



# **FY 2020**

## **First Quarterly Update**

**Andrew M. Cuomo, Governor**  
Robert F. Mujica Jr., Budget Director





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# Introduction



## Introduction

This is the First Quarterly Update to the Enacted Budget Financial Plan (“Financial Plan”) for Fiscal Year (FY) 2020. Except for specific revisions described herein, the projections in the Financial Plan (and the assumptions upon which they are based) are consistent with the projections set forth in the FY 2020 Enacted Budget Financial Plan (“Enacted Budget Financial Plan” or “Budget”). The State’s FY 2020 began on April 1, 2019 and ends on March 31, 2020. The Division of the Budget (DOB) expects to next update its Financial Plan projections following the close of the second quarter.

The factors affecting the State’s financial condition are numerous and complex. The Financial Plan contains “forward-looking statements” relating to future results and economic performance as defined in the Private Securities Litigation Reform Act of 1995. Since many factors may materially affect fiscal and economic conditions in the State, the forecasts, projections, and estimates should not be regarded as a representation that such forecasts, projections, and estimates will occur. The forward-looking statements contained herein are based on the State's expectations at the time they were prepared and are necessarily dependent upon assumptions, estimates, and data that it believes are reasonable as of the date made, but that may be incorrect, incomplete, or imprecise or not reflective of actual results. Forecasts, projections, and estimates are not intended as representations of fact or guarantees of results. The words “expect”, “forecasts”, “projects”, “intends”, “anticipates”, “estimates”, “assumes”, and analogous expressions are intended to identify forward-looking statements. Any such statements inherently are subject to a variety of risks and uncertainties that could cause actual results to differ materially and adversely from projections. Such risks and uncertainties include, but are not limited to, general economic and business conditions; changes in political, social, economic, and environmental conditions, including climate change and extreme weather events; cybersecurity events; impediments to the implementation of gap-closing actions; regulatory initiatives and compliance with governmental regulations; litigation; Federal tax law changes; actions by the Federal government to reduce or disallow expected aid, including Federal aid authorized or appropriated by Congress but subject to sequestration, administrative actions, or other actions that would reduce aid to the State; and various other events, conditions and circumstances. Many of these risks and uncertainties are beyond the control of the State. These forward-looking statements are based on the State's expectations as of the date of this Financial Plan.

## Significant Budgetary/Accounting Practices

Unless clearly noted otherwise, all financial information is presented on a cash basis of accounting.<sup>1</sup>

The State accounts for receipts and disbursements by the fund in which the activity takes place (such as the General Fund), and the broad category or purpose of that activity (such as State Operations). The Financial Plan tables present State projections and results by fund and category.

Fund types of the State include: the General Fund; State Special Revenue Funds, which receive certain dedicated taxes, fees, and other revenues that are used for specified purposes; Federal Special Revenue Funds, which receive certain Federal grants; State and Federal Capital Projects Funds, which account for costs incurred in the construction, maintenance, and rehabilitation of roads, bridges, prisons, university facilities, and other infrastructure projects; and Debt Service Funds, which account for the payment of principal, interest, and related expenses for debt issued by the State and on the State's behalf by its public authorities.

The State's **General Fund** receives most State taxes and all income not earmarked for a specified program or activity. State law requires the Governor to submit, and the Legislature to enact, a General Fund budget that is balanced on a cash basis of accounting. The State Constitution and State Finance Law do not provide a precise definition of budget balance. In practice, the General Fund is considered balanced if sufficient resources are, or are expected to be, available during the fiscal year for the State to: (a) make all planned payments, including Personal Income Tax (PIT) refunds, without the issuance of deficit notes or bonds, or extraordinary cash management actions, (b) restore the balances in the Tax Stabilization Reserve Fund and the Rainy Day Reserve Fund (collectively, the "Rainy Day Reserves") to levels at or above the levels on deposit when the fiscal year began, and (c) maintain other reserves, as required by law. For purposes of calculating budget balance, the General Fund includes transfers to and from other funds.

The General Fund is the sole financing source for the School Tax Relief (STAR) fund and is typically the financing source of last resort for the State's other major funds, including the Health Care Reform Act (HCRA) funds, the Dedicated Highway and Bridge Trust Fund (DHBTF), and the Lottery Fund. Therefore, the General Fund projections account for any estimated funding shortfalls in these funds. Since the General Fund is required by law to be balanced, the focus of the State's budgetary and gap-closing discussion in this Financial Plan is generally weighted toward the General Fund.

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<sup>1</sup> State Finance Law also requires DOB to prepare a pro forma financial plan using, to the extent practicable, generally accepted accounting principles (GAAP). The GAAP-basis financial plan is informational only. DOB does not use it as a benchmark for managing State finances during the fiscal year and does not update it on a quarterly basis. The GAAP-basis financial plan follows, to the extent practicable, the accrual methodologies and fund accounting rules applied by the Office of the State Comptroller (OSC) in preparation of the audited Basic Financial Statements, but there can be no assurance that the pro forma GAAP financial plan conforms to all GAAP principles.



At times, DOB will informally designate unrestricted balances in the General Fund for specific policy goals (e.g., the payment of costs related to potential labor agreements covering prior contract periods). These amounts are typically, but not uniformly, identified with the phrase “reserved for” and are not held in distinct accounts within the General Fund, and may be used for other purposes.

**State Operating Funds** is a broader measure of spending on operations (as distinct from capital purposes) that is funded with State resources. It includes financial activity in the General Fund, as well as State-funded Special Revenue Funds and Debt Service Funds (spending from Capital Project Funds and Federal Funds is excluded). As a significant amount of financial activity occurs in funds outside of the General Fund, the State Operating Funds perspective is, in DOB’s view, a more comprehensive measure of operations funded with State resources (e.g., taxes, assessments, fees and tuition). The State Operating Funds perspective eliminates certain distortions in operating activities that may be caused by, among other things, the State’s complex fund structure and the transfer of money between funds. For example, the State funds its share of the Medicaid program from both the General Fund and State Special Revenue Funds. The State Operating Funds perspective captures Medicaid disbursements from both fund types, giving a more complete accounting of State-funded Medicaid disbursements. Accordingly, projections often emphasize the State Operating Funds perspective. The State’s adherence to a 2 percent annual spending growth benchmark is calculated on the State Operating Funds basis.

Financial Plan projections contained herein reflect some actions that have affected, or are intended to affect, the amount of annual spending reported on a State Operating Funds basis. Such actions include but are not limited to: (a) realignment of certain operating costs to the capital budget to provide greater consistency in reporting across all agencies and a more accurate accounting of the overall capital budget; (b) payment of certain operating costs using available resources outside the State Operating Funds basis of reporting; (c) restructuring of the STAR program such that certain benefits are provided as a tax credit (which is recorded as a reduction in receipts) rather than a tax exemption (which is recorded as a disbursement), consistent with other State tax credits; (d) appropriation of certain operating costs for the Department of Transportation (DOT) and Department of Motor Vehicles (DMV) from the General Fund instead of the DHBTF, a change which increases reported disbursements from State Operating Funds; (e) no longer appropriating certain receipts payable to the Metropolitan Transportation Authority (MTA), the largest of which is the Payroll Mobility Tax (“Mobility Tax” or PMT); (f) modification of business practices and transaction processing to eliminate the double-count of certain disbursements related to mental hygiene and higher education programs; and (g) reclassification as Enterprise Funds of certain activities in which goods or services are provided to the public for a fee. If these or other transactions are not executed or reported in a manner consistent with DOB’s interpretation of the legislation and legislative intent, annual spending growth in State Operating Funds would be higher than projections.

The State also reports disbursements and receipts activity for **All Governmental Funds** (All Funds), which includes spending from Capital Project Funds and Federal Funds, in addition to State Operating Funds. The All Funds basis provides the most comprehensive view of the cash-basis financial operations of the State.

Projections for future years may show budget gaps or budget surpluses in the General Fund. Budget gaps represent the difference between: (a) the projected General Fund disbursements, including transfers to other funds, needed to maintain current services levels and specific commitments, and (b) the projected level of resources, including transfers from other funds, to pay for these disbursements. The General Fund projections are based on many assumptions and are developed by DOB in conjunction with other State agencies. Some projections are based on specific, known information (e.g., a statutory requirement to increase payments to a prescribed level), while others are based on more uncertain or speculative information (e.g., the pace at which a new program will enroll recipients). In general, the multi-year projections assume that money appropriated in one fiscal year will continue to be appropriated in future years, even for programs that were not created in permanent law and that the State has no obligation to fund. Funding levels for nearly all State programs are reviewed annually in the context of the current and projected fiscal position of the State.

The General Fund Budget gap and surplus projections for FY 2021 and thereafter reflect savings that DOB estimates would be realized if the Governor continues to propose, and the Legislature continues to enact, balanced budgets that limit annual growth in State Operating Funds spending to no greater than 2 percent. Total disbursements in the tables and narrative contained in this Financial Plan do not reflect these assumed savings, which are reflected instead on a distinct line and labeled “Adherence to 2% Spending Benchmark.” Projections are subject to many risks and uncertainties, as well as future budgetary decisions and other factors that are currently unknown. There can be no assurance that spending growth will be held to no greater than 2 percent or that all savings from limiting spending growth will be made available to the General Fund. If the 2 percent annual State Operating Funds spending growth benchmark is exceeded, projected budget gaps would be higher (or projected surpluses would be lower).

Differences may occur from time to time between DOB and OSC's financial reports in presentation and reporting of receipts and disbursements. For example, DOB may reflect a net expenditure while OSC may report the gross expenditure. Any such differences in reporting between DOB and OSC could result in differences in the presentation and reporting of receipts and disbursements for discrete funds, as well as differences in the presentation and reporting for total receipts and disbursements under different fund perspectives (e.g., State Operating Funds and All Governmental Funds).

# Financial Plan Overview



The following table provides certain Financial Plan information for FY 2019 and FY 2020.

FINANCIAL PLAN AT-A-GLANCE: KEY MEASURES (millions of dollars)			
	FY 2019	FY 2020	
	Results	Enacted	First Quarter
<b>State Operating Funds Disbursements</b>			
Size of Budget	\$100,137	\$102,117	\$102,153
Annual Growth	2.0%	2.0%	2.0%
<b>Other Disbursement Measures</b>			
General Fund (Including Transfers) <sup>1</sup>	\$72,783	\$77,857	\$77,826
Annual Growth	4.4%	7.0%	6.9%
Capital Budget (Federal and State)	\$12,266	\$13,790	\$14,043
Annual Growth	15.3%	12.4%	14.5%
Federal Operating Aid	\$58,472	\$61,120	\$59,921
Annual Growth	6.4%	4.5%	2.5%
All Funds	\$170,875	\$177,027	\$176,117
Annual Growth	4.4%	3.6%	3.1%
Capital Budget (Including "Off-Budget" Capital) <sup>2</sup>	\$12,783	\$14,341	\$14,595
Annual Growth	13.3%	12.2%	14.2%
All Funds (Including "Off-Budget" Capital) <sup>2</sup>	\$171,392	\$177,578	\$176,669
Annual Growth	4.3%	3.6%	3.1%
<b>Inflation (CPI)</b>	2.3%	2.0%	2.0%
<b>All Funds Receipts</b>			
Taxes	\$75,578	\$81,305	\$81,273
Annual Growth	-4.7%	7.6%	7.5%
Miscellaneous Receipts	\$31,184	\$29,013	\$29,347
Annual Growth	14.4%	-7.0%	-5.9%
Federal Receipts (Operating and Capital)	\$61,344	\$64,794	\$66,324
Annual Growth	4.1%	5.6%	8.1%
Total All Funds Receipts	\$168,106	\$175,112	\$176,944
Annual Growth	1.6%	4.2%	5.3%
<b>General Fund Cash Balance</b>	\$7,206	\$6,466	\$6,499
Rainy Day Reserves	\$2,048	\$2,476	\$2,476
Extraordinary Monetary Settlements	\$4,194	\$2,640	\$2,640
Economic Uncertainties	\$0	\$829	\$862
All Other Reserves/Fund Balances	\$964	\$521	\$521
<b>Debt</b>			
Debt Service as % All Funds Receipts	4.0%	3.0%	3.0%
State-Related Debt Outstanding	\$53,528	\$57,271	\$57,527
Debt Outstanding as % Personal Income	4.0%	4.1%	4.2%
<b>State Workforce FTEs (Subject to Direct Executive Control)</b>	117,967	119,491	119,491
<sup>1</sup> Includes the planned transfer of Extraordinary Monetary Settlements from the General Fund to other funds to support designated purposes. <sup>2</sup> Includes capital spending that occurs outside the All Funds budget financed directly from State-supported bond proceeds held by public authorities.			

## Summary

### General Fund

Operating results through the first quarter of FY 2020 were mostly in line with expectations. Accordingly, the revisions in this First Quarterly Update are generally limited to developments since the release of the Enacted Budget Financial Plan.<sup>2</sup>

The following table summarizes the General Fund changes:

SUMMARY OF REVISIONS GENERAL FUND BUDGETARY BASIS OF ACCOUNTING SAVINGS/(COSTS) (millions of dollars)				
	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
<b>ENACTED BUDGET SURPLUS/(GAP)</b>	<b>0</b>	<b>(3,913)</b>	<b>(4,142)</b>	<b>(4,691)</b>
<b>Receipts Changes</b>	<b>2</b>	<b>(71)</b>	<b>(71)</b>	<b>(31)</b>
Tax Receipts	(27)	(38)	(27)	(27)
Debt Service	(4)	(33)	(44)	(4)
Extraordinary Monetary Settlements	33	0	0	0
<b>Spending Changes</b>	<b>31</b>	<b>(3)</b>	<b>30</b>	<b>40</b>
Local Assistance	9	0	0	0
Agency Operations	6	(18)	10	15
All Other	16	15	20	25
<b>Use/(Reserve) of Balances</b>	<b>(33)</b>	<b>0</b>	<b>0</b>	<b>0</b>
Economic Uncertainties	(33)	0	0	0
<b>FIRST QUARTERLY UPDATE BUDGET SURPLUS/(GAP) <sup>1</sup></b>	<b>0</b>	<b>(3,987)</b>	<b>(4,183)</b>	<b>(4,682)</b>
<b>Adherence to 2% SOF Spending - Calculated Savings <sup>2</sup></b>	<b>0</b>	<b>3,752</b>	<b>5,046</b>	<b>6,736</b>
<b>FIRST QUARTERLY UPDATE SURPLUS/(GAP)</b>	<b>0</b>	<b>(235)</b>	<b>863</b>	<b>2,054</b>
<sup>1</sup> Before actions to adhere to the 2 percent benchmark.				
<sup>2</sup> Savings estimated from limiting annual spending growth in future years to 2 percent (calculation based on FY 2020 estimate) and assuming all savings are made available to the General Fund.				

<sup>2</sup> Technical changes to the Enacted Budget Financial Plan include revisions to align certain estimates with actual results to date and updated expectations that do not have an aggregate spending impact as they generally move estimates between financial plan categories (e.g., reclassification of spending from local assistance to personal service and non-personal service). Other technical changes include revisions to the level of General Fund transfers to other funds to support anticipated spending in such funds.



## Financial Plan Overview

The Financial Plan has been updated to reflect bills approved in the 2019 Legislative session, which ended in June 2019. Corporate Franchise Tax (CFT) projections have been reduced to reflect the exemption of 95 percent of Global Intangible Low-Taxed Income (GILTI) beginning in tax year 2019. In addition, the Financial Plan reflects debt service cost for capital projects approved after enactment of the FY 2020 Budget. In the coming months, bills approved by both houses will be delivered to the Governor for his review. Any bills with a material fiscal impact that are approved by the Governor will be reflected in future Financial Plan updates, as appropriate.

Since publication of the Enacted Budget Financial Plan, the State has received a \$33 million settlement payment from the Bank of Tokyo-Mitsubishi UFJ, Ltd. (“MUFG Bank”) for violations of New York State laws and regulations. This payment has been added to the reserve for economic uncertainties in the General Fund.

On July 18, 2019, the New York State Police Investigators Association (NYSPIA) ratified a collective bargaining agreement with the State covering FY 2019 through FY 2023. The agreement provides for 2 percent general salary increases in each year of the contract period, and additional compensation changes, which are partly offset by changes in the design of health insurance benefits. The cost of this agreement is estimated to be roughly \$15 million in FY 2020 and has been reflected in the multi-year spending projections for State Police.

In addition, spending projections for the State Police have been updated to reflect salary increases for State troopers pursuant to the agreement reached last year with the Police Benevolent Association of the New York State Troopers (NYSTPBA). Higher State Police spending is offset by reserves set aside for retroactive labor agreements and updated fringe benefit savings associated with existing labor deals.

In September 2019, the Graduate Student Employees Union (GSEU) will vote on whether to ratify a tentative four-year labor agreement that would provide 2 percent general salary increases annually for 2020 through 2023. If ratified, the agreement would cost an estimated \$2 million in FY 2020 and will be reflected in the Mid-Year Update. The State University of New York (SUNY) is responsible for funding the cost of the agreement.

The Financial Plan projections for FY 2021 and thereafter assume that the current Administration will continue to propose, and the Legislature will continue to enact, balanced budgets in future years that limit annual growth in State Operating Funds to no greater than 2 percent. The spending benchmark is calculated using the cash basis of accounting, as described herein, and is based on the current composition of the State Operating Funds perspective as reported by DOB. DOB expects that specific proposals to limit spending growth to 2 percent will be included in future budget proposals.

## General Fund Operating Results

General Fund receipts, including transfers from other funds, totaled \$23.8 billion through June 2019, \$323 million higher than planned. Personal Income Tax (PIT) collections were \$391 million higher than projected but were partially offset by lower Consumption/Use Taxes and estate tax payments. General Fund disbursements, including transfers to other funds, totaled \$24.4 billion, \$1 billion lower than projected. The lower spending is due mainly to the timing of payments for which most payments were made after the first quarter by the end of July. However, Medicaid spending has continued to exceed estimates through the first quarter of FY 2020.

The General Fund ended June with a balance of \$6.6 billion, \$1.3 billion above the estimate in the Enacted Budget. See “FY 2020 Operating Results Through June.”

## State Spending

State Operating Funds disbursements are expected to total \$102.2 billion, an increase of \$36 million compared to the Enacted Budget. The higher spending is mainly attributable to salary increases pursuant to labor agreements. The annual growth in disbursements is estimated at 2 percent.

The estimate for All Funds spending has been revised downward by \$910 million and is mainly due to a \$1.1 billion reduction to planned Federal spending for the Essential Plan (EP). The Financial Plan previously reflected the use of EP resources to fund quality improvement plan payments to certain health care providers. The reduction in Federal spending is partly offset by an increase of \$253 million for capital projects spending for projects approved after budget enactment. The capital projects total \$1.3 billion and will support transportation and transit (\$585 million); economic and community development (\$495 million), including \$100 million for the Lake Ontario Resiliency and Economic Development Program; public housing (\$120 million); and education (\$70 million). Spending for these purposes is expected to occur over a multi-year period and will be funded with bond proceeds.



## Annual Spending Growth

State Operating Funds spending is estimated to total \$102.2 billion in FY 2020, an increase of 2 percent over FY 2019 results. The table below summarizes the sources of the annual change.

STATE OPERATING FUNDS DISBURSEMENTS				
FY 2019 TO FY 2020 (millions of dollars)				
	FY 2019 Results	FY 2020 Updated	Annual Change	
			\$	%
<b>LOCAL ASSISTANCE</b>	<b>66,177</b>	<b>68,462</b>	<b>2,285</b>	<b>3.5%</b>
School Aid (School Year Basis)	26,843	27,856	1,013	3.8%
DOH Medicaid <sup>1</sup>	20,476	21,686	1,210	5.9%
Transportation	3,938	3,549	(389)	-9.9%
STAR <sup>2</sup>	2,423	2,176	(247)	-10.2%
Social Services	2,798	2,871	73	2.6%
Higher Education	2,980	2,976	(4)	-0.1%
Mental Hygiene <sup>3</sup>	2,150	1,989	(161)	-7.5%
All Other <sup>4</sup>	4,569	5,359	790	17.3%
<b>STATE OPERATIONS/GENERAL STATE CHARGES</b>	<b>27,261</b>	<b>28,521</b>	<b>1,260</b>	<b>4.6%</b>
<b>State Operations</b>	<b>19,057</b>	<b>19,754</b>	<b>697</b>	<b>3.7%</b>
Personal Service:	<u>13,687</u>	<u>14,261</u>	<u>574</u>	<u>4.2%</u>
Executive Agencies	7,526	7,682	156	2.1%
Retroactive Labor Agreements	0	185	185	--
University Systems	4,000	4,241	241	6.0%
Elected Officials	2,161	2,153	(8)	-0.4%
Non-Personal Service:	<u>5,370</u>	<u>5,493</u>	<u>123</u>	<u>2.3%</u>
Executive Agencies	2,706	2,828	122	4.5%
University Systems	2,097	2,070	(27)	-1.3%
Elected Officials	567	595	28	4.9%
<b>General State Charges</b>	<b>8,204</b>	<b>8,767</b>	<b>563</b>	<b>6.9%</b>
Pension Contribution	2,431	2,472	41	1.7%
Health Insurance	4,193	4,312	119	2.8%
Other Fringe Benefits/Fixed Costs	1,580	1,983	403	25.5%
<b>DEBT SERVICE</b>	<b>6,699</b>	<b>5,170</b>	<b>(1,529)</b>	<b>-22.8%</b>
<b>TOTAL STATE OPERATING FUNDS</b>	<b>100,137</b>	<b>102,153</b>	<b>2,016</b>	<b>2.0%</b>
<b>Capital Projects (State and Federal Funds)</b>	<b>12,266</b>	<b>14,043</b>	<b>1,777</b>	<b>14.5%</b>
<b>Federal Operating Aid</b>	<b>58,472</b>	<b>59,921</b>	<b>1,449</b>	<b>2.5%</b>
<b>TOTAL ALL GOVERNMENTAL FUNDS</b>	<b>170,875</b>	<b>176,117</b>	<b>5,242</b>	<b>3.1%</b>

<sup>1</sup> Total State share Medicaid funding excludes Master Settlement Agreement (MSA) payments to the State that will be deposited directly to a Medicaid Escrow Fund to defray the cost of the State's takeover of Medicaid costs for counties and New York City.

<sup>2</sup> The conversion of benefit payments to a State PIT credit decreases reported disbursements for STAR and decreases reported PIT receipts by an identical amount. There is no impact on STAR benefits received by homeowners.

<sup>3</sup> Mental Hygiene spending is estimated at \$4.0 billion in FY 2020, an increase of 6.0 percent from FY 2019. However, a large portion is funded under the Medicaid Global Cap.

<sup>4</sup> "All Other" includes spending for public health, special and other education, local government assistance, parks, environment, economic development, and public safety. It also includes reclassifications among financial plan categories, a reconciliation between school year and State fiscal year spending for School Aid, and MSA payments deposited directly to a Medicaid Escrow Fund (\$444 million in FY 2019 and \$315 million in FY 2020), which reduces reported disbursements.

State Operating Funds encompasses a wide range of State activities funded from income sources outside of the General Fund, including dedicated tax revenues, tuition, patient income, and assessments. Activities funded with these dedicated income sources often have no direct bearing on the State's ability to maintain a balanced budget in the General Fund, but nonetheless are captured in State Operating Funds and subject to the 2 percent spending limit. Activities funded from these "own-source" revenues contribute to annual spending growth.

Spending growth in recent years reflects the State's effort to address long-standing public policy issues. For example, in FY 2015, the State absorbed the full cost of growth in Medicaid on behalf of local governments, creating significant mandate relief for counties. The State takeover of local government Medicaid costs had been proposed as early as 1991, followed by many subsequent unsuccessful attempts. In FY 2017, the State enacted a law that provides scheduled increases in the minimum wage. In 1970, the State's minimum wage was \$1.85. Over the following 45 years, the State increased the minimum wage sporadically, with long periods between changes. These two policy initiatives alone add an estimated \$2.4 billion to FY 2020 State spending.

## Local Assistance

Local assistance spending includes financial aid to local governments and nonprofit organizations, as well as benefit entitlement payments to individuals. Local assistance comprises roughly 67 percent of State Operating Funds spending. Medicaid and School Aid are the State's largest local aid programs, comprising over 45 percent of State Operating Funds spending.

In School Year (SY) 2020, School Aid is expected to total \$27.9 billion, an increase of \$1 billion (3.8 percent), including a \$618 million increase in Foundation Aid. Medicaid spending subject to the Medicaid spending cap ("Global Cap") is projected to grow at the indexed rate of 3 percent (\$568 million) to \$19.4 billion. Total Medicaid spending, which includes the cost of minimum wage increases and the takeover of local government Medicaid growth outside of the Global Cap, is expected to increase by \$1.2 billion to \$21.7 billion in FY 2020. To limit disbursements to the level authorized under the Global Cap, the Department of Health (DOH) and DOB actively manage the timing of payments. In FY 2019, this included the deferral of \$1.7 billion in payments from FY 2019 to FY 2020. The current estimate for Medicaid in FY 2020 assumes that spending will be limited to the Global Cap level.

Reported disbursements for transportation are expected to decline in FY 2020, mainly due to one-time aid payments made in FY 2019 and changes in the accounting and flow of funds to the Metropolitan Transportation Authority (MTA). In FY 2019, the State made an extraordinary operating aid payment of \$194 million for the Subway Action Plan and remitted \$135 million in residual Payroll Mobility Tax (PMT) collections held in fund balances to the MTA. In addition, several taxes and fees collected by the State and remitted to the MTA will no longer be subject to annual appropriation by the State Legislature. Previously, the State collected these revenues on behalf of, and disbursed the entire amount to, the MTA. These taxes are now expected to be remitted directly to the MTA, increasing timeliness and removing any uncertainty related to the annual appropriation process. Accordingly, beginning in FY 2020, the Financial Plan does not include the receipts and related local assistance disbursements for these taxes and fees (estimated at approximately

\$300 million).<sup>3</sup> The annual decline is offset in part by increased disbursements from transportation funds supported by expected growth in dedicated resources and use of existing balances.

School Tax Relief (STAR) spending is affected by the continuing conversion of benefit payments from a tax exemption to a tax credit. By shifting taxpayers to the credit program, the State is able to more efficiently administer the program while strengthening its ability to prevent abuse. The conversion initially had no impact on the value of STAR benefits available to taxpayers. The FY 2020 Budget amended the program so that homeowners who receive the exemption and who move may see an increase in their STAR benefit upon transitioning to a PIT credit. For the State, the conversion decreases both the level of reported PIT receipts and reported State Operating Funds disbursements by an identical amount (\$938 million in FY 2019 and an estimated \$1.2 billion in FY 2020).<sup>4</sup>

The increase in social services spending is due to a one-time change in the timing of Safety Net Program payments in FY 2019, which is partly offset by the use of available Temporary Assistance for Needy Families (TANF) funding to offset State costs in Child Care and Advantage After School Programs, deferral of the FY 2020 human services cost-of-living adjustment (COLA), and a new requirement for New York City (NYC) to fund a share of the Family Assistance Program.

Higher Education spending in FY 2020 is expected to increase due to growth in tuition assistance programs (TAP), including the third phase of the Excelsior Free Tuition Program, and higher City University of New York (CUNY) fringe benefit costs funded by the State. However, the reported growth is offset by a change in accounting for TAP payments made to State-operated SUNY campuses. Beginning in FY 2020, Higher Education Services Corporation (HESC) TAP payments made to SUNY on behalf of student recipients will now be reflected as a transfer to SUNY, similar to the treatment of Medicaid payments made to State-operated health facilities on behalf of Medicaid recipients. This change eliminates recording payments twice on a State Operating Funds basis – first as local assistance payments from the General Fund to SUNY and again as operating expenses for SUNY.

Mental hygiene spending is estimated at \$4 billion in FY 2020, an increase of \$220 million from FY 2019. The growth reflects enhancements in community mental health services, community-based employment opportunities for individuals with disabilities, increased funding to not-for-profit providers for minimum wage increases, and additional resources to address the heroin and opioid crisis. In addition, the Budget provides funding to support a 4 percent raise for direct care workers over the next two years and a 2 percent raise for clinical workers serving the mental hygiene community. In FY 2020, \$2 billion of the \$4 billion total spent on mental hygiene is reported under the Global Cap, an increase of \$381 million from FY 2019. This reporting format has the effect of reducing the amount of spending reported as “mental hygiene” spending.

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<sup>3</sup> This is in addition to the PMT, which was no longer appropriated starting in FY 2019.

<sup>4</sup> STAR benefits paid through tax exemptions are recorded as disbursements. STAR benefits paid as tax credits are recorded as a reduction in net tax receipts.

## State Operations/General State Charges

Operating costs for State agencies include salaries, wages, fringe benefits, and non-personal service costs (e.g., supplies, utilities) and comprise more than a quarter of State Operating Funds spending.

Spending for Executive agency operations is impacted by the retroactive payments of salary increases in FY 2020 for labor agreements reached with the unions representing correctional officers, State troopers and police investigators. In general, agencies have funded and are expected to continue to fund salary increases within their operating budgets. However, exceptions have been made for retroactive salary payments and increases for certain agencies that provide institutional care (e.g., Department of Corrections and Community Supervision (DOCCs) and mental hygiene agencies), as well as the State Police.

STATE OPERATING FUNDS - PERSONAL SERVICE / NON-PERSONAL SERVICE COSTS (millions of dollars)			
	FY 2019 Results	FY 2020 Updated	Change
<b>Executive Agencies (Excluding Retroactive Payments)</b>	<b>10,232</b>	<b>10,510</b>	<b>2.7%</b>
Executive Agencies	10,232	10,695	4.5%
Retroactive Labor Agreements (Prior-Year Costs)	0	(185)	--

Operating spending for SUNY is estimated to grow by 3.5 percent in FY 2020 and includes the cost of a six-year collective bargaining agreement through academic year (AY) 2022. Operating costs for independently elected offices (Attorney General, Comptroller, Judiciary, and Legislature) are expected to increase by 0.7 percent in FY 2020.

Spending on fringe benefits is expected to increase mainly due to rising health care and prescription drug costs for State employees and retirees, and Workers' Compensation expenses. The annual change is also affected by one-time savings in FY 2019 from the automation of fringe benefit bills payable by State agencies.

## Debt Service

Debt service consists of principal, interest, and related expenses paid on State debt. Debt service is projected to decline from FY 2019 to FY 2020 due to the payment of FY 2020 debt service in FY 2019. The prepayment of debt service has been executed each year since FY 2010. DOB determines the level of prepayments each year based on operating results, resources on hand, and other factors. The Financial Plan includes the planned prepayment of \$200 million of FY 2021 expenses in FY 2020. In FY 2020, the effect of prepayments is offset in part by expected growth in debt service on State-supported debt.



# General Fund Financial Plan



## General Fund Cash-Basis Financial Plan

The budget is balanced on a cash basis in the General Fund. General Fund receipts, including transfers from other funds, are expected to total \$77.1 billion. Disbursements, including transfers to other funds, are estimated at \$77.8 billion. The General Fund closing balance is projected to be reduced by roughly \$707 million reflecting the planned use of reserves set aside for payments that did not occur as previously expected in FY 2019, and the use of Extraordinary Monetary Settlements to support spending from the Dedicated Infrastructure Investment Fund (DIIF) and other funds for authorized purposes.

The following table summarizes the General Fund annual change from FY 2019 to FY 2020.

GENERAL FUND FINANCIAL PLAN (millions of dollars)				
	FY 2019 Results	FY 2020 Updated	Annual Change	
			Dollar	Percent
<b>Opening Fund Balance</b>	9,445	7,206	(2,239)	-23.7%
<b>Total Receipts</b>	70,544	77,119	6,575	9.3%
Taxes <sup>1</sup>	63,957	71,329	7,372	11.5%
Miscellaneous Receipts	3,586	2,890	(696)	-19.4%
Non-Tax Transfers from Other Funds	3,001	2,900	(101)	-3.4%
<b>Total Disbursements</b>	72,783	77,826	5,043	6.9%
Local Assistance	49,745	52,091	2,346	4.7%
State Operations	18,480	19,621	1,141	6.2%
Transfers to Other Funds	4,558	6,114	1,556	34.1%
<b>Net Change in Operations</b>	(2,239)	(707)	1,532	68.4%
<b>Closing Fund Balance</b>	7,206	6,499	(707)	-9.8%
Rainy Day Reserves	2,048	2,476	428	
Economic Uncertainties	0	862	862	
Reserve for Timing of Payments	408	0	(408)	
All Other Reserves/Balances	556	521	(35)	
Extraordinary Monetary Settlements	4,194	2,640	(1,554)	

<sup>1</sup> Includes the transfer of tax receipts from other funds after debt service.

General Fund receipts and disbursements, as well as fund balances, are affected by the receipt and use of Extraordinary Monetary Settlements. The table below summarizes the General Fund sources and uses of Extraordinary Monetary Settlements.<sup>5</sup> The discussions of receipts and disbursements that follow exclude the impact of Extraordinary Monetary Settlements, which principally affect reported miscellaneous receipts and capital projects transfers.

<b>GENERAL FUND FINANCIAL PLAN</b>				
<b>EXTRAORDINARY MONETARY SETTLEMENTS</b>				
<b>(millions of dollars)</b>				
	<b>FY 2019</b>	<b>FY 2020</b>	<b>Annual Change</b>	
			<b>Results</b>	<b>Updated</b>
<b>Opening Balance</b>	5,020	4,194	(826)	-16.5%
<b>Total Receipts</b>	<u>1,106</u>	<u>862</u>	<u>(244)</u>	<u>-22.1%</u>
Settlements Received/Expected	1,186	862	(324)	-27.3%
Funds Retained by Dept. of Law	(80)	0	80	100.0%
<b>Total Uses</b>	<u>1,932</u>	<u>2,416</u>	<u>484</u>	<u>25.1%</u>
Capital Purposes	769	1,316	547	71.1%
MTA Operating Aid	194	0	(194)	-100.0%
General Fund Operations	719	0	(719)	-100.0%
Rainy Day Reserves	250	238	(12)	-4.8%
Economic Uncertainties	0	862	862	--
<b>Net Change in Operations</b>	(826)	(1,554)	(728)	-88.1%
<b>Closing Balance</b>	<u>4,194</u>	<u>2,640</u>	<u>(1,554)</u>	<u>-37.1%</u>

<sup>5</sup> More information on the receipt and use of Extraordinary Monetary Settlements can be found in “Other Matters Affecting the Financial Plan” herein.



## Receipts<sup>6</sup>

General Fund receipts, including transfers from other funds, are projected to total \$76.3 billion in FY 2020, an increase of \$6.8 billion (9.8 percent) from FY 2019 results. The annual change is affected by taxpayers responding to the Tax Cuts and Jobs Act (TCJA) by shifting estimated Personal Income Tax (PIT) payments, typically made on a quarterly basis, into the extension and final payments period. Specifically, FY 2020 receipts are positively affected by an increase in extension and final payments at the expense of FY 2019 estimated payments.

PIT receipts, including transfers after payment of debt service on State PIT Revenue Bonds, are estimated to total \$48.5 billion, an increase of \$5.6 billion (12.9 percent) from FY 2019 results. A large share of the increase in FY 2020 is due to a shift in estimated payments from FY 2019 to FY 2018.

Consumption/use tax receipts, including transfers after payment of debt service on the Local Government Assistance Corporation (LGAC) and Sales Tax Revenue Bonds, are estimated to total \$14.6 billion in FY 2020, an increase of \$1.2 billion (8.8 percent) from FY 2019 results. The increase mainly reflects projected growth in disposable income and taxable consumption.

Business tax receipts are estimated at \$6.1 billion in FY 2020, an increase of \$576 million (10.5 percent) from FY 2019 results. The growth is primarily attributable to new for-profit insurance providers subject to premium insurance tax, and a large refund that was processed in April 2019 instead of March 2019.

Other tax receipts, including transfers after payment of debt service on Clean Water/Clean Air (CW/CA) Bonds and transfers after payment of debt service on revenue bonds, are expected to total \$2.1 billion in FY 2020, an increase of \$44 million (2.2 percent) from FY 2019 results.

Non-tax receipts and transfers are estimated at \$4.9 billion in FY 2020, a decrease of \$553 million (10.1 percent) from FY 2019 results. The decline largely reflects the use of resources in FY 2019 that are not expected to recur in FY 2020.

General Fund receipts are affected by the deposit of dedicated taxes in other funds for debt service and other purposes, the transfer of balances among funds of the State, and other factors.<sup>7</sup>

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<sup>6</sup> Excluding Extraordinary Monetary Settlements.

<sup>7</sup> A more comprehensive discussion of the State's projections for tax receipts, miscellaneous receipts, and transfers, presented on a State Funds and All Funds basis, can be found in "State Financial Plan Multi-Year Projections" herein.

## Disbursements<sup>8</sup>

General Fund disbursements, including transfers to other funds, are expected to total \$76.5 billion in FY 2020, an increase of \$4.7 billion (6.5 percent) from FY 2019 results.

Local assistance spending is estimated at \$52.1 billion in FY 2020, an increase of \$2.3 billion (5.1 percent) from FY 2019. The increase is primarily driven by Medicaid (\$1.4 billion) and School Aid (\$489 million on a State fiscal year basis). As noted above, the estimate for Medicaid assumes disbursements will be limited to levels allowed by the Global Cap.

State Operations spending in the General Fund, including fringe benefits and fixed costs, is expected to total \$19.6 billion in FY 2020, an increase of \$1.1 billion (6.2 percent) from FY 2019. The increase reflects salary increases related to labor agreements, including the payment of retroactive salary increases and associated fringe benefits, and underlying growth in fringe benefits, including health insurance costs for State employees and retirees, and State costs for Workers' Compensation. In addition, operating costs in the General Fund are affected by offsets in other funds and fund reclassifications, such as operating costs related to snow and ice removal that were reclassified from Capital Projects Funds to the General Fund beginning in FY 2019.

General Fund transfers to other funds are projected to total \$4.8 billion in FY 2020, an increase of \$1 billion from FY 2019. Transfers for capital projects (excluding transfers funded with Extraordinary Monetary Settlements) are projected to increase by \$756 million due mainly to the timing of bond proceeds used to reimburse prior-year advances and increasing support for transportation costs. State University of New York (SUNY) transfers are expected to increase by \$165 million primarily due to a change in accounting for Tuition Assistance Program (TAP) payments made to State-operated SUNY campuses. All other transfers are projected to increase by \$324 million, largely attributable to the transfer of certain sales tax collections to the Metropolitan Transportation Authority (MTA). Debt service transfers are expected to decline by \$236 million, mainly due to prepayments.

General Fund disbursements are affected by the level of financing sources available in other funds, transfers of balances between funds of the State, and other factors that may change from year to year.<sup>9</sup> In addition, General Fund disbursements reflect conservative estimates of disbursements in each financial category, a practice that provides a cushion for potential receipts shortfalls and other unanticipated costs.

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<sup>8</sup> Excluding Extraordinary Monetary Settlements.

<sup>9</sup> A more comprehensive discussion of the State's disbursement projections by major activity, presented on a State Operating Funds basis, can be found in "State Financial Plan Multi-Year Projections" herein.

## Closing Balance for FY 2020

The State is projected to end FY 2020 with a General Fund cash balance of \$6.5 billion, a decrease of \$707 million from FY 2019 results. The balance excluding Extraordinary Monetary Settlements is estimated at \$3.9 billion, or \$847 million higher than FY 2019. The change reflects a new reserve for economic uncertainties funded with new settlement receipts (\$862 million) and planned deposits to the Rainy Day Reserves (\$428 million). These increases are partly offset by the planned use of reserves carried over from FY 2019 to fund retroactive payments related to labor agreements (\$206 million) and a large business tax refund (\$202 million), as well as projected spending from reappropriations in the Community Projects Fund (\$35 million).

Extraordinary Monetary Settlements on deposit at the close of FY 2020 are expected to total \$2.6 billion, a decrease of \$1.6 billion from the FY 2019 closing balance. This decrease reflects expected uses and disbursements for initiatives funded with such settlements.<sup>10</sup>

<b>TOTAL BALANCES</b> (millions of dollars)			
	<u>FY 2019</u> <u>Results</u>	<u>FY 2020</u> <u>Updated</u>	<u>Annual</u> <u>Change</u>
<b>TOTAL GENERAL FUND BALANCE</b>	<b>7,206</b>	<b>6,499</b>	<b>(707)</b>
Statutory Reserves:			
Rainy Day Reserves	2,048	2,476	428
Community Projects	35	0	(35)
Contingency Reserve	21	21	0
Fund Balance Reserved for:			
Economic Uncertainties	0	862	862
Debt Management	500	500	0
Labor Agreements (Timing)	206	0	(206)
Business Tax Refund (Timing)	202	0	(202)
<b>Subtotal Excluding Settlements</b>	<b>3,012</b>	<b>3,859</b>	<b>847</b>
<b>Extraordinary Monetary Settlements</b>	<b>4,194</b>	<b>2,640</b>	<b>(1,554)</b>

<sup>10</sup> A more comprehensive discussion of the State's receipt and use of Extraordinary Monetary Settlements can be found in "Other Matters Affecting the Financial Plan -- Extraordinary Monetary Settlements" herein.

## Cash Flow

State Finance Law authorizes the General Fund to borrow money temporarily from available funds held in the Short-Term Investment Pool (STIP). Money may be borrowed for up to four months, or until the end of the fiscal year, whichever period is shorter. The State last used this authorization in April 2011 when the General Fund needed to borrow funds from STIP for a period of five days. The amount of resources that can be borrowed by the General Fund is limited to available balances in STIP, as determined by the State Comptroller. Available balances include money in the State's governmental funds and a relatively small amount of other money belonging to the State. Several accounts in Debt Service Funds and Capital Projects Funds that are part of All Governmental Funds are excluded from the balances deemed available in STIP. These excluded funds consist of bond proceeds and money obligated for debt service payments.

DOB expects that the State will have adequate liquidity in FY 2020 to make all planned payments as they become due without having to temporarily borrow from STIP. The State continues to reserve money on a quarterly basis for debt service payments financed with General Fund resources. Money to pay debt service on bonds secured by dedicated receipts, including PIT bonds and Sales Tax bonds, continues to be set aside as required by law and bond covenants.

<b>PROJECTED MONTH-END CASH BALANCES</b>			
<b>FY 2020</b>			
<b>(millions of dollars)</b>			
	<b>General Fund</b>	<b>Other Funds</b>	<b>All Funds</b>
<b>April</b>	11,969	6,299	18,268
<b>May</b>	5,222	5,703	10,925
<b>June</b>	6,593	7,135	13,728
<b>July</b>	6,722	6,076	12,798
<b>August</b>	5,628	5,696	11,324
<b>September</b>	7,858	4,135	11,993
<b>October</b>	7,423	4,618	12,041
<b>November</b>	5,684	4,034	9,718
<b>December</b>	7,794	4,637	12,431
<b>January</b>	10,493	6,416	16,909
<b>February</b>	10,023	6,594	16,617
<b>March</b>	6,499	4,601	11,100



# **Other Matters Affecting the Financial Plan**



## General

The Financial Plan is subject to complex economic, social, financial, political, and environmental risks and uncertainties, many of which are outside the ability of the State to control. DOB believes that the projections of receipts and disbursements in the Financial Plan are based on reasonable assumptions, but there can be no assurance that results will not differ materially and adversely from these projections. For instance, receipts collections have fallen substantially below the levels forecasted in certain fiscal years. In addition, certain projections contained in the Financial Plan assume that annual growth in State Operating Funds spending will be limited to 2 percent in FY 2021 through FY 2023, and that all savings that result from the 2 percent spending growth benchmark will be made available to the General Fund.

DOB routinely executes cash management actions to manage the State's large and complex Budget. These actions are intended for a variety of purposes that include improving the State's cash flow, managing resources within and across State fiscal years, assisting in adherence to spending targets, and better positioning the State to address future risks and unanticipated costs, such as economic downturns, unexpected revenue deterioration, and unplanned expenditures. As such, the State regularly makes certain payments above those initially planned, subject to available resources, to maintain budget flexibility. All payments made above the planned amount are reflected in the year they occur and adhere to the limit of the State's 2 percent growth benchmark.

The Financial Plan is based on numerous assumptions including the condition of the State and national economies, and the concomitant collection of economically sensitive tax receipts in the amounts projected. Other uncertainties and risks concerning the economic and receipts forecasts include impacts of: national and international events; ongoing financial risks in the eurozone; changes in consumer confidence, price and supply of oil and gas; major terrorist events and hostilities or war; climate change and extreme weather events; cybersecurity threats; Federal statutory and regulatory changes concerning financial sector activities; Federal tax law; changes to Federal programs; changes concerning financial sector bonus payouts, as well as any future legislation governing the structure of compensation; shifts in monetary policy affecting interest rates and the financial markets; credit rating agency actions; financial and real estate market developments which may adversely affect bonus income and capital gains realizations; tech industry developments and employment; effect of household debt on consumer spending and State tax collections; and outcomes of litigation and other claims affecting the State.

The Financial Plan is subject to various uncertainties and contingencies relating to: wage and benefit increases for State employees that exceed projected annual costs; changes in the size of the State's workforce; realization of the projected rate of return for pension fund assets, and current assumptions with respect to wages for State employees affecting the State's required pension fund contributions; willingness and ability of the Federal government to provide the aid projected in the Financial Plan; ability of the State to implement cost reduction initiatives, including reductions in State agency operations, and success with which the State controls expenditures; and ability of the State and its public authorities to issue securities successfully in the public credit markets. Some of these issues are described in more detail herein. The projections and assumptions contained in the Financial Plan are subject to revisions which may result in substantial change. No assurance can be given that these estimates and projections, which depend in part upon actions the State expects to be taken but which are not within the State's control, will be realized.

## Budget Risks and Uncertainties

There can be no assurance that the State's financial position will not change materially and adversely from current projections. If this were to occur, the State would be required to take additional gap-closing actions. Such actions may include but are not limited to: reductions in State agency operations; delays or reductions in payments to local governments or other recipients of State aid; delays in or suspension of capital maintenance and construction; extraordinary financing of operating expenses; use of nonrecurring resources; or other measures. In some cases, the ability of the State to implement such actions requires the approval of the Legislature and cannot be implemented solely by the Governor.

To manage potential Financial Plan risks in FY 2020, the Budget establishes a process for the uniform reduction of local assistance disbursements of up to 1 percent of State Operating Funds disbursements (approximately \$1.02 billion) if DOB identifies a General Fund imbalance of \$500 million or more in the current fiscal year. Upon identification of an imbalance, the Budget Director would transmit a plan to the Legislature identifying the specific appropriations and cash disbursements that would be reduced. The Legislature would then have 30 days to adopt, by concurrent resolution, its own plan for eliminating the imbalance. If no plan is adopted, the plan submitted by the Budget Director would take effect automatically. The process exempts certain types of local assistance appropriations from uniform reduction, including public assistance and Supplemental Security Income (SSI) payments.

The Financial Plan forecast assumes various transactions could fail to occur as planned including, but not limited to: receipt of certain payments from public authorities; receipt of certain revenue sharing payments under the Tribal-State compact, including payments from the Seneca Nation; receipt of miscellaneous revenues at the levels expected in the Financial Plan; and achievement of cost-saving measures including, but not limited to, transfer of available fund balances to the General Fund at levels currently projected. Such risks and uncertainties, if they were to materialize, could adversely impact the Financial Plan in current or future years, or both.

The Financial Plan also includes actions that affect spending reported on a State Operating Funds basis, including accounting and reporting changes. If these and other transactions are not implemented or reported as planned, annual spending growth in State Operating Funds would increase above current estimates.

In developing the Financial Plan, DOB attempts to mitigate financial risks from receipts volatility, litigation, and unexpected costs, with an emphasis on the General Fund. It does this by, among other things, exercising caution when calculating total General Fund disbursements and managing the accumulation of financial resources that can be used to offset new costs. Such resources include but are not limited to, fund balances not needed each year, reimbursement for capital advances, acceleration of tax refunds above the level budgeted each year, and prepayment of expenses. There can be no assurance that such resources will be enough to address risks that may materialize in a given fiscal year.



Financial Plan projections for FYs 2021 through 2023 assume that School Aid and Medicaid disbursements will be limited to the ten-year rolling average growth of State personal income and the medical component of the Consumer Price Index (CPI), respectively. From FY 2014 to FY 2020, the School Aid growth cap was calculated based on the annual growth in NYS personal income. However, enacted budgets in FYs 2014 through 2019 authorized increases above these levels. To reduce volatility and align with the Medicaid cap, the statutory Personal Income Growth Index (PIGI) for School Aid has been amended to reflect average annual income growth over a ten-year period, beginning in FY 2021.

Medicaid is a means-tested program that finances health care services for low-income individuals and long-term care services for the elderly and disabled, primarily through payments to health care providers. The Medicaid program is financed jointly by the State, Federal government, and local governments. The growth in Medicaid costs is affected by many factors, including enrollment, prices, utilization, economic activity, Federal and State health care policies, and demographic trends.

In FY 2012, the State enacted legislation intended to limit the year-to-year growth in Department of Health (DOH) State funds Medicaid spending to the ten-year rolling average of the medical component of the CPI. The statutory provisions of the Medicaid spending cap (“Global Cap”) also allow for flexibility in adjusting Medicaid projections to meet unanticipated costs resulting from a disaster. Since enactment of the Global Cap, the portion of DOH State Funds Medicaid spending subject to the Global Cap has remained at or below indexed levels.

State law grants the Commissioner of Health certain powers to limit Medicaid disbursements to the level authorized by the Global Cap. The Commissioner’s powers are intended to limit the rate of annual spending growth to the levels estimated for the current fiscal year, through actions which may include reducing rates to providers. These actions may be dependent upon timely Federal approvals and other elements of the program that govern implementation. It should further be noted that the Global Cap applies to State Operating Funds and, therefore, General Fund spending remains sensitive to revenue performance in the State’s Health Care Reform Act (HCRA) fund. The HCRA fund finances approximately one-quarter of the DOH State-share costs of Medicaid.

The State has, at times, taken actions to manage the timing of Medicaid payments to ensure compliance with the Global Cap. Between FY 2015 and FY 2018, DOH managed the timing of payments across State fiscal years. In FY 2019, DOH deferred, for three business days, the final cycle payment to Medicaid Managed Care Organizations, as well as other payments. The FY 2019 deferral had a State-share value of \$1.7 billion and was paid utilizing cash on hand in April 2019, consistent with contractual obligations and had no impact on provider services. Absent the deferral, Medicaid spending under the Global Cap would have exceeded the statutorily indexed rate for FY 2019. This higher spending in FY 2019 appears to reflect growth in managed care enrollment and costs above projections, as well as certain savings actions and offsets that were not processed by year-end. The Financial Plan assumes Medicaid spending in FY 2020 will comply with the Global Cap, however spending to date continues to exceed projections. As such, DOB and DOH are working to develop options to reduce spending within the Global Cap and/or continue to manage the timing of payments. Options to reduce spending include the execution of

the statutory powers granted to the Commissioner of Health to limit spending, which include across the board rate reductions to health care providers and plans. Efforts to maintain Medicaid spending levels within the Global Cap may have implications on the State's financial position. If spending levels continue to exceed the Global Cap and/or further payment deferrals are made, there could be an impact to State finances.

### Federal Issues

The State receives a substantial amount of Federal aid for health care, education, transportation, and other governmental purposes, as well as Federal funding to respond to, and recover from, severe weather events and other disasters. Many policies that drive this Federal aid may be subject to change under the Trump Administration and the new Congress. Current Federal aid projections, and the assumptions on which they rely, are subject to revision because of changes in Federal policy.

In addition, the Financial Plan may also be adversely affected by other Federal government actions including audits, disallowances, and changes to Federal participation rates or other Medicaid rules. For instance, the Financial Plan includes reimbursement to the Federal government of \$100 million annually through FY 2027 pursuant to a March 2015 agreement between the State and the Centers for Medicare & Medicaid Services (CMS). The agreement resolved a pending disallowance for FY 2011 and all related payment disputes for State-operated services prior to April 1, 2013, including home and community-based waiver services. Pursuant to the agreement, the State must adjust the Federal/State share of future Medicaid costs to reimburse the Federal government. The State used \$850 million in Extraordinary Monetary Settlement payments, previously set aside for financial risks, to finance the initial repayment amount in FY 2016.

The Enacted Budget includes for the third year a process by which the State can address significant reductions in Federal aid during FY 2020 should they arise. Specifically, the Budget allows the Budget Director to prepare a plan for consideration by the Legislature in the event that Federal policymakers (a) reduce Federal Financial Participation (FFP) in Medicaid funding to New York State or its subdivisions by \$850 million or more; or (b) reduce FFP or other Federal aid in funding to New York State that affects the State Operating Funds Financial Plan by \$850 million or more, exclusive of any cuts to Medicaid. Each limit is triggered separately and is not additive. The plan prepared by the Budget Director must equally and proportionally reduce appropriations and cash disbursements in the General Fund and State Special Revenue Funds. Upon receipt of the plan, the Legislature has 90 days to prepare its own corrective action plan, which may be adopted by concurrent resolution passed by both houses, or the plan submitted by the Budget Director takes effect automatically.

## Current Federal Aid

President Trump proposed significant cuts to mandatory and discretionary domestic programs in Federal Fiscal Years (FFYs) 2018 and 2019, which were largely rejected by the final appropriations bills advanced for both years. The President proposed similar cuts for FFY 2020, however these cuts are unlikely given the recently enacted Bipartisan Budget Act of 2019. The law increases the discretionary spending caps set by the Budget Control Act of 2011 for the final two years that the caps are in place under current law – FFYs 2020 and 2021. Assuming Congress passes appropriations at the levels allowed under the newly increased caps, cuts to discretionary Federal aid programs on which the State relies are unlikely.

## Medicaid Disproportionate Share Hospital (DSH) Payments

Provisions within the Federal Medicaid statute allow for a capped amount of payments to hospitals that treat a disproportionate number of Medicaid recipients. Changes made initially in the Affordable Care Act (ACA) to reduce the aggregate amount of Federal reimbursements for DSH payments are scheduled to take effect in FFY 2020, beginning October 1, 2019. The State estimates that if the changes take effect as scheduled, New York hospitals will lose \$7.2 billion when fully phased in, the largest reduction in Federal DSH payments among all states.

## Essential Plan (EP)

In 2017, the Federal government attempted to end the Basic Health Program EP in New York State, reverse the ACA's Medicaid expansion, and shift a larger share of growth in Medicaid costs to states by imposing per capita caps on Medicaid spending in lieu of Medicaid's current open-ended policy. If enacted into law, these policies would have had a substantial adverse impact on the State.

Additionally, the Trump Administration withheld Cost Sharing Reduction (CSR) payments, threatening low-cost health insurance coverage for income-eligible recipients purchasing Qualified Health Plan (QHP) or EP coverage through the New York State of Health (NYSOH), New York's official health plan marketplace. Recent actions by the Trump Administration in response to litigation brought by the State will allow the State to recoup some of the withheld EP funding through changes to the reimbursement methodology for 2018. However, the State has not received any guidance on whether it will receive Federal reimbursement in the EP for CSR payments in 2019 and beyond. This funding represents about 25 percent of the total Federal funding for the program and, absent any action by Congress, the State Financial Plan remains at risk. Additionally, the Trump Administration has proposed to alter the Federal reimbursement formula which would further decrease the amount of Federal funding for the EP. The Financial Plan reflects continued Federal support for the EP program.

### Excise Tax on High-Cost Employer-Sponsored Health Coverage (“Cadillac Tax”)

The Excise Tax on High-Cost Employer-Sponsored Health Coverage (26 USC 49801) is a 40 percent excise tax assessed on the portion of the premium for an employer-sponsored health insurance plan that exceeds a certain annual limit. The provision was initially included in the ACA to offset mandatory spending increases but has since been altered by intervening laws that delay the implementation of the tax until 2022.

Regulations from the Internal Revenue Service (IRS) have yet to be published. The U.S. House of Representatives recently passed legislation (H.R. 748) to repeal the excise tax and it is now under consideration in the U.S. Senate. DOB has no current estimate as to the potential impact to the State from this Federal excise tax.

### Medicaid Redesign Team (MRT) Medicaid Waiver

The CMS and the State have an agreement authorizing up to \$8 billion in new Federal funding over several years to transform New York’s health care system and ensure access to quality care for all Medicaid beneficiaries. This funding, provided through an amendment to the State’s Partnership Plan 1115 Medicaid waiver, is divided among the Interim Access Assurance Fund (IAAF), the Delivery System Reform Incentive Payment (DSRIP) Program, Health Homes, and various other Medicaid redesign initiatives.

Since January 1, 2014, in accordance with provisions of the ACA, the State has been eligible for enhanced Federal Medical Assistance Percentage (FMAP) funding associated with childless adults. The DOH continues to work with the CMS and to refine eligibility data systems to draw the appropriate amount of enhanced FMAP funding. This reconciliation may result in a modification of payments to the State and local governments.

### Federal Debt Limit

The recently enacted Bipartisan Budget Act of 2019 temporarily suspends the debt limit through July 31, 2021, bringing to a close the extraordinary measures that the U.S. Treasury has been operating under since the prior suspension expired on March 1, 2019. A Federal government default on payments, particularly for a prolonged period, could have a materially adverse effect on national and State economies, financial markets, and intergovernmental aid payments. Specific effects on the updated Financial Plan of a future Federal government default are unknown and impossible to predict. However, data from past economic downturns suggest that the State’s revenue loss could be substantial if the economy goes into a recession due to a Federal default.

A payment default by the United States may adversely affect the municipal bond market. Municipal issuers, including the State, could face higher borrowing costs and impaired access to capital markets. This would jeopardize planned capital investments in transportation infrastructure, higher education facilities, hazardous waste remediation, environmental projects, and economic development projects. Additionally, the market for and market value of outstanding municipal obligations, including municipal obligations of the State, could be adversely affected.

## Federal Tax Law Changes

On December 22, 2017, President Trump signed into law the Tax Cuts and Jobs Act (TCJA) (H.R. 1, P.L. 115-97), making major changes to the Federal Internal Revenue Code, most of which were effective in the 2018 Tax Year. The Federal tax law made extensive changes to Federal personal income taxes, corporate income taxes, and estate taxes.

The State's income tax system interacts with the Federal system in numerous ways. Changes to the Federal tax code have significant flow-through effects on State tax burdens and State tax receipts. From the standpoint of individual New York State taxpayers, the new \$10,000 limit on the deductibility of State and Local Tax (SALT) payments, effective for Tax Year 2018, is substantial. The Tax Cuts and Jobs Act of 2017 (TCJA's) SALT deduction limit represents a large increase in the State's effective tax rate relative to historical experience and may adversely affect New York's economic competitiveness.

The SALT deduction originated with the first Federal income tax implemented to fund the Civil War effort and has been in place continuously since 1913. DOB and the Department of Taxation and Finance (DTF) estimate that the new SALT deduction limit raised Federal tax liability for New York taxpayers by roughly \$14 billion for Tax Year 2018, relative to what taxpayers would have paid absent the limitation. Over the course of the eight years the SALT deduction limit is scheduled to be in effect, the State estimates that resident taxpayers who itemize at the Federal level for each year through 2025 will collectively pay an additional \$121 billion in Federal taxes relative to what they would have paid absent the SALT deduction limit.

Moreover, the TCJA contains numerous provisions that may adversely affect residential real estate prices in New York State and elsewhere, of which the SALT deduction limit is the most significant. A loss of wealth associated with a decline in home prices could have a significant impact on household spending in the State through the wealth effect, whereby consumers perceive the rise and fall of the value of an asset, such as a home, as a corresponding increase or decline in income, causing them to alter their spending practices. Reductions in household spending by New York residents, if they were to occur, would be expected to result in lower sales for the State's businesses, which, in turn, would cause further reductions in economic activity and employment. Lastly, falling home prices could result in homeowners delaying the sale of their homes. The combined impact of lower home prices and fewer sales transactions could result in lower real estate transfer tax collections.

In sum, the Federal tax law changes may intensify migration pressures and the drag on the value of home prices, thereby posing risks to the State's tax base and current Financial Plan projections.

### State Response to Federal Tax Law Changes

In response to the TCJA, the State enacted tax reforms in tax year 2018 intended to mitigate issues arising from the Federal law, including decoupling many State tax provisions from the Federal changes, creation of an optional payroll tax program, and establishment of a new State charitable giving vehicle, as described below.

The State developed the Employer Compensation Expense Program (ECEP) and Charitable Gifts Trust Fund, as described below, based on a review of existing laws, regulations, and precedents. However, there can be no assurance that the IRS will allow taxes paid under the ECEP by an electing employer, or donations made by taxpayers to the Charitable Gifts Trust Fund, to be deductible for Federal tax purposes under current law and the TCJA. As noted below, the IRS has issued regulations that impair the ability of taxpayers to deduct donations to the Charitable Gifts Trust Fund from federally taxable income while receiving State tax credits for such donations.

On July 17, 2018, the State, joined by Connecticut, Maryland, and New Jersey, filed a lawsuit to protect New York taxpayers from the new Federal limit on the SALT deduction. The lawsuit claims the new SALT limit was enacted to target New York and similarly situated states, interferes with states' rights to make their own fiscal decisions, and disproportionately harms taxpayers in these states. Oral arguments were held on June 18, 2019 in the Southern District of New York.

On June 13, 2019, the IRS issued final regulations (Treasury Decision 9864) that provided final rules and additional guidance on the availability of Federal income tax deductions for charitable contributions when a taxpayer receives or expects to receive a State or local tax credit for such charitable contributions. In the case of State tax credits received by a taxpayer making a charitable contribution, the regulations require the taxpayer to reduce the Federal income tax deduction by the amount of the State tax credit received for such charitable contribution. This rule does not apply, however, if the value of the State tax credit does not exceed 15 percent of the charitable contribution. The regulations are retroactive to August 27, 2018 (the date on which the U.S. Treasury and Internal Revenue Service first published proposed regulatory changes).

On July 17, 2019, New York State, joined by Connecticut and New Jersey, filed a Federal lawsuit challenging Treasury Decision 9864. The lawsuit seeks to restore the full Federal income tax deduction for charitable contributions, regardless of the amount of any State tax credit provided to taxpayers as a result of contributions made to the Charitable Gifts Trust Fund, in accordance with the precedent since 1917. If the Federal lawsuit is successful it is expected that donations to the Charitable Gifts Trust Fund in future years could be higher than the \$93 million level of donations made in 2018. See "Impact of State Tax Law Changes on Personal Income Tax (PIT) Revenue Bonds" below.

As part of the State tax reforms enacted in 2018, taxpayers may claim reimbursement from the State for interest on underpayments of Federal tax liability for the 2019, 2020 and 2021 tax years if the underpayments arise from reliance on the 2018 amendments to State tax law. To receive reimbursement, taxpayers are required to submit their reimbursement claims to DTF within 60 days of making an interest payment to the IRS.



## Other Matters Affecting the Financial Plan

The State would incur costs if taxpayer participation in the ECEP and Charitable Gifts initiatives for the 2019, 2020 and 2021 tax years results in Federal determinations of underpayment of Federal income tax. Any cost to the Financial Plan from State reimbursement of interest charges would occur in FY 2021 at the earliest for determinations on 2019 tax payments due in April 2020 or thereafter.

The Financial Plan does not include any estimate of the magnitude of the possible interest expense to the State, which depends on several factors including the rates of participation in the ECEP; magnitude of donations to the Charitable Gifts Trust Fund; aggregate amount of determinations of underpayment attributable to reliance on the 2018 amendments to State tax law; amount of time between the due date of the return and the date any Federal determination is issued; interest rate applied; and frequency at which taxpayers submit timely reimbursement claims to the State. Interest on unpaid Federal tax generally accrues from the due date of the return until the date of payment in full. Under current Federal law, the interest rate is determined quarterly and is the Federal short-term rate plus 3 percent, compounded daily.

### Employer Compensation Expense Program

Employers that elect to participate in the ECEP will be subject to a 5 percent State tax on all annual payroll expenses in excess of \$40,000 per employee, phased in over three years beginning on January 1, 2019 as follows: 1.5 percent in Tax Year 2019, 3 percent in Tax Year 2020, and 5 percent in Tax Year 2021. Employers must elect to participate in the ECEP for the upcoming tax year by December 1 of the preceding calendar year. For the 2019 Tax Year, 262 employers elected to participate in the ECEP and are expected to remit \$2 million.

The ECEP is intended to mitigate the tax burden for employees affected by the SALT deduction limit. While the TCJA limits deductibility for individuals, it does not cap deductibility for ordinary and necessary business expenses paid or incurred by employers in carrying on a trade or business. The ECEP is expected to be State revenue neutral, with any decrease in New York State PIT receipts expected to be offset by a comparable increase in ECEP revenue. A new State PIT credit is available to employees whose wages are subject to the tax. Any decrease in New York State PIT receipts is expected to be offset by a comparable increase in ECEP revenue because the formula used to calculate the State PIT credit corresponds in value to the ECEP. Remittance of ECEP revenue to the State began in the fourth quarter of FY 2019.



## Charitable Gifts Trust Fund

Starting in Tax Year 2018, the Charitable Gifts Trust Fund was established to accept gifts for the purposes of funding health care and education in New York State. Taxpayers who itemize deductions may claim these charitable contributions as deductions on their Federal and State income tax returns. Any taxpayer who makes a donation may also claim a State tax credit equal to 85 percent of the donation amount for the tax year after the donation is made. State PIT receipts will be reduced by the State tax deduction and 85 percent tax credit.<sup>11</sup>

In FY 2019, the State received \$93 million in charitable gifts deposited to the Charitable Gifts Trust Fund for healthcare and education (\$58 million and \$35 million, respectively). These resources are appropriated in the Budget for the authorized purposes.

## Impact of State Tax Law Changes on PIT Revenue Bonds

To offset the potential reduction in the level of New York State PIT receipts resulting from activity of the ECEP and donations to the Charitable Gifts Trust Fund, State Finance Law provisions creating the Revenue Bond Tax Fund (RBTF) were amended to increase the percentage of New York State PIT receipts required to be deposited upon receipt in the RBTF, from 25 percent to 50 percent. In addition, the legislation that created the ECEP required that 50 percent of ECEP receipts received by the State be deposited to the RBTF. These changes became effective April 1, 2018.

The amendments also increased the amount of all New York State PIT receipts collected from payroll withholding and ECEP receipts that must be deposited in the RBTF if (a) the State Legislature fails to appropriate amounts required to make all debt service payments on State PIT Revenue Bonds or (b) having been appropriated and set aside pursuant to a certificate of the Director of the Budget, debt service payments and other cash requirements under the applicable financing agreements have not been made when due on the State PIT Revenue Bonds. Under prior law, New York State PIT receipts from payroll withholding were to be deposited to the RBTF until amounts on deposit in the RBTF equaled the greater of 25 percent of annual New York State PIT receipts or \$6 billion. Under the new law, New York State PIT receipts and ECEP receipts are deposited to the RBTF until amounts on deposit in the RBTF equal the greater of 40 percent of the aggregate of annual New York State PIT receipts and ECEP receipts or \$12 billion.

Donations to the Charitable Gifts Trust Fund could reduce State PIT receipts by nearly one dollar for every dollar donated. If Treasury Decision 9864 is upheld in Federal court, taxpayer participation in the future will likely be reduced. However, if the legal challenge is successful in restoring the full Federal tax deduction for charitable contributions, donations to the Charitable Gifts Trust Fund in future years could be higher than in 2018, when donations totaled \$93 million. In such event, the amount of donations to the Charitable Gifts Trust Fund would pose a risk to the amount of New York State PIT receipts deposited to the RBTF in future years. To address this risk,

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<sup>11</sup> Statute allows the State University of New York (SUNY) Research Foundation, City of New York (CUNY) Research Foundation, and Health Research, Inc. to accept up to \$10 million each in charitable gifts on an annual basis. The State PIT receipts will also be reduced by the State tax deduction and an 85 percent credit for those donations that will be available beginning in Tax Year 2019.





## Other Matters Affecting the Financial Plan

the State increased the amount of PIT receipts deposited to the RBTF from 25 percent to 50 percent as part of the State tax reforms enacted in 2018.

DOB and DTF performed a calculation of the maximum amount of charitable donations to the Charitable Gifts Trust Fund that could occur annually under varying assumptions. This calculation of the maximum amount of potential contributions to the Charitable Gifts Trust Fund was intended to serve as a stress test on State PIT receipts that may flow to the RBTF under different levels of assumed taxpayer participation. Accordingly, the calculation should not, under any circumstances, be viewed as a projection of likely donations in any future year. The factors that may influence donation activity are complex and include, but are not limited to, possible statements, actions, or interpretive guidance by the IRS or others relating to the deductibility of such donations; the liquidity position, risk tolerance, and knowledge of individual taxpayers; advice or guidance of tax advisors or other professionals; changes in general economic conditions; adoption of similar trusts in other states; and tax reciprocity agreements among states.

The calculation of the maximum amount of potential donations from 2019 through 2023 is on average in the range of \$30 billion annually. The calculation assumes that every resident taxpayer who has an incentive to donate will do so, and such donations will be equal to the total value of each resident taxpayer's SALT payments, less the value of the \$10,000 Federal SALT deduction limit, up to the value of the taxpayer's total State tax liability. The calculation is dependent on several assumptions concerning the number of itemized filers. It relies on the most recent PIT population study file, as trended forward, as well as the impact of the TCJA and State law changes on the number and distribution of itemized and standardized filers. The calculation also assumes that (a) no further changes in Federal tax law occur, and (b) DOB projections of the level of State taxpayer liability for the forecast period as set forth in the Financial Plan are materially accurate.

In general, assumptions made regarding taxpayer behavior were intended to maximize the calculated impact of charitable giving on PIT receipts in each year. After factoring in all of the foregoing adjustments and with inclusion of ECEP revenues, RBTF receipts are projected to remain above the level of receipts that would have been expected under statutes in effect prior to April 2018, even in a maximum participation scenario.

The DOB and DTF calculation of the projected maximum amount of potential contributions to the Charitable Gifts Trust Fund is necessarily based on many assumptions that may change materially over time. While DOB believes that these factors can be expected to constrain donation activity, there can be no assurance that, under conditions of maximum participation, the amount of annual charitable gifts will not reduce the level of PIT receipts deposited into the RBTF below the levels projected in February 2018 before State tax reforms were enacted. If that were to occur, it is DOB's expectation that changes to the tax law would be recommended to further increase the percentage of PIT receipts deposited into the RBTF.

## Climate Change Adaptation

Climate change poses significant long-term threats to physical, biological and economic systems in New York and around the world. Potential hazards and risks related to climate change for the State include, among other things, rising sea levels, more severe coastal flooding and erosion hazards, and more intense storms. Storms in recent years, including Superstorm Sandy, Hurricane Irene, and Tropical Storm Lee, have demonstrated vulnerabilities in the State's infrastructure (including mass transit systems, power transmission and distribution systems, and other critical lifelines) to extreme weather events including coastal flooding caused by storm surges. The potential effects of climate change could adversely impact the Financial Plan in current or future years. To mitigate and manage these impacts, significant long-term planning and investments by the Federal government, the State of New York, municipalities, and public utilities are expected to be needed to adapt existing infrastructure to climate change risks.

The State continues to recover from the damage sustained during three powerful storms that crippled entire regions. In August 2011, Hurricane Irene disrupted power and caused extensive flooding in various State counties. In September 2011, Tropical Storm Lee caused flooding in additional State counties and, in some cases, exacerbated the damage caused by Hurricane Irene two weeks earlier. On October 29, 2012, Superstorm Sandy struck the East Coast, causing widespread infrastructure damage and economic losses to the greater New York region. The frequency and intensity of these storms present economic and financial risks to the State. Reimbursement claims for costs of the immediate response, recovery, and future mitigation efforts continue, largely supported by Federal Funds. In January 2013, the Federal government approved approximately \$60 billion in Federal disaster aid for general recovery, rebuilding, and mitigation activity nationwide. It is anticipated that the State, its localities, and the Metropolitan Transportation Authority (MTA) may receive approximately one-half of this amount for response, recovery, and mitigation costs. To date, a total of \$17 billion has been committed to repairing impacted homes and businesses, restoring community services, and mitigating future storm risks across the State. There can be no assurance that all anticipated Federal disaster aid described above will be provided to the State and its affected entities over the coming years.

Financial markets participants are increasingly acknowledging climate change risks. In June 2017, an industry-led Task Force on Climate-Related Financial Disclosure convened by the Financial Stability Board (an international body which monitors the global financial system), published recommendations stating that climate risk affects most market sectors and that climate-related risk should be publicly disclosed to investors in annual financial filings.<sup>12</sup> In November 2017, Moody's Investors Service issued guidance to state and local governments that climate change is forecast to heighten exposure to economic losses, placing potential pressure on credit ratings. The Moody's report identified rising sea levels and their effect on coastal infrastructure as the primary climate risks for the northeastern United States, including New York State. These risks are heightened by population concentration in coastal counties.

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<sup>12</sup> For further context to the June 2017 disclosure recommendations, the Financial Stability Board was asked by an international coalition of G20 Finance Ministers and Central Bank Governors to address concerns that undisclosed climate risk could destabilize global financial markets.



## Other Matters Affecting the Financial Plan

An October 2018 special report released by the Intergovernmental Panel on Climate Change of the United Nations (IPCC) found that human activity has already caused approximately 1.0°C of warming and is continuing to increase average global temperatures at 0.2°C per decade due to past and ongoing emissions. The IPCC states that global warming is likely to reach 1.5°C of warming between 2030 and 2052 if temperatures continue to increase at the current rate. This increase is expected to produce a range of adverse outcomes (“reasons for concern”). For example, the IPCC rates global risks of extreme weather events and coastal flooding as increasing from moderate (“detectable”) today, to high (“severe and widespread”) at 1.5°C of warming. The risk of severe impacts increases further at higher temperatures. Using current trends, climate change risks increasingly fall within the term of current outstanding bonds of the State, its public authorities and municipalities. State bonds may be issued with a term of up to 30 years under State statute.

New York is participating in efforts to reduce greenhouse gas emissions in order to mitigate the risk of severe impacts from climate change. At the end of the legislative session, the Governor and Legislature agreed to set the State on a path toward developing regulations to reduce statewide greenhouse gas emissions to 85 percent below the 1990 level by 2050. As part of this target, the State plans to fully transition its electricity sector away from carbon emissions by 2040. New York is a member of the Regional Greenhouse Gas Initiative (RGGI) and has used a cap and trade mechanism to regulate carbon dioxide emissions from electric power plants since 2008.

## Current Labor Negotiations and Agreements (Current Contract Period)

The State has multi-year labor agreements in place with most of the unionized workforce and a tentative agreement with the Graduate Student Employees Union (GSEU). Negotiations for successor agreements with the Public Employees Federation (PEF), the Council 82 Security Supervisors Unit and the Police Benevolent Association of New York State (PBANYS) are on-going.

On July 18, 2019, the New York State Police Investigators Association (NYSPIA) membership ratified an agreement with the State covering a five-year period (FY 2019 through FY 2023). The agreement provides for a 2 percent general salary increase each year, and additional compensation changes, which are partly offset by benefit design changes within New York State Health Insurance Program (NYSHIP). The cost of this agreement is estimated to be roughly \$15 million in FY 2020 and has been reflected in the multi-year spending projections for State Police.

In September 2019, GSEU will vote on whether to ratify a tentative four-year labor agreement that would provide for a 2 percent general salary increases for 2020 through 2023. If ratified, the agreement would cost an estimated \$2 million in FY 2020 and will be reflected in the Mid-Year Update. SUNY is responsible for funding the cost of the agreement.

The State previously reached agreement with the following unions:

The Civil Service Employees Association (CSEA) and DC-37 (Local 1359 Rent Regulation Service Employees) have five-year labor contracts that provide annual salary increases of 2 percent for FYs 2017 through 2021 and additional compensation changes, offset by benefit design changes within NYSHIP and reductions in overtime costs. Salary increases provided to CSEA and DC-37 (Local 1359) employees were also extended to Management/Confidential (M/C) employees.

The United University Professions (UUP) has a six-year collective bargaining agreement that covers Academic Year's (AY's) 2017 through 2022. The agreement provides for 2 percent general salary increases in each year of the contract and additional compensation changes, which are partly offset by benefit design changes within NYSHIP. The cost of the agreement (approximately \$253 million in FY 2020) has been included in the Financial Plan and is primarily funded by SUNY except for related fringe benefit costs, paid by the State. At the request of SUNY, the State will advance approximately \$110 million in planned payments for State-operated SUNY campuses from November 2019 to June 2019, to make resources available for retroactive payments.

The New York State Correctional Officers and Police Benevolent Association (NYSCOPBA) has a seven-year collective bargaining agreement for FY 2017 through FY 2023. The agreement provides for 2 percent general salary increases in each year of the agreement, and additional compensation changes, offset by benefit design changes within NYSHIP and reductions in overtime costs. Police Benevolent Association of the New York State Troopers (NYSTPBA) has a five-year collective bargaining agreement for FY 2019 through FY 2023. The agreement provides for 2 percent general salary increases in each year of the contract and additional compensation changes, which are partly offset by benefit design changes within NYSHIP.

Contract periods and related general salary increases for State employee union contracts are illustrated below.

UNION LABOR CONTRACTS										
	Contract Period	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
NYSTPBA	FY 2019 - FY 2023	2%	2%	1.5%	1.5%	2%	2%	2%	2%	2%
NYSPIA	FY 2019 - FY 2023	2%	2%	1.5%	1.5%	2%	2%	2%	2%	2%
NYSCOPBA	FY 2017 - FY 2023	2%	2%	2%	2%	2%	2%	2%	2%	2%
GSEU	AY 2020 - AY 2023	2%	2%	2%	2%	2%	2%	2%	2%	2%
UUP	AY 2017 - AY 2022	2%	2%	2%	2%	2%	2%	2%	2%	TBD
CSEA	FY 2017 - FY 2021	2%	2%	2%	2%	2%	2%	2%	TBD	TBD
DC-37	FY 2017 - FY 2021	2%	2%	2%	2%	2%	2%	2%	TBD	TBD
PEF	FY 2017 - FY 2019	2%	2%	2%	2%	2%	TBD	TBD	TBD	TBD
CUNY	FY 2011 - FY 2018	2.5%	2%	2%	1.5%	TBD	TBD	TBD	TBD	TBD
COUNCIL 82	FY 2010 - FY 2016	2%	2%	TBD	TBD	TBD	TBD	TBD	TBD	TBD
PBANYS	FY 2006 - FY 2015	2%	TBD	TBD	TBD	TBD	TBD	TBD	TBD	TBD

The Judiciary also has agreements with all 12 unions represented within its workforce. The contract periods are as follows: FY 2018 to FY 2020 for CSEA; FY 2012 to FY 2021 for the NYS Supreme Court Officers Association, the NYS Court Officers Association and the Court Clerks Association; and FY 2020 to FY 2021 for the remaining eight unions.

## Pension Contributions

### Overview

The State makes annual contributions to the New York State and Local Retirement System (NYSLRS) for employees in the New York State and Local Employees' Retirement System (ERS) and the New York State and Local Police and Fire Retirement System (PFRS). This section discusses contributions from the State, including the Judiciary, to the NYSLRS, which account for the majority of the State's pension costs.<sup>13</sup> All projections are based on estimated market returns and numerous actuarial assumptions which, if unrealized, could change these projections materially.

During FY 2016, the NYSLRS updated its actuarial assumptions based on the results of the 2015 five-year experience study. In September 2015, NYSLRS announced that employer contribution rates would decrease beginning in FY 2017 and the assumed rate of return would be lowered from 7.5 percent to 7 percent. The salary scale assumptions were also changed – for ERS the scale was reduced from 4.9 percent to 3.8 percent and for PFRS the scale was reduced from 6 percent to 4.5 percent. During FY 2019, salary scale assumptions were further changed via a one-time 10 percent increase for both ERS and PFRS, which is reflected in FY 2020 contribution rates.

### FY 2020 Projections

The preliminary FY 2020 ERS/PFRS pension liability estimate of \$2.2 billion is affected by FY 2018 investment returns of 11.4 percent, which was above the Comptroller's assumed 7 percent rate of return. The estimate also reflects the impact of past investment performance and growth in the number of lower cost Tier 6 members. As a result, the average contribution rate for ERS will decrease from 14.9 percent to 14.6 of payroll, while the average contribution rate for PFRS will remain stable at 23.5 percent of payroll.<sup>14</sup>

The pension liability also reflects changes to military service credit provisions found in Section 1000 of the Retirement and Social Security Law (RSSL) enacted during the 2016 legislative session (Chapter 41 of the Laws of 2016). All veterans who are members of NYSLRS may, upon application, receive extra service credit for up to three years of military duty if such veterans (a) were honorably discharged, (b) have achieved five years of credited service in a public retirement system, and (c) have agreed to pay the employee share of such additional pension credit. Costs to the State for employees in the ERS will be incurred at the time each member purchases credit, as documented by the Office of the State Comptroller (OSC) at the end of each calendar year, while costs for employees in PFRS will be distributed across PFRS employers and billed on a two-year lag (e.g. FY 2017 costs were first billed in FY 2019). Additionally, Section 25 of the RSSL requires the State to pay the ERS employer contributions associated with this credit on behalf of local governments. The State is also permitted to amortize the cost of past service credits that are newly

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<sup>13</sup> The State's aggregate pension costs also include costs for State employees in the Teachers' Retirement System (TRS) for both SUNY and the State Education Department (SED), the Optional Retirement Program (ORP) for both SUNY and SED, and the New York State Voluntary Defined Contribution Plan (VDC).

<sup>14</sup> Average contribution rates include the Group Life Insurance Plan (GLIP), and thus differ from the system average normal rates reported in the pension amortization section.

incurred in a given fiscal year. However, the State does not anticipate choosing this option as there would be an interest rate of 7 percent applied to this amortization. The ERS cost to the State (including costs covered for local ERS) was \$26 million in FY 2019 based on actual credit purchased through December 31, 2018. DOB currently estimates ERS costs of \$25 million in FY 2020 and ongoing annual costs of \$7 million beginning in FY 2021 as additional veterans become eligible to purchase the credit.

### Outyear Projections

Pension liability estimates for FY 2021 and beyond, as projected by DOB, reflect growth in normal costs primarily based on the expectation that collective bargaining will result in continued salary increases, and that investment returns will be below the actuarially assumed 7 percent rate of return in the near-to-mid-term.

### Pension Amortization

Under legislation enacted in August 2010, the State and local governments may amortize (defer paying) a portion of their annual pension costs. Amortization temporarily reduces the pension costs that must be paid by public employers in a given fiscal year but results in higher costs overall when repaid with interest.

The State and local governments are required to begin repayment on each new amortization in the fiscal year immediately following the year in which the amortization was initiated. The full amount of each amortization must be repaid within ten years at a fixed interest rate determined by OSC. Legislation included in the FY 2017 Enacted Budget authorized the State to prepay a portion of remaining principal associated with an amortization, and then pay a lower recalculated interest installment in any subsequent year for which the principal has been prepaid. This option does not allow the State to delay the original ten-year repayment schedule, nor does it allow for the interest rate initially applied to the amortization amount to be modified.

The portion of an employer's annual pension costs that may be amortized is determined by comparing the employer's amortization-eligible contributions as a percentage of employee salaries (i.e., the normal rate<sup>15</sup>) to a system-wide amortization threshold (i.e., the graded rate). Graded rates are determined for ERS and PFRS according to a formula enacted in the FY 2010 legislation, and generally move toward their system's average normal rate by up to one percentage point per year. When an employer's normal rate is greater than the system-wide graded rate, the employer can elect to amortize the difference. However, when the normal rate of an employer that previously amortized is less than the system-wide graded rate, the employer is required to pay the graded rate. Additional contributions are first used to pay off existing amortizations and are then deposited into a reserve account to offset future increases in contribution rates. Chapter 48 of the Laws of 2017 changed the graded rate computation to provide an employer-specific graded rate based on the employer's own tier and plan demographics.

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<sup>15</sup> For the purpose of this discussion, the "normal rate" refers to all amortization-eligible costs (i.e., normal and administrative costs, as well as certain employer-provided options such as sick leave credit) divided by salary base.



# Other Matters Affecting the Financial Plan



Neither the State nor the Office of Court Administration (OCA) has amortized its pension costs since FY 2016.

The amortization threshold is projected to equal the normal rate in upcoming fiscal years. The following table reflects projected pension contributions and amortizations exclusively for Executive branch and Judiciary employers participating in ERS and PFRS.

EMPLOYEE RETIREMENT SYSTEM AND POLICE AND FIRE RETIREMENT SYSTEM (millions of dollars)									
Fiscal Year	Statewide Pension Payments <sup>1</sup>				Interest Rate on Amortization Amount (%) <sup>3</sup>	Excess Contributions			
	Normal Costs <sup>2</sup>	(Amortization Amount) / Excess Contributions	Repayment of Amortization	Total Statewide Pension Payments		System Average Normal Rate <sup>4</sup>		Amortization Threshold (Graded Rate)	
						PFRS			
						ERS (%)	(%)	ERS (%)	PFRS (%)
2011	1,543.2	(249.6)	0.0	1,293.6	5.00	11.5	18.1	9.5	17.5
2012	2,037.6	(562.9)	32.3	1,507.0	3.75	15.9	21.6	10.5	18.5
2013	2,076.1	(778.5)	100.8	1,398.4	3.00	18.5	25.7	11.5	19.5
2014	2,633.8	(937.0)	192.0	1,888.8	3.67	20.5	28.9	12.5	20.5
2015	2,325.7	(713.1)	305.7	1,918.3	3.15	19.7	27.5	13.5	21.5
2016	1,972.1	(356.1)	389.9	2,005.9	3.21	17.7	24.7	14.5	22.5
2017	1,788.6	0.0	432.1	2,220.7	2.33	15.1	24.3	15.1	23.5
2018	1,786.6	0.0	432.1	2,218.7	2.84	14.9	24.3	14.9	24.3
2019	1,772.8	0.0	432.1	2,204.9	3.64	14.4	23.5	14.4	23.5
2020 Est.	1,807.7	0.0	432.1	2,239.8	TBD	14.2	23.5	14.2	23.5
-----Projected by DOB <sup>5</sup> -----									
2021	1,967.8	0.0	432.1	2,399.9	TBD	15.2	24.5	15.2	24.5
2022	2,188.6	0.0	399.8	2,588.4	TBD	16.2	25.5	16.2	25.5
2023	2,392.6	0.0	331.3	2,723.9	TBD	17.2	26.5	17.2	26.5
2024	2,607.8	0.0	240.1	2,847.9	TBD	18.2	27.5	18.2	27.5
2025	2,815.5	0.0	126.4	2,941.9	TBD	19.2	28.5	19.2	28.5
2026	3,028.2	0.0	42.2	3,070.4	TBD	20.2	29.5	20.2	29.5

<sup>1</sup> Pension Contribution values in this table do not include pension costs related to the ORP, VDC, and TRS for SUNY and SED, whereas the projected pension costs in other Financial Plan tables include such pension disbursements.

<sup>2</sup> Normal costs include payments from amortizations prior to FY 2011, which ended in FY 2016 as a result of early repayments.

<sup>3</sup> Interest rates are determined by the State Comptroller based on the market rate of return on comparable taxed fixed income investments (e.g., Ten-Year Treasuries). The interest rate is fixed for the duration of the ten-year repayment period.

<sup>4</sup> The system average normal rate represents system-wide amortization-eligible costs (i.e. normal and administrative costs, as well as the cost of certain employer options) as a percentage of the system's total salary base. The normal rate does not include the following costs, which are not eligible for amortization: Group Life Insurance Plan (GLIP) contributions, deficiency contributions, previous amortizations, incentive costs, administrative costs, costs of new legislation in some cases, and prior-year adjustments. "(Amortization Amount) / Excess Contributions" are calculated for each employer in the system using employer-specific normal rates, which may differ from the system average.

<sup>5</sup> Outyear projections are prepared by DOB. The Retirement System does not prepare, or make available, outyear projections of pension costs.

The "Normal Costs" column shows the State's underlying pension cost in each fiscal year, before the effects of amortization. The "(Amortization Amount) / Excess Contributions" column shows amounts amortized. The "Repayment of Amortization" column provides the amount paid in principal and interest towards the outstanding balance on prior-year amortizations. The "Total Statewide Pension Payments" column provides the State's actual or planned pension contribution, inclusive of amortization. The "Interest Rate on Amortization Amount (%)" column provides the interest rate at which the State will repay the amortized contribution, as determined by OSC. The remaining columns provide information on the normal rate and graded rate, which are used to determine the maximum allowed "(Amortized)" amount or the mandatory "Excess Contributions" amount for a given fiscal year.



## Other Post-Employment Benefits (OPEB)

State employees become eligible for post-employment benefits (e.g., health insurance) if they reach retirement while working for the State; are enrolled in either NYSHIP or the NYSHIP opt-out program at the time they reach retirement; and have the required years of eligible service. The cost of providing post-retirement health insurance is shared between the State and the retired employee. Contributions are established by law and may be amended by the Legislature. The State pays its share of costs on a Pay-As-You-Go (PAYGO) basis as required by law.

The State Comptroller adopted Governmental Accounting Standards Board (GASB) Statement (GASBS) 75, *Accounting and Financial Reporting for Postemployment Benefits Other Than Pensions*, for State's Basic Financial Statements for FY 2019. GASBS 75, which replaces GASBS 45 and GASBS 57, addresses accounting and financial reporting for OPEB that is provided to the employees of state and local governmental employers. GASBS 75 establishes standards for recognizing and measuring liabilities and expenses/expenditures, as well as identifying the methods and assumptions that are required to be used to project benefit payments, discount projected benefit payments to their actuarial determined present value, and attribute that present value to periods of employee service. Specifically, GASBS 75 now requires the full liability be recognized. As a result, the March 31, 2018 beginning net positive position of \$28.6 billion (previously reported using GASBS 45) in the State's governmental activities has been restated to a net deficit position of \$3.3 billion under GASBS 75.

The State's total OPEB liability equals the employer's share of the actuarial determined present value of projected benefit payments attributed to past periods of employee service. The total OPEB obligation less any OPEB assets set aside in an OPEB trust or similar arrangement represent the net OPEB obligation.

As reported in the State's Basic Financial Statements for FY 2019, the total OPEB liability for FY 2019 is \$63.4 billion (\$50.9 billion for the State and \$12.5 billion for SUNY). The total OPEB liability as of March 31, 2019 was measured as of March 31, 2018 and was determined using an actuarial valuation as of April 1, 2017, with update procedures used to roll forward the total OPEB liability to March 31, 2018. The total OPEB liability for FY 2018 was \$66.5 billion (\$53.5 billion for the State and \$13.0 billion for SUNY). The total OPEB liability was calculated using the Entry Age Normal cost method. The discount rate is based on the Bond Buyer 20-year general obligation municipal bond index rate at March 31 (3.86 percent in FY 2018 and 3.89 percent in FY 2019). The total OPEB liability declined by \$3.1 billion from FY 2018, primarily attributable to the difference between expected and actual experience.

The contribution requirements of NYSHIP members and the State are established by, and may be amended by, the State legislature. The State is not required to provide funding above the PAYGO amount necessary to provide current benefits to retirees and has not funded a qualified trust or its equivalent as defined in GASBS 75. The State continues to fund these costs, along with all other employee health care expenses, on a PAYGO basis, meaning the State pays these costs as they become due.

The FY 2018 Enacted Budget included legislation creating a Retiree Health Benefit Trust Fund (the “Trust Fund”), a qualified trust under GASBS 75, that authorizes the State to reserve money for the payment of health benefits of retired employees and their dependents. Under the legislation, the State may deposit into the Trust Fund, in any given fiscal year, up to 0.5 percent of total then-current unfunded actuarial accrued OPEB liability. The Financial Plan does not currently include any deposits to the Trust Fund.

GASBS 75 is not expected to alter the Financial Plan cash PAYGO projections for health insurance costs. DOB’s methodology for forecasting these costs over a multi-year period already incorporates factors and considerations consistent with the new actuarial methods and calculations required by the GASB Statement.

### **Litigation**

Litigation against the State may include, among other things, potential challenges to the constitutionality of various actions. The State may also be affected by adverse decisions that are the result of various lawsuits. Such adverse decisions may not meet the materiality threshold to warrant a description herein but, in the aggregate, could still adversely affect the Financial Plan.

### **Cybersecurity**

New York State government, like many other large public and private entities, relies on a large and complex technology environment to conduct its operations. As a recipient and provider of personal, private, or sensitive information, the State and its public corporations and municipalities face multiple cyber threats including, but not limited to, hacking, viruses, malware and other attacks on computer and other sensitive digital networks and systems. Entities or individuals may attempt to gain unauthorized access to the State’s digital systems for the purposes of misappropriating assets or information or causing operational disruption and damage. To mitigate the risk of business operations impact and/or damage from cyber incidents or cyber-attacks, the State invests in multiple forms of cybersecurity and operational controls. The State’s Chief Information Security Office (CISO) within the State’s Office of Information Technology Services (ITS) maintains comprehensive policies and standards, programs, and services relating to the security of State government networks and geographic information systems<sup>16</sup>, and annually assesses the implementation of security policies and standards to ensure compliance through the Nationwide Cyber Security Review. In addition, the CISO maintains the New York State Cyber Command Center team, which provides a security operations center, digital forensics capabilities, and related procedures for cyber incident reporting and response, distributes real-time advisories and alerts, provides managed security services, and implements statewide information security training and exercises. While controls are routinely reviewed and tested, no assurances can be given that such security and operational control measures will be completely successful at guarding against cyber threats and attacks. The results of any such attack could impact business operations and/or damage State digital networks and systems, and the costs of remedying any such damage could be substantial.

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<sup>16</sup> Statewide cybersecurity policies can be found at: <https://its.ny.gov/eiso/policies/security>.

The State has also adopted regulations designed to protect the financial services industry from cyberattacks. Banks, insurance companies and other covered entities regulated by the Department of Financial Services (DFS) are, unless eligible for limited exemptions, required to: (a) maintain a cybersecurity program, (b) create written cybersecurity policies and perform risk assessments, (c) designate a CISO with responsibility to oversee the cybersecurity program, (d) annually certify compliance with the cybersecurity regulations, and (e) report to DFS cybersecurity events that have a reasonable likelihood of materially harming any material part of the entity's normal operation(s) or for which notice is required to any government body, self-regulatory agency, or supervisory body.

### **Financial Condition of New York State Localities**

The State's localities rely in part on State aid to balance their budgets and meet their cash requirements. As such, unanticipated financial need among localities can adversely affect the State's Financial Plan projections. Certain localities outside NYC, including cities and counties, have experienced financial problems and have requested and received additional State assistance during the last several State fiscal years. In 2013, the Financial Restructuring Board for Local Governments was created to aid distressed local governments. The Restructuring Board performs comprehensive reviews and provides grants and loans on the condition of implementing recommended efficiency initiatives. For additional details on the Restructuring Board, please visit [www.frb.ny.gov](http://www.frb.ny.gov).

### **Bond Market**

Successful implementation of the Financial Plan is dependent on the State's ability to market bonds. The State finances much of its capital spending, in the first instance, from the General Fund or the Short-Term Investment Pool (STIP), which it then reimburses with proceeds from the sale of bonds. If the State or its public authorities cannot sell bonds at the levels (or on the timetable) expected in the capital plan, the State's overall cash position and capital funding plan may be adversely affected. The success of projected public sales will be subject to prevailing market conditions and related ratings issued by national credit rating agencies, among other factors. Future developments in the financial markets, including possible changes in Federal tax law relating to the taxation of interest on municipal bonds, as well as future developments concerning the State and public discussion of such developments generally, may affect the market for outstanding State-supported and State-related debt. The TCJA adversely impacted the State and its public authorities by removing certain refunding opportunities for Federal tax-exempt financing, including advance refundings for debt service savings when interest rates are favorable.

## Debt Reform Act Limit

The Debt Reform Act of 2000 (“Debt Reform Act”) restricts the issuance of State-supported debt funding to capital purposes only and limits the maximum term of bonds to 30 years. The Act limits the amount of new State-supported debt to 4 percent of State personal income, and new State-supported debt service costs to 5 percent of All Funds receipts. The restrictions apply to all new State-supported debt issued after April 1, 2000. DOB, as administrator of the Debt Reform Act, determined that the State was in compliance with the statutory caps in the most recent calculation period (FY 2018).

Financial Plan projections indicate that debt outstanding and debt service will continue to remain below limits imposed by the Debt Reform Act. Based on the most recent personal income and debt outstanding forecasts, the available debt capacity under the debt outstanding cap is expected to decline from \$6 billion in FY 2019 to about \$415 million in FY 2024. This includes the estimated impact of funding increased capital commitment levels with State bonds. The cost of debt issued after April 1, 2000 and estimated new issuances is projected at \$5.2 billion in FY 2020, or roughly \$3.6 billion below the statutory debt service limit.

DEBT OUTSTANDING SUBJECT TO CAP (millions of dollars)								TOTAL STATE-SUPPORTED DEBT (millions of dollars)	
Year	Personal Income	Cap %	Cap \$	Debt Outstanding Since April 1, 2000 <sup>1</sup>	\$ Remaining Capacity	Debt as a % of PI	% Remaining Capacity	Debt Outstanding Prior to April 1, 2000	Total State-Supported Debt Outstanding
FY 2019	\$1,339,802	4.00%	53,592	47,645	5,947	3.56%	0.44%	5,579	53,224
FY 2020	\$1,385,075	4.00%	55,403	52,465	2,938	3.79%	0.21%	4,822	57,287
FY 2021	\$1,441,320	4.00%	57,653	56,959	694	3.95%	0.05%	3,396	60,355
FY 2022	\$1,500,617	4.00%	60,025	59,562	463	3.97%	0.03%	2,789	62,351
FY 2023	\$1,563,228	4.00%	62,529	61,900	629	3.96%	0.04%	2,186	64,086
FY 2024	\$1,628,743	4.00%	65,150	64,735	415	3.97%	0.03%	1,698	66,433

DEBT SERVICE SUBJECT TO CAP (millions of dollars)								TOTAL STATE-SUPPORTED DEBT SERVICE (millions of dollars)	
Year	All Funds Receipts	Cap %	Cap \$	Debt Service Since April 1, 2000	\$ Remaining Capacity	DS as a % of Revenue	% Remaining Capacity	Debt Service Prior to April 1, 2000	Total State-Supported Debt Service <sup>2</sup>
FY 2019	\$168,106	5.00%	8,405	4,759	3,646	2.83%	2.17%	1,035	5,794
FY 2020	\$176,943	5.00%	8,847	5,215	3,632	2.95%	2.05%	1,254	6,469
FY 2021	\$176,177	5.00%	8,809	5,740	3,069	3.26%	1.74%	1,333	7,073
FY 2022	\$180,371	5.00%	9,019	6,153	2,866	3.41%	1.59%	972	7,125
FY 2023	\$186,500	5.00%	9,325	6,579	2,746	3.53%	1.47%	750	7,329
FY 2024	\$191,588	5.00%	9,579	6,928	2,651	3.62%	1.38%	543	7,471

<sup>1</sup> Assumes that SUNY Dormitory Facilities lease revenue bonds will be refunded into the new SUNY Dormitory Facilities Revenue Bond credit at their call dates.

<sup>2</sup> Total State-supported debt service is adjusted for prepayments.

The projected debt capacity under the debt outstanding cap depends on expected growth in State personal income. The State uses personal income estimates published by the Federal government, specifically the Bureau of Economic Analysis (BEA), to calculate the cap on debt outstanding, as required by statute. The BEA revises these estimates on a quarterly basis and such revisions can be significant. The BEA increased its Calendar Year 2017 personal income estimate for New York by \$70 billion from March to October 2018, resulting in a \$2.8 billion increase in debt outstanding capacity. Notably, this material fluctuation in statutory debt capacity occurred between the end of FY 2018, when debt outstanding is measured, and the final compliance determination in October 2018. While, in this instance, the State benefitted from the significant increase in BEA’s estimate of New York State personal income, this volatility could have compromised the State’s ability to manage within its statutory debt cap had the personal income estimate been unexpectedly revised downward by a similar amount. Absent such swings in personal income estimates, the State traditionally has relied on adjustments to capital spending priorities and debt financing practices to preserve available debt capacity and stay within the statutory limits.

Such volatility in New York State personal income estimates has prompted DOB to reexamine the manner in which BEA calculates personal income, in particular the apportionment of income among states. For Federal reporting purposes, BEA reassigns income from the state where it was earned to the state in which a person resides, for situations where a person lives and earns income in different states (the “residency adjustment”). The BEA residency adjustment has the effect of reducing reported New York State personal income because income earned in New York by nonresidents regularly exceeds income earned in other states by New York residents. The net residency adjustment reported by BEA decreased the measure of 2017 State personal income by \$73 billion at the time of the FY 2018 debt outstanding calculation. The State taxes all personal income earned in New York, regardless of place of residency. Therefore, including the BEA personal income residency adjustment in the debt cap calculation reduces alignment with the State tax base and understates the PIT revenues available to support State-supported debt. To date, in administering the debt reform cap, DOB has used State personal income, as reduced by the BEA residency adjustment, in debt outstanding cap calculations and projections which correspondingly reduces the State’s debt capacity under the Debt Reform Act.

Changes in the State's available debt capacity, as illustrated below, reflect the impact of several factors, including personal income forecast adjustments and capital spending revisions. This includes \$1.3 billion of new capital commitments enacted in the 2019 legislative session, offset by adjustments to borrowing needs based on capital trends. The State may adjust capital spending priorities and debt financing practices from time to time to preserve available debt capacity and stay within the statutory limits, as events warrant.

DEBT OUTSTANDING SUBJECT TO CAP REMAINING CAPACITY SUMMARY (millions of dollars)						
	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
Enacted Budget Financial Plan	6,032	3,230	1,365	621	201	107
Personal Income Forecast Adjustment	(85)	(36)	(29)	(55)	(82)	(92)
Capital Spending Adjustment	0	(256)	(642)	(103)	510	400
<b>First Quarterly Update Financial Plan</b>	<b>5,947</b>	<b>2,938</b>	<b>694</b>	<b>463</b>	<b>629</b>	<b>415</b>

## Secured Hospital Program

Under the Secured Hospital Program, the State entered into service contracts to enable certain financially distressed not-for-profit hospitals to have tax-exempt debt issued on their behalf, to pay for upgrading their primary health care facilities. Revenues pledged to pay debt service on the bonds include hospital payments made under loan agreements between the Dormitory Authority of the State of New York (DASNY) and the hospitals, and certain reserve funds held by the applicable trustees for the bonds. In the event of revenue shortfalls to pay debt service on the Secured Hospital bonds, the service contracts obligate the State to pay debt service, subject to annual appropriations by the Legislature, on bonds issued by DASNY through the Secured Hospital Program. As of March 31, 2019, approximately \$165 million of bonds were outstanding for this program.

Three of the four remaining hospitals in the State's Secured Hospital Program are in poor financial condition. In relation to the Secured Hospital Program, the State's contingent contractual obligation was invoked to pay debt service for the first time in FY 2014. Since then the State has paid \$125 million for debt service costs. DASNY also estimates the State will pay debt service costs of approximately \$31 million both in FY 2020 and FY 2021, \$25 million in FY 2022, and \$20 million in both FY 2023 and FY 2024. These amounts are based on the actual experience to date of the participants in the program and would cover debt service costs for one hospital whose debt service obligation was discharged in bankruptcy, a second hospital which closed in 2010, and a third hospital that is currently delinquent in its payments. The State has estimated additional exposure of up to \$7 million annually, if all hospitals in the program failed to meet the terms of their agreements with DASNY, and if available reserve funds were depleted.

### **SUNY Downstate Hospital and the Long Island College Hospital (LICH)**

In May 2011, the New York State Supreme Court issued an order that approved the transfer of real property and other assets of LICH to a New York State not-for-profit corporation (“Holdings”), the sole member of which is SUNY. Subsequent to such transfer, Holdings leased the LICH hospital facility to SUNY University Hospital at Brooklyn. In 2012, DASNY issued tax exempt State PIT Revenue Bonds (“PIT Bonds”), to refund approximately \$120 million in outstanding debt originally incurred by LICH and assumed by Holdings.

Pursuant to a court-approved settlement in 2014, SUNY, together with Holdings, issued a request for proposals (RFP) seeking a qualified party to provide or arrange to provide health care services at LICH and to purchase the LICH property.

In accordance with the settlement, Holdings has entered into a purchase and sale agreement with (a) the Fortis Property Group (FPG) Cobble Hill Acquisitions, LLC (the “Purchaser”), an affiliate of Fortis Property Group, LLC (“Fortis”) (also party to the agreement), which proposes to purchase the LICH property, and (b) NYU Hospitals Center, which proposes to provide both interim and long-term health care services. The Fortis affiliate plans to develop a mixed-use project. The agreement was approved by the Offices of the Attorney General and the State Comptroller, and the sale of all or substantially all of the assets of Holdings was approved by the State Supreme Court in Kings County. The initial closing was held as of September 1, 2015, and on September 3, 2015 sale proceeds of approximately \$120 million were transferred to the trustee for the PIT Bonds, which were paid and legally defeased from such proceeds. Titles to 17 of the 20 properties were conveyed to the special purpose entities formed by the Purchaser to hold title.

The next closing, when title to the New Medical Site (NMS) portion of the LICH property is to be conveyed to NYU Hospitals Center (the NMS Closing), is anticipated to occur within 30 days after all buildings on the NMS are fully demolished and all environmental issues remediated by the Purchaser. The physical demolition has been completed, and final review of the environmental paperwork is nearing completion.

As the NMS Closing did not occur on or before June 30, 2016, NYU Hospitals Center has the right to terminate its obligations under the purchase and sale agreement upon 30 days prior notice to Purchaser and Holdings. There can be no assurance that NYU Hospitals Center will not exercise its right to terminate. If NYU Hospitals Center terminates its obligations under the purchase and sale agreement, it has the contractual right to close its interim emergency department services immediately, but that right would be subject to obtaining regulatory approval for the closure. Also, if NYU Hospitals Center terminates its obligations under the purchase and sale agreement, the Purchaser has the ability under the purchase and sale agreement to continue with the final closing if, among other criteria, the Purchaser can identify a replacement provider with a confirming letter of interest to provide certain of the health care services expected to be provided by NYU Hospitals Center. To date, Holdings has received no indication that NYU Hospitals Center intends to terminate its obligations under the purchase and sale agreement.

## Other Matters Affecting the Financial Plan



The final closing is anticipated to occur within 36 months after the NMS Closing. At the final closing, titles to the two remaining portions of the LICH properties will be conveyed to special purpose entities of Fortis, and Holdings will receive the balance of the purchase price, \$120 million less the remaining down payment. The final closing is conditioned upon completion of the New Medical Building by NYU Hospitals Center, and relocation of the emergency department to the New Medical Building.

There can be no assurance that the resolution of legal, financial, and regulatory issues surrounding LICH, including the payment of outstanding liabilities, will not have a materially adverse impact on SUNY.



## Extraordinary Monetary Settlements

Since FY 2015, DOB estimates the State has received \$12.7 billion in Extraordinary Monetary Settlements for violations of State laws by major financial institutions and other entities. The table below lists the receipts by firm and amount. Since the start of FY 2020, the State has received settlements totaling \$862 million. Effective April 1, 2019, DOB is no longer classifying or distinctly identifying any settlements of less than \$25 million as Extraordinary Monetary Settlements.

SUMMARY OF RECEIPTS OF EXTRAORDINARY MONETARY SETTLEMENTS BETWEEN REGULATORS AND FINANCIAL INSTITUTIONS (millions of dollars)							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	Total
<b>Extraordinary Monetary Settlements</b>	<b>4,942</b>	<b>3,605</b>	<b>1,317</b>	<b>805</b>	<b>1,186</b>	<b>862</b>	<b>12,717</b>
Aetna Insurance Company	0	0	0	0	2	0	2
Agricultural Bank of China	0	0	215	0	0	0	215
American International Group, Inc.	35	0	0	0	0	0	35
Athene Life Insurance	0	0	0	0	15	0	15
AXA Equitable Life Insurance Company	20	0	0	0	0	0	20
Bank Leumi	130	0	0	0	0	0	130
Bank of America	300	0	0	0	0	0	300
Bank of America Merrill Lynch	0	0	0	0	42	0	42
Bank of Tokyo Mitsubishi	315	0	0	0	0	0	315
Barclays	0	670	0	0	15	0	685
BNP Paribas	2,243	1,348	0	350	0	0	3,941
Chubb	0	0	0	0	1	0	1
Cigna	0	0	0	2	0	0	2
Citigroup (State Share)	92	0	0	0	0	0	92
Commerzbank	610	82	0	0	0	0	692
Conduent Education Services	0	0	0	0	1	0	1
Credit Agricole	0	459	0	0	0	0	459
Credit Suisse AG	715	30	0	135	0	0	880
Deutsche Bank	0	800	444	0	205	0	1,449
FedEx	0	0	0	0	26	0	26
Goldman Sachs	0	50	190	0	55	0	295
Habib Bank	0	0	0	225	0	0	225
Intesa SanPaolo	0	0	235	0	0	0	235
Lockton Affinity	0	0	0	0	7	0	7
Mashreqbank	0	0	0	0	40	0	40
Mega Bank	0	0	180	0	0	0	180
MetLife Parties	50	0	0	0	20	0	70
Morgan Stanley	0	150	0	0	0	0	150
MUFG Bank	0	0	0	0	0	33	33
Nationstar Mortgage	0	0	0	0	5	0	5
New Day	0	1	0	0	0	0	1
Ocwen Financial	100	0	0	0	0	0	100
Oscar Insurance Company	0	0	0	0	1	0	1
PHH Mortgage	0	0	28	0	0	0	28
PricewaterhouseCoopers LLP	25	0	0	0	0	0	25
Promontory	0	15	0	0	0	0	15
RBS Financial Products Inc.	0	0	0	0	100	0	100
Société Générale SA	0	0	0	0	498	0	498
Standard Chartered Bank	300	0	0	0	40	322	662
Unicredit	0	0	0	0	0	507	507
UBS	0	0	0	0	41	0	41
Volkswagen	0	0	32	33	0	0	65
Wells Fargo	0	0	0	0	65	0	65
Western Union	0	0	0	60	0	0	60
William Penn	0	0	0	0	6	0	6
Other Settlements	7	0	(7)	0	1	0	1

# Other Matters Affecting the Financial Plan



The table below summarizes the past and planned uses of settlements received.

GENERAL FUND SUMMARY OF RECEIPTS AND USE/TRANSFER OF FUNDS FROM EXTRAORDINARY MONETARY SETTLEMENTS BETWEEN REGULATORS AND FINANCIAL INSTITUTIONS (millions of dollars)							
	FYs						Total
	2015 - 2019	FY 2020	FY 2021	FY 2022	FY 2023	FY 2024	
Opening Settlement Balance in General Fund	0	4,194	2,640	1,782	915	122	0
Receipt of Extraordinary Monetary Settlements	11,855	862	0	0	0	0	12,717
Use/Transfer of Funds	7,661	2,416	858	867	793	122	12,717
<b>Capital Purposes:</b>	<b>4,134</b>	<b>1,316</b>	<b>858</b>	<b>867</b>	<b>793</b>	<b>122</b>	<b>8,090</b>
Dedicated Infrastructure Investment Fund	3,373	1,420	1,113	751	531	122	7,310
Environmental Protection Fund	120	0	0	0	0	0	120
Mass Transit	70	6	6	3	0	0	85
Healthcare	25	70	80	63	87	0	325
Clean Water Grants	0	0	25	50	175	0	250
Javits Center Expansion	546	320	134	0	0	0	1,000
Bond Proceed Receipts for Javits Center Expansion	0	(500)	(500)	0	0	0	(1,000)
<b>Other Purposes:</b>	<b>3,122</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>3,122</b>
Audit Disallowance - Federal Settlement	850	0	0	0	0	0	850
CSX Litigation Payment	76	0	0	0	0	0	76
Financial Plan - General Fund Operating Purposes	1,807	0	0	0	0	0	1,807
Mass Transit Operating	10	0	0	0	0	0	10
MTA Operating Aid	194	0	0	0	0	0	194
Department of Law - Litigation Services Operations	180	0	0	0	0	0	180
OASAS Chemical Dependence Program	5	0	0	0	0	0	5
<b>Reservation of Funds:</b>	<b>405</b>	<b>1,100</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1,505</b>
Rainy Day Reserves	250	238	0	0	0	0	488
Reserve for Economic Uncertainties	0	862	0	0	0	0	862
Reserve for Retroactive Labor Agreements	155	0	0	0	0	0	155
Closing Settlement Balance in General Fund	4,194	2,640	1,782	915	122	0	0



# **State Financial Plan Multi-Year Projections**



## Introduction

This section presents the State's multi-year Financial Plan projections for receipts and disbursements, reflecting the impact of forecast revisions in FYs 2020 through FY 2023, with an emphasis on FY 2020 projections, which reflect the impact of the Financial Plan.

The State's cash-basis budgeting system, complex fund structure, and practice of earmarking certain tax receipts for specific purposes complicate the discussion of the State's receipts and disbursements projections. Therefore, to minimize the distortions caused by these factors and, equally important, to highlight relevant aspects of the projections, DOB has adopted the following approaches in summarizing the projections:

- **Receipts.** The detailed discussion of tax receipts covers projections for both the General Fund and State Funds (including capital projects). The State Funds perspective reflects estimated tax receipts before distribution to various funds and accounts, including tax receipts dedicated to capital projects funds (which fall outside of the General Fund and State Operating Funds accounting perspectives). DOB believes this presentation provides a clearer picture of projected receipts, trends, and forecast assumptions, by factoring out the distorting effects of earmarking tax receipts for specific purposes.
- **Disbursements.** Roughly 30 percent of projected State-financed spending for operating purposes (excluding transfers) is accounted for outside the General Fund, concentrated primarily in the areas of health care, School Aid, higher education, and transportation. To provide a clear picture of spending commitments, the multi-year projections and growth rates are presented, where appropriate, on both a General Fund and State Operating Funds basis.

In evaluating the State's multi-year operating forecast, it should be noted that the reliability of the estimates and projections as a predictor of the State's future financial position is likely to diminish, the further removed such estimates and projections are from the date of the Financial Plan. Accordingly, in terms of outyear projections, the first "outyear" of the FY 2020 Budget, FY 2021, is the most relevant from a planning perspective.

## Summary

The Financial Plan reflects 2 percent annual growth in State Operating Funds, consistent with the expectation of adherence to a 2 percent annual spending growth benchmark.

The projections for FY 2021 and thereafter, as set forth in the Financial Plan, reflect savings that DOB estimates would be realized if the Governor continues to propose, and the Legislature continues to enact, balanced budgets in future years that limit annual growth in State Operating Funds spending to no greater than 2 percent. The calculations are developed using the State Operating Funds accounting perspective, as it is currently reflected in the Financial Plan. From time to time, the State has approved legislation that has affected spending reflected in State Operating Funds.

Estimated savings are labeled on a distinct line in the Financial Plan tables as “Adherence to 2% Spending Benchmark.” The total disbursements in the Financial Plan tables do not assume these savings. Such savings will be developed and proposed in future budgets. If the State exceeds the 2 percent State Operating Funds spending benchmark in FY 2020, FY 2021, and/or FY 2022, the projected operating position could decline.

The following tables present the Financial Plan multi-year projections for the General Fund and State Operating Funds, as well as reconciliation between State Operating Funds projections and General Fund budget gaps. The tables are followed by a summary of multi-year receipts and disbursements forecasts.

## General Fund Projections

GENERAL FUND PROJECTIONS (millions of dollars)					
	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
<b>RECEIPTS</b>					
Taxes (After Debt Service)	63,957	71,329	74,292	77,258	81,345
Miscellaneous Receipts	3,586	2,890	2,049	1,892	1,869
Other Transfers	3,001	2,900	2,042	1,696	1,560
<b>Total Receipts</b>	<b>70,544</b>	<b>77,119</b>	<b>78,383</b>	<b>80,846</b>	<b>84,774</b>
<b>DISBURSEMENTS</b>					
Local Assistance	49,745	52,091	55,794	57,928	60,969
School Aid	23,080	23,569	24,916	26,090	27,312
Medicaid	14,340	15,751	16,760	17,606	18,389
All Other	12,325	12,771	14,118	14,232	15,268
State Operations	11,341	11,934	12,620	12,647	12,956
Personal Service	8,719	9,064	9,569	9,547	9,757
Non-Personal Service	2,622	2,870	3,051	3,100	3,199
General State Charges	7,139	7,687	8,230	8,797	9,356
Transfers to Other Funds	4,558	6,114	6,584	6,524	6,968
Debt Service	786	550	738	637	612
Capital Projects	1,888	3,191	3,305	3,168	3,486
State Share of Mental Hygiene Medicaid	(29)	0	0	0	0
SUNY Operations	1,020	1,185	1,259	1,255	1,255
All Other	893	1,188	1,282	1,464	1,615
<b>Total Disbursements</b>	<b>72,783</b>	<b>77,826</b>	<b>83,228</b>	<b>85,896</b>	<b>90,249</b>
<b>Use (Reservation) of Fund Balance:</b>	<b>2,239</b>	<b>707</b>	<b>858</b>	<b>867</b>	<b>793</b>
Community Projects	11	35	0	0	0
Labor Agreements	(51)	206	0	0	0
Business Tax Refund	(202)	202	0	0	0
Undesignated Fund Balance	1,905	0	0	0	0
Extraordinary Monetary Settlements <sup>1</sup>	826	1,554	858	867	793
<b>BUDGET SURPLUS/(GAP) PROJECTIONS<sup>2</sup></b>	<b>0</b>	<b>0</b>	<b>(3,987)</b>	<b>(4,183)</b>	<b>(4,682)</b>
<b>Adherence to 2% Spending Benchmark<sup>3</sup></b>	<b>n/a</b>	<b>n/a</b>	<b>3,752</b>	<b>5,046</b>	<b>6,736</b>
<b>BUDGET SURPLUS/(GAP) ESTIMATE AT 2%</b>	<b>0</b>	<b>0</b>	<b>(235)</b>	<b>863</b>	<b>2,054</b>
<sup>1</sup> Reflects transfers of Extraordinary Monetary Settlement funds from the General Fund to the Dedicated Infrastructure Investment Fund, the Environmental Protection Fund, and the Capital Projects Fund. <sup>2</sup> Before actions to adhere to the 2 percent spending growth benchmark. <sup>3</sup> Savings estimated from limiting annual spending growth in future years to 2 percent (calculation based on FY 2020 estimate) and assuming all savings are made available to the General Fund.					

## State Operating Funds Projections

STATE OPERATING FUNDS DISBURSEMENTS (millions of dollars)					
	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
<b>RECEIPTS</b>					
Taxes	74,144	79,853	84,293	87,719	91,913
Miscellaneous Receipts/Federal Grants	23,558	21,114	18,642	18,831	18,283
<b>Total Receipts</b>	<b>97,702</b>	<b>100,967</b>	<b>102,935</b>	<b>106,550</b>	<b>110,196</b>
<b>DISBURSEMENTS</b>					
Local Assistance	66,177	68,462	71,475	73,916	76,580
School Aid (School Year Basis)	26,843	27,856	28,957	30,159	31,382
DOH Medicaid <sup>1</sup>	20,476	21,686	22,700	23,527	24,393
Transportation	3,938	3,549	3,849	4,047	4,296
STAR	2,423	2,176	2,073	1,979	1,858
Higher Education	2,980	2,976	2,945	2,989	3,024
Social Services	2,798	2,871	2,958	3,016	3,019
Mental Hygiene	2,150	1,989	2,480	2,474	2,731
All Other <sup>2</sup>	4,569	5,359	5,513	5,725	5,877
State Operations	19,057	19,754	20,229	20,334	20,693
Personal Service	13,687	14,261	14,683	14,684	14,973
Non-Personal Service	5,370	5,493	5,546	5,650	5,720
General State Charges	8,204	8,767	9,371	9,951	10,540
Pension Contribution	2,431	2,472	2,636	2,830	2,965
Health Insurance	4,193	4,312	4,651	5,001	5,378
All Other	1,580	1,983	2,084	2,120	2,197
Debt Service	6,699	5,170	6,873	7,125	7,329
Capital Projects	0	0	0	0	0
<b>Total Disbursements<sup>3</sup></b>	<b>100,137</b>	<b>102,153</b>	<b>107,948</b>	<b>111,326</b>	<b>115,142</b>
Net Other Financing Sources/(Uses)	1,190	(113)	(297)	(310)	(611)
<b>RECONCILIATION TO GENERAL FUND GAP</b>					
Designated Fund Balances:	1,245	1,299	1,323	903	875
General Fund	2,239	707	858	867	793
Special Revenue Funds	(1,082)	574	449	33	88
Debt Service Funds	88	18	16	3	(6)
<b>GENERAL FUND BUDGET SURPLUS/(GAP)<sup>3</sup></b>	<b>0</b>	<b>0</b>	<b>(3,987)</b>	<b>(4,183)</b>	<b>(4,682)</b>
<b>Adherence to 2% Spending Benchmark<sup>4</sup></b>	<b>n/a</b>	<b>n/a</b>	<b>3,752</b>	<b>5,046</b>	<b>6,736</b>
<b>BUDGET SURPLUS/(GAP) ESTIMATE AT 2%</b>	<b>0</b>	<b>0</b>	<b>(235)</b>	<b>863</b>	<b>2,054</b>

<sup>1</sup> Total State share Medicaid funding excludes the utilization of tobacco MSA payments which will be directly deposited to a Medicaid Escrow Fund to cover a portion of local Medicaid growth.

<sup>2</sup> All Other includes other education, parks, environment, economic development, public safety, and reconciliation between school year and State fiscal year spending on School Aid.

<sup>3</sup> Before actions to adhere to the 2 percent spending growth benchmark.

<sup>4</sup> Savings estimated from limiting annual spending growth in future years to 2 percent (calculation based on FY 2020 estimate) and assuming all savings are made available to the General Fund.

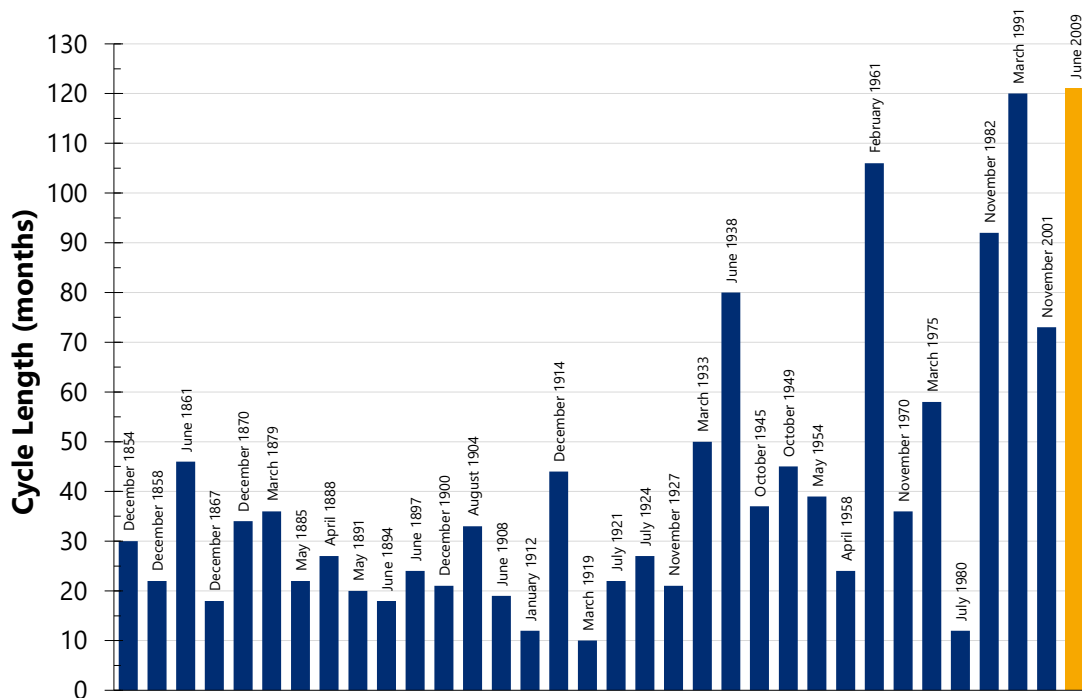


## The U.S. and Global Economy

### Overview

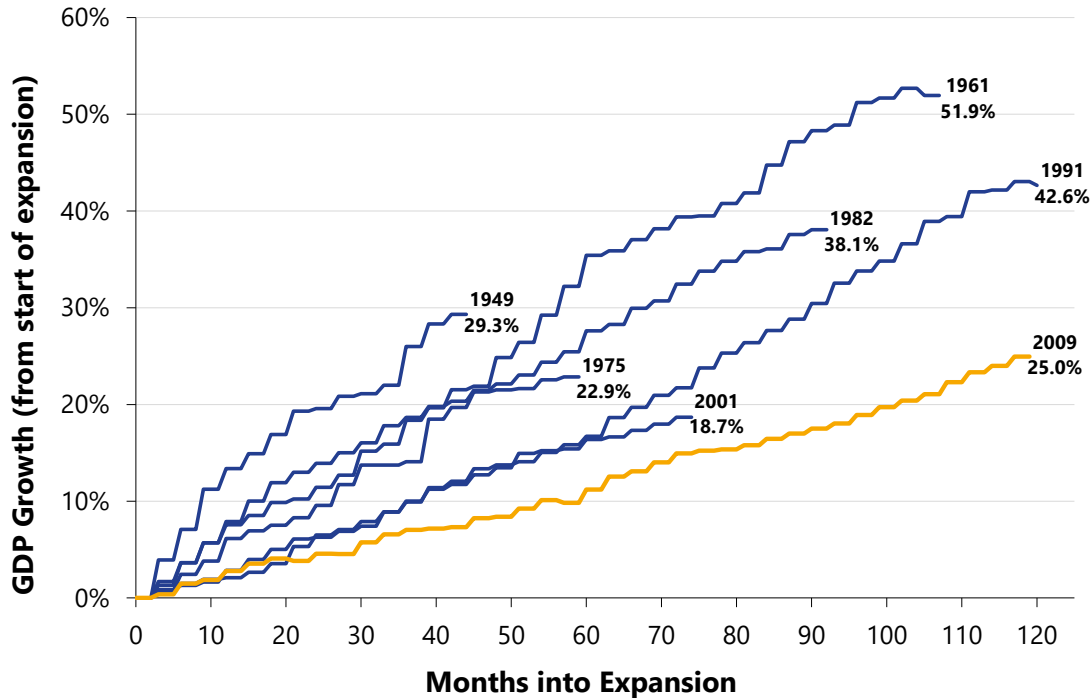
The U.S. economy officially entered its longest economic expansion in July of this year, breaking the 120-month record of economic recovery between March 1991 to March 2001, according to the National Bureau of Economic Research (NBER). The current economic expansion that started in June of 2009 after a particularly deep recession (Great Recession, December 2007–June 2009), while record-breaking in duration, is marked by slower real Gross Domestic Product (GDP) growth compared to previous post-war expansions, as illustrated below. Job gains have also been slower than most post-war expansions, even though the April 2019 unemployment rate was the lowest since December 1969. Worries about the continuation of the expansion have intensified recently due to waning support from fiscal stimulus, slowing global growth, labor market constraints, heightened trade frictions with China and increasing economic policy uncertainties.

### U.S. Economic Expansions



Note: Bar labels indicate the start of each economic expansion.  
Source: NBER.

## Real GDP Growth During Economic Expansion



Source: Haver Analytics/U.S. Bureau of Economic Analysis (BEA).

The International Monetary Fund (IMF) in its latest World Economic Outlook Report projects global growth to slow in 2019, particularly in several key economies.<sup>17</sup> Chinese economic growth in the first quarter is signaling a stronger deceleration than anticipated. Germany is currently in an industrial-manufacturing downturn, while Italy is still in recession territory, and Turkey seems to be pulling out of a technical recession. The European Central Bank (ECB) has signaled it will begin providing more stimulus in the near future to counter the slowdown in the region. Fixed income investors have taken note and begun their flight to safety: German 10-year bonds fell below zero percent in recent months, indicating investors are willing to pay reputable governments to hold their money. Investors have also piled into U.S. treasury bonds, pushing 10-year bond yields below three-month Treasury bills, inverting the yield curve—a leading indicator of economic downturns.<sup>18</sup>

<sup>17</sup> International Monetary Fund: <https://www.imf.org/en/publications/weo>

<sup>18</sup> Federal Reserve Bank of St. Louis: <https://fred.stlouisfed.org/series/T10Y3M>; Lower yields on longer-dated U.S. bonds compared to shorter maturities indicate investor pessimism of future growth, and investors willing to preserve capital than invest in the economy.

## U.S. Economic Forecast<sup>19</sup>

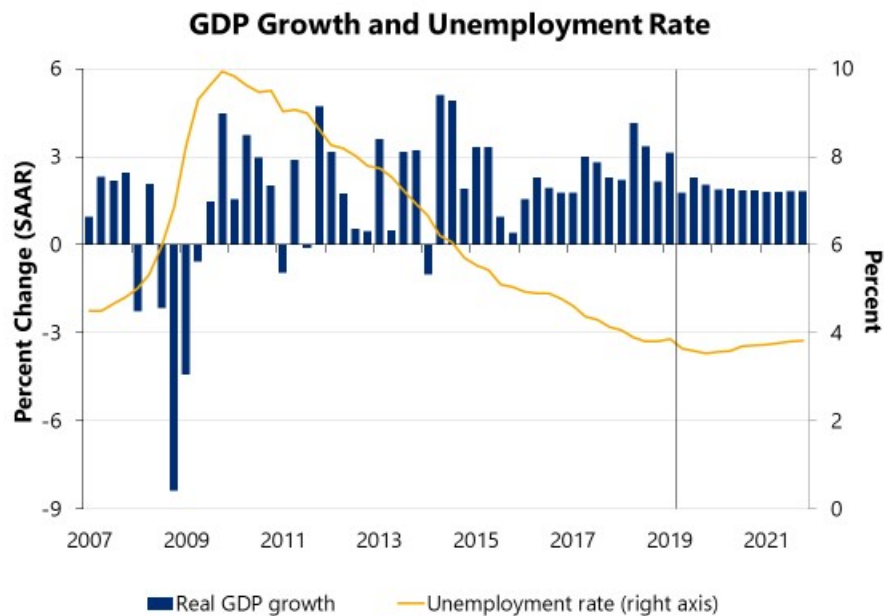
According to the Bureau of Economic Analysis (BEA), the third estimate of real GDP grew 3.1 percent in the first quarter of 2019. This was unrevised from the second estimate reported in May. The third estimate revised down consumption by 0.4 percentage point to 0.9 percent, while raising growth of fixed investment and government expenditures.

DOB expects real GDP growth of 2.6 percent in 2019, slower than the 2.9 percent pace set in 2018. Compared to the Enacted Budget forecast, 2019 real GDP growth has been raised by 0.1 percentage point. Growth in 2019 is led by consumer spending and government expenditures. Real consumption, the largest component of GDP, is forecast to grow 2.5 percent, an uptick of 0.1 percentage point from 2.4 percent expected in the Enacted Budget forecast. Government consumption and gross investment were revised up from the Enacted Budget forecast, mainly due to a temporary boost in state and local government construction spending. Growth will be tempered by declining net exports and a reduction in inventories after surging in the first quarter of 2019. Real GDP growth is forecast to increase two percent in 2020, one-tenth of a percent higher than the Enacted Budget forecast. In 2021, real GDP growth is forecast to advance 1.8 percent, one-tenth of a percent lower than the Enacted Budget forecast.

The U.S. economy added 224,000 nonfarm payroll jobs in June, bringing the average monthly job gains during the first half of this year to 172,000; this is considerably weaker than the 223,000 monthly average for 2018. The unemployment rate edged up to 3.7 percent in June from a near 50-year low of 3.6 percent recorded in the prior two months. Employment growth is expected to lose momentum over the next several years. Total nonfarm employment growth of 1.5 percent is projected for 2019, followed by 1.1 percent growth for 2020, both of which are one-tenth of a percentage point below the Enacted Budget forecast.

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<sup>19</sup> DOB's forecast incorporates the third estimate of 2019 first quarter GDP, May 2019 personal income and outlays, June 2019 employment, and June 2019 CPI reports.



Source: Moody's Analytics/BEA, BLS; DOB staff estimates.

Consistent with capacity-constrained labor markets and a current unemployment rate that is 0.8 percentage point below the Congressional Budget Office (CBO's) Non-Accelerating Inflation Rate of Unemployment (NAIRU)<sup>20</sup> the outlook for wage growth is expected to remain robust. However, recent average hourly earnings growth has been softer-than-expected; wage and salaries estimated growth for 2019 has been revised down to 3.6 percent, 0.8 percentage point below the Enacted Budget forecast. Personal income growth for 2019 is projected to be 4 percent, slightly weaker than estimated in the Enacted Budget forecast. On balance, downward forecast revisions to wage, proprietors' and rental incomes were offset by upward revisions to interest, dividend and transfer incomes.

Tight labor markets with low wage pressures has kept consumer price inflation relatively subdued. The Consumer Price Index (CPI) is projected to rise 1.8 percent in 2019, unchanged from the Enacted Budget forecast, after growing 2.4 percent in 2018. CPI is projected to rise 2.2 percent in 2020 and 2.3 percent in 2021, unchanged from the Enacted Budget forecast.

The Federal Reserve last raised its benchmark interest rate in December 2018 by 25 basis points. As DOB expected, the Federal Open Market Committee cut the Federal funds target rate by 25 basis points at its end-of July 2019 meeting. The rate cut is considered as "insurance" against downside risks such as softening domestic growth, trade policy uncertainty, fading impacts of fiscal stimulus and tepid core consumer price inflation. Projections of yields on U.S. 10-year notes are revised down in 2019 through 2021 from the Enacted Budget forecast. DOB does not anticipate the compressed term premia to improve in the forecast horizon.

<sup>20</sup> NAIRU is a theoretical level of unemployment below which inflation would be expected to rise.

Real consumer spending was a drag on growth in the first quarter of 2019, contributing only 0.6 percentage point to the 3.1 percent quarterly GDP growth. Recent monthly data indicate a rebound in consumption for the second quarter. Personal consumption on both durable and non-durable goods is forecast to bounce back in the second quarter. Consumption is anticipated to grow 2.5 percent in 2019, a 0.1 percentage point increase from the Enacted Budget forecast. Consumption is forecast to slow to 2.2 percent in 2020 and decelerate further to 1.8 percent in 2021; the Enacted Budget forecast expected consumption to gain 2.1 percent and 1.8 percent in 2020 and 2021, respectively.

Mortgage rates have fallen since peaking in November 2018. The 30-year mortgage rate is 3.8 percent as of mid-July, 110 basis points lower than last November. While the decline in mortgage rates should have encouraged housing activities, it has done little to stimulate new construction to date. As of the first quarter of this year, real residential investment has declined for the fifth consecutive quarter. However, DOB expects lower mortgage rates will eventually end the recent residential investment slump, though at a markedly slower pace in 2020 and 2021 than the Enacted Budget forecast. Following a 0.3 percent decline in 2018, real residential investment is expected to drop 1.7 percent in 2019 before reversing course into positive territory in 2020 and 2021.

Business investment growth slowed down significantly during the second quarter of 2019 as global growth cooled and trade policy uncertainties deterred businesses from making capital investment plans. DOB projects 3.7 percent growth in business fixed investment during 2019, following 6.9 percent growth in 2018. Growth of investment in equipment is forecast at 1.8 percent in 2019, a sharp decline from the 2018 growth rate of 7.4 percent. Part of this slowdown is due to Boeing halting production of the 787 MAX aircraft, which will remain on hold through at least September. Investment in structures is expected to remain flat in 2019, following five percent growth last year. Investment in intellectual property is expected to maintain its healthy pace in 2019 at a rate of 8.5 percent, following 7.5 percent growth last year.

DOB's latest forecast includes a step-up in tariffs on approximately \$200 billion of goods imported from China, from 10 percent to 25 percent. In addition, DOB has included retaliatory tariffs on approximately \$100 billion of U.S. exports to China. Trade frictions will place upward pressure on core (excluding food and energy) consumer price inflation. Weaker global growth, a stronger dollar and retaliatory tariffs will exert downward pressure on U.S. export growth.

<b>ECONOMIC INDICATORS FOR THE UNITED STATES</b> (Calendar Year Growth)			
	<b>CY 2018</b> <u>(Actual)</u>	<b>CY 2019</b> <u>(Forecast)</u>	<b>CY 2020</b> <u>(Forecast)</u>
Real U.S. Gross Domestic Product	2.9	2.6	2.0
Consumer Price Index (CPI)	2.4	1.8	2.2
Personal Income	4.4	4.0	4.2
Nonagricultural Employment	1.7	1.5	1.1
Civilian Unemployment Rate	3.9	3.7	3.6

Source: Moody's Analytics; DOB staff estimates.

## Risks

Downside risks to the DOB forecast include an escalation of the trade dispute between the U.S. and China, anemic global growth worsening, commodity price shocks from geopolitical instability, a hard Brexit, a splintering of the eurozone, a stock market correction and a possible U.S. debt ceiling crisis.

Upside risks to the DOB forecast include easing of U.S.-China trade tensions, improved global economic conditions, stronger business investments and exports, a speedy recovery of the housing market, rising wages sustaining domestic demand, and an amicable resolution to Brexit.

## The New York Economy

New York State's private sector employment grew an estimated 1.4 percent in the first quarter of 2019 over the prior year, which is above historical trend but a notable deceleration from recent years. The utilities, wholesale trade, retail trade and manufacturing sectors continued to shed jobs in early 2019, extending losses recorded in 2018. Declines in these sectors were compensated by robust gains in the education, healthcare and social assistance and the transportation and warehousing sectors. The finance and insurance as well as the professional and business services sectors are highly sensitive to national and global economic trends, and susceptible to financial market fluctuations. Job gains in these highly-paid sectors have decelerated during the last three years and continued their slowdown in the first half of 2019.

Since the release of the Enacted Budget forecast, private sector employment growth in 2018 was revised up by 0.1 percentage point to 1.5 percent on a calendar year basis. Growth in 2019 has been revised to 1.3 percent, up 0.1 percentage point from the Enacted Budget, reflecting stronger than expected national GDP growth. Total employment gains, including government, were virtually unchanged from the Enacted Budget forecast, rising 1.1 percent in 2019 after growing 1.3 percent in 2018. Job gains in 2020 and 2021 are forecast to wane as economic growth in the national and state economies is anticipated to slow. Total employment growth in 2021 is unchanged at 0.8 percent, while 2022 growth is raised a notch to 0.9 percent from 0.8 percent from the Enacted Budget forecast.

New York Stock Exchange member firms had a great year in 2018, with nearly 20 percent growth in revenue.<sup>21</sup> However, total revenues for the five largest Wall Street banks declined 1.3 percent from prior year results during the first quarter of 2019. The same banks posted modest growth of 1.7 percent during the second quarter. DOB estimates that bonuses from the finance and insurance sector fell 9.2 percent for FY 2019, an improvement from the Enacted Budget forecast that projected a steeper 11.2 percent decline. The drop in bonuses in FY 2019 follow an elevated FY 2018 level that was lifted by the Federal corporate tax cut under TCJA. Total wages, including bonuses, are estimated to have grown 3.5 percent in FY 2019, following 4.5 percent growth in FY 2018.

The Initial Public Offering (IPO) market was at a standstill during the first two months of 2019 due to weakened equity market prices and the partial Federal government shutdown. Activity soon recovered in the following months and was notable in May with Uber's IPO. Indeed, IPOs have grown 4.2 percent during the first six months of the year over 2018 results. In contrast, debt underwriting fell over 15 percent from prior year results during the first five months of 2019, an accelerated pace of decline after debt underwriting dropped 10.6 percent in 2018. The improved IPO market, tempered by weaker debt underwriting, results in finance and insurance sector bonuses advancing 1.5 percent in FY 2020, slightly above DOB expectations in the Enacted Budget forecast. Overall wage growth, including bonuses, is projected to rise 3.9 percent for FY 2020, a 0.1 percentage point increase from the Enacted Budget forecast. Total wage growth in FY 2021 is projected at 4.1 percent, unchanged from the Enacted Budget forecast.

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<sup>21</sup>New York Stock Exchange revenues cover only the broker dealer arm of the member firms.

<b>ECONOMIC INDICATORS FOR NEW YORK STATE</b> (Fiscal Year Growth)			
	<b>FY 2018</b> <u>(Actual)</u>	<b>FY 2019</b> <u>(Estimated)</u>	<b>FY 2020</b> <u>(Forecast)</u>
Personal Income	5.6	3.9	3.7
Wages	4.5	3.5	3.9
Nonagricultural Employment	1.3	1.3	1.1

Source: Moody's Analytics; DOB staff estimates.

As job growth slows in the State, there are many risks to the forecast. All the risks to the U.S. forecast also apply to the State forecast. However, as the nation's financial capital, both the volume of financial market activity and the volatility in equity markets involve a particularly large degree of uncertainty for New York State. Since the State's financial services and professional and business services sectors serve a global market, they can be highly sensitive to global economic trends. Equity market volatility along with weaker than anticipated growth in both the U.S. and international economies could result in weaker bonus and overall wage growth, as well as lower taxable capital gains realizations. In contrast, stronger equity markets, along with stronger national and global growth, could result in stronger employment and wage growth than is reflected in this forecast.



## Receipts

Financial Plan receipts results, and projections include a variety of taxes, fees and assessments, charges for State-provided services, Federal grants, and other miscellaneous receipts. The multiyear tax and miscellaneous receipts estimates are prepared by DOB with the assistance of the Department of Taxation and Finance (DTF) and other agencies which collect State receipts and are predicated on economic analysis and forecasts.

Overall base growth (i.e. growth not due to law changes) in tax receipts is dependent on many factors. In general, base tax receipts growth rates are determined by economic changes including, but not limited to, changes in interest rates, prices, wages, employment, nonwage income, capital gains realizations, taxable consumption, corporate profits, household net worth, real estate prices and gasoline prices. Federal law changes can influence taxpayer behavior, which often alters base tax receipts. State taxes account for approximately half of total All Funds receipts.

The projections of Federal receipts generally correspond to the anticipated spending levels of a variety of programs including Medicaid, public assistance, mental hygiene, education, public health, and other activities.

Where noted, certain tables in the following section display General Fund tax receipts that exclude amounts transferred to the General Fund in excess of amounts needed for certain debt service obligations (e.g., Personal Income Tax (PIT) receipts in excess of the amount transferred for debt service on revenue bonds).

### Overview of the Receipts Forecast

All Funds receipts in FY 2020 are projected to total \$176.9 billion, 5.3 percent above FY 2019 results.

