291,761	297,162	299,828	299,828
Inspector General, Office of the	5,909	5,423	6,220	6,107	6,244	6,244
Labor Management Committees	8,618	8,420	5,698	5,487	5,487	5,487
Prevention of Domestic Violence, Office for	1,394	1,550	1,501	1,408	1,408	1,408
Public Employment Relations Board	3,175	3,214	3,262	3,112	3,112	3,112
Public Ethics, Joint Commission on	4,516	4,486	4,617	4,577	4,674	4,674
State, Department of	28,629	28,759	30,346	29,258	29,258	29,258
Tax Appeals, Division of	2,513	2,640	2,936	2,600	2,509	2,516
Taxation and Finance, Department of	269,428	265,994	258,337	244,672	231,421	232,009
Veterans' Services, Division of	5,161	5,546	5,730	5,653	5,501	5,562
Welfare Inspector General, Office of	615	630	654	646	659	659
Workers' Compensation Board	82,890	84,865	89,608	84,892	84,892	84,892
Functional Total	853,662	834,417	828,731	808,989	797,686	799,140
ELECTED OFFICIALS						
Audit and Control, Department of	118,729	121,837	118,207	115,498	115,498	115,498
Executive Chamber	9,135	10,876	11,567	11,113	11,113	11,113
Judiciary	1,731,581	1,713,277	1,631,600	1,574,100	1,574,400	1,574,400
Law, Department of	134,904	136,064	125,370	120,774	120,774	120,774
Legislature	175,304	177,365	177,583	183,366	183,419	183,419
Lieutenant Governor, Office of the	414	431	543	523	523	523
Functional Total	2,170,067	2,159,850	2,064,870	2,005,374	2,005,727	2,005,727
ALL OTHER CATEGORIES						
Miscellaneous	2,132	2,088	(7,595)	(7,595)	(7,595)	(7,595)
Functional Total	2,132	2,088	(7,595)	(7,595)	(7,595)	(7,595)
TOTAL PERSONAL SERVICE SPENDING	13,687,318	14,089,668	13,892,790	13,994,862	14,084,959	14,142,772

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	7,218	9,495	6,963	22,467	22,267	22,267
Alcoholic Beverage Control, Division of	3,380	2,523	2,580	2,064	2,064	2,064
Economic Development, Department of	4,720	4,106	3,633	3,633	3,633	3,633
Financial Services, Department of	58,270	61,383	55,245	54,951	54,951	54,951
Olympic Regional Development Authority	3,189	4,188	6,338	6,338	6,338	6,338
Public Service Department	9,804	8,438	7,401	6,856	5,163	5,163
Functional Total	86,581	90,133	82,160	96,309	94,416	94,416
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	347	374	490	365	349	315
Environmental Conservation, Department of	36,191	37,287	36,506	37,253	37,297	36,915
Parks, Recreation and Historic Preservation, Office of	42,405	37,858	26,356	26,356	26,356	26,356
Functional Total	78,943	75,519	63,352	63,974	64,002	63,586
TRANSPORTATION						
Motor Vehicles, Department of	15,423	15,715	10,557	9,948	9,948	9,948
Transportation, Department of	144,312	184,033	156,308	159,977	153,784	153,784
Functional Total	159,735	199,748	166,865	169,925	163,732	163,732
HEALTH						
Aging, Office for the	105	129	106	106	106	106
Health, Department of	460,600	931,546	(80,796)	441,648	409,849	414,594
<i>Essential Plan</i>	73,347	70,644	59,652	59,269	58,286	58,343
<i>Medicaid Administration</i>	223,347	172,250	168,325	192,309	161,013	165,585
<i>Public Health</i>	163,906	688,652	(308,773)	190,070	190,550	190,666
Medicaid Inspector General, Office of the	2,912	2,384	2,397	2,397	2,397	2,397
Functional Total	463,617	934,059	(78,293)	444,151	412,352	417,097
SOCIAL WELFARE						
Children and Family Services, Office of	84,649	59,841	97,682	98,418	94,451	96,782
<i>OCFS</i>	84,649	59,841	97,682	98,418	94,451	96,782
Housing and Community Renewal, Division of	9,917	12,814	572	572	572	572
Human Rights, Division of	1,059	1,505	537	436	419	419
Labor, Department of	16,268	14,628	12,992	13,260	13,260	13,260
National and Community Service	2	1	9	9	9	9
Temporary and Disability Assistance, Office of	59,467	79,101	49,360	50,269	48,353	48,353
<i>All Other</i>	59,467	79,101	49,360	50,269	48,353	48,353
Functional Total	171,362	167,890	161,152	162,964	157,064	159,395
MENTAL HYGIENE						
Addiction Services and Supports, Office of	26,765	28,691	25,565	27,688	28,690	28,921
<i>OASAS</i>	12,066	13,986	13,349	13,768	14,265	14,691
<i>OASAS - Other</i>	14,699	14,705	12,216	13,920	14,425	14,230
Justice Center	9,092	8,106	8,932	9,274	9,505	9,732
Mental Health, Office of	257,936	277,094	260,471	301,382	314,525	327,943
<i>OMH</i>	63,023	71,344	42,174	48,633	50,092	51,932
<i>OMH - Other</i>	194,913	205,750	218,297	252,749	264,433	276,011
People with Developmental Disabilities, Office for	178,684	195,724	186,364	207,695	211,292	216,441
<i>OPWDD</i>	36	77	203	203	203	203
<i>OPWDD - Other</i>	178,648	195,647	186,161	207,492	211,089	216,238
Functional Total	472,477	509,615	481,332	546,039	564,012	583,037
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	265	497	217	222	222	222
Corrections and Community Supervision, Department of	488,124	491,689	466,898	460,279	466,625	463,625
<i>DOCCS</i>	488,124	491,689	466,898	460,279	466,625	463,625

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Criminal Justice Services, Division of	7,347	7,569	6,630	7,895	8,125	8,319
Homeland Security and Emergency Services, Division of	15,707	12,030	9,260	9,679	9,958	10,156
Indigent Legal Services, Office of	407	497	836	856	873	876
Judicial Conduct, Commission on	1,464	1,545	1,388	1,631	1,647	1,647
Judicial Nomination, Commission on	0	0	30	30	30	30
Judicial Screening Committees, New York State	7	6	38	38	38	38
Military and Naval Affairs, Division of	9,437	11,069	10,253	10,748	10,891	11,106
State Police, Division of	59,963	64,098	58,813	71,491	72,327	72,327
Statewide Financial System	19,226	19,867	16,152	16,348	15,845	15,845
Victim Services, Office of	550	638	511	673	673	673
Functional Total	602,497	609,505	571,026	579,890	587,254	584,864
HIGHER EDUCATION						
City University of New York	48,398	53,020	48,361	0	0	0
Higher Education - Miscellaneous	108	145	93	93	93	93
Higher Education Services Corporation, New York State	26,882	18,552	19,122	19,122	19,122	19,122
State University of New York	2,047,903	2,245,231	2,086,018	2,142,291	2,169,549	2,197,185
Functional Total	2,123,291	2,316,948	2,153,594	2,161,506	2,188,764	2,216,400
EDUCATION						
Arts, Council on the	1,630	1,570	1,465	1,434	1,280	1,280
Education, Department of	49,906	50,832	49,309	49,083	47,974	47,974
<i>School Aid</i>	1	0	0	0	0	0
<i>All Other</i>	49,905	50,832	49,309	49,083	47,974	47,974
Functional Total	51,536	52,402	50,774	50,517	49,254	49,254
GENERAL GOVERNMENT						
Budget, Division of the	3,297	4,384	3,375	3,372	3,372	3,372
Civil Service, Department of	360	7	12	442	451	451
Deferred Compensation Board	50	32	172	172	172	172
Elections, State Board of	2,843	2,870	3,662	3,226	2,762	2,762
Employee Relations, Office of	57	91	111	111	112	112
Gaming Commission, New York State	31,874	16,250	25,177	25,256	24,281	24,285
General Services, Office of	80,304	71,761	36,945	39,372	40,213	40,921
Information Technology Services, Office of	245,523	243,613	199,512	197,504	186,863	186,863
Inspector General, Office of the	935	958	856	1,204	1,216	1,216
Labor Management Committees	21,081	22,301	26,933	27,891	28,652	29,429
Prevention of Domestic Violence, Office for	162	146	189	193	193	193
Public Employment Relations Board	255	187	258	221	221	221
Public Ethics, Joint Commission on	707	731	818	1,033	1,045	1,045
State, Department of	13,376	13,705	12,976	8,952	8,952	8,952
Tax Appeals, Division of	307	231	214	114	95	88
Taxation and Finance, Department of	42,956	50,026	70,248	70,149	69,889	69,889
Veterans' Services, Division of	559	661	104	105	37	43
Welfare Inspector General, Office of	14	10	77	107	109	109
Workers' Compensation Board	59,028	54,630	58,394	58,327	58,327	58,327
Functional Total	503,688	482,594	440,033	437,751	426,962	428,450
ELECTED OFFICIALS						
Audit and Control, Department of	29,769	30,312	29,975	29,975	29,975	29,975
Executive Chamber	3,538	2,363	2,465	2,465	2,465	2,465
Judiciary	436,699	423,601	384,880	385,560	385,560	385,560
Law, Department of	52,391	48,949	48,408	48,409	48,409	48,409
Legislature	48,269	51,360	46,473	47,583	47,583	47,583
Lieutenant Governor, Office of the	116	87	91	91	91	91
Functional Total	570,782	556,672	512,292	514,083	514,083	514,083

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	<u>FY 2019 Results</u>	<u>FY 2020 Results</u>	<u>FY 2021 Updated</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	247	2	0	0	0	0
Functional Total	<u>247</u>	<u>2</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
ALL OTHER CATEGORIES						
Long-Term Debt Service	37,699	36,271	43,657	43,072	43,072	43,072
Miscellaneous	47,798	46,887	34,786	145,516	174,038	202,561
Functional Total	<u>85,497</u>	<u>83,158</u>	<u>78,443</u>	<u>188,588</u>	<u>217,110</u>	<u>245,633</u>
TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING	<u><u>5,370,253</u></u>	<u><u>6,078,245</u></u>	<u><u>4,682,730</u></u>	<u><u>5,415,697</u></u>	<u><u>5,439,005</u></u>	<u><u>5,519,947</u></u>

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
GENERAL STATE CHARGES
(thousands of dollars)

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	3,082	1,311	2,160	2,261	2,296	2,296
Economic Development, Department of	0	0	28	28	28	28
Financial Services, Department of	113,519	98,264	113,345	114,251	114,251	114,251
Olympic Regional Development Authority	31	0	0	0	0	0
Public Service Department	22,783	27,154	31,197	30,773	31,299	31,299
Functional Total	139,415	126,729	146,730	147,313	147,874	147,874
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	62,434	47,584	47,425	44,825	45,172	37,404
Parks, Recreation and Historic Preservation, Office of	3,657	4,697	1,471	1,405	1,405	1,405
Functional Total	66,091	52,281	48,896	46,230	46,577	38,809
TRANSPORTATION						
Motor Vehicles, Department of	28,312	22,433	25,963	26,615	26,615	26,615
Transportation, Department of	1,681	1,836	2,412	2,123	2,158	2,193
Functional Total	29,993	24,269	28,375	28,738	28,773	28,808
HEALTH						
Health, Department of	34,922	36,222	39,662	42,147	42,213	42,316
<i>Medicaid Administration</i>	0	202	581	581	581	581
<i>Public Health</i>	34,922	36,020	39,081	41,566	41,632	41,735
Functional Total	34,922	36,222	39,662	42,147	42,213	42,316
SOCIAL WELFARE						
Children and Family Services, Office of	2,243	2,631	2,250	2,266	2,266	2,266
<i>OCFS</i>	2,243	2,631	2,250	2,266	2,266	2,266
Housing and Community Renewal, Division of	21,908	19,144	2,521	3,844	3,844	3,844
Labor, Department of	25,876	20,849	20,487	23,005	23,005	23,005
Temporary and Disability Assistance, Office of	36	65	128	128	128	128
<i>All Other</i>	36	65	128	128	128	128
Functional Total	50,063	42,689	25,386	29,243	29,243	29,243
MENTAL HYGIENE						
Justice Center	1,220	589	887	910	933	958
Mental Health, Office of	(44,657)	0	0	0	0	0
<i>OMH</i>	(10,681)	0	0	0	0	0
<i>OMH - Other</i>	(33,976)	0	0	0	0	0
Functional Total	(43,437)	589	887	910	933	958
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Corrections and Community Supervision, Department of	191	141	106	106	106	106
<i>DOCCS</i>	191	141	106	106	106	106
Homeland Security and Emergency Services, Division of	763	666	807	873	873	873
Indigent Legal Services, Office of	1,851	1,645	2,156	2,234	2,317	2,402
Military and Naval Affairs, Division of	214	0	0	10	10	10
State Police, Division of	45,763	26,345	28,953	29,323	29,523	29,523
Victim Services, Office of	1,894	1,660	1,650	1,683	1,683	1,683
Functional Total	50,676	30,457	33,672	34,229	34,512	34,597
HIGHER EDUCATION						
City University of New York	129	204	150	0	0	0
Higher Education - Miscellaneous	200	181	150	150	150	150
Higher Education Services Corporation, New York State	9,807	6,615	6,819	6,819	6,819	6,819
State University of New York	522,524	441,696	517,085	530,725	555,331	571,220
Functional Total	532,660	448,696	524,204	537,694	562,300	578,189

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
GENERAL STATE CHARGES
(thousands of dollars)

	<u>FY 2019 Results</u>	<u>FY 2020 Results</u>	<u>FY 2021 Updated</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
EDUCATION						
Education, Department of	43,214	37,239	41,458	40,581	41,269	41,269
<i>All Other</i>	43,214	37,239	41,458	40,581	41,269	41,269
Functional Total	<u>43,214</u>	<u>37,239</u>	<u>41,458</u>	<u>40,581</u>	<u>41,269</u>	<u>41,269</u>
GENERAL GOVERNMENT						
Budget, Division of the	907	956	1,717	1,657	1,657	1,657
Civil Service, Department of	139	118	244	241	245	245
Deferred Compensation Board	302	260	247	252	256	256
Gaming Commission, New York State	22,754	17,063	19,638	18,748	18,748	18,748
General Services, Office of	538	4,973	583	575	585	585
Labor Management Committees	5,821	6,386	5,000	5,000	5,000	5,000
State, Department of	15,448	9,640	14,788	15,066	15,083	15,083
Taxation and Finance, Department of	5,667	32,829	22,193	21,283	21,077	21,077
Workers' Compensation Board	56,568	53,880	57,863	53,220	53,220	53,220
Functional Total	<u>108,144</u>	<u>126,105</u>	<u>122,273</u>	<u>116,042</u>	<u>115,871</u>	<u>115,871</u>
ELECTED OFFICIALS						
Audit and Control, Department of	2,039	1,568	2,197	2,197	2,197	2,197
Judiciary	787,202	820,393	780,564	882,098	882,097	848,193
Law, Department of	26,417	16,822	21,049	20,243	20,243	20,243
Functional Total	<u>815,658</u>	<u>838,783</u>	<u>803,810</u>	<u>904,538</u>	<u>904,537</u>	<u>870,633</u>
ALL OTHER CATEGORIES						
General State Charges	6,373,456	6,655,087	6,242,880	7,975,454	8,476,429	8,937,637
Miscellaneous	2,969	4,050	5,786	5,793	5,803	5,811
Functional Total	<u>6,376,425</u>	<u>6,659,137</u>	<u>6,248,666</u>	<u>7,981,247</u>	<u>8,482,232</u>	<u>8,943,448</u>
TOTAL GENERAL STATE CHARGES SPENDING	<u><u>8,203,824</u></u>	<u><u>8,423,196</u></u>	<u><u>8,064,019</u></u>	<u><u>9,908,912</u></u>	<u><u>10,436,334</u></u>	<u><u>10,872,015</u></u>

**CASH DISBURSEMENTS BY FUNCTION
CAPITAL PROJECTS FUNDS
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	51,753	11,426	14,465	17,816	6,275	5,125
Economic Development Capital	1,777	2,525	6,400	8,000	8,000	8,000
Economic Development, Department of	12,821	5,800	16,752	9,400	8,400	7,700
Empire State Development Corporation	1,241,807	925,099	1,588,377	2,076,905	1,751,908	1,549,413
Energy Research and Development Authority, New York State	20,828	15,646	21,569	18,244	20,433	18,206
Lake Ontario Resiliency/Economic Development	0	285	30,000	40,000	10,000	0
Olympic Regional Development Authority	42,700	85,150	130,988	43,488	9,988	9,988
Power Authority, New York	1,346	10,315	20,546	500	500	500
Regional Economic Development Program	2,938	1,902	3,000	4,500	447	0
Strategic Investment Program	2,888	1,056	2,500	2,000	2,000	2,000
Functional Total	1,378,858	1,059,204	1,834,597	2,220,853	1,817,951	1,600,932
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	0	0	200	800	0	0
Environmental Conservation, Department of	882,540	920,939	1,043,331	1,211,828	1,272,439	1,269,151
Hudson River Park Trust	0	2,000	8,000	18,000	17,000	14,000
Parks, Recreation and Historic Preservation, Office of	176,743	181,554	186,857	172,173	171,050	171,050
Functional Total	1,059,283	1,104,493	1,238,388	1,402,801	1,460,489	1,454,201
TRANSPORTATION						
Metropolitan Transportation Authority	739,514	544,486	820,000	385,856	0	0
Motor Vehicles, Department of	227,603	258,277	313,677	313,667	313,667	313,667
Transportation, Department of	4,471,927	4,386,172	4,912,555	5,730,537	5,504,514	5,535,893
Functional Total	5,439,044	5,188,935	6,046,232	6,430,060	5,818,181	5,849,560
HEALTH						
Health, Department of	349,813	572,787	788,000	850,166	861,035	706,191
<i>Public Health</i>	349,813	572,787	788,000	850,166	861,035	706,191
Functional Total	349,813	572,787	788,000	850,166	861,035	706,191
SOCIAL WELFARE						
Children and Family Services, Office of	55,800	22,476	25,471	23,300	23,194	23,194
<i>OCFS</i>	55,800	22,476	25,471	23,300	23,194	23,194
Housing and Community Renewal, Division of	271,995	350,949	749,814	673,949	713,924	463,924
Nonprofit Infrastructure Capital Investment Program	30,751	19,641	18,000	15,000	15,000	14,298
Roosevelt Island Operating Corporation	25,028	0	0	0	0	0
Temporary and Disability Assistance, Office of	48,216	62,920	63,686	102,270	81,266	57,641
<i>All Other</i>	48,216	62,920	63,686	102,270	81,266	57,641
Functional Total	431,790	455,986	856,971	814,519	833,384	559,057
MENTAL HYGIENE						
Addiction Services and Supports, Office of	53,646	29,734	77,541	88,300	98,451	94,504
<i>OASAS</i>	53,646	29,734	77,541	88,300	98,451	94,504
Mental Health, Office of	276,317	272,930	341,483	378,349	358,467	351,879
<i>OMH</i>	276,317	272,930	341,483	378,349	358,467	351,879
People with Developmental Disabilities, Office for	97,506	102,022	120,585	132,807	139,570	121,859
<i>OPWDD</i>	97,506	102,022	120,585	132,807	139,570	121,859
Functional Total	427,469	404,686	539,609	599,456	596,488	568,242
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Corrections and Community Supervision, Department of	369,540	412,424	334,793	331,414	308,614	312,779
<i>DOCCS</i>	369,540	412,424	334,793	331,414	308,614	312,779
Criminal Justice Services, Division of	0	0	13,000	12,000	0	0
Homeland Security and Emergency Services, Division of	39,820	75,661	70,150	45,523	43,224	35,771
Military and Naval Affairs, Division of	75,582	125,394	97,719	97,102	96,982	62,557
State Police, Division of	47,327	49,194	51,758	50,299	41,687	45,117
Functional Total	532,269	662,673	567,420	536,338	490,507	456,224

CASH DISBURSEMENTS BY FUNCTION
CAPITAL PROJECTS FUNDS
(thousands of dollars)

	<u>FY 2019</u> <u>Results</u>	<u>FY 2020</u> <u>Results</u>	<u>FY 2021</u> <u>Updated</u>	<u>FY 2022</u> <u>Projected</u>	<u>FY 2023</u> <u>Projected</u>	<u>FY 2024</u> <u>Projected</u>
HIGHER EDUCATION						
City University of New York	32,463	36,412	34,736	44,549	53,615	63,615
Higher Education Facilities Capital Matching Grants Program	8,769	5,669	6,000	12,650	14,150	14,150
State University of New York	941,297	977,079	920,870	1,166,679	1,203,833	1,123,500
Functional Total	<u>982,529</u>	<u>1,019,160</u>	<u>961,606</u>	<u>1,223,878</u>	<u>1,271,598</u>	<u>1,201,265</u>
EDUCATION						
Education, Department of	150,246	181,132	479,252	497,671	497,439	330,519
<i>School Aid</i>	108,198	121,176	350,000	400,000	400,000	270,000
<i>All Other</i>	42,048	59,956	129,252	97,671	97,439	60,519
Functional Total	<u>150,246</u>	<u>181,132</u>	<u>479,252</u>	<u>497,671</u>	<u>497,439</u>	<u>330,519</u>
GENERAL GOVERNMENT						
Elections, State Board of	0	2,876	16,000	7,700	3,200	800
General Services, Office of	154,965	175,906	316,431	212,549	144,412	144,244
Information Technology Services, Office of	70,350	81,010	162,975	83,939	62,145	43,474
State, Department of	7,655	6,763	22,000	47,000	79,000	54,681
Workers' Compensation Board	0	4,907	22,440	33,764	6,755	0
Functional Total	<u>232,970</u>	<u>271,462</u>	<u>539,846</u>	<u>384,952</u>	<u>295,512</u>	<u>243,199</u>
ELECTED OFFICIALS						
Audit and Control, Department of	2,298	3,430	2,806	5,365	4,727	0
Judiciary	10,373	21,295	25,256	12,496	0	0
Law, Department of	6,856	4,344	5,735	2,000	0	0
Functional Total	<u>19,527</u>	<u>29,069</u>	<u>33,797</u>	<u>19,861</u>	<u>4,727</u>	<u>0</u>
ALL OTHER CATEGORIES						
Arts and Cultural Facilities Improvement	3,421	3,456	6,500	6,500	0	0
Miscellaneous	238,165	256,286	(558,246)	(701,000)	(687,839)	(687,838)
Special Infrastructure Account	1,019,587	789,127	625,174	359,027	232,684	116,255
Functional Total	<u>1,261,173</u>	<u>1,048,869</u>	<u>73,428</u>	<u>(335,473)</u>	<u>(455,155)</u>	<u>(571,583)</u>
TOTAL CAPITAL PROJECTS FUNDS SPENDING	<u><u>12,264,971</u></u>	<u><u>11,998,456</u></u>	<u><u>13,959,146</u></u>	<u><u>14,645,082</u></u>	<u><u>13,492,156</u></u>	<u><u>12,397,807</u></u>

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
<i>Agriculture and Markets, Department of</i>	144,172	105,692	94,943	116,213	104,553	103,403
Local Assistance	33,045	35,410	23,075	26,501	26,501	26,501
State Operations	53,523	54,741	53,118	67,465	67,265	67,265
Personal Service	33,983	35,313	36,347	35,190	35,190	35,190
Non-Personal Service/Indirect Costs	19,540	19,428	16,771	32,275	32,075	32,075
General State Charges	5,851	4,115	4,285	4,431	4,512	4,512
Capital Projects	51,753	11,426	14,465	17,816	6,275	5,125
<i>Alcoholic Beverage Control, Division of</i>	11,546	10,611	10,896	10,380	10,380	10,380
State Operations	11,546	10,611	10,896	10,380	10,380	10,380
Personal Service	8,166	8,088	8,316	8,316	8,316	8,316
Non-Personal Service/Indirect Costs	3,380	2,523	2,580	2,064	2,064	2,064
<i>Economic Development Capital</i>	1,777	2,525	6,400	8,000	8,000	8,000
Local Assistance	1,777	2,525	6,400	8,000	8,000	8,000
<i>Economic Development, Department of</i>	94,207	66,479	86,616	84,114	83,114	82,414
Local Assistance	75,941	48,390	70,781	63,879	63,879	62,779
State Operations	18,266	17,756	15,807	15,807	15,807	15,807
Personal Service	13,090	12,934	11,929	11,929	11,929	11,929
Non-Personal Service/Indirect Costs	5,176	4,822	3,878	3,878	3,878	3,878
General State Charges	0	0	28	28	28	28
Capital Projects	0	333	0	4,400	3,400	3,800
<i>Empire State Development Corporation</i>	1,327,572	1,031,284	1,647,177	2,135,705	1,810,708	1,608,213
Local Assistance	1,241,923	1,008,141	834,563	1,446,084	1,101,363	1,187,890
Capital Projects	85,649	23,143	812,614	689,621	709,345	420,323
<i>Energy Research and Development Authority, New York State</i>	20,828	15,646	21,569	18,244	20,433	18,206
Capital Projects	20,828	15,646	21,569	18,244	20,433	18,206
<i>Financial Services, Department of</i>	394,049	371,795	392,439	384,391	384,391	384,391
Local Assistance	64,179	55,146	61,581	59,896	59,896	59,896
State Operations	216,351	218,385	216,381	210,244	210,244	210,244
Personal Service	156,895	157,002	159,736	153,893	153,893	153,893
Non-Personal Service/Indirect Costs	59,456	61,383	56,645	56,351	56,351	56,351
General State Charges	113,519	98,264	114,477	114,251	114,251	114,251
<i>Lake Ontario Resiliency/Economic Development</i>	0	285	30,000	40,000	10,000	0
Capital Projects	0	285	30,000	40,000	10,000	0
<i>Olympic Regional Development Authority</i>	53,875	97,106	142,664	55,164	21,664	21,664
Local Assistance	2,360	2,268	0	0	0	0
State Operations	8,784	9,688	11,676	11,676	11,676	11,676
Personal Service	5,595	5,500	5,338	5,338	5,338	5,338
Non-Personal Service/Indirect Costs	3,189	4,188	6,338	6,338	6,338	6,338
General State Charges	31	0	0	0	0	0
Capital Projects	42,700	85,150	130,988	43,488	9,988	9,988
<i>Power Authority, New York</i>	1,346	10,315	20,546	500	500	500
Local Assistance	0	9,814	0	0	0	0
Capital Projects	1,346	501	20,546	500	500	500
<i>Public Service Department</i>	78,507	82,388	89,767	84,299	83,175	83,175
Local Assistance	218	1,500	3,100	60	60	60
State Operations	54,273	52,939	54,721	52,701	51,035	51,035
Personal Service	43,935	44,224	47,227	45,752	45,779	45,779
Non-Personal Service/Indirect Costs	10,338	8,715	7,494	6,949	5,256	5,256
General State Charges	24,016	27,949	31,946	31,538	32,080	32,080
<i>Regional Economic Development Program</i>	2,938	1,902	3,000	4,500	447	0
Local Assistance	2,938	1,902	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Capital Projects	0	0	3,000	4,500	447	0
Strategic Investment Program	2,888	1,056	2,500	2,000	2,000	2,000
Local Assistance	2,888	1,056	2,500	0	0	0
Capital Projects	0	0	0	2,000	2,000	2,000
Functional Total	2,133,705	1,797,084	2,548,517	2,943,510	2,539,365	2,322,346
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,297	4,443	5,283	5,771	5,026	4,964
State Operations	4,297	4,443	5,083	4,971	5,026	4,964
Personal Service	3,950	4,069	4,243	4,256	4,327	4,299
Non-Personal Service/Indirect Costs	347	374	840	715	699	665
Capital Projects	0	0	200	800	0	0
Environmental Conservation, Department of	1,216,134	1,243,110	1,381,818	1,541,165	1,596,786	1,584,824
Local Assistance	475,941	457,645	443,244	461,586	465,983	465,983
State Operations	249,164	257,274	271,587	264,887	259,445	258,539
Personal Service	198,080	204,013	218,139	210,692	205,206	204,682
Non-Personal Service/Indirect Costs	51,084	53,261	53,448	54,195	54,239	53,857
General State Charges	81,999	62,695	65,422	63,203	63,924	56,156
Capital Projects	409,030	465,496	601,565	751,489	807,434	804,146
Hudson River Park Trust	0	2,000	8,000	18,000	17,000	14,000
Capital Projects	0	2,000	8,000	18,000	17,000	14,000
Parks, Recreation and Historic Preservation, Office of	373,648	364,604	358,600	340,148	336,357	336,357
Local Assistance	11,546	9,367	5,020	5,020	5,020	5,020
State Operations	181,702	168,989	165,228	161,526	158,858	158,858
Personal Service	136,844	129,473	137,725	134,023	131,355	131,355
Non-Personal Service/Indirect Costs	44,858	39,516	27,503	27,503	27,503	27,503
General State Charges	3,657	4,697	1,495	1,429	1,429	1,429
Capital Projects	176,743	181,551	186,857	172,173	171,050	171,050
Functional Total	1,594,079	1,614,157	1,753,701	1,905,084	1,955,169	1,940,145
TRANSPORTATION						
Metropolitan Transportation Authority	739,514	544,486	820,000	385,856	0	0
Local Assistance	739,514	544,486	820,000	385,856	0	0
Motor Vehicles, Department of	336,460	362,100	422,728	423,051	423,051	423,051
Local Assistance	16,526	14,070	18,000	18,000	18,000	18,000
State Operations	63,061	65,804	64,282	63,963	63,963	63,963
Personal Service	45,393	47,963	49,186	49,476	49,476	49,476
Non-Personal Service/Indirect Costs	17,668	17,841	15,096	14,487	14,487	14,487
General State Charges	29,270	23,949	26,769	27,421	27,421	27,421
Capital Projects	227,603	258,277	313,677	313,667	313,667	313,667
Transportation, Department of	8,775,801	8,287,877	9,172,976	9,878,555	9,842,371	10,063,675
Local Assistance	5,098,210	4,728,430	4,996,093	4,916,181	5,116,068	5,310,175
State Operations	320,694	362,661	341,884	328,164	315,953	315,953
Personal Service	164,949	169,270	169,707	154,828	148,810	148,810
Non-Personal Service/Indirect Costs	155,745	193,391	172,177	173,336	167,143	167,143
General State Charges	8,965	6,788	13,120	8,251	8,366	8,483
Capital Projects	3,347,932	3,189,998	3,821,879	4,625,959	4,401,984	4,429,064
Functional Total	9,851,775	9,194,463	10,415,704	10,687,462	10,265,422	10,486,726
HEALTH						
Aging, Office for the	237,763	254,394	252,638	254,401	259,506	264,740

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Local Assistance	228,997	246,601	240,000	242,023	247,128	252,362
State Operations	8,722	7,730	12,563	12,378	12,378	12,378
Personal Service	7,193	7,057	8,097	7,924	7,924	7,924
Non-Personal Service/Indirect Costs	1,529	673	4,466	4,454	4,454	4,454
General State Charges	44	63	75	0	0	0
Health, Department of	69,715,870	72,735,168	81,058,701	81,190,457	82,061,425	84,329,401
Medical Assistance	58,623,402	61,310,204	67,883,469	69,419,736	70,387,353	72,901,579
Local Assistance	58,623,402	61,310,204	67,883,469	69,419,736	70,387,353	72,901,579
Essential Plan	4,083,867	3,908,166	4,859,792	4,925,971	4,837,658	4,734,252
Local Assistance	4,007,287	3,834,196	4,796,002	4,862,249	4,774,896	4,671,496
State Operations	76,580	73,970	63,790	63,722	62,762	62,756
Personal Service	3,233	3,326	4,138	4,453	4,476	4,413
Non-Personal Service/Indirect Costs	73,347	70,644	59,652	59,269	58,286	58,343
Medicaid Administration	1,851,372	1,572,734	1,420,583	1,476,907	1,415,595	1,404,751
Local Assistance	1,240,677	1,030,610	840,573	827,735	809,151	782,787
State Operations	607,179	538,264	575,191	640,776	597,321	612,501
Personal Service	70,586	68,849	64,911	74,098	84,746	89,612
Non-Personal Service/Indirect Costs	536,593	469,415	510,280	566,678	512,575	522,889
General State Charges	3,516	3,860	4,819	8,396	9,123	9,463
Public Health	5,157,229	5,944,064	6,894,857	5,367,843	5,420,819	5,288,819
Local Assistance	4,223,433	4,497,413	4,565,265	4,376,863	4,489,857	4,374,150
State Operations	777,299	1,305,301	2,120,422	715,756	714,650	715,234
Personal Service	279,332	289,441	315,714	298,831	298,937	299,087
Non-Personal Service/Indirect Costs	497,967	1,015,860	1,804,708	416,925	415,713	416,147
General State Charges	78,826	65,889	84,074	85,254	84,150	84,254
Capital Projects	77,671	75,461	125,096	189,970	132,162	115,181
Medicaid Inspector General, Office of the	46,912	45,787	47,813	46,560	46,560	46,560
State Operations	35,842	36,350	37,512	36,259	36,259	36,259
Personal Service	30,415	31,186	32,240	31,019	31,019	31,019
Non-Personal Service/Indirect Costs	5,427	5,164	5,272	5,240	5,240	5,240
General State Charges	11,070	9,437	10,301	10,301	10,301	10,301
Functional Total	70,000,545	73,035,349	81,359,152	81,491,418	82,367,491	84,640,701
SOCIAL WELFARE						
Children and Family Services, Office of	2,919,962	2,612,986	3,242,323	2,951,479	2,945,223	2,951,910
OCFS	2,847,848	2,575,771	3,171,465	2,876,930	2,870,674	2,877,361
Local Assistance	2,449,310	2,311,826	2,681,039	2,389,957	2,390,531	2,390,531
State Operations	326,193	227,047	442,924	441,662	434,938	441,625
Personal Service	202,543	113,208	275,732	272,369	269,612	272,591
Non-Personal Service/Indirect Costs	123,650	113,839	167,192	169,293	165,326	169,034
General State Charges	16,545	14,422	22,031	22,011	22,011	22,011
Capital Projects	55,800	22,476	25,471	23,300	23,194	23,194
OCFS - Other	72,114	37,215	70,858	74,549	74,549	74,549
Local Assistance	72,114	37,215	70,858	74,549	74,549	74,549
Housing and Community Renewal, Division of	422,398	525,868	836,394	806,976	846,352	596,352
Local Assistance	337,864	443,031	799,083	767,172	807,147	557,147
State Operations	58,622	60,366	27,785	27,459	26,860	26,860
Personal Service	46,746	45,387	24,058	23,594	22,995	22,995
Non-Personal Service/Indirect Costs	11,876	14,979	3,727	3,865	3,865	3,865
General State Charges	25,912	22,471	6,526	9,345	9,345	9,345
Capital Projects	0	0	3,000	3,000	3,000	3,000
Human Rights, Division of	14,990	15,432	14,313	13,940	13,590	13,590

CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
State Operations	14,990	15,432	14,313	13,940	13,590	13,590
Personal Service	12,688	12,576	12,463	12,165	11,832	11,832
Non-Personal Service/Indirect Costs	2,302	2,856	1,850	1,775	1,758	1,758
Labor, Department of	585,806	592,123	4,765,491	597,355	595,738	595,738
Local Assistance	138,948	162,002	4,354,292	157,042	157,042	157,042
State Operations	287,357	303,165	294,519	300,091	298,474	298,474
Personal Service	210,868	208,166	202,990	205,154	203,537	203,537
Non-Personal Service/Indirect Costs	76,489	94,999	91,529	94,937	94,937	94,937
General State Charges	159,501	126,956	116,680	140,222	140,222	140,222
National and Community Service	10,334	11,971	16,986	17,305	17,305	17,632
Local Assistance	270	349	432	432	432	432
State Operations	10,064	11,622	16,312	16,631	16,631	16,956
Personal Service	621	679	730	738	738	745
Non-Personal Service/Indirect Costs	9,443	10,943	15,582	15,893	15,893	16,211
General State Charges	0	0	242	242	242	244
Nonprofit Infrastructure Capital Investment Program	30,751	19,641	18,000	15,000	15,000	14,298
Local Assistance	30,751	19,641	18,000	15,000	15,000	14,298
Roosevelt Island Operating Corporation	25,028	0	0	0	0	0
Local Assistance	25,028	0	0	0	0	0
Temporary and Disability Assistance, Office of	5,442,618	5,085,108	5,240,605	5,215,358	5,303,604	5,316,779
Welfare Assistance	4,125,669	3,687,464	3,948,288	3,843,888	3,843,888	3,843,888
Local Assistance	4,125,669	3,687,464	3,948,288	3,843,888	3,843,888	3,843,888
All Other	1,316,949	1,397,644	1,292,317	1,371,470	1,459,716	1,472,891
Local Assistance	960,306	1,032,451	973,322	1,047,478	1,139,278	1,152,453
State Operations	290,245	306,169	268,551	273,640	269,050	269,050
Personal Service	155,859	160,695	146,677	149,417	146,743	146,743
Non-Personal Service/Indirect Costs	134,386	145,474	121,874	124,223	122,307	122,307
General State Charges	65,432	58,211	48,865	49,564	50,604	50,604
Capital Projects	966	813	1,579	788	784	784
Functional Total	9,451,887	8,863,129	14,134,112	9,617,413	9,736,812	9,506,299
MENTAL HYGIENE						
Addiction Services and Supports, Office of	599,319	577,249	663,148	669,529	705,792	719,779
OASAS	525,470	503,970	594,273	598,429	633,995	647,981
Local Assistance	482,038	461,170	526,975	535,374	568,614	582,351
State Operations	39,013	41,201	51,539	49,637	49,912	50,108
Personal Service	24,921	26,214	34,673	33,649	33,365	33,068
Non-Personal Service/Indirect Costs	14,092	14,987	16,866	15,988	16,547	17,040
General State Charges	2,383	0	0	0	0	0
Capital Projects	2,036	1,599	15,759	13,418	15,469	15,522
OASAS - Other	73,849	73,279	68,875	71,100	71,797	71,798
Local Assistance	21,325	21,325	21,325	21,325	21,325	21,325
State Operations	52,524	51,954	47,550	49,775	50,472	50,473
Personal Service	37,825	37,249	35,334	35,855	36,047	36,243
Non-Personal Service/Indirect Costs	14,699	14,705	12,216	13,920	14,425	14,230
Developmental Disabilities Planning Council	3,948	4,636	4,200	4,200	4,200	4,200
State Operations	3,180	3,954	3,415	3,415	3,415	3,415
Personal Service	1,025	1,133	1,266	1,266	1,266	1,266
Non-Personal Service/Indirect Costs	2,155	2,821	2,149	2,149	2,149	2,149
General State Charges	768	682	785	785	785	785
Justice Center	44,628	45,278	47,259	45,844	46,263	46,679

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Local Assistance	679	649	649	649	649	649
State Operations	42,729	44,040	45,137	44,151	44,544	44,932
Personal Service	32,886	34,257	35,665	34,324	34,472	34,617
Non-Personal Service/Indirect Costs	9,843	9,783	9,472	9,827	10,072	10,315
General State Charges	1,220	589	1,473	1,044	1,070	1,098
<i>Mental Health, Office of</i>	2,915,984	3,032,581	3,287,721	3,418,973	3,472,315	3,529,503
OMH	1,657,443	1,707,107	1,953,317	2,012,888	2,055,639	2,106,131
Local Assistance	1,090,479	1,106,979	1,246,854	1,303,853	1,357,171	1,411,962
State Operations	332,772	359,817	405,078	390,903	392,946	392,235
Personal Service	269,052	287,113	357,882	341,715	342,299	339,748
Non-Personal Service/Indirect Costs	63,720	72,704	47,196	49,188	50,647	52,487
General State Charges	(10,072)	617	469	469	469	469
Capital Projects	244,264	239,694	300,916	317,663	305,053	301,465
OMH - Other	1,258,541	1,325,474	1,334,404	1,406,085	1,416,676	1,423,372
Local Assistance	278,961	288,507	311,306	312,811	321,455	323,261
State Operations	1,013,556	1,036,967	1,023,098	1,093,274	1,095,221	1,100,111
Personal Service	818,643	831,217	804,801	840,525	830,788	824,100
Non-Personal Service/Indirect Costs	194,913	205,750	218,297	252,749	264,433	276,011
General State Charges	(33,976)	0	0	0	0	0
<i>Mental Hygiene, Department of</i>	0	0	0	0	0	(22,594)
Debt Service	0	0	0	0	0	(22,594)
<i>People with Developmental Disabilities, Office for</i>	1,937,655	3,201,373	2,871,402	3,465,969	3,318,546	3,777,932
OPWDD	485,535	511,007	506,700	485,638	501,192	517,501
Local Assistance	388,326	413,701	356,722	355,507	364,298	398,318
State Operations	645	564	21,537	1,203	1,203	1,203
Personal Service	387	233	20,334	0	0	0
Non-Personal Service/Indirect Costs	258	331	1,203	1,203	1,203	1,203
General State Charges	78	142	11,735	0	0	0
Capital Projects	96,486	96,600	116,706	128,928	135,691	117,980
OPWDD - Other	1,452,120	2,690,366	2,364,702	2,980,331	2,817,354	3,260,431
Local Assistance	131,150	1,333,390	1,023,039	1,601,480	1,427,173	1,857,407
State Operations	1,320,970	1,356,976	1,341,663	1,378,851	1,390,181	1,403,024
Personal Service	1,142,322	1,161,329	1,155,502	1,171,359	1,179,092	1,186,786
Non-Personal Service/Indirect Costs	178,648	195,647	186,161	207,492	211,089	216,238
Functional Total	5,501,534	6,861,117	6,873,730	7,604,515	7,547,116	8,055,499
PUBLIC PROTECTION/CRIMINAL JUSTICE						
<i>Correction, Commission of</i>	2,541	3,149	2,505	2,467	2,467	2,467
State Operations	2,541	3,149	2,505	2,467	2,467	2,467
Personal Service	2,276	2,652	2,288	2,245	2,245	2,245
Non-Personal Service/Indirect Costs	265	497	217	222	222	222
<i>Corrections and Community Supervision, Department of</i>	2,976,983	3,295,148	3,026,422	2,983,666	3,000,635	3,001,800
DOCCS	2,976,983	3,295,148	3,024,422	2,983,666	3,000,635	3,001,800
Local Assistance	3,435	6,336	4,836	4,836	4,836	4,836
State Operations	2,602,342	2,875,291	2,683,646	2,646,269	2,686,038	2,683,038
Personal Service	2,113,450	2,382,638	2,215,557	2,184,799	2,218,222	2,218,222
Non-Personal Service/Indirect Costs	488,892	492,653	468,089	461,470	467,816	464,816
General State Charges	1,666	1,097	1,147	1,147	1,147	1,147
Capital Projects	369,540	412,424	334,793	331,414	308,614	312,779
DOCCS - Other	0	0	2,000	0	0	0
Local Assistance	0	0	2,000	0	0	0

CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
<i>Criminal Justice Services, Division of</i>	268,933	231,940	275,860	275,681	224,517	225,467
Local Assistance	222,515	187,905	217,971	217,971	177,971	177,971
State Operations	45,900	43,776	44,541	45,362	46,191	47,134
Personal Service	33,118	32,304	33,662	33,218	33,732	34,397
Non-Personal Service/Indirect Costs	12,782	11,472	10,879	12,144	12,459	12,737
General State Charges	518	259	348	348	355	362
Capital Projects	0	0	13,000	12,000	0	0
<i>Homeland Security and Emergency Services, Division of</i>	1,217,150	1,479,767	1,573,885	1,241,491	1,242,113	1,235,602
Local Assistance	1,127,676	1,395,172	1,448,042	1,137,232	1,143,782	1,145,284
State Operations	68,256	65,482	100,786	79,256	80,091	80,945
Personal Service	34,464	37,428	42,685	44,577	45,133	45,789
Non-Personal Service/Indirect Costs	33,792	28,054	58,101	34,679	34,958	35,156
General State Charges	9,246	4,605	7,807	7,873	7,873	7,873
Capital Projects	11,972	14,508	17,250	17,130	10,367	1,500
<i>Indigent Legal Services, Office of</i>	88,453	90,265	213,432	226,614	276,785	290,707
Local Assistance	83,765	85,503	207,000	220,000	270,000	283,762
State Operations	2,837	3,117	4,276	4,380	4,468	4,543
Personal Service	2,430	2,620	3,440	3,524	3,595	3,667
Non-Personal Service/Indirect Costs	407	497	836	856	873	876
General State Charges	1,851	1,645	2,156	2,234	2,317	2,402
<i>Judicial Conduct, Commission on</i>	5,423	5,748	6,266	6,444	6,550	6,550
State Operations	5,423	5,748	6,266	6,444	6,550	6,550
Personal Service	3,959	4,203	4,878	4,813	4,903	4,903
Non-Personal Service/Indirect Costs	1,464	1,545	1,388	1,631	1,647	1,647
<i>Judicial Nomination, Commission on</i>	0	0	30	30	30	30
State Operations	0	0	30	30	30	30
Non-Personal Service/Indirect Costs	0	0	30	30	30	30
<i>Judicial Screening Committees, New York State</i>	7	6	38	38	38	38
State Operations	7	6	38	38	38	38
Non-Personal Service/Indirect Costs	7	6	38	38	38	38
<i>Military and Naval Affairs, Division of</i>	150,526	197,777	178,465	169,572	170,643	137,504
Local Assistance	885	885	820	886	904	923
State Operations	62,111	63,191	71,995	64,143	65,316	66,583
Personal Service	35,883	37,115	43,702	37,554	38,272	39,005
Non-Personal Service/Indirect Costs	26,228	26,076	28,293	26,589	27,044	27,578
General State Charges	11,948	8,307	7,931	7,441	7,441	7,441
Capital Projects	75,582	125,394	97,719	97,102	96,982	62,557
<i>State Police, Division of</i>	840,623	877,304	1,072,937	897,468	909,035	912,465
Local Assistance	14	15	0	0	0	0
State Operations	745,098	799,851	811,482	816,346	836,325	836,325
Personal Service	670,416	721,655	739,669	731,455	750,330	750,330
Non-Personal Service/Indirect Costs	74,682	78,196	71,813	84,891	85,995	85,995
General State Charges	48,184	28,244	209,697	30,823	31,023	31,023
Capital Projects	47,327	49,194	51,758	50,299	41,687	45,117
<i>Statewide Financial System</i>	30,520	31,517	30,078	28,038	27,556	27,556
State Operations	30,520	31,517	29,824	28,038	27,556	27,556
Personal Service	11,294	11,650	12,377	11,690	11,711	11,711
Non-Personal Service/Indirect Costs	19,226	19,867	17,447	16,348	15,845	15,845
General State Charges	0	0	254	0	0	0
<i>Victim Services, Office of</i>	79,725	114,705	131,728	132,526	132,526	130,554
Local Assistance	70,626	104,191	120,744	121,398	121,398	121,398
State Operations	7,205	8,427	8,884	8,995	8,995	7,023
Personal Service	5,139	6,764	6,773	6,722	6,722	5,465

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Non-Personal Service/Indirect Costs	2,066	1,663	2,111	2,273	2,273	1,558
General State Charges	1,894	2,087	2,100	2,133	2,133	2,133
Functional Total	5,660,884	6,327,326	6,511,646	5,964,035	5,992,895	5,970,740
HIGHER EDUCATION						
City University of New York	1,638,091	1,073,405	2,420,168	1,681,050	1,722,509	1,762,266
Local Assistance	1,508,007	933,466	2,245,142	1,625,374	1,657,767	1,687,524
State Operations	97,492	103,323	140,140	11,127	11,127	11,127
Personal Service	47,274	48,676	84,145	3,493	3,493	3,493
Non-Personal Service/Indirect Costs	50,218	54,647	55,995	7,634	7,634	7,634
General State Charges	129	204	150	0	0	0
Capital Projects	32,463	36,412	34,736	44,549	53,615	63,615
Higher Education - Miscellaneous	540	609	441	441	441	441
State Operations	340	428	291	291	291	291
Personal Service	232	283	198	198	198	198
Non-Personal Service/Indirect Costs	108	145	93	93	93	93
General State Charges	200	181	150	150	150	150
Higher Education Facilities Capital Matching Grants Program	8,769	5,669	6,000	12,650	14,150	14,150
Local Assistance	8,769	5,669	6,000	12,650	14,150	14,150
Higher Education Services Corporation, New York State	1,036,617	990,167	854,074	878,213	882,618	882,856
Local Assistance	984,334	950,544	810,146	834,285	838,690	838,928
State Operations	42,475	33,008	37,108	37,108	37,108	37,108
Personal Service	12,339	10,530	12,189	12,189	12,189	12,189
Non-Personal Service/Indirect Costs	30,136	22,478	24,919	24,919	24,919	24,919
General State Charges	9,808	6,615	6,820	6,820	6,820	6,820
State University of New York	8,303,886	8,570,332	8,608,253	8,862,536	9,018,638	9,033,406
Local Assistance	487,978	478,969	459,460	461,184	460,184	460,184
State Operations	6,352,032	6,672,693	6,710,787	6,703,897	6,799,239	6,878,451
Personal Service	3,962,540	4,088,768	4,284,439	4,221,276	4,289,360	4,340,936
Non-Personal Service/Indirect Costs	2,389,492	2,583,925	2,426,348	2,482,621	2,509,879	2,537,515
General State Charges	522,634	441,793	517,136	530,776	555,382	571,271
Capital Projects	941,242	976,877	920,870	1,166,679	1,203,833	1,123,500
Functional Total	10,987,903	10,640,182	11,888,936	11,434,890	11,638,356	11,693,119
EDUCATION						
Arts, Council on the	44,212	49,048	46,202	45,490	45,336	45,336
Local Assistance	39,946	44,797	42,068	41,533	41,533	41,533
State Operations	4,266	4,251	4,134	3,957	3,803	3,803
Personal Service	2,636	2,681	2,519	2,423	2,423	2,423
Non-Personal Service/Indirect Costs	1,630	1,570	1,615	1,534	1,380	1,380
Education, Department of	35,369,328	35,975,909	36,612,013	36,709,129	37,335,520	38,086,411
School Aid	29,115,634	30,047,154	30,719,697	30,838,890	31,503,729	32,331,356
Local Assistance	29,115,633	30,047,154	30,719,697	30,838,890	31,503,729	32,331,356
State Operations	1	0	0	0	0	0
Non-Personal Service/Indirect Costs	1	0	0	0	0	0
School Aid – Other	152,867	137,708	140,000	140,000	140,000	140,000
Local Assistance	152,867	137,708	140,000	140,000	140,000	140,000
STAR Property Tax Relief	2,423,112	2,183,689	2,073,116	1,979,457	1,857,985	1,749,913
Local Assistance	2,423,112	2,183,689	2,073,116	1,979,457	1,857,985	1,749,913
Special Education Categorical Programs	2,298,888	2,070,572	2,157,221	2,228,066	2,295,451	2,364,031
Local Assistance	2,298,888	2,070,572	2,157,221	2,228,066	2,295,451	2,364,031

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
All Other	1,378,827	1,536,786	1,521,979	1,522,716	1,538,355	1,501,111
Local Assistance	962,535	1,128,363	1,082,781	1,105,606	1,128,992	1,096,586
State Operations	301,533	306,464	297,074	287,724	283,881	283,881
Personal Service	177,576	176,964	178,399	173,260	170,526	170,526
Non-Personal Service/Indirect Costs	123,957	129,500	118,675	114,464	113,355	113,355
General State Charges	108,613	90,647	97,458	96,581	97,269	97,269
Capital Projects	6,146	11,312	44,666	32,805	28,213	23,375
Functional Total	35,413,540	36,024,957	36,658,215	36,754,619	37,380,856	38,131,747
GENERAL GOVERNMENT						
Budget, Division of the	26,125	28,955	32,038	29,596	29,596	29,596
State Operations	25,218	27,999	30,321	27,939	27,939	27,939
Personal Service	21,921	23,615	25,511	24,567	24,567	24,567
Non-Personal Service/Indirect Costs	3,297	4,384	4,810	3,372	3,372	3,372
General State Charges	907	956	1,717	1,657	1,657	1,657
Civil Service, Department of	16,128	15,490	14,423	13,805	13,826	13,826
Local Assistance	567	78	300	300	300	300
State Operations	15,422	15,294	13,879	13,264	13,281	13,281
Personal Service	15,062	15,287	13,867	12,822	12,830	12,830
Non-Personal Service/Indirect Costs	360	7	12	442	451	451
General State Charges	139	118	244	241	245	245
Deferred Compensation Board	776	733	833	837	841	841
State Operations	474	473	586	585	585	585
Personal Service	424	441	414	413	413	413
Non-Personal Service/Indirect Costs	50	32	172	172	172	172
General State Charges	302	260	247	252	256	256
Elections, State Board of	11,162	22,321	34,285	22,756	14,654	12,254
Local Assistance	450	1,829	10,200	1,500	0	0
State Operations	10,442	17,541	17,879	14,650	11,048	11,048
Personal Service	6,025	6,636	7,121	7,028	6,866	6,866
Non-Personal Service/Indirect Costs	4,417	10,905	10,758	7,622	4,182	4,182
General State Charges	270	364	406	406	406	406
Capital Projects	0	2,587	5,800	6,200	3,200	800
Employee Relations, Office of	2,097	5,880	6,421	6,288	6,289	6,289
State Operations	2,097	5,880	6,421	6,288	6,289	6,289
Personal Service	2,040	5,789	6,310	6,177	6,177	6,177
Non-Personal Service/Indirect Costs	57	91	111	111	112	112
Gaming Commission, New York State	159,059	165,136	248,895	170,062	178,090	191,859
Local Assistance	69,013	97,809	169,324	92,915	103,262	117,021
State Operations	67,292	50,264	59,933	58,399	56,080	56,090
Personal Service	35,418	34,014	34,756	33,143	31,799	31,805
Non-Personal Service/Indirect Costs	31,874	16,250	25,177	25,256	24,281	24,285
General State Charges	22,754	17,063	19,638	18,748	18,748	18,748
General Services, Office of	307,115	305,353	402,973	300,693	234,344	235,676
Local Assistance	0	0	250	250	250	250
State Operations	151,612	124,474	85,709	87,319	89,097	90,597
Personal Service	61,158	41,123	40,732	39,915	40,691	41,483
Non-Personal Service/Indirect Costs	90,454	83,351	44,977	47,404	48,406	49,114
General State Charges	538	4,973	583	575	585	585
Capital Projects	154,965	175,906	316,431	212,549	144,412	144,244
Information Technology Services, Office of	615,182	622,486	797,372	578,605	548,836	530,165
State Operations	544,832	541,476	632,158	494,666	486,691	486,691
Personal Service	299,018	296,582	295,641	297,162	299,828	299,828

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Non-Personal Service/Indirect Costs	245,814	244,894	336,517	197,504	186,863	186,863
General State Charges	0	0	2,239	0	0	0
Capital Projects	70,350	81,010	162,975	83,939	62,145	43,474
<i>Inspector General, Office of the</i>	6,844	6,381	8,614	7,311	7,460	7,460
State Operations	6,844	6,381	8,051	7,311	7,460	7,460
Personal Service	5,909	5,423	7,195	6,107	6,244	6,244
Non-Personal Service/Indirect Costs	935	958	856	1,204	1,216	1,216
General State Charges	0	0	563	0	0	0
<i>Labor Management Committees</i>	35,520	37,107	37,631	38,378	39,139	39,916
State Operations	29,699	30,721	32,631	33,378	34,139	34,916
Personal Service	8,618	8,420	5,698	5,487	5,487	5,487
Non-Personal Service/Indirect Costs	21,081	22,301	26,933	27,891	28,652	29,429
General State Charges	5,821	6,386	5,000	5,000	5,000	5,000
<i>Prevention of Domestic Violence, Office for</i>	2,823	2,984	3,075	3,013	3,013	3,013
Local Assistance	1,267	1,288	1,385	1,412	1,412	1,412
State Operations	1,556	1,696	1,690	1,601	1,601	1,601
Personal Service	1,394	1,550	1,501	1,408	1,408	1,408
Non-Personal Service/Indirect Costs	162	146	189	193	193	193
<i>Public Employment Relations Board</i>	3,430	3,401	3,520	3,333	3,333	3,333
State Operations	3,430	3,401	3,520	3,333	3,333	3,333
Personal Service	3,175	3,214	3,262	3,112	3,112	3,112
Non-Personal Service/Indirect Costs	255	187	258	221	221	221
<i>Public Ethics, Joint Commission on</i>	5,223	5,217	5,435	5,610	5,719	5,719
State Operations	5,223	5,217	5,435	5,610	5,719	5,719
Personal Service	4,516	4,486	4,617	4,577	4,674	4,674
Non-Personal Service/Indirect Costs	707	731	818	1,033	1,045	1,045
<i>State, Department of</i>	146,276	133,787	152,999	173,166	205,183	180,864
Local Assistance	80,987	72,643	82,274	107,274	139,274	114,955
State Operations	46,216	47,053	51,126	46,014	46,014	46,014
Personal Service	31,280	31,618	34,104	33,016	33,016	33,016
Non-Personal Service/Indirect Costs	14,936	15,435	17,022	12,998	12,998	12,998
General State Charges	17,835	11,380	17,599	17,878	17,895	17,895
Capital Projects	1,238	2,711	2,000	2,000	2,000	2,000
<i>Tax Appeals, Division of</i>	2,820	2,871	3,150	2,714	2,604	2,604
State Operations	2,820	2,871	3,150	2,714	2,604	2,604
Personal Service	2,513	2,640	2,936	2,600	2,509	2,516
Non-Personal Service/Indirect Costs	307	231	214	114	95	88
<i>Taxation and Finance, Department of</i>	320,578	352,299	368,634	340,095	326,378	326,966
Local Assistance	2,435	3,302	3,491	3,491	3,491	3,491
State Operations	312,476	316,168	337,739	315,321	301,810	302,398
Personal Service	269,428	265,994	266,690	244,672	231,421	232,009
Non-Personal Service/Indirect Costs	43,048	50,174	71,049	70,649	70,389	70,389
General State Charges	5,667	32,829	27,404	21,283	21,077	21,077
<i>Veterans' Services, Division of</i>	17,254	15,500	15,685	15,662	15,453	15,546
Local Assistance	10,499	8,235	7,840	7,840	7,840	7,840
State Operations	6,370	6,935	7,331	7,286	7,066	7,154
Personal Service	5,667	6,088	6,556	6,496	6,344	6,413
Non-Personal Service/Indirect Costs	703	847	775	790	722	741
General State Charges	385	330	514	536	547	552
<i>Welfare Inspector General, Office of</i>	629	640	731	753	768	768
State Operations	629	640	731	753	768	768
Personal Service	615	630	654	646	659	659
Non-Personal Service/Indirect Costs	14	10	77	107	109	109

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Workers' Compensation Board	198,486	198,282	228,305	230,203	203,194	196,439
State Operations	141,918	139,495	148,002	143,219	143,219	143,219
Personal Service	82,890	84,865	89,608	84,892	84,892	84,892
Non-Personal Service/Indirect Costs	59,028	54,630	58,394	58,327	58,327	58,327
General State Charges	56,568	53,880	57,863	53,220	53,220	53,220
Capital Projects	0	4,907	22,440	33,764	6,755	0
Functional Total	1,877,527	1,924,823	2,365,019	1,942,880	1,838,720	1,803,134
ELECTED OFFICIALS						
Audit and Control, Department of	184,860	189,172	185,210	185,060	184,422	179,695
Local Assistance	32,025	32,025	32,025	32,025	32,025	32,025
State Operations	148,498	152,149	148,182	145,473	145,473	145,473
Personal Service	118,729	121,837	118,207	115,498	115,498	115,498
Non-Personal Service/Indirect Costs	29,769	30,312	29,975	29,975	29,975	29,975
General State Charges	2,039	1,568	2,197	2,197	2,197	2,197
Capital Projects	2,298	3,430	2,806	5,365	4,727	0
Executive Chamber	12,673	13,239	14,032	13,578	13,578	13,578
State Operations	12,673	13,239	14,032	13,578	13,578	13,578
Personal Service	9,135	10,876	11,567	11,113	11,113	11,113
Non-Personal Service/Indirect Costs	3,538	2,363	2,465	2,465	2,465	2,465
Judiciary	3,074,939	3,153,277	2,957,132	3,046,954	3,034,757	3,000,853
Local Assistance	101,561	166,113	117,500	179,500	179,500	179,500
State Operations	2,175,297	2,144,874	2,031,215	1,972,160	1,972,460	1,972,460
Personal Service	1,733,307	1,715,181	1,635,835	1,577,200	1,577,500	1,577,500
Non-Personal Service/Indirect Costs	441,990	429,693	395,380	394,960	394,960	394,960
General State Charges	787,708	820,996	781,264	882,798	882,797	848,893
Capital Projects	10,373	21,294	27,153	12,496	0	0
Law, Department of	264,200	244,393	246,478	234,338	232,338	232,338
State Operations	215,230	210,980	205,266	199,078	199,078	199,078
Personal Service	155,585	156,208	148,330	142,878	142,878	142,878
Non-Personal Service/Indirect Costs	59,645	54,772	56,936	56,200	56,200	56,200
General State Charges	42,114	29,069	35,477	33,260	33,260	33,260
Capital Projects	6,856	4,344	5,735	2,000	0	0
Legislature	223,573	228,725	224,056	230,949	231,002	231,002
State Operations	223,573	228,725	224,056	230,949	231,002	231,002
Personal Service	175,304	177,365	177,583	183,366	183,419	183,419
Non-Personal Service/Indirect Costs	48,269	51,360	46,473	47,583	47,583	47,583
Lieutenant Governor, Office of the	530	518	634	614	614	614
State Operations	530	518	634	614	614	614
Personal Service	414	431	543	523	523	523
Non-Personal Service/Indirect Costs	116	87	91	91	91	91
Functional Total	3,760,775	3,829,324	3,627,542	3,711,493	3,696,711	3,658,080
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	721,979	662,056	671,166	704,192	704,192	704,192
Local Assistance	721,732	662,054	671,166	704,192	704,192	704,192
State Operations	247	2	0	0	0	0
Non-Personal Service/Indirect Costs	247	2	0	0	0	0
County-Wide Shared Services Initiative	0	11,166	20,000	60,000	60,000	60,000
Local Assistance	0	11,166	20,000	60,000	60,000	60,000
Miscellaneous Financial Assistance	12,001	11,998	3,750	3,750	3,750	3,750

CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Local Assistance	12,001	11,998	3,750	3,750	3,750	3,750
Municipalities with VLT Facilities	28,885	28,885	28,885	28,885	28,885	28,885
Local Assistance	28,885	28,885	28,885	28,885	28,885	28,885
Small Government Assistance	217	217	218	218	218	218
Local Assistance	217	217	218	218	218	218
Functional Total	763,082	714,322	724,019	797,045	797,045	797,045
ALL OTHER CATEGORIES						
Arts and Cultural Facilities Improvement	3,421	3,456	6,500	6,500	0	0
Local Assistance	3,340	3,363	6,500	6,500	0	0
Capital Projects	81	93	0	0	0	0
General State Charges	6,373,457	6,655,088	6,242,880	7,975,454	8,476,429	8,937,637
General State Charges	6,373,457	6,655,088	6,242,880	7,975,454	8,476,429	8,937,637
Long-Term Debt Service	6,736,264	4,952,363	10,569,537	6,983,720	7,427,294	7,641,058
State Operations	37,699	36,271	70,685	43,072	43,072	43,072
Non-Personal Service/Indirect Costs	37,699	36,271	70,685	43,072	43,072	43,072
Debt Service	6,698,565	4,916,092	10,498,852	6,940,648	7,384,222	7,597,986
Miscellaneous	(254,897)	(245,880)	(6,467,613)	(8,801,503)	(8,809,310)	(8,780,778)
Local Assistance	(343,899)	(363,606)	(8,579,161)	(8,655,310)	(8,790,461)	(8,765,460)
State Operations	49,916	49,007	2,294,266	137,921	166,443	194,966
Personal Service	2,132	2,088	(7,595)	(7,595)	(7,595)	(7,595)
Non-Personal Service/Indirect Costs	47,784	46,919	2,301,861	145,516	174,038	202,561
General State Charges	2,969	4,050	5,786	5,793	5,803	5,811
Capital Projects	36,117	64,669	(188,504)	(289,907)	(191,095)	(216,095)
Special Infrastructure Account	1,019,587	789,127	625,174	359,027	232,684	116,255
Local Assistance	595,694	461,206	210,000	150,000	120,000	55,690
Capital Projects	423,893	327,921	415,174	209,027	112,684	60,565
Functional Total	13,877,832	12,154,154	10,976,478	6,523,198	7,327,097	7,914,172
TOTAL ALL GOVERNMENTAL FUNDS SPENDING	170,875,068	172,980,387	189,836,771	181,377,562	183,083,055	186,919,753

CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	144,172	105,692	94,943	116,213	104,553	103,403
Alcoholic Beverage Control, Division of	11,546	10,611	10,896	10,380	10,380	10,380
Economic Development Capital	1,777	2,525	6,400	8,000	8,000	8,000
Economic Development, Department of	94,207	66,479	86,616	84,114	83,114	82,414
Empire State Development Corporation	1,327,572	1,031,284	1,647,177	2,135,705	1,810,708	1,608,213
Energy Research and Development Authority, New York State	20,828	15,646	21,569	18,244	20,433	18,206
Financial Services, Department of	394,049	371,795	392,439	384,391	384,391	384,391
Lake Ontario Resiliency/Economic Development	0	285	30,000	40,000	10,000	0
Olympic Regional Development Authority	53,875	97,106	142,664	55,164	21,664	21,664
Power Authority, New York	1,346	10,315	20,546	500	500	500
Public Service Department	78,507	82,388	89,767	84,299	83,175	83,175
Regional Economic Development Program	2,938	1,902	3,000	4,500	447	0
Strategic Investment Program	2,888	1,056	2,500	2,000	2,000	2,000
Functional Total	2,133,705	1,797,084	2,548,517	2,943,510	2,539,365	2,322,346
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,297	4,443	5,283	5,771	5,026	4,964
Environmental Conservation, Department of	1,216,134	1,243,110	1,381,818	1,541,165	1,596,786	1,584,824
Hudson River Park Trust	0	2,000	8,000	18,000	17,000	14,000
Parks, Recreation and Historic Preservation, Office of	373,648	364,604	358,600	340,148	336,357	336,357
Functional Total	1,594,079	1,614,157	1,753,701	1,905,084	1,955,169	1,940,145
TRANSPORTATION						
Metropolitan Transportation Authority	739,514	544,486	820,000	385,856	0	0
Motor Vehicles, Department of	336,460	362,100	422,728	423,051	423,051	423,051
Transportation, Department of	8,775,801	8,287,877	9,172,976	9,878,555	9,842,371	10,063,675
Functional Total	9,851,775	9,194,463	10,415,704	10,687,462	10,265,422	10,486,726
HEALTH						
Aging, Office for the	237,763	254,394	252,638	254,401	259,506	264,740
Health, Department of	69,715,870	72,735,168	81,058,701	81,190,457	82,061,425	84,329,401
<i>Medical Assistance</i>	58,623,402	61,310,204	67,883,469	69,419,736	70,387,353	72,901,579
<i>Essential Plan</i>	4,083,867	3,908,166	4,859,792	4,925,971	4,837,658	4,734,252
<i>Medicaid Administration</i>	1,851,372	1,572,734	1,420,583	1,476,907	1,415,595	1,404,751
<i>Public Health</i>	5,157,229	5,944,064	6,894,857	5,367,843	5,420,819	5,288,819
Medicaid Inspector General, Office of the	46,912	45,787	47,813	46,560	46,560	46,560
Functional Total	70,000,545	73,035,349	81,359,152	81,491,418	82,367,491	84,640,701
SOCIAL WELFARE						
Children and Family Services, Office of	2,919,962	2,612,986	3,242,323	2,951,479	2,945,223	2,951,910
<i>OCFS</i>	2,847,848	2,575,771	3,171,465	2,876,930	2,870,674	2,877,361
<i>OCFS - Other</i>	72,114	37,215	70,858	74,549	74,549	74,549
Housing and Community Renewal, Division of	422,398	525,868	836,394	806,976	846,352	596,352
Human Rights, Division of	14,990	15,432	14,313	13,940	13,590	13,590
Labor, Department of	585,806	592,123	4,765,491	597,355	595,738	595,738
National and Community Service	10,334	11,971	16,986	17,305	17,305	17,632
Nonprofit Infrastructure Capital Investment Program	30,751	19,641	18,000	15,000	15,000	14,298
Roosevelt Island Operating Corporation	25,028	0	0	0	0	0
Temporary and Disability Assistance, Office of	5,442,618	5,085,108	5,240,605	5,215,358	5,303,604	5,316,779
<i>Welfare Assistance</i>	4,125,669	3,687,464	3,948,288	3,843,888	3,843,888	3,843,888
<i>All Other</i>	1,316,949	1,397,644	1,292,317	1,371,470	1,459,716	1,472,891
Functional Total	9,451,887	8,863,129	14,134,112	9,617,413	9,736,812	9,506,299
MENTAL HYGIENE						
Addiction Services and Supports, Office of	599,319	577,249	663,148	669,529	705,792	719,779
<i>OASAS</i>	525,470	503,970	594,273	598,429	633,995	647,981
<i>OASAS - Other</i>	73,849	73,279	68,875	71,100	71,797	71,798
Developmental Disabilities Planning Council	3,948	4,636	4,200	4,200	4,200	4,200

CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Justice Center	44,628	45,278	47,259	45,844	46,263	46,679
Mental Health, Office of	2,915,984	3,032,581	3,287,721	3,418,973	3,472,315	3,529,503
<i>OMH</i>	1,657,443	1,707,107	1,953,317	2,012,888	2,055,639	2,106,131
<i>OMH - Other</i>	1,258,541	1,325,474	1,334,404	1,406,085	1,416,676	1,423,372
Mental Hygiene, Department of	0	0	0	0	0	(22,594)
People with Developmental Disabilities, Office for	1,937,655	3,201,373	2,871,402	3,465,969	3,318,546	3,777,932
<i>OPWDD</i>	485,535	511,007	506,700	485,638	501,192	517,501
<i>OPWDD - Other</i>	1,452,120	2,690,366	2,364,702	2,980,331	2,817,354	3,260,431
Functional Total	5,501,534	6,861,117	6,873,730	7,604,515	7,547,116	8,055,499

PUBLIC PROTECTION/CRIMINAL JUSTICE

Correction, Commission of	2,541	3,149	2,505	2,467	2,467	2,467
Corrections and Community Supervision, Department of	2,976,983	3,295,148	3,026,422	2,983,666	3,000,635	3,001,800
<i>DOCCS</i>	2,976,983	3,295,148	3,024,422	2,983,666	3,000,635	3,001,800
<i>DOCCS - Other</i>	0	0	2,000	0	0	0
Criminal Justice Services, Division of	268,933	231,940	275,860	275,681	224,517	225,467
Homeland Security and Emergency Services, Division of	1,217,150	1,479,767	1,573,885	1,241,491	1,242,113	1,235,602
Indigent Legal Services, Office of	88,453	90,265	213,432	226,614	276,785	290,707
Judicial Conduct, Commission on	5,423	5,748	6,266	6,444	6,550	6,550
Judicial Nomination, Commission on	0	0	30	30	30	30
Judicial Screening Committees, New York State	7	6	38	38	38	38
Military and Naval Affairs, Division of	150,526	197,777	178,465	169,572	170,643	137,504
State Police, Division of	840,623	877,304	1,072,937	897,468	909,035	912,465
Statewide Financial System	30,520	31,517	30,078	28,038	27,556	27,556
Victim Services, Office of	79,725	114,705	131,728	132,526	132,526	130,554
Functional Total	5,660,884	6,327,326	6,511,646	5,964,035	5,992,895	5,970,740

HIGHER EDUCATION

City University of New York	1,638,091	1,073,405	2,420,168	1,681,050	1,722,509	1,762,266
Higher Education - Miscellaneous	540	609	441	441	441	441
Higher Education Facilities Capital Matching Grants Program	8,769	5,669	6,000	12,650	14,150	14,150
Higher Education Services Corporation, New York State	1,036,617	990,167	854,074	878,213	882,618	882,856
State University of New York	8,303,889	8,570,335	8,608,253	8,862,536	9,018,638	9,033,406
Functional Total	10,987,906	10,640,185	11,888,936	11,434,890	11,638,356	11,693,119

EDUCATION

Arts, Council on the	44,212	49,048	46,202	45,490	45,336	45,336
Education, Department of	35,369,328	35,975,909	36,612,013	36,709,129	37,335,520	38,086,411
<i>School Aid</i>	29,115,634	30,047,154	30,719,697	30,838,890	31,503,729	32,331,356
<i>School Aid - Other</i>	152,867	137,708	140,000	140,000	140,000	140,000
<i>STAR Property Tax Relief</i>	2,423,112	2,183,689	2,073,116	1,979,457	1,857,985	1,749,913
<i>Special Education Categorical Programs</i>	2,298,888	2,070,572	2,157,221	2,228,066	2,295,451	2,364,031
<i>All Other</i>	1,378,827	1,536,786	1,521,979	1,522,716	1,538,355	1,501,111
Functional Total	35,413,540	36,024,957	36,658,215	36,754,619	37,380,856	38,131,747

GENERAL GOVERNMENT

Budget, Division of the	26,125	28,955	32,038	29,596	29,596	29,596
Civil Service, Department of	16,128	15,490	14,423	13,805	13,826	13,826
Deferred Compensation Board	776	733	833	837	841	841
Elections, State Board of	11,162	22,321	34,285	22,756	14,654	12,254
Employee Relations, Office of	2,097	5,880	6,421	6,288	6,289	6,289
Gaming Commission, New York State	159,059	165,136	248,895	170,062	178,090	191,859
General Services, Office of	307,115	305,353	402,973	300,693	234,344	235,676
Information Technology Services, Office of	615,182	622,486	797,372	578,605	548,836	530,165
Inspector General, Office of the	6,844	6,381	8,614	7,311	7,460	7,460
Labor Management Committees	35,520	37,107	37,631	38,378	39,139	39,916
Prevention of Domestic Violence, Office for	2,823	2,984	3,075	3,013	3,013	3,013
Public Employment Relations Board	3,430	3,401	3,520	3,333	3,333	3,333
Public Ethics, Joint Commission on	5,223	5,217	5,435	5,610	5,719	5,719

CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
State, Department of	146,276	133,787	152,999	173,166	205,183	180,864
Tax Appeals, Division of	2,820	2,871	3,150	2,714	2,604	2,604
Taxation and Finance, Department of	320,578	352,299	368,634	340,095	326,378	326,966
Veterans' Services, Division of	17,254	15,500	15,685	15,662	15,453	15,546
Welfare Inspector General, Office of	629	640	731	753	768	768
Workers' Compensation Board	198,486	198,282	228,305	230,203	203,194	196,439
Functional Total	1,877,527	1,924,823	2,365,019	1,942,880	1,838,720	1,803,134
ELECTED OFFICIALS						
Audit and Control, Department of	184,860	189,172	185,210	185,060	184,422	179,695
Executive Chamber	12,673	13,239	14,032	13,578	13,578	13,578
Judiciary	3,074,939	3,153,277	2,957,132	3,046,954	3,034,757	3,000,853
Law, Department of	264,200	244,393	246,478	234,338	232,338	232,338
Legislature	223,573	228,725	224,056	230,949	231,002	231,002
Lieutenant Governor, Office of the	530	518	634	614	614	614
Functional Total	3,760,775	3,829,324	3,627,542	3,711,493	3,696,711	3,658,080
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	721,979	662,056	671,166	704,192	704,192	704,192
County-Wide Shared Services Initiative	0	11,166	20,000	60,000	60,000	60,000
Miscellaneous Financial Assistance	12,001	11,998	3,750	3,750	3,750	3,750
Municipalities with VLT Facilities	28,885	28,885	28,885	28,885	28,885	28,885
Small Government Assistance	217	217	218	218	218	218
Functional Total	763,082	714,322	724,019	797,045	797,045	797,045
ALL OTHER CATEGORIES						
Arts and Cultural Facilities Improvement	3,421	3,456	6,500	6,500	0	0
General State Charges	6,373,456	6,655,087	6,242,880	7,975,454	8,476,429	8,937,637
Long-Term Debt Service	6,736,264	4,952,363	10,569,537	6,983,720	7,427,294	7,641,058
Miscellaneous	(254,897)	(245,880)	(6,467,613)	(8,801,503)	(8,809,310)	(8,780,778)
Special Infrastructure Account	1,019,587	789,127	625,174	359,027	232,684	116,255
Functional Total	13,877,831	12,154,153	10,976,478	6,523,198	7,327,097	7,914,172
TOTAL ALL GOVERNMENTAL FUNDS SPENDING	170,875,070	172,980,389	189,836,771	181,377,562	183,083,055	186,919,753

CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
LOCAL ASSISTANCE
(thousands of dollars)

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	33,045	35,410	23,075	26,501	26,501	26,501
Economic Development Capital	1,777	2,525	6,400	8,000	8,000	8,000
Economic Development, Department of	75,941	48,390	70,781	63,879	63,879	62,779
Empire State Development Corporation	1,241,923	1,008,141	834,563	1,446,084	1,101,363	1,187,890
Financial Services, Department of	64,179	55,146	61,581	59,896	59,896	59,896
Olympic Regional Development Authority	2,360	2,268	0	0	0	0
Power Authority, New York	0	9,814	0	0	0	0
Public Service Department	218	1,500	3,100	60	60	60
Regional Economic Development Program	2,938	1,902	0	0	0	0
Strategic Investment Program	2,888	1,056	2,500	0	0	0
Functional Total	1,425,269	1,166,152	1,002,000	1,604,420	1,259,699	1,345,126
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	475,941	457,645	443,244	461,586	465,983	465,983
Parks, Recreation and Historic Preservation, Office of	11,546	9,367	5,020	5,020	5,020	5,020
Functional Total	487,487	467,012	448,264	466,606	471,003	471,003
TRANSPORTATION						
Metropolitan Transportation Authority	739,514	544,486	820,000	385,856	0	0
Motor Vehicles, Department of	16,526	14,070	18,000	18,000	18,000	18,000
Transportation, Department of	5,098,210	4,728,430	4,996,093	4,916,181	5,116,068	5,310,175
Functional Total	5,854,250	5,286,986	5,834,093	5,320,037	5,134,068	5,328,175
HEALTH						
Aging, Office for the	228,997	246,601	240,000	242,023	247,128	252,362
Health, Department of	68,094,799	70,672,423	78,085,309	79,486,583	80,461,257	82,730,012
<i>Medical Assistance</i>	58,623,402	61,310,204	67,883,469	69,419,736	70,387,353	72,901,579
<i>Essential Plan</i>	4,007,287	3,834,196	4,796,002	4,862,249	4,774,896	4,671,496
<i>Medicaid Administration</i>	1,240,677	1,030,610	840,573	827,735	809,151	782,787
<i>Public Health</i>	4,223,433	4,497,413	4,565,265	4,376,863	4,489,857	4,374,150
Functional Total	68,323,796	70,919,024	78,325,309	79,728,606	80,708,385	82,982,374
SOCIAL WELFARE						
Children and Family Services, Office of	2,521,424	2,349,041	2,751,897	2,464,506	2,465,080	2,465,080
<i>OCFS</i>	2,449,310	2,311,826	2,681,039	2,389,957	2,390,531	2,390,531
<i>OCFS - Other</i>	72,114	37,215	70,858	74,549	74,549	74,549
Housing and Community Renewal, Division of	337,864	443,031	799,083	767,172	807,147	557,147
Labor, Department of	138,948	162,002	4,354,292	157,042	157,042	157,042
National and Community Service	270	349	432	432	432	432
Nonprofit Infrastructure Capital Investment Program	30,751	19,641	18,000	15,000	15,000	14,298
Roosevelt Island Operating Corporation	25,028	0	0	0	0	0
Temporary and Disability Assistance, Office of	5,085,975	4,719,915	4,921,610	4,891,366	4,983,166	4,996,341
<i>Welfare Assistance</i>	4,125,669	3,687,464	3,948,288	3,843,888	3,843,888	3,843,888
<i>All Other</i>	960,306	1,032,451	973,322	1,047,478	1,139,278	1,152,453
Functional Total	8,140,260	7,693,979	12,845,314	8,295,518	8,427,867	8,190,340
MENTAL HYGIENE						
Addiction Services and Supports, Office of	503,363	482,495	548,300	556,699	589,939	603,676
<i>OASAS</i>	482,038	461,170	526,975	535,374	568,614	582,351
<i>OASAS - Other</i>	21,325	21,325	21,325	21,325	21,325	21,325
Justice Center	679	649	649	649	649	649
Mental Health, Office of	1,369,440	1,395,486	1,558,160	1,616,664	1,678,626	1,735,223
<i>OMH</i>	1,090,479	1,106,979	1,246,854	1,303,853	1,357,171	1,411,962
<i>OMH - Other</i>	278,961	288,507	311,306	312,811	321,455	323,261

CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
LOCAL ASSISTANCE
(thousands of dollars)

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
People with Developmental Disabilities, Office for	519,476	1,747,091	1,379,761	1,956,987	1,791,471	2,255,725
<i>OPWDD</i>	388,326	413,701	356,722	355,507	364,298	398,318
<i>OPWDD - Other</i>	131,150	1,333,390	1,023,039	1,601,480	1,427,173	1,857,407
Functional Total	2,392,958	3,625,721	3,486,870	4,130,999	4,060,685	4,595,273
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Corrections and Community Supervision, Department of	3,435	6,336	6,836	4,836	4,836	4,836
<i>DOCCS</i>	3,435	6,336	4,836	4,836	4,836	4,836
<i>DOCCS - Other</i>	0	0	2,000	0	0	0
Criminal Justice Services, Division of	222,515	187,905	217,971	217,971	177,971	177,971
Homeland Security and Emergency Services, Division of	1,127,676	1,395,172	1,448,042	1,137,232	1,143,782	1,145,284
Indigent Legal Services, Office of	83,765	85,503	207,000	220,000	270,000	283,762
Military and Naval Affairs, Division of	885	885	820	886	904	923
State Police, Division of	14	15	0	0	0	0
Victim Services, Office of	70,626	104,191	120,744	121,398	121,398	121,398
Functional Total	1,508,916	1,780,007	2,001,413	1,702,323	1,718,891	1,734,174
HIGHER EDUCATION						
City University of New York	1,508,007	933,466	2,245,142	1,625,374	1,657,767	1,687,524
Higher Education Facilities Capital Matching Grants Program	8,769	5,669	6,000	12,650	14,150	14,150
Higher Education Services Corporation, New York State	984,334	950,544	810,146	834,285	838,690	838,928
State University of New York	487,979	478,969	459,460	461,184	460,184	460,184
Functional Total	2,989,089	2,368,648	3,520,748	2,933,493	2,970,791	3,000,786
EDUCATION						
Arts, Council on the	39,946	44,797	42,068	41,533	41,533	41,533
Education, Department of	34,953,035	35,567,486	36,172,815	36,292,019	36,926,157	37,681,886
<i>School Aid</i>	29,115,633	30,047,154	30,719,697	30,838,890	31,503,729	32,331,356
<i>School Aid – Other</i>	152,867	137,708	140,000	140,000	140,000	140,000
<i>STAR Property Tax Relief</i>	2,423,112	2,183,689	2,073,116	1,979,457	1,857,985	1,749,913
<i>Special Education Categorical Programs</i>	2,298,888	2,070,572	2,157,221	2,228,066	2,295,451	2,364,031
<i>All Other</i>	962,535	1,128,363	1,082,781	1,105,606	1,128,992	1,096,586
Functional Total	34,992,981	35,612,283	36,214,883	36,333,552	36,967,690	37,723,419
GENERAL GOVERNMENT						
Civil Service, Department of	567	78	300	300	300	300
Elections, State Board of	450	1,829	10,200	1,500	0	0
Gaming Commission, New York State	69,013	97,809	169,324	92,915	103,262	117,021
General Services, Office of	0	0	250	250	250	250
Prevention of Domestic Violence, Office for	1,267	1,288	1,385	1,412	1,412	1,412
State, Department of	80,987	72,643	82,274	107,274	139,274	114,955
Taxation and Finance, Department of	2,435	3,302	3,491	3,491	3,491	3,491
Veterans' Services, Division of	10,499	8,235	7,840	7,840	7,840	7,840
Functional Total	165,218	185,184	275,064	214,982	255,829	245,269
ELECTED OFFICIALS						
Audit and Control, Department of	32,025	32,025	32,025	32,025	32,025	32,025
Judiciary	101,561	166,113	117,500	179,500	179,500	179,500
Functional Total	133,586	198,138	149,525	211,525	211,525	211,525
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	721,732	662,054	671,166	704,192	704,192	704,192
County-Wide Shared Services Initiative	0	11,166	20,000	60,000	60,000	60,000
Miscellaneous Financial Assistance	12,001	11,998	3,750	3,750	3,750	3,750
Municipalities with VLT Facilities	28,885	28,885	28,885	28,885	28,885	28,885
Small Government Assistance	217	217	218	218	218	218
Functional Total	762,835	714,320	724,019	797,045	797,045	797,045
ALL OTHER CATEGORIES						

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
LOCAL ASSISTANCE
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Arts and Cultural Facilities Improvement	3,340	3,363	6,500	6,500	0	0
Miscellaneous	(343,899)	(363,606)	(8,579,161)	(8,655,310)	(8,790,461)	(8,765,460)
Special Infrastructure Account	595,694	461,206	210,000	150,000	120,000	55,690
Functional Total	<u>255,135</u>	<u>100,963</u>	<u>(8,362,661)</u>	<u>(8,498,810)</u>	<u>(8,670,461)</u>	<u>(8,709,770)</u>
TOTAL LOCAL ASSISTANCE SPENDING	<u>127,431,780</u>	<u>130,118,417</u>	<u>136,464,841</u>	<u>133,240,296</u>	<u>134,313,017</u>	<u>137,914,739</u>

CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
STATE OPERATIONS
(thousands of dollars)

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	53,523	54,741	53,118	67,465	67,265	67,265
Alcoholic Beverage Control, Division of	11,546	10,611	10,896	10,380	10,380	10,380
Economic Development, Department of	18,266	17,756	15,807	15,807	15,807	15,807
Financial Services, Department of	216,351	218,385	216,381	210,244	210,244	210,244
Olympic Regional Development Authority	8,784	9,688	11,676	11,676	11,676	11,676
Public Service Department	54,273	52,939	54,721	52,701	51,035	51,035
Functional Total	362,743	364,120	362,599	368,273	366,407	366,407
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,297	4,443	5,083	4,971	5,026	4,964
Environmental Conservation, Department of	249,164	257,274	271,587	264,887	259,445	258,539
Parks, Recreation and Historic Preservation, Office of	181,702	168,989	165,228	161,526	158,858	158,858
Functional Total	435,163	430,706	441,898	431,384	423,329	422,361
TRANSPORTATION						
Motor Vehicles, Department of	63,061	65,804	64,282	63,963	63,963	63,963
Transportation, Department of	320,694	362,661	341,884	328,164	315,953	315,953
Functional Total	383,755	428,465	406,166	392,127	379,916	379,916
HEALTH						
Aging, Office for the	8,722	7,730	12,563	12,378	12,378	12,378
Health, Department of	1,461,058	1,917,535	2,759,403	1,420,254	1,374,733	1,390,491
<i>Essential Plan</i>	76,580	73,970	63,790	63,722	62,762	62,756
<i>Medicaid Administration</i>	607,179	538,264	575,191	640,776	597,321	612,501
<i>Public Health</i>	777,299	1,305,301	2,120,422	715,756	714,650	715,234
Medicaid Inspector General, Office of the	35,842	36,350	37,512	36,259	36,259	36,259
Functional Total	1,505,622	1,961,615	2,809,478	1,468,891	1,423,370	1,439,128
SOCIAL WELFARE						
Children and Family Services, Office of	326,193	227,047	442,924	441,662	434,938	441,625
<i>OCFS</i>	326,193	227,047	442,924	441,662	434,938	441,625
Housing and Community Renewal, Division of	58,622	60,366	27,785	27,459	26,860	26,860
Human Rights, Division of	14,990	15,432	14,313	13,940	13,590	13,590
Labor, Department of	287,357	303,165	294,519	300,091	298,474	298,474
National and Community Service	10,064	11,622	16,312	16,631	16,631	16,956
Temporary and Disability Assistance, Office of	290,245	306,169	268,551	273,640	269,050	269,050
<i>All Other</i>	290,245	306,169	268,551	273,640	269,050	269,050
Functional Total	987,471	923,801	1,064,404	1,073,423	1,059,543	1,066,555
MENTAL HYGIENE						
Addiction Services and Supports, Office of	91,537	93,155	99,089	99,412	100,384	100,581
<i>OASAS</i>	39,013	41,201	51,539	49,637	49,912	50,108
<i>OASAS - Other</i>	52,524	51,954	47,550	49,775	50,472	50,473
Developmental Disabilities Planning Council	3,180	3,954	3,415	3,415	3,415	3,415
Justice Center	42,729	44,040	45,137	44,151	44,544	44,932
Mental Health, Office of	1,346,328	1,396,784	1,428,176	1,484,177	1,488,167	1,492,346
<i>OMH</i>	332,772	359,817	405,078	390,903	392,946	392,235
<i>OMH - Other</i>	1,013,556	1,036,967	1,023,098	1,093,274	1,095,221	1,100,111
People with Developmental Disabilities, Office for	1,321,615	1,357,540	1,363,200	1,380,054	1,391,384	1,404,227
<i>OPWDD</i>	645	564	21,537	1,203	1,203	1,203
<i>OPWDD - Other</i>	1,320,970	1,356,976	1,341,663	1,378,851	1,390,181	1,403,024
Functional Total	2,805,389	2,895,473	2,939,017	3,011,209	3,027,894	3,045,501
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,541	3,149	2,505	2,467	2,467	2,467
Corrections and Community Supervision, Department of	2,602,342	2,875,291	2,683,646	2,646,269	2,686,038	2,683,038

CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
STATE OPERATIONS
(thousands of dollars)

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
DOCCS	2,602,342	2,875,291	2,683,646	2,646,269	2,686,038	2,683,038
Criminal Justice Services, Division of	45,900	43,776	44,541	45,362	46,191	47,134
Homeland Security and Emergency Services, Division of	68,256	65,482	100,786	79,256	80,091	80,945
Indigent Legal Services, Office of	2,837	3,117	4,276	4,380	4,468	4,543
Judicial Conduct, Commission on	5,423	5,748	6,266	6,444	6,550	6,550
Judicial Nomination, Commission on	0	0	30	30	30	30
Judicial Screening Committees, New York State	7	6	38	38	38	38
Military and Naval Affairs, Division of	62,111	63,191	71,995	64,143	65,316	66,583
State Police, Division of	745,098	799,851	811,482	816,346	836,325	836,325
Statewide Financial System	30,520	31,517	29,824	28,038	27,556	27,556
Victim Services, Office of	7,205	8,427	8,884	8,995	8,995	7,023
Functional Total	3,572,240	3,899,555	3,764,273	3,701,768	3,764,065	3,762,232
HIGHER EDUCATION						
City University of New York	97,492	103,323	140,140	11,127	11,127	11,127
Higher Education - Miscellaneous	340	428	291	291	291	291
Higher Education Services Corporation, New York State	42,475	33,008	37,108	37,108	37,108	37,108
State University of New York	6,352,033	6,672,692	6,710,787	6,703,897	6,799,239	6,878,451
Functional Total	6,492,340	6,809,451	6,888,326	6,752,423	6,847,765	6,926,977
EDUCATION						
Arts, Council on the	4,266	4,251	4,134	3,957	3,803	3,803
Education, Department of	301,534	306,464	297,074	287,724	283,881	283,881
<i>School Aid</i>	1	0	0	0	0	0
<i>All Other</i>	301,533	306,464	297,074	287,724	283,881	283,881
Functional Total	305,800	310,715	301,208	291,681	287,684	287,684
GENERAL GOVERNMENT						
Budget, Division of the	25,218	27,999	30,321	27,939	27,939	27,939
Civil Service, Department of	15,422	15,294	13,879	13,264	13,281	13,281
Deferred Compensation Board	474	473	586	585	585	585
Elections, State Board of	10,442	17,541	17,879	14,650	11,048	11,048
Employee Relations, Office of	2,097	5,880	6,421	6,288	6,289	6,289
Gaming Commission, New York State	67,292	50,264	59,933	58,399	56,080	56,090
General Services, Office of	151,612	124,474	85,709	87,319	89,097	90,597
Information Technology Services, Office of	544,832	541,476	632,158	494,666	486,691	486,691
Inspector General, Office of the	6,844	6,381	8,051	7,311	7,460	7,460
Labor Management Committees	29,699	30,721	32,631	33,378	34,139	34,916
Prevention of Domestic Violence, Office for	1,556	1,696	1,690	1,601	1,601	1,601
Public Employment Relations Board	3,430	3,401	3,520	3,333	3,333	3,333
Public Ethics, Joint Commission on	5,223	5,217	5,435	5,610	5,719	5,719
State, Department of	46,216	47,053	51,126	46,014	46,014	46,014
Tax Appeals, Division of	2,820	2,871	3,150	2,714	2,604	2,604
Taxation and Finance, Department of	312,476	316,168	337,739	315,321	301,810	302,398
Veterans' Services, Division of	6,370	6,935	7,331	7,286	7,066	7,154
Welfare Inspector General, Office of	629	640	731	753	768	768
Workers' Compensation Board	141,918	139,495	148,002	143,219	143,219	143,219
Functional Total	1,374,570	1,343,979	1,446,292	1,269,650	1,244,743	1,247,706
ELECTED OFFICIALS						
Audit and Control, Department of	148,498	152,149	148,182	145,473	145,473	145,473
Executive Chamber	12,673	13,239	14,032	13,578	13,578	13,578
Judiciary	2,175,298	2,144,873	2,031,215	1,972,160	1,972,460	1,972,460
Law, Department of	215,230	210,980	205,266	199,078	199,078	199,078
Legislature	223,573	228,725	224,056	230,949	231,002	231,002
Lieutenant Governor, Office of the	530	518	634	614	614	614
Functional Total	2,775,802	2,750,484	2,623,385	2,561,852	2,562,205	2,562,205
LOCAL GOVERNMENT ASSISTANCE						

CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
STATE OPERATIONS
(thousands of dollars)

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Aid and Incentives for Municipalities	247	2	0	0	0	0
Functional Total	247	2	0	0	0	0
ALL OTHER CATEGORIES						
Long-Term Debt Service	37,699	36,271	70,685	43,072	43,072	43,072
Miscellaneous	49,916	49,007	2,294,266	137,921	166,443	194,966
Functional Total	87,615	85,278	2,364,951	180,993	209,515	238,038
TOTAL STATE OPERATIONS SPENDING	21,088,757	22,203,644	25,411,997	21,503,674	21,596,436	21,744,710

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	33,983	35,313	36,347	35,190	35,190	35,190
Alcoholic Beverage Control, Division of	8,166	8,088	8,316	8,316	8,316	8,316
Economic Development, Department of	13,090	12,934	11,929	11,929	11,929	11,929
Financial Services, Department of	156,895	157,002	159,736	153,893	153,893	153,893
Olympic Regional Development Authority	5,595	5,500	5,338	5,338	5,338	5,338
Public Service Department	43,935	44,224	47,227	45,752	45,779	45,779
Functional Total	261,664	263,061	268,893	260,418	260,445	260,445
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	3,950	4,069	4,243	4,256	4,327	4,299
Environmental Conservation, Department of	198,080	204,013	218,139	210,692	205,206	204,682
Parks, Recreation and Historic Preservation, Office of	136,844	129,473	137,725	134,023	131,355	131,355
Functional Total	338,874	337,555	360,107	348,971	340,888	340,336
TRANSPORTATION						
Motor Vehicles, Department of	45,393	47,963	49,186	49,476	49,476	49,476
Transportation, Department of	164,949	169,270	169,707	154,828	148,810	148,810
Functional Total	210,342	217,233	218,893	204,304	198,286	198,286
HEALTH						
Aging, Office for the	7,193	7,057	8,097	7,924	7,924	7,924
Health, Department of	353,151	361,616	384,763	377,382	388,159	393,112
<i>Essential Plan</i>	3,233	3,326	4,138	4,453	4,476	4,413
<i>Medicaid Administration</i>	70,586	68,849	64,911	74,098	84,746	89,612
<i>Public Health</i>	279,332	289,441	315,714	298,831	298,937	299,087
Medicaid Inspector General, Office of the	30,415	31,186	32,240	31,019	31,019	31,019
Functional Total	390,759	399,859	425,100	416,325	427,102	432,055
SOCIAL WELFARE						
Children and Family Services, Office of	202,543	113,208	275,732	272,369	269,612	272,591
<i>OCFS</i>	202,543	113,208	275,732	272,369	269,612	272,591
Housing and Community Renewal, Division of	46,746	45,387	24,058	23,594	22,995	22,995
Human Rights, Division of	12,688	12,576	12,463	12,165	11,832	11,832
Labor, Department of	210,868	208,166	202,990	205,154	203,537	203,537
National and Community Service	621	679	730	738	738	745
Temporary and Disability Assistance, Office of	155,859	160,695	146,677	149,417	146,743	146,743
<i>All Other</i>	155,859	160,695	146,677	149,417	146,743	146,743
Functional Total	629,325	540,711	662,650	663,437	655,457	658,443
MENTAL HYGIENE						
Addiction Services and Supports, Office of	62,746	63,463	70,007	69,504	69,412	69,311
<i>OASAS</i>	24,921	26,214	34,673	33,649	33,365	33,068
<i>OASAS - Other</i>	37,825	37,249	35,334	35,855	36,047	36,243
Developmental Disabilities Planning Council	1,025	1,133	1,266	1,266	1,266	1,266
Justice Center	32,886	34,257	35,665	34,324	34,472	34,617
Mental Health, Office of	1,087,695	1,118,330	1,162,683	1,182,240	1,173,087	1,163,848
<i>OMH</i>	269,052	287,113	357,882	341,715	342,299	339,748
<i>OMH - Other</i>	818,643	831,217	804,801	840,525	830,788	824,100
People with Developmental Disabilities, Office for	1,142,709	1,161,562	1,175,836	1,171,359	1,179,092	1,186,786
<i>OPWDD</i>	387	233	20,334	0	0	0
<i>OPWDD - Other</i>	1,142,322	1,161,329	1,155,502	1,171,359	1,179,092	1,186,786
Functional Total	2,327,061	2,378,745	2,445,457	2,458,693	2,457,329	2,455,828
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,276	2,652	2,288	2,245	2,245	2,245
Corrections and Community Supervision, Department of	2,113,450	2,382,638	2,215,557	2,184,799	2,218,222	2,218,222

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
<i>DOCCS</i>	2,113,450	2,382,638	2,215,557	2,184,799	2,218,222	2,218,222
Criminal Justice Services, Division of	33,118	32,304	33,662	33,218	33,732	34,397
Homeland Security and Emergency Services, Division of	34,464	37,428	42,685	44,577	45,133	45,789
Indigent Legal Services, Office of	2,430	2,620	3,440	3,524	3,595	3,667
Judicial Conduct, Commission on	3,959	4,203	4,878	4,813	4,903	4,903
Military and Naval Affairs, Division of	35,883	37,115	43,702	37,554	38,272	39,005
State Police, Division of	670,416	721,655	739,669	731,455	750,330	750,330
Statewide Financial System	11,294	11,650	12,377	11,690	11,711	11,711
Victim Services, Office of	5,139	6,764	6,773	6,722	6,722	5,465
Functional Total	2,912,429	3,239,029	3,105,031	3,060,597	3,114,865	3,115,734
HIGHER EDUCATION						
City University of New York	47,274	48,676	84,145	3,493	3,493	3,493
Higher Education - Miscellaneous	232	283	198	198	198	198
Higher Education Services Corporation, New York State	12,339	10,530	12,189	12,189	12,189	12,189
State University of New York	3,962,541	4,088,768	4,284,439	4,221,276	4,289,360	4,340,936
Functional Total	4,022,386	4,148,257	4,380,971	4,237,156	4,305,240	4,356,816
EDUCATION						
Arts, Council on the	2,636	2,681	2,519	2,423	2,423	2,423
Education, Department of	177,576	176,964	178,399	173,260	170,526	170,526
<i>All Other</i>	177,576	176,964	178,399	173,260	170,526	170,526
Functional Total	180,212	179,645	180,918	175,683	172,949	172,949
GENERAL GOVERNMENT						
Budget, Division of the	21,921	23,615	25,511	24,567	24,567	24,567
Civil Service, Department of	15,062	15,287	13,867	12,822	12,830	12,830
Deferred Compensation Board	424	441	414	413	413	413
Elections, State Board of	6,025	6,636	7,121	7,028	6,866	6,866
Employee Relations, Office of	2,040	5,789	6,310	6,177	6,177	6,177
Gaming Commission, New York State	35,418	34,014	34,756	33,143	31,799	31,805
General Services, Office of	61,158	41,123	40,732	39,915	40,691	41,483
Information Technology Services, Office of	299,018	296,582	295,641	297,162	299,828	299,828
Inspector General, Office of the	5,909	5,423	7,195	6,107	6,244	6,244
Labor Management Committees	8,618	8,420	5,698	5,487	5,487	5,487
Prevention of Domestic Violence, Office for	1,394	1,550	1,501	1,408	1,408	1,408
Public Employment Relations Board	3,175	3,214	3,262	3,112	3,112	3,112
Public Ethics, Joint Commission on	4,516	4,486	4,617	4,577	4,674	4,674
State, Department of	31,280	31,618	34,104	33,016	33,016	33,016
Tax Appeals, Division of	2,513	2,640	2,936	2,600	2,509	2,516
Taxation and Finance, Department of	269,428	265,994	266,690	244,672	231,421	232,009
Veterans' Services, Division of	5,667	6,088	6,556	6,496	6,344	6,413
Welfare Inspector General, Office of	615	630	654	646	659	659
Workers' Compensation Board	82,890	84,865	89,608	84,892	84,892	84,892
Functional Total	857,071	838,415	847,173	814,240	802,937	804,399
ELECTED OFFICIALS						
Audit and Control, Department of	118,729	121,837	118,207	115,498	115,498	115,498
Executive Chamber	9,135	10,876	11,567	11,113	11,113	11,113
Judiciary	1,733,308	1,715,180	1,635,835	1,577,200	1,577,500	1,577,500
Law, Department of	155,585	156,208	148,330	142,878	142,878	142,878
Legislature	175,304	177,365	177,583	183,366	183,419	183,419
Lieutenant Governor, Office of the	414	431	543	523	523	523
Functional Total	2,192,475	2,181,897	2,092,065	2,030,578	2,030,931	2,030,931

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	<u>FY 2019 Results</u>	<u>FY 2020 Results</u>	<u>FY 2021 Updated</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
ALL OTHER CATEGORIES						
Miscellaneous	2,132	2,088	(7,595)	(7,595)	(7,595)	(7,595)
Functional Total	<u>2,132</u>	<u>2,088</u>	<u>(7,595)</u>	<u>(7,595)</u>	<u>(7,595)</u>	<u>(7,595)</u>
TOTAL PERSONAL SERVICE SPENDING	<u>14,324,730</u>	<u>14,726,495</u>	<u>14,979,663</u>	<u>14,662,807</u>	<u>14,758,834</u>	<u>14,818,627</u>

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	19,540	19,428	16,771	32,275	32,075	32,075
Alcoholic Beverage Control, Division of	3,380	2,523	2,580	2,064	2,064	2,064
Economic Development, Department of	5,176	4,822	3,878	3,878	3,878	3,878
Financial Services, Department of	59,456	61,383	56,645	56,351	56,351	56,351
Olympic Regional Development Authority	3,189	4,188	6,338	6,338	6,338	6,338
Public Service Department	10,338	8,715	7,494	6,949	5,256	5,256
Functional Total	101,079	101,059	93,706	107,855	105,962	105,962
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	347	374	840	715	699	665
Environmental Conservation, Department of	51,084	53,261	53,448	54,195	54,239	53,857
Parks, Recreation and Historic Preservation, Office of	44,858	39,516	27,503	27,503	27,503	27,503
Functional Total	96,289	93,151	81,791	82,413	82,441	82,025
TRANSPORTATION						
Motor Vehicles, Department of	17,668	17,841	15,096	14,487	14,487	14,487
Transportation, Department of	155,745	193,391	172,177	173,336	167,143	167,143
Functional Total	173,413	211,232	187,273	187,823	181,630	181,630
HEALTH						
Aging, Office for the	1,529	673	4,466	4,454	4,454	4,454
Health, Department of	1,107,907	1,555,919	2,374,640	1,042,872	986,574	997,379
<i>Essential Plan</i>	73,347	70,644	59,652	59,269	58,286	58,343
<i>Medicaid Administration</i>	536,593	469,415	510,280	566,678	512,575	522,889
<i>Public Health</i>	497,967	1,015,860	1,804,708	416,925	415,713	416,147
Medicaid Inspector General, Office of the	5,427	5,164	5,272	5,240	5,240	5,240
Functional Total	1,114,863	1,561,756	2,384,378	1,052,566	996,268	1,007,073
SOCIAL WELFARE						
Children and Family Services, Office of	123,650	113,839	167,192	169,293	165,326	169,034
<i>OCFS</i>	123,650	113,839	167,192	169,293	165,326	169,034
Housing and Community Renewal, Division of	11,876	14,979	3,727	3,865	3,865	3,865
Human Rights, Division of	2,302	2,856	1,850	1,775	1,758	1,758
Labor, Department of	76,489	94,999	91,529	94,937	94,937	94,937
National and Community Service	9,443	10,943	15,582	15,893	15,893	16,211
Temporary and Disability Assistance, Office of	134,386	145,474	121,874	124,223	122,307	122,307
<i>All Other</i>	134,386	145,474	121,874	124,223	122,307	122,307
Functional Total	358,146	383,090	401,754	409,986	404,086	408,112
MENTAL HYGIENE						
Addiction Services and Supports, Office of	28,791	29,692	29,082	29,908	30,972	31,270
<i>OASAS</i>	14,092	14,987	16,866	15,988	16,547	17,040
<i>OASAS - Other</i>	14,699	14,705	12,216	13,920	14,425	14,230
Developmental Disabilities Planning Council	2,155	2,821	2,149	2,149	2,149	2,149
Justice Center	9,843	9,783	9,472	9,827	10,072	10,315
Mental Health, Office of	258,633	278,454	265,493	301,937	315,080	328,498
<i>OMH</i>	63,720	72,704	47,196	49,188	50,647	52,487
<i>OMH - Other</i>	194,913	205,750	218,297	252,749	264,433	276,011
People with Developmental Disabilities, Office for	178,906	195,978	187,364	208,695	212,292	217,441
<i>OPWDD</i>	258	331	1,203	1,203	1,203	1,203
<i>OPWDD - Other</i>	178,648	195,647	186,161	207,492	211,089	216,238
Functional Total	478,328	516,728	493,560	552,516	570,565	589,673
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	265	497	217	222	222	222
Corrections and Community Supervision, Department of	488,892	492,653	468,089	461,470	467,816	464,816

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
<i>DOCCS</i>	488,892	492,653	468,089	461,470	467,816	464,816
Criminal Justice Services, Division of	12,782	11,472	10,879	12,144	12,459	12,737
Homeland Security and Emergency Services, Division of	33,792	28,054	58,101	34,679	34,958	35,156
Indigent Legal Services, Office of	407	497	836	856	873	876
Judicial Conduct, Commission on	1,464	1,545	1,388	1,631	1,647	1,647
Judicial Nomination, Commission on	0	0	30	30	30	30
Judicial Screening Committees, New York State	7	6	38	38	38	38
Military and Naval Affairs, Division of	26,228	26,076	28,293	26,589	27,044	27,578
State Police, Division of	74,682	78,196	71,813	84,891	85,995	85,995
Statewide Financial System	19,226	19,867	17,447	16,348	15,845	15,845
Victim Services, Office of	2,066	1,663	2,111	2,273	2,273	1,558
Functional Total	659,811	660,526	659,242	641,171	649,200	646,498
HIGHER EDUCATION						
City University of New York	50,218	54,647	55,995	7,634	7,634	7,634
Higher Education - Miscellaneous	108	145	93	93	93	93
Higher Education Services Corporation, New York State	30,136	22,478	24,919	24,919	24,919	24,919
State University of New York	2,389,492	2,583,924	2,426,348	2,482,621	2,509,879	2,537,515
Functional Total	2,469,954	2,661,194	2,507,355	2,515,267	2,542,525	2,570,161
EDUCATION						
Arts, Council on the	1,630	1,570	1,615	1,534	1,380	1,380
Education, Department of	123,958	129,500	118,675	114,464	113,355	113,355
<i>School Aid</i>	1	0	0	0	0	0
<i>All Other</i>	123,957	129,500	118,675	114,464	113,355	113,355
Functional Total	125,588	131,070	120,290	115,998	114,735	114,735
GENERAL GOVERNMENT						
Budget, Division of the	3,297	4,384	4,810	3,372	3,372	3,372
Civil Service, Department of	360	7	12	442	451	451
Deferred Compensation Board	50	32	172	172	172	172
Elections, State Board of	4,417	10,905	10,758	7,622	4,182	4,182
Employee Relations, Office of	57	91	111	111	112	112
Gaming Commission, New York State	31,874	16,250	25,177	25,256	24,281	24,285
General Services, Office of	90,454	83,351	44,977	47,404	48,406	49,114
Information Technology Services, Office of	245,814	244,894	336,517	197,504	186,863	186,863
Inspector General, Office of the	935	958	856	1,204	1,216	1,216
Labor Management Committees	21,081	22,301	26,933	27,891	28,652	29,429
Prevention of Domestic Violence, Office for	162	146	189	193	193	193
Public Employment Relations Board	255	187	258	221	221	221
Public Ethics, Joint Commission on	707	731	818	1,033	1,045	1,045
State, Department of	14,936	15,435	17,022	12,998	12,998	12,998
Tax Appeals, Division of	307	231	214	114	95	88
Taxation and Finance, Department of	43,048	50,174	71,049	70,649	70,389	70,389
Veterans' Services, Division of	703	847	775	790	722	741
Welfare Inspector General, Office of	14	10	77	107	109	109
Workers' Compensation Board	59,028	54,630	58,394	58,327	58,327	58,327
Functional Total	517,499	505,564	599,119	455,410	441,806	443,307
ELECTED OFFICIALS						
Audit and Control, Department of	29,769	30,312	29,975	29,975	29,975	29,975
Executive Chamber	3,538	2,363	2,465	2,465	2,465	2,465
Judiciary	441,990	429,693	395,380	394,960	394,960	394,960
Law, Department of	59,645	54,772	56,936	56,200	56,200	56,200
Legislature	48,269	51,360	46,473	47,583	47,583	47,583
Lieutenant Governor, Office of the	116	87	91	91	91	91
Functional Total	583,327	568,587	531,320	531,274	531,274	531,274
LOCAL GOVERNMENT ASSISTANCE						

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Aid and Incentives for Municipalities	247	2	0	0	0	0
Functional Total	247	2	0	0	0	0
ALL OTHER CATEGORIES						
Long-Term Debt Service	37,699	36,271	70,685	43,072	43,072	43,072
Miscellaneous	47,784	46,919	2,301,861	145,516	174,038	202,561
Functional Total	85,483	83,190	2,372,546	188,588	217,110	245,633
TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING	6,764,027	7,477,149	10,432,334	6,840,867	6,837,602	6,926,083

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	5,851	4,115	4,285	4,431	4,512	4,512
Economic Development, Department of	0	0	28	28	28	28
Financial Services, Department of	113,519	98,264	114,477	114,251	114,251	114,251
Olympic Regional Development Authority	31	0	0	0	0	0
Public Service Department	24,016	27,949	31,946	31,538	32,080	32,080
Functional Total	143,417	130,328	150,736	150,248	150,871	150,871
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	81,999	62,695	65,422	63,203	63,924	56,156
Parks, Recreation and Historic Preservation, Office of	3,657	4,697	1,495	1,429	1,429	1,429
Functional Total	85,656	67,392	66,917	64,632	65,353	57,585
TRANSPORTATION						
Motor Vehicles, Department of	29,270	23,949	26,769	27,421	27,421	27,421
Transportation, Department of	8,965	6,788	13,120	8,251	8,366	8,483
Functional Total	38,235	30,737	39,889	35,672	35,787	35,904
HEALTH						
Aging, Office for the	44	63	75	0	0	0
Health, Department of	82,342	69,749	88,893	93,650	93,273	93,717
<i>Medicaid Administration</i>	3,516	3,860	4,819	8,396	9,123	9,463
<i>Public Health</i>	78,826	65,889	84,074	85,254	84,150	84,254
Medicaid Inspector General, Office of the	11,070	9,437	10,301	10,301	10,301	10,301
Functional Total	93,456	79,249	99,269	103,951	103,574	104,018
SOCIAL WELFARE						
Children and Family Services, Office of	16,545	14,422	22,031	22,011	22,011	22,011
<i>OCFS</i>	16,545	14,422	22,031	22,011	22,011	22,011
Housing and Community Renewal, Division of	25,912	22,471	6,526	9,345	9,345	9,345
Labor, Department of	159,501	126,956	116,680	140,222	140,222	140,222
National and Community Service	0	0	242	242	242	244
Temporary and Disability Assistance, Office of	65,432	58,211	48,865	49,564	50,604	50,604
<i>All Other</i>	65,432	58,211	48,865	49,564	50,604	50,604
Functional Total	267,390	222,060	194,344	221,384	222,424	222,426
MENTAL HYGIENE						
Addiction Services and Supports, Office of	2,383	0	0	0	0	0
<i>OASAS</i>	2,383	0	0	0	0	0
Developmental Disabilities Planning Council	768	682	785	785	785	785
Justice Center	1,220	589	1,473	1,044	1,070	1,098
Mental Health, Office of	(44,048)	617	469	469	469	469
<i>OMH</i>	(10,072)	617	469	469	469	469
<i>OMH - Other</i>	(33,976)	0	0	0	0	0
People with Developmental Disabilities, Office for	78	142	11,735	0	0	0
<i>OPWDD</i>	78	142	11,735	0	0	0
Functional Total	(39,599)	2,030	14,462	2,298	2,324	2,352
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Corrections and Community Supervision, Department of	1,666	1,097	1,147	1,147	1,147	1,147
<i>DOCCS</i>	1,666	1,097	1,147	1,147	1,147	1,147
Criminal Justice Services, Division of	518	259	348	348	355	362
Homeland Security and Emergency Services, Division of	9,246	4,605	7,807	7,873	7,873	7,873
Indigent Legal Services, Office of	1,851	1,645	2,156	2,234	2,317	2,402
Military and Naval Affairs, Division of	11,948	8,307	7,931	7,441	7,441	7,441
State Police, Division of	48,184	28,244	209,697	30,823	31,023	31,023
Statewide Financial System	0	0	254	0	0	0

CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
GENERAL STATE CHARGES
(thousands of dollars)

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Victim Services, Office of	1,894	2,087	2,100	2,133	2,133	2,133
Functional Total	75,307	46,244	231,440	51,999	52,289	52,381
HIGHER EDUCATION						
City University of New York	129	204	150	0	0	0
Higher Education - Miscellaneous	200	181	150	150	150	150
Higher Education Services Corporation, New York State	9,808	6,615	6,820	6,820	6,820	6,820
State University of New York	522,635	441,795	517,136	530,776	555,382	571,271
Functional Total	532,772	448,795	524,256	537,746	562,352	578,241
EDUCATION						
Education, Department of	108,613	90,647	97,458	96,581	97,269	97,269
<i>All Other</i>	108,613	90,647	97,458	96,581	97,269	97,269
Functional Total	108,613	90,647	97,458	96,581	97,269	97,269
GENERAL GOVERNMENT						
Budget, Division of the	907	956	1,717	1,657	1,657	1,657
Civil Service, Department of	139	118	244	241	245	245
Deferred Compensation Board	302	260	247	252	256	256
Elections, State Board of	270	364	406	406	406	406
Gaming Commission, New York State	22,754	17,063	19,638	18,748	18,748	18,748
General Services, Office of	538	4,973	583	575	585	585
Information Technology Services, Office of	0	0	2,239	0	0	0
Inspector General, Office of the	0	0	563	0	0	0
Labor Management Committees	5,821	6,386	5,000	5,000	5,000	5,000
State, Department of	17,835	11,380	17,599	17,878	17,895	17,895
Taxation and Finance, Department of	5,667	32,829	27,404	21,283	21,077	21,077
Veterans' Services, Division of	385	330	514	536	547	552
Workers' Compensation Board	56,568	53,880	57,863	53,220	53,220	53,220
Functional Total	111,186	128,539	134,017	119,796	119,636	119,641
ELECTED OFFICIALS						
Audit and Control, Department of	2,039	1,568	2,197	2,197	2,197	2,197
Judiciary	787,707	820,996	781,264	882,798	882,797	848,893
Law, Department of	42,114	29,069	35,477	33,260	33,260	33,260
Functional Total	831,860	851,633	818,938	918,255	918,254	884,350
ALL OTHER CATEGORIES						
General State Charges	6,373,456	6,655,087	6,242,880	7,975,454	8,476,429	8,937,637
Miscellaneous	2,969	4,050	5,786	5,793	5,803	5,811
Functional Total	6,376,425	6,659,137	6,248,666	7,981,247	8,482,232	8,943,448
TOTAL GENERAL STATE CHARGES SPENDING	8,624,718	8,756,791	8,620,392	10,283,809	10,812,365	11,248,486

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
CAPITAL PROJECTS
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	51,753	11,426	14,465	17,816	6,275	5,125
Economic Development, Department of	0	333	0	4,400	3,400	3,800
Empire State Development Corporation	85,649	23,143	812,614	689,621	709,345	420,323
Energy Research and Development Authority, New York State	20,828	15,646	21,569	18,244	20,433	18,206
Lake Ontario Resiliency/Economic Development	0	285	30,000	40,000	10,000	0
Olympic Regional Development Authority	42,700	85,150	130,988	43,488	9,988	9,988
Power Authority, New York	1,346	501	20,546	500	500	500
Regional Economic Development Program	0	0	3,000	4,500	447	0
Strategic Investment Program	0	0	0	2,000	2,000	2,000
Functional Total	202,276	136,484	1,033,182	820,569	762,388	459,942
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	0	0	200	800	0	0
Environmental Conservation, Department of	409,030	465,496	601,565	751,489	807,434	804,146
Hudson River Park Trust	0	2,000	8,000	18,000	17,000	14,000
Parks, Recreation and Historic Preservation, Office of	176,743	181,551	186,857	172,173	171,050	171,050
Functional Total	585,773	649,047	796,622	942,462	995,484	989,196
TRANSPORTATION						
Motor Vehicles, Department of	227,603	258,277	313,677	313,667	313,667	313,667
Transportation, Department of	3,347,932	3,189,998	3,821,879	4,625,959	4,401,984	4,429,064
Functional Total	3,575,535	3,448,275	4,135,556	4,939,626	4,715,651	4,742,731
HEALTH						
Health, Department of	77,671	75,461	125,096	189,970	132,162	115,181
<i>Public Health</i>	77,671	75,461	125,096	189,970	132,162	115,181
Functional Total	77,671	75,461	125,096	189,970	132,162	115,181
SOCIAL WELFARE						
Children and Family Services, Office of	55,800	22,476	25,471	23,300	23,194	23,194
<i>OCFS</i>	55,800	22,476	25,471	23,300	23,194	23,194
Housing and Community Renewal, Division of	0	0	3,000	3,000	3,000	3,000
Temporary and Disability Assistance, Office of	966	813	1,579	788	784	784
<i>All Other</i>	966	813	1,579	788	784	784
Functional Total	56,766	23,289	30,050	27,088	26,978	26,978
MENTAL HYGIENE						
Addiction Services and Supports, Office of	2,036	1,599	15,759	13,418	15,469	15,522
<i>OASAS</i>	2,036	1,599	15,759	13,418	15,469	15,522
Mental Health, Office of	244,264	239,694	300,916	317,663	305,053	301,465
<i>OMH</i>	244,264	239,694	300,916	317,663	305,053	301,465
People with Developmental Disabilities, Office for	96,486	96,600	116,706	128,928	135,691	117,980
<i>OPWDD</i>	96,486	96,600	116,706	128,928	135,691	117,980
Functional Total	342,786	337,893	433,381	460,009	456,213	434,967
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Corrections and Community Supervision, Department of	369,540	412,424	334,793	331,414	308,614	312,779
<i>DOCCS</i>	369,540	412,424	334,793	331,414	308,614	312,779
Criminal Justice Services, Division of	0	0	13,000	12,000	0	0
Homeland Security and Emergency Services, Division of	11,972	14,508	17,250	17,130	10,367	1,500
Military and Naval Affairs, Division of	75,582	125,394	97,719	97,102	96,982	62,557
State Police, Division of	47,327	49,194	51,758	50,299	41,687	45,117
Functional Total	504,421	601,520	514,520	507,945	457,650	421,953
HIGHER EDUCATION						
City University of New York	32,463	36,412	34,736	44,549	53,615	63,615

CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
CAPITAL PROJECTS
(thousands of dollars)

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
State University of New York	941,242	976,879	920,870	1,166,679	1,203,833	1,123,500
Functional Total	973,705	1,013,291	955,606	1,211,228	1,257,448	1,187,115
EDUCATION						
Education, Department of	6,146	11,312	44,666	32,805	28,213	23,375
<i>All Other</i>	6,146	11,312	44,666	32,805	28,213	23,375
Functional Total	6,146	11,312	44,666	32,805	28,213	23,375
GENERAL GOVERNMENT						
Elections, State Board of	0	2,587	5,800	6,200	3,200	800
General Services, Office of	154,965	175,906	316,431	212,549	144,412	144,244
Information Technology Services, Office of	70,350	81,010	162,975	83,939	62,145	43,474
State, Department of	1,238	2,711	2,000	2,000	2,000	2,000
Workers' Compensation Board	0	4,907	22,440	33,764	6,755	0
Functional Total	226,553	267,121	509,646	338,452	218,512	190,518
ELECTED OFFICIALS						
Audit and Control, Department of	2,298	3,430	2,806	5,365	4,727	0
Judiciary	10,373	21,295	27,153	12,496	0	0
Law, Department of	6,856	4,344	5,735	2,000	0	0
Functional Total	19,527	29,069	35,694	19,861	4,727	0
ALL OTHER CATEGORIES						
Arts and Cultural Facilities Improvement	81	93	0	0	0	0
Miscellaneous	36,117	64,669	(188,504)	(289,907)	(191,095)	(216,095)
Special Infrastructure Account	423,893	327,921	415,174	209,027	112,684	60,565
Functional Total	460,091	392,683	226,670	(80,880)	(78,411)	(155,530)
TOTAL CAPITAL PROJECTS SPENDING	7,031,250	6,985,445	8,840,689	9,409,135	8,977,015	8,436,426

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
(thousands of dollars)**

	<u>FY 2019 Results</u>	<u>FY 2020 Results</u>	<u>FY 2021 Updated</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	125,335	87,956	79,597	100,822	89,116	87,966
Alcoholic Beverage Control, Division of	11,546	10,611	10,896	10,380	10,380	10,380
Economic Development Capital	1,777	2,525	6,400	8,000	8,000	8,000
Economic Development, Department of	86,391	58,859	78,316	75,814	74,814	74,114
Empire State Development Corporation	1,326,984	1,030,225	1,647,177	2,135,705	1,810,708	1,608,213
Energy Research and Development Authority, New York State	20,828	15,646	21,569	18,244	20,433	18,206
Financial Services, Department of	392,863	371,795	388,157	382,991	382,991	382,991
Lake Ontario Resiliency/Economic Development	0	285	30,000	40,000	10,000	0
Olympic Regional Development Authority	53,875	97,106	142,664	55,164	21,664	21,664
Power Authority, New York	1,346	10,315	20,546	500	500	500
Public Service Department	75,433	79,617	87,723	82,239	81,099	81,099
Regional Economic Development Program	2,938	1,902	3,000	4,500	447	0
Strategic Investment Program	2,888	1,056	2,500	2,000	2,000	2,000
Functional Total	<u>2,102,204</u>	<u>1,767,898</u>	<u>2,518,545</u>	<u>2,916,359</u>	<u>2,512,152</u>	<u>2,295,133</u>
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,297	4,443	4,933	5,421	4,676	4,614
Environmental Conservation, Department of	928,740	975,293	1,150,383	1,309,349	1,364,617	1,352,655
Hudson River Park Trust	0	2,000	8,000	18,000	17,000	14,000
Parks, Recreation and Historic Preservation, Office of	355,557	346,230	346,792	328,340	324,549	324,549
Functional Total	<u>1,288,594</u>	<u>1,327,966</u>	<u>1,510,108</u>	<u>1,661,110</u>	<u>1,710,842</u>	<u>1,695,818</u>
TRANSPORTATION						
Metropolitan Transportation Authority	739,514	544,486	820,000	385,856	0	0
Motor Vehicles, Department of	315,479	341,156	394,404	394,727	394,727	394,727
Transportation, Department of	7,090,353	6,662,842	7,542,351	8,333,885	8,297,621	8,518,843
Functional Total	<u>8,145,346</u>	<u>7,548,484</u>	<u>8,756,755</u>	<u>9,114,468</u>	<u>8,692,348</u>	<u>8,913,570</u>
HEALTH						
Aging, Office for the	131,371	137,607	143,311	145,291	150,396	155,630
Health, Department of	<u>22,675,217</u>	<u>25,196,190</u>	<u>22,613,183</u>	<u>27,183,283</u>	<u>28,275,046</u>	<u>29,129,211</u>
<i>Medical Assistance</i>	19,528,919	21,224,760	19,511,345	23,464,522	24,565,817	25,572,051
<i>Essential Plan</i>	76,580	73,970	63,790	63,722	62,762	62,756
<i>Medicaid Administration</i>	764,404	738,152	672,069	686,250	646,704	640,626
<i>Public Health</i>	2,305,314	3,159,308	2,365,979	2,968,789	2,999,763	2,853,778
Medicaid Inspector General, Office of the	18,116	17,983	18,513	17,906	17,906	17,906
Functional Total	<u>22,824,704</u>	<u>25,351,780</u>	<u>22,775,007</u>	<u>27,346,480</u>	<u>28,443,348</u>	<u>29,302,747</u>
SOCIAL WELFARE						
Children and Family Services, Office of	<u>1,978,096</u>	<u>1,365,449</u>	<u>2,204,281</u>	<u>1,911,499</u>	<u>1,905,243</u>	<u>1,910,242</u>
<i>OCFS</i>	1,905,982	1,328,234	2,133,423	1,836,950	1,830,694	1,835,693
<i>OCFS - Other</i>	72,114	37,215	70,858	74,549	74,549	74,549
Housing and Community Renewal, Division of	355,153	453,221	769,675	738,460	777,836	527,836
Human Rights, Division of	9,993	11,048	9,646	9,180	8,830	8,830
Labor, Department of	91,114	93,925	67,319	71,583	69,966	69,966
National and Community Service	560	655	781	781	781	784
Nonprofit Infrastructure Capital Investment Program	30,751	19,641	18,000	15,000	15,000	14,298
Roosevelt Island Operating Corporation	25,028	0	0	0	0	0
Temporary and Disability Assistance, Office of	<u>1,314,753</u>	<u>1,368,487</u>	<u>1,597,460</u>	<u>1,569,081</u>	<u>1,656,287</u>	<u>1,669,462</u>
<i>Welfare Assistance</i>	1,037,938	1,065,325	1,321,712	1,217,312	1,217,312	1,217,312
<i>All Other</i>	276,815	303,162	275,748	351,769	438,975	452,150
Functional Total	<u>3,805,448</u>	<u>3,312,426</u>	<u>4,667,162</u>	<u>4,315,584</u>	<u>4,433,943</u>	<u>4,201,418</u>
MENTAL HYGIENE						
Addiction Services and Supports, Office of	<u>489,465</u>	<u>482,508</u>	<u>532,765</u>	<u>557,769</u>	<u>593,919</u>	<u>607,788</u>
<i>OASAS</i>	415,616	409,229	463,890	486,669	522,122	535,990
<i>OASAS - Other</i>	73,849	73,279	68,875	71,100	71,797	71,798
Justice Center	43,551	43,601	45,118	44,928	45,328	45,723

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Mental Health, Office of	2,858,120	2,988,661	3,212,525	3,385,111	3,438,453	3,495,641
<i>OMH</i>	1,599,579	1,663,187	1,878,121	1,979,026	2,021,777	2,072,269
<i>OMH - Other</i>	1,258,541	1,325,474	1,334,404	1,406,085	1,416,676	1,423,372
Mental Hygiene, Department of	0	0	0	0	0	(22,594)
People with Developmental Disabilities, Office for	1,937,230	3,200,744	2,838,333	3,464,969	3,317,546	3,776,932
<i>OPWDD</i>	485,110	510,378	473,631	484,638	500,192	516,501
<i>OPWDD - Other</i>	1,452,120	2,690,366	2,364,702	2,980,331	2,817,354	3,260,431
Functional Total	5,328,366	6,715,514	6,628,741	7,452,777	7,395,246	7,903,490

PUBLIC PROTECTION/CRIMINAL JUSTICE

Correction, Commission of	2,541	3,149	2,505	2,467	2,467	2,467
Corrections and Community Supervision, Department of	2,972,534	3,291,308	3,006,976	2,965,681	2,982,650	2,983,815
<i>DOCCS</i>	2,972,534	3,291,308	3,004,976	2,965,681	2,982,650	2,983,815
<i>DOCCS - Other</i>	0	0	2,000	0	0	0
Criminal Justice Services, Division of	217,569	210,316	250,782	250,603	199,347	200,112
Homeland Security and Emergency Services, Division of	126,228	150,977	165,044	186,491	187,113	180,602
Indigent Legal Services, Office of	88,453	90,265	213,432	226,614	276,785	290,707
Judicial Conduct, Commission on	5,423	5,748	6,266	6,444	6,550	6,550
Judicial Nomination, Commission on	0	0	30	30	30	30
Judicial Screening Committees, New York State	7	6	38	38	38	38
Military and Naval Affairs, Division of	69,401	116,214	90,956	60,164	59,985	54,060
State Police, Division of	810,506	849,453	556,334	870,165	881,215	884,645
Statewide Financial System	30,520	31,517	28,089	28,038	27,556	27,556
Victim Services, Office of	30,267	30,913	33,078	33,876	33,876	33,876
Functional Total	4,353,449	4,779,866	4,353,530	4,630,611	4,657,612	4,664,458

HIGHER EDUCATION

City University of New York	1,636,271	1,071,778	2,412,534	1,673,416	1,714,875	1,754,632
Higher Education - Miscellaneous	540	609	441	441	441	441
Higher Education Facilities Capital Matching Grants Program	8,769	5,669	6,000	12,650	14,150	14,150
Higher Education Services Corporation, New York State	1,033,360	986,171	847,440	871,579	875,984	876,222
State University of New York	7,952,371	8,221,784	8,259,566	8,513,849	8,669,951	8,684,719
Functional Total	10,631,311	10,286,011	11,525,981	11,071,935	11,275,401	11,330,164

EDUCATION

Arts, Council on the	43,514	48,264	44,917	44,790	44,636	44,636
Education, Department of	31,301,095	32,229,269	31,912,762	32,492,522	33,478,757	34,229,648
<i>School Aid</i>	26,358,555	27,351,218	27,116,456	27,713,108	28,737,791	29,565,418
<i>School Aid - Other</i>	152,867	137,708	140,000	140,000	140,000	140,000
<i>STAR Property Tax Relief</i>	2,423,112	2,183,689	2,073,116	1,979,457	1,857,985	1,749,913
<i>Special Education Categorical Programs</i>	1,290,608	1,330,673	1,357,221	1,428,066	1,495,451	1,564,031
<i>All Other</i>	1,075,953	1,225,981	1,225,969	1,231,891	1,247,530	1,210,286
Functional Total	31,344,609	32,277,533	31,957,679	32,537,312	33,523,393	34,274,284

