



FY 2019 Enacted Budget Financial Plan

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Introduction

Introduction

The Enacted Budget Financial Plan for Fiscal Year (FY) 2019 ("the Enacted Budget Financial Plan" or "Financial Plan") summarizes the State of New York's official Financial Plan projections for FY 2019 through FY 2022. The State's FY 2019 began on April 1, 2018 and ends on March 31, 2019.

Factors affecting the State's financial condition are complex. This Financial Plan contains "forward-looking statements" relating to future results and economic performance as defined in the Private Securities Litigation Reform Act of 1995. Since many factors may materially affect fiscal and economic conditions in the State, inclusion in this Financial Plan of forecasts, projections, and estimates should not be regarded as a representation that such forecasts, projections, and estimates *will* occur. The forward-looking statements contained herein are based on the State's expectations at the time they were prepared and are necessarily dependent upon assumptions, estimates and data that it believes are reasonable as of the date made, but that may be incorrect, incomplete, imprecise or not reflective of actual results. Forecasts, projections, and estimates are not intended as representations of fact or guarantees of results. The words "expects", "forecasts", "projects", "intends", "anticipates", "estimates", "assumes" and analogous expressions are intended to identify forward-looking statements in this Financial Plan. Any such statements are inherently subject to a variety of risks and uncertainties that could cause actual results to differ materially and adversely from projections. Such risks and uncertainties include, but are not limited to, general economic and business conditions; changes in political, social, economic, and environmental conditions, including climate change and extreme weather events; impediments to the implementation of gap-closing actions; regulatory initiatives and compliance with governmental regulations; litigation; Federal tax law changes; actions by the Federal government to reduce or disallow expected aid, including Federal aid authorized or appropriated by Congress but subject to sequestration, administrative actions, or other actions that would reduce aid to the State; and various other events, conditions and circumstances. Many of these risks and uncertainties are beyond the control of the State. These forward-looking statements are based on the State's expectations as of the date of this Financial Plan.

Budgetary and Accounting Practices

Unless clearly noted otherwise, all financial information in this Financial Plan is presented on a cash basis of accounting.

The State's **General Fund** receives most State tax receipts and all income not earmarked for a specified program or activity. State law requires the Governor to submit, and the Legislature to enact, a General Fund budget that is balanced. The General Fund is balanced using the cash basis of accounting. The State Constitution and State Finance Law do not provide a precise definition of budget balance. In practice, the General Fund is considered balanced if sufficient resources are, or are expected to be, available during the fiscal year for the State to: (a) make all planned payments, including Personal Income Tax (PIT) refunds, without the issuance of deficit notes or bonds, or extraordinary cash management actions, (b) restore the balances in the Tax Stabilization Reserve and Rainy Day Reserve to levels at or above the levels on deposit when the fiscal year began, and (c) maintain other reserves, as required by law. For purposes of calculating budget balance, the General Fund includes transfers to and from other funds.

The General Fund is the sole financing source for the School Tax Relief (STAR) fund, and is typically the financing source of last resort for the State's other major funds, which include the Health Care Reform Act (HCRA) funds, the Dedicated Highway and Bridge Trust Fund (DHBTF), and the Lottery Fund. Therefore, the General Fund projections account for any estimated funding shortfalls in these funds. Since the General Fund is required by law to be balanced, focus of the State's budgetary and gap-closing discussion in this Financial Plan is generally weighted toward the General Fund.

From time to time, the Division of the Budget (DOB) will informally designate unrestricted balances in the General Fund for specific policy goals (e.g., payment of costs related to potential labor contracts covering prior contract periods). These amounts are typically, but not uniformly, identified with the phrase "reserved for," are not held in distinct accounts within the General Fund, and may be used for other purposes.

State Operating Funds is a broader measure of spending for operations (as distinct from capital purposes) that is funded with State resources. It includes financial activity in the General Fund, as well as State-funded Special Revenue Funds and Debt Service Funds (spending from capital project funds and Federal funds is excluded). As a significant amount of financial activity occurs in funds outside the General Fund, State Operating Funds is, in DOB's view, a more comprehensive measure of State-funded activities for operating purposes that are funded with State resources (e.g., taxes, assessments, fees and tuition). The State Operating Funds perspective eliminates certain distortions in operating activities that may be caused by, among other things, the State's complex fund structure, transfer of money among funds, and accounting of disbursements against appropriations in different funds. For example, the State funds its share of the Medicaid program from both the General Fund and HCRA funds, the latter being State Special Revenue Funds. The State Operating Funds perspective captures Medicaid disbursements from both fund types, giving a more complete accounting of State-funded Medicaid disbursements. For such reasons, discussion of disbursement projections often emphasizes the State Operating Funds perspective.

The State's adherence to a 2 percent annual spending growth benchmark is calculated on the State Operating Funds basis, using the cash basis of accounting.

As described later, the Financial Plan reflects actions that have affected, or are intended to affect, the amount of annual spending accounted for in the State Operating Funds basis of reporting. These include but are not limited to: realignment of certain operating costs to the capital budget to provide greater consistency in reporting across all agencies and a more accurate accounting of the overall capital budget; payment of certain operating costs using available resources in accounts outside the State Operating Funds basis of reporting; restructuring of the STAR program such that spending for certain benefits is provided instead as a tax credit consistent with other State tax credits; appropriation of certain operating costs for the Department of Transportation (DOT) and Department of Motor Vehicles (DMV) from the General Fund instead of the DHBTF, a change which will increase reported disbursements from State Operating Funds; and an amendment to the enabling statute for the Payroll Mobility Tax (PMT) to no longer require that receipts due to the Metropolitan Transportation Authority (MTA) be appropriated by the State Legislature, a change which is intended to improve credit quality of MTA bonds that may be secured by the PMT, and has the effect of lowering State Operating Funds receipts and disbursements. In general, if these and other transactions are not executed or reported consistent with the intent of enabling legislation, annual spending growth in State Operating Funds would be higher than current projections. In addition, the State has approved measures in prior years that have affected the amount of spending accounted for in the State Operating Funds perspective, including payment of the STAR benefit for new homeowners in the form of a tax credit rather than a disbursement beginning in FY 2018.

The State also reports disbursements and receipts activity for **All Governmental Funds** (All Funds), which includes spending from Capital Projects Funds and State and Federal operating funds, providing the most comprehensive view of the cash-basis financial operations of the State. The State accounts for receipts and disbursements by the fund in which the activity takes place (such as the General Fund), and the broad category or purpose of that activity (such as State Operations). The Financial Plan tables present State projections and results by fund and category.

Fund types of the State include: the General Fund; State Special Revenue Funds, which receive certain dedicated taxes, fees and other revenues used for a specified purpose; Federal Special Revenue Funds, which receive certain Federal grants; State and Federal Capital Projects Funds, which account for costs incurred in the construction, maintenance, and rehabilitation of roads, bridges, prisons, university facilities, and other infrastructure projects; and Debt Service Funds, which account for the payment of principal, interest, and related expenses for debt issued by the State and on the State's behalf by its public authorities.

State Finance Law also requires DOB to prepare a pro forma financial plan using, to the extent practicable, generally accepted accounting principles (GAAP). The GAAP-basis financial plan is informational only. It is not used by DOB as a benchmark for managing State finances during the fiscal year, nor updated on a quarterly basis. The GAAP-basis financial plan follows, to the extent practicable, the accrual methodologies and fund accounting rules applied by the Office of the State

Comptroller (OSC) in preparation of the audited Basic Financial Statements, but there can be no assurance that the pro forma GAAP Financial Plan conforms to all GAAP principles.

Financial Plan projections for future years may show budget gaps or budget surpluses in the General Fund. Budget gaps represent the difference between: (a) projected General Fund disbursements, including transfers to other funds, needed to maintain current services levels and specific commitments, and (b) projected level of resources, including transfers from other funds, to pay for these disbursements. General Fund projections are based on many assumptions and are developed by the DOB in conjunction with other State agencies. Some projections are based on specific, known information (e.g., a statutory requirement to increase payments to a prescribed level), while others are based on more uncertain or speculative information (e.g., the pace at which a new program will enroll recipients). In general, the Financial Plan assumes that money appropriated in one fiscal year will continue to be appropriated in future years, even for programs that were not created in permanent law and that the State has no obligation to fund. Funding levels for nearly all State programs are reviewed annually, considering the current and projected fiscal position of the State.

Financial Plan projections for FY 2020 and thereafter reflect savings that DOB estimates would be realized if the Governor continues to propose, and the Legislature continues to enact, balanced budgets that limit annual growth in State Operating Funds spending, as State Operating Funds is currently constituted in this Financial Plan, to no greater than 2 percent. Total disbursements in the Financial Plan tables and narrative contained in this Financial Plan do not reflect these assumed savings, which are reflected instead on a distinct line and labeled “Adherence to 2% Spending Benchmark.” Financial Plan projections are subject to many risks and uncertainties, as well as future budgetary decisions and other factors that are currently unknown. If the 2 percent annual State Operating Funds spending growth benchmark is not adhered to, projected budget gaps would be higher (or projected surpluses would be lower).

Differences may occur from time to time between the State's Financial Plan and OSC's financial reports in the presentation and reporting of receipts and disbursements. For example, the Financial Plan may reflect a net expenditure amount while OSC may report the gross amount of the expenditure. In addition, OSC may choose to change its accounting treatment of certain transactions from one fiscal year to the next, without restating results for comparability. Any such differences in reporting between DOB and OSC occur could result in differences in the presentation and reporting of receipts and disbursements for discrete funds, as well as differences in the presentation and reporting for total receipts and disbursements under different fund perspectives (e.g., State Operating Funds and total All Governmental Funds).



Overview of the FY 2019 Enacted Budget Financial Plan



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Financial Plan At-A-Glance: Key Measures

The following table provides certain Financial Plan information for FY 2018 and FY 2019.

FINANCIAL PLAN AT-A-GLANCE: KEY MEASURES (millions of dollars)				
	FY 2018		FY 2019	
	Revised ¹	Results	Executive Amended ²	Enacted
State Operating Funds Disbursements				
Size of Budget	\$98,126	\$98,151	\$99,977	\$100,135
Annual Growth	2.0%	2.0%	1.9%	2.0%
Other Disbursement Measures				
General Fund (Excluding Transfers) ³	\$60,343	\$59,872	\$62,890	\$70,361
Annual Growth	4.1%	3.2%	5.0%	17.5%
General Fund (Including Transfers) ^{3,4}	\$70,023	\$69,724	\$74,232	\$76,601
Annual Growth	2.9%	2.4%	6.5%	9.9%
Capital Budget (Federal and State)	\$12,675	\$10,640	\$14,490	\$14,474
Annual Growth	24.8%	4.8%	36.2%	36.0%
Federal Operating Aid	\$53,636	\$54,953	\$53,718	\$55,673
Annual Growth	5.9%	8.5%	-2.2%	1.3%
All Funds ⁵	\$164,437	\$163,744	\$168,185	\$170,282
Annual Growth	4.7%	4.3%	2.7%	4.0%
Capital Budget (Including "Off-Budget" Capital ⁶)	\$13,359	\$11,284	\$15,165	\$15,146
Annual Growth	24.4%	5.1%	34.4%	34.2%
All Funds (Including "Off-Budget" Capital ⁶)	\$165,121	\$164,388	\$168,860	\$170,954
Annual Growth	4.8%	4.3%	2.7%	4.0%
Inflation (CPI)	2.0%	2.1%	2.2%	2.3%
All Funds Receipts				
Taxes	\$78,952	\$79,266	\$77,429	\$77,923
Annual Growth	6.2%	6.6%	-2.3%	-1.7%
Miscellaneous Receipts	\$27,829	\$27,262	\$27,899	\$28,005
Annual Growth	4.6%	2.5%	2.3%	2.7%
Federal Receipts (Operating and Capital)	\$57,777	\$58,942	\$57,878	\$60,083
Annual Growth	4.3%	6.4%	-1.8%	1.9%
Total Receipts ⁵	\$164,558	\$165,470	\$163,206	\$166,011
Annual Growth	5.2%	5.8%	-1.4%	0.3%
General Fund Cash Balance	<u>\$9,167</u>	<u>\$9,445</u>	<u>\$5,120</u>	<u>\$5,504</u>
Tax Stabilization/Rainy Day Reserve	\$1,798	\$1,798	\$1,798	\$1,798
Extraordinary Monetary Settlements	\$4,749	\$5,020	\$2,646	\$3,013
All Other Reserves/Fund Balances	\$2,620	\$2,627	\$676	\$693
Debt				
Debt Service as % All Funds Receipts	3.9%	4.0%	3.5%	3.3%
State-Related Debt Outstanding	\$51,970	\$51,662	\$55,182	\$54,964
Debt Outstanding as % Personal Income	4.3%	4.3%	4.3%	4.3%
State Workforce FTEs (Subject to Direct Executive Control) - All Funds	118,512	117,397	118,705	118,868

¹ FY 2018 "Revised" estimates reflect the estimates in the FY 2019 Executive Budget, as amended.

² The annual percentage change calculations in the FY 2019 "Executive Amended" column have been updated to reflect FY 2018 results.

³ FY 2019 Enacted Budget for the General Fund, with and without transfers, excludes the reclassification to the General Fund of mental hygiene funds from Special Revenue Funds, and certain DOT and DMV operating expenses from the Dedicated Highway and Bridge Trust Fund.

⁴ Annual growth includes the planned transfer of Extraordinary Monetary Settlements from the General Fund to other funds.

⁵ All Funds disbursements are expected to exceed receipts (including other financing sources) in FY 2019 with the difference funded from other available resources, including Extraordinary Monetary Settlements and GO bond proceeds used to reimburse planned first instance capital spending.

⁶ Represents capital spending that occurs outside the All Funds budget financed directly from State-supported bond proceeds held by public authorities.

Summary

FY 2018 Operating Results (Preliminary and Unaudited)

The State's General Fund ended FY 2018 in balance on a cash basis. General Fund receipts, including transfers from other funds, totaled \$71.4 billion. General Fund disbursements, including transfers to other funds, totaled \$69.7 billion. The General Fund closing balance was \$9.4 billion as of March 31, 2018, an increase of \$1.7 billion from FY 2017 results. The higher balance is due to acceleration of an estimated \$1.9 billion in PIT payments as taxpayers responded to the \$10,000 limit on State and Local Tax (SALT) deductibility of income and property taxes enacted by Congress and effective for tax year 2018¹. The State paid \$500 million more in PIT refunds than were budgeted in the Financial Plan. This reduces by an equal amount the refunds that would otherwise need to be paid in FY 2019. In addition, the State paid \$594 million in debt service during FY 2018 that was due in FY 2019.

State Operating Funds disbursements totaled \$98.2 billion, an increase of 2 percent from FY 2017, consistent with the spending benchmark established by the current administration. As in prior years, DOB managed cash disbursements, including payment of expenses due in FY 2019, to maintain annual growth in FY 2018 as close as possible to the spending benchmark. See "FY 2018 Operating Results," herein.

FY 2019 Executive Budget Proposal

In developing the Executive Budget proposal for FY 2019, DOB estimated that the State faced a budget gap² of approximately \$4.4 billion for FY 2019. The Governor submitted the FY 2019 Executive Budget proposal to the Legislature on January 16, 2018, and amendments to it on February 16, 2018, in accordance with statutory requirements. DOB estimated that, if enacted without modification, the Executive Budget proposal would have provided for balanced operations in the General Fund, as required by law, and limited annual growth in State Operating Funds spending to 2 percent.

¹ DOB estimates that approximately \$1.9 billion in tax receipts were accelerated from tax year 2018 to 2017 due to this behavioral response. The acceleration is expected to result in an identical reduction in PIT receipts in FY 2019. Accordingly, the increase in cash in FY 2018 that DOB attributes to the one-time acceleration of PIT receipts is expected to be used in FY 2019 to offset the anticipated corresponding loss of PIT receipts.

² Budget gaps represent the difference between (a) the projected General Fund disbursements, including transfers to other funds, needed to maintain anticipated service levels and specific commitments, and (b) the expected level of resources to pay for them. The estimates are based on many assumptions and projections developed by the DOB in consultation with State agencies.



Overview of the FY 2019 Enacted Budget Financial Plan

FY 2019 Enacted Budget

On March 30, 2018, the Legislature voted to approve the appropriations and accompanying legislation that comprise the FY 2019 Budget. Consistent with past practice, the Legislature enacted the annual debt service appropriations on March 22, 2018 in advance of other appropriations. The Governor completed his review of all FY 2019 budget bills, including exercising his line-item veto of certain appropriations added by the Legislature, on April 13, 2018.

During budget negotiations, the Executive and Legislature agreed to \$1.1 billion of new costs for General Fund additions, spending restorations, and tax law changes to the Executive Budget proposal.³ The Enacted Budget Financial Plan includes savings and resources in FY 2019 that DOB estimates will be sufficient to fund the negotiated additions, restorations, and tax law changes to the Executive Budget, as well as to fully cover the new costs. See “FY 2019 General Fund Financial Plan – Changes to the Executive Budget,” herein.

DOB estimates that the FY 2019 Enacted Budget (the “Enacted Budget”) is balanced on a cash basis in the General Fund, as required by law, and limits the expected annual growth in State Operating Funds spending to 2 percent.⁴ Enacted Budget projections reflect changes to the Executive Budget proposal made during negotiations, updated data on economic activity and tax receipts, and operating results for FY 2018. See “FY 2019 Financial Plan” and “FY 2019 Detailed Gap-Closing Plan,” herein.

³ Additions represent distinct new spending added to the Executive Budget proposal. Restorations are costs from the rejection of certain savings proposals contained in the Executive Budget.

⁴ As State Operating Funds is currently constituted, including changes enacted with the FY 2019 Budget that effect the calculation of annual spending. See “State Operating Funds – Highlights of Annual Spending Change,” herein.

Overview of the FY 2019 Enacted Budget Financial Plan



The following table summarizes the multi-year impact of the Enacted Budget on General Fund operations. The table begins with the initial “base level” budget gaps (i.e., the gaps projected prior to the Executive Budget⁵ and ends with the Enacted Budget surplus/(gap) projections.

GENERAL FUND BUDGETARY BASIS SURPLUS/(GAP) PROJECTIONS				
FY 2019 ENACTED BUDGET				
GAP-CLOSING PLAN				
(millions of dollars)				
	FY 2019	FY 2020	FY 2021	FY 2022
INITIAL BUDGET SURPLUS/(GAP) ESTIMATE¹	(4,443)	(6,385)	(8,053)	(8,413)
Spending Changes	1,951	1,010	607	925
Local Assistance	1,242	1,479	1,056	1,540
Agency Operations	68	(79)	(146)	(280)
Debt Management/Capital Projects	557	(55)	8	(16)
FY 2018 Payment of FY 2019 Expenses	594	0	0	0
Legislative Initiatives ²	(510)	(335)	(311)	(319)
Resource Changes	1,887	743	279	435
Revenue Actions	605	605	221	96
ENACTED BUDGET SURPLUS/(GAP) ESTIMATE¹	0	(4,027)	(6,946)	(6,957)
Adherence to 2% Spending Benchmark³	0	3,247	5,548	6,470
ENACTED BUDGET SURPLUS/(GAP) ESTIMATE AT 2%	0	(780)	(1,398)	(487)

¹ Before actions to adhere to the 2 percent benchmark.

² Includes distinct new spending additions to the Executive Budget agreed to during negotiations. Restorations to Executive Budget proposals are reflected in the appropriate "Spending Changes" and "Revenue Actions" categories.

³ Savings estimated from limiting annual spending growth in future years to 2 percent (calculation based on FY 2018 unaudited results), assuming that the Governor continues to propose, and the Legislature continues to enact, a Budget in each fiscal year that restricts State Operating Funds spending growth to 2 percent. The "Enacted Budget Surplus/(Gap) Estimate at 2%" calculation assumes that all savings from holding spending growth to 2 percent are made available to the General Fund.

The Enacted Budget Financial Plan projects potential General Fund budget gaps of \$4.0 billion in FY 2020, \$6.9 billion in FY 2021, and \$7.0 billion in FY 2022. The outyear gaps are affected by the expiration of the top PIT rate of 8.82 percent at the end of 2019, which is scheduled to drop to 6.85 percent absent legislative action. DOB estimates that if future budgets hold spending growth to 2 percent annually in State Operating Funds, the General Fund would have budget gaps of \$780 million in FY 2020, \$1.4 billion in FY 2021, and \$487 million in FY 2022. These calculations assume that all savings from the reductions in spending are made available to the General Fund. The spending benchmark is calculated using the cash basis of accounting, as described elsewhere in this Enacted Budget Financial Plan, and based on the current composition of the State Operating Funds.

⁵ Projected as of the FY 2018 Mid-Year Update, dated November 2017.

Annual Spending Growth

DOB estimates spending in State Operating Funds will grow at 2 percent from FY 2018 to FY 2019, consistent with the 2 percent spending growth benchmark. The table below illustrates major sources of annual change in State spending by major program, purpose and fund perspective.

STATE SPENDING MEASURES (millions of dollars)				
	FY 2018 Results	FY 2019 Enacted	Annual Change	
			\$	%
LOCAL ASSISTANCE	65,604	66,752	1,148	1.7%
School Aid (School Year Basis)	25,639	26,553	914	3.6%
DOH Medicaid ¹	19,143	20,358	1,215	6.3%
Transportation ²	5,025	3,961	(1,064)	-21.2%
STAR ³	2,589	2,459	(130)	-5.0%
Social Services	2,837	2,972	135	4.8%
Higher Education	2,833	3,065	232	8.2%
Mental Hygiene	2,350	2,257	(93)	-4.0%
All Other ⁴	5,188	5,127	(61)	-1.2%
STATE OPERATIONS/GENERAL STATE CHARGES	26,674	28,001	1,327	5.0%
State Operations	18,821	19,459	638	3.4%
Personal Service:	13,170	13,542	372	2.8%
Executive Agencies	7,314	7,375	61	0.8%
DOT/DMV Operations Reclassification	0	167	167	0.0%
University Systems	3,806	3,921	115	3.0%
Elected Officials	2,050	2,079	29	1.4%
Non-Personal Service:	5,651	5,917	266	4.7%
Executive Agencies	2,690	2,817	127	4.7%
DOT/DMV Operations Reclassification	0	115	115	0.0%
University Systems	2,377	2,382	5	0.2%
Elected Officials	584	603	19	3.3%
General State Charges	7,853	8,542	689	8.8%
Pension Contribution	2,442	2,469	27	1.1%
Health Insurance	3,963	4,286	323	8.2%
Other Fringe Benefits/Fixed Costs	1,448	1,787	339	23.4%
DEBT SERVICE	5,873	5,382	(491)	-8.4%
TOTAL STATE OPERATING FUNDS	98,151	100,135	1,984	2.0%
Capital Projects (State and Federal Funds)	10,640	14,474	3,834	36.0%
Federal Operating Aid	54,953	55,673	720	1.3%
TOTAL ALL GOVERNMENTAL FUNDS	163,744	170,282	6,538	4.0%

¹ Total State share Medicaid funding excludes Master Settlement Agreement (MSA) payments to the State that will be deposited directly to the Medicaid Management Information System (MMIS) Escrow Fund to defray the cost of the State's takeover of Medicaid costs for counties and New York City.

² The FY 2019 Budget provides that the PMT will be remitted to MTA without an appropriation beginning in FY 2019.

³ The FY 2018 Enacted Budget converted the New York City Personal Income Tax (PIT) rate reduction benefit to a nonrefundable State PIT credit. This change has no impact on STAR benefits received by homeowners; it will decrease reported disbursements for STAR and decrease reported PIT receipts by an identical amount. See "STAR Program" in "State Financial Plan Projections Fiscal Years 2018 Through 2022" herein.

⁴ "All Other" includes a reconciliation between school year and State fiscal year spending for School Aid. On a State fiscal year basis, School Aid is estimated to total \$26.5 billion in FY 2019, an increase of \$1 billion from FY 2018. It also includes the portion of the State's takeover of Medicaid costs for counties and New York City that will be funded from MSA payments deposited directly to the MMIS Escrow Fund (\$435 million in FY 2019) and therefore reduces reported disbursements. Lastly, it includes spending for public health, other education, local government assistance, parks, environment, economic development, and public safety.

State Operating Funds — Highlights of Annual Spending Change

A significant amount of spending that occurs in State Operating Funds is supported with resources generated in exchange for services provided by State entities. Examples include SUNY operations that are funded with tuition, patient and fee revenue, and regulatory activities by the Workers' Compensation Board (WCB) and Department of Financial Services (DFS) that are funded with industry assessments. In addition, other spending is supported with revenues that are used exclusively, or nearly exclusively, for dedicated purposes, such as the various taxes collected and disbursed to the MTA and other transit systems from the Metropolitan Mass Transportation and public transportation funds. These “own-source” revenues contribute more than \$400 million in spending growth from FY 2018 to FY 2019. These activities have no direct bearing on the State’s ability to maintain a balanced budget in the General Fund.

In addition, spending growth in recent years reflects the State’s effort to address long-standing public policy issues. For example, in FY 2015, the State absorbed the full cost of growth in Medicaid on behalf of local governments, creating the most significant mandate relief initiative for counties in decades. The State takeover of local government Medicaid costs had been proposed as early as 1991, followed by many subsequent unsuccessful attempts. Secondly, in FY 2017, the State enacted a law that provides for predictable increases in the minimum wage. In 1970, the State’s minimum wage was \$1.85. Over the following 45 years, the State increased the minimum wage sporadically, with long periods of no change. By 2013, in inflation-adjusted dollars, the value of the State’s minimum wage had fallen to about 65 percent of its 1970 value. In FY 2019, State Operating Funds spending increases by over \$900 million, reflecting the incremental cost of the local Medicaid growth takeover, and nearly \$800 million for the direct cost of the minimum wage increase on health care providers.

Local Assistance

Medicaid and School Aid are the State’s largest local aid programs, comprising approximately 45 percent of State Operating Funds spending. In SY 2019, School Aid is expected to total \$26.6 billion, an increase of \$914 million (3.6 percent), including a \$618 million increase in Foundation Aid.⁶ Medicaid spending subject to the Global Cap will grow at the indexed rate of 3.2 percent to \$18.9 billion. In total, Medicaid spending that is funded from State resources will increase to \$20.4 billion, the takeover of local Medicaid growth costs (\$182 million growth), the cost of minimum wage (\$448 million growth), and other spending outside the Global Cap.

In FY 2018, bonds secured by annual payments from tobacco manufactures under the Master Settlement Agreement (MSA) were retired, with no remaining debt service requirements to be paid on these bonds. Thus, DOB expects payments under the MSA of approximately \$435 million to be available in FY 2019 (including \$103 million from FY 2018) and additional payments to be available in subsequent years. Legislation included in the FY 2018 Enacted Budget directed these

⁶ Total education aid, including reimbursement for charter school supplemental tuition and facilities aid, is projected at \$26.7 billion, an increase of nearly \$1 billion (3.9 percent) from School Year (SY) 2018.



Overview of the FY 2019 Enacted Budget Financial Plan

payments be used to help defray costs of the State's takeover of Medicaid costs for counties and New York City. The State takeover, in which local Medicaid costs are capped permanently at 2015 calendar year levels, is expected to cost the State \$917 million in FY 2019 and \$1.1 billion in FY 2020. Consistent with State law, DOB expects MSA payments to be deposited directly to the Medicaid Management Information System (MMIS) Escrow Fund. The deposit mechanism has no impact on overall Medicaid spending funded with State resources, but does decrease by \$435 million reported State-supported Medicaid spending accounted for in FY 2019 State Operating Funds.

The Enacted Budget includes legislation dedicating \$836 million to the MTA's NYC Subway Action Plan. The Plan will provide \$508 million for operating purposes and \$328 million for capital purposes. The State and the City will each fund 50 percent of the Plan (\$418 million). The State will provide \$194 million in operating aid and \$60 million in accelerated PMT pass-through resources, which constitute the State's operating obligation consistent with the MTA's NYC Subway Action Plan to address system failures, breakdowns, delays and deteriorating customer service.

The PMT will no longer be appropriated annually by the State Legislature. Previously, the State collected the PMT on behalf of, and disbursed the entire amount to, the MTA. The Enacted Budget amended the enabling statute to no longer require the PMT to be appropriated annually by the State Legislature but instead paid directly to MTA from a sole custody fund. PMT revenue may now be pledged to MTA bondholders under any new credit structure without risk of non-appropriation. In addition, PMT receipts will be received by the MTA without delays or uncertainty related to the appropriation process. Consistent with this statutory change, the Financial Plan will no longer include PMT receipts and related local assistance disbursements. In FY 2019 the PMT receipts and disbursements that have been excluded are estimated at approximately \$1.5 billion and \$1.4 billion, respectively.

STAR spending in FY 2018 and FY 2019 is affected by the conversion of STAR benefits to State PIT credits. The conversion of STAR benefits to PIT credits has no impact on the value of the STAR benefits received by taxpayers. It does, however, decrease the level of reported PIT receipts and reported disbursements for STAR on a State Operating Funds basis by an identical amount (estimated at \$778 million in FY 2018 and \$862 million in FY 2019.)

Higher Education spending growth is due mainly to the second phase of the Excelsior Free Tuition Program and increased funding for other scholarships, which provide financial aid to approximately 400,000 students.

Local assistance spending reported in the Financial Plan is affected by the accounting treatment of State payments to the Sales Tax Asset Receivable Corporation (STARC). Pursuant to legislation enacted in FY 2017, New York City is remitting savings to the State from a 2014 refunding of STARC bonds, which are supported solely by the annual payment of State aid. The FY 2017 legislation specified that the money refunded from STARC could be received by the State as a miscellaneous receipt, or directed by the State to a State public authority to offset debt service costs on State-supported bonds. In the FY 2018 Enacted Budget, the Legislature authorized the money recouped from the STARC refunding to be treated as an offset to State spending by adding specific language

Overview of the FY 2019 Enacted Budget Financial Plan



to the STARC appropriation. The Financial Plan reflects the offset to spending in the calculation of FY 2019 State Operating Funds spending. In FY 2017 and FY 2018, the State accounted for the money as a miscellaneous receipt.

State Operations/Fringe Benefits

Spending for Executive agency operations is expected to increase by 1.9 percent in FY 2019, excluding the reclassification of certain DOT and DMV operating costs to the General Fund. Beginning in FY 2019, the Enacted Budget appropriates certain transportation operating costs from the General Fund instead of the DHBTF. These operating expenses were previously funded by a transfer from the General Fund to the DHBTF. The change, which will increase disbursements in State Operating Funds by nearly \$390 million in FY 2019, applies to operating costs related to snow and ice removal; bus, truck and rail inspection; and DMV regulatory activities.

Operations spending for the University Systems and elected officials, which include the Attorney General, Comptroller, Legislature and Judiciary, are expected to increase by 1.9 percent and 1.8 percent, respectively.

Spending growth for fringe benefits is due mainly to rising employee health care and prescription drug costs. The State continues to repay the State Retirement System for amounts amortized from FY 2011 through FY 2016. The payments were amortized to mitigate the extraordinary increase in annual contributions following investment of losses. The amortized payments are \$432 million in FY 2018 and in FY 2019.

Debt Service

Spending from Debt Service Funds is expected to decline, due mainly to the payment of FY 2019 debt service costs in FY 2018.

State Response to Federal Tax Law Changes

On December 22, 2017, President Trump signed into law the Tax Cuts and Jobs Act of 2017 (TCJA) (H.R. 1, P.L. 115-97), making major changes to the Federal Internal Revenue Code, most of which are effective in the 2018 tax year. The new Federal tax law makes extensive changes to Federal personal income taxes, corporate income taxes, and estate taxes.

The State's income tax system interacts with the Federal system in numerous ways. The changes to the Federal tax code will have significant flow-through effects on state tax burdens and state tax receipts. From the standpoint of individual New York State taxpayers, one of the most onerous provisions in the TCJA is a new \$10,000 limit on the deductibility of SALT payments. The TCJA's SALT cap provision represents a large increase in the State's effective tax rate relative to historical experience, and may adversely affect New York's economic competitiveness. For example, DOB estimates that, for taxpayers living in New York City who are subject to the State's top marginal tax rate, the SALT cap effectively increases the taxpayer's State and local income tax rate by an average of more than 5 percentage points. Moreover, the TCJA contains numerous provisions that may adversely affect residential real estate prices in New York State and elsewhere, of which the SALT cap is the most significant. These changes, which may intensify migration pressures and erode the value of home prices, pose risks to the State's tax base.

The FY 2019 Enacted Budget includes State tax reforms intended to mitigate issues arising from the Federal law, including decoupling many State tax provisions from the Federal changes, the creation of an optional payroll tax program, and establishing new State charitable giving vehicles, all of which are described below. The State is evaluating other tax law changes in response to the TCJA, including the feasibility of an unincorporated business tax.

Decoupling From Federal Tax Code

The State tax code is closely aligned in many respects with the Federal tax code. The FY 2019 Enacted Budget includes legislation that decouples the State tax code from the Federal tax code, where appropriate, to minimize roughly \$1 billion in State tax increases that would otherwise have been the result of the Federal tax changes. Those decoupled Federal changes, which would have affected the General Fund budget gaps projected in FY 2020 and beyond, include:

- **Federal Cap on SALT.** The TCJA capped the itemized deduction for SALT at \$10,000. The State tax code is updated to decouple from this cap, preventing a State tax increase from the linkage of this Federal cap to State income tax returns.
- **Federal Changes and Eliminations to Certain Deductions.** The State decoupled from new Federal limits on other deductions.
- **Temporary Federal Medical Expense Deduction Increase.** Federal changes impose a two-year increase in the itemized medical expense deduction, thereby lowering taxpayer liability. The State has not changed its tax code.

Overview of the FY 2019 Enacted Budget Financial Plan



- **Child Tax Credit.** Federal law changes the value of, and eligibility for, the child tax credit. The Empire State Child Tax Credit program will remain unchanged.
- **New York Single Filer Standard Deduction.** The Federal repeal of personal exemptions would have eliminated the ability of New York single-filer taxpayers to claim the standard deduction on their State tax returns. The FY 2019 Enacted Budget includes legislation to address this issue. Absent this legislation, New York State taxpayers would have been subject to an annual State tax increase of \$840 million, beginning in FY 2020.

Employer Compensation Expense Program (ECEP)

Under legislation approved with the FY 2019 Enacted Budget, employers may opt in to a new ECEP, which is intended to mitigate the tax burden for employees affected by the SALT limit. While the TCJA limits deductibility for individuals, it does not cap deductibility for ordinary and necessary business expenses paid or incurred by employers in carrying on a trade or business.

Employers that elect to participate in the ECEP program would be subject to a 5 percent State tax on all annual payroll expenses in excess of \$40,000 per employee, phased in over three years beginning on January 1, 2019 as follows: 1.5 percent in tax year 2019, 3 percent in 2020, and 5 percent in 2021. Employers must elect to participate in the ECEP for the upcoming tax year by December 1 of the preceding calendar year, with the first annual election due by December 1, 2018 for the tax year beginning on January 1, 2019.

The ECEP is intended to be revenue neutral, with any decrease in New York State PIT receipts expected to be offset by a comparable increase in ECEP revenue. Remittance of ECEP revenue to the State is expected to occur on the same schedule as PIT withholdings, with remittances starting in the fourth quarter of FY 2019. A new State PIT credit will be available to employees whose wages are subject to the tax; any decrease in New York State PIT receipts is expected to be offset by a comparable increase in ECEP revenue because the formula used to calculate the State PIT credit corresponds in value to the ECEP.

DOB expects to include information on actual ECEP participation by the third quarterly update to the Financial Plan, as information on employer elections becomes available.

State Charitable Gifts Trust Fund

The FY 2019 Enacted Budget creates a new State Charitable Gifts Trust Fund, which will accept gifts, starting in tax year 2018, for the purposes of improving health care and education in New York State. Taxpayers who itemize deductions may claim these charitable gifts as deductions on their Federal and State income tax returns. Any taxpayer making a donation may also claim a State tax credit equal to 85 percent of the donation amount for the tax year after the donation is made. State PIT receipts will be reduced by the State tax deduction and 85 percent tax credit.⁷ Amounts on deposit in the Charitable Gifts Trust Fund at the close of 2018 are expected to be appropriated for authorized purposes in the FY 2020 Budget.

The Enacted Budget Financial Plan does not include any estimates for charitable gifts. DOB expects to include information on charitable gifts in future Financial Plan updates, once activity on donations can be observed.

Impact of Tax Law Changes on PIT Revenue Bonds

To offset the potential reduction in the level of New York State PIT receipts resulting from activity of the ECEP and the State Charitable Gifts Trust Fund, the Enacted Budget amends the State Finance Law provisions creating the Revenue Bond Tax Fund to increase the percentage of New York State PIT receipts required to be deposited upon receipt in the Revenue Bond Tax Fund from 25 percent to 50 percent. In addition, the legislation that created the ECEP requires that 50 percent of ECEP receipts received by the State be deposited to the Revenue Bond Tax Fund. These changes became effective April 1, 2018.

The amendments also increase the amount of all New York State PIT receipts collected from payroll withholding and ECEP receipts that must be deposited in the Revenue Bond Tax Fund in the event that (a) the State Legislature fails to appropriate amounts required to make all debt service payments on State PIT Revenue Bonds or (b) having been appropriated and set aside pursuant to a certificate of the Director of the Budget, debt service payments and other cash requirements under the Financing Agreement have not been made when due on the State PIT Revenue Bonds. Under prior law, New York State PIT receipts from payroll withholding were to be deposited to the Revenue Bond Tax Fund until amounts on deposit in the Revenue Bond Tax Fund equaled the greater of 25 percent of annual New York State PIT receipts or \$6 billion. Under the new law, New York State PIT receipts and ECEP receipts will be deposited to the Revenue Bond Tax Fund until amounts on deposit in the Revenue Bond Tax Fund equal the greater of 40 percent of the aggregate of annual New York State PIT receipts and ECEP receipts or \$12 billion.

⁷ The FY 2019 Enacted Budget also provides that the SUNY Research Foundation, the CUNY Research Foundation, and Health Research, Inc. may accept up to \$10 million each in charitable gifts on an annual basis. State PIT receipts will also be reduced by the State tax deduction and an 85 percent credit for those donations that will be available beginning in tax year 2019.

Overview of the FY 2019 Enacted Budget Financial Plan



Donations to the Charitable Gifts Trust Fund could reduce State PIT receipts by nearly one dollar for every dollar donated. Accordingly, the amount of donations to the State Charitable Gifts Trust Fund is the principal direct risk to the amount of New York State PIT receipts deposited to the Revenue Bond Tax Fund under the tax law changes enacted by the State as part of the FY 2019 Enacted Budget. The State increased the amount of PIT receipts deposited into the Revenue Bond Tax Fund from 25 percent to 50 percent to address the potential impact of charitable giving on the flow of receipts.

The factors that may influence donation activity are complex and include, but are not limited to, possible statements, actions, or interpretive guidance by the IRS or other governmental actors on the deductibility of such donations; the liquidity position, risk tolerance, and knowledge of individual taxpayers; advice or guidance of tax advisors or other professionals; changes in general economic conditions; adoption of similar trusts in other states; and tax reciprocity agreements among states. While DOB believes that these factors can be expected to constrain donation activity, there can be no assurance that, under conditions of maximum participation, the amount of annual charitable gifts will not reduce the level of PIT receipts deposited into the Revenue Bond Tax Fund below the levels projected in the FY 2019 Executive Budget Financial Plan, as amended. If that were to occur, DOB would recommend law changes to further increase the percentage of PIT receipts deposited into the Revenue Bond Tax Fund.

Implementation

The State developed the ECEP and Charitable Gifts Trust Fund based on a review of existing laws, regulations, and precedents. However, there can be no assurance that the Internal Revenue Service will allow taxes paid under the ECEP by an electing employer, or donations made by taxpayers to the Charitable Gifts Trust Fund, to be deductible for Federal tax purposes under current law and the TCJA.

The FY 2019 Enacted Budget allows taxpayers to claim reimbursement from the State for interest on underpayments of Federal tax liability for the 2019, 2020 and 2021 tax years if the underpayments arise from reliance on amendments to State tax law enacted in 2018. To receive reimbursement, taxpayers are required to submit their reimbursement claims to the Department of Taxation and Finance (DTF) within 60 days of making an interest payment to the IRS.

There could be a material expense to the State if taxpayer participation in the ECEP and Charitable Gifts initiatives for the 2019, 2020 and 2021 tax years results in Federal determinations of underpayment of Federal income tax. Any cost to the Financial Plan from State reimbursement of interest charges would occur in FY 2021 at the earliest, for determinations on 2019 tax payments due in April 2020, or thereafter.



Overview of the FY 2019 Enacted Budget Financial Plan

The Financial Plan does not include any estimate of the magnitude of the possible interest expense to the State, which depends on several factors, including: rates of participation in the ECEP; the magnitude of donations to the State Charitable Gifts Trust Fund; the amount of determinations of underpayment attributable to reliance on other changes in State tax law made in 2018; the amount of time between the due date of the return and the date any Federal determination is issued; the interest rate applied; and the frequency at which taxpayers submit timely reimbursement claims to the State. Interest on unpaid Federal tax generally accrues from the due date of the return until the date of payment in full. Under current Federal law, the interest rate is determined quarterly and is the Federal short-term rate plus 3 percent, compounded daily.



FY 2019
General Fund Financial Plan

FY 2019 General Fund Financial Plan

The General Fund is affected by two fund reclassifications approved in the Enacted Budget. The changes have no net impact on General Fund operations, but change the reporting of receipts and disbursements in total and among spending categories.

- **Mental Hygiene Fund Reclassification.** Spending from two State Special Revenue Fund accounts, the Mental Hygiene Program Fund and Patient Income Account, is reclassified to the General Fund to improve reporting transparency by eliminating large transfers between funds. The reclassification moves local assistance and operations spending, as well as the supporting revenue, into the General Fund, and eliminates transfers from the General Fund to the two Mental Hygiene State Special Revenue Fund accounts. In addition, roughly \$1.4 billion of fringe benefit spending associated with Mental Hygiene agencies will move from the respective agencies to the central General State Charges (GSCs) budget.
- **DOT/DMV Operating Cost Reclassification.** Certain DOT and DMV operating costs related to snow and ice removal, bus, truck and rail inspection, and DMV regulatory activities are reclassified from the Dedicated Highway and Bridge Trust Fund (DHBTF) to the General Fund. In the General Fund, the increased operating spending is offset by an identical reduction in the transfer to the DHBTF. The reclassification is intended to align operating and capital functions with their revenue sources.

FY 2019 General Fund Financial Plan



The reclassifications affect the comparability of FY 2018 results to FY 2019 estimates. In addition, General Fund receipts and disbursements continue to be affected by the receipt and use of Extraordinary Monetary Settlements. The following table adjusts for the impact of the reclassifications and Extraordinary Monetary Settlements. Unless otherwise noted, the discussions of receipts and disbursements that follow the table exclude (a) the receipts and use of Extraordinary Monetary Settlements and (b) the fund reclassifications for mental hygiene activity and the DHBTf that take effect in FY 2019. See “Financial Plan Tables and Accompanying Notes” herein for the unadjusted plan.

GENERAL FUND FINANCIAL PLAN (millions of dollars)							
	FY 2018 Results	FY 2019 Adjusted	MH Reclass	DOT/DMV Reclass	FY 2019 Enacted	Adjusted Annual Change	
						Dollar	Percent
Opening Fund Balance (Excluding Extraordinary Monetary Settlements)	2,414	4,425	0	0	4,425	2,011	83.3%
Total Receipts	<u>70,642</u>	<u>71,536</u>	<u>986</u>	<u>30</u>	<u>72,552</u>	<u>894</u>	<u>1.3%</u>
Taxes	67,370	67,695	0	0	67,695	325	0.5%
Miscellaneous Receipts/Federal Grants ¹	2,351	2,155	(166)	30	2,019	(196)	-8.3%
Transfers from Other Funds	921	1,686	1,152	0	2,838	765	83.1%
Total Disbursements	<u>69,323</u>	<u>73,853</u>	<u>986</u>	<u>30</u>	<u>74,869</u>	<u>4,530</u>	<u>6.5%</u>
Local Assistance	46,072	49,353	1,710	0	51,063	3,281	7.1%
State Operations	13,800	14,835	4,075	388	19,298	1,035	7.5%
Transfers to Other Funds ²	9,451	9,665	(4,799)	(358)	4,508	214	2.3%
Net Change in Operations	1,319	(2,317)	0	0	(2,317)	(3,636)	-275.7%
General Fund Use of Extraordinary Monetary Settlements³	692	383			383	(309)	-
Closing Fund Balance (Excluding Extraordinary Monetary Settlements) ²	<u>4,425</u>	<u>2,491</u>	<u>0</u>	<u>0</u>	<u>2,491</u>	<u>(1,934)</u>	<u>-43.7%</u>
Extraordinary Monetary Settlements							
Opening Balance	5,335	5,020			5,020	(315)	-5.9%
New Settlements Received ⁴	805	183			183	(622)	-77.3%
Transfers/Uses	(1,120)	(2,190)			(2,190)	(1,070)	-95.5%
Closing Balance (Extraordinary Monetary Settlements)	<u>5,020</u>	<u>3,013</u>			<u>3,013</u>	<u>(2,007)</u>	<u>-40.0%</u>
Closing Fund Balance (Including Extraordinary Monetary Settlements)	<u>9,445</u>	<u>5,504</u>			<u>5,504</u>	<u>(3,941)</u>	<u>-41.7%</u>

¹ Excludes the Extraordinary Monetary Settlements received by the General Fund, except those amounts retained by the Department of Law in other funds to support operational costs.

² Excludes the use of Extraordinary Monetary Settlements to support transfers from the General Fund to other funds (e.g., Dedicated Infrastructure Investment Fund).

³ FY 2018: \$461 million for operations, \$76 million for an unbudgeted litigation payment, and \$155 million set aside to fund potential retroactive salary increases. FY 2019: \$383 million expected to be used for operations.

⁴ Includes the gross value of all settlements received by the State, including funds retained by the Department of Law in other funds to support operational costs.

Receipts⁸

General Fund receipts, including transfers from other funds, are projected to total \$71.5 billion in FY 2019, an increase of \$894 million (1.3 percent) from FY 2018 results. The annual change is impacted by the shift of an estimated \$1.9 billion of receipts from FY 2019 into FY 2018 due to Federal tax reform and the payment of \$500 million in higher refunds in the last quarter of FY 2018. Excluding these shifts, the total receipts increase is \$4.2 billion or 6.1 percent.

General Fund PIT receipts, including transfers after payment of debt service on State PIT Revenue Bonds, are expected to total \$46.5 billion, an annual decrease of \$486 million. Excluding the shifts described above, the underlying PIT growth of roughly 6.2 percent is consistent with forecasted economic growth and revisions based on April preliminary results.

General Fund consumption/use tax receipts, including transfers after payment of debt service on Local Government Assistance Corporation (LGAC) and Sales Tax Revenue Bonds, are estimated to total \$13.6 billion, an annual increase of \$322 million (2.4 percent). This reflects projected growth in disposable income and taxable consumption.

General Fund business tax receipts are estimated at \$5.6 billion, an increase of \$710 million (14.4 percent). This growth is due to projected increases in corporate profits and new for-profit insurance providers subject to a premium insurance tax.

Other tax receipts to the General Fund are expected to total \$2.0 billion including transfers after payment of debt service on CW/CA bonds, a decrease of \$221 million (9.7 percent), reflecting a return to an average number of estate tax payments exceeding \$25 million.

Non-tax receipts are estimated at \$3.8 billion, an increase of \$569 million. The growth is mainly due to the expected transfer of resources from the Health Transformation Fund.

General Fund receipts are affected by the deposit of dedicated taxes in other funds for debt service and other purposes, the transfer of balances between funds of the State, and other factors. For a more comprehensive discussion of the State's projections for tax receipts, miscellaneous receipts, and transfers, presented on a State Funds and All Funds basis. See "State Financial Plan Projections Fiscal Years 2018 Through 2022" herein.

⁸ The reported activity by Financial Plan category excludes the impact of (a) Extraordinary Monetary Settlements on receipts and disbursements and (b) the fund reclassifications for mental hygiene activity and the DHBTF that take effect in FY 2019.

Disbursements⁹

General Fund disbursements, including transfers to other funds, are expected to total \$73.9 billion in FY 2019, an annual increase of \$4.5 billion (6.5 percent).

Local assistance grants are expected to total \$49.4 billion in FY 2019, an annual increase of \$3.3 billion (7.1 percent). The largest increases include \$1.1 billion for School Aid (on a State fiscal year basis) and \$934 million for Medicaid.

General Fund disbursements for agency operations, including fringe benefits and fixed costs, are expected to total \$14.8 billion, an annual increase of \$1.0 billion (7.5 percent). Personal and non-personal service costs increase \$580 million from FY 2018, reflecting increased personal service costs driven by labor agreements. Fringe benefit costs associated with State employees, including retiree health insurance costs, are expected to increase by \$455 million (8.2 percent), mainly due to negotiated rate increases reflecting medical cost inflation and current enrollment levels. The State's costs for Workers' Compensation are expected to increase by \$170 million, due to underlying growth in the average weekly wage benefit and medical costs (\$65 million), as well as a reduction in other resources available to offset costs (\$105 million).

General Fund transfers to other funds are estimated to total \$9.7 billion, an increase of \$214 million. The increase is mainly due to transfers for capital projects (excluding transfers funded with Extraordinary Monetary Settlements), reflecting the timing of General Fund capital reimbursements from bond proceeds.

General Fund disbursements are affected by the level of financing sources available in other funds, transfers of balances between funds of the State, and other factors that may change from year to year. For a more comprehensive discussion of the State's disbursements projections by major activity, presented on a State Operating Funds basis. See "State Financial Plan Projections Fiscal Years 2018 through 2022" herein.

⁹ The reported activity by Financial Plan category excludes the impacts of (a) Extraordinary Monetary Settlements on receipts and disbursements and (b) the fund reclassifications for mental hygiene activity and the DHBTf that take effect in FY 2019.



FY 2019 General Fund Financial Plan

Closing Balance for FY 2019

DOB projects that the State will end FY 2019 with a General Fund cash balance of \$5.5 billion, a decrease of \$3.9 billion from FY 2018. The General Fund closing balance, excluding Extraordinary Monetary Settlements, is estimated at \$2.5 billion, or \$1.9 billion lower than the closing balance at the end of FY 2018. The change is due almost entirely to the expected use of the \$1.9 billion in cash received in FY 2018 attributed to the acceleration of tax payments in response to the Federal limit on SALT deductibility.

Balances in the State's principal "rainy day" reserves, the Tax Stabilization Reserve and the Rainy Day Reserve, are expected to remain unchanged at \$1.8 billion. The Enacted Budget Financial Plan continues to maintain a reserve of \$500 million for debt management purposes. DOB will decide on the use of these funds based on market conditions, Financial Plan needs, and other factors.

The balance from Extraordinary Monetary Settlements is expected to total \$3.0 billion at the close of FY 2019, a decrease of \$2.0 billion from FY 2018. The anticipated decrease reflects the use of Extraordinary Monetary Settlements to fund activities appropriated from other funds (\$1.5 billion); the MTA Subway Action Plan (\$194 million); and general operations (\$383 million), partly offset by the receipt of settlement payments (\$183 million less \$75 million retained by the Department of Law in other funds).

DOB expects the State will have sufficient liquidity in FY 2019 to make all planned payments as they become due. The State continues to reserve General Fund resources on a quarterly basis for debt service payments. Money to pay debt service on bonds secured by dedicated receipts, including PIT bonds and Sales Tax bonds, continues to be set aside as required by law and bond covenants. See "FY 2019 Financial Plan – Cashflow" and the Financial Plan tables herein.

TOTAL BALANCES (millions of dollars)			
	<u>FY 2018</u> <u>Results</u>	<u>FY 2019</u> <u>Enacted</u>	<u>Annual</u> <u>Change</u>
TOTAL GENERAL FUND BALANCE	9,445	5,504	(3,941)
General Fund (Excl. Extraordinary Monetary Settlements)	4,425	2,491	(1,934)
Statutory Reserves:			
"Rainy Day" Reserves	1,798	1,798	0
Community Projects	46	17	(29)
Contingency Reserve	21	21	0
Fund Balance Reserved for:			
Debt Management	500	500	0
Labor Agreements	155	155	0
Undesignated Fund Balance	1,905	0	(1,905)
Extraordinary Monetary Settlements Fund Balance	5,020	3,013	(2,007)

FY 2019 Detailed General Fund Gap-Closing Plan

The following table begins with the initial “base line” budget gaps (i.e., the gaps projected prior to the Executive Budget¹⁰) and ends with the Enacted Budget surplus/(gap) projections.

FY 2019 ENACTED BUDGET GENERAL FUND GAP-CLOSING PLAN				
(millions of dollars)				
	FY 2019	FY 2020	FY 2021	FY 2022
INITIAL BUDGET SURPLUS/(GAP) ESTIMATE¹	(4,443)	(6,385)	(8,053)	(8,413)
SPENDING CHANGES	2,461	1,345	918	1,244
Local Assistance	1,242	1,479	1,056	1,540
Education	439	727	897	990
Health Care:	<u>496</u>	<u>243</u>	<u>44</u>	<u>5</u>
Global Cap	425	425	425	425
Minimum Wage	(125)	(184)	(229)	(300)
Other Health Care	196	2	(152)	(120)
Mental Hygiene	181	106	(18)	(137)
Human Services	132	150	208	205
STAR	60	35	(17)	415
MTA Subway Action Plan	(194)	0	0	0
All Other	128	218	(58)	62
Agency Operations	68	(79)	(146)	(280)
Executive Agencies	39	(108)	(174)	(316)
University Systems	81	77	80	93
Elected Officials	(52)	(48)	(52)	(57)
Debt Management/Capital	557	(55)	8	(16)
Prepayment of FY 2019 Debt Service Expenses	594	0	0	0
LEGISLATIVE ADDITIONS²	(510)	(335)	(311)	(319)
School Aid	(152)	(220)	(226)	(233)
Education/Higher Education	(142)	(103)	(78)	(78)
Human Services	(75)	0	0	0
Health Care	(40)	0	0	0
All Other	(101)	(12)	(7)	(8)
RESOURCE CHANGES	1,887	743	279	435
Taxpayer Acceleration of PIT Payments into FY 2018:	0	0	0	0
FY 2019 Impact of FY 2018 PIT Tax Acceleration	(1,905)	0	0	0
Offset to FY 2018 PIT Taxpayer Acceleration	1,905	0	0	0
Other Resource Changes:	1,887	743	279	435
Tax Receipts Revisions	1,025	734	243	265
Extraordinary Monetary Settlements - Operations	383	0	0	0
Extraordinary Monetary Settlements - MTA Subway Action Plan	194	0	0	0
Other Resource Changes	285	9	36	170
REVENUE ACTIONS	605	605	221	96
Contributions for Health Care Transformation	500	500	154	118
Opioid Stewardship and Prevention Fund	100	100	100	100
All Other Revenue Actions	5	5	(33)	(122)
ENACTED BUDGET SURPLUS/(GAP) ESTIMATE¹	0	(4,027)	(6,946)	(6,957)
ADHERENCE TO 2% SPENDING BENCHMARK³	0	3,247	5,548	6,470
ENACTED BUDGET SURPLUS/(GAP) ESTIMATE AT 2%	0	(780)	(1,398)	(487)

¹ Before actions to adhere to the 2 percent benchmark.

² Includes distinct new spending additions to the Executive Budget agreed to during negotiations. Restorations to Executive Budget proposals are reflected in the appropriate "Spending Changes" and "Revenue Actions" categories.

³ Savings estimated from limiting annual spending growth in future years to 2 percent (calculation based on FY 2018 unaudited results), assuming that the Governor continues to propose, and the Legislature continues to enact, a Budget in each fiscal year that restricts State Operating Funds spending growth to 2 percent. The "Enacted Budget Surplus/(Gap) Estimate at 2%" calculation assumes that all savings from holding spending growth to 2 percent are made available to the General Fund.

¹⁰ Projected as of the FY 2018 Mid-Year Update, November 2017.

Spending Changes

The Enacted Budget reduces General Fund spending in FY 2019 by \$2.5 billion, net of new initiatives and costs, compared to the FY 2019 baseline estimate.¹¹ The reductions include reestimates to spending based on updated information, specific cost-containment proposals, and prepayment of FY 2019 expenses in FY 2018.

Local Assistance

Local assistance spending includes financial aid to local governments and nonprofit organizations, as well as entitlement payments to individuals. Reductions from the baseline estimate of local assistance spending are expected to generate nearly \$1.2 billion in General Fund savings. Savings are expected from both targeted actions and continuation of prior-year cost containment.

- **Education.** The Enacted Budget provides \$26.6 billion in School Aid for SY 2019, an increase of \$914 million (3.6 percent) over SY 2018. The final gap-closing plan displays this in two parts, (i) as savings compared to the baseline estimate (“spending changes”) and (ii) as a legislative addition. The savings compared to the baseline estimate are due mainly to the impact of the Personal Income Growth Index (PIGI).¹² The remaining savings compared to the baseline estimate reflect the impact of School Aid database updates for expense-based aids, slower than anticipated grant-based awards, and one-time audit recoveries for the preschool special education program.
- **Health Care.** Medicaid spending under the Global Cap¹³ is expected to total \$18.9 billion in FY 2019, an increase of \$593 million, consistent with the statutory index of 3.2 percent. Total Medicaid spending, including spending outside the Global Cap, is expected to increase to \$20.4 billion in FY 2019. In addition, the State continues to provide capital funding to support health care delivery. The FY 2019 Enacted Budget Financial Plan includes \$525 million in new capital spending, which is expected to be funded with bonds (\$400 million) and Extraordinary Monetary Settlements (\$125 million). The Financial Plan includes savings from an additional \$425 million for non-DOH Medicaid expenses within the Global Cap. To achieve savings within the Global Cap to support these additional costs, DOH will continue to implement various Medicaid Redesign Team (MRT) actions to improve the efficiency and effective delivery of the statewide Medicaid program.

Medicaid costs attributable to the minimum wage increase are projected to be higher than initially estimated, mainly due to higher-than-expected enrollment growth in home and

¹¹ Projected as of the FY 2018 Mid-Year Update, November 2017.

¹² For SY 2019, the PIGI is 1.5 percent. If School Aid growth had been limited to the PIGI, it would have resulted in savings of \$431 million compared to the baseline estimate of 3.9 percent. The Executive Budget proposed an annual increase of 3 percent, which reduced the savings to \$232 million.

¹³ The Medicaid Global Cap is a statutory limit on annual State-funded Medicaid expenditures, indexed to the Medical component of the Consumer Price Index (CPI). Total State-funded Medicaid expenditures also include certain program costs which are not subject to the indexed provisions of the Global Cap.

personal long-term care. The Financial Plan reflects increases in Medicaid spending of \$125 million in FY 2019, growing to \$300 million by FY 2022. The Enacted Budget funding for minimum wage increases for health care workers who provide services that are reimbursed by Medicaid is expected to total \$703 million in FY 2019.

Other health care savings include modifications to certain pharmacy benefit programs and elimination of Cost of Living Adjustment (COLA) payments for certain human service providers. The Financial Plan also includes savings from the one-time recoupment of ineligible claims paid during program years 2015 and 2016.

- **Mental Hygiene.** Spending revisions reflect updated assumptions and revised timelines for ongoing transformation efforts to ensure efficient use of State resources in the mental hygiene service delivery system.
- **Human Services.** Savings reflect, among other things, expiration of State reimbursements to New York City for the Close to Home initiative, and reestimates in other programs. Funding has been increased for higher projected public assistance caseload costs and to return child care subsidy funding to \$806 million.
- **STAR.** The Enacted Budget includes legislation that mandates enrollment of STAR benefit recipients in the Income Verification Program.
- **Subway Action Plan.** The Enacted Budget includes legislation dedicating \$836 million to the MTA's NYC Subway Action Plan, which is addressing system failures, breakdowns, delays and deteriorating customer service. These investments will position the system for further modernization. The plan will provide \$508 million for operating purposes and \$328 million for capital purposes. The State and the City will each fund 50 percent of the plan (\$418 million). The State is expected to make payments monthly from April through December 2018, while the City is scheduled to contribute its share from July through December 2018.

If the City does not fulfill the monthly funding requirement, the Enacted Budget contains legislation that allows the Budget Director to direct the State Comptroller to reduce State aid payments to the City or intercept City revenues to the extent necessary to fulfill the City's \$418 million obligation. These funds would be redirected to a new Subway Assistance Fund and would be paid directly from that Fund to the MTA for use in executing the Subway Action Plan.

- **All Other.** Revisions include savings from the elimination of the planned FY 2019 1.9 percent human services cost-of-living increase; updated spending projections across various program areas, including updated enrollment data for the State University of New York (SUNY) Community Colleges that results in a reduction in projected Full-Time Equivalent (FTE) students; and continued utilization of JP Morgan Settlement and Mortgage Insurance Fund (MIF) resources to fund housing and homelessness programs. Spending increases in the outyears mainly reflect expected payment of matching funds to local governments that achieve savings consistent with County-wide Shared Services Property Tax Savings Plans.

Agency Operations

Operating costs for State agencies include salaries, wages, fringe benefits, and non-personal service costs (e.g., supplies and utilities). Reductions from baseline projections for agency operations contribute \$68 million to the General Fund gap-closing plan.

- **Executive Agencies.** State agencies are expected to continue to use less costly forms of service delivery, improve administrative practices, and pursue statewide solutions, including the utilization of Lean initiatives to streamline operations and management. New costs that may occur during the year, including costs for labor settlements, are expected to be managed within the cash ceilings contained in the Enacted Budget Financial Plan. Growth in agency operations is concentrated in DOH, for costs of the New York State of Health (NYSOH) marketplace and the Essential Plan (EP) program, and in OCFs, for the Raise the Age initiative.

Spending increases in later years of the Financial Plan are for inflationary cost increases, an additional administrative payroll in FY 2021, and higher Medicaid administration expenses to support the NYSOH marketplace, as available Federal funding is assumed to expire.

Pension estimates reflect the payment of the full FY 2019 Employees' Retirement System/Police and Fire Retirement System (ERS/PFRS) pension bill in April 2018, including repayment of amortized amounts due in FY 2019, rather than making monthly payments as previously assumed.

- **University Systems.** Savings reflect a decrease in General Fund support for SUNY hospitals, which is expected to be offset by other resources available to SUNY.
- **Elected Officials.** Increases for the Judiciary include retroactive payments pursuant to collective bargaining agreements and expected increases for legal representation in Family Courts resulting from Raise the Age legislation and the Attorney for the Child program. The Legislature also provides increases for personal service costs and equipment.

Debt Management/Capital Projects

The Financial Plan reflects FY 2019 debt service savings from the payment of \$594 million of FY 2019 expenses in FY 2018, as well as expected refundings, continued use of competitive bond sales, and other debt management actions. Additionally, the use of available bond proceeds to reimburse capital spending from prior years results in a downward revision to transfers needed to fund capital projects in FY 2019.

Legislative Additions

- **School Aid.** The Budget increases the School Year (SY) 2019 School Aid by \$914 million (3.6 percent), including \$618 million in Foundation Aid, bringing the new School Aid school year total to \$26.6 billion. The budget agreement added \$281 million in Foundation Aid funding for School Aid above the Executive proposal (a net increase of \$152 million on a State fiscal year basis). Total education aid, including reimbursement for charter school supplemental tuition and facilities aid, is projected at \$26.7 billion, an increase of nearly \$1 billion (3.9 percent) from SY 2018.
- **Education/Higher Education.** The Budget provides additional funding for charter schools, operating aid increases for community colleges, and various other targeted funding.
- **Human Services.** The Enacted Budget adds funding for several program areas supporting children, families, and communities. During the negotiation process, the Legislature added funding for eligible families with child care costs; and services for sexually exploited children, disabled New Yorkers, job seekers and worker training.
- **All Other.** The Enacted Budget provides additional funding for various purposes agreed to during budget negotiations, including mental health services in schools and legal services for domestic violence victims.

Resource Changes

- **Taxpayer Acceleration of FY 2019 PIT Payments into FY 2018.** The State received a surge in PIT payments at the end of calendar year 2017 as taxpayers responded to Federal tax law changes that limit SALT deductibility. DOB estimates that approximately \$1.9 billion in accelerated tax receipts were due to this behavioral response. The increase in FY 2018 cash balance that DOB attributes to the acceleration of PIT receipts is expected to be used to offset a corresponding loss of PIT receipts in FY 2019.
- **Tax Receipts Revisions.** The Financial Plan reflects revisions to tax receipts across most tax categories, reflecting the updated economic and revenue forecast, and includes decoupling the State's tax law from Federal changes and eliminations to certain Federal deductions; the temporary Federal medical expense deduction increase; child tax credit changes; and the single filer standard deduction.

- **Use of Extraordinary Monetary Settlements.** The Enacted Budget will use \$194 million to help fund the State's share of the MTA Subway Action Plan and \$383 million for operating purposes.
- **Other Resource Changes.** These include updated estimates of various miscellaneous receipts and transfers of available resources from other funds, including Federal Emergency Management Agency (FEMA) reimbursements expected in FY 2019.

Revenue Actions

- **Contributions for Health Care Transformation.** In September 2017, Fidelis Care (a nonprofit insurer associated with the Catholic Diocese of New York) agreed to sell substantially all its assets to Centene Corporation, a for-profit health insurer based in St. Louis, Missouri, to enter New York's health insurance marketplace. Completion of this transaction requires the approval of both the Department of Health (DOH) and the DFS. Consistent with similar previous transactions in New York, the parties have agreed to contribute an estimated \$2 billion in both direct payments and taxes over the next five years to offset State costs for health care transformation activities, including capital investments, debt restructuring activities, housing and other social purposes, and transitional operating support for health care facilities. The contributions anticipated in this Financial Plan are contingent on the regulatory approval noted above.
- **Opioid Stewardship and Prevention Fund.** The Enacted Budget includes a new fund to support ongoing prevention, treatment, and recovery services that will be funded by payments from manufacturers and distributors of opioids.
- **Other Actions.** The Enacted Budget includes other tax credits/extensions and enforcement initiatives. These include a two-year extension of the Hire a Vet Tax Credit through calendar year 2020; an extension for the Musical and Theatrical Production Tax Credit for four additional years; and the extension of the Historic Properties Tax Credit through 2024.

The Enacted Budget also included legislation establishing the ECEP and a State Charitable Gifts Trust Fund. Neither is expected to affect net General Fund operating results, but may substantially affect the levels of PIT receipts and General Fund disbursements. The current Financial Plan does not include any estimates for the ECEP or Charitable Gifts Trust Fund.

Changes to the Executive Budget

The table below summarizes all the changes to the Executive Budget Financial Plan.

CHANGES TO THE EXECUTIVE BUDGET FINANCIAL PLAN				
GENERAL FUND				
(millions of dollars)				
	FY 2019	FY 2020	FY 2021	FY 2022
TOTAL NEGOTIATED CHANGES TO EXECUTIVE BUDGET	(1,124)	(1,551)	(1,875)	(2,027)
SPENDING RESTORATIONS/ADDITIONS	(694)	(753)	(821)	(898)
<u>New Spending Adds:</u>	<u>(510)</u>	<u>(335)</u>	<u>(311)</u>	<u>(319)</u>
School Aid - Foundation Aid Increase (SFY Basis)	(152)	(220)	(226)	(233)
Other Education Aid	(81)	(71)	(52)	(52)
Higher Education	(61)	(32)	(26)	(26)
Human Services	(75)	0	0	0
Health Care	(40)	0	0	0
All Other	(101)	(12)	(7)	(8)
<u>Restorations/Modifications:</u>	<u>(184)</u>	<u>(418)</u>	<u>(510)</u>	<u>(579)</u>
STAR Exemption Cap	(49)	(94)	(141)	(185)
Special Education Reimbursement Methodology	(34)	(61)	(67)	(67)
Bundy Aid Reduction	(25)	(35)	(35)	(35)
Child Welfare Reimbursement Cap	(17)	(17)	(17)	(17)
Retiree Health Insurance	(3)	(13)	(24)	(39)
Public Health Program Consolidations	(9)	(9)	(9)	(9)
Interest on Court of Claims Judgments	(6)	(6)	(6)	(6)
Elimination of NYC Charter Supplemental Tuition	0	(121)	(131)	(140)
Other Restorations/Modifications	(41)	(62)	(80)	(81)
TAX LAW/REVENUE CHANGES	(430)	(798)	(1,054)	(1,129)
<u>Not Accepted:</u>	<u>(402)</u>	<u>(726)</u>	<u>(649)</u>	<u>(615)</u>
Healthcare Insurance Windfall Profit Fee	(140)	(140)	(140)	(140)
ESCO Sales Tax Exemption Discontinuation	(90)	(120)	(120)	(120)
Business-Related Tax Credit Claims Deferral	(82)	(278)	(199)	(164)
Internet Fairness Conformity Tax	(75)	(150)	(150)	(150)
Cigar Tax Enforcement	(12)	(23)	(23)	(23)
All Other Revenue Actions	(3)	(15)	(17)	(18)
<u>Modified/New:</u>	<u>(28)</u>	<u>(72)</u>	<u>(405)</u>	<u>(514)</u>
Contributions for Health Care Transformation	0	0	(346)	(382)
Opioid Stewardship and Prevention Fund	(27)	(71)	(54)	(38)
Extend/Decouple Historic Tax Credit	0	0	0	(89)
Extend Theater Production Tax Credit	0	0	(4)	(4)
All Other	(1)	(1)	(1)	(1)
NEW INITIATIVES/COSTS	(111)	(49)	(49)	(49)
DOT Snow & Ice Costs	(29)	0	0	0
February School Aid Database Update	(28)	0	0	0
Human Services Minimum Wage	(15)	(15)	(15)	(15)
Western NY Children's Psychiatric Center Continued Operation	(6)	(16)	(16)	(16)
Housing Preservation	(6)	0	0	0
Legal Services	(5)	0	0	0
All Other	(22)	(18)	(18)	(18)
SPENDING OFFSETS	346	399	(5)	38
FY 2018 Prepayments	254	0	0	0
MSA Payments	106	0	0	0
Local Assistance	(51)	361	(43)	0
Fringe Benefits/Fixed Cost	37	38	38	38
RESOURCES	889	645	172	193
Tax Receipts Revisions	965	714	224	246
Transfers/Risk Reserves/Other Resources	(76)	(69)	(52)	(53)
NET SAVINGS/(COSTS)¹	0	(556)	(1,757)	(1,845)

¹ Before projected savings achieved by limiting future annual growth to 2 percent.

New Costs

During budget negotiations, the Executive and Legislature agreed to \$1.1 billion of new costs for General Fund additions, spending restorations, and tax law changes to the Executive Budget proposal. The Budget agreement added \$281 million in Foundation Aid funding for School Aid above the Executive proposal (a net increase of \$152 million on a State fiscal year basis), bringing the annual Foundation Aid increase to \$618 million. In addition, growth in expense-based and other school aid funding brings the total increase for SY 2019 to approximately \$914 million (3.6 percent). Other spending additions of \$358 million were approved to fund a range of purposes, including education, higher education, and human services. Executive cost containment proposals that were not approved added \$184 million in costs in FY 2019. Lastly, several tax and revenue proposals were not approved or were modified in a manner that will yield less revenue than proposed, adding \$430 million in costs in FY 2019.

The Enacted Budget Financial Plan includes \$111 million in additional FY 2019 costs for the removal of snow and ice; updated School Aid data; and the recent decision to keep open the Western New York Children's Psychiatric Center; as well as other targeted investments and additions.

Spending Offsets and Resources

The Enacted Budget Financial Plan includes savings and resources in FY 2019 that DOB estimates will be sufficient both to fund the negotiated additions and restorations to the budget, and to fully cover the new costs described above. Spending offsets (as compared to the Executive Budget) include the payment of FY 2019 expenses in FY 2018 beyond the level assumed in the Executive Budget Financial Plan (\$254 million); use of available MSA payments received in, but not used in, FY 2018; and other spending revisions reflecting a review of FY 2018 operating results and the cautious estimation of General Fund expenses. In addition, upward revisions to tax receipts based on DOB's review of March and April 2018 tax collections provide roughly \$1.4 billion in resources in FY 2019, which include the accelerated payment of refunds in March 2018. DOB plans to accelerate refunds again in March 2019, which has the effect of moving \$500 million in resources to FY 2020.

Cash Flow

State Finance Law authorizes the General Fund to borrow money temporarily from available funds held in the Short-Term Investment Pool (STIP). Money may be borrowed for up to four months, or until the end of the fiscal year, whichever period is shorter. The State last used this authorization in April 2011 when the General Fund needed to borrow funds from STIP for a period of five days. The amount of resources that can be borrowed by the General Fund is limited to available balances in STIP, as determined by the State Comptroller. Available balances include money in the State’s governmental funds and a relatively small amount of other money belonging to the State. Several accounts in Debt Service Funds and Capital Projects Funds that are part of All Governmental Funds are excluded from the balances deemed available in STIP. These excluded funds consist of bond proceeds and money obligated for debt service payments.

DOB expects that the State will have sufficient liquidity in FY 2019 to make all planned payments as they become due without having to temporarily borrow from STIP. The State continues to reserve money on a quarterly basis for debt service payments financed with General Fund resources. Money to pay debt service on bonds secured by dedicated receipts, including PIT bonds and Sales Tax bonds, continues to be set aside as required by law and bond covenants.

PROJECTED MONTH-END CASH BALANCES			
FY 2019			
<i>(millions of dollars)</i>			
	General Fund	Other Funds	All Funds
April¹	9,946	4,074	14,020
May	5,130	3,520	8,650
June	4,502	4,000	8,502
July	4,607	5,295	9,902
August	4,201	4,705	8,906
September	5,918	3,261	9,179
October	6,077	3,329	9,406
November	4,084	2,749	6,833
December	6,066	3,552	9,618
January	11,780	3,907	15,687
February	11,282	3,549	14,831
March	5,504	3,523	9,027

¹ DOB Preliminary Result.

Extraordinary Monetary Settlements

From the beginning of FY 2015 through April 2018, DOB calculates that the State has received a total of \$10.9 billion in Extraordinary Monetary Settlements for violations of State laws by major financial and other institutions. The following table lists the Extraordinary Monetary Settlements by firm and amount.

SUMMARY OF RECEIPTS OF EXTRAORDINARY MONETARY SETTLEMENTS BETWEEN REGULATORS AND FINANCIAL INSTITUTIONS (millions of dollars)						
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	Total
Extraordinary Monetary Settlements	4,942	3,605	1,317	805	183	10,852
BNP Paribas	2,243	1,348	0	350	0	3,941
Department of Financial Services (DFS)	2,243	0	0	350	0	2,593
Asset Forfeiture (DANY)	0	1,348	0	0	0	1,348
Deutsche Bank	0	800	444	0	0	1,244
Credit Suisse AG	715	30	0	135	0	880
Commerzbank	610	82	0	0	0	692
Barclays	0	670	0	0	0	670
Credit Agricole	0	459	0	0	0	459
Bank of Tokyo Mitsubishi	315	0	0	0	0	315
Bank of America	300	0	0	0	0	300
Standard Chartered Bank	300	0	0	0	0	300
Goldman Sachs	0	50	190	0	0	240
Morgan Stanley	0	150	0	0	0	150
Bank Leumi	130	0	0	0	0	130
Ocwen Financial	100	0	0	0	0	100
Citigroup (State Share)	92	0	0	0	0	92
MetLife Parties	50	0	0	0	0	50
American International Group, Inc.	35	0	0	0	0	35
PricewaterhouseCoopers LLP	25	0	0	0	0	25
AXA Equitable Life Insurance Company	20	0	0	0	0	20
Promontory	0	15	0	0	0	15
New Day	0	1	0	0	0	1
Volkswagen	0	0	32	33	0	65
Mega Bank	0	0	180	0	0	180
Agricultural Bank of China	0	0	215	0	0	215
PHH Mortgage	0	0	28	0	0	28
Intesa SanPaolo	0	0	235	0	0	235
Habib Bank	0	0	0	225	0	225
Cigna	0	0	0	2	0	2
Western Union	0	0	0	60	0	60
RBS Financial Products Inc.	0	0	0	0	100	100
Bank of America Merrill Lynch	0	0	0	0	42	42
UBS	0	0	0	0	41	41
Other Settlements	7	0	(7)	0	0	0

Since the FY 2019 Executive Budget Financial Plan was issued, the State has received three additional settlement payments totaling \$183 million. RBS Financial Products Inc. and UBS paid a total of \$141 million pertaining to the creation, packaging, structuring, arrangement, underwriting, issuance, marketing, and sale of residential mortgage-backed securities prior to January 1, 2009. Bank of America Merrill Lynch paid \$42 million for fraudulent conduct in connection with its electronic trading practices.

Uses of Extraordinary Monetary Settlements

A total of \$10.8 billion in Extraordinary Monetary Settlements has been allocated to date. The remaining balance of \$76 million remains unallocated.

GENERAL FUND SUMMARY OF RECEIPTS AND USE/TRANSFER OF FUNDS FROM EXTRAORDINARY MONETARY SETTLEMENTS BETWEEN REGULATORS AND FINANCIAL INSTITUTIONS (millions of dollars)									
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
Opening Settlement Balance in General Fund	0	4,667	6,300	5,335	5,020	3,013	1,865	1,010	405
Receipt of Extraordinary Monetary Settlement Payment	4,942	3,605	1,317	805	183	0	0	0	0
Use/Transfer of Funds	275	1,972	2,282	1,120	2,190	1,148	855	605	329
Capital Purposes:									
Transfer to DIF	0	857	697	941	1,608	1,250	1,109	542	306
Transfer to Environmental Protection Fund	0	0	120	0	0	0	0	0	0
Transfer to Capital Projects Fund - Mass Transit	0	0	0	67	10	8	0	0	0
Transfer to Capital Projects Fund - Healthcare	0	0	0	19	70	70	80	63	23
Transfer to DIF for Javits Center Expansion	0	0	0	164	350	320	166	0	0
Bond Proceed Receipts for Javits Center Expansion	0	0	0	0	0	(500)	(500)	0	0
FY 2017 Temporary Loan to Capital Projects Fund	0	0	1,300	(1,300)	0	0	0	0	0
FY 2018 Temporary Loan to Capital Projects Fund	0	0	0	500	(500)	0	0	0	0
Other Purposes:									
Transfer to Audit Disallowance - Federal Settlement	0	850	0	0	0	0	0	0	0
CSX Litigation Payment	0	0	0	76	0	0	0	0	0
Financial Plan - General Fund Operating Purposes	275	250	102	461	383	0	0	0	0
Transfer to Local Assistance Account - Mass Transit Operating	0	0	0	10	0	0	0	0	0
MTA Operating Aid	0	0	0	0	194	0	0	0	0
Department of Law - Litigation Services Operations	0	10	63	27	75	0	0	0	0
Transfer to OASAS Chemical Dependence Program	0	5	0	0	0	0	0	0	0
Reservation of Funds:									
Reserve for Retroactive Labor Settlements	0	0	0	155	0	0	0	0	0
Closing Settlement Balance in General Fund	4,667	6,300	5,335	5,020	3,013	1,865	1,010	405	76

A total of \$7.8 billion has been, or is expected to be, used to finance various spending from capital appropriations, including operating activities associated with the maintenance, protection, preservation, and operation of capital assets. Another \$2.9 billion has been, or is expected to be, used for other purposes, including resolution of Office for People with Developmental Disabilities (OPWDD) Federal disallowances in FY 2016, retroactive labor costs, General Fund operations, one-time litigation payments to CSX Transportation, Inc. (CSX), and costs of the Department of Law's Litigation Services Bureau.

The Financial Plan reflects use of previously unallocated Extraordinary Monetary Settlements to support:

- **General Fund Operations (\$383 million).** Consistent with prior years, the Financial Plan reflects the use of funds not appropriated for other purposes.
- **MTA Subsidy (\$194 million).** Additional support will be provided to the MTA for operations in FY 2019.
- **Health Care Capital Grants (\$125 million).** An additional \$525 million will be provided to the Health Care Facility Transformation Program, of which \$125 million will be funded from Extraordinary Monetary Settlements over a multi-year period.



Other Matters Affecting the Financial Plan

General

The Financial Plan is subject to complex economic, social, financial, political, and environmental risks and uncertainties, many of which are outside the ability of the State to control. DOB believes that the projections of receipts and disbursements in the Financial Plan are based on reasonable assumptions, but there can be no assurance that actual results will not differ materially and adversely from these projections. For instance, actual receipts collections have fallen substantially below the levels forecasted in certain fiscal years. In addition, certain projections contained in this Financial Plan are based on the assumption that annual growth in State Operating Funds spending will be limited to 2 percent in FY 2020, FY 2021, and FY 2022, and that all savings that result from the 2 percent spending growth benchmark will be made available to the General Fund.

DOB routinely executes cash management actions to manage the State's large and complex budget. These actions are intended for a variety of purposes that include improving the State's cash flow, managing resources within and across State fiscal years, assisting in the adherence to spending targets, and better positioning the State to address future risks and unanticipated costs, such as economic downturns, unexpected revenue deterioration, and unplanned expenditures. As such, the State regularly makes certain payments above amounts initially planned, to maintain budget flexibility. All payments made above the planned amount are reflected in the year they occur, and adhere to the limit of the State's 2 percent spending growth benchmark.

The Financial Plan is based on numerous assumptions, including the condition of the State and national economies, and the concomitant collection of economically sensitive tax receipts in the amounts projected. Other uncertainties and risks concerning the economic conditions and receipts forecasts include the impacts of: national and international events; ongoing financial risks in the Euro-zone; changes in consumer confidence, oil supplies and oil prices; major terrorist events, hostilities or war; climate change and extreme weather events; Federal statutory and regulatory changes concerning financial sector activities; Federal tax law and other programmatic purposes; changes concerning financial sector bonus payouts, as well as any future legislation governing the structure of compensation; shifts in monetary policy affecting interest rates and the financial markets; financial and real estate market developments which may adversely affect bonus income and capital gains realizations; the effect of household debt on consumer spending and State tax collections; and the outcomes of litigation and other claims affecting the State.

The Financial Plan is subject to various uncertainties and contingencies relating to: wage and benefit increases for State employees that exceed projected annual costs; changes in the size of the State's workforce; the realization of the projected rate of return for pension fund assets and current assumptions with respect to wages for State employees affecting the State's required pension fund contributions; the willingness and ability of the Federal government to provide the aid expected in the Financial Plan; the ability of the State to implement cost reduction initiatives, including reductions in State agency operations, and the success with which the State controls expenditures; and the ability of the State and its public authorities to market securities successfully in the public credit markets. Some of these issues are described in more detail herein. The projections and assumptions contained in the Financial Plan are subject to revisions which may result in substantial change. No assurance can be given that these estimates and projections, which depend in part upon actions the State expects to be taken but which are not within the State's control, will be realized.

Budget Risks and Uncertainties

There can be no assurance that the State's financial position will not change materially and adversely from current projections. If this were to occur, the State would be required to take gap-closing actions. Such actions may include, but are not limited to: reductions in State agency operations; delays or reductions in payments to local governments or other recipients of State aid; delays in or suspension of capital maintenance and construction; extraordinary financing of operating expenses; use of non-recurring resources; or other measures. In some cases, the ability of the State to implement such actions requires the approval of the Legislature and cannot be implemented solely by action of the Governor.

The Financial Plan projections for the outyears generally assume that School Aid and Medicaid disbursements will be limited to the annual growth in New York State personal income, and the ten-year rolling average growth of the medical component of the CPI, respectively. However, since FY 2014, the State has annually authorized School Aid spending increases above the personal income growth index. In SY 2019, the Enacted Budget Financial Plan reflects a 3.6 percent School Aid increase, compared to 1.5 percent growth in the personal income growth index. In SY 2020, School Aid is projected to increase by 3.6 percent, based on currently projected personal income growth.

State law grants the Commissioner of Health certain powers and authority to maintain Medicaid spending levels assumed in the Financial Plan. Over the past six years, DOH State Funds Medicaid spending levels have remained at or below indexed levels without requiring the Commissioner to exercise this authority. However, Medicaid program spending is sensitive to several factors including fluctuations in economic conditions, which may increase caseload, and changes in Federal aid, which could affect State health care spending. The Commissioner's powers are intended to limit the rate of annual growth in DOH State Funds Medicaid spending to the levels estimated for the current fiscal year, through actions which may include reducing rates to providers. These actions may be dependent upon timely Federal approvals and other elements of the program that govern implementation. It should further be noted that the Medicaid Cap, which is indexed to historical CPI Medical trends, applies to State Operating Funds and, therefore, General Fund spending remains sensitive to revenue performance in the State's HCRA fund. The HCRA fund finances approximately one-quarter of the DOH State-share costs of Medicaid.

The Financial Plan forecast contains specific transaction risks and other uncertainties including, but not limited to: receipt of certain payments from public authorities; receipt of certain revenue sharing payments under the Tribal-State compact, including payments from the Seneca Nation¹⁴; receipt of miscellaneous revenues at the levels expected in the Financial Plan; and achievement of cost-saving measures including, but not limited to, transfer of available fund balances to the General Fund at levels currently projected. Such risks and uncertainties, if they were to materialize, could adversely impact the Financial Plan in current or future years, or both.

¹⁴ The Seneca Nation has withheld payments to the State that were expected in FY 2018. The State and Seneca Nation are currently in the arbitration process. The Financial Plan assumes successful resolution by October 2018.



Other Matters Affecting the Financial Plan

The Financial Plan also reflects actions that affect the spending reported in the State Operating Funds basis of reporting, including (i) the realignment of certain operating costs to the capital budget to provide consistency in reporting across all agencies and a more accurate accounting of the overall capital budget; (ii) the payment of certain operating costs using available resources in accounts outside of the State Operating Funds basis of reporting; and (iii) the restructuring of the STAR program to a tax credit for consistency with the reporting of other State tax credits. If these and other transactions are not implemented as planned, annual spending growth in State Operating Funds would increase above current estimates.

In developing the Financial Plan, DOB attempts to mitigate the financial risks from receipts volatility, litigation, and unexpected costs, with an emphasis on the General Fund. It does this by, among other things, exercising caution when calculating estimates of total General Fund disbursements, and managing the accumulation of financial resources that can be used to offset new costs (including, but not limited to, fund balances not needed in a particular year, acceleration of tax refunds above the level budgeted in a given year, and prepayment of expenses). There can be no assurance that such resources will be sufficient to address risks that may materialize in any given fiscal year.

Federal Issues

The State receives a substantial amount of Federal aid for health care, education, transportation, and other governmental purposes, as well as Federal funding to respond to, and recover from, severe weather events and other disasters. Many of the policies that drive this Federal aid may be subject to change under the Trump Administration and the current Congress. Current Federal aid projections, and the assumptions on which they rely, are subject to revision in future financial updates as a result of changes in Federal policy. Actions by the Federal government pose a heightened risk to State finances. Enactment of Federal tax law changes is currently projected to add \$1.9 trillion to the Federal deficit over the next ten years,¹⁵ increasing the likelihood that Congress will seek material cuts in Federal aid programs or impose new barriers to the receipt of Federal aid by families and individuals.

In addition, the Financial Plan may also be adversely affected by other Federal government actions, including audits, disallowances, and changes to Federal participation rates or other Medicaid rules. For instance, the Financial Plan includes reimbursement to the Federal government of \$100 million annually through FY 2027 pursuant to a March 2015 agreement between the State and the Centers for Medicare and Medicaid Services (CMS). The agreement resolved a pending disallowance for FY 2011 and all related payment disputes for State-operated services prior to April 1, 2013, including home and community-based waiver services. Pursuant to the agreement, the State must adjust the Federal/State share of future Medicaid costs to reimburse the Federal government. The State used \$850 million in Extraordinary Monetary Settlement payments, previously set aside for financial risks, to finance the initial repayment amount in FY 2016.

¹⁵ Congressional Budget Office, "The Budget and Economic Outlook: 2018 to 2028", April 2018.

Given this uncertainty, the Enacted Budget includes legislation to continue authorization for a process by which the State would manage significant reductions in Federal aid during FYs 2019 and 2020 should such reductions occur. Specifically, the legislation allows the Budget Director to prepare a plan for consideration by the Legislature in the event that Federal policymakers (i) reduce Federal financial participation in Medicaid funding to New York State or its subdivisions by \$850 million or more; or (ii) reduce Federal financial participation or other Federal aid funding to New York State that affects the State Operating Funds financial plan by \$850 million or more, exclusive of any cuts to Medicaid. Each limit is triggered separately. The plan prepared by the Budget Director must equally and proportionally reduce appropriations and cash disbursements in the General Fund and State Special Revenue Funds. Upon receipt of the plan, the Legislature has 90 days to prepare its own corrective action plan, which may be adopted by concurrent resolution passed by both the Senate and Assembly. Otherwise, the plan submitted by the Budget Director takes effect automatically.

Current Federal Aid

President Trump has proposed significant cuts to mandatory and discretionary domestic programs in Federal Fiscal Years (FFYs) 2018 and 2019. The proposed cuts for FFY 2018 were largely rejected by the Consolidated Appropriations Act of 2018, which was enacted in March. The FFY 2019 budget is still under consideration by Congress. If the proposed cuts are adopted, it could reduce Federal aid to New York by billions of dollars.

The Budget Control Act (BCA) of 2011, which temporarily raised the debt limit, established discretionary spending caps on the Federal government through FFY 2021, and under certain conditions institutes automatic spending cuts for certain Federal funds on which the State relies. Discretionary Federal funding to the State could be reduced if these caps are not adjusted, suspended or eliminated. On February 9, 2018, the Federal government enacted legislation increasing the spending caps for FFYs 2018 and 2019, lessening the potential for significant spending cuts in discretionary domestic programs through FFY 2019.

Medicaid Disproportionate Share Hospital (DSH) Payments

Provisions within the Medicaid statute allow for a capped amount of payments to hospitals that treat a disproportionate number of Medicaid recipients. Changes made initially in the ACA to reduce the aggregate amount of Federal reimbursements for DSH payments came into effect with the start of FFY 2018, beginning October 1, 2017, but have since been delayed to FFY 2020 (beginning October 1, 2019) by Federal legislation enacted on February 9, 2018. The legislation also accelerates full implementation of the DSH cuts to begin in FFY 2021, in contrast to the previous multi-year phase-in.

DOB estimates that if the changes do take effect as scheduled, New York will see the largest reduction among all states, costing the State billions of dollars in lost Federal DSH payments when fully phased in. DOB continues to monitor Federal Medicaid DSH payment policies.



Other Matters Affecting the Financial Plan

Federal Health Care Policy

In 2017, the Federal government attempted to end the Basic Health Program (EP in New York State), the ACA's Medicaid expansion; and to shift a larger share of growth in Medicaid costs to states by imposing per capita caps on Medicaid spending in lieu of Medicaid's current open-ended entitlement. If these bills had been enacted into law, these policies would have had a substantial adverse impact on the Financial Plan.

Additionally, President Trump has taken unilateral Executive action to withhold Cost Sharing Reduction (CSR) payments, threatening low-cost health insurance coverage for income-eligible recipients purchasing Qualified Health Plan (QHP) or EP coverage through NYSOH, New York's official health plan marketplace. Despite the Federal withholding of CSR payments, which amount to 25 percent of the Federal funding for the EP, the Enacted Budget continues to support the EP program. In order to offset this loss of funding, the State will utilize EP Medical Loss Ratio (MLR) remittances, reduce reimbursement rates to plans, and accelerate trust fund monies to maximize Federal benefits.

While Federal funding for the Children's Health Insurance Program (CHIP) has been reauthorized through FFY 2027, it remains possible that other Federal changes could affect the State's health care policies. DOB continues to monitor Federal health care policy.

Excise Tax on High-Cost Employer-Sponsored Health Coverage ("Cadillac Tax")

The Excise Tax on High-Cost Employer Sponsored Health Coverage (26 USC 4980I) is a 40 percent excise tax assessed on the portion of the premium for an employer-sponsored health insurance plan that exceeds a certain annual limit. The provision was initially included in the ACA to offset mandatory spending increases but has since been altered by intervening laws that delay the implementation of the tax until 2022.

Regulations from the Internal Revenue Service have yet to be published. DOB has no current estimate as to the potential impact to the Financial Plan from this Federal excise tax.

MRT Medicaid Waiver

The Federal CMS and the State have an agreement authorizing up to \$8 billion in new Federal funding over several years to transform New York's health care system and ensure access to quality care for all Medicaid beneficiaries. This funding, provided through an amendment to the State's Partnership Plan 1115 Medicaid waiver, is divided among the Interim Access Assurance Fund (IAAF), the Delivery System Reform Incentive Payment (DSRIP) Program, Health Homes, and various other Medicaid redesign initiatives.

Since January 1, 2014, in accordance with provisions of the ACA, the State has been eligible for enhanced Federal Medical Assistance Percentage (FMAP) funding associated with childless adults. The DOH continues to work with the CMS and to refine eligibility data systems to draw the appropriate amount of enhanced FMAP funding. This reconciliation may result in a modification of payments to the State and local governments.

Federal Debt Limit

On February 9, 2018, the Federal government enacted legislation suspending the Federal debt limit through March 1, 2019, forestalling the possibility of a default by the Federal government until at least that time. A Federal government default on payments, particularly for a prolonged period, could have a materially adverse effect on the national and the State economies, financial markets, and intergovernmental aid payments. The specific effects on the Financial Plan of a future Federal government default are unknown and impossible to predict. However, data from past economic downturns suggest that the State's revenue loss could be substantial if the economy goes into a recession due to a Federal default.

A payment default by the United States may adversely affect the municipal bond market. Municipal issuers, as well as the State, could face higher borrowing costs and impaired market access. This would jeopardize planned capital investments in transportation infrastructure, higher education facilities, hazardous waste remediation, environmental projects, and economic development projects. Additionally, the market for and market value of outstanding municipal obligations, including municipal obligations of the State, could be adversely affected.



Other Matters Affecting the Financial Plan

Current Labor Negotiations and Agreements (Current Contract Period)

The State has multi-year labor agreements in place with the majority of the unionized workforce. The Civil Service Employees Association (CSEA) and DC-37 (Rent Regulation) employees have a five-year labor contract that provides annual salary increases of 2 percent for FYs 2017 through 2021 and additional compensation changes, offset by benefit design changes within the New York State Health Insurance Program (NYSHIP) and reductions in overtime costs.

Employees represented by the Public Employees Federation (PEF) and the Graduate Student Employees Union (GSEU), as well as Management/Confidential (M/C) employees, have a three-year collective bargaining agreement providing 2 percent annual salary increases in FYs 2017 through 2019.

Members of the Police Benevolent Association of the New York State Troopers (NYSTPBA) and the New York State Police Investigators Association (NYSPIA) have a multi-year collective bargaining agreement that provides a 2 percent general salary increase for each of FY 2015 and FY 2016, and a 1.5 percent general salary increase for each of FY 2017 and FY 2018. Negotiations on the next contract with NYSTPBA and NYSPIA have commenced.

The State is in negotiations with all other employee unions whose contracts concluded in FY 2016, including United University Professions (UUP), Council 82 and the New York State Correctional Officers and Police Benevolent Association (NYSCOPBA) following the March 2017 membership rejection of a tentative agreement on a five-year labor contract through FY 2021.¹⁶ Negotiations also continue with the Police Benevolent Association of New York State (PBANYS), whose contract expired at the end of FY 2015.

The Judiciary has reached agreement with all 12 unions represented within its workforce. The contract periods are as follows: FY 2018 to FY 2020 for CSEA, FY 2012 to FY 2019 for Court Officers Benevolent Association of Nassau County (COBANC), FY 2012 to FY 2021 for the NYS Supreme Court Officers Association, the NYS Court Officers Association and the Court Clerks Association, and FY 2017 to FY 2019 for seven other unions.

¹⁶ The five-year agreement with NYSCOPBA that was not ratified would have provided for annual 2 percent general salary increases through FY 2021, and differentials typically received within the law enforcement community (e.g., Hazardous Duty Pay), the costs of which were offset by benefit design changes within NYSHIP and reductions in overtime costs.

Pension Contributions

Overview

The State makes annual contributions to the New York State and Local Retirement System (NYSLRS) for employees in ERS and PFRS. This section discusses contributions from the State, including the Judiciary, to the NYSLRS, which account for the majority of the State's pension costs.¹⁷ All projections are based on projected market returns and numerous actuarial assumptions which, if unrealized, could change these projections materially.

During FY 2016, the NYSLRS updated its actuarial assumptions based on the results of the 2015 five-year experience study. In September 2015, the System announced that employer contribution rates would decrease for FY 2017 and the assumed rate of return would be lowered from 7.5 percent to 7 percent. The salary scale assumptions were also changed – for ERS the scale was reduced from 4.9 percent to 3.8 percent and for PFRS the scale was reduced from 6.0 percent to 4.5 percent.

FY 2019 Projections

The preliminary FY 2019 ERS/PFRS pension liability of \$2.3 billion is impacted by the FY 2017 investment return of 11.5 percent, which was above the Comptroller's assumed rate of return (7 percent). The estimate also reflects the impact of past investment performance and growth in the number of lower cost Tier 6 members. As a result, the average contribution rate for ERS will decrease from 15.3 percent of payroll to 14.9, while the average contribution rate for PFRS will decrease from 24.4 percent of payroll to 23.5 percent.¹⁸

The pension liability also reflects changes to military service credit provisions found in Section 1000 of the Retirement and Social Security Law (RSSL) enacted during the 2016 legislative session (Chapter 41 of the Laws of 2016). All veterans who are members of NYSLRS may, upon application, receive extra service credit for up to three years of military duty if such veterans (i) were honorably discharged, (ii) have achieved five years of credited service in a public retirement system, and (iii) agree to pay the employee share of such additional pension credit. Costs to the State for employees in ERS will be incurred at the time each member purchases credit, as documented by OSC at the end of each calendar year, while costs for employees in PFRS will be distributed across PFRS employers and billed on a two-year lag (e.g. FY 2017 costs will first be billed in FY 2019). Additionally, Section 25 of the RSSL requires the State to pay the ERS employer contributions associated with this credit on behalf of local governments. The State is also permitted to amortize the cost of past service credits newly incurred in a given fiscal year; however, the State does not anticipate choosing this option as there would be an interest rate of 7 percent applied to

¹⁷ The State's aggregate pension costs also include costs for State employees in the Teachers' Retirement System (TRS) for both SUNY and the State Education Department (SED), the Optional Retirement Program (ORP) for both SUNY and SED, and the New York State Voluntary Defined Contribution Plan (VDC).

¹⁸ Average contribution rates include the Group Life Insurance Program (GLIP), and thus differ from the system average normal rates reported in the pension amortization section.



Other Matters Affecting the Financial Plan

this amortization. The ERS cost to the State (including the costs covered for local ERS) was \$52 million in FY 2018 based on actual credit purchased through December 31, 2017. DOB currently estimates ERS costs of \$55 million in FY 2019; and \$39 million in FY 2020. Additionally, the State expects ongoing costs of \$7 million beginning in FY 2021 as new cohorts of veterans become eligible to purchase the credit.

Outyear Projections

Pension estimates for FY 2020 and beyond, as projected by DOB, reflect growth in normal costs primarily based on the expectation that collective bargaining will result in continued salary increases and that investment returns will be below the actuarially assumed 7 percent rate of return in the near-to-mid-term.

Pension Amortization

Under legislation enacted in August 2010, the State and local governments may amortize (defer paying) a portion of their annual pension costs. Amortization temporarily reduces the pension costs that must be paid by public employers in a given fiscal year, but results in higher costs overall when repaid with interest.

The State and local governments are required to begin repayment on each new amortization in the fiscal year immediately following the year in which the amortization was initiated. The full amount of each amortization must be repaid within ten years at a fixed interest rate determined by OSC. Legislation included in the FY 2017 Enacted Budget authorized the State to prepay a portion of remaining principal associated with an amortization, and then pay a lower re-calculated interest installment in any subsequent year for which the principal has been prepaid. This option does not allow the State to delay the original ten-year repayment schedule, nor does it allow for the interest rate initially applied to the amortization amount to be modified.

The portion of an employer's annual pension costs that may be amortized is determined by comparing the employer's amortization-eligible contributions as a percentage of employee salaries (i.e., the normal rate¹⁹) to a system-wide amortization threshold (i.e., the graded rate). Graded rates are determined for ERS and PFRS according to a formula enacted in the 2010 legislation and generally move toward their system's average normal rate by up to one percentage point per year. When an employer's normal rate is greater than the system-wide graded rate, the employer can elect to amortize the difference. However, when the normal rate of an employer that previously amortized is less than the system-wide graded rate, the employer is required to pay the graded rate. Additional contributions are first used to pay off existing amortizations and are then deposited into a reserve account to offset future increases in contribution rates. Chapter 48 of the Laws of 2017 changed the graded rate computation to provide an employer specific graded rate based on the employer's own tier and plan demographics.

¹⁹ For the purpose of this discussion, the "normal rate" refers to all amortization-eligible costs (i.e. normal and administrative costs, as well as certain employer-provided options such as sick leave credit) divided by salary base.

Other Matters Affecting the Financial Plan



The State has not amortized its pension costs (including the Office of Court Administration (OCA)) since FY 2016.

The amortization threshold is projected to equal the normal rate in upcoming fiscal years. The following table reflects projected pension contributions and amortizations exclusively for Executive branch and Judiciary employers participating in ERS and PFRS.

EMPLOYEE RETIREMENT SYSTEM AND POLICE AND FIRE RETIREMENT SYSTEM									
IMPACTS OF AMORTIZATION ON PENSION CONTRIBUTIONS									
(millions of dollars)									
Fiscal Year	Statewide Pension Payments ¹				Rates for Determining (Amortization Amount) / Excess Contributions				
	Normal Costs ²	(Amortization Amount) / Excess Contributions	Repayment of Amortization	Total Statewide Pension Payments	Interest Rate on Amortization Amount (%) ³	System Average Normal Rate ⁴		Amortization Threshold (Graded Rate)	
						ERS (%)	PFRS (%)	ERS (%)	PFRS (%)
2011	1,543.2	(249.6)	0.0	1,293.6	5.0	11.5	18.1	9.5	17.5
2012	2,037.6	(562.9)	32.3	1,507.0	3.8	15.9	21.6	10.5	18.5
2013	2,076.1	(778.5)	100.8	1,398.4	3.0	18.5	25.7	11.5	19.5
2014	2,633.8	(937.0)	192.0	1,888.8	3.7	20.5	28.9	12.5	20.5
2015	2,325.7	(713.1)	305.7	1,918.3	3.2	19.7	27.5	13.5	21.5
2016	1,972.1	(356.1)	389.9	2,005.9	3.2	17.7	24.7	14.5	22.5
2017	1,788.6	0.0	432.1	2,220.7	2.3	15.1	24.3	15.1	23.5
2018	1,788.7	0.0	432.1	2,220.8	2.8	14.9	24.3	14.9	24.3
2019 Est.	1,866.7	0.0	432.1	2,298.8	0.0	14.4	23.5	14.4	23.5
-----Projected by DOB ⁵ -----									
2020	1,921.4	0.0	432.1	2,353.5	0.0	15.2	24.0	15.2	24.0
2021	2,080.3	0.0	432.1	2,512.4	0.0	16.2	25.0	16.2	25.0
2022	2,272.5	0.0	399.8	2,672.3	0.0	17.2	26.0	17.2	26.0
2023	2,436.7	0.0	331.3	2,768.0	0.0	17.9	25.8	17.9	25.8
2024	2,460.1	0.0	240.1	2,700.2	0.0	17.7	25.4	17.7	25.4
2025	2,483.7	0.0	126.4	2,610.1	0.0	17.5	25.0	17.5	25.0
2026	2,507.2	0.0	42.2	2,549.4	0.0	17.3	24.6	17.3	24.6

¹ Pension Contribution values in this table do not include pension costs related to the ORP, VDC, and TRS for SUNY and SED, whereas the projected pension costs in other Financial Plan tables include such pension disbursements.

² Normal costs include payments from amortizations prior to FY 2011, which ended in FY 2016 as a result of early repayments.

³ Interest rates are determined by the Comptroller based on the market rate of return on comparable taxed fixed income investments (e.g., Ten-Year Treasuries). The interest rate is fixed for the duration of the ten-year repayment period.

⁴ The system average normal rate represents system-wide amortization-eligible costs (i.e. normal and administrative costs, as well as the cost of certain employer options) as a percentage of the system's total salary base. The normal rate does not include the following costs, which are not eligible for amortization: Group Life Insurance Program (GLIP) contributions, deficiency contributions, previous amortizations, incentive costs, administrative costs, costs of new legislation in some cases, and prior-year adjustments. "(Amortization Amount) / Excess Contributions" are calculated for each employer in the system using employer-specific normal rates, which may differ from the system average.

⁵ Outyear projections are prepared by DOB. The retirement system does not prepare, or make available, outyear projections of pension costs.



Other Matters Affecting the Financial Plan

The “Normal Costs” column shows the State’s underlying pension cost in each fiscal year, before the effects of amortization. The “(Amortized) / Excess Contributions” column shows amounts amortized. The “Amortization Payments” column provides the amount paid in principal and interest towards the outstanding balance on prior-year amortizations. The “Total Statewide Pension Payments” column provides the State’s actual or planned pension contribution, inclusive of amortization. The “Interest Rate on Amortization Amount (%)” column provides the interest rate at which the State will repay the amortized contribution, as determined by OSC. The remaining columns provide information on the normal rate and graded rate, which are used to determine the maximum allowed “(Amortized)” amount or the mandatory “Excess Contributions” amount for a given fiscal year.

Other Post-Employment Benefits (OPEB)

State employees become eligible for post-employment benefits (e.g., health insurance) if they reach retirement while working for the State; are enrolled in NYSHIP, or are enrolled in the NYSHIP opt-out program, at the time they reach retirement; and have at least ten years of eligible service. The cost of providing post-retirement health insurance is shared between the State and the retired employee. Contributions are established by law and may be amended by the Legislature. The State pays its share of costs on a Pay-As-You-Go (PAYGO) basis as required by law.

In accordance with the GASB Statement 45, the State must perform an actuarial valuation every two years for purposes of calculating OPEB liabilities. As disclosed in Note 13 of the State’s Basic Financial Statements for FY 2017, the State’s Annual Required Contribution (ARC) represents the annual level of funding that, if set aside on an ongoing basis, is projected to cover normal costs each year and to amortize any unfunded liabilities of the plan over a period not to exceed 30 years. Amounts required but not actually set aside to pay for these benefits are accumulated, with interest, as part of the net OPEB obligation, after adjusting for amounts previously required.

As reported in the State’s Basic Financial Statements for FY 2017, the unfunded actuarial accrued liability for FY 2017 is \$87.2 billion (\$72.8 billion for the State and \$14.4 billion for SUNY), an increase of \$9.4 billion from FY 2016 (attributable entirely to the State). The unfunded actuarial accrued liability for FY 2017 used an actuarial valuation of OPEB liabilities as of April 1, 2016 for the State and April 1, 2014 for SUNY. These valuations were determined using the Frozen Entry Age actuarial cost method, and are amortized over an open period of 30 years using the level percentage of projected payroll amortization method. A significant portion of the annual growth in the State’s unfunded actuarial accrued liability is due to the reduction of the discount rate from 3.155 to 2.637 percent, calculated as the average STIP rate for the past 20 years at the time of valuation. The decline in the discount rate increases the present value of the projected benefit obligation.

The actuarially determined annual OPEB cost for FY 2017 totaled \$4.1 billion (\$3.2 billion for the State and \$923 million for SUNY), a decline of \$7 million from FY 2016 (\$4 million for the State and \$3 million for SUNY). The actuarially-determined cost is calculated using the Frozen Entry Age actuarial cost method, allocating costs on a level basis over earnings. The actuarially determined cost was \$2.4 billion (\$1.795 billion for the State and \$639 million for SUNY) greater than the PAYGO required cash payments for retiree costs made by the State in FY 2017. This difference between the State's PAYGO costs, and the actuarially determined ARC under GASB Statement 45, reduced the State's net asset condition at the end of FY 2017 by \$2.4 billion.

GASB has no authority to require the additional costs to be funded on the State's budgetary (cash) basis, and no additional funding is assumed for this purpose in the Financial Plan. The State continues to fund these costs, along with all other employee health care expenses, on a PAYGO basis.

There is no provision in the Financial Plan to fund the ARC for OPEB. If the State began making a contribution, the additional cost above the PAYGO amounts would be lowered. However, it is not expected that the State will alter its current PAYGO funding practice.

The FY 2018 Enacted Budget included legislation creating a Retiree Health Benefit Trust Fund (the "Trust Fund") that authorizes the State to reserve money for the payment of health benefits of retired employees and their dependents. Under the legislation, the State may deposit into the Trust Fund, in any given fiscal year, up to 0.5 percent of total then-current unfunded actuarial accrued OPEB liability. The Financial Plan does not include any deposits to the Trust Fund.

The provisions of GASB Statement 75 (Accounting and Financial Reporting for Postemployment Benefits Other Than Pensions), which amends GASB Statement 45 and GASB Statement 57, is expected to be incorporated into the State's FY 2019 financial statements. The FY 2019 statements are expected to be issued in July 2019. The GASB Statement alters the actuarial methods used to calculate OPEB liabilities, standardizes asset smoothing and discount rates, and requires the unfunded net OPEB obligation to be reported by the State in its Statement of Net Position. Reporting the unfunded OPEB liability on the Statement of Net Position, rather than as a note disclosure, is expected to significantly increase the State's total long-term liabilities and show the State in a negative net position.

GASB Statement 75 is not expected to alter the Financial Plan cash PAYGO projections for health insurance costs, as the DOB methodology for forecasting these costs over a multi-year period already incorporates factors and considerations consistent with the new actuarial methods and calculations required by the GASB Statement.



Litigation

Litigation against the State may include potential challenges to the constitutionality of various actions. The State may also be affected by adverse decisions that are the result of various lawsuits. Such adverse decisions may not meet the materiality threshold to warrant individual description but, in the aggregate, could still adversely affect the Financial Plan.

Climate Change Adaptation

Climate change poses long-term threats to physical and biological systems. Potential hazards and risks related to climate change for the State include, among other things, rising sea levels, more severe coastal flooding and erosion hazards, and more intense storms. Storms in recent years, including Superstorm Sandy, Hurricane Irene, and Tropical Storm Lee, have demonstrated vulnerabilities in the State's infrastructure (including mass transit systems, power transmission and distribution systems, and other critical lifelines) to extreme weather events including coastal flooding caused by storm surges. Climate change risks, if they materialize, can adversely impact the Financial Plan in current or future years. Significant long-term planning and investment by the Federal government, State, municipalities, and public utilities are expected to be needed to adapt existing infrastructure to climate change risks.

Participants in financial markets are acknowledging climate change risks. In June 2017, an industry-led Task Force on Climate-related Financial Disclosure convened by the Financial Stability Board (an international body which monitors the global financial system) published recommendations stating that climate risk affects most market sectors and that climate-related risk should be publicly disclosed to investors in annual financial filings.²⁰ In November 2017, Moody's Investors Service issued guidance to state and local governments that climate change is forecast to heighten exposure to economic losses, placing potential pressure on credit ratings. The Moody's report identified rising sea levels and the effect on coastal infrastructure as the primary climate risk for the northeastern US region, including the State. These risks are heightened by population concentration in coastal counties.

The State continues to recover from the damage sustained during three powerful storms that crippled entire regions. In August 2011, Hurricane Irene disrupted power and caused extensive flooding to various State counties. In September 2011, Tropical Storm Lee caused flooding in additional State counties and, in some cases, exacerbated the damage caused by Hurricane Irene two weeks earlier. On October 29, 2012, Superstorm Sandy struck the East Coast, causing widespread infrastructure damage and economic losses to the greater New York region. The frequency and intensity of these storms present economic and financial risks to the State. Reimbursement claims for costs of the immediate response, recovery, and future mitigation efforts continue, largely supported by Federal funds. In January 2013, the Federal government approved approximately \$60 billion in Federal disaster aid for general recovery, rebuilding, and mitigation

²⁰ For further context to the June 2017 disclosure recommendations, the Financial Stability Board was asked by an international coalition of G20 Finance Ministers and Central Bank Governors to address concerns that undisclosed climate risk could destabilize global financial markets.

activity nationwide. It is anticipated that the State, MTA, and State localities may receive approximately one-half of this amount for response, recovery, and mitigation costs. To date, a total of \$17 billion has been committed to repairing impacted homes and businesses, restoring community services, and mitigating future storm risks across the State. There can be no assurance that all anticipated Federal disaster aid described above will be provided to the State and its affected entities over the coming years.

Cybersecurity

New York State government, like many other large public and private entities, relies on a large and complex technology environment to conduct its operations. As a recipient and provider of personal, private, or sensitive information, the State and its public corporations and municipalities face multiple cyber threats including, but not limited to, hacking, viruses, malware and other attacks on computer and other sensitive digital networks and systems. Entities or individuals may attempt to gain unauthorized access to the State's digital systems for the purposes of misappropriating assets or information or causing operational disruption and damage. To mitigate the risk of business operations impact and/or damage from cyber incidents or cyber-attacks, the State invests in multiple forms of cybersecurity and operational controls. The State's Enterprise Information Security Office (EISO) within the State's Information Technology Services (ITS) maintains a cyber command center hotline and related procedures for cyber incident reporting and response, distributes real-time advisories and alerts, provides managed security services, and implements statewide information security training and exercises for State and local government. While controls are routinely reviewed and tested, no assurances can be given that such security and operational control measures will be completely successful at guarding against cyber threats and attacks. The results of any such attack could impact business operations and/or damage State digital networks and systems, and the costs of remedying any such damage could be substantial.

The State has also adopted regulations designed to protect the financial services industry from cyberattacks. Banks, insurance companies and other covered entities regulated by DFS are, unless eligible for limited exemptions, required to: (i) maintain a cyber security program, (ii) create written cybersecurity policies and perform risk assessments, (iii) designate a Chief Information Security Officer with responsibility to oversee the cybersecurity program, (iv) annually certify compliance with the cybersecurity regulations, and (v) report to DFS cybersecurity events that have a reasonable likelihood of materially harming any material part of the entity's normal operation(s) or of which notice is required to any government body, self-regulatory agency, or supervisory body.



Financial Condition of New York State Localities

The State's localities rely in part on State aid to balance their budgets and meet their cash requirements. As such, unanticipated financial need among localities can adversely affect the State's Financial Plan. Certain localities outside New York City, including cities and counties, have experienced financial problems and have requested and received additional State assistance during the last several State fiscal years. In 2013, the Financial Restructuring Board for Local Governments was created to provide assistance to distressed local governments. The Restructuring Board performs comprehensive reviews and provides grants and loans as a condition of implementing recommended efficiency initiatives. For additional details on the Restructuring Board, please visit www.frb.ny.gov.

Bond Market

Successful implementation of the Financial Plan is dependent on the State's ability to market bonds. The State finances much of its capital spending in the first instance from the General Fund or the STIP, which it then reimburses with proceeds from the sale of bonds. If the State cannot sell bonds at the levels (or on the timetable) expected in the capital plan, the State's overall cash position and capital funding plan may be adversely affected. The success of projected public sales will be subject to prevailing market conditions, among other things. Future developments in the financial markets, including possible changes in Federal tax law relating to the taxation of interest on municipal bonds, as well as future developments concerning the State and public discussion of such developments generally, may affect the market for outstanding State-supported and State-related debt. The Tax Cuts and Jobs Act adversely impacts the State and its public authorities by removing certain refunding opportunities for Federal tax exempt financing, including advance refundings for debt service savings when interest rates are favorable.

Debt Reform Act Limit

The Debt Reform Act of 2000 ("Debt Reform Act") restricts the issuance of State-supported debt funding for capital purposes only, and limits the maximum term of bonds to 30 years. The Debt Reform Act limits the amount of new State-supported debt to 4 percent of State personal income, and new State-supported debt service costs to 5 percent of All Funds receipts. The restrictions apply to all new State-supported debt issued since April 1, 2000. DOB, as administrator of the Debt Reform Act, determined that the State was in compliance with the statutory caps in the most recent calculation period (FY 2017).

Current projections anticipate that debt outstanding and debt service will continue to remain below the limits imposed by the Debt Reform Act. Based on the most recent personal income and debt outstanding forecasts, the availability under the debt outstanding cap is expected to decline from \$3.7 billion in FY 2018 to about \$49 million in FY 2021. This includes the estimated impact of the bond-financed portion of increased capital commitment levels. In addition, the projected availability under the debt cap is dependent on expected growth in State personal income. Debt outstanding and debt service caps continue to include the existing SUNY Dormitory Facilities lease revenue bonds, which are backed by a general obligation pledge of SUNY. Bonds issued under

Other Matters Affecting the Financial Plan



the new SUNY Dormitory Facilities Revenue credit (which are not backed by a general obligation pledge of SUNY) are not included in the State's calculation of debt caps. Capital spending priorities and debt financing practices may be adjusted from time to time to preserve available debt capacity and stay within the statutory limits, as events warrant.

DEBT OUTSTANDING SUBJECT TO CAP (millions of dollars)								TOTAL STATE-SUPPORTED DEBT (millions of dollars)	
Year	Personal Income	Cap %	Cap \$	Debt Outstanding Since April 1, 2000	\$ Remaining Capacity	Debt as a % of PI	% Remaining Capacity	Debt Outstanding Prior to April 1, 2000	Total State-Supported Debt Outstanding
FY 2018	1,210,641	4.00%	48,426	44,744	3,681	3.70%	0.30%	6,552	51,297
FY 2019	1,267,180	4.00%	50,687	48,936	1,751	3.86%	0.14%	5,725	54,661
FY 2020	1,325,014	4.00%	53,001	52,493	507	3.96%	0.04%	4,808	57,301
FY 2021	1,384,242	4.00%	55,370	55,321	49	4.00%	0.00%	3,302	58,622
FY 2022	1,445,224	4.00%	57,809	57,716	93	3.99%	0.01%	2,666	60,382
FY 2023	1,508,787	4.00%	60,351	60,235	117	3.99%	0.01%	2,063	62,298

DEBT SERVICE SUBJECT TO CAP (millions of dollars)								TOTAL STATE-SUPPORTED DEBT SERVICE (millions of dollars)	
Year	All Funds Receipts	Cap %	Cap \$	Debt Service Since April 1, 2000	\$ Remaining Capacity	DS as a % of Revenue	% Remaining Capacity	Debt Service Prior to April 1, 2000	Total State-Supported Debt Service
FY 2018	165,470	5.00%	8,274	4,477	3,796	2.71%	2.29%	1,381	5,858
FY 2019	166,011	5.00%	8,301	4,800	3,501	2.89%	2.11%	556	5,356
FY 2020	169,996	5.00%	8,500	5,342	3,158	3.14%	1.86%	1,595	6,937
FY 2021	172,223	5.00%	8,611	5,704	2,907	3.31%	1.69%	1,454	7,158
FY 2022	177,182	5.00%	8,859	5,995	2,864	3.38%	1.62%	1,072	7,068
FY 2023	178,319	5.00%	8,916	6,429	2,487	3.61%	1.39%	843	7,272

Changes in the State's available debt capacity, as illustrated below, reflect the impact of several factors. These include a reduction to the personal income forecast due almost entirely to income revisions by the Bureau of Economic Analysis (BEA). In recent quarters, BEA has made sizeable revisions to prior-year income levels, which in turn changes the base from which DOB calculates income projections going forward. The substantial reduction to personal income necessitates capital spending reductions in order to stay within the debt cap in future years. The spending reductions are expected to be managed within anticipated underspending on capital projects throughout the plan period. Debt capacity amounts continue to assume that SUNY Dormitory Facilities lease revenue bonds will be refunded into the new SUNY Dormitory Facilities Revenue Bond credit when the bonds become currently callable.

DEBT CAPACITY (millions of dollars)						
	FY 2018 Results	FY 2019 Enacted	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
FY 2019 Executive Budget Financial Plan	3,884	1,693	252	61	79	221
Personal Income Forecast Adjustment	(458)	(110)	(46)	(47)	(43)	(25)
Capital Reestimates	255	528	806	444	340	(31)
Capital Adds	0	(360)	(505)	(409)	(283)	(48)
FY 2019 Enacted Budget Financial Plan	3,681	1,751	507	49	93	117



Secured Hospital Program

Under the Secured Hospital Program, the State entered into service contracts to enable certain financially distressed not-for-profit hospitals to have tax-exempt debt issued on their behalf, to pay for upgrading their primary health care facilities. Revenues pledged to pay debt service on the bonds include hospital payments made under loan agreements between the Dormitory Authority of the State of New York (DASNY) and the hospitals and certain reserve funds held by the applicable trustees for the bonds. In the event of revenue shortfalls to pay debt service on the Secured Hospital bonds, the service contracts obligate the State to pay debt service, subject to annual appropriations by the Legislature, on bonds issued by DASNY through the Secured Hospital Program. As of March 31, 2018, there were approximately \$193 million of bonds outstanding for this program.

Three of the four remaining hospitals in the State's Secured Hospital Program are in poor financial condition. In relation to the Secured Hospital Program, the State's contingent contractual obligation was invoked to pay debt service for the first time in FY 2014. Since then the State has paid \$99 million for debt service costs. DASNY also estimates the State will pay debt service costs of approximately \$26 million in FY 2019, \$28 million annually in FY 2020 and FY 2021, \$22 million in FY 2022, and \$17 million in FY 2023. These amounts are based on the actual experience to date of the participants in the program, and would cover the debt service costs for one hospital whose debt service obligation was discharged in bankruptcy but is paying rent which offsets a portion of the debt service, a second hospital which closed in 2010, and a third hospital that is currently delinquent in its payments. The State has estimated additional exposure of up to \$9 million annually, if all hospitals in the Program failed to meet the terms of their agreements with DASNY and if available reserve funds were depleted.

SUNY Downstate Hospital and the Long Island College Hospital (LICH)

In May 2011, the New York State Supreme Court issued an order that approved the transfer of real property and other assets of LICH to a New York State not-for-profit corporation (“Holdings”), the sole member of which is SUNY. Subsequent to such transfer, Holdings leased the LICH hospital facility to SUNY University Hospital at Brooklyn. In 2012, DASNY issued tax exempt State PIT Revenue Bonds (“PIT Bonds”), to refund approximately \$120 million in outstanding debt originally incurred by LICH and assumed by Holdings.

Pursuant to a court-approved settlement in 2014, SUNY, together with Holdings, issued a request for proposals (RFP) seeking a qualified party to provide or arrange to provide health care services at LICH and to purchase the LICH property.

In accordance with the settlement, Holdings has entered into a purchase and sale agreement with the Fortis Property Group (FPG) Cobble Hill Acquisitions, LLC (the “Purchaser”), an affiliate of Fortis Property Group, LLC (“Fortis”) (also party to the agreement), which proposes to purchase the LICH property, and with NYU Hospitals Center, which proposes to provide both interim and long-term health care services. The Fortis affiliate plans to develop a mixed-use project. The agreement was approved by the Offices of the Attorney General and the State Comptroller, and the sale of all or substantially all of the assets of Holdings was approved by the State Supreme Court in Kings County. The initial closing was held as of September 1, 2015, and on September 3, 2015 sale proceeds of approximately \$120 million were transferred to the trustee for the PIT Bonds, which were paid and legally defeased from such proceeds. Titles to 17 of the 20 properties were conveyed to the special purpose entities formed by the Purchaser to hold title.

The next closing, when title to the New Medical Site (NMS) portion of the LICH property is to be conveyed to NYU Hospitals Center (the NMS Closing), is anticipated to occur within 30 days after all buildings on the NMS are fully demolished and all environmental issues remediated by the Purchaser. In its efforts to complete the demolitions and environmental remediation, the Purchaser is addressing issues raised by adjoining property owners and community groups. These challenges have delayed, and may continue to delay, demolition and environmental remediation.

As the NMS Closing did not occur on or before June 30, 2016, NYU Hospitals Center has the right to terminate its obligations under the purchase and sale agreement upon 30 days prior notice to Purchaser and Holdings. There can be no assurance that NYU Hospitals Center will not exercise its right to terminate. If NYU Hospitals Center terminates its obligations under the purchase and sale agreement, it has the contractual right to close its interim emergency department services immediately, but that right would be subject to obtaining regulatory approval for the closure. Also, if NYU Hospitals Center terminates its obligations under the purchase and sale agreement, the Purchaser has the ability under the purchase and sale agreement to continue with the final closing if, among other criteria, the Purchaser can identify a replacement provider with a confirming letter of interest to provide certain of the health care services expected to be provided by NYU Hospitals Center.



Other Matters Affecting the Financial Plan

To date, Holdings has received no indication that NYU Hospitals Center intends to terminate its obligations under the purchase and sale agreement. As an alternative to termination, in light of the delays, each of Holdings and NYU Hospitals Center has the contractual right at any time to take over and complete the demolition and environmental remediation at the Purchaser's sole cost and expense. If Holdings elects to take over the demolition and environmental remediation, it may do so directly or through a designee (i.e., a contractor).

The final closing is anticipated to occur within 36 months after the NMS Closing. At the final closing, titles to the two remaining portions of the LICH properties will be conveyed to special purpose entities of Fortis, and Holdings will receive the balance of the purchase price, \$120 million less the remaining down payment. The final closing is conditioned upon completion of the New Medical Building by NYU Hospitals Center and relocation of the emergency department to the New Medical Building.

There can be no assurance that the resolution of legal, financial, and regulatory issues surrounding LICH, including the payment of outstanding liabilities, will not have a materially adverse impact on SUNY.

State Financial Plan Projections Fiscal Years 2018 Through 2022



State Financial Plan Projections Fiscal Years 2018 Through 2022

Introduction

This section presents the State's multi-year Financial Plan projections for receipts and disbursements for FY 2019 through FY 2022, with an emphasis on the FY 2019 projections, which reflect the impact of the Enacted Budget Financial Plan.

The State's cash-basis budgeting system, complex fund structure, and practice of earmarking certain tax receipts for specific purposes complicate the discussion of the State's receipts and disbursements projections. To minimize the distortions caused by these factors and, to highlight relevant aspects of the projections, DOB has adopted the following approaches in summarizing the projections:

- **Receipts.** The detailed discussion of tax receipts covers projections for both the General Fund and State Funds (including capital projects). The State Funds perspective reflects estimated tax receipts before distribution to various funds and accounts, including tax receipts dedicated to capital projects funds (which fall outside of the General Fund and State Operating Funds accounting perspectives). DOB believes this presentation provides a clearer picture of projected receipts, trends, and forecast assumptions, by factoring out the distorting effects of earmarking tax receipts for specific purposes.
- **Disbursements.** Roughly 30 percent of projected State-financed spending for operating purposes (excluding transfers) is accounted for outside of the General Fund, concentrated primarily in the areas of health care, School Aid, higher education, and transportation. To provide a clear picture of spending commitments, the multi-year projections and growth rates are presented, where appropriate, on both a General Fund and State Operating Funds basis.

In evaluating the State's multi-year operating forecast, it should be noted that the reliability of the estimates and projections as a predictor of the State's future financial position is likely to diminish the further removed such estimates and projections are from the date of this Enacted Budget Financial Plan. Accordingly, in terms of outyear projections, the first "outyear" of the FY 2019 budget, FY 2020, is the most relevant from a planning perspective.

Summary

The Financial Plan projects 2 percent annual growth in State Operating Funds, consistent with the expectation of adherence with a 2 percent spending growth benchmark.

The projections for FY 2020 and thereafter set forth in the Financial Plan reflect the savings that DOB estimates would be realized if the Governor continues to propose, and the Legislature continues to enact, balanced budgets in future years that limit annual growth in State Operating Funds spending to no greater than 2 percent. The calculations are developed using the State Operating Funds accounting perspective, as it is currently reflected in the Financial Plan. From time to time, the State has approved legislation that has affected the spending reflected in State Operating Funds.

Estimated savings are labeled on a distinct line in the Financial Plan tables as “Adherence to 2% Spending Benchmark.” The total disbursements in the Financial Plan tables do not assume these savings. Such savings are expected to be developed and proposed in future budgets. If the State exceeds the 2 percent State Operating Funds spending benchmark in FY 2020, FY 2021, and/or FY 2022, the projected operating position could decline.

The following tables present the Financial Plan multi-year projections for the General Fund and State Operating Funds, as well as reconciliation between the State Operating Funds projections and the General Fund budget gaps. The tables are followed by a summary of the multi-year receipts and disbursements forecasts.