ALL FUNDS RECEIPTS (millions of dollars)									
	FY 2019 Results	FY 2020 Updated	Change	FY 2021 Projected	Change	FY 2022 Projected	Change	FY 2023 Projected	Change
Personal Income Tax	48,088	52,150	8.4%	55,376	6.2%	58,036	4.8%	61,178	5.4%
Consumption/Use Taxes	17,357	18,308	5.5%	19,014	3.9%	19,548	2.8%	20,123	2.9%
Business Taxes	7,912	8,553	8.1%	8,934	4.5%	9,049	1.3%	9,417	4.1%
Other Taxes	2,221	2,262	1.8%	2,362	4.4%	2,472	4.7%	2,581	4.4%
<b>Total State Taxes</b>	<b>75,578</b>	<b>81,273</b>	<b>7.5%</b>	<b>85,686</b>	<b>5.4%</b>	<b>89,105</b>	<b>4.0%</b>	<b>93,299</b>	<b>4.7%</b>
Miscellaneous Receipts	31,184	29,347	-5.9%	25,935	-11.6%	25,014	-3.6%	24,385	-2.5%
Federal Receipts	61,344	66,324	8.1%	64,555	-2.7%	66,253	2.6%	68,815	3.9%
<b>Total All Funds Receipts</b>	<b>168,106</b>	<b>176,944</b>	<b>5.3%</b>	<b>176,176</b>	<b>-0.4%</b>	<b>180,372</b>	<b>2.4%</b>	<b>186,499</b>	<b>3.4%</b>

State tax receipts are projected to increase 7.5 percent in FY 2020, driven by underlying annual outyear growth across all tax categories, consistent with the projected growth in the State economy over the multi-year Financial Plan period.

After controlling for the impact of tax law changes, base tax revenue decreased 3 percent in FY 2019, is projected to increase by 12.4 percent in FY 2020 and by 4 percent in FY 2021.

## Personal Income Tax

PERSONAL INCOME TAX (millions of dollars)									
	FY 2019	FY 2020		FY 2021		FY 2022		FY 2023	
	Results	Updated	Change	Projected	Change	Projected	Change	Projected	Change
<b>STATE/ALL FUNDS</b>	<b>48,088</b>	<b>52,150</b>	<b>8.4%</b>	<b>55,376</b>	<b>6.2%</b>	<b>58,036</b>	<b>4.8%</b>	<b>61,178</b>	<b>5.4%</b>
Gross Collections	59,175	64,729	9.4%	66,839	3.3%	70,797	5.9%	74,578	5.3%
Refunds (Incl. State/City Offset)	(11,087)	(12,579)	-13.5%	(11,463)	8.9%	(12,761)	-11.3%	(13,400)	-5.0%
<b>GENERAL FUND<sup>1</sup></b>	<b>21,621</b>	<b>23,899</b>	<b>10.5%</b>	<b>25,615</b>	<b>7.2%</b>	<b>27,039</b>	<b>5.6%</b>	<b>28,731</b>	<b>6.3%</b>
Gross Collections	59,175	64,729	9.4%	66,839	3.3%	70,797	5.9%	74,578	5.3%
Refunds (Incl. State/City Offset)	(11,087)	(12,579)	-13.5%	(11,463)	8.9%	(12,761)	-11.3%	(13,400)	-5.0%
STAR	(2,423)	(2,176)	10.2%	(2,073)	4.7%	(1,979)	4.5%	(1,858)	6.1%
RBTF	(24,044)	(26,075)	-8.4%	(27,688)	-6.2%	(29,018)	-4.8%	(30,589)	-5.4%

<sup>1</sup>Excludes Transfers.

All Funds PIT receipts for FY 2020 are estimated to total \$52.2 billion, an increase of \$4.1 billion (8.4 percent) from FY 2019 results. Increases in withholding, final returns, and extension payments related to the 2018 Tax Year, are partially offset by growth in expected refunds.

The following table summarizes, by component, actual receipts for FY 2019 and forecast amounts through FY 2023.

<b>ALL FUNDS PERSONAL INCOME TAX FISCAL YEAR COLLECTION COMPONENTS</b>					
<b>(millions of dollars)</b>					
	<b>FY 2019</b>	<b>FY 2020</b>	<b>FY 2021</b>	<b>FY 2022</b>	<b>FY 2023</b>
	<b>Results</b>	<b>Updated</b>	<b>Projected</b>	<b>Projected</b>	<b>Projected</b>
<b>Receipts</b>					
Withholding	41,084	42,900	44,556	46,738	48,672
Estimated Payments	14,010	16,972	17,169	18,751	20,391
Current Year	10,481	10,956	11,579	12,433	13,276
Prior Year <sup>1</sup>	3,529	6,016	5,590	6,318	7,115
Final Returns	2,685	3,348	3,508	3,632	3,789
Current Year	344	301	316	331	346
Prior Year <sup>1</sup>	2,341	3,047	3,192	3,301	3,443
Delinquent	1,396	1,509	1,606	1,676	1,726
Gross Receipts	59,175	64,729	66,839	70,797	74,578
<b>Refunds</b>					
Prior Year <sup>1</sup>	6,034	6,448	6,841	7,827	8,147
Previous Years	589	658	688	719	750
Current Year <sup>1</sup>	2,249	2,250	1,750	1,750	1,750
Advanced Credit Payment	1,080	1,924	760	916	1,079
State/City Offset <sup>1</sup>	1,135	1,299	1,424	1,549	1,674
Total Refunds	11,087	12,579	11,463	12,761	13,400
<b>Net Receipts</b>	<b>48,088</b>	<b>52,150</b>	<b>55,376</b>	<b>58,036</b>	<b>61,178</b>

<sup>1</sup>These components, collectively, are known as the "settlement" on the prior year's tax liability.

FY 2020 withholding is estimated to be \$1.8 billion (4.4 percent) higher than FY 2019 results, driven by wage growth of 3.9 percent. Extension payments related to Tax Year 2018 are expected to increase by \$2.5 billion (70.5 percent), primarily due to a behavioral response to the Federal Tax Cuts and Jobs Act (TCJA). Taxpayers, who otherwise would have made more substantial estimated payments in December 2018, opted to pay a greater percentage of their liabilities through extensions and final payments in April 2019. Estimated payments attributable to Tax Year 2019 are expected to increase by \$475 million (4.5 percent), suppressed by a 0.4 percent growth in nonwage income. FY 2020 final return payments are estimated to increase by \$663 million (24.7 percent), due to the aforementioned behavioral response to the TCJA, and delinquencies are expected to increase by \$113 million (8.1 percent).

Estimated growth in total refunds of \$1.5 billion (13.5 percent) in FY 2020 includes increases of \$844 million (78.1 percent) in advanced credit payments related to Tax Year 2019, \$69 million (11.7 percent) in previous tax year (2017 and earlier) refunds, \$164 million (14.4 percent) in the state-city offset, and \$414 million (6.9 percent) in prior tax year (2018) refunds. The administrative January-March refund cap is expected to remain at the higher level in FY 2020, virtually unchanged from FY 2019 results. General Fund PIT receipts are net of deposits to the School Tax Relief (STAR) Fund, which provides property tax relief, and the Revenue Bond Tax Fund (RBTF), which supports debt service payments on State PIT revenue bonds. General Fund PIT receipts for FY 2020 of \$23.9 billion are estimated to increase by \$2.3 billion (10.5 percent) from FY 2019 results, reflecting the increase in All Funds receipts noted above. The FY 2020 STAR transfer is expected to be nearly \$2.2 billion.

All Funds PIT receipts for FY 2021 of \$55.4 billion are projected to increase by \$3.2 billion (6.2 percent) from FY 2020 estimates. Gross PIT receipts are projected to increase 3.3 percent, reflecting increases of \$1.7 billion (3.9 percent) in withholding, \$623 million (5.7 percent) in estimated payments related to tax year 2020, \$160 million (4.8 percent) in final returns, and \$97 million (6.4 percent) in delinquencies, partially offset by a decline of \$426 million (7.1 percent) in extension payments related to tax year 2019. Total refunds are projected to decline \$1.1 billion (8.9 percent), due to declines of nearly \$1.2 billion (60.5 percent) in advanced credit payments and \$500 million (22.2 percent) in the administrative January-March refund cap, partially offset by increases of \$125 million (9.6 percent) in the state-city offset, \$393 million (6.1 percent) in prior tax year (2019) refunds, and \$30 million (4.6 percent) in previous tax year (2018 and earlier) refunds. General Fund PIT receipts for FY 2021 of \$25.6 billion are projected to increase by \$1.7 billion (7.2 percent), mainly reflecting the increase in All Funds receipts noted above. RBTF deposits are projected to be \$27.7 billion, and the STAR transfer is projected to be \$2.1 billion.

All Funds PIT receipts for FY 2022 of \$58 billion are projected to increase by \$2.7 billion (4.8 percent) from FY 2021 projections. Gross PIT receipts are projected to increase 5.9 percent, reflecting projected increases of \$2.2 billion (4.9 percent) in withholding and \$1.6 billion (9.2 percent) in total estimated payments, partially offset by a projected increase in total refunds of \$1.3 billion (11.3 percent).

General Fund PIT receipts for FY 2022 of \$27 billion are projected to increase by \$1.4 billion (5.6 percent). RBTF deposits are projected to be \$29 billion, and the STAR transfer is projected to be \$2 billion.

All Funds PIT receipts in FY 2023 are projected to increase by \$3.1 billion from FY 2022 projections to reach \$61.2 billion, while General Fund PIT receipts are projected to total \$28.7 billion in FY 2023.

## Consumption/Use Taxes

CONSUMPTION/USE TAXES (millions of dollars)									
	FY 2019	FY 2020		FY 2021		FY 2022		FY 2023	
	Results	Updated	Change	Projected	Change	Projected	Change	Projected	Change
<b>STATE/ALL FUNDS</b>	<b>17,357</b>	<b>18,308</b>	<b>5.5%</b>	<b>19,014</b>	<b>3.9%</b>	<b>19,548</b>	<b>2.8%</b>	<b>20,123</b>	<b>2.9%</b>
Sales Tax	15,128	16,158	6.8%	16,849	4.3%	17,419	3.4%	18,027	3.5%
Cigarette and Tobacco Taxes	1,108	1,041	-6.0%	981	-5.8%	936	-4.6%	895	-4.4%
Vapor Excise Tax	0	10	n/a	39	290.0%	39	0.0%	39	0.0%
Motor Fuel Tax	528	515	-2.5%	515	0.0%	515	0.0%	515	0.0%
Highway Use Tax	145	141	-2.8%	143	1.4%	145	1.4%	146	0.7%
Alcoholic Beverage Taxes	262	265	1.1%	269	1.5%	272	1.1%	275	1.1%
Opioid Excise Tax	0	66	n/a	100	51.5%	100	0.0%	100	0.0%
Medical Cannabis Excise Tax	4	4	0.0%	4	0.0%	4	0.0%	4	0.0%
Taxicab Surcharge <sup>2</sup>	52	0	-100.0%	0	0.0%	0	0.0%	0	0.0%
Auto Rental Tax <sup>2</sup>	130	108	-16.9%	114	5.6%	118	3.5%	122	3.4%
<b>GENERAL FUND<sup>1</sup></b>	<b>7,681</b>	<b>8,209</b>	<b>6.9%</b>	<b>8,558</b>	<b>4.3%</b>	<b>8,817</b>	<b>3.0%</b>	<b>9,095</b>	<b>3.2%</b>
Sales Tax	7,091	7,568	6.7%	7,893	4.3%	8,160	3.4%	8,445	3.5%
Cigarette and Tobacco Taxes	328	310	-5.5%	296	-4.5%	285	-3.7%	275	-3.5%
Alcoholic Beverage Taxes	262	265	1.1%	269	1.5%	272	1.1%	275	1.1%
Opioid Excise Tax	0	66	n/a	100	51.5%	100	0.0%	100	0.0%

<sup>1</sup>Excludes Transfers.

<sup>2</sup>FY 2020 estimates and outyear projections no longer include MTA receipts as the Enacted Budget provided that they be remitted to the MTA without an appropriation beginning in FY 2020.

All Funds consumption/use tax receipts for FY 2020 are estimated to total \$18.3 billion, a \$951 million (5.5 percent) increase from FY 2019 results. Sales tax receipts are estimated to increase by over \$1 billion (6.8 percent) from FY 2019 results, reflecting tax base growth of 3.4 percent and an additional \$346 million in projected revenue related to FY 2020 Enacted Budget legislation and guidance associated with the U.S. Supreme Court Wayfair ruling, implemented by DTF. A partially phased-in excise tax on the first sale of opioids within the state and on vapor products is projected to generate \$66 million and \$10 million, respectively. Cigarette and tobacco tax collections are projected to decrease by \$67 million (6 percent), reflecting a continuing decline in taxable cigarette consumption. Highway Use Tax (HUT) collections are estimated to decrease by \$4 million (2.8 percent) reflecting a non-triennial year. Motor fuel tax receipts are estimated to decrease by \$13 million (2.5 percent) reflecting an estimated return to typical fuel consumption. Due to the shifting of certain Metropolitan Transportation Authority (MTA) receipts directly to the MTA without appropriation, taxicab surcharge receipts are projected to decrease by \$52 million (100 percent), while auto rental tax receipts are projected to decrease by \$22 million (16.9 percent). The projected decline in auto rental tax receipts includes \$19.5 million in new revenue related to enacted legislation that expands the auto rental surcharge within the Metropolitan Commuter Transportation District (MCTD) to the entire state.

A portion of sales tax receipts is initially deposited to the Local Government Assistance Tax Fund (25 percent), and the Sales Tax Revenue Bond Fund (25 percent), which support debt service payments on bonds issued under the LGAC and State Sales Tax Revenue Bond programs. Receipts in excess of the debt service requirements of these funds and the local assistance payments to NYC, or its assignee, are subsequently transferred to the General Fund.

General Fund consumption/use tax receipts for FY 2020 are estimated to total \$8.2 billion, a \$528 million (6.9 percent) increase from FY 2019 results. This increase largely reflects the All Funds sales and use tax and cigarette tax trends noted above.

FY 2021 All Funds consumption/use tax receipts are projected to increase to \$19 billion, a \$706 million (3.9 percent) increase from FY 2020 estimates. Increases in sales tax receipts reflect base growth of 3.3 percent, and an additional \$494 million in projected revenue related to enacted legislation and guidance as previously discussed. When fully phased-in, the excise tax on vapor products and opioids is projected to generate an additional \$29 million and \$34 million, respectively. These increases are partially offset by a continued decline in taxable cigarette consumption. FY 2021 General Fund consumption/use tax receipts are projected to increase to nearly \$8.6 billion, a \$349 million (4.3 percent) increase from FY 2020 estimates.

FY 2022 All Funds consumption/use tax receipts are projected to increase to over \$19.5 billion (2.8 percent growth), largely representing base growth in sales tax receipts, which is slightly offset by a continued decline in taxable cigarette consumption. General Fund consumption/use tax receipts are projected to increase to \$8.8 billion (3 percent growth) in FY 2022.

FY 2023 All Funds consumption/use tax receipts are projected to increase to \$20.1 billion (2.9 percent growth), largely representing base growth in sales tax receipts, slightly offset by a continued decline in taxable cigarette consumption. General Fund consumption/use tax receipts are projected to increase to \$9.1 billion (3.2 percent growth) in FY 2023.

## Business Taxes

BUSINESS TAXES (millions of dollars)									
	FY 2019	FY 2020		FY 2021		FY 2022		FY 2023	
	Results	Updated	Change	Projected	Change	Projected	Change	Projected	Change
<b>STATE/ALL FUNDS</b>	<b>7,912</b>	<b>8,553</b>	<b>8.1%</b>	<b>8,934</b>	<b>4.5%</b>	<b>9,049</b>	<b>1.3%</b>	<b>9,417</b>	<b>4.1%</b>
Corporate Franchise Tax	4,297	4,245	-1.2%	4,775	12.5%	4,833	1.2%	5,077	5.0%
Corporation and Utilities Tax	672	729	8.5%	706	-3.2%	713	1.0%	724	1.5%
Insurance Tax	1,837	2,251	22.5%	2,344	4.1%	2,413	2.9%	2,541	5.3%
Bank Tax	(60)	167	378.3%	0	-100.0%	0	0.0%	0	0.0%
Petroleum Business Tax	1,166	1,161	-0.4%	1,109	-4.5%	1,090	-1.7%	1,075	-1.4%
<b>GENERAL FUND</b>	<b>5,501</b>	<b>6,077</b>	<b>10.5%</b>	<b>6,472</b>	<b>6.5%</b>	<b>6,550</b>	<b>1.2%</b>	<b>6,871</b>	<b>4.9%</b>
Corporate Franchise Tax	3,410	3,363	-1.4%	3,843	14.3%	3,855	0.3%	4,053	5.1%
Corporation and Utilities Tax	495	557	12.5%	537	-3.6%	543	1.1%	552	1.7%
Insurance Tax	1,638	2,017	23.1%	2,092	3.7%	2,152	2.9%	2,266	5.3%
Bank Tax	(42)	140	433.3%	0	-100.0%	0	0.0%	0	0.0%
Petroleum Business Tax	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%

FY 2020 All Funds business tax receipts are estimated to total nearly \$8.6 billion, an increase of \$641 million (8.1 percent) from FY 2019 results. The estimate reflects increases in insurance tax, bank tax and utilities tax receipts.

Corporation franchise tax receipts are estimated to decrease \$52 million (1.2 percent) from FY 2019 results, reflecting a large increase in refunds and lower growth in gross receipts. Several refunds that were initially expected to be paid in FY 2019 were not and are now expected to be paid in FY 2020. The significant growth in gross receipts in FY 2019 (29.3 percent) is not expected to recur in FY 2020. The 95 percent Global Intangible Low-Taxed Income (GILTI) exemption enacted at the end of the 2019 legislative session is estimated to decrease gross receipts by \$32 million (\$27 million decrease to the General Fund, \$5 million to MTA funds.) Audit receipts in FY 2019 were lower than recent history and are expected to increase to recent trend levels in FY 2020.

Corporation and utilities tax receipts for FY 2020 are estimated to increase by \$57 million (8.5 percent) from FY 2019 results. This is primarily due to increases in gross receipts and audits. Utility gross receipts are expected to grow at modest levels compared to the prior year. Mandatory 2019 liability first installment payments for telecommunications companies were less than expected in FY 2019 but are expected to be paid in FY 2020, resulting in estimated gross receipts growth year-over-year. Gross receipts from telecommunications companies for 2019 liability are expected to be flat over 2018 due to industry competitiveness and the movement of most communications to non-taxable internet-based solutions.

Insurance tax receipts for FY 2020 are estimated to increase \$414 million (22.5 percent) from FY 2019 results. The estimated increase is primarily due to conversion of a not-for-profit health insurer to a for-profit health insurer, and growing insurance tax premiums. FY 2019 Audit receipts were significantly lower than recent history but are expected to return to trend levels in FY 2020, while refunds are estimated to grow slightly over the previous fiscal year.

Receipts from the repealed bank tax (all from prior liability periods) in FY 2020 are estimated to increase by \$227 million (378.3 percent) from FY 2019 results, primarily due to an estimated significant decrease in refunds and a significant increase in audit receipts. Petroleum Business Tax (PBT) receipts are estimated to decrease \$5 million (0.4 percent) from FY 2019 results, due to a combination of lower fuel demand and a projected five percent decline to the PBT rates in calendar year 2020.

General Fund business tax receipts for FY 2020 of \$6.1 billion are estimated to increase by \$576 million (10.5 percent) from FY 2019 results, reflecting the All Funds trends discussed above.

All Funds business tax receipts for FY 2021 of \$8.9 billion are projected to increase by \$381 million (4.5 percent), and General Fund business tax receipts are projected to increase to \$6.5 billion (6.5 percent growth) from FY 2020 estimates. The increase primarily reflects growth in corporation franchise tax receipts driven by higher gross receipts and lower refunds. An increase in projected insurance tax receipts is partially offset by a decline in projected corporation and utilities tax, bank tax and PBT receipts.

All Funds business tax receipts for FY 2022 of over \$9 billion are projected to increase by \$115 million (1.3 percent), and General Fund business tax receipts are projected to increase to nearly \$6.6 billion (1.2 percent) from FY 2021 projections. The increase primarily reflects growth in insurance tax and corporation franchise tax receipts driven by higher gross receipts. A decline in PBT receipts is partially offset by a modest increase in corporation and utilities tax receipts.

All Funds business tax receipts for FY 2023 reflect projected trends in corporate profits, taxable insurance premiums, electric utility consumption and prices, consumption of taxable telecommunications services, and automobile fuel consumption and fuel prices. In FY 2023, All Funds business tax receipts are projected to increase to \$9.4 billion (4.1 percent growth), and General Fund business tax receipts are projected to increase to \$6.9 billion (4.9 percent growth).



## Other Taxes

OTHER TAXES (millions of dollars)									
	FY 2019 Results	FY 2020 Updated	Change	FY 2021 Projected	Change	FY 2022 Projected	Change	FY 2023 Projected	Change
<b>STATE/ALL FUNDS</b>	<b>2,221</b>	<b>2,262</b>	<b>1.8%</b>	<b>2,362</b>	<b>4.4%</b>	<b>2,472</b>	<b>4.7%</b>	<b>2,581</b>	<b>4.4%</b>
Estate Tax	1,068	1,094	2.4%	1,153	5.4%	1,214	5.3%	1,277	5.2%
Real Estate Transfer Tax	1,135	1,148	1.1%	1,183	3.0%	1,219	3.0%	1,263	3.6%
Employer Compensation Expense Program	0	2	n/a	8	300.0%	21	162.5%	23	9.5%
Pari-Mutuel Taxes	15	15	0.0%	15	0.0%	15	0.0%	15	0.0%
All Other Taxes	3	3	0.0%	3	0.0%	3	0.0%	3	0.0%
<b>GENERAL FUND<sup>1</sup></b>	<b>1,086</b>	<b>1,113</b>	<b>2.5%</b>	<b>1,175</b>	<b>5.6%</b>	<b>1,242</b>	<b>5.7%</b>	<b>1,306</b>	<b>5.2%</b>
Estate Tax	1,068	1,094	2.4%	1,153	5.4%	1,214	5.3%	1,277	5.2%
Employer Compensation Expense Program	0	1	n/a	4	300.0%	10	150.0%	11	10.0%
Pari-Mutuel Taxes	15	15	0.0%	15	0.0%	15	0.0%	15	0.0%
All Other Taxes	3	3	0.0%	3	0.0%	3	0.0%	3	0.0%

<sup>1</sup>Excludes Transfers.

All Funds other tax receipts for FY 2020 are estimated to total nearly \$2.3 billion, an increase of \$41 million (1.8 percent) from FY 2019 results. This is primarily due to an estimated \$26 million (2.4 percent) increase in estate tax receipts resulting from relatively weak estimated growth in household net worth. Real estate transfer tax receipts are expected to increase by \$13 million (1.1 percent), consistent with estimated growth in housing starts and housing prices.

General Fund other tax receipts are estimated to be just over \$1.1 billion in FY 2020, an increase of \$27 million (2.5 percent) from FY 2019 results, reflecting the estimated increase in estate tax receipts noted above.

All Funds other tax receipts for FY 2021 are projected to total nearly \$2.4 billion, a \$100 million (4.4 percent) increase from FY 2020 estimates. Estate tax receipts are projected to increase by \$59 million (5.4 percent) in FY 2021, reflecting projected growth in household net worth. The \$35 million (3 percent) projected increase in real estate transfer tax receipts in FY 2021 reflects projected growth in housing starts and prices.

General Fund other tax receipts for FY 2021 are projected to be almost \$1.2 billion, an increase of \$62 million (5.6 percent) from FY 2020 estimates, resulting from the projected increase in estate tax receipts noted above.

All Funds other tax receipts for FY 2022 are projected to be nearly \$2.5 billion, a \$110 million (4.7 percent) increase from FY 2021 projections. Estate tax receipts are projected to increase by \$61 million (5.3 percent) in FY 2022, reflecting projected growth in household net worth. The \$36 million (three percent) projected increase in real estate transfer tax receipts in FY 2022 reflects projected growth in housing starts and prices.

General Fund other tax receipts for FY 2022 are projected to total \$1.2 billion, an increase of \$67 million (5.7 percent), resulting from the projected increase in estate tax receipts noted above.

All Funds other tax receipts are projected to be nearly \$2.6 billion in FY 2023, an increase of \$109 million (4.4 percent) from FY 2022 projections, which reflects projected trend growth in household net worth, housing starts, and housing prices.

General Fund other tax receipts are projected to be \$1.3 billion in FY 2023, an increase of \$64 million (5.2 percent).

## Miscellaneous Receipts

All Funds miscellaneous receipts include moneys received from HCRA financing sources, State University of New York (SUNY) tuition and patient income, lottery receipts for education, assessments on regulated industries, Tribal-State compact revenue, Extraordinary Monetary Settlements and a variety of fees. As such, miscellaneous receipts are driven in part by year-to-year variations in health care surcharges and other HCRA resources, bond proceeds, tuition income revenue and other miscellaneous receipts.

MISCELLANEOUS RECEIPTS									
(millions of dollars)									
	FY 2019	FY 2020	FY 2021		FY 2022			FY 2023	
	Results	Updated	Change	Projected	Change	Projected	Change	Projected	Change
<b>ALL FUNDS</b>	<b>31,184</b>	<b>29,347</b>	<b>-5.9%</b>	<b>25,935</b>	<b>-11.6%</b>	<b>25,014</b>	<b>-3.6%</b>	<b>24,385</b>	<b>-2.5%</b>
General Fund	3,586	2,890	-19.4%	2,049	-29.1%	1,892	-7.7%	1,869	-1.2%
Special Revenue Funds	19,668	17,957	-8.7%	16,330	-9.1%	16,678	2.1%	16,157	-3.1%
Capital Projects Funds	7,497	8,106	8.1%	7,162	-11.6%	6,051	-15.5%	5,967	-1.4%
Debt Service Funds	433	394	-9.0%	394	0.0%	393	-0.3%	392	-0.3%

All Funds miscellaneous receipts are projected to total \$29.3 billion in FY 2020, a decrease of 5.9 percent from FY 2019 results. This decrease is primarily due to the loss of one-time Extraordinary Monetary Settlements in the General Fund, which totaled over \$1.1 billion in FY 2019, paired with declines in bond-financed capital spending on a year-over-year basis. Bond-financed capital expenses are paid from the General Fund (or STIP) in the first instance and subsequently reimbursed with PIT or Sales Tax Revenue Bond proceeds.

All Funds miscellaneous receipts are projected to decline annually after FY 2020, reflecting the impact of Extraordinary Monetary Settlements received and a decrease in bond proceed reimbursements in later years, which corresponds to prior-year capital expenses.

## Federal Grants

FEDERAL GRANTS (millions of dollars)									
	FY 2019	FY 2020	FY 2021		FY 2022		FY 2023		
	Results	Updated	Change	Projected	Change	Projected	Change	Projected	Change
<b>ALL FUNDS</b>	<b>61,344</b>	<b>66,324</b>	<b>8.1%</b>	<b>64,555</b>	<b>-2.7%</b>	<b>66,253</b>	<b>2.6%</b>	<b>68,815</b>	<b>3.9%</b>
General Fund	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Special Revenue Funds	58,920	64,021	8.7%	62,295	-2.7%	63,994	2.7%	66,559	4.0%
Capital Projects Funds	2,350	2,229	-5.1%	2,187	-1.9%	2,187	0.0%	2,187	0.0%
Debt Service Funds	74	74	0.0%	73	-1.4%	72	-1.4%	69	-4.2%

Aid from the Federal government helps to pay for a variety of programs including Medicaid, public assistance, mental hygiene, School Aid, public health, transportation, and other activities. Annual changes to Federal grants generally correspond to changes in federally-reimbursed spending. Accordingly, DOB typically projects Federal reimbursements will be received in the State fiscal year in which spending occurs, but due to the variable timing of Federal grant receipts, actual results often differ from projections.

All Funds Federal grants projections primarily reflect the continuation of growth in Federal Medicaid spending related to Federal health care transformation initiatives, partly offset by the projected phase-down of Federal disaster assistance aid. All Federal receipts are subject to Congressional authorization, appropriations and budget action.

Under the Trump administration and the current Congress, many of the policies that drive Federal aid may be subject to change. At this time, it is not possible to assess the potential fiscal impact of future policies that may be proposed and adopted. If Federal funding to the State were reduced, this could have a materially adverse impact on the Financial Plan.

## Disbursements

In FY 2020, disbursements from the State's General Fund, including transfers, are expected to total \$77.8 billion, and disbursements from State Operating Funds are expected to total \$102.2 billion. School Aid, Medicaid, pensions, debt service, and health benefits are significant drivers of annual spending growth, as further described in this section.

The multi-year disbursements projections consider various factors including statutorily-indexed rates, agency staffing levels, program caseloads, inflation, and funding formulas contained in State and Federal law. Factors that affect spending estimates vary by program. For example, public assistance spending is based primarily on anticipated caseloads that are estimated by analyzing historical trends and projected economic conditions. Projections also account for the timing of payments, since not all of the amounts appropriated are disbursed in the same fiscal year. Consistent with past years, the aggregate spending projections (i.e., the sum of all projected spending by individual agencies) in State Special Revenue Funds have been adjusted downward in all fiscal years, based on typical spending patterns and the observed variance between estimated and actual results over time. A corresponding downward adjustment is also made to miscellaneous receipts.

## Local Assistance Grants

Local assistance spending includes payments to local governments, school districts, health care providers, and other entities, as well as financial assistance to, or on behalf of, individuals, families and not-for-profit organizations. Local assistance spending in State Operating Funds is estimated at \$68.5 billion in FY 2020, approximately two-thirds of total State Operating Funds spending. Education and health care spending account for nearly three-quarters of State Operating Funds local assistance spending.

Certain major factors considered in preparing the spending projections for the State’s major local assistance programs and activities are summarized below.

FORECAST FOR SELECTED PROGRAM MEASURES AFFECTING OPERATING ACTIVITIES (millions of dollars)					
	FY 2019 Results <sup>1</sup>	FY 2020 Updated	Forecast		
			FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
<b>HEALTH CARE</b>					
Medicaid - Individuals Covered	6,243,498	6,268,035	6,280,303	6,286,437	6,289,504
Essential Plan - Individuals Covered	773,584	778,944	784,341	789,775	795,247
Child Health Plus - Individuals Covered	396,351	411,651	419,391	423,423	425,175
State Takeover of County/NYC Costs <sup>2</sup>	<u>\$3,772</u>	<u>\$4,115</u>	<u>\$4,467</u>	<u>\$4,818</u>	<u>\$5,179</u>
CY 2005 Local Medicaid Cap	\$2,855	\$3,015	\$3,184	\$3,353	\$3,531
FY 2013 Local Takeover Costs	\$917	\$1,100	\$1,283	\$1,465	\$1,648
<b>EDUCATION</b>					
School Aid (School Year Basis Funding)	\$26,843	\$27,856	\$28,957	\$30,159	\$31,382
<b>HIGHER EDUCATION</b>					
Public Higher Education Enrollment (FTEs)	558,135	557,950	557,525	557,220	557,100
Tuition Assistance Program (Recipients)	273,884	274,148	274,148	274,148	274,148
<b>PUBLIC ASSISTANCE</b>					
Family Assistance Program (Families)	206,170	201,673	198,774	195,823	192,967
Safety Net Program (Families)	120,580	117,775	115,903	114,041	112,256
Safety Net Program (Singles)	211,438	212,716	215,224	217,332	219,622
<b>MENTAL HYGIENE</b>					
OMH Community Beds	44,819	47,040	48,321	49,038	50,069
OPWDD Community Beds	43,193	43,542	43,893	44,247	44,604
OASAS Community Beds	<u>13,425</u>	<u>13,739</u>	<u>13,840</u>	<u>13,974</u>	<u>14,205</u>
Total	101,437	104,321	106,054	107,259	108,878
<b>PRISON POPULATION</b>					
	47,400	47,400	47,400	47,400	47,400
<sup>1</sup> Reflects preliminary unaudited results					
<sup>2</sup> Reflects the total State cost of taking over the local share of Medicaid growth, which was initially capped at approximately 3 percent annually, then phased-out completely as of calendar year 2015. A portion of the State takeover costs are funded from Master Settlement Agreement resources.					

## Education

### School Aid

School Aid supports elementary and secondary education for New York pupils enrolled in the 674 major school districts. State aid is provided to districts based on statutory aid formulas and through reimbursement of categorical expenses, such as prekindergarten programs, education of homeless children, and bilingual education. State funding for schools assists districts in meeting locally defined needs, supports the construction of school facilities, and finances school transportation for nearly three million students statewide.

#### School Year (July 1 – June 30)

School Aid is expected to total \$27.9 billion in School Year (SY) 2020, an annual increase of \$1.0 billion (3.8 percent), including a \$618 million Foundation Aid increase. A Community Schools set-aside of \$250 million within Foundation Aid (a \$50 million increase from the prior year) provides funds intended to facilitate the transformation of schools into community hubs. In addition, another \$345 million supports increased reimbursement in expense-based and categorical aid programs such as transportation, Boards of Cooperative Educational Services (BOCES), school construction, and other miscellaneous aid categories.

The Financial Plan provides \$50 million for new competitive grant programs, including a \$15 million investment to expand prekindergarten programs for three- and four-year-old students, targeted to high-need school districts, and \$10 million to expand the Empire State After-School Program, helping to keep young people safe and engaged during after-school hours. The State provides over \$800 million in recurring annual support for three- and four-year old prekindergarten programs, including \$340 million for the Statewide Universal Full-Day Prekindergarten (SUFPK) programs.

Since FY 2013, projections have assumed that year-over-year growth in School Aid disbursements would not exceed the annual percent growth in NYS personal income. However, from FY 2014 to FY 2019, the State annually authorized School Aid increases above the Personal Income Growth Index (PIGI). The Enacted Budget amended the School Aid growth cap to equal the ten-year average of the State PIGI, beginning in FY 2021. The use of a School Aid growth cap based on a ten-year average is expected to reduce the volatility associated with a one-year average growth cap, limit the impact of the BEA’s frequent revisions to NYS personal income growth estimates, and better align Executive School Aid proposals with the State’s Enacted School Aid increases.

SCHOOL AID - SCHOOL YEAR BASIS (JULY 1 - JUNE 30)									
(millions of dollars)									
	<u>SY 2019</u>	<u>SY 2020</u>	<u>Change</u>	<u>SY 2021</u>	<u>Change</u>	<u>SY 2022</u>	<u>Change</u>	<u>SY 2023</u>	<u>Change</u>
Total	26,843	27,856	1,013 3.8%	28,957	1,101 4.0%	30,159	1,202 4.2%	31,382	1,223 4.1%

## State Fiscal Year

The State finances School Aid from the General Fund, commercial gaming receipts and Lottery Fund receipts, including video lottery terminals (VLTs). Commercial gaming and Lottery Fund receipts are accounted for and disbursed from dedicated accounts. Because the State fiscal year begins on April 1 and the school year begins on July 1, the State typically pays approximately 70 percent of the annual school year commitment during the initial State fiscal year and the remaining 30 percent in the first three months of the following State fiscal year.

The table below summarizes the projected sources of School Aid spending on a State fiscal year basis.

SCHOOL AID - STATE FISCAL YEAR BASIS (millions of dollars)									
	FY 2019	FY 2020		FY 2021		FY 2022		FY 2023	
	Results	Updated	Change	Projected	Change	Projected	Change	Projected	Change
<b>TOTAL STATE OPERATING FUNDS</b>	<b>26,403</b>	<b>27,415</b>	<b>3.8%</b>	<b>28,494</b>	<b>3.9%</b>	<b>29,668</b>	<b>4.1%</b>	<b>30,885</b>	<b>4.1%</b>
General Fund Local Assistance	22,927	23,491	2.5%	24,838	5.7%	26,011	4.7%	27,234	4.7%
Medicaid	153	78	-49.0%	78	0.0%	78	0.0%	78	0.0%
Lottery Aid	2,294	2,709	18.1%	2,457	-9.3%	2,457	0.0%	2,457	0.0%
VLT Lottery Aid	907	975	7.5%	966	-0.9%	961	-0.5%	961	0.0%
Commercial Gaming	122	162	32.8%	155	-4.3%	161	3.9%	155	-3.7%

State fiscal year spending for School Aid is projected to total \$27.4 billion in FY 2020, a 3.8 percent increase over FY 2019. Over the multi-year Financial Plan, the share of School Aid spending projected to be financed by the General Fund is expected to increase as lottery, video lottery and commercial gaming revenues are expected to remain largely flat beginning in FY 2021. In addition to State aid, school districts currently receive more than \$3 billion annually in Federal aid.

State aid payments for School Aid are supplemented by commercial gaming revenues shared with the State by commercial gaming facilities. These receipts are expected to decline slightly by \$7 million in FY 2021, increase by \$6 million in FY 2022, and decline by \$6 million in FY 2023. Pursuant to State Gaming Commission approval, four casinos were awarded licenses and are now operational. In April 2019, the Monticello Casino and Raceway ceased its Video Lottery Terminal (VLT) operations. The Financial Plan assumes a significant amount of gaming activity previously at Monticello will shift to nearby Resorts World Catskills Casino. This closure and anticipated shift in gaming activity are expected to have a limited net impact on the State's projected combined VLT and casino revenue resources in FY 2020 and thereafter.

If casino revenue resources do not materialize at the level expected, or as timely as expected, then the additional School Aid projected to be funded from casino revenue resources must be paid from the General Fund.

## Other Education Funding

The State also provides funding and support for various other education-related programs. These include: special education services; programs administered by the Office of Prekindergarten through Grade 12 Education; cultural education; higher and professional education programs; and adult career and continuing education services.

OTHER EDUCATION FUNDING (millions of dollars)									
	FY 2019 Results	FY 2020 Updated	Change	FY 2021 Projected	Change	FY 2022 Projected	Change	FY 2023 Projected	Change
<b>TOTAL STATE OPERATING FUNDS</b>	<b>2,143</b>	<b>2,402</b>	<b>12.1%</b>	<b>2,405</b>	<b>0.1%</b>	<b>2,473</b>	<b>2.8%</b>	<b>2,569</b>	<b>3.9%</b>
Special Education	1,291	1,351	4.6%	1,418	5.0%	1,484	4.7%	1,551	4.5%
All Other Education	852	1,051	23.4%	987	-6.1%	989	0.2%	1,018	2.9%

The State helps fund special education services for approximately 500,000 students with disabilities, from ages 3 to 21. Major programs under the Office of Prekindergarten through Grade 12 address specialized student needs or reimburse school districts for education-related services, including the school breakfast and lunch programs, after-school programs and other educational grant programs. Cultural education includes aid for operating expenses of the major cultural institutions, State Archives, State Library, and State Museum, as well as support for the Office of Educational Television and Public Broadcasting. Higher and professional education programs monitor the quality and availability of post-secondary education programs, and license and regulate over 50 professions. Adult career and continuing education services focus on the education and employment needs of the State’s adult citizens, ensuring that such individuals have access to a one-stop source for all their employment needs, and are made aware of the full range of services available in other agencies.

The increase in Special Education spending in FY 2020 and thereafter is primarily attributable to increased State reimbursement to special education providers for minimum wage costs and projected enrollment and cost growth in preschool and summer school special education programs.

The projected increase in All Other Education spending in FY 2020 is primarily attributable to the timing of FY 2019 payments for various programs such as nonpublic school payments, increased support for nonpublic and charter school programs, and one-time savings from FY 2019 underspending across multiple programs. The decrease in FY 2021 primarily reflects the addition of one-time aid and grants in FY 2020. The projected increase in FY 2023 is largely due to continued growth in charter school supplemental tuition, facilities aid payments for charter schools in NYC, and payments to nonpublic schools.



## School Tax Relief Program

The STAR program provides school tax relief to taxpayers by exempting the first \$30,000 of every eligible homeowner’s property value from the local school tax levy. Lower-income senior citizens will receive a \$68,700 exemption in FY 2020. The DTF oversees local property assessment administration and is responsible for establishing STAR property tax exemption amounts.

The three program components are: the basic school property tax exemption (homeowners with incomes under \$250,000) or credit (homeowners with incomes between \$250,000 and \$500,000 or homeowners who have moved or purchased a home after the 2015-2016 school year levy date with incomes under \$500,000); enhanced school property tax exemption or credit for senior citizen homeowners with incomes under \$86,300; and a credit for income-eligible resident NYC personal income taxpayers.

The NYC PIT rate reduction was converted into a PIT tax credit starting with the 2017 tax year. As of FY 2019, NYC STAR payments are no longer a component of State Operating Funds spending. This change has no impact on the value of the STAR benefit received by taxpayers.

Spending on STAR property tax exemptions reflects reimbursements made to school districts to offset the reduction in the amount of property tax revenue collected from homeowners. Since FY 2017, the STAR exemption program has been gradually transitioned from a spending program to an advance refundable PIT credit program. As a result, first-time homebuyers and homeowners who move receive a refundable PIT credit in lieu of a property tax exemption. This change initially had no impact on the value of the STAR benefit received by homeowners. Going forward, exemption homeowners who move (and subsequently receive a PIT credit instead) may see an increase in their STAR benefit (details below).

The Budget encourages further transition to the advance credit by limiting the exemption program for homeowners with incomes below \$250,000 and holding a homeowner’s Basic and Enhanced exemption benefit to a maximum of their FY 2019 levels. These changes are not applicable to STAR credit benefits. The value of the STAR benefit for homeowners enrolled in the exemption program will not be impacted by these actions if they transition to the credit program. The majority of the spending decline projected in FYs 2020 through 2023 can be attributed to these actions. By shifting taxpayers to the credit program, the State is able to more efficiently administer the program while strengthening its ability to prevent abuse.

SCHOOL TAX RELIEF (STAR) - REVENUE REDUCTION RESULTING FROM STAR ACTIONS									
(millions of dollars)									
	FY 2019	FY 2020		FY 2021		FY 2022		FY 2023	
	Results	Updated	Change	Projected	Change	Projected	Change	Projected	Change
<b>TOTAL STATE OPERATING FUNDS</b>	<b>2,423</b>	<b>2,176</b>	<b>-10.2%</b>	<b>2,073</b>	<b>-4.7%</b>	<b>1,979</b>	<b>-4.5%</b>	<b>1,858</b>	<b>-6.1%</b>
Gross Program Costs	3,361	3,416	1.6%	3,489	2.1%	3,569	2.3%	3,627	1.6%
Personal Income Tax Credit	(938)	(1,240)	-32.2%	(1,416)	-14.2%	(1,590)	-12.3%	(1,769)	-11.3%
Basic Exemption	1,525	1,366	-10.4%	1,306	-4.4%	1,246	-4.6%	1,170	-6.1%
Gross Program Costs	1,785	1,858	4.1%	1,901	2.3%	1,942	2.2%	1,972	1.5%
Personal Income Tax Credit	(260)	(492)	-89.2%	(595)	-20.9%	(696)	-17.0%	(802)	-15.2%
Enhanced (Senior) Exemption	898	810	-9.8%	767	-5.3%	733	-4.4%	688	-6.1%
Gross Program Costs	950	918	-3.4%	932	1.5%	954	2.4%	965	1.2%
Personal Income Tax Credit	(52)	(108)	-107.7%	(165)	-52.8%	(221)	-33.9%	(277)	-25.3%
New York City PIT	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Gross Program Costs	626	640	2.2%	656	2.5%	673	2.6%	689	2.4%
Personal Income Tax Credit	(626)	(640)	-2.2%	(656)	-2.5%	(673)	-2.6%	(689)	-2.4%

## Higher Education

Local assistance for higher education spending includes funding for City University of New York (CUNY), State University of New York (SUNY), and the Higher Education Services Corporation (HESC).

HIGHER EDUCATION (millions of dollars)									
	FY 2019 Results	FY 2020 Updated	Change	FY 2021 Projected	Change	FY 2022 Projected	Change	FY 2023 Projected	Change
<b>TOTAL STATE OPERATING FUNDS</b>	<b>2,980</b>	<b>2,976</b>	<b>-0.1%</b>	<b>2,945</b>	<b>-1.0%</b>	<b>2,989</b>	<b>1.5%</b>	<b>3,024</b>	<b>1.2%</b>
<b>City University</b>	<b>1,508</b>	<b>1,537</b>	<b>1.9%</b>	<b>1,577</b>	<b>2.6%</b>	<b>1,611</b>	<b>2.2%</b>	<b>1,645</b>	<b>2.1%</b>
Senior Colleges	1,249	1,287	3.0%	1,323	2.8%	1,357	2.6%	1,392	2.6%
Community College	259	250	-3.5%	254	1.6%	254	0.0%	253	-0.4%
<b>Higher Education Services</b>	<b>984</b>	<b>956</b>	<b>-2.8%</b>	<b>881</b>	<b>-7.8%</b>	<b>891</b>	<b>1.1%</b>	<b>893</b>	<b>0.2%</b>
Tuition Assistance Program	816	784	-3.9%	742	-5.4%	751	1.2%	753	0.3%
Scholarships/Awards	159	160	0.6%	127	-20.6%	128	0.8%	128	0.0%
Aid for Part-Time Study	9	12	33.3%	12	0.0%	12	0.0%	12	0.0%
<b>State University</b>	<b>488</b>	<b>483</b>	<b>-1.0%</b>	<b>487</b>	<b>0.8%</b>	<b>487</b>	<b>0.0%</b>	<b>486</b>	<b>-0.2%</b>
Community College	482	479	-0.6%	483	0.8%	483	0.0%	482	-0.2%
Other/Cornell	6	4	-33.3%	4	0.0%	4	0.0%	4	0.0%

SUNY and CUNY operate 47 four-year colleges and graduate schools with a total enrollment of more than 410,000 full- and part-time students. SUNY and CUNY also operate 37 community colleges, serving approximately 309,000 students. The State provides funding for a significant portion of SUNY and CUNY operations. In addition to the spending reflected in the table above, the State provides SUNY more than \$1 billion annually via a General Fund transfer and another \$2 billion via direct payment of fringe benefits for SUNY employees. The State also pays \$1.2 billion in debt service for bond-financed capital projects at SUNY and CUNY.

HESC is New York State’s student financial aid agency. It oversees numerous State-funded financial aid programs including the Excelsior Scholarship, Tuition Assistance Program (TAP), Aid for Part-Time Study program, and 26 other scholarship and loan forgiveness programs. State funded tuition assistance provides financial aid to approximately 400,000 students and will allow approximately 55 percent of full-time SUNY and CUNY in-state students to attend college tuition-free when it is fully phased in.

The Financial Plan includes funding for the DREAM Act which provides undocumented students access to the Excelsior Scholarship, TAP, as well as other state-administered scholarships. The Financial Plan also includes funding for the third and final phase of the Excelsior Scholarship, increasing the family income eligibility threshold to \$125,000, and provides new funding to implement a Family Empowerment Pilot Program at SUNY and CUNY community colleges. The Family Empowerment Pilot Program enables single parents to receive financial and academic supports, including on-campus childcare aligned with the nationally recognized Accelerated Study in Associate Program (ASAP).



## State Financial Plan Multi-Year Projections

Higher education spending is projected to decrease by \$4 million, or 0.1 percent, from FY 2019 to FY 2020, and by \$31 million, or 1 percent, from FY 2020 to FY 2021. This decrease reflects accounting changes for tuition assistance payments from HESC to State-operated SUNY campuses, whereby these payments are reflected as a HESC transfer instead of a disbursement. Projected spending growth in later years is largely due to employee fringe benefit growth for CUNY Senior Colleges.

## Health Care

Local assistance for health care-related spending includes Medicaid, statewide public health programs and a variety of mental hygiene programs. Department of Health (DOH) works with local health departments and social services departments, including those located in NYC, to coordinate and administer statewide health insurance programs and activities. The majority of government-financed health care programs are included under DOH, but a number of programs are also supported through multi-agency efforts.

DOH is also engaged in a multi-year initiative to implement the Delivery System Reform Incentive Payment (DSRIP) program through an approved Federal waiver amendment to reinvest \$8 billion in Federal savings generated by the Medicaid Redesign Team (MRT) reforms. The DSRIP program will promote community-level collaborations and focus on system reform, with the goal of achieving a 25 percent reduction in avoidable hospital use over five years. The Financial Plan reflects spending related to the DSRIP program (nearly \$8 billion through FY 2020), with the remaining funds expected to be disbursed in FY 2021. A portion of DSRIP funding flows through the SUNY hospital system and other State-operated health care facilities.

## Medicaid

Medicaid is a means-tested program that finances health care services for low-income individuals and long-term care services for the elderly and disabled, primarily through payments to health care providers. The Medicaid program is financed jointly by the State, Federal government, and local governments. Eligible services include inpatient hospital care, outpatient hospital services, clinics, nursing homes, managed care, prescription drugs, home care and services provided in a variety of community-based settings (including mental health, substance abuse treatment, developmental disabilities services, school-based services and foster care services).

In FY 2012, legislation was enacted to limit the year-to-year growth in DOH State funded Medicaid spending to the ten-year rolling average of the medical component of the CPI. The statutory provisions of the Medicaid spending cap (or “Global Cap”) also allow for flexibility in adjusting Medicaid projections to meet unanticipated costs resulting from a disaster. Certain authorizations exist which allow the Governor to take actions to reduce Medicaid spending in order to maintain spending within the Global Cap limit.

The State has, at times, taken actions to manage the timing of Medicaid payments to ensure compliance with the Global Cap. Between FY 2015 and FY 2018, DOH managed the timing of payments across State fiscal years. In FY 2019, DOH deferred, for three business days, the final cycle payment to Medicaid Managed Care Organizations, as well as other payments. The FY 2019 deferral had a State-share value of \$1.7 billion and was paid utilizing cash on hand in April 2019, consistent with contractual obligations and had no impact on provider services. Absent the deferral, Medicaid spending under the Global Cap would have exceeded the statutorily indexed rate for FY 2019. This higher spending in FY 2019 appears to reflect growth in managed care enrollment and costs above projections, as well as certain savings actions and offsets that were not processed by year-end. The Financial Plan assumes Medicaid spending in FY 2020 will comply

with the Global Cap, however spending to date continues to exceed projections. As such, DOB and DOH are working to develop options to reduce spending within the Global Cap and/or continue to manage the timing of payments. Options to reduce spending include the execution of the statutory powers granted to the Commissioner of Health to limit spending, which include across the board rate reductions to health care providers and plans. Efforts to maintain Medicaid spending levels within the Global Cap may have implications on the State’s financial position. If spending levels continue to exceed the Global Cap and/or further payment deferrals are made, there could be an impact to State finances.

The Financial Plan reflects the continuation of the “Global Cap” through FY 2023, and the projections assume that statutory authority will be extended in subsequent years. Allowable growth under the cap for medical services is 3.3 percent in FY 2019. Reflecting updated projections for the medical CPI growth, DOB currently forecasts allowable cap growth at 3 percent for FYs 2020 and 2021, and 2.9 percent for FYs 2022 and 2023.

<b>MEDICAID GLOBAL CAP FORECAST</b> (millions of dollars)					
	<b>FY 2019</b>	<b>FY 2020</b>	<b>FY 2021</b>	<b>FY 2022</b>	<b>FY 2023</b>
<b>Global Medicaid Cap<sup>1</sup></b>	<b>18,865</b>	<b>19,433</b>	<b>20,006</b>	<b>20,594</b>	<b>21,200</b>
Annual \$ Change		568	573	588	606
Annual % Change		3.0%	3.0%	2.9%	2.9%

<sup>1</sup> Under the Global Cap, forecasted Medicaid services growth is indexed to the 10-year average of the medical component of the CPI.

The indexed provisions of the Global Cap apply to a majority of the State share of Medicaid spending budgeted and expended principally through DOH. The statutory Global Cap does not include State costs associated with the takeover of local Medicaid growth and the multi-year assumption of local Medicaid administration costs, increased Federal Financial Participation (FFP) pursuant to the Affordable Care Act (ACA) (effective in January 2014), and statewide minimum wage increases. State share Medicaid spending also appears in the Financial Plan estimates for other State agencies and programs, including the mental hygiene agencies, child welfare programs, education aid and corrections.

# State Financial Plan Multi-Year Projections



TOTAL STATE-SHARE MEDICAID DISBURSEMENTS <sup>1</sup> (millions of dollars)					
	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
Department of Health Medicaid	<u>20,293</u>	<u>21,643</u>	<u>22,598</u>	<u>23,427</u>	<u>24,292</u>
Local Assistance	20,476	21,686	22,700	23,527	24,393
State Operations	261	272	269	271	270
MSA Payments (Share of Local Growth) <sup>2</sup>	(444)	(315)	(371)	(371)	(371)
Other State Agency Medicaid Spending	<u>3,010</u>	<u>2,738</u>	<u>3,233</u>	<u>3,190</u>	<u>3,398</u>
Mental Hygiene	2,785	2,577	3,061	3,016	3,224
Foster Care	72	81	92	96	96
Education	153	78	78	78	78
Corrections	0	2	2	0	0
<b>Total State Share Medicaid (All Agencies)</b>	<b>23,303</b>	<b>24,381</b>	<b>25,831</b>	<b>26,617</b>	<b>27,690</b>
Annual \$ Change		1,078	1,450	786	1,073
Annual % Change		4.6%	5.9%	3.0%	4.0%
<b>Essential Plan<sup>3</sup></b>	<b>77</b>	<b>84</b>	<b>78</b>	<b>76</b>	<b>71</b>
Local Assistance	0	0	0	0	0
State Operations	77	84	78	76	71

<sup>1</sup> DOH spending in the Financial Plan includes certain items that are excluded from the indexed provisions of the Medicaid Global Cap. This includes administrative costs, such as the takeover of local administrative responsibilities; the decision of Monroe County to participate in the Medicaid local cap program, rather than continuing the sales tax intercept option; increased Federal Financial Participation that became effective in January 2014; and a share of minimum wage increases.

<sup>2</sup> MSA payments will be deposited directly to a Medicaid Escrow Fund to cover a portion of the State share for Medicaid.

<sup>3</sup> The EP is not a Medicaid program; however, State-funded resources for the EP are managed under the Medicaid Global Cap.

The State share of DOH Medicaid spending is financed by a combination of the General Fund, HCRA resources, indigent care support, provider assessment revenue, and tobacco settlement proceeds. The following table provides information on financing sources for State Medicaid spending.



# State Financial Plan Multi-Year Projections

DEPARTMENT OF HEALTH MEDICAID (millions of dollars)									
	FY 2019 Results	FY 2020 Updated	Change	FY 2021 Projected	Change	FY 2022 Projected	Change	FY 2023 Projected	Change
<b>STATE OPERATING FUNDS</b>	<b>23,380</b>	<b>24,465</b>	<b>4.6%</b>	<b>25,909</b>	<b>5.9%</b>	<b>26,693</b>	<b>3.0%</b>	<b>27,761</b>	<b>4.0%</b>
<b>Department of Health Medicaid</b>	<b>20,370</b>	<b>21,727</b>	<b>6.7%</b>	<b>22,676</b>	<b>4.4%</b>	<b>23,503</b>	<b>3.6%</b>	<b>24,363</b>	<b>3.7%</b>
General Fund - DOH Medicaid Local	14,340	15,751	9.8%	16,760	6.4%	17,606	5.0%	18,389	4.4%
DOH Medicaid	11,511	11,801	2.5%	12,718	7.8%	13,024	2.4%	13,553	4.1%
Non-DOH Medicaid <sup>1</sup>	1,653	2,034	23.0%	1,923	-5.5%	2,201	14.5%	2,201	0.0%
Minimum Wage	703	1,131	60.9%	1,207	6.7%	1,287	6.6%	1,358	5.5%
Local Takeover Cost <sup>2</sup>	917	1,100	20.0%	1,283	16.6%	1,465	14.2%	1,648	12.5%
MSA Payments (Share of Local Growth) <sup>3</sup>	(444)	(315)	29.1%	(371)	-17.8%	(371)	0.0%	(371)	0.0%
General Fund - DOH Medicaid State Ops	261	272	4.2%	269	-1.1%	271	0.7%	270	-0.4%
General Fund - Essential Plan	77	84	9.1%	78	-7.1%	76	-2.6%	71	-6.6%
Local Assistance	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%
State Operations	77	84	9.1%	78	-7.1%	76	-2.6%	71	-6.6%
Other State Funds - DOH Medicaid Local	5,692	5,620	-1.3%	5,569	-0.9%	5,550	-0.3%	5,633	1.5%
HCRA Financing	4,029	3,881	-3.7%	3,800	-2.1%	3,750	-1.3%	3,801	1.4%
Indigent Care Support	777	892	14.8%	892	0.0%	892	0.0%	892	0.0%
Provider Assessment Revenue	886	845	-4.6%	875	3.6%	906	3.5%	938	3.5%
Medical Indemnity Fund	0	2	0.0%	2	0.0%	2	0.0%	2	0.0%
Other State Agency Medicaid Spending	3,010	2,738	-9.0%	3,233	18.1%	3,190	-1.3%	3,398	6.5%
<b>USE OF MSA PAYMENTS (Share of Local Growth)<sup>3</sup></b>	<b>444</b>	<b>315</b>	<b>-29.1%</b>	<b>371</b>	<b>17.8%</b>	<b>371</b>	<b>0.0%</b>	<b>371</b>	<b>0.0%</b>
<b>LOCAL SHARE OF MEDICAID<sup>4</sup></b>	<b>8,516</b>	<b>7,328</b>	<b>-14.0%</b>	<b>7,036</b>	<b>-4.0%</b>	<b>7,204</b>	<b>2.4%</b>	<b>7,212</b>	<b>0.1%</b>
<b>FEDERAL SHARE OF MEDICAID</b>	<b>44,190</b>	<b>46,603</b>	<b>5.5%</b>	<b>46,862</b>	<b>0.6%</b>	<b>48,476</b>	<b>3.4%</b>	<b>50,940</b>	<b>5.1%</b>
DOH Medicaid	40,183	42,449	5.6%	42,656	0.5%	44,261	3.8%	46,716	5.5%
Essential Plan	4,007	4,154	3.7%	4,206	1.3%	4,215	0.2%	4,224	0.2%
<b>ALL FUNDING SOURCES</b>	<b>76,530</b>	<b>78,711</b>	<b>2.8%</b>	<b>80,178</b>	<b>1.9%</b>	<b>82,744</b>	<b>3.2%</b>	<b>86,284</b>	<b>4.3%</b>

<sup>1</sup> The DOH Medicaid budget includes resources to fund a portion of Medicaid-related Mental Hygiene program costs under the Global Cap.  
<sup>2</sup> Beginning in FY 2013, the State began phasing (3-2-1-0) in takeover of local government share of growth. As of County Year (CY) 2015 the State pays the full share of Medicaid program growth on behalf of local governments.  
<sup>3</sup> MSA payments will be deposited directly to a Medicaid Escrow Fund to cover a portion of the State's share of local Medicaid growth.  
<sup>4</sup> The Local Share of Medicaid is paid by the Local Social Service Districts (counties), and is not included in the State's All Governmental Funds disbursement totals. Fluctuation in the local share of Medicaid is related to certain supplemental payments made by local districts. Local Medicaid services payments are capped at CY 2015 levels.

The Financial Plan includes \$440 million in annual savings from the Medicaid Global Cap. To achieve savings within the Global Cap, DOH will continue to implement various MRT actions to improve efficiency and effective delivery of the statewide Medicaid program. These actions include reducing Pharmacy Benefit Manager (PBM) costs by narrowing the gap between Managed Care Organization payments to pharmacies and the costs to the Medicaid program; consolidating and establishing uniform reimbursement for Fiscal Intermediaries providing support in the consumer-directed Personal Care Program; transforming the nursing home patient acuity data collection process to provide improved rate adequacy; and increasing managed care audit recoveries.

In FY 2018, bonds secured by annual payments from tobacco manufacturers under the Master Settlement Agreement (MSA) were retired, with no remaining debt service requirements to be paid on these bonds. DOB expects payments under the MSA of approximately \$315 million in FY 2020 and additional payments to be available in subsequent years. Existing statutes direct these payments be used to help defray costs of the State’s takeover of Medicaid costs for counties and NYC. The State takeover, in which local Medicaid costs are capped permanently at 2015 calendar year levels, is expected to cost the State \$917 million in FY 2019 and \$1.1 billion in FY 2020. Consistent with State law, DOB expects MSA payments to be deposited directly to a Medicaid Payment Escrow Fund to offset the non-Federal share of annual Medicaid growth, formerly borne by local governments, which the State now pays on behalf of local governments. The deposit mechanism has no impact on overall Medicaid spending funded with State resources but does reduce reported State-supported Medicaid spending accounted for in State Operating Funds. The Financial Plan assumes that the MSA payments will lower annual General Fund Medicaid disbursements. The table below displays the adjusted funding shares.

FUNDING SOURCES FOR STATE MEDICAID CONTRIBUTIONS (millions of dollars)					
	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
<b>State Share Support</b>	<b><u>23,824</u></b>	<b><u>24,780</u></b>	<b><u>26,280</u></b>	<b><u>27,064</u></b>	<b><u>28,132</u></b>
State Funds Medicaid Disbursements	23,380	24,465	25,909	26,693	27,761
MSA Payments (Local Growth)	444	315	371	371	371

The Financial Plan maintains additional General Fund support for costs associated with the regionally-based, multi-year increase in the statewide minimum wage, including the impact of legislation (Chapter 56 of the Laws of 2016) which ensures that rates for the total compensation for home health care workers in NYC, and Westchester, Nassau, and Suffolk counties will be increased commensurate with the schedule of statutory minimum wage increases.<sup>22</sup> These minimum wage initiatives are projected to increase annual Medicaid spending above statutory Global Cap limits by \$1.1 billion in FY 2020, \$1.2 billion in FY 2021, \$1.3 billion in FY 2022, and \$1.4 billion in FY 2023.

<sup>22</sup> Home health care workers in NYC and certain counties receive supplemental benefits in addition to their base wage (\$4.09 for NYC; \$3.22 for Westchester, Nassau, and Suffolk counties). These benefits include paid leave, differentials, premiums for certain shifts, education and fringe benefits. The supplemental benefits typically can be satisfied by increasing the base cash wage by a corresponding amount. As a result, wages for home health care workers in these regions exceed minimum wage levels. The legislation exempts the supplemental wages portion of total compensation from the minimum wage calculation to ensure home health care workers in these counties receive incremental growth in wage compensation commensurate with the new minimum wage schedule. The cost of this legislation in FY 2019 totaled \$703 million.



Fluctuation in enrollment, costs of provider health care services, and health care utilization levels are among the factors that drive higher Medicaid spending within the Global Cap. The number of Medicaid recipients is expected to reach about 6.3 million by the end of FY 2020, a slight increase from FY 2019. This increase is partly driven by an increase in elderly enrollees in the Medicaid program.

Rising costs within the Medicaid Global Cap are expected to be offset through the Medicaid Integrity and Efficiency Initiative, which was authorized in the FY 2017 Enacted Budget. Local service districts who voluntarily elect to participate in this initiative, work with DOH to formulate a plan to achieve new audit recoveries, efficiencies and other cost-avoidance measures. Savings associated with this initiative are realized through the Mental Hygiene Global Cap Adjustment, which allows for a portion of the Office for People with Developmental Disabilities (OPWDD) and Office of Mental Health (OMH) Medicaid costs to be paid for under the Global Cap.

### **Local Medicaid Cap**

The Local Medicaid Cap was designed to relieve pressure on county property taxes and the NYC budget by capping local costs and having the State absorb all local program growth above a fixed statutory inflation rate. Beginning in January 2006, counties' Medicaid cost contributions were capped based on 2005 expenditures that were indexed at a growth rate of 3.5 percent in 2006, 3.25 percent in 2007, and 3 percent per year thereafter. In FY 2013, the State committed to phasing out all growth in the local share of Medicaid costs over a three-year period. The takeover of local Medicaid costs by the State saves local districts nearly \$4.1 billion in FY 2020 including approximately \$2.1 billion for counties outside NYC and \$2.0 billion for NYC.

# State Financial Plan Multi-Year Projections



LOCAL GOVERNMENT SAVINGS STATE TAKEOVER OF LOCAL MEDICAID COSTS (2005 CAP AND GROWTH TAKEOVER) FY 2019 to FY 2023 (in dollars)					
County	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
Albany	39,548,120	42,689,168	45,924,447	49,145,707	52,460,384
Allegany	6,277,130	6,772,552	7,282,837	7,790,910	8,313,717
Broome	42,565,827	45,031,526	47,571,195	50,099,859	52,701,854
Cattaraugus	14,185,669	15,132,371	16,107,474	17,078,352	18,077,385
Cayuga	14,678,793	15,561,190	16,470,059	17,374,989	18,306,163
Chautauqua	28,704,716	30,536,154	32,422,534	34,300,740	36,233,414
Chemung	15,404,408	16,488,992	17,606,113	18,718,393	19,862,930
Chenango	8,096,080	8,645,524	9,211,451	9,774,926	10,354,742
Clinton	12,218,371	13,123,058	14,054,886	14,982,677	15,937,373
Columbia	12,132,997	12,839,564	13,567,329	14,291,940	15,037,564
Cortland	8,247,736	8,805,834	9,380,674	9,953,023	10,541,971
Delaware	8,378,337	8,898,054	9,433,363	9,966,352	10,514,798
Dutchess	53,497,242	56,414,674	59,419,628	62,411,561	65,490,261
Erie	166,050,848	177,505,131	189,303,042	201,049,829	213,137,272
Essex	5,258,899	5,624,785	6,001,647	6,376,876	6,762,988
Franklin	8,036,911	8,587,732	9,155,077	9,719,964	10,301,233
Fulton	9,949,620	10,673,940	11,419,990	12,162,806	12,927,165
Genesee	8,474,616	9,025,263	9,592,429	10,157,138	10,738,223
Greene	8,985,845	9,557,304	10,145,907	10,731,959	11,335,007
Hamilton	647,678	687,021	727,545	767,892	809,410
Herkimer	11,486,629	12,250,594	13,037,477	13,820,950	14,627,145
Jefferson	17,154,322	18,285,842	19,451,308	20,611,724	21,805,792
Lewis	3,968,424	4,243,589	4,527,009	4,809,201	5,099,576
Livingston	8,989,187	9,545,038	10,117,564	10,687,610	11,274,187
Madison	9,968,264	10,611,590	11,274,217	11,933,972	12,612,860
Monroe	152,181,600	162,292,163	172,706,043	183,074,797	193,744,244
Montgomery	12,537,694	13,283,037	14,050,740	14,815,117	15,601,660
Nassau	222,591,440	236,493,602	250,812,829	265,070,006	279,740,641
Niagara	36,982,139	39,497,776	42,088,881	44,668,758	47,323,452
Oneida	46,957,380	50,086,271	53,309,028	56,517,821	59,819,668
Onondaga	94,951,763	100,968,739	107,166,225	113,336,855	119,686,433
Ontario	15,319,097	16,280,759	17,271,271	18,257,491	19,272,311
Orange	85,598,504	90,379,187	95,303,291	100,206,057	105,251,004
Orleans	7,594,776	8,078,898	8,577,544	9,074,029	9,584,912
Oswego	24,030,993	25,520,345	27,054,376	28,581,761	30,153,439
Otsego	7,973,046	8,536,571	9,117,002	9,694,918	10,289,593
Putnam	10,785,855	11,406,609	12,045,986	12,682,592	13,337,660
Rensselaer	22,813,236	24,542,662	26,323,971	28,097,561	29,922,585
Rockland	79,384,633	83,821,671	88,391,821	92,942,167	97,624,473
St. Lawrence	16,956,868	18,202,037	19,484,562	20,761,529	22,075,528
Saratoga	25,409,699	26,933,877	28,503,780	30,066,880	31,675,310
Schenectady	35,341,258	37,450,843	39,623,716	41,787,173	44,013,370
Schoharie	4,843,628	5,166,051	5,498,147	5,828,803	6,169,049
Schuyler	2,832,837	3,033,781	3,240,753	3,446,828	3,658,879
Seneca	5,276,713	5,619,596	5,972,765	6,324,404	6,686,240
Steuben	16,174,003	17,261,543	18,381,710	19,497,022	20,644,679
Suffolk	268,565,163	284,306,151	300,519,369	316,662,330	333,273,436
Sullivan	20,806,498	22,057,621	23,346,278	24,629,350	25,949,631
Tioga	5,877,229	6,304,446	6,744,480	7,182,606	7,633,439
Tompkins	10,423,041	11,104,669	11,806,747	12,505,782	13,225,089
Ulster	39,345,227	41,646,568	44,016,950	46,377,060	48,805,613
Warren	9,282,954	9,939,189	10,615,110	11,288,103	11,980,612
Washington	11,253,992	11,939,872	12,646,329	13,349,724	14,073,518
Wayne	17,868,781	18,840,889	19,842,160	20,839,092	21,864,935
Westchester	164,246,675	175,865,126	187,832,130	199,747,277	212,007,964
Wyoming	5,204,438	5,528,109	5,861,491	6,193,427	6,534,990
Yates	3,494,996	3,731,585	3,975,272	4,217,903	4,467,571
<b>Rest of State</b>	<b>2,005,812,827</b>	<b>2,133,656,735</b>	<b>2,265,335,960</b>	<b>2,396,444,576</b>	<b>2,531,355,341</b>
<b>New York City</b>	<b>1,766,806,519</b>	<b>1,981,151,384</b>	<b>2,201,926,595</b>	<b>2,421,745,114</b>	<b>2,647,938,370</b>
<b>Statewide</b>	<b>3,772,619,345</b>	<b>4,114,808,119</b>	<b>4,467,262,556</b>	<b>4,818,189,690</b>	<b>5,179,293,711</b>

## Health Care Transformation Fund (HCTF)

In September 2017, Fidelis Care (a nonprofit insurer associated with the Catholic Diocese of New York) agreed to sell substantially all its assets to Centene Corporation (under Sections 510 and 511-a of the Not-for-Profit Corporation Law “N-PCL”), a for-profit health insurer based in St. Louis, Missouri, in order to enter New York’s health insurance marketplace. Consistent with previous transactions of similar nature in New York, the transaction was subject to regulatory approval by DOH, Department of Financial Services (DFS) and the Office of the Attorney General. The transaction included an agreement that the companies would contribute an estimated \$2 billion in both direct payments and taxes over five years.

The funds are expected to be used over the next five years to offset State costs for health care transformation activities, including enhancing access to affordable quality health care and health care related services for the poor, disabled, disadvantaged, elderly and/or underserved people of the State, and/or to assist populations with any unmet health care related needs including, but not limited to, those associated with the social determinants of health.

Following the completion of all regulatory approvals, the initial \$1 billion direct payment from Fidelis Care was deposited into the HCTF<sup>23</sup> in July 2018. The HCTF does not include increased insurance tax receipts from Centene or higher Medicaid provider rates paid to Centene, which are reflected in the General Fund.

HEALTH CARE TRANSFORMATION FUND PURSUANT TO PART FFF OF CHAPTER 59 OF THE LAWS OF 2018 (millions of dollars)					
	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
<b>Opening Balance</b>	0	525	314	0	0
<b>Receipts</b>	<u>1,080</u>	<u>468</u>	<u>118</u>	<u>118</u>	<u>68</u>
Fidelis Payment	1,000	400	50	50	0
Centene Payment	68	68	68	68	68
STIP Interest	12	0	0	0	0
<b>Planned Uses</b>	<u>(555)</u>	<u>(679)</u>	<u>(432)</u>	<u>(118)</u>	<u>(68)</u>
Housing Rental Subsidies	(250)	(441)	(296)	(118)	(68)
State-Only Medicaid Payments	(150)	(148)	(136)	0	0
Capital Projects	(155)	(90)	0	0	0
<b>Closing Balance</b>	525	314	0	0	0

DOB expects to transfer HCTF funds to the General Fund to offset State costs for eligible health care transformation activities, including capital investments, debt restructuring activities, housing and other social purposes.

<sup>23</sup> The HCTF was created pursuant to Part FFF of Chapter 59 of the Laws of 2018 to account for receipts such as those associated with the Fidelis-Centene sale. Moneys in HCTF shall be available for transfer to any other fund of the State as directed by the Director of the Budget, to support health care delivery.

## Essential Plan (EP)

The EP is a health insurance program which receives Federal subsidies authorized through the ACA. The FY 2015 Enacted Budget authorized the State to participate in the EP, which includes health insurance coverage for certain legally residing immigrants previously receiving State-only Medicaid coverage. Individuals who meet the EP eligibility standards are enrolled through the New York State of Health (NYSOH) insurance exchange, with the cost of insurance premiums subsidized by the State and Federal governments. The Exchange – NYSOH – serves as a centralized marketplace to shop for, compare, and enroll in a health plan. More than 770,000 New Yorkers have enrolled since the EP launched in January 2016.

ESSENTIAL PLAN (millions of dollars)									
	FY 2019 Results	FY 2020 Updated	Change	FY 2021 Projected	Change	FY 2022 Projected	Change	FY 2023 Projected	Change
<b>TOTAL ALL FUNDS SPENDING</b>	<b>4,084</b>	<b>4,238</b>	<b>3.8%</b>	<b>4,284</b>	<b>1.1%</b>	<b>4,291</b>	<b>0.2%</b>	<b>4,295</b>	<b>0.1%</b>
<b>State Operating Funds</b>	<b>77</b>	<b>84</b>	<b>9.1%</b>	<b>78</b>	<b>-7.1%</b>	<b>76</b>	<b>-2.6%</b>	<b>71</b>	<b>-6.6%</b>
Local Assistance	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%
State Operations	77	84	9.1%	78	-7.1%	76	-2.6%	71	-6.6%
<b>Federal Operating Funds</b>	<b>4,007</b>	<b>4,154</b>	<b>3.7%</b>	<b>4,206</b>	<b>1.3%</b>	<b>4,215</b>	<b>0.2%</b>	<b>4,224</b>	<b>0.2%</b>

The multi-year Financial Plan reflects a mix of factors, including stabilizing enrollment trends and growth in the Federal marketplace premium index for base program expenses. This change in the premium index generates a higher Federal reimbursement rate, eliminating EP program costs for the State and allowing for the local assistance program to be fully federally financed. State savings associated with the EP local assistance program are managed within the total available resources of the Global Cap.

In FY 2018, the Trump Administration took executive action to withhold Cost Sharing Reduction (CSR) payments, threatening low-cost health insurance coverage for income-eligible recipients when purchasing a Qualified Health Plan (QHP) or EP coverage through the NYSOH, New York’s official health plan marketplace. The Federal withholding of CSR payments amounts to 25 percent of the Federal funding for the EP. However, recent actions by the Trump Administration in response to litigation brought by the State will allow the State to recoup some of the withheld EP funding through changes to the reimbursement methodology. Additionally, the Trump Administration has proposed to alter the Federal reimbursement formula which would decrease the amount of Federal funding for the EP included in the Financial Plan.

## Public Health/Aging Programs

Public Health includes the Child Health Plus (CHP) program that finances health insurance coverage for children of low-income families, up to the age of 19; General Public Health Work (GPHW) program that reimburses local health departments for the cost of providing certain public health services; Elderly Pharmaceutical Insurance Coverage (EPIC) program that provides prescription drug insurance to seniors; and Early Intervention (EI) program that pays for services to infants and toddlers under the age of three with disabilities or developmental delays. Many public health programs, such as EI and GPHW programs, are run by county health departments that are reimbursed by the State for a share of program costs. State spending projections do not include the county share of public health costs. In addition, a significant portion of HCRA spending is included under the Public Health budget.

The Office for the Aging (SOFA) promotes and administers programs and services for New Yorkers 60 years of age and older. SOFA primarily oversees community-based services (including in-home services and nutrition assistance) provided through a network of county Area Agencies on Aging (AAA) and local providers.

PUBLIC HEALTH AND AGING (millions of dollars)									
	FY 2019 Results	FY 2020 Updated	Change	FY 2021 Projected	Change	FY 2022 Projected	Change	FY 2023 Projected	Change
<b>TOTAL STATE OPERATING FUNDS</b>	<b>1,712</b>	<b>1,721</b>	<b>0.5%</b>	<b>1,892</b>	<b>9.9%</b>	<b>2,008</b>	<b>6.1%</b>	<b>2,028</b>	<b>1.0%</b>
<b>Public Health</b>	<b>1,582</b>	<b>1,576</b>	<b>-0.4%</b>	<b>1,745</b>	<b>10.7%</b>	<b>1,856</b>	<b>6.4%</b>	<b>1,871</b>	<b>0.8%</b>
Child Health Plus	399	416	4.3%	609	46.4%	716	17.6%	732	2.2%
General Public Health Work	155	179	15.5%	163	-8.9%	167	2.5%	167	0.0%
EPIC	123	117	-4.9%	118	0.9%	118	0.0%	118	0.0%
Early Intervention	173	173	0.0%	165	-4.6%	165	0.0%	165	0.0%
HCRA Program	365	371	1.6%	384	3.5%	384	0.0%	384	0.0%
All Other	367	320	-12.8%	306	-4.4%	306	0.0%	305	-0.3%
<b>Aging</b>	<b>130</b>	<b>145</b>	<b>11.5%</b>	<b>147</b>	<b>1.4%</b>	<b>152</b>	<b>3.4%</b>	<b>157</b>	<b>3.3%</b>

The Public Health budget maintains average annual growth over the multi-year Financial Plan of 4.4 percent and reflects increased support to local governments for services administered on behalf of the State, partly offset by program restructuring and administrative efficiencies. Increased CHP spending reflects enrollment that continues to increase at a strong pace. A one-time claims correction increased State costs by \$50 million in FY 2019. The increase in FY 2020 reflects the October 2019 phase-down of enhanced Federal support currently provided through the ACA. Growth in FY 2021 reflects the full annual impact of the expiration of enhanced Federal support and increased enrollment.

In addition to on-going program support, the Financial Plan includes \$6.8 million to help reduce the risk of child exposure to lead paint by lowering the acceptable blood lead level from 15 micrograms per deciliter to 5 micrograms per deciliter, and support increased local enforcement and prevention costs through the GPHW program. Additionally, the Budget supports a 5 percent rate increase for EI services provided by licensed physical therapists, occupational therapists, and speech-language pathologists to improve access to care and expand service delivery for infants and toddlers with disabilities and their families. To offset the aforementioned statewide program costs and new investments, the Financial Plan modifies reimbursement of certain public health funding for NYC. The rate realignment of the NYC GPHW program will generate savings of \$27 million in FY 2020 and \$54 million annually thereafter. Additional Public Health savings of \$16 million will be generated by shifting the Traumatic Brain Injury Program, Off-Track Betting retiree's health insurance, and Nursing Home Transition and Diversion waiver under the Global Cap.

The Financial Plan includes SOFA savings realized by eliminating the planned 2.9 percent FY 2020 increase in the human services Cost-of-Living Adjustment (COLA), resulting in \$5 million in annual savings for FY 2020 through FY 2023. These savings are offset by a \$15 million investment in the Expanded In-Home Services for the Elderly Program (EISEP) program to address locally-identified capacity needs in SOFA support services to maintain the elderly in their communities, support family and friends in their caregiving roles, and delay future Medicaid costs by intervening earlier with less intensive services.

## HCRA Financial Plan

HCRA was established in 1996 to help fund a portion of State health care activities. Extensions and modifications to HCRA have financed new health care programs, such as CHP. HCRA has also provided additional funding for the health care industry, including investments in worker recruitment and retention, and the Doctors Across New York program. HCRA authorization is extended through FY 2020, pursuant to legislation included in the FY 2018 Enacted Budget.

HCRA receipts include surcharges and assessments on hospital revenues, a “covered lives” assessment paid by insurance carriers, and a portion of cigarette tax revenues. In total, HCRA resources are used to fund roughly 25 percent of the State share of Medicaid, as well as CHP, EPIC, Physician Excess Medical Malpractice Insurance, and Indigent Care payments to hospitals serving a disproportionate share of individuals without health insurance.

HCRA FINANCIAL PLAN					
(millions of dollars)					
	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	Results	Updated	Projected	Projected	Projected
<b>OPENING BALANCE</b>	15	0	0	0	0
<b>TOTAL RECEIPTS</b>	<b>5,960</b>	<b>5,997</b>	<b>6,053</b>	<b>6,093</b>	<b>6,139</b>
Surcharges	3,624	3,647	3,785	3,859	3,936
Covered Lives Assessment	1,018	1,110	1,045	1,045	1,045
Cigarette Tax Revenue	780	731	685	651	620
Hospital Assessments	438	424	424	424	424
Excise Tax on Vapor Products	0	10	39	39	39
NYC Cigarette Tax Transfer	28	32	32	32	32
EPIC Receipts/ICR Audit Fees	72	43	43	43	43
<b>TOTAL DISBURSEMENTS AND TRANSFERS</b>	<b>5,975</b>	<b>5,997</b>	<b>6,053</b>	<b>6,093</b>	<b>6,139</b>
Medicaid Assistance Account	<u>3,985</u>	<u>3,881</u>	<u>3,800</u>	<u>3,750</u>	<u>3,801</u>
Medicaid Costs	3,788	3,684	3,603	3,553	3,604
Workforce Recruitment & Retention	197	197	197	197	197
Hospital Indigent Care	777	892	892	892	892
HCRA Program Account	379	379	392	392	392
Child Health Plus	409	428	624	733	747
Elderly Pharmaceutical Insurance Coverage	137	128	130	129	129
Qualified Health Plan Administration <sup>1</sup>	44	53	51	50	49
SHIN-NY/APCD	40	40	0	0	0
All Other	204	196	164	147	129
<b>ANNUAL OPERATING SURPLUS/(DEFICIT)</b>	<b>(15)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>CLOSING BALANCE</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

<sup>1</sup> FY 2019 QHP spending of \$44 million was financed through the Medicaid Assistance Account.

Total HCRA receipts are forecast to grow modestly over the multi-year period. Growth surcharge collections expanded coverage through the ACA, and a new 20 percent excise tax on vapor products. Projected increases in surcharges are partly offset by declines in estimated covered lives assessments and cigarette tax collections, attributable to declining taxable consumption.

Total HCRA disbursements are sized to equal projected receipts. The Financial Plan reflects continued FY 2020 HCRA funding for a number of programs and initiatives. Specifically, the continuation of the Statewide Health Information Network for New York (SHIN-NY)/All-Payer Claims Databases (APCD) infrastructure development initiative, estimated at \$40 million annually, which improves the informational and data capabilities associated with claiming records; \$892 million for Hospital Indigent Care, which assists providers in paying for uncompensated services provided; and continuation of the EPIC program, which assists income-eligible seniors with their out-of-pocket Medicare Part D drug plan costs. Over the multi-year Financial Plan period, the most substantial area of spending growth is within the CHP program, based on the expiration of the enhanced Federal resources provided through the ACA and strong year-over-year enrollment growth, estimated outyear spending growth is \$196 million in FY 2021, \$109 million in FY 2022 and \$14 million in FY 2023.

HCRA is expected to remain in balance over the projection period. Under the current HCRA appropriation structure, spending reductions will occur if resources are insufficient to meet spending levels. Any such spending reductions could affect General Fund Medicaid funding or HCRA programs. Conversely, any unanticipated balances or excess resources in HCRA are expected to fund Medicaid costs that would otherwise be paid from the General Fund.



## Mental Hygiene

Mental Hygiene comprises the Office for People with Developmental Disabilities (OPWDD), Office of Mental Health (OMH), Office of Alcoholism and Substance Abuse Services (OASAS), Developmental Disabilities Planning Council (DDPC), and Justice Center for the Protection of People with Special Needs (Justice Center). Services are administered to adults with serious mental illness; children with serious emotional disturbances; individuals with developmental disabilities and their families; persons with chemical dependencies; and individuals with compulsive gambling problems.

These agencies provide services directly to their clients through State-operated facilities, and indirectly through community service providers. The costs associated with providing these services are supported by reimbursement from Medicaid, Medicare, third-party insurance, and State funding. Patient care revenues are pledged first to the payment of debt service on outstanding mental hygiene bonds, issued to finance infrastructure improvements at State mental hygiene facilities. Remaining revenue supports State operating costs.

<b>MENTAL HYGIENE</b> (millions of dollars)									
	FY 2019	FY 2020		FY 2021		FY 2022		FY 2023	
	Results	Updated	Change	Projected	Change	Projected	Change	Projected	Change
<b>TOTAL STATE OPERATING FUNDS</b>	<b>2,150</b>	<b>1,989</b>	<b>-7.5%</b>	<b>2,480</b>	<b>24.7%</b>	<b>2,474</b>	<b>-0.2%</b>	<b>2,731</b>	<b>10.4%</b>
<b>People with Developmental Disabilities</b>	<b>2,171</b>	<b>2,280</b>	<b>5.0%</b>	<b>2,580</b>	<b>13.2%</b>	<b>2,769</b>	<b>7.3%</b>	<b>2,940</b>	<b>6.2%</b>
Residential Services	1,325	1,371	3.5%	1,504	9.7%	1,614	7.3%	1,714	6.2%
Day Programs	662	685	3.5%	751	9.6%	806	7.3%	856	6.2%
Clinic	16	16	0.0%	18	12.5%	19	5.6%	20	5.3%
All Other Services (Net of Offsets)	168	208	23.8%	307	47.6%	330	7.5%	350	6.1%
<b>Mental Health</b>	<b>1,282</b>	<b>1,372</b>	<b>7.0%</b>	<b>1,444</b>	<b>5.2%</b>	<b>1,512</b>	<b>4.7%</b>	<b>1,579</b>	<b>4.4%</b>
Adult Local Services	1,058	1,133	7.1%	1,196	5.6%	1,248	4.3%	1,309	4.9%
Children Local Services	224	239	6.7%	248	3.8%	264	6.5%	270	2.3%
<b>Alcohol and Substance Abuse</b>	<b>349</b>	<b>370</b>	<b>6.0%</b>	<b>378</b>	<b>2.2%</b>	<b>393</b>	<b>4.0%</b>	<b>412</b>	<b>4.8%</b>
Residential	103	110	6.8%	112	1.8%	117	4.5%	125	6.8%
Other Treatment	159	167	5.0%	172	3.0%	179	4.1%	187	4.5%
Prevention	53	56	5.7%	57	1.8%	59	3.5%	62	5.1%
Recovery	34	37	8.8%	37	0.0%	38	2.7%	38	0.0%
<b>Justice Center</b>	<b>1</b>	<b>1</b>	<b>0.0%</b>	<b>1</b>	<b>0.0%</b>	<b>1</b>	<b>0.0%</b>	<b>1</b>	<b>0.0%</b>
<b>SUBTOTAL BEFORE ADJUSTMENTS</b>	<b>3,803</b>	<b>4,023</b>	<b>5.8%</b>	<b>4,403</b>	<b>9.4%</b>	<b>4,675</b>	<b>6.2%</b>	<b>4,932</b>	<b>5.5%</b>
Total DOH Medicaid Adjustments <sup>1</sup>	(1,653)	(2,034)	-23.0%	(1,923)	5.5%	(2,201)	-14.5%	(2,201)	0.0%
OPWDD Medicaid	(1,653)	(1,814)	-9.7%	(1,703)	6.1%	(1,981)	-16.3%	(1,981)	0.0%
OMH Medicaid	0	(220)	0.0%	(220)	0.0%	(220)	0.0%	(220)	0.0%

<sup>1</sup> Adjustments reflect OPWDD and OMH programmatic spending from resources available under the Medicaid Global Cap. There are no budgetary reductions or impacts to mental hygiene program spending.

Local assistance spending for mental hygiene is projected to grow by an average rate of 6.7 percent over the Financial Plan period. The main factors driving growth are: enhancements in community mental health services; enhancements in community-based employment and residential opportunities for individuals with disabilities; and new or increased funding for not-for-profit providers for growth in employee wages related to minimum wage increases.

The Financial Plan includes an approximately \$220 million, or 5.8 percent, increase in local assistance funding for the mental hygiene agencies. Roughly \$63 million will be used to support the incremental pay standards and related fringe benefit increases associated with the transition to a \$15 per hour minimum wage. Other increases include investments to leverage up to \$120 million in additional OPWDD funding, which will allow for the development of new certified housing supports in the community, support more independent living, provide more day program and employment options, and increase respite availability. The Financial Plan also includes additional OMH funding to support enhanced funding to existing residential programs.

The Budget funds a 4 percent raise over the next two years for direct care workers, and a 2 percent pay raise for clinical workers serving the mental hygiene community. Both are aimed at assisting not-for-profits in the recruitment and retention of employees. These investments, when fully annualized, will increase State share support for workers by \$107 million (\$188 million on an All Funds basis). Offsetting these cost increases is the deferral of the statutory COLA for mental hygiene agencies through FY 2021.

The Financial Plan also reflects increased support for OASAS for prevention, treatment and recovery programs targeted toward chemical dependency, residential service opportunities, and public awareness activities.

Mental hygiene activities funded under the Medicaid Global Cap will increase by \$440 million in FY 2020. This has no impact on mental hygiene service delivery or operations.

## Social Services

### Office of Temporary and Disability Assistance (OTDA)

OTDA local assistance programs provide cash benefits and supportive services to low-income families. The State’s three main programs include Family Assistance, Safety Net Assistance and Supplemental Security Income (SSI). The Family Assistance program, financed by the Federal government, provides time-limited cash assistance to eligible families. The Safety Net Assistance program, financed by the State and local districts, provides cash assistance for single adults, childless couples, and families that have exhausted their five-year limit on Family Assistance imposed by Federal law. The State SSI Supplementation program provides a supplement to the Federal SSI benefit for the elderly, visually handicapped, and disabled persons.

TEMPORARY AND DISABILITY ASSISTANCE (millions of dollars)									
	FY 2019 Results	FY 2020 Updated	Change	FY 2021 Projected	Change	FY 2022 Projected	Change	FY 2023 Projected	Change
<b>TOTAL STATE OPERATING FUNDS</b>	<b>1,139</b>	<b>1,340</b>	<b>17.6%</b>	<b>1,351</b>	<b>0.8%</b>	<b>1,355</b>	<b>0.3%</b>	<b>1,358</b>	<b>0.2%</b>
SSI	644	656	1.9%	667	1.7%	667	0.0%	667	0.0%
Public Assistance Benefits	381	535	40.4%	541	1.1%	541	0.0%	541	0.0%
Public Assistance Initiatives	13	30	130.8%	24	-20.0%	24	0.0%	24	0.0%
All Other	101	119	17.8%	119	0.0%	123	3.4%	126	2.4%

DOB’s caseload models project a total of 532,164 public assistance recipients in FY 2020. Approximately 201,673 families are expected to receive benefits through the Family Assistance program in FY 2020, a decrease of 2.2 percent from FY 2019. The Safety Net caseload for families is projected at 117,755 in FY 2020, a decrease of 2.3 percent from FY 2019. The caseload for single adults/childless couples supported through the Safety Net program is projected at 212,716 in FY 2020, an increase of 0.6 percent from FY 2019.

SSI spending is projected to increase slightly over the course of the multi-year Financial Plan as caseload is expected to level off. Public assistance benefits will increase due to a variety of factors, including the expansion of NYC HIV/AIDS Services Administration (HASA) benefits to public assistance recipients living in NYC, increased costs associated with an increase in Safety Net caseload for singles, and a one-time change in the timing of payments in FY 2019. Other spending growth includes increased spending on homeless services and prevention, and the Response to Human Trafficking program. The Enacted Budget Financial Plan restructured financing for the Family Assistance program, moving 10 percent of costs previously financed by Federal Temporary Assistance for Needy Families (TANF) to the City of New York to align with the funding structure for the Emergency Assistance for Families program.

## Office of Children and Family Services (OCFS)

OCFS provides funding for foster care, adoption, child protective services, preventive services, delinquency prevention, and child care. It oversees the State’s system of family support and child welfare services administered by local social services districts and community-based organizations. Specifically, child welfare services, which are financed jointly by the Federal government, the State, and local districts, are structured to encourage local governments to invest in preventive services for reducing out-of-home placement of children. In addition, the Child Care Block Grant, which is also financed by a combination of Federal, State and local sources, supports child care subsidies for public assistance and low-income families.

CHILDREN AND FAMILY SERVICES (millions of dollars)									
	FY 2019	FY 2020		FY 2021		FY 2022		FY 2023	
	Results	Updated	Change	Projected	Change	Projected	Change	Projected	Change
<b>TOTAL STATE OPERATING FUNDS</b>	<b>1,659</b>	<b>1,531</b>	<b>-7.7%</b>	<b>1,607</b>	<b>5.0%</b>	<b>1,661</b>	<b>3.4%</b>	<b>1,661</b>	<b>0.0%</b>
Child Welfare Service	434	491	13.1%	501	2.0%	501	0.0%	501	0.0%
Foster Care Block Grant	384	384	0.0%	388	1.0%	398	2.6%	398	0.0%
Child Care	345	170	-50.7%	245	44.1%	264	7.8%	264	0.0%
Adoption	137	148	8.0%	149	0.7%	150	0.7%	150	0.0%
Youth Programs	114	105	-7.9%	92	-12.4%	92	0.0%	92	0.0%
Medicaid	72	81	12.5%	93	14.8%	97	4.3%	97	0.0%
Adult Protective/Domestic Violence	45	48	6.7%	51	6.3%	54	5.9%	54	0.0%
Committees on Special Education	29	24	-17.2%	26	8.3%	28	7.7%	29	3.6%
All Other	99	80	-19.2%	62	-22.5%	77	24.2%	76	-1.3%

FY 2020 OCFS State Operating Funds spending is projected to decrease from FY 2019 due to several factors including use of TANF resources to offset State child care and Advantage After-School costs, reclassifying the Pay For Success program from OCFS to the Department of Labor, and the planned deferral of the Human Services COLA in FY 2020. Growth in the outyears is primarily attributable to a decrease in TANF dollars supporting child care, which is offset by increased General Fund support for the program.

## Transportation

In FY 2020, the State expects to provide over \$5.8 billion in operating aid to mass transit systems, including over \$2.3 billion from the direct remittance of certain aid to the MTA without an appropriation (not included in the table below). This direct aid is funded mainly from various dedicated taxes and fees. The MTA, the nation’s largest transit and commuter rail system, receives the majority of the mass transit aid, totaling \$5.3 billion in FY 2020.

The MTA receives additional, exclusive operating support from the Payroll Mobility Tax (PMT), authorized in May 2009 to collect regional taxes and fees imposed within the MCTD. Pursuant to legislation enacted in December 2011, the MTA payroll tax was eliminated for all elementary and secondary schools and small business operators within the MCTD. The General Fund provides additional annual support to the MTA, subject to appropriation, to partially offset this revenue loss. The MTA will receive nearly \$1.9 billion from on-budget and off-budget Mobility Tax resources in FY 2020.

TRANSPORTATION (millions of dollars)									
	FY 2019	FY 2020		FY 2021		FY 2022		FY 2023	
	Results	Updated	Change	Projected	Change	Projected	Change	Projected	Change
<b>STATE OPERATING FUNDS SUPPORT</b>	<b>3,938</b>	<b>3,549</b>	<b>-9.9%</b>	<b>3,849</b>	<b>8.5%</b>	<b>4,047</b>	<b>5.1%</b>	<b>4,296</b>	<b>6.2%</b>
Mass Transit Operating Aid:	<u>2,321</u>	<u>2,507</u>	<u>8.0%</u>	<u>2,643</u>	<u>5.4%</u>	<u>2,710</u>	<u>2.5%</u>	<u>2,807</u>	<u>3.6%</u>
Metro Mass Transit Aid	2,185	2,351	7.6%	2,480	5.5%	2,547	2.7%	2,644	3.8%
Public Transit Aid	92	112	21.7%	119	6.3%	119	0.0%	119	0.0%
18-b General Fund Aid	19	19	0.0%	19	0.0%	19	0.0%	19	0.0%
School Fare	25	25	0.0%	25	0.0%	25	0.0%	25	0.0%
Mobility Tax	379	244	-35.6%	244	0.0%	244	0.0%	244	0.0%
MTA Aid Trust	293	31	-89.4%	0	-100.0%	0	0.0%	0	0.0%
MTA Dedicated Sales Tax	0	0	0.0%	150	0.0%	152	1.3%	153	0.7%
Dedicated Mass Transit	685	695	1.5%	746	7.3%	875	17.3%	1,026	17.3%
AMTAP	260	72	-72.3%	66	-8.3%	66	0.0%	66	0.0%
All Other	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%

Projected operating aid to the MTA and other transit systems reflects the current receipts forecast and timing associated with the availability of resources. The Financial Plan includes revised spending estimates for transit assistance in each year that reflect the most recent revenue forecast assumptions.

The Budget includes legislation directing various supplemental fees and taxes levied on driver licenses, motor vehicle registrations, taxis, and passenger car rentals, to be remitted directly to the MTA without legislative appropriation. This will eliminate the pass-through of these fees and taxes and will ensure more timely receipt by the MTA, consistent with treatment of Mobility Tax collections. Beginning in FY 2020, the Financial Plan will no longer include these new supplemental fees and taxes or associated local assistance payments. The MTA will receive nearly \$300 million from these resources in FY 2020.

The Budget also includes MTA reforms and new dedicated funding streams to the MTA consisting of:

- A Central Business District Tolling program, which imposes an additional toll on vehicles that travel into Manhattan south of and including 60<sup>th</sup> street. This tolling program will provide \$15 billion dedicated to MTA capital needs.
- An additional 0.25 percent real estate transfer tax imposed in NYC on commercial property conveyances \$2 million and above and residential property conveyances \$3 million and above, and a new progressive mansion tax on residential properties valued at \$2 million and above in NYC with a top rate of 2.9 percent on the sale of residential properties valued at \$25 million or above. These taxes will be used to support up to \$5 billion in financing for MTA projects.
- Sales tax revenue from requiring online marketplace providers to collect sales tax on all sales facilitated through their platforms in addition to the Tax Department's implementation and enforcement of regulations associated with the U.S. Supreme Court Wayfair ruling. The combined provisions support up to \$5 billion in additional financing for the MTA.

Revenues from these dedicated sources will be deposited into an MTA capital lockbox dedicated solely to financing necessary investments in the MTA's 2020-24 capital program and any successor programs, including improvements to the subway system. These funds cannot be used for any non-capital expense.

## Local Government Assistance

Direct aid to local governments includes the Aid and Incentives for Municipalities (AIM) program, which was created in FY 2006 to consolidate various unrestricted local aid funding streams; miscellaneous financial assistance for certain counties, cities, towns, and villages; and efficiency-based incentive grants to local governments.

LOCAL GOVERNMENT ASSISTANCE - AIM PROGRAM (millions of dollars)									
	FY 2019	FY 2020		FY 2021		FY 2022		FY 2023	
	Results	Updated	Change	Projected	Change	Projected	Change	Projected	Change
<b>TOTAL STATE OPERATING FUNDS</b>	<b>722</b>	<b>671</b>	<b>-7.1%</b>	<b>704</b>	<b>4.9%</b>	<b>704</b>	<b>0.0%</b>	<b>704</b>	<b>0.0%</b>
Big Four Cities	429	429	0.0%	429	0.0%	429	0.0%	429	0.0%
Other Cities	218	218	0.0%	218	0.0%	218	0.0%	218	0.0%
Towns and Villages	68	9	-86.8%	9	0.0%	9	0.0%	9	0.0%
Restructuring/Efficiency	7	15	114.3%	48	220.0%	48	0.0%	48	0.0%

The Budget replaces AIM for towns and villages, for which AIM was less than 2 percent of total expenditures, with additional local sales tax revenue in an equal amount, resulting in no loss of revenue to towns and villages. The additional local sales tax revenue is due to elimination of the Internet tax advantage and the elimination of the Energy Service Company (ESCO) exemption.

State Operating Funds spending for the various efficiency and restructuring grants within the AIM program is projected to increase from FY 2020 to FY 2021 due to potential awards from the Financial Restructuring Board for Local Governments.

## Agency Operations

Agency operating costs consist of Personal Service (PS), Non-Personal Service (NPS), and General State Charges (GSCs). PS includes the salaries of State employees of the Executive, Legislative, and Judicial branches, as well as salaries of temporary/seasonal employees. NPS includes real estate rentals, utilities, contractual payments (e.g., consultants, IT, and professional business services), supplies and materials, equipment, and telephone service. GSCs, which are discussed separately, reflect the cost of fringe benefits (e.g., pensions and health insurance) provided to State employees and retirees of the Executive, Legislative and Judicial branches, and certain fixed costs paid by the State, such as taxes on public lands and litigations. Certain agency operating costs of Department of Transportation (DOT) and Department of Motor Vehicles (DMV) (adjusted for the reclassification discussed above) are included in Capital Projects Funds and are not reflected in State Operating Funds. The PS estimates reflect current negotiated collective bargaining agreements.

Approximately 94 percent of the State workforce is unionized. The largest unions include Civil Service Employees Association (CSEA), which represents office support staff and administrative personnel, machine operators, skilled trade workers, and therapeutic and custodial care staff; Public Employees Federation (PEF), which represents professional and technical personnel (attorneys, nurses, accountants, engineers, social workers, and institution teachers); United University Professions (UUP), which represents faculty and nonteaching professional staff within the State University system; and New York State Correctional Officers and Police Benevolent Association (NYSCOPBA), which represents security personnel (correction officers, safety and security officers).

The following table presents certain variables used in preparing the spending projections for agency operations.



## FORECAST OF SELECTED PROGRAM MEASURES AFFECTING PERSONAL SERVICE AND FRINGE BENEFITS

	FY 2019 Results	FY 2020 Updated	Forecast		
			FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
Negotiated Base Salary Increases <sup>1</sup>					
NYSCOPBA/NYSTPBA /NYSPIA	2%	2%	2%	2%	2%
GSEU <sup>2</sup>	2%	2%	2%	2%	2%
UUP	2%	2%	2%	2%	TBD
CSEA/DC-37 (Rent Regulation Unit)/MC	2%	2%	2%	TBD	TBD
PEF	2%	TBD	TBD	TBD	TBD
Council 82/PBANYS	TBD	TBD	TBD	TBD	TBD
State Workforce <sup>3</sup>	117,967	119,491	TBD	TBD	TBD
ERS Contribution Rate					
Before Amortization <sup>4</sup>	15.5%	15.2%	15.8%	17.5%	18.8%
After Amortization <sup>5</sup>	19.2%	18.9%	19.3%	20.7%	21.4%
PFRS Contribution Rate					
Before Amortization <sup>4</sup>	24.1%	23.9%	25.0%	26.7%	28.1%
After Amortization <sup>5</sup>	26.9%	26.6%	27.6%	29.1%	30.1%
Employee/Retiree Health Insurance Growth Rates	5.8%	2.8%	7.9%	7.5%	7.5%
PS/Fringe as % of Receipts (All Funds Basis)	13.7%	13.3%	14.0%	14.2%	14.2%

<sup>1</sup> Reflects current collective bargaining agreements with settled unions. Does not reflect potential impact of future settlements.

<sup>2</sup> Pending member ratification.

<sup>3</sup> Reflects workforce that is subject to direct Executive control.

<sup>4</sup> Before amortization contribution rate reflects normal and administrative costs, contributions for the Group Life Insurance Plan (GLIP), and Chapter 41 of 2016 veterans' pension credit legislation.

<sup>5</sup> After amortization contribution rate additionally includes new amortization, if any, and payments on prior amortizations.

Operating costs for PS/NPS are projected to increase over the Financial Plan period, from \$19.1 billion in FY 2019 to \$20.7 billion in FY 2023. Most Executive agencies are expected to hold spending at FY 2019 levels, with some exceptions as described below. The increases in the outyears of the Financial Plan are driven mainly by juvenile justice reform, salary increases per labor agreements, growth in SUNY operating costs, including labor costs pursuant to the settled UUP contract, and an additional administrative payroll in FY 2021.

# State Financial Plan Multi-Year Projections



## STATE OPERATING FUNDS - PERSONAL SERVICE / NON-PERSONAL SERVICE COSTS

(millions of dollars)

	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
<b>SUBJECT TO DIRECT EXECUTIVE CONTROL<sup>1</sup></b>	<b>10,232</b>	<b>10,695</b>	<b>10,845</b>	<b>10,919</b>	<b>11,073</b>
Mental Hygiene	2,795	2,828	2,880	2,930	2,979
Corrections and Community Supervision	2,599	2,857	2,751	2,792	2,870
State Police	717	790	810	807	827
Department of Health	728	805	801	798	790
Information Technology Services	545	540	551	557	568
Tax and Finance	312	340	351	340	339
Children and Family Services	261	262	381	384	384
Transportation	301	342	337	342	342
Environmental Conservation	211	202	215	210	210
All Other	1,763	1,729	1,768	1,759	1,764
<b>UNIVERSITY SYSTEMS</b>	<b>6,097</b>	<b>6,311</b>	<b>6,565</b>	<b>6,654</b>	<b>6,859</b>
State University	6,001	6,214	6,468	6,555	6,760
City University	96	97	97	99	99
<b>INDEPENDENT AGENCIES</b>	<b>336</b>	<b>339</b>	<b>347</b>	<b>339</b>	<b>339</b>
Law	187	182	187	182	182
Audit & Control (OSC)	149	157	160	157	157
<b>TOTAL, EXCLUDING JUDICIARY AND LEGISLATURE</b>	<b>16,665</b>	<b>17,345</b>	<b>17,757</b>	<b>17,912</b>	<b>18,271</b>
<b>Judiciary</b>	<b>2,169</b>	<b>2,166</b>	<b>2,223</b>	<b>2,166</b>	<b>2,166</b>
<b>Legislature</b>	<b>223</b>	<b>243</b>	<b>249</b>	<b>256</b>	<b>256</b>
<b>Statewide Total</b>	<b>19,057</b>	<b>19,754</b>	<b>20,229</b>	<b>20,334</b>	<b>20,693</b>
Personal Service	13,687	14,261	14,683	14,684	14,973
Non-Personal Service	5,370	5,493	5,546	5,650	5,720

<sup>1</sup> FY 2020 estimates include \$185 million in retroactive salary payments for NYSOPBA, PBA and NYSPIA labor agreements.

FY 2020 spending for agency operations includes 2 percent general salary increases associated with collective bargaining agreements with various unions. The cost of annual salary increases is expected to be absorbed by most agencies with management plan savings and efficiencies, consistent with the administration's policy to maintain flat Executive agency operations. Limited exceptions include:

- **Corrections and Community Supervision.** Higher spending in FY 2020 is attributable to the cost of a collective bargaining agreement reached in FY 2019, which includes the payment of three years of retroactive salary payments.
- **State Police.** Increased spending is due to the cost of a collective bargaining agreements reached in FY 2019, which includes a retroactive payment for FY 2019.
- **Department of Health.** Growth is attributable to the cost increases of QHPs supported under the NYSOH program, offset by funding provided under Medicaid Global Cap local assistance. Additionally, growth in FY 2020 is attributable to the use of nonrecurring Federal credits applied against Medicaid non-personal service spending in FY 2019.
- **Transportation.** Increases reflect a larger amount of operating costs related to bus, truck and rail inspection, as well as snow and ice removal, which have been reclassified from the Dedicated Highway and Bridge Trust Fund (DHBTF) to the General Fund beginning in FY 2019.
- **Children and Family Services.** Higher spending in the outyears is mainly driven by additional funding to support raising the age of criminal responsibility from 16 to 18 by October 1, 2019. A modification to the youth facility billings process will partly offsets the increase in FY 2020.
- **Mental Hygiene.** Increased spending includes the continued delivery in State-operated program settings and the cost of a collective bargaining agreement reached in FY 2019, which includes the payment of three years of retroactive salary payments.

## Workforce

In FY 2020, \$14.3 billion, or 14 percent, of the State Operating Funds budget is dedicated to supporting roughly 97,600 Full-Time Equivalent (FTE) employees under direct Executive control; individuals employed by SUNY and CUNY (46,464) and Independent Agencies (18,051); employees paid on a non-annual salaried basis; and overtime pay. Roughly 60 percent of Executive agency spending related to the workforce occurs in five agencies that provide care to individuals -- the mental hygiene agencies and Department of Corrections and Community Supervision (DOCCS).

STATE OPERATING FUNDS		
FY 2020 FTEs <sup>1</sup> AND PERSONAL SERVICE SPENDING BY AGENCY		
(millions of dollars)		
	Dollars	FTEs
<b>SUBJECT TO DIRECT EXECUTIVE CONTROL</b>	<b>7,867</b>	<b>97,574</b>
Mental Hygiene	2,351	32,399
Corrections and Community Supervision	2,355	26,858
State Police	730	5,666
Department of Health	292	4,078
Information Technology Services	292	3,481
Tax and Finance	277	4,085
Children and Family Services	172	2,360
Environmental Conservation	166	2,235
Transportation	162	2,591
Financial Services	154	1,391
All Other	916	12,430
<b>UNIVERSITY SYSTEMS</b>	<b>4,241</b>	<b>46,464</b>
State University	4,192	46,090
City University <sup>2</sup>	49	374
<b>INDEPENDENT AGENCIES</b>	<b>2,153</b>	<b>18,051</b>
Law	129	1,533
Audit & Control (OSC)	126	1,524
Judiciary	1,710	14,991
Legislature <sup>3</sup>	188	3
<b>Statewide Total</b>	<b>14,261</b>	<b>162,089</b>

<sup>1</sup> FTEs represent the number of annual-salaried full-time filled positions (e.g., one FTE may represent a single employee serving at 100 percent full-time, or a combination of employees serving at less than full-time that, when combined, equal a full-time position). The reported FTEs do not include non-annual salaried positions, such as positions filled on an hourly, per-diem or seasonal basis.

<sup>2</sup> CUNY employees are funded primarily through an agency trust fund that supports an additional 13,258 FTEs, which are excluded from this table.

<sup>3</sup> Legislative employees who are nonannual salaried are excluded from this table.

## General State Charges

The State provides a variety of fringe benefits to current and former employees, including health insurance, pensions, workers' compensation coverage, unemployment insurance, survivors' benefits, and dental and vision benefits (some of which are provided through union-specific Employee Benefit Funds). GSCs also pays the Social Security payroll tax and certain statewide fixed costs, including taxes on State-owned lands, Payments in Lieu of Taxes (PILOT) and judgments and settlements awarded in the Court of Claims. Many of these payments are mandated by law or collective bargaining agreements.

Employee fringe benefits paid through GSCs are financed from the General Fund in the first instance, and then partially reimbursed by revenue collected from agency fringe benefit assessments.

GSC spending is projected to increase at an average annual rate of 6.5 percent over the multi-year Financial Plan period. In the current year, growth in the health insurance program of \$119 million (2.8 percent) reflects medical inflation offset by savings from the new prescription drug contract and collectively negotiated benefit design changes. The projected increase in Social Security (3.8 percent) and employee benefit funds (7.3 percent) reflect the implementation of recent collective bargaining agreements that increase general salaries. Workers' compensation costs are projected to increase by \$113 million due to underlying growth in the average weekly wage used for benefit calculations and medical costs (\$64 million), and a reduction in available reserve funds to offset costs (\$49 million).

Overall pension costs are projected to remain relatively stable due to improved investment returns and ongoing savings from Tier 5 and Tier 6 pension reforms. These costs are offset by \$54 million in interest savings achieved by paying the majority of the State pension bill in May 2019, rather than on a monthly basis as previously assumed. Over the multi-year Financial Plan period, outyear pension costs reflect expected investment performance, projected salary base growth, and assumptions about future normal and administrative costs. Pension costs also reflect repayment of prior-year amortization, costs for Chapter 41 of 2016 (veteran's pension credit legislation), and other adjustments.

GENERAL STATE CHARGES (millions of dollars)									
	FY 2019 Results	FY 2020 Updated	Change	FY 2021 Projected	Change	FY 2022 Projected	Change	FY 2023 Projected	Change
<b>TOTAL STATE OPERATING FUNDS</b>	<b>8,204</b>	<b>8,767</b>	<b>6.9%</b>	<b>9,371</b>	<b>6.9%</b>	<b>9,951</b>	<b>6.2%</b>	<b>10,540</b>	<b>5.9%</b>
<b>Fringe Benefits</b>	<b>7,799</b>	<b>8,340</b>	<b>6.9%</b>	<b>8,924</b>	<b>7.0%</b>	<b>9,498</b>	<b>6.4%</b>	<b>10,087</b>	<b>6.2%</b>
Health Insurance	4,193	4,312	2.8%	4,651	7.9%	5,001	7.5%	5,378	7.5%
Pensions	2,431	2,472	1.7%	2,636	6.6%	2,830	7.4%	2,965	4.8%
Social Security	1,036	1,075	3.8%	1,108	3.1%	1,099	-0.8%	1,110	1.0%
Workers' Compensation	464	577	24.4%	679	17.7%	736	8.4%	797	8.3%
Employee Benefits	96	103	7.3%	108	4.9%	111	2.8%	115	3.6%
Dental Insurance	59	61	3.4%	63	3.3%	65	3.2%	66	1.5%
Unemployment Insurance	12	12	0.0%	12	0.0%	12	0.0%	12	0.0%
All Other/Non-State Escrow	(492)	(272)	44.7%	(333)	-22.4%	(356)	-6.9%	(356)	0.0%
<b>Fixed Costs</b>	<b>405</b>	<b>427</b>	<b>5.4%</b>	<b>447</b>	<b>4.7%</b>	<b>453</b>	<b>1.3%</b>	<b>453</b>	<b>0.0%</b>
Public Land Taxes/PILOTS	271	269	-0.7%	275	2.2%	281	2.2%	281	0.0%
Litigation	134	158	17.9%	172	8.9%	172	0.0%	172	0.0%

## Transfers to Other Funds (General Fund Basis)

General Fund transfers help finance debt service for bonds that do not have dedicated revenues, SUNY operating costs, certain capital initiatives, and a range of other activities.

GENERAL FUND TRANSFERS TO OTHER FUNDS					
(millions of dollars)					
	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	Results	Updated	Projected	Projected	Projected
<b>TOTAL TRANSFERS TO OTHER FUNDS</b>	<b>4,558</b>	<b>6,114</b>	<b>6,584</b>	<b>6,524</b>	<b>6,968</b>
State Share of Mental Hygiene Medicaid	(29)	0	0	0	0
Debt Service	786	550	738	637	612
SUNY University Operations	1,020	1,185	1,259	1,255	1,255
<b>Capital Projects</b>	<b>1,888</b>	<b>3,191</b>	<b>3,305</b>	<b>3,168</b>	<b>3,486</b>
Extraordinary Monetary Settlements:	769	1,316	858	867	793
Dedicated Infrastructure Investment Fund	878	1,420	1,113	751	531
FY 2018 Temporary Loan to Capital Projects Fund <sup>1</sup>	(500)	0	0	0	0
Transfer to DIIF for Javits Expansion	382	320	134	0	0
Bond Proceeds Receipts for Javits Expansion	0	(500)	(500)	0	0
Transfer to Capital Projects Fund - Clean Water Grants	0	0	25	50	175
Mass Transit Capital	3	6	6	3	0
Statewide Health Care Capital	6	70	80	63	87
Dedicated Highway and Bridge Trust Fund	169	398	477	320	436
Environmental Protection Fund	28	28	28	28	96
All Other Capital	922	1,449	1,942	1,953	2,161
<b>ALL OTHER TRANSFERS</b>	<b>893</b>	<b>1,188</b>	<b>1,282</b>	<b>1,464</b>	<b>1,615</b>
Department of Transportation (MTA Payroll Tax)	244	244	244	244	244
SUNY - Medicaid Reimbursement	241	243	243	243	243
MTA Dedicated Sales Tax	0	113	150	152	153
Judiciary Funds	117	112	113	113	113
Dedicated Mass Transportation Trust Fund	67	65	116	256	408
Banking Services	37	49	49	49	49
Business Services Center	6	28	30	30	30
Indigent Legal Services	27	28	28	75	75
General Service	22	10	10	3	0
Mass Transportation Operating Assistance	26	21	21	21	21
Correctional Industries	21	21	21	21	21
Public Transportation Systems	17	16	16	16	16
Health Income Fund	11	16	16	16	16
Centralized Technology Services	14	11	11	11	11
Spinal Cord Injury Fund	9	9	9	9	9
Medical Cannabis Fund	7	5	7	7	7
All Other	27	197	198	198	199

<sup>1</sup> Reflects the final repayment of Extraordinary Monetary Settlement fund balances that were used to pay for capital projects in the first instance.



## State Financial Plan Multi-Year Projections

General Fund transfers to other funds are expected to total \$6.1 billion in FY 2020, a \$1.6 billion increase from FY 2019. The change is mainly due to capital projects transfers that are expected to increase by \$1.3 billion in FY 2020. The capital increase reflects higher transfers of monetary settlements (\$547 million); bond proceed reimbursements executed in FY 2019; and bond restructuring savings related to transportation that ended in FY 2019.

A portion of the capital and operating expenses of DOT and DMV is funded from the DHBTF, which receives various dedicated tax and fee revenues, including statutory allocations of Petroleum Business Tax (PBT), motor fuel tax, and Highway Use Tax (HUT). The General Fund subsidizes DHBTF expenses, as expenses routinely exceed revenue deposits and bond proceeds.

## Debt Service

The State pays debt service on all outstanding State-supported bonds. These include General Obligation bonds for which the State is constitutionally obligated to pay debt service, as well as certain bonds issued by State public authorities, such as Empire State Development Corporation (ESD), Dormitory Authority of the State of New York (DASNY), and the New York State Thruway Authority (NYSTA). Depending on the credit structure, debt service is financed by transfers from the General Fund, dedicated taxes and fees, and other resources such as patient income revenues.

DEBT SERVICE SPENDING PROJECTIONS (millions of dollars)									
	FY 2019 Results	FY 2020 Updated	Change	FY 2021 Projected	Change	FY 2022 Projected	Change	FY 2023 Projected	Change
General Fund	786	550	-30.0%	738	34.2%	637	-13.7%	612	-3.9%
Other State Support	5,913	4,620	-21.9%	6,135	32.8%	6,488	5.8%	6,717	3.5%
<b>State Operating/All Funds Total</b>	<b>6,699</b>	<b>5,170</b>	<b>-22.8%</b>	<b>6,873</b>	<b>32.9%</b>	<b>7,125</b>	<b>3.7%</b>	<b>7,329</b>	<b>2.9%</b>

Total State Operating/All Funds debt service is projected to be \$5.2 billion in FY 2020, of which \$550 million is paid from the General Fund via transfers, and \$4.6 billion is paid from other State funds supported by dedicated tax receipts. The General Fund finances debt service payments on General Obligation and service contract bonds. Debt service for the State’s revenue bonds is paid directly from other dedicated State funds, subject to appropriation, including PIT and Sales Tax bonds, DHBTB bonds, and mental health facilities bonds.

The Financial Plan estimates for debt service spending have been revised to reflect several factors, including the adjustment of debt issuances to align with projected bond-financed capital spending. Debt service spending estimates also reflect the prepayment of \$1.5 billion in FY 2019 in debt service costs due in FY 2020, as well as a planned prepayment of \$200 million in FY 2020 for debt service costs due in FY 2021.





**FY 2020 Operating Results  
Through June 2019**



This section provides a summary of preliminary operating results for April 2019 through June 2019 compared to: (1) results for the prior fiscal year (April 2018 through June 2018) and (2) the estimates set forth in the Enacted Budget Financial Plan. The focus of the spending discussion is on State Operating Funds, the basis on which the 2 percent growth benchmark is measured.

## Summary of General Fund Operating Results

The receipt and use of Extraordinary Monetary Settlements continue to affect the State's cash position. The table below and the discussion that follows summarizes the variance from the estimates in the Enacted Budget Financial Plan, excluding Extraordinary Monetary Settlements, unless otherwise noted.

<b>FY 2020 GENERAL FUND OPERATING RESULTS COMPARED TO FY 2020 ENACTED BUDGET</b>			
(millions of dollars)			
	Enacted	Results	Variance Above/ (Below) Enacted
<b>Opening Fund Balance (Excl. Extr. Monetary Settlements)</b>	<b>3,012</b>	<b>3,012</b>	-
<b>Total Receipts</b>	<b>22,721</b>	<b>23,044</b>	<b>323</b>
Taxes <sup>1</sup>	22,058	22,314	256
Non-Tax Receipts/Transfers <sup>2</sup>	663	730	67
<b>Total Disbursements</b>	<b>25,029</b>	<b>23,931</b>	<b>(1,098)</b>
Local Assistance	16,156	15,012	(1,144)
Agency Operations	6,357	6,509	152
Transfers to Other Funds <sup>3</sup>	2,516	2,410	(106)
<b>Net Change in Operations</b>	<b>(2,308)</b>	<b>(887)</b>	<b>1,421</b>
<b>Closing Fund Balance (Excl. Extr. Monetary Settlements)</b>	<b>704</b>	<b>2,125</b>	<b>1,421</b>
<b>Extraordinary Monetary Settlements</b>			
Opening Balance	4,194	4,194	0
Settlements Received/Expected <sup>4</sup>	727	727	0
Transfers/Uses	(351)	(453)	(102)
<b>Closing Balance</b>	<b>4,570</b>	<b>4,468</b>	<b>(102)</b>
<b>Closing Fund Balance (Incl. Extr. Monetary Settlements)</b>	<b>5,274</b>	<b>6,593</b>	<b>1,319</b>

<sup>1</sup> Includes transfers from other funds after debt service.

<sup>2</sup> Non-tax receipts exclude the monetary settlements received by the General Fund less amounts retained by the Department of Law in other funds to support operational costs.

<sup>3</sup> Transfers/Uses exclude the use of monetary settlements to support transfers from the General Fund to other funds (e.g., Dedicated Investment Infrastructure Fund).

<sup>4</sup> Includes gross value of all settlements received/expected by the State, including amounts retained by the Department of Law in other funds to support operational costs.

## Results Compared to Enacted Estimates

The General Fund closing balance at the end of June was \$1.3 billion higher than estimated due to lower spending (\$1.0 billion) and higher receipts (\$323 million).

Higher receipts were attributable to Personal Income Tax (PIT) collections partially offset by lower Consumption/Use Taxes and estate tax payments.

Lower spending was mainly due to the timing of planned local assistance payments for CUNY, School Aid and Social Services, the majority of which were paid in July. The payment of retroactive salary increases resulted in higher agency operations spending.

## Extraordinary Monetary Settlements

At the end of June 2019, the Extraordinary Monetary Settlement balance held in the General Fund was \$4.5 billion, \$102 million lower than estimated due to earlier than planned transfers of monetary settlements to support spending in other funds.

The table below summarizes the General Fund variances from Enacted estimates, inclusive of Extraordinary Monetary Settlements.

GENERAL FUND OPERATING RESULTS				
April 2019 through June 2019				
(millions of dollars)				
	Enacted	Results	Variance	
			Above/ (Below)	
			Enacted	
			\$	%
<b>OPENING BALANCE</b>	<b>7,206</b>	<b>7,206</b>	<b>0</b>	<b>0.0%</b>
<b>Total Receipts</b>	<b>23,448</b>	<b>23,771</b>	<b>323</b>	<b>1.4%</b>
Taxes:	22,058	22,314	256	1.2%
Personal Income Tax <sup>1</sup>	16,403	16,794	391	2.4%
Consumption / Use Taxes <sup>1</sup>	3,633	3,570	(63)	-1.7%
Business Taxes	1,490	1,505	15	1.0%
Other Taxes <sup>1</sup>	532	445	(87)	-16.4%
Receipts and Grants	1,153	1,179	26	2.3%
Transfers From Other Funds	237	278	41	17.3%
<b>Total Spending</b>	<b>25,380</b>	<b>24,384</b>	<b>(996)</b>	<b>-3.9%</b>
Local Assistance	16,156	15,012	(1,144)	-7.1%
Agency Operations (including GSCs)	6,357	6,509	152	2.4%
Transfers to Other Funds	2,867	2,863	(4)	-0.1%
Debt Service Transfer	133	138	5	3.8%
Capital Projects Transfer	1,517	1,515	(2)	-0.1%
SUNY Operations Transfer	764	764	-	0.0%
All Other Transfers	453	446	(7)	-1.5%
<b>Change in Operations</b>	<b>(1,932)</b>	<b>(613)</b>	<b>1,319</b>	<b>68.3%</b>
<b>CLOSING BALANCE</b>	<b>5,274</b>	<b>6,593</b>	<b>1,319</b>	<b>25.0%</b>

<sup>1</sup> Includes transfers from other funds after debt service.

## All Governmental Funds -- Results Compared to Prior Year

Compared to the prior year, All Funds receipts were \$7.9 billion higher mainly in PIT receipts and Federal operating aid. Spending increased by \$2.5 billion over the prior year of which two-thirds was driven by higher Medicaid spending, inclusive of Federal aid and the \$1.7 billion payment deferred from the end of March 2019.

ALL GOVERNMENTAL FUNDS - RESULTS COMPARED TO PRIOR YEAR				
April through June (millions of dollars)				
	Results		Increase/(Decrease)	
	FY 2019	FY 2020	\$	%
<b>OPENING BALANCE</b>	<b>12,749</b>	<b>9,975</b>	<b>(2,774)</b>	<b>-21.8%</b>
<b>ALL FUNDS RECEIPTS:</b>	<b>39,703</b>	<b>47,599</b>	<b>7,896</b>	<b>19.9%</b>
<b>Total Taxes</b>	<b>19,753</b>	<b>24,092</b>	<b>4,339</b>	<b>22.0%</b>
Personal Income Tax	12,991	16,910	3,919	30.2%
All Other Taxes	6,762	7,182	420	6.2%
<b>Miscellaneous Receipts</b>	<b>5,967</b>	<b>6,502</b>	<b>535</b>	<b>9.0%</b>
<b>Federal Grants</b>	<b>13,983</b>	<b>17,005</b>	<b>3,022</b>	<b>21.6%</b>
<b>Bond &amp; Note Proceeds</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0%</b>
<b>ALL FUNDS DISBURSEMENTS:</b>	<b>41,301</b>	<b>43,798</b>	<b>2,497</b>	<b>6.0%</b>
<b>STATE OPERATING FUNDS</b>	<b>25,126</b>	<b>26,862</b>	<b>1,736</b>	<b>6.9%</b>
<b>Local Assistance</b>	<b>16,125</b>	<b>17,650</b>	<b>1,525</b>	<b>9.5%</b>
School Aid	6,531	6,742	211	3.2%
DOH Medicaid (incl. admin and EP)	6,108	7,694	1,586	26.0%
All Other	3,486	3,214	(272)	-7.8%
<b>State Operations</b>	<b>8,645</b>	<b>8,788</b>	<b>143</b>	<b>1.7%</b>
<b>Agency Operations</b>	<b>4,864</b>	<b>5,139</b>	<b>275</b>	<b>5.7%</b>
Executive Agencies	2,554	2,733	179	7.0%
University Systems	1,599	1,664	65	4.1%
Elected Officials	711	742	31	4.4%
<b>Fringe Benefits/Fixed Costs</b>	<b>3,781</b>	<b>3,649</b>	<b>(132)</b>	<b>-3.5%</b>
Pension Contribution	2,227	2,243	16	0.7%
Health Insurance	1,050	1,068	18	1.7%
Other Fringe Benefits/Fixed Costs	504	338	(166)	-32.9%
<b>Debt Service</b>	<b>356</b>	<b>424</b>	<b>68</b>	<b>19.1%</b>
<b>Capital Projects</b>	<b>0</b>	<b>1</b>	<b>1</b>	<b>0.0%</b>
<b>CAPITAL PROJECTS (State and Federal Funds)</b>	<b>2,616</b>	<b>2,805</b>	<b>189</b>	<b>7.2%</b>
<b>FEDERAL OPERATING AID</b>	<b>13,559</b>	<b>14,131</b>	<b>572</b>	<b>4.2%</b>
<b>NET OTHER FINANCING SOURCES</b>	<b>15</b>	<b>(47)</b>	<b>(62)</b>	<b>-413.3%</b>
<b>CHANGE IN OPERATIONS</b>	<b>(1,583)</b>	<b>3,754</b>	<b>5,337</b>	<b>337.1%</b>
<b>CLOSING BALANCE</b>	<b>11,166</b>	<b>13,729</b>	<b>2,563</b>	<b>23.0%</b>

## Receipts

PIT collections were \$3.9 billion (30 percent) higher than last year. This growth is due to a combination of an increase in April extensions and final returns related to taxpayer behavior in response to the cap on SALT deductions, as well as modest growth in withholding receipts and delinquencies.

Business tax collections growth (\$311 million) is due to higher gross receipts partially offset by higher refunds. Consumption/user tax collections increased (\$123 million) attributable to growth in the sales tax base. These increases were partially offset by lower cigarette tax receipts (\$31 million).

Miscellaneous Receipts were \$535 million (9 percent) higher in the current year mainly due to unplanned receipts from Extraordinary Monetary Settlements (\$372 million) and growth in Health Care Reform Act (HCRA) (\$89 million) and lottery (\$59 million) receipts.

Federal grants were \$3 billion higher largely due to the deferral of the final FY 2019 Medicaid cycle.

## Spending

State Operating Funds spending totaled \$26.9 billion, an increase of \$1.7 billion (6.9 percent) over the same period last year.

Local assistance spending growth of \$1.5 billion includes Medicaid and School Aid increases partially offset by lower aggregate spending in all other programs. Spending growth for Medicaid includes deferred liabilities from FY 2019 that were paid in FY 2020. The School Aid spending increase is attributable to planned General Aid and Excess Cost Aid payments that were partially offset by lower Universal Prekindergarten payments. The most significant local assistance spending declines include a drop in transportation spending (\$274 million) attributable to one-time payments made to the Metropolitan Transportation Authority (MTA) in FY 2019 for (i) the MTA Subway Action Plan (\$139 million) and (ii) a final payment of Payroll Mobility Tax (PMT) collections attributable to FY 2018 (\$135 million); and lower Public Health spending (\$124 million), primarily related to Early Intervention (EI) and General Public Health Work (GPHW) payments.

Executive agency operational spending increased mainly for personal service costs associated with the payment of retroactive salary increases. Higher costs incurred at State University of New York (SUNY) Hospitals increase the University systems operational spending. Fringe Benefits spending declined due to the improved timeliness of reimbursement via the automation of the billing and payment process.

Capital Projects (State and Federal) spending increased by \$189 million, primarily due to the timing of payments for the Empire State Development Corporation (\$181 million), Special Infrastructure (\$58 million), Transportation (\$57 million), and Health (\$46 million); offset by reduced spending in MTA (\$126 million) and Housing (\$95 million).



## FY 2020 Operating Results Through June 2019

Federal operating aid spending increased by \$572 million over the prior year. The largest areas of higher spending include Medicaid mainly due to growth in claims (\$746 million); public health (\$109 million) concentrated in the Child Health Plus program; and School Breakfast and Lunch Meal reimbursements (\$45 million). These spending increases were offset by lower spending for public assistance benefits and Flexible Fund for Family Services (\$150 million); Federal Every Student Succeeds Act grant (\$119 million); and other education (\$56 million) driven by Individuals with Disabilities in Education (IDEA) flow-through grants.

# FY 2020 Operating Results Through June 2019



## All Governmental Funds Results -- Results Compared to Plan

The State ended June 2019 with a closing balance of \$13.7 billion in All Funds, \$1.1 billion above the Enacted estimate.

ALL GOVERNMENTAL FUNDS COMPARED TO PLAN				
April 2019 through June 2019				
(millions of dollars)				
	Enacted	Results	Variance Above/ (Below)	
			Enacted	
			\$	%
<b>OPENING BALANCE</b>	<b>9,975</b>	<b>9,975</b>	<b>0</b>	<b>0.0%</b>
<b>ALL FUNDS RECEIPTS:</b>	<b>48,301</b>	<b>47,599</b>	<b>(702)</b>	<b>-1.5%</b>
<b>Total Taxes</b>	<b>23,725</b>	<b>24,092</b>	<b>367</b>	<b>1.5%</b>
Personal Income Tax	16,520	16,910	390	2.4%
Consumption / Use Tax	4,515	4,491	(24)	-0.5%
Business Taxes	2,137	2,220	83	3.9%
Other Taxes	553	471	(82)	-14.8%
<b>Miscellaneous Receipts</b>	<b>6,536</b>	<b>6,502</b>	<b>(34)</b>	<b>-0.5%</b>
<b>Federal Grants</b>	<b>18,040</b>	<b>17,005</b>	<b>(1,035)</b>	<b>-5.7%</b>
<b>ALL FUNDS DISBURSEMENTS:</b>	<b>45,652</b>	<b>43,798</b>	<b>(1,854)</b>	<b>-4.1%</b>
<b>STATE OPERATING FUNDS</b>	<b>27,944</b>	<b>26,862</b>	<b>(1,082)</b>	<b>-3.9%</b>
<b>Local Assistance</b>	<b>18,809</b>	<b>17,650</b>	<b>(1,159)</b>	<b>-6.2%</b>
School Aid	7,115	6,742	(373)	-5.2%
DOH Medicaid <sup>1</sup>	7,322	7,694	372	5.1%
Transportation	818	774	(44)	-5.4%
STAR	0	0	0	0.0%
Social Services	660	354	(306)	-46.4%
Higher Education	1,290	399	(891)	-69.1%
Mental Hygiene	439	455	16	3.6%
All Other	1,165	1,232	67	5.8%
<b>State Operations</b>	<b>8,724</b>	<b>8,788</b>	<b>64</b>	<b>0.7%</b>
<b>Agency Operations</b>	<b>4,995</b>	<b>5,139</b>	<b>144</b>	<b>2.9%</b>
Personal Service:	<b>3,582</b>	<b>3,815</b>	<b>233</b>	<b>6.5%</b>
Executive Agencies	1,896	2,104	208	11.0%
University Systems	1,111	1,113	2	0.2%
Elected Officials	575	598	23	4.0%
Non-Personal Service:	<b>1,413</b>	<b>1,324</b>	<b>(89)</b>	<b>-6.3%</b>
Executive Agencies	684	629	(55)	-8.0%
University Systems	577	551	(26)	-4.5%
Elected Officials	152	144	(8)	-5.3%
<b>Fringe Benefits/Fixed Costs</b>	<b>3,729</b>	<b>3,649</b>	<b>(80)</b>	<b>-2.1%</b>
Pension Contribution	2,245	2,243	(2)	-0.1%
Health Insurance	1,052	1,068	16	1.5%
Other Fringe Benefits/Fixed Costs	432	338	(94)	-21.8%
<b>Debt Service</b>	<b>411</b>	<b>424</b>	<b>13</b>	<b>3.2%</b>
<b>CAPITAL PROJECTS (State and Federal Funds)</b>	<b>2,837</b>	<b>2,805</b>	<b>(32)</b>	<b>-1.1%</b>
<b>FEDERAL OPERATING AID</b>	<b>14,871</b>	<b>14,131</b>	<b>(740)</b>	<b>-5.0%</b>
<b>NET OTHER FINANCING SOURCES</b>	<b>(38)</b>	<b>(47)</b>	<b>(9)</b>	<b>-23.7%</b>
<b>CHANGE IN OPERATIONS</b>	<b>2,611</b>	<b>3,754</b>	<b>1,143</b>	<b>43.8%</b>
<b>CLOSING BALANCE</b>	<b>12,586</b>	<b>13,729</b>	<b>1,143</b>	<b>9.1%</b>

1. Includes the Essential Plan



## Receipts

Total tax receipts were \$367 million above the Enacted estimate through June 2019. PIT collections (\$390 million) exceeded the estimate due to stronger wage growth and lower than expected Tax Year 2018 refund growth. Business tax collections (\$83 million) reflect stronger than anticipated Corporate Franchise tax collections, partially offset by higher refunds and lower audits. Lower than estimated other taxes (\$82 million) reflect a drop in large and extra-large estate tax receipts.

Miscellaneous receipts were \$34 million lower than projected, largely related to lower than projected SUNY hospital receipts.

Federal grants were \$1 billion lower, consistent with operating aid disbursements described below.

## Spending

State Operating Funds spending was \$1.1 billion (3.9 percent) lower than planned almost entirely due to local assistance spending.

Local assistance spending was \$1.2 billion lower than estimated due mainly to the timing of payments in various programs as noted below.

- Higher Education (\$892 million lower) spending for CUNY Senior Colleges (\$865 million) and Community Colleges (\$60 million) occurred in July and is partly offset by higher than projected Tuition Assistance Program (TAP) payments (\$54 million).
- School Aid (\$373 million lower) spending for Statewide Full-Day Universal Prekindergarten (\$296 million), Excess cost aid (\$66 million), Universal Prekindergarten (\$53 million) and Boards of Cooperative Educational Services (BOCES) aid (\$19 million) is now expected to occur in the next quarter and is partly offset by earlier than estimated General Aid (\$50 million) and Commercial Gaming (\$24 million) payments.
- Social Services (\$307 million lower) spending also did not occur as estimated for Child Welfare Services (\$123 million), Foster Care Block Grants (\$70 million), Adult Shelter (\$58 million), and Day Care Programs (\$30 million).
- Medicaid (\$373 million higher) spending was higher than estimated largely due to increased Medicaid claims.

State operations spending exceeded estimates due mainly to the payment of retroactive salary increases partly offset by lower non-personal service and fringe benefits spending.

# FY 2020 Operating Results Through June 2019



Lower than expected Federal operating aid spending mainly occurred for social service programs (\$546 million) including Flexible Fund for Family Services, public assistance, child care and child welfare; and Medicaid, excluding administration (\$484 million lower) related to the administrative timing of rate package approvals by the State driving lower spending and the timing of offline payments. This lower spending was partly offset by higher spending for school aid (\$296 million) programs including Federal Every Student Succeeds Act (ESEA) grants and School Breakfast and Lunch Meal reimbursements; and public health (\$96 million higher) due to the timing of Federal Child Health Plus (CHP) transfers.

# Financial Plan Accompanying Notes



The notes to the Financial Plan tables herein should be read in conjunction with the tables that follow.

## Note 1 — Basis of Accounting

Pursuant to law, all Financial Plan tables presented herein are prepared on the cash basis of accounting, unless otherwise noted. Under the cash basis of accounting, revenues are recognized when received, and spending is recorded when cash is disbursed.

## Note 2 — Fund Types and Perspectives

The State records its transactions in the following fund types:

### Governmental Funds

**General Fund** - This is the major operating fund of the State. It receives all State income not earmarked for a particular program or activity and not specified by law to be deposited in another fund. State income for Financial Plan purposes consists of moneys (taxes, fees, and miscellaneous receipts including certain repayments of State advances) deposited to the credit of the General Fund or transferred from other funds during the fiscal year.

**Special Revenue** - These funds account for State receipts from specific revenue sources and are legally restricted to disbursement for specified purposes. This governmental fund type is divided into two classifications: (1) State Special Revenue Funds; and (2) Federal Special Revenue Funds. An example of a State Special Revenue Fund is the Conservation Fund which finances a number of State environmental programs. An example of a Federal Special Revenue Fund is the Health and Human Services Fund which finances various social services programs, including Medicaid and public assistance. Although any earmarked revenue fund is treated as a Special Revenue Fund for cash-basis budgeting and reporting purposes, some are combined with the General Fund for purposes of reporting on the basis of Generally Accepted Accounting Principles (GAAP).

**Debt Service** - All tax-financed State debt service on long-term debt and payments on certain lease-purchase or other contractual obligations are paid from Debt Service funds. These account for the accumulation of money for, and the payment of principal and interest on, general long-term debt. Lease-purchase payments for Health and Mental Hygiene facilities under contractual agreements with public authorities are also paid from funds classified as Debt Service funds. Debt service on highway bonds supported by dedicated highway revenues is also reflected in this fund type. Sources of revenue for this fund type include transfers from the General Fund, dedicated taxes, and other revenues.

**Capital Projects** - These funds finance a variety of capital construction costs including: (a) planning, land acquisition, design, construction, construction management and supervision, and equipment costs; (b) highway, parkway and rail preservation projects; (c) outdoor recreation and environmental conservation projects; (d) buildings and other capital facilities required by various State departments and agencies; (e) payments to local governments to help finance their capital programs, including highway, parkway, bridge, mass transportation, aviation, economic development, port development, community college, community and State mental health, outdoor recreation, State-assisted housing, and environmental quality; and (f) advances for capital construction costs reimbursable by public authorities, instrumentalities of the State, the Federal government or local governments. Sources of revenue for this fund type include transfers from other State funds, including the General Fund and Special Revenue Funds, dedicated taxes and other revenues, reimbursement of advances, bond proceeds, and Federal capital grants.

## **State Operating Funds**

The State Funds operating budget is a broader measure of spending for operations (as distinct from capital purposes) that is funded with State resources and comprises the General Fund and other State-supported activities financed by dedicated revenues in State Special Revenue Funds, as well as Debt Service funds accounting for the payment of debt service on all tax-financed State long-term debt.

## **Proprietary Funds**

**Internal Service Funds** - Account for the financing of goods or services supplied by one State agency to another State agency or other governmental entities on a cost-reimbursement basis.

**Enterprise Funds** - Account for operations that are similar to private business enterprises.

The Internal Service funds and Enterprise funds are treated as Proprietary funds for cash-basis budgeting and reporting purposes and are combined with the General Fund for purposes of reporting on a GAAP basis.

## **Fiduciary Funds**

**Private-Purpose Trust Funds** - Account for all trust arrangements under which principal and income benefit individuals, private organizations, or other governments.

**Pension Trust Fund** - Accounts for the cash-basis results of operations for the administrative portion of the State's Common Retirement Fund. It does not reflect investment activity, balances, or other assets available to this Fund. In addition, pension contributions and payments to retirees are excluded since these payments are not required to be appropriated.

**Agency Funds** - Account for funds held by the State in a purely custodial capacity. Cash is held temporarily until disbursements are made to individuals, private organizations, or other governments.

## Note 3 – Disbursement Descriptions

The State's Financial Plan and reporting include only those payments made pursuant to an appropriation and paid from funds available in the State Treasury. All State spending is classified in one of the following categories:

**Local Assistance Grants** - Include payments to counties, cities, towns, villages, school districts and other local entities, as well as certain financial assistance to, or on behalf of, individuals and nonprofit organizations. Certain disbursements from capital projects funds, including payments to local government units and public authorities, are recorded as local assistance.

**PS (Personal Service)** - Includes the payment of salaries and compensation for State employees.

**NPS (Non-Personal Service)** - Includes payments for operational costs such as miscellaneous contractual obligations, supplies and materials, travel, rentals and repairs, utilities, postage and shipping, printing, and telephone.

**GSCs (General State Charges)** - Includes costs mandated by statute, collective bargaining agreements or court order. Charges in this category can be further subdivided into the following:

**Fringe Benefits.** Contributions to pension systems, the employer's share of Social Security contributions, employer contributions toward the cost of health insurance, workers' compensation and unemployment insurance, and contributions to union employee benefit funds which provide vision care and other services.

**Fixed Costs.** For State Payments in Lieu of Taxes (PILOT) programs, as well as payments for local assessments on State-owned land, judgments against the State pursuant to the Court of Claims Act, defenses by private counsel, or alternatively payments on behalf of State officers and employees in civil judicial proceedings.

**Debt Service** - Includes payments made for tax-financed State debt service on long-term debt contractual-obligation and lease-purchase arrangements with several public authorities and municipalities and lease-purchase payments for Health and Mental Hygiene facilities.

**Capital Projects** - Includes payments made for the acquisition or construction of the State's capital facilities. Included in this category are planning, land acquisition, design, construction, engineering services, and equipment costs; highway, parkway and rail preservation projects; and outdoor recreation and environmental conservation projects. Advances are made for capital construction costs reimbursable by public authorities, the Federal or local governments, or from the proceeds of State bond and note sales.