GENERAL GOVERNMENT

Budget, Division of the	26,125	28,955	30,603	29,596	29,596	29,596
Civil Service, Department of	16,128	15,490	14,423	13,805	13,826	13,826
Deferred Compensation Board	776	733	833	837	841	841
Elections, State Board of	8,621	13,137	26,133	17,304	12,178	9,778
Employee Relations, Office of	2,097	5,880	6,421	6,288	6,289	6,289
Gaming Commission, New York State	159,059	165,136	248,895	170,062	178,090	191,859
General Services, Office of	296,965	293,763	394,691	292,411	225,901	227,233
Information Technology Services, Office of	614,891	621,205	646,867	539,362	510,389	499,636
Inspector General, Office of the	6,844	6,381	7,076	7,311	7,460	7,460
Labor Management Committees	35,520	37,107	37,631	38,378	39,139	39,916
Prevention of Domestic Violence, Office for	2,816	2,984	3,075	3,013	3,013	3,013
Public Employment Relations Board	3,430	3,401	3,520	3,333	3,333	3,333
Public Ethics, Joint Commission on	5,223	5,217	5,435	5,610	5,719	5,719
State, Department of	79,036	73,656	84,427	104,593	136,610	112,291
Tax Appeals, Division of	2,820	2,871	3,150	2,714	2,604	2,604
Taxation and Finance, Department of	320,486	352,151	354,269	339,595	325,878	326,466

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
(thousands of dollars)**

	<u>FY 2019 Results</u>	<u>FY 2020 Results</u>	<u>FY 2021 Updated</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
Veterans' Services, Division of	16,219	14,442	13,674	13,598	13,378	13,445
Welfare Inspector General, Office of	629	640	731	753	768	768
Workers' Compensation Board	198,486	198,282	228,305	230,203	203,194	196,439
Functional Total	<u>1,796,171</u>	<u>1,841,431</u>	<u>2,110,159</u>	<u>1,818,766</u>	<u>1,718,206</u>	<u>1,690,512</u>
ELECTED OFFICIALS						
Audit and Control, Department of	184,860	189,172	185,210	185,060	184,422	179,695
Executive Chamber	12,673	13,239	14,032	13,578	13,578	13,578
Judiciary	3,067,416	3,144,679	2,939,800	3,033,754	3,021,557	2,987,653
Law, Department of	220,568	206,179	200,562	191,426	189,426	189,426
Legislature	223,573	228,725	224,056	230,949	231,002	231,002
Lieutenant Governor, Office of the	530	518	634	614	614	614
Functional Total	<u>3,709,620</u>	<u>3,782,512</u>	<u>3,564,294</u>	<u>3,655,381</u>	<u>3,640,599</u>	<u>3,601,968</u>
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	721,979	662,056	671,166	704,192	704,192	704,192
County-Wide Shared Services Initiative	0	11,166	20,000	60,000	60,000	60,000
Miscellaneous Financial Assistance	12,001	11,998	3,750	3,750	3,750	3,750
Municipalities with VLT Facilities	28,885	28,885	28,885	28,885	28,885	28,885
Small Government Assistance	217	217	218	218	218	218
Functional Total	<u>763,082</u>	<u>714,322</u>	<u>724,019</u>	<u>797,045</u>	<u>797,045</u>	<u>797,045</u>
ALL OTHER CATEGORIES						
Arts and Cultural Facilities Improvement	3,421	3,456	6,500	6,500	0	0
General State Charges	6,373,456	6,655,087	6,242,880	7,975,454	8,476,429	8,937,637
Long-Term Debt Service	6,736,264	4,952,363	10,398,010	6,983,720	7,427,294	7,641,058
Miscellaneous	232,500	187,727	(8,266,750)	(8,333,565)	(8,341,372)	(8,312,840)
Special Infrastructure Account	1,019,587	789,127	625,174	359,027	232,684	116,255
Functional Total	<u>14,365,228</u>	<u>12,587,760</u>	<u>9,005,814</u>	<u>6,991,136</u>	<u>7,795,035</u>	<u>8,382,110</u>
TOTAL STATE FUNDS SPENDING	<u>110,458,132</u>	<u>112,293,503</u>	<u>110,097,794</u>	<u>114,308,964</u>	<u>116,595,170</u>	<u>119,052,717</u>

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
LOCAL ASSISTANCE
(thousands of dollars)

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	33,027	35,047	23,075	26,501	26,501	26,501
Economic Development Capital	1,777	2,525	6,400	8,000	8,000	8,000
Economic Development, Department of	68,581	41,486	62,726	55,824	55,824	54,724
Empire State Development Corporation	1,241,335	1,007,082	834,563	1,446,084	1,101,363	1,187,890
Financial Services, Department of	64,179	55,146	61,581	59,896	59,896	59,896
Olympic Regional Development Authority	2,360	2,268	0	0	0	0
Power Authority, New York	0	9,814	0	0	0	0
Public Service Department	218	1,341	3,100	60	60	60
Regional Economic Development Program	2,938	1,902	0	0	0	0
Strategic Investment Program	2,888	1,056	2,500	0	0	0
Functional Total	1,417,303	1,157,667	993,945	1,596,365	1,251,644	1,337,071
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	255,176	248,269	288,244	306,586	310,983	310,983
Parks, Recreation and Historic Preservation, Office of	6,502	6,031	3,750	3,750	3,750	3,750
Functional Total	261,678	254,300	291,994	310,336	314,733	314,733
TRANSPORTATION						
Metropolitan Transportation Authority	739,514	544,486	820,000	385,856	0	0
Motor Vehicles, Department of	375	0	0	0	0	0
Transportation, Department of	4,612,113	4,142,100	4,401,208	4,392,696	4,592,583	4,786,690
Functional Total	5,352,002	4,686,586	5,221,208	4,778,552	4,592,583	4,786,690
HEALTH						
Aging, Office for the	130,141	135,561	141,306	143,329	148,434	153,668
Health, Department of	21,838,763	23,878,703	22,260,165	26,229,638	27,405,393	28,269,075
<i>Medical Assistance</i>	19,528,919	21,224,760	19,511,345	23,464,522	24,565,817	25,572,051
<i>Medicaid Administration</i>	503,108	530,424	466,502	452,297	438,613	425,431
<i>Public Health</i>	1,806,736	2,123,519	2,282,318	2,312,819	2,400,963	2,271,593
Functional Total	21,968,904	24,014,264	22,401,471	26,372,967	27,553,827	28,422,743
SOCIAL WELFARE						
Children and Family Services, Office of	1,659,179	1,193,961	1,833,597	1,546,206	1,546,780	1,546,780
<i>OCFS</i>	1,587,065	1,156,746	1,762,739	1,471,657	1,472,231	1,472,231
<i>OCFS - Other</i>	72,114	37,215	70,858	74,549	74,549	74,549
Housing and Community Renewal, Division of	282,173	381,376	750,649	718,738	758,713	508,713
Labor, Department of	15,469	25,517	2,400	5,150	5,150	5,150
National and Community Service	270	349	432	432	432	432
Nonprofit Infrastructure Capital Investment Program	30,751	19,641	18,000	15,000	15,000	14,298
Roosevelt Island Operating Corporation	25,028	0	0	0	0	0
Temporary and Disability Assistance, Office of	1,186,281	1,223,646	1,478,034	1,447,790	1,539,590	1,552,765
<i>Welfare Assistance</i>	1,037,938	1,065,325	1,321,712	1,217,312	1,217,312	1,217,312
<i>All Other</i>	148,343	158,321	156,322	230,478	322,278	335,453
Functional Total	3,199,151	2,844,490	4,083,112	3,733,316	3,865,665	3,628,138
MENTAL HYGIENE						
Addiction Services and Supports, Office of	400,461	391,690	429,610	452,209	485,449	499,186
<i>OASAS</i>	379,136	370,365	408,285	430,884	464,124	477,861
<i>OASAS - Other</i>	21,325	21,325	21,325	21,325	21,325	21,325
Justice Center	679	649	649	649	649	649
Mental Health, Office of	1,313,857	1,354,555	1,526,117	1,584,639	1,646,601	1,703,198
<i>OMH</i>	1,034,896	1,066,048	1,214,811	1,271,828	1,325,146	1,379,937
<i>OMH - Other</i>	278,961	288,507	311,306	312,811	321,455	323,261
People with Developmental Disabilities, Office for	519,476	1,747,091	1,379,761	1,956,987	1,791,471	2,255,725
<i>OPWDD</i>	388,326	413,701	356,722	355,507	364,298	398,318
<i>OPWDD - Other</i>	131,150	1,333,390	1,023,039	1,601,480	1,427,173	1,857,407
Functional Total	2,234,473	3,493,985	3,336,137	3,994,484	3,924,170	4,458,758

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
LOCAL ASSISTANCE
(thousands of dollars)

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Corrections and Community Supervision, Department of	3,435	6,336	6,836	4,836	4,836	4,836
<i>DOCCS</i>	3,435	6,336	4,836	4,836	4,836	4,836
<i>DOCCS - Other</i>	0	0	2,000	0	0	0
Criminal Justice Services, Division of	181,941	174,934	202,171	202,171	162,171	162,171
Homeland Security and Emergency Services, Division of	77,175	94,846	110,042	129,232	135,782	137,284
Indigent Legal Services, Office of	83,765	85,503	207,000	220,000	270,000	283,762
Military and Naval Affairs, Division of	885	885	820	886	904	923
State Police, Division of	0	15	0	0	0	0
Victim Services, Office of	24,865	25,496	27,744	28,398	28,398	28,398
Functional Total	372,066	388,015	554,613	585,523	602,091	617,374
HIGHER EDUCATION						
City University of New York	1,508,007	933,466	2,245,142	1,625,374	1,657,767	1,687,524
Higher Education Facilities Capital Matching Grants Program	8,769	5,669	6,000	12,650	14,150	14,150
Higher Education Services Corporation, New York State	984,334	950,474	810,146	834,285	838,690	838,928
State University of New York	487,799	478,969	459,460	461,184	460,184	460,184
Functional Total	2,988,909	2,368,578	3,520,748	2,933,493	2,970,791	3,000,786
EDUCATION						
Arts, Council on the	39,248	44,013	40,933	40,933	40,933	40,933
Education, Department of	31,113,315	32,039,788	31,687,867	32,284,530	33,278,512	34,034,241
<i>School Aid</i>	26,358,554	27,351,218	27,116,456	27,713,108	28,737,791	29,565,418
<i>School Aid – Other</i>	152,867	137,708	140,000	140,000	140,000	140,000
<i>STAR Property Tax Relief</i>	2,423,112	2,183,689	2,073,116	1,979,457	1,857,985	1,749,913
<i>Special Education Categorical Programs</i>	1,290,608	1,330,673	1,357,221	1,428,066	1,495,451	1,564,031
<i>All Other</i>	888,174	1,036,500	1,001,074	1,023,899	1,047,285	1,014,879
Functional Total	31,152,563	32,083,801	31,728,800	32,325,463	33,319,445	34,075,174
GENERAL GOVERNMENT						
Civil Service, Department of	567	78	300	300	300	300
Elections, State Board of	5	1,641	10,200	1,500	0	0
Gaming Commission, New York State	69,013	97,809	169,324	92,915	103,262	117,021
Prevention of Domestic Violence, Office for	1,260	1,288	1,385	1,412	1,412	1,412
State, Department of	20,345	18,841	24,317	49,317	81,317	56,998
Taxation and Finance, Department of	2,435	3,302	3,491	3,491	3,491	3,491
Veterans' Services, Division of	10,499	8,235	7,840	7,840	7,840	7,840
Functional Total	104,124	131,194	216,857	156,775	197,622	187,062
ELECTED OFFICIALS						
Audit and Control, Department of	32,025	32,025	32,025	32,025	32,025	32,025
Judiciary	101,561	166,113	117,500	179,500	179,500	179,500
Functional Total	133,586	198,138	149,525	211,525	211,525	211,525
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	721,732	662,054	671,166	704,192	704,192	704,192
County-Wide Shared Services Initiative	0	11,166	20,000	60,000	60,000	60,000
Miscellaneous Financial Assistance	12,001	11,998	3,750	3,750	3,750	3,750
Municipalities with VLT Facilities	28,885	28,885	28,885	28,885	28,885	28,885
Small Government Assistance	217	217	218	218	218	218
Functional Total	762,835	714,320	724,019	797,045	797,045	797,045

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
LOCAL ASSISTANCE
(thousands of dollars)

	<u>FY 2019</u> Results	<u>FY 2020</u> Results	<u>FY 2021</u> Updated	<u>FY 2022</u> Projected	<u>FY 2023</u> Projected	<u>FY 2024</u> Projected
ALL OTHER CATEGORIES						
Arts and Cultural Facilities Improvement	3,340	3,363	6,500	6,500	0	0
Miscellaneous	145,860	70,664	(8,111,223)	(8,187,372)	(8,322,523)	(8,297,522)
Special Infrastructure Account	595,694	461,206	210,000	150,000	120,000	55,690
Functional Total	<u>744,894</u>	<u>535,233</u>	<u>(7,894,723)</u>	<u>(8,030,872)</u>	<u>(8,202,523)</u>	<u>(8,241,832)</u>
TOTAL LOCAL ASSISTANCE SPENDING	<u>70,692,488</u>	<u>72,870,571</u>	<u>65,327,706</u>	<u>69,764,972</u>	<u>71,398,618</u>	<u>73,595,267</u>

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
STATE OPERATIONS
(thousands of dollars)

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	37,473	40,172	39,897	54,244	54,044	54,044
Alcoholic Beverage Control, Division of	11,546	10,611	10,896	10,380	10,380	10,380
Economic Development, Department of	17,810	17,040	15,562	15,562	15,562	15,562
Financial Services, Department of	215,165	218,385	213,231	208,844	208,844	208,844
Olympic Regional Development Authority	8,784	9,688	11,676	11,676	11,676	11,676
Public Service Department	52,432	51,122	53,426	51,406	49,740	49,740
Functional Total	343,210	347,018	344,688	352,112	350,246	350,246
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,297	4,443	4,733	4,621	4,676	4,614
Environmental Conservation, Department of	210,767	216,331	225,736	219,036	213,615	212,709
Parks, Recreation and Historic Preservation, Office of	176,384	164,815	162,714	159,012	156,344	156,344
Functional Total	391,448	385,589	393,183	382,669	374,635	373,667
TRANSPORTATION						
Motor Vehicles, Department of	59,189	60,446	54,764	54,445	54,445	54,445
Transportation, Department of	300,619	345,088	308,081	304,321	292,110	292,110
Functional Total	359,808	405,534	362,845	358,766	346,555	346,555
HEALTH						
Aging, Office for the	1,230	2,046	2,005	1,962	1,962	1,962
Health, Department of	727,514	1,210,164	195,844	729,388	703,138	710,499
<i>Essential Plan</i>	76,580	73,970	63,790	63,722	62,762	62,756
<i>Medicaid Administration</i>	261,296	207,526	204,986	233,372	207,510	214,614
<i>Public Health</i>	389,638	928,668	(72,932)	432,294	432,866	433,129
Medicaid Inspector General, Office of the	18,116	17,983	18,513	17,906	17,906	17,906
Functional Total	746,860	1,230,193	216,362	749,256	723,006	730,367
SOCIAL WELFARE						
Children and Family Services, Office of	260,874	146,381	342,963	339,727	333,003	338,002
<i>OCFS</i>	260,874	146,381	342,963	339,727	333,003	338,002
Housing and Community Renewal, Division of	51,072	52,701	16,505	15,878	15,279	15,279
Human Rights, Division of	9,993	11,048	9,646	9,180	8,830	8,830
Labor, Department of	49,769	47,559	44,432	43,428	41,811	41,811
National and Community Service	290	306	349	349	349	352
Temporary and Disability Assistance, Office of	127,470	143,963	117,719	120,375	115,785	115,785
<i>All Other</i>	127,470	143,963	117,719	120,375	115,785	115,785
Functional Total	499,468	401,958	531,614	528,937	515,057	520,059
MENTAL HYGIENE						
Addiction Services and Supports, Office of	86,968	89,219	87,396	92,142	93,001	93,080
<i>OASAS</i>	34,444	37,265	39,846	42,367	42,529	42,607
<i>OASAS - Other</i>	52,524	51,954	47,550	49,775	50,472	50,473
Justice Center	41,652	42,363	43,582	43,369	43,746	44,116
Mental Health, Office of	1,344,656	1,394,412	1,385,919	1,482,809	1,486,799	1,490,978
<i>OMH</i>	331,100	357,445	362,821	389,535	391,578	390,867
<i>OMH - Other</i>	1,013,556	1,036,967	1,023,098	1,093,274	1,095,221	1,100,111
People with Developmental Disabilities, Office for	1,321,268	1,357,053	1,341,866	1,379,054	1,390,384	1,403,227
<i>OPWDD</i>	298	77	203	203	203	203
<i>OPWDD - Other</i>	1,320,970	1,356,976	1,341,663	1,378,851	1,390,181	1,403,024
Functional Total	2,794,544	2,883,047	2,858,763	2,997,374	3,013,930	3,031,401
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,541	3,149	2,505	2,467	2,467	2,467
Corrections and Community Supervision, Department of	2,599,368	2,872,407	2,665,241	2,629,325	2,669,094	2,666,094
<i>DOCCS</i>	2,599,368	2,872,407	2,665,241	2,629,325	2,669,094	2,666,094

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
STATE OPERATIONS
(thousands of dollars)

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Criminal Justice Services, Division of	35,628	35,382	35,611	36,432	37,176	37,941
Homeland Security and Emergency Services, Division of	36,318	40,957	36,945	39,256	40,091	40,945
Indigent Legal Services, Office of	2,837	3,117	4,276	4,380	4,468	4,543
Judicial Conduct, Commission on	5,423	5,748	6,266	6,444	6,550	6,550
Judicial Nomination, Commission on	0	0	30	30	30	30
Judicial Screening Committees, New York State	7	6	38	38	38	38
Military and Naval Affairs, Division of	23,869	25,227	24,792	25,524	25,964	26,482
State Police, Division of	717,416	773,899	475,623	790,543	810,005	810,005
Statewide Financial System	30,520	31,517	28,089	28,038	27,556	27,556
Victim Services, Office of	3,508	3,757	3,684	3,795	3,795	3,795
Functional Total	3,457,435	3,795,166	3,283,100	3,566,272	3,627,234	3,626,446
HIGHER EDUCATION						
City University of New York	95,672	101,696	132,506	3,493	3,493	3,493
Higher Education - Miscellaneous	340	428	291	291	291	291
Higher Education Services Corporation, New York State	39,219	29,082	30,475	30,475	30,475	30,475
State University of New York	6,000,806	6,324,240	6,362,151	6,355,261	6,450,603	6,529,815
Functional Total	6,136,037	6,455,446	6,525,423	6,389,520	6,484,862	6,564,074
EDUCATION						
Arts, Council on the	4,266	4,251	3,984	3,857	3,703	3,703
Education, Department of	138,420	140,930	138,771	134,606	130,763	130,763
<i>School Aid</i>	1	0	0	0	0	0
<i>All Other</i>	138,419	140,930	138,771	134,606	130,763	130,763
Functional Total	142,686	145,181	142,755	138,463	134,466	134,466
GENERAL GOVERNMENT						
Budget, Division of the	25,218	27,999	28,886	27,939	27,939	27,939
Civil Service, Department of	15,422	15,294	13,879	13,264	13,281	13,281
Deferred Compensation Board	474	473	586	585	585	585
Elections, State Board of	8,616	8,909	10,133	9,604	8,978	8,978
Employee Relations, Office of	2,097	5,880	6,421	6,288	6,289	6,289
Gaming Commission, New York State	67,292	50,264	59,933	58,399	56,080	56,090
General Services, Office of	141,462	112,884	77,677	79,287	80,904	82,404
Information Technology Services, Office of	544,541	540,195	491,273	494,666	486,691	486,691
Inspector General, Office of the	6,844	6,381	7,076	7,311	7,460	7,460
Labor Management Committees	29,699	30,721	32,631	33,378	34,139	34,916
Prevention of Domestic Violence, Office for	1,556	1,696	1,690	1,601	1,601	1,601
Public Employment Relations Board	3,430	3,401	3,520	3,333	3,333	3,333
Public Ethics, Joint Commission on	5,223	5,217	5,435	5,610	5,719	5,719
State, Department of	42,005	42,464	43,322	38,210	38,210	38,210
Tax Appeals, Division of	2,820	2,871	3,150	2,714	2,604	2,604
Taxation and Finance, Department of	312,384	316,020	328,585	314,821	301,310	301,898
Veterans' Services, Division of	5,720	6,207	5,834	5,758	5,538	5,605
Welfare Inspector General, Office of	629	640	731	753	768	768
Workers' Compensation Board	141,918	139,495	148,002	143,219	143,219	143,219
Functional Total	1,357,350	1,317,011	1,268,764	1,246,740	1,224,648	1,227,590
ELECTED OFFICIALS						
Audit and Control, Department of	148,498	152,149	148,182	145,473	145,473	145,473
Executive Chamber	12,673	13,239	14,032	13,578	13,578	13,578
Judiciary	2,168,280	2,136,878	2,016,480	1,959,660	1,959,960	1,959,960
Law, Department of	187,295	185,013	173,778	169,183	169,183	169,183
Legislature	223,573	228,725	224,056	230,949	231,002	231,002
Lieutenant Governor, Office of the	530	518	634	614	614	614
Functional Total	2,740,849	2,716,522	2,577,162	2,519,457	2,519,810	2,519,810

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
STATE OPERATIONS
(thousands of dollars)**

	<u>FY 2019 Results</u>	<u>FY 2020 Results</u>	<u>FY 2021 Updated</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	247	2	0	0	0	0
Functional Total	<u>247</u>	<u>2</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
ALL OTHER CATEGORIES						
Long-Term Debt Service	37,699	36,271	43,657	43,072	43,072	43,072
Miscellaneous	49,930	48,975	27,191	137,921	166,443	194,966
Functional Total	<u>87,629</u>	<u>85,246</u>	<u>70,848</u>	<u>180,993</u>	<u>209,515</u>	<u>238,038</u>
TOTAL STATE OPERATIONS SPENDING	<u><u>19,057,571</u></u>	<u><u>20,167,913</u></u>	<u><u>18,575,507</u></u>	<u><u>19,410,559</u></u>	<u><u>19,523,964</u></u>	<u><u>19,662,719</u></u>

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	30,255	30,677	32,934	31,777	31,777	31,777
Alcoholic Beverage Control, Division of	8,166	8,088	8,316	8,316	8,316	8,316
Economic Development, Department of	13,090	12,934	11,929	11,929	11,929	11,929
Financial Services, Department of	156,895	157,002	157,986	153,893	153,893	153,893
Olympic Regional Development Authority	5,595	5,500	5,338	5,338	5,338	5,338
Public Service Department	42,628	42,684	46,025	44,550	44,577	44,577
Functional Total	256,629	256,885	262,528	255,803	255,830	255,830
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	3,950	4,069	4,243	4,256	4,327	4,299
Environmental Conservation, Department of	174,576	179,044	189,230	181,783	176,318	175,794
Parks, Recreation and Historic Preservation, Office of	133,979	126,957	136,358	132,656	129,988	129,988
Functional Total	312,505	310,070	329,831	318,695	310,633	310,081
TRANSPORTATION						
Motor Vehicles, Department of	43,766	44,731	44,207	44,497	44,497	44,497
Transportation, Department of	156,307	161,055	151,773	144,344	138,326	138,326
Functional Total	200,073	205,786	195,980	188,841	182,823	182,823
HEALTH						
Aging, Office for the	1,125	1,917	1,899	1,856	1,856	1,856
Health, Department of	266,914	278,618	276,640	287,740	293,289	295,905
<i>Essential Plan</i>	3,233	3,326	4,138	4,453	4,476	4,413
<i>Medicaid Administration</i>	37,949	35,276	36,661	41,063	46,497	49,029
<i>Public Health</i>	225,732	240,016	235,841	242,224	242,316	242,463
Medicaid Inspector General, Office of the	15,204	15,599	16,116	15,509	15,509	15,509
Functional Total	283,243	296,134	294,655	305,105	310,654	313,270
SOCIAL WELFARE						
Children and Family Services, Office of	176,225	86,540	245,281	241,309	238,552	241,220
<i>OCFS</i>	176,225	86,540	245,281	241,309	238,552	241,220
Housing and Community Renewal, Division of	41,155	39,887	15,933	15,306	14,707	14,707
Human Rights, Division of	8,934	9,543	9,109	8,744	8,411	8,411
Labor, Department of	33,501	32,931	31,440	30,168	28,551	28,551
National and Community Service	288	305	340	340	340	343
Temporary and Disability Assistance, Office of	68,003	64,862	68,359	70,106	67,432	67,432
<i>All Other</i>	68,003	64,862	68,359	70,106	67,432	67,432
Functional Total	328,106	234,068	370,462	365,973	357,993	360,664
MENTAL HYGIENE						
Addiction Services and Supports, Office of	60,203	60,528	61,831	64,454	64,311	64,159
<i>OASAS</i>	22,378	23,279	26,497	28,599	28,264	27,916
<i>OASAS - Other</i>	37,825	37,249	35,334	35,855	36,047	36,243
Justice Center	32,560	34,257	34,650	34,095	34,241	34,384
Mental Health, Office of	1,086,720	1,117,318	1,125,448	1,181,427	1,172,274	1,163,035
<i>OMH</i>	268,077	286,101	320,647	340,902	341,486	338,935
<i>OMH - Other</i>	818,643	831,217	804,801	840,525	830,788	824,100
People with Developmental Disabilities, Office for	1,142,584	1,161,329	1,155,502	1,171,359	1,179,092	1,186,786
<i>OPWDD</i>	262	0	0	0	0	0
<i>OPWDD - Other</i>	1,142,322	1,161,329	1,155,502	1,171,359	1,179,092	1,186,786
Functional Total	2,322,067	2,373,432	2,377,431	2,451,335	2,449,918	2,448,364
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,276	2,652	2,288	2,245	2,245	2,245
Corrections and Community Supervision, Department of	2,111,244	2,380,718	2,198,343	2,169,046	2,202,469	2,202,469
<i>DOCCS</i>	2,111,244	2,380,718	2,198,343	2,169,046	2,202,469	2,202,469

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
PERSONAL SERVICE
(thousands of dollars)

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Criminal Justice Services, Division of	28,281	27,813	28,981	28,537	29,051	29,622
Homeland Security and Emergency Services, Division of	20,611	28,927	27,685	29,577	30,133	30,789
Indigent Legal Services, Office of	2,430	2,620	3,440	3,524	3,595	3,667
Judicial Conduct, Commission on	3,959	4,203	4,878	4,813	4,903	4,903
Military and Naval Affairs, Division of	14,432	14,158	14,539	14,776	15,073	15,376
State Police, Division of	657,453	709,801	416,810	719,052	737,678	737,678
Statewide Financial System	11,294	11,650	11,937	11,690	11,711	11,711
Victim Services, Office of	2,958	3,119	3,173	3,122	3,122	3,122
Functional Total	2,854,938	3,185,661	2,712,074	2,986,382	3,039,980	3,041,582
HIGHER EDUCATION						
City University of New York	47,274	48,676	84,145	3,493	3,493	3,493
Higher Education - Miscellaneous	232	283	198	198	198	198
Higher Education Services Corporation, New York State	12,337	10,530	11,353	11,353	11,353	11,353
State University of New York	3,952,903	4,079,009	4,276,133	4,212,970	4,281,054	4,332,630
Functional Total	4,012,746	4,138,498	4,371,829	4,228,014	4,296,098	4,347,674
EDUCATION						
Arts, Council on the	2,636	2,681	2,519	2,423	2,423	2,423
Education, Department of	88,514	90,098	89,462	85,523	82,789	82,789
<i>All Other</i>	88,514	90,098	89,462	85,523	82,789	82,789
Functional Total	91,150	92,779	91,981	87,946	85,212	85,212
GENERAL GOVERNMENT						
Budget, Division of the	21,921	23,615	25,511	24,567	24,567	24,567
Civil Service, Department of	15,062	15,287	13,867	12,822	12,830	12,830
Deferred Compensation Board	424	441	414	413	413	413
Elections, State Board of	5,773	6,039	6,471	6,378	6,216	6,216
Employee Relations, Office of	2,040	5,789	6,310	6,177	6,177	6,177
Gaming Commission, New York State	35,418	34,014	34,756	33,143	31,799	31,805
General Services, Office of	61,158	41,123	40,732	39,915	40,691	41,483
Information Technology Services, Office of	299,018	296,582	291,761	297,162	299,828	299,828
Inspector General, Office of the	5,909	5,423	6,220	6,107	6,244	6,244
Labor Management Committees	8,618	8,420	5,698	5,487	5,487	5,487
Prevention of Domestic Violence, Office for	1,394	1,550	1,501	1,408	1,408	1,408
Public Employment Relations Board	3,175	3,214	3,262	3,112	3,112	3,112
Public Ethics, Joint Commission on	4,516	4,486	4,617	4,577	4,674	4,674
State, Department of	28,629	28,759	30,346	29,258	29,258	29,258
Tax Appeals, Division of	2,513	2,640	2,936	2,600	2,509	2,516
Taxation and Finance, Department of	269,428	265,994	258,337	244,672	231,421	232,009
Veterans' Services, Division of	5,161	5,546	5,730	5,653	5,501	5,562
Welfare Inspector General, Office of	615	630	654	646	659	659
Workers' Compensation Board	82,890	84,865	89,608	84,892	84,892	84,892
Functional Total	853,662	834,417	828,731	808,989	797,686	799,140
ELECTED OFFICIALS						
Audit and Control, Department of	118,729	121,837	118,207	115,498	115,498	115,498
Executive Chamber	9,135	10,876	11,567	11,113	11,113	11,113
Judiciary	1,731,581	1,713,277	1,631,600	1,574,100	1,574,400	1,574,400
Law, Department of	134,904	136,064	125,370	120,774	120,774	120,774
Legislature	175,304	177,365	177,583	183,366	183,419	183,419
Lieutenant Governor, Office of the	414	431	543	523	523	523
Functional Total	2,170,067	2,159,850	2,064,870	2,005,374	2,005,727	2,005,727
ALL OTHER CATEGORIES						
Miscellaneous	2,132	2,088	(7,595)	(7,595)	(7,595)	(7,595)
Functional Total	2,132	2,088	(7,595)	(7,595)	(7,595)	(7,595)
TOTAL PERSONAL SERVICE SPENDING	13,687,318	14,089,668	13,892,777	13,994,862	14,084,959	14,142,772

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	7,218	9,495	6,963	22,467	22,267	22,267
Alcoholic Beverage Control, Division of	3,380	2,523	2,580	2,064	2,064	2,064
Economic Development, Department of	4,720	4,106	3,633	3,633	3,633	3,633
Financial Services, Department of	58,270	61,383	55,245	54,951	54,951	54,951
Olympic Regional Development Authority	3,189	4,188	6,338	6,338	6,338	6,338
Public Service Department	9,804	8,438	7,401	6,856	5,163	5,163
Functional Total	86,581	90,133	82,160	96,309	94,416	94,416
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	347	374	490	365	349	315
Environmental Conservation, Department of	36,191	37,287	36,506	37,253	37,297	36,915
Parks, Recreation and Historic Preservation, Office of	42,405	37,858	26,356	26,356	26,356	26,356
Functional Total	78,943	75,519	63,352	63,974	64,002	63,586
TRANSPORTATION						
Motor Vehicles, Department of	15,423	15,715	10,557	9,948	9,948	9,948
Transportation, Department of	144,312	184,033	156,308	159,977	153,784	153,784
Functional Total	159,735	199,748	166,865	169,925	163,732	163,732
HEALTH						
Aging, Office for the	105	129	106	106	106	106
Health, Department of	460,600	931,546	(80,796)	441,648	409,849	414,594
<i>Essential Plan</i>	73,347	70,644	59,652	59,269	58,286	58,343
<i>Medicaid Administration</i>	223,347	172,250	168,325	192,309	161,013	165,585
<i>Public Health</i>	163,906	688,652	(308,773)	190,070	190,550	190,666
Medicaid Inspector General, Office of the	2,912	2,384	2,397	2,397	2,397	2,397
Functional Total	463,617	934,059	(78,293)	444,151	412,352	417,097
SOCIAL WELFARE						
Children and Family Services, Office of	84,649	59,841	97,682	98,418	94,451	96,782
<i>OCFS</i>	84,649	59,841	97,682	98,418	94,451	96,782
Housing and Community Renewal, Division of	9,917	12,814	572	572	572	572
Human Rights, Division of	1,059	1,505	537	436	419	419
Labor, Department of	16,268	14,628	12,992	13,260	13,260	13,260
National and Community Service	2	1	9	9	9	9
Temporary and Disability Assistance, Office of	59,467	79,101	49,360	50,269	48,353	48,353
<i>All Other</i>	59,467	79,101	49,360	50,269	48,353	48,353
Functional Total	171,362	167,890	161,152	162,964	157,064	159,395
MENTAL HYGIENE						
Addiction Services and Supports, Office of	26,765	28,691	25,565	27,688	28,690	28,921
<i>OASAS</i>	12,066	13,986	13,349	13,768	14,265	14,691
<i>OASAS - Other</i>	14,699	14,705	12,216	13,920	14,425	14,230
Justice Center	9,092	8,106	8,932	9,274	9,505	9,732
Mental Health, Office of	257,936	277,094	260,471	301,382	314,525	327,943
<i>OMH</i>	63,023	71,344	42,174	48,633	50,092	51,932
<i>OMH - Other</i>	194,913	205,750	218,297	252,749	264,433	276,011
People with Developmental Disabilities, Office for	178,684	195,724	186,364	207,695	211,292	216,441
<i>OPWDD</i>	36	77	203	203	203	203
<i>OPWDD - Other</i>	178,648	195,647	186,161	207,492	211,089	216,238
Functional Total	472,477	509,615	481,332	546,039	564,012	583,037
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	265	497	217	222	222	222
Corrections and Community Supervision, Department of	488,124	491,689	466,898	460,279	466,625	463,625
<i>DOCCS</i>	488,124	491,689	466,898	460,279	466,625	463,625

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Criminal Justice Services, Division of	7,347	7,569	6,630	7,895	8,125	8,319
Homeland Security and Emergency Services, Division of	15,707	12,030	9,260	9,679	9,958	10,156
Indigent Legal Services, Office of	407	497	836	856	873	876
Judicial Conduct, Commission on	1,464	1,545	1,388	1,631	1,647	1,647
Judicial Nomination, Commission on	0	0	30	30	30	30
Judicial Screening Committees, New York State	7	6	38	38	38	38
Military and Naval Affairs, Division of	9,437	11,069	10,253	10,748	10,891	11,106
State Police, Division of	59,963	64,098	58,813	71,491	72,327	72,327
Statewide Financial System	19,226	19,867	16,152	16,348	15,845	15,845
Victim Services, Office of	550	638	511	673	673	673
Functional Total	602,497	609,505	571,026	579,890	587,254	584,864
HIGHER EDUCATION						
City University of New York	48,398	53,020	48,361	0	0	0
Higher Education - Miscellaneous	108	145	93	93	93	93
Higher Education Services Corporation, New York State	26,882	18,552	19,122	19,122	19,122	19,122
State University of New York	2,047,903	2,245,231	2,086,018	2,142,291	2,169,549	2,197,185
Functional Total	2,123,291	2,316,948	2,153,594	2,161,506	2,188,764	2,216,400
EDUCATION						
Arts, Council on the	1,630	1,570	1,465	1,434	1,280	1,280
Education, Department of	49,906	50,832	49,309	49,083	47,974	47,974
<i>School Aid</i>	1	0	0	0	0	0
<i>All Other</i>	49,905	50,832	49,309	49,083	47,974	47,974
Functional Total	51,536	52,402	50,774	50,517	49,254	49,254
GENERAL GOVERNMENT						
Budget, Division of the	3,297	4,384	3,375	3,372	3,372	3,372
Civil Service, Department of	360	7	12	442	451	451
Deferred Compensation Board	50	32	172	172	172	172
Elections, State Board of	2,843	2,870	3,662	3,226	2,762	2,762
Employee Relations, Office of	57	91	111	111	112	112
Gaming Commission, New York State	31,874	16,250	25,177	25,256	24,281	24,285
General Services, Office of	80,304	71,761	36,945	39,372	40,213	40,921
Information Technology Services, Office of	245,523	243,613	199,512	197,504	186,863	186,863
Inspector General, Office of the	935	958	856	1,204	1,216	1,216
Labor Management Committees	21,081	22,301	26,933	27,891	28,652	29,429
Prevention of Domestic Violence, Office for	162	146	189	193	193	193
Public Employment Relations Board	255	187	258	221	221	221
Public Ethics, Joint Commission on	707	731	818	1,033	1,045	1,045
State, Department of	13,376	13,705	12,976	8,952	8,952	8,952
Tax Appeals, Division of	307	231	214	114	95	88
Taxation and Finance, Department of	42,956	50,026	70,248	70,149	69,889	69,889
Veterans' Services, Division of	559	661	104	105	37	43
Welfare Inspector General, Office of	14	10	77	107	109	109
Workers' Compensation Board	59,028	54,630	58,394	58,327	58,327	58,327
Functional Total	503,688	482,594	440,033	437,751	426,962	428,450
ELECTED OFFICIALS						
Audit and Control, Department of	29,769	30,312	29,975	29,975	29,975	29,975
Executive Chamber	3,538	2,363	2,465	2,465	2,465	2,465
Judiciary	436,699	423,601	384,880	385,560	385,560	385,560
Law, Department of	52,391	48,949	48,408	48,409	48,409	48,409
Legislature	48,269	51,360	46,473	47,583	47,583	47,583
Lieutenant Governor, Office of the	116	87	91	91	91	91
Functional Total	570,782	556,672	512,292	514,083	514,083	514,083

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	<u>FY 2019 Results</u>	<u>FY 2020 Results</u>	<u>FY 2021 Updated</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	247	2	0	0	0	0
Functional Total	<u>247</u>	<u>2</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
ALL OTHER CATEGORIES						
Long-Term Debt Service	37,699	36,271	43,657	43,072	43,072	43,072
Miscellaneous	47,798	46,887	34,786	145,516	174,038	202,561
Functional Total	<u>85,497</u>	<u>83,158</u>	<u>78,443</u>	<u>188,588</u>	<u>217,110</u>	<u>245,633</u>
TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING	<u><u>5,370,253</u></u>	<u><u>6,078,245</u></u>	<u><u>4,682,730</u></u>	<u><u>5,415,697</u></u>	<u><u>5,439,005</u></u>	<u><u>5,519,947</u></u>

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
GENERAL STATE CHARGES
(thousands of dollars)

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	3,082	1,311	2,160	2,261	2,296	2,296
Economic Development, Department of	0	0	28	28	28	28
Financial Services, Department of	113,519	98,264	113,345	114,251	114,251	114,251
Olympic Regional Development Authority	31	0	0	0	0	0
Public Service Department	22,783	27,154	31,197	30,773	31,299	31,299
Functional Total	139,415	126,729	146,730	147,313	147,874	147,874
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	62,434	47,584	47,425	44,825	45,172	37,404
Parks, Recreation and Historic Preservation, Office of	3,657	4,697	1,471	1,405	1,405	1,405
Functional Total	66,091	52,281	48,896	46,230	46,577	38,809
TRANSPORTATION						
Motor Vehicles, Department of	28,312	22,433	25,963	26,615	26,615	26,615
Transportation, Department of	1,681	1,836	2,412	2,123	2,158	2,193
Functional Total	29,993	24,269	28,375	28,738	28,773	28,808
HEALTH						
Health, Department of	34,922	36,222	39,654	42,147	42,213	42,316
<i>Medicaid Administration</i>	0	202	581	581	581	581
<i>Public Health</i>	34,922	36,020	39,073	41,566	41,632	41,735
Functional Total	34,922	36,222	39,654	42,147	42,213	42,316
SOCIAL WELFARE						
Children and Family Services, Office of	2,243	2,631	2,250	2,266	2,266	2,266
<i>OCFS</i>	2,243	2,631	2,250	2,266	2,266	2,266
Housing and Community Renewal, Division of	21,908	19,144	2,521	3,844	3,844	3,844
Labor, Department of	25,876	20,849	20,487	23,005	23,005	23,005
Temporary and Disability Assistance, Office of	36	65	128	128	128	128
<i>All Other</i>	36	65	128	128	128	128
Functional Total	50,063	42,689	25,386	29,243	29,243	29,243
MENTAL HYGIENE						
Justice Center	1,220	589	887	910	933	958
Mental Health, Office of	(44,657)	0	0	0	0	0
<i>OMH</i>	(10,681)	0	0	0	0	0
<i>OMH - Other</i>	(33,976)	0	0	0	0	0
Functional Total	(43,437)	589	887	910	933	958
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Corrections and Community Supervision, Department of	191	141	106	106	106	106
<i>DOCCS</i>	191	141	106	106	106	106
Homeland Security and Emergency Services, Division of	763	666	807	873	873	873
Indigent Legal Services, Office of	1,851	1,645	2,156	2,234	2,317	2,402
Military and Naval Affairs, Division of	214	0	0	10	10	10
State Police, Division of	45,763	26,345	28,953	29,323	29,523	29,523
Victim Services, Office of	1,894	1,660	1,650	1,683	1,683	1,683
Functional Total	50,676	30,457	33,672	34,229	34,512	34,597
HIGHER EDUCATION						
City University of New York	129	204	150	0	0	0
Higher Education - Miscellaneous	200	181	150	150	150	150
Higher Education Services Corporation, New York State	9,807	6,615	6,819	6,819	6,819	6,819
State University of New York	522,524	441,696	517,085	530,725	555,331	571,220
Functional Total	532,660	448,696	524,204	537,694	562,300	578,189

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
GENERAL STATE CHARGES
(thousands of dollars)

	<u>FY 2019 Results</u>	<u>FY 2020 Results</u>	<u>FY 2021 Updated</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
EDUCATION						
Education, Department of	43,214	37,239	41,458	40,581	41,269	41,269
<i>All Other</i>	43,214	37,239	41,458	40,581	41,269	41,269
Functional Total	<u>43,214</u>	<u>37,239</u>	<u>41,458</u>	<u>40,581</u>	<u>41,269</u>	<u>41,269</u>
GENERAL GOVERNMENT						
Budget, Division of the	907	956	1,717	1,657	1,657	1,657
Civil Service, Department of	139	118	244	241	245	245
Deferred Compensation Board	302	260	247	252	256	256
Gaming Commission, New York State	22,754	17,063	19,638	18,748	18,748	18,748
General Services, Office of	538	4,973	583	575	585	585
Labor Management Committees	5,821	6,386	5,000	5,000	5,000	5,000
State, Department of	15,448	9,640	14,788	15,066	15,083	15,083
Taxation and Finance, Department of	5,667	32,829	22,193	21,283	21,077	21,077
Workers' Compensation Board	56,568	53,880	57,863	53,220	53,220	53,220
Functional Total	<u>108,144</u>	<u>126,105</u>	<u>122,273</u>	<u>116,042</u>	<u>115,871</u>	<u>115,871</u>
ELECTED OFFICIALS						
Audit and Control, Department of	2,039	1,568	2,197	2,197	2,197	2,197
Judiciary	787,202	820,393	780,564	882,098	882,097	848,193
Law, Department of	26,417	16,822	21,049	20,243	20,243	20,243
Functional Total	<u>815,658</u>	<u>838,783</u>	<u>803,810</u>	<u>904,538</u>	<u>904,537</u>	<u>870,633</u>
ALL OTHER CATEGORIES						
General State Charges	6,373,456	6,655,087	6,242,880	7,975,454	8,476,429	8,937,637
Miscellaneous	2,969	4,050	5,786	5,793	5,803	5,811
Functional Total	<u>6,376,425</u>	<u>6,659,137</u>	<u>6,248,666</u>	<u>7,981,247</u>	<u>8,482,232</u>	<u>8,943,448</u>
TOTAL GENERAL STATE CHARGES SPENDING	<u><u>8,203,824</u></u>	<u><u>8,423,196</u></u>	<u><u>8,064,011</u></u>	<u><u>9,908,912</u></u>	<u><u>10,436,334</u></u>	<u><u>10,872,015</u></u>