State Financial Plan Projections Fiscal Years 2018 Through 2022

General Fund Projections

GENERAL FUND PROJECTIONS (millions of dollars)					
	FY 2018 Results	FY 2019 Enacted	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
RECEIPTS					
Taxes (After Debt Service)	67,370	67,695	71,258	73,127	76,101
Miscellaneous Receipts/Federal Grants	3,129	2,127	2,028	2,001	1,883
Other Transfers	921	2,838	2,254	1,816	1,762
Total Receipts	71,420	72,660	75,540	76,944	79,746
DISBURSEMENTS					
Local Assistance	46,072	51,063	53,918	57,009	59,472
School Aid	22,015	23,161	24,105	25,197	26,456
Medicaid/EP	13,397	14,331	15,719	16,797	17,677
All Other	10,660	13,571	14,094	15,015	15,339
State Operations	8,228	11,745	12,065	12,614	12,579
Personal Service	6,136	8,691	8,936	9,441	9,374
Non-Personal Service	2,092	3,054	3,129	3,173	3,205
General State Charges	5,572	7,553	8,098	8,618	9,140
Transfers to Other Funds	9,852	6,240	6,643	6,512	6,117
Debt Service	1,047	827	948	1,017	876
Capital Projects	2,191	3,257	3,567	3,292	2,897
State Share of Mental Hygiene Medicaid ¹	1,333	0	0	0	0
SUNY Operations	1,015	1,034	1,025	1,021	1,021
All Other	4,266	1,122	1,103	1,182	1,323
Total Disbursements	69,724	76,601	80,724	84,753	87,308
Use (Reservation) of Fund Balance:	(1,696)	3,941	1,157	863	605
Community Projects	10	29	9	8	0
Labor Agreements	(130)	0	0	0	0
Undesignated Fund Balance	(1,891)	1,905	0	0	0
Extraordinary Monetary Settlements ²	315	2,007	1,148	855	605
BUDGET SURPLUS/(GAP) PROJECTIONS³	0	0	(4,027)	(6,946)	(6,957)
Adherence to 2% Spending Benchmark⁴	n/a	n/a	3,247	5,548	6,470
ENACTED BUDGET SURPLUS/(GAP) ESTIMATE AT 2%	0	0	(780)	(1,398)	(487)

¹ The State will continue to pay its share of Medicaid costs; however, after the reclassification of Mental Hygiene spending from certain Special Revenue Funds to the General Fund, the State share of Mental Hygiene Medicaid will be transferred within the General Fund, rather than to a Special Revenue Fund.

² Reflects transfers of Extraordinary Monetary Settlement funds from the General Fund to the Dedicated Infrastructure Investment Fund, the Environmental Protection Fund, and the Capital Projects Fund.

³ Before actions to adhere to the 2 percent spending growth benchmark.

⁴ Savings estimated from limiting annual spending growth in future years to 2 percent (calculation based on FY 2018 unaudited results), assuming that the Governor continues to propose, and the Legislature continues to enact, a Budget in each fiscal year that restricts State Operating Funds spending growth to 2 percent. The "Enacted Budget Surplus/(Gap) Estimate at 2%" calculation assumes that all savings from holding spending growth to 2 percent are made available to the General Fund.

State Financial Plan Projections Fiscal Years 2018 Through 2022



State Operating Funds Projections

STATE OPERATING FUNDS DISBURSEMENTS (millions of dollars)					
	FY 2018 Results	FY 2019 Enacted	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
RECEIPTS					
Taxes	77,953	76,528	81,350	83,397	86,679
Miscellaneous Receipts/Federal Grants	21,408	20,211	19,527	18,757	18,755
Total Receipts	99,361	96,739	100,877	102,154	105,434
DISBURSEMENTS					
Local Assistance	65,604	66,752	69,502	72,285	74,871
School Aid (School Year Basis)	25,639	26,553	27,509	28,692	29,983
DOH Medicaid ¹	19,143	20,358	21,490	22,535	23,402
Transportation	5,025	3,961	3,642	3,689	3,821
STAR	2,589	2,459	2,417	2,402	2,402
Higher Education	2,833	3,065	3,168	3,217	3,256
Social Services	2,837	2,972	3,047	3,073	3,112
Mental Hygiene	2,350	2,257	2,592	2,928	3,208
All Other ²	5,188	5,127	5,637	5,749	5,687
State Operations	18,821	19,459	19,793	20,545	20,525
Personal Service	13,170	13,542	13,885	14,575	14,476
Non-Personal Service	5,651	5,917	5,908	5,970	6,049
General State Charges	7,853	8,542	9,124	9,713	10,249
Pension Contribution	2,442	2,469	2,590	2,753	2,918
Health Insurance	3,963	4,286	4,592	4,907	5,247
All Other	1,448	1,787	1,942	2,053	2,084
Debt Service	5,873	5,382	6,966	7,186	7,090
Capital Projects	0	0	0	0	0
Total Disbursements³	98,151	100,135	105,385	109,729	112,735
Net Other Financing Sources/(Uses)	772	(307)	(606)	(334)	(101)
RECONCILIATION TO GENERAL FUND GAP					
Designated Fund Balances:	(1,982)	3,703	1,087	963	445
General Fund	(1,696)	3,941	1,157	863	605
Special Revenue Funds	(277)	(233)	(64)	109	(90)
Debt Service Funds	(9)	(5)	(6)	(9)	(70)
GENERAL FUND BUDGET SURPLUS/(GAP)³	0	0	(4,027)	(6,946)	(6,957)
Adherence to 2% Spending Benchmark⁴	n/a	n/a	3,247	5,548	6,470
ENACTED BUDGET SURPLUS/(GAP) ESTIMATE AT 2%	0	0	(780)	(1,398)	(487)

¹ Total State share Medicaid funding includes the utilization of tobacco MSA payments which will be directly deposited to the MMIS Escrow Fund to cover a portion of local Medicaid growth.

² All Other includes other education, parks, environment, economic development, public safety, and reconciliation between school year and State fiscal year spending on School Aid.

³ Before actions to adhere to the 2 percent spending growth benchmark.

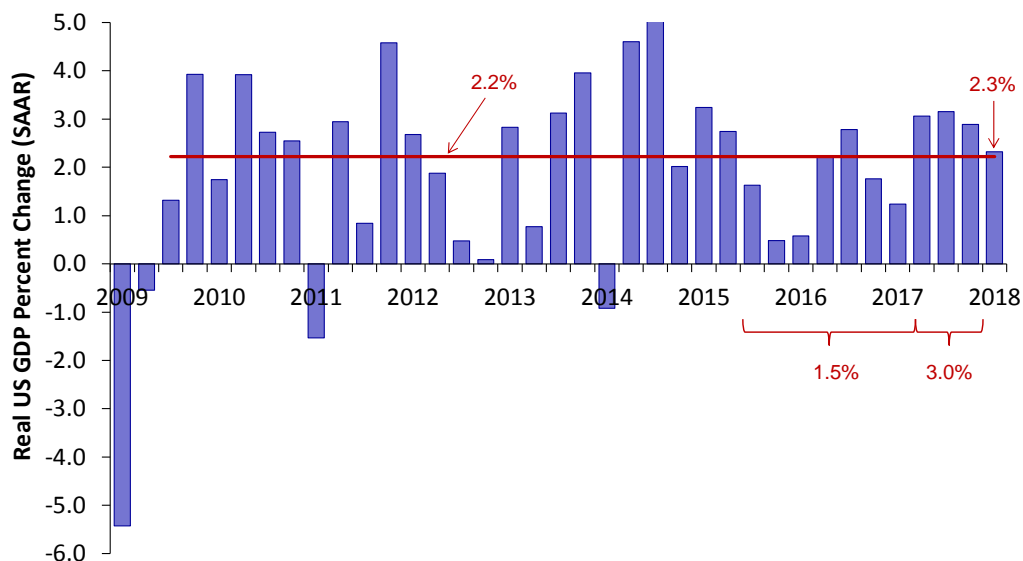
⁴ Savings estimated from limiting annual spending growth in future years to 2 percent (calculation based on FY 2018 unaudited results), assuming that the Governor continues to propose, and the Legislature continues to enact, a Budget in each fiscal year that restricts State Operating Funds spending growth to 2 percent. The "Enacted Budget Surplus/(Gap) Estimate at 2%" calculation assumes that all savings from holding spending growth to 2 percent are made available to the General Fund.

Economic Backdrop

The National Economy

National economic growth slid further in the first quarter of 2018, confirming that the strength of the last three quarters of 2017 was likely due to transitory factors rather than a sustainable ramp up in trend economic growth. The U.S. economy posted growth of 2.3 percent in the first quarter, 0.3 percentage point below the amended Executive Budget forecast published in February. It now appears that the summer's severe storms may have pulled home rebuilding, repairing, and related household spending forward, resulting in low consumer spending growth of 1.1 percent in the first quarter. The replacement of flood-damaged vehicles sent auto sales up to an annualized 18.6 million units in September of last year and an average of 17.9 million per month in the fourth quarter. Sales scaled back to an average of 17.2 million per month in the first quarter of 2018 and are expected to fall further going forward. However, a modest boost from both the federal tax reform and the recent federal budget spending agreement are expected to kick in more fully starting from the second quarter of 2018, providing support for growth for the remainder of the year. DOB estimates U.S. real GDP growth of 2.6 percent for both 2018 and 2019 on an annual average basis, a substantial improvement over the 2.2 percent average over the entire life of the expansion to date, but below the 3.0 percent average experienced over the last three quarters of 2017.

US Economic Growth Improving But Unlikely to Remain at 3 Percent



Source: Moody's Analytics.

State Financial Plan Projections Fiscal Years 2018 Through 2022



Some unusual weather during the first quarter likely also accounts at least in part for the recent volatility in the national labor market. Private sector job gains were close to 200,000 in January and above 300,000 in February, while March gains dropped to 102,000. On average, the private sector added 203,000 jobs during the first three months of 2018, well above the 2017 annual average of 180,000. Assuming weather patterns normalize, private job gains are expected to decelerate as the expansion matures and labor market slack diminishes. Total nonagricultural employment growth of 1.5 percent is projected for 2018, 0.1 percentage point higher than the February forecast, but still a slight deceleration from the 1.6 percent growth experienced in 2017. Although the conventional unemployment rate has fallen to 4.1 percent, its lowest level in 17 years, broader measures of under-employment, including the percentage of the workforce working part-time, have not returned to their pre-recession levels. At the same time, evidence of labor shortages has emerged in a number of sectors requiring special skills, such as construction and manufacturing. Thus, there appears to be ample room for continued job growth.

Consistent with a tightening labor market, the outlook for wage and personal income is solid. Wage growth of 4.5 percent is projected for 2018, a significant upward revision from February, although this estimate likely contains some one-time bonus payouts related to corporate tax reform. Overall personal income growth of 4.2 percent is estimated for 2018, 0.3 percentage point higher than the February estimate. In addition to stronger growth in pre-tax income, after-tax disposable income is expected to be further supported by federal income tax cuts, providing a modest \$50 billion lift to household spending in 2018. Most of the increase in take-home pay is expected to be saved or used to pay down debt. After adjusting for increased imports, this additional spending is estimated to increase real GDP growth by about one tenth of a percentage point in both 2018 and 2019.

U.S. ECONOMIC INDICATORS (Percent change from prior calendar year)			
	2017 (Actual)	2018 (Forecast)	2019 (Forecast)
Real U.S. Gross Domestic Product	2.3	2.6	2.6
Consumer Price Index (CPI)	2.1	2.4	2.2
Personal Income	3.1	4.2	4.3
Nonagricultural Employment	1.6	1.5	1.3
Source: Moody's Analytics; DOB staff estimates.			

Business investment has substantially improved upon its performance of the last few years, driven mainly by increasing global demand for U.S. exports, expanded energy sector production, and a possible lift from the TCJA's business tax cuts. The DOB estimates real growth in business fixed investment to be 5.6 percent for 2018, following growth of 4.7 percent for 2017 and a decline of 0.6 percent for 2016. This outlook is slightly stronger than February forecast. However, the most recent global data suggest that expectations for significantly further acceleration in the global economy may be unwarranted. Indeed, growth in both France and the U.K. appears to have slowed



State Financial Plan Projections Fiscal Years 2018 Through 2022

in the first quarter of 2018. Moreover, the risk of a trade war between US and China likely represents another headwind to both export and investment growth due to increasing levels of uncertainty. As a result, real export growth has been revised down by 0.7 percentage point to 4.5 percent for 2018, which still represents an improvement from last year's 3.4 percent growth and the 0.3 percent decline experienced in 2016.

Meanwhile, higher inflation appears to be making a comeback. After years when oil prices could not reach even \$50 a barrel, domestic oil prices sat above \$60 per barrel for virtually all of the first quarter of 2018, and flirted with \$70 for much of April. Core CPI inflation also reached 2.1 percent on a year-ago basis in March. Headline consumer price inflation is estimated to accelerate to 2.4 percent in 2018, one-tenth of a percentage point above the February forecast. The rise in the personal consumption expenditure deflator, the inflation measure most closely scrutinized by the Federal Reserve, will be less pronounced, but sufficient to keep the central bank on the path toward interest rate normalization. In addition to the 0.25 percentage point rate hike in March, the Federal Reserve is expected to raise rates twice again this year, in both June and September, with rate hikes continuing at a pace of three per year in 2019 and 2020, representing a modest acceleration in policy tightening from the February forecast.

Long-term interest rates have also inched up since February, with the 10-year Treasury yield breaching 3 percent on April 24th – a level that has not been seen since early 2014 – before modestly retreating. However, with short-term rates rising faster thanks to the Federal Reserve, the yield curve, which tracks the difference between long- and short-term rates, has flattened. Since yield curve inversion, which occurs when short-term rates actually exceed long-term rates, often precedes a recession, a flattening curve can be a cause of concern. At a minimum, a flat yield curve puts downward pressure on banking system profits.

On the positive side, lower long-term rates reduce pressure on borrowers, particularly within the economy's most interest rate-sensitive sector, housing, which has been underperforming expectations for a prolonged period. However, the most recent data suggest that the housing market has been hampered more by supply side constraints than weak demand. These constraints appear to be putting upward pressure on prices, making housing even less affordable for first-time homebuyers despite rising employment and incomes. DOB now estimates real growth in residential fixed investment of 3.0 percent for 2018, representing a downward revision from the February forecast.

Although the Enacted Budget forecast represents a modest upward revision from two months ago, there are many risks to the forecast. Disruption of trade flows due to even the threat of tariffs is one such risk and could represent a significant setback for the manufacturing sector if firms put off production plans as the trade skirmishes we are now witnessing play out. There is evidence that the actual implementation of aluminum and steel tariffs could raise prices all along the supply chains of the affected industries, pushing inflation further up and job growth further down than projected in this forecast. The uncertainty associated with tariff risks has been at least in part responsible for reintroducing volatility into equity markets in 2018 after it being dormant for so long. This volatility has likely been exacerbated by the threat of rising interest rates as the Federal Reserve continues to tighten, as well as by anxiety surrounding the long-term impact of the federal

tax reform on budget deficits and the national debt. Lower and more volatile equity prices can result in lower household spending through both the wealth effect and as a signal that rough waters lie ahead.

The emergence of labor shortages in some sectors highlights the potential for the nation's slow labor force growth to become a significant drag on economic growth. The recent downward revision by the Census Bureau to its population projections due to reduced levels of immigration signals that this situation is unlikely to improve over the forecast horizon. On the positive side, if the impact of federal tax reform on consumer spending and business investment should be stronger than projected without significantly raising inflation, stronger growth in employment, wages, and the overall economy could result. Stronger global growth than expected could have a similar impact, possibly mitigating the negative impact of trade tariffs. A stronger than projected housing market could also result in stronger employment and income growth than currently expected.

The New York State Economy

New York State's private sector labor market appears to be stabilizing after a two-year period of gradual deceleration that started in late 2015. Consistent with national and global trends, job growth has improved in the following sectors: utilities; manufacturing; wholesale trade; transportation and warehousing; finance and insurance; real estate and leasing; and management and administrative services. In contrast, job losses continue to mount in the retail trade sector, while leisure and hospitality growth has continued to slow. On balance, State private sector job growth of 1.3 percent is projected for 2018, unchanged from the February forecast, following growth of 1.5 percent in 2017.

New York Stock Exchange member firms posted revenue growth of 13.7 percent for the 2017 calendar year, the strongest growth pace since 2006, helping to boost finance and insurance bonus gains to an extraordinary 15.7 percent for the FY 2018 bonus season. However, strong revenue growth may not have been the only factor propelling Wall Street bonuses this season. Extrapolating from the myriad public announcements by firms claiming to be sharing the benefit of the reduction in the corporate tax rate with their employees, it is estimated that New York State firms paid out about \$3.1 billion in one-time bonus payments. FY 2018 wage growth was revised up by 0.7 percentage points to 4.3 percent, but we note that these payments are not expected to be recurring.



State Financial Plan Projections Fiscal Years 2018 Through 2022

The initial injection of volatility into a rising equity market led to strong Wall Street revenue growth in the first quarter of 2018. However, while a brief spurt of volatility can be lucrative for Wall Street, a prolonged period can have an adverse effect if anxious investors leave the market. Thus, while the five largest Wall Street banks reported strong revenue growth of 9.2 percent for the first quarter, the current outlook for the remainder of the year suggests that the first quarter is likely to be the strongest of the year. Consequently, FY 2019 finance and insurance bonus growth has been revised down to a decline of 1.9 percent. Overall wage growth has been revised down by 0.6 percentage points to 3.6 percent for FY 2019.

NEW YORK STATE ECONOMIC INDICATORS (Percent change from prior State fiscal year)			
	FY 2017 (Actual)	FY 2018 (Estimated)	FY 2019 (Forecast)
Personal Income	2.7	3.8	4.0
Wages	3.8	4.3	3.6
Nonagricultural Employment	1.4	1.3	1.1

Source: Moody's Analytics; New York State Department of Labor; DOB staff estimates.

Nonwage taxable income for the 2017 tax year, primarily capital gains realizations, are also estimated to have gotten a boost from a 10-year-old tax provision that was enacted during the Great Recession. That provision, part of the Emergency Economic Stabilization Act of October 2008, required the Internal Revenue Service (IRS) to change a decades-old policy under which managers of offshore hedge funds could defer compensation for operating their funds, thus avoiding a tax bill and allowing the savings to grow tax-free. Managers of hedge funds typically are paid a two-percent fee based on assets under management, plus a performance fee that can be 20 percent or more of profits, compensation often earned in the form of capital gains.²¹ In October 2008 Congress brought an end to this practice, as it sought to raise revenue during the Great Recession. It gave the hedge funds until the 2017 Tax Day, April 17, 2018, to pay taxes on the money accumulated before the law changed.

DOB estimates that the hedge fund repatriation provision added \$15 billion to taxable capital gains realizations for the 2017 tax year, accounting for 20.0 percentage points of the 35.0 percent growth now estimated for last year. The turbulence that is currently playing out in equity markets is expected to reduce the growth in capital gains to 1.5 percent for the 2018 tax year, while the unwinding of the hedge fund taxable gains windfall is projected to subtract 15 percentage points from growth resulting in a net decline of 13.5 percent for this year.

²¹ The IRS generally allows business executives to defer compensation since lower compensation costs for the firm results in both higher profits and higher taxes on those profits, thus compensating for the revenue lost on the personal income tax side. But in the case of offshore funds, this practice results in a net cost to the U.S. Treasury since there was no way to tax profits held offshore.

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Although the State's private-sector labor market appears to be stabilizing, there are many risks to the forecast. All the risks to the U.S. forecast apply to the State forecast as well, although, as the nation's financial capital, both the volume of financial market activity and the volatility in equity markets pose a particularly large degree of uncertainty for New York. The uncertainty surrounding the macroeconomic outlook for the national and global economies is amplified in the financial markets, as demonstrated by recent events. Weaker and/or more volatile markets than anticipated could result in weaker bonus growth and, hence, weaker wage growth overall, in addition to lower realizations of taxable capital gains. In contrast, stronger equity markets, along with stronger national and global growth, could result in stronger employment and wage growth than is reflected in this forecast.

Receipts

The Updated Financial Plan receipts results and projections include a variety of taxes, fees and assessments, charges for State-provided services, Federal grants, and other miscellaneous receipts, as well as collection of a payroll tax on businesses in the MTA region. The multi-year tax and miscellaneous receipts estimates are prepared by DOB with the assistance of the DTF and other agencies which collect State receipts, and are predicated on economic analysis and forecasts.

Overall base growth (i.e. absent law changes) in tax receipts is dependent on many factors. In general, base tax receipts growth rates are determined by economic changes including, but not limited to, changes in interest rates, prices, wages, employment, non-wage income, capital gains realizations, taxable consumption, corporate profits, household net worth, real estate prices and gasoline prices. Federal law changes can influence taxpayer behavior, which often alters base tax receipts. State taxes account for approximately half of total All Funds receipts.

The projections of Federal receipts generally correspond to the anticipated spending levels of a variety of programs including Medicaid, public assistance, mental hygiene, education, public health, and other activities, including extraordinary aid.

Where noted, certain tables in the following section display General Fund tax receipts that exclude amounts transferred to the General Fund in excess of amounts needed for certain debt service obligations (e.g., PIT receipts in excess of the amount transferred for debt service on revenue bonds).

Overview of the Receipts Forecast

All Funds receipts in FY 2019 are projected to total nearly \$166 billion, 0.3 percent above FY 2018 results.

ALL FUNDS RECEIPTS (millions of dollars)									
	FY 2018 Results	FY 2019 Enacted	Change	FY 2020 Proposed	Change	FY 2021 Projected	Change	FY 2022 Projected	Change
Personal Income Tax	51,501	50,410	-2.1%	53,952	7.0%	55,010	2.0%	57,456	4.4%
Consumption/Use Taxes	16,711	17,303	3.5%	17,839	3.1%	18,390	3.1%	18,962	3.1%
Business Taxes	7,164	7,981	11.4%	8,630	8.1%	8,966	3.9%	9,123	1.8%
Other Taxes	2,451	2,229	-9.1%	2,341	5.0%	2,440	4.2%	2,543	4.2%
Payroll Mobility Tax*	1,439	0	-100.0%	0	0.0%	0	0.0%	0	0.0%
Total State Taxes	79,266	77,923	-1.7%	82,762	6.2%	84,806	2.5%	88,084	3.9%
Miscellaneous Receipts	27,262	28,005	2.7%	26,172	-6.5%	25,005	-4.5%	24,988	-0.1%
Federal Receipts	58,942	60,083	1.9%	61,064	1.6%	62,412	2.2%	64,112	2.7%
Total All Funds Receipts	165,470	166,011	0.3%	169,998	2.4%	172,223	1.3%	177,184	2.9%

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State tax receipts are projected to decrease 1.8 percent from FY 2018 results, due in large part to the Payroll Mobility Tax moving off Budget. After accounting for this change, State tax receipt estimates remain flat compared to FY 2018 results. Refer to the Personal Income Tax section herein for additional explanation of the atypical growth rate pattern for FY 2018 and FY 2019.

Consistent with the projected growth in the State economy over the multi-year Updated Financial Plan period beyond FY 2019, all tax categories are projected to exhibit underlying annual out-year growth.

After controlling for the impact of tax law changes, base tax revenue increased 6.5 percent in FY 2018, and is projected to decrease by 0.1 percent in FY 2019 and increase by 11.8 percent in FY 2020.

Personal Income Tax

PERSONAL INCOME TAX (millions of dollars)									
	FY 2018	FY 2019		FY 2020		FY 2021		FY 2022	
	Results	Enacted	Change	Projected	Change	Projected	Change	Projected	Change
STATE/ALL FUNDS	51,501	50,410	-2.1%	53,952	7.0%	55,010	2.0%	57,456	4.4%
Gross Collections	62,035	62,102	0.1%	65,502	5.5%	66,335	1.3%	69,807	5.2%
Refunds (Incl. State/City Offset)	(10,534)	(11,692)	-11.0%	(11,550)	1.2%	(11,325)	1.9%	(12,351)	-9.1%
GENERAL FUND¹	36,037	22,746	-36.9%	24,559	8.0%	25,103	2.2%	26,326	4.9%
Gross Collections	62,035	62,102	0.1%	65,502	5.5%	66,335	1.3%	69,807	5.2%
Refunds (Incl. State/City Offset)	(10,534)	(11,692)	-11.0%	(11,550)	1.2%	(11,325)	1.9%	(12,351)	-9.1%
STAR	(2,589)	(2,459)	5.0%	(2,417)	1.7%	(2,402)	0.6%	(2,402)	0.0%
RBTF	(12,875)	(25,205)	-95.8%	(26,976)	-7.0%	(27,505)	-2.0%	(28,728)	-4.4%

¹Excludes Transfers.

All Funds PIT receipts for FY 2019 are estimated to total \$50.4 billion, a decrease of \$1.1 billion (2.1 percent) from FY 2018 results. This decrease is primarily driven by a decline in estimated payments for tax year 2018 coupled with an increase in total refunds. This decline is partially offset by growth in withholding and final returns.



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The following table summarizes, by component, actual receipts for FY 2018 and forecast amounts through FY 2022.

ALL FUNDS PERSONAL INCOME TAX FISCAL YEAR COLLECTION COMPONENTS					
(millions of dollars)					
	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022
	Results	Enacted	Projected	Projected	Projected
Receipts					
Withholding	40,269	41,782	43,033	44,024	46,144
Estimated Payments	17,781	16,221	18,157	17,802	18,960
Current Year	14,329	12,729	13,741	12,582	13,536
Prior Year ¹	3,452	3,492	4,416	5,220	5,424
Final Returns	2,478	2,599	2,748	2,908	3,032
Current Year	308	286	301	316	331
Prior Year ¹	2,170	2,313	2,447	2,592	2,701
Delinquent	1,507	1,500	1,564	1,601	1,671
Gross Receipts	62,035	62,102	65,502	66,335	69,807
Refunds					
Prior Year ¹	6,292	6,699	6,739	7,689	8,565
Previous Years	527	523	553	583	614
Current Year ¹	2,249	2,250	1,750	1,750	1,750
Advanced Credit Payment	610	1,247	1,709	479	573
State/City Offset ¹	856	973	799	824	849
Total Refunds	10,534	11,692	11,550	11,325	12,351
Net Receipts	51,501	50,410	53,952	55,010	57,456

¹These components, collectively, are known as the "settlement" on the prior year's tax liability.

Withholding in FY 2019 is estimated to be \$1.5 billion (3.8 percent) higher than FY 2018 results, driven by modest wage growth. Extension payments related to tax year 2017 are expected to increase by \$40 million (1.2 percent), primarily due to one-time payments related to the expiration of the Federal 10-year window to repatriate foreign hedge fund earnings. These one-time payments have been offset by an acceleration of New York State tax liability payments into December 2017 to take advantage of the uncapped state and local taxes paid deduction for tax year 2017, leaving extension growth nearly flat. Estimated payments for tax year 2018 are projected to decrease by \$1.6 billion (11.2 percent), driven by a combination of a 3.3 percent decline in nonwage income and an inflated tax year 2017 estimated payments base, stemming from the Tax Cuts and Jobs Act of 2017 and repatriation of foreign hedge fund earnings. FY 2019 final return payments are projected to increase by \$121 million (4.9 percent) and delinquencies are projected to decline by \$7 million (0.5 percent).

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The projected growth in total refunds of \$1.2 billion (11 percent) includes increases of \$407 million (6.5 percent) in prior tax year (2017) refunds, \$637 million (104.4 percent) in advanced credit payments related to tax year 2018, \$117 million (13.7 percent) in the state-city offset, partially offset by a decline of \$4 million (0.8 percent) in previous tax year (2016 and earlier) refunds. The administrative January-March refund cap will be virtually unchanged in FY 2019.

General Fund PIT receipts are net of deposits to the STAR Fund, which provides property tax relief, and the RBTF, which supports debt service payments on State PIT revenue bonds. General Fund PIT receipts for FY 2019 of \$22.7 billion are projected to decrease by \$13.3 billion (36.9 percent) from FY 2018 results, reflecting a combination of Enacted legislation that doubled RBTF deposits from 25 percent to 50 percent of net PIT receipts, and the decline in All Funds receipts noted above. As a result, RBTF deposits are projected to nearly double to \$25.2 billion. The FY 2019 STAR transfer is projected to be \$2.5 billion.

All Funds PIT receipts for FY 2020 of \$54 billion are projected to increase by \$3.5 billion (7 percent) from FY 2019 estimates. Gross PIT receipts are projected to increase 5.5 percent, reflecting increases of \$1.3 billion (3 percent) in withholding, \$1 billion (8 percent) in estimated payments related to tax year 2019, \$924 million (26.5 percent) in extension payments related to tax year 2018, \$149 million (5.7 percent) in final returns, and \$64 million (4.3 percent) in delinquencies. Total refunds are projected to decline \$141 million (1.2 percent), due to the combination of a \$500 million (22.2 percent) expected decline in the administrative refund cap and a \$174 million (17.9 percent) decline in the state-city offset, partially offset by increases of \$40 million (0.6 percent) in prior tax year (2018) refunds, \$30 million (5.7 percent) in previous tax year (2017 and earlier) refunds, and \$462 million (37 percent) in advanced credit payments. The modest growth in withholding is attributable to the expiration of the FY 2018 Enacted Budget two-year high-income surcharge extension, scheduled to sunset after tax year 2019.

General Fund PIT receipts for FY 2020 of \$24.6 billion are projected to increase by \$1.8 billion (8 percent), mainly reflecting the increase in All Funds receipts noted above. RBTF deposits are projected to be \$27 billion and the STAR transfer is projected to be \$2.4 billion.

All Funds PIT receipts for FY 2021 of \$55 billion are projected to increase by \$1.1 billion (2 percent) from FY 2020 estimates. Gross PIT receipts are projected to increase 1.3 percent, reflecting withholding that is projected to grow by \$991 million (2.3 percent) and total refunds that are projected to decline by \$225 million (1.9 percent), partially offset by a projected decrease in total estimated payments of \$355 million (2 percent).

The relatively low withholding growth rate and the decline in total estimated payments reflect the aforementioned expiration of the FY 2018 Enacted Budget two-year high-income surcharge extension. The decline in total estimated payments includes a projected decline of \$1.2 billion (8.4 percent) in estimated payments for tax year 2020, partially offset by a \$804 million (18.2 percent) increase in extensions for tax year 2019. Final returns are expected to increase by \$160 million (5.8 percent) and delinquencies are projected to increase \$37 million (2.4 percent). The decline in total refunds is attributable to the scheduled expiration of the Property Tax Relief Credit.



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General Fund PIT receipts for FY 2021 of \$25.1 billion are projected to increase by \$544 million (2.2 percent). RBTF deposits are projected to be \$27.5 billion, and the STAR transfer is projected to be \$2.4 billion.

All Funds PIT receipts in FY 2022 are projected to increase by \$2.4 billion to \$57.5 billion, while General Fund PIT receipts are projected to total \$26.3 billion. Growth is projected to be suppressed by the combination of modest growth in extension payments related to tax year 2020 and an increase in tax year 2020-related refunds, both resulting from the aforementioned surcharge expiration.

Consumption/Use Taxes

CONSUMPTION/USE TAXES (millions of dollars)									
	FY 2018	FY 2019	FY 2020		FY 2021		FY 2022		
	Results	Enacted	Change	Projected	Change	Projected	Change	Projected	Change
STATE/ALL FUNDS	16,711	17,303	3.5%	17,839	3.1%	18,390	3.1%	18,962	3.1%
Sales Tax	14,495	15,086	4.1%	15,670	3.9%	16,263	3.8%	16,871	3.7%
Cigarette and Tobacco Taxes	1,171	1,119	-4.4%	1,068	-4.6%	1,020	-4.5%	977	-4.2%
Motor Fuel Tax	512	512	0.0%	507	-1.0%	504	-0.6%	501	-0.6%
Highway Use Tax	93	142	52.7%	142	0.0%	143	0.7%	145	1.4%
Alcoholic Beverage Taxes	259	262	1.2%	265	1.1%	269	1.5%	272	1.1%
Opioid Epidemic Surcharge	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Medical Marihuana Excise Tax	2	2	0.0%	2	0.0%	2	0.0%	2	0.0%
Taxicab Surcharge	56	50	-10.7%	50	0.0%	50	0.0%	50	0.0%
Auto Rental Tax	123	130	5.7%	135	3.8%	139	3.0%	144	3.6%
GENERAL FUND¹	7,377	7,647	3.7%	7,913	3.5%	8,185	3.4%	8,464	3.4%
Sales Tax	6,776	7,057	4.1%	7,332	3.9%	7,612	3.8%	7,898	3.8%
Cigarette and Tobacco Taxes	342	328	-4.1%	316	-3.7%	304	-3.8%	294	-3.3%
Alcoholic Beverage Taxes	259	262	1.2%	265	1.1%	269	1.5%	272	1.1%

¹Excludes Transfers.

All Funds consumption/use tax receipts for FY 2019 are estimated to total \$17.3 billion, a \$592 million (3.5 percent) increase from FY 2018 results. Sales tax receipts are estimated to increase \$591 million (4.1 percent) from FY 2018 results, reflecting base growth (i.e., absent law changes) of 4.2 percent. This base growth stems from estimated disposable income and consumption growth. Cigarette and tobacco tax collections are projected to decrease by \$52 million (4.4 percent), reflecting a trend decline in taxable cigarette consumption. Highway use tax (HUT) collections are estimated to increase by \$49 million (52.7 percent) as long-term trend levels resume following litigation-induced refund increases in FY 2018. Taxicab surcharge receipts are estimated to decline by \$6 million (10.7 percent) resulting from consumers choosing alternative transportation services not subject to the surcharge. Auto rental tax receipts are estimated to increase by \$7 million (5.7 percent).

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General Fund sales tax receipts are net of deposits to the Local Government Assistance Tax Fund (25 percent), and the Sales Tax Revenue Bond Fund (25 percent), which support debt service payments on bonds issued under LGAC and State Sales Tax Revenue Bond programs. Receipts in excess of the debt service requirements of the funds and the local assistance payments to New York City, or its assignee, are transferred back to the General Fund.

General Fund consumption/use tax receipts for FY 2019 are projected to total over \$7.6 billion, a \$270 million (3.7 percent) increase from FY 2018 results. This increase largely reflects the All Funds sales and use tax and cigarette tax trends, noted above.

All Funds consumption/use tax receipts for FY 2020 are projected to total over \$17.8 billion, a \$536 million (3.1 percent) increase from FY 2019 estimates. The projected \$584 million (3.9 percent) increase in sales tax receipts reflects sales tax base growth of 3.8 percent due projected growth in disposable income and consumption. A continued trend decline in taxable cigarette consumption is also projected.

General Fund consumption/use tax receipts are projected to total over \$7.9 billion in FY 2020, a \$266 million (3.5 percent) increase from FY 2019. The projected increase largely reflects the All Funds sales and use tax and cigarette tax trends, noted above.

All Funds consumption/use tax receipts for FY 2021 are projected to increase to nearly \$18.4 billion, a \$551 million (3.1 percent) increase from FY 2020. The projected increase reflects sales tax base growth of 3.9 percent, and a continued trend decline in taxable cigarette consumption. FY 2021 General Fund consumption/use tax receipts are projected to increase to nearly \$8.2 billion, a \$272 million (3.4 percent) increase from FY 2020 projections.

All Funds consumption/use tax receipts are projected to increase to nearly \$19 billion (3.1 percent growth) in FY 2022, largely representing base growth in sales tax receipts, which is slightly offset by a continued trend decline in taxable cigarette consumption. General Fund consumption/use tax receipts are projected to increase to nearly \$8.5 billion (3.4 percent growth) in FY 2022, reflecting the All Funds sales and use tax and cigarette tax trends, noted above.

Business Taxes

BUSINESS TAXES (millions of dollars)									
	FY 2018	FY 2019		FY 2020		FY 2021		FY 2022	
	Results	Enacted	Change	Proposed	Change	Projected	Change	Projected	Change
STATE/ALL FUNDS	7,164	7,981	11.4%	8,630	8.1%	8,966	3.9%	9,123	1.8%
Corporate Franchise Tax	3,080	4,027	30.7%	4,482	11.3%	4,736	5.7%	4,780	0.9%
Corporation and Utilities Tax	748	700	-6.4%	710	1.4%	718	1.1%	727	1.3%
Insurance Tax	1,777	1,975	11.1%	2,201	11.4%	2,354	7.0%	2,467	4.8%
Bank Tax	467	143	-69.4%	71	-50.3%	0	-100.0%	0	0.0%
Petroleum Business Tax	1,092	1,136	4.0%	1,166	2.6%	1,158	-0.7%	1,149	-0.8%
GENERAL FUND	4,916	5,626	14.4%	6,170	9.7%	6,470	4.9%	6,577	1.7%
Corporate Franchise Tax	2,326	3,212	38.1%	3,610	12.4%	3,828	6.0%	3,828	0.0%
Corporation and Utilities Tax	570	530	-7.0%	537	1.3%	541	0.7%	547	1.1%
Insurance Tax	1,610	1,762	9.4%	1,963	11.4%	2,101	7.0%	2,202	4.8%
Bank Tax	410	122	-70.2%	60	-50.8%	0	-100.0%	0	0.0%
Petroleum Business Tax	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%

All Funds business tax receipts for FY 2019 are estimated to total nearly \$8 billion, an increase of \$817 million (11.4 percent) from FY 2018 results. The estimate reflects increases in corporate franchise tax, insurance tax and petroleum business tax (PBT) receipts. Corporation and utilities tax and bank tax receipts are estimated to decline primarily due to higher receipts in FY 2018 that are not expected to recur in FY 2019.

Corporation franchise tax receipts are estimated to increase \$947 million (30.7 percent) in FY 2019, reflecting higher gross receipts and audits. FY 2018 results were negatively impacted by a shortfall in cash remittances from taxpayers that continue to have overpayment balances from tax year 2015 that they can use to satisfy current year liability. It will likely be several years before many larger taxpayers need to remit cash payments for current liability. FY 2019 estimates also include \$60 million resulting from taxable interest associated with the Federal TCJA repatriated earnings provision and \$20 million from other TCJA flow-through impacts. Audit receipts are projected to increase in FY 2019 (by \$137 million) as a greater number of large cases are expected to be closed.

Corporation and utilities tax receipts are estimated to decrease \$48 million (6.4 percent) in FY 2019. Audits are projected to fall by \$44 million as FY 2018 included payments from telecommunication companies that are not expected to recur. Gross receipts from telecommunications companies are expected to decline due to industry competitiveness and the movement of most communications to internet-based solutions which are not taxable. In 2017, over 90 percent of the population owned a smartphone.

Insurance tax receipts for FY 2019 are estimated to increase \$198 million (11.1 percent) from FY 2018. Projected growth in tax year 2018 liability as well as lower expected credit claims for assessments paid to the Life Insurance Company Guaranty Corporation (LICGC) account for the year-over-year increase. The LICGC exists to protect policyholders from the insolvency of their life

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insurers. This is the third year of claims for the credit for assessments paid earlier. FY 2019 also includes a part-year revenue increase from the conversion of a not-for-profit health insurer to a for-profit health insurer.

Receipts from the repealed bank tax (all from prior liability periods) are estimated to decrease by \$324 million (69.4 percent) in FY 2019, stemming from lower audit receipts (\$296 million) and smaller prior period adjustments. PBT receipts are estimated to increase \$44 million (4 percent) in FY 2019, primarily due to the 5 percent decrease in the PBT rate index effective January 1, 2018 and the projected 5 percent increase in the PBT rate index effective January 1, 2019.

General Fund business tax receipts for FY 2019 of \$5.6 billion are projected to increase \$710 million (14.4 percent) from FY 2018 results, reflecting the All Funds trends discussed above.

All Funds business tax receipts for FY 2020 of nearly \$8.6 billion are projected to increase by \$649 million (8.1 percent) from FY 2019 estimates. The corporation franchise tax receipts increase of \$455 million (11.3 percent) reflects projected growth in corporate profits and a stabilization of liability as taxpayers adjust to all aspects of State corporate tax reform. The corporation and utilities tax receipts increase of \$10 million (1.4 percent) is primarily attributable to growth in the utilities section of the tax. This projection includes \$71 million in TCJA flow-through impacts in the corporation franchise tax.

Insurance tax receipts for FY 2020 of \$2.2 billion are projected to increase \$226 million (11.4 percent) from current year estimates. Projected growth in insurance tax premiums combined with lower expected LICGC credit claims contribute to this year-over-year growth. FY 2020 includes the full year impact from the health insurer conversion described earlier. Receipts from the repealed bank tax are projected to decrease by \$72 million (50.3 percent) in FY 2019, due to lower projected audit receipts. PBT receipts are projected to increase \$30 million (2.6 percent) in FY 2020 due to a projected 5 percent increase in the PBT rate index effective January 1, 2019.

General Fund business tax receipts for FY 2020 of \$6.2 billion are projected to increase \$544 million (9.7 percent) from current year estimates, reflecting the All Funds trends discussed above.

All Funds business tax receipts for FY 2021 of nearly \$9 billion are projected to increase by \$336 million (3.9 percent), and General Fund business tax receipts are projected to increase to nearly \$6.5 billion (4.9 percent growth) from FY 2020 projections. The increase is primarily reflective of growth in corporation franchise tax receipts driven by higher gross receipts and lower refunds. Increases in projected corporation and utilities tax and insurance tax receipts are partially offset by a decline in projected bank tax and PBT receipts. This projection includes \$52 million in TCJA flow-through impacts in the corporation franchise tax.

All Funds business tax receipts for FY 2022 reflect projected trends in corporate profits, taxable insurance premiums, electric utility consumption and prices, the consumption of taxable telecommunications services, and automobile fuel consumption and fuel prices. In FY 2022, All Funds business tax receipts are projected to increase to \$9.1 billion (1.8 percent growth), and General Fund business tax receipts are projected to increase to nearly \$6.6 billion (1.7 percent growth). This projection includes \$53 million in TCJA flow-through impacts.

Other Taxes

OTHER TAXES (millions of dollars)									
	FY 2018 Results	FY 2019 Enacted	Change	FY 2020 Projected	Change	FY 2021 Projected	Change	FY 2022 Projected	Change
STATE/ALL FUNDS	2,451	2,229	-9.1%	2,341	5.0%	2,440	4.2%	2,543	4.2%
Estate and Gift Tax	1,308	1,033	-21.0%	1,092	5.7%	1,155	5.8%	1,220	5.6%
Real Estate Transfer Tax	1,125	1,178	4.7%	1,231	4.5%	1,267	2.9%	1,305	3.0%
Employer Compensation Expense Tax	0	TBD	0.0%	TBD	TBD	TBD	TBD	TBD	TBD
Gift Tax	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Real Property Gains Tax	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Pari-Mutuel Taxes	15	15	0.0%	15	0.0%	15	0.0%	15	0.0%
All Other Taxes	3	3	0.0%	3	0.0%	3	0.0%	3	0.0%
GENERAL FUND¹	1,326	1,051	-20.7%	1,110	5.6%	1,173	5.7%	1,238	5.5%
Estate and Gift Tax	1,308	1,033	-21.0%	1,092	5.7%	1,155	5.8%	1,220	5.6%
Employer Compensation Expense Tax	0	TBD	0.0%	TBD	TBD	TBD	TBD	TBD	TBD
Gift Tax	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Real Property Gains Tax	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Pari-Mutuel Taxes	15	15	0.0%	15	0.0%	15	0.0%	15	0.0%
All Other Taxes	3	3	0.0%	3	0.0%	3	0.0%	3	0.0%

¹Excludes Transfers.

All Funds other tax receipts for FY 2019 are estimated to total over \$2.2 billion, a decrease of \$222 million (9.1 percent) from FY 2018 results. This is primarily due to an estimated \$275 million (21 percent) decrease in estate tax receipts which is a result of a return to a historical average in both the number and payment value of super-large (i.e., over \$25 million) payments. Real estate transfer tax receipts are expected to increase by \$53 million (4.7 percent) due to estimated growth in housing starts and housing prices.

General Fund other tax receipts are estimated to approach \$1.1 billion in FY 2019, a decrease of \$275 million (20.7 percent) from FY 2018 results, reflecting the estate tax receipts decrease noted above.

All Funds other tax receipts for FY 2020 are projected to total over \$2.3 billion, a \$112 million (5 percent) increase from FY 2019 estimates. The \$59 million (5.7 percent) projected increase in estate tax receipts reflects projected growth in household net worth. Real estate transfer tax receipts are projected to increase by \$53 million (4.5 percent), reflecting projected growth in housing starts and prices.

General Fund other tax receipts for FY 2020 are projected to be slightly above \$1.1 billion, an increase of \$59 million (5.6 percent) from FY 2019 estimates due to the projected increase in estate tax receipts noted above.

All Funds other tax receipts for FY 2021 are projected to be over \$2.4 billion, a \$99 million (4.2 percent) increase from FY 2020 projections. Estate tax receipts are projected to increase by

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\$63 million (5.8 percent) in FY 2021, reflecting projected growth in household net worth. The \$36 million (2.9 percent) projected increase in real estate transfer tax receipts in FY 2021 reflects projected growth in housing starts and prices.

General Fund other tax receipts for FY 2021 are projected to total slightly below \$1.2 billion, an increase of \$63 million (5.7 percent), resulting from the projected increase in estate tax receipts noted above.

All Funds other tax receipts for FY 2022 reflect projected trend growth in household net worth, housing starts, and housing prices. All Funds other tax receipts are projected to be over \$2.5 billion in FY 2022, an increase of \$103 million (4.2 percent).

General Fund other tax receipts are projected to be over \$1.2 billion in FY 2022, an increase of \$65 million (5.5 percent).

Miscellaneous Receipts

All Funds miscellaneous receipts include moneys received from HCRA financing sources, SUNY tuition and patient income, lottery receipts for education, assessments on regulated industries, Tribal-State compact revenue, Extraordinary Monetary Settlements and a variety of fees. As such, miscellaneous receipts are driven in part by year-to-year variations in health care surcharges and other HCRA resources, bond proceeds, tuition income revenue and other miscellaneous receipts.

MISCELLANEOUS RECEIPTS (millions of dollars)									
	FY 2018	FY 2019		FY 2020		FY 2021		FY 2022	
	Results	Enacted	Change	Projected	Change	Projected	Change	Projected	Change
ALL FUNDS	27,262	28,005	2.7%	26,172	-6.5%	25,005	-4.5%	24,988	-0.1%
General Fund	3,129	2,127	-32.0%	2,028	-4.7%	2,001	-1.3%	1,883	-5.9%
Special Revenue Funds	17,933	17,713	-1.2%	17,115	-3.4%	16,374	-4.3%	16,492	0.7%
Capital Projects Funds	5,729	7,667	33.8%	6,560	-14.4%	6,161	-6.1%	6,145	-0.3%
Debt Service Funds	471	498	5.7%	469	-5.8%	469	0.0%	468	-0.2%

All Funds miscellaneous receipts are projected to total nearly \$28 billion in FY 2019, an increase of 2.7 percent from FY 2018 results. This increase is primarily due to higher bond financed capital spending on a year-over-year basis. Bond-financed capital expenses are paid from the General Fund (or STIP) in the first instance and subsequently reimbursed with authority bond proceeds, at which time they are captured as miscellaneous receipts.

All Funds miscellaneous receipts are projected to decline annually after FY 2019, reflecting the impact of Extraordinary Monetary Settlements received and a decrease in bond proceeds reimbursements in later years, which subsequently corresponds to the spending out of bond-financed capital projects.



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Federal Grants

FEDERAL GRANTS (millions of dollars)									
	FY 2018	FY 2019		FY 2020		FY 2021		FY 2022	
	Results	Enacted	Change	Projected	Change	Projected	Change	Projected	Change
ALL FUNDS	58,942	60,083	1.9%	61,064	1.6%	62,412	2.2%	64,112	2.7%
General Fund	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Special Revenue Funds	56,744	57,576	1.5%	58,762	2.1%	60,152	2.4%	61,853	2.8%
Capital Projects Funds	2,125	2,433	14.5%	2,229	-8.4%	2,187	-1.9%	2,187	0.0%
Debt Service Funds	73	74	1.4%	73	-1.4%	73	0.0%	72	-1.4%

Aid from the Federal government helps to pay for a variety of programs including Medicaid, public assistance, mental hygiene, school aid, public health, transportation, and other activities. Annual changes to Federal grants generally correspond to changes in Federally-reimbursed spending. Accordingly, DOB typically projects that Federal reimbursements will be received in the State fiscal year in which spending occurs, but due to the variable timing of Federal grant receipts, actual results often differ from the projections.

All Funds Federal grants projections primarily reflect the continuation of growth in Federal Medicaid spending related to Federal health care transformation initiatives, partly offset by the projected phase-down of Federal disaster assistance aid. All Federal receipts are subject to Congressional authorization, appropriations and budget action.

With the Trump administration and the current Congress, many of the policies that drive Federal aid may be subject to change. At this time it is not possible to assess the potential fiscal impact of policies that may be proposed and adopted by the Trump administration and current Congress. If Federal funding to the State were reduced, this could have a materially adverse impact on the Updated Financial Plan. The FY 2018 Enacted Budget included authorization to develop a mitigation plan to offset the impact of significant Federal funding reductions.

Enacted Budget Tax Law Changes

The Enacted Budget contains tax law changes that promote fairness for New York's taxpayers; protect the progressivity of New York's tax system and the investments and services that benefit the State's residents and beyond; protect and enhance the State's economic competitiveness; and protect taxpayers as well as maintain the State's short- and long-term revenue bases in the wake of sweeping Federal tax law changes. Additionally, the Enacted Budget strengthens opportunities for working and middle class families, promotes economic activity, simplifies taxation, and reduces STAR spending.

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Responding to Federal Tax Reform

RESPONDING TO FEDERAL TAX REFORM (millions of dollars)				
	General Fund		All Funds	
	FY 2019	FY 2020	FY 2019	FY 2020
Maintain 2017 Empire State Child Tax Credit Benefits	0	0	0	0
Decouple PIT from Federal SALT & State Single Filer Standard Deduction	0	(1,525)	0	(1,525)
Eliminate Repatriation Tax Deduction	2,000	0	2,000	0
Establish State and Local Charitable Gifts Funds	0	0	0	0
Allow NYC PIT Decoupling from Charity Itemized Deduction	0	0	0	0
Impose an Employer Compensation Expense Tax	TBD	TBD	TBD	TBD
TOTAL RESPONDING TO FEDERAL TAX REFORM	2,000	(1,525)	2,000	(1,525)

- Maintain 2017 Empire State Child Tax Credit Benefits.** The TCJA of 2017 changed the maximum value of the Federal child tax credit and increased the range of incomes eligible for the credit. Absent Enacted Budget legislation, these changes would have increased the total tax expenditure related to the Empire State Child Tax Credit, since the State credit is based on the Federal credit. This Budget permanently calculates the State credit based on the Federal credit calculation in effect prior to the TCJA.
- Decouple PIT from Federal SALT & State Single Filer Standard Deduction.** Effective tax year 2018, the TCJA limits the state and local taxes (SALT) paid deduction to \$10,000 annually and eliminates personal exemptions entirely. Absent Enacted Budget legislation, the SALT deduction cap would restrict property taxes paid deductibility on State returns, and the elimination of personal exemptions would have limited the standard deduction for single filers to the lesser amount claimable by dependent filers. This Budget allows unrestricted State deductibility of property taxes paid and retains the full value of the State standard deduction for single filers.
- Eliminate Repatriation Tax Deduction.** To avoid a large unintended revenue loss, the State is decoupled from the new Federal tax deduction relating to the repatriation of certain foreign income.
- Establish State and Local Charitable Gifts Funds.** While the TCJA limits the SALT paid deduction to \$10,000 annually, the deduction for charitable gifts remain uncapped. Newly established state and local charitable gifts funds allow taxpayers to make gifts to funds of their choice and benefit from charitable gifts deductions on both Federal and State returns, while receiving tax credits equal to up to 95 percent of their charitable gifts.
- Allow NYC PIT Decoupling from Charity Itemized Deduction.** The Federal itemized deduction for charitable gifts flows-through to State returns and, for NYC residents, into the calculation of NYC PIT liability. NYC is authorized to decouple from the charitable gifts itemized deduction with respect to gifts made to the newly established State and local charitable gifts funds, thereby protecting NYC tax revenue.



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- Impose an Employer Compensation Expense Tax.** Businesses, unlike individuals, retain full deductibility of SALT paid following enactment of the TCJA. To mitigate the impact of the cap on SALT deductions on individual taxpayers, this Budget imposes a tax on compensation expenses paid by participating employers. The tax is applicable to a participating employer’s calendar year payroll expenses exceeding \$40,000 for each individual employee. The tax rate is gradually increased to 5 percent over a three-year phase-in period. Employees of participating employers are entitled to a credit to offset the expected impact of the Employer Compensation Expense Tax on wages.

Tax Cuts and Credits

TAX CUTS AND CREDITS (millions of dollars)				
	General Fund		All Funds	
	FY 2019	FY 2020	FY 2019	FY 2020
Enhance the New York Youth Jobs Program	0	0	0	0
Allow Low-Income Housing Credit Transfers	0	0	0	0
TOTAL TAX CUTS AND CREDITS	0	0	0	0

- Enhance the New York Youth Jobs Program.** This program encourages businesses to hire unemployed, disadvantaged youth, ages 16 to 24, who live in New York State, with a focus on the following cities and towns: Albany, Buffalo, New York, Rochester, Schenectady, Syracuse, Mount Vernon, New Rochelle, Utica, White Plains, Yonkers, Brookhaven and Hempstead. Due to the success of the program, which has helped connect 31,000 youths to jobs, the credit amounts are increased by 50 percent, from \$500 to \$750 per month for up to the first six months, and from \$2,000 to \$3,000 for each employee who is employed for additional time periods after six months with a maximum full time hire credit of \$7,500.
- Allow Low-Income Housing Credit Transfers.** The bifurcation of credits upon approval by the Division of Homes and Community Renewal is authorized so that project credits may more easily be allocated among different taxpayers.

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Tax Reform Actions

TAX REFORM ACTIONS (millions of dollars)				
	General Fund		All Funds	
	FY 2019	FY 2020	FY 2019	FY 2020
Clarify New York Residency Requirements for Tax Purposes	0	0	0	0
Provide Responsible Person Sales Tax Relief for Minority LLC Owners	0	0	0	0
Simplify Taxes on the Resale of Prepared Food	0	0	0	0
Convert the Veterinary Sales Tax Credit Into an Exemption	0	0	0	0
TOTAL TAX REFORM ACTIONS	0	0	0	0

- Clarify New York Residency Requirements for Tax Purposes.** The definition of statutory residency in cases where a taxpayer changes domicile during the year is clarified. Counter to longstanding policy, recent administrative law judge interpretations have held that in such cases statutory residency does not apply if the taxpayer changing domicile does not spend more than 183 days in New York during the non-domicile period, creating unfairness vis- à-vis a statutory resident who is not domiciled in New York during the year.
- Provide Responsible Person Sales Tax Relief for Minority LLC Owners.** Existing DTF policy regarding relief from responsible persons' sales tax liability for minority members of LLCs and limited partners is codified. A member's share of tax liability is now based on their percentage of ownership rather than full tax liability.
- Simplify Taxes on the Resale of Prepared Food.** The previous sales tax credit or refund is converted to an upfront exemption when restaurants, caterers, cafeterias, and other businesses purchase prepared food for resale, increasing efficiency for both taxpayers and the State.
- Convert the Veterinary Sales Tax Credit into an Exemption.** The sales tax credit or refund for tangible personal property used in veterinarian services on a farm is converted to an upfront exemption, increasing efficiency for both taxpayers and the State.

Enforcement Initiatives

ENFORCEMENT INITIATIVES (millions of dollars)				
	General Fund		All Funds	
	FY 2019	FY 2020	FY 2019	FY 2020
Extend the Statute of Limitations on Amended Personal Income Tax Returns	2	2	2	2
Provide for Employee Wage Reporting Consistency	0	0	0	0
Allow Warrantless Tax Debt to be Assessed Against Unclaimed Funds	3	3	3	3
TOTAL ENFORCEMENT INITIATIVES	3	3	3	3

- Extend the Statute of Limitations on Amended Tax Returns.** Refund abuse is reduced by extending the statute of limitations to three years after the filing date of the amended return, rather than three years after the original return filing date. Previously, taxpayers could file an amended return containing a refund request close to three years after the due date of their initial return, hampering the possibility of an audit and assessment by DTF.
- Provide for Employee Wage Reporting Consistency.** Employers were previously required to provide the Department of Labor with individual employee unemployment insurance information quarterly, while individual employee wage and withholding information was provided to DTF annually. DTF will now receive the same quarterly employer wage reporting that employers provide to DOL, allowing DTF to reduce fraudulent tax refund claims.
- Allow Warrantless Tax Debt to be Assessed Against Unclaimed Funds.** Previously, the State could apply a taxpayer's unclaimed funds, such as from dormant accounts or unclaimed estate proceeds, to fixed and final warranted tax debt, but unclaimed funds could not be accessed for unwarranted debt. Unclaimed funds are now accessible for payment of unwarranted fixed and final tax debt.

Tax Law Extenders

TAX LAW EXTENDERS (millions of dollars)				
	General Fund		All Funds	
	FY 2019	FY 2020	FY 2019	FY 2020
Extend the Hire a Vet Credit for Two Years	0	0	0	0
Extend Telecom Mass Property Assessments for Four Years	0	0	0	0
Extend Historic Properties Credit and Federal Decoupling	0	0	0	0
Extend Theater Production Credit by Four Years	0	0	0	0
TOTAL TAX LAW EXTENDERS	0	0	0	0

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- Extend the Hire a Vet Credit for Two Years.** To support veterans in their return to the workforce, the credit is extended for an additional two years, adding the 2018 and 2019 hiring periods. The program provides a refundable tax credit to employers equal to 10 percent of wages paid to a qualified veteran (up to \$5,000) and 15 percent of wages paid to a disabled veteran (up to \$15,000).
- Extend Telecom Mass Property Assessments for Four Years.** DTF establishes assessment ceilings for telecommunications “mass” properties located in or on private property. The 10 percent cap provision expired at the end of 2017, but the overall ceiling legislation remained in effect through the end of 2018. The existing telecom ceiling law is extended by four years and prevents a drastic ceiling decline in 2018 by repairing the cap provision to phase-in a formula, updating outdated market values.
- Extend Historic Properties Credit and Federal Decoupling.** The credit for rehabilitation of historic properties is extended for 5 years, from 2020 through 2024, and decoupled from the direct linkage to the federal credit so that the credit may continue to be claimed in full in one year.
- Extend Theater Production Credit by Four Years.** The tax credit for musical and theater productions is extended for 4 years, from 2019 through 2022.

School Tax Relief (STAR) Program Actions

SCHOOL TAX RELIEF (STAR) PROGRAM ACTIONS				
Net Financial Plan Impact (millions of dollars)				
	General Fund		All Funds	
	FY 2019	FY 2020	FY 2019	FY 2020
Make Participation in Income Verification Program (IVP) Mandatory for Enhanced STAR Recipients	35	35	0	0
TOTAL SCHOOL TAX RELIEF (STAR) PROGRAM ACTIONS	35	35	0	0

- Make Participation in Income Verification Program (IVP) Mandatory.** Qualifying seniors enrolled in the PIT Credit program will receive the full value of the benefits they deserve and the process for qualifying senior citizens to keep their Enhanced STAR exemptions is simplified by making participation in the Income Verification Program (IVP) for recipients of Enhanced STAR mandatory. By enrolling in the IVP, seniors will not have to go through the process of reapplying for the STAR benefit each year. Participation in the IVP was previously optional and seniors who were not enrolled were obligated to re-apply for their Enhanced STAR benefits annually. In cases where a PIT return is filed, the IVP allows seniors who are re-applying for an Enhanced STAR exemption to authorize the assessor to have their incomes automatically verified in subsequent years by DTF. In cases where no PIT return is filed, qualifying seniors will be guided through a simple application process to demonstrate their eligibility.

Gaming Initiatives

GAMING INITIATIVES (millions of dollars)				
	General Fund		All Funds	
	FY 2019	FY 2020	FY 2019	FY 2020
Extend Certain Tax Rates and Certain Simulcasting Provisions for One Year	0	0	0	0
Eliminate the Video Lottery Gaming Hold Harmless Transfer Provision	0	0	0	0
Amend Racing Operations Provisions	0	0	0	0
Amend the Jockey Injury Compensation Fund	0	0	0	0
Amend the Disposition of Off-Track Betting (OTB) Net Revenue	0	0	0	0
Extend VLT Capital Awards Program	0	0	0	0
TOTAL GAMING INITIATIVES	0	0	0	0

- **Extend Certain Tax Rates and Certain Simulcasting Provisions for One Year.** The pari-mutuel tax rate and other racing-related provisions are extended for one year.
- **Eliminate the Video Lottery Gaming Hold Harmless Transfer Provision.** The previous VLG “hold harmless” language is eliminated, having never met its original intent to account for the impact of casinos on VLT facilities. This simplifies the VLG and casino receipts reporting process without impacting Aid to Education.
- **Amend Racing Operations Provisions.** The amount of reserves kept by the New York Racing Association is increased and an advisory committee to make recommendations on the structure, operations and funding of equine drug testing and research will be created by December 1, 2018.
- **Amend the Jockey Injury Compensation Fund.** The authority to use a portion of purse reserve funds to cover workers’ compensation costs is extended for an additional year and an account to be established to be used as collateral to secure worker’s compensation insurance coverage is authorized.
- **Amend the Disposition of Off-Track Betting (OTB) Net Revenue.** OTBs are authorized to distribute revenues on an annual or bi-annual basis instead of a quarterly basis, contingent on approval from the governing bodies of each participating county and city within such region.
- **Extend VLT Capital Awards Program.** VLG operators are provided one additional year to earn qualifying capital awards, which encourage facility upgrades and improvements.

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Technical Amendments

TECHNICAL AMENDMENTS (millions of dollars)				
	General Fund		All Funds	
	FY 2019	FY 2020	FY 2019	FY 2020
Amend the Local Sales Tax Statute for Technical Changes	0	0	0	0
Amend Real Property Tax Law For Various Technical Amendments	0	0	0	0
Amend NYC PIT for Technical Changes	0	0	0	0
TOTAL TECHNICAL AMENDMENTS	0	0	0	0

- Amend the Local Sales Tax Statute for Technical Changes.** County sales tax revenue distribution is equalized by extending existing provisions for Genesee, Monroe, Onondaga, and Orange Counties through November 30, 2020 via technical corrections to Chapter 61 of the Laws of 2017.
- Amend Real Property Tax Law for Various Technical Amendments.** Real Property Tax Law is amended to: repeal obsolete provisions and outdated references; conform administration of the STAR programs by prohibiting married couples from getting two STAR credits and allowing senior citizens receiving a STAR Credit an extension to pay their property taxes; update certification of STAR exempt amounts; and amend Partial Payment law to provide for county’s consent when preparing bills on behalf of a municipality.
- Amend NYC PIT for Technical Changes.** Technical corrections are made to 2017 legislation intended to extend certain NYC PIT rates through the end of tax year 2020.



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Disbursements

Total disbursements in FY 2019 are estimated at \$76.6 billion in the State's General Fund (including transfers) and \$100.1 billion in State Operating Funds. School Aid, Medicaid, pensions, debt service, and health benefits are significant drivers of annual spending growth, as further described in this section.

The multi-year disbursements projections take into account various factors including statutorily-indexed rates, agency staffing levels, program caseloads, inflation, and funding formulas contained in State and Federal law. Factors that affect spending estimates vary by program. For example, public assistance spending is based primarily on anticipated caseloads that are estimated by analyzing historical trends and projected economic conditions. Projections also account for the timing of payments, since not all of the amounts appropriated pursuant to an enacted budget are disbursed in the same fiscal year. Consistent with past years, the aggregate spending projections (i.e., the sum of all projected spending by individual agencies) in State Special Revenue Funds have been adjusted downward in all fiscal years, based on typical spending patterns and the observed variance between estimated and actual results over time. A corresponding downward adjustment is also made to miscellaneous receipts.

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Local Assistance Grants

Local Assistance spending includes payments to local governments, school districts, health care providers, and other entities, as well as financial assistance to, or on behalf of, individuals, families and not-for-profit organizations. Local assistance spending in State Operating Funds is estimated at \$66.8 billion in FY 2019, approximately two-thirds of total State Operating Funds spending. Education and health care spending account for nearly three-quarters of State Operating Funds local assistance spending.

Certain major factors considered in preparing the spending projections for the State's major local assistance programs and activities are summarized below.

FORECAST FOR SELECTED PROGRAM MEASURES AFFECTING OPERATING ACTIVITIES (millions of dollars)					
	FY 2018 Results ¹	Forecast			
		FY 2019 Enacted	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
HEALTH CARE					
Medicaid - Individuals Covered	6,207,104	6,262,173	6,289,708	6,303,475	6,310,359
Essential Plan - Individuals Covered	728,807	733,755	737,615	739,715	741,821
Child Health Plus - Individuals Covered	364,401	395,199	410,703	418,455	422,493
State Takeover of County/NYC Costs ²	\$2,996	\$3,337	\$3,677	\$4,027	\$4,389
EDUCATION					
School Aid (School Year Basis Funding)	\$25,639	\$26,553	\$27,509	\$28,692	\$29,983
HIGHER EDUCATION					
Public Higher Education Enrollment (FTEs)	557,854	557,854	N/A	N/A	N/A
Tuition Assistance Program (Recipients)	275,916	276,207	N/A	N/A	N/A
PUBLIC ASSISTANCE					
Family Assistance Program (Families)	217,760	214,749	211,525	208,308	205,200
Safety Net Program (Families)	120,905	119,575	117,673	115,815	114,035
Safety Net Program (Singles)	206,880	209,570	211,088	213,086	215,112
MENTAL HYGIENE					
OMH Community Beds	43,347	46,166	47,358	47,992	48,542
OPWDD Community Beds	43,080	43,511	43,859	44,210	44,563
OASAS Community Beds	13,256	13,485	13,754	13,805	13,889
Total	99,683	103,162	104,971	106,007	106,994
PRISON POPULATION					
	49,800	49,800	49,800	49,800	49,800

¹ Reflects preliminary unaudited results.

² Reflects the total State cost of taking over the local share of Medicaid growth, which was initially capped at approximately 3 percent annually, then phased-out completely as of calendar year 2015. A portion of the State takeover costs are funded from Master Settlement Agreement resources.



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Education

School Aid

School Aid helps support elementary and secondary education for New York pupils enrolled in the 674 major school districts throughout the State. State funding is provided to districts based on statutory aid formulas and through reimbursement of categorical expenses, such as prekindergarten programs, education of homeless children, and bilingual education. State funding for schools assists districts in meeting locally defined needs, supports the construction of school facilities, and finances school transportation for nearly three million students statewide.

School Year (July 1 – June 30)

School Aid is expected to total \$26.6 billion in SY 2019, an annual increase of \$914 million (3.6 percent), including a \$618 million Foundation Aid increase. A Community Schools set-aside of \$200 million within Foundation Aid (a \$50 million increase from the prior year) provides funds intended to facilitate the transformation of schools into community hubs. In addition, another \$245 million supports increased reimbursement in expense-based and categorical aid programs such as transportation, Boards of Cooperative Educational Services (BOCES), school construction, and other miscellaneous aid categories.

The Financial Plan provides \$50 million for new competitive grant programs, highlighted by a \$15 million investment to expand prekindergarten programs for three- and four-year-old students targeted to high-need school districts, and \$10 million to expand the Empire State After-School Program, helping to keep young people safe and engaged during after school hours. The State provides over \$800 million in recurring annual support for three- and four-year old prekindergarten programs, including \$340 million for the Statewide Universal Full-Day Prekindergarten programs.

School Aid is projected to increase by an additional \$956 million (3.6 percent) in SY 2020.

SCHOOL AID - SCHOOL YEAR BASIS (JULY 1 - JUNE 30) ¹									
(millions of dollars)									
	<u>SY 2018</u>	<u>SY 2019</u>	<u>Change</u>	<u>SY 2020</u>	<u>Change</u>	<u>SY 2021</u>	<u>Change</u>	<u>SY 2022</u>	<u>Change</u>
Total	25,639	26,553	914	27,509	956	28,692	1,183	29,983	1,291
			3.6%		3.6%		4.3%		4.5%

¹School year values reflected in table do not include aid for Statewide Universal Full-Day Prekindergarten programs.

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State Fiscal Year

The State finances School Aid from General Fund, commercial gaming and Lottery Fund receipts, including video lottery terminals (VLTs). Commercial gaming and Lottery Fund receipts are accounted for and disbursed from dedicated accounts. Because the State fiscal year begins on April 1, the State typically pays approximately 70 percent of the annual school year commitment during the State fiscal year in which the related budget is enacted, and pays the remaining 30 percent in the first three months of the following State fiscal year.

The table below summarizes the projected sources of School Aid spending on a State fiscal year basis.

SCHOOL AID - STATE FISCAL YEAR BASIS (millions of dollars)									
	FY 2018	FY 2019		FY 2020		FY 2021		FY 2022	
	Results	Enacted	Change	Projected	Change	Projected	Change	Projected	Change
TOTAL STATE OPERATING FUNDS	25,457	26,502	4.1%	27,476	3.7%	28,570	4.0%	29,829	4.4%
General Fund Local Assistance	21,954	23,111	5.3%	24,056	4.1%	25,147	4.5%	26,406	5.0%
Medicaid	61	50	-18.0%	50	0.0%	50	0.0%	50	0.0%
Core Lottery Aid	2,395	2,294	-4.2%	2,288	-0.3%	2,291	0.1%	2,291	0.0%
VLT Lottery Aid	958	907	-5.3%	934	3.0%	934	0.0%	934	0.0%
Commercial Gaming - VLT Offset	8	0	-100.0%	0	0.0%	0	0.0%	0	0.0%
Commercial Gaming	81	140	72.8%	148	5.7%	148	0.0%	148	0.0%

State fiscal year spending for School Aid is projected to total \$26.5 billion in FY 2019, a 4.1 percent increase over FY 2018. Over the multi-year Financial Plan, the share of School Aid spending projected to be financed by the General Fund is expected to increase as core lottery, video lottery and commercial gaming revenues are expected to remain largely flat beginning in FY 2020. In addition to State aid, school districts currently receive more than \$3 billion annually in Federal aid.

State aid payments for School Aid are supplemented by commercial gaming revenues shared with the State by commercial gaming facilities. These receipts are expected to increase in FY 2020 by \$8 million but remain flat thereafter. Between December 2014 and August 2016, four casino resorts were recommended by the State's Gaming Facility Location Board and approved by the State Gaming Commission. The approved casinos have since opened and are in operation. In the event that casino revenue resources do not materialize at the level expected, or as timely as expected, then the additional School Aid projected to be funded from casino revenue resources must be paid from the General Fund.

Other Education Funding

In addition to School Aid, the State provides funding and support for various other education-related programs. These include: special education services; programs administered by the Office of Prekindergarten through Grade 12 Education; cultural education; higher and professional education programs; and adult career and continuing education services.

OTHER EDUCATION (millions of dollars)									
	FY 2018 Results	FY 2019 Enacted	Change	FY 2020 Projected	Change	FY 2021 Projected	Change	FY 2022 Projected	Change
TOTAL STATE OPERATING FUNDS	2,147	2,355	9.7%	2,342	-0.6%	2,417	3.2%	2,505	3.6%
Special Education	1,264	1,352	7.0%	1,397	3.3%	1,459	4.4%	1,525	4.5%
All Other Education	883	1,003	13.6%	945	-5.8%	958	1.4%	980	2.3%

The State helps fund special education services for approximately 500,000 students with disabilities, from ages 3 to 21. Major programs under the Office of Prekindergarten through Grade 12 address specialized student needs or reimburse school districts for education-related services, including the school breakfast and lunch programs, after-school programs and other educational grant programs. Cultural education includes aid for operating expenses of the major cultural institutions, State Archives, State Library, and State Museum, as well as support for the Office of Educational Television and Public Broadcasting. Higher and professional education programs monitor the quality and availability of post-secondary education programs, and license and regulate over 50 professions. Adult career and continuing education services focus on the education and employment needs of the State’s adult citizens, ensuring that such individuals have access to a one-stop source for all their employment needs, and are made aware of the full range of services available in other agencies.

The increase in Special Education spending in FY 2019 is due to lower-than-expected summer school and preschool special education claims submitted during FY 2018 that are expected to materialize in FY 2019. Outyear growth for Special Education is attributable to increased State reimbursement to special education providers for minimum wage costs and projected enrollment and cost growth in preschool and summer school special education programs.

The projected increase in All Other Education spending in FY 2019 primarily reflects a continuation or increase of one-time aid and grants. The decrease in spending levels in All Other Education in FY 2020 is largely attributable to the discontinuation of one-time aid and grants. Projected increases for charter school supplemental basic tuition and nonpublic schools are expected to drive growth in FY 2021 and thereafter.

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School Tax Relief Program

The STAR program provides school tax relief to taxpayers by exempting the first \$30,000 of every eligible homeowner's property value from the local school tax levy. Lower-income senior citizens receive a \$66,800 exemption in FY 2019. The DTF oversees local property assessment administration, and is responsible for establishing STAR property tax exemption amounts.

The three components of STAR and their approximate shares of projected FY 2019 program costs are: the Basic school property tax exemption or credit for homeowners with incomes under \$500,000 (53 percent); Enhanced school property tax exemption or credit for senior citizen homeowners with incomes under \$86,000 (28 percent); and a credit for income-eligible resident New York City personal income taxpayers (19 percent). The FY 2018 Enacted Budget included the conversion of the New York City PIT rate reduction benefit into a PIT tax credit, which began to reduce and will eventually eliminate it as a component of State Operating Funds spending. This change has no impact on the value of the STAR benefit received by taxpayers.

STAR property tax exemption spending reflects reimbursements made to school districts to offset a reduction in the amount of property tax revenue collected from STAR-eligible homeowners. In FY 2017, the STAR exemption program began a gradual shift from a spending program into an advance refundable PIT credit program, with this change applying to first-time homebuyers and to homeowners who move. Likewise, this change has no impact on the value of the STAR benefit received by homeowners.

SCHOOL TAX RELIEF (STAR) - REVENUE REDUCTION RESULTING FROM STAR ACTIONS									
(millions of dollars)									
	FY 2018	FY 2019		FY 2020		FY 2021		FY 2022	
	Results	Enacted	Change	Projected	Change	Projected	Change	Projected	Change
TOTAL STATE OPERATING FUNDS	2,589	2,459	-5.0%	2,417	-1.7%	2,402	-0.6%	2,402	0.0%
Gross Program Costs	3,425	3,321	-3.0%	3,361	1.2%	3,422	1.8%	3,495	2.1%
Program Conversion	(277)	0	100.0%	0	0.0%	0	0.0%	0	0.0%
Personal Income Tax Credit	(501)	(862)	-72.1%	(944)	-9.5%	(1,020)	-8.1%	(1,093)	-7.2%
FY 2017 Overpayment ¹	(58)	0	100.0%	0	0.0%	0	0.0%	0	0.0%
Basic Exemption	1,623	1,593	-1.8%	1,566	-1.7%	1,556	-0.6%	1,556	0.0%
Gross Program Costs	1,762	1,746	-0.9%	1,763	1.0%	1,792	1.6%	1,828	2.0%
Personal Income Tax Credit	(139)	(153)	-10.1%	(197)	-28.8%	(236)	-19.8%	(272)	-15.3%
Enhanced (Senior) Exemption	908	866	-4.6%	851	-1.7%	846	-0.6%	846	0.0%
Gross Program Costs	986	949	-3.8%	958	0.9%	974	1.7%	994	2.1%
Personal Income Tax Credit	(78)	(83)	-6.4%	(107)	-28.9%	(128)	-19.6%	(148)	-15.6%
New York City PIT	58	0	-100.0%	0	0.0%	0	0.0%	0	0.0%
Gross Program Costs	677	626	-7.5%	640	2.2%	656	2.5%	673	2.6%
Program Conversion	(277)	0	100.0%	0	0.0%	0	0.0%	0	0.0%
Personal Income Tax Credit	(284)	(626)	-120.4%	(640)	-2.2%	(656)	-2.5%	(673)	-2.6%
FY 2017 Overpayment ¹	(58)	0	100.0%	0	0.0%	0	0.0%	0	0.0%

¹ Conversion of the NYC Rate Reduction Benefit to Personal Income Credit pertains to 2017 tax year. As such, it was retroactively made effective to January 1, 2017.

Much of the spending decline projected for FY 2019 is attributable to the timing of the New York City rate reduction benefit payout, upon conversion to a PIT credit. STAR actions that were enacted with the FY 2017 Enacted Budget will continue to result in reduced revenues in addition to the spending changes noted above. Projected revenue reductions will increase over the course of the Financial Plan as STAR actions are implemented, in particular those driven by the conversion of the New York City PIT rate reduction benefit.

Higher Education

Local assistance for higher education spending includes funding for CUNY, SUNY, and the Higher Education Services Corporation (HESC).

HIGHER EDUCATION (millions of dollars)									
	FY 2018	FY 2019		FY 2020		FY 2021		FY 2022	
	Results	Enacted	Change	Projected	Change	Projected	Change	Projected	Change
TOTAL STATE OPERATING FUNDS	2,833	3,065	8.2%	3,168	3.4%	3,217	1.5%	3,256	1.2%
City University	1,465	1,494	2.0%	1,525	2.1%	1,556	2.0%	1,588	2.1%
Senior Colleges	1,211	1,234	1.9%	1,269	2.8%	1,300	2.4%	1,332	2.5%
Community College	254	260	2.4%	256	-1.5%	256	0.0%	256	0.0%
Higher Education Services	879	1,089	23.9%	1,158	6.3%	1,176	1.6%	1,183	0.6%
Tuition Assistance Program	813	900	10.7%	940	4.4%	950	1.1%	957	0.7%
Scholarships/Awards	55	177	221.8%	206	16.4%	214	3.9%	214	0.0%
Aid for Part-Time Study	11	12	9.1%	12	0.0%	12	0.0%	12	0.0%
State University	489	482	-1.4%	485	0.6%	485	0.0%	485	0.0%
Community College	484	477	-1.4%	481	0.8%	481	0.0%	481	0.0%
Other/Cornell	5	5	0.0%	4	-20.0%	4	0.0%	4	0.0%

SUNY and CUNY administer 47 four-year colleges and graduate schools with a total enrollment of 404,000 full- and part-time students. SUNY and CUNY also operate 37 community colleges, serving 320,000 students. State funds support a significant portion of SUNY and CUNY operations. In addition to the spending reflected in the above table, the State also annually provides more than \$1.0 billion for SUNY state-operated campuses operations through a General Fund transfer and fully supports the fringe benefits costs of SUNY employees at state-operated campuses totaling nearly \$2.0 billion. The State also pays debt service for bond-financed capital projects of the university systems. State debt service payments for capital projects at SUNY and CUNY are estimated at \$1.2 billion in FY 2019, an increase of \$24 million from FY 2018 levels.

HESC is New York State’s student financial aid agency and oversees numerous State-funded financial aid programs, including the Excelsior Scholarship, Tuition Assistance Program (TAP), and 24 other scholarship and loan forgiveness programs. Together, these programs provide financial aid to approximately 400,000 students.

Higher education spending is projected to increase by \$232 million, or 8.2 percent, from FY 2018 to FY 2019. This change in spending largely reflects the launch of the second phase of the Excelsior Free Tuition Program, increased funding for scholarships, fringe benefit increases at CUNY, and the timing of certain payments during academic year 2018. Along with other sources of tuition assistance, the Excelsior Scholarship will allow approximately 53 percent of full-time SUNY and CUNY in-state students to attend college tuition-free when it is fully phased in.

Health Care

Local assistance for health care related spending includes Medicaid, statewide public health programs and a variety of mental hygiene programs. The State DOH works with local health departments and social services departments, including those located in New York City, to coordinate and administer statewide health insurance programs and activities. The majority of government-financed health care programs are included under DOH, but a number of programs are also supported through multi-agency efforts.

DOH is also engaged in a multi-year initiative to implement the DSRIP program through an approved Federal waiver amendment to reinvest \$8 billion in Federal savings generated by the MRT reforms. The DSRIP program will promote community-level collaborations and focus on system reform, with a specific goal to achieve 25 percent reduction in avoidable hospital use over five years. The Financial Plan reflects the impact of the DSRIP program through additional Federal funds disbursements of nearly \$8 billion through FY 2021. A portion of DSRIP program funding flows through the SUNY hospital system and other State-operated health care facilities.

Medicaid

Medicaid is a means-tested program that finances health care services for low-income individuals and long-term care services for the elderly and disabled, primarily through payments to health care providers. The Medicaid program is financed jointly by the State, Federal government, and local governments. Eligible services include inpatient hospital care, outpatient hospital services, clinics, nursing homes, managed care, prescription drugs, home care and services provided in a variety of community-based settings (including mental health, substance abuse treatment, developmental disabilities services, school-based services and foster care services).

In FY 2012, legislation was enacted to limit the year-to-year growth in DOH State funds Medicaid spending to the ten-year rolling average of the medical component of the CPI. The statutory provisions of the Medicaid spending cap (or “Global Cap”) also allow for flexibility in adjusting Medicaid projections to meet unanticipated costs resulting from a disaster. Certain authorizations exist which allow the Governor to take actions to reduce Medicaid spending in order to maintain spending within the Global Cap limit.

The FY 2019 Enacted Budget reflects the continuation of the “Global Cap” through FY 2022, and the projections assume that statutory authority will be extended in subsequent years. Allowable Growth under the cap for medical services is 3.2 percent in FY 2019 and estimated at 3.1 percent for each subsequent year through FY 2022.



State Financial Plan Projections Fiscal Years 2018 Through 2022

MEDICAID GLOBAL CAP FORECAST (millions of dollars)					
	<u>FY 2018</u>	<u>FY 2019</u>	<u>FY 2020</u>	<u>FY 2021</u>	<u>FY 2022</u>
Global Medicaid Cap¹	18,270	18,863	19,446	20,048	20,667
Annual % Change		3.2%	3.1%	3.1%	3.1%
¹ Under the Global Cap, forecasted Medicaid services growth is indexed to the 10-year average of the medical component of the CPI.					

The indexed provisions of the Global Cap apply to a majority of the State share of Medicaid spending that is budgeted and expended principally through DOH. However, the Global Cap is adjusted for State costs associated with the takeover of local Medicaid growth and the multi-year assumption of local Medicaid administration, increased Federal Financial Participation (FFP) pursuant to the ACA that became effective in January 2014, as well as the statewide minimum wage increases authorized in the FY 2017 Enacted Budget. State share Medicaid spending also appears in the Financial Plan estimates for other State agencies, including the mental hygiene agencies, child welfare programs, education aid and corrections.

State Financial Plan Projections Fiscal Years 2018 Through 2022



TOTAL STATE-SHARE MEDICAID DISBURSEMENTS ¹ (millions of dollars)					
	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022
	Results	Enacted	Projected	Projected	Projected
Department of Health Medicaid	19,441	20,279	21,521	22,528	23,395
Local Assistance	19,143	20,358	21,490	22,535	23,402
State Operations	298	356	358	364	364
MSA Payments (Share of Local Growth) ²	0	(435)	(327)	(371)	(371)
Other State Agency Medicaid Spending	4,409	2,934	3,150	3,463	3,685
Mental Hygiene ³	4,271	2,797	3,009	3,319	3,539
Foster Care	77	85	89	92	96
Education	61	50	50	50	50
Corrections	0	2	2	2	0
Total State Share Medicaid (All Agencies)	23,850	23,213	24,671	25,991	27,080
Annual \$ Change		(637)	1,458	1,320	1,089
Annual % Change		-2.7%	6.3%	5.4%	4.2%
Essential Plan⁴	88	102	98	93	87
Local Assistance	0	0	0	0	0
State Operations	88	102	98	93	87

¹ DOH spending in the Financial Plan includes certain items that are excluded from the indexed provisions of the Medicaid Global Cap. This includes administrative costs, such as the takeover of local administrative responsibilities; the decision of Monroe County to participate in the Medicaid local cap program, rather than continuing the sales tax intercept option; increased Federal Financial Participation that became effective in January 2014; and minimum wage increases.

² MSA payments will be deposited directly to the MMIS Escrow Fund to cover total State share support for Medicaid.

³ The FY 2019 Enacted Budget reclassifies all spending from two State special revenue accounts, the Mental Hygiene Program Fund and the Patient Income Account, to the General Fund in order to conform cash basis reporting with GAAP accounting. Effective FY 2019, approximately \$1.4 billion in spending associated with Mental Hygiene agencies' fringe benefits will now be centrally accounted for in the General Fund General State Charges Budget. On a statewide basis, transactions related to the Mental Hygiene reclassification are technical in nature and have no impact on programmatic spending across the Mental Hygiene agencies.

⁴ The EP is not a Medicaid program; however, State-funded resources for the EP are managed under the Medicaid Global Cap.

The State share of DOH Medicaid spending is financed by a combination of the General Fund, HCRA resources, indigent care support, provider assessment revenue, and tobacco settlement proceeds. The following table provides information on the financing sources for State Medicaid spending (More information on HCRA can be found in the section entitled "HCRA Financial Plan").

DEPARTMENT OF HEALTH MEDICAID ¹ (millions of dollars)									
	FY 2018 Results	FY 2019 Enacted	Change	FY 2020 Projected	Change	FY 2021 Projected	Change	FY 2022 Projected	Change
STATE OPERATING FUNDS	23,938	23,315	-2.6%	24,769	6.2%	26,084	5.3%	27,167	4.2%
Department of Health Medicaid	19,529	20,381	4.4%	21,619	6.1%	22,621	4.6%	23,482	3.8%
General Fund - DOH Medicaid Local	13,397	14,331	7.0%	15,719	9.7%	16,797	6.9%	17,677	5.2%
DOH Medicaid	11,138	11,448	2.8%	12,223	6.8%	13,072	6.9%	13,686	4.7%
Mental Hygiene - Global Cap Adjustment ²	1,269	1,698	33.8%	1,701	0.2%	1,702	0.1%	1,704	0.1%
Minimum Wage	255	703	175.7%	1,022	45.4%	1,111	8.7%	1,193	7.4%
Local Growth Takeover (Zero Growth Phase-in) ³	735	917	24.8%	1,100	20.0%	1,283	16.6%	1,465	14.2%
MSA Payments (Share of Local Growth) ⁴	0	(435)	0.0%	(327)	24.8%	(371)	-13.5%	(371)	0.0%
General Fund - DOH Medicaid State Ops	298	356	19.5%	358	0.6%	364	1.7%	364	0.0%
General Fund - Essential Plan	88	102	15.9%	98	-3.9%	93	-5.1%	87	-6.5%
Local Assistance	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%
State Operations	88	102	15.9%	98	-3.9%	93	-5.1%	87	-6.5%
Other State Funds - DOH Medicaid Local	5,746	5,592	-2.7%	5,444	-2.6%	5,367	-1.4%	5,354	-0.2%
HCRA Financing	3,966	3,837	-3.3%	3,689	-3.9%	3,612	-2.1%	3,598	-0.4%
Indigent Care Support	922	892	-3.3%	892	0.0%	892	0.0%	892	0.0%
Provider Assessment Revenue	858	863	0.6%	863	0.0%	863	0.0%	864	0.1%
Other State Agency Medicaid Spending ⁷	4,409	2,934	-33.5%	3,150	7.4%	3,463	9.9%	3,685	6.4%
USE OF MSA PAYMENTS (Share of Local Growth) ⁴	0	435	0.0%	327	-24.8%	371	13.5%	371	0.0%
LOCAL SHARE OF MEDICAID ^{5,6}	7,949	8,300	4.4%	7,902	-4.8%	7,408	-6.3%	7,419	0.1%
FEDERAL SHARE OF MEDICAID	41,534	42,793	3.0%	43,702	2.1%	44,795	2.5%	45,964	2.6%
DOH Medicaid	37,659	38,820	3.1%	39,704	2.3%	40,783	2.7%	41,941	2.8%
Essential Plan	3,875	3,973	2.5%	3,998	0.6%	4,012	0.4%	4,023	0.3%
ALL FUNDING SOURCES	73,421	74,843	1.9%	76,700	2.5%	78,658	2.6%	80,921	2.9%

¹ The EP is not a Medicaid program; however, State funded resources for EP are managed under the Medicaid Global Cap.
² The DOH Medicaid budget includes resources to fund a portion of Medicaid-related Mental Hygiene program costs under the Global Cap.
³ As of County Year (CY) 2015 the full share of local Medicaid services growth has been financed with State resources.
⁴ MSA payments will be deposited directly to the MMIS Escrow Fund to cover a portion of the State's share of local Medicaid growth.
⁵ The Local Share of Medicaid is paid by the Local Social Service Districts (counties), and is not included in the State's All Governmental Funds disbursement totals.
⁶ Fluctuation in the local share of Medicaid is related to certain supplemental payments made by local districts. Local Medicaid services payments are capped at CY 2015 levels.
⁷ The FY 2019 Enacted Budget reclassifies all spending from two State special revenue accounts, the Mental Hygiene Program Fund and the Patient Income Account, to the General Fund in order to conform cash basis reporting with GAAP accounting. Effective FY 2019, approximately \$1.4 billion in spending associated with Mental Hygiene agencies' fringe benefits will now be centrally accounted for in the General Fund General State Charges Budget. On a statewide basis, transactions related to the Mental Hygiene reclassification are technical in nature and have no impact on programmatic spending across the Mental Hygiene agencies.

The FY 2019 Enacted Budget Financial Plan includes \$425 million in annual savings from funding certain OPWDD-related Medicaid expenses under the Medicaid Global Cap. To achieve savings within the Global Cap necessary to support these additional costs, DOH will continue to implement various MRT actions to improve the efficiency and effectiveness in delivery of the statewide Medicaid program. These reforms represent modifications to the Medicaid long-term care program to ensure access to long-term care services and support for a growing aging population; incentives supporting the transition to value-based payment arrangements; additional program integrity efficiencies; and enhancement of certain Medicaid services and practices, including covered telehealth and claims editing development.

State Financial Plan Projections Fiscal Years 2018 Through 2022



The gap-closing plan anticipates the receipt of direct payments associated with the purchase of Fidelis assets by Centene. In FY 2019, the State expects over \$1 billion in payments to be deposited into the Health Care Transformation Fund to offset expenses for capital investment, debt retirement or restructuring, housing and other social determinants of health, or transitional operating support to health care providers. In FY 2020, the conversion proceeds deposit will total \$468 million, followed by a \$118 million deposit in FY 2021 and FY 2022.

With the retirement of the State’s tobacco securitization bonds on June 1, 2017, MSA payments will be used to fund a portion of the non-Federal share of annual Medicaid growth, formerly borne by local governments, which the State now pays on behalf of local governments. The use of MSA payments will not affect total funding for the Medicaid program, but is expected to provide financial plan relief through lower annual General Fund Medicaid disbursements. The table below displays the adjusted funding shares.

FUNDING SOURCES FOR STATE MEDICAID CONTRIBUTIONS (millions of dollars)					
	FY 2018 Results	FY 2019 Enacted	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
State Share Support	23,938	23,750	25,096	26,455	27,538
State Funds Medicaid Disbursements ^{1,2}	23,938	23,315	24,769	26,084	27,167
MSA Payments (Local Growth)	0	435	327	371	371

¹ The EP is not a Medicaid program; however, State funded resources for EP are managed under the Medicaid Global Cap.

² The FY 2019 Enacted Budget reclassifies all spending from two State special revenue accounts, the Mental Hygiene Program Fund and the Patient Income Account, to the General Fund in order to conform cash basis reporting with GAAP accounting. Effective FY 2019, approximately \$1.4 billion in spending associated with Mental Hygiene agencies’ fringe benefits will now be centrally accounted for in the General Fund General State Charges Budget. On a statewide basis, transactions related to the Mental Hygiene reclassification are technical in nature and have no impact on programmatic spending across the Mental Hygiene agencies.

The FY 2019 Enacted Budget Financial Plan includes additional General Fund support for costs associated with the regionally-based, multi-year increase in the statewide minimum wage, including the impact of legislation (Chapter 56 of the Laws of 2016) which ensures that rates for the total compensation for home health care workers in New York City, and Westchester, Nassau, and Suffolk counties will be increased commensurate with the schedule of statutory minimum wage increases.²² The impact of these minimum wage initiatives is projected to increase annual Medicaid spending above statutory Global Cap limits by \$703 million in FY 2019; \$1.0 billion in FY 2020; \$1.1 billion in FY 2021; and \$1.2 billion in FY 2022.

Fluctuation in enrollment, costs of provider health care services, and health care utilization levels are among the factors that drive higher Medicaid spending within the Global Cap. The number of Medicaid recipients is expected to reach about 6.3 million by the end of FY 2019, a slight increase from FY 2018. This moderate increase is in part driven by an increase in elderly enrollees in the Medicaid program.

²² Home health care workers in New York City and certain counties receive a benefit portion of total compensation in addition to their wage-based compensation rate levels (\$4.09 for New York City; \$3.22 for Westchester, Nassau, and Suffolk counties), resulting in total compensation which otherwise would have exceeded minimum wage levels and therefore was not factored into previous cost analysis. The impact of this legislation, however, effectively exempts the benefit portion of total compensation from the minimum wage calculation and ensures that home health care workers in these counties will receive incremental growth in wage compensation commensurate to the new minimum wage schedule.



State Financial Plan Projections Fiscal Years 2018 Through 2022

The ability to offset rising costs within the Medicaid Global Cap exists through the Medicaid integrity and efficiency initiative, which was authorized in the FY 2017 Enacted Budget. Upon election by a local service district to participate in this initiative, DOH and such local service district may formulate a plan to achieve new audit recoveries, efficiencies and other cost avoidance measures to provide savings. Financial Plan savings associated with the Medicaid program are realized through the Mental Hygiene Global Cap Adjustment, which finances certain OPWDD-related Medicaid costs available under the Global Cap, as noted above.

State Financial Plan Projections Fiscal Years 2018 Through 2022



Essential Plan (EP)

The EP is a health insurance program which receives Federal subsidies authorized through the ACA. The FY 2015 Enacted Budget authorized the State to participate in the EP, which includes health insurance coverage for certain legally residing immigrants previously receiving State-only Medicaid coverage. Individuals who meet the EP eligibility standards are enrolled through the NYSOH insurance exchange, with the cost of insurance premiums subsidized by the State and Federal governments.

ESSENTIAL PLAN (millions of dollars)									
	FY 2018 Results	FY 2019 Enacted	Change	FY 2020 Projected	Change	FY 2021 Projected	Change	FY 2022 Projected	Change
TOTAL ALL FUNDS SPENDING	3,963	4,075	2.8%	4,096	0.5%	4,105	0.2%	4,110	0.1%
State Operating Funds	88	102	15.9%	98	-3.9%	93	-5.1%	87	-6.5%
Local Assistance	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%
State Operations	88	102	15.9%	98	-3.9%	93	-5.1%	87	-6.5%
Federal Operating Funds	3,875	3,973	2.5%	3,998	0.6%	4,012	0.4%	4,023	0.3%

The multi-year financial plan reflects a mix of factors, including stabilizing enrollment trends and growth in the Federal marketplace premium index for base program expenses. This change in the premium index generates a higher Federal reimbursement rate, eliminating EP program costs for the State and allowing for the local assistance program to be fully federally financed.

State savings associated with the EP local assistance program are managed within the total available resources of the Medicaid Global Cap. This includes a portion of the spending associated with increasing EP enrollment in part, reflecting the transition of certain individuals from the Medicaid program to the EP program based on changes in income levels.

In FY 2018, the Trump Administration took unilateral Executive action to withhold CSR payments, putting low-cost health insurance coverage for income eligible recipients at risk. Despite the Federal withholding of CSR payments, which amount to 25 percent of the Federal funding for the EP, the Enacted Budget continues to support the EP program. In order to offset this loss of funding, the State will utilize EP Medical Loss Ratio (MLR) remittances, reduce reimbursement rates to plans, and accelerate trust fund monies to maximize Federal benefits.

It is not possible at this time to assess the potential fiscal impact of long-term policies that may be adopted. The Enacted Budget includes authorization to develop a mitigation plan to offset the impact of significant Federal funding reductions.

Public Health/Aging Programs

Public Health includes the Child Health Plus (CHP) program that finances health insurance coverage for children of low-income families, up to the age of 19; the General Public Health Work (GPHW) program that reimburses local health departments for the cost of providing certain public health services; the Elderly Pharmaceutical Insurance Coverage (EPIC) program that provides prescription drug insurance to seniors; and the Early Intervention (EI) program that pays for services provided to infants and toddlers under the age of three with disabilities or developmental delays. Many public health programs, such as the EI and GPHW programs, are run by county health departments that are reimbursed by the State for a share of program costs. State spending projections do not include the county share of public health costs. In addition, a significant portion of HCRA spending is included under the Public Health budget.

The State Office for the Aging (SOFA) promotes and administers programs and services for New York residents 60 years of age and older. SOFA primarily oversees community-based services (including in-home services and nutrition assistance) provided through a network of county Area Agencies on Aging (AAA) and local providers.

PUBLIC HEALTH AND AGING (millions of dollars)									
	FY 2018 Results	FY 2019 Enacted	Change	FY 2020 Projected	Change	FY 2021 Projected	Change	FY 2022 Projected	Change
TOTAL STATE OPERATING FUNDS	1,668	1,626	-2.5%	1,766	8.6%	1,880	6.5%	1,890	0.5%
Public Health	1,544	1,497	-3.0%	1,641	9.6%	1,750	6.6%	1,754	0.2%
Child Health Plus	291	296	1.7%	413	39.5%	537	30.0%	537	0.0%
General Public Health Work	195	155	-20.5%	204	31.6%	208	2.0%	212	1.9%
EPIC	126	125	-0.8%	131	4.8%	128	-2.3%	128	0.0%
Early Intervention	175	173	-1.1%	173	0.0%	165	-4.6%	165	0.0%
HCRA Program	388	372	-4.1%	394	5.9%	384	-2.5%	384	0.0%
All Other	369	376	1.9%	326	-13.3%	328	0.6%	328	0.0%
Aging	124	129	4.0%	125	-3.1%	130	4.0%	136	4.6%

The FY 2019 Enacted Budget Financial Plan reflects the proposal to discontinue cost of living payments to certain DOH providers. This action will result in savings of \$19.9 million in FY 2019 and \$45.4 million in FY 2020. Declining spending for HCRA and other public health programs is partly affected by funding a greater portion of the Roswell Park Cancer Institute (RPCI) with Capital dollars. Savings of \$40 million in FY 2019 are realized for the GPHW program, resulting from a one-time recoupment of ineligible claims paid during program years 2015 and 2016.

FY 2019 Enacted Budget includes an increase in the Federal match to 88 percent for the Hunger Prevention and Nutritional Assistance program through the CHP program, resulting in Financial Plan savings.

The Financial Plan reflects SOFA savings realized by eliminating the planned 1.9 percent increase in the Human Services Cost of Living increase, resulting in \$4 million in annual savings for FY 2019 through FY 2022.

State Financial Plan Projections Fiscal Years 2018 Through 2022



HCRA Financial Plan

HCRA was established in 1996 to help fund a portion of State health care activities. Extensions and modifications to HCRA have financed new health care programs, including Family Health Plus (FHP) and CHP. HCRA has also provided additional funding for the health care industry, including investments in worker recruitment and retention, and the Doctors Across New York program. HCRA authorization is extended through FY 2020, pursuant to legislation included in the FY 2018 Enacted Budget.

HCRA receipts include surcharges and assessments on hospital revenues, a “covered lives” assessment paid by insurance carriers, and a portion of cigarette tax revenues. In total, HCRA resources are used to fund roughly 25 percent of the State share of Medicaid, as well as CHP, EPIC, Physician Excess Medical Malpractice Insurance, and Indigent Care payments (the latter of which provides funding to hospitals serving a disproportionate share of individuals without health insurance).

HCRA FINANCIAL PLAN FY 2018 THROUGH FY 2022					
(millions of dollars)					
	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022
	Results	Enacted	Projected	Projected	Projected
OPENING BALANCE	12	15	0	0	0
TOTAL RECEIPTS	5,873	5,771	5,788	5,756	5,723
Surcharges	3,407	3,369	3,428	3,496	3,496
Covered Lives Assessment	1,103	1,110	1,110	1,045	1,045
Cigarette Tax Revenue	829	791	752	716	683
Hospital Assessments	437	424	424	424	424
NYC Cigarette Tax Transfer/Other	97	77	74	75	75
TOTAL DISBURSEMENTS AND TRANSFERS	5,870	5,786	5,788	5,756	5,723
Medicaid Assistance Account ¹	<u>3,966</u>	<u>3,837</u>	<u>3,689</u>	<u>3,612</u>	<u>3,598</u>
Medicaid Costs	3,769	3,640	3,492	3,415	3,401
Workforce Recruitment & Retention	197	197	197	197	197
Hospital Indigent Care	922	892	892	892	892
HCRA Program Account	394	380	402	392	392
Child Health Plus	295	307	428	556	554
Elderly Pharmaceutical Insurance Coverage	137	136	142	140	139
SHIN-NY/APCD	17	40	40	0	0
All Other	139	194	195	164	148
ANNUAL OPERATING SURPLUS/(DEFICIT)	3	(15)	0	0	0
CLOSING BALANCE	15	0	0	0	0

¹ NYSOH spending will be financed with available HCRA resources through the Medicaid program.



State Financial Plan Projections Fiscal Years 2018 Through 2022

Total HCRA receipts are forecasted to decline by 1.7 percent in FY 2019 partly due to reductions in anticipated surcharges and cigarette tax revenues. Annual growth beyond FY 2020 plateaus with the expiration of the extension suspending the covered lives reconciliation, causing revenue collection to revert to the levels set in statute in FY 2021. Similarly, declines in estimated cigarette tax collections attributable to lower consumption, amplify the downward trend in HCRA receipts in the outyears.

Total HCRA disbursements are commensurate with the multi-year revenue forecast. The Enacted Budget Financial Plan reflects increased FY 2019 HCRA funding for the Diversity in Medicine program, Rural Health Access initiative, and the Rural Health Network Development programs. The Diversity in Medicine program works to help address the gap in physician diversity through outreach and scholarships. The Rural Health Access and Rural Health Development programs provide support and grants to rural healthcare systems to promote more effective delivery through planning, coordination, development, implementation and operation of local networks.

HCRA is expected to remain in balance over the multi-year projection period. Under the current HCRA appropriation structure, spending reductions will occur if resources are insufficient to meet spending levels. Any such spending reductions could affect General Fund Medicaid funding or HCRA programs. Conversely, any unanticipated balances or excess resources in HCRA are expected to fund Medicaid costs that would otherwise be paid from the General Fund.

State Financial Plan Projections Fiscal Years 2018 Through 2022



Mental Hygiene

The Department of Mental Hygiene is comprised of OPWDD, Office of Mental Health (OMH), Office of Alcoholism and Substance Abuse Services (OASAS), the Developmental Disabilities Planning Council (DDPC), and the Justice Center for the Protection of People with Special Needs (Justice Center). Services are administered to more than one million individuals, including adults with serious mental illness; children with serious emotional disturbances; individuals with developmental disabilities and their families; persons with chemical dependencies; and individuals with compulsive gambling problems.

These agencies provide services directly to their clients through State-operated facilities, and indirectly through community service providers. The costs associated with providing these services are supported by reimbursement from Medicaid, Medicare, third-party insurance and State funding. Patient care revenues are pledged first to the payment of debt service on outstanding mental hygiene bonds, which were issued to finance infrastructure improvements at State mental hygiene facilities, with the remaining revenue used to support State operating costs.

MENTAL HYGIENE (millions of dollars)									
	FY 2018	FY 2019		FY 2020		FY 2021		FY 2022	
	Results	Enacted	Change	Projected	Change	Projected	Change	Projected	Change
TOTAL STATE OPERATING FUNDS	2,350	2,257	-4.0%	2,592	14.8%	2,928	13.0%	3,208	9.6%
People with Developmental Disabilities	2,115	2,272	7.4%	2,438	7.3%	2,682	10.0%	2,876	7.2%
Residential Services	1,299	1,391	7.1%	1,480	6.4%	1,620	9.5%	1,735	7.1%
Day Programs	609	653	7.2%	694	6.3%	760	9.5%	814	7.1%
Clinic	19	20	5.3%	21	5.0%	23	9.5%	25	8.7%
All Other Services (Net)	188	208	10.6%	243	16.8%	279	14.8%	302	8.2%
Mental Health	1,181	1,326	12.3%	1,473	11.1%	1,551	5.3%	1,626	4.8%
Adult Local Services	939	1,052	12.0%	1,176	11.8%	1,240	5.4%	1,303	5.1%
Children Local Services	242	274	13.2%	297	8.4%	311	4.7%	323	3.9%
Alcohol and Substance Abuse	322	356	10.6%	381	7.0%	396	3.9%	409	3.3%
Outpatient/Methadone	117	129	10.3%	138	7.0%	143	3.6%	148	3.5%
Residential	128	144	12.5%	155	7.6%	162	4.5%	169	4.3%
Prevention and Program Support	68	75	10.3%	80	6.7%	84	5.0%	86	2.4%
Crisis	9	8	-11.1%	8	0.0%	7	-12.5%	6	-14.3%
Justice Center	1	1	0.0%	1	0.0%	1	0.0%	1	0.0%
SUBTOTAL BEFORE ADJUSTMENTS	3,619	3,955	9.3%	4,293	8.5%	4,630	7.8%	4,912	6.1%
Global Cap Adjustment	(1,269)	(1,698)	-33.8%	(1,701)	-0.2%	(1,702)	-0.1%	(1,704)	-0.1%

Local assistance accounts for approximately 45 percent of total mental hygiene spending from State Operating Funds, and is projected to grow by an average rate of 8.1 percent annually. The main factors driving this level of growth are expansions of community mental health services; enhancements in community-based employment and residential opportunities for individuals with disabilities; and new or increased funding for not-for-profit providers for growth in employee wages related to minimum wage increases.



State Financial Plan Projections Fiscal Years 2018 Through 2022

The FY 2019 Enacted Budget includes an approximately \$336 million or 9.3 percent increase in local assistance funding for the mental hygiene agencies. This increase is largely related to \$132 million used in support of a 6.5 percent increase for direct care professionals and a 3.25 percent increase for clinical staff employed by not-for-profit organizations delivering services on behalf of OPWDD, OMH and OASAS, as well as \$44 million to support the minimum wage and related fringe benefit increases associated with the movement to a \$15 an hour living wage. Other increases include community investments, new service investments in the OPWDD system, and funding in OASAS to address the heroin and opioid crisis.

In addition to investments in salaries for the not-for-profit workforce, there is a \$60 million annualized State-share investment in new OPWDD program services. Partly offsetting the cost of these investments are savings associated with ongoing service delivery transformation and efforts to ensure the efficient use of State resources.

The FY 2019 Enacted Budget Financial Plan reflects the continued expansion of community-based services and provides \$10 million in enhanced support for existing OMH housing programs. The Enacted Budget Financial Plan also reflects continued support for OASAS program expansion begun in FY 2018 to address the opioid crisis. These include increased Residential Treatment capacity, expansion of outpatient Opioid Treatment Programs, Family Support Navigators, Certified Peer Recovery Advocates, Jail based substance abuse disorder programs, and other evidence-based programs.

The additional funding increase is offset by technical adjustments to the Medicaid Global Cap, as a greater share of OPWDD-related spending will be financed from Global Cap resources. These technical adjustments have no impact on service delivery or operations of OMH, OPWDD, OASAS or the Justice Center.

State Financial Plan Projections Fiscal Years 2018 Through 2022



Social Services

Office of Temporary and Disability Assistance (OTDA)

OTDA local assistance programs provide cash benefits and supportive services to low-income families. The State’s three main programs include Family Assistance, Safety Net Assistance and Supplemental Security Income (SSI). The Family Assistance program, funded by the Federal government, provides time-limited cash assistance to eligible families. The Safety Net Assistance program, financed by the State and local districts, provides cash assistance for single adults, childless couples, and families that have exhausted their five-year limit on Family Assistance imposed by Federal law. The State SSI Supplementation program provides a supplement to the Federal SSI benefit for the elderly, visually handicapped, and disabled.

TEMPORARY AND DISABILITY ASSISTANCE (millions of dollars)									
	FY 2018 Results	FY 2019 Enacted	Change	FY 2020 Projected	Change	FY 2021 Projected	Change	FY 2022 Projected	Change
TOTAL STATE OPERATING FUNDS	1,229	1,281	4.2%	1,355	5.8%	1,358	0.2%	1,362	0.3%
SSI	649	661	1.8%	663	0.3%	667	0.6%	667	0.0%
Public Assistance Benefits	475	506	6.5%	545	7.7%	541	-0.7%	541	0.0%
Public Assistance Initiatives	13	11	-15.4%	33	200.0%	33	0.0%	33	0.0%
All Other	92	103	12.0%	114	10.7%	117	2.6%	121	3.4%

DOB’s caseload models project a total of 543,894 public assistance recipients in FY 2019. Approximately 214,749 families are expected to receive benefits through the Family Assistance program in FY 2019, a decrease of 1.4 percent from FY 2018. The Safety Net caseload for families is projected at 119,575 in FY 2019, a decrease of 1.1 percent from FY 2018. The caseload for single adults/childless couples supported through the Safety Net program is projected at 209,570 in FY 2019, an increase of 1.3 percent from FY 2018.

SSI spending is projected to increase gradually over the course of the multi-year Financial Plan as caseload is expected to grow. Public assistance benefits will increase in FY 2019 and FY 2020 due to a variety of factors including the expansion of NYC HIV/AIDS Services Administration (HASA) benefits to public assistance recipients living in NYC and increased costs associated with litigation proceedings that will increase Safety Net Assistance expenditures. Other spending growth includes increased spending on homeless services and prevention and a new program intended to prevent unaccompanied refugee children from joining the MS-13 gang on Long Island.



State Financial Plan Projections Fiscal Years 2018 Through 2022

Office of Children and Family Services (OCFS)

OCFS provides funding for foster care, adoption, child protective services, preventive services, delinquency prevention, and child care. OCFS oversees the State’s system of family support and child welfare services administered by local social services departments and community-based organizations. Specifically, child welfare services, which are financed jointly by the Federal government, the State, and local districts, are structured to encourage local governments to invest in preventive services for reducing out-of-home placement of children. In addition, the Child Care Block Grant, which is also financed by a combination of Federal, State and local sources, supports child care subsidies for public assistance and low-income families.

CHILDREN AND FAMILY SERVICES (millions of dollars)									
	FY 2018	FY 2019	FY 2020		FY 2021		FY 2022		Change
	Results	Enacted	Change	Projected	Change	Projected	Change	Projected	
TOTAL STATE OPERATING FUNDS	1,608	1,691	5.2%	1,692	0.1%	1,715	1.4%	1,750	2.0%
Child Welfare Service	509	481	-5.5%	491	2.1%	501	2.0%	501	0.0%
Foster Care Block Grant	384	384	0.0%	393	2.3%	403	2.5%	413	2.5%
Adoption	148	148	0.0%	148	0.0%	149	0.7%	149	0.0%
Child Care	203	289	42.4%	270	-6.6%	271	0.4%	271	0.0%
Youth Programs	105	116	10.5%	124	6.9%	117	-5.6%	117	0.0%
Medicaid	77	85	10.4%	89	4.7%	93	4.5%	97	4.3%
Adult Protective/Domestic Violence	53	45	-15.1%	48	6.7%	51	6.3%	54	5.9%
Committees on Special Education	37	22	-40.5%	24	9.1%	25	4.2%	27	8.0%
All Other	92	121	31.5%	105	-13.2%	105	0.0%	121	15.2%

OCFS State Operating Funds spending is projected to increase from FY 2018 to FY 2019 due to the impact of several factors including increased funding for child care subsidies back to FY 2017 levels, costs associated with the regionally-based, multi-year increase in the statewide minimum wage, and legislative spending additions to fund a range of OCFS programs. These increases are partially offset by savings actions allowing the expiration of provisions authorizing State reimbursement to NYC for Close to Home costs, which provide juvenile justice services to NYC youth adjudicated in the court system as juvenile delinquents, and the planned elimination of the human services COLA in FY 2019.

Increased spending in the outyears is primarily due to implementation of the “Raise the Age” initiative, which will increase the age of criminal responsibility from 16 to 18, and planned increases consistent with anticipated program growth. These increases are partly offset by a reduction in the costs attributable to the Pay for Success program based upon program participation and spending to date.

State Financial Plan Projections Fiscal Years 2018 Through 2022



Transportation

In FY 2019, the State expects to provide almost \$5.4 billion in operating aid to mass transit systems, including over \$1.4 billion in off-budget aid to the MTA (not included in the table below). This aid is funded mainly from various dedicated taxes and fees. The MTA, the nation's largest transit and commuter rail system, receives the majority of the mass transit aid - totaling \$4.8 billion in FY 2019.

The MTA receives additional, exclusive operating support from the MTA Financial Assistance Fund, authorized in May 2009 to collect regional taxes and fees imposed within the Metropolitan Commuter Transportation District (MCTD). Through FY 2018, the State collected these taxes and fees on behalf of, and disbursed the entire amount to, the MTA. Pursuant to legislation enacted in December 2011, the MTA payroll tax was eliminated for all elementary and secondary schools and small business operators within the MCTD. The General Fund provides additional annual support to the MTA, subject to appropriation, to partially offset this revenue loss.

TRANSPORTATION (millions of dollars)									
	FY 2018	FY 2019		FY 2020		FY 2021		FY 2022	
	Results	Enacted	Change	Projected	Change	Projected	Change	Projected	Change
STATE OPERATING FUNDS SUPPORT	5,025	3,961	-21.2%	3,642	-8.1%	3,689	1.3%	3,821	3.6%
Mass Transit Operating Aid:	<u>2,283</u>	<u>2,324</u>	<u>1.8%</u>	<u>2,326</u>	<u>0.1%</u>	<u>2,326</u>	<u>0.0%</u>	<u>2,326</u>	<u>0.0%</u>
Metro Mass Transit Aid	2,152	2,188	1.7%	2,190	0.1%	2,190	0.0%	2,190	0.0%
Public Transit Aid	87	92	5.7%	92	0.0%	92	0.0%	92	0.0%
18-b General Fund Aid	19	19	0.0%	19	0.0%	19	0.0%	19	0.0%
School Fare	25	25	0.0%	25	0.0%	25	0.0%	25	0.0%
Mobility Tax	1,709	402	-76.5%	267	-33.6%	268	0.4%	268	0.0%
MTA Aid Trust	283	292	3.2%	296	1.4%	292	-1.4%	294	0.7%
Dedicated Mass Transit	678	683	0.7%	696	1.9%	746	7.2%	876	17.4%
AMTAP	70	260	271.4%	57	-78.1%	57	0.0%	57	0.0%
All Other	2	0	-100.0%	0	0.0%	0	0.0%	0	0.0%

Projected operating aid to the MTA and other transit systems reflects the current receipts forecast and timing associated with the availability of resources. The Financial Plan includes revised spending estimates for transit assistance in each year to reflect the most recent revenue forecast assumptions.

The Enacted Budget includes legislation directing the Mobility Tax collections to be remitted directly to the MTA. This will eliminate the pass through of this tax and ensure more timely receipt by the MTA, which provides a one-time benefit of \$60 million for the MTA. Beginning in FY 2019, the Financial Plan will no longer include new Mobility Tax receipts or associated local assistance payments. Combining on-budget (\$402 million) and off-budget (\$1.4 billion) spending, the MTA will receive over \$1.8 billion of Mobility Tax resources in FY 2019.



State Financial Plan Projections Fiscal Years 2018 Through 2022

Local Government Assistance

Direct aid to local governments includes the Aid and Incentives for Municipalities (AIM) program, which was created in FY 2006 to consolidate various unrestricted local aid funding streams; miscellaneous financial assistance for certain counties, cities, towns, and villages; and efficiency-based incentive grants provided to local governments.

LOCAL GOVERNMENT ASSISTANCE - AIM PROGRAM (millions of dollars)									
	FY 2018	FY 2019	FY 2020		FY 2021		FY 2022		Change
	Results	Enacted	Change	Projected	Change	Projected	Change	Projected	
TOTAL STATE OPERATING FUNDS	722	724	0.3%	763	5.4%	763	0.0%	763	0.0%
Big Four Cities	429	429	0.0%	429	0.0%	429	0.0%	429	0.0%
Other Cities	218	218	0.0%	218	0.0%	218	0.0%	218	0.0%
Towns and Villages	68	68	0.0%	68	0.0%	68	0.0%	68	0.0%
Restructuring/Efficiency	7	9	28.6%	48	433.3%	48	0.0%	48	0.0%

State Operating Funds spending for the various efficiency and restructuring grants within the AIM program is projected to grow from FY 2019 to FY 2020, due to revisions in the timing of spending. Additional increases in the outyears reflect potential awards from the Financial Restructuring Board for Local Governments.

State Financial Plan Projections Fiscal Years 2018 Through 2022



Agency Operations

Agency operating costs consist of Personal Service (PS), Non-Personal Service (NPS), and GSCs. PS includes the salaries of State employees of the Executive, Legislative, and Judicial branches, as well as the salaries of temporary/seasonal employees. NPS includes real estate rentals, utilities, contractual payments (i.e., consultants, IT, and professional business services), supplies and materials, equipment, and telephone service. GSCs, which are discussed separately, reflect the cost of fringe benefits (i.e., pensions, health insurance) provided to State employees and retirees of the Executive, Legislative and Judicial branches, and certain fixed costs paid by the State, such as taxes on public lands and litigations. Certain agency operating costs of the DOT and DMV are included in the Capital Projects Fund type and are not reflected in State Operating Funds. The PS estimates reflect current negotiated collective bargaining agreements.

Approximately 94 percent of the State workforce is unionized. The four largest unions are: CSEA, which represents office support staff and administrative personnel, machine operators, skilled trade workers, and therapeutic and custodial care staff; PEF, which represents professional and technical personnel (attorneys, nurses, accountants, engineers, social workers, and institution teachers); UUP, which represents faculty and nonteaching professional staff within the State University system; and NYSCOPBA, which represents security personnel (correction officers, safety and security officers).

The following table presents information on salary base and employee benefits that affect spending projections for agency operations.

FORECAST OF SELECTED PROGRAM MEASURES AFFECTING PERSONAL SERVICE AND FRINGE BENEFITS					
	FY 2018 Results ¹	Forecast			
		FY 2019 Enacted	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
Negotiated Base Salary Increases ²					
CSEA/DC-37 (Rent Regulation Unit)	2%	2%	2%	2%	TBD
PEF/GSEU/MC	2%	2%	TBD	TBD	TBD
NYSPPA/NYSPIA ³	1.5%	TBD	TBD	TBD	TBD
Council 82/UUP/NYSCOPBA/PBANYS	TBD	TBD	TBD	TBD	TBD
State Workforce ⁴	117,397	118,868	TBD	TBD	TBD
ERS Contribution Rate					
Before Amortization ⁵	16.2%	15.7%	15.9%	17.2%	18.6%
After Amortization ⁶	20.0%	19.4%	19.6%	20.8%	21.8%
PFRS Contribution Rate					
Before Amortization ⁵	25.1%	24.1%	24.0%	25.1%	26.2%
After Amortization ⁶	28.1%	26.9%	27.4%	28.4%	29.4%
Employee/Retiree Health Insurance Growth Rates	7.0%	7.9%	6.9%	6.6%	6.6%
PS/Fringe as % of Receipts (All Funds Basis)	13.1%	13.6%	13.8%	14.4%	14.3%

¹ Reflects preliminary unaudited results.
² Reflects current collective bargaining agreements with settled unions. Does not reflect potential impact of future negotiated labor agreements.
³ Contracts contain "reopener" language which allows these unions to reopen negotiations if any other State bargaining unit receives a general salary increase exceeding 1.5 percent in FY 2017 and in FY 2018.
⁴ Reflects workforce that is subject to direct Executive control.
⁵ Before amortization contribution rate reflects normal and administrative costs, contributions for the Group Life Insurance Plan (GLIP), and Chapter 41 of 2016 veterans' pension credit legislation.
⁶ After amortization contribution rate additionally includes new amortization, if any, and payments on prior amortizations.



State Financial Plan Projections Fiscal Years 2018 Through 2022

Operating costs for PS/NPS are projected to increase over the Financial Plan period, from \$18.8 billion in FY 2018 to \$20.5 billion in FY 2022. Most Executive agencies are expected to hold spending at FY 2018 levels. In addition to the DOT/DMV operations classification discussed previously, increases in the outyears of the Financial Plan are driven mainly by juvenile justice reform, anticipated labor agreements, growing SUNY operating costs, and an additional administrative payroll in FY 2021 due to the payroll calendar.

STATE OPERATING FUNDS - PERSONAL SERVICE / NON-PERSONAL SERVICE COSTS					
(millions of dollars)					
	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022
	Results	Enacted	Projected	Projected	Projected
SUBJECT TO DIRECT EXECUTIVE CONTROL	10,004	10,192	10,446	10,815	10,827
Mental Hygiene	2,804	2,717	2,772	2,846	2,880
Corrections and Community Supervision	2,628	2,606	2,619	2,624	2,616
State Police	742	730	725	751	765
Information Technology Services ¹	536	545	541	551	558
Medicaid Admin/EP	385	458	456	457	452
Public Health	356	394	392	398	393
Tax and Finance	321	332	331	341	330
Children and Family Services	242	289	375	442	445
Environmental Conservation	213	208	205	217	210
Financial Services	209	209	213	219	213
Parks, Recreation and Historic Preservation	169	173	171	178	173
General Services	144	137	133	132	130
Workers' Compensation Board	141	143	145	151	146
Temporary and Disability Assistance	123	126	132	136	139
Gaming	95	81	81	82	81
Potential and Settled Labor Agreements ²	495	626	741	856	856
Agency Financial Management Plan	(500)	(500)	(500)	(500)	(500)
All Other	901	918	914	934	940
DOT/DMV Operations Reclassification	0	282	332	337	343
UNIVERSITY SYSTEMS	6,183	6,303	6,337	6,639	6,665
State University	6,080	6,227	6,264	6,564	6,591
City University	103	76	73	75	74
INDEPENDENT AGENCIES	330	333	323	337	325
Law	183	179	176	184	177
Audit & Control (OSC)	147	154	147	153	148
TOTAL, EXCLUDING JUDICIARY AND LEGISLATURE	16,517	17,110	17,438	18,128	18,160
Judiciary	2,081	2,119	2,121	2,178	2,121
Legislature	223	230	234	239	244
Statewide Total	18,821	19,459	19,793	20,545	20,525
Personal Service	13,170	13,542	13,885	14,575	14,476
Non-Personal Service	5,651	5,917	5,908	5,970	6,049

¹ Reflects consolidation of IT costs from other agencies within ITS, which does not change total governmental spending.

² Includes the estimated potential and settled cost for executive agencies and excludes the value of a settlement with UUP.

The most significant changes to spending for agency operations include:

- **Mental Hygiene.** As a result of the Mental Hygiene Fund Reclassification, spending will decline due to the elimination of indirect costs previously charged to the Special Revenue Fund. Additional savings are achieved through attrition and other management efficiencies.
- **State Police.** The modest decline in spending reflects the reclassification of certain personal service spending, offset by the full year cost of recruit classes and added resources to combat MS-13 gang activities.
- **Medicaid Admin/EP.** Increased spending starting in FY 2019 is mainly attributable to higher costs associated with NYSOH enrollment trends.
- **Public Health.** Higher spending in out years are due to certification and surveillance activities, additional funding to support Stem Cell research, and higher operational costs for NYSOH.
- **Children and Family Services.** Increases reflect additional funding in OCFS to support raising the age of criminal responsibility from 16 to 18 by October 1, 2019.
- **Gaming.** Decreases reflect a change in the accounting structure related to advertising costs, so that direct payments are made to the vendors from a third party instead of through the Gaming Commission.
- **Potential and Settled Labor Agreements.** Spending reflects negotiated settlement agreements with PEF, which have been extended to unrepresented M/C employees, the five-year settlement with CSEA, DC-37 (Rent Regulation Unit), and parity, with remaining unsettled unions assumed to follow the PEF model.
- **Agency Financial Management Plans.** All Executive agencies have been directed to implement cost-control measures on a recurring basis, starting in FY 2018.
- **DOT/DMV Operations Reclassification.** Reflects the reclassification of certain DOT and DMV operating costs related to snow and ice removal; bus, truck and rail inspection; and DMV regulatory activities from the DHBTf to the General Fund.



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Workforce

In FY 2019, \$13.5 billion or 13.5 percent of the State Operating Funds budget is projected to be spent on PS costs. This funding supports roughly 97,500 FTE employees under direct Executive control; individuals employed by SUNY and CUNY (46,038) and Independent Agencies (18,176); employees paid on a non-annual salaried basis; and overtime pay. Roughly 60 percent of all Executive agency PS spending occurs in the mental hygiene agencies and Department of Corrections and Community Supervision (DOCCS).

STATE OPERATING FUNDS FY 2019 FTEs ¹ AND PERSONAL SERVICE SPENDING BY AGENCY (millions of dollars)		
	Dollars	FTEs
Subject to Direct Executive Control	7,375	94,741
Mental Hygiene Agencies	2,263	32,334
Corrections and Community Supervision	2,043	27,238
State Police	668	5,666
Information Technology Services	287	3,401
Health	280	3,974
Tax and Finance	264	3,977
Environmental Conservation	170	2,124
Children and Family Services	192	2,406
Financial Services	157	1,382
Parks, Recreation and Historic Preservation	134	1,304
Education	88	1,263
Workers' Compensation Board	85	1,110
Temporary and Disability Assistance	68	1,019
General Services	48	832
All Other	628	6,711
DOT/DMV Operations Reclassification	167	2,712
University Systems	3,921	46,038
State University	3,883	45,655
City University ²	38	383
Independent Agencies	2,079	18,176
Law	126	1,583
Audit & Control (OSC)	123	1,527
Judiciary	1,654	15,064
Legislature ³	176	2
Total	13,542	161,667

¹ FTEs represent the number of annual-salaried full-time filled positions (e.g., one FTE may represent a single employee serving at 100 percent full-time, or a combination of employees serving at less than full-time that, when combined, equal a full-time position). The reported FTEs do not include non-annual salaried positions, such as positions filled on an hourly, per-diem or seasonal basis.

² CUNY employees are funded primarily through an agency trust fund that supports an additional 13,166 FTEs, which are excluded from this table.

³ Legislative employees are nonannual salaried and are excluded from this table, with the exception of the Lieutenant Governor, who serves as President of the Senate.

General State Charges (GSCs)

The State provides a variety of fringe benefits to its current and former employees, including health insurance, pensions, the Social Security payroll tax, workers' compensation coverage, unemployment insurance, survivors' benefits, and dental and vision benefits (some of which are provided through union-specific Employee Benefit Funds). GSCs also pays for certain statewide fixed costs, including taxes on State-owned lands, payments in lieu of taxes (City of Albany) and judgments / settlements in the Court of Claims. Many of these payments are mandated by statute or collective bargaining agreements.

Employee fringe benefits paid through GSCs are financed from the General Fund in the first instance, and then partially reimbursed by revenue collected from agency fringe benefit assessments. Commencing in FY 2019, there are two fund reclassifications that will impact fringe benefit collections from other agencies. These changes have no impact on a State operating funds basis, and are financial plan neutral. First, the mental hygiene spending in the Mental Hygiene Program Fund and the Patient Income Fund (\$1.4 billion) will be accounted for in the General Fund. Similarly, DOT and DMV spending related to snow and ice removal, bus, truck and rail inspection and regulatory activities in the DHBTF will be accounted for in the General Fund (\$107 million).

GSC spending is projected to increase at an average annual rate of 6.9 percent over the multi-year Financial Plan period. This growth is primarily attributable to the health insurance and workers' compensation programs, offset by reductions in other fringe benefits and fixed costs and relatively stable spending for pensions and social security.

Growth in the health insurance program of \$323 million (8.2 percent) is reflective of medical inflation at current enrollment levels. Workers' compensation costs are increasing by \$170 million due to underlying growth in the average weekly wage used in benefit calculations and medical costs (\$65 million / 12.8 percent), as well as a reduction in the use of offsetting reserve funds (\$105 million). Overall pension costs are projected to remain relatively stable due to improved investment returns and ongoing savings from the Tier 5 and Tier 6 pension reforms. Social Security spending is also relatively stable due to steady workforce levels.