**Bond Proceeds** - Includes the proceeds of General Obligation Bonds and short-term notes issued in the form of commercial paper or Bond Anticipation Notes (BANs) and are stated net of notes redeemed from the proceeds of long-term bonds or reissued notes.

**Operating Transfers** - Constitutes legally authorized transfers from a fund receiving revenues, to a fund through which disbursements will ultimately be made.

## Note 4 — Reservations of General Fund Balance

Funds of the General Fund may be legally segregated for specific future use or informally reserved for specified purposes. The following funds of the General Fund are established in law:

**Tax Stabilization Reserve** - Created to provide a reserve to finance a cash-basis operating deficit in the General Fund at the end of the fiscal year, and to make temporary loans to the General Fund during the year. Annual deposits may not exceed 0.2 percent of General Fund spending, and the balance may not exceed 2 percent of General Fund spending. These amounts may be borrowed by the General Fund temporarily and repaid within the same fiscal year. They may also be borrowed to cover an operating deficit at year end, but these loans must be repaid within six years in no fewer than three annual installments.

**Rainy Day Reserves** - Created pursuant to law to account for funds set aside for use during economic downturns or in response to a catastrophic event, as defined in the law. The economic downturn clause is triggered after five consecutive months of decline in the State's composite index of business cycle indicators. The reserve may have a maximum balance equal to 5 percent of projected General Fund spending during the fiscal year immediately following the then-current fiscal year.

**Contingency Reserve** - Created pursuant to law to provide a reserve to fund extraordinary needs arising from litigation against the State. These amounts may be used for payment of judgments against the State where the amount is in excess of \$25 million and such payments are not previously appropriated, or emergency payments relating to natural or physical disasters, or to make payments for the enhancement of the State's economy.

**Community Projects** - Created pursuant to law to finance discretionary, usually local, projects ("member items") sponsored by individual legislators and the Governor. In previous years, the Budget included lump sum appropriations for the Governor, Senate and the Assembly, to be designated for various grants, awards and contracts with local governments, not-for-profit organizations and community groups. The FY 2019 Enacted Budget includes no new appropriations.

The following funds of the General Fund are reserved for specified or undesignated purposes:

**Debt Management** - Reserved for a) payment of principal, interest, or related expenses; b) retiring or defeasing existing State-supported debt obligations, including accrued interest; and c) funding of capital projects, equipment, or similar expenses that would otherwise be financed with debt.

**Undesignated Fund Balance** - From time to time, DOB will informally designate unrestricted balances in the General Fund for specific purposes. These amounts are typically identified with the phrase "reserved for." For example, due to the nature of the timing of labor agreements, DOB will informally reserve balances for possible prior-year costs for unions without current contracts.

**Extraordinary Monetary Settlements** - Includes the balance of Extraordinary Monetary Settlements after planned uses.



## Note 5 — Items Affecting Annual Comparability

### Mental Hygiene Fund Reclassification

Beginning in FY 2019, spending from two State Special Revenue Fund accounts, the Mental Hygiene Program Fund and Patient Income Account, is reclassified to the General Fund to improve reporting transparency by eliminating large transfers between funds. The reclassification moves local assistance and operations spending, as well as the supporting revenue, into the General Fund, and eliminates transfers from the General Fund to the two mental hygiene State Special Revenue Fund accounts. In addition, roughly \$1.4 billion of fringe benefit spending associated with Mental Hygiene agencies will move from the respective agencies to the central GSCs budget.

### Department of Transportation (DOT)/Department of Motor Vehicles (DMV) Operating Cost Reclassification

Beginning in FY 2019, certain DOT and DMV operating costs related to snow and ice removal, bus, truck and rail inspection, and DMV regulatory activities are reclassified from the Dedicated Highway and Bridge Trust Fund (DHBTF) to the General Fund. In the General Fund, the increased operating spending is offset by an identical reduction in the transfer to the DHBTF. The reclassification is intended to align operating and capital functions with their revenue sources.

### Metropolitan Transportation Authority (MTA) Fees and Taxes

The Budget includes legislation directing various supplemental fees and taxes levied on driver licenses, motor vehicle registration, taxis, and passenger car rentals, to be remitted directly to the MTA without legislative appropriation. This eliminates the pass through of these fees and taxes, ensuring more timely receipt by the MTA, and is consistent with treatment of mobility tax collections (described below). Beginning in FY 2020, the Financial Plan will no longer include these new supplemental fees and taxes or associated local assistance payments, estimated at roughly \$300 million annually.

The Metropolitan Commuter Transportation Mobility Tax is imposed on certain employers and self-employed individuals engaging in business within the Metropolitan Commuter Transportation District (MCTD). Through FY 2018, the State collected these taxes and fees on behalf of, and disbursed the entire amount to, the MTA. The FY 2019 Enacted Budget included legislation directing that Mobility Tax collections be remitted directly to the MTA. This eliminated the pass through of this tax and ensures more timely receipt by the MTA. The State Financial Plan no longer includes new tax receipts or their associated local assistance payments beginning in FY 2019. In FY 2019 the Payroll Mobility Tax (PMT) receipts and disbursements that have been excluded are estimated at approximately \$1.5 billion and \$1.6 billion, respectively.

In FY 2020, MTA resources attributable to the PMT and supplemental taxes and fees detailed above are estimated at \$1.9 billion.

## Changes to the Medicaid Program

Since January 2006, the State has paid any annual Medicaid increases above a fixed level that otherwise would have been paid by local social services districts. The statutory indexing provisions were amended through legislation to implement a three-year phased takeover of the local social services districts' share of all growth above the previous year's enacted levels beginning on April 1, 2013 for County Fiscal Year 2013, with the State assuming all growth in County Fiscal Year 2015.

## School Tax Relief (STAR)

STAR spending in FY 2020 and FY 2021 is affected by the conversion of STAR benefits to State Personal Income Tax (PIT) credits. The conversion of STAR benefits to PIT credits has no impact on the value of the STAR benefits received by taxpayers. It does, however, decrease the level of reported PIT receipts and reported disbursements for STAR on a State Operating Funds basis by an identical amount (estimated at \$1.2 billion in FY 2020 and \$1.4 billion in FY 2021).

## Superstorm Sandy

In October 2012, Superstorm Sandy caused widespread flooding, power failures, and wind damage to public and private property in NYC, Long Island, and other downstate areas. Public infrastructure including mass transit systems, public schools, and municipal buildings sustained serious damage. The Financial Plan reflects Federal aid which will flow to local governments, public authorities, and not-for-profits over the multi-year Plan period to continue the State's recovery from Superstorm Sandy.

## Federal Health Care Transformation

The Affordable Care Act (ACA), effective January 1, 2014, is intended to provide access to health insurance coverage to ensure appropriate access to care, and to restrain escalating health care costs. The Financial Plan reflects the impact of the ACA in a number of ways including, but not limited to, the benefit of lower costs associated with the State share of Medicaid as a result of enhanced Federal funding provided for Medicaid costs and program expansion. In addition, beginning in FY 2015, Department of Health (DOH) assumed new costs associated with operating the New York State of Health (NYSOH) insurance exchange, which is the State's single point of access insurance marketplace, in accordance with the ACA, and has begun implementing a new information system designed to improve health insurance claiming.

On April 14, 2014, Governor Andrew M. Cuomo announced that terms and conditions were finalized between the State and Federal governments, allowing the State to reinvest \$8 billion in Federal savings generated by Medicaid Redesign Team (MRT) reforms. The waiver amendment will address critical health care issues statewide and allow for comprehensive reform through a Delivery System Reform Incentive Payment (DSRIP) program. This program will promote community-level collaborations and focus on system reform, specifically a goal to achieve a 25 percent reduction in avoidable hospital use over five years, and clinical and population health improvements. The DSRIP program expires in FY 2021.

The Essential Plan (EP) is a health insurance program authorized through the ACA. The FY 2016 Enacted Budget authorized the State's option to participate in the EP. In April 2015, the State began phasing in certain legally residing immigrants who were receiving State-only Medicaid coverage. Individuals who meet the eligibility standards of the EP are enrolled through the NYSOH insurance exchange, with the cost of the EP subsidized by the State and Federal governments.

## **Note 6 — State Funding of State University of New York (SUNY) Operating Support**

Effective with the 2013 AY that began in July 2012, all General Fund support for SUNY operations is transferred from the General Fund to the State University Income special revenue fund, from which all university operations are funded.

## **Note 7 — Off-Budget Transactions**

These represent certain capital spending that is not reported in cash spending results but is reported in the State's GAAP Financial Statements. This spending is related to programs which are financed directly from bond proceeds on deposit at various public authorities, rather than from a Short-Term Investment Pool (STIP) or General Fund loan.

## **Note 8 — General Fund/HCRA Combined Gap**

Current HCRA authorization ends March 31, 2020. HCRA is projected to remain balanced over this period. Any unforeseen shortfall would need to be financed by the General Fund or HCRA programs.

## **Note 9 — Statewide Cash Flow Administration**

The Office of the State Comptroller (OSC) invests General Fund moneys, bond proceeds, and other funds not immediately required to make payments through STIP, which is comprised of joint custody funds (Governmental Funds, Internal Service Funds, Enterprise Funds and Private Purpose Trust Funds), as well as several sole custody funds, including the Tobacco Settlement Fund.

OSC is authorized to make short-term loans from STIP to cover temporary cash shortfalls in certain funds and accounts, including the General Fund, that result from the timing of receipts and disbursements. The Legislature authorizes the funds and accounts that may receive loans each year, based on legislation submitted annually. Loans may be granted only for amounts that the Director of the Budget certifies are "receivable on account" or can be repaid from the current operating receipts of the fund (i.e., loans cannot be granted in expectation of future revenue enhancements).

The General Fund is authorized to borrow resources temporarily from STIP for up to four months, or to the end of the fiscal year, whichever period is shorter. The State last used this authorization in April 2011 when the General Fund needed to temporarily borrow a minimal amount of funds from STIP for a period of five days. The amount of resources that can be borrowed by the General Fund is limited to the available balances in STIP, as determined by the State Comptroller. Available balances include money in the State’s governmental funds and a relatively small amount of other money belonging to the State. Several accounts in Debt Service Funds and Capital Projects Funds that are part of All Governmental Funds are excluded from the balances deemed available in STIP. These excluded funds consist of bond proceeds and money obligated for debt service payments.

## Note 10 – Transfer Eliminations

The Special Revenue Funds and Capital Projects Funds include transfers of resources between Federal and State accounts within each fund. In some instances, Federal aid that is initially deposited into a Federal account is transferred to State accounts where the aid is used to support the programs it is intended to fund. To avoid recording spending twice, initially as the transfer of resources to another account and subsequently when payments are made, these transfers are eliminated in these funds. The transfer eliminations are consistent with the State Comptroller’s accounting practices shown in Exhibit A of the Comptroller’s Annual Report to the Legislature.

## Note 11 – Temporary Loans Summary

The total outstanding loan balance as of March 31, 2019 was \$5.4 billion, comprising of activities that are financed initially by advances for bond-reimbursable capital spending pending receipt of bond proceeds) the State pending Federal receipts, State Special Revenue Funds; and Proprietary Funds. The loan balance as of March 31, 2018 was \$3.1 billion.

The total loan balance typically increases throughout the State fiscal year, reaching its peak between the second and third quarters. The spike reflects mainly the payment of lottery aid for education, which is financed in large part by a loan that is repaid over the course of the year as lottery revenues are received. The increased Federal Funds loan is attributable to the timing of the release of the final cycle payment to Medicaid Managed Care Organizations in FY 2019.

<b>TEMPORARY LOANS OUTSTANDING</b>			
<b>(millions of dollars)</b>			
	<b>March 31</b>		<b>Annual</b>
	<b>2018</b>	<b>2019</b>	<b>Change</b>
<b>Total Loans Outstanding</b>	<b>3,091</b>	<b>5,405</b>	<b>2,314</b>
State Special Revenue Funds	313	350	37
Federal Funds	1,190	3,417	2,227
Capital Funds	1,286	1,300	14
Proprietary Funds	302	338	36

## Note 12 — Adherence to 2 Percent Spending Benchmark

The line on the Financial Plan tables labeled “Adherence to 2% Spending Benchmark” is the savings estimated from limiting annual spending growth in future years to 2 percent (calculation based on FY 2018 unaudited results), assuming that the Governor continues to propose, and the Legislature continues to enact, a Budget in each fiscal year that restricts State Operating Funds spending growth to 2 percent. The “Net General Fund Surplus (Deficit)” calculation assumes that all savings from holding spending growth to 2 percent are made available to the General Fund.

## Note 13 - List of Extraordinary Monetary Settlements Received/Expected

From the beginning of FY 2015, the State received or is currently expecting the following Extraordinary Monetary Settlements:

- Agricultural Bank of China Limited and Agricultural Bank of China, New York Branch (collectively “Agricultural Bank of China”) paid the State a \$215 million civil monetary penalty pursuant to a consent order between Department of Financial Services (DFS) and Agricultural Bank of China. This consent order pertained to Agricultural Bank of China’s serious and persistent compliance failures which indicate a fundamental lack of recognition of the need for a rigorous compliance infrastructure, and inadequate attention to the state of compliance.
- American Family Life Assurance Company of New York paid a \$176,890 civil penalty pursuant to a July 2, 2018 consent order between American Family Life Assurance Company of New York and DFS. This consent order pertains to violations of New York State Insurance laws and regulations in connection with the life, annuities and accident and health insurance business.
- American International Group, Inc. (AIG) paid a \$35 million civil monetary penalty pursuant to an October 31, 2014 consent order between DFS and AIG. This consent order pertained to a DFS investigation which uncovered former subsidiaries ALICO and DelAm (a) solicited, insurance business in New York without a license and (b) made intentional misrepresentations and omissions to DFS concerning such activities.
- American Life Insurance Company (ALICO), Delaware American Life Insurance Company (DelAm), and MetLife, Inc. (MetLife) (collectively “MetLife Parties”) paid \$50 million as a civil fine pursuant to a March 31, 2014 consent order between DFS and MetLife Parties. This consent order pertained to a DFS investigation into whether ALICO and DelAm conducted an insurance business in New York without a New York license, and aided other insurers in conducting businesses in New York without a New York license.
- Athene Life Insurance Company of New York (“Athene”) paid a \$15 million civil monetary penalty pursuant to a June 28, 2018 consent order between Athene and DFS. This consent order pertains to Athene’s failure to provide required information to about 15,000 policyholders from 2015 to 2017.

- AXA Equitable Life Insurance Company (AXA) paid a \$20 million civil fine pursuant to a March 17, 2014 consent order between DFS and AXA. The consent order pertained to whether AXA properly informed DFS regarding the implementation of its “AXA Tactical Manager” strategy.
- Bank Leumi paid a \$130 million civil monetary penalty pursuant to a December 22, 2014 consent order between DFS and Bank Leumi. This consent order pertained to Bank Leumi’s (a) knowing and willful operation of a wrongful cross-border banking business which assisted U.S. clients in concealing offshore assets and evading U.S. tax obligations, and (b) misleading DFS about Bank Leumi’s improper activities.
- Bank of America (BofA) paid \$300 million pursuant to an August 18, 2014 settlement agreement to remediate harms resulting from BofA’s violations of State law regarding the packaging, origination, marketing, sale, structuring, arrangement, and issuance of residential mortgage-backed securities and collateralized debt obligations. The settlement agreement is the result of investigations by Federal and State entities into BofA Corporation, Bank of America, N.A., and BofA Mortgage Securities, as well as their current and former subsidiaries and affiliates.
- Bank of America Corporation and Merrill Lynch, Pierce, Fenner and Smith Incorporated (collectively, "BofAML") paid a \$42 million penalty to the State of New York pursuant to a March 22, 2018 settlement agreement between BofAML and the Office of the Attorney General of the State of New York. This settlement agreement pertains to BofAML's fraudulent conduct in connection with its electronic trading practices.
- The Bank of Tokyo-Mitsubishi UFJ, Ltd. (BTMU) paid a \$315 million civil monetary penalty pursuant to a November 18, 2014 consent order between DFS and BTMU. This consent order pertained to BTMU’s wrongful actions in misleading DFS concerning BTMU’s U.S. dollar-clearing services conducted on behalf of sanctioned Sudanese, Iranian, and Burmese parties. Previously, BTMU paid a \$250 million civil monetary penalty pursuant to a June 19, 2013 consent order between DFS and BTMU. Such consent order pertained to BTMU’s unlawful clearance through the New York Branch and other New York-based financial institutions of approximately 28,000 U.S. dollar payments, valued at approximately \$100 billion, on behalf of certain sanctioned parties.
- Barclays paid the State \$635 million, which included (a) a \$485 million civil monetary penalty in accordance with a May 2015 consent order between Barclays and DFS, and (b) a \$150 million civil monetary penalty in accordance with a November 2015 consent order between Barclays and DFS. The May 2015 consent order pertained to Barclays’ attempted manipulation of benchmark foreign exchange rates and other manipulative conduct in violation of New York State Banking Law and regulations. The November 2015 consent order pertained to Barclays’ automated electronic foreign exchange trading misconduct.

- Barclays Capital Inc. paid the State \$35 million pursuant to a settlement agreement between the Office of the Attorney General of the State of New York and Barclays Capital Inc. to settle investigations into false statements and omissions made in connection with the marketing of their dark pools and other high-speed electronic equities trading services.
- Barclays Bank PLC and Barclays Bank PLC, New York Branch (together, "Barclays") paid a \$15 million penalty pursuant to a December 18, 2018 consent order between Barclays and DFS. This consent order pertains to Barclays' (a) failure to implement effective governance and controls with respect to its whistleblowing program; and (b) failure to report on the use of Federal law enforcement resources secured through incomplete or inaccurate information provided to a Federal agency, in order to investigate a non-threatening or non-exigent whistleblower complaint.
- BNP Paribas S.A. and BNP Paribas S.A., New York Branch (collectively "BNPP") paid nearly \$3.6 billion pursuant to (a) a June 29, 2014 consent order between the New York State DFS and BNPP and (b) a June 30, 2014 plea agreement between BNPP and the New York County District Attorney (DANY) in connection with conduct by BNPP which violated U.S. national security and foreign policy and raised serious safety and soundness concerns for regulators. BNPP's conduct included obstructing governmental administration, failing to report crimes and misconduct, offering false instruments for filing, and falsifying business records.
- BNPP paid a \$350 million civil monetary penalty pursuant to a May 24, 2017 consent order between BNPP and DFS. This consent order pertains to BNPP's significant and material failure with respect to implementing effective controls over its foreign exchange trading business.
- Chubb Group Holdings Inc. and Illinois Union Insurance Company (collectively, "Chubb") paid a \$1.3 million civil monetary penalty pursuant to a May 7, 2018 consent order between Chubb and DFS. This consent order pertains to Chubb's issuance of insurance policies in New York State, or policies otherwise covering New York State residents, which may not be offered in the New York State excess line market. Chubb also issued liability insurance coverage to New York residents that failed to contain required liability insurance policy provisions.
- Cigna Health and Life Insurance Company (Cigna) paid a \$2 million civil penalty pursuant to a November 14, 2017 consent order between Cigna and DFS. This consent order pertains to Cigna's violation of laws and regulations by selling stop-loss and fully-insured health insurance policies out of state to New York-based small groups with employees in the State.
- Citigroup Inc. (Citigroup) paid \$92 million pursuant to a July 2014 settlement agreement to remediate harms to the State resulting from the packaging, marketing, sale, structuring, arrangement, and issuance of residential mortgage-backed securities and collateralized debt obligations. The settlement agreement is the result of investigations into Citigroup by Federal and State entities.



- Commerzbank AG New York Branch and Commerzbank AG (collectively “Commerzbank”) paid a \$610 million civil monetary penalty pursuant to a March 12, 2015 consent order between Commerzbank and DFS. This consent order pertained to Commerzbank’s transactions on behalf of Iran, Sudan, and a Japanese corporation which engaged in accounting fraud in violation of New York State Banking Law and regulations. Additionally, Commerzbank AG paid \$81.7 million in fines and forfeiture payments pursuant to a Deferred Prosecution Agreement between Commerzbank, the New York County District Attorney’s Office and the United States Department of Justice. This Deferred Prosecution Agreement pertained to Commerzbank’s actions in moving more than \$250 million through the U.S. financial system primarily on behalf of Iranian and Sudanese customers in violation of U.S. sanctions, by concealing the illegal nature of these transactions and deceiving U.S. banks that processed the illegal wire payments.
- Conduent Education Services, LLC, f/k/a Xerox Education Services, LLC, f/k/a and d/b/a ACS Education Services, Inc. (hereinafter “ACS”) paid \$1 million in penalties pursuant to a January 4, 2019 consent order between ACS and DFS. This consent order pertains to ACS’s repeated or persistent fraudulent, illegal or deceptive conduct in the servicing of federally-guaranteed student loans.
- Credit Agricole paid \$459 million, which included (a) a \$385 million civil monetary penalty pursuant to an October 2015 consent order between DFS and Credit Agricole, and (b) an asset forfeiture of \$74 million pursuant to a deferred prosecution agreement with the New York County District Attorney’s office. Both the consent order and deferred prosecution agreement pertained to Credit Agricole’s processing billions of dollars of payments on behalf of certain sanctioned parties.
- Credit Suisse AG paid a \$715 million civil monetary penalty pursuant to a May 18, 2014 consent order between DFS and Credit Suisse AG. This consent order pertained to Credit Suisse AG’s decades-long operation of an illegal cross-border banking business whereby Credit Suisse AG knowingly and willfully (a) aided thousands of U.S. clients in opening and maintaining undeclared accounts, and (b) concealed offshore assets and income from the Internal Revenue Service (IRS) and New York authorities.
- Credit Suisse Securities (USA) LLC paid the State \$30 million pursuant to a settlement agreement between the Office of the Attorney General of the State of New York and Credit Suisse Securities, to settle investigations into false statements and omissions made in connection with the marketing of dark pools and other high-speed electronic equities trading services.
- Credit Suisse AG and Credit Suisse AG, New York Branch (together, “Credit Suisse”) paid a \$135 million civil monetary penalty pursuant to a November 13, 2017 consent order between Credit Suisse and DFS. This consent order pertains to Credit Suisse’s failure to comply with laws and regulations designed to combat improper, unsafe, and unsound conduct in the foreign exchange trading business.



- Deutsche Bank AG and Deutsche Bank AG New York Branch (collectively “Deutsche Bank”) paid a \$600 million civil monetary penalty in accordance with an April 23, 2015 consent order between Deutsche Bank and DFS. This consent order pertained to Deutsche Bank’s manipulation of benchmark interest rates including (a) the London Interbank Offered Rate, (b) the Euro Interbank Offered Rate and (c) the Euroyen Tokyo Interbank Offered Rate, in violation of New York State Banking Law and regulations.
- Deutsche Bank paid a \$200 million civil monetary penalty pursuant to a November 3, 2015 consent order between DFS and Deutsche Bank. This consent order pertained to Deutsche Bank’s use of non-transparent methods and practices to conduct more than 27,200 U.S. dollar clearing transactions, valued at over \$10.86 billion, on behalf of Iranian, Libyan, Syrian, Burmese, and Sudanese financial institutions and other entities subject to U.S. economic sanctions, including entities on the Specially Designated Nationals List of the U.S. Treasury Department’s Office of Foreign Assets Control.
- In January 2017, Deutsche Bank paid a \$18.5 million monetary penalty in accordance with a 2016 settlement agreement between Deutsche Bank and the Office of the Attorney General of the State of New York. This settlement agreement pertained to Deutsche Bank’s material misstatements and omissions in various written materials disseminated to clients and potential clients with respect to Deutsche bank’s routing technology.
- On February 7, 2017, the State received a \$425 million fine from Deutsche Bank in accordance with a consent order between Deutsche Bank and DFS. The consent order addresses compliance deficiencies at Deutsche Bank that allowed bank traders and offshore entities to improperly and covertly transfer more than \$10 billion out of Russia through security trading schemes known as "mirror trades."
- Deutsche Bank AG and Deutsche Bank AG New York Branch (collectively, Deutsche Bank), paid a \$205 million civil monetary penalty pursuant to a June 20, 2018 consent order between Deutsche Bank and DFS. This consent order pertains to Deutsche Bank conducting business in an improper, unsafe, and unsound manner by failing to implement effective controls over its foreign exchange business.
- FedEx Corporation, FedEx Ground, Federal Express Corporation, FedEx Freight, and FedEx Corporate Services, Inc. (together, "FedEx") paid \$35 million pursuant to a December 27, 2018 Settlement Agreement between FedEx, the City of New York, and the People of the State of New York. This settlement agreement pertains to FedEx's alleged shipment of illegal cigarettes.
- Goldman Sachs Group, Inc. as well as its current and former subsidiaries (collectively “Goldman Sachs”), paid the State \$190 million pursuant to an April 2016 settlement agreement between the Office of the Attorney General and Goldman Sachs. This settlement agreement pertained to the marketing, structuring, arrangement, underwriting, issuance, and sale of residential mortgage-backed securities by Goldman Sachs in 2006 and 2007.
- Goldman Sachs Group, Inc. and Goldman Sachs and Co. (collectively “Goldman”) paid a \$50 million civil monetary penalty pursuant to an October 2015 consent order between

DFS and Goldman. This consent order pertained to Goldman's failure to implement and maintain adequate policies and procedures relating to post-employment restrictions of former government employees.

- The Goldman Sachs Group, Inc. (Goldman Sachs) paid a \$54.8 million civil monetary penalty pursuant to a May 1, 2018 consent order between Goldman Sachs and DFS. This consent order pertains to Goldman Sachs conducting business in an improper, unsafe, and unsound manner by failing to implement effective controls over its foreign exchange business.
- Habib Bank Limited and Habib Bank Limited, New York Branch (together "Habib Bank") paid a \$225 million civil monetary penalty pursuant to a September 7, 2017 consent order between Habib Bank and DFS. This consent order pertains to Habib Bank's failure to comply with laws and regulations designed to combat money laundering, terrorist financing, and other illicit financial transactions.
- Intesa Sanpaola S.p.A. and Intesa Sanpaola S.p.A. New York Branch (collectively "Intesa Bank") paid the State a \$235 million civil monetary penalty pursuant to a consent order between DFS and Intesa Bank. This consent order pertains to compliance failures by Intesa Bank in 2005-06 and over the past several years, and Intesa Bank's use of non-transparent practices from 2002-06 to process payments on behalf of Iranian clients and other entities.
- Lockton Affinity, LLC and Lockton Companies, LLC (collectively, "Lockton") paid a \$7 million civil monetary penalty pursuant to a May 2, 2018 consent order between Lockton and DFS. This consent order pertains to brokerage, administration, solicitation and marketing of insurance coverage that violated New York State laws and regulations, and submission of inaccurate affidavits required by the insurance law pertaining to excess lines insurance coverage.
- Mashreqbank, psc and Mashreqbank, psc, New York Branch (together, "Mashreqbank") paid a \$40 million penalty pursuant to an October 10, 2018 Consent Order between Mashreqbank and DFS. This consent order pertains to Mashreqbank's (a) failure to maintain an effective and compliant anti-money laundering (AML) program, and (b) failure to maintain and make available appropriate books, accounts and records reflecting all transactions and actions. Aetna Health, Inc., Aetna Health Insurance Company of New York and Aetna Life Insurance Company (hereinafter "Aetna") paid a \$1.95 million civil penalty pursuant to a December 12, 2018 consent order between Aetna and DFS. This consent order pertains to Aetna's business practices and fulfillment of their obligations to policyholders and claimants.
- Mega International Commercial Bank Co., LTD. and Mega International Commercial Bank Co. LTD. – New York Branch (collectively "Mega Bank") paid the State a \$180 million monetary penalty in accordance with an August 19, 2016 consent order between DFS and Mega Bank. This consent order pertains to Mega Bank's failure to maintain effective complaint and compliance programs, its failure to report the discovery of certain misconduct, and for other violations of New York State Banking Law.
- The Metropolitan Life Insurance Company paid a \$19.75 million civil penalty pursuant to a January 28, 2019 Consent Order between the Metropolitan Life Insurance Company and

the New York State DFS. This consent order pertains to Metropolitan Life Insurance Company's pension risk transfer group annuity operations.

- Morgan Stanley paid \$150 million pursuant to a 2016 settlement agreement between the Office of the Attorney General and Morgan Stanley. This settlement agreement pertained to harms to the State resulting from Morgan Stanley's creation, packaging, marketing, underwriting, sale, structuring, arrangement, and issuance of residential mortgage-backed securities in 2006 and 2007.
- MUFG Bank, Ltd., f/k/a The Bank of Tokyo-Mitsubishi UFJ, Ltd. ("MUFG Bank") paid a \$33 million penalty to the State of New York pursuant to a June 24, 2019 settlement agreement between MUFG Bank and the Department of Financial Services ("DFS"), the DFS Acting Superintendent, and the Office of the New York State Attorney General. This settlement agreement pertains to a dispute between MUFG Bank and DFS over DFS's authority to issue an order requiring MUFG Bank to continue to submit to DFS's supervisory authority during the time which MUFG Bank attempted to convert its State-licensed branch in New York to a federally-licensed branch.
- Nationstar Mortgage LLC (Nationstar) paid a \$5 million penalty pursuant to an April 11, 2018 consent order between Nationstar and DFS. This consent order pertains to Nationstar's violations of laws, regulations and applicable industry guidance, including (a) failure to obtain authorization for the use of multiple domain names, (b) failure to maintain books, records and customer files, (c) failure to fund mortgage loans within the timeframe set forth in various loan or other documents for individual borrowers, (d) operation of two branch locations without authorization, (e) failure to maintain required documentation in servicing files, (f) failure to maintain a schedule of fees on its website, (g) failure to submit quarterly reports in a timely manner, and (h) failure to file multiple 90-day pre-foreclosure notices.
- New Day Financial LLC Fulton, Maryland (New Day) paid a \$1 million civil monetary penalty pursuant to a November 18, 2015 consent order between the DFS and New Day. The consent order pertained to New Day's violation of the Nationwide Multistate Licensing System and Registry Rules of Conduct and New York Mortgage Banking Laws.
- Ocwen Financial (Ocwen) paid a \$100 million civil monetary penalty and another \$50 million as restitution to current and former Ocwen borrowers pursuant to a December 19, 2014 consent order between DFS and Ocwen. This consent order pertained to, among other things, numerous and significant violations of a 2011 agreement between Ocwen and DFS which required Ocwen to adhere to certain servicing practices in the best interest of borrowers and investors. The \$100 million payment is to be used by the State for housing, foreclosure relief, and community redevelopment programs supporting New York's housing recovery. The \$50 million restitution payment will be used to make \$10,000 payments to current and former Ocwen borrowers in New York whose homes were foreclosed upon by Ocwen between January 1, 2009 and December 19, 2014. The balance of the \$50 million will then be distributed equally among borrowers who had foreclosure actions filed against them by Ocwen between January 1, 2009 and December 19, 2014, but in which Ocwen did not complete such foreclosure action.

- Oscar Insurance Corporation (hereinafter "Oscar Insurance") paid a \$576,950 civil penalty pursuant to a December 12, 2018 consent order between Oscar Insurance and DFS. This consent order pertains to Oscar Insurance's (a) failure to make a determination for prospective and concurrent utilization reviews within the required time; (b) failure to include an accurate and detailed explanation of the clinical rationale for denials in the adverse determination notices; and (c) failure to include forfeiture language in the explanation of benefit statements.
- PHH Mortgage and PHH Homes Loans, LLC (collectively "PHH Mortgage") paid a \$28 million penalty in accordance with a 2016 consent order between PHH Mortgage and DFS. This consent order pertained to PHH Mortgage's failure to (a) maintain books, accounts, records, and files in an appropriate manner, (b) adequately and accurately disclose certain fees, and (c) comply with other laws and regulations.
- PricewaterhouseCoopers LLP (PwC) paid \$25 million pursuant to an August 14, 2014 settlement agreement between DFS and PwC to (a) resolve the DFS's investigation of PwC's actions in performing certain consulting services for the Tokyo Branch of The Bank of Tokyo-Mitsubishi UFJ, Ltd. in 2007 and 2008, and (b) establish the basis for a constructive relationship between the parties that will better protect investors and the public.
- Promontory Financial Group, LLC (Promontory) paid the State \$15 million pursuant to an August 18, 2015 agreement between DFS and Promontory. This agreement pertained to Promontory's performance of regulatory compliance work for Standard Chartered Bank wherein Promontory failed to meet DFS's requirements for consultants performing such regulatory compliance work.
- RBS Financial Products Inc. f/k/a Greenwich Capital Financial Products, Inc. (RBS) paid \$100 million to New York State and another \$400 million in consumer relief credits in New York State pursuant to a March 6, 2018 settlement agreement between RBS and the New York State Office of the Attorney General. This settlement agreement pertains to RBS's creation, packaging, structuring, arrangement, underwriting, issuance, marketing and sale of residential mortgage-backed securities prior to January 1, 2009.
- Société Générale SA paid the New York County District Attorney's Office pursuant to a November 18, 2018 deferred prosecution agreement between Société Générale SA and the Office of the United States Attorney for the Southern District of New York. This deferred prosecution agreement pertains to Société Générale SA's violation of U.S. economic sanctions, which caused both affiliated and unaffiliated U.S. financial institutions to process transactions that otherwise should have been rejected, blocked or stopped for investigation. The State received \$77.649 million of the payment.
- Société Générale SA and Société Générale, New York Branch (together, "Société Générale") paid a \$95 million civil monetary penalty pursuant to a November 19, 2018 consent order between Société Générale and DFS. This consent order pertains to Société Générale's persistent, uncorrected, and serious deficiencies in its Secrecy Act/Anti-Money Laundering compliance program that went uncorrected for multiple examination cycles.

- Société Générale SA and Société Générale, New York Branch (together, "Société Générale") paid a \$325 million penalty pursuant to a November 19, 2018 consent order between Société Générale and DFS. This consent order pertains to Société Générale's (a) unsafe and unsound manner of conducting business; (b) failure to maintain an effective and compliant OFAC compliance program; (c) false entries in the books, reports, and statements and omissions of material with the intent to deceive or mislead; (d) failure to maintain and make available, at the New York Branch, appropriate books, accounts and records reflecting all transactions and actions; (e) failure to submit a report immediately upon discovering fraud, dishonesty, making of false entries and omission of true entries, or other misconduct; and (f) failure to submit a report of one or more incidents that appear to relate to a plan or scheme that would be of interest to similar organizations located in the same area or throughout the state.
- Standard Chartered Bank, New York Branch (SCB NY) paid \$300 million pursuant to an August 19, 2014 consent order between DFS and SCB NY for failure to fully comply with a September 21, 2012 consent order between the parties. The August 19, 2014 consent order pertained to SCB NY's use of ineffective risk management systems for the identification and management of risks related to compliance with the Bank Security Act (BSA) and AML laws, rules, and regulations. Such risks included U.S. dollar-clearing for clients of SCB United Arab Emirates and SCB Hong Kong, among others.
- The Standard Chartered Bank and Standard Chartered Bank, New York Branch (together, "Standard Chartered") paid a \$40 million civil monetary penalty pursuant to a January 29, 2019 Consent Order between Standard Chartered and the New York State DFS. This consent order pertains to Standard Chartered's unsafe, unsound, and improper conduct, and its failure to implement effective controls over its foreign exchange business.
- The Standard Chartered Bank and Standard Chartered Bank, New York Branch (together, "Standard Chartered") paid a \$180 million civil monetary penalty pursuant to an April 9, 2019 Consent Order between Standard Chartered and the New York State DFS. This consent order pertains to Standard Chartered (i) conducting business in an unsafe and unsound manner, (ii) failing to maintain an effective and compliant OFAC compliance program, (iii) failing to maintain and make available appropriate books, accounts, and records, reflecting all transactions and actions, (iv) failing to submit a report immediately upon discovering fraud, dishonesty, making of false entries or omission of true entries, or other misconduct, and (v) failing to submit a report of one or more incidents that appear to relate to a plan or scheme that would be of interest to similar organizations located in the same area or through the state.
- Standard Chartered Bank and Standard Chartered Bank, New York Branch (together, "Standard Chartered") paid the State \$141.7 million. An April 9, 2019 press release issued by the Manhattan District Attorney's Office indicated that such payment was required by an amended Deferred Prosecution Agreement pertaining to Standard Chartered's falsification of records of New York financial institutions.

- Transamerica Financial Life Insurance Company paid a \$762,700 civil penalty pursuant to a July 2, 2018 consent order between Transamerica Financial Life Insurance Company and DFS. This consent order pertains to violations of New York State Insurance laws and regulations in connection with the life, annuities and accident and health insurance business.
- The Manhattan District Attorney's Office indicates in an April 15, 2019 press release that UniCredit Bank Austria AG has agreed to pay in criminal forfeiture approximately \$316 million to the Manhattan District Attorney's Office in relation to UniCredit Bank Austria AG's falsifying of business records and conspiracy to illicitly move money through New York Banks. The State received \$101.3 million as its share of the forfeiture received by the Manhattan District Attorney's Office in accordance with Section 1349 of the NYS Civil Practice Laws and Rules.
- UniCredit Bank AG and its New York Branch, UniCredit S.p.A and its New York Branch, and UniCredit Bank Austria AG (together, the "UniCredit Group") paid a \$405 million civil monetary penalty pursuant to an April 2019 Consent Order between UniCredit Group and the New York State DFS. This consent order pertains to UniCredit Group's (i) unsafe and unsound business conduct, (ii) failure to maintain an effective and complaint compliance program, (iii) failure to submit reports immediately upon discovering fraud, dishonesty, false entries or omissions of true entries, or other misconduct, and (iv) failure to submit reports of one or more incidents that appear to relate to a plan or scheme that would be of interest to similar organizations located in the same area or throughout the state.
- UBS Securities LLC and UBS Real Estate Securities Inc. (collectively, "UBS") paid \$41 million to New York State and another \$189 million in consumer relief credits in New York State pursuant to a March 20, 2018 settlement agreement between UBS and the New York State Office of the Attorney General. This settlement agreement pertains to UBS's creation, packaging, structuring, arrangement, underwriting, issuance, marketing and sale of residential mortgage-backed securities prior to January 1, 2009.
- The NYS Attorney General announced that Volkswagen AG, Audi and Porsche Affiliates (collectively, "Volkswagen") paid the State \$32 million in monetary recoveries in accordance with a series of proposed settlement agreements between the Office of the Attorney General (amongst others) and Volkswagen. This settlement agreement pertains to Volkswagen's violations of emissions standards and state consumer protection laws. Additionally, the State will receive, and the Department of Environmental Conservation (DEC) will administer, approximately \$128 million for air quality improvement programs in New York.



- Volkswagen AG, Audi AG, Volkswagen Group of America, Inc. (d/b/a Volkswagen of America, Inc. or Audi of America, Inc.), Audi of America, L.L.C., and Volkswagen Group of America Chattanooga Operations LLC (collectively “Volkswagen”) paid \$157.4 million pursuant to a March 29, 2017 Second Partial Settlement Agreement between Volkswagen and Dr. Ing. h.c. F. Porsche AG and Porsche Cars North America, Inc. (together, “Porsche”) (Volkswagen and Porsche together, “Defendants”) and the Attorneys General of the states of Connecticut, Delaware, Maine, New York, Oregon, Rhode Island, Vermont, and Washington, and the commonwealths of Massachusetts and Pennsylvania. The Second Partial Settlement Agreement resolves any claims or potential claims against defendants for their use of “Defeat Devices” in certain vehicles made pursuant to (a) Federal, State and/or local environmental laws, rules and/or regulations, including but not limited to, laws, rules and/or regulations regarding (i) mobile source emissions, (ii) certification, (iii) reporting of information, inspection and maintenance of vehicles, and/or (iv) anti-tampering provisions, and (b) with the exception of Vermont, claims that could be brought for injunctive relief and/or restitution or other monetary payments to consumers under applicable consumer protection, unfair trade, or deceptive acts and practices laws, rules and/or regulations, as well as common law and equitable claims to the extent not previously resolved under the First Partial Settlement Agreement. New York State was allocated \$32.5 million of the \$157.4 million settlement to be paid by Volkswagen and may, consistent with State law and at its sole discretion, use all or a portion of its allocation for any environmentally beneficial purpose.
- Wells Fargo & Company (“Wells Fargo”) paid a \$65 million penalty pursuant to an October 18, 2018 Settlement Agreement between Wells Fargo and the Office of the Attorney General of the State of New York. This Settlement Agreement pertains to Wells Fargo’s representations to investors regarding its cross-selling business model and publicly reported cross-sell metrics. This payment has not been reflected as the disposition of the funds by the Attorney General is not yet known.
- Wells Fargo & Company (“Wells Fargo”) paid a \$65 million penalty pursuant to an October 18, 2018 settlement agreement between Wells Fargo and the Office of the Attorney General of the State of New York. This settlement agreement pertains to Wells Fargo’s representations to investors regarding its cross-selling business model and publicly reported cross-sell metrics in violation of law.
- Western Union Financial Services, Inc. (Western Union) paid a \$60 million civil monetary penalty pursuant to a January 4, 2018 consent order between Western Union and DFS. This consent order pertains to Western Union’s willful failure to comply with laws and regulations designed to combat money laundering and other illicit financial transactions.
- William Penn Life Insurance Company of New York (William Penn) paid a \$6.3 million civil penalty pursuant to a May 3, 2018 consent order between William Penn and DFS. This consent order pertains to unapproved reinsurance transactions in 2014 through 2018, and materially inaccurate statements to DFS regarding such reinsurance transactions.

## Note 14 – List of Settlement Uses (Prior to FY 2020 Enacted Budget)

The following purposes were identified in past budgets and are expected to continue to be funded with Extraordinary Monetary Settlement funds reappropriated in FY 2020:

- **Thruway Stabilization (\$2 billion).** The \$2 billion investment will support both the New NY Bridge project and other transportation infrastructure needs for the rest of the Thruway system.
- **Upstate Revitalization Program (\$1.7 billion).** Awarded \$1.5 billion in 2015 to the three Upstate regions selected as Upstate Revitalization Initiative (URI) best plan awardees. An additional \$200 million (\$170 million from Extraordinary Monetary Settlements) was provided in 2016 to support projects in the remaining four eligible Upstate regions.
- **Health Care/Hospitals (\$725 million).** Provides \$680 million in grants to health care providers to facilitate mergers, consolidation, acquisition, or other significant corporate restructuring activities. The Plan also funds capital expenses of the Roswell Park Cancer Institute (RPCI) (\$15.5 million); a community health care revolving loan (\$19.5 million); IT and other infrastructure costs associated with the inclusion of behavioral health sciences in the Medicaid Managed Care benefit package (\$10 million); and \$125 million to the health care facility transformation program.
- **Affordable and Homeless Housing (\$640 million).** Settlement funds will augment the multi-year investment in affordable housing development and services (\$590 million) and provide housing opportunities for individuals and families who are homeless or at risk of homelessness (\$50 million). Funds will be invested over five years to create new housing opportunities for individuals and families in need of supportive services, as well as to assist vulnerable populations in securing stable housing.
- **Broadband Initiative (\$500 million).** Funds the New NY Broadband Fund Program to expand the availability and capacity of broadband across the State, or development of other telecommunication infrastructure. This program is intended to expand the creation of high-speed networks and promote broadband adoption.
- **Buffalo Billion Phase II (\$400 million).** The Financial Plan reflects an additional investment of \$400 million from Extraordinary Monetary Settlement funds to support the second phase of the Buffalo Billion initiative, which totals \$500 million.
- **Life Sciences (\$320 million).** The Financial Plan reflects the commitment of \$320 million from Extraordinary Monetary Settlement funds to support the State's multi-year \$620 million Life Sciences Initiative. The State will provide \$220 million to support state-of-the-art laboratory space, equipment, and technology. Furthermore, \$100 million will be provided in investment capital for early stage life science firms, which is expected to be matched by private sector partners.



- **MTA Capital Plan (\$315 million).** Includes \$250 million for the MTA Penn Station Access project, which will open a new Metro-North link directly into Penn Station. The project is expected to provide enhanced system resiliency, improvements in regional mobility, and construction of four new Metro-North stations in the Bronx. An additional \$65 million paid in FY 2018 was also provided for the MTA's 2015-2019 Capital Program.
- **Resiliency, Mitigation, Security, and Emergency Response (\$250 million).** Provides funding for preparedness and response efforts related to severe weather events, as well as efforts to prevent, prepare for, and respond to acts of terrorism, other public safety and health emergencies, and natural and manmade disasters. Additionally, funding has been used for counterterrorism efforts in NYC, including increased security and anti-terror exercises at nine MTA-operated bridges and tunnels.
- **Transportation Capital Plan (\$200 million).** Provides funding for transportation infrastructure projects across the State.
- **Municipal Restructuring and Consolidation Competition (\$170 million).** Includes \$20 million in funding for a municipal consolidation competition to encourage the reduction of costs through a competitive process administered by the Department of State (DOS). This funding is in addition to \$150 million for the first Downtown Revitalization Initiative, and for municipal restructuring to assist and encourage local governments and school districts to implement shared services, cooperation agreements, mergers, and other actions that are intended to reduce operating costs and property tax burdens.
- **Transformative Economic Development Projects (\$150 million).** Includes funds to promote economic development in Nassau and Suffolk counties.
- **Environmental Protection Fund (EPF) (\$120 million).** This \$120 million and other EPF resources provide dedicated funding to communities throughout New York State to improve the environment, respond to problems caused by climate change, and reduce greenhouse gas emissions.
- **Infrastructure Improvements (\$115 million).** Funding for infrastructure improvements to support transportation, upstate transit, rail, airport, port, and other projects.
- **Downtown Revitalization Round Two (\$100 million).** The Downtown Revitalization Initiative funds housing, economic development, transportation, and community projects to attract and retain residents, visitors, and businesses to downtowns.
- **Economic Development (\$85 million).** Funding for economic development with the intention of creating jobs, strengthening and diversifying economies, and generating economic opportunities across the State, including investments in infrastructure.

- Southern Tier/Hudson Valley Farm Initiative (\$50 million).** Funding to help landowners in the Southern Tier and Hudson Valley maintain and develop farming, agricultural, and related businesses.
- Empire State Poverty Reduction Initiative (ESPRI) (\$25 million).** The ESPRI will bring together State and local government, nonprofits, and community groups to design and implement coordinated solutions for addressing poverty in 16 municipalities: Albany, Binghamton, the Bronx, Buffalo, Elmira, Hempstead, Jamestown, Newburgh, Niagara Falls, Oneonta, Oswego, Rochester, Syracuse, Troy, Utica, and Watertown.
- Non-MTA Transit (\$20 million).** Funds will be directed by the DOT toward upstate and downstate public transportation systems other than the MTA to defray the costs of capital projects or acquisitions.

## Extraordinary Monetary Settlements - Cash Flow Management

Since 2015, the receipt of \$12.7 billion in Extraordinary Monetary Settlements has increased the State's cash on hand and improved its liquidity position. A large portion, \$8.1 billion, of the Extraordinary Monetary Settlements has been enacted, or is proposed for capital projects that are expected to spend over multiple years. This has provided the flexibility to use these cash resources temporarily to support capital spending and avoid issuing debt immediately, saving on interest costs. Accordingly, the Financial Plan continues to assume that Extraordinary Monetary Settlements will temporarily be used for two different purposes:

- Use \$1.3 billion to fund bond-financed capital disbursements. As shown in the table below, settlement resources were used to pay for \$1.3 billion of capital spending in FY 2018 to support higher education, transportation, and economic development. This advance from settlement funds has been repaid over a two-year period, as the State reimbursed this capital spending with bond proceeds in FY 2018 (\$800 million) and FY 2019 (\$500 million).
- Meet initial capital funding requirements for the Javits expansion project. As shown in the table below, spending for the Javits expansion will be supported by settlement fund balances in the first instance, beginning in FY 2018. Subsequently, these expenses will be reimbursed from bond proceeds from planned issuances in FYs 2020 and 2021.

TEMPORARY USE OF EXTRAORDINARY MONETARY SETTLEMENTS FOR CAPITAL PROJECTS FUNDS						
(millions of dollars)						
	<u>FY 2017</u>	<u>FY 2018</u>	<u>FY 2019</u>	<u>FY 2020</u>	<u>FY 2021</u>	<u>Total</u>
<b>Total Settlement Funds Replenished/(Used)</b>	<b>(1,300)</b>	<b>636</b>	<b>118</b>	<b>180</b>	<b>366</b>	<b>0</b>
Transfer to DIIF for Javits Center Expansion	0	(164)	(382)	(320)	(134)	(1,000)
Bond Proceed Receipts for Javits Center Expansion	0	0	0	500	500	1,000
FY 2017 Temporary Loan to Capital Projects Fund	(1,300)	1,300	0	0	0	0
FY 2018 Temporary Loan to Capital Projects Fund	0	(500)	500	0	0	0

# Glossary of Acronyms



AAA	Area Agencies on Aging
ACA	Affordable Care Act
AG	Attorney General
AIG	American International Group, Inc.
AIM	Aid and Incentives for Municipalities
ALICO	American Life Insurance Company
AML	Anti-Money Laundering
AMTAP	Additional Mass Transportation Assistance Program
APCD	All-Payer Claims Databases
ASAP	Accelerated Study in Associate Program
AXA	AXA Equitable Life Insurance Company
AY	Academic Year
BANs	Bond Anticipation Notes
BEA	Bureau of Economic Analysis
BLS	Bureau of Labor Statistics
BNPP	BNP Paribas, S.A., New York Branch
BOCES	Boards of Cooperative Educational Services
BofA	Bank of America
BofAML	Bank of America Corporation and Merrill Lynch, Pierce, Fenner and Smith Incorporated
BSA	Bank Security Act
BTMU	Bank of Tokyo-Mitsubishi UFJ, Ltd.
CHP	Child Health Plus
CISO	Chief Information Security Office
CMS	Centers for Medicare & Medicaid Services
COLA	Cost-of-Living Adjustment
CPI	Consumer Price Index
CSEA	Civil Service Employees Association
CSR	Cost Sharing Reduction
CSX	CSX Transportation, Inc.
CUNY	City University of New York
CW/CA	Clean Water/Clean Air
CY	Calendar Year
DA	District Attorney
DANY	New York County District Attorney
DASNY	Dormitory Authority of the State of New York
DC-37	District Council 37
DDPC	Developmental Disabilities Planning Council
DEC	Department of Environmental Conservation
DelAm	Delaware American Life Insurance Company
DFS	Department of Financial Services
DHBTF	Dedicated Highway and Bridge Trust Fund
DIIF	Dedicated Infrastructure Investment Fund
DMV	Department of Motor Vehicles
DOB	Division of the Budget
DOCCS	Department of Corrections and Community Supervision
DOH	Department of Health
DOS	Department of State
DOT	Department of Transportation
DS	Debt Service
DSH	Disproportionate Share Hospital
DSRIP	Delivery System Reform Incentive Payment
DTF	Department of Taxation and Finance
ECB	European Central Bank
ECEP	Employer Compensation Expense Program

# Glossary of Acronyms



EI	Early Intervention
EISEP	Expanded In-Home Services for the Elderly Program
EP	Essential Plan
EPF	Environmental Protection Fund
EPIC	Elderly Pharmaceutical Insurance Coverage
ERS	Employees' Retirement System
ESCO	Energy Service Company
ESD	Empire State Development
ESEA	Every Student Succeeds Act
ESPRI	Empire State Poverty Reduction Initiative
FFP	Federal Financial Participation
FFY	Federal Fiscal Year (October 1 through September 30)
FMAP	Federal Medical Assistance Percentage
FPG	Fortis Property Group
FRB	Financial Restructuring Board
FTE	Full-Time Equivalent
FY	Fiscal Year
GAAP	Generally Accepted Accounting Principles
GASB	Governmental Accounting Standards Board
GDP	Gross Domestic Product
GILTI	Global Intangible Low-Taxed Income
GLIP	Group Life Insurance Plan
GPHW	General Public Health Work
GSCs	General State Charges
GSEU	Graduate Student Employees Union
HASA	HIV/AIDS Services Administration
HCRA	Health Care Reform Act
HCTF	Health Care Transformation Fund
HESC	Higher Education Services Corporation
HUT	Highway Use Tax
IAAF	Interim Access Assurance Fund
IDEA	Individuals with Disabilities in Education Act
IMF	International Monetary Fund
IPCC	Intergovernmental Panel on Climate Change of the United Nations
IPO	Initial Public Offering
IRS	Internal Revenue Service
IT	Information Technology
ITS	Information Technology Services
LGAC	Local Government Assistance Corporation
LICH	Long Island College Hospital
LLC	Limited Liability Company
M/C	Management/Confidential
MCTD	Metropolitan Commuter Transportation District
MRT	Medicaid Redesign Team
MSA	Master Settlement Agreement
MTA	Metropolitan Transportation Authority
NAIRU	Non-Accelerating-Inflation Rate of Unemployment
NBER	National Bureau of Economic Research
NMS	New Medical Site
NPS	Non-Personal Service
NYC	New York City
NYS	New York State
NYSCOPBA	New York State Correctional Officers and Police Benevolent Association
NYSHIP	New York State Health Insurance Program
NYSLRS	New York State and Local Retirement System

NYSOH	New York State of Health
NYSPIA	New York State Police Investigators Association
NYSTA	New York State Thruway Authority
NYSTPBA	Police Benevolent Association of the New York State Troopers
NYU	New York University
OASAS	Office of Alcoholism and Substance Abuse Services
OCA	Office of Court Administration
OCFS	Office of Children and Family Services
OMH	Office of Mental Health
OPEB	Other Post-Employment Benefits
OPWDD	Office for People with Developmental Disabilities
ORP	Optional Retirement Program
OSC	Office of the State Comptroller
OTDA	Office of Temporary and Disability Assistance
PAYGO	Pay-As-You-Go
PBANYS	Police Benevolent Association of New York State
PBM	Pharmacy Benefit Manager
PBT	Petroleum Business Tax
PEF	Public Employees Federation
PFRS	Police and Fire Retirement System
PI	Personal Income
PIGI	Personal Income Growth Index
PILOT	Payments in Lieu of Taxes
PIT	Personal Income Tax
PMT	Payroll Mobility Tax
PS	Personal Service
PwC	PricewaterhouseCoopers LLP
QHP	Qualified Health Plan (NYSOH)
RBS	RBS Financial Products Inc. (f/k/a Greenwich Capital Financial Products, Inc.)
RBTF	Revenue Bond Tax Fund
RFP	Request for Proposals
RGGI	Regional Greenhouse Gas Initiative
RPCI	Roswell Park Cancer Institute
RSSL	Retirement and Social Security Law
SAAR	Seasonally Adjusted Annual Rate
SALT	State and Local Tax
SCB	Standard Chartered Bank
SCB NY	Standard Chartered Bank, New York Branch
SED	State Education Department
SFY	State Fiscal Year (April 1 Through March 31)
SHIN-NY	Statewide Health Information Network for New York
SOF	State Operating Funds
SOFA	State Office for the Aging
SSI	Supplemental Security Income
STAR	School Tax Relief
STIP	Short-Term Investment Pool
SUPPK	Statewide Universal Full-Day Prekindergarten
SUNY	State University of New York
SY	School Year (July 1 Through June 30)
TANF	Temporary Assistance for Needy Families
TAP	Tuition Assistance Program
TCJA	Tax Cuts and Jobs Act of 2017
TRS	Teachers' Retirement System
TY	Tax Year (January 1 Through December 31)

# Glossary of Acronyms



UBS	UBS Securities LLC and UBS Real Estate Securities Inc.
URI	Upstate Revitalization Initiative
U.S.	United States
UUP	United University Professions
VDC	Voluntary Defined Contribution
VLT	Video Lottery Terminal



# Financial Plan Tables



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**CASH FINANCIAL PLAN  
GENERAL FUND  
(millions of dollars)**

	<u>FY 2020 First Quarter</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>
<b>Receipts:</b>				
Taxes:				
Personal Income Tax	23,899	25,615	27,039	28,731
Consumption/Use Taxes	8,209	8,558	8,817	9,095
Business Taxes	6,077	6,472	6,550	6,871
Other Taxes	1,113	1,175	1,242	1,306
Miscellaneous Receipts	2,890	2,049	1,892	1,869
Transfers from Other Funds:				
PIT in Excess of Revenue Bond Debt Service	24,631	25,064	25,755	27,226
ECEP in Excess of Revenue Bond Debt Service	1	4	11	12
Sales Tax in Excess of LGAC Bond Debt Service	3,481	3,694	3,942	4,122
Sales Tax in Excess of Revenue Bond Debt Service	2,945	2,697	2,848	2,877
Real Estate Taxes in Excess of CW/CA Debt Service	973	1,013	1,054	1,105
All Other	2,900	2,042	1,696	1,560
<b>Total Receipts</b>	<b><u>77,119</u></b>	<b><u>78,383</u></b>	<b><u>80,846</u></b>	<b><u>84,774</u></b>
<b>Disbursements:</b>				
Local Assistance	52,091	55,794	57,928	60,969
State Operations:				
Personal Service	9,064	9,569	9,547	9,757
Non-Personal Service	2,870	3,051	3,100	3,199
General State Charges	7,687	8,230	8,797	9,356
Transfers to Other Funds:				
Debt Service	550	738	637	612
Capital Projects	3,191	3,305	3,168	3,486
SUNY Operations	1,185	1,259	1,255	1,255
Other Purposes	1,188	1,282	1,464	1,615
<b>Total Disbursements</b>	<b><u>77,826</u></b>	<b><u>83,228</u></b>	<b><u>85,896</u></b>	<b><u>90,249</u></b>
<b>Use (Reservation) of Fund Balance:</b>				
Community Projects	35	0	0	0
Labor Agreements	206	0	0	0
Business Tax Refund	202	0	0	0
Rainy Day Reserves	(428)	0	0	0
Economic Uncertainties	(862)	0	0	0
Extraordinary Monetary Settlements	1,554	858	867	793
<b>Total Use (Reservation) of Fund Balance</b>	<b><u>707</u></b>	<b><u>858</u></b>	<b><u>867</u></b>	<b><u>793</u></b>
<b>Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)</b>				
	<b><u>0</u></b>	<b><u>(3,987)</u></b>	<b><u>(4,183)</u></b>	<b><u>(4,682)</u></b>
<b>Adherence to 2% Spending Benchmark</b>	<b>0</b>	<b>3,752</b>	<b>5,046</b>	<b>6,736</b>
<b>Net General Fund Surplus (Deficit)</b>	<b>0</b>	<b>(235)</b>	<b>863</b>	<b>2,054</b>

**CASH FINANCIAL PLAN**  
**GENERAL FUND**  
(millions of dollars)

	FY 2019 Results	FY 2020 First Quarter	Annual \$ Change	Annual % Change
<b>Opening Fund Balance</b>	<b>9,445</b>	<b>7,206</b>	<b>(2,239)</b>	<b>-23.7%</b>
<b>Receipts:</b>				
Taxes:				
Personal Income Tax	21,621	23,899	2,278	10.5%
Consumption/Use Taxes	7,681	8,209	528	6.9%
Business Taxes	5,501	6,077	576	10.5%
Other Taxes	1,086	1,113	27	2.5%
Miscellaneous Receipts	3,586	2,890	(696)	-19.4%
Transfers from Other Funds:				
PIT in Excess of Revenue Bond Debt Service	21,346	24,631	3,285	15.4%
ECEP in Excess of Revenue Bond Debt Service	0	1	1	0.0%
Sales Tax in Excess of LGAC Bond Debt Service	3,113	3,481	368	11.8%
Sales Tax in Excess of Revenue Bond Debt Service	2,653	2,945	292	11.0%
Real Estate Taxes in Excess of CW/CA Debt Service	956	973	17	1.8%
All Other	3,001	2,900	(101)	-3.4%
<b>Total Receipts</b>	<b>70,544</b>	<b>77,119</b>	<b>6,575</b>	<b>9.3%</b>
<b>Disbursements:</b>				
Local Assistance	49,745	52,091	2,346	4.7%
State Operations:				
Personal Service	8,719	9,064	345	4.0%
Non-Personal Service	2,622	2,870	248	9.5%
General State Charges	7,139	7,687	548	7.7%
Transfers to Other Funds:				
Debt Service	786	550	(236)	-30.0%
Capital Projects	1,888	3,191	1,303	69.0%
State Share of Mental Hygiene Medicaid	(29)	0	29	100.0%
SUNY Operations	1,020	1,185	165	16.2%
Other Purposes	893	1,188	295	33.0%
<b>Total Disbursements</b>	<b>72,783</b>	<b>77,826</b>	<b>5,043</b>	<b>6.9%</b>
<b>Excess (Deficiency) of Receipts Over Disbursements</b>	<b>(2,239)</b>	<b>(707)</b>	<b>1,532</b>	<b>68.4%</b>
<b>Closing Fund Balance</b>	<b>7,206</b>	<b>6,499</b>	<b>(707)</b>	<b>-9.8%</b>
<b>Statutory Reserves</b>				
Tax Stabilization Reserve	1,258	1,258	0	
Rainy Day Reserves	790	1,218	428	
Contingency Reserve	21	21	0	
Community Projects	35	0	(35)	
<b>Reserved For</b>				
Labor Agreements	206	0	(206)	
Business Tax Refund	202	0	(202)	
Debt Management	500	500	0	
Economic Uncertainties	0	862	862	
Extraordinary Monetary Settlements	4,194	2,640	(1,554)	

**CASH FINANCIAL PLAN  
GENERAL FUND  
(millions of dollars)**

	<u>FY 2020 Enacted</u>	<u>Change</u>	<u>FY 2020 First Quarter</u>
<b>Receipts:</b>			
Taxes:			
Personal Income Tax	23,899	0	23,899
Consumption/Use Taxes	8,209	0	8,209
Business Taxes	6,104	(27)	6,077
Other Taxes	1,113	0	1,113
Miscellaneous Receipts	2,857	33	2,890
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	24,635	(4)	24,631
ECEP in Excess of Revenue Bond Debt Service	1	0	1
Sales Tax in Excess of LGAC Bond Debt Service	3,481	0	3,481
Sales Tax in Excess of Revenue Bond Debt Service	2,945	0	2,945
Real Estate Taxes in Excess of CW/CA Debt Service	973	0	973
All Other	2,900	0	2,900
<b>Total Receipts</b>	<b><u>77,117</u></b>	<b><u>2</u></b>	<b><u>77,119</u></b>
<b>Disbursements:</b>			
Local Assistance	52,100	(9)	52,091
State Operations:			
Personal Service	9,031	33	9,064
Non-Personal Service	2,880	(10)	2,870
General State Charges	7,716	(29)	7,687
Transfers to Other Funds:			
Debt Service	550	0	550
Capital Projects	3,191	0	3,191
SUNY Operations	1,185	0	1,185
Other Purposes	1,204	(16)	1,188
<b>Total Disbursements</b>	<b><u>77,857</u></b>	<b><u>(31)</u></b>	<b><u>77,826</u></b>
<b>Use (Reservation) of Fund Balance:</b>			
Community Projects	35	0	35
Labor Agreements	206	0	206
Business Tax Refund	202	0	202
Rainy Day Reserves	(428)	0	(428)
Economic Uncertainties	(829)	(33)	(862)
Extraordinary Monetary Settlements	1,554	0	1,554
<b>Total Use (Reservation) of Fund Balance</b>	<b><u>740</u></b>	<b><u>(33)</u></b>	<b><u>707</u></b>
<b>Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)</b>	<b><u>0</u></b>	<b><u>0</u></b>	<b><u>0</u></b>
<b>Adherence to 2% Spending Benchmark</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Net General Fund Surplus (Deficit)</b>	<b>0</b>	<b>0</b>	<b>0</b>

**CASH FINANCIAL PLAN  
GENERAL FUND  
(millions of dollars)**

	<u>FY 2021 Enacted</u>	<u>Change</u>	<u>FY 2021 First Quarter</u>
<b>Receipts:</b>			
Taxes:			
Personal Income Tax	25,615	0	25,615
Consumption/Use Taxes	8,558	0	8,558
Business Taxes	6,510	(38)	6,472
Other Taxes	1,175	0	1,175
Miscellaneous Receipts	2,049	0	2,049
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	25,097	(33)	25,064
ECEP in Excess of Revenue Bond Debt Service	4	0	4
Sales Tax in Excess of LGAC Bond Debt Service	3,694	0	3,694
Sales Tax in Excess of Revenue Bond Debt Service	2,697	0	2,697
Real Estate Taxes in Excess of CW/CA Debt Service	1,013	0	1,013
All Other	2,042	0	2,042
<b>Total Receipts</b>	<b><u>78,454</u></b>	<b><u>(71)</u></b>	<b><u>78,383</u></b>
<b>Disbursements:</b>			
Local Assistance	55,794	0	55,794
State Operations:			
Personal Service	9,513	56	9,569
Non-Personal Service	3,051	0	3,051
General State Charges	8,268	(38)	8,230
Transfers to Other Funds:			
Debt Service	738	0	738
Capital Projects	3,305	0	3,305
SUNY Operations	1,259	0	1,259
Other Purposes	1,297	(15)	1,282
<b>Total Disbursements</b>	<b><u>83,225</u></b>	<b><u>3</u></b>	<b><u>83,228</u></b>
<b>Use (Reservation) of Fund Balance:</b>			
Community Projects	0	0	0
Extraordinary Monetary Settlements	858	0	858
<b>Total Use (Reservation) of Fund Balance</b>	<b><u>858</u></b>	<b><u>0</u></b>	<b><u>858</u></b>
<b>Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)</b>	<b><u>(3,913)</u></b>	<b><u>(74)</u></b>	<b><u>(3,987)</u></b>
<b>Adherence to 2% Spending Benchmark</b>	<b>3,741</b>	<b>11</b>	<b>3,752</b>
<b>Net General Fund Surplus (Deficit)</b>	<b>(172)</b>	<b>(63)</b>	<b>(235)</b>

**CASH FINANCIAL PLAN  
GENERAL FUND  
(millions of dollars)**

	<u>FY 2022 Enacted</u>	<u>Change</u>	<u>FY 2022 First Quarter</u>
<b>Receipts:</b>			
Taxes:			
Personal Income Tax	27,039	0	27,039
Consumption/Use Taxes	8,817	0	8,817
Business Taxes	6,577	(27)	6,550
Other Taxes	1,242	0	1,242
Miscellaneous Receipts	1,892	0	1,892
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	25,799	(44)	25,755
ECEP in Excess of Revenue Bond Debt Service	11	0	11
Sales Tax in Excess of LGAC Bond Debt Service	3,942	0	3,942
Sales Tax in Excess of Revenue Bond Debt Service	2,848	0	2,848
Real Estate Taxes in Excess of CW/CA Debt Service	1,054	0	1,054
All Other	1,696	0	1,696
<b>Total Receipts</b>	<b><u>80,917</u></b>	<b><u>(71)</u></b>	<b><u>80,846</u></b>
<b>Disbursements:</b>			
Local Assistance	57,928	0	57,928
State Operations:			
Personal Service	9,508	39	9,547
Non-Personal Service	3,100	0	3,100
General State Charges	8,846	(49)	8,797
Transfers to Other Funds:			
Debt Service	637	0	637
Capital Projects	3,168	0	3,168
SUNY Operations	1,255	0	1,255
Other Purposes	1,484	(20)	1,464
<b>Total Disbursements</b>	<b><u>85,926</u></b>	<b><u>(30)</u></b>	<b><u>85,896</u></b>
<b>Use (Reservation) of Fund Balance:</b>			
Extraordinary Monetary Settlements	867	0	867
<b>Total Use (Reservation) of Fund Balance</b>	<b><u>867</u></b>	<b><u>0</u></b>	<b><u>867</u></b>
<b>Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)</b>			
	<b><u>(4,142)</u></b>	<b><u>(41)</u></b>	<b><u>(4,183)</u></b>
<b>Adherence to 2% Spending Benchmark</b>	<b>5,052</b>	<b>(6)</b>	<b>5,046</b>
<b>Net General Fund Surplus (Deficit)</b>	<b>910</b>	<b>(47)</b>	<b>863</b>

**CASH FINANCIAL PLAN  
GENERAL FUND  
(millions of dollars)**

	<u>FY 2023 Enacted</u>	<u>Change</u>	<u>FY 2023 First Quarter</u>
<b>Receipts:</b>			
Taxes:			
Personal Income Tax	28,731	0	28,731
Consumption/Use Taxes	9,095	0	9,095
Business Taxes	6,898	(27)	6,871
Other Taxes	1,306	0	1,306
Miscellaneous Receipts	1,869	0	1,869
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	27,230	(4)	27,226
ECEP in Excess of Revenue Bond Debt Service	12	0	12
Sales Tax in Excess of LGAC Bond Debt Service	4,122	0	4,122
Sales Tax in Excess of Revenue Bond Debt Service	2,877	0	2,877
Real Estate Taxes in Excess of CW/CA Debt Service	1,105	0	1,105
All Other	1,560	0	1,560
<b>Total Receipts</b>	<b><u>84,805</u></b>	<b><u>(31)</u></b>	<b><u>84,774</u></b>
<b>Disbursements:</b>			
Local Assistance	60,969	0	60,969
State Operations:			
Personal Service	9,713	44	9,757
Non-Personal Service	3,199	0	3,199
General State Charges	9,415	(59)	9,356
Transfers to Other Funds:			
Debt Service	612	0	612
Capital Projects	3,486	0	3,486
SUNY Operations	1,255	0	1,255
Other Purposes	1,640	(25)	1,615
<b>Total Disbursements</b>	<b><u>90,289</u></b>	<b><u>(40)</u></b>	<b><u>90,249</u></b>
<b>Use (Reservation) of Fund Balance:</b>			
Extraordinary Monetary Settlements	793	0	793
<b>Total Use (Reservation) of Fund Balance</b>	<b><u>793</u></b>	<b><u>0</u></b>	<b><u>793</u></b>
<b>Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)</b>	<b><u>(4,691)</u></b>	<b><u>9</u></b>	<b><u>(4,682)</u></b>
<b>Adherence to 2% Spending Benchmark</b>	<b>6,786</b>	<b>(50)</b>	<b>6,736</b>
<b>Net General Fund Surplus (Deficit)</b>	<b>2,095</b>	<b>(41)</b>	<b>2,054</b>

**CASH RECEIPTS  
GENERAL FUND  
(millions of dollars)**

	FY 2020	FY 2021	FY 2022	FY 2023
	First Quarter	Projected	Projected	Projected
<b>Taxes:</b>				
Withholdings	42,900	44,556	46,738	48,672
Estimated Payments	16,972	17,169	18,751	20,391
Final Payments	3,348	3,508	3,632	3,789
Other Payments	1,509	1,606	1,676	1,726
<b>Gross Collections</b>	<b>64,729</b>	<b>66,839</b>	<b>70,797</b>	<b>74,578</b>
State/City Offset	(1,299)	(1,424)	(1,549)	(1,674)
Refunds	(11,280)	(10,039)	(11,212)	(11,726)
<b>Reported Tax Collections</b>	<b>52,150</b>	<b>55,376</b>	<b>58,036</b>	<b>61,178</b>
STAR (Dedicated Deposits)	(2,176)	(2,073)	(1,979)	(1,858)
RBTF (Dedicated Transfers)	(26,075)	(27,688)	(29,018)	(30,589)
<b>Personal Income Tax</b>	<b>23,899</b>	<b>25,615</b>	<b>27,039</b>	<b>28,731</b>
Sales and Use Tax	15,136	15,786	16,320	16,890
Cigarette and Tobacco Taxes	310	296	285	275
Vapor Excise Tax	0	0	0	0
Motor Fuel Tax	0	0	0	0
Alcoholic Beverage Taxes	265	269	272	275
Opioid Excise Tax	66	100	100	100
Medical Cannabis Excise Tax	0	0	0	0
Adult Use Cannabis Tax	0	0	0	0
Highway Use Tax	0	0	0	0
Auto Rental Tax	0	0	0	0
Taxicab Surcharge	0	0	0	0
<b>Gross Consumption/Use Taxes</b>	<b>15,777</b>	<b>16,451</b>	<b>16,977</b>	<b>17,540</b>
LGAC/STBF (Dedicated Transfers)	(7,568)	(7,893)	(8,160)	(8,445)
<b>Consumption/Use Taxes</b>	<b>8,209</b>	<b>8,558</b>	<b>8,817</b>	<b>9,095</b>
Corporation Franchise Tax	3,363	3,843	3,855	4,053
Corporation and Utilities Tax	557	537	543	552
Insurance Taxes	2,017	2,092	2,152	2,266
Bank Tax	140	0	0	0
Petroleum Business Tax	0	0	0	0
<b>Business Taxes</b>	<b>6,077</b>	<b>6,472</b>	<b>6,550</b>	<b>6,871</b>
Estate Tax	1,094	1,153	1,214	1,277
Real Estate Transfer Tax	1,148	1,183	1,219	1,263
Employer Compensation Expense Program	2	8	21	23
Gift Tax	0	0	0	0
Real Property Gains Tax	0	0	0	0
Pari-Mutuel Taxes	15	15	15	15
Other Taxes	3	3	3	3
<b>Gross Other Taxes</b>	<b>2,262</b>	<b>2,362</b>	<b>2,472</b>	<b>2,581</b>
Real Estate Transfer Tax (Dedicated)	(1,148)	(1,183)	(1,219)	(1,263)
RBTF (Dedicated Transfers)	(1)	(4)	(11)	(12)
<b>Other Taxes</b>	<b>1,113</b>	<b>1,175</b>	<b>1,242</b>	<b>1,306</b>
<b>Payroll Tax</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Total Taxes</b>	<b>39,298</b>	<b>41,820</b>	<b>43,648</b>	<b>46,003</b>
Licenses, Fees, Etc.	694	678	678	678
Abandoned Property	450	450	450	450
Motor Vehicle Fees	299	301	216	210
ABC License Fee	66	62	68	64
Reimbursements	112	110	57	57
Investment Income	100	50	25	12
Extraordinary Settlements	760	0	0	0
Other Transactions	409	398	398	398
<b>Miscellaneous Receipts</b>	<b>2,890</b>	<b>2,049</b>	<b>1,892</b>	<b>1,869</b>
<b>Federal Receipts</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Total</b>	<b>42,188</b>	<b>43,869</b>	<b>45,540</b>	<b>47,872</b>

**CURRENT STATE RECEIPTS  
GENERAL FUND  
(millions of dollars)**

	FY 2019 Results	FY 2020 First Quarter	Annual \$ Change	Annual % Change
<b>Taxes:</b>				
Withholdings	41,084	42,900	1,816	4.4%
Estimated Payments	14,010	16,972	2,962	21.1%
Final Payments	2,685	3,348	663	24.7%
Other Payments	1,396	1,509	113	8.1%
<b>Gross Collections</b>	<b>59,175</b>	<b>64,729</b>	<b>5,554</b>	<b>9.4%</b>
State/City Offset	(1,135)	(1,299)	(164)	-14.4%
Refunds	(9,952)	(11,280)	(1,328)	-13.3%
<b>Reported Tax Collections</b>	<b>48,088</b>	<b>52,150</b>	<b>4,062</b>	<b>8.4%</b>
STAR (Dedicated Deposits)	(2,423)	(2,176)	247	10.2%
RBTF (Dedicated Transfers)	(24,044)	(26,075)	(2,031)	-8.4%
<b>Personal Income Tax</b>	<b>21,621</b>	<b>23,899</b>	<b>2,278</b>	<b>10.5%</b>
Sales and Use Tax	14,165	15,136	971	6.9%
Cigarette and Tobacco Taxes	328	310	(18)	-5.5%
Vapor Excise Tax	0	0	0	0.0%
Motor Fuel Tax	0	0	0	0.0%
Alcoholic Beverage Taxes	262	265	3	1.1%
Opioid Excise Tax	0	66	66	0.0%
Medical Cannabis Excise Tax	0	0	0	0.0%
Highway Use Tax	0	0	0	0.0%
Auto Rental Tax	0	0	0	0.0%
Taxicab Surcharge	0	0	0	0.0%
<b>Gross Consumption/Use Taxes</b>	<b>14,755</b>	<b>15,777</b>	<b>1,022</b>	<b>6.9%</b>
LGAC/STBF (Dedicated Transfers)	(7,074)	(7,568)	(494)	-7.0%
<b>Consumption/Use Taxes</b>	<b>7,681</b>	<b>8,209</b>	<b>528</b>	<b>6.9%</b>
Corporation Franchise Tax	3,410	3,363	(47)	-1.4%
Corporation and Utilities Tax	495	557	62	12.5%
Insurance Taxes	1,638	2,017	379	23.1%
Bank Tax	(42)	140	182	433.3%
Petroleum Business Tax	0	0	0	0.0%
<b>Business Taxes</b>	<b>5,501</b>	<b>6,077</b>	<b>576</b>	<b>10.5%</b>
Estate Tax	1,068	1,094	26	2.4%
Real Estate Transfer Tax	1,135	1,148	13	1.1%
Employer Compensation Expense Program	0	2	2	0.0%
Gift Tax	0	0	0	0.0%
Real Property Gains Tax	0	0	0	0.0%
Pari-Mutuel Taxes	15	15	0	0.0%
Other Taxes	3	3	0	0.0%
<b>Gross Other Taxes</b>	<b>2,221</b>	<b>2,262</b>	<b>41</b>	<b>1.8%</b>
Real Estate Transfer Tax (Dedicated)	(1,135)	(1,148)	(13)	-1.1%
RBTF (Dedicated Transfers)	0	(1)	(1)	0.0%
<b>Other Taxes</b>	<b>1,086</b>	<b>1,113</b>	<b>27</b>	<b>2.5%</b>
<b>Payroll Tax</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0%</b>
<b>Total Taxes</b>	<b>35,889</b>	<b>39,298</b>	<b>3,409</b>	<b>9.5%</b>
Licenses, Fees, Etc.	744	694	(50)	-6.7%
Abandoned Property	494	450	(44)	-8.9%
Motor Vehicle Fees	317	299	(18)	-5.7%
ABC License Fee	74	66	(8)	-10.8%
Reimbursements	161	112	(49)	-30.4%
Investment Income	134	100	(34)	-25.4%
Extraordinary Settlements	1,106	760	(346)	-31.3%
Other Transactions	556	409	(147)	-26.4%
<b>Miscellaneous Receipts</b>	<b>3,586</b>	<b>2,890</b>	<b>(696)</b>	<b>-19.4%</b>
<b>Federal Receipts</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0%</b>
<b>Total</b>	<b>39,475</b>	<b>42,188</b>	<b>2,713</b>	<b>6.9%</b>



**CASH FINANCIAL PLAN**  
**STATE OPERATING FUNDS BUDGET**  
**FY 2019**  
(millions of dollars)

	General Fund	State Special Revenue Funds	Debt Service Funds	State Operating Funds Total
<b>Opening Fund Balance</b>	<b>9,445</b>	<b>4,009</b>	<b>153</b>	<b>13,607</b>
<b>Receipts:</b>				
Taxes	35,889	6,121	32,134	74,144
Miscellaneous Receipts	3,586	19,466	433	23,485
Federal Receipts	0	(1)	74	73
<b>Total Receipts</b>	<b>39,475</b>	<b>25,586</b>	<b>32,641</b>	<b>97,702</b>
<b>Disbursements:</b>				
Local Assistance	49,745	16,432	0	66,177
State Operations:				
Personal Service	8,719	4,968	0	13,687
Non-Personal Service	2,622	2,710	38	5,370
General State Charges	7,139	1,065	0	8,204
Debt Service	0	0	6,699	6,699
Capital Projects	0	0	0	0
<b>Total Disbursements</b>	<b>68,225</b>	<b>25,175</b>	<b>6,737</b>	<b>100,137</b>
<b>Other Financing Sources (Uses):</b>				
Transfers from Other Funds	31,069	1,906	3,537	36,512
Transfers to Other Funds	(4,558)	(1,235)	(29,529)	(35,322)
Bond and Note Proceeds	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	<b>26,511</b>	<b>671</b>	<b>(25,992)</b>	<b>1,190</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<b>(2,239)</b>	<b>1,082</b>	<b>(88)</b>	<b>(1,245)</b>
<b>Closing Fund Balance</b>	<b>7,206</b>	<b>5,091</b>	<b>65</b>	<b>12,362</b>

**CASH FINANCIAL PLAN  
STATE OPERATING FUNDS BUDGET  
FY 2020  
(millions of dollars)**

	<u>General Fund</u>	<u>State Special Revenue Funds</u>	<u>Debt Service Funds</u>	<u>State Operating Funds Total</u>
<b>Opening Fund Balance</b>	<b>7,206</b>	<b>5,091</b>	<b>65</b>	<b>12,362</b>
<b>Receipts:</b>				
Taxes	39,298	5,882	34,673	79,853
Miscellaneous Receipts	2,890	17,755	394	21,039
Federal Receipts	0	1	74	75
<b>Total Receipts</b>	<b>42,188</b>	<b>23,638</b>	<b>35,141</b>	<b>100,967</b>
<b>Disbursements:</b>				
Local Assistance	52,091	16,371	0	68,462
State Operations:				
Personal Service	9,064	5,197	0	14,261
Non-Personal Service	2,870	2,577	46	5,493
General State Charges	7,687	1,080	0	8,767
Debt Service	0	0	5,170	5,170
Capital Projects	0	0	0	0
<b>Total Disbursements</b>	<b>71,712</b>	<b>25,225</b>	<b>5,216</b>	<b>102,153</b>
<b>Other Financing Sources (Uses):</b>				
Transfers from Other Funds	34,931	2,373	3,483	40,787
Transfers to Other Funds	(6,114)	(1,360)	(33,426)	(40,900)
Bond and Note Proceeds	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	<b>28,817</b>	<b>1,013</b>	<b>(29,943)</b>	<b>(113)</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<b>(707)</b>	<b>(574)</b>	<b>(18)</b>	<b>(1,299)</b>
<b>Closing Fund Balance</b>	<b>6,499</b>	<b>4,517</b>	<b>47</b>	<b>11,063</b>

**CASH FINANCIAL PLAN  
STATE OPERATING FUNDS BUDGET  
FY 2021  
(millions of dollars)**

	General Fund	State Special Revenue Funds	Debt Service Funds	State Operating Funds Total
<b>Receipts:</b>				
Taxes	41,820	5,824	36,649	84,293
Miscellaneous Receipts	2,049	16,125	394	18,568
Federal Receipts	0	1	73	74
<b>Total Receipts</b>	<b>43,869</b>	<b>21,950</b>	<b>37,116</b>	<b>102,935</b>
<b>Disbursements:</b>				
Local Assistance	55,794	15,681	0	71,475
State Operations:				
Personal Service	9,569	5,114	0	14,683
Non-Personal Service	3,051	2,451	44	5,546
General State Charges	8,230	1,141	0	9,371
Debt Service	0	0	6,873	6,873
Capital Projects	0	0	0	0
<b>Total Disbursements</b>	<b>76,644</b>	<b>24,387</b>	<b>6,917</b>	<b>107,948</b>
<b>Other Financing Sources (Uses):</b>				
Transfers from Other Funds	34,514	2,538	3,509	40,561
Transfers to Other Funds	(6,584)	(550)	(33,724)	(40,858)
Bond and Note Proceeds	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	<b>27,930</b>	<b>1,988</b>	<b>(30,215)</b>	<b>(297)</b>
<b>Use (Reservation) of Fund Balance:</b>				
Extraordinary Monetary Settlements	858	0	0	858
<b>Total Use (Reservation) of Fund Balance</b>	<b>858</b>	<b>0</b>	<b>0</b>	<b>858</b>
<b>Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)</b>	<b>(3,987)</b>	<b>(449)</b>	<b>(16)</b>	<b>(4,452)</b>
<b>Adherence to 2% Spending Benchmark</b>	<b>3,752</b>	<b>0</b>	<b>0</b>	<b>3,752</b>
<b>Net Surplus (Deficit)</b>	<b>(235)</b>	<b>(449)</b>	<b>(16)</b>	<b>(700)</b>

**CASH FINANCIAL PLAN  
STATE OPERATING FUNDS BUDGET  
FY 2022  
(millions of dollars)**

	General Fund	State Special Revenue Funds	Debt Service Funds	State Operating Funds Total
<b>Receipts:</b>				
Taxes	43,648	5,782	38,289	87,719
Miscellaneous Receipts	1,892	16,473	393	18,758
Federal Receipts	0	1	72	73
<b>Total Receipts</b>	<b>45,540</b>	<b>22,256</b>	<b>38,754</b>	<b>106,550</b>
<b>Disbursements:</b>				
Local Assistance	57,928	15,988	0	73,916
State Operations:				
Personal Service	9,547	5,137	0	14,684
Non-Personal Service	3,100	2,506	44	5,650
General State Charges	8,797	1,154	0	9,951
Debt Service	0	0	7,125	7,125
Capital Projects	0	0	0	0
<b>Total Disbursements</b>	<b>79,372</b>	<b>24,785</b>	<b>7,169</b>	<b>111,326</b>
<b>Other Financing Sources (Uses):</b>				
Transfers from Other Funds	35,306	2,712	3,213	41,231
Transfers to Other Funds	(6,524)	(216)	(34,801)	(41,541)
Bond and Note Proceeds	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	<b>28,782</b>	<b>2,496</b>	<b>(31,588)</b>	<b>(310)</b>
<b>Use (Reservation) of Fund Balance:</b>				
Extraordinary Monetary Settlements	867	0	0	867
<b>Total Use (Reservation) of Fund Balance</b>	<b>867</b>	<b>0</b>	<b>0</b>	<b>867</b>
<b>Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)</b>	<b>(4,183)</b>	<b>(33)</b>	<b>(3)</b>	<b>(4,219)</b>
<b>Adherence to 2% Spending Benchmark</b>	<b>5,046</b>	<b>0</b>	<b>0</b>	<b>5,046</b>
<b>Net Surplus (Deficit)</b>	<b>863</b>	<b>(33)</b>	<b>(3)</b>	<b>827</b>

**CASH FINANCIAL PLAN  
STATE OPERATING FUNDS BUDGET  
FY 2023  
(millions of dollars)**

	General Fund	State Special Revenue Funds	Debt Service Funds	State Operating Funds Total
<b>Receipts:</b>				
Taxes	46,003	5,720	40,190	91,913
Miscellaneous Receipts	1,869	15,952	392	18,213
Federal Receipts	0	1	69	70
<b>Total Receipts</b>	<b>47,872</b>	<b>21,673</b>	<b>40,651</b>	<b>110,196</b>
<b>Disbursements:</b>				
Local Assistance	60,969	15,611	0	76,580
State Operations:				
Personal Service	9,757	5,216	0	14,973
Non-Personal Service	3,199	2,477	44	5,720
General State Charges	9,356	1,184	0	10,540
Debt Service	0	0	7,329	7,329
Capital Projects	0	0	0	0
<b>Total Disbursements</b>	<b>83,281</b>	<b>24,488</b>	<b>7,373</b>	<b>115,142</b>
<b>Other Financing Sources (Uses):</b>				
Transfers from Other Funds	36,902	2,866	3,177	42,945
Transfers to Other Funds	(6,968)	(139)	(36,449)	(43,556)
Bond and Note Proceeds	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	<b>29,934</b>	<b>2,727</b>	<b>(33,272)</b>	<b>(611)</b>
<b>Use (Reservation) of Fund Balance:</b>				
Extraordinary Monetary Settlements	793	0	0	793
<b>Total Use (Reservation) of Fund Balance</b>	<b>793</b>	<b>0</b>	<b>0</b>	<b>793</b>
<b>Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)</b>	<b>(4,682)</b>	<b>(88)</b>	<b>6</b>	<b>(4,764)</b>
<b>Adherence to 2% Spending Benchmark</b>	<b>6,736</b>	<b>0</b>	<b>0</b>	<b>6,736</b>
<b>Net Surplus (Deficit)</b>	<b>2,054</b>	<b>(88)</b>	<b>6</b>	<b>1,972</b>

**CASH FINANCIAL PLAN**  
**STATE OPERATING FUNDS BUDGET**  
(millions of dollars)

	FY 2019 Results	FY 2020 First Quarter	Annual \$ Change	Annual % Change
<b>Opening Fund Balance</b>	<b>13,607</b>	<b>12,362</b>	<b>(1,245)</b>	<b>-9.1%</b>
<b>Receipts:</b>				
Taxes	74,144	79,853	5,709	7.7%
Miscellaneous Receipts	23,485	21,039	(2,446)	-10.4%
Federal Receipts	73	75	2	2.7%
<b>Total Receipts</b>	<b>97,702</b>	<b>100,967</b>	<b>3,265</b>	<b>3.3%</b>
<b>Disbursements:</b>				
Local Assistance	66,177	68,462	2,285	3.5%
State Operations:				
Personal Service	13,687	14,261	574	4.2%
Non-Personal Service	5,370	5,493	123	2.3%
General State Charges	8,204	8,767	563	6.9%
Debt Service	6,699	5,170	(1,529)	-22.8%
Capital Projects	0	0	0	0.0%
<b>Total Disbursements</b>	<b>100,137</b>	<b>102,153</b>	<b>2,016</b>	<b>2.0%</b>
<b>Other Financing Sources (Uses):</b>				
Transfers from Other Funds	36,512	40,787	4,275	11.7%
Transfers to Other Funds	(35,322)	(40,900)	(5,578)	-15.8%
Bond and Note Proceeds	0	0	0	0.0%
<b>Net Other Financing Sources (Uses)</b>	<b>1,190</b>	<b>(113)</b>	<b>(1,303)</b>	<b>-109.5%</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<b>(1,245)</b>	<b>(1,299)</b>	<b>(54)</b>	<b>-4.3%</b>
<b>Closing Fund Balance</b>	<b>12,362</b>	<b>11,063</b>	<b>(1,299)</b>	<b>-10.5%</b>

**CASH FINANCIAL PLAN**  
**ALL GOVERNMENTAL FUNDS**  
**FY 2019**  
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	All Funds Total
<b>Opening Fund Balance</b>	<b>9,445</b>	<b>4,302</b>	<b>(1,151)</b>	<b>153</b>	<b>12,749</b>
<b>Receipts:</b>					
Taxes	35,889	6,121	1,434	32,134	75,578
Miscellaneous Receipts	3,586	19,668	7,497	433	31,184
Federal Receipts	0	58,920	2,350	74	61,344
<b>Total Receipts</b>	<b>39,475</b>	<b>84,709</b>	<b>11,281</b>	<b>32,641</b>	<b>168,106</b>
<b>Disbursements:</b>					
Local Assistance	49,745	72,453	5,234	0	127,432
State Operations:					
Personal Service	8,719	5,605	0	0	14,324
Non-Personal Service	2,622	4,104	0	38	6,764
General State Charges	7,139	1,485	0	0	8,624
Debt Service	0	0	0	6,699	6,699
Capital Projects	0	0	7,032	0	7,032
<b>Total Disbursements</b>	<b>68,225</b>	<b>83,647</b>	<b>12,266</b>	<b>6,737</b>	<b>170,875</b>
<b>Other Financing Sources (Uses):</b>					
Transfers from Other Funds	31,069	1,906	2,219	3,537	38,731
Transfers to Other Funds	(4,558)	(3,428)	(1,354)	(29,529)	(38,869)
Bond and Note Proceeds	0	0	133	0	133
<b>Net Other Financing Sources (Uses)</b>	<b>26,511</b>	<b>(1,522)</b>	<b>998</b>	<b>(25,992)</b>	<b>(5)</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<b>(2,239)</b>	<b>(460)</b>	<b>13</b>	<b>(88)</b>	<b>(2,774)</b>
<b>Closing Fund Balance</b>	<b>7,206</b>	<b>3,842</b>	<b>(1,138)</b>	<b>65</b>	<b>9,975</b>

**CASH FINANCIAL PLAN**  
**ALL GOVERNMENTAL FUNDS**  
**FY 2020**  
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	All Funds Total
<b>Opening Fund Balance</b>	7,206	3,842	(1,138)	65	9,975
<b>Receipts:</b>					
Taxes	39,298	5,882	1,420	34,673	81,273
Miscellaneous Receipts	2,890	17,957	8,106	394	29,347
Federal Receipts	0	64,021	2,229	74	66,324
<b>Total Receipts</b>	<b>42,188</b>	<b>87,860</b>	<b>11,755</b>	<b>35,141</b>	<b>176,944</b>
<b>Disbursements:</b>					
Local Assistance	52,091	73,854	5,442	0	131,387
State Operations:					
Personal Service	9,064	5,851	0	0	14,915
Non-Personal Service	2,870	4,024	0	46	6,940
General State Charges	7,687	1,417	0	0	9,104
Debt Service	0	0	0	5,170	5,170
Capital Projects	0	0	8,601	0	8,601
<b>Total Disbursements</b>	<b>71,712</b>	<b>85,146</b>	<b>14,043</b>	<b>5,216</b>	<b>176,117</b>
<b>Other Financing Sources (Uses):</b>					
Transfers from Other Funds	34,931	2,385	3,532	3,483	44,331
Transfers to Other Funds	(6,114)	(3,351)	(1,586)	(33,426)	(44,477)
Bond and Note Proceeds	0	0	444	0	444
<b>Net Other Financing Sources (Uses)</b>	<b>28,817</b>	<b>(966)</b>	<b>2,390</b>	<b>(29,943)</b>	<b>298</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<b>(707)</b>	<b>1,748</b>	<b>102</b>	<b>(18)</b>	<b>1,125</b>
<b>Closing Fund Balance</b>	<b>6,499</b>	<b>5,590</b>	<b>(1,036)</b>	<b>47</b>	<b>11,100</b>



**CASH FINANCIAL PLAN**  
**ALL GOVERNMENTAL FUNDS**  
**FY 2021**  
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	All Funds Total
<b>Receipts:</b>					
Taxes	41,820	5,824	1,393	36,649	85,686
Miscellaneous Receipts	2,049	16,330	7,162	394	25,935
Federal Receipts	0	62,295	2,187	73	64,555
<b>Total Receipts</b>	<b>43,869</b>	<b>84,449</b>	<b>10,742</b>	<b>37,116</b>	<b>176,176</b>
<b>Disbursements:</b>					
Local Assistance	55,794	73,238	5,346	0	134,378
State Operations:					
Personal Service	9,569	5,793	0	0	15,362
Non-Personal Service	3,051	3,865	0	44	6,960
General State Charges	8,230	1,491	0	0	9,721
Debt Service	0	0	0	6,873	6,873
Capital Projects	0	0	8,230	0	8,230
<b>Total Disbursements</b>	<b>76,644</b>	<b>84,387</b>	<b>13,576</b>	<b>6,917</b>	<b>181,524</b>
<b>Other Financing Sources (Uses):</b>					
Transfers from Other Funds	34,514	2,550	3,587	3,509	44,160
Transfers to Other Funds	(6,584)	(2,428)	(1,580)	(33,724)	(44,316)
Bond and Note Proceeds	0	0	800	0	800
<b>Net Other Financing Sources (Uses)</b>	<b>27,930</b>	<b>122</b>	<b>2,807</b>	<b>(30,215)</b>	<b>644</b>
<b>Use (Reservation) of Fund Balance:</b>					
Extraordinary Monetary Settlements	858	0	0	0	858
<b>Total Use (Reservation) of Fund Balance</b>	<b>858</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>858</b>
<b>Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)</b>	<b>(3,987)</b>	<b>184</b>	<b>(27)</b>	<b>(16)</b>	<b>(3,846)</b>
<b>Adherence to 2% Spending Benchmark</b>	<b>3,752</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>3,752</b>
<b>Net Surplus (Deficit)</b>	<b>(235)</b>	<b>184</b>	<b>(27)</b>	<b>(16)</b>	<b>(94)</b>

**CASH FINANCIAL PLAN**  
**ALL GOVERNMENTAL FUNDS**  
**FY 2022**  
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	All Funds Total
<b>Receipts:</b>					
Taxes	43,648	5,782	1,386	38,289	89,105
Miscellaneous Receipts	1,892	16,678	6,051	393	25,014
Federal Receipts	0	63,994	2,187	72	66,253
<b>Total Receipts</b>	<b>45,540</b>	<b>86,454</b>	<b>9,624</b>	<b>38,754</b>	<b>180,372</b>
<b>Disbursements:</b>					
Local Assistance	57,928	75,038	4,786	0	137,752
State Operations:					
Personal Service	9,547	5,817	0	0	15,364
Non-Personal Service	3,100	3,931	0	44	7,075
General State Charges	8,797	1,527	0	0	10,324
Debt Service	0	0	0	7,125	7,125
Capital Projects	0	0	7,222	0	7,222
<b>Total Disbursements</b>	<b>79,372</b>	<b>86,313</b>	<b>12,008</b>	<b>7,169</b>	<b>184,862</b>
<b>Other Financing Sources (Uses):</b>					
Transfers from Other Funds	35,306	2,724	3,427	3,213	44,670
Transfers to Other Funds	(6,524)	(2,034)	(1,468)	(34,801)	(44,827)
Bond and Note Proceeds	0	0	413	0	413
<b>Net Other Financing Sources (Uses)</b>	<b>28,782</b>	<b>690</b>	<b>2,372</b>	<b>(31,588)</b>	<b>256</b>
<b>Use (Reservation) of Fund Balance:</b>					
Extraordinary Monetary Settlements	867	0	0	0	867
<b>Total Use (Reservation) of Fund Balance</b>	<b>867</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>867</b>
<b>Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)</b>					
	<b>(4,183)</b>	<b>831</b>	<b>(12)</b>	<b>(3)</b>	<b>(3,367)</b>
<b>Adherence to 2% Spending Benchmark</b>	<b>5,046</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>5,046</b>
<b>Net Surplus (Deficit)</b>	<b>863</b>	<b>831</b>	<b>(12)</b>	<b>(3)</b>	<b>1,679</b>

**CASH FINANCIAL PLAN**  
**ALL GOVERNMENTAL FUNDS**  
**FY 2023**  
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	All Funds Total
<b>Receipts:</b>					
Taxes	46,003	5,720	1,386	40,190	93,299
Miscellaneous Receipts	1,869	16,157	5,967	392	24,385
Federal Receipts	0	66,559	2,187	69	68,815
<b>Total Receipts</b>	<b>47,872</b>	<b>88,436</b>	<b>9,540</b>	<b>40,651</b>	<b>186,499</b>
<b>Disbursements:</b>					
Local Assistance	60,969	77,124	4,760	0	142,853
State Operations:					
Personal Service	9,757	5,898	0	0	15,655
Non-Personal Service	3,199	3,871	0	44	7,114
General State Charges	9,356	1,557	0	0	10,913
Debt Service	0	0	0	7,329	7,329
Capital Projects	0	0	7,271	0	7,271
<b>Total Disbursements</b>	<b>83,281</b>	<b>88,450</b>	<b>12,031</b>	<b>7,373</b>	<b>191,135</b>
<b>Other Financing Sources (Uses):</b>					
Transfers from Other Funds	36,902	2,878	3,742	3,177	46,699
Transfers to Other Funds	(6,968)	(1,844)	(1,589)	(36,449)	(46,850)
Bond and Note Proceeds	0	0	322	0	322
<b>Net Other Financing Sources (Uses)</b>	<b>29,934</b>	<b>1,034</b>	<b>2,475</b>	<b>(33,272)</b>	<b>171</b>
<b>Use (Reservation) of Fund Balance:</b>					
Extraordinary Monetary Settlements	793	0	0	0	793
<b>Total Use (Reservation) of Fund Balance</b>	<b>793</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>793</b>
<b>Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)</b>					
	<b>(4,682)</b>	<b>1,020</b>	<b>(16)</b>	<b>6</b>	<b>(3,672)</b>
<b>Adherence to 2% Spending Benchmark</b>	<b>6,736</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>6,736</b>
<b>Net Surplus (Deficit)</b>	<b>2,054</b>	<b>1,020</b>	<b>(16)</b>	<b>6</b>	<b>3,064</b>

**CASH FINANCIAL PLAN  
ALL GOVERNMENTAL FUNDS  
(millions of dollars)**

	FY 2019 Results	FY 2020 First Quarter	Annual \$ Change	Annual % Change
<b>Opening Fund Balance</b>	<b>12,749</b>	<b>9,975</b>	<b>(2,774)</b>	<b>-21.8%</b>
<b>Receipts:</b>				
Taxes	75,578	81,273	5,695	7.5%
Miscellaneous Receipts	31,184	29,347	(1,837)	-5.9%
Federal Receipts	61,344	66,324	4,980	8.1%
<b>Total Receipts</b>	<b>168,106</b>	<b>176,944</b>	<b>8,838</b>	<b>5.3%</b>
<b>Disbursements:</b>				
Local Assistance	127,432	131,387	3,955	3.1%
State Operations:				
Personal Service	14,324	14,915	591	4.1%
Non-Personal Service	6,764	6,940	176	2.6%
General State Charges	8,624	9,104	480	5.6%
Debt Service	6,699	5,170	(1,529)	-22.8%
Capital Projects	7,032	8,601	1,569	22.3%
<b>Total Disbursements</b>	<b>170,875</b>	<b>176,117</b>	<b>5,242</b>	<b>3.1%</b>
<b>Other Financing Sources (Uses):</b>				
Transfers from Other Funds	38,731	44,331	5,600	14.5%
Transfers to Other Funds	(38,869)	(44,477)	(5,608)	-14.4%
Bond and Note Proceeds	133	444	311	233.8%
<b>Net Other Financing Sources (Uses)</b>	<b>(5)</b>	<b>298</b>	<b>303</b>	<b>6060.0%</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<b>(2,774)</b>	<b>1,125</b>	<b>3,899</b>	<b>140.6%</b>
<b>Closing Fund Balance</b>	<b>9,975</b>	<b>11,100</b>	<b>1,125</b>	<b>11.3%</b>

**CASH RECEIPTS**  
**ALL GOVERNMENTAL FUNDS**  
**FY 2019**  
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	Total
<b>Taxes:</b>					
Withholdings	41,084	0	0	0	41,084
Estimated Payments	14,010	0	0	0	14,010
Final Payments	2,685	0	0	0	2,685
Other Payments	1,396	0	0	0	1,396
<b>Gross Collections</b>	<b>59,175</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>59,175</b>
State/City Offset	(1,135)	0	0	0	(1,135)
Refunds	(9,952)	0	0	0	(9,952)
<b>Reported Tax Collections</b>	<b>48,088</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>48,088</b>
STAR (Dedicated Deposits)	(2,423)	2,423	0	0	0
RBTF (Dedicated Transfers)	(24,044)	0	0	24,044	0
<b>Personal Income Tax</b>	<b>21,621</b>	<b>2,423</b>	<b>0</b>	<b>24,044</b>	<b>48,088</b>
Sales and Use Tax	14,165	963	0	0	15,128
Cigarette and Tobacco Taxes	328	780	0	0	1,108
Vapor Excise Tax	0	0	0	0	0
Motor Fuel Tax	0	111	417	0	528
Alcoholic Beverage Taxes	262	0	0	0	262
Opioid Excise Tax	0	0	0	0	0
Medical Cannabis Excise Tax	0	4	0	0	4
Adult Use Cannabis Tax	0	0	0	0	0
Highway Use Tax	0	(2)	147	0	145
Auto Rental Tax	0	49	81	0	130
Taxicab Surcharge	0	52	0	0	52
<b>Gross Consumption/Use Taxes</b>	<b>14,755</b>	<b>1,957</b>	<b>645</b>	<b>0</b>	<b>17,357</b>
LGAC/STBF (Dedicated Transfers)	(7,074)	0	0	7,074	0
<b>Consumption/Use Taxes</b>	<b>7,681</b>	<b>1,957</b>	<b>645</b>	<b>7,074</b>	<b>17,357</b>
Corporation Franchise Tax	3,410	887	0	0	4,297
Corporation and Utilities Tax	495	162	15	0	672
Insurance Taxes	1,638	199	0	0	1,837
Bank Tax	(42)	(18)	0	0	(60)
Petroleum Business Tax	0	511	655	0	1,166
<b>Business Taxes</b>	<b>5,501</b>	<b>1,741</b>	<b>670</b>	<b>0</b>	<b>7,912</b>
Estate Tax	1,068	0	0	0	1,068
Real Estate Transfer Tax	1,135	0	0	0	1,135
Employer Compensation Expense Program	0	0	0	0	0
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	15	0	0	0	15
Other Taxes	3	0	0	0	3
<b>Gross Other Taxes</b>	<b>2,221</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>2,221</b>
Real Estate Transfer Tax (Dedicated)	(1,135)	0	119	1,016	0
RBTF (Dedicated Transfers)	0	0	0	0	0
<b>Other Taxes</b>	<b>1,086</b>	<b>0</b>	<b>119</b>	<b>1,016</b>	<b>2,221</b>
<b>Payroll Tax</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Total Taxes</b>	<b>35,889</b>	<b>6,121</b>	<b>1,434</b>	<b>32,134</b>	<b>75,578</b>
Licenses, Fees, Etc.	744	0	0	0	744
Abandoned Property	494	0	0	0	494
Motor Vehicle Fees	317	419	794	0	1,530
ABC License Fee	74	0	0	0	74
Reimbursements	161	0	0	0	161
Investment Income	134	0	0	0	134
Extraordinary Settlements	1,106	0	0	0	1,106
Other Transactions	556	19,249	6,703	433	26,941
<b>Miscellaneous Receipts</b>	<b>3,586</b>	<b>19,668</b>	<b>7,497</b>	<b>433</b>	<b>31,184</b>
<b>Federal Receipts</b>	<b>0</b>	<b>58,920</b>	<b>2,350</b>	<b>74</b>	<b>61,344</b>
<b>Total</b>	<b>39,475</b>	<b>84,709</b>	<b>11,281</b>	<b>32,641</b>	<b>168,106</b>

**CASH RECEIPTS**  
**ALL GOVERNMENTAL FUNDS**  
**FY 2020**  
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	Total
<b>Taxes:</b>					
Withholdings	42,900	0	0	0	42,900
Estimated Payments	16,972	0	0	0	16,972
Final Payments	3,348	0	0	0	3,348
Other Payments	1,509	0	0	0	1,509
<b>Gross Collections</b>	<b>64,729</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>64,729</b>
State/City Offset	(1,299)	0	0	0	(1,299)
Refunds	(11,280)	0	0	0	(11,280)
<b>Reported Tax Collections</b>	<b>52,150</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>52,150</b>
STAR (Dedicated Deposits)	(2,176)	2,176	0	0	0
RBTF (Dedicated Transfers)	(26,075)	0	0	26,075	0
<b>Personal Income Tax</b>	<b>23,899</b>	<b>2,176</b>	<b>0</b>	<b>26,075</b>	<b>52,150</b>
Sales and Use Tax	15,136	1,022	0	0	16,158
Cigarette and Tobacco Taxes	310	731	0	0	1,041
Vapor Excise Tax	0	10	0	0	10
Motor Fuel Tax	0	108	407	0	515
Alcoholic Beverage Taxes	265	0	0	0	265
Opioid Excise Tax	66	0	0	0	66
Medical Cannabis Excise Tax	0	4	0	0	4
Adult Use Cannabis Tax	0	0	0	0	0
Highway Use Tax	0	0	141	0	141
Auto Rental Tax	0	20	88	0	108
Taxicab Surcharge	0	0	0	0	0
<b>Gross Consumption/Use Taxes</b>	<b>15,777</b>	<b>1,895</b>	<b>636</b>	<b>0</b>	<b>18,308</b>
LGAC/STBF (Dedicated Transfers)	(7,568)	0	0	7,568	0
<b>Consumption/Use Taxes</b>	<b>8,209</b>	<b>1,895</b>	<b>636</b>	<b>7,568</b>	<b>18,308</b>
Corporation Franchise Tax	3,363	882	0	0	4,245
Corporation and Utilities Tax	557	158	14	0	729
Insurance Taxes	2,017	234	0	0	2,251
Bank Tax	140	27	0	0	167
Petroleum Business Tax	0	510	651	0	1,161
<b>Business Taxes</b>	<b>6,077</b>	<b>1,811</b>	<b>665</b>	<b>0</b>	<b>8,553</b>
Estate Tax	1,094	0	0	0	1,094
Real Estate Transfer Tax	1,148	0	0	0	1,148
Employer Compensation Expense Program	2	0	0	0	2
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	15	0	0	0	15
Other Taxes	3	0	0	0	3
<b>Gross Other Taxes</b>	<b>2,262</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>2,262</b>
Real Estate Transfer Tax (Dedicated)	(1,148)	0	119	1,029	0
RBTF (Dedicated Transfers)	(1)	0	0	1	0
<b>Other Taxes</b>	<b>1,113</b>	<b>0</b>	<b>119</b>	<b>1,030</b>	<b>2,262</b>
<b>Payroll Tax</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Total Taxes</b>	<b>39,298</b>	<b>5,882</b>	<b>1,420</b>	<b>34,673</b>	<b>81,273</b>
Licenses, Fees, Etc.	694	0	0	0	694
Abandoned Property	450	0	0	0	450
Motor Vehicle Fees	299	229	808	0	1,336
ABC License Fee	66	0	0	0	66
Reimbursements	112	0	0	0	112
Investment Income	100	0	0	0	100
Extraordinary Settlements	760	0	0	0	760
Other Transactions	409	17,728	7,298	394	25,829
<b>Miscellaneous Receipts</b>	<b>2,890</b>	<b>17,957</b>	<b>8,106</b>	<b>394</b>	<b>29,347</b>
<b>Federal Receipts</b>	<b>0</b>	<b>64,021</b>	<b>2,229</b>	<b>74</b>	<b>66,324</b>
<b>Total</b>	<b>42,188</b>	<b>87,860</b>	<b>11,755</b>	<b>35,141</b>	<b>176,944</b>

**CASH RECEIPTS**  
**ALL GOVERNMENTAL FUNDS**  
**FY 2021**  
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	Total
<b>Taxes:</b>					
Withholdings	44,556	0	0	0	44,556
Estimated Payments	17,169	0	0	0	17,169
Final Payments	3,508	0	0	0	3,508
Other Payments	1,606	0	0	0	1,606
<b>Gross Collections</b>	<b>66,839</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>66,839</b>
State/City Offset	(1,424)	0	0	0	(1,424)
Refunds	(10,039)	0	0	0	(10,039)
<b>Reported Tax Collections</b>	<b>55,376</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>55,376</b>
STAR (Dedicated Deposits)	(2,073)	2,073	0	0	0
RBTF (Dedicated Transfers)	(27,688)	0	0	27,688	0
<b>Personal Income Tax</b>	<b>25,615</b>	<b>2,073</b>	<b>0</b>	<b>27,688</b>	<b>55,376</b>
Sales and Use Tax	15,786	1,063	0	0	16,849
Cigarette and Tobacco Taxes	296	685	0	0	981
Vapor Excise Tax	0	39	0	0	39
Motor Fuel Tax	0	108	407	0	515
Alcoholic Beverage Taxes	269	0	0	0	269
Opioid Excise Tax	100	0	0	0	100
Medical Cannabis Excise Tax	0	4	0	0	4
Adult Use Cannabis Tax	0	0	0	0	0
Highway Use Tax	0	0	143	0	143
Auto Rental Tax	0	26	88	0	114
Taxicab Surcharge	0	0	0	0	0
<b>Gross Consumption/Use Taxes</b>	<b>16,451</b>	<b>1,925</b>	<b>638</b>	<b>0</b>	<b>19,014</b>
LGAC/STBF (Dedicated Transfers)	(7,893)	0	0	7,893	0
<b>Consumption/Use Taxes</b>	<b>8,558</b>	<b>1,925</b>	<b>638</b>	<b>7,893</b>	<b>19,014</b>
Corporation Franchise Tax	3,843	932	0	0	4,775
Corporation and Utilities Tax	537	155	14	0	706
Insurance Taxes	2,092	252	0	0	2,344
Bank Tax	0	0	0	0	0
Petroleum Business Tax	0	487	622	0	1,109
<b>Business Taxes</b>	<b>6,472</b>	<b>1,826</b>	<b>636</b>	<b>0</b>	<b>8,934</b>
Estate Tax	1,153	0	0	0	1,153
Real Estate Transfer Tax	1,183	0	0	0	1,183
Employer Compensation Expense Program	8	0	0	0	8
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	15	0	0	0	15
Other Taxes	3	0	0	0	3
<b>Gross Other Taxes</b>	<b>2,362</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>2,362</b>
Real Estate Transfer Tax (Dedicated)	(1,183)	0	119	1,064	0
RBTF (Dedicated Transfers)	(4)	0	0	4	0
<b>Other Taxes</b>	<b>1,175</b>	<b>0</b>	<b>119</b>	<b>1,068</b>	<b>2,362</b>
<b>Payroll Tax</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Total Taxes</b>	<b>41,820</b>	<b>5,824</b>	<b>1,393</b>	<b>36,649</b>	<b>85,686</b>
Licenses, Fees, Etc.	678	0	0	0	678
Abandoned Property	450	0	0	0	450
Motor Vehicle Fees	301	229	815	0	1,345
ABC License Fee	62	0	0	0	62
Reimbursements	110	0	0	0	110
Investment Income	50	0	0	0	50
Extraordinary Settlements	0	0	0	0	0
Other Transactions	398	16,101	6,347	394	23,240
<b>Miscellaneous Receipts</b>	<b>2,049</b>	<b>16,330</b>	<b>7,162</b>	<b>394</b>	<b>25,935</b>
<b>Federal Receipts</b>	<b>0</b>	<b>62,295</b>	<b>2,187</b>	<b>73</b>	<b>64,555</b>
<b>Total</b>	<b>43,869</b>	<b>84,449</b>	<b>10,742</b>	<b>37,116</b>	<b>176,176</b>

**CASH RECEIPTS**  
**ALL GOVERNMENTAL FUNDS**  
**FY 2022**  
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	Total
<b>Taxes:</b>					
Withholdings	46,738	0	0	0	46,738
Estimated Payments	18,751	0	0	0	18,751
Final Payments	3,632	0	0	0	3,632
Other Payments	1,676	0	0	0	1,676
<b>Gross Collections</b>	<b>70,797</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>70,797</b>
State/City Offset	(1,549)	0	0	0	(1,549)
Refunds	(11,212)	0	0	0	(11,212)
<b>Reported Tax Collections</b>	<b>58,036</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>58,036</b>
STAR (Dedicated Deposits)	(1,979)	1,979	0	0	0
RBTF (Dedicated Transfers)	(29,018)	0	0	29,018	0
<b>Personal Income Tax</b>	<b>27,039</b>	<b>1,979</b>	<b>0</b>	<b>29,018</b>	<b>58,036</b>
Sales and Use Tax	16,320	1,099	0	0	17,419
Cigarette and Tobacco Taxes	285	651	0	0	936
Vapor Excise Tax	0	39	0	0	39
Motor Fuel Tax	0	108	407	0	515
Alcoholic Beverage Taxes	272	0	0	0	272
Opioid Excise Tax	100	0	0	0	100
Medical Cannabis Excise Tax	0	4	0	0	4
Adult Use Cannabis Tax	0	0	0	0	0
Highway Use Tax	0	1	144	0	145
Auto Rental Tax	0	27	91	0	118
Taxicab Surcharge	0	0	0	0	0
<b>Gross Consumption/Use Taxes</b>	<b>16,977</b>	<b>1,929</b>	<b>642</b>	<b>0</b>	<b>19,548</b>
LGAC/STBF (Dedicated Transfers)	(8,160)	0	0	8,160	0
<b>Consumption/Use Taxes</b>	<b>8,817</b>	<b>1,929</b>	<b>642</b>	<b>8,160</b>	<b>19,548</b>
Corporation Franchise Tax	3,855	978	0	0	4,833
Corporation and Utilities Tax	543	156	14	0	713
Insurance Taxes	2,152	261	0	0	2,413
Bank Tax	0	0	0	0	0
Petroleum Business Tax	0	479	611	0	1,090
<b>Business Taxes</b>	<b>6,550</b>	<b>1,874</b>	<b>625</b>	<b>0</b>	<b>9,049</b>
Estate Tax	1,214	0	0	0	1,214
Real Estate Transfer Tax	1,219	0	0	0	1,219
Employer Compensation Expense Program	21	0	0	0	21
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	15	0	0	0	15
Other Taxes	3	0	0	0	3
<b>Gross Other Taxes</b>	<b>2,472</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>2,472</b>
Real Estate Transfer Tax (Dedicated)	(1,219)	0	119	1,100	0
RBTF (Dedicated Transfers)	(11)	0	0	11	0
<b>Other Taxes</b>	<b>1,242</b>	<b>0</b>	<b>119</b>	<b>1,111</b>	<b>2,472</b>
<b>Payroll Tax</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Total Taxes</b>	<b>43,648</b>	<b>5,782</b>	<b>1,386</b>	<b>38,289</b>	<b>89,105</b>
Licenses, Fees, Etc.	678	0	0	0	678
Abandoned Property	450	0	0	0	450
Motor Vehicle Fees	216	229	801	0	1,246
ABC License Fee	68	0	0	0	68
Reimbursements	57	0	0	0	57
Investment Income	25	0	0	0	25
Extraordinary Settlements	0	0	0	0	0
Other Transactions	398	16,449	5,250	393	22,490
<b>Miscellaneous Receipts</b>	<b>1,892</b>	<b>16,678</b>	<b>6,051</b>	<b>393</b>	<b>25,014</b>
<b>Federal Receipts</b>	<b>0</b>	<b>63,994</b>	<b>2,187</b>	<b>72</b>	<b>66,253</b>
<b>Total</b>	<b>45,540</b>	<b>86,454</b>	<b>9,624</b>	<b>38,754</b>	<b>180,372</b>



**CASH RECEIPTS**  
**ALL GOVERNMENTAL FUNDS**  
**FY 2023**  
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	Total
<b>Taxes:</b>					
Withholdings	48,672	0	0	0	48,672
Estimated Payments	20,391	0	0	0	20,391
Final Payments	3,789	0	0	0	3,789
Other Payments	1,726	0	0	0	1,726
<b>Gross Collections</b>	<b>74,578</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>74,578</b>
State/City Offset	(1,674)	0	0	0	(1,674)
Refunds	(11,726)	0	0	0	(11,726)
<b>Reported Tax Collections</b>	<b>61,178</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>61,178</b>
STAR (Dedicated Deposits)	(1,858)	1,858	0	0	0
RBTF (Dedicated Transfers)	(30,589)	0	0	30,589	0
<b>Personal Income Tax</b>	<b>28,731</b>	<b>1,858</b>	<b>0</b>	<b>30,589</b>	<b>61,178</b>
Sales and Use Tax	16,890	1,137	0	0	18,027
Cigarette and Tobacco Taxes	275	620	0	0	895
Vapor Excise Tax	0	39	0	0	39
Motor Fuel Tax	0	108	407	0	515
Alcoholic Beverage Taxes	275	0	0	0	275
Opioid Excise Tax	100	0	0	0	100
Medical Cannabis Excise Tax	0	4	0	0	4
Adult Use Cannabis Tax	0	0	0	0	0
Highway Use Tax	0	0	146	0	146
Auto Rental Tax	0	28	94	0	122
Taxicab Surcharge	0	0	0	0	0
<b>Gross Consumption/Use Taxes</b>	<b>17,540</b>	<b>1,936</b>	<b>647</b>	<b>0</b>	<b>20,123</b>
LGAC/STBF (Dedicated Transfers)	(8,445)	0	0	8,445	0
<b>Consumption/Use Taxes</b>	<b>9,095</b>	<b>1,936</b>	<b>647</b>	<b>8,445</b>	<b>20,123</b>
Corporation Franchise Tax	4,053	1,024	0	0	5,077
Corporation and Utilities Tax	552	158	14	0	724
Insurance Taxes	2,266	275	0	0	2,541
Bank Tax	0	0	0	0	0
Petroleum Business Tax	0	469	606	0	1,075
<b>Business Taxes</b>	<b>6,871</b>	<b>1,926</b>	<b>620</b>	<b>0</b>	<b>9,417</b>
Estate Tax	1,277	0	0	0	1,277
Real Estate Transfer Tax	1,263	0	0	0	1,263
Employer Compensation Expense Program	23	0	0	0	23
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	15	0	0	0	15
Other Taxes	3	0	0	0	3
<b>Gross Other Taxes</b>	<b>2,581</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>2,581</b>
Real Estate Transfer Tax (Dedicated)	(1,263)	0	119	1,144	0
RBTF (Dedicated Transfers)	(12)	0	0	12	0
<b>Other Taxes</b>	<b>1,306</b>	<b>0</b>	<b>119</b>	<b>1,156</b>	<b>2,581</b>
<b>Payroll Tax</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Total Taxes</b>	<b>46,003</b>	<b>5,720</b>	<b>1,386</b>	<b>40,190</b>	<b>93,299</b>
Licenses, Fees, Etc.	678	0	0	0	678
Abandoned Property	450	0	0	0	450
Motor Vehicle Fees	210	229	796	0	1,235
ABC License Fee	64	0	0	0	64
Reimbursements	57	0	0	0	57
Investment Income	12	0	0	0	12
Extraordinary Settlements	0	0	0	0	0
Other Transactions	398	15,928	5,171	392	21,889
<b>Miscellaneous Receipts</b>	<b>1,869</b>	<b>16,157</b>	<b>5,967</b>	<b>392</b>	<b>24,385</b>
<b>Federal Receipts</b>	<b>0</b>	<b>66,559</b>	<b>2,187</b>	<b>69</b>	<b>68,815</b>
<b>Total</b>	<b>47,872</b>	<b>88,436</b>	<b>9,540</b>	<b>40,651</b>	<b>186,499</b>

**STATE RECEIPTS**  
**ALL GOVERNMENTAL FUNDS**  
(millions of dollars)

	FY 2019 Results	FY 2020 First Quarter	Annual \$ Change	Annual % Change
<b>Taxes:</b>				
Withholdings	41,084	42,900	1,816	4.4%
Estimated Payments	14,010	16,972	2,962	21.1%
Final Payments	2,685	3,348	663	24.7%
Other Payments	1,396	1,509	113	8.1%
<b>Gross Collections</b>	<b>59,175</b>	<b>64,729</b>	<b>5,554</b>	<b>9.4%</b>
State/City Offset	(1,135)	(1,299)	(164)	-14.4%
Refunds	(9,952)	(11,280)	(1,328)	-13.3%
<b>Reported Tax Collections</b>	<b>48,088</b>	<b>52,150</b>	<b>4,062</b>	<b>8.4%</b>
STAR (Dedicated Deposits)	0	0	0	0.0%
RBTF (Dedicated Transfers)	0	0	0	0.0%
<b>Personal Income Tax</b>	<b>48,088</b>	<b>52,150</b>	<b>4,062</b>	<b>8.4%</b>
Sales and Use Tax	15,128	16,158	1,030	6.8%
Cigarette and Tobacco Taxes	1,108	1,041	(67)	-6.0%
Vapor Excise Tax	0	10	10	0.0%
Motor Fuel Tax	528	515	(13)	-2.5%
Alcoholic Beverage Taxes	262	265	3	1.1%
Opioid Excise Tax	0	66	66	0.0%
Medical Cannabis Excise Tax	4	4	0	0.0%
Adult Use Cannabis Tax	0	0	0	0.0%
Highway Use Tax	145	141	(4)	-2.8%
Auto Rental Tax	130	108	(22)	-16.9%
Taxicab Surcharge	52	0	(52)	-100.0%
<b>Gross Consumption/Use Taxes</b>	<b>17,357</b>	<b>18,308</b>	<b>951</b>	<b>5.5%</b>
LGAC/STBF (Dedicated Transfers)	0	0	0	0.0%
<b>Consumption/Use Taxes</b>	<b>17,357</b>	<b>18,308</b>	<b>951</b>	<b>5.5%</b>
Corporation Franchise Tax	4,297	4,245	(52)	-1.2%
Corporation and Utilities Tax	672	729	57	8.5%
Insurance Taxes	1,837	2,251	414	22.5%
Bank Tax	(60)	167	227	378.3%
Petroleum Business Tax	1,166	1,161	(5)	-0.4%
<b>Business Taxes</b>	<b>7,912</b>	<b>8,553</b>	<b>641</b>	<b>8.1%</b>
Estate Tax	1,068	1,094	26	2.4%
Real Estate Transfer Tax	1,135	1,148	13	1.1%
Employer Compensation Expense Program	0	2	2	0.0%
Gift Tax	0	0	0	0.0%
Real Property Gains Tax	0	0	0	0.0%
Pari-Mutuel Taxes	15	15	0	0.0%
Other Taxes	3	3	0	0.0%
<b>Gross Other Taxes</b>	<b>2,221</b>	<b>2,262</b>	<b>41</b>	<b>1.8%</b>
Real Estate Transfer Tax (Dedicated)	0	0	0	0.0%
RBTF (Dedicated Transfers)	0	0	0	0.0%
<b>Other Taxes</b>	<b>2,221</b>	<b>2,262</b>	<b>41</b>	<b>1.8%</b>
<b>Payroll Tax</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0%</b>
<b>Total Taxes</b>	<b>75,578</b>	<b>81,273</b>	<b>5,695</b>	<b>7.5%</b>
Licenses, Fees, Etc.	744	694	(50)	-6.7%
Abandoned Property	494	450	(44)	-8.9%
Motor Vehicle Fees	1,530	1,336	(194)	-12.7%
ABC License Fee	74	66	(8)	-10.8%
Reimbursements	161	112	(49)	-30.4%
Investment Income	134	100	(34)	-25.4%
Extraordinary Settlements	1,106	760	(346)	-31.3%
Other Transactions	26,941	25,829	(1,112)	-4.1%
<b>Miscellaneous Receipts</b>	<b>31,184</b>	<b>29,347</b>	<b>(1,837)</b>	<b>-5.9%</b>
<b>Federal Receipts</b>	<b>61,344</b>	<b>66,324</b>	<b>4,980</b>	<b>8.1%</b>
<b>Total</b>	<b>168,106</b>	<b>176,944</b>	<b>8,838</b>	<b>5.3%</b>

**CASH FINANCIAL PLAN  
SPECIAL REVENUE FUNDS  
FY 2019  
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
<b>Opening Fund Balance</b>	<b>4,009</b>	<b>293</b>	<b>4,302</b>
<b>Receipts:</b>			
Taxes	6,121	0	6,121
Miscellaneous Receipts	19,466	202	19,668
Federal Receipts	(1)	58,921	58,920
<b>Total Receipts</b>	<b>25,586</b>	<b>59,123</b>	<b>84,709</b>
<b>Disbursements:</b>			
Local Assistance	16,432	56,021	72,453
State Operations:			
Personal Service	4,968	637	5,605
Non-Personal Service	2,710	1,394	4,104
General State Charges	1,065	420	1,485
Capital Projects	0	0	0
<b>Total Disbursements</b>	<b>25,175</b>	<b>58,472</b>	<b>83,647</b>
<b>Other Financing Sources (Uses):</b>			
Transfers from Other Funds	1,906	0	1,906
Transfers to Other Funds	(1,235)	(2,193)	(3,428)
<b>Net Other Financing Sources (Uses)</b>	<b>671</b>	<b>(2,193)</b>	<b>(1,522)</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<b>1,082</b>	<b>(1,542)</b>	<b>(460)</b>
<b>Closing Fund Balance</b>	<b>5,091</b>	<b>(1,249)</b>	<b>3,842</b>

**CASH FINANCIAL PLAN  
SPECIAL REVENUE FUNDS  
FY 2020  
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
<b>Opening Fund Balance</b>	<b>5,091</b>	<b>(1,249)</b>	<b>3,842</b>
<b>Receipts:</b>			
Taxes	5,882	0	5,882
Miscellaneous Receipts	17,755	202	17,957
Federal Receipts	1	64,020	64,021
<b>Total Receipts</b>	<b>23,638</b>	<b>64,222</b>	<b>87,860</b>
<b>Disbursements:</b>			
Local Assistance	16,371	57,483	73,854
State Operations:			
Personal Service	5,197	654	5,851
Non-Personal Service	2,577	1,447	4,024
General State Charges	1,080	337	1,417
Capital Projects	0	0	0
<b>Total Disbursements</b>	<b>25,225</b>	<b>59,921</b>	<b>85,146</b>
<b>Other Financing Sources (Uses):</b>			
Transfers from Other Funds	2,373	12	2,385
Transfers to Other Funds	(1,360)	(1,991)	(3,351)
<b>Net Other Financing Sources (Uses)</b>	<b>1,013</b>	<b>(1,979)</b>	<b>(966)</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<b>(574)</b>	<b>2,322</b>	<b>1,748</b>
<b>Closing Fund Balance</b>	<b>4,517</b>	<b>1,073</b>	<b>5,590</b>

**CASH FINANCIAL PLAN  
SPECIAL REVENUE FUNDS  
FY 2021  
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
<b>Opening Fund Balance</b>	<b>4,517</b>	<b>1,073</b>	<b>5,590</b>
<b>Receipts:</b>			
Taxes	5,824	0	5,824
Miscellaneous Receipts	16,125	205	16,330
Federal Receipts	1	62,294	62,295
<b>Total Receipts</b>	<b>21,950</b>	<b>62,499</b>	<b>84,449</b>
<b>Disbursements:</b>			
Local Assistance	15,681	57,557	73,238
State Operations:			
Personal Service	5,114	679	5,793
Non-Personal Service	2,451	1,414	3,865
General State Charges	1,141	350	1,491
Capital Projects	0	0	0
<b>Total Disbursements</b>	<b>24,387</b>	<b>60,000</b>	<b>84,387</b>
<b>Other Financing Sources (Uses):</b>			
Transfers from Other Funds	2,538	12	2,550
Transfers to Other Funds	(550)	(1,878)	(2,428)
<b>Net Other Financing Sources (Uses)</b>	<b>1,988</b>	<b>(1,866)</b>	<b>122</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<b>(449)</b>	<b>633</b>	<b>184</b>
<b>Closing Fund Balance</b>	<b>4,068</b>	<b>1,706</b>	<b>5,774</b>

**CASH FINANCIAL PLAN  
SPECIAL REVENUE FUNDS  
FY 2022  
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
<b>Opening Fund Balance</b>	<b>4,068</b>	<b>1,706</b>	<b>5,774</b>
<b>Receipts:</b>			
Taxes	5,782	0	5,782
Miscellaneous Receipts	16,473	205	16,678
Federal Receipts	1	63,993	63,994
<b>Total Receipts</b>	<b>22,256</b>	<b>64,198</b>	<b>86,454</b>
<b>Disbursements:</b>			
Local Assistance	15,988	59,050	75,038
State Operations:			
Personal Service	5,137	680	5,817
Non-Personal Service	2,506	1,425	3,931
General State Charges	1,154	373	1,527
Capital Projects	0	0	0
<b>Total Disbursements</b>	<b>24,785</b>	<b>61,528</b>	<b>86,313</b>
<b>Other Financing Sources (Uses):</b>			
Transfers from Other Funds	2,712	12	2,724
Transfers to Other Funds	(216)	(1,818)	(2,034)
<b>Net Other Financing Sources (Uses)</b>	<b>2,496</b>	<b>(1,806)</b>	<b>690</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<b>(33)</b>	<b>864</b>	<b>831</b>
<b>Closing Fund Balance</b>	<b>4,035</b>	<b>2,570</b>	<b>6,605</b>

**CASH FINANCIAL PLAN  
SPECIAL REVENUE FUNDS  
FY 2023  
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
<b>Opening Fund Balance</b>	<b>4,035</b>	<b>2,570</b>	<b>6,605</b>
<b>Receipts:</b>			
Taxes	5,720	0	5,720
Miscellaneous Receipts	15,952	205	16,157
Federal Receipts	1	66,558	66,559
<b>Total Receipts</b>	<b>21,673</b>	<b>66,763</b>	<b>88,436</b>
<b>Disbursements:</b>			
Local Assistance	15,611	61,513	77,124
State Operations:			
Personal Service	5,216	682	5,898
Non-Personal Service	2,477	1,394	3,871
General State Charges	1,184	373	1,557
Capital Projects	0	0	0
<b>Total Disbursements</b>	<b>24,488</b>	<b>63,962</b>	<b>88,450</b>
<b>Other Financing Sources (Uses):</b>			
Transfers from Other Funds	2,866	12	2,878
Transfers to Other Funds	(139)	(1,705)	(1,844)
<b>Net Other Financing Sources (Uses)</b>	<b>2,727</b>	<b>(1,693)</b>	<b>1,034</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<b>(88)</b>	<b>1,108</b>	<b>1,020</b>
<b>Closing Fund Balance</b>	<b>3,947</b>	<b>3,678</b>	<b>7,625</b>

**CASH FINANCIAL PLAN  
SPECIAL REVENUE FUNDS  
(millions of dollars)**

	<u>FY 2019 Results</u>	<u>FY 2020 First Quarter</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
<b>Opening Fund Balance</b>	<b>4,302</b>	<b>3,842</b>	<b>(460)</b>	<b>-10.7%</b>
<b>Receipts:</b>				
Taxes	6,121	5,882	(239)	-3.9%
Miscellaneous Receipts	19,668	17,957	(1,711)	-8.7%
Federal Receipts	58,920	64,021	5,101	8.7%
<b>Total Receipts</b>	<b>84,709</b>	<b>87,860</b>	<b>3,151</b>	<b>3.7%</b>
<b>Disbursements:</b>				
Local Assistance	72,453	73,854	1,401	1.9%
State Operations:				
Personal Service	5,605	5,851	246	4.4%
Non-Personal Service	4,104	4,024	(80)	-1.9%
General State Charges	1,485	1,417	(68)	-4.6%
Debt Service	0	0	0	0.0%
Capital Projects	0	0	0	0.0%
<b>Total Disbursements</b>	<b>83,647</b>	<b>85,146</b>	<b>1,499</b>	<b>1.8%</b>
<b>Other Financing Sources (Uses):</b>				
Transfers from Other Funds	1,906	2,385	479	25.1%
Transfers to Other Funds	(3,428)	(3,351)	77	2.2%
<b>Net Other Financing Sources (Uses)</b>	<b>(1,522)</b>	<b>(966)</b>	<b>556</b>	<b>36.5%</b>
<b>Excess (Deficiency) of Receipts and Other</b>	<b>(460)</b>	<b>1,748</b>	<b>2,208</b>	<b>480.0%</b>
<b>Closing Fund Balance</b>	<b>3,842</b>	<b>5,590</b>	<b>1,748</b>	<b>45.5%</b>



**CASH RECEIPTS**  
**SPECIAL REVENUE FUNDS**  
(millions of dollars)

	FY 2020 First Quarter	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
<b>Personal Income Tax</b>	<b>2,176</b>	<b>2,073</b>	<b>1,979</b>	<b>1,858</b>
<b>Consumption/Use Taxes</b>	<b>1,895</b>	<b>1,925</b>	<b>1,929</b>	<b>1,936</b>
Sales and Use Tax	1,022	1,063	1,099	1,137
Cigarette and Tobacco Taxes	731	685	651	620
Vapor Excise Tax	10	39	39	39
Motor Fuel Tax	108	108	108	108
Highway Use Tax	0	0	1	0
Medical Cannabis Excise Tax	4	4	4	4
Adult Use Cannabis Tax	0	0	0	0
Auto Rental Tax	20	26	27	28
Taxicab Surcharge	0	0	0	0
<b>Business Taxes</b>	<b>1,811</b>	<b>1,826</b>	<b>1,874</b>	<b>1,926</b>
Corporation Franchise Tax	882	932	978	1,024
Corporation and Utilities Tax	158	155	156	158
Insurance Taxes	234	252	261	275
Bank Tax	27	0	0	0
Petroleum Business Tax	510	487	479	469
<b>Payroll Tax</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Total Taxes</b>	<b>5,882</b>	<b>5,824</b>	<b>5,782</b>	<b>5,720</b>
<b>Miscellaneous Receipts</b>	<b>17,957</b>	<b>16,330</b>	<b>16,678</b>	<b>16,157</b>
HCRA	5,256	5,329	5,404	5,480
State University Income	4,671	4,988	5,168	5,400
Lottery	3,457	3,467	3,467	3,468
Medicaid	845	875	906	938
Industry Assessments	690	709	703	703
Motor Vehicle Fees	229	229	229	229
All Other	2,809	733	801	(61)
<b>Federal Receipts</b>	<b>64,021</b>	<b>62,295</b>	<b>63,994</b>	<b>66,559</b>
<b>Total</b>	<b>87,860</b>	<b>84,449</b>	<b>86,454</b>	<b>88,436</b>

**CASH RECEIPTS**  
**SPECIAL REVENUE FUNDS**  
(millions of dollars)

	<b>FY 2019</b>	<b>FY 2020</b>	<b>Annual</b>	<b>Annual</b>
	<b>Results</b>	<b>First Quarter</b>	<b>\$ Change</b>	<b>% Change</b>
<b>Personal Income Tax</b>	<b>2,423</b>	<b>2,176</b>	<b>(247)</b>	<b>-10.2%</b>
<b>Consumption/Use Taxes</b>	<b>1,957</b>	<b>1,895</b>	<b>(62)</b>	<b>-3.2%</b>
Sales and Use Tax	963	1,022	59	6.1%
Cigarette and Tobacco Taxes	780	731	(49)	-6.3%
Vapor Excise Tax	0	10	10	0.0%
Motor Fuel Tax	111	108	(3)	-2.7%
Highway Use Tax	(2)	0	2	100.0%
Medical Cannabis Excise Tax	4	4	0	0.0%
Adult Use Cannabis Tax	0	0	0	0.0%
Auto Rental Tax	49	20	(29)	-59.2%
Taxicab Surcharge	52	0	(52)	-100.0%
<b>Business Taxes</b>	<b>1,741</b>	<b>1,811</b>	<b>70</b>	<b>4.0%</b>
Corporation Franchise Tax	887	882	(5)	-0.6%
Corporation and Utilities Tax	162	158	(4)	-2.5%
Insurance Taxes	199	234	35	17.6%
Bank Tax	(18)	27	45	250.0%
Petroleum Business Tax	511	510	(1)	-0.2%
<b>Payroll Tax</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0%</b>
<b>Total Taxes</b>	<b>6,121</b>	<b>5,882</b>	<b>(239)</b>	<b>-3.9%</b>
<b>Miscellaneous Receipts</b>	<b>19,668</b>	<b>17,957</b>	<b>(1,711)</b>	<b>-8.7%</b>
HCRA	5,180	5,256	76	1.5%
State University Income	4,778	4,671	(107)	-2.2%
Lottery	3,519	3,457	(62)	-1.8%
Medicaid	886	845	(41)	-4.6%
Industry Assessments	707	690	(17)	-2.4%
Motor Vehicle Fees	419	229	(190)	-45.3%
All Other	4,179	2,809	(1,370)	-32.8%
<b>Federal Receipts</b>	<b>58,920</b>	<b>64,021</b>	<b>5,101</b>	<b>8.7%</b>
<b>Total</b>	<b>84,709</b>	<b>87,860</b>	<b>3,151</b>	<b>3.7%</b>

**CASH FINANCIAL PLAN  
CAPITAL PROJECTS FUNDS  
FY 2019  
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
<b>Opening Fund Balance</b>	<b>(568)</b>	<b>(583)</b>	<b>(1,151)</b>
<b>Receipts:</b>			
Taxes	1,434	0	1,434
Miscellaneous Receipts	7,496	1	7,497
Federal Receipts	5	2,345	2,350
<b>Total Receipts</b>	<b>8,935</b>	<b>2,346</b>	<b>11,281</b>
<b>Disbursements:</b>			
Local Assistance	4,516	718	5,234
State Operations:			
Personal Service	0	0	0
Non-Personal Service	0	0	0
General State Charges	0	0	0
Debt Service	0	0	0
Capital Projects	5,806	1,226	7,032
<b>Total Disbursements</b>	<b>10,322</b>	<b>1,944</b>	<b>12,266</b>
<b>Other Financing Sources (Uses):</b>			
Transfers from Other Funds	2,543	(324)	2,219
Transfers to Other Funds	(1,354)	0	(1,354)
Bond and Note Proceeds	133	0	133
<b>Net Other Financing Sources (Uses)</b>	<b>1,322</b>	<b>(324)</b>	<b>998</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<b>(65)</b>	<b>78</b>	<b>13</b>
<b>Closing Fund Balance</b>	<b>(633)</b>	<b>(505)</b>	<b>(1,138)</b>

**CASH FINANCIAL PLAN  
CAPITAL PROJECTS FUNDS  
FY 2020  
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
<b>Opening Fund Balance</b>	<b>(633)</b>	<b>(505)</b>	<b>(1,138)</b>
<b>Receipts:</b>			
Taxes	1,420	0	1,420
Miscellaneous Receipts	8,106	0	8,106
Federal Receipts	5	2,224	2,229
<b>Total Receipts</b>	<b>9,531</b>	<b>2,224</b>	<b>11,755</b>
<b>Disbursements:</b>			
Local Assistance	4,736	706	5,442
State Operations:			
Personal Service	0	0	0
Non-Personal Service	0	0	0
General State Charges	0	0	0
Debt Service	0	0	0
Capital Projects	7,506	1,095	8,601
<b>Total Disbursements</b>	<b>12,242</b>	<b>1,801</b>	<b>14,043</b>
<b>Other Financing Sources (Uses):</b>			
Transfers from Other Funds	3,927	(395)	3,532
Transfers to Other Funds	(1,574)	(12)	(1,586)
Bond and Note Proceeds	444	0	444
<b>Net Other Financing Sources (Uses)</b>	<b>2,797</b>	<b>(407)</b>	<b>2,390</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<b>86</b>	<b>16</b>	<b>102</b>
<b>Closing Fund Balance</b>	<b>(547)</b>	<b>(489)</b>	<b>(1,036)</b>

**CASH FINANCIAL PLAN  
CAPITAL PROJECTS FUNDS  
FY 2021  
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
<b>Opening Fund Balance</b>	<b>(547)</b>	<b>(489)</b>	<b>(1,036)</b>
<b>Receipts:</b>			
Taxes	1,393	0	1,393
Miscellaneous Receipts	7,162	0	7,162
Federal Receipts	5	2,182	2,187
<b>Total Receipts</b>	<b>8,560</b>	<b>2,182</b>	<b>10,742</b>
<b>Disbursements:</b>			
Local Assistance	4,640	706	5,346
State Operations:			
Personal Service	0	0	0
Non-Personal Service	0	0	0
General State Charges	0	0	0
Debt Service	0	0	0
Capital Projects	7,183	1,047	8,230
<b>Total Disbursements</b>	<b>11,823</b>	<b>1,753</b>	<b>13,576</b>
<b>Other Financing Sources (Uses):</b>			
Transfers from Other Funds	3,988	(401)	3,587
Transfers to Other Funds	(1,569)	(11)	(1,580)
Bond and Note Proceeds	800	0	800
<b>Net Other Financing Sources (Uses)</b>	<b>3,219</b>	<b>(412)</b>	<b>2,807</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<b>(44)</b>	<b>17</b>	<b>(27)</b>
<b>Closing Fund Balance</b>	<b>(591)</b>	<b>(472)</b>	<b>(1,063)</b>

**CASH FINANCIAL PLAN  
CAPITAL PROJECTS FUNDS  
FY 2022  
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
<b>Opening Fund Balance</b>	<b>(591)</b>	<b>(472)</b>	<b>(1,063)</b>
<b>Receipts:</b>			
Taxes	1,386	0	1,386
Miscellaneous Receipts	6,051	0	6,051
Federal Receipts	5	2,182	2,187
<b>Total Receipts</b>	<b>7,442</b>	<b>2,182</b>	<b>9,624</b>
<b>Disbursements:</b>			
Local Assistance	4,080	706	4,786
State Operations:			
Personal Service	0	0	0
Non-Personal Service	0	0	0
General State Charges	0	0	0
Debt Service	0	0	0
Capital Projects	6,175	1,047	7,222
<b>Total Disbursements</b>	<b>10,255</b>	<b>1,753</b>	<b>12,008</b>
<b>Other Financing Sources (Uses):</b>			
Transfers from Other Funds	3,829	(402)	3,427
Transfers to Other Funds	(1,456)	(12)	(1,468)
Bond and Note Proceeds	413	0	413
<b>Net Other Financing Sources (Uses)</b>	<b>2,786</b>	<b>(414)</b>	<b>2,372</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<b>(27)</b>	<b>15</b>	<b>(12)</b>
<b>Closing Fund Balance</b>	<b>(618)</b>	<b>(457)</b>	<b>(1,075)</b>

**CASH FINANCIAL PLAN  
CAPITAL PROJECTS FUNDS  
FY 2023  
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
<b>Opening Fund Balance</b>	<b>(618)</b>	<b>(457)</b>	<b>(1,075)</b>
<b>Receipts:</b>			
Taxes	1,386	0	1,386
Miscellaneous Receipts	5,967	0	5,967
Federal Receipts	5	2,182	2,187
<b>Total Receipts</b>	<b>7,358</b>	<b>2,182</b>	<b>9,540</b>
<b>Disbursements:</b>			
Local Assistance	4,054	706	4,760
State Operations:			
Personal Service	0	0	0
Non-Personal Service	0	0	0
General State Charges	0	0	0
Debt Service	0	0	0
Capital Projects	6,224	1,047	7,271
<b>Total Disbursements</b>	<b>10,278</b>	<b>1,753</b>	<b>12,031</b>
<b>Other Financing Sources (Uses):</b>			
Transfers from Other Funds	4,144	(402)	3,742
Transfers to Other Funds	(1,577)	(12)	(1,589)
Bond and Note Proceeds	322	0	322
<b>Net Other Financing Sources (Uses)</b>	<b>2,889</b>	<b>(414)</b>	<b>2,475</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<b>(31)</b>	<b>15</b>	<b>(16)</b>
<b>Closing Fund Balance</b>	<b>(649)</b>	<b>(442)</b>	<b>(1,091)</b>

**CASH FINANCIAL PLAN  
CAPITAL PROJECTS FUNDS  
(millions of dollars)**

	FY 2019 Results	FY 2020 First Quarter	Annual \$ Change	Annual % Change
<b>Opening Fund Balance</b>	<u>(1,151)</u>	<u>(1,138)</u>	<u>13</u>	<u>1.1%</u>
<b>Receipts:</b>				
Taxes	1,434	1,420	(14)	-1.0%
Miscellaneous Receipts	7,497	8,106	609	8.1%
Federal Receipts	2,350	2,229	(121)	-5.1%
<b>Total Receipts</b>	<u>11,281</u>	<u>11,755</u>	<u>474</u>	<u>4.2%</u>
<b>Disbursements:</b>				
Local Assistance	5,234	5,442	208	4.0%
State Operations:				
Personal Service	0	0	0	0.0%
Non-Personal Service	0	0	0	0.0%
General State Charges	0	0	0	0.0%
Debt Service	0	0	0	0.0%
Capital Projects	7,032	8,601	1,569	22.3%
<b>Total Disbursements</b>	<u>12,266</u>	<u>14,043</u>	<u>1,777</u>	<u>14.5%</u>
<b>Other Financing Sources (Uses):</b>				
Transfers From Other Funds	2,219	3,532	1,313	59.2%
Transfers to Other Funds	(1,354)	(1,586)	(232)	-17.1%
Bond and Note Proceeds	133	444	311	233.8%
<b>Net Other Financing Sources (Uses)</b>	<u>998</u>	<u>2,390</u>	<u>1,392</u>	<u>139.5%</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<u>13</u>	<u>102</u>	<u>89</u>	<u>684.6%</u>
<b>Closing Fund Balance</b>	<u><u>(1,138)</u></u>	<u><u>(1,036)</u></u>	<u><u>102</u></u>	<u><u>9.0%</u></u>



**CASH RECEIPTS  
CAPITAL PROJECTS FUNDS  
(millions of dollars)**

	FY 2020 First Quarter	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
<b>Consumption/Use Taxes</b>	<b>636</b>	<b>638</b>	<b>642</b>	<b>647</b>
Motor Fuel Tax	407	407	407	407
Highway Use Tax	141	143	144	146
Auto Rental Tax	88	88	91	94
<b>Business Taxes</b>	<b>665</b>	<b>636</b>	<b>625</b>	<b>620</b>
Corporation and Utilities Tax	14	14	14	14
Petroleum Business Tax	651	622	611	606
<b>Other Taxes</b>	<b>119</b>	<b>119</b>	<b>119</b>	<b>119</b>
Real Estate Transfer Tax	119	119	119	119
<b>Total Taxes</b>	<b>1,420</b>	<b>1,393</b>	<b>1,386</b>	<b>1,386</b>
<b>Miscellaneous Receipts</b>	<b>8,106</b>	<b>7,162</b>	<b>6,051</b>	<b>5,967</b>
Authority Bond Proceeds	6,465	6,123	5,013	4,936
State Park Fees	159	124	124	124
Environmental Revenues	77	77	77	77
Motor Vehicle Fees	808	815	801	796
All Other	597	23	36	34
<b>Federal Receipts</b>	<b>2,229</b>	<b>2,187</b>	<b>2,187</b>	<b>2,187</b>
<b>Total</b>	<b>11,755</b>	<b>10,742</b>	<b>9,624</b>	<b>9,540</b>

**CASH RECEIPTS  
CAPITAL PROJECTS FUNDS  
(millions of dollars)**

	FY 2019 Results	FY 2020 First Quarter	Annual \$ Change	Annual % Change
<b>Consumption/Use Taxes</b>	<b>645</b>	<b>636</b>	<b>(9)</b>	<b>-1.4%</b>
Motor Fuel Tax	417	407	(10)	-2.4%
Highway Use Tax	147	141	(6)	-4.1%
Auto Rental Tax	81	88	7	8.6%
<b>Business Taxes</b>	<b>670</b>	<b>665</b>	<b>(5)</b>	<b>-0.7%</b>
Corporation and Utilities Tax	15	14	(1)	-6.7%
Petroleum Business Tax	655	651	(4)	-0.6%
<b>Other Taxes</b>	<b>119</b>	<b>119</b>	<b>0</b>	<b>0.0%</b>
Real Estate Transfer Tax	119	119	0	0.0%
<b>Total Taxes</b>	<b>1,434</b>	<b>1,420</b>	<b>(14)</b>	<b>-1.0%</b>
<b>Miscellaneous Receipts</b>	<b>7,497</b>	<b>8,106</b>	<b>609</b>	<b>8.1%</b>
Authority Bond Proceeds	6,494	6,465	(29)	-0.4%
State Park Fees	109	159	50	45.9%
Environmental Revenues	77	77	0	0.0%
Motor Vehicle Fees	794	808	14	1.8%
All Other	23	597	574	2495.7%
<b>Federal Receipts</b>	<b>2,350</b>	<b>2,229</b>	<b>(121)</b>	<b>-5.1%</b>
<b>Total</b>	<b>11,281</b>	<b>11,755</b>	<b>474</b>	<b>4.2%</b>

**CASH DISBURSEMENTS BY FUNCTION**  
**CAPITAL OFF-BUDGET SPENDING**  
(millions of dollars)

	FY 2019 Results	FY 2020 First Quarter	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Economic Development	7	5	5	5	5
Empire State Development Corporation	0	0	0	0	0
<b>Functional Total</b>	<b>7</b>	<b>5</b>	<b>5</b>	<b>5</b>	<b>5</b>
<b>TRANSPORTATION</b>					
Transportation, Department of	0	0	0	0	0
<b>Functional Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>MENTAL HEALTH</b>					
Mental Health, Office of	130	109	100	123	147
People with Developmental Disabilities, Office for	15	35	35	35	35
Alcoholism and Substance Abuse Services, Office of	5	11	11	8	10
<b>Functional Total</b>	<b>150</b>	<b>155</b>	<b>146</b>	<b>166</b>	<b>192</b>
<b>EDUCATION</b>					
Education School Aid	9	15	15	15	17
<b>Functional Total</b>	<b>9</b>	<b>15</b>	<b>15</b>	<b>15</b>	<b>17</b>
<b>HIGHER EDUCATION</b>					
City University of New York	285	376	376	376	376
State University of New York	62	0	0	0	0
<b>Functional Total</b>	<b>347</b>	<b>376</b>	<b>376</b>	<b>376</b>	<b>376</b>
<b>ALL OTHER</b>					
Judiciary	4	1	0	0	0
<b>Functional Total</b>	<b>4</b>	<b>1</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>TOTAL CAPITAL OFF-BUDGET SPENDING</b>	<b>517</b>	<b>552</b>	<b>542</b>	<b>562</b>	<b>590</b>

Note: This table reflects certain capital spending that is not reported in actual cash spending results, but is reported in the State's GAAP Financial Statements. This spending is related to programs which are financed directly from bond proceeds that are on deposit at various public authorities rather than from a short-term loan from the Short-Term Investment Pool or cash from the General Fund.