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
CAPITAL PROJECTS
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	51,753	11,426	14,465	17,816	6,275	5,125
Economic Development, Department of	0	333	0	4,400	3,400	3,800
Empire State Development Corporation	85,649	23,143	812,614	689,621	709,345	420,323
Energy Research and Development Authority, New York State	20,828	15,646	21,569	18,244	20,433	18,206
Lake Ontario Resiliency/Economic Development	0	285	30,000	40,000	10,000	0
Olympic Regional Development Authority	42,700	85,150	130,988	43,488	9,988	9,988
Power Authority, New York	1,346	501	20,546	500	500	500
Regional Economic Development Program	0	0	3,000	4,500	447	0
Strategic Investment Program	0	0	0	2,000	2,000	2,000
Functional Total	202,276	136,484	1,033,182	820,569	762,388	459,942
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	0	0	200	800	0	0
Environmental Conservation, Department of	400,363	463,109	588,978	738,902	794,847	791,559
Hudson River Park Trust	0	2,000	8,000	18,000	17,000	14,000
Parks, Recreation and Historic Preservation, Office of	169,014	170,687	178,857	164,173	163,050	163,050
Functional Total	569,377	635,796	776,035	921,875	974,897	968,609
TRANSPORTATION						
Motor Vehicles, Department of	227,603	258,277	313,677	313,667	313,667	313,667
Transportation, Department of	2,175,940	2,173,818	2,830,650	3,634,745	3,410,770	3,437,850
Functional Total	2,403,543	2,432,095	3,144,327	3,948,412	3,724,437	3,751,517
HEALTH						
Health, Department of	74,018	71,101	117,520	182,110	124,302	107,321
<i>Public Health</i>	74,018	71,101	117,520	182,110	124,302	107,321
Functional Total	74,018	71,101	117,520	182,110	124,302	107,321
SOCIAL WELFARE						
Children and Family Services, Office of	55,800	22,476	25,471	23,300	23,194	23,194
<i>OCFS</i>	55,800	22,476	25,471	23,300	23,194	23,194
Temporary and Disability Assistance, Office of	966	813	1,579	788	784	784
<i>All Other</i>	966	813	1,579	788	784	784
Functional Total	56,766	23,289	27,050	24,088	23,978	23,978
MENTAL HYGIENE						
Addiction Services and Supports, Office of	2,036	1,599	15,759	13,418	15,469	15,522
<i>OASAS</i>	2,036	1,599	15,759	13,418	15,469	15,522
Mental Health, Office of	244,264	239,694	300,489	317,663	305,053	301,465
<i>OMH</i>	244,264	239,694	300,489	317,663	305,053	301,465
People with Developmental Disabilities, Office for	96,486	96,600	116,706	128,928	135,691	117,980
<i>OPWDD</i>	96,486	96,600	116,706	128,928	135,691	117,980
Functional Total	342,786	337,893	432,954	460,009	456,213	434,967
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Corrections and Community Supervision, Department of	369,540	412,424	334,793	331,414	308,614	312,779
<i>DOCCS</i>	369,540	412,424	334,793	331,414	308,614	312,779
Criminal Justice Services, Division of	0	0	13,000	12,000	0	0
Homeland Security and Emergency Services, Division of	11,972	14,508	17,250	17,130	10,367	1,500
Military and Naval Affairs, Division of	44,433	90,102	65,344	33,744	33,107	26,645
State Police, Division of	47,327	49,194	51,758	50,299	41,687	45,117
Functional Total	473,272	566,228	482,145	444,587	393,775	386,041

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
CAPITAL PROJECTS
(thousands of dollars)

	<u>FY 2019</u> <u>Results</u>	<u>FY 2020</u> <u>Results</u>	<u>FY 2021</u> <u>Updated</u>	<u>FY 2022</u> <u>Projected</u>	<u>FY 2023</u> <u>Projected</u>	<u>FY 2024</u> <u>Projected</u>
HIGHER EDUCATION						
City University of New York	32,463	36,412	34,736	44,549	53,615	63,615
State University of New York	941,242	976,879	920,870	1,166,679	1,203,833	1,123,500
Functional Total	<u>973,705</u>	<u>1,013,291</u>	<u>955,606</u>	<u>1,211,228</u>	<u>1,257,448</u>	<u>1,187,115</u>
EDUCATION						
Education, Department of	6,146	11,312	44,666	32,805	28,213	23,375
<i>All Other</i>	6,146	11,312	44,666	32,805	28,213	23,375
Functional Total	<u>6,146</u>	<u>11,312</u>	<u>44,666</u>	<u>32,805</u>	<u>28,213</u>	<u>23,375</u>
GENERAL GOVERNMENT						
Elections, State Board of	0	2,587	5,800	6,200	3,200	800
General Services, Office of	154,965	175,906	316,431	212,549	144,412	144,244
Information Technology Services, Office of	70,350	81,010	155,594	44,696	23,698	12,945
State, Department of	1,238	2,711	2,000	2,000	2,000	2,000
Workers' Compensation Board	0	4,907	22,440	33,764	6,755	0
Functional Total	<u>226,553</u>	<u>267,121</u>	<u>502,265</u>	<u>299,209</u>	<u>180,065</u>	<u>159,989</u>
ELECTED OFFICIALS						
Audit and Control, Department of	2,298	3,430	2,806	5,365	4,727	0
Judiciary	10,373	21,295	25,256	12,496	0	0
Law, Department of	6,856	4,344	5,735	2,000	0	0
Functional Total	<u>19,527</u>	<u>29,069</u>	<u>33,797</u>	<u>19,861</u>	<u>4,727</u>	<u>0</u>
ALL OTHER CATEGORIES						
Arts and Cultural Facilities Improvement	81	93	0	0	0	0
Miscellaneous	33,741	64,038	(188,504)	(289,907)	(191,095)	(216,095)
Special Infrastructure Account	423,893	327,921	415,174	209,027	112,684	60,565
Functional Total	<u>457,715</u>	<u>392,052</u>	<u>226,670</u>	<u>(80,880)</u>	<u>(78,411)</u>	<u>(155,530)</u>
TOTAL CAPITAL PROJECTS SPENDING	<u>5,805,684</u>	<u>5,915,731</u>	<u>7,776,217</u>	<u>8,283,873</u>	<u>7,852,032</u>	<u>7,347,324</u>

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
LOCAL ASSISTANCE
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Economic Development, Department of	0	0	0	5,000	5,000	5,000
Empire State Development Corporation	0	15	0	0	0	0
Financial Services, Department of	64,179	55,146	61,581	59,896	59,896	59,896
Public Service Department	218	1,341	3,100	60	60	60
Functional Total	64,397	56,502	64,681	64,956	64,956	64,956
PARKS AND THE ENVIRONMENT						
Parks, Recreation and Historic Preservation, Office of	4,314	4,629	3,650	3,650	3,650	3,650
Functional Total	4,314	4,629	3,650	3,650	3,650	3,650
TRANSPORTATION						
Transportation, Department of	3,634,366	3,378,077	3,681,662	3,659,248	3,861,183	4,050,991
Functional Total	3,634,366	3,378,077	3,681,662	3,659,248	3,861,183	4,050,991
HEALTH						
Health, Department of	6,611,353	6,904,306	6,485,180	6,846,475	6,965,609	7,055,254
<i>Medical Assistance</i>	5,691,828	5,683,801	5,507,032	5,648,674	5,747,941	5,829,093
<i>Public Health</i>	919,525	1,220,505	978,148	1,197,801	1,217,668	1,226,161
Functional Total	6,611,353	6,904,306	6,485,180	6,846,475	6,965,609	7,055,254
SOCIAL WELFARE						
Children and Family Services, Office of	3,905	3,634	3,582	3,582	3,582	3,582
<i>OCFS</i>	3,905	3,634	3,582	3,582	3,582	3,582
Housing and Community Renewal, Division of	486	408	852	852	852	852
Labor, Department of	96	37	150	150	150	150
Functional Total	4,487	4,079	4,584	4,584	4,584	4,584
MENTAL HYGIENE						
Addiction Services and Supports, Office of	3,325	3,388	4,800	4,800	4,800	4,800
<i>OASAS</i>	3,325	3,388	4,800	4,800	4,800	4,800
Justice Center	509	479	479	479	479	479
Mental Health, Office of	287	31	1,075	1,075	1,075	1,075
<i>OMH</i>	287	31	1,075	1,075	1,075	1,075
People with Developmental Disabilities, Office for	(2,131)	0	0	0	0	0
<i>OPWDD</i>	(2,131)	0	0	0	0	0
Functional Total	1,990	3,898	6,354	6,354	6,354	6,354
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Criminal Justice Services, Division of	20,974	28,079	74,390	74,390	34,390	34,390
Homeland Security and Emergency Services, Division of	45,139	28,141	52,570	96,549	98,549	98,549
Indigent Legal Services, Office of	83,765	85,503	160,000	220,000	270,000	283,762
State Police, Division of	0	15	0	0	0	0
Victim Services, Office of	24,845	25,459	27,744	28,398	28,398	28,398
Functional Total	174,723	167,197	314,704	419,337	431,337	445,099
EDUCATION						
Arts, Council on the	0	0	98	98	98	98
Education, Department of	5,754,854	6,040,756	4,938,949	5,110,090	5,087,018	5,103,946
<i>School Aid</i>	3,323,122	3,845,794	2,852,800	3,117,600	3,216,000	3,341,000
<i>STAR Property Tax Relief</i>	2,423,112	2,183,689	2,073,116	1,979,457	1,857,985	1,749,913
<i>All Other</i>	8,620	11,273	13,033	13,033	13,033	13,033
Functional Total	5,754,854	6,040,756	4,939,047	5,110,188	5,087,116	5,104,044
GENERAL GOVERNMENT						
Gaming Commission, New York State	69,013	97,809	169,324	92,915	103,262	117,021
State, Department of	939	0	0	0	0	0

CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
LOCAL ASSISTANCE
(thousands of dollars)

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Taxation and Finance, Department of	1,514	2,417	2,565	2,565	2,565	2,565
Functional Total	71,466	100,226	171,889	95,480	105,827	119,586
ELECTED OFFICIALS						
Judiciary	98,541	118,800	113,000	113,000	113,000	113,000
Functional Total	98,541	118,800	113,000	113,000	113,000	113,000
ALL OTHER CATEGORIES						
Miscellaneous	10,959	10,511	(1,083,802)	(1,042,000)	(1,692,000)	(2,192,000)
Functional Total	10,959	10,511	(1,083,802)	(1,042,000)	(1,692,000)	(2,192,000)
TOTAL LOCAL ASSISTANCE SPENDING	16,431,450	16,788,981	14,700,949	15,281,272	14,951,616	14,775,518

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	3,150	2,524	3,334	3,500	3,500	3,500
Economic Development, Department of	0	0	103	103	103	103
Financial Services, Department of	156,895	157,002	157,986	153,893	153,893	153,893
Public Service Department	42,628	42,684	46,025	44,550	44,577	44,577
Functional Total	202,673	202,210	207,448	202,046	202,073	202,073
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	84,489	84,265	92,799	87,478	81,302	74,507
Parks, Recreation and Historic Preservation, Office of	34,300	25,645	29,644	28,583	28,583	28,583
Functional Total	118,789	109,910	122,443	116,061	109,885	103,090
TRANSPORTATION						
Motor Vehicles, Department of	35,448	36,253	35,339	35,451	35,451	35,451
Transportation, Department of	2,654	2,880	3,451	3,348	3,348	3,348
Functional Total	38,102	39,133	38,790	38,799	38,799	38,799
HEALTH						
Health, Department of	132,075	138,664	138,728	140,852	140,944	141,091
<i>Medicaid Administration</i>	0	316	910	910	910	910
<i>Public Health</i>	132,075	138,348	137,818	139,942	140,034	140,181
Functional Total	132,075	138,664	138,728	140,852	140,944	141,091
SOCIAL WELFARE						
Children and Family Services, Office of	3,624	3,471	3,483	3,449	3,449	3,482
<i>OCFS</i>	3,624	3,471	3,483	3,449	3,449	3,482
Housing and Community Renewal, Division of	38,106	36,659	11,891	11,418	10,910	10,910
Labor, Department of	33,414	32,847	31,371	30,112	28,495	28,495
Functional Total	75,144	72,977	46,745	44,979	42,854	42,887
MENTAL HYGIENE						
Justice Center	1,411	924	1,422	1,435	1,448	1,462
Mental Health, Office of	(54)	0	103	103	103	103
<i>OMH</i>	(3)	0	103	103	103	103
<i>OMH - Other</i>	(51)	0	0	0	0	0
People with Developmental Disabilities, Office for	(20)	0	0	0	0	0
<i>OPWDD - Other</i>	(20)	0	0	0	0	0
Functional Total	1,337	924	1,525	1,538	1,551	1,565
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Corrections and Community Supervision, Department of	215	220	219	219	223	223
<i>DOCCS</i>	215	220	219	219	223	223
Criminal Justice Services, Division of	252	147	390	387	387	395
Homeland Security and Emergency Services, Division of	19,611	27,927	26,685	28,577	29,113	29,748
Indigent Legal Services, Office of	2,430	2,620	3,440	3,524	3,595	3,667
Military and Naval Affairs, Division of	97	0	0	161	165	169
State Police, Division of	45,780	48,510	50,132	50,370	51,420	51,420
Victim Services, Office of	2,958	3,119	3,173	3,122	3,122	3,122
Functional Total	71,343	82,543	84,039	86,360	88,025	88,744
HIGHER EDUCATION						
City University of New York	47,274	48,676	84,145	3,493	3,493	3,493
Higher Education - Miscellaneous	232	283	198	198	198	198
Higher Education Services Corporation, New York State	12,337	10,530	10,853	10,853	10,853	10,853
State University of New York	3,952,902	4,078,957	4,276,091	4,212,928	4,281,012	4,332,588
Functional Total	4,012,745	4,138,446	4,371,287	4,227,472	4,295,556	4,347,132

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	<u>FY 2019 Results</u>	<u>FY 2020 Results</u>	<u>FY 2021 Updated</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
EDUCATION						
Education, Department of	57,667	57,887	59,868	57,092	55,318	55,318
<i>All Other</i>	57,667	57,887	59,868	57,092	55,318	55,318
Functional Total	<u>57,667</u>	<u>57,887</u>	<u>59,868</u>	<u>57,092</u>	<u>55,318</u>	<u>55,318</u>
GENERAL GOVERNMENT						
Budget, Division of the	1,387	1,526	2,441	2,351	2,351	2,351
Civil Service, Department of	188	184	376	365	365	365
Deferred Compensation Board	390	406	381	381	381	381
Gaming Commission, New York State	32,241	30,760	31,881	30,438	29,204	29,209
General Services, Office of	3,960	4,952	897	871	871	871
State, Department of	18,328	18,747	21,130	20,404	20,404	20,404
Taxation and Finance, Department of	6,673	51,464	44,277	44,357	44,023	44,023
Workers' Compensation Board	82,890	84,865	89,608	84,892	84,892	84,892
Functional Total	<u>146,057</u>	<u>192,904</u>	<u>190,991</u>	<u>184,059</u>	<u>182,491</u>	<u>182,496</u>
ELECTED OFFICIALS						
Audit and Control, Department of	10,645	11,306	14,994	14,707	14,707	14,707
Judiciary	62,313	66,381	62,420	59,720	60,020	60,020
Law, Department of	37,051	34,592	28,113	26,763	26,763	26,763
Functional Total	<u>110,009</u>	<u>112,279</u>	<u>105,527</u>	<u>101,190</u>	<u>101,490</u>	<u>101,490</u>
ALL OTHER CATEGORIES						
Miscellaneous	2,064	2,015	(397,651)	(397,651)	(472,651)	(572,651)
Functional Total	<u>2,064</u>	<u>2,015</u>	<u>(397,651)</u>	<u>(397,651)</u>	<u>(472,651)</u>	<u>(572,651)</u>
TOTAL PERSONAL SERVICE SPENDING	<u><u>4,968,005</u></u>	<u><u>5,149,892</u></u>	<u><u>4,969,740</u></u>	<u><u>4,802,797</u></u>	<u><u>4,786,335</u></u>	<u><u>4,732,034</u></u>

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	1,973	1,947	2,482	18,349	18,349	18,349
Economic Development, Department of	1,868	1,190	1,847	1,847	1,847	1,847
Financial Services, Department of	58,270	61,383	55,245	54,951	54,951	54,951
Olympic Regional Development Authority	1	0	150	150	150	150
Public Service Department	9,804	8,438	7,401	6,856	5,163	5,163
Functional Total	71,916	72,958	67,125	82,153	80,460	80,460
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	23,253	21,777	13,559	14,211	14,255	13,882
Parks, Recreation and Historic Preservation, Office of	36,191	32,214	20,482	20,482	20,482	20,482
Functional Total	59,444	53,991	34,041	34,693	34,737	34,364
TRANSPORTATION						
Motor Vehicles, Department of	13,439	13,631	6,839	6,164	6,164	6,164
Transportation, Department of	5,750	5,663	5,555	5,563	5,563	5,563
Functional Total	19,189	19,294	12,394	11,727	11,727	11,727
HEALTH						
Health, Department of	123,329	148,191	160,614	159,368	159,848	159,964
<i>Medicaid Administration</i>	0	11	50	50	50	50
<i>Public Health</i>	123,329	148,180	160,564	159,318	159,798	159,914
Functional Total	123,329	148,191	160,614	159,368	159,848	159,964
SOCIAL WELFARE						
Children and Family Services, Office of	12,522	14,000	15,544	15,853	15,853	16,168
<i>OCFS</i>	12,522	14,000	15,544	15,853	15,853	16,168
Housing and Community Renewal, Division of	8,777	8,308	200	200	200	200
Labor, Department of	16,013	14,436	12,737	13,000	13,000	13,000
Temporary and Disability Assistance, Office of	3,645	935	200	200	200	200
<i>All Other</i>	3,645	935	200	200	200	200
Functional Total	40,957	37,679	28,681	29,253	29,253	29,568
MENTAL HYGIENE						
Addiction Services and Supports, Office of	6,291	6,443	6,471	6,486	6,667	6,863
<i>OASAS</i>	6,285	6,443	6,471	6,486	6,667	6,863
<i>OASAS - Other</i>	6	0	0	0	0	0
Justice Center	62	32	40	49	50	50
Mental Health, Office of	(217)	2,769	5,342	5,342	5,342	5,342
<i>OMH</i>	3,108	2,769	5,342	5,342	5,342	5,342
<i>OMH - Other</i>	(3,325)	0	0	0	0	0
People with Developmental Disabilities, Office for	(9,127)	76	203	203	203	203
<i>OPWDD</i>	36	76	203	203	203	203
<i>OPWDD - Other</i>	(9,163)	0	0	0	0	0
Functional Total	(2,991)	9,320	12,056	12,080	12,262	12,458
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Corrections and Community Supervision, Department of	827	1,089	2,627	2,627	2,648	2,648
<i>DOCCS</i>	827	1,089	2,627	2,627	2,648	2,648
Criminal Justice Services, Division of	1,406	1,332	647	1,741	1,797	1,854
Homeland Security and Emergency Services, Division of	15,707	12,030	9,260	9,679	9,958	10,156
Indigent Legal Services, Office of	407	497	836	856	873	876
Military and Naval Affairs, Division of	3,327	5,161	3,655	3,656	3,657	3,727
State Police, Division of	31,435	29,136	31,738	26,800	26,924	26,924
Victim Services, Office of	550	638	511	673	673	673
Functional Total	53,659	49,883	49,274	46,032	46,530	46,858

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
HIGHER EDUCATION						
City University of New York	48,398	53,020	48,361	0	0	0
Higher Education - Miscellaneous	108	145	93	93	93	93
Higher Education Services Corporation, New York State	26,882	18,552	19,122	19,122	19,122	19,122
State University of New York	2,046,976	2,241,961	2,085,276	2,141,549	2,168,807	2,196,443
Functional Total	2,122,364	2,313,678	2,152,852	2,160,764	2,188,022	2,215,658
EDUCATION						
Education, Department of	24,226	27,336	28,217	28,180	28,016	28,016
<i>School Aid</i>	1	0	0	0	0	0
<i>All Other</i>	24,225	27,336	28,217	28,180	28,016	28,016
Functional Total	24,226	27,336	28,217	28,180	28,016	28,016
GENERAL GOVERNMENT						
Budget, Division of the	1,400	2,546	2,696	2,693	2,693	2,693
Civil Service, Department of	8	6	12	442	451	451
Deferred Compensation Board	50	31	147	147	147	147
Elections, State Board of	273	270	0	0	0	0
Gaming Commission, New York State	30,510	14,982	22,115	22,219	21,367	21,371
General Services, Office of	1,829	5,943	3,249	3,289	3,354	3,354
Labor Management Committees	0	155	306	306	306	306
Prevention of Domestic Violence, Office for	0	0	5	5	5	5
Public Employment Relations Board	37	21	45	45	45	45
State, Department of	13,044	13,351	8,740	8,716	8,716	8,716
Taxation and Finance, Department of	2,129	10,009	27,508	28,713	28,453	28,453
Workers' Compensation Board	59,028	54,630	58,394	58,327	58,327	58,327
Functional Total	108,308	101,944	123,217	124,902	123,864	123,868
ELECTED OFFICIALS						
Audit and Control, Department of	3,100	3,328	3,740	3,740	3,740	3,740
Judiciary	42,689	47,747	42,700	43,380	43,380	43,380
Law, Department of	42,284	40,354	39,239	39,240	39,240	39,240
Legislature	564	1,179	950	950	950	950
Functional Total	88,637	92,608	86,629	87,310	87,310	87,310
ALL OTHER CATEGORIES						
Miscellaneous	1,452	1,151	(413,107)	(358,520)	(433,520)	(533,520)
Functional Total	1,452	1,151	(413,107)	(358,520)	(433,520)	(533,520)
TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING	2,710,490	2,928,033	2,341,993	2,417,942	2,368,509	2,296,731

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	3,082	1,311	2,160	2,261	2,296	2,296
Economic Development, Department of	0	0	28	28	28	28
Financial Services, Department of	113,519	98,264	113,345	114,251	114,251	114,251
Olympic Regional Development Authority	31	0	0	0	0	0
Public Service Department	22,783	27,154	31,197	30,773	31,299	31,299
Functional Total	139,415	126,729	146,730	147,313	147,874	147,874
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	62,434	47,584	47,425	44,825	45,172	37,404
Parks, Recreation and Historic Preservation, Office of	3,657	4,697	1,471	1,405	1,405	1,405
Functional Total	66,091	52,281	48,896	46,230	46,577	38,809
TRANSPORTATION						
Motor Vehicles, Department of	28,312	22,433	25,963	26,615	26,615	26,615
Transportation, Department of	1,681	1,836	2,412	2,123	2,158	2,193
Functional Total	29,993	24,269	28,375	28,738	28,773	28,808
HEALTH						
Health, Department of	34,922	36,222	39,662	42,147	42,213	42,316
<i>Medicaid Administration</i>	0	202	581	581	581	581
<i>Public Health</i>	34,922	36,020	39,081	41,566	41,632	41,735
Functional Total	34,922	36,222	39,662	42,147	42,213	42,316
SOCIAL WELFARE						
Children and Family Services, Office of	2,243	2,631	2,250	2,266	2,266	2,266
<i>OCFS</i>	2,243	2,631	2,250	2,266	2,266	2,266
Housing and Community Renewal, Division of	21,908	19,144	2,521	3,844	3,844	3,844
Labor, Department of	25,876	20,849	20,487	23,005	23,005	23,005
Temporary and Disability Assistance, Office of	36	65	128	128	128	128
<i>All Other</i>	36	65	128	128	128	128
Functional Total	50,063	42,689	25,386	29,243	29,243	29,243
MENTAL HYGIENE						
Justice Center	1,220	589	887	910	933	958
Mental Health, Office of	(44,657)	0	0	0	0	0
<i>OMH</i>	(10,681)	0	0	0	0	0
<i>OMH - Other</i>	(33,976)	0	0	0	0	0
Functional Total	(43,437)	589	887	910	933	958
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Corrections and Community Supervision, Department of	191	141	106	106	106	106
<i>DOCCS</i>	191	141	106	106	106	106
Homeland Security and Emergency Services, Division of	763	666	807	873	873	873
Indigent Legal Services, Office of	1,851	1,645	2,156	2,234	2,317	2,402
Military and Naval Affairs, Division of	214	0	0	10	10	10
State Police, Division of	45,763	26,345	28,953	29,323	29,523	29,523
Victim Services, Office of	1,894	1,660	1,650	1,683	1,683	1,683
Functional Total	50,676	30,457	33,672	34,229	34,512	34,597
HIGHER EDUCATION						
City University of New York	129	204	150	0	0	0
Higher Education - Miscellaneous	200	181	150	150	150	150
Higher Education Services Corporation, New York State	9,807	6,615	6,819	6,819	6,819	6,819
State University of New York	522,523	441,695	517,085	530,725	555,331	571,220
Functional Total	532,659	448,695	524,204	537,694	562,300	578,189

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
EDUCATION						
Education, Department of	43,214	37,239	41,458	40,581	41,269	41,269
<i>All Other</i>	43,214	37,239	41,458	40,581	41,269	41,269
Functional Total	43,214	37,239	41,458	40,581	41,269	41,269
GENERAL GOVERNMENT						
Budget, Division of the	907	956	1,717	1,657	1,657	1,657
Civil Service, Department of	139	118	244	241	245	245
Deferred Compensation Board	302	260	247	252	256	256
Gaming Commission, New York State	22,754	17,063	19,638	18,748	18,748	18,748
General Services, Office of	538	4,973	583	575	585	585
State, Department of	15,448	9,640	14,788	15,066	15,083	15,083
Taxation and Finance, Department of	5,667	32,829	22,193	21,283	21,077	21,077
Workers' Compensation Board	56,568	53,880	57,863	53,220	53,220	53,220
Functional Total	102,323	119,719	117,273	111,042	110,871	110,871
ELECTED OFFICIALS						
Audit and Control, Department of	2,039	1,568	2,197	2,197	2,197	2,197
Judiciary	29,213	30,938	34,380	34,200	34,200	34,200
Law, Department of	26,417	16,822	21,049	20,243	20,243	20,243
Functional Total	57,669	49,328	57,626	56,640	56,640	56,640
ALL OTHER CATEGORIES						
Miscellaneous	1,595	1,275	1,366	1,373	1,383	1,391
Functional Total	1,595	1,275	1,366	1,373	1,383	1,391
TOTAL GENERAL STATE CHARGES SPENDING	1,065,183	969,492	1,065,535	1,076,140	1,102,588	1,110,965

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
LOCAL ASSISTANCE
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	18	363	0	0	0	0
Economic Development, Department of	7,360	6,904	8,055	8,055	8,055	8,055
Empire State Development Corporation	588	1,059	0	0	0	0
Public Service Department	0	159	0	0	0	0
Functional Total	7,966	8,485	8,055	8,055	8,055	8,055
PARKS AND THE ENVIRONMENT						
Parks, Recreation and Historic Preservation, Office of	5,044	3,336	1,270	1,270	1,270	1,270
Functional Total	5,044	3,336	1,270	1,270	1,270	1,270
TRANSPORTATION						
Motor Vehicles, Department of	16,151	14,070	18,000	18,000	18,000	18,000
Transportation, Department of	36,388	43,840	113,904	42,504	42,504	42,504
Functional Total	52,539	57,910	131,904	60,504	60,504	60,504
HEALTH						
Aging, Office for the	98,856	111,040	98,694	98,694	98,694	98,694
Health, Department of	46,208,501	46,750,848	55,755,144	53,186,945	52,985,864	54,390,937
<i>Medical Assistance</i>	39,094,483	40,085,444	48,372,124	45,955,214	45,821,536	47,329,528
<i>Essential Plan</i>	4,007,287	3,834,196	4,796,002	4,862,249	4,774,896	4,671,496
<i>Medicaid Administration</i>	737,569	500,186	374,071	375,438	370,538	357,356
<i>Public Health</i>	2,369,162	2,331,022	2,212,947	1,994,044	2,018,894	2,032,557
Functional Total	46,307,357	46,861,888	55,853,838	53,285,639	53,084,558	54,489,631
SOCIAL WELFARE						
Children and Family Services, Office of	862,245	1,155,080	918,300	918,300	918,300	918,300
<i>OCFS</i>	862,245	1,155,080	918,300	918,300	918,300	918,300
Housing and Community Renewal, Division of	55,691	61,655	48,434	48,434	48,434	48,434
Labor, Department of	123,479	136,485	4,351,892	151,892	151,892	151,892
Temporary and Disability Assistance, Office of	3,899,694	3,496,269	3,443,576	3,443,576	3,443,576	3,443,576
<i>Welfare Assistance</i>	3,087,731	2,622,139	2,626,576	2,626,576	2,626,576	2,626,576
<i>All Other</i>	811,963	874,130	817,000	817,000	817,000	817,000
Functional Total	4,941,109	4,849,489	8,762,202	4,562,202	4,562,202	4,562,202
MENTAL HYGIENE						
Addiction Services and Supports, Office of	102,902	90,805	118,690	104,490	104,490	104,490
<i>OASAS</i>	102,902	90,805	118,690	104,490	104,490	104,490
Mental Health, Office of	55,583	40,931	32,043	32,025	32,025	32,025
<i>OMH</i>	55,583	40,931	32,043	32,025	32,025	32,025
Functional Total	158,485	131,736	150,733	136,515	136,515	136,515
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Criminal Justice Services, Division of	40,574	12,971	15,800	15,800	15,800	15,800
Homeland Security and Emergency Services, Division of	1,050,501	1,300,326	1,338,000	1,008,000	1,008,000	1,008,000
State Police, Division of	14	0	0	0	0	0
Victim Services, Office of	45,761	78,695	93,000	93,000	93,000	93,000
Functional Total	1,136,850	1,391,992	1,446,800	1,116,800	1,116,800	1,116,800
HIGHER EDUCATION						
Higher Education Services Corporation, New York State	0	70	0	0	0	0
State University of New York	180	0	0	0	0	0
Functional Total	180	70	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
LOCAL ASSISTANCE
(thousands of dollars)**

	<u>FY 2019 Results</u>	<u>FY 2020 Results</u>	<u>FY 2021 Updated</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
EDUCATION						
Arts, Council on the	698	784	1,135	600	600	600
Education, Department of	3,839,720	3,527,698	4,484,948	4,007,489	3,647,645	3,647,645
<i>School Aid</i>	2,757,079	2,695,936	3,603,241	3,125,782	2,765,938	2,765,938
<i>Special Education Categorical Programs</i>	1,008,280	739,899	800,000	800,000	800,000	800,000
<i>All Other</i>	74,361	91,863	81,707	81,707	81,707	81,707
Functional Total	<u>3,840,418</u>	<u>3,528,482</u>	<u>4,486,083</u>	<u>4,008,089</u>	<u>3,648,245</u>	<u>3,648,245</u>
GENERAL GOVERNMENT						
Elections, State Board of	445	188	0	0	0	0
General Services, Office of	0	0	250	250	250	250
Prevention of Domestic Violence, Office for	7	0	0	0	0	0
State, Department of	60,642	53,802	57,957	57,957	57,957	57,957
Functional Total	<u>61,094</u>	<u>53,990</u>	<u>58,207</u>	<u>58,207</u>	<u>58,207</u>	<u>58,207</u>
ALL OTHER CATEGORIES						
Miscellaneous	(489,759)	(434,270)	(467,938)	(467,938)	(467,938)	(467,938)
Functional Total	<u>(489,759)</u>	<u>(434,270)</u>	<u>(467,938)</u>	<u>(467,938)</u>	<u>(467,938)</u>	<u>(467,938)</u>
TOTAL LOCAL ASSISTANCE SPENDING	<u><u>56,021,283</u></u>	<u><u>56,453,108</u></u>	<u><u>70,431,154</u></u>	<u><u>62,769,343</u></u>	<u><u>62,208,418</u></u>	<u><u>63,613,491</u></u>

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	3,728	4,636	3,413	3,413	3,413	3,413
Financial Services, Department of	0	0	1,750	0	0	0
Public Service Department	1,307	1,540	1,202	1,202	1,202	1,202
Functional Total	5,035	6,176	6,365	4,615	4,615	4,615
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	23,504	24,969	28,909	28,909	28,888	28,888
Parks, Recreation and Historic Preservation, Office of	2,865	2,516	1,367	1,367	1,367	1,367
Functional Total	26,369	27,485	30,276	30,276	30,255	30,255
TRANSPORTATION						
Motor Vehicles, Department of	1,627	3,232	4,979	4,979	4,979	4,979
Transportation, Department of	8,642	8,215	17,934	10,484	10,484	10,484
Functional Total	10,269	11,447	22,913	15,463	15,463	15,463
HEALTH						
Aging, Office for the	6,068	5,140	6,198	6,068	6,068	6,068
Health, Department of	86,237	82,998	108,123	89,642	94,870	97,207
<i>Medicaid Administration</i>	32,637	33,573	28,250	33,035	38,249	40,583
<i>Public Health</i>	53,600	49,425	79,873	56,607	56,621	56,624
Medicaid Inspector General, Office of the	15,211	15,587	16,124	15,510	15,510	15,510
Functional Total	107,516	103,725	130,445	111,220	116,448	118,785
SOCIAL WELFARE						
Children and Family Services, Office of	26,318	26,668	30,451	31,060	31,060	31,371
<i>OCFS</i>	26,318	26,668	30,451	31,060	31,060	31,371
Housing and Community Renewal, Division of	5,591	5,500	8,125	8,288	8,288	8,288
Human Rights, Division of	3,754	3,033	3,354	3,421	3,421	3,421
Labor, Department of	177,367	175,235	171,550	174,986	174,986	174,986
National and Community Service	333	374	390	398	398	402
Temporary and Disability Assistance, Office of	87,856	95,833	78,318	79,311	79,311	79,311
<i>All Other</i>	87,856	95,833	78,318	79,311	79,311	79,311
Functional Total	301,219	306,643	292,188	297,464	297,464	297,779
MENTAL HYGIENE						
Addiction Services and Supports, Office of	2,543	2,935	8,176	5,050	5,101	5,152
<i>OASAS</i>	2,543	2,935	8,176	5,050	5,101	5,152
Developmental Disabilities Planning Council	1,025	1,133	1,266	1,266	1,266	1,266
Justice Center	326	0	1,015	229	231	233
Mental Health, Office of	975	1,012	37,235	813	813	813
<i>OMH</i>	975	1,012	37,235	813	813	813
People with Developmental Disabilities, Office for	125	233	20,334	0	0	0
<i>OPWDD</i>	125	233	20,334	0	0	0
Functional Total	4,994	5,313	68,026	7,358	7,411	7,464
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Corrections and Community Supervision, Department of	2,206	1,920	17,214	15,753	15,753	15,753
<i>DOCCS</i>	2,206	1,920	17,214	15,753	15,753	15,753
Criminal Justice Services, Division of	4,837	4,491	4,681	4,681	4,681	4,775
Homeland Security and Emergency Services, Division of	13,853	8,501	15,000	15,000	15,000	15,000
Military and Naval Affairs, Division of	21,451	22,957	29,163	22,778	23,199	23,629
State Police, Division of	12,963	11,854	322,859	12,403	12,652	12,652
Statewide Financial System	0	0	440	0	0	0
Victim Services, Office of	2,181	3,645	3,600	3,600	3,600	2,343
Functional Total	57,491	53,368	392,957	74,215	74,885	74,152

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
HIGHER EDUCATION						
Higher Education Services Corporation, New York State	2	0	836	836	836	836
State University of New York	9,638	9,759	8,306	8,306	8,306	8,306
Functional Total	9,640	9,759	9,142	9,142	9,142	9,142
EDUCATION						
Education, Department of	89,062	86,866	88,937	87,737	87,737	87,737
<i>All Other</i>	89,062	86,866	88,937	87,737	87,737	87,737
Functional Total	89,062	86,866	88,937	87,737	87,737	87,737
GENERAL GOVERNMENT						
Elections, State Board of	252	597	650	650	650	650
Information Technology Services, Office of	0	0	3,880	0	0	0
Inspector General, Office of the	0	0	975	0	0	0
State, Department of	2,651	2,859	3,758	3,758	3,758	3,758
Taxation and Finance, Department of	0	0	8,353	0	0	0
Veterans' Services, Division of	506	542	826	843	843	851
Functional Total	3,409	3,998	18,442	5,251	5,251	5,259
ELECTED OFFICIALS						
Judiciary	1,727	1,904	4,235	3,100	3,100	3,100
Law, Department of	20,681	20,144	22,960	22,104	22,104	22,104
Functional Total	22,408	22,048	27,195	25,204	25,204	25,204
TOTAL PERSONAL SERVICE SPENDING	637,412	636,828	1,086,886	667,945	673,875	675,855

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	12,322	9,933	9,808	9,808	9,808	9,808
Economic Development, Department of	456	716	245	245	245	245
Financial Services, Department of	1,186	0	1,400	1,400	1,400	1,400
Public Service Department	534	277	93	93	93	93
Functional Total	14,498	10,926	11,546	11,546	11,546	11,546
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	0	0	350	350	350	350
Environmental Conservation, Department of	14,893	15,974	16,942	16,942	16,942	16,942
Parks, Recreation and Historic Preservation, Office of	2,453	1,658	1,147	1,147	1,147	1,147
Functional Total	17,346	17,632	18,439	18,439	18,439	18,439
TRANSPORTATION						
Motor Vehicles, Department of	2,245	2,126	4,539	4,539	4,539	4,539
Transportation, Department of	11,433	9,358	15,869	13,359	13,359	13,359
Functional Total	13,678	11,484	20,408	17,898	17,898	17,898
HEALTH						
Aging, Office for the	1,424	544	4,360	4,348	4,348	4,348
Health, Department of	647,307	624,373	2,455,436	601,224	576,725	582,785
<i>Medicaid Administration</i>	313,246	297,165	341,955	374,369	351,562	357,304
<i>Public Health</i>	334,061	327,208	2,113,481	226,855	225,163	225,481
Medicaid Inspector General, Office of the	2,515	2,780	2,875	2,843	2,843	2,843
Functional Total	651,246	627,697	2,462,671	608,415	583,916	589,976
SOCIAL WELFARE						
Children and Family Services, Office of	39,001	53,998	69,510	70,875	70,875	72,252
<i>OCFS</i>	39,001	53,998	69,510	70,875	70,875	72,252
Housing and Community Renewal, Division of	1,959	2,165	3,155	3,293	3,293	3,293
Human Rights, Division of	1,243	1,351	1,313	1,339	1,339	1,339
Labor, Department of	60,221	80,371	78,537	81,677	81,677	81,677
National and Community Service	9,441	10,942	15,573	15,884	15,884	16,202
Temporary and Disability Assistance, Office of	74,919	66,373	72,514	73,954	73,954	73,954
<i>All Other</i>	74,919	66,373	72,514	73,954	73,954	73,954
Functional Total	186,784	215,200	240,602	247,022	247,022	248,717
MENTAL HYGIENE						
Addiction Services and Supports, Office of	2,026	1,001	3,517	2,220	2,282	2,349
<i>OASAS</i>	2,026	1,001	3,517	2,220	2,282	2,349
Developmental Disabilities Planning Council	2,155	2,821	2,149	2,149	2,149	2,149
Justice Center	751	1,677	540	553	567	583
Mental Health, Office of	697	1,360	5,022	555	555	555
<i>OMH</i>	697	1,360	5,022	555	555	555
People with Developmental Disabilities, Office for	222	254	1,000	1,000	1,000	1,000
<i>OPWDD</i>	222	254	1,000	1,000	1,000	1,000
Functional Total	5,851	7,113	12,228	6,477	6,553	6,636
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Corrections and Community Supervision, Department of	768	964	1,191	1,191	1,191	1,191
<i>DOCCS</i>	768	964	1,191	1,191	1,191	1,191

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Criminal Justice Services, Division of	5,435	3,903	4,249	4,249	4,334	4,418
Homeland Security and Emergency Services, Division of	18,085	16,024	48,841	25,000	25,000	25,000
Military and Naval Affairs, Division of	16,791	15,007	18,040	15,841	16,153	16,472
State Police, Division of	14,719	14,098	13,000	13,400	13,668	13,668
Statewide Financial System	0	0	1,295	0	0	0
Victim Services, Office of	1,516	1,025	1,600	1,600	1,600	885
Functional Total	57,314	51,021	88,216	61,281	61,946	61,634
HIGHER EDUCATION						
City University of New York	1,820	1,627	7,634	7,634	7,634	7,634
Higher Education Services Corporation, New York State	3,254	3,926	5,797	5,797	5,797	5,797
State University of New York	341,588	338,692	340,330	340,330	340,330	340,330
Functional Total	346,662	344,245	353,761	353,761	353,761	353,761
EDUCATION						
Arts, Council on the	0	0	150	100	100	100
Education, Department of	74,052	78,668	69,366	65,381	65,381	65,381
<i>All Other</i>	74,052	78,668	69,366	65,381	65,381	65,381
Functional Total	74,052	78,668	69,516	65,481	65,481	65,481
GENERAL GOVERNMENT						
Budget, Division of the	0	0	1,435	0	0	0
Elections, State Board of	1,574	8,035	7,096	4,396	1,420	1,420
General Services, Office of	10,150	11,590	8,032	8,032	8,193	8,193
Information Technology Services, Office of	291	1,281	137,005	0	0	0
State, Department of	1,560	1,730	4,046	4,046	4,046	4,046
Taxation and Finance, Department of	92	148	801	500	500	500
Veterans' Services, Division of	144	186	671	685	685	698
Functional Total	13,811	22,970	159,086	17,659	14,844	14,857
ELECTED OFFICIALS						
Judiciary	5,291	6,091	10,500	9,400	9,400	9,400
Law, Department of	7,254	5,823	8,528	7,791	7,791	7,791
Functional Total	12,545	11,914	19,028	17,191	17,191	17,191
ALL OTHER CATEGORIES						
Long-Term Debt Service	0	0	27,028	0	0	0
Miscellaneous	(14)	32	2,267,075	0	0	0
Functional Total	(14)	32	2,294,103	0	0	0
TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING	1,393,773	1,398,902	5,749,604	1,425,170	1,398,597	1,406,136

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	2,769	2,804	2,125	2,170	2,216	2,216
Financial Services, Department of	0	0	1,132	0	0	0
Public Service Department	1,233	795	749	765	781	781
Functional Total	4,002	3,599	4,006	2,935	2,997	2,997
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	19,565	15,111	17,997	18,378	18,752	18,752
Parks, Recreation and Historic Preservation, Office of	0	0	24	24	24	24
Functional Total	19,565	15,111	18,021	18,402	18,776	18,776
TRANSPORTATION						
Motor Vehicles, Department of	958	1,516	806	806	806	806
Transportation, Department of	7,284	4,952	10,708	6,128	6,208	6,290
Functional Total	8,242	6,468	11,514	6,934	7,014	7,096
HEALTH						
Aging, Office for the	44	63	75	0	0	0
Health, Department of	47,420	33,527	49,239	51,503	51,060	51,401
<i>Medicaid Administration</i>	3,516	3,658	4,238	7,815	8,542	8,882
<i>Public Health</i>	43,904	29,869	45,001	43,688	42,518	42,519
Medicaid Inspector General, Office of the	11,070	9,437	10,301	10,301	10,301	10,301
Functional Total	58,534	43,027	59,615	61,804	61,361	61,702
SOCIAL WELFARE						
Children and Family Services, Office of	14,302	11,791	19,781	19,745	19,745	19,745
<i>OCFS</i>	14,302	11,791	19,781	19,745	19,745	19,745
Housing and Community Renewal, Division of	4,004	3,327	4,005	5,501	5,501	5,501
Labor, Department of	133,625	106,107	96,193	117,217	117,217	117,217
National and Community Service	0	0	242	242	242	244
Temporary and Disability Assistance, Office of	65,396	58,146	48,737	49,436	50,476	50,476
<i>All Other</i>	65,396	58,146	48,737	49,436	50,476	50,476
Functional Total	217,327	179,371	168,958	192,141	193,181	193,183
MENTAL HYGIENE						
Addiction Services and Supports, Office of	2,383	0	0	0	0	0
<i>OASAS</i>	2,383	0	0	0	0	0
Developmental Disabilities Planning Council	768	682	785	785	785	785
Justice Center	0	0	586	134	137	140
Mental Health, Office of	609	617	469	469	469	469
<i>OMH</i>	609	617	469	469	469	469
People with Developmental Disabilities, Office for	78	142	11,735	0	0	0
<i>OPWDD</i>	78	142	11,735	0	0	0
Functional Total	3,838	1,441	13,575	1,388	1,391	1,394
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Corrections and Community Supervision, Department of	1,475	956	1,041	1,041	1,041	1,041
<i>DOCCS</i>	1,475	956	1,041	1,041	1,041	1,041
Criminal Justice Services, Division of	518	259	348	348	355	362
Homeland Security and Emergency Services, Division of	8,483	3,939	7,000	7,000	7,000	7,000
Military and Naval Affairs, Division of	11,734	8,307	7,931	7,431	7,431	7,431
State Police, Division of	2,421	1,899	180,744	1,500	1,500	1,500
Statewide Financial System	0	0	254	0	0	0
Victim Services, Office of	0	427	450	450	450	450
Functional Total	24,631	15,787	197,768	17,770	17,777	17,784
HIGHER EDUCATION						

CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
GENERAL STATE CHARGES
(thousands of dollars)

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Higher Education Services Corporation, New York State	1	0	1	1	1	1
State University of New York	111	99	51	51	51	51
Functional Total	112	99	52	52	52	52
EDUCATION						
Education, Department of	65,399	53,408	56,000	56,000	56,000	56,000
<i>All Other</i>	65,399	53,408	56,000	56,000	56,000	56,000
Functional Total	65,399	53,408	56,000	56,000	56,000	56,000
GENERAL GOVERNMENT						
Elections, State Board of	270	364	406	406	406	406
Information Technology Services, Office of	0	0	2,239	0	0	0
Inspector General, Office of the	0	0	563	0	0	0
State, Department of	2,387	1,740	2,811	2,812	2,812	2,812
Taxation and Finance, Department of	0	0	5,211	0	0	0
Veterans' Services, Division of	385	330	514	536	547	552
Functional Total	3,042	2,434	11,744	3,754	3,765	3,770
ELECTED OFFICIALS						
Judiciary	505	602	700	700	700	700
Law, Department of	15,697	12,247	14,428	13,017	13,017	13,017
Functional Total	16,202	12,849	15,128	13,717	13,717	13,717
TOTAL GENERAL STATE CHARGES SPENDING	420,894	333,594	556,381	374,897	376,031	376,471

CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
CAPITAL PROJECTS
 (thousands of dollars)

	FY 2019 Results	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
MENTAL HYGIENE						
Mental Health, Office of	0	0	427	0	0	0
<i>OMH</i>	0	0	427	0	0	0
Functional Total	0	0	427	0	0	0
ELECTED OFFICIALS						
Judiciary	0	0	1,897	0	0	0
Functional Total	0	0	1,897	0	0	0
TOTAL CAPITAL PROJECTS SPENDING	0	0	2,324	0	0	0

General Fund Transfers From Other Funds
(thousands of dollars)

Fund	Account Name	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
RBTF - Dedicated PIT in excess of Debt Service		25,862,436	17,607,358	20,487,440	21,591,183	23,129,903
ECEP in Excess of Revenue Bond Debt Service		0	1,650	2,950	3,250	3,650
STBF - Sales Tax Bond Fund		2,761,909	1,766,122	2,139,626	2,245,191	2,349,020
LGAC - Dedicated Sales Tax in excess of Debt Service		3,416,597	2,841,410	3,388,750	3,548,500	3,651,250
CWCA - Real Estate Transfer Tax in excess of Debt Service		951,073	780,852	841,082	904,669	961,401
Total All Other Transfers		2,914,756	2,759,334	2,099,854	1,717,203	1,564,093
339.21985	Abandoned Property Audit Account	0	1,582	1,582	1,582	1,582
339.21982	Administration Program	0	1,301	1,301	1,301	1,301
339.22091	Adult Home Quality Enhancement Account	21	21	21	21	21
339.22110	Assisted Living Residence Quality Oversight Account	9	9	9	9	9
339.22012	Attorney Licensing Account	0	2,270	2,270	2,270	2,270
339.22138	Authority Budget Office Account	45	45	45	45	45
339.22003	Bell Jar Collection Account	425	118	124	165	165
339.21977	Business and Licensing Services Account	3,785	64,354	62,624	62,624	62,624
339.21920	Certificate of Need Account	2,172	1,131	1,176	1,176	1,176
346.22700	Chemical Dependence Services	101,295	0	0	0	0
061.20810	Child Health Insurance Account	29	0	0	0	0
025.20401	Child Performer Protection Account	21	15	15	27	27
334.55055	Civil Service Administration Account	1,500	1,651	1,651	1,651	1,651
396.55301	Civil Service EBD Administration Reimbursement Account	1,500	639	639	639	639
306.21301	Client Service Fund Registration Fee	0	1,260	1,260	1,260	1,260
339.21962	Clinical Laboratory Reference Fee Account	624	289	289	289	289
501.23702	Commercial Gaming Regulation	0	342	358	478	477
339.22190	Conference & Signs Account	0	36	35	36	37
302.21150	Conservation Fund Main Account	0	2,818	2,844	2,733	3,121
339.21922	Continuing Care Retirement Community Account	2	2	2	2	2
397.55350	Correctional Industries Account	228	357	357	357	357
340.22501	Court Facility Income Account	0	230	230	230	230
339.22008	Courts Special Grants	0	220	220	220	220
339.22050	Crime Victims Board	0	105	25	25	25
339.21945	Criminal Justice Improvement Account	22,108	12,155	12,086	12,086	12,086
072.30050	Dedicated Highway and Bridge Trust Fund	63,755	57,567	57,567	57,567	57,567
339.22151	Deferred Compensation Board Administrative Account	0	63	63	63	63
339.21923	Department of Labor Fee and Penalty Account	1,075	387	390	688	688
323.55010	Design and Construction Account	0	1,866	1,866	1,866	1,866
339.22100	DHCR HCA Application Fee Account	0	301	297	404	404
339.22085	DHCR Mortgage Servicing Account	0	473	463	568	568
169.00000	Distressed Provider Assistance Account	0	250,000	150,000	0	0
339.22042	Division of Economic Development Marketing Account	131	131	131	131	131
486.26000	Division of Labor Federal Grants	2,006	0	0	0	0
366.23102	Drinking Water Program Management and Administration - Health Account	0	1,108	1,108	1,108	1,108
339.21969	Education Department - Teacher Certification Program Account	0	545	600	881	881
061.20818	Elderly Pharmaceutical Insurance Coverage Premium Account	37	0	0	0	0
061.20809	Emergency Medical Services Training Account	257	131	131	131	131
020.20150	Emergency Services Revolving Loan Account	0	254	274	279	285
301.21080	Environmental Conservation Magazine Account	150	150	150	150	150
339.21959	Environmental Laboratory Fee Account	193	131	131	131	131
301.21081	Environmental Regulatory Account	2,835	2,835	2,835	2,835	2,835
307.21351	Equipment Loan Fund Account	0	7	7	7	7
339.22065	Examination and Miscellaneous Revenue Account	0	1,961	1,961	1,961	1,961
504.24951	Fantasy Sports Administration	0	45	46	61	61
267.25200	Federal Education Fund	1,614	1,314	1,314	1,314	1,314
301.21065	Federal Grant Indirect Cost Recovery Account	1,041	1,041	1,041	1,041	1,041
291.313DD	Federal Grants - Capital	134	0	0	0	0
265.25100	Federal Health and Human Services Fund	96,352	110,217	110,217	110,217	110,217
290.25300	Federal Operating Grants Fund	3,518	481	481	481	481
261.25000	Federal USDA/Food and Nutrition Services Fund	42,424	34,694	34,694	34,694	34,694
339.21950	Fingerprint Identification & Technology Account	15,612	22,543	21,543	21,543	21,543
339.21904	Fire Prevention and Code Enforcement Account	0	14,810	14,810	14,810	14,810
339.22075	Funeral Directing Program Account	25	8	8	8	8
312.31500	Hazardous Waste Remedial Fund	22,495	25,200	25,200	25,200	25,200
061.20821	Health Care Delivery Administration Account	17	0	0	0	0
506.24850	Health Care Transformation Account	710,332	453,296	137,999	68,000	0
396.55300	Health Insurance Internal Services Account	3,121	3,428	3,428	3,428	3,428
061.20819	Health Occupation Development Workplan Demo Account	70	0	0	0	0
502.23755	Health Operation and Oversight Account	346	0	0	0	0
339.22140	Helen Hayes Hospital Account	0	299	299	299	299
339.21960	Higher Education Services Corporation - Insurance Premium Payments	11,438	15,827	15,827	15,827	15,827
339.22090	Housing Indirect Cost Recovery Account	0	465	465	561	561
339.21930	I Love New York Waterways Account - Boat Safety	0	96	96	96	96
390.23551	Indigent Legal Services	0	2,500	2,500	2,500	2,500
301.21060	Indirect Charges Account	2,085	2,085	2,085	2,085	2,085
339.22235	Institutional Accreditation	0	132	132	132	132
503.23800	Interstate Reciprocity for Post-secondary Distance Education Account	0	454	524	524	524
369.23201	Judiciary Data Processing Offset Fund	0	2,690	2,690	2,690	2,690
334.55071	Labor Contact Center	189	0	0	0	0
339.22096	Legal Services Assistance Fund	17,080	9,830	9,830	9,830	9,830
303.21205	License Fee Surcharges	0	6,814	7,301	9,734	9,734
339.22117	Litigation Settlement	0	8,705	8,705	8,705	8,705
052.20501	Local Government Records Management Account	782	955	983	983	983
339.22097	Local Public Health Services Account	5	5	5	5	5
160.20902	Lottery Administration - New	5,521	8,020	7,885	9,102	9,096
339.22130	Low Income Housing Monitor	717	244	243	343	343

General Fund Transfers From Other Funds
(thousands of dollars)

Fund	Account Name	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
301.21066	Low Level Radioactive Waste Account	103	103	103	103	103
304.40100	Mental Health Services Fund	1,466,392	1,380,398	1,372,669	1,190,416	1,086,262
313.21402	Metropolitan Mass Transportation Operating Assistance Account	1,468	0	0	0	0
301.21084	Mined Land Reclamation Program Account	0	391	379	382	382
502.23753	MMF - Law Enforcement	150	0	0	0	0
314.21452	Mobile Source Account	0	6,404	6,404	6,404	6,404
301.210ZZ	Monitors - Aggregate	0	781	758	763	763
339.22144	Montrose State Veterans Home	0	67	67	67	67
354.22801	Motor Vehicle Theft and Insurance Fraud Account	1,400	1,113	1,113	13	13
339.22062	New York City Assessment Account	22,867	2,409	0	0	0
368.23151	New York City County Clerk Operations Offset Fund	0	2,530	2,530	2,530	2,530
339.22141	New York City Veterans Home (St. Albans) Account	0	107	107	107	107
339.22142	New York State Home for Veterans and their Dependents (Oxford) Account	0	119	119	119	119
061.20823	New York State of Health	68	0	0	0	0
503.23806	NYS Secure Choice Admin	0	3,360	2,760	2,120	2,720
339.22177	Occupational Health Clinic Account	22	0	0	0	0
305.21252	Occupational Safety and Health Inspection Account	1,063	765	774	1,364	1,364
305.21251	Occupational Safety and Health Training and Education Account	1,205	2,098	2,103	2,641	2,641
323.550ZY	Office of General Services Building Administration Account - Internal Service	21	0	0	0	0
339.219YL	Office of General Services Building Administration Account - Special Revenue State	2,075	0	0	0	0
323.550ZX	Office of General Services Executive Direction Account	1,791	105	105	105	105
323.550ZZ	Office of General Services Standards and Purchase Account - Internal Service	37	0	0	0	0
339.219YN	Office of General Services Standards and Purchase Account - Special Revenue State	3,047	3,000	3,000	3,000	3,000
339.22051	Office of the Professions Account	2,777	5,816	6,138	7,752	7,752
303.21204	Oil Spill Compensation	0	1,969	2,111	2,813	2,813
339.22134	OVS Restitution Account	0	10	10	10	10
331.OGSPS	Parking Services	0	1,000	1,000	1,000	1,000
339.22163	Patron Services Account	1,568	11,011	11,028	11,115	11,115
061.20816	Pilot Health Insurance	0	102	102	102	102
061.20814	Primary Care Initiatives Account	11	158	158	158	158
339.22088	Professional Medical Conduct Account	775	469	647	647	647
339.22123	Public Safety Communication Account	28,285	32,661	7,661	7,661	7,661
339.22011	Public Service Account	5,633	5,671	5,671	5,671	5,671
339.21998	Public Work Enforcement	(3,181)	128	129	228	228
339.21965	Radiological Health Protection	246	216	216	216	216
339.21944	Radiology Emergency Preparedness Account	1,350	3,526	1,950	1,950	1,950
339.21993	Radon Detection Device Account	2	2	2	2	2
301.21067	Recreation Account	200	663	648	652	652
339.22046	Regulation of Indian Gaming Account	0	779	798	956	955
339.22021	Regulation of Manufactured Housing Account	20	20	20	20	20
339.21912	Regulation of Racing Account	0	1,604	1,661	2,066	2,063
339.22158	Rent Revenue Account	0	42	47	147	147
339.22156	Rent Revenue Other - New York City	0	115	115	115	115
339.21900	Reserve for Transaction Risks	0	(500,000)	(500,000)	(500,000)	(500,000)
339.22024	Revenue Arrearage Account	0	22,883	23,183	24,685	24,685
339.21932	Snowmobile Trail Development and Maintenance Account	0	213	213	213	213
339.22028	State Central Register Account	3,547	1,822	1,822	1,822	1,822
354.22802	State Police Motor Vehicle Enforcement Account	112,420	112,420	112,420	112,420	112,420
345.22653	State University General IFR Account	11,720	32,000	32,000	32,000	32,000
345.22656	State University Hospital IFR Operations Account	42,367	46,105	67,023	64,639	64,797
339.21902	Statewide Planning and Research Cooperative System (SPARCS) Account	924	4,291	4,331	4,331	4,331
339.22162	Systems and Technology Account	5,320	5,320	5,320	5,320	5,320
339.22192	Tax Return Preparers Fee	260	0	0	0	0
339.22168	Tax Revenue Arrearage Account	0	500	500	500	500
339.22055	Traffic Adjudication Account	0	8,090	8,090	8,090	8,090
339.21961	Training Management and Evaluation Account	0	8	8	8	8
339.22067	Transportation Regulation Account	0	2,428	2,443	2,443	2,443
339.21933	Transportation Surplus Property Account	1,803	2,777	2,777	2,777	2,777
339.22169	Tribal State Compact Revenue Account	0	329,761	102,803	116,284	135,651
339.22044	Tug Hill Administrative Account	10	10	10	10	10
050.20451	Tuition Reimbursement Account	23	23	23	23	23
339.22172	Underground Facilities Safety Training Account	175	175	175	175	175
480.25900	Unemployment Insurance Administration Fund	31,038	50,569	50,569	50,569	50,569
482.23601	Unemployment Insurance Special Interest and Penalty Fund	11,632	12,989	12,989	13,069	13,069
339.22103	Vital Records Management Account	2,702	2,428	2,428	2,428	2,428
160.20903	VLT Administration Account	0	1,039	1,053	1,183	1,182
365.23051	Vocational Rehabilitation Fund	0	32	32	32	32
050.20452	Vocational School Supervision Account	0	609	698	698	698
301.21053	Waste Tire Management and Recycling Account	0	1,720	2,001	1,508	44
339.21995	Workers' Compensation Account	12,299	16,352	16,352	16,352	16,352
		35,906,771	25,756,726	28,959,702	30,009,996	31,659,317

General Fund Transfers To Other Funds
(thousands of dollars)

<u>Fund</u>	<u>Account Name</u>	<u>FY 2020 Results</u>	<u>FY 2021 Updated</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
Transfers to Debt Service Funds		735,820	308,242	470,575	488,772	544,833
Transfers to Capital Projects Funds		3,128,131	3,333,906	3,715,304	3,895,070	3,139,160
Transfers to SUNY University Operations		1,179,366	1,273,471	1,272,748	1,267,162	1,267,162
Total All Other Transfers		1,054,499	1,531,459	1,324,288	1,401,400	1,506,923
339.21982	Administration Program	0	(139)	(139)	(139)	(139)
020.20143	Alzheimers Disease Assistance	247	270	270	270	270
334.55057	Banking Services	39,837	44,050	44,160	44,160	44,160
339.22032	Batavia School For the Blind Account	900	900	900	900	900
020.20155	Breast Cancer Research and Education Account	355	500	500	500	500
323.55022	Business Services Center	8,054	26,916	30,000	30,000	30,000
334.55069	Centralized Technology Services	11,460	11,460	11,460	11,460	11,460
054.20601	Charter School Stimulus Account	4,837	4,837	4,837	4,837	4,837
020.20100	Combined Expendable Trust Fund	0	162,890	159,300	159,300	159,300
S01.23701	Commercial Gaming Revenue Account	0	44,000	0	0	0
397.55350	Correctional Industries	20,773	20,773	20,773	20,773	20,773
073.20853	Dedicated Mass Transportation Non MTA	5,365	5,274	5,274	5,274	5,274
339.22145	Department of Health Hospital Holding	0	8,703	0	0	0
225.23651	Department of Transportation (MTA Payroll Tax)	244,250	244,250	290,250	369,250	473,250
339.22247	Entertainment Diversity Job Training Development	0	621	1,500	1,500	1,500
339.22056	Federal Salary Sharing Account	1,963	2,783	2,866	2,898	2,891
319.40300	Health Income Fund	10,239	16,079	16,079	16,079	16,079
396.55300	Health Insurance Internal Services Account	7,853	12,000	12,000	12,000	12,000
316.40250	Housing Debt Fund	1,538	100	1,000	1,000	1,000
390.23551	Indigent Legal Services	22,123	28,000	74,781	74,781	74,781
340.22501	Judiciary Funds	113,672	115,000	115,000	115,000	115,000
313.21402	Mass Transportation Operating Assistance	23,345	21,175	21,175	21,175	21,175
S02.23755	Medical Cannabis Fund	4,626	6,891	6,869	6,869	6,869
339.22128	Medication Reimbursement Account	14	0	0	0	0
334.55059	Neighbor Work Project Account	1,000	1,000	1,000	1,000	1,000
225.23653	New York Central Business District Trust	112,500	150,000	151,500	153,015	154,545
368.23151	NYC County Clerk Operations Offset Fund	3,214	3,200	3,200	3,200	3,200
323.5502X	Office of General Services Executive Direction Account	9,631	9,628	3,435	0	0
323.5502Y	OGS Building Administration Account	9,500	9,500	9,500	9,500	9,500
020.20183	Prostate Cancer Research and Education	149	200	200	200	200
313.21401	Public Transportation Systems	16,477	16,259	16,259	16,259	16,259
073.20852	Railroad Account	9,371	9,216	9,216	9,216	9,216
339.22171	Recruitment Incentive Account	2,087	2,087	2,087	2,087	2,087
339.22053	Rome School for the Deaf Account	1,034	1,020	1,020	1,020	1,020
339.21987	Spinal Cord Injury Fund	8,500	8,500	8,500	8,500	8,500
325.50050	State Fair Receipts Fund	500	3,000	3,000	3,000	3,000
345.22656	State University of New York - Medicaid Reimbursement	305,697	243,000	243,000	243,000	243,000
339.22168	Tax Revenue Arrearage Account	500	1,500	1,500	1,500	1,500
073.20851	Transit Authorities Account	52,266	51,394	51,394	51,394	51,394
160.20904	Video Lottery Terminal - Education	0	244,000	0	0	0
020.20128	WB Hoyt Memorial Trust Fund	622	622	622	622	622
		6,097,816	6,447,078	6,782,915	7,052,404	6,458,078

CASH COMBINING STATEMENT
GENERAL FUND
FY 2021
(millions of dollars)

	General Fund	Tax Stabilization Reserve Fund	Contingency Reserve Fund	Community Projects Fund	Rainy Day Reserve Fund	Extraordinary Monetary Settlements	Refund Reserve	Debt Management	Eliminations	Total
Opening Fund Balance	0	1,258	21	31	1,218	2,610	3,306	500	0	8,944
Receipts:										
Taxes	36,517	0	0	0	0	0	0	0	0	36,517
Miscellaneous Receipts	6,296	0	0	0	0	600	0	0	0	6,896
Federal Grants	0	0	0	0	0	0	0	0	0	0
Total Receipts	42,813	0	0	0	0	600	0	0	0	43,413
Disbursements:										
Local Assistance	46,212	0	0	0	0	0	0	0	0	46,212
State Operations	11,220	0	0	0	0	0	0	0	0	11,220
General State Charges	6,999	0	0	0	0	0	0	0	0	6,999
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0
Total Disbursements	64,431	0	0	0	0	0	0	0	0	64,431
Other financing sources (uses):										
Transfers from Other Funds	66,246	0	0	0	0	0	(1,266)	0	(39,223)	25,757
Transfers to Other Funds	(44,628)	0	0	(16)	0	(1,025)	0	0	39,223	(6,446)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0
Net other financing sources (uses)	21,618	0	0	(16)	0	(1,025)	(1,266)	0	0	19,311
Change in Fund Balance	0	0	0	(16)	0	(425)	(1,266)	0	0	(1,707)
Closing Fund Balance	0	1,258	21	15	1,218	2,185	2,040	500	0	7,237

CASH COMBINING STATEMENT
SPECIAL REVENUE FUNDS
FY 2021
(Thousands of dollars)

	MENTAL HEALTH AND DONATIONS (20000-20099)	COMBINED EXPENDABLE TRUST (20100-20299)	NEW YORK INTEREST ON LAWYER ACCOUNT (20300-20349)	NEW YORK STATE ARCHIVES PARTNERSHIP TRUST (20350-20399)	CHILD PERFORMERS PROTECTION (20400-20449)	TUITION REIMBURSEMENT (20450-20499)	LOCAL GOVERNMENT RECORDS MANAGEMENT IMPROVEMENT (20500-20549)	SCHOOL TAX RELIEF (20550-20599)	CHARTER SCHOOL STIMULUS (20600-20649)	HEALTH CARE REFORM ACT RESOURCES (20800-20849)	DEDICATED MASS TRANSPORTATION TRUST (20850-20899)
Opening Fund Balance	823	70,029	102,535	4	50	7,896	3,463	0	6,087	15,705	84,828
Receipts:											
Taxes	0	0	0	0	0	0	0	2,073,116	0	689,000	418,118
Miscellaneous Receipts	72	(150,059)	30,000	318	120	4,905	9,233	0	0	5,145,569	145,943
Federal Grants	0	0	0	0	0	0	0	0	0	0	0
Total Receipts	72	(150,059)	30,000	318	120	4,905	9,233	2,073,116	0	5,834,569	564,061
Disbursements:											
Local Assistance	0	8,101	20,000	0	0	0	5,056	2,073,116	4,837	5,578,030	622,519
State Operations	72	3,485	1,529	432	249	2,365	2,051	0	0	75,565	0
General State Charges	0	205	567	197	149	1,285	1,177	0	0	9,161	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	72	11,791	22,096	629	398	3,650	8,284	2,073,116	4,837	5,662,756	622,519
Other Financing Sources (Uses):											
Transfers from Other Funds	0	164,482	0	300	300	0	0	0	4,837	0	65,884
Transfers to Other Funds	0	(254)	0	(8)	(15)	(874)	(1,556)	0	0	(187,518)	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	0	164,228	0	292	285	(874)	(1,556)	0	4,837	(187,518)	65,884
Change in Fund Balance	0	2,378	7,904	(19)	7	381	(607)	0	0	(15,705)	7,426
Closing Fund Balance	823	72,407	110,439	(15)	57	8,277	2,856	0	6,087	0	92,254

	STATE LOTTERY (20900-20949)	STUDENT LOAN (20950-20999)	MTA FINANCIAL ASSISTANCE (23650-23699)	FEDERAL USDA/FOOD AND NUTRITION SERVICES (25000-25099)	FEDERAL HEALTH AND HUMAN SERVICES (25100-25199)	FEDERAL EDUCATION SERVICE (25200-25249)	MISCELLANEOUS OPERATING GRANTS (25300-25399)	SEWAGE TREATMENT PROGRAM MANAGEMENT AND ADMINISTRATION (21000-21049)	ENCON SPECIAL REVENUE (21050-21149)	CONSERVATION COMPENSATION (21150-21199)	ENVIRONMENTAL PROTECTION AND OIL SPILL (21200-21249)
Opening Fund Balance	117,520	18,433	114,802	(57,810)	1,113,222	(15,228)	(252,869)	(3,308)	5,693	83,267	26,556
Receipts:											
Taxes	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	2,549,125	26,469	0	100,011	68,933	392	8,689	900	75,111	46,792	58,166
Federal Grants	0	(23,000)	0	2,179,680	62,774,857	4,074,425	11,303,118	0	0	0	0
Total Receipts	2,549,125	3,469	0	2,279,691	62,843,790	4,074,817	11,311,807	900	75,111	46,792	58,166
Disbursements:											
Local Assistance	2,764,000	0	394,250	2,167,288	58,841,078	3,432,588	5,838,319	0	0	0	0
State Operations	34,615	8,000	0	62,250	900,779	567,521	5,055,963	437	65,798	24,364	14,004
General State Charges	12,902	0	0	15,168	123,144	62,136	259,781	269	24,851	13,059	8,134
Debt Service	0	0	0	0	0	0	144,499	0	0	0	0
Capital Projects	0	0	0	0	0	0	2,324	0	0	0	0
Total Disbursements	2,811,517	8,000	394,250	2,244,706	59,865,001	4,062,245	11,300,886	706	90,649	37,423	22,138
Other Financing Sources (Uses):											
Transfers from Other Funds	249,000	0	394,250	0	0	0	0	0	22,757	75	19,006
Transfers to Other Funds	(9,059)	0	0	(34,985)	(2,050,276)	(12,572)	(10,921)	0	(14,106)	(4,713)	(49,306)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	239,941	0	394,250	(34,985)	(2,050,276)	(12,572)	(10,921)	0	8,651	(4,638)	(30,300)
Change in Fund Balance	(22,451)	(4,531)	0	0	928,513	0	194	0	(6,887)	4,731	5,728
Closing Fund Balance	95,069	13,902	114,802	(57,810)	2,041,735	(15,228)	(252,869)	(3,114)	(1,194)	87,998	32,294

CASH COMBINING STATEMENT
SPECIAL REVENUE FUNDS
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	TRAINING AND EDUCATION PROGRAM ON OCCUPATIONAL SAFETY AND HEALTH (21250-21299)	LAWYERS' FUND FOR CLIENT PROTECTION (21300-21349)	EQUIPMENT LOAN FUND FOR THE DISABLED (21350-21399)	MASS TRANSPORTATION OPERATING ASSISTANCE (21400-21449)	CLEAN AIR (21450-21499)	NEW YORK STATE INFRASTRUCTURE TRUST (21500-21549)	LEGISLATIVE COMPUTER SERVICES (21550-21599)	STATE UNIVERSITY DORMITORY INCOME (40350-40399)	COMBINED NON- EXPENDABLE TRUST (21650-21699)	WINTER SPORTS EDUCATION TRUST (21700-21749)	MUSICAL INSTRUMENT REVOLVING FUND (21750-21799)
Opening Fund Balance	1,630	11,432	543	414,636	(33,300)	71	12,234	264,799	467	0	0
Receipts:											
Taxes	0	0	0	2,331,570	0	0	0	0	0	0	0
Miscellaneous Receipts	48,496	9,000	28	17,500	32,849	0	1,719	344,024	115	75	0
Federal Grants	0	0	0	0	0	0	0	0	0	0	0
Total Receipts	48,496	9,000	28	2,349,070	32,849	0	1,719	344,024	115	75	0
Disbursements:											
Local Assistance	0	0	0	2,664,893	0	0	0	0	0	0	0
State Operations	32,708	11,340	93	4,162	22,712	0	950	0	59	75	0
General State Charges	13,505	300	0	2,412	13,290	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	46,213	11,640	93	2,671,467	36,002	0	950	0	59	75	0
Other Financing Sources (Uses):											
Transfers from Other Funds	0	0	0	37,434	0	0	0	0	0	0	0
Transfers to Other Funds	(2,863)	(1,260)	(7)	(7,237)	(6,404)	0	0	(329,675)	0	0	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	(2,863)	(1,260)	(7)	30,197	(6,404)	0	0	(329,675)	0	0	0
Change in Fund Balance	(580)	(3,900)	(72)	(292,200)	(9,557)	71	769	14,349	56	0	0
Closing Fund Balance	1,050	7,532	471	1,227,436	(42,857)	71	13,003	279,148	523	0	0

	ARTS CAPITAL REVOLVING (21850-21899)	MISCELLANEOUS STATE SPECIAL REVENUE (21900-21949)	COURT FACILITIES INCENTIVE AID (22500-22549)	EMPLOYMENT TRAINING (22550-22599)	STATE UNIVERSITY INCOME (22650-22699)	CHEMICAL DEPENDENCE SERVICE (22700-22749)	LAKE GEORGE PARK TRUST (22750-22799)	STATE POLICE MOTOR VEHICLE LAW ENFORCEMENT AND MOTOR VEHICLE THEFT AND INSURANCE FRAUD PREVENTION (22800-22849)	NEW YORK GREAT LAKES PROTECTION (22850-22899)	FEDERAL REVENUE MAXIMIZATION CONTRACT (22900-22949)	HOUSING DEVELOPMENT (22950-22999)
Opening Fund Balance	982	1,377,696	8,523	52	1,488,855	10,138	170	20,810	382	24	10,618
Receipts:											
Taxes	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	60	858,465	150	0	5,162,021	6,494	1,208	123,625	160	0	900
Federal Grants	0	89	0	0	0	0	0	0	0	0	0
Total Receipts	60	858,554	150	0	5,162,021	6,494	1,208	123,625	160	0	900
Disbursements:											
Local Assistance	98	120,087	113,000	0	0	0	0	4,237	0	0	852
State Operations	0	639,793	2,070	0	6,127,801	6,471	999	9,232	158	0	0
General State Charges	0	413,279	1,000	0	516,930	0	491	0	60	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	98	1,173,159	116,070	0	6,644,731	6,471	1,490	13,469	218	0	852
Other Financing Sources (Uses):											
Transfers from Other Funds	0	617,411	115,000	0	2,002,419	0	0	0	0	0	0
Transfers to Other Funds	0	(354,929)	(230)	0	(263,337)	(3,000)	0	(113,533)	0	0	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	0	262,482	114,770	0	1,739,082	(3,000)	0	(113,533)	0	0	0
Change in Fund Balance	(38)	(52,123)	(1,150)	0	256,372	(2,977)	(282)	(3,377)	(58)	0	48
Closing Fund Balance	944	1,325,573	7,373	52	1,745,227	7,161	(112)	17,433	324	24	10,666

CASH COMBINING STATEMENT BY ACCOUNT
SPECIAL REVENUE OTHER FUNDS (Excluding Fund 339)
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Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCS	Debt	Capital	Transfers To	Total Disb.	Closing Balance
019.20000-Ment Hyg Gifts	821	0	72	0	0	0	72	0	0	72	0	0	0	0	0	0	72	821
020.20100-Combined Exp Tr	(35)	0	(162,890)	0	0	162,890	0	0	0	0	0	0	0	0	0	0	0	(35)
020.20101-Planting Fields	2,194	0	350	0	0	0	350	224	48	48	7	0	148	0	0	0	427	2,117
020.20103-Chambers Restor	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
020.20105-Animal Disease	51	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	51
020.20107-DOCS Gift & Don	54	0	805	0	0	0	805	0	805	805	0	0	0	0	0	0	805	54
020.20109-Helen Hayes Hsp	63	0	0	0	0	0	0	0	35	35	0	0	0	0	0	0	35	28
020.20110-Oxford Donation	342	0	166	0	0	0	166	0	50	50	0	0	0	0	0	0	50	458
020.20111-Donat-St.Albans	5	0	0	0	0	0	0	0	13	13	0	0	0	0	0	0	13	(8)
020.20112-CVB Gifts & Beq	112	0	5	0	0	0	5	0	8	8	0	0	0	0	0	0	8	109
020.20113-Donations-Batav	34	0	19	0	0	0	19	0	40	40	0	0	0	0	0	0	40	13
020.20114-Montrose Donati	208	0	12	0	0	0	12	0	13	13	0	0	0	0	0	0	13	207
020.20116-IBR Genetic Cou	25	0	108	0	0	0	108	0	108	108	0	0	0	0	0	0	108	25
020.20118-Tech Transfer	(1)	0	50	0	0	0	50	0	24	24	0	0	0	0	0	0	24	25
020.20120-Spec Events	3,120	0	138	0	0	0	138	0	0	0	0	0	0	0	0	0	0	3,258
020.20123-L.M. Josephthal	50	0	1	0	0	0	1	0	1	1	0	0	0	0	0	0	1	50
020.20124-OSC Misc Grant	14	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	14
020.20126-NYSCB Ven Stand	1,445	0	744	0	0	0	744	45	528	528	1	0	29	0	0	0	603	1,586
020.20127-DMNA Military	12	0	1	0	0	0	1	0	1	1	0	0	0	0	0	0	1	12
020.20128-WB Hoyt Memoria	4,963	0	0	0	0	622	622	895	0	0	0	0	0	0	0	0	895	4,690
020.20129-NYSCB Gift& Beq	184	0	0	0	0	0	0	0	17	17	0	0	0	0	0	0	17	167
020.20130-St Transm Money	20,576	0	240	0	0	0	240	0	0	0	0	0	0	0	0	0	0	20,816
020.20142-Youth Grants &	286	0	0	0	0	0	0	44	426	426	0	0	17	0	0	0	487	(201)
020.20143-Alzheimers Dis	1,408	0	270	0	0	270	540	670	0	0	0	0	0	0	0	0	670	1,278
020.20144-Local Gov Comm	151	0	12	0	0	0	12	0	7	7	0	0	0	0	0	0	7	156
020.20147-Prostate/Testic	1	0	0	0	0	0	0	0	22	22	0	0	0	0	0	0	22	685
020.20149-Autism Aware &	568	0	139	0	0	0	139	0	0	0	0	0	0	0	0	0	0	703
020.20150-Emergency Serv	17,253	0	5,688	0	0	0	5,688	3,101	3	3	0	0	2	0	0	254	3,360	19,581
020.20151-Batavia-Charlot	392	0	20	0	0	0	20	0	23	23	0	0	0	0	0	0	23	389
020.20152-Rome-Gifts And	95	0	20	0	0	0	20	0	19	19	0	0	0	0	0	0	19	96
020.20155-Br Can Res & Ed	6,154	0	540	0	0	500	1,040	1,620	0	0	0	0	0	0	0	0	1,620	5,574
020.20159-Community Relat	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
020.20162-Disab Tech Asst	30	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	30
020.20165-DMNA Youth Prog	93	0	5	0	0	0	5	0	5	5	0	0	0	0	0	0	5	93
020.20166-Erie Canal Muse	19	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	19
020.20167-Grants and Bequ	8	0	1	0	0	0	1	0	2	2	0	0	0	0	0	0	2	7
020.20174-Life Pass It on	1,512	0	400	0	0	0	400	0	177	177	0	0	0	0	0	0	177	1,735
020.20176-Misc. Gifts Acc	(1,051)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1,051)
020.20178-Multiple Sclero	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
020.20182-Parole O/cr Mem	43	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	43
020.20183-Prostate Cancer	2,917	0	240	0	0	200	440	520	0	0	0	0	0	0	0	0	520	2,837
020.20185-Percy T Phillip	43	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	43
020.20192-Missng Children	157	0	407	0	0	0	407	262	128	128	0	0	0	0	0	0	390	174
020.20197-DCJ01 Comb Gift	(6)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(6)
020.20199-HESC Gifts Dona	557	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	557
020.201B4-DFY Rec & Welfr	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
020.201DR-Human Rights Dis	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
020.201F1-Women Vet Monum	150	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	150
020.201GW-CCF Gfts & Beqs	56	0	100	0	0	0	100	0	14	92	1	0	9	0	0	0	116	40
020.201HH-OMH Grant & Beq	73	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	73
020.201RW-RW Johnson Foun	(5)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(5)
020.201XK-Grants Account	1,130	0	1,500	0	0	0	1,500	1,000	0	67	0	0	0	0	0	0	1,067	1,563
020.201XX-S U Restrict Cur	12	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	12
020.201ZS-Grants	373	0	300	0	0	0	300	0	0	0	0	0	0	0	0	0	0	673
020.201ZZ-Donated Funds	(92)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(92)
020.20200-NY Teen Hth Ed	20	0	120	0	0	0	120	120	0	0	0	0	0	0	0	0	120	20

CASH COMBINING STATEMENT BY ACCOUNT
SPECIAL REVENUE OTHER FUNDS (Excluding Fund 339)
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(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCS	Debt	Capital	Transfers To	Total Disb.	Closing Balance
020.20201-Veterans Rem Ce	1,546	0	75	0	0	0	75	0	0	0	0	0	0	0	0	0	0	1,621
020.20205-Mental Illness	256	0	58	0	0	0	58	75	0	0	0	0	0	0	0	0	75	239
020.20206-Women's Cancer	179	0	75	0	0	0	75	100	0	0	0	0	0	0	0	0	100	154
020.20209-Combined Gifts	2,275	0	222	0	0	0	222	0	0	225	0	0	0	0	0	0	225	2,272
023.20300-N Y Int Lawyers	102,535	0	30,000	0	0	0	30,000	20,000	905	594	30	0	567	0	0	0	22,096	110,439
024.20350-NYS Archvs Prie	3	0	318	0	0	300	618	0	303	119	10	0	197	0	0	8	637	(16)
025.20401-Child Performer	53	0	120	0	0	300	420	0	233	9	7	0	149	0	0	15	413	60
050.20451-Tuition Reimb	4,923	0	705	0	0	0	705	0	200	0	0	0	101	0	0	23	324	5,304
050.20452-Voc School Supe	2,975	0	4,200	0	0	0	4,200	0	1,594	508	63	0	1,184	0	0	851	4,200	2,975
052.20501-Loc Govt Record	3,461	0	9,233	0	0	0	9,233	5,066	1,778	211	62	0	1,177	0	0	1,556	9,840	2,854
053.20550-Sch Tax Relief	(1)	2,073,116	0	0	0	0	2,073,116	2,073,116	0	0	0	0	0	0	0	0	2,073,116	(1)
054.20601-Charter School	6,088	0	0	0	0	4,837	4,837	4,837	0	0	0	0	0	0	0	0	4,837	6,088
056.20701-Greenway Commun	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
056.20702-Greenway Herit	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
059.20751-Alcohol&Subst A	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
061.20801-Tobacco Chr &	244	0	0	0	0	0	0	0	1,490	142	59	0	1,056	0	0	0	2,747	(2,503)
061.20802-Health Care Sv	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
061.20803-Medicaid Fraud	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
061.20804-Medical Assist.	53	0	0	0	0	0	0	3,915,532	0	0	0	0	0	0	0	0	3,915,532	(3,915,479)
061.20805-Enhanced Com	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
061.20807-HCRA Program	748	0	0	0	0	0	0	283,309	0	8,209	0	0	0	0	0	0	291,518	(290,770)
061.20809-EMS Training	738	0	0	0	0	0	0	10,570	1,785	1,344	67	1,356	0	0	0	131	15,253	(14,515)
061.20810-Child Health In	2,362	0	0	0	0	0	0	556,646	907	9,963	116	807	0	0	0	0	568,439	(566,077)
061.20811-HCRA Undistribu	(3,449)	689,000	5,083,247	0	0	0	5,772,247	0	0	0	0	0	0	0	0	178,127	178,127	5,590,671
061.20812-Hospital Based	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
061.20813-Ad Home Res Co	34	0	0	0	0	0	0	60	0	0	0	0	0	0	0	0	60	(26)
061.20814-Primary Care In	53	0	0	0	0	0	0	0	246	0	9	125	0	0	0	158	538	(485)
061.20815-Prev Coll Monit	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
061.20816-Pilot Health In	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	102	102	(99)
061.20817-Indigent Care	11,757	0	0	0	0	0	0	707,500	0	0	0	0	0	0	0	9,000	716,500	(704,743)
061.20818-EPIC Premium	2,264	0	62,322	0	0	0	62,322	104,413	1,140	9,768	23	823	0	0	0	0	116,167	(51,581)
061.20819-Health Occup De	98	0	0	0	0	0	0	0	23	13	1	14	0	0	0	0	51	47
061.20820-Matern & Ch HIV	1	0	0	0	0	0	0	60	0	0	0	0	0	0	0	0	0	1
061.20821-Health Care Del	29	0	0	0	0	0	0	0	331	2	7	231	0	0	0	0	571	(542)
061.20822-Cig Task Force	101	0	0	0	0	0	0	10,570	2,494	206	66	1,533	0	0	0	0	4,299	(4,198)
061.20823-NYSOH	674	0	0	0	0	0	0	0	5,234	30,885	1,235	3,216	0	0	0	0	40,370	(39,696)
073.20851-Transit Authori	56,440	326,583	112,990	0	0	51,394	490,967	486,285	0	0	0	0	0	0	0	0	486,285	61,122
073.20852-Railroad Account	10,332	57,633	20,001	0	0	9,216	86,850	86,468	0	0	0	0	0	0	0	0	86,468	10,714
073.20853-DMTF	18,055	33,902	12,952	0	0	5,274	52,128	49,766	0	0	0	0	0	0	0	0	49,766	20,417
160.20901-Education - New	2,873	0	2,180,000	0	0	5,000	2,185,000	2,206,000	0	0	0	0	0	0	0	0	2,206,000	(18,127)
160.20902-Lottery Adm New	91,910	0	44,085	0	0	0	44,085	0	15,958	14,611	603	11,345	0	0	0	8,020	50,537	85,458
160.20903-VLT Administrat	21,663	0	12,040	0	0	0	12,040	0	2,190	1,170	83	1,557	0	0	0	1,039	6,039	27,664
160.20904-VLT - Education	1,074	0	313,000	0	0	2,444,000	557,000	558,000	0	0	0	0	0	0	0	0	558,000	74
221.20950-Comb Student Ln	18,436	0	26,469	(23,000)	0	0	3,469	0	0	8,000	0	0	0	0	0	0	8,000	13,905
225.23651-Mobility Tax Tr	1,442	0	0	0	0	2,444,250	2,444,250	2,444,250	0	0	0	0	0	0	0	0	2,444,250	1,442
225.23652-MTA Aid Trust	396	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	396
225.23653-NY Cen Bus Dis	112,967	0	0	0	0	150,000	150,000	150,000	0	0	0	0	0	0	0	0	150,000	112,967
300.21002-Econ Admin Acc	(3,310)	0	900	0	0	0	900	0	423	14	0	269	0	0	0	0	706	(3,116)
301.21051-EnCon Energy Ef	79	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	79
301.21052-EnCon-Seized As	81	0	20	0	0	0	20	0	0	0	0	0	0	0	0	0	0	101
301.21053-Wst Tire MgrRe	37,636	0	19,200	0	0	0	19,200	0	12,162	360	373	7,508	0	0	0	1,720	22,123	34,713
301.21054-Oil & Gas Accou	27	0	108	0	0	0	108	0	0	118	0	0	0	0	0	0	118	17
301.21055-Marine/Coastal	206	0	13	0	0	0	13	0	0	0	0	0	0	0	0	0	0	219
301.21060-Indirect Charge	2,477	0	0	0	0	10,157	10,157	0	9,501	2,853	164	3,253	0	0	0	2,085	17,856	(5,222)
301.21061-Hazardous Sub B	1,492	0	350	0	0	0	350	0	218	38	8	111	0	0	0	0	375	1,467
301.21063-S-Area Landfill	12	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	12