State Financial Plan Projections Fiscal Years 2018 Through 2022

GENERAL STATE CHARGES (millions of dollars)									
	FY 2018 Results	FY 2019 Enacted	Change	FY 2020 Projected	Change	FY 2021 Projected	Change	FY 2022 Projected	Change
TOTAL STATE OPERATING FUNDS	7,853	8,542	8.8%	9,124	6.8%	9,713	6.5%	10,249	5.5%
Fringe Benefits	7,440	8,097	8.8%	8,673	7.1%	9,256	6.7%	9,785	5.7%
Health Insurance	3,963	4,286	8.2%	4,592	7.1%	4,907	6.9%	5,247	6.9%
Pensions	2,442	2,469	1.1%	2,590	4.9%	2,753	6.3%	2,918	6.0%
Social Security	1,014	1,030	1.6%	1,040	1.0%	1,050	1.0%	1,050	0.0%
Workers' Compensation	306	476	55.6%	591	24.2%	681	15.2%	752	10.4%
Employee Benefits	92	98	6.5%	103	5.1%	108	4.9%	101	-6.5%
Dental Insurance	64	61	-4.7%	64	4.9%	65	1.6%	66	1.5%
Unemployment Insurance	13	12	-7.7%	12	0.0%	12	0.0%	12	0.0%
All Other	2,359	1,056	-55.2%	1,096	3.8%	1,166	6.4%	1,178	1.0%
Non-State Escrow	(2,813)	(1,391)	50.6%	(1,415)	-1.7%	(1,486)	-5.0%	(1,539)	-3.6%
Fixed Costs	413	445	7.7%	451	1.3%	457	1.3%	464	1.5%
Public Land Taxes/PILOTS	254	263	3.5%	269	2.3%	275	2.2%	282	2.5%
Litigation	159	182	14.5%	182	0.0%	182	0.0%	182	0.0%

Growth in GSC spending in FY 2019 has been partly offset by gap-closing savings of approximately \$62 million in pension interest savings achieved by paying most of the State pension bill in April 2018, rather than monthly as previously assumed. Over the multi-year Financial Plan period, outyear pension costs reflect expected investment performance, projected growth in salary base, and assumptions about future normal and administrative costs. Pension costs also reflect repayment of prior-year amortization, costs for Chapter 41 of 2016 (veteran's pension credit legislation), and other adjustments. Similarly, reimbursements collected from agency fringe benefit assessments have been revised based on projected personal service spending and reimbursement rates.

State Financial Plan Projections Fiscal Years 2018 Through 2022



Transfers to Other Funds (General Fund Basis)

General Fund transfers help finance the State's share of Medicaid costs for mental hygiene facilities (FY 2018 only), debt service for bonds that do not have dedicated revenues, SUNY operating costs, certain capital initiatives, and a range of other activities.

GENERAL FUND TRANSFERS TO OTHER FUNDS (millions of dollars)					
	FY 2018 Results	FY 2019 Enacted	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
TOTAL TRANSFERS TO OTHER FUNDS	9,852	6,240	6,643	6,512	6,117
State Share of Mental Hygiene Medicaid ¹	1,333	0	0	0	0
Debt Service	1,047	827	948	1,017	876
SUNY University Operations	1,015	1,034	1,025	1,021	1,021
Capital Projects	2,191	3,257	3,567	3,292	2,897
Dedicated Highway and Bridge Trust Fund	771	173	479	530	369
Dedicated Infrastructure Investment Fund	941	1,608	1,250	1,109	542
FY 2017 Temporary Loan to Capital Projects Fund ²	(1,300)	0	0	0	0
FY 2018 Temporary Loan to Capital Projects Fund ²	500	(500)	0	0	0
Transfer to DIIF for Javits Expansion	164	350	320	166	0
Bond Proceeds Receipts for Javits Expansion	0	0	(500)	(500)	0
Mass Transit Capital from Settlements	67	10	8	0	0
Statewide Health Care Capital from Settlements	19	70	70	80	63
Environmental Protection Fund	28	28	28	28	28
All Other Capital	1,001	1,518	1,912	1,879	1,895
ALL OTHER TRANSFERS	4,266	1,122	1,103	1,182	1,323
Mental Hygiene ¹	3,127	0	0	0	0
Department of Transportation (MTA Payroll Tax)	268	269	269	270	270
SUNY - Medicaid Reimbursement	405	243	243	243	243
Judiciary Funds	109	110	109	110	110
Dedicated Mass Transportation Trust Fund	63	65	65	116	256
Banking Services	41	60	53	53	53
Indigent Legal Services	25	35	58	82	82
General Service Executive Direction	22	22	22	22	22
Mass Transportation Operating Assistance	21	21	21	21	21
Correctional Industries	12	21	21	21	21
Public Transportation Systems	15	16	16	16	16
Health Income Fund	15	16	16	16	16
Centralized Technology Services	9	14	11	11	11
Spinal Cord Injury	9	9	9	9	9
Medical Marihuana Fund	5	7	5	7	7
All Other	120	214	185	185	186

¹ The State will continue to pay its share of Medicaid costs; however, after the reclassification of Mental Hygiene spending from certain Special Revenue Funds to the General Fund, the State share of Mental Hygiene Medicaid will be transferred within the General Fund, rather than to a Special Revenue Fund.

² Represents the temporary use of Extraordinary Monetary Settlement fund balances to pay for capital projects in the first instance. These advances will be repaid in the following year when the State reimburses the capital spending from bond proceeds.



State Financial Plan Projections Fiscal Years 2018 Through 2022

A portion of the capital and operating expenses of DOT and DMV are funded from DHBTF, which receives various dedicated tax and fee revenues, including statutory allocations of PBT, motor fuel tax, and Highway Use Tax (HUT). The Financial Plan includes transfers from the General Fund that effectively subsidize DHBTF expenses, as the cumulative expenses of the fund (DOT and DMV capital and operating expenses, and certain debt service on transportation bonds) exceed current and projected revenue deposits and bond proceeds. This transfer is significantly reduced in FY 2019 and beyond as certain DOT and DMV operating costs related to snow and ice removal; bus, truck and rail inspection; and DMV regulatory activities have been reclassified from the DHBTF to the General Fund.

General Fund transfers to other funds are expected to total \$6.2 billion in FY 2019, a \$3.6 billion decrease from FY 2018. The change is primarily driven by the consolidation of certain Mental Hygiene Special Revenue Funds into the General Fund, which eliminate the General Fund transfers for mental hygiene activity, offset by an increase in transfers appropriated from DIIF and the Capital Projects Fund in FY 2019, including temporary loans and planned repayment of funds related to debt management actions.

State Financial Plan Projections Fiscal Years 2018 Through 2022



Debt Service

The State pays debt service on all outstanding State-supported bonds. These include General Obligation bonds, for which the State is constitutionally obligated to pay debt service, as well as certain bonds issued by State public authorities, such as Empire State Development (ESD), DASNY, and the New York State Thruway Authority (NYSTA), the payment obligation on which is subject to appropriation. Depending on the credit structure, debt service is financed by transfers from the General Fund, dedicated taxes and fees, and other resources such as patient income revenues.

DEBT SERVICE SPENDING PROJECTIONS (millions of dollars)									
	FY 2018 Results	FY 2019 Enacted	Change	FY 2020 Projected	Change	FY 2021 Projected	Change	FY 2022 Projected	Change
General Fund	1,047	827	-21.0%	948	14.6%	1,017	7.3%	876	-13.9%
Other State Support	4,826	4,555	-5.6%	6,018	32.1%	6,169	2.5%	6,214	0.7%
State Operating/All Funds Total	5,873	5,382	-8.4%	6,966	29.4%	7,186	3.2%	7,090	-1.3%

Total State Operating/All Funds debt service is projected at \$5.4 billion in FY 2019, of which \$827 million is paid from the General Fund via transfers, and \$4.6 billion is from other State funds supported by dedicated tax receipts. The General Fund transfer finances debt service payments on General Obligation and service contract bonds. Debt service for the State's revenue bonds is paid directly from other dedicated State funds, subject to appropriation, including PIT and Sales Tax bonds, DHBTB bonds, and mental health facilities bonds.

The Financial Plan estimates for debt service spending have been revised to reflect a number of factors, including bond sale results to date, refunding savings, and the adjustment of debt issuances to align with projected bond-financed capital spending. Debt service spending estimates also reflect the prepayment in FY 2018 of \$594 million in debt service costs due in FY 2019.

FY 2018
Operating Results
(Preliminary and Unaudited)



FY 2018 Operating Results (Preliminary and Unaudited)

This section provides a summary of operating results (preliminary and unaudited) for FY 2018 compared to: (1) FY 2017 results; (2) the projections set forth in the FY 2018 Enacted Budget Financial Plan (“initial estimates”); and (3) the FY 2019 Executive Budget, as amended to the Financial Plan (“revised estimates”).

Summary of General Fund Operating Results

Monetary settlements continue to affect the State’s cash position. The table below summarizes the variance from the initial and revised estimates, excluding monetary settlements. The discussion of balances, receipts, and disbursements that follows also excludes the receipts and use of monetary settlements, unless otherwise noted.

FY 2018 GENERAL FUND OPERATING RESULTS COMPARED TO FY 2019 EXECUTIVE BUDGET (millions of dollars)					
	Initial Estimates	Revised Estimates	Results	Variance Above/(Below)	
				Initial	Revised
Opening Fund Balance (Excluding Extraordinary Monetary Settlements)	2,414	2,414	2,414	0	0
Total Receipts	69,801	70,630	70,642	841	12
Taxes	66,466	67,288	67,370	904	82
Non-Tax Receipts/Transfers ¹	3,335	3,342	3,272	(63)	(70)
Total Disbursements	70,317	69,318	69,323	(994)	5
Local Assistance	47,069	46,501	46,072	(997)	(429)
Agency Operations	13,966	13,842	13,800	(166)	(42)
Transfers to Other Funds ²	9,282	8,975	9,451	169	476
Net Change in Operations	(516)	1,312	1,319	1,835	7
General Fund Use of Extraordinary Monetary Settlements³	616	692	692	76	0
Closing Fund Balance (Excluding Extraordinary Monetary Settlements)	2,514	4,418	4,425	1,911	7
Extraordinary Monetary Settlements					
Opening Balance	5,335	5,335	5,335	0	0
Settlements Received/Expected ⁴	33	838	805	772	(33)
Transfers/Uses	(1,498)	(1,424)	(1,120)	378	304
Closing Balance	3,870	4,749	5,020	1,150	271
Closing Fund Balance (Including Extraordinary Monetary Settlements)	6,384	9,167	9,445	3,061	278

¹ Non-tax receipts exclude the monetary settlements received by the General Fund less amounts retained by the Department of Law in other funds to support operational costs.

² Transfers/Uses exclude the use of monetary settlements to support transfers from the General Fund to other funds (e.g., Dedicated Investment Infrastructure Fund).

³ Includes \$461 million for operations, \$76 million for an unbudgeted litigation payment, and \$155 million set aside to fund potential retroactive salary increases.

⁴ Includes gross value of all settlements received/expected by the State, including amounts retained by the Department of Law in other funds to support operational costs.

Summary of General Fund Operations

Receipts and resources exceeded disbursements by \$2.0 billion, which was mainly due to the acceleration of an estimated \$1.9 billion in PIT payments as taxpayers responded to Federal tax law changes that, starting in tax year 2018, limit the allowable aggregate itemized deduction of SALT, to a maximum of \$10,000 on Federal income tax returns²³. The State paid \$500 million more in PIT refunds than were budgeted in the Financial Plan. This reduces the refunds that need to be paid in FY 2019 by an equal amount. In addition, the State paid \$594 million in debt service during FY 2018 that was due in FY 2019.

The State ended FY 2018 with a General Fund closing balance of \$4.4 billion. The balance consists a \$1.9 billion increase to the undesignated fund balance which will be carried into FY 2019 to offset PIT estimated payment reductions due to FY 2018 accelerations. The State maintains \$1.8 billion in the State's Rainy Day Funds; \$46 million in the Community Projects Fund; and \$21 million in the Contingency Reserve Fund.

In addition, the closing balance included \$500 million set aside for debt management and \$155 million for costs of retroactive labor agreements.

Results Compared to Revised Estimates

General Fund receipts, including transfers from other funds, totaled \$70.6 billion, or \$12 million higher than the revised FY 2018 estimate. Higher than expected tax and miscellaneous receipts were used in part to accelerate the payment of tax refunds by \$500 million and support the payment of \$254 million in debt service payments due in FY 2019. In addition, several planned transfers from other funds were not needed for operating purposes in FY 2018, and are now expected in FY 2019 and beyond.

General Fund disbursements, including transfers to other funds, totaled \$69.3 billion in FY 2018, an increase of \$5 million from the Revised FY 2018 Estimate. The lower spending in both local assistance and agency operations, due in large part to the cautious calculation of General Fund expenses, was more than offset by higher transfers, mainly to capital projects funds, reflecting both the pace of capital projects spending and the use of bond proceeds to reimburse first-instance capital spending other funds. In local assistance, disbursements for education, children and family services, and a range of other programs fell below planned levels. In agency operations, including fringe benefits and fixed costs, lower spending occurred across many agencies, with the most significant variance in DOH.

²³ DOB estimates that approximately \$1.9 billion in tax receipts were accelerated from tax year 2018 to 2017 due to this behavioral response. The acceleration is expected to result in an identical reduction in PIT receipts in FY 2019. Accordingly, the increase in cash in FY 2018 that DOB attributes to the one-time acceleration of PIT receipts is expected to be used in FY 2019 to offset the anticipated corresponding loss of PIT receipts



FY 2018 Operating Results (Preliminary and Unaudited)

Results Compared to Initial Estimates

The General Fund closing balance was \$3.1 billion above the initial estimate due to a combination of higher receipts (\$1.6 billion) and lower spending (\$1.5 billion).

General Fund tax collections were \$904 million above the initial estimate, mainly driven by higher PIT receipts (\$1.6 billion) and other taxes (\$173 million), partially offset by lower business taxes (\$802 million) and consumption and use taxes (\$85 million).

General Fund disbursements, including transfers to other funds, were \$994 million below the initial estimate. The causes of lower local assistance and agency operations spending are consistent with the State Operating Funds variances summarized below. In addition, transfers to support capital projects spending were lower than initially estimated due to timing and availability of bond reimbursements.

Extraordinary Monetary Settlements

The State ended FY 2018 with a balance of \$5 billion in monetary settlement funds held in the General Fund. The balance was \$1.1 billion above the initial estimate and is comprised of settlement payments received throughout the year (\$805 million) and slower than expected transfers of monetary settlements from the General Fund to other funds. DOB continues to transfer settlement funds on an as-needed basis each year as spending occurs from appropriations funded with the Extraordinary Monetary Settlements.

The table below summarizes the variance from the initial and revised estimates, including monetary settlements.

GENERAL FUND OPERATING RESULTS COMPARED TO PLAN APRIL THROUGH MARCH (millions of dollars)							
	Enacted Plan	Revised Plan	Results	Above/(Below) Variance			
				Enacted Plan		Revised Plan	
				\$	%	\$	%
OPENING BALANCE	7,749	7,749	7,749	0	0.0%	0	0.0%
Total Receipts	69,834	71,441	71,420	1,586	2.3%	(21)	0.0%
Taxes:	66,466	67,288	67,369	903	1.4%	81	0.1%
Personal Income Tax ¹	45,329	46,633	46,946	1,617	3.6%	313	0.7%
Consumption / Use Taxes ¹	13,324	13,249	13,239	(85)	-0.6%	(10)	-0.1%
Business Taxes	5,718	5,108	4,916	(802)	-14.0%	(192)	-3.8%
Other Taxes ¹	2,095	2,298	2,268	173	8.3%	(30)	-1.3%
Receipts and Grants	2,152	2,946	3,129	977	45.4%	183	6.2%
Transfers From Other Funds	1,216	1,207	922	(294)	-24.2%	(285)	-23.6%
Total Spending	71,199	70,023	69,724	(1,475)	-2.1%	(299)	-0.4%
Local Assistance	47,069	46,501	46,072	(997)	-2.1%	(429)	-0.9%
Agency Operations (including GSCs)	13,966	13,842	13,800	(166)	-1.2%	(42)	-0.3%
Transfers to Other Funds	10,164	9,680	9,852	(312)	-3.1%	172	1.8%
Debt Service Transfer	921	1,037	1,047	126	13.7%	10	1.0%
Capital Projects Transfer	2,627	2,004	2,191	(436)	-16.6%	187	9.3%
State Share of Mental Hygiene Medicaid Transfer	1,301	1,314	1,333	32	2.5%	19	1.4%
SUNY Operations Transfer	1,015	1,022	1,015	-	0.0%	(7)	-0.7%
All Other Transfers	4,300	4,303	4,266	(34)	-0.8%	(37)	-0.9%
Change in Operations	(1,365)	1,418	1,696	3,061	224.2%	278	19.6%
CLOSING BALANCE	6,384	9,167	9,445	3,061	47.9%	278	3.0%

¹ Includes transfers from other funds after debt service.

FY 2018 Operating Results (Preliminary and Unaudited)



All Governmental Funds -- Results Compared to Prior Year

All Funds receipts were \$9.1 billion higher than the prior year, comprised of \$4.9 billion in tax receipts, \$3.5 billion in Federal aid, and \$670 million in miscellaneous receipts. Disbursements increased by \$6.7 billion, including \$4.3 billion in Federal operating spending and \$2 billion in State Operating Funds. The All Funds closing balance was \$1.6 billion higher than the prior year. Focus of the discussion on spending is on a State Operating Funds basis.

ALL GOVERNMENTAL FUNDS - RESULTS COMPARED TO PRIOR YEAR				
APRIL THROUGH MARCH				
(millions of dollars)				
	Results		Increase/(Decrease)	
	FY 2017	FY 2018	\$	%
OPENING BALANCE	11,810	11,105	(705)	-6.0%
ALL FUNDS RECEIPTS:	156,372	165,470	9,098	5.8%
Total Taxes	74,373	79,266	4,893	6.6%
Personal Income Tax	47,566	51,501	3,935	8.3%
All Other Taxes	26,807	27,765	958	3.6%
Miscellaneous Receipts	26,592	27,262	670	2.5%
Federal Grants	55,407	58,942	3,535	6.4%
ALL FUNDS DISBURSEMENTS:	157,015	163,744	6,729	4.3%
STATE OPERATING FUNDS	96,199	98,151	1,952	2.0%
Local Assistance	64,369	65,604	1,235	1.9%
School Aid	24,351	25,457	1,106	4.5%
DOH Medicaid ¹	18,243	19,144	901	4.9%
All Other	21,775	21,003	(772)	-3.5%
State Operations	26,314	26,674	360	1.4%
Agency Operations	18,680	18,821	141	0.8%
Executive Agencies	10,019	10,004	(15)	-0.1%
University Systems	6,097	6,183	86	1.4%
Elected Officials	2,564	2,634	70	2.7%
Fringe Benefits/Fixed Costs	7,634	7,853	219	2.9%
Pension Contribution	2,446	2,442	(4)	-0.2%
Health Insurance	3,707	3,963	256	6.9%
Other Fringe Benefits/Fixed Costs	1,481	1,448	(33)	-2.2%
Debt Service	5,514	5,873	359	6.5%
Capital Projects	2	0	(2)	-100.0%
CAPITAL PROJECTS (State and Federal Funds)	10,157	10,640	483	4.8%
FEDERAL OPERATING AID	50,659	54,953	4,294	8.5%
NET OTHER FINANCING SOURCES	(62)	(82)	(20)	-32.3%
CHANGE IN OPERATIONS	(705)	1,644	2,349	333.2%
CLOSING BALANCE	11,105	12,749	1,644	14.8%

¹ Includes the Essential Plan

Receipts

All Funds tax receipts were \$4.9 billion (6.6 percent) higher than FY 2017. PIT, the largest contributor to the growth, was \$3.9 billion (8.3 percent) higher, due to a \$3.4 billion increase in tax year 2017 estimated payments and a \$2.7 billion increase in withholding. These increases were partially offset by a \$1.1 billion increase in tax year 2016 refunds, a \$608 million decline in extension payments, and accelerated tax year 2017 refund payments of \$500 million. The increase in tax year 2016 refunds was mostly timing-related. The amount of refunds paid in January through March was \$2.55 billion in FY 2016, \$1.75 billion in FY 2017, and \$2.25 billion in FY 2018.

All other taxes were \$958 million (3.6 percent) higher, mainly due to higher sales tax (\$504 million), higher estate tax collections resulting from two large payments exceeding \$100 million (\$217 million), and business taxes driven by higher audit receipts (\$185 million).

Miscellaneous receipts were \$670 million (2.5 percent) higher in the current year, mainly due to higher bond proceed reimbursements (\$1.0 billion), offset by a decline in extraordinary monetary settlements (\$477 million).

Federal grants were \$3.5 billion (6.4 percent) higher, largely driven by Federal operating aid disbursements, as well as the timing of reimbursements for program costs initially financed by the State.

Spending

State Operating Funds spending totaled \$98.2 billion, an increase of almost \$2 billion (2 percent) compared to the prior year.

Growth in School Aid (\$1.1 billion) and Medicaid (\$902 million) was partially offset by lower spending in other local assistance programs (\$775 million). Higher School Aid spending was almost entirely for General Aid (\$1.2 billion), which was partially offset by decreased spending on Teacher Retirement Systems (\$144 million). Medicaid spending growth is due to increased claims for monthly managed care and long-term care programs (\$1.4 billion), partly offset by increased Federal reimbursement for the EP spending (\$269 million).

The annual decline in all other local assistance spending is mainly driven by the conversion of the New York City STAR benefit to a tax credit, and lower than expected payments for child care, and increased Mental Hygiene Stabilization Fund (MHSF) offsets resulting from DOH Medicaid savings.

Compared to the prior year, Executive agency operational spending decreased by \$15 million (0.1 percent), while spending for University Systems and elected officials increased. Higher spending for SUNY mainly occurred in hospital operations. The Judiciary, spending included retroactive salary payments made pursuant to collective bargaining contracts settled in FY 2018.

Higher fringe benefits spending included expected increases for the State's share of employee health insurance.

FY 2018 Operating Results (Preliminary and Unaudited)



Debt service spending reflects the impact of the FY 2018 prepayment of expenses due in FY 2019.

Capital Projects Fund spending increased by \$484 million, primarily due to expenses for the Moynihan Station construction project (\$275 million), continued implementation of the Housing Capital Plan (\$148 million), and mental hygiene projects (\$140 million).

Federal operating spending grew by \$4.3 billion, with higher spending for Medicaid (\$3.2 billion), Public Health/CHP (\$692 million), EP (\$618 million), and Children and Family Services (\$297 million) driven by increased child welfare spending. Higher Medicaid spending was driven by enrollment growth (\$2.6 billion) and increased spending in DSRIP (\$1.5 billion), offset by Medicaid recovery from audits. Increased spending in Public Health was primarily driven by the CHP program as the result of increased enrollment. Growth in the EP was the result of increased enrollment in the program. The higher spending was partially offset by reduced spending for School Aid attributable to Title I Grants for districts with high percentages of students from low-income families (\$504 million).



FY 2018 Operating Results (Preliminary and Unaudited)

All Governmental Funds Results -- Results Compared to Plan

The All Funds balance as of March 31, 2018 was \$12.7 billion, \$3.3 billion higher than the initial estimate and \$845 million higher than the revised estimate. Total receipts were higher compared to both the initial estimates and the revised estimates, \$4.4 billion and \$912 million, respectively. Spending was \$116 million higher than the initial estimate and \$693 million lower than the revised estimate. The explanation of variances that follows the table below is focused on the comparison to initial estimates. In general, the causes of variances from the revised estimates are consistent with explanations of variances to initial estimates, but to a lesser degree are due to changes made in the quarterly updates throughout the year.

ALL GOVERNMENTAL FUNDS RESULTS COMPARED TO PLAN							
APRIL 2017 THROUGH MARCH 2018							
(millions of dollars)							
	Enacted Plan	Revised Plan	Results	Above/(Below)			
				Enacted Plan		Revised Plan	
				\$	%	\$	%
OPENING BALANCE	11,105	11,105	11,105	0	0.0%	0	0.0%
ALL FUNDS RECEIPTS:	161,077	164,558	165,470	4,393	2.7%	912	0.6%
Total Taxes	77,926	78,952	79,266	1,340	1.7%	314	0.4%
Personal Income Tax	49,382	50,935	51,501	2,119	4.3%	566	1.1%
Consumption / Use Tax	16,861	16,754	16,711	(150)	-0.9%	(43)	-0.3%
Business Taxes	7,969	7,346	7,164	(805)	-10.1%	(182)	-2.5%
Other Taxes	3,714	3,917	3,890	176	4.7%	(27)	-0.7%
Miscellaneous Receipts	26,509	27,829	27,262	753	2.8%	(567)	-2.0%
Federal Grants	56,642	57,777	58,942	2,300	4.1%	1,165	2.0%
ALL FUNDS DISBURSEMENTS:	163,628	164,437	163,744	116	0.1%	(693)	-0.4%
STATE OPERATING FUNDS	98,134	98,126	98,151	17	0.0%	25	0.0%
Local Assistance	66,058	65,794	65,604	(454)	-0.7%	(190)	-0.3%
School Aid	25,762	25,738	25,457	(305)	-1.2%	(281)	-1.1%
DOH Medicaid ¹	18,997	19,004	19,144	147	0.8%	140	0.7%
Transportation	5,025	5,024	5,023	(2)	0.0%	(1)	0.0%
STAR	2,630	2,585	2,589	(41)	-1.6%	4	0.2%
Social Services	2,969	2,902	2,838	(131)	-4.4%	(64)	-2.2%
Higher Education	2,799	2,826	2,833	34	1.2%	7	0.2%
Mental Hygiene	2,484	2,372	2,350	(134)	-5.4%	(22)	-0.9%
All Other	5,392	5,343	5,370	(22)	-0.4%	27	0.5%
State Operations	26,755	26,711	26,674	(81)	-0.3%	(37)	-0.1%
Agency Operations	18,692	18,735	18,821	129	0.7%	86	0.5%
Personal Service:	12,910	13,026	13,170	260	2.0%	144	1.1%
Executive Agencies	7,137	7,148	7,313	176	2.5%	165	2.3%
University Systems	3,770	3,863	3,806	36	1.0%	(57)	-1.5%
Elected Officials	2,003	2,015	2,051	48	2.4%	36	1.8%
Non-Personal Service:	5,782	5,709	5,651	(131)	-2.3%	(58)	-1.0%
Executive Agencies	2,860	2,820	2,691	(169)	-5.9%	(129)	-4.6%
University Systems	2,309	2,275	2,377	68	2.9%	102	4.5%
Elected Officials	613	614	583	(30)	-4.9%	(31)	-5.0%
Fringe Benefits/Fixed Costs	8,063	7,976	7,853	(210)	-2.6%	(123)	-1.5%
Pension Contribution	2,541	2,461	2,442	(99)	-3.9%	(19)	-0.8%
Health Insurance	3,983	3,968	3,963	(20)	-0.5%	(5)	-0.1%
Other Fringe Benefits/Fixed Costs	1,539	1,547	1,448	(91)	-5.9%	(99)	-6.4%
Debt Service	5,321	5,621	5,873	552	10.4%	252	4.5%
CAPITAL PROJECTS (State and Federal Funds)	13,111	12,675	10,640	(2,471)	-18.8%	(2,035)	-16.1%
FEDERAL OPERATING AID	52,383	53,636	54,953	2,570	4.9%	1,317	2.5%
NET OTHER FINANCING SOURCES	879	678	(82)	(961)	-109.3%	(760)	-112.1%
CHANGE IN OPERATIONS	(1,672)	799	1,644	3,316	198.3%	845	105.8%
CLOSING BALANCE	9,433	11,904	12,749	3,316	35.2%	845	7.1%

¹ Includes the Essential Plan

Receipts

Total tax receipts were \$4.4 billion above the initial estimate, comprised mainly of higher PIT collections (\$2.1 billion) and higher Federal grants (\$2.3 billion). PIT receipts include the accelerated receipt of \$1.9 billion in estimated payments in response to the Federal tax law changes effective January 1, 2018 that imposed a \$10,000 cap on SALT deductions, as well as higher underlying withholding receipts (10.3 percent growth compared to 1.5 percent projected) attributable to the strong performance in bonus payments.

Business taxes were lower than expected (\$805 million), primarily in the corporation franchise tax, driven by lower than expected calendar year filings. Sales tax collections were lower than estimated due to weaker consumer spending growth (\$123 million). Other taxes exceeded initial estimates due to the receipt of two large estate tax payments (\$105 million).

Miscellaneous receipts were \$753 million higher than initial projections, due almost entirely to additional monetary settlement collections not anticipated at the initial estimate.

Spending

In total, State Operating Funds disbursements were slightly above the estimated total. Lower spending for local assistance, state operations, including fringe benefits/fixed cost was offset by the payment of \$594 million in debt service that was due in FY 2019.

Local assistance spending was \$454 million lower than planned. The most notable variances include:

- School Aid (\$305 million lower): attributable largely to slower than expected payments for Statewide Universal Full-Day Prekindergarten (\$232 million), Excess Cost Aid (\$151 million), Teacher Retirement Systems (\$111 million), and various other categories of aid (\$100 million); partially offset by overspending in General Aid (\$275 million). Initial Excess Cost aid projections reflected estimates provided by school districts based on historical System to Track and Account for Children (STAC) used for reimbursements which were \$151 million higher than actuals. Teacher Retirement projections were based on estimates provided to the State Education Department (SED) via TRS, which were also roughly \$111 million higher than actual spending.
- DOH Medicaid (\$147 million higher): largely attributable to increased Medicaid claims (\$939 million); offset by one-time OMIG settlements (\$102 million), certain Federal payments (\$152 million), the delayed payment from the Tobacco Settlement Fund (\$103 million), and the EP receiving a higher share of Federal government funding, resulting in a decreased State contribution (\$431 million).
- Social Services (\$131 million lower): primarily driven by lower than projected spending for public assistance benefit payments (\$50 million), child care (\$59 million) and youth programs (\$42 million).



FY 2018 Operating Results (Preliminary and Unaudited)

- Mental Hygiene (\$134 million lower): primarily reflects the impact of revised accounting interactions with the Medicaid Global Cap and the timing of Federal reimbursement for services rendered in prior years.

State Operations spending was \$129 million higher than estimated, mainly attributable to SUNY hospital operations. Higher personal service spending for Executive agencies was almost entirely offset by lower non-personal spending. The most notable higher personal service spending occurred in State Police, DOCCS, and OPWDD. Lower non-personal service spending was experienced mainly by the DOH for administration of the Medicaid program, by DOCCS and Information Technology Services. In addition, higher Judiciary spending included retroactive salary payments made pursuant to settled contracts (\$29 million), partially offset by lower non-personal service spending.

Fringe benefits and fixed costs lower spending (\$210 million) was comprised of lower than projected costs for pension contributions and health insurance, as well as increased reimbursements received from agencies operating outside of the General Fund.

Debt service spending was \$552 million higher than planned due to the payment of \$594 million of FY 2019 obligations.

Capital spending was \$2.5 billion below initial estimates due to the timing of expenditures, most notably for transportation and transit projects (\$1.3 billion); economic development grants (\$534 million); Smart Schools disbursements (\$496 million); and Health Care (\$341 million) including claim submission by Capital Restructuring Financing Program (CRFP) awardees and the Statewide Health Information Network for New York (SHIN-NY) program, which utilized higher Federal funding instead of planned State funds.

Higher Federal operating spending of \$2.6 billion is mainly due to programmatic growth in Medicaid and timing of approval of certain Medicaid rate packages and claims (\$1.8 billion); higher than expected claims and enrollment in the CHP program (\$628 million); Division of Homeland Security & Emergency Services (DHSES) storm relief (\$409 million); Child Welfare (\$332 million); and enrollment growth in the EP (\$128 million). This higher spending is partly offset by lower spending for School Aid (\$363 million) attributable to the timing of school district claims for various Federal education programs, and lower spending for Temporary Assistance for Needy families (TANF)-funded child care payments (\$369 million) and Flexible Fund for Family Services (\$131 million).

Fiscal Impact on Local Governments

Fiscal Impact on Local Governments

This section presents the estimated fiscal impact of changes in the FY 2019 Enacted State Budget on New York’s municipalities. To supplement this narrative, charts detailing the local government impact are provided in the “Financial Plan Tables” section of this report.

Notable Actions affecting local governments

- **Extending the Successful County-Wide Shared Services Panels.** The Enacted Budget continues the successful County-wide Shared Services Initiative by extending the Shared Services Panels until 2021 and providing optional participation for fire districts and fire protection districts, in addition to school districts, boards of cooperative educational services, and special improvement districts. Furthermore, within appropriated amounts, the Enacted Budget provides \$225 million to match savings from new shared services actions generated by county-wide shared services plans.
- **Addressing Obstacles to Local Government Shared Services.** The Enacted Budget addresses obstacles to shared services by providing technical assistance and guidance on the formation of health insurance consortiums and allowing non-adjacent towns to share one or more town justices.
- **Establishing Funds for Charitable Gifts.** The Enacted Budget authorizes school districts and local governments to establish reserve funds for charitable gifts and to provide a property tax credit of up to 95 percent of such gifts.
- **Continuing the Downtown Revitalization Initiative.** The Enacted Budget continues the successful Downtown Revitalization Initiative by including \$100 million for a third round of funding. With this, \$300 million will be made available to revitalize downtowns in every region across New York State.
- **Funding the MTA Subway Action Plan.** The Enacted Budget fully funds the MTA Subway Action Plan to address the City’s deteriorating infrastructure, with the City contributing its half of the funding for the plan, a \$254 million impact.
- **Authorizing NYC to Utilize Design-Build for Specific Projects.** The Enacted Budget authorizes New York City to utilize design-build procurement for construction of new jails to accelerate the closure of Rikers Island and reconstruction of the Brooklyn Queens Expressway. This authorization is expected to save the City hundreds of millions of dollars in capital project costs and shorten construction timelines by multiple years each.

Local Fiscal Year 2019 Fiscal Summary

The Enacted Budget will result in a positive local impact of \$794 million for local fiscal years ending in 2019 — the first full-annual local fiscal year affected by the FY 2019 Enacted Budget. The fiscal summary of the impact on local governments for local fiscal year 2019 includes:

- **School Districts.** The Enacted Budget will provide a statewide school aid increase of \$863.7 million for the SY 2019. School districts outside of New York City are expected to receive \$529.7 million of this total increase. School districts will also be eligible for additional education funds through \$50 million in new competitive grants.
- **New York City.** The Enacted Budget includes positive impacts of \$571.5 million on the City of New York in City Fiscal Year 2019. This is primarily due to a \$334 million school aid increase and \$250 million in additional funding to NYCHA. Additionally, the City will be eligible to receive a share of the \$50 million award for new school competitive grants. Inclusive of the City's \$254 million share of the operating portion of the Subway Action Plan and other actions, the net positive impact is \$317.5 million.
- **Counties.** In 2019, county governments will experience a \$18.9 million net positive impact from Executive Budget actions, primarily due to a \$6.4 million increase in highway assistance for extreme winter recovery and a \$4.5 million impact from an increase in transportation aid to certain downstate counties.
- **Other Municipalities.** Other cities, towns, and villages will experience an overall \$27.9 million net positive impact in local fiscal years ending in 2019, primarily due to a \$25.2 million positive impact from Extreme Winter Recovery highway funds.

State Fiscal Year 2019 Major Local Government Program Funding Totals

The FY 2019 Enacted Budget provides local governments with \$41.4 billion in State support through major local aid programs and savings initiatives. This includes nearly \$18.4 billion for school districts, \$16.7 billion for New York City, \$4.7 billion for counties, and over \$1.0 billion for other cities, towns, and villages.

Glossary of Acronyms



Glossary of Acronyms

AAA	Area Agencies on Aging
ACA	Affordable Care Act
AG	Attorney General
AGI	Adjusted Gross Income
AIG	American International Group, Inc.
AIM	Aid and Incentives for Municipalities
ALICO	American Life Insurance Company
AML	Anti-Money Laundering
AMTAP	Additional Mass Transportation Assistance Program
APCD	All-Payer Claims Databases
ARC	Annual Required Contribution
AXA	AXA Equitable Life Insurance Company
BANs	Bond Anticipation Notes
BCA	Budget Control Act
BEA	Bureau of Economic Analysis
BNPP	BNP Paribas, S.A., New York Branch
BOCES	Boards of Cooperative Educational Services
BofA	Bank of America
BofAML	Bank of America Corporation and Merrill Lynch, Pierce, Fenner and Smith Incorporated
BSA	Bank Security Act
BTMU	Bank of Tokyo-Mitsubishi UFJ, Ltd.
CHIP	Children's Health Insurance Program
CHP	Child Health Plus
CMS	Centers for Medicare & Medicaid Services
COBANC	Court Officers Benevolent Association of Nassau County
COLA	Cost-of-Living Adjustment
CPI	Consumer Price Index
CRFP	Capital Restructuring Financing Program
CSEA	Civil Service Employees Association
CSR	Cost Sharing Reduction
CSX	CSX Transportation, Inc.
CUNY	City University of New York
CY	Calendar Year
DA	District Attorney
DANY	New York County District Attorney
DASNY	Dormitory Authority of the State of New York
DC-37	District Council 37
DDPC	Developmental Disabilities Planning Council
DEC	Department of Environmental Conservation
DelAm	Delaware American Life Insurance Company
DFS	Department of Financial Services
DHBTF	Dedicated Highway and Bridge Trust Fund
DHSES	Division of Homeland Security & Emergency Services
DIIF	Dedicated Infrastructure Investment Fund
DMV	Department of Motor Vehicles
DOB	Division of the Budget
DOCCS	Department of Corrections and Community Supervision
DOH	Department of Health
DOS	Department of State
DOT	Department of Transportation
DS	Debt Service
DSH	Disproportionate Share Hospital
DSRIP	Delivery System Reform Incentive Payment
DTF	Department of Taxation and Finance
ECEP	Employer Compensation Expense Program

Glossary of Acronyms



ECRIP	Empire Clinical Research Investigation Program
EI	Early Intervention
EISO	Enterprise Information Security Office
EMMA	Electronic Municipal Market Access
EP	Essential Plan
EPF	Environmental Protection Fund
EPIC	Elderly Pharmaceutical Insurance Coverage
ERS	Employees' Retirement System
ESCO	Energy Service Company
ESD	Empire State Development
ESPRI	Empire State Poverty Reduction Initiative
FEMA	Federal Emergency Management Agency
FFP	Federal Financial Participation
FFY	Federal Fiscal Year
FHP	Family Health Plus
FMAP	Federal Medical Assistance Percentage
FPG	Fortis Property Group
FTE	Full-Time Equivalent
FY	Fiscal Year
GAAP	Generally Accepted Accounting Principles
GASB	Governmental Accounting Standards Board
GDP	Gross Domestic Product
GEA	Gap Elimination Adjustment
GLIP	Group Life Insurance Plan
GO	General Obligation
GPHW	General Public Health Work
GSCs	General State Charges
GSEU	Graduate Student Employees Union
HASA	HIV/AIDS Services Administration
HCRA	Health Care Reform Act
HESC	Higher Education Services Corporation
HUT	Highway Use Tax
IAAF	Interim Access Assurance Fund
IFSP	Individual Family Service Plan
IT	Information Technology
ITS	Information Technology Services
JCT	Joint Committee on Taxation
LGAC	Local Government Assistance Corporation
LICH	Long Island College Hospital
LLC	Limited Liability Company
MA	Medicaid
M/C	Management/Confidential
MCTD	Metropolitan Commuter Transportation District
MH	Mental Hygiene
MIF	Mortgage Insurance Fund
MLR	Medical Loss Ratios
MMIS	Medicaid Management Information System
MRT	Medicaid Redesign Team
MSA	Master Settlement Agreement
MTA	Metropolitan Transportation Authority
NMS	New Medical Site
NPS	Non-Personal Service
NYC	New York City
NYS	New York State
NYSCOPBA	New York State Correctional Officers and Police Benevolent Association



Glossary of Acronyms

NYSHIP	New York State Health Insurance Program
NYSLRS	New York State and Local Retirement System
NYSOH	New York State of Health
NYSPIA	New York State Police Investigators Association
NYSTA	New York State Thruway Authority
NYSTPBA	Police Benevolent Association of the New York State Troopers
NYU	New York University
OASAS	Office of Alcoholism and Substance Abuse Services
OCA	Office of Court Administration
OCFS	Office of Children and Family Services
OMH	Office of Mental Health
OPEB	Other Post-Employment Benefits
OPWDD	Office for People with Developmental Disabilities
ORP	Optional Retirement Program
OSC	Office of the State Comptroller
OTDA	Office of Temporary and Disability Assistance
PA	Public Assistance
PAYGO	Pay-As-You-Go
PBANYS	Police Benevolent Association of New York State
PBT	Petroleum Business Tax
PEF	Public Employees Federation
PFRS	Police and Fire Retirement System
PI	Personal Income
PIGI	Personal Income Growth Index
PILOT	Payments in Lieu of Taxes
PIT	Personal Income Tax
PMT	Payroll Mobility Tax
PS	Personal Service
PwC	PricewaterhouseCoopers LLP
QHP	Qualified Health Plan (NYSOH)
RBS	RBS Financial Products Inc. (f/k/a Greenwich Capital Financial Products, Inc.)
RBTF	Revenue Bond Tax Fund
RFP	Request for Proposals
RPCI	Roswell Park Cancer Institute
RSSL	Retirement and Social Security Law
SALT	State and Local Tax
SCB	Standard Chartered Bank
SCB NY	Standard Chartered Bank, New York Branch
SED	State Education Department
SFY	State Fiscal Year
SHIN-NY	Statewide Health Information Network for New York
SIF	State Insurance Fund
SOF	State Operating Funds
SOFA	State Office for the Aging
SRO	State Special Revenue
SSI	Supplemental Security Income
STAC	System to Track and Account for Children
STAR	School Tax Relief
STARC	Sales Tax Asset Receivable Corporation
STEM	Science, Technology, Engineering and Mathematics
STIP	Short-Term Investment Pool
SUNY	State University of New York
SY	School Year
TANF	Temporary Assistance for Needy Families
TAP	Tuition Assistance Program

Glossary of Acronyms



TCJA	Tax Cuts and Jobs Act
TRS	Teachers' Retirement System
TY	Tax Year
UBS	UBS Securities LLC and UBS Real Estate Securities Inc.
URI	Upstate Revitalization Initiative
U.S.	United States
UUP	United University Professions
VDC	Voluntary Defined Contribution
VLТ	Video Lottery Terminal
WCB	Workers' Compensation Board



Financial Plan Tables and Accompanying Notes

The notes to the Financial Plan tables herein should be read in conjunction with the tables that follow.

Note 1 — Basis of Accounting

Pursuant to law, all Financial Plan tables presented herein are prepared on the cash basis of accounting, unless otherwise noted. Under the cash basis of accounting, revenues are recognized when received, and spending is recorded when cash is disbursed.

Note 2 — Fund Types and Perspectives

The State records its transactions in the following fund types:

Governmental Funds

General Fund - This is the major operating fund of the State. It receives all State income not earmarked for a particular program or activity and not specified by law to be deposited in another fund. State income for Financial Plan purposes consists of moneys (taxes, fees, and miscellaneous receipts including certain repayments of State advances) deposited to the credit of the General Fund or transferred from other funds during the fiscal year.

Special Revenue - These funds account for State receipts from specific revenue sources and are legally restricted to disbursement for specified purposes. This governmental fund type is divided into two classifications: (1) State Special Revenue Funds; and (2) Federal Special Revenue Funds. An example of a State Special Revenue Fund is the Conservation Fund which finances a number of State environmental programs. An example of a Federal Special Revenue Fund is the Health and Human Services Fund which finances various social services programs, including Medicaid and public assistance. Although any earmarked revenue fund is treated as a Special Revenue Fund for cash-basis budgeting and reporting purposes, some are combined with the General Fund for purposes of reporting on the basis of GAAP.

Debt Service - All tax-financed State debt service on long-term debt and payments on certain lease-purchase or other contractual obligations are paid from Debt Service funds. These account for the accumulation of money for, and the payment of principal and interest on, general long-term debt. Lease-purchase payments for Health and Mental Hygiene facilities under contractual agreements with public authorities are also paid from funds classified as Debt Service funds. Debt service on highway bonds supported by dedicated highway revenues is also reflected in this fund type. Sources of revenue for this fund type include transfers from the General Fund, dedicated taxes, and other revenues.

Capital Projects - These funds finance a variety of capital construction costs including: (i) planning, land acquisition, design, construction, construction management and supervision, and equipment costs; (ii) highway, parkway and rail preservation projects; (iii) outdoor recreation and environmental conservation projects; (iv) buildings and other capital facilities required by various State departments and agencies; (v) payments to local governments to help finance their capital

programs, including highway, parkway, bridge, mass transportation, aviation, economic development, port development, community college, community and State mental health, outdoor recreation, State-assisted housing, and environmental quality; and (vi) advances for capital construction costs reimbursable by public authorities, instrumentalities of the State, the Federal government or local governments. Sources of revenue for this fund type include transfers from other State funds, including the General Fund and Special Revenue Funds, dedicated taxes and other revenues, reimbursement of advances, bond proceeds, and Federal capital grants.

State Operating Funds

The State Funds operating budget is a broader measure of spending for operations (as distinct from capital purposes) that is funded with State resources. It is comprised of the General Fund and other State-supported activities financed by dedicated revenues in State Special Revenue funds, as well as Debt Service funds accounting for the payment of debt service on all tax-financed State long-term debt.

Proprietary Funds

Internal Service Funds - Account for the financing of goods or services supplied by one State agency to another State agency or other governmental entities on a cost-reimbursement basis.

Enterprise Funds - Account for operations that are similar to private business enterprises.

The Internal Service funds and Enterprise funds are treated as Proprietary funds for cash-basis budgeting and reporting purposes, and are combined with the General Fund for purposes of reporting on a GAAP basis.

Fiduciary Funds

Private-Purpose Trust Funds - Account for all trust arrangements under which principal and income benefit individuals, private organizations, or other governments.

Pension Trust Fund - Accounts for the cash-basis results of operations for the administrative portion of the State's Common Retirement Fund. It does not reflect investment activity, balances, or other assets available to this Fund. In addition, pension contributions and payments to retirees are excluded since these payments are not required to be appropriated.

Agency Funds - Account for funds held by the State in a purely custodial capacity. Cash is held temporarily until disbursements are made to individuals, private organizations, or other governments.

Note 3 — Disbursement Descriptions

The State's Financial Plan and reporting include only those payments made pursuant to an appropriation and paid from funds available in the State Treasury. All State spending is classified in one of the following categories:

Local Assistance Grants - Include payments to counties, cities, towns, villages, school districts and other local entities, as well as certain financial assistance to, or on behalf of, individuals and nonprofit organizations. Certain disbursements from capital projects funds, including payments to local government units and public authorities, are recorded as local assistance.

PS - Includes the payment of salaries and compensation for State employees.

NPS - Includes payments for operational costs such as miscellaneous contractual obligations, supplies and materials, travel, rentals and repairs, utilities, postage and shipping, printing, and telephone.

GSCs - Includes costs mandated by statute, collective bargaining agreements or court order. Charges in this category can be further subdivided into the following:

Fringe Benefits. Contributions to pension systems, the employer's share of Social Security contributions, employer contributions toward the cost of health insurance, workers' compensation and unemployment insurance, and contributions to union employee benefit funds which provide vision care and other services.

Fixed Costs. For State Payments in Lieu of Taxes (PILOT) programs, as well as payments for local assessments on State-owned land, judgments against the State pursuant to the Court of Claims Act, defenses by private counsel, or alternatively payments on behalf of State officers and employees in civil judicial proceedings.

Debt Service - Includes payments made for tax-financed State debt service on long-term debt; contractual-obligation and lease-purchase arrangements with several public authorities and municipalities; and lease-purchase payments for Health and Mental Hygiene facilities.

Capital Projects - Includes payments made for the acquisition or construction of the State's capital facilities. Included in this category are planning, land acquisition, design, construction, engineering services, and equipment costs; highway, parkway and rail preservation projects; and outdoor recreation and environmental conservation projects. Advances are made for capital construction costs reimbursable by public authorities, the Federal or local governments, or from the proceeds of State bond and note sales.

Bond Proceeds - Includes the proceeds of General Obligation Bonds and short-term notes issued in the form of commercial paper or Bond Anticipation Notes (BANs), and are stated net of notes redeemed from the proceeds of long-term bonds or reissued notes.

Operating Transfers - Constitutes legally authorized transfers from a fund receiving revenues, to a fund through which disbursements will ultimately be made.

Note 4 — Reservations of General Fund Balance

Funds of the General Fund may be legally segregated for specific future use or informally reserved for specified purposes. The following funds of the General Fund are established in law:

Tax Stabilization Reserve - Created to provide a reserve to finance a cash-basis operating deficit in the General Fund at the end of the fiscal year, and to make temporary loans to the General Fund during the year. Annual deposits may not exceed 0.2 percent of General Fund spending, and the balance may not exceed 2 percent of General Fund spending. These amounts may be borrowed by the General Fund temporarily and repaid within the same fiscal year. They may also be borrowed to cover an operating deficit at year end, but these loans must be repaid within six years in no fewer than three annual installments.

Rainy Day Reserve - Created pursuant to law to account for funds set aside for use during economic downturns or in response to a catastrophic event, as defined in the law. The economic downturn clause is triggered after five consecutive months of decline in the State's composite index of business cycle indicators. The reserve may have a maximum balance equal to 5 percent of projected General Fund spending during the fiscal year immediately following the then-current fiscal year.

Contingency Reserve - Created pursuant to law to provide a reserve to fund extraordinary needs arising from litigation against the State. These amounts may be used for payment of judgments against the State where the amount is in excess of \$25 million and such payments are not previously appropriated, or emergency payments relating to natural or physical disasters, or to make payments for the enhancement of the State's economy.

Community Projects - Created pursuant to law to finance discretionary, usually local, projects ("member items") sponsored by individual legislators and the Governor. In previous years, the Budget included lump sum appropriations for the Governor, Senate and the Assembly, to be designated for various grants, awards and contracts with local governments, not-for-profit organizations and community groups. The FY 2019 Enacted Budget includes no new appropriations.

The following funds of the General Fund are reserved for specified or undesignated purposes:

Debt Management - Reserved for i) payment of principal, interest, or related expenses; ii) retiring or defeasing existing State-supported debt obligations, including accrued interest; and iii) funding of capital projects, equipment, or similar expenses that would otherwise be financed with debt.

Undesignated Fund Balance - From time to time, DOB will informally designate unrestricted balances in the General Fund for specific purposes. These amounts are typically identified with the phrase "reserved for." For example, due to the nature of the timing of labor agreements, DOB will informally reserve balances for possible prior-year costs for unions without current contracts.

Extraordinary Monetary Settlements - Includes the balance of Extraordinary Monetary Settlements after planned uses.

Note 5 — Items Affecting Annual Comparability

Mental Hygiene Fund Reclassification

Beginning in FY 2019, spending from two State Special Revenue Fund accounts, the Mental Hygiene Program Fund and Patient Income Account, is reclassified to the General Fund to improve reporting transparency by eliminating large transfers between funds. The reclassification moves local assistance and operations spending, as well as the supporting revenue, into the General Fund, and eliminates transfers from the General Fund to the two Mental Hygiene State Special Revenue Fund accounts. In addition, roughly \$1.4 billion of fringe benefit spending associated with Mental Hygiene agencies will move from the respective agencies to the central GSCs budget.

DOT/DMV Operating Cost Reclassification

Beginning in FY 2019, certain DOT and DMV operating costs related to snow and ice removal, bus, truck and rail inspection, and DMV regulatory activities are reclassified from the DHBTF to the General Fund. In the General Fund, the increased operating spending is offset by an identical reduction in the transfer to the DHBTF. The reclassification is intended to align operating and capital functions with their revenue sources.

MTA Financial Assistance Fund

The Metropolitan Commuter Transportation Mobility Tax is imposed on certain employers and self-employed individuals engaging in business within the MCTD. Through FY 2018, the State collected these taxes and fees on behalf of, and disbursed the entire amount to, the MTA. The Enacted Budget includes legislation directing Mobility Tax collections be remitted directly to the MTA. This will eliminate the pass-through of this tax and ensure more timely receipt by the MTA. The State Financial Plan will no longer include new tax receipts or their associated local assistance payments beginning in FY 2019. In FY 2019 the PMT receipts and disbursements that have been excluded are estimated at approximately \$1.5 billion and \$1.4 billion, respectively.

Changes to the Medicaid Program

Since January 2006, the State has paid any annual Medicaid increases above a fixed level that otherwise would have been paid by Local Social Services Districts. The statutory indexing provisions were amended through legislation to implement a three-year phased-takeover of the Local Social Services Districts' share of all growth above the previous year's enacted levels beginning on April 1, 2013 for County Fiscal Year 2013, with the State assuming all growth in County Fiscal Year 2015.

STAR

STAR spending in FY 2018 and FY 2019 is affected by the conversion of STAR benefits to State PIT credits. The conversion of STAR benefits to PIT credits has no impact on the value of the STAR benefits received by taxpayers. It does, however, decrease the level of reported PIT receipts and reported disbursements for STAR on a State Operating Funds basis by an identical amount (estimated at \$778 million in FY 2018 and \$862 million in FY 2019.)

Superstorm Sandy

In October 2012, Superstorm Sandy caused widespread flooding, power failures, and wind damage to public and private property in New York City, Long Island, and other downstate areas. Public infrastructure including mass transit systems, public schools, and municipal buildings sustained serious damage. The Financial Plan reflects Federal aid which will flow to local governments, public authorities, and not-for-profits over the multi-year Plan period to continue the State's recovery from Superstorm Sandy.

Federal Health Care Transformation

The ACA, effective January 1, 2014, is intended to provide access to health insurance coverage to ensure appropriate access to care, and to restrain escalating health care costs. The Financial Plan reflects the impact of the ACA in a number of ways including, but not limited to, the benefit of lower costs associated with the State share of Medicaid as a result of enhanced Federal funding provided for Medicaid costs and program expansion. In addition, beginning in FY 2015, DOH assumed new costs associated with operating the NYSOH insurance exchange, which is the State's single point of access insurance marketplace in accordance with the ACA, and has begun implementing a new information system designed to improve health insurance claiming.

On April 14, 2014, Governor Andrew M. Cuomo announced that terms and conditions were finalized between the State and Federal governments, allowing the State to reinvest \$8 billion in Federal savings generated by MRT reforms. The waiver amendment will address critical health care issues statewide and allow for comprehensive reform through a DSRIP program. This program will promote community-level collaborations and focus on system reform, specifically a goal to achieve a 25 percent reduction in avoidable hospital use over five years, and clinical and population health improvements. The DSRIP program expires in FY 2021.

The EP is a health insurance program authorized through the ACA. The FY 2016 Enacted Budget authorized the State's option to participate in the EP. In April 2015, the State began phasing-in certain legally residing immigrants who were receiving State-only Medicaid coverage. Individuals who meet the eligibility standards of the EP are enrolled through the NYSOH insurance exchange, with the cost of insurance premiums subsidized by the State and Federal governments.

Note 6 — State Funding of SUNY Operating Support

Effective with the 2013 academic year that began in July 2012, all General Fund support for SUNY operations is transferred from the General Fund to the State University Income special revenue fund, from which all university operations are funded.

Note 7 — Off-Budget Transactions

These represent certain capital spending that is not reported in cash spending results, but is reported in the State's GAAP Financial Statements. This spending is related to programs which are financed directly from bond proceeds on deposit at various public authorities, rather than from a STIP or General Fund loan.

Note 8 — General Fund/HCRA Combined Gap

Current HCRA authorization ends April 1, 2020. HCRA is projected to remain balanced through FY 2022. Any unforeseen shortfall would need to be financed by the General Fund or HCRA programs.

Note 9 — Statewide Cash Flow Administration

OSC invests General Fund moneys, bond proceeds, and other funds not immediately required to make payments through STIP, which is comprised of joint custody funds (Governmental Funds, Internal Service Funds, Enterprise Funds and Private Purpose Trust Funds), as well as several sole custody funds including the Tobacco Settlement Fund.

OSC is authorized to make short-term loans from STIP to cover temporary cash shortfalls in certain funds and accounts, including the General Fund, resulting from the timing of receipts and disbursements. The Legislature authorizes the funds and accounts that may receive loans each year, based on legislation submitted annually. Loans may be granted only for amounts that the Director of the Budget certifies are “receivable on account” or can be repaid from the current operating receipts of the fund (i.e., loans cannot be granted in expectation of future revenue enhancements).

The General Fund is authorized to borrow resources temporarily from STIP for up to four months, or to the end of the fiscal year, whichever period is shorter. The State last used this authorization in April 2011 when the General Fund needed to temporarily borrow a minimal amount of funds from STIP for a period of five days. The amount of resources that can be borrowed by the General Fund is limited to the available balances in STIP, as determined by the State Comptroller. Available balances include money in the State’s governmental funds and a relatively small amount of other money belonging to the State. Several accounts in Debt Service Funds and Capital Projects Funds that are part of All Governmental Funds are excluded from the balances deemed available in STIP. These excluded funds consist of bond proceeds and money obligated for debt service payments.

Note 10 — Transfer Eliminations

The Special Revenue Funds and Capital Projects Funds include transfers of resources between Federal and State accounts within each fund. In some instances, Federal aid that is initially deposited into a Federal account is transferred to State accounts where the aid is used to support the programs it is intended to fund. To avoid recording spending twice, initially as the transfer of resources to another account and subsequently when payments are made, these transfers are eliminated in these funds. The transfer eliminations are consistent with the State Comptroller’s accounting practices shown in Exhibit A of the Comptroller’s Annual Report to the Legislature.

Note 11 — Temporary Loans Summary

The total outstanding loan balance as of March 31, 2018 was \$3.1 billion, comprised of activities that are financed initially by advances for bond-reimbursable capital spending pending receipt of bond proceeds; the State pending Federal receipts; State Special Revenue Funds; and Proprietary Funds. The loan balance as of March 31, 2017 was \$2.8 billion.

The total loan balance typically increases throughout the State fiscal year, reaching its peak between the second and third quarters. The spike mainly reflects the payment of lottery aid for education, which is financed in large part by a loan that is repaid over the course of the year as lottery revenues are received.

TEMPORARY LOANS OUTSTANDING			
(millions of dollars)			
	March 31		Annual Change
	2017	2018	
Total Loans Outstanding	2,759	3,091	332
State Special Revenue Funds	298	313	15
Federal Funds	980	1,190	210
Capital Funds	1,246	1,286	40
Proprietary Funds	235	302	67

Note 12 — Adherence to 2 Percent Spending Benchmark

The line on the Financial Plan tables labeled “Adherence to 2% Spending Benchmark” is the savings estimated from limiting annual spending growth in future years to 2 percent (calculation based on FY 2018 unaudited results), assuming that the Governor continues to propose, and the Legislature continues to enact, a Budget in each fiscal year that restricts State Operating Funds spending growth to 2 percent. The “Enacted Budget Surplus/(Gap) Estimate at 2%” calculation assumes that all savings from holding spending growth to 2 percent are made available to the General Fund.

Note 13 - List of Extraordinary Monetary Settlements Received/Expected

From the beginning of FY 2015, the State received or is currently expecting the following Extraordinary Monetary Settlements:

- BNPP paid nearly \$3.6 billion pursuant to (i) a June 29, 2014 consent order between the DFS and BNPP and (ii) a June 30, 2014 plea agreement between BNPP and the New York County District Attorney (DANY) in connection with conduct by BNPP which violated U.S. national security and foreign policy, and raised serious safety and soundness concerns for regulators. BNPP’s conduct included obstructing governmental administration, failing to report crimes and misconduct, offering false instruments for filing, and falsifying business records.
- BNP Paribas S.A. and BNP Paribas S.A., New York Branch (collectively “BNPP”) paid a \$350 million civil monetary penalty pursuant to a May 24, 2017 consent order between BNPP and the New York State DFS. This consent order pertains to BNPP’s significant and material failure with respect to implementing effective controls over its Foreign Exchange trading business.
- Credit Suisse AG paid a \$715 million civil monetary penalty pursuant to a May 18, 2014 consent order between DFS and Credit Suisse AG. This consent order pertained to Credit Suisse AG’s decades-long operation of an illegal cross-border banking business whereby Credit Suisse AG knowingly and willfully (i) aided thousands of U.S. clients in opening and maintaining undeclared accounts, and (ii) concealed offshore assets and income from the Internal Revenue Service and New York authorities.
- Credit Suisse Securities (USA) LLC paid the State \$30 million pursuant to a settlement agreement between the Office of the Attorney General of the State of New York and Credit Suisse Securities, to settle investigations into false statements and omissions made in connection with the marketing of dark pools and other high-speed electronic equities trading services.
- Credit Suisse AG and Credit Suisse AG, New York Branch (together, “Credit Suisse”) paid a \$135 million civil monetary penalty pursuant to a November 13, 2017 consent order

between Credit Suisse and the New York State DFS. This consent order pertains to Credit Suisse's failure to comply with laws and regulations designed to combat improper, unsafe, and unsound conduct in the foreign exchange trading business.

- Commerzbank AG New York Branch and Commerzbank AG (collectively "Commerzbank") paid a \$610 million civil monetary penalty pursuant to a March 12, 2015 consent order between Commerzbank and DFS. This consent order pertained to Commerzbank's transactions on behalf of Iran, Sudan, and a Japanese corporation which engaged in accounting fraud in violation of New York State Banking Law and regulations. Additionally, Commerzbank AG paid \$81.7 million in fines and forfeiture payments pursuant to a Deferred Prosecution Agreement between Commerzbank, the New York County District Attorney's Office and the United States Department of Justice. This Deferred Prosecution Agreement pertained to Commerzbank's actions in moving more than \$250 million through the U.S. financial system primarily on behalf of Iranian and Sudanese customers in violation of U.S. sanctions, by concealing the illegal nature of these transactions and deceiving U.S. banks that processed the illegal wire payments.
- Deutsche Bank AG and Deutsche Bank AG New York Branch (collectively "Deutsche Bank") paid a \$600 million civil monetary penalty in accordance with an April 23, 2015 consent order between Deutsche Bank and DFS. This consent order pertained to Deutsche Bank's manipulation of benchmark interest rates including (i) the London Interbank Offered Rate, (ii) the Euro Interbank Offered Rate and (iii) the Euroyen Tokyo Interbank Offered Rate, in violation of New York State Banking Law and regulations.
- Deutsche Bank paid an additional \$200 million civil monetary penalty pursuant to a November 3, 2015 consent order between DFS and Deutsche Bank. This consent order pertained to Deutsche Bank's use of non-transparent methods and practices to conduct more than 27,200 U.S. dollar clearing transactions, valued at over \$10.86 billion, on behalf of Iranian, Libyan, Syrian, Burmese, and Sudanese financial institutions and other entities subject to U.S. economic sanctions, including entities on the Specially Designated Nationals List of the U.S. Treasury Department's Office of Foreign Assets Control.
- In January 2017, Deutsche Bank Securities Inc. ("Deutsche Bank") paid an \$18.5 million monetary penalty in accordance with a 2016 settlement agreement between Deutsche Bank and the Office of the Attorney General of the State of New York. This settlement agreement pertained to Deutsche Bank's material misstatements and omissions in various written materials disseminated to clients and potential clients with respect to Deutsche bank's routing technology.
- On February 7, 2017, the State received a \$425 million fine from Deutsche Bank AG and Deutsche Bank AG New York Branch (collectively "Deutsche Bank") in accordance with a consent order between Deutsche Bank and DFS. The consent order addresses compliance deficiencies at Deutsche Bank that allowed bank traders and offshore entities to improperly and covertly transfer more than \$10 billion out of Russia through security trading schemes known as "mirror trades."

- Barclays paid the State \$635 million, which included (i) a \$485 million civil monetary penalty in accordance with a May 2015 consent order between Barclays and DFS, and (ii) a \$150 million civil monetary penalty in accordance with a November 2015 consent order between Barclays and DFS. The May 2015 consent order pertained to Barclays' attempted manipulation of benchmark foreign exchange rates and other manipulative conduct in violation of New York State Banking Law and regulations. The November 2015 consent order pertained to Barclays' automated electronic foreign exchange trading misconduct.
- Barclays Capital Inc. paid the State \$35 million pursuant to a settlement agreement between the Office of the Attorney General of the State of New York and Barclays Capital Inc. to settle investigations into false statements and omissions made in connection with the marketing of their dark pools and other high-speed electronic equities trading services.
- Credit Agricole paid \$459 million, which included (i) a \$385 million civil monetary penalty pursuant to an October 2015 consent order between DFS and Credit Agricole, and (ii) an asset forfeiture of \$74 million pursuant to a deferred prosecution agreement with the New York County District Attorney's office. Both the consent order and deferred prosecution agreement pertained to Credit Agricole's processing billions of dollars of payments on behalf of certain sanctioned parties.
- The Bank of Tokyo-Mitsubishi UFJ, Ltd. (BTMU) paid a \$315 million civil monetary penalty pursuant to a November 18, 2014 consent order between DFS and BTMU. This consent order pertained to BTMU's wrongful actions in misleading DFS concerning BTMU's U.S. dollar-clearing services conducted on behalf of sanctioned Sudanese, Iranian, and Burmese parties. Previously, BTMU paid a \$250 million civil monetary penalty pursuant to a June 19, 2013 consent order between DFS and BTMU. Such consent order pertained to BTMU's unlawful clearance through the New York Branch and other New York-based financial institutions of approximately 28,000 U.S. dollar payments, valued at approximately \$100 billion, on behalf of certain sanctioned parties.
- Bank of America (BofA) paid \$300 million pursuant to an August 18, 2014 settlement agreement to remediate harms resulting from BofA's violations of State law regarding the packaging, origination, marketing, sale, structuring, arrangement, and issuance of residential mortgage-backed securities and collateralized debt obligations. The settlement agreement is the result of investigations by Federal and State entities into BofA Corporation, Bank of America, N.A., and BofA Mortgage Securities, as well as their current and former subsidiaries and affiliates.
- Standard Chartered Bank, New York Branch (SCB NY) paid \$300 million pursuant to an August 19, 2014 consent order between the DFS and SCB NY for failure to fully comply with a September 21, 2012 consent order between the parties. The August 19, 2014 consent order pertained to SCB NY's use of ineffective risk management systems for the identification and management of risks related to compliance with the Bank Security Act (BSA) and anti-money laundering (AML) laws, rules, and regulations. Such risks included U.S. dollar-clearing for clients of SCB United Arab Emirates and SCB Hong Kong, among others.

- Morgan Stanley paid \$150 million pursuant to a 2016 settlement agreement between the Office of the Attorney General and Morgan Stanley. This settlement agreement pertained to harms to the State resulting from Morgan Stanley's creation, packaging, marketing, underwriting, sale, structuring, arrangement, and issuance of residential mortgage-backed securities in 2006 and 2007.
- Bank Leumi paid a \$130 million civil monetary penalty pursuant to a December 22, 2014 consent order between DFS and Bank Leumi. This consent order pertained to Bank Leumi's (i) knowing and willful operation of a wrongful cross-border banking business which assisted U.S. clients in concealing offshore assets and evading U.S. tax obligations, and (ii) misleading DFS about Bank Leumi's improper activities.
- Ocwen Financial (Ocwen) paid a \$100 million civil monetary penalty and another \$50 million as restitution to current and former Ocwen borrowers pursuant to a December 19, 2014 consent order between DFS and Ocwen. This consent order pertained to, among other things, numerous and significant violations of a 2011 agreement between Ocwen and DFS which required Ocwen to adhere to certain servicing practices in the best interest of borrowers and investors. The \$100 million payment is to be used by the State for housing, foreclosure relief, and community redevelopment programs supporting New York's housing recovery. The \$50 million restitution payment will be used to make \$10,000 payments to current and former Ocwen borrowers in New York whose homes were foreclosed upon by Ocwen between January 1, 2009 and December 19, 2014. The balance of the \$50 million will then be distributed equally among borrowers who had foreclosure actions filed against them by Ocwen between January 1, 2009 and December 19, 2014, but in which Ocwen did not complete such foreclosure action.
- Citigroup Inc. ("Citigroup") paid \$92 million pursuant to a July 2014 settlement agreement to remediate harms to the State resulting from the packaging, marketing, sale, structuring, arrangement, and issuance of residential mortgage-backed securities and collateralized debt obligations. The settlement agreement is the result of investigations into Citigroup by Federal and State entities.
- Goldman Sachs Group, Inc. and Goldman Sachs and Co. (collectively "Goldman") paid a \$50 million civil monetary penalty pursuant to an October 2015 consent order between DFS and Goldman. This consent order pertained to Goldman's failure to implement and maintain adequate policies and procedures relating to post-employment restrictions of former government employees.
- Goldman Sachs Group, Inc. as well as its current and former subsidiaries (collectively "Goldman Sachs"), paid the State \$190 million pursuant to an April 2016 settlement agreement between the Office of the Attorney General and Goldman. This settlement agreement pertained to the marketing, structuring, arrangement, underwriting, issuance, and sale of residential mortgage-backed securities by Goldman in 2006 and 2007.



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- American Life Insurance Company (ALICO), Delaware American Life Insurance Company (DelAm), and MetLife, Inc. (MetLife) (collectively “MetLife Parties”) paid \$50 million as a civil fine pursuant to a March 31, 2014 consent order between DFS and MetLife Parties. This consent order pertained to a DFS investigation into whether ALICO and DelAm conducted an insurance business in New York without a New York license, and aided other insurers in conducting businesses in New York without a New York license.
- American International Group, Inc. (AIG) paid a \$35 million civil monetary penalty pursuant to an October 31, 2014 consent order between DFS and AIG. This consent order pertained to a DFS investigation which uncovered former subsidiaries ALICO and DelAm (i) solicited insurance business in New York without a license and (ii) made intentional misrepresentations and omissions to DFS concerning such activities.
- PricewaterhouseCoopers LLP (PwC) paid \$25 million pursuant to an August 14, 2014 settlement agreement between DFS and PwC to (i) resolve the DFS’s investigation of PwC’s actions in performing certain consulting services for the Tokyo Branch of The Bank of Tokyo-Mitsubishi UFJ, Ltd. in 2007 and 2008, and (ii) establish the basis for a constructive relationship between the parties that will better protect investors and the public.
- AXA Equitable Life Insurance Company (AXA) paid a \$20 million civil fine pursuant to a March 17, 2014 consent order between DFS and AXA. The consent order pertained to whether AXA properly informed DFS regarding the implementation of its “AXA Tactical Manager” strategy.
- Promontory Financial Group, LLC (Promontory) paid the State \$15 million pursuant to an August 18, 2015 agreement between DFS and Promontory. This agreement pertained to Promontory’s performance of regulatory compliance work for Standard Chartered Bank wherein Promontory failed to meet DFS’s requirements for consultants performing such regulatory compliance work.
- New Day Financial LLC Fulton, Maryland (New Day) paid a \$1 million civil monetary penalty pursuant to a November 18, 2015 consent order between the DFS and New Day. The consent order pertained to New Day’s violation of the Nationwide Multistate Licensing System and Registry Rules of Conduct and New York Mortgage Banking Laws.
- The NYS Attorney General announced that Volkswagen AG, Audi and Porsche Affiliates (collectively, “Volkswagen”) paid the State \$32 million in monetary recoveries in accordance with a series of proposed settlement agreements between the Office of the Attorney General (amongst others) and Volkswagen. This settlement agreement pertains to Volkswagen’s violations of emissions standards and state consumer protection laws. Additionally, the State will receive, and the Department of Environmental Conservation (DEC) will administer, approximately \$128 million for air quality improvement programs in New York.

- Volkswagen AG, Audi AG, Volkswagen Group of America, Inc. (d/b/a Volkswagen of America, Inc. or Audi of America, Inc.), Audi of America, L.L.C., and Volkswagen Group of America Chattanooga Operations LLC (collectively “Volkswagen”) will pay \$157.4 million pursuant to a March 29, 2017 Second Partial Settlement Agreement between Volkswagen and Dr. Ing. h.c. F. Porsche AG and Porsche Cars North America, Inc. (together, “Porsche”) (Volkswagen and Porsche together, “Defendants”) and the Attorneys General of the States of Connecticut, Delaware, Maine, New York, Oregon, Rhode Island, Vermont, and Washington, and the Commonwealths of Massachusetts and Pennsylvania. The Second Partial Settlement Agreement resolves any claims or potential claims against Defendants for their use of “Defeat Devices” in certain vehicles made pursuant to (a) Federal, State and/or local environmental laws, rules and/or regulations, including but not limited to, laws, rules and/or regulations regarding (i) mobile source emissions, (ii) certification, (iii) reporting of information, inspection and maintenance of vehicles, and/or (iv) anti-tampering provisions, and (b) with the exception of Vermont, claims that could be brought for injunctive relief and/or restitution or other monetary payments to consumers under applicable consumer protection, unfair trade, or deceptive acts and practices laws, rules and/or regulations, as well as common law and equitable claims to the extent not previously resolved under the First Partial Settlement Agreement. New York State has been allocated \$32.5 million of the \$157.4 million settlement to be paid by Volkswagen and may, consistent with State law and at its sole discretion, use all or a portion of its allocation for any environmentally beneficial purpose.
- Mega International Commercial Bank Co., LTD. and Mega International Commercial Bank Co. LTD. – New York Branch (collectively “Mega Bank”) paid the State a \$180 million monetary penalty in accordance with an August 19, 2016 consent order between DFS and Mega Bank. This consent order pertains to Mega Bank’s failure to maintain effective complaint and compliance programs, its failure to report the discovery of certain misconduct, and for other violations of New York State Banking Law.
- Agricultural Bank of China Limited and Agricultural Bank of China, New York Branch (collectively “Agricultural Bank of China”) paid the State a \$215 million civil monetary penalty pursuant to a consent order between the NYS DFS and Agricultural Bank of China. This consent order pertained to Agricultural Bank of China’s serious and persistent compliance failures which indicate a fundamental lack of recognition of the need for a rigorous compliance infrastructure, and inadequate attention to the state of compliance.
- PHH Mortgage and PHH Homes Loans, LLC (collectively “PHH Mortgage”) paid a \$28 million penalty in accordance with a 2016 consent order between PHH Mortgage and DFS. This consent order pertained to PHH Mortgage’s failure to (i) maintain books, accounts, records, and files in an appropriate manner, (ii) adequately and accurately disclose certain fees, and (iii) comply with other laws and regulations.

- Intesa Sanpaola S.p.A. and Intesa Sanpaola S.p.A. New York Branch (collectively “Intesa Bank”) paid the State a \$235 million civil monetary penalty pursuant to a consent order between the NYS DFS and Intesa Bank. This consent order pertains to compliance failures by Intesa Bank in 2005-06 and over the past several years, and Intesa Bank’s use of non-transparent practices from 2002-2006 to process payments on behalf of Iranian clients and other entities.
- Habib Bank Limited and Habib Bank Limited, New York Branch (together “Habib Bank”) paid a \$225 million civil monetary penalty pursuant to a September 7, 2017 consent order between Habib Bank and DFS. This consent order pertains to Habib Bank’s failure to comply with laws and regulations designed to combat money laundering, terrorist financing, and other illicit financial transactions.
- Cigna Health and Life Insurance Company (“Cigna”) paid a \$2 million civil penalty pursuant to a November 14, 2017 consent order between Cigna and DFS. This consent order pertains to Cigna’s violation of laws and regulations by selling stop-loss and fully-insured health insurance policies out of state to New York-based small groups with employees in the State.
- Western Union Financial Services, Inc. (“Western Union”) paid a \$60 million civil monetary penalty pursuant to a January 4, 2018 consent order between Western Union and DFS. This consent order pertains to Western Union’s willful failure to comply with laws and regulations designed to combat money laundering and other illicit financial transactions.
- UBS Securities LLC and UBS Real Estate Securities Inc. (collectively, "UBS") will pay \$41 million to New York State and another \$189 million in consumer relief credits in New York State pursuant to a March 20, 2018 settlement agreement between UBS and the New York State Office of the Attorney General. This settlement agreement pertains to UBS's creation, packaging, structuring, arrangement, underwriting, issuance, marketing and sale of residential mortgage-backed securities prior to January 1, 2009.
- RBS Financial Products Inc. f/k/a Greenwich Capital Financial Products, Inc. ("RBS") will pay \$100 million to New York State and another \$400 million in consumer relief credits in New York State pursuant to a March 6, 2018 settlement agreement between RBS and the New York State Office of the Attorney General. This settlement agreement pertains to RBS's creation, packaging, structuring, arrangement, underwriting, issuance, marketing and sale of residential mortgage-backed securities prior to January 1, 2009.
- Bank of America Corporation and Merrill Lynch, Pierce, Fenner and Smith Incorporated (collectively, "BofAML") will pay a \$42 million penalty to the State of New York pursuant to a March 22, 2018 settlement agreement between BofAML and the Office of the Attorney General of the State of New York. This settlement agreement pertains to BofAML's fraudulent conduct in connection with its electronic trading practices.

Note 14 – List of Settlement Uses (Prior to FY 2019 Enacted Budget)

The following purposes were identified in past budgets and are expected to continue to be funded with Extraordinary Monetary Settlement funds reappropriated in FY 2019:

- **Thruway Stabilization (\$2.0 billion).** The \$2.0 billion investment will support both the New NY Bridge project and other transportation infrastructure needs for the rest of the Thruway system.
- **Upstate Revitalization Program (\$1.7 billion).** Awarded \$1.5 billion in 2015 to the three Upstate regions selected as Upstate Revitalization Initiative (URI) best plan awardees. An additional \$200 million (\$170 million from Extraordinary Monetary Settlements) was provided in 2016 to support projects in the remaining four eligible Upstate regions.
- **Affordable and Homeless Housing (\$640 million).** Settlement funds will augment the multi-year investment in affordable housing development and services (\$590 million), and provide housing opportunities for individuals and families who are homeless or at risk of homelessness (\$50 million). Funds will be invested over five years to create new housing opportunities for individuals and families in need of supportive services, as well as to assist vulnerable populations in securing stable housing.
- **Health Care/Hospitals (\$600 million).** Provides \$555 million in grants to health care providers to facilitate mergers, consolidation, acquisition, or other significant corporate restructuring activities. The Plan also funds capital expenses of the RPCI (\$15.5 million); a community health care revolving loan (\$19.5 million); and IT and other infrastructure costs associated with the inclusion of behavioral health sciences in the Medicaid Managed Care benefit package (\$10 million).
- **Broadband Initiative (\$500 million).** Funds the New NY Broadband Fund Program to expand the availability and capacity of broadband across the State, or development of other telecommunication infrastructure. This program is intended to expand the creation of high-speed networks and promote broadband adoption.
- **Buffalo Billion Phase II (\$400 million).** The Financial Plan reflects an additional investment of \$400 million from Extraordinary Monetary Settlement funds to support the second phase of the Buffalo Billion initiative, which totals \$500 million.
- **Life Sciences (\$320 million).** The Financial Plan reflects the commitment of \$320 million from Extraordinary Monetary Settlement funds to support the State's multi-year \$620 million Life Sciences Initiative. The State will provide \$220 million to support state-of-the-art laboratory space, equipment, and technology. Furthermore, \$100 million will be provided in investment capital for early stage life science firms, which is expected to be matched by private sector partners.

- **MTA Capital Plan (\$315 million).** Includes \$250 million for the MTA Penn Station Access project, which will open a new Metro-North link directly into Penn Station. The project is expected to provide enhanced system resiliency, improvements in regional mobility, and construction of four new Metro-North stations in the Bronx. An additional \$65 million paid in FY 2018 was also provided for the MTA's 2015-2019 Capital Program.
- **Resiliency, Mitigation, Security, and Emergency Response (\$250 million).** Provides funding for preparedness and response efforts related to severe weather events, as well as efforts to prevent, prepare for, and respond to acts of terrorism, other public safety and health emergencies, and natural and manmade disasters. Additionally, funding has been used for counterterrorism efforts in New York City, including increased security and anti-terror exercises at nine MTA-operated bridges and tunnels.
- **Transportation Capital Plan (\$200 million).** Provides funding for transportation infrastructure projects across the State.
- **Municipal Restructuring and Consolidation Competition (\$170 million).** Includes \$20 million in funding for a municipal consolidation competition to encourage the reduction of costs through a competitive process administered by the Department of State (DOS). This funding is in addition to \$150 million for the first Downtown Revitalization Initiative, and for municipal restructuring to assist and encourage local governments and school districts to implement shared services, cooperation agreements, mergers, and other actions that are intended to reduce operating costs and property tax burdens.
- **Transformative Economic Development Projects (\$150 million).** Includes funds to promote economic development in Nassau and Suffolk counties.
- **Environmental Protection Fund (EPF) (\$120 million).** This \$120 million and other EPF resources provide dedicated funding to communities throughout New York State to improve the environment, respond to problems caused by climate change, and reduce greenhouse gas emissions.
- **Infrastructure Improvements (\$115 million).** Funding for infrastructure improvements to support transportation, upstate transit, rail, airport, port, and other projects.
- **Downtown Revitalization Round Two (\$100 million).** The Downtown Revitalization Initiative funds housing, economic development, transportation, and community projects to attract and retain residents, visitors, and businesses to downtowns.
- **Economic Development (\$85 million).** Funding for economic development with the intention of creating jobs, strengthening and diversifying economies, and generating economic opportunities across the State, including investments in infrastructure.

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- **Southern Tier/Hudson Valley Farm Initiative (\$50 million).** Funding to help landowners in the Southern Tier and Hudson Valley maintain and develop farming, agricultural, and related businesses.
- **Empire State Poverty Reduction Initiative (ESPRI) (\$25 million).** The ESPRI will bring together State and local government, nonprofits, and community groups to design and implement coordinated solutions for addressing poverty in 16 municipalities: Albany, Binghamton, the Bronx, Buffalo, Elmira, Hempstead, Jamestown, Newburgh, Niagara Falls, Oneonta, Oswego, Rochester, Syracuse, Troy, Utica, and Watertown.
- **Non-MTA Transit (\$20 million).** Funds will be directed by the DOT toward upstate and downstate public transportation systems other than the MTA to defray the costs of capital projects or acquisitions.

Extraordinary Monetary Settlements - Cash Flow Management

Since 2015, the receipt of \$10.9 billion in Extraordinary Monetary Settlements has increased the State's cash on hand and improved its liquidity position. A large portion, \$7.8 billion, of the Extraordinary Monetary Settlements has been programmed for capital projects that are expected to spend over multiple years. This has provided the flexibility to use these cash resources temporarily to support capital spending and avoid issuing debt immediately, saving on interest costs. Accordingly, the Enacted Budget continues to assume that Extraordinary Monetary Settlements will temporarily be used for two different purposes:

1. Use \$1.3 billion to fund bond-financed capital disbursements. As shown in the table below, settlement resources were used to pay for \$1.3 billion of capital spending in FY 2018 to support higher education, transportation, and economic development. This advance from settlement funds will be repaid when the State reimburses this capital spending with bond proceeds in FY 2018 (\$800 million) and FY 2019 (\$500 million).
2. Meet initial capital funding requirements for the Javits expansion project. As shown in the table below, spending for the Javits expansion will be supported by settlement fund balances in the first instance, began in FY 2018. Subsequently, these expenses will be reimbursed from bond proceeds from planned issuances in FYs 2020 and 2021.

ALLOCATION OF MONETARY SETTLEMENTS TO CAPITAL PROJECTS FUNDS (millions of dollars)									
	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023	Total
Initial Settlements Allocated to Capital Projects Funds	4,550	1,960	1,205	125	0	0	0	0	7,840
Transfers to Capital Projects Funds Excluding Javits Cntr. Expansion	(857)	(817)	(1,027)	(1,688)	(1,328)	(1,189)	(605)	(329)	(7,840)
Remaining Settlement Funds	3,693	4,836	3,714	2,787	1,609	600	329	0	
Transfer to DIIIF for Javits Center Expansion	0	0	(164)	(350)	(320)	(166)	0	0	(1,000)
Bond Proceed Receipts for Javits Center Expansion	0	0	0	0	500	500	0	0	1,000
Management of Debt Issuances	0	(1,300)	800	500	0	0	0	0	
Adjusted Remaining Settlement Funds	3,693	3,536	4,350	2,937	1,789	934	329	0	



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**CASH FINANCIAL PLAN
GENERAL FUND
(millions of dollars)**

	<u>FY 2019 Enacted</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>
Receipts:				
Taxes:				
Personal Income Tax	22,746	24,559	25,103	26,326
Consumption/Use Taxes	7,647	7,913	8,185	8,464
Business Taxes	5,626	6,170	6,470	6,577
Other Taxes	1,051	1,110	1,173	1,238
Miscellaneous Receipts	2,127	2,028	2,001	1,883
Federal Receipts	0	0	0	0
Transfers from Other Funds:				
PIT in Excess of Revenue Bond Debt Service	23,714	24,260	24,807	25,588
ECEP in Excess of Revenue Bond Debt Service	TBD	TBD	TBD	TBD
Sales Tax in Excess of LGAC	3,156	3,293	3,542	3,810
Sales Tax in Excess of Revenue Bond Debt Service	2,757	2,897	2,750	2,958
Real Estate Taxes in Excess of CW/CA Debt Service	998	1,056	1,097	1,140
All Other	2,838	2,254	1,816	1,762
Total Receipts	<u>72,660</u>	<u>75,540</u>	<u>76,944</u>	<u>79,746</u>
Disbursements:				
Local Assistance	51,063	53,918	57,009	59,472
State Operations:				
Personal Service	8,691	8,936	9,441	9,374
Non-Personal Service	3,054	3,129	3,173	3,205
General State Charges	7,553	8,098	8,618	9,140
Transfers to Other Funds:				
Debt Service	827	948	1,017	876
Capital Projects	3,257	3,567	3,292	2,897
State Share of Mental Hygiene Medicaid	0	0	0	0
SUNY Operations	1,034	1,025	1,021	1,021
Other Purposes	1,122	1,103	1,182	1,323
Total Disbursements	<u>76,601</u>	<u>80,724</u>	<u>84,753</u>	<u>87,308</u>
Use (Reservation) of Fund Balance:				
Community Projects	29	9	8	0
Undesignated Fund Balance	1,905	0	0	0
Extraordinary Monetary Settlements	2,007	1,148	855	605
Total Use (Reservation) of Fund Balance	<u>3,941</u>	<u>1,157</u>	<u>863</u>	<u>605</u>
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)	0	(4,027)	(6,946)	(6,957)
Adherence to 2% Spending Benchmark	0	3,247	5,548	6,470
Net General Fund Surplus (Deficit)	<u>0</u>	<u>(780)</u>	<u>(1,398)</u>	<u>(487)</u>

**CASH FINANCIAL PLAN
GENERAL FUND
(millions of dollars)**

	FY 2017 Results	FY 2018 Results	Annual \$ Change	Annual % Change
Opening Fund Balance	8,934	7,749	(1,185)	-13.3%
Receipts:				
Taxes:				
Personal Income Tax	32,535	36,037	3,502	10.8%
Consumption/Use Taxes	7,101	7,377	276	3.9%
Business Taxes	4,761	4,916	155	3.3%
Other Taxes	1,110	1,326	216	19.5%
Miscellaneous Receipts	3,813	3,129	(684)	-17.9%
Federal Receipts	0	0	0	0.0%
Transfers from Other Funds:				
PIT in Excess of Revenue Bond Debt Service	10,275	10,909	634	6.2%
ECEP in Excess of Revenue Bond Debt Service	0	0	0	0.0%
Sales Tax in Excess of LGAC	2,870	3,098	228	7.9%
Sales Tax in Excess of Revenue Bond Debt Service	2,672	2,763	91	3.4%
Real Estate Taxes in Excess of CW/CA Debt Service	940	944	4	0.4%
All Other	818	921	103	12.6%
Total Receipts	66,895	71,420	4,525	6.8%
Disbursements:				
Local Assistance	44,439	46,072	1,633	3.7%
State Operations:				
Personal Service	6,065	6,136	71	1.2%
Non-Personal Service	2,022	2,092	70	3.5%
General State Charges	5,462	5,572	110	2.0%
Transfers to Other Funds:				
Debt Service	924	1,047	123	13.3%
Capital Projects	2,569	2,191	(378)	-14.7%
State Share of Mental Hygiene Medicaid	1,239	1,333	94	7.6%
SUNY Operations	996	1,015	19	1.9%
Other Purposes	4,364	4,266	(98)	-2.2%
Total Disbursements	68,080	69,724	1,644	2.4%
Excess (Deficiency) of Receipts Over Disbursements	(1,185)	1,696	2,881	243.1%
Closing Fund Balance	7,749	9,445	1,696	21.9%
Statutory Reserves				
Tax Stabilization Reserve	1,258	1,258	0	
Rainy Day Reserve	540	540	0	
Contingency Reserve	21	21	0	
Community Projects	56	46	(10)	
Reserved For				
Potential Labor Agreements	25	155	130	
Undesignated Fund Balance	14	1,905	1,891	
Debt Management	500	500	0	
Extraordinary Monetary Settlements	5,335	5,020	(315)	

**CASH FINANCIAL PLAN
GENERAL FUND
(millions of dollars)**

	FY 2018 Results	FY 2019 Enacted	Annual \$ Change	Annual % Change
Opening Fund Balance	7,749	9,445	1,696	21.9%
Receipts:				
Taxes:				
Personal Income Tax	36,037	22,746	(13,291)	-36.9%
Consumption/Use Taxes	7,377	7,647	270	3.7%
Business Taxes	4,916	5,626	710	14.4%
Other Taxes	1,326	1,051	(275)	-20.7%
Miscellaneous Receipts	3,129	2,127	(1,002)	-32.0%
Federal Receipts	0	0	0	0.0%
Transfers from Other Funds:				
PIT in Excess of Revenue Bond Debt Service	10,909	23,714	12,805	117.4%
ECEP in Excess of Revenue Bond Debt Service	0	TBD	TBD	TBD
Sales Tax in Excess of LGAC	3,098	3,156	58	1.9%
Sales Tax in Excess of Revenue Bond Debt Service	2,763	2,757	(6)	-0.2%
Real Estate Taxes in Excess of CW/CA Debt Service	944	998	54	5.7%
All Other	921	2,838	1,917	208.1%
Total Receipts	71,420	72,660	1,240	1.7%
Disbursements:				
Local Assistance	46,072	51,063	4,991	10.8%
State Operations:				
Personal Service	6,136	8,691	2,555	41.6%
Non-Personal Service	2,092	3,054	962	46.0%
General State Charges	5,572	7,553	1,981	35.6%
Transfers to Other Funds:				
Debt Service	1,047	827	(220)	-21.0%
Capital Projects	2,191	3,257	1,066	48.7%
State Share of Mental Hygiene Medicaid	1,333	0	(1,333)	-100.0%
SUNY Operations	1,015	1,034	19	1.9%
Other Purposes	4,266	1,122	(3,144)	-73.7%
Total Disbursements	69,724	76,601	6,877	9.9%
Excess (Deficiency) of Receipts Over Disbursements	1,696	(3,941)	(5,637)	-332.4%
Closing Fund Balance	9,445	5,504	(3,941)	-41.7%
Statutory Reserves				
Tax Stabilization Reserve	1,258	1,258	0	
Rainy Day Reserve	540	540	0	
Contingency Reserve	21	21	0	
Community Projects	46	17	(29)	
Reserved For				
Potential Labor Agreements	155	155	0	
Undesignated Fund Balance	1,905	0	(1,905)	
Debt Management	500	500	0	
Extraordinary Monetary Settlements	5,020	3,013	(2,007)	

**CASH FINANCIAL PLAN
GENERAL FUND
(millions of dollars)**

	<u>FY 2018 Executive</u>	<u>Change</u>	<u>FY 2018 Results</u>
Receipts:			
Taxes:			
Personal Income Tax	35,616	421	36,037
Consumption/Use Taxes	7,386	(9)	7,377
Business Taxes	5,108	(192)	4,916
Other Taxes	1,332	(6)	1,326
Miscellaneous Receipts	2,946	183	3,129
Federal Receipts	0	0	0
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	11,017	(108)	10,909
Sales Tax in Excess of LGAC	3,102	(4)	3,098
Sales Tax in Excess of Revenue Bond Debt Service	2,761	2	2,763
Real Estate Taxes in Excess of CW/CA Debt Service	966	(22)	944
All Other	1,207	(286)	921
Total Receipts	<u>71,441</u>	<u>(21)</u>	<u>71,420</u>
Disbursements:			
Local Assistance	46,501	(429)	46,072
State Operations:			
Personal Service	5,975	161	6,136
Non-Personal Service	2,217	(125)	2,092
General State Charges	5,650	(78)	5,572
Transfers to Other Funds:			
Debt Service	1,037	10	1,047
Capital Projects	2,004	187	2,191
State Share of Mental Hygiene Medicaid	1,314	19	1,333
SUNY Operations	1,022	(7)	1,015
Other Purposes	4,303	(37)	4,266
Total Disbursements	<u>70,023</u>	<u>(299)</u>	<u>69,724</u>
Use (Reservation) of Fund Balance:			
Community Projects	17	(7)	10
Potential Labor Agreements	(130)	0	(130)
Undesignated Fund Balance	(1,891)	0	(1,891)
Extraordinary Monetary Settlements	586	(271)	315
Total Use (Reservation) of Fund Balance	<u>(1,418)</u>	<u>(278)</u>	<u>(1,696)</u>
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)	0	0	0
Adherence to 2% Spending Benchmark	0	0	0
Net General Fund Surplus (Deficit)	<u>0</u>	<u>0</u>	<u>0</u>

**CASH FINANCIAL PLAN
GENERAL FUND
(millions of dollars)**

	<u>FY 2018 Enacted</u>	<u>Change</u>	<u>FY 2018 Results</u>
Receipts:			
Taxes:			
Personal Income Tax	34,406	1,631	36,037
Consumption/Use Taxes	7,438	(61)	7,377
Business Taxes	5,718	(802)	4,916
Other Taxes	1,072	254	1,326
Miscellaneous Receipts	2,152	977	3,129
Federal Receipts	0	0	0
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	10,923	(14)	10,909
Sales Tax in Excess of LGAC	3,120	(22)	3,098
Sales Tax in Excess of Revenue Bond Debt Service	2,766	(3)	2,763
Real Estate Taxes in Excess of CW/CA Debt Service	1,023	(79)	944
All Other	1,216	(295)	921
Total Receipts	<u>69,834</u>	<u>1,586</u>	<u>71,420</u>
Disbursements:			
Local Assistance	47,069	(997)	46,072
State Operations:			
Personal Service	5,950	186	6,136
Non-Personal Service	2,227	(135)	2,092
General State Charges	5,789	(217)	5,572
Transfers to Other Funds:			
Debt Service	921	126	1,047
Capital Projects	2,627	(436)	2,191
State Share of Mental Hygiene Medicaid	1,301	32	1,333
SUNY Operations	1,015	0	1,015
Other Purposes	4,300	(34)	4,266
Total Disbursements	<u>71,199</u>	<u>(1,475)</u>	<u>69,724</u>
Use (Reservation) of Fund Balance:			
Community Projects	16	(6)	10
Potential Labor Agreements	(130)	0	(130)
Undesignated Fund Balance	14	(1,905)	(1,891)
Extraordinary Monetary Settlements	1,465	(1,150)	315
Total Use (Reservation) of Fund Balance	<u>1,365</u>	<u>(3,061)</u>	<u>(1,696)</u>
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)	0	0	0
Adherence to 2% Spending Benchmark	0	0	0
Net General Fund Surplus (Deficit)	<u>0</u>	<u>0</u>	<u>0</u>

**CASH FINANCIAL PLAN
GENERAL FUND
(millions of dollars)**

	<u>FY 2019 Executive</u>	<u>Change</u>	<u>FY 2019 Enacted</u>
Receipts:			
Taxes:			
Personal Income Tax	22,212	534	22,746
Consumption/Use Taxes	7,752	(105)	7,647
Business Taxes	5,869	(243)	5,626
Other Taxes	1,051	0	1,051
Miscellaneous Receipts	2,019	108	2,127
Federal Receipts	0	0	0
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	22,875	839	23,714
ECEP in Excess of Revenue Bond Debt Service	TBD	TBD	TBD
Sales Tax in Excess of LGAC	3,174	(18)	3,156
Sales Tax in Excess of Revenue Bond Debt Service	2,834	(77)	2,757
Real Estate Taxes in Excess of CW/CA Debt Service	1,034	(36)	998
All Other	2,381	457	2,838
Total Receipts	<u>71,201</u>	<u>1,459</u>	<u>72,660</u>
Disbursements:			
Local Assistance	49,938	1,125	51,063
State Operations:			
Personal Service	8,624	67	8,691
Non-Personal Service	2,904	150	3,054
General State Charges	7,597	(44)	7,553
Transfers to Other Funds:			
Debt Service	837	(10)	827
Capital Projects	3,246	11	3,257
State Share of Mental Hygiene Medicaid	0	0	0
SUNY Operations	1,021	13	1,034
Other Purposes	1,081	41	1,122
Total Disbursements	<u>75,248</u>	<u>1,353</u>	<u>76,601</u>
Use (Reservation) of Fund Balance:			
Community Projects	39	(10)	29
Undesignated Fund Balance	1,905	0	1,905
Extraordinary Monetary Settlements	2,103	(96)	2,007
Total Use (Reservation) of Fund Balance	<u>4,047</u>	<u>(106)</u>	<u>3,941</u>
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)			
	0	0	0
Adherence to 2% Spending Benchmark			
	0	0	0
Net General Fund Surplus (Deficit)	<u>0</u>	<u>0</u>	<u>0</u>

**CASH FINANCIAL PLAN
GENERAL FUND
(millions of dollars)**

	<u>FY 2020 Executive</u>	<u>Change</u>	<u>FY 2020 Enacted</u>
Receipts:			
Taxes:			
Personal Income Tax	24,281	278	24,559
Consumption/Use Taxes	8,087	(174)	7,913
Business Taxes	6,442	(272)	6,170
Other Taxes	1,110	0	1,110
Miscellaneous Receipts	2,028	0	2,028
Federal Receipts	0	0	0
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	23,859	401	24,260
ECEP in Excess of Revenue Bond Debt Service	TBD	TBD	TBD
Sales Tax in Excess of LGAC	3,337	(44)	3,293
Sales Tax in Excess of Revenue Bond Debt Service	3,050	(153)	2,897
Real Estate Taxes in Excess of CW/CA Debt Service	1,094	(38)	1,056
All Other	1,868	386	2,254
Total Receipts	<u>75,156</u>	<u>384</u>	<u>75,540</u>
Disbursements:			
Local Assistance	53,087	831	53,918
State Operations:			
Personal Service	8,905	31	8,936
Non-Personal Service	3,068	61	3,129
General State Charges	8,132	(34)	8,098
Transfers to Other Funds:			
Debt Service	969	(21)	948
Capital Projects	3,433	134	3,567
State Share of Mental Hygiene Medicaid	0	0	0
SUNY Operations	1,020	5	1,025
Other Purposes	1,103	0	1,103
Total Disbursements	<u>79,717</u>	<u>1,007</u>	<u>80,724</u>
Use (Reservation) of Fund Balance:			
Community Projects	0	9	9
Extraordinary Monetary Settlements	1,090	58	1,148
Total Use (Reservation) of Fund Balance	<u>1,090</u>	<u>67</u>	<u>1,157</u>
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)	<u>(3,471)</u>	<u>(556)</u>	<u>(4,027)</u>
Adherence to 2% Spending Benchmark	<u>2,659</u>	<u>588</u>	<u>3,247</u>
Net General Fund Surplus (Deficit)	<u>(812)</u>	<u>32</u>	<u>(780)</u>

**CASH FINANCIAL PLAN
GENERAL FUND
(millions of dollars)**

	<u>FY 2021 Executive</u>	<u>Change</u>	<u>FY 2021 Enacted</u>
Receipts:			
Taxes:			
Personal Income Tax	25,147	(44)	25,103
Consumption/Use Taxes	8,361	(176)	8,185
Business Taxes	6,607	(137)	6,470
Other Taxes	1,173	0	1,173
Miscellaneous Receipts	2,001	0	2,001
Federal Receipts	0	0	0
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	24,674	133	24,807
ECEP in Excess of Revenue Bond Debt Service	TBD	TBD	TBD
Sales Tax in Excess of LGAC	3,610	(68)	3,542
Sales Tax in Excess of Revenue Bond Debt Service	2,904	(154)	2,750
Real Estate Taxes in Excess of CW/CA Debt Service	1,132	(35)	1,097
All Other	1,761	55	1,816
Total Receipts	<u>77,370</u>	<u>(426)</u>	<u>76,944</u>
Disbursements:			
Local Assistance	55,780	1,229	57,009
State Operations:			
Personal Service	9,411	30	9,441
Non-Personal Service	3,107	66	3,173
General State Charges	8,641	(23)	8,618
Transfers to Other Funds:			
Debt Service	1,029	(12)	1,017
Capital Projects	3,213	79	3,292
State Share of Mental Hygiene Medicaid	0	0	0
SUNY Operations	1,021	0	1,021
Other Purposes	1,182	0	1,182
Total Disbursements	<u>83,384</u>	<u>1,369</u>	<u>84,753</u>
Use (Reservation) of Fund Balance:			
Community Projects	0	8	8
Extraordinary Monetary Settlements	825	30	855
Total Use (Reservation) of Fund Balance	<u>825</u>	<u>38</u>	<u>863</u>
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)	<u>(5,189)</u>	<u>(1,757)</u>	<u>(6,946)</u>
Adherence to 2% Spending Benchmark	<u>4,760</u>	<u>788</u>	<u>5,548</u>
Net General Fund Surplus (Deficit)	<u>(429)</u>	<u>(969)</u>	<u>(1,398)</u>

**CASH FINANCIAL PLAN
GENERAL FUND
(millions of dollars)**

	<u>FY 2022 Executive</u>	<u>Change</u>	<u>FY 2022 Enacted</u>
Receipts:			
Taxes:			
Personal Income Tax	26,402	(76)	26,326
Consumption/Use Taxes	8,644	(180)	8,464
Business Taxes	6,766	(189)	6,577
Other Taxes	1,238	0	1,238
Miscellaneous Receipts	1,882	1	1,883
Federal Receipts	0	0	0
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	25,484	104	25,588
ECEP in Excess of Revenue Bond Debt Service	TBD	TBD	TBD
Sales Tax in Excess of LGAC	3,878	(68)	3,810
Sales Tax in Excess of Revenue Bond Debt Service	3,113	(155)	2,958
Real Estate Taxes in Excess of CW/CA Debt Service	1,175	(35)	1,140
All Other	1,727	35	1,762
Total Receipts	<u>80,309</u>	<u>(563)</u>	<u>79,746</u>
Disbursements:			
Local Assistance	58,273	1,199	59,472
State Operations:			
Personal Service	9,342	32	9,374
Non-Personal Service	3,143	62	3,205
General State Charges	9,148	(8)	9,140
Transfers to Other Funds:			
Debt Service	908	(32)	876
Capital Projects	2,882	15	2,897
State Share of Mental Hygiene Medicaid	0	0	0
SUNY Operations	1,021	0	1,021
Other Purposes	1,323	0	1,323
Total Disbursements	<u>86,040</u>	<u>1,268</u>	<u>87,308</u>
Use (Reservation) of Fund Balance:			
Extraordinary Monetary Settlements	619	(14)	605
Total Use (Reservation) of Fund Balance	<u>619</u>	<u>(14)</u>	<u>605</u>
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)	<u>(5,112)</u>	<u>(1,845)</u>	<u>(6,957)</u>
Adherence to 2% Spending Benchmark	5,640	830	6,470
Net General Fund Surplus (Deficit)	<u>528</u>	<u>(1,015)</u>	<u>(487)</u>

**CASH RECEIPTS
GENERAL FUND
(millions of dollars)**

	FY 2019	FY 2020	FY 2021	FY 2022
	Enacted	Projected	Projected	Projected
Taxes:				
Withholdings	41,782	43,033	44,024	46,144
Estimated Payments	16,221	18,157	17,802	18,960
Final Payments	2,599	2,748	2,908	3,032
Other Payments	1,500	1,564	1,601	1,671
Gross Collections	62,102	65,502	66,335	69,807
State/City Offset	(973)	(799)	(824)	(849)
Refunds	(10,719)	(10,751)	(10,501)	(11,502)
Reported Tax Collections	50,410	53,952	55,010	57,456
STAR (Dedicated Deposits)	(2,459)	(2,417)	(2,402)	(2,402)
RBTF (Dedicated Transfers)	(25,205)	(26,976)	(27,505)	(28,728)
Personal Income Tax	22,746	24,559	25,103	26,326
Sales and Use Tax	14,114	14,664	15,224	15,796
Cigarette and Tobacco Taxes	328	316	304	294
Motor Fuel Tax	0	0	0	0
Alcoholic Beverage Taxes	262	265	269	272
Medical Marihuana Excise Tax	0	0	0	0
Highway Use Tax	0	0	0	0
Auto Rental Tax	0	0	0	0
Taxicab Surcharge	0	0	0	0
Gross Consumption/Use Taxes	14,704	15,245	15,797	16,362
LGAC/STBF (Dedicated Transfers)	(7,057)	(7,332)	(7,612)	(7,898)
Consumption/Use Taxes	7,647	7,913	8,185	8,464
Corporation Franchise Tax	3,212	3,610	3,828	3,828
Corporation and Utilities Tax	530	537	541	547
Insurance Taxes	1,762	1,963	2,101	2,202
Bank Tax	122	60	0	0
Petroleum Business Tax	0	0	0	0
Business Taxes	5,626	6,170	6,470	6,577
Estate Tax	1,033	1,092	1,155	1,220
Real Estate Transfer Tax	1,178	1,231	1,267	1,305
Employer Compensation Expense Program	TBD	TBD	TBD	TBD
Gift Tax	0	0	0	0
Real Property Gains Tax	0	0	0	0
Pari-Mutuel Taxes	15	15	15	15
Other Taxes	3	3	3	3
Gross Other Taxes	2,229	2,341	2,440	2,543
Real Estate Transfer Tax (Dedicated)	(1,178)	(1,231)	(1,267)	(1,305)
RBTF (Dedicated Transfers)	TBD	TBD	TBD	TBD
Other Taxes	1,051	1,110	1,173	1,238
Payroll Tax	0	0	0	0
Total Taxes	37,070	39,752	40,931	42,605
Licenses, Fees, Etc.	670	692	676	676
Abandoned Property	450	450	450	450
Motor Vehicle Fees	269	285	280	210
ABC License Fee	66	66	62	68
Reimbursements	107	109	107	53
Investment Income	40	18	8	8
Extraordinary Settlements	108	0	0	0
Other Transactions	417	408	418	418
Miscellaneous Receipts	2,127	2,028	2,001	1,883
Federal Receipts	0	0	0	0
Total	39,197	41,780	42,932	44,488

CURRENT STATE RECEIPTS
GENERAL FUND
(millions of dollars)

	FY 2018 Results	FY 2019 Enacted	Annual \$ Change	Annual % Change
Taxes:				
Withholdings	40,269	41,782	1,513	3.8%
Estimated Payments	17,781	16,221	(1,560)	-8.8%
Final Payments	2,478	2,599	121	4.9%
Other Payments	1,507	1,500	(7)	-0.5%
Gross Collections	62,035	62,102	67	0.1%
State/City Offset	(856)	(973)	(117)	-13.7%
Refunds	(9,678)	(10,719)	(1,041)	-10.8%
Reported Tax Collections	51,501	50,410	(1,091)	-2.1%
STAR (Dedicated Deposits)	(2,589)	(2,459)	130	5.0%
RBTF (Dedicated Transfers)	(12,875)	(25,205)	(12,330)	-95.8%
Personal Income Tax	36,037	22,746	(13,291)	-36.9%
Sales and Use Tax	13,553	14,114	561	4.1%
Cigarette and Tobacco Taxes	342	328	(14)	-4.1%
Motor Fuel Tax	0	0	0	0.0%
Alcoholic Beverage Taxes	259	262	3	1.2%
Medical Marihuana Excise Tax	0	0	0	0.0%
Highway Use Tax	0	0	0	0.0%
Auto Rental Tax	0	0	0	0.0%
Taxicab Surcharge	0	0	0	0.0%
Gross Consumption/Use Taxes	14,154	14,704	550	3.9%
LGAC/STBF (Dedicated Transfers)	(6,777)	(7,057)	(280)	-4.1%
Consumption/Use Taxes	7,377	7,647	270	3.7%
Corporation Franchise Tax	2,326	3,212	886	38.1%
Corporation and Utilities Tax	570	530	(40)	-7.0%
Insurance Taxes	1,610	1,762	152	9.4%
Bank Tax	410	122	(288)	-70.2%
Petroleum Business Tax	0	0	0	0.0%
Business Taxes	4,916	5,626	710	14.4%
Estate Tax	1,308	1,033	(275)	-21.0%
Real Estate Transfer Tax	1,125	1,178	53	4.7%
Employer Compensation Expense Program	0	TBD	TBD	TBD
Gift Tax	0	0	0	0.0%
Real Property Gains Tax	0	0	0	0.0%
Pari-Mutuel Taxes	15	15	0	0.0%
Other Taxes	3	3	0	0.0%
Gross Other Taxes	2,451	2,229	(222)	-9.1%
Real Estate Transfer Tax (Dedicated)	(1,125)	(1,178)	(53)	-4.7%
RBTF (Dedicated Transfers)	0	TBD	TBD	TBD
Other Taxes	1,326	1,051	(275)	-20.7%
Payroll Tax	0	0	0	0.0%
Total Taxes	49,656	37,070	(12,586)	-25.3%
Licenses, Fees, Etc.	669	670	1	0.1%
Abandoned Property	460	450	(10)	-2.2%
Motor Vehicle Fees	252	269	17	6.7%
ABC License Fee	65	66	1	1.5%
Reimbursements	275	107	(168)	-61.1%
Investment Income	60	40	(20)	-33.3%
Extraordinary Settlements	778	108	(670)	-86.1%
Other Transactions	570	417	(153)	-26.8%
Miscellaneous Receipts	3,129	2,127	(1,002)	-32.0%
Federal Receipts	0	0	0	0.0%
Total	52,785	39,197	(13,588)	-25.7%

CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2017
(millions of dollars)

	General Fund	State Special Revenue Funds	Debt Service Funds	State Operating Funds Total
Opening Fund Balance	8,934	3,547	160	12,641
Receipts:				
Taxes	45,507	8,101	19,381	72,989
Miscellaneous Receipts	3,813	17,487	458	21,758
Federal Receipts	0	(1)	73	72
Total Receipts	49,320	25,587	19,912	94,819
Disbursements:				
Local Assistance	44,439	19,930	0	64,369
State Operations:				
Personal Service	6,065	7,028	0	13,093
Non-Personal Service	2,022	3,527	38	5,587
General State Charges	5,462	2,172	0	7,634
Debt Service	0	0	5,514	5,514
Capital Projects	0	2	0	2
Total Disbursements	57,988	32,659	5,552	96,199
Other Financing Sources (Uses):				
Transfers from Other Funds	17,575	7,733	3,609	28,917
Transfers to Other Funds	(10,092)	(476)	(17,985)	(28,553)
Bond and Note Proceeds	0	0	0	0
Net Other Financing Sources (Uses)	7,483	7,257	(14,376)	364
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(1,185)	185	(16)	(1,016)
Closing Fund Balance	7,749	3,732	144	11,625

CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2018
(millions of dollars)

	General Fund	State Special Revenue Funds	Debt Service Funds	State Operating Funds Total
Opening Fund Balance	7,749	3,732	144	11,625
Receipts:				
Taxes	49,656	7,639	20,658	77,953
Miscellaneous Receipts	3,129	17,734	471	21,334
Federal Receipts	0	1	73	74
Total Receipts	52,785	25,374	21,202	99,361
Disbursements:				
Local Assistance	46,072	19,532	0	65,604
State Operations:				
Personal Service	6,136	7,034	0	13,170
Non-Personal Service	2,092	3,517	42	5,651
General State Charges	5,572	2,281	0	7,853
Debt Service	0	0	5,873	5,873
Capital Projects	0	0	0	0
Total Disbursements	59,872	32,364	5,915	98,151
Other Financing Sources (Uses):				
Transfers from Other Funds	18,635	7,949	3,873	30,457
Transfers to Other Funds	(9,852)	(682)	(19,151)	(29,685)
Bond and Note Proceeds	0	0	0	0
Net Other Financing Sources (Uses)	8,783	7,267	(15,278)	772
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	1,696	277	9	1,982
Closing Fund Balance	9,445	4,009	153	13,607

CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2019
(millions of dollars)

	General Fund	State Special Revenue Funds	Debt Service Funds	State Operating Funds Total
Opening Fund Balance	<u>9,445</u>	<u>4,009</u>	<u>153</u>	<u>13,607</u>
Receipts:				
Taxes	37,070	6,137	33,321	76,528
Miscellaneous Receipts	2,127	17,511	498	20,136
Federal Receipts	0	1	74	75
Total Receipts	<u>39,197</u>	<u>23,649</u>	<u>33,893</u>	<u>96,739</u>
Disbursements:				
Local Assistance	51,063	15,689	0	66,752
State Operations:				
Personal Service	8,691	4,851	0	13,542
Non-Personal Service	3,054	2,816	47	5,917
General State Charges	7,553	989	0	8,542
Debt Service	0	0	5,382	5,382
Capital Projects	0	0	0	0
Total Disbursements	<u>70,361</u>	<u>24,345</u>	<u>5,429</u>	<u>100,135</u>
Other Financing Sources (Uses):				
Transfers from Other Funds	33,463	2,161	3,607	39,231
Transfers to Other Funds	(6,240)	(1,232)	(32,066)	(39,538)
Bond and Note Proceeds	0	0	0	0
Net Other Financing Sources (Uses)	<u>27,223</u>	<u>929</u>	<u>(28,459)</u>	<u>(307)</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>(3,941)</u>	<u>233</u>	<u>5</u>	<u>(3,703)</u>
Closing Fund Balance	<u>5,504</u>	<u>4,242</u>	<u>158</u>	<u>9,904</u>

CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2020
(millions of dollars)

	General Fund	State Special Revenue Funds	Debt Service Funds	State Operating Funds Total
Receipts:				
Taxes	39,752	6,178	35,420	81,350
Miscellaneous Receipts	2,028	16,956	469	19,453
Federal Receipts	0	1	73	74
Total Receipts	41,780	23,135	35,962	100,877
Disbursements:				
Local Assistance	53,918	15,584	0	69,502
State Operations:				
Personal Service	8,936	4,949	0	13,885
Non-Personal Service	3,129	2,732	47	5,908
General State Charges	8,098	1,026	0	9,124
Debt Service	0	0	6,966	6,966
Capital Projects	0	0	0	0
Total Disbursements	74,081	24,291	7,013	105,385
Other Financing Sources (Uses):				
Transfers from Other Funds	33,760	2,142	3,716	39,618
Transfers to Other Funds	(6,643)	(922)	(32,659)	(40,224)
Bond and Note Proceeds	0	0	0	0
Net Other Financing Sources (Uses)	27,117	1,220	(28,943)	(606)
Use (Reservation) of Fund Balance:				
Community Projects	9	0	0	9
Extraordinary Monetary Settlements	1,148	0	0	1,148
Total Use (Reservation) of Fund Balance	1,157	0	0	1,157
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)	(4,027)	64	6	(3,957)
Adherence to 2% Spending Benchmark	3,247	0	0	3,247
Net Surplus (Deficit)	(780)	64	6	(710)

CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2021
(millions of dollars)

	General Fund	State Special Revenue Funds	Debt Service Funds	State Operating Funds Total
Receipts:				
Taxes	40,931	6,201	36,265	83,397
Miscellaneous Receipts	2,001	16,213	469	18,683
Federal Receipts	0	1	73	74
Total Receipts	42,932	22,415	36,807	102,154
Disbursements:				
Local Assistance	57,009	15,276	0	72,285
State Operations:				
Personal Service	9,441	5,134	0	14,575
Non-Personal Service	3,173	2,750	47	5,970
General State Charges	8,618	1,095	0	9,713
Debt Service	0	0	7,186	7,186
Capital Projects	0	0	0	0
Total Disbursements	78,241	24,255	7,233	109,729
Other Financing Sources (Uses):				
Transfers from Other Funds	34,012	2,218	3,680	39,910
Transfers to Other Funds	(6,512)	(487)	(33,245)	(40,244)
Bond and Note Proceeds	0	0	0	0
Net Other Financing Sources (Uses)	27,500	1,731	(29,565)	(334)
Use (Reservation) of Fund Balance:				
Community Projects	8	0	0	8
Extraordinary Monetary Settlements	855	0	0	855
Total Use (Reservation) of Fund Balance	863	0	0	863
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)	(6,946)	(109)	9	(7,046)
Adherence to 2% Spending Benchmark	5,548	0	0	5,548
Net Surplus (Deficit)	(1,398)	(109)	9	(1,498)

CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2022
(millions of dollars)

	General Fund	State Special Revenue Funds	Debt Service Funds	State Operating Funds Total
Receipts:				
Taxes	42,605	6,262	37,812	86,679
Miscellaneous Receipts	1,883	16,331	468	18,682
Federal Receipts	0	1	72	73
Total Receipts	44,488	22,594	38,352	105,434
Disbursements:				
Local Assistance	59,472	15,399	0	74,871
State Operations:				
Personal Service	9,374	5,102	0	14,476
Non-Personal Service	3,205	2,797	47	6,049
General State Charges	9,140	1,109	0	10,249
Debt Service	0	0	7,090	7,090
Capital Projects	0	0	0	0
Total Disbursements	81,191	24,407	7,137	112,735
Other Financing Sources (Uses):				
Transfers from Other Funds	35,258	2,348	3,361	40,967
Transfers to Other Funds	(6,117)	(445)	(34,506)	(41,068)
Bond and Note Proceeds	0	0	0	0
Net Other Financing Sources (Uses)	29,141	1,903	(31,145)	(101)
Use (Reservation) of Fund Balance:				
Extraordinary Monetary Settlements	605	0	0	605
Total Use (Reservation) of Fund Balance	605	0	0	605
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)	(6,957)	90	70	(6,797)
Adherence to 2% Spending Benchmark	6,470	0	0	6,470
Net Surplus (Deficit)	(487)	90	70	(327)

**CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
(millions of dollars)**

	<u>FY 2018 Results</u>	<u>FY 2019 Enacted</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
Opening Fund Balance	11,625	13,607	1,982	17.0%
Receipts:				
Taxes	77,953	76,528	(1,425)	-1.8%
Miscellaneous Receipts	21,334	20,136	(1,198)	-5.6%
Federal Receipts	74	75	1	1.4%
Total Receipts	99,361	96,739	(2,622)	-2.6%
Disbursements:				
Local Assistance	65,604	66,752	1,148	1.7%
State Operations:				
Personal Service	13,170	13,542	372	2.8%
Non-Personal Service	5,651	5,917	266	4.7%
General State Charges	7,853	8,542	689	8.8%
Debt Service	5,873	5,382	(491)	-8.4%
Capital Projects	0	0	0	0.0%
Total Disbursements	98,151	100,135	1,984	2.0%
Other Financing Sources (Uses):				
Transfers from Other Funds	30,457	39,231	8,774	28.8%
Transfers to Other Funds	(29,685)	(39,538)	(9,853)	-33.2%
Bond and Note Proceeds	0	0	0	0.0%
Net Other Financing Sources (Uses)	772	(307)	(1,079)	-139.8%
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	1,982	(3,703)	(5,685)	-286.8%
Closing Fund Balance	13,607	9,904	(3,703)	-27.2%

CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
(millions of dollars)

	<u>FY 2018</u> <u>Executive</u>	<u>Change</u>	<u>FY 2018</u> <u>Results</u>
Opening Fund Balance	11,625	0	11,625
Receipts:			
Taxes	77,619	334	77,953
Miscellaneous Receipts	20,378	956	21,334
Federal Receipts	74	0	74
Total Receipts	98,071	1,290	99,361
Disbursements:			
Local Assistance	65,794	(190)	65,604
State Operations:			
Personal Service	13,026	144	13,170
Non-Personal Service	5,709	(58)	5,651
General State Charges	7,976	(123)	7,853
Debt Service	5,621	252	5,873
Capital Projects	0	0	0
Total Disbursements	98,126	25	98,151
Other Financing Sources (Uses):			
Transfers from Other Funds	30,897	(440)	30,457
Transfers to Other Funds	(29,889)	204	(29,685)
Bond and Note Proceeds	0	0	0
Net Other Financing Sources (Uses)	1,008	(236)	772
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	953	1,029	1,982
Closing Fund Balance	12,578	1,029	13,607

CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
(millions of dollars)

	<u>FY 2018 Enacted</u>	<u>Change</u>	<u>FY 2018 Results</u>
Opening Fund Balance	11,625	0	11,625
Receipts:			
Taxes	76,599	1,354	77,953
Miscellaneous Receipts	19,355	1,979	21,334
Federal Receipts	74	0	74
Total Receipts	96,028	3,333	99,361
Disbursements:			
Local Assistance	66,058	(454)	65,604
State Operations:			
Personal Service	12,910	260	13,170
Non-Personal Service	5,782	(131)	5,651
General State Charges	8,063	(210)	7,853
Debt Service	5,319	554	5,873
Capital Projects	2	(2)	0
Total Disbursements	98,134	17	98,151
Other Financing Sources (Uses):			
Transfers from Other Funds	30,661	(204)	30,457
Transfers to Other Funds	(30,308)	623	(29,685)
Bond and Note Proceeds	0	0	0
Net Other Financing Sources (Uses)	353	419	772
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(1,753)	3,735	1,982
Closing Fund Balance	9,872	3,735	13,607

**CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2017
(millions of dollars)**

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	All Funds Total
Opening Fund Balance	8,934	3,607	(891)	160	11,810
Receipts:					
Taxes	45,507	8,101	1,383	19,381	74,372
Miscellaneous Receipts	3,813	17,686	4,637	458	26,594
Federal Receipts	0	52,725	2,608	73	55,406
Total Receipts	49,320	78,512	8,628	19,912	156,372
Disbursements:					
Local Assistance	44,439	68,294	3,604	0	116,337
State Operations:					
Personal Service	6,065	7,659	0	0	13,724
Non-Personal Service	2,022	4,898	0	38	6,958
General State Charges	5,462	2,465	0	0	7,927
Debt Service	0	0	0	5,514	5,514
Capital Projects	0	2	6,552	0	6,554
Total Disbursements	57,988	83,318	10,156	5,552	157,014
Other Financing Sources (Uses):					
Transfers from Other Funds	17,575	7,733	2,751	3,609	31,668
Transfers to Other Funds	(10,092)	(2,262)	(1,392)	(17,985)	(31,731)
Bond and Note Proceeds	0	0	0	0	0
Net Other Financing Sources (Uses)	7,483	5,471	1,359	(14,376)	(63)
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(1,185)	665	(169)	(16)	(705)
Closing Fund Balance	7,749	4,272	(1,060)	144	11,105

**CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2018
(millions of dollars)**

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	All Funds Total
Opening Fund Balance	7,749	4,272	(1,060)	144	11,105
Receipts:					
Taxes	49,656	7,639	1,313	20,658	79,266
Miscellaneous Receipts	3,129	17,933	5,729	471	27,262
Federal Receipts	0	56,744	2,125	73	58,942
Total Receipts	52,785	82,316	9,167	21,202	165,470
Disbursements:					
Local Assistance	46,072	72,126	3,797	0	121,995
State Operations:					
Personal Service	6,136	7,702	0	0	13,838
Non-Personal Service	2,092	4,886	0	42	7,020
General State Charges	5,572	2,603	0	0	8,175
Debt Service	0	0	0	5,873	5,873
Capital Projects	0	0	6,843	0	6,843
Total Disbursements	59,872	87,317	10,640	5,915	163,744
Other Financing Sources (Uses):					
Transfers from Other Funds	18,635	7,949	2,607	3,873	33,064
Transfers to Other Funds	(9,852)	(2,918)	(1,385)	(19,151)	(33,306)
Bond and Note Proceeds	0	0	160	0	160
Net Other Financing Sources (Uses)	8,783	5,031	1,382	(15,278)	(82)
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	1,696	30	(91)	9	1,644
Closing Fund Balance	9,445	4,302	(1,151)	153	12,749

CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2019
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	All Funds Total
Opening Fund Balance	<u>9,445</u>	<u>4,302</u>	<u>(1,151)</u>	<u>153</u>	<u>12,749</u>
Receipts:					
Taxes	37,070	6,137	1,395	33,321	77,923
Miscellaneous Receipts	2,127	17,713	7,667	498	28,005
Federal Receipts	0	57,576	2,433	74	60,083
Total Receipts	<u>39,197</u>	<u>81,426</u>	<u>11,495</u>	<u>33,893</u>	<u>166,011</u>
Disbursements:					
Local Assistance	51,063	68,951	5,613	0	125,627
State Operations:					
Personal Service	8,691	5,500	0	0	14,191
Non-Personal Service	3,054	4,255	0	47	7,356
General State Charges	7,553	1,312	0	0	8,865
Debt Service	0	0	0	5,382	5,382
Capital Projects	0	0	8,861	0	8,861
Total Disbursements	<u>70,361</u>	<u>80,018</u>	<u>14,474</u>	<u>5,429</u>	<u>170,282</u>
Other Financing Sources (Uses):					
Transfers from Other Funds	33,463	2,173	3,623	3,607	42,866
Transfers to Other Funds	(6,240)	(3,356)	(1,366)	(32,066)	(43,028)
Bond and Note Proceeds	0	0	711	0	711
Net Other Financing Sources (Uses)	<u>27,223</u>	<u>(1,183)</u>	<u>2,968</u>	<u>(28,459)</u>	<u>549</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>(3,941)</u>	<u>225</u>	<u>(11)</u>	<u>5</u>	<u>(3,722)</u>
Closing Fund Balance	<u>5,504</u>	<u>4,527</u>	<u>(1,162)</u>	<u>158</u>	<u>9,027</u>

**CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2020
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>All Funds Total</u>
Receipts:					
Taxes	39,752	6,178	1,412	35,420	82,762
Miscellaneous Receipts	2,028	17,115	6,560	469	26,172
Federal Receipts	0	58,762	2,229	73	61,064
Total Receipts	41,780	82,055	10,201	35,962	169,998
Disbursements:					
Local Assistance	53,918	69,609	5,084	0	128,611
State Operations:					
Personal Service	8,936	5,602	0	0	14,538
Non-Personal Service	3,129	4,213	0	47	7,389
General State Charges	8,098	1,354	0	0	9,452
Debt Service	0	0	0	6,966	6,966
Capital Projects	0	0	8,096	0	8,096
Total Disbursements	74,081	80,778	13,180	7,013	175,052
Other Financing Sources (Uses):					
Transfers from Other Funds	33,760	2,154	3,874	3,716	43,504
Transfers to Other Funds	(6,643)	(2,698)	(1,636)	(32,659)	(43,636)
Bond and Note Proceeds	0	0	706	0	706
Net Other Financing Sources (Uses)	27,117	(544)	2,944	(28,943)	574
Use (Reservation) of Fund Balance:					
Community Projects	9	0	0	0	9
Extraordinary Monetary Settlements	1,148	0	0	0	1,148
Total Use (Reservation) of Fund Balance	1,157	0	0	0	1,157
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)					
	(4,027)	733	(35)	6	(3,323)
Adherence to 2% Spending Benchmark	3,247	0	0	0	3,247
Net Surplus (Deficit)	(780)	733	(35)	6	(76)

CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2021
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	All Funds Total
Receipts:					
Taxes	40,931	6,201	1,409	36,265	84,806
Miscellaneous Receipts	2,001	16,374	6,161	469	25,005
Federal Receipts	0	60,152	2,187	73	62,412
Total Receipts	42,932	82,727	9,757	36,807	172,223
Disbursements:					
Local Assistance	57,009	70,195	4,343	0	131,547
State Operations:					
Personal Service	9,441	5,813	0	0	15,254
Non-Personal Service	3,173	4,198	0	47	7,418
General State Charges	8,618	1,434	0	0	10,052
Debt Service	0	0	0	7,186	7,186
Capital Projects	0	0	7,628	0	7,628
Total Disbursements	78,241	81,640	11,971	7,233	179,085
Other Financing Sources (Uses):					
Transfers from Other Funds	34,012	2,230	3,533	3,680	43,455
Transfers to Other Funds	(6,512)	(2,233)	(1,606)	(33,245)	(43,596)
Bond and Note Proceeds	0	0	264	0	264
Net Other Financing Sources (Uses)	27,500	(3)	2,191	(29,565)	123
Use (Reservation) of Fund Balance:					
Community Projects	8	0	0	0	8
Extraordinary Monetary Settlements	855	0	0	0	855
Total Use (Reservation) of Fund Balance	863	0	0	0	863
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)					
	(6,946)	1,084	(23)	9	(5,876)
Adherence to 2% Spending Benchmark	5,548	0	0	0	5,548
Net Surplus (Deficit)	(1,398)	1,084	(23)	9	(328)

CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2022
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	All Funds Total
Receipts:					
Taxes	42,605	6,262	1,405	37,812	88,084
Miscellaneous Receipts	1,883	16,492	6,145	468	24,988
Federal Receipts	0	61,853	2,187	72	64,112
Total Receipts	44,488	84,607	9,737	38,352	177,184
Disbursements:					
Local Assistance	59,472	71,512	4,186	0	135,170
State Operations:					
Personal Service	9,374	5,783	0	0	15,157
Non-Personal Service	3,205	4,228	0	47	7,480
General State Charges	9,140	1,480	0	0	10,620
Debt Service	0	0	0	7,090	7,090
Capital Projects	0	0	7,447	0	7,447
Total Disbursements	81,191	83,003	11,633	7,137	182,964
Other Financing Sources (Uses):					
Transfers from Other Funds	35,258	2,360	3,137	3,361	44,116
Transfers to Other Funds	(6,117)	(2,158)	(1,482)	(34,506)	(44,263)
Bond and Note Proceeds	0	0	238	0	238
Net Other Financing Sources (Uses)	29,141	202	1,893	(31,145)	91
Use (Reservation) of Fund Balance:					
Extraordinary Monetary Settlements	605	0	0	0	605
Total Use (Reservation) of Fund Balance	605	0	0	0	605
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)					
	(6,957)	1,806	(3)	70	(5,084)
Adherence to 2% Spending Benchmark	6,470	0	0	0	6,470
Net Surplus (Deficit)	(487)	1,806	(3)	70	1,386

**CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
(millions of dollars)**

	<u>FY 2018 Results</u>	<u>FY 2019 Enacted</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
Opening Fund Balance	11,105	12,749	1,644	14.8%
Receipts:				
Taxes	79,266	77,923	(1,343)	-1.7%
Miscellaneous Receipts	27,262	28,005	743	2.7%
Federal Receipts	58,942	60,083	1,141	1.9%
Total Receipts	165,470	166,011	541	0.3%
Disbursements:				
Local Assistance	121,995	125,627	3,632	3.0%
State Operations:				
Personal Service	13,838	14,191	353	2.6%
Non-Personal Service	7,020	7,356	336	4.8%
General State Charges	8,175	8,865	690	8.4%
Debt Service	5,873	5,382	(491)	-8.4%
Capital Projects	6,843	8,861	2,018	29.5%
Total Disbursements	163,744	170,282	6,538	4.0%
Other Financing Sources (Uses):				
Transfers from Other Funds	33,064	42,866	9,802	29.6%
Transfers to Other Funds	(33,306)	(43,028)	(9,722)	-29.2%
Bond and Note Proceeds	160	711	551	344.4%
Net Other Financing Sources (Uses)	(82)	549	631	769.5%
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	1,644	(3,722)	(5,366)	-326.4%
Closing Fund Balance	12,749	9,027	(3,722)	-29.2%

**CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
(millions of dollars)**

	<u>FY 2018 Executive</u>	<u>Change</u>	<u>FY 2018 Results</u>
Opening Fund Balance	<u>11,105</u>	<u>0</u>	<u>11,105</u>
Receipts:			
Taxes	78,952	314	79,266
Miscellaneous Receipts	27,829	(567)	27,262
Federal Receipts	<u>57,777</u>	<u>1,165</u>	<u>58,942</u>
Total Receipts	<u>164,558</u>	<u>912</u>	<u>165,470</u>
Disbursements:			
Local Assistance	121,886	109	121,995
State Operations:			
Personal Service	13,643	195	13,838
Non-Personal Service	7,088	(68)	7,020
General State Charges	8,293	(118)	8,175
Debt Service	5,621	252	5,873
Capital Projects	<u>7,906</u>	<u>(1,063)</u>	<u>6,843</u>
Total Disbursements	<u>164,437</u>	<u>(693)</u>	<u>163,744</u>
Other Financing Sources (Uses):			
Transfers from Other Funds	33,302	(238)	33,064
Transfers to Other Funds	(33,412)	106	(33,306)
Bond and Note Proceeds	<u>788</u>	<u>(628)</u>	<u>160</u>
Net Other Financing Sources (Uses)	<u>678</u>	<u>(760)</u>	<u>(82)</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>799</u>	<u>845</u>	<u>1,644</u>
Closing Fund Balance	<u><u>11,904</u></u>	<u><u>845</u></u>	<u><u>12,749</u></u>

CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
(millions of dollars)

	<u>FY 2018 Enacted</u>	<u>Change</u>	<u>FY 2018 Results</u>
Opening Fund Balance	11,105	0	11,105
Receipts:			
Taxes	77,926	1,340	79,266
Miscellaneous Receipts	26,509	753	27,262
Federal Receipts	56,642	2,300	58,942
Total Receipts	161,077	4,393	165,470
Disbursements:			
Local Assistance	121,290	705	121,995
State Operations:			
Personal Service	13,527	311	13,838
Non-Personal Service	7,166	(146)	7,020
General State Charges	8,377	(202)	8,175
Debt Service	5,319	554	5,873
Capital Projects	7,949	(1,106)	6,843
Total Disbursements	163,628	116	163,744
Other Financing Sources (Uses):			
Transfers from Other Funds	33,729	(665)	33,064
Transfers to Other Funds	(33,838)	532	(33,306)
Bond and Note Proceeds	988	(828)	160
Net Other Financing Sources (Uses)	879	(961)	(82)
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(1,672)	3,316	1,644
Closing Fund Balance	9,433	3,316	12,749

CASH RECEIPTS
ALL GOVERNMENTAL FUNDS
FY 2018
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	Total
Taxes:					
Withholdings	40,269	0	0	0	40,269
Estimated Payments	17,781	0	0	0	17,781
Final Payments	2,478	0	0	0	2,478
Other Payments	1,507	0	0	0	1,507
Gross Collections	62,035	0	0	0	62,035
State/City Offset	(856)	0	0	0	(856)
Refunds	(9,678)	0	0	0	(9,678)
Reported Tax Collections	51,501	0	0	0	51,501
STAR (Dedicated Deposits)	(2,589)	2,589	0	0	0
RBTF (Dedicated Transfers)	(12,875)	0	0	12,875	0
Personal Income Tax	36,037	2,589	0	12,875	51,501
Sales and Use Tax	13,553	942	0	0	14,495
Cigarette and Tobacco Taxes	342	829	0	0	1,171
Motor Fuel Tax	0	109	403	0	512
Alcoholic Beverage Taxes	259	0	0	0	259
Opioid Epidemic Surcharge	0	0	0	0	0
Medical Marihuana Excise Tax	0	2	0	0	2
Highway Use Tax	0	2	91	0	93
Auto Rental Tax	0	45	78	0	123
Taxicab Surcharge	0	56	0	0	56
Gross Consumption/Use Taxes	14,154	1,985	572	0	16,711
LGAC/STBF (Dedicated Transfers)	(6,777)	0	0	6,777	0
Consumption/Use Taxes	7,377	1,985	572	6,777	16,711
Corporation Franchise Tax	2,326	754	0	0	3,080
Corporation and Utilities Tax	570	164	14	0	748
Insurance Taxes	1,610	167	0	0	1,777
Bank Tax	410	57	0	0	467
Petroleum Business Tax	0	484	608	0	1,092
Business Taxes	4,916	1,626	622	0	7,164
Estate Tax	1,308	0	0	0	1,308
Real Estate Transfer Tax	1,125	0	0	0	1,125
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	15	0	0	0	15
Other Taxes	3	0	0	0	3
Gross Other Taxes	2,451	0	0	0	2,451
Real Estate Transfer Tax (Dedicated)	(1,125)	0	119	1,006	0
RBTF (Dedicated Transfers)	0	0	0	0	0
Other Taxes	1,326	0	119	1,006	2,451
Payroll Tax	0	1,439	0	0	1,439
Total Taxes	49,656	7,639	1,313	20,658	79,266
Licenses, Fees, Etc.	669	0	0	0	669
Abandoned Property	460	0	0	0	460
Motor Vehicle Fees	252	414	833	0	1,499
ABC License Fee	65	0	0	0	65
Reimbursements	275	0	0	0	275
Investment Income	60	0	0	0	60
Extraordinary Settlements	778	0	0	0	778
Other Transactions	570	17,519	4,896	471	23,456
Miscellaneous Receipts	3,129	17,933	5,729	471	27,262
Federal Receipts	0	56,744	2,125	73	58,942
Total	52,785	82,316	9,167	21,202	165,470

CASH RECEIPTS
ALL GOVERNMENTAL FUNDS
FY 2019
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	Total
Taxes:					
Withholdings	41,782	0	0	0	41,782
Estimated Payments	16,221	0	0	0	16,221
Final Payments	2,599	0	0	0	2,599
Other Payments	<u>1,500</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>1,500</u>
Gross Collections	62,102	0	0	0	62,102
State/City Offset	(973)	0	0	0	(973)
Refunds	<u>(10,719)</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>(10,719)</u>
Reported Tax Collections	50,410	0	0	0	50,410
STAR (Dedicated Deposits)	(2,459)	2,459	0	0	0
RBTF (Dedicated Transfers)	<u>(25,205)</u>	<u>0</u>	<u>0</u>	<u>25,205</u>	<u>0</u>
Personal Income Tax	22,746	2,459	0	25,205	50,410
Sales and Use Tax	14,114	972	0	0	15,086
Cigarette and Tobacco Taxes	328	791	0	0	1,119
Motor Fuel Tax	0	107	405	0	512
Alcoholic Beverage Taxes	262	0	0	0	262
Opioid Epidemic Surcharge	0	0	0	0	0
Medical Marihuana Excise Tax	0	2	0	0	2
Highway Use Tax	0	3	139	0	142
Auto Rental Tax	0	49	81	0	130
Taxicab Surcharge	0	50	0	0	50
Gross Consumption/Use Taxes	14,704	1,974	625	0	17,303
LGAC/STBF (Dedicated Transfers)	<u>(7,057)</u>	<u>0</u>	<u>0</u>	<u>7,057</u>	<u>0</u>
Consumption/Use Taxes	7,647	1,974	625	7,057	17,303
Corporation Franchise Tax	3,212	815	0	0	4,027
Corporation and Utilities Tax	530	156	14	0	700
Insurance Taxes	1,762	213	0	0	1,975
Bank Tax	122	21	0	0	143
Petroleum Business Tax	0	499	637	0	1,136
Business Taxes	5,626	1,704	651	0	7,981
Estate Tax	1,033	0	0	0	1,033
Real Estate Transfer Tax	1,178	0	0	0	1,178
Employer Compensation Expense Program	TBD	0	0	TBD	TBD
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	15	0	0	0	15
Other Taxes	<u>3</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>3</u>
Gross Other Taxes	2,229	0	0	0	2,229
Real Estate Transfer Tax (Dedicated)	(1,178)	0	119	1,059	0
RBTF (Dedicated Transfers)	<u>TBD</u>	<u>0</u>	<u>0</u>	<u>TBD</u>	<u>TBD</u>
Other Taxes	1,051	0	119	1,059	2,229
Payroll Tax	0	0	0	0	0
Total Taxes	37,070	6,137	1,395	33,321	77,923
Licenses, Fees, Etc.	670	0	0	0	670
Abandoned Property	450	0	0	0	450
Motor Vehicle Fees	269	422	808	0	1,499
ABC License Fee	66	0	0	0	66
Reimbursements	107	0	0	0	107
Investment Income	40	0	0	0	40
Extraordinary Settlements	108	0	0	0	108
Other Transactions	417	17,291	6,859	498	25,065
Miscellaneous Receipts	2,127	17,713	7,667	498	28,005
Federal Receipts	0	57,576	2,433	74	60,083
Total	39,197	81,426	11,495	33,893	166,011

CASH RECEIPTS
ALL GOVERNMENTAL FUNDS
FY 2020
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	Total
Taxes:					
Withholdings	43,033	0	0	0	43,033
Estimated Payments	18,157	0	0	0	18,157
Final Payments	2,748	0	0	0	2,748
Other Payments	1,564	0	0	0	1,564
Gross Collections	65,502	0	0	0	65,502
State/City Offset	(799)	0	0	0	(799)
Refunds	(10,751)	0	0	0	(10,751)
Reported Tax Collections	53,952	0	0	0	53,952
STAR (Dedicated Deposits)	(2,417)	2,417	0	0	0
RBTF (Dedicated Transfers)	(26,976)	0	0	26,976	0
Personal Income Tax	24,559	2,417	0	26,976	53,952
Sales and Use Tax	14,664	1,006	0	0	15,670
Cigarette and Tobacco Taxes	316	752	0	0	1,068
Motor Fuel Tax	0	106	401	0	507
Alcoholic Beverage Taxes	265	0	0	0	265
Opioid Epidemic Surcharge	0	0	0	0	0
Medical Marihuana Excise Tax	0	2	0	0	2
Highway Use Tax	0	2	140	0	142
Auto Rental Tax	0	51	84	0	135
Taxicab Surcharge	0	50	0	0	50
Gross Consumption/Use Taxes	15,245	1,969	625	0	17,839
LGAC/STBF (Dedicated Transfers)	(7,332)	0	0	7,332	0
Consumption/Use Taxes	7,913	1,969	625	7,332	17,839
Corporation Franchise Tax	3,610	872	0	0	4,482
Corporation and Utilities Tax	537	159	14	0	710
Insurance Taxes	1,963	238	0	0	2,201
Bank Tax	60	11	0	0	71
Petroleum Business Tax	0	512	654	0	1,166
Business Taxes	6,170	1,792	668	0	8,630
Estate Tax	1,092	0	0	0	1,092
Real Estate Transfer Tax	1,231	0	0	0	1,231
Employer Compensation Expense Program	TBD	0	0	TBD	TBD
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	15	0	0	0	15
Other Taxes	3	0	0	0	3
Gross Other Taxes	2,341	0	0	0	2,341
Real Estate Transfer Tax (Dedicated)	(1,231)	0	119	1,112	0
RBTF (Dedicated Transfers)	TBD	0	0	TBD	TBD
Other Taxes	1,110	0	119	1,112	2,341
Payroll Tax	0	0	0	0	0
Total Taxes	39,752	6,178	1,412	35,420	82,762
Licenses, Fees, Etc.	692	0	0	0	692
Abandoned Property	450	0	0	0	450
Motor Vehicle Fees	285	425	794	0	1,504
ABC License Fee	66	0	0	0	66
Reimbursements	109	0	0	0	109
Investment Income	18	0	0	0	18
Extraordinary Settlements	0	0	0	0	0
Other Transactions	408	16,690	5,766	469	23,333
Miscellaneous Receipts	2,028	17,115	6,560	469	26,172
Federal Receipts	0	58,762	2,229	73	61,064
Total	41,780	82,055	10,201	35,962	169,998

CASH RECEIPTS
ALL GOVERNMENTAL FUNDS
FY 2021
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	Total
Taxes:					
Withholdings	44,024	0	0	0	44,024
Estimated Payments	17,802	0	0	0	17,802
Final Payments	2,908	0	0	0	2,908
Other Payments	1,601	0	0	0	1,601
Gross Collections	66,335	0	0	0	66,335
State/City Offset	(824)	0	0	0	(824)
Refunds	(10,501)	0	0	0	(10,501)
Reported Tax Collections	55,010	0	0	0	55,010
STAR (Dedicated Deposits)	(2,402)	2,402	0	0	0
RBTF (Dedicated Transfers)	(27,505)	0	0	27,505	0
Personal Income Tax	25,103	2,402	0	27,505	55,010
Sales and Use Tax	15,224	1,039	0	0	16,263
Cigarette and Tobacco Taxes	304	716	0	0	1,020
Motor Fuel Tax	0	105	399	0	504
Alcoholic Beverage Taxes	269	0	0	0	269
Opioid Epidemic Surcharge	0	0	0	0	0
Medical Marihuana Excise Tax	0	2	0	0	2
Highway Use Tax	0	2	141	0	143
Auto Rental Tax	0	52	87	0	139
Taxicab Surcharge	0	50	0	0	50
Gross Consumption/Use Taxes	15,797	1,966	627	0	18,390
LGAC/STBF (Dedicated Transfers)	(7,612)	0	0	7,612	0
Consumption/Use Taxes	8,185	1,966	627	7,612	18,390
Corporation Franchise Tax	3,828	908	0	0	4,736
Corporation and Utilities Tax	541	163	14	0	718
Insurance Taxes	2,101	253	0	0	2,354
Bank Tax	0	0	0	0	0
Petroleum Business Tax	0	509	649	0	1,158
Business Taxes	6,470	1,833	663	0	8,966
Estate Tax	1,155	0	0	0	1,155
Real Estate Transfer Tax	1,267	0	0	0	1,267
Employer Compensation Expense Program	TBD	0	0	TBD	TBD
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	15	0	0	0	15
Other Taxes	3	0	0	0	3
Gross Other Taxes	2,440	0	0	0	2,440
Real Estate Transfer Tax (Dedicated)	(1,267)	0	119	1,148	0
RBTF (Dedicated Transfers)	TBD	0	0	TBD	TBD
Other Taxes	1,173	0	119	1,148	2,440
Payroll Tax	0	0	0	0	0
Total Taxes	40,931	6,201	1,409	36,265	84,806
Licenses, Fees, Etc.	676	0	0	0	676
Abandoned Property	450	0	0	0	450
Motor Vehicle Fees	280	424	794	0	1,498
ABC License Fee	62	0	0	0	62
Reimbursements	107	0	0	0	107
Investment Income	8	0	0	0	8
Extraordinary Settlements	0	0	0	0	0
Other Transactions	418	15,950	5,367	469	22,204
Miscellaneous Receipts	2,001	16,374	6,161	469	25,005
Federal Receipts	0	60,152	2,187	73	62,412
Total	42,932	82,727	9,757	36,807	172,223

CASH RECEIPTS
ALL GOVERNMENTAL FUNDS
FY 2022
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	Total
Taxes:					
Withholdings	46,144	0	0	0	46,144
Estimated Payments	18,960	0	0	0	18,960
Final Payments	3,032	0	0	0	3,032
Other Payments	1,671	0	0	0	1,671
Gross Collections	69,807	0	0	0	69,807
State/City Offset	(849)	0	0	0	(849)
Refunds	(11,502)	0	0	0	(11,502)
Reported Tax Collections	57,456	0	0	0	57,456
STAR (Dedicated Deposits)	(2,402)	2,402	0	0	0
RBTF (Dedicated Transfers)	(28,728)	0	0	28,728	0
Personal Income Tax	26,326	2,402	0	28,728	57,456
Sales and Use Tax	15,796	1,075	0	0	16,871
Cigarette and Tobacco Taxes	294	683	0	0	977
Motor Fuel Tax	0	105	396	0	501
Alcoholic Beverage Taxes	272	0	0	0	272
Opioid Epidemic Surcharge	0	0	0	0	0
Medical Marihuana Excise Tax	0	2	0	0	2
Highway Use Tax	0	3	142	0	145
Auto Rental Tax	0	54	90	0	144
Taxicab Surcharge	0	50	0	0	50
Gross Consumption/Use Taxes	16,362	1,972	628	0	18,962
LGAC/STBF (Dedicated Transfers)	(7,898)	0	0	7,898	0
Consumption/Use Taxes	8,464	1,972	628	7,898	18,962
Corporation Franchise Tax	3,828	952	0	0	4,780
Corporation and Utilities Tax	547	166	14	0	727
Insurance Taxes	2,202	265	0	0	2,467
Bank Tax	0	0	0	0	0
Petroleum Business Tax	0	505	644	0	1,149
Business Taxes	6,577	1,888	658	0	9,123
Estate Tax	1,220	0	0	0	1,220
Real Estate Transfer Tax	1,305	0	0	0	1,305
Employer Compensation Expense Program	TBD	0	0	TBD	TBD
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	15	0	0	0	15
Other Taxes	3	0	0	0	3
Gross Other Taxes	2,543	0	0	0	2,543
Real Estate Transfer Tax (Dedicated)	(1,305)	0	119	1,186	0
RBTF (Dedicated Transfers)	TBD	0	0	TBD	TBD
Other Taxes	1,238	0	119	1,186	2,543
Payroll Tax	0	0	0	0	0
Total Taxes	42,605	6,262	1,405	37,812	88,084
Licenses, Fees, Etc.	676	0	0	0	676
Abandoned Property	450	0	0	0	450
Motor Vehicle Fees	210	418	795	0	1,423
ABC License Fee	68	0	0	0	68
Reimbursements	53	0	0	0	53
Investment Income	8	0	0	0	8
Extraordinary Settlements	0	0	0	0	0
Other Transactions	418	16,074	5,350	468	22,310
Miscellaneous Receipts	1,883	16,492	6,145	468	24,988
Federal Receipts	0	61,853	2,187	72	64,112
Total	44,488	84,607	9,737	38,352	177,184

STATE RECEIPTS
ALL GOVERNMENTAL FUNDS
(millions of dollars)

	FY 2018 Results	FY 2019 Enacted	Annual \$ Change	Annual % Change
Taxes:				
Withholdings	40,269	41,782	1,513	3.8%
Estimated Payments	17,781	16,221	(1,560)	-8.8%
Final Payments	2,478	2,599	121	4.9%
Other Payments	1,507	1,500	(7)	-0.5%
Gross Collections	62,035	62,102	67	0.1%
State/City Offset	(856)	(973)	(117)	-13.7%
Refunds	(9,678)	(10,719)	(1,041)	-10.8%
Reported Tax Collections	51,501	50,410	(1,091)	-2.1%
STAR (Dedicated Deposits)	0	0	0	0.0%
RBTF (Dedicated Transfers)	0	0	0	0.0%
Personal Income Tax	51,501	50,410	(1,091)	-2.1%
Sales and Use Tax	14,495	15,086	591	4.1%
Cigarette and Tobacco Taxes	1,171	1,119	(52)	-4.4%
Motor Fuel Tax	512	512	0	0.0%
Alcoholic Beverage Taxes	259	262	3	1.2%
Opioid Epidemic Surcharge	0	0	0	0.0%
Medical Marihuana Excise Tax	2	2	0	0.0%
Highway Use Tax	93	142	49	52.7%
Auto Rental Tax	123	130	7	5.7%
Taxicab Surcharge	56	50	(6)	-10.7%
Gross Consumption/Use Taxes	16,711	17,303	592	3.5%
LGAC/STBF (Dedicated Transfers)	0	0	0	0.0%
Consumption/Use Taxes	16,711	17,303	592	3.5%
Corporation Franchise Tax	3,080	4,027	947	30.7%
Corporation and Utilities Tax	748	700	(48)	-6.4%
Insurance Taxes	1,777	1,975	198	11.1%
Bank Tax	467	143	(324)	-69.4%
Petroleum Business Tax	1,092	1,136	44	4.0%
Business Taxes	7,164	7,981	817	11.4%
Estate Tax	1,308	1,033	(275)	-21.0%
Real Estate Transfer Tax	1,125	1,178	53	4.7%
Employer Compensation Expense Program	0	TBD	TBD	TBD
Gift Tax	0	0	0	0.0%
Real Property Gains Tax	0	0	0	0.0%
Pari-Mutuel Taxes	15	15	0	0.0%
Other Taxes	3	3	0	0.0%
Gross Other Taxes	2,451	2,229	(222)	-9.1%
Real Estate Transfer Tax (Dedicated)	0	0	0	0.0%
RBTF (Dedicated Transfers)	0	TBD	TBD	TBD
Other Taxes	2,451	2,229	(222)	-9.1%
Payroll Tax	1,439	0	(1,439)	-100.0%
Total Taxes	79,266	77,923	(1,343)	-1.7%
Licenses, Fees, Etc.	669	670	1	0.1%
Abandoned Property	460	450	(10)	-2.2%
Motor Vehicle Fees	1,499	1,499	0	0.0%
ABC License Fee	65	66	1	1.5%
Reimbursements	275	107	(168)	-61.1%
Investment Income	60	40	(20)	-33.3%
Extraordinary Settlements	778	108	(670)	-86.1%
Other Transactions	23,456	25,065	1,609	6.9%
Miscellaneous Receipts	27,262	28,005	743	2.7%
Federal Receipts	58,942	60,083	1,141	1.9%
Total	165,470	166,011	541	0.3%

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2017
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	<u>3,547</u>	<u>60</u>	<u>3,607</u>
Receipts:			
Taxes	8,101	0	8,101
Miscellaneous Receipts	17,487	199	17,686
Federal Receipts	(1)	52,726	52,725
Total Receipts	<u>25,587</u>	<u>52,925</u>	<u>78,512</u>
Disbursements:			
Local Assistance	19,930	48,364	68,294
State Operations:			
Personal Service	7,028	631	7,659
Non-Personal Service	3,527	1,371	4,898
General State Charges	2,172	293	2,465
Capital Projects	2	0	2
Total Disbursements	<u>32,659</u>	<u>50,659</u>	<u>83,318</u>
Other Financing Sources (Uses):			
Transfers from Other Funds	7,733	0	7,733
Transfers to Other Funds	(476)	(1,786)	(2,262)
Net Other Financing Sources (Uses)	<u>7,257</u>	<u>(1,786)</u>	<u>5,471</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>185</u>	<u>480</u>	<u>665</u>
Closing Fund Balance	<u>3,732</u>	<u>540</u>	<u>4,272</u>

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2018
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	<u>3,732</u>	<u>540</u>	<u>4,272</u>
Receipts:			
Taxes	7,639	0	7,639
Miscellaneous Receipts	17,734	199	17,933
Federal Receipts	<u>1</u>	<u>56,743</u>	<u>56,744</u>
Total Receipts	<u>25,374</u>	<u>56,942</u>	<u>82,316</u>
Disbursements:			
Local Assistance	19,532	52,594	72,126
State Operations:			
Personal Service	7,034	668	7,702
Non-Personal Service	3,517	1,369	4,886
General State Charges	2,281	322	2,603
Capital Projects	<u>0</u>	<u>0</u>	<u>0</u>
Total Disbursements	<u>32,364</u>	<u>54,953</u>	<u>87,317</u>
Other Financing Sources (Uses):			
Transfers from Other Funds	7,949	0	7,949
Transfers to Other Funds	<u>(682)</u>	<u>(2,236)</u>	<u>(2,918)</u>
Net Other Financing Sources (Uses)	<u>7,267</u>	<u>(2,236)</u>	<u>5,031</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	277	(247)	30
Closing Fund Balance	<u><u>4,009</u></u>	<u><u>293</u></u>	<u><u>4,302</u></u>

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2019
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	<u>4,009</u>	<u>293</u>	<u>4,302</u>
Receipts:			
Taxes	6,137	0	6,137
Miscellaneous Receipts	17,511	202	17,713
Federal Receipts	<u>1</u>	<u>57,575</u>	<u>57,576</u>
Total Receipts	<u>23,649</u>	<u>57,777</u>	<u>81,426</u>
Disbursements:			
Local Assistance	15,689	53,262	68,951
State Operations:			
Personal Service	4,851	649	5,500
Non-Personal Service	2,816	1,439	4,255
General State Charges	989	323	1,312
Capital Projects	<u>0</u>	<u>0</u>	<u>0</u>
Total Disbursements	<u>24,345</u>	<u>55,673</u>	<u>80,018</u>
Other Financing Sources (Uses):			
Transfers from Other Funds	2,161	12	2,173
Transfers to Other Funds	<u>(1,232)</u>	<u>(2,124)</u>	<u>(3,356)</u>
Net Other Financing Sources (Uses)	<u>929</u>	<u>(2,112)</u>	<u>(1,183)</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	233	(8)	225
Closing Fund Balance	<u>4,242</u>	<u>285</u>	<u>4,527</u>

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2020
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	4,242	285	4,527
Receipts:			
Taxes	6,178	0	6,178
Miscellaneous Receipts	16,956	159	17,115
Federal Receipts	1	58,761	58,762
Total Receipts	23,135	58,920	82,055
Disbursements:			
Local Assistance	15,584	54,025	69,609
State Operations:			
Personal Service	4,949	653	5,602
Non-Personal Service	2,732	1,481	4,213
General State Charges	1,026	328	1,354
Capital Projects	0	0	0
Total Disbursements	24,291	56,487	80,778
Other Financing Sources (Uses):			
Transfers from Other Funds	2,142	12	2,154
Transfers to Other Funds	(922)	(1,776)	(2,698)
Net Other Financing Sources (Uses)	1,220	(1,764)	(544)
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	64	669	733
Closing Fund Balance	4,306	954	5,260

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2021
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	4,306	954	5,260
Receipts:			
Taxes	6,201	0	6,201
Miscellaneous Receipts	16,213	161	16,374
Federal Receipts	1	60,151	60,152
Total Receipts	22,415	60,312	82,727
Disbursements:			
Local Assistance	15,276	54,919	70,195
State Operations:			
Personal Service	5,134	679	5,813
Non-Personal Service	2,750	1,448	4,198
General State Charges	1,095	339	1,434
Capital Projects	0	0	0
Total Disbursements	24,255	57,385	81,640
Other Financing Sources (Uses):			
Transfers from Other Funds	2,218	12	2,230
Transfers to Other Funds	(487)	(1,746)	(2,233)
Net Other Financing Sources (Uses)	1,731	(1,734)	(3)
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(109)	1,193	1,084
Closing Fund Balance	4,197	2,147	6,344

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2022
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	<u>4,197</u>	<u>2,147</u>	<u>6,344</u>
Receipts:			
Taxes	6,262	0	6,262
Miscellaneous Receipts	16,331	161	16,492
Federal Receipts	<u>1</u>	<u>61,852</u>	<u>61,853</u>
Total Receipts	<u>22,594</u>	<u>62,013</u>	<u>84,607</u>
Disbursements:			
Local Assistance	15,399	56,113	71,512
State Operations:			
Personal Service	5,102	681	5,783
Non-Personal Service	2,797	1,431	4,228
General State Charges	1,109	371	1,480
Capital Projects	<u>0</u>	<u>0</u>	<u>0</u>
Total Disbursements	<u>24,407</u>	<u>58,596</u>	<u>83,003</u>
Other Financing Sources (Uses):			
Transfers from Other Funds	2,348	12	2,360
Transfers to Other Funds	<u>(445)</u>	<u>(1,713)</u>	<u>(2,158)</u>
Net Other Financing Sources (Uses)	<u>1,903</u>	<u>(1,701)</u>	<u>202</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>90</u>	<u>1,716</u>	<u>1,806</u>
Closing Fund Balance	<u>4,287</u>	<u>3,863</u>	<u>8,150</u>

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
(millions of dollars)**

	FY 2018 Results	FY 2019 Enacted	Annual \$ Change	Annual % Change
Opening Fund Balance	4,272	4,302	30	0.7%
Receipts:				
Taxes	7,639	6,137	(1,502)	-19.7%
Miscellaneous Receipts	17,933	17,713	(220)	-1.2%
Federal Receipts	56,744	57,576	832	1.5%
Total Receipts	82,316	81,426	(890)	-1.1%
Disbursements:				
Local Assistance	72,126	68,951	(3,175)	-4.4%
State Operations:				
Personal Service	7,702	5,500	(2,202)	-28.6%
Non-Personal Service	4,886	4,255	(631)	-12.9%
General State Charges	2,603	1,312	(1,291)	-49.6%
Debt Service	0	0	0	0.0%
Capital Projects	0	0	0	0.0%
Total Disbursements	87,317	80,018	(7,299)	-8.4%
Other Financing Sources (Uses):				
Transfers from Other Funds	7,949	2,173	(5,776)	-72.7%
Transfers to Other Funds	(2,918)	(3,356)	(438)	-15.0%
Net Other Financing Sources (Uses)	5,031	(1,183)	(6,214)	-123.5%
Excess (Deficiency) of Receipts and Other	30	225	195	650.0%
Closing Fund Balance	4,302	4,527	225	5.2%

**CASH RECEIPTS
SPECIAL REVENUE FUNDS
(millions of dollars)**

	<u>FY 2019</u> <u>Enacted</u>	<u>FY 2020</u> <u>Projected</u>	<u>FY 2021</u> <u>Projected</u>	<u>FY 2022</u> <u>Projected</u>
Personal Income Tax	<u>2,459</u>	<u>2,417</u>	<u>2,402</u>	<u>2,402</u>
Consumption/Use Taxes	<u>1,974</u>	<u>1,969</u>	<u>1,966</u>	<u>1,972</u>
Sales and Use Tax	972	1,006	1,039	1,075
Cigarette and Tobacco Taxes	791	752	716	683
Motor Fuel Tax	107	106	105	105
Opioid Epidemic Surcharge	0	0	0	0
Highway Use Tax	3	2	2	3
Medical Marihuana Excise Tax	2	2	2	2
Auto Rental Tax	49	51	52	54
Taxicab Surcharge	50	50	50	50
Business Taxes	<u>1,704</u>	<u>1,792</u>	<u>1,833</u>	<u>1,888</u>
Corporation Franchise Tax	815	872	908	952
Corporation and Utilities Tax	156	159	163	166
Insurance Taxes	213	238	253	265
Bank Tax	21	11	0	0
Petroleum Business Tax	499	512	509	505
Payroll Tax	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Total Taxes	<u>6,137</u>	<u>6,178</u>	<u>6,201</u>	<u>6,262</u>
Miscellaneous Receipts	<u>17,713</u>	<u>17,115</u>	<u>16,374</u>	<u>16,492</u>
HCRA	4,980	5,036	5,040	5,040
State University Income	4,761	4,926	5,037	5,154
Lottery	3,254	3,277	3,281	3,280
Medicaid	864	864	864	864
Industry Assessments	687	691	710	704
Motor Vehicle Fees	422	425	424	418
All Other	2,745	1,896	1,018	1,032
Federal Receipts	<u>57,576</u>	<u>58,762</u>	<u>60,152</u>	<u>61,853</u>
Total	<u>81,426</u>	<u>82,055</u>	<u>82,727</u>	<u>84,607</u>

**CASH RECEIPTS
SPECIAL REVENUE FUNDS
(millions of dollars)**

	FY 2018	FY 2019	Annual	Annual
	Results	Enacted	\$ Change	% Change
Personal Income Tax	2,589	2,459	(130)	-5.0%
Consumption/Use Taxes	1,985	1,974	(11)	-0.6%
Sales and Use Tax	942	972	30	3.2%
Cigarette and Tobacco Taxes	829	791	(38)	-4.6%
Motor Fuel Tax	109	107	(2)	-1.8%
Opioid Epidemic Surcharge	0	0	0	0.0%
Highway Use Tax	2	3	1	50.0%
Medical Marihuana Excise Tax	2	2	0	0.0%
Auto Rental Tax	45	49	4	8.9%
Taxicab Surcharge	56	50	(6)	-10.7%
Business Taxes	1,626	1,704	78	4.8%
Corporation Franchise Tax	754	815	61	8.1%
Corporation and Utilities Tax	164	156	(8)	-4.9%
Insurance Taxes	167	213	46	27.5%
Bank Tax	57	21	(36)	-63.2%
Petroleum Business Tax	484	499	15	3.1%
Payroll Tax	1,439	0	(1,439)	-100.0%
Total Taxes	7,639	6,137	(1,502)	-19.7%
Miscellaneous Receipts	17,933	17,713	(220)	-1.2%
HCRA	5,044	4,980	(64)	-1.3%
State University Income	4,776	4,761	(15)	-0.3%
Lottery	3,425	3,254	(171)	-5.0%
Medicaid	858	864	6	0.7%
Industry Assessments	698	687	(11)	-1.6%
Motor Vehicle Fees	414	422	8	1.9%
All Other	2,718	2,745	27	1.0%
Federal Receipts	56,744	57,576	832	1.5%
Total	82,316	81,426	(890)	-1.1%

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
FY 2017
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	(333)	(558)	(891)
Receipts:			
Taxes	1,383	0	1,383
Miscellaneous Receipts	4,635	2	4,637
Federal Receipts	5	2,603	2,608
Total Receipts	6,023	2,605	8,628
Disbursements:			
Local Assistance	2,754	850	3,604
State Operations:			
Personal Service	0	0	0
Non-Personal Service	0	0	0
General State Charges	0	0	0
Debt Service	0	0	0
Capital Projects	5,076	1,476	6,552
Total Disbursements	7,830	2,326	10,156
Other Financing Sources (Uses):			
Transfers from Other Funds	3,032	(281)	2,751
Transfers to Other Funds	(1,383)	(9)	(1,392)
Bond and Note Proceeds	0	0	0
Net Other Financing Sources (Uses)	1,649	(290)	1,359
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(158)	(11)	(169)
Closing Fund Balance	(491)	(569)	(1,060)

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
FY 2018
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	(491)	(569)	(1,060)
Receipts:			
Taxes	1,313	0	1,313
Miscellaneous Receipts	5,727	2	5,729
Federal Receipts	5	2,120	2,125
Total Receipts	7,045	2,122	9,167
Disbursements:			
Local Assistance	3,101	696	3,797
State Operations:			
Personal Service	0	0	0
Non-Personal Service	0	0	0
General State Charges	0	0	0
Debt Service	0	0	0
Capital Projects	5,684	1,159	6,843
Total Disbursements	8,785	1,855	10,640
Other Financing Sources (Uses):			
Transfers from Other Funds	2,883	(276)	2,607
Transfers to Other Funds	(1,380)	(5)	(1,385)
Bond and Note Proceeds	160	0	160
Net Other Financing Sources (Uses)	1,663	(281)	1,382
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(77)	(14)	(91)
Closing Fund Balance	(568)	(583)	(1,151)

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
FY 2019
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	(568)	(583)	(1,151)
Receipts:			
Taxes	1,395	0	1,395
Miscellaneous Receipts	7,667	0	7,667
Federal Receipts	5	2,428	2,433
Total Receipts	9,067	2,428	11,495
Disbursements:			
Local Assistance	4,907	706	5,613
State Operations:			
Personal Service	0	0	0
Non-Personal Service	0	0	0
General State Charges	0	0	0
Debt Service	0	0	0
Capital Projects	7,546	1,315	8,861
Total Disbursements	12,453	2,021	14,474
Other Financing Sources (Uses):			
Transfers from Other Funds	4,003	(380)	3,623
Transfers to Other Funds	(1,354)	(12)	(1,366)
Bond and Note Proceeds	711	0	711
Net Other Financing Sources (Uses)	3,360	(392)	2,968
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(26)	15	(11)
Closing Fund Balance	(594)	(568)	(1,162)

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
FY 2020
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	(594)	(568)	(1,162)
Receipts:			
Taxes	1,412	0	1,412
Miscellaneous Receipts	6,560	0	6,560
Federal Receipts	5	2,224	2,229
Total Receipts	7,977	2,224	10,201
Disbursements:			
Local Assistance	4,378	706	5,084
State Operations:			
Personal Service	0	0	0
Non-Personal Service	0	0	0
General State Charges	0	0	0
Debt Service	0	0	0
Capital Projects	7,001	1,095	8,096
Total Disbursements	11,379	1,801	13,180
Other Financing Sources (Uses):			
Transfers from Other Funds	4,269	(395)	3,874
Transfers to Other Funds	(1,625)	(11)	(1,636)
Bond and Note Proceeds	706	0	706
Net Other Financing Sources (Uses)	3,350	(406)	2,944
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(52)	17	(35)
Closing Fund Balance	(646)	(551)	(1,197)

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
FY 2021
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	(646)	(551)	(1,197)
Receipts:			
Taxes	1,409	0	1,409
Miscellaneous Receipts	6,161	0	6,161
Federal Receipts	5	2,182	2,187
Total Receipts	7,575	2,182	9,757
Disbursements:			
Local Assistance	3,637	706	4,343
State Operations:			
Personal Service	0	0	0
Non-Personal Service	0	0	0
General State Charges	0	0	0
Debt Service	0	0	0
Capital Projects	6,581	1,047	7,628
Total Disbursements	10,218	1,753	11,971
Other Financing Sources (Uses):			
Transfers from Other Funds	3,935	(402)	3,533
Transfers to Other Funds	(1,594)	(12)	(1,606)
Bond and Note Proceeds	264	0	264
Net Other Financing Sources (Uses)	2,605	(414)	2,191
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(38)	15	(23)
Closing Fund Balance	(684)	(536)	(1,220)

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
FY 2022
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	(684)	(536)	(1,220)
Receipts:			
Taxes	1,405	0	1,405
Miscellaneous Receipts	6,145	0	6,145
Federal Receipts	5	2,182	2,187
Total Receipts	7,555	2,182	9,737
Disbursements:			
Local Assistance	3,480	706	4,186
State Operations:			
Personal Service	0	0	0
Non-Personal Service	0	0	0
General State Charges	0	0	0
Debt Service	0	0	0
Capital Projects	6,400	1,047	7,447
Total Disbursements	9,880	1,753	11,633
Other Financing Sources (Uses):			
Transfers from Other Funds	3,539	(402)	3,137
Transfers to Other Funds	(1,471)	(11)	(1,482)
Bond and Note Proceeds	238	0	238
Net Other Financing Sources (Uses)	2,306	(413)	1,893
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(19)	16	(3)
Closing Fund Balance	(703)	(520)	(1,223)

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
(millions of dollars)**

	<u>FY 2018 Results</u>	<u>FY 2019 Enacted</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
Opening Fund Balance	(1,060)	(1,151)	(91)	-8.6%
Receipts:				
Taxes	1,313	1,395	82	6.2%
Miscellaneous Receipts	5,729	7,667	1,938	33.8%
Federal Receipts	2,125	2,433	308	14.5%
Total Receipts	9,167	11,495	2,328	25.4%
Disbursements:				
Local Assistance	3,797	5,613	1,816	47.8%
State Operations:				
Personal Service	0	0	0	0.0%
Non-Personal Service	0	0	0	0.0%
General State Charges	0	0	0	0.0%
Debt Service	0	0	0	0.0%
Capital Projects	6,843	8,861	2,018	29.5%
Total Disbursements	10,640	14,474	3,834	36.0%
Other Financing Sources (Uses):				
Transfers From Other Funds	2,607	3,623	1,016	39.0%
Transfers to Other Funds	(1,385)	(1,366)	19	1.4%
Bond and Note Proceeds	160	711	551	344.4%
Net Other Financing Sources (Uses)	1,382	2,968	1,586	114.8%
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(91)	(11)	80	87.9%
Closing Fund Balance	(1,151)	(1,162)	(11)	-1.0%

**CASH RECEIPTS
CAPITAL PROJECTS FUNDS
(millions of dollars)**

	FY 2019 Enacted	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
Consumption/Use Taxes	625	625	627	628
Motor Fuel Tax	405	401	399	396
Highway Use Tax	139	140	141	142
Auto Rental Tax	81	84	87	90
Business Taxes	651	668	663	658
Corporation and Utilities Tax	14	14	14	14
Petroleum Business Tax	637	654	649	644
Other Taxes	119	119	119	119
Real Estate Transfer Tax	119	119	119	119
Total Taxes	1,395	1,412	1,409	1,405
Miscellaneous Receipts	7,667	6,560	6,161	6,145
Authority Bond Proceeds	6,566	5,482	5,101	5,093
State Park Fees	137	139	123	123
Environmental Revenues	77	77	77	77
Motor Vehicle Fees	808	794	794	795
All Other	79	68	66	57
Federal Receipts	2,433	2,229	2,187	2,187
Total	11,495	10,201	9,757	9,737

**CASH RECEIPTS
CAPITAL PROJECTS FUNDS
(millions of dollars)**

	FY 2018 Results	FY 2019 Enacted	Annual \$ Change	Annual % Change
Consumption/Use Taxes	572	625	53	9.3%
Motor Fuel Tax	403	405	2	0.5%
Highway Use Tax	91	139	48	52.7%
Auto Rental Tax	78	81	3	3.8%
Business Taxes	622	651	29	4.7%
Corporation and Utilities Tax	14	14	0	0.0%
Petroleum Business Tax	608	637	29	4.8%
Other Taxes	119	119	0	0.0%
Real Estate Transfer Tax	119	119	0	0.0%
Total Taxes	1,313	1,395	82	6.2%
Miscellaneous Receipts	5,729	7,667	1,938	33.8%
Authority Bond Proceeds	4,620	6,566	1,946	42.1%
State Park Fees	137	137	0	0.0%
Environmental Revenues	77	77	0	0.0%
Motor Vehicle Fees	833	808	(25)	-3.0%
All Other	62	79	17	27.4%
Federal Receipts	2,125	2,433	308	14.5%
Total	9,167	11,495	2,328	25.4%

CASH DISBURSEMENTS BY FUNCTION
CAPITAL OFF-BUDGET SPENDING
(millions of dollars)

	<u>FY 2018</u> <u>Results</u>	<u>FY 2019</u> <u>Enacted</u>	<u>FY 2020</u> <u>Projected</u>	<u>FY 2021</u> <u>Projected</u>	<u>FY 2022</u> <u>Projected</u>
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Economic Development	8	9	5	5	5
Empire State Development Corporation	9	13	13	13	13
Functional Total	17	22	18	18	18
TRANSPORTATION					
Transportation, Department of	0	0	0	0	0
Functional Total	0	0	0	0	0
MENTAL HEALTH					
Mental Health, Office of	128	103	98	101	101
People with Developmental Disabilities, Office for	12	37	35	35	35
Alcoholism and Substance Abuse Services, Office of	4	10	9	9	8
Functional Total	144	150	142	145	144
EDUCATION					
Education School Aid	8	15	15	15	15
Functional Total	8	15	15	15	15
HIGHER EDUCATION					
City University of New York	395	395	375	376	376
State University of New York	70	90	86	86	86
Functional Total	465	485	461	462	462
ALL OTHER					
Judiciary	10	0	0	0	0
Functional Total	10	0	0	0	0
TOTAL CAPITAL OFF-BUDGET SPENDING	644	672	636	640	639

Note: This table reflects certain capital spending that is not reported in actual cash spending results, but is reported in the State's GAAP Financial Statements. This spending is related to programs which are financed directly from bond proceeds that are on deposit at various public authorities rather than from a short-term loan from the Short-Term Investment Pool or cash from the General Fund.

**CASH RECEIPTS
DEBT SERVICE FUNDS
(millions of dollars)**

	<u>FY 2019 Enacted</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>
Personal Income Tax	25,205	26,976	27,505	28,728
Consumption/Use Taxes	7,057	7,332	7,612	7,898
Sales and Use Tax	7,057	7,332	7,612	7,898
Other Taxes	1,059	1,112	1,148	1,186
Real Estate Transfer Tax	1,059	1,112	1,148	1,186
Employer Compensation Expense Program	TBD	TBD	TBD	TBD
Total Taxes	33,321	35,420	36,265	37,812
Miscellaneous Receipts	498	469	469	468
Mental Hygiene Patient Receipts	350	321	321	321
SUNY Dormitory Fees	0	0	0	0
Health Patient Receipts	144	144	144	144
All Other	4	4	4	3
Federal Receipts	74	73	73	72
Total	33,893	35,962	36,807	38,352

**CASH RECEIPTS
DEBT SERVICE FUNDS
(millions of dollars)**

	<u>FY 2018 Results</u>	<u>FY 2019 Enacted</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
Personal Income Tax	12,875	25,205	12,330	95.8%
Consumption/Use Taxes	6,777	7,057	280	4.1%
Sales and Use Tax	6,777	7,057	280	4.1%
Other Taxes	1,006	1,059	53	5.3%
Real Estate Transfer Tax	1,006	1,059	53	5.3%
Employer Compensation Expense Program	0	TBD	TBD	TBD
Total Taxes	20,658	33,321	12,663	61.3%
Miscellaneous Receipts	471	498	27	5.7%
Mental Hygiene Patient Receipts	314	350	36	11.5%
SUNY Dormitory Fees	0	0	0	0.0%
Health Patient Receipts	153	144	(9)	-5.9%
All Other	4	4	0	0.0%
Federal Receipts	73	74	1	1.4%
Total	21,202	33,893	12,691	59.9%

CASH FINANCIAL PLAN
STATE FUNDS
FY 2017
(millions of dollars)

	General Fund	State Special Revenue Funds	State Capital Projects Funds	Debt Service Funds	State Funds Total
Opening Fund Balance	<u>8,934</u>	<u>3,547</u>	<u>(333)</u>	<u>160</u>	<u>12,308</u>
Receipts:					
Taxes	45,507	8,101	1,383	19,381	74,372
Miscellaneous Receipts	3,813	17,487	4,635	458	26,393
Federal Receipts	0	(1)	5	73	77
Total Receipts	<u>49,320</u>	<u>25,587</u>	<u>6,023</u>	<u>19,912</u>	<u>100,842</u>
Disbursements:					
Local Assistance	44,439	19,930	2,754	0	67,123
State Operations:					
Personal Service	6,065	7,028	0	0	13,093
Non-Personal Service	2,022	3,527	0	38	5,587
General State Charges	5,462	2,172	0	0	7,634
Debt Service	0	0	0	5,514	5,514
Capital Projects	0	2	5,076	0	5,078
Total Disbursements	<u>57,988</u>	<u>32,659</u>	<u>7,830</u>	<u>5,552</u>	<u>104,029</u>
Other Financing Sources (Uses):					
Transfers from Other Funds	17,575	7,733	3,032	3,609	31,949
Transfers to Other Funds	(10,092)	(476)	(1,383)	(17,985)	(29,936)
Bond and Note Proceeds	0	0	0	0	0
Net Other Financing Sources (Uses)	<u>7,483</u>	<u>7,257</u>	<u>1,649</u>	<u>(14,376)</u>	<u>2,013</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>(1,185)</u>	<u>185</u>	<u>(158)</u>	<u>(16)</u>	<u>(1,174)</u>
Closing Fund Balance	<u>7,749</u>	<u>3,732</u>	<u>(491)</u>	<u>144</u>	<u>11,134</u>

CASH FINANCIAL PLAN
STATE FUNDS
FY 2018
(millions of dollars)

	General Fund	State Special Revenue Funds	State Capital Projects Funds	Debt Service Funds	State Funds Total
Opening Fund Balance	7,749	3,732	(491)	144	11,134
Receipts:					
Taxes	49,656	7,639	1,313	20,658	79,266
Miscellaneous Receipts	3,129	17,734	5,727	471	27,061
Federal Receipts	0	1	5	73	79
Total Receipts	52,785	25,374	7,045	21,202	106,406
Disbursements:					
Local Assistance	46,072	19,532	3,101	0	68,705
State Operations:					
Personal Service	6,136	7,034	0	0	13,170
Non-Personal Service	2,092	3,517	0	42	5,651
General State Charges	5,572	2,281	0	0	7,853
Debt Service	0	0	0	5,873	5,873
Capital Projects	0	0	5,684	0	5,684
Total Disbursements	59,872	32,364	8,785	5,915	106,936
Other Financing Sources (Uses):					
Transfers from Other Funds	18,635	7,949	2,883	3,873	33,340
Transfers to Other Funds	(9,852)	(682)	(1,380)	(19,151)	(31,065)
Bond and Note Proceeds	0	0	160	0	160
Net Other Financing Sources (Uses)	8,783	7,267	1,663	(15,278)	2,435
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	1,696	277	(77)	9	1,905
Closing Fund Balance	9,445	4,009	(568)	153	13,039

CASH FINANCIAL PLAN
STATE FUNDS
FY 2019
(millions of dollars)

	General Fund	State Special Revenue Funds	State Capital Projects Funds	Debt Service Funds	State Funds Total
Opening Fund Balance	9,445	4,009	(568)	153	13,039
Receipts:					
Taxes	37,070	6,137	1,395	33,321	77,923
Miscellaneous Receipts	2,127	17,511	7,667	498	27,803
Federal Receipts	0	1	5	74	80
Total Receipts	39,197	23,649	9,067	33,893	105,806
Disbursements:					
Local Assistance	51,063	15,689	4,907	0	71,659
State Operations:					
Personal Service	8,691	4,851	0	0	13,542
Non-Personal Service	3,054	2,816	0	47	5,917
General State Charges	7,553	989	0	0	8,542
Debt Service	0	0	0	5,382	5,382
Capital Projects	0	0	7,546	0	7,546
Total Disbursements	70,361	24,345	12,453	5,429	112,588
Other Financing Sources (Uses):					
Transfers from Other Funds	33,463	2,161	4,003	3,607	43,234
Transfers to Other Funds	(6,240)	(1,232)	(1,354)	(32,066)	(40,892)
Bond and Note Proceeds	0	0	711	0	711
Net Other Financing Sources (Uses)	27,223	929	3,360	(28,459)	3,053
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(3,941)	233	(26)	5	(3,729)
Closing Fund Balance	5,504	4,242	(594)	158	9,310

CASH FINANCIAL PLAN
STATE FUNDS
FY 2020
(millions of dollars)

	<u>General Fund</u>	<u>State Special Revenue Funds</u>	<u>State Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>State Funds Total</u>
Receipts:					
Taxes	39,752	6,178	1,412	35,420	82,762
Miscellaneous Receipts	2,028	16,956	6,560	469	26,013
Federal Receipts	0	1	5	73	79
Total Receipts	<u>41,780</u>	<u>23,135</u>	<u>7,977</u>	<u>35,962</u>	<u>108,854</u>
Disbursements:					
Local Assistance	53,918	15,584	4,378	0	73,880
State Operations:					
Personal Service	8,936	4,949	0	0	13,885
Non-Personal Service	3,129	2,732	0	47	5,908
General State Charges	8,098	1,026	0	0	9,124
Debt Service	0	0	0	6,966	6,966
Capital Projects	0	0	7,001	0	7,001
Total Disbursements	<u>74,081</u>	<u>24,291</u>	<u>11,379</u>	<u>7,013</u>	<u>116,764</u>
Other Financing Sources (Uses):					
Transfers from Other Funds	33,760	2,142	4,269	3,716	43,887
Transfers to Other Funds	(6,643)	(922)	(1,625)	(32,659)	(41,849)
Bond and Note Proceeds	0	0	706	0	706
Net Other Financing Sources (Uses)	<u>27,117</u>	<u>1,220</u>	<u>3,350</u>	<u>(28,943)</u>	<u>2,744</u>
Use (Reservation) of Fund Balance:					
Community Projects	9				
Extraordinary Monetary Settlements	1,148				
Total Use (Reservation) of Fund Balance	<u>1,157</u>				
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)	<u>(4,027)</u>				
Adherence to 2% Spending Benchmark	<u>3,247</u>				
Net General Fund Surplus (Deficit)	<u>(780)</u>				

CASH FINANCIAL PLAN
STATE FUNDS
FY 2021
(millions of dollars)

	<u>General Fund</u>	<u>State Special Revenue Funds</u>	<u>State Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>State Funds Total</u>
Receipts:					
Taxes	40,931	6,201	1,409	36,265	84,806
Miscellaneous Receipts	2,001	16,213	6,161	469	24,844
Federal Receipts	0	1	5	73	79
Total Receipts	<u>42,932</u>	<u>22,415</u>	<u>7,575</u>	<u>36,807</u>	<u>109,729</u>
Disbursements:					
Local Assistance	57,009	15,276	3,637	0	75,922
State Operations:					
Personal Service	9,441	5,134	0	0	14,575
Non-Personal Service	3,173	2,750	0	47	5,970
General State Charges	8,618	1,095	0	0	9,713
Debt Service	0	0	0	7,186	7,186
Capital Projects	0	0	6,581	0	6,581
Total Disbursements	<u>78,241</u>	<u>24,255</u>	<u>10,218</u>	<u>7,233</u>	<u>119,947</u>
Other Financing Sources (Uses):					
Transfers from Other Funds	34,012	2,218	3,935	3,680	43,845
Transfers to Other Funds	(6,512)	(487)	(1,594)	(33,245)	(41,838)
Bond and Note Proceeds	0	0	264	0	264
Net Other Financing Sources (Uses)	<u>27,500</u>	<u>1,731</u>	<u>2,605</u>	<u>(29,565)</u>	<u>2,271</u>
Use (Reservation) of Fund Balance:					
Community Projects	8				
Extraordinary Monetary Settlements	855				
Total Use (Reservation) of Fund Balance	<u>863</u>				
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)	<u>(6,946)</u>				
Adherence to 2% Spending Benchmark	<u>5,548</u>				
Net General Fund Surplus (Deficit)	<u>(1,398)</u>				

CASH FINANCIAL PLAN
STATE FUNDS
FY 2022
(millions of dollars)

	<u>General</u> <u>Fund</u>	<u>State Special</u> <u>Revenue</u> <u>Funds</u>	<u>State Capital</u> <u>Projects</u> <u>Funds</u>	<u>Debt</u> <u>Service</u> <u>Funds</u>	<u>State</u> <u>Funds</u> <u>Total</u>
Receipts:					
Taxes	42,605	6,262	1,405	37,812	88,084
Miscellaneous Receipts	1,883	16,331	6,145	468	24,827
Federal Receipts	0	1	5	72	78
Total Receipts	44,488	22,594	7,555	38,352	112,989
Disbursements:					
Local Assistance	59,472	15,399	3,480	0	78,351
State Operations:					
Personal Service	9,374	5,102	0	0	14,476
Non-Personal Service	3,205	2,797	0	47	6,049
General State Charges	9,140	1,109	0	0	10,249
Debt Service	0	0	0	7,090	7,090
Capital Projects	0	0	6,400	0	6,400
Total Disbursements	81,191	24,407	9,880	7,137	122,615
Other Financing Sources (Uses):					
Transfers from Other Funds	35,258	2,348	3,539	3,361	44,506
Transfers to Other Funds	(6,117)	(445)	(1,471)	(34,506)	(42,539)
Bond and Note Proceeds	0	0	238	0	238
Net Other Financing Sources (Uses)	29,141	1,903	2,306	(31,145)	2,205
Use (Reservation) of Fund Balance:					
Extraordinary Monetary Settlements	605				
Total Use (Reservation) of Fund Balance	605				
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)	(6,957)				
Adherence to 2% Spending Benchmark	6,470				
Net General Fund Surplus (Deficit)	(487)				

CASH FINANCIAL PLAN
STATE FUNDS
(millions of dollars)

	<u>FY 2018</u> <u>Results</u>	<u>FY 2019</u> <u>Enacted</u>	<u>Annual</u> <u>\$ Change</u>	<u>Annual</u> <u>% Change</u>
Opening Fund Balance	11,134	13,039	1,905	17.1%
Receipts:				
Taxes	79,266	77,923	(1,343)	-1.7%
Miscellaneous Receipts	27,061	27,803	742	2.7%
Federal Receipts	79	80	1	1.3%
Total Receipts	106,406	105,806	(600)	-0.6%
Disbursements:				
Local Assistance	68,705	71,659	2,954	4.3%
State Operations:				
Personal Service	13,170	13,542	372	2.8%
Non-Personal Service	5,651	5,917	266	4.7%
General State Charges	7,853	8,542	689	8.8%
Debt Service	5,873	5,382	(491)	-8.4%
Capital Projects	5,684	7,546	1,862	32.8%
Total Disbursements	106,936	112,588	5,652	5.3%
Other Financing Sources (Uses):				
Transfers from Other Funds	33,340	43,234	9,894	29.7%
Transfers to Other Funds	(31,065)	(40,892)	(9,827)	-31.6%
Bond and Note Proceeds	160	711	551	344.4%
Net Other Financing Sources (Uses)	2,435	3,053	618	25.4%
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	1,905	(3,729)	(5,634)	-295.7%
Closing Fund Balance	13,039	9,310	(3,729)	-28.6%

**CASHFLOW
GENERAL FUND
FY 2017
(dollars in millions)**

	2016 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2017 January Results	February Results	March Results	Total
OPENING BALANCE	8,934	10,893	7,751	7,210	6,765	6,229	9,566	8,923	7,532	9,054	11,085	12,026	8,934
RECEIPTS:													
Personal Income Tax	4,787	1,639	3,123	1,921	2,205	3,420	1,895	1,560	3,457	3,452	2,620	2,456	32,535
Consumption/Use Taxes	547	523	711	569	537	713	549	552	687	594	474	645	7,101
Business Taxes	158	84	871	71	42	1,079	409	(116)	1,045	(8)	(301)	1,427	4,761
Other Taxes	75	108	79	74	125	88	100	94	158	64	59	86	1,110
Total Taxes	5,567	2,354	4,784	2,635	2,909	5,300	2,953	2,090	5,347	4,102	2,852	4,614	45,507
Abandoned Property	0	0	0	0	0	38	29	159	49	0	0	163	438
ABC License Fee	6	5	4	4	5	5	5	4	4	6	6	7	61
Investment Income	2	2	2	1	1	1	1	2	1	1	3	7	24
Licenses, Fees, etc.	22	70	54	49	62	33	52	53	65	69	28	87	644
Motor Vehicle Fees	17	16	26	(4)	30	6	(50)	69	17	8	10	29	174
Reimbursements	6	16	36	8	7	49	4	28	28	3	21	40	246
Extraordinary Settlements	0	127	0	0	180	0	0	275	235	19	425	(6)	1,255
Other Transactions	16	277	54	29	36	149	56	29	110	33	28	154	971
Total Miscellaneous Receipts	69	513	176	87	321	281	97	619	509	139	521	481	3,813
Federal Receipts	0	0	0	0	0	0	0	0	0	0	0	0	0
PIT in Excess of Revenue Bond Debt Service	1,594	531	1,181	469	270	1,430	633	246	1,187	582	602	1,550	10,275
Tax in Excess of LGAC	247	111	453	254	203	327	250	248	317	268	4	188	2,870
Sales Tax Bond Fund	196	184	274	201	189	295	191	205	260	211	162	304	2,672
Real Estate Taxes in Excess of CW/CA Debt Service	74	74	91	96	85	83	79	77	71	78	75	57	940
All Other	5	39	23	6	0	44	21	43	4	87	80	496	818
Total Transfers from Other Funds	2,116	939	2,022	1,026	747	2,179	1,174	819	1,839	1,226	893	2,595	17,575
TOTAL RECEIPTS	7,752	3,806	6,982	3,748	3,977	7,760	4,224	3,528	7,695	5,467	4,266	7,690	66,895
DISBURSEMENTS:													
School Aid	754	2,900	1,898	48	645	1,620	911	1,421	1,782	585	625	7,828	21,017
Higher Education	19	25	648	218	124	166	357	42	151	51	107	966	2,874
All Other Education	52	118	514	146	238	146	113	195	79	33	212	418	2,186
Medicaid - DOH	998	1,267	1,441	1,087	1,288	1,216	1,020	1,367	1,223	822	405	313	12,447
Public Health	20	207	52	57	39	36	41	65	41	96	34	42	730
Mental Hygiene	3	1	202	1	4	199	12	2	242	(1)	139	83	887
Children and Families	27	33	271	194	69	88	65	96	315	120	178	256	1,712
Temporary & Disability Assistance	95	94	156	93	97	110	96	93	91	94	95	106	1,220
Transportation	0	23	11	0	24	0	0	24	10	0	14	0	106
Unrestricted Aid	0	11	389	9	0	98	8	1	181	0	0	63	760
All Other	9	19	93	31	46	53	58	30	36	(64)	125	64	500
Total Local Assistance	1,977	4,698	5,675	1,884	2,574	3,654	2,681	3,336	4,151	1,736	1,934	10,139	44,439
Personal Service	475	488	609	476	490	578	462	621	484	430	451	501	6,065
Non-Personal Service	103	135	162	132	186	171	221	205	130	152	163	262	2,022
Total State Operations	578	623	771	608	676	749	683	826	614	582	614	763	8,087
General State Charges	2,440	193	391	404	365	0	500	(20)	402	350	220	217	5,462
Debt Service	245	(2)	(3)	167	(18)	(80)	104	(2)	(2)	344	(15)	186	924
Capital Projects	162	179	107	344	342	(8)	281	287	443	243	481	(292)	2,569
State Share Medicaid	95	105	162	127	89	61	80	178	94	50	129	69	1,239
SUNY Operations	213	213	213	179	0	0	0	179	0	0	0	0	996
Other Purposes	83	939	208	480	485	47	538	135	471	131	(38)	885	4,364
Total Transfers to Other Funds	798	1,434	686	1,297	898	20	1,003	777	1,006	768	557	848	10,092
TOTAL DISBURSEMENTS	5,793	6,948	7,523	4,193	4,513	4,423	4,867	4,919	6,173	3,436	3,325	11,967	68,080
Excess/(Deficiency) of Receipts over Disbursements	1,959	(3,142)	(541)	(445)	(536)	3,337	(643)	(1,391)	1,522	2,031	941	(4,277)	(1,185)
CLOSING BALANCE	10,893	7,751	7,210	6,765	6,229	9,566	8,923	7,532	9,054	11,085	12,026	7,749	7,749

**CASHFLOW
STATE OPERATING FUNDS
FY 2017
(dollars in millions)**

	2016 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2017 January Results	February Results	March Results	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	12,641	15,345	13,151	12,549	13,152	13,391	13,902	13,932	11,976	13,325	17,202	18,041		12,641
RECEIPTS:														
Personal Income Tax	6,384	2,185	4,724	2,562	2,940	4,704	2,533	2,094	4,752	7,595	3,493	3,599	0	47,565
Consumption/Use Taxes	1,230	1,143	1,566	1,250	1,189	1,550	1,219	1,213	1,504	1,301	1,042	1,381	0	15,588
Business Taxes	231	139	1,096	139	112	1,326	543	(69)	1,315	30	(273)	1,700	0	6,339
Other Taxes	282	277	270	261	273	282	273	273	341	327	284	279	0	3,897
Total Taxes	8,127	3,744	7,656	4,212	4,564	7,862	4,593	3,511	7,912	9,303	4,546	6,959	0	72,989
Abandoned Property	0	0	0	0	0	38	29	159	49	49	0	163	0	438
ABC License Fee	6	5	4	4	5	5	5	4	4	4	6	7	0	61
HCRA	366	433	440	435	394	405	418	383	466	393	408	382	0	4,923
Investment Income	2	2	2	2	1	1	1	2	1	1	3	7	0	24
Licenses, Fees, etc.	22	70	54	49	62	33	52	53	65	69	28	87	0	644
Lottery	267	280	336	278	321	249	247	305	282	221	263	331	0	3,380
Medicaid	77	72	70	71	76	69	70	66	66	70	73	68	0	850
Motor Vehicle Fees	50	54	61	34	62	39	(18)	100	46	41	40	66	0	575
Reimbursements	6	16	36	8	7	49	4	28	28	3	21	40	0	246
State University Income	283	265	249	237	439	695	424	278	273	399	757	390	0	4,689
Extraordinary Settlements	0	127	0	0	180	0	0	275	235	19	425	(6)	0	1,255
Other Transactions	279	533	423	322	316	608	253	226	559	316	226	612	0	4,673
Total Miscellaneous Receipts	1,358	1,857	1,677	1,439	1,863	2,191	1,485	1,879	2,078	1,538	2,250	2,147	0	21,758
Federal Receipts	15	1	0	1	20	1	3	(3)	(2)	2	35	(1)	0	72
TOTAL RECEIPTS	9,500	5,602	9,333	5,652	6,447	10,054	6,081	5,387	9,984	10,843	6,831	9,105	0	94,819
DISBURSEMENTS:														
School Aid	754	2,900	2,203	48	645	3,771	1,056	1,565	1,926	729	769	7,985	0	24,351
Higher Education	19	25	648	218	124	166	42	42	151	51	107	966	0	2,874
All Other Education	52	118	514	146	240	70	114	195	80	33	212	419	0	2,193
STAR	1	0	416	0	0	108	1	19	107	2,243	0	244	0	3,139
Medicaid - DOH	1,335	1,765	1,870	1,729	1,766	1,623	1,455	2,011	1,653	1,315	980	741	0	18,243
Public Health	82	235	188	113	90	95	94	103	87	166	61	201	0	1,515
Mental Hygiene	90	40	439	107	49	447	112	35	519	91	195	335	0	2,459
Children and Families	27	34	271	194	69	88	65	97	315	120	179	256	0	1,715
Temporary & Disability Assistance	95	94	156	93	97	110	96	93	91	94	95	106	0	1,220
Transportation	192	481	524	308	490	429	376	622	882	206	298	169	0	4,977
Unrestricted Aid	0	11	389	9	0	98	8	1	181	0	0	63	0	760
All Other	31	57	134	57	95	76	68	90	63	(35)	151	136	0	923
Total Local Assistance	2,678	5,760	7,752	3,022	3,665	7,081	3,802	4,873	6,055	5,013	3,047	11,621	0	64,369
Personal Service	1,026	1,016	1,314	1,004	1,036	1,211	994	1,335	1,025	994	994	1,144	0	13,093
Non-Personal Service	318	429	486	365	515	477	503	495	447	425	474	653	0	5,587
Total State Operations	1,344	1,445	1,800	1,369	1,551	1,688	1,497	1,830	1,472	1,419	1,468	1,797	0	18,680
General State Charges	2,619	431	495	430	433	417	523	472	508	438	438	430	0	7,634
Debt Service	113	163	90	25	282	790	33	74	364	26	660	2,894	0	5,514
Capital Projects	0	0	0	1	0	1	(1)	1	1	0	0	(1)	0	2
TOTAL DISBURSEMENTS	6,754	7,799	10,137	4,847	5,931	9,977	5,854	7,250	8,400	6,896	5,613	16,741	0	96,199
OTHER FINANCING SOURCES (USES):														
Transfers from other funds	3,034	2,443	2,969	2,323	1,506	2,729	2,038	1,598	2,690	2,003	1,248	4,709	(373)	28,917
Transfers to other funds	(3,076)	(2,440)	(2,767)	(2,525)	(1,783)	(2,295)	(2,235)	(1,691)	(2,925)	(2,073)	(1,627)	(3,489)	373	(28,553)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES/(USES)	(42)	3	202	(202)	(277)	434	(197)	(93)	(235)	(70)	(379)	1,220	0	364
Excess/(Deficiency) of Receipts over Disbursements	2,704	(2,194)	(602)	603	239	511	30	(1,956)	1,349	3,877	839	(6,416)	0	(1,016)
CLOSING BALANCE	15,345	13,151	12,549	13,152	13,391	13,902	13,932	11,976	13,325	17,202	18,041	11,625	0	11,625

**CASHFLOW
ALL GOVERNMENTAL FUNDS
FY 2017**
(dollars in millions)

	2016 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2017 January Results	February Results	March Results	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	11,810	14,230	12,089	12,220	12,500	12,187	13,427	13,271	10,457	12,970	16,730	16,886		11,810
RECEIPTS:														
Personal Income Tax	6,384	2,185	4,724	2,562	2,940	4,704	2,533	2,094	4,752	7,595	3,493	3,599	0	47,565
Consumption/Use Taxes	1,274	1,183	1,632	1,299	1,241	1,620	1,261	1,261	1,571	1,351	1,089	1,425	0	16,212
Business Taxes	280	182	1,150	206	164	1,382	598	(17)	1,374	134	(2,089)	1,752	0	6,979
Other Taxes	282	277	282	273	334	295	283	354	354	339	296	291	0	3,616
Total Taxes	8,220	3,827	7,788	4,340	4,679	8,001	4,707	3,621	8,051	9,419	4,652	7,067	0	74,372
Abandoned Property	0	0	0	0	0	38	29	159	49	0	0	163	0	438
ABC License Fee	6	5	4	4	5	5	5	4	4	6	6	7	0	61
HCRA	366	433	440	435	394	405	418	383	466	393	408	382	0	4,923
Investment Income	2	2	2	1	1	1	2	1	1	1	3	7	0	24
Licenses, Fees, etc.	22	70	54	49	62	33	52	53	65	69	28	87	0	644
Lottery	267	280	336	278	321	249	247	305	282	221	263	331	0	3,380
Medical	77	72	70	71	76	69	70	66	66	73	73	66	0	850
Motor Vehicle Fees	50	54	61	34	62	39	(18)	100	46	41	40	66	0	575
Reimbursements	6	16	36	8	7	49	4	28	28	3	21	40	0	246
State University Income	283	265	249	237	439	695	424	278	273	399	757	390	0	4,689
Extraordinary Settlements	0	127	0	0	180	0	0	275	235	19	425	(6)	0	1,255
Other Transactions	377	776	1,094	425	419	1,275	593	318	695	549	323	2,665	0	9,509
Total Miscellaneous Receipts	1,456	2,100	2,348	1,542	1,966	2,858	1,825	1,971	2,210	1,771	2,347	4,200	0	26,594
Federal Receipts	3,276	4,197	5,209	3,646	4,205	5,382	3,562	4,207	5,992	4,075	3,129	8,526	0	55,406
TOTAL RECEIPTS	12,952	10,124	15,345	9,528	10,850	16,241	10,094	9,799	16,253	15,265	10,128	19,793	0	156,372
DISBURSEMENTS:														
School Aid	955	3,083	2,431	174	751	3,859	1,334	1,698	2,088	877	1,470	8,531	0	27,251
Higher Education	19	25	648	218	124	166	357	42	151	51	107	966	0	2,874
All Other Education	119	218	583	195	331	111	187	235	105	74	262	467	0	2,887
STAR	1	0	416	0	0	108	1	19	107	2,243	0	244	0	3,139
Medicaid - DOH	3,835	4,615	5,070	4,636	5,024	3,789	4,064	5,643	4,725	4,498	2,940	6,709	0	55,548
Public Health	220	381	309	243	216	432	212	209	328	323	178	430	0	3,481
Mental Hygiene	120	58	457	128	472	53	136	53	535	116	217	366	0	2,721
Children and Families	87	136	324	295	237	326	138	112	348	125	187	262	0	2,577
Temporary & Disability Assistance	269	274	417	249	257	843	281	326	435	277	342	423	0	4,393
Transportation	225	524	608	385	574	663	449	661	1,166	253	504	568	0	6,580
Unrestricted Aid	0	11	389	9	0	98	8	1	181	0	0	63	0	760
All Other	181	238	327	300	499	288	288	379	513	116	472	524	0	4,125
Total Local Assistance	6,031	9,563	11,979	6,832	8,076	11,155	7,455	9,378	10,682	8,953	6,679	19,553	0	116,336
Personal Service	1,075	1,060	1,386	1,045	1,095	1,258	1,039	1,405	1,074	1,038	1,043	1,206	0	13,724
Non-Personal Service	363	523	603	444	667	656	605	606	531	564	642	754	0	6,958
Total State Operations	1,438	1,583	1,989	1,489	1,762	1,914	1,644	2,011	1,605	1,602	1,685	1,960	0	20,682
General State Charges	2,630	466	509	430	467	454	527	502	548	462	458	474	0	7,927
Debt Service	113	163	90	25	282	790	33	74	364	26	660	2,894	0	5,514
Capital Projects	313	486	647	471	561	691	586	646	540	465	485	664	0	6,555
TOTAL DISBURSEMENTS	10,525	12,261	15,214	9,247	11,148	15,004	10,245	12,611	13,739	11,508	9,967	25,545	0	157,014
OTHER FINANCING SOURCES (USES):														
Transfers from other funds	3,195	2,627	3,108	2,686	1,859	2,729	2,325	1,898	3,145	2,259	1,749	4,461	(373)	31,668
Transfers to other funds	(3,202)	(2,631)	(3,108)	(2,687)	(1,874)	(2,726)	(2,330)	(1,900)	(3,146)	(2,256)	(1,754)	(4,490)	373	(31,731)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES (USES)	(7)	(4)	0	(1)	(15)	3	(5)	(2)	(1)	3	(5)	(29)	0	(63)
Excess/(Deficiency) of Receipts over Disbursements	2,420	(2,141)	131	280	(313)	1,240	(156)	(2,814)	2,513	3,760	156	(5,781)	0	(705)
CLOSING BALANCE	14,230	12,089	12,220	12,500	12,187	13,427	13,271	10,457	12,970	16,730	16,886	11,105	0	11,105

**CASHFLOW
GENERAL FUND
FY 2018
(dollars in millions)**

	2018												
	April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	January Results	February Results	March Results	Total
OPENING BALANCE	7,749	7,405	3,140	3,014	3,774	3,883	6,523	6,363	4,509	10,145	14,864	15,318	7,749
RECEIPTS:													
Personal Income Tax	3,751	1,576	3,431	1,987	2,292	3,536	2,016	1,782	4,991	6,008	2,435	2,232	36,037
Consumption/Use Taxes	522	539	731	582	567	737	570	603	743	619	508	656	7,377
Business Taxes	421	114	770	55	376	782	(46)	18	1,141	(136)	(83)	1,481	4,916
Other Taxes	91	114	103	66	86	214	77	73	154	174	81	93	1,326
Total Taxes	4,785	2,366	5,035	2,690	3,321	5,269	2,617	2,476	7,029	6,665	2,941	4,462	49,656
Abandoned Property	0	0	0	0	1	64	5	170	21	24	0	175	460
ABC License Fee	6	6	6	5	6	6	7	4	5	6	5	3	65
Investment Income	4	1	2	1	2	2	4	4	3	4	16	17	60
Licenses, Fees, etc.	27	73	36	51	36	77	63	36	89	61	78	42	669
Motor Vehicle Fees	36	29	31	(5)	34	7	18	16	5	15	20	46	252
Reimbursements	2	23	37	9	24	26	11	28	23	25	15	52	275
Extraordinary Settlements	0	350	0	0	0	231	0	137	0	60	0	0	778
Other Transactions	20	31	52	51	24	106	45	36	82	27	23	73	570
Total Miscellaneous Receipts	95	513	164	112	127	519	153	431	228	222	157	408	3,129
Federal Receipts	0	0	0	0	0	0	0	0	0	0	0	0	0
PIT in Excess of Revenue Bond Debt Service	1,249	509	1,162	455	356	1,400	674	396	1,687	966	784	1,271	10,909
Tax in Excess of LGAC	234	54	508	263	226	341	260	274	346	280	6	306	3,098
Sales Tax Bond Fund	179	186	280	205	210	310	196	211	282	216	171	317	2,763
Real Estate Taxes in Excess of CW/CA Debt Service	81	95	89	84	86	93	65	80	62	78	77	54	944
All Other	10	2	3	6	5	94	12	40	94	82	111	462	921
Total Transfers from Other Funds	1,753	846	2,042	1,013	883	2,238	1,207	1,001	2,471	1,622	1,149	2,410	18,635
TOTAL RECEIPTS	6,633	3,725	7,241	3,815	4,331	8,026	3,977	3,908	9,728	8,509	4,247	7,280	71,420
DISBURSEMENTS:													
School Aid	868	3,358	1,878	38	570	1,753	801	1,563	1,845	540	651	8,150	22,015
Higher Education	19	37	954	221	128	181	93	37	188	27	36	912	2,833
All Other Education	95	484	75	158	56	49	61	407	83	34	117	519	2,138
Medicaid - DOH	1,376	1,408	1,370	1,165	1,248	1,150	1,220	1,416	994	1,446	767	138	13,398
Public Health	68	177	50	63	44	36	35	42	30	14	67	81	707
Mental Hygiene	2	3	184	(1)	2	216	0	0	65	116	72	88	747
Children and Families	36	101	194	71	23	134	70	73	170	45	316	372	1,605
Temporary & Disability Assistance	95	112	143	92	93	67	63	67	63	63	197	175	1,230
Transportation	0	25	14	0	25	0	0	24	11	0	13	3	115
Unrestricted Aid	0	11	388	2	0	102	7	2	182	1	1	65	761
All Other	11	16	90	30	74	31	15	50	(29)	104	37	94	523
Total Local Assistance	2,570	5,732	5,340	1,839	2,263	3,719	2,365	3,681	3,602	2,090	2,274	10,597	46,072
Personal Service	485	642	475	466	565	477	498	622	488	446	450	522	6,136
Non-Personal Service	91	226	185	142	212	179	181	182	128	222	121	223	2,092
Total State Operations	576	868	660	608	777	656	679	804	616	668	571	745	8,228
General State Charges	2,398	292	409	347	41	514	396	86	404	225	317	143	5,572
Debt Service	274	(1)	2	148	14	(87)	362	(3)	0	306	(28)	60	1,047
Capital Projects	310	268	438	(602)	495	437	(270)	370	(791)	247	360	929	2,191
State Share Medicaid	100	138	98	64	151	95	63	162	92	122	136	112	1,333
SUNY Operations	0	0	0	0	0	0	0	181	(1)	0	0	0	1,015
Other Purposes	531	475	202	470	481	52	542	481	170	132	163	567	4,266
Total Transfers to Other Funds	1,433	1,098	958	261	1,141	497	697	1,191	(530)	807	631	1,668	9,852
TOTAL DISBURSEMENTS	6,977	7,990	7,367	3,055	4,222	5,386	4,137	5,762	4,092	3,790	3,793	13,153	69,724
Excess/(Deficiency) of Receipts over Disbursements	(344)	(4,265)	(126)	760	109	2,640	(160)	(1,854)	5,636	4,719	454	(5,873)	1,696
CLOSING BALANCE	7,405	3,140	3,014	3,774	3,883	6,523	6,363	4,509	10,145	14,864	15,318	9,445	9,445

CASHFLOW
STATE OPERATING FUNDS
FY 2018
(dollars in millions)

	2017 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2018 January Results	February Results	March Results	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	11,625	12,636	8,247	8,315	10,096	10,520	10,984	11,587	9,744	14,492	21,562	22,062		11,625
RECEIPTS:														
Personal Income Tax	5,001	2,102	4,652	2,649	3,056	4,715	2,695	2,390	6,772	11,229	3,248	2,992	0	51,501
Consumption/Use Taxes	1,178	1,184	1,590	1,280	1,251	1,997	1,263	1,314	1,614	1,352	1,102	1,414	0	16,139
Business Taxes	556	198	1,556	1,300	474	977	18	99	1,417	(37)	(39)	1,754	0	6,542
Other Taxes	306	316	298	249	289	413	264	248	339	461	311	277	0	3,771
Total Taxes	7,041	3,800	7,517	4,308	5,070	7,720	4,240	4,051	10,142	13,005	4,622	6,437	0	77,953
Abandoned Property	0	0	0	0	1	64	5	170	21	24	0	175	0	460
ABC License Fee	6	6	6	5	6	6	7	4	5	6	5	3	0	65
HCRA	397	395	398	473	440	407	436	401	458	428	400	411	0	5,044
Investment Income	4	1	2	1	4	2	4	3	89	61	4	17	0	60
Licenses, Fees, etc.	27	73	36	51	77	77	63	36	89	61	4	42	0	669
Lottery	263	308	288	259	362	260	256	315	264	337	259	254	0	3,425
Medicaid	71	68	71	75	70	78	73	74	75	69	71	66	0	858
Motor Vehicle Fees	71	66	69	30	71	43	53	49	34	47	57	76	0	666
Reimbursements	2	23	37	9	24	11	28	25	23	25	15	52	0	275
State University Income	290	248	301	245	364	753	408	340	265	553	673	336	0	4,776
Extraordinary Settlements	0	350	0	0	0	231	0	137	0	60	0	0	0	778
Other Transactions	257	1,206	477	297	207	640	238	313	504	191	353	575	0	4,258
Total Miscellaneous Receipts	1,388	1,744	1,682	1,445	1,583	2,582	1,559	1,871	1,741	1,805	1,927	2,007	0	21,334
Federal Receipts	0	0	0	2	35	0	0	0	0	2	34	1	0	74
TOTAL RECEIPTS	8,429	5,544	9,199	5,755	6,688	10,302	5,799	5,922	11,883	14,812	6,583	8,445	0	99,361
DISBURSEMENTS:														
School Aid	868	3,358	2,205	38	570	3,942	946	1,708	1,990	685	796	8,351	0	25,457
Higher Education	19	37	954	221	128	181	93	37	188	27	36	912	0	2,833
All Other Education	95	484	76	160	58	50	61	407	85	34	118	519	0	2,147
STAR	0	0	58	0	0	0	1	11	92	2,414	2	11	0	2,589
Medicaid - DOH	1,765	1,939	1,717	1,486	1,915	1,669	1,611	2,028	1,464	1,627	1,422	501	0	19,144
Public Health	111	223	142	255	89	74	87	114	73	84	102	190	0	1,544
Mental Hygiene	39	124	448	106	41	417	48	53	345	218	147	364	0	2,350
Children and Families	36	102	194	71	23	134	70	74	170	45	317	372	0	1,608
Temporary & Disability Assistance	95	112	143	92	93	67	63	67	63	63	197	175	0	1,230
Transportation	245	504	434	372	496	413	362	584	862	204	319	229	0	5,024
Unrestricted Aid	0	11	388	2	0	102	7	2	182	1	1	65	0	761
All Other	42	41	110	60	114	50	66	83	(7)	128	124	106	0	917
Total Local Assistance	3,315	6,935	6,869	2,863	3,527	7,099	3,415	5,168	5,507	5,530	3,581	11,795	0	65,604
Personal Service	1,050	1,371	1,013	998	1,181	998	1,064	1,344	1,034	983	995	1,139	0	13,170
Non-Personal Service	322	500	526	364	526	460	506	474	421	557	409	586	0	5,651
Total State Operations	1,372	1,871	1,539	1,362	1,707	1,458	1,570	1,818	1,455	1,540	1,404	1,725	0	18,821
General State Charges	2,452	739	467	393	429	542	533	521	541	476	399	361	0	7,853
Debt Service	87	148	186	29	348	757	27	82	529	31	709	2,940	0	5,873
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL DISBURSEMENTS	7,226	9,693	9,061	4,647	6,011	9,856	5,545	7,589	8,032	7,577	6,093	16,821	0	98,151
OTHER FINANCING SOURCES (USES):														
Transfers from other funds	3,169	1,936	3,151	2,091	1,945	2,800	2,362	2,065	2,980	2,343	1,939	4,307	(631)	30,457
Transfers to other funds	(3,361)	(2,176)	(3,221)	(1,418)	(2,198)	(2,782)	(2,013)	(2,241)	(2,083)	(2,508)	(1,929)	(4,386)	631	(29,685)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES (USES)	(192)	(240)	(70)	673	(253)	18	349	(176)	897	(165)	10	(79)	0	772
Excess/(Deficiency) of Receipts over Disbursements	1,011	(4,389)	68	1,781	424	464	603	(1,843)	4,748	7,070	500	(8,455)	0	1,982
CLOSING BALANCE	12,636	8,247	8,315	10,096	10,520	10,984	11,587	9,744	14,492	21,562	22,062	13,607	0	13,607

**CASHFLOW
ALL GOVERNMENTAL FUNDS
FY 2018
(dollars in millions)**

	2017 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2018 January Results	February Results	March Results	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	11,105	11,516	6,484	7,680	9,317	9,495	9,851	9,874	8,187	13,854	20,138	18,809	0	11,105
RECEIPTS:														
Personal Income Tax	5,001	2,102	4,652	2,649	3,056	4,715	2,695	2,390	6,772	11,229	3,248	2,992	0	51,501
Consumption/Use Taxes	1,230	1,643	1,335	1,335	1,306	1,652	1,316	1,365	1,666	1,401	1,145	1,464	0	16,711
Business Taxes	603	244	1,032	185	524	1,053	69	151	1,469	15	16	1,804	0	7,165
Other Taxes	306	310	310	261	301	425	276	259	351	473	323	288	0	3,889
Total Taxes	7,140	3,850	7,637	4,430	5,187	7,845	4,356	4,165	10,258	13,118	4,732	6,548	0	79,266
Abandoned Property	0	0	0	0	1	64	5	170	21	24	0	175	0	460
ABC License Fee	6	6	6	5	6	6	7	4	5	6	5	3	0	65
HCRA	397	395	398	473	440	407	436	401	458	428	400	411	0	5,044
Investment Income	4	1	2	1	2	2	4	4	3	4	16	17	0	60
Licenses, Fees, etc.	27	73	36	51	36	77	63	36	89	61	78	42	0	669
Lottery	263	308	288	259	362	260	256	315	264	337	259	254	0	3,425
Medical	71	68	68	75	70	73	78	74	75	69	71	66	0	858
Motor Vehicle Fees	71	66	69	30	71	43	53	49	34	47	57	76	0	666
Reimbursements	2	23	37	9	24	26	11	28	23	25	15	52	0	275
State University Income	290	248	301	245	364	753	408	340	265	553	673	336	0	4,776
Extraordinary Settlements	0	350	0	0	0	231	0	137	0	60	0	0	0	778
Other Transactions	363	348	680	1,522	388	796	1,222	433	2,342	426	480	1,186	0	10,186
Total Miscellaneous Receipts	1,494	1,885	1,885	2,670	1,764	2,738	2,543	1,991	3,579	2,040	2,054	2,618	0	27,262
Federal Receipts	3,473	4,696	5,680	3,774	5,262	5,150	4,215	5,350	5,051	3,762	3,963	8,566	0	58,942
TOTAL RECEIPTS	12,107	10,432	15,202	10,874	12,213	15,733	11,114	11,506	18,888	18,920	10,749	17,732	0	165,470
DISBURSEMENTS:														
School Aid	1,081	3,564	2,523	239	723	4,051	1,079	1,928	2,323	894	966	8,539	0	27,910
Higher Education	19	37	954	221	128	181	93	37	188	27	36	913	0	2,834
All Other Education	146	643	235	229	142	93	128	456	119	72	164	560	0	2,987
STAR	0	0	58	0	0	0	1	11	92	2,414	2	11	0	2,589
Medical - DOH	4,786	5,844	4,698	4,462	6,008	4,698	4,806	5,680	4,774	4,856	5,853	4,141	0	60,336
Public Health	171	408	322	433	192	835	226	244	196	278	243	698	0	4,246
Mental Hygiene	58	155	469	122	56	441	82	63	362	230	170	392	0	2,600
Children and Families	127	291	303	102	166	181	162	158	182	54	375	663	0	2,764
Temporary & Disability Assistance	256	236	378	242	195	300	653	249	146	187	651	785	0	4,278
Transportation	285	559	522	402	632	512	435	627	1,154	268	394	445	0	6,235
Unrestricted Aid	0	11	388	2	0	102	7	2	182	1	1	65	0	761
All Other	376	239	287	322	477	359	352	410	328	511	183	611	0	4,455
Total Local Assistance	7,305	11,987	11,137	6,776	8,719	11,753	8,024	9,865	10,046	9,792	8,768	17,823	0	121,995
Personal Service	1,100	1,450	1,065	1,045	1,228	1,047	1,112	1,422	1,082	1,031	1,045	1,211	0	13,838
Non-Personal Service	369	619	612	427	659	647	645	596	489	693	734	734	0	7,020
Total State Operations	1,469	2,069	1,677	1,472	1,887	1,694	1,757	2,018	1,571	1,724	1,575	1,945	0	20,858
General State Charges	2,459	785	478	404	487	562	557	565	552	479	458	389	0	8,175
Debt Service	87	148	186	29	348	757	27	82	529	31	709	2,940	0	5,873
Capital Projects	350	472	525	556	577	596	719	645	518	606	564	715	0	6,843
TOTAL DISBURSEMENTS	11,670	15,461	14,003	9,237	12,018	15,362	11,084	13,175	13,216	12,632	12,074	23,812	0	163,744
OTHER FINANCING SOURCES (USES):														
Transfers from other funds	3,485	2,313	3,628	1,507	2,457	3,239	2,096	2,430	2,280	2,595	2,302	5,363	(631)	33,064
Transfers to other funds	(3,511)	(2,316)	(3,631)	(1,507)	(2,474)	(3,254)	(2,103)	(2,448)	(2,285)	(2,595)	(2,306)	(5,503)	631	(33,306)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	160	0	160
NET OTHER FINANCING SOURCES (USES)	(26)	(3)	(3)	0	(17)	(15)	(7)	(18)	(5)	(4)	(4)	20	0	(82)
Excess/(Deficiency) of Receipts over Disbursements	411	(5,032)	1,196	1,637	178	356	23	(1,687)	5,667	6,284	(1,329)	(6,060)	0	1,644
CLOSING BALANCE	11,516	6,484	7,680	9,317	9,495	9,851	9,874	8,187	13,854	20,138	18,809	17,749	0	12,749

CASHFLOW
SPECIAL REVENUE FUNDS
FY 2018
(dollars in millions)

	2017 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2018 January Results	February Results	March Results	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	4,272	4,732	3,896	5,154	5,592	5,523	4,213	4,049	3,888	3,916	3,393	1,990		4,272
RECEIPTS:														
Personal Income Tax	0	0	58	0	0	0	5	11	88	2,414	1	12	0	2,589
Consumption/Use Taxes	183	157	183	171	169	179	173	160	178	173	125	134	0	1,985
Business Taxes	135	61	207	75	98	213	64	81	276	99	44	273	0	1,626
Other Taxes	120	107	106	99	105	100	117	91	117	204	148	125	0	1,439
Total Taxes	438	325	554	345	372	492	359	343	659	2,890	318	544	0	7,639
HCRA	397	395	398	473	440	407	436	401	458	428	400	411	0	5,044
State University Income	290	248	301	245	364	753	408	340	265	553	673	336	0	4,776
Lottery	263	308	288	259	362	260	256	315	264	337	259	254	0	3,425
Medical	71	68	68	75	70	73	78	74	75	69	71	66	0	858
Motor Vehicle Fees	35	37	38	35	37	36	35	33	29	32	37	30	0	414
Other Transactions	200	181	381	218	238	448	179	261	415	130	315	450	0	3,416
Total Miscellaneous Receipts	1,256	1,237	1,474	1,305	1,511	1,977	1,392	1,424	1,506	1,549	1,755	1,547	0	17,933
Federal Receipts	3,337	4,583	5,398	3,633	5,067	5,002	4,086	5,080	4,748	3,634	3,783	8,393	0	56,744
TOTAL RECEIPTS	5,031	6,145	7,426	5,283	6,950	7,471	5,837	6,847	6,913	8,073	5,856	10,484	0	82,316
DISBURSEMENTS:														
School Aid	213	206	623	195	149	2,292	258	358	468	350	309	382	0	5,803
Higher Education	0	0	0	0	0	0	0	0	0	0	0	1	0	1
All Other Education	51	159	160	54	86	44	67	49	36	38	47	39	0	830
STAR	0	0	58	0	0	0	1	11	92	2,414	2	11	0	2,589
Medical - DOH	3,410	4,436	3,328	3,297	4,760	3,548	3,586	4,264	3,780	3,710	4,816	4,003	0	46,938
Public Health	103	222	270	360	142	790	174	198	130	228	165	601	0	3,383
Mental Hygiene	50	136	273	116	45	213	71	64	291	110	84	290	0	1,743
Children and Families	91	190	109	31	143	47	92	85	12	9	59	291	0	1,159
Temporary & Disability Assistance	161	124	235	150	86	233	590	182	67	124	454	595	0	3,001
Transportation	251	481	426	374	473	415	364	570	854	206	309	233	0	4,956
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	153	93	22	136	150	84	206	214	90	301	41	233	0	1,723
Total Local Assistance	4,483	6,047	5,504	4,713	6,034	7,666	5,409	5,295	5,820	7,490	6,286	6,679	0	72,126
Personal Service	615	808	590	579	663	570	614	800	594	585	595	689	0	7,702
Non-Personal Service	277	391	423	277	446	464	414	414	350	470	408	503	0	4,886
Total State Operations	892	1,199	1,013	856	1,109	1,034	1,077	1,214	944	1,055	1,003	1,192	0	12,588
General State Charges	61	493	69	57	446	48	161	479	148	254	141	246	0	2,603
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL DISBURSEMENTS	5,436	7,739	6,586	5,626	7,589	8,748	6,647	7,688	6,912	8,799	7,430	8,117	0	87,317
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	1,026	977	834	856	856	234	724	887	388	350	575	873	(631)	7,949
Transfers to Other Funds	(161)	(219)	(416)	(75)	(286)	(267)	(78)	(207)	(361)	(147)	(404)	(928)	631	(2,918)
NET OTHER FINANCING SOURCES/(USES)	865	758	418	781	570	(33)	646	680	27	203	171	(55)	0	5,031
Excess/(Deficiency) of Receipts over Disbursements	460	(836)	1,258	438	(69)	(1,310)	(164)	(161)	28	(523)	(1,403)	2,312	0	30
CLOSING BALANCE	4,732	3,896	5,154	5,592	5,523	4,213	4,049	3,888	3,916	3,393	1,990	4,302	0	4,302

CASHFLOW
SPECIAL REVENUE STATE FUNDS
FY 2018
(dollars in millions)

	2017 April Results	2017 May Results	2017 June Results	2017 July Results	2017 August Results	2017 September Results	2017 October Results	2017 November Results	2017 December Results	2018 January Results	2018 February Results	2018 March Results	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	3,732	4,805	4,536	4,883	5,542	5,634	4,065	4,437	4,117	3,610	3,744	4,035		3,732
RECEIPTS:														
Personal Income Tax	0	0	58	0	0	0	5	11	88	2,414	1	12	0	2,589
Consumption/Use Taxes	183	157	183	171	169	179	173	160	178	173	125	134	0	1,885
Business Taxes	135	61	207	75	98	213	64	81	276	99	44	273	0	1,626
Other Taxes	120	107	106	99	105	100	117	91	117	204	148	125	0	1,439
Total Taxes	438	325	554	345	372	492	359	343	659	2,890	318	544	0	7,639
HCRA	397	395	398	473	440	407	436	401	458	428	400	411	0	5,044
State University Income	290	248	301	245	364	208	248	301	265	553	673	336	0	4,776
Lottery	263	308	288	259	362	260	256	315	254	337	259	254	0	3,425
Medicaid	71	68	68	75	70	73	78	74	75	69	71	66	0	858
Motor Vehicle Fees	35	37	38	35	37	36	35	33	29	32	37	30	0	414
Other Transactions	187	133	369	205	213	439	166	244	405	118	298	440	0	3,217
Total Miscellaneous Receipts	1,243	1,189	1,462	1,292	1,486	1,968	1,379	1,407	1,496	1,537	1,738	1,537	0	17,734
Federal Receipts	0	0	0	0	0	0	0	0	0	0	0	1	0	1
TOTAL RECEIPTS	1,681	1,514	2,016	1,637	1,858	2,460	1,738	1,750	2,155	4,427	2,056	2,082	0	25,374
DISBURSEMENTS:														
School Aid	0	0	327	0	0	2,189	145	145	145	145	145	201	0	3,442
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	0	0	1	2	2	1	0	0	2	0	1	0	0	9
STAR	0	0	58	0	0	0	1	11	92	2,414	2	11	0	2,589
Medicaid - DOH	389	531	347	321	667	519	391	612	470	481	655	363	0	5,746
Public Health	43	46	92	192	45	38	52	72	43	70	35	109	0	837
Mental Hygiene	37	121	264	107	39	201	48	53	280	102	75	276	0	1,603
Children and Families	0	1	0	0	0	0	0	1	0	0	1	0	0	3
Temporary & Disability Assistance	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Transportation	245	479	420	372	471	413	362	560	851	204	306	226	0	4,909
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	31	25	20	30	40	19	51	33	22	24	87	12	0	394
Total Local Assistance	745	1,203	1,529	1,024	1,264	3,380	1,050	1,487	1,905	3,440	1,307	1,198	0	19,532
Personal Service	565	729	538	532	616	521	566	722	546	537	545	617	0	7,034
Non-Personal Service	230	272	337	214	323	277	324	292	282	334	287	355	0	3,517
Total State Operations	795	1,001	875	746	929	798	890	1,014	828	871	832	972	0	10,551
General State Charges	54	447	58	46	388	28	137	435	137	251	82	218	0	2,281
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL DISBURSEMENTS	1,594	2,651	2,462	1,816	2,581	4,206	2,077	2,936	2,870	4,562	2,221	2,388	0	32,364
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	1,026	977	834	856	856	234	724	887	388	350	575	873	(631)	7,949
Transfers to Other Funds	(40)	(109)	(41)	(18)	(41)	(57)	(13)	(21)	(180)	(81)	(119)	(593)	631	(682)
NET OTHER FINANCING SOURCES/(USES)	986	868	793	838	815	177	711	866	208	269	456	280	0	7,267
Excess/(Deficiency) of Receipts over Disbursements	1,073	(269)	347	659	92	(1,569)	372	(320)	(507)	134	291	(26)	0	277
CLOSING BALANCE	4,805	4,536	4,883	5,542	5,634	4,065	4,437	4,117	3,610	3,744	4,035	4,009	0	4,009

CASHFLOW
SPECIAL REVENUE FEDERAL FUNDS
FY 2018
(dollars in millions)

	2017 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2018 January Results	February Results	March Results	Total
OPENING BALANCE	540	(73)	(640)	271	50	(111)	148	(388)	(229)	306	(351)	(2,045)	540
RECEIPTS:													
Miscellaneous Receipts	13	48	12	13	25	9	13	17	10	12	17	10	199
Federal Receipts	3,337	4,583	5,398	3,633	5,067	5,002	4,086	5,080	4,748	3,634	3,783	8,392	56,743
TOTAL RECEIPTS	3,350	4,631	5,410	3,646	5,092	5,011	4,099	5,097	4,758	3,646	3,800	8,402	56,942
DISBURSEMENTS:													
School Aid	213	206	296	195	149	103	113	213	323	205	164	181	2,361
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	1
All Other Education	51	159	159	52	84	43	67	49	34	38	46	39	821
STAR	0	0	0	0	0	0	0	0	0	0	0	0	0
Medicaid - DOH	3,021	3,905	2,981	2,976	4,093	3,029	3,195	3,652	3,310	3,229	4,161	3,640	41,192
Public Health	60	176	178	168	97	752	122	126	87	158	130	492	2,546
Mental Hygiene	13	15	9	9	6	12	23	11	11	8	9	14	140
Children and Families	91	189	109	31	143	47	92	84	12	9	58	291	1,156
Temporary & Disability Assistance	161	124	235	150	86	233	590	182	67	124	454	595	3,001
Transportation	6	2	6	2	2	2	2	10	3	2	3	7	47
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	122	68	2	106	110	65	155	181	68	277	(46)	221	1,329
Total Local Assistance	3,738	4,844	3,975	3,689	4,770	4,286	4,359	4,508	3,915	4,050	4,979	5,481	53,594
Personal Service	50	79	52	47	47	49	48	78	48	48	50	72	668
Non-Personal Service	47	119	86	63	133	187	139	122	68	136	121	148	1,369
Total State Operations	97	198	138	110	180	236	187	200	116	184	171	220	2,037
General State Charges	7	46	11	11	58	20	24	44	11	3	59	28	322
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL DISBURSEMENTS	3,842	5,088	4,124	3,810	5,008	4,542	4,570	4,752	4,042	4,237	5,209	5,729	54,953
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	0	0	0	0	0	0	0	0	0	0	0	0	0
Transfers to Other Funds	(121)	(110)	(375)	(57)	(245)	(210)	(65)	(186)	(181)	(66)	(285)	(335)	(2,236)
NET OTHER FINANCING SOURCES/(USES)	(121)	(110)	(375)	(57)	(245)	(210)	(65)	(186)	(181)	(66)	(285)	(335)	(2,236)
Excess/(Deficiency) of Receipts over Disbursements	(613)	(567)	911	(221)	(161)	259	(536)	159	535	(657)	(1,694)	2,338	(247)
CLOSING BALANCE	(73)	(640)	271	50	(111)	148	(388)	(229)	306	(351)	(2,045)	293	293

**CASHFLOW
DEBT SERVICE FUNDS
FY 2018
(dollars in millions)**

	2017 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2018 January Results	February Results	March Results	Total
OPENING BALANCE	144	426	571	418	780	1,003	396	787	1,118	737	2,954	2,709	144
RECEIPTS:													
Personal Income Tax	1,250	526	1,163	662	764	1,179	674	597	1,693	2,807	812	748	12,875
Consumption/Use Taxes	473	488	676	527	515	681	520	551	693	560	469	624	6,777
Other Taxes	95	95	89	84	98	99	70	84	68	83	82	59	1,006
Total Taxes	1,818	1,109	1,928	1,273	1,377	1,959	1,264	1,232	2,454	3,450	1,363	1,431	20,658
Miscellaneous Receipts	50	42	56	41	(30)	95	27	33	17	46	32	62	471
Federal Receipts	0	0	0	2	35	0	0	0	0	2	34	0	73
TOTAL RECEIPTS	1,868	1,151	1,984	1,316	1,382	2,054	1,291	1,265	2,471	3,498	1,429	1,493	21,202
DISBURSEMENTS:													
State Operations	1	2	4	8	1	4	1	0	11	1	1	8	42
Debt Service	87	148	186	29	348	757	27	82	529	31	709	2,940	5,873
TOTAL DISBURSEMENTS	88	150	190	37	349	761	28	82	540	32	710	2,948	5,915
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	390	113	275	222	206	328	431	177	121	371	215	1,024	3,873
Transfers to Other Funds	(1,888)	(969)	(2,222)	(1,139)	(1,016)	(2,228)	(1,303)	(1,029)	(2,433)	(1,620)	(1,179)	(2,125)	(9,451)
NET OTHER FINANCING SOURCES/(USES)	(1,498)	(856)	(1,947)	(917)	(810)	(1,900)	(872)	(852)	(2,312)	(1,249)	(964)	(1,101)	(15,278)
Excess/(Deficiency) of Receipts over Disbursements	282	145	(153)	362	223	(607)	391	331	(381)	2,217	(245)	(2,556)	9
CLOSING BALANCE	426	571	418	780	1,003	396	787	1,118	737	2,954	2,709	153	153

CASHFLOW
CAPITAL PROJECTS FUNDS
FY 2018
(dollars in millions)

	2017 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2018 January Results	February Results	March Results	Total
OPENING BALANCE	(1,060)	(1,047)	(1,123)	(906)	(829)	(914)	(1,281)	(1,325)	(1,328)	(944)	(1,073)	(1,208)	(1,060)
RECEIPTS:													
Consumption/Use Taxes	52	4	53	55	55	55	53	51	52	49	43	50	572
Business Taxes	47	46	55	55	50	58	51	52	52	52	55	50	623
Other Taxes	0	0	12	12	12	12	12	11	12	12	12	11	118
Total Taxes	99	50	120	122	117	125	116	114	116	113	110	111	1,313
Miscellaneous Receipts	93	94	191	1,212	156	147	971	103	1,828	223	110	601	5,729
Federal Receipts	136	113	282	139	160	148	129	270	303	126	146	173	2,125
TOTAL RECEIPTS	328	257	593	1,473	433	420	1,216	487	2,247	462	366	885	9,167
DISBURSEMENTS:													
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	0	0	0	17	0	0	0	0	0	0	0	2	19
Public Health	0	9	2	10	6	9	17	4	36	36	11	16	156
Mental Hygiene	6	16	12	7	9	12	11	(1)	6	4	14	14	110
School Aid	0	0	22	6	4	6	20	7	10	4	6	7	92
Temporary & Disability Assistance	0	0	0	0	16	0	0	0	0	0	0	0	47
Transportation	34	53	82	28	134	97	71	33	289	62	72	209	1,164
All Other Local	212	130	175	156	253	244	131	146	267	106	105	284	2,209
Total Local Assistance	252	208	293	224	422	368	250	189	624	212	208	547	3,797
Economic Development	1	4	11	5	17	14	6	29	17	6	10	15	135
Parks & the Environment	20	34	48	34	42	48	48	48	36	44	47	58	494
Transportation	216	262	266	354	297	362	442	338	239	365	207	341	3,689
Health & Social Welfare	2	0	5	4	7	(11)	9	10	9	14	11	37	97
Mental Hygiene	17	18	34	13	25	24	18	38	19	22	20	39	287
Public Protection	19	36	51	43	31	46	35	47	37	53	50	73	521
Education	49	81	70	75	93	78	108	75	83	65	102	105	984
All Other	26	37	40	28	65	48	53	60	78	37	117	47	636
Total Capital Projects	350	472	525	556	577	596	719	645	518	606	564	715	6,843
TOTAL DISBURSEMENTS	602	680	818	780	999	964	969	834	1,142	818	772	1,262	10,640
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	316	377	477	(584)	512	439	(266)	365	(700)	252	363	1,056	2,607
Transfers to Other Funds	(29)	(30)	(35)	(32)	(31)	(262)	(25)	(21)	(21)	(25)	(92)	(782)	(1,385)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	160	160
NET OTHER FINANCING SOURCES/(USES)	287	347	442	(616)	481	177	(291)	344	(721)	227	271	434	1,382
Excess/(Deficiency) of Receipts over Disbursements	13	(76)	217	77	(85)	(367)	(44)	(3)	384	(129)	(135)	57	(91)
CLOSING BALANCE	(1,047)	(1,123)	(906)	(829)	(914)	(1,281)	(1,325)	(1,328)	(944)	(1,073)	(1,208)	(1,151)	(1,151)

**CASHFLOW
CAPITAL PROJECTS STATE FUNDS
FY 2018
(dollars in millions)**

	2017 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2018 January Results	February Results	March Results	Total
OPENING BALANCE	(491)	(502)	(557)	(470)	(406)	(471)	(815)	(788)	(921)	(526)	(659)	(772)	(491)
RECEIPTS:													
Consumption/Use Taxes	52	4	53	55	55	55	53	51	52	49	43	51	573
Business Taxes	47	46	55	55	50	58	51	52	52	52	55	49	622
Other Taxes	0	0	12	12	12	12	12	11	12	12	12	11	118
Total Taxes	99	50	120	122	117	125	116	114	116	113	110	111	1,313
Miscellaneous Receipts	92	94	191	1,212	156	147	971	103	1,827	223	110	601	5,727
Federal Receipts	0	0	0	0	0	3	0	0	0	0	0	2	5
TOTAL RECEIPTS	191	144	311	1,334	273	275	1,087	217	1,943	336	220	714	7,045
DISBURSEMENTS:													
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	0	0	0	17	0	0	0	0	0	0	0	2	19
Public Health	0	0	0	10	6	4	17	4	3	34	11	12	112
Mental Hygiene	6	16	12	7	9	12	11	(1)	6	4	14	14	110
School Aid	0	0	22	6	4	6	20	7	10	4	6	7	92
Temporary & Disability Assistance	0	0	0	0	16	0	0	0	16	0	0	0	47
Transportation	3	10	51	3	73	72	12	6	230	11	23	172	666
All Other Local	212	130	175	156	253	241	131	146	122	104	105	280	2,055
Total Local Assistance	221	165	262	199	361	335	191	162	387	157	159	502	3,101
Economic Development	1	4	11	5	17	14	6	29	17	6	10	15	135
Parks & the Environment	20	33	47	33	42	34	47	47	36	44	46	57	486
Transportation	136	175	154	257	184	232	308	229	164	301	180	264	2,584
Health & Social Welfare	2	0	4	4	6	(11)	9	9	8	13	10	35	89
Mental Hygiene	17	18	34	13	25	24	18	38	19	22	20	39	287
Public Protection	17	34	49	40	29	42	32	45	35	51	46	70	490
Education	49	81	70	75	93	78	108	75	83	65	102	105	984
All Other	26	36	40	28	62	48	57	60	78	37	117	47	629
Total Capital Projects	268	381	409	455	458	461	578	532	440	539	531	632	5,684
TOTAL DISBURSEMENTS	489	546	671	654	819	796	769	694	827	696	690	1,134	8,785
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	316	377	477	(584)	513	438	(266)	365	(700)	252	450	1,245	2,883
Transfers to Other Funds	(29)	(30)	(30)	(32)	(32)	(261)	(25)	(21)	(21)	(25)	(93)	(781)	(1,380)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0	160
NET OTHER FINANCING SOURCES/(USES)	287	347	447	(616)	481	177	(291)	344	(721)	227	357	624	1,663
Excess/(Deficiency) of Receipts over Disbursements	(11)	(55)	87	64	(65)	(344)	27	(133)	395	(133)	(113)	204	(77)
CLOSING BALANCE	(502)	(557)	(470)	(406)	(471)	(815)	(788)	(921)	(526)	(659)	(772)	(568)	(568)

**CASHFLOW
CAPITAL PROJECTS FEDERAL FUNDS
FY 2018
(dollars in millions)**

	2017 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2018 January Results	February Results	March Results	Total
OPENING BALANCE	(569)	(545)	(566)	(436)	(423)	(443)	(466)	(537)	(407)	(418)	(414)	(437)	(569)
RECEIPTS:													
Consumption/Use Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Business Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Other Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	1	0	0	0	0	0	0	0	1	0	0	0	2
Federal Receipts	136	113	282	139	160	145	129	270	303	126	146	171	2,120
TOTAL RECEIPTS	137	113	282	139	160	145	129	270	304	126	146	171	2,122
DISBURSEMENTS:													
Public Health	0	0	0	0	0	5	0	0	0	2	0	3	43
Transportation	31	43	31	25	61	25	59	27	59	51	49	38	499
All Other Local	0	0	0	0	0	3	0	0	145	2	0	4	154
Total Local Assistance	31	43	31	25	61	33	59	27	237	55	49	45	696
Economic Development	0	0	0	0	0	0	0	0	0	0	0	0	0
Parks & the Environment	0	1	1	1	0	1	1	1	0	0	0	0	8
Transportation	80	87	112	97	113	130	134	109	75	64	27	77	1,105
Health & Social Welfare	0	0	1	0	1	0	0	1	1	1	1	2	8
Mental Hygiene	0	0	0	0	0	0	0	0	0	0	0	0	0
Public Protection	2	2	2	3	2	4	3	2	2	2	4	3	31
Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	0	1	0	0	3	0	3	0	0	0	0	0	7
Total Capital Projects	82	91	116	101	119	135	141	113	78	67	33	83	1,159
TOTAL DISBURSEMENTS	113	134	147	126	180	168	200	140	315	122	82	128	1,855
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	0	0	0	0	(1)	1	0	0	0	0	(87)	(189)	(276)
Transfers to Other Funds	0	0	(5)	0	1	(1)	0	0	0	0	0	0	(5)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES/(USES)	0	0	(5)	0	0	0	0	0	0	0	(87)	(189)	(281)
Excess/(Deficiency) of Receipts over Disbursements	24	(21)	130	13	(20)	(23)	(71)	130	(11)	4	(23)	(146)	(14)
CLOSING BALANCE	(545)	(566)	(436)	(423)	(443)	(466)	(537)	(407)	(418)	(414)	(437)	(437)	(583)

**CASHFLOW
STATE FUNDS
FY 2018**
(dollars in millions)

	2017 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2018 January Results	February Results	March Results	Total	Intra-Fund Transfer Eliminations
OPENING BALANCE	11,134	12,134	7,690	7,845	9,690	10,049	10,169	10,799	8,823	13,966	20,903	21,290	11,134	
RECEIPTS:														
Personal Income Tax	5,001	2,102	4,652	2,649	3,056	4,715	2,695	2,390	6,772	11,229	3,248	2,992	51,501	0
Consumption/Use Taxes	1,230	1,188	1,643	1,335	1,306	1,652	1,316	1,365	1,666	1,401	1,145	1,465	16,712	0
Business Taxes	603	244	1,032	1,855	524	1,032	69	1,511	1,469	15	16	1,803	7,164	0
Other Taxes	306	316	310	261	301	425	276	259	351	473	323	288	3,889	0
Total Taxes	7,140	3,850	7,637	4,430	5,187	7,845	4,356	4,165	10,258	13,118	4,732	6,548	79,266	0
Abandoned Property	0	0	0	0	1	64	5	170	21	24	0	175	460	0
ABC License Fee	6	6	6	5	6	7	6	4	5	6	5	3	65	0
HCRA	397	395	398	473	440	407	436	401	458	428	400	411	5,044	0
Investment Income	4	1	2	1	2	2	4	4	3	4	4	17	60	0
Licenses, Fees, etc.	27	73	36	51	36	77	63	36	89	61	78	42	669	0
Lottery	263	308	288	259	362	260	256	315	264	337	259	254	3,425	0
Medicaid	71	68	68	75	70	78	73	74	75	69	71	66	858	0
Motor Vehicle Fees	71	66	69	30	71	43	53	49	34	47	57	76	666	0
Reimbursements	2	23	37	9	24	26	11	28	23	25	15	52	275	0
State University Income	290	248	301	245	364	753	408	340	265	553	673	336	4,776	0
Extraordinary Settlements	0	350	0	0	0	231	0	137	2,331	60	0	0	778	0
Other Transactions	349	300	668	1,509	363	787	1,209	416	2,331	414	463	1,176	9,985	0
Total Miscellaneous Receipts	1,480	1,838	1,873	2,657	1,739	2,729	2,530	1,974	3,568	2,028	2,037	2,608	27,061	0
Federal Receipts	0	0	0	2	35	3	0	0	0	0	34	3	79	0
TOTAL RECEIPTS	8,620	5,688	9,510	7,089	6,961	10,577	6,886	6,139	13,826	15,148	6,803	9,159	106,406	0
DISBURSEMENTS:														
School Aid	868	3,358	2,227	44	574	3,948	966	1,715	2,000	689	802	8,358	25,549	0
Higher Education	19	37	954	221	128	181	93	37	188	27	36	912	2,833	0
All Other Education	95	484	76	177	58	50	61	407	85	34	118	521	2,166	0
STAR	0	0	58	0	0	0	1	11	92	2,414	2	11	2,589	0
Medicaid - DOH	1,765	1,939	1,717	1,486	1,915	1,669	1,611	2,028	1,464	1,627	1,422	501	19,144	0
Public Health	111	232	144	265	95	78	104	118	76	118	113	202	1,656	0
Mental Hygiene	45	140	460	113	50	429	59	52	351	222	161	378	2,460	0
Children and Families	36	102	194	71	23	134	70	74	170	45	317	372	1,608	0
Temporary & Disability Assistance	95	112	143	92	109	67	63	67	79	63	137	190	1,277	0
Transportation	248	514	485	375	569	485	374	590	1,092	215	342	401	5,690	0
Unrestricted Aid	0	11	388	2	0	102	7	2	182	1	1	65	761	0
All Other	254	171	285	216	367	291	197	229	115	232	229	386	2,972	0
Total Local Assistance	3,536	7,100	7,131	3,062	3,888	7,434	3,606	5,330	5,894	5,687	3,740	12,297	68,705	0
Personal Service	1,050	1,371	1,013	998	1,181	998	1,064	1,344	1,034	983	995	1,139	13,170	0
Non-Personal Service	322	500	526	364	526	460	506	474	421	557	409	586	5,651	0
Total State Operations	1,372	1,871	1,539	1,362	1,707	1,458	1,570	1,818	1,455	1,540	1,404	1,725	18,821	0
General State Charges	2,452	739	467	393	429	542	533	521	541	476	399	361	7,853	0
Debt Service	87	148	186	29	348	757	27	82	529	31	709	2,940	5,673	0
Capital Projects	268	381	409	455	458	461	578	532	440	539	531	632	5,684	0
TOTAL DISBURSEMENTS	7,715	10,239	9,732	5,301	6,830	10,652	6,314	8,283	8,859	8,273	6,783	17,955	106,936	0
OTHER FINANCING SOURCES (USES):														
Transfers from other funds	3,485	2,313	3,628	1,507	2,458	3,238	2,096	2,430	2,280	2,595	2,389	5,552	33,340	(631)
Transfers to other funds	(3,390)	(2,206)	(3,251)	(1,450)	(2,230)	(3,043)	(2,038)	(2,262)	(2,104)	(2,533)	(2,022)	(5,167)	(31,065)	631
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	160	160	0
NET OTHER FINANCING SOURCES/(USES)	95	107	377	57	228	195	58	168	176	62	367	545	2,435	0
Excess/(Deficiency) of Receipts over Disbursements	1,000	(4,444)	155	1,845	359	120	630	(1,976)	5,143	6,937	387	(8,251)	1,905	0
CLOSING BALANCE	12,134	7,690	7,845	9,690	10,049	10,169	10,799	8,823	13,966	20,903	21,290	13,039	13,039	0

**CASHFLOW
GENERAL FUND
FY 2019
(dollars in millions)**

	2018 April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2019 January Projected	February Projected	March Projected	Total
OPENING BALANCE	9,445	9,946	5,130	4,502	4,607	4,201	5,918	6,077	4,084	6,066	11,780	1,128	9,445
RECEIPTS:													
Personal Income Tax	2,948	1,208	2,369	1,424	1,523	2,402	1,409	971	2,161	3,423	1,496	1,412	22,746
Consumption/Use Taxes	541	571	763	611	581	781	587	589	772	631	525	695	7,647
Business Taxes	378	(52)	925	66	64	1,133	96	46	1,326	(135)	76	1,703	5,626
Other Taxes	63	90	90	90	91	93	90	90	89	89	89	89	1,051
Total Taxes	3,930	1,817	4,147	2,191	2,259	4,407	2,182	1,696	4,348	4,008	2,186	3,899	37,070
Abandoned Property	1	0	0	0	0	40	5	150	50	0	0	204	450
ABC License Fee	5	6	6	6	6	6	6	5	5	6	6	3	66
Investment Income	17	1	1	1	1	1	1	1	1	1	1	1	40
Licenses, Fees, etc.	51	30	38	70	35	50	70	55	68	70	50	83	670
Motor Vehicle Fees	30	18	20	28	21	24	23	20	22	22	22	20	269
Reimbursements	(1)	(14)	35	(35)	25	25	(35)	20	40	0	20	17	107
Extraordinary Settlements	108	0	0	0	0	0	0	0	0	0	0	0	108
Other Transactions	(4)	30	63	31	29	110	56	32	77	31	22	(60)	417
Total Miscellaneous Receipts	207	71	163	105	117	256	130	283	262	144	121	268	2,127
Federal Receipts	0	0	0	0	0	0	0	0	0	0	0	0	0
PIT in Excess of Revenue Bond Debt Service	2,948	1,207	2,357	1,424	1,616	2,097	1,410	982	2,082	5,772	1,210	609	23,714
ECET in Excess of Revenue Bond Debt Service	0	0	0	0	0	0	0	0	0	0	0	0	0
Tax in Excess of LGAC	253	254	356	277	265	336	268	271	361	287	246	(18)	3,156
Sales Tax Bond Fund	183	184	285	207	195	328	192	194	284	210	169	326	2,757
Real Estate Taxes in Excess of CW/CA Debt Service	74	93	91	95	94	96	85	87	76	90	63	54	998
All Other	94	106	122	106	106	195	195	119	107	145	197	1,346	2,838
Total Transfers from Other Funds	3,552	1,844	3,211	2,109	2,276	3,052	2,150	1,653	2,910	6,504	1,885	2,317	33,463
TOTAL RECEIPTS	7,689	3,732	7,521	4,405	4,652	7,715	4,462	3,632	7,520	10,656	4,192	6,484	72,660
DISBURSEMENTS:													
School Aid	1,113	3,489	1,952	82	600	1,674	901	1,562	2,096	579	758	8,355	23,161
Higher Education	24	32	1,123	232	85	178	95	35	203	59	341	658	3,065
All Other Education	42	497	157	109	257	97	45	187	178	38	255	480	2,342
Medicaid - DOH	1,525	1,724	1,374	1,263	1,417	1,095	1,079	1,324	1,020	1,325	693	492	14,331
Public Health	39	213	52	33	64	41	33	43	44	32	46	30	670
Mental Hygiene	150	67	316	107	121	338	100	112	308	155	203	275	2,252
Children and Families	12	76	240	99	99	240	99	99	240	99	144	240	1,687
Temporary & Disability Assistance	65	103	165	104	103	107	103	104	106	103	103	115	1,281
Transportation	46	70	60	46	32	0	0	24	11	0	12	3	304
Unrestricted Aid	0	12	388	0	0	106	7	0	187	0	0	65	765
All Other	21	64	(22)	16	73	123	101	65	122	81	86	475	1,205
Total Local Assistance	3,037	6,347	5,805	2,091	2,851	3,999	2,563	3,555	4,515	2,471	2,641	11,188	51,063
Personal Service	663	878	692	702	863	631	804	629	644	815	675	695	8,691
Non-Personal Service	159	241	261	200	271	281	250	273	262	266	266	324	3,054
Total State Operations	822	1,119	953	902	1,134	912	1,054	902	906	1,081	941	1,019	11,745
General State Charges	2,705	340	427	423	342	511	616	278	470	501	475	465	7,553
Debt Service	253	0	0	128	(3)	(42)	236	0	(2)	333	(25)	(51)	827
Capital Projects	58	475	600	521	667	585	(230)	605	(445)	521	605	(705)	3,257
State Share Medicaid	0	0	0	0	0	0	0	0	0	0	0	0	0
SUNY Operations	218	218	224	182	0	0	0	182	10	0	0	0	1,034
Other Purposes	95	49	140	53	67	33	64	103	84	35	53	346	1,122
Total Transfers to Other Funds	624	742	964	884	731	576	70	890	(353)	889	633	(410)	6,240
TOTAL DISBURSEMENTS	7,188	8,548	8,149	4,300	5,058	5,998	4,303	5,625	5,538	4,942	4,690	12,262	76,601
Excess/(Deficiency) of Receipts over Disbursements	501	(4,816)	(628)	105	(406)	1,717	159	(1,993)	1,982	5,714	(498)	(5,778)	(3,941)
CLOSING BALANCE	9,946	5,130	4,502	4,607	4,201	5,918	6,077	4,084	6,066	11,780	11,282	5,504	5,504

**CASHFLOW
STATE OPERATING FUNDS
FY 2019**
(dollars in millions)

	2018 April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2019 January Projected	February Projected	March Projected	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	13,607	15,487	10,657	10,105	11,887	11,494	11,559	12,171	10,135	11,647	18,167	17,865		13,607
RECEIPTS:														
Personal Income Tax	5,896	2,416	4,738	2,848	3,045	4,804	2,820	1,964	4,500	11,543	2,992	2,844	0	50,410
Consumption/Use Taxes	1,231	1,232	1,663	1,329	1,273	1,697	1,293	1,281	1,680	1,376	1,141	1,481	0	16,678
Business Taxes	536	14	1,154	1,356	129	1,395	162	113	1,604	(59)	134	2,013	0	7,330
Other Taxes	150	183	182	185	188	190	177	177	167	179	177	155	0	2,110
Total Taxes	7,813	3,845	7,737	4,497	4,635	8,086	4,452	3,535	7,951	13,039	4,444	6,494	0	76,528
Abandoned Property	1	0	0	0	0	0	5	150	50	0	0	204	0	450
ABC License Fee	5	6	6	6	6	6	6	5	5	6	6	3	0	66
HCRA	456	392	393	444	437	409	434	397	435	381	377	425	0	4,980
Investment Income	17	1	1	5	1	1	5	1	1	5	1	1	0	40
Licenses, Fees, etc.	51	30	38	70	35	70	70	55	68	70	50	83	0	670
Lottery	275	309	252	244	331	254	303	248	257	312	262	207	0	3,254
Medicaid	77	72	72	72	72	72	72	72	72	72	72	67	0	864
Motor Vehicle Fees	68	55	58	63	59	59	59	54	53	55	57	51	0	691
Reimbursements	(1)	(14)	(35)	(35)	25	25	(35)	20	40	10	20	17	0	107
State University Income	438	259	261	283	388	698	442	292	268	504	682	246	0	4,761
Extraordinary Settlements	108	0	0	0	0	0	0	0	0	0	0	0	0	108
Other Transactions	385	368	411	1,283	264	366	402	213	348	206	302	(403)	0	4,145
Total Miscellaneous Receipts	1,880	1,478	1,527	2,435	1,618	1,980	1,763	1,507	1,597	1,621	1,829	901	0	20,136
Federal Receipts	(3)	0	0	0	2	35	0	0	0	0	2	39	0	75
TOTAL RECEIPTS	9,690	5,323	9,264	6,932	6,255	10,101	6,215	5,042	9,548	14,660	6,275	7,434	0	96,739
DISBURSEMENTS:														
School Aid	1,113	3,489	2,266	82	600	3,769	1,037	1,698	2,232	715	894	8,607	0	26,502
Higher Education	24	32	1,123	232	85	178	95	35	203	59	341	658	0	3,065
All Other Education	42	500	157	109	259	100	46	188	180	38	255	481	0	2,355
STAR	0	0	0	0	0	0	0	11	89	2,348	0	10	0	2,459
Medicaid - DOH	1,806	2,288	1,816	1,622	2,016	1,502	1,646	1,793	1,480	1,851	1,134	969	0	19,923
Public Health	103	375	169	82	122	107	82	92	125	71	80	89	0	1,497
Mental Hygiene	149	67	316	108	121	338	100	112	309	155	203	279	0	2,257
Children and Families	12	76	240	99	99	240	100	99	240	99	144	244	0	1,691
Temporary & Disability Assistance	65	103	165	104	103	107	103	104	106	103	103	115	0	1,281
Transportation	236	492	332	296	400	340	250	505	797	52	94	167	0	3,961
Unrestricted Aid	0	12	388	0	0	106	7	0	187	0	0	65	0	765
All Other	43	102	12	61	118	89	137	39	100	80	56	159	0	996
Total Local Assistance	3,593	7,536	6,984	2,795	3,923	6,876	3,603	4,676	6,048	5,571	3,304	11,843	0	66,752
Personal Service	1,048	1,387	1,100	1,093	1,236	990	1,299	993	1,062	1,193	1,051	1,090	0	13,542
Non-Personal Service	343	491	546	395	556	523	459	482	490	496	509	627	0	5,917
Total State Operations	1,391	1,878	1,646	1,488	1,792	1,513	1,758	1,475	1,552	1,689	1,560	1,717	0	19,459
General State Charges	2,824	391	533	457	390	645	662	363	656	540	539	542	0	8,542
Debt Service	64	126	173	25	99	855	29	103	361	25	764	2,758	0	5,382
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL DISBURSEMENTS	7,872	9,931	9,336	4,765	6,204	9,889	6,052	6,617	8,617	7,825	6,167	16,860	0	100,135
OTHER FINANCING SOURCES (USES):														
Transfers from other funds	4,250	2,384	3,708	2,621	2,580	3,436	2,682	2,090	3,152	7,090	2,121	3,628	(511)	39,231
Transfers to other funds	(4,188)	(2,006)	(4,188)	(3,006)	(3,024)	(3,583)	(2,233)	(2,551)	(2,571)	(7,405)	(2,531)	(2,163)	511	(39,538)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES (USES)	62	(222)	(480)	(385)	(444)	(1,147)	(449)	(461)	(581)	(3,151)	(4,101)	(1,465)	0	(307)
Excess/(Deficiency) of Receipts over Disbursements	1,880	(4,830)	(552)	1,782	(393)	65	612	(2,036)	1,512	6,520	(302)	(7,961)	0	(3,703)
CLOSING BALANCE	15,487	10,657	10,105	11,887	11,494	11,559	12,171	10,135	11,647	18,167	17,865	9,904	0	9,904