**CASH RECEIPTS  
DEBT SERVICE FUNDS  
(millions of dollars)**

	FY 2020 First Quarter	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
<b>Personal Income Tax</b>	<b>26,075</b>	<b>27,688</b>	<b>29,018</b>	<b>30,589</b>
<b>Consumption/Use Taxes</b>	<b>7,568</b>	<b>7,893</b>	<b>8,160</b>	<b>8,445</b>
Sales and Use Tax	7,568	7,893	8,160	8,445
<b>Other Taxes</b>	<b>1,030</b>	<b>1,068</b>	<b>1,111</b>	<b>1,156</b>
Real Estate Transfer Tax	1,029	1,064	1,100	1,144
Employer Compensation Expense Program	1	4	11	12
<b>Total Taxes</b>	<b>34,673</b>	<b>36,649</b>	<b>38,289</b>	<b>40,190</b>
<b>Miscellaneous Receipts</b>	<b>394</b>	<b>394</b>	<b>393</b>	<b>392</b>
Mental Hygiene Patient Receipts	246	246	246	246
SUNY Dormitory Fees	0	0	0	0
Health Patient Receipts	144	144	144	144
All Other	4	4	3	2
<b>Federal Receipts</b>	<b>74</b>	<b>73</b>	<b>72</b>	<b>69</b>
<b>Total</b>	<b>35,141</b>	<b>37,116</b>	<b>38,754</b>	<b>40,651</b>

**CASH RECEIPTS  
DEBT SERVICE FUNDS  
(millions of dollars)**

	FY 2019 Results	FY 2020 First Quarter	Annual \$ Change	Annual % Change
<b>Personal Income Tax</b>	<b>24,044</b>	<b>26,075</b>	<b>2,031</b>	<b>8.4%</b>
<b>Consumption/Use Taxes</b>	<b>7,074</b>	<b>7,568</b>	<b>494</b>	<b>7.0%</b>
Sales and Use Tax	7,074	7,568	494	7.0%
<b>Other Taxes</b>	<b>1,016</b>	<b>1,030</b>	<b>14</b>	<b>1.4%</b>
Real Estate Transfer Tax	1,016	1,029	13	1.3%
Employer Compensation Expense Program	0	1	1	0.0%
<b>Total Taxes</b>	<b>32,134</b>	<b>34,673</b>	<b>2,539</b>	<b>7.9%</b>
<b>Miscellaneous Receipts</b>	<b>433</b>	<b>394</b>	<b>(39)</b>	<b>-9.0%</b>
Mental Hygiene Patient Receipts	284	246	(38)	-13.4%
SUNY Dormitory Fees	0	0	0	0.0%
Health Patient Receipts	145	144	(1)	-0.7%
All Other	4	4	0	0.0%
<b>Federal Receipts</b>	<b>74</b>	<b>74</b>	<b>0</b>	<b>0.0%</b>
<b>Total</b>	<b>32,641</b>	<b>35,141</b>	<b>2,500</b>	<b>7.7%</b>

**CASH FINANCIAL PLAN**  
**STATE FUNDS**  
**FY 2019**  
(millions of dollars)

	General Fund	State Special Revenue Funds	State Capital Projects Funds	Debt Service Funds	State Funds Total
<b>Opening Fund Balance</b>	<b>9,445</b>	<b>4,009</b>	<b>(568)</b>	<b>153</b>	<b>13,039</b>
<b>Receipts:</b>					
Taxes	35,889	6,121	1,434	32,134	75,578
Miscellaneous Receipts	3,586	19,466	7,496	433	30,981
Federal Receipts	0	(1)	5	74	78
<b>Total Receipts</b>	<b>39,475</b>	<b>25,586</b>	<b>8,935</b>	<b>32,641</b>	<b>106,637</b>
<b>Disbursements:</b>					
Local Assistance	49,745	16,432	4,516	0	70,693
State Operations:					
Personal Service	8,719	4,968	0	0	13,687
Non-Personal Service	2,622	2,710	0	38	5,370
General State Charges	7,139	1,065	0	0	8,204
Debt Service	0	0	0	6,699	6,699
Capital Projects	0	0	5,806	0	5,806
<b>Total Disbursements</b>	<b>68,225</b>	<b>25,175</b>	<b>10,322</b>	<b>6,737</b>	<b>110,459</b>
<b>Other Financing Sources (Uses):</b>					
Transfers from Other Funds	31,069	1,906	2,543	3,537	39,055
Transfers to Other Funds	(4,558)	(1,235)	(1,354)	(29,529)	(36,676)
Bond and Note Proceeds	0	0	133	0	133
<b>Net Other Financing Sources (Uses)</b>	<b>26,511</b>	<b>671</b>	<b>1,322</b>	<b>(25,992)</b>	<b>2,512</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<b>(2,239)</b>	<b>1,082</b>	<b>(65)</b>	<b>(88)</b>	<b>(1,310)</b>
<b>Closing Fund Balance</b>	<b>7,206</b>	<b>5,091</b>	<b>(633)</b>	<b>65</b>	<b>11,729</b>

**CASH FINANCIAL PLAN**  
**STATE FUNDS**  
**FY 2020**  
(millions of dollars)

	General Fund	State Special Revenue Funds	State Capital Projects Funds	Debt Service Funds	State Funds Total
<b>Opening Fund Balance</b>	7,206	5,091	(633)	65	11,729
<b>Receipts:</b>					
Taxes	39,298	5,882	1,420	34,673	81,273
Miscellaneous Receipts	2,890	17,755	8,106	394	29,145
Federal Receipts	0	1	5	74	80
<b>Total Receipts</b>	<u>42,188</u>	<u>23,638</u>	<u>9,531</u>	<u>35,141</u>	<u>110,498</u>
<b>Disbursements:</b>					
Local Assistance	52,091	16,371	4,736	0	73,198
State Operations:					
Personal Service	9,064	5,197	0	0	14,261
Non-Personal Service	2,870	2,577	0	46	5,493
General State Charges	7,687	1,080	0	0	8,767
Debt Service	0	0	0	5,170	5,170
Capital Projects	0	0	7,506	0	7,506
<b>Total Disbursements</b>	<u>71,712</u>	<u>25,225</u>	<u>12,242</u>	<u>5,216</u>	<u>114,395</u>
<b>Other Financing Sources (Uses):</b>					
Transfers from Other Funds	34,931	2,373	3,927	3,483	44,714
Transfers to Other Funds	(6,114)	(1,360)	(1,574)	(33,426)	(42,474)
Bond and Note Proceeds	0	0	444	0	444
<b>Net Other Financing Sources (Uses)</b>	<u>28,817</u>	<u>1,013</u>	<u>2,797</u>	<u>(29,943)</u>	<u>2,684</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<u>(707)</u>	<u>(574)</u>	<u>86</u>	<u>(18)</u>	<u>(1,213)</u>
<b>Closing Fund Balance</b>	<u>6,499</u>	<u>4,517</u>	<u>(547)</u>	<u>47</u>	<u>10,516</u>

**CASH FINANCIAL PLAN**  
**STATE FUNDS**  
**FY 2021**  
(millions of dollars)

	General Fund	State Special Revenue Funds	State Capital Projects Funds	Debt Service Funds	State Funds Total
<b>Receipts:</b>					
Taxes	41,820	5,824	1,393	36,649	85,686
Miscellaneous Receipts	2,049	16,125	7,162	394	25,730
Federal Receipts	0	1	5	73	79
<b>Total Receipts</b>	<b>43,869</b>	<b>21,950</b>	<b>8,560</b>	<b>37,116</b>	<b>111,495</b>
<b>Disbursements:</b>					
Local Assistance	55,794	15,681	4,640	0	76,115
State Operations:					
Personal Service	9,569	5,114	0	0	14,683
Non-Personal Service	3,051	2,451	0	44	5,546
General State Charges	8,230	1,141	0	0	9,371
Debt Service	0	0	0	6,873	6,873
Capital Projects	0	0	7,183	0	7,183
<b>Total Disbursements</b>	<b>76,644</b>	<b>24,387</b>	<b>11,823</b>	<b>6,917</b>	<b>119,771</b>
<b>Other Financing Sources (Uses):</b>					
Transfers from Other Funds	34,514	2,538	3,988	3,509	44,549
Transfers to Other Funds	(6,584)	(550)	(1,569)	(33,724)	(42,427)
Bond and Note Proceeds	0	0	800	0	800
<b>Net Other Financing Sources (Uses)</b>	<b>27,930</b>	<b>1,988</b>	<b>3,219</b>	<b>(30,215)</b>	<b>2,922</b>
<b>Use (Reservation) of Fund Balance:</b>					
Extraordinary Monetary Settlements	858				
<b>Total Use (Reservation) of Fund Balance</b>	<b>858</b>				
<b>Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)</b>	<b>(3,987)</b>				
<b>Adherence to 2% Spending Benchmark</b>	<b>3,752</b>				
<b>Net General Fund Surplus (Deficit)</b>	<b>(235)</b>				

**CASH FINANCIAL PLAN**  
**STATE FUNDS**  
**FY 2022**  
(millions of dollars)

	General Fund	State Special Revenue Funds	State Capital Projects Funds	Debt Service Funds	State Funds Total
<b>Receipts:</b>					
Taxes	43,648	5,782	1,386	38,289	89,105
Miscellaneous Receipts	1,892	16,473	6,051	393	24,809
Federal Receipts	0	1	5	72	78
<b>Total Receipts</b>	<b>45,540</b>	<b>22,256</b>	<b>7,442</b>	<b>38,754</b>	<b>113,992</b>
<b>Disbursements:</b>					
Local Assistance	57,928	15,988	4,080	0	77,996
State Operations:					
Personal Service	9,547	5,137	0	0	14,684
Non-Personal Service	3,100	2,506	0	44	5,650
General State Charges	8,797	1,154	0	0	9,951
Debt Service	0	0	0	7,125	7,125
Capital Projects	0	0	6,175	0	6,175
<b>Total Disbursements</b>	<b>79,372</b>	<b>24,785</b>	<b>10,255</b>	<b>7,169</b>	<b>121,581</b>
<b>Other Financing Sources (Uses):</b>					
Transfers from Other Funds	35,306	2,712	3,829	3,213	45,060
Transfers to Other Funds	(6,524)	(216)	(1,456)	(34,801)	(42,997)
Bond and Note Proceeds	0	0	413	0	413
<b>Net Other Financing Sources (Uses)</b>	<b>28,782</b>	<b>2,496</b>	<b>2,786</b>	<b>(31,588)</b>	<b>2,476</b>
<b>Use (Reservation) of Fund Balance:</b>					
Extraordinary Monetary Settlements	867				
<b>Total Use (Reservation) of Fund Balance</b>	<b>867</b>				
<b>Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)</b>	<b>(4,183)</b>				
<b>Adherence to 2% Spending Benchmark</b>	<b>5,046</b>				
<b>Net General Fund Surplus (Deficit)</b>	<b>863</b>				

**CASH FINANCIAL PLAN**  
**STATE FUNDS**  
**FY 2023**  
(millions of dollars)

	General Fund	State Special Revenue Funds	State Capital Projects Funds	Debt Service Funds	State Funds Total
<b>Receipts:</b>					
Taxes	46,003	5,720	1,386	40,190	93,299
Miscellaneous Receipts	1,869	15,952	5,967	392	24,180
Federal Receipts	0	1	5	69	75
<b>Total Receipts</b>	<b>47,872</b>	<b>21,673</b>	<b>7,358</b>	<b>40,651</b>	<b>117,554</b>
<b>Disbursements:</b>					
Local Assistance	60,969	15,611	4,054	0	80,634
State Operations:					
Personal Service	9,757	5,216	0	0	14,973
Non-Personal Service	3,199	2,477	0	44	5,720
General State Charges	9,356	1,184	0	0	10,540
Debt Service	0	0	0	7,329	7,329
Capital Projects	0	0	6,224	0	6,224
<b>Total Disbursements</b>	<b>83,281</b>	<b>24,488</b>	<b>10,278</b>	<b>7,373</b>	<b>125,420</b>
<b>Other Financing Sources (Uses):</b>					
Transfers from Other Funds	36,902	2,866	4,144	3,177	47,089
Transfers to Other Funds	(6,968)	(139)	(1,577)	(36,449)	(45,133)
Bond and Note Proceeds	0	0	322	0	322
<b>Net Other Financing Sources (Uses)</b>	<b>29,934</b>	<b>2,727</b>	<b>2,889</b>	<b>(33,272)</b>	<b>2,278</b>
<b>Use (Reservation) of Fund Balance:</b>					
Extraordinary Monetary Settlements	793				
<b>Total Use (Reservation) of Fund Balance</b>	<b>793</b>				
<b>Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)</b>	<b>(4,682)</b>				
<b>Adherence to 2% Spending Benchmark</b>	<b>6,736</b>				
<b>Net General Fund Surplus (Deficit)</b>	<b>2,054</b>				



**CASH FINANCIAL PLAN  
STATE FUNDS  
(millions of dollars)**

	<b>FY 2019 Results</b>	<b>FY 2020 First Quarter</b>	<b>Annual \$ Change</b>	<b>Annual % Change</b>
<b>Opening Fund Balance</b>	<b>13,039</b>	<b>11,729</b>	<b>(1,310)</b>	<b>-10.0%</b>
<b>Receipts:</b>				
Taxes	75,578	81,273	5,695	7.5%
Miscellaneous Receipts	30,981	29,145	(1,836)	-5.9%
Federal Receipts	78	80	2	2.6%
<b>Total Receipts</b>	<b>106,637</b>	<b>110,498</b>	<b>3,861</b>	<b>3.6%</b>
<b>Disbursements:</b>				
Local Assistance	70,693	73,198	2,505	3.5%
State Operations:				
Personal Service	13,687	14,261	574	4.2%
Non-Personal Service	5,370	5,493	123	2.3%
General State Charges	8,204	8,767	563	6.9%
Debt Service	6,699	5,170	(1,529)	-22.8%
Capital Projects	5,806	7,506	1,700	29.3%
<b>Total Disbursements</b>	<b>110,459</b>	<b>114,395</b>	<b>3,936</b>	<b>3.6%</b>
<b>Other Financing Sources (Uses):</b>				
Transfers from Other Funds	39,055	44,714	5,659	14.5%
Transfers to Other Funds	(36,676)	(42,474)	(5,798)	-15.8%
Bond and Note Proceeds	133	444	311	233.8%
<b>Net Other Financing Sources (Uses)</b>	<b>2,512</b>	<b>2,684</b>	<b>172</b>	<b>6.8%</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<b>(1,310)</b>	<b>(1,213)</b>	<b>97</b>	<b>7.4%</b>
<b>Closing Fund Balance</b>	<b>11,729</b>	<b>10,516</b>	<b>(1,213)</b>	<b>-10.3%</b>

**CASHFLOW  
GENERAL FUND  
FY 2019  
(dollars in millions)**

	2018 April Results	2018 May Results	2018 June Results	2018 July Results	2018 August Results	2018 September Results	2018 October Results	2018 November Results	2018 December Results	2019 January Results	2019 February Results	2019 March Results	Total
<b>OPENING BALANCE</b>	9,445	9,938	5,130	6,313	5,417	4,114	6,451	6,566	5,432	6,650	9,468	9,681	9,445
<b>RECEIPTS:</b>													
Personal Income Tax	2,928	1,092	2,476	1,450	1,487	2,398	1,287	1,087	1,986	1,970	1,693	1,767	21,621
Consumption/Use Taxes	544	580	799	598	595	765	588	602	769	631	519	691	7,681
Business Taxes	346	(120)	1,020	168	84	992	96	(14)	1,183	194	(108)	1,660	5,501
Other Taxes	52	82	74	99	74	123	100	427	128	118	57	55	1,086
Total Taxes	3,870	1,634	4,366	2,315	2,240	4,278	2,071	1,802	4,066	2,913	2,161	4,173	35,889
Abandoned Property	1	0	0	0	0	10	45	250	0	0	30	158	494
ABC License Fee	6	7	7	7	5	5	7	4	9	6	6	5	74
Investment Income	17	10	11	7	9	11	4	10	9	8	16	22	134
Licenses, Fees, etc.	51	43	58	62	67	36	60	101	63	41	76	86	744
Motor Vehicle Fees	30	25	13	2	35	3	23	10	72	27	21	56	317
Reimbursements	(17)	(54)	106	(5)	23	26	(74)	90	25	(14)	47	8	161
Extraordinary Settlements	108	74	205	16	0	0	40	558	19	0	87	(1)	1,106
Other Transactions	24	100	(17)	52	26	92	52	49	81	17	12	68	556
Total Miscellaneous Receipts	220	205	383	141	165	183	157	1,072	278	85	295	402	3,586
Federal Receipts	0	0	0	0	0	0	0	0	0	0	0	0	0
PIT in Excess of Revenue Bond Debt Service	2,928	1,070	2,487	1,438	1,056	2,648	1,287	926	2,053	2,761	805	1,887	21,346
ECEP in Excess of Revenue Bond Debt Service	0	0	0	0	0	0	0	0	0	0	0	0	0
Tax in Excess of LGAC	249	218	405	275	250	355	269	274	361	287	(154)	324	3,113
Sales Tax Bond Fund	187	187	291	204	195	320	162	177	262	189	155	324	2,653
Real Estate Taxes in Excess of CW/CA Debt Service	74	92	88	82	105	78	71	75	92	75	74	50	956
All Other	101	81	86	68	172	109	74	42	151	282	187	1,648	3,001
Total Transfers from Other Funds	3,539	1,648	3,357	2,067	1,778	3,510	1,863	1,494	2,919	3,594	1,067	4,233	31,069
TOTAL RECEIPTS	7,629	3,487	8,106	4,523	4,183	7,971	4,091	4,368	7,263	6,592	3,523	8,808	70,544
<b>DISBURSEMENTS:</b>													
School Aid	1,113	3,526	1,566	371	648	1,617	883	1,516	1,973	599	726	8,542	23,080
Higher Education	25	43	267	1,154	61	178	37	33	227	54	50	851	2,980
All Other Education	42	46	51	300	463	32	78	22	486	40	106	468	2,134
Medicaid - DOH	1,523	1,924	1,381	1,133	1,977	1,208	1,213	1,624	1,244	1,054	1,106	(1,047)	14,340
Public Health	39	191	38	49	78	22	46	46	25	24	36	72	663
Mental Hygiene	150	36	323	149	43	330	151	49	286	114	172	345	2,148
Children and Families	12	35	41	102	109	216	150	62	220	44	76	588	1,655
Temporary & Disability Assistance	67	95	98	106	100	164	112	67	94	64	62	110	1,139
Transportation	46	69	61	47	32	0	0	24	11	0	13	0	303
Unrestricted Aid	0	11	388	2	0	101	7	3	185	0	0	66	763
All Other	18	43	84	35	69	33	37	20	21	38	69	73	540
Total Local Assistance	3,035	6,019	4,298	3,448	3,580	3,901	2,711	3,466	4,772	2,031	2,416	10,068	49,745
Personal Service	668	846	684	725	838	660	827	664	686	808	657	656	8,719
Non-Personal Service	164	282	221	182	228	210	219	188	165	232	281	250	2,622
Total State Operations	832	1,128	905	907	1,066	870	1,046	852	851	1,040	938	906	11,341
General State Charges	2,707	368	470	319	245	435	542	401	375	452	414	411	7,139
Debt Service	192	38	20	223	(100)	(63)	201	(7)	5	287	(29)	19	786
Capital Projects	54	468	818	333	622	454	(587)	517	(12)	(65)	(442)	(272)	1,888
State Share Medicaid	(29)	0	0	0	0	0	0	0	0	0	0	0	(29)
SUNY Operations	218	218	218	182	2	0	0	182	0	0	0	0	1,020
Other Purposes	127	56	194	7	71	37	63	91	57	29	13	151	893
Total Transfers to Other Funds	562	780	1,250	745	595	428	(323)	783	47	251	(458)	(102)	4,558
TOTAL DISBURSEMENTS	7,136	8,295	6,923	5,419	5,486	5,634	3,976	5,502	6,045	3,774	3,310	11,283	72,783
Excess/(Deficiency) of Receipts over Disbursements	493	(4,808)	1,183	(896)	(1,303)	2,337	115	(1,134)	1,218	2,818	213	(2,475)	(2,239)
<b>CLOSING BALANCE</b>	9,938	5,130	6,313	5,417	4,114	6,451	6,566	5,432	6,650	9,468	9,681	7,206	7,206



**CASHFLOW  
ALL GOVERNMENTAL FUNDS  
FY 2019**  
(dollars in millions)

	2018 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2019 January Results	February Results	March Results	Intra-Fund Transfer Eliminations	Total
<b>OPENING BALANCE</b>	12,749	14,013	8,995	11,166	11,703	10,669	11,334	11,181	10,516	12,423	17,387	18,392		12,749
<b>RECEIPTS:</b>														
Personal Income Tax	5,856	2,184	4,951	2,900	2,974	4,797	2,574	2,188	4,106	8,612	3,386	3,560	0	48,088
Consumption/Use Taxes	1,277	1,307	1,784	1,375	1,348	1,339	1,339	1,353	1,748	1,418	1,170	1,502	0	17,357
Business Taxes	585	3	1,321	299	207	1,290	208	100	1,492	339	(9)	2,077	0	7,912
Other Taxes	139	174	172	199	196	218	188	219	236	210	149	121	0	2,221
Total Taxes	7,857	3,668	8,228	4,773	4,725	8,041	4,309	3,860	7,582	10,579	4,696	7,260	0	75,578
Abandoned Property	1	0	0	0	0	10	45	250	0	0	30	158	0	494
ABC License Fee	6	7	7	7	5	4	7	4	9	6	6	5	0	74
HCRA	456	404	474	436	431	446	423	506	371	451	423	359	0	5,180
Investment Income	17	10	11	11	9	11	4	10	9	8	16	22	0	134
Licenses, Fees, etc.	51	43	58	62	67	36	60	101	63	41	76	86	0	744
Lottery	275	323	256	251	322	259	413	255	261	341	278	285	0	3,519
Medical	68	70	77	72	73	78	77	77	84	81	75	48	0	886
Motor Vehicle Fees	68	67	47	44	64	33	60	48	105	59	54	87	0	736
Reimbursements	(17)	(54)	106	(5)	23	26	(74)	90	25	(14)	47	8	0	161
State University Income	291	357	269	290	448	682	397	374	160	563	680	267	0	4,778
Extraordinary Settlements	108	74	205	16	0	58	40	58	19	0	87	(1)	0	1,106
Other Transactions	876	525	420	1,732	418	573	1,749	427	1,661	1,371	1,433	2,187	0	13,372
Total Miscellaneous Receipts	2,209	1,826	1,930	2,912	1,860	2,159	3,198	2,700	2,767	2,907	3,205	3,511	0	31,184
Federal Receipts	3,616	4,915	5,452	4,088	6,477	6,347	4,741	4,978	6,033	4,787	5,229	4,681	0	61,344
<b>TOTAL RECEIPTS</b>	13,682	10,409	15,610	11,773	13,062	16,547	12,248	11,538	16,382	18,273	13,130	15,452	0	168,106
<b>DISBURSEMENTS:</b>														
School Aid	1,434	4,094	2,107	641	784	3,777	1,149	1,805	2,274	1,070	1,049	9,084	0	29,268
Higher Education	25	43	267	1,154	61	178	37	33	227	54	50	851	0	2,980
All Other Education	107	98	189	387	702	103	149	49	584	92	156	646	0	3,262
STAR	0	0	0	0	0	0	0	7	67	2,336	0	13	0	2,423
Medical - DOH	4,778	6,180	5,595	4,399	6,894	5,699	5,626	5,567	5,171	5,204	5,777	2,981	0	63,871
Public Health	238	394	368	408	256	524	295	308	377	224	356	477	0	4,225
Mental Hygiene	166	62	346	173	55	347	176	64	303	132	197	371	0	2,392
Children and Families	48	95	85	114	341	392	179	331	331	85	80	709	0	2,521
Temporary & Disability Assistance	277	220	279	449	944	284	503	320	594	277	203	736	0	5,086
Transportation	294	489	723	378	473	530	361	559	1,113	142	401	374	0	5,837
Unrestricted Aid	0	11	388	2	0	101	7	3	185	0	0	66	0	763
All Other	332	438	144	478	281	256	513	502	272	707	364	517	0	4,804
Total Local Assistance	7,699	12,124	10,491	8,583	10,791	12,191	8,995	9,279	11,498	10,323	8,633	16,825	0	127,432
Personal Service	1,102	1,470	1,123	1,107	1,260	1,077	1,472	1,141	1,160	1,223	1,098	1,091	0	14,324
Non-Personal Service	418	622	563	429	652	479	585	479	453	594	710	630	0	6,764
Total State Operations	1,520	2,092	1,686	1,536	1,912	1,706	2,057	1,620	1,613	1,817	1,808	1,721	0	21,088
General State Charges	2,866	473	518	418	484	541	646	576	478	571	501	552	0	8,624
Debt Service	64	126	166	26	96	831	48	47	348	17	729	4,201	0	6,699
Capital Projects	361	591	522	673	761	603	688	679	533	557	451	613	0	7,032
<b>TOTAL DISBURSEMENTS</b>	12,510	15,406	13,383	11,236	14,044	15,872	12,434	12,201	14,470	13,285	12,122	23,912	0	170,875
<b>OTHER FINANCING SOURCES (USES):</b>														
Transfers from other funds	4,203	2,595	4,931	2,900	2,642	4,260	1,714	2,538	3,117	4,063	969	5,416	(617)	38,731
Transfers to other funds	(4,111)	(2,616)	(4,987)	(2,900)	(2,694)	(4,270)	(1,681)	(2,540)	(3,122)	(4,087)	(972)	(5,506)	617	(38,869)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	133	0	133
<b>NET OTHER FINANCING SOURCES (USES)</b>	92	(21)	(56)	0	(52)	(10)	33	(2)	(5)	(24)	(3)	43	0	(5)
Excess/(Deficiency) of Receipts over Disbursements	1,264	(5,018)	2,171	537	(1,034)	665	(153)	(665)	1,907	4,964	1,005	(8,417)	0	(2,774)
<b>CLOSING BALANCE</b>	14,013	8,995	11,166	11,703	10,669	11,334	11,181	10,516	12,423	17,387	18,392	9,975	0	9,975

**CASHFLOW**  
**SPECIAL REVENUE FUNDS**  
**FY 2019**  
**(dollars in millions)**

	2018 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2019 January Results	February Results	March Results	Intra-Fund Transfer Eliminations	Total
<b>OPENING BALANCE</b>	4,302	4,970	4,749	5,653	6,783	6,577	6,012	5,493	5,547	6,017	6,174	6,097		4,302
<b>RECEIPTS:</b>														
Personal Income Tax	0	0	0	0	0	0	0	7	67	2,336	0	13	0	2,423
Consumption/Use Taxes	186	151	195	175	157	184	166	152	187	167	125	112	0	1,957
Business Taxes	172	84	241	75	69	238	59	58	255	88	45	357	0	1,741
Other Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Taxes	358	235	436	250	226	422	225	217	509	2,591	170	482	0	6,121
HCRA	456	404	474	436	431	446	423	506	371	451	423	359	0	5,180
State University Income	291	357	269	290	448	397	397	374	160	563	680	267	0	4,778
Lottery	275	323	256	251	322	259	413	255	261	341	278	285	0	3,519
Medical	77	70	77	72	73	78	74	77	84	81	75	48	0	886
Motor Vehicle Fees	38	42	34	42	29	38	37	38	33	32	33	31	0	419
Other Transactions	401	248	303	1,312	275	355	322	274	287	427	221	461	0	4,886
Total Miscellaneous Receipts	1,538	1,444	1,413	2,403	1,578	1,850	1,666	1,524	1,196	1,895	1,710	1,451	0	19,668
Federal Receipts	3,557	4,861	5,310	3,773	6,168	6,095	4,562	4,771	5,831	4,449	5,072	4,471	0	58,920
<b>TOTAL RECEIPTS</b>	5,453	6,540	7,159	6,426	7,972	8,367	6,453	6,512	7,536	8,935	6,952	6,404	0	84,709
<b>DISBURSEMENTS:</b>														
School Aid	314	556	531	254	131	2,148	256	281	295	468	314	532	0	6,080
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	64	50	136	87	217	70	70	26	97	51	49	175	0	1,092
STAR	0	0	0	0	0	0	0	7	67	2,336	0	13	0	2,423
Medical - DOH	3,255	4,256	4,214	3,266	4,917	4,491	4,413	3,943	3,927	4,150	4,671	4,028	0	49,531
Public Health	182	191	319	307	170	488	214	251	300	181	299	387	0	3,289
Mental Hygiene	12	21	9	16	6	11	20	12	11	12	11	18	0	159
Children and Families	36	60	44	12	232	176	29	0	111	41	4	121	0	866
Temporary & Disability Assistance	210	125	343	343	844	104	391	253	484	213	141	611	0	3,900
Transportation	192	380	310	288	343	330	265	443	770	65	113	171	0	3,670
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	63	176	(67)	162	112	98	209	321	90	111	132	36	0	1,443
Total Local Assistance	4,328	5,815	5,677	4,735	6,972	7,916	5,867	5,537	6,152	7,628	5,734	6,032	0	72,453
Personal Service	434	624	439	382	422	417	645	477	474	415	441	435	0	5,605
Non-Personal Service	253	339	335	246	411	417	366	290	287	361	427	372	0	4,104
Total State Operations	687	963	774	628	833	834	1,011	767	761	776	868	807	0	9,709
General State Charges	159	105	48	99	239	106	104	175	103	119	87	141	0	1,485
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>TOTAL DISBURSEMENTS</b>	5,174	6,883	6,499	5,462	8,044	8,856	6,982	6,479	7,016	8,523	6,689	7,040	0	83,647
<b>OTHER FINANCING SOURCES (USES):</b>														
Transfers from Other Funds	382	286	591	218	136	80	123	291	88	55	34	239	(617)	1,906
Transfers to Other Funds	7	(164)	(347)	(52)	(270)	(156)	(113)	(270)	(138)	(310)	(374)	(1,858)	617	(3,428)
<b>NET OTHER FINANCING SOURCES/(USES)</b>	389	122	244	166	(134)	(76)	10	21	(50)	(255)	(340)	(1,619)	0	(1,522)
Excess/(Deficiency) of Receipts over Disbursements	668	(221)	904	1,130	(206)	(565)	(519)	54	470	157	(77)	(2,255)	0	(460)
<b>CLOSING BALANCE</b>	4,970	4,749	5,653	6,783	6,577	6,012	5,493	5,547	6,017	6,174	6,097	6,404	0	84,709

**CASHFLOW**  
**SPECIAL REVENUE STATE FUNDS**  
**FY 2019**  
(dollars in millions)

	2018 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2019 January Results	February Results	March Results	Intra-Fund Transfer Eliminations	Total
<b>OPENING BALANCE</b>	4,009	5,103	5,119	5,600	7,063	7,026	5,831	5,850	5,909	5,411	5,953	6,115		4,009
<b>RECEIPTS:</b>														
Personal Income Tax	0	0	0	0	0	0	0	7	67	2,336	0	13	0	2,423
Consumption/Use Taxes	186	151	195	175	166	184	166	152	187	167	125	112	0	1,957
Business Taxes	172	84	241	75	69	238	59	58	255	88	45	357	0	1,741
Other Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Taxes	358	235	436	250	226	422	225	217	509	2,591	170	482	0	6,121
HCRA	456	404	474	436	431	446	423	506	371	451	423	359	0	5,180
State University Income	291	357	269	290	448	397	374	290	160	563	680	267	0	4,778
Lottery	275	323	256	251	322	259	413	255	261	341	278	285	0	3,519
Medicaid	77	70	77	72	73	78	77	77	84	81	75	48	0	886
Motor Vehicle Fees	38	42	34	42	29	37	37	38	33	32	33	31	0	419
Other Transactions	387	200	293	1,299	254	344	305	257	276	414	205	450	0	4,684
Total Miscellaneous Receipts	1,524	1,396	1,403	2,390	1,557	1,839	1,649	1,507	1,185	1,882	1,694	1,440	0	19,466
Federal Receipts	(2)	(1)	0	0	0	0	0	0	1	0	1	0	0	(1)
<b>TOTAL RECEIPTS</b>	1,880	1,630	1,839	2,640	1,783	2,261	1,874	1,724	1,695	4,473	1,865	1,922	0	25,586
<b>DISBURSEMENTS:</b>														
School Aid	0	0	326	0	0	2,083	136	136	136	136	136	234	0	3,323
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	0	0	1	1	1	1	2	0	1	1	0	1	0	9
STAR	0	0	0	0	0	0	0	7	67	2,336	0	13	0	2,423
Medicaid - DOH	281	541	458	335	713	343	615	445	460	541	567	393	0	5,692
Public Health	64	38	98	166	38	61	60	59	91	49	103	93	0	920
Mental Hygiene	(1)	0	0	0	(1)	0	1	0	0	0	0	1	0	1
Children and Families	0	1	0	0	0	0	0	1	0	0	0	2	0	4
Temporary & Disability Assistance	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Transportation	190	377	305	286	338	325	263	441	767	61	111	170	0	3,634
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	22	49	23	27	40	17	18	47	22	46	27	88	0	426
Total Local Assistance	556	1,006	1,211	815	1,129	2,830	1,095	1,136	1,545	3,170	944	995	0	16,432
Personal Service	383	554	377	336	374	366	576	429	425	369	394	385	0	4,968
Non-Personal Service	183	248	245	185	280	212	261	217	208	234	238	199	0	2,710
Total State Operations	566	802	622	521	654	578	837	646	633	603	632	584	0	7,678
General State Charges	120	77	39	77	115	78	77	149	75	82	62	114	0	1,065
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>TOTAL DISBURSEMENTS</b>	1,242	1,885	1,872	1,413	1,898	3,486	2,009	1,931	2,253	3,855	1,638	1,693	0	25,175
<b>OTHER FINANCING SOURCES (USES):</b>														
Transfers from Other Funds	382	286	591	218	136	80	123	291	88	55	34	239	(617)	1,906
Transfers to Other Funds	74	(15)	(77)	18	(58)	(50)	31	(25)	(28)	(131)	(99)	(1,492)	617	(1,235)
<b>NET OTHER FINANCING SOURCES/(USES)</b>	456	271	514	236	78	30	154	266	60	(76)	(65)	(1,253)	0	671
Excess/(Deficiency) of Receipts over Disbursements	1,094	16	481	1,463	(37)	(1,195)	19	59	(498)	542	162	(1,024)	0	1,082
<b>CLOSING BALANCE</b>	5,103	5,119	5,600	7,063	7,026	5,831	5,850	5,909	5,411	5,953	6,115	5,091	0	5,091

**CASHFLOW**  
**SPECIAL REVENUE FEDERAL FUNDS**  
**FY 2019**  
(dollars in millions)

	2018	April	May	June	July	August	September	October	November	December	2019	January	February	March	Total
	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results
<b>RECEIPTS:</b>															
Miscellaneous Receipts	14	48	10	13	21	11	11	17	17	11	13	16	11	11	202
Federal Receipts	3,559	4,862	5,310	3,773	6,168	6,095	6,095	4,562	4,771	5,830	4,449	5,071	4,471	4,471	58,921
<b>TOTAL RECEIPTS</b>	<b>3,573</b>	<b>4,910</b>	<b>5,320</b>	<b>3,786</b>	<b>6,189</b>	<b>6,106</b>	<b>6,106</b>	<b>4,579</b>	<b>4,788</b>	<b>5,841</b>	<b>4,462</b>	<b>5,087</b>	<b>4,482</b>	<b>4,482</b>	<b>59,123</b>
	293	(133)	(370)	53	(280)	(449)	(449)	181	(357)	(362)	606	221	(18)	(18)	293
<b>DISBURSEMENTS:</b>															
School Aid	314	556	205	254	131	131	65	120	145	159	332	178	298	298	2,757
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	64	50	135	86	216	69	68	68	26	96	50	49	174	174	1,083
STAR	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Medicaid - DOH	2,974	3,715	3,756	2,931	4,204	4,148	4,148	3,798	3,498	3,467	3,609	4,104	3,635	3,635	43,839
Public Health	118	153	221	141	132	427	427	154	192	209	132	196	294	294	2,369
Mental Hygiene	13	21	9	16	7	11	11	19	12	10	12	11	17	17	158
Children and Families	36	59	44	12	232	176	(1)	29	(1)	111	41	4	4	119	862
Temporary & Disability Assistance	210	125	181	343	844	104	104	391	253	484	213	141	611	611	3,900
Transportation	2	3	5	2	5	5	5	2	2	3	4	2	1	1	36
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	41	127	(90)	135	72	81	81	191	274	68	65	105	(52)	(52)	1,017
Total Local Assistance	3,772	4,809	4,466	3,920	5,843	5,086	5,086	4,772	4,401	4,607	4,458	4,790	5,097	5,097	56,021
Personal Service	51	70	62	46	48	51	48	69	48	49	46	47	50	50	637
Non-Personal Service	70	91	90	61	131	205	205	105	73	79	127	189	173	173	1,394
Total State Operations	121	161	152	107	179	256	256	174	121	128	173	236	223	223	2,031
General State Charges	39	28	9	22	124	28	28	27	26	28	37	25	27	27	420
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>TOTAL DISBURSEMENTS</b>	<b>3,932</b>	<b>4,998</b>	<b>4,627</b>	<b>4,049</b>	<b>6,146</b>	<b>5,370</b>	<b>5,370</b>	<b>4,973</b>	<b>4,548</b>	<b>4,763</b>	<b>4,668</b>	<b>5,051</b>	<b>5,347</b>	<b>5,347</b>	<b>58,472</b>
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>OTHER FINANCING SOURCES (USES):</b>															
Transfers from Other Funds	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Transfers to Other Funds	(67)	(149)	(270)	(70)	(212)	(106)	(106)	(144)	(245)	(110)	(179)	(275)	(366)	(366)	(2,193)
<b>NET OTHER FINANCING SOURCES/(USES)</b>	<b>(67)</b>	<b>(149)</b>	<b>(270)</b>	<b>(70)</b>	<b>(212)</b>	<b>(106)</b>	<b>(106)</b>	<b>(144)</b>	<b>(245)</b>	<b>(110)</b>	<b>(179)</b>	<b>(275)</b>	<b>(366)</b>	<b>(366)</b>	<b>(2,193)</b>
Excess/(Deficiency) of Receipts over Disbursements	(426)	(237)	423	(333)	(169)	630	630	(538)	(5)	968	(385)	(239)	(1,231)	(1,231)	(1,542)
<b>CLOSING BALANCE</b>	<b>(133)</b>	<b>(370)</b>	<b>53</b>	<b>(280)</b>	<b>(449)</b>	<b>181</b>	<b>(357)</b>	<b>(362)</b>	<b>606</b>	<b>(18)</b>	<b>221</b>	<b>(18)</b>	<b>(1,249)</b>	<b>(1,249)</b>	





**CASHFLOW**  
**CAPITAL PROJECTS FUNDS**  
**FY 2019**  
**(dollars in millions)**

	2018 April Results	2018 May Results	2018 June Results	2018 July Results	2018 August Results	2018 September Results	2018 October Results	2018 November Results	2018 December Results	2019 January Results	2019 February Results	2019 March Results	Total
<b>OPENING BALANCE</b>	(1,151)	(1,241)	(1,404)	(1,224)	(1,274)	(1,209)	(1,462)	(1,536)	(1,565)	(1,075)	(1,087)	(1,090)	(1,151)
<b>RECEIPTS:</b>													
Consumption/Use Taxes	44	50	66	52	48	77	47	49	71	46	41	54	645
Business Taxes	67	39	60	56	54	60	53	56	54	57	54	60	670
Other Taxes	0	0	12	12	12	12	12	12	11	12	12	12	119
Total Taxes	111	89	138	120	114	149	112	117	136	115	107	126	1,434
Miscellaneous Receipts	407	129	116	305	93	107	1,341	92	1,272	866	1,160	1,609	7,497
Federal Receipts	59	54	142	313	274	252	179	207	202	337	121	210	2,350
<b>TOTAL RECEIPTS</b>	<b>577</b>	<b>272</b>	<b>396</b>	<b>738</b>	<b>481</b>	<b>508</b>	<b>1,632</b>	<b>416</b>	<b>1,610</b>	<b>1,318</b>	<b>1,388</b>	<b>1,945</b>	<b>11,281</b>
<b>DISBURSEMENTS:</b>													
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	1	2	2	0	22	1	1	1	1	1	1	3	36
Public Health	17	12	11	52	8	14	38	11	52	19	21	18	273
Mental Hygiene	4	5	14	8	6	6	5	3	6	6	14	8	85
School Aid	7	12	10	16	5	12	10	8	6	3	9	10	108
Temporary & Disability Assistance	0	0	0	0	0	16	0	0	16	0	0	15	47
Transportation	56	40	352	43	98	200	96	92	332	77	275	203	1,864
All Other Local	251	219	127	281	100	125	267	161	161	558	163	408	2,821
Total Local Assistance	336	290	516	400	239	374	417	276	574	664	483	665	5,234
Economic Development	10	13	18	12	45	27	9	38	3	11	3	13	202
Parks & the Environment	27	47	52	55	51	40	53	40	45	48	45	82	585
Transportation	208	316	228	344	389	335	381	336	302	262	252	223	3,576
Health & Social Welfare	7	14	11	7	14	13	7	5	8	5	16	28	135
Mental Hygiene	22	32	38	33	32	24	37	23	27	24	22	29	343
Public Protection	19	34	32	47	48	48	45	39	45	45	37	65	504
Education	45	76	61	124	109	62	75	102	57	120	50	99	980
All Other	23	59	82	51	73	54	81	96	46	42	26	74	707
Total Capital Projects	361	591	522	673	761	603	688	679	533	557	451	613	7,032
<b>TOTAL DISBURSEMENTS</b>	<b>697</b>	<b>881</b>	<b>1,038</b>	<b>1,073</b>	<b>1,000</b>	<b>977</b>	<b>1,105</b>	<b>955</b>	<b>1,107</b>	<b>1,221</b>	<b>934</b>	<b>1,278</b>	<b>12,266</b>
<b>OTHER FINANCING SOURCES (USES):</b>													
Transfers from Other Funds	56	473	848	314	627	459	(584)	529	5	(47)	(423)	(38)	2,219
Transfers to Other Funds	(26)	(27)	(26)	(29)	(43)	(243)	(17)	(19)	(18)	(62)	(34)	(810)	(1,354)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	133	133
<b>NET OTHER FINANCING SOURCES/(USES)</b>	<b>30</b>	<b>446</b>	<b>822</b>	<b>285</b>	<b>584</b>	<b>216</b>	<b>(601)</b>	<b>510</b>	<b>(13)</b>	<b>(109)</b>	<b>(457)</b>	<b>(715)</b>	<b>998</b>
Excess/(Deficiency) of Receipts over Disbursements	(90)	(163)	(180)	(50)	65	(253)	(74)	(29)	490	(12)	(3)	(48)	13
<b>CLOSING BALANCE</b>	<b>(1,241)</b>	<b>(1,404)</b>	<b>(1,224)</b>	<b>(1,274)</b>	<b>(1,209)</b>	<b>(1,462)</b>	<b>(1,536)</b>	<b>(1,565)</b>	<b>(1,075)</b>	<b>(1,087)</b>	<b>(1,090)</b>	<b>(1,138)</b>	<b>(1,138)</b>

**CASHFLOW  
CAPITAL PROJECTS STATE FUNDS  
FY 2019  
(dollars in millions)**

	2018 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2019 January Results	February Results	March Results	Total
<b>OPENING BALANCE</b>	(568)	(610)	(702)	(532)	(748)	(771)	(1,103)	(981)	(1,056)	(609)	(633)	(523)	(568)
<b>RECEIPTS:</b>													
Consumption/Use Taxes	44	50	66	52	48	77	47	49	71	46	41	54	645
Business Taxes	67	39	60	56	54	60	53	56	54	57	54	60	670
Other Taxes	0	0	12	12	12	12	12	12	11	12	12	12	119
Total Taxes	111	89	138	120	114	149	112	117	136	115	107	126	1,434
Miscellaneous Receipts	407	129	116	305	93	107	1,341	91	1,272	866	1,160	1,609	7,496
Federal Receipts	0	0	0	0	0	2	1	0	0	0	0	2	5
<b>TOTAL RECEIPTS</b>	518	218	254	425	207	258	1,454	208	1,408	981	1,267	1,737	8,935
<b>DISBURSEMENTS:</b>													
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	1	2	2	0	22	1	1	1	1	1	1	1	36
Public Health	17	12	11	49	8	14	38	11	10	19	21	15	225
Mental Hygiene	4	5	14	6	6	6	5	3	6	6	14	8	85
School Aid	7	12	10	16	5	12	10	8	3	3	9	10	108
Temporary & Disability Assistance	0	0	0	0	0	16	0	0	16	0	0	15	47
Transportation	5	3	325	26	57	163	71	52	306	10	223	173	1,414
All Other Local	251	219	127	263	100	125	267	161	158	378	163	389	2,601
Total Local Assistance	285	253	489	362	198	337	392	236	503	417	431	613	4,516
Economic Development	10	13	18	12	45	27	9	38	3	11	3	13	202
Parks & the Environment	26	47	51	55	44	39	48	40	44	47	44	77	569
Transportation	155	232	125	238	247	206	225	219	219	187	185	166	2,404
Health & Social Welfare	6	13	13	7	13	12	6	5	7	5	16	28	131
Mental Hygiene	22	32	38	33	32	24	37	23	27	24	22	29	343
Public Protection	17	32	29	44	47	45	42	34	43	44	35	61	473
Education	45	76	61	124	109	62	75	102	57	120	50	99	980
All Other	24	58	82	51	72	54	81	96	45	41	26	74	704
Total Capital Projects	305	503	417	564	616	469	523	557	445	479	381	547	5,806
<b>TOTAL DISBURSEMENTS</b>	590	756	906	926	814	806	915	793	948	896	812	1,160	10,322
<b>OTHER FINANCING SOURCES (USES):</b>													
Transfers from Other Funds	56	473	848	314	627	459	(400)	529	5	(47)	(311)	(10)	2,543
Transfers to Other Funds	(26)	(27)	(26)	(29)	(43)	(243)	(17)	(19)	(18)	(62)	(34)	(810)	(1,354)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0	133
NET OTHER FINANCING SOURCES/(USES)	30	446	822	285	584	216	(417)	510	(13)	(109)	(345)	(687)	1,322
Excess/(Deficiency) of Receipts over Disbursements	(42)	(92)	170	(216)	(23)	(332)	122	(75)	447	(24)	110	(110)	(65)
<b>CLOSING BALANCE</b>	(610)	(702)	(532)	(748)	(771)	(1,103)	(981)	(1,056)	(609)	(633)	(523)	(633)	(633)

**CASHFLOW**  
**CAPITAL PROJECTS FEDERAL FUNDS**  
**FY 2019**  
(dollars in millions)

	2018 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2019 January Results	February Results	March Results	Total
<b>OPENING BALANCE</b>	(583)	(631)	(702)	(692)	(526)	(438)	(359)	(555)	(509)	(466)	(454)	(567)	(583)
<b>RECEIPTS:</b>													
Consumption/Use Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Business Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Other Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	0	0	0	0	0	0	0	1	0	0	0	0	1
Federal Receipts	59	54	142	313	274	250	178	207	202	337	121	208	2,345
<b>TOTAL RECEIPTS</b>	59	54	142	313	274	250	178	208	202	337	121	208	2,346
<b>DISBURSEMENTS:</b>													
Public Health	0	0	0	3	0	0	0	0	42	0	0	3	48
Transportation	51	37	27	17	41	37	25	40	26	67	52	30	450
All Other Local	0	0	0	18	0	0	0	0	0	180	0	19	220
Total Local Assistance	51	37	27	38	41	37	25	40	71	247	52	52	718
Economic Development	0	0	0	0	0	0	0	0	0	0	0	0	0
Parks & the Environment	1	0	1	0	0	1	5	0	1	1	1	5	16
Transportation	53	84	103	106	142	129	156	117	83	75	67	57	1,172
Health & Social Welfare	1	1	(2)	0	1	1	1	0	1	0	0	0	4
Mental Hygiene	0	0	0	0	0	0	0	0	0	0	0	0	0
Public Protection	2	2	3	3	1	3	3	5	2	1	2	4	31
Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	(1)	1	0	0	0	0	0	0	0	1	0	0	3
Total Capital Projects	56	88	105	109	145	134	165	122	88	78	70	66	1,226
<b>TOTAL DISBURSEMENTS</b>	107	125	132	147	186	171	190	162	159	325	122	118	1,944
<b>OTHER FINANCING SOURCES (USES):</b>													
Transfers from Other Funds	0	0	0	0	0	0	(184)	0	0	0	(112)	(28)	(324)
Transfers to Other Funds	0	0	0	0	0	0	0	0	0	0	0	0	0
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>NET OTHER FINANCING SOURCES/(USES)</b>	0	0	0	0	0	0	(184)	0	0	0	(112)	(28)	(324)
Excess/(Deficiency) of Receipts over Disbursements	(48)	(71)	(10)	166	88	79	(196)	46	43	12	(113)	62	78
<b>CLOSING BALANCE</b>	(631)	(702)	(692)	(526)	(438)	(359)	(555)	(509)	(466)	(454)	(567)	(505)	(505)

**CASHFLOW  
STATE FUNDS  
FY 2019**  
(dollars in millions)

	2018 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2019 January Results	February Results	March Results	Intra-Fund Transfer Eliminations	Total
<b>OPENING BALANCE</b>	13,039	14,777	10,067	11,805	12,509	11,556	11,512	12,093	11,387	12,283	17,620	18,977		13,039
<b>RECEIPTS:</b>														
Personal Income Tax	5,856	2,184	4,951	2,900	2,974	4,797	2,574	2,188	4,106	8,612	3,386	3,560	0	48,088
Consumption/Use Taxes	1,277	1,307	1,784	1,375	1,348	1,736	1,339	1,353	1,748	1,418	1,170	1,502	0	17,357
Business Taxes	585	3	1,321	299	207	1,290	208	100	1,492	339	(9)	2,077	0	7,912
Other Taxes	139	174	172	199	196	218	188	219	236	210	149	121	0	2,221
Total Taxes	7,857	3,668	8,228	4,773	4,725	8,041	4,309	3,860	7,582	10,579	4,696	7,260	0	75,578
Abandoned Property	1	0	0	0	0	10	45	250	0	0	30	158	0	494
ABC License Fee	6	7	7	7	5	5	7	4	9	6	6	5	0	74
HCRA	456	404	474	436	431	446	423	506	371	451	423	359	0	5,180
Investment Income	17	10	11	7	9	11	10	10	9	8	16	22	0	134
Licenses, Fees, etc.	275	43	58	62	67	36	60	101	63	41	76	86	0	744
Lottery		323	256	251	322	259	413	255	261	341	278	285	0	3,519
Medicaid		70	77	72	73	77	74	77	84	81	75	48	0	886
Motor Vehicle Fees	68	67	47	44	64	33	60	48	105	59	54	87	0	736
Reimbursements	(17)	(54)	106	(5)	23	26	(74)	90	25	(14)	47	8	0	161
State University Income	291	357	269	290	448	682	397	374	160	563	680	267	0	4,778
Extraordinary Settlements	108	74	205	16	0	0	40	558	19	0	87	(1)	0	1,106
Other Transactions	862	477	410	1,719	397	562	1,732	409	1,650	1,358	1,417	2,176	0	13,169
Total Miscellaneous Receipts	2,195	1,778	1,920	2,859	1,839	2,148	3,181	2,682	2,756	2,894	3,189	3,500	0	30,981
Federal Receipts	(2)	(1)	0	2	35	2	1	0	1	1	37	2	0	78
TOTAL RECEIPTS	10,050	5,445	10,148	7,674	6,599	10,191	7,491	6,542	10,339	13,474	7,922	10,762	0	106,637
<b>DISBURSEMENTS:</b>														
School Aid	1,120	3,538	1,902	387	653	3,712	1,029	1,660	2,115	738	871	8,786	0	26,511
Higher Education	25	43	267	1,154	61	178	37	33	227	54	50	851	0	2,980
All Other Education	43	48	54	301	486	34	81	23	488	42	107	472	0	2,179
STAR	0	0	0	0	0	0	0	7	67	2,336	0	13	0	2,423
Medicaid - DOH	1,804	2,465	1,839	1,468	2,690	1,551	1,828	2,069	1,704	1,595	1,673	(654)	0	20,032
Public Health	120	241	147	264	124	120	141	116	126	120	160	180	0	1,808
Mental Hygiene	153	41	337	157	48	336	157	52	293	120	186	354	0	2,234
Children and Families	12	36	41	102	109	216	150	65	220	44	76	590	0	1,659
Temporary & Disability Assistance	67	95	98	106	100	180	112	67	110	64	62	125	0	1,186
Transportation	241	449	691	359	427	488	334	517	1,084	71	347	343	0	5,351
Unrestricted Aid	0	11	388	2	0	101	7	3	185	0	0	66	0	763
All Other	291	311	234	325	209	175	322	228	201	462	259	550	0	3,567
Total Local Assistance	3,876	7,278	5,998	4,625	4,907	7,068	4,198	4,838	6,820	5,618	3,791	11,676	0	70,693
Personal Service	1,051	1,400	1,061	1,061	1,212	1,026	1,403	1,093	1,111	1,177	1,051	1,041	0	13,687
Non-Personal Service	348	531	473	368	521	424	480	406	374	467	521	457	0	5,370
Total State Operations	1,399	1,931	1,534	1,429	1,733	1,450	1,883	1,499	1,485	1,644	1,572	1,498	0	19,057
General State Charges	2,827	445	509	396	360	513	619	550	450	534	476	525	0	8,204
Debt Service	64	126	166	26	96	831	48	47	348	17	729	4,201	0	6,699
Capital Projects	305	503	417	564	616	469	523	557	445	479	381	547	0	5,806
TOTAL DISBURSEMENTS	8,471	10,283	8,624	7,040	7,712	10,331	7,271	7,491	9,548	8,292	6,949	18,447	0	110,459
<b>OTHER FINANCING SOURCES (USES):</b>														
Transfers from other funds	4,203	2,595	4,931	2,900	2,642	4,260	1,888	2,538	3,117	4,063	1,081	5,444	(617)	39,055
Transfers to other funds	(4,044)	(2,467)	(4,717)	(2,830)	(2,482)	(4,164)	(1,537)	(2,295)	(3,012)	(3,908)	(697)	(5,140)	617	(36,676)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	133	0	133
NET OTHER FINANCING SOURCES/(USES)	159	128	214	70	160	96	361	243	105	155	384	437	0	2,512
Excess/(Deficiency) of Receipts over Disbursements	1,738	(4,710)	1,738	704	(953)	(44)	581	(706)	896	5,337	1,357	(7,248)	0	(1,310)
<b>CLOSING BALANCE</b>	14,777	10,067	11,805	12,509	11,556	11,512	12,093	11,387	12,283	17,620	18,977	11,729	0	11,729



**CASHFLOW  
STATE OPERATING FUNDS  
FY 2020  
(dollars in millions)**

	2019 April Results	May Results	June Results	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2020 January Projected	February Projected	March Projected	Intra-Fund Transfer Eliminations	Total
<b>OPENING BALANCE</b>	12,362	18,718	12,243	14,050	14,629	13,524	13,631	13,318	11,626	13,145	18,100	18,244		12,362
<b>RECEIPTS:</b>														
Personal Income Tax	9,216	2,484	5,210	3,358	2,652	4,770	2,317	1,754	4,326	8,852	3,546	3,665	0	52,150
Consumption/Use Taxes	1,320	1,295	1,709	1,385	1,371	1,829	1,389	1,389	1,769	1,455	1,217	1,544	0	17,672
Business Taxes	747	(139)	1,436	246	1,116	1,349	1,42	105	1,439	160	197	2,190	0	7,888
Other Taxes	164	144	152	252	190	190	175	181	179	181	179	156	0	2,143
Total Taxes	11,447	3,784	8,507	5,241	4,329	8,138	4,023	3,429	7,713	10,648	5,039	7,555	0	79,853
Abandoned Property	1	0	0	0	0	40	10	150	50	0	0	199	0	450
ABC License Fee	6	6	5	6	6	5	6	6	6	6	6	4	0	66
HCRA	541	448	435	511	430	446	480	417	435	418	425	270	0	5,256
Investment Income	22	17	20	13	3	4	3	4	3	4	3	4	0	100
Licenses, Fees, etc.	69	42	50	60	45	75	60	65	65	70	45	64	0	694
Lottery	298	349	266	333	280	281	369	275	279	350	291	86	0	3,457
Medicaid	92	76	82	77	77	77	77	77	77	44	44	48	0	845
Motor Vehicle Fees	53	29	28	51	27	21	47	34	49	55	59	48	0	528
Reimbursements	7	29	33	9	9	9	4	4	4	3	4	(3)	0	112
State University Income	336	258	262	316	432	656	413	354	188	499	716	241	0	4,671
Extraordinary Settlements	585	142	0	33	0	0	261	212	402	237	285	563	0	760
Other Transactions	332	303	525	333	238	409	1,547	1,591	1,558	1,686	1,878	1,521	0	4,100
Total Miscellaneous Receipts	2,342	1,726	1,706	1,731	1,547	2,023	1,730	1,591	1,558	1,686	1,878	1,521	0	21,039
Federal Receipts	0	0	(1)	0	2	35	0	0	0	0	3	36	0	75
<b>TOTAL RECEIPTS</b>	13,789	5,510	10,212	6,972	5,878	10,196	5,753	5,020	9,271	12,334	6,920	9,112	0	100,967
<b>DISBURSEMENTS:</b>														
School Aid	775	3,896	2,071	366	769	4,213	1,033	1,726	2,185	706	888	8,787	0	27,415
Higher Education	38	24	337	1,111	59	182	92	32	189	35	328	548	0	2,975
All Other Education	97	58	131	187	634	59	74	93	293	34	340	401	0	2,401
STAR	0	0	0	0	0	0	1	8	60	2,097	0	10	0	2,176
Medicaid - DOH	3,302	2,600	1,792	1,853	1,626	1,680	1,953	1,385	1,342	1,403	1,427	1,006	0	21,369
Public Health	84	56	204	90	282	216	94	85	145	128	70	122	0	1,576
Mental Hygiene	124	63	268	186	39	271	98	48	295	132	156	308	0	1,988
Children and Families	20	22	30	28	102	290	102	102	290	102	150	293	0	1,531
Temporary & Disability Assistance	67	65	150	106	141	136	111	111	111	111	111	120	0	1,340
Transportation	68	427	279	274	417	261	266	535	774	52	98	98	0	3,549
Unrestricted Aid	0	11	388	0	17	49	14	7	192	7	1	66	0	752
All Other	70	(30)	163	50	149	90	75	70	83	76	112	482	0	1,390
Total Local Assistance	4,645	7,129	5,813	4,251	4,235	7,447	3,913	4,202	5,959	4,883	3,681	12,241	0	68,462
Personal Service	1,091	1,652	1,073	1,144	1,241	1,062	1,438	1,135	1,132	1,249	1,065	979	0	14,261
Non-Personal Service	404	500	418	435	560	470	470	421	421	481	447	417	0	5,493
Total State Operations	1,495	2,152	1,491	1,579	1,801	1,532	1,908	1,605	1,553	1,730	1,512	1,396	0	19,754
General State Charges	792	2,436	421	456	507	577	698	540	563	505	569	703	0	8,767
Debt Service	72	122	230	45	75	433	49	89	415	45	755	2,840	0	5,170
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>TOTAL DISBURSEMENTS</b>	7,004	11,902	7,955	6,331	6,618	9,989	6,568	6,436	8,490	7,163	6,517	17,180	0	102,153
<b>OTHER FINANCING SOURCES (USES):</b>														
Transfers from other funds	5,705	2,418	4,298	3,062	2,384	4,070	2,250	1,710	3,344	4,035	1,870	6,114	(473)	40,787
Transfers to other funds	(6,134)	(2,501)	(4,748)	(3,124)	(2,749)	(4,170)	(1,748)	(1,986)	(2,606)	(4,251)	(2,129)	(5,227)	473	(40,900)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>NET OTHER FINANCING SOURCES/(USES)</b>	(429)	(83)	(450)	(62)	(365)	(100)	502	(276)	738	(216)	(259)	887	0	(113)
Excess/(Deficiency) of Receipts over Disbursements	6,356	(6,475)	1,807	579	(1,105)	107	(313)	(1,692)	1,519	4,955	144	(7,181)	0	(1,299)
<b>CLOSING BALANCE</b>	18,718	12,243	14,050	14,629	13,524	13,631	13,318	11,626	13,145	18,100	18,244	11,063	0	11,063

**CASHFLOW  
ALL GOVERNMENTAL FUNDS  
FY 2020**  
(dollars in millions)

	2019 April Results	May Results	June Results	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2020 January Projected	February Projected	March Projected	Intra-Fund Transfer Eliminations	Total
<b>OPENING BALANCE</b>	9,975	18,268	10,925	13,728	12,798	11,324	11,993	12,041	9,718	12,431	16,909	16,617		9,975
<b>RECEIPTS:</b>														
Personal Income Tax	9,216	2,484	5,210	3,358	2,652	4,770	2,317	1,754	4,326	8,852	3,546	3,665	0	52,150
Consumption/Use Taxes	1,374	1,341	1,774	1,436	1,420	1,901	1,436	1,420	1,836	1,500	1,259	1,597	0	18,308
Business Taxes	807	(84)	1,496	300	171	1,407	196	158	1,494	213	149	2,246	0	8,553
Other Taxes	164	144	164	264	202	202	191	193	191	193	191	167	0	2,262
Total Taxes	11,561	3,885	8,644	5,358	4,445	8,280	4,136	3,539	7,847	10,758	5,145	7,675	0	81,273
Abandoned Property	1	0	0	0	0	40	10	150	50	0	0	199	0	450
ABC License Fee	6	6	5	6	6	5	6	4	6	6	6	4	0	66
HCRA	541	448	435	511	430	446	480	417	435	418	425	270	0	5,256
Investment Income	22	17	20	13	3	4	3	4	3	4	3	4	0	100
Licenses, Fees, etc.	69	42	50	49	45	75	60	60	65	70	45	69	0	694
Lottery	298	349	266	333	280	281	369	275	279	350	291	86	0	3,457
Medicaid	92	76	82	77	77	77	77	77	77	77	44	45	0	845
Motor Vehicle Fees	53	56	28	51	27	21	47	34	49	55	59	48	0	528
Reimbursements	7	29	33	9	9	9	4	4	4	4	4	(3)	0	112
State University Income	336	258	262	316	432	656	413	354	188	499	716	241	0	4,671
Extraordinary Settlements	585	142	0	33	0	0	0	0	0	0	0	0	0	760
Other Transactions	650	447	791	583	320	936	2,097	307	2,705	534	391	2,647	0	12,408
Total Miscellaneous Receipts	2,660	1,870	1,972	1,981	1,629	2,550	3,566	1,686	3,861	1,983	1,984	3,605	0	29,347
Federal Receipts	6,242	4,959	5,803	3,274	4,886	6,784	4,712	5,017	4,954	5,314	4,975	9,404	0	66,324
<b>TOTAL RECEIPTS</b>	20,463	10,714	16,419	10,613	10,960	17,614	12,414	10,242	16,662	18,055	12,104	20,684	0	176,944
<b>DISBURSEMENTS:</b>														
School Aid	1,065	4,398	2,300	617	1,005	4,481	1,247	1,915	2,410	930	1,112	9,082	0	30,562
Higher Education	39	24	337	1,111	59	182	92	32	189	35	328	547	0	2,975
All Other Education	154	150	174	233	748	123	138	157	357	209	402	578	0	3,312
STAR	0	0	0	0	0	0	1	8	60	60	0	10	0	1,176
Medicaid - DOH	6,760	6,758	5,309	5,243	5,323	5,779	5,653	5,351	3,770	5,842	5,425	6,266	0	67,479
Public Health	252	219	578	294	438	529	256	234	461	234	218	625	0	4,395
Mental Hygiene	138	71	133	204	58	294	133	67	314	156	179	386	0	2,282
Children and Families	68	56	68	61	188	376	188	188	376	188	236	376	0	2,369
Temporary & Disability Assistance	154	134	377	378	386	648	340	393	660	332	339	705	0	4,846
Transportation	306	479	358	338	481	426	380	593	1,050	153	145	674	0	5,383
Unrestricted Aid	0	11	388	0	17	49	14	7	192	7	1	66	0	752
All Other	285	336	566	210	412	411	349	521	502	344	391	529	0	4,856
Total Local Assistance	9,221	12,636	10,737	8,689	9,115	13,298	8,791	9,466	10,341	10,473	8,776	19,844	0	131,387
Personal Service	1,140	1,718	1,128	1,190	1,291	1,113	1,507	1,187	1,182	1,298	1,119	1,042	0	14,915
Non-Personal Service	474	576	515	516	707	651	609	498	498	630	594	577	0	6,940
Total State Operations	1,614	2,294	1,643	1,706	1,998	1,764	2,116	1,780	1,680	1,928	1,713	1,619	0	21,855
General State Charges	814	2,460	454	490	535	601	728	564	589	533	596	740	0	9,104
Debt Service	72	122	230	45	75	433	49	89	415	45	755	2,840	0	5,170
Capital Projects	434	529	536	599	705	846	677	660	919	592	551	1,553	0	8,601
<b>TOTAL DISBURSEMENTS</b>	12,155	18,041	13,600	11,529	12,428	16,942	12,361	12,559	13,944	13,571	12,391	26,596	0	176,117
<b>OTHER FINANCING SOURCES (USES):</b>														
Transfers from other funds	6,215	2,831	4,931	3,323	2,926	4,564	1,893	2,177	2,813	4,423	2,301	6,407	(473)	44,331
Transfers to other funds	(6,230)	(2,847)	(4,947)	(3,337)	(2,932)	(4,567)	(1,898)	(2,183)	(2,818)	(4,429)	(2,306)	(6,456)	473	(46,477)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	444	0	444
<b>NET OTHER FINANCING SOURCES/(USES)</b>	(15)	(16)	(16)	(14)	(6)	(3)	(5)	(6)	(5)	(6)	(5)	395	0	298
Excess/(Deficiency) of Receipts over Disbursements	8,293	(7,343)	2,803	(930)	(1,474)	669	48	(2,323)	2,713	4,478	(292)	(5,517)	0	1,125
<b>CLOSING BALANCE</b>	18,268	10,925	13,728	12,798	11,324	11,993	12,041	9,718	12,431	16,909	16,617	11,100	0	11,100

**CASHFLOW  
SPECIAL REVENUE FUNDS  
FY 2020  
(dollars in millions)**

	2019 April Results	May Results	June Results	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2020 January Projected	February Projected	March Projected	Intra-Fund Transfer Eliminations	Total
<b>OPENING BALANCE</b>	3,842	7,002	6,269	7,986	6,832	6,535	5,617	5,206	4,516	4,930	4,744	4,690		3,842
<b>RECEIPTS:</b>														
Personal Income Tax	0	0	0	0	0	0	1	8	60	2,097	0	10	0	2,176
Consumption/Use Taxes	197	146	170	165	153	176	152	148	178	158	128	124	0	1,895
Business Taxes	204	42	293	82	65	241	68	61	255	71	59	370	0	1,811
Other Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Taxes	401	188	463	247	218	417	221	217	493	2,326	187	504	0	5,882
HCRA	541	448	435	511	430	446	480	417	435	418	425	270	0	5,256
State University Income	336	258	262	316	432	656	413	354	418	499	716	241	0	4,671
Lottery	298	349	266	333	280	281	369	275	279	350	291	86	0	3,657
Medicaid	92	76	82	77	77	77	77	77	77	44	44	45	0	845
Motor Vehicle Fees	20	21	16	15	21	15	21	21	15	23	23	18	0	229
Other Transactions	284	310	461	291	199	289	200	175	319	199	241	531	0	3,499
Total Miscellaneous Receipts	1,571	1,462	1,522	1,543	1,439	1,764	1,560	1,319	1,313	1,533	1,740	1,191	0	17,957
Federal Receipts	6,204	4,816	5,671	3,119	4,693	6,550	4,555	4,777	4,764	5,191	4,753	8,928	0	64,021
<b>TOTAL RECEIPTS</b>	8,176	6,466	7,656	4,909	6,350	8,731	6,336	6,313	6,570	9,050	6,680	10,623	0	87,860
<b>DISBURSEMENTS:</b>														
School Aid	282	499	569	244	207	2,703	331	306	306	341	341	434	0	6,563
Higher Education	1	0	0	0	0	0	0	0	0	0	0	(1)	0	0
All Other Education	56	93	43	46	86	67	65	65	65	64	62	178	0	890
STAR	0	0	0	0	0	0	1	8	60	2,097	0	10	0	2,176
Medicaid - DOH	3,458	5,041	3,883	3,973	4,175	4,503	4,442	4,442	2,828	4,960	4,546	5,661	0	51,728
Public Health	200	156	460	180	293	343	192	182	335	236	172	383	0	3,132
Mental Hygiene	10	7	12	13	7	10	22	11	10	11	10	25	0	148
Children and Families	49	35	38	33	86	86	86	86	86	86	86	86	0	842
Temporary & Disability Assistance	87	69	211	272	245	497	229	282	534	221	228	569	0	3,444
Transportation	72	408	268	278	397	265	270	514	767	55	89	93	0	3,476
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	171	90	9	91	153	137	129	133	124	127	142	149	0	1,455
Total Local Assistance	4,386	6,398	5,493	5,130	5,649	8,611	5,583	6,029	5,115	8,198	5,676	7,586	0	73,854
Personal Service	451	646	449	495	442	435	659	502	502	447	451	372	0	5,851
Non-Personal Service	314	325	294	308	424	377	357	337	283	373	351	281	0	4,024
Total State Operations	765	971	743	803	866	812	1,016	839	785	820	802	653	0	9,875
General State Charges	86	111	89	105	94	123	128	143	176	100	110	152	0	1,417
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>TOTAL DISBURSEMENTS</b>	5,237	7,480	6,325	6,038	6,609	9,546	6,727	7,011	6,076	9,118	6,588	8,391	0	85,146
<b>OTHER FINANCING SOURCES (USES):</b>														
Transfers from Other Funds	309	585	567	237	111	63	98	183	89	55	60	501	(473)	2,385
Transfers to Other Funds	(188)	(304)	(181)	(282)	(129)	(166)	(118)	(175)	(169)	(173)	(206)	(1,833)	473	(3,351)
<b>NET OTHER FINANCING SOURCES (USES)</b>	221	281	386	(45)	(18)	(103)	(20)	8	(80)	(118)	(146)	(1,332)	0	(966)
Excess/(Deficiency) of Receipts over Disbursements	3,160	(733)	1,717	(1,174)	(277)	(918)	(411)	(690)	(414)	(186)	(54)	900	0	1,748
<b>CLOSING BALANCE</b>	7,002	6,269	7,986	6,812	6,535	5,617	5,206	4,516	4,930	4,744	4,690	5,590	0	5,590



**CASHFLOW  
SPECIAL REVENUE STATE FUNDS  
FY 2020**  
(dollars in millions)

	2019 April Results	May Results	June Results	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2020 January Projected	February Projected	March Projected	Intra-Fund Transfer Eliminations	Total
<b>OPENING BALANCE</b>	5,091	6,434	6,338	7,095	7,316	7,288	5,581	5,502	5,223	4,864	5,107	5,453		5,091
<b>RECEIPTS:</b>														
Personal Income Tax	0	0	0	0	0	0	1	8	60	2,097	0	10	0	2,176
Consumption/Use Taxes	197	146	170	165	153	176	152	148	178	158	128	124	0	1,895
Business Taxes	204	42	293	82	65	241	68	61	255	71	59	370	0	1,811
Other Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Taxes	401	188	463	247	218	417	221	217	493	2,326	187	504	0	5,882
HCRA	541	448	435	511	430	446	480	417	435	418	425	270	0	5,256
State University Income	336	258	262	316	432	656	413	354	188	499	716	241	0	4,671
Lottery	298	349	266	333	280	281	369	275	279	350	291	86	0	3,457
Medicaid	92	76	82	77	77	77	77	77	77	77	77	77	0	845
Motor Vehicle Fees	20	21	18	17	17	15	20	17	17	15	22	44	0	94
Other Transactions	270	259	449	286	197	289	200	174	319	183	228	44	0	3,297
Total Miscellaneous Receipts	1,557	1,411	1,510	1,538	1,437	1,764	1,560	1,318	1,313	1,517	1,723	1,107	0	17,755
Federal Receipts	0	0	(1)	0	0	0	0	0	0	0	0	2	0	1
<b>TOTAL RECEIPTS</b>	1,958	1,599	1,972	1,785	1,655	2,481	1,781	1,535	1,806	3,843	1,910	1,613	0	23,638
<b>DISBURSEMENTS:</b>														
School Aid	0	0	349	0	0	2,508	146	146	146	146	146	259	0	3,846
Higher Education	0	1	1	0	4	3	1	1	1	0	0	0	0	0
All Other Education	0	0	0	0	0	0	1	1	1	0	0	1	0	13
STAR	0	0	0	0	0	0	0	8	60	2,097	0	10	0	2,176
Medicaid - DOH	60	33	104	583	478	404	558	476	400	521	548	401	0	5,618
Public Health	0	0	1	0	162	77	65	55	83	105	47	100	0	940
Mental Hygiene	0	0	0	0	0	1	0	0	1	0	0	1	0	4
Children and Families	1	1	0	0	0	0	0	0	0	0	0	2	0	4
Temporary & Disability Assistance	68	403	265	274	393	261	266	511	763	52	85	92	0	3,433
Transportation	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Unrestricted Aid	46	37	19	30	8	(7)	2	(6)	(10)	0	12	206	0	337
All Other	175	1,358	1,105	936	1,045	3,247	1,039	1,191	1,444	2,921	838	1,072	0	16,371
Total Local Assistance	402	580	394	449	392	384	590	450	452	398	397	309	0	5,197
Personal Service	244	249	197	227	277	196	218	214	206	224	204	121	0	2,577
Non-Personal Service	646	829	591	676	669	580	808	664	658	622	601	430	0	7,774
Total State Operations	64	87	56	71	66	99	98	119	150	72	83	115	0	1,080
General State Charges	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>TOTAL DISBURSEMENTS</b>	885	2,274	1,752	1,683	1,780	3,926	1,945	1,974	2,252	3,615	1,522	1,617	0	25,225
<b>OTHER FINANCING SOURCES (USES):</b>														
Transfers from Other Funds	308	585	567	237	111	63	98	183	89	55	60	490	(473)	2,373
Transfers to Other Funds	(38)	(6)	(30)	(118)	(14)	(25)	(13)	(23)	(2)	(40)	(102)	(1,422)	473	(1,960)
<b>NET OTHER FINANCING SOURCES/(USES)</b>	270	579	537	119	97	38	85	160	87	15	(42)	(932)	0	1,013
Excess/(Deficiency) of Receipts over Disbursements	1,343	(96)	757	221	(28)	(1,707)	(79)	(279)	(359)	243	346	(936)	0	(574)
<b>CLOSING BALANCE</b>	6,434	6,338	7,095	7,316	7,288	5,581	5,502	5,223	4,864	5,107	5,453	4,517	0	4,517

**CASHFLOW**  
**SPECIAL REVENUE FEDERAL FUNDS**  
**FY 2020**  
(dollars in millions)

	2019	May	June	July	August	September	October	November	December	2020	January	February	March	Total
	Results	Results	Results	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	
<b>OPENING BALANCE</b>	(1,249)	568	(69)	891	(504)	(753)	36	(296)	(707)	66	(363)	(763)	(1,249)	
<b>RECEIPTS:</b>														
Miscellaneous Receipts	14	51	12	5	2	0	0	1	0	16	17	84	202	
Federal Receipts	6,204	4,816	5,672	3,119	4,693	6,550	4,555	4,777	4,764	5,191	4,753	8,926	64,020	
<b>TOTAL RECEIPTS</b>	6,218	4,867	5,684	3,124	4,695	6,550	4,555	4,778	4,764	5,207	4,770	9,010	64,222	
<b>DISBURSEMENTS:</b>														
School Aid	282	499	220	244	207	195	185	160	160	195	195	175	2,717	
Higher Education	1	0	0	0	0	0	0	0	0	0	0	0	0	
All Other Education	56	92	42	46	82	64	64	64	64	64	62	177	877	
STAR	0	0	0	0	0	0	0	0	0	0	0	0	0	
Medicaid - DOH	3,458	4,158	3,517	3,390	3,697	4,099	3,700	3,966	2,428	4,439	3,998	5,260	46,110	
Public Health	140	123	356	131	131	266	127	127	252	131	125	283	2,192	
Mental Hygiene	10	7	11	13	7	9	22	11	9	11	10	24	144	
Children and Families	48	34	38	33	86	86	86	86	86	86	86	83	838	
Temporary & Disability Assistance	87	69	211	272	245	497	229	282	534	221	228	569	3,444	
Transportation	4	5	3	4	4	4	4	3	4	3	4	1	43	
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0	
All Other	125	53	(10)	61	145	144	127	139	134	127	130	(57)	1,118	
Total Local Assistance	4,211	5,040	4,388	4,194	4,604	5,364	4,544	4,838	3,671	5,277	4,838	6,514	57,483	
Personal Service	49	66	55	46	50	51	69	52	50	49	54	63	654	
Non-Personal Service	70	76	97	81	147	181	139	123	77	149	147	160	1,447	
Total State Operations	119	142	152	127	197	232	208	175	127	198	201	223	2,101	
General State Charges	22	24	33	34	28	24	30	24	26	28	27	37	337	
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0	
<b>TOTAL DISBURSEMENTS</b>	4,352	5,206	4,573	4,355	4,829	5,620	4,782	5,037	3,824	5,503	5,066	6,774	59,921	
<b>OTHER FINANCING SOURCES (USES):</b>														
Transfers from Other Funds	1	0	0	0	0	0	0	0	0	0	0	0	12	
Transfers to Other Funds	(50)	(298)	(151)	(164)	(115)	(141)	(105)	(152)	(167)	(133)	(104)	(411)	(1,991)	
<b>NET OTHER FINANCING SOURCES/(USES)</b>	(49)	(298)	(151)	(164)	(115)	(141)	(105)	(152)	(167)	(133)	(104)	(400)	(1,979)	
Excess/(Deficiency) of Receipts over Disbursements	1,817	(637)	960	(1,395)	(249)	789	(332)	(411)	773	(429)	(400)	1,836	2,322	
<b>CLOSING BALANCE</b>	568	(69)	891	(504)	(753)	36	(296)	(707)	66	(363)	(763)	1,073	1,073	

**CASHFLOW  
DEBT SERVICE FUNDS  
FY 2020  
(dollars in millions)**

	2019 April Results	May Results	June Results	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2020 January Projected	February Projected	March Projected	Total
<b>OPENING BALANCE</b>	65	315	683	362	591	608	192	393	719	487	2,500	2,768	65
<b>RECEIPTS:</b>													
Personal Income Tax	4,608	1,242	2,605	1,679	1,326	2,385	1,158	877	2,163	4,431	1,773	1,828	26,075
Consumption/Use Taxes	538	551	743	582	582	796	590	592	768	616	524	686	7,568
Other Taxes	83	86	87	119	92	92	78	84	82	84	82	61	1,030
Total Taxes	5,229	1,879	3,435	2,380	2,000	3,273	1,826	1,553	3,013	5,131	2,379	2,575	34,673
Miscellaneous Receipts	43	36	38	28	26	25	21	23	23	39	46	46	394
Federal Receipts	0	0	0	0	2	35	0	0	0	0	3	34	74
<b>TOTAL RECEIPTS</b>	5,272	1,915	3,473	2,408	2,028	3,333	1,847	1,576	3,036	5,170	2,428	2,655	35,141
<b>DISBURSEMENTS:</b>													
State Operations	1	2	2	9	9	2	0	3	1	0	8	9	46
Debt Service	72	122	230	45	75	433	49	89	415	45	755	2,840	5,170
<b>TOTAL DISBURSEMENTS</b>	73	124	232	54	84	435	49	92	416	45	763	2,849	5,216
<b>OTHER FINANCING SOURCES (USES):</b>													
Transfers from Other Funds	219	207	86	302	172	312	278	169	208	418	181	931	3,483
Transfers to Other Funds	(5,168)	(1,630)	(3,648)	(2,427)	(2,099)	(3,626)	(4,875)	(1,327)	(3,060)	(3,530)	(1,578)	(3,458)	(33,426)
<b>NET OTHER FINANCING SOURCES/(USES)</b>	(4,949)	(1,423)	(3,562)	(2,125)	(1,927)	(3,314)	(1,597)	(1,158)	(2,852)	(3,112)	(1,397)	(2,527)	(29,943)
Excess/(Deficiency) of Receipts over Disbursements	250	368	(321)	229	17	(416)	201	326	(232)	2,013	268	(2,721)	(18)
<b>CLOSING BALANCE</b>	315	683	362	591	608	192	393	719	487	2,500	2,768	47	47

**CASHFLOW**  
**CAPITAL PROJECTS FUNDS**  
**FY 2020**  
**(dollars in millions)**

	2019 April Results	May Results	June Results	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2020 January Projected	February Projected	March Projected	Total
<b>OPENING BALANCE</b>	(1,138)	(1,018)	(1,249)	(1,213)	(1,327)	(1,447)	(1,674)	(981)	(1,201)	(780)	(828)	(864)	(1,138)
<b>RECEIPTS:</b>													
Consumption/Use Taxes	54	46	65	51	49	72	47	45	67	45	42	53	636
Business Taxes	60	55	60	54	55	58	54	53	55	52	52	56	665
Other Taxes	0	0	12	12	12	12	12	12	12	12	12	11	119
Total Taxes	114	101	137	117	116	142	113	110	134	110	106	120	1,420
Miscellaneous Receipts	304	93	254	245	80	527	1,836	94	2,303	281	89	2,000	8,106
Federal Receipts	38	143	132	155	191	199	157	240	190	123	219	442	2,229
<b>TOTAL RECEIPTS</b>	456	337	523	517	387	868	2,106	444	2,627	514	414	2,562	11,755
<b>DISBURSEMENTS:</b>													
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	1	0	1	0	32	0	0	0	0	0	0	0	34
Public Health	28	40	18	73	25	47	35	22	64	32	23	220	627
Mental Hygiene	4	1	3	5	12	14	13	8	10	13	13	54	150
School Aid	8	3	9	7	29	73	29	29	65	29	29	120	430
Temporary & Disability Assistance	0	0	16	0	0	15	0	0	15	0	0	16	62
Transportation	234	47	76	60	60	161	110	55	272	98	43	575	1,791
All Other Local	90	313	413	99	118	177	147	312	285	141	149	104	2,348
Total Local Assistance	365	404	536	244	276	487	334	426	711	313	257	1,089	5,442
Economic Development	3	3	22	2	106	130	107	102	133	81	65	171	925
Parks & the Environment	34	42	46	54	46	46	52	46	47	49	47	288	797
Transportation	229	279	281	306	324	344	344	382	419	268	245	272	3,693
Health & Social Welfare	4	5	6	5	12	17	10	10	11	9	23	78	190
Mental Hygiene	24	32	34	24	24	24	27	24	22	34	23	28	320
Public Protection	39	38	41	53	45	52	44	47	51	46	43	56	555
Education	57	78	47	87	136	128	102	84	89	87	100	130	1,125
All Other	44	52	59	68	12	105	(9)	(35)	147	18	5	530	996
Total Capital Projects	434	529	536	599	705	846	677	660	919	592	551	1,553	8,601
<b>TOTAL DISBURSEMENTS</b>	799	933	1,072	843	981	1,333	1,011	1,086	1,630	905	808	2,642	14,043
<b>OTHER FINANCING SOURCES (USES):</b>													
Transfers from Other Funds	509	413	633	261	542	494	(357)	467	(531)	388	431	282	3,532
Transfers to Other Funds	(46)	(48)	(48)	(49)	(68)	(256)	(45)	(45)	(45)	(45)	(73)	(818)	(1,586)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	444	444
<b>NET OTHER FINANCING SOURCES/(USES)</b>	463	365	585	212	474	238	(402)	422	(576)	343	358	(92)	2,390
Excess/(Deficiency) of Receipts over Disbursements	120	(231)	36	(114)	(120)	(227)	693	(220)	421	(48)	(36)	(172)	102
<b>CLOSING BALANCE</b>	(1,018)	(1,249)	(1,213)	(1,327)	(1,447)	(1,674)	(981)	(1,201)	(780)	(828)	(864)	(1,036)	(1,036)

**CASHFLOW  
CAPITAL PROJECTS STATE FUNDS  
FY 2020  
(dollars in millions)**

	2019 April Results	May Results	June Results	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2020 January Projected	February Projected	March Projected	Total
<b>OPENING BALANCE</b>	(633)	(446)	(699)	(637)	(760)	(907)	(1,047)	(352)	(667)	(12)	(65)	(220)	(633)
<b>RECEIPTS:</b>													
Consumption/Use Taxes	54	46	65	51	49	72	47	45	67	45	42	53	636
Business Taxes	60	55	60	54	55	58	54	53	55	53	52	56	665
Other Taxes	0	0	0	12	12	12	12	12	12	12	12	11	119
Total Taxes	114	101	137	117	116	142	113	110	134	110	106	120	1,420
Miscellaneous Receipts	304	93	254	245	80	527	1,836	94	2,303	281	89	2,000	8,106
Federal Receipts	0	0	0	0	0	0	0	0	0	0	0	5	5
<b>TOTAL RECEIPTS</b>	418	194	391	362	196	669	1,949	204	2,437	391	195	2,125	9,531
<b>DISBURSEMENTS:</b>													
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	1	0	1	0	32	0	0	0	0	0	0	0	34
Public Health	28	40	18	70	25	47	35	22	22	32	20	198	557
Mental Hygiene	4	1	3	5	12	14	13	8	10	13	13	54	150
School Aid	8	3	9	7	29	73	29	29	65	29	29	120	430
Temporary & Disability Assistance	0	0	16	0	0	15	0	0	15	0	0	16	62
Transportation	194	10	28	22	13	114	63	13	234	63	13	543	1,310
All Other Local	90	313	403	99	113	174	145	309	161	140	150	96	2,193
Total Local Assistance	325	367	478	203	224	437	285	381	507	277	225	1,027	4,736
Economic Development	3	3	22	2	106	130	107	102	133	81	65	171	925
Parks & the Environment	33	45	45	52	40	44	49	44	45	47	45	288	776
Transportation	169	199	186	206	217	234	240	287	324	190	181	221	2,654
Health & Social Welfare	3	4	5	5	11	15	9	9	10	9	23	78	181
Mental Hygiene	24	32	34	24	24	24	27	24	22	34	23	28	320
Public Protection	36	37	38	50	43	50	42	45	49	44	41	54	529
Education	57	78	47	87	136	128	102	84	89	87	100	130	1,125
All Other	44	52	59	68	12	105	(9)	(35)	147	18	5	530	996
Total Capital Projects	369	445	436	494	593	730	567	560	819	510	483	1,500	7,506
<b>TOTAL DISBURSEMENTS</b>	694	812	914	697	817	1,167	852	941	1,326	787	708	2,527	12,242
<b>OTHER FINANCING SOURCES (USES):</b>													
Transfers from Other Funds	509	413	633	261	542	614	(357)	467	(411)	388	431	437	3,927
Transfers to Other Funds	(46)	(48)	(48)	(49)	(68)	(256)	(45)	(45)	(45)	(45)	(73)	(806)	(1,574)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	444	444
<b>NET OTHER FINANCING SOURCES/(USES)</b>	463	365	585	212	474	358	(402)	422	(456)	343	358	75	2,797
Excess/(Deficiency) of Receipts over Disbursements	187	(253)	62	(123)	(147)	(140)	695	(315)	655	(63)	(155)	(327)	86
<b>CLOSING BALANCE</b>	(446)	(699)	(637)	(760)	(907)	(1,047)	(352)	(667)	(12)	(65)	(220)	(547)	(547)

**CASHFLOW**  
**CAPITAL PROJECTS FEDERAL FUNDS**  
**FY 2020**  
**(dollars in millions)**

	2019 April Results	May Results	June Results	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2020 January Projected	February Projected	March Projected	Total
<b>OPENING BALANCE</b>	(505)	(572)	(550)	(576)	(567)	(540)	(627)	(629)	(534)	(768)	(763)	(644)	(505)
<b>RECEIPTS:</b>													
Consumption/Use Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Business Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Other Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	0	0	0	0	0	0	0	0	0	0	0	0	0
Federal Receipts	38	143	132	155	191	199	157	240	190	123	219	437	2,224
<b>TOTAL RECEIPTS</b>	38	143	132	155	191	199	157	240	190	123	219	437	2,224
<b>DISBURSEMENTS:</b>													
Public Health	0	0	0	3	0	0	0	0	42	0	3	22	70
Transportation	40	37	48	38	47	47	47	42	38	35	30	32	481
All Other Local	0	0	10	0	5	3	2	3	124	1	(1)	8	155
Total Local Assistance	40	37	58	41	52	50	49	45	204	36	32	62	706
Economic Development	0	0	0	0	0	0	0	0	0	0	0	0	0
Parks & the Environment	1	2	1	2	2	2	3	2	2	2	2	0	21
Transportation	60	80	95	100	107	110	104	95	95	78	64	51	1,039
Health & Social Welfare	1	1	1	0	1	2	1	1	1	0	0	0	9
Mental Hygiene	0	0	0	0	0	0	0	0	0	0	0	0	0
Public Protection	3	1	3	3	2	2	2	2	2	2	2	2	26
Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Capital Projects	65	84	100	105	112	116	110	100	100	82	68	53	1,095
<b>TOTAL DISBURSEMENTS</b>	105	121	158	146	164	166	159	145	304	118	100	115	1,801
<b>OTHER FINANCING SOURCES (USES):</b>													
Transfers from Other Funds	0	0	0	0	0	(120)	0	0	(120)	0	0	(155)	(395)
Transfers to Other Funds	0	0	0	0	0	0	0	0	0	0	0	(12)	(12)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>NET OTHER FINANCING SOURCES/(USES)</b>	0	0	0	0	0	(120)	0	0	(120)	0	0	(167)	(407)
Excess/(Deficiency) of Receipts over Disbursements	(67)	22	(26)	9	27	(87)	(2)	95	(234)	5	119	155	16
<b>CLOSING BALANCE</b>	(572)	(550)	(576)	(567)	(540)	(627)	(629)	(534)	(768)	(763)	(644)	(489)	(489)

**CASHFLOW  
STATE FUNDS  
FY 2020**  
(dollars in millions)

	2019 April Results	May Results	June Results	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2020 January Projected	February Projected	March Projected	Intra-Fund Transfer Eliminations	Total
<b>OPENING BALANCE</b>	11,729	18,272	11,544	13,413	13,869	12,617	12,584	12,966	10,959	13,133	18,035	18,024		11,729
<b>RECEIPTS:</b>														
Personal Income Tax	9,216	2,484	5,210	3,358	2,652	4,770	2,317	1,754	4,326	8,852	3,546	3,665	0	52,150
Consumption/Use Taxes	1,374	1,341	1,774	1,436	1,420	1,901	1,436	1,436	1,836	1,500	1,259	2,597	0	18,308
Business Taxes	807	(84)	1,496	300	171	1,407	196	158	1,494	213	149	2,246	0	8,553
Other Taxes	164	144	164	264	202	202	187	193	191	193	191	167	0	2,262
Total Taxes	11,561	3,885	8,644	5,358	4,445	8,280	4,136	3,539	7,847	10,758	5,165	7,675	0	81,273
Abandoned Property	1	0	0	0	0	40	10	150	50	0	0	199	0	450
ABC License Fee	6	6	5	6	6	5	6	4	6	6	6	4	0	66
HCRA	541	448	435	511	430	446	480	417	435	418	425	270	0	5,256
Investment Income	22	17	20	13	3	4	3	4	3	4	3	4	0	100
Licenses, Fees, etc.	69	42	50	49	45	75	60	60	65	70	45	64	0	694
Lottery	298	349	266	333	280	281	369	275	279	350	291	86	0	3,457
Medicaid	92	76	82	77	77	77	77	77	77	77	77	77	0	845
Motor Vehicle Fees	53	56	28	51	27	21	47	34	49	55	59	48	0	528
Reimbursements	7	29	33	9	9	9	4	4	4	3	4	(3)	0	112
State University Income	336	258	262	316	432	656	413	354	188	499	716	241	0	4,671
Extraordinary Settlements	585	142	0	33	0	0	0	0	0	0	0	0	0	760
Other Transactions	636	396	779	578	318	936	2,097	306	2,705	518	374	2,563	0	12,206
Total Miscellaneous Receipts	2,646	1,819	1,960	1,976	1,627	2,550	3,566	1,685	3,865	1,967	1,967	3,521	0	29,145
Federal Receipts	0	0	(1)	0	2	35	0	0	0	0	3	41	0	80
TOTAL RECEIPTS	14,207	5,704	10,603	7,334	6,074	10,865	7,702	5,224	11,708	12,725	7,115	11,237	0	110,498
<b>DISBURSEMENTS:</b>														
School Aid	783	3,899	2,080	373	798	4,286	1,062	1,755	2,250	735	917	8,907	0	27,845
Higher Education	38	24	337	1,111	59	92	32	32	189	35	328	548	0	2,975
All Other Education	98	58	132	187	666	59	74	93	293	34	340	401	0	2,435
STAR	0	0	0	0	0	0	1	8	60	2,097	0	10	0	2,176
Medicaid - DOH	3,302	2,600	1,792	1,853	1,626	1,680	1,953	1,385	1,342	1,403	1,427	1,006	0	21,369
Public Health	112	96	222	160	307	263	129	107	167	160	90	320	0	2,133
Mental Hygiene	128	64	271	191	51	285	111	56	305	145	169	362	0	2,138
Children and Families	20	22	30	28	102	290	102	102	290	102	150	293	0	1,531
Temporary & Disability Assistance	67	65	166	106	141	111	111	111	126	111	111	136	0	1,402
Transportation	262	437	307	296	430	375	329	548	1,008	115	111	641	0	4,859
Unrestricted Aid	0	11	388	17	17	49	14	7	192	7	1	66	0	752
All Other	160	283	566	149	262	264	220	379	244	216	262	578	0	3,583
Total Local Assistance	4,970	7,559	6,291	4,454	4,459	7,884	4,198	4,583	6,466	5,160	3,906	13,268	0	73,198
Personal Service	1,091	1,652	1,073	1,144	1,241	1,062	1,438	1,135	1,137	1,249	1,065	979	0	14,761
Non-Personal Service	404	500	418	435	560	470	470	470	421	481	447	417	0	5,493
Total State Operations	1,495	2,152	1,491	1,579	1,801	1,532	1,908	1,605	1,553	1,730	1,512	1,396	0	19,754
General State Charges	792	2,436	421	456	507	577	698	540	563	505	569	703	0	8,767
Debt Service	72	122	230	45	75	433	49	89	415	45	755	2,840	0	5,170
Capital Projects	369	445	436	494	593	730	567	560	819	510	483	1,500	0	7,506
TOTAL DISBURSEMENTS	7,698	12,714	8,869	7,028	7,435	11,156	7,420	7,377	9,816	7,950	7,225	19,707	0	114,995
<b>OTHER FINANCING SOURCES (USES):</b>														
Transfers from other funds	6,214	2,831	4,931	3,323	2,926	4,684	1,893	2,177	2,933	4,423	2,301	6,551	(473)	44,714
Transfers to other funds	(6,180)	(2,549)	(4,796)	(3,173)	(2,817)	(4,426)	(1,793)	(2,031)	(2,651)	(4,296)	(2,202)	(6,033)	473	(42,474)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	444	0	444
NET OTHER FINANCING SOURCES/(USES)	34	282	135	150	109	258	100	146	282	127	99	962	0	2,684
Excess/(Deficiency) of Receipts over Disbursements	6,543	(6,728)	1,869	456	(1,252)	(33)	382	(2,007)	2,174	(4,902)	(11)	(7,508)	0	(1,213)
<b>CLOSING BALANCE</b>	18,272	11,544	13,413	13,869	12,617	12,584	12,966	10,959	13,133	18,035	18,024	10,516	0	10,516

**CASH FINANCIAL PLAN**  
**HEALTH CARE REFORM ACT RESOURCES FUND**  
**FY 2020**  
(millions of dollars)

	<u>Enacted</u>	<u>Change</u>	<u>First Quarter</u>
<b>Opening Fund Balance</b>	<u>0</u>	<u>0</u>	<u>0</u>
<b>Receipts:</b>			
Taxes	741	0	741
Miscellaneous receipts	<u>5,256</u>	<u>0</u>	<u>5,256</u>
<b>Total Receipts</b>	<u>5,997</u>	<u>0</u>	<u>5,997</u>
<b>Disbursements and Transfers:</b>			
Medical Assistance Account	3,881	0	3,881
Hospital Indigent Care Fund	892	0	892
HCRA Program Account	379	0	379
Child Health Plus (CHP)	428	0	428
Elderly Pharmaceutical Insurance Coverage (EPIC)	128	0	128
Qualified Health Plan Administration	53	0	53
SHIN-NY/APCD	40	0	40
All Other	<u>196</u>	<u>0</u>	<u>196</u>
<b>Total Disbursements and Transfers</b>	<u>5,997</u>	<u>0</u>	<u>5,997</u>
<b>Change in Fund Balance</b>	<u>0</u>	<u>0</u>	<u>0</u>
<b>Closing Fund Balance</b>	<u>0</u>	<u>0</u>	<u>0</u>



**CASH FINANCIAL PLAN**  
**HEALTH CARE REFORM ACT RESOURCES FUND**  
**FY 2020 THROUGH FY 2023**  
(millions of dollars)

	<u>FY 2020</u> <u>First Quarter</u>	<u>FY 2021</u> <u>Projected</u>	<u>FY 2022</u> <u>Projected</u>	<u>FY 2023</u> <u>Projected</u>
<b>Opening Fund Balance</b>	0	0	0	0
<b>Receipts:</b>				
Taxes	741	724	690	659
Miscellaneous receipts	5,256	5,329	5,403	5,480
<b>Total Receipts</b>	<u>5,997</u>	<u>6,053</u>	<u>6,093</u>	<u>6,139</u>
<b>Disbursements and Transfers:</b>				
Medical Assistance Account	3,881	3,800	3,750	3,801
Hospital Indigent Care	892	892	892	892
HCRA Program Account	379	392	392	392
Child Health Plus	428	624	733	747
Elderly Pharmaceutical Insurance Coverage	128	130	129	129
Qualified Health Plan Administration	53	51	50	49
SHIN-NY/APCD	40	0	0	0
All Other	196	164	147	129
<b>Total Disbursements and Transfers</b>	<u>5,997</u>	<u>6,053</u>	<u>6,093</u>	<u>6,139</u>
<b>Change in Fund Balance</b>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>Closing Fund Balance</b>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>

**CASH FINANCIAL PLAN**  
**HEALTH CARE REFORM ACT RESOURCES FUND**  
**FY 2019 and FY 2020**  
(millions of dollars)

	<u>FY 2019</u> <u>Results</u>	<u>FY 2020</u> <u>First Quarter</u>	<u>Annual</u> <u>Change</u>
<b>Opening Fund Balance</b>	15	0	(15)
<b>Receipts:</b>			
Taxes	780	741	(39)
Miscellaneous receipts	5,180	5,256	76
<b>Total Receipts</b>	<u>5,960</u>	<u>5,997</u>	<u>37</u>
<b>Disbursements and Transfers:</b>			
Medical Assistance Account	3,985	3,881	(104)
Hospital Indigent Care	777	892	115
HCRA Program Account	379	379	0
Child Health Plus	409	428	19
Elderly Pharmaceutical Insurance Coverage	137	128	(9)
Qualified Health Plan Administration	44	53	9
SHIN-NY/APCD	40	40	0
All Other	204	196	(8)
<b>Total Disbursements and Transfers</b>	<u>5,975</u>	<u>5,997</u>	<u>22</u>
<b>Change in Fund Balance</b>	<u>(15)</u>	<u>0</u>	<u>15</u>
<b>Closing Fund Balance</b>	<u>0</u>	<u>0</u>	<u>0</u>

**CASH FLOW**  
**HEALTH CARE REFORM ACT RESOURCES FUND**  
**FY 2019**  
(dollars in millions)

	April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	January Projected	February Projected	March Projected	Total
<b>Opening Fund Balance</b>	15	174	212	274	279	171	271	239	372	256	339	183	15
<b>Receipts:</b>													
Taxes	64	69	73	78	71	64	70	64	63	65	47	52	780
Miscellaneous receipts	456	404	474	436	431	446	423	506	371	451	423	359	5,180
<b>Total Receipts</b>	520	473	547	514	502	510	493	570	434	516	470	411	5,960
<b>Disbursements and Transfers:</b>													
Medical Assistance Account	220	331	331	263	496	269	385	307	394	314	435	240	3,985
Hospital Indigent Care	61	64	52	72	71	74	77	61	66	62	57	60	777
HCRA Program Account	36	5	54	132	3	22	22	6	5	17	53	24	379
Child Health Plus	20	22	34	21	22	26	28	40	72	21	42	61	409
Elderly Pharmaceutical Insurance Coverage	7	10	12	12	12	13	12	13	11	12	9	14	137
Qualified Health Plan Administration	0	0	0	0	0	0	0	0	0	0	0	44	44
SHIN-NY/APCD	0	0	0	0	0	0	0	0	0	0	0	40	40
All Other	17	3	2	9	6	6	1	10	2	7	30	111	204
<b>Total</b>	361	435	485	509	610	410	525	437	550	433	626	594	5,975
<b>Change in Fund Balance</b>	159	38	62	5	(108)	100	(32)	133	(116)	83	(156)	(183)	(15)
<b>Closing Fund Balance</b>	174	212	274	279	171	271	239	372	256	339	183	0	0

**CASH FLOW**  
**HEALTH CARE REFORM ACT RESOURCES FUND**  
**FY 2020**  
(dollars in millions)

	April Results	May Results	June Results	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	January Projected	February Projected	March Projected	Total
<b>Opening Fund Balance</b>	0	543	283	301	413	342	364	429	448	456	425	269	0
<b>Receipts:</b>													
Taxes	63	59	57	75	67	62	67	61	60	66	49	55	741
Miscellaneous receipts	541	448	436	511	430	446	480	417	435	418	426	268	5,256
<b>Total Receipts</b>	604	507	493	586	497	508	547	478	495	484	475	323	5,997
<b>Disbursements and Transfers:</b>													
Medical Assistance Account	0	600	300	330	330	330	330	330	330	330	462	209	3,881
Hospital Indigent Care	0	123	65	89	71	74	74	69	70	68	85	104	892
HCRA Program Account	33	4	53	15	127	25	21	4	4	61	6	20	379
Child Health Plus	22	21	41	22	22	40	31	31	67	31	31	69	428
Elderly Pharmaceutical Insurance Coverage	5	9	10	11	13	13	13	13	12	12	8	9	128
Qualified Health Plan Administration	0	1	4	4	4	4	4	4	4	9	9	6	53
SHIN-NY/APCD	0	0	0	0	0	0	0	0	0	0	0	40	40
All Other	1	9	2	3	1	0	9	2	0	4	30	135	196
<b>Total</b>	61	767	475	474	568	486	482	459	487	515	631	592	5,997
<b>Change in Fund Balance</b>	543	(260)	18	112	(71)	22	65	19	8	(31)	(156)	(269)	0
<b>Closing Fund Balance</b>	543	283	301	413	342	364	429	448	456	425	269	0	0

**CASH FINANCIAL PLAN  
PROPRIETARY AND FIDUCIARY FUNDS**  
(millions of dollars)

	FY 2019 Results			FY 2020 First Quarter			FY 2021 Projected			FY 2022 Projected			FY 2023 Projected		
	Internal Service	Enterprise	Fiduciary	Internal Service	Enterprise	Fiduciary	Internal Service	Enterprise	Fiduciary	Internal Service	Enterprise	Fiduciary	Internal Service	Enterprise	Fiduciary
<b>Opening Fund Balance</b>	(270)	26	12	(304)	28	14	(289)	25	15	(282)	22	16	(276)	19	17
<b>Receipts:</b>															
Unemployment Taxes	0	2,013	0	0	2,450	0	0	2,450	0	0	2,450	0	0	2,450	0
Miscellaneous Receipts	531	62	2	606	91	1	604	91	1	600	91	1	608	92	1
Federal Receipts	0	15	0	0	50	0	0	50	0	0	50	0	0	50	0
<b>Total Receipts</b>	<b>531</b>	<b>2,090</b>	<b>2</b>	<b>606</b>	<b>2,591</b>	<b>1</b>	<b>604</b>	<b>2,591</b>	<b>1</b>	<b>600</b>	<b>2,591</b>	<b>1</b>	<b>608</b>	<b>2,592</b>	<b>1</b>
<b>Disbursements:</b>															
Local Assistance	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
State Operations:															
Personal Service	110	6	0	151	15	0	158	15	0	152	15	0	152	15	0
Non-Personal Service	469	53	0	483	72	0	489	72	0	489	72	0	494	73	0
Unemployment Benefits	0	2,028	0	0	2,500	0	0	2,500	0	0	2,500	0	0	2,500	0
General State Charges	74	1	0	74	6	0	78	6	0	79	6	0	79	6	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total Disbursements</b>	<b>653</b>	<b>2,088</b>	<b>0</b>	<b>708</b>	<b>2,593</b>	<b>0</b>	<b>725</b>	<b>2,593</b>	<b>0</b>	<b>720</b>	<b>2,593</b>	<b>0</b>	<b>725</b>	<b>2,594</b>	<b>0</b>
<b>Other Financing Sources (Uses):</b>															
Transfers from Other Funds	116	0	0	138	0	0	140	0	0	134	0	0	130	0	0
Transfers to Other Funds	(28)	0	0	(21)	(1)	0	(12)	(1)	0	(8)	(1)	0	(8)	(1)	0
	88	0	0	117	(1)	0	128	(1)	0	126	(1)	0	122	(1)	0
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<b>(34)</b>	<b>2</b>	<b>2</b>	<b>15</b>	<b>(3)</b>	<b>1</b>	<b>7</b>	<b>(3)</b>	<b>1</b>	<b>6</b>	<b>(3)</b>	<b>1</b>	<b>5</b>	<b>(3)</b>	<b>1</b>
<b>Closing Fund Balance</b>	<b>(304)</b>	<b>28</b>	<b>14</b>	<b>(289)</b>	<b>25</b>	<b>15</b>	<b>(282)</b>	<b>22</b>	<b>16</b>	<b>(276)</b>	<b>19</b>	<b>17</b>	<b>(271)</b>	<b>16</b>	<b>18</b>

# Workforce Impact Summary

General Fund  
2017-18 Through 2019-20

	2017-18 Actuals (03/31/18)	2018-19 Actuals (03/31/19)	2019-20 Estimate (03/31/20)
<b>Major Agencies</b>			
Children and Family Services, Office of	2,329	2,429	2,316
Corrections and Community Supervision, Department of	29,003	28,769	26,854
Education Department, State	281	328	279
Environmental Conservation, Department of	994	1,048	1,113
General Services, Office of	930	404	394
Health, Department of	1,463	1,568	1,887
Information Technology Services, Office of	3,464	3,541	3,481
Labor, Department of	0	0	1
Mental Health, Office of	13,351	13,293	13,053
Motor Vehicles, Department of	0	164	167
Parks, Recreation and Historic Preservation, Office of	1,107	1,303	1,238
People with Developmental Disabilities, Office for	18,476	18,640	18,195
State Police, Division of	5,219	5,367	5,330
Taxation and Finance, Department of	3,845	3,750	3,386
Temporary and Disability Assistance, Office of	1,011	1,011	1,014
Transportation, Department of	0	2,692	2,545
<b>Subtotal - Major Agencies</b>	<b>81,473</b>	<b>84,307</b>	<b>81,253</b>
<b>Minor Agencies</b>			
Adirondack Park Agency	54	49	54
Aging, Office for the	11	12	18
Agriculture and Markets, Department of	371	387	386
Alcoholic Beverage Control, Division of	113	108	120
Alcoholism and Substance Abuse Services, Office of	720	714	722
Arts, Council on the	27	28	30
Budget, Division of the	220	238	245
Civil Service, Department of	186	207	185
Correction, Commission of	29	30	32
Criminal Justice Services, Division of	376	380	398
Economic Development, Department of	135	138	151
Elections, State Board of	69	69	77
Employee Relations, Office of	26	66	66
Executive Chamber	97	112	136
Gaming Commission, New York State	58	65	56
Housing and Community Renewal, Division of	33	35	54
Hudson River Valley Greenway Communities Council	0	0	1
Human Rights, Division of	107	147	124
Inspector General, Office of the	87	81	92
Judicial Conduct, Commission on	40	38	43
Justice Center for the Protection of People with Special Needs	407	413	416
Labor Management Committees	72	68	77
Lieutenant Governor, Office of the	4	5	7
Medicaid Inspector General, Office of the	203	203	214
Military and Naval Affairs, Division of	100	96	104
Prevention of Domestic Violence, Office for	17	17	18
Public Employment Relations Board	30	30	33
Public Ethics, Joint Commission on	52	51	52
State, Department of	205	212	138
Statewide Financial System	135	134	142
Tax Appeals, Division of	23	22	27
Veterans' Services, Division of	76	80	90
Welfare Inspector General, Office of	7	7	7
<b>Subtotal - Minor Agencies</b>	<b>4,090</b>	<b>4,242</b>	<b>4,315</b>
<b>Subtotal - Subject to Direct Executive Control</b>	<b>85,563</b>	<b>88,549</b>	<b>85,568</b>
<b>University Systems</b>			
State University of New York	3	3	3
<b>Subtotal - University Systems</b>	<b>3</b>	<b>3</b>	<b>3</b>
<b>Independently Elected Agencies</b>			
Audit and Control, Department of	1,324	1,326	1,336
Law, Department of	1,077	1,083	1,065
<b>Subtotal - Independently Elected Agencies</b>	<b>2,401</b>	<b>2,409</b>	<b>2,401</b>
<b>Grand Total</b>	<b>87,967</b>	<b>90,961</b>	<b>87,972</b>

# Workforce Impact Summary

## State Operating Funds 2017-18 Through 2019-20

	2017-18 Actuals (03/31/18)	2018-19 Actuals (03/31/19)	2019-20 Estimate (03/31/20)
<b>Major Agencies</b>			
Children and Family Services, Office of	2,365	2,486	2,360
Corrections and Community Supervision, Department of	29,008	28,773	26,858
Education Department, State	1,238	1,279	1,263
Environmental Conservation, Department of	2,094	2,128	2,235
Financial Services, Department of	1,356	1,342	1,391
General Services, Office of	988	460	407
Health, Department of	3,437	3,515	4,078
Information Technology Services, Office of	3,464	3,541	3,481
Labor, Department of	342	327	508
Mental Health, Office of	13,351	13,293	13,053
Motor Vehicles, Department of	498	661	665
Parks, Recreation and Historic Preservation, Office of	1,314	1,553	1,524
People with Developmental Disabilities, Office for	18,476	18,640	18,195
State Police, Division of	5,539	5,704	5,666
Taxation and Finance, Department of	3,898	3,806	4,085
Temporary and Disability Assistance, Office of	1,011	1,011	1,014
Transportation, Department of	39	2,729	2,591
Workers' Compensation Board	1,082	1,044	1,109
<b>Subtotal - Major Agencies</b>	<b>89,500</b>	<b>92,292</b>	<b>90,483</b>
<b>Minor Agencies</b>	<b>6,430</b>	<b>6,521</b>	<b>7,091</b>
<b>Subtotal - Subject to Direct Executive Control</b>	<b>95,930</b>	<b>98,813</b>	<b>97,574</b>
<b>University Systems</b>			
City University of New York	375	377	374
State University of New York	45,880	46,447	46,090
<b>Subtotal - University Systems</b>	<b>46,255</b>	<b>46,824</b>	<b>46,464</b>
<b>Independently Elected Agencies</b>			
Audit and Control, Department of	1,490	1,494	1,524
Law, Department of	1,543	1,551	1,533
<b>Subtotal - Independently Elected Agencies</b>	<b>3,033</b>	<b>3,045</b>	<b>3,057</b>
<b>Grand Total</b>	<b>145,218</b>	<b>148,682</b>	<b>147,095</b>

# Workforce Impact Summary

## State Operating Funds 2017-18 Through 2019-20

	2017-18 Actuals (03/31/18)	2018-19 Actuals (03/31/19)	2019-20 Estimate (03/31/20)
<b>Minor Agencies</b>			
Adirondack Park Agency	54	49	54
Aging, Office for the	11	12	18
Agriculture and Markets, Department of	415	422	429
Alcoholic Beverage Control, Division of	113	108	120
Alcoholism and Substance Abuse Services, Office of	720	714	722
Arts, Council on the	27	28	30
Budget, Division of the	234	252	261
Civil Service, Department of	192	211	191
Correction, Commission of	29	30	32
Criminal Justice Services, Division of	381	385	403
Deferred Compensation Board	4	4	4
Economic Development, Department of	136	139	153
Elections, State Board of	69	69	77
Employee Relations, Office of	26	66	66
Executive Chamber	97	112	136
Financial Control Board, New York State	12	10	13
Gaming Commission, New York State	417	397	430
Higher Education Services Corporation, New York State	183	156	176
Homeland Security and Emergency Services, Division of	347	390	509
Housing and Community Renewal, Division of	536	508	655
Hudson River Valley Greenway Communities Council	0	0	1
Human Rights, Division of	107	147	124
Indigent Legal Services, Office of	24	31	36
Inspector General, Office of the	87	81	92
Interest on Lawyer Account	9	9	9
Judicial Conduct, Commission on	40	38	43
Justice Center for the Protection of People with Special Needs	422	425	429
Labor Management Committees	72	68	77
Lieutenant Governor, Office of the	4	5	7
Medicaid Inspector General, Office of the	203	203	214
Military and Naval Affairs, Division of	102	96	106
Prevention of Domestic Violence, Office for	17	17	18
Public Employment Relations Board	30	30	33
Public Ethics, Joint Commission on	52	51	52
Public Service Department	494	489	503
State, Department of	480	479	548
Statewide Financial System	135	134	142
Tax Appeals, Division of	23	22	27
Veterans' Services, Division of	76	80	90
Victim Services, Office of	43	47	54
Welfare Inspector General, Office of	7	7	7
<b>Subtotal - Minor Agencies</b>	<b>6,430</b>	<b>6,521</b>	<b>7,091</b>

# Workforce Impact Summary

State Funds  
2017-18 Through 2019-20

	2017-18 Actuals (03/31/18)	2018-19 Actuals (03/31/19)	2019-20 Estimate (03/31/20)
<b>Major Agencies</b>			
Children and Family Services, Office of	2,435	2,552	2,429
Corrections and Community Supervision, Department of	29,038	28,800	27,867
Education Department, State	1,238	1,279	1,263
Environmental Conservation, Department of	2,621	2,717	2,851
Financial Services, Department of	1,356	1,342	1,391
General Services, Office of	1,280	881	827
Health, Department of	3,558	3,639	4,205
Information Technology Services, Office of	3,471	3,566	3,491
Labor, Department of	342	327	508
Mental Health, Office of	13,900	13,846	13,736
Motor Vehicles, Department of	2,284	2,324	2,291
Parks, Recreation and Historic Preservation, Office of	1,729	1,988	2,008
People with Developmental Disabilities, Office for	18,856	19,024	18,572
State Police, Division of	5,609	5,784	5,741
Taxation and Finance, Department of	3,898	3,806	4,085
Temporary and Disability Assistance, Office of	1,019	1,017	1,022
Transportation, Department of	8,390	8,336	8,394
Workers' Compensation Board	1,082	1,044	1,109
<b>Subtotal - Major Agencies</b>	<b>102,106</b>	<b>102,272</b>	<b>101,790</b>
<b>Minor Agencies</b>	<b>6,487</b>	<b>6,594</b>	<b>7,160</b>
<b>Subtotal - Subject to Direct Executive Control</b>	<b>108,593</b>	<b>108,866</b>	<b>108,950</b>
<b>University Systems</b>			
City University of New York	375	377	374
State University Construction Fund	142	142	152
State University of New York	45,880	46,447	46,090
<b>Subtotal - University Systems</b>	<b>46,397</b>	<b>46,966</b>	<b>46,616</b>
<b>Independently Elected Agencies</b>			
Audit and Control, Department of	1,490	1,499	1,524
Law, Department of	1,545	1,555	1,540
<b>Subtotal - Independently Elected Agencies</b>	<b>3,035</b>	<b>3,054</b>	<b>3,064</b>
<b>Grand Total</b>	<b>158,025</b>	<b>158,886</b>	<b>158,630</b>

# Workforce Impact Summary

State Funds  
2017-18 Through 2019-20

	2017-18 Actuals (03/31/18)	2018-19 Actuals (03/31/19)	2019-20 Estimate (03/31/20)
<b>Minor Agencies</b>			
Adirondack Park Agency	54	49	54
Aging, Office for the	11	12	18
Agriculture and Markets, Department of	415	435	429
Alcoholic Beverage Control, Division of	113	108	120
Alcoholism and Substance Abuse Services, Office of	736	728	737
Arts, Council on the	27	28	30
Budget, Division of the	234	252	261
Civil Service, Department of	192	211	191
Correction, Commission of	29	30	32
Criminal Justice Services, Division of	381	385	403
Deferred Compensation Board	4	4	4
Economic Development, Department of	136	139	153
Elections, State Board of	69	69	77
Employee Relations, Office of	26	66	66
Executive Chamber	97	112	136
Financial Control Board, New York State	12	10	13
Gaming Commission, New York State	417	397	430
Higher Education Services Corporation, New York State	183	156	176
Homeland Security and Emergency Services, Division of	347	390	509
Housing and Community Renewal, Division of	536	508	655
Hudson River Valley Greenway Communities Council	0	0	1
Human Rights, Division of	107	147	124
Indigent Legal Services, Office of	24	31	36
Inspector General, Office of the	87	81	92
Interest on Lawyer Account	9	9	9
Judicial Conduct, Commission on	40	38	43
Justice Center for the Protection of People with Special Needs	422	425	429
Labor Management Committees	72	68	77
Lieutenant Governor, Office of the	4	5	7
Medicaid Inspector General, Office of the	203	203	214
Military and Naval Affairs, Division of	143	142	160
Prevention of Domestic Violence, Office for	17	17	18
Public Employment Relations Board	30	30	33
Public Ethics, Joint Commission on	52	51	52
Public Service Department	494	489	503
State, Department of	480	479	548
Statewide Financial System	135	134	142
Tax Appeals, Division of	23	22	27
Veterans' Services, Division of	76	80	90
Victim Services, Office of	43	47	54
Welfare Inspector General, Office of	7	7	7
<b>Subtotal - Minor Agencies</b>	<b>6,487</b>	<b>6,594</b>	<b>7,160</b>



# Workforce Impact Summary

All Funds

2017-18 Through 2019-20

	2017-18 Actuals (03/31/18)	2018-19 Actuals (03/31/19)	2019-20 Estimate (03/31/20)
<b>Major Agencies</b>			
Children and Family Services, Office of	2,887	3,008	2,919
Corrections and Community Supervision, Department of	29,351	29,117	28,803
Education Department, State	2,575	2,606	2,692
Environmental Conservation, Department of	2,887	2,996	3,115
Financial Services, Department of	1,356	1,342	1,391
General Services, Office of	1,811	1,803	1,931
Health, Department of	4,690	4,715	5,616
Information Technology Services, Office of	3,471	3,566	3,491
Labor, Department of	2,935	2,838	2,987
Mental Health, Office of	13,911	13,856	13,757
Motor Vehicles, Department of	2,301	2,363	2,344
Parks, Recreation and Historic Preservation, Office of	1,751	2,010	2,041
People with Developmental Disabilities, Office for	18,867	19,037	18,590
State Police, Division of	5,609	5,784	5,741
Taxation and Finance, Department of	3,898	3,806	4,085
Temporary and Disability Assistance, Office of	1,923	1,995	1,987
Transportation, Department of	8,501	8,442	8,520
Workers' Compensation Board	1,082	1,044	1,109
<b>Subtotal - Major Agencies</b>	<b>109,806</b>	<b>110,328</b>	<b>111,119</b>
<b>Minor Agencies</b>	<b>7,591</b>	<b>7,639</b>	<b>8,372</b>
<b>Subtotal - Subject to Direct Executive Control</b>	<b>117,397</b>	<b>117,967</b>	<b>119,491</b>
<b>University Systems</b>			
City University of New York	13,726	13,806	13,632
State University Construction Fund	142	142	152
State University of New York	45,882	46,448	46,092
<b>Subtotal - University Systems</b>	<b>59,750</b>	<b>60,396</b>	<b>59,876</b>
<b>Independently Elected Agencies</b>			
Audit and Control, Department of	2,630	2,610	2,663
Law, Department of	1,822	1,826	1,839
<b>Subtotal - Independently Elected Agencies</b>	<b>4,452</b>	<b>4,436</b>	<b>4,502</b>
<b>Grand Total</b>	<b>181,599</b>	<b>182,799</b>	<b>183,869</b>

# Workforce Impact Summary

All Funds  
2017-18 Through 2019-20

	2017-18 Actuals (03/31/18)	2018-19 Actuals (03/31/19)	2019-20 Estimate (03/31/20)
<b>Minor Agencies</b>			
Adirondack Park Agency	54	49	54
Aging, Office for the	89	89	95
Agriculture and Markets, Department of	460	461	512
Alcoholic Beverage Control, Division of	113	108	120
Alcoholism and Substance Abuse Services, Office of	736	728	737
Arts, Council on the	27	28	30
Budget, Division of the	234	252	261
Civil Service, Department of	345	346	362
Correction, Commission of	29	30	32
Criminal Justice Services, Division of	408	413	435
Deferred Compensation Board	4	4	4
Economic Development, Department of	136	139	153
Elections, State Board of	69	74	85
Employee Relations, Office of	33	75	77
Executive Chamber	97	112	136
Financial Control Board, New York State	12	10	13
Gaming Commission, New York State	417	397	430
Higher Education Services Corporation, New York State	183	156	176
Homeland Security and Emergency Services, Division of	504	559	614
Housing and Community Renewal, Division of	608	584	776
Hudson River Valley Greenway Communities Council	0	0	1
Human Rights, Division of	160	159	164
Indigent Legal Services, Office of	24	31	36
Inspector General, Office of the	87	81	92
Interest on Lawyer Account	9	9	9
Judicial Conduct, Commission on	40	38	43
Justice Center for the Protection of People with Special Needs	422	425	429
Labor Management Committees	72	68	77
Lieutenant Governor, Office of the	4	5	7
Medicaid Inspector General, Office of the	405	405	426
Military and Naval Affairs, Division of	390	382	405
Prevention of Domestic Violence, Office for	24	23	27
Public Employment Relations Board	30	30	33
Public Ethics, Joint Commission on	52	51	52
Public Service Department	494	489	528
State, Department of	493	491	565
Statewide Financial System	135	134	142
Tax Appeals, Division of	23	22	27
Veterans' Services, Division of	83	87	98
Victim Services, Office of	79	88	102
Welfare Inspector General, Office of	7	7	7
<b>Subtotal - Minor Agencies</b>	<b>7,591</b>	<b>7,639</b>	<b>8,372</b>

# Workforce Impact Summary

## Special Revenue Funds - Other 2017-18 Through 2019-20

	2017-18 Actuals (03/31/18)	2018-19 Actuals (03/31/19)	2019-20 Estimate (03/31/20)
<b>Major Agencies</b>			
Children and Family Services, Office of	36	57	44
Corrections and Community Supervision, Department of	5	4	4
Education Department, State	957	951	984
Environmental Conservation, Department of	1,100	1,080	1,122
Financial Services, Department of	1,356	1,342	1,391
General Services, Office of	58	56	13
Health, Department of	1,974	1,947	2,191
Labor, Department of	342	327	507
Motor Vehicles, Department of	498	497	498
Parks, Recreation and Historic Preservation, Office of	207	250	286
State Police, Division of	320	337	336
Taxation and Finance, Department of	53	56	699
Transportation, Department of	39	37	46
Workers' Compensation Board	1,082	1,044	1,109
<b>Subtotal - Major Agencies</b>	<b>8,027</b>	<b>7,985</b>	<b>9,230</b>
<b>Minor Agencies</b>			
Agriculture and Markets, Department of	44	35	43
Budget, Division of the	14	14	16
Civil Service, Department of	6	4	6
Criminal Justice Services, Division of	5	5	5
Deferred Compensation Board	4	4	4
Economic Development, Department of	1	1	2
Financial Control Board, New York State	12	10	13
Gaming Commission, New York State	359	332	374
Higher Education Services Corporation, New York State	183	156	176
Homeland Security and Emergency Services, Division of	347	390	509
Housing and Community Renewal, Division of	503	473	601
Indigent Legal Services, Office of	24	31	36
Interest on Lawyer Account	9	9	9
Justice Center for the Protection of People with Special Needs	15	12	13
Military and Naval Affairs, Division of	2	0	2
Public Service Department	494	489	503
State, Department of	275	267	410
Victim Services, Office of	43	47	54
<b>Subtotal - Minor Agencies</b>	<b>2,340</b>	<b>2,279</b>	<b>2,776</b>
<b>Subtotal - Subject to Direct Executive Control</b>	<b>10,367</b>	<b>10,264</b>	<b>12,006</b>
<b>University Systems</b>			
City University of New York	375	377	374
State University of New York	45,877	46,444	46,087
<b>Subtotal - University Systems</b>	<b>46,252</b>	<b>46,821</b>	<b>46,461</b>
<b>Independently Elected Agencies</b>			
Audit and Control, Department of	166	168	188
Law, Department of	466	468	468
<b>Subtotal - Independently Elected Agencies</b>	<b>632</b>	<b>636</b>	<b>656</b>
<b>Grand Total</b>	<b>57,251</b>	<b>57,721</b>	<b>59,123</b>

# Workforce Impact Summary

## Special Revenue Funds - Federal 2017-18 Through 2019-20

	2017-18 Actuals (03/31/18)	2018-19 Actuals (03/31/19)	2019-20 Estimate (03/31/20)
<b>Major Agencies</b>			
Children and Family Services, Office of	366	369	391
Corrections and Community Supervision, Department of	36	30	646
Education Department, State	1,198	1,185	1,285
Environmental Conservation, Department of	260	274	260
Health, Department of	1,051	1,037	1,360
Labor, Department of	2,565	2,492	2,463
Mental Health, Office of	0	0	11
Motor Vehicles, Department of	17	39	53
Parks, Recreation and Historic Preservation, Office of	22	22	33
People with Developmental Disabilities, Office for	11	13	18
Temporary and Disability Assistance, Office of	904	978	965
Transportation, Department of	111	106	126
<b>Subtotal - Major Agencies</b>	<b>6,541</b>	<b>6,545</b>	<b>7,611</b>
<b>Minor Agencies</b>			
Aging, Office for the	78	77	77
Agriculture and Markets, Department of	6	0	35
Criminal Justice Services, Division of	27	28	32
Elections, State Board of	0	5	8
Homeland Security and Emergency Services, Division of	157	169	105
Housing and Community Renewal, Division of	72	76	101
Human Rights, Division of	53	12	40
Medicaid Inspector General, Office of the	202	202	212
Military and Naval Affairs, Division of	183	177	179
Public Service Department	0	0	25
State, Department of	13	12	17
Veterans' Services, Division of	7	7	8
Victim Services, Office of	36	41	48
<b>Subtotal - Minor Agencies</b>	<b>834</b>	<b>806</b>	<b>887</b>
<b>Subtotal - Subject to Direct Executive Control</b>	<b>7,375</b>	<b>7,351</b>	<b>8,498</b>
<b>University Systems</b>			
State University of New York	2	1	2
<b>Subtotal - University Systems</b>	<b>2</b>	<b>1</b>	<b>2</b>
<b>Independently Elected Agencies</b>			
Audit and Control, Department of	5	5	0
Law, Department of	229	220	249
<b>Subtotal - Independently Elected Agencies</b>	<b>234</b>	<b>225</b>	<b>249</b>
<b>Grand Total</b>	<b>7,611</b>	<b>7,577</b>	<b>8,749</b>

# Workforce Impact Summary

## Capital Projects Funds - Other 2017-18 Through 2019-20

	2017-18 Actuals (03/31/18)	2018-19 Actuals (03/31/19)	2019-20 Estimate (03/31/20)
<b>Major Agencies</b>			
Children and Family Services, Office of	70	66	69
Corrections and Community Supervision, Department of	30	27	1,009
Environmental Conservation, Department of	527	589	616
General Services, Office of	292	421	420
Health, Department of	121	124	127
Information Technology Services, Office of	7	25	10
Mental Health, Office of	549	553	683
Motor Vehicles, Department of	1,786	1,663	1,626
Parks, Recreation and Historic Preservation, Office of	415	435	484
People with Developmental Disabilities, Office for	380	384	377
State Police, Division of	70	80	75
Temporary and Disability Assistance, Office of	8	6	8
Transportation, Department of	8,351	5,607	5,803
<b>Subtotal - Major Agencies</b>	<b>12,606</b>	<b>9,980</b>	<b>11,307</b>
<b>Minor Agencies</b>			
Agriculture and Markets, Department of	0	13	0
Alcoholism and Substance Abuse Services, Office of	16	14	15
Military and Naval Affairs, Division of	41	46	54
<b>Subtotal - Minor Agencies</b>	<b>57</b>	<b>73</b>	<b>69</b>
<b>Subtotal - Subject to Direct Executive Control</b>	<b>12,663</b>	<b>10,053</b>	<b>11,376</b>
<b>University Systems</b>			
State University Construction Fund	142	142	152
<b>Subtotal - University Systems</b>	<b>142</b>	<b>142</b>	<b>152</b>
<b>Independently Elected Agencies</b>			
Audit and Control, Department of	0	5	0
Law, Department of	2	4	7
<b>Subtotal - Independently Elected Agencies</b>	<b>2</b>	<b>9</b>	<b>7</b>
<b>Grand Total</b>	<b>12,807</b>	<b>10,204</b>	<b>11,535</b>

# Workforce Impact Summary

## Capital Projects Funds - Federal 2017-18 Through 2019-20

	2017-18 Actuals (03/31/18)	2018-19 Actuals (03/31/19)	2019-20 Estimate (03/31/20)
<b>Major Agencies</b>			
Environmental Conservation, Department of	6	5	4
Health, Department of	81	39	51
<b>Subtotal - Major Agencies</b>	<b>87</b>	<b>44</b>	<b>55</b>
<b>Minor Agencies</b>			
Housing and Community Renewal, Division of	0	0	20
Military and Naval Affairs, Division of	64	63	64
<b>Subtotal - Minor Agencies</b>	<b>64</b>	<b>63</b>	<b>84</b>
<b>Subtotal - Subject to Direct Executive Control</b>	<b>151</b>	<b>107</b>	<b>139</b>
<b>Grand Total</b>	<b>151</b>	<b>107</b>	<b>139</b>

# Workforce Impact Summary

## Enterprise Funds 2017-18 Through 2019-20

	2017-18 Actuals (03/31/18)	2018-19 Actuals (03/31/19)	2019-20 Estimate (03/31/20)
<b>Major Agencies</b>			
Corrections and Community Supervision, Department of	6	6	10
General Services, Office of	11	9	62
<b>Subtotal - Major Agencies</b>	<b>17</b>	<b>15</b>	<b>72</b>
<b>Minor Agencies</b>			
Agriculture and Markets, Department of	37	25	45
Military and Naval Affairs, Division of	0	0	2
<b>Subtotal - Minor Agencies</b>	<b>37</b>	<b>25</b>	<b>47</b>
<b>Subtotal - Subject to Direct Executive Control</b>	<b>54</b>	<b>40</b>	<b>119</b>
<b>Grand Total</b>	<b>54</b>	<b>40</b>	<b>119</b>

# Workforce Impact Summary

## Internal Service Funds 2017-18 Through 2019-20

	2017-18 Actuals (03/31/18)	2018-19 Actuals (03/31/19)	2019-20 Estimate (03/31/20)
<b>Major Agencies</b>			
Children and Family Services, Office of	86	87	99
Corrections and Community Supervision, Department of	271	281	280
Education Department, State	139	142	144
General Services, Office of	520	913	1,042
Labor, Department of	28	19	16
Mental Health, Office of	11	10	10
<b>Subtotal - Major Agencies</b>	<b>1,055</b>	<b>1,452</b>	<b>1,591</b>
<b>Minor Agencies</b>			
Civil Service, Department of	153	135	171
Employee Relations, Office of	7	9	11
Prevention of Domestic Violence, Office for	7	6	9
<b>Subtotal - Minor Agencies</b>	<b>167</b>	<b>150</b>	<b>191</b>
<b>Subtotal - Subject to Direct Executive Control</b>	<b>1,222</b>	<b>1,602</b>	<b>1,782</b>
<b>Independently Elected Agencies</b>			
Audit and Control, Department of	168	171	161
Law, Department of	48	51	50
<b>Subtotal - Independently Elected Agencies</b>	<b>216</b>	<b>222</b>	<b>211</b>
<b>Grand Total</b>	<b>1,438</b>	<b>1,824</b>	<b>1,993</b>



# Workforce Impact Summary

## Agency Trust Funds 2017-18 Through 2019-20

	2017-18 Actuals (03/31/18)	2018-19 Actuals (03/31/19)	2019-20 Estimate (03/31/20)
<b>University Systems</b>			
City University of New York	13,351	13,429	13,258
<b>Subtotal - University Systems</b>	<b>13,351</b>	<b>13,429</b>	<b>13,258</b>
<b>Grand Total</b>	<b>13,351</b>	<b>13,429</b>	<b>13,258</b>