CASH COMBINING STATEMENT BY ACCOUNT
SPECIAL REVENUE OTHER FUNDS (Excluding Fund 339)
FY 2021

(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCS	Debt	Capital	Transfers To	Total Disb.	Closing Balance
301.21064-Utility Envir R	(1,671)	0	0	0	0	3,600	3,600	0	1,288	0	34	0	674	0	0	0	1,996	(67)
301.21065-Federal Grant I	534	0	40	0	0	9,000	9,040	0	8,767	168	0	0	0	0	0	1,041	9,976	(402)
301.21066-Low Level Radio	(4,266)	0	2,811	0	0	0	2,811	0	1,245	192	47	0	800	0	0	433	2,717	(4,172)
301.21067-Recreation Acco	9,850	0	8,200	0	0	0	8,200	0	2,321	776	88	0	984	0	0	918	5,087	12,963
301.21077-Public Safety R	1	0	30	0	0	0	30	0	0	38	0	0	0	0	0	0	38	(7)
301.21080-Encon Magazine	757	0	705	0	0	0	705	0	164	0	0	0	0	0	0	150	314	1,148
301.21081-Environmental R	(55,244)	0	28,600	0	0	0	28,600	0	14,126	2,436	447	0	7,345	0	0	6,187	30,541	(57,185)
301.21082-Natural Resourc	(14,065)	0	4,811	0	0	0	4,811	0	659	397	22	0	429	0	0	400	1,907	(11,161)
301.21083-UST-Trust Recov	606	0	12	0	0	0	12	0	0	105	67	0	0	0	0	0	0	618
301.21084-Mined Land Recl	4,126	0	4,210	0	0	0	4,210	0	2,029	105	0	0	1,313	0	0	391	3,905	4,431
301.21089-SEQR Review	(43)	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	(43)
301.21087-Town Of Riverhe	17	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	17
301.21022-Monitors-Aggre	23,080	0	6,000	0	0	0	6,000	0	3,985	480	121	0	2,434	0	0	781	7,801	21,279
302.21150-Conservation	10,322	0	43,222	0	0	75	43,297	0	20,541	1,897	590	0	12,334	0	0	4,638	40,000	13,619
302.21151-Marine Resource	4,842	0	1,480	0	0	0	1,480	0	1,115	0	34	0	669	0	0	0	1,818	4,504
302.21152-Migratory Bird	0	0	10	0	0	0	10	0	0	45	0	0	0	0	0	0	45	(35)
302.21153-Guides License	285	0	55	0	0	0	55	0	65	6	2	0	37	0	0	0	110	230
302.21154-Fish And Game T	67,773	0	2,000	0	0	0	2,000	0	0	75	0	0	0	0	0	75	75	69,698
302.21155-Surf Clam/Quaho	(3)	0	0	0	0	0	0	0	33	35	1	0	19	0	0	0	88	(91)
302.21156-Habitat Account	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
302.21157-Venison Donatio	3	0	25	0	0	0	25	0	0	0	0	0	0	0	0	0	0	28
302.21158-OUTDOOR REC & T	38	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	38
303.21201-Oil Spill - DAC	3	0	470	0	0	705	1,175	0	667	58	23	0	427	0	0	0	1,175	3
303.21202-Oil Sp Relocain	3	0	0	0	0	301	301	0	190	8	6	0	133	0	0	0	337	(33)
303.21203-Oil Spill - DEC	(1)	0	0	0	0	18,000	18,000	0	11,724	951	377	0	7,574	0	0	0	20,626	(2,627)
303.21204-Oil Spill - DAC	26,552	0	43,996	0	0	0	43,996	0	0	0	0	0	0	0	0	35,600	35,606	34,942
303.21205-License Fee Sur	0	0	13,700	0	0	0	13,700	0	0	0	0	0	0	0	0	13,700	13,700	0
305.21251-OSH Trng & Educ	926	0	26,357	0	0	0	26,357	0	10,858	5,945	338	0	7,172	0	0	2,098	26,411	872
305.21252-OSHA Inspection	701	0	22,139	0	0	0	22,139	0	11,909	3,288	370	0	6,333	0	0	765	22,665	175
306.21301-CSF Regis Fee	11,434	0	9,000	0	0	0	9,000	0	540	10,800	0	0	300	0	0	1,260	12,900	7,534
307.21351-Equipment Loan	545	0	28	0	0	0	28	0	0	93	0	0	0	0	0	7	100	473
313.21401-Pub Tran Systems	16,825	80,987	0	0	0	16,259	97,246	93,839	685	195	21	0	479	0	0	0	95,219	18,852
313.21402-Metropolitan Ma	397,695	2,250,583	17,500	0	0	21,175	2,289,258	2,571,054	2,766	410	85	0	1,933	0	0	7,237	2,583,485	1,034,668
313.21403-Urban Mass Tran	112	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	112
313.21404-Add Mass Trans	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
314.21451-Operating Permit	(31,065)	0	9,200	0	0	0	9,200	0	2,762	47	90	0	1,795	0	0	0	4,694	(26,559)
314.21452-Mobile Source	(2,244)	0	23,649	0	0	0	23,649	0	16,455	2,851	507	0	11,495	0	0	6,404	37,712	(16,307)
318.21501-Housing Reserve	70	0	6	0	0	0	6	0	0	6	0	0	0	0	0	0	6	40
321.21551-Legisl Comp R&D	12,167	0	1,717	0	0	0	1,717	0	0	950	0	0	0	0	0	0	950	12,934
321.21552-Demographics/Re	64	0	2	0	0	0	2	0	0	0	0	0	0	0	0	0	0	66
330.40350-S U Dorm Income	264,796	0	344,024	0	0	0	344,024	0	16,455	2,851	507	0	0	0	0	329,675	329,675	279,145
332.21651-Brummer Award	40	0	6	0	0	0	6	0	0	6	0	0	0	0	0	0	6	40
332.21652-William Vorce F	242	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	242
332.21653-Rocky Pocanico	(1)	0	110	0	0	0	110	0	0	52	0	0	0	0	0	0	52	57
332.21654-OPWDD Nonexp Tr	74	0	(1)	0	0	0	(1)	0	0	1	0	0	0	0	0	0	1	72
332.21656-Helen Hayes Hos	15	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	15
332.21657-Cunningham Fund	100	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	100
333.21700-Wintr Sports Ed	0	0	75	0	0	0	75	0	0	75	0	0	0	0	0	0	75	0
338.21851-Arts Capital Re	982	0	60	0	0	0	60	98	0	0	0	0	0	0	0	0	98	944
340.22501-CFIA Undrsrib	8,523	0	150	0	0	115,000	115,150	113,000	1,980	90	0	0	1,000	0	0	230	116,300	7,373
341.22552-DFY-NYC Summer	53	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	53
345.22652-L I Vets Home	28,597	0	48,231	0	0	0	48,231	0	32,286	18,906	0	0	0	0	0	0	51,192	25,636
345.22653-S U Genl IFR	940,016	0	649,738	0	0	31,487	681,225	0	198,899	389,445	0	0	15,523	0	0	37,028	640,895	980,346
345.22654-S U Inc Offset	(20,613)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(20,613)
345.22655-Gen Rev Offset	61,909	0	1,581,752	0	0	1,273,471	2,855,223	0	2,432,645	307,540	0	0	563	0	0	116,770	2,857,518	59,614
345.22656-S U Hosp Ops	233,394	0	2,727,069	0	0	662,461	3,389,530	0	1,376,066	1,224,407	0	0	496,104	0	0	109,539	3,206,116	414,808

CASH COMBINING STATEMENT BY ACCOUNT
SPECIAL REVENUE OTHER FUNDS (Excluding Fund 339)
FY 2021

(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCS	Debt	Capital	Transfers To	Total Disb.	Closing Balance
345.22657-SUNY Stabilizat	81,159	0	0	0	0	35,000	35,000	0	400	600	0	0	0	0	0	0	1,000	115,159
345.22658-State Univ Hosp	9,218	0	52,631	0	0	0	52,631	0	52,409	3,463	0	0	0	0	0	0	55,872	5,977
345.22659-SUNY Tuiton Re	155,177	0	102,600	0	0	0	102,600	0	61,241	27,494	0	0	4,740	0	0	0	93,475	164,302
346.22700-Chem Dep Svcs	10,136	0	6,494	0	0	0	6,494	0	0	6,471	0	0	0	0	0	3,000	9,471	7,159
349.22751-Lk George Park	173	0	1,208	0	0	0	1,208	0	727	250	22	0	491	0	0	0	1,490	(109)
354.22801-MVTIFA	3,660	0	4,800	0	0	0	4,800	4,237	128	4	0	0	0	0	0	1,113	5,482	2,978
354.22802-St Police MV Eh	17,150	0	118,825	0	0	0	118,825	0	4,000	5,100	0	0	0	0	0	112,420	121,520	14,455
355.22851-Great Lakes Pro	378	0	160	0	0	0	160	0	85	70	3	0	60	0	0	0	218	320
359.22901-Revenue Maximiz	1,577	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,577
359.22902-Local Maximizat	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
359.22903-Rev Maxim Contr	(1,555)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1,555)
360.22950-Housing Develop	10,616	0	900	0	0	0	900	852	0	0	0	0	0	0	0	0	852	10,664
362.23001-DOT Comm Veh Sa	(14,330)	0	3,068	0	0	0	3,068	0	3,084	495	0	0	0	0	0	0	3,579	(14,841)
365.23051-Vocatl Rehabil	60	0	100	0	0	0	100	20	0	25	0	0	0	0	0	32	77	83
366.23101-Drinking Water	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
366.23102-Drink Water DOH	(5,352)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,108	1,108	(6,460)
368.23151-NYC County Cler	(27,977)	0	30,100	0	0	3,200	33,300	0	19,620	3,150	0	0	12,000	0	0	2,530	37,300	(31,977)
369.23201-Jud Data Proc O	53,466	0	49,000	0	0	0	49,000	0	24,210	0	0	0	13,100	0	0	2,690	40,000	62,466
377.23267-CUNY Stabilizn	5,168	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5,168
377.2322X-CUNY Tuitn Reim	101,994	0	5,108	0	0	0	5,108	0	38,593	0	0	0	0	0	0	0	38,593	68,509
377.2322Y-CUNY Inc Reimb	97,152	0	69,711	0	0	0	69,711	0	45,552	48,361	0	0	150	0	0	0	94,063	72,800
385.23501-Lk Placid Train	299	0	135	0	0	0	135	0	0	75	0	0	0	0	0	0	75	359
390.23551-Indigent Legal	451,788	0	212,662	0	0	28,000	240,662	170,888	3,440	23,238	98	0	2,156	0	0	2,500	202,320	490,130
482.23601-UJ Sp Int & Pen	31,951	0	15,866	0	0	0	15,866	0	351	1,020	51	0	1,173	0	0	12,989	15,584	32,233
501.23701-Commercial Gami	32,294	0	70,000	0	0	44,000	114,000	104,100	0	0	0	0	0	0	0	0	104,100	42,194
501.23702-Comm Game Regul	(18,020)	0	5,041	0	0	0	5,041	0	2,777	283	105	0	1,974	0	0	342	5,481	(18,460)
501.23703-Prob Gambli Svcs	1,721	0	3,300	0	0	0	3,300	3,300	0	0	0	0	0	0	0	0	3,300	1,721
502.23750-Med Warth Colle	5,402	2,925	0	0	0	0	2,925	0	0	0	0	0	0	0	0	0	0	8,327
502.23752-MMF - County Di	680	2,925	0	0	0	0	2,925	2,565	0	0	0	0	0	0	0	0	2,565	1,040
502.23753-MMF - Law Entor	450	325	0	0	0	0	325	0	0	0	0	0	0	0	0	0	0	775
502.23754-MMF - Addictio	600	325	0	0	0	0	325	0	0	0	0	0	0	0	0	0	0	925
502.23755-Health Operatio	4,802	0	0	0	0	6,891	6,891	0	1,904	2,470	55	0	1,285	0	0	0	5,714	5,979
503.23800-Inter Recip Pos	2,005	0	1,200	0	0	0	1,200	0	329	63	16	0	294	0	0	499	1,201	2,004
503.23801-Hwy Use Tax Adm	504	400	500	0	0	0	900	0	188	202	6	0	116	0	0	0	512	892
503.23802-Cure Childhood	42	0	10	0	0	0	10	0	0	0	0	0	0	0	0	0	0	52
503.23804-Lupus Research	62	0	60	0	0	0	60	0	0	0	0	0	0	0	0	0	0	122
503.23807-Military Fam Re	95	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	95
503.23808-Gifts For Food	62	0	118	0	0	0	118	0	0	0	0	0	0	0	0	0	0	180
503.23809-NYS ALS Res&Edu	16	0	35	0	0	0	35	0	0	0	0	0	0	0	0	0	0	51
503.23811-School Bas Hlth	9	0	50	0	0	0	50	0	0	0	0	0	0	0	0	0	0	59
503.23814-Gift to the Art	15	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	15
503.23815-Sr Well Nutriti	44	0	40	0	0	0	40	0	0	0	0	0	0	0	0	0	0	84
504.24950-Fan Sports Educ	19,592	0	5,000	0	0	0	5,000	0	0	0	0	0	0	0	0	5,000	5,000	19,592
504.24951-Fan Sport Admin	(4)	0	0	0	0	0	0	0	88	292	3	0	64	0	0	45	492	(496)
506.24850-Hlth Care Trans	315,297	0	137,989	0	0	0	137,989	0	0	0	0	0	0	0	0	453,296	453,296	0
507.24900-Hlth Caritabl	59,272	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	59,272
507.24901-Elem Sec Ed Cha	36,373	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	36,373

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)
FY 2021

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.21901-Article VII Int	7,662	0	3,000	0	0	0	3,000	3,000	0	0	0	0	0	0	0	0	7,662
339.21902-S P A R C S	6,469	0	6,600	0	0	0	6,600	0	976	3,608	32	0	680	0	0	4,291	3,482
339.21904-Fire Prev/Code	57,370	0	14,810	0	0	0	14,810	0	1,004	500	34	0	627	0	0	14,810	55,205
339.21905-NYS Twy Police	(10,934)	0	63,612	0	0	0	63,612	0	37,986	25	0	0	25,601	0	0	0	(10,934)
339.21906-DMV Seiz Assets	121	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	121
339.21907-Mental Hygiene	(2,134)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2,134)
339.21909-M H Patient Inc	8	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	8
339.21911-Fin Cntrl Board	(1,337)	0	3,099	0	0	0	3,099	0	1,444	811	45	0	799	0	0	0	(1,337)
339.21912-Reg of Racing	(2,696)	0	12,647	0	0	0	12,647	0	6,446	3,870	243	0	1,554	0	0	1,604	(3,766)
339.21914-S U Constr Fund	113	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	113
339.21915-Quality Care	133	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	133
339.21916-Nurses Aide Reg	1,062	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,062
339.21917-Med Frd Seized	100	0	160	0	0	0	160	0	0	160	0	0	0	0	0	0	100
339.21918-Child Care & Pr	2,445	0	425	0	0	0	425	0	0	0	0	0	0	0	0	0	2,870
339.21919-Cyber Sec Upgr	934	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	934
339.21920-Cert of Need	8,332	0	2,959	0	0	0	2,959	0	1,442	1,471	53	0	1,123	0	0	5,415	1,787
339.21921-Lobbying Enforc	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.21922-Continuing Care	1,291	0	131	0	0	0	131	0	66	4	2	0	28	0	0	2	1,320
339.21923-DOL Fee Penalty	12,548	0	20,383	0	0	0	20,383	0	6,022	1,239	187	0	4,167	0	0	687	20,629
339.21924-Educ Museum	296	0	892	0	0	0	892	0	293	334	10	0	190	0	0	62	299
339.21925-Ns Hm Receiptshp	2,999	0	25	0	0	0	25	0	0	0	0	0	0	0	0	0	3,024
339.21926-3rd Party Hlth	478	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	478
339.21927-Boating Noise L	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.21928-I Love NY Ves	1	0	871	0	0	0	871	0	0	0	0	0	0	0	0	0	872
339.21929-Summer Sch Afts	83	0	684	0	0	0	684	0	115	528	4	0	26	0	0	0	94
339.21930-I Lve NY W Boat	148	0	245	0	0	0	245	0	70	25	4	0	50	0	0	96	148
339.21932-Snowmobile	6,075	0	6,150	0	0	0	6,150	3,650	111	150	9	0	74	0	0	213	8,018
339.21933-Tr Surplus Prop	5,999	0	2,200	0	0	0	2,200	0	0	0	0	0	0	0	0	2,777	5,422
339.21934-Hosp & Nurs Mgt	4	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4
339.21935-Watershed Pfltr	(4)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(4)
339.21936-World Univ Game	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.21937-S U Dorm Reimb	(6)	0	0	0	0	225,721	225,721	0	120,145	105,421	0	0	155	0	0	0	(6)
339.21938-ODTA Train Cont	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.21939-ODTA State Matc	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.21941-Methadone Regis	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.21943-Energy Research	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.21944-Radiology	4,524	0	6,000	0	0	0	6,000	3,000	730	187	30	0	453	0	0	3,526	2,598
339.21945-Crim Jus Improv	17,049	0	36,373	0	0	0	36,373	27,744	2,686	277	116	0	1,650	0	0	12,155	8,794
339.21948-Farm Prod Insp-	485	0	1,390	0	0	0	1,390	0	672	123	23	0	437	0	0	0	620
339.21950-FgprntID&Tech	46,376	0	15,000	0	0	0	15,000	0	0	515	0	0	0	0	0	22,543	38,318
339.21953-NY Fire Academy	133	0	468	0	0	0	468	0	289	314	9	0	239	0	0	0	(250)
339.21958-Domestic Awaren	108	0	7	0	0	0	7	0	0	3	0	0	0	0	0	0	112
339.21959-Environmental L	4,621	0	4,112	0	0	0	4,112	0	1,708	567	54	0	1,171	0	0	131	5,102
339.21960-HESC Ins Prem P	64,106	0	69,881	0	0	0	69,881	0	10,853	18,755	367	0	6,819	0	0	15,827	81,366
339.21961-Train Mgmt Eval	(406)	0	1,400	0	0	0	1,400	0	1,634	97	53	0	1,061	0	0	8	(1,859)
339.21962-Clin Lab Refnc	(9,340)	0	18,059	0	0	0	18,059	0	4,990	2,079	176	0	3,642	0	0	4,848	(7,016)
339.21964-Pub Emp Rel Brid	862	0	86	0	0	0	86	0	0	45	0	0	0	0	0	0	903
339.21965-Radio Hlth Prot	982	0	5,132	0	0	0	5,132	0	1,998	133	70	0	1,443	0	0	796	1,674
339.21966-Cons Food Indus	57	0	80	0	0	0	0	0	0	0	0	0	0	0	0	0	57
339.21967-OHRD ST Match	1,990	0	0	0	0	1,400	1,480	0	0	3,944	0	0	0	0	0	0	(474)

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)
FY 2021

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.21968-Educatin Library	172	0	65	0	0	0	65	0	0	60	0	0	0	0	0	0	177
339.21969-Teacher Certif	7,374	0	6,600	0	0	0	6,600	0	2,986	643	121	0	2,294	0	0	995	6,935
339.21970-Banking Deprimt	40,850	0	106,082	0	0	0	106,082	0	52,053	12,609	1,598	0	36,415	0	0	0	44,257
339.21971-Cable TV Acct	5,387	0	3,130	0	0	0	3,130	0	1,413	109	49	0	918	0	0	0	6,028
339.21972-Econ Devel Asst	329	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	329
339.21973-Fin Svcs Seized	744	0	250	0	0	0	250	0	0	250	0	0	0	0	0	0	744
339.21975-ODD Earned Revn	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.21976-Motorcycle Sfty	264	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	264
339.21977-Business and Li	112,309	0	86,443	0	0	0	86,443	0	17,785	5,711	549	0	12,403	0	0	64,354	97,950
339.21978-Indir Cost Reco	1,727	0	0	0	0	18,954	18,954	0	9,476	4,362	0	0	6,156	0	0	2,757	(2,070)
339.21979-High School Equ	1,484	0	225	0	0	0	225	0	0	225	0	0	0	0	0	0	1,484
339.21980-OTDA Program	754	0	0	0	0	500	500	0	0	200	0	0	128	0	0	0	926
339.21981-Disas Prep Conf	24	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	24
339.21982-Administration	355	0	13	0	0	13,211	13,224	0	3,337	6,531	114	0	2,729	0	0	1,343	(475)
339.21983-Rail Safety Ins	4	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4
339.21984-Fedl Admin Reim	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.21985-Abandon Prop Au	2	0	16,203	0	0	0	16,203	0	11,317	3,430	28	0	0	0	0	1,582	(152)
339.21986-Seized Assets	6	0	2	0	0	0	2	0	0	0	0	0	0	0	0	0	8
339.21987-Spinal Injury	7,257	0	95	0	0	8,500	8,595	8,500	0	0	0	0	0	0	0	0	7,352
339.21988-Child Supp Rev	78	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	78
339.21989-Mult Agen Train	12,175	0	0	0	0	12,000	12,000	0	1,612	9,341	52	0	1,047	0	0	0	12,123
339.21990-OCTF Crime Forf	3,429	0	1,372	0	0	0	1,372	0	0	1,372	0	0	0	0	0	0	3,429
339.21991-DMNA-Seiz Asset	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.21992-Critical Infras	274	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	274
339.21993-Radon Detection	924	0	20	0	0	20	20	0	0	12	0	0	0	0	0	2	930
339.21994-Insurance Dept	198,075	0	291,248	0	0	0	291,248	61,090	105,933	37,301	3,437	0	76,930	0	0	0	204,632
339.21995-Workers' Compn	32,049	0	226,202	0	0	0	226,202	89,608	56,088	56,088	2,306	0	57,863	0	0	36,352	16,034
339.21996-Fire Protection	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.21998-Public Work Enf	14,232	0	3,982	0	0	0	3,982	0	1,998	221	62	0	1,493	0	0	128	14,312
339.21999-Asset Forfeitur	0	0	250	0	0	0	250	0	0	250	0	0	0	0	0	0	0
339.219AC-Non-Inv Wage Wi	(58)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(58)
339.219IG-Ins Genl Opems	(22)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(22)
339.219SA-Health Services	31	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	31
339.219XX-A&M-Aggregated	948	0	1,200	0	0	0	1,200	0	441	14	15	0	286	0	0	0	1,392
339.219YL-OGS Bldg Admin	12,772	0	10,000	0	0	0	10,000	0	0	1,141	0	0	0	0	0	10,772	10,859
339.219YN-OGS Std & Purch	9,401	0	5,660	0	0	0	5,660	897	897	1,869	29	0	583	0	0	3,000	8,683
339.219Z7-Just Ct Oper	(2,114)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2,114)
339.219ZV-S T A Research	(19)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(19)
339.22001-VESID SS	157	0	2,500	0	0	0	2,500	2,000	189	100	6	0	123	0	0	52	187
339.22003-Bell Jar Collec	332	0	1,875	0	0	0	1,875	0	600	459	23	0	427	0	0	118	580
339.22004-Ind & Util Serv	3,514	0	2,547	0	0	0	2,547	1,814	1,814	150	48	0	1,116	0	0	0	2,933
339.22008-Courts Special	2,081	0	2,400	0	0	0	2,400	500	500	1,300	0	0	180	0	0	220	2,281
339.22009-Asbestos Trning	31	0	867	0	0	0	867	0	292	2	7	0	207	0	0	0	390
339.22010-IMP R P Tax Adm	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.22011-Public Service	48,905	0	87,082	0	0	0	87,082	0	45,442	5,964	1,626	0	30,845	0	0	9,271	42,839
339.22012-Atty Licensing	6,146	0	36,000	0	0	0	36,000	15,570	15,570	4,860	0	0	7,800	0	0	2,270	11,646
339.22014-DSS Prov Recovs	199	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	199
339.22015-Crimes Against	560	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	560
339.22017-Camp Smith Bill	75	0	197	0	0	0	197	0	0	0	0	0	0	0	0	0	272
339.22018-Fire Safe Cigar	0	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	0

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)
FY 2021

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.22020-Comm Feed Lic	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.22021-Regulation of M	2,612	0	20	0	0	0	20	0	0	0	0	0	0	0	0	20	2,612
339.22022-College Savings	22,065	0	813	0	0	0	813	0	198	75	18	0	150	0	0	0	22,437
339.22023-Discover Queens	25	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	25
339.22024-Reven Arraeage	100,432	0	25,000	0	0	0	25,000	0	1,684	2,500	47	0	1,025	0	0	24,709	95,467
339.22025-Comm Svce Assis	8,475	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	8,475
339.22026-Cell Phone Towe	4,612	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4,612
339.22027-Spec Conserv Ac	30	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	30
339.22028-State Central R	6,024	0	4,600	0	0	0	4,600	0	134	0	4	0	87	0	0	1,822	8,577
339.22029-Plant Industry	624	0	529	0	0	0	529	0	550	30	17	0	352	0	0	0	204
339.22032-Batavia School	(8,615)	0	9,600	0	0	900	10,500	0	5,578	628	202	0	3,624	0	0	522	(8,669)
339.22034-Investment Serv	2,255	0	4,038	0	0	0	4,038	0	2,150	673	66	0	1,285	0	0	0	2,119
339.22035-Diabetes Resear	48	0	6	0	0	0	6	0	0	0	0	0	0	0	0	0	54
339.22037-Keep Kids Drug	70	0	9	0	0	0	9	0	0	0	0	0	0	0	0	0	79
339.22038-OPWDD Day Servi	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22039-OSDC Finan Over	(2,730)	0	4,848	0	0	0	4,848	0	3,010	125	76	0	1,770	0	0	0	(2,863)
339.22040-Senate Recyclab	651	0	20	0	0	0	20	0	0	0	0	0	0	0	0	0	671
339.22041-Medicaid Fraud	15,811	0	15,326	0	0	0	15,326	0	7,745	2,223	213	0	5,145	0	0	0	15,811
339.22042-DED Marketing A	3,309	0	1,944	0	0	0	1,944	0	63	1,710	2	0	28	0	0	131	3,319
339.22044-Tug Hill Admin	152	0	38	0	0	0	38	0	30	3	0	0	0	0	0	10	147
339.22045-Settlement Enf	387	0	541	0	0	0	541	491	0	50	0	0	0	0	0	0	387
339.22046-Regulation of I	(89,919)	0	13,388	0	0	0	13,388	0	8,884	675	297	0	6,069	0	0	779	(93,235)
339.22047-NYS FLEX Spend	777	0	300	0	0	0	300	0	0	306	0	0	0	0	0	0	771
339.22050-Crime Victims B	5	0	105	0	0	0	105	0	0	0	0	0	0	0	0	105	5
339.22051-Ofc of Professi	51,794	0	56,852	0	0	0	56,852	0	20,745	9,420	811	0	15,360	0	0	34,530	27,780
339.22052-Armony Rental A	3,061	0	0	0	0	0	0	0	0	592	0	0	0	0	0	0	2,469
339.22053-Rome School	(3,369)	0	9,600	0	0	1,020	10,620	0	4,181	652	152	0	2,716	0	0	436	(886)
339.22054-Seized Assets	(1,509)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1,509)
339.22055-Traf Adjudicatn	(25,751)	0	44,500	0	0	0	44,500	0	18,869	2,803	639	0	14,459	0	0	8,090	(26,111)
339.22056-Fed Salary Shar	(1)	0	0	0	0	2,783	2,783	419	1,422	0	40	0	887	0	0	0	14
339.22057-Cook/Chill Acco	1,767	0	1,000	0	0	0	1,000	0	0	1,000	0	0	0	0	0	0	1,767
339.22060-Credential Svcs	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22061-Seized Assets	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.22062-NYC Assessment	17,188	0	79,653	0	0	0	79,653	0	36,881	23,563	1,458	0	17,751	0	0	2,409	14,779
339.22063-Cultural Educat	(4,175)	0	29,865	0	0	0	29,865	0	12,076	8,095	423	0	7,845	0	0	2,393	(5,142)
339.22064-Distance Learn	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.22065-Exam & Misc Rev	(235)	0	125	0	0	0	125	0	376	0	12	0	244	0	0	1,961	(2,703)
339.22067-Trans Regul Acc	10,329	0	91	0	0	0	91	0	9	67	1	0	171	0	0	0	1,956
339.22068-Cons Prot Act	2,113	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2,113
339.22070-OER NASDER	(4)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(4)
339.22071-Fin Aid Audit	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22072-8th Air Force H	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.22074-FMS Account	32	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	32
339.22075-Funeral	2,371	0	470	0	0	0	470	0	217	7	8	0	153	0	0	95	2,361
339.22076-FSHRP	13	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	13
339.22077-Educ Archives	41	0	15	0	0	0	15	0	0	15	0	0	0	0	0	0	41
339.22078-Local Services	1,174	0	1,153	0	0	0	1,153	0	750	50	20	0	392	0	0	0	1,115
339.22080-Adult Shelter	24,833	0	2,600	0	0	0	2,600	0	0	0	0	0	0	0	0	0	27,433
339.22081-QAA Earned Rev	417	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	417
339.22082-Family Pres Svc	3,657	0	0	0	0	3,618	3,618	2,687	0	0	0	0	0	0	0	0	4,588

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)
FY 2021

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.22083-Electronic Bene	47	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	47
339.22084-Federal- Seized	0	0	0	0	0	0	0	0	0	38	0	0	0	0	0	0	(38)
339.22085-DHCR Mortgage S	(15,473)	0	3,833	0	0	0	3,833	0	4,254	4	0	0	0	0	0	473	(16,371)
339.22086-OMH-Research OH	80	0	2,848	0	0	0	2,848	0	103	2,817	0	0	0	0	0	0	8
339.22087-DMV- Compulsory	770	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	770
339.22088-Prof Medic Cond	4,285	0	24,900	0	0	0	24,900	990	10,622	6,013	335	0	7,477	0	0	4,138	(390)
339.22089-Hwy Const & Ma	1,933	0	260	0	0	0	260	0	0	135	0	0	0	0	0	0	2,058
339.22090-Housing Indirec	663	0	193	0	0	5,739	5,739	0	2,379	5	0	0	0	0	0	465	3,653
339.22091-Adult Home Qual	3,609	0	0	0	0	0	0	0	0	0	0	0	0	0	0	21	3,781
339.22092-Homeless Hsg	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22093-COCOT	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.22094-Accid Prevent C	245	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	245
339.22095-IG Szd Assets	104	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	104
339.22096-Leg Svcs Assist	42,896	0	25,100	0	0	0	25,100	19,265	0	0	0	0	0	0	0	9,830	38,901
339.22097-Loc Pub Hlth	8,741	0	3,405	0	0	0	3,405	0	171	2	10	0	137	0	0	59	11,767
339.22099-Voting Mach Exa	114	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	114
339.22100-DHCR HCA Applic	(6,008)	0	5,000	0	0	0	5,000	0	2,700	13	88	0	1,584	0	0	790	(6,183)
339.22101-EPIC Premium Ac	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.22102-Drug Enforce Ta	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.22103-Vital Records M	9,432	0	4,840	0	0	0	4,840	0	598	438	19	0	457	0	0	4,710	8,050
339.22104-CHCCDP Transfer	37	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	37
339.22105-Tobacco Enforce	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.22108-Hwy Rev/Soc Sec	1,043	0	210	0	0	0	210	0	0	237	0	0	0	0	0	0	1,016
339.22109-Conference & Sp	145	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	145
339.22110-Assisted Living	2,051	0	259	0	0	0	259	250	0	0	0	0	0	0	0	9	2,051
339.22111-OCFS Program	389	0	0	0	0	0	0	0	0	658	0	0	0	0	0	0	(269)
339.22112-OTDA Income Acc	139	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	139
339.22114-Disabil Determs	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.22115-OPWDD Jt Clin O	39	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	39
339.22116-Special Medical	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.22117-Litigation Sett	13,423	0	76,778	0	0	0	76,778	0	19,097	33,017	831	0	15,128	0	0	8,705	13,423
339.22118-Animal Populati	412	0	855	0	0	0	855	0	0	867	0	0	0	0	0	0	400
339.22119-Love Your Libra	176	0	6	0	0	0	6	0	0	0	0	0	0	0	0	0	182
339.22120-DISTINCTIVE PLA	0	0	0	0	0	0	0	0	15	0	1	0	9	0	0	0	(25)
339.22122-Local Wireless	123	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	123
339.22123-Pub Safe Commun	109,814	0	137,000	0	0	0	137,000	46,269	25,502	34,214	0	0	0	0	0	38,411	102,418
339.22124-Cuba Lake Mgmt	166	0	200	0	0	0	200	0	0	210	0	0	0	0	0	0	156
339.22126-St Justice Inst	73	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	73
339.22128-Med Reimb Acct	897	0	1,500	0	0	0	1,500	1,000	0	0	0	0	0	0	0	0	1,397
339.22130-Low Inc Housing	4,069	0	3,631	0	0	0	3,631	0	2,192	6	72	0	812	0	0	394	4,224
339.22131-Medicaid Inquir	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22132-New York Alert	57	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	57
339.22133-Procure Op News	176	0	0	0	0	0	0	0	40	135	0	0	0	0	0	0	1
339.22134-OVS RESTITUTION	613	0	593	0	0	0	593	0	487	110	0	0	0	0	0	10	599
339.22135-EFC Corp Admin	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.22136-Food Prod Ctr	295	0	1,323	0	0	0	1,323	0	219	1,052	6	0	106	0	0	0	235
339.22137-Pet Dealer	5	0	32	0	0	0	32	0	0	0	0	0	0	0	0	0	37
339.22138-Auth Bdgt Office	1,241	0	2,088	0	0	1,826	3,914	0	1,024	254	31	0	715	0	0	45	3,086
339.22139-Patient Safety	4,296	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4,296
339.22140-Helen Hayes Hos	6,527	0	3,115	0	0	59,583	62,698	0	35,343	21,220	21	0	2,423	0	0	1,591	8,627

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2021

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.22141-NYC Veterans	343	0	350	0	0	30,837	31,187	0	18,399	8,735	12	0	7,157	0	0	473	(3,246)
339.22142-NYS Home-Vetera	230	0	120	0	0	23,669	23,789	0	15,422	6,313	9	0	182	0	0	575	1,518
339.22143-WNY Vets Home	1,075	0	55	0	0	12,708	12,763	0	8,738	4,196	5	0	94	0	0	159	646
339.22144-Montrose S V H	2,213	0	30	0	0	27,785	27,815	0	19,182	7,742	11	0	216	0	0	264	2,613
339.22145-DOH Hospital Ho	1,199	0	0	0	0	131,894	131,894	0	0	0	0	0	0	0	0	123,745	9,348
339.22146-HEAP Earned Rev	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.22147-Quality of Care	10,774	0	1,387	0	0	0	1,387	1,000	0	0	0	0	0	0	0	0	11,161
339.22149-Motor Fuel Qual	951	0	2,800	0	0	0	2,800	0	1,186	1,214	41	0	770	0	0	0	540
339.22150-Weights Measure	65	0	325	0	0	0	325	0	230	101	8	0	149	0	0	0	(98)
339.22151-Defer Comp Adm	(118)	0	820	0	0	0	820	0	381	124	23	0	247	0	0	63	(136)
339.22152-Hazard Abatement	965	0	200	0	0	0	200	150	0	0	0	0	0	0	0	0	1,015
339.22153-Education Stats	995	0	0	89	0	0	89	0	0	34	0	0	0	0	0	0	1,050
339.22154-Real Estate Fin	2,674	0	3,470	0	0	0	3,470	0	1,271	1,381	42	0	776	0	0	0	2,674
339.22156-NYC Rent Rev	13,748	0	0	0	0	0	0	0	0	0	0	0	0	0	0	6,761	6,987
339.22157-Medicaid Income	2,423	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2,423
339.22158-Rent Revenue	273	0	550	0	0	0	550	0	366	0	12	0	125	0	0	42	278
339.22159-CSFP Salvage Ac	98	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	98
339.22161-ES Stem Cell Tr	2,691	0	0	0	0	30,555	30,555	0	485	25,882	14	0	326	0	0	510	6,029
339.22162-Systems & Tech	13,993	0	7,300	0	0	0	7,300	0	757	120	29	0	692	0	0	5,320	14,375
339.22163-Paron Services	15,681	0	52,416	0	0	0	52,416	0	29,179	20,135	0	0	1,159	0	0	13,192	4,432
339.22165-Trans Aviatn	1,384	0	4,410	0	0	0	4,410	0	0	4,709	0	0	0	0	0	0	1,085
339.22166-Teacher Ed Accr	11	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	11
339.22167-Training Academ	353	0	10	0	0	0	10	0	0	10	0	0	0	0	0	0	353
339.22168-Tax Rev Airrear	2,673	0	0	0	0	1,500	1,500	0	0	1,000	0	0	0	0	0	500	2,673
339.22169-TSCR Account	163,245	0	514,940	0	0	0	514,940	154,024	0	0	0	0	0	0	0	329,761	194,400
339.22170-Statewide Gamn	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22171-Recruitment Inc	964	0	39	0	0	2,087	2,126	0	0	3,055	0	0	0	0	0	0	35
339.22172-Undgrnd Sfty T	4,259	0	110	0	0	0	110	0	0	0	0	0	0	0	0	175	4,194
339.22173-Vol Fire Rec&Re	1,178	0	200	0	0	0	200	200	0	0	0	0	0	0	0	0	1,178
339.22174-HAVA Match	1,303	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,303
339.22175-VRSS	16	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	16
339.22177-Occ Hlth Clinic	1,965	0	9,000	0	0	0	9,000	9,560	332	11	12	0	207	0	0	76	767
339.22178-Crim Back Check	401	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	401
339.22180-SR-Connections	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22181-NYS Water Rescu	14	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	14
339.22182-OWIG Adm Reimb	205	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	205
339.22184-Wine Industry	5	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5
339.22185-Assembly Recyc	742	0	40	0	0	0	40	0	0	0	0	0	0	0	0	0	782
339.22186-Yth Fac PerDiem	263	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	263
339.22187-Provider Assess	623	0	875,000	0	0	0	875,000	875,000	0	0	0	0	0	0	0	0	623
339.22188-Fed Indirect Re	83	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	83
339.22189-DOCS Asset Forf	248	0	314	0	0	0	314	0	0	314	0	0	0	0	0	0	248
339.22190-Conference&Sign	32	0	0	0	0	0	0	0	0	0	0	0	0	0	0	36	(4)
339.22191-Educ Assessment	6	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	6
339.22192-Tax Ret Prep Fe	9,324	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	9,324
339.22193-Sales Tax Re Fe	12	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	12
339.22195-Equitable Shari	2,702	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2,702
339.22196-C & F Qual Enha	14	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	14
339.22197-ULTVI Radia Dev	733	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	733
339.22198-HEP	40	0	0	0	0	0	0	0	0	300	0	0	0	0	0	0	(260)

CASH COMBINING STATEMENT BY ACCOUNT
 MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2021

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.22199-Airport Securit	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22202-SBCI Account	13	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	13
339.22203-Article X Inter	107	0	100	0	0	0	100	100	0	0	0	0	0	0	0	0	107
339.22206-Wholesale Mkt	6,887	0	0	0	0	0	0	0	0	700	0	0	0	0	0	0	6,187
339.22207-Tech Financing	47	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	47
339.22208-Offender Progra	0	0	200	0	0	0	200	0	0	200	0	0	0	0	0	0	0
339.22212-Lake George Inv	32	0	350	0	0	0	350	0	36	285	10	0	21	0	0	0	30
339.22213-BOE Enforcement	744	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	744
339.22214-Fireworks Reven	1,216	0	320	0	0	0	320	0	161	0	5	0	113	0	0	0	1,257
339.22215-Delivery Transf	1,907	0	1,000	0	0	0	1,000	0	0	1,000	0	0	0	0	0	0	1,907
339.22217-Eq Sh DTF Just	103	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	103
339.22218-Eq Sh DTF Treas	110	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	110
339.22222-Eq Sh Law Treas	0	0	666	0	0	0	666	0	0	0	0	0	0	0	0	0	666
339.22231-Eq Sh DEC Justi	176	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	176
339.22233-Eq Shar-DMN Jus	207	0	260	0	0	0	260	0	0	0	0	0	0	0	0	0	467
339.22235-Insitt Accred	448	0	570	0	0	0	570	0	225	0	6	0	171	0	0	179	437
339.22238-Eq Sh PRK Treas	6	0	50	0	0	0	50	0	0	50	0	0	0	0	0	0	6
339.22239-Opioid Steward	579	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	579
339.22240-NYS Med Indimty	(529)	0	1,541	0	0	0	1,541	0	910	0	50	0	581	0	0	0	(529)
339.22243-Securing Cities	52	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	52
339.22246-Behav Hlth Par	0	0	1,500	0	0	0	1,500	1,500	0	0	0	0	0	0	0	0	0
339.22247-Ent Div Job Tr	0	0	0	0	0	621	621	0	0	0	0	0	0	0	0	0	621
339.22248-CJ Discov Comp	0	0	40,000	0	0	0	40,000	40,000	0	0	0	0	0	0	0	0	0

**CASH COMBINING STATEMENT
CAPITAL PROJECTS FUNDS
FY 2021**
(thousands of dollars)

	STATE CAPITAL PROJECTS (3000-30049)	DEDICATED HIGHWAY AND BRIDGE TRUST (3050-30059)	STATE UNIVERSITY RESIDENCE HALLS REHABILITATION AND REPAIR (30100-30299)	NEW YORK STATE CANAL SYSTEM DEVELOPMENT (30300-30349)	STATE PARK INFRASTRUCTURE (30350-30399)	STATE PARK (30350-30399)	PASSENGER FACILITY CHARGE (30400-30449)	ENVIRONMENTAL PROTECTION (30450-30499)	THROUGH IMPROVED TRANSPORTATION (30600-30609)	ENERGY CONSERVATION (30600-30609)	PURE WATERS BOND (30620-30629)	TRANSPORTATION CAPITAL FACILITIES BOND (30630-30639)
Opening Fund Balance	0	(33,475)	148,838	14,059	(43,951)	0	15	72,684	164	668	3,328	
Receipts:												
Taxes	0	1,101,913	0	0	0	0	0	119,100	0	0	0	0
Miscellaneous Receipts	4,011,970	1,408,535	0	500	127,300	0	0	107,650	0	0	0	0
Federal Grants	0	4,505	0	0	0	0	0	0	0	0	0	0
Total Receipts	4,011,970	2,514,953	0	500	127,300	0	0	226,750	0	0	0	0
Disbursements:												
Local Assistance	3,182,419	59,681	0	0	0	0	0	0	0	0	0	0
State Operations	(13)	0	0	0	0	0	0	0	0	0	0	0
General State Charges	(8)	0	0	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	3,694,228	2,000,979	67,250	500	147,300	0	0	252,340	0	0	0	0
Total Disbursements	6,876,626	2,060,660	67,250	500	147,300	0	0	252,340	0	0	0	0
Other Financing Sources (Use):												
Transfers from Other Funds	2,871,531	1,018,093	81,000	0	0	0	0	19,815	0	0	0	0
Transfers to Other Funds	(6,875)	(1,472,577)	(6,000)	0	0	0	0	0	(25)	(340)	0	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	25	340	25	0
Net Other Financing Sources (Use)	2,864,656	(454,484)	75,000	0	0	0	0	19,815	0	0	0	0
Change in Fund Balance	0	(191)	7,750	0	(20,000)	0	0	(5,775)	0	0	0	0
Closing Fund Balance	0	(33,666)	156,588	14,059	(63,951)	0	15	66,909	164	668	3,328	

	ENVIRONMENTAL PROTECTION BOND ACT (1972) (30640-30649)	REBUILD AND RENEW NEW YORK TRANSPORTATION BOND (30650-30659)	TRANSPORTATION INFRASTRUCTURE RENEWAL BOND (30660-30669)	ENVIRONMENTAL QUALITY BOND ACT (1986) (30670-30679)	ACCELERATED CAPACITY AND TRANSPORTATION IMPROVEMENTS BOND (30680-30689)	CLEAN WATER/ CLEAN AIR BOND (30690-30699)	FEDERAL CAPITAL PROJECTS (31350-31449)	FOREST PRESERVE EXPANSION (31450-31499)	HAZARDOUS WASTE REMEDIAL (31500-31549)	SUBURBAN TRANSPORTATION (31650-31699)
Opening Fund Balance	1,419	17,329	4,255	5,551	2,778	1,428	(562,692)	1,079	(80,472)	538
Receipts:										
Taxes	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	0	0	0	0	0	0	0	0	103,250	0
Federal Grants	0	0	0	0	0	0	2,177,372	0	0	0
Total Receipts	0	0	0	0	0	0	2,177,372	10	103,250	0
Disbursements:										
Local Assistance	0	0	0	0	0	0	705,981	0	0	0
State Operations	0	0	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	1,062,148	12	98,182	0
Total Disbursements	0	0	0	0	0	0	1,768,129	12	98,182	0
Other Financing Sources (Use):										
Transfers from Other Funds	0	0	0	0	0	0	0	0	8,686	0
Transfers to Other Funds	(738)	(3,908)	(25)	(3,608)	(25)	(3,848)	(401,673)	0	(25,200)	0
Bond & Note Proceeds	615	3,908	25	4,807	25	4,848	0	0	0	0
Net Other Financing Sources (Use)	(123)	0	0	1,199	0	1,000	(401,673)	0	(16,514)	0
Change in Fund Balance	(123)	0	0	1,199	0	1,000	7,570	(2)	(11,446)	0
Closing Fund Balance	1,296	17,329	4,255	6,750	2,778	2,428	(555,122)	1,077	(91,918)	538