**CASHFLOW
ALL GOVERNMENTAL FUNDS
FY 2019
(dollars in millions)**

	2018 April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2019 January Projected	February Projected	March Projected	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	12,749	14,020	8,650	8,502	9,902	8,906	9,179	9,406	6,833	9,618	15,687	14,831	0	12,749
RECEIPTS:														
Personal Income Tax	5,896	2,416	4,738	2,848	3,045	4,804	2,820	1,964	4,500	11,543	2,992	2,844	0	50,410
Consumption/Use Taxes	1,280	1,276	1,320	1,375	1,320	1,769	1,341	1,326	1,745	1,422	1,184	1,538	0	17,303
Business Taxes	590	64	1,210	192	184	1,453	217	166	1,660	(5)	186	2,064	0	7,981
Other Taxes	150	183	194	197	200	202	189	179	189	191	189	166	0	2,229
Total Taxes	7,916	3,939	7,869	4,612	4,749	8,228	4,567	3,645	8,084	13,151	4,551	6,612	0	77,923
Abandoned Property	1	0	0	0	0	40	5	150	50	0	0	204	0	450
ABC License Fee	5	6	6	6	6	6	6	5	5	6	6	3	0	66
HCRA	456	392	393	444	437	409	434	397	435	381	377	425	0	4,980
Investment Income	17	1	1	5	1	1	5	1	1	5	1	1	0	40
Licenses, Fees, etc.	51	30	38	70	35	50	68	55	68	70	50	83	0	670
Lottery	275	309	252	244	331	254	303	248	257	312	262	207	0	3,254
Medicaid	77	72	72	72	72	72	72	72	72	72	72	67	0	864
Motor Vehicle Fees	68	55	58	63	59	59	59	54	53	55	57	51	0	691
Reimbursements	(1)	(14)	35	(35)	25	40	(35)	20	20	10	20	17	0	107
State University Income	438	259	261	283	388	698	442	292	268	504	682	246	0	4,761
Extraordinary Settlements	108	0	0	0	0	0	0	0	0	0	0	0	0	108
Other Transactions	797	500	734	1,569	367	762	1,405	446	2,552	327	414	2,141	0	12,014
Total Miscellaneous Receipts	2,292	1,610	1,850	2,721	1,721	2,376	2,766	1,740	3,801	1,742	1,941	3,445	0	28,005
Federal Receipts	3,616	4,804	5,630	4,034	5,058	5,313	4,731	4,409	6,056	4,264	4,427	7,741	0	60,083
TOTAL RECEIPTS	13,824	10,353	15,349	11,367	11,528	15,917	12,064	9,794	17,941	19,157	10,919	17,798	0	166,011
DISBURSEMENTS:														
School Aid	1,434	3,642	2,588	341	859	4,028	1,296	1,957	2,564	984	1,163	8,916	0	29,772
Higher Education	24	32	1,123	232	85	178	95	35	203	59	341	658	0	3,065
All Other Education	107	606	263	189	317	158	104	246	238	96	313	539	0	3,176
STAR	0	0	0	0	0	0	1	11	89	2,348	0	10	0	2,459
Medicaid - DOH	4,792	6,133	5,287	4,758	5,956	4,412	5,362	5,089	4,884	5,295	4,593	5,628	0	62,189
Public Health	238	513	455	236	263	393	292	250	426	265	362	407	0	4,100
Mental Hygiene	166	89	339	129	142	362	117	136	330	180	227	336	0	2,553
Children and Families	48	112	317	176	176	317	176	176	317	176	221	317	0	2,529
Temporary & Disability Assistance	275	299	656	282	281	598	281	325	646	273	273	596	0	4,785
Transportation	294	540	617	355	465	727	315	570	1,290	108	144	510	0	5,935
Unrestricted Aid	0	12	388	0	0	106	7	0	187	0	0	65	0	765
All Other	371	366	86	338	506	329	357	470	412	174	275	18,597	0	4,299
Total Local Assistance	7,749	12,344	12,119	7,036	9,050	11,608	8,403	9,265	11,586	9,958	7,912	18,597	0	123,627
Personal Service	1,100	1,456	1,149	1,143	1,286	1,039	1,366	1,045	1,112	1,241	1,101	1,153	0	14,191
Non-Personal Service	414	623	664	510	682	655	576	610	611	615	617	779	0	7,356
Total State Operations	1,514	2,079	1,813	1,653	1,968	1,694	1,942	1,655	1,723	1,856	1,718	1,932	0	21,547
General State Charges	2,863	411	549	486	428	658	690	396	674	569	573	568	0	8,865
Debt Service	64	126	173	25	99	855	29	103	361	25	764	2,758	0	5,382
Capital Projects	361	732	837	762	974	831	766	942	804	675	804	373	0	8,861
TOTAL DISBURSEMENTS	12,551	15,692	15,491	9,962	12,519	15,646	11,830	12,361	15,148	13,083	11,771	24,228	0	170,282
OTHER FINANCING SOURCES (USES):														
Transfers from other funds	4,309	2,860	4,319	3,142	3,248	4,025	2,452	2,703	2,710	7,611	2,727	3,271	(511)	42,866
Transfers to other funds	(4,311)	(2,891)	(4,325)	(3,147)	(3,253)	(4,023)	(2,459)	(2,709)	(2,718)	(7,616)	(2,731)	(3,356)	511	(43,028)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	711	0	711
NET OTHER FINANCING SOURCES (USES)	(2)	(31)	(6)	(5)	(5)	2	(7)	(6)	(8)	(5)	(4)	626	0	549
Excess/(Deficiency) of Receipts over Disbursements	1,271	(5,370)	(148)	1,400	(996)	273	227	(2,573)	2,785	6,069	(856)	(5,804)	0	(3,722)
CLOSING BALANCE	14,020	8,650	8,502	9,902	8,906	9,179	9,406	6,833	9,618	15,687	14,831	9,027	0	9,027

CASHFLOW
SPECIAL REVENUE FUNDS
FY 2019
(dollars in millions)

	2018 April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2019 January Projected	February Projected	March Projected	Total
OPENING BALANCE	4,302	4,851	4,561	5,270	6,388	6,043	5,180	4,964	4,567	4,967	5,023	5,096	4,302
RECEIPTS:													
Personal Income Tax	0	0	0	0	0	0	1	11	89	2,348	0	10	2,459
Consumption/Use Taxes	183	153	189	163	162	189	169	150	186	171	124	135	1,974
Business Taxes	158	66	229	69	65	262	66	67	278	76	58	310	1,704
Other Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Taxes	341	219	418	232	227	451	236	228	553	2,595	182	455	6,137
HCRA	456	392	393	444	437	409	434	397	435	381	377	425	4,980
State University Income	438	259	261	283	388	698	442	292	268	504	682	246	4,761
Lottery	275	309	252	244	331	254	303	248	257	312	262	207	3,254
Medical	77	72	72	72	72	72	72	72	72	72	72	67	864
Motor Vehicle Fees	38	37	38	35	38	35	36	34	32	33	35	31	422
Other Transactions	369	332	324	1,220	177	227	325	160	252	167	207	(328)	3,432
Total Miscellaneous Receipts	1,653	1,401	1,340	2,298	1,443	1,695	1,612	1,203	1,316	1,469	1,635	648	17,713
Federal Receipts	3,557	4,662	5,458	3,842	4,834	5,039	4,499	4,187	5,854	4,092	4,273	7,279	57,576
TOTAL RECEIPTS	5,551	6,282	7,216	6,372	6,504	7,185	6,347	5,618	7,723	8,156	6,090	8,382	81,426
DISBURSEMENTS:													
School Aid	314	123	606	219	219	2,314	355	355	428	355	355	468	6,111
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	64	106	103	56	58	59	57	57	58	56	56	55	785
STAR	0	0	0	0	0	0	1	11	89	2,348	0	10	2,459
Medical - DOH	3,267	4,409	3,913	3,495	4,539	3,317	4,283	3,765	3,864	3,970	3,900	5,136	47,858
Public Health	182	283	376	169	178	313	161	168	338	173	261	329	2,331
Mental Hygiene	12	12	11	13	14	11	12	14	14	13	13	20	159
Children and Families	36	36	77	77	77	77	77	77	77	77	77	77	842
Temporary & Disability Assistance	210	196	476	178	178	476	178	221	525	170	170	166	3,444
Transportation	192	426	276	254	372	344	254	485	790	56	86	165	3,700
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	99	116	(48)	118	212	49	97	157	119	(58)	41	(240)	662
Total Local Assistance	4,376	5,707	5,790	4,579	5,847	6,960	5,475	5,310	6,302	7,160	4,959	6,486	68,954
Personal Service	437	578	457	441	423	408	562	416	468	426	426	458	5,500
Non-Personal Service	254	378	402	310	393	366	326	334	349	349	349	445	4,255
Total State Operations	691	956	859	751	816	774	888	750	817	775	775	903	9,755
General State Charges	158	71	122	63	86	147	74	118	204	68	98	103	1,312
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL DISBURSEMENTS	5,225	6,734	6,771	5,393	6,749	7,881	6,437	6,178	7,323	8,003	5,832	7,492	80,018
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	334	429	389	255	87	60	164	304	120	128	73	341	2,173
Transfers to Other Funds	(111)	(267)	(125)	(116)	(187)	(227)	(290)	(141)	(120)	(225)	(258)	(1,800)	(3,356)
NET OTHER FINANCING SOURCES/(USES)	223	162	264	139	(100)	(167)	(126)	163	0	(97)	(185)	(1,459)	(1,183)
Excess/(Deficiency) of Receipts over Disbursements	549	(290)	709	1,118	(345)	(863)	(216)	(397)	400	56	73	(569)	225
CLOSING BALANCE	4,851	4,561	5,270	6,388	6,043	5,180	4,964	4,567	4,967	5,023	5,096	4,527	4,527

**CASHFLOW
SPECIAL REVENUE STATE FUNDS
FY 2019**
(dollars in millions)

	2018 April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2019 January Projected	February Projected	March Projected	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	4,009	5,072	5,091	5,234	6,691	6,673	5,238	5,365	5,309	4,923	5,208	5,738		4,009
RECEIPTS:														
Personal Income Tax	0	0	0	0	0	0	1	11	89	2,348	0	10	0	2,459
Consumption/Use Taxes	183	153	189	163	162	189	169	150	186	171	124	135	0	1,974
Business Taxes	158	66	229	69	65	262	66	67	278	76	58	310	0	1,704
Other Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Taxes	341	219	418	232	227	451	236	228	553	2,595	182	455	0	6,137
HCRA	456	392	393	444	437	409	434	397	435	381	377	425	0	4,980
State University Income	438	259	261	283	388	698	442	292	268	504	682	246	0	4,761
Lottery	275	309	252	244	331	254	303	248	257	312	262	207	0	3,254
Medicaid	77	72	72	72	72	72	72	72	72	72	72	77	0	664
Motor Vehicle Fees	38	37	38	35	35	35	36	34	32	32	35	34	0	322
Other Transactions	368	304	316	321	167	224	319	153	246	146	183	(408)	0	3,230
Total Miscellaneous Receipts	1,648	1,373	1,332	1,433	1,433	1,692	1,606	1,196	1,310	1,448	1,611	568	0	17,511
Federal Receipts	(3)	0	0	0	0	0	0	0	0	0	0	4	0	1
TOTAL RECEIPTS	1,986	1,592	1,750	2,526	1,660	2,143	1,842	1,424	1,863	4,043	1,793	1,027	0	23,649
DISBURSEMENTS:														
School Aid	0	0	314	0	0	2,095	136	136	136	136	136	252	0	3,341
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	0	3	0	0	2	3	1	1	2	0	0	1	0	13
STAR	0	0	0	0	0	0	1	11	89	2,348	0	10	0	2,459
Medicaid - DOH	281	564	442	359	599	407	567	469	460	526	441	477	0	5,592
Public Health	64	162	117	49	58	66	49	49	81	39	34	59	0	827
Mental Hygiene	(1)	0	0	1	0	0	0	0	1	0	0	4	0	5
Children and Families	0	0	0	0	0	0	0	0	0	0	0	4	0	4
Temporary & Disability Assistance	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Transportation	190	422	272	250	368	340	250	481	786	52	82	164	0	3,657
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	22	38	34	45	45	(34)	36	(20)	(22)	(1)	(30)	(316)	0	(209)
Total Local Assistance	556	1,189	1,179	704	1,072	2,877	1,040	1,121	1,533	3,100	663	655	0	15,689
Personal Service	385	509	408	391	373	359	495	364	418	378	376	395	0	4,851
Non-Personal Service	183	246	284	195	267	234	209	206	228	230	241	293	0	2,816
Total State Operations	568	755	692	586	640	593	704	570	646	608	617	688	0	7,667
General State Charges	119	51	106	34	48	134	46	85	186	39	64	77	0	989
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL DISBURSEMENTS	1,243	1,995	1,977	1,324	1,760	3,604	1,790	1,776	2,365	3,747	1,344	1,420	0	24,345
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	334	429	386	255	87	57	164	304	117	128	73	338	(511)	2,161
Transfers to Other Funds	(14)	(7)	(16)	0	(5)	(31)	(89)	(8)	(1)	(39)	(92)	(1,441)	511	(1,232)
NET OTHER FINANCING SOURCES/(USES)	320	422	370	255	82	26	75	296	116	89	(19)	(1,103)	0	929
Excess/(Deficiency) of Receipts over Disbursements	1,063	19	143	1,457	(18)	(1,435)	127	(56)	(386)	385	430	(1,496)	0	233
CLOSING BALANCE	5,072	5,091	5,234	6,691	6,673	5,238	5,365	5,309	4,923	5,308	5,738	4,242	0	4,242

CASHFLOW
SPECIAL REVENUE FEDERAL FUNDS
FY 2019
(dollars in millions)

	2018 April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2019 January Projected	February Projected	March Projected	Total
OPENING BALANCE	293	(221)	(530)	36	(303)	(630)	(58)	(401)	(742)	44	(285)	(642)	293
RECEIPTS:													
Miscellaneous Receipts	5	28	8	4	10	3	6	7	6	21	24	80	202
Federal Receipts	3,560	4,662	5,458	3,842	4,834	5,039	4,499	4,187	5,854	4,092	4,273	7,275	57,575
TOTAL RECEIPTS	3,565	4,690	5,466	3,846	4,844	5,042	4,505	4,194	5,860	4,113	4,297	7,355	57,777
DISBURSEMENTS:													
School Aid	314	123	292	219	219	219	219	219	292	219	219	216	2,770
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	64	103	103	56	56	56	56	56	56	56	56	54	772
STAR	0	0	0	0	0	0	0	0	0	0	0	0	0
Medicaid - DOH	2,986	3,845	3,471	3,136	3,940	2,910	3,716	3,296	3,404	3,444	3,459	4,659	42,266
Public Health	118	121	259	112	120	247	112	119	257	134	227	270	2,104
Mental Hygiene	13	12	11	12	14	11	12	14	13	13	13	16	154
Children and Families	36	36	77	77	77	77	77	77	77	77	77	73	838
Temporary & Disability Assistance	210	196	476	178	178	476	178	221	525	170	170	466	3,444
Transportation	2	4	4	4	4	4	4	4	4	4	4	1	43
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	77	78	(82)	73	167	83	61	183	141	(57)	71	76	871
Total Local Assistance	3,820	4,518	4,611	3,875	4,775	4,083	4,435	4,189	4,769	4,060	4,296	5,831	53,262
Personal Service	52	69	49	50	50	49	67	52	50	48	50	63	649
Non-Personal Service	71	132	118	115	126	132	117	128	121	119	108	152	1,439
Total State Operations	123	201	167	165	176	181	184	180	171	167	158	215	2,088
General State Charges	39	20	16	29	38	13	28	33	18	29	34	26	323
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL DISBURSEMENTS	3,982	4,739	4,794	4,069	4,989	4,277	4,647	4,402	4,958	4,256	4,488	6,072	55,673
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	0	0	3	0	0	3	0	0	3	0	0	3	12
Transfers to Other Funds	(97)	(260)	(109)	(116)	(182)	(196)	(201)	(133)	(119)	(186)	(166)	(359)	(2,124)
NET OTHER FINANCING SOURCES/(USES)	(97)	(260)	(106)	(116)	(182)	(193)	(201)	(133)	(116)	(186)	(166)	(356)	(2,112)
Excess/(Deficiency) of Receipts over Disbursements	(514)	(309)	566	(339)	(327)	572	(343)	(341)	786	(329)	(357)	927	(8)
CLOSING BALANCE	(221)	(530)	36	(303)	(630)	(58)	(401)	(742)	44	(285)	(642)	285	285

**CASHFLOW
DEBT SERVICE FUNDS
FY 2019**
(dollars in millions)

	2018 April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2019 January Projected	February Projected	March Projected	Total
OPENING BALANCE	153	469	436	369	589	620	403	729	742	658	1,079	845	153
RECEIPTS:													
Personal Income Tax	2,948	1,208	2,369	1,424	1,522	2,402	1,410	982	2,250	5,772	1,496	1,422	25,205
Consumption/Use Taxes	507	508	711	555	530	727	537	542	722	574	492	652	7,057
Other Taxes	87	93	92	95	97	99	87	87	78	90	88	66	1,059
Total Taxes	3,542	1,809	3,172	2,074	2,149	3,228	2,034	1,611	3,050	6,436	2,076	2,140	33,321
Miscellaneous Receipts	25	34	32	36	68	32	27	28	25	29	97	65	498
Federal Receipts	0	0	0	0	2	35	0	0	0	0	2	35	74
TOTAL RECEIPTS	3,567	1,843	3,204	2,110	2,219	3,295	2,061	1,639	3,075	6,465	2,175	2,240	33,893
DISBURSEMENTS:													
State Operations	1	4	1	0	18	8	0	3	0	0	2	10	47
Debt Service	64	126	173	25	99	855	29	103	361	25	764	2,758	5,382
TOTAL DISBURSEMENTS	65	130	174	25	117	863	29	106	361	25	766	2,768	5,429
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	364	111	111	257	217	327	368	133	125	458	163	973	3,607
Transfers to Other Funds	(3,550)	(1,857)	(3,208)	(2,122)	(2,288)	(2,976)	(2,074)	(1,653)	(2,923)	(6,477)	(1,806)	(1,132)	(32,066)
NET OTHER FINANCING SOURCES/(USES)	(3,186)	(1,746)	(3,097)	(1,865)	(2,071)	(2,649)	(1,706)	(1,520)	(2,798)	(6,019)	(1,643)	(1,159)	(28,459)
Excess/(Deficiency) of Receipts over Disbursements	316	(33)	(67)	220	31	(217)	326	13	(84)	421	(234)	(687)	5
CLOSING BALANCE	469	436	369	589	620	403	729	742	658	1,079	845	158	158

**CASHFLOW
CAPITAL PROJECTS FUNDS
FY 2019
(dollars in millions)**

	2018 April Projected	2018 May Projected	2018 June Projected	2018 July Projected	2018 August Projected	2018 September Projected	2018 October Projected	2018 November Projected	2018 December Projected	2019 January Projected	2019 February Projected	2019 March Projected	Total
OPENING BALANCE	(1,151)	(1,246)	(1,477)	(1,639)	(1,682)	(1,958)	(2,322)	(2,364)	(2,560)	(2,073)	(2,195)	(2,392)	(1,151)
RECEIPTS:													
Consumption/Use Taxes	49	44	64	46	47	72	48	45	65	46	43	56	625
Business Taxes	54	50	56	57	55	58	55	53	56	54	52	51	651
Other Taxes	0	0	12	12	12	12	12	12	12	12	12	11	119
Total Taxes	103	94	132	115	114	142	115	110	133	112	107	118	1,395
Miscellaneous Receipts	407	104	315	282	93	393	997	226	2,198	100	88	2,464	7,667
Federal Receipts	59	142	172	192	222	239	232	222	202	172	152	427	2,433
TOTAL RECEIPTS	569	340	619	589	429	774	1,344	558	2,533	384	347	3,009	11,495
DISBURSEMENTS:													
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	1	3	3	24	2	2	2	2	2	2	2	4	49
Public Health	17	17	27	34	21	39	98	39	44	60	55	48	499
Mental Hygiene	4	10	12	9	7	13	5	10	8	12	11	41	142
School Aid	0	30	30	40	40	40	40	40	40	50	50	93	500
Temporary & Disability Assistance	0	0	15	0	0	15	0	0	15	0	0	15	60
Transportation	56	44	281	55	61	383	61	61	489	52	46	342	1,931
All Other Local	251	186	156	204	221	157	159	248	171	151	148	380	2,432
Total Local Assistance	336	290	524	366	352	649	365	400	769	327	312	923	5,613
Economic Development	10	18	127	18	111	35	11	115	43	8	102	181	779
Parks & the Environment	27	78	68	70	68	73	86	71	68	74	80	180	943
Transportation	208	317	303	367	476	392	387	441	333	277	309	330	4,140
Health & Social Welfare	7	17	15	19	14	13	17	12	21	26	16	55	232
Mental Hygiene	22	30	16	16	31	14	16	30	15	19	31	29	269
Public Protection	19	50	51	35	35	57	35	35	56	34	35	83	525
Education	45	78	111	103	95	111	84	62	89	67	62	103	1,010
All Other	23	144	146	134	144	136	130	176	179	170	169	(588)	963
Total Capital Projects	361	732	837	762	974	831	766	942	804	675	804	373	8,861
TOTAL DISBURSEMENTS	697	1,022	1,361	1,128	1,326	1,480	1,131	1,342	1,573	1,002	1,116	1,296	14,474
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	59	476	608	521	668	586	(230)	613	(445)	521	606	(360)	3,623
Transfers to Other Funds	(26)	(25)	(28)	(25)	(47)	(244)	(25)	(25)	(28)	(25)	(34)	(834)	(1,366)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	711	711
NET OTHER FINANCING SOURCES/(USES)	33	451	580	496	621	342	(255)	588	(473)	496	572	(483)	2,968
Excess/(Deficiency) of Receipts over Disbursements	(95)	(231)	(162)	(43)	(276)	(364)	(42)	(196)	487	(122)	(197)	1,230	(11)
CLOSING BALANCE	(1,246)	(1,477)	(1,639)	(1,682)	(1,958)	(2,322)	(2,364)	(2,560)	(2,073)	(2,195)	(2,392)	(1,162)	(1,162)

CASHFLOW
CAPITAL PROJECTS STATE FUNDS
FY 2019
(dollars in millions)

	2018 April Projected	2018 May Projected	2018 June Projected	2018 July Projected	2018 August Projected	2018 September Projected	2018 October Projected	2018 November Projected	2018 December Projected	2019 January Projected	2019 February Projected	2019 March Projected	Total
OPENING BALANCE	(568)	(614)	(863)	(1,060)	(1,111)	(1,409)	(1,797)	(1,822)	(2,046)	(1,577)	(1,709)	(1,627)	(568)
RECEIPTS:													
Consumption/Use Taxes	49	44	64	46	47	72	48	45	65	46	43	56	625
Business Taxes	54	50	56	57	55	58	55	53	56	54	52	51	651
Other Taxes	0	0	0	12	12	12	12	12	12	12	12	11	119
Total Taxes	103	94	132	115	114	142	115	110	133	112	107	118	1,395
Miscellaneous Receipts	407	104	315	282	93	393	997	226	2,198	100	88	2,464	7,667
Federal Receipts	0	0	0	0	0	2	0	0	0	0	0	3	5
TOTAL RECEIPTS	510	198	447	397	207	537	1,112	336	2,331	212	195	2,585	9,067
DISBURSEMENTS:													
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	1	3	3	24	2	2	2	2	2	2	2	4	49
Public Health	17	17	27	29	21	39	43	39	44	53	54	48	431
Mental Hygiene	4	10	12	9	8	13	5	10	8	12	11	11	142
School Aid	7	30	30	40	40	40	40	40	40	50	50	93	500
Temporary & Disability Assistance	0	0	15	0	0	15	0	0	15	0	0	15	60
Transportation	5	14	248	15	16	333	16	16	449	15	14	309	1,450
All Other Local	251	172	142	190	207	143	145	234	157	137	134	363	2,275
Total Local Assistance	285	246	477	307	293	585	251	341	715	269	265	873	4,907
Economic Development	10	18	127	18	111	35	11	115	43	8	102	181	779
Parks & the Environment	26	76	66	68	66	71	84	69	66	73	79	178	922
Transportation	155	242	223	247	341	252	257	311	213	177	229	250	2,897
Health & Social Welfare	6	17	13	19	13	12	17	12	19	26	16	53	223
Mental Hygiene	22	30	16	16	31	14	16	30	15	19	31	29	269
Public Protection	17	48	49	33	33	55	33	33	54	32	33	79	499
Education	45	78	111	103	95	111	84	62	89	67	62	103	1,010
All Other	23	143	133	133	129	135	129	175	178	169	168	(594)	947
Total Capital Projects	304	652	750	637	833	685	631	807	677	571	720	279	7,546
TOTAL DISBURSEMENTS	589	898	1,227	944	1,126	1,270	882	1,148	1,392	840	985	1,152	12,453
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	59	476	608	521	668	586	(230)	613	(445)	521	906	(280)	4,003
Transfers to Other Funds	(26)	(25)	(25)	(25)	(47)	(241)	(25)	(25)	(25)	(25)	(34)	(831)	(1,354)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0	711
NET OTHER FINANCING SOURCES/(USES)	33	451	583	496	621	345	(255)	588	(470)	496	872	(400)	3,360
Excess/(Deficiency) of Receipts over Disbursements	(46)	(249)	(197)	(51)	(298)	(388)	(25)	(224)	469	(132)	82	1,033	(26)
CLOSING BALANCE	(614)	(863)	(1,060)	(1,111)	(1,409)	(1,797)	(1,822)	(2,046)	(1,577)	(1,709)	(1,627)	(594)	(594)

CAPITAL PROJECTS FEDERAL FUNDS
FY 2019
(dollars in millions)

	2018 April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2019 January Projected	February Projected	March Projected	Total
	(583)	(632)	(614)	(579)	(571)	(549)	(525)	(542)	(514)	(496)	(486)	(765)	(583)
OPENING BALANCE													
RECEIPTS:													
Consumption/Use Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Business Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Other Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	0	0	0	0	0	0	0	0	0	0	0	0	0
Federal Receipts	59	142	172	192	222	237	232	222	202	172	152	424	2,428
TOTAL RECEIPTS	59	142	172	192	222	237	232	222	202	172	152	424	2,428
DISBURSEMENTS:													
Public Health	0	0	0	5	0	0	55	0	0	7	1	0	68
Transportation	51	30	33	40	45	50	45	45	40	37	32	33	481
All Other Local	0	14	14	14	14	14	14	14	14	14	14	17	157
Total Local Assistance	51	44	47	59	59	64	114	59	54	58	47	50	706
Economic Development	0	0	0	0	0	0	0	0	0	0	0	0	0
Parks & the Environment	1	2	2	2	2	2	2	2	2	1	1	2	21
Transportation	53	75	80	120	135	140	130	130	120	100	80	80	1,243
Health & Social Welfare	1	0	2	0	1	1	0	0	2	0	0	2	9
Mental Hygiene	0	0	0	0	0	0	0	0	0	0	0	0	0
Public Protection	2	2	2	2	2	2	2	2	2	2	2	4	26
Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	0	1	1	1	1	1	1	1	1	1	1	6	16
Total Capital Projects	57	80	87	125	141	146	135	135	127	104	84	94	1,315
TOTAL DISBURSEMENTS	108	124	134	184	200	210	249	194	181	162	131	144	2,021
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	0	0	0	0	0	0	0	0	0	0	(300)	(80)	(380)
Transfers to Other Funds	0	0	(3)	0	0	(3)	0	0	(3)	0	0	(3)	(12)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES/(USES)	0	0	(3)	0	0	(3)	0	0	(3)	0	(300)	(83)	(392)
Excess/(Deficiency) of Receipts over Disbursements	(49)	18	35	8	22	24	(17)	28	18	10	(279)	197	15
CLOSING BALANCE	(632)	(614)	(579)	(571)	(549)	(525)	(502)	(514)	(496)	(486)	(765)	(568)	(568)

**CASHFLOW
STATE FUNDS
FY 2019
(dollars in millions)**

	2018 April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2019 January Projected	February Projected	March Projected	Total
OPENING BALANCE	13,039	14,873	9,794	9,045	10,776	10,085	9,762	10,349	8,089	10,070	16,458	16,238	13,039
RECEIPTS:													
Personal Income Tax	5,896	2,416	4,738	2,848	3,045	4,804	2,820	1,964	4,500	11,543	2,992	2,844	50,410
Consumption/Use Taxes	1,280	1,276	1,727	1,375	1,320	1,769	1,341	1,326	1,745	1,422	1,184	1,538	17,303
Business Taxes	590	64	1,210	192	184	1,453	217	166	1,660	(5)	186	2,064	7,981
Other Taxes	150	183	194	197	202	202	189	189	179	191	189	166	2,229
Total Taxes	7,916	3,939	7,869	4,612	4,749	8,228	4,567	3,645	8,084	13,151	4,551	6,612	77,923
Abandoned Property	1	0	0	0	0	40	5	150	50	0	0	204	450
ABC License Fee	5	6	6	6	6	6	6	6	5	6	6	3	66
HCRA	456	392	393	444	437	409	434	397	435	381	377	425	4,980
Investment Income	17	1	1	5	1	1	5	1	1	5	1	1	40
Licenses, Fees, etc.	275	309	252	244	331	254	303	248	257	312	262	207	670
Lottery	77	72	72	72	72	72	72	72	72	72	72	67	864
Motor Vehicle Fees	68	55	58	63	59	59	59	54	53	55	57	51	691
Reimbursements	(1)	(14)	35	(35)	25	25	(35)	20	40	10	20	17	107
State University Income	438	259	261	283	388	698	442	292	268	504	682	246	4,761
Extraordinary Settlements	108	0	0	0	0	0	0	0	0	0	0	0	108
Other Transactions	792	472	726	1,565	357	759	1,399	439	2,546	306	390	2,061	11,812
Total Miscellaneous Receipts	2,287	1,582	1,842	2,717	1,711	2,373	2,760	1,733	3,795	1,721	1,917	3,365	27,803
Federal Receipts	(3)	0	0	0	2	37	0	0	0	0	2	42	80
TOTAL RECEIPTS	10,200	5,521	9,711	7,329	6,462	10,638	7,327	5,378	11,879	14,872	6,470	10,019	105,806
DISBURSEMENTS:													
School Aid	1,120	3,519	2,296	122	640	3,809	1,077	1,738	2,272	765	944	8,700	27,002
Higher Education	24	32	1,123	232	85	178	95	35	203	59	341	658	3,065
All Other Education	43	503	160	133	261	102	48	190	182	40	257	485	2,404
STAR	0	0	0	0	0	0	0	11	89	2,348	0	10	2,459
Medicaid - DOH	1,806	2,288	1,816	1,622	2,016	1,502	1,646	1,793	1,480	1,851	1,134	969	19,923
Public Health	120	392	196	111	143	146	125	131	169	124	134	137	1,928
Mental Hygiene	153	77	328	117	128	351	105	122	317	167	214	320	2,399
Children and Families	12	76	240	99	99	240	99	99	240	99	144	244	1,691
Temporary & Disability Assistance	65	103	180	104	103	122	103	104	121	103	103	130	1,341
Transportation	241	506	580	311	416	673	266	521	1,246	67	108	476	5,411
Unrestricted Aid	0	12	388	0	0	106	7	0	187	0	0	65	765
All Other	294	274	154	251	325	232	282	273	257	217	190	522	3,271
Total Local Assistance	3,878	7,782	7,461	3,102	4,216	7,461	3,854	5,017	6,765	5,840	3,569	12,716	71,655
Personal Service	1,048	1,387	1,100	1,093	1,236	990	1,299	993	1,062	1,193	1,051	1,090	13,542
Non-Personal Service	343	491	546	395	556	523	482	482	490	496	509	627	5,917
Total State Operations	1,391	1,878	1,646	1,488	1,792	1,513	1,758	1,475	1,552	1,689	1,560	1,717	19,459
General State Charges	2,824	391	533	457	390	645	662	363	656	540	539	542	8,542
Debt Service	64	126	173	25	99	855	29	103	361	25	764	2,758	5,382
Capital Projects	304	652	750	637	833	685	631	807	677	571	720	279	7,546
TOTAL DISBURSEMENTS	8,461	10,829	10,563	5,709	7,330	11,159	6,934	7,765	10,009	8,665	7,152	18,012	112,588
OTHER FINANCING SOURCES (USES):													
Transfers from other funds	4,309	2,860	4,316	3,142	3,248	4,022	2,452	2,703	2,707	7,611	3,027	3,348	43,234
Transfers to other funds	(4,214)	(2,631)	(4,213)	(3,031)	(3,071)	(3,824)	(2,258)	(2,576)	(2,596)	(7,430)	(2,565)	(2,994)	(40,892)
Bond and note proceeds	95	229	103	111	177	198	194	127	111	181	462	711	711
NET OTHER FINANCING SOURCES/(USES)	1,834	(5,079)	(749)	1,731	(691)	(323)	587	(2,260)	1,981	6,388	(220)	(6,928)	(3,053)
Excess/(Deficiency) of Receipts over Disbursements	14,873	9,794	9,045	10,776	10,085	9,762	10,349	8,089	10,070	16,458	16,238	9,310	(3,729)
CLOSING BALANCE													9,310

CASH FINANCIAL PLAN
HEALTH CARE REFORM ACT RESOURCES FUND
FY 2019
(millions of dollars)

	<u>Executive</u>	<u>Change</u>	<u>Enacted</u>
Opening Fund Balance	<u>0</u>	<u>15</u>	<u>15</u>
Receipts:			
Taxes	806	(15)	791
Conversion Proceeds	500	(500)	0
HCRA Shortfall Account	250	(250)	0
Miscellaneous receipts	<u>5,120</u>	<u>(140)</u>	<u>4,980</u>
Total Receipts	<u>6,676</u>	<u>(905)</u>	<u>5,771</u>
Disbursements and Transfers:			
Medical Assistance Account	4,448	(611)	3,837
Hospital Indigent Care Fund	892	0	892
HCRA Program Account	345	35	380
Child Health Plus (CHP)	308	(1)	307
Elderly Pharmaceutical Insurance Coverage (EPIC)	136	0	136
NYSOH - Health Benefit Exchange	63	(63)	0
SHIN-NY/APCD	40	0	40
All Other	<u>194</u>	<u>0</u>	<u>194</u>
Total Disbursements and Transfers	<u>6,426</u>	<u>(640)</u>	<u>5,786</u>
Change in Fund Balance	<u>250</u>	<u>(265)</u>	<u>(15)</u>
Closing Fund Balance	<u>250</u>	<u>(250)</u>	<u>0</u>

CASH FINANCIAL PLAN
HEALTH CARE REFORM ACT RESOURCES FUND
FY 2019 THROUGH FY 2022
(millions of dollars)

	<u>FY 2019</u> <u>Enacted</u>	<u>FY 2020</u> <u>Projected</u>	<u>FY 2021</u> <u>Projected</u>	<u>FY 2022</u> <u>Projected</u>
Opening Fund Balance	15	0	0	0
Receipts:				
Taxes	791	752	716	683
Miscellaneous receipts	4,980	5,036	5,040	5,040
Total Receipts	<u>5,771</u>	<u>5,788</u>	<u>5,756</u>	<u>5,723</u>
Disbursements and Transfers:				
Medical Assistance Account	3,837	3,689	3,612	3,598
Hospital Indigent Care	892	892	892	892
HCRA Program Account	380	402	392	392
Child Health Plus	307	428	556	554
Elderly Pharmaceutical Insurance Coverage	136	142	140	139
SHIN-NY/APCD	40	40	0	0
All Other	194	195	164	148
Total Disbursements and Transfers	<u>5,786</u>	<u>5,788</u>	<u>5,756</u>	<u>5,723</u>
Change in Fund Balance	(15)	0	0	0
Closing Fund Balance	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>

CASH FINANCIAL PLAN
HEALTH CARE REFORM ACT RESOURCES FUND
FY 2018 and FY 2019
(millions of dollars)

	<u>FY 2018</u> <u>Results</u>	<u>FY 2019</u> <u>Enacted</u>	<u>Annual</u> <u>Change</u>
Opening Fund Balance	12	15	3
Receipts:			
Taxes	829	791	(38)
Miscellaneous receipts	5,044	4,980	(64)
Total Receipts	<u>5,873</u>	<u>5,771</u>	<u>(102)</u>
Disbursements and Transfers:			
Medical Assistance Account	3,966	3,837	(129)
Hospital Indigent Care	922	892	(30)
HCRA Program Account	394	380	(14)
Child Health Plus	295	307	12
Elderly Pharmaceutical Insurance Coverage	137	136	(1)
SHIN-NY/APCD	17	40	23
All Other	139	194	55
Total Disbursements and Transfers	<u>5,870</u>	<u>5,786</u>	<u>(84)</u>
Change in Fund Balance	3	(15)	(18)
Closing Fund Balance	<u>15</u>	<u>0</u>	<u>(15)</u>

CASH FLOW
HEALTH CARE REFORM ACT RESOURCES FUND
FY 2018
(dollars in millions)

	April Results	May Projected	June Projected	July Results	August Results	September Projected	October Results	November Projected	December Results	January Projected	February Results	March Projected	Total
Opening Fund Balance	12	111	58	158	190	140	124	189	123	210	228	51	12
Receipts:													
Taxes	64	77	75	71	84	70	75	68	68	72	48	57	829
Miscellaneous receipts	397	395	398	473	440	407	436	401	458	428	400	411	5,044
Total Receipts	461	472	473	544	524	477	511	469	526	500	448	468	5,873
Disbursements and Transfers:													
Medical Assistance Account	250	377	248	225	450	318	353	373	329	348	476	219	3,966
Hospital Indigent Care	69	84	31	96	72	128	38	90	66	64	109	75	922
HGRA Program Account	38	1	54	142	24	4	21	38	11	33	7	21	394
Child Health Plus	(1)	34	26	33	8	20	19	19	19	21	20	77	295
Elderly Pharmaceutical Insurance Coverage	3	11	12	12	15	13	12	14	13	15	6	11	137
SHIN-NY/APCD	0	0	0	0	0	0	0	0	0	0	0	0	17
All Other	3	18	2	4	5	10	3	1	1	1	7	84	139
Total	362	525	373	512	574	493	446	535	439	482	625	504	5,870
Change in Fund Balance	99	(53)	100	32	(50)	(16)	65	(66)	87	18	(177)	(36)	3
Closing Fund Balance	111	58	158	190	140	124	189	123	210	228	51	15	15

CASH FLOW
HEALTH CARE REFORM ACT RESOURCES FUND
FY 2019
(dollars in millions)

	April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	January Projected	February Projected	March Projected	Total
Opening Fund Balance	15	174	60	33	135	135	128	160	176	129	157	151	15
Receipts:													
Taxes	64	73	71	68	79	67	71	64	64	69	46	55	791
Miscellaneous receipts	456	392	393	444	437	409	434	397	435	381	377	425	4,980
Total Receipts	520	465	464	512	516	476	505	461	499	450	423	480	5,771
Disbursements and Transfers:													
Medical Assistance Account	220	331	331	263	393	269	385	307	394	314	300	330	3,837
Hospital Indigent Care	61	84	39	96	62	138	38	90	66	68	69	81	892
HGRA Program Account	36	129	69	16	23	18	16	14	18	5	4	17	380
Child Health Plus	20	20	38	20	20	37	20	20	37	20	20	35	307
Elderly Pharmaceutical Insurance Coverage	7	12	12	13	13	14	13	14	13	13	9	3	136
SHIN-NY/APCD	0	0	0	0	0	0	0	0	0	0	0	0	40
All Other	17	3	2	2	5	7	1	0	3	2	27	125	194
Total	361	579	491	410	516	483	473	445	546	422	429	631	5,786
Change in Fund Balance	159	(114)	(27)	102	0	(7)	32	16	(47)	28	(6)	(151)	(15)
Closing Fund Balance	174	60	33	135	135	128	160	176	129	157	151	0	0

**CASH FINANCIAL PLAN
PROPRIETARY AND FIDUCIARY FUNDS
(millions of dollars)**

	FY 2018 Results			FY 2019 Enacted			FY 2020 Projected			FY 2021 Projected			FY 2022 Projected		
	Internal Service	Enterprise	Fiduciary	Internal Service	Enterprise	Fiduciary	Internal Service	Enterprise	Fiduciary	Internal Service	Enterprise	Fiduciary	Internal Service	Enterprise	Fiduciary
Opening Fund Balance	(200)	24	11	(269)	25	12	(229)	22	13	(207)	18	14	(191)	14	15
Receipts:															
Unemployment Taxes	0	2,174	0	0	2,450	0	0	2,450	0	0	2,450	0	0	2,450	0
Miscellaneous Receipts	523	58	1	681	73	1	646	73	1	647	73	1	647	73	1
Federal Receipts	0	18	0	0	50	0	0	50	0	0	50	0	0	50	0
Total Receipts	523	2,250	1	681	2,573	1	646	2,573	1	647	2,573	1	647	2,573	1
Disbursements:															
Local Assistance	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
State Operations:															
Personal Service	102	6	0	125	9	0	115	10	0	118	10	0	116	10	0
Non-Personal Service	485	50	0	562	60	0	541	60	0	549	60	0	549	60	0
Unemployment Benefits	0	2,192	0	0	2,500	0	0	2,500	0	0	2,500	0	0	2,500	0
General State Charges	61	1	0	75	6	0	70	6	0	75	6	0	76	6	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	648	2,249	0	762	2,575	0	726	2,576	0	742	2,576	0	741	2,576	0
Other Financing Sources (Uses):															
Transfers from Other Funds	92	0	0	149	0	0	123	0	0	123	0	0	123	0	0
Transfers to Other Funds	(36)	0	0	(28)	(1)	0	(21)	(1)	0	(12)	(1)	0	(8)	(1)	0
	56	0	0	121	(1)	0	102	(1)	0	111	(1)	0	115	(1)	0
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(69)	1	1	40	(3)	1	22	(4)	1	16	(4)	1	21	(4)	1
Closing Fund Balance	(269)	25	12	(229)	22	13	(207)	18	14	(191)	14	15	(170)	10	16

Workforce Impact Summary

General Fund

2016-17 Through 2018-19

	2016-17 Actuals (03/31/17)	2017-18 Actuals (03/31/18)	2018-19 Estimate (03/31/19)
Major Agencies			
Children and Family Services, Office of	2,440	2,329	2,362
Corrections and Community Supervision, Department of	28,846	29,003	27,234
Education Department, State	278	281	279
Environmental Conservation, Department of	941	994	993
General Services, Office of	1,188	930	819
Health, Department of	1,540	1,463	1,873
Information Technology Services, Office of	3,486	3,464	3,401
Labor, Department of	0	0	1
Mental Health, Office of	0	0	12,972
Motor Vehicles, Department of	0	0	167
Parks, Recreation and Historic Preservation, Office of	1,268	1,107	1,123
People with Developmental Disabilities, Office for	0	0	18,203
State Police, Division of	5,295	5,219	5,330
Taxation and Finance, Department of	3,888	3,845	3,276
Temporary and Disability Assistance, Office of	1,002	1,011	1,019
Transportation, Department of	0	0	2,545
Subtotal - Major Agencies	50,172	49,646	81,597
Minor Agencies	3,518	3,370	4,263
Subtotal - Subject to Direct Executive Control	53,690	53,016	85,860
University Systems			
State University of New York	0	3	0
Subtotal - University Systems	0	3	0
Independently Elected Agencies			
Audit and Control, Department of	1,356	1,324	1,354
Law, Department of	1,075	1,077	1,065
Subtotal - Independently Elected Agencies	2,431	2,401	2,419
Grand Total	56,121	55,420	88,279

Workforce Impact Summary

General Fund

2016-17 Through 2018-19

	2016-17 Actuals (03/31/17)	2017-18 Actuals (03/31/18)	2018-19 Estimate (03/31/19)
Minor Agencies			
Adirondack Park Agency	53	54	54
Aging, Office for the	11	11	12
Agriculture and Markets, Department of	380	371	357
Alcoholic Beverage Control, Division of	112	113	120
Alcoholism and Substance Abuse Services, Office of	2	0	723
Arts, Council on the	28	27	30
Budget, Division of the	224	220	245
Civil Service, Department of	158	186	173
Correction, Commission of	31	29	32
Criminal Justice Services, Division of	377	376	399
Economic Development, Department of	146	135	152
Elections, State Board of	75	69	76
Employee Relations, Office of	26	26	26
Executive Chamber	121	97	136
Gaming Commission, New York State	56	58	59
Housing and Community Renewal, Division of	67	33	54
Hudson River Valley Greenway Communities Council	0	0	1
Human Rights, Division of	125	107	124
Inspector General, Office of the	92	87	109
Judicial Conduct, Commission on	44	40	50
Justice Center for the Protection of People with Special Needs	402	407	422
Labor Management Committees	75	72	77
Lieutenant Governor, Office of the	5	4	7
Medicaid Inspector General, Office of the	217	203	214
Military and Naval Affairs, Division of	145	100	104
Prevention of Domestic Violence, Office for	18	17	18
Public Employment Relations Board	30	30	33
Public Ethics, Joint Commission on	51	52	52
State, Department of	198	205	139
Statewide Financial System	137	135	141
Tax Appeals, Division of	23	23	27
Veterans' Affairs, Division of	82	76	90
Welfare Inspector General, Office of	7	7	7
Subtotal - Minor Agencies	3,518	3,370	4,263

Note: To conform the budgeting of mental hygiene program operations with Generally Accepted Accounting Principles (GAAP), the FY 2019 Enacted Budget shifts FTEs from Special Revenue funds to the General Fund. This technical change does not impact overall FTE levels; however, the shift is presented as a current year adjustment on a cash basis (3/31/18 to 3/31/19) and as a prior year shift on an appropriation basis (FY 2017 actuals to 3/31/18).

Workforce Impact Summary
State Operating Funds
2016-17 Through 2018-19

	2016-17	2017-18	2018-19
	Actuals	Actuals	Estimate
	(03/31/17)	(03/31/18)	(03/31/19)
Major Agencies			
Children and Family Services, Office of	2,483	2,365	2,406
Corrections and Community Supervision, Department of	28,849	29,008	27,238
Education Department, State	1,216	1,238	1,263
Environmental Conservation, Department of	2,147	2,094	2,124
Financial Services, Department of	1,325	1,356	1,382
General Services, Office of	1,247	988	832
Health, Department of	3,615	3,437	3,974
Information Technology Services, Office of	3,486	3,464	3,401
Labor, Department of	348	342	508
Mental Health, Office of	14,156	13,351	12,972
Motor Vehicles, Department of	501	498	665
Parks, Recreation and Historic Preservation, Office of	1,509	1,314	1,304
People with Developmental Disabilities, Office for	18,946	18,476	18,203
State Police, Division of	5,645	5,539	5,666
Taxation and Finance, Department of	3,946	3,898	3,977
Temporary and Disability Assistance, Office of	1,002	1,011	1,019
Transportation, Department of	90	39	2,591
Workers' Compensation Board	1,122	1,082	1,110
Subtotal - Major Agencies	91,633	89,500	90,635
Minor Agencies	6,577	6,430	6,818
Subtotal - Subject to Direct Executive Control	98,210	95,930	97,453
University Systems			
City University of New York	391	375	383
State University of New York	45,314	45,880	45,655
Subtotal - University Systems	45,705	46,255	46,038
Independently Elected Agencies			
Audit and Control, Department of	1,530	1,490	1,527
Law, Department of	1,562	1,543	1,583
Subtotal - Independently Elected Agencies	3,092	3,033	3,110
Grand Total	147,007	145,218	146,601

Workforce Impact Summary
State Operating Funds
2016-17 Through 2018-19

	2016-17 Actuals (03/31/17)	2017-18 Actuals (03/31/18)	2018-19 Estimate (03/31/19)
Minor Agencies			
Adirondack Park Agency	53	54	54
Aging, Office for the	11	11	12
Agriculture and Markets, Department of	427	415	400
Alcoholic Beverage Control, Division of	112	113	120
Alcoholism and Substance Abuse Services, Office of	730	720	723
Arts, Council on the	28	27	30
Budget, Division of the	234	234	261
Civil Service, Department of	160	192	179
Correction, Commission of	31	29	32
Criminal Justice Services, Division of	379	381	404
Deferred Compensation Board	4	4	4
Economic Development, Department of	147	136	153
Elections, State Board of	75	69	76
Employee Relations, Office of	26	26	26
Executive Chamber	121	97	136
Financial Control Board, New York State	12	12	12
Gaming Commission, New York State	410	417	411
Higher Education Services Corporation, New York State	200	183	190
Homeland Security and Emergency Services, Division of	312	347	441
Housing and Community Renewal, Division of	564	536	561
Hudson River Valley Greenway Communities Council	0	0	1
Human Rights, Division of	125	107	124
Indigent Legal Services, Office of	17	24	34
Inspector General, Office of the	92	87	109
Interest on Lawyer Account	9	9	9
Judicial Conduct, Commission on	44	40	50
Justice Center for the Protection of People with Special Needs	417	422	436
Labor Management Committees	75	72	77
Lieutenant Governor, Office of the	5	4	7
Medicaid Inspector General, Office of the	217	203	214
Military and Naval Affairs, Division of	147	102	106
Prevention of Domestic Violence, Office for	18	17	18
Public Employment Relations Board	30	30	33
Public Ethics, Joint Commission on	51	52	52
Public Service Department	508	494	495
State, Department of	486	480	509
Statewide Financial System	137	135	141
Tax Appeals, Division of	23	23	27
Veterans' Affairs, Division of	82	76	90
Victim Services, Office of	51	43	54
Welfare Inspector General, Office of	7	7	7
Subtotal - Minor Agencies	6,577	6,430	6,818

Workforce Impact Summary

State Funds

2016-17 Through 2018-19

	2016-17 Actuals (03/31/17)	2017-18 Actuals (03/31/18)	2018-19 Estimate (03/31/19)
Major Agencies			
Children and Family Services, Office of	2,487	2,435	2,475
Corrections and Community Supervision, Department of	28,879	29,038	28,247
Education Department, State	1,216	1,238	1,263
Environmental Conservation, Department of	2,680	2,621	2,717
Financial Services, Department of	1,325	1,356	1,382
General Services, Office of	1,247	1,280	1,252
Health, Department of	3,685	3,558	4,106
Information Technology Services, Office of	3,486	3,471	3,405
Labor, Department of	348	342	508
Mental Health, Office of	14,209	13,900	13,655
Motor Vehicles, Department of	2,166	2,284	2,328
Parks, Recreation and Historic Preservation, Office of	1,733	1,729	1,729
People with Developmental Disabilities, Office for	18,946	18,856	18,580
State Police, Division of	5,645	5,609	5,741
Taxation and Finance, Department of	3,946	3,898	3,977
Temporary and Disability Assistance, Office of	1,007	1,019	1,027
Transportation, Department of	8,423	8,390	8,397
Workers' Compensation Board	1,122	1,082	1,110
Subtotal - Major Agencies	102,550	102,106	101,899
Minor Agencies	6,592	6,487	6,887
Subtotal - Subject to Direct Executive Control	109,142	108,593	108,786
University Systems			
City University of New York	391	375	383
State University Construction Fund	144	142	152
State University of New York	45,314	45,880	45,655
Subtotal - University Systems	45,849	46,397	46,190
Independently Elected Agencies			
Audit and Control, Department of	1,530	1,490	1,527
Law, Department of	1,566	1,545	1,590
Subtotal - Independently Elected Agencies	3,096	3,035	3,117
Grand Total	158,087	158,025	158,093

Workforce Impact Summary
State Funds
2016-17 Through 2018-19

	2016-17 Actuals (03/31/17)	2017-18 Actuals (03/31/18)	2018-19 Estimate (03/31/19)
Minor Agencies			
Adirondack Park Agency	53	54	54
Aging, Office for the	11	11	12
Agriculture and Markets, Department of	427	415	400
Alcoholic Beverage Control, Division of	112	113	120
Alcoholism and Substance Abuse Services, Office of	745	736	738
Arts, Council on the	28	27	30
Budget, Division of the	234	234	261
Civil Service, Department of	160	192	179
Correction, Commission of	31	29	32
Criminal Justice Services, Division of	379	381	404
Deferred Compensation Board	4	4	4
Economic Development, Department of	147	136	153
Elections, State Board of	75	69	76
Employee Relations, Office of	26	26	26
Executive Chamber	121	97	136
Financial Control Board, New York State	12	12	12
Gaming Commission, New York State	410	417	411
Higher Education Services Corporation, New York State	200	183	190
Homeland Security and Emergency Services, Division of	312	347	441
Housing and Community Renewal, Division of	564	536	561
Hudson River Valley Greenway Communities Council	0	0	1
Human Rights, Division of	125	107	124
Indigent Legal Services, Office of	17	24	34
Inspector General, Office of the	92	87	109
Interest on Lawyer Account	9	9	9
Judicial Conduct, Commission on	44	40	50
Justice Center for the Protection of People with Special Needs	417	422	436
Labor Management Committees	75	72	77
Lieutenant Governor, Office of the	5	4	7
Medicaid Inspector General, Office of the	217	203	214
Military and Naval Affairs, Division of	147	143	160
Prevention of Domestic Violence, Office for	18	17	18
Public Employment Relations Board	30	30	33
Public Ethics, Joint Commission on	51	52	52
Public Service Department	508	494	495
State, Department of	486	480	509
Statewide Financial System	137	135	141
Tax Appeals, Division of	23	23	27
Veterans' Affairs, Division of	82	76	90
Victim Services, Office of	51	43	54
Welfare Inspector General, Office of	7	7	7
Subtotal - Minor Agencies	6,592	6,487	6,887

Workforce Impact Summary

All Funds

2016-17 Through 2018-19

	2016-17 Actuals (03/31/17)	2017-18 Actuals (03/31/18)	2018-19 Estimate (03/31/19)
Major Agencies			
Children and Family Services, Office of	2,932	2,887	2,965
Corrections and Community Supervision, Department of	29,189	29,351	29,183
Education Department, State	2,590	2,575	2,692
Environmental Conservation, Department of	2,956	2,887	2,994
Financial Services, Department of	1,325	1,356	1,382
General Services, Office of	1,755	1,811	1,932
Health, Department of	4,853	4,690	5,463
Information Technology Services, Office of	3,486	3,471	3,405
Labor, Department of	2,861	2,935	2,990
Mental Health, Office of	14,221	13,911	13,684
Motor Vehicles, Department of	2,184	2,301	2,345
Parks, Recreation and Historic Preservation, Office of	1,752	1,751	1,762
People with Developmental Disabilities, Office for	18,958	18,867	18,598
State Police, Division of	5,645	5,609	5,741
Taxation and Finance, Department of	3,946	3,898	3,977
Temporary and Disability Assistance, Office of	1,973	1,923	1,992
Transportation, Department of	8,487	8,501	8,523
Workers' Compensation Board	1,122	1,082	1,110
Subtotal - Major Agencies	110,235	109,806	110,738
Minor Agencies	7,672	7,591	8,130
Subtotal - Subject to Direct Executive Control	117,907	117,397	118,868
University Systems			
City University of New York	13,635	13,726	13,549
State University Construction Fund	144	142	152
State University of New York	45,316	45,882	45,657
Subtotal - University Systems	59,095	59,750	59,358
Independently Elected Agencies			
Audit and Control, Department of	2,647	2,630	2,663
Law, Department of	1,787	1,822	1,839
Subtotal - Independently Elected Agencies	4,434	4,452	4,502
Grand Total	181,436	181,599	182,728

Workforce Impact Summary

All Funds

2016-17 Through 2018-19

	2016-17 Actuals (03/31/17)	2017-18 Actuals (03/31/18)	2018-19 Estimate (03/31/19)
Minor Agencies			
Adirondack Park Agency	53	54	54
Aging, Office for the	90	89	95
Agriculture and Markets, Department of	472	460	483
Alcoholic Beverage Control, Division of	112	113	120
Alcoholism and Substance Abuse Services, Office of	745	736	738
Arts, Council on the	28	27	30
Budget, Division of the	234	234	261
Civil Service, Department of	324	345	350
Correction, Commission of	31	29	32
Criminal Justice Services, Division of	410	408	436
Deferred Compensation Board	4	4	4
Economic Development, Department of	147	136	153
Elections, State Board of	75	69	76
Employee Relations, Office of	32	33	37
Executive Chamber	121	97	136
Financial Control Board, New York State	12	12	12
Gaming Commission, New York State	410	417	411
Higher Education Services Corporation, New York State	200	183	190
Homeland Security and Emergency Services, Division of	467	504	599
Housing and Community Renewal, Division of	644	608	682
Hudson River Valley Greenway Communities Council	0	0	1
Human Rights, Division of	155	160	164
Indigent Legal Services, Office of	17	24	34
Inspector General, Office of the	92	87	109
Interest on Lawyer Account	9	9	9
Judicial Conduct, Commission on	44	40	50
Justice Center for the Protection of People with Special Needs	417	422	441
Labor Management Committees	75	72	77
Lieutenant Governor, Office of the	5	4	7
Medicaid Inspector General, Office of the	432	405	426
Military and Naval Affairs, Division of	366	390	390
Prevention of Domestic Violence, Office for	26	24	27
Public Employment Relations Board	30	30	33
Public Ethics, Joint Commission on	51	52	52
Public Service Department	508	494	520
State, Department of	499	493	526
Statewide Financial System	137	135	141
Tax Appeals, Division of	23	23	27
Veterans' Affairs, Division of	89	83	98
Victim Services, Office of	79	79	92
Welfare Inspector General, Office of	7	7	7
Subtotal - Minor Agencies	7,672	7,591	8,130

Workforce Impact Summary
Special Revenue Funds - Other
2016-17 Through 2018-19

	2016-17 Actuals (03/31/17)	2017-18 Actuals (03/31/18)	2018-19 Estimate (03/31/19)
Major Agencies			
Children and Family Services, Office of	43	36	44
Corrections and Community Supervision, Department of	3	5	4
Education Department, State	938	957	984
Environmental Conservation, Department of	1,206	1,100	1,131
Financial Services, Department of	1,325	1,356	1,382
General Services, Office of	59	58	13
Health, Department of	2,075	1,974	2,101
Labor, Department of	348	342	507
Mental Health, Office of	14,156	13,351	0
Motor Vehicles, Department of	501	498	498
Parks, Recreation and Historic Preservation, Office of	241	207	181
People with Developmental Disabilities, Office for	18,946	18,476	0
State Police, Division of	350	320	336
Taxation and Finance, Department of	58	53	701
Transportation, Department of	90	39	46
Workers' Compensation Board	1,122	1,082	1,110
Subtotal - Major Agencies	41,461	39,854	9,038
Minor Agencies	3,059	3,060	2,555
Subtotal - Subject to Direct Executive Control	44,520	42,914	11,593
University Systems			
City University of New York	391	375	383
State University of New York	45,314	45,877	45,655
Subtotal - University Systems	45,705	46,252	46,038
Independently Elected Agencies			
Audit and Control, Department of	174	166	173
Law, Department of	487	466	518
Subtotal - Independently Elected Agencies	661	632	691
Grand Total	90,886	89,798	58,322

Workforce Impact Summary
Special Revenue Funds - Other
2016-17 Through 2018-19

	2016-17 Actuals (03/31/17)	2017-18 Actuals (03/31/18)	2018-19 Estimate (03/31/19)
Minor Agencies			
Agriculture and Markets, Department of	47	44	43
Alcoholism and Substance Abuse Services, Office of	728	720	0
Budget, Division of the	10	14	16
Civil Service, Department of	2	6	6
Criminal Justice Services, Division of	2	5	5
Deferred Compensation Board	4	4	4
Economic Development, Department of	1	1	1
Financial Control Board, New York State	12	12	12
Gaming Commission, New York State	354	359	352
Higher Education Services Corporation, New York State	200	183	190
Homeland Security and Emergency Services, Division of	312	347	441
Housing and Community Renewal, Division of	497	503	507
Indigent Legal Services, Office of	17	24	34
Interest on Lawyer Account	9	9	9
Justice Center for the Protection of People with Special Needs	15	15	14
Military and Naval Affairs, Division of	2	2	2
Public Service Department	508	494	495
State, Department of	288	275	370
Victim Services, Office of	51	43	54
Subtotal - Minor Agencies	3,059	3,060	2,555

Note: To conform the budgeting of mental hygiene program operations with Generally Accepted Accounting Principles (GAAP), the FY 2019 Enacted Budget shifts FTEs from Special Revenue funds to the General Fund. This technical change does not impact overall FTE levels; however, the shift is presented as a current year adjustment on a cash basis (3/31/18 to 3/31/19) and as a prior year shift on an appropriation basis (FY 2017 actuals to 3/31/18).

Workforce Impact Summary
Special Revenue Funds - Federal
2016-17 Through 2018-19

	2016-17 Actuals (03/31/17)	2017-18 Actuals (03/31/18)	2018-19 Estimate (03/31/19)
Major Agencies			
Children and Family Services, Office of	367	366	391
Corrections and Community Supervision, Department of	37	36	646
Education Department, State	1,227	1,198	1,285
Environmental Conservation, Department of	271	260	269
Health, Department of	1,122	1,051	1,311
Labor, Department of	2,494	2,565	2,466
Mental Health, Office of	0	0	11
Motor Vehicles, Department of	18	17	17
Parks, Recreation and Historic Preservation, Office of	19	22	33
People with Developmental Disabilities, Office for	12	11	18
Temporary and Disability Assistance, Office of	966	904	965
Transportation, Department of	64	111	126
Subtotal - Major Agencies	6,597	6,541	7,538
Minor Agencies			
Aging, Office for the	79	78	83
Agriculture and Markets, Department of	6	6	35
Criminal Justice Services, Division of	31	27	32
Homeland Security and Emergency Services, Division of	155	157	158
Housing and Community Renewal, Division of	80	72	101
Human Rights, Division of	30	53	40
Justice Center for the Protection of People with Special Needs	0	0	5
Medicaid Inspector General, Office of the	215	202	212
Military and Naval Affairs, Division of	187	183	179
Public Service Department	0	0	25
State, Department of	13	13	17
Veterans' Affairs, Division of	7	7	8
Victim Services, Office of	28	36	38
Subtotal - Minor Agencies	831	834	933
Subtotal - Subject to Direct Executive Control	7,428	7,375	8,471
University Systems			
State University of New York	2	2	2
Subtotal - University Systems	2	2	2
Independently Elected Agencies			
Audit and Control, Department of	5	5	0
Law, Department of	221	229	249
Subtotal - Independently Elected Agencies	226	234	249
Grand Total	7,656	7,611	8,722

Workforce Impact Summary
Capital Projects Funds - Other
2016-17 Through 2018-19

	2016-17 Actuals (03/31/17)	2017-18 Actuals (03/31/18)	2018-19 Estimate (03/31/19)
Major Agencies			
Children and Family Services, Office of	4	70	69
Corrections and Community Supervision, Department of	30	30	1,009
Environmental Conservation, Department of	533	527	593
General Services, Office of	0	292	420
Health, Department of	70	121	132
Information Technology Services, Office of	0	7	4
Mental Health, Office of	53	549	683
Motor Vehicles, Department of	1,665	1,786	1,663
Parks, Recreation and Historic Preservation, Office of	224	415	425
People with Developmental Disabilities, Office for	0	380	377
State Police, Division of	0	70	75
Temporary and Disability Assistance, Office of	5	8	8
Transportation, Department of	8,333	8,351	5,806
Subtotal - Major Agencies	10,917	12,606	11,264
Minor Agencies			
Alcoholism and Substance Abuse Services, Office of	15	16	15
Military and Naval Affairs, Division of	0	41	54
Subtotal - Minor Agencies	15	57	69
Subtotal - Subject to Direct Executive Control	10,932	12,663	11,333
University Systems			
State University Construction Fund	144	142	152
Subtotal - University Systems	144	142	152
Independently Elected Agencies			
Law, Department of	4	2	7
Subtotal - Independently Elected Agencies	4	2	7
Grand Total	11,080	12,807	11,492

Workforce Impact Summary
Capital Projects Funds - Federal
2016-17 Through 2018-19

	2016-17 Actuals (03/31/17)	2017-18 Actuals (03/31/18)	2018-19 Estimate (03/31/19)
Major Agencies			
Environmental Conservation, Department of	5	6	8
Health, Department of	46	81	46
Subtotal - Major Agencies	51	87	54
Minor Agencies			
Housing and Community Renewal, Division of	0	0	20
Military and Naval Affairs, Division of	32	64	49
Subtotal - Minor Agencies	32	64	69
Subtotal - Subject to Direct Executive Control	83	151	123
Grand Total	83	151	123

Workforce Impact Summary

Enterprise Funds

2016-17 Through 2018-19

	2016-17 Actuals (03/31/17)	2017-18 Actuals (03/31/18)	2018-19 Estimate (03/31/19)
Major Agencies			
Corrections and Community Supervision, Department of	5	6	10
General Services, Office of	9	11	62
Mental Health, Office of	0	0	5
Subtotal - Major Agencies	14	17	77
Minor Agencies			
Agriculture and Markets, Department of	36	37	45
Military and Naval Affairs, Division of	0	0	2
Subtotal - Minor Agencies	36	37	47
Subtotal - Subject to Direct Executive Control	50	54	124
Grand Total	50	54	124

Workforce Impact Summary
Internal Service Funds
2016-17 Through 2018-19

	2016-17 Actuals (03/31/17)	2017-18 Actuals (03/31/18)	2018-19 Estimate (03/31/19)
Major Agencies			
Children and Family Services, Office of	78	86	99
Corrections and Community Supervision, Department of	268	271	280
Education Department, State	147	139	144
General Services, Office of	499	520	618
Labor, Department of	19	28	16
Mental Health, Office of	12	11	13
Subtotal - Major Agencies	1,023	1,055	1,170
Minor Agencies			
Civil Service, Department of	164	153	171
Employee Relations, Office of	6	7	11
Prevention of Domestic Violence, Office for	8	7	9
Subtotal - Minor Agencies	178	167	191
Subtotal - Subject to Direct Executive Control	1,201	1,222	1,361
Independently Elected Agencies			
Audit and Control, Department of	174	168	158
Law, Department of	0	48	0
Subtotal - Independently Elected Agencies	174	216	158
Grand Total	1,375	1,438	1,519

Workforce Impact Summary

Agency Trust Funds

2016-17 Through 2018-19

	2016-17 Actuals (03/31/17)	2017-18 Actuals (03/31/18)	2018-19 Estimate (03/31/19)
University Systems			
City University of New York	13,244	13,351	13,166
Subtotal - University Systems	13,244	13,351	13,166
Grand Total	13,244	13,351	13,166

Workforce Impact Summary

Pension Trust Funds

2016-17 Through 2018-19

	2016-17 Actuals (03/31/17)	2017-18 Actuals (03/31/18)	2018-19 Estimate (03/31/19)
Independently Elected Agencies			
Audit and Control, Department of	938	967	978
Subtotal - Independently Elected Agencies	938	967	978
Grand Total	938	967	978

Workforce Impact Summary

Private Purpose Trust Funds

2016-17 Through 2018-19

	2016-17 Actuals (03/31/17)	2017-18 Actuals (03/31/18)	2018-19 Estimate (03/31/19)
Minor Agencies			
Agriculture and Markets, Department of	3	2	3
Subtotal - Minor Agencies	3	2	3
Subtotal - Subject to Direct Executive Control	3	2	3
Grand Total	3	2	3

Impact of SFY 2019 Enacted Budget on Local Governments, LFY Ending in 2018 Includes SFY 2018 Major Local Aid Programs for Local Governments (\$ in Millions)						
	Total	NYC	School Districts	Counties	Other Cities	Towns & Villages
School Aid - Total SFY 2018 Major Local Aid Programs	25,445.0	10,269.1	15,175.9	0.0	0.0	0.0
Other Education - Total SFY 2018 Major Local Aid Programs	194.5	TBD	TBD	0.0	0.0	0.0
Special Education - Total SFY 2018 Major Local Aid Programs	1,263.7	666.9	219.2	377.6	0.0	0.0
STAR - Total SFY 2018 Major Local Aid Program	2,589.1	246.3	2,342.8	0.0	0.0	0.0
Medicaid - Total SFY 2018 Major Local Aid Programs/Savings	2,996.4	1,257.5	0.0	1,738.9	0.0	0.0
Human Services	(7.8)	(7.8)	0.0	0.0	0.0	0.0
- Expiration of Funding for Close to Home	3.0	1.1	0.0	1.9	0.0	0.0
- Restore Child Care Subsidy Funding	(4.8)	(6.7)	0.0	1.9	0.0	0.0
Human Services - Total SFY 2019 Enacted Budget Impact on LFY 2018	4,467.4	2,886.3	0.0	1,581.1	0.0	0.0
Human Services - Total SFY 2018 Major Local Aid Programs	472.6	289.4	0.0	183.2	0.0	0.0
Health - Total SFY 2018 Major Local Aid Programs	2.8	0.0	0.0	2.8	0.0	0.0
Mental Hygiene	0.5	0.5	0.0	0.0	0.0	0.0
- Provide Aid for Jail-Based Substance Use Disorder Treatment and Transition	0.1	0.0	0.0	0.0	0.0	0.0
- Provide Substance Abuse Prevention & Intervention Specialists in NYC	0.1	0.0	0.0	0.0	0.0	0.0
- Provide Aid to Chenango County Community Services Board	3.4	0.5	0.0	2.9	0.0	0.0
Mental Hygiene - Total SFY 2019 Enacted Budget Impact on LFY 2018	68.3	34.1	2.0	32.2	0.0	0.0
Mental Hygiene - Total SFY 2018 Major Local Aid Programs	4.3	0.9	0.0	3.4	0.0	0.0
Transportation	21.2	1.9	0.0	12.4	2.6	4.3
- Increase Transit Operating Aid	0.1	0.0	0.0	0.0	0.0	0.1
- Establish Local Shuttle Bus Service to/from Certain LIRR Stations	21.2	1.9	0.0	12.4	2.6	4.3
- Increase Highway Assistance for Extreme Winter Recovery	25.6	2.8	0.0	15.8	2.6	4.4
Transportation - Total SFY 2019 Enacted Budget Impact on LFY 2018	880.2	237.7	0.0	356.9	56.1	229.5
Transportation - Total SFY 2018 Major Local Aid Programs	1.0	0.0	0.0	0.0	1.0	0.0
Municipal Aid	12.0	0.0	0.0	0.0	12.0	0.0
- Provide Aid to the City of Jamestown	12.0	0.0	0.0	0.0	12.0	0.0
- Provide Aid to the City of Albany	2.0	0.0	0.0	2.0	0.0	0.0
- Provide Aid to Onondaga County	1.3	0.0	0.0	1.3	0.0	0.0
- Provide Aid to Certain Municipalities through Misc. Financial Assistance	0.7	0.0	0.0	0.7	0.0	0.0
- Provide Interim Financial Assistance to Seneca, Cayuga, and Franklin	17.0	0.0	0.0	2.7	13.5	0.8
Municipal Aid - Total SFY 2019 Enacted Budget Impact on LFY 2018	744.4	0.0	0.0	2.7	669.4	72.3
Municipal Aid - Total SFY 2018 Major Local Aid Programs	0.2	0.1	0.0	0.1	0.0	0.0
Public Protection	1.5	0.0	0.0	1.5	0.0	0.0
- Increase Aid to Defense Funding	0.2	0.1	0.0	0.1	0.0	0.0
- Provide Assistance to County District Attorneys	0.2	0.1	0.0	0.1	0.0	0.0
- Provide Aid to Westchester County for Policing	1.5	0.0	0.0	1.5	0.0	0.0
- Provide Aid to Finger Lakes and Southern Tier Law Enforcement	0.2	0.0	0.0	0.0	0.0	0.2
- Provide Aid for Law Enforcement, Emergency Services, and Anti Drug	2.8	0.1	0.0	2.7	0.0	0.0
- Provide Aid to Newburgh and Schoharie Fire Departments	0.2	0.0	0.0	0.2	0.0	0.0
- Provide Aid to Rensselaer, Saratoga, Columbia, and Washington Counties	0.1	0.0	0.0	0.1	0.0	0.0
- Provide Aid for Indigent Parolee Representation	0.5	0.0	0.0	0.5	0.0	0.0
Public Protection - Total SFY 2019 Enacted Budget Impact on LFY 2018	5.7	0.3	0.0	5.0	0.2	0.2
Public Protection - Total SFY 2018 Major Local Aid Programs	219.0	70.3	0.0	148.7	0.0	0.0
Environment - Total SFY 2018 Major Local Aid Programs	363.0	TBD	0.0	TBD	TBD	TBD
All Other - Total SFY 2018 Major Local Aid Programs	441.0	219.0	143.0	79.0	0.0	0.0
Revenue Actions	0.3	0.3	0.0	0.0	0.0	0.0
- Recover Warrantless Tax Debt from Unclaimed Funds	0.3	0.3	0.0	0.0	0.0	0.0
- Extend the Statute of Limitations for Amended Tax Returns	0.6	0.6	0.0	0.0	0.0	0.0
Revenue Actions - Total SFY 2019 Enacted Budget Impact on LFY 2018	47.5	(2.5)	0.0	28.3	16.3	5.4
Grand Total SFY 2019 Enacted Budget Impact on LFY 2018	40,144.6	16,176.6	17,882.9	4,500.3	725.5	301.8
Grand Total SFY 2018 Major Local Aid Programs/Savings						

Impact of SFY 2019 Enacted Budget on Local Governments, LFY Ending in 2019 Includes SFY 2019 Major Local Aid Programs for Local Governments (\$ in Millions)						
	Total	NYC	School Districts	Counties	Other Cities	Towns & Villages
School Aid						
- Increase School Aid	863.7	334.0	529.7	0.0	0.0	0.0
School Aid - Total SFY 2019 Enacted Budget Impact on LFY 2019	863.7	334.0	529.7	0.0	0.0	0.0
School Aid - Total SFY 2019 Major Local Aid Programs	26,308.7	10,603.1	15,705.6	0.0	0.0	0.0
Other Education						
- New Competitive School Grants	50.0	TBD	TBD	0.0	0.0	0.0
Other Education - Total SFY 2019 Enacted Budget Impact on LFY 2019	50.0	TBD	TBD	0.0	0.0	0.0
Other Education - Total SFY 2019 Major Local Aid Programs	244.5	TBD	TBD	0.0	0.0	0.0
Special Education - Total SFY 2019 Major Local Aid Programs	1,335.2	702.0	244.9	388.3	0.0	0.0
STAR - Total SFY 2019 Major Local Aid Program	2,459.3	188.0	2,271.3	0.0	0.0	0.0
Medicaid - Total SFY 2019 Major Local Aid Programs/Savings	3,336.6	1,469.8	0.0	1,866.7	0.0	0.0
Human Services						
- Expiration of Funding for Close to Home	(31.0)	(31.0)	0.0	0.0	0.0	0.0
- Restore Child Care Subsidy Funding	7.0	4.4	0.0	2.6	0.0	0.0
- Provide Additional Funding to NYCHA	250.0	250.0	0.0	0.0	0.0	0.0
Human Services - Total SFY 2019 Enacted Budget Impact on LFY 2019	226.0	223.4	0.0	2.6	0.0	0.0
Human Services - Total SFY 2019 Major Local Aid Programs	4,474.9	2,896.8	0.0	1,578.1	0.0	0.0
Health - Total SFY 2019 Major Local Aid Programs	436.0	253.4	0.0	182.6	0.0	0.0
Mental Hygiene						
- Provide Aid for Jail-Based Substance Use Disorder Treatment and Transition	3.8	0.0	0.0	3.8	0.0	0.0
- Provide Substance Abuse Prevention & Intervention Specialists in NYC Schools	1.5	1.5	0.0	0.0	0.0	0.0
Mental Hygiene - Total SFY 2019 Enacted Budget Impact on LFY 2019	5.3	1.5	0.0	3.8	0.0	0.0
Mental Hygiene - Total SFY 2019 Major Local Aid Programs	73.4	36.5	3.0	33.9	0.0	0.0
Transportation						
- Increase Transit Operating Aid	8.1	3.6	0.0	4.5	0.0	0.0
- Establish Local Shuttle Bus Service to/from Certain LIRR Stations	0.4	0.0	0.0	0.0	0.0	0.4
- Increase Highway Assistance for Extreme Winter Recovery	37.7	6.1	0.0	6.4	3.0	22.2
- Fund NYC Subway Action Plan	(254.0)	(254.0)	0.0	0.0	0.0	0.0
Transportation - Total SFY 2019 Enacted Budget Impact on LFY 2019	(207.8)	(244.3)	0.0	10.9	3.0	22.6
Transportation - Total SFY 2019 Major Local Aid Programs	886.1	240.1	0.0	359.9	56.1	230.0
Municipal Aid						
- Provide Aid to Certain Municipalities through Misc. Financial Assistance	1.2	0.0	0.0	0.0	0.3	0.9
- Provide Aid to Certain Towns for State Owned Land	0.1	0.0	0.0	0.0	0.0	0.1
Municipal Aid - Total SFY 2019 Enacted Budget Impact on LFY 2019	1.3	0.0	0.0	0.0	0.3	1.0
Municipal Aid - Total SFY 2019 Major Local Aid Programs	744.4	0.0	0.0	2.7	669.4	72.3
Public Protection						
- Increase Aid to Defense Funding	0.3	0.3	0.0	0.0	0.0	0.0
- Provide Aid to NYPD	0.1	0.1	0.0	0.0	0.0	0.0
- Provide Assistance to County District Attorneys	0.3	0.3	0.0	0.0	0.0	0.0
- Provide Aid to Westchester County for Policing	0.5	0.0	0.0	0.5	0.0	0.0
- Provide Aid to Finger Lakes and Southern Tier Law Enforcement	0.8	0.0	0.0	0.0	0.0	0.8
- Provide Aid for Law Enforcement, Emergency Services, and Anti Drug Programs	1.1	0.2	0.0	0.9	0.0	0.0
- Provide Aid to Newburgh and Schoharie Fire Departments	0.2	0.0	0.0	0.0	0.2	0.0
- Provide Aid for Indigent Parolee Representation	0.2	0.0	0.0	0.2	0.0	0.0
Public Protection - Total SFY 2019 Enacted Budget Impact on LFY 2019	3.5	0.9	0.0	1.6	0.2	0.8
Public Protection - Total SFY 2019 Major Local Aid Programs	317.0	90.4	0.0	226.6	0.0	0.0
Environment - Total SFY 2019 Major Local Aid Programs	360.0	TBD	0.0	TBD	TBD	TBD
All Other - Total SFY 2019 Major Local Aid Programs	446.0	220.0	146.0	80.0	0.0	0.0
Revenue Actions						
- Recover Warrantless Tax Debt from Unclaimed Funds	1.0	1.0	0.0	0.0	0.0	0.0
- Extend the Statute of Limitations for Amended Tax Returns	1.0	1.0	0.0	0.0	0.0	0.0
Revenue Actions - Total SFY 2019 Enacted Budget Impact on LFY 2019	2.0	2.0	0.0	0.0	0.0	0.0
Total SFY 2019 Exec Budget Impact on LFY 2019	944.0	317.5	529.7	18.9	3.5	24.4
- Match County-Wide Shared Services Initiative Savings	100.0	0.0	TBD	TBD	TBD	TBD
Grand Total SFY 2019 Enacted Budget Impact on LFY 2019	1,044.0	317.5	529.7	18.9	3.5	24.4
Grand Total SFY 2019 Major Local Aid Programs/Savings	41,422.0	16,700.1	18,370.8	4,718.8	725.5	302.3

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2018 Results	FY 2019 Enacted	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
<i>Agriculture and Markets, Department of</i>	65,366	70,719	61,430	57,441	56,380
Local Assistance	32,318	38,271	28,757	24,757	24,907
State Operations	33,048	32,448	32,673	32,684	31,473
Personal Service	28,155	26,463	26,463	27,474	26,463
Non-Personal Service	4,893	5,985	6,210	5,210	5,010
<i>Alcoholic Beverage Control, Division of</i>	11,819	12,483	11,244	11,560	11,244
State Operations	11,819	12,483	11,244	11,560	11,244
Personal Service	8,131	8,147	8,585	8,901	8,585
Non-Personal Service	3,688	4,336	2,659	2,659	2,659
<i>Economic Development, Department of</i>	70,791	74,040	68,985	68,985	68,835
Local Assistance	52,871	58,699	53,644	53,644	53,494
State Operations	17,920	15,341	15,341	15,341	15,341
Personal Service	13,240	12,826	12,826	12,826	12,826
Non-Personal Service	4,680	2,515	2,515	2,515	2,515
<i>Empire State Development Corporation</i>	124,880	97,936	86,746	91,746	91,746
Local Assistance	124,880	97,936	86,746	91,746	91,746
<i>Olympic Regional Development Authority</i>	2,736	8,783	5,736	5,736	5,736
State Operations	2,736	8,783	5,736	5,736	5,736
Personal Service	2,548	5,595	2,548	2,548	2,548
Non-Personal Service	188	3,188	3,188	3,188	3,188
Functional Total	275,592	263,961	234,141	235,468	233,941
PARKS AND THE ENVIRONMENT					
<i>Adirondack Park Agency</i>	4,468	4,469	4,414	4,572	4,414
State Operations	4,468	4,469	4,414	4,572	4,414
Personal Service	4,179	4,108	4,108	4,266	4,108
Non-Personal Service	289	361	306	306	306
<i>Environmental Conservation, Department of</i>	96,795	106,048	112,832	129,102	126,136
Local Assistance	2,491	4,795	3,019	3,019	3,019
State Operations	94,304	101,253	109,813	126,083	123,117
Personal Service	82,506	81,529	89,429	100,899	97,933
Non-Personal Service	11,798	19,724	20,384	25,184	25,184
<i>Parks, Recreation and Historic Preservation, Office of</i>	105,254	104,718	105,525	110,473	106,729
Local Assistance	2,931	406	100	100	100
State Operations	102,323	104,312	105,425	110,373	106,629
Personal Service	96,935	96,377	97,490	102,438	98,694
Non-Personal Service	5,388	7,935	7,935	7,935	7,935
Functional Total	206,517	215,235	222,771	244,147	237,279
TRANSPORTATION					
<i>Motor Vehicles, Department of</i>	1,600	12,088	11,947	12,186	12,430
Local Assistance	1,600	375	0	0	0
State Operations	0	11,713	11,947	12,186	12,430
Personal Service	0	8,524	8,694	8,868	9,046
Non-Personal Service	0	3,189	3,253	3,318	3,384
<i>Transportation, Department of</i>	116,103	575,256	422,570	427,322	432,214
Local Assistance	114,708	303,851	100,851	100,851	100,851
State Operations	1,395	271,405	321,719	326,471	331,363
Personal Service	0	158,568	158,563	158,558	158,551
Non-Personal Service	1,395	112,837	163,156	167,913	172,812
Functional Total	117,703	587,344	434,517	439,508	444,644
HEALTH					

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2018 Results	FY 2019 Enacted	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
Aging, Office for the	125,380	130,303	126,341	131,566	136,835
Local Assistance	124,180	129,072	125,110	130,292	135,604
State Operations	1,200	1,231	1,231	1,274	1,231
Personal Service	1,103	1,125	1,125	1,168	1,125
Non-Personal Service	97	106	106	106	106
Health, Department of	14,611,316	15,592,292	16,976,933	18,056,071	18,932,736
Medical Assistance	13,111,453	13,771,730	15,331,317	16,408,604	17,289,333
Local Assistance	13,111,453	13,771,730	15,331,317	16,408,604	17,289,333
Essential Plan	87,638	101,670	97,696	92,840	87,487
State Operations	87,638	101,670	97,696	92,840	87,487
Personal Service	3,148	4,015	3,835	3,700	3,714
Non-Personal Service	84,490	97,655	93,861	89,140	83,773
Medicaid Administration	583,789	915,261	745,618	751,774	752,266
Local Assistance	286,336	558,908	387,511	387,511	387,511
State Operations	297,453	356,353	358,107	364,263	364,755
Personal Service	37,196	51,304	54,831	57,816	56,336
Non-Personal Service	260,257	305,049	303,276	306,447	308,419
Public Health	828,436	803,631	802,302	802,853	803,650
Local Assistance	707,236	669,867	668,438	665,884	669,786
State Operations	121,200	133,764	133,864	136,969	133,864
Personal Service	81,988	93,295	93,295	96,400	93,295
Non-Personal Service	39,212	40,469	40,569	40,569	40,569
Medicaid Inspector General, Office of the	18,282	18,111	18,111	18,718	18,111
State Operations	18,282	18,111	18,111	18,718	18,111
Personal Service	15,864	14,936	14,936	15,543	14,936
Non-Personal Service	2,418	3,175	3,175	3,175	3,175
Functional Total	14,754,978	15,740,706	17,121,385	18,206,355	19,087,682
SOCIAL WELFARE					
Children and Family Services, Office of	1,832,432	1,957,445	2,044,569	2,134,278	2,171,327
OCFS	1,755,070	1,872,703	1,955,771	2,042,252	2,075,141
Local Assistance	1,527,520	1,602,747	1,599,635	1,619,421	1,649,928
State Operations	227,550	269,956	356,136	422,831	425,213
Personal Service	168,186	188,241	257,269	310,896	311,039
Non-Personal Service	59,364	81,715	98,867	111,935	114,174
OCFS - Other	77,362	84,742	88,798	92,026	96,186
Local Assistance	77,362	84,742	88,798	92,026	96,186
Housing and Community Renewal, Division of	9,912	13,956	43,987	44,995	45,506
Local Assistance	4,119	9,406	39,437	40,090	40,765
State Operations	5,793	4,550	4,550	4,905	4,741
Personal Service	3,866	4,199	4,199	4,492	4,328
Non-Personal Service	1,927	351	351	413	413
Human Rights, Division of	9,465	9,921	9,921	10,590	10,230
State Operations	9,465	9,921	9,921	10,590	10,230
Personal Service	8,662	9,461	9,461	10,121	9,752
Non-Personal Service	803	460	460	469	478
Labor, Department of	12,863	14,800	288	349	351
Local Assistance	12,666	14,512	0	0	0
State Operations	197	288	288	349	351
Personal Service	84	88	88	94	91
Non-Personal Service	113	200	200	255	260
National and Community Service	770	690	690	699	699
Local Assistance	477	350	350	350	350
State Operations	293	340	340	349	349

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2018 Results	FY 2019 Enacted	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
Personal Service	292	331	331	340	340
Non-Personal Service	1	9	9	9	9
Temporary and Disability Assistance, Office of	1,351,164	1,404,701	1,485,710	1,493,003	1,499,523
Welfare Assistance	1,137,373	1,177,821	1,241,366	1,241,366	1,241,366
Local Assistance	1,137,373	1,177,821	1,241,366	1,241,366	1,241,366
All Other	213,791	226,880	244,344	251,637	258,157
Local Assistance	92,145	103,063	114,196	116,996	120,796
State Operations	121,646	123,817	130,148	134,641	137,361
Personal Service	64,895	68,444	73,603	76,972	78,522
Non-Personal Service	56,751	55,373	56,545	57,669	58,839
Functional Total	3,216,606	3,401,513	3,585,165	3,683,914	3,727,636
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	36,221	428,972	455,931	473,410	487,282
OASAS	14,896	364,558	390,375	406,404	419,504
Local Assistance	14,896	331,496	356,407	371,369	384,803
State Operations	0	33,062	33,968	35,035	34,701
Personal Service	0	26,455	27,084	27,874	27,259
Non-Personal Service	0	6,607	6,884	7,161	7,442
OASAS - Other	21,325	64,414	65,556	67,006	67,778
Local Assistance	21,325	21,325	21,325	21,325	21,325
State Operations	0	43,089	44,231	45,681	46,453
Personal Service	0	32,955	33,721	34,739	35,077
Non-Personal Service	0	10,134	10,510	10,942	11,376
Justice Center	37,797	40,692	42,291	44,129	43,506
Local Assistance	170	170	170	170	170
State Operations	37,627	40,522	42,121	43,959	43,336
Personal Service	30,029	31,377	32,717	34,280	33,374
Non-Personal Service	7,598	9,145	9,404	9,679	9,962
Mental Health, Office of	273,626	2,635,106	2,797,227	2,906,384	3,002,298
OMH	8,237	1,364,800	1,507,031	1,575,558	1,646,157
Local Assistance	7,540	1,025,793	1,163,701	1,232,228	1,298,839
State Operations	697	339,007	343,330	343,330	347,318
Personal Service	0	301,985	305,021	305,021	308,071
Non-Personal Service	697	37,022	38,309	38,309	39,247
OMH - Other	265,389	1,270,306	1,290,196	1,330,826	1,356,141
Local Assistance	265,389	299,325	307,918	316,916	326,131
State Operations	0	970,981	982,278	1,013,910	1,030,010
Personal Service	0	760,887	757,401	780,798	789,368
Non-Personal Service	0	210,094	224,877	233,112	240,642
People with Developmental Disabilities, Office for	438,201	1,851,615	2,050,479	2,330,861	2,537,037
OPWDD	143	388,271	397,228	409,370	422,458
Local Assistance	143	388,271	397,228	409,370	422,458
OPWDD - Other	438,058	1,463,344	1,653,251	1,921,491	2,114,579
Local Assistance	438,058	186,137	340,065	571,203	749,619
State Operations	0	1,277,207	1,313,186	1,350,288	1,364,960
Personal Service	0	1,108,019	1,139,578	1,171,726	1,181,297
Non-Personal Service	0	169,188	173,608	178,562	183,663
Functional Total	785,845	4,956,385	5,345,928	5,754,784	6,070,123
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	2,594	2,651	2,651	2,773	2,735
State Operations	2,594	2,651	2,651	2,773	2,735

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2018 Results	FY 2019 Enacted	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
Personal Service	2,316	2,414	2,414	2,531	2,488
Non-Personal Service	278	237	237	242	247
Correctional Services, Department of	2,632,319	2,608,171	2,620,544	2,626,174	2,617,544
Local Assistance	5,686	4,836	4,836	4,836	4,836
State Operations	2,626,633	2,603,335	2,615,708	2,621,338	2,612,708
Personal Service	2,098,248	2,042,613	2,056,117	2,061,747	2,056,117
Non-Personal Service	528,385	560,722	559,591	559,591	556,591
Corrections and Community Supervision Medicaid, Department of	0	2,000	2,000	2,000	0
Local Assistance	0	2,000	2,000	2,000	0
Criminal Justice Services, Division of	170,421	192,719	165,721	166,685	166,415
Local Assistance	136,570	158,998	132,000	132,000	132,000
State Operations	33,851	33,721	33,721	34,685	34,415
Personal Service	26,713	25,190	25,190	26,154	25,713
Non-Personal Service	7,138	8,531	8,531	8,531	8,702
Homeland Security and Emergency Services, Division of	4,956	6,572	4,972	4,972	5,290
Local Assistance	3,886	5,572	3,972	3,972	4,290
State Operations	1,070	1,000	1,000	1,000	1,000
Personal Service	1,070	1,000	1,000	1,000	1,000
Judicial Conduct, Commission on	5,552	5,696	5,708	5,936	6,114
State Operations	5,552	5,696	5,708	5,936	6,114
Personal Service	4,238	4,312	4,347	4,548	4,483
Non-Personal Service	1,314	1,384	1,361	1,388	1,631
Judicial Nomination, Commission on	10	30	30	30	30
State Operations	10	30	30	30	30
Non-Personal Service	10	30	30	30	30
Judicial Screening Committees, New York State	14	38	38	38	38
State Operations	14	38	38	38	38
Non-Personal Service	14	38	38	38	38
Military and Naval Affairs, Division of	23,738	21,438	21,579	21,875	22,509
Local Assistance	819	820	820	820	886
State Operations	22,919	20,618	20,759	21,055	21,623
Personal Service	15,015	14,161	14,161	14,457	14,531
Non-Personal Service	7,904	6,457	6,598	6,598	7,092
State Police, Division of	664,644	651,653	651,391	676,601	691,110
State Operations	664,644	651,653	651,391	676,601	691,110
Personal Service	630,445	621,366	617,871	643,041	655,540
Non-Personal Service	34,199	30,287	33,520	33,560	35,570
Statewide Financial System	30,137	30,137	30,137	30,580	30,748
State Operations	30,137	30,137	30,137	30,580	30,748
Personal Service	11,094	11,513	11,513	11,956	11,752
Non-Personal Service	19,043	18,624	18,624	18,624	18,996
Victim Services, Office of	(514)	2,788	2,788	2,788	2,788
Local Assistance	(514)	2,788	2,788	2,788	2,788
Functional Total	3,533,871	3,523,893	3,507,559	3,540,452	3,545,321

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	<u>FY 2018 Results</u>	<u>FY 2019 Enacted</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>
HIGHER EDUCATION					
City University of New York	1,465,153	1,493,737	1,524,573	1,555,791	1,588,481
Local Assistance	1,465,153	1,493,737	1,524,573	1,555,791	1,588,481
Higher Education Services Corporation, New York State	879,322	1,089,071	1,158,104	1,176,377	1,183,483
Local Assistance	879,322	1,089,071	1,158,104	1,176,377	1,183,483
State University of New York	489,832	482,989	485,343	485,343	485,343
Local Assistance	488,783	482,289	484,643	484,643	484,643
State Operations	1,049	700	700	700	700
Non-Personal Service	1,049	700	700	700	700
Functional Total	2,834,307	3,065,797	3,168,020	3,217,511	3,257,307
EDUCATION					
Arts, Council on the	39,210	45,315	45,155	45,251	45,155
Local Assistance	34,950	40,995	40,835	40,835	40,835
State Operations	4,260	4,320	4,320	4,416	4,320
Personal Service	2,626	2,498	2,498	2,594	2,498
Non-Personal Service	1,634	1,822	1,822	1,822	1,822
Education, Department of	24,209,060	25,563,949	26,492,467	27,659,703	29,006,148
School Aid	21,953,910	23,110,690	24,055,372	25,146,869	26,406,220
Local Assistance	21,953,910	23,110,690	24,055,372	25,146,869	26,406,220
School Aid – Other	61,169	50,000	50,000	50,000	50,000
Local Assistance	61,169	50,000	50,000	50,000	50,000
Special Education Categorical Programs	1,263,705	1,352,380	1,396,537	1,459,151	1,525,151
Local Assistance	1,263,705	1,352,380	1,396,537	1,459,151	1,525,151
All Other	930,276	1,050,879	990,558	1,003,683	1,024,777
Local Assistance	873,880	990,093	932,320	944,473	966,539
State Operations	56,396	60,786	58,238	59,210	58,238
Personal Service	31,037	29,812	29,334	30,306	29,334
Non-Personal Service	25,359	30,974	28,904	28,904	28,904
Functional Total	24,248,270	25,609,264	26,537,622	27,704,954	29,051,303
GENERAL GOVERNMENT					
Budget, Division of the	23,931	23,722	22,895	23,749	22,895
State Operations	23,931	23,722	22,895	23,749	22,895
Personal Service	20,484	22,216	22,216	23,070	22,216
Non-Personal Service	3,447	1,506	679	679	679
Civil Service, Department of	14,117	12,544	12,643	13,113	12,739
State Operations	14,117	12,544	12,643	13,113	12,739
Personal Service	13,731	12,138	12,229	12,699	12,320
Non-Personal Service	386	406	414	414	419
Deferred Compensation Board	33	57	57	58	57
State Operations	33	57	57	58	57
Personal Service	33	32	32	33	32
Non-Personal Service	0	25	25	25	25
Elections, State Board of	7,769	8,587	8,697	9,033	9,304
Local Assistance	50	0	0	0	0
State Operations	7,719	8,587	8,697	9,033	9,304
Personal Service	6,077	6,018	6,063	6,346	6,253
Non-Personal Service	1,642	2,569	2,634	2,687	3,051
Employee Relations, Office of	3,569	2,601	2,621	2,719	2,621
State Operations	3,569	2,601	2,621	2,719	2,621
Personal Service	2,515	2,529	2,548	2,646	2,548
Non-Personal Service	1,054	72	73	73	73

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2018 Results	FY 2019 Enacted	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
<i>Gaming Commission, New York State</i>	4,373	6,362	6,362	6,527	6,362
State Operations	4,373	6,362	6,362	6,527	6,362
Personal Service	2,872	4,282	4,282	4,447	4,282
Non-Personal Service	1,501	2,080	2,080	2,080	2,080
<i>General Services, Office of</i>	138,445	132,981	128,903	127,600	126,196
State Operations	138,445	132,981	128,903	127,600	126,196
Personal Service	63,907	47,592	47,592	50,978	48,587
Non-Personal Service	74,538	85,389	81,311	76,622	77,609
<i>Inspector General, Office of the</i>	7,166	7,427	7,487	7,826	8,061
State Operations	7,166	7,427	7,487	7,826	8,061
Personal Service	6,223	6,600	6,648	6,970	6,857
Non-Personal Service	943	827	839	856	1,204
<i>Labor Management Committees</i>	24,773	31,000	31,000	31,211	31,000
State Operations	24,773	31,000	31,000	31,211	31,000
Personal Service	7,747	5,446	5,487	5,698	5,487
Non-Personal Service	17,026	25,554	25,513	25,513	25,513
<i>Prevention of Domestic Violence, Office for</i>	2,349	2,944	3,044	3,116	3,054
Local Assistance	791	1,285	1,385	1,385	1,412
State Operations	1,558	1,659	1,659	1,731	1,642
Personal Service	1,412	1,469	1,491	1,524	1,431
Non-Personal Service	146	190	168	207	211
<i>Public Employment Relations Board</i>	3,232	3,560	3,589	3,719	3,589
State Operations	3,232	3,560	3,589	3,719	3,589
Personal Service	3,059	3,313	3,338	3,468	3,338
Non-Personal Service	173	247	251	251	251
<i>Public Integrity, Commission on</i>	5,115	5,576	5,630	5,835	6,010
State Operations	5,115	5,576	5,630	5,835	6,010
Personal Service	4,386	4,646	4,681	4,867	4,827
Non-Personal Service	729	930	949	968	1,183
<i>State, Department of</i>	19,872	23,414	22,632	15,994	15,632
Local Assistance	9,122	14,022	13,440	6,440	6,440
State Operations	10,750	9,392	9,192	9,554	9,192
Personal Service	10,364	9,003	8,953	9,315	8,953
Non-Personal Service	386	389	239	239	239
<i>Tax Appeals, Division of</i>	2,688	3,040	3,040	3,150	3,040
State Operations	2,688	3,040	3,040	3,150	3,040
Personal Service	2,541	2,870	2,870	2,980	2,870
Non-Personal Service	147	170	170	170	170
<i>Taxation and Finance, Department of</i>	307,009	255,848	256,473	265,166	256,473
Local Assistance	888	926	926	926	926
State Operations	306,121	254,922	255,547	264,240	255,547
Personal Service	266,320	219,408	219,939	227,328	219,939
Non-Personal Service	39,801	35,514	35,608	36,912	35,608
<i>Technology, Office for</i>	535,977	544,955	540,955	551,368	557,924
State Operations	535,977	544,955	540,955	551,368	557,924
Personal Service	289,994	286,515	292,246	308,713	304,055
Non-Personal Service	245,983	258,440	248,709	242,655	253,869
<i>Veterans' Affairs, Division of</i>	13,791	16,028	13,873	14,119	14,054
Local Assistance	7,906	9,792	7,637	7,637	7,637
State Operations	5,885	6,236	6,236	6,482	6,417
Personal Service	5,512	5,938	5,938	6,178	6,107
Non-Personal Service	373	298	298	304	310

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2018 Results	FY 2019 Enacted	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
Welfare Inspector General, Office of	671	686	701	731	753
State Operations	671	686	701	731	753
Personal Service	619	621	626	654	646
Non-Personal Service	52	65	75	77	107
Functional Total	1,114,880	1,081,332	1,070,602	1,085,034	1,079,764
ELECTED OFFICIALS					
Audit and Control, Department of	164,445	166,737	162,404	168,091	162,958
Local Assistance	32,025	32,024	32,024	32,024	32,024
State Operations	132,420	134,713	130,380	136,067	130,934
Personal Service	107,894	109,470	102,672	107,805	102,672
Non-Personal Service	24,526	25,243	27,708	28,262	28,262
Executive Chamber	13,560	13,578	13,578	14,032	13,578
State Operations	13,560	13,578	13,578	14,032	13,578
Personal Service	9,979	11,113	11,113	11,567	11,113
Non-Personal Service	3,581	2,465	2,465	2,465	2,465
Judiciary	2,691,657	2,768,829	2,783,829	2,840,829	2,783,829
Local Assistance	2,974	3,500	18,500	18,500	18,500
State Operations	1,966,636	2,006,700	2,009,200	2,066,200	2,009,200
Personal Service	1,565,719	1,594,500	1,597,000	1,654,000	1,597,000
Non-Personal Service	400,917	412,200	412,200	412,200	412,200
General State Charges	722,047	758,629	756,129	756,129	756,129
Law, Department of	105,993	107,538	103,761	108,535	104,035
State Operations	105,993	107,538	103,761	108,535	104,035
Personal Service	95,500	95,988	90,070	94,570	90,070
Non-Personal Service	10,493	11,550	13,691	13,965	13,965
Legislature	222,212	228,908	233,486	238,156	242,919
State Operations	222,212	228,908	233,486	238,156	242,919
Personal Service	174,350	175,541	179,052	182,633	186,286
Non-Personal Service	47,862	53,367	54,434	55,523	56,633
Lieutenant Governor, Office of the	492	614	614	634	614
State Operations	492	614	614	634	614
Personal Service	382	523	523	543	523
Non-Personal Service	110	91	91	91	91
Functional Total	3,198,359	3,286,204	3,297,672	3,370,277	3,307,933
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	721,920	724,159	763,347	763,347	763,347
Local Assistance	721,789	724,159	763,347	763,347	763,347
State Operations	131	0	0	0	0
Non-Personal Service	131	0	0	0	0
County-Wide Shared Services Initiative	0	0	100,000	125,000	0
Local Assistance	0	0	100,000	125,000	0
Efficiency Incentive Grants Program	348	0	0	0	0
Local Assistance	348	0	0	0	0
Miscellaneous Financial Assistance	9,270	12,001	2,250	2,250	2,250
Local Assistance	9,270	12,001	2,250	2,250	2,250
Municipalities with VLT Facilities	28,885	28,885	28,885	28,885	28,885
Local Assistance	28,885	28,885	28,885	28,885	28,885
Small Government Assistance	217	218	218	218	218
Local Assistance	217	218	218	218	218
Functional Total	760,640	765,263	894,700	919,700	794,700

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	<u>FY 2018 Results</u>	<u>FY 2019 Enacted</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>
ALL OTHER CATEGORIES					
General State Charges	4,842,815	6,789,244	7,336,753	7,857,175	8,380,175
General State Charges	4,842,815	6,789,244	7,336,753	7,857,175	8,380,175
Miscellaneous	(18,274)	1,074,411	1,323,717	1,981,561	1,973,684
Local Assistance	(69,431)	572,723	704,390	1,118,934	1,111,057
State Operations	44,005	497,268	614,907	858,207	858,207
Personal Service	34	209,056	327,195	554,995	554,995
Non-Personal Service	43,971	288,212	287,712	303,212	303,212
General State Charges	7,152	4,420	4,420	4,420	4,420
Functional Total	4,824,541	7,863,655	8,660,470	9,838,736	10,353,859
TOTAL GENERAL FUND SPENDING	59,872,109	70,360,552	74,080,552	78,240,840	81,191,492

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2018 Results	FY 2019 Enacted	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	65,366	70,719	61,430	57,441	56,380
Alcoholic Beverage Control, Division of	11,819	12,483	11,244	11,560	11,244
Economic Development, Department of	70,791	74,040	68,985	68,985	68,835
Empire State Development Corporation	124,880	97,936	86,746	91,746	91,746
Olympic Regional Development Authority	2,736	8,783	5,736	5,736	5,736
Functional Total	275,592	263,961	234,141	235,468	233,941
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	4,468	4,469	4,414	4,572	4,414
Environmental Conservation, Department of	96,795	106,048	112,832	129,102	126,136
Parks, Recreation and Historic Preservation, Office of	105,254	104,718	105,525	110,473	106,729
Functional Total	206,517	215,235	222,771	244,147	237,279
TRANSPORTATION					
Motor Vehicles, Department of	1,600	12,088	11,947	12,186	12,430
Transportation, Department of	116,103	575,256	422,570	427,322	432,214
Functional Total	117,703	587,344	434,517	439,508	444,644
HEALTH					
Aging, Office for the	125,380	130,303	126,341	131,566	136,835
Health, Department of	14,611,316	15,592,292	16,976,933	18,056,071	18,932,736
<i>Medical Assistance</i>	13,111,453	13,771,730	15,331,317	16,408,604	17,289,333
<i>Essential Plan</i>	87,638	101,670	97,696	92,840	87,487
<i>Medicaid Administration</i>	583,789	915,261	745,618	751,774	752,266
<i>Public Health</i>	828,436	803,631	802,302	802,853	803,650
Medicaid Inspector General, Office of the	18,282	18,111	18,111	18,718	18,111
Functional Total	14,754,978	15,740,706	17,121,385	18,206,355	19,087,682
SOCIAL WELFARE					
Children and Family Services, Office of	1,832,432	1,957,445	2,044,569	2,134,278	2,171,327
<i>OCFS</i>	1,755,070	1,872,703	1,955,771	2,042,252	2,075,141
<i>OCFS - Other</i>	77,362	84,742	88,798	92,026	96,186
Housing and Community Renewal, Division of	9,912	13,956	43,987	44,995	45,506
Human Rights, Division of	9,465	9,921	9,921	10,590	10,230
Labor, Department of	12,863	14,800	288	349	351
National and Community Service	770	690	690	699	699
Temporary and Disability Assistance, Office of	1,351,164	1,404,701	1,485,710	1,493,003	1,499,523
<i>Welfare Assistance</i>	1,137,373	1,177,821	1,241,366	1,241,366	1,241,366
<i>All Other</i>	213,791	226,880	244,344	251,637	258,157
Functional Total	3,216,606	3,401,513	3,585,165	3,683,914	3,727,636
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	36,221	428,972	455,931	473,410	487,282
<i>OASAS</i>	14,896	364,558	390,375	406,404	419,504
<i>OASAS - Other</i>	21,325	64,414	65,556	67,006	67,778
Justice Center	37,797	40,692	42,291	44,129	43,506
Mental Health, Office of	273,626	2,635,106	2,797,227	2,906,384	3,002,298
<i>OMH</i>	8,237	1,364,800	1,507,031	1,575,558	1,646,157
<i>OMH - Other</i>	265,389	1,270,306	1,290,196	1,330,826	1,356,141
People with Developmental Disabilities, Office for	438,201	1,851,615	2,050,479	2,330,861	2,537,037
<i>OPWDD</i>	143	388,271	397,228	409,370	422,458
<i>OPWDD - Other</i>	438,058	1,463,344	1,653,251	1,921,491	2,114,579
Functional Total	785,845	4,956,385	5,345,928	5,754,784	6,070,123
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	2,594	2,651	2,651	2,773	2,735
Correctional Services, Department of	2,632,319	2,608,171	2,620,544	2,626,174	2,617,544
Corrections and Community Supervision Medicaid, Department of	0	2,000	2,000	2,000	0
Criminal Justice Services, Division of	170,421	192,719	165,721	166,685	166,415
Homeland Security and Emergency Services, Division of	4,956	6,572	4,972	4,972	5,290
Judicial Conduct, Commission on	5,552	5,696	5,708	5,936	6,114
Judicial Nomination, Commission on	10	30	30	30	30

CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)

	FY 2018 Results	FY 2019 Enacted	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
Judicial Screening Committees, New York State	14	38	38	38	38
Military and Naval Affairs, Division of	23,738	21,438	21,579	21,875	22,509
State Police, Division of	664,644	651,653	651,391	676,601	691,110
Statewide Financial System	30,137	30,137	30,137	30,580	30,748
Victim Services, Office of	(514)	2,788	2,788	2,788	2,788
Functional Total	3,533,871	3,523,893	3,507,559	3,540,452	3,545,321
HIGHER EDUCATION					
City University of New York	1,465,153	1,493,737	1,524,573	1,555,791	1,588,481
Higher Education Services Corporation, New York State	879,322	1,089,071	1,158,104	1,176,377	1,183,483
State University of New York	489,832	482,989	485,343	485,343	485,343
Functional Total	2,834,307	3,065,797	3,168,020	3,217,511	3,257,307
EDUCATION					
Arts, Council on the	39,210	45,315	45,155	45,251	45,155
Education, Department of	24,209,060	25,563,949	26,492,467	27,659,703	29,006,148
<i>School Aid</i>	21,953,910	23,110,690	24,055,372	25,146,869	26,406,220
<i>School Aid – Other</i>	61,169	50,000	50,000	50,000	50,000
<i>Special Education Categorical Programs</i>	1,263,705	1,352,380	1,396,537	1,459,151	1,525,151
<i>All Other</i>	930,276	1,050,879	990,558	1,003,683	1,024,777
Functional Total	24,248,270	25,609,264	26,537,622	27,704,954	29,051,303
GENERAL GOVERNMENT					
Budget, Division of the	23,931	23,722	22,895	23,749	22,895
Civil Service, Department of	14,117	12,544	12,643	13,113	12,739
Deferred Compensation Board	33	57	57	58	57
Elections, State Board of	7,769	8,587	8,697	9,033	9,304
Employee Relations, Office of	3,569	2,601	2,621	2,719	2,621
Gaming Commission, New York State	4,373	6,362	6,362	6,527	6,362
General Services, Office of	138,445	132,981	128,903	127,600	126,196
Inspector General, Office of the	7,166	7,427	7,487	7,826	8,061
Labor Management Committees	24,773	31,000	31,000	31,211	31,000
Prevention of Domestic Violence, Office for	2,349	2,944	3,044	3,116	3,054
Public Employment Relations Board	3,232	3,560	3,589	3,719	3,589
Public Integrity, Commission on	5,115	5,576	5,630	5,835	6,010
State, Department of	19,872	23,414	22,632	15,994	15,632
Tax Appeals, Division of	2,688	3,040	3,040	3,150	3,040
Taxation and Finance, Department of	307,009	255,848	256,473	265,166	256,473
Technology, Office for	535,977	544,955	540,955	551,368	557,924
Veterans' Affairs, Division of	13,791	16,028	13,873	14,119	14,054
Welfare Inspector General, Office of	671	686	701	731	753
Functional Total	1,114,880	1,081,332	1,070,602	1,085,034	1,079,764
ELECTED OFFICIALS					
Audit and Control, Department of	164,445	166,737	162,404	168,091	162,958
Executive Chamber	13,560	13,578	13,578	14,032	13,578
Judiciary	2,691,657	2,768,829	2,783,829	2,840,829	2,783,829
Law, Department of	105,993	107,538	103,761	108,535	104,035
Legislature	222,212	228,908	233,486	238,156	242,919
Lieutenant Governor, Office of the	492	614	614	634	614
Functional Total	3,198,359	3,286,204	3,297,672	3,370,277	3,307,933
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	721,920	724,159	763,347	763,347	763,347
County-Wide Shared Services Initiative	0	0	100,000	125,000	0
Efficiency Incentive Grants Program	348	0	0	0	0
Miscellaneous Financial Assistance	9,270	12,001	2,250	2,250	2,250
Municipalities with VLT Facilities	28,885	28,885	28,885	28,885	28,885
Small Government Assistance	217	218	218	218	218
Functional Total	760,640	765,263	894,700	919,700	794,700

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	<u>FY 2018 Results</u>	<u>FY 2019 Enacted</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>
ALL OTHER CATEGORIES					
General State Charges	4,842,815	6,789,244	7,336,753	7,857,175	8,380,175
Miscellaneous	(18,274)	1,074,411	1,323,717	1,981,561	1,973,684
Functional Total	<u>4,824,541</u>	<u>7,863,655</u>	<u>8,660,470</u>	<u>9,838,736</u>	<u>10,353,859</u>
TOTAL GENERAL FUND SPENDING	<u>59,872,109</u>	<u>70,360,552</u>	<u>74,080,552</u>	<u>78,240,840</u>	<u>81,191,492</u>

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
LOCAL ASSISTANCE
(thousands of dollars)**

	FY 2018 Results	FY 2019 Enacted	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	32,318	38,271	28,757	24,757	24,907
Economic Development, Department of	52,871	58,699	53,644	53,644	53,494
Empire State Development Corporation	124,880	97,936	86,746	91,746	91,746
Functional Total	210,069	194,906	169,147	170,147	170,147
PARKS AND THE ENVIRONMENT					
Environmental Conservation, Department of	2,491	4,795	3,019	3,019	3,019
Parks, Recreation and Historic Preservation, Office of	2,931	406	100	100	100
Functional Total	5,422	5,201	3,119	3,119	3,119
TRANSPORTATION					
Motor Vehicles, Department of	1,600	375	0	0	0
Transportation, Department of	114,708	303,851	100,851	100,851	100,851
Functional Total	116,308	304,226	100,851	100,851	100,851
HEALTH					
Aging, Office for the	124,180	129,072	125,110	130,292	135,604
Health, Department of	14,105,025	15,000,505	16,387,266	17,461,999	18,346,630
<i>Medical Assistance</i>	13,111,453	13,771,730	15,331,317	16,408,604	17,289,333
<i>Medicaid Administration</i>	286,336	558,908	387,511	387,511	387,511
<i>Public Health</i>	707,236	669,867	668,438	665,884	669,786
Functional Total	14,229,205	15,129,577	16,512,376	17,592,291	18,482,234
SOCIAL WELFARE					
Children and Family Services, Office of	1,604,882	1,687,489	1,688,433	1,711,447	1,746,114
<i>OCFS</i>	1,527,520	1,602,747	1,599,635	1,619,421	1,649,928
<i>OCFS - Other</i>	77,362	84,742	88,798	92,026	96,186
Housing and Community Renewal, Division of	4,119	9,406	39,437	40,090	40,765
Labor, Department of	12,666	14,512	0	0	0
National and Community Service	477	350	350	350	350
Temporary and Disability Assistance, Office of	1,229,518	1,280,884	1,355,562	1,358,362	1,362,162
<i>Welfare Assistance</i>	1,137,373	1,177,821	1,241,366	1,241,366	1,241,366
<i>All Other</i>	92,145	103,063	114,196	116,996	120,796
Functional Total	2,851,662	2,992,641	3,083,782	3,110,249	3,149,391
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	36,221	352,821	377,732	392,694	406,128
<i>OASAS</i>	14,896	331,496	356,407	371,369	384,803
<i>OASAS - Other</i>	21,325	21,325	21,325	21,325	21,325
Justice Center	170	170	170	170	170
Mental Health, Office of	272,929	1,325,118	1,471,619	1,549,144	1,624,970
<i>OMH</i>	7,540	1,025,793	1,163,701	1,232,228	1,298,839
<i>OMH - Other</i>	265,389	299,325	307,918	316,916	326,131
People with Developmental Disabilities, Office for	438,201	574,408	737,293	980,573	1,172,077
<i>OPWDD</i>	143	388,271	397,228	409,370	422,458
<i>OPWDD - Other</i>	438,058	186,137	340,065	571,203	749,619
Functional Total	747,521	2,252,517	2,586,814	2,922,581	3,203,345
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correctional Services, Department of	5,686	4,836	4,836	4,836	4,836
Corrections and Community Supervision Medicaid, Department of	0	2,000	2,000	2,000	0
Criminal Justice Services, Division of	136,570	158,998	132,000	132,000	132,000
Homeland Security and Emergency Services, Division of	3,886	5,572	3,972	3,972	4,290
Military and Naval Affairs, Division of	819	820	820	820	886
Victim Services, Office of	(514)	2,788	2,788	2,788	2,788
Functional Total	146,447	175,014	146,416	146,416	144,800

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
LOCAL ASSISTANCE
(thousands of dollars)**

	<u>FY 2018 Results</u>	<u>FY 2019 Enacted</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>
HIGHER EDUCATION					
City University of New York	1,465,153	1,493,737	1,524,573	1,555,791	1,588,481
Higher Education Services Corporation, New York State	879,322	1,089,071	1,158,104	1,176,377	1,183,483
State University of New York	488,783	482,289	484,643	484,643	484,643
Functional Total	<u>2,833,258</u>	<u>3,065,097</u>	<u>3,167,320</u>	<u>3,216,811</u>	<u>3,256,607</u>
EDUCATION					
Arts, Council on the	34,950	40,995	40,835	40,835	40,835
Education, Department of	24,152,664	25,503,163	26,434,229	27,600,493	28,947,910
<i>School Aid</i>	21,953,910	23,110,690	24,055,372	25,146,869	26,406,220
<i>School Aid – Other</i>	61,169	50,000	50,000	50,000	50,000
<i>Special Education Categorical Programs</i>	1,263,705	1,352,380	1,396,537	1,459,151	1,525,151
<i>All Other</i>	873,880	990,093	932,320	944,473	966,539
Functional Total	<u>24,187,614</u>	<u>25,544,158</u>	<u>26,475,064</u>	<u>27,641,328</u>	<u>28,988,745</u>
GENERAL GOVERNMENT					
Elections, State Board of	50	0	0	0	0
Prevention of Domestic Violence, Office for	791	1,285	1,385	1,385	1,412
State, Department of	9,122	14,022	13,440	6,440	6,440
Taxation and Finance, Department of	888	926	926	926	926
Veterans' Affairs, Division of	7,906	9,792	7,637	7,637	7,637
Functional Total	<u>18,757</u>	<u>26,025</u>	<u>23,388</u>	<u>16,388</u>	<u>16,415</u>
ELECTED OFFICIALS					
Audit and Control, Department of	32,025	32,024	32,024	32,024	32,024
Judiciary	2,974	3,500	18,500	18,500	18,500
Functional Total	<u>34,999</u>	<u>35,524</u>	<u>50,524</u>	<u>50,524</u>	<u>50,524</u>
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	721,789	724,159	763,347	763,347	763,347
County-Wide Shared Services Initiative	0	0	100,000	125,000	0
Efficiency Incentive Grants Program	348	0	0	0	0
Miscellaneous Financial Assistance	9,270	12,001	2,250	2,250	2,250
Municipalities with VLT Facilities	28,885	28,885	28,885	28,885	28,885
Small Government Assistance	217	218	218	218	218
Functional Total	<u>760,509</u>	<u>765,263</u>	<u>894,700</u>	<u>919,700</u>	<u>794,700</u>
ALL OTHER CATEGORIES					
Miscellaneous	(69,431)	572,723	704,390	1,118,934	1,111,057
Functional Total	<u>(69,431)</u>	<u>572,723</u>	<u>704,390</u>	<u>1,118,934</u>	<u>1,111,057</u>
TOTAL LOCAL ASSISTANCE SPENDING	<u>46,072,340</u>	<u>51,062,872</u>	<u>53,917,891</u>	<u>57,009,339</u>	<u>59,471,935</u>

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
STATE OPERATIONS
(thousands of dollars)**

	FY 2018 Results	FY 2019 Enacted	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	33,048	32,448	32,673	32,684	31,473
Alcoholic Beverage Control, Division of	11,819	12,483	11,244	11,560	11,244
Economic Development, Department of	17,920	15,341	15,341	15,341	15,341
Olympic Regional Development Authority	2,736	8,783	5,736	5,736	5,736
Functional Total	65,523	69,055	64,994	65,321	63,794
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	4,468	4,469	4,414	4,572	4,414
Environmental Conservation, Department of	94,304	101,253	109,813	126,083	123,117
Parks, Recreation and Historic Preservation, Office of	102,323	104,312	105,425	110,373	106,629
Functional Total	201,095	210,034	219,652	241,028	234,160
TRANSPORTATION					
Motor Vehicles, Department of	0	11,713	11,947	12,186	12,430
Transportation, Department of	1,395	271,405	321,719	326,471	331,363
Functional Total	1,395	283,118	333,666	338,657	343,793
HEALTH					
Aging, Office for the	1,200	1,231	1,231	1,274	1,231
Health, Department of	506,291	591,787	589,667	594,072	586,106
<i>Essential Plan</i>	87,638	101,670	97,696	92,840	87,487
<i>Medicaid Administration</i>	297,453	356,353	358,107	364,263	364,755
<i>Public Health</i>	121,200	133,764	133,864	136,969	133,864
Medicaid Inspector General, Office of the	18,282	18,111	18,111	18,718	18,111
Functional Total	525,773	611,129	609,009	614,064	605,448
SOCIAL WELFARE					
Children and Family Services, Office of	227,550	269,956	356,136	422,831	425,213
<i>OCFS</i>	227,550	269,956	356,136	422,831	425,213
Housing and Community Renewal, Division of	5,793	4,550	4,550	4,905	4,741
Human Rights, Division of	9,465	9,921	9,921	10,590	10,230
Labor, Department of	197	288	288	349	351
National and Community Service	293	340	340	349	349
Temporary and Disability Assistance, Office of	121,646	123,817	130,148	134,641	137,361
<i>All Other</i>	121,646	123,817	130,148	134,641	137,361
Functional Total	364,944	408,872	501,383	573,665	578,245
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	0	76,151	78,199	80,716	81,154
<i>OASAS</i>	0	33,062	33,968	35,035	34,701
<i>OASAS - Other</i>	0	43,089	44,231	45,681	46,453
Justice Center	37,627	40,522	42,121	43,959	43,336
Mental Health, Office of	697	1,309,988	1,325,608	1,357,240	1,377,328
<i>OMH</i>	697	339,007	343,330	343,330	347,318
<i>OMH - Other</i>	0	970,981	982,278	1,013,910	1,030,010
People with Developmental Disabilities, Office for	0	1,277,207	1,313,186	1,350,288	1,364,960
<i>OPWDD - Other</i>	0	1,277,207	1,313,186	1,350,288	1,364,960
Functional Total	38,324	2,703,868	2,759,114	2,832,203	2,866,778
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	2,594	2,651	2,651	2,773	2,735
Correctional Services, Department of	2,626,633	2,603,335	2,615,708	2,621,338	2,612,708
Criminal Justice Services, Division of	33,851	33,721	33,721	34,685	34,415
Homeland Security and Emergency Services, Division of	1,070	1,000	1,000	1,000	1,000
Judicial Conduct, Commission on	5,552	5,696	5,708	5,936	6,114
Judicial Nomination, Commission on	10	30	30	30	30
Judicial Screening Committees, New York State	14	38	38	38	38
Military and Naval Affairs, Division of	22,919	20,618	20,759	21,055	21,623
State Police, Division of	664,644	651,653	651,391	676,601	691,110
Statewide Financial System	30,137	30,137	30,137	30,580	30,748
Functional Total	3,387,424	3,348,879	3,361,143	3,394,036	3,400,521

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
STATE OPERATIONS
(thousands of dollars)**

	<u>FY 2018 Results</u>	<u>FY 2019 Enacted</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>
HIGHER EDUCATION					
State University of New York	1,049	700	700	700	700
Functional Total	<u>1,049</u>	<u>700</u>	<u>700</u>	<u>700</u>	<u>700</u>
EDUCATION					
Arts, Council on the	4,260	4,320	4,320	4,416	4,320
Education, Department of	56,396	60,786	58,238	59,210	58,238
<i>All Other</i>	56,396	60,786	58,238	59,210	58,238
Functional Total	<u>60,656</u>	<u>65,106</u>	<u>62,558</u>	<u>63,626</u>	<u>62,558</u>
GENERAL GOVERNMENT					
Budget, Division of the	23,931	23,722	22,895	23,749	22,895
Civil Service, Department of	14,117	12,544	12,643	13,113	12,739
Deferred Compensation Board	33	57	57	58	57
Elections, State Board of	7,719	8,587	8,697	9,033	9,304
Employee Relations, Office of	3,569	2,601	2,621	2,719	2,621
Gaming Commission, New York State	4,373	6,362	6,362	6,527	6,362
General Services, Office of	138,445	132,981	128,903	127,600	126,196
Inspector General, Office of the	7,166	7,427	7,487	7,826	8,061
Labor Management Committees	24,773	31,000	31,000	31,211	31,000
Prevention of Domestic Violence, Office for	1,558	1,659	1,659	1,731	1,642
Public Employment Relations Board	3,232	3,560	3,589	3,719	3,589
Public Integrity, Commission on	5,115	5,576	5,630	5,835	6,010
State, Department of	10,750	9,392	9,192	9,554	9,192
Tax Appeals, Division of	2,688	3,040	3,040	3,150	3,040
Taxation and Finance, Department of	306,121	254,922	255,547	264,240	255,547
Technology, Office for	535,977	544,955	540,955	551,368	557,924
Veterans' Affairs, Division of	5,885	6,236	6,236	6,482	6,417
Welfare Inspector General, Office of	671	686	701	731	753
Functional Total	<u>1,096,123</u>	<u>1,055,307</u>	<u>1,047,214</u>	<u>1,068,646</u>	<u>1,063,349</u>
ELECTED OFFICIALS					
Audit and Control, Department of	132,420	134,713	130,380	136,067	130,934
Executive Chamber	13,560	13,578	13,578	14,032	13,578
Judiciary	1,966,636	2,006,700	2,009,200	2,066,200	2,009,200
Law, Department of	105,993	107,538	103,761	108,535	104,035
Legislature	222,212	228,908	233,486	238,156	242,919
Lieutenant Governor, Office of the	492	614	614	634	614
Functional Total	<u>2,441,313</u>	<u>2,492,051</u>	<u>2,491,019</u>	<u>2,563,624</u>	<u>2,501,280</u>
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	131	0	0	0	0
Functional Total	<u>131</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
ALL OTHER CATEGORIES					
Miscellaneous	44,005	497,268	614,907	858,207	858,207
Functional Total	<u>44,005</u>	<u>497,268</u>	<u>614,907</u>	<u>858,207</u>	<u>858,207</u>
TOTAL STATE OPERATIONS SPENDING	<u>8,227,755</u>	<u>11,745,387</u>	<u>12,065,359</u>	<u>12,613,777</u>	<u>12,578,833</u>

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
PERSONAL SERVICE
(thousands of dollars)**