# Workforce Impact Summary

## Pension Trust Funds 2017-18 Through 2019-20

	2017-18 Actuals (03/31/18)	2018-19 Actuals (03/31/19)	2019-20 Estimate (03/31/20)
<b>Independently Elected Agencies</b>			
Audit and Control, Department of	967	935	978
<b>Subtotal - Independently Elected Agencies</b>	<b>967</b>	<b>935</b>	<b>978</b>
<b>Grand Total</b>	<b>967</b>	<b>935</b>	<b>978</b>

# Workforce Impact Summary

## Private Purpose Trust Funds 2017-18 Through 2019-20

	2017-18 Actuals (03/31/18)	2018-19 Actuals (03/31/19)	2019-20 Estimate (03/31/20)
<b>Minor Agencies</b>			
Agriculture and Markets, Department of	2	1	3
<b>Subtotal - Minor Agencies</b>	<b>2</b>	<b>1</b>	<b>3</b>
<b>Subtotal - Subject to Direct Executive Control</b>	<b>2</b>	<b>1</b>	<b>3</b>
<b>Grand Total</b>	<b>2</b>	<b>1</b>	<b>3</b>

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND (excludes transfers)  
(thousands of dollars)**

	<b>FY 2018 Results</b>	<b>FY 2019 Results</b>	<b>FY 2020 Updated</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>	<b>FY 2023 Projected</b>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
<b>Agriculture and Markets, Department of</b>	<b>65,366</b>	<b>65,377</b>	<b>66,348</b>	<b>60,185</b>	<b>58,573</b>	<b>58,373</b>
Local Assistance	32,318	33,027	32,932	25,197	25,347	25,347
State Operations	33,048	32,350	33,416	34,988	33,226	33,026
Personal Service	28,155	27,105	26,913	28,434	27,648	27,648
Non-Personal Service	4,893	5,245	6,503	6,554	5,578	5,378
<b>Alcoholic Beverage Control, Division of</b>	<b>11,819</b>	<b>11,546</b>	<b>11,275</b>	<b>11,560</b>	<b>11,244</b>	<b>11,244</b>
State Operations	11,819	11,546	11,275	11,560	11,244	11,244
Personal Service	8,131	8,166	8,316	8,901	8,585	8,585
Non-Personal Service	3,688	3,380	2,959	2,659	2,659	2,659
<b>Economic Development, Department of</b>	<b>70,791</b>	<b>71,702</b>	<b>67,475</b>	<b>62,878</b>	<b>62,728</b>	<b>62,728</b>
Local Assistance	52,871	55,760	52,134	47,537	47,387	47,387
State Operations	17,920	15,942	15,341	15,341	15,341	15,341
Personal Service	13,240	13,090	12,826	12,826	12,826	12,826
Non-Personal Service	4,680	2,852	2,515	2,515	2,515	2,515
<b>Empire State Development Corporation</b>	<b>124,880</b>	<b>85,177</b>	<b>75,724</b>	<b>76,054</b>	<b>76,054</b>	<b>76,054</b>
Local Assistance	124,880	85,177	75,724	76,054	76,054	76,054
<b>Olympic Regional Development Authority</b>	<b>2,736</b>	<b>11,143</b>	<b>8,526</b>	<b>8,526</b>	<b>8,526</b>	<b>8,526</b>
Local Assistance	0	2,360	0	0	0	0
State Operations	2,736	8,783	8,526	8,526	8,526	8,526
Personal Service	2,548	5,595	5,338	5,338	5,338	5,338
Non-Personal Service	188	3,188	3,188	3,188	3,188	3,188
<b>Functional Total</b>	<b>275,592</b>	<b>244,945</b>	<b>229,348</b>	<b>219,203</b>	<b>217,125</b>	<b>216,925</b>
<b>PARKS AND THE ENVIRONMENT</b>						
<b>Adirondack Park Agency</b>	<b>4,468</b>	<b>4,297</b>	<b>4,728</b>	<b>4,714</b>	<b>4,556</b>	<b>4,556</b>
State Operations	4,468	4,297	4,728	4,714	4,556	4,556
Personal Service	4,179	3,950	4,401	4,401	4,243	4,243
Non-Personal Service	289	347	327	313	313	313
<b>Environmental Conservation, Department of</b>	<b>96,795</b>	<b>105,456</b>	<b>111,008</b>	<b>120,194</b>	<b>118,497</b>	<b>124,228</b>
Local Assistance	2,491	2,431	2,524	1,699	1,468	1,199
State Operations	94,304	103,025	108,484	118,496	117,029	123,029
Personal Service	82,506	90,087	87,366	93,077	91,611	97,611
Non-Personal Service	11,798	12,938	21,118	25,418	25,418	25,418
<b>Parks, Recreation and Historic Preservation, Office of</b>	<b>105,254</b>	<b>108,081</b>	<b>105,901</b>	<b>109,588</b>	<b>107,279</b>	<b>107,279</b>
Local Assistance	2,931	2,188	440	100	100	100
State Operations	102,323	105,893	105,461	109,488	107,179	107,179
Personal Service	96,935	99,679	97,961	102,809	100,500	100,500
Non-Personal Service	5,388	6,214	7,500	6,679	6,679	6,679
<b>Functional Total</b>	<b>206,517</b>	<b>217,834</b>	<b>221,637</b>	<b>234,496</b>	<b>230,332</b>	<b>236,063</b>
<b>TRANSPORTATION</b>						
<b>Motor Vehicles, Department of</b>	<b>1,600</b>	<b>10,677</b>	<b>12,347</b>	<b>12,586</b>	<b>12,830</b>	<b>12,830</b>
Local Assistance	1,600	375	0	0	0	0
State Operations	0	10,302	12,347	12,586	12,830	12,830
Personal Service	0	8,318	8,694	8,868	9,046	9,046
Non-Personal Service	0	1,984	3,653	3,718	3,784	3,784
<b>Transportation, Department of</b>	<b>116,103</b>	<b>595,676</b>	<b>447,900</b>	<b>436,908</b>	<b>441,800</b>	<b>441,800</b>
Local Assistance	114,708	303,461	115,851	109,851	109,851	109,851
State Operations	1,395	292,215	332,049	327,057	331,949	331,949
Personal Service	0	153,653	159,060	159,055	159,048	159,048
Non-Personal Service	1,395	138,562	172,989	168,002	172,901	172,901
<b>Functional Total</b>	<b>117,703</b>	<b>606,353</b>	<b>460,247</b>	<b>449,494</b>	<b>454,630</b>	<b>454,630</b>
<b>HEALTH</b>						
<b>Aging, Office for the</b>	<b>125,380</b>	<b>131,371</b>	<b>146,703</b>	<b>148,591</b>	<b>153,730</b>	<b>159,041</b>
Local Assistance	124,180	130,141	144,741	146,586	151,768	157,079
State Operations	1,200	1,230	1,962	2,005	1,962	1,962
Personal Service	1,103	1,125	1,856	1,899	1,856	1,856
Non-Personal Service	97	105	106	106	106	106
<b>Health, Department of</b>	<b>14,611,316</b>	<b>15,474,913</b>	<b>16,886,362</b>	<b>17,851,555</b>	<b>18,698,986</b>	<b>19,475,277</b>
<b>Medical Assistance</b>	<b>13,111,453</b>	<b>13,837,091</b>	<b>15,145,154</b>	<b>16,313,493</b>	<b>17,174,450</b>	<b>17,969,769</b>
Local Assistance	13,111,453	13,837,091	15,145,154	16,313,493	17,174,450	17,969,769
<b>Essential Plan</b>	<b>87,638</b>	<b>76,580</b>	<b>84,224</b>	<b>78,757</b>	<b>76,397</b>	<b>72,453</b>
State Operations	87,638	76,580	84,224	78,757	76,397	72,453
Personal Service	3,148	3,233	4,580	4,418	4,384	4,487
Non-Personal Service	84,490	73,347	79,644	74,339	72,013	67,966
<b>Medicaid Administration</b>	<b>583,789</b>	<b>764,404</b>	<b>877,997</b>	<b>715,182</b>	<b>703,219</b>	<b>688,135</b>
Local Assistance	286,336	503,108	606,198	446,502	432,297	418,613
State Operations	297,453	261,296	271,799	268,680	270,922	269,522
Personal Service	37,196	37,949	44,378	47,421	45,930	45,846
Non-Personal Service	260,257	223,347	227,421	221,259	224,992	223,676
<b>Public Health</b>	<b>828,436</b>	<b>796,838</b>	<b>778,987</b>	<b>744,123</b>	<b>744,920</b>	<b>744,920</b>
Local Assistance	707,236	662,604	636,143	598,174	602,076	602,076

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND (excludes transfers)  
(thousands of dollars)**

	<b>FY 2018 Results</b>	<b>FY 2019 Results</b>	<b>FY 2020 Updated</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>	<b>FY 2023 Projected</b>
State Operations	121,200	134,234	142,844	145,949	142,844	142,844
Personal Service	81,988	93,657	102,278	105,383	102,278	102,278
Non-Personal Service	39,212	40,577	40,566	40,566	40,566	40,566
<b>Medicaid Inspector General, Office of the</b>	<b>18,282</b>	<b>18,116</b>	<b>18,072</b>	<b>18,679</b>	<b>18,072</b>	<b>18,072</b>
State Operations	18,282	18,116	18,072	18,679	18,072	18,072
Personal Service	15,864	15,204	15,624	16,231	15,624	15,624
Non-Personal Service	2,418	2,912	2,448	2,448	2,448	2,448
<b>Functional Total</b>	<b>14,754,978</b>	<b>15,624,400</b>	<b>17,051,137</b>	<b>18,018,825</b>	<b>18,870,788</b>	<b>19,652,390</b>
<b>SOCIAL WELFARE</b>						
<b>Children and Family Services, Office of</b>	<b>1,832,432</b>	<b>1,900,002</b>	<b>1,770,461</b>	<b>1,965,173</b>	<b>2,021,486</b>	<b>2,022,069</b>
<b>OCFS</b>	<b>1,755,070</b>	<b>1,827,888</b>	<b>1,689,663</b>	<b>1,873,195</b>	<b>1,925,344</b>	<b>1,925,927</b>
Local Assistance	1,527,520	1,583,160	1,446,076	1,511,228	1,560,995	1,561,578
State Operations	227,550	244,728	243,587	361,967	364,349	364,349
Personal Service	168,186	172,601	168,664	263,398	263,541	263,541
Non-Personal Service	59,364	72,127	74,923	98,569	100,808	100,808
<b>OCFS - Other</b>	<b>77,362</b>	<b>72,114</b>	<b>80,798</b>	<b>91,978</b>	<b>96,142</b>	<b>96,142</b>
Local Assistance	77,362	72,114	80,798	91,978	96,142	96,142
<b>Housing and Community Renewal, Division of</b>	<b>9,912</b>	<b>13,881</b>	<b>35,557</b>	<b>51,167</b>	<b>51,678</b>	<b>51,678</b>
Local Assistance	4,119	9,692	31,007	46,262	46,937	46,937
State Operations	5,793	4,189	4,550	4,905	4,741	4,741
Personal Service	3,866	3,049	4,199	4,492	4,328	4,328
Non-Personal Service	1,927	1,140	351	413	413	413
<b>Human Rights, Division of</b>	<b>9,465</b>	<b>9,993</b>	<b>9,921</b>	<b>10,590</b>	<b>10,230</b>	<b>10,230</b>
State Operations	9,465	9,993	9,921	10,590	10,230	10,230
Personal Service	8,662	8,934	9,461	10,121	9,752	9,752
Non-Personal Service	803	1,059	460	469	478	478
<b>Labor, Department of</b>	<b>12,863</b>	<b>15,715</b>	<b>18,303</b>	<b>5,349</b>	<b>5,351</b>	<b>5,351</b>
Local Assistance	12,666	15,373	18,015	5,000	5,000	5,000
State Operations	197	342	288	349	351	351
Personal Service	84	87	88	94	91	91
Non-Personal Service	113	255	200	255	260	260
<b>National and Community Service</b>	<b>770</b>	<b>560</b>	<b>772</b>	<b>781</b>	<b>781</b>	<b>781</b>
Local Assistance	477	270	432	432	432	432
State Operations	293	290	340	349	349	349
Personal Service	292	288	331	340	340	340
Non-Personal Service	1	2	9	9	9	9
<b>Temporary and Disability Assistance, Office of</b>	<b>1,351,164</b>	<b>1,262,856</b>	<b>1,469,647</b>	<b>1,485,537</b>	<b>1,492,057</b>	<b>1,494,857</b>
<b>Welfare Assistance</b>	<b>1,137,324</b>	<b>1,037,938</b>	<b>1,221,736</b>	<b>1,232,366</b>	<b>1,232,366</b>	<b>1,232,366</b>
Local Assistance	1,137,324	1,037,938	1,221,736	1,232,366	1,232,366	1,232,366
<b>All Other</b>	<b>213,840</b>	<b>224,918</b>	<b>247,911</b>	<b>253,171</b>	<b>259,691</b>	<b>262,491</b>
Local Assistance	92,194	101,093	118,196	118,996	122,796	125,596
State Operations	121,646	123,825	129,715	134,175	136,895	136,895
Personal Service	64,895	68,003	73,244	76,580	78,130	78,130
Non-Personal Service	56,751	55,822	56,471	57,595	58,765	58,765
<b>Functional Total</b>	<b>3,216,606</b>	<b>3,203,007</b>	<b>3,304,661</b>	<b>3,518,597</b>	<b>3,581,583</b>	<b>3,584,966</b>
<b>MENTAL HYGIENE</b>						
<b>Alcoholism and Substance Abuse Services, Office of</b>	<b>36,221</b>	<b>426,203</b>	<b>447,723</b>	<b>456,720</b>	<b>471,782</b>	<b>491,083</b>
<b>OASAS</b>	<b>14,896</b>	<b>352,360</b>	<b>381,714</b>	<b>389,269</b>	<b>403,604</b>	<b>422,511</b>
Local Assistance	14,896	324,201	346,096	353,928	368,633	387,236
State Operations	0	28,159	35,618	35,341	34,971	35,275
Personal Service	0	22,378	27,023	27,809	27,160	27,438
Non-Personal Service	0	5,781	8,595	7,532	7,811	7,837
<b>OASAS - Other</b>	<b>21,325</b>	<b>73,843</b>	<b>66,009</b>	<b>67,451</b>	<b>68,178</b>	<b>68,572</b>
Local Assistance	21,325	21,325	21,325	21,325	21,325	21,325
State Operations	0	52,518	44,684	46,126	46,853	47,247
Personal Service	0	37,825	33,581	34,597	34,892	35,248
Non-Personal Service	0	14,693	11,103	11,529	11,961	11,999
<b>Justice Center</b>	<b>37,797</b>	<b>40,349</b>	<b>41,100</b>	<b>42,602</b>	<b>41,930</b>	<b>42,521</b>
Local Assistance	170	170	170	170	170	170
State Operations	37,627	40,179	40,930	42,432	41,760	42,351
Personal Service	30,029	31,149	32,762	34,003	33,060	33,373
Non-Personal Service	7,598	9,030	8,168	8,429	8,700	8,978
<b>Mental Health, Office of</b>	<b>273,626</b>	<b>2,626,444</b>	<b>2,515,229</b>	<b>2,617,105</b>	<b>2,720,785</b>	<b>2,816,946</b>
<b>OMH</b>	<b>8,237</b>	<b>1,330,551</b>	<b>1,436,449</b>	<b>1,501,648</b>	<b>1,566,919</b>	<b>1,634,613</b>
Local Assistance	7,540	1,002,556	1,073,485	1,141,276	1,197,192	1,255,603
State Operations	697	327,995	362,964	360,372	369,727	379,010
Personal Service	0	268,080	323,876	320,884	328,901	336,689
Non-Personal Service	697	59,915	39,088	39,488	40,826	42,321
<b>OMH - Other</b>	<b>265,389</b>	<b>1,295,893</b>	<b>1,078,780</b>	<b>1,115,457</b>	<b>1,153,866</b>	<b>1,182,333</b>
Local Assistance	265,389	278,961	77,238	82,252	93,721	101,964
State Operations	0	1,016,932	1,001,542	1,033,205	1,060,145	1,080,369
Personal Service	0	818,694	784,927	805,641	810,776	819,154

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND (excludes transfers)  
(thousands of dollars)**

	<b>FY 2018 Results</b>	<b>FY 2019 Results</b>	<b>FY 2020 Updated</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>	<b>FY 2023 Projected</b>
Non-Personal Service	0	198,238	216,615	227,564	249,369	261,215
<b>People with Developmental Disabilities, Office for</b>	<b>438,201</b>	<b>1,851,002</b>	<b>1,794,537</b>	<b>2,226,868</b>	<b>2,151,453</b>	<b>2,341,754</b>
<b>OPWDD</b>	<b>143</b>	<b>389,699</b>	<b>362,591</b>	<b>347,451</b>	<b>357,628</b>	<b>368,490</b>
Local Assistance	143	389,437	362,591	347,451	357,628	368,490
State Operations	0	262	0	0	0	0
Personal Service	0	262	0	0	0	0
<b>OPWDD - Other</b>	<b>438,058</b>	<b>1,461,303</b>	<b>1,431,946</b>	<b>1,879,417</b>	<b>1,793,825</b>	<b>1,973,264</b>
Local Assistance	438,058	131,150	103,303	530,302	429,526	591,153
State Operations	0	1,330,153	1,328,643	1,349,115	1,364,299	1,382,111
Personal Service	0	1,142,342	1,147,023	1,162,291	1,172,066	1,184,331
Non-Personal Service	0	187,811	181,620	186,824	192,233	197,780
<b>Functional Total</b>	<b>785,845</b>	<b>4,943,998</b>	<b>4,798,589</b>	<b>5,343,295</b>	<b>5,385,950</b>	<b>5,692,304</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
<b>Correction, Commission of</b>	<b>2,594</b>	<b>2,541</b>	<b>2,651</b>	<b>2,773</b>	<b>2,735</b>	<b>2,735</b>
State Operations	2,594	2,541	2,651	2,773	2,735	2,735
Personal Service	2,316	2,276	2,414	2,531	2,488	2,488
Non-Personal Service	278	265	237	242	247	247
<b>Correctional Services, Department of</b>	<b>2,632,319</b>	<b>2,601,761</b>	<b>2,858,995</b>	<b>2,753,116</b>	<b>2,794,200</b>	<b>2,872,070</b>
Local Assistance	5,686	3,435	5,016	4,836	4,836	4,836
State Operations	2,626,633	2,598,326	2,853,979	2,748,280	2,789,364	2,867,234
Personal Service	2,098,248	2,111,029	2,354,822	2,253,429	2,299,712	2,374,257
Non-Personal Service	528,385	487,297	499,157	494,851	489,652	492,977
<b>Corrections and Community Supervision Medicaid, Department of</b>	<b>0</b>	<b>0</b>	<b>2,000</b>	<b>2,000</b>	<b>0</b>	<b>0</b>
Local Assistance	0	0	2,000	2,000	0	0
<b>Criminal Justice Services, Division of</b>	<b>170,421</b>	<b>194,937</b>	<b>184,986</b>	<b>175,602</b>	<b>175,332</b>	<b>176,020</b>
Local Assistance	136,570	160,967	151,348	141,000	141,000	141,000
State Operations	33,851	33,970	33,638	34,602	34,332	35,020
Personal Service	26,713	28,029	27,110	28,074	27,633	28,147
Non-Personal Service	7,138	5,941	6,528	6,528	6,699	6,873
<b>Homeland Security and Emergency Services, Division of</b>	<b>4,956</b>	<b>5,188</b>	<b>7,172</b>	<b>4,972</b>	<b>5,290</b>	<b>5,396</b>
Local Assistance	3,886	4,188	6,172	3,972	4,290	4,376
State Operations	1,070	1,000	1,000	1,000	1,000	1,020
Personal Service	1,070	1,000	1,000	1,000	1,000	1,020
<b>Judicial Conduct, Commission on</b>	<b>5,552</b>	<b>5,423</b>	<b>6,038</b>	<b>5,936</b>	<b>6,114</b>	<b>6,220</b>
State Operations	5,552	5,423	6,038	5,936	6,114	6,220
Personal Service	4,238	3,959	4,677	4,548	4,483	4,573
Non-Personal Service	1,314	1,464	1,361	1,388	1,631	1,647
<b>Judicial Nomination, Commission on</b>	<b>10</b>	<b>0</b>	<b>30</b>	<b>30</b>	<b>30</b>	<b>30</b>
State Operations	10	0	30	30	30	30
Non-Personal Service	10	0	30	30	30	30
<b>Judicial Screening Committees, New York State</b>	<b>14</b>	<b>7</b>	<b>38</b>	<b>38</b>	<b>38</b>	<b>38</b>
State Operations	14	7	38	38	38	38
Non-Personal Service	14	7	38	38	38	38
<b>Military and Naval Affairs, Division of</b>	<b>23,738</b>	<b>21,330</b>	<b>21,659</b>	<b>21,957</b>	<b>22,593</b>	<b>23,046</b>
Local Assistance	819	885	820	820	886	904
State Operations	22,919	20,445	20,839	21,137	21,707	22,142
Personal Service	15,015	14,335	14,241	14,539	14,615	14,908
Non-Personal Service	7,904	6,110	6,598	6,598	7,092	7,234
<b>State Police, Division of</b>	<b>664,644</b>	<b>640,201</b>	<b>708,658</b>	<b>732,698</b>	<b>730,328</b>	<b>748,606</b>
State Operations	664,644	640,201	708,658	732,698	730,328	748,606
Personal Service	630,445	611,673	680,138	699,138	694,758	712,324
Non-Personal Service	34,199	28,528	28,520	33,560	35,570	36,282
<b>Statewide Financial System</b>	<b>30,137</b>	<b>30,520</b>	<b>30,506</b>	<b>30,949</b>	<b>31,117</b>	<b>31,732</b>
State Operations	30,137	30,520	30,506	30,949	31,117	31,732
Personal Service	11,094	11,294	11,882	12,325	12,121	12,356
Non-Personal Service	19,043	19,226	18,624	18,624	18,996	19,376
<b>Victim Services, Office of</b>	<b>(514)</b>	<b>20</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Local Assistance	(514)	20	0	0	0	0
<b>Functional Total</b>	<b>3,533,871</b>	<b>3,501,928</b>	<b>3,822,733</b>	<b>3,730,071</b>	<b>3,767,777</b>	<b>3,865,893</b>
<b>HIGHER EDUCATION</b>						
<b>City University of New York</b>	<b>1,465,153</b>	<b>1,508,353</b>	<b>1,536,842</b>	<b>1,577,439</b>	<b>1,610,889</b>	<b>1,644,883</b>
Local Assistance	1,465,153	1,508,007	1,536,842	1,577,439	1,610,889	1,644,883
State Operations	0	346	0	0	0	0
Non-Personal Service	0	346	0	0	0	0
<b>Higher Education Services Corporation, New York State</b>	<b>879,322</b>	<b>984,334</b>	<b>956,124</b>	<b>881,887</b>	<b>891,454</b>	<b>893,988</b>
Local Assistance	879,322	984,334	955,624	881,387	890,954	893,488
State Operations	0	0	500	500	500	500
Personal Service	0	0	500	500	500	500
<b>State University of New York</b>	<b>489,832</b>	<b>488,672</b>	<b>483,508</b>	<b>487,509</b>	<b>487,509</b>	<b>486,509</b>
Local Assistance	488,783	487,744	482,766	486,767	486,767	485,767
State Operations	1,049	928	742	742	742	742
Non-Personal Service	1,049	928	742	742	742	742

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND (excludes transfers)  
(thousands of dollars)**

	<b>FY 2018 Results</b>	<b>FY 2019 Results</b>	<b>FY 2020 Updated</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>	<b>FY 2023 Projected</b>
<b>Functional Total</b>	<b>2,834,307</b>	<b>2,981,359</b>	<b>2,976,474</b>	<b>2,946,835</b>	<b>2,989,852</b>	<b>3,025,380</b>
<b>EDUCATION</b>						
<b>Arts, Council on the</b>	<b>39,210</b>	<b>43,514</b>	<b>45,255</b>	<b>45,251</b>	<b>45,155</b>	<b>45,155</b>
Local Assistance	34,950	39,248	40,935	40,835	40,835	40,835
State Operations	4,260	4,266	4,320	4,416	4,320	4,320
Personal Service	2,626	2,636	2,498	2,594	2,498	2,498
Non-Personal Service	1,634	1,630	1,822	1,822	1,822	1,822
<b>Education, Department of</b>	<b>24,209,060</b>	<b>25,270,542</b>	<b>26,016,504</b>	<b>27,367,105</b>	<b>28,608,156</b>	<b>29,926,744</b>
<b>School Aid</b>	<b>21,953,910</b>	<b>22,927,234</b>	<b>23,490,920</b>	<b>24,837,979</b>	<b>26,011,835</b>	<b>27,233,682</b>
Local Assistance	21,953,910	22,927,234	23,490,920	24,837,979	26,011,835	27,233,682
<b>School Aid – Other</b>	<b>61,169</b>	<b>152,867</b>	<b>78,195</b>	<b>78,195</b>	<b>78,195</b>	<b>78,195</b>
Local Assistance	61,169	152,867	78,195	78,195	78,195	78,195
<b>Special Education Categorical Programs</b>	<b>1,263,705</b>	<b>1,290,608</b>	<b>1,350,637</b>	<b>1,418,221</b>	<b>1,484,066</b>	<b>1,551,451</b>
Local Assistance	1,263,705	1,290,608	1,350,637	1,418,221	1,484,066	1,551,451
<b>All Other</b>	<b>930,276</b>	<b>899,833</b>	<b>1,096,752</b>	<b>1,032,710</b>	<b>1,034,060</b>	<b>1,063,416</b>
Local Assistance	873,880	843,652	1,037,514	973,500	975,822	1,005,178
State Operations	56,396	56,181	59,238	59,210	58,238	58,238
Personal Service	31,037	30,847	29,334	30,306	29,334	29,334
Non-Personal Service	25,359	25,334	29,904	28,904	28,904	28,904
<b>Functional Total</b>	<b>24,248,270</b>	<b>25,314,056</b>	<b>26,061,759</b>	<b>27,412,356</b>	<b>28,653,311</b>	<b>29,971,899</b>
<b>GENERAL GOVERNMENT</b>						
<b>Budget, Division of the</b>	<b>23,931</b>	<b>22,431</b>	<b>23,432</b>	<b>23,749</b>	<b>22,895</b>	<b>22,895</b>
State Operations	23,931	22,431	23,432	23,749	22,895	22,895
Personal Service	20,484	20,534	22,216	23,070	22,216	22,216
Non-Personal Service	3,447	1,897	1,216	679	679	679
<b>Civil Service, Department of</b>	<b>14,117</b>	<b>15,793</b>	<b>15,746</b>	<b>14,216</b>	<b>13,842</b>	<b>13,850</b>
Local Assistance	0	567	2,000	0	0	0
State Operations	14,117	15,226	13,746	14,216	13,842	13,850
Personal Service	13,731	14,874	13,296	13,766	13,387	13,387
Non-Personal Service	386	352	450	450	455	463
<b>Deferred Compensation Board</b>	<b>33</b>	<b>34</b>	<b>57</b>	<b>58</b>	<b>57</b>	<b>57</b>
State Operations	33	34	57	58	57	57
Personal Service	33	34	32	33	32	32
Non-Personal Service	0	0	25	25	25	25
<b>Elections, State Board of</b>	<b>7,769</b>	<b>8,348</b>	<b>10,147</b>	<b>10,583</b>	<b>10,054</b>	<b>9,428</b>
Local Assistance	50	5	0	0	0	0
State Operations	7,719	8,343	10,147	10,583	10,054	9,428
Personal Service	6,077	5,773	6,288	6,571	6,478	6,316
Non-Personal Service	1,642	2,570	3,859	4,012	3,576	3,112
<b>Employee Relations, Office of</b>	<b>3,569</b>	<b>2,097</b>	<b>6,444</b>	<b>6,542</b>	<b>6,444</b>	<b>6,445</b>
State Operations	3,569	2,097	6,444	6,542	6,444	6,445
Personal Service	2,515	2,040	6,248	6,346	6,248	6,248
Non-Personal Service	1,054	57	196	196	196	197
<b>Gaming Commission, New York State</b>	<b>4,373</b>	<b>4,541</b>	<b>6,362</b>	<b>6,527</b>	<b>6,362</b>	<b>6,362</b>
State Operations	4,373	4,541	6,362	6,527	6,362	6,362
Personal Service	2,872	3,177	4,282	4,447	4,282	4,282
Non-Personal Service	1,501	1,364	2,080	2,080	2,080	2,080
<b>General Services, Office of</b>	<b>138,445</b>	<b>135,673</b>	<b>100,438</b>	<b>99,135</b>	<b>97,731</b>	<b>99,283</b>
State Operations	138,445	135,673	100,438	99,135	97,731	99,283
Personal Service	63,907	57,198	25,412	28,798	26,407	26,407
Non-Personal Service	74,538	78,475	75,026	70,337	71,324	72,876
<b>Inspector General, Office of the</b>	<b>7,166</b>	<b>6,844</b>	<b>7,487</b>	<b>7,826</b>	<b>8,061</b>	<b>8,210</b>
State Operations	7,166	6,844	7,487	7,826	8,061	8,210
Personal Service	6,223	5,909	6,648	6,970	6,857	6,994
Non-Personal Service	943	935	839	856	1,204	1,216
<b>Labor Management Committees</b>	<b>30,630</b>	<b>35,520</b>	<b>36,000</b>	<b>31,211</b>	<b>31,000</b>	<b>31,000</b>
State Operations	24,773	29,699	31,000	26,211	26,000	26,000
Personal Service	7,747	8,618	10,487	5,698	5,487	5,487
Non-Personal Service	17,026	21,081	20,513	20,513	20,513	20,513
General State Charges	5,857	5,821	5,000	5,000	5,000	5,000
<b>Prevention of Domestic Violence, Office for</b>	<b>2,349</b>	<b>2,816</b>	<b>3,094</b>	<b>3,116</b>	<b>3,054</b>	<b>3,054</b>
Local Assistance	791	1,260	1,435	1,385	1,412	1,412
State Operations	1,558	1,556	1,659	1,731	1,642	1,642
Personal Service	1,412	1,394	1,491	1,524	1,431	1,431
Non-Personal Service	146	162	168	207	211	211
<b>Public Employment Relations Board</b>	<b>3,232</b>	<b>3,393</b>	<b>3,589</b>	<b>3,719</b>	<b>3,589</b>	<b>3,589</b>
State Operations	3,232	3,393	3,589	3,719	3,589	3,589
Personal Service	3,059	3,175	3,338	3,468	3,338	3,338
Non-Personal Service	173	218	251	251	251	251
<b>Public Integrity, Commission on</b>	<b>5,115</b>	<b>5,223</b>	<b>5,630</b>	<b>5,835</b>	<b>6,010</b>	<b>6,119</b>
State Operations	5,115	5,223	5,630	5,835	6,010	6,119
Personal Service	4,386	4,516	4,681	4,867	4,827	4,924

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND (excludes transfers)  
(thousands of dollars)**

	<b>FY 2018 Results</b>	<b>FY 2019 Results</b>	<b>FY 2020 Updated</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>	<b>FY 2023 Projected</b>
Non-Personal Service	729	707	949	968	1,183	1,195
<b>State, Department of</b>	<b>19,872</b>	<b>23,622</b>	<b>37,897</b>	<b>13,489</b>	<b>13,127</b>	<b>13,127</b>
Local Assistance	9,122	12,989	30,287	5,537	5,537	5,537
State Operations	10,750	10,633	7,610	7,952	7,590	7,590
Personal Service	10,364	10,301	7,354	7,716	7,354	7,354
Non-Personal Service	386	332	256	236	236	236
<b>Tax Appeals, Division of</b>	<b>2,688</b>	<b>2,820</b>	<b>3,040</b>	<b>3,150</b>	<b>3,040</b>	<b>3,040</b>
State Operations	2,688	2,820	3,040	3,150	3,040	3,040
Personal Service	2,541	2,513	2,870	2,980	2,870	2,870
Non-Personal Service	147	307	170	170	170	170
<b>Taxation and Finance, Department of</b>	<b>307,009</b>	<b>304,503</b>	<b>255,349</b>	<b>264,042</b>	<b>255,349</b>	<b>255,349</b>
Local Assistance	888	921	926	926	926	926
State Operations	306,121	303,582	254,423	263,116	254,423	254,423
Personal Service	266,320	262,755	232,987	240,376	232,987	232,987
Non-Personal Service	39,801	40,827	21,436	22,740	21,436	21,436
<b>Technology, Office for</b>	<b>535,977</b>	<b>544,541</b>	<b>540,316</b>	<b>550,762</b>	<b>557,318</b>	<b>568,476</b>
State Operations	535,977	544,541	540,316	550,762	557,318	568,476
Personal Service	289,994	299,018	291,616	308,116	303,458	309,539
Non-Personal Service	245,983	245,523	248,700	242,646	253,860	258,937
<b>Veterans' Services, Division of</b>	<b>13,791</b>	<b>16,219</b>	<b>15,721</b>	<b>14,322</b>	<b>14,257</b>	<b>14,257</b>
Local Assistance	7,906	10,499	9,485	7,840	7,840	7,840
State Operations	5,885	5,720	6,236	6,482	6,417	6,417
Personal Service	5,512	5,161	5,938	6,178	6,107	6,107
Non-Personal Service	373	559	298	304	310	310
<b>Welfare Inspector General, Office of</b>	<b>671</b>	<b>629</b>	<b>701</b>	<b>731</b>	<b>753</b>	<b>768</b>
State Operations	671	629	701	731	753	768
Personal Service	619	615	626	654	646	659
Non-Personal Service	52	14	75	77	107	109
<b>Functional Total</b>	<b>1,120,737</b>	<b>1,135,047</b>	<b>1,071,450</b>	<b>1,059,013</b>	<b>1,052,943</b>	<b>1,065,309</b>
<b>ELECTED OFFICIALS</b>						
<b>Audit and Control, Department of</b>	<b>164,445</b>	<b>166,778</b>	<b>169,441</b>	<b>171,863</b>	<b>169,441</b>	<b>169,441</b>
Local Assistance	32,025	32,025	32,024	32,024	32,024	32,024
State Operations	132,420	134,753	137,417	139,839	137,417	137,417
Personal Service	107,894	108,084	112,181	114,603	112,181	112,181
Non-Personal Service	24,526	26,669	25,236	25,236	25,236	25,236
<b>Executive Chamber</b>	<b>13,560</b>	<b>12,673</b>	<b>13,578</b>	<b>14,032</b>	<b>13,578</b>	<b>13,578</b>
State Operations	13,560	12,673	13,578	14,032	13,578	13,578
Personal Service	9,979	9,135	11,113	11,567	11,113	11,113
Non-Personal Service	3,581	3,538	2,465	2,465	2,465	2,465
<b>Judiciary</b>	<b>2,691,658</b>	<b>2,824,285</b>	<b>2,834,400</b>	<b>2,906,400</b>	<b>2,849,400</b>	<b>2,849,400</b>
Local Assistance	2,974	3,020	4,000	19,000	19,000	19,000
State Operations	1,966,636	2,063,276	2,047,600	2,104,600	2,047,600	2,047,600
Personal Service	1,565,719	1,669,267	1,641,300	1,698,300	1,641,300	1,641,300
Non-Personal Service	400,917	394,009	406,300	406,300	406,300	406,300
General State Charges	722,048	757,989	782,800	782,800	782,800	782,800
<b>Law, Department of</b>	<b>105,993</b>	<b>107,960</b>	<b>109,689</b>	<b>112,935</b>	<b>109,689</b>	<b>109,689</b>
State Operations	105,993	107,960	109,689	112,935	109,689	109,689
Personal Service	95,500	97,853	97,907	101,153	97,907	97,907
Non-Personal Service	10,493	10,107	11,782	11,782	11,782	11,782
<b>Legislature</b>	<b>222,212</b>	<b>223,009</b>	<b>241,265</b>	<b>248,106</b>	<b>254,999</b>	<b>255,052</b>
State Operations	222,212	223,009	241,265	248,106	254,999	255,052
Personal Service	174,350	175,304	187,511	192,583	198,366	198,419
Non-Personal Service	47,862	47,705	53,754	55,523	56,633	56,633
<b>Lieutenant Governor, Office of the</b>	<b>492</b>	<b>530</b>	<b>614</b>	<b>634</b>	<b>614</b>	<b>614</b>
State Operations	492	530	614	634	614	614
Personal Service	382	414	523	543	523	523
Non-Personal Service	110	116	91	91	91	91
<b>Functional Total</b>	<b>3,198,360</b>	<b>3,335,235</b>	<b>3,368,987</b>	<b>3,453,970</b>	<b>3,397,721</b>	<b>3,397,774</b>
<b>LOCAL GOVERNMENT ASSISTANCE</b>						
<b>Aid and Incentives for Municipalities</b>	<b>721,920</b>	<b>721,979</b>	<b>671,208</b>	<b>704,192</b>	<b>704,192</b>	<b>704,192</b>
Local Assistance	721,789	721,732	671,208	704,192	704,192	704,192
State Operations	131	247	0	0	0	0
Non-Personal Service	131	247	0	0	0	0
<b>County-Wide Shared Services Initiative</b>	<b>0</b>	<b>0</b>	<b>40,000</b>	<b>65,000</b>	<b>60,000</b>	<b>60,000</b>
Local Assistance	0	0	40,000	65,000	60,000	60,000
<b>Efficiency Incentive Grants Program</b>	<b>348</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Local Assistance	348	0	0	0	0	0
<b>Miscellaneous Financial Assistance</b>	<b>9,270</b>	<b>12,001</b>	<b>11,998</b>	<b>2,250</b>	<b>2,250</b>	<b>2,250</b>
Local Assistance	9,270	12,001	11,998	2,250	2,250	2,250
<b>Municipalities with VLT Facilities</b>	<b>28,885</b>	<b>28,885</b>	<b>28,885</b>	<b>28,885</b>	<b>28,885</b>	<b>28,885</b>
Local Assistance	28,885	28,885	28,885	28,885	28,885	28,885
<b>Small Government Assistance</b>	<b>217</b>	<b>217</b>	<b>218</b>	<b>218</b>	<b>218</b>	<b>218</b>



**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND (excludes transfers)  
(thousands of dollars)**

	<u>FY 2018 Results</u>	<u>FY 2019 Results</u>	<u>FY 2020 Updated</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>
Local Assistance	217	217	218	218	218	218
<b>Functional Total</b>	<u>760,640</u>	<u>763,082</u>	<u>752,309</u>	<u>800,545</u>	<u>795,545</u>	<u>795,545</u>
<b>ALL OTHER CATEGORIES</b>						
<b>General State Charges</b>	<u>4,842,816</u>	<u>6,373,457</u>	<u>6,894,907</u>	<u>7,438,282</u>	<u>8,003,887</u>	<u>8,563,970</u>
General State Charges	4,842,816	6,373,457	6,894,907	7,438,282	8,003,887	8,563,970
<b>Miscellaneous</b>	<u>(24,131)</u>	<u>(19,359)</u>	<u>697,479</u>	<u>2,019,429</u>	<u>1,969,429</u>	<u>2,758,429</u>
Local Assistance	(69,431)	(67,147)	407,634	1,231,741	1,181,741	1,820,741
State Operations	44,005	46,414	285,425	783,268	783,268	933,268
Personal Service	34	68	54,056	390,056	390,056	465,056
Non-Personal Service	43,971	46,346	231,369	393,212	393,212	468,212
General State Charges	1,295	1,374	4,420	4,420	4,420	4,420
<b>Functional Total</b>	<u>4,818,685</u>	<u>6,354,098</u>	<u>7,592,386</u>	<u>9,457,711</u>	<u>9,973,316</u>	<u>11,322,399</u>
<b>TOTAL GENERAL FUND SPENDING</b>	<u>59,872,111</u>	<u>68,225,342</u>	<u>71,711,717</u>	<u>76,644,411</u>	<u>79,370,873</u>	<u>83,281,477</u>

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND (excludes transfers)  
(thousands of dollars)**

	<b>FY 2018 Results</b>	<b>FY 2019 Results</b>	<b>FY 2020 Updated</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>	<b>FY 2023 Projected</b>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	65,366	65,377	66,348	60,185	58,573	58,373
Alcoholic Beverage Control, Division of	11,819	11,546	11,275	11,560	11,244	11,244
Economic Development, Department of	70,791	71,702	67,475	62,878	62,728	62,728
Empire State Development Corporation	124,880	85,177	75,724	76,054	76,054	76,054
Olympic Regional Development Authority	2,736	11,143	8,526	8,526	8,526	8,526
<b>Functional Total</b>	<b>275,592</b>	<b>244,945</b>	<b>229,348</b>	<b>219,203</b>	<b>217,125</b>	<b>216,925</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Adirondack Park Agency	4,468	4,297	4,728	4,714	4,556	4,556
Environmental Conservation, Department of	96,795	105,456	111,008	120,194	118,497	124,228
Parks, Recreation and Historic Preservation, Office of	105,254	108,081	105,901	109,588	107,279	107,279
<b>Functional Total</b>	<b>206,517</b>	<b>217,834</b>	<b>221,637</b>	<b>234,496</b>	<b>230,332</b>	<b>236,063</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	1,600	10,677	12,347	12,586	12,830	12,830
Transportation, Department of	116,103	595,676	447,900	436,908	441,800	441,800
<b>Functional Total</b>	<b>117,703</b>	<b>606,353</b>	<b>460,247</b>	<b>449,494</b>	<b>454,630</b>	<b>454,630</b>
<b>HEALTH</b>						
Aging, Office for the	125,380	131,371	146,703	148,591	153,730	159,041
Health, Department of	14,611,316	15,474,913	16,886,362	17,851,555	18,698,986	19,475,277
<i>Medical Assistance</i>	13,111,453	13,837,091	15,145,154	16,313,493	17,174,450	17,969,769
<i>Essential Plan</i>	87,638	76,580	84,224	78,757	76,397	72,453
<i>Medicaid Administration</i>	583,789	764,404	877,997	715,182	703,219	688,135
<i>Public Health</i>	828,436	796,838	778,987	744,123	744,920	744,920
Medicaid Inspector General, Office of the	18,282	18,116	18,072	18,679	18,072	18,072
<b>Functional Total</b>	<b>14,754,978</b>	<b>15,624,400</b>	<b>17,051,137</b>	<b>18,018,825</b>	<b>18,870,788</b>	<b>19,652,390</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	1,832,432	1,900,002	1,770,461	1,965,173	2,021,486	2,022,069
<i>OCFS</i>	1,755,070	1,827,888	1,689,663	1,873,195	1,925,344	1,925,927
<i>OCFS - Other</i>	77,362	72,114	80,798	91,978	96,142	96,142
Housing and Community Renewal, Division of	9,912	13,881	35,557	51,167	51,678	51,678
Human Rights, Division of	9,465	9,993	9,921	10,590	10,230	10,230
Labor, Department of	12,863	15,715	18,303	5,349	5,351	5,351
National and Community Service	770	560	772	781	781	781
Temporary and Disability Assistance, Office of	1,351,164	1,262,856	1,469,647	1,485,537	1,492,057	1,494,857
<i>Welfare Assistance</i>	1,137,324	1,037,938	1,221,736	1,232,366	1,232,366	1,232,366
<i>All Other</i>	213,840	224,918	247,911	253,171	259,691	262,491
<b>Functional Total</b>	<b>3,216,606</b>	<b>3,203,007</b>	<b>3,304,661</b>	<b>3,518,597</b>	<b>3,581,583</b>	<b>3,584,966</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	36,221	426,203	447,723	456,720	471,782	491,083
<i>OASAS</i>	14,896	352,360	381,714	389,269	403,604	422,511
<i>OASAS - Other</i>	21,325	73,843	66,009	67,451	68,178	68,572
Justice Center	37,797	40,349	41,100	42,602	41,930	42,521
Mental Health, Office of	273,626	2,626,444	2,515,229	2,617,105	2,720,785	2,816,946
<i>OMH</i>	8,237	1,330,551	1,436,449	1,501,648	1,566,919	1,634,613
<i>OMH - Other</i>	265,389	1,295,893	1,078,780	1,115,457	1,153,866	1,182,333
People with Developmental Disabilities, Office for	438,201	1,851,002	1,794,537	2,226,868	2,151,453	2,341,754
<i>OPWDD</i>	143	389,699	362,591	347,451	357,628	368,490
<i>OPWDD - Other</i>	438,058	1,461,303	1,431,946	1,879,417	1,793,825	1,973,264
<b>Functional Total</b>	<b>785,845</b>	<b>4,943,998</b>	<b>4,798,589</b>	<b>5,343,295</b>	<b>5,385,950</b>	<b>5,692,304</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correction, Commission of	2,594	2,541	2,651	2,773	2,735	2,735
Correctional Services, Department of	2,632,319	2,601,761	2,858,995	2,753,116	2,794,200	2,872,070
Corrections and Community Supervision Medicaid, Department of	0	0	2,000	2,000	0	0
Criminal Justice Services, Division of	170,421	194,937	184,986	175,602	175,332	176,020
Homeland Security and Emergency Services, Division of	4,956	5,188	7,172	4,972	5,290	5,396
Judicial Conduct, Commission on	5,552	5,423	6,038	5,936	6,114	6,220
Judicial Nomination, Commission on	10	0	30	30	30	30
Judicial Screening Committees, New York State	14	7	38	38	38	38
Military and Naval Affairs, Division of	23,738	21,330	21,659	21,957	22,593	23,046
State Police, Division of	664,644	640,201	708,658	732,698	730,328	748,606
Statewide Financial System	30,137	30,520	30,506	30,949	31,117	31,732
Victim Services, Office of	(514)	20	0	0	0	0
<b>Functional Total</b>	<b>3,533,871</b>	<b>3,501,928</b>	<b>3,822,733</b>	<b>3,730,071</b>	<b>3,767,777</b>	<b>3,865,893</b>
<b>HIGHER EDUCATION</b>						
City University of New York	1,465,153	1,508,353	1,536,842	1,577,439	1,610,889	1,644,883
Higher Education Services Corporation, New York State	879,322	984,334	956,124	881,887	891,454	893,988
State University of New York	489,832	488,672	483,508	487,509	487,509	486,509
<b>Functional Total</b>	<b>2,834,307</b>	<b>2,981,359</b>	<b>2,976,474</b>	<b>2,946,835</b>	<b>2,989,852</b>	<b>3,025,380</b>

**CASH DISBURSEMENTS BY FUNCTION**  
**GENERAL FUND (excludes transfers)**  
(thousands of dollars)

	<b>FY 2018 Results</b>	<b>FY 2019 Results</b>	<b>FY 2020 Updated</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>	<b>FY 2023 Projected</b>
<b>EDUCATION</b>						
Arts, Council on the	39,210	43,514	45,255	45,251	45,155	45,155
Education, Department of	24,209,060	25,270,542	26,016,504	27,367,105	28,608,156	29,926,744
<i>School Aid</i>	21,953,910	22,927,234	23,490,920	24,837,979	26,011,835	27,233,682
<i>School Aid – Other</i>	61,169	152,867	78,195	78,195	78,195	78,195
<i>Special Education Categorical Programs</i>	1,263,705	1,290,608	1,350,637	1,418,221	1,484,066	1,551,451
<i>All Other</i>	930,276	899,833	1,096,752	1,032,710	1,034,060	1,063,416
<b>Functional Total</b>	<b>24,248,270</b>	<b>25,314,056</b>	<b>26,061,759</b>	<b>27,412,356</b>	<b>28,653,311</b>	<b>29,971,899</b>
<b>GENERAL GOVERNMENT</b>						
Budget, Division of the	23,931	22,431	23,432	23,749	22,895	22,895
Civil Service, Department of	14,117	15,793	15,746	14,216	13,842	13,850
Deferred Compensation Board	33	34	57	58	57	57
Elections, State Board of	7,769	8,348	10,147	10,583	10,054	9,428
Employee Relations, Office of	3,569	2,097	6,444	6,542	6,444	6,445
Gaming Commission, New York State	4,373	4,541	6,362	6,527	6,362	6,362
General Services, Office of	138,445	135,673	100,438	99,135	97,731	99,283
Inspector General, Office of the	7,166	6,844	7,487	7,826	8,061	8,210
Labor Management Committees	30,630	35,520	36,000	31,211	31,000	31,000
Prevention of Domestic Violence, Office for	2,349	2,816	3,094	3,116	3,054	3,054
Public Employment Relations Board	3,232	3,393	3,589	3,719	3,589	3,589
Public Integrity, Commission on	5,115	5,223	5,630	5,835	6,010	6,119
State, Department of	19,872	23,622	37,897	13,489	13,127	13,127
Tax Appeals, Division of	2,688	2,820	3,040	3,150	3,040	3,040
Taxation and Finance, Department of	307,009	304,503	255,349	264,042	255,349	255,349
Technology, Office for	535,977	544,541	540,316	550,762	557,318	568,476
Veterans' Services, Division of	13,791	16,219	15,721	14,322	14,257	14,257
Welfare Inspector General, Office of	671	629	701	731	753	768
<b>Functional Total</b>	<b>1,120,737</b>	<b>1,135,047</b>	<b>1,071,450</b>	<b>1,059,013</b>	<b>1,052,943</b>	<b>1,065,309</b>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	164,445	166,778	169,441	171,863	169,441	169,441
Executive Chamber	13,560	12,673	13,578	14,032	13,578	13,578
Judiciary	2,691,657	2,824,284	2,834,400	2,906,400	2,849,400	2,849,400
Law, Department of	105,993	107,960	109,689	112,935	109,689	109,689
Legislature	222,212	223,009	241,265	248,106	254,999	255,052
Lieutenant Governor, Office of the	492	530	614	634	614	614
<b>Functional Total</b>	<b>3,198,359</b>	<b>3,335,234</b>	<b>3,368,987</b>	<b>3,453,970</b>	<b>3,397,721</b>	<b>3,397,774</b>
<b>LOCAL GOVERNMENT ASSISTANCE</b>						
Aid and Incentives for Municipalities	721,920	721,979	671,208	704,192	704,192	704,192
County-Wide Shared Services Initiative	0	0	40,000	65,000	60,000	60,000
Efficiency Incentive Grants Program	348	0	0	0	0	0
Miscellaneous Financial Assistance	9,270	12,001	11,998	2,250	2,250	2,250
Municipalities with VLT Facilities	28,885	28,885	28,885	28,885	28,885	28,885
Small Government Assistance	217	217	218	218	218	218
<b>Functional Total</b>	<b>760,640</b>	<b>763,082</b>	<b>752,309</b>	<b>800,545</b>	<b>795,545</b>	<b>795,545</b>
<b>ALL OTHER CATEGORIES</b>						
General State Charges	4,842,815	6,373,456	6,894,907	7,438,282	8,003,887	8,563,970
Miscellaneous	(24,131)	(19,359)	697,479	2,019,429	1,969,429	2,758,429
<b>Functional Total</b>	<b>4,818,684</b>	<b>6,354,097</b>	<b>7,592,386</b>	<b>9,457,711</b>	<b>9,973,316</b>	<b>11,322,399</b>
<b>TOTAL GENERAL FUND SPENDING</b>	<b>59,872,109</b>	<b>68,225,340</b>	<b>71,711,717</b>	<b>76,644,411</b>	<b>79,370,873</b>	<b>83,281,477</b>

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND  
LOCAL ASSISTANCE  
(thousands of dollars)**

	<b>FY 2018 Results</b>	<b>FY 2019 Results</b>	<b>FY 2020 Updated</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>	<b>FY 2023 Projected</b>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	32,318	33,027	32,932	25,197	25,347	25,347
Economic Development, Department of	52,871	55,760	52,134	47,537	47,387	47,387
Empire State Development Corporation	124,880	85,177	75,724	76,054	76,054	76,054
Olympic Regional Development Authority	0	2,360	0	0	0	0
<b>Functional Total</b>	<b>210,069</b>	<b>176,324</b>	<b>160,790</b>	<b>148,788</b>	<b>148,788</b>	<b>148,788</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Environmental Conservation, Department of	2,491	2,431	2,524	1,699	1,468	1,199
Parks, Recreation and Historic Preservation, Office of	2,931	2,188	440	100	100	100
<b>Functional Total</b>	<b>5,422</b>	<b>4,619</b>	<b>2,964</b>	<b>1,799</b>	<b>1,568</b>	<b>1,299</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	1,600	375	0	0	0	0
Transportation, Department of	114,708	303,461	115,851	109,851	109,851	109,851
<b>Functional Total</b>	<b>116,308</b>	<b>303,836</b>	<b>115,851</b>	<b>109,851</b>	<b>109,851</b>	<b>109,851</b>
<b>HEALTH</b>						
Aging, Office for the	124,180	130,141	144,741	146,586	151,768	157,079
Health, Department of	14,105,025	15,002,803	16,387,495	17,358,169	18,208,823	18,990,458
<i>Medical Assistance</i>	13,111,453	13,837,091	15,145,154	16,313,493	17,174,450	17,969,769
<i>Medicaid Administration</i>	286,336	503,108	606,198	446,502	432,297	418,613
<i>Public Health</i>	707,236	662,604	636,143	598,174	602,076	602,076
<b>Functional Total</b>	<b>14,229,205</b>	<b>15,132,944</b>	<b>16,532,236</b>	<b>17,504,755</b>	<b>18,360,591</b>	<b>19,147,537</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	1,604,882	1,655,274	1,526,874	1,603,206	1,657,137	1,657,720
<i>OCFS</i>	1,527,520	1,583,160	1,446,076	1,511,228	1,560,995	1,561,578
<i>OCFS - Other</i>	77,362	72,114	80,798	91,978	96,142	96,142
Housing and Community Renewal, Division of	4,119	9,692	31,007	46,262	46,937	46,937
Labor, Department of	12,666	15,373	18,015	5,000	5,000	5,000
National and Community Service	477	270	432	432	432	432
Temporary and Disability Assistance, Office of	1,229,518	1,139,031	1,339,932	1,351,362	1,355,162	1,357,962
<i>Welfare Assistance</i>	1,137,324	1,037,938	1,221,736	1,232,366	1,232,366	1,232,366
<i>All Other</i>	92,194	101,093	118,196	118,996	122,796	125,596
<b>Functional Total</b>	<b>2,851,662</b>	<b>2,819,640</b>	<b>2,916,260</b>	<b>3,006,262</b>	<b>3,064,668</b>	<b>3,068,051</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	36,221	345,526	367,421	375,253	389,958	408,561
<i>OASAS</i>	14,896	324,201	346,096	353,928	368,633	387,236
<i>OASAS - Other</i>	21,325	21,325	21,325	21,325	21,325	21,325
Justice Center	170	170	170	170	170	170
Mental Health, Office of	272,929	1,281,517	1,150,723	1,223,528	1,290,913	1,357,567
<i>OMH</i>	7,540	1,002,556	1,073,485	1,141,276	1,197,192	1,255,603
<i>OMH - Other</i>	265,389	278,961	77,238	82,252	93,721	101,964
People with Developmental Disabilities, Office for	438,201	520,587	465,894	877,753	787,154	959,643
<i>OPWDD</i>	143	389,437	362,591	347,451	357,628	368,490
<i>OPWDD - Other</i>	438,058	131,150	103,303	530,302	429,526	591,153
<b>Functional Total</b>	<b>747,521</b>	<b>2,147,800</b>	<b>1,984,208</b>	<b>2,476,704</b>	<b>2,468,195</b>	<b>2,725,941</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correctional Services, Department of	5,686	3,435	5,016	4,836	4,836	4,836
Corrections and Community Supervision Medicaid, Department of	0	0	2,000	2,000	0	0
Criminal Justice Services, Division of	136,570	160,967	151,348	141,000	141,000	141,000
Homeland Security and Emergency Services, Division of	3,886	4,188	6,172	3,972	4,290	4,376
Military and Naval Affairs, Division of	819	885	820	820	886	904
Victim Services, Office of	(514)	20	0	0	0	0
<b>Functional Total</b>	<b>146,447</b>	<b>169,495</b>	<b>165,356</b>	<b>152,628</b>	<b>151,012</b>	<b>151,116</b>
<b>HIGHER EDUCATION</b>						
City University of New York	1,465,153	1,508,007	1,536,842	1,577,439	1,610,889	1,644,883
Higher Education Services Corporation, New York State	879,322	984,334	955,624	881,387	890,954	893,488
State University of New York	488,783	487,744	482,766	486,767	486,767	485,767
<b>Functional Total</b>	<b>2,833,258</b>	<b>2,980,085</b>	<b>2,975,232</b>	<b>2,945,593</b>	<b>2,988,610</b>	<b>3,024,138</b>
<b>EDUCATION</b>						
Arts, Council on the	34,950	39,248	40,935	40,835	40,835	40,835
Education, Department of	24,152,664	25,214,361	25,957,266	27,307,895	28,549,918	29,868,506
<i>School Aid</i>	21,953,910	22,927,234	23,490,920	24,837,979	26,011,835	27,233,682
<i>School Aid - Other</i>	61,169	152,867	78,195	78,195	78,195	78,195
<i>Special Education Categorical Programs</i>	1,263,705	1,290,608	1,350,637	1,418,221	1,484,066	1,551,451
<i>All Other</i>	873,880	843,652	1,037,514	973,500	975,822	1,005,178
<b>Functional Total</b>	<b>24,187,614</b>	<b>25,253,609</b>	<b>25,998,201</b>	<b>27,348,730</b>	<b>28,590,753</b>	<b>29,909,341</b>
<b>GENERAL GOVERNMENT</b>						
Civil Service, Department of	0	567	2,000	0	0	0
Elections, State Board of	50	5	0	0	0	0
Prevention of Domestic Violence, Office for	791	1,260	1,435	1,385	1,412	1,412
State, Department of	9,122	12,989	30,287	5,537	5,537	5,537
Taxation and Finance, Department of	888	921	926	926	926	926
Veterans' Services, Division of	7,906	10,499	9,485	7,840	7,840	7,840
<b>Functional Total</b>	<b>18,757</b>	<b>26,241</b>	<b>44,133</b>	<b>15,688</b>	<b>15,715</b>	<b>15,715</b>

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND  
LOCAL ASSISTANCE  
(thousands of dollars)**

	<b>FY 2018 Results</b>	<b>FY 2019 Results</b>	<b>FY 2020 Updated</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>	<b>FY 2023 Projected</b>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	32,025	32,025	32,024	32,024	32,024	32,024
Judiciary	2,974	3,020	4,000	19,000	19,000	19,000
<b>Functional Total</b>	<b>34,999</b>	<b>35,045</b>	<b>36,024</b>	<b>51,024</b>	<b>51,024</b>	<b>51,024</b>
<b>LOCAL GOVERNMENT ASSISTANCE</b>						
Aid and Incentives for Municipalities	721,789	721,732	671,208	704,192	704,192	704,192
County-Wide Shared Services Initiative	0	0	40,000	65,000	60,000	60,000
Efficiency Incentive Grants Program	348	0	0	0	0	0
Miscellaneous Financial Assistance	9,270	12,001	11,998	2,250	2,250	2,250
Municipalities with VLT Facilities	28,885	28,885	28,885	28,885	28,885	28,885
Small Government Assistance	217	217	218	218	218	218
<b>Functional Total</b>	<b>760,509</b>	<b>762,835</b>	<b>752,309</b>	<b>800,545</b>	<b>795,545</b>	<b>795,545</b>
<b>ALL OTHER CATEGORIES</b>						
Miscellaneous	(69,431)	(67,147)	407,634	1,231,741	1,181,741	1,820,741
<b>Functional Total</b>	<b>(69,431)</b>	<b>(67,147)</b>	<b>407,634</b>	<b>1,231,741</b>	<b>1,181,741</b>	<b>1,820,741</b>
<b>TOTAL LOCAL ASSISTANCE SPENDING</b>	<b>46,072,340</b>	<b>49,745,326</b>	<b>52,091,198</b>	<b>55,794,108</b>	<b>57,928,061</b>	<b>60,969,087</b>

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND  
STATE OPERATIONS  
(thousands of dollars)**

	<b>FY 2018 Results</b>	<b>FY 2019 Results</b>	<b>FY 2020 Updated</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>	<b>FY 2023 Projected</b>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	33,048	32,350	33,416	34,988	33,226	33,026
Alcoholic Beverage Control, Division of	11,819	11,546	11,275	11,560	11,244	11,244
Economic Development, Department of	17,920	15,942	15,341	15,341	15,341	15,341
Olympic Regional Development Authority	2,736	8,783	8,526	8,526	8,526	8,526
<b>Functional Total</b>	<b>65,523</b>	<b>68,621</b>	<b>68,558</b>	<b>70,415</b>	<b>68,337</b>	<b>68,137</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Adirondack Park Agency	4,468	4,297	4,728	4,714	4,556	4,556
Environmental Conservation, Department of	94,304	103,025	108,484	118,495	117,029	123,029
Parks, Recreation and Historic Preservation, Office of	102,323	105,893	105,461	109,488	107,179	107,179
<b>Functional Total</b>	<b>201,095</b>	<b>213,215</b>	<b>218,673</b>	<b>232,697</b>	<b>228,764</b>	<b>234,764</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	0	10,302	12,347	12,586	12,830	12,830
Transportation, Department of	1,395	292,215	332,049	327,057	331,949	331,949
<b>Functional Total</b>	<b>1,395</b>	<b>302,517</b>	<b>344,396</b>	<b>339,643</b>	<b>344,779</b>	<b>344,779</b>
<b>HEALTH</b>						
Aging, Office for the	1,200	1,230	1,962	2,005	1,962	1,962
Health, Department of	506,291	472,110	498,867	493,386	490,163	484,819
<i>Essential Plan</i>	87,638	76,580	84,224	78,757	76,397	72,453
<i>Medicaid Administration</i>	297,453	261,296	271,799	268,680	270,922	269,522
<i>Public Health</i>	121,200	134,234	142,844	145,949	142,844	142,844
Medicaid Inspector General, Office of the	18,282	18,116	18,072	18,679	18,072	18,072
<b>Functional Total</b>	<b>525,773</b>	<b>491,456</b>	<b>518,901</b>	<b>514,070</b>	<b>510,197</b>	<b>504,853</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	227,550	244,728	243,587	361,967	364,349	364,349
<i>OCFS</i>	227,550	244,728	243,587	361,967	364,349	364,349
Housing and Community Renewal, Division of	5,793	4,189	4,550	4,905	4,741	4,741
Human Rights, Division of	9,465	9,993	9,921	10,590	10,230	10,230
Labor, Department of	197	342	288	349	351	351
National and Community Service	293	290	340	349	349	349
Temporary and Disability Assistance, Office of	121,646	123,825	129,715	134,175	136,895	136,895
<i>All Other</i>	121,646	123,825	129,715	134,175	136,895	136,895
<b>Functional Total</b>	<b>364,944</b>	<b>383,367</b>	<b>388,401</b>	<b>512,335</b>	<b>516,915</b>	<b>516,915</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	0	80,677	80,302	81,467	81,824	82,522
<i>OASAS</i>	0	28,159	35,618	35,341	34,971	35,275
<i>OASAS - Other</i>	0	52,518	44,684	46,126	46,853	47,247
Justice Center	37,627	40,179	40,930	42,432	41,760	42,351
Mental Health, Office of	697	1,344,927	1,364,506	1,393,577	1,429,872	1,459,379
<i>OMH</i>	697	327,995	362,964	360,372	369,727	379,010
<i>OMH - Other</i>	0	1,016,932	1,001,542	1,033,205	1,060,145	1,080,369
People with Developmental Disabilities, Office for	0	1,330,415	1,328,643	1,349,115	1,364,299	1,382,111
<i>OPWDD</i>	0	262	0	0	0	0
<i>OPWDD - Other</i>	0	1,330,153	1,328,643	1,349,115	1,364,299	1,382,111
<b>Functional Total</b>	<b>38,324</b>	<b>2,796,198</b>	<b>2,814,381</b>	<b>2,866,591</b>	<b>2,917,755</b>	<b>2,966,363</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correction, Commission of	2,594	2,541	2,651	2,773	2,735	2,735
Correctional Services, Department of	2,626,633	2,598,326	2,853,979	2,748,280	2,789,364	2,867,234
Criminal Justice Services, Division of	33,851	33,970	33,638	34,602	34,332	35,020
Homeland Security and Emergency Services, Division of	1,070	1,000	1,000	1,000	1,000	1,020
Judicial Conduct, Commission on	5,552	5,423	6,038	5,936	6,114	6,220
Judicial Nomination, Commission on	10	0	30	30	30	30
Judicial Screening Committees, New York State	14	7	38	38	38	38
Military and Naval Affairs, Division of	22,919	20,445	20,839	21,137	21,707	22,142
State Police, Division of	664,644	640,201	708,658	732,698	730,328	748,606
Statewide Financial System	30,137	30,520	30,506	30,949	31,117	31,732
<b>Functional Total</b>	<b>3,387,424</b>	<b>3,332,433</b>	<b>3,657,377</b>	<b>3,577,443</b>	<b>3,616,765</b>	<b>3,714,777</b>
<b>HIGHER EDUCATION</b>						
City University of New York	0	346	0	0	0	0
Higher Education Services Corporation, New York State	0	0	500	500	500	500
State University of New York	1,049	928	742	742	742	742
<b>Functional Total</b>	<b>1,049</b>	<b>1,274</b>	<b>1,242</b>	<b>1,242</b>	<b>1,242</b>	<b>1,242</b>
<b>EDUCATION</b>						
Arts, Council on the	4,260	4,266	4,320	4,416	4,320	4,320
Education, Department of	56,396	56,181	59,238	59,210	58,238	58,238
<i>All Other</i>	56,396	56,181	59,238	59,210	58,238	58,238
<b>Functional Total</b>	<b>60,656</b>	<b>60,447</b>	<b>63,558</b>	<b>63,626</b>	<b>62,558</b>	<b>62,558</b>
<b>GENERAL GOVERNMENT</b>						
Budget, Division of the	23,931	22,431	23,432	23,749	22,895	22,895
Civil Service, Department of	14,117	15,226	13,746	14,216	13,842	13,850
Deferred Compensation Board	33	34	57	58	57	57
Elections, State Board of	7,719	8,343	10,147	10,583	10,054	9,428
Employee Relations, Office of	3,569	2,097	6,444	6,542	6,444	6,445

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND  
STATE OPERATIONS  
(thousands of dollars)**

	<b>FY 2018 Results</b>	<b>FY 2019 Results</b>	<b>FY 2020 Updated</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>	<b>FY 2023 Projected</b>
Gaming Commission, New York State	4,373	4,541	6,362	6,527	6,362	6,362
General Services, Office of	138,445	135,673	100,438	99,135	97,731	99,283
Inspector General, Office of the	7,166	6,844	7,487	7,826	8,061	8,210
Labor Management Committees	24,773	29,699	31,000	26,211	26,000	26,000
Prevention of Domestic Violence, Office for	1,558	1,556	1,659	1,731	1,642	1,642
Public Employment Relations Board	3,232	3,393	3,589	3,719	3,589	3,589
Public Integrity, Commission on	5,115	5,223	5,630	5,835	6,010	6,119
State, Department of	10,750	10,633	7,610	7,952	7,590	7,590
Tax Appeals, Division of	2,688	2,820	3,040	3,150	3,040	3,040
Taxation and Finance, Department of	306,121	303,582	254,423	263,116	254,423	254,423
Technology, Office for	535,977	544,541	540,316	550,762	557,318	568,476
Veterans' Services, Division of	5,885	5,720	6,236	6,482	6,417	6,417
Welfare Inspector General, Office of	671	629	701	731	753	768
<b>Functional Total</b>	<b>1,096,123</b>	<b>1,102,985</b>	<b>1,022,317</b>	<b>1,038,325</b>	<b>1,032,228</b>	<b>1,044,594</b>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	132,420	134,753	137,417	139,839	137,417	137,417
Executive Chamber	13,560	12,673	13,578	14,032	13,578	13,578
Judiciary	1,966,636	2,063,276	2,047,600	2,104,600	2,047,600	2,047,600
Law, Department of	105,993	107,960	109,689	112,935	109,689	109,689
Legislature	222,212	223,009	241,265	248,106	254,999	255,052
Lieutenant Governor, Office of the	492	530	614	634	614	614
<b>Functional Total</b>	<b>2,441,313</b>	<b>2,542,201</b>	<b>2,550,163</b>	<b>2,620,146</b>	<b>2,563,897</b>	<b>2,563,950</b>
<b>LOCAL GOVERNMENT ASSISTANCE</b>						
Aid and Incentives for Municipalities	131	247	0	0	0	0
<b>Functional Total</b>	<b>131</b>	<b>247</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>ALL OTHER CATEGORIES</b>						
Miscellaneous	44,005	46,414	285,425	783,268	783,268	933,268
<b>Functional Total</b>	<b>44,005</b>	<b>46,414</b>	<b>285,425</b>	<b>783,268</b>	<b>783,268</b>	<b>933,268</b>
<b>TOTAL STATE OPERATIONS SPENDING</b>	<b>8,227,755</b>	<b>11,341,375</b>	<b>11,933,392</b>	<b>12,619,801</b>	<b>12,646,705</b>	<b>12,956,200</b>

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND  
PERSONAL SERVICE  
(thousands of dollars)**

	<b>FY 2018 Results</b>	<b>FY 2019 Results</b>	<b>FY 2020 Updated</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>	<b>FY 2023 Projected</b>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	28,155	27,105	26,913	28,434	27,648	27,648
Alcoholic Beverage Control, Division of	8,131	8,166	8,316	8,901	8,585	8,585
Economic Development, Department of	13,240	13,090	12,826	12,826	12,826	12,826
Olympic Regional Development Authority	2,548	5,595	5,338	5,338	5,338	5,338
<b>Functional Total</b>	<b>52,074</b>	<b>53,956</b>	<b>53,393</b>	<b>55,499</b>	<b>54,397</b>	<b>54,397</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Adirondack Park Agency	4,179	3,950	4,401	4,401	4,243	4,243
Environmental Conservation, Department of	82,506	90,087	87,366	93,077	91,611	97,611
Parks, Recreation and Historic Preservation, Office of	96,935	99,679	97,961	102,809	100,500	100,500
<b>Functional Total</b>	<b>183,620</b>	<b>193,716</b>	<b>189,728</b>	<b>200,287</b>	<b>196,354</b>	<b>202,354</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	0	8,318	8,694	8,868	9,046	9,046
Transportation, Department of	0	153,653	159,060	159,055	159,048	159,048
<b>Functional Total</b>	<b>0</b>	<b>161,971</b>	<b>167,754</b>	<b>167,923</b>	<b>168,094</b>	<b>168,094</b>
<b>HEALTH</b>						
Aging, Office for the	1,103	1,125	1,856	1,899	1,856	1,856
Health, Department of	122,332	134,839	151,236	157,222	152,592	152,611
<i>Essential Plan</i>	3,148	3,233	4,580	4,418	4,384	4,487
<i>Medicaid Administration</i>	37,196	37,949	44,378	47,421	45,930	45,846
<i>Public Health</i>	81,988	93,657	102,278	105,383	102,278	102,278
Medicaid Inspector General, Office of the	15,864	15,204	15,624	16,231	15,624	15,624
<b>Functional Total</b>	<b>139,299</b>	<b>151,168</b>	<b>168,716</b>	<b>175,352</b>	<b>170,072</b>	<b>170,091</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	168,186	172,601	168,664	263,398	263,541	263,541
<i>OCFS</i>	168,186	172,601	168,664	263,398	263,541	263,541
Housing and Community Renewal, Division of	3,866	3,049	4,199	4,492	4,328	4,328
Human Rights, Division of	8,662	8,934	9,461	10,121	9,752	9,752
Labor, Department of	84	87	88	94	91	91
National and Community Service	292	288	331	340	340	340
Temporary and Disability Assistance, Office of	64,895	68,003	73,244	76,580	78,130	78,130
<i>All Other</i>	64,895	68,003	73,244	76,580	78,130	78,130
<b>Functional Total</b>	<b>245,985</b>	<b>252,962</b>	<b>255,987</b>	<b>355,025</b>	<b>356,182</b>	<b>356,182</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	0	60,203	60,604	62,406	62,052	62,686
<i>OASAS</i>	0	22,378	27,023	27,809	27,160	27,438
<i>OASAS - Other</i>	0	37,825	33,581	34,597	34,892	35,248
Justice Center	30,029	31,149	32,762	34,003	33,060	33,373
Mental Health, Office of	0	1,086,774	1,108,803	1,126,525	1,139,677	1,155,843
<i>OMH</i>	0	268,080	323,876	320,884	328,901	336,689
<i>OMH - Other</i>	0	818,694	784,927	805,641	810,776	819,154
People with Developmental Disabilities, Office for	0	1,142,604	1,147,023	1,162,291	1,172,066	1,184,331
<i>OPWDD</i>	0	262	0	0	0	0
<i>OPWDD - Other</i>	0	1,142,342	1,147,023	1,162,291	1,172,066	1,184,331
<b>Functional Total</b>	<b>30,029</b>	<b>2,320,730</b>	<b>2,349,192</b>	<b>2,385,225</b>	<b>2,406,855</b>	<b>2,436,233</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correction, Commission of	2,316	2,276	2,414	2,531	2,488	2,488
Correctional Services, Department of	2,098,248	2,111,029	2,354,822	2,253,429	2,299,712	2,374,257
Criminal Justice Services, Division of	26,713	28,029	27,110	28,074	27,633	28,147
Homeland Security and Emergency Services, Division of	1,070	1,000	1,000	1,000	1,000	1,020
Judicial Conduct, Commission on	4,238	3,959	4,677	4,548	4,483	4,573
Military and Naval Affairs, Division of	15,015	14,335	14,241	14,539	14,615	14,908
State Police, Division of	630,445	611,673	680,138	699,138	694,758	712,324
Statewide Financial System	11,094	11,294	11,882	12,325	12,121	12,356
<b>Functional Total</b>	<b>2,789,139</b>	<b>2,783,595</b>	<b>3,096,284</b>	<b>3,015,584</b>	<b>3,056,810</b>	<b>3,150,073</b>
<b>HIGHER EDUCATION</b>						
Higher Education Services Corporation, New York State	0	0	500	500	500	500
<b>Functional Total</b>	<b>0</b>	<b>0</b>	<b>500</b>	<b>500</b>	<b>500</b>	<b>500</b>
<b>EDUCATION</b>						
Arts, Council on the	2,626	2,636	2,498	2,594	2,498	2,498
Education, Department of	31,037	30,847	29,334	30,306	29,334	29,334
<i>All Other</i>	31,037	30,847	29,334	30,306	29,334	29,334
<b>Functional Total</b>	<b>33,663</b>	<b>33,483</b>	<b>31,832</b>	<b>32,900</b>	<b>31,832</b>	<b>31,832</b>
<b>GENERAL GOVERNMENT</b>						
Budget, Division of the	20,484	20,534	22,216	23,070	22,216	22,216
Civil Service, Department of	13,731	14,874	13,296	13,766	13,387	13,387
Deferred Compensation Board	33	34	32	33	32	32
Elections, State Board of	6,077	5,773	6,288	6,571	6,478	6,316
Employee Relations, Office of	2,515	2,040	6,248	6,346	6,248	6,248
Gaming Commission, New York State	2,872	3,177	4,282	4,447	4,282	4,282
General Services, Office of	63,907	57,198	25,412	28,798	26,407	26,407
Inspector General, Office of the	6,223	5,909	6,648	6,970	6,857	6,994
Labor Management Committees	7,747	8,618	10,487	5,698	5,487	5,487



**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND  
PERSONAL SERVICE  
(thousands of dollars)**

	<b>FY 2018 Results</b>	<b>FY 2019 Results</b>	<b>FY 2020 Updated</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>	<b>FY 2023 Projected</b>
Prevention of Domestic Violence, Office for	1,412	1,394	1,491	1,524	1,431	1,431
Public Employment Relations Board	3,059	3,175	3,338	3,468	3,338	3,338
Public Integrity, Commission on	4,386	4,516	4,681	4,867	4,827	4,924
State, Department of	10,364	10,301	7,354	7,716	7,354	7,354
Tax Appeals, Division of	2,541	2,513	2,870	2,980	2,870	2,870
Taxation and Finance, Department of	266,320	262,755	232,987	240,376	232,987	232,987
Technology, Office for	289,994	299,018	291,616	308,116	303,458	309,539
Veterans' Services, Division of	5,512	5,161	5,938	6,178	6,107	6,107
Welfare Inspector General, Office of	619	615	626	654	646	659
<b>Functional Total</b>	<b>707,796</b>	<b>707,605</b>	<b>645,810</b>	<b>671,578</b>	<b>654,412</b>	<b>660,578</b>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	107,894	108,084	112,181	114,603	112,181	112,181
Executive Chamber	9,979	9,135	11,113	11,567	11,113	11,113
Judiciary	1,565,719	1,669,267	1,641,300	1,698,300	1,641,300	1,641,300
Law, Department of	95,500	97,853	97,907	101,153	97,907	97,907
Legislature	174,350	175,304	187,511	192,583	198,366	198,419
Lieutenant Governor, Office of the	382	414	523	543	523	523
<b>Functional Total</b>	<b>1,953,824</b>	<b>2,060,057</b>	<b>2,050,535</b>	<b>2,118,749</b>	<b>2,061,390</b>	<b>2,061,443</b>
<b>ALL OTHER CATEGORIES</b>						
Miscellaneous	34	68	54,056	390,056	390,056	465,056
<b>Functional Total</b>	<b>34</b>	<b>68</b>	<b>54,056</b>	<b>390,056</b>	<b>390,056</b>	<b>465,056</b>
<b>TOTAL PERSONAL SERVICE SPENDING</b>	<b>6,135,463</b>	<b>8,719,311</b>	<b>9,063,787</b>	<b>9,568,678</b>	<b>9,546,954</b>	<b>9,756,833</b>

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND  
NON-PERSONAL SERVICE  
(thousands of dollars)**

	<b>FY 2018 Results</b>	<b>FY 2019 Results</b>	<b>FY 2020 Updated</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>	<b>FY 2023 Projected</b>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	4,893	5,245	6,503	6,554	5,578	5,378
Alcoholic Beverage Control, Division of	3,688	3,380	2,959	2,659	2,659	2,659
Economic Development, Department of	4,680	2,852	2,515	2,515	2,515	2,515
Olympic Regional Development Authority	188	3,188	3,188	3,188	3,188	3,188
<b>Functional Total</b>	<b>13,449</b>	<b>14,665</b>	<b>15,165</b>	<b>14,916</b>	<b>13,940</b>	<b>13,740</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Adirondack Park Agency	289	347	327	313	313	313
Environmental Conservation, Department of	11,798	12,938	21,118	25,418	25,418	25,418
Parks, Recreation and Historic Preservation, Office of	5,388	6,214	7,500	6,679	6,679	6,679
<b>Functional Total</b>	<b>17,475</b>	<b>19,499</b>	<b>28,945</b>	<b>32,410</b>	<b>32,410</b>	<b>32,410</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	0	1,984	3,653	3,718	3,784	3,784
Transportation, Department of	1,395	138,562	172,989	168,002	172,901	172,901
<b>Functional Total</b>	<b>1,395</b>	<b>140,546</b>	<b>176,642</b>	<b>171,720</b>	<b>176,685</b>	<b>176,685</b>
<b>HEALTH</b>						
Aging, Office for the	97	105	106	106	106	106
Health, Department of	383,959	337,271	347,631	336,164	337,571	332,208
<i>Essential Plan</i>	84,490	73,347	79,644	74,339	72,013	67,966
<i>Medicaid Administration</i>	260,257	223,347	227,421	221,259	224,992	223,676
<i>Public Health</i>	39,212	40,577	40,566	40,566	40,566	40,566
Medicaid Inspector General, Office of the	2,418	2,912	2,448	2,448	2,448	2,448
<b>Functional Total</b>	<b>386,474</b>	<b>340,288</b>	<b>350,185</b>	<b>338,718</b>	<b>340,125</b>	<b>334,762</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	59,364	72,127	74,923	98,569	100,808	100,808
<i>OCFS</i>	59,364	72,127	74,923	98,569	100,808	100,808
Housing and Community Renewal, Division of	1,927	1,140	351	413	413	413
Human Rights, Division of	803	1,059	460	469	478	478
Labor, Department of	113	255	200	255	260	260
National and Community Service	1	2	9	9	9	9
Temporary and Disability Assistance, Office of	56,751	55,822	56,471	57,595	58,765	58,765
<i>All Other</i>	56,751	55,822	56,471	57,595	58,765	58,765
<b>Functional Total</b>	<b>118,959</b>	<b>130,405</b>	<b>132,414</b>	<b>157,310</b>	<b>160,733</b>	<b>160,733</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	0	20,474	19,698	19,061	19,772	19,836
<i>OASAS</i>	0	5,781	8,595	7,532	7,811	7,837
<i>OASAS - Other</i>	0	14,693	11,103	11,529	11,961	11,999
Justice Center	7,598	9,030	8,168	8,429	8,700	8,978
Mental Health, Office of	697	258,153	255,703	267,052	290,195	303,536
<i>OMH</i>	697	59,915	39,088	39,488	40,826	42,321
<i>OMH - Other</i>	0	198,238	216,615	227,564	249,369	261,215
People with Developmental Disabilities, Office for	0	187,811	181,620	186,824	192,233	197,780
<i>OPWDD - Other</i>	0	187,811	181,620	186,824	192,233	197,780
<b>Functional Total</b>	<b>8,295</b>	<b>475,468</b>	<b>465,189</b>	<b>481,366</b>	<b>510,900</b>	<b>530,130</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correction, Commission of	278	265	237	242	247	247
Correctional Services, Department of	528,385	487,297	499,157	494,851	489,652	492,977
Criminal Justice Services, Division of	7,138	5,941	6,528	6,528	6,699	6,873
Judicial Conduct, Commission on	1,314	1,464	1,361	1,388	1,631	1,647
Judicial Nomination, Commission on	10	0	30	30	30	30
Judicial Screening Committees, New York State	14	7	38	38	38	38
Military and Naval Affairs, Division of	7,904	6,110	6,598	6,598	7,092	7,234
State Police, Division of	34,199	28,528	28,520	33,560	35,570	36,282
Statewide Financial System	19,043	19,226	18,624	18,624	18,996	19,376
<b>Functional Total</b>	<b>598,285</b>	<b>548,838</b>	<b>561,093</b>	<b>561,859</b>	<b>559,955</b>	<b>564,704</b>
<b>HIGHER EDUCATION</b>						
City University of New York	0	346	0	0	0	0
State University of New York	1,049	928	742	742	742	742
<b>Functional Total</b>	<b>1,049</b>	<b>1,274</b>	<b>742</b>	<b>742</b>	<b>742</b>	<b>742</b>
<b>EDUCATION</b>						
Arts, Council on the	1,634	1,630	1,822	1,822	1,822	1,822
Education, Department of	25,359	25,334	29,904	28,904	28,904	28,904
<i>All Other</i>	25,359	25,334	29,904	28,904	28,904	28,904
<b>Functional Total</b>	<b>26,993</b>	<b>26,964</b>	<b>31,726</b>	<b>30,726</b>	<b>30,726</b>	<b>30,726</b>
<b>GENERAL GOVERNMENT</b>						
Budget, Division of the	3,447	1,897	1,216	679	679	679
Civil Service, Department of	386	352	450	450	455	463
Deferred Compensation Board	0	0	25	25	25	25
Elections, State Board of	1,642	2,570	3,859	4,012	3,576	3,112
Employee Relations, Office of	1,054	57	196	196	196	197
Gaming Commission, New York State	1,501	1,364	2,080	2,080	2,080	2,080
General Services, Office of	74,538	78,475	75,026	70,337	71,324	72,876
Inspector General, Office of the	943	935	839	856	1,204	1,216

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND  
NON-PERSONAL SERVICE  
(thousands of dollars)**

	<b>FY 2018 Results</b>	<b>FY 2019 Results</b>	<b>FY 2020 Updated</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>	<b>FY 2023 Projected</b>
Labor Management Committees	17,026	21,081	20,513	20,513	20,513	20,513
Prevention of Domestic Violence, Office for	146	162	168	207	211	211
Public Employment Relations Board	173	218	251	251	251	251
Public Integrity, Commission on	729	707	949	968	1,183	1,195
State, Department of	386	332	256	236	236	236
Tax Appeals, Division of	147	307	170	170	170	170
Taxation and Finance, Department of	39,801	40,827	21,436	22,740	21,436	21,436
Technology, Office for	245,983	245,523	248,700	242,646	253,860	258,937
Veterans' Services, Division of	373	559	298	304	310	310
Welfare Inspector General, Office of	52	14	75	77	107	109
<b>Functional Total</b>	<b>388,327</b>	<b>395,380</b>	<b>376,507</b>	<b>366,747</b>	<b>377,816</b>	<b>384,016</b>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	24,526	26,669	25,236	25,236	25,236	25,236
Executive Chamber	3,581	3,538	2,465	2,465	2,465	2,465
Judiciary	400,917	394,009	406,300	406,300	406,300	406,300
Law, Department of	10,493	10,107	11,782	11,782	11,782	11,782
Legislature	47,862	47,705	53,754	55,523	56,633	56,633
Lieutenant Governor, Office of the	110	116	91	91	91	91
<b>Functional Total</b>	<b>487,489</b>	<b>482,144</b>	<b>499,628</b>	<b>501,397</b>	<b>502,507</b>	<b>502,507</b>
<b>LOCAL GOVERNMENT ASSISTANCE</b>						
Aid and Incentives for Municipalities	131	247	0	0	0	0
<b>Functional Total</b>	<b>131</b>	<b>247</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>ALL OTHER CATEGORIES</b>						
Miscellaneous	43,971	46,346	231,369	393,212	393,212	468,212
<b>Functional Total</b>	<b>43,971</b>	<b>46,346</b>	<b>231,369</b>	<b>393,212</b>	<b>393,212</b>	<b>468,212</b>
<b>TOTAL NON-PERSONAL SERVICE SPENDING</b>	<b>2,092,292</b>	<b>2,622,064</b>	<b>2,869,605</b>	<b>3,051,123</b>	<b>3,099,751</b>	<b>3,199,367</b>

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND  
GENERAL STATE CHARGES  
(thousands of dollars)**

	<u>FY 2018 Results</u>	<u>FY 2019 Results</u>	<u>FY 2020 Updated</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>
<b>GENERAL GOVERNMENT</b>						
Labor Management Committees	5,857	5,821	5,000	5,000	5,000	5,000
<b>Functional Total</b>	<u>5,857</u>	<u>5,821</u>	<u>5,000</u>	<u>5,000</u>	<u>5,000</u>	<u>5,000</u>
<b>ELECTED OFFICIALS</b>						
Judiciary	722,047	757,988	782,800	782,800	782,800	782,800
<b>Functional Total</b>	<u>722,047</u>	<u>757,988</u>	<u>782,800</u>	<u>782,800</u>	<u>782,800</u>	<u>782,800</u>
<b>ALL OTHER CATEGORIES</b>						
General State Charges	4,842,815	6,373,456	6,894,907	7,438,282	8,003,887	8,563,970
Miscellaneous	1,295	1,374	4,420	4,420	4,420	4,420
<b>Functional Total</b>	<u>4,844,110</u>	<u>6,374,830</u>	<u>6,899,327</u>	<u>7,442,702</u>	<u>8,008,307</u>	<u>8,568,390</u>
<b>TOTAL GENERAL STATE CHARGES SPENDING</b>	<u>5,572,014</u>	<u>7,138,639</u>	<u>7,687,127</u>	<u>8,230,502</u>	<u>8,796,107</u>	<u>9,356,190</u>

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
<b>Agriculture and Markets, Department of</b>	<b>71,270</b>	<b>73,582</b>	<b>73,647</b>	<b>67,692</b>	<b>81,738</b>	<b>81,573</b>
Local Assistance	32,318	33,027	32,932	25,197	25,347	25,347
State Operations	37,697	37,473	38,822	40,502	54,432	54,232
Personal Service	30,802	30,255	29,878	31,503	30,613	30,613
Non-Personal Service/Indirect Costs	6,895	7,218	8,944	8,999	23,819	23,619
General State Charges	1,255	3,082	1,893	1,993	1,959	1,994
<b>Alcoholic Beverage Control, Division of</b>	<b>11,819</b>	<b>11,546</b>	<b>11,275</b>	<b>11,560</b>	<b>11,244</b>	<b>11,244</b>
State Operations	11,819	11,546	11,275	11,560	11,244	11,244
Personal Service	8,131	8,166	8,316	8,901	8,585	8,585
Non-Personal Service/Indirect Costs	3,688	3,380	2,959	2,659	2,659	2,659
<b>Economic Development, Department of</b>	<b>72,278</b>	<b>73,570</b>	<b>69,453</b>	<b>64,856</b>	<b>69,706</b>	<b>69,706</b>
Local Assistance	52,871	55,760	52,134	47,537	52,387	52,387
State Operations	19,405	17,810	17,291	17,291	17,291	17,291
Personal Service	13,243	13,090	12,929	12,929	12,929	12,929
Non-Personal Service/Indirect Costs	6,162	4,720	4,362	4,362	4,362	4,362
General State Charges	2	0	28	28	28	28
<b>Empire State Development Corporation</b>	<b>124,880</b>	<b>85,177</b>	<b>75,724</b>	<b>76,054</b>	<b>76,054</b>	<b>76,054</b>
Local Assistance	124,880	85,177	75,724	76,054	76,054	76,054
<b>Financial Services, Department of</b>	<b>357,557</b>	<b>392,863</b>	<b>369,125</b>	<b>387,954</b>	<b>381,591</b>	<b>381,591</b>
Local Assistance	57,254	64,179	58,602	58,496	58,496	58,496
State Operations	209,379	215,165	208,699	214,981	208,844	208,844
Personal Service	154,146	156,895	153,752	159,736	153,893	153,893
Non-Personal Service/Indirect Costs	55,233	58,270	54,947	55,245	54,951	54,951
General State Charges	90,924	113,519	101,824	114,477	114,251	114,251
<b>Olympic Regional Development Authority</b>	<b>2,736</b>	<b>11,175</b>	<b>8,676</b>	<b>8,676</b>	<b>8,676</b>	<b>8,676</b>
Local Assistance	0	2,360	0	0	0	0
State Operations	2,736	8,784	8,676	8,676	8,676	8,676
Personal Service	2,548	5,595	5,338	5,338	5,338	5,338
Non-Personal Service/Indirect Costs	188	3,189	3,338	3,338	3,338	3,338
General State Charges	0	31	0	0	0	0
<b>Public Service Department</b>	<b>84,881</b>	<b>75,433</b>	<b>80,221</b>	<b>82,664</b>	<b>79,946</b>	<b>79,075</b>
Local Assistance	102	218	122	122	122	122
State Operations	52,384	52,432	52,311	53,016	50,850	49,470
Personal Service	42,867	42,628	41,745	43,683	42,085	42,098
Non-Personal Service/Indirect Costs	9,517	9,804	10,566	9,333	8,765	7,372
General State Charges	32,395	22,783	27,788	29,526	28,974	29,483
<b>Functional Total</b>	<b>725,421</b>	<b>723,346</b>	<b>688,121</b>	<b>699,456</b>	<b>708,955</b>	<b>707,919</b>
<b>PARKS AND THE ENVIRONMENT</b>						
<b>Adirondack Park Agency</b>	<b>4,468</b>	<b>4,297</b>	<b>4,728</b>	<b>4,714</b>	<b>4,556</b>	<b>4,556</b>
State Operations	4,468	4,297	4,728	4,714	4,556	4,556
Personal Service	4,179	3,950	4,401	4,401	4,243	4,243
Non-Personal Service/Indirect Costs	289	347	327	313	313	313
<b>Environmental Conservation, Department of</b>	<b>260,839</b>	<b>275,635</b>	<b>252,710</b>	<b>266,138</b>	<b>259,455</b>	<b>260,147</b>
Local Assistance	2,491	2,431	2,524	1,699	1,468	1,199
State Operations	212,775	210,770	202,477	214,562	209,617	209,716
Personal Service	175,514	174,576	165,708	174,218	169,353	169,404
Non-Personal Service/Indirect Costs	37,261	36,194	36,769	40,344	40,264	40,312
General State Charges	45,573	62,434	47,709	49,877	48,370	49,232
<b>Parks, Recreation and Historic Preservation, Office of</b>	<b>179,334</b>	<b>186,543</b>	<b>166,492</b>	<b>171,701</b>	<b>168,265</b>	<b>168,265</b>
Local Assistance	7,087	6,502	4,290	3,950	3,950	3,950
State Operations	168,983	176,384	160,242	165,744	162,374	162,374
Personal Service	130,555	133,979	125,562	131,883	128,513	128,513
Non-Personal Service/Indirect Costs	38,428	42,405	34,680	33,861	33,861	33,861
General State Charges	3,264	3,657	1,960	2,007	1,941	1,941
<b>Functional Total</b>	<b>444,641</b>	<b>466,475</b>	<b>423,930</b>	<b>442,553</b>	<b>432,276</b>	<b>432,968</b>
<b>TRANSPORTATION</b>						
<b>Motor Vehicles, Department of</b>	<b>71,728</b>	<b>87,876</b>	<b>83,653</b>	<b>86,529</b>	<b>86,862</b>	<b>86,862</b>
Local Assistance	1,600	375	0	0	0	0
State Operations	49,142	59,189	59,535	60,566	60,247	60,247
Personal Service	36,244	43,766	43,263	44,207	44,497	44,497
Non-Personal Service/Indirect Costs	12,898	15,423	16,272	16,359	15,750	15,750
General State Charges	20,986	28,312	24,118	25,963	26,615	26,615
<b>Transportation, Department of</b>	<b>5,034,223</b>	<b>4,240,127</b>	<b>3,892,975</b>	<b>4,188,561</b>	<b>4,391,052</b>	<b>4,640,567</b>
Local Assistance	5,023,364	3,937,827	3,548,880	3,849,112	4,046,773	4,296,288
State Operations	9,139	300,619	341,898	337,037	341,822	341,822
Personal Service	2,803	156,307	162,384	162,506	162,396	162,396
Non-Personal Service/Indirect Costs	6,336	144,312	179,514	174,531	179,426	179,426
General State Charges	1,720	1,681	2,197	2,412	2,457	2,457
<b>Functional Total</b>	<b>5,105,951</b>	<b>4,328,003</b>	<b>3,976,628</b>	<b>4,275,090</b>	<b>4,477,914</b>	<b>4,727,429</b>
<b>HEALTH</b>						
<b>Aging, Office for the</b>	<b>125,380</b>	<b>131,371</b>	<b>146,704</b>	<b>148,592</b>	<b>153,731</b>	<b>159,042</b>

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
(thousands of dollars)**

	<b>FY 2018 Results</b>	<b>FY 2019 Results</b>	<b>FY 2020 Updated</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>	<b>FY 2023 Projected</b>
Local Assistance	124,180	130,141	144,741	146,586	151,768	157,079
State Operations	1,200	1,230	1,963	2,006	1,963	1,963
Personal Service	1,103	1,125	1,856	1,899	1,856	1,856
Non-Personal Service/Indirect Costs	97	105	107	107	107	107
<b>Health, Department of</b>	<b>21,460,861</b>	<b>22,376,592</b>	<b>23,788,666</b>	<b>24,912,887</b>	<b>25,850,318</b>	<b>26,723,370</b>
<b>Medical Assistance</b>	<b>18,857,443</b>	<b>19,528,919</b>	<b>20,762,718</b>	<b>21,880,199</b>	<b>22,722,005</b>	<b>23,600,658</b>
Local Assistance	18,857,443	19,528,919	20,762,718	21,880,199	22,722,005	23,600,658
<b>Essential Plan</b>	<b>87,638</b>	<b>76,580</b>	<b>84,224</b>	<b>78,757</b>	<b>76,397</b>	<b>72,453</b>
State Operations	87,638	76,580	84,224	78,757	76,397	72,453
Personal Service	3,148	3,233	4,580	4,418	4,384	4,487
Non-Personal Service/Indirect Costs	84,490	73,347	79,644	74,339	72,013	67,966
<b>Medicaid Administration</b>	<b>583,789</b>	<b>764,404</b>	<b>879,538</b>	<b>716,723</b>	<b>704,760</b>	<b>689,676</b>
Local Assistance	286,336	503,108	606,198	446,502	432,297	418,613
State Operations	297,453	261,296	272,759	269,640	271,882	270,482
Personal Service	37,196	37,949	45,288	48,331	46,840	46,756
Non-Personal Service/Indirect Costs	260,257	223,347	227,471	221,309	225,042	223,726
General State Charges	0	0	581	581	581	581
<b>Public Health</b>	<b>1,931,991</b>	<b>2,006,689</b>	<b>2,062,186</b>	<b>2,237,208</b>	<b>2,347,156</b>	<b>2,360,583</b>
Local Assistance	1,544,070	1,582,129	1,575,663	1,745,187	1,855,968	1,871,253
State Operations	356,208	389,638	447,939	451,681	449,528	447,560
Personal Service	212,539	225,732	242,351	245,975	242,618	242,803
Non-Personal Service/Indirect Costs	143,669	163,906	205,588	205,706	206,910	204,757
General State Charges	31,713	34,922	38,584	40,340	41,660	41,770
<b>Medicaid Inspector General, Office of the</b>	<b>18,282</b>	<b>18,116</b>	<b>18,072</b>	<b>18,679</b>	<b>18,072</b>	<b>18,072</b>
State Operations	18,282	18,116	18,072	18,679	18,072	18,072
Personal Service	15,864	15,204	15,624	16,231	15,624	15,624
Non-Personal Service/Indirect Costs	2,418	2,912	2,448	2,448	2,448	2,448
<b>Functional Total</b>	<b>21,604,523</b>	<b>22,526,079</b>	<b>23,953,442</b>	<b>25,080,158</b>	<b>26,022,121</b>	<b>26,900,484</b>
<b>SOCIAL WELFARE</b>						
<b>Children and Family Services, Office of</b>	<b>1,851,516</b>	<b>1,922,296</b>	<b>1,794,763</b>	<b>1,990,032</b>	<b>2,046,636</b>	<b>2,047,219</b>
<b>OCFS</b>	<b>1,774,154</b>	<b>1,850,182</b>	<b>1,713,965</b>	<b>1,898,054</b>	<b>1,950,494</b>	<b>1,951,077</b>
Local Assistance	1,530,772	1,587,065	1,449,658	1,514,810	1,564,577	1,565,160
State Operations	241,724	260,874	262,176	380,994	383,651	383,651
Personal Service	170,908	176,225	172,018	266,881	266,990	266,990
Non-Personal Service/Indirect Costs	70,816	84,649	90,158	114,113	116,661	116,661
General State Charges	1,658	2,243	2,131	2,250	2,266	2,266
<b>OCFS - Other</b>	<b>77,362</b>	<b>72,114</b>	<b>80,798</b>	<b>91,978</b>	<b>96,142</b>	<b>96,142</b>
Local Assistance	77,362	72,114	80,798	91,978	96,142	96,142
<b>Housing and Community Renewal, Division of</b>	<b>72,665</b>	<b>83,223</b>	<b>115,446</b>	<b>133,953</b>	<b>136,439</b>	<b>136,439</b>
Local Assistance	4,410	10,178	31,859	47,114	47,789	47,789
State Operations	52,210	51,137	57,666	60,918	59,500	59,500
Personal Service	41,535	41,155	47,298	50,120	48,544	48,544
Non-Personal Service/Indirect Costs	10,675	9,982	10,368	10,798	10,956	10,956
General State Charges	16,045	21,908	25,921	25,921	29,150	29,150
<b>Human Rights, Division of</b>	<b>9,465</b>	<b>9,993</b>	<b>9,921</b>	<b>10,590</b>	<b>10,230</b>	<b>10,230</b>
State Operations	9,465	9,993	9,921	10,590	10,230	10,230
Personal Service	8,662	8,934	9,461	10,121	9,752	9,752
Non-Personal Service/Indirect Costs	803	1,059	460	469	478	478
<b>Labor, Department of</b>	<b>83,203</b>	<b>91,113</b>	<b>85,171</b>	<b>74,893</b>	<b>76,435</b>	<b>76,435</b>
Local Assistance	12,666	15,469	18,165	5,150	5,150	5,150
State Operations	49,642	49,768	46,519	49,256	48,280	48,280
Personal Service	34,323	33,501	32,618	34,864	33,620	33,620
Non-Personal Service/Indirect Costs	15,319	16,267	13,901	14,392	14,660	14,660
General State Charges	20,895	25,876	20,487	20,487	23,005	23,005
<b>National and Community Service</b>	<b>770</b>	<b>560</b>	<b>772</b>	<b>781</b>	<b>781</b>	<b>781</b>
Local Assistance	477	270	432	432	432	432
State Operations	293	290	340	349	349	349
Personal Service	292	288	331	340	340	340
Non-Personal Service/Indirect Costs	1	2	9	9	9	9
<b>Temporary and Disability Assistance, Office of</b>	<b>1,352,404</b>	<b>1,266,537</b>	<b>1,473,175</b>	<b>1,489,065</b>	<b>1,495,585</b>	<b>1,498,385</b>
<b>Welfare Assistance</b>	<b>1,137,324</b>	<b>1,037,938</b>	<b>1,221,736</b>	<b>1,232,366</b>	<b>1,232,366</b>	<b>1,232,366</b>
Local Assistance	1,137,324	1,037,938	1,221,736	1,232,366	1,232,366	1,232,366
<b>All Other</b>	<b>215,080</b>	<b>228,599</b>	<b>251,439</b>	<b>256,699</b>	<b>263,219</b>	<b>266,019</b>
Local Assistance	92,194	101,093	118,196	118,996	122,796	125,596
State Operations	122,874	127,470	133,115	137,575	140,295	140,295
Personal Service	64,895	68,003	73,244	76,580	78,130	78,130
Non-Personal Service/Indirect Costs	57,979	59,467	59,871	60,995	62,165	62,165
General State Charges	12	36	128	128	128	128
<b>Functional Total</b>	<b>3,370,023</b>	<b>3,373,722</b>	<b>3,479,248</b>	<b>3,699,314</b>	<b>3,766,106</b>	<b>3,769,489</b>
<b>MENTAL HYGIENE</b>						
<b>Alcoholism and Substance Abuse Services, Office of</b>	<b>441,089</b>	<b>435,819</b>	<b>457,582</b>	<b>466,491</b>	<b>481,568</b>	<b>501,050</b>
<b>OASAS</b>	<b>348,092</b>	<b>361,970</b>	<b>391,573</b>	<b>399,040</b>	<b>413,390</b>	<b>432,478</b>

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
(thousands of dollars)**

	<b>FY 2018 Results</b>	<b>FY 2019 Results</b>	<b>FY 2020 Updated</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>	<b>FY 2023 Projected</b>
Local Assistance	301,336	327,526	349,484	357,228	371,933	390,536
State Operations	31,964	34,444	42,089	41,812	41,457	41,942
Personal Service	20,354	22,378	27,023	27,809	27,160	27,438
Non-Personal Service/Indirect Costs	11,610	12,066	15,066	14,003	14,297	14,504
General State Charges	14,792	0	0	0	0	0
<b>OASAS - Other</b>	<b>92,997</b>	<b>73,849</b>	<b>66,009</b>	<b>67,451</b>	<b>68,178</b>	<b>68,572</b>
Local Assistance	21,325	21,325	21,325	21,325	21,325	21,325
State Operations	49,508	52,524	44,684	46,126	46,853	47,247
Personal Service	36,074	37,825	33,581	34,597	34,892	35,248
Non-Personal Service/Indirect Costs	13,434	14,699	11,103	11,529	11,961	11,999
General State Charges	22,164	0	0	0	0	0
<b>Justice Center</b>	<b>40,136</b>	<b>43,551</b>	<b>43,928</b>	<b>45,473</b>	<b>44,838</b>	<b>45,468</b>
Local Assistance	619	679	649	649	649	649
State Operations	38,868	41,652	42,381	43,900	43,241	43,846
Personal Service	31,243	32,560	34,168	35,425	34,495	34,821
Non-Personal Service/Indirect Costs	7,625	9,092	8,213	8,475	8,746	9,025
General State Charges	649	1,220	898	924	948	973
<b>Mental Health, Office of</b>	<b>3,182,496</b>	<b>2,581,803</b>	<b>2,521,749</b>	<b>2,623,625</b>	<b>2,727,305</b>	<b>2,823,466</b>
<b>OMH</b>	<b>1,459,290</b>	<b>1,323,262</b>	<b>1,442,969</b>	<b>1,508,168</b>	<b>1,573,439</b>	<b>1,641,133</b>
Local Assistance	915,920	1,002,843	1,074,560	1,142,351	1,198,267	1,256,678
State Operations	349,973	331,100	368,409	365,817	375,172	384,455
Personal Service	287,281	268,077	323,979	320,987	329,004	336,792
Non-Personal Service/Indirect Costs	62,692	63,023	44,430	44,830	46,168	47,663
General State Charges	193,397	(10,681)	0	0	0	0
<b>OMH - Other</b>	<b>1,723,206</b>	<b>1,258,541</b>	<b>1,078,780</b>	<b>1,115,457</b>	<b>1,153,866</b>	<b>1,182,333</b>
Local Assistance	265,389	278,961	77,238	82,252	93,721	101,964
State Operations	1,000,487	1,013,556	1,001,542	1,033,205	1,060,145	1,080,369
Personal Service	783,120	818,643	784,927	805,641	810,776	819,154
Non-Personal Service/Indirect Costs	217,367	194,913	216,615	227,564	249,369	261,215
General State Charges	457,330	(33,976)	0	0	0	0
<b>Mental Hygiene, Department of</b>	<b>204</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
State Operations	204	0	0	0	0	0
Non-Personal Service/Indirect Costs	204	0	0	0	0	0
<b>People with Developmental Disabilities, Office for</b>	<b>2,862,904</b>	<b>1,839,724</b>	<b>1,794,718</b>	<b>2,227,049</b>	<b>2,151,634</b>	<b>2,341,935</b>
<b>OPWDD</b>	<b>407,423</b>	<b>387,604</b>	<b>362,772</b>	<b>347,632</b>	<b>357,809</b>	<b>368,671</b>
Local Assistance	407,275	387,306	362,591	347,451	357,628	368,490
State Operations	148	298	181	181	181	181
Personal Service	124	262	0	0	0	0
Non-Personal Service/Indirect Costs	24	36	181	181	181	181
<b>OPWDD - Other</b>	<b>2,455,481</b>	<b>1,452,120</b>	<b>1,431,946</b>	<b>1,879,417</b>	<b>1,793,825</b>	<b>1,973,264</b>
Local Assistance	438,663	131,150	103,303	530,302	429,526	591,153
State Operations	1,333,017	1,320,970	1,328,643	1,349,115	1,364,299	1,382,111
Personal Service	1,129,750	1,142,322	1,147,023	1,162,291	1,172,066	1,184,331
Non-Personal Service/Indirect Costs	203,267	178,648	181,620	186,824	192,233	197,780
General State Charges	683,801	0	0	0	0	0
<b>Functional Total</b>	<b>6,526,829</b>	<b>4,900,897</b>	<b>4,817,977</b>	<b>5,362,638</b>	<b>5,405,345</b>	<b>5,711,919</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
<b>Correction, Commission of</b>	<b>2,594</b>	<b>2,541</b>	<b>2,651</b>	<b>2,773</b>	<b>2,735</b>	<b>2,735</b>
State Operations	2,594	2,541	2,651	2,773	2,735	2,735
Personal Service	2,316	2,276	2,414	2,531	2,488	2,488
Non-Personal Service/Indirect Costs	278	265	237	242	247	247
<b>Correctional Services, Department of</b>	<b>2,633,748</b>	<b>2,602,994</b>	<b>2,861,947</b>	<b>2,756,068</b>	<b>2,797,152</b>	<b>2,875,047</b>
Local Assistance	5,686	3,435	5,016	4,836	4,836	4,836
State Operations	2,627,945	2,599,368	2,856,825	2,751,126	2,792,210	2,870,105
Personal Service	2,098,499	2,111,244	2,355,041	2,253,648	2,299,931	2,374,480
Non-Personal Service/Indirect Costs	529,446	488,124	501,784	497,478	492,279	495,625
General State Charges	117	191	106	106	106	106
<b>Corrections and Community Supervision Medicaid, Department of</b>	<b>0</b>	<b>0</b>	<b>2,000</b>	<b>2,000</b>	<b>0</b>	<b>0</b>
Local Assistance	0	0	2,000	2,000	0	0
<b>Criminal Justice Services, Division of</b>	<b>193,155</b>	<b>217,569</b>	<b>213,546</b>	<b>204,173</b>	<b>203,995</b>	<b>204,742</b>
Local Assistance	156,618	181,941	176,781	166,433	166,433	166,433
State Operations	36,502	35,628	36,730	37,705	37,527	38,273
Personal Service	26,993	28,281	27,502	28,477	28,033	28,547
Non-Personal Service/Indirect Costs	9,509	7,347	9,228	9,228	9,494	9,726
General State Charges	35	0	35	35	35	36
<b>Homeland Security and Emergency Services, Division of</b>	<b>60,960</b>	<b>86,408</b>	<b>77,171</b>	<b>131,846</b>	<b>143,640</b>	<b>146,566</b>
Local Assistance	30,642	49,327	38,141	91,542	100,839	102,925
State Operations	29,703	36,318	38,213	39,469	41,896	42,736
Personal Service	16,362	20,611	29,610	30,856	32,215	32,774
Non-Personal Service/Indirect Costs	13,341	15,707	8,603	8,613	9,681	9,962
General State Charges	615	763	817	835	905	905
<b>Indigent Legal Services, Office of</b>	<b>75,499</b>	<b>88,454</b>	<b>150,900</b>	<b>211,080</b>	<b>261,200</b>	<b>311,215</b>
Local Assistance	72,102	83,765	144,810	204,810	254,810	304,810
State Operations	2,328	2,838	4,044	4,110	4,108	4,123
Personal Service	1,873	2,430	3,208	3,274	3,260	3,260
Non-Personal Service/Indirect Costs	455	408	836	836	848	863

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
(thousands of dollars)**

	<b>FY 2018 Results</b>	<b>FY 2019 Results</b>	<b>FY 2020 Updated</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>	<b>FY 2023 Projected</b>
General State Charges	1,069	1,851	2,046	2,160	2,282	2,282
<b>Judicial Conduct, Commission on</b>	<b>5,552</b>	<b>5,423</b>	<b>6,038</b>	<b>5,936</b>	<b>6,114</b>	<b>6,220</b>
State Operations	5,552	5,423	6,038	5,936	6,114	6,220
Personal Service	4,238	3,959	4,677	4,548	4,483	4,573
Non-Personal Service/Indirect Costs	1,314	1,464	1,361	1,388	1,631	1,647
<b>Judicial Nomination, Commission on</b>	<b>10</b>	<b>0</b>	<b>30</b>	<b>30</b>	<b>30</b>	<b>30</b>
State Operations	10	0	30	30	30	30
Non-Personal Service/Indirect Costs	10	0	30	30	30	30
<b>Judicial Screening Committees, New York State</b>	<b>14</b>	<b>7</b>	<b>38</b>	<b>38</b>	<b>38</b>	<b>38</b>
State Operations	14	7	38	38	38	38
Non-Personal Service/Indirect Costs	14	7	38	38	38	38
<b>Military and Naval Affairs, Division of</b>	<b>25,915</b>	<b>24,968</b>	<b>25,234</b>	<b>25,532</b>	<b>26,170</b>	<b>26,628</b>
Local Assistance	819	885	820	820	886	904
State Operations	25,090	23,869	24,405	24,703	25,274	25,714
Personal Service	15,083	14,432	14,402	14,700	14,776	15,073
Non-Personal Service/Indirect Costs	10,007	9,437	10,003	10,003	10,498	10,641
General State Charges	6	214	9	9	10	10
<b>State Police, Division of</b>	<b>756,006</b>	<b>763,179</b>	<b>818,469</b>	<b>838,521</b>	<b>836,821</b>	<b>856,473</b>
State Operations	741,934	717,416	790,059	809,568	807,498	826,950
Personal Service	677,367	657,453	729,830	749,270	745,128	763,744
Non-Personal Service/Indirect Costs	64,567	59,963	60,229	60,298	62,370	63,206
General State Charges	14,072	45,763	28,410	28,953	29,323	29,523
<b>Statewide Financial System</b>	<b>30,137</b>	<b>30,520</b>	<b>30,506</b>	<b>30,949</b>	<b>31,117</b>	<b>31,732</b>
State Operations	30,137	30,520	30,506	30,949	31,117	31,732
Personal Service	11,094	11,294	11,882	12,325	12,121	12,356
Non-Personal Service/Indirect Costs	19,043	19,226	18,624	18,624	18,996	19,376
<b>Victim Services, Office of</b>	<b>26,080</b>	<b>30,267</b>	<b>36,345</b>	<b>36,462</b>	<b>37,111</b>	<b>37,111</b>
Local Assistance	20,728	24,865	30,744	30,744	31,398	31,398
State Operations	3,714	3,508	3,951	4,068	4,030	4,030
Personal Service	3,184	2,958	3,206	3,323	3,272	3,272
Non-Personal Service/Indirect Costs	530	550	745	745	758	758
General State Charges	1,638	1,894	1,650	1,650	1,683	1,683
<b>Functional Total</b>	<b>3,809,670</b>	<b>3,852,330</b>	<b>4,224,875</b>	<b>4,245,408</b>	<b>4,346,123</b>	<b>4,498,537</b>
<b>HIGHER EDUCATION</b>						
<b>City University of New York</b>	<b>1,568,018</b>	<b>1,604,154</b>	<b>1,633,469</b>	<b>1,674,995</b>	<b>1,709,385</b>	<b>1,744,327</b>
Local Assistance	1,465,153	1,508,007	1,536,842	1,577,439	1,610,889	1,644,883
State Operations	102,720	96,018	96,477	97,406	98,346	99,294
Personal Service	52,623	47,274	48,594	49,045	49,501	49,961
Non-Personal Service/Indirect Costs	50,097	48,744	47,883	48,361	48,845	49,333
General State Charges	145	129	150	150	150	150
<b>Higher Education - Miscellaneous</b>	<b>337</b>	<b>540</b>	<b>441</b>	<b>441</b>	<b>441</b>	<b>441</b>
State Operations	256	340	291	291	291	291
Personal Service	169	232	198	198	198	198
Non-Personal Service/Indirect Costs	87	108	93	93	93	93
General State Charges	81	200	150	150	150	150
<b>Higher Education Services Corporation, New York State</b>	<b>929,204</b>	<b>1,033,360</b>	<b>1,007,918</b>	<b>933,681</b>	<b>943,248</b>	<b>945,782</b>
Local Assistance	879,322	984,334	955,624	881,387	890,954	893,488
State Operations	41,751	39,219	43,274	43,274	43,274	43,274
Personal Service	13,380	12,337	12,916	12,916	12,916	12,916
Non-Personal Service/Indirect Costs	28,371	26,882	30,358	30,358	30,358	30,358
General State Charges	8,131	9,807	9,020	9,020	9,020	9,020
<b>State University of New York</b>	<b>6,999,905</b>	<b>7,011,073</b>	<b>7,235,260</b>	<b>7,522,346</b>	<b>7,624,161</b>	<b>7,855,995</b>
Local Assistance	488,783	487,744	482,766	486,767	486,767	485,767
State Operations	6,080,263	6,000,806	6,214,435	6,468,549	6,555,201	6,760,705
Personal Service	3,753,285	3,952,902	4,192,185	4,411,408	4,460,781	4,617,493
Non-Personal Service/Indirect Costs	2,326,978	2,047,904	2,022,250	2,057,141	2,094,420	2,143,212
General State Charges	430,859	522,523	538,059	567,030	582,193	609,523
<b>Functional Total</b>	<b>9,497,464</b>	<b>9,649,127</b>	<b>9,877,088</b>	<b>10,131,463</b>	<b>10,277,235</b>	<b>10,546,545</b>
<b>EDUCATION</b>						
<b>Arts, Council on the</b>	<b>39,210</b>	<b>43,514</b>	<b>45,353</b>	<b>45,349</b>	<b>45,253</b>	<b>45,253</b>
Local Assistance	34,950	39,248	41,033	40,933	40,933	40,933
State Operations	4,260	4,266	4,320	4,416	4,320	4,320
Personal Service	2,626	2,636	2,498	2,594	2,498	2,498
Non-Personal Service/Indirect Costs	1,634	1,630	1,822	1,822	1,822	1,822
<b>Education, Department of</b>	<b>30,372,803</b>	<b>31,150,503</b>	<b>32,169,168</b>	<b>33,153,505</b>	<b>34,297,806</b>	<b>35,490,399</b>
<b>School Aid</b>	<b>25,396,252</b>	<b>26,250,356</b>	<b>27,336,720</b>	<b>28,416,179</b>	<b>29,590,135</b>	<b>30,806,882</b>
Local Assistance	25,396,252	26,250,356	27,336,720	28,416,179	29,590,135	30,806,882
<b>School Aid – Other</b>	<b>61,169</b>	<b>152,867</b>	<b>78,195</b>	<b>78,195</b>	<b>78,195</b>	<b>78,195</b>
Local Assistance	61,169	152,867	78,195	78,195	78,195	78,195
<b>STAR Property Tax Relief</b>	<b>2,589,145</b>	<b>2,423,112</b>	<b>2,175,995</b>	<b>2,073,116</b>	<b>1,979,457</b>	<b>1,857,985</b>
Local Assistance	2,589,145	2,423,112	2,175,995	2,073,116	1,979,457	1,857,985
<b>Special Education Categorical Programs</b>	<b>1,263,705</b>	<b>1,290,608</b>	<b>1,350,637</b>	<b>1,418,221</b>	<b>1,484,066</b>	<b>1,551,451</b>
Local Assistance	1,263,705	1,290,608	1,350,637	1,418,221	1,484,066	1,551,451



**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
(thousands of dollars)**

	<b>FY 2018 Results</b>	<b>FY 2019 Results</b>	<b>FY 2020 Updated</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>	<b>FY 2023 Projected</b>
<b>All Other</b>	<b>1,062,532</b>	<b>1,033,560</b>	<b>1,227,621</b>	<b>1,167,794</b>	<b>1,165,953</b>	<b>1,195,886</b>
Local Assistance	883,117	852,272	1,050,547	986,533	988,855	1,018,211
State Operations	144,872	138,074	141,897	143,959	140,749	140,726
Personal Service	90,372	88,514	84,363	87,672	84,298	84,264
Non-Personal Service/Indirect Costs	54,500	49,560	57,534	56,287	56,451	56,462
General State Charges	34,543	43,214	35,177	37,302	36,349	36,949
<b>Functional Total</b>	<b>30,412,013</b>	<b>31,194,017</b>	<b>32,214,521</b>	<b>33,198,854</b>	<b>34,343,059</b>	<b>35,535,652</b>
<b>GENERAL GOVERNMENT</b>						
<b>Budget, Division of the</b>	<b>27,312</b>	<b>26,125</b>	<b>30,133</b>	<b>30,603</b>	<b>29,596</b>	<b>29,596</b>
State Operations	26,600	25,218	28,476	28,886	27,939	27,939
Personal Service	21,683	21,921	24,567	25,511	24,567	24,567
Non-Personal Service/Indirect Costs	4,917	3,297	3,909	3,375	3,372	3,372
General State Charges	712	907	1,657	1,717	1,657	1,657
<b>Civil Service, Department of</b>	<b>14,975</b>	<b>16,128</b>	<b>16,351</b>	<b>14,848</b>	<b>14,890</b>	<b>14,911</b>
Local Assistance	0	567	2,000	0	0	0
State Operations	14,795	15,422	14,120	14,604	14,649	14,666
Personal Service	14,053	15,062	13,658	14,142	13,752	13,752
Non-Personal Service/Indirect Costs	742	360	462	462	897	914
General State Charges	180	139	231	244	241	245
<b>Deferred Compensation Board</b>	<b>675</b>	<b>776</b>	<b>891</b>	<b>896</b>	<b>900</b>	<b>904</b>
State Operations	451	474	648	649	648	648
Personal Service	408	424	413	414	413	413
Non-Personal Service/Indirect Costs	43	50	235	235	235	235
General State Charges	224	302	243	247	252	256
<b>Elections, State Board of</b>	<b>7,813</b>	<b>8,621</b>	<b>10,147</b>	<b>10,583</b>	<b>10,054</b>	<b>9,428</b>
Local Assistance	50	5	0	0	0	0
State Operations	7,763	8,616	10,147	10,583	10,054	9,428
Personal Service	6,077	5,773	6,288	6,571	6,478	6,316
Non-Personal Service/Indirect Costs	1,686	2,843	3,859	4,012	3,576	3,112
<b>Employee Relations, Office of</b>	<b>3,569</b>	<b>2,097</b>	<b>6,444</b>	<b>6,542</b>	<b>6,444</b>	<b>6,445</b>
State Operations	3,569	2,097	6,444	6,542	6,444	6,445
Personal Service	2,515	2,040	6,248	6,346	6,248	6,248
Non-Personal Service/Indirect Costs	1,054	57	196	196	196	197
<b>Gaming Commission, New York State</b>	<b>176,850</b>	<b>159,059</b>	<b>285,401</b>	<b>215,100</b>	<b>213,683</b>	<b>212,683</b>
Local Assistance	65,779	69,013	199,668	121,950	122,950	121,950
State Operations	94,531	67,292	68,158	74,685	73,158	73,158
Personal Service	34,862	35,418	38,687	40,178	38,687	38,687
Non-Personal Service/Indirect Costs	59,669	31,874	29,471	34,507	34,471	34,471
General State Charges	16,540	22,754	17,575	18,465	17,575	17,575
<b>General Services, Office of</b>	<b>143,940</b>	<b>142,000</b>	<b>105,028</b>	<b>103,864</b>	<b>102,466</b>	<b>104,093</b>
State Operations	143,571	141,462	104,480	103,281	101,891	103,508
Personal Service	67,107	61,158	26,270	29,695	27,278	27,278
Non-Personal Service/Indirect Costs	76,464	80,304	78,210	73,586	74,613	76,230
General State Charges	369	538	548	583	575	585
<b>Inspector General, Office of the</b>	<b>7,166</b>	<b>6,844</b>	<b>7,487</b>	<b>7,826</b>	<b>8,061</b>	<b>8,210</b>
State Operations	7,166	6,844	7,487	7,826	8,061	8,210
Personal Service	6,223	5,909	6,648	6,970	6,857	6,994
Non-Personal Service/Indirect Costs	943	935	839	856	1,204	1,216
<b>Labor Management Committees</b>	<b>30,630</b>	<b>35,520</b>	<b>36,306</b>	<b>31,517</b>	<b>31,306</b>	<b>31,306</b>
State Operations	24,773	29,699	31,306	26,517	26,306	26,306
Personal Service	7,747	8,618	10,487	5,698	5,487	5,487
Non-Personal Service/Indirect Costs	17,026	21,081	20,819	20,819	20,819	20,819
General State Charges	5,857	5,821	5,000	5,000	5,000	5,000
<b>Prevention of Domestic Violence, Office for</b>	<b>2,349</b>	<b>2,816</b>	<b>3,099</b>	<b>3,121</b>	<b>3,059</b>	<b>3,059</b>
Local Assistance	791	1,260	1,435	1,385	1,412	1,412
State Operations	1,558	1,556	1,664	1,736	1,647	1,647
Personal Service	1,412	1,394	1,491	1,524	1,431	1,431
Non-Personal Service/Indirect Costs	146	162	173	212	216	216
<b>Public Employment Relations Board</b>	<b>3,260</b>	<b>3,430</b>	<b>3,634</b>	<b>3,764</b>	<b>3,634</b>	<b>3,634</b>
State Operations	3,260	3,430	3,634	3,764	3,634	3,634
Personal Service	3,059	3,175	3,338	3,468	3,338	3,338
Non-Personal Service/Indirect Costs	201	255	296	296	296	296
<b>Public Integrity, Commission on</b>	<b>5,115</b>	<b>5,223</b>	<b>5,630</b>	<b>5,835</b>	<b>6,010</b>	<b>6,119</b>
State Operations	5,115	5,223	5,630	5,835	6,010	6,119
Personal Service	4,386	4,516	4,681	4,867	4,827	4,924
Non-Personal Service/Indirect Costs	729	707	949	968	1,183	1,195
<b>State, Department of</b>	<b>64,267</b>	<b>71,381</b>	<b>83,299</b>	<b>60,878</b>	<b>60,044</b>	<b>60,061</b>
Local Assistance	10,035	13,928	30,287	5,537	5,537	5,537
State Operations	43,799	42,005	40,088	41,180	40,068	40,068
Personal Service	29,257	28,629	26,754	27,842	26,754	26,754
Non-Personal Service/Indirect Costs	14,542	13,376	13,334	13,338	13,314	13,314
General State Charges	10,433	15,448	12,924	14,161	14,439	14,456
<b>Tax Appeals, Division of</b>	<b>2,688</b>	<b>2,820</b>	<b>3,040</b>	<b>3,150</b>	<b>3,040</b>	<b>3,040</b>
State Operations	2,688	2,820	3,040	3,150	3,040	3,040
Personal Service	2,541	2,513	2,870	2,980	2,870	2,870

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
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	<b>FY 2018 Results</b>	<b>FY 2019 Results</b>	<b>FY 2020 Updated</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>	<b>FY 2023 Projected</b>
Non-Personal Service/Indirect Costs	147	307	170	170	170	170
<b>Taxation and Finance, Department of</b>	<b>345,192</b>	<b>320,486</b>	<b>368,922</b>	<b>381,089</b>	<b>368,962</b>	<b>368,322</b>
Local Assistance	1,542	2,435	2,726	2,726	2,726	2,726
State Operations	321,015	312,384	339,887	350,796	339,845	339,278
Personal Service	275,658	269,428	277,371	286,655	277,500	277,386
Non-Personal Service/Indirect Costs	45,357	42,956	62,516	64,141	62,345	61,892
General State Charges	22,635	5,667	26,309	27,567	26,391	26,318
<b>Technology, Office for</b>	<b>535,977</b>	<b>544,541</b>	<b>540,316</b>	<b>550,762</b>	<b>557,318</b>	<b>568,476</b>
State Operations	535,977	544,541	540,316	550,762	557,318	568,476
Personal Service	289,994	299,018	291,616	308,116	303,458	309,539
Non-Personal Service/Indirect Costs	245,983	245,523	248,700	242,646	253,860	258,937
<b>Veterans' Services, Division of</b>	<b>13,791</b>	<b>16,219</b>	<b>15,721</b>	<b>14,322</b>	<b>14,257</b>	<b>14,257</b>
Local Assistance	7,906	10,499	9,485	7,840	7,840	7,840
State Operations	5,885	5,720	6,236	6,482	6,417	6,417
Personal Service	5,512	5,161	5,938	6,178	6,107	6,107
Non-Personal Service/Indirect Costs	373	559	298	304	310	310
<b>Welfare Inspector General, Office of</b>	<b>671</b>	<b>629</b>	<b>701</b>	<b>731</b>	<b>753</b>	<b>768</b>
State Operations	671	629	701	731	753	768
Personal Service	619	615	626	654	646	659
Non-Personal Service/Indirect Costs	52	14	75	77	107	109
<b>Workers' Compensation Board</b>	<b>193,366</b>	<b>198,486</b>	<b>196,439</b>	<b>205,865</b>	<b>196,439</b>	<b>196,439</b>
State Operations	140,971	141,918	143,219	148,002	143,219	143,219
Personal Service	85,117	82,890	84,892	89,608	84,892	84,892
Non-Personal Service/Indirect Costs	55,854	59,028	58,327	58,394	58,327	58,327
General State Charges	52,395	56,568	53,220	57,863	53,220	53,220
<b>Functional Total</b>	<b>1,579,606</b>	<b>1,563,201</b>	<b>1,718,989</b>	<b>1,651,296</b>	<b>1,630,916</b>	<b>1,641,751</b>
<b>ELECTED OFFICIALS</b>						
<b>Audit and Control, Department of</b>	<b>180,421</b>	<b>182,562</b>	<b>191,670</b>	<b>194,334</b>	<b>191,670</b>	<b>191,670</b>
Local Assistance	32,025	32,025	32,024	32,024	32,024	32,024
State Operations	146,719	148,498	157,449	160,113	157,449	157,449
Personal Service	119,019	118,729	126,111	128,775	126,111	126,111
Non-Personal Service/Indirect Costs	27,700	29,769	31,338	31,338	31,338	31,338
General State Charges	1,677	2,039	2,197	2,197	2,197	2,197
<b>Executive Chamber</b>	<b>13,560</b>	<b>12,673</b>	<b>13,578</b>	<b>14,032</b>	<b>13,578</b>	<b>13,578</b>
State Operations	13,560	12,673	13,578	14,032	13,578	13,578
Personal Service	9,979	9,135	11,113	11,567	11,113	11,113
Non-Personal Service/Indirect Costs	3,581	3,538	2,465	2,465	2,465	2,465
<b>Judiciary</b>	<b>2,945,329</b>	<b>3,057,041</b>	<b>3,095,200</b>	<b>3,167,201</b>	<b>3,110,201</b>	<b>3,110,501</b>
Local Assistance	114,224	101,561	114,900	129,901	129,901	129,901
State Operations	2,080,909	2,168,278	2,165,700	2,222,700	2,165,700	2,166,000
Personal Service	1,624,889	1,731,580	1,709,800	1,766,800	1,709,800	1,710,100
Non-Personal Service/Indirect Costs	456,020	436,698	455,900	455,900	455,900	455,900
General State Charges	750,196	787,202	814,600	814,600	814,600	814,600
<b>Law, Department of</b>	<b>200,091</b>	<b>213,712</b>	<b>202,303</b>	<b>207,704</b>	<b>202,303</b>	<b>202,303</b>
State Operations	183,513	187,295	182,504	187,099	182,504	182,504
Personal Service	132,481	134,904	129,145	133,741	129,145	129,145
Non-Personal Service/Indirect Costs	51,032	52,391	53,359	53,358	53,359	53,359
General State Charges	16,578	26,417	19,799	20,605	19,799	19,799
<b>Legislature</b>	<b>223,372</b>	<b>223,573</b>	<b>242,215</b>	<b>249,056</b>	<b>255,949</b>	<b>256,002</b>
State Operations	223,372	223,573	242,215	249,056	255,949	256,002
Personal Service	174,350	175,304	187,511	192,583	198,366	198,419
Non-Personal Service/Indirect Costs	49,022	48,269	54,704	56,473	57,583	57,583
<b>Lieutenant Governor, Office of the</b>	<b>492</b>	<b>530</b>	<b>614</b>	<b>634</b>	<b>614</b>	<b>614</b>
State Operations	492	530	614	634	614	614
Personal Service	382	414	523	543	523	523
Non-Personal Service/Indirect Costs	110	116	91	91	91	91
<b>Functional Total</b>	<b>3,563,265</b>	<b>3,690,091</b>	<b>3,745,580</b>	<b>3,832,961</b>	<b>3,774,315</b>	<b>3,774,668</b>
<b>LOCAL GOVERNMENT ASSISTANCE</b>						
<b>Aid and Incentives for Municipalities</b>	<b>721,920</b>	<b>721,979</b>	<b>671,208</b>	<b>704,192</b>	<b>704,192</b>	<b>704,192</b>
Local Assistance	721,789	721,732	671,208	704,192	704,192	704,192
State Operations	131	247	0	0	0	0
Non-Personal Service/Indirect Costs	131	247	0	0	0	0
<b>County-Wide Shared Services Initiative</b>	<b>0</b>	<b>0</b>	<b>40,000</b>	<b>65,000</b>	<b>60,000</b>	<b>60,000</b>
Local Assistance	0	0	40,000	65,000	60,000	60,000
<b>Efficiency Incentive Grants Program</b>	<b>348</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Local Assistance	348	0	0	0	0	0
<b>Miscellaneous Financial Assistance</b>	<b>9,270</b>	<b>12,001</b>	<b>11,998</b>	<b>2,250</b>	<b>2,250</b>	<b>2,250</b>
Local Assistance	9,270	12,001	11,998	2,250	2,250	2,250
<b>Municipalities with VLT Facilities</b>	<b>28,885</b>	<b>28,885</b>	<b>28,885</b>	<b>28,885</b>	<b>28,885</b>	<b>28,885</b>
Local Assistance	28,885	28,885	28,885	28,885	28,885	28,885
<b>Small Government Assistance</b>	<b>217</b>	<b>217</b>	<b>218</b>	<b>218</b>	<b>218</b>	<b>218</b>
Local Assistance	217	217	218	218	218	218

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
(thousands of dollars)**

	<u>FY 2018 Results</u>	<u>FY 2019 Results</u>	<u>FY 2020 Updated</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>
<b>Functional Total</b>	<u>760,640</u>	<u>763,082</u>	<u>752,309</u>	<u>800,545</u>	<u>795,545</u>	<u>795,545</u>
<b>ALL OTHER CATEGORIES</b>						
<b>General State Charges</b>	<b><u>4,842,816</u></b>	<b><u>6,373,457</u></b>	<b><u>6,894,907</u></b>	<b><u>7,438,282</u></b>	<b><u>8,003,887</u></b>	<b><u>8,563,970</u></b>
General State Charges	4,842,816	6,373,457	6,894,907	7,438,282	8,003,887	8,563,970
<b>Long-Term Debt Service</b>	<b><u>5,914,725</u></b>	<b><u>6,736,264</u></b>	<b><u>5,216,029</u></b>	<b><u>6,917,605</u></b>	<b><u>7,169,547</u></b>	<b><u>7,373,446</u></b>
State Operations	41,887	37,699	45,706	44,359	44,359	44,359
Non-Personal Service/Indirect Costs	41,887	37,699	45,706	44,359	44,359	44,359
Debt Service	5,872,838	6,698,565	5,170,323	6,873,246	7,125,188	7,329,087
<b>Miscellaneous</b>	<b><u>(6,585)</u></b>	<b><u>(3,357)</u></b>	<b><u>168,619</u></b>	<b><u>172,579</u></b>	<b><u>172,584</u></b>	<b><u>161,594</u></b>
Local Assistance	(56,833)	(56,188)	135,848	139,741	139,741	128,741
State Operations	47,660	49,862	27,001	27,056	27,056	27,056
Personal Service	2,194	2,132	(7,715)	(7,660)	(7,660)	(7,660)
Non-Personal Service/Indirect Costs	45,466	47,730	34,716	34,716	34,716	34,716
General State Charges	2,588	2,969	5,770	5,782	5,787	5,797
<b>Functional Total</b>	<u>10,750,956</u>	<u>13,106,364</u>	<u>12,279,555</u>	<u>14,528,466</u>	<u>15,346,018</u>	<u>16,099,010</u>
<b>TOTAL STATE OPERATING FUNDS SPENDING</b>	<u>98,151,002</u>	<u>100,136,734</u>	<u>102,152,263</u>	<u>107,948,202</u>	<u>111,325,928</u>	<u>115,141,916</u>

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
(thousands of dollars)**

	<b>FY 2018 Results</b>	<b>FY 2019 Results</b>	<b>FY 2020 Updated</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>	<b>FY 2023 Projected</b>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	71,270	73,582	73,647	67,692	81,738	81,573
Alcoholic Beverage Control, Division of	11,819	11,546	11,275	11,560	11,244	11,244
Economic Development, Department of	72,278	73,570	69,453	64,856	69,706	69,706
Empire State Development Corporation	124,880	85,177	75,724	76,054	76,054	76,054
Financial Services, Department of	357,557	392,863	369,125	387,954	381,591	381,591
Olympic Regional Development Authority	2,736	11,175	8,676	8,676	8,676	8,676
Public Service Department	84,881	75,433	80,221	82,664	79,946	79,075
<b>Functional Total</b>	<b>725,421</b>	<b>723,346</b>	<b>688,121</b>	<b>699,456</b>	<b>708,955</b>	<b>707,919</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Adirondack Park Agency	4,468	4,297	4,728	4,714	4,556	4,556
Environmental Conservation, Department of	260,839	275,635	252,710	266,138	259,455	260,147
Parks, Recreation and Historic Preservation, Office of	179,334	186,543	166,492	171,701	168,265	168,265
<b>Functional Total</b>	<b>444,641</b>	<b>466,475</b>	<b>423,930</b>	<b>442,553</b>	<b>432,276</b>	<b>432,968</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	71,728	87,876	83,653	86,529	86,862	86,862
Transportation, Department of	5,034,223	4,240,127	3,892,975	4,188,561	4,391,052	4,640,567
<b>Functional Total</b>	<b>5,105,951</b>	<b>4,328,003</b>	<b>3,976,628</b>	<b>4,275,090</b>	<b>4,477,914</b>	<b>4,727,429</b>
<b>HEALTH</b>						
Aging, Office for the	125,380	131,371	146,704	148,592	153,731	159,042
Health, Department of	21,460,861	22,376,592	23,788,666	24,912,887	25,850,318	26,723,370
<i>Medical Assistance</i>	18,857,443	19,528,919	20,762,718	21,880,199	22,722,005	23,600,658
<i>Essential Plan</i>	87,638	76,580	84,224	78,757	76,397	72,453
<i>Medicaid Administration</i>	583,789	764,404	879,538	716,723	704,760	689,676
<i>Public Health</i>	1,931,991	2,006,689	2,062,186	2,237,208	2,347,156	2,360,583
Medicaid Inspector General, Office of the	18,282	18,116	18,072	18,679	18,072	18,072
<b>Functional Total</b>	<b>21,604,523</b>	<b>22,526,079</b>	<b>23,953,442</b>	<b>25,080,158</b>	<b>26,022,121</b>	<b>26,900,484</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	1,851,516	1,922,296	1,794,763	1,990,032	2,046,636	2,047,219
<i>OCFS</i>	1,774,154	1,850,182	1,713,965	1,898,054	1,950,494	1,951,077
<i>OCFS - Other</i>	77,362	72,114	80,798	91,978	96,142	96,142
Housing and Community Renewal, Division of	72,665	83,223	115,446	133,953	136,439	136,439
Human Rights, Division of	9,465	9,993	9,921	10,590	10,230	10,230
Labor, Department of	83,203	91,113	85,171	74,893	76,435	76,435
National and Community Service	770	560	772	781	781	781
Temporary and Disability Assistance, Office of	1,352,404	1,266,537	1,473,175	1,489,065	1,495,585	1,498,385
<i>Welfare Assistance</i>	1,137,324	1,037,938	1,221,736	1,232,366	1,232,366	1,232,366
<i>All Other</i>	215,080	228,599	251,439	256,699	263,219	266,019
<b>Functional Total</b>	<b>3,370,023</b>	<b>3,373,722</b>	<b>3,479,248</b>	<b>3,699,314</b>	<b>3,766,106</b>	<b>3,769,489</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	441,089	435,819	457,582	466,491	481,568	501,050
<i>OASAS</i>	348,092	361,970	391,573	399,040	413,390	432,478
<i>OASAS - Other</i>	92,997	73,849	66,009	67,451	68,178	68,572
Justice Center	40,136	43,551	43,928	45,473	44,838	45,468
Mental Health, Office of	3,182,496	2,581,803	2,521,749	2,623,625	2,727,305	2,823,466
<i>OMH</i>	1,459,290	1,323,262	1,442,969	1,508,168	1,573,439	1,641,133
<i>OMH - Other</i>	1,723,206	1,258,541	1,078,780	1,115,457	1,153,866	1,182,333
Mental Hygiene, Department of	204	0	0	0	0	0
People with Developmental Disabilities, Office for	2,862,904	1,839,724	1,794,718	2,227,049	2,151,634	2,341,935
<i>OPWDD</i>	407,423	387,604	362,772	347,632	357,809	368,671
<i>OPWDD - Other</i>	2,455,481	1,452,120	1,431,946	1,879,417	1,793,825	1,973,264
<b>Functional Total</b>	<b>6,526,829</b>	<b>4,900,897</b>	<b>4,817,977</b>	<b>5,362,638</b>	<b>5,405,345</b>	<b>5,711,919</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correction, Commission of	2,594	2,541	2,651	2,773	2,735	2,735
Correctional Services, Department of	2,633,748	2,602,994	2,861,947	2,756,068	2,797,152	2,875,047
Corrections and Community Supervision Medicaid, Department of	0	0	2,000	2,000	0	0
Criminal Justice Services, Division of	193,155	217,569	213,546	204,173	203,995	204,742
Homeland Security and Emergency Services, Division of	60,960	86,408	77,171	131,846	143,640	146,566
Indigent Legal Services, Office of	75,499	88,454	150,900	211,080	261,200	311,215
Judicial Conduct, Commission on	5,552	5,423	6,038	5,936	6,114	6,220
Judicial Nomination, Commission on	10	0	30	30	30	30
Judicial Screening Committees, New York State	14	7	38	38	38	38
Military and Naval Affairs, Division of	25,915	24,968	25,234	25,532	26,170	26,628
State Police, Division of	756,006	763,179	818,469	838,521	836,821	856,473
Statewide Financial System	30,137	30,520	30,506	30,949	31,117	31,732
Victim Services, Office of	26,080	30,267	36,345	36,462	37,111	37,111
<b>Functional Total</b>	<b>3,809,670</b>	<b>3,852,330</b>	<b>4,224,875</b>	<b>4,245,408</b>	<b>4,346,123</b>	<b>4,498,537</b>
<b>HIGHER EDUCATION</b>						
City University of New York	1,568,018	1,604,154	1,633,469	1,674,995	1,709,385	1,744,327
Higher Education - Miscellaneous	337	540	441	441	441	441
Higher Education Services Corporation, New York State	929,204	1,033,360	1,007,918	933,681	943,248	945,782
State University of New York	6,999,907	7,011,074	7,235,260	7,522,346	7,624,161	7,855,995
<b>Functional Total</b>	<b>9,497,466</b>	<b>9,649,128</b>	<b>9,877,088</b>	<b>10,131,463</b>	<b>10,277,235</b>	<b>10,546,545</b>
<b>EDUCATION</b>						
Arts, Council on the	39,210	43,514	45,353	45,349	45,253	45,253