**CASH COMBINING STATEMENT
CAPITAL PROJECTS FUNDS
FY 2021**
(thousands of dollars)

	DIVISION FOR YOUTH FACILITIES IMPROVEMENT (31700-31749)	HOUSING ASSISTANCE (31800-31849)	HOUSING PROGRAM (31850-31899)	NATURAL RESOURCE DAMAGES (31900-31949)	TRANSPORTATION ENGINEERING SERVICES (31950-31999)	STATE UNIVERSITY CAPITAL PROJECTS (32400-32999)	MISCELLANEOUS CAPITAL PROJECTS (32200-32499)	CITY UNIVERSITY OF NEW YORK CAPITAL PROJECTS (32250-32299)	MENTALHYGIENE FACILITIES CAPITAL IMPROVEMENT (32300-32349)	CORRECTIONAL FACILITIES CAPITAL IMPROVEMENT (32350-32399)
Opening Fund Balance	(21,235)	(12,942)	(216,259)	17,114	(11,969)	176,989	114,774	17	(355,825)	(315,985)
Receipts:										
Taxes	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	16,462	0	672,255	1,000	0	66,250	22,268	0	344,218	231,941
Federal Grants	0	0	0	0	0	0	0	0	0	0
Total Receipts	16,462	0	672,255	1,000	0	66,250	22,268	0	344,218	231,941
Disbursements:										
Local Assistance	0	0	677,067	0	0	0	0	0	88,800	0
State Operations	0	0	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	18,431	0	0	1,017	0	66,250	82,335	0	257,168	334,793
Total Disbursements	18,431	0	677,067	1,017	0	66,250	82,335	0	345,968	334,793
Other Financing Sources (Use):										
Transfers from Other Funds	0	0	4,875	0	0	2,200	66,268	0	5,000	102,852
Transfers to Other Funds	0	0	0	0	0	(2,200)	0	0	0	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	(1,969)	0	4,875	(17)	0	0	66,268	0	5,000	102,852
Change in Fund Balance	(23,204)	(12,942)	(216,196)	17,097	(11,969)	176,989	120,975	17	(352,575)	(315,985)
Closing Fund Balance										

	SMART SCHOOLS IMPROVEMENT (30710-30749)	NEW YORK STATE STORAGE (33000-33049)	DEDICATED INFRASTRUCTURE (33050-33099)	MOTHER LOAN FUND (33100-33149)	CAPITAL PROJECTS OTHER	Sub Total	Eliminations	Financial Plan
Opening Fund Balance	0	(49,662)	86,513	0	0	(1,034,927)	0	(1,034,927)
Receipts:								
Taxes	0	0	0	0	0	1,221,013	0	1,221,013
Miscellaneous Receipts	0	7,000	1,000,000	0	1	8,120,610	0	8,120,610
Federal Grants	0	0	0	0	0	2,181,877	0	2,181,877
Total Receipts	0	7,000	1,000,000	0	1	11,523,500	0	11,523,500
Disbursements:								
Local Assistance	0	0	406,854	0	0	5,120,802	0	5,120,802
State Operations	0	0	0	0	0	(13)	0	(13)
General State Charges	0	0	0	0	0	(8)	0	(8)
Debt Service	0	0	0	0	0	0	0	0
Capital Projects	0	7,000	748,432	0	0	8,838,365	0	8,838,365
Total Disbursements	0	7,000	1,155,286	0	0	13,959,146	0	13,959,146
Other Financing Sources (Use):								
Transfers from Other Funds	0	0	312,967	0	0	4,493,287	(779,290)	3,713,997
Transfers to Other Funds	(350,000)	0	0	0	0	(2,277,067)	779,290	(1,497,777)
Bond & Note Proceeds	350,000	0	0	0	0	364,618	0	364,618
Net Other Financing Sources (Uses)	0	0	312,967	0	0	2,580,838	0	2,580,838
Change in Fund Balance	0	(49,662)	157,681	0	1	145,192	0	145,192
Closing Fund Balance	0	(49,662)	244,194	0	1	(889,735)	0	(889,735)

CASH COMBINING STATEMENT
DEBT SERVICE
FY 2021

	MENTAL HEALTH SERVICES (40100-40149)	GENERAL DEBT SERVICE (40150-40199)	HOUSING DEBT (40250-40299)	DEPARTMENT OF HEALTH INCOME (40300-40349)	CLEAN WATER/CLEAN AIR (40400-40449)	LOCAL GOVERNMENT ASSISTANCE TAX (40450-40499)	Sub Total	Eliminations	Financial Plan
Opening Fund Balance	31,085	0	0	32,303	0	0	63,388	0	63,388
Receipts:									
Taxes	0	27,449,095	0	0	829,900	2,924,750	31,203,745	0	31,203,745
Miscellaneous Receipts	225,899	0	3,404	143,702	0	500	373,505	0	373,505
Federal Grants	0	73,552	0	0	0	0	73,552	0	73,552
Total Receipts	225,899	27,522,647	3,404	143,702	829,900	2,925,250	31,650,802	0	31,650,802
Disbursements:									
Local Assistance	0	0	0	0	0	0	0	0	0
State Operations	1,040	38,503	0	2,680	0	1,434	43,657	0	43,657
General State Charges	0	0	0	0	0	0	0	0	0
Debt Service	9,774	10,233,023	3,504	25,646	0	82,406	10,354,353	0	10,354,353
Capital Projects	0	0	0	0	0	0	0	0	0
Total Disbursements	10,814	10,271,526	3,504	28,326	0	83,840	10,398,010	0	10,398,010
Other Financing Sources (Uses):									
Transfers from Other Funds	1,456,568	2,124,011	100	31,260	0	0	3,611,939	(340,348)	3,271,591
Transfers to Other Funds	(1,671,698)	(19,375,130)	0	(154,028)	(829,900)	(2,841,410)	(24,872,166)	340,348	(24,531,818)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	(215,130)	(17,251,119)	100	(122,768)	(829,900)	(2,841,410)	(21,260,227)	0	(21,260,227)
Change in Fund Balance	(45)	2	0	(7,392)	0	0	(7,435)	0	(7,435)
Closing Fund Balance	31,040	2	0	24,911	0	0	55,953	0	55,953

**CASH COMBINING STATEMENT BY ACCOUNT
INTERNAL SERVICE
FY 2021**
(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
323.55000-Centril Services	(10)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(10)
323.55009-Admin Support	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
323.55010-Design & Constr	(21,792)	0	70,626	0	0	0	70,626	0	30,603	15,731	943	0	21,379	0	0	1,866	70,522	(21,688)
323.55020-OGS Ent Contr	(55,519)	0	154,000	0	0	0	154,000	0	628	153,055	20	0	408	0	0	0	154,111	(55,630)
323.55022-Business Srv Ct	(26,917)	0	0	0	0	26,916	26,916	0	27,500	2,500	0	0	0	0	0	0	30,000	(30,001)
323.550XX-Misc Centril Srv	107	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	107
323.5502X-OGS Exec Direct	269	0	115,000	0	0	9,628	124,628	0	4,652	109,792	150	0	3,022	0	0	4,248	121,864	3,033
323.5502Y-OGS Bldg Admin	(4,552)	0	24,129	0	0	20,272	44,401	0	2,320	28,256	75	0	1,507	0	0	0	32,158	7,691
323.5502Z-OGS Std & Purch	(3,475)	0	11,257	0	0	0	11,257	0	3,359	5,539	108	0	2,182	0	0	0	11,188	(3,406)
334.55050-Agencies Int Sv	13	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	13
334.55052-Archives R	(101)	0	1,729	0	0	0	1,729	0	955	114	28	0	543	0	0	0	1,640	(12)
334.55053-Fedl Single Aud	2,295	0	1,500	0	0	0	1,500	0	0	1,500	0	0	0	0	0	0	1,500	2,295
334.55054-Quick Copy Cent	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
334.55055-CS Administrat	987	0	5,963	0	0	0	5,963	0	2,792	500	90	0	1,814	0	0	1,651	6,847	103
334.55056-EHS Occup Hlth	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
334.55057-Banking Service	(7)	0	500	0	0	44,050	44,550	0	0	44,550	0	0	0	0	0	0	44,550	(7)
334.55058-Cult Resources	(2,380)	0	7,329	0	0	0	7,329	0	1,484	4,082	44	0	926	0	0	284	6,820	(1,871)
334.55059-Neighbor Work P	(11,226)	0	8,200	0	0	1,000	9,200	0	0	9,200	0	0	0	0	0	0	9,200	(11,226)
334.55060-Auto/Print Chgb	541	0	18,878	0	0	0	18,878	0	8,743	4,345	0	0	5,452	0	0	0	18,540	879
334.55061-NYT Account	(2,227)	0	2,000	0	0	0	2,000	0	0	2,000	0	0	0	0	0	0	2,000	(2,227)
334.55062-State Data Ctr	(41,893)	0	48,200	0	0	0	48,200	0	0	48,200	0	0	0	0	0	0	48,200	(41,893)
334.55063-Human Svcs Tele	4	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4
334.55065-OPWDD Copy Cent	831	0	150	0	0	0	150	0	0	150	0	0	0	0	0	0	150	831
334.55066-Intrusion Dete	(1,263)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1,263)
334.55067-Dom Violence Cr	(51)	0	843	0	0	0	843	0	737	103	3	0	0	0	0	0	843	(51)
334.55068-Statewide Train	93	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	93
334.55069-Centralized Tec	(67,477)	0	66,502	0	0	15,111	81,613	0	0	72,067	0	0	0	0	0	0	72,067	(57,951)
334.55070-Learning Mgmt S	1,575	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,575
334.55071-Labor Cont Ctr	(24)	0	2,021	0	0	0	2,021	0	1,136	164	27	0	654	0	0	0	1,981	16
334.55072-HS Cont Ctr	2,131	0	17,971	0	0	0	17,971	0	9,042	3,158	268	0	5,503	0	0	0	17,971	2,131
334.55074-Civil Recoverie	6,275	0	16,700	0	0	0	16,700	0	7,719	3,901	264	0	4,816	0	0	0	16,700	6,275
334.550M1-Personnel Mgmt	29	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	29
334.550XZ-Misc Intl Srv	(116)	0	1,600	0	0	0	1,600	0	652	500	17	0	401	0	0	0	1,570	(116)
343.55100-Mental Hygiene	(5)	0	25	0	0	0	25	0	0	0	0	0	0	0	0	0	0	25
347.55150-DFY Voc Educatn	83	0	1,000	0	0	0	1,000	0	912	406	30	0	592	0	0	0	1,940	(346)
394.55200-Joint Labor-Mgt	594	0	2,948	0	0	0	2,948	0	1,655	178	57	0	1,058	0	0	0	2,948	(8,783)
395.55251-Ex Dir Intl Aud	(8,783)	0	28,890	0	0	0	28,890	0	3,600	17,666	389	0	7,235	0	0	0	28,890	(28,767)
395.55252-CIO INFO TECH C	(10,493)	0	14,121	0	0	12,000	26,121	0	9,150	1,700	296	0	5,944	0	0	3,428	20,518	(4,890)
396.55300-Health Insuranc	(3,770)	0	4,500	0	0	0	4,500	0	1,850	335	60	0	1,202	0	0	639	4,086	(3,356)
396.55301-CS EBD Adm Reim	(22,506)	0	44,000	0	0	20,773	64,773	0	25,855	34,831	535	0	12,011	0	0	357	73,589	(31,322)

CASH COMBINING STATEMENT BY ACCOUNT
ENTERPRISE
FY 2021
(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
324.50000-DFY Commissary	104	0	120	0	0	0	120	0	0	120	0	0	0	0	0	0	120	104
325.50050-State Fair Rece	1,586	0	475	0	0	3,000	3,475	0	2,929	2,362	4	0	88	0	0	0	5,383	(322)
326.50100-DOCS Commissary	3,756	0	44,262	0	0	0	44,262	0	0	44,180	0	0	0	0	0	0	44,180	3,838
331.50301-Mental Disab Pr	17	0	7	0	0	0	7	0	0	7	0	0	0	0	0	0	7	17
331.50302-DFY Products	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
331.50303-Aging Enterpris	0	0	2	0	0	0	2	0	0	2	0	0	0	0	0	0	2	0
331.50304-Maps And Demogr	13	0	1	0	0	0	1	0	0	0	0	0	0	0	0	0	0	14
331.50305-Empire St Games	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
331.50306-DSS Trng Matrs	182	0	24	0	0	0	24	0	0	0	0	0	0	0	0	0	0	206
331.50311-Arts & Crafts	1	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	1
331.50313-TRAID Services	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
331.50318-Convention Ctr	(347)	0	1,497	0	0	0	1,497	0	638	444	21	0	414	0	0	0	1,517	(367)
331.50319-Attica Emp Mess	2,218	0	1,256	0	0	0	1,256	0	288	803	10	0	167	0	0	0	1,268	2,206
331.50322-Asset Preservat	119	0	14	0	0	0	14	0	0	23	0	0	0	0	0	0	23	110
331.50323-Farm Program	577	0	629	0	0	0	629	0	123	455	2	0	63	0	0	0	643	563
331.50327-Emp Plz Gift Sh	(193)	0	500	0	0	0	500	0	110	334	4	0	71	0	0	0	519	(212)
331.50331-Retail Sales	1,276	0	1,250	0	0	0	1,250	0	750	500	0	0	0	0	0	0	1,250	1,276
331.50332-Golf	2,792	0	17,000	0	0	0	17,000	0	10,000	7,000	0	0	0	0	0	0	17,000	2,792
331.503ZZ-DDPC Pub Acct	0	0	10	0	0	0	10	0	0	10	0	0	0	0	0	0	10	0
331.ARMRT-Armory Rental	0	0	0	0	0	0	0	0	684	691	18	0	66	0	0	0	1,459	(1,459)
331.OGSPS-Parking Servs	0	0	8,092	0	0	0	8,092	0	2,900	3,612	94	0	1,884	0	0	1,000	9,490	(1,398)
331.OGSSE-Special Events	0	0	874	0	0	0	874	0	28	833	1	0	18	0	0	0	880	(6)
331.OGSSW-Solid Waste	0	0	105	0	0	0	105	0	100	5	3	0	65	0	0	0	173	(68)
351.50400-OMH Shelt Wkshs	2,220	0	2,200	0	0	0	2,200	0	0	2,200	0	0	0	0	0	0	2,200	2,220
352.50450-WR Shel Wkshp	1,922	0	950	0	0	0	950	0	0	1,050	0	0	0	0	0	0	1,050	1,822
353.50500-WH & MR Communi	4,809	0	2,200	0	0	0	2,200	0	383	1,172	10	0	238	0	0	0	1,803	5,206
353.50516-MR Community St	139	0	551	0	0	0	551	0	219	326	9	0	0	0	0	0	554	136
481.50650-UJ Benefit Fnd	862,460	17,728,730	0	42,271,270	0	0	60,000,000	0	0	0	0	60,000,000	0	0	0	0	60,000,000	862,460
481.50651-Interest Assess	5,964	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5,964
481.506FS-Federal Stimulu	(860,933)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(860,933)

STATE DEBT OUTSTANDING
SUMMARIZED BY FINANCING PROGRAM AND PROGRAM AREA
FY 2020 THROUGH FY 2025
(thousands of dollars)

	<u>FY 2020 Results</u>	<u>FY 2021 Updated</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>	<u>FY 2025 Projected</u>
GENERAL OBLIGATION BONDS	<u>2,131,500</u>	<u>2,814,681</u>	<u>3,111,325</u>	<u>3,425,447</u>	<u>3,630,915</u>	<u>3,767,439</u>
REVENUE BONDS						
Personal Income Tax	37,117,755	44,500,969	47,213,765	48,969,416	50,587,668	51,503,260
Sales Tax	11,542,330	10,716,360	11,730,102	12,524,503	13,361,059	14,020,073
Dedicated Highway	1,285,390	899,150	838,250	773,445	701,475	622,350
Mental Health Services	225,850	95,400	79,400	64,300	51,200	39,800
SUNY Dorms	47,525	5,495	5,495	-	-	-
Health Income	128,610	108,620	88,320	68,455	48,350	30,565
LGAC	253,110	90,135	-	-	-	-
Subtotal Revenue Bonds	<u>50,600,570</u>	<u>56,416,129</u>	<u>59,955,332</u>	<u>62,400,119</u>	<u>64,749,752</u>	<u>66,216,048</u>
SERVICE CONTRACT	<u>1,475,178</u>	<u>1,110,590</u>	<u>975,093</u>	<u>843,929</u>	<u>683,877</u>	<u>538,673</u>
TOTAL STATE-SUPPORTED ¹	<u>54,207,248</u>	<u>60,341,400</u>	<u>64,041,750</u>	<u>66,669,495</u>	<u>69,064,544</u>	<u>70,522,160</u>
BY PROGRAM AREA						
Economic Development & Housing	6,837,448	9,544,968	10,810,793	11,716,991	12,617,830	13,275,606
Education	17,707,615	18,455,093	18,899,433	19,102,448	19,202,003	19,069,150
Environment	2,927,873	3,399,534	3,838,772	4,269,999	4,676,355	5,021,473
Health & Mental Hygiene	4,278,535	4,795,294	5,261,096	5,698,391	6,035,702	6,347,592
State Facilities & Equipment	5,447,577	5,566,925	5,607,143	5,571,224	5,612,094	5,615,579
Transportation	16,373,610	18,258,841	19,505,588	20,269,962	20,916,910	21,192,760
LGAC ²	634,590	320,745	118,925	40,480	3,650	-
TOTAL STATE-SUPPORTED ¹	<u>54,207,248</u>	<u>60,341,400</u>	<u>64,041,750</u>	<u>66,669,495</u>	<u>69,064,544</u>	<u>70,522,160</u>

¹ Does not include liquidity financings expected to be repaid within FY 2021.

² Various LGAC series were refunded by Sales Tax Revenue Bonds, but continue to be included under the LGAC program area.

STATE DEBT OUTSTANDING
FY 2020 THROUGH FY 2025
(thousands of dollars)

	<u>FY 2020 Results</u>	<u>FY 2021 Updated</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>	<u>FY 2025 Projected</u>
SUBTOTAL STATE-SUPPORTED ¹	<u>54,207,248</u>	<u>60,341,400</u>	<u>64,041,750</u>	<u>66,669,495</u>	<u>69,064,544</u>	<u>70,522,160</u>
OTHER STATE DEBT OBLIGATIONS						
Contigent Contractual						
DASNY/MCFFA Secured Hospitals Program	135,480	104,395	77,635	59,300	40,055	28,715
Moral Obligation						
Housing Finance Agency	0	0	0	0	0	0
Other						
MBBA Prior Year School Aid Claims	104,165	67,985	30,000	0	0	0
SUBTOTAL OTHER STATE	<u>239,645</u>	<u>172,380</u>	<u>107,635</u>	<u>59,300</u>	<u>40,055</u>	<u>28,715</u>
GRAND TOTAL STATE-RELATED	<u>54,446,893</u>	<u>60,513,780</u>	<u>64,149,385</u>	<u>66,728,795</u>	<u>69,104,599</u>	<u>70,550,875</u>

¹ Does not include liquidity financings expected to be repaid within FY 2021.

STATE DEBT SERVICE
SUMMARIZED BY FINANCING PROGRAM AND PROGRAM AREA
FY 2020 THROUGH FY 2025
(thousands of dollars)

	<u>FY 2020 Results</u>	<u>FY 2021 Updated</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>	<u>FY 2025 Projected</u>
GENERAL OBLIGATION BONDS	268,175	241,125	304,589	330,400	358,416	415,330
REVENUE BONDS						
Personal Income Tax	2,368,000	4,232,361	5,050,247	5,426,054	5,565,969	5,594,926
Sales Tax	956,345	1,158,370	1,248,124	1,302,309	1,301,230	1,368,073
Dedicated Highway	448,181	106,687	107,572	111,637	115,215	114,597
Mental Health Services	7,096	9,774	0	0	0	(0)
Health Income	26,158	25,646	24,799	23,733	21,709	18,902
LGAC	300,785	82,406	-	-	-	-
Subtotal Revenue Bonds	<u>4,106,565</u>	<u>5,615,243</u>	<u>6,430,742</u>	<u>6,863,733</u>	<u>7,004,124</u>	<u>7,096,498</u>
SERVICE CONTRACT	<u>541,352</u>	<u>115,784</u>	<u>205,316</u>	<u>190,090</u>	<u>212,853</u>	<u>185,588</u>
LIQUIDITY FINANCING ¹						
Personal Income Tax Notes ²³	-	4,382,200	-	-	-	-
Service Contract Line of Credit	-	-	-	-	-	-
Subtotal Liquidity Financing	<u>-</u>	<u>4,382,200</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>
TOTAL STATE-SUPPORTED	<u>4,916,092</u>	<u>10,354,353</u>	<u>6,940,648</u>	<u>7,384,224</u>	<u>7,575,392</u>	<u>7,697,417</u>
BY PROGRAM AREA						
Economic Development & Housing	587,708	833,816	1,226,544	1,429,582	1,518,891	1,601,607
Education	1,216,823	1,473,161	1,921,963	2,074,639	2,185,297	2,262,662
Environment	257,168	388,436	508,481	440,521	472,542	471,759
Health & Mental Hygiene	383,252	458,700	546,531	510,219	601,431	546,334
State Facilities & Equipment	453,528	698,209	706,166	753,605	674,739	665,105
Transportation	1,716,827	1,870,275	1,916,568	2,095,783	2,085,151	2,146,245
LGAC ⁴	300,785	249,556	114,395	79,874	37,342	3,706
Liquidity Financing ¹	-	4,382,200	-	-	-	-
TOTAL STATE-SUPPORTED	<u>4,916,092</u>	<u>10,354,353</u>	<u>6,940,648</u>	<u>7,384,224</u>	<u>7,575,392</u>	<u>7,697,417</u>

¹ Interest on liquidity financings is expected to be reimbursed by Federal aid from the Coronavirus Relief Fund established by the CARES Act.

² FY 2021 short-term notes issued at a premium in order to generate \$4.5 billion of proceeds.

³ Personal Income Tax Notes were issued on a subordinate basis

⁴ Various LGAC series were refunded by Sales Tax Revenue Bonds, but continue to be included under the LGAC program area.

STATE DEBT SERVICE
FY 2020 THROUGH FY 2025
(thousands of dollars)

	<u>FY 2020 Results</u>	<u>FY 2021 Updated</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>	<u>FY 2025 Projected</u>
SUBTOTAL STATE-SUPPORTED ¹	<u>4,916,092</u>	<u>10,354,353</u>	<u>6,940,648</u>	<u>7,384,224</u>	<u>7,575,392</u>	<u>7,697,417</u>
OTHER STATE DEBT OBLIGATIONS						
Contigent Contractual						
DASNY/MCFFA Secured Hospitals Program ²	4,587	4,603	4,599	0	0	0
Moral Obligation						
Housing Finance Agency	161	0	0	0	0	0
Other						
MBBA Prior Year School Aid Claims	41,204	41,263	41,265	31,470	0	0
SUBTOTAL OTHER STATE	<u>45,952</u>	<u>45,866</u>	<u>45,864</u>	<u>31,470</u>	<u>0</u>	<u>0</u>
GRAND TOTAL STATE-RELATED	<u>4,962,044</u>	<u>10,400,219</u>	<u>6,986,512</u>	<u>7,415,694</u>	<u>7,575,392</u>	<u>7,697,417</u>

¹ Includes liquidity financings expected to be repaid within FY 2021.

² Debt service in the Secured Hospital Program that is assumed to be paid by the State is captured in the State-supported category.

STATE DEBT ISSUANCES
SUMMARIZED BY FINANCING PROGRAM AND PROGRAM AREA
FY 2020 THROUGH FY 2025
(thousands of dollars)

	<u>FY 2020 Results</u>	<u>FY 2021 Updated</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>	<u>FY 2025 Projected</u>
GENERAL OBLIGATION BONDS	-	848,476	487,620	512,628	412,637	389,998
REVENUE BONDS						
Personal Income Tax	3,403,060	9,444,379	5,156,232	4,496,172	4,477,476	3,983,456
Sales Tax	<u>1,423,725</u>	-	<u>1,718,744</u>	<u>1,498,724</u>	<u>1,492,492</u>	<u>1,327,819</u>
Subtotal Revenue Bonds	<u>4,826,785</u>	<u>9,444,379</u>	<u>6,874,976</u>	<u>5,994,896</u>	<u>5,969,968</u>	<u>5,311,275</u>
LIQUIDITY FINANCING						
Personal Income Tax Notes ^{1,2}	-	4,382,000	-	-	-	-
Service Contract Line of Credit	-	-	-	-	-	-
Subtotal Liquidity Financing	-	<u>4,382,000</u>	-	-	-	-
TOTAL STATE-SUPPORTED	<u>4,826,785</u>	<u>14,674,855</u>	<u>7,362,596</u>	<u>6,507,524</u>	<u>6,382,605</u>	<u>5,701,273</u>
BY PROGRAM AREA						
Economic Development & Housing	749,335	3,342,134	1,845,704	1,631,349	1,600,033	1,429,233
Education	861,055	1,450,757	1,293,617	1,143,381	1,121,433	1,001,721
Environment	410,525	731,586	758,452	670,367	657,499	587,312
Health & Mental Hygiene	477,020	803,399	741,454	655,343	642,763	574,149
State Facilities & Equipment	305,315	592,769	419,082	370,411	363,300	324,519
Transportation	2,023,535	3,372,210	2,304,287	2,036,673	1,997,577	1,784,339
Liquidity Financing	-	<u>4,382,000</u>	-	-	-	-
SUBTOTAL STATE-SUPPORTED	<u>4,826,785</u>	<u>14,674,855</u>	<u>7,362,596</u>	<u>6,507,524</u>	<u>6,382,605</u>	<u>5,701,273</u>

¹ Personal Income Tax Notes were issued on a subordinated basis.

² FY 2021 short-term notes issued at a premium in order to generate \$4.5 billion of proceeds.

STATE DEBT RETIREMENTS
SUMMARIZED BY FINANCING PROGRAM AND PROGRAM AREA
FY 2020 THROUGH FY 2025
(thousands of dollars)

	<u>FY 2020 Results</u>	<u>FY 2021 Updated</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>	<u>FY 2025 Projected</u>
GENERAL OBLIGATION BONDS	179,915	165,295	190,976	198,506	207,169	253,474
REVENUE BONDS						
Personal Income Tax	2,058,335	2,181,805	2,443,436	2,740,521	2,859,225	3,067,864
Sales Tax	291,395	825,970	705,002	704,323	655,936	668,805
Dedicated Highway	103,855	386,240	60,900	64,805	71,970	79,125
Mental Health Services	43,300	44,465	16,000	15,100	13,100	11,400
SUNY Dorms	21,935	19,095	-	-	-	-
Health Income	19,070	19,990	20,300	19,865	20,105	17,785
LGAC	318,370	162,975	90,135	-	-	-
Subtotal Revenue Bonds	<u>2,856,260</u>	<u>3,640,540</u>	<u>3,335,773</u>	<u>3,544,614</u>	<u>3,620,336</u>	<u>3,844,979</u>
SERVICE CONTRACT	<u>371,728</u>	<u>293,080</u>	<u>135,496</u>	<u>131,164</u>	<u>160,052</u>	<u>145,204</u>
LIQUIDITY FINANCING						
Personal Income Tax Notes ^{1,2}	-	4,382,000	-	-	-	-
Service Contract Line of Credit	-	-	-	-	-	-
Subtotal Liquidity Financing	<u>-</u>	<u>4,382,000</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>
TOTAL STATE-SUPPORTED	<u>3,407,903</u>	<u>8,480,915</u>	<u>3,662,245</u>	<u>3,874,284</u>	<u>3,987,557</u>	<u>4,243,657</u>
BY PROGRAM AREA						
Economic Development & Housing	758,990	634,613	579,879	725,152	699,191	771,459
Education	648,215	682,261	849,277	934,871	1,021,879	1,134,573
Environment	178,303	259,925	319,214	239,139	251,143	242,194
Health & Mental Hygiene	304,955	280,825	275,652	218,048	305,453	262,259
State Facilities & Equipment	288,451	473,422	378,863	406,330	322,431	321,033
Transportation	910,619	1,454,024	1,057,540	1,272,299	1,350,630	1,508,489
LGAC ³	318,370	313,845	201,820	78,445	36,830	3,650
Liquidity Financings	-	4,382,000	-	-	-	-
TOTAL STATE-SUPPORTED	<u>3,407,903</u>	<u>8,480,915</u>	<u>3,662,245</u>	<u>3,874,284</u>	<u>3,987,557</u>	<u>4,243,657</u>

¹ Personal Income Tax Notes were issued on a subordinated basis.

² FY 2021 short-term notes issued at a premium in order to generate \$4.5 billion of proceeds.

³ Various LGAC series were refunded by Sales Tax Revenue Bonds, but continue to be included under the LGAC program area.

STATE DEBT RETIREMENTS
FY 2020 THROUGH FY 2025
(thousands of dollars)

	<u>FY 2020 Results</u>	<u>FY 2021 Updated</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>	<u>FY 2025 Projected</u>
SUBTOTAL STATE-SUPPORTED ¹	<u>3,407,903</u>	<u>8,480,915</u>	<u>3,662,245</u>	<u>3,874,284</u>	<u>3,987,557</u>	<u>4,243,657</u>
OTHER STATE DEBT OBLIGATIONS						
Contingent Contractual						
DASNY/MCFFA Secured Hospitals Program	29,540	31,085	26,760	18,335	19,245	11,340
Moral Obligation						
Housing Finance Agency	155	0	0	0	0	0
Other						
MBBA Prior Year School Aid Claims	34,440	36,180	37,985	30,000	0	0
SUBTOTAL OTHER STATE	<u>64,135</u>	<u>67,265</u>	<u>64,745</u>	<u>48,335</u>	<u>19,245</u>	<u>11,340</u>
GRAND TOTAL STATE-RELATED	<u>3,472,038</u>	<u>8,548,180</u>	<u>3,726,990</u>	<u>3,922,619</u>	<u>4,006,802</u>	<u>4,254,997</u>

¹ Includes liquidity financings expected to be repaid within FY 2021.

PROJECTED PIT REVENUE BOND COVERAGE RATIOS ¹						
FY 2020 THROUGH 2025						
(thousands of dollars)						
	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected	FY 2025 Projected
Projected RBTF Receipts	26,830,698	24,524,345	23,990,810	25,369,675	26,934,105	27,582,905
Projected New PIT Bonds Issuances	3,403,060	9,444,379	5,156,232	4,496,172	4,477,476	3,983,456
Projected Total PIT Bonds Outstanding	37,117,755	44,500,969	47,213,765	48,969,416	50,587,668	51,503,260
Projected Maximum Annual Debt Service	3,950,808	4,454,755	4,915,812	5,317,849	5,551,078	5,561,803
Projected PIT Coverage Ratio	6.8	5.5	4.9	4.8	4.9	5.0

¹ Does not reflect the issuance of short-term PIT Notes, which were issued on a subordinated basis.

PROJECTED SALES TAX REVENUE BOND COVERAGE RATIOS						
FY 2020 THROUGH 2025						
(thousands of dollars)						
	FY 2020 Results	FY 2021 Updated	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected	FY 2025 Projected
Projected Sales Tax Receipts ¹	3,718,258	2,924,750	6,776,500	7,096,000	7,301,500	7,532,500
Projected New Sales Tax Bonds Issuances	1,423,725	-	1,718,744	1,498,724	1,492,492	1,327,819
Projected Total Sales Tax Bonds Outstanding	11,542,330	10,716,360	11,730,102	12,524,503	13,361,059	14,020,073
Projected Maximum Annual Debt Service	1,356,149	1,356,149	1,324,977	1,369,315	1,367,958	1,442,163
Projected Sales Tax Coverage Ratio	2.7	2.2	5.1	5.2	5.3	5.2

¹ Reflects increased deposits to the Sales Tax Revenue Bond Tax Fund from an amount equal to a one percent rate of taxation to two percent rate of taxation due to the full retirement of LGAC Bonds expected on April 1, 2021.

STATE OF NEW YORK LIST OF JOINT CUSTODY FUNDS - CLASSIFIED BY OSC			APPENDIX
SFS FUND RANGE	CAS FUND NUMBER	FUND NAME	FUND CLASSIFICATION
10000-10049	001	Local Assistance Account	General
10050-10099	003	State Operations Account	General
10100-10149	004	Tax Stabilization Reserve Account	General
10150-10199	005	Contingency Reserve Fund	General
10200-10249	006	Universal Pre-Kindergarten Reserve	General
10250-10299	007	Community Projects Fund	General
10300-10349	008	Rainy Day Reserve Fund	General
10400-10449	017	Refund Reserve Account	General
10450-10499	100	General Fund	General
10500-10549	166	Fringe Benefit Escrow Account	General
10550-10599	348	Tobacco Revenue Guarantee Fund	General
20000-20099	019	Mental Health Gifts and Donations Fund	Special Revenue
20100-20299	020	Combined Expendable Trust Fund	Special Revenue
20300-20349	023	New York Interest on Lawyer Account Fund	Special Revenue
20350-20399	024	NYS Archives Partnership Trust Fund	Special Revenue
20400-20449	025	Child Performer's Protection Fund	Special Revenue
20450-20499	050	Tuition Reimbursement Fund	Special Revenue
20500-20549	052	NYS Local Government Records Management Improvement Fund	Special Revenue
20550-20599	053	School Tax Relief Fund	Special Revenue
20600-20649	054	Charter Schools Stimulus Fund	Special Revenue
20650-20699	055	Not-For-Profit Short-Term Revolving Loan Fund	Special Revenue
20800-20849	061	Health Care Reform Act (HCRA) Resources Fund	Special Revenue
20850-20899	073	Dedicated Mass Transportation Trust Fund	Special Revenue
20900-20949	160	State Lottery Fund	Special Revenue
20950-20999	221	Combined Student Loan Fund	Special Revenue
21000-21049	300	Sewage Treatment Program Management and Administration Fund	Special Revenue
21050-21149	301	Environmental Conservation Special Revenue Fund	Special Revenue
21150-21199	302	Conservation Fund	Special Revenue
21200-21249	303	Environmental Protection and Oil Spill Compensation Fund	Special Revenue
21250-21299	305	Training and Education Program on Occupational Safety and Health Fund	Special Revenue
21300-21349	306	Lawyers' Fund For Client Protection	Special Revenue
21350-21399	307	Equipment Loan Fund for the Disabled	Special Revenue
21400-21449	313	Mass Transportation Operating Assistance Fund	Special Revenue
21450-21499	314	Clean Air Fund	Special Revenue
21500-21549	318	New York State Infrastructure Trust Fund	Special Revenue
21550-21599	321	Legislative Computer Services Fund	Special Revenue
21600-21649	328	Biodiversity Stewardship and Research Fund	Special Revenue
21650-21699	332	Combined Non-Expendable Trust Fund	Special Revenue
21700-21749	333	Winter Sports Education Trust Fund	Special Revenue
21750-21799	335	Musical Instrument Revolving Fund	Special Revenue
21850-21899	338	Arts Capital Revolving Fund	Special Revenue
21900-22499	339	Miscellaneous Special Revenue Account	Special Revenue
22500-22549	340	Court Facilities Incentive Aid Fund	Special Revenue
22550-22599	341	Employment Training Fund	Special Revenue
22650-22699	345	State University Income Fund	Special Revenue
22700-22749	346	Chemical Dependence Service Fund	Special Revenue
22750-22799	349	Lake George Park Trust Fund	Special Revenue
22800-22849	354	State Police Motor Vehicle Law Enforcement and Motor Vehicle Theft and Insurance Fraud Prevention Fund	Special Revenue
22850-22899	355	New York Great Lakes Protection Fund	Special Revenue
22900-22949	359	Federal Revenue Maximization Fund	Special Revenue
22950-22999	360	Housing Development Fund	Special Revenue
23000-23049	362	NYS DOT Highway Safety Program Fund	Special Revenue
23050-23099	365	Vocational Rehabilitation Fund	Special Revenue
23100-23149	366	Drinking Water Program Management and Administration Fund	Special Revenue
23150-23199	368	New York City County Clerks' Operations Offset Fund	Special Revenue
23200-23249	369	Judiciary Data Processing Offset Fund	Special Revenue
23250-23449	377	IFR/City University Tuition Fund	Special Revenue
23500-23549	385	US Olympic Committee/Lake Placid Olympic Training Fund	Special Revenue
23550-23599	390	Indigent Legal Services Fund	Special Revenue
23600-23649	482	Unemployment Insurance Interest and Penalty Fund	Special Revenue
23650-23699	225	Metropolitan Transportation Authority (MTA) Financial Assistance Fund	Special Revenue
23700-23749		Commercial Gaming Revenue Fund	Special Revenue
23750-23799		Medical Marihuana Trust Fund	Special Revenue
23800-23899		Dedicated Miscellaneous Special Revenue Account	Special Revenue
24850-24899		Health Care Transformation Fund	Special Revenue
24900-24949		Charitable Gifts Trust Fund	Special Revenue

STATE OF NEW YORK LIST OF JOINT CUSTODY FUNDS - CLASSIFIED BY OSC			APPENDIX
SFS FUND RANGE	CAS FUND NUMBER	FUND NAME	FUND CLASSIFICATION
24950-24999		Interactive Fantasy Sports Fund	Special Revenue
25000-25099	261	Federal USDA-Food and Nutrition Services Fund	Special Revenue
25100-25199	265	Federal Health and Human Services Fund	Special Revenue
25200-25249	267	Federal Education Fund	Special Revenue
25300-25899	290	Federal Miscellaneous Operating Grants Fund	Special Revenue
25900-25949	480	Federal Unemployment Insurance Administration Fund	Special Revenue
25950-25999	484	Federal Unemployment Insurance Occupational Training Fund	Special Revenue
26000-26049	486	Federal Employment and Training Grants Fund	Special Revenue
40350-40399	330	State University Dormitory Income Fund	Special Revenue
30000-30049	002	State Capital Projects Fund	Capital Projects
30050-30099	072	Dedicated Highway and Bridge Trust Fund	Capital Projects
30100-30299	074	SUNY Residence Halls Rehabilitation and Repair Fund	Capital Projects
30300-30349	075	NYS Canal System Development Fund	Capital Projects
30350-30399	076	State Park Infrastructure Fund	Capital Projects
30400-30449	077	Passenger Facility Charge Fund	Capital Projects
30450-30499	078	Environmental Protection Fund	Capital Projects
30500-30549	079	Clean Water/Clean Air Implementation Fund	Capital Projects
30600-30609	101	Energy Conservation Thru Improved Transportation Bond Fund	Capital Projects
30610-30619	103	Park and Recreation Land Acquisition Bond Fund	Capital Projects
30620-30629	105	Pure Waters Bond Fund	Capital Projects
30630-30639	109	Transportation Capital Facilities Bond Fund	Capital Projects
30640-30649	115	Environmental Quality Protection Fund	Capital Projects
30650-30659	121	Rebuild and Renew New York Transportation Bond Fund	Capital Projects
30660-30669	123	Transportation Infrastructure Renewal Bond Fund	Capital Projects
30670-30679	124	Environmental Quality Bond Act Fund	Capital Projects
30680-30689	126	Accelerated Capacity and Transportation Improvements Bond Fund	Capital Projects
30690-30699	127	Clean Water/Clean Air Bond Fund	Capital Projects
30700-30709	119	State Housing Bond Fund	Capital Projects
30710-30719		Smart Schools Bond Fund	Capital Projects
30750-30799	106	Outdoor Recreation Development Bond Fund	Capital Projects
30900-30949	118	Rail Preservation and Development Bond Fund	Capital Projects
31350-31449	291	Federal Capital Projects Fund	Capital Projects
31450-31499	310	Forest Preserve Expansion Fund	Capital Projects
31500-31549	312	Hazardous Waste Remedial Fund	Capital Projects
31650-31699	327	Suburban Transportation Fund	Capital Projects
31700-31749	357	Division for Youth Facilities Improvement Fund	Capital Projects
31800-31849	374	Housing Assistance Fund	Capital Projects
31850-31899	376	Housing Program Fund	Capital Projects
31900-31949	378	Natural Resource Damages Fund	Capital Projects
31950-31999	380	Department of Transportation Engineering Services Fund	Capital Projects
32200-32249	387	Miscellaneous Capital Projects Fund	Capital Projects
32250-32299	388	City University of New York Capital Projects Fund	Capital Projects
32300-32349	389	Mental Hygiene Facilities Capital Improvement Fund	Capital Projects
32350-32399	399	Correctional Facilities Capital Improvement Fund	Capital Projects
32400-32999	384	State University Capital Projects Fund	Capital Projects
33000-33049		New York State Storm Recovery Capital Fund	Capital Projects
33050-33099		Dedicated Infrastructure Investment Fund	Capital Projects
40000-40049	064	Debt Reduction Reserve Fund	Debt Service
40100-40149	304	Mental Health Services Fund	Debt Service
40150-40199	311	General Debt Service Fund	Debt Service
40250-40299	316	Housing Debt Fund	Debt Service
40300-40349	319	Department of Health Income Fund	Debt Service
40400-40449	361	Clean Water/Clean Air Fund	Debt Service
40450-40499	364	Local Government Assistance Tax Fund	Debt Service
50000-50049	324	Youth Commissary Account	Enterprise
50050-50099	325	State Exposition Special Account	Enterprise
50100-50299	326	Correctional Services Commissary Account	Enterprise
50300-50399	331	Agencies Enterprise Fund	Enterprise
50400-50449	351	Office of Mental Health Sheltered Workshop Fund	Enterprise
50450-50499	352	Office for Persons with Developmental Disabilities Sheltered Workshop Fund	Enterprise
50500-50599	353	Mental Hygiene Community Stores Account	Enterprise
50650-50699	481	Unemployment Insurance Benefit Fund	Enterprise
55000-55049	323	Centralized Services Account	Internal Service
55050-55099	334	Agencies Internal Service Account	Internal Service
55100-55149	343	Mental Hygiene Revolving Account	Internal Service

STATE OF NEW YORK LIST OF JOINT CUSTODY FUNDS - CLASSIFIED BY OSC			APPENDIX
SFS FUND RANGE	CAS FUND NUMBER	FUND NAME	FUND CLASSIFICATION
55150-55199	347	Youth Vocational Education Account	Internal Service
55200-55249	394	Joint Labor/Management Administration Account	Internal Service
55250-55299	395	Audit and Control Revolving Account	Internal Service
55300-55349	396	Health Insurance Revolving Account	Internal Service
55350-55399	397	Correctional Industries Revolving Account	Internal Service
60050-60149	130	School Capital Facilities Financing Reserve Fund	Agency
60150-60199	135 136 137	Child Performer's Holding Fund	Agency
60200-60249	152	Employees Health Insurance Fund	Agency
60250-60299	153	Social Security Contribution Fund	Agency
60300-60399	154	Payroll Deduction Escrow Fund	Agency
60400-60449	162	Employees Dental Insurance Fund	Agency
60450-60499	163	Management Confidential Group Insurance Fund	Agency
60500-60549	165	Lottery Prize Fund	Agency
60550-60599	167	Health Insurance Reserve Receipts Fund	Agency
60600-60799	169	Miscellaneous NYS Agency Fund	Agency
60800-60849	175	EPIC Escrow Fund	Agency
60850-60899	176	CUNY Senior College Operating Fund	Agency
60900-60949	179	MMIS Statewide Escrow Fund	Agency
60950-60999	309	Special Education Fund	Agency
61000-61099	344	State University New York Revenue Collection Fund	Agency
61100-61999	382	State University Federal Direct Lending Program Fund	Agency
62000-62049		SSP SSI Payment Escrow Fund	Agency
65000-65049	400	Common Retirement Fund	Pension Trust
66000-66049	021	Agriculture Producers' Security Fund	Private Purpose Trust
66050-66099	022	Milk Producers' Security Fund	Private Purpose Trust

STATE OF NEW YORK FUND STRUCTURE