	FY 2018 Results	FY 2019 Enacted	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	28,155	26,463	26,463	27,474	26,463
Alcoholic Beverage Control, Division of	8,131	8,147	8,585	8,901	8,585
Economic Development, Department of	13,240	12,826	12,826	12,826	12,826
Olympic Regional Development Authority	2,548	5,595	2,548	2,548	2,548
Functional Total	52,074	53,031	50,422	51,749	50,422
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	4,179	4,108	4,108	4,266	4,108
Environmental Conservation, Department of	82,506	81,529	89,429	100,899	97,933
Parks, Recreation and Historic Preservation, Office of	96,935	96,377	97,490	102,438	98,694
Functional Total	183,620	182,014	191,027	207,603	200,735
TRANSPORTATION					
Motor Vehicles, Department of	0	8,524	8,694	8,868	9,046
Transportation, Department of	0	158,568	158,563	158,558	158,551
Functional Total	0	167,092	167,257	167,426	167,597
HEALTH					
Aging, Office for the	1,103	1,125	1,125	1,168	1,125
Health, Department of	122,332	148,614	151,961	157,916	153,345
<i>Essential Plan</i>	3,148	4,015	3,835	3,700	3,714
<i>Medicaid Administration</i>	37,196	51,304	54,831	57,816	56,336
<i>Public Health</i>	81,988	93,295	93,295	96,400	93,295
Medicaid Inspector General, Office of the	15,864	14,936	14,936	15,543	14,936
Functional Total	139,299	164,675	168,022	174,627	169,406
SOCIAL WELFARE					
Children and Family Services, Office of	168,186	188,241	257,269	310,896	311,039
<i>OCFS</i>	168,186	188,241	257,269	310,896	311,039
Housing and Community Renewal, Division of	3,866	4,199	4,199	4,492	4,328
Human Rights, Division of	8,662	9,461	9,461	10,121	9,752
Labor, Department of	84	88	88	94	91
National and Community Service	292	331	331	340	340
Temporary and Disability Assistance, Office of	64,895	68,444	73,603	76,972	78,522
<i>All Other</i>	64,895	68,444	73,603	76,972	78,522
Functional Total	245,985	270,764	344,951	402,915	404,072
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	0	59,410	60,805	62,613	62,336
<i>OASAS</i>	0	26,455	27,084	27,874	27,259
<i>OASAS - Other</i>	0	32,955	33,721	34,739	35,077
Justice Center	30,029	31,377	32,717	34,280	33,374
Mental Health, Office of	0	1,062,872	1,062,422	1,085,819	1,097,439
<i>OMH</i>	0	301,985	305,021	305,021	308,071
<i>OMH - Other</i>	0	760,887	757,401	780,798	789,368
People with Developmental Disabilities, Office for	0	1,108,019	1,139,578	1,171,726	1,181,297
<i>OPWDD - Other</i>	0	1,108,019	1,139,578	1,171,726	1,181,297
Functional Total	30,029	2,261,678	2,295,522	2,354,438	2,374,446
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	2,316	2,414	2,414	2,531	2,488
Correctional Services, Department of	2,098,248	2,042,613	2,056,117	2,061,747	2,056,117
Criminal Justice Services, Division of	26,713	25,190	25,190	26,154	25,713
Homeland Security and Emergency Services, Division of	1,070	1,000	1,000	1,000	1,000
Judicial Conduct, Commission on	4,238	4,312	4,347	4,548	4,483
Military and Naval Affairs, Division of	15,015	14,161	14,161	14,457	14,531
State Police, Division of	630,445	621,366	617,871	643,041	655,540
Statewide Financial System	11,094	11,513	11,513	11,956	11,752
Functional Total	2,789,139	2,722,569	2,732,613	2,765,434	2,771,624
EDUCATION					

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
PERSONAL SERVICE
(thousands of dollars)**

	<u>FY 2018 Results</u>	<u>FY 2019 Enacted</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>
Arts, Council on the	2,626	2,498	2,498	2,594	2,498
Education, Department of	31,037	29,812	29,334	30,306	29,334
<i>All Other</i>	31,037	29,812	29,334	30,306	29,334
Functional Total	<u>33,663</u>	<u>32,310</u>	<u>31,832</u>	<u>32,900</u>	<u>31,832</u>
GENERAL GOVERNMENT					
Budget, Division of the	20,484	22,216	22,216	23,070	22,216
Civil Service, Department of	13,731	12,138	12,229	12,699	12,320
Deferred Compensation Board	33	32	32	33	32
Elections, State Board of	6,077	6,018	6,063	6,346	6,253
Employee Relations, Office of	2,515	2,529	2,548	2,646	2,548
Gaming Commission, New York State	2,872	4,282	4,282	4,447	4,282
General Services, Office of	63,907	47,592	47,592	50,978	48,587
Inspector General, Office of the	6,223	6,600	6,648	6,970	6,857
Labor Management Committees	7,747	5,446	5,487	5,698	5,487
Prevention of Domestic Violence, Office for	1,412	1,469	1,491	1,524	1,431
Public Employment Relations Board	3,059	3,313	3,338	3,468	3,338
Public Integrity, Commission on	4,386	4,646	4,681	4,867	4,827
State, Department of	10,364	9,003	8,953	9,315	8,953
Tax Appeals, Division of	2,541	2,870	2,870	2,980	2,870
Taxation and Finance, Department of	266,320	219,408	219,939	227,328	219,939
Technology, Office for	289,994	286,515	292,246	308,713	304,055
Veterans' Affairs, Division of	5,512	5,938	5,938	6,178	6,107
Welfare Inspector General, Office of	619	621	626	654	646
Functional Total	<u>707,796</u>	<u>640,636</u>	<u>647,179</u>	<u>677,914</u>	<u>660,748</u>
ELECTED OFFICIALS					
Audit and Control, Department of	107,894	109,470	102,672	107,805	102,672
Executive Chamber	9,979	11,113	11,113	11,567	11,113
Judiciary	1,565,719	1,594,500	1,597,000	1,654,000	1,597,000
Law, Department of	95,500	95,988	90,070	94,570	90,070
Legislature	174,350	175,541	179,052	182,633	186,286
Lieutenant Governor, Office of the	382	523	523	543	523
Functional Total	<u>1,953,824</u>	<u>1,987,135</u>	<u>1,980,430</u>	<u>2,051,118</u>	<u>1,987,664</u>
ALL OTHER CATEGORIES					
Miscellaneous	34	209,056	327,195	554,995	554,995
Functional Total	<u>34</u>	<u>209,056</u>	<u>327,195</u>	<u>554,995</u>	<u>554,995</u>
TOTAL PERSONAL SERVICE SPENDING	<u><u>6,135,463</u></u>	<u><u>8,690,960</u></u>	<u><u>8,936,450</u></u>	<u><u>9,441,119</u></u>	<u><u>9,373,541</u></u>

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
NON-PERSONAL SERVICE
(thousands of dollars)**

	FY 2018 Results	FY 2019 Enacted	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	4,893	5,985	6,210	5,210	5,010
Alcoholic Beverage Control, Division of	3,688	4,336	2,659	2,659	2,659
Economic Development, Department of	4,680	2,515	2,515	2,515	2,515
Olympic Regional Development Authority	188	3,188	3,188	3,188	3,188
Functional Total	13,449	16,024	14,572	13,572	13,372
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	289	361	306	306	306
Environmental Conservation, Department of	11,798	19,724	20,384	25,184	25,184
Parks, Recreation and Historic Preservation, Office of	5,388	7,935	7,935	7,935	7,935
Functional Total	17,475	28,020	28,625	33,425	33,425
TRANSPORTATION					
Motor Vehicles, Department of	0	3,189	3,253	3,318	3,384
Transportation, Department of	1,395	112,837	163,156	167,913	172,812
Functional Total	1,395	116,026	166,409	171,231	176,196
HEALTH					
Aging, Office for the	97	106	106	106	106
Health, Department of	383,959	443,173	437,706	436,156	432,761
<i>Essential Plan</i>	84,490	97,655	93,861	89,140	83,773
<i>Medicaid Administration</i>	260,257	305,049	303,276	306,447	308,419
<i>Public Health</i>	39,212	40,469	40,569	40,569	40,569
Medicaid Inspector General, Office of the	2,418	3,175	3,175	3,175	3,175
Functional Total	386,474	446,454	440,987	439,437	436,042
SOCIAL WELFARE					
Children and Family Services, Office of	59,364	81,715	98,867	111,935	114,174
<i>OCFS</i>	59,364	81,715	98,867	111,935	114,174
Housing and Community Renewal, Division of	1,927	351	351	413	413
Human Rights, Division of	803	460	460	469	478
Labor, Department of	113	200	200	255	260
National and Community Service	1	9	9	9	9
Temporary and Disability Assistance, Office of	56,751	55,373	56,545	57,669	58,839
<i>All Other</i>	56,751	55,373	56,545	57,669	58,839
Functional Total	118,959	138,108	156,432	170,750	174,173
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	0	16,741	17,394	18,103	18,818
<i>OASAS</i>	0	6,607	6,884	7,161	7,442
<i>OASAS - Other</i>	0	10,134	10,510	10,942	11,376
Justice Center	7,598	9,145	9,404	9,679	9,962
Mental Health, Office of	697	247,116	263,186	271,421	279,889
<i>OMH</i>	697	37,022	38,309	38,309	39,247
<i>OMH - Other</i>	0	210,094	224,877	233,112	240,642
People with Developmental Disabilities, Office for	0	169,188	173,608	178,562	183,663
<i>OPWDD - Other</i>	0	169,188	173,608	178,562	183,663
Functional Total	8,295	442,190	463,592	477,765	492,332
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	278	237	237	242	247
Correctional Services, Department of	528,385	560,722	559,591	559,591	556,591
Criminal Justice Services, Division of	7,138	8,531	8,531	8,531	8,702
Judicial Conduct, Commission on	1,314	1,384	1,361	1,388	1,631
Judicial Nomination, Commission on	10	30	30	30	30
Judicial Screening Committees, New York State	14	38	38	38	38
Military and Naval Affairs, Division of	7,904	6,457	6,598	6,598	7,092
State Police, Division of	34,199	30,287	33,520	33,560	35,570
Statewide Financial System	19,043	18,624	18,624	18,624	18,996
Functional Total	598,285	626,310	628,530	628,602	628,897

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
NON-PERSONAL SERVICE
(thousands of dollars)**

	<u>FY 2018 Results</u>	<u>FY 2019 Enacted</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>
HIGHER EDUCATION					
State University of New York	1,049	700	700	700	700
Functional Total	<u>1,049</u>	<u>700</u>	<u>700</u>	<u>700</u>	<u>700</u>
EDUCATION					
Arts, Council on the	1,634	1,822	1,822	1,822	1,822
Education, Department of	25,359	30,974	28,904	28,904	28,904
<i>All Other</i>	25,359	30,974	28,904	28,904	28,904
Functional Total	<u>26,993</u>	<u>32,796</u>	<u>30,726</u>	<u>30,726</u>	<u>30,726</u>
GENERAL GOVERNMENT					
Budget, Division of the	3,447	1,506	679	679	679
Civil Service, Department of	386	406	414	414	419
Deferred Compensation Board	0	25	25	25	25
Elections, State Board of	1,642	2,569	2,634	2,687	3,051
Employee Relations, Office of	1,054	72	73	73	73
Gaming Commission, New York State	1,501	2,080	2,080	2,080	2,080
General Services, Office of	74,538	85,389	81,311	76,622	77,609
Inspector General, Office of the	943	827	839	856	1,204
Labor Management Committees	17,026	25,554	25,513	25,513	25,513
Prevention of Domestic Violence, Office for	146	190	168	207	211
Public Employment Relations Board	173	247	251	251	251
Public Integrity, Commission on	729	930	949	968	1,183
State, Department of	386	389	239	239	239
Tax Appeals, Division of	147	170	170	170	170
Taxation and Finance, Department of	39,801	35,514	35,608	36,912	35,608
Technology, Office for	245,983	258,440	248,709	242,655	253,869
Veterans' Affairs, Division of	373	298	298	304	310
Welfare Inspector General, Office of	52	65	75	77	107
Functional Total	<u>388,327</u>	<u>414,671</u>	<u>400,035</u>	<u>390,732</u>	<u>402,601</u>
ELECTED OFFICIALS					
Audit and Control, Department of	24,526	25,243	27,708	28,262	28,262
Executive Chamber	3,581	2,465	2,465	2,465	2,465
Judiciary	400,917	412,200	412,200	412,200	412,200
Law, Department of	10,493	11,550	13,691	13,965	13,965
Legislature	47,862	53,367	54,434	55,523	56,633
Lieutenant Governor, Office of the	110	91	91	91	91
Functional Total	<u>487,489</u>	<u>504,916</u>	<u>510,589</u>	<u>512,506</u>	<u>513,616</u>
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	131	0	0	0	0
Functional Total	<u>131</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
ALL OTHER CATEGORIES					
Miscellaneous	43,971	288,212	287,712	303,212	303,212
Functional Total	<u>43,971</u>	<u>288,212</u>	<u>287,712</u>	<u>303,212</u>	<u>303,212</u>
TOTAL NON-PERSONAL SERVICE SPENDING	<u><u>2,092,292</u></u>	<u><u>3,054,427</u></u>	<u><u>3,128,909</u></u>	<u><u>3,172,658</u></u>	<u><u>3,205,292</u></u>

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
GENERAL STATE CHARGES
(thousands of dollars)**

	<u>FY 2018 Results</u>	<u>FY 2019 Enacted</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>
ELECTED OFFICIALS					
Judiciary	722,047	758,629	756,129	756,129	756,129
Functional Total	<u>722,047</u>	<u>758,629</u>	<u>756,129</u>	<u>756,129</u>	<u>756,129</u>
ALL OTHER CATEGORIES					
General State Charges	4,842,815	6,789,244	7,336,753	7,857,175	8,380,175
Miscellaneous	7,152	4,420	4,420	4,420	4,420
Functional Total	<u>4,849,967</u>	<u>6,793,664</u>	<u>7,341,173</u>	<u>7,861,595</u>	<u>8,384,595</u>
TOTAL GENERAL STATE CHARGES SPENDING	<u><u>5,572,014</u></u>	<u><u>7,552,293</u></u>	<u><u>8,097,302</u></u>	<u><u>8,617,724</u></u>	<u><u>9,140,724</u></u>

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	<u>FY 2018 Results</u>	<u>FY 2019 Enacted</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
<i>Agriculture and Markets, Department of</i>	71,270	78,000	68,785	65,072	79,766
Local Assistance	32,318	38,271	28,757	24,757	24,907
State Operations	37,697	37,838	38,068	38,187	52,668
Personal Service	30,802	29,423	29,428	30,543	29,428
Non-Personal Service/Indirect Costs	6,895	8,415	8,640	7,644	23,240
General State Charges	1,255	1,891	1,960	2,128	2,191
<i>Alcoholic Beverage Control, Division of</i>	11,819	12,483	11,244	11,560	11,244
State Operations	11,819	12,483	11,244	11,560	11,244
Personal Service	8,131	8,147	8,585	8,901	8,585
Non-Personal Service/Indirect Costs	3,688	4,336	2,659	2,659	2,659
<i>Economic Development, Department of</i>	72,278	77,418	70,963	70,963	75,813
Local Assistance	52,871	60,099	53,644	53,644	58,494
State Operations	19,405	17,291	17,291	17,291	17,291
Personal Service	13,243	12,929	12,929	12,929	12,929
Non-Personal Service/Indirect Costs	6,162	4,362	4,362	4,362	4,362
General State Charges	2	28	28	28	28
<i>Empire State Development Corporation</i>	124,880	97,936	86,746	91,746	91,746
Local Assistance	124,880	97,936	86,746	91,746	91,746
<i>Financial Services, Department of</i>	357,557	374,035	378,571	397,475	391,112
Local Assistance	57,254	64,954	61,886	61,855	61,855
State Operations	209,379	208,909	212,543	218,825	212,688
Personal Service	154,146	156,697	157,475	163,459	157,616
Non-Personal Service/Indirect Costs	55,233	52,212	55,068	55,366	55,072
General State Charges	90,924	100,172	104,142	116,795	116,569
<i>Olympic Regional Development Authority</i>	2,736	8,933	5,886	5,886	5,886
State Operations	2,736	8,933	5,886	5,886	5,886
Personal Service	2,548	5,595	2,548	2,548	2,548
Non-Personal Service/Indirect Costs	188	3,338	3,338	3,338	3,338
<i>Public Service Department</i>	84,881	77,674	78,645	80,874	80,921
Local Assistance	102	136	136	136	136
State Operations	52,384	50,777	50,827	51,440	49,827
Personal Service	42,867	42,100	42,100	43,711	42,100
Non-Personal Service/Indirect Costs	9,517	8,677	8,727	7,729	7,727
General State Charges	32,395	26,761	27,682	29,298	30,958
Functional Total	725,421	726,479	700,840	723,576	736,488
PARKS AND THE ENVIRONMENT					
<i>Adirondack Park Agency</i>	4,468	4,469	4,414	4,572	4,414
State Operations	4,468	4,469	4,414	4,572	4,414
Personal Service	4,179	4,108	4,108	4,266	4,108
Non-Personal Service/Indirect Costs	289	361	306	306	306
<i>Environmental Conservation, Department of</i>	260,839	262,116	254,400	266,590	260,874
Local Assistance	2,491	4,795	3,019	3,019	3,019
State Operations	212,775	207,723	205,114	216,625	210,041
Personal Service	175,514	170,420	168,321	176,631	170,066
Non-Personal Service/Indirect Costs	37,261	37,303	36,793	39,994	39,975
General State Charges	45,573	49,598	46,267	46,946	47,814
<i>Parks, Recreation and Historic Preservation, Office of</i>	179,334	182,232	180,091	186,561	181,690
Local Assistance	7,087	5,856	5,550	5,550	5,550
State Operations	168,983	173,224	171,381	177,704	172,799
Personal Service	130,555	133,829	132,271	138,592	133,687
Non-Personal Service/Indirect Costs	38,428	39,395	39,110	39,112	39,112
General State Charges	3,264	3,152	3,160	3,307	3,341
Functional Total	444,641	448,817	438,905	457,723	446,978

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	<u>FY 2018 Results</u>	<u>FY 2019 Enacted</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>
TRANSPORTATION					
Motor Vehicles, Department of	71,728	84,516	85,178	88,054	88,387
Local Assistance	1,600	375	0	0	0
State Operations	49,142	60,826	61,060	62,091	61,772
Personal Service	36,244	45,018	45,188	46,132	46,422
Non-Personal Service/Indirect Costs	12,898	15,808	15,872	15,959	15,350
General State Charges	20,986	23,315	24,118	25,963	26,615
Transportation, Department of	5,034,223	4,244,235	3,975,857	4,027,969	4,164,616
Local Assistance	5,023,364	3,960,895	3,642,091	3,689,096	3,820,921
State Operations	9,139	281,195	331,568	336,456	341,236
Personal Service	2,803	161,892	161,887	162,014	161,899
Non-Personal Service/Indirect Costs	6,336	119,303	169,681	174,442	179,337
General State Charges	1,720	2,145	2,198	2,417	2,459
Functional Total	5,105,951	4,328,751	4,061,035	4,116,023	4,253,003
HEALTH					
Aging, Office for the	125,380	130,304	126,342	131,567	136,836
Local Assistance	124,180	129,072	125,110	130,292	135,604
State Operations	1,200	1,232	1,232	1,275	1,232
Personal Service	1,103	1,125	1,125	1,168	1,125
Non-Personal Service/Indirect Costs	97	107	107	107	107
Health, Department of	21,460,861	22,304,939	23,685,141	24,804,821	25,666,784
Medical Assistance	18,857,443	19,363,730	20,775,358	21,776,355	22,642,807
Local Assistance	18,857,443	19,363,730	20,775,358	21,776,355	22,642,807
Essential Plan	87,638	101,670	97,696	92,840	87,487
State Operations	87,638	101,670	97,696	92,840	87,487
Personal Service	3,148	4,015	3,835	3,700	3,714
Non-Personal Service/Indirect Costs	84,490	97,655	93,861	89,140	83,773
Medicaid Administration	583,789	915,261	745,618	751,774	752,266
Local Assistance	286,336	558,908	387,511	387,511	387,511
State Operations	297,453	356,353	358,107	364,263	364,755
Personal Service	37,196	51,304	54,831	57,816	56,336
Non-Personal Service/Indirect Costs	260,257	305,049	303,276	306,447	308,419
Public Health	1,931,991	1,924,278	2,066,469	2,183,852	2,184,224
Local Assistance	1,544,070	1,497,240	1,640,909	1,750,173	1,754,075
State Operations	356,208	394,166	391,559	397,935	393,167
Personal Service	212,539	225,170	225,271	228,861	225,360
Non-Personal Service/Indirect Costs	143,669	168,996	166,288	169,074	167,807
General State Charges	31,713	32,872	34,001	35,744	36,982
Medicaid Inspector General, Office of the	18,282	18,111	18,111	18,718	18,111
State Operations	18,282	18,111	18,111	18,718	18,111
Personal Service	15,864	14,936	14,936	15,543	14,936
Non-Personal Service/Indirect Costs	2,418	3,175	3,175	3,175	3,175
Functional Total	21,604,523	22,453,354	23,829,594	24,955,106	25,821,731
SOCIAL WELFARE					
Children and Family Services, Office of	1,851,516	1,981,672	2,068,826	2,159,005	2,196,336
OCFS	1,774,154	1,896,930	1,980,028	2,066,979	2,100,150
Local Assistance	1,530,772	1,606,329	1,603,217	1,623,003	1,653,510
State Operations	241,724	288,837	375,017	442,152	444,816
Personal Service	170,908	191,595	260,623	314,379	314,488
Non-Personal Service/Indirect Costs	70,816	97,242	114,394	127,773	130,328
General State Charges	1,658	1,764	1,794	1,824	1,824
OCFS - Other	77,362	84,742	88,798	92,026	96,186

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2018 Results	FY 2019 Enacted	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
Local Assistance	77,362	84,742	88,798	92,026	96,186
Housing and Community Renewal, Division of	72,665	62,574	92,605	96,510	98,996
Local Assistance	4,410	10,258	40,289	40,942	41,617
State Operations	52,210	49,246	49,246	52,498	51,080
Personal Service	41,535	40,403	40,403	43,225	41,649
Non-Personal Service/Indirect Costs	10,675	8,843	8,843	9,273	9,431
General State Charges	16,045	3,070	3,070	3,070	6,299
Human Rights, Division of	9,465	9,921	9,921	10,590	10,230
State Operations	9,465	9,921	9,921	10,590	10,230
Personal Service	8,662	9,461	9,461	10,121	9,752
Non-Personal Service/Indirect Costs	803	460	460	469	478
Labor, Department of	83,203	81,668	67,156	69,893	71,435
Local Assistance	12,666	14,662	150	150	150
State Operations	49,642	46,519	46,519	49,256	48,280
Personal Service	34,323	32,618	32,618	34,864	33,620
Non-Personal Service/Indirect Costs	15,319	13,901	13,901	14,392	14,660
General State Charges	20,895	20,487	20,487	20,487	23,005
National and Community Service	770	690	690	699	699
Local Assistance	477	350	350	350	350
State Operations	293	340	340	349	349
Personal Service	292	331	331	340	340
Non-Personal Service/Indirect Costs	1	9	9	9	9
Temporary and Disability Assistance, Office of	1,352,404	1,406,529	1,487,538	1,494,831	1,501,351
Welfare Assistance	1,137,373	1,177,821	1,241,366	1,241,366	1,241,366
Local Assistance	1,137,373	1,177,821	1,241,366	1,241,366	1,241,366
All Other	215,031	228,708	246,172	253,465	259,985
Local Assistance	92,145	103,063	114,196	116,996	120,796
State Operations	122,874	125,517	131,848	136,341	139,061
Personal Service	64,895	68,444	73,603	76,972	78,522
Non-Personal Service/Indirect Costs	57,979	57,073	58,245	59,369	60,539
General State Charges	12	128	128	128	128
Functional Total	3,370,023	3,543,054	3,726,736	3,831,528	3,879,047
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	441,089	438,968	465,702	483,181	497,068
OASAS	348,092	374,554	400,147	416,175	429,290
Local Assistance	301,336	335,036	359,707	374,669	388,103
State Operations	31,964	39,518	40,439	41,506	41,187
Personal Service	20,354	26,455	27,084	27,874	27,259
Non-Personal Service/Indirect Costs	11,610	13,063	13,355	13,632	13,928
General State Charges	14,792	0	1	0	0
OASAS - Other	92,997	64,414	65,555	67,006	67,778
Local Assistance	21,325	21,325	21,325	21,325	21,325
State Operations	49,508	43,089	44,231	45,681	46,453
Personal Service	36,074	32,955	33,721	34,739	35,077
Non-Personal Service/Indirect Costs	13,434	10,134	10,510	10,942	11,376
General State Charges	22,164	0	(1)	0	0
Justice Center	40,136	43,479	45,138	47,048	46,506
Local Assistance	619	649	649	649	649
State Operations	38,868	41,956	43,571	45,423	44,814
Personal Service	31,243	32,769	34,123	35,700	34,808
Non-Personal Service/Indirect Costs	7,625	9,187	9,448	9,723	10,006
General State Charges	649	874	918	976	1,043
Mental Health, Office of	3,182,496	2,642,051	2,804,172	2,913,329	3,009,243
OMH	1,459,290	1,371,745	1,513,976	1,582,503	1,653,102

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	<u>FY 2018 Results</u>	<u>FY 2019 Enacted</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>
Local Assistance	915,920	1,027,293	1,165,201	1,233,728	1,300,339
State Operations	349,973	344,452	348,775	348,775	352,763
Personal Service	287,281	302,088	305,124	305,124	308,174
Non-Personal Service/Indirect Costs	62,692	42,364	43,651	43,651	44,589
General State Charges	193,397	0	0	0	0
OMH - Other	1,723,206	1,270,306	1,290,196	1,330,826	1,356,141
Local Assistance	265,389	299,325	307,918	316,916	326,131
State Operations	1,000,487	970,981	982,278	1,013,910	1,030,010
Personal Service	783,120	760,887	757,401	780,798	789,368
Non-Personal Service/Indirect Costs	217,367	210,094	224,877	233,112	240,642
General State Charges	457,330	0	0	0	0
Mental Hygiene, Department of	204	0	0	0	0
State Operations	204	0	0	0	0
Non-Personal Service/Indirect Costs	204	0	0	0	0
People with Developmental Disabilities, Office for	2,862,904	1,851,796	2,050,660	2,331,042	2,537,218
OPWDD	407,423	388,452	397,409	409,551	422,639
Local Assistance	407,275	388,271	397,228	409,370	422,458
State Operations	148	181	181	181	181
Personal Service	124	0	0	0	0
Non-Personal Service/Indirect Costs	24	181	181	181	181
OPWDD - Other	2,455,481	1,463,344	1,653,251	1,921,491	2,114,579
Local Assistance	438,663	186,137	340,065	571,203	749,619
State Operations	1,333,017	1,277,207	1,313,186	1,350,288	1,364,960
Personal Service	1,129,750	1,108,019	1,139,578	1,171,726	1,181,297
Non-Personal Service/Indirect Costs	203,267	169,188	173,608	178,562	183,663
General State Charges	683,801	0	0	0	0
Functional Total	6,526,829	4,976,294	5,365,672	5,774,600	6,090,035
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	2,594	2,651	2,651	2,773	2,735
State Operations	2,594	2,651	2,651	2,773	2,735
Personal Service	2,316	2,414	2,414	2,531	2,488
Non-Personal Service/Indirect Costs	278	237	237	242	247
Correctional Services, Department of	2,633,748	2,611,123	2,623,496	2,629,126	2,620,496
Local Assistance	5,686	4,836	4,836	4,836	4,836
State Operations	2,627,945	2,606,181	2,618,554	2,624,184	2,615,554
Personal Service	2,098,499	2,042,832	2,056,336	2,061,966	2,056,336
Non-Personal Service/Indirect Costs	529,446	563,349	562,218	562,218	559,218
General State Charges	117	106	106	106	106
Corrections and Community Supervision Medicaid, Department of	0	2,000	2,000	2,000	0
Local Assistance	0	2,000	2,000	2,000	0
Criminal Justice Services, Division of	193,155	214,698	188,215	189,190	189,012
Local Assistance	156,618	177,850	151,367	151,367	151,367
State Operations	36,502	36,813	36,813	37,788	37,610
Personal Service	26,993	25,582	25,582	26,557	26,113
Non-Personal Service/Indirect Costs	9,509	11,231	11,231	11,231	11,497
General State Charges	35	35	35	35	35
Homeland Security and Emergency Services, Division of	60,960	93,699	132,803	131,846	134,909
Local Assistance	30,642	56,339	93,773	91,542	92,108
State Operations	29,703	36,551	38,213	39,469	41,896
Personal Service	16,362	23,013	24,960	26,206	27,565
Non-Personal Service/Indirect Costs	13,341	13,538	13,253	13,263	14,331
General State Charges	615	809	817	835	905
Indigent Legal Services, Office of	75,499	166,393	190,581	214,855	214,975
Local Assistance	72,102	160,672	184,765	208,859	208,859
State Operations	2,328	3,815	3,813	3,879	3,877
Personal Service	1,873	2,982	2,982	3,048	3,034

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2018 Results	FY 2019 Enacted	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
Non-Personal Service/Indirect Costs	455	833	831	831	843
General State Charges	1,069	1,906	2,003	2,117	2,239
Judicial Conduct, Commission on	5,552	5,696	5,708	5,936	6,114
State Operations	5,552	5,696	5,708	5,936	6,114
Personal Service	4,238	4,312	4,347	4,548	4,483
Non-Personal Service/Indirect Costs	1,314	1,384	1,361	1,388	1,631
Judicial Nomination, Commission on	10	30	30	30	30
State Operations	10	30	30	30	30
Non-Personal Service/Indirect Costs	10	30	30	30	30
Judicial Screening Committees, New York State	14	38	38	38	38
State Operations	14	38	38	38	38
Non-Personal Service/Indirect Costs	14	38	38	38	38
Military and Naval Affairs, Division of	25,915	22,815	22,956	23,252	23,983
Local Assistance	819	820	820	820	886
State Operations	25,090	21,986	22,127	22,423	23,087
Personal Service	15,083	14,322	14,322	14,618	14,692
Non-Personal Service/Indirect Costs	10,007	7,664	7,805	7,805	8,395
General State Charges	6	9	9	9	10
State Police, Division of	756,006	754,245	749,893	775,815	790,693
State Operations	741,934	730,345	725,083	750,662	765,370
Personal Service	677,367	668,358	664,863	690,373	703,010
Non-Personal Service/Indirect Costs	64,567	61,987	60,220	60,289	62,360
General State Charges	14,072	23,900	24,810	25,153	25,323
Statewide Financial System	30,137	30,137	30,137	30,580	30,748
State Operations	30,137	30,137	30,137	30,580	30,748
Personal Service	11,094	11,513	11,513	11,956	11,752
Non-Personal Service/Indirect Costs	19,043	18,624	18,624	18,624	18,996
Victim Services, Office of	26,080	36,345	36,345	36,462	37,111
Local Assistance	20,728	30,744	30,744	30,744	31,398
State Operations	3,714	3,951	3,951	4,068	4,030
Personal Service	3,184	3,176	3,176	3,293	3,242
Non-Personal Service/Indirect Costs	530	775	775	775	788
General State Charges	1,638	1,650	1,650	1,650	1,683
Functional Total	3,809,670	3,939,870	3,984,853	4,041,903	4,050,844
HIGHER EDUCATION					
City University of New York	1,568,018	1,577,838	1,605,413	1,638,148	1,670,217
Local Assistance	1,465,153	1,493,737	1,524,573	1,555,791	1,588,481
State Operations	102,720	76,209	72,948	74,465	73,844
Personal Service	52,623	37,780	35,090	35,735	35,114
Non-Personal Service/Indirect Costs	50,097	38,429	37,858	38,730	38,730
General State Charges	145	7,892	7,892	7,892	7,892
Higher Education - Miscellaneous	337	441	441	441	441
State Operations	256	291	291	291	291
Personal Service	169	198	198	198	198
Non-Personal Service/Indirect Costs	87	93	93	93	93
General State Charges	81	150	150	150	150
Higher Education Services Corporation, New York State	929,204	1,145,081	1,214,114	1,232,387	1,239,493
Local Assistance	879,322	1,089,071	1,158,104	1,176,377	1,183,483
State Operations	41,751	47,052	47,052	47,052	47,052
Personal Service	13,380	13,314	13,314	13,314	13,314
Non-Personal Service/Indirect Costs	28,371	33,738	33,738	33,738	33,738
General State Charges	8,131	8,958	8,958	8,958	8,958
State University of New York	6,999,907	7,183,301	7,252,612	7,589,387	7,620,407
Local Assistance	488,783	482,289	484,643	484,643	484,643
State Operations	6,080,264	6,226,605	6,263,945	6,564,181	6,590,797
Personal Service	3,753,286	3,882,964	4,001,982	4,272,489	4,267,977

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2018 Results	FY 2019 Enacted	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
Non-Personal Service/Indirect Costs	2,326,978	2,343,641	2,261,963	2,291,692	2,322,820
General State Charges	430,860	474,407	504,024	540,563	544,967
Functional Total	9,497,466	9,906,661	10,072,580	10,460,363	10,530,558
EDUCATION					
Arts, Council on the	39,210	45,413	45,253	45,349	45,253
Local Assistance	34,950	41,093	40,933	40,933	40,933
State Operations	4,260	4,320	4,320	4,416	4,320
Personal Service	2,626	2,498	2,498	2,594	2,498
Non-Personal Service/Indirect Costs	1,634	1,822	1,822	1,822	1,822
Education, Department of	30,372,803	31,498,686	32,416,040	33,576,989	34,921,883
School Aid	25,396,252	26,451,530	27,425,572	28,520,069	29,779,420
Local Assistance	25,396,252	26,451,530	27,425,572	28,520,069	29,779,420
School Aid – Other	61,169	50,000	50,000	50,000	50,000
Local Assistance	61,169	50,000	50,000	50,000	50,000
STAR Property Tax Relief	2,589,145	2,459,259	2,417,238	2,401,995	2,401,995
Local Assistance	2,589,145	2,459,259	2,417,238	2,401,995	2,401,995
Special Education Categorical Programs	1,263,705	1,352,380	1,396,537	1,459,151	1,525,151
Local Assistance	1,263,705	1,352,380	1,396,537	1,459,151	1,525,151
All Other	1,062,532	1,185,517	1,126,693	1,145,774	1,165,317
Local Assistance	883,117	1,003,126	945,353	957,506	979,572
State Operations	144,872	144,928	142,548	145,816	142,550
Personal Service	90,372	88,090	87,774	90,983	87,774
Non-Personal Service/Indirect Costs	54,500	56,838	54,774	54,833	54,776
General State Charges	34,543	37,463	38,792	42,452	43,195
Functional Total	30,412,013	31,544,099	32,461,293	33,622,338	34,967,136
GENERAL GOVERNMENT					
Budget, Division of the	27,312	30,423	29,596	30,603	29,596
State Operations	26,600	28,766	27,939	28,886	27,939
Personal Service	21,683	24,567	24,567	25,511	24,567
Non-Personal Service/Indirect Costs	4,917	4,199	3,372	3,375	3,372
General State Charges	712	1,657	1,657	1,717	1,657
Civil Service, Department of	14,975	13,560	13,680	14,189	13,815
State Operations	14,795	13,331	13,441	13,926	13,545
Personal Service	14,053	12,497	12,591	13,075	12,685
Non-Personal Service/Indirect Costs	742	834	850	851	860
General State Charges	180	229	239	263	270
Deferred Compensation Board	675	846	853	854	853
State Operations	451	641	648	649	648
Personal Service	408	410	413	414	413
Non-Personal Service/Indirect Costs	43	231	235	235	235
General State Charges	224	205	205	205	205
Elections, State Board of	7,813	8,587	8,697	9,033	9,304
Local Assistance	50	0	0	0	0
State Operations	7,763	8,587	8,697	9,033	9,304
Personal Service	6,077	6,018	6,063	6,346	6,253
Non-Personal Service/Indirect Costs	1,686	2,569	2,634	2,687	3,051
Employee Relations, Office of	3,569	2,601	2,621	2,719	2,621
State Operations	3,569	2,601	2,621	2,719	2,621
Personal Service	2,515	2,529	2,548	2,646	2,548
Non-Personal Service/Indirect Costs	1,054	72	73	73	73
Gaming Commission, New York State	176,850	238,873	212,703	215,120	212,703
Local Assistance	65,779	140,570	114,400	114,400	114,400
State Operations	94,531	80,728	80,728	82,255	80,728

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2018 Results	FY 2019 Enacted	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
Personal Service	34,862	38,757	38,757	40,248	38,757
Non-Personal Service/Indirect Costs	59,669	41,971	41,971	42,007	41,971
General State Charges	16,540	17,575	17,575	18,465	17,575
General Services, Office of	143,940	137,569	133,510	132,372	130,999
State Operations	143,571	137,021	132,943	131,745	130,355
Personal Service	67,107	48,450	48,450	51,875	49,458
Non-Personal Service/Indirect Costs	76,464	88,571	84,493	79,870	80,897
General State Charges	369	548	567	627	644
Inspector General, Office of the	7,166	7,427	7,487	7,826	8,061
State Operations	7,166	7,427	7,487	7,826	8,061
Personal Service	6,223	6,600	6,648	6,970	6,857
Non-Personal Service/Indirect Costs	943	827	839	856	1,204
Labor Management Committees	24,773	31,300	31,306	31,517	31,306
State Operations	24,773	31,300	31,306	31,517	31,306
Personal Service	7,747	5,446	5,487	5,698	5,487
Non-Personal Service/Indirect Costs	17,026	25,854	25,819	25,819	25,819
Prevention of Domestic Violence, Office for	2,349	2,949	3,049	3,121	3,059
Local Assistance	791	1,285	1,385	1,385	1,412
State Operations	1,558	1,664	1,664	1,736	1,647
Personal Service	1,412	1,469	1,491	1,524	1,431
Non-Personal Service/Indirect Costs	146	195	173	212	216
Public Employment Relations Board	3,260	3,604	3,634	3,764	3,634
State Operations	3,260	3,604	3,634	3,764	3,634
Personal Service	3,059	3,313	3,338	3,468	3,338
Non-Personal Service/Indirect Costs	201	291	296	296	296
Public Integrity, Commission on	5,115	5,576	5,630	5,835	6,010
State Operations	5,115	5,576	5,630	5,835	6,010
Personal Service	4,386	4,646	4,681	4,867	4,827
Non-Personal Service/Indirect Costs	729	930	949	968	1,183
State, Department of	64,267	68,091	67,724	63,055	62,203
Local Assistance	10,035	14,961	14,379	7,379	7,379
State Operations	43,799	41,020	40,821	41,933	40,821
Personal Service	29,257	27,774	27,724	28,812	27,724
Non-Personal Service/Indirect Costs	14,542	13,246	13,097	13,121	13,097
General State Charges	10,433	12,110	12,524	13,743	14,003
Tax Appeals, Division of	2,688	3,040	3,040	3,150	3,040
State Operations	2,688	3,040	3,040	3,150	3,040
Personal Service	2,541	2,870	2,870	2,980	2,870
Non-Personal Service/Indirect Costs	147	170	170	170	170
Taxation and Finance, Department of	345,192	362,256	360,800	371,727	360,200
Local Assistance	1,542	2,726	2,726	2,726	2,726
State Operations	321,015	332,266	331,011	340,818	330,402
Personal Service	275,658	264,119	264,323	273,392	264,338
Non-Personal Service/Indirect Costs	45,357	68,147	66,688	67,426	66,064
General State Charges	22,635	27,264	27,063	28,183	27,072
Technology, Office for	535,977	544,955	540,955	551,368	557,924
State Operations	535,977	544,955	540,955	551,368	557,924
Personal Service	289,994	286,515	292,246	308,713	304,055
Non-Personal Service/Indirect Costs	245,983	258,440	248,709	242,655	253,869
Veterans' Affairs, Division of	13,791	16,028	13,873	14,119	14,054
Local Assistance	7,906	9,792	7,637	7,637	7,637
State Operations	5,885	6,236	6,236	6,482	6,417
Personal Service	5,512	5,938	5,938	6,178	6,107
Non-Personal Service/Indirect Costs	373	298	298	304	310
Welfare Inspector General, Office of	671	686	701	731	753
State Operations	671	686	701	731	753
Personal Service	619	621	626	654	646
Non-Personal Service/Indirect Costs	52	65	75	77	107

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	<u>FY 2018 Results</u>	<u>FY 2019 Enacted</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>
Workers' Compensation Board	193,366	196,543	198,595	208,376	204,271
State Operations	140,971	143,323	145,193	150,513	146,408
Personal Service	85,117	84,993	82,104	86,209	82,104
Non-Personal Service/Indirect Costs	55,854	58,330	63,089	64,304	64,304
General State Charges	52,395	53,220	53,402	57,863	57,863
Functional Total	1,573,749	1,674,914	1,638,454	1,669,479	1,654,406
ELECTED OFFICIALS					
Audit and Control, Department of	180,421	188,511	181,253	187,628	181,946
Local Assistance	32,025	32,024	32,024	32,024	32,024
State Operations	146,719	154,352	146,844	153,187	147,505
Personal Service	119,019	123,015	113,687	119,369	113,687
Non-Personal Service/Indirect Costs	27,700	31,337	33,157	33,818	33,818
General State Charges	1,677	2,135	2,385	2,417	2,417
Executive Chamber	13,560	13,578	13,578	14,032	13,578
State Operations	13,560	13,578	13,578	14,032	13,578
Personal Service	9,979	11,113	11,113	11,567	11,113
Non-Personal Service/Indirect Costs	3,581	2,465	2,465	2,465	2,465
Judiciary	2,945,329	3,019,129	3,034,128	3,091,129	3,034,129
Local Assistance	114,224	112,200	127,199	127,200	127,200
State Operations	2,080,909	2,118,700	2,121,200	2,178,200	2,121,200
Personal Service	1,624,889	1,653,500	1,656,000	1,713,000	1,656,000
Non-Personal Service/Indirect Costs	456,020	465,200	465,200	465,200	465,200
General State Charges	750,196	788,229	785,729	785,729	785,729
Law, Department of	200,091	198,698	197,364	205,560	199,504
State Operations	183,513	179,396	176,833	183,996	177,940
Personal Service	132,481	126,309	120,450	126,506	120,450
Non-Personal Service/Indirect Costs	51,032	53,087	56,383	57,490	57,490
General State Charges	16,578	19,302	20,531	21,564	21,564
Legislature	223,372	229,858	234,436	239,106	243,869
State Operations	223,372	229,858	234,436	239,106	243,869
Personal Service	174,350	175,541	179,052	182,633	186,286
Non-Personal Service/Indirect Costs	49,022	54,317	55,384	56,473	57,583
Lieutenant Governor, Office of the	492	614	614	634	614
State Operations	492	614	614	634	614
Personal Service	382	523	523	543	523
Non-Personal Service/Indirect Costs	110	91	91	91	91
Functional Total	3,563,265	3,650,388	3,661,373	3,738,089	3,673,640
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	721,920	724,159	763,347	763,347	763,347
Local Assistance	721,789	724,159	763,347	763,347	763,347
State Operations	131	0	0	0	0
Non-Personal Service/Indirect Costs	131	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	<u>FY 2018 Results</u>	<u>FY 2019 Enacted</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>
County-Wide Shared Services Initiative	0	0	100,000	125,000	0
Local Assistance	0	0	100,000	125,000	0
Efficiency Incentive Grants Program	348	0	0	0	0
Local Assistance	348	0	0	0	0
Miscellaneous Financial Assistance	9,270	12,001	2,250	2,250	2,250
Local Assistance	9,270	12,001	2,250	2,250	2,250
Municipalities with VLT Facilities	28,885	28,885	28,885	28,885	28,885
Local Assistance	28,885	28,885	28,885	28,885	28,885
Small Government Assistance	217	218	218	218	218
Local Assistance	217	218	218	218	218
Functional Total	760,640	765,263	894,700	919,700	794,700
ALL OTHER CATEGORIES					
General State Charges	4,842,815	6,789,244	7,336,753	7,857,175	8,380,175
General State Charges	4,842,815	6,789,244	7,336,753	7,857,175	8,380,175
Long-Term Debt Service	5,914,725	5,429,429	7,012,992	7,233,171	7,137,288
State Operations	41,887	47,182	47,182	47,182	47,182
Non-Personal Service/Indirect Costs	41,887	47,182	47,182	47,182	47,182
Debt Service	5,872,838	5,382,247	6,965,810	7,185,989	7,090,106
Miscellaneous	(728)	(41,194)	198,655	328,300	320,397
Local Assistance	(56,833)	(219,943)	(95,110)	(80,566)	(88,443)
State Operations	47,660	173,006	288,022	403,105	403,079
Personal Service	2,194	128,265	243,270	358,351	358,325
Non-Personal Service/Indirect Costs	45,466	44,741	44,752	44,754	44,754
General State Charges	8,445	5,743	5,743	5,761	5,761
Functional Total	10,756,812	12,177,479	14,548,400	15,418,646	15,837,860
TOTAL STATE OPERATING FUNDS SPENDING	98,151,003	100,135,423	105,384,435	109,729,074	112,736,426

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2018 Results	FY 2019 Enacted	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	71,270	78,000	68,785	65,072	79,766
Alcoholic Beverage Control, Division of	11,819	12,483	11,244	11,560	11,244
Economic Development, Department of	72,278	77,418	70,963	70,963	75,813
Empire State Development Corporation	124,880	97,936	86,746	91,746	91,746
Financial Services, Department of	357,557	374,035	378,571	397,475	391,112
Olympic Regional Development Authority	2,736	8,933	5,886	5,886	5,886
Public Service Department	84,881	77,674	78,645	80,874	80,921
Functional Total	725,421	726,479	700,840	723,576	736,488
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	4,468	4,469	4,414	4,572	4,414
Environmental Conservation, Department of	260,839	262,116	254,400	266,590	260,874
Parks, Recreation and Historic Preservation, Office of	179,334	182,232	180,091	186,561	181,690
Functional Total	444,641	448,817	438,905	457,723	446,978
TRANSPORTATION					
Motor Vehicles, Department of	71,728	84,516	85,178	88,054	88,387
Transportation, Department of	5,034,223	4,244,235	3,975,857	4,027,969	4,164,616
Functional Total	5,105,951	4,328,751	4,061,035	4,116,023	4,253,003
HEALTH					
Aging, Office for the	125,380	130,304	126,342	131,567	136,836
Health, Department of	21,460,861	22,304,939	23,685,141	24,804,821	25,666,784
<i>Medical Assistance</i>	18,857,443	19,363,730	20,775,358	21,776,355	22,642,807
<i>Essential Plan</i>	87,638	101,670	97,696	92,840	87,487
<i>Medicaid Administration</i>	583,789	915,261	745,618	751,774	752,266
<i>Public Health</i>	1,931,991	1,924,278	2,066,469	2,183,852	2,184,224
Medicaid Inspector General, Office of the	18,282	18,111	18,111	18,718	18,111
Functional Total	21,604,523	22,453,354	23,829,594	24,955,106	25,821,731
SOCIAL WELFARE					
Children and Family Services, Office of	1,851,516	1,981,672	2,068,826	2,159,005	2,196,336
<i>OCFS</i>	1,774,154	1,896,930	1,980,028	2,066,979	2,100,150
<i>OCFS - Other</i>	77,362	84,742	88,798	92,026	96,186
Housing and Community Renewal, Division of	72,665	62,574	92,605	96,510	98,996
Human Rights, Division of	9,465	9,921	9,921	10,590	10,230
Labor, Department of	83,203	81,668	67,156	69,893	71,435
National and Community Service	770	690	690	699	699
Temporary and Disability Assistance, Office of	1,352,404	1,406,529	1,487,538	1,494,831	1,501,351
<i>Welfare Assistance</i>	1,137,373	1,177,821	1,241,366	1,241,366	1,241,366
<i>All Other</i>	215,031	228,708	246,172	253,465	259,985
Functional Total	3,370,023	3,543,054	3,726,736	3,831,528	3,879,047
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	441,089	438,968	465,702	483,181	497,068
<i>OASAS</i>	348,092	374,554	400,147	416,175	429,290
<i>OASAS - Other</i>	92,997	64,414	65,555	67,006	67,778
Justice Center	40,136	43,479	45,138	47,048	46,506
Mental Health, Office of	3,182,496	2,642,051	2,804,172	2,913,329	3,009,243
<i>OMH</i>	1,459,290	1,371,745	1,513,976	1,582,503	1,653,102
<i>OMH - Other</i>	1,723,206	1,270,306	1,290,196	1,330,826	1,356,141
Mental Hygiene, Department of	204	0	0	0	0
People with Developmental Disabilities, Office for	2,862,904	1,851,796	2,050,660	2,331,042	2,537,218
<i>OPWDD</i>	407,423	388,452	397,409	409,551	422,639
<i>OPWDD - Other</i>	2,455,481	1,463,344	1,653,251	1,921,491	2,114,579
Functional Total	6,526,829	4,976,294	5,365,672	5,774,600	6,090,035
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	2,594	2,651	2,651	2,773	2,735
Correctional Services, Department of	2,633,748	2,611,123	2,623,496	2,629,126	2,620,496
Corrections and Community Supervision Medicaid, Department of	0	2,000	2,000	2,000	0
Criminal Justice Services, Division of	193,155	214,698	188,215	189,190	189,012
Homeland Security and Emergency Services, Division of	60,960	93,699	132,803	131,846	134,909

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2018 Results	FY 2019 Enacted	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
Indigent Legal Services, Office of	75,499	166,393	190,581	214,855	214,975
Judicial Conduct, Commission on	5,552	5,696	5,708	5,936	6,114
Judicial Nomination, Commission on	10	30	30	30	30
Judicial Screening Committees, New York State	14	38	38	38	38
Military and Naval Affairs, Division of	25,915	22,815	22,956	23,252	23,983
State Police, Division of	756,006	754,245	749,893	775,815	790,693
Statewide Financial System	30,137	30,137	30,137	30,580	30,748
Victim Services, Office of	26,080	36,345	36,345	36,462	37,111
Functional Total	3,809,670	3,939,870	3,984,853	4,041,903	4,050,844
HIGHER EDUCATION					
City University of New York	1,568,018	1,577,838	1,605,413	1,638,148	1,670,217
Higher Education - Miscellaneous	337	441	441	441	441
Higher Education Services Corporation, New York State	929,204	1,145,081	1,214,114	1,232,387	1,239,493
State University of New York	6,999,907	7,183,301	7,252,612	7,589,387	7,620,407
Functional Total	9,497,466	9,906,661	10,072,580	10,460,363	10,530,558
EDUCATION					
Arts, Council on the	39,210	45,413	45,253	45,349	45,253
Education, Department of	30,372,803	31,498,686	32,416,040	33,576,989	34,921,883
<i>School Aid</i>	25,396,252	26,451,530	27,425,572	28,520,069	29,779,420
<i>School Aid – Other</i>	61,169	50,000	50,000	50,000	50,000
<i>STAR Property Tax Relief</i>	2,589,145	2,459,259	2,417,238	2,401,995	2,401,995
<i>Special Education Categorical Programs</i>	1,263,705	1,352,380	1,396,537	1,459,151	1,525,151
<i>All Other</i>	1,062,532	1,185,517	1,126,693	1,145,774	1,165,317
Functional Total	30,412,013	31,544,099	32,461,293	33,622,338	34,967,136
GENERAL GOVERNMENT					
Budget, Division of the	27,312	30,423	29,596	30,603	29,596
Civil Service, Department of	14,975	13,560	13,680	14,189	13,815
Deferred Compensation Board	675	846	853	854	853
Elections, State Board of	7,813	8,587	8,697	9,033	9,304
Employee Relations, Office of	3,569	2,601	2,621	2,719	2,621
Gaming Commission, New York State	176,850	238,873	212,703	215,120	212,703
General Services, Office of	143,940	137,569	133,510	132,372	130,999
Inspector General, Office of the	7,166	7,427	7,487	7,826	8,061
Labor Management Committees	24,773	31,300	31,306	31,517	31,306
Prevention of Domestic Violence, Office for	2,349	2,949	3,049	3,121	3,059
Public Employment Relations Board	3,260	3,604	3,634	3,764	3,634
Public Integrity, Commission on	5,115	5,576	5,630	5,835	6,010
State, Department of	64,267	68,091	67,724	63,055	62,203
Tax Appeals, Division of	2,688	3,040	3,040	3,150	3,040
Taxation and Finance, Department of	345,192	362,256	360,800	371,727	360,200
Technology, Office for	535,977	544,955	540,955	551,368	557,924
Veterans' Affairs, Division of	13,791	16,028	13,873	14,119	14,054
Welfare Inspector General, Office of	671	686	701	731	753
Workers' Compensation Board	193,366	196,543	198,595	208,376	204,271
Functional Total	1,573,749	1,674,914	1,638,454	1,669,479	1,654,406
ELECTED OFFICIALS					
Audit and Control, Department of	180,421	188,511	181,253	187,628	181,946
Executive Chamber	13,560	13,578	13,578	14,032	13,578
Judiciary	2,945,329	3,019,129	3,034,128	3,091,129	3,034,129
Law, Department of	200,091	198,698	197,364	205,560	199,504
Legislature	223,372	229,858	234,436	239,106	243,869
Lieutenant Governor, Office of the	492	614	614	634	614
Functional Total	3,563,265	3,650,388	3,661,373	3,738,089	3,673,640

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2018 Results	FY 2019 Enacted	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	721,920	724,159	763,347	763,347	763,347
County-Wide Shared Services Initiative	0	0	100,000	125,000	0
Efficiency Incentive Grants Program	348	0	0	0	0
Miscellaneous Financial Assistance	9,270	12,001	2,250	2,250	2,250
Municipalities with VLT Facilities	28,885	28,885	28,885	28,885	28,885
Small Government Assistance	217	218	218	218	218
Functional Total	<u>760,640</u>	<u>765,263</u>	<u>894,700</u>	<u>919,700</u>	<u>794,700</u>
ALL OTHER CATEGORIES					
General State Charges	4,842,815	6,789,244	7,336,753	7,857,175	8,380,175
Long-Term Debt Service	5,914,725	5,429,429	7,012,992	7,233,171	7,137,288
Miscellaneous	(728)	(41,194)	198,655	328,300	320,397
Functional Total	<u>10,756,812</u>	<u>12,177,479</u>	<u>14,548,400</u>	<u>15,418,646</u>	<u>15,837,860</u>
TOTAL STATE OPERATING FUNDS SPENDING	<u><u>98,151,003</u></u>	<u><u>100,135,423</u></u>	<u><u>105,384,435</u></u>	<u><u>109,729,074</u></u>	<u><u>112,736,426</u></u>

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
LOCAL ASSISTANCE
(thousands of dollars)**

	FY 2018 Results	FY 2019 Enacted	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	32,318	38,271	28,757	24,757	24,907
Economic Development, Department of	52,871	60,099	53,644	53,644	58,494
Empire State Development Corporation	124,880	97,936	86,746	91,746	91,746
Financial Services, Department of	57,254	64,954	61,886	61,855	61,855
Public Service Department	102	136	136	136	136
Functional Total	267,425	261,396	231,169	232,138	237,138
PARKS AND THE ENVIRONMENT					
Environmental Conservation, Department of	2,491	4,795	3,019	3,019	3,019
Parks, Recreation and Historic Preservation, Office of	7,087	5,856	5,550	5,550	5,550
Functional Total	9,578	10,651	8,569	8,569	8,569
TRANSPORTATION					
Motor Vehicles, Department of	1,600	375	0	0	0
Transportation, Department of	5,023,364	3,960,895	3,642,091	3,689,096	3,820,921
Functional Total	5,024,964	3,961,270	3,642,091	3,689,096	3,820,921
HEALTH					
Aging, Office for the	124,180	129,072	125,110	130,292	135,604
Health, Department of	20,687,849	21,419,878	22,803,778	23,914,039	24,784,393
<i>Medical Assistance</i>	18,857,443	19,363,730	20,775,358	21,776,355	22,642,807
<i>Medicaid Administration</i>	286,336	558,908	387,511	387,511	387,511
<i>Public Health</i>	1,544,070	1,497,240	1,640,909	1,750,173	1,754,075
Functional Total	20,812,029	21,548,950	22,928,888	24,044,331	24,919,997
SOCIAL WELFARE					
Children and Family Services, Office of	1,608,134	1,691,071	1,692,015	1,715,029	1,749,696
<i>OCFS</i>	1,530,772	1,606,329	1,603,217	1,623,003	1,653,510
<i>OCFS - Other</i>	77,362	84,742	88,798	92,026	96,186
Housing and Community Renewal, Division of	4,410	10,258	40,289	40,942	41,617
Labor, Department of	12,666	14,662	150	150	150
National and Community Service	477	350	350	350	350
Temporary and Disability Assistance, Office of	1,229,518	1,280,884	1,355,562	1,358,362	1,362,162
<i>Welfare Assistance</i>	1,137,373	1,177,821	1,241,366	1,241,366	1,241,366
<i>All Other</i>	92,145	103,063	114,196	116,996	120,796
Functional Total	2,855,205	2,997,225	3,088,366	3,114,833	3,153,975
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	322,661	356,361	381,032	395,994	409,428
<i>OASAS</i>	301,336	335,036	359,707	374,669	388,103
<i>OASAS - Other</i>	21,325	21,325	21,325	21,325	21,325
Justice Center	619	649	649	649	649
Mental Health, Office of	1,181,309	1,326,618	1,473,119	1,550,644	1,626,470
<i>OMH</i>	915,920	1,027,293	1,165,201	1,233,728	1,300,339
<i>OMH - Other</i>	265,389	299,325	307,918	316,916	326,131
People with Developmental Disabilities, Office for	845,938	574,408	737,293	980,573	1,172,077
<i>OPWDD</i>	407,275	388,271	397,228	409,370	422,458
<i>OPWDD - Other</i>	438,663	186,137	340,065	571,203	749,619
Functional Total	2,350,527	2,258,036	2,592,093	2,927,860	3,208,624
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correctional Services, Department of	5,686	4,836	4,836	4,836	4,836
Corrections and Community Supervision Medicaid, Department of	0	2,000	2,000	2,000	0
Criminal Justice Services, Division of	156,618	177,850	151,367	151,367	151,367
Homeland Security and Emergency Services, Division of	30,642	56,339	93,773	91,542	92,108
Indigent Legal Services, Office of	72,102	160,672	184,765	208,859	208,859
Military and Naval Affairs, Division of	819	820	820	820	886
Victim Services, Office of	20,728	30,744	30,744	30,744	31,398
Functional Total	286,595	433,261	468,305	490,168	489,454
HIGHER EDUCATION					

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
LOCAL ASSISTANCE
(thousands of dollars)**

	<u>FY 2018 Results</u>	<u>FY 2019 Enacted</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>
City University of New York	1,465,153	1,493,737	1,524,573	1,555,791	1,588,481
Higher Education Services Corporation, New York State	879,322	1,089,071	1,158,104	1,176,377	1,183,483
State University of New York	488,783	482,289	484,643	484,643	484,643
Functional Total	<u>2,833,258</u>	<u>3,065,097</u>	<u>3,167,320</u>	<u>3,216,811</u>	<u>3,256,607</u>
EDUCATION					
Arts, Council on the	34,950	41,093	40,933	40,933	40,933
Education, Department of	<u>30,193,388</u>	<u>31,316,295</u>	<u>32,234,700</u>	<u>33,388,721</u>	<u>34,736,138</u>
<i>School Aid</i>	25,396,252	26,451,530	27,425,572	28,520,069	29,779,420
<i>School Aid – Other</i>	61,169	50,000	50,000	50,000	50,000
<i>STAR Property Tax Relief</i>	2,589,145	2,459,259	2,417,238	2,401,995	2,401,995
<i>Special Education Categorical Programs</i>	1,263,705	1,352,380	1,396,537	1,459,151	1,525,151
<i>All Other</i>	883,117	1,003,126	945,353	957,506	979,572
Functional Total	<u>30,228,338</u>	<u>31,357,388</u>	<u>32,275,633</u>	<u>33,429,654</u>	<u>34,777,071</u>
GENERAL GOVERNMENT					
Elections, State Board of	50	0	0	0	0
Gaming Commission, New York State	65,779	140,570	114,400	114,400	114,400
Prevention of Domestic Violence, Office for State, Department of	791	1,285	1,385	1,385	1,412
Taxation and Finance, Department of	10,035	14,961	14,379	7,379	7,379
Veterans' Affairs, Division of	1,542	2,726	2,726	2,726	2,726
	7,906	9,792	7,637	7,637	7,637
Functional Total	<u>86,103</u>	<u>169,334</u>	<u>140,527</u>	<u>133,527</u>	<u>133,554</u>
ELECTED OFFICIALS					
Audit and Control, Department of Judiciary	32,025	32,024	32,024	32,024	32,024
	114,224	112,200	127,199	127,200	127,200
Functional Total	<u>146,249</u>	<u>144,224</u>	<u>159,223</u>	<u>159,224</u>	<u>159,224</u>
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	721,789	724,159	763,347	763,347	763,347
County-Wide Shared Services Initiative	0	0	100,000	125,000	0
Efficiency Incentive Grants Program	348	0	0	0	0
Miscellaneous Financial Assistance	9,270	12,001	2,250	2,250	2,250
Municipalities with VLT Facilities	28,885	28,885	28,885	28,885	28,885
Small Government Assistance	217	218	218	218	218
Functional Total	<u>760,509</u>	<u>765,263</u>	<u>894,700</u>	<u>919,700</u>	<u>794,700</u>
ALL OTHER CATEGORIES					
Miscellaneous	(56,833)	(219,943)	(95,110)	(80,566)	(88,443)
Functional Total	<u>(56,833)</u>	<u>(219,943)</u>	<u>(95,110)</u>	<u>(80,566)</u>	<u>(88,443)</u>
TOTAL LOCAL ASSISTANCE SPENDING	<u>65,603,947</u>	<u>66,752,152</u>	<u>69,501,774</u>	<u>72,285,345</u>	<u>74,871,391</u>

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
STATE OPERATIONS
(thousands of dollars)**

	FY 2018 Results	FY 2019 Enacted	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	37,697	37,838	38,068	38,187	52,668
Alcoholic Beverage Control, Division of	11,819	12,483	11,244	11,560	11,244
Economic Development, Department of	19,405	17,291	17,291	17,291	17,291
Financial Services, Department of	209,379	208,909	212,543	218,825	212,688
Olympic Regional Development Authority	2,736	8,933	5,886	5,886	5,886
Public Service Department	52,384	50,777	50,827	51,440	49,827
Functional Total	333,420	336,231	335,859	343,189	349,604
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	4,468	4,469	4,414	4,572	4,414
Environmental Conservation, Department of	212,775	207,723	205,114	216,625	210,041
Parks, Recreation and Historic Preservation, Office of	168,983	173,224	171,381	177,704	172,799
Functional Total	386,226	385,416	380,909	398,901	387,254
TRANSPORTATION					
Motor Vehicles, Department of	49,142	60,826	61,060	62,091	61,772
Transportation, Department of	9,139	281,195	331,568	336,456	341,236
Functional Total	58,281	342,021	392,628	398,547	403,008
HEALTH					
Aging, Office for the	1,200	1,232	1,232	1,275	1,232
Health, Department of	741,299	852,189	847,362	855,038	845,409
<i>Essential Plan</i>	87,638	101,670	97,696	92,840	87,487
<i>Medicaid Administration</i>	297,453	356,353	358,107	364,263	364,755
<i>Public Health</i>	356,208	394,166	391,559	397,935	393,167
Medicaid Inspector General, Office of the	18,282	18,111	18,111	18,718	18,111
Functional Total	760,781	871,532	866,705	875,031	864,752
SOCIAL WELFARE					
Children and Family Services, Office of	241,724	288,837	375,017	442,152	444,816
<i>OCFS</i>	241,724	288,837	375,017	442,152	444,816
Housing and Community Renewal, Division of	52,210	49,246	49,246	52,498	51,080
Human Rights, Division of	9,465	9,921	9,921	10,590	10,230
Labor, Department of	49,642	46,519	46,519	49,256	48,280
National and Community Service	293	340	340	349	349
Temporary and Disability Assistance, Office of	122,874	125,517	131,848	136,341	139,061
<i>All Other</i>	122,874	125,517	131,848	136,341	139,061
Functional Total	476,208	520,380	612,891	691,186	693,816
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	81,472	82,607	84,670	87,187	87,640
<i>OASAS</i>	31,964	39,518	40,439	41,506	41,187
<i>OASAS - Other</i>	49,508	43,089	44,231	45,681	46,453
Justice Center	38,868	41,956	43,571	45,423	44,814
Mental Health, Office of	1,350,460	1,315,433	1,331,053	1,362,685	1,382,773
<i>OMH</i>	349,973	344,452	348,775	348,775	352,763
<i>OMH - Other</i>	1,000,487	970,981	982,278	1,013,910	1,030,010
Mental Hygiene, Department of	204	0	0	0	0
People with Developmental Disabilities, Office for	1,333,165	1,277,388	1,313,367	1,350,469	1,365,141
<i>OPWDD</i>	148	181	181	181	181
<i>OPWDD - Other</i>	1,333,017	1,277,207	1,313,186	1,350,288	1,364,960
Functional Total	2,804,169	2,717,384	2,772,661	2,845,764	2,880,368
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	2,594	2,651	2,651	2,773	2,735
Correctional Services, Department of	2,627,945	2,606,181	2,618,554	2,624,184	2,615,554
Criminal Justice Services, Division of	36,502	36,813	36,813	37,788	37,610
Homeland Security and Emergency Services, Division of	29,703	36,551	38,213	39,469	41,896
Indigent Legal Services, Office of	2,328	3,815	3,813	3,879	3,877
Judicial Conduct, Commission on	5,552	5,696	5,708	5,936	6,114
Judicial Nomination, Commission on	10	30	30	30	30
Judicial Screening Committees, New York State	14	38	38	38	38

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
STATE OPERATIONS
(thousands of dollars)**

	<u>FY 2018 Results</u>	<u>FY 2019 Enacted</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>
Military and Naval Affairs, Division of	25,090	21,986	22,127	22,423	23,087
State Police, Division of	741,934	730,345	725,083	750,662	765,370
Statewide Financial System	30,137	30,137	30,137	30,580	30,748
Victim Services, Office of	3,714	3,951	3,951	4,068	4,030
Functional Total	<u>3,505,523</u>	<u>3,478,194</u>	<u>3,487,118</u>	<u>3,521,830</u>	<u>3,531,089</u>
HIGHER EDUCATION					
City University of New York	102,720	76,209	72,948	74,465	73,844
Higher Education - Miscellaneous	256	291	291	291	291
Higher Education Services Corporation, New York State	41,751	47,052	47,052	47,052	47,052
State University of New York	6,080,264	6,226,605	6,263,945	6,564,181	6,590,797
Functional Total	<u>6,224,991</u>	<u>6,350,157</u>	<u>6,384,236</u>	<u>6,685,989</u>	<u>6,711,984</u>
EDUCATION					
Arts, Council on the	4,260	4,320	4,320	4,416	4,320
Education, Department of	144,872	144,928	142,548	145,816	142,550
<i>All Other</i>	144,872	144,928	142,548	145,816	142,550
Functional Total	<u>149,132</u>	<u>149,248</u>	<u>146,868</u>	<u>150,232</u>	<u>146,870</u>
GENERAL GOVERNMENT					
Budget, Division of the	26,600	28,766	27,939	28,886	27,939
Civil Service, Department of	14,795	13,331	13,441	13,926	13,545
Deferred Compensation Board	451	641	648	649	648
Elections, State Board of	7,763	8,587	8,697	9,033	9,304
Employee Relations, Office of	3,569	2,601	2,621	2,719	2,621
Gaming Commission, New York State	94,531	80,728	80,728	82,255	80,728
General Services, Office of	143,571	137,021	132,943	131,745	130,355
Inspector General, Office of the	7,166	7,427	7,487	7,826	8,061
Labor Management Committees	24,773	31,300	31,306	31,517	31,306
Prevention of Domestic Violence, Office for	1,558	1,664	1,664	1,736	1,647
Public Employment Relations Board	3,260	3,604	3,634	3,764	3,634
Public Integrity, Commission on	5,115	5,576	5,630	5,835	6,010
State, Department of	43,799	41,020	40,821	41,933	40,821
Tax Appeals, Division of	2,688	3,040	3,040	3,150	3,040
Taxation and Finance, Department of	321,015	332,266	331,011	340,818	330,402
Technology, Office for	535,977	544,955	540,955	551,368	557,924
Veterans' Affairs, Division of	5,885	6,236	6,236	6,482	6,417
Welfare Inspector General, Office of	671	686	701	731	753
Workers' Compensation Board	140,971	143,323	145,193	150,513	146,408
Functional Total	<u>1,384,158</u>	<u>1,392,772</u>	<u>1,384,695</u>	<u>1,414,886</u>	<u>1,401,563</u>
ELECTED OFFICIALS					
Audit and Control, Department of	146,719	154,352	146,844	153,187	147,505
Executive Chamber	13,560	13,578	13,578	14,032	13,578
Judiciary	2,080,909	2,118,700	2,121,200	2,178,200	2,121,200
Law, Department of	183,513	179,396	176,833	183,996	177,940
Legislature	223,372	229,858	234,436	239,106	243,869
Lieutenant Governor, Office of the	492	614	614	634	614
Functional Total	<u>2,648,565</u>	<u>2,696,498</u>	<u>2,693,505</u>	<u>2,769,155</u>	<u>2,704,706</u>
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	131	0	0	0	0
Functional Total	<u>131</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
ALL OTHER CATEGORIES					
Long-Term Debt Service	41,887	47,182	47,182	47,182	47,182
Miscellaneous	47,660	173,006	288,022	403,105	403,079
Functional Total	<u>89,547</u>	<u>220,188</u>	<u>335,204</u>	<u>450,287</u>	<u>450,261</u>
TOTAL STATE OPERATIONS SPENDING	<u>18,821,132</u>	<u>19,460,021</u>	<u>19,793,279</u>	<u>20,544,997</u>	<u>20,525,275</u>

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	FY 2018 Results	FY 2019 Enacted	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	30,802	29,423	29,428	30,543	29,428
Alcoholic Beverage Control, Division of	8,131	8,147	8,585	8,901	8,585
Economic Development, Department of	13,243	12,929	12,929	12,929	12,929
Financial Services, Department of	154,146	156,697	157,475	163,459	157,616
Olympic Regional Development Authority	2,548	5,595	2,548	2,548	2,548
Public Service Department	42,867	42,100	42,100	43,711	42,100
Functional Total	251,737	254,891	253,065	262,091	253,206
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	4,179	4,108	4,108	4,266	4,108
Environmental Conservation, Department of	175,514	170,420	168,321	176,631	170,066
Parks, Recreation and Historic Preservation, Office of	130,555	133,829	132,271	138,592	133,687
Functional Total	310,248	308,357	304,700	319,489	307,861
TRANSPORTATION					
Motor Vehicles, Department of	36,244	45,018	45,188	46,132	46,422
Transportation, Department of	2,803	161,892	161,887	162,014	161,899
Functional Total	39,047	206,910	207,075	208,146	208,321
HEALTH					
Aging, Office for the	1,103	1,125	1,125	1,168	1,125
Health, Department of	252,883	280,489	283,937	290,377	285,410
<i>Essential Plan</i>	3,148	4,015	3,835	3,700	3,714
<i>Medicaid Administration</i>	37,196	51,304	54,831	57,816	56,336
<i>Public Health</i>	212,539	225,170	225,271	228,861	225,360
Medicaid Inspector General, Office of the	15,864	14,936	14,936	15,543	14,936
Functional Total	269,850	296,550	299,998	307,088	301,471
SOCIAL WELFARE					
Children and Family Services, Office of	170,908	191,595	260,623	314,379	314,488
<i>OCFS</i>	170,908	191,595	260,623	314,379	314,488
Housing and Community Renewal, Division of	41,535	40,403	40,403	43,225	41,649
Human Rights, Division of	8,662	9,461	9,461	10,121	9,752
Labor, Department of	34,323	32,618	32,618	34,864	33,620
National and Community Service	292	331	331	340	340
Temporary and Disability Assistance, Office of	64,895	68,444	73,603	76,972	78,522
<i>All Other</i>	64,895	68,444	73,603	76,972	78,522
Functional Total	320,615	342,852	417,039	479,901	478,371
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	56,428	59,410	60,805	62,613	62,336
<i>OASAS</i>	20,354	26,455	27,084	27,874	27,259
<i>OASAS - Other</i>	36,074	32,955	33,721	34,739	35,077
Justice Center	31,243	32,769	34,123	35,700	34,808
Mental Health, Office of	1,070,401	1,062,975	1,062,525	1,085,922	1,097,542
<i>OMH</i>	287,281	302,088	305,124	305,124	308,174
<i>OMH - Other</i>	783,120	760,887	757,401	780,798	789,368
People with Developmental Disabilities, Office for	1,129,874	1,108,019	1,139,578	1,171,726	1,181,297
<i>OPWDD</i>	124	0	0	0	0
<i>OPWDD - Other</i>	1,129,750	1,108,019	1,139,578	1,171,726	1,181,297
Functional Total	2,287,946	2,263,173	2,297,031	2,355,961	2,375,983
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	2,316	2,414	2,414	2,531	2,488
Correctional Services, Department of	2,098,499	2,042,832	2,056,336	2,061,966	2,056,336
Criminal Justice Services, Division of	26,993	25,582	25,582	26,557	26,113
Homeland Security and Emergency Services, Division of	16,362	23,013	24,960	26,206	27,565
Indigent Legal Services, Office of	1,873	2,982	2,982	3,048	3,034
Judicial Conduct, Commission on	4,238	4,312	4,347	4,548	4,483
Military and Naval Affairs, Division of	15,083	14,322	14,322	14,618	14,692
State Police, Division of	677,367	668,358	664,863	690,373	703,010

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	<u>FY 2018 Results</u>	<u>FY 2019 Enacted</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>
Statewide Financial System	11,094	11,513	11,513	11,956	11,752
Victim Services, Office of	3,184	3,176	3,176	3,293	3,242
Functional Total	<u>2,857,009</u>	<u>2,798,504</u>	<u>2,810,495</u>	<u>2,845,096</u>	<u>2,852,715</u>
HIGHER EDUCATION					
City University of New York	52,623	37,780	35,090	35,735	35,114
Higher Education - Miscellaneous	169	198	198	198	198
Higher Education Services Corporation, New York State	13,380	13,314	13,314	13,314	13,314
State University of New York	3,753,286	3,882,964	4,001,982	4,272,489	4,267,977
Functional Total	<u>3,819,458</u>	<u>3,934,256</u>	<u>4,050,584</u>	<u>4,321,736</u>	<u>4,316,603</u>
EDUCATION					
Arts, Council on the	2,626	2,498	2,498	2,594	2,498
Education, Department of	90,372	88,090	87,774	90,983	87,774
<i>All Other</i>	90,372	88,090	87,774	90,983	87,774
Functional Total	<u>92,998</u>	<u>90,588</u>	<u>90,272</u>	<u>93,577</u>	<u>90,272</u>
GENERAL GOVERNMENT					
Budget, Division of the	21,683	24,567	24,567	25,511	24,567
Civil Service, Department of	14,053	12,497	12,591	13,075	12,685
Deferred Compensation Board	408	410	413	414	413
Elections, State Board of	6,077	6,018	6,063	6,346	6,253
Employee Relations, Office of	2,515	2,529	2,548	2,646	2,548
Gaming Commission, New York State	34,862	38,757	38,757	40,248	38,757
General Services, Office of	67,107	48,450	48,450	51,875	49,458
Inspector General, Office of the	6,223	6,600	6,648	6,970	6,857
Labor Management Committees	7,747	5,446	5,487	5,698	5,487
Prevention of Domestic Violence, Office for	1,412	1,469	1,491	1,524	1,431
Public Employment Relations Board	3,059	3,313	3,338	3,468	3,338
Public Integrity, Commission on	4,386	4,646	4,681	4,867	4,827
State, Department of	29,257	27,774	27,724	28,812	27,724
Tax Appeals, Division of	2,541	2,870	2,870	2,980	2,870
Taxation and Finance, Department of	275,658	264,119	264,323	273,392	264,338
Technology, Office for	289,994	286,515	292,246	308,713	304,055
Veterans' Affairs, Division of	5,512	5,938	5,938	6,178	6,107
Welfare Inspector General, Office of	619	621	626	654	646
Workers' Compensation Board	85,117	84,993	82,104	86,209	82,104
Functional Total	<u>858,230</u>	<u>827,532</u>	<u>830,865</u>	<u>869,580</u>	<u>844,465</u>
ELECTED OFFICIALS					
Audit and Control, Department of	119,019	123,015	113,687	119,369	113,687
Executive Chamber	9,979	11,113	11,113	11,567	11,113
Judiciary	1,624,889	1,653,500	1,656,000	1,713,000	1,656,000
Law, Department of	132,481	126,309	120,450	126,506	120,450
Legislature	174,350	175,541	179,052	182,633	186,286
Lieutenant Governor, Office of the	382	523	523	543	523
Functional Total	<u>2,061,100</u>	<u>2,090,001</u>	<u>2,080,825</u>	<u>2,153,618</u>	<u>2,088,059</u>
ALL OTHER CATEGORIES					
Miscellaneous	2,194	128,265	243,270	358,351	358,325
Functional Total	<u>2,194</u>	<u>128,265</u>	<u>243,270</u>	<u>358,351</u>	<u>358,325</u>
TOTAL PERSONAL SERVICE SPENDING	<u>13,170,432</u>	<u>13,541,879</u>	<u>13,885,219</u>	<u>14,574,634</u>	<u>14,475,652</u>

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2018 Results	FY 2019 Enacted	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	6,895	8,415	8,640	7,644	23,240
Alcoholic Beverage Control, Division of	3,688	4,336	2,659	2,659	2,659
Economic Development, Department of	6,162	4,362	4,362	4,362	4,362
Financial Services, Department of	55,233	52,212	55,068	55,366	55,072
Olympic Regional Development Authority	188	3,338	3,338	3,338	3,338
Public Service Department	9,517	8,677	8,727	7,729	7,727
Functional Total	81,683	81,340	82,794	81,098	96,398
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	289	361	306	306	306
Environmental Conservation, Department of	37,261	37,303	36,793	39,994	39,975
Parks, Recreation and Historic Preservation, Office of	38,428	39,395	39,110	39,112	39,112
Functional Total	75,978	77,059	76,209	79,412	79,393
TRANSPORTATION					
Motor Vehicles, Department of	12,898	15,808	15,872	15,959	15,350
Transportation, Department of	6,336	119,303	169,681	174,442	179,337
Functional Total	19,234	135,111	185,553	190,401	194,687
HEALTH					
Aging, Office for the	97	107	107	107	107
Health, Department of	488,416	571,700	563,425	564,661	559,999
<i>Essential Plan</i>	84,490	97,655	93,861	89,140	83,773
<i>Medicaid Administration</i>	260,257	305,049	303,276	306,447	308,419
<i>Public Health</i>	143,669	168,996	166,288	169,074	167,807
Medicaid Inspector General, Office of the	2,418	3,175	3,175	3,175	3,175
Functional Total	490,931	574,982	566,707	567,943	563,281
SOCIAL WELFARE					
Children and Family Services, Office of	70,816	97,242	114,394	127,773	130,328
<i>OCFS</i>	70,816	97,242	114,394	127,773	130,328
Housing and Community Renewal, Division of	10,675	8,843	8,843	9,273	9,431
Human Rights, Division of	803	460	460	469	478
Labor, Department of	15,319	13,901	13,901	14,392	14,660
National and Community Service	1	9	9	9	9
Temporary and Disability Assistance, Office of	57,979	57,073	58,245	59,369	60,539
<i>All Other</i>	57,979	57,073	58,245	59,369	60,539
Functional Total	155,593	177,528	195,852	211,285	215,445
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	25,044	23,197	23,865	24,574	25,304
<i>OASAS</i>	11,610	13,063	13,355	13,632	13,928
<i>OASAS - Other</i>	13,434	10,134	10,510	10,942	11,376
Justice Center	7,625	9,187	9,448	9,723	10,006
Mental Health, Office of	280,059	252,458	268,528	276,763	285,231
<i>OMH</i>	62,692	42,364	43,651	43,651	44,589
<i>OMH - Other</i>	217,367	210,094	224,877	233,112	240,642
Mental Hygiene, Department of	204	0	0	0	0
People with Developmental Disabilities, Office for	203,291	169,369	173,789	178,743	183,844
<i>OPWDD</i>	24	181	181	181	181
<i>OPWDD - Other</i>	203,267	169,188	173,608	178,562	183,663
Functional Total	516,223	454,211	475,630	489,803	504,385
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	278	237	237	242	247
Correctional Services, Department of	529,446	563,349	562,218	562,218	559,218
Criminal Justice Services, Division of	9,509	11,231	11,231	11,231	11,497
Homeland Security and Emergency Services, Division of	13,341	13,538	13,253	13,263	14,331
Indigent Legal Services, Office of	455	833	831	831	843
Judicial Conduct, Commission on	1,314	1,384	1,361	1,388	1,631
Judicial Nomination, Commission on	10	30	30	30	30

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2018 Results	FY 2019 Enacted	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
Judicial Screening Committees, New York State	14	38	38	38	38
Military and Naval Affairs, Division of	10,007	7,664	7,805	7,805	8,395
State Police, Division of	64,567	61,987	60,220	60,289	62,360
Statewide Financial System	19,043	18,624	18,624	18,624	18,996
Victim Services, Office of	530	775	775	775	788
Functional Total	648,514	679,690	676,623	676,734	678,374
HIGHER EDUCATION					
City University of New York	50,097	38,429	37,858	38,730	38,730
Higher Education - Miscellaneous	87	93	93	93	93
Higher Education Services Corporation, New York State	28,371	33,738	33,738	33,738	33,738
State University of New York	2,326,978	2,343,641	2,261,963	2,291,692	2,322,820
Functional Total	2,405,533	2,415,901	2,333,652	2,364,253	2,395,381
EDUCATION					
Arts, Council on the	1,634	1,822	1,822	1,822	1,822
Education, Department of	54,500	56,838	54,774	54,833	54,776
<i>All Other</i>	54,500	56,838	54,774	54,833	54,776
Functional Total	56,134	58,660	56,596	56,655	56,598
GENERAL GOVERNMENT					
Budget, Division of the	4,917	4,199	3,372	3,375	3,372
Civil Service, Department of	742	834	850	851	860
Deferred Compensation Board	43	231	235	235	235
Elections, State Board of	1,686	2,569	2,634	2,687	3,051
Employee Relations, Office of	1,054	72	73	73	73
Gaming Commission, New York State	59,669	41,971	41,971	42,007	41,971
General Services, Office of	76,464	88,571	84,493	79,870	80,897
Inspector General, Office of the	943	827	839	856	1,204
Labor Management Committees	17,026	25,854	25,819	25,819	25,819
Prevention of Domestic Violence, Office for	146	195	173	212	216
Public Employment Relations Board	201	291	296	296	296
Public Integrity, Commission on	729	930	949	968	1,183
State, Department of	14,542	13,246	13,097	13,121	13,097
Tax Appeals, Division of	147	170	170	170	170
Taxation and Finance, Department of	45,357	68,147	66,688	67,426	66,064
Technology, Office for	245,983	258,440	248,709	242,655	253,869
Veterans' Affairs, Division of	373	298	298	304	310
Welfare Inspector General, Office of	52	65	75	77	107
Workers' Compensation Board	55,854	58,330	63,089	64,304	64,304
Functional Total	525,928	565,240	553,830	545,306	557,098
ELECTED OFFICIALS					
Audit and Control, Department of	27,700	31,337	33,157	33,818	33,818
Executive Chamber	3,581	2,465	2,465	2,465	2,465
Judiciary	456,020	465,200	465,200	465,200	465,200
Law, Department of	51,032	53,087	56,383	57,490	57,490
Legislature	49,022	54,317	55,384	56,473	57,583
Lieutenant Governor, Office of the	110	91	91	91	91
Functional Total	587,465	606,497	612,680	615,537	616,647
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	131	0	0	0	0
Functional Total	131	0	0	0	0
ALL OTHER CATEGORIES					
Long-Term Debt Service	41,887	47,182	47,182	47,182	47,182
Miscellaneous	45,466	44,741	44,752	44,754	44,754
Functional Total	87,353	91,923	91,934	91,936	91,936
TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING	5,650,700	5,918,142	5,908,060	5,970,363	6,049,623

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	FY 2018 Results	FY 2019 Enacted	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	1,255	1,891	1,960	2,128	2,191
Economic Development, Department of	2	28	28	28	28
Financial Services, Department of	90,924	100,172	104,142	116,795	116,569
Public Service Department	32,395	26,761	27,682	29,298	30,958
Functional Total	124,576	128,852	133,812	148,249	149,746
PARKS AND THE ENVIRONMENT					
Environmental Conservation, Department of	45,573	49,598	46,267	46,946	47,814
Parks, Recreation and Historic Preservation, Office of	3,264	3,152	3,160	3,307	3,341
Functional Total	48,837	52,750	49,427	50,253	51,155
TRANSPORTATION					
Motor Vehicles, Department of	20,986	23,315	24,118	25,963	26,615
Transportation, Department of	1,720	2,145	2,198	2,417	2,459
Functional Total	22,706	25,460	26,316	28,380	29,074
HEALTH					
Health, Department of	31,713	32,872	34,001	35,744	36,982
<i>Public Health</i>	31,713	32,872	34,001	35,744	36,982
Functional Total	31,713	32,872	34,001	35,744	36,982
SOCIAL WELFARE					
Children and Family Services, Office of	1,658	1,764	1,794	1,824	1,824
<i>OCFS</i>	1,658	1,764	1,794	1,824	1,824
Housing and Community Renewal, Division of	16,045	3,070	3,070	3,070	6,299
Labor, Department of	20,895	20,487	20,487	20,487	23,005
Temporary and Disability Assistance, Office of	12	128	128	128	128
<i>All Other</i>	12	128	128	128	128
Functional Total	38,610	25,449	25,479	25,509	31,256
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	36,956	0	0	0	0
<i>OASAS</i>	14,792	0	1	0	0
<i>OASAS - Other</i>	22,164	0	(1)	0	0
Justice Center	649	874	918	976	1,043
Mental Health, Office of	650,727	0	0	0	0
<i>OMH</i>	193,397	0	0	0	0
<i>OMH - Other</i>	457,330	0	0	0	0
People with Developmental Disabilities, Office for	683,801	0	0	0	0
<i>OPWDD - Other</i>	683,801	0	0	0	0
Functional Total	1,372,133	874	918	976	1,043
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correctional Services, Department of	117	106	106	106	106
Criminal Justice Services, Division of	35	35	35	35	35
Homeland Security and Emergency Services, Division of	615	809	817	835	905
Indigent Legal Services, Office of	1,069	1,906	2,003	2,117	2,239
Military and Naval Affairs, Division of	6	9	9	9	10
State Police, Division of	14,072	23,900	24,810	25,153	25,323
Victim Services, Office of	1,638	1,650	1,650	1,650	1,683
Functional Total	17,552	28,415	29,430	29,905	30,301
HIGHER EDUCATION					
City University of New York	145	7,892	7,892	7,892	7,892
Higher Education - Miscellaneous	81	150	150	150	150
Higher Education Services Corporation, New York State	8,131	8,958	8,958	8,958	8,958
State University of New York	430,860	474,407	504,024	540,563	544,967
Functional Total	439,217	491,407	521,024	557,563	561,967

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	<u>FY 2018 Results</u>	<u>FY 2019 Enacted</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>
EDUCATION					
Education, Department of	34,543	37,463	38,792	42,452	43,195
<i>All Other</i>	<u>34,543</u>	<u>37,463</u>	<u>38,792</u>	<u>42,452</u>	<u>43,195</u>
Functional Total	<u>34,543</u>	<u>37,463</u>	<u>38,792</u>	<u>42,452</u>	<u>43,195</u>
GENERAL GOVERNMENT					
Budget, Division of the	712	1,657	1,657	1,717	1,657
Civil Service, Department of	180	229	239	263	270
Deferred Compensation Board	224	205	205	205	205
Gaming Commission, New York State	16,540	17,575	17,575	18,465	17,575
General Services, Office of	369	548	567	627	644
State, Department of	10,433	12,110	12,524	13,743	14,003
Taxation and Finance, Department of	22,635	27,264	27,063	28,183	27,072
Workers' Compensation Board	52,395	53,220	53,402	57,863	57,863
Functional Total	<u>103,488</u>	<u>112,808</u>	<u>113,232</u>	<u>121,066</u>	<u>119,289</u>
ELECTED OFFICIALS					
Audit and Control, Department of	1,677	2,135	2,385	2,417	2,417
Judiciary	750,196	788,229	785,729	785,729	785,729
Law, Department of	16,578	19,302	20,531	21,564	21,564
Functional Total	<u>768,451</u>	<u>809,666</u>	<u>808,645</u>	<u>809,710</u>	<u>809,710</u>
ALL OTHER CATEGORIES					
General State Charges	4,842,815	6,789,244	7,336,753	7,857,175	8,380,175
Miscellaneous	8,445	5,743	5,743	5,761	5,761
Functional Total	<u>4,851,260</u>	<u>6,794,987</u>	<u>7,342,496</u>	<u>7,862,936</u>	<u>8,385,936</u>
TOTAL GENERAL STATE CHARGES SPENDING	<u><u>7,853,086</u></u>	<u><u>8,541,003</u></u>	<u><u>9,123,572</u></u>	<u><u>9,712,743</u></u>	<u><u>10,249,654</u></u>

**CASH DISBURSEMENTS BY FUNCTION
CAPITAL PROJECTS FUNDS
(thousands of dollars)**

	FY 2018 Results	FY 2019 Enacted	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	8,264	64,699	16,915	5,415	5,415
Economic Development Capital	2,751	22,587	20,270	19,569	29,069
Economic Development, Department of	8,964	10,442	0	5,249	0
Empire State Development Corporation	1,082,775	1,841,274	1,750,951	1,798,531	1,568,655
Energy Research and Development Authority	17,109	22,877	17,595	15,720	14,001
Olympic Regional Development Authority	38,000	50,000	20,000	10,000	10,000
Power Authority, New York	243	13,750	29,000	32,700	2,000
Regional Economic Development Program	2,030	338	320	320	320
Strategic Investment Program	1,625	7,002	6,317	10,494	6,317
Functional Total	1,161,761	2,032,969	1,861,368	1,897,998	1,635,777
PARKS AND THE ENVIRONMENT					
Environmental Conservation, Department of	657,267	950,658	967,922	989,455	1,010,027
Hudson River Park Trust	0	0	5,000	10,000	10,000
Parks, Recreation and Historic Preservation, Office of	179,957	177,903	179,732	164,914	165,364
Functional Total	837,224	1,128,561	1,152,654	1,164,369	1,185,391
TRANSPORTATION					
Metropolitan Transportation Authority	65,000	800,000	675,000	194,856	0
Motor Vehicles, Department of	221,992	228,495	231,724	243,144	224,758
Transportation, Department of	4,564,825	5,041,751	4,642,833	4,573,819	4,626,791
Functional Total	4,851,817	6,070,246	5,549,557	5,011,819	4,851,549
HEALTH					
Health, Department of	211,303	612,865	739,365	711,622	658,173
<i>Public Health</i>	211,303	612,865	739,365	711,622	658,173
Functional Total	211,303	612,865	739,365	711,622	658,173
SOCIAL WELFARE					
Children and Family Services, Office of	41,259	68,695	22,872	23,050	22,906
<i>OCFS</i>	41,259	68,695	22,872	23,050	22,906
Housing and Community Renewal, Division of	278,873	767,215	504,252	503,023	459,023
Nonprofit Infrastructure Capital Investment Program	6,951	47,500	44,500	16,049	5,000
Roosevelt Island Operating Corporation	0	25,028	0	0	0
Temporary and Disability Assistance, Office of	48,051	60,650	62,907	62,907	62,907
<i>All Other</i>	48,051	60,650	62,907	62,907	62,907
Functional Total	375,134	969,088	634,531	605,029	549,836
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	39,927	69,163	81,130	84,680	57,828
<i>OASAS</i>	39,927	69,163	81,130	84,680	57,828
Mental Health, Office of	284,683	252,972	230,742	226,976	255,165
<i>OMH</i>	284,683	252,972	230,742	226,976	255,165
People with Developmental Disabilities, Office for	72,053	88,819	89,748	83,778	84,735
<i>OPWDD</i>	72,053	88,819	89,748	83,778	84,735
Functional Total	396,663	410,954	401,620	395,434	397,728
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correctional Services, Department of	355,744	378,637	342,168	316,496	316,985
Homeland Security and Emergency Services, Division of	85,364	75,119	50,500	26,000	35,889
Military and Naval Affairs, Division of	63,307	55,439	58,566	48,844	42,244
State Police, Division of	72,903	55,225	40,334	42,408	47,053
Functional Total	577,318	564,420	491,568	433,748	442,171
HIGHER EDUCATION					
City University of New York	36,608	35,900	36,620	37,352	37,352
Higher Education Facilities Capital Matching Grants Program	4,425	12,500	11,875	14,250	6,650
State University of New York	940,056	921,643	893,516	885,693	884,973
Functional Total	981,089	970,043	942,011	937,295	928,975

**CASH DISBURSEMENTS BY FUNCTION
CAPITAL PROJECTS FUNDS
(thousands of dollars)**

	<u>FY 2018 Results</u>	<u>FY 2019 Enacted</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>
EDUCATION					
Education, Department of	117,147	600,500	577,368	301,557	286,500
<i>School Aid</i>	91,584	500,000	500,000	250,000	225,000
<i>All Other</i>	25,563	100,500	77,368	51,557	61,500
Functional Total	<u>117,147</u>	<u>600,500</u>	<u>577,368</u>	<u>301,557</u>	<u>286,500</u>
GENERAL GOVERNMENT					
General Services, Office of	128,849	220,728	187,662	190,879	143,617
State, Department of	1,233	12,767	62,000	50,000	40,000
Technology, Office for	102,831	118,865	18,751	24,700	33,700
Workers' Compensation Board	4,824	20,000	30,000	18,306	0
Functional Total	<u>237,737</u>	<u>372,360</u>	<u>298,413</u>	<u>283,885</u>	<u>217,317</u>
ELECTED OFFICIALS					
Audit and Control, Department of	0	5,024	1,400	0	0
Judiciary	13,943	18,500	0	0	0
Law, Department of	5,035	4,671	968	0	0
Functional Total	<u>18,978</u>	<u>28,195</u>	<u>2,368</u>	<u>0</u>	<u>0</u>
ALL OTHER CATEGORIES					
Arts and Cultural Facilities Improvement	0	15,000	5,000	0	0
Miscellaneous	91,065	(447,093)	(459,282)	(495,000)	241,000
Special Infrastructure Account	783,139	1,146,111	983,321	722,757	238,749
Functional Total	<u>874,204</u>	<u>714,018</u>	<u>529,039</u>	<u>227,757</u>	<u>479,749</u>
TOTAL CAPITAL PROJECTS FUNDS SPENDING	<u><u>10,640,375</u></u>	<u><u>14,474,219</u></u>	<u><u>13,179,862</u></u>	<u><u>11,970,513</u></u>	<u><u>11,633,166</u></u>

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	<u>FY 2018 Results</u>	<u>FY 2019 Enacted</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
<i>Agriculture and Markets, Department of</i>	95,102	157,916	100,905	85,806	100,633
Local Assistance	32,318	38,271	28,757	24,757	24,907
State Operations	51,225	50,923	51,122	51,233	65,714
Personal Service	34,271	32,836	32,841	33,956	32,841
Non-Personal Service/Indirect Costs	16,954	18,087	18,281	17,277	32,873
General State Charges	3,295	4,023	4,111	4,401	4,597
Capital Projects	8,264	64,699	16,915	5,415	5,415
<i>Alcoholic Beverage Control, Division of</i>	11,819	12,483	11,244	11,560	11,244
State Operations	11,819	12,483	11,244	11,560	11,244
Personal Service	8,131	8,147	8,585	8,901	8,585
Non-Personal Service/Indirect Costs	3,688	4,336	2,659	2,659	2,659
<i>Economic Development Capital</i>	2,751	22,587	20,270	19,569	29,069
Local Assistance	2,751	0	(1,330)	(1,293)	(1,793)
Capital Projects	0	22,587	21,600	20,862	30,862
<i>Economic Development, Department of</i>	88,621	96,160	79,263	84,512	84,113
Local Assistance	68,718	68,154	61,699	61,423	66,549
State Operations	19,891	17,536	17,536	17,536	17,536
Personal Service	13,243	12,929	12,929	12,929	12,929
Non-Personal Service/Indirect Costs	6,648	4,607	4,607	4,607	4,607
General State Charges	2	28	28	28	28
Capital Projects	10	10,442	0	5,525	0
<i>Empire State Development Corporation</i>	1,207,655	1,939,210	1,837,697	1,890,277	1,660,401
Local Assistance	1,136,529	1,351,958	1,144,790	1,145,709	1,336,830
Capital Projects	71,126	587,252	692,907	744,568	323,571
<i>Energy Research and Development Authority</i>	17,109	22,877	17,595	15,720	14,001
Capital Projects	17,109	22,877	17,595	15,720	14,001
<i>Financial Services, Department of</i>	357,811	375,435	379,971	398,875	392,512
Local Assistance	57,254	64,954	61,886	61,855	61,855
State Operations	209,633	210,309	213,943	220,225	214,088
Personal Service	154,146	156,697	157,475	163,459	157,616
Non-Personal Service/Indirect Costs	55,487	53,612	56,468	56,766	56,472
General State Charges	90,924	100,172	104,142	116,795	116,569
<i>Olympic Regional Development Authority</i>	40,736	58,933	25,886	15,886	15,886
State Operations	2,736	8,933	5,886	5,886	5,886
Personal Service	2,548	5,595	2,548	2,548	2,548
Non-Personal Service/Indirect Costs	188	3,338	3,338	3,338	3,338
Capital Projects	38,000	50,000	20,000	10,000	10,000
<i>Power Authority, New York</i>	243	13,750	29,000	32,700	2,000
Local Assistance	83	0	0	0	0
Capital Projects	160	13,750	29,000	32,700	2,000
<i>Public Service Department</i>	88,976	80,639	81,610	83,839	83,886
Local Assistance	102	136	136	136	136
State Operations	54,691	52,019	52,069	52,682	51,069
Personal Service	44,476	43,302	43,302	44,913	43,302
Non-Personal Service/Indirect Costs	10,215	8,717	8,767	7,769	7,767
General State Charges	34,183	28,484	29,405	31,021	32,681
<i>Regional Economic Development Program</i>	2,030	338	320	320	320
Local Assistance	2,030	0	(17)	(17)	(17)
Capital Projects	0	338	337	337	337
<i>Strategic Investment Program</i>	1,625	7,002	6,317	10,494	6,317
Local Assistance	1,625	0	(333)	(552)	(333)
Capital Projects	0	7,002	6,650	11,046	6,650
Functional Total	1,914,478	2,787,330	2,590,078	2,649,558	2,400,382

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2018 Results	FY 2019 Enacted	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
PARKS AND THE ENVIRONMENT					
<i>Adirondack Park Agency</i>	4,468	4,819	4,764	4,922	4,764
State Operations	4,468	4,819	4,764	4,922	4,764
Personal Service	4,179	4,108	4,108	4,266	4,108
Non-Personal Service/Indirect Costs	289	711	656	656	656
<i>Environmental Conservation, Department of</i>	974,519	1,273,039	1,282,587	1,317,818	1,332,674
Local Assistance	345,907	190,795	189,019	189,019	189,019
State Operations	252,567	252,843	250,234	262,827	256,243
Personal Service	200,579	198,228	196,129	205,507	198,942
Non-Personal Service/Indirect Costs	51,988	54,615	54,105	57,320	57,301
General State Charges	62,194	64,743	61,412	62,517	63,385
Capital Projects	313,851	764,658	781,922	803,455	824,027
<i>Hudson River Park Trust</i>	0	0	5,000	10,000	10,000
Capital Projects	0	0	5,000	10,000	10,000
<i>Parks, Recreation and Historic Preservation, Office of</i>	366,435	363,673	363,461	355,283	350,862
Local Assistance	9,463	7,126	6,820	6,820	6,820
State Operations	173,759	175,492	173,749	180,218	175,313
Personal Service	132,575	134,952	133,494	139,959	135,054
Non-Personal Service/Indirect Costs	41,184	40,540	40,255	40,259	40,259
General State Charges	3,264	3,152	3,160	3,331	3,365
Capital Projects	179,949	177,903	179,732	164,914	165,364
Functional Total	1,345,422	1,641,531	1,655,812	1,688,023	1,698,300
TRANSPORTATION					
<i>Metropolitan Transportation Authority</i>	65,000	800,000	675,000	194,856	0
Local Assistance	65,000	800,000	675,000	194,856	0
<i>Motor Vehicles, Department of</i>	312,091	339,279	343,170	357,707	339,654
Local Assistance	14,833	18,375	18,000	18,000	18,000
State Operations	53,425	68,374	68,608	69,794	69,475
Personal Service	37,707	48,753	48,923	50,011	50,301
Non-Personal Service/Indirect Costs	15,718	19,621	19,685	19,783	19,174
General State Charges	21,841	24,035	24,838	26,769	27,421
Capital Projects	221,992	228,495	231,724	243,144	224,758
<i>Transportation, Department of</i>	9,667,708	9,359,109	8,691,870	8,676,041	8,865,369
Local Assistance	6,168,328	5,134,421	4,768,243	4,789,234	4,918,771
State Operations	26,750	305,264	355,637	360,953	365,305
Personal Service	11,964	172,376	172,371	172,901	172,383
Non-Personal Service/Indirect Costs	14,786	132,888	183,266	188,052	192,922
General State Charges	6,070	8,695	8,805	9,669	9,848
Capital Projects	3,466,560	3,910,729	3,559,185	3,516,185	3,571,445
Functional Total	10,044,799	10,498,388	9,710,040	9,228,604	9,205,023
HEALTH					
<i>Aging, Office for the</i>	234,525	235,750	234,293	241,408	246,677
Local Assistance	221,725	224,718	222,056	228,986	234,298
State Operations	12,800	11,032	12,237	12,422	12,379
Personal Service	7,079	7,646	7,782	7,967	7,924
Non-Personal Service/Indirect Costs	5,721	3,386	4,455	4,455	4,455
<i>Health, Department of</i>	66,162,539	68,108,243	70,449,496	72,347,744	74,322,685
Medical Assistance	55,744,454	57,251,393	59,498,601	61,611,758	63,661,131
Local Assistance	55,744,454	57,251,393	59,498,601	61,611,758	63,661,131
Essential Plan	3,962,563	4,075,133	4,095,493	4,105,047	4,110,976
Local Assistance	3,874,925	3,973,463	3,997,797	4,012,207	4,023,489
State Operations	87,638	101,670	97,696	92,840	87,487

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2018 Results	FY 2019 Enacted	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
Personal Service	3,148	4,015	3,835	3,700	3,714
Non-Personal Service/Indirect Costs	84,490	97,655	93,861	89,140	83,773
Medicaid Administration	1,356,021	1,846,736	1,726,673	1,699,147	1,674,684
Local Assistance	716,713	963,344	791,947	791,947	791,947
State Operations	636,474	877,177	928,084	900,151	875,381
Personal Service	69,223	101,258	108,658	114,749	111,860
Non-Personal Service/Indirect Costs	567,251	775,919	819,426	785,402	763,521
General State Charges	2,834	6,215	6,642	7,049	7,356
Public Health	5,099,501	4,934,981	5,128,729	4,931,792	4,875,894
Local Assistance	4,246,415	4,101,487	4,296,985	4,140,935	4,091,388
State Operations	732,966	648,854	644,741	651,397	643,838
Personal Service	281,163	281,849	281,865	287,570	281,837
Non-Personal Service/Indirect Costs	451,803	367,005	362,876	363,827	362,001
General State Charges	64,888	72,296	74,659	79,116	80,324
Capital Projects	55,232	112,344	112,344	60,344	60,344
Medicaid Inspector General, Office of the	46,446	48,043	48,406	50,445	49,224
State Operations	37,092	38,175	38,175	39,438	38,217
Personal Service	31,681	30,066	30,066	31,287	30,066
Non-Personal Service/Indirect Costs	5,411	8,109	8,109	8,151	8,151
General State Charges	9,354	9,868	10,231	11,007	11,007
Functional Total	66,443,510	68,392,036	70,732,195	72,639,597	74,618,586
SOCIAL WELFARE					
Children and Family Services, Office of	3,139,782	2,995,618	3,037,467	3,131,074	3,170,196
OCFS	3,062,420	2,910,876	2,948,669	3,039,048	3,074,010
Local Assistance	2,686,986	2,444,629	2,441,517	2,461,303	2,491,810
State Operations	321,311	385,075	471,255	540,631	545,230
Personal Service	197,353	220,842	289,870	344,830	345,548
Non-Personal Service/Indirect Costs	123,958	164,233	181,385	195,801	199,682
General State Charges	12,864	12,477	13,025	14,064	14,064
Capital Projects	41,259	68,695	22,872	23,050	22,906
OCFS - Other	77,362	84,742	88,798	92,026	96,186
Local Assistance	77,362	84,742	88,798	92,026	96,186
Housing and Community Renewal, Division of	420,810	892,532	659,600	663,252	623,535
Local Assistance	340,738	822,907	589,975	589,399	546,074
State Operations	60,527	59,550	59,550	63,778	62,661
Personal Service	47,478	47,998	47,998	51,350	49,937
Non-Personal Service/Indirect Costs	13,049	11,552	11,552	12,428	12,724
General State Charges	19,545	7,075	7,075	7,075	11,800
Capital Projects	0	3,000	3,000	3,000	3,000
Human Rights, Division of	14,612	14,343	14,343	15,257	14,990
State Operations	14,612	14,343	14,343	15,257	14,990
Personal Service	12,315	12,596	12,596	13,475	13,173
Non-Personal Service/Indirect Costs	2,297	1,747	1,747	1,782	1,817
Labor, Department of	562,650	564,441	550,739	568,320	597,462
Local Assistance	161,244	166,554	152,042	152,042	152,042
State Operations	280,007	282,017	282,017	299,598	305,198
Personal Service	206,559	192,484	192,484	206,660	208,852
Non-Personal Service/Indirect Costs	73,448	89,533	89,533	92,938	96,346
General State Charges	121,399	115,870	116,680	116,680	140,222
National and Community Service	15,097	16,564	16,571	16,904	17,223
Local Assistance	477	350	350	350	350
State Operations	14,620	15,985	15,985	16,312	16,631
Personal Service	551	708	708	730	738
Non-Personal Service/Indirect Costs	14,069	15,277	15,277	15,582	15,893
General State Charges	0	229	236	242	242

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2018 Results	FY 2019 Enacted	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
Nonprofit Infrastructure Capital Investment Program	6,951	47,500	44,500	16,049	5,000
Local Assistance	6,951	0	0	0	0
Capital Projects	0	47,500	44,500	16,049	5,000
Roosevelt Island Operating Corporation	0	25,028	0	0	0
Local Assistance	0	25,028	0	0	0
Temporary and Disability Assistance, Office of	4,614,149	5,110,578	5,193,844	5,201,137	5,218,921
Welfare Assistance	3,297,939	3,804,397	3,867,942	3,867,942	3,867,942
Local Assistance	3,297,939	3,804,397	3,867,942	3,867,942	3,867,942
All Other	1,316,210	1,306,181	1,325,902	1,333,195	1,350,979
Local Assistance	979,441	979,913	993,303	996,103	999,903
State Operations	283,573	280,970	287,301	291,794	297,510
Personal Service	153,573	146,199	151,358	154,727	157,833
Non-Personal Service/Indirect Costs	130,000	134,771	135,943	137,067	139,677
General State Charges	52,395	44,498	44,498	44,498	52,766
Capital Projects	801	800	800	800	800
Functional Total	8,774,051	9,666,604	9,517,064	9,611,993	9,647,327
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	596,829	629,904	668,684	689,713	676,854
OASAS	503,832	565,490	603,129	622,707	609,076
Local Assistance	448,258	514,599	552,479	570,941	557,475
State Operations	38,975	44,831	45,773	46,771	46,465
Personal Service	25,461	29,541	30,155	30,878	30,223
Non-Personal Service/Indirect Costs	13,514	15,290	15,618	15,893	16,242
General State Charges	14,792	1,970	2,029	2,097	2,190
Capital Projects	1,807	4,090	2,848	2,898	2,946
OASAS - Other	92,997	64,414	65,555	67,006	67,778
Local Assistance	21,325	21,325	21,325	21,325	21,325
State Operations	49,508	43,089	44,231	45,681	46,453
Personal Service	36,074	32,955	33,721	34,739	35,077
Non-Personal Service/Indirect Costs	13,434	10,134	10,510	10,942	11,376
General State Charges	22,164	0	(1)	0	0
Developmental Disabilities Planning Council	4,378	4,200	4,200	4,200	4,200
State Operations	3,508	3,415	3,415	3,415	3,415
Personal Service	1,007	1,266	1,266	1,266	1,266
Non-Personal Service/Indirect Costs	2,501	2,149	2,149	2,149	2,149
General State Charges	870	785	785	785	785
Justice Center	41,365	44,307	45,966	47,876	47,349
Local Assistance	619	649	649	649	649
State Operations	40,037	42,720	44,335	46,187	45,592
Personal Service	31,552	32,997	34,351	35,928	35,037
Non-Personal Service/Indirect Costs	8,485	9,723	9,984	10,259	10,555
General State Charges	709	938	982	1,040	1,108
Mental Health, Office of	3,501,554	2,936,826	3,076,730	3,174,167	3,298,270
OMH	1,778,348	1,666,520	1,786,534	1,843,341	1,942,129
Local Assistance	1,016,591	1,140,237	1,256,426	1,312,255	1,380,050
State Operations	352,420	345,820	350,143	350,143	354,131
Personal Service	289,317	302,901	305,937	305,937	308,987
Non-Personal Service/Indirect Costs	63,103	42,919	44,206	44,206	45,144
General State Charges	194,563	456	469	469	469
Capital Projects	214,774	180,007	179,496	180,474	207,479
OMH - Other	1,723,206	1,270,306	1,290,196	1,330,826	1,356,141
Local Assistance	265,389	299,325	307,918	316,916	326,131
State Operations	1,000,487	970,981	982,278	1,013,910	1,030,010
Personal Service	783,120	760,887	757,401	780,798	789,368

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2018 Results	FY 2019 Enacted	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
Non-Personal Service/Indirect Costs	217,367	210,094	224,877	233,112	240,642
General State Charges	457,330	0	0	0	0
Mental Hygiene, Department of	204	0	0	0	0
State Operations	204	0	0	0	0
Non-Personal Service/Indirect Costs	204	0	0	0	0
People with Developmental Disabilities, Office for	2,935,355	1,941,615	2,141,408	2,415,820	2,622,953
OPWDD	479,874	478,271	488,157	494,329	508,374
Local Assistance	409,319	392,150	401,107	413,249	426,337
State Operations	546	1,181	1,181	1,181	1,181
Personal Service	124	0	0	0	0
Non-Personal Service/Indirect Costs	422	1,181	1,181	1,181	1,181
Capital Projects	70,009	84,940	85,869	79,899	80,856
OPWDD - Other	2,455,481	1,463,344	1,653,251	1,921,491	2,114,579
Local Assistance	438,663	186,137	340,065	571,203	749,619
State Operations	1,333,017	1,277,207	1,313,186	1,350,288	1,364,960
Personal Service	1,129,750	1,108,019	1,139,578	1,171,726	1,181,297
Non-Personal Service/Indirect Costs	203,267	169,188	173,608	178,562	183,663
General State Charges	683,801	0	0	0	0
Functional Total	7,079,685	5,556,852	5,936,988	6,331,776	6,649,626
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	2,594	2,651	2,651	2,773	2,735
State Operations	2,594	2,651	2,651	2,773	2,735
Personal Service	2,316	2,414	2,414	2,531	2,488
Non-Personal Service/Indirect Costs	278	237	237	242	247
Correctional Services, Department of	3,017,424	3,007,745	2,983,649	2,963,607	2,955,466
Local Assistance	5,686	4,836	4,836	4,836	4,836
State Operations	2,654,783	2,623,125	2,635,498	2,641,128	2,632,498
Personal Service	2,124,813	2,058,585	2,072,089	2,077,719	2,072,089
Non-Personal Service/Indirect Costs	529,970	564,540	563,409	563,409	560,409
General State Charges	1,211	1,147	1,147	1,147	1,147
Capital Projects	355,744	378,637	342,168	316,496	316,985
Corrections and Community Supervision Medicaid, Department of	0	2,000	2,000	2,000	0
Local Assistance	0	2,000	2,000	2,000	0
Criminal Justice Services, Division of	221,521	239,697	213,214	214,268	214,090
Local Assistance	170,749	193,650	167,167	167,167	167,167
State Operations	50,120	45,664	45,664	46,718	46,540
Personal Service	32,935	30,184	30,184	31,238	30,794
Non-Personal Service/Indirect Costs	17,185	15,480	15,480	15,480	15,746
General State Charges	652	383	383	383	383
Homeland Security and Emergency Services, Division of	1,524,755	1,133,085	1,043,570	1,027,113	1,040,065
Local Assistance	1,430,243	1,019,569	938,544	940,313	950,879
State Operations	58,572	69,983	71,645	72,901	75,328
Personal Service	27,650	38,013	39,960	41,206	42,565
Non-Personal Service/Indirect Costs	30,922	31,970	31,685	31,695	32,763
General State Charges	7,269	7,873	7,881	7,899	7,969
Capital Projects	28,671	35,660	25,500	6,000	5,889
Indigent Legal Services, Office of	75,499	166,393	190,581	214,855	214,975
Local Assistance	72,102	160,672	184,765	208,859	208,859
State Operations	2,328	3,815	3,813	3,879	3,877
Personal Service	1,873	2,982	2,982	3,048	3,034
Non-Personal Service/Indirect Costs	455	833	831	831	843
General State Charges	1,069	1,906	2,003	2,117	2,239
Judicial Conduct, Commission on	5,552	5,696	5,708	5,936	6,114
State Operations	5,552	5,696	5,708	5,936	6,114
Personal Service	4,238	4,312	4,347	4,548	4,483

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2018 Results	FY 2019 Enacted	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
Non-Personal Service/Indirect Costs	1,314	1,384	1,361	1,388	1,631
Judicial Nomination, Commission on	10	30	30	30	30
State Operations	10	30	30	30	30
Non-Personal Service/Indirect Costs	10	30	30	30	30
Judicial Screening Committees, New York State	14	38	38	38	38
State Operations	14	38	38	38	38
Non-Personal Service/Indirect Costs	14	38	38	38	38
Military and Naval Affairs, Division of	132,339	120,982	124,676	115,685	109,816
Local Assistance	819	820	820	820	886
State Operations	62,438	58,216	58,661	59,267	59,931
Personal Service	36,483	35,354	35,354	35,650	35,724
Non-Personal Service/Indirect Costs	25,955	22,862	23,307	23,617	24,207
General State Charges	5,775	6,507	6,629	6,754	6,755
Capital Projects	63,307	55,439	58,566	48,844	42,244
State Police, Division of	853,462	835,945	816,702	844,988	865,049
Local Assistance	62	0	0	0	0
State Operations	764,680	755,320	750,058	775,927	791,173
Personal Service	687,413	680,333	676,838	702,638	715,413
Non-Personal Service/Indirect Costs	77,267	74,987	73,220	73,289	75,760
General State Charges	15,817	25,400	26,310	26,653	26,823
Capital Projects	72,903	55,225	40,334	42,408	47,053
Statewide Financial System	30,137	30,137	30,137	30,580	30,748
State Operations	30,137	30,137	30,137	30,580	30,748
Personal Service	11,094	11,513	11,513	11,956	11,752
Non-Personal Service/Indirect Costs	19,043	18,624	18,624	18,624	18,996
Victim Services, Office of	60,952	85,515	85,515	85,683	86,470
Local Assistance	52,669	77,744	77,744	77,744	78,492
State Operations	6,645	6,121	6,121	6,289	6,295
Personal Service	5,044	4,834	4,834	5,002	4,985
Non-Personal Service/Indirect Costs	1,601	1,287	1,287	1,287	1,310
General State Charges	1,638	1,650	1,650	1,650	1,683
Functional Total	5,924,259	5,629,914	5,498,471	5,507,556	5,525,596
HIGHER EDUCATION					
City University of New York	1,604,707	1,621,372	1,649,667	1,683,134	1,715,203
Local Assistance	1,465,153	1,493,737	1,524,573	1,555,791	1,588,481
State Operations	102,801	83,843	80,582	82,099	81,478
Personal Service	52,623	37,780	35,090	35,735	35,114
Non-Personal Service/Indirect Costs	50,178	46,063	45,492	46,364	46,364
General State Charges	145	7,892	7,892	7,892	7,892
Capital Projects	36,608	35,900	36,620	37,352	37,352
Higher Education - Miscellaneous	337	441	441	441	441
State Operations	256	291	291	291	291
Personal Service	169	198	198	198	198
Non-Personal Service/Indirect Costs	87	93	93	93	93
General State Charges	81	150	150	150	150
Higher Education Facilities Capital Matching Grants Program	4,425	12,500	11,875	14,250	6,650
Local Assistance	4,425	12,500	11,875	14,250	6,650
Higher Education Services Corporation, New York State	934,399	1,151,715	1,220,748	1,239,021	1,246,127
Local Assistance	879,384	1,089,071	1,158,104	1,176,377	1,183,483
State Operations	46,884	53,685	53,685	53,685	53,685
Personal Service	13,380	14,150	14,150	14,150	14,150
Non-Personal Service/Indirect Costs	33,504	39,535	39,535	39,535	39,535
General State Charges	8,131	8,959	8,959	8,959	8,959
State University of New York	8,281,822	8,416,984	8,458,168	8,787,120	8,817,420
Local Assistance	489,533	482,289	484,643	484,643	484,643

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2018 Results	FY 2019 Enacted	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
State Operations	6,421,348	6,538,594	6,575,934	6,876,170	6,902,786
Personal Service	3,761,249	3,890,193	4,009,211	4,279,718	4,275,206
Non-Personal Service/Indirect Costs	2,660,099	2,648,401	2,566,723	2,596,452	2,627,580
General State Charges	430,885	474,458	504,075	540,614	545,018
Capital Projects	940,056	921,643	893,516	885,693	884,973
Functional Total	10,825,690	11,203,012	11,340,899	11,723,966	11,785,841
EDUCATION					
Arts, Council on the	39,750	46,113	45,953	46,049	45,953
Local Assistance	35,490	41,693	41,533	41,533	41,533
State Operations	4,260	4,420	4,420	4,516	4,420
Personal Service	2,626	2,498	2,498	2,594	2,498
Non-Personal Service/Indirect Costs	1,634	1,922	1,922	1,922	1,922
Education, Department of	33,883,898	35,841,065	36,789,286	37,735,309	39,065,146
School Aid	27,848,709	29,721,380	30,742,930	31,636,007	32,870,358
Local Assistance	27,848,709	29,721,380	30,742,930	31,636,007	32,870,358
School Aid – Other	61,169	50,000	50,000	50,000	50,000
Local Assistance	61,169	50,000	50,000	50,000	50,000
STAR Property Tax Relief	2,589,145	2,459,259	2,417,238	2,401,995	2,401,995
Local Assistance	2,589,145	2,459,259	2,417,238	2,401,995	2,401,995
Special Education Categorical Programs	2,001,400	2,042,380	2,091,537	2,159,151	2,225,151
Local Assistance	2,001,400	2,042,380	2,091,537	2,159,151	2,225,151
All Other	1,383,475	1,568,046	1,487,581	1,488,156	1,517,642
Local Assistance	985,722	1,133,833	1,061,060	1,053,213	1,075,279
State Operations	305,724	294,315	291,935	298,934	295,668
Personal Service	176,266	172,576	172,260	178,720	175,511
Non-Personal Service/Indirect Costs	129,458	121,739	119,675	120,214	120,157
General State Charges	85,316	88,398	91,218	98,452	99,195
Capital Projects	6,713	51,500	43,368	37,557	47,500
Functional Total	33,923,648	35,887,178	36,835,239	37,781,358	39,111,099
GENERAL GOVERNMENT					
Budget, Division of the	27,312	30,423	29,596	30,603	29,596
State Operations	26,600	28,766	27,939	28,886	27,939
Personal Service	21,683	24,567	24,567	25,511	24,567
Non-Personal Service/Indirect Costs	4,917	4,199	3,372	3,375	3,372
General State Charges	712	1,657	1,657	1,717	1,657
Civil Service, Department of	14,975	13,560	13,680	14,189	13,815
State Operations	14,795	13,331	13,441	13,926	13,545
Personal Service	14,053	12,497	12,591	13,075	12,685
Non-Personal Service/Indirect Costs	742	834	850	851	860
General State Charges	180	229	239	263	270
Deferred Compensation Board	675	846	853	854	853
State Operations	451	641	648	649	648
Personal Service	408	410	413	414	413
Non-Personal Service/Indirect Costs	43	231	235	235	235
General State Charges	224	205	205	205	205
Elections, State Board of	11,951	8,587	8,697	9,033	9,304
Local Assistance	326	0	0	0	0
State Operations	11,625	8,587	8,697	9,033	9,304
Personal Service	6,176	6,018	6,063	6,346	6,253
Non-Personal Service/Indirect Costs	5,449	2,569	2,634	2,687	3,051
Employee Relations, Office of	3,569	2,601	2,621	2,719	2,621
State Operations	3,569	2,601	2,621	2,719	2,621

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2018 Results	FY 2019 Enacted	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
Personal Service	2,515	2,529	2,548	2,646	2,548
Non-Personal Service/Indirect Costs	1,054	72	73	73	73
Gaming Commission, New York State	176,850	238,873	212,703	215,120	212,703
Local Assistance	65,779	140,570	114,400	114,400	114,400
State Operations	94,531	80,728	80,728	82,255	80,728
Personal Service	34,862	38,757	38,757	40,248	38,757
Non-Personal Service/Indirect Costs	59,669	41,971	41,971	42,007	41,971
General State Charges	16,540	17,575	17,575	18,465	17,575
General Services, Office of	280,851	366,579	329,454	331,533	282,898
Local Assistance	0	250	250	250	250
State Operations	151,633	145,053	140,975	139,777	138,387
Personal Service	67,107	48,450	48,450	51,875	49,458
Non-Personal Service/Indirect Costs	84,526	96,603	92,525	87,902	88,929
General State Charges	369	548	567	627	644
Capital Projects	128,849	220,728	187,662	190,879	143,617
Inspector General, Office of the	7,166	7,427	7,487	7,826	8,061
State Operations	7,166	7,427	7,487	7,826	8,061
Personal Service	6,223	6,600	6,648	6,970	6,857
Non-Personal Service/Indirect Costs	943	827	839	856	1,204
Labor Management Committees	24,773	31,300	31,306	31,517	31,306
State Operations	24,773	31,300	31,306	31,517	31,306
Personal Service	7,747	5,446	5,487	5,698	5,487
Non-Personal Service/Indirect Costs	17,026	25,854	25,819	25,819	25,819
Prevention of Domestic Violence, Office for	2,372	2,949	3,049	3,121	3,059
Local Assistance	791	1,285	1,385	1,385	1,412
State Operations	1,581	1,664	1,664	1,736	1,647
Personal Service	1,435	1,469	1,491	1,524	1,431
Non-Personal Service/Indirect Costs	146	195	173	212	216
Public Employment Relations Board	3,260	3,604	3,634	3,764	3,634
State Operations	3,260	3,604	3,634	3,764	3,634
Personal Service	3,059	3,313	3,338	3,468	3,338
Non-Personal Service/Indirect Costs	201	291	296	296	296
Public Integrity, Commission on	5,115	5,576	5,630	5,835	6,010
State Operations	5,115	5,576	5,630	5,835	6,010
Personal Service	4,386	4,646	4,681	4,867	4,827
Non-Personal Service/Indirect Costs	729	930	949	968	1,183
State, Department of	127,596	149,428	198,295	181,627	170,776
Local Assistance	65,899	82,918	132,336	115,336	105,336
State Operations	48,251	48,824	48,625	49,737	48,625
Personal Service	32,267	31,532	31,482	32,570	31,482
Non-Personal Service/Indirect Costs	15,984	17,292	17,143	17,167	17,143
General State Charges	12,213	14,919	15,334	16,554	16,815
Capital Projects	1,233	2,767	2,000	0	0
Tax Appeals, Division of	2,688	3,040	3,040	3,150	3,040
State Operations	2,688	3,040	3,040	3,150	3,040
Personal Service	2,541	2,870	2,870	2,980	2,870
Non-Personal Service/Indirect Costs	147	170	170	170	170
Taxation and Finance, Department of	346,372	363,476	362,020	372,947	361,420
Local Assistance	1,542	2,726	2,726	2,726	2,726
State Operations	322,195	333,486	332,231	342,038	331,622
Personal Service	275,658	264,119	264,323	273,392	264,338
Non-Personal Service/Indirect Costs	46,537	69,367	67,908	68,646	67,284
General State Charges	22,635	27,264	27,063	28,183	27,072
Technology, Office for	641,934	663,820	559,706	576,068	591,624
State Operations	539,103	544,955	540,955	551,368	557,924
Personal Service	289,994	286,515	292,246	308,713	304,055
Non-Personal Service/Indirect Costs	249,109	258,440	248,709	242,655	253,869

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2018 Results	FY 2019 Enacted	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
Capital Projects	102,831	118,865	18,751	24,700	33,700
Veterans' Affairs, Division of	14,694	17,636	15,496	15,789	15,753
Local Assistance	7,906	9,792	7,637	7,637	7,637
State Operations	6,493	7,604	7,604	7,883	7,847
Personal Service	6,016	6,742	6,742	7,004	6,950
Non-Personal Service/Indirect Costs	477	862	862	879	897
General State Charges	295	240	255	269	269
Welfare Inspector General, Office of	671	686	701	731	753
State Operations	671	686	701	731	753
Personal Service	619	621	626	654	646
Non-Personal Service/Indirect Costs	52	65	75	77	107
Workers' Compensation Board	198,190	216,543	228,595	226,682	204,271
State Operations	140,971	143,323	145,193	150,513	146,408
Personal Service	85,117	84,993	82,104	86,209	82,104
Non-Personal Service/Indirect Costs	55,854	58,330	63,089	64,304	64,304
General State Charges	52,395	53,220	53,402	57,863	57,863
Capital Projects	4,824	20,000	30,000	18,306	0
Functional Total	1,891,014	2,126,954	2,016,563	2,033,108	1,951,497
ELECTED OFFICIALS					
Audit and Control, Department of	180,421	193,535	182,653	187,628	181,946
Local Assistance	32,025	32,024	32,024	32,024	32,024
State Operations	146,719	154,352	146,844	153,187	147,505
Personal Service	119,019	123,015	113,687	119,369	113,687
Non-Personal Service/Indirect Costs	27,700	31,337	33,157	33,818	33,818
General State Charges	1,677	2,135	2,385	2,417	2,417
Capital Projects	0	5,024	1,400	0	0
Executive Chamber	13,560	13,578	13,578	14,032	13,578
State Operations	13,560	13,578	13,578	14,032	13,578
Personal Service	9,979	11,113	11,113	11,567	11,113
Non-Personal Service/Indirect Costs	3,581	2,465	2,465	2,465	2,465
Judiciary	2,965,828	3,048,029	3,044,528	3,101,529	3,044,529
Local Assistance	114,224	112,200	127,199	127,200	127,200
State Operations	2,087,034	2,128,700	2,131,200	2,188,200	2,131,200
Personal Service	1,626,662	1,655,700	1,658,200	1,715,200	1,658,200
Non-Personal Service/Indirect Costs	460,372	473,000	473,000	473,000	473,000
General State Charges	750,627	788,629	786,129	786,129	786,129
Capital Projects	13,943	18,500	0	0	0
Law, Department of	243,901	247,017	239,634	249,021	242,965
State Operations	210,621	210,315	205,455	213,788	207,732
Personal Service	152,616	146,569	140,779	147,851	141,795
Non-Personal Service/Indirect Costs	58,005	63,746	64,676	65,937	65,937
General State Charges	28,245	32,031	33,211	35,233	35,233
Capital Projects	5,035	4,671	968	0	0
Legislature	223,372	229,858	234,436	239,106	243,869
State Operations	223,372	229,858	234,436	239,106	243,869
Personal Service	174,350	175,541	179,052	182,633	186,286
Non-Personal Service/Indirect Costs	49,022	54,317	55,384	56,473	57,583
Lieutenant Governor, Office of the	492	614	614	634	614
State Operations	492	614	614	634	614
Personal Service	382	523	523	543	523
Non-Personal Service/Indirect Costs	110	91	91	91	91
Functional Total	3,627,574	3,732,631	3,715,443	3,791,950	3,727,501
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	721,920	724,159	763,347	763,347	763,347