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
(thousands of dollars)**

	<b>FY 2018 Results</b>	<b>FY 2019 Results</b>	<b>FY 2020 Updated</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>	<b>FY 2023 Projected</b>
<b>Education, Department of</b>	<b>30,372,803</b>	<b>31,150,503</b>	<b>32,169,168</b>	<b>33,153,505</b>	<b>34,297,806</b>	<b>35,490,399</b>
<i>School Aid</i>	25,396,252	26,250,356	27,336,720	28,416,179	29,590,135	30,806,882
<i>School Aid – Other</i>	61,169	152,867	78,195	78,195	78,195	78,195
<i>STAR Property Tax Relief</i>	2,589,145	2,423,112	2,175,995	2,073,116	1,979,457	1,857,985
<i>Special Education Categorical Programs</i>	1,263,705	1,290,608	1,350,637	1,418,221	1,484,066	1,551,451
<i>All Other</i>	1,062,532	1,033,560	1,227,621	1,167,794	1,165,953	1,195,886
<b>Functional Total</b>	<b>30,412,013</b>	<b>31,194,017</b>	<b>32,214,521</b>	<b>33,198,854</b>	<b>34,343,059</b>	<b>35,535,652</b>
<b>GENERAL GOVERNMENT</b>						
Budget, Division of	27,312	26,125	30,133	30,603	29,596	29,596
Civil Service, Department of	14,975	16,128	16,351	14,848	14,890	14,911
Deferred Compensation Board	675	776	891	896	900	904
Elections, State Board of	7,813	8,621	10,147	10,583	10,054	9,428
Employee Relations, Office of	3,569	2,097	6,444	6,542	6,444	6,445
Gaming Commission, New York State	176,850	159,059	285,401	215,100	213,683	212,683
General Services, Office of	143,940	142,000	105,028	103,864	102,466	104,093
Inspector General, Office of the	7,166	6,844	7,487	7,826	8,061	8,210
Labor Management Committees	30,630	35,520	36,306	31,517	31,306	31,306
Prevention of Domestic Violence, Office for	2,349	2,816	3,099	3,121	3,059	3,059
Public Employment Relations Board	3,260	3,430	3,634	3,764	3,634	3,634
Public Integrity, Commission on	5,115	5,223	5,630	5,835	6,010	6,119
State, Department of	64,267	71,381	83,299	60,878	60,044	60,061
Tax Appeals, Division of	2,688	2,820	3,040	3,150	3,040	3,040
Taxation and Finance, Department of	345,192	320,486	368,922	381,089	368,962	368,322
Technology, Office for	535,977	544,541	540,316	550,762	557,318	568,476
Veterans' Services, Division of	13,791	16,219	15,721	14,322	14,257	14,257
Welfare Inspector General, Office of	671	629	701	731	753	768
Workers' Compensation Board	193,366	198,486	196,439	205,865	196,439	196,439
<b>Functional Total</b>	<b>1,579,606</b>	<b>1,563,201</b>	<b>1,718,989</b>	<b>1,651,296</b>	<b>1,630,916</b>	<b>1,641,751</b>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	180,421	182,562	191,670	194,334	191,670	191,670
Executive Chamber	13,560	12,673	13,578	14,032	13,578	13,578
Judiciary	2,945,329	3,057,043	3,095,200	3,167,201	3,110,201	3,110,501
Law, Department of	200,091	213,712	202,303	207,704	202,303	202,303
Legislature	223,372	223,573	242,215	249,056	255,949	256,002
Lieutenant Governor, Office of the	492	530	614	634	614	614
<b>Functional Total</b>	<b>3,563,265</b>	<b>3,690,093</b>	<b>3,745,580</b>	<b>3,832,961</b>	<b>3,774,315</b>	<b>3,774,668</b>
<b>LOCAL GOVERNMENT ASSISTANCE</b>						
Aid and Incentives for Municipalities	721,920	721,979	671,208	704,192	704,192	704,192
County-Wide Shared Services Initiative	0	0	40,000	65,000	60,000	60,000
Efficiency Incentive Grants Program	348	0	0	0	0	0
Miscellaneous Financial Assistance	9,270	12,001	11,998	2,250	2,250	2,250
Municipalities with VLT Facilities	28,885	28,885	28,885	28,885	28,885	28,885
Small Government Assistance	217	217	218	218	218	218
<b>Functional Total</b>	<b>760,640</b>	<b>763,082</b>	<b>752,309</b>	<b>800,545</b>	<b>795,545</b>	<b>795,545</b>
<b>ALL OTHER CATEGORIES</b>						
General State Charges	4,842,815	6,373,456	6,894,907	7,438,282	8,003,887	8,563,970
Long-Term Debt Service	5,914,725	6,736,264	5,216,029	6,917,605	7,169,547	7,373,446
Miscellaneous	(6,585)	(3,357)	168,619	172,579	172,584	161,594
<b>Functional Total</b>	<b>10,750,955</b>	<b>13,106,363</b>	<b>12,279,555</b>	<b>14,528,466</b>	<b>15,346,018</b>	<b>16,099,010</b>
<b>TOTAL STATE OPERATING FUNDS SPENDING</b>	<b>98,151,003</b>	<b>100,136,736</b>	<b>102,152,263</b>	<b>107,948,202</b>	<b>111,325,928</b>	<b>115,141,916</b>

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
LOCAL ASSISTANCE  
(thousands of dollars)**

	<b>FY 2018 Results</b>	<b>FY 2019 Results</b>	<b>FY 2020 Updated</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>	<b>FY 2023 Projected</b>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	32,318	33,027	32,932	25,197	25,347	25,347
Economic Development, Department of	52,871	55,760	52,134	47,537	52,387	52,387
Empire State Development Corporation	124,880	85,177	75,724	76,054	76,054	76,054
Financial Services, Department of	57,254	64,179	58,602	58,496	58,496	58,496
Olympic Regional Development Authority	0	2,360	0	0	0	0
Public Service Department	102	218	122	122	122	122
<b>Functional Total</b>	<b>267,425</b>	<b>240,721</b>	<b>219,514</b>	<b>207,406</b>	<b>212,406</b>	<b>212,406</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Environmental Conservation, Department of	2,491	2,431	2,524	1,699	1,468	1,199
Parks, Recreation and Historic Preservation, Office of	7,087	6,502	4,290	3,950	3,950	3,950
<b>Functional Total</b>	<b>9,578</b>	<b>8,933</b>	<b>6,814</b>	<b>5,649</b>	<b>5,418</b>	<b>5,149</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	1,600	375	0	0	0	0
Transportation, Department of	5,023,364	3,937,827	3,548,880	3,849,112	4,046,773	4,296,288
<b>Functional Total</b>	<b>5,024,964</b>	<b>3,938,202</b>	<b>3,548,880</b>	<b>3,849,112</b>	<b>4,046,773</b>	<b>4,296,288</b>
<b>HEALTH</b>						
Aging, Office for the	124,180	130,141	144,741	146,586	151,768	157,079
Health, Department of	20,687,849	21,614,156	22,944,579	24,071,888	25,010,270	25,890,524
<i>Medical Assistance</i>	18,857,443	19,528,919	20,762,718	21,880,199	22,722,005	23,600,658
<i>Medicaid Administration</i>	286,336	503,108	606,198	446,502	432,297	418,613
<i>Public Health</i>	1,544,070	1,582,129	1,575,663	1,745,187	1,855,968	1,871,253
<b>Functional Total</b>	<b>20,812,029</b>	<b>21,744,297</b>	<b>23,089,320</b>	<b>24,218,474</b>	<b>25,162,038</b>	<b>26,047,603</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	1,608,134	1,659,179	1,530,456	1,606,788	1,660,719	1,661,302
<i>OCFS</i>	1,530,772	1,587,065	1,449,658	1,514,810	1,564,577	1,565,160
<i>OCFS - Other</i>	77,362	72,114	80,798	91,978	96,142	96,142
Housing and Community Renewal, Division of	4,410	10,178	31,859	47,114	47,789	47,789
Labor, Department of	12,666	15,469	18,165	5,150	5,150	5,150
National and Community Service	477	270	432	432	432	432
Temporary and Disability Assistance, Office of	1,229,518	1,139,031	1,339,932	1,351,362	1,355,162	1,357,962
<i>Welfare Assistance</i>	1,137,324	1,037,938	1,221,736	1,232,366	1,232,366	1,232,366
<i>All Other</i>	92,194	101,093	118,196	118,996	122,796	125,596
<b>Functional Total</b>	<b>2,855,205</b>	<b>2,824,127</b>	<b>2,920,844</b>	<b>3,010,846</b>	<b>3,069,252</b>	<b>3,072,635</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	322,661	348,851	370,809	378,553	393,258	411,861
<i>OASAS</i>	301,336	327,526	349,484	357,228	371,933	390,536
<i>OASAS - Other</i>	21,325	21,325	21,325	21,325	21,325	21,325
Justice Center	619	679	649	649	649	649
Mental Health, Office of	1,181,309	1,281,804	1,151,798	1,224,603	1,291,988	1,358,642
<i>OMH</i>	915,920	1,002,843	1,074,560	1,142,351	1,198,267	1,256,678
<i>OMH - Other</i>	265,389	278,961	77,238	82,252	93,721	101,964
People with Developmental Disabilities, Office for	845,938	518,456	465,894	877,753	787,154	959,643
<i>OPWDD</i>	407,275	387,306	362,591	347,451	357,628	368,490
<i>OPWDD - Other</i>	438,663	131,150	103,303	530,302	429,526	591,153
<b>Functional Total</b>	<b>2,350,527</b>	<b>2,149,790</b>	<b>1,989,150</b>	<b>2,481,558</b>	<b>2,473,049</b>	<b>2,730,795</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correctional Services, Department of	5,686	3,435	5,016	4,836	4,836	4,836
Corrections and Community Supervision Medicaid, Department of	0	0	2,000	2,000	0	0
Criminal Justice Services, Division of	156,618	181,941	176,781	166,433	166,433	166,433
Homeland Security and Emergency Services, Division of	30,642	49,327	38,141	91,542	100,839	102,925
Indigent Legal Services, Office of	72,102	83,765	144,810	204,810	254,810	304,810
Military and Naval Affairs, Division of	819	885	820	820	886	904
Victim Services, Office of	20,728	24,865	30,744	30,744	31,398	31,398
<b>Functional Total</b>	<b>286,595</b>	<b>344,218</b>	<b>398,312</b>	<b>501,185</b>	<b>559,202</b>	<b>611,306</b>
<b>HIGHER EDUCATION</b>						
City University of New York	1,465,153	1,508,007	1,536,842	1,577,439	1,610,889	1,644,883
Higher Education Services Corporation, New York State	879,322	984,334	955,624	881,387	890,954	893,488
State University of New York	488,783	487,744	482,766	486,767	486,767	485,767
<b>Functional Total</b>	<b>2,833,258</b>	<b>2,980,085</b>	<b>2,975,232</b>	<b>2,945,593</b>	<b>2,988,610</b>	<b>3,024,138</b>
<b>EDUCATION</b>						
Arts, Council on the	34,950	39,248	41,033	40,933	40,933	40,933
Education, Department of	30,193,388	30,969,215	31,992,094	32,972,244	34,120,708	35,312,724
<i>School Aid</i>	25,396,252	26,250,356	27,336,720	28,416,179	29,590,135	30,806,882
<i>School Aid - Other</i>	61,169	152,867	78,195	78,195	78,195	78,195
<i>STAR Property Tax Relief</i>	2,589,145	2,423,112	2,175,995	2,073,116	1,979,457	1,857,985
<i>Special Education Categorical Programs</i>	1,263,705	1,290,608	1,350,637	1,418,221	1,484,066	1,551,451
<i>All Other</i>	883,117	852,272	1,050,547	986,533	988,855	1,018,211
<b>Functional Total</b>	<b>30,228,338</b>	<b>31,008,463</b>	<b>32,033,127</b>	<b>33,013,177</b>	<b>34,161,641</b>	<b>35,353,657</b>
<b>GENERAL GOVERNMENT</b>						
Civil Service, Department of	0	567	2,000	0	0	0
Elections, State Board of	50	5	0	0	0	0
Gaming Commission, New York State	65,779	69,013	199,668	121,950	122,950	121,950

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
LOCAL ASSISTANCE  
(thousands of dollars)**

	<b>FY 2018 Results</b>	<b>FY 2019 Results</b>	<b>FY 2020 Updated</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>	<b>FY 2023 Projected</b>
Prevention of Domestic Violence, Office for State, Department of	791	1,260	1,435	1,385	1,412	1,412
Taxation and Finance, Department of	10,035	13,928	30,287	5,537	5,537	5,537
Veterans' Services, Division of	1,542	2,435	2,726	2,726	2,726	2,726
<b>Functional Total</b>	<u>86,103</u>	<u>97,707</u>	<u>245,601</u>	<u>139,438</u>	<u>140,465</u>	<u>139,465</u>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of Judiciary	32,025	32,025	32,024	32,024	32,024	32,024
<b>Functional Total</b>	<u>114,224</u>	<u>101,561</u>	<u>114,900</u>	<u>129,901</u>	<u>129,901</u>	<u>129,901</u>
<b>Functional Total</b>	<u>146,249</u>	<u>133,586</u>	<u>146,924</u>	<u>161,925</u>	<u>161,925</u>	<u>161,925</u>
<b>LOCAL GOVERNMENT ASSISTANCE</b>						
Aid and Incentives for Municipalities	721,789	721,732	671,208	704,192	704,192	704,192
County-Wide Shared Services Initiative	0	0	40,000	65,000	60,000	60,000
Efficiency Incentive Grants Program	348	0	0	0	0	0
Miscellaneous Financial Assistance	9,270	12,001	11,998	2,250	2,250	2,250
Municipalities with VLT Facilities	28,885	28,885	28,885	28,885	28,885	28,885
Small Government Assistance	217	217	218	218	218	218
<b>Functional Total</b>	<u>760,509</u>	<u>762,835</u>	<u>752,309</u>	<u>800,545</u>	<u>795,545</u>	<u>795,545</u>
<b>ALL OTHER CATEGORIES</b>						
Miscellaneous	(56,833)	(56,188)	135,848	139,741	139,741	128,741
<b>Functional Total</b>	<u>(56,833)</u>	<u>(56,188)</u>	<u>135,848</u>	<u>139,741</u>	<u>139,741</u>	<u>128,741</u>
<b>TOTAL LOCAL ASSISTANCE SPENDING</b>	<u>65,603,947</u>	<u>66,176,776</u>	<u>68,461,875</u>	<u>71,474,649</u>	<u>73,916,065</u>	<u>76,579,653</u>

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
STATE OPERATIONS  
(thousands of dollars)**

	<b>FY 2018 Results</b>	<b>FY 2019 Results</b>	<b>FY 2020 Updated</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>	<b>FY 2023 Projected</b>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	37,697	37,473	38,822	40,502	54,432	54,232
Alcoholic Beverage Control, Division of	11,819	11,546	11,275	11,560	11,244	11,244
Economic Development, Department of	19,405	17,810	17,291	17,291	17,291	17,291
Financial Services, Department of	209,379	215,165	208,699	214,981	208,844	208,844
Olympic Regional Development Authority	2,736	8,784	8,676	8,676	8,676	8,676
Public Service Department	52,384	52,432	52,311	53,016	50,850	49,470
<b>Functional Total</b>	<b>333,420</b>	<b>343,210</b>	<b>337,074</b>	<b>346,026</b>	<b>351,337</b>	<b>349,757</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Adirondack Park Agency	4,468	4,297	4,728	4,714	4,556	4,556
Environmental Conservation, Department of	212,775	210,770	202,477	214,562	209,617	209,716
Parks, Recreation and Historic Preservation, Office of	168,983	176,384	160,242	165,744	162,374	162,374
<b>Functional Total</b>	<b>386,226</b>	<b>391,451</b>	<b>367,447</b>	<b>385,020</b>	<b>376,547</b>	<b>376,646</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	49,142	59,189	59,535	60,566	60,247	60,247
Transportation, Department of	9,139	300,619	341,898	337,037	341,822	341,822
<b>Functional Total</b>	<b>58,281</b>	<b>359,808</b>	<b>401,433</b>	<b>397,603</b>	<b>402,069</b>	<b>402,069</b>
<b>HEALTH</b>						
Aging, Office for the	1,200	1,230	1,963	2,006	1,963	1,963
Health, Department of	741,299	727,514	804,922	800,078	797,807	790,495
<i>Essential Plan</i>	87,638	76,580	84,224	78,757	76,397	72,453
<i>Medicaid Administration</i>	297,453	261,296	272,759	269,640	271,882	270,482
<i>Public Health</i>	356,208	389,638	447,939	451,681	449,528	447,560
Medicaid Inspector General, Office of the	18,282	18,116	18,072	18,679	18,072	18,072
<b>Functional Total</b>	<b>760,781</b>	<b>746,860</b>	<b>824,957</b>	<b>820,763</b>	<b>817,842</b>	<b>810,530</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	241,724	260,874	262,176	380,994	383,651	383,651
<i>OCFS</i>	241,724	260,874	262,176	380,994	383,651	383,651
Housing and Community Renewal, Division of	52,210	51,137	57,666	60,918	59,500	59,500
Human Rights, Division of	9,465	9,993	9,921	10,590	10,230	10,230
Labor, Department of	49,642	49,768	46,519	49,256	48,280	48,280
National and Community Service	293	290	340	349	349	349
Temporary and Disability Assistance, Office of	122,874	127,470	133,115	137,575	140,295	140,295
<i>All Other</i>	122,874	127,470	133,115	137,575	140,295	140,295
<b>Functional Total</b>	<b>476,208</b>	<b>499,532</b>	<b>509,737</b>	<b>639,682</b>	<b>642,305</b>	<b>642,305</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	81,472	86,968	86,773	87,938	88,310	89,189
<i>OASAS</i>	31,964	34,444	42,089	41,812	41,457	41,942
<i>OASAS - Other</i>	49,508	52,524	44,684	46,126	46,853	47,247
Justice Center	38,868	41,652	42,381	43,900	43,241	43,846
Mental Health, Office of	1,350,460	1,344,656	1,369,951	1,399,022	1,435,317	1,464,824
<i>OMH</i>	349,973	331,100	368,409	365,817	375,172	384,455
<i>OMH - Other</i>	1,000,487	1,013,556	1,001,542	1,033,205	1,060,145	1,080,369
Mental Hygiene, Department of	204	0	0	0	0	0
People with Developmental Disabilities, Office for	1,333,165	1,321,268	1,328,824	1,349,296	1,364,480	1,382,292
<i>OPWDD</i>	148	298	181	181	181	181
<i>OPWDD - Other</i>	1,333,017	1,320,970	1,328,643	1,349,115	1,364,299	1,382,111
<b>Functional Total</b>	<b>2,804,169</b>	<b>2,794,544</b>	<b>2,827,929</b>	<b>2,880,156</b>	<b>2,931,348</b>	<b>2,980,151</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correction, Commission of	2,594	2,541	2,651	2,773	2,735	2,735
Correctional Services, Department of	2,627,945	2,599,368	2,856,825	2,751,126	2,792,210	2,870,105
Criminal Justice Services, Division of	36,502	35,628	36,730	37,705	37,527	38,273
Homeland Security and Emergency Services, Division of	29,703	36,318	38,213	39,469	41,896	42,736
Indigent Legal Services, Office of	2,328	2,838	4,044	4,110	4,108	4,123
Judicial Conduct, Commission on	5,552	5,423	6,038	5,936	6,114	6,220
Judicial Nomination, Commission on	10	0	30	30	30	30
Judicial Screening Committees, New York State	14	7	38	38	38	38
Military and Naval Affairs, Division of	25,090	23,869	24,405	24,703	25,274	25,714
State Police, Division of	741,934	717,416	790,059	809,568	807,498	826,950
Statewide Financial System	30,137	30,520	30,506	30,949	31,117	31,732
Victim Services, Office of	3,714	3,508	3,951	4,068	4,030	4,030
<b>Functional Total</b>	<b>3,505,523</b>	<b>3,457,436</b>	<b>3,793,490</b>	<b>3,710,475</b>	<b>3,752,577</b>	<b>3,852,686</b>
<b>HIGHER EDUCATION</b>						
City University of New York	102,720	96,018	96,477	97,406	98,346	99,294
Higher Education - Miscellaneous	256	340	291	291	291	291
Higher Education Services Corporation, New York State	41,751	39,219	43,274	43,274	43,274	43,274
State University of New York	6,080,264	6,000,806	6,214,435	6,468,549	6,555,201	6,760,705
<b>Functional Total</b>	<b>6,224,991</b>	<b>6,136,383</b>	<b>6,354,477</b>	<b>6,609,520</b>	<b>6,697,112</b>	<b>6,903,564</b>
<b>EDUCATION</b>						
Arts, Council on the	4,260	4,266	4,320	4,416	4,320	4,320
Education, Department of	144,872	138,074	141,897	143,959	140,749	140,726
<i>All Other</i>	144,872	138,074	141,897	143,959	140,749	140,726
<b>Functional Total</b>	<b>149,132</b>	<b>142,340</b>	<b>146,217</b>	<b>148,375</b>	<b>145,069</b>	<b>145,046</b>



**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
STATE OPERATIONS  
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
<b>GENERAL GOVERNMENT</b>						
Budget, Division of the	26,600	25,218	28,476	28,886	27,939	27,939
Civil Service, Department of	14,795	15,422	14,120	14,604	14,649	14,666
Deferred Compensation Board	451	474	648	649	648	648
Elections, State Board of	7,763	8,616	10,147	10,583	10,054	9,428
Employee Relations, Office of	3,569	2,097	6,444	6,542	6,444	6,445
Gaming Commission, New York State	94,531	67,292	68,158	74,685	73,158	73,158
General Services, Office of	143,571	141,462	104,480	103,281	101,891	103,508
Inspector General, Office of the	7,166	6,844	7,487	7,826	8,061	8,210
Labor Management Committees	24,773	29,699	31,306	26,517	26,306	26,306
Prevention of Domestic Violence, Office for	1,558	1,556	1,664	1,736	1,647	1,647
Public Employment Relations Board	3,260	3,430	3,634	3,764	3,634	3,634
Public Integrity, Commission on	5,115	5,223	5,630	5,835	6,010	6,119
State, Department of	43,799	42,005	40,088	41,180	40,068	40,068
Tax Appeals, Division of	2,688	2,820	3,040	3,150	3,040	3,040
Taxation and Finance, Department of	321,015	312,384	339,887	350,796	339,845	339,278
Technology, Office for	535,977	544,541	540,316	550,762	557,318	568,476
Veterans' Services, Division of	5,885	5,720	6,236	6,482	6,417	6,417
Welfare Inspector General, Office of	671	629	701	731	753	768
Workers' Compensation Board	140,971	141,918	143,219	148,002	143,219	143,219
<b>Functional Total</b>	<u>1,384,158</u>	<u>1,357,350</u>	<u>1,355,681</u>	<u>1,386,011</u>	<u>1,371,101</u>	<u>1,382,974</u>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	146,719	148,498	157,449	160,113	157,449	157,449
Executive Chamber	13,560	12,673	13,578	14,032	13,578	13,578
Judiciary	2,080,909	2,168,280	2,165,700	2,222,700	2,165,700	2,166,000
Law, Department of	183,513	187,295	182,504	187,099	182,504	182,504
Legislature	223,372	223,573	242,215	249,056	255,949	256,002
Lieutenant Governor, Office of the	492	530	614	634	614	614
<b>Functional Total</b>	<u>2,648,565</u>	<u>2,740,849</u>	<u>2,762,060</u>	<u>2,833,634</u>	<u>2,775,794</u>	<u>2,776,147</u>
<b>LOCAL GOVERNMENT ASSISTANCE</b>						
Aid and Incentives for Municipalities	131	247	0	0	0	0
<b>Functional Total</b>	<u>131</u>	<u>247</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>ALL OTHER CATEGORIES</b>						
Long-Term Debt Service	41,887	37,699	45,706	44,359	44,359	44,359
Miscellaneous	47,660	49,862	27,001	27,056	27,056	27,056
<b>Functional Total</b>	<u>89,547</u>	<u>87,561</u>	<u>72,707</u>	<u>71,415</u>	<u>71,415</u>	<u>71,415</u>
<b>TOTAL STATE OPERATIONS SPENDING</b>	<u><u>18,821,132</u></u>	<u><u>19,057,571</u></u>	<u><u>19,753,209</u></u>	<u><u>20,228,680</u></u>	<u><u>20,334,516</u></u>	<u><u>20,693,290</u></u>

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
PERSONAL SERVICE  
(thousands of dollars)**

	<b>FY 2018 Results</b>	<b>FY 2019 Results</b>	<b>FY 2020 Updated</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>	<b>FY 2023 Projected</b>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	30,802	30,255	29,878	31,503	30,613	30,613
Alcoholic Beverage Control, Division of	8,131	8,166	8,316	8,901	8,585	8,585
Economic Development, Department of	13,243	13,090	12,929	12,929	12,929	12,929
Financial Services, Department of	154,146	156,895	153,752	159,736	153,893	153,893
Olympic Regional Development Authority	2,548	5,595	5,338	5,338	5,338	5,338
Public Service Department	42,867	42,628	41,745	43,683	42,085	42,098
<b>Functional Total</b>	<b>251,737</b>	<b>256,629</b>	<b>251,958</b>	<b>262,090</b>	<b>253,443</b>	<b>253,456</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Adirondack Park Agency	4,179	3,950	4,401	4,401	4,243	4,243
Environmental Conservation, Department of	175,514	174,576	165,708	174,218	169,353	169,404
Parks, Recreation and Historic Preservation, Office of	130,555	133,979	125,562	131,883	128,513	128,513
<b>Functional Total</b>	<b>310,248</b>	<b>312,505</b>	<b>295,671</b>	<b>310,502</b>	<b>302,109</b>	<b>302,160</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	36,244	43,766	43,263	44,207	44,497	44,497
Transportation, Department of	2,803	156,307	162,384	162,506	162,396	162,396
<b>Functional Total</b>	<b>39,047</b>	<b>200,073</b>	<b>205,647</b>	<b>206,713</b>	<b>206,893</b>	<b>206,893</b>
<b>HEALTH</b>						
Aging, Office for the	1,103	1,125	1,856	1,899	1,856	1,856
Health, Department of	252,883	266,914	292,219	298,724	293,842	294,046
<i>Essential Plan</i>	3,148	3,233	4,580	4,418	4,384	4,487
<i>Medicaid Administration</i>	37,196	37,949	45,288	48,331	46,840	46,756
<i>Public Health</i>	212,539	225,732	242,351	245,975	242,618	242,803
Medicaid Inspector General, Office of the	15,864	15,204	15,624	16,231	15,624	15,624
<b>Functional Total</b>	<b>269,850</b>	<b>283,243</b>	<b>309,699</b>	<b>316,854</b>	<b>311,322</b>	<b>311,526</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	170,908	176,225	172,018	266,881	266,990	266,990
<i>OCFS</i>	170,908	176,225	172,018	266,881	266,990	266,990
Housing and Community Renewal, Division of	41,535	41,155	47,298	50,120	48,544	48,544
Human Rights, Division of	8,662	8,934	9,461	10,121	9,752	9,752
Labor, Department of	34,323	33,501	32,618	34,864	33,620	33,620
National and Community Service	292	288	331	340	340	340
Temporary and Disability Assistance, Office of	64,895	68,003	73,244	76,580	78,130	78,130
<i>All Other</i>	64,895	68,003	73,244	76,580	78,130	78,130
<b>Functional Total</b>	<b>320,615</b>	<b>328,106</b>	<b>334,970</b>	<b>438,906</b>	<b>437,376</b>	<b>437,376</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	56,428	60,203	60,604	62,406	62,052	62,686
<i>OASAS</i>	20,354	22,378	27,023	27,809	27,160	27,438
<i>OASAS - Other</i>	36,074	37,825	33,581	34,597	34,892	35,248
Justice Center	31,243	32,560	34,168	35,425	34,495	34,821
Mental Health, Office of	1,070,401	1,086,720	1,108,906	1,126,628	1,139,780	1,155,946
<i>OMH</i>	287,281	268,077	323,979	320,987	329,004	336,792
<i>OMH - Other</i>	783,120	818,643	784,927	805,641	810,776	819,154
People with Developmental Disabilities, Office for	1,129,874	1,142,584	1,147,023	1,162,291	1,172,066	1,184,331
<i>OPWDD</i>	124	262	0	0	0	0
<i>OPWDD - Other</i>	1,129,750	1,142,322	1,147,023	1,162,291	1,172,066	1,184,331
<b>Functional Total</b>	<b>2,287,946</b>	<b>2,322,067</b>	<b>2,350,701</b>	<b>2,386,750</b>	<b>2,408,393</b>	<b>2,437,784</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correction, Commission of	2,316	2,276	2,414	2,531	2,488	2,488
Correctional Services, Department of	2,098,499	2,111,244	2,355,041	2,253,648	2,299,931	2,374,480
Criminal Justice Services, Division of	26,993	28,281	27,502	28,477	28,033	28,547
Homeland Security and Emergency Services, Division of	16,362	20,611	29,610	30,856	32,215	32,774
Indigent Legal Services, Office of	1,873	2,430	3,208	3,274	3,260	3,260
Judicial Conduct, Commission on	4,238	3,959	4,677	4,548	4,483	4,573
Military and Naval Affairs, Division of	15,083	14,432	14,402	14,700	14,776	15,073
State Police, Division of	677,367	657,453	729,830	749,270	745,128	763,744
Statewide Financial System	11,094	11,294	11,882	12,325	12,121	12,356
Victim Services, Office of	3,184	2,958	3,206	3,323	3,272	3,272
<b>Functional Total</b>	<b>2,857,009</b>	<b>2,854,938</b>	<b>3,181,772</b>	<b>3,102,952</b>	<b>3,145,707</b>	<b>3,240,567</b>
<b>HIGHER EDUCATION</b>						
City University of New York	52,623	47,274	48,594	49,045	49,501	49,961
Higher Education - Miscellaneous	169	232	198	198	198	198
Higher Education Services Corporation, New York State	13,380	12,337	12,916	12,916	12,916	12,916
State University of New York	3,753,286	3,952,903	4,192,185	4,411,408	4,460,781	4,617,493
<b>Functional Total</b>	<b>3,819,458</b>	<b>4,012,746</b>	<b>4,253,893</b>	<b>4,473,567</b>	<b>4,523,396</b>	<b>4,680,568</b>
<b>EDUCATION</b>						
Arts, Council on the	2,626	2,636	2,498	2,594	2,498	2,498
Education, Department of	90,372	88,514	84,363	87,672	84,298	84,264
<i>All Other</i>	90,372	88,514	84,363	87,672	84,298	84,264
<b>Functional Total</b>	<b>92,998</b>	<b>91,150</b>	<b>86,861</b>	<b>90,266</b>	<b>86,796</b>	<b>86,762</b>
<b>GENERAL GOVERNMENT</b>						
Budget, Division of the	21,683	21,921	24,567	25,511	24,567	24,567
Civil Service, Department of	14,053	15,062	13,658	14,142	13,752	13,752

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
PERSONAL SERVICE  
(thousands of dollars)**

	<b>FY 2018 Results</b>	<b>FY 2019 Results</b>	<b>FY 2020 Updated</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>	<b>FY 2023 Projected</b>
Deferred Compensation Board	408	424	413	414	413	413
Elections, State Board of	6,077	5,773	6,288	6,571	6,478	6,316
Employee Relations, Office of	2,515	2,040	6,248	6,346	6,248	6,248
Gaming Commission, New York State	34,862	35,418	38,687	40,178	38,687	38,687
General Services, Office of	67,107	61,158	26,270	29,695	27,278	27,278
Inspector General, Office of the	6,223	5,909	6,648	6,970	6,857	6,994
Labor Management Committees	7,747	8,618	10,487	5,698	5,487	5,487
Prevention of Domestic Violence, Office for	1,412	1,394	1,491	1,524	1,431	1,431
Public Employment Relations Board	3,059	3,175	3,338	3,468	3,338	3,338
Public Integrity, Commission on	4,386	4,516	4,681	4,867	4,827	4,924
State, Department of	29,257	28,629	26,754	27,842	26,754	26,754
Tax Appeals, Division of	2,541	2,513	2,870	2,980	2,870	2,870
Taxation and Finance, Department of	275,658	269,428	277,371	286,655	277,500	277,386
Technology, Office for	289,994	299,018	291,616	308,116	303,458	309,539
Veterans' Services, Division of	5,512	5,161	5,938	6,178	6,107	6,107
Welfare Inspector General, Office of	619	615	626	654	646	659
Workers' Compensation Board	85,117	82,890	84,892	89,608	84,892	84,892
<b>Functional Total</b>	<b>858,230</b>	<b>853,662</b>	<b>832,843</b>	<b>867,417</b>	<b>841,590</b>	<b>847,642</b>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	119,019	118,729	126,111	128,775	126,111	126,111
Executive Chamber	9,979	9,135	11,113	11,567	11,113	11,113
Judiciary	1,624,889	1,731,581	1,709,800	1,766,800	1,709,800	1,710,100
Law, Department of	132,481	134,904	129,145	133,741	129,145	129,145
Legislature	174,350	175,304	187,511	192,583	198,366	198,419
Lieutenant Governor, Office of the	382	414	523	543	523	523
<b>Functional Total</b>	<b>2,061,100</b>	<b>2,170,067</b>	<b>2,164,203</b>	<b>2,234,009</b>	<b>2,175,058</b>	<b>2,175,411</b>
<b>ALL OTHER CATEGORIES</b>						
Miscellaneous	2,194	2,132	(7,715)	(7,660)	(7,660)	(7,660)
<b>Functional Total</b>	<b>2,194</b>	<b>2,132</b>	<b>(7,715)</b>	<b>(7,660)</b>	<b>(7,660)</b>	<b>(7,660)</b>
<b>TOTAL PERSONAL SERVICE SPENDING</b>	<b>13,170,432</b>	<b>13,687,318</b>	<b>14,260,503</b>	<b>14,682,366</b>	<b>14,684,423</b>	<b>14,972,485</b>

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
NON-PERSONAL SERVICE/INDIRECT COSTS  
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	6,895	7,218	8,944	8,999	23,819	23,619
Alcoholic Beverage Control, Division of	3,688	3,380	2,959	2,659	2,659	2,659
Economic Development, Department of	6,162	4,720	4,362	4,362	4,362	4,362
Financial Services, Department of	55,233	58,270	54,947	55,245	54,951	54,951
Olympic Regional Development Authority	188	3,189	3,338	3,338	3,338	3,338
Public Service Department	9,517	9,804	10,566	9,333	8,765	7,372
<b>Functional Total</b>	<b>81,683</b>	<b>86,581</b>	<b>85,116</b>	<b>83,936</b>	<b>97,894</b>	<b>96,301</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Adirondack Park Agency	289	347	327	313	313	313
Environmental Conservation, Department of	37,261	36,194	36,769	40,344	40,264	40,312
Parks, Recreation and Historic Preservation, Office of	38,428	42,405	34,680	33,861	33,861	33,861
<b>Functional Total</b>	<b>75,978</b>	<b>78,946</b>	<b>71,776</b>	<b>74,518</b>	<b>74,438</b>	<b>74,486</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	12,898	15,423	16,272	16,359	15,750	15,750
Transportation, Department of	6,336	144,312	179,514	174,531	179,426	179,426
<b>Functional Total</b>	<b>19,234</b>	<b>159,735</b>	<b>195,786</b>	<b>190,890</b>	<b>195,176</b>	<b>195,176</b>
<b>HEALTH</b>						
Aging, Office for the	97	105	107	107	107	107
Health, Department of	488,416	460,600	512,703	501,354	503,965	496,449
<i>Essential Plan</i>	84,490	73,347	79,644	74,339	72,013	67,966
<i>Medicaid Administration</i>	260,257	223,347	227,471	221,309	225,042	223,726
<i>Public Health</i>	143,669	163,906	205,588	205,706	206,910	204,757
Medicaid Inspector General, Office of the	2,418	2,912	2,448	2,448	2,448	2,448
<b>Functional Total</b>	<b>490,931</b>	<b>463,617</b>	<b>515,258</b>	<b>503,909</b>	<b>506,520</b>	<b>499,004</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	70,816	84,649	90,158	114,113	116,661	116,661
<i>OCFS</i>	70,816	84,649	90,158	114,113	116,661	116,661
Housing and Community Renewal, Division of	10,675	9,982	10,368	10,798	10,956	10,956
Human Rights, Division of	803	1,059	460	469	478	478
Labor, Department of	15,319	16,267	13,901	14,392	14,660	14,660
National and Community Service	1	2	9	9	9	9
Temporary and Disability Assistance, Office of	57,979	59,467	59,871	60,995	62,165	62,165
<i>All Other</i>	57,979	59,467	59,871	60,995	62,165	62,165
<b>Functional Total</b>	<b>155,593</b>	<b>171,426</b>	<b>174,767</b>	<b>200,776</b>	<b>204,929</b>	<b>204,929</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	25,044	26,765	26,169	25,532	26,258	26,503
<i>OASAS</i>	11,610	12,066	15,066	14,003	14,297	14,504
<i>OASAS - Other</i>	13,434	14,699	11,103	11,529	11,961	11,999
Justice Center	7,625	9,092	8,213	8,475	8,746	9,025
Mental Health, Office of	280,059	257,936	261,045	272,394	295,537	308,878
<i>OMH</i>	62,692	63,023	44,430	44,830	46,168	47,663
<i>OMH - Other</i>	217,367	194,913	216,615	227,564	249,369	261,215
Mental Hygiene, Department of	204	0	0	0	0	0
People with Developmental Disabilities, Office for	203,291	178,684	181,801	187,005	192,414	197,961
<i>OPWDD</i>	24	36	181	181	181	181
<i>OPWDD - Other</i>	203,267	178,648	181,620	186,824	192,233	197,780
<b>Functional Total</b>	<b>516,223</b>	<b>472,477</b>	<b>477,228</b>	<b>493,406</b>	<b>522,955</b>	<b>542,367</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correction, Commission of	278	265	237	242	247	247
Correctional Services, Department of	529,446	488,124	501,784	497,478	492,279	495,625
Criminal Justice Services, Division of	9,509	7,347	9,228	9,228	9,494	9,726
Homeland Security and Emergency Services, Division of	13,341	15,707	8,603	8,613	9,681	9,962
Indigent Legal Services, Office of	455	408	836	836	848	863
Judicial Conduct, Commission on	1,314	1,464	1,361	1,388	1,631	1,647
Judicial Nomination, Commission on	10	0	30	30	30	30
Judicial Screening Committees, New York State	14	7	38	38	38	38
Military and Naval Affairs, Division of	10,007	9,437	10,003	10,003	10,498	10,641
State Police, Division of	64,567	59,963	60,229	60,298	62,370	63,206
Statewide Financial System	19,043	19,226	18,624	18,624	18,996	19,376
Victim Services, Office of	530	550	745	745	758	758
<b>Functional Total</b>	<b>648,514</b>	<b>602,498</b>	<b>611,718</b>	<b>607,523</b>	<b>606,870</b>	<b>612,119</b>
<b>HIGHER EDUCATION</b>						
City University of New York	50,097	48,744	47,883	48,361	48,845	49,333
Higher Education - Miscellaneous	87	108	93	93	93	93
Higher Education Services Corporation, New York State	28,371	26,882	30,358	30,358	30,358	30,358
State University of New York	2,326,978	2,047,903	2,022,250	2,057,141	2,094,420	2,143,212
<b>Functional Total</b>	<b>2,405,533</b>	<b>2,123,637</b>	<b>2,100,584</b>	<b>2,135,953</b>	<b>2,173,716</b>	<b>2,222,996</b>
<b>EDUCATION</b>						
Arts, Council on the	1,634	1,630	1,822	1,822	1,822	1,822
Education, Department of	54,500	49,560	57,534	56,287	56,451	56,462
<i>All Other</i>	54,500	49,560	57,534	56,287	56,451	56,462
<b>Functional Total</b>	<b>56,134</b>	<b>51,190</b>	<b>59,356</b>	<b>58,109</b>	<b>58,273</b>	<b>58,284</b>

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
NON-PERSONAL SERVICE/INDIRECT COSTS  
(thousands of dollars)**

	<b>FY 2018 Results</b>	<b>FY 2019 Results</b>	<b>FY 2020 Updated</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>	<b>FY 2023 Projected</b>
<b>GENERAL GOVERNMENT</b>						
Budget, Division of the	4,917	3,297	3,909	3,375	3,372	3,372
Civil Service, Department of	742	360	462	462	897	914
Deferred Compensation Board	43	50	235	235	235	235
Elections, State Board of	1,686	2,843	3,859	4,012	3,576	3,112
Employee Relations, Office of	1,054	57	196	196	196	197
Gaming Commission, New York State	59,669	31,874	29,471	34,507	34,471	34,471
General Services, Office of	76,464	80,304	78,210	73,586	74,613	76,230
Inspector General, Office of the	943	935	839	856	1,204	1,216
Labor Management Committees	17,026	21,081	20,819	20,819	20,819	20,819
Prevention of Domestic Violence, Office for	146	162	173	212	216	216
Public Employment Relations Board	201	255	296	296	296	296
Public Integrity, Commission on	729	707	949	968	1,183	1,195
State, Department of	14,542	13,376	13,334	13,338	13,314	13,314
Tax Appeals, Division of	147	307	170	170	170	170
Taxation and Finance, Department of	45,357	42,956	62,516	64,141	62,345	61,892
Technology, Office for	245,983	245,523	248,700	242,646	253,860	258,937
Veterans' Services, Division of	373	559	298	304	310	310
Welfare Inspector General, Office of	52	14	75	77	107	109
Workers' Compensation Board	55,854	59,028	58,327	58,394	58,327	58,327
<b>Functional Total</b>	<b>525,928</b>	<b>503,688</b>	<b>522,838</b>	<b>518,594</b>	<b>529,511</b>	<b>535,332</b>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	27,700	29,769	31,338	31,338	31,338	31,338
Executive Chamber	3,581	3,538	2,465	2,465	2,465	2,465
Judiciary	456,020	436,699	455,900	455,900	455,900	455,900
Law, Department of	51,032	52,391	53,359	53,358	53,359	53,359
Legislature	49,022	48,269	54,704	56,473	57,583	57,583
Lieutenant Governor, Office of the	110	116	91	91	91	91
<b>Functional Total</b>	<b>587,465</b>	<b>570,782</b>	<b>597,857</b>	<b>599,625</b>	<b>600,736</b>	<b>600,736</b>
<b>LOCAL GOVERNMENT ASSISTANCE</b>						
Aid and Incentives for Municipalities	131	247	0	0	0	0
<b>Functional Total</b>	<b>131</b>	<b>247</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>ALL OTHER CATEGORIES</b>						
Long-Term Debt Service	41,887	37,699	45,706	44,359	44,359	44,359
Miscellaneous	45,466	47,730	34,716	34,716	34,716	34,716
<b>Functional Total</b>	<b>87,353</b>	<b>85,429</b>	<b>80,422</b>	<b>79,075</b>	<b>79,075</b>	<b>79,075</b>
<b>TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING</b>	<b>5,650,700</b>	<b>5,370,253</b>	<b>5,492,706</b>	<b>5,546,314</b>	<b>5,650,093</b>	<b>5,720,805</b>

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
GENERAL STATE CHARGES  
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	1,255	3,082	1,893	1,993	1,959	1,994
Economic Development, Department of	2	0	28	28	28	28
Financial Services, Department of	90,924	113,519	101,824	114,477	114,251	114,251
Olympic Regional Development Authority	0	31	0	0	0	0
Public Service Department	32,395	22,783	27,788	29,526	28,974	29,483
<b>Functional Total</b>	<b>124,576</b>	<b>139,415</b>	<b>131,533</b>	<b>146,024</b>	<b>145,212</b>	<b>145,756</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Environmental Conservation, Department of	45,573	62,434	47,709	49,877	48,370	49,232
Parks, Recreation and Historic Preservation, Office of	3,264	3,657	1,960	2,007	1,941	1,941
<b>Functional Total</b>	<b>48,837</b>	<b>66,091</b>	<b>49,669</b>	<b>51,884</b>	<b>50,311</b>	<b>51,173</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	20,986	28,312	24,118	25,963	26,615	26,615
Transportation, Department of	1,720	1,681	2,197	2,412	2,457	2,457
<b>Functional Total</b>	<b>22,706</b>	<b>29,993</b>	<b>26,315</b>	<b>28,375</b>	<b>29,072</b>	<b>29,072</b>
<b>HEALTH</b>						
Health, Department of	31,713	34,922	39,165	40,921	42,241	42,351
<i>Medicaid Administration</i>	0	0	581	581	581	581
<i>Public Health</i>	31,713	34,922	38,584	40,340	41,660	41,770
<b>Functional Total</b>	<b>31,713</b>	<b>34,922</b>	<b>39,165</b>	<b>40,921</b>	<b>42,241</b>	<b>42,351</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	1,658	2,243	2,131	2,250	2,266	2,266
<i>OCFS</i>	1,658	2,243	2,131	2,250	2,266	2,266
Housing and Community Renewal, Division of	16,045	21,908	25,921	25,921	29,150	29,150
Labor, Department of	20,895	25,876	20,487	20,487	23,005	23,005
Temporary and Disability Assistance, Office of	12	36	128	128	128	128
<i>All Other</i>	12	36	128	128	128	128
<b>Functional Total</b>	<b>38,610</b>	<b>50,063</b>	<b>48,667</b>	<b>48,786</b>	<b>54,549</b>	<b>54,549</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	36,956	0	0	0	0	0
<i>OASAS</i>	14,792	0	0	0	0	0
<i>OASAS - Other</i>	22,164	0	0	0	0	0
Justice Center	649	1,220	898	924	948	973
Mental Health, Office of	650,727	(44,657)	0	0	0	0
<i>OMH</i>	193,397	(10,681)	0	0	0	0
<i>OMH - Other</i>	457,330	(33,976)	0	0	0	0
People with Developmental Disabilities, Office for	683,801	0	0	0	0	0
<i>OPWDD - Other</i>	683,801	0	0	0	0	0
<b>Functional Total</b>	<b>1,372,133</b>	<b>(43,437)</b>	<b>898</b>	<b>924</b>	<b>948</b>	<b>973</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correctional Services, Department of	117	191	106	106	106	106
Criminal Justice Services, Division of	35	0	35	35	35	36
Homeland Security and Emergency Services, Division of	615	763	817	835	905	905
Indigent Legal Services, Office of	1,069	1,851	2,046	2,160	2,282	2,282
Military and Naval Affairs, Division of	6	214	9	9	10	10
State Police, Division of	14,072	45,763	28,410	28,953	29,323	29,523
Victim Services, Office of	1,638	1,894	1,650	1,650	1,683	1,683
<b>Functional Total</b>	<b>17,552</b>	<b>50,676</b>	<b>33,073</b>	<b>33,748</b>	<b>34,344</b>	<b>34,545</b>
<b>HIGHER EDUCATION</b>						
City University of New York	145	129	150	150	150	150
Higher Education - Miscellaneous	81	200	150	150	150	150
Higher Education Services Corporation, New York State	8,131	9,807	9,020	9,020	9,020	9,020
State University of New York	430,860	522,524	538,059	567,030	582,193	609,523
<b>Functional Total</b>	<b>439,217</b>	<b>532,660</b>	<b>547,379</b>	<b>576,350</b>	<b>591,513</b>	<b>618,843</b>
<b>EDUCATION</b>						
Education, Department of	34,543	43,214	35,177	37,302	36,349	36,949
<i>All Other</i>	34,543	43,214	35,177	37,302	36,349	36,949
<b>Functional Total</b>	<b>34,543</b>	<b>43,214</b>	<b>35,177</b>	<b>37,302</b>	<b>36,349</b>	<b>36,949</b>
<b>GENERAL GOVERNMENT</b>						
Budget, Division of the	712	907	1,657	1,717	1,657	1,657
Civil Service, Department of	180	139	231	244	241	245
Deferred Compensation Board	224	302	243	247	252	256
Gaming Commission, New York State	16,540	22,754	17,575	18,465	17,575	17,575
General Services, Office of	369	538	548	583	575	585
Labor Management Committees	5,857	5,821	5,000	5,000	5,000	5,000
State, Department of	10,433	15,448	12,924	14,161	14,439	14,456
Taxation and Finance, Department of	22,635	5,667	26,309	27,567	26,391	26,318
Workers' Compensation Board	52,395	56,568	53,220	57,863	53,220	53,220
<b>Functional Total</b>	<b>109,345</b>	<b>108,144</b>	<b>117,707</b>	<b>125,847</b>	<b>119,350</b>	<b>119,312</b>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	1,677	2,039	2,197	2,197	2,197	2,197
Judiciary	750,196	787,202	814,600	814,600	814,600	814,600

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
GENERAL STATE CHARGES  
(thousands of dollars)**

	<b>FY 2018 Results</b>	<b>FY 2019 Results</b>	<b>FY 2020 Updated</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>	<b>FY 2023 Projected</b>
Law, Department of	16,578	26,417	19,799	20,605	19,799	19,799
<b>Functional Total</b>	<u>768,451</u>	<u>815,658</u>	<u>836,596</u>	<u>837,402</u>	<u>836,596</u>	<u>836,596</u>
<b>ALL OTHER CATEGORIES</b>						
General State Charges	4,842,815	6,373,456	6,894,907	7,438,282	8,003,887	8,563,970
Miscellaneous	2,588	2,969	5,770	5,782	5,787	5,797
<b>Functional Total</b>	<u>4,845,403</u>	<u>6,376,425</u>	<u>6,900,677</u>	<u>7,444,064</u>	<u>8,009,674</u>	<u>8,569,767</u>
<b>TOTAL GENERAL STATE CHARGES SPENDING</b>	<u><u>7,853,086</u></u>	<u><u>8,203,824</u></u>	<u><u>8,766,856</u></u>	<u><u>9,371,627</u></u>	<u><u>9,950,159</u></u>	<u><u>10,539,886</u></u>

**CASH DISBURSEMENTS BY FUNCTION  
CAPITAL PROJECTS FUNDS  
(thousands of dollars)**

	<b>FY 2018 Results</b>	<b>FY 2019 Results</b>	<b>FY 2020 Updated</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>	<b>FY 2023 Projected</b>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	8,264	51,753	12,362	14,265	9,330	5,915
Economic Development Capital	2,751	1,777	20,270	19,569	29,069	29,069
Economic Development, Department of	8,964	12,821	0	5,249	0	0
Empire State Development Corporation	1,082,775	1,241,807	1,723,632	1,911,577	1,906,205	1,714,429
Energy Research and Development Authority	17,109	20,828	20,450	15,720	14,001	13,000
Lake Ontario Resiliency/Economic Development	0	0	20,000	30,000	40,000	10,000
Olympic Regional Development Authority	38,000	42,700	70,000	30,000	10,000	10,000
Power Authority, New York	243	1,346	36,000	36,500	2,000	2,000
Regional Economic Development Program	2,030	2,938	320	320	320	320
Strategic Investment Program	1,625	2,888	6,317	10,494	6,317	6,317
<b>Functional Total</b>	<b>1,161,761</b>	<b>1,378,858</b>	<b>1,909,351</b>	<b>2,073,694</b>	<b>2,017,242</b>	<b>1,791,050</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Environmental Conservation, Department of	657,267	882,540	984,115	1,066,282	1,144,588	1,264,540
Hudson River Park Trust	0	0	5,000	15,000	15,000	12,000
Parks, Recreation and Historic Preservation, Office of	179,957	176,743	202,157	167,539	167,989	167,539
<b>Functional Total</b>	<b>837,224</b>	<b>1,059,283</b>	<b>1,191,272</b>	<b>1,248,821</b>	<b>1,327,577</b>	<b>1,444,079</b>
<b>TRANSPORTATION</b>						
Metropolitan Transportation Authority	65,000	739,514	644,487	385,856	0	0
Motor Vehicles, Department of	221,992	227,603	238,276	249,696	226,511	211,527
Transportation, Department of	4,564,825	4,471,927	4,600,875	4,759,630	4,760,067	4,738,405
<b>Functional Total</b>	<b>4,851,817</b>	<b>5,439,044</b>	<b>5,483,638</b>	<b>5,395,182</b>	<b>4,986,578</b>	<b>4,949,932</b>
<b>HEALTH</b>						
Health, Department of	211,303	349,813	739,365	711,622	689,234	917,005
<i>Public Health</i>	211,303	349,813	739,365	711,622	689,234	917,005
<b>Functional Total</b>	<b>211,303</b>	<b>349,813</b>	<b>739,365</b>	<b>711,622</b>	<b>689,234</b>	<b>917,005</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	41,259	55,800	29,858	25,804	23,660	23,673
<i>OCFS</i>	41,259	55,800	29,858	25,804	23,660	23,673
Housing and Community Renewal, Division of	278,873	271,995	732,648	838,272	524,023	514,023
Nonprofit Infrastructure Capital Investment Program	6,951	30,751	44,500	16,049	5,000	0
Roosevelt Island Operating Corporation	0	25,028	0	0	0	0
Temporary and Disability Assistance, Office of	48,051	48,216	62,907	62,907	62,907	57,657
<i>All Other</i>	48,051	48,216	62,907	62,907	62,907	57,657
<b>Functional Total</b>	<b>375,134</b>	<b>431,790</b>	<b>869,913</b>	<b>943,032</b>	<b>615,590</b>	<b>595,353</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	39,927	53,646	87,886	91,444	57,592	65,804
<i>OASAS</i>	39,927	53,646	87,886	91,444	57,592	65,804
Mental Health, Office of	284,683	276,317	284,084	218,297	206,574	198,945
<i>OMH</i>	284,683	276,317	284,084	218,297	206,574	198,945
People with Developmental Disabilities, Office for	72,053	97,506	98,063	85,521	85,984	86,659
<i>OPWDD</i>	72,053	97,506	98,063	85,521	85,984	86,659
<b>Functional Total</b>	<b>396,663</b>	<b>427,469</b>	<b>470,033</b>	<b>395,262</b>	<b>350,150</b>	<b>351,408</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correctional Services, Department of	355,744	369,540	366,318	340,646	337,685	316,975
Homeland Security and Emergency Services, Division of	85,364	39,820	90,143	46,250	41,995	44,367
Military and Naval Affairs, Division of	63,307	75,582	118,263	61,344	52,244	41,607
State Police, Division of	72,903	47,327	47,500	55,853	54,688	47,538
<b>Functional Total</b>	<b>577,318</b>	<b>532,269</b>	<b>622,224</b>	<b>504,093</b>	<b>486,612</b>	<b>450,487</b>
<b>HIGHER EDUCATION</b>						
City University of New York	36,608	32,463	36,620	37,352	37,352	37,352
Higher Education Facilities Capital Matching Grants Program	4,425	8,769	11,875	15,750	12,650	14,150
State University of New York	940,056	941,297	993,516	1,010,693	1,014,973	1,019,973
<b>Functional Total</b>	<b>981,089</b>	<b>982,529</b>	<b>1,042,011</b>	<b>1,063,795</b>	<b>1,064,975</b>	<b>1,071,475</b>
<b>EDUCATION</b>						
Education, Department of	117,147	150,246	559,134	538,876	467,777	406,695
<i>School Aid</i>	91,584	108,198	430,000	400,000	400,000	310,000
<i>All Other</i>	25,563	42,048	129,134	138,876	67,777	96,695
<b>Functional Total</b>	<b>117,147</b>	<b>150,246</b>	<b>559,134</b>	<b>538,876</b>	<b>467,777</b>	<b>406,695</b>
<b>GENERAL GOVERNMENT</b>						
Elections, State Board of	0	0	14,700	0	0	0
General Services, Office of	128,849	154,965	231,662	251,749	154,517	124,129
State, Department of	1,233	7,655	12,000	20,000	45,000	67,000
Technology, Office for	102,831	70,350	114,118	68,948	34,451	16,453
Workers' Compensation Board	4,824	0	30,000	38,306	0	0
<b>Functional Total</b>	<b>237,737</b>	<b>232,970</b>	<b>402,480</b>	<b>379,003</b>	<b>233,968</b>	<b>207,582</b>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	0	2,298	3,124	1,000	0	0
Judiciary	13,943	10,373	28,175	3,500	0	0
Law, Department of	5,035	6,856	3,518	0	0	0
<b>Functional Total</b>	<b>18,978</b>	<b>19,527</b>	<b>34,817</b>	<b>4,500</b>	<b>0</b>	<b>0</b>
<b>ALL OTHER CATEGORIES</b>						



**CASH DISBURSEMENTS BY FUNCTION  
CAPITAL PROJECTS FUNDS  
(thousands of dollars)**

	<u>FY 2018 Results</u>	<u>FY 2019 Results</u>	<u>FY 2020 Updated</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>
Arts and Cultural Facilities Improvement	0	3,421	11,000	5,000	0	0
Miscellaneous	91,065	238,165	(406,282)	(370,343)	(434,000)	(335,188)
Special Infrastructure Account	783,139	1,019,587	1,114,126	683,961	201,924	181,074
<b>Functional Total</b>	<u>874,204</u>	<u>1,261,173</u>	<u>718,844</u>	<u>318,618</u>	<u>(232,076)</u>	<u>(154,114)</u>
<b>TOTAL CAPITAL PROJECTS FUNDS SPENDING</b>	<u>10,640,375</u>	<u>12,264,971</u>	<u>14,043,082</u>	<u>13,576,498</u>	<u>12,007,627</u>	<u>12,030,952</u>

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
<b>Agriculture and Markets, Department of</b>	<b>95,102</b>	<b>144,172</b>	<b>101,319</b>	<b>97,303</b>	<b>106,459</b>	<b>102,925</b>
Local Assistance	32,318	33,045	32,932	25,197	25,347	25,347
State Operations	51,225	53,523	52,051	53,723	67,653	67,453
Personal Service	34,271	33,983	33,291	34,916	34,026	34,026
Non-Personal Service/Indirect Costs	16,954	19,540	18,760	18,807	33,627	33,427
General State Charges	3,295	5,851	3,974	4,118	4,129	4,210
Capital Projects	8,264	51,753	12,362	14,265	9,330	5,915
<b>Alcoholic Beverage Control, Division of</b>	<b>11,819</b>	<b>11,546</b>	<b>11,275</b>	<b>11,560</b>	<b>11,244</b>	<b>11,244</b>
State Operations	11,819	11,546	11,275	11,560	11,244	11,244
Personal Service	8,131	8,166	8,316	8,901	8,585	8,585
Non-Personal Service/Indirect Costs	3,688	3,380	2,959	2,659	2,659	2,659
<b>Economic Development Capital</b>	<b>2,751</b>	<b>1,777</b>	<b>20,270</b>	<b>19,569</b>	<b>29,069</b>	<b>29,069</b>
Local Assistance	2,751	1,777	20,270	19,569	29,069	29,069
<b>Economic Development, Department of</b>	<b>88,621</b>	<b>94,207</b>	<b>77,753</b>	<b>78,405</b>	<b>78,006</b>	<b>78,006</b>
Local Assistance	68,718	75,941	60,189	60,841	60,442	60,442
State Operations	19,891	18,266	17,536	17,536	17,536	17,536
Personal Service	13,243	13,090	12,929	12,929	12,929	12,929
Non-Personal Service/Indirect Costs	6,648	5,176	4,607	4,607	4,607	4,607
General State Charges	2	0	28	28	28	28
Capital Projects	10	0	0	0	0	0
<b>Empire State Development Corporation</b>	<b>1,207,655</b>	<b>1,327,572</b>	<b>1,799,356</b>	<b>1,987,631</b>	<b>1,982,259</b>	<b>1,790,483</b>
Local Assistance	1,136,529	1,241,923	1,033,768	1,130,017	1,321,138	1,121,138
Capital Projects	71,126	85,649	765,588	857,614	661,121	669,345
<b>Energy Research and Development Authority</b>	<b>17,109</b>	<b>20,828</b>	<b>20,450</b>	<b>15,720</b>	<b>14,001</b>	<b>13,000</b>
Capital Projects	17,109	20,828	20,450	15,720	14,001	13,000
<b>Financial Services, Department of</b>	<b>357,811</b>	<b>394,049</b>	<b>370,525</b>	<b>389,354</b>	<b>382,991</b>	<b>382,991</b>
Local Assistance	57,254	64,179	58,602	58,496	58,496	58,496
State Operations	209,633	216,351	210,099	216,381	210,244	210,244
Personal Service	154,146	156,895	153,752	159,736	153,893	153,893
Non-Personal Service/Indirect Costs	55,487	59,456	56,347	56,645	56,351	56,351
General State Charges	90,924	113,519	101,824	114,477	114,251	114,251
<b>Lake Ontario Resiliency/Economic Development</b>	<b>0</b>	<b>0</b>	<b>20,000</b>	<b>30,000</b>	<b>40,000</b>	<b>10,000</b>
Capital Projects	0	0	20,000	30,000	40,000	10,000
<b>Olympic Regional Development Authority</b>	<b>40,736</b>	<b>53,875</b>	<b>78,676</b>	<b>38,676</b>	<b>18,676</b>	<b>18,676</b>
Local Assistance	0	2,360	0	0	0	0
State Operations	2,736	8,784	8,676	8,676	8,676	8,676
Personal Service	2,548	5,595	5,338	5,338	5,338	5,338
Non-Personal Service/Indirect Costs	188	3,189	3,338	3,338	3,338	3,338
General State Charges	0	31	0	0	0	0
Capital Projects	38,000	42,700	70,000	30,000	10,000	10,000
<b>Power Authority, New York</b>	<b>243</b>	<b>1,346</b>	<b>36,000</b>	<b>36,500</b>	<b>2,000</b>	<b>2,000</b>
Local Assistance	83	0	0	0	0	0
Capital Projects	160	1,346	36,000	36,500	2,000	2,000
<b>Public Service Department</b>	<b>88,976</b>	<b>78,507</b>	<b>82,249</b>	<b>84,706</b>	<b>82,004</b>	<b>81,149</b>
Local Assistance	102	218	122	122	122	122
State Operations	54,691	54,273	53,606	54,310	52,144	50,764
Personal Service	44,476	43,935	42,947	44,885	43,287	43,300
Non-Personal Service/Indirect Costs	10,215	10,338	10,659	9,425	8,857	7,464
General State Charges	34,183	24,016	28,521	30,274	29,738	30,263
<b>Regional Economic Development Program</b>	<b>2,030</b>	<b>2,938</b>	<b>320</b>	<b>320</b>	<b>320</b>	<b>320</b>
Local Assistance	2,030	2,938	(17)	(17)	(17)	(17)
Capital Projects	0	0	337	337	337	337
<b>Strategic Investment Program</b>	<b>1,625</b>	<b>2,888</b>	<b>6,317</b>	<b>10,494</b>	<b>6,317</b>	<b>6,317</b>
Local Assistance	1,625	2,888	6,317	10,494	6,317	6,317
<b>Functional Total</b>	<b>1,914,478</b>	<b>2,133,705</b>	<b>2,624,510</b>	<b>2,800,238</b>	<b>2,753,346</b>	<b>2,526,180</b>
<b>PARKS AND THE ENVIRONMENT</b>						
<b>Adirondack Park Agency</b>	<b>4,468</b>	<b>4,297</b>	<b>5,078</b>	<b>5,064</b>	<b>4,906</b>	<b>4,906</b>
State Operations	4,468	4,297	5,078	5,064	4,906	4,906
Personal Service	4,179	3,950	4,401	4,401	4,243	4,243
Non-Personal Service/Indirect Costs	289	347	677	663	663	663
<b>Environmental Conservation, Department of</b>	<b>974,519</b>	<b>1,216,137</b>	<b>1,298,528</b>	<b>1,396,214</b>	<b>1,468,218</b>	<b>1,589,249</b>
Local Assistance	345,907	475,941	397,529	438,465	456,807	461,204
State Operations	252,567	249,167	247,227	260,380	255,435	255,534
Personal Service	200,579	198,080	193,516	203,094	198,229	198,280
Non-Personal Service/Indirect Costs	51,988	51,087	53,711	57,286	57,206	57,254
General State Charges	62,194	81,999	64,662	67,853	66,727	67,976
Capital Projects	313,851	409,030	589,110	629,516	689,249	804,535
<b>Hudson River Park Trust</b>	<b>0</b>	<b>0</b>	<b>5,000</b>	<b>15,000</b>	<b>15,000</b>	<b>12,000</b>
Capital Projects	0	0	5,000	15,000	15,000	12,000
<b>Parks, Recreation and Historic Preservation, Office of</b>	<b>366,435</b>	<b>373,648</b>	<b>372,287</b>	<b>343,048</b>	<b>340,062</b>	<b>339,612</b>
Local Assistance	9,463	11,546	5,560	5,220	5,220	5,220
State Operations	173,759	181,702	162,610	168,258	164,888	164,888
Personal Service	132,575	136,844	126,785	133,250	129,880	129,880

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

	<b>FY 2018 Results</b>	<b>FY 2019 Results</b>	<b>FY 2020 Updated</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>	<b>FY 2023 Projected</b>
Non-Personal Service/Indirect Costs	41,184	44,858	35,825	35,008	35,008	35,008
General State Charges	3,264	3,657	1,960	2,031	1,965	1,965
Capital Projects	179,949	176,743	202,157	167,539	167,989	167,539
<b>Functional Total</b>	<b>1,345,422</b>	<b>1,594,082</b>	<b>1,680,893</b>	<b>1,759,326</b>	<b>1,828,186</b>	<b>1,945,767</b>
<b>TRANSPORTATION</b>						
<b>Metropolitan Transportation Authority</b>	<b>65,000</b>	<b>739,514</b>	<b>644,487</b>	<b>385,856</b>	<b>0</b>	<b>0</b>
Local Assistance	65,000	739,514	644,487	385,856	0	0
<b>Motor Vehicles, Department of</b>	<b>312,091</b>	<b>336,460</b>	<b>350,012</b>	<b>364,549</b>	<b>341,697</b>	<b>326,713</b>
Local Assistance	14,833	16,526	18,000	18,000	18,000	18,000
State Operations	53,425	63,061	68,898	70,084	69,765	69,765
Personal Service	37,707	45,393	48,098	49,186	49,476	49,476
Non-Personal Service/Indirect Costs	15,718	17,668	20,800	20,898	20,289	20,289
General State Charges	21,841	29,270	24,838	26,769	27,421	27,421
Capital Projects	221,992	227,603	238,276	249,696	226,511	211,527
<b>Transportation, Department of</b>	<b>9,667,708</b>	<b>8,775,801</b>	<b>8,566,762</b>	<b>9,021,673</b>	<b>9,224,031</b>	<b>9,451,884</b>
Local Assistance	6,168,328	5,098,210	4,737,658	4,980,359	5,155,197	5,397,316
State Operations	26,750	320,694	365,817	361,374	365,741	365,741
Personal Service	11,964	164,949	172,868	173,393	172,880	172,880
Non-Personal Service/Indirect Costs	14,786	155,745	192,949	187,981	192,861	192,861
General State Charges	6,070	8,965	8,686	9,053	8,946	8,946
Capital Projects	3,466,560	3,347,932	3,454,601	3,670,887	3,694,147	3,679,881
<b>Functional Total</b>	<b>10,044,799</b>	<b>9,851,775</b>	<b>9,561,261</b>	<b>9,772,078</b>	<b>9,565,728</b>	<b>9,778,597</b>
<b>HEALTH</b>						
<b>Aging, Office for the</b>	<b>234,525</b>	<b>237,763</b>	<b>253,924</b>	<b>257,702</b>	<b>262,841</b>	<b>268,152</b>
Local Assistance	221,725	228,997	241,687	245,280	250,462	255,773
State Operations	12,800	8,722	12,237	12,422	12,379	12,379
Personal Service	7,079	7,193	7,782	7,967	7,924	7,924
Non-Personal Service/Indirect Costs	5,721	1,529	4,455	4,455	4,455	4,455
General State Charges	0	44	0	0	0	0
<b>Health, Department of</b>	<b>66,062,707</b>	<b>69,715,870</b>	<b>73,655,640</b>	<b>74,881,897</b>	<b>77,343,378</b>	<b>80,926,325</b>
<b>Medical Assistance</b>	<b>55,644,622</b>	<b>58,623,402</b>	<b>62,178,607</b>	<b>63,641,145</b>	<b>66,080,611</b>	<b>69,446,535</b>
Local Assistance	55,644,622	58,623,402	62,178,607	63,641,145	66,080,611	69,446,535
<b>Essential Plan</b>	<b>3,962,563</b>	<b>4,083,867</b>	<b>4,237,753</b>	<b>4,285,090</b>	<b>4,291,785</b>	<b>4,296,895</b>
Local Assistance	3,874,925	4,007,287	4,153,529	4,206,333	4,215,388	4,224,442
State Operations	87,638	76,580	84,224	78,757	76,397	72,453
Personal Service	3,148	3,233	4,580	4,418	4,384	4,487
Non-Personal Service/Indirect Costs	84,490	73,347	79,644	74,339	72,013	67,966
<b>Medicaid Administration</b>	<b>1,356,021</b>	<b>1,851,372</b>	<b>1,912,842</b>	<b>1,612,108</b>	<b>1,607,330</b>	<b>1,558,882</b>
Local Assistance	716,713	1,240,677	1,146,949	880,573	867,735	849,151
State Operations	636,474	607,179	758,670	723,905	731,658	701,794
Personal Service	69,223	70,586	95,529	101,871	99,181	99,431
Non-Personal Service/Indirect Costs	567,251	536,593	663,141	622,034	632,477	602,363
General State Charges	2,834	3,516	7,223	7,630	7,937	7,937
<b>Public Health</b>	<b>5,099,501</b>	<b>5,157,229</b>	<b>5,326,438</b>	<b>5,343,554</b>	<b>5,363,652</b>	<b>5,624,013</b>
Local Assistance	4,246,415	4,223,433	4,394,803	4,455,492	4,481,611	4,746,102
State Operations	732,966	777,299	739,739	743,740	736,365	733,284
Personal Service	281,163	279,332	299,280	304,959	299,270	299,484
Non-Personal Service/Indirect Costs	451,803	497,967	440,459	438,781	437,095	433,800
General State Charges	64,888	78,826	79,552	83,978	85,332	84,283
Capital Projects	55,232	77,671	112,344	60,344	60,344	60,344
<b>Medicaid Inspector General, Office of the</b>	<b>46,446</b>	<b>46,912</b>	<b>46,074</b>	<b>48,113</b>	<b>46,892</b>	<b>46,892</b>
State Operations	37,092	35,842	36,549	37,812	36,591	36,591
Personal Service	31,681	30,415	31,249	32,470	31,249	31,249
Non-Personal Service/Indirect Costs	5,411	5,427	5,300	5,342	5,342	5,342
General State Charges	9,354	11,070	9,525	10,301	10,301	10,301
<b>Functional Total</b>	<b>66,343,678</b>	<b>70,000,545</b>	<b>73,955,638</b>	<b>75,187,712</b>	<b>77,653,111</b>	<b>81,241,369</b>
<b>SOCIAL WELFARE</b>						
<b>Children and Family Services, Office of</b>	<b>3,139,782</b>	<b>2,919,962</b>	<b>2,778,404</b>	<b>2,973,878</b>	<b>3,030,276</b>	<b>3,030,872</b>
<b>OCFS</b>	<b>3,062,420</b>	<b>2,847,848</b>	<b>2,697,606</b>	<b>2,881,900</b>	<b>2,934,134</b>	<b>2,934,730</b>
Local Assistance	2,686,986	2,449,310	2,287,958	2,353,110	2,402,877	2,403,460
State Operations	321,311	326,193	359,830	480,955	485,586	485,586
Personal Service	197,353	202,543	201,265	297,332	298,050	298,050
Non-Personal Service/Indirect Costs	123,958	123,650	158,565	183,623	187,536	187,536
General State Charges	12,864	16,545	19,960	22,031	22,011	22,011
Capital Projects	41,259	55,800	29,858	25,804	23,660	23,673
<b>OCFS - Other</b>	<b>77,362</b>	<b>72,114</b>	<b>80,798</b>	<b>91,978</b>	<b>96,142</b>	<b>96,142</b>
Local Assistance	77,362	72,114	80,798	91,978	96,142	96,142
<b>Housing and Community Renewal, Division of</b>	<b>420,810</b>	<b>422,463</b>	<b>910,837</b>	<b>1,035,944</b>	<b>725,978</b>	<b>715,978</b>
Local Assistance	340,738	337,864	809,941	930,820	617,246	607,246
State Operations	60,527	58,687	67,970	72,198	71,081	71,081
Personal Service	47,478	46,746	54,893	58,245	56,832	56,832
Non-Personal Service/Indirect Costs	13,049	11,941	13,077	13,953	14,249	14,249
General State Charges	19,545	25,912	29,926	29,926	34,651	34,651

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

	<b>FY 2018 Results</b>	<b>FY 2019 Results</b>	<b>FY 2020 Updated</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>	<b>FY 2023 Projected</b>
Capital Projects	0	0	3,000	3,000	3,000	3,000
<b>Human Rights, Division of</b>	<b>14,612</b>	<b>14,990</b>	<b>14,343</b>	<b>15,257</b>	<b>14,990</b>	<b>14,990</b>
State Operations	14,612	14,990	14,343	15,257	14,990	14,990
Personal Service	12,315	12,688	12,596	13,475	13,173	13,173
Non-Personal Service/Indirect Costs	2,297	2,302	1,747	1,782	1,817	1,817
<b>Labor, Department of</b>	<b>562,650</b>	<b>585,801</b>	<b>568,499</b>	<b>573,065</b>	<b>602,207</b>	<b>602,207</b>
Local Assistance	161,244	138,948	170,057	157,042	157,042	157,042
State Operations	280,007	287,352	281,762	299,343	304,943	304,943
Personal Service	206,559	210,868	192,238	206,414	208,606	208,606
Non-Personal Service/Indirect Costs	73,448	76,484	89,524	92,929	96,337	96,337
General State Charges	121,399	159,501	116,680	116,680	140,222	140,222
<b>National and Community Service</b>	<b>15,097</b>	<b>10,334</b>	<b>16,653</b>	<b>16,986</b>	<b>17,305</b>	<b>17,305</b>
Local Assistance	477	270	432	432	432	432
State Operations	14,620	10,064	15,985	16,312	16,631	16,631
Personal Service	551	621	708	730	738	738
Non-Personal Service/Indirect Costs	14,069	9,443	15,277	15,582	15,893	15,893
General State Charges	0	0	236	242	242	242
<b>Nonprofit Infrastructure Capital Investment Program</b>	<b>6,951</b>	<b>30,751</b>	<b>44,500</b>	<b>16,049</b>	<b>5,000</b>	<b>0</b>
Local Assistance	6,951	30,751	0	0	0	0
Capital Projects	0	0	44,500	16,049	5,000	0
<b>Roosevelt Island Operating Corporation</b>	<b>0</b>	<b>25,028</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Local Assistance	0	25,028	0	0	0	0
<b>Temporary and Disability Assistance, Office of</b>	<b>4,614,149</b>	<b>5,442,618</b>	<b>5,177,338</b>	<b>5,194,229</b>	<b>5,204,769</b>	<b>5,203,359</b>
<b>Welfare Assistance</b>	<b>3,297,890</b>	<b>4,125,669</b>	<b>3,848,312</b>	<b>3,858,942</b>	<b>3,858,942</b>	<b>3,858,942</b>
Local Assistance	3,297,890	4,125,669	3,848,312	3,858,942	3,858,942	3,858,942
<b>All Other</b>	<b>1,316,259</b>	<b>1,316,949</b>	<b>1,329,026</b>	<b>1,335,287</b>	<b>1,345,827</b>	<b>1,344,417</b>
Local Assistance	979,490	960,306	997,303	998,103	1,001,903	999,453
State Operations	283,573	290,245	283,384	287,844	293,560	293,560
Personal Service	153,573	155,859	150,999	154,335	157,441	157,441
Non-Personal Service/Indirect Costs	130,000	134,386	132,385	133,509	136,119	136,119
General State Charges	52,395	65,432	47,539	48,540	49,564	50,604
Capital Projects	801	966	800	800	800	800
<b>Functional Total</b>	<b>8,774,051</b>	<b>9,451,947</b>	<b>9,510,574</b>	<b>9,825,408</b>	<b>9,600,525</b>	<b>9,584,711</b>
<b>MENTAL HYGIENE</b>						
<b>Alcoholism and Substance Abuse Services, Office of</b>	<b>596,829</b>	<b>599,319</b>	<b>657,314</b>	<b>669,779</b>	<b>651,107</b>	<b>678,917</b>
<b>OASAS</b>	<b>503,832</b>	<b>525,470</b>	<b>591,305</b>	<b>602,328</b>	<b>582,929</b>	<b>610,345</b>
Local Assistance	448,258	482,038	539,256	550,500	531,305	558,008
State Operations	38,975	39,013	49,375	49,096	48,843	49,442
Personal Service	25,461	24,921	32,138	32,922	32,321	32,651
Non-Personal Service/Indirect Costs	13,514	14,092	17,237	16,174	16,522	16,791
General State Charges	14,792	2,383	70	70	71	73
Capital Projects	1,807	2,036	2,604	2,662	2,710	2,822
<b>OASAS - Other</b>	<b>92,997</b>	<b>73,849</b>	<b>66,009</b>	<b>67,451</b>	<b>68,178</b>	<b>68,572</b>
Local Assistance	21,325	21,325	21,325	21,325	21,325	21,325
State Operations	49,508	52,524	44,684	46,126	46,853	47,247
Personal Service	36,074	37,825	33,581	34,597	34,892	35,248
Non-Personal Service/Indirect Costs	13,434	14,699	11,103	11,529	11,961	11,999
General State Charges	22,164	0	0	0	0	0
<b>Developmental Disabilities Planning Council</b>	<b>4,378</b>	<b>3,948</b>	<b>4,200</b>	<b>4,200</b>	<b>4,200</b>	<b>4,200</b>
State Operations	3,508	3,180	3,415	3,415	3,415	3,415
Personal Service	1,007	1,025	1,266	1,266	1,266	1,266
Non-Personal Service/Indirect Costs	2,501	2,155	2,149	2,149	2,149	2,149
General State Charges	870	768	785	785	785	785
<b>Justice Center</b>	<b>41,365</b>	<b>44,628</b>	<b>44,756</b>	<b>46,301</b>	<b>45,681</b>	<b>46,360</b>
Local Assistance	619	679	649	649	649	649
State Operations	40,037	42,729	43,145	44,664	44,019	44,645
Personal Service	31,552	32,886	34,396	35,653	34,724	35,052
Non-Personal Service/Indirect Costs	8,485	9,843	8,749	9,011	9,295	9,593
General State Charges	709	1,220	962	988	1,013	1,066
<b>Mental Health, Office of</b>	<b>3,501,554</b>	<b>2,915,984</b>	<b>2,847,649</b>	<b>2,875,784</b>	<b>2,967,741</b>	<b>3,056,273</b>
<b>OMH</b>	<b>1,778,348</b>	<b>1,657,443</b>	<b>1,768,869</b>	<b>1,760,327</b>	<b>1,813,875</b>	<b>1,873,940</b>
Local Assistance	1,016,591	1,090,479	1,175,785	1,220,878	1,277,978	1,334,117
State Operations	352,420	332,772	369,777	367,185	376,540	385,823
Personal Service	289,317	269,052	324,792	321,800	329,817	337,605
Non-Personal Service/Indirect Costs	63,103	63,720	44,985	45,385	46,723	48,218
General State Charges	194,563	(10,072)	469	469	469	469
Capital Projects	214,774	244,264	222,838	171,795	158,888	153,531
<b>OMH - Other</b>	<b>1,723,206</b>	<b>1,258,541</b>	<b>1,078,780</b>	<b>1,115,457</b>	<b>1,153,866</b>	<b>1,182,333</b>
Local Assistance	265,389	278,961	77,238	82,252	93,721	101,964
State Operations	1,000,487	1,013,556	1,001,542	1,033,205	1,060,145	1,080,369
Personal Service	783,120	818,643	784,927	805,641	810,776	819,154
Non-Personal Service/Indirect Costs	217,367	194,913	216,615	227,564	249,369	261,215
General State Charges	457,330	(33,976)	0	0	0	0
<b>Mental Hygiene, Department of</b>	<b>204</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
State Operations	204	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION**  
**ALL GOVERNMENTAL FUNDS**  
(thousands of dollars)

	<b>FY 2018 Results</b>	<b>FY 2019 Results</b>	<b>FY 2020 Updated</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>	<b>FY 2023 Projected</b>
Non-Personal Service/Indirect Costs	204	0	0	0	0	0
<b>People with Developmental Disabilities, Office for</b>	<b>2,935,355</b>	<b>1,937,655</b>	<b>1,893,781</b>	<b>2,313,570</b>	<b>2,238,618</b>	<b>2,429,594</b>
<b>OPWDD</b>	<b>479,874</b>	<b>485,535</b>	<b>461,835</b>	<b>434,153</b>	<b>444,793</b>	<b>456,330</b>
Local Assistance	409,319	388,326	366,470	351,330	361,507	372,369
State Operations	546	645	1,181	1,181	1,181	1,181
Personal Service	124	387	0	0	0	0
Non-Personal Service/Indirect Costs	422	258	1,181	1,181	1,181	1,181
General State Charges	0	78	0	0	0	0
Capital Projects	70,009	96,486	94,184	81,642	82,105	82,780
<b>OPWDD - Other</b>	<b>2,455,481</b>	<b>1,452,120</b>	<b>1,431,946</b>	<b>1,879,417</b>	<b>1,793,825</b>	<b>1,973,264</b>
Local Assistance	438,663	131,150	103,303	530,302	429,526	591,153
State Operations	1,333,017	1,320,970	1,328,643	1,349,115	1,364,299	1,382,111
Personal Service	1,129,750	1,142,322	1,147,023	1,162,291	1,172,066	1,184,331
Non-Personal Service/Indirect Costs	203,267	178,648	181,620	186,824	192,233	197,780
General State Charges	683,801	0	0	0	0	0
<b>Functional Total</b>	<b>7,079,685</b>	<b>5,501,534</b>	<b>5,447,700</b>	<b>5,909,634</b>	<b>5,907,347</b>	<b>6,215,344</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
<b>Correction, Commission of</b>	<b>2,594</b>	<b>2,541</b>	<b>2,651</b>	<b>2,773</b>	<b>2,735</b>	<b>2,735</b>
State Operations	2,594	2,541	2,651	2,773	2,735	2,735
Personal Service	2,316	2,276	2,414	2,531	2,488	2,488
Non-Personal Service/Indirect Costs	278	265	237	242	247	247
<b>Correctional Services, Department of</b>	<b>3,017,424</b>	<b>2,976,983</b>	<b>3,246,250</b>	<b>3,114,699</b>	<b>3,152,822</b>	<b>3,210,007</b>
Local Assistance	5,686	3,435	5,016	4,836	4,836	4,836
State Operations	2,654,783	2,602,342	2,873,769	2,768,070	2,809,154	2,887,049
Personal Service	2,124,813	2,113,450	2,370,794	2,269,401	2,315,684	2,390,233
Non-Personal Service/Indirect Costs	529,970	488,892	502,975	498,669	493,470	496,816
General State Charges	1,211	1,666	1,147	1,147	1,147	1,147
Capital Projects	355,744	369,540	366,318	340,646	337,685	316,975
<b>Corrections and Community Supervision Medicaid, Department of</b>	<b>0</b>	<b>0</b>	<b>2,000</b>	<b>2,000</b>	<b>0</b>	<b>0</b>
Local Assistance	0	0	2,000	2,000	0	0
<b>Criminal Justice Services, Division of</b>	<b>221,521</b>	<b>268,933</b>	<b>238,545</b>	<b>229,251</b>	<b>229,073</b>	<b>229,912</b>
Local Assistance	170,749	222,515	192,581	182,233	182,233	182,233
State Operations	50,120	45,900	45,581	46,635	46,457	47,288
Personal Service	32,935	33,118	32,104	33,158	32,714	33,228
Non-Personal Service/Indirect Costs	17,185	12,782	13,477	13,477	13,743	14,060
General State Charges	652	518	383	383	383	391
<b>Homeland Security and Emergency Services, Division of</b>	<b>1,524,755</b>	<b>1,217,150</b>	<b>1,336,314</b>	<b>1,233,096</b>	<b>1,187,635</b>	<b>1,142,933</b>
Local Assistance	1,430,243	1,127,676	1,227,524	1,128,542	1,085,839	1,042,925
State Operations	58,572	68,256	78,213	79,469	81,896	82,736
Personal Service	27,650	34,464	44,610	45,856	47,215	47,774
Non-Personal Service/Indirect Costs	30,922	33,792	33,603	33,613	34,681	34,962
General State Charges	7,269	9,246	7,817	7,835	7,905	7,905
Capital Projects	28,671	11,972	22,760	17,250	11,995	9,367
<b>Indigent Legal Services, Office of</b>	<b>75,499</b>	<b>88,454</b>	<b>150,900</b>	<b>211,080</b>	<b>261,200</b>	<b>311,215</b>
Local Assistance	72,102	83,765	144,810	204,810	254,810	304,810
State Operations	2,328	2,838	4,044	4,110	4,108	4,123
Personal Service	1,873	2,430	3,208	3,274	3,260	3,260
Non-Personal Service/Indirect Costs	455	408	836	836	848	863
General State Charges	1,069	1,851	2,046	2,160	2,282	2,282
<b>Judicial Conduct, Commission on</b>	<b>5,552</b>	<b>5,423</b>	<b>6,038</b>	<b>5,936</b>	<b>6,114</b>	<b>6,220</b>
State Operations	5,552	5,423	6,038	5,936	6,114	6,220
Personal Service	4,238	3,959	4,677	4,548	4,483	4,573
Non-Personal Service/Indirect Costs	1,314	1,464	1,361	1,388	1,631	1,647
<b>Judicial Nomination, Commission on</b>	<b>10</b>	<b>0</b>	<b>30</b>	<b>30</b>	<b>30</b>	<b>30</b>
State Operations	10	0	30	30	30	30
Non-Personal Service/Indirect Costs	10	0	30	30	30	30
<b>Judicial Screening Committees, New York State</b>	<b>14</b>	<b>7</b>	<b>38</b>	<b>38</b>	<b>38</b>	<b>38</b>
State Operations	14	7	38	38	38	38
Non-Personal Service/Indirect Costs	14	7	38	38	38	38
<b>Military and Naval Affairs, Division of</b>	<b>132,339</b>	<b>150,526</b>	<b>186,651</b>	<b>130,465</b>	<b>122,003</b>	<b>112,557</b>
Local Assistance	819	885	820	820	886	904
State Operations	62,438	62,111	60,939	61,547	62,118	63,291
Personal Service	36,483	35,883	35,434	35,732	35,808	36,526
Non-Personal Service/Indirect Costs	25,955	26,228	25,505	25,815	26,310	26,765
General State Charges	5,775	11,948	6,629	6,754	6,755	6,755
Capital Projects	63,307	75,582	118,263	61,344	52,244	41,607
<b>State Police, Division of</b>	<b>853,462</b>	<b>840,623</b>	<b>892,444</b>	<b>921,139</b>	<b>918,812</b>	<b>931,831</b>
Local Assistance	62	14	0	0	0	0
State Operations	764,680	745,098	815,034	834,833	833,301	853,270
Personal Service	687,413	670,416	741,805	761,535	757,531	776,396
Non-Personal Service/Indirect Costs	77,267	74,682	73,229	73,298	75,770	76,874
General State Charges	15,817	48,184	29,910	30,453	30,823	31,023
Capital Projects	72,903	47,327	47,500	55,853	54,688	47,538
<b>Statewide Financial System</b>	<b>30,137</b>	<b>30,520</b>	<b>30,506</b>	<b>30,949</b>	<b>31,117</b>	<b>31,732</b>
State Operations	30,137	30,520	30,506	30,949	31,117	31,732
Personal Service	11,094	11,294	11,882	12,325	12,121	12,356

**CASH DISBURSEMENTS BY FUNCTION**  
**ALL GOVERNMENTAL FUNDS**  
(thousands of dollars)

	<b>FY 2018 Results</b>	<b>FY 2019 Results</b>	<b>FY 2020 Updated</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>	<b>FY 2023 Projected</b>
Non-Personal Service/Indirect Costs	19,043	19,226	18,624	18,624	18,996	19,376
<b>Victim Services, Office of</b>	<b>60,952</b>	<b>79,725</b>	<b>105,295</b>	<b>85,946</b>	<b>86,733</b>	<b>86,733</b>
Local Assistance	52,669	70,626	95,744	77,744	78,492	78,492
State Operations	6,645	7,205	7,901	6,552	6,558	6,558
Personal Service	5,044	5,139	5,656	5,032	5,015	5,015
Non-Personal Service/Indirect Costs	1,601	2,066	2,245	1,520	1,543	1,543
General State Charges	1,638	1,894	1,650	1,650	1,683	1,683
<b>Functional Total</b>	<b>5,924,259</b>	<b>5,660,885</b>	<b>6,197,662</b>	<b>5,967,402</b>	<b>5,998,312</b>	<b>6,065,943</b>
<b>HIGHER EDUCATION</b>						
<b>City University of New York</b>	<b>1,604,707</b>	<b>1,638,437</b>	<b>1,677,723</b>	<b>1,719,981</b>	<b>1,754,371</b>	<b>1,789,313</b>
Local Assistance	1,465,153	1,508,007	1,536,842	1,577,439	1,610,889	1,644,883
State Operations	102,801	97,838	104,111	105,040	105,980	106,928
Personal Service	52,623	47,274	48,594	49,045	49,501	49,961
Non-Personal Service/Indirect Costs	50,178	50,564	55,517	55,995	56,479	56,967
General State Charges	145	129	150	150	150	150
Capital Projects	36,608	32,463	36,620	37,352	37,352	37,352
<b>Higher Education - Miscellaneous</b>	<b>337</b>	<b>540</b>	<b>441</b>	<b>441</b>	<b>441</b>	<b>441</b>
State Operations	256	340	291	291	291	291
Personal Service	169	232	198	198	198	198
Non-Personal Service/Indirect Costs	87	108	93	93	93	93
General State Charges	81	200	150	150	150	150
<b>Higher Education Facilities Capital Matching Grants Program</b>	<b>4,425</b>	<b>8,769</b>	<b>11,875</b>	<b>15,750</b>	<b>12,650</b>	<b>14,150</b>
Local Assistance	4,425	8,769	11,875	15,750	12,650	14,150
<b>Higher Education Services Corporation, New York State</b>	<b>934,399</b>	<b>1,036,617</b>	<b>1,014,552</b>	<b>940,315</b>	<b>949,882</b>	<b>952,416</b>
Local Assistance	879,384	984,334	955,624	881,387	890,954	893,488
State Operations	46,884	42,475	49,907	49,907	49,907	49,907
Personal Service	13,380	12,339	13,752	13,752	13,752	13,752
Non-Personal Service/Indirect Costs	33,504	30,136	36,155	36,155	36,155	36,155
General State Charges	8,131	9,808	9,021	9,021	9,021	9,021
<b>State University of New York</b>	<b>8,281,819</b>	<b>8,303,886</b>	<b>8,540,816</b>	<b>8,845,079</b>	<b>8,951,174</b>	<b>9,188,008</b>
Local Assistance	489,533	487,978	482,766	486,767	486,767	485,767
State Operations	6,421,347	6,352,032	6,526,424	6,780,538	6,867,190	7,072,694
Personal Service	3,761,248	3,962,540	4,199,414	4,418,637	4,468,010	4,624,722
Non-Personal Service/Indirect Costs	2,660,099	2,389,492	2,327,010	2,361,901	2,399,180	2,447,972
General State Charges	430,884	522,634	538,110	567,081	582,244	609,574
Capital Projects	940,055	941,242	993,516	1,010,693	1,014,973	1,019,973
<b>Functional Total</b>	<b>10,825,687</b>	<b>10,988,249</b>	<b>11,245,407</b>	<b>11,521,566</b>	<b>11,668,518</b>	<b>11,944,328</b>
<b>EDUCATION</b>						
<b>Arts, Council on the</b>	<b>39,750</b>	<b>44,212</b>	<b>46,053</b>	<b>46,049</b>	<b>45,953</b>	<b>45,953</b>
Local Assistance	35,490	39,946	41,633	41,533	41,533	41,533
State Operations	4,260	4,266	4,420	4,516	4,420	4,420
Personal Service	2,626	2,636	2,498	2,594	2,498	2,498
Non-Personal Service/Indirect Costs	1,634	1,630	1,922	1,922	1,922	1,922
<b>Education, Department of</b>	<b>33,883,898</b>	<b>35,368,982</b>	<b>36,524,180</b>	<b>37,549,144</b>	<b>38,622,346</b>	<b>39,753,857</b>
<b>School Aid</b>	<b>27,848,709</b>	<b>29,115,633</b>	<b>30,484,078</b>	<b>31,582,117</b>	<b>32,756,073</b>	<b>33,882,820</b>
Local Assistance	27,848,709	29,115,633	30,484,078	31,582,117	32,756,073	33,882,820
<b>School Aid - Other</b>	<b>61,169</b>	<b>152,867</b>	<b>78,195</b>	<b>78,195</b>	<b>78,195</b>	<b>78,195</b>
Local Assistance	61,169	152,867	78,195	78,195	78,195	78,195
<b>STAR Property Tax Relief</b>	<b>2,589,145</b>	<b>2,423,112</b>	<b>2,175,995</b>	<b>2,073,116</b>	<b>1,979,457</b>	<b>1,857,985</b>
Local Assistance	2,589,145	2,423,112	2,175,995	2,073,116	1,979,457	1,857,985
<b>Special Education Categorical Programs</b>	<b>2,001,400</b>	<b>2,298,888</b>	<b>2,145,637</b>	<b>2,218,221</b>	<b>2,284,066</b>	<b>2,351,451</b>
Local Assistance	2,001,400	2,298,888	2,145,637	2,218,221	2,284,066	2,351,451
<b>All Other</b>	<b>1,383,475</b>	<b>1,378,482</b>	<b>1,640,275</b>	<b>1,597,495</b>	<b>1,524,555</b>	<b>1,583,406</b>
Local Assistance	985,722	962,535	1,166,254	1,102,240	1,084,562	1,113,918
State Operations	305,724	301,188	291,284	297,077	293,867	293,844
Personal Service	176,266	177,576	168,849	175,409	172,035	172,001
Non-Personal Service/Indirect Costs	129,458	123,612	122,435	121,668	121,832	121,843
General State Charges	85,316	108,613	87,603	93,302	92,349	92,949
Capital Projects	6,713	6,146	95,134	104,876	53,777	82,695
<b>Functional Total</b>	<b>33,923,648</b>	<b>35,413,194</b>	<b>36,570,233</b>	<b>37,595,193</b>	<b>38,668,299</b>	<b>39,799,810</b>
<b>GENERAL GOVERNMENT</b>						
<b>Budget, Division of the</b>	<b>27,312</b>	<b>26,125</b>	<b>30,133</b>	<b>30,603</b>	<b>29,596</b>	<b>29,596</b>
State Operations	26,600	25,218	28,476	28,886	27,939	27,939
Personal Service	21,683	21,921	24,567	25,511	24,567	24,567
Non-Personal Service/Indirect Costs	4,917	3,297	3,909	3,375	3,372	3,372
General State Charges	712	907	1,657	1,717	1,657	1,657
<b>Civil Service, Department of</b>	<b>14,975</b>	<b>16,128</b>	<b>16,351</b>	<b>14,848</b>	<b>14,890</b>	<b>14,911</b>
Local Assistance	0	567	2,000	0	0	0
State Operations	14,795	15,422	14,120	14,604	14,649	14,666
Personal Service	14,053	15,062	13,658	14,142	13,752	13,752
Non-Personal Service/Indirect Costs	742	360	462	462	897	914
General State Charges	180	139	231	244	241	245

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
<b>Deferred Compensation Board</b>	<b>675</b>	<b>776</b>	<b>891</b>	<b>896</b>	<b>900</b>	<b>904</b>
State Operations	451	474	648	649	648	648
Personal Service	408	424	413	414	413	413
Non-Personal Service/Indirect Costs	43	50	235	235	235	235
General State Charges	224	302	243	247	252	256
<b>Elections, State Board of</b>	<b>11,951</b>	<b>11,162</b>	<b>30,127</b>	<b>15,363</b>	<b>13,634</b>	<b>11,032</b>
Local Assistance	326	450	14,700	0	0	0
State Operations	11,625	10,442	15,127	15,063	13,334	10,732
Personal Service	6,176	6,025	6,768	7,051	6,958	6,796
Non-Personal Service/Indirect Costs	5,449	4,417	8,359	8,012	6,376	3,936
General State Charges	0	270	300	300	300	300
<b>Employee Relations, Office of</b>	<b>3,569</b>	<b>2,097</b>	<b>6,444</b>	<b>6,542</b>	<b>6,444</b>	<b>6,445</b>
State Operations	3,569	2,097	6,444	6,542	6,444	6,445
Personal Service	2,515	2,040	6,248	6,346	6,248	6,248
Non-Personal Service/Indirect Costs	1,054	57	196	196	196	197
<b>Gaming Commission, New York State</b>	<b>176,850</b>	<b>159,059</b>	<b>285,401</b>	<b>215,100</b>	<b>213,683</b>	<b>212,683</b>
Local Assistance	65,779	69,013	199,668	121,950	122,950	121,950
State Operations	94,531	67,292	68,158	74,685	73,158	73,158
Personal Service	34,862	35,418	38,687	40,178	38,687	38,687
Non-Personal Service/Indirect Costs	59,669	31,874	29,471	34,507	34,471	34,471
General State Charges	16,540	22,754	17,575	18,465	17,575	17,575
<b>General Services, Office of</b>	<b>280,851</b>	<b>307,115</b>	<b>344,972</b>	<b>363,895</b>	<b>265,265</b>	<b>236,665</b>
Local Assistance	0	0	250	250	250	250
State Operations	151,633	151,612	112,512	111,313	109,923	111,701
Personal Service	67,107	61,158	26,270	29,695	27,278	27,278
Non-Personal Service/Indirect Costs	84,526	90,454	86,242	81,618	82,645	84,423
General State Charges	369	538	548	583	575	585
Capital Projects	128,849	154,965	231,662	251,749	154,517	124,129
<b>Inspector General, Office of the</b>	<b>7,166</b>	<b>6,844</b>	<b>7,487</b>	<b>7,826</b>	<b>8,061</b>	<b>8,210</b>
State Operations	7,166	6,844	7,487	7,826	8,061	8,210
Personal Service	6,223	5,909	6,648	6,970	6,857	6,994
Non-Personal Service/Indirect Costs	943	935	839	856	1,204	1,216
<b>Labor Management Committees</b>	<b>30,630</b>	<b>35,520</b>	<b>36,306</b>	<b>31,517</b>	<b>31,306</b>	<b>31,306</b>
State Operations	24,773	29,699	31,306	26,517	26,306	26,306
Personal Service	7,747	8,618	10,487	5,698	5,487	5,487
Non-Personal Service/Indirect Costs	17,026	21,081	20,819	20,819	20,819	20,819
General State Charges	5,857	5,821	5,000	5,000	5,000	5,000
<b>Prevention of Domestic Violence, Office for</b>	<b>2,372</b>	<b>2,823</b>	<b>3,099</b>	<b>3,121</b>	<b>3,059</b>	<b>3,059</b>
Local Assistance	791	1,267	1,435	1,385	1,412	1,412
State Operations	1,581	1,556	1,664	1,736	1,647	1,647
Personal Service	1,435	1,394	1,491	1,524	1,431	1,431
Non-Personal Service/Indirect Costs	146	162	173	212	216	216
<b>Public Employment Relations Board</b>	<b>3,260</b>	<b>3,430</b>	<b>3,634</b>	<b>3,764</b>	<b>3,634</b>	<b>3,634</b>
State Operations	3,260	3,430	3,634	3,764	3,634	3,634
Personal Service	3,059	3,175	3,338	3,468	3,338	3,338
Non-Personal Service/Indirect Costs	201	255	296	296	296	296
<b>Public Integrity, Commission on</b>	<b>5,115</b>	<b>5,223</b>	<b>5,630</b>	<b>5,835</b>	<b>6,010</b>	<b>6,119</b>
State Operations	5,115	5,223	5,630	5,835	6,010	6,119
Personal Service	4,386	4,516	4,681	4,867	4,827	4,924
Non-Personal Service/Indirect Costs	729	707	949	968	1,183	1,195
<b>State, Department of</b>	<b>127,596</b>	<b>146,276</b>	<b>163,870</b>	<b>149,450</b>	<b>173,617</b>	<b>195,634</b>
Local Assistance	65,899	80,987	98,244	83,494	108,494	130,494
State Operations	48,251	46,216	47,892	48,984	47,872	47,872
Personal Service	32,267	31,280	30,512	31,600	30,512	30,512
Non-Personal Service/Indirect Costs	15,984	14,936	17,380	17,384	17,360	17,360
General State Charges	12,213	17,835	15,734	16,972	17,251	17,268
Capital Projects	1,233	1,238	2,000	0	0	0
<b>Tax Appeals, Division of</b>	<b>2,688</b>	<b>2,820</b>	<b>3,040</b>	<b>3,150</b>	<b>3,040</b>	<b>3,040</b>
State Operations	2,688	2,820	3,040	3,150	3,040	3,040
Personal Service	2,541	2,513	2,870	2,980	2,870	2,870
Non-Personal Service/Indirect Costs	147	307	170	170	170	170
<b>Taxation and Finance, Department of</b>	<b>346,372</b>	<b>320,578</b>	<b>370,142</b>	<b>382,309</b>	<b>370,182</b>	<b>369,542</b>
Local Assistance	1,542	2,435	2,726	2,726	2,726	2,726
State Operations	322,195	312,476	341,107	352,016	341,065	340,498
Personal Service	275,658	269,428	277,371	286,655	277,500	277,386
Non-Personal Service/Indirect Costs	46,537	43,048	63,736	65,361	63,565	63,112
General State Charges	22,635	5,667	26,309	27,567	26,391	26,318
<b>Technology, Office for</b>	<b>641,934</b>	<b>615,182</b>	<b>654,434</b>	<b>619,710</b>	<b>591,769</b>	<b>584,929</b>
State Operations	539,103	544,832	540,316	550,762	557,318	568,476
Personal Service	289,994	299,018	291,616	308,116	303,458	309,539
Non-Personal Service/Indirect Costs	249,109	245,814	248,700	242,646	253,860	258,937
Capital Projects	102,831	70,350	114,118	68,948	34,451	16,453
<b>Veterans' Services, Division of</b>	<b>14,694</b>	<b>17,254</b>	<b>17,673</b>	<b>16,333</b>	<b>16,321</b>	<b>16,332</b>
Local Assistance	7,906	10,499	9,485	7,840	7,840	7,840
State Operations	6,493	6,370	7,698	7,979	7,945	7,945
Personal Service	6,016	5,667	6,742	7,004	6,950	6,950
Non-Personal Service/Indirect Costs	477	703	956	975	995	995

**CASH DISBURSEMENTS BY FUNCTION**  
**ALL GOVERNMENTAL FUNDS**  
(thousands of dollars)

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
General State Charges	295	385	490	514	536	547
<b>Welfare Inspector General, Office of</b>	<b>671</b>	<b>629</b>	<b>701</b>	<b>731</b>	<b>753</b>	<b>768</b>
State Operations	671	629	701	731	753	768
Personal Service	619	615	626	654	646	659
Non-Personal Service/Indirect Costs	52	14	75	77	107	109
<b>Workers' Compensation Board</b>	<b>198,190</b>	<b>198,486</b>	<b>226,439</b>	<b>244,171</b>	<b>196,439</b>	<b>196,439</b>
State Operations	140,971	141,918	143,219	148,002	143,219	143,219
Personal Service	85,117	82,890	84,892	89,608	84,892	84,892
Non-Personal Service/Indirect Costs	55,854	59,028	58,327	58,394	58,327	58,327
General State Charges	52,395	56,568	53,220	57,863	53,220	53,220
Capital Projects	4,824	0	30,000	38,306	0	0
<b>Functional Total</b>	<b>1,896,871</b>	<b>1,877,527</b>	<b>2,206,774</b>	<b>2,115,164</b>	<b>1,948,603</b>	<b>1,931,248</b>
<b>ELECTED OFFICIALS</b>						
<b>Audit and Control, Department of</b>	<b>180,421</b>	<b>184,860</b>	<b>194,794</b>	<b>195,334</b>	<b>191,670</b>	<b>191,670</b>
Local Assistance	32,025	32,025	32,024	32,024	32,024	32,024
State Operations	146,719	148,498	157,449	160,113	157,449	157,449
Personal Service	119,019	118,729	126,111	128,775	126,111	126,111
Non-Personal Service/Indirect Costs	27,700	29,769	31,338	31,338	31,338	31,338
General State Charges	1,677	2,039	2,197	2,197	2,197	2,197
Capital Projects	0	2,298	3,124	1,000	0	0
<b>Executive Chamber</b>	<b>13,560</b>	<b>12,673</b>	<b>13,578</b>	<b>14,032</b>	<b>13,578</b>	<b>13,578</b>
State Operations	13,560	12,673	13,578	14,032	13,578	13,578
Personal Service	9,979	9,135	11,113	11,567	11,113	11,113
Non-Personal Service/Indirect Costs	3,581	3,538	2,465	2,465	2,465	2,465
<b>Judiciary</b>	<b>2,965,828</b>	<b>3,074,939</b>	<b>3,134,275</b>	<b>3,181,601</b>	<b>3,121,101</b>	<b>3,121,401</b>
Local Assistance	114,224	101,561	114,900	129,901	129,901	129,901
State Operations	2,087,034	2,175,297	2,176,100	2,233,100	2,176,100	2,176,400
Personal Service	1,626,662	1,733,307	1,711,700	1,768,700	1,711,700	1,712,000
Non-Personal Service/Indirect Costs	460,372	441,990	464,400	464,400	464,400	464,400
General State Charges	750,627	787,708	815,100	815,100	815,100	815,100
Capital Projects	13,943	10,373	28,175	3,500	0	0
<b>Law, Department of</b>	<b>243,901</b>	<b>264,200</b>	<b>247,973</b>	<b>252,123</b>	<b>244,455</b>	<b>245,311</b>
State Operations	210,621	215,230	211,849	217,300	211,849	212,705
Personal Service	152,616	155,585	149,913	155,365	149,913	150,769
Non-Personal Service/Indirect Costs	58,005	59,645	61,936	61,935	61,936	61,936
General State Charges	28,245	42,114	32,606	34,823	32,606	32,606
Capital Projects	5,035	6,856	3,518	0	0	0
<b>Legislature</b>	<b>223,372</b>	<b>223,573</b>	<b>242,215</b>	<b>249,056</b>	<b>255,949</b>	<b>256,002</b>
State Operations	223,372	223,573	242,215	249,056	255,949	256,002
Personal Service	174,350	175,304	187,511	192,583	198,366	198,419
Non-Personal Service/Indirect Costs	49,022	48,269	54,704	56,473	57,583	57,583
<b>Lieutenant Governor, Office of the</b>	<b>492</b>	<b>530</b>	<b>614</b>	<b>634</b>	<b>614</b>	<b>614</b>
State Operations	492	530	614	634	614	614
Personal Service	382	414	523	543	523	523
Non-Personal Service/Indirect Costs	110	116	91	91	91	91
<b>Functional Total</b>	<b>3,627,574</b>	<b>3,760,775</b>	<b>3,833,449</b>	<b>3,892,780</b>	<b>3,827,367</b>	<b>3,828,576</b>
<b>LOCAL GOVERNMENT ASSISTANCE</b>						
<b>Aid and Incentives for Municipalities</b>	<b>721,920</b>	<b>721,979</b>	<b>671,208</b>	<b>704,192</b>	<b>704,192</b>	<b>704,192</b>
Local Assistance	721,789	721,732	671,208	704,192	704,192	704,192
State Operations	131	247	0	0	0	0
Non-Personal Service/Indirect Costs	131	247	0	0	0	0
<b>County-Wide Shared Services Initiative</b>	<b>0</b>	<b>0</b>	<b>40,000</b>	<b>65,000</b>	<b>60,000</b>	<b>60,000</b>
Local Assistance	0	0	40,000	65,000	60,000	60,000
<b>Efficiency Incentive Grants Program</b>	<b>348</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Local Assistance	348	0	0	0	0	0
<b>Miscellaneous Financial Assistance</b>	<b>9,270</b>	<b>12,001</b>	<b>11,998</b>	<b>2,250</b>	<b>2,250</b>	<b>2,250</b>
Local Assistance	9,270	12,001	11,998	2,250	2,250	2,250
<b>Municipalities with VLT Facilities</b>	<b>28,885</b>	<b>28,885</b>	<b>28,885</b>	<b>28,885</b>	<b>28,885</b>	<b>28,885</b>
Local Assistance	28,885	28,885	28,885	28,885	28,885	28,885
<b>Small Government Assistance</b>	<b>217</b>	<b>217</b>	<b>218</b>	<b>218</b>	<b>218</b>	<b>218</b>
Local Assistance	217	217	218	218	218	218
<b>Functional Total</b>	<b>760,640</b>	<b>763,082</b>	<b>752,309</b>	<b>800,545</b>	<b>795,545</b>	<b>795,545</b>
<b>ALL OTHER CATEGORIES</b>						
<b>Arts and Cultural Facilities Improvement</b>	<b>0</b>	<b>3,421</b>	<b>11,000</b>	<b>5,000</b>	<b>0</b>	<b>0</b>
Local Assistance	0	3,340	6,000	0	0	0
Capital Projects	0	81	5,000	5,000	0	0
<b>General State Charges</b>	<b>4,842,816</b>	<b>6,373,457</b>	<b>6,894,907</b>	<b>7,438,282</b>	<b>8,003,887</b>	<b>8,563,970</b>
General State Charges	4,842,816	6,373,457	6,894,907	7,438,282	8,003,887	8,563,970
<b>Long-Term Debt Service</b>	<b>5,914,725</b>	<b>6,736,264</b>	<b>5,216,029</b>	<b>6,917,605</b>	<b>7,169,547</b>	<b>7,373,446</b>
State Operations	41,887	37,699	45,706	44,359	44,359	44,359
Non-Personal Service/Indirect Costs	41,887	37,699	45,706	44,359	44,359	44,359



**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

	<b>FY 2018 Results</b>	<b>FY 2019 Results</b>	<b>FY 2020 Updated</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>	<b>FY 2023 Projected</b>
Debt Service	5,872,838	6,698,565	5,170,323	6,873,246	7,125,188	7,329,087
<b>Miscellaneous</b>	<b>(257,877)</b>	<b>(254,961)</b>	<b>(705,601)</b>	<b>(665,702)</b>	<b>(729,354)</b>	<b>(641,532)</b>
Local Assistance	(329,661)	(343,899)	(208,380)	(179,439)	(164,290)	(175,290)
State Operations	47,603	49,852	27,001	27,056	27,056	27,056
Personal Service	2,194	2,132	(7,715)	(7,660)	(7,660)	(7,660)
Non-Personal Service/Indirect Costs	45,409	47,720	34,716	34,716	34,716	34,716
General State Charges	2,588	2,969	5,770	5,782	5,787	5,797
Capital Projects	21,593	36,117	(529,992)	(519,101)	(597,907)	(499,095)
<b>Special Infrastructure Account</b>	<b>783,139</b>	<b>1,019,587</b>	<b>1,114,126</b>	<b>683,961</b>	<b>201,924</b>	<b>181,074</b>
Local Assistance	422,467	595,694	5,000	10,000	0	20,000
Capital Projects	360,672	423,893	1,109,126	673,961	201,924	161,074
<b>Functional Total</b>	<b>11,282,803</b>	<b>13,877,768</b>	<b>12,530,461</b>	<b>14,379,146</b>	<b>14,646,004</b>	<b>15,476,958</b>
<b>TOTAL ALL GOVERNMENTAL FUNDS SPENDING</b>	<b>163,743,595</b>	<b>170,875,068</b>	<b>176,116,871</b>	<b>181,526,192</b>	<b>184,860,891</b>	<b>191,134,376</b>

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

	<b>FY 2018 Results</b>	<b>FY 2019 Results</b>	<b>FY 2020 Updated</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>	<b>FY 2023 Projected</b>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	95,102	144,172	101,319	97,303	106,459	102,925
Alcoholic Beverage Control, Division of	11,819	11,546	11,275	11,560	11,244	11,244
Economic Development Capital	2,751	1,777	20,270	19,569	29,069	29,069
Economic Development, Department of	88,621	94,207	77,753	78,405	78,006	78,006
Empire State Development Corporation	1,207,655	1,327,572	1,799,356	1,987,631	1,982,259	1,790,483
Energy Research and Development Authority	17,109	20,828	20,450	15,720	14,001	13,000
Financial Services, Department of	357,811	394,049	370,525	389,354	382,991	382,991
Lake Ontario Resiliency/Economic Development	0	0	20,000	30,000	40,000	10,000
Olympic Regional Development Authority	40,736	53,875	78,676	38,676	18,676	18,676
Power Authority, New York	243	1,346	36,000	36,500	2,000	2,000
Public Service Department	88,976	78,507	82,249	84,706	82,004	81,149
Regional Economic Development Program	2,030	2,938	320	320	320	320
Strategic Investment Program	1,625	2,888	6,317	10,494	6,317	6,317
<b>Functional Total</b>	<b>1,914,478</b>	<b>2,133,705</b>	<b>2,624,510</b>	<b>2,800,238</b>	<b>2,753,346</b>	<b>2,526,180</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Adirondack Park Agency	4,468	4,297	5,078	5,064	4,906	4,906
Environmental Conservation, Department of	974,519	1,216,137	1,298,528	1,396,214	1,468,218	1,589,249
Hudson River Park Trust	0	0	5,000	15,000	15,000	12,000
Parks, Recreation and Historic Preservation, Office of	366,435	373,648	372,287	343,048	340,062	339,612
<b>Functional Total</b>	<b>1,345,422</b>	<b>1,594,082</b>	<b>1,680,893</b>	<b>1,759,326</b>	<b>1,828,186</b>	<b>1,945,767</b>
<b>TRANSPORTATION</b>						
Metropolitan Transportation Authority	65,000	739,514	644,487	385,856	0	0
Motor Vehicles, Department of	312,091	336,460	350,012	364,549	341,697	326,713
Transportation, Department of	9,667,708	8,775,801	8,566,762	9,021,673	9,224,031	9,451,884
<b>Functional Total</b>	<b>10,044,799</b>	<b>9,851,775</b>	<b>9,561,261</b>	<b>9,772,078</b>	<b>9,565,728</b>	<b>9,778,597</b>
<b>HEALTH</b>						
Aging, Office for the	234,525	237,763	253,924	257,702	262,841	268,152
Health, Department of	66,062,707	69,715,870	73,655,640	74,881,897	77,343,378	80,926,325
<i>Medical Assistance</i>	55,644,622	58,623,402	62,178,607	63,641,145	66,080,611	69,446,535
<i>Essential Plan</i>	3,962,563	4,083,867	4,237,753	4,285,090	4,291,785	4,296,895
<i>Medicaid Administration</i>	1,356,021	1,851,372	1,912,842	1,612,108	1,607,330	1,558,882
<i>Public Health</i>	5,099,501	5,157,229	5,326,438	5,343,554	5,363,652	5,624,013
Medicaid Inspector General, Office of the	46,446	46,912	46,074	48,113	46,892	46,892
<b>Functional Total</b>	<b>66,343,678</b>	<b>70,000,545</b>	<b>73,955,638</b>	<b>75,187,712</b>	<b>77,653,111</b>	<b>81,241,369</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	3,139,782	2,919,962	2,778,404	2,973,878	3,030,276	3,030,872
<i>OCFS</i>	3,062,420	2,847,848	2,697,606	2,881,900	2,934,134	2,934,730
<i>OCFS - Other</i>	77,362	72,114	80,798	91,978	96,142	96,142
Housing and Community Renewal, Division of	420,810	422,463	910,837	1,035,944	725,978	715,978
Human Rights, Division of	14,612	14,990	14,343	15,257	14,990	14,990
Labor, Department of	562,650	585,801	568,499	573,065	602,207	602,207
National and Community Service	15,097	10,334	16,653	16,986	17,305	17,305
Nonprofit Infrastructure Capital Investment Program	6,951	30,751	44,500	16,049	5,000	0
Roosevelt Island Operating Corporation	0	25,028	0	0	0	0
Temporary and Disability Assistance, Office of	4,614,149	5,442,618	5,177,338	5,194,229	5,204,769	5,203,359
<i>Welfare Assistance</i>	3,297,890	4,125,669	3,848,312	3,858,942	3,858,942	3,858,942
<i>All Other</i>	1,316,259	1,316,949	1,329,026	1,335,287	1,345,827	1,344,417
<b>Functional Total</b>	<b>8,774,051</b>	<b>9,451,947</b>	<b>9,510,574</b>	<b>9,825,408</b>	<b>9,600,525</b>	<b>9,584,711</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	596,829	599,319	657,314	669,779	651,107	678,917
<i>OASAS</i>	503,832	525,470	591,305	602,328	582,929	610,345
<i>OASAS - Other</i>	92,997	73,849	66,009	67,451	68,178	68,572
Developmental Disabilities Planning Council	4,378	3,948	4,200	4,200	4,200	4,200
Justice Center	41,365	44,628	44,756	46,301	45,681	46,360
Mental Health, Office of	3,501,554	2,915,984	2,847,649	2,875,784	2,967,741	3,056,273
<i>OMH</i>	1,778,348	1,657,443	1,768,869	1,760,327	1,813,875	1,873,940
<i>OMH - Other</i>	1,723,206	1,258,541	1,078,780	1,115,457	1,153,866	1,182,333
Mental Hygiene, Department of	204	0	0	0	0	0
People with Developmental Disabilities, Office for	2,935,355	1,937,655	1,893,781	2,313,570	2,238,618	2,429,594
<i>OPWDD</i>	479,874	485,535	461,835	434,153	444,793	456,330
<i>OPWDD - Other</i>	2,455,481	1,452,120	1,431,946	1,879,417	1,793,825	1,973,264
<b>Functional Total</b>	<b>7,079,685</b>	<b>5,501,534</b>	<b>5,447,700</b>	<b>5,909,634</b>	<b>5,907,347</b>	<b>6,215,344</b>

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

	<b>FY 2018 Results</b>	<b>FY 2019 Results</b>	<b>FY 2020 Updated</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>	<b>FY 2023 Projected</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correction, Commission of	2,594	2,541	2,651	2,773	2,735	2,735
Correctional Services, Department of	3,017,424	2,976,983	3,246,250	3,114,699	3,152,822	3,210,007
Corrections and Community Supervision Medicaid, Department of	0	0	2,000	2,000	0	0
Criminal Justice Services, Division of	221,521	268,933	238,545	229,251	229,073	229,912
Homeland Security and Emergency Services, Division of	1,524,755	1,217,150	1,336,314	1,233,096	1,187,635	1,142,933
Indigent Legal Services, Office of	75,499	88,454	150,900	211,080	261,200	311,215
Judicial Conduct, Commission on	5,552	5,423	6,038	5,936	6,114	6,220
Judicial Nomination, Commission on	10	0	30	30	30	30
Judicial Screening Committees, New York State	14	7	38	38	38	38
Military and Naval Affairs, Division of	132,339	150,526	186,651	130,465	122,003	112,557
State Police, Division of	853,462	840,623	892,444	921,139	918,812	931,831
Statewide Financial System	30,137	30,520	30,506	30,949	31,117	31,732
Victim Services, Office of	60,952	79,725	105,295	85,946	86,733	86,733
<b>Functional Total</b>	<b>5,924,259</b>	<b>5,660,885</b>	<b>6,197,662</b>	<b>5,967,402</b>	<b>5,998,312</b>	<b>6,065,943</b>
<b>HIGHER EDUCATION</b>						
City University of New York	1,604,707	1,638,437	1,677,723	1,719,981	1,754,371	1,789,313
Higher Education - Miscellaneous	337	540	441	441	441	441
Higher Education Facilities Capital Matching Grants Program	4,425	8,769	11,875	15,750	12,650	14,150
Higher Education Services Corporation, New York State	934,399	1,036,617	1,014,552	940,315	949,882	952,416
State University of New York	8,281,822	8,303,889	8,540,816	8,845,079	8,951,174	9,188,008
<b>Functional Total</b>	<b>10,825,690</b>	<b>10,988,252</b>	<b>11,245,407</b>	<b>11,521,566</b>	<b>11,668,518</b>	<b>11,944,328</b>
<b>EDUCATION</b>						
Arts, Council on the	39,750	44,212	46,053	46,049	45,953	45,953
Education, Department of	33,883,898	35,368,982	36,524,180	37,549,144	38,622,346	39,753,857
<i>School Aid</i>	27,848,709	29,115,633	30,484,078	31,582,117	32,756,073	33,882,820
<i>School Aid – Other</i>	61,169	152,867	78,195	78,195	78,195	78,195
<i>STAR Property Tax Relief</i>	2,589,145	2,423,112	2,175,995	2,073,116	1,979,457	1,857,985
<i>Special Education Categorical Programs</i>	2,001,400	2,298,888	2,145,637	2,218,221	2,284,066	2,351,451
<i>All Other</i>	1,383,475	1,378,482	1,640,275	1,597,495	1,524,555	1,583,406
<b>Functional Total</b>	<b>33,923,648</b>	<b>35,413,194</b>	<b>36,570,233</b>	<b>37,595,193</b>	<b>38,668,299</b>	<b>39,799,810</b>
<b>GENERAL GOVERNMENT</b>						
Budget, Division of the	27,312	26,125	30,133	30,603	29,596	29,596
Civil Service, Department of	14,975	16,128	16,351	14,848	14,890	14,911
Deferred Compensation Board	675	776	891	896	900	904
Elections, State Board of	11,951	11,162	30,127	15,363	13,634	11,032
Employee Relations, Office of	3,569	2,097	6,444	6,542	6,444	6,445
Gaming Commission, New York State	176,850	159,059	285,401	215,100	213,683	212,683
General Services, Office of	280,851	307,115	344,972	363,895	265,265	236,665
Inspector General, Office of the	7,166	6,844	7,487	7,826	8,061	8,210
Labor Management Committees	30,630	35,520	36,306	31,517	31,306	31,306
Prevention of Domestic Violence, Office for	2,372	2,823	3,099	3,121	3,059	3,059
Public Employment Relations Board	3,260	3,430	3,634	3,764	3,634	3,634
Public Integrity, Commission on	5,115	5,223	5,630	5,835	6,010	6,119
State, Department of	127,596	146,276	163,870	149,450	173,617	195,634
Tax Appeals, Division of	2,688	2,820	3,040	3,150	3,040	3,040
Taxation and Finance, Department of	346,372	320,578	370,142	382,309	370,182	369,542
Technology, Office for	641,934	615,182	654,434	619,710	591,769	584,929
Veterans' Services, Division of	14,694	17,254	17,673	16,333	16,321	16,332
Welfare Inspector General, Office of	671	629	701	731	753	768
Workers' Compensation Board	198,190	198,486	226,439	244,171	196,439	196,439
<b>Functional Total</b>	<b>1,896,871</b>	<b>1,877,527</b>	<b>2,206,774</b>	<b>2,115,164</b>	<b>1,948,603</b>	<b>1,931,248</b>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	180,421	184,860	194,794	195,334	191,670	191,670
Executive Chamber	13,560	12,673	13,578	14,032	13,578	13,578
Judiciary	2,965,828	3,074,939	3,134,275	3,181,601	3,121,101	3,121,401
Law, Department of	243,901	264,200	247,973	252,123	244,455	245,311
Legislature	223,372	223,573	242,215	249,056	255,949	256,002
Lieutenant Governor, Office of the	492	530	614	634	614	614
<b>Functional Total</b>	<b>3,627,574</b>	<b>3,760,775</b>	<b>3,833,449</b>	<b>3,892,780</b>	<b>3,827,367</b>	<b>3,828,576</b>
<b>LOCAL GOVERNMENT ASSISTANCE</b>						
Aid and Incentives for Municipalities	721,920	721,979	671,208	704,192	704,192	704,192
County-Wide Shared Services Initiative	0	0	40,000	65,000	60,000	60,000
Efficiency Incentive Grants Program	348	0	0	0	0	0
Miscellaneous Financial Assistance	9,270	12,001	11,998	2,250	2,250	2,250
Municipalities with VLT Facilities	28,885	28,885	28,885	28,885	28,885	28,885
Small Government Assistance	217	217	218	218	218	218
<b>Functional Total</b>	<b>760,640</b>	<b>763,082</b>	<b>752,309</b>	<b>800,545</b>	<b>795,545</b>	<b>795,545</b>
<b>ALL OTHER CATEGORIES</b>						
Arts and Cultural Facilities Improvement	0	3,421	11,000	5,000	0	0
General State Charges	4,842,815	6,373,456	6,894,907	7,438,282	8,003,887	8,563,970
Long-Term Debt Service	5,914,725	6,736,264	5,216,029	6,917,605	7,169,547	7,373,446
Miscellaneous	(257,877)	(254,961)	(705,601)	(665,702)	(729,354)	(641,532)
Special Infrastructure Account	783,139	1,019,587	1,114,126	683,961	201,924	181,074
<b>Functional Total</b>	<b>11,282,802</b>	<b>13,877,767</b>	<b>12,530,461</b>	<b>14,379,146</b>	<b>14,646,004</b>	<b>15,476,958</b>
<b>TOTAL ALL GOVERNMENTAL FUNDS SPENDING</b>	<b>163,743,597</b>	<b>170,875,070</b>	<b>176,116,871</b>	<b>181,526,192</b>	<b>184,860,891</b>	<b>191,134,376</b>

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
LOCAL ASSISTANCE  
(thousands of dollars)**

	<b>FY 2018 Results</b>	<b>FY 2019 Results</b>	<b>FY 2020 Updated</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>	<b>FY 2023 Projected</b>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	32,318	33,045	32,932	25,197	25,347	25,347
Economic Development Capital	2,751	1,777	20,270	19,569	29,069	29,069
Economic Development, Department of	68,718	75,941	60,189	60,841	60,442	60,442
Empire State Development Corporation	1,136,529	1,241,923	1,033,768	1,130,017	1,321,138	1,121,138
Financial Services, Department of	57,254	64,179	58,602	58,496	58,496	58,496
Olympic Regional Development Authority	0	2,360	0	0	0	0
Power Authority, New York	83	0	0	0	0	0
Public Service Department	102	218	122	122	122	122
Regional Economic Development Program	2,030	2,938	(17)	(17)	(17)	(17)
Strategic Investment Program	1,625	2,888	6,317	10,494	6,317	6,317
<b>Functional Total</b>	<b>1,301,410</b>	<b>1,425,269</b>	<b>1,212,183</b>	<b>1,304,719</b>	<b>1,500,914</b>	<b>1,300,914</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Environmental Conservation, Department of	345,907	475,941	397,529	438,465	456,807	461,204
Parks, Recreation and Historic Preservation, Office of	9,463	11,546	5,560	5,220	5,220	5,220
<b>Functional Total</b>	<b>355,370</b>	<b>487,487</b>	<b>403,089</b>	<b>443,685</b>	<b>462,027</b>	<b>466,424</b>
<b>TRANSPORTATION</b>						
Metropolitan Transportation Authority	65,000	739,514	644,487	385,856	0	0
Motor Vehicles, Department of	14,833	16,526	18,000	18,000	18,000	18,000
Transportation, Department of	6,168,328	5,098,210	4,737,658	4,980,359	5,155,197	5,397,316
<b>Functional Total</b>	<b>6,248,161</b>	<b>5,854,250</b>	<b>5,400,145</b>	<b>5,384,215</b>	<b>5,173,197</b>	<b>5,415,316</b>
<b>HEALTH</b>						
Aging, Office for the	221,725	228,997	241,687	245,280	250,462	255,773
Health, Department of	64,482,675	68,094,799	71,873,888	73,183,543	75,645,345	79,266,230
<i>Medical Assistance</i>	55,644,622	58,623,402	62,178,607	63,641,145	66,080,611	69,446,535
<i>Essential Plan</i>	3,874,925	4,007,287	4,153,529	4,206,333	4,215,388	4,224,442
<i>Medicaid Administration</i>	716,713	1,240,677	1,146,949	880,573	867,735	849,151
<i>Public Health</i>	4,246,415	4,223,433	4,394,803	4,455,492	4,481,611	4,746,102
<b>Functional Total</b>	<b>64,704,400</b>	<b>68,323,796</b>	<b>72,115,575</b>	<b>73,428,823</b>	<b>75,895,807</b>	<b>79,522,003</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	2,764,348	2,521,424	2,368,756	2,445,088	2,499,019	2,499,602
<i>OCFS</i>	2,686,986	2,449,310	2,287,958	2,353,110	2,402,877	2,403,460
<i>OCFS - Other</i>	77,362	72,114	80,798	91,978	96,142	96,142
Housing and Community Renewal, Division of	340,738	337,864	809,941	930,820	617,246	607,246
Labor, Department of	161,244	138,948	170,057	157,042	157,042	157,042
National and Community Service	477	270	432	432	432	432
Nonprofit Infrastructure Capital Investment Program	6,951	30,751	0	0	0	0
Roosevelt Island Operating Corporation	0	25,028	0	0	0	0
Temporary and Disability Assistance, Office of	4,277,380	5,085,975	4,845,615	4,857,045	4,860,845	4,858,395
<i>Welfare Assistance</i>	3,297,890	4,125,669	3,848,312	3,858,942	3,858,942	3,858,942
<i>All Other</i>	979,490	960,306	997,303	998,103	1,001,903	999,453
<b>Functional Total</b>	<b>7,551,138</b>	<b>8,140,260</b>	<b>8,194,801</b>	<b>8,390,427</b>	<b>8,134,584</b>	<b>8,122,717</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	469,583	503,363	560,581	571,825	552,630	579,333
<i>OASAS</i>	448,258	482,038	539,256	550,500	531,305	558,008
<i>OASAS - Other</i>	21,325	21,325	21,325	21,325	21,325	21,325
Justice Center	619	679	649	649	649	649
Mental Health, Office of	1,281,980	1,369,440	1,253,023	1,303,130	1,371,699	1,436,081
<i>OMH</i>	1,016,591	1,090,479	1,175,785	1,220,878	1,277,978	1,334,117
<i>OMH - Other</i>	265,389	278,961	77,238	82,252	93,721	101,964
People with Developmental Disabilities, Office for	847,982	519,476	469,773	881,632	791,033	963,522
<i>OPWDD</i>	409,319	388,326	366,470	351,330	361,507	372,369
<i>OPWDD - Other</i>	438,663	131,150	103,303	530,302	429,526	591,153
<b>Functional Total</b>	<b>2,600,164</b>	<b>2,392,958</b>	<b>2,284,026</b>	<b>2,757,236</b>	<b>2,716,011</b>	<b>2,979,585</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correctional Services, Department of	5,686	3,435	5,016	4,836	4,836	4,836
Corrections and Community Supervision Medicaid, Department of	0	0	2,000	2,000	0	0
Criminal Justice Services, Division of	170,749	222,515	192,581	182,233	182,233	182,233
Homeland Security and Emergency Services, Division of	1,430,243	1,127,676	1,227,524	1,128,542	1,085,839	1,042,925
Indigent Legal Services, Office of	72,102	83,765	144,810	204,810	254,810	304,810
Military and Naval Affairs, Division of	819	885	820	820	886	904
State Police, Division of	62	14	0	0	0	0
Victim Services, Office of	52,669	70,626	95,744	77,744	78,492	78,492
<b>Functional Total</b>	<b>1,732,330</b>	<b>1,508,916</b>	<b>1,668,495</b>	<b>1,600,985</b>	<b>1,607,096</b>	<b>1,614,200</b>
<b>HIGHER EDUCATION</b>						
City University of New York	1,465,153	1,508,007	1,536,842	1,577,439	1,610,889	1,644,883
Higher Education Facilities Capital Matching Grants Program	4,425	8,769	11,875	15,750	12,650	14,150
Higher Education Services Corporation, New York State	879,384	984,334	955,624	881,387	890,954	893,488
State University of New York	489,533	487,979	482,766	486,767	486,767	485,767
<b>Functional Total</b>	<b>2,838,495</b>	<b>2,989,089</b>	<b>2,987,107</b>	<b>2,961,343</b>	<b>3,001,260</b>	<b>3,038,288</b>

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
LOCAL ASSISTANCE  
(thousands of dollars)**

	<b>FY 2018 Results</b>	<b>FY 2019 Results</b>	<b>FY 2020 Updated</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>	<b>FY 2023 Projected</b>
<b>EDUCATION</b>						
Arts, Council on the	35,490	39,946	41,633	41,533	41,533	41,533
Education, Department of	<u>33,486,145</u>	<u>34,953,035</u>	<u>36,050,159</u>	<u>37,053,889</u>	<u>38,182,353</u>	<u>39,284,369</u>
<i>School Aid</i>	27,848,709	29,115,633	30,484,078	31,582,117	32,756,073	33,882,820
<i>School Aid – Other</i>	61,169	152,867	78,195	78,195	78,195	78,195
<i>STAR Property Tax Relief</i>	2,589,145	2,423,112	2,175,995	2,073,116	1,979,457	1,857,985
<i>Special Education Categorical Programs</i>	2,001,400	2,298,888	2,145,637	2,218,221	2,284,066	2,351,451
<i>All Other</i>	<u>985,722</u>	<u>962,535</u>	<u>1,166,254</u>	<u>1,102,240</u>	<u>1,084,562</u>	<u>1,113,918</u>
<b>Functional Total</b>	<u>33,521,635</u>	<u>34,992,981</u>	<u>36,091,792</u>	<u>37,095,422</u>	<u>38,223,886</u>	<u>39,325,902</u>
<b>GENERAL GOVERNMENT</b>						
Civil Service, Department of	0	567	2,000	0	0	0
Elections, State Board of	326	450	14,700	0	0	0
Gaming Commission, New York State	65,779	69,013	199,668	121,950	122,950	121,950
General Services, Office of	0	0	250	250	250	250
Prevention of Domestic Violence, Office for	791	1,267	1,435	1,385	1,412	1,412
State, Department of	65,899	80,987	98,244	83,494	108,494	130,494
Taxation and Finance, Department of	1,542	2,435	2,726	2,726	2,726	2,726
Veterans' Services, Division of	7,906	10,499	9,485	7,840	7,840	7,840
<b>Functional Total</b>	<u>142,243</u>	<u>165,218</u>	<u>328,508</u>	<u>217,645</u>	<u>243,672</u>	<u>264,672</u>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	32,025	32,025	32,024	32,024	32,024	32,024
Judiciary	<u>114,224</u>	<u>101,561</u>	<u>114,900</u>	<u>129,901</u>	<u>129,901</u>	<u>129,901</u>
<b>Functional Total</b>	<u>146,249</u>	<u>133,586</u>	<u>146,924</u>	<u>161,925</u>	<u>161,925</u>	<u>161,925</u>
<b>LOCAL GOVERNMENT ASSISTANCE</b>						
Aid and Incentives for Municipalities	721,789	721,732	671,208	704,192	704,192	704,192
County-Wide Shared Services Initiative	0	0	40,000	65,000	60,000	60,000
Efficiency Incentive Grants Program	348	0	0	0	0	0
Miscellaneous Financial Assistance	9,270	12,001	11,998	2,250	2,250	2,250
Municipalities with VLT Facilities	28,885	28,885	28,885	28,885	28,885	28,885
Small Government Assistance	217	217	218	218	218	218
<b>Functional Total</b>	<u>760,509</u>	<u>762,835</u>	<u>752,309</u>	<u>800,545</u>	<u>795,545</u>	<u>795,545</u>
<b>ALL OTHER CATEGORIES</b>						
Arts and Cultural Facilities Improvement	0	3,340	6,000	0	0	0
Miscellaneous	(329,661)	(343,899)	(208,380)	(179,439)	(164,290)	(175,290)
Special Infrastructure Account	<u>422,467</u>	<u>595,694</u>	<u>5,000</u>	<u>10,000</u>	<u>0</u>	<u>20,000</u>
<b>Functional Total</b>	<u>92,806</u>	<u>255,135</u>	<u>(197,380)</u>	<u>(169,439)</u>	<u>(164,290)</u>	<u>(155,290)</u>
<b>TOTAL LOCAL ASSISTANCE SPENDING</b>	<u>121,994,910</u>	<u>127,431,780</u>	<u>131,387,574</u>	<u>134,377,531</u>	<u>137,751,634</u>	<u>142,852,201</u>

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
STATE OPERATIONS  
(thousands of dollars)**

	<b>FY 2018 Results</b>	<b>FY 2019 Results</b>	<b>FY 2020 Updated</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>	<b>FY 2023 Projected</b>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	51,225	53,523	52,051	53,723	67,653	67,453
Alcoholic Beverage Control, Division of	11,819	11,546	11,275	11,560	11,244	11,244
Economic Development, Department of	19,891	18,266	17,536	17,536	17,536	17,536
Financial Services, Department of	209,633	216,351	210,099	216,381	210,244	210,244
Olympic Regional Development Authority	2,736	8,784	8,676	8,676	8,676	8,676
Public Service Department	54,691	54,273	53,606	54,310	52,144	50,764
<b>Functional Total</b>	<b>349,995</b>	<b>362,743</b>	<b>353,243</b>	<b>362,186</b>	<b>367,497</b>	<b>365,917</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Adirondack Park Agency	4,468	4,297	5,078	5,064	4,906	4,906
Environmental Conservation, Department of	252,567	249,167	247,227	260,380	255,435	255,534
Parks, Recreation and Historic Preservation, Office of	173,759	181,702	162,610	168,258	164,888	164,888
<b>Functional Total</b>	<b>430,794</b>	<b>435,166</b>	<b>414,915</b>	<b>433,702</b>	<b>425,229</b>	<b>425,328</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	53,425	63,061	68,898	70,084	69,765	69,765
Transportation, Department of	26,750	320,694	365,817	361,374	365,741	365,741
<b>Functional Total</b>	<b>80,175</b>	<b>383,755</b>	<b>434,715</b>	<b>431,458</b>	<b>435,506</b>	<b>435,506</b>
<b>HEALTH</b>						
Aging, Office for the	12,800	8,722	12,237	12,422	12,379	12,379
Health, Department of	1,457,078	1,461,058	1,582,633	1,546,402	1,544,420	1,507,531
<i>Essential Plan</i>	87,638	76,580	84,224	78,757	76,397	72,453
<i>Medicaid Administration</i>	636,474	607,179	758,670	723,905	731,658	701,794
<i>Public Health</i>	732,966	777,299	739,739	743,740	736,365	733,284
Medicaid Inspector General, Office of the	37,092	35,842	36,549	37,812	36,591	36,591
<b>Functional Total</b>	<b>1,506,970</b>	<b>1,505,622</b>	<b>1,631,419</b>	<b>1,596,636</b>	<b>1,593,390</b>	<b>1,556,501</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	321,311	326,193	359,830	480,955	485,586	485,586
<i>OCFS</i>	321,311	326,193	359,830	480,955	485,586	485,586
Housing and Community Renewal, Division of	60,527	58,687	67,970	72,198	71,081	71,081
Human Rights, Division of	14,612	14,990	14,343	15,257	14,990	14,990
Labor, Department of	280,007	287,352	281,762	299,343	304,943	304,943
National and Community Service	14,620	10,064	15,985	16,312	16,631	16,631
Temporary and Disability Assistance, Office of	283,573	290,245	283,384	287,844	293,560	293,560
<i>All Other</i>	283,573	290,245	283,384	287,844	293,560	293,560
<b>Functional Total</b>	<b>974,650</b>	<b>987,531</b>	<b>1,023,274</b>	<b>1,171,909</b>	<b>1,186,791</b>	<b>1,186,791</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	88,483	91,537	94,059	95,222	95,696	96,689
<i>OASAS</i>	38,975	39,013	49,375	49,096	48,843	49,442
<i>OASAS - Other</i>	49,508	52,524	44,684	46,126	46,853	47,247
Developmental Disabilities Planning Council	3,508	3,180	3,415	3,415	3,415	3,415
Justice Center	40,037	42,729	43,145	44,664	44,019	44,645
Mental Health, Office of	1,352,907	1,346,328	1,371,319	1,400,390	1,436,685	1,466,192
<i>OMH</i>	352,420	332,772	369,777	367,185	376,540	385,823
<i>OMH - Other</i>	1,000,487	1,013,556	1,001,542	1,033,205	1,060,145	1,080,369
Mental Hygiene, Department of	204	0	0	0	0	0
People with Developmental Disabilities, Office for	1,333,563	1,321,615	1,329,824	1,350,296	1,365,480	1,383,292
<i>OPWDD</i>	546	645	1,181	1,181	1,181	1,181
<i>OPWDD - Other</i>	1,333,017	1,320,970	1,328,643	1,349,115	1,364,299	1,382,111
<b>Functional Total</b>	<b>2,818,702</b>	<b>2,805,389</b>	<b>2,841,762</b>	<b>2,893,987</b>	<b>2,945,295</b>	<b>2,994,233</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correction, Commission of	2,594	2,541	2,651	2,773	2,735	2,735
Correctional Services, Department of	2,654,783	2,602,342	2,873,769	2,768,070	2,809,154	2,887,049
Criminal Justice Services, Division of	50,120	45,900	45,581	46,635	46,457	47,288
Homeland Security and Emergency Services, Division of	58,572	68,256	78,213	79,469	81,896	82,736
Indigent Legal Services, Office of	2,328	2,838	4,044	4,110	4,108	4,123
Judicial Conduct, Commission on	5,552	5,423	6,038	5,936	6,114	6,220
Judicial Nomination, Commission on	10	0	30	30	30	30
Judicial Screening Committees, New York State	14	7	38	38	38	38
Military and Naval Affairs, Division of	62,438	62,111	60,939	61,547	62,118	63,291
State Police, Division of	764,680	745,098	815,034	834,833	833,301	853,270
Statewide Financial System	30,137	30,520	30,506	30,949	31,117	31,732
Victim Services, Office of	6,645	7,205	7,901	6,552	6,558	6,558
<b>Functional Total</b>	<b>3,637,873</b>	<b>3,572,241</b>	<b>3,924,744</b>	<b>3,840,942</b>	<b>3,883,626</b>	<b>3,985,070</b>
<b>HIGHER EDUCATION</b>						
City University of New York	102,801	97,838	104,111	105,040	105,980	106,928
Higher Education - Miscellaneous	256	340	291	291	291	291
Higher Education Services Corporation, New York State	46,884	42,475	49,907	49,907	49,907	49,907
State University of New York	6,421,348	6,352,033	6,526,424	6,780,538	6,867,190	7,072,694
<b>Functional Total</b>	<b>6,571,289</b>	<b>6,492,686</b>	<b>6,680,733</b>	<b>6,935,776</b>	<b>7,023,368</b>	<b>7,229,820</b>
<b>EDUCATION</b>						
Arts, Council on the	4,260	4,266	4,420	4,516	4,420	4,420
Education, Department of	305,724	301,188	291,284	297,077	293,867	293,844
<i>All Other</i>	305,724	301,188	291,284	297,077	293,867	293,844
<b>Functional Total</b>	<b>309,984</b>	<b>305,454</b>	<b>295,704</b>	<b>301,593</b>	<b>298,287</b>	<b>298,264</b>