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2018 Results	FY 2019 Enacted	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
Local Assistance	721,789	724,159	763,347	763,347	763,347
State Operations	131	0	0	0	0
Non-Personal Service/Indirect Costs	131	0	0	0	0
County-Wide Shared Services Initiative	0	0	100,000	125,000	0
Local Assistance	0	0	100,000	125,000	0
Efficiency Incentive Grants Program	348	0	0	0	0
Local Assistance	348	0	0	0	0
Miscellaneous Financial Assistance	9,270	12,001	2,250	2,250	2,250
Local Assistance	9,270	12,001	2,250	2,250	2,250
Municipalities with VLT Facilities	28,885	28,885	28,885	28,885	28,885
Local Assistance	28,885	28,885	28,885	28,885	28,885
Small Government Assistance	217	218	218	218	218
Local Assistance	217	218	218	218	218
Functional Total	760,640	765,263	894,700	919,700	794,700
ALL OTHER CATEGORIES					
Arts and Cultural Facilities Improvement	0	15,000	5,000	0	0
Local Assistance	0	9,800	0	0	0
Capital Projects	0	5,200	5,000	0	0
General State Charges	4,842,815	6,789,244	7,336,753	7,857,175	8,380,175
General State Charges	4,842,815	6,789,244	7,336,753	7,857,175	8,380,175
Long-Term Debt Service	5,914,725	5,429,429	7,012,992	7,233,171	7,137,288
State Operations	41,887	47,182	47,182	47,182	47,182
Non-Personal Service/Indirect Costs	41,887	47,182	47,182	47,182	47,182
Debt Service	5,872,838	5,382,247	6,965,810	7,185,989	7,090,106
Miscellaneous	(351,852)	(984,952)	(728,565)	(634,638)	93,459
Local Assistance	(429,493)	(596,608)	(439,338)	(399,746)	(392,474)
State Operations	47,603	173,006	288,022	403,105	403,079
Personal Service	2,194	128,265	243,270	358,351	358,325
Non-Personal Service/Indirect Costs	45,409	44,741	44,752	44,754	44,754
General State Charges	8,445	5,743	5,743	5,761	5,761
Capital Projects	21,593	(567,093)	(582,992)	(643,758)	77,093
Special Infrastructure Account	783,139	1,146,111	983,321	722,757	238,749
Local Assistance	422,467	10,000	5,000	10,000	0
Capital Projects	360,672	1,136,111	978,321	712,757	238,749
Functional Total	11,188,827	12,394,832	14,609,501	15,178,465	15,849,671
TOTAL ALL GOVERNMENTAL FUNDS SPENDING	163,743,597	170,282,525	175,052,993	179,085,654	182,965,149

CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)

	FY 2018 Results	FY 2019 Enacted	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	95,102	157,916	100,905	85,806	100,633
Alcoholic Beverage Control, Division of	11,819	12,483	11,244	11,560	11,244
Economic Development Capital	2,751	22,587	20,270	19,569	29,069
Economic Development, Department of	88,621	96,160	79,263	84,512	84,113
Empire State Development Corporation	1,207,655	1,939,210	1,837,697	1,890,277	1,660,401
Energy Research and Development Authority	17,109	22,877	17,595	15,720	14,001
Financial Services, Department of	357,811	375,435	379,971	398,875	392,512
Olympic Regional Development Authority	40,736	58,933	25,886	15,886	15,886
Power Authority, New York	243	13,750	29,000	32,700	2,000
Public Service Department	88,976	80,639	81,610	83,839	83,886
Regional Economic Development Program	2,030	338	320	320	320
Strategic Investment Program	1,625	7,002	6,317	10,494	6,317
Functional Total	<u>1,914,478</u>	<u>2,787,330</u>	<u>2,590,078</u>	<u>2,649,558</u>	<u>2,400,382</u>
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	4,468	4,819	4,764	4,922	4,764
Environmental Conservation, Department of	974,519	1,273,039	1,282,587	1,317,818	1,332,674
Hudson River Park Trust	0	0	5,000	10,000	10,000
Parks, Recreation and Historic Preservation, Office of	366,435	363,673	363,461	355,283	350,862
Functional Total	<u>1,345,422</u>	<u>1,641,531</u>	<u>1,655,812</u>	<u>1,688,023</u>	<u>1,698,300</u>
TRANSPORTATION					
Metropolitan Transportation Authority	65,000	800,000	675,000	194,856	0
Motor Vehicles, Department of	312,091	339,279	343,170	357,707	339,654
Transportation, Department of	9,667,708	9,359,109	8,691,870	8,676,041	8,865,369
Functional Total	<u>10,044,799</u>	<u>10,498,388</u>	<u>9,710,040</u>	<u>9,228,604</u>	<u>9,205,023</u>
HEALTH					
Aging, Office for the	234,525	235,750	234,293	241,408	246,677
Health, Department of	66,162,539	68,108,243	70,449,496	72,347,744	74,322,685
<i>Medical Assistance</i>	55,744,454	57,251,393	59,498,601	61,611,758	63,661,131
<i>Essential Plan</i>	3,962,563	4,075,133	4,095,493	4,105,047	4,110,976
<i>Medicaid Administration</i>	1,356,021	1,846,736	1,726,673	1,699,147	1,674,684
<i>Public Health</i>	5,099,501	4,934,981	5,128,729	4,931,792	4,875,894
Medicaid Inspector General, Office of the	46,446	48,043	48,406	50,445	49,224
Functional Total	<u>66,443,510</u>	<u>68,392,036</u>	<u>70,732,195</u>	<u>72,639,597</u>	<u>74,618,586</u>
SOCIAL WELFARE					
Children and Family Services, Office of	3,139,782	2,995,618	3,037,467	3,131,074	3,170,196
<i>OCFS</i>	3,062,420	2,910,876	2,948,669	3,039,048	3,074,010
<i>OCFS - Other</i>	77,362	84,742	88,798	92,026	96,186
Housing and Community Renewal, Division of	420,810	892,532	659,600	663,252	623,535
Human Rights, Division of	14,612	14,343	14,343	15,257	14,990
Labor, Department of	562,650	564,441	550,739	568,320	597,462
National and Community Service	15,097	16,564	16,571	16,904	17,223
Nonprofit Infrastructure Capital Investment Program	6,951	47,500	44,500	16,049	5,000
Roosevelt Island Operating Corporation	0	25,028	0	0	0
Temporary and Disability Assistance, Office of	4,614,149	5,110,578	5,193,844	5,201,137	5,218,921
<i>Welfare Assistance</i>	3,297,939	3,804,397	3,867,942	3,867,942	3,867,942
<i>All Other</i>	1,316,210	1,306,181	1,325,902	1,333,195	1,350,979
Functional Total	<u>8,774,051</u>	<u>9,666,604</u>	<u>9,517,064</u>	<u>9,611,993</u>	<u>9,647,327</u>
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	596,829	629,904	668,684	689,713	676,854
<i>OASAS</i>	503,832	565,490	603,129	622,707	609,076
<i>OASAS - Other</i>	92,997	64,414	65,555	67,006	67,778
Developmental Disabilities Planning Council	4,378	4,200	4,200	4,200	4,200
Justice Center	41,365	44,307	45,966	47,876	47,349
Mental Health, Office of	3,501,554	2,936,826	3,076,730	3,174,167	3,298,270
<i>OMH</i>	1,778,348	1,666,520	1,786,534	1,843,341	1,942,129
<i>OMH - Other</i>	1,723,206	1,270,306	1,290,196	1,330,826	1,356,141

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2018 Results	FY 2019 Enacted	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
Mental Hygiene, Department of	204	0	0	0	0
People with Developmental Disabilities, Office for	2,935,355	1,941,615	2,141,408	2,415,820	2,622,953
<i>OPWDD</i>	479,874	478,271	488,157	494,329	508,374
<i>OPWDD - Other</i>	2,455,481	1,463,344	1,653,251	1,921,491	2,114,579
Functional Total	7,079,685	5,556,852	5,936,988	6,331,776	6,649,626
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	2,594	2,651	2,651	2,773	2,735
Correctional Services, Department of	3,017,424	3,007,745	2,983,649	2,963,607	2,955,466
Corrections and Community Supervision Medicaid, Department of	0	2,000	2,000	2,000	0
Criminal Justice Services, Division of	221,521	239,697	213,214	214,268	214,090
Homeland Security and Emergency Services, Division of	1,524,755	1,133,085	1,043,570	1,027,113	1,040,065
Indigent Legal Services, Office of	75,499	166,393	190,581	214,855	214,975
Judicial Conduct, Commission on	5,552	5,696	5,708	5,936	6,114
Judicial Nomination, Commission on	10	30	30	30	30
Judicial Screening Committees, New York State	14	38	38	38	38
Military and Naval Affairs, Division of	132,339	120,982	124,676	115,685	109,816
State Police, Division of	853,462	835,945	816,702	844,988	865,049
Statewide Financial System	30,137	30,137	30,137	30,580	30,748
Victim Services, Office of	60,952	85,515	85,515	85,683	86,470
Functional Total	5,924,259	5,629,914	5,498,471	5,507,556	5,525,596
HIGHER EDUCATION					
City University of New York	1,604,707	1,621,372	1,649,667	1,683,134	1,715,203
Higher Education - Miscellaneous	337	441	441	441	441
Higher Education Facilities Capital Matching Grants Program	4,425	12,500	11,875	14,250	6,650
Higher Education Services Corporation, New York State	934,399	1,151,715	1,220,748	1,239,021	1,246,127
State University of New York	8,281,822	8,416,984	8,458,168	8,787,120	8,817,420
Functional Total	10,825,690	11,203,012	11,340,899	11,723,966	11,785,841
EDUCATION					
Arts, Council on the	39,750	46,113	45,953	46,049	45,953
Education, Department of	33,883,898	35,841,065	36,789,286	37,735,309	39,065,146
<i>School Aid</i>	27,848,709	29,721,380	30,742,930	31,636,007	32,870,358
<i>School Aid – Other</i>	61,169	50,000	50,000	50,000	50,000
<i>STAR Property Tax Relief</i>	2,589,145	2,459,259	2,417,238	2,401,995	2,401,995
<i>Special Education Categorical Programs</i>	2,001,400	2,042,380	2,091,537	2,159,151	2,225,151
<i>All Other</i>	1,383,475	1,568,046	1,487,581	1,488,156	1,517,642
Functional Total	33,923,648	35,887,178	36,835,239	37,781,358	39,111,099
GENERAL GOVERNMENT					
Budget, Division of the	27,312	30,423	29,596	30,603	29,596
Civil Service, Department of	14,975	13,560	13,680	14,189	13,815
Deferred Compensation Board	675	846	853	854	853
Elections, State Board of	11,951	8,587	8,697	9,033	9,304
Employee Relations, Office of	3,569	2,601	2,621	2,719	2,621
Gaming Commission, New York State	176,850	238,873	212,703	215,120	212,703
General Services, Office of	280,851	366,579	329,454	331,533	282,898
Inspector General, Office of the	7,166	7,427	7,487	7,826	8,061
Labor Management Committees	24,773	31,300	31,306	31,517	31,306
Prevention of Domestic Violence, Office for	2,372	2,949	3,049	3,121	3,059
Public Employment Relations Board	3,260	3,604	3,634	3,764	3,634
Public Integrity, Commission on	5,115	5,576	5,630	5,835	6,010
State, Department of	127,596	149,428	198,295	181,627	170,776
Tax Appeals, Division of	2,688	3,040	3,040	3,150	3,040
Taxation and Finance, Department of	346,372	363,476	362,020	372,947	361,420
Technology, Office for	641,934	663,820	559,706	576,068	591,624
Veterans' Affairs, Division of	14,694	17,636	15,496	15,789	15,753
Welfare Inspector General, Office of	671	686	701	731	753
Workers' Compensation Board	198,190	216,543	228,595	226,682	204,271
Functional Total	1,891,014	2,126,954	2,016,563	2,033,108	1,951,497
ELECTED OFFICIALS					
Audit and Control, Department of	180,421	193,535	182,653	187,628	181,946
Executive Chamber	13,560	13,578	13,578	14,032	13,578
Judiciary	2,965,828	3,048,029	3,044,528	3,101,529	3,044,529
Law, Department of	243,901	247,017	239,634	249,021	242,965

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	<u>FY 2018 Results</u>	<u>FY 2019 Enacted</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>
Legislature	223,372	229,858	234,436	239,106	243,869
Lieutenant Governor, Office of the	492	614	614	634	614
Functional Total	<u>3,627,574</u>	<u>3,732,631</u>	<u>3,715,443</u>	<u>3,791,950</u>	<u>3,727,501</u>
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	721,920	724,159	763,347	763,347	763,347
County-Wide Shared Services Initiative	0	0	100,000	125,000	0
Efficiency Incentive Grants Program	348	0	0	0	0
Miscellaneous Financial Assistance	9,270	12,001	2,250	2,250	2,250
Municipalities with VLT Facilities	28,885	28,885	28,885	28,885	28,885
Small Government Assistance	217	218	218	218	218
Functional Total	<u>760,640</u>	<u>765,263</u>	<u>894,700</u>	<u>919,700</u>	<u>794,700</u>
ALL OTHER CATEGORIES					
Arts and Cultural Facilities Improvement	0	15,000	5,000	0	0
General State Charges	4,842,815	6,789,244	7,336,753	7,857,175	8,380,175
Long-Term Debt Service	5,914,725	5,429,429	7,012,992	7,233,171	7,137,288
Miscellaneous	(351,852)	(984,952)	(728,565)	(634,638)	93,459
Special Infrastructure Account	783,139	1,146,111	983,321	722,757	238,749
Functional Total	<u>11,188,827</u>	<u>12,394,832</u>	<u>14,609,501</u>	<u>15,178,465</u>	<u>15,849,671</u>
TOTAL ALL GOVERNMENTAL FUNDS SPENDING	<u><u>163,743,597</u></u>	<u><u>170,282,525</u></u>	<u><u>175,052,993</u></u>	<u><u>179,085,654</u></u>	<u><u>182,965,149</u></u>

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
LOCAL ASSISTANCE
(thousands of dollars)**

	FY 2018 Results	FY 2019 Enacted	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	32,318	38,271	28,757	24,757	24,907
Economic Development Capital	2,751	0	(1,330)	(1,293)	(1,793)
Economic Development, Department of	68,718	68,154	61,699	61,423	66,549
Empire State Development Corporation	1,136,529	1,351,958	1,144,790	1,145,709	1,336,830
Financial Services, Department of	57,254	64,954	61,886	61,855	61,855
Power Authority, New York	83	0	0	0	0
Public Service Department	102	136	136	136	136
Regional Economic Development Program	2,030	0	(17)	(17)	(17)
Strategic Investment Program	1,625	0	(333)	(552)	(333)
Functional Total	1,301,410	1,523,473	1,295,588	1,292,018	1,488,134
PARKS AND THE ENVIRONMENT					
Environmental Conservation, Department of	345,907	190,795	189,019	189,019	189,019
Parks, Recreation and Historic Preservation, Office of	9,463	7,126	6,820	6,820	6,820
Functional Total	355,370	197,921	195,839	195,839	195,839
TRANSPORTATION					
Metropolitan Transportation Authority	65,000	800,000	675,000	194,856	0
Motor Vehicles, Department of	14,833	18,375	18,000	18,000	18,000
Transportation, Department of	6,168,328	5,134,421	4,768,243	4,789,234	4,918,771
Functional Total	6,248,161	5,952,796	5,461,243	5,002,090	4,936,771
HEALTH					
Aging, Office for the	221,725	224,718	222,056	228,986	234,298
Health, Department of	64,582,507	66,289,687	68,585,330	70,556,847	72,567,955
<i>Medical Assistance</i>	55,744,454	57,251,393	59,498,601	61,611,758	63,661,131
<i>Essential Plan</i>	3,874,925	3,973,463	3,997,797	4,012,207	4,023,489
<i>Medicaid Administration</i>	716,713	963,344	791,947	791,947	791,947
<i>Public Health</i>	4,246,415	4,101,487	4,296,985	4,140,935	4,091,388
Functional Total	64,804,232	66,514,405	68,807,386	70,785,833	72,802,253
SOCIAL WELFARE					
Children and Family Services, Office of	2,764,348	2,529,371	2,530,315	2,553,329	2,587,996
<i>OCFS</i>	2,686,986	2,444,629	2,441,517	2,461,303	2,491,810
<i>OCFS - Other</i>	77,362	84,742	88,798	92,026	96,186
Housing and Community Renewal, Division of	340,738	822,907	589,975	589,399	546,074
Labor, Department of	161,244	166,554	152,042	152,042	152,042
National and Community Service	477	350	350	350	350
Nonprofit Infrastructure Capital Investment Program	6,951	0	0	0	0
Roosevelt Island Operating Corporation	0	25,028	0	0	0
Temporary and Disability Assistance, Office of	4,277,380	4,784,310	4,861,245	4,864,045	4,867,845
<i>Welfare Assistance</i>	3,297,939	3,804,397	3,867,942	3,867,942	3,867,942
<i>All Other</i>	979,441	979,913	993,303	996,103	999,903
Functional Total	7,551,138	8,328,520	8,133,927	8,159,165	8,154,307
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	469,583	535,924	573,804	592,266	578,800
<i>OASAS</i>	448,258	514,599	552,479	570,941	557,475
<i>OASAS - Other</i>	21,325	21,325	21,325	21,325	21,325
Justice Center	619	649	649	649	649
Mental Health, Office of	1,281,980	1,439,562	1,564,344	1,629,171	1,706,181
<i>OMH</i>	1,016,591	1,140,237	1,256,426	1,312,255	1,380,050
<i>OMH - Other</i>	265,389	299,325	307,918	316,916	326,131
People with Developmental Disabilities, Office for	847,982	578,287	741,172	984,452	1,175,956
<i>OPWDD</i>	409,319	392,150	401,107	413,249	426,337
<i>OPWDD - Other</i>	438,663	186,137	340,065	571,203	749,619
Functional Total	2,600,164	2,554,422	2,879,969	3,206,538	3,461,586
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correctional Services, Department of	5,686	4,836	4,836	4,836	4,836
Corrections and Community Supervision Medicaid, Department of	0	2,000	2,000	2,000	0
Criminal Justice Services, Division of	170,749	193,650	167,167	167,167	167,167

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
LOCAL ASSISTANCE
(thousands of dollars)**

	FY 2018 Results	FY 2019 Enacted	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
Homeland Security and Emergency Services, Division of	1,430,243	1,019,569	938,544	940,313	950,879
Indigent Legal Services, Office of	72,102	160,672	184,765	208,859	208,859
Military and Naval Affairs, Division of	819	820	820	820	886
State Police, Division of	62	0	0	0	0
Victim Services, Office of	52,669	77,744	77,744	77,744	78,492
Functional Total	1,732,330	1,459,291	1,375,876	1,401,739	1,411,119
HIGHER EDUCATION					
City University of New York	1,465,153	1,493,737	1,524,573	1,555,791	1,588,481
Higher Education Facilities Capital Matching Grants Program	4,425	12,500	11,875	14,250	6,650
Higher Education Services Corporation, New York State	879,384	1,089,071	1,158,104	1,176,377	1,183,483
State University of New York	489,533	482,289	484,643	484,643	484,643
Functional Total	2,838,495	3,077,597	3,179,195	3,231,061	3,263,257
EDUCATION					
Arts, Council on the	35,490	41,693	41,533	41,533	41,533
Education, Department of	33,486,145	35,406,852	36,362,765	37,300,366	38,622,783
<i>School Aid</i>	27,848,709	29,721,380	30,742,930	31,636,007	32,870,358
<i>School Aid – Other</i>	61,169	50,000	50,000	50,000	50,000
<i>STAR Property Tax Relief</i>	2,589,145	2,459,259	2,417,238	2,401,995	2,401,995
<i>Special Education Categorical Programs</i>	2,001,400	2,042,380	2,091,537	2,159,151	2,225,151
<i>All Other</i>	985,722	1,133,833	1,061,060	1,053,213	1,075,279
Functional Total	33,521,635	35,448,545	36,404,298	37,341,899	38,664,316
GENERAL GOVERNMENT					
Elections, State Board of	326	0	0	0	0
Gaming Commission, New York State	65,779	140,570	114,400	114,400	114,400
General Services, Office of	0	250	250	250	250
Prevention of Domestic Violence, Office for	791	1,285	1,385	1,385	1,412
State, Department of	65,899	82,918	132,336	115,336	105,336
Taxation and Finance, Department of	1,542	2,726	2,726	2,726	2,726
Veterans' Affairs, Division of	7,906	9,792	7,637	7,637	7,637
Functional Total	142,243	237,541	258,734	241,734	231,761
ELECTED OFFICIALS					
Audit and Control, Department of	32,025	32,024	32,024	32,024	32,024
Judiciary	114,224	112,200	127,199	127,200	127,200
Functional Total	146,249	144,224	159,223	159,224	159,224
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	721,789	724,159	763,347	763,347	763,347
County-Wide Shared Services Initiative	0	0	100,000	125,000	0
Efficiency Incentive Grants Program	348	0	0	0	0
Miscellaneous Financial Assistance	9,270	12,001	2,250	2,250	2,250
Municipalities with VLT Facilities	28,885	28,885	28,885	28,885	28,885
Small Government Assistance	217	218	218	218	218
Functional Total	760,509	765,263	894,700	919,700	794,700
ALL OTHER CATEGORIES					
Arts and Cultural Facilities Improvement	0	9,800	0	0	0
Miscellaneous	(429,493)	(596,608)	(439,338)	(399,746)	(392,474)
Special Infrastructure Account	422,467	10,000	5,000	10,000	0
Functional Total	(7,026)	(576,808)	(434,338)	(389,746)	(392,474)
TOTAL LOCAL ASSISTANCE SPENDING	121,994,910	125,627,190	128,611,640	131,547,094	135,170,793

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
STATE OPERATIONS
(thousands of dollars)**

	FY 2018 Results	FY 2019 Enacted	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	51,225	50,923	51,122	51,233	65,714
Alcoholic Beverage Control, Division of	11,819	12,483	11,244	11,560	11,244
Economic Development, Department of	19,891	17,536	17,536	17,536	17,536
Financial Services, Department of	209,633	210,309	213,943	220,225	214,088
Olympic Regional Development Authority	2,736	8,933	5,886	5,886	5,886
Public Service Department	54,691	52,019	52,069	52,682	51,069
Functional Total	349,995	352,203	351,800	359,122	365,537
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	4,468	4,819	4,764	4,922	4,764
Environmental Conservation, Department of	252,567	252,843	250,234	262,827	256,243
Parks, Recreation and Historic Preservation, Office of	173,759	175,492	173,749	180,218	175,313
Functional Total	430,794	433,154	428,747	447,967	436,320
TRANSPORTATION					
Motor Vehicles, Department of	53,425	68,374	68,608	69,794	69,475
Transportation, Department of	26,750	305,264	355,637	360,953	365,305
Functional Total	80,175	373,638	424,245	430,747	434,780
HEALTH					
Aging, Office for the	12,800	11,032	12,237	12,422	12,379
Health, Department of	1,457,078	1,627,701	1,670,521	1,644,388	1,606,706
<i>Essential Plan</i>	87,638	101,670	97,696	92,840	87,487
<i>Medicaid Administration</i>	636,474	877,177	928,084	900,151	875,381
<i>Public Health</i>	732,966	648,854	644,741	651,397	643,838
Medicaid Inspector General, Office of the	37,092	38,175	38,175	39,438	38,217
Functional Total	1,506,970	1,676,908	1,720,933	1,696,248	1,657,302
SOCIAL WELFARE					
Children and Family Services, Office of	321,311	385,075	471,255	540,631	545,230
<i>OCFS</i>	321,311	385,075	471,255	540,631	545,230
Housing and Community Renewal, Division of	60,527	59,550	59,550	63,778	62,661
Human Rights, Division of	14,612	14,343	14,343	15,257	14,990
Labor, Department of	280,007	282,017	282,017	299,598	305,198
National and Community Service	14,620	15,985	15,985	16,312	16,631
Temporary and Disability Assistance, Office of	283,573	280,970	287,301	291,794	297,510
<i>All Other</i>	283,573	280,970	287,301	291,794	297,510
Functional Total	974,650	1,037,940	1,130,451	1,227,370	1,242,220
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	88,483	87,920	90,004	92,452	92,918
<i>OASAS</i>	38,975	44,831	45,773	46,771	46,465
<i>OASAS - Other</i>	49,508	43,089	44,231	45,681	46,453
Developmental Disabilities Planning Council	3,508	3,415	3,415	3,415	3,415
Justice Center	40,037	42,720	44,335	46,187	45,592
Mental Health, Office of	1,352,907	1,316,801	1,332,421	1,364,053	1,384,141
<i>OMH</i>	352,420	345,820	350,143	350,143	354,131
<i>OMH - Other</i>	1,000,487	970,981	982,278	1,013,910	1,030,010
Mental Hygiene, Department of	204	0	0	0	0
People with Developmental Disabilities, Office for	1,333,563	1,278,388	1,314,367	1,351,469	1,366,141
<i>OPWDD</i>	546	1,181	1,181	1,181	1,181
<i>OPWDD - Other</i>	1,333,017	1,277,207	1,313,186	1,350,288	1,364,960
Functional Total	2,818,702	2,729,244	2,784,542	2,857,576	2,892,207
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	2,594	2,651	2,651	2,773	2,735
Correctional Services, Department of	2,654,783	2,623,125	2,635,498	2,641,128	2,632,498
Criminal Justice Services, Division of	50,120	45,664	45,664	46,718	46,540
Homeland Security and Emergency Services, Division of	58,572	69,983	71,645	72,901	75,328
Indigent Legal Services, Office of	2,328	3,815	3,813	3,879	3,877
Judicial Conduct, Commission on	5,552	5,696	5,708	5,936	6,114

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
STATE OPERATIONS
(thousands of dollars)**

	FY 2018 Results	FY 2019 Enacted	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
Judicial Nomination, Commission on	10	30	30	30	30
Judicial Screening Committees, New York State	14	38	38	38	38
Military and Naval Affairs, Division of	62,438	58,216	58,661	59,267	59,931
State Police, Division of	764,680	755,320	750,058	775,927	791,173
Statewide Financial System	30,137	30,137	30,137	30,580	30,748
Victim Services, Office of	6,645	6,121	6,121	6,289	6,295
Functional Total	3,637,873	3,600,796	3,610,024	3,645,466	3,655,307
HIGHER EDUCATION					
City University of New York	102,801	83,843	80,582	82,099	81,478
Higher Education - Miscellaneous	256	291	291	291	291
Higher Education Services Corporation, New York State	46,884	53,685	53,685	53,685	53,685
State University of New York	6,421,348	6,538,594	6,575,934	6,876,170	6,902,786
Functional Total	6,571,289	6,676,413	6,710,492	7,012,245	7,038,240
EDUCATION					
Arts, Council on the	4,260	4,420	4,420	4,516	4,420
Education, Department of	305,724	294,315	291,935	298,934	295,668
<i>All Other</i>	305,724	294,315	291,935	298,934	295,668
Functional Total	309,984	298,735	296,355	303,450	300,088
GENERAL GOVERNMENT					
Budget, Division of the	26,600	28,766	27,939	28,886	27,939
Civil Service, Department of	14,795	13,331	13,441	13,926	13,545
Deferred Compensation Board	451	641	648	649	648
Elections, State Board of	11,625	8,587	8,697	9,033	9,304
Employee Relations, Office of	3,569	2,601	2,621	2,719	2,621
Gaming Commission, New York State	94,531	80,728	80,728	82,255	80,728
General Services, Office of	151,633	145,053	140,975	139,777	138,387
Inspector General, Office of the	7,166	7,427	7,487	7,826	8,061
Labor Management Committees	24,773	31,300	31,306	31,517	31,306
Prevention of Domestic Violence, Office for	1,581	1,664	1,664	1,736	1,647
Public Employment Relations Board	3,260	3,604	3,634	3,764	3,634
Public Integrity, Commission on	5,115	5,576	5,630	5,835	6,010
State, Department of	48,251	48,824	48,625	49,737	48,625
Tax Appeals, Division of	2,688	3,040	3,040	3,150	3,040
Taxation and Finance, Department of	322,195	333,486	332,231	342,038	331,622
Technology, Office for	539,103	544,955	540,955	551,368	557,924
Veterans' Affairs, Division of	6,493	7,604	7,604	7,883	7,847
Welfare Inspector General, Office of	671	686	701	731	753
Workers' Compensation Board	140,971	143,323	145,193	150,513	146,408
Functional Total	1,405,471	1,411,196	1,403,119	1,433,343	1,420,049
ELECTED OFFICIALS					
Audit and Control, Department of	146,719	154,352	146,844	153,187	147,505
Executive Chamber	13,560	13,578	13,578	14,032	13,578
Judiciary	2,087,034	2,128,700	2,131,200	2,188,200	2,131,200
Law, Department of	210,621	210,315	205,455	213,788	207,732
Legislature	223,372	229,858	234,436	239,106	243,869
Lieutenant Governor, Office of the	492	614	614	634	614
Functional Total	2,681,798	2,737,417	2,732,127	2,808,947	2,744,498
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	131	0	0	0	0
Functional Total	131	0	0	0	0
ALL OTHER CATEGORIES					
Long-Term Debt Service	41,887	47,182	47,182	47,182	47,182
Miscellaneous	47,603	173,006	288,022	403,105	403,079
Functional Total	89,490	220,188	335,204	450,287	450,261
TOTAL STATE OPERATIONS SPENDING	20,857,322	21,547,832	21,928,039	22,672,768	22,636,809

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	FY 2018 Results	FY 2019 Enacted	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	34,271	32,836	32,841	33,956	32,841
Alcoholic Beverage Control, Division of	8,131	8,147	8,585	8,901	8,585
Economic Development, Department of	13,243	12,929	12,929	12,929	12,929
Financial Services, Department of	154,146	156,697	157,475	163,459	157,616
Olympic Regional Development Authority	2,548	5,595	2,548	2,548	2,548
Public Service Department	44,476	43,302	43,302	44,913	43,302
Functional Total	256,815	259,506	257,680	266,706	257,821
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	4,179	4,108	4,108	4,266	4,108
Environmental Conservation, Department of	200,579	198,228	196,129	205,507	198,942
Parks, Recreation and Historic Preservation, Office of	132,575	134,952	133,494	139,959	135,054
Functional Total	337,333	337,288	333,731	349,732	338,104
TRANSPORTATION					
Motor Vehicles, Department of	37,707	48,753	48,923	50,011	50,301
Transportation, Department of	11,964	172,376	172,371	172,901	172,383
Functional Total	49,671	221,129	221,294	222,912	222,684
HEALTH					
Aging, Office for the	7,079	7,646	7,782	7,967	7,924
Health, Department of	353,534	387,122	394,358	406,019	397,411
<i>Essential Plan</i>	3,148	4,015	3,835	3,700	3,714
<i>Medicaid Administration</i>	69,223	101,258	108,658	114,749	111,860
<i>Public Health</i>	281,163	281,849	281,865	287,570	281,837
Medicaid Inspector General, Office of the	31,681	30,066	30,066	31,287	30,066
Functional Total	392,294	424,834	432,206	445,273	435,401
SOCIAL WELFARE					
Children and Family Services, Office of	197,353	220,842	289,870	344,830	345,548
<i>OCFS</i>	197,353	220,842	289,870	344,830	345,548
Housing and Community Renewal, Division of	47,478	47,998	47,998	51,350	49,937
Human Rights, Division of	12,315	12,596	12,596	13,475	13,173
Labor, Department of	206,559	192,484	192,484	206,660	208,852
National and Community Service	551	708	708	730	738
Temporary and Disability Assistance, Office of	153,573	146,199	151,358	154,727	157,833
<i>All Other</i>	153,573	146,199	151,358	154,727	157,833
Functional Total	617,829	620,827	695,014	771,772	776,081
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	61,535	62,496	63,876	65,617	65,300
<i>OASAS</i>	25,461	29,541	30,155	30,878	30,223
<i>OASAS - Other</i>	36,074	32,955	33,721	34,739	35,077
Developmental Disabilities Planning Council	1,007	1,266	1,266	1,266	1,266
Justice Center	31,552	32,997	34,351	35,928	35,037
Mental Health, Office of	1,072,437	1,063,788	1,063,338	1,086,735	1,098,355
<i>OMH</i>	289,317	302,901	305,937	305,937	308,987
<i>OMH - Other</i>	783,120	760,887	757,401	780,798	789,368
People with Developmental Disabilities, Office for	1,129,874	1,108,019	1,139,578	1,171,726	1,181,297
<i>OPWDD</i>	124	0	0	0	0
<i>OPWDD - Other</i>	1,129,750	1,108,019	1,139,578	1,171,726	1,181,297
Functional Total	2,296,405	2,268,566	2,302,409	2,361,272	2,381,255
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	2,316	2,414	2,414	2,531	2,488
Correctional Services, Department of	2,124,813	2,058,585	2,072,089	2,077,719	2,072,089
Criminal Justice Services, Division of	32,935	30,184	30,184	31,238	30,794
Homeland Security and Emergency Services, Division of	27,650	38,013	39,960	41,206	42,565
Indigent Legal Services, Office of	1,873	2,982	2,982	3,048	3,034
Judicial Conduct, Commission on	4,238	4,312	4,347	4,548	4,483
Military and Naval Affairs, Division of	36,483	35,354	35,354	35,650	35,724
State Police, Division of	687,413	680,333	676,838	702,638	715,413

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	<u>FY 2018 Results</u>	<u>FY 2019 Enacted</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>
Statewide Financial System	11,094	11,513	11,513	11,956	11,752
Victim Services, Office of	5,044	4,834	4,834	5,002	4,985
Functional Total	<u>2,933,859</u>	<u>2,868,524</u>	<u>2,880,515</u>	<u>2,915,536</u>	<u>2,923,327</u>
HIGHER EDUCATION					
City University of New York	52,623	37,780	35,090	35,735	35,114
Higher Education - Miscellaneous	169	198	198	198	198
Higher Education Services Corporation, New York State	13,380	14,150	14,150	14,150	14,150
State University of New York	3,761,249	3,890,193	4,009,211	4,279,718	4,275,206
Functional Total	<u>3,827,421</u>	<u>3,942,321</u>	<u>4,058,649</u>	<u>4,329,801</u>	<u>4,324,668</u>
EDUCATION					
Arts, Council on the	2,626	2,498	2,498	2,594	2,498
Education, Department of	176,266	172,576	172,260	178,720	175,511
<i>All Other</i>	176,266	172,576	172,260	178,720	175,511
Functional Total	<u>178,892</u>	<u>175,074</u>	<u>174,758</u>	<u>181,314</u>	<u>178,009</u>
GENERAL GOVERNMENT					
Budget, Division of the	21,683	24,567	24,567	25,511	24,567
Civil Service, Department of	14,053	12,497	12,591	13,075	12,685
Deferred Compensation Board	408	410	413	414	413
Elections, State Board of	6,176	6,018	6,063	6,346	6,253
Employee Relations, Office of	2,515	2,529	2,548	2,646	2,548
Gaming Commission, New York State	34,862	38,757	38,757	40,248	38,757
General Services, Office of	67,107	48,450	48,450	51,875	49,458
Inspector General, Office of the	6,223	6,600	6,648	6,970	6,857
Labor Management Committees	7,747	5,446	5,487	5,698	5,487
Prevention of Domestic Violence, Office for	1,435	1,469	1,491	1,524	1,431
Public Employment Relations Board	3,059	3,313	3,338	3,468	3,338
Public Integrity, Commission on	4,386	4,646	4,681	4,867	4,827
State, Department of	32,267	31,532	31,482	32,570	31,482
Tax Appeals, Division of	2,541	2,870	2,870	2,980	2,870
Taxation and Finance, Department of	275,658	264,119	264,323	273,392	264,338
Technology, Office for	289,994	286,515	292,246	308,713	304,055
Veterans' Affairs, Division of	6,016	6,742	6,742	7,004	6,950
Welfare Inspector General, Office of	619	621	626	654	646
Workers' Compensation Board	85,117	84,993	82,104	86,209	82,104
Functional Total	<u>861,866</u>	<u>832,094</u>	<u>835,427</u>	<u>874,164</u>	<u>849,066</u>
ELECTED OFFICIALS					
Audit and Control, Department of	119,019	123,015	113,687	119,369	113,687
Executive Chamber	9,979	11,113	11,113	11,567	11,113
Judiciary	1,626,662	1,655,700	1,658,200	1,715,200	1,658,200
Law, Department of	152,616	146,569	140,779	147,851	141,795
Legislature	174,350	175,541	179,052	182,633	186,286
Lieutenant Governor, Office of the	382	523	523	543	523
Functional Total	<u>2,083,008</u>	<u>2,112,461</u>	<u>2,103,354</u>	<u>2,177,163</u>	<u>2,111,604</u>
ALL OTHER CATEGORIES					
Miscellaneous	2,194	128,265	243,270	358,351	358,325
Functional Total	<u>2,194</u>	<u>128,265</u>	<u>243,270</u>	<u>358,351</u>	<u>358,325</u>
TOTAL PERSONAL SERVICE SPENDING	<u><u>13,837,587</u></u>	<u><u>14,190,889</u></u>	<u><u>14,538,307</u></u>	<u><u>15,253,996</u></u>	<u><u>15,156,345</u></u>

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2018 Results	FY 2019 Enacted	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	16,954	18,087	18,281	17,277	32,873
Alcoholic Beverage Control, Division of	3,688	4,336	2,659	2,659	2,659
Economic Development, Department of	6,648	4,607	4,607	4,607	4,607
Financial Services, Department of	55,487	53,612	56,468	56,766	56,472
Olympic Regional Development Authority	188	3,338	3,338	3,338	3,338
Public Service Department	10,215	8,717	8,767	7,769	7,767
Functional Total	93,180	92,697	94,120	92,416	107,716
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	289	711	656	656	656
Environmental Conservation, Department of	51,988	54,615	54,105	57,320	57,301
Parks, Recreation and Historic Preservation, Office of	41,184	40,540	40,255	40,259	40,259
Functional Total	93,461	95,866	95,016	98,235	98,216
TRANSPORTATION					
Motor Vehicles, Department of	15,718	19,621	19,685	19,783	19,174
Transportation, Department of	14,786	132,888	183,266	188,052	192,922
Functional Total	30,504	152,509	202,951	207,835	212,096
HEALTH					
Aging, Office for the	5,721	3,386	4,455	4,455	4,455
Health, Department of	1,103,544	1,240,579	1,276,163	1,238,369	1,209,295
<i>Essential Plan</i>	84,490	97,655	93,861	89,140	83,773
<i>Medicaid Administration</i>	567,251	775,919	819,426	785,402	763,521
<i>Public Health</i>	451,803	367,005	362,876	363,827	362,001
Medicaid Inspector General, Office of the	5,411	8,109	8,109	8,151	8,151
Functional Total	1,114,676	1,252,074	1,288,727	1,250,975	1,221,901
SOCIAL WELFARE					
Children and Family Services, Office of	123,958	164,233	181,385	195,801	199,682
<i>OCFS</i>	123,958	164,233	181,385	195,801	199,682
Housing and Community Renewal, Division of	13,049	11,552	11,552	12,428	12,724
Human Rights, Division of	2,297	1,747	1,747	1,782	1,817
Labor, Department of	73,448	89,533	89,533	92,938	96,346
National and Community Service	14,069	15,277	15,277	15,582	15,893
Temporary and Disability Assistance, Office of	130,000	134,771	135,943	137,067	139,677
<i>All Other</i>	130,000	134,771	135,943	137,067	139,677
Functional Total	356,821	417,113	435,437	455,598	466,139
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	26,948	25,424	26,128	26,835	27,618
<i>OASAS</i>	13,514	15,290	15,618	15,893	16,242
<i>OASAS - Other</i>	13,434	10,134	10,510	10,942	11,376
Developmental Disabilities Planning Council	2,501	2,149	2,149	2,149	2,149
Justice Center	8,485	9,723	9,984	10,259	10,555
Mental Health, Office of	280,470	253,013	269,083	277,318	285,786
<i>OMH</i>	63,103	42,919	44,206	44,206	45,144
<i>OMH - Other</i>	217,367	210,094	224,877	233,112	240,642
Mental Hygiene, Department of	204	0	0	0	0
People with Developmental Disabilities, Office for	203,689	170,369	174,789	179,743	184,844
<i>OPWDD</i>	422	1,181	1,181	1,181	1,181
<i>OPWDD - Other</i>	203,267	169,188	173,608	178,562	183,663
Functional Total	522,297	460,678	482,133	496,304	510,952
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	278	237	237	242	247
Correctional Services, Department of	529,970	564,540	563,409	563,409	560,409
Criminal Justice Services, Division of	17,185	15,480	15,480	15,480	15,746
Homeland Security and Emergency Services, Division of	30,922	31,970	31,685	31,695	32,763
Indigent Legal Services, Office of	455	833	831	831	843
Judicial Conduct, Commission on	1,314	1,384	1,361	1,388	1,631
Judicial Nomination, Commission on	10	30	30	30	30

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2018 Results	FY 2019 Enacted	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
Judicial Screening Committees, New York State	14	38	38	38	38
Military and Naval Affairs, Division of	25,955	22,862	23,307	23,617	24,207
State Police, Division of	77,267	74,987	73,220	73,289	75,760
Statewide Financial System	19,043	18,624	18,624	18,624	18,996
Victim Services, Office of	1,601	1,287	1,287	1,287	1,310
Functional Total	704,014	732,272	729,509	729,930	731,980
HIGHER EDUCATION					
City University of New York	50,178	46,063	45,492	46,364	46,364
Higher Education - Miscellaneous	87	93	93	93	93
Higher Education Services Corporation, New York State	33,504	39,535	39,535	39,535	39,535
State University of New York	2,660,099	2,648,401	2,566,723	2,596,452	2,627,580
Functional Total	2,743,868	2,734,092	2,651,843	2,682,444	2,713,572
EDUCATION					
Arts, Council on the	1,634	1,922	1,922	1,922	1,922
Education, Department of	129,458	121,739	119,675	120,214	120,157
<i>All Other</i>	129,458	121,739	119,675	120,214	120,157
Functional Total	131,092	123,661	121,597	122,136	122,079
GENERAL GOVERNMENT					
Budget, Division of the	4,917	4,199	3,372	3,375	3,372
Civil Service, Department of	742	834	850	851	860
Deferred Compensation Board	43	231	235	235	235
Elections, State Board of	5,449	2,569	2,634	2,687	3,051
Employee Relations, Office of	1,054	72	73	73	73
Gaming Commission, New York State	59,669	41,971	41,971	42,007	41,971
General Services, Office of	84,526	96,603	92,525	87,902	88,929
Inspector General, Office of the	943	827	839	856	1,204
Labor Management Committees	17,026	25,854	25,819	25,819	25,819
Prevention of Domestic Violence, Office for	146	195	173	212	216
Public Employment Relations Board	201	291	296	296	296
Public Integrity, Commission on	729	930	949	968	1,183
State, Department of	15,984	17,292	17,143	17,167	17,143
Tax Appeals, Division of	147	170	170	170	170
Taxation and Finance, Department of	46,537	69,367	67,908	68,646	67,284
Technology, Office for	249,109	258,440	248,709	242,655	253,869
Veterans' Affairs, Division of	477	862	862	879	897
Welfare Inspector General, Office of	52	65	75	77	107
Workers' Compensation Board	55,854	58,330	63,089	64,304	64,304
Functional Total	543,605	579,102	567,692	559,179	570,983
ELECTED OFFICIALS					
Audit and Control, Department of	27,700	31,337	33,157	33,818	33,818
Executive Chamber	3,581	2,465	2,465	2,465	2,465
Judiciary	460,372	473,000	473,000	473,000	473,000
Law, Department of	58,005	63,746	64,676	65,937	65,937
Legislature	49,022	54,317	55,384	56,473	57,583
Lieutenant Governor, Office of the	110	91	91	91	91
Functional Total	598,790	624,956	628,773	631,784	632,894
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	131	0	0	0	0
Functional Total	131	0	0	0	0
ALL OTHER CATEGORIES					
Long-Term Debt Service	41,887	47,182	47,182	47,182	47,182
Miscellaneous	45,409	44,741	44,752	44,754	44,754
Functional Total	87,296	91,923	91,934	91,936	91,936
TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING	7,019,735	7,356,943	7,389,732	7,418,772	7,480,464

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	FY 2018 Results	FY 2019 Enacted	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	3,295	4,023	4,111	4,401	4,597
Economic Development, Department of	2	28	28	28	28
Financial Services, Department of	90,924	100,172	104,142	116,795	116,569
Public Service Department	34,183	28,484	29,405	31,021	32,681
Functional Total	128,404	132,707	137,686	152,245	153,875
PARKS AND THE ENVIRONMENT					
Environmental Conservation, Department of	62,194	64,743	61,412	62,517	63,385
Parks, Recreation and Historic Preservation, Office of	3,264	3,152	3,160	3,331	3,365
Functional Total	65,458	67,895	64,572	65,848	66,750
TRANSPORTATION					
Motor Vehicles, Department of	21,841	24,035	24,838	26,769	27,421
Transportation, Department of	6,070	8,695	8,805	9,669	9,848
Functional Total	27,911	32,730	33,643	36,438	37,269
HEALTH					
Health, Department of	67,722	78,511	81,301	86,165	87,680
<i>Medicaid Administration</i>	2,834	6,215	6,642	7,049	7,356
<i>Public Health</i>	64,888	72,296	74,659	79,116	80,324
Medicaid Inspector General, Office of the	9,354	9,868	10,231	11,007	11,007
Functional Total	77,076	88,379	91,532	97,172	98,687
SOCIAL WELFARE					
Children and Family Services, Office of	12,864	12,477	13,025	14,064	14,064
<i>OCFS</i>	12,864	12,477	13,025	14,064	14,064
Housing and Community Renewal, Division of	19,545	7,075	7,075	7,075	11,800
Labor, Department of	121,399	115,870	116,680	116,680	140,222
National and Community Service	0	229	236	242	242
Temporary and Disability Assistance, Office of	52,395	44,498	44,498	44,498	52,766
<i>All Other</i>	52,395	44,498	44,498	44,498	52,766
Functional Total	206,203	180,149	181,514	182,559	219,094
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	36,956	1,970	2,028	2,097	2,190
<i>OASAS</i>	14,792	1,970	2,029	2,097	2,190
<i>OASAS - Other</i>	22,164	0	(1)	0	0
Developmental Disabilities Planning Council	870	785	785	785	785
Justice Center	709	938	982	1,040	1,108
Mental Health, Office of	651,893	456	469	469	469
<i>OMH</i>	194,563	456	469	469	469
<i>OMH - Other</i>	457,330	0	0	0	0
People with Developmental Disabilities, Office for	683,801	0	0	0	0
<i>OPWDD - Other</i>	683,801	0	0	0	0
Functional Total	1,374,229	4,149	4,264	4,391	4,552
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correctional Services, Department of	1,211	1,147	1,147	1,147	1,147
Criminal Justice Services, Division of	652	383	383	383	383
Homeland Security and Emergency Services, Division of	7,269	7,873	7,881	7,899	7,969
Indigent Legal Services, Office of	1,069	1,906	2,003	2,117	2,239
Military and Naval Affairs, Division of	5,775	6,507	6,629	6,754	6,755
State Police, Division of	15,817	25,400	26,310	26,653	26,823
Victim Services, Office of	1,638	1,650	1,650	1,650	1,683
Functional Total	33,431	44,866	46,003	46,603	46,999

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	<u>FY 2018 Results</u>	<u>FY 2019 Enacted</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>
HIGHER EDUCATION					
City University of New York	145	7,892	7,892	7,892	7,892
Higher Education - Miscellaneous	81	150	150	150	150
Higher Education Services Corporation, New York State	8,131	8,959	8,959	8,959	8,959
State University of New York	430,885	474,458	504,075	540,614	545,018
Functional Total	<u>439,242</u>	<u>491,459</u>	<u>521,076</u>	<u>557,615</u>	<u>562,019</u>
EDUCATION					
Education, Department of	85,316	88,398	91,218	98,452	99,195
<i>All Other</i>	85,316	88,398	91,218	98,452	99,195
Functional Total	<u>85,316</u>	<u>88,398</u>	<u>91,218</u>	<u>98,452</u>	<u>99,195</u>
GENERAL GOVERNMENT					
Budget, Division of the	712	1,657	1,657	1,717	1,657
Civil Service, Department of	180	229	239	263	270
Deferred Compensation Board	224	205	205	205	205
Gaming Commission, New York State	16,540	17,575	17,575	18,465	17,575
General Services, Office of	369	548	567	627	644
State, Department of	12,213	14,919	15,334	16,554	16,815
Taxation and Finance, Department of	22,635	27,264	27,063	28,183	27,072
Veterans' Affairs, Division of	295	240	255	269	269
Workers' Compensation Board	52,395	53,220	53,402	57,863	57,863
Functional Total	<u>105,563</u>	<u>115,857</u>	<u>116,297</u>	<u>124,146</u>	<u>122,370</u>
ELECTED OFFICIALS					
Audit and Control, Department of	1,677	2,135	2,385	2,417	2,417
Judiciary	750,627	788,629	786,129	786,129	786,129
Law, Department of	28,245	32,031	33,211	35,233	35,233
Functional Total	<u>780,549</u>	<u>822,795</u>	<u>821,725</u>	<u>823,779</u>	<u>823,779</u>
ALL OTHER CATEGORIES					
General State Charges	4,842,815	6,789,244	7,336,753	7,857,175	8,380,175
Miscellaneous	8,445	5,743	5,743	5,761	5,761
Functional Total	<u>4,851,260</u>	<u>6,794,987</u>	<u>7,342,496</u>	<u>7,862,936</u>	<u>8,385,936</u>
TOTAL GENERAL STATE CHARGES SPENDING	<u><u>8,174,642</u></u>	<u><u>8,864,371</u></u>	<u><u>9,452,026</u></u>	<u><u>10,052,184</u></u>	<u><u>10,620,525</u></u>

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
CAPITAL PROJECTS
(thousands of dollars)**

	FY 2018 Results	FY 2019 Enacted	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	8,264	64,699	16,915	5,415	5,415
Economic Development Capital	0	22,587	21,600	20,862	30,862
Economic Development, Department of	10	10,442	0	5,525	0
Empire State Development Corporation	71,126	587,252	692,907	744,568	323,571
Energy Research and Development Authority	17,109	22,877	17,595	15,720	14,001
Olympic Regional Development Authority	38,000	50,000	20,000	10,000	10,000
Power Authority, New York	160	13,750	29,000	32,700	2,000
Regional Economic Development Program	0	338	337	337	337
Strategic Investment Program	0	7,002	6,650	11,046	6,650
Functional Total	134,669	778,947	805,004	846,173	392,836
PARKS AND THE ENVIRONMENT					
Environmental Conservation, Department of	313,851	764,658	781,922	803,455	824,027
Hudson River Park Trust	0	0	5,000	10,000	10,000
Parks, Recreation and Historic Preservation, Office of	179,949	177,903	179,732	164,914	165,364
Functional Total	493,800	942,561	966,654	978,369	999,391
TRANSPORTATION					
Motor Vehicles, Department of	221,992	228,495	231,724	243,144	224,758
Transportation, Department of	3,466,560	3,910,729	3,559,185	3,516,185	3,571,445
Functional Total	3,688,552	4,139,224	3,790,909	3,759,329	3,796,203
HEALTH					
Health, Department of	55,232	112,344	112,344	60,344	60,344
<i>Public Health</i>	55,232	112,344	112,344	60,344	60,344
Functional Total	55,232	112,344	112,344	60,344	60,344
SOCIAL WELFARE					
Children and Family Services, Office of	41,259	68,695	22,872	23,050	22,906
<i>OCFS</i>	41,259	68,695	22,872	23,050	22,906
Housing and Community Renewal, Division of	0	3,000	3,000	3,000	3,000
Nonprofit Infrastructure Capital Investment Program	0	47,500	44,500	16,049	5,000
Temporary and Disability Assistance, Office of	801	800	800	800	800
<i>All Other</i>	801	800	800	800	800
Functional Total	42,060	119,995	71,172	42,899	31,706
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	1,807	4,090	2,848	2,898	2,946
<i>OASAS</i>	1,807	4,090	2,848	2,898	2,946
Mental Health, Office of	214,774	180,007	179,496	180,474	207,479
<i>OMH</i>	214,774	180,007	179,496	180,474	207,479
People with Developmental Disabilities, Office for	70,009	84,940	85,869	79,899	80,856
<i>OPWDD</i>	70,009	84,940	85,869	79,899	80,856
Functional Total	286,590	269,037	268,213	263,271	291,281
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correctional Services, Department of	355,744	378,637	342,168	316,496	316,985
Homeland Security and Emergency Services, Division of	28,671	35,660	25,500	6,000	5,889
Military and Naval Affairs, Division of	63,307	55,439	58,566	48,844	42,244
State Police, Division of	72,903	55,225	40,334	42,408	47,053
Functional Total	520,625	524,961	466,568	413,748	412,171
HIGHER EDUCATION					
City University of New York	36,608	35,900	36,620	37,352	37,352
State University of New York	940,056	921,643	893,516	885,693	884,973
Functional Total	976,664	957,543	930,136	923,045	922,325

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
CAPITAL PROJECTS
(thousands of dollars)**

	<u>FY 2018 Results</u>	<u>FY 2019 Enacted</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>
EDUCATION					
Education, Department of	6,713	51,500	43,368	37,557	47,500
<i>All Other</i>	6,713	51,500	43,368	37,557	47,500
Functional Total	<u>6,713</u>	<u>51,500</u>	<u>43,368</u>	<u>37,557</u>	<u>47,500</u>
GENERAL GOVERNMENT					
General Services, Office of	128,849	220,728	187,662	190,879	143,617
State, Department of	1,233	2,767	2,000	0	0
Technology, Office for	102,831	118,865	18,751	24,700	33,700
Workers' Compensation Board	4,824	20,000	30,000	18,306	0
Functional Total	<u>237,737</u>	<u>362,360</u>	<u>238,413</u>	<u>233,885</u>	<u>177,317</u>
ELECTED OFFICIALS					
Audit and Control, Department of	0	5,024	1,400	0	0
Judiciary	13,943	18,500	0	0	0
Law, Department of	5,035	4,671	968	0	0
Functional Total	<u>18,978</u>	<u>28,195</u>	<u>2,368</u>	<u>0</u>	<u>0</u>
ALL OTHER CATEGORIES					
Arts and Cultural Facilities Improvement	0	5,200	5,000	0	0
Miscellaneous	21,593	(567,093)	(582,992)	(643,758)	77,093
Special Infrastructure Account	360,672	1,136,111	978,321	712,757	238,749
Functional Total	<u>382,265</u>	<u>574,218</u>	<u>400,329</u>	<u>68,999</u>	<u>315,842</u>
TOTAL CAPITAL PROJECTS SPENDING	<u><u>6,843,885</u></u>	<u><u>8,860,885</u></u>	<u><u>8,095,478</u></u>	<u><u>7,627,619</u></u>	<u><u>7,446,916</u></u>

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
(thousands of dollars)**

	<u>FY 2018 Results</u>	<u>FY 2019 Enacted</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	79,534	142,699	85,700	70,487	85,181
Alcoholic Beverage Control, Division of	11,819	12,483	11,244	11,560	11,244
Economic Development Capital	2,751	22,587	20,270	19,569	29,069
Economic Development, Department of	81,242	87,860	70,963	76,212	75,813
Empire State Development Corporation	1,207,655	1,939,210	1,837,697	1,890,277	1,660,401
Energy Research and Development Authority	17,109	22,877	17,595	15,720	14,001
Financial Services, Department of	357,557	374,035	378,571	397,475	391,112
Olympic Regional Development Authority	40,736	58,933	25,886	15,886	15,886
Power Authority, New York	243	13,750	29,000	32,700	2,000
Public Service Department	84,881	77,674	78,645	80,874	80,921
Regional Economic Development Program	2,030	338	320	320	320
Strategic Investment Program	1,625	7,002	6,317	10,494	6,317
Functional Total	<u>1,887,182</u>	<u>2,759,448</u>	<u>2,562,208</u>	<u>2,621,574</u>	<u>2,372,265</u>
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	4,468	4,469	4,414	4,572	4,414
Environmental Conservation, Department of	760,842	1,045,187	1,054,735	1,088,458	1,103,314
Hudson River Park Trust	0	0	5,000	10,000	10,000
Parks, Recreation and Historic Preservation, Office of	353,493	352,135	351,823	343,475	339,054
Functional Total	<u>1,118,803</u>	<u>1,401,791</u>	<u>1,415,972</u>	<u>1,446,505</u>	<u>1,456,782</u>
TRANSPORTATION					
Metropolitan Transportation Authority	65,000	800,000	675,000	194,856	0
Motor Vehicles, Department of	293,720	313,011	316,902	331,198	313,145
Transportation, Department of	7,997,573	7,562,410	7,098,711	7,129,593	7,319,212
Functional Total	<u>8,356,293</u>	<u>8,675,421</u>	<u>8,090,613</u>	<u>7,655,647</u>	<u>7,632,357</u>
HEALTH					
Aging, Office for the	125,380	130,304	126,342	131,567	136,836
Health, Department of	21,620,700	22,841,515	24,348,217	25,440,154	26,248,668
<i>Medical Assistance</i>	18,857,443	19,363,730	20,775,358	21,776,355	22,642,807
<i>Essential Plan</i>	87,638	101,670	97,696	92,840	87,487
<i>Medicaid Administration</i>	583,789	915,261	745,618	751,774	752,266
<i>Public Health</i>	2,091,830	2,460,854	2,729,545	2,819,185	2,766,108
Medicaid Inspector General, Office of the	18,282	18,111	18,111	18,718	18,111
Functional Total	<u>21,764,362</u>	<u>22,989,930</u>	<u>24,492,670</u>	<u>25,590,439</u>	<u>26,403,615</u>
SOCIAL WELFARE					
Children and Family Services, Office of	1,892,775	2,050,367	2,091,698	2,182,055	2,219,242
<i>OCFS</i>	1,815,413	1,965,625	2,002,900	2,090,029	2,123,056
<i>OCFS - Other</i>	77,362	84,742	88,798	92,026	96,186
Housing and Community Renewal, Division of	351,538	826,789	593,857	596,533	555,019
Human Rights, Division of	9,465	9,921	9,921	10,590	10,230
Labor, Department of	83,203	81,668	67,156	69,893	71,435
National and Community Service	770	690	690	699	699
Nonprofit Infrastructure Capital Investment Program	6,951	47,500	44,500	16,049	5,000
Roosevelt Island Operating Corporation	0	25,028	0	0	0
Temporary and Disability Assistance, Office of	1,400,455	1,467,179	1,550,445	1,557,738	1,564,258
<i>Welfare Assistance</i>	1,137,373	1,177,821	1,241,366	1,241,366	1,241,366
<i>All Other</i>	263,082	289,358	309,079	316,372	322,892
Functional Total	<u>3,745,157</u>	<u>4,509,142</u>	<u>4,358,267</u>	<u>4,433,557</u>	<u>4,425,883</u>
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	481,016	508,131	546,832	567,861	554,896
<i>OASAS</i>	388,019	443,717	481,277	500,855	487,118
<i>OASAS - Other</i>	92,997	64,414	65,555	67,006	67,778
Justice Center	40,136	43,479	45,138	47,048	46,506
Mental Health, Office of	3,467,179	2,895,023	3,034,914	3,140,305	3,264,408
<i>OMH</i>	1,743,973	1,624,717	1,744,718	1,809,479	1,908,267
<i>OMH - Other</i>	1,723,206	1,270,306	1,290,196	1,330,826	1,356,141

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
(thousands of dollars)**

	FY 2018 Results	FY 2019 Enacted	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
Mental Hygiene, Department of	204	0	0	0	0
People with Developmental Disabilities, Office for	2,934,957	1,940,615	2,140,408	2,414,820	2,621,953
<i>OPWDD</i>	479,476	477,271	487,157	493,329	507,374
<i>OPWDD - Other</i>	2,455,481	1,463,344	1,653,251	1,921,491	2,114,579
Functional Total	6,923,492	5,387,248	5,767,292	6,170,034	6,487,763
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	2,594	2,651	2,651	2,773	2,735
Correctional Services, Department of	2,989,492	2,989,760	2,965,664	2,945,622	2,937,481
Corrections and Community Supervision Medicaid, Department of	0	2,000	2,000	2,000	0
Criminal Justice Services, Division of	193,155	214,698	188,215	189,190	189,012
Homeland Security and Emergency Services, Division of	146,324	168,818	183,303	157,846	170,798
Indigent Legal Services, Office of	75,499	166,393	190,581	214,855	214,975
Judicial Conduct, Commission on	5,552	5,696	5,708	5,936	6,114
Judicial Nomination, Commission on	10	30	30	30	30
Judicial Screening Committees, New York State	14	38	38	38	38
Military and Naval Affairs, Division of	58,162	52,254	55,522	46,096	40,227
State Police, Division of	828,909	809,470	790,227	818,223	837,746
Statewide Financial System	30,137	30,137	30,137	30,580	30,748
Victim Services, Office of	26,080	36,345	36,345	36,462	37,111
Functional Total	4,355,928	4,478,290	4,450,421	4,449,651	4,467,015
HIGHER EDUCATION					
City University of New York	1,604,626	1,613,738	1,642,033	1,675,500	1,707,569
Higher Education - Miscellaneous	337	441	441	441	441
Higher Education Facilities Capital Matching Grants Program	4,425	12,500	11,875	14,250	6,650
Higher Education Services Corporation, New York State	929,204	1,145,081	1,214,114	1,232,387	1,239,493
State University of New York	7,939,963	8,104,944	8,146,128	8,475,080	8,505,380
Functional Total	10,478,555	10,876,704	11,014,591	11,397,658	11,459,533
EDUCATION					
Arts, Council on the	39,210	45,413	45,253	45,349	45,253
Education, Department of	30,489,950	32,099,186	32,993,408	33,878,546	35,208,383
<i>School Aid</i>	25,487,836	26,951,530	27,925,572	28,770,069	30,004,420
<i>School Aid - Other</i>	61,169	50,000	50,000	50,000	50,000
<i>STAR Property Tax Relief</i>	2,589,145	2,459,259	2,417,238	2,401,995	2,401,995
<i>Special Education Categorical Programs</i>	1,263,705	1,352,380	1,396,537	1,459,151	1,525,151
<i>All Other</i>	1,088,095	1,286,017	1,204,061	1,197,331	1,226,817
Functional Total	30,529,160	32,144,599	33,038,661	33,923,895	35,253,636
GENERAL GOVERNMENT					
Budget, Division of the	27,312	30,423	29,596	30,603	29,596
Civil Service, Department of	14,975	13,560	13,680	14,189	13,815
Deferred Compensation Board	675	846	853	854	853
Elections, State Board of	7,813	8,587	8,697	9,033	9,304
Employee Relations, Office of	3,569	2,601	2,621	2,719	2,621
Gaming Commission, New York State	176,850	238,873	212,703	215,120	212,703
General Services, Office of	272,789	358,297	321,172	323,251	274,616
Inspector General, Office of the	7,166	7,427	7,487	7,826	8,061
Labor Management Committees	24,773	31,300	31,306	31,517	31,306
Prevention of Domestic Violence, Office for	2,349	2,949	3,049	3,121	3,059
Public Employment Relations Board	3,260	3,604	3,634	3,764	3,634
Public Integrity, Commission on	5,115	5,576	5,630	5,835	6,010
State, Department of	65,500	80,858	129,724	113,055	102,203
Tax Appeals, Division of	2,688	3,040	3,040	3,150	3,040
Taxation and Finance, Department of	345,192	362,256	360,800	371,727	360,200
Technology, Office for	638,808	663,820	559,706	576,068	591,624
Veterans' Affairs, Division of	13,791	16,028	13,873	14,119	14,054
Welfare Inspector General, Office of	671	686	701	731	753
Workers' Compensation Board	198,190	216,543	228,595	226,682	204,271
Functional Total	1,811,486	2,047,274	1,936,867	1,953,364	1,871,723
ELECTED OFFICIALS					
Audit and Control, Department of	180,421	193,535	182,653	187,628	181,946
Executive Chamber	13,560	13,578	13,578	14,032	13,578
Judiciary	2,959,272	3,037,629	3,034,128	3,091,129	3,034,129

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
(thousands of dollars)**

	FY 2018 Results	FY 2019 Enacted	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
Law, Department of	205,126	203,369	198,332	205,560	199,504
Legislature	223,372	229,858	234,436	239,106	243,869
Lieutenant Governor, Office of the	492	614	614	634	614
Functional Total	3,582,243	3,678,583	3,663,741	3,738,089	3,673,640
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	721,920	724,159	763,347	763,347	763,347
County-Wide Shared Services Initiative	0	0	100,000	125,000	0
Efficiency Incentive Grants Program	348	0	0	0	0
Miscellaneous Financial Assistance	9,270	12,001	2,250	2,250	2,250
Municipalities with VLT Facilities	28,885	28,885	28,885	28,885	28,885
Small Government Assistance	217	218	218	218	218
Functional Total	760,640	765,263	894,700	919,700	794,700
ALL OTHER CATEGORIES					
Arts and Cultural Facilities Improvement	0	15,000	5,000	0	0
General State Charges	4,842,815	6,789,244	7,336,753	7,857,175	8,380,175
Long-Term Debt Service	5,914,725	5,429,429	7,012,992	7,233,171	7,137,288
Miscellaneous	83,243	(504,587)	(260,627)	(166,700)	561,397
Special Infrastructure Account	783,139	1,146,111	983,321	722,757	238,749
Functional Total	11,623,922	12,875,197	15,077,439	15,646,403	16,317,609
TOTAL STATE FUNDS SPENDING	106,937,223	112,588,890	116,763,442	119,946,516	122,616,521

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
LOCAL ASSISTANCE
(thousands of dollars)**

	FY 2018 Results	FY 2019 Enacted	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	32,318	38,271	28,757	24,757	24,907
Economic Development Capital	2,751	0	(1,330)	(1,293)	(1,793)
Economic Development, Department of	61,825	60,099	53,644	53,368	58,494
Empire State Development Corporation	1,136,529	1,351,958	1,144,790	1,145,709	1,336,830
Financial Services, Department of	57,254	64,954	61,886	61,855	61,855
Power Authority, New York	83	0	0	0	0
Public Service Department	102	136	136	136	136
Regional Economic Development Program	2,030	0	(17)	(17)	(17)
Strategic Investment Program	1,625	0	(333)	(552)	(333)
Functional Total	1,294,517	1,515,418	1,287,533	1,283,963	1,480,079
PARKS AND THE ENVIRONMENT					
Environmental Conservation, Department of	191,133	35,795	34,019	34,019	34,019
Parks, Recreation and Historic Preservation, Office of	7,095	5,856	5,550	5,550	5,550
Functional Total	198,228	41,651	39,569	39,569	39,569
TRANSPORTATION					
Metropolitan Transportation Authority	65,000	800,000	675,000	194,856	0
Motor Vehicles, Department of	1,600	375	0	0	0
Transportation, Department of	5,624,706	4,610,936	4,244,758	4,265,749	4,395,286
Functional Total	5,691,306	5,411,311	4,919,758	4,460,605	4,395,286
HEALTH					
Aging, Office for the	124,180	129,072	125,110	130,292	135,604
Health, Department of	20,800,316	21,850,399	23,360,799	24,495,317	25,312,222
<i>Medical Assistance</i>	18,857,443	19,363,730	20,775,358	21,776,355	22,642,807
<i>Medicaid Administration</i>	286,336	558,908	387,511	387,511	387,511
<i>Public Health</i>	1,656,537	1,927,761	2,197,930	2,331,451	2,281,904
Functional Total	20,924,496	21,979,471	23,485,909	24,625,609	25,447,826
SOCIAL WELFARE					
Children and Family Services, Office of	1,608,134	1,691,071	1,692,015	1,715,029	1,749,696
<i>OCFS</i>	1,530,772	1,606,329	1,603,217	1,623,003	1,653,510
<i>OCFS - Other</i>	77,362	84,742	88,798	92,026	96,186
Housing and Community Renewal, Division of	283,283	774,473	541,541	540,965	497,640
Labor, Department of	12,666	14,662	150	150	150
National and Community Service	477	350	350	350	350
Nonprofit Infrastructure Capital Investment Program	6,951	0	0	0	0
Roosevelt Island Operating Corporation	0	25,028	0	0	0
Temporary and Disability Assistance, Office of	1,276,768	1,340,734	1,417,669	1,420,469	1,424,269
<i>Welfare Assistance</i>	1,137,373	1,177,821	1,241,366	1,241,366	1,241,366
<i>All Other</i>	139,395	162,913	176,303	179,103	182,903
Functional Total	3,188,279	3,846,318	3,651,725	3,676,963	3,672,105
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	360,781	421,434	459,314	477,776	464,310
<i>OASAS</i>	339,456	400,109	437,989	456,451	442,985
<i>OASAS - Other</i>	21,325	21,325	21,325	21,325	21,325
Justice Center	619	649	649	649	649
Mental Health, Office of	1,251,218	1,399,583	1,524,365	1,597,146	1,674,156
<i>OMH</i>	985,829	1,100,258	1,216,447	1,280,230	1,348,025
<i>OMH - Other</i>	265,389	299,325	307,918	316,916	326,131
People with Developmental Disabilities, Office for	847,982	578,287	741,172	984,452	1,175,956
<i>OPWDD</i>	409,319	392,150	401,107	413,249	426,337
<i>OPWDD - Other</i>	438,663	186,137	340,065	571,203	749,619
Functional Total	2,460,600	2,399,953	2,725,500	3,060,023	3,315,071
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correctional Services, Department of	5,686	4,836	4,836	4,836	4,836
Corrections and Community Supervision Medicaid, Department of	0	2,000	2,000	2,000	0
Criminal Justice Services, Division of	156,618	177,850	151,367	151,367	151,367
Homeland Security and Emergency Services, Division of	87,335	95,798	118,773	111,542	122,108

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
LOCAL ASSISTANCE
(thousands of dollars)**

	FY 2018 Results	FY 2019 Enacted	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
Indigent Legal Services, Office of	72,102	160,672	184,765	208,859	208,859
Military and Naval Affairs, Division of	819	820	820	820	886
Victim Services, Office of	20,728	30,744	30,744	30,744	31,398
Functional Total	343,288	472,720	493,305	510,168	519,454
HIGHER EDUCATION					
City University of New York	1,465,153	1,493,737	1,524,573	1,555,791	1,588,481
Higher Education Facilities Capital Matching Grants Program	4,425	12,500	11,875	14,250	6,650
Higher Education Services Corporation, New York State	879,322	1,089,071	1,158,104	1,176,377	1,183,483
State University of New York	488,783	482,289	484,643	484,643	484,643
Functional Total	2,837,683	3,077,597	3,179,195	3,231,061	3,263,257
EDUCATION					
Arts, Council on the	34,950	41,093	40,933	40,933	40,933
Education, Department of	30,303,822	31,865,295	32,768,700	33,652,721	34,975,138
<i>School Aid</i>	25,487,836	26,951,530	27,925,572	28,770,069	30,004,420
<i>School Aid – Other</i>	61,169	50,000	50,000	50,000	50,000
<i>STAR Property Tax Relief</i>	2,589,145	2,459,259	2,417,238	2,401,995	2,401,995
<i>Special Education Categorical Programs</i>	1,263,705	1,352,380	1,396,537	1,459,151	1,525,151
<i>All Other</i>	901,967	1,052,126	979,353	971,506	993,572
Functional Total	30,338,772	31,906,388	32,809,633	33,693,654	35,016,071
GENERAL GOVERNMENT					
Elections, State Board of	50	0	0	0	0
Gaming Commission, New York State	65,779	140,570	114,400	114,400	114,400
Prevention of Domestic Violence, Office for	791	1,285	1,385	1,385	1,412
State, Department of	10,035	24,961	74,379	57,379	47,379
Taxation and Finance, Department of	1,542	2,726	2,726	2,726	2,726
Veterans' Affairs, Division of	7,906	9,792	7,637	7,637	7,637
Functional Total	86,103	179,334	200,527	183,527	173,554
ELECTED OFFICIALS					
Audit and Control, Department of	32,025	32,024	32,024	32,024	32,024
Judiciary	114,224	112,200	127,199	127,200	127,200
Functional Total	146,249	144,224	159,223	159,224	159,224
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	721,789	724,159	763,347	763,347	763,347
County-Wide Shared Services Initiative	0	0	100,000	125,000	0
Efficiency Incentive Grants Program	348	0	0	0	0
Miscellaneous Financial Assistance	9,270	12,001	2,250	2,250	2,250
Municipalities with VLT Facilities	28,885	28,885	28,885	28,885	28,885
Small Government Assistance	217	218	218	218	218
Functional Total	760,509	765,263	894,700	919,700	794,700
ALL OTHER CATEGORIES					
Arts and Cultural Facilities Improvement	0	9,800	0	0	0
Miscellaneous	12,639	(99,943)	28,600	68,192	75,464
Special Infrastructure Account	422,467	10,000	5,000	10,000	0
Functional Total	435,106	(80,143)	33,600	78,192	75,464
TOTAL LOCAL ASSISTANCE SPENDING	68,705,136	71,659,505	73,880,177	75,922,258	78,351,660

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
STATE OPERATIONS
(thousands of dollars)**

	FY 2018 Results	FY 2019 Enacted	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	37,697	37,838	38,068	38,187	52,668
Alcoholic Beverage Control, Division of	11,819	12,483	11,244	11,560	11,244
Economic Development, Department of	19,405	17,291	17,291	17,291	17,291
Financial Services, Department of	209,379	208,909	212,543	218,825	212,688
Olympic Regional Development Authority	2,736	8,933	5,886	5,886	5,886
Public Service Department	52,384	50,777	50,827	51,440	49,827
Functional Total	333,420	336,231	335,859	343,189	349,604
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	4,468	4,469	4,414	4,572	4,414
Environmental Conservation, Department of	212,775	207,723	205,114	216,625	210,041
Parks, Recreation and Historic Preservation, Office of	168,983	173,224	171,381	177,704	172,799
Functional Total	386,226	385,416	380,909	398,901	387,254
TRANSPORTATION					
Motor Vehicles, Department of	49,142	60,826	61,060	62,091	61,772
Transportation, Department of	9,139	281,195	331,568	336,456	341,236
Functional Total	58,281	342,021	392,628	398,547	403,008
HEALTH					
Aging, Office for the	1,200	1,232	1,232	1,275	1,232
Health, Department of	741,299	852,189	847,362	855,038	845,409
<i>Essential Plan</i>	87,638	101,670	97,696	92,840	87,487
<i>Medicaid Administration</i>	297,453	356,353	358,107	364,263	364,755
<i>Public Health</i>	356,208	394,166	391,559	397,935	393,167
Medicaid Inspector General, Office of the	18,282	18,111	18,111	18,718	18,111
Functional Total	760,781	871,532	866,705	875,031	864,752
SOCIAL WELFARE					
Children and Family Services, Office of	241,724	288,837	375,017	442,152	444,816
<i>OCFS</i>	241,724	288,837	375,017	442,152	444,816
Housing and Community Renewal, Division of	52,210	49,246	49,246	52,498	51,080
Human Rights, Division of	9,465	9,921	9,921	10,590	10,230
Labor, Department of	49,642	46,519	46,519	49,256	48,280
National and Community Service	293	340	340	349	349
Temporary and Disability Assistance, Office of	122,874	125,517	131,848	136,341	139,061
<i>All Other</i>	122,874	125,517	131,848	136,341	139,061
Functional Total	476,208	520,380	612,891	691,186	693,816
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	81,472	82,607	84,670	87,187	87,640
<i>OASAS</i>	31,964	39,518	40,439	41,506	41,187
<i>OASAS - Other</i>	49,508	43,089	44,231	45,681	46,453
Justice Center	38,868	41,956	43,571	45,423	44,814
Mental Health, Office of	1,350,460	1,315,433	1,331,053	1,362,685	1,382,773
<i>OMH</i>	349,973	344,452	348,775	348,775	352,763
<i>OMH - Other</i>	1,000,487	970,981	982,278	1,013,910	1,030,010
Mental Hygiene, Department of	204	0	0	0	0
People with Developmental Disabilities, Office for	1,333,165	1,277,388	1,313,367	1,350,469	1,365,141
<i>OPWDD</i>	148	181	181	181	181
<i>OPWDD - Other</i>	1,333,017	1,277,207	1,313,186	1,350,288	1,364,960
Functional Total	2,804,169	2,717,384	2,772,661	2,845,764	2,880,368
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	2,594	2,651	2,651	2,773	2,735
Correctional Services, Department of	2,627,945	2,606,181	2,618,554	2,624,184	2,615,554
Criminal Justice Services, Division of	36,502	36,813	36,813	37,788	37,610
Homeland Security and Emergency Services, Division of	29,703	36,551	38,213	39,469	41,896
Indigent Legal Services, Office of	2,328	3,815	3,813	3,879	3,877
Judicial Conduct, Commission on	5,552	5,696	5,708	5,936	6,114
Judicial Nomination, Commission on	10	30	30	30	30
Judicial Screening Committees, New York State	14	38	38	38	38

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
STATE OPERATIONS
(thousands of dollars)**

	FY 2018 Results	FY 2019 Enacted	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
Military and Naval Affairs, Division of	25,090	21,986	22,127	22,423	23,087
State Police, Division of	741,934	730,345	725,083	750,662	765,370
Statewide Financial System	30,137	30,137	30,137	30,580	30,748
Victim Services, Office of	3,714	3,951	3,951	4,068	4,030
Functional Total	3,505,523	3,478,194	3,487,118	3,521,830	3,531,089
HIGHER EDUCATION					
City University of New York	102,720	76,209	72,948	74,465	73,844
Higher Education - Miscellaneous	256	291	291	291	291
Higher Education Services Corporation, New York State	41,751	47,052	47,052	47,052	47,052
State University of New York	6,080,264	6,226,605	6,263,945	6,564,181	6,590,797
Functional Total	6,224,991	6,350,157	6,384,236	6,685,989	6,711,984
EDUCATION					
Arts, Council on the	4,260	4,320	4,320	4,416	4,320
Education, Department of	144,872	144,928	142,548	145,816	142,550
<i>All Other</i>	144,872	144,928	142,548	145,816	142,550
Functional Total	149,132	149,248	146,868	150,232	146,870
GENERAL GOVERNMENT					
Budget, Division of the	26,600	28,766	27,939	28,886	27,939
Civil Service, Department of	14,795	13,331	13,441	13,926	13,545
Deferred Compensation Board	451	641	648	649	648
Elections, State Board of	7,763	8,587	8,697	9,033	9,304
Employee Relations, Office of	3,569	2,601	2,621	2,719	2,621
Gaming Commission, New York State	94,531	80,728	80,728	82,255	80,728
General Services, Office of	143,571	137,021	132,943	131,745	130,355
Inspector General, Office of the	7,166	7,427	7,487	7,826	8,061
Labor Management Committees	24,773	31,300	31,306	31,517	31,306
Prevention of Domestic Violence, Office for	1,558	1,664	1,664	1,736	1,647
Public Employment Relations Board	3,260	3,604	3,634	3,764	3,634
Public Integrity, Commission on	5,115	5,576	5,630	5,835	6,010
State, Department of	43,799	41,020	40,821	41,933	40,821
Tax Appeals, Division of	2,688	3,040	3,040	3,150	3,040
Taxation and Finance, Department of	321,015	332,266	331,011	340,818	330,402
Technology, Office for	535,977	544,955	540,955	551,368	557,924
Veterans' Affairs, Division of	5,885	6,236	6,236	6,482	6,417
Welfare Inspector General, Office of	671	686	701	731	753
Workers' Compensation Board	140,971	143,323	145,193	150,513	146,408
Functional Total	1,384,158	1,392,772	1,384,695	1,414,886	1,401,563
ELECTED OFFICIALS					
Audit and Control, Department of	146,719	154,352	146,844	153,187	147,505
Executive Chamber	13,560	13,578	13,578	14,032	13,578
Judiciary	2,080,909	2,118,700	2,121,200	2,178,200	2,121,200
Law, Department of	183,513	179,396	176,833	183,996	177,940
Legislature	223,372	229,858	234,436	239,106	243,869
Lieutenant Governor, Office of the	492	614	614	634	614
Functional Total	2,648,565	2,696,498	2,693,505	2,769,155	2,704,706
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	131	0	0	0	0
Functional Total	131	0	0	0	0
ALL OTHER CATEGORIES					
Long-Term Debt Service	41,887	47,182	47,182	47,182	47,182
Miscellaneous	47,660	173,006	288,022	403,105	403,079
Functional Total	89,547	220,188	335,204	450,287	450,261
TOTAL STATE OPERATIONS SPENDING	18,821,132	19,460,021	19,793,279	20,544,997	20,525,275

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	FY 2018 Results	FY 2019 Enacted	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	30,802	29,423	29,428	30,543	29,428
Alcoholic Beverage Control, Division of	8,131	8,147	8,585	8,901	8,585
Economic Development, Department of	13,243	12,929	12,929	12,929	12,929
Financial Services, Department of	154,146	156,697	157,475	163,459	157,616
Olympic Regional Development Authority	2,548	5,595	2,548	2,548	2,548
Public Service Department	42,867	42,100	42,100	43,711	42,100
Functional Total	251,737	254,891	253,065	262,091	253,206
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	4,179	4,108	4,108	4,266	4,108
Environmental Conservation, Department of	175,514	170,420	168,321	176,631	170,066
Parks, Recreation and Historic Preservation, Office of	130,555	133,829	132,271	138,592	133,687
Functional Total	310,248	308,357	304,700	319,489	307,861
TRANSPORTATION					
Motor Vehicles, Department of	36,244	45,018	45,188	46,132	46,422
Transportation, Department of	2,803	161,892	161,887	162,014	161,899
Functional Total	39,047	206,910	207,075	208,146	208,321
HEALTH					
Aging, Office for the	1,103	1,125	1,125	1,168	1,125
Health, Department of	252,883	280,489	283,937	290,377	285,410
<i>Essential Plan</i>	3,148	4,015	3,835	3,700	3,714
<i>Medicaid Administration</i>	37,196	51,304	54,831	57,816	56,336
<i>Public Health</i>	212,539	225,170	225,271	228,861	225,360
Medicaid Inspector General, Office of the	15,864	14,936	14,936	15,543	14,936
Functional Total	269,850	296,550	299,998	307,088	301,471
SOCIAL WELFARE					
Children and Family Services, Office of	170,908	191,595	260,623	314,379	314,488
<i>OCFS</i>	170,908	191,595	260,623	314,379	314,488
Housing and Community Renewal, Division of	41,535	40,403	40,403	43,225	41,649
Human Rights, Division of	8,662	9,461	9,461	10,121	9,752
Labor, Department of	34,323	32,618	32,618	34,864	33,620
National and Community Service	292	331	331	340	340
Temporary and Disability Assistance, Office of	64,895	68,444	73,603	76,972	78,522
<i>All Other</i>	64,895	68,444	73,603	76,972	78,522
Functional Total	320,615	342,852	417,039	479,901	478,371
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	56,428	59,410	60,805	62,613	62,336
<i>OASAS</i>	20,354	26,455	27,084	27,874	27,259
<i>OASAS - Other</i>	36,074	32,955	33,721	34,739	35,077
Justice Center	31,243	32,769	34,123	35,700	34,808
Mental Health, Office of	1,070,401	1,062,975	1,062,525	1,085,922	1,097,542
<i>OMH</i>	287,281	302,088	305,124	305,124	308,174
<i>OMH - Other</i>	783,120	760,887	757,401	780,798	789,368
People with Developmental Disabilities, Office for	1,129,874	1,108,019	1,139,578	1,171,726	1,181,297
<i>OPWDD</i>	124	0	0	0	0
<i>OPWDD - Other</i>	1,129,750	1,108,019	1,139,578	1,171,726	1,181,297
Functional Total	2,287,946	2,263,173	2,297,031	2,355,961	2,375,983
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	2,316	2,414	2,414	2,531	2,488
Correctional Services, Department of	2,098,499	2,042,832	2,056,336	2,061,966	2,056,336
Criminal Justice Services, Division of	26,993	25,582	25,582	26,557	26,113
Homeland Security and Emergency Services, Division of	16,362	23,013	24,960	26,206	27,565
Indigent Legal Services, Office of	1,873	2,982	2,982	3,048	3,034
Judicial Conduct, Commission on	4,238	4,312	4,347	4,548	4,483
Military and Naval Affairs, Division of	15,083	14,322	14,322	14,618	14,692
State Police, Division of	677,367	668,358	664,863	690,373	703,010

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	<u>FY 2018 Results</u>	<u>FY 2019 Enacted</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>
Statewide Financial System	11,094	11,513	11,513	11,956	11,752
Victim Services, Office of	3,184	3,176	3,176	3,293	3,242
Functional Total	<u>2,857,009</u>	<u>2,798,504</u>	<u>2,810,495</u>	<u>2,845,096</u>	<u>2,852,715</u>
HIGHER EDUCATION					
City University of New York	52,623	37,780	35,090	35,735	35,114
Higher Education - Miscellaneous	169	198	198	198	198
Higher Education Services Corporation, New York State	13,380	13,314	13,314	13,314	13,314
State University of New York	3,753,286	3,882,964	4,001,982	4,272,489	4,267,977
Functional Total	<u>3,819,458</u>	<u>3,934,256</u>	<u>4,050,584</u>	<u>4,321,736</u>	<u>4,316,603</u>
EDUCATION					
Arts, Council on the	2,626	2,498	2,498	2,594	2,498
Education, Department of	90,372	88,090	87,774	90,983	87,774
<i>All Other</i>	90,372	88,090	87,774	90,983	87,774
Functional Total	<u>92,998</u>	<u>90,588</u>	<u>90,272</u>	<u>93,577</u>	<u>90,272</u>
GENERAL GOVERNMENT					
Budget, Division of the	21,683	24,567	24,567	25,511	24,567
Civil Service, Department of	14,053	12,497	12,591	13,075	12,685
Deferred Compensation Board	408	410	413	414	413
Elections, State Board of	6,077	6,018	6,063	6,346	6,253
Employee Relations, Office of	2,515	2,529	2,548	2,646	2,548
Gaming Commission, New York State	34,862	38,757	38,757	40,248	38,757
General Services, Office of	67,107	48,450	48,450	51,875	49,458
Inspector General, Office of the	6,223	6,600	6,648	6,970	6,857
Labor Management Committees	7,747	5,446	5,487	5,698	5,487
Prevention of Domestic Violence, Office for	1,412	1,469	1,491	1,524	1,431
Public Employment Relations Board	3,059	3,313	3,338	3,468	3,338
Public Integrity, Commission on	4,386	4,646	4,681	4,867	4,827
State, Department of	29,257	27,774	27,724	28,812	27,724
Tax Appeals, Division of	2,541	2,870	2,870	2,980	2,870
Taxation and Finance, Department of	275,658	264,119	264,323	273,392	264,338
Technology, Office for	289,994	286,515	292,246	308,713	304,055
Veterans' Affairs, Division of	5,512	5,938	5,938	6,178	6,107
Welfare Inspector General, Office of	619	621	626	654	646
Workers' Compensation Board	85,117	84,993	82,104	86,209	82,104
Functional Total	<u>858,230</u>	<u>827,532</u>	<u>830,865</u>	<u>869,580</u>	<u>844,465</u>
ELECTED OFFICIALS					
Audit and Control, Department of	119,019	123,015	113,687	119,369	113,687
Executive Chamber	9,979	11,113	11,113	11,567	11,113
Judiciary	1,624,889	1,653,500	1,656,000	1,713,000	1,656,000
Law, Department of	132,481	126,309	120,450	126,506	120,450
Legislature	174,350	175,541	179,052	182,633	186,286
Lieutenant Governor, Office of the	382	523	523	543	523
Functional Total	<u>2,061,100</u>	<u>2,090,001</u>	<u>2,080,825</u>	<u>2,153,618</u>	<u>2,088,059</u>
ALL OTHER CATEGORIES					
Miscellaneous	2,194	128,265	243,270	358,351	358,325
Functional Total	<u>2,194</u>	<u>128,265</u>	<u>243,270</u>	<u>358,351</u>	<u>358,325</u>
TOTAL PERSONAL SERVICE SPENDING	<u>13,170,432</u>	<u>13,541,879</u>	<u>13,885,219</u>	<u>14,574,634</u>	<u>14,475,652</u>

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2018 Results	FY 2019 Enacted	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	6,895	8,415	8,640	7,644	23,240
Alcoholic Beverage Control, Division of	3,688	4,336	2,659	2,659	2,659
Economic Development, Department of	6,162	4,362	4,362	4,362	4,362
Financial Services, Department of	55,233	52,212	55,068	55,366	55,072
Olympic Regional Development Authority	188	3,338	3,338	3,338	3,338
Public Service Department	9,517	8,677	8,727	7,729	7,727
Functional Total	81,683	81,340	82,794	81,098	96,398
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	289	361	306	306	306
Environmental Conservation, Department of	37,261	37,303	36,793	39,994	39,975
Parks, Recreation and Historic Preservation, Office of	38,428	39,395	39,110	39,112	39,112
Functional Total	75,978	77,059	76,209	79,412	79,393
TRANSPORTATION					
Motor Vehicles, Department of	12,898	15,808	15,872	15,959	15,350
Transportation, Department of	6,336	119,303	169,681	174,442	179,337
Functional Total	19,234	135,111	185,553	190,401	194,687
HEALTH					
Aging, Office for the	97	107	107	107	107
Health, Department of	488,416	571,700	563,425	564,661	559,999
<i>Essential Plan</i>	84,490	97,655	93,861	89,140	83,773
<i>Medicaid Administration</i>	260,257	305,049	303,276	306,447	308,419
<i>Public Health</i>	143,669	168,996	166,288	169,074	167,807
Medicaid Inspector General, Office of the	2,418	3,175	3,175	3,175	3,175
Functional Total	490,931	574,982	566,707	567,943	563,281
SOCIAL WELFARE					
Children and Family Services, Office of	70,816	97,242	114,394	127,773	130,328
<i>OCFS</i>	70,816	97,242	114,394	127,773	130,328
Housing and Community Renewal, Division of	10,675	8,843	8,843	9,273	9,431
Human Rights, Division of	803	460	460	469	478
Labor, Department of	15,319	13,901	13,901	14,392	14,660
National and Community Service	1	9	9	9	9
Temporary and Disability Assistance, Office of	57,979	57,073	58,245	59,369	60,539
<i>All Other</i>	57,979	57,073	58,245	59,369	60,539
Functional Total	155,593	177,528	195,852	211,285	215,445
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	25,044	23,197	23,865	24,574	25,304
<i>OASAS</i>	11,610	13,063	13,355	13,632	13,928
<i>OASAS - Other</i>	13,434	10,134	10,510	10,942	11,376
Justice Center	7,625	9,187	9,448	9,723	10,006
Mental Health, Office of	280,059	252,458	268,528	276,763	285,231
<i>OMH</i>	62,692	42,364	43,651	43,651	44,589
<i>OMH - Other</i>	217,367	210,094	224,877	233,112	240,642
Mental Hygiene, Department of	204	0	0	0	0
People with Developmental Disabilities, Office for	203,291	169,369	173,789	178,743	183,844
<i>OPWDD</i>	24	181	181	181	181
<i>OPWDD - Other</i>	203,267	169,188	173,608	178,562	183,663
Functional Total	516,223	454,211	475,630	489,803	504,385
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	278	237	237	242	247
Correctional Services, Department of	529,446	563,349	562,218	562,218	559,218
Criminal Justice Services, Division of	9,509	11,231	11,231	11,231	11,497
Homeland Security and Emergency Services, Division of	13,341	13,538	13,253	13,263	14,331
Indigent Legal Services, Office of	455	833	831	831	843
Judicial Conduct, Commission on	1,314	1,384	1,361	1,388	1,631
Judicial Nomination, Commission on	10	30	30	30	30

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2018 Results	FY 2019 Enacted	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
Judicial Screening Committees, New York State	14	38	38	38	38
Military and Naval Affairs, Division of	10,007	7,664	7,805	7,805	8,395
State Police, Division of	64,567	61,987	60,220	60,289	62,360
Statewide Financial System	19,043	18,624	18,624	18,624	18,996
Victim Services, Office of	530	775	775	775	788
Functional Total	648,514	679,690	676,623	676,734	678,374
HIGHER EDUCATION					
City University of New York	50,097	38,429	37,858	38,730	38,730
Higher Education - Miscellaneous	87	93	93	93	93
Higher Education Services Corporation, New York State	28,371	33,738	33,738	33,738	33,738
State University of New York	2,326,978	2,343,641	2,261,963	2,291,692	2,322,820
Functional Total	2,405,533	2,415,901	2,333,652	2,364,253	2,395,381
EDUCATION					
Arts, Council on the	1,634	1,822	1,822	1,822	1,822
Education, Department of	54,500	56,838	54,774	54,833	54,776
<i>All Other</i>	54,500	56,838	54,774	54,833	54,776
Functional Total	56,134	58,660	56,596	56,655	56,598
GENERAL GOVERNMENT					
Budget, Division of the	4,917	4,199	3,372	3,375	3,372
Civil Service, Department of	742	834	850	851	860
Deferred Compensation Board	43	231	235	235	235
Elections, State Board of	1,686	2,569	2,634	2,687	3,051
Employee Relations, Office of	1,054	72	73	73	73
Gaming Commission, New York State	59,669	41,971	41,971	42,007	41,971
General Services, Office of	76,464	88,571	84,493	79,870	80,897
Inspector General, Office of the	943	827	839	856	1,204
Labor Management Committees	17,026	25,854	25,819	25,819	25,819
Prevention of Domestic Violence, Office for	146	195	173	212	216
Public Employment Relations Board	201	291	296	296	296
Public Integrity, Commission on	729	930	949	968	1,183
State, Department of	14,542	13,246	13,097	13,121	13,097
Tax Appeals, Division of	147	170	170	170	170
Taxation and Finance, Department of	45,357	68,147	66,688	67,426	66,064
Technology, Office for	245,983	258,440	248,709	242,655	253,869
Veterans' Affairs, Division of	373	298	298	304	310
Welfare Inspector General, Office of	52	65	75	77	107
Workers' Compensation Board	55,854	58,330	63,089	64,304	64,304
Functional Total	525,928	565,240	553,830	545,306	557,098
ELECTED OFFICIALS					
Audit and Control, Department of	27,700	31,337	33,157	33,818	33,818
Executive Chamber	3,581	2,465	2,465	2,465	2,465
Judiciary	456,020	465,200	465,200	465,200	465,200
Law, Department of	51,032	53,087	56,383	57,490	57,490
Legislature	49,022	54,317	55,384	56,473	57,583
Lieutenant Governor, Office of the	110	91	91	91	91
Functional Total	587,465	606,497	612,680	615,537	616,647
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	131	0	0	0	0
Functional Total	131	0	0	0	0
ALL OTHER CATEGORIES					
Long-Term Debt Service	41,887	47,182	47,182	47,182	47,182
Miscellaneous	45,466	44,741	44,752	44,754	44,754
Functional Total	87,353	91,923	91,934	91,936	91,936
TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING	5,650,700	5,918,142	5,908,060	5,970,363	6,049,623

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	FY 2018 Results	FY 2019 Enacted	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	1,255	1,891	1,960	2,128	2,191
Economic Development, Department of	2	28	28	28	28
Financial Services, Department of	90,924	100,172	104,142	116,795	116,569
Public Service Department	32,395	26,761	27,682	29,298	30,958
Functional Total	124,576	128,852	133,812	148,249	149,746
PARKS AND THE ENVIRONMENT					
Environmental Conservation, Department of	45,573	49,598	46,267	46,946	47,814
Parks, Recreation and Historic Preservation, Office of	3,264	3,152	3,160	3,307	3,341
Functional Total	48,837	52,750	49,427	50,253	51,155
TRANSPORTATION					
Motor Vehicles, Department of	20,986	23,315	24,118	25,963	26,615
Transportation, Department of	1,720	2,145	2,198	2,417	2,459
Functional Total	22,706	25,460	26,316	28,380	29,074
HEALTH					
Health, Department of	31,713	32,872	34,001	35,744	36,982
<i>Public Health</i>	31,713	32,872	34,001	35,744	36,982
Functional Total	31,713	32,872	34,001	35,744	36,982
SOCIAL WELFARE					
Children and Family Services, Office of	1,658	1,764	1,794	1,824	1,824
<i>OCFS</i>	1,658	1,764	1,794	1,824	1,824
Housing and Community Renewal, Division of	16,045	3,070	3,070	3,070	6,299
Labor, Department of	20,895	20,487	20,487	20,487	23,005
Temporary and Disability Assistance, Office of	12	128	128	128	128
<i>All Other</i>	12	128	128	128	128
Functional Total	38,610	25,449	25,479	25,509	31,256
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	36,956	0	0	0	0
<i>OASAS</i>	14,792	0	1	0	0
<i>OASAS - Other</i>	22,164	0	(1)	0	0
Justice Center	649	874	918	976	1,043
Mental Health, Office of	650,727	0	0	0	0
<i>OMH</i>	193,397	0	0	0	0
<i>OMH - Other</i>	457,330	0	0	0	0
People with Developmental Disabilities, Office for	683,801	0	0	0	0
<i>OPWDD - Other</i>	683,801	0	0	0	0
Functional Total	1,372,133	874	918	976	1,043
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correctional Services, Department of	117	106	106	106	106
Criminal Justice Services, Division of	35	35	35	35	35
Homeland Security and Emergency Services, Division of	615	809	817	835	905
Indigent Legal Services, Office of	1,069	1,906	2,003	2,117	2,239
Military and Naval Affairs, Division of	6	9	9	9	10
State Police, Division of	14,072	23,900	24,810	25,153	25,323
Victim Services, Office of	1,638	1,650	1,650	1,650	1,683
Functional Total	17,552	28,415	29,430	29,905	30,301
HIGHER EDUCATION					
City University of New York	145	7,892	7,892	7,892	7,892
Higher Education - Miscellaneous	81	150	150	150	150
Higher Education Services Corporation, New York State	8,131	8,958	8,958	8,958	8,958
State University of New York	430,860	474,407	504,024	540,563	544,967
Functional Total	439,217	491,407	521,024	557,563	561,967

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	<u>FY 2018 Results</u>	<u>FY 2019 Enacted</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>
EDUCATION					
Education, Department of	34,543	37,463	38,792	42,452	43,195
<i>All Other</i>	34,543	37,463	38,792	42,452	43,195
Functional Total	<u>34,543</u>	<u>37,463</u>	<u>38,792</u>	<u>42,452</u>	<u>43,195</u>
GENERAL GOVERNMENT					
Budget, Division of the	712	1,657	1,657	1,717	1,657
Civil Service, Department of	180	229	239	263	270
Deferred Compensation Board	224	205	205	205	205
Gaming Commission, New York State	16,540	17,575	17,575	18,465	17,575
General Services, Office of	369	548	567	627	644
State, Department of	10,433	12,110	12,524	13,743	14,003
Taxation and Finance, Department of	22,635	27,264	27,063	28,183	27,072
Workers' Compensation Board	52,395	53,220	53,402	57,863	57,863
Functional Total	<u>103,488</u>	<u>112,808</u>	<u>113,232</u>	<u>121,066</u>	<u>119,289</u>
ELECTED OFFICIALS					
Audit and Control, Department of	1,677	2,135	2,385	2,417	2,417
Judiciary	750,196	788,229	785,729	785,729	785,729
Law, Department of	16,578	19,302	20,531	21,564	21,564
Functional Total	<u>768,451</u>	<u>809,666</u>	<u>808,645</u>	<u>809,710</u>	<u>809,710</u>
ALL OTHER CATEGORIES					
General State Charges	4,842,815	6,789,244	7,336,753	7,857,175	8,380,175
Miscellaneous	8,445	5,743	5,743	5,761	5,761
Functional Total	<u>4,851,260</u>	<u>6,794,987</u>	<u>7,342,496</u>	<u>7,862,936</u>	<u>8,385,936</u>
TOTAL GENERAL STATE CHARGES SPENDING	<u><u>7,853,086</u></u>	<u><u>8,541,003</u></u>	<u><u>9,123,572</u></u>	<u><u>9,712,743</u></u>	<u><u>10,249,654</u></u>

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
CAPITAL PROJECTS
(thousands of dollars)**

	<u>FY 2018 Results</u>	<u>FY 2019 Enacted</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	8,264	64,699	16,915	5,415	5,415
Economic Development Capital	0	22,587	21,600	20,862	30,862
Economic Development, Department of	10	10,442	0	5,525	0
Empire State Development Corporation	71,126	587,252	692,907	744,568	323,571
Energy Research and Development Authority	17,109	22,877	17,595	15,720	14,001
Olympic Regional Development Authority	38,000	50,000	20,000	10,000	10,000
Power Authority, New York	160	13,750	29,000	32,700	2,000
Regional Economic Development Program	0	338	337	337	337
Strategic Investment Program	0	7,002	6,650	11,046	6,650
Functional Total	<u>134,669</u>	<u>778,947</u>	<u>805,004</u>	<u>846,173</u>	<u>392,836</u>
PARKS AND THE ENVIRONMENT					
Environmental Conservation, Department of	311,361	752,071	769,335	790,868	811,440
Hudson River Park Trust	0	0	5,000	10,000	10,000
Parks, Recreation and Historic Preservation, Office of	174,151	169,903	171,732	156,914	157,364
Functional Total	<u>485,512</u>	<u>921,974</u>	<u>946,067</u>	<u>957,782</u>	<u>978,804</u>
TRANSPORTATION					
Motor Vehicles, Department of	221,992	228,495	231,724	243,144	224,758
Transportation, Department of	2,362,008	2,668,134	2,520,187	2,524,971	2,580,231
Functional Total	<u>2,584,000</u>	<u>2,896,629</u>	<u>2,751,911</u>	<u>2,768,115</u>	<u>2,804,989</u>
HEALTH					
Health, Department of	47,372	106,055	106,055	54,055	54,055
<i>Public Health</i>	47,372	106,055	106,055	54,055	54,055
Functional Total	<u>47,372</u>	<u>106,055</u>	<u>106,055</u>	<u>54,055</u>	<u>54,055</u>
SOCIAL WELFARE					
Children and Family Services, Office of	41,259	68,695	22,872	23,050	22,906
<i>OCFS</i>	41,259	68,695	22,872	23,050	22,906
Nonprofit Infrastructure Capital Investment Program	0	47,500	44,500	16,049	5,000
Temporary and Disability Assistance, Office of	801	800	800	800	800
<i>All Other</i>	801	800	800	800	800
Functional Total	<u>42,060</u>	<u>116,995</u>	<u>68,172</u>	<u>39,899</u>	<u>28,706</u>
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	1,807	4,090	2,848	2,898	2,946
<i>OASAS</i>	1,807	4,090	2,848	2,898	2,946
Mental Health, Office of	214,774	180,007	179,496	180,474	207,479
<i>OMH</i>	214,774	180,007	179,496	180,474	207,479
People with Developmental Disabilities, Office for	70,009	84,940	85,869	79,899	80,856
<i>OPWDD</i>	70,009	84,940	85,869	79,899	80,856
Functional Total	<u>286,590</u>	<u>269,037</u>	<u>268,213</u>	<u>263,271</u>	<u>291,281</u>
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correctional Services, Department of	355,744	378,637	342,168	316,496	316,985
Homeland Security and Emergency Services, Division of	28,671	35,660	25,500	6,000	5,889
Military and Naval Affairs, Division of	32,247	29,439	32,566	22,844	16,244
State Police, Division of	72,903	55,225	40,334	42,408	47,053
Functional Total	<u>489,565</u>	<u>498,961</u>	<u>440,568</u>	<u>387,748</u>	<u>386,171</u>
HIGHER EDUCATION					
City University of New York	36,608	35,900	36,620	37,352	37,352
State University of New York	940,056	921,643	893,516	885,693	884,973
Functional Total	<u>976,664</u>	<u>957,543</u>	<u>930,136</u>	<u>923,045</u>	<u>922,325</u>
EDUCATION					
Education, Department of	6,713	51,500	43,368	37,557	47,500
<i>All Other</i>	6,713	51,500	43,368	37,557	47,500
Functional Total	<u>6,713</u>	<u>51,500</u>	<u>43,368</u>	<u>37,557</u>	<u>47,500</u>

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
CAPITAL PROJECTS
(thousands of dollars)**

	<u>FY 2018 Results</u>	<u>FY 2019 Enacted</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>
GENERAL GOVERNMENT					
General Services, Office of	128,849	220,728	187,662	190,879	143,617
State, Department of	1,233	2,767	2,000	0	0
Technology, Office for	102,831	118,865	18,751	24,700	33,700
Workers' Compensation Board	4,824	20,000	30,000	18,306	0
Functional Total	<u>237,737</u>	<u>362,360</u>	<u>238,413</u>	<u>233,885</u>	<u>177,317</u>
ELECTED OFFICIALS					
Audit and Control, Department of	0	5,024	1,400	0	0
Judiciary	13,943	18,500	0	0	0
Law, Department of	5,035	4,671	968	0	0
Functional Total	<u>18,978</u>	<u>28,195</u>	<u>2,368</u>	<u>0</u>	<u>0</u>
ALL OTHER CATEGORIES					
Arts and Cultural Facilities Improvement	0	5,200	5,000	0	0
Miscellaneous	14,499	(583,393)	(582,992)	(643,758)	77,093
Special Infrastructure Account	360,672	1,136,111	978,321	712,757	238,749
Functional Total	<u>375,171</u>	<u>557,918</u>	<u>400,329</u>	<u>68,999</u>	<u>315,842</u>
TOTAL CAPITAL PROJECTS SPENDING	<u><u>5,685,031</u></u>	<u><u>7,546,114</u></u>	<u><u>7,000,604</u></u>	<u><u>6,580,529</u></u>	<u><u>6,399,826</u></u>

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
LOCAL ASSISTANCE
(thousands of dollars)**

	FY 2018 Results	FY 2019 Enacted	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Economic Development, Department of	0	1,400	0	0	5,000
Financial Services, Department of	57,254	64,954	61,886	61,855	61,855
Public Service Department	102	136	136	136	136
Functional Total	57,356	66,490	62,022	61,991	66,991
PARKS AND THE ENVIRONMENT					
Parks, Recreation and Historic Preservation, Office of	4,156	5,450	5,450	5,450	5,450
Functional Total	4,156	5,450	5,450	5,450	5,450
TRANSPORTATION					
Transportation, Department of	4,908,656	3,657,044	3,541,240	3,588,245	3,720,070
Functional Total	4,908,656	3,657,044	3,541,240	3,588,245	3,720,070
HEALTH					
Health, Department of	6,582,824	6,419,373	6,416,512	6,452,040	6,437,763
<i>Medical Assistance</i>	5,745,990	5,592,000	5,444,041	5,367,751	5,353,474
<i>Public Health</i>	836,834	827,373	972,471	1,084,289	1,084,289
Functional Total	6,582,824	6,419,373	6,416,512	6,452,040	6,437,763
SOCIAL WELFARE					
Children and Family Services, Office of	3,252	3,582	3,582	3,582	3,582
<i>OCFS</i>	3,252	3,582	3,582	3,582	3,582
Housing and Community Renewal, Division of	291	852	852	852	852
Labor, Department of	0	150	150	150	150
Functional Total	3,543	4,584	4,584	4,584	4,584
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	286,440	3,540	3,300	3,300	3,300
<i>OASAS</i>	286,440	3,540	3,300	3,300	3,300
Justice Center	449	479	479	479	479
Mental Health, Office of	908,380	1,500	1,500	1,500	1,500
<i>OMH</i>	908,380	1,500	1,500	1,500	1,500
People with Developmental Disabilities, Office for	407,737	0	0	0	0
<i>OPWDD</i>	407,132	0	0	0	0
<i>OPWDD - Other</i>	605	0	0	0	0
Functional Total	1,603,006	5,519	5,279	5,279	5,279
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Criminal Justice Services, Division of	20,048	18,852	19,367	19,367	19,367
Homeland Security and Emergency Services, Division of	26,756	50,767	89,801	87,570	87,818
Indigent Legal Services, Office of	72,102	160,672	184,765	208,859	208,859
Victim Services, Office of	21,242	27,956	27,956	27,956	28,610
Functional Total	140,148	258,247	321,889	343,752	344,654
EDUCATION					
Arts, Council on the	0	98	98	98	98
Education, Department of	6,040,724	5,813,132	5,800,471	5,788,228	5,788,228
<i>School Aid</i>	3,442,342	3,340,840	3,370,200	3,373,200	3,373,200
<i>STAR Property Tax Relief</i>	2,589,145	2,459,259	2,417,238	2,401,995	2,401,995
<i>All Other</i>	9,237	13,033	13,033	13,033	13,033
Functional Total	6,040,724	5,813,230	5,800,569	5,788,326	5,788,326
GENERAL GOVERNMENT					
Gaming Commission, New York State	65,779	140,570	114,400	114,400	114,400
State, Department of	913	939	939	939	939
Taxation and Finance, Department of	654	1,800	1,800	1,800	1,800
Functional Total	67,346	143,309	117,139	117,139	117,139

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
LOCAL ASSISTANCE
(thousands of dollars)**

	<u>FY 2018 Results</u>	<u>FY 2019 Enacted</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>
ELECTED OFFICIALS					
Judiciary	111,250	108,700	108,699	108,700	108,700
Functional Total	<u>111,250</u>	<u>108,700</u>	<u>108,699</u>	<u>108,700</u>	<u>108,700</u>
 ALL OTHER CATEGORIES					
Miscellaneous	12,598	(792,666)	(799,500)	(1,199,500)	(1,199,500)
Functional Total	<u>12,598</u>	<u>(792,666)</u>	<u>(799,500)</u>	<u>(1,199,500)</u>	<u>(1,199,500)</u>
 TOTAL LOCAL ASSISTANCE SPENDING	 <u>19,531,607</u>	 <u>15,689,280</u>	 <u>15,583,883</u>	 <u>15,276,006</u>	 <u>15,399,456</u>

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	FY 2018 Results	FY 2019 Enacted	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	2,647	2,960	2,965	3,069	2,965
Economic Development, Department of	3	103	103	103	103
Financial Services, Department of	154,146	156,697	157,475	163,459	157,616
Public Service Department	42,867	42,100	42,100	43,711	42,100
Functional Total	199,663	201,860	202,643	210,342	202,784
PARKS AND THE ENVIRONMENT					
Environmental Conservation, Department of	93,008	88,891	78,892	75,732	72,133
Parks, Recreation and Historic Preservation, Office of	33,620	37,452	34,781	36,154	34,993
Functional Total	126,628	126,343	113,673	111,886	107,126
TRANSPORTATION					
Motor Vehicles, Department of	36,244	36,494	36,494	37,264	37,376
Transportation, Department of	2,803	3,324	3,324	3,456	3,348
Functional Total	39,047	39,818	39,818	40,720	40,724
HEALTH					
Health, Department of	130,551	131,875	131,976	132,461	132,065
<i>Public Health</i>	130,551	131,875	131,976	132,461	132,065
Functional Total	130,551	131,875	131,976	132,461	132,065
SOCIAL WELFARE					
Children and Family Services, Office of	2,722	3,354	3,354	3,483	3,449
<i>OCFS</i>	2,722	3,354	3,354	3,483	3,449
Housing and Community Renewal, Division of	37,669	36,204	36,204	38,733	37,321
Labor, Department of	34,239	32,530	32,530	34,770	33,529
Functional Total	74,630	72,088	72,088	76,986	74,299
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	56,428	0	0	0	0
<i>OASAS</i>	20,354	0	0	0	0
<i>OASAS - Other</i>	36,074	0	0	0	0
Justice Center	1,214	1,392	1,406	1,420	1,434
Mental Health, Office of	1,070,401	103	103	103	103
<i>OMH</i>	287,281	103	103	103	103
<i>OMH - Other</i>	783,120	0	0	0	0
People with Developmental Disabilities, Office for	1,129,874	0	0	0	0
<i>OPWDD</i>	124	0	0	0	0
<i>OPWDD - Other</i>	1,129,750	0	0	0	0
Functional Total	2,257,917	1,495	1,509	1,523	1,537
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correctional Services, Department of	251	219	219	219	219
Criminal Justice Services, Division of	280	392	392	403	400
Homeland Security and Emergency Services, Division of	15,292	22,013	23,960	25,206	26,565
Indigent Legal Services, Office of	1,873	2,982	2,982	3,048	3,034
Military and Naval Affairs, Division of	68	161	161	161	161
State Police, Division of	46,922	46,992	46,992	47,332	47,470
Victim Services, Office of	3,184	3,176	3,176	3,293	3,242
Functional Total	67,870	75,935	77,882	79,662	81,091
HIGHER EDUCATION					
City University of New York	52,623	37,780	35,090	35,735	35,114
Higher Education - Miscellaneous	169	198	198	198	198
Higher Education Services Corporation, New York State	13,380	13,314	13,314	13,314	13,314
State University of New York	3,753,286	3,882,964	4,001,982	4,272,489	4,267,977
Functional Total	3,819,458	3,934,256	4,050,584	4,321,736	4,316,603

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	<u>FY 2018 Results</u>	<u>FY 2019 Enacted</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>
EDUCATION					
Education, Department of	59,335	58,278	58,440	60,677	58,440
<i>All Other</i>	59,335	58,278	58,440	60,677	58,440
Functional Total	<u>59,335</u>	<u>58,278</u>	<u>58,440</u>	<u>60,677</u>	<u>58,440</u>
GENERAL GOVERNMENT					
Budget, Division of the	1,199	2,351	2,351	2,441	2,351
Civil Service, Department of	322	359	362	376	365
Deferred Compensation Board	375	378	381	381	381
Gaming Commission, New York State	31,990	34,475	34,475	35,801	34,475
General Services, Office of	3,200	858	858	897	871
State, Department of	18,893	18,771	18,771	19,497	18,771
Taxation and Finance, Department of	9,338	44,711	44,384	46,064	44,399
Workers' Compensation Board	85,117	84,993	82,104	86,209	82,104
Functional Total	<u>150,434</u>	<u>186,896</u>	<u>183,686</u>	<u>191,666</u>	<u>183,717</u>
ELECTED OFFICIALS					
Audit and Control, Department of	11,125	13,545	11,015	11,564	11,015
Judiciary	59,170	59,000	59,000	59,000	59,000
Law, Department of	36,981	30,321	30,380	31,936	30,380
Functional Total	<u>107,276</u>	<u>102,866</u>	<u>100,395</u>	<u>102,500</u>	<u>100,395</u>
ALL OTHER CATEGORIES					
Miscellaneous	2,160	(80,791)	(83,925)	(196,644)	(196,670)
Functional Total	<u>2,160</u>	<u>(80,791)</u>	<u>(83,925)</u>	<u>(196,644)</u>	<u>(196,670)</u>
TOTAL PERSONAL SERVICE SPENDING	<u><u>7,034,969</u></u>	<u><u>4,850,919</u></u>	<u><u>4,948,769</u></u>	<u><u>5,133,515</u></u>	<u><u>5,102,111</u></u>

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2018 Results	FY 2019 Enacted	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	2,002	2,430	2,430	2,434	18,230
Economic Development, Department of	1,482	1,847	1,847	1,847	1,847
Financial Services, Department of	55,233	52,212	55,068	55,366	55,072
Olympic Regional Development Authority	0	150	150	150	150
Public Service Department	9,517	8,677	8,727	7,729	7,727
Functional Total	68,234	65,316	68,222	67,526	83,026
PARKS AND THE ENVIRONMENT					
Environmental Conservation, Department of	25,463	17,579	16,409	14,810	14,791
Parks, Recreation and Historic Preservation, Office of	33,040	31,460	31,175	31,177	31,177
Functional Total	58,503	49,039	47,584	45,987	45,968
TRANSPORTATION					
Motor Vehicles, Department of	12,898	12,619	12,619	12,641	11,966
Transportation, Department of	4,941	6,466	6,525	6,529	6,525
Functional Total	17,839	19,085	19,144	19,170	18,491
HEALTH					
Aging, Office for the	0	1	1	1	1
Health, Department of	104,457	128,527	125,719	128,505	127,238
<i>Public Health</i>	104,457	128,527	125,719	128,505	127,238
Functional Total	104,457	128,528	125,720	128,506	127,239
SOCIAL WELFARE					
Children and Family Services, Office of	11,452	15,527	15,527	15,838	16,154
<i>OCFS</i>	11,452	15,527	15,527	15,838	16,154
Housing and Community Renewal, Division of	8,748	8,492	8,492	8,860	9,018
Labor, Department of	15,206	13,701	13,701	14,137	14,400
Temporary and Disability Assistance, Office of	1,228	1,700	1,700	1,700	1,700
<i>All Other</i>	1,228	1,700	1,700	1,700	1,700
Functional Total	36,634	39,420	39,420	40,535	41,272
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	25,044	6,456	6,471	6,471	6,486
<i>OASAS</i>	11,610	6,456	6,471	6,471	6,486
<i>OASAS - Other</i>	13,434	0	0	0	0
Justice Center	27	42	44	44	44
Mental Health, Office of	279,362	5,342	5,342	5,342	5,342
<i>OMH</i>	61,995	5,342	5,342	5,342	5,342
<i>OMH - Other</i>	217,367	0	0	0	0
Mental Hygiene, Department of	204	0	0	0	0
People with Developmental Disabilities, Office for	203,291	181	181	181	181
<i>OPWDD</i>	24	181	181	181	181
<i>OPWDD - Other</i>	203,267	0	0	0	0
Functional Total	507,928	12,021	12,038	12,038	12,053
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correctional Services, Department of	1,061	2,627	2,627	2,627	2,627
Criminal Justice Services, Division of	2,371	2,700	2,700	2,700	2,795
Homeland Security and Emergency Services, Division of	13,341	13,538	13,253	13,263	14,331
Indigent Legal Services, Office of	455	833	831	831	843
Military and Naval Affairs, Division of	2,103	1,207	1,207	1,207	1,303
State Police, Division of	30,368	31,700	26,700	26,729	26,790
Victim Services, Office of	530	775	775	775	788
Functional Total	50,229	53,380	48,093	48,132	49,477

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	<u>FY 2018 Results</u>	<u>FY 2019 Enacted</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>
HIGHER EDUCATION					
City University of New York	50,097	38,429	37,858	38,730	38,730
Higher Education - Miscellaneous	87	93	93	93	93
Higher Education Services Corporation, New York State	28,371	33,738	33,738	33,738	33,738
State University of New York	2,325,929	2,342,941	2,261,263	2,290,992	2,322,120
Functional Total	<u>2,404,484</u>	<u>2,415,201</u>	<u>2,332,952</u>	<u>2,363,553</u>	<u>2,394,681</u>
EDUCATION					
Education, Department of	29,141	25,864	25,870	25,929	25,872
<i>All Other</i>	29,141	25,864	25,870	25,929	25,872
Functional Total	<u>29,141</u>	<u>25,864</u>	<u>25,870</u>	<u>25,929</u>	<u>25,872</u>
GENERAL GOVERNMENT					
Budget, Division of the	1,470	2,693	2,693	2,696	2,693
Civil Service, Department of	356	428	436	437	441
Deferred Compensation Board	43	206	210	210	210
Elections, State Board of	44	0	0	0	0
Gaming Commission, New York State	58,168	39,891	39,891	39,927	39,891
General Services, Office of	1,926	3,182	3,182	3,248	3,288
Labor Management Committees	0	300	306	306	306
Prevention of Domestic Violence, Office for	0	5	5	5	5
Public Employment Relations Board	28	44	45	45	45
State, Department of	14,156	12,857	12,858	12,882	12,858
Taxation and Finance, Department of	5,556	32,633	31,080	30,514	30,456
Workers' Compensation Board	55,854	58,330	63,089	64,304	64,304
Functional Total	<u>137,601</u>	<u>150,569</u>	<u>153,795</u>	<u>154,574</u>	<u>154,497</u>
ELECTED OFFICIALS					
Audit and Control, Department of	3,174	6,094	5,449	5,556	5,556
Judiciary	55,103	53,000	53,000	53,000	53,000
Law, Department of	40,539	41,537	42,692	43,525	43,525
Legislature	1,160	950	950	950	950
Functional Total	<u>99,976</u>	<u>101,581</u>	<u>102,091</u>	<u>103,031</u>	<u>103,031</u>
ALL OTHER CATEGORIES					
Miscellaneous	1,495	(243,471)	(242,960)	(258,458)	(258,458)
Functional Total	<u>1,495</u>	<u>(243,471)</u>	<u>(242,960)</u>	<u>(258,458)</u>	<u>(258,458)</u>
TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING	<u><u>3,516,521</u></u>	<u><u>2,816,533</u></u>	<u><u>2,731,969</u></u>	<u><u>2,750,523</u></u>	<u><u>2,797,149</u></u>

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	FY 2018 Results	FY 2019 Enacted	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	1,255	1,891	1,960	2,128	2,191
Economic Development, Department of	2	28	28	28	28
Financial Services, Department of	90,924	100,172	104,142	116,795	116,569
Public Service Department	32,395	26,761	27,682	29,298	30,958
Functional Total	124,576	128,852	133,812	148,249	149,746
PARKS AND THE ENVIRONMENT					
Environmental Conservation, Department of	45,573	49,598	46,267	46,946	47,814
Parks, Recreation and Historic Preservation, Office of	3,264	3,152	3,160	3,307	3,341
Functional Total	48,837	52,750	49,427	50,253	51,155
TRANSPORTATION					
Motor Vehicles, Department of	20,986	23,315	24,118	25,963	26,615
Transportation, Department of	1,720	2,145	2,198	2,417	2,459
Functional Total	22,706	25,460	26,316	28,380	29,074
HEALTH					
Health, Department of	31,713	32,872	34,001	35,744	36,982
<i>Public Health</i>	31,713	32,872	34,001	35,744	36,982
Functional Total	31,713	32,872	34,001	35,744	36,982
SOCIAL WELFARE					
Children and Family Services, Office of	1,658	1,764	1,794	1,824	1,824
<i>OCFS</i>	1,658	1,764	1,794	1,824	1,824
Housing and Community Renewal, Division of	16,045	3,070	3,070	3,070	6,299
Labor, Department of	20,895	20,487	20,487	20,487	23,005
Temporary and Disability Assistance, Office of	12	128	128	128	128
<i>All Other</i>	12	128	128	128	128
Functional Total	38,610	25,449	25,479	25,509	31,256
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	36,956	0	0	0	0
<i>OASAS</i>	14,792	0	1	0	0
<i>OASAS - Other</i>	22,164	0	(1)	0	0
Justice Center	649	874	918	976	1,043
Mental Health, Office of	650,727	0	0	0	0
<i>OMH</i>	193,397	0	0	0	0
<i>OMH - Other</i>	457,330	0	0	0	0
People with Developmental Disabilities, Office for	683,801	0	0	0	0
<i>OPWDD - Other</i>	683,801	0	0	0	0
Functional Total	1,372,133	874	918	976	1,043
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correctional Services, Department of	117	106	106	106	106
Criminal Justice Services, Division of	35	35	35	35	35
Homeland Security and Emergency Services, Division of	615	809	817	835	905
Indigent Legal Services, Office of	1,069	1,906	2,003	2,117	2,239
Military and Naval Affairs, Division of	6	9	9	9	10
State Police, Division of	14,072	23,900	24,810	25,153	25,323
Victim Services, Office of	1,638	1,650	1,650	1,650	1,683
Functional Total	17,552	28,415	29,430	29,905	30,301
HIGHER EDUCATION					
City University of New York	145	7,892	7,892	7,892	7,892
Higher Education - Miscellaneous	81	150	150	150	150
Higher Education Services Corporation, New York State	8,131	8,958	8,958	8,958	8,958
State University of New York	430,860	474,407	504,024	540,563	544,967
Functional Total	439,217	491,407	521,024	557,563	561,967

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	<u>FY 2018 Results</u>	<u>FY 2019 Enacted</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>
EDUCATION					
Education, Department of	34,543	37,463	38,792	42,452	43,195
<i>All Other</i>	34,543	37,463	38,792	42,452	43,195
Functional Total	<u>34,543</u>	<u>37,463</u>	<u>38,792</u>	<u>42,452</u>	<u>43,195</u>
GENERAL GOVERNMENT					
Budget, Division of the	712	1,657	1,657	1,717	1,657
Civil Service, Department of	180	229	239	263	270
Deferred Compensation Board	224	205	205	205	205
Gaming Commission, New York State	16,540	17,575	17,575	18,465	17,575
General Services, Office of	369	548	567	627	644
State, Department of	10,433	12,110	12,524	13,743	14,003
Taxation and Finance, Department of	22,635	27,264	27,063	28,183	27,072
Workers' Compensation Board	52,395	53,220	53,402	57,863	57,863
Functional Total	<u>103,488</u>	<u>112,808</u>	<u>113,232</u>	<u>121,066</u>	<u>119,289</u>
ELECTED OFFICIALS					
Audit and Control, Department of	1,677	2,135	2,385	2,417	2,417
Judiciary	28,149	29,600	29,600	29,600	29,600
Law, Department of	16,578	19,302	20,531	21,564	21,564
Functional Total	<u>46,404</u>	<u>51,037</u>	<u>52,516</u>	<u>53,581</u>	<u>53,581</u>
ALL OTHER CATEGORIES					
Miscellaneous	1,293	1,323	1,323	1,341	1,341
Functional Total	<u>1,293</u>	<u>1,323</u>	<u>1,323</u>	<u>1,341</u>	<u>1,341</u>
TOTAL GENERAL STATE CHARGES SPENDING	<u><u>2,281,072</u></u>	<u><u>988,710</u></u>	<u><u>1,026,270</u></u>	<u><u>1,095,019</u></u>	<u><u>1,108,930</u></u>

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
LOCAL ASSISTANCE
(thousands of dollars)**

	FY 2018 Results	FY 2019 Enacted	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Economic Development, Department of	6,893	8,055	8,055	8,055	8,055
Functional Total	6,893	8,055	8,055	8,055	8,055
PARKS AND THE ENVIRONMENT					
Parks, Recreation and Historic Preservation, Office of	2,368	1,270	1,270	1,270	1,270
Functional Total	2,368	1,270	1,270	1,270	1,270
TRANSPORTATION					
Motor Vehicles, Department of	13,233	18,000	18,000	18,000	18,000
Transportation, Department of	46,699	42,504	42,504	42,504	42,504
Functional Total	59,932	60,504	60,504	60,504	60,504
HEALTH					
Aging, Office for the	97,545	95,646	96,946	98,694	98,694
Health, Department of	43,738,587	44,369,288	45,154,531	45,991,530	47,185,733
<i>Medical Assistance</i>	36,887,011	37,887,663	38,723,243	39,835,403	41,018,324
<i>Essential Plan</i>	3,874,925	3,973,463	3,997,797	4,012,207	4,023,489
<i>Medicaid Administration</i>	430,377	404,436	404,436	404,436	404,436
<i>Public Health</i>	2,546,274	2,103,726	2,029,055	1,739,484	1,739,484
Functional Total	43,836,132	44,464,934	45,251,477	46,090,224	47,284,427
SOCIAL WELFARE					
Children and Family Services, Office of	1,156,214	838,300	838,300	838,300	838,300
<i>OCFS</i>	1,156,214	838,300	838,300	838,300	838,300
Housing and Community Renewal, Division of	57,455	48,434	48,434	48,434	48,434
Labor, Department of	148,578	151,892	151,892	151,892	151,892
Temporary and Disability Assistance, Office of	3,000,612	3,443,576	3,443,576	3,443,576	3,443,576
<i>Welfare Assistance</i>	2,160,566	2,626,576	2,626,576	2,626,576	2,626,576
<i>All Other</i>	840,046	817,000	817,000	817,000	817,000
Functional Total	4,362,859	4,482,202	4,482,202	4,482,202	4,482,202
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	108,802	114,490	114,490	114,490	114,490
<i>OASAS</i>	108,802	114,490	114,490	114,490	114,490
Mental Health, Office of	30,762	39,979	39,979	32,025	32,025
<i>OMH</i>	30,762	39,979	39,979	32,025	32,025
Functional Total	139,564	154,469	154,469	146,515	146,515
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Criminal Justice Services, Division of	14,131	15,800	15,800	15,800	15,800
Homeland Security and Emergency Services, Division of	1,342,908	923,771	819,771	828,771	828,771
State Police, Division of	62	0	0	0	0
Victim Services, Office of	31,941	47,000	47,000	47,000	47,094
Functional Total	1,389,042	986,571	882,571	891,571	891,665
HIGHER EDUCATION					
Higher Education Services Corporation, New York State	62	0	0	0	0
State University of New York	750	0	0	0	0
Functional Total	812	0	0	0	0
EDUCATION					
Arts, Council on the	540	600	600	600	600
Education, Department of	3,182,323	3,541,557	3,594,065	3,647,645	3,647,645
<i>School Aid</i>	2,360,873	2,769,850	2,817,358	2,865,938	2,865,938
<i>Special Education Categorical Programs</i>	737,695	690,000	695,000	700,000	700,000
<i>All Other</i>	83,755	81,707	81,707	81,707	81,707
Functional Total	3,182,863	3,542,157	3,594,665	3,648,245	3,648,245
GENERAL GOVERNMENT					
Elections, State Board of	276	0	0	0	0
General Services, Office of	0	250	250	250	250