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
STATE OPERATIONS  
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
<b>GENERAL GOVERNMENT</b>						
Budget, Division of the	26,600	25,218	28,476	28,886	27,939	27,939
Civil Service, Department of	14,795	15,422	14,120	14,604	14,649	14,666
Deferred Compensation Board	451	474	648	649	648	648
Elections, State Board of	11,625	10,442	15,127	15,063	13,334	10,732
Employee Relations, Office of	3,569	2,097	6,444	6,542	6,444	6,445
Gaming Commission, New York State	94,531	67,292	68,158	74,685	73,158	73,158
General Services, Office of	151,633	151,612	112,512	111,313	109,923	111,701
Inspector General, Office of the	7,166	6,844	7,487	7,826	8,061	8,210
Labor Management Committees	24,773	29,699	31,306	26,517	26,306	26,306
Prevention of Domestic Violence, Office for	1,581	1,556	1,664	1,736	1,647	1,647
Public Employment Relations Board	3,260	3,430	3,634	3,764	3,634	3,634
Public Integrity, Commission on	5,115	5,223	5,630	5,835	6,010	6,119
State, Department of	48,251	46,216	47,892	48,984	47,872	47,872
Tax Appeals, Division of	2,688	2,820	3,040	3,150	3,040	3,040
Taxation and Finance, Department of	322,195	312,476	341,107	352,016	341,065	340,498
Technology, Office for	539,103	544,832	540,316	550,762	557,318	568,476
Veterans' Services, Division of	6,493	6,370	7,698	7,979	7,945	7,945
Welfare Inspector General, Office of	671	629	701	731	753	768
Workers' Compensation Board	140,971	141,918	143,219	148,002	143,219	143,219
<b>Functional Total</b>	<u>1,405,471</u>	<u>1,374,570</u>	<u>1,379,179</u>	<u>1,409,044</u>	<u>1,392,965</u>	<u>1,403,023</u>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	146,719	148,498	157,449	160,113	157,449	157,449
Executive Chamber	13,560	12,673	13,578	14,032	13,578	13,578
Judiciary	2,087,034	2,175,298	2,176,100	2,233,100	2,176,100	2,176,400
Law, Department of	210,621	215,230	211,849	217,300	211,849	212,705
Legislature	223,372	223,573	242,215	249,056	255,949	256,002
Lieutenant Governor, Office of the	492	530	614	634	614	614
<b>Functional Total</b>	<u>2,681,798</u>	<u>2,775,802</u>	<u>2,801,805</u>	<u>2,874,235</u>	<u>2,815,539</u>	<u>2,816,748</u>
<b>LOCAL GOVERNMENT ASSISTANCE</b>						
Aid and Incentives for Municipalities	131	247	0	0	0	0
<b>Functional Total</b>	<u>131</u>	<u>247</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>ALL OTHER CATEGORIES</b>						
Long-Term Debt Service	41,887	37,699	45,706	44,359	44,359	44,359
Miscellaneous	47,603	49,852	27,001	27,056	27,056	27,056
<b>Functional Total</b>	<u>89,490</u>	<u>87,551</u>	<u>72,707</u>	<u>71,415</u>	<u>71,415</u>	<u>71,415</u>
<b>TOTAL STATE OPERATIONS SPENDING</b>	<u><u>20,857,322</u></u>	<u><u>21,088,757</u></u>	<u><u>21,854,200</u></u>	<u><u>22,322,883</u></u>	<u><u>22,438,908</u></u>	<u><u>22,768,616</u></u>

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
PERSONAL SERVICE  
(thousands of dollars)**

	<b>FY 2018 Results</b>	<b>FY 2019 Results</b>	<b>FY 2020 Updated</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>	<b>FY 2023 Projected</b>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	34,271	33,983	33,291	34,916	34,026	34,026
Alcoholic Beverage Control, Division of	8,131	8,166	8,316	8,901	8,585	8,585
Economic Development, Department of	13,243	13,090	12,929	12,929	12,929	12,929
Financial Services, Department of	154,146	156,895	153,752	159,736	153,893	153,893
Olympic Regional Development Authority	2,548	5,595	5,338	5,338	5,338	5,338
Public Service Department	44,476	43,935	42,947	44,885	43,287	43,300
<b>Functional Total</b>	<b>256,815</b>	<b>261,664</b>	<b>256,573</b>	<b>266,705</b>	<b>258,058</b>	<b>258,071</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Adirondack Park Agency	4,179	3,950	4,401	4,401	4,243	4,243
Environmental Conservation, Department of	200,579	198,080	193,516	203,094	198,229	198,280
Parks, Recreation and Historic Preservation, Office of	132,575	136,844	126,785	133,250	129,880	129,880
<b>Functional Total</b>	<b>337,333</b>	<b>338,874</b>	<b>324,702</b>	<b>340,745</b>	<b>332,352</b>	<b>332,403</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	37,707	45,393	48,098	49,186	49,476	49,476
Transportation, Department of	11,964	164,949	172,868	173,393	172,880	172,880
<b>Functional Total</b>	<b>49,671</b>	<b>210,342</b>	<b>220,966</b>	<b>222,579</b>	<b>222,356</b>	<b>222,356</b>
<b>HEALTH</b>						
Aging, Office for the	7,079	7,193	7,782	7,967	7,924	7,924
Health, Department of	353,534	353,151	399,389	411,248	402,835	403,402
<i>Essential Plan</i>	3,148	3,233	4,580	4,418	4,384	4,487
<i>Medicaid Administration</i>	69,223	70,586	95,529	101,871	99,181	99,431
<i>Public Health</i>	281,163	279,332	299,280	304,959	299,270	299,484
Medicaid Inspector General, Office of the	31,681	30,415	31,249	32,470	31,249	31,249
<b>Functional Total</b>	<b>392,294</b>	<b>390,759</b>	<b>438,420</b>	<b>451,685</b>	<b>442,008</b>	<b>442,575</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	197,353	202,543	201,265	297,332	298,050	298,050
<i>OCFS</i>	197,353	202,543	201,265	297,332	298,050	298,050
Housing and Community Renewal, Division of	47,478	46,746	54,893	58,245	56,832	56,832
Human Rights, Division of	12,315	12,688	12,596	13,475	13,173	13,173
Labor, Department of	206,559	210,868	192,238	206,414	208,606	208,606
National and Community Service	551	621	708	730	738	738
Temporary and Disability Assistance, Office of	153,573	155,859	150,999	154,335	157,441	157,441
<i>All Other</i>	153,573	155,859	150,999	154,335	157,441	157,441
<b>Functional Total</b>	<b>617,829</b>	<b>629,325</b>	<b>612,699</b>	<b>730,531</b>	<b>734,840</b>	<b>734,840</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	61,535	62,746	65,719	67,519	67,213	67,899
<i>OASAS</i>	25,461	24,921	32,138	32,922	32,321	32,651
<i>OASAS - Other</i>	36,074	37,825	33,581	34,597	34,892	35,248
Developmental Disabilities Planning Council	1,007	1,025	1,266	1,266	1,266	1,266
Justice Center	31,552	32,886	34,396	35,653	34,724	35,052
Mental Health, Office of	1,072,437	1,087,695	1,109,719	1,127,441	1,140,593	1,156,759
<i>OMH</i>	289,317	269,052	324,792	321,800	329,817	337,605
<i>OMH - Other</i>	783,120	818,643	784,927	805,641	810,776	819,154
People with Developmental Disabilities, Office for	1,129,874	1,142,709	1,147,023	1,162,291	1,172,066	1,184,331
<i>OPWDD</i>	124	387	0	0	0	0
<i>OPWDD - Other</i>	1,129,750	1,142,322	1,147,023	1,162,291	1,172,066	1,184,331
<b>Functional Total</b>	<b>2,296,405</b>	<b>2,327,061</b>	<b>2,358,123</b>	<b>2,394,170</b>	<b>2,415,862</b>	<b>2,445,307</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correction, Commission of	2,316	2,276	2,414	2,531	2,488	2,488
Correctional Services, Department of	2,124,813	2,113,450	2,370,794	2,269,401	2,315,684	2,390,233
Criminal Justice Services, Division of	32,935	33,118	32,104	33,158	32,714	33,228
Homeland Security and Emergency Services, Division of	27,650	34,464	44,610	45,856	47,215	47,774
Indigent Legal Services, Office of	1,873	2,430	3,208	3,274	3,260	3,260
Judicial Conduct, Commission on	4,238	3,959	4,677	4,548	4,483	4,573
Military and Naval Affairs, Division of	36,483	35,883	35,434	35,732	35,808	36,526
State Police, Division of	687,413	670,416	741,805	761,535	757,531	776,396
Statewide Financial System	11,094	11,294	11,882	12,325	12,121	12,356
Victim Services, Office of	5,044	5,139	5,656	5,032	5,015	5,015
<b>Functional Total</b>	<b>2,933,859</b>	<b>2,912,429</b>	<b>3,252,584</b>	<b>3,173,392</b>	<b>3,216,319</b>	<b>3,311,849</b>
<b>HIGHER EDUCATION</b>						
City University of New York	52,623	47,274	48,594	49,045	49,501	49,961
Higher Education - Miscellaneous	169	232	198	198	198	198
Higher Education Services Corporation, New York State	13,380	12,339	13,752	13,752	13,752	13,752
State University of New York	3,761,249	3,962,541	4,199,414	4,418,637	4,468,010	4,624,722
<b>Functional Total</b>	<b>3,827,421</b>	<b>4,022,386</b>	<b>4,261,958</b>	<b>4,481,632</b>	<b>4,531,461</b>	<b>4,688,633</b>
<b>EDUCATION</b>						
Arts, Council on the	2,626	2,636	2,498	2,594	2,498	2,498
Education, Department of	176,266	177,576	168,849	175,409	172,035	172,001
<i>All Other</i>	176,266	177,576	168,849	175,409	172,035	172,001
<b>Functional Total</b>	<b>178,892</b>	<b>180,212</b>	<b>171,347</b>	<b>178,003</b>	<b>174,533</b>	<b>174,499</b>
<b>GENERAL GOVERNMENT</b>						
Budget, Division of the	21,683	21,921	24,567	25,511	24,567	24,567



**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
PERSONAL SERVICE  
(thousands of dollars)**

	<b>FY 2018 Results</b>	<b>FY 2019 Results</b>	<b>FY 2020 Updated</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>	<b>FY 2023 Projected</b>
Civil Service, Department of	14,053	15,062	13,658	14,142	13,752	13,752
Deferred Compensation Board	408	424	413	414	413	413
Elections, State Board of	6,176	6,025	6,768	7,051	6,958	6,796
Employee Relations, Office of	2,515	2,040	6,248	6,346	6,248	6,248
Gaming Commission, New York State	34,862	35,418	38,687	40,178	38,687	38,687
General Services, Office of	67,107	61,158	26,270	29,695	27,278	27,278
Inspector General, Office of the	6,223	5,909	6,648	6,970	6,857	6,994
Labor Management Committees	7,747	8,618	10,487	5,698	5,487	5,487
Prevention of Domestic Violence, Office for	1,435	1,394	1,491	1,524	1,431	1,431
Public Employment Relations Board	3,059	3,175	3,338	3,468	3,338	3,338
Public Integrity, Commission on	4,386	4,516	4,681	4,867	4,827	4,924
State, Department of	32,267	31,280	30,512	31,600	30,512	30,512
Tax Appeals, Division of	2,541	2,513	2,870	2,980	2,870	2,870
Taxation and Finance, Department of	275,658	269,428	277,371	286,655	277,500	277,386
Technology, Office for	289,994	299,018	291,616	308,116	303,458	309,539
Veterans' Services, Division of	6,016	5,667	6,742	7,004	6,950	6,950
Welfare Inspector General, Office of	619	615	626	654	646	659
Workers' Compensation Board	85,117	82,890	84,892	89,608	84,892	84,892
<b>Functional Total</b>	<b>861,866</b>	<b>857,071</b>	<b>837,885</b>	<b>872,481</b>	<b>846,671</b>	<b>852,723</b>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	119,019	118,729	126,111	128,775	126,111	126,111
Executive Chamber	9,979	9,135	11,113	11,567	11,113	11,113
Judiciary	1,626,662	1,733,308	1,711,700	1,768,700	1,711,700	1,712,000
Law, Department of	152,616	155,585	149,913	155,365	149,913	150,769
Legislature	174,350	175,304	187,511	192,583	198,366	198,419
Lieutenant Governor, Office of the	382	414	523	543	523	523
<b>Functional Total</b>	<b>2,083,008</b>	<b>2,192,475</b>	<b>2,186,871</b>	<b>2,257,533</b>	<b>2,197,726</b>	<b>2,198,935</b>
<b>ALL OTHER CATEGORIES</b>						
Miscellaneous	2,194	2,132	(7,715)	(7,660)	(7,660)	(7,660)
<b>Functional Total</b>	<b>2,194</b>	<b>2,132</b>	<b>(7,715)</b>	<b>(7,660)</b>	<b>(7,660)</b>	<b>(7,660)</b>
<b>TOTAL PERSONAL SERVICE SPENDING</b>	<b>13,837,587</b>	<b>14,324,730</b>	<b>14,914,413</b>	<b>15,361,796</b>	<b>15,364,526</b>	<b>15,654,531</b>

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
NON-PERSONAL SERVICE/INDIRECT COSTS  
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	16,954	19,540	18,760	18,807	33,627	33,427
Alcoholic Beverage Control, Division of	3,688	3,380	2,959	2,659	2,659	2,659
Economic Development, Department of	6,648	5,176	4,607	4,607	4,607	4,607
Financial Services, Department of	55,487	59,456	56,347	56,645	56,351	56,351
Olympic Regional Development Authority	188	3,189	3,338	3,338	3,338	3,338
Public Service Department	10,215	10,338	10,659	9,425	8,857	7,464
<b>Functional Total</b>	<b>93,180</b>	<b>101,079</b>	<b>96,670</b>	<b>95,481</b>	<b>109,439</b>	<b>107,846</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Adirondack Park Agency	289	347	677	663	663	663
Environmental Conservation, Department of	51,988	51,087	53,711	57,286	57,206	57,254
Parks, Recreation and Historic Preservation, Office of	41,184	44,858	35,825	35,008	35,008	35,008
<b>Functional Total</b>	<b>93,461</b>	<b>96,292</b>	<b>90,213</b>	<b>92,957</b>	<b>92,877</b>	<b>92,925</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	15,718	17,668	20,800	20,898	20,289	20,289
Transportation, Department of	14,786	155,745	192,949	187,981	192,861	192,861
<b>Functional Total</b>	<b>30,504</b>	<b>173,413</b>	<b>213,749</b>	<b>208,879</b>	<b>213,150</b>	<b>213,150</b>
<b>HEALTH</b>						
Aging, Office for the	5,721	1,529	4,455	4,455	4,455	4,455
Health, Department of	1,103,544	1,107,907	1,183,244	1,135,154	1,141,585	1,104,129
<i>Essential Plan</i>	84,490	73,347	79,644	74,339	72,013	67,966
<i>Medicaid Administration</i>	567,251	536,593	663,141	622,034	632,477	602,363
<i>Public Health</i>	451,803	497,967	440,459	438,781	437,095	433,800
Medicaid Inspector General, Office of the	5,411	5,427	5,300	5,342	5,342	5,342
<b>Functional Total</b>	<b>1,114,676</b>	<b>1,114,863</b>	<b>1,192,999</b>	<b>1,144,951</b>	<b>1,151,382</b>	<b>1,113,926</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	123,958	123,650	158,565	183,623	187,536	187,536
<i>OCFS</i>	123,958	123,650	158,565	183,623	187,536	187,536
Housing and Community Renewal, Division of	13,049	11,941	13,077	13,953	14,249	14,249
Human Rights, Division of	2,297	2,302	1,747	1,782	1,817	1,817
Labor, Department of	73,448	76,484	89,524	92,929	96,337	96,337
National and Community Service	14,069	9,443	15,277	15,582	15,893	15,893
Temporary and Disability Assistance, Office of	130,000	134,386	132,385	133,509	136,119	136,119
<i>All Other</i>	130,000	134,386	132,385	133,509	136,119	136,119
<b>Functional Total</b>	<b>356,821</b>	<b>358,206</b>	<b>410,575</b>	<b>441,378</b>	<b>451,951</b>	<b>451,951</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	26,948	28,791	28,340	27,703	28,483	28,790
<i>OASAS</i>	13,514	14,092	17,237	16,174	16,522	16,791
<i>OASAS - Other</i>	13,434	14,699	11,103	11,529	11,961	11,999
Developmental Disabilities Planning Council	2,501	2,155	2,149	2,149	2,149	2,149
Justice Center	8,485	9,843	8,749	9,011	9,295	9,593
Mental Health, Office of	280,470	258,633	261,600	272,949	296,092	309,433
<i>OMH</i>	63,103	63,720	44,985	45,385	46,723	48,218
<i>OMH - Other</i>	217,367	194,913	216,615	227,564	249,369	261,215
Mental Hygiene, Department of	204	0	0	0	0	0
People with Developmental Disabilities, Office for	203,689	178,906	182,801	188,005	193,414	198,961
<i>OPWDD</i>	422	258	1,181	1,181	1,181	1,181
<i>OPWDD - Other</i>	203,267	178,648	181,620	186,824	192,233	197,780
<b>Functional Total</b>	<b>522,297</b>	<b>478,328</b>	<b>483,639</b>	<b>499,817</b>	<b>529,433</b>	<b>548,926</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correction, Commission of	278	265	237	242	247	247
Correctional Services, Department of	529,970	488,892	502,975	498,669	493,470	496,816
Criminal Justice Services, Division of	17,185	12,782	13,477	13,477	13,743	14,060
Homeland Security and Emergency Services, Division of	30,922	33,792	33,603	33,613	34,681	34,962
Indigent Legal Services, Office of	455	408	836	836	848	863
Judicial Conduct, Commission on	1,314	1,464	1,361	1,388	1,631	1,647
Judicial Nomination, Commission on	10	0	30	30	30	30
Judicial Screening Committees, New York State	14	7	38	38	38	38
Military and Naval Affairs, Division of	25,955	26,228	25,505	25,815	26,310	26,765
State Police, Division of	77,267	74,682	73,229	73,298	75,770	76,874
Statewide Financial System	19,043	19,226	18,624	18,624	18,996	19,376
Victim Services, Office of	1,601	2,066	2,245	1,520	1,543	1,543
<b>Functional Total</b>	<b>704,014</b>	<b>659,812</b>	<b>672,160</b>	<b>667,550</b>	<b>667,307</b>	<b>673,221</b>
<b>HIGHER EDUCATION</b>						
City University of New York	50,178	50,564	55,517	55,995	56,479	56,967
Higher Education - Miscellaneous	87	108	93	93	93	93
Higher Education Services Corporation, New York State	33,504	30,136	36,155	36,155	36,155	36,155
State University of New York	2,660,099	2,389,492	2,327,010	2,361,901	2,399,180	2,447,972
<b>Functional Total</b>	<b>2,743,868</b>	<b>2,470,300</b>	<b>2,418,775</b>	<b>2,454,144</b>	<b>2,491,907</b>	<b>2,541,187</b>
<b>EDUCATION</b>						
Arts, Council on the	1,634	1,630	1,922	1,922	1,922	1,922
Education, Department of	129,458	123,612	122,435	121,668	121,832	121,843
<i>All Other</i>	129,458	123,612	122,435	121,668	121,832	121,843
<b>Functional Total</b>	<b>131,092</b>	<b>125,242</b>	<b>124,357</b>	<b>123,590</b>	<b>123,754</b>	<b>123,765</b>

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
NON-PERSONAL SERVICE/INDIRECT COSTS  
(thousands of dollars)**

	<b>FY 2018 Results</b>	<b>FY 2019 Results</b>	<b>FY 2020 Updated</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>	<b>FY 2023 Projected</b>
<b>GENERAL GOVERNMENT</b>						
Budget, Division of the	4,917	3,297	3,909	3,375	3,372	3,372
Civil Service, Department of	742	360	462	462	897	914
Deferred Compensation Board	43	50	235	235	235	235
Elections, State Board of	5,449	4,417	8,359	8,012	6,376	3,936
Employee Relations, Office of	1,054	57	196	196	196	197
Gaming Commission, New York State	59,669	31,874	29,471	34,507	34,471	34,471
General Services, Office of	84,526	90,454	86,242	81,618	82,645	84,423
Inspector General, Office of the	943	935	839	856	1,204	1,216
Labor Management Committees	17,026	21,081	20,819	20,819	20,819	20,819
Prevention of Domestic Violence, Office for	146	162	173	212	216	216
Public Employment Relations Board	201	255	296	296	296	296
Public Integrity, Commission on	729	707	949	968	1,183	1,195
State, Department of	15,984	14,936	17,380	17,384	17,360	17,360
Tax Appeals, Division of	147	307	170	170	170	170
Taxation and Finance, Department of	46,537	43,048	63,736	65,361	63,565	63,112
Technology, Office for	249,109	245,814	248,700	242,646	253,860	258,937
Veterans' Services, Division of	477	703	956	975	995	995
Welfare Inspector General, Office of	52	14	75	77	107	109
Workers' Compensation Board	55,854	59,028	58,327	58,394	58,327	58,327
<b>Functional Total</b>	<b>543,605</b>	<b>517,499</b>	<b>541,294</b>	<b>536,563</b>	<b>546,294</b>	<b>550,300</b>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	27,700	29,769	31,338	31,338	31,338	31,338
Executive Chamber	3,581	3,538	2,465	2,465	2,465	2,465
Judiciary	460,372	441,990	464,400	464,400	464,400	464,400
Law, Department of	58,005	59,645	61,936	61,935	61,936	61,936
Legislature	49,022	48,269	54,704	56,473	57,583	57,583
Lieutenant Governor, Office of the	110	116	91	91	91	91
<b>Functional Total</b>	<b>598,790</b>	<b>583,327</b>	<b>614,934</b>	<b>616,702</b>	<b>617,813</b>	<b>617,813</b>
<b>LOCAL GOVERNMENT ASSISTANCE</b>						
Aid and Incentives for Municipalities	131	247	0	0	0	0
<b>Functional Total</b>	<b>131</b>	<b>247</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>ALL OTHER CATEGORIES</b>						
Long-Term Debt Service	41,887	37,699	45,706	44,359	44,359	44,359
Miscellaneous	45,409	47,720	34,716	34,716	34,716	34,716
<b>Functional Total</b>	<b>87,296</b>	<b>85,419</b>	<b>80,422</b>	<b>79,075</b>	<b>79,075</b>	<b>79,075</b>
<b>TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING</b>	<b>7,019,735</b>	<b>6,764,027</b>	<b>6,939,787</b>	<b>6,961,087</b>	<b>7,074,382</b>	<b>7,114,085</b>

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
GENERAL STATE CHARGES  
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	3,295	5,851	3,974	4,118	4,129	4,210
Economic Development, Department of	2	0	28	28	28	28
Financial Services, Department of	90,924	113,519	101,824	114,477	114,251	114,251
Olympic Regional Development Authority	0	31	0	0	0	0
Public Service Department	34,183	24,016	28,521	30,274	29,738	30,263
<b>Functional Total</b>	<b>128,404</b>	<b>143,417</b>	<b>134,347</b>	<b>148,897</b>	<b>148,146</b>	<b>148,752</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Environmental Conservation, Department of	62,194	81,999	64,662	67,853	66,727	67,976
Parks, Recreation and Historic Preservation, Office of	3,264	3,657	1,960	2,031	1,965	1,965
<b>Functional Total</b>	<b>65,458</b>	<b>85,656</b>	<b>66,622</b>	<b>69,884</b>	<b>68,692</b>	<b>69,941</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	21,841	29,270	24,838	26,769	27,421	27,421
Transportation, Department of	6,070	8,965	8,686	9,053	8,946	8,946
<b>Functional Total</b>	<b>27,911</b>	<b>38,235</b>	<b>33,524</b>	<b>35,822</b>	<b>36,367</b>	<b>36,367</b>
<b>HEALTH</b>						
Aging, Office for the	0	44	0	0	0	0
Health, Department of	67,722	82,342	86,775	91,608	93,269	92,220
<i>Medicaid Administration</i>	2,834	3,516	7,223	7,630	7,937	7,937
<i>Public Health</i>	64,888	78,826	79,552	83,978	85,332	84,283
Medicaid Inspector General, Office of the	9,354	11,070	9,525	10,301	10,301	10,301
<b>Functional Total</b>	<b>77,076</b>	<b>93,456</b>	<b>96,300</b>	<b>101,909</b>	<b>103,570</b>	<b>102,521</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	12,864	16,545	19,960	22,031	22,011	22,011
<i>OCFS</i>	12,864	16,545	19,960	22,031	22,011	22,011
Housing and Community Renewal, Division of	19,545	25,912	29,926	29,926	34,651	34,651
Labor, Department of	121,399	159,501	116,680	116,680	140,222	140,222
National and Community Service	0	0	236	242	242	242
Temporary and Disability Assistance, Office of	52,395	65,432	47,539	48,540	49,564	50,604
<i>All Other</i>	52,395	65,432	47,539	48,540	49,564	50,604
<b>Functional Total</b>	<b>206,203</b>	<b>267,390</b>	<b>214,341</b>	<b>217,419</b>	<b>246,690</b>	<b>247,730</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	36,956	2,383	70	70	71	73
<i>OASAS</i>	14,792	2,383	70	70	71	73
<i>OASAS - Other</i>	22,164	0	0	0	0	0
Developmental Disabilities Planning Council	870	768	785	785	785	785
Justice Center	709	1,220	962	988	1,013	1,066
Mental Health, Office of	651,893	(44,048)	469	469	469	469
<i>OMH</i>	194,563	(10,072)	469	469	469	469
<i>OMH - Other</i>	457,330	(33,976)	0	0	0	0
People with Developmental Disabilities, Office for	683,801	78	0	0	0	0
<i>OPWDD</i>	0	78	0	0	0	0
<i>OPWDD - Other</i>	683,801	0	0	0	0	0
<b>Functional Total</b>	<b>1,374,229</b>	<b>(39,599)</b>	<b>2,286</b>	<b>2,312</b>	<b>2,338</b>	<b>2,393</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correctional Services, Department of	1,211	1,666	1,147	1,147	1,147	1,147
Criminal Justice Services, Division of	652	518	383	383	383	391
Homeland Security and Emergency Services, Division of	7,269	9,246	7,817	7,835	7,905	7,905
Indigent Legal Services, Office of	1,069	1,851	2,046	2,160	2,282	2,282
Military and Naval Affairs, Division of	5,775	11,948	6,629	6,754	6,755	6,755
State Police, Division of	15,817	48,184	29,910	30,453	30,823	31,023
Victim Services, Office of	1,638	1,894	1,650	1,650	1,683	1,683
<b>Functional Total</b>	<b>33,431</b>	<b>75,307</b>	<b>49,582</b>	<b>50,382</b>	<b>50,978</b>	<b>51,186</b>
<b>HIGHER EDUCATION</b>						
City University of New York	145	129	150	150	150	150
Higher Education - Miscellaneous	81	200	150	150	150	150
Higher Education Services Corporation, New York State	8,131	9,808	9,021	9,021	9,021	9,021
State University of New York	430,885	522,635	538,110	567,081	582,244	609,574
<b>Functional Total</b>	<b>439,242</b>	<b>532,772</b>	<b>547,431</b>	<b>576,402</b>	<b>591,565</b>	<b>618,895</b>
<b>EDUCATION</b>						
Education, Department of	85,316	108,613	87,603	93,302	92,349	92,949
<i>All Other</i>	85,316	108,613	87,603	93,302	92,349	92,949
<b>Functional Total</b>	<b>85,316</b>	<b>108,613</b>	<b>87,603</b>	<b>93,302</b>	<b>92,349</b>	<b>92,949</b>
<b>GENERAL GOVERNMENT</b>						
Budget, Division of the	712	907	1,657	1,717	1,657	1,657
Civil Service, Department of	180	139	231	244	241	245
Deferred Compensation Board	224	302	243	247	252	256
Elections, State Board of	0	270	300	300	300	300
Gaming Commission, New York State	16,540	22,754	17,575	18,465	17,575	17,575
General Services, Office of	369	538	548	583	575	585
Labor Management Committees	5,857	5,821	5,000	5,000	5,000	5,000
State, Department of	12,213	17,835	15,734	16,972	17,251	17,268
Taxation and Finance, Department of	22,635	5,667	26,309	27,567	26,391	26,318

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
GENERAL STATE CHARGES  
(thousands of dollars)**

	<u>FY 2018 Results</u>	<u>FY 2019 Results</u>	<u>FY 2020 Updated</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>
Veterans' Services, Division of	295	385	490	514	536	547
Workers' Compensation Board	52,395	56,568	53,220	57,863	53,220	53,220
<b>Functional Total</b>	<u>111,420</u>	<u>111,186</u>	<u>121,307</u>	<u>129,472</u>	<u>122,998</u>	<u>122,971</u>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	1,677	2,039	2,197	2,197	2,197	2,197
Judiciary	750,627	787,707	815,100	815,100	815,100	815,100
Law, Department of	28,245	42,114	32,606	34,823	32,606	32,606
<b>Functional Total</b>	<u>780,549</u>	<u>831,860</u>	<u>849,903</u>	<u>852,120</u>	<u>849,903</u>	<u>849,903</u>
<b>ALL OTHER CATEGORIES</b>						
General State Charges	4,842,815	6,373,456	6,894,907	7,438,282	8,003,887	8,563,970
Miscellaneous	2,588	2,969	5,770	5,782	5,787	5,797
<b>Functional Total</b>	<u>4,845,403</u>	<u>6,376,425</u>	<u>6,900,677</u>	<u>7,444,064</u>	<u>8,009,674</u>	<u>8,569,767</u>
<b>TOTAL GENERAL STATE CHARGES SPENDING</b>	<u><u>8,174,642</u></u>	<u><u>8,624,718</u></u>	<u><u>9,103,923</u></u>	<u><u>9,721,985</u></u>	<u><u>10,323,270</u></u>	<u><u>10,913,375</u></u>

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
CAPITAL PROJECTS  
(thousands of dollars)**

	<b>FY 2018 Results</b>	<b>FY 2019 Results</b>	<b>FY 2020 Updated</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>	<b>FY 2023 Projected</b>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	8,264	51,753	12,362	14,265	9,330	5,915
Economic Development, Department of	10	0	0	0	0	0
Empire State Development Corporation	71,126	85,649	765,588	857,614	661,121	669,345
Energy Research and Development Authority	17,109	20,828	20,450	15,720	14,001	13,000
Lake Ontario Resiliency/Economic Development	0	0	20,000	30,000	40,000	10,000
Olympic Regional Development Authority	38,000	42,700	70,000	30,000	10,000	10,000
Power Authority, New York	160	1,346	36,000	36,500	2,000	2,000
Regional Economic Development Program	0	0	337	337	337	337
<b>Functional Total</b>	<b>134,669</b>	<b>202,276</b>	<b>924,737</b>	<b>984,436</b>	<b>736,789</b>	<b>710,597</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Environmental Conservation, Department of	313,851	409,030	589,110	629,516	689,249	804,535
Hudson River Park Trust	0	0	5,000	15,000	15,000	12,000
Parks, Recreation and Historic Preservation, Office of	179,949	176,743	202,157	167,539	167,989	167,539
<b>Functional Total</b>	<b>493,800</b>	<b>585,773</b>	<b>796,267</b>	<b>812,055</b>	<b>872,238</b>	<b>984,074</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	221,992	227,603	238,276	249,696	226,511	211,527
Transportation, Department of	3,466,560	3,347,932	3,454,601	3,670,887	3,694,147	3,679,881
<b>Functional Total</b>	<b>3,688,552</b>	<b>3,575,535</b>	<b>3,692,877</b>	<b>3,920,583</b>	<b>3,920,658</b>	<b>3,891,408</b>
<b>HEALTH</b>						
Health, Department of	55,232	77,671	112,344	60,344	60,344	60,344
<i>Public Health</i>	55,232	77,671	112,344	60,344	60,344	60,344
<b>Functional Total</b>	<b>55,232</b>	<b>77,671</b>	<b>112,344</b>	<b>60,344</b>	<b>60,344</b>	<b>60,344</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	41,259	55,800	29,858	25,804	23,660	23,673
<i>OCFS</i>	41,259	55,800	29,858	25,804	23,660	23,673
Housing and Community Renewal, Division of	0	0	3,000	3,000	3,000	3,000
Nonprofit Infrastructure Capital Investment Program	0	0	44,500	16,049	5,000	0
Temporary and Disability Assistance, Office of	801	966	800	800	800	800
<i>All Other</i>	801	966	800	800	800	800
<b>Functional Total</b>	<b>42,060</b>	<b>56,766</b>	<b>78,158</b>	<b>45,653</b>	<b>32,460</b>	<b>27,473</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	1,807	2,036	2,604	2,662	2,710	2,822
<i>OASAS</i>	1,807	2,036	2,604	2,662	2,710	2,822
Mental Health, Office of	214,774	244,264	222,838	171,795	158,888	153,531
<i>OMH</i>	214,774	244,264	222,838	171,795	158,888	153,531
People with Developmental Disabilities, Office for	70,009	96,486	94,184	81,642	82,105	82,780
<i>OPWDD</i>	70,009	96,486	94,184	81,642	82,105	82,780
<b>Functional Total</b>	<b>286,590</b>	<b>342,786</b>	<b>319,626</b>	<b>256,099</b>	<b>243,703</b>	<b>239,133</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correctional Services, Department of	355,744	369,540	366,318	340,646	337,685	316,975
Homeland Security and Emergency Services, Division of	28,671	11,972	22,760	17,250	11,995	9,367
Military and Naval Affairs, Division of	63,307	75,582	118,263	61,344	52,244	41,607
State Police, Division of	72,903	47,327	47,500	55,853	54,688	47,538
<b>Functional Total</b>	<b>520,625</b>	<b>504,421</b>	<b>554,841</b>	<b>475,093</b>	<b>456,612</b>	<b>415,487</b>
<b>HIGHER EDUCATION</b>						
City University of New York	36,608	32,463	36,620	37,352	37,352	37,352
State University of New York	940,056	941,242	993,516	1,010,693	1,014,973	1,019,973
<b>Functional Total</b>	<b>976,664</b>	<b>973,705</b>	<b>1,030,136</b>	<b>1,048,045</b>	<b>1,052,325</b>	<b>1,057,325</b>
<b>EDUCATION</b>						
Education, Department of	6,713	6,146	95,134	104,876	53,777	82,695
<i>All Other</i>	6,713	6,146	95,134	104,876	53,777	82,695
<b>Functional Total</b>	<b>6,713</b>	<b>6,146</b>	<b>95,134</b>	<b>104,876</b>	<b>53,777</b>	<b>82,695</b>
<b>GENERAL GOVERNMENT</b>						
General Services, Office of	128,849	154,965	231,662	251,749	154,517	124,129
State, Department of	1,233	1,238	2,000	0	0	0
Technology, Office for	102,831	70,350	114,118	68,948	34,451	16,453
Workers' Compensation Board	4,824	0	30,000	38,306	0	0
<b>Functional Total</b>	<b>237,737</b>	<b>226,553</b>	<b>377,780</b>	<b>359,003</b>	<b>188,968</b>	<b>140,582</b>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	0	2,298	3,124	1,000	0	0
Judiciary	13,943	10,373	28,175	3,500	0	0
Law, Department of	5,035	6,856	3,518	0	0	0
<b>Functional Total</b>	<b>18,978</b>	<b>19,527</b>	<b>34,817</b>	<b>4,500</b>	<b>0</b>	<b>0</b>
<b>ALL OTHER CATEGORIES</b>						
Arts and Cultural Facilities Improvement	0	81	5,000	5,000	0	0
Miscellaneous	21,593	36,117	(529,992)	(519,101)	(597,907)	(499,095)
Special Infrastructure Account	360,672	423,893	1,109,126	673,961	201,924	161,074
<b>Functional Total</b>	<b>382,265</b>	<b>460,091</b>	<b>584,134</b>	<b>159,860</b>	<b>(395,983)</b>	<b>(338,021)</b>
<b>TOTAL CAPITAL PROJECTS SPENDING</b>	<b>6,843,885</b>	<b>7,031,250</b>	<b>8,600,851</b>	<b>8,230,547</b>	<b>7,221,891</b>	<b>7,271,097</b>

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
(thousands of dollars)**

	<b>FY 2018 Results</b>	<b>FY 2019 Results</b>	<b>FY 2020 Updated</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>	<b>FY 2023 Projected</b>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	79,534	125,335	86,009	81,957	91,068	87,488
Alcoholic Beverage Control, Division of	11,819	11,546	11,275	11,560	11,244	11,244
Economic Development Capital	2,751	1,777	20,270	19,569	29,069	29,069
Economic Development, Department of	81,242	86,391	69,453	70,105	69,706	69,706
Empire State Development Corporation	1,207,655	1,326,984	1,799,356	1,987,631	1,982,259	1,790,483
Energy Research and Development Authority	17,109	20,828	20,450	15,720	14,001	13,000
Financial Services, Department of	357,557	392,863	369,125	387,954	381,591	381,591
Lake Ontario Resiliency/Economic Development	0	0	20,000	30,000	40,000	10,000
Olympic Regional Development Authority	40,736	53,875	78,676	38,676	18,676	18,676
Power Authority, New York	243	1,346	36,000	36,500	2,000	2,000
Public Service Department	84,881	75,433	80,221	82,664	79,946	79,075
Regional Economic Development Program	2,030	2,938	320	320	320	320
Strategic Investment Program	1,625	2,888	6,317	10,494	6,317	6,317
<b>Functional Total</b>	<b>1,887,182</b>	<b>2,102,204</b>	<b>2,597,472</b>	<b>2,773,150</b>	<b>2,726,197</b>	<b>2,498,969</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Adirondack Park Agency	4,468	4,297	4,728	4,714	4,556	4,556
Environmental Conservation, Department of	760,842	928,743	1,069,238	1,164,833	1,236,456	1,357,100
Hudson River Park Trust	0	0	5,000	15,000	15,000	12,000
Parks, Recreation and Historic Preservation, Office of	353,493	355,557	360,649	331,240	328,254	327,804
<b>Functional Total</b>	<b>1,118,803</b>	<b>1,288,597</b>	<b>1,439,615</b>	<b>1,515,787</b>	<b>1,584,266</b>	<b>1,701,460</b>
<b>TRANSPORTATION</b>						
Metropolitan Transportation Authority	65,000	739,514	644,487	385,856	0	0
Motor Vehicles, Department of	293,720	315,479	321,929	336,225	313,373	298,389
Transportation, Department of	7,997,573	7,090,353	6,973,871	7,475,996	7,678,924	7,906,777
<b>Functional Total</b>	<b>8,356,293</b>	<b>8,145,346</b>	<b>7,940,287</b>	<b>8,198,077</b>	<b>7,992,297</b>	<b>8,205,166</b>
<b>HEALTH</b>						
Aging, Office for the	125,380	131,371	146,704	148,592	153,731	159,042
Health, Department of	21,620,700	22,675,217	24,451,742	25,548,220	26,463,263	27,564,086
<i>Medical Assistance</i>	18,857,443	19,528,919	20,762,718	21,880,199	22,722,005	23,600,658
<i>Essential Plan</i>	87,638	76,580	84,224	78,757	76,397	72,453
<i>Medicaid Administration</i>	583,789	764,404	879,538	716,723	704,760	689,676
<i>Public Health</i>	2,091,830	2,305,314	2,725,262	2,872,541	2,960,101	3,201,299
Medicaid Inspector General, Office of the	18,282	18,116	18,072	18,679	18,072	18,072
<b>Functional Total</b>	<b>21,764,362</b>	<b>22,824,704</b>	<b>24,616,518</b>	<b>25,715,491</b>	<b>26,635,066</b>	<b>27,741,200</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	1,892,775	1,978,096	1,824,621	2,015,836	2,070,296	2,070,892
<i>OCFS</i>	1,815,413	1,905,982	1,743,823	1,923,858	1,974,154	1,974,750
<i>OCFS - Other</i>	77,362	72,114	80,798	91,978	96,142	96,142
Housing and Community Renewal, Division of	351,538	355,218	845,094	969,225	657,462	647,462
Human Rights, Division of	9,465	9,993	9,921	10,590	10,230	10,230
Labor, Department of	83,203	91,113	85,171	74,893	76,435	76,435
National and Community Service	770	560	772	781	781	781
Nonprofit Infrastructure Capital Investment Program	6,951	30,751	44,500	16,049	5,000	0
Roosevelt Island Operating Corporation	0	25,028	0	0	0	0
Temporary and Disability Assistance, Office of	1,400,455	1,314,753	1,536,082	1,551,972	1,558,492	1,556,042
<i>Welfare Assistance</i>	1,137,324	1,037,938	1,221,736	1,232,366	1,232,366	1,232,366
<i>All Other</i>	263,131	276,815	314,346	319,606	326,126	323,676
<b>Functional Total</b>	<b>3,745,157</b>	<b>3,805,512</b>	<b>4,346,161</b>	<b>4,639,346</b>	<b>4,378,696</b>	<b>4,361,842</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	481,016	489,465	545,468	557,935	539,160	566,854
<i>OASAS</i>	388,019	415,616	479,459	490,484	470,982	498,282
<i>OASAS - Other</i>	92,997	73,849	66,009	67,451	68,178	68,572
Justice Center	40,136	43,551	43,928	45,473	44,838	45,468
Mental Health, Office of	3,467,179	2,858,120	2,805,833	2,841,922	2,933,879	3,022,411
<i>OMH</i>	1,743,973	1,599,579	1,727,053	1,726,465	1,780,013	1,840,078
<i>OMH - Other</i>	1,723,206	1,258,541	1,078,780	1,115,457	1,153,866	1,182,333
Mental Hygiene, Department of	204	0	0	0	0	0
People with Developmental Disabilities, Office for	2,934,957	1,937,230	1,892,781	2,312,570	2,237,618	2,428,594
<i>OPWDD</i>	479,476	485,110	460,835	433,153	443,793	455,330
<i>OPWDD - Other</i>	2,455,481	1,452,120	1,431,946	1,879,417	1,793,825	1,973,264
<b>Functional Total</b>	<b>6,923,492</b>	<b>5,328,366</b>	<b>5,288,010</b>	<b>5,757,900</b>	<b>5,755,495</b>	<b>6,063,327</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correction, Commission of	2,594	2,541	2,651	2,773	2,735	2,735
Correctional Services, Department of	2,989,492	2,972,534	3,228,265	3,096,714	3,134,837	3,192,022
Corrections and Community Supervision Medicaid, Department of	0	0	2,000	2,000	0	0
Criminal Justice Services, Division of	193,155	217,569	213,546	204,173	203,995	204,742
Homeland Security and Emergency Services, Division of	146,324	126,228	167,314	178,096	185,635	190,933
Indigent Legal Services, Office of	75,499	88,454	150,900	211,080	261,200	311,215
Judicial Conduct, Commission on	5,552	5,423	6,038	5,936	6,114	6,220
Judicial Nomination, Commission on	10	0	30	30	30	30
Judicial Screening Committees, New York State	14	7	38	38	38	38
Military and Naval Affairs, Division of	58,162	69,401	117,497	60,876	52,414	42,235
State Police, Division of	828,909	810,506	865,969	894,374	891,509	904,011
Statewide Financial System	30,137	30,520	30,506	30,949	31,117	31,732
Victim Services, Office of	26,080	30,267	36,345	36,462	37,111	37,111
<b>Functional Total</b>	<b>4,355,928</b>	<b>4,353,450</b>	<b>4,821,099</b>	<b>4,723,501</b>	<b>4,806,735</b>	<b>4,923,024</b>

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
(thousands of dollars)**

	<b>FY 2018 Results</b>	<b>FY 2019 Results</b>	<b>FY 2020 Updated</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>	<b>FY 2023 Projected</b>
<b>HIGHER EDUCATION</b>						
City University of New York	1,604,626	1,636,617	1,670,089	1,712,347	1,746,737	1,781,679
Higher Education - Miscellaneous	337	540	441	441	441	441
Higher Education Facilities Capital Matching Grants Program	4,425	8,769	11,875	15,750	12,650	14,150
Higher Education Services Corporation, New York State	929,204	1,033,360	1,007,918	933,681	943,248	945,782
State University of New York	7,939,963	7,952,371	8,228,776	8,533,039	8,639,134	8,875,968
<b>Functional Total</b>	<b>10,478,555</b>	<b>10,631,657</b>	<b>10,919,099</b>	<b>11,195,258</b>	<b>11,342,210</b>	<b>11,618,020</b>
<b>EDUCATION</b>						
Arts, Council on the	39,210	43,514	45,353	45,349	45,253	45,253
Education, Department of	30,489,950	31,300,749	32,728,302	33,692,381	34,765,583	35,897,094
<i>School Aid</i>	25,487,836	26,358,554	27,766,720	28,816,179	29,990,135	31,116,882
<i>School Aid – Other</i>	61,169	152,867	78,195	78,195	78,195	78,195
<i>STAR Property Tax Relief</i>	2,589,145	2,423,112	2,175,995	2,073,116	1,979,457	1,857,985
<i>Special Education Categorical Programs</i>	1,263,705	1,290,608	1,350,637	1,418,221	1,484,066	1,551,451
<i>All Other</i>	1,088,095	1,075,608	1,356,755	1,306,670	1,233,730	1,292,581
<b>Functional Total</b>	<b>30,529,160</b>	<b>31,344,263</b>	<b>32,773,655</b>	<b>33,737,730</b>	<b>34,810,836</b>	<b>35,942,347</b>
<b>GENERAL GOVERNMENT</b>						
Budget, Division of the	27,312	26,125	30,133	30,603	29,596	29,596
Civil Service, Department of	14,975	16,128	16,351	14,848	14,890	14,911
Deferred Compensation Board	675	776	891	896	900	904
Elections, State Board of	7,813	8,621	24,847	10,583	10,054	9,428
Employee Relations, Office of	3,569	2,097	6,444	6,542	6,444	6,445
Gaming Commission, New York State	176,850	159,059	285,401	215,100	213,683	212,683
General Services, Office of	272,789	296,965	336,690	355,613	256,983	228,222
Inspector General, Office of the	7,166	6,844	7,487	7,826	8,061	8,210
Labor Management Committees	30,630	35,520	36,306	31,517	31,306	31,306
Prevention of Domestic Violence, Office for	2,349	2,816	3,099	3,121	3,059	3,059
Public Employment Relations Board	3,260	3,430	3,634	3,764	3,634	3,634
Public Integrity, Commission on	5,115	5,223	5,630	5,835	6,010	6,119
State, Department of	65,500	79,036	95,299	80,878	105,044	127,061
Tax Appeals, Division of	2,688	2,820	3,040	3,150	3,040	3,040
Taxation and Finance, Department of	345,192	320,486	368,922	381,089	368,962	368,322
Technology, Office for	638,808	614,891	654,434	619,710	591,769	584,929
Veterans' Services, Division of	13,791	16,219	15,721	14,322	14,257	14,257
Welfare Inspector General, Office of	671	629	701	731	753	768
Workers' Compensation Board	198,190	198,486	226,439	244,171	196,439	196,439
<b>Functional Total</b>	<b>1,817,343</b>	<b>1,796,171</b>	<b>2,121,469</b>	<b>2,030,299</b>	<b>1,864,884</b>	<b>1,849,333</b>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	180,421	184,860	194,794	195,334	191,670	191,670
Executive Chamber	13,560	12,673	13,578	14,032	13,578	13,578
Judiciary	2,959,272	3,067,416	3,123,375	3,170,701	3,110,201	3,110,501
Law, Department of	205,126	220,568	205,821	207,704	202,303	202,303
Legislature	223,372	223,573	242,215	249,056	255,949	256,002
Lieutenant Governor, Office of the	492	530	614	634	614	614
<b>Functional Total</b>	<b>3,582,243</b>	<b>3,709,620</b>	<b>3,780,397</b>	<b>3,837,461</b>	<b>3,774,315</b>	<b>3,774,668</b>
<b>LOCAL GOVERNMENT ASSISTANCE</b>						
Aid and Incentives for Municipalities	721,920	721,979	671,208	704,192	704,192	704,192
County-Wide Shared Services Initiative	0	0	40,000	65,000	60,000	60,000
Efficiency Incentive Grants Program	348	0	0	0	0	0
Miscellaneous Financial Assistance	9,270	12,001	11,998	2,250	2,250	2,250
Municipalities with VLT Facilities	28,885	28,885	28,885	28,885	28,885	28,885
Small Government Assistance	217	217	218	218	218	218
<b>Functional Total</b>	<b>760,640</b>	<b>763,082</b>	<b>752,309</b>	<b>800,545</b>	<b>795,545</b>	<b>795,545</b>
<b>ALL OTHER CATEGORIES</b>						
Arts and Cultural Facilities Improvement	0	3,421	11,000	5,000	0	0
General State Charges	4,842,815	6,373,456	6,894,907	7,438,282	8,003,887	8,563,970
Long-Term Debt Service	5,914,725	6,736,264	5,216,029	6,917,605	7,169,547	7,373,446
Miscellaneous	77,386	232,432	(237,663)	(197,764)	(261,416)	(173,594)
Special Infrastructure Account	783,139	1,019,587	1,114,126	683,961	201,924	181,074
<b>Functional Total</b>	<b>11,618,065</b>	<b>14,365,160</b>	<b>12,998,399</b>	<b>14,847,084</b>	<b>15,113,942</b>	<b>15,944,896</b>
<b>TOTAL STATE FUNDS SPENDING</b>	<b>106,937,223</b>	<b>110,458,132</b>	<b>114,394,490</b>	<b>119,771,629</b>	<b>121,580,484</b>	<b>125,419,797</b>



**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
LOCAL ASSISTANCE  
(thousands of dollars)**

	<b>FY 2018 Results</b>	<b>FY 2019 Results</b>	<b>FY 2020 Updated</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>	<b>FY 2023 Projected</b>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	32,318	33,027	32,932	25,197	25,347	25,347
Economic Development Capital	2,751	1,777	20,270	19,569	29,069	29,069
Economic Development, Department of	61,825	68,581	52,134	52,786	52,387	52,387
Empire State Development Corporation	1,136,529	1,241,335	1,033,768	1,130,017	1,321,138	1,121,138
Financial Services, Department of	57,254	64,179	58,602	58,496	58,496	58,496
Olympic Regional Development Authority	0	2,360	0	0	0	0
Power Authority, New York	83	0	0	0	0	0
Public Service Department	102	218	122	122	122	122
Regional Economic Development Program	2,030	2,938	(17)	(17)	(17)	(17)
Strategic Investment Program	1,625	2,888	6,317	10,494	6,317	6,317
<b>Functional Total</b>	<b>1,294,517</b>	<b>1,417,303</b>	<b>1,204,128</b>	<b>1,296,664</b>	<b>1,492,859</b>	<b>1,292,859</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Environmental Conservation, Department of	191,133	255,176	242,529	283,465	301,807	306,204
Parks, Recreation and Historic Preservation, Office of	7,095	6,502	4,290	3,950	3,950	3,950
<b>Functional Total</b>	<b>198,228</b>	<b>261,678</b>	<b>246,819</b>	<b>287,415</b>	<b>305,757</b>	<b>310,154</b>
<b>TRANSPORTATION</b>						
Metropolitan Transportation Authority	65,000	739,514	644,487	385,856	0	0
Motor Vehicles, Department of	1,600	375	0	0	0	0
Transportation, Department of	5,624,706	4,612,113	4,214,173	4,456,874	4,631,712	4,873,831
<b>Functional Total</b>	<b>5,691,306</b>	<b>5,352,002</b>	<b>4,858,660</b>	<b>4,842,730</b>	<b>4,631,712</b>	<b>4,873,831</b>
<b>HEALTH</b>						
Aging, Office for the	124,180	130,141	144,741	146,586	151,768	157,079
Health, Department of	20,800,316	21,838,763	23,501,600	24,653,166	25,569,160	26,677,185
<i>Medical Assistance</i>	18,857,443	19,528,919	20,762,718	21,880,199	22,722,005	23,600,658
<i>Medicaid Administration</i>	286,336	503,108	606,198	446,502	432,297	418,613
<i>Public Health</i>	1,656,537	1,806,736	2,132,684	2,326,465	2,414,858	2,657,914
<b>Functional Total</b>	<b>20,924,496</b>	<b>21,968,904</b>	<b>23,646,341</b>	<b>24,799,752</b>	<b>25,720,928</b>	<b>26,834,264</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	1,608,134	1,659,179	1,530,456	1,606,788	1,660,719	1,661,302
<i>OCFS</i>	1,530,772	1,587,065	1,449,658	1,514,810	1,564,577	1,565,160
<i>OCFS - Other</i>	77,362	72,114	80,798	91,978	96,142	96,142
Housing and Community Renewal, Division of	283,283	282,173	761,507	882,386	568,812	558,812
Labor, Department of	12,666	15,469	18,165	5,150	5,150	5,150
National and Community Service	477	270	432	432	432	432
Nonprofit Infrastructure Capital Investment Program	6,951	30,751	0	0	0	0
Roosevelt Island Operating Corporation	0	25,028	0	0	0	0
Temporary and Disability Assistance, Office of	1,276,768	1,186,281	1,402,039	1,413,469	1,417,269	1,414,819
<i>Welfare Assistance</i>	1,137,324	1,037,938	1,221,736	1,232,366	1,232,366	1,232,366
<i>All Other</i>	139,444	148,343	180,303	181,103	184,903	182,453
<b>Functional Total</b>	<b>3,188,279</b>	<b>3,199,151</b>	<b>3,712,599</b>	<b>3,908,225</b>	<b>3,652,382</b>	<b>3,640,515</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	360,781	400,461	456,091	467,335	448,140	474,843
<i>OASAS</i>	339,456	379,136	434,766	446,010	426,815	453,518
<i>OASAS - Other</i>	21,325	21,325	21,325	21,325	21,325	21,325
Justice Center	619	679	649	649	649	649
Mental Health, Office of	1,251,218	1,313,857	1,213,044	1,271,105	1,339,674	1,404,056
<i>OMH</i>	985,829	1,034,896	1,135,806	1,188,853	1,245,953	1,302,092
<i>OMH - Other</i>	265,389	278,961	77,238	82,252	93,721	101,964
People with Developmental Disabilities, Office for	847,982	519,476	469,773	881,632	791,033	963,522
<i>OPWDD</i>	409,319	388,326	366,470	351,330	361,507	372,369
<i>OPWDD - Other</i>	438,663	131,150	103,303	530,302	429,526	591,153
<b>Functional Total</b>	<b>2,460,600</b>	<b>2,234,473</b>	<b>2,139,557</b>	<b>2,620,721</b>	<b>2,579,496</b>	<b>2,843,070</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correctional Services, Department of	5,686	3,435	5,016	4,836	4,836	4,836
Corrections and Community Supervision Medicaid, Department of	0	0	2,000	2,000	0	0
Criminal Justice Services, Division of	156,618	181,941	176,781	166,433	166,433	166,433
Homeland Security and Emergency Services, Division of	87,335	77,175	105,524	120,542	130,839	137,925
Indigent Legal Services, Office of	72,102	83,765	144,810	204,810	254,810	304,810
Military and Naval Affairs, Division of	819	885	820	820	886	904
Victim Services, Office of	20,728	24,865	30,744	30,744	31,398	31,398
<b>Functional Total</b>	<b>343,288</b>	<b>372,066</b>	<b>465,695</b>	<b>530,185</b>	<b>589,202</b>	<b>646,306</b>
<b>HIGHER EDUCATION</b>						
City University of New York	1,465,153	1,508,007	1,536,842	1,577,439	1,610,889	1,644,883
Higher Education Facilities Capital Matching Grants Program	4,425	8,769	11,875	15,750	12,650	14,150
Higher Education Services Corporation, New York State	879,322	984,334	955,624	881,387	890,954	893,488
State University of New York	488,783	487,799	482,766	486,767	486,767	485,767
<b>Functional Total</b>	<b>2,837,683</b>	<b>2,988,909</b>	<b>2,987,107</b>	<b>2,961,343</b>	<b>3,001,260</b>	<b>3,038,288</b>

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
LOCAL ASSISTANCE  
(thousands of dollars)**

	<b>FY 2018 Results</b>	<b>FY 2019 Results</b>	<b>FY 2020 Updated</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>	<b>FY 2023 Projected</b>
<b>EDUCATION</b>						
Arts, Council on the	34,950	39,248	41,033	40,933	40,933	40,933
Education, Department of	<u>30,303,822</u>	<u>31,113,315</u>	<u>32,456,094</u>	<u>33,406,244</u>	<u>34,534,708</u>	<u>35,636,724</u>
<i>School Aid</i>	25,487,836	26,358,554	27,766,720	28,816,179	29,990,135	31,116,882
<i>School Aid – Other</i>	61,169	152,867	78,195	78,195	78,195	78,195
<i>STAR Property Tax Relief</i>	2,589,145	2,423,112	2,175,995	2,073,116	1,979,457	1,857,985
<i>Special Education Categorical Programs</i>	1,263,705	1,290,608	1,350,637	1,418,221	1,484,066	1,551,451
<i>All Other</i>	901,967	888,174	1,084,547	1,020,533	1,002,855	1,032,211
<b>Functional Total</b>	<u>30,338,772</u>	<u>31,152,563</u>	<u>32,497,127</u>	<u>33,447,177</u>	<u>34,575,641</u>	<u>35,677,657</u>
<b>GENERAL GOVERNMENT</b>						
Civil Service, Department of	0	567	2,000	0	0	0
Elections, State Board of	50	5	14,700	0	0	0
Gaming Commission, New York State	65,779	69,013	199,668	121,950	122,950	121,950
Prevention of Domestic Violence, Office for	791	1,260	1,435	1,385	1,412	1,412
State, Department of	10,035	20,345	40,287	25,537	50,537	72,537
Taxation and Finance, Department of	1,542	2,435	2,726	2,726	2,726	2,726
Veterans' Services, Division of	7,906	10,499	9,485	7,840	7,840	7,840
<b>Functional Total</b>	<u>86,103</u>	<u>104,124</u>	<u>270,301</u>	<u>159,438</u>	<u>185,465</u>	<u>206,465</u>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	32,025	32,025	32,024	32,024	32,024	32,024
Judiciary	114,224	101,561	114,900	129,901	129,901	129,901
<b>Functional Total</b>	<u>146,249</u>	<u>133,586</u>	<u>146,924</u>	<u>161,925</u>	<u>161,925</u>	<u>161,925</u>
<b>LOCAL GOVERNMENT ASSISTANCE</b>						
Aid and Incentives for Municipalities	721,789	721,732	671,208	704,192	704,192	704,192
County-Wide Shared Services Initiative	0	0	40,000	65,000	60,000	60,000
Efficiency Incentive Grants Program	348	0	0	0	0	0
Miscellaneous Financial Assistance	9,270	12,001	11,998	2,250	2,250	2,250
Municipalities with VLT Facilities	28,885	28,885	28,885	28,885	28,885	28,885
Small Government Assistance	217	217	218	218	218	218
<b>Functional Total</b>	<u>760,509</u>	<u>762,835</u>	<u>752,309</u>	<u>800,545</u>	<u>795,545</u>	<u>795,545</u>
<b>ALL OTHER CATEGORIES</b>						
Arts and Cultural Facilities Improvement	0	3,340	6,000	0	0	0
Miscellaneous	12,639	145,860	259,558	288,499	303,648	292,648
Special Infrastructure Account	422,467	595,694	5,000	10,000	0	20,000
<b>Functional Total</b>	<u>435,106</u>	<u>744,894</u>	<u>270,558</u>	<u>298,499</u>	<u>303,648</u>	<u>312,648</u>
<b>TOTAL LOCAL ASSISTANCE SPENDING</b>	<u>68,705,136</u>	<u>70,692,488</u>	<u>73,198,125</u>	<u>76,114,619</u>	<u>77,995,820</u>	<u>80,633,527</u>

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
STATE OPERATIONS  
(thousands of dollars)**

	<b>FY 2018 Results</b>	<b>FY 2019 Results</b>	<b>FY 2020 Updated</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>	<b>FY 2023 Projected</b>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	37,697	37,473	38,822	40,502	54,432	54,232
Alcoholic Beverage Control, Division of	11,819	11,546	11,275	11,560	11,244	11,244
Economic Development, Department of	19,405	17,810	17,291	17,291	17,291	17,291
Financial Services, Department of	209,379	215,165	208,699	214,981	208,844	208,844
Olympic Regional Development Authority	2,736	8,784	8,676	8,676	8,676	8,676
Public Service Department	52,384	52,432	52,311	53,016	50,850	49,470
<b>Functional Total</b>	<b>333,420</b>	<b>343,210</b>	<b>337,074</b>	<b>346,026</b>	<b>351,337</b>	<b>349,757</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Adirondack Park Agency	4,468	4,297	4,728	4,714	4,556	4,556
Environmental Conservation, Department of	212,775	210,770	202,477	214,562	209,617	209,716
Parks, Recreation and Historic Preservation, Office of	168,983	176,384	160,242	165,744	162,374	162,374
<b>Functional Total</b>	<b>386,226</b>	<b>391,451</b>	<b>367,447</b>	<b>385,020</b>	<b>376,547</b>	<b>376,646</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	49,142	59,189	59,535	60,566	60,247	60,247
Transportation, Department of	9,139	300,619	341,898	337,037	341,822	341,822
<b>Functional Total</b>	<b>58,281</b>	<b>359,808</b>	<b>401,433</b>	<b>397,603</b>	<b>402,069</b>	<b>402,069</b>
<b>HEALTH</b>						
Aging, Office for the	1,200	1,230	1,963	2,006	1,963	1,963
Health, Department of	741,299	727,514	804,922	800,078	797,807	790,495
<i>Essential Plan</i>	87,638	76,580	84,224	78,757	76,397	72,453
<i>Medicaid Administration</i>	297,453	261,296	272,759	269,640	271,882	270,482
<i>Public Health</i>	356,208	389,638	447,939	451,681	449,528	447,560
Medicaid Inspector General, Office of the	18,282	18,116	18,072	18,679	18,072	18,072
<b>Functional Total</b>	<b>760,781</b>	<b>746,860</b>	<b>824,957</b>	<b>820,763</b>	<b>817,842</b>	<b>810,530</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	241,724	260,874	262,176	380,994	383,651	383,651
<i>OCFS</i>	241,724	260,874	262,176	380,994	383,651	383,651
Housing and Community Renewal, Division of	52,210	51,137	57,666	60,918	59,500	59,500
Human Rights, Division of	9,465	9,993	9,921	10,590	10,230	10,230
Labor, Department of	49,642	49,768	46,519	49,256	48,280	48,280
National and Community Service	293	290	340	349	349	349
Temporary and Disability Assistance, Office of	122,874	127,470	133,115	137,575	140,295	140,295
<i>All Other</i>	122,874	127,470	133,115	137,575	140,295	140,295
<b>Functional Total</b>	<b>476,208</b>	<b>499,532</b>	<b>509,737</b>	<b>639,682</b>	<b>642,305</b>	<b>642,305</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	81,472	86,968	86,773	87,938	88,310	89,189
<i>OASAS</i>	31,964	34,444	42,089	41,812	41,457	41,942
<i>OASAS - Other</i>	49,508	52,524	44,684	46,126	46,853	47,247
Justice Center	38,868	41,652	42,381	43,900	43,241	43,846
Mental Health, Office of	1,350,460	1,344,656	1,369,951	1,399,022	1,435,317	1,464,824
<i>OMH</i>	349,973	331,100	368,409	365,817	375,172	384,455
<i>OMH - Other</i>	1,000,487	1,013,556	1,001,542	1,033,205	1,060,145	1,080,369
Mental Hygiene, Department of	204	0	0	0	0	0
People with Developmental Disabilities, Office for	1,333,165	1,321,268	1,328,824	1,349,296	1,364,480	1,382,292
<i>OPWDD</i>	148	298	181	181	181	181
<i>OPWDD - Other</i>	1,333,017	1,320,970	1,328,643	1,349,115	1,364,299	1,382,111
<b>Functional Total</b>	<b>2,804,169</b>	<b>2,794,544</b>	<b>2,827,929</b>	<b>2,880,156</b>	<b>2,931,348</b>	<b>2,980,151</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correction, Commission of	2,594	2,541	2,651	2,773	2,735	2,735
Correctional Services, Department of	2,627,945	2,599,368	2,856,825	2,751,126	2,792,210	2,870,105
Criminal Justice Services, Division of	36,502	35,628	36,730	37,705	37,527	38,273
Homeland Security and Emergency Services, Division of	29,703	36,318	38,213	39,469	41,896	42,736
Indigent Legal Services, Office of	2,328	2,838	4,044	4,110	4,108	4,123
Judicial Conduct, Commission on	5,552	5,423	6,038	5,936	6,114	6,220
Judicial Nomination, Commission on	10	0	30	30	30	30
Judicial Screening Committees, New York State	14	7	38	38	38	38
Military and Naval Affairs, Division of	25,090	23,869	24,405	24,703	25,274	25,714
State Police, Division of	741,934	717,416	790,059	809,568	807,498	826,950
Statewide Financial System	30,137	30,520	30,506	30,949	31,117	31,732
Victim Services, Office of	3,714	3,508	3,951	4,068	4,030	4,030
<b>Functional Total</b>	<b>3,505,523</b>	<b>3,457,436</b>	<b>3,793,490</b>	<b>3,710,475</b>	<b>3,752,577</b>	<b>3,852,686</b>
<b>HIGHER EDUCATION</b>						
City University of New York	102,720	96,018	96,477	97,406	98,346	99,294
Higher Education - Miscellaneous	256	340	291	291	291	291
Higher Education Services Corporation, New York State	41,751	39,219	43,274	43,274	43,274	43,274
State University of New York	6,080,264	6,000,806	6,214,435	6,468,549	6,555,201	6,760,705
<b>Functional Total</b>	<b>6,224,991</b>	<b>6,136,383</b>	<b>6,354,477</b>	<b>6,609,520</b>	<b>6,697,112</b>	<b>6,903,564</b>
<b>EDUCATION</b>						
Arts, Council on the	4,260	4,266	4,320	4,416	4,320	4,320
Education, Department of	144,872	138,074	141,897	143,959	140,749	140,726
<i>All Other</i>	144,872	138,074	141,897	143,959	140,749	140,726
<b>Functional Total</b>	<b>149,132</b>	<b>142,340</b>	<b>146,217</b>	<b>148,375</b>	<b>145,069</b>	<b>145,046</b>

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
STATE OPERATIONS  
(thousands of dollars)**

	<b>FY 2018 Results</b>	<b>FY 2019 Results</b>	<b>FY 2020 Updated</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>	<b>FY 2023 Projected</b>
<b>GENERAL GOVERNMENT</b>						
Budget, Division of the	26,600	25,218	28,476	28,886	27,939	27,939
Civil Service, Department of	14,795	15,422	14,120	14,604	14,649	14,666
Deferred Compensation Board	451	474	648	649	648	648
Elections, State Board of	7,763	8,616	10,147	10,583	10,054	9,428
Employee Relations, Office of	3,569	2,097	6,444	6,542	6,444	6,445
Gaming Commission, New York State	94,531	67,292	68,158	74,685	73,158	73,158
General Services, Office of	143,571	141,462	104,480	103,281	101,891	103,508
Inspector General, Office of the	7,166	6,844	7,487	7,826	8,061	8,210
Labor Management Committees	24,773	29,699	31,306	26,517	26,306	26,306
Prevention of Domestic Violence, Office for	1,558	1,556	1,664	1,736	1,647	1,647
Public Employment Relations Board	3,260	3,430	3,634	3,764	3,634	3,634
Public Integrity, Commission on	5,115	5,223	5,630	5,835	6,010	6,119
State, Department of	43,799	42,005	40,088	41,180	40,068	40,068
Tax Appeals, Division of	2,688	2,820	3,040	3,150	3,040	3,040
Taxation and Finance, Department of	321,015	312,384	339,887	350,796	339,845	339,278
Technology, Office for	535,977	544,541	540,316	550,762	557,318	568,476
Veterans' Services, Division of	5,885	5,720	6,236	6,482	6,417	6,417
Welfare Inspector General, Office of	671	629	701	731	753	768
Workers' Compensation Board	140,971	141,918	143,219	148,002	143,219	143,219
<b>Functional Total</b>	<u>1,384,158</u>	<u>1,357,350</u>	<u>1,355,681</u>	<u>1,386,011</u>	<u>1,371,101</u>	<u>1,382,974</u>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	146,719	148,498	157,449	160,113	157,449	157,449
Executive Chamber	13,560	12,673	13,578	14,032	13,578	13,578
Judiciary	2,080,909	2,168,280	2,165,700	2,222,700	2,165,700	2,166,000
Law, Department of	183,513	187,295	182,504	187,099	182,504	182,504
Legislature	223,372	223,573	242,215	249,056	255,949	256,002
Lieutenant Governor, Office of the	492	530	614	634	614	614
<b>Functional Total</b>	<u>2,648,565</u>	<u>2,740,849</u>	<u>2,762,060</u>	<u>2,833,634</u>	<u>2,775,794</u>	<u>2,776,147</u>
<b>LOCAL GOVERNMENT ASSISTANCE</b>						
Aid and Incentives for Municipalities	131	247	0	0	0	0
<b>Functional Total</b>	<u>131</u>	<u>247</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>ALL OTHER CATEGORIES</b>						
Long-Term Debt Service	41,887	37,699	45,706	44,359	44,359	44,359
Miscellaneous	47,660	49,862	27,001	27,056	27,056	27,056
<b>Functional Total</b>	<u>89,547</u>	<u>87,561</u>	<u>72,707</u>	<u>71,415</u>	<u>71,415</u>	<u>71,415</u>
<b>TOTAL STATE OPERATIONS SPENDING</b>	<u><u>18,821,132</u></u>	<u><u>19,057,571</u></u>	<u><u>19,753,209</u></u>	<u><u>20,228,680</u></u>	<u><u>20,334,516</u></u>	<u><u>20,693,290</u></u>

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
PERSONAL SERVICE  
(thousands of dollars)**

	<b>FY 2018 Results</b>	<b>FY 2019 Results</b>	<b>FY 2020 Updated</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>	<b>FY 2023 Projected</b>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	30,802	30,255	29,878	31,503	30,613	30,613
Alcoholic Beverage Control, Division of	8,131	8,166	8,316	8,901	8,585	8,585
Economic Development, Department of	13,243	13,090	12,929	12,929	12,929	12,929
Financial Services, Department of	154,146	156,895	153,752	159,736	153,893	153,893
Olympic Regional Development Authority	2,548	5,595	5,338	5,338	5,338	5,338
Public Service Department	42,867	42,628	41,745	43,683	42,085	42,098
<b>Functional Total</b>	<b>251,737</b>	<b>256,629</b>	<b>251,958</b>	<b>262,090</b>	<b>253,443</b>	<b>253,456</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Adirondack Park Agency	4,179	3,950	4,401	4,401	4,243	4,243
Environmental Conservation, Department of	175,514	174,576	165,708	174,218	169,353	169,404
Parks, Recreation and Historic Preservation, Office of	130,555	133,979	125,562	131,883	128,513	128,513
<b>Functional Total</b>	<b>310,248</b>	<b>312,505</b>	<b>295,671</b>	<b>310,502</b>	<b>302,109</b>	<b>302,160</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	36,244	43,766	43,263	44,207	44,497	44,497
Transportation, Department of	2,803	156,307	162,384	162,506	162,396	162,396
<b>Functional Total</b>	<b>39,047</b>	<b>200,073</b>	<b>205,647</b>	<b>206,713</b>	<b>206,893</b>	<b>206,893</b>
<b>HEALTH</b>						
Aging, Office for the	1,103	1,125	1,856	1,899	1,856	1,856
Health, Department of	252,883	266,914	292,219	298,724	293,842	294,046
<i>Essential Plan</i>	3,148	3,233	4,580	4,418	4,384	4,487
<i>Medicaid Administration</i>	37,196	37,949	45,288	48,331	46,840	46,756
<i>Public Health</i>	212,539	225,732	242,351	245,975	242,618	242,803
Medicaid Inspector General, Office of the	15,864	15,204	15,624	16,231	15,624	15,624
<b>Functional Total</b>	<b>269,850</b>	<b>283,243</b>	<b>309,699</b>	<b>316,854</b>	<b>311,322</b>	<b>311,526</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	170,908	176,225	172,018	266,881	266,990	266,990
<i>OCFS</i>	170,908	176,225	172,018	266,881	266,990	266,990
Housing and Community Renewal, Division of	41,535	41,155	47,298	50,120	48,544	48,544
Human Rights, Division of	8,662	8,934	9,461	10,121	9,752	9,752
Labor, Department of	34,323	33,501	32,618	34,864	33,620	33,620
National and Community Service	292	288	331	340	340	340
Temporary and Disability Assistance, Office of	64,895	68,003	73,244	76,580	78,130	78,130
<i>All Other</i>	64,895	68,003	73,244	76,580	78,130	78,130
<b>Functional Total</b>	<b>320,615</b>	<b>328,106</b>	<b>334,970</b>	<b>438,906</b>	<b>437,376</b>	<b>437,376</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	56,428	60,203	60,604	62,406	62,052	62,686
<i>OASAS</i>	20,354	22,378	27,023	27,809	27,160	27,438
<i>OASAS - Other</i>	36,074	37,825	33,581	34,597	34,892	35,248
Justice Center	31,243	32,560	34,168	35,425	34,495	34,821
Mental Health, Office of	1,070,401	1,086,720	1,108,906	1,126,628	1,139,780	1,155,946
<i>OMH</i>	287,281	268,077	323,979	320,987	329,004	336,792
<i>OMH - Other</i>	783,120	818,643	784,927	805,641	810,776	819,154
People with Developmental Disabilities, Office for	1,129,874	1,142,584	1,147,023	1,162,291	1,172,066	1,184,331
<i>OPWDD</i>	124	262	0	0	0	0
<i>OPWDD - Other</i>	1,129,750	1,142,322	1,147,023	1,162,291	1,172,066	1,184,331
<b>Functional Total</b>	<b>2,287,946</b>	<b>2,322,067</b>	<b>2,350,701</b>	<b>2,386,750</b>	<b>2,408,393</b>	<b>2,437,784</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correction, Commission of	2,316	2,276	2,414	2,531	2,488	2,488
Correctional Services, Department of	2,098,499	2,111,244	2,355,041	2,253,648	2,299,931	2,374,480
Criminal Justice Services, Division of	26,993	28,281	27,502	28,477	28,033	28,547
Homeland Security and Emergency Services, Division of	16,362	20,611	29,610	30,856	32,215	32,774
Indigent Legal Services, Office of	1,873	2,430	3,208	3,274	3,260	3,260
Judicial Conduct, Commission on	4,238	3,959	4,677	4,548	4,483	4,573
Military and Naval Affairs, Division of	15,083	14,432	14,402	14,700	14,776	15,073
State Police, Division of	677,367	657,453	729,830	749,270	745,128	763,744
Statewide Financial System	11,094	11,294	11,882	12,325	12,121	12,356
Victim Services, Office of	3,184	2,958	3,206	3,323	3,272	3,272
<b>Functional Total</b>	<b>2,857,009</b>	<b>2,854,938</b>	<b>3,181,772</b>	<b>3,102,952</b>	<b>3,145,707</b>	<b>3,240,567</b>
<b>HIGHER EDUCATION</b>						
City University of New York	52,623	47,274	48,594	49,045	49,501	49,961
Higher Education - Miscellaneous	169	232	198	198	198	198
Higher Education Services Corporation, New York State	13,380	12,337	12,916	12,916	12,916	12,916
State University of New York	3,753,286	3,952,903	4,192,185	4,411,408	4,460,781	4,617,493
<b>Functional Total</b>	<b>3,819,458</b>	<b>4,012,746</b>	<b>4,253,893</b>	<b>4,473,567</b>	<b>4,523,396</b>	<b>4,680,568</b>
<b>EDUCATION</b>						
Arts, Council on the	2,626	2,636	2,498	2,594	2,498	2,498
Education, Department of	90,372	88,514	84,363	87,672	84,298	84,264
<i>All Other</i>	90,372	88,514	84,363	87,672	84,298	84,264
<b>Functional Total</b>	<b>92,998</b>	<b>91,150</b>	<b>86,861</b>	<b>90,266</b>	<b>86,796</b>	<b>86,762</b>
<b>GENERAL GOVERNMENT</b>						
Budget, Division of the	21,683	21,921	24,567	25,511	24,567	24,567
Civil Service, Department of	14,053	15,062	13,658	14,142	13,752	13,752

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
PERSONAL SERVICE  
(thousands of dollars)**

	<b>FY 2018 Results</b>	<b>FY 2019 Results</b>	<b>FY 2020 Updated</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>	<b>FY 2023 Projected</b>
Deferred Compensation Board	408	424	413	414	413	413
Elections, State Board of	6,077	5,773	6,288	6,571	6,478	6,316
Employee Relations, Office of	2,515	2,040	6,248	6,346	6,248	6,248
Gaming Commission, New York State	34,862	35,418	38,687	40,178	38,687	38,687
General Services, Office of	67,107	61,158	26,270	29,695	27,278	27,278
Inspector General, Office of the	6,223	5,909	6,648	6,970	6,857	6,994
Labor Management Committees	7,747	8,618	10,487	5,698	5,487	5,487
Prevention of Domestic Violence, Office for	1,412	1,394	1,491	1,524	1,431	1,431
Public Employment Relations Board	3,059	3,175	3,338	3,468	3,338	3,338
Public Integrity, Commission on	4,386	4,516	4,681	4,867	4,827	4,924
State, Department of	29,257	28,629	26,754	27,842	26,754	26,754
Tax Appeals, Division of	2,541	2,513	2,870	2,980	2,870	2,870
Taxation and Finance, Department of	275,658	269,428	277,371	286,655	277,500	277,386
Technology, Office for	289,994	299,018	291,616	308,116	303,458	309,539
Veterans' Services, Division of	5,512	5,161	5,938	6,178	6,107	6,107
Welfare Inspector General, Office of	619	615	626	654	646	659
Workers' Compensation Board	85,117	82,890	84,892	89,608	84,892	84,892
<b>Functional Total</b>	<b>858,230</b>	<b>853,662</b>	<b>832,843</b>	<b>867,417</b>	<b>841,590</b>	<b>847,642</b>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	119,019	118,729	126,111	128,775	126,111	126,111
Executive Chamber	9,979	9,135	11,113	11,567	11,113	11,113
Judiciary	1,624,889	1,731,581	1,709,800	1,766,800	1,709,800	1,710,100
Law, Department of	132,481	134,904	129,145	133,741	129,145	129,145
Legislature	174,350	175,304	187,511	192,583	198,366	198,419
Lieutenant Governor, Office of the	382	414	523	543	523	523
<b>Functional Total</b>	<b>2,061,100</b>	<b>2,170,067</b>	<b>2,164,203</b>	<b>2,234,009</b>	<b>2,175,058</b>	<b>2,175,411</b>
<b>ALL OTHER CATEGORIES</b>						
Miscellaneous	2,194	2,132	(7,715)	(7,660)	(7,660)	(7,660)
<b>Functional Total</b>	<b>2,194</b>	<b>2,132</b>	<b>(7,715)</b>	<b>(7,660)</b>	<b>(7,660)</b>	<b>(7,660)</b>
<b>TOTAL PERSONAL SERVICE SPENDING</b>	<b>13,170,432</b>	<b>13,687,318</b>	<b>14,260,503</b>	<b>14,682,366</b>	<b>14,684,423</b>	<b>14,972,485</b>

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
NON-PERSONAL SERVICE/INDIRECT COSTS  
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	6,895	7,218	8,944	8,999	23,819	23,619
Alcoholic Beverage Control, Division of	3,688	3,380	2,959	2,659	2,659	2,659
Economic Development, Department of	6,162	4,720	4,362	4,362	4,362	4,362
Financial Services, Department of	55,233	58,270	54,947	55,245	54,951	54,951
Olympic Regional Development Authority	188	3,189	3,338	3,338	3,338	3,338
Public Service Department	9,517	9,804	10,566	9,333	8,765	7,372
<b>Functional Total</b>	<b>81,683</b>	<b>86,581</b>	<b>85,116</b>	<b>83,936</b>	<b>97,894</b>	<b>96,301</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Adirondack Park Agency	289	347	327	313	313	313
Environmental Conservation, Department of	37,261	36,194	36,769	40,344	40,264	40,312
Parks, Recreation and Historic Preservation, Office of	38,428	42,405	34,680	33,861	33,861	33,861
<b>Functional Total</b>	<b>75,978</b>	<b>78,946</b>	<b>71,776</b>	<b>74,518</b>	<b>74,438</b>	<b>74,486</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	12,898	15,423	16,272	16,359	15,750	15,750
Transportation, Department of	6,336	144,312	179,514	174,531	179,426	179,426
<b>Functional Total</b>	<b>19,234</b>	<b>159,735</b>	<b>195,786</b>	<b>190,890</b>	<b>195,176</b>	<b>195,176</b>
<b>HEALTH</b>						
Aging, Office for the	97	105	107	107	107	107
Health, Department of	488,416	460,600	512,703	501,354	503,965	496,449
<i>Essential Plan</i>	84,490	73,347	79,644	74,339	72,013	67,966
<i>Medicaid Administration</i>	260,257	223,347	227,471	221,309	225,042	223,726
<i>Public Health</i>	143,669	163,906	205,588	205,706	206,910	204,757
Medicaid Inspector General, Office of the	2,418	2,912	2,448	2,448	2,448	2,448
<b>Functional Total</b>	<b>490,931</b>	<b>463,617</b>	<b>515,258</b>	<b>503,909</b>	<b>506,520</b>	<b>499,004</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	70,816	84,649	90,158	114,113	116,661	116,661
<i>OCFS</i>	70,816	84,649	90,158	114,113	116,661	116,661
Housing and Community Renewal, Division of	10,675	9,982	10,368	10,798	10,956	10,956
Human Rights, Division of	803	1,059	460	469	478	478
Labor, Department of	15,319	16,267	13,901	14,392	14,660	14,660
National and Community Service	1	2	9	9	9	9
Temporary and Disability Assistance, Office of	57,979	59,467	59,871	60,995	62,165	62,165
<i>All Other</i>	57,979	59,467	59,871	60,995	62,165	62,165
<b>Functional Total</b>	<b>155,593</b>	<b>171,426</b>	<b>174,767</b>	<b>200,776</b>	<b>204,929</b>	<b>204,929</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	25,044	26,765	26,169	25,532	26,258	26,503
<i>OASAS</i>	11,610	12,066	15,066	14,003	14,297	14,504
<i>OASAS - Other</i>	13,434	14,699	11,103	11,529	11,961	11,999
Justice Center	7,625	9,092	8,213	8,475	8,746	9,025
Mental Health, Office of	280,059	257,936	261,045	272,394	295,537	308,878
<i>OMH</i>	62,692	63,023	44,430	44,830	46,168	47,663
<i>OMH - Other</i>	217,367	194,913	216,615	227,564	249,369	261,215
Mental Hygiene, Department of	204	0	0	0	0	0
People with Developmental Disabilities, Office for	203,291	178,684	181,801	187,005	192,414	197,961
<i>OPWDD</i>	24	36	181	181	181	181
<i>OPWDD - Other</i>	203,267	178,648	181,620	186,824	192,233	197,780
<b>Functional Total</b>	<b>516,223</b>	<b>472,477</b>	<b>477,228</b>	<b>493,406</b>	<b>522,955</b>	<b>542,367</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correction, Commission of	278	265	237	242	247	247
Correctional Services, Department of	529,446	488,124	501,784	497,478	492,279	495,625
Criminal Justice Services, Division of	9,509	7,347	9,228	9,228	9,494	9,726
Homeland Security and Emergency Services, Division of	13,341	15,707	8,603	8,613	9,681	9,962
Indigent Legal Services, Office of	455	408	836	836	848	863
Judicial Conduct, Commission on	1,314	1,464	1,361	1,388	1,631	1,647
Judicial Nomination, Commission on	10	0	30	30	30	30
Judicial Screening Committees, New York State	14	7	38	38	38	38
Military and Naval Affairs, Division of	10,007	9,437	10,003	10,003	10,498	10,641
State Police, Division of	64,567	59,963	60,229	60,298	62,370	63,206
Statewide Financial System	19,043	19,226	18,624	18,624	18,996	19,376
Victim Services, Office of	530	550	745	745	758	758
<b>Functional Total</b>	<b>648,514</b>	<b>602,498</b>	<b>611,718</b>	<b>607,523</b>	<b>606,870</b>	<b>612,119</b>
<b>HIGHER EDUCATION</b>						
City University of New York	50,097	48,744	47,883	48,361	48,845	49,333
Higher Education - Miscellaneous	87	108	93	93	93	93
Higher Education Services Corporation, New York State	28,371	26,882	30,358	30,358	30,358	30,358
State University of New York	2,326,978	2,047,903	2,022,250	2,057,141	2,094,420	2,143,212
<b>Functional Total</b>	<b>2,405,533</b>	<b>2,123,637</b>	<b>2,100,584</b>	<b>2,135,953</b>	<b>2,173,716</b>	<b>2,222,996</b>
<b>EDUCATION</b>						
Arts, Council on the	1,634	1,630	1,822	1,822	1,822	1,822
Education, Department of	54,500	49,560	57,534	56,287	56,451	56,462
<i>All Other</i>	54,500	49,560	57,534	56,287	56,451	56,462
<b>Functional Total</b>	<b>56,134</b>	<b>51,190</b>	<b>59,356</b>	<b>58,109</b>	<b>58,273</b>	<b>58,284</b>

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
NON-PERSONAL SERVICE/INDIRECT COSTS  
(thousands of dollars)**

	<b>FY 2018 Results</b>	<b>FY 2019 Results</b>	<b>FY 2020 Updated</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>	<b>FY 2023 Projected</b>
<b>GENERAL GOVERNMENT</b>						
Budget, Division of the	4,917	3,297	3,909	3,375	3,372	3,372
Civil Service, Department of	742	360	462	462	897	914
Deferred Compensation Board	43	50	235	235	235	235
Elections, State Board of	1,686	2,843	3,859	4,012	3,576	3,112
Employee Relations, Office of	1,054	57	196	196	196	197
Gaming Commission, New York State	59,669	31,874	29,471	34,507	34,471	34,471
General Services, Office of	76,464	80,304	78,210	73,586	74,613	76,230
Inspector General, Office of the	943	935	839	856	1,204	1,216
Labor Management Committees	17,026	21,081	20,819	20,819	20,819	20,819
Prevention of Domestic Violence, Office for	146	162	173	212	216	216
Public Employment Relations Board	201	255	296	296	296	296
Public Integrity, Commission on	729	707	949	968	1,183	1,195
State, Department of	14,542	13,376	13,334	13,338	13,314	13,314
Tax Appeals, Division of	147	307	170	170	170	170
Taxation and Finance, Department of	45,357	42,956	62,516	64,141	62,345	61,892
Technology, Office for	245,983	245,523	248,700	242,646	253,860	258,937
Veterans' Services, Division of	373	559	298	304	310	310
Welfare Inspector General, Office of	52	14	75	77	107	109
Workers' Compensation Board	55,854	59,028	58,327	58,394	58,327	58,327
<b>Functional Total</b>	<b>525,928</b>	<b>503,688</b>	<b>522,838</b>	<b>518,594</b>	<b>529,511</b>	<b>535,332</b>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	27,700	29,769	31,338	31,338	31,338	31,338
Executive Chamber	3,581	3,538	2,465	2,465	2,465	2,465
Judiciary	456,020	436,699	455,900	455,900	455,900	455,900
Law, Department of	51,032	52,391	53,359	53,358	53,359	53,359
Legislature	49,022	48,269	54,704	56,473	57,583	57,583
Lieutenant Governor, Office of the	110	116	91	91	91	91
<b>Functional Total</b>	<b>587,465</b>	<b>570,782</b>	<b>597,857</b>	<b>599,625</b>	<b>600,736</b>	<b>600,736</b>
<b>LOCAL GOVERNMENT ASSISTANCE</b>						
Aid and Incentives for Municipalities	131	247	0	0	0	0
<b>Functional Total</b>	<b>131</b>	<b>247</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>ALL OTHER CATEGORIES</b>						
Long-Term Debt Service	41,887	37,699	45,706	44,359	44,359	44,359
Miscellaneous	45,466	47,730	34,716	34,716	34,716	34,716
<b>Functional Total</b>	<b>87,353</b>	<b>85,429</b>	<b>80,422</b>	<b>79,075</b>	<b>79,075</b>	<b>79,075</b>
<b>TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING</b>	<b>5,650,700</b>	<b>5,370,253</b>	<b>5,492,706</b>	<b>5,546,314</b>	<b>5,650,093</b>	<b>5,720,805</b>



**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
GENERAL STATE CHARGES  
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	1,255	3,082	1,893	1,993	1,959	1,994
Economic Development, Department of	2	0	28	28	28	28
Financial Services, Department of	90,924	113,519	101,824	114,477	114,251	114,251
Olympic Regional Development Authority	0	31	0	0	0	0
Public Service Department	32,395	22,783	27,788	29,526	28,974	29,483
<b>Functional Total</b>	<b>124,576</b>	<b>139,415</b>	<b>131,533</b>	<b>146,024</b>	<b>145,212</b>	<b>145,756</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Environmental Conservation, Department of	45,573	62,434	47,709	49,877	48,370	49,232
Parks, Recreation and Historic Preservation, Office of	3,264	3,657	1,960	2,007	1,941	1,941
<b>Functional Total</b>	<b>48,837</b>	<b>66,091</b>	<b>49,669</b>	<b>51,884</b>	<b>50,311</b>	<b>51,173</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	20,986	28,312	24,118	25,963	26,615	26,615
Transportation, Department of	1,720	1,681	2,197	2,412	2,457	2,457
<b>Functional Total</b>	<b>22,706</b>	<b>29,993</b>	<b>26,315</b>	<b>28,375</b>	<b>29,072</b>	<b>29,072</b>
<b>HEALTH</b>						
Health, Department of	31,713	34,922	39,165	40,921	42,241	42,351
<i>Medicaid Administration</i>	0	0	581	581	581	581
<i>Public Health</i>	31,713	34,922	38,584	40,340	41,660	41,770
<b>Functional Total</b>	<b>31,713</b>	<b>34,922</b>	<b>39,165</b>	<b>40,921</b>	<b>42,241</b>	<b>42,351</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	1,658	2,243	2,131	2,250	2,266	2,266
<i>OCFS</i>	1,658	2,243	2,131	2,250	2,266	2,266
Housing and Community Renewal, Division of	16,045	21,908	25,921	25,921	29,150	29,150
Labor, Department of	20,895	25,876	20,487	20,487	23,005	23,005
Temporary and Disability Assistance, Office of	12	36	128	128	128	128
<i>All Other</i>	12	36	128	128	128	128
<b>Functional Total</b>	<b>38,610</b>	<b>50,063</b>	<b>48,667</b>	<b>48,786</b>	<b>54,549</b>	<b>54,549</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	36,956	0	0	0	0	0
<i>OASAS</i>	14,792	0	0	0	0	0
<i>OASAS - Other</i>	22,164	0	0	0	0	0
Justice Center	649	1,220	898	924	948	973
Mental Health, Office of	650,727	(44,657)	0	0	0	0
<i>OMH</i>	193,397	(10,681)	0	0	0	0
<i>OMH - Other</i>	457,330	(33,976)	0	0	0	0
People with Developmental Disabilities, Office for	683,801	0	0	0	0	0
<i>OPWDD - Other</i>	683,801	0	0	0	0	0
<b>Functional Total</b>	<b>1,372,133</b>	<b>(43,437)</b>	<b>898</b>	<b>924</b>	<b>948</b>	<b>973</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correctional Services, Department of	117	191	106	106	106	106
Criminal Justice Services, Division of	35	0	35	35	35	36
Homeland Security and Emergency Services, Division of	615	763	817	835	905	905
Indigent Legal Services, Office of	1,069	1,851	2,046	2,160	2,282	2,282
Military and Naval Affairs, Division of	6	214	9	9	10	10
State Police, Division of	14,072	45,763	28,410	28,953	29,323	29,523
Victim Services, Office of	1,638	1,894	1,650	1,650	1,683	1,683
<b>Functional Total</b>	<b>17,552</b>	<b>50,676</b>	<b>33,073</b>	<b>33,748</b>	<b>34,344</b>	<b>34,545</b>
<b>HIGHER EDUCATION</b>						
City University of New York	145	129	150	150	150	150
Higher Education - Miscellaneous	81	200	150	150	150	150
Higher Education Services Corporation, New York State	8,131	9,807	9,020	9,020	9,020	9,020
State University of New York	430,860	522,524	538,059	567,030	582,193	609,523
<b>Functional Total</b>	<b>439,217</b>	<b>532,660</b>	<b>547,379</b>	<b>576,350</b>	<b>591,513</b>	<b>618,843</b>
<b>EDUCATION</b>						
Education, Department of	34,543	43,214	35,177	37,302	36,349	36,949
<i>All Other</i>	34,543	43,214	35,177	37,302	36,349	36,949
<b>Functional Total</b>	<b>34,543</b>	<b>43,214</b>	<b>35,177</b>	<b>37,302</b>	<b>36,349</b>	<b>36,949</b>
<b>GENERAL GOVERNMENT</b>						
Budget, Division of the	712	907	1,657	1,717	1,657	1,657
Civil Service, Department of	180	139	231	244	241	245
Deferred Compensation Board	224	302	243	247	252	256
Gaming Commission, New York State	16,540	22,754	17,575	18,465	17,575	17,575
General Services, Office of	369	538	548	583	575	585
Labor Management Committees	5,857	5,821	5,000	5,000	5,000	5,000
State, Department of	10,433	15,448	12,924	14,161	14,439	14,456
Taxation and Finance, Department of	22,635	5,667	26,309	27,567	26,391	26,318
Workers' Compensation Board	52,395	56,568	53,220	57,863	53,220	53,220
<b>Functional Total</b>	<b>109,345</b>	<b>108,144</b>	<b>117,707</b>	<b>125,847</b>	<b>119,350</b>	<b>119,312</b>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	1,677	2,039	2,197	2,197	2,197	2,197
Judiciary	750,196	787,202	814,600	814,600	814,600	814,600

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
GENERAL STATE CHARGES  
(thousands of dollars)**

	<b>FY 2018 Results</b>	<b>FY 2019 Results</b>	<b>FY 2020 Updated</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>	<b>FY 2023 Projected</b>
Law, Department of	16,578	26,417	19,799	20,605	19,799	19,799
<b>Functional Total</b>	<u>768,451</u>	<u>815,658</u>	<u>836,596</u>	<u>837,402</u>	<u>836,596</u>	<u>836,596</u>
<b>ALL OTHER CATEGORIES</b>						
General State Charges	4,842,815	6,373,456	6,894,907	7,438,282	8,003,887	8,563,970
Miscellaneous	2,588	2,969	5,770	5,782	5,787	5,797
<b>Functional Total</b>	<u>4,845,403</u>	<u>6,376,425</u>	<u>6,900,677</u>	<u>7,444,064</u>	<u>8,009,674</u>	<u>8,569,767</u>
<b>TOTAL GENERAL STATE CHARGES SPENDING</b>	<u><u>7,853,086</u></u>	<u><u>8,203,824</u></u>	<u><u>8,766,856</u></u>	<u><u>9,371,627</u></u>	<u><u>9,950,159</u></u>	<u><u>10,539,886</u></u>

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
CAPITAL PROJECTS  
(thousands of dollars)**

	<b>FY 2018 Results</b>	<b>FY 2019 Results</b>	<b>FY 2020 Updated</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>	<b>FY 2023 Projected</b>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	8,264	51,753	12,362	14,265	9,330	5,915
Economic Development, Department of	10	0	0	0	0	0
Empire State Development Corporation	71,126	85,649	765,588	857,614	661,121	669,345
Energy Research and Development Authority	17,109	20,828	20,450	15,720	14,001	13,000
Lake Ontario Resiliency/Economic Development	0	0	20,000	30,000	40,000	10,000
Olympic Regional Development Authority	38,000	42,700	70,000	30,000	10,000	10,000
Power Authority, New York	160	1,346	36,000	36,500	2,000	2,000
Regional Economic Development Program	0	0	337	337	337	337
<b>Functional Total</b>	<b>134,669</b>	<b>202,276</b>	<b>924,737</b>	<b>984,436</b>	<b>736,789</b>	<b>710,597</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Environmental Conservation, Department of	311,361	400,363	576,523	616,929	676,662	791,948
Hudson River Park Trust	0	0	5,000	15,000	15,000	12,000
Parks, Recreation and Historic Preservation, Office of	174,151	169,014	194,157	159,539	159,989	159,539
<b>Functional Total</b>	<b>485,512</b>	<b>569,377</b>	<b>775,680</b>	<b>791,468</b>	<b>851,651</b>	<b>963,487</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	221,992	227,603	238,276	249,696	226,511	211,527
Transportation, Department of	2,362,008	2,175,940	2,415,603	2,679,673	2,702,933	2,688,667
<b>Functional Total</b>	<b>2,584,000</b>	<b>2,403,543</b>	<b>2,653,879</b>	<b>2,929,369</b>	<b>2,929,444</b>	<b>2,900,194</b>
<b>HEALTH</b>						
Health, Department of	47,372	74,018	106,055	54,055	54,055	54,055
<i>Public Health</i>	47,372	74,018	106,055	54,055	54,055	54,055
<b>Functional Total</b>	<b>47,372</b>	<b>74,018</b>	<b>106,055</b>	<b>54,055</b>	<b>54,055</b>	<b>54,055</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	41,259	55,800	29,858	25,804	23,660	23,673
<i>OCFS</i>	41,259	55,800	29,858	25,804	23,660	23,673
Nonprofit Infrastructure Capital Investment Program	0	0	44,500	16,049	5,000	0
Temporary and Disability Assistance, Office of	801	966	800	800	800	800
<i>All Other</i>	801	966	800	800	800	800
<b>Functional Total</b>	<b>42,060</b>	<b>56,766</b>	<b>75,158</b>	<b>42,653</b>	<b>29,460</b>	<b>24,473</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	1,807	2,036	2,604	2,662	2,710	2,822
<i>OASAS</i>	1,807	2,036	2,604	2,662	2,710	2,822
Mental Health, Office of	214,774	244,264	222,838	171,795	158,888	153,531
<i>OMH</i>	214,774	244,264	222,838	171,795	158,888	153,531
People with Developmental Disabilities, Office for	70,009	96,486	94,184	81,642	82,105	82,780
<i>OPWDD</i>	70,009	96,486	94,184	81,642	82,105	82,780
<b>Functional Total</b>	<b>286,590</b>	<b>342,786</b>	<b>319,626</b>	<b>256,099</b>	<b>243,703</b>	<b>239,133</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correctional Services, Department of	355,744	369,540	366,318	340,646	337,685	316,975
Homeland Security and Emergency Services, Division of	28,671	11,972	22,760	17,250	11,995	9,367
Military and Naval Affairs, Division of	32,247	44,433	92,263	35,344	26,244	15,607
State Police, Division of	72,903	47,327	47,500	55,853	54,688	47,538
<b>Functional Total</b>	<b>489,565</b>	<b>473,272</b>	<b>528,841</b>	<b>449,093</b>	<b>430,612</b>	<b>389,487</b>
<b>HIGHER EDUCATION</b>						
City University of New York	36,608	32,463	36,620	37,352	37,352	37,352
State University of New York	940,056	941,242	993,516	1,010,693	1,014,973	1,019,973
<b>Functional Total</b>	<b>976,664</b>	<b>973,705</b>	<b>1,030,136</b>	<b>1,048,045</b>	<b>1,052,325</b>	<b>1,057,325</b>
<b>EDUCATION</b>						
Education, Department of	6,713	6,146	95,134	104,876	53,777	82,695
<i>All Other</i>	6,713	6,146	95,134	104,876	53,777	82,695
<b>Functional Total</b>	<b>6,713</b>	<b>6,146</b>	<b>95,134</b>	<b>104,876</b>	<b>53,777</b>	<b>82,695</b>
<b>GENERAL GOVERNMENT</b>						
General Services, Office of	128,849	154,965	231,662	251,749	154,517	124,129
State, Department of	1,233	1,238	2,000	0	0	0
Technology, Office for	102,831	70,350	114,118	68,948	34,451	16,453
Workers' Compensation Board	4,824	0	30,000	38,306	0	0
<b>Functional Total</b>	<b>237,737</b>	<b>226,553</b>	<b>377,780</b>	<b>359,003</b>	<b>188,968</b>	<b>140,582</b>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	0	2,298	3,124	1,000	0	0
Judiciary	13,943	10,373	28,175	3,500	0	0
Law, Department of	5,035	6,856	3,518	0	0	0
<b>Functional Total</b>	<b>18,978</b>	<b>19,527</b>	<b>34,817</b>	<b>4,500</b>	<b>0</b>	<b>0</b>
<b>ALL OTHER CATEGORIES</b>						
Arts and Cultural Facilities Improvement	0	81	5,000	5,000	0	0
Miscellaneous	14,499	33,741	(529,992)	(519,101)	(597,907)	(499,095)
Special Infrastructure Account	360,672	423,893	1,109,126	673,961	201,924	161,074
<b>Functional Total</b>	<b>375,171</b>	<b>457,715</b>	<b>584,134</b>	<b>159,860</b>	<b>(395,983)</b>	<b>(338,021)</b>
<b>TOTAL CAPITAL PROJECTS SPENDING</b>	<b>5,685,031</b>	<b>5,805,684</b>	<b>7,505,977</b>	<b>7,183,457</b>	<b>6,174,801</b>	<b>6,224,007</b>

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE STATE FUNDS  
LOCAL ASSISTANCE  
(thousands of dollars)**

	<b>FY 2018 Results</b>	<b>FY 2019 Results</b>	<b>FY 2020 Updated</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>	<b>FY 2023 Projected</b>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Economic Development, Department of	0	0	0	0	5,000	5,000
Financial Services, Department of	57,254	64,179	58,602	58,496	58,496	58,496
Public Service Department	102	218	122	122	122	122
<b>Functional Total</b>	<b>57,356</b>	<b>64,397</b>	<b>58,724</b>	<b>58,618</b>	<b>63,618</b>	<b>63,618</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Parks, Recreation and Historic Preservation, Office of	4,156	4,314	3,850	3,850	3,850	3,850
<b>Functional Total</b>	<b>4,156</b>	<b>4,314</b>	<b>3,850</b>	<b>3,850</b>	<b>3,850</b>	<b>3,850</b>
<b>TRANSPORTATION</b>						
Transportation, Department of	4,908,656	3,634,366	3,433,029	3,739,261	3,936,922	4,186,437
<b>Functional Total</b>	<b>4,908,656</b>	<b>3,634,366</b>	<b>3,433,029</b>	<b>3,739,261</b>	<b>3,936,922</b>	<b>4,186,437</b>
<b>HEALTH</b>						
Health, Department of	6,582,824	6,611,353	6,557,084	6,713,719	6,801,447	6,900,066
<i>Medical Assistance</i>	5,745,990	5,691,828	5,617,564	5,566,706	5,547,555	5,630,889
<i>Public Health</i>	836,834	919,525	939,520	1,147,013	1,253,892	1,269,177
<b>Functional Total</b>	<b>6,582,824</b>	<b>6,611,353</b>	<b>6,557,084</b>	<b>6,713,719</b>	<b>6,801,447</b>	<b>6,900,066</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	3,252	3,905	3,582	3,582	3,582	3,582
<i>OCFS</i>	3,252	3,905	3,582	3,582	3,582	3,582
Housing and Community Renewal, Division of	291	486	852	852	852	852
Labor, Department of	0	96	150	150	150	150
<b>Functional Total</b>	<b>3,543</b>	<b>4,487</b>	<b>4,584</b>	<b>4,584</b>	<b>4,584</b>	<b>4,584</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	286,440	3,325	3,388	3,300	3,300	3,300
<i>OASAS</i>	286,440	3,325	3,388	3,300	3,300	3,300
Justice Center	449	509	479	479	479	479
Mental Health, Office of	908,380	287	1,075	1,075	1,075	1,075
<i>OMH</i>	908,380	287	1,075	1,075	1,075	1,075
People with Developmental Disabilities, Office for	407,737	(2,131)	0	0	0	0
<i>OPWDD</i>	407,132	(2,131)	0	0	0	0
<i>OPWDD - Other</i>	605	0	0	0	0	0
<b>Functional Total</b>	<b>1,603,006</b>	<b>1,990</b>	<b>4,942</b>	<b>4,854</b>	<b>4,854</b>	<b>4,854</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Criminal Justice Services, Division of	20,048	20,974	25,433	25,433	25,433	25,433
Homeland Security and Emergency Services, Division of	26,756	45,139	31,969	87,570	96,549	98,549
Indigent Legal Services, Office of	72,102	83,765	144,810	204,810	254,810	304,810
Victim Services, Office of	21,242	24,845	30,744	30,744	31,398	31,398
<b>Functional Total</b>	<b>140,148</b>	<b>174,723</b>	<b>232,956</b>	<b>348,557</b>	<b>408,190</b>	<b>460,190</b>
<b>EDUCATION</b>						
Arts, Council on the	0	0	98	98	98	98
Education, Department of	6,040,724	5,754,854	6,034,828	5,664,349	5,570,790	5,444,218
<i>School Aid</i>	3,442,342	3,323,122	3,845,800	3,578,200	3,578,300	3,573,200
<i>STAR Property Tax Relief</i>	2,589,145	2,423,112	2,175,995	2,073,116	1,979,457	1,857,985
<i>All Other</i>	9,237	8,620	13,033	13,033	13,033	13,033
<b>Functional Total</b>	<b>6,040,724</b>	<b>5,754,854</b>	<b>6,034,926</b>	<b>5,664,447</b>	<b>5,570,888</b>	<b>5,444,316</b>
<b>GENERAL GOVERNMENT</b>						
Gaming Commission, New York State	65,779	69,013	199,668	121,950	122,950	121,950
State, Department of	913	939	0	0	0	0
Taxation and Finance, Department of	654	1,514	1,800	1,800	1,800	1,800
<b>Functional Total</b>	<b>67,346</b>	<b>71,466</b>	<b>201,468</b>	<b>123,750</b>	<b>124,750</b>	<b>123,750</b>
<b>ELECTED OFFICIALS</b>						
Judiciary	111,250	98,541	110,900	110,901	110,901	110,901
<b>Functional Total</b>	<b>111,250</b>	<b>98,541</b>	<b>110,900</b>	<b>110,901</b>	<b>110,901</b>	<b>110,901</b>
<b>ALL OTHER CATEGORIES</b>						
Miscellaneous	12,598	10,959	(271,786)	(1,092,000)	(1,042,000)	(1,692,000)
<b>Functional Total</b>	<b>12,598</b>	<b>10,959</b>	<b>(271,786)</b>	<b>(1,092,000)</b>	<b>(1,042,000)</b>	<b>(1,692,000)</b>
<b>TOTAL LOCAL ASSISTANCE SPENDING</b>	<b>19,531,607</b>	<b>16,431,450</b>	<b>16,370,677</b>	<b>15,680,541</b>	<b>15,988,004</b>	<b>15,610,566</b>

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE STATE FUNDS  
PERSONAL SERVICE  
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	2,647	3,150	2,965	3,069	2,965	2,965
Economic Development, Department of	3	0	103	103	103	103
Financial Services, Department of	154,146	156,895	153,752	159,736	153,893	153,893
Public Service Department	42,867	42,628	41,745	43,683	42,085	42,098
<b>Functional Total</b>	<b>199,663</b>	<b>202,673</b>	<b>198,565</b>	<b>206,591</b>	<b>199,046</b>	<b>199,059</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Environmental Conservation, Department of	93,008	84,489	78,342	81,141	77,742	71,793
Parks, Recreation and Historic Preservation, Office of	33,620	34,300	27,601	29,074	28,013	28,013
<b>Functional Total</b>	<b>126,628</b>	<b>118,789</b>	<b>105,943</b>	<b>110,215</b>	<b>105,755</b>	<b>99,806</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	36,244	35,448	34,569	35,339	35,451	35,451
Transportation, Department of	2,803	2,654	3,324	3,451	3,348	3,348
<b>Functional Total</b>	<b>39,047</b>	<b>38,102</b>	<b>37,893</b>	<b>38,790</b>	<b>38,799</b>	<b>38,799</b>
<b>HEALTH</b>						
Health, Department of	130,551	132,075	140,983	141,502	141,250	141,435
<i>Medicaid Administration</i>	0	0	910	910	910	910
<i>Public Health</i>	130,551	132,075	140,073	140,592	140,340	140,525
<b>Functional Total</b>	<b>130,551</b>	<b>132,075</b>	<b>140,983</b>	<b>141,502</b>	<b>141,250</b>	<b>141,435</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	2,722	3,624	3,354	3,483	3,449	3,449
<i>OCFS</i>	2,722	3,624	3,354	3,483	3,449	3,449
Housing and Community Renewal, Division of	37,669	38,106	43,099	45,628	44,216	44,216
Labor, Department of	34,239	33,414	32,530	34,770	33,529	33,529
<b>Functional Total</b>	<b>74,630</b>	<b>75,144</b>	<b>78,983</b>	<b>83,881</b>	<b>81,194</b>	<b>81,194</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	56,428	0	0	0	0	0
<i>OASAS</i>	20,354	0	0	0	0	0
<i>OASAS - Other</i>	36,074	0	0	0	0	0
Justice Center	1,214	1,411	1,406	1,422	1,435	1,448
Mental Health, Office of	1,070,401	(54)	103	103	103	103
<i>OMH</i>	287,281	(3)	103	103	103	103
<i>OMH - Other</i>	783,120	(51)	0	0	0	0
People with Developmental Disabilities, Office for	1,129,874	(20)	0	0	0	0
<i>OPWDD</i>	124	0	0	0	0	0
<i>OPWDD - Other</i>	1,129,750	(20)	0	0	0	0
<b>Functional Total</b>	<b>2,257,917</b>	<b>1,337</b>	<b>1,509</b>	<b>1,525</b>	<b>1,538</b>	<b>1,551</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correctional Services, Department of	251	215	219	219	219	223
Criminal Justice Services, Division of	280	252	392	403	400	400
Homeland Security and Emergency Services, Division of	15,292	19,611	28,610	29,856	31,215	31,754
Indigent Legal Services, Office of	1,873	2,430	3,208	3,274	3,260	3,260
Military and Naval Affairs, Division of	68	97	161	161	161	165
State Police, Division of	46,922	45,780	49,692	50,132	50,370	51,420
Victim Services, Office of	3,184	2,958	3,206	3,323	3,272	3,272
<b>Functional Total</b>	<b>67,870</b>	<b>71,343</b>	<b>85,488</b>	<b>87,368</b>	<b>88,897</b>	<b>90,494</b>
<b>HIGHER EDUCATION</b>						
City University of New York	52,623	47,274	48,594	49,045	49,501	49,961
Higher Education - Miscellaneous	169	232	198	198	198	198
Higher Education Services Corporation, New York State	13,380	12,337	12,416	12,416	12,416	12,416
State University of New York	3,753,285	3,952,902	4,192,185	4,411,408	4,460,781	4,617,493
<b>Functional Total</b>	<b>3,819,457</b>	<b>4,012,745</b>	<b>4,253,393</b>	<b>4,473,067</b>	<b>4,522,896</b>	<b>4,680,068</b>
<b>EDUCATION</b>						
Education, Department of	59,335	57,667	55,029	57,366	54,964	54,930
<i>All Other</i>	59,335	57,667	55,029	57,366	54,964	54,930
<b>Functional Total</b>	<b>59,335</b>	<b>57,667</b>	<b>55,029</b>	<b>57,366</b>	<b>54,964</b>	<b>54,930</b>
<b>GENERAL GOVERNMENT</b>						
Budget, Division of the	1,199	1,387	2,351	2,441	2,351	2,351
Civil Service, Department of	322	188	362	376	365	365
Deferred Compensation Board	375	390	381	381	381	381
Gaming Commission, New York State	31,990	32,241	34,405	35,731	34,405	34,405
General Services, Office of	3,200	3,960	858	897	871	871
State, Department of	18,893	18,328	19,400	20,126	19,400	19,400
Taxation and Finance, Department of	9,338	6,673	44,384	46,279	44,513	44,399
Workers' Compensation Board	85,117	82,890	84,892	89,608	84,892	84,892
<b>Functional Total</b>	<b>150,434</b>	<b>146,057</b>	<b>187,033</b>	<b>195,839</b>	<b>187,178</b>	<b>187,064</b>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	11,125	10,645	13,930	14,172	13,930	13,930
Judiciary	59,170	62,313	68,500	68,500	68,500	68,800
Law, Department of	36,981	37,051	31,238	32,588	31,238	31,238
<b>Functional Total</b>	<b>107,276</b>	<b>110,009</b>	<b>113,668</b>	<b>115,260</b>	<b>113,668</b>	<b>113,968</b>

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE STATE FUNDS  
PERSONAL SERVICE  
(thousands of dollars)**

	<u>FY 2018 Results</u>	<u>FY 2019 Results</u>	<u>FY 2020 Updated</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>
<b>ALL OTHER CATEGORIES</b>						
Miscellaneous	2,160	2,064	(61,771)	(397,716)	(397,716)	(472,716)
<b>Functional Total</b>	<u>2,160</u>	<u>2,064</u>	<u>(61,771)</u>	<u>(397,716)</u>	<u>(397,716)</u>	<u>(472,716)</u>
<b>TOTAL PERSONAL SERVICE SPENDING</b>	<u>7,034,968</u>	<u>4,968,005</u>	<u>5,196,716</u>	<u>5,113,688</u>	<u>5,137,469</u>	<u>5,215,652</u>

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE STATE FUNDS  
NON-PERSONAL SERVICE  
(thousands of dollars)**

	<b>FY 2018 Results</b>	<b>FY 2019 Results</b>	<b>FY 2020 Updated</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>	<b>FY 2023 Projected</b>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	2,002	1,973	2,441	2,445	18,241	18,241
Economic Development, Department of	1,482	1,868	1,847	1,847	1,847	1,847
Financial Services, Department of	55,233	58,270	54,947	55,245	54,951	54,951
Olympic Regional Development Authority	0	1	150	150	150	150
Public Service Department	9,517	9,804	10,566	9,333	8,765	7,372
<b>Functional Total</b>	<b>68,234</b>	<b>71,916</b>	<b>69,951</b>	<b>69,020</b>	<b>83,954</b>	<b>82,561</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Environmental Conservation, Department of	25,463	23,256	15,651	14,926	14,846	14,894
Parks, Recreation and Historic Preservation, Office of	33,040	36,191	27,180	27,182	27,182	27,182
<b>Functional Total</b>	<b>58,503</b>	<b>59,447</b>	<b>42,831</b>	<b>42,108</b>	<b>42,028</b>	<b>42,076</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	12,898	13,439	12,619	12,641	11,966	11,966
Transportation, Department of	4,941	5,750	6,525	6,529	6,525	6,525
<b>Functional Total</b>	<b>17,839</b>	<b>19,189</b>	<b>19,144</b>	<b>19,170</b>	<b>18,491</b>	<b>18,491</b>
<b>HEALTH</b>						
Aging, Office for the	0	0	1	1	1	1
Health, Department of	104,457	123,329	165,072	165,190	166,394	164,241
<i>Medicaid Administration</i>	0	0	50	50	50	50
<i>Public Health</i>	104,457	123,329	165,022	165,140	166,344	164,191
<b>Functional Total</b>	<b>104,457</b>	<b>123,329</b>	<b>165,073</b>	<b>165,191</b>	<b>166,395</b>	<b>164,242</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	11,452	12,522	15,235	15,544	15,853	15,853
<i>OCFS</i>	11,452	12,522	15,235	15,544	15,853	15,853
Housing and Community Renewal, Division of	8,748	8,842	10,017	10,385	10,543	10,543
Labor, Department of	15,206	16,012	13,701	14,137	14,400	14,400
Temporary and Disability Assistance, Office of	1,228	3,645	3,400	3,400	3,400	3,400
<i>All Other</i>	1,228	3,645	3,400	3,400	3,400	3,400
<b>Functional Total</b>	<b>36,634</b>	<b>41,021</b>	<b>42,353</b>	<b>43,466</b>	<b>44,196</b>	<b>44,196</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	25,044	6,291	6,471	6,471	6,486	6,667
<i>OASAS</i>	11,610	6,285	6,471	6,471	6,486	6,667
<i>OASAS - Other</i>	13,434	6	0	0	0	0
Justice Center	27	62	45	46	46	47
Mental Health, Office of	279,362	(217)	5,342	5,342	5,342	5,342
<i>OMH</i>	61,995	3,108	5,342	5,342	5,342	5,342
<i>OMH - Other</i>	217,367	(3,325)	0	0	0	0
Mental Hygiene, Department of	204	0	0	0	0	0
People with Developmental Disabilities, Office for	203,291	(9,127)	181	181	181	181
<i>OPWDD</i>	24	36	181	181	181	181
<i>OPWDD - Other</i>	203,267	(9,163)	0	0	0	0
<b>Functional Total</b>	<b>507,928</b>	<b>(2,991)</b>	<b>12,039</b>	<b>12,040</b>	<b>12,055</b>	<b>12,237</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correctional Services, Department of	1,061	827	2,627	2,627	2,627	2,648
Criminal Justice Services, Division of	2,371	1,406	2,700	2,700	2,795	2,853
Homeland Security and Emergency Services, Division of	13,341	15,707	8,603	8,613	9,681	9,962
Indigent Legal Services, Office of	455	408	836	836	848	863
Military and Naval Affairs, Division of	2,103	3,327	3,405	3,405	3,406	3,407
State Police, Division of	30,368	31,435	31,709	26,738	26,800	26,924
Victim Services, Office of	530	550	745	745	758	758
<b>Functional Total</b>	<b>50,229</b>	<b>53,660</b>	<b>50,625</b>	<b>45,664</b>	<b>46,915</b>	<b>47,415</b>
<b>HIGHER EDUCATION</b>						
City University of New York	50,097	48,398	47,883	48,361	48,845	49,333
Higher Education - Miscellaneous	87	108	93	93	93	93
Higher Education Services Corporation, New York State	28,371	26,882	30,358	30,358	30,358	30,358
State University of New York	2,325,929	2,046,976	2,021,508	2,056,399	2,093,678	2,142,470
<b>Functional Total</b>	<b>2,404,484</b>	<b>2,122,364</b>	<b>2,099,842</b>	<b>2,135,211</b>	<b>2,172,974</b>	<b>2,222,254</b>
<b>EDUCATION</b>						
Education, Department of	29,141	24,226	27,630	27,383	27,547	27,558
<i>All Other</i>	29,141	24,226	27,630	27,383	27,547	27,558
<b>Functional Total</b>	<b>29,141</b>	<b>24,226</b>	<b>27,630</b>	<b>27,383</b>	<b>27,547</b>	<b>27,558</b>
<b>GENERAL GOVERNMENT</b>						
Budget, Division of the	1,470	1,400	2,693	2,696	2,693	2,693
Civil Service, Department of	356	8	12	12	442	451
Deferred Compensation Board	43	50	210	210	210	210
Elections, State Board of	44	273	0	0	0	0
Gaming Commission, New York State	58,168	30,510	27,391	32,427	32,391	32,391
General Services, Office of	1,926	1,829	3,184	3,249	3,289	3,354
Labor Management Committees	0	0	306	306	306	306
Prevention of Domestic Violence, Office for	0	0	5	5	5	5
Public Employment Relations Board	28	37	45	45	45	45
State, Department of	14,156	13,044	13,078	13,102	13,078	13,078
Taxation and Finance, Department of	5,556	2,129	41,080	41,401	40,909	40,456

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE STATE FUNDS  
NON-PERSONAL SERVICE  
(thousands of dollars)**

	<u>FY 2018 Results</u>	<u>FY 2019 Results</u>	<u>FY 2020 Updated</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>
Workers' Compensation Board	55,854	59,028	58,327	58,394	58,327	58,327
<b>Functional Total</b>	<u>137,601</u>	<u>108,308</u>	<u>146,331</u>	<u>151,847</u>	<u>151,695</u>	<u>151,316</u>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	3,174	3,100	6,102	6,102	6,102	6,102
Judiciary	55,103	42,689	49,600	49,600	49,600	49,600
Law, Department of	40,539	42,284	41,577	41,576	41,577	41,577
Legislature	1,160	564	950	950	950	950
<b>Functional Total</b>	<u>99,976</u>	<u>88,637</u>	<u>98,229</u>	<u>98,228</u>	<u>98,229</u>	<u>98,229</u>
<b>ALL OTHER CATEGORIES</b>						
Miscellaneous	1,495	1,384	(196,653)	(358,496)	(358,496)	(433,496)
<b>Functional Total</b>	<u>1,495</u>	<u>1,384</u>	<u>(196,653)</u>	<u>(358,496)</u>	<u>(358,496)</u>	<u>(433,496)</u>
<b>TOTAL NON-PERSONAL SERVICE SPENDING</b>	<u><u>3,516,521</u></u>	<u><u>2,710,490</u></u>	<u><u>2,577,395</u></u>	<u><u>2,450,832</u></u>	<u><u>2,505,983</u></u>	<u><u>2,477,079</u></u>



**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE STATE FUNDS  
GENERAL STATE CHARGES  
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	1,255	3,082	1,893	1,993	1,959	1,994
Economic Development, Department of	2	0	28	28	28	28
Financial Services, Department of	90,924	113,519	101,824	114,477	114,251	114,251
Olympic Regional Development Authority	0	31	0	0	0	0
Public Service Department	32,395	22,783	27,788	29,526	28,974	29,483
<b>Functional Total</b>	<b>124,576</b>	<b>139,415</b>	<b>131,533</b>	<b>146,024</b>	<b>145,212</b>	<b>145,756</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Environmental Conservation, Department of	45,573	62,434	47,709	49,877	48,370	49,232
Parks, Recreation and Historic Preservation, Office of	3,264	3,657	1,960	2,007	1,941	1,941
<b>Functional Total</b>	<b>48,837</b>	<b>66,091</b>	<b>49,669</b>	<b>51,884</b>	<b>50,311</b>	<b>51,173</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	20,986	28,312	24,118	25,963	26,615	26,615
Transportation, Department of	1,720	1,681	2,197	2,412	2,457	2,457
<b>Functional Total</b>	<b>22,706</b>	<b>29,993</b>	<b>26,315</b>	<b>28,375</b>	<b>29,072</b>	<b>29,072</b>
<b>HEALTH</b>						
Health, Department of	31,713	34,922	39,165	40,921	42,241	42,351
<i>Medicaid Administration</i>	0	0	581	581	581	581
<i>Public Health</i>	31,713	34,922	38,584	40,340	41,660	41,770
<b>Functional Total</b>	<b>31,713</b>	<b>34,922</b>	<b>39,165</b>	<b>40,921</b>	<b>42,241</b>	<b>42,351</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	1,658	2,243	2,131	2,250	2,266	2,266
<i>OCFS</i>	1,658	2,243	2,131	2,250	2,266	2,266
Housing and Community Renewal, Division of	16,045	21,908	25,921	25,921	29,150	29,150
Labor, Department of	20,895	25,876	20,487	20,487	23,005	23,005
Temporary and Disability Assistance, Office of	12	36	128	128	128	128
<i>All Other</i>	12	36	128	128	128	128
<b>Functional Total</b>	<b>38,610</b>	<b>50,063</b>	<b>48,667</b>	<b>48,786</b>	<b>54,549</b>	<b>54,549</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	36,956	0	0	0	0	0
<i>OASAS</i>	14,792	0	0	0	0	0
<i>OASAS - Other</i>	22,164	0	0	0	0	0
Justice Center	649	1,220	898	924	948	973
Mental Health, Office of	650,727	(44,657)	0	0	0	0
<i>OMH</i>	193,397	(10,681)	0	0	0	0
<i>OMH - Other</i>	457,330	(33,976)	0	0	0	0
People with Developmental Disabilities, Office for	683,801	0	0	0	0	0
<i>OPWDD - Other</i>	683,801	0	0	0	0	0
<b>Functional Total</b>	<b>1,372,133</b>	<b>(43,437)</b>	<b>898</b>	<b>924</b>	<b>948</b>	<b>973</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correctional Services, Department of	117	191	106	106	106	106
Criminal Justice Services, Division of	35	0	35	35	35	36
Homeland Security and Emergency Services, Division of	615	763	817	835	905	905
Indigent Legal Services, Office of	1,069	1,851	2,046	2,160	2,282	2,282
Military and Naval Affairs, Division of	6	214	9	9	10	10
State Police, Division of	14,072	45,763	28,410	28,953	29,323	29,523
Victim Services, Office of	1,638	1,894	1,650	1,650	1,683	1,683
<b>Functional Total</b>	<b>17,552</b>	<b>50,676</b>	<b>33,073</b>	<b>33,748</b>	<b>34,344</b>	<b>34,545</b>
<b>HIGHER EDUCATION</b>						
City University of New York	145	129	150	150	150	150
Higher Education - Miscellaneous	81	200	150	150	150	150
Higher Education Services Corporation, New York State	8,131	9,807	9,020	9,020	9,020	9,020
State University of New York	430,859	522,523	538,059	567,030	582,193	609,523
<b>Functional Total</b>	<b>439,216</b>	<b>532,659</b>	<b>547,379</b>	<b>576,350</b>	<b>591,513</b>	<b>618,843</b>
<b>EDUCATION</b>						
Education, Department of	34,543	43,214	35,177	37,302	36,349	36,949
<i>All Other</i>	34,543	43,214	35,177	37,302	36,349	36,949
<b>Functional Total</b>	<b>34,543</b>	<b>43,214</b>	<b>35,177</b>	<b>37,302</b>	<b>36,349</b>	<b>36,949</b>
<b>GENERAL GOVERNMENT</b>						
Budget, Division of the	712	907	1,657	1,717	1,657	1,657
Civil Service, Department of	180	139	231	244	241	245
Deferred Compensation Board	224	302	243	247	252	256
Gaming Commission, New York State	16,540	22,754	17,575	18,465	17,575	17,575
General Services, Office of	369	538	548	583	575	585
State, Department of	10,433	15,448	12,924	14,161	14,439	14,456
Taxation and Finance, Department of	22,635	5,667	26,309	27,567	26,391	26,318
Workers' Compensation Board	52,395	56,568	53,220	57,863	53,220	53,220
<b>Functional Total</b>	<b>103,488</b>	<b>102,323</b>	<b>112,707</b>	<b>120,847</b>	<b>114,350</b>	<b>114,312</b>

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE STATE FUNDS  
GENERAL STATE CHARGES  
(thousands of dollars)**

	<b>FY 2018 Results</b>	<b>FY 2019 Results</b>	<b>FY 2020 Updated</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>	<b>FY 2023 Projected</b>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	1,677	2,039	2,197	2,197	2,197	2,197
Judiciary	28,148	29,213	31,800	31,800	31,800	31,800
Law, Department of	16,578	26,417	19,799	20,605	19,799	19,799
<b>Functional Total</b>	<u>46,403</u>	<u>57,669</u>	<u>53,796</u>	<u>54,602</u>	<u>53,796</u>	<u>53,796</u>
<b>ALL OTHER CATEGORIES</b>						
Miscellaneous	1,293	1,595	1,350	1,362	1,367	1,377
<b>Functional Total</b>	<u>1,293</u>	<u>1,595</u>	<u>1,350</u>	<u>1,362</u>	<u>1,367</u>	<u>1,377</u>
<b>TOTAL GENERAL STATE CHARGES SPENDING</b>	<u><u>2,281,070</u></u>	<u><u>1,065,183</u></u>	<u><u>1,079,729</u></u>	<u><u>1,141,125</u></u>	<u><u>1,154,052</u></u>	<u><u>1,183,696</u></u>

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE FEDERAL FUNDS  
LOCAL ASSISTANCE  
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	0	18	0	0	0	0
Economic Development, Department of	6,893	7,360	8,055	8,055	8,055	8,055
Empire State Development Corporation	0	588	0	0	0	0
<b>Functional Total</b>	<u>6,893</u>	<u>7,966</u>	<u>8,055</u>	<u>8,055</u>	<u>8,055</u>	<u>8,055</u>
<b>PARKS AND THE ENVIRONMENT</b>						
Parks, Recreation and Historic Preservation, Office of	2,368	5,044	1,270	1,270	1,270	1,270
<b>Functional Total</b>	<u>2,368</u>	<u>5,044</u>	<u>1,270</u>	<u>1,270</u>	<u>1,270</u>	<u>1,270</u>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	13,233	16,151	18,000	18,000	18,000	18,000
Transportation, Department of	46,699	36,388	42,504	42,504	42,504	42,504
<b>Functional Total</b>	<u>59,932</u>	<u>52,539</u>	<u>60,504</u>	<u>60,504</u>	<u>60,504</u>	<u>60,504</u>
<b>HEALTH</b>						
Aging, Office for the	97,545	98,856	96,946	98,694	98,694	98,694
Health, Department of	43,638,755	46,208,501	48,302,288	48,460,377	50,006,185	52,519,045
<i>Medical Assistance</i>	36,787,179	39,094,483	41,415,889	41,760,946	43,358,606	45,845,877
<i>Essential Plan</i>	3,874,925	4,007,287	4,153,529	4,206,333	4,215,388	4,224,442
<i>Medicaid Administration</i>	430,377	737,569	540,751	434,071	435,438	430,538
<i>Public Health</i>	2,546,274	2,369,162	2,192,119	2,059,027	1,996,753	2,018,188
<b>Functional Total</b>	<u>43,736,300</u>	<u>46,307,357</u>	<u>48,399,234</u>	<u>48,559,071</u>	<u>50,104,879</u>	<u>52,617,739</u>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	1,156,214	862,245	838,300	838,300	838,300	838,300
<i>OCFS</i>	1,156,214	862,245	838,300	838,300	838,300	838,300
Housing and Community Renewal, Division of	57,455	55,691	48,434	48,434	48,434	48,434
Labor, Department of	148,578	123,479	151,892	151,892	151,892	151,892
Temporary and Disability Assistance, Office of	3,000,612	3,899,694	3,443,576	3,443,576	3,443,576	3,443,576
<i>Welfare Assistance</i>	2,160,566	3,087,731	2,626,576	2,626,576	2,626,576	2,626,576
<i>All Other</i>	840,046	811,963	817,000	817,000	817,000	817,000
<b>Functional Total</b>	<u>4,362,859</u>	<u>4,941,109</u>	<u>4,482,202</u>	<u>4,482,202</u>	<u>4,482,202</u>	<u>4,482,202</u>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	108,802	102,902	104,490	104,490	104,490	104,490
<i>OASAS</i>	108,802	102,902	104,490	104,490	104,490	104,490
Mental Health, Office of	30,762	55,583	39,979	32,025	32,025	32,025
<i>OMH</i>	30,762	55,583	39,979	32,025	32,025	32,025
<b>Functional Total</b>	<u>139,564</u>	<u>158,485</u>	<u>144,469</u>	<u>136,515</u>	<u>136,515</u>	<u>136,515</u>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Criminal Justice Services, Division of	14,131	40,574	15,800	15,800	15,800	15,800
Homeland Security and Emergency Services, Division of	1,342,908	1,050,501	1,122,000	1,008,000	955,000	905,000
State Police, Division of	62	14	0	0	0	0
Victim Services, Office of	31,941	45,761	65,000	47,000	47,094	47,094
<b>Functional Total</b>	<u>1,389,042</u>	<u>1,136,850</u>	<u>1,202,800</u>	<u>1,070,800</u>	<u>1,017,894</u>	<u>967,894</u>
<b>HIGHER EDUCATION</b>						
Higher Education Services Corporation, New York State	62	0	0	0	0	0
State University of New York	750	180	0	0	0	0
<b>Functional Total</b>	<u>812</u>	<u>180</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>EDUCATION</b>						
Arts, Council on the	540	698	600	600	600	600
Education, Department of	3,182,323	3,839,720	3,594,065	3,647,645	3,647,645	3,647,645
<i>School Aid</i>	2,360,873	2,757,079	2,717,358	2,765,938	2,765,938	2,765,938
<i>Special Education Categorical Programs</i>	737,695	1,008,280	795,000	800,000	800,000	800,000
<i>All Other</i>	83,755	74,361	81,707	81,707	81,707	81,707
<b>Functional Total</b>	<u>3,182,863</u>	<u>3,840,418</u>	<u>3,594,665</u>	<u>3,648,245</u>	<u>3,648,245</u>	<u>3,648,245</u>
<b>GENERAL GOVERNMENT</b>						
Elections, State Board of	276	445	0	0	0	0
General Services, Office of	0	0	250	250	250	250
Prevention of Domestic Violence, Office for	0	7	0	0	0	0
State, Department of	55,864	60,642	57,957	57,957	57,957	57,957
<b>Functional Total</b>	<u>56,140</u>	<u>61,094</u>	<u>58,207</u>	<u>58,207</u>	<u>58,207</u>	<u>58,207</u>
<b>ALL OTHER CATEGORIES</b>						
Miscellaneous	(342,300)	(489,759)	(467,938)	(467,938)	(467,938)	(467,938)
<b>Functional Total</b>	<u>(342,300)</u>	<u>(489,759)</u>	<u>(467,938)</u>	<u>(467,938)</u>	<u>(467,938)</u>	<u>(467,938)</u>
<b>TOTAL LOCAL ASSISTANCE SPENDING</b>	<u>52,594,473</u>	<u>56,021,283</u>	<u>57,483,468</u>	<u>57,556,931</u>	<u>59,049,833</u>	<u>61,512,693</u>

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE FEDERAL FUNDS  
PERSONAL SERVICE  
(thousands of dollars)**

	<b>FY 2018 Results</b>	<b>FY 2019 Results</b>	<b>FY 2020 Updated</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>	<b>FY 2023 Projected</b>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	3,469	3,728	3,413	3,413	3,413	3,413
Public Service Department	1,609	1,307	1,202	1,202	1,202	1,202
<b>Functional Total</b>	<b>5,078</b>	<b>5,035</b>	<b>4,615</b>	<b>4,615</b>	<b>4,615</b>	<b>4,615</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Environmental Conservation, Department of	25,065	23,504	27,808	28,876	28,876	28,876
Parks, Recreation and Historic Preservation, Office of	2,020	2,865	1,223	1,367	1,367	1,367
<b>Functional Total</b>	<b>27,085</b>	<b>26,369</b>	<b>29,031</b>	<b>30,243</b>	<b>30,243</b>	<b>30,243</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	1,463	1,627	4,835	4,979	4,979	4,979
Transportation, Department of	9,161	8,642	10,484	10,887	10,484	10,484
<b>Functional Total</b>	<b>10,624</b>	<b>10,269</b>	<b>15,319</b>	<b>15,866</b>	<b>15,463</b>	<b>15,463</b>
<b>HEALTH</b>						
Aging, Office for the	5,976	6,068	5,926	6,068	6,068	6,068
Health, Department of	100,651	86,237	107,170	112,524	108,993	109,356
<i>Medicaid Administration</i>	32,027	32,637	50,241	53,540	52,341	52,675
<i>Public Health</i>	68,624	53,600	56,929	58,984	56,652	56,681
Medicaid Inspector General, Office of the	15,817	15,211	15,625	16,239	15,625	15,625
<b>Functional Total</b>	<b>122,444</b>	<b>107,516</b>	<b>128,721</b>	<b>134,831</b>	<b>130,686</b>	<b>131,049</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	26,445	26,318	29,247	30,451	31,060	31,060
<i>OCFS</i>	26,445	26,318	29,247	30,451	31,060	31,060
Housing and Community Renewal, Division of	5,943	5,591	7,595	8,125	8,288	8,288
Human Rights, Division of	3,653	3,754	3,135	3,354	3,421	3,421
Labor, Department of	172,236	177,367	159,620	171,550	174,986	174,986
National and Community Service	259	333	377	390	398	398
Temporary and Disability Assistance, Office of	88,678	87,856	77,755	77,755	79,311	79,311
<i>All Other</i>	88,678	87,856	77,755	77,755	79,311	79,311
<b>Functional Total</b>	<b>297,214</b>	<b>301,219</b>	<b>277,729</b>	<b>291,625</b>	<b>297,464</b>	<b>297,464</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	5,107	2,543	5,115	5,113	5,161	5,213
<i>OASAS</i>	5,107	2,543	5,115	5,113	5,161	5,213
Developmental Disabilities Planning Council	1,007	1,025	1,266	1,266	1,266	1,266
Justice Center	309	326	228	228	229	231
Mental Health, Office of	2,036	975	813	813	813	813
<i>OMH</i>	2,036	975	813	813	813	813
People with Developmental Disabilities, Office for	0	125	0	0	0	0
<i>OPWDD</i>	0	125	0	0	0	0
<b>Functional Total</b>	<b>8,459</b>	<b>4,994</b>	<b>7,422</b>	<b>7,420</b>	<b>7,469</b>	<b>7,523</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Corrections Services, Department of	26,314	2,206	15,753	15,753	15,753	15,753
Criminal Justice Services, Division of	5,942	4,837	4,602	4,681	4,681	4,681
Homeland Security and Emergency Services, Division of	11,288	13,853	15,000	15,000	15,000	15,000
Military and Naval Affairs, Division of	21,400	21,451	21,032	21,032	21,032	21,453
State Police, Division of	10,046	12,963	11,975	12,265	12,403	12,652
Victim Services, Office of	1,860	2,181	2,450	1,709	1,743	1,743
<b>Functional Total</b>	<b>76,850</b>	<b>57,491</b>	<b>70,812</b>	<b>70,440</b>	<b>70,612</b>	<b>71,282</b>
<b>HIGHER EDUCATION</b>						
Higher Education Services Corporation, New York State	0	2	836	836	836	836
State University of New York	7,963	9,638	7,229	7,229	7,229	7,229
<b>Functional Total</b>	<b>7,963</b>	<b>9,640</b>	<b>8,065</b>	<b>8,065</b>	<b>8,065</b>	<b>8,065</b>
<b>EDUCATION</b>						
Education, Department of	85,894	89,062	84,486	87,737	87,737	87,737
<i>All Other</i>	85,894	89,062	84,486	87,737	87,737	87,737
<b>Functional Total</b>	<b>85,894</b>	<b>89,062</b>	<b>84,486</b>	<b>87,737</b>	<b>87,737</b>	<b>87,737</b>
<b>GENERAL GOVERNMENT</b>						
Elections, State Board of	99	252	480	480	480	480
Prevention of Domestic Violence, Office for	23	0	0	0	0	0
State, Department of	3,010	2,651	3,758	3,758	3,758	3,758
Veterans' Services, Division of	504	506	804	826	843	843
<b>Functional Total</b>	<b>3,636</b>	<b>3,409</b>	<b>5,042</b>	<b>5,064</b>	<b>5,081</b>	<b>5,081</b>
<b>ELECTED OFFICIALS</b>						
Judiciary	1,773	1,727	1,900	1,900	1,900	1,900
Law, Department of	20,135	20,681	20,768	21,624	20,768	21,624
<b>Functional Total</b>	<b>21,908</b>	<b>22,408</b>	<b>22,668</b>	<b>23,524</b>	<b>22,668</b>	<b>23,524</b>
<b>TOTAL PERSONAL SERVICE SPENDING</b>	<b>667,155</b>	<b>637,412</b>	<b>653,910</b>	<b>679,430</b>	<b>680,103</b>	<b>682,046</b>

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE FEDERAL FUNDS  
NON-PERSONAL SERVICE  
(thousands of dollars)**

	<b>FY 2018 Results</b>	<b>FY 2019 Results</b>	<b>FY 2020 Updated</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>	<b>FY 2023 Projected</b>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	10,059	12,322	9,816	9,808	9,808	9,808
Economic Development, Department of	486	456	245	245	245	245
Financial Services, Department of	254	1,186	1,400	1,400	1,400	1,400
Public Service Department	698	534	93	92	92	92
<b>Functional Total</b>	<b>11,497</b>	<b>14,498</b>	<b>11,554</b>	<b>11,545</b>	<b>11,545</b>	<b>11,545</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Adirondack Park Agency	0	0	350	350	350	350
Environmental Conservation, Department of	14,727	14,893	16,942	16,942	16,942	16,942
Parks, Recreation and Historic Preservation, Office of	2,756	2,453	1,145	1,147	1,147	1,147
<b>Functional Total</b>	<b>17,483</b>	<b>17,346</b>	<b>18,437</b>	<b>18,439</b>	<b>18,439</b>	<b>18,439</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	2,820	2,245	4,528	4,539	4,539	4,539
Transportation, Department of	8,450	11,433	13,435	13,450	13,435	13,435
<b>Functional Total</b>	<b>11,270</b>	<b>13,678</b>	<b>17,963</b>	<b>17,989</b>	<b>17,974</b>	<b>17,974</b>
<b>HEALTH</b>						
Aging, Office for the	5,624	1,424	4,348	4,348	4,348	4,348
Health, Department of	615,128	647,307	670,541	633,800	637,620	607,680
<i>Medicaid Administration</i>	306,994	313,246	435,670	400,725	407,435	378,637
<i>Public Health</i>	308,134	334,061	234,871	233,075	230,185	229,043
Medicaid Inspector General, Office of the	2,993	2,515	2,852	2,894	2,894	2,894
<b>Functional Total</b>	<b>623,745</b>	<b>651,246</b>	<b>677,741</b>	<b>641,042</b>	<b>644,862</b>	<b>614,922</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	53,142	39,001	68,407	69,510	70,875	70,875
<i>OCFS</i>	53,142	39,001	68,407	69,510	70,875	70,875
Housing and Community Renewal, Division of	2,374	1,959	2,709	3,155	3,293	3,293
Human Rights, Division of	1,494	1,243	1,287	1,313	1,339	1,339
Labor, Department of	58,129	60,217	75,623	78,537	81,677	81,677
National and Community Service	14,068	9,441	15,268	15,573	15,884	15,884
Temporary and Disability Assistance, Office of	72,021	74,919	72,514	72,514	73,954	73,954
<i>All Other</i>	72,021	74,919	72,514	72,514	73,954	73,954
<b>Functional Total</b>	<b>201,228</b>	<b>186,780</b>	<b>235,808</b>	<b>240,602</b>	<b>247,022</b>	<b>247,022</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	1,904	2,026	2,171	2,171	2,225	2,287
<i>OASAS</i>	1,904	2,026	2,171	2,171	2,225	2,287
Developmental Disabilities Planning Council	2,501	2,155	2,149	2,149	2,149	2,149
Justice Center	860	751	536	536	549	568
Mental Health, Office of	411	697	555	555	555	555
<i>OMH</i>	411	697	555	555	555	555
People with Developmental Disabilities, Office for	398	222	1,000	1,000	1,000	1,000
<i>OPWDD</i>	398	222	1,000	1,000	1,000	1,000
<b>Functional Total</b>	<b>6,074</b>	<b>5,851</b>	<b>6,411</b>	<b>6,411</b>	<b>6,478</b>	<b>6,559</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correctional Services, Department of	524	768	1,191	1,191	1,191	1,191
Criminal Justice Services, Division of	7,676	5,435	4,249	4,249	4,249	4,334
Homeland Security and Emergency Services, Division of	17,581	18,085	25,000	25,000	25,000	25,000
Military and Naval Affairs, Division of	15,948	16,791	15,502	15,812	15,812	16,124
State Police, Division of	12,700	14,719	13,000	13,000	13,400	13,668
Victim Services, Office of	1,071	1,516	1,500	775	785	785
<b>Functional Total</b>	<b>55,500</b>	<b>57,314</b>	<b>60,442</b>	<b>60,027</b>	<b>60,437</b>	<b>61,102</b>
<b>HIGHER EDUCATION</b>						
City University of New York	81	1,820	7,634	7,634	7,634	7,634
Higher Education Services Corporation, New York State	5,133	3,254	5,797	5,797	5,797	5,797
State University of New York	333,120	341,588	304,760	304,760	304,760	304,760
<b>Functional Total</b>	<b>338,334</b>	<b>346,662</b>	<b>318,191</b>	<b>318,191</b>	<b>318,191</b>	<b>318,191</b>
<b>EDUCATION</b>						
Arts, Council on the	0	0	100	100	100	100
Education, Department of	74,958	74,052	64,901	65,381	65,381	65,381
<i>All Other</i>	74,958	74,052	64,901	65,381	65,381	65,381
<b>Functional Total</b>	<b>74,958</b>	<b>74,052</b>	<b>65,001</b>	<b>65,481</b>	<b>65,481</b>	<b>65,481</b>
<b>GENERAL GOVERNMENT</b>						
Elections, State Board of	3,763	1,574	4,500	4,000	2,800	824
General Services, Office of	8,062	10,150	8,032	8,032	8,032	8,193
State, Department of	1,442	1,560	4,046	4,046	4,046	4,046
Taxation and Finance, Department of	1,180	92	1,220	1,220	1,220	1,220
Technology, Office for	3,126	291	0	0	0	0
Veterans' Services, Division of	104	144	658	671	685	685
<b>Functional Total</b>	<b>17,677</b>	<b>13,811</b>	<b>18,456</b>	<b>17,969</b>	<b>16,783</b>	<b>14,968</b>
<b>ELECTED OFFICIALS</b>						
Judiciary	4,352	5,291	8,500	8,500	8,500	8,500
Law, Department of	6,973	7,254	8,577	8,577	8,577	8,577
<b>Functional Total</b>	<b>11,325</b>	<b>12,545</b>	<b>17,077</b>	<b>17,077</b>	<b>17,077</b>	<b>17,077</b>

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE FEDERAL FUNDS  
NON-PERSONAL SERVICE  
(thousands of dollars)**

	<u>FY 2018 Results</u>	<u>FY 2019 Results</u>	<u>FY 2020 Updated</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>
<b>ALL OTHER CATEGORIES</b>						
Miscellaneous	(57)	(10)	0	0	0	0
<b>Functional Total</b>	<u>(57)</u>	<u>(10)</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>TOTAL NON-PERSONAL SERVICE SPENDING</b>	<u>1,369,034</u>	<u>1,393,773</u>	<u>1,447,081</u>	<u>1,414,773</u>	<u>1,424,289</u>	<u>1,393,280</u>

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE FEDERAL FUNDS  
GENERAL STATE CHARGES  
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	2,040	2,769	2,081	2,125	2,170	2,216
Public Service Department	1,788	1,233	733	748	764	780
<b>Functional Total</b>	<b>3,828</b>	<b>4,002</b>	<b>2,814</b>	<b>2,873</b>	<b>2,934</b>	<b>2,996</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Environmental Conservation, Department of	16,621	19,565	16,953	17,976	18,357	18,744
Parks, Recreation and Historic Preservation, Office of	0	0	0	24	24	24
<b>Functional Total</b>	<b>16,621</b>	<b>19,565</b>	<b>16,953</b>	<b>18,000</b>	<b>18,381</b>	<b>18,768</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	855	958	720	806	806	806
Transportation, Department of	4,350	7,284	6,489	6,641	6,489	6,489
<b>Functional Total</b>	<b>5,205</b>	<b>8,242</b>	<b>7,209</b>	<b>7,447</b>	<b>7,295</b>	<b>7,295</b>
<b>HEALTH</b>						
Aging, Office for	0	44	0	0	0	0
Health, Department of	36,009	47,420	47,610	50,687	51,028	49,869
<i>Medicaid Administration</i>	2,834	3,516	6,642	7,049	7,356	7,356
<i>Public Health</i>	33,175	43,904	40,968	43,638	43,672	42,513
Medicaid Inspector General, Office of the	9,354	11,070	9,525	10,301	10,301	10,301
<b>Functional Total</b>	<b>45,363</b>	<b>58,534</b>	<b>57,135</b>	<b>60,988</b>	<b>61,329</b>	<b>60,170</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	11,206	14,302	17,829	19,781	19,745	19,745
<i>OCFS</i>	11,206	14,302	17,829	19,781	19,745	19,745
Housing and Community Renewal, Division of	3,500	4,004	4,005	4,005	5,501	5,501
Labor, Department of	100,504	133,625	96,193	96,193	117,217	117,217
National and Community Service	0	0	236	242	242	242
Temporary and Disability Assistance, Office of	52,383	65,396	47,411	48,412	49,436	50,476
<i>All Other</i>	52,383	65,396	47,411	48,412	49,436	50,476
<b>Functional Total</b>	<b>167,593</b>	<b>217,327</b>	<b>165,674</b>	<b>168,633</b>	<b>192,141</b>	<b>193,181</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	0	2,383	70	70	71	73
<i>OASAS</i>	0	2,383	70	70	71	73
Developmental Disabilities Planning Council	870	768	785	785	785	785
Justice Center	60	0	64	64	65	93
Mental Health, Office of	1,166	609	469	469	469	469
<i>OMH</i>	1,166	609	469	469	469	469
People with Developmental Disabilities, Office for	0	78	0	0	0	0
<i>OPWDD</i>	0	78	0	0	0	0
<b>Functional Total</b>	<b>2,096</b>	<b>3,838</b>	<b>1,388</b>	<b>1,388</b>	<b>1,390</b>	<b>1,420</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correctional Services, Department of	1,094	1,475	1,041	1,041	1,041	1,041
Criminal Justice Services, Division of	617	518	348	348	348	355
Homeland Security and Emergency Services, Division of	6,654	8,483	7,000	7,000	7,000	7,000
Military and Naval Affairs, Division of	5,769	11,734	6,620	6,745	6,745	6,745
State Police, Division of	1,745	2,421	1,500	1,500	1,500	1,500
<b>Functional Total</b>	<b>15,879</b>	<b>24,631</b>	<b>16,509</b>	<b>16,634</b>	<b>16,634</b>	<b>16,641</b>
<b>HIGHER EDUCATION</b>						
Higher Education Services Corporation, New York State	0	1	1	1	1	1
State University of New York	25	111	51	51	51	51
<b>Functional Total</b>	<b>25</b>	<b>112</b>	<b>52</b>	<b>52</b>	<b>52</b>	<b>52</b>
<b>EDUCATION</b>						
Education, Department of	50,773	65,399	52,426	56,000	56,000	56,000
<i>All Other</i>	50,773	65,399	52,426	56,000	56,000	56,000
<b>Functional Total</b>	<b>50,773</b>	<b>65,399</b>	<b>52,426</b>	<b>56,000</b>	<b>56,000</b>	<b>56,000</b>
<b>GENERAL GOVERNMENT</b>						
Elections, State Board of	0	270	300	300	300	300
State, Department of	1,780	2,387	2,810	2,811	2,812	2,812
Veterans' Services, Division of	295	385	490	514	536	547
<b>Functional Total</b>	<b>2,075</b>	<b>3,042</b>	<b>3,600</b>	<b>3,625</b>	<b>3,648</b>	<b>3,659</b>
<b>ELECTED OFFICIALS</b>						
Judiciary	431	505	500	500	500	500
Law, Department of	11,667	15,697	12,807	14,218	12,807	12,807
<b>Functional Total</b>	<b>12,098</b>	<b>16,202</b>	<b>13,307</b>	<b>14,718</b>	<b>13,307</b>	<b>13,307</b>
<b>TOTAL GENERAL STATE CHARGES SPENDING</b>	<b>321,556</b>	<b>420,894</b>	<b>337,067</b>	<b>350,358</b>	<b>373,111</b>	<b>373,489</b>

**General Fund Transfers From Other Funds**  
(thousands of dollars)

Fund	Account Name	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
<b>RBTf - Dedicated PIT in excess of Debt Service</b>		<b>21,345,790</b>	<b>24,631,176</b>	<b>25,064,446</b>	<b>25,755,357</b>	<b>27,225,503</b>
<b>ECEP in Excess of Revenue Bond Debt Service</b>		<b>0</b>	<b>1,200</b>	<b>4,050</b>	<b>10,600</b>	<b>11,600</b>
<b>STBF - Sales Tax Bond Fund</b>		<b>2,652,893</b>	<b>2,945,286</b>	<b>2,697,489</b>	<b>2,847,919</b>	<b>2,876,683</b>
<b>LGAC - Dedicated Sales Tax in excess of Debt Service</b>		<b>3,112,694</b>	<b>3,481,179</b>	<b>3,693,705</b>	<b>3,941,835</b>	<b>4,122,357</b>
<b>CWCA - Real Estate Transfer Tax in excess of Debt Service</b>		<b>956,287</b>	<b>973,231</b>	<b>1,012,666</b>	<b>1,053,924</b>	<b>1,104,512</b>
<b>Total All Other Transfers</b>		<b>3,001,617</b>	<b>2,898,742</b>	<b>2,041,669</b>	<b>1,696,291</b>	<b>1,559,979</b>
339.21982	Administration Program	99	1,301	1,301	1,301	1,301
339.22091	Adult Home Quality Enhancement Account	21	21	21	21	21
061.20813	Adult Home Resident Council Support Project	32	0	0	0	0
339.22110	Assisted Living Residence Quality Oversight Account	9	9	9	9	9
339.22138	Authority Budget Office Account	45	45	45	45	45
339.22003	Bell Jar Collection Account	799	0	0	0	0
339.21977	Business and Licensing Services Account	85,366	55,674	55,058	53,328	53,328
339.21971	Cable Television Account	2,500	0	0	0	0
339.21920	Certificate of Need Account	1,371	1,086	1,086	1,086	1,086
346.22700	Chemical Dependence Services	3,000	102,230	0	0	0
061.20810	Child Health Insurance Account	696	0	0	0	0
025.20401	Child Performer Protection Account	22	0	0	0	0
061.20822	Cigarette Strike Task Force	473	0	0	0	0
334.55055	Civil Service Administration Account	3,000	1,651	1,651	1,651	1,651
396.55301	Civil Service EBD Administration Reimbursement Account	0	639	639	639	639
339.21962	Clinical Laboratory Reference Fee Account	658	289	289	289	289
S01.23702	Commercial Gaming Regulation	0	2	2	2	2
S01.23701	Commercial Gaming Revenue Account	0	17,712	0	0	0
339.21922	Continuing Care Retirement Community Account	2	2	2	2	2
397.55350	Correctional Industries Account	241	357	357	357	357
339.21945	Criminal Justice Improvement Account	9,183	21,500	9,500	9,500	9,500
072.30050	Dedicated Highway and Bridge Trust Fund	62,354	57,567	57,567	57,567	57,567
339.21923	Department of Labor Fee and Penalty Account	1,063	0	0	0	0
323.55010	Design and Construction Account	0	1,866	1,866	1,866	1,866
339.22042	Division of Economic Development Marketing Account	131	131	131	131	131
486.26000	Division of Labor Federal Grants	1,767	0	0	0	0
366.23102	Drinking Water Program Management and Administration - Health Account	0	1,108	1,108	1,108	1,108
061.20818	Elderly Pharmaceutical Insurance Coverage Premium Account	2,356	0	0	0	0
S07.24901	Elementary Secondary Education Charitable	0	36,211	0	0	0
061.20809	Emergency Medical Services Training Account	1,258	131	131	131	131
301.21080	Environmental Conservation Magazine Account	150	150	150	150	150
339.21959	Environmental Laboratory Fee Account	218	131	131	131	131
301.21081	Environmental Regulatory Account	2,835	2,835	2,835	2,835	2,835
307.21351	Equipment Loan Fund Account	0	7	7	7	7
339.22065	Examination and Miscellaneous Revenue Account	0	1,961	1,961	1,961	1,961
267.25200	Federal Education Fund	1,284	1,314	1,314	1,314	1,314
301.21065	Federal Grant Indirect Cost Recovery Account	1,041	1,041	1,041	1,041	1,041
291.313DD	Federal Grants - Capital	223	0	0	0	0
265.25100	Federal Health and Human Services Fund	116,487	111,617	111,617	111,617	111,617
290.25300	Federal Operating Grants Fund	21,247	481	481	481	481
261.25000	Federal USDA/Food and Nutrition Services Fund	33,434	34,694	34,694	34,694	34,694
339.21950	Fingerprint Identification & Technology Account	5,473	20,543	20,543	20,543	20,543
339.21904	Fire Prevention and Code Enforcement Account	14,810	14,810	14,810	14,810	14,810
339.22075	Funeral Directing Program Account	24	8	8	8	8
312.31500	Hazardous Waste Remedial Fund	23,002	25,200	25,200	25,200	25,200
061.20807	HCRA Program Account	5,796	0	0	0	0
061.20811	HCRA Undistributed Revenue	5,936	0	0	0	0
061.20821	Health Care Delivery Administration Account	214	0	0	0	0
S06.24850	Health Care Transformation Account	555,000	678,619	432,000	118,000	68,000
S07.24900	Health Charitable Gifts Trust	0	59,000	0	0	0
396.55300	Health Insurance Internal Services Account	3,121	3,428	3,428	3,428	3,428
061.20819	Health Occupation Development Workplan Demo Account	165	0	0	0	0
S02.23755	Health Operation and Oversight Account	490	0	0	0	0
339.22140	Helen Hayes Hospital Account	0	299	299	299	299
339.21960	Higher Education Services Corporation - Insurance Premium Payments	12,292	15,827	15,827	15,827	15,827
339.22090	Housing Indirect Cost Recovery Account	0	201	201	201	201
061.20817	Indigent Care Fund Account	56	0	0	0	0
301.21060	Indirect Charges Account	2,085	2,085	2,085	2,085	2,085
334.55071	Labor Contact Center Account	142	0	0	0	0
339.22096	Legal Services Assistance Fund	9,830	17,080	9,830	9,830	9,830
052.20501	Local Government Records Management Account	782	782	782	782	782
339.22097	Local Public Health Services Account	7	5	5	5	5
160.20902	Lottery Administration - New	5,521	4,274	4,274	4,274	4,274
339.22130	Low Inc Housing Monitor	367	0	0	0	0
301.21066	Low Level Radioactive Waste Account	103	103	103	103	103
061.20804	Medical Assist. Account	240	0	0	0	0
304.40100	Mental Health Services Fund	1,318,445	1,239,558	1,097,737	1,047,527	964,843
339.21909	Mental Hygiene Patient Income Account	82,191	0	0	0	0
339.21907	Mental Hygiene Program Fund Account	16,122	0	0	0	0
313.21402	Metropolitan Mass Transportation Operating Assistance Account	1,893	0	0	0	0
225.23652	Metropolitan Transportation Authority Aid Trust Account	60	0	0	0	0
S02.23753	MMF - Law Enforcement	0	150	0	0	0
314.21452	Mobile Source Account	0	6,404	6,404	6,404	6,404
339.22144	Montrose State Veterans Home	0	67	67	67	67
354.22801	Motor Vehicle Theft and Insurance Fraud Account	1,100	1,400	1,100	1,100	0
339.22062	New York City Assessment Account	64,297	0	0	0	0



**General Fund Transfers From Other Funds**  
(thousands of dollars)

<b>Fund</b>	<b>Account Name</b>	<b>FY 2019 Results</b>	<b>FY 2020 Updated</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>	<b>FY 2023 Projected</b>
339.22142	New York State Home for Veterans and their Dependents (Oxford) Account	0	119	119	119	119
339.22141	NYC Veterans Home (St. Albans) Account	0	107	107	107	107
339.22177	Occupational Health Clinic Account	7	0	0	0	0
305.21252	Occupational Safety and Health Inspection Account	1,076	0	0	0	0
305.21251	Occupational Safety and Health Training and Education Account	1,517	0	0	0	0
323.5502Y	Office of General Services Building Administration Account - Internal Service	20	0	0	0	0
339.219YL	Office of General Services Building Administration Account - Special Revenue State	2,074	0	0	0	0
323.5502X	Office of General Services Executive Direction Account	1,793	105	105	105	105
323.5502Z	Office of General Services Standards and Purchase Account - Internal Service	31	0	0	0	0
339.219YN	Office of General Services Standards and Purchase Account - Special Revenue State	3,009	3,000	3,000	3,000	3,000
339.22051	Office of the Professions Account	2,777	2,777	2,777	2,777	2,777
339.22239	Opioid Stewardship Account	0	34,000	0	0	0
331.OGSPS	Parking Services	0	1,000	1,000	1,000	1,000
339.22163	Patron Services Account	1,568	1,568	1,568	1,568	1,568
061.20816	Pilot Health Insurance	0	102	102	102	102
061.20814	Primary Care Initiatives Account	76	158	158	158	158
S01.23703	Problem Gambling Services	1,500	0	0	0	0
339.22088	Professional Medical Conduct Account	800	291	291	291	291
339.22123	Public Safety Communication Account	4,106	30,161	5,161	5,161	5,161
339.22011	Public Service Account	4,492	7,871	7,871	7,871	7,871
339.21998	Public Work Enforcement	(526)	0	0	0	0
339.21915	Quality of Care Account	67,219	0	0	0	0
339.21965	Radiological Health Protection	348	216	216	216	216
339.21944	Radiology Emergency Preparedness Account	1,350	1,350	1,350	1,350	1,350
339.21993	Radon Detection Device Account	2	2	2	2	2
301.21067	Recreation Account	200	200	200	200	200
339.22046	Regulation of Indian Gaming Account	0	329	329	329	329
339.22021	Regulation of Manufactured Housing Account	20	20	20	20	20
339.21912	Regulation of Racing Account	0	458	458	458	458
339.22156	Rent Revenue Other - New York City	0	115	115	115	115
339.21900	Reserve for Transaction Risks	3	(350,000)	(350,000)	(350,000)	(350,000)
339.22024	Revenue Arrearage Account	18,677	18,677	18,677	18,677	18,677
339.22028	State Central Register Account	6,725	1,822	1,822	1,822	1,822
354.22802	State Police Motor Vehicle Enforcement Account	115,420	112,420	112,420	112,420	112,420
345.22653	State University General IFR Account	22,000	43,700	32,000	32,000	32,000
345.22656	State University Hospital IFR Operations Account	43,352	43,361	46,778	67,325	64,797
339.21902	Statewide Planning and Research Cooperative System (SPARCS) Account	924	4,214	4,214	4,214	4,214
339.22162	Systems and Technology Account	5,240	5,320	5,320	5,320	5,320
339.22192	Tax Return Preparers Fee	186	0	0	0	0
061.20801	Tobacco Control and Cancer Services Account	432	0	0	0	0
339.22055	Traffic Adjudication Account	0	2,288	2,288	2,288	2,288
339.21961	Training Management and Evaluation Account	0	8	8	8	8
339.22067	Transportation Regulation Account	885	1,988	2,428	2,443	2,443
339.21933	Transportation Surplus Property Account	1,803	1,803	1,803	1,803	1,803
339.22169	Tribal State Compact Revenue Account	0	303,771	137,450	137,450	137,450
339.22044	Tug Hill Administrative Account	10	10	10	10	10
050.20451	Tuition Reimbursement Account	23	23	23	23	23
339.22172	Underground Facilities Safety Training Account	175	175	175	175	175
480.25900	Unemployment Insurance Administration Fund	35,322	50,569	50,569	50,569	50,569
482.23601	Unemployment Insurance Special Interest and Penalty Fund	11,595	11,583	11,583	11,583	11,583
339.22103	Vital Records Management Account	2,672	2,405	2,405	2,405	2,405
160.20903	VLT Administration Account	0	666	666	666	666
365.23051	Vocational Rehabilitation Fund	0	32	32	32	32
339.21995	Workers' Compensation Account	12,605	16,352	16,352	16,352	16,352
339.22186	Youth Facilities Per Diem Account	147,180	0	0	0	0
		<b>31,069,281</b>	<b>34,930,814</b>	<b>34,514,025</b>	<b>35,305,926</b>	<b>36,900,634</b>

General Fund Transfers To Other Funds  
(thousands of dollars)

Fund	Account Name	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
	Transfers to State Share of Mental Hygiene Medicaid <sup>1</sup>	(29,023)	0	0	0	0
	Transfers to Debt Service Funds	786,133	549,948	738,381	636,533	612,386
	Transfers to Capital Projects Funds	1,887,945	3,190,921	3,304,789	3,167,791	3,485,782
	Transfers to SUNY University Operations	1,019,649	1,185,370	1,258,613	1,254,800	1,254,800
	<b>Total All Other Transfers</b>	<b>893,631</b>	<b>1,188,299</b>	<b>1,282,246</b>	<b>1,464,481</b>	<b>1,614,600</b>
020.20143	Alzheimers Disease Assistance	253	270	270	270	270
334.55057	Banking Services Account	37,464	49,190	49,310	49,420	49,420
339.22032	Batavia School For the Blind Account	900	900	900	900	900
020.20155	Breast Cancer Research and Education Account	372	500	500	500	500
323.55022	Business Services Center	6,000	28,000	30,000	30,000	30,000
334.55069	Centralized Technology Services Account	13,960	11,460	11,460	11,460	11,460
054.20601	Charter School Stimulus Account	4,837	4,837	4,837	4,837	4,837
020.20100	Combined Expendable Trust Fund	0	159,300	159,300	159,300	159,300
397.55350	Correctional Industries Account	20,773	20,773	20,773	20,773	20,773
340.22501	Court Facility Income Account	116,995	112,000	113,000	113,000	113,000
073.20853	Dedicated Mass Transportation Non MTA	5,424	5,274	5,274	5,274	5,274
339.22056	Federal Salary Sharing Account	3,112	2,768	2,811	2,848	2,887
319.40300	Health Income Fund	10,699	16,079	16,079	16,079	16,079
396.55300	Health Insurance Internal Services Account	8,083	8,083	8,083	8,083	8,083
502.23755	Health Operation and Oversight Account	6,550	4,626	6,913	6,913	6,913
316.40250	Housing Debt Fund	845	1,000	1,000	1,000	1,000
390.23551	Indigent Legal Services	27,153	28,000	28,000	74,781	74,781
339.22128	Medication Reimbursement Account	85	0	0	0	0
313.21402	Metropolitan Mass Transportation Operating Assistance Account	25,927	21,175	21,175	21,175	21,175
225.23651	Mobility Tax Trust Account	244,250	244,250	244,250	244,250	244,250
334.55059	Neighbor Work Project Account	1,000	1,000	1,000	1,000	1,000
225.NYCBD	New York Central Business District Trust Fund	0	112,500	150,000	151,500	153,015
368.23151	NYC County Clerk Operations Offset Fund	3,051	3,500	3,500	3,500	3,500
323.5502Y	OGS Building Administration Account	1,500	9,500	9,500	9,500	9,500
323.5502X	OGS Executive Direction Account	21,778	9,631	9,628	3,435	0
020.20183	Prostate Cancer Research and Education	155	200	200	200	200
313.21401	Public Transportation Systems Operating Assistance Account	16,696	16,144	16,144	16,144	16,144
073.20852	Railroad Account	9,472	9,216	9,216	9,216	9,216
339.22171	Recruitment Incentive Account	2,087	2,087	2,087	2,087	2,087
339.22053	Rome School for the Deaf Account	1,061	1,020	1,020	1,020	1,020
339.21987	Spinal Cord Injury	8,500	8,500	8,500	8,500	8,500
345.22656	State University Hospital IFR Operations Account	240,688	243,000	243,000	243,000	243,000
339.22168	Tax Revenue Arrearage Account	500	1,500	1,500	1,500	1,500
073.20851	Transit Authorities Account	52,839	51,394	102,394	242,394	394,394
020.20128	WB Hoyt Memorial Trust Fund	622	622	622	622	622
		<b>4,558,335</b>	<b>6,114,538</b>	<b>6,584,029</b>	<b>6,523,605</b>	<b>6,967,568</b>

<sup>1</sup>Reflects an accounting adjustment associated with prior year reimbursements.

**CASH COMBINING STATEMENT  
GENERAL FUND  
FY 2020  
(millions of dollars)**

	General Fund	Stabilization Reserve Fund	Tax Contingency Reserve Fund	Community Projects Fund	Rainy Day Reserve Fund	Extraordinary Monetary Settlements	Refund Reserve	Debt Management	Escrow Account	Fringe Benefits	Eliminations	Total
<b>Opening Fund Balance</b>	0	1,258	21	35	790	4,194	408	500	0	0	0	7,206
<b>Receipts:</b>												
Taxes	39,298	0	0	0	0	0	0	0	0	0	0	39,298
Miscellaneous Receipts	2,130	0	0	0	0	760	0	0	0	0	0	2,890
Federal Grants	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total receipts</b>	<b>41,428</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>760</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>42,188</b>
<b>Disbursements:</b>												
Local Assistance	52,071	0	0	20	0	0	0	0	0	0	0	52,091
State Operations	11,934	0	0	0	0	0	0	0	0	0	0	11,934
General State Charges	7,687	0	0	0	0	0	0	0	0	0	0	7,687
Debt Service	0	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total disbursements</b>	<b>71,692</b>	<b>0</b>	<b>0</b>	<b>20</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>71,712</b>
<b>Other financing sources (uses):</b>												
Transfers from Other Funds	73,107	0	0	2	428	0	454	0	0	0	(39,060)	34,931
Transfers to Other Funds	(42,843)	0	0	(17)	0	(2,314)	0	0	0	0	39,060	(6,114)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0
<b>Net other financing sources (uses)</b>	<b>30,264</b>	<b>0</b>	<b>0</b>	<b>(15)</b>	<b>428</b>	<b>(2,314)</b>	<b>454</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>28,817</b>
<b>Change in Fund Balance</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>(35)</b>	<b>428</b>	<b>(1,554)</b>	<b>454</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>(707)</b>
<b>Closing Fund Balance</b>	<b>0</b>	<b>1,258</b>	<b>21</b>	<b>0</b>	<b>1,218</b>	<b>2,640</b>	<b>862</b>	<b>500</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>6,499</b>

CASH COMBINING STATEMENT  
SPECIAL REVENUE FUNDS  
FY 2020  
(Thousands of dollars)

	MENTAL HEALTH GIFTS DONATIONS (20000-20099)	COMBINED EXPENDABLE TRUST (20100-20299)	NEW YORK STATE INTEREST ON LAWYER ACCOUNT (20300-20349)	NEW YORK STATE ARCHIVES PARTNERSHIP TRUST (20350-20399)	CHILD PERFORMER'S PROTECTION (20400-20449)	TUITION REIMBURSEMENT (20450-20499)	LOCAL GOVERNMENT RECORDS MANAGEMENT IMPROVEMENT (20500-20549)	SCHOOL TAX RELIEF (20550-20599)	CHARTER SCHOOL STIMULUS (20600-20649)	HEALTH CARE REFORM ACT RESOURCES (20800-20849)	DEDICATED MASS TRANSPORTATION TRUST (20850-20899)
Opening Fund Balance	808	68,508	58,589	86	9	8,066	4,571	0	6,501	0	87,772
Receipts:											
Taxes	0	0	0	0	0	0	0	2,175,995	0	741,000	483,654
Miscellaneous Receipts	72	(147,493)	39,000	318	120	4,905	9,233	0	0	5,255,638	144,489
Federal Grants	0	0	0	0	0	0	0	0	0	0	0
Total Receipts	72	(147,493)	39,000	318	120	4,905	9,233	2,175,995	0	5,996,638	628,143
Disbursements:											
Local Assistance	0	9,066	20,000	0	0	0	5,056	2,175,995	4,837	5,678,824	695,119
State Operations	72	2,885	1,488	421	247	3,037	2,155	0	0	88,849	0
General State Charges	0	223	551	186	149	1,222	1,114	0	0	9,477	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	72	12,174	22,039	607	396	4,259	8,325	2,175,995	4,837	5,777,150	695,119
Other Financing Sources (Uses):											
Transfers from Other Funds	0	160,892	0	300	300	0	0	0	4,837	0	65,884
Transfers to Other Funds	0	(549)	0	(8)	0	(285)	(1,383)	0	0	(219,488)	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	0	160,343	0	292	300	(285)	(1,383)	0	4,837	(219,488)	65,884
Change in Fund Balance	0	676	16,961	3	24	361	(475)	0	0	0	(1,092)
Closing Fund Balance	808	69,184	75,550	89	33	8,427	4,096	0	6,501	0	86,680

	STATE LOTTERY (20900-20949)	STUDENT LOAN ASSISTANCE (20950-20999)	FEDERAL USDA/FOOD AND NUTRITION SERVICES (25000-25099)	FEDERAL HEALTH AND HUMAN SERVICES (25100-25199)	FEDERAL EDUCATION (25200-25249)	MISCELLANEOUS OPERATING GRANTS (25300-25899)	SEWAGE TREATMENT PROGRAM MANAGEMENT AND ADMINISTRATION (21000-21049)	ENCON SPECIAL REVENUE CONSERVATION (21050-21149)	ENVIRONMENTAL PROTECTION AND OIL SPILL COMPENSATION (21200-21249)
Opening Fund Balance	360,880	28,748	(15,504)	(971,747)	(136,957)	(263,585)	(4,221)	(1,674)	33,983
Receipts:									
Taxes	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	3,456,961	6,730	100,011	43,998	392	4,255	900	77,111	57,976
Federal Grants	0	650	2,147,067	56,611,251	3,155,334	1,626,743	0	0	0
Total Receipts	3,456,961	7,380	2,247,078	56,655,249	3,155,726	1,630,998	900	77,111	57,976
Disbursements:									
Local Assistance	3,684,200	0	2,136,678	51,307,505	2,572,315	1,315,089	0	0	0
State Operations	40,335	6,701	61,489	1,015,412	522,027	266,930	432	54,052	13,556
General State Charges	11,833	0	14,217	119,224	57,899	49,575	266	25,518	7,721
Debt Service	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0
Total Disbursements	3,736,368	6,701	2,212,384	52,442,141	3,152,241	1,631,594	698	79,570	21,277
Other Financing Sources (Uses):									
Transfers from Other Funds	18,000	0	0	0	0	11,517	0	19,157	19,006
Transfers to Other Funds	(4,940)	0	(34,694)	(1,891,307)	(3,485)	(10,921)	0	(10,751)	(49,306)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	13,060	0	(34,694)	(1,891,307)	(3,485)	596	0	8,406	(30,300)
Change in Fund Balance	(266,347)	679	81,506	2,321,801	0	202	0	7,134	6,399
Closing Fund Balance	94,533	29,427	114,829	1,350,054	(136,957)	(263,585)	(4,019)	4,273	40,382

CASH COMBINING STATEMENT  
SPECIAL REVENUE FUNDS  
FY 2020  
(thousands of dollars)

	TRAINING AND EDUCATION PROGRAMS OCCUPATIONAL SAFETY AND HEALTH (21250-21299)	LAWYERS' FUND FOR CLIENT PROTECTION (21300-21349)	EQUIPMENT LOAN FUND FOR THE DISABLED (21350-21399)	MASS TRANSPORTATION OPERATING ASSISTANCE (21400-21449)	CLEAN AIR (21450-21499)	NEW YORK STATE INFRASTRUCTURE TRUST (21500-21549)	LEGISLATIVE COMPUTER SERVICES (21550-21599)	STATE UNIVERSITY DORMITORY INCOME (40350-40399)	COMBINED NON- EXPENDABLE TRUST (21550-21699)	WINTER SPORTS EDUCATION TRUST (21700-21749)	MUSICAL INSTRUMENT REVOLVING FUND (21750-21799)
Opening Fund Balance	3,828	9,747	537	115,463	(27,665)	70	11,894	263,829	466	0	1
Receipts:											
Taxes	0	0	0	2,476,921	0	0	0	0	0	0	0
Miscellaneous Receipts	48,496	9,600	28	17,500	43,200	0	1,719	344,024	115	75	0
Federal Grants	0	0	0	0	0	0	0	0	0	0	0
<b>Total Receipts</b>	<b>48,496</b>	<b>9,600</b>	<b>28</b>	<b>2,494,421</b>	<b>43,200</b>	<b>0</b>	<b>1,719</b>	<b>344,024</b>	<b>115</b>	<b>75</b>	<b>0</b>
Disbursements:											
Local Assistance	0	0	0	2,462,666	0	0	0	0	0	0	0
State Operations	33,684	12,700	91	4,031	22,647	0	950	0	59	75	0
General State Charges	13,505	200	0	2,197	12,537	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
<b>Total Disbursements</b>	<b>47,189</b>	<b>12,900</b>	<b>91</b>	<b>2,468,894</b>	<b>35,184</b>	<b>0</b>	<b>950</b>	<b>0</b>	<b>59</b>	<b>75</b>	<b>0</b>
<b>Other Financing Sources (Uses):</b>											
Transfers from Other Funds	0	0	0	37,319	0	0	0	0	0	0	0
Transfers to Other Funds	0	0	(7)	(6,505)	(6,404)	0	0	(396,516)	0	0	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	<b>0</b>	<b>0</b>	<b>(7)</b>	<b>30,814</b>	<b>(6,404)</b>	<b>0</b>	<b>0</b>	<b>(396,516)</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Change in Fund Balance</b>	<b>1,307</b>	<b>(3,300)</b>	<b>(70)</b>	<b>56,341</b>	<b>1,612</b>	<b>70</b>	<b>769</b>	<b>(52,492)</b>	<b>56</b>	<b>0</b>	<b>0</b>
<b>Closing Fund Balance</b>	<b>5,135</b>	<b>6,447</b>	<b>467</b>	<b>1,71,804</b>	<b>(26,053)</b>	<b>70</b>	<b>12,663</b>	<b>211,337</b>	<b>522</b>	<b>0</b>	<b>1</b>

	ARTS CAPITAL REVOLVING (21850-21899)	MISCELLANEOUS STATE SPECIAL REVENUE (21900-22499)	COURT FACILITIES INCENTIVE AID (22500-22549)	EMPLOYMENT TRAINING (22550-22599)	STATE UNIVERSITY INCOME (22650-22699)	CHEMICAL DEPENDENCE SERVICE (22700-22749)	LAKE GEORGE PARK TRUST (22750-22799)	STATE POLICE MOTOR VEHICLE LAW ENFORCEMENT AND MOTOR VEHICLE THEFT AND INSURANCE FRAUD PREVENTION (22800-22849)	NEW YORK GREAT LAKES PROTECTION (22850-22899)	FEDERAL REVENUE MAXIMIZATION CONTRACT (22900-22949)	HOUSING DEVELOPMENT (22950-22999)
Opening Fund Balance	947	1,139,528	19,201	51	1,543,169	9,995	70	24,915	355	24	10,277
Receipts:											
Taxes	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	60	2,348,173	150	0	4,671,141	108,724	1,208	123,567	160	0	900
Federal Grants	0	89	0	0	0	0	0	0	0	0	0
<b>Total Receipts</b>	<b>60</b>	<b>2,348,262</b>	<b>150</b>	<b>0</b>	<b>4,671,141</b>	<b>108,724</b>	<b>1,208</b>	<b>123,567</b>	<b>160</b>	<b>0</b>	<b>900</b>
Disbursements:											
Local Assistance	98	839,869	110,900	0	0	0	0	4,237	0	0	852
State Operations	0	1,306,080	1,900	0	5,940,827	6,471	972	9,244	155	0	0
General State Charges	0	411,091	800	0	538,059	0	447	35	55	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
<b>Total Disbursements</b>	<b>98</b>	<b>2,557,040</b>	<b>113,600</b>	<b>0</b>	<b>6,478,886</b>	<b>6,471</b>	<b>1,419</b>	<b>13,516</b>	<b>210</b>	<b>0</b>	<b>852</b>
<b>Other Financing Sources (Uses):</b>											
Transfers from Other Funds	0	678,470	112,000	0	1,914,318	0	0	0	0	0	0
Transfers to Other Funds	0	(445,020)	(3,517)	0	(225,943)	(102,230)	0	(113,820)	0	0	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	<b>0</b>	<b>233,450</b>	<b>108,483</b>	<b>0</b>	<b>1,688,375</b>	<b>(102,230)</b>	<b>0</b>	<b>(113,820)</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Change in Fund Balance</b>	<b>(38)</b>	<b>24,672</b>	<b>(4,967)</b>	<b>0</b>	<b>(19,370)</b>	<b>23</b>	<b>(211)</b>	<b>(3,769)</b>	<b>(50)</b>	<b>0</b>	<b>48</b>
<b>Closing Fund Balance</b>	<b>909</b>	<b>1,164,200</b>	<b>14,234</b>	<b>51</b>	<b>1,423,799</b>	<b>10,018</b>	<b>(141)</b>	<b>21,146</b>	<b>305</b>	<b>24</b>	<b>10,325</b>

**CASH COMBINING STATEMENT  
SPECIAL REVENUE FUNDS  
FY 2020**  
(Thousands of dollars)

	INYS DOT HIGHWAY PROGRAM (23000-23049)	VOCATIONAL REHABILITATION PROGRAM (23050-23099)	DRINKING WATER PROGRAM MANAGEMENT ADMINISTRATION (23100-23149)	NEW YORK CITY COUNTY CLERKS OPERATIONS OFFSET (23150-23199)	JUDICIARY PROCEEDING OFFSET (23200-23249)	UNIVERSITY REIMBURSEMENT (23250-23499)	US OLYMPIC COMMITTEE/ LARGE PLACID COUNTY TRAINING (23500-23549)	INDIGENT SERVICES (23550-23599)	UNEMPLOYMENT ADMINISTRATION (25200-25949)	UNEMPLOYMENT INSURANCE AND PENALTY (23600-23649)	UNEMPLOYMENT INSURANCE OCCUPATIONAL TRAINING (25950-25999)
<b>Opening Fund Balance</b>	(12,683)	31	(5,351)	(28,280)	37,796	190,533	183	313,697	141,983	34,816	(524)
<b>Receipts:</b>											
Taxes	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	3,068	100	0	30,100	47,500	99,130	85	202,662	53,840	15,866	0
Federal Grants	0	0	0	0	0	0	0	0	306,741	0	7,780
<b>Total Receipts</b>	3,068	100	0	30,100	47,500	99,130	85	202,662	360,581	15,866	7,780
<b>Disbursements:</b>											
Local Assistance	0	20	0	0	0	0	0	150,906	9,339	0	7,780
State Operations	3,449	25	0	25,000	26,400	96,477	75	29,044	212,779	2,685	0
General State Charges	0	0	0	12,400	10,000	150	0	2,046	87,894	1,173	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
<b>Total Disbursements</b>	3,449	45	0	37,400	36,400	96,627	75	181,996	310,012	3,858	7,780
<b>Other Financing Sources (Uses):</b>											
Transfers from Other Funds	0	0	0	3,500	0	0	0	28,000	0	0	0
Transfers to Other Funds	0	(32)	(1,108)	0	0	0	0	0	(50,569)	(11,583)	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	0	(32)	(1,108)	3,500	0	0	0	28,000	(50,569)	(11,583)	0
<b>Change in Fund Balance</b>	(381)	23	(1,108)	(3,800)	11,100	2,503	10	48,666	0	425	0
<b>Closing Fund Balance</b>	(13,064)	54	(6,459)	(32,080)	48,896	193,036	193	362,363	141,983	35,241	(524)
	FEDERAL EMPLOYMENT AND TRAINING GRANTS (26000-26049)	NEW YORK COMMERCIAL GAMING (23700-23749)	MEDICAL MARIHUANA TRUST (23750-23799)	DEDICATED MISCELLANEOUS REVENUE (23800-23899)	FANTASY SPORTS (24950-24999)	HEALTH CARE TRANSFER (24850-24899)	CHARITABLE GIFTS TRUST FUND (24900-24949)	SPECIAL REVENUE OTHER	SUB TOTAL	ELIMINATIONS	FINANCIAL PLAN
<b>Opening Fund Balance</b>	(2,015)	31,687	8,152	1,810	13,433	524,619	93,599	0	3,842,405	0	3,842,405
<b>Receipts:</b>											
Taxes	0	0	4,000	400	0	0	0	0	5,881,970	0	5,881,970
Miscellaneous Receipts	0	206,259	2,000	1,700	5,000	468,000	1,820	0	17,957,378	0	17,957,378
Federal Grants	165,374	0	0	0	0	0	0	0	64,021,029	0	64,021,029
<b>Total Receipts</b>	165,374	206,259	6,000	2,100	5,000	468,000	1,820	0	87,860,377	0	87,860,377
<b>Disbursements:</b>											
Local Assistance	134,762	203,988	1,800	0	0	0	0	47,000	73,854,145	0	73,854,145
State Operations	22,354	3,523	4,372	3,754	708	0	0	0	9,875,102	0	9,875,102
General State Charges	8,258	1,346	1,160	621	292	0	0	0	1,416,796	0	1,416,796
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
<b>Total Disbursements</b>	165,374	208,857	7,332	4,375	1,000	0	0	47,000	85,146,043	0	85,146,043
<b>Other Financing Sources (Uses):</b>											
Transfers from Other Funds	0	0	4,626	(60)	0	0	0	0	3,434,951	(1,050,169)	2,384,782
Transfers to Other Funds	0	(17,714)	(150)	(60)	(18,000)	(678,619)	(95,211)	1,000	(4,401,020)	1,050,169	(3,350,851)
Bond & Note Proceeds	0	0	0	0	0	0	0	(1,000)	(1,000)	0	(1,000)
<b>Net Other Financing Sources (Uses)</b>	0	(17,714)	4,476	(60)	(18,000)	(678,619)	(95,211)	0	(967,069)	0	(967,069)
<b>Change in Fund Balance</b>	(2,015)	(20,312)	3,144	(2,335)	(14,000)	(210,619)	(93,391)	(47,000)	1,747,265	0	1,747,265
<b>Closing Fund Balance</b>	(2,015)	11,375	11,296	(525)	(567)	314,000	208	(47,000)	5,589,670	0	5,589,670

CASH COMBINING STATEMENT BY ACCOUNT  
SPECIAL REVENUE OTHER FUNDS (Excluding Fund 339)  
FY 2020

(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCS	Debt	Capital	Transfers To	Total Distb.	Closing Balance
019.20000-Ment Hyg Gifts	806	0	72	0	0	0	72	0	0	72	0	0	0	0	0	0	72	806
020.20100-Combined Exp Tr	(35)	0	(159,300)	0	0	159,300	0	0	0	0	0	0	0	0	0	0	0	(35)
020.20101-Planting Fields	1,540	0	350	0	0	0	350	0	216	48	7	0	139	0	0	0	410	1,480
020.20103-Chambers Restor	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
020.20105-Animal Disease	51	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	51
020.20107-DOCS Gift & Don	53	0	5	0	0	0	5	0	0	0	0	0	0	0	0	0	5	53
020.20109-Helen Hayes Hsp	61	0	0	0	0	0	0	0	0	35	0	0	0	0	0	0	35	26
020.20110-Oxford Donation	320	0	166	0	0	0	166	0	0	50	0	0	0	0	0	0	50	436
020.20111-Donat-St.Albans	5	0	0	0	0	0	0	0	0	13	0	0	0	0	0	0	13	(8)
020.20112-CVB Gifts & Beq	107	0	5	0	0	0	5	0	0	8	0	0	0	0	0	0	8	104
020.20113-Donations-Bataw	195	0	19	0	0	0	19	0	0	40	0	0	0	0	0	0	40	(5)
020.20114-Montrose Donati	195	0	12	0	0	0	12	0	0	13	0	0	0	0	0	0	13	194
020.20116-IBR Genetic Cou	(1)	0	108	0	0	0	108	0	0	108	0	0	0	0	0	0	108	(1)
020.20118-Tech Transfr	(1)	0	50	0	0	0	50	0	0	24	0	0	0	0	0	0	24	25
020.20120-Spec Events	2,660	0	138	0	0	0	138	0	0	0	0	0	0	0	0	0	0	2,798
020.20123-L.M. Josephthal	49	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	49
020.20124-OSC Misc Grant	14	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	14
020.20126-NYSCB Ven Stand	1,493	0	733	0	0	0	733	0	44	518	1	28	0	0	0	591	1,635	
020.20127-DMNA Military	12	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	12
020.20128-WB Hoyt Memoria	4,253	0	0	0	0	622	622	750	0	0	0	0	0	0	0	0	750	4,125
020.20129-NYSCB Gift& Beq	180	0	0	0	0	0	0	0	0	17	0	0	0	0	0	0	17	163
020.20130-St Transm Money	20,140	0	230	0	0	0	230	0	0	0	0	0	0	0	0	0	0	20,370
020.20142-Youth Grants &	281	0	0	0	0	0	0	0	43	418	0	17	0	0	0	0	478	(197)
020.20143-Alzheimers Dis	1,566	0	270	0	0	270	540	820	0	0	0	0	0	0	0	0	820	1,306
020.20144-Local Gov Comm	148	0	12	0	0	0	12	0	0	7	0	0	0	0	0	0	7	153
020.20147-Prostate/Testic	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
020.20149-Autism Aware &	436	0	10	0	0	0	10	0	0	22	0	0	0	0	0	0	22	424
020.20150-Emergency Serv	17,526	0	5,688	0	0	0	5,688	3,101	127	93	4	30	0	0	0	0	3,355	19,859
020.20151-Batavia-Charlot	348	0	20	0	0	0	20	0	0	23	0	0	0	0	0	0	23	345
020.20152-Rome-Gifts And	86	0	0	0	0	0	0	0	0	19	0	0	0	0	0	0	19	87
020.20155-Br Can Res & Ed	6,919	0	540	0	0	500	1,040	2,580	0	0	0	0	0	0	0	0	2,580	5,379
020.20159-Community Relat	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
020.20162-Disab Tech Asst	30	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	30
020.20165-DMNA Youth Prog	107	0	5	0	0	0	5	0	0	5	0	0	0	0	0	0	5	107
020.20166-Erie Canal Muse	16	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	16
020.20167-Grants and Bequ	8	0	1	0	0	0	1	0	0	2	0	0	0	0	0	0	2	7
020.20174-Life Pass It on	1,447	0	400	0	0	0	400	0	0	177	0	0	0	0	0	0	177	1,670
020.20176-Misc. Gifts Acc	(1,051)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1,051)
020.20178-Multiple Sclero	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
020.20182-Parole Ofcr Mem	43	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	43
020.20183-Prostate Cancer	2,583	0	240	0	0	200	440	520	0	0	0	0	0	0	0	0	520	2,503
020.20185-Percy T Phillip	42	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	42
020.20192-Missing Children	75	0	407	0	0	0	407	0	256	142	0	0	0	0	0	0	398	84
020.20197-DCJ01 Comb Gift	(6)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(6)
020.20198-HESC Gifts Dona	545	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	545
020.201B4-DFY Rec & Welir	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
020.201B8-DAAA Grnts And	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
020.201DR-Human Rights Dis	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
020.201F1-Women Vet Monum	150	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	150
020.201FF-Ford Foundation	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
020.201GW-CCF Grts & Beqs	153	0	100	0	0	0	100	0	14	90	1	9	0	0	0	0	114	139
020.201HH-OWH Grant & Beq	73	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	73
020.201MH-RPMI Schoelkpr	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
020.201PG-DCJS - MUNY Pol	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
020.201RP-Aging Grants An	(1)	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	(1)
020.201RW-RW Johnson Foun	(5)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(5)
020.201XK-Grants Account	1,605	0	1,500	0	0	0	1,500	1,000	0	67	0	0	0	0	0	549	1,616	1,489
020.201XX-S U Restrict Cur	12	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	12
020.201ZS-Grants	312	0	300	0	0	0	300	0	0	0	0	0	0	0	0	0	0	612
020.201ZZ-Donated Funds	(92)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(92)
020.20200-NY Teen Hth Ed	76	0	120	0	0	0	120	120	0	0	0	0	0	0	0	0	120	76
020.20201-Veterans Rem Ce	1,257	0	75	0	0	0	75	75	0	0	0	0	0	0	0	0	0	1,332
020.20205-Mental Illness	182	0	58	0	0	0	58	75	0	0	0	0	0	0	0	0	75	165
020.20206-Women's Cancer	195	0	0	0	0	0	0	100	0	0	0	0	0	0	0	0	100	95
020.20209-Combined Gifts	2,301	0	222	0	0	0	222	0	0	225	0	0	0	0	0	0	225	2,298
023.20300-N Y Int Lawyers	58,589	0	39,000	0	0	0	39,000	20,000	840	619	29	551	0	0	0	0	22,039	75,550
024.20350-NYS Archvs Pine	85	0	318	0	0	300	618	292	0	119	10	186	0	0	0	8	615	88
025.20401-Child Performer	12	0	120	0	0	300	420	0	232	9	6	0	0	0	0	0	396	36
050.20451-Tuition Reimb	4,981	0	705	0	0	0	705	0	0	200	0	101	0	0	0	23	324	5,362
050.20452-Voc School Supe	3,087	0	4,200	0	0	0	4,200	0	1,755	1,022	60	1,121	0	0	0	262	4,200	3,067
052.20501-Loc Govt Record	4,569	0	9,233	0	0	0	9,233	5,056	1,745	350	60	1,114	0	0	0	1,383	9,708	4,094
053.20550-Sch Tax Relief	(1)	2,175,995	0	0	0	0	2,175,995	2,175,995	0	0	0	0	0	0	0	0	2,175,995	(1)

CASH COMBINING STATEMENT BY ACCOUNT  
SPECIAL REVENUE OTHER FUNDS (Excluding Fund 339)

FY 2020  
(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCS	Debt	Capital	Transfers To	Total Disb.	Closing Balance
054.20601-Charter School	6,502	0	0	0	0	4,837	4,837	4,837	0	0	0	0	0	0	0	0	4,837	6,502
056.20701-Greenway Commun	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
056.20702-Greenway Herit	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
059.20751-Alcohol&Subst A	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
061.20801-Tobacco Chtr &	5	0	0	0	0	0	0	1,876	1,876	142	59	0	1,246	0	0	0	3,323	(3,318)
061.20802-Health Care Sv	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
061.20803-Medicaid Fraud	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
061.20804-Medical Assist.	(1)	0	0	0	0	0	0	3,881,064	0	0	0	0	0	0	0	0	3,881,064	(3,881,065)
061.20805-Enhanced Com	(2)	0	0	0	0	0	0	370,758	0	8,209	0	0	0	0	0	0	378,967	(380,115)
061.20807-HCRA Program	(1,148)	0	0	0	0	0	0	10,570	2,044	1,381	67	1,433	0	0	0	131	15,626	(15,628)
061.20809-EMS Training	(2)	0	0	0	0	0	0	416,401	808	9,488	304	714	0	0	0	210,097	427,715	(427,715)
061.20810-Child Health In	0	0	0	0	0	0	5,955,961	0	0	0	0	0	0	0	0	0	5,955,961	5,745,865
061.20811-HCRA Undistrib	1	741,000	5,214,961	0	0	0	0	117,471	677	9,768	23	473	0	0	0	0	1,111	(1,111)
061.20812-Hospital Based	(2)	0	0	0	0	0	0	0	0	25	21	433	0	0	0	0	0	(2)
061.20813-Ad Home Res Co	(3)	0	0	0	0	0	0	60	0	2	7	150	0	0	0	0	60	(60)
061.20814-Primary Care In	(3)	0	0	0	0	0	0	0	348	0	9	183	0	0	0	158	698	(701)
061.20815-Priv Coll Monit	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
061.20816-Pilot Health In	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	102	102	(99)
061.20817-Indigent Care	1,148	0	0	0	0	0	0	882,500	0	0	0	0	0	0	0	9,000	891,500	(890,352)
061.20818-EPIC Premium	4	0	40,677	0	0	0	40,677	117,471	677	9,768	23	473	0	0	0	0	128,412	(87,731)
061.20819-Health Occup De	0	0	0	0	0	0	0	0	632	25	21	433	0	0	0	0	0	1
061.20820-Matern & Ch HIV	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
061.20821-Health Care Del	(3)	0	0	0	0	0	0	0	230	2	7	150	0	0	0	0	389	(392)
061.20822-Cig Task Force	0	0	0	0	0	0	0	0	2,419	206	64	1,487	0	0	0	0	4,176	(4,176)
061.20823-NY SOH	0	0	0	0	0	0	0	0	5,663	41,122	3,255	3,358	0	0	0	0	53,998	(53,998)
073.20851-Transit Authori	60,749	377,782	112,872	0	0	51,394	542,048	545,267	0	0	0	0	0	0	0	0	545,267	57,530
073.20852-Railroad Account	10,788	66,727	19,802	0	0	9,216	95,243	95,243	0	0	0	0	0	0	0	0	95,243	11,290
073.20853-DMTF	16,233	39,145	11,815	0	0	5,274	56,234	54,609	0	0	0	0	0	0	0	0	54,609	17,858
160.20901-Education - New	239,190	0	2,452,000	0	0	18,000	2,470,000	2,709,000	0	0	0	0	0	0	0	0	2,709,000	198
160.20902-Lottery Adm New	64,512	0	42,921	0	0	0	42,921	0	17,772	17,736	488	10,151	0	0	0	4,274	50,421	57,012
160.20903-VLT Administrat	24,905	0	12,040	0	0	0	12,040	0	2,978	1,280	81	1,682	0	0	0	666	6,687	30,258
160.20904-VLT - Education	32,274	0	950,000	0	0	0	950,000	975,200	0	0	0	0	0	0	0	0	975,200	7,074
221.20950-Comb Student Ln	28,750	0	6,730	650	0	0	7,380	0	0	6,701	0	0	0	0	0	0	6,701	29,429
225.23651-Mobility Tax Tr	1,005	0	0	0	0	244,250	244,250	244,250	0	0	0	0	0	0	0	0	244,250	1,005
225.23652-MTA Aid Trust	32,321	0	0	0	0	0	0	30,994	0	0	0	0	0	0	0	0	30,994	1,327
225.NYCB-DNY Cen Bus Dis	0	0	0	0	0	112,500	112,500	0	0	0	0	266	0	0	0	0	0	112,500
300.21002-Encon Adm In Acc	(4,222)	0	900	0	0	0	900	0	418	14	0	0	0	0	0	0	698	(4,020)
301.21051-EnCon Energy Ef	77	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	77
301.21052-EnCon-Seized As	79	0	20	0	0	20	20	0	0	0	0	0	0	0	0	0	0	99
301.21053-Wst Tire Mgr/Rr	30,650	0	19,200	0	0	0	19,200	0	12,967	1,925	416	8,200	0	0	0	0	23,508	26,342
301.21054-Oil & Gas Accou	95	0	108	0	0	0	108	0	0	118	0	0	0	0	0	0	118	85
301.21055-Marine/Coastal	192	0	13	0	0	13	13	0	0	0	0	0	0	0	0	0	0	205
301.21060-Indirect Charge	1,797	0	0	0	0	10,157	10,157	0	7,471	2,853	160	3,125	0	0	0	2,085	15,694	(3,740)
301.21061-Hazardous Sub B	1,190	0	350	0	0	0	350	0	212	38	8	101	0	0	0	0	359	1,181
301.21063-S-Area Landfill	20	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	20
301.21064-Utility Envir R	(1,671)	0	0	0	0	0	0	0	1,250	0	33	639	0	0	0	0	1,922	(3,593)
301.21065-Federal Grant I	654	0	40	0	0	9,000	9,040	0	8,492	168	0	0	0	0	0	1,041	9,701	(7)
301.21066-Low Level Radio	(4,328)	0	2,811	0	0	0	2,811	0	1,428	192	46	901	0	0	0	433	3,000	(4,517)
301.21067-Recreation Acco	4,431	0	10,200	0	0	0	10,200	2,367	0	862	95	1,088	0	0	0	455	4,867	9,764
301.21077-Public Safety R	10	0	30	0	0	0	30	0	0	38	0	0	0	0	0	0	38	2
301.21080-Encon Magazine	877	0	705	0	0	0	705	0	164	0	0	0	0	0	0	150	314	1,268
301.21081-Environmental R	(47,411)	0	28,600	0	0	0	28,600	0	1,595	2,436	427	7,154	0	0	0	6,187	17,799	(36,610)
301.21082-Natural Resourc	(13,354)	0	4,811	0	0	0	4,811	0	577	397	19	369	0	0	0	400	1,762	(10,305)
301.21083-UST-Trust Recov	460	0	12	0	0	0	12	0	0	0	0	0	0	0	0	0	0	472
301.21084-Mined Land Recl	3,777	0	4,210	0	0	0	4,210	0	2,171	117	72	1,381	0	0	0	0	3,741	4,246
301.21089-SEQR Review	(43)	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	0	(43)
301.21087-Town Of Riverhe	17	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	17
301.21022-Monitors-Aggr	20,807	0	6,000	0	0	0	6,000	0	4,274	533	130	2,560	0	0	0	0	7,497	19,310
302.21150-Conservation	5,791	0	43,222	0	0	75	43,297	0	20,440	2,108	641	12,670	0	0	0	1,820	37,679	11,409
302.21151-Marine Resource	3,831	0	1,480	0	0	0	1,480	0	1,077	0	33	633	0	0	0	0	1,743	3,568
302.21152-Migratory Bird	33	0	10	0	0	0	10	0	0	45	0	0	0	0	0	0	45	(2)
302.21153-Guides License	225	0	55	0	0	0	55	0	63	6	2	34	0	0	0	0	105	175
302.21154-Fish And Game T	64,149	0	2,000	0	0	0	2,000	0	0	75	0	0	0	0	0	75	75	66,074
302.21155-Surf Clam/Quaho	(3)	0	0	0	0	0	0	0	32	35	1	18	0	0	0	0	86	(89)
302.21156-Habitat Account	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
302.21157-Venison Donatio	2	0	25	0	0	0	25	0	0	0	0	0	0	0	0	0	0	27
302.21158-OUTDOOR REC & T	26	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	26
303.21201-Oil Spill - DAC	4	0	280	0	0	705	985	0	667	58	23	427	0	0	0	0	1,175	(186)
303.21202-Oil Sp Relocatn	2	0	0	0	0	301	301	0	189	8	6	125	0	0	0	0	328	(25)
303.21203-Oil Spill - DEC	(2)	0	0	0	0	18,000	18,000	0	11,292	951	362	7,169	0	0	0	0	19,774	(1,776)
303.21204-Oil Spill - DAC	33,979	0	43,996	0	0	0	43,996	0	0	0	0	0	0	0	0	35,606	35,606	42,369
303.21205-License Fee Sur	1	0	13,700	0	0	0	13,700	0	0	0	0	0	0	0	0	13,700	13,700	1



CASH COMBINING STATEMENT BY ACCOUNT  
SPECIAL REVENUE OTHER FUNDS (Excluding Fund 339)

FY 2020  
(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCS	Debt	Capital	Transfers To	Total Disb.	Closing Balance
305.21251-OSH Trng & Educ	2,567	0	26,357	0	0	0	26,357	0	10,802	7,201	277	0	7,172	0	0	0	25,452	3,472
305.21252-OSHA Inspection	1,257	0	22,139	0	0	0	22,139	0	11,875	3,224	305	0	6,333	0	0	0	21,737	1,659
306.21301-CSF Regis Fee	9,748	0	9,600	0	0	0	9,600	0	500	12,200	0	0	200	0	0	0	12,900	6,448
307.21351-Equipment Loan	540	0	28	0	0	0	28	0	0	91	0	0	0	0	0	7	98	470
313.21401-Pub Tran Systems	14,153	98,204	0	0	0	16,144	114,348	1,122,229	660	195	20	0	436	0	0	0	113,540	14,961
313.21402-Metropolitan Ma	101,209	2,378,717	17,500	0	0	21,175	2,417,392	2,350,437	2,664	410	82	0	1,761	0	0	6,505	2,361,859	156,742
313.21403-Urban Mass Tran	110	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	110
313.21404-Add Mass Trans	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
314.21451-Operating Permit	(27,258)	0	9,200	0	0	0	9,200	0	2,602	147	85	0	1,662	0	0	0	4,496	(22,554)
314.21452-Mobile Source	(414)	0	34,000	0	0	0	34,000	0	16,455	2,851	507	0	10,875	0	0	6,404	37,092	(3,506)
318.21501-Housing Reserve	68	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	68
321.21551-Legisl Comp R&D	11,829	0	1,717	0	0	0	1,717	0	0	950	0	0	0	0	0	0	950	12,596
321.21552-Demographics/Re	63	0	2	0	0	0	2	0	0	0	0	0	0	0	0	0	0	65
330.40350-S U Dorm Income	263,825	0	344,024	0	0	0	344,024	0	0	6	0	0	0	0	0	396,516	396,516	211,333
332.21651-Brummer Award	39	0	6	0	0	0	6	0	0	0	0	0	0	0	0	0	6	39
332.21652-William Vorce F	237	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	237
332.21653-Rocky Pocanico	5	0	110	0	0	0	110	0	0	52	0	0	0	0	0	0	52	63
332.21654-OPWDD Nonexp Tr	74	0	(1)	0	0	0	(1)	0	0	0	0	0	0	0	0	0	1	72
332.21656-Helen Hayes Hos	15	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	15
332.21657-Cunningham Fund	100	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	100
333.21700-Wintr Sports Ed	0	0	75	0	0	0	75	0	0	75	0	0	0	0	0	0	75	0
335.21750-NYS Musical Ins	1	0	60	0	0	0	60	98	0	0	0	0	0	0	0	0	0	1
338.21851-Arts Capital Re	946	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	946
340.22501-CFIA Undistrib	19,201	0	150	0	0	112,000	112,150	110,900	1,800	100	0	0	800	0	0	3,517	117,117	14,234
341.22552-DFY-NYC Summer	52	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	52
345.22652-L I Vets Home	25,659	0	47,474	0	0	0	47,474	0	30,464	18,719	0	0	0	0	0	0	49,183	23,950
345.22653-S U Genl IFR	863,399	0	651,028	0	0	31,487	682,515	0	199,061	372,675	0	0	12,000	0	0	79,460	663,196	882,718
345.22654-S U Inc Offset	(20,179)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(20,179)
345.22655-Gen Rev Offset	194,396	0	1,792,595	0	0	1,185,370	2,977,965	0	2,443,347	424,869	0	0	4,270	0	0	103,122	2,975,608	156,753
345.22656-S U Hosp Ops	70,216	0	2,029,215	0	0	662,461	35,000	0	1,284,707	1,031,523	0	0	517,304	0	0	43,361	2,876,895	124,943
345.22657-SUNY Stabilizat	316,216	0	0	0	0	35,000	35,000	0	400	600	0	0	0	0	0	0	1,000	110,216
345.22658-State Univ Hosp	15,083	0	49,244	0	0	0	49,244	0	46,815	2,977	0	0	0	0	0	0	49,792	14,535
345.22659-SUNY Tuition Re	119,926	0	101,585	0	0	0	101,585	0	57,737	26,933	0	0	4,485	0	0	0	89,155	132,256
346.22700-Chem Dep Svcs	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
346.22751-Lk George Park	9,993	0	108,724	0	0	0	108,724	0	6,471	0	0	0	0	0	0	102,230	108,701	10,016
354.22801-MVTIFA	3,636	0	1,208	0	0	0	1,208	0	701	250	21	0	447	0	0	0	1,419	(139)
354.22802-St Police MV Eh	21,279	0	4,742	0	0	0	4,742	4,237	136	4	4	0	35	0	0	1,400	5,816	2,562
355.22851-Great Lakes Pro	351	0	118,825	0	0	0	118,825	0	4,000	5,100	0	0	0	0	0	112,420	121,520	18,584
359.22901-Revenue Maximiz	1,577	0	160	0	0	0	160	0	82	70	3	0	55	0	0	0	210	301
359.22902-Local Maximizat	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,577
359.22903-Rev Maxim Contr	(1,555)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
360.22950-Housing Develop	10,276	0	900	0	0	0	900	852	0	0	0	0	0	0	0	0	852	10,324
362.23001-DOJ Comm Veh Sa	(12,683)	0	3,068	0	0	0	3,068	0	2,954	495	0	0	0	0	0	0	3,449	(13,064)
365.23051-Vocatl Rehabil	33	0	100	0	0	0	100	20	0	25	0	0	0	0	0	32	77	56
366.23101-Drinking Water	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
366.23102-Drink Water DOH	(5,352)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,108	1,108	(6,460)
368.23151-NYC County Cler	(28,280)	0	30,100	0	0	3,500	33,600	0	21,600	3,400	0	0	12,400	0	0	0	37,400	(32,080)
369.23201-Jud Data Proc O	37,795	0	47,500	0	0	0	47,500	0	26,400	0	0	0	10,000	0	0	0	36,400	48,895
377.23267-CUNY Stabilizn	5,059	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5,059
377.2327X-CUNY Tuin Reim	91,511	0	5,108	0	0	0	5,108	0	3,493	0	0	0	0	0	0	0	3,493	93,126
377.2327Y-CUNY Inc Reimb	93,963	0	94,022	0	0	0	94,022	0	45,101	47,883	0	0	150	0	0	0	93,134	94,851
385.23501-Lk Placid Train	183	0	85	0	0	0	85	0	0	75	0	0	0	0	0	0	75	193
390.23551-Indigent Legal	313,695	0	202,662	0	0	28,000	230,662	150,906	3,208	25,723	113	0	2,046	0	0	0	181,996	362,361
482.23601-UJ Sp Int & Pen	34,816	0	15,866	0	0	0	15,866	0	1,643	1,000	42	0	1,173	0	0	11,583	15,441	35,241
S01.23701-Commercial Gami	43,321	0	198,000	0	0	0	198,000	200,600	0	0	0	0	0	0	0	17,712	218,312	23,009
S01.23702-Comm Game Regul	(13,796)	0	4,871	0	0	0	4,871	0	2,236	1,223	64	0	1,346	0	0	2	4,871	(13,796)
S01.23703-Prob Gambi Svcs	2,158	0	3,388	0	0	0	3,388	3,388	0	0	0	0	0	0	0	0	3,388	2,158
S02.23750-Med Marth Colle	2,851	1,800	0	0	0	0	1,800	1,800	0	0	0	0	0	0	0	0	0	4,651
S02.23752-MMF - County Di	517	1,800	0	0	0	0	1,800	0	0	0	0	0	0	0	0	0	1,800	517
S02.23753-MMF - Law Enor	317	200	0	0	0	0	200	0	0	0	0	0	0	0	0	150	150	367
S02.23754-MMF - Addition	317	200	0	0	0	0	200	0	0	0	0	0	0	0	0	0	0	517
S02.23755-Health Operato	4,151	0	2,000	0	0	4,626	6,626	0	1,825	2,492	55	0	1,160	0	0	0	5,532	5,245
S03.23800-Inter Recip Pos	1,283	0	1,200	0	0	0	1,200	0	435	427	15	0	278	0	0	60	1,215	1,268
S03.23801-Hwy Use Tax Adm	467	400	500	0	0	0	900	181	0	202	6	0	111	0	0	0	500	867
S03.23802-Cure Childhood	37	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	37
S03.23806-NYS Secure Chol	0	0	0	0	0	0	0	361	0	2,116	11	0	232	0	0	0	2,720	(2,720)
S03.23807-Military Fam Re	23	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	23
S04.24950-Fan Sports Educ	13,480	0	5,000	0	0	0	5,000	0	0	0	0	0	0	0	0	18,000	18,000	480
S04.24951-Fan Sport Admin	(48)	0	0	0	0	0	0	480	0	214	14	0	292	0	0	0	1,000	(1,048)
S06.24850-Hlth Care Trans	524,619	0	468,000	0	0	0	468,000	0	0	0	0	0	0	0	0	678,619	678,619	314,000
S07.24900-Hlth Caritable	58,005	0	1,130	0	0	0	1,130	0	0	0	0	0	0	0	0	59,000	59,000	135

CASH COMBINING STATEMENT BY ACCOUNT  
 SPECIAL REVENUE OTHER FUNDS (Excluding Fund 339)

FY 2020

(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
S07.24901-Elem Sec Ed Cha	35,594	0	690	0	0	0	690	0	0	0	0	0	0	0	0	36,211	36,211	73

CASH COMBINING STATEMENT BY ACCOUNT  
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2020

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.21901-Article VII Int	5,971	0	500	0	0	0	500	60	0	0	0	0	0	0	0	0	6,411
339.21902-S P A R C S	6,685	0	6,600	0	0	0	6,600	0	471	648	16	0	341	0	0	4,214	7,595
339.21904-Fire Prev/Code	41,967	0	14,810	0	0	0	14,810	0	0	0	0	0	0	0	0	14,810	41,967
339.21905-NYS Twp Police	(6,909)	0	63,312	0	0	0	63,312	0	37,886	25	0	0	25,401	0	0	0	(6,909)
339.21906-DMV Seiz Assets	118	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	118
339.21907-Mental Hygiene	(2,140)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2,140)
339.21909-M H Patient Inc	7	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	7
339.21911-Fin Cntrl Board	(747)	0	3,044	0	0	0	3,044	0	1,389	811	45	0	799	0	0	0	(747)
339.21912-Reg of Racing	(2,744)	0	12,647	0	0	0	12,647	0	6,809	5,577	171	0	1,755	0	0	458	(4,867)
339.21913-NY Metro Trans	(18,293)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(18,293)
339.21914-S U Constr Fund	111	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	111
339.21916-Nurses Aide Reg	1,040	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,040
339.21917-Med Frid Seized	240	0	238	0	0	0	238	100	0	238	0	0	0	0	0	0	240
339.21918-Child Care & Pr	2,077	0	436	0	0	0	436	0	0	0	0	0	0	0	0	0	2,413
339.21919-Cyber Sec Upgr	914	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	914
339.21920-Cert of Need	10,220	0	2,959	0	0	0	2,959	0	1,702	1,516	53	0	1,126	0	0	7,769	1,013
339.21921-Lobbying Entorc	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.21922-Continuing Care	1,142	0	131	0	0	0	131	0	76	4	2	0	33	0	0	2	1,156
339.21923-DOL Fee Penalty	4,107	0	20,383	0	0	0	20,383	0	5,991	1,215	154	0	4,167	0	0	300	12,663
339.21924-Educ Museum	284	0	868	0	0	0	868	0	282	334	10	0	180	0	0	62	284
339.21925-Ns Hm Receiptshp	2,936	0	25	0	0	0	25	0	0	0	0	0	0	0	0	0	2,961
339.21926-3rd Party Hlth	468	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	468
339.21927-Boating Noise L	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.21928-I Love NY Ves	1	0	921	0	0	0	921	0	0	0	0	0	0	0	0	0	922
339.21929-Summer Sch Arts	77	0	684	0	0	0	684	0	111	528	4	0	22	0	0	0	96
339.21930-I Lve NY W Boat	96	0	245	0	0	0	245	0	130	25	4	0	84	0	0	0	98
339.21932-Snowmobile	6,141	0	6,150	0	0	0	6,150	3,850	111	363	9	0	72	0	0	1,803	7,886
339.21933-Tr Surplus Prop	5,314	0	2,200	0	0	0	2,200	0	0	974	0	0	0	0	0	0	4,737
339.21934-Hosp & Nurs Mgt	4	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4
339.21935-Watershed Ptnr	(4)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(4)
339.21936-World Univ Game	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.21937-S U Dorm Reimb	(5)	0	0	0	0	310,025	310,025	0	129,654	136,511	0	0	0	0	0	0	43,855
339.21938-ODTA Train Cont	(9)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.21939-ODTA State Matc	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.21941-Methadone Regis	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.21943-Energy Research	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.21944-Radiology	3,722	0	6,000	0	0	0	6,000	3,000	703	687	30	0	453	0	0	1,350	3,499
339.21945-Crim Jus Improv	33,086	0	42,724	0	0	0	42,724	30,744	2,733	396	116	0	1,650	0	0	21,500	18,671
339.21948-Farm Prod Insp	195	0	1,390	0	0	0	1,390	0	648	123	22	0	414	0	0	0	378
339.21950-FgprntID&Tech	44,758	0	15,000	0	0	0	15,000	0	0	2,515	0	0	0	0	0	20,543	36,700
339.21953-NY Fire Academy	354	0	468	0	0	0	468	0	278	314	9	0	239	0	0	0	(18)
339.21958-Domestic Awaren	100	0	7	0	0	0	7	0	0	3	0	0	0	0	0	0	104
339.21959-Environmental L	3,643	0	3,700	0	0	0	3,700	0	1,479	502	46	0	969	0	0	131	4,216
339.21960-HESC Ins Prem P	68,723	0	69,881	0	0	0	69,881	0	12,416	29,936	422	0	9,020	0	0	15,827	70,983
339.21961-Train Mgmt Eval	645	0	1,600	0	0	0	1,600	0	1,572	92	51	0	1,004	0	0	8	(482)
339.21962-Clin Lab Refrcn	(9,328)	0	18,059	0	0	0	18,059	0	5,534	1,424	176	0	3,768	0	0	4,848	(7,019)
339.21964-Pub Emp Rel Brd	800	0	86	0	0	0	86	0	0	45	0	0	0	0	0	0	841
339.21965-Radio Hlth Prot	1,166	0	4,048	0	0	0	4,048	0	2,213	133	70	0	1,502	0	0	796	500
339.21966-Cons Food Indus	57	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	57
339.21967-OHRD St Match	3,432	0	0	0	0	1,400	1,400	0	0	3,867	0	0	0	0	0	0	965
339.21968-Educuatn Library	161	0	65	0	0	0	65	0	0	60	0	0	0	0	0	0	166
339.21969-Teacher Certif	7,231	0	6,600	0	0	0	6,600	0	3,400	643	117	0	2,171	0	0	537	6,963
339.21970-Banking Deptmnt	24,672	0	100,832	0	0	0	100,832	0	50,110	12,609	1,542	0	33,164	0	0	0	28,079
339.21971-Cable TV Acct	3,958	0	3,130	0	0	0	3,130	0	1,361	109	47	0	869	0	0	0	4,702
339.21972-Econ Devel Asst	319	0	0	0	0	0	0	0	0	250	0	0	0	0	0	0	319
339.21973-Fin Svcs Seized	729	0	250	0	0	0	250	0	0	0	0	0	0	0	0	0	729
339.21975-ODD Earned Revn	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.21976-Motorcycle Sfty	258	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	258
339.21977-Business and Li	54,985	0	86,443	0	0	0	86,443	0	17,146	10,607	529	0	11,317	0	0	55,674	46,155
339.21978-Indfr Cost Reco	3,694	0	0	0	0	0	0	0	2,762	2,409	0	0	1,763	0	0	3,941	2,078
339.21979-High School Equ	1,348	0	225	0	0	0	225	0	0	225	0	0	0	0	0	10	1,338
339.21980-OTDA Program	1,733	0	0	0	0	0	0	0	0	3,400	0	0	128	0	0	0	(1,295)
339.21981-Disas Prep Conf	24	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	24
339.21982-Administration	250	0	13	0	0	9,000	9,013	0	3,735	2,181	119	0	2,539	0	1,343	0	(654)
339.21983-Rail Safety Ins	4	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4

CASH COMBINING STATEMENT BY ACCOUNT  
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2020

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.21984-Fedl Admin Reim	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.21985-Abandon Prop Au	2	0	15,896	0	0	0	15,896	0	10,386	5,820	0	0	0	0	0	0	(308)
339.21986-Seized Assets	5	0	2	0	0	0	2	0	0	0	0	0	0	0	0	0	7
339.21987-Spinal Injury	6,113	0	0	0	0	8,500	8,500	8,500	0	0	0	0	0	0	0	0	6,113
339.21988-Child Supp Rev	78	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	78
339.21989-Mult Agen Train	1,625	0	0	0	0	12,000	12,000	0	1,551	9,158	50	0	990	0	0	0	1,876
339.21990-OCTF Crime Forf	4,545	0	956	0	0	0	956	0	0	0	0	0	0	0	0	0	4,545
339.21991-DMNA-Seiz Asset	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.21992-Critical Infrs	268	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	268
339.21993-Radon Detection	494	0	20	0	0	0	20	0	0	12	0	0	0	0	0	2	500
339.21994-Insurance Dept	149,049	0	276,166	0	0	0	276,166	58,111	103,642	37,301	3,195	0	68,660	0	0	0	154,306
339.21995-Workers' Compens	18,350	0	226,202	0	0	0	226,202	0	84,892	56,088	2,239	0	53,220	0	0	36,352	11,761
339.21996-Fire Protection	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.21998-Public Work Enfr	9,307	0	3,982	0	0	0	3,982	0	1,987	217	51	0	1,493	0	0	0	9,541
339.21999-Asset Forfeitur	0	0	250	0	0	0	250	0	0	250	0	0	0	0	0	0	0
339.219A2-MMIA	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219AC-Non-Ivd Wage Wi	(58)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(58)
339.219AF-Hosp Grants	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.219AK-Ins Voucher Pro	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.219AM-Hlth Care Advls	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219AR-Adopt Infr Regi	(1)	0	50	0	0	0	50	0	0	0	0	0	0	0	0	0	49
339.219AS-Quality Assuran	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219BO-Primary Care In	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.219BU-Land Utilizatio	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219C2-Jones Bch Theat	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219CB-FS Reinvestment	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219CG-Tech & Scientif	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219CH-Child Hlth Ins	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219DI-Food Stp Rec Fr	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219DM-EAD Metallurgl	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219DN-Fines Penalties	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219E7-Unif Commer Cd	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219EA-Bus & Licen Srv	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219EB-Antitrust Enfor	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219EE-Map Revenue	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219EF-TAP Sys Redesgn	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219EM-Emerg Med Svcs	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219F6-Lc On Solid Was	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219FC-Fostir Care Savi	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219H3-Pilot Health In	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219H5-Triple Prescr F	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.219IG-Ins Genl Opers	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.219JD-Problem Solv Cou	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219K2-Equip Repair	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219K3-Catastrophic Hl	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219KA-Primary Hlth Cr	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219L5-Adult Cyst Fibr	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219L8-DOS Licensing	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219LB-Health Occup De	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219LC-Matern Child Hiv	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.219SA-Health Services	31	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	31
339.219SS-DOT Sign Shop	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219TF-Tran Fees Perms	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219XX-A&M-Aggregated	765	0	1,200	0	0	0	1,200	0	425	14	15	0	271	0	0	0	1,240
339.219YL-OGS Bldg Admin	18,160	0	10,000	0	0	0	10,000	0	0	1,119	0	0	0	0	0	0	27,041
339.219YN-OGS Sld & Purch	11,140	0	5,660	0	0	0	5,660	0	858	1,831	28	0	548	0	3,000	0	10,535
339.219Z3-MHP/IA OMR NPS	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219Z6-Human Rights Ac	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.219Z7-Just Ct Oper	(3,750)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3,750)
339.219ZR-Milk Producers	(19)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(19)
339.219ZV-S T A Research	160	0	2,500	0	0	0	2,500	2,000	182	100	6	0	116	0	0	52	204
339.22001-VESID SS	0	0	1,875	0	0	0	1,875	0	689	124	20	0	427	0	0	0	615
339.22003-Bell Jar Collec	3,520	0	2,547	0	0	0	2,547	0	1,750	150	46	0	1,076	0	0	0	3,045
339.22008-Courts Special	964	0	2,400	0	0	0	2,400	0	0	2,500	0	0	0	0	0	0	864

CASH COMBINING STATEMENT BY ACCOUNT  
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2020

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.22009-Asbestos Training	(84)	0	330	0	0	0	330	0	222	2	7	0	149	0	0	0	(134)
339.22010-IMP R P Tax Adm	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.22011-Public Service	44,337	0	87,082	0	0	0	87,082	0	41,199	9,284	1,472	0	27,451	0	0	7,871	44,142
339.22012-Atty Licensing	6,447	0	30,000	0	0	0	30,000	0	18,200	6,400	0	0	8,400	0	0	0	3,447
339.22014-DSS Prov Recovs	195	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	195
339.22015-Crimes Against	560	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	560
339.22017-Camp Smith Bill	(88)	0	197	0	0	0	197	0	161	9	4	0	9	0	0	0	(74)
339.22018-Fire Safe Cigar	0	0	0	0	0	0	0	0	0	1	0	0	0	0	0	0	0
339.22020-Comm Feed Lic	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.22021-Regulation of M	2,240	0	20	0	0	0	20	0	0	0	0	0	0	0	0	20	2,240
339.22022-College Savings	18,490	0	813	0	0	0	813	0	198	75	18	0	150	0	0	0	18,862
339.22023-Discover Queens	22	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	22
339.22024-Reven Arrearage	76,968	0	25,000	0	0	0	25,000	0	1,622	2,500	45	0	984	0	0	20,503	76,314
339.22025-Comm Svce Assis	8,490	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	8,490
339.22026-Cell Phone Tow	3,985	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3,985
339.22027-Spec Conserv Ac	29	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	29
339.22028-State Central R	5,134	0	4,200	0	0	0	4,200	0	130	0	4	0	83	0	0	1,822	7,295
339.22029-Plant Industry	51	0	529	0	0	0	529	0	274	0	9	0	175	0	0	0	122
339.22032-Batavia School	(8,521)	0	9,600	0	0	900	10,500	0	5,364	628	195	0	3,426	0	0	512	(8,146)
339.22034-Investment Serv	1,813	0	4,038	0	0	0	4,038	0	2,070	673	64	0	1,231	0	0	0	1,813
339.22035-Diabetes Resear	41	0	6	0	0	0	6	0	0	0	0	0	0	0	0	0	47
339.22037-Keep Kids Drug	62	0	9	0	0	0	9	0	0	0	0	0	0	0	0	0	71
339.22038-OPWDD Day Servi	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22039-OSDC Finan Over	(1,832)	0	4,848	0	0	0	4,848	0	2,877	125	76	0	1,770	0	0	0	(1,832)
339.22040-Senate Recyclab	624	0	20	0	0	0	20	0	0	0	0	0	0	0	0	0	644
339.22041-Medicaid Fraud	22,535	0	13,810	0	0	0	13,810	0	6,920	2,423	198	0	4,269	0	0	0	22,535
339.22042-DED Marketing A	3,038	0	1,944	0	0	0	1,944	0	63	1,710	2	0	28	0	0	131	3,048
339.22044-Tug Hill Admin	140	0	38	0	0	0	38	0	29	3	0	0	0	0	0	10	136
339.22045-Settlement Enf	411	0	541	0	0	0	541	491	0	50	0	0	0	0	0	0	411
339.22046-Regulation of I	(80,856)	0	13,388	0	0	0	13,388	0	8,293	765	207	0	4,931	0	0	329	(81,993)
339.22047-NYS FLEX Spend	609	0	300	0	0	0	300	0	0	306	0	0	0	0	0	0	603
339.22050-Crime Victims B	5	0	105	0	0	0	105	0	0	105	0	0	0	0	0	0	5
339.22052-Armory Rental A	4,044	0	9,600	0	0	1,020	10,620	0	4,019	652	0	0	2,566	0	0	427	4,044
339.22053-Rome School	(1,824)	0	0	0	0	0	0	0	0	0	147	0	0	0	0	0	985
339.22054-Seized Assets	(4,456)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(4,456)
339.22055-Traf Adjudicat	(14,376)	0	44,500	0	0	0	44,500	0	18,099	8,605	617	0	13,234	0	0	2,288	(12,719)
339.22056-Fed Salary Shar	0	0	0	0	0	2,768	2,768	419	1,406	0	45	0	898	0	0	0	0
339.22057-Cook/Chill Acc	1,588	0	1,000	0	0	0	1,000	0	0	1,000	0	0	0	0	0	0	1,588
339.22060-Credential Svcs	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22061-Seized Assets	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.22062-NYC Assessment	40,054	0	79,653	0	0	0	79,653	0	36,881	24,553	1,420	0	21,799	0	0	0	35,054
339.22063-Cultural Educat	(4,172)	0	28,362	0	0	0	28,362	0	11,622	6,592	408	0	7,421	0	2,947	0	(4,800)
339.22064-Distance Learn	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.22065-Exam & Misc Rev	(39)	0	3,150	0	0	0	3,150	0	362	0	12	0	231	0	0	1,961	545
339.22067-Trans Regul Acc	10,109	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2,282	7,827
339.22068-Cons Prot Acct	2,018	0	91	0	0	0	91	0	0	67	0	0	156	0	0	0	1,886
339.22070-OER NASDER	(4)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(4)
339.22071-Fin Aid Audit	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	1
339.22072-8th Air Force H	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.22074-FMS Account	31	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	31
339.22075-Funeral	2,219	0	470	0	0	0	470	0	231	7	8	0	154	0	0	95	2,194
339.22076-FSHRP	13	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	13
339.22077-Educ Archives	31	0	15	0	0	0	15	0	0	15	0	0	0	0	0	0	31
339.22078-Local Services	1,222	0	1,153	0	0	0	1,153	0	722	50	19	0	373	0	0	0	1,211
339.22080-Adult Shelter	21,187	0	2,600	0	0	0	2,600	0	0	0	0	0	0	0	0	0	23,787
339.22081-QAA Earned Rev	408	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	408
339.22082-Family Pres Svc	3,223	0	0	0	0	3,618	3,618	2,732	0	0	0	0	0	0	0	0	4,109
339.22083-Electronic Bene	46	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	46
339.22084-Federal-Seized	0	0	0	0	0	0	0	0	0	38	0	0	0	0	0	0	(38)
339.22085-DHCR Mortgage S	(13,242)	0	3,833	0	0	0	3,833	0	4,418	0	0	0	0	0	0	0	(13,827)
339.22086-OMH-Research OH	78	0	2,848	0	0	0	2,848	0	103	2,817	0	0	0	0	0	0	6
339.22087-DMW-Compulsory	770	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	770
339.22088-Prof Medic Cond	7,850	0	24,900	0	0	0	24,900	990	10,804	6,191	335	0	7,169	0	0	3,960	3,301
339.22089-Hwy Const & Ma	1,793	0	260	0	0	0	260	0	0	135	0	0	0	0	0	0	1,918

CASH COMBINING STATEMENT BY ACCOUNT  
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2020

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.22090-Housing Indirec	(1,836)	0	0	0	0	5,739	5,739	0	2,471	0	0	0	0	0	0	201	1,231
339.22091-Adult Home Qual	3,062	0	193	0	0	0	193	0	0	0	0	0	0	0	0	21	3,234
339.22092-Homeless Hsg	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22093-COCOT	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.22094-Accid Prevent C	240	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	240
339.22095-IG Szd Assets	102	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	102
339.22096-Leg Svcs Assist	44,624	0	25,100	0	0	0	25,100	15,100	0	0	0	0	0	0	0	0	37,544
339.22097-Loc Pub Hlth	7,699	0	84	0	0	0	84	0	282	2	10	0	202	0	0	59	7,228
339.22099-Voting Mach Exa	69	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	69
339.22102-Interest Assess	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22100-DHCR HCA Applic	(3,789)	0	5,000	0	0	0	5,000	0	2,804	0	71	0	1,584	0	0	489	(3,737)
339.22101-EPIC Premium Ac	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.22102-Drug Enforce Ta	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.22103-Vital Records M	8,581	0	4,840	0	0	0	4,840	0	589	438	20	0	426	0	0	4,687	7,261
339.22104-CHCCDP Transfer	36	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	36
339.22105-Tobacco Enforce	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.22108-Hwy Rev/Soc Sec	1,067	0	218	0	0	0	218	0	0	232	0	0	0	0	0	0	1,053
339.22109-Conference & Sp	129	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	129
339.22110-Assisted Living	1,935	0	259	0	0	0	259	250	0	0	0	0	0	0	0	9	1,935
339.22111-OCFS Program	381	0	0	0	0	0	0	0	0	645	0	0	0	0	0	0	(264)
339.22112-OTDA Income Acc	139	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	139
339.22114-Disabil Determs	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.22115-OPWDD Jt Clin O	38	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	38
339.22116-Special Medical	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.22117-Litigation Sett	8,389	0	74,235	0	0	0	74,235	0	23,125	35,595	747	0	14,768	0	0	0	8,389
339.22118-Animal Populati	443	0	855	0	0	0	855	0	0	867	0	0	0	0	0	0	431
339.22119-Love Your Libra	105	0	6	0	0	0	6	0	15	0	1	0	9	0	0	0	111
339.22120-DISTINCTIVE PLA	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(25)
339.22122-Local Wireless	120	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	120
339.22123-Pub Safe Commun	84,786	0	123,000	0	0	0	123,000	25,668	27,359	32,960	0	0	0	0	0	33,754	88,045
339.22124-Cuba Lake Mgmt	164	0	200	0	0	0	200	0	0	206	0	0	0	0	0	0	158
339.22126-St Justice Inst	71	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	71
339.22128-Med Reimb Acct	1,438	0	1,500	0	0	0	1,500	1,000	0	0	0	0	0	0	0	0	1,938
339.22130-Low Inc Housing	3,352	0	3,631	0	0	0	3,631	0	2,277	(1)	58	0	812	0	0	150	3,687
339.22131-Medicaid Inquir	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22132-New York Alert	56	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	56
339.22133-Procure Op News	259	0	0	0	0	0	0	0	40	135	0	0	0	0	0	0	56
339.22134-OVS RESTITUTION	672	0	593	0	0	0	593	0	473	120	0	0	0	0	0	0	672
339.22135-EFC Corp Admin	(2)	0	0	0	0	0	0	0	219	1,052	6	0	106	0	0	0	(2)
339.22136-Food Prod Ctr	591	0	1,323	0	0	0	1,323	0	0	0	0	0	0	0	0	0	531
339.22137-Pet Dealer	21	0	32	0	0	0	32	0	989	254	30	0	654	0	0	0	53
339.22138-Authl Bdg Office	1,215	0	2,088	0	0	1,826	3,914	0	0	0	0	0	0	0	0	45	3,157
339.22139-Patient Safety	3,883	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3,883
339.22140-Helen Hayes Hos	10,401	0	3,115	0	0	59,583	62,698	0	35,356	21,220	21	0	2,423	0	0	1,585	12,494
339.22141-NYC Veterans	(483)	0	350	0	0	30,837	31,187	0	18,399	8,735	12	0	7,157	0	0	392	(3,991)
339.22142-NYS Home-Vetera	330	0	120	0	0	23,669	23,789	0	15,422	6,313	9	0	182	0	0	581	1,612
339.22143-WNY Vets Home	565	0	55	0	0	12,708	12,763	0	8,738	4,196	5	0	94	0	0	132	163
339.22144-Monitrose S V H	2,561	0	30	0	0	27,785	27,815	0	19,182	7,742	11	0	216	0	0	295	2,930
339.22145-DOH Hospital Ho	337	0	0	0	0	123,191	123,191	0	0	0	0	0	0	0	0	123,745	(217)
339.22146-HEAP Earned Rev	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.22147-Quality of Care	9,215	0	1,387	0	0	0	1,387	1,000	0	0	0	0	0	0	0	0	9,602
339.22149-Motor Fuel Qual	1,103	0	2,800	0	0	0	2,800	0	1,142	1,214	39	0	729	0	0	0	779
339.22150-Weights Measure	20	0	325	0	0	0	325	0	221	101	8	0	141	0	0	0	(126)
339.22151-Deler Comp Adm	(118)	0	820	0	0	0	820	0	381	187	23	0	243	0	0	0	(132)
339.22152-Hazard Abatement	971	0	200	0	0	0	200	150	0	0	0	0	0	0	0	0	1,021
339.22153-Education Stats	931	0	0	89	0	0	89	0	380	0	10	0	0	0	0	0	986
339.22154-Real Estate Fin	3,722	0	3,375	0	0	0	3,375	0	1,193	1,381	39	0	762	0	0	0	3,722
339.22156-NYC Rent Rev	6,148	0	62,862	0	0	0	62,862	0	30,749	8,300	1,579	0	23,400	0	0	10,115	(5,133)
339.22157-Medicaid Income	2,423	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2,423
339.22158-Rent Revenue	108	0	550	0	0	0	550	0	380	0	0	0	125	0	0	0	143
339.22159-CSFP Salvage Ac	93	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	93
339.22161-ES Stem Cell Tr	(78)	0	0	0	0	30,555	30,555	0	470	25,882	14	0	299	0	0	510	3,302
339.22162-Systems & Tech	12,852	0	7,300	0	0	0	7,300	0	729	120	28	0	673	0	0	5,320	13,282
339.22163-Patron Services	5,824	0	62,416	0	0	0	62,416	0	27,084	26,592	28	0	1,626	0	0	3,992	8,918
339.22165-Trans Aviath	1,727	0	4,410	0	0	0	4,410	0	0	4,709	0	0	0	0	0	0	1,428

CASH COMBINING STATEMENT BY ACCOUNT  
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2020

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.22166-Teacher Ed Accr	11	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	11
339.22167-Training Academ	369	0	10	0	0	0	10	0	0	10	0	0	0	0	0	0	369
339.22168-Tax Rev Arrear	4,026	0	0	0	0	1,500	1,500	0	0	11,500	0	0	0	0	0	0	(5,974)
339.22169-TSCR Account	1,350	0	475,278	0	0	0	475,278	160,668	0	0	0	0	0	0	0	303,771	1,45,905
339.22170-Statewide Gamin	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22171-Recruitment Inc	2,137	0	39	0	0	2,087	2,126	0	0	3,384	0	0	0	0	0	0	879
339.22172-Undgrnd Sfty T	3,351	0	110	0	0	0	110	0	0	0	10	0	0	0	0	175	3,286
339.22173-Vol Fire Rec&Re	1,083	0	200	0	0	0	200	200	0	0	0	0	0	0	0	0	1,083
339.22174-HAVA Match	1,389	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,389
339.22175-VRSS	16	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	16
339.22177-Occ Hlth Clinic	1,142	0	9,000	0	0	0	9,000	9,560	408	11	12	0	244	0	0	76	(169)
339.22178-Crim Back Check	393	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	393
339.22180-SR-Connections	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22181-NYS Water Rescu	12	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	12
339.22182-OWIG Adm Reimb	201	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	201
339.22184-Wine Industry	5	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5
339.22185-Assembly Recyc	714	0	40	0	0	0	40	0	0	0	0	0	0	0	0	0	754
339.22186-Yth Fac PerDiem	1	0	17,000	0	0	0	17,000	0	0	0	0	0	0	0	0	0	17,001
339.22187-Provider Assess	416	0	845,000	0	0	0	845,000	845,000	0	0	0	0	0	0	0	0	416
339.22188-Fed Indirect Re	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.22189-DOCS Asset Forf	222	0	314	0	0	0	314	0	0	314	0	0	0	0	0	0	222
339.22190-Conference&Sign	76	0	35	0	0	0	35	0	0	35	0	0	0	0	0	0	76
339.22191-Educ Assessment	6	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	6
339.22192-Tax Ret Prep Fe	7,853	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	7,853
339.22193-Sales Tax Re Fe	12	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	12
339.22195-Equitable Shari	2,645	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2,645
339.22196-C & F Qual Entha	14	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	14
339.22197-UJ.TVI Radia Dev	668	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	668
339.22198-HEP	55	0	0	0	0	0	0	0	0	300	0	0	0	0	0	0	(245)
339.22199-Airport Securit	522	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	522
339.22202-SBCI Account	13	0	0	0	0	0	0	62	0	0	0	0	0	0	0	0	13
339.22203-Article X Inter	105	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	43
339.22206-Wholesale Mkt	7,203	0	0	0	0	0	0	0	0	700	0	0	0	0	0	0	6,503
339.22207-Tech Financing	46	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	46
339.22208-Offender Progra	0	0	1,000	0	0	0	1,000	0	0	1,000	0	0	0	0	0	0	0
339.22212-Lake George Inv	54	0	350	0	0	0	350	0	35	285	10	0	20	0	0	0	54
339.22213-BOE Enforcement	297	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	297
339.22214-Fireworks Reven	1,184	0	320	0	0	0	320	0	143	0	5	0	95	0	0	0	1,261
339.22215-Delivery Transf	1,559	0	1,000	0	0	0	1,000	0	0	1,000	0	0	0	0	0	0	1,559
339.22217-Eq Sh DTF Just	77	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	77
339.22218-Eq Sh DTF Treas	57	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	57
339.22231-Eq Sh DEC Justi	172	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	172
339.22233-Eq Shar-DMN Jus	144	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	144
339.22234-Eq Shar-DMN Tre	7	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	7
339.22235-Insttit Accredi	421	0	570	0	0	0	570	0	290	56	6	0	171	0	0	47	421
339.22238-Eq Sh PRK Treas	18	0	50	0	0	0	50	0	0	50	0	0	0	0	0	0	18
339.22239-Opicoid Steward	567	0	34,000	0	0	0	34,000	0	0	0	0	0	0	0	0	34,000	567
339.22240-NYS Med Indimty	0	0	1,541	0	0	0	1,541	0	910	0	50	0	581	0	0	0	0

CASH COMBINING STATEMENT  
CAPITAL PROJECTS FUNDS  
FY 2020  
(thousands of dollars)

	STATE CAPITAL PROJECTS (80000-30049)	DEDICATED HIGHWAY AND BRIDGE TRUST (80550-30099)	STATE UNIVERSITY RESIDENCE HALLS REHABILITATION AND REPAIR (80100-30299)	NEW YORK STATE CANAL SYSTEM DEVELOPMENT (80300-30349)	STATE INFRASTRUCTURE PARK (80350-30399)	PASSENGER FACILITY CHARGE (80400-30449)	ENVIRONMENTAL PROTECTION (30450-30499)	CONSERVATION THROUGH IMPROVED TRANSPORTATION BOND (30600-30609)	PURE WATERS BOND (30620-30629)	TRANSPORTATION CAPITAL FACILITIES BOND (30630-30639)
Opening Fund Balance	0	(89,804)	145,596	11,592	(25,302)	15	119,984	164	668	3,328
Receipts:										
Taxes	0	1,301,825	0	0	0	0	119,100	0	0	0
Miscellaneous Receipts	4,558,474	1,416,675	0	500	159,175	0	79,650	0	0	0
Federal Grants	0	4,505	0	0	0	0	0	0	0	0
Total Receipts	4,558,474	2,723,005	0	500	159,175	0	198,750	0	0	0
Disbursements:										
Local Assistance	3,675,945	63,532	0	0	0	0	0	0	0	0
State Operations	0	0	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	2,945,708	1,868,312	62,500	500	161,100	0	255,275	0	0	0
Total Disbursements	6,621,653	1,931,844	62,500	500	161,100	0	255,275	0	0	0
Other Financing Sources (Uses):										
Transfers from Other Funds	2,065,720	793,396	62,500	0	0	0	28,000	0	0	0
Transfers to Other Funds	(2,575)	(1,549,167)	0	0	0	0	0	(25)	(288)	25
Bond & Note Proceeds	0	0	0	0	0	0	0	25	0	0
Net Other Financing Sources (Uses)	2,063,145	(755,771)	62,500	0	0	0	28,000	0	0	0
Change in Fund Balance	(34)	35,300	0	0	(1,925)	0	(28,525)	0	0	0
Closing Fund Balance	(34)	(54,414)	145,596	11,592	(27,227)	15	91,459	164	668	3,328

	ENVIRONMENTAL PROTECTION BOND ACT (1972) (80400-30649)	REBUILD AND RENEW TRANSPORTATION BOND (30650-30659)	ENVIRONMENTAL QUALITY BOND ACT (1986) (30670-30679)	ACCELERATED CAPACITY AND TRANSPORTATION IMPROVEMENTS BOND (30680-30689)	CLEAN WATER/ CLEAN AIR BOND (30690-30699)	FEDERAL CAPITAL PROJECTS (31350-31449)	FOREST PRESERVE EXPANSION (31450-31499)	HAZARDOUS WASTE REMEDIAL (31500-31549)	SUBURBAN TRANSPORTATION BOND (31650-31699)
Opening Fund Balance	1,419	18,876	5,551	2,778	1,428	(504,674)	1,056	(96,650)	527
Receipts:									
Taxes	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	0	0	0	0	0	0	10	103,250	0
Federal Grants	0	0	0	0	0	2,223,542	0	0	0
Total Receipts	0	0	0	0	0	2,223,542	10	103,250	0
Disbursements:									
Local Assistance	0	0	0	0	0	705,981	0	0	0
State Operations	0	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	1,094,874	10	104,996	0
Total Disbursements	0	0	0	0	0	1,800,855	10	104,996	0
Other Financing Sources (Uses):									
Transfers from Other Funds	0	0	0	0	0	0	0	15,500	0
Transfers to Other Funds	(615)	(3,046)	(3,608)	(25)	(3,714)	(406,449)	0	(25,200)	0
Bond & Note Proceeds	615	3,046	4,807	25	4,714	0	0	0	0
Net Other Financing Sources (Uses)	0	0	1,199	0	1,000	(406,449)	0	(9,700)	0
Change in Fund Balance	0	0	1,199	0	1,000	16,238	0	(11,446)	0
Closing Fund Balance	1,419	18,876	6,750	2,778	2,428	(488,436)	1,056	(108,096)	527



**CASH COMBINING STATEMENT  
CAPITAL PROJECTS FUNDS  
FY 2020**  
(thousands of dollars)

	DIVISION FOR YOUTH FACILITIES IMPROVEMENT (31700-31749)	HOUSING ASSISTANCE (31800-31849)	HOUSING PROGRAM (31950-31999)	NATURAL RESOURCE DAMAGES (31900-31949)	TRANSPORTATION ENGINEERING SERVICES (31950-31999)	STATE UNIVERSITY CAPITAL PROJECTS (32400-32499)	MISCELLANEOUS CAPITAL PROJECTS (32200-32249)	CITY UNIVERSITY OF NEW YORK CAPITAL PROJECTS (32300-32399)	MENTAL HYGIENE FACILITIES CAPITAL IMPROVEMENT (32400-32449)	CORRECTIONAL FACILITIES CAPITAL IMPROVEMENT (32500-32599)
<b>Opening Fund Balance</b>	(21,348)	(12,942)	(177,077)	17,054	(12,346)	120,004	83,477	(18)	(470,121)	(193,029)
<b>Receipts:</b>										
Taxes	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	21,889	0	613,894	1,000	0	62,500	24,383	0	283,322	258,453
Federal Grants	0	0	0	0	0	0	0	0	0	0
<b>Total Receipts</b>	<b>21,889</b>	<b>0</b>	<b>613,894</b>	<b>1,000</b>	<b>0</b>	<b>62,500</b>	<b>24,383</b>	<b>0</b>	<b>283,322</b>	<b>258,453</b>
<b>Disbursements:</b>										
Local Assistance	0	0	614,469	0	0	0	0	0	135,052	0
State Operations	0	0	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	21,889	0	0	1,017	0	62,500	87,563	0	148,520	366,318
<b>Total Disbursements</b>	<b>21,889</b>	<b>0</b>	<b>614,469</b>	<b>1,017</b>	<b>0</b>	<b>62,500</b>	<b>87,563</b>	<b>0</b>	<b>283,572</b>	<b>366,318</b>
<b>Other Financing Sources (Uses):</b>										
Transfers from Other Funds	0	0	575	0	0	0	55,800	0	2,000	107,865
Transfers to Other Funds	0	0	0	0	0	0	0	0	0	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	<b>0</b>	<b>0</b>	<b>575</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>55,800</b>	<b>0</b>	<b>2,000</b>	<b>107,865</b>
<b>Change in Fund Balance</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>(17)</b>	<b>0</b>	<b>0</b>	<b>(7,380)</b>	<b>0</b>	<b>1,750</b>	<b>0</b>
<b>Closing Fund Balance</b>	<b>(21,348)</b>	<b>(12,942)</b>	<b>(177,077)</b>	<b>17,037</b>	<b>(12,346)</b>	<b>120,004</b>	<b>76,097</b>	<b>(18)</b>	<b>(468,371)</b>	<b>(193,029)</b>

	SMART SCHOOLS BOND FUND (30710-30749)	NEW YORK STATE STORM RECOVERY (33000-33049)	DEDICATED USER INVESTMENT (33050-33051)	CAPITAL PROJECTS OTHER	Eliminations	Financial Plan
<b>Opening Fund Balance</b>	0	(88,664)	16,299	0	0	(1,137,904)
<b>Receipts:</b>						
Taxes	0	0	0	(1,000)	0	1,419,925
Miscellaneous Receipts	0	22,774	500,000	301	0	8,106,250
Federal Grants	0	0	0	500	0	2,228,547
<b>Total Receipts</b>	<b>0</b>	<b>22,774</b>	<b>500,000</b>	<b>(199)</b>	<b>0</b>	<b>11,754,722</b>
<b>Disbursements:</b>						
Local Assistance	0	0	247,286	0	0	5,442,265
State Operations	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0
Capital Projects	0	(4,000)	1,423,769	0	0	8,600,851
<b>Total Disbursements</b>	<b>0</b>	<b>(4,000)</b>	<b>1,671,055</b>	<b>0</b>	<b>0</b>	<b>14,043,116</b>
<b>Other Financing Sources (Uses):</b>						
Transfers from Other Funds	0	0	1,239,983	0	(838,878)	3,532,461
Transfers to Other Funds	(430,000)	0	0	0	838,878	(1,585,884)
Bond & Note Proceeds	430,000	0	0	0	0	443,570
<b>Net Other Financing Sources (Uses)</b>	<b>0</b>	<b>0</b>	<b>1,239,983</b>	<b>0</b>	<b>0</b>	<b>2,390,147</b>
<b>Change in Fund Balance</b>	<b>0</b>	<b>26,774</b>	<b>68,928</b>	<b>(199)</b>	<b>0</b>	<b>101,753</b>
<b>Closing Fund Balance</b>	<b>0</b>	<b>(61,890)</b>	<b>85,227</b>	<b>(199)</b>	<b>0</b>	<b>(1,036,151)</b>

CASH COMBINING STATEMENT  
DEBT SERVICE  
FY 2020

	MENTAL HEALTH SERVICES (40100-40149)	GENERAL DEBT SERVICE (40150-40199)	HOUSING DEBT (40250-40299)	DEPARTMENT OF HEALTH INCOME (40300-40349)	CLEAN WATER/CLEAN AIR (40400-40449)	LOCAL GOVERNMENT ASSISTANCE TAX (40450-40499)	Sub Total	Eliminations	Financial Plan
Opening Fund Balance	28,431	0	0	36,349	0	0	64,780	0	64,780
Receipts:									
Taxes	0	29,860,276	0	0	1,028,900	3,783,750	34,672,926	0	34,672,926
Miscellaneous Receipts	245,855	0	3,494	143,702	0	500	393,551	0	393,551
Federal Grants	0	73,651	0	0	0	0	73,651	0	73,651
<b>Total Receipts</b>	<b>245,855</b>	<b>29,933,927</b>	<b>3,494</b>	<b>143,702</b>	<b>1,028,900</b>	<b>3,784,250</b>	<b>35,140,128</b>	<b>0</b>	<b>35,140,128</b>
Disbursements:									
Local Assistance	0	0	0	0	0	0	0	0	0
State Operations	895	40,185	0	2,471	0	2,155	45,706	0	45,706
General State Charges	0	0	0	0	0	0	0	0	0
Debt Service	10,766	4,827,989	4,494	26,158	0	300,916	5,170,323	0	5,170,323
Capital Projects	0	0	0	0	0	0	0	0	0
<b>Total Disbursements</b>	<b>11,661</b>	<b>4,868,174</b>	<b>4,494</b>	<b>28,629</b>	<b>0</b>	<b>303,071</b>	<b>5,216,029</b>	<b>0</b>	<b>5,216,029</b>
<b>Other Financing Sources (Uses):</b>									
Transfers from Other Funds	1,304,517	2,511,909	1,000	31,260	0	0	3,848,686	(365,588)	3,483,098
Transfers to Other Funds	(1,549,478)	(27,577,662)	0	(154,028)	(1,028,899)	(3,481,179)	(33,791,246)	365,588	(33,425,658)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	<b>(244,961)</b>	<b>(25,065,753)</b>	<b>1,000</b>	<b>(122,768)</b>	<b>(1,028,899)</b>	<b>(3,481,179)</b>	<b>(29,942,560)</b>	<b>0</b>	<b>(29,942,560)</b>
Change in Fund Balance	(10,767)	0	0	(7,695)	1	0	(18,461)	0	(18,461)
Closing Fund Balance	17,664	0	0	28,654	1	0	46,319	0	46,319

**CASH COMBINING STATEMENT BY ACCOUNT  
INTERNAL SERVICE  
FY 2020**  
(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
323.55009-Admin Support	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
323.55010-Design & Constr	(14,025)	0	69,626	0	0	0	69,626	0	29,251	15,423	945	0	18,680	0	0	1,866	66,165	(10,564)
323.55020-OGS Ent Contr	(63,104)	0	150,000	0	0	0	150,000	0	600	149,074	19	0	383	0	0	0	150,076	(63,180)
323.55022-Business Svc Ct	(8,053)	0	0	0	0	28,000	28,000	0	27,500	2,500	0	0	0	0	0	0	30,000	(10,053)
323.55030-Misc Centrli Svc	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
323.55033-Misc Centrli Svc	107	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	107
323.55034-OGS Exec Direct	5,930	0	118,000	0	0	9,631	127,631	0	4,447	109,208	144	0	2,840	0	0	13,123	129,762	3,799
323.55035-OGS Bkig Admin	(9,093)	0	23,729	0	0	9,500	33,229	0	2,231	27,876	72	0	1,425	0	0	0	31,604	(7,468)
323.55036-OGS Snd & Purch	(3,127)	0	11,057	0	0	0	11,057	0	3,211	5,430	104	0	2,051	0	0	0	10,796	(2,866)
334.55050-Agencies Int Sv	(36)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(36)
334.55051-Archives R	342	0	1,654	0	0	0	1,654	0	920	114	27	0	504	0	0	0	1,565	431
334.55052-Fedl Single Aud	1,973	0	1,500	0	0	0	1,500	0	0	1,500	0	0	0	0	0	0	1,500	1,973
334.55053-Quick Copy Cent	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
334.55054-Occup Hilh	2,836	0	5,963	0	0	0	5,963	0	2,792	500	90	0	1,783	0	0	1,651	6,816	1,983
334.55055-EHS Occup Hilh	(119)	0	870	0	0	49,190	49,690	0	635	517	21	0	406	0	0	0	1,579	(828)
334.55056-Banking Service	(7)	0	500	0	0	0	500	0	0	49,690	0	0	0	0	0	0	49,690	(7)
334.55057-Cult Resources	(2,892)	0	7,329	0	0	1,000	7,329	0	1,429	4,082	42	0	865	0	0	284	6,702	(2,265)
334.55058-Neighbor Work P	(7,653)	0	8,200	0	0	0	8,200	0	0	9,200	0	0	0	0	0	0	9,200	(7,653)
334.55059-Auto/Print Chgb	608	0	17,927	0	0	0	17,927	0	8,419	4,345	0	0	5,092	0	0	0	17,856	679
334.55060-Auto/Print Chgb	(2,339)	0	2,000	0	0	0	2,000	0	0	2,000	0	0	0	0	0	0	2,000	(2,339)
334.55061-NYT Account	(45,428)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(45,428)
334.55062-State Data Ctr	7	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	7
334.55063-Human Svcs Tele	792	0	150	0	0	0	150	0	0	150	0	0	0	0	0	0	150	792
334.55065-OPWDD Copy Cent	(1,263)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1,263)
334.55066-Intrusion Detec	(166)	0	807	0	0	0	807	0	703	101	3	0	0	0	0	0	807	(166)
334.55068-Statewide Train	93	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	93
334.55069-Centralized Tec	(72,303)	0	45,837	0	0	11,460	57,297	0	0	41,402	0	0	0	0	0	0	41,402	(56,408)
334.55070-Learning Mgmt S	1,542	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,542
334.55071-Labor Cont Ctr	(254)	0	2,021	0	0	0	2,021	0	1,055	164	27	0	654	0	0	0	1,900	(133)
334.55072-HS Cont Ctr	1,061	0	17,717	0	0	0	17,717	0	8,815	3,127	268	0	5,419	0	0	0	17,629	1,149
334.55074-Civil Recoverie	(17,475)	0	16,700	0	0	0	16,700	0	10,740	0	361	0	5,599	0	0	0	16,700	(17,475)
334.55075-Civil Recover	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
334.55076-Personnel Mgmt	29	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	29
334.55077-Public Finanng	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
334.55078-Misc Intl Serv	(116)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(116)
343.55100-Mental Hygiene	71	0	1,600	0	0	0	1,600	0	652	500	17	0	401	0	0	0	1,570	101
347.55150-DFY Voc Educatn	69	0	25	0	0	0	25	0	0	0	0	0	0	0	0	0	0	94
394.55200-Joint Labor-Mgt	476	0	1,000	0	0	0	1,000	0	912	406	30	0	582	0	0	0	1,930	(454)
395.55251-Ex Dir Intl Aud	(8,722)	0	4,804	0	0	0	4,804	0	2,766	178	92	0	1,768	0	0	0	4,804	(8,722)
395.55252-CIO INFO TECH C	(22,366)	0	29,300	0	0	0	29,300	0	6,917	15,141	359	0	6,883	0	0	0	29,300	(22,366)
396.55300-Health Insuranc	(13,625)	0	14,121	0	0	8,083	22,204	0	9,150	1,700	296	0	5,843	0	0	3,428	20,417	(11,838)
396.55301-CS EBD Adm Reim	(1,155)	0	4,500	0	0	0	4,500	0	1,850	335	60	0	1,181	0	0	639	4,065	(720)
397.55350-Correctional In	(25,297)	0	49,000	0	0	20,773	69,773	0	25,700	34,831	535	0	12,011	0	0	357	73,434	(28,958)

**CASH COMBINING STATEMENT BY ACCOUNT  
ENTERPRISE  
FY 2020**  
(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
324.50000-DFY Commissary	97	0	120	0	0	0	120	0	0	120	0	0	0	0	0	0	120	97
325.50050-State Fair Rece	1,260	0	14,400	0	0	0	14,400	0	4,124	8,596	141	0	2,634	0	0	0	15,495	165
326.50100-DOCS Commissary	4,076	0	39,262	0	0	0	39,262	0	0	39,180	0	0	0	0	0	0	39,180	4,158
331.50301-Mental Disab Pr	17	0	7	0	0	0	7	0	0	0	0	0	0	0	0	0	7	17
331.50302-DFY Products	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
331.50303-Aging Enterpris	13	0	2	0	0	0	2	0	0	2	0	0	0	0	0	0	2	0
331.50304-Maps And Demogr	(3)	0	1	0	0	0	1	0	0	0	0	0	0	0	0	0	0	14
331.50305-Empire St Games	178	0	24	0	0	0	24	0	0	0	0	0	0	0	0	0	0	(3)
331.50306-DSS Trng Matrix	1	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	202
331.50311-Arts & Crafts	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
331.50313-TRAID Services	(274)	0	1,497	0	0	0	1,497	0	609	441	20	0	389	0	0	0	1,459	(236)
331.50318-Convention Ctr	1,939	0	1,256	0	0	0	1,256	0	282	803	10	0	167	0	0	0	1,262	1,933
331.50319-Attica Emp Mess	109	0	14	0	0	0	14	0	0	23	0	0	0	0	0	0	23	100
331.50322-Asset Preservat	607	0	629	0	0	0	629	0	123	455	2	0	63	0	0	0	643	593
331.50323-Farm Program	(186)	0	500	0	0	0	500	0	105	331	3	0	67	0	0	0	506	(192)
331.50327-Emp Plz Gift Sh	0	0	10	0	0	0	10	0	0	10	0	0	0	0	0	0	10	0
331.5032Z-DDPC Pub Act	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
331.ARMRT-Armory Rental	0	0	0	0	0	0	0	0	659	691	18	0	66	0	0	0	1,434	(1,434)
331.GOLF-X-Golf	0	0	17,000	0	0	0	17,000	0	5,000	12,000	0	0	0	0	0	0	17,000	0
331.OGSPS-Parking Servs	0	0	8,092	0	0	0	8,092	0	2,900	3,612	94	0	1,852	0	0	1,000	9,458	(1,366)
331.OGSSE-Special Events	0	0	874	0	0	0	874	0	28	833	1	0	18	0	0	0	880	(6)
331.OGSSW-Solid Waste	0	0	105	0	0	0	105	0	100	5	3	0	64	0	0	0	172	(67)
331.RSXX-Retail Sales	0	0	1,250	0	0	0	1,250	0	750	500	0	0	0	0	0	0	1,250	0
351.50400-OMH Sheit Wikshs	2,159	0	2,200	0	0	0	2,200	0	0	2,200	0	0	0	0	0	0	2,200	2,159
352.50450-MR Shel Wikshop	1,735	0	950	0	0	0	950	0	0	1,050	0	0	0	0	0	0	1,050	1,635
353.50500-MH & MR Communi	4,581	0	2,200	0	0	0	2,200	0	383	1,172	10	0	238	0	0	0	1,803	4,978
353.50516-MR Community St	140	0	551	0	0	0	551	0	219	326	9	0	0	0	0	0	554	137
450.2595F-IEA / State Fal	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
481.50650-UJ Benefit Frnd	864,333	2,450,000	0	50,000	0	0	2,500,000	0	0	0	0	2,500,000	0	0	0	0	2,500,000	864,333
481.50651-Interest Assess	5,743	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5,743
481.506FS-Federal Stimulu	(860,933)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(860,933)

**STATE DEBT OUTSTANDING**  
**SUMMARIZED BY FINANCING PROGRAM AND PROGRAM AREA**  
**FY 2019 THROUGH FY 2024**  
(thousands of dollars)

	<b>FY 2019 Results</b>	<b>FY 2020 First Quarter</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>	<b>FY 2023 Projected</b>	<b>FY 2024 Projected</b>
<b>GENERAL OBLIGATION BONDS</b>	<u>2,285,635</u>	<u>2,537,049</u>	<u>3,146,046</u>	<u>3,343,096</u>	<u>3,443,103</u>	<u>3,354,413</u>
<b>REVENUE BONDS</b>						
Personal Income Tax	34,903,575	38,606,400	41,568,153	43,244,350	44,631,121	46,649,571
Sales Tax	10,421,470	11,463,377	12,214,349	13,065,739	13,894,298	14,738,144
Dedicated Highway	1,389,245	1,285,390	899,150	838,250	773,445	701,475
Mental Health Services	269,150	225,895	181,420	137,825	103,185	75,230
SUNY Dorms	367,930	345,995	274,260	141,415	-	-
Health Income	147,680	128,610	108,620	88,320	68,455	48,350
LGAC	<u>1,195,000</u>	<u>821,675</u>	<u>533,170</u>	<u>303,265</u>	<u>178,250</u>	<u>86,170</u>
<b>Subtotal Revenue Bonds</b>	<u>48,694,050</u>	<u>52,877,341</u>	<u>55,779,122</u>	<u>57,819,164</u>	<u>59,648,754</u>	<u>62,298,941</u>
<b>SERVICE CONTRACT</b>	<u>2,244,736</u>	<u>1,873,008</u>	<u>1,429,813</u>	<u>1,188,436</u>	<u>994,270</u>	<u>779,610</u>
<b>TOTAL STATE-SUPPORTED</b>	<u>53,224,421</u>	<u>57,287,398</u>	<u>60,354,981</u>	<u>62,350,696</u>	<u>64,086,127</u>	<u>66,432,963</u>
<b>BY PROGRAM AREA</b>						
Economic Development & Housing	6,895,321	8,390,717	9,943,835	11,075,396	12,096,450	13,269,935
Education	17,621,672	18,325,759	18,882,380	18,962,730	18,907,406	19,039,114
Environment	2,708,519	3,198,969	3,580,688	3,791,485	4,105,896	4,432,510
Health & Mental Hygiene	4,096,915	4,597,630	5,093,078	5,433,860	5,805,741	6,161,497
State Facilities & Equipment	5,479,465	5,674,317	5,624,899	5,650,542	5,606,723	5,663,090
Transportation	15,227,528	16,278,331	16,696,931	17,133,416	17,385,662	17,780,647
LGAC	<u>1,195,000</u>	<u>821,675</u>	<u>533,170</u>	<u>303,265</u>	<u>178,250</u>	<u>86,170</u>
<b>TOTAL STATE-SUPPORTED</b>	<u>53,224,421</u>	<u>57,287,398</u>	<u>60,354,981</u>	<u>62,350,696</u>	<u>64,086,127</u>	<u>66,432,963</u>

**STATE DEBT OUTSTANDING**  
**FY 2019 THROUGH FY 2024**  
(thousands of dollars)

	<b>FY 2019 Results</b>	<b>FY 2020 First Quarter</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>	<b>FY 2023 Projected</b>	<b>FY 2024 Projected</b>
<b>SUBTOTAL STATE-SUPPORTED</b>	<u>53,224,421</u>	<u>57,287,398</u>	<u>60,354,981</u>	<u>62,350,696</u>	<u>64,086,127</u>	<u>66,432,963</u>
<b>OTHER STATE DEBT OBLIGATIONS</b>						
<b>Contingent Contractual</b>						
DASNY/MCFFA Secured Hospitals Program	165,020	135,480	104,395	77,635	59,300	40,055
<b>Moral Obligation</b>						
Housing Finance Agency	155	0	0	0	0	0
<b>Other</b>						
MBBA Prior Year School Aid Claims	138,605	104,165	67,985	30,000	0	0
<b>SUBTOTAL OTHER STATE</b>	<u>303,780</u>	<u>239,645</u>	<u>172,380</u>	<u>107,635</u>	<u>59,300</u>	<u>40,055</u>
<b>GRAND TOTAL STATE-RELATED</b>	<u>53,528,201</u>	<u>57,527,043</u>	<u>60,527,361</u>	<u>62,458,331</u>	<u>64,145,427</u>	<u>66,473,018</u>

**STATE DEBT SERVICE**  
**SUMMARIZED BY FINANCING PROGRAM AND PROGRAM AREA**  
**FY 2019 THROUGH FY 2024**  
(thousands of dollars)

	<b>FY 2019 Results</b>	<b>FY 2020 First Quarter</b>	<b>FY 2021 Projected</b>	<b>FY 2022 Projected</b>	<b>FY 2023 Projected</b>	<b>FY 2024 Projected</b>
<b>GENERAL OBLIGATION BONDS</b>	302,123	291,040	303,922	361,660	380,683	391,587
<b>REVENUE BONDS</b>						
Personal Income Tax	4,134,874	2,899,765	4,435,351	4,943,403	5,108,614	5,192,653
Sales Tax	883,790	838,464	1,248,911	1,231,982	1,345,717	1,420,261
Dedicated Highway	199,622	448,181	106,482	107,368	111,433	115,011
Mental Health Services	112,061	10,767	9,874	8,266	(0)	-
Health Income	26,132	26,158	25,821	24,799	23,733	21,709
LGAC	423,548	300,915	251,140	136,511	98,489	57,415
<b>Subtotal Revenue Bonds</b>	<b>5,780,027</b>	<b>4,524,250</b>	<b>6,077,579</b>	<b>6,452,328</b>	<b>6,687,986</b>	<b>6,807,049</b>
<b>SERVICE CONTRACT</b>	616,415	355,034	491,744	311,201	260,418	271,965
<b>TOTAL STATE-SUPPORTED</b>	<b>6,698,565</b>	<b>5,170,324</b>	<b>6,873,245</b>	<b>7,125,190</b>	<b>7,329,087</b>	<b>7,470,602</b>
<b>BY PROGRAM AREA</b>						
Economic Development & Housing	1,213,303	822,778	976,441	1,199,126	1,291,876	1,344,087
Education	1,598,332	1,405,146	1,622,166	1,888,570	1,972,060	2,008,601
Environment	368,214	242,795	457,975	633,674	554,062	631,315
Health & Mental Hygiene	625,944	421,307	503,276	580,684	537,892	625,854
State Facilities & Equipment	638,191	499,715	782,596	645,024	698,371	627,313
Transportation	1,831,032	1,477,667	2,279,652	2,041,601	2,176,338	2,176,017
LGAC	423,548	300,915	251,140	136,511	98,489	57,415
<b>TOTAL STATE-SUPPORTED</b>	<b>6,698,565</b>	<b>5,170,324</b>	<b>6,873,245</b>	<b>7,125,190</b>	<b>7,329,087</b>	<b>7,470,602</b>

**STATE DEBT SERVICE**  
**FY 2019 THROUGH FY 2024**  
(thousands of dollars)

	<u>FY 2019 Results</u>	<u>FY 2020 First Quarter</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
<b>SUBTOTAL STATE-SUPPORTED</b>	<u>6,698,565</u>	<u>5,170,324</u>	<u>6,873,245</u>	<u>7,125,190</u>	<u>7,329,087</u>	<u>7,470,602</u>
<b>OTHER STATE DEBT OBLIGATIONS</b>						
<b>Contigent Contractual</b>						
DASNY/MCFFA Secured Hospitals Program <sup>1</sup>	12,312	7,086	7,103	7,099	2,500	2,500
<b>Moral Obligation</b>						
Housing Finance Agency	697	161	0	0	0	0
<b>Other</b>						
MBBA Prior Year School Aid Claims	40,964	41,204	41,263	41,265	31,470	0
<b>SUBTOTAL OTHER STATE</b>	<u>53,973</u>	<u>48,451</u>	<u>48,366</u>	<u>48,364</u>	<u>33,970</u>	<u>2,500</u>
<b>GRAND TOTAL STATE-RELATED</b>	<u>6,752,538</u>	<u>5,218,775</u>	<u>6,921,611</u>	<u>7,173,554</u>	<u>7,363,057</u>	<u>7,473,102</u>

<sup>1</sup> Debt service in the Secured Hospital Program that is assumed to be paid by the State is captured in the State-supported category.



**STATE DEBT ISSUANCES**  
**SUMMARIZED BY FINANCING PROGRAM AND PROGRAM AREA**  
**FY 2019 THROUGH FY 2024**  
(thousands of dollars)

	<u>FY 2019 Results</u>	<u>FY 2020 First Quarter</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
<b>GENERAL OBLIGATION BONDS</b>	<u>114,040</u>	<u>441,449</u>	<u>798,167</u>	<u>412,311</u>	<u>322,319</u>	<u>137,328</u>
<b>REVENUE BONDS</b>						
Personal Income Tax	2,731,530	5,829,727	5,457,378	4,286,856	4,167,393	4,902,867
Sales Tax	<u>2,588,280</u>	<u>1,366,521</u>	<u>1,407,517</u>	<u>1,449,742</u>	<u>1,493,235</u>	<u>1,538,032</u>
<b>Subtotal Revenue Bonds</b>	<u>5,319,810</u>	<u>7,196,248</u>	<u>6,864,895</u>	<u>5,736,598</u>	<u>5,660,628</u>	<u>6,440,899</u>
<b>TOTAL STATE-SUPPORTED</b>	<u>5,433,850</u>	<u>7,637,697</u>	<u>7,663,062</u>	<u>6,148,909</u>	<u>5,982,947</u>	<u>6,578,227</u>
<b>BY PROGRAM AREA</b>						
Economic Development & Housing	1,503,475	2,268,058	2,275,590	1,825,954	1,776,670	1,953,442
Education	804,023	1,396,880	1,401,519	1,124,591	1,094,238	1,203,110
Environment	343,265	681,094	683,356	548,331	533,531	586,615
Health & Mental Hygiene	395,290	817,796	820,512	658,386	640,616	704,355
State Facilities & Equipment	441,775	481,333	482,932	387,509	377,049	414,564
Transportation	<u>1,946,023</u>	<u>1,992,536</u>	<u>1,999,153</u>	<u>1,604,138</u>	<u>1,560,842</u>	<u>1,716,140</u>
<b>SUBTOTAL STATE-SUPPORTED</b>	<u>5,433,850</u>	<u>7,637,697</u>	<u>7,663,062</u>	<u>6,148,909</u>	<u>5,982,947</u>	<u>6,578,227</u>

**STATE DEBT RETIREMENTS**  
**SUMMARIZED BY FINANCING PROGRAM AND PROGRAM AREA**  
**FY 2019 THROUGH FY 2024**  
(thousands of dollars)

	<b>FY 2019</b>	<b>FY 2020</b>	<b>FY 2021</b>	<b>FY 2022</b>	<b>FY 2023</b>	<b>FY 2024</b>
	<b>Actuals</b>	<b>First Quarter</b>	<b>Projected</b>	<b>Projected</b>	<b>Projected</b>	<b>Projected</b>
<b>GENERAL OBLIGATION BONDS</b>	<u>199,790</u>	<u>190,035</u>	<u>189,170</u>	<u>215,261</u>	<u>222,312</u>	<u>226,019</u>
<b>REVENUE BONDS</b>						
Personal Income Tax	1,654,395	2,126,902	2,495,626	2,610,659	2,780,621	2,884,417
Sales Tax	427,930	324,615	656,544	598,353	664,676	694,186
Dedicated Highway	126,665	103,855	386,240	60,900	64,805	71,970
Mental Health Services	90,110	43,255	44,475	43,595	34,640	27,955
SUNY Dorms	25,810	21,935	19,095	15,885	7,050	-
Health Income	18,170	19,070	19,990	20,300	19,865	20,105
LGAC	<u>174,580</u>	<u>318,370</u>	<u>288,505</u>	<u>229,905</u>	<u>125,015</u>	<u>92,080</u>
<b>Subtotal Revenue Bonds</b>	<u>2,517,660</u>	<u>2,958,002</u>	<u>3,910,475</u>	<u>3,579,597</u>	<u>3,696,672</u>	<u>3,790,713</u>
<b>SERVICE CONTRACT</b>	<u>600,099</u>	<u>371,728</u>	<u>443,195</u>	<u>241,376</u>	<u>194,166</u>	<u>214,660</u>
<b>TOTAL STATE-SUPPORTED</b>	<u>3,317,549</u>	<u>3,519,765</u>	<u>4,542,839</u>	<u>4,036,234</u>	<u>4,113,150</u>	<u>4,231,391</u>
<b>BY PROGRAM AREA</b>						
Economic Development & Housing	742,798	772,662	722,473	694,392	755,617	779,957
Education	605,046	692,793	792,258	927,281	1,015,197	1,071,403
Environment	198,220	190,644	301,638	337,533	219,121	260,001
Health & Mental Hygiene	333,105	317,081	325,063	317,604	268,735	348,599
State Facilities & Equipment	294,355	286,481	532,349	361,865	420,869	358,198
Transportation	969,445	941,733	1,580,554	1,167,653	1,308,596	1,321,154
LGAC	<u>174,580</u>	<u>318,370</u>	<u>288,505</u>	<u>229,905</u>	<u>125,015</u>	<u>92,080</u>
<b>TOTAL STATE-SUPPORTED</b>	<u>3,317,549</u>	<u>3,519,765</u>	<u>4,542,839</u>	<u>4,036,234</u>	<u>4,113,150</u>	<u>4,231,391</u>

**STATE DEBT RETIREMENTS**  
**FY 2019 THROUGH FY 2024**  
(thousands of dollars)

	<u>FY 2019 Results</u>	<u>FY 2020 First Quarter</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
<b>SUBTOTAL STATE-SUPPORTED</b>	<u>3,317,549</u>	<u>3,519,765</u>	<u>4,542,839</u>	<u>4,036,234</u>	<u>4,113,150</u>	<u>4,231,391</u>
<b>OTHER STATE DEBT OBLIGATIONS</b>						
<b>Contingent Contractual</b>						
DASNY/MCFFA Secured Hospitals Program	28,170	29,540	31,085	26,760	18,335	19,245
<b>Moral Obligation</b>						
Housing Finance Agency	645	155	0	0	0	0
<b>Other</b>						
MBBA Prior Year School Aid Claims	33,000	34,440	36,180	37,985	30,000	0
<b>SUBTOTAL OTHER STATE</b>	<u>61,815</u>	<u>64,135</u>	<u>67,265</u>	<u>64,745</u>	<u>48,335</u>	<u>19,245</u>
<b>GRAND TOTAL STATE-RELATED</b>	<u>3,379,364</u>	<u>3,583,900</u>	<u>4,610,104</u>	<u>4,100,979</u>	<u>4,161,485</u>	<u>4,250,636</u>

STATE OF NEW YORK LIST OF JOINT CUSTODY FUNDS - CLASSIFIED BY OSC			APPENDIX
SFS FUND RANGE	CAS FUND NUMBER	FUND NAME	FUND CLASSIFICATION
10000-10049	001	Local Assistance Account	General
10050-10099	003	State Operations Account	General
10100-10149	004	Tax Stabilization Reserve Account	General
10150-10199	005	Contingency Reserve Fund	General
10200-10249	006	Universal Pre-Kindergarten Reserve	General
10250-10299	007	Community Projects Fund	General
10300-10349	008	Rainy Day Reserve Fund	General
10400-10449	017	Refund Reserve Account	General
10450-10499	100	General Fund	General
10500-10549	166	Fringe Benefit Escrow Account	General
10550-10599	348	Tobacco Revenue Guarantee Fund	General
20000-20099	019	Mental Health Gifts and Donations Fund	Special Revenue
20100-20299	020	Combined Expendable Trust Fund	Special Revenue
20300-20349	023	New York Interest on Lawyer Account Fund	Special Revenue
20350-20399	024	NYS Archives Partnership Trust Fund	Special Revenue
20400-20449	025	Child Performer's Protection Fund	Special Revenue
20450-20499	050	Tuition Reimbursement Fund	Special Revenue
20500-20549	052	NYS Local Government Records Management Improvement Fund	Special Revenue
20550-20599	053	School Tax Relief Fund	Special Revenue
20600-20649	054	Charter Schools Stimulus Fund	Special Revenue
20650-20699	055	Not-For-Profit Short-Term Revolving Loan Fund	Special Revenue
20800-20849	061	Health Care Reform Act (HCRA) Resources Fund	Special Revenue
20850-20899	073	Dedicated Mass Transportation Trust Fund	Special Revenue
20900-20949	160	State Lottery Fund	Special Revenue
20950-20999	221	Combined Student Loan Fund	Special Revenue
21000-21049	300	Sewage Treatment Program Management and Administration Fund	Special Revenue
21050-21149	301	Environmental Conservation Special Revenue Fund	Special Revenue
21150-21199	302	Conservation Fund	Special Revenue
21200-21249	303	Environmental Protection and Oil Spill Compensation Fund	Special Revenue
21250-21299	305	Training and Education Program on Occupational Safety and Health Fund	Special Revenue
21300-21349	306	Lawyers' Fund For Client Protection	Special Revenue
21350-21399	307	Equipment Loan Fund for the Disabled	Special Revenue
21400-21449	313	Mass Transportation Operating Assistance Fund	Special Revenue
21450-21499	314	Clean Air Fund	Special Revenue
21500-21549	318	New York State Infrastructure Trust Fund	Special Revenue
21550-21599	321	Legislative Computer Services Fund	Special Revenue
21600-21649	328	Biodiversity Stewardship and Research Fund	Special Revenue
21650-21699	332	Combined Non-Expendable Trust Fund	Special Revenue
21700-21749	333	Winter Sports Education Trust Fund	Special Revenue
21750-21799	335	Musical Instrument Revolving Fund	Special Revenue
21850-21899	338	Arts Capital Revolving Fund	Special Revenue
21900-22499	339	Miscellaneous Special Revenue Account	Special Revenue
22500-22549	340	Court Facilities Incentive Aid Fund	Special Revenue
22550-22599	341	Employment Training Fund	Special Revenue
22650-22699	345	State University Income Fund	Special Revenue
22700-22749	346	Chemical Dependence Service Fund	Special Revenue
22750-22799	349	Lake George Park Trust Fund	Special Revenue
22800-22849	354	State Police Motor Vehicle Law Enforcement and Motor Vehicle Theft and Insurance Fraud Prevention Fund	Special Revenue
22850-22899	355	New York Great Lakes Protection Fund	Special Revenue
22900-22949	359	Federal Revenue Maximization Fund	Special Revenue
22950-22999	360	Housing Development Fund	Special Revenue
23000-23049	362	NYS DOT Highway Safety Program Fund	Special Revenue
23050-23099	365	Vocational Rehabilitation Fund	Special Revenue
23100-23149	366	Drinking Water Program Management and Administration Fund	Special Revenue
23150-23199	368	New York City County Clerks' Operations Offset Fund	Special Revenue
23200-23249	369	Judiciary Data Processing Offset Fund	Special Revenue
23250-23449	377	IFR/City University Tuition Fund	Special Revenue
23500-23549	385	US Olympic Committee/Lake Placid Olympic Training Fund	Special Revenue
23550-23599	390	Indigent Legal Services Fund	Special Revenue
23600-23649	482	Unemployment Insurance Interest and Penalty Fund	Special Revenue
23650-23699	225	Metropolitan Transportation Authority (MTA) Financial Assistance Fund	Special Revenue
23700-23749		Commercial Gaming Revenue Fund	Special Revenue

STATE OF NEW YORK				APPENDIX
LIST OF JOINT CUSTODY FUNDS - CLASSIFIED BY OSC				
SFS FUND RANGE	CAS FUND NUMBER	FUND NAME	FUND CLASSIFICATION	
23750-23799		Medical Marihuana Trust Fund	Special Revenue	
23800-23899		Dedicated Miscellaneous Special Revenue Account	Special Revenue	
24850-24899		Health Care Transformation Fund	Special Revenue	
24900-24949		Charitable Gifts Trust Fund	Special Revenue	
24950-24999		Interactive Fantasy Sports Fund	Special Revenue	
25000-25099	261	Federal USDA-Food and Nutrition Services Fund	Special Revenue	
25100-25199	265	Federal Health and Human Services Fund	Special Revenue	
25200-25249	267	Federal Education Fund	Special Revenue	
25300-25899	290	Federal Miscellaneous Operating Grants Fund	Special Revenue	
25900-25949	480	Federal Unemployment Insurance Administration Fund	Special Revenue	
25950-25999	484	Federal Unemployment Insurance Occupational Training Fund	Special Revenue	
26000-26049	486	Federal Employment and Training Grants Fund	Special Revenue	
40350-40399	330	State University Dormitory Income Fund	Special Revenue	
30000-30049	002	State Capital Projects Fund	Capital Projects	
30050-30099	072	Dedicated Highway and Bridge Trust Fund	Capital Projects	
30100-30299	074	SUNY Residence Halls Rehabilitation and Repair Fund	Capital Projects	
30300-30349	075	NYS Canal System Development Fund	Capital Projects	
30350-30399	076	State Park Infrastructure Fund	Capital Projects	
30400-30449	077	Passenger Facility Charge Fund	Capital Projects	
30450-30499	078	Environmental Protection Fund	Capital Projects	
30500-30549	079	Clean Water/Clean Air Implementation Fund	Capital Projects	
30600-30609	101	Energy Conservation Thru Improved Transportation Bond Fund	Capital Projects	
30610-30619	103	Park and Recreation Land Acquisition Bond Fund	Capital Projects	
30620-30629	105	Pure Waters Bond Fund	Capital Projects	
30630-30639	109	Transportation Capital Facilities Bond Fund	Capital Projects	
30640-30649	115	Environmental Quality Protection Fund	Capital Projects	
30650-30659	121	Rebuild and Renew New York Transportation Bond Fund	Capital Projects	
30660-30669	123	Transportation Infrastructure Renewal Bond Fund	Capital Projects	
30670-30679	124	Environmental Quality Bond Act Fund	Capital Projects	
30680-30689	126	Accelerated Capacity and Transportation Improvements Bond Fund	Capital Projects	
30690-30699	127	Clean Water/Clean Air Bond Fund	Capital Projects	
30700-30709	119	State Housing Bond Fund	Capital Projects	
30710-30719		Smart Schools Bond Fund	Capital Projects	
30750-30799	106	Outdoor Recreation Development Bond Fund	Capital Projects	
30900-30949	118	Rail Preservation and Development Bond Fund	Capital Projects	
31350-31449	291	Federal Capital Projects Fund	Capital Projects	
31450-31499	310	Forest Preserve Expansion Fund	Capital Projects	
31500-31549	312	Hazardous Waste Remedial Fund	Capital Projects	
31650-31699	327	Suburban Transportation Fund	Capital Projects	
31700-31749	357	Division for Youth Facilities Improvement Fund	Capital Projects	
31800-31849	374	Housing Assistance Fund	Capital Projects	
31850-31899	376	Housing Program Fund	Capital Projects	
31900-31949	378	Natural Resource Damages Fund	Capital Projects	
31950-31999	380	Department of Transportation Engineering Services Fund	Capital Projects	
32200-32249	387	Miscellaneous Capital Projects Fund	Capital Projects	
32250-32299	388	City University of New York Capital Projects Fund	Capital Projects	
32300-32349	389	Mental Hygiene Facilities Capital Improvement Fund	Capital Projects	
32350-32399	399	Correctional Facilities Capital Improvement Fund	Capital Projects	
32400-32999	384	State University Capital Projects Fund	Capital Projects	
33000-33049		New York State Storm Recovery Capital Fund	Capital Projects	
33050-33099		Dedicated Infrastructure Investment Fund	Capital Projects	
40000-40049	064	Debt Reduction Reserve Fund	Debt Service	
40100-40149	304	Mental Health Services Fund	Debt Service	
40150-40199	311	General Debt Service Fund	Debt Service	
40250-40299	316	Housing Debt Fund	Debt Service	
40300-40349	319	Department of Health Income Fund	Debt Service	
40400-40449	361	Clean Water/Clean Air Fund	Debt Service	
40450-40499	364	Local Government Assistance Tax Fund	Debt Service	
50000-50049	324	Youth Commissary Account	Enterprise	
50050-50099	325	State Exposition Special Account	Enterprise	
50100-50299	326	Correctional Services Commissary Account	Enterprise	
50300-50399	331	Agencies Enterprise Fund	Enterprise	

STATE OF NEW YORK LIST OF JOINT CUSTODY FUNDS - CLASSIFIED BY OSC			APPENDIX
SFS FUND RANGE	CAS FUND NUMBER	FUND NAME	FUND CLASSIFICATION
50400-50449	351	Office of Mental Health Sheltered Workshop Fund	Enterprise
50450-50499	352	Office for Persons with Developmental Disabilities Sheltered Workshop Fund	Enterprise
50500-50599	353	Mental Hygiene Community Stores Account	Enterprise
50650-50699	481	Unemployment Insurance Benefit Fund	Enterprise
55000-55049	323	Centralized Services Account	Internal Service
55050-55099	334	Agencies Internal Service Account	Internal Service
55100-55149	343	Mental Hygiene Revolving Account	Internal Service
55150-55199	347	Youth Vocational Education Account	Internal Service
55200-55249	394	Joint Labor/Management Administration Account	Internal Service
55250-55299	395	Audit and Control Revolving Account	Internal Service
55300-55349	396	Health Insurance Revolving Account	Internal Service
55350-55399	397	Correctional Industries Revolving Account	Internal Service
60050-60149	130	School Capital Facilities Financing Reserve Fund	Agency
60150-60199	135 136 137	Child Performer's Holding Fund	Agency
60200-60249	152	Employees Health Insurance Fund	Agency
60250-60299	153	Social Security Contribution Fund	Agency
60300-60399	154	Payroll Deduction Escrow Fund	Agency
60400-60449	162	Employees Dental Insurance Fund	Agency
60450-60499	163	Management Confidential Group Insurance Fund	Agency
60500-60549	165	Lottery Prize Fund	Agency
60550-60599	167	Health Insurance Reserve Receipts Fund	Agency
60600-60799	169	Miscellaneous NYS Agency Fund	Agency
60800-60849	175	EPIC Escrow Fund	Agency
60850-60899	176	CUNY Senior College Operating Fund	Agency
60900-60949	179	MMIS Statewide Escrow Fund	Agency
60950-60999	309	Special Education Fund	Agency
61000-61099	344	State University New York Revenue Collection Fund	Agency
61100-61999	382	State University Federal Direct Lending Program Fund	Agency
62000-62049		SSP SSI Payment Escrow Fund	Agency
65000-65049	400	Common Retirement Fund	Pension Trust
66000-66049	021	Agriculture Producers' Security Fund	Private Purpose Trust
66050-66099	022	Milk Producers' Security Fund	Private Purpose Trust

# STATE OF NEW YORK FUND STRUCTURE