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
LOCAL ASSISTANCE
(thousands of dollars)**

	<u>FY 2018 Results</u>	<u>FY 2019 Enacted</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>
State, Department of	55,864	57,957	57,957	57,957	57,957
Functional Total	<u>56,140</u>	<u>58,207</u>	<u>58,207</u>	<u>58,207</u>	<u>58,207</u>
ALL OTHER CATEGORIES					
Miscellaneous	(442,132)	(496,665)	(467,938)	(467,938)	(467,938)
Functional Total	<u>(442,132)</u>	<u>(496,665)</u>	<u>(467,938)</u>	<u>(467,938)</u>	<u>(467,938)</u>
TOTAL LOCAL ASSISTANCE SPENDING	<u><u>52,594,473</u></u>	<u><u>53,261,704</u></u>	<u><u>54,025,482</u></u>	<u><u>54,918,855</u></u>	<u><u>56,113,152</u></u>

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	FY 2018 Results	FY 2019 Enacted	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	3,469	3,413	3,413	3,413	3,413
Public Service Department	1,609	1,202	1,202	1,202	1,202
Functional Total	5,078	4,615	4,615	4,615	4,615
PARKS AND THE ENVIRONMENT					
Environmental Conservation, Department of	25,065	27,808	27,808	28,876	28,876
Parks, Recreation and Historic Preservation, Office of	2,020	1,123	1,223	1,367	1,367
Functional Total	27,085	28,931	29,031	30,243	30,243
TRANSPORTATION					
Motor Vehicles, Department of	1,463	3,735	3,735	3,879	3,879
Transportation, Department of	9,161	10,484	10,484	10,887	10,484
Functional Total	10,624	14,219	14,219	14,766	14,363
HEALTH					
Aging, Office for the	5,976	6,521	6,657	6,799	6,799
Health, Department of	100,651	106,633	110,421	115,642	112,001
<i>Medicaid Administration</i>	32,027	49,954	53,827	56,933	55,524
<i>Public Health</i>	68,624	56,679	56,594	58,709	56,477
Medicaid Inspector General, Office of the	15,817	15,130	15,130	15,744	15,130
Functional Total	122,444	128,284	132,208	138,185	133,930
SOCIAL WELFARE					
Children and Family Services, Office of	26,445	29,247	29,247	30,451	31,060
<i>OCFS</i>	26,445	29,247	29,247	30,451	31,060
Housing and Community Renewal, Division of	5,943	7,595	7,595	8,125	8,288
Human Rights, Division of	3,653	3,135	3,135	3,354	3,421
Labor, Department of	172,236	159,866	159,866	171,796	175,232
National and Community Service	259	377	377	390	398
Temporary and Disability Assistance, Office of	88,678	77,755	77,755	77,755	79,311
<i>All Other</i>	88,678	77,755	77,755	77,755	79,311
Functional Total	297,214	277,975	277,975	291,871	297,710
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	5,107	3,086	3,071	3,004	2,964
<i>OASAS</i>	5,107	3,086	3,071	3,004	2,964
Developmental Disabilities Planning Council	1,007	1,266	1,266	1,266	1,266
Justice Center	309	228	228	228	229
Mental Health, Office of	2,036	813	813	813	813
<i>OMH</i>	2,036	813	813	813	813
Functional Total	8,459	5,393	5,378	5,311	5,272
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correctional Services, Department of	26,314	15,753	15,753	15,753	15,753
Criminal Justice Services, Division of	5,942	4,602	4,602	4,681	4,681
Homeland Security and Emergency Services, Division of	11,288	15,000	15,000	15,000	15,000
Military and Naval Affairs, Division of	21,400	21,032	21,032	21,032	21,032
State Police, Division of	10,046	11,975	11,975	12,265	12,403
Victim Services, Office of	1,860	1,658	1,658	1,709	1,743
Functional Total	76,850	70,020	70,020	70,440	70,612
HIGHER EDUCATION					
Higher Education Services Corporation, New York State	0	836	836	836	836
State University of New York	7,963	7,229	7,229	7,229	7,229
Functional Total	7,963	8,065	8,065	8,065	8,065
EDUCATION					
Education, Department of	85,894	84,486	84,486	87,737	87,737
<i>All Other</i>	85,894	84,486	84,486	87,737	87,737
Functional Total	85,894	84,486	84,486	87,737	87,737

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	<u>FY 2018 Results</u>	<u>FY 2019 Enacted</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>
GENERAL GOVERNMENT					
Elections, State Board of	99	0	0	0	0
Prevention of Domestic Violence, Office for	23	0	0	0	0
State, Department of	3,010	3,758	3,758	3,758	3,758
Veterans' Affairs, Division of	504	804	804	826	843
Functional Total	<u>3,636</u>	<u>4,562</u>	<u>4,562</u>	<u>4,584</u>	<u>4,601</u>
ELECTED OFFICIALS					
Judiciary	1,773	2,200	2,200	2,200	2,200
Law, Department of	20,135	20,260	20,329	21,345	21,345
Functional Total	<u>21,908</u>	<u>22,460</u>	<u>22,529</u>	<u>23,545</u>	<u>23,545</u>
TOTAL PERSONAL SERVICE SPENDING	<u>667,155</u>	<u>649,010</u>	<u>653,088</u>	<u>679,362</u>	<u>680,693</u>

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2018 Results	FY 2019 Enacted	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	10,059	9,672	9,641	9,633	9,633
Economic Development, Department of	486	245	245	245	245
Financial Services, Department of	254	1,400	1,400	1,400	1,400
Public Service Department	698	40	40	40	40
Functional Total	11,497	11,357	11,326	11,318	11,318
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	0	350	350	350	350
Environmental Conservation, Department of	14,727	17,312	17,312	17,326	17,326
Parks, Recreation and Historic Preservation, Office of	2,756	1,145	1,145	1,147	1,147
Functional Total	17,483	18,807	18,807	18,823	18,823
TRANSPORTATION					
Motor Vehicles, Department of	2,820	3,813	3,813	3,824	3,824
Transportation, Department of	8,450	13,585	13,585	13,610	13,585
Functional Total	11,270	17,398	17,398	17,434	17,409
HEALTH					
Aging, Office for the	5,624	3,279	4,348	4,348	4,348
Health, Department of	615,128	668,879	712,738	673,708	649,296
<i>Medicaid Administration</i>	306,994	470,870	516,150	478,955	455,102
<i>Public Health</i>	308,134	198,009	196,588	194,753	194,194
Medicaid Inspector General, Office of the	2,993	4,934	4,934	4,976	4,976
Functional Total	623,745	677,092	722,020	683,032	658,620
SOCIAL WELFARE					
Children and Family Services, Office of	53,142	66,991	66,991	68,028	69,354
<i>OCFS</i>	53,142	66,991	66,991	68,028	69,354
Housing and Community Renewal, Division of	2,374	2,709	2,709	3,155	3,293
Human Rights, Division of	1,494	1,287	1,287	1,313	1,339
Labor, Department of	58,129	75,632	75,632	78,546	81,686
National and Community Service	14,068	15,268	15,268	15,573	15,884
Temporary and Disability Assistance, Office of	72,021	77,698	77,698	77,698	79,138
<i>All Other</i>	72,021	77,698	77,698	77,698	79,138
Functional Total	201,228	239,585	239,585	244,313	250,694
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	1,904	2,227	2,263	2,261	2,314
<i>OASAS</i>	1,904	2,227	2,263	2,261	2,314
Developmental Disabilities Planning Council	2,501	2,149	2,149	2,149	2,149
Justice Center	860	536	536	536	549
Mental Health, Office of	411	555	555	555	555
<i>OMH</i>	411	555	555	555	555
People with Developmental Disabilities, Office for	398	1,000	1,000	1,000	1,000
<i>OPWDD</i>	398	1,000	1,000	1,000	1,000
Functional Total	6,074	6,467	6,503	6,501	6,567
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correctional Services, Department of	524	1,191	1,191	1,191	1,191
Criminal Justice Services, Division of	7,676	4,249	4,249	4,249	4,249
Homeland Security and Emergency Services, Division of	17,581	18,432	18,432	18,432	18,432
Military and Naval Affairs, Division of	15,948	15,198	15,502	15,812	15,812
State Police, Division of	12,700	13,000	13,000	13,000	13,400
Victim Services, Office of	1,071	512	512	512	522
Functional Total	55,500	52,582	52,886	53,196	53,606

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	<u>FY 2018 Results</u>	<u>FY 2019 Enacted</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>
HIGHER EDUCATION					
City University of New York	81	7,634	7,634	7,634	7,634
Higher Education Services Corporation, New York State	5,133	5,797	5,797	5,797	5,797
State University of New York	333,121	304,760	304,760	304,760	304,760
Functional Total	<u>338,335</u>	<u>318,191</u>	<u>318,191</u>	<u>318,191</u>	<u>318,191</u>
EDUCATION					
Arts, Council on the	0	100	100	100	100
Education, Department of	74,958	64,901	64,901	65,381	65,381
<i>All Other</i>	74,958	64,901	64,901	65,381	65,381
Functional Total	<u>74,958</u>	<u>65,001</u>	<u>65,001</u>	<u>65,481</u>	<u>65,481</u>
GENERAL GOVERNMENT					
Elections, State Board of	3,763	0	0	0	0
General Services, Office of	8,062	8,032	8,032	8,032	8,032
State, Department of	1,442	4,046	4,046	4,046	4,046
Taxation and Finance, Department of	1,180	1,220	1,220	1,220	1,220
Technology, Office for	3,126	0	0	0	0
Veterans' Affairs, Division of	104	564	564	575	587
Functional Total	<u>17,677</u>	<u>13,862</u>	<u>13,862</u>	<u>13,873</u>	<u>13,885</u>
ELECTED OFFICIALS					
Judiciary	4,352	7,800	7,800	7,800	7,800
Law, Department of	6,973	10,659	8,293	8,447	8,447
Functional Total	<u>11,325</u>	<u>18,459</u>	<u>16,093</u>	<u>16,247</u>	<u>16,247</u>
ALL OTHER CATEGORIES					
Miscellaneous	(57)	0	0	0	0
Functional Total	<u>(57)</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING	<u>1,369,035</u>	<u>1,438,801</u>	<u>1,481,672</u>	<u>1,448,409</u>	<u>1,430,841</u>

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	FY 2018 Results	FY 2019 Enacted	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	2,040	2,132	2,151	2,273	2,406
Public Service Department	1,788	1,723	1,723	1,723	1,723
Functional Total	3,828	3,855	3,874	3,996	4,129
PARKS AND THE ENVIRONMENT					
Environmental Conservation, Department of	16,621	15,145	15,145	15,571	15,571
Parks, Recreation and Historic Preservation, Office of	0	0	0	24	24
Functional Total	16,621	15,145	15,145	15,595	15,595
TRANSPORTATION					
Motor Vehicles, Department of	855	720	720	806	806
Transportation, Department of	4,350	6,550	6,607	7,252	7,389
Functional Total	5,205	7,270	7,327	8,058	8,195
HEALTH					
Health, Department of	36,009	45,639	47,300	50,421	50,698
<i>Medicaid Administration</i>	2,834	6,215	6,642	7,049	7,356
<i>Public Health</i>	33,175	39,424	40,658	43,372	43,342
Medicaid Inspector General, Office of the	9,354	9,868	10,231	11,007	11,007
Functional Total	45,363	55,507	57,531	61,428	61,705
SOCIAL WELFARE					
Children and Family Services, Office of	11,206	10,713	11,231	12,240	12,240
<i>OCFS</i>	11,206	10,713	11,231	12,240	12,240
Housing and Community Renewal, Division of	3,500	4,005	4,005	4,005	5,501
Labor, Department of	100,504	95,383	96,193	96,193	117,217
National and Community Service	0	229	236	242	242
Temporary and Disability Assistance, Office of	52,383	44,370	44,370	44,370	52,638
<i>All Other</i>	52,383	44,370	44,370	44,370	52,638
Functional Total	167,593	154,700	156,035	157,050	187,838
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	0	1,970	2,028	2,097	2,190
<i>OASAS</i>	0	1,970	2,028	2,097	2,190
Developmental Disabilities Planning Council	870	785	785	785	785
Justice Center	60	64	64	64	65
Mental Health, Office of	1,166	456	469	469	469
<i>OMH</i>	1,166	456	469	469	469
Functional Total	2,096	3,275	3,346	3,415	3,509
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correctional Services, Department of	1,094	1,041	1,041	1,041	1,041
Criminal Justice Services, Division of	617	348	348	348	348
Homeland Security and Emergency Services, Division of	6,654	7,064	7,064	7,064	7,064
Military and Naval Affairs, Division of	5,769	6,498	6,620	6,745	6,745
State Police, Division of	1,745	1,500	1,500	1,500	1,500
Functional Total	15,879	16,451	16,573	16,698	16,698
HIGHER EDUCATION					
Higher Education Services Corporation, New York State	0	1	1	1	1
State University of New York	25	51	51	51	51
Functional Total	25	52	52	52	52
EDUCATION					
Education, Department of	50,773	50,935	52,426	56,000	56,000
<i>All Other</i>	50,773	50,935	52,426	56,000	56,000
Functional Total	50,773	50,935	52,426	56,000	56,000
GENERAL GOVERNMENT					
State, Department of	1,780	2,809	2,810	2,811	2,812
Veterans' Affairs, Division of	295	240	255	269	269
Functional Total	2,075	3,049	3,065	3,080	3,081

CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
GENERAL STATE CHARGES
 (thousands of dollars)

	<u>FY 2018 Results</u>	<u>FY 2019 Enacted</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>
ELECTED OFFICIALS					
Judiciary	431	400	400	400	400
Law, Department of	<u>11,667</u>	<u>12,729</u>	<u>12,680</u>	<u>13,669</u>	<u>13,669</u>
Functional Total	<u>12,098</u>	<u>13,129</u>	<u>13,080</u>	<u>14,069</u>	<u>14,069</u>
 TOTAL GENERAL STATE CHARGES SPENDING	 <u>321,556</u>	 <u>323,368</u>	 <u>328,454</u>	 <u>339,441</u>	 <u>370,871</u>

General Fund Transfers From Other Funds
(thousands of dollars)

SFS Fund	Account Name	FY 2018 Results	FY 2019 Enacted	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
RBTF - Dedicated PIT in excess of Debt Service		10,908,781	23,713,960	24,260,484	24,807,258	25,587,967
STBF - Sales Tax Bond Fund		2,762,646	2,756,996	2,896,744	2,750,357	2,958,203
LGAC - Dedicated Sales Tax in excess of Debt Service		3,098,439	3,155,874	3,292,686	3,542,241	3,810,136
CWCA - Real Estate Transfer Tax in excess of Debt Service		943,564	998,430	1,056,121	1,096,556	1,139,814
Total All Other Transfers		921,708	2,838,062	2,254,417	1,815,888	1,763,551
339.21982	Administration Program	296	1,301	1,301	1,301	1,301
339.22091	Adult Home Quality Enhancement Account	21	21	21	21	21
339.22110	Assisted Living Residence Quality Oversight Account	9	9	9	9	9
339.22138	Authority Budget Office Account	45	45	45	45	45
339.22003	Bell Jar Collection Account	639	0	0	0	0
339.21977	Business and Licensing Services Account	3,785	52,145	51,841	51,225	49,495
339.21971	Cable Television Account	0	2,500	0	0	0
339.21920	Certificate of Need Account	1,292	1,086	1,086	1,086	1,086
346.22700	Chemical Dependence Services	3,500	3,000	0	0	0
061.20810	Child Health Insurance Account	30	0	0	0	0
025.20401	Child Performer Protection Account	87	0	0	0	0
334.55055	Civil Service Administration Account	1,400	1,651	1,651	1,651	1,651
396.55301	Civil Service EBD Administration Reimbursement Account	0	639	639	639	639
339.21962	Clinical Laboratory Reference Fee Account	786	289	289	289	289
501.23702	Commercial Gaming Regulation	0	2	2	2	2
339.21922	Continuing Care Retirement Community Account	2	2	2	2	2
397.55350	Correctional Industries Account	227	357	357	357	357
339.21945	Criminal Justice Improvement Account	8,847	8,596	8,596	8,596	8,596
339.22042	DED Marketing Account	131	131	131	131	131
072.30050	Dedicated Highway and Bridge Trust Fund	57,208	57,567	57,567	57,567	57,567
339.21923	Department of Labor Fee and Penalty Account	3,252	0	0	0	0
323.55010	Design and Construction Account	0	1,866	1,866	1,866	1,866
486.26000	DOL Federal Grants	1,571	0	0	0	0
366.23102	Drinking Water Program Management and Administration - Health Account	0	1,108	1,108	1,108	1,108
061.20809	Emergency Medical Services Training Account	216	131	131	131	131
301.21080	EnCon Magazine Acct	150	150	150	150	150
339.21959	Environmental Laboratory Fee Account	189	131	131	131	131
301.21081	Environmental Regulatory Account	2,835	2,835	2,835	2,835	2,835
061.20818	EPIC Premium Account - HCRA	33	0	0	0	0
307.21351	Equipment Loan Fund Account	0	7	7	7	7
339.22065	Examination and Miscellaneous Revenue Account	1,600	1,961	1,961	1,961	1,961
267.25200	Federal Education Fund	420	1,569	1,569	1,569	1,569
301.21065	Federal Grant Indirect Cost Recovery Account	1,041	1,041	1,041	1,041	1,041
291.313DD	Federal Grants - Capital	220	0	0	0	0
265.25100	Federal Health and Human Services Fund	97,240	117,423	103,423	103,423	103,423
290.25300	Federal Operating Grants Fund	2,761	23,076	576	576	576
261.25000	Federal USDA/Food and Nutrition Services Fund	51,392	33,801	33,801	33,801	33,801
339.21950	Fingerprint Identification & Technology Account	12,135	20,543	20,543	20,543	20,543
339.21904	Fire Prevention and Code Enforcement Account	0	14,810	14,810	14,810	14,810
339.22075	Funeral Directing Program Account	24	8	8	8	8
312.31500	Hazardous Waste Remedial Fund	24,100	25,200	25,200	25,200	25,200
061.20821	Health Care Delivery Administration Account	16	0	0	0	0
HCT.HCTXX	Health Care Transformation Account	0	500,000	500,000	154,000	118,000
396.55300	Health Insurance Internal Services Account	3,704	3,428	3,428	3,428	3,428
061.20819	Health Occup Dev Wkpl Dem	57	0	0	0	0
502.23755	Health Operation and Oversight Account	612	0	0	0	0
339.22140	Helen Hayes Hospital Account	0	299	299	299	299
339.21960	HESC - Insurance Premium Payments	12,903	16,221	15,827	15,827	15,827
339.22090	Housing Indirect Cost Recovery Account	201	201	201	201	201
301.21060	Indirect Charges Account	2,608	2,085	2,085	2,085	2,085
334.55071	Labor Contact Center Account	125	0	0	0	0
339.22096	Legal Services Assistance Fund	9,545	9,830	9,830	9,830	9,830
052.20501	Local Government Records Management Account	782	782	782	782	782
339.22097	Local Public Health Services Account	10	5	5	5	5
160.20902	Lottery Administration - New	5,521	4,204	4,204	4,204	4,204
301.21066	Low Level Radioactive Waste Account	103	103	103	103	103
304.40100	Mental Health Services Fund	0	1,286,414	998,799	894,172	866,189
339.21909	Mental Hygiene Patient Income Account	0	37,958	0	0	0
313.21402	Metropolitan Mass Transportation Operating Assistance Account	1,886	0	0	0	0
225.23652	Metropolitan Transportation Authority Aid Trust Account	33	225	225	225	225
020.20176	Miscellaneous Gifts Account Capital	1	0	0	0	0
314.21452	Mobile Source Account	5,146	6,404	6,404	6,404	6,404
225.23651	Mobility Tax Trust Account	1,016	2,000	2,000	2,000	2,000
339.22144	Montrose State Veterans Home	0	67	67	67	67
354.22801	Motor Vehicle Theft and Insurance Fraud Account	4,300	1,100	1,100	1,100	1,100
339.22062	New York City Assessment Account	48,000	0	0	0	0
339.22142	New York State Home for Veterans and their Dependents (Oxford) Account	0	119	119	119	119
339.22141	NYC Veterans Home (St. Albans) Account	0	107	107	107	107
339.22177	Occupational Health Clinic Account	21	0	0	0	0
305.21252	Occupational Safety and Health Inspection Account	827	0	0	0	0
305.21251	Occupational Safety and Health Training and Education Account	1,106	0	0	0	0
339.22051	Office of the Professions Account	2,777	2,777	2,777	2,777	2,777
323.5502Y	OGS Building Administration Account - Internal Service	11	0	0	0	0
339.219YL	OGS Building Administration Account - Special Revenue State	2,043	0	0	0	0
323.5502X	OGS Executive Direction Account	1,867	105	105	105	105

General Fund Transfers From Other Funds
(thousands of dollars)

SFS Fund	Account Name	FY 2018 Results	FY 2019 Enacted	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
323.550ZZ	OGS Standards and Purchase Account - Internal Service	21	0	0	0	0
339.219YN	OGS Standards and Purchase Account - Special Revenue State	3,029	3,000	3,000	3,000	3,000
346.OPTRX	Opioid Prevention, Treatment and Recovery	0	100,000	100,000	100,000	100,000
331.OGSPS	Parking Services	0	1,000	1,000	1,000	1,000
339.22163	Patron Services Account	1,568	1,568	1,568	1,568	1,568
061.20816	Pilot Health Insurance	0	102	102	102	102
061.20814	Primary Care Initiatives Account	15	158	158	158	158
S01.23703	Problem Gambling Services	0	1,500	0	0	0
339.22088	Professional Medical Conduct Account	874	291	291	291	291
339.22123	Public Safety Communication Account	53,651	5,161	5,161	5,161	5,161
339.22011	Public Service Account	4,613	5,671	5,671	5,671	5,671
339.21998	Public Work Enforcement	3,316	0	0	0	0
339.21965	Radiological Health Protection	352	216	216	216	216
339.21944	Radiology Emergency Preparedness Account	1,350	1,350	1,350	1,350	1,350
339.21993	Radon Detection Device Account	2	2	2	2	2
301.21067	Recreation Account	200	200	200	200	200
339.22046	Regulation of Indian Gaming Account	0	329	329	329	329
339.22021	Regulation of Manufactured Housing Account	20	20	20	20	20
339.21912	Regulation of Racing Account	0	458	458	458	458
339.22156	Rent Revenue Other - New York City	7,449	7,449	7,449	7,449	7,449
339.21900	Reserve for Transaction Risks	0	(250,000)	(250,000)	(250,000)	(250,000)
339.22024	Revenue Arrearage Account	8,645	18,677	18,677	18,677	18,677
339.22028	State Central Register Account	1,822	1,822	1,822	1,822	1,822
354.22802	State Police Motor Vehicle Enforcement Account	113,420	115,420	112,420	112,420	112,420
345.22653	State University General IFR Account	176,384	32,000	32,000	32,000	32,000
345.22656	State University Hospital IFR Operations Account	40,000	42,269	37,707	40,369	53,745
339.21902	Statewide Planning and Research Cooperative System (SPARCS) Account	1,122	4,214	4,214	4,214	4,214
384.32400	SU Capital Projects Fund	1	0	0	0	0
339.22162	Systems and Technology Account	4,502	5,320	5,320	5,320	5,320
061.20801	Tobacco Control and Cancer Services Account	128	0	0	0	0
339.22055	Traffic Adjudication Account	1,401	0	2,288	2,288	2,288
339.21961	Training Management and Evaluation Account	0	8	8	8	8
339.21933	Transportation Surplus Property Account	1,803	1,803	1,803	1,803	1,803
339.22169	Tribal State Compact Revenue Account	0	207,200	126,600	126,600	126,600
339.22044	Tug Hill Administrative Account	10	10	10	10	10
050.20451	Tuition Reimbursement Account	23	23	23	23	23
339.22172	Underground Facilities Safety Training Account	175	175	175	175	175
480.25900	Unemployment Insurance Administration Fund	28,317	50,569	50,569	50,569	50,569
482.23601	Unemployment Insurance Special Interest and Penalty Fund	3,328	11,583	11,583	11,583	11,583
339.22103	Vital Records Management Account	2,807	2,405	2,405	2,405	2,405
160.20903	VLT Administration Account	0	666	666	666	666
365.23051	Vocational Rehabilitation Fund	32	32	32	32	32
050.20452	Vocational School Supervision Account	297	0	0	0	0
339.21995	Workers' Compensation Account	13,326	16,352	16,352	16,352	16,352
339.22186	Youth Facilities Per Diem Account	65,000	199,604	71,604	81,656	81,656
		18,635,138	33,463,322	33,760,452	34,012,300	35,259,671

General Fund Transfers To Other Funds
(thousands of dollars)

SFS Fund	Account Name	FY 2018 Results	FY 2019 Enacted	FY 2020 Projected	FY 2021 Projected	FY 2022 Projected
	Transfers to State Share of Mental Hygiene Medicaid¹	1,333,315	0	0	0	0
	Transfers to Debt Service Funds	1,047,221	827,262	947,907	1,016,893	876,406
	Transfers to Capital Projects Funds	2,190,643	3,256,863	3,567,489	3,292,005	2,896,817
	Transfers to SUNY University Operations	1,014,993	1,033,901	1,024,541	1,021,339	1,021,339
	Total All Other Transfers	4,265,316	1,122,288	1,103,241	1,182,139	1,322,609
020.20143	Alzheimers Disease Assistance	271	270	270	270	270
334.55057	Banking Services Account	40,514	60,109	53,435	53,435	53,435
339.22032	Batavia School For the Blind Account	900	900	900	900	900
020.20155	Breast Cancer Research and Education Account	412	500	500	500	500
323.55022	Business Services Center	0	6,000	6,000	6,000	6,000
334.55069	Centralized Technology Services Account	8,960	13,960	11,460	11,460	11,460
054.20601	Charter School Stimulus Account	4,837	4,837	4,837	4,837	4,837
020.20100	Combined Expendable Trust Fund	0	179,300	149,300	149,300	149,300
397.55350	Correctional Industries Account	12,000	20,773	20,773	20,773	20,773
340.22501	Court Facility Income Account	109,396	110,000	109,000	110,000	110,000
339.22015	Crimes Against Revenue Program Account	2,000	0	0	0	0
073.20853	Dedicated Mass Transportation Non MTA	5,013	5,274	5,274	5,274	5,274
339.22056	Federal Salary Sharing Account	0	2,727	2,787	2,859	2,940
319.40300	Health Income Fund	15,259	16,079	16,079	16,079	16,079
396.55300	Health Insurance Internal Services Account	8,083	8,083	8,083	8,083	8,083
502.23755	Health Operation and Oversight Account	4,540	6,550	4,626	6,913	6,913
316.40250	Housing Debt Fund	1,006	1,000	1,000	1,000	1,000
390.23551	Indigent Legal Services	25,347	35,000	57,621	81,781	81,781
339.22157	Medicaid Income Account	211	0	0	0	0
339.21909	Mental Hygiene Patient Income Account ²	1,463,076	0	0	0	0
339.21907	Mental Hygiene Program Fund Account ²	1,664,165	0	0	0	0
313.21402	Metropolitan Mass Transportation Operating Assistance Account	20,975	21,175	21,175	21,175	21,175
225.23651	Mobility Tax Trust Account	268,436	268,885	269,255	269,634	270,023
334.55059	Neighbor Work Proj Acct	1,000	1,000	1,000	1,000	1,000
368.23151	NYC County Clerk Operations Offset Fund	3,656	4,400	4,400	4,400	4,400
323.5502X	OGS Executive Direction Account	21,783	21,783	21,783	21,783	21,783
020.20183	Prostate Cancer Research and Education	172	200	200	200	200
313.21401	Public Transportation Systems Operating Assistance Account	15,058	16,144	16,144	16,144	16,144
073.20852	Railroad Account	8,772	9,216	9,216	9,216	9,216
339.22171	Recruitment Incentive Account	2,087	2,087	2,087	2,087	2,087
339.22053	Rome School for the Deaf Account	1,032	1,020	1,020	1,020	1,020
339.21987	Spinal Cord Injury	8,500	8,500	8,500	8,500	8,500
345.22653	State University General IFR Account	13,540	0	0	0	0
345.22656	State University Hospital IFR Operations Account	483,317	243,000	243,000	243,000	243,000
339.22168	Tax Revenue Arrearage Account	1,500	1,500	1,500	1,500	1,500
073.20851	Transit Authorities Account	48,876	51,394	51,394	102,394	242,394
020.20128	WB Hoyt Memorial Trust Fund	622	622	622	622	622
		9,851,488	6,240,314	6,643,178	6,512,376	6,117,171

¹The State will continue to pay its share of Medicaid costs; however, after the reclassification of Mental Hygiene spending from the Mental Hygiene Program Fund and the Patient Income Account to the General Fund, the State Share of Mental Hygiene Medicaid will be transferred within the General Fund, rather than the Special Revenue Funds.

²The FY 2019 Enacted Budget reclassifies all spending from the Mental Hygiene Program Fund and the Patient Income Account, to the General Fund in order to conform cash basis reporting with GAAP accounting. Effective FY 2019, spending associated with Mental Hygiene agencies' will now be centrally accounted for in the General Fund. On a statewide basis, transactions related to the Mental Hygiene reclassification are technical in nature and have no impact on programmatic spending across the Mental Hygiene agencies.

FY 2018 SPECIAL REVENUE FUND BALANCE TRANSFERS
PURSUANT TO SECTION 16 of PART XXX OF CHAPTER 59 OF THE LAWS OF 2017
(in dollars)

<u>Sending Fund</u>		<u>Receiving Fund</u>	<u>Transfer Amount</u>
345.22653	State University General IFR Account	003 General Fund	165,832,433.00
345.22156	Rent Revenue Other - NYC	003 General Fund	7,334,000.00
346.22700	Chemical Dependence Services	003 General Fund	3,500,000.00
339.22051	Professional Education Services	003 General Fund	2,777,000.00
339.22103	Vital Records Management Account	003 General Fund	2,252,000.00
339.21933	Transportation Surplus Property	003 General Fund	1,803,000.00
339.21920	Certificate of Need Account	003 General Fund	1,086,000.00
354.22802	State Police Radios	003 General Fund	1,000,000.00
339.22007	Parking Services Acct	003 General Fund	1,000,000.00
339.21902	Statewide Planning and Research	003 General Fund	885,000.00
339.22162	Systems & Technology	003 General Fund	833,000.00
052.20501	Local Government Records Management Improvement	003 General Fund	782,000.00
301.21060	Indirect Charges Account	003 General Fund	523,000.00
050.20452	Proprietary Vocational School Supervision Fund	003 General Fund	297,000.00
339.21965	Radiological Health Protection Program Account	003 General Fund	216,000.00
339.22042	DED Marketing Account	003 General Fund	131,000.00
339.22138	Authority Budget Office	003 General Fund	45,000.00
365.23051	State VOC Rehad Fund 2	003 General Fund	32,000.00
050.20451	Tuition Reimbursement Fund	003 General Fund	23,000.00
339.22091	Adult Home Quality Enhancement Account	003 General Fund	21,000.00
339.22021	Regulation of Manufactured Housing Account	003 General Fund	20,000.00
339.22044	Tug Hill Administration Account	003 General Fund	10,000.00
339.22110	Assisted Living Residence Quality Oversight Account	003 General Fund	9,000.00
339.22075	Funeral Directing Account	003 General Fund	8,000.00
339.22097	Local Public Health Services Account	003 General Fund	5,000.00
339.21922	Continuing Care Retirement Community Account	003 General Fund	2,000.00
339.21993	Radon Detection Device Account	003 General Fund	2,000.00
		FY 2018 Total Transfers	<u>190,428,433.00</u>

CASH COMBINING STATEMENT
GENERAL FUND
FY 2018
(millions of dollars)

	General Fund	Stabilization Reserve Fund	Tax Contingency Reserve Fund	Community Projects Fund	Rainy Day Reserve Fund	Extraordinary Monetary Settlements	Refund Reserve	Debt Management	Escrow Account	Fringe Benefits	Eliminations	Total
Opening Fund Balance	0	1,258	21	56	540	0	5,374	500	0	0	0	7,749
Receipts:												
Taxes	49,656	0	0	0	0	0	0	0	0	0	0	49,656
Miscellaneous Receipts	2,351	0	0	0	0	778	0	0	0	0	0	3,129
Federal Grants	0	0	0	0	0	0	0	0	0	0	0	0
Total receipts	52,007	0	0	0	0	778	0	0	0	0	0	52,785
Disbursements:												
Local Assistance	46,062	0	0	10	0	0	0	0	0	0	0	46,072
State Operations	8,228	0	0	0	0	0	0	0	0	0	0	8,228
General State Charges	5,572	0	0	0	0	0	0	0	0	0	0	5,572
Debt Service	0	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0
Total disbursements	59,862	0	0	10	0	0	0	0	0	0	0	59,872
Other financing sources (uses):												
Transfers from Other Funds	72,626	0	0	0	0	5,335	2,245	0	2,798	(64,369)	0	18,635
Transfers to Other Funds	(64,771)	0	0	0	0	(1,093)	(5,559)	0	(2,798)	64,369	0	(9,852)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0
Net other financing sources (uses)	7,855	0	0	0	0	4,242	(3,314)	0	0	0	0	8,783
Change in Fund Balance	0	0	0	(10)	0	5,020	(3,314)	0	0	0	0	1,696
Closing Fund Balance	0	1,258	21	46	540	5,020	2,060	500	0	0	0	9,445

**CASH COMBINING STATEMENT
SPECIAL REVENUE FUNDS
FY 2018**
(thousands of dollars)

	MENTAL HEALTH GIFTS DONATIONS (20000-20099)	COMBINED EXPENDABLE TRUST (20100-20299)	NEW YORK STATE INTEREST ON LAWYER ACCOUNT (20300-20349)	NEW YORK STATE ARCHIVES PARTNERSHIP TRUST (20350-20399)	CHILD PERFORMER'S PROTECTION (20400-20449)	TUITION REIMBURSEMENT (20450-20499)	LOCAL GOVERNMENT RECORDS MANAGEMENT IMPROVEMENT (20500-20549)	SCHOOL TAX RELIEF (20550-20599)	CHARTER SCHOOL STIMULUS (20600-20649)	HEALTH CARE REFORM ACT RESOURCES (20800-20849)	DEDICATED MASS TRANSPORTATION TRUST (20850-20899)
Opening Fund Balance	2,280	59,928	41,036	61	55	6,581	4,308	0	6,563	11,906	80,919
Receipts:											
Taxes	0	0	0	0	0	0	0	2,589,144	0	828,749	464,646
Miscellaneous Receipts	109	8,820	22,597	187	115	4,344	9,163	0	51	5,044,082	144,792
Federal Grants	0	0	0	0	0	0	0	0	0	0	0
Total Receipts	109	8,820	22,597	187	115	4,344	9,163	2,589,144	51	5,872,831	609,438
Disbursements:											
Local Assistance	0	4,115	21,756	0	0	0	4,466	2,589,145	2,552	5,694,630	677,963
State Operations	54	1,904	1,431	290	301	2,509	1,914	0	3,235	29,428	0
General State Charges	0	137	494	166	158	1,098	880	0	0	4,905	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	54	6,156	23,681	456	459	3,607	7,260	2,589,145	5,787	5,728,963	677,963
Other Financing Sources (Uses):											
Transfers from Other Funds	0	1,477	0	300	400	0	0	0	4,837	0	62,661
Transfers to Other Funds	0	(1)	0	(42)	(87)	(583)	(1,303)	0	0	(141,201)	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	0	1,476	(1,084)	258	313	(583)	(1,303)	0	4,837	(141,201)	62,661
Change in Fund Balance	55	4,140	(1,084)	(11)	(31)	154	600	(1)	(899)	2,667	(5,864)
Closing Fund Balance	2,335	64,068	39,952	50	24	6,735	4,908	(1)	5,664	14,573	75,055

	STATE LOTTERY (20900-20949)	COMBINED STUDENT LOAN (20950-20999)	MTA FINANCIAL ASSISTANCE (23850-23899)	FEDERAL USDA/FOOD AND NUTRITION SERVICES (25000-25099)	FEDERAL HEALTH AND HUMAN SERVICES (25100-25199)	FEDERAL EDUCATION (25200-25249)	MISCELLANEOUS OPERATING GRANTS (25300-25899)	SEWAGE TREATMENT PROGRAM AND ADMINISTRATION (21000-21049)	ENCON SPECIAL REVENUE (21050-21149)	CONSERVATION (21150-21199)	ENVIRONMENTAL PROTECTION AND OIL SPILL COMPENSATION (21200-21249)
Opening Fund Balance	122,396	5,683	155,468	13,226	628,949	(10,810)	(174,705)	(3,499)	(13,886)	74,632	33,303
Receipts:											
Taxes	0	0	1,540,194	0	0	0	0	0	0	0	0
Miscellaneous Receipts	3,424,752	27,237	194,680	110,733	5,441	28	5,321	839	90,395	44,640	56,167
Federal Grants	0	157	0	2,261,407	49,544,534	2,723,446	1,738,576	0	0	0	0
Total Receipts	3,424,752	27,394	1,734,874	2,372,140	49,549,975	2,723,474	1,743,897	839	90,395	44,640	56,167
Disbursements:											
Local Assistance	3,361,342	0	1,992,000	2,195,795	46,643,915	2,110,422	1,495,940	0	0	0	0
State Operations	71,157	23,588	0	90,416	930,204	543,049	242,325	432	64,771	32,732	16,346
General State Charges	10,839	0	0	18,449	106,647	49,942	46,053	250	25,273	11,189	7,144
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	3,443,338	23,588	1,992,000	2,304,660	47,680,766	2,703,413	1,784,318	682	90,044	43,921	23,490
Other Financing Sources (Uses):											
Transfers from Other Funds	7,663	0	268,436	(55,256)	(2,127,610)	(10,340)	(12,553)	0	20,159	0	21,936
Transfers to Other Funds	(5,521)	0	(1,049)	0	0	0	0	0	0	(1,794)	(51,162)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	2,142	0	267,387	(55,256)	(2,127,610)	(10,340)	(12,553)	0	8,828	(1,794)	(25,226)
Change in Fund Balance	(16,444)	3,806	10,261	12,224	(258,401)	9,721	(52,974)	157	9,179	(1,075)	3,451
Closing Fund Balance	105,952	9,489	165,729	25,450	370,548	(1,089)	(227,679)	(3,342)	(4,707)	73,557	36,754

CASH COMBINING STATEMENT
SPECIAL REVENUE FUNDS
FY 2018
(thousands of dollars)

	TRAINING AND EDUCATION PROGRAM ON OCCUPATIONAL SAFETY AND HEALTH (21250-21289)	LAWYERS' FUND FOR CLIENT PROTECTION (21300-21349)	EQUIPMENT LOAN FUND FOR THE DISABLED (21350-21399)	MASS TRANSPORTATION OPERATING ASSISTANCE (21400-21449)	CLEAN AIR (21450-21499)	NEW YORK STATE INFRASTRUCTURE TRUST (21500-21549)	LEGISLATIVE COMPUTER SERVICES (21550-21599)	STATE UNIVERSITY DORMITORY INCOME (40350-40399)	COMBINED NON- EXPENDABLE TRUST (21650-21699)	WINTER SPORTS EDUCATION TRUST (21700-21749)	MUSICAL INSTRUMENT REVOLVING FUND (21750-21799)
Opening Fund Balance	2,374	4,212	530	100,622	(22,158)	68	10,717	238,245	458	0	1
Receipts:											
Taxes	0	0	0	2,213,120	0	0	0	0	0	0	0
Miscellaneous Receipts	47,659	15,063	32	17,699	39,505	1	1,368	325,475	4	0	0
Federal Grants	0	0	0	0	0	0	0	0	0	0	0
Total Receipts	47,659	15,063	32	2,230,819	39,505	1	1,368	325,475	4	0	0
Disbursements:											
Local Assistance	0	0	0	2,238,693	0	0	0	0	0	0	0
State Operations	32,803	11,408	24	3,319	25,585	0	1,160	0	1	0	0
General State Charges	11,314	237	0	1,720	12,174	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	44,117	11,645	24	2,243,732	37,759	0	1,160	0	1	0	0
Other Financing Sources (Uses):											
Transfers from Other Funds	350	0	0	36,033	0	0	0	0	0	0	0
Transfers to Other Funds	(1,933)	0	0	(106,013)	(5,146)	0	0	(323,486)	0	0	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
Change in Fund Balance	(1,583)	3,418	8	(69,980)	(5,146)	1	208	(323,486)	3	0	0
Net Other Financing Sources (Uses)	1,959	7,630	8	(62,893)	(3,400)	1	1,989	1,989	3	0	0
Change in Fund Balance	4,333	7,630	538	17,729	(25,558)	69	10,925	240,234	461	0	1
Closing Fund Balance											

	ARTS CAPITAL RIBS (21850-21899)	MISCELLANEOUS STATE SPECIAL FUND (21900-22499)	COURT FACILITIES INCENTIVES (22500-22449)	EMPLOYMENT (22550-22599)	STATE UNIVERSITY PROGRAMS (22650-22699)	CHEMICAL DEPENDENCE (22700-22749)	LAKE GEORGE MARKET (22750-22799)	LAW ENFORCEMENT & MOTOR VEHICLE THEFT & INSURANCE FRAUD (22800-22849)	NEW YORK GREAT LAKES (22850-22899)	FEDERAL REVENUE MAXIMIZATION (22900-22949)	HOUSING DEVELOPMENT (22950-22999)
Opening Fund Balance	846	1,070,994	8,358	50	1,232,579	37,239	(13)	33,127	612	23	10,660
Receipts:											
Taxes	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	69	2,863,589	336	1	4,775,789	10,731	1,211	122,952	177	0	189
Federal Grants	0	586	0	0	0	0	0	0	0	0	0
Total Receipts	69	2,864,175	336	1	4,775,789	10,731	1,211	122,952	177	0	189
Disbursements:											
Local Assistance	0	2,654,860	111,250	0	0	0	0	3,755	0	0	291
State Operations	0	4,224,555	1,856	0	5,792,911	6,444	988	7,432	81	0	0
General State Charges	0	1,737,025	789	0	430,741	0	352	35	4	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	0	8,616,440	113,895	0	6,223,652	6,444	1,340	11,222	85	0	291
Other Financing Sources (Uses):											
Transfers from Other Funds	0	6,576,045	109,396	0	2,154,475	0	0	0	0	0	0
Transfers to Other Funds	0	(654,409)	(1,311)	0	(568,596)	(27,000)	0	(117,720)	0	0	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	0	5,921,636	108,085	0	1,585,879	(27,000)	0	(117,720)	0	0	0
Change in Fund Balance	69	1,693,371	(5,474)	1	136,016	(22,713)	(129)	(5,990)	92	0	(102)
Closing Fund Balance	915	1,240,365	2,884	51	1,370,595	14,526	(142)	27,137	704	23	10,558

**CASH COMBINING STATEMENT
SPECIAL REVENUE FUNDS
FY 2018**

(thousands of dollars)

	NYS DOT HIGHWAY SAFETY PROGRAM (23000-23049)	VOCATIONAL REHABILITATION PROGRAM (23050-23099)	DRINKING WATER PROGRAM MANAGEMENT AND ADMINISTRATION (23100-23149)	NEW YORK CITY COUNTY CLERKS' OPERATIONS OFFSET (23150-23199)	JUDICIARY DATA PROCESSING OFFSET (23200-23249)	CITY UNIVERSITY TUITION REIMBURSEMENT (23250-23449)	US OLYMPIC COMMITTEE/ LAKE PLACID OLYMPIC TRAINING (23500-23549)	INDIGENT LEGAL SERVICES (23550-23599)	UNEMPLOYMENT INSURANCE ADMINISTRATION (23900-23949)	UNEMPLOYMENT INSURANCE INTEREST AND PENALTY (23800-23849)	UNEMPLOYMENT INSURANCE OCCUPATIONAL TRAINING (23950-23999)
Opening Fund Balance	(9,324)	82	(5,351)	(25,438)	9,757	177,019	91	215,264	82,992	26,506	1,170
Receipts:											
Taxes	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	1,750	65	0	29,184	45,305	95,045	88	123,562	77,322	15,866	0
Federal Grants	0	0	0	0	0	0	0	0	298,045	0	5,362
Total Receipts	1,750	65	0	29,184	45,305	95,045	88	123,562	375,367	15,866	5,362
Disbursements:											
Local Assistance	0	0	0	0	0	0	0	72,102	2,960	0	7,042
State Operations	2,993	111	0	22,752	26,835	102,720	0	27,328	207,433	2,260	0
General State Charges	0	0	0	10,432	8,619	145	0	1,069	91,490	1,250	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	2,993	111	0	33,184	35,454	102,865	0	100,499	301,883	3,510	7,042
Other Financing Sources (Uses):											
Transfers from Other Funds	0	0	0	3,656	0	0	0	25,347	0	0	0
Transfers to Other Funds	0	(32)	0	0	0	0	0	(28,317)	0	(3,328)	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	0	(32)	0	3,656	0	(7,220)	0	25,347	(28,317)	(3,328)	0
Change in Fund Balance	(1,243)	(78)	0	(1,944)	9,851	(7,820)	88	48,410	45,167	9,028	(1,680)
Closing Fund Balance	(10,567)	4	(5,351)	(25,782)	19,608	169,199	179	263,674	128,159	35,534	(510)

FEDERAL EMPLOYMENT AND TRAINING GRANTS (26000-26049)	NEW YORK COMMERCIAL GAMING (23700-23749)	MEDICAL MARIJUANA TRUST (23750-23799)	DEDICATED MISCELLANEOUS REVENUE (23800-23801)	FANTASY SPORTS (24950-24951)	SPECIAL REVENUE OTHER	SUB-TOTAL	ELIMINATIONS	FINANCIAL PLAN
(944)	17,171	3,949	2,156	3,163	0	4,272,201	0	4,272,201
0	0	1,880	1,765	0	0	7,639,498	0	7,639,498
8	119,903	2,052	1,219	4,844	0	17,932,556	0	17,932,556
171,432	0	0	0	0	0	56,743,545	0	56,743,545
171,440	119,903	3,932	2,984	4,844	0	82,315,599	0	82,315,599
Disbursements:								
Local Assistance	138,399	102,033	654	0	0	72,126,080	0	12,126,080
State Operations	22,820	2,562	4,648	622	0	12,587,737	0	12,587,737
General State Charges	8,975	1,381	891	361	0	2,602,628	0	2,602,628
Debt Service	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0
Total Disbursements	170,194	105,976	6,193	983	0	87,316,445	0	87,316,445
Other Financing Sources (Uses):								
Transfers from Other Funds	0	0	4,540	0	0	9,297,711	(1,349,068)	7,948,643
Transfers to Other Funds	(1,571)	(7,663)	(612)	(45)	0	(4,267,015)	1,349,068	(2,917,947)
Bond & Note Proceeds	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	(1,571)	(7,663)	3,928	(45)	0	5,030,696	0	5,030,696
Change in Fund Balance	(325)	6,264	1,667	4,844	0	29,850	0	29,850
Closing Fund Balance	(1,269)	23,435	5,616	4,112	8,007	4,302,051	0	4,302,051

CASH COMBINING STATEMENT BY ACCOUNT
SPECIAL REVENUE OTHER FUNDS (Excluding Fund 339)
FY 2018

(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
019.20000-Went Hvg Gifts	2,277	0	109	0	0	0	109	0	0	54	0	0	0	0	0	0	54	2,332
020.20100-Combined Exp Tr	(35)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(35)
020.20101-Planting Fields	1,443	0	350	0	0	0	350	0	139	113	4	0	84	0	0	0	340	1,453
020.20103-Chambers Restor	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
020.20105-Animal Disease	51	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	51
020.20107-DOCS Gift & Don	53	0	0	0	0	0	0	0	0	1	0	0	0	0	0	0	1	52
020.20109-Helen Hayes Hsp	45	0	14	0	0	0	14	0	0	0	0	0	0	0	0	0	0	59
020.20110-Oxford Donation	277	0	56	0	0	0	56	0	0	25	0	0	0	0	0	0	25	308
020.20111-Donat-St.Albans	5	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5
020.20112-CVB Gifts & Beq	62	0	27	0	0	0	27	0	0	0	0	0	0	0	0	0	0	89
020.20113-Donations-Baiaiv	16	0	33	0	0	0	33	0	0	25	0	0	0	0	0	0	25	24
020.20114-Montrose Donati	174	0	6	0	0	0	6	0	0	0	0	0	0	0	0	0	0	180
020.20116-IBR Genetic Cou	37	0	0	0	0	0	0	0	0	4	0	0	0	0	0	0	4	33
020.20118-Tech Transfer	30	0	10	0	0	0	10	0	0	25	0	0	0	0	0	0	25	15
020.20120-Spec Events	1,206	0	1,337	0	0	0	1,337	0	36	1	0	0	0	0	0	0	37	2,506
020.20123-L.M. Josephthal	48	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	48
020.20124-OSC Misc Grant	14	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	14
020.20126-NYSCB Ven Stand	1,193	0	701	0	0	0	701	0	0	576	0	0	14	0	0	0	590	1,304
020.20127-DMNA Military	12	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	12
020.20128-WB Hoyt Memoria	2,713	0	29	0	0	622	651	(197)	0	0	0	0	0	0	0	0	(197)	3,561
020.20129-NYSCB Gift& Beq	189	0	2	0	0	0	2	0	0	15	0	0	0	0	0	0	15	176
020.20130-St Transm Money	19,502	0	223	0	0	0	223	0	0	0	0	0	0	0	0	0	0	19,725
020.20142-Youth Grants &	273	0	2	0	0	0	2	0	0	0	0	0	0	0	0	0	0	275
020.20143-Alzheimers Dis	1,764	0	271	0	0	271	542	666	0	0	0	0	0	0	0	0	666	1,640
020.20144-Local Gov Comm	143	0	2	0	0	0	2	0	0	0	0	0	0	0	0	0	0	145
020.20147-Prostate/Testic	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
020.20149-Autism Aware &	149	0	159	0	0	0	159	0	0	16	0	0	0	0	0	0	16	292
020.20150-Emergency Serv	13,635	0	3,472	0	0	0	3,472	1,137	54	0	4	0	28	0	0	0	1,223	15,884
020.20151-Batavia-Charlot	347	0	6	0	0	0	6	0	0	8	0	0	0	0	0	0	8	345
020.20152-Rome-Gifts And	74	0	11	0	0	0	11	0	0	6	0	0	0	0	0	0	6	79
020.20155-Br Can Res & Ed	8,303	0	462	0	0	412	874	1,518	0	0	0	0	0	0	0	0	1,518	7,659
020.20159-Community Relat	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
020.20162-Disab Tech Asst	30	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	30
020.20165-DMNA Youth Prog	98	0	7	0	0	0	7	0	0	0	0	0	0	0	0	0	0	105
020.20166-Erie Canal Muse	12	0	2	0	0	0	2	0	0	0	0	0	0	0	0	0	0	14
020.20167-Grants and Bequ	8	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	8
020.20174-Life Pass It on	1,311	0	260	0	0	0	260	0	0	179	0	0	0	0	0	0	179	1,392
020.20176-Misc. Gifts Acc	(1,051)	0	1	0	0	0	1	0	0	0	0	0	0	0	0	1	1	(1,051)
020.20178-Multiple Sclero	3	0	5	0	0	0	5	0	0	0	0	0	0	0	0	0	0	8
020.20182-Parole Ofcr Mem	43	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	43
020.20183-Prostate Cancer	3,259	0	187	0	0	172	359	840	0	0	0	0	0	0	0	0	840	2,778
020.20185-Percy T Phillip	40	0	1	0	0	0	1	0	186	0	0	0	0	0	0	0	0	41
020.20192-Missng Children	392	0	200	0	0	0	200	0	0	310	0	0	0	0	0	0	496	96
020.20197-DCJ01 Comb Gift	(6)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(6)
020.20199-HESC Gifts Dona	528	0	6	0	0	0	6	0	0	0	0	0	0	0	0	0	0	534
020.20184-DFY Rec & Welifr	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
020.20188-DAAA Grns And	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
020.201DR-Human Rights Dis	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
020.201F1-Women Vet Monum	150	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	150
020.201FF-Ford Foundation	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
020.201GW-CCF Grns & Beq	155	0	27	0	0	0	27	0	15	94	0	0	11	0	0	0	120	62
020.201HH-OMH Grant & Beq	864	0	(5)	0	0	0	(5)	0	0	65	0	0	0	0	0	0	65	794
020.201MI-RPMI Schoelkpf	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
020.201PG-DCJS - MUNY Pol	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
020.201RP-Aging Grants An	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)

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Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
020.201RW-RW Johnson Foun	(5)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(5)
020.201XK-Grants Account	1,349	0	430	0	0	0	430	0	0	2	0	0	0	0	0	0	2	1,777
020.201XX-S U Restrict Cur	12	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	12
020.201ZS-Grants	240	0	22	0	0	0	22	0	0	0	0	0	0	0	0	0	0	262
020.201ZZ-Donated Funds	(92)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(92)
020.20201-Veterans Rem Ce	597	0	305	0	0	0	305	0	0	0	0	0	0	0	0	0	0	902
020.20205-Mental Illness	117	0	94	0	0	0	94	56	0	0	0	0	0	0	0	0	56	155
020.20206-Women's Cancer	148	0	104	0	0	0	104	95	0	0	0	0	0	0	0	0	95	157
020.20300-N Y Int Lawyers	41,035	0	22,597	0	0	0	22,597	21,756	814	611	6	0	494	0	0	0	23,681	39,951
024.20350-NYS Archvs Pme	62	0	187	0	0	300	487	0	243	40	7	0	166	0	0	42	498	51
025.20401-Child Performer	58	0	115	0	0	400	515	0	255	39	7	0	158	0	0	87	546	27
050.20451-Tuition Reimb	4,752	0	86	0	0	0	86	0	0	97	0	0	47	0	0	23	167	4,671
050.20452-Voc School Slupe	1,829	0	4,258	0	0	0	4,258	0	1,692	674	46	0	1,051	0	0	560	4,023	2,064
052.20501-Loc Govt Record	4,306	0	9,163	0	0	0	9,163	4,466	1,571	305	38	0	880	0	0	1,303	8,563	4,906
053.20550-Sch Tax Relief	0	2,589,144	0	0	0	4,837	2,589,144	2,589,145	0	0	0	0	0	0	0	0	2,589,145	(1)
054.20601-Charter School	6,564	0	51	0	0	0	4,888	2,552	0	3,235	0	0	0	0	0	0	5,787	5,665
056.20701-Greenway Commun	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
056.20702-Greenway Herit	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
059.20751-Alcohol&Subst A	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
061.20800-LTC Ins Res Acc	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
061.20801-Tobacco Cntr &	117	0	3,663	0	0	0	3,663	0	1,902	88	0	0	1,177	0	0	530	3,697	83
061.20802-Health Care Srv	3	0	(2)	0	0	0	(2)	0	0	0	0	0	0	0	0	0	0	1
061.20803-Medicaid Fraud	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
061.20804-Medical Assist.	6	0	3,966,282	0	0	0	3,966,282	3,966,288	0	0	0	0	0	0	0	0	3,966,288	(2)
061.20805-Enhanced Com	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
061.20807-HCRA Program	1,049	0	393,714	0	0	0	393,714	398,443	0	5,624	0	0	0	0	0	0	394,067	696
061.20808-HCRA Transition	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
061.20809-EEMS Training	860	0	15,737	0	0	0	15,737	10,570	2,115	1,391	0	0	1,308	0	0	216	15,000	997
061.20810-Child Health In	344	0	294,500	0	0	0	294,500	290,757	(156)	4,039	0	0	219	0	0	30	294,889	(45)
061.20811-HCRA Undistribu	5,463	828,749	(692,488)	0	0	0	136,261	0	0	0	0	0	0	0	0	131,146	131,146	10,578
061.20812-Hospital Based	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
061.20813-Ad Home Res Co	90	0	1	0	0	0	1	30	259	0	0	0	175	0	0	15	449	61
061.20814-Primary Care In	0	0	527	0	0	0	527	0	0	0	0	0	0	0	0	0	0	78
061.20815-Priv Coll Monit	24	0	48	0	0	0	48	0	0	0	0	0	73	0	0	0	73	(1)
061.20816-Pilot Health In	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
061.20817-Indigent Care	1,207	0	921,872	0	0	0	921,872	912,752	0	0	0	0	0	0	0	9,158	921,910	1,169
061.20818-EPIC Premium	1,854	0	134,965	0	0	0	134,965	125,790	707	9,537	3	0	443	0	0	33	136,513	306
061.20819-Health Occup De	298	0	1,577	0	0	0	1,577	0	989	138	9	0	405	0	0	57	1,598	277
061.20820-Matern & Ch HIV	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
061.20821-Health Care Del	104	0	524	0	0	0	524	0	230	1	0	0	137	0	0	16	384	244
061.20822-Cig Task Force	486	0	3,162	0	0	0	3,162	0	2,426	84	42	0	968	0	0	0	3,520	128
073.20851-Transit Authori	56,637	362,926	113,029	0	0	48,876	524,831	529,805	0	0	0	0	0	0	0	0	529,805	51,663
073.20852-Railroad Accoun	10,032	64,046	19,947	0	0	8,772	92,765	93,549	0	0	0	0	0	0	0	0	93,549	9,248
073.20853-DWTF	14,247	37,674	11,816	0	0	5,013	54,503	54,609	0	0	0	0	0	0	0	0	54,609	14,141
160.20901-Education - New	93,718	0	2,301,125	0	0	0	2,301,125	2,394,708	0	0	0	0	0	0	0	0	2,394,708	135
160.20902-Lottery Adm New	18,708	0	162,046	0	0	0	162,046	0	16,593	51,232	423	0	9,707	0	0	5,521	83,476	97,278
160.20903-VLT Administrat	1,571	0	10,951	0	0	0	10,951	0	2,016	844	49	0	1,132	0	0	0	4,041	8,481
160.20904-VLT - Education	8,400	0	950,630	0	0	7,663	958,293	966,634	0	0	0	0	0	0	0	0	966,634	59
221.20950-Comb Student Ln	5,684	0	27,237	157	0	0	27,394	0	0	23,588	0	0	0	0	0	0	23,588	9,490
225.23651-Mobility Tax Tr	134,785	1,439,241	2,257	0	0	288,436	1,709,934	1,709,000	0	0	0	0	0	0	0	1,016	1,710,016	134,703
225.23652-MTA Aid Trust	20,153	100,953	192,423	0	0	0	293,376	293,000	0	0	0	0	0	0	0	33	293,033	30,496
300.21002-Ercon Admin Acc	(3,501)	0	839	0	0	0	839	0	419	13	0	0	250	0	0	0	682	(3,344)
301.21051-EnCon Energy Ef	161	0	2	0	0	0	2	0	0	7	0	0	0	0	0	0	7	156
301.21052-EnCon-Seized AS	446	0	39	0	0	0	39	0	0	261	0	0	0	0	0	0	261	224
301.21053-Wst Tire Mgt/Re	19,863	0	27,537	0	0	0	27,537	0	13,013	1,274	341	0	7,831	0	0	0	22,459	24,941

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301.21054-Oil & Gas Accou	122	0	7	0	0	0	7	0	0	0	0	0	0	0	0	0	0	129
301.21055-Marine/Coastal	143	0	34	0	0	0	34	0	0	0	0	0	0	0	0	0	0	177
301.21060-Indirect Charge	2,661	0	31	0	0	10,188	10,219	0	1,916	4,927	51	0	1,171	0	0	2,608	10,673	2,207
301.21061-Hazardous Sub B	691	0	390	0	0	0	390	0	62	27	2	0	42	0	0	0	133	948
301.21063-S-Area Landfill	20	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	20
301.21064-Utality Envir R	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
301.21065-Federal Grant I	505	0	0	0	0	9,971	9,971	0	8,537	263	0	0	0	0	0	1,041	9,841	635
301.21066-Low Level Radio	(4,516)	0	3,321	0	0	0	3,321	0	1,188	224	22	0	787	0	0	433	2,654	(3,849)
301.21067-Recreation Acco	(6,712)	0	10,339	0	0	0	10,339	0	2,765	1,100	76	0	900	0	0	455	5,296	(1,669)
301.21077-Public Safety R	22	0	3	0	0	0	3	0	0	19	0	0	0	0	0	0	19	6
301.21080-Encon Magazine	827	0	509	0	0	0	509	0	0	406	0	0	0	0	0	150	556	780
301.21081-Environmental R	(33,668)	0	29,166	0	0	0	29,166	0	15,077	2,290	397	0	9,110	0	0	6,244	33,118	(37,620)
301.21082-Natural Resourc	(17,256)	0	8,722	0	0	0	8,722	0	3,538	842	91	0	2,092	0	0	400	6,963	(15,497)
301.21083-UST-Trust Recov	350	0	47	0	0	0	47	0	0	0	0	0	0	0	0	0	0	397
301.21084-Mined Land Recl	2,858	0	4,224	0	0	0	4,224	0	2,011	174	53	0	1,227	0	0	0	3,465	3,617
301.21089-SEQOR Review	(43)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(43)
301.21087-Town Of Riverhe	17	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	17
301.2108Z-Monitors-Aggre	19,622	0	6,024	0	0	0	6,024	0	3,427	299	91	0	2,113	0	0	0	5,930	19,716
302.21150-Conservation	11,697	0	40,763	0	0	0	40,763	0	25,474	5,790	473	0	10,832	0	0	1,794	44,363	8,097
302.21151-Marine Resource	2,793	0	1,963	0	0	0	1,963	0	610	303	15	0	349	0	0	0	1,277	3,479
302.21152-Migratory Bird	139	0	1	0	0	0	1	0	0	43	0	0	0	0	0	0	43	97
302.21153-Guides License	92	0	74	0	0	0	74	0	0	2	0	0	0	0	0	0	2	164
302.21154-Fish And Game T	59,869	0	1,828	0	0	0	1,828	0	0	0	0	0	0	0	0	0	0	61,697
302.21155-Surf Clam/Quaho	20	0	0	0	0	0	0	0	6	7	0	0	8	0	0	0	21	(1)
302.21156-Habitat Account	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
302.21157-Venison Donatio	2	0	4	0	0	0	4	0	0	4	0	0	0	0	0	0	4	2
302.21158-OUTDOOR REC & T	15	0	7	0	0	0	7	0	0	5	0	0	0	0	0	0	5	17
303.21201-Oil Spill - DAC	3	0	(5)	0	0	954	949	0	579	8	15	0	346	0	0	0	948	4
303.21202-Oil Sp Relocain	3	0	(2)	0	0	323	321	0	191	13	0	0	117	0	0	0	321	3
303.21203-Oil Spill - DEC	(1)	0	(114)	0	0	19,827	19,713	0	11,256	1,460	317	0	6,681	0	0	0	19,714	(2)
303.21204-Oil Spjill - DAC	33,299	0	44,341	0	0	0	44,341	0	0	2,507	0	0	0	0	0	38,383	40,890	36,750
303.21205-License Fee Sur	0	0	11,947	0	0	832	12,779	0	0	0	0	0	0	0	0	12,779	12,779	0
305.21251-OSH Trng & Educ	342	0	27,864	0	0	0	27,864	0	10,666	9,246	263	0	6,075	0	0	1,106	27,356	850
305.21252-OSHA Inspection	2,028	0	19,795	0	0	350	20,145	0	10,687	1,717	224	0	5,239	0	0	827	18,694	3,479
306.21301-CSF Regis Fee	4,214	0	15,063	0	0	0	15,063	0	685	10,723	0	0	237	0	0	0	11,645	7,632
307.21351-Equipment Loan	532	0	32	0	0	0	32	0	0	24	0	0	0	0	0	0	24	540
313.21401-Pub Tran Sysms	6,733	75,803	119	0	0	15,058	90,980	86,954	408	301	11	0	262	0	0	0	87,936	9,777
313.21402-Metropolitan Ma	93,779	2,137,317	17,579	0	0	20,975	2,175,871	2,151,739	2,395	141	63	0	1,458	0	0	106,013	2,261,809	7,841
313.21403-Urban Mass Tran	107	0	1	0	0	0	1	0	0	0	0	0	0	0	0	0	0	108
313.21404-Add Mass Trans	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
314.21451-Operating Permit	(20,346)	0	5,396	0	0	0	5,396	0	4,033	1,253	97	0	2,469	0	0	0	7,952	(22,802)
314.21452-Mobile Source	(1,816)	0	34,109	0	0	0	34,109	0	16,559	3,220	423	0	9,705	0	0	5,146	35,053	(2,760)
318.21501-Housing Reserve	66	0	1	0	0	0	1	0	0	0	0	0	0	0	0	0	0	67
321.21551-Legisl Comp R&D	10,656	0	1,367	0	0	0	1,367	0	0	1,160	0	0	0	0	0	0	1,160	10,863
321.21552-Demographics/Re	61	0	1	0	0	0	1	0	0	0	0	0	0	0	0	0	0	62
330.40350-S U Dorm Income	238,243	0	325,475	0	0	0	325,475	0	0	0	0	0	0	0	0	323,486	323,486	240,232
332.21651-Brummer Award	38	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	38
332.21652-William Vorce F	229	0	3	0	0	0	3	0	0	0	0	0	0	0	0	0	0	232
332.21653-Rosky Pocanico	5	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5
332.21654-OPWDD Nonexp Tr	74	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	74
332.21656-Helen Hayes Hos	15	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	15
332.21657-Cunningham Fund	100	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	100
335.21750-Nys Musical Ins	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
338.21851-Arts Capital Re	846	0	69	0	0	0	69	0	0	0	0	0	0	0	0	0	0	915
340.22501-CFIA Undistrib	8,357	0	336	0	0	109,396	109,732	111,250	1,848	8	0	0	789	0	0	1,311	115,206	2,883

CASH COMBINING STATEMENT BY ACCOUNT
SPECIAL REVENUE OTHER FUNDS (Excluding Fund 339)
FY 2018

(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
341.22552-DFY-NYC Summer	50		1				1		0	0	0	0	0	0	0	0	0	51
345.22652-L Vets Home	32,592	0	48,993	0	0	0	48,993	0	29,167	20,826	0	0	1	0	0	12,154	62,148	19,437
345.22653-S U Genl IFR	650,769	0	1,055,112	0	0	13,540	1,068,652	0	181,751	564,983	7	0	2,933	0	0	219,928	968,602	749,819
345.22654-S U Inc Offset	(19,543)	0	(222)	0	0		(222)	0	0	0	0	0	0	0	0	0	0	(19,765)
345.22655-Gen Rev Offset	91,839	0	1,848,346	0	0	1,014,993	2,863,339	0	2,177,273	505,217	0	0	2,262	0	0	106,107	2,790,859	164,319
345.22656-S U Hosp Ops	303,009	0	1,723,962	0	0	1,088,020	2,811,982	0	1,151,897	1,027,491	0	0	421,738	0	0	231,047	2,832,173	282,818
345.22657-SUNY Stabiliz	48,079	0	(43,574)	0	0	37,922	(5,652)	0	591	1,019	0	0	0	0	0	(640)	970	41,457
345.22658-State Univ Hosp	13,738	0	43,589	0	0	0	43,589	0	40,082	2,413	0	0	0	0	0	0	42,495	14,832
345.22659-SUNY Tuition Re	111,545	0	99,583	0	0	0	99,583	0	53,880	36,314	0	0	3,807	0	0	0	94,001	117,127
345.2266P-Bridge Program	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
346.22700-Chem Dep Svcs	37,238	0	10,731	0	0	0	10,731	0	0	6,444	0	0	0	0	0	27,000	33,444	14,525
349.22751-Lk George Park	(11)	0	1,211	0	0	0	1,211	0	731	242	15	0	352	0	0	0	1,940	(140)
354.22801-MVTIFA	7,252	0	4,811	0	0	0	4,811	3,755	94	4	2	0	35	0	0	4,300	8,190	3,873
354.22802-St Police MV En	25,876	0	1,118,141	0	0	0	1,118,141	0	3,000	4,332	0	0	0	0	0	113,420	120,752	23,265
355.22851-GreatLakes Pro	608	0	177	0	0	0	177	0	76	5	0	0	4	0	0	0	85	700
359.22901-Revenue Maximiz	1,577	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,577
359.22902-Local Maximizat	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
359.22903-Rev Maxim Contr	(1,555)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1,555)
360.22950-Housing Develop	10,659	0	189	0	0	0	189	291	0	0	0	0	0	0	0	0	291	10,557
362.23001-DOT Comm Veh Sa	(9,324)	0	1,750	0	0	0	1,750	0	2,837	156	0	0	0	0	0	0	2,993	(10,567)
365.23051-Vocatl Rehabil	83	0	65	0	0	0	65	0	0	111	0	0	0	0	0	32	143	5
366.23101-Drinking Water	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
366.23102-Drink Water DOH	(5,352)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(5,352)
368.23151-NYC County Cler	(25,437)	0	29,184	0	0	3,656	32,840	0	20,099	2,653	0	0	10,432	0	0	0	33,184	(25,781)
369.23201-Jud Data Proc O	9,757	0	45,305	0	0	0	45,305	0	18,459	8,376	0	0	8,619	0	0	0	35,454	19,608
377.23267-CUNY Stabilizn	4,899	0	56	0	0	0	56	0	0	0	0	0	0	0	0	0	0	4,955
377.2327X-CUNY Tuin Reim	74,470	0	7,105	0	0	0	7,105	0	0	0	0	0	0	0	0	0	0	81,575
377.2327Y-CUNY Inc Reimb	97,650	0	87,884	0	0	0	87,884	0	52,623	50,097	0	0	145	0	0	0	102,865	82,669
385.23501-Lk Placid Train	91	0	88	0	0	0	88	0	0	0	0	0	0	0	0	0	0	179
390.23551-Indigent Legal	215,263	0	123,562	0	0	25,347	148,909	72,102	1,873	25,409	46	0	1,069	0	0	0	100,499	263,673
482.23601-UJ Sp Int & Pen	26,504	0	15,866	0	0	0	15,866	0	1,815	391	54	0	1,250	0	0	3,328	6,838	35,532
501.23701-Commercial Gami	20,763	0	117,266	0	0	0	117,266	102,033	0	0	0	0	0	0	0	7,663	109,696	28,333
501.23702-Comm Game Regul	(4,522)	0	0	0	0	0	0	0	2,368	134	60	0	1,381	0	0	0	3,943	(8,465)
501.23703-Prob Gambi Svcs	935	0	2,637	0	0	0	2,637	0	0	0	0	0	0	0	0	0	0	3,572
502.23750-Med Marth Colle	266	846	0	0	0	0	846	0	0	0	0	0	0	0	0	0	0	1,112
502.23752-MMF - County Di	98	846	0	0	0	0	846	654	0	0	0	0	0	0	0	0	654	290
502.23753-MMF - Law Enfor	30	94	0	0	0	0	94	0	0	0	0	0	0	0	0	0	0	124
502.23754-MMF - Addiction	30	94	0	0	0	0	94	0	0	0	0	0	0	0	0	0	0	124
502.23755-Health Operatio	3,527	0	2,052	0	0	4,540	6,592	0	1,455	3,193	0	0	891	0	0	612	6,151	3,968
503.23800-Inter Recip Pos	210	(14)	1,186	0	0	0	1,172	0	240	61	8	0	180	0	0	45	534	848
503.23801-Hwy Use Tax Adm	1,946	1,779	29	0	0	0	1,808	0	305	0	8	0	181	0	0	0	494	3,260
503.23802-Cure Childhood	0	0	4	0	0	0	4	0	0	0	0	0	0	0	0	0	0	4
504.24950-Fan Sports Educ	3,163	0	4,844	0	0	0	4,844	0	0	0	0	0	0	0	0	0	0	8,007

**CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)**

FY 2018

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.21901-Article VII Int	5,062	0	937	0	0	0	937	102	0	0	0	0	0	0	0	0	5,897
339.21902-S P A R C S	6,390	0	3,259	0	0	0	3,259	0	469	540	0	0	312	0	0	1,332	6,996
339.21904-Fire PrevCode	28,882	0	13,892	0	0	0	13,892	0	0	0	0	0	0	0	0	0	42,774
339.21905-NYS Tvy Police	(1,913)	0	61,101	0	0	0	61,101	0	36,795	24	0	0	11,467	0	0	0	10,902
339.21906-DMV Seiz Assets	226	0	3	0	0	0	3	0	0	0	0	0	0	0	0	114	115
339.21907-Mental Hygiene	8,733	0	(66,758)	0	0	3,166,382	3,099,624	1,601,727	708,457	115,968	29,370	0	654,421	0	0	0	(1,586)
339.21909-M H Patient Inc	60,556	0	2,359	0	0	2,749,225	2,751,584	0	1,548,246	321,410	31,251	0	717,063	0	0	156,212	37,958
339.21911-Fin Chtrl Board	(733)	0	2,920	0	0	0	2,920	0	1,346	728	37	0	799	0	0	0	(723)
339.21912-Reg of Racing	(4,601)	0	14,454	0	0	0	14,454	0	6,778	4,939	171	0	1,684	0	0	0	(3,719)
339.21913-NY Metro Trans	(22,970)	0	0	0	0	4,677	4,677	0	0	0	0	0	0	0	0	0	(18,293)
339.21914-S U Constr Fund	108	0	1	0	0	0	1	0	0	0	0	0	0	0	0	0	109
339.21915-Quality Care	65,099	0	740	0	0	0	740	0	0	0	0	0	0	0	0	0	65,839
339.21916-Nurses Aide Reg	1,008	0	11	0	0	0	11	0	0	0	0	0	0	0	0	0	1,019
339.21917-Seized Assets	284	0	91	0	0	0	91	0	0	202	0	0	0	0	0	0	173
339.21918-Child Care & Pr	1,131	0	528	0	0	0	528	0	0	0	0	0	0	0	0	0	1,659
339.21919-Cyber Sec Upgr	885	0	10	0	0	0	10	0	0	0	0	0	0	0	0	0	895
339.21920-Cert of Need	10,947	0	10,365	0	0	0	10,365	0	1,824	1,600	0	0	1,065	0	0	7,760	9,063
339.21921-Lobbying Enforc	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.21922-Continuing Care	1,006	0	124	0	0	0	124	0	26	2	0	0	33	0	0	2	1,067
339.21923-DOL Fee Penalty	4,217	0	21,500	0	0	0	21,500	0	8,523	2,678	306	0	6,870	0	0	3,652	3,688
339.21924-Educ Museum	149	0	310	0	0	0	310	0	108	91	2	0	41	0	0	10	207
339.21925-Ns Hm Receiptshp	2,844	0	32	0	0	0	32	0	0	0	0	0	0	0	0	0	2,876
339.21926-3rd Party Hlth	453	0	5	0	0	0	5	0	0	0	0	0	0	0	0	0	458
339.21927-Boating Noise L	3	0	1	0	0	0	1	0	0	5	0	0	0	0	0	0	(1)
339.21928-I Love NY Ves	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.21929-Summer Sch Arts	143	0	596	0	0	0	596	0	120	513	3	0	13	0	0	0	90
339.21930-I Lve NY W Boat	101	0	116	0	0	0	116	0	55	45	2	0	25	0	0	0	90
339.21932-Snowmobile	4,359	0	5,880	0	0	0	5,880	4,149	202	77	4	0	127	0	0	0	5,680
339.21933-Tr Surplus Prop	2,811	0	2,795	0	0	0	2,795	0	0	0	0	0	0	0	0	1,803	3,803
339.21934-Hosp & Nurs Mgt	4	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4
339.21935-Watershed Ptnr	(4)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(4)
339.21936-World Univ Game	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.21937-S U Dorm Reimb	(5)	0	3	0	0	286,808	286,811	0	118,645	144,071	0	0	119	0	0	23,976	(5)
339.21938-ODTA Train Cont	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.21939-ODTA State Matc	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.21941-Methadone Regis	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.21943-Energy Research	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.21944-Radiology	2,922	0	6,216	0	0	0	6,216	3,000	833	252	18	0	415	0	0	1,350	3,270
339.21945-Crim Jus Improv	24,978	0	41,779	0	0	0	41,779	21,242	2,738	380	70	0	1,638	0	0	8,847	31,842
339.21948-Farm Prod Insp-	672	0	1,262	0	0	0	1,262	0	749	122	0	0	457	0	0	0	606
339.21950-FgprimID&Tech	32,552	0	16,301	0	0	0	16,301	0	0	2,014	0	0	0	0	0	12,135	34,704
339.21953-NY Fire Academy	286	0	905	0	0	0	905	0	428	246	9	0	172	0	0	0	336
339.21958-Domestic Awatren	84	0	8	0	0	0	8	0	0	0	0	0	0	0	0	0	92
339.21959-Environmental L	2,296	0	5,183	0	0	0	5,183	0	1,449	452	0	0	887	0	0	1,278	3,413
339.21960-HESC Ins Prem P	27,759	0	99,048	0	0	0	99,048	0	13,380	29,017	354	0	8,131	0	0	12,903	64,022
339.21961-Train Mgmt Eval	501	0	1,617	0	0	0	1,617	0	1,181	86	35	0	803	0	0	0	13
339.21962-Clin Lab Refrc	(11,082)	0	17,974	0	0	0	17,974	0	5,611	2,244	0	0	3,468	0	0	4,325	(8,756)
339.21964-Pub Emp Rel Brd	701	0	75	0	0	0	75	0	0	28	0	0	0	0	0	0	748
339.21965-Radio Hlth Prot	2,514	0	3,671	0	0	0	3,671	0	2,231	105	0	0	1,395	0	0	823	1,631
339.21966-Cons Food Indus	57	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	57
339.21967-OHRD St Match	890	0	2	0	0	1,400	1,402	0	0	882	0	0	0	0	0	0	1,410

**CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)
FY 2018
(thousands of dollars)**

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.21968-Educatn Library	135	0	47	0	0	0	47	0	0	27	0	0	0	0	0	0	155
339.21969-Teacher Certif	4,339	0	6,062	0	0	0	6,062	0	3,080	261	85	0	1,971	0	0	492	4,512
339.21970-Banking Deptmnt	32,037	0	102,098	0	0	0	102,098	0	53,584	13,098	1,418	0	32,568	0	0	0	33,467
339.21971-Cable TV Acct	5,226	0	3,553	0	0	0	3,553	0	1,311	100	36	0	816	0	0	0	6,516
339.21972-Econ Devel Asst	304	0	5	0	0	0	5	0	0	0	0	0	0	0	0	0	309
339.21973-Fin Svcs Seized	708	0	8	0	0	0	8	0	0	1	0	0	0	0	0	0	715
339.21975-ODD Earned Revn	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.21976-Motorcycle Sfty	3,276	0	38	0	0	0	38	0	0	0	0	0	0	0	0	3,065	249
339.21977-Business and Li	44,752	0	86,969	0	0	0	86,969	913	17,119	12,304	409	0	9,446	0	0	3,785	87,745
339.21978-Indir Cost Reco	2,754	0	10	0	0	18,593	18,603	0	9,143	3,786	0	0	4,026	0	0	0	4,402
339.21979-High School Equ	1,021	0	172	0	0	0	172	0	0	1,228	0	0	12	0	0	0	1,193
339.21980-OTDA Program	1,581	0	21	0	0	2,500	2,521	0	0	1,228	0	0	0	0	0	0	2,862
339.21981-Disas Prep Conf	24	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	24
339.21982-Administration	1,828	0	17	0	0	8,501	8,518	0	4,057	1,985	0	0	2,414	0	0	339	1,551
339.21983-Rail Safety Ins	4	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4
339.21984-Fedl Admin Reim	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.21985-Abandon Prop Au	1	0	11,400	0	0	0	11,400	0	8,376	3,024	0	0	0	0	0	0	1
339.21986-Seized Assets	18	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	18
339.21987-Spinal Injury	5,343	0	32	0	0	8,500	8,532	7,864	0	0	0	0	0	0	0	0	6,011
339.21988-Child Supp Rev	78	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	78
339.21989-Mult Agen Train	13,212	0	0	0	0	7,400	7,400	0	1,406	9,158	33	0	757	0	0	0	9,258
339.21990-OCTF Crime Forf	1,590	0	518	0	0	0	518	0	0	2,079	0	0	0	0	0	0	29
339.21991-DMNA-Seiz Asset	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.21992-Critical Infras	260	0	3	0	0	0	3	0	0	0	0	0	0	0	0	0	263
339.21993-Radon Detection	402	0	30	0	0	0	30	0	0	20	0	0	0	0	0	2	410
339.21994-Insurance Dept	25,864	0	364,035	0	0	0	364,035	57,254	100,562	37,733	2,571	0	58,356	0	0	0	133,423
339.21995-Workers' Compn	88,547	0	149,516	0	0	0	149,516	0	85,117	53,602	2,252	0	52,395	0	0	23,676	21,021
339.21996-Fire Protection	(1)	0	8,695	0	0	0	8,695	0	2,293	224	57	0	1,303	0	0	3,316	8,897
339.21998-Public Work Enf	7,395	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219A2-MMIA	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219A3-Non-Ivd Wage Wl	(58)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(58)
339.219AF-Hosp Grants	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.219AK-Ins Voucher Pro	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.219AM-Hlth Care Advis	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219AR-Adopt Info Regi	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219AS-Quality Assuran	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219BO-Primary Care In	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.219BU-Land Utilizatio	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219C2-Jones Bch Theat	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219CB-FS Reinvestment	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219CG-Tech & Scientif	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219D1-Food Stp Rec Fr	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219DM-EAD Metallurgi	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219DN-Fines Penalties	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219E7-Unif Commerc Cd	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219EA-Bus & Licens Sv	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219EB-Antitrust Entor	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219EE-Map Revenue	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219EF-TAP Sys Redesign	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219EM-Emerg Med Svcs	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)
FY 2018
(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.219F6-Lc On Solid Was	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219FC-Fostr Care Savi	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219H3-Pilot Health In	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219H5-Triple Prescr F	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.219IG-Ins Gent Opems	(22)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(22)
339.219JD-Problem Solv Cou	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219K2-Equip Repair	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219K3-Catastrophic HI	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219K4-Primary Hlth Cr	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219L5-Adult Cyst Fibr	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219L8-DOS Licensing	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219LB-Health Occup De	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219LC-Matern Child Hiv	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.219SA-Health Services	31	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	31
339.219SS-DOT Sign Shop	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219TF-Tran Fees Perms	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219XX-A&M-Aggregated	735	0	590	0	0	0	590	0	354	11	6	0	125	0	0	0	829
339.219YL-OGS Bldg Admin	8,079	0	9,909	0	0	0	9,909	0	2,433	193	0	0	0	0	0	2,043	13,319
339.219YN-OGS Sld & Purch	10,401	0	4,022	0	0	0	4,022	0	731	1,553	16	0	369	0	0	3,029	8,725
339.219Z3-MHPIA OMR NPS	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219Z6-Human Rights Ac	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.219Z7-Just Ct Oper	(2,453)	0	(1,636)	0	0	0	(1,636)	0	0	0	0	0	0	0	0	0	(4,089)
339.219ZR-Milk Producers	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219ZV-S T A Research	(19)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(19)
339.22001-VESID SS	2,602	0	2,334	0	0	0	2,334	2,219	140	57	4	0	86	0	0	21	2,409
339.22003-Bell Jar Collec	4	0	1,871	0	0	0	1,871	0	646	135	18	0	421	0	0	639	16
339.22004-Ind & Util Serv	2,930	0	2,832	0	0	0	2,832	0	1,435	0	36	0	823	0	0	0	3,468
339.22008-Courts Special	1,926	0	1,358	34	0	0	1,392	0	0	2,053	0	0	0	0	0	0	1,285
339.22009-Asbestos Trning	(220)	0	349	0	0	0	349	0	201	5	0	0	137	0	0	0	(214)
339.22010-IMP R P Tax Adm	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.22011-Public Service	41,656	0	82,035	0	0	0	82,035	0	41,556	8,249	1,132	0	31,579	0	0	4,613	36,562
339.22012-Atty Licensing	8,762	0	29,600	0	0	0	29,600	0	18,079	6,290	0	0	8,072	0	0	0	5,921
339.22014-DSS Prov Recovs	189	0	2	0	0	0	2	0	0	0	0	0	0	0	0	0	191
339.22015-Crimes Against	2,846	0	0	0	0	2,000	2,000	4,286	0	0	0	0	0	0	0	0	560
339.22017-Camp Smith Bill	47	0	182	0	0	0	182	0	157	9	0	0	6	0	0	0	57
339.22020-Comm Feed Lic	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.22021-Regulation of M	1,290	0	628	0	0	0	628	0	0	0	0	0	0	0	0	20	1,898
339.22022-College Savings	11,883	0	3,488	0	0	0	3,488	0	169	83	4	0	81	0	0	0	15,034
339.22023-Discover Queens	18	0	2	0	0	0	2	0	0	0	0	0	0	0	0	0	20
339.22024-Reven Arrearage	56,156	0	27,941	0	0	0	27,941	0	970	1,354	25	0	577	0	0	10,471	70,700
339.22025-Comm Svce Assis	8,497	0	605	0	0	0	605	7	0	0	0	0	0	0	0	0	8,490
339.22026-Cell Phone Towe	2,766	0	605	0	0	0	605	0	0	0	0	0	0	0	0	0	3,371
339.22027-Spec Conserv Ac	28	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	28
339.22028-State Central R	5,099	0	4,534	0	0	0	4,534	0	120	0	3	0	73	0	0	1,822	7,615
339.22029-Plant Industry	(7)	0	611	0	0	0	611	0	110	3	2	0	34	0	0	0	455
339.22032-Batavia School	(6,118)	0	6,797	0	0	900	7,697	0	6,028	391	160	0	3,657	0	0	918	(9,575)
339.22033-Alcohol Beverag	(1)	0	1	0	0	0	1	0	0	0	0	0	0	0	0	0	0
339.22034-Investment Serv	715	0	4,583	0	0	0	4,583	0	1,776	1,028	46	0	1,063	0	0	0	1,385
339.22035-Diabetes Resear	14	0	19	0	0	0	19	0	0	0	0	0	0	0	0	0	33
339.22037-Keep Kids Drug	46	0	8	0	0	0	8	0	0	0	0	0	0	0	0	0	54
339.22038-OPWDD Day Servi	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2018

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.22039-OSDC Finan Over	(1,899)	0	3,684	0	0	0	3,684	0	2,170	69	58	0	1,331	0	0	0	(1,843)
339.22040-Senate Recyclab	559	0	31	0	0	0	31	0	0	0	0	0	0	0	0	0	590
339.22041-Medicaid Fraud	14,110	0	12,711	0	0	0	12,711	0	6,712	2,039	128	0	3,886	0	0	0	14,056
339.22042-DED Marketing A	4,214	0	660	0	0	0	660	0	3	1,416	0	0	2	0	0	131	3,322
339.22044-Tug Hill Admin	83	0	71	0	0	0	71	0	0	5	0	0	0	0	0	10	139
339.22045-Settlement Enf	997	0	9	0	0	0	9	0	0	412	0	0	0	0	0	0	594
339.22046-Regulation of I	(64,021)	0	4,401	0	0	0	4,401	0	7,879	412	209	0	4,820	0	0	0	(72,940)
339.22047-NYS FLEX Spend	111	0	166	0	0	0	166	0	0	0	0	0	0	0	0	0	277
339.22050-Crime Victims B	4	0	1	0	0	0	1	0	0	0	0	0	0	0	0	0	5
339.22051-Ofc of Professi	32,082	0	61,886	0	0	0	61,886	0	20,581	11,642	546	0	12,539	0	0	5,921	42,739
339.22052-Armory Rental A	2,930	0	481	0	0	0	481	0	(90)	(2)	0	0	0	0	0	0	3,503
339.22053-Rome School	(1,590)	0	6,484	0	0	1,032	7,516	0	4,457	743	117	0	2,682	0	0	673	(2,746)
339.22054-Seized Assets	(8,114)	0	2,470	0	0	45	2,515	0	0	0	0	0	0	0	0	0	(5,599)
339.22055-Traf Adjudicat	(8,386)	0	36,833	0	0	0	36,833	0	19,685	8,757	495	0	11,281	0	0	1,401	(13,172)
339.22056-Fed Salary Shar	(1)	0	0	0	0	2,310	2,310	419	1,214	0	27	0	649	0	0	0	0
339.22057-Cook/Chill Acco	2,022	0	184	0	0	0	184	0	0	832	0	0	0	0	0	0	1,374
339.22060-Credentia Srvs	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22061-Seized Assets	43	0	0	0	0	0	0	0	0	0	0	0	0	0	45	(2)	
339.22062-NYC Assessment	18,883	0	79,653	0	0	0	79,653	0	2,799	2,945	873	0	19,221	0	0	48,000	24,698
339.22063-Cultural Educat	(2,753)	0	27,315	0	0	0	27,315	0	11,932	5,728	330	0	7,204	0	0	1,928	(2,560)
339.22064-Distance Learn	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.22065-Exam & Misc Rev	2,010	0	491	0	0	0	491	0	322	348	8	0	180	0	0	1,600	43
339.22067-Trans Regul Acc	14,709	0	167	0	0	0	167	0	0	49	0	0	0	0	0	3,245	11,631
339.22068-Cons Prof Acct	1,958	0	53	0	0	0	53	0	0	0	0	0	0	0	0	0	1,962
339.22070-OER NASDER	(4)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(4)
339.22071-Fin Aid Audit	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22072-8th Air Force H	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.22074-FMS Account	30	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	30
339.22075-Funeral	1,974	0	621	0	0	0	621	0	232	5	0	0	141	0	85	0	2,132
339.22076-FSHRP	13	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	13
339.22077-Educ Archives	44	0	12	0	0	0	12	0	0	16	0	0	0	0	0	0	40
339.22078-Local Services	1,063	0	1,124	0	0	0	1,124	0	597	0	16	0	379	0	0	0	1,195
339.22080-Adult Shelter	15,572	0	2,713	0	0	0	2,713	0	0	0	0	0	0	0	0	0	18,285
339.22081-QAA Earned Rev	396	0	4	0	0	0	4	0	0	0	0	0	0	0	0	0	400
339.22082-Family Pres Svc	2,468	0	33	0	0	4,000	4,033	3,449	0	0	0	0	0	0	0	0	3,052
339.22083-Electronic Bene	44	0	1	0	0	0	1	0	0	0	0	0	0	0	0	0	45
339.22084-Federal-Seized	3	0	0	0	0	0	0	0	0	3	0	0	0	0	0	0	0
339.22085-DHCR Mortgage S	(6,495)	0	0	0	0	0	0	0	2,690	603	0	0	0	0	0	0	(9,788)
339.22086-OMH-Research OH	73	0	2,487	0	0	0	2,487	0	0	2,486	0	0	0	0	0	0	74
339.22087-DMV-Compulsory	770	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	770
339.22088-Prof Medic Cond	8,655	0	29,572	0	0	0	29,572	953	10,682	6,313	0	0	6,619	0	0	4,922	8,738
339.22089-Hwy Const & Ma	1,643	0	156	0	0	0	156	0	0	73	0	0	0	0	0	0	1,726
339.22090-Housing Indirec	(4,651)	0	881	0	0	4,000	4,881	0	3,237	277	0	0	0	0	0	201	(3,485)
339.22091-Adult Home Qual	1,669	0	696	0	0	0	696	0	0	0	0	0	0	0	21	0	2,344
339.22092-Homeless Hsg	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22093-COCOT	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.22094-Accid Prevent C	3,849	0	44	0	0	0	44	0	0	0	0	0	0	0	3,662	0	231
339.22095-IG Szd Assets	99	0	1	0	0	0	1	0	0	0	0	0	0	0	0	0	100
339.22096-Leg Svcs Assist	30,927	0	28,001	0	0	0	28,001	12,007	0	0	0	0	0	0	0	9,545	37,376
339.22097-Loc Pub Hlth	5,267	0	1,269	0	0	0	1,269	0	93	0	0	0	57	0	0	10	6,376
339.22099-Voting Mach Exa	69	0	1	0	0	0	1	0	0	12	0	0	0	0	0	0	58

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2018
(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.2200Z-Interest Assess	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22100-DHCR HCA Applic	(1,734)	0	5,105	0	0	0	5,105	0	4,085	153	105	0	2,409	0	0	0	(3,361)
339.22101-EPIC Premium Ac	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.22102-Drug Enforce Ta	48	0	0	0	0	0	0	0	0	41	0	0	0	0	0	0	7
339.22103-Vital Records M	7,100	0	6,721	0	0	0	6,721	0	676	397	0	0	409	0	0	4,896	7,443
339.22104-CHCCDP Transfer	35	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	35
339.22105-Tobacco Enforce	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.22108-Hwy Rev/Soc Sec	1,048	0	146	0	0	0	146	0	0	171	0	0	0	0	0	0	1,023
339.22109-Conference & Sp	44	0	52	0	0	0	52	0	0	0	0	0	0	0	0	0	96
339.22110-Assisted Living	1,257	0	421	0	0	0	421	250	0	0	0	0	0	0	0	9	1,419
339.22111-OCFS Program	743	0	5	0	0	0	5	0	0	375	0	0	0	0	0	0	373
339.22112-OTDA Income Acc	139	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	139
339.22114-Disabil Determs	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.22115-OPWDD Jt Clin O	37	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	37
339.22116-Special Medical	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.22117-Litigation Sett	13,235	0	76,179	0	0	0	76,179	0	29,208	35,128	526	0	12,054	0	0	0	12,498
339.22118-Animal Populat	296	0	1,035	0	0	0	1,035	0	0	867	0	0	0	0	0	0	464
339.22119-Love Your Libra	67	0	9	0	0	0	9	0	0	0	0	0	0	0	0	0	76
339.22122-Local Wireless	117	0	1	0	0	0	1	0	0	0	0	0	0	0	0	0	118
339.22123-Pub Safe Commun	65,828	0	117,345	0	0	0	117,345	22,550	13,977	37,941	0	0	0	0	0	54,733	53,972
339.22124-Cuba Lake Mgmt	160	0	165	0	0	0	165	0	0	163	0	0	0	0	0	0	162
339.22126-St Justice Inst	69	0	1	0	0	0	1	0	0	0	0	0	0	0	0	0	70
339.22128-Med Reimb Acc	1,400	0	642	0	0	322	964	774	0	0	0	0	0	0	0	0	1,590
339.22130-Low Inc Housing	3,982	0	5,735	0	0	0	5,735	0	3,615	191	106	0	2,432	0	0	0	3,373
339.22131-Medicaid Inquir	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22132-New York Alert	54	0	1	0	0	0	1	0	0	0	0	0	0	0	0	0	55
339.22133-Procure Op News	410	0	4	0	0	0	4	0	0	66	0	0	0	0	0	0	348
339.22134-OVS RESTITUTION	669	0	462	0	0	0	462	0	446	80	0	0	0	0	0	0	605
339.22135-EFC Corp Admin	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.22136-Food Prod Ctr	945	0	1,189	0	0	0	1,189	0	251	918	6	0	117	0	0	0	842
339.22137-Pet Dealer	93	0	38	0	0	0	38	0	12	0	0	0	8	0	0	0	111
339.22138-Auth Bdgt Office	1,323	0	12	0	0	1,826	1,838	0	1,043	38	27	0	619	0	0	45	1,389
339.22139-Patient Safety	3,253	0	206	0	0	0	206	0	0	0	0	0	0	0	0	0	3,459
339.22140-Helen Hayes Hos	11,078	0	182	0	0	65,321	65,503	0	34,669	20,933	0	0	1,000	0	6,720	0	13,259
339.22141-NYC Veterans	3,261	0	30	0	0	34,253	34,283	0	18,000	8,567	5	0	8,144	0	0	366	2,462
339.22142-NYS Home-Vetera	2,627	0	10	0	0	19,356	19,366	0	14,849	6,176	0	0	0	0	0	513	455
339.22143-WNY Vets Home	1,081	0	10	0	0	12,467	12,477	0	8,292	4,249	0	0	0	0	0	0	858
339.22144-Montrose S V H	3,742	0	39	0	0	26,703	26,742	0	18,196	7,647	0	0	0	0	0	3,823	818
339.22145-DOH Hospital Ho	2	0	22	0	0	124,828	124,850	0	0	0	0	0	0	0	0	121,709	3,143
339.22146-HEAP Earned Rev	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.22147-Quality of Care	7,662	0	903	0	0	0	903	296	0	0	0	0	0	0	0	0	8,269
339.22149-Motor Fuel Qual	1,176	0	3,054	0	0	0	3,054	0	1,166	906	32	0	554	0	0	0	1,572
339.22150-Weights Measure	147	0	308	0	0	0	308	0	256	50	3	0	77	0	0	0	69
339.22151-Deier Comp Adm	(244)	0	776	0	0	0	776	0	375	33	10	0	224	0	0	0	(110)
339.22152-Hazard Abatemen	930	0	69	0	0	0	69	0	0	0	0	0	0	0	0	0	999
339.22153-Education Stats	262	0	6	552	0	0	558	0	0	1	0	0	0	0	0	0	819
339.22154-Real Estate Fin	4,944	0	1,940	0	0	0	1,940	0	1,061	409	28	0	638	0	0	0	4,748
339.22156-NYC Rent Rev	1,760	0	53,974	0	0	0	53,974	0	23,835	6,344	962	0	11,037	0	0	11,449	2,107
339.22157-Medicaid Income	408	0	0	0	0	211	211	0	0	0	0	0	0	0	0	0	619
339.22158-Rent Revenue	(462)	0	688	0	0	0	688	0	207	0	7	0	167	0	0	0	(155)
339.22159-CSFP Salvage Ac	62	0	1	0	0	0	1	0	0	0	0	0	0	0	0	0	63

CASH COMBINING STATEMENT BY ACCOUNT
 MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2018

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.22161-ES Stem Cell Tr	562	0	21	0	0	18,898	18,919	0	564	18,834	0	0	175	0	0	53	(1,45)
339.22162-Systems & Tech	9,019	0	7,365	0	0	0	7,365	0	229	85	6	0	135	0	0	4,502	11,427
339.22163-Patron Services	5,135	0	73,459	0	0	0	73,459	0	33,224	32,770	0	0	3,028	0	0	3,992	5,580
339.22165-Trans Aviatn	1,607	0	4,437	0	0	0	4,437	0	0	4,352	0	0	0	0	0	0	1,692
339.22166-Teacher Ed Accr	11	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	11
339.22167-Training Academ	300	0	505	0	0	0	505	0	0	268	0	0	0	0	0	0	537
339.22168-Tax Rev Arrear	3,416	0	0	0	0	1,500	1,500	0	0	478	0	0	0	0	0	0	4,438
339.22169-TSCR Account	45,820	0	81,107	0	0	0	81,107	44,746	0	0	0	0	0	0	0	0	82,181
339.22170-Statewide Gamin	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22171-Recruitment Inc	3,259	0	42	0	0	2,087	2,129	0	1	2,095	0	0	0	0	0	0	3,292
339.22172-Undrgrnd Sfty T	1,353	0	1,121	0	0	0	1,121	0	0	0	0	0	0	0	0	175	2,299
339.22173-Vol Fire Rec&Re	796	0	176	0	0	0	176	69	0	0	0	0	0	0	0	0	903
339.22174-HAVA Match	1,568	0	18	0	0	0	18	0	0	32	0	0	0	0	0	0	1,554
339.22175-VRSS	16	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	16
339.22177-Occ Hlth Clinic	1,212	0	9,122	0	0	0	9,122	8,792	338	4	0	0	219	0	0	92	889
339.22178-Crim Back Check	381	0	4	0	0	0	4	0	0	0	0	0	0	0	0	0	385
339.22180-SR-Connections	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22181-NYS Water Rescu	10	0	1	0	0	0	1	0	0	0	0	0	0	0	0	0	11
339.22182-OWIG Adm Reimb	3,174	0	2	0	0	0	2	0	0	0	0	0	0	0	0	0	3,176
339.22184-Wine Industry	5	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5
339.22185-Assembly Recyc	665	0	20	0	0	0	20	0	0	0	0	0	0	0	0	0	685
339.22186-Yth Fac PerDiem	99,869	0	55,635	0	0	0	55,635	0	0	0	0	0	0	0	0	65,000	90,504
339.22187-Provider Assess	200	0	857,705	0	0	0	857,705	857,792	0	0	0	0	0	0	0	0	113
339.22188-Fed Indirect Re	27	0	0	0	0	0	0	0	0	20	0	0	0	0	0	0	7
339.22189-DOCS Asset Forf	367	0	75	0	0	0	75	0	0	136	0	0	0	0	0	0	306
339.22190-Conference&Sign	108	0	1	0	0	0	1	0	0	0	0	0	0	0	0	0	109
339.22191-Educ Assessment	6	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	6
339.22192-Tax Ret Prep Fe	4,836	0	1,450	0	0	0	1,450	0	0	0	0	0	0	0	0	0	6,286
339.22193-Sales Tax Re Fe	12	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	12
339.22195-Equitable Shari	2,401	0	27	0	0	0	27	0	0	0	0	0	0	0	0	0	2,428
339.22196-C & F Qual Enha	14	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	14
339.22197-ULTVI Radia Dev	511	0	89	0	0	0	89	0	0	0	0	0	0	0	0	0	600
339.22198-HEP	79	0	1	0	0	0	1	0	0	11	0	0	0	0	0	0	69
339.22199-Airport Securit	25	0	18	0	0	0	18	0	0	0	0	0	0	0	0	0	43
339.22202-SBCI Account	13	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	13
339.22203-Article X Inter	1	0	102	0	0	0	102	0	0	0	0	0	0	0	0	0	103
339.22206-Wholesale Mkt	8,610	0	94	0	0	0	94	0	0	777	0	0	0	0	0	0	7,927
339.22207-Tech Financing	5,353	0	61	0	0	0	61	0	0	0	0	0	0	0	0	0	5,414
339.22212-Lake George Inv	86	0	451	0	0	0	451	0	0	281	9	0	16	0	0	0	231
339.22213-BOE Enforcement	152	0	19	0	0	0	19	0	0	0	0	0	0	0	0	0	171
339.22214-Fireworks Reven	486	0	341	0	0	0	341	0	0	0	0	0	0	0	0	0	827
339.22215-Delivery Transf	84	0	891	0	0	0	891	0	0	6	0	0	0	0	0	0	969

**CASH COMBINING STATEMENT
CAPITAL PROJECTS FUNDS
FY 2018**
(thousands of dollars)

	STATE CAPITAL PROJECTS (30000-30049)	DEDICATED HIGHWAY AND BRIDGE TRUST (30050-30099)	STATE UNIVERSITY RESIDENCE HALLS REHABILITATION AND REPAIR (30100-30299)	NEW YORK STATE CANAL SYSTEM DEVELOPMENT (30300-30349)	STATE PARK INFRASTRUCTURE (30350-30399)	PASSENGER FACILITY CHARGE (30400-30449)	ENVIRONMENTAL PROTECTION (30450-30499)	CONSERVATION THROUGH IMPROVED TRANSPORTATION BOND (30600-30609)	PURE WATERS BOND (30620-30629)	TRANSPORTATION CAPITAL FACILITIES BOND (30630-30639)
Opening Fund Balance	0	(31,050)	144,047	6,242	(84,302)	14	147,158	164	668	3,328
Receipts:										
Taxes	0	1,194,342	0	0	0	0	119,100	0	0	0
Miscellaneous Receipts	3,447,532	1,294,403	1,619	2,395	208,716	0	38,906	0	0	0
Federal Grants	0	4,997	0	0	0	0	0	0	0	0
Total Receipts	3,447,532	2,493,742	1,619	2,395	208,716	0	158,006	0	0	0
Disbursements:										
Local Assistance	1,999,793	6,970	0	83	0	0	89,692	0	0	0
State Operations	0	0	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	2,052,043	2,197,917	37,311	0	133,869	0	92,513	0	0	0
Total Disbursements	4,051,836	2,204,887	37,311	83	133,869	0	182,205	0	0	0
Other Financing Sources (Uses):										
Transfers from Other Funds	605,509	2,944,082	36,678	0	0	0	221,356	0	0	0
Transfers to Other Funds	(1,230)	(3,242,509)	(2,500)	0	0	0	(193,356)	0	0	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	604,279	(298,427)	34,178	0	0	0	28,000	0	0	0
Change in Fund Balance	(25)	(9,572)	(1,514)	2,312	74,847	0	3,801	0	0	0
Closing Fund Balance	(25)	(40,622)	142,533	8,554	(9,455)	14	150,959	164	668	3,328

	ENVIRONMENTAL PROTECTION QUALITY ACT (1972) (30640-30649)	REBUILD & RENEW NY TRANSPORTATION BOND (30650-30659)	TRANSPORTATION INFRASTRUCTURE RENEWAL BOND (30660-30669)	ENVIRONMENTAL QUALITY BOND ACT (1986) (30670-30679)	ACCELERATED CAPACITY AND TRANSPORTATION IMPROVEMENTS BOND (30680-30689)	CLEAN WATER/CLEAN AIR BOND (30690-30699)	FEDERAL CAPITAL PROJECTS (31350-31449)	FOREST PRESERVE EXPANSION (31450-31499)	HAZARDOUS WASTE REMEDIAL (31500-31549)	SUBURBAN TRANSPORTATION (31650-31699)
Opening Fund Balance	1,451	21,606	4,255	5,576	2,778	1,428	(569,605)	907	(156,030)	510
Receipts:										
Taxes	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	0	0	0	0	0	0	2,004	10	201,365	6
Federal Grants	0	0	0	0	0	0	2,119,626	0	0	0
Total Receipts	0	0	0	0	0	0	2,121,630	10	201,365	6
Disbursements:										
Local Assistance	0	0	0	0	0	0	695,301	0	4,473	0
State Operations	0	0	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	1,158,854	0	110,520	0
Total Disbursements	0	0	0	0	0	0	1,854,155	0	114,993	0
Other Financing Sources (Uses):										
Transfers from Other Funds	0	0	0	0	0	0	0	0	14,579	0
Transfers to Other Funds	(370)	(36,255)	0	(2,418)	0	(9,575)	(280,636)	0	(24,100)	0
Bond & Note Proceeds	339	33,629	0	3,059	0	9,575	0	0	0	0
Net Other Financing Sources (Uses)	(31)	(2,626)	0	641	0	0	(280,636)	0	(9,521)	0
Change in Fund Balance	(31)	(2,626)	0	641	0	0	(13,161)	10	76,851	6
Closing Fund Balance	1,420	18,980	4,255	6,217	2,778	1,428	(582,766)	917	(79,179)	516

**CASH COMBINING STATEMENT
CAPITAL PROJECTS FUNDS
FY 2018
(thousands of dollars)**

	DIVISION FOR YOUTH FACILITIES IMPROVEMENT (31700-31749)	HOUSING ASSISTANCE (31800-31849)	HOUSING PROGRAM (31850-31899)	NATURAL RESOURCE DAMAGES (31900-31949)	TRANSPORTATION ENGINEERING SERVICES (31950-31999)	STATE UNIVERSITY CAPITAL PROJECTS (32400-32999)	MISCELLANEOUS PROJECTS (32200-32499)	CITY UNIVERSITY OF NEW YORK CAPITAL PROJECTS (32250-32999)	MENTAL HYGIENE FACILITIES CAPITAL IMPROVEMENT (32300-32499)	CORRECTIONAL FACILITIES CAPITAL IMPROVEMENT (32350-32999)
Opening Fund Balance	(15,112)	(13,631)	(125,086)	19,172	(12,616)	184,346	45,635	(24)	(481,743)	(186,714)
Receipts:										
Taxes	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	24,795	522	99,971	525	0	14,565	39,658	(2)	98,231	254,167
Federal Grants	0	0	0	0	0	0	0	0	0	0
Total Receipts	24,795	522	99,971	525	0	14,565	39,658	(2)	98,231	254,167
Disbursements:										
Local Assistance	0	0	151,123	156	0	0	7	0	94,004	0
State Operations	0	0	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	35,112	0	0	1,959	(175)	96,484	56,753	0	123,913	355,744
Total Disbursements	35,112	0	151,123	2,115	(175)	96,484	56,760	0	217,917	355,744
Other Financing Sources (Uses):										
Transfers from Other Funds	0	0	230	0	0	57,992	32,447	0	1,500	108,271
Transfers to Other Funds	0	0	0	0	0	(1)	0	0	0	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	0	0	230	0	0	57,991	32,447	0	1,500	108,271
Change in Fund Balance	(10,317)	522	(50,922)	(1,590)	175	(23,928)	15,345	(2)	(118,186)	6,694
Closing Fund Balance	(25,429)	(13,109)	(176,008)	17,582	(12,441)	160,418	60,980	(26)	(599,929)	(180,020)

	SMART SCHOOLS BOND FUND (30710-30719)	NEW YORK STATE STORM RECOVERY (33000-33049)	DEDICATED INFRASTRUCTURE INVESTMENT (33050-33051)	CAPITAL PROJECTS OTHER	Sub Total	Eliminations	Financial Plan
Opening Fund Balance	0	(56,524)	82,648	0	(1,060,504)	0	(1,060,504)
Receipts:							
Taxes	0	0	0	0	1,313,442	0	1,313,442
Miscellaneous Receipts	0	0	0	0	5,729,388	0	5,729,388
Federal Grants	0	0	0	0	2,124,623	0	2,124,623
Total Receipts	0	0	0	0	9,167,453	0	9,167,453
Disbursements:							
Local Assistance	0	1,686	753,236	0	3,796,524	0	3,796,524
State Operations	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0
Debt Service	0	17,396	373,672	(1,000)	6,842,885	0	6,842,885
Capital Projects	0	19,082	1,126,908	0	10,639,409	0	10,639,409
Total Disbursements	0	19,082	1,126,908	0	10,639,409	0	10,639,409
Other Financing Sources (Uses):							
Transfers from Other Funds	0	0	1,105,916	0	5,128,560	(2,521,690)	2,606,870
Transfers to Other Funds	(113,768)	0	0	0	(3,906,718)	2,521,690	(1,385,028)
Bond & Note Proceeds	113,768	0	0	0	160,370	0	160,370
Net Other Financing Sources (Uses)	0	0	1,105,916	0	1,382,212	0	1,382,212
Change in Fund Balance	(19,082)	(19,082)	(20,992)	0	(897,444)	0	(897,444)
Closing Fund Balance	0	(75,606)	61,656	0	(1,150,248)	0	(1,150,248)

CASH COMBINING STATEMENT
DEBT SERVICE
FY 2018

	MENTAL HEALTH SERVICES (40100-40149)	GENERAL DEBT SERVICE (40150-40199)	HOUSING DEBT (40250-40299)	DEPARTMENT OF HEALTH INCOME (40300-40349)	CLEAN WATER/CLEAN AIR (40400-40449)	GOVERNMENT ASSISTANCE TAX (40450-40499)	LOCAL	Eliminations	Financial Plan
							Sub Total		
Opening Fund Balance	116,565	0	0	27,794	0	0	144,359	0	144,359
Receipts:									
Taxes	0	16,263,617	0	0	1,005,973	3,388,283	20,657,873	0	20,657,873
Miscellaneous Receipts	313,512	0	4,709	152,611	0	308	471,140	0	471,140
Federal Grants	0	73,484	0	0	0	0	73,484	0	73,484
Total Receipts	313,512	16,337,101	4,709	152,611	1,005,973	3,388,591	21,202,497	0	21,202,497
Disbursements:									
Local Assistance	0	0	0	0	0	0	0	0	0
State Operations	2,807	33,925	0	2,246	0	2,909	41,887	0	41,887
General State Charges	0	0	0	0	0	0	0	0	0
Debt Service	171,419	5,381,915	5,715	26,545	0	287,244	5,872,838	0	5,872,838
Capital Projects	0	0	0	0	0	0	0	0	0
Total Disbursements	174,226	5,415,840	5,715	28,791	0	290,153	5,914,725	0	5,914,725
Other Financing Sources (Uses):									
Transfers from Other Funds	1,401,525	2,823,650	1,006	36,324	62,409	0	4,324,914	(451,882)	3,873,032
Transfers to Other Funds	(1,539,432)	(13,744,911)	0	(152,774)	(1,068,382)	(3,098,439)	(19,603,938)	451,882	(19,152,056)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	(137,907)	(10,921,261)	1,006	(116,450)	(1,005,973)	(3,098,439)	(15,279,024)	0	(15,279,024)
Change in Fund Balance	1,379	0	0	7,370	0	(1)	8,748	0	8,748
Closing Fund Balance	117,944	0	0	35,164	0	(1)	153,107	0	153,107

**CASH COMBINING STATEMENT BY ACCOUNT
INTERNAL SERVICE
FY 2018**
(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
323.55009-Admin Support	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
323.55010-Design & Constr	(903)	0	58,627	0	0	0	58,627	0	25,218	20,956	667	0	15,312	0	0	0	62,153	(4,429)
323.55020-OGS Ent Contr	(60,853)	0	142,082	0	0	0	142,082	0	384	153,141	11	0	246	0	0	0	153,782	(72,553)
323.55022-Business Srv Ct	882	0	1,425	0	0	0	1,425	0	4,293	11	110	0	2,531	0	0	0	6,945	(4,638)
323.550ML-Broome St Mastie	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
323.550XX-Misc Centrl Srv	107	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	107
323.5502X-OGS Exec Direct	(10,407)	0	116,390	0	0	21,783	138,173	0	2,937	104,631	76	0	1,759	0	0	30,030	139,433	(11,667)
323.5502Y-OGS Bldg Admin	2,052	0	24,203	0	0	0	24,203	0	2,577	26,691	22	0	608	0	0	11	29,909	(3,654)
323.5502Z-OGS Sld & Purch	(1,419)	0	(2,901)	0	0	0	(2,901)	0	2,373	(5,180)	25	0	591	0	0	21	(2,170)	(2,150)
334.55050-Agencies Int Sv	15	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	15
334.55052-Archives R	76	0	1,514	0	0	0	1,514	0	608	170	20	0	455	0	0	114	1,367	223
334.55053-Fedl Single Aud	2,444	0	1,182	0	0	0	1,182	0	0	1,331	0	0	0	0	0	0	1,331	2,295
334.55054-Quick Copy Cent	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
334.55055-CS Administrat	2,028	0	7,412	0	0	0	7,412	0	2,240	1,919	66	0	1,530	0	0	1,400	7,155	2,285
334.55056-EHS Occup Hlth	29	0	694	0	0	0	694	0	472	0	0	0	0	0	0	0	472	251
334.55057-Banking Service	(8)	0	360	0	0	40,514	40,874	0	0	40,874	0	0	0	0	0	0	40,874	(8)
334.55058-Cult Resources	(4,634)	0	3,723	0	0	0	3,723	0	1,338	1,059	36	0	825	0	0	207	3,485	(4,376)
334.55059-Neighbor Work P	(9,775)	0	8,889	0	0	1,000	9,889	0	0	12,497	0	0	0	0	0	0	12,497	(12,383)
334.55060-Auto/Print Chgb	1,438	0	19,420	0	0	0	19,420	0	7,896	3,292	0	0	7,010	0	0	0	18,198	2,660
334.55061-NYT Account	(3,290)	0	1,144	0	0	0	1,144	0	0	0	0	0	0	0	0	0	0	(2,146)
334.55062-State Data Ctr	(54,400)	0	159	0	0	0	159	0	0	996	0	0	0	0	0	0	996	(55,237)
334.55063-Human Svcs Tele	4,007	0	61	0	0	0	61	0	0	0	0	0	0	0	0	0	0	4,068
334.55065-OPWDD Copy Cent	717	0	37	0	0	0	37	0	0	0	0	0	0	0	0	0	0	754
334.55066-Intrusion Dete	(1,263)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1,263)
334.55067-Dom Violence Cr	(104)	0	568	0	0	0	568	0	504	53	0	0	0	0	0	0	557	(93)
334.55068-Statewide Train	106	0	20	0	0	0	20	0	0	0	0	0	0	0	0	0	0	126
334.55069-Centralized Tec	(14,821)	0	28,614	0	0	8,960	37,574	0	0	66,738	0	0	0	0	0	0	66,738	(43,985)
334.55070-Learning Mgmt S	1,765	0	3	0	0	0	3	0	0	0	0	0	0	0	0	0	0	1,768
334.55071-Labor Cont Ctr	(19)	0	2,151	0	0	0	2,151	0	1,072	135	29	0	661	0	0	125	2,022	110
334.55072-HS Cont Ctr	(580)	0	13,027	0	0	0	13,027	0	6,560	961	167	0	3,839	0	0	0	11,527	920
334.55074-Civil Recoverie	0	0	4,958	0	0	0	4,958	0	3,260	4,844	66	0	1,540	0	0	0	9,710	(4,752)
334.550CR-Civil Recover	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
334.550MI-Personnel Mgmt	29	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	29
334.550PF-Public Finanng	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
334.550XZ-Misc Intl Serv	(116)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(116)
343.55100-Mental Hygiene	308	0	930	0	0	0	930	0	562	278	15	0	345	0	0	0	1,200	38
347.55150-DFY Voc Educatn	57	0	27	0	0	0	27	0	0	16	0	0	0	0	0	0	16	68
394.55200-Joint Labor-Mgt	1,475	0	996	0	0	0	996	0	385	108	10	0	218	0	0	0	721	1,750
395.55251-Ex Dir Intl Aud	(5,008)	0	2,520	0	0	0	2,520	0	2,418	46	63	0	1,458	0	0	0	3,985	(6,473)
395.55252-CIO INFO TECH C	(10,577)	0	12,985	0	0	0	12,985	0	10,619	7,063	280	0	6,425	0	0	0	24,387	(21,979)
396.55300-Health Insuranc	(7,777)	0	12,543	0	0	8,083	20,626	0	8,184	406	213	0	4,889	0	0	3,704	17,396	(4,547)
396.55301-CS EBD Adm Reim	(9,360)	0	6,732	0	0	0	6,732	0	1,597	0	43	0	998	0	0	0	2,638	(5,266)
397.55350-Correctional In	(22,594)	0	52,819	0	0	12,000	64,819	0	17,007	39,227	501	0	10,193	0	0	227	67,155	(24,930)

**CASH COMBINING STATEMENT BY ACCOUNT
ENTERPRISE
FY 2018**
(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
324.50000-DFY Commissary	144	0	34	0	0	0	34	0	0	74	0	0	0	0	0	0	74	104
325.50050-State Fair Rece	886	0	13,701	0	0	0	13,701	0	4,404	8,763	10	0	0	0	0	0	13,177	1,410
326.50100-DOCS Commissary	3,229	0	38,077	0	0	0	38,077	0	0	37,857	0	0	0	0	0	0	37,857	3,449
331.50301-Mental Disab Pr	17	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	17
331.50302-DFY Products	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
331.50304-Maps And Demogr	13	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	13
331.50305-Empire St Games	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
331.50306-DSS Trng Matrls	172	0	2	0	0	0	2	0	0	0	0	0	0	0	0	0	0	174
331.50311-Arts & Crafts	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
331.50313-TRAID Services	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
331.50318-Convention Ctr	29	0	1,254	0	0	0	1,254	0	751	135	20	0	449	0	0	0	1,355	(72)
331.50319-Africa Emp Mess	1,297	0	1,527	0	0	0	1,527	0	272	908	9	0	200	0	0	0	1,389	1,435
331.50322-Asset Preservat	84	0	14	0	0	0	14	0	0	0	0	0	0	0	0	0	0	98
331.50323-Farm Program	798	0	424	0	0	0	424	0	149	305	3	0	80	0	0	0	537	685
331.50327-Emp Plz Gift Sh	(72)	0	214	0	0	0	214	0	80	97	2	0	52	0	0	0	231	(89)
351.50400-OMH Sheit Wkshs	2,175	0	341	0	0	0	341	0	0	378	0	0	0	0	0	0	378	2,138
352.50450-MR Shel Wkshop	1,793	0	721	0	0	0	721	0	0	645	0	0	0	0	0	0	645	1,869
353.50500-MH & MR Communi	4,152	0	1,259	0	0	0	1,259	0	54	879	1	0	267	0	0	0	1,201	4,210
353.50516-MR Community St	145	0	15	0	0	0	15	0	0	13	0	0	0	0	0	0	13	147
450.2595F-IEA / State Fai	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
481.50650-U / Benefit Fnd	863,566	2,174,038	13	18,138	0	0	2,192,189	0	0	0	0	2,192,314	0	0	0	0	2,192,314	863,441
481.50651-Interest Assess	5,147	0	331	0	0	0	331	0	0	0	0	0	0	0	0	0	0	5,478
481.506FS-Federal Stimulu	(860,933)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(860,933)

CASH COMBINING STATEMENT
GENERAL FUND
FY 2019
(millions of dollars)

	General Fund	Stabilization Reserve Fund	Tax Reserve Fund	Contingency Reserve Fund	Community Projects Fund	Rainy Day Reserve Fund	Extraordinary Monetary Settlements	Refund Reserve	Debt Management	Escrow Account	Fringe Benefits	Eliminations	Total
Opening Fund Balance	0	1,258	21	46	540	5,020	2,060	500	0	0	0	0	9,445
Receipts:													
Taxes	37,070	0	0	0	0	0	0	0	0	0	0	0	37,070
Miscellaneous Receipts	2,019	0	0	0	0	108	0	0	0	0	0	0	2,127
Federal Grants	0	0	0	0	0	0	0	0	0	0	0	0	0
Total receipts	39,089	0	0	0	0	108	0	0	0	0	0	0	39,197
Disbursements:													
Local Assistance	51,053	0	0	10	0	0	0	0	0	0	0	0	51,063
State Operations	11,745	0	0	0	0	0	0	0	0	0	0	0	11,745
General State Charges	7,553	0	0	0	0	0	0	0	0	0	0	0	7,553
Debt Service	0	0	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0
Total disbursements	70,351	0	0	10	0	0	0	0	0	0	0	0	70,361
Other financing sources (uses):													
Transfers from Other Funds	73,702	0	0	0	0	0	512	0	0	0	0	(40,751)	33,463
Transfers to Other Funds	(42,440)	0	0	(19)	0	(2,115)	(2,417)	0	0	0	0	40,751	(6,240)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0
Net other financing sources (uses)	31,262	0	0	(19)	0	(2,115)	(1,905)	0	0	0	0	0	27,223
Change in Fund Balance	0	0	0	(29)	0	(2,007)	(1,905)	0	0	0	0	0	(3,941)
Closing Fund Balance	0	1,258	21	17	540	3,013	155	500	0	0	0	0	5,504

**CASH COMBINING STATEMENT
SPECIAL REVENUE FUNDS
FY 2019**
(Thousands of Dollars)

	MENTAL HEALTH SERVICES AND DONATIONS (20900-20999)	COMBINED EXPENDABLE TRUST (20100-20299)	NEW YORK FINANCIAL LAWYER ACCOUNT (20300-20349)	NEW YORK STATE PARTNERSHIP TRUST (20350-20399)	GRAND PERFORMERS PROTECTION (20400-20449)	TUITION REIMBURSEMENT (20450-20499)	LOCAL GOVERNMENT IMPROVEMENT (20500-20549)	SCHOOL TAX RELIEF (20550-20599)	CHARTER SCHOOL STIPENDIUM (20600-20649)	HEALTH CARE REFORM ACT (20800-20849)	DEDICATED TRUST (20850-20899)
Opening Fund Balance	2,335	64,068	39,952	50	24	6,735	4,908	(1)	5,664	14,573	75,055
Receipts:											
Taxes	0	0	0	0	0	0	0	2,459,259	0	791,000	474,800
Miscellaneous Receipts	72	(159,506)	21,600	318	65	4,305	9,233	0	0	4,990,102	144,489
Federal Grants	0	0	0	0	0	0	0	0	0	0	0
Total Receipts	72	(159,506)	21,600	318	65	4,305	9,233	2,459,259	0	5,771,102	619,289
Disbursements:											
Local Assistance	0	8,991	23,000	0	0	0	5,056	2,459,259	4,837	5,522,113	682,844
State Operations	72	2,881	1,493	420	247	2,666	2,149	0	0	39,779	0
General State Charges	0	220	524	187	149	1,195	1,115	0	0	5,798	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	72	12,092	25,017	607	396	3,861	8,320	2,459,259	4,837	5,567,690	682,844
Other Financing Sources (Uses):											
Transfers from Other Funds	0	180,892	0	300	300	0	0	0	4,837	0	65,884
Transfers to Other Funds	0	0	0	(8)	0	(265)	(1,383)	0	0	(217,978)	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	0	180,892	0	292	300	(265)	(1,383)	0	4,837	(217,978)	65,884
Change in Fund Balance	0	9,294	(3,417)	3	(31)	179	(470)	0	0	(14,566)	2,329
Closing Fund Balance	2,335	73,362	36,535	53	(7)	6,914	4,438	(1)	5,664	7	77,384

	STATE LOTTERY (20900-20949)	COMBINED STUDENT LOAN (20950-20999)	FEDERAL MTA FINANCIAL ASSISTANCE (21650-21699)	FEDERAL USIA/FOOD AND SERVICES (21900-21999)	FEDERAL HEALTHCARE (21950-21999)	FEDERAL MISCELLANEOUS GRANTS (21990-21999)	SEWAGE TREATMENT PROGRAM ADMINISTRATION (21000-21049)	TRICOR REVENUE (21050-21099)	CONSERVATION (21150-21199)	ENVIRONMENTAL PROTECTION AND COMPENSATION (21200-21249)
Opening Fund Balance	105,952	9,489	165,729	25,450	370,548	(227,679)	(3,342)	(4,707)	73,557	36,754
Receipts:										
Taxes	0	0	99,000	0	0	0	0	0	0	0
Miscellaneous Receipts	3,253,761	27,467	193,500	100,011	43,998	4,255	900	77,111	46,792	57,976
Federal Grants	0	650	0	2,115,926	50,425,689	1,417,488	0	0	0	0
Total Receipts	3,253,761	28,117	292,500	2,215,937	50,469,687	1,421,743	900	77,111	46,792	57,976
Disbursements:										
Local Assistance	3,200,800	0	694,334	2,106,964	47,354,578	1,088,760	0	0	0	0
State Operations	52,905	28,348	0	61,382	1,017,166	521,522	432	66,038	24,565	13,356
General State Charges	11,833	0	0	13,499	109,778	48,314	85	27,071	13,855	7,658
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0
Total Disbursements	3,265,538	28,348	694,334	2,181,845	48,481,522	1,399,427	517	93,109	38,420	21,014
Other Financing Sources (Uses):										
Transfers from Other Funds	0	0	268,885	(34,092)	(1,993,085)	11,517	0	19,157	75	19,006
Transfers to Other Funds	(4,870)	0	(2,225)	0	0	(93,833)	0	(10,751)	(1,895)	(493,306)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	(4,870)	0	266,660	(34,092)	(1,993,085)	(12,827)	0	8,406	(1,820)	(303,300)
Change in Fund Balance	(16,647)	(251)	(3,154)	(4,920)	(4,920)	(46)	383	(7,592)	6,552	6,662
Closing Fund Balance	89,305	9,258	30,555	25,450	365,628	(1,135)	(2,959)	(12,299)	80,109	43,416

**CASH COMBINING STATEMENT
SPECIAL REVENUE FUNDS
FY 2019**
(Thousands of dollars)

	TRAINING AND EDUCATION RESEARCH OCCUPATIONAL SAFETY AND HEALTH (2150-2199)	LAWYERS' PROTECTION FOR CLIENTS (2300-2199)	EQUIPMENT FOR THE DISABLED (2150-2199)	TRANSPORTATION ASSISTANCE (2400-2149)	MASS OPERATING ASSISTANCE (2400-2149)	CLEAN AIR (2150-2199)	NEW YORK STATE INFRASTRUCTURE TRUST (2100-2199)	LEGISLATIVE SERVICES (2150-2199)	STATE UNIVERSITY DOMINION INCOME (4050-4099)	COMBINED EXPENDABLE TRUST (2150-2199)	WINTER EDUCATION TRUST (2100-2199)	MEDICAL INSTRUMENTS REVOLVING FUND (2190-2199)
Opening Fund Balance	4,333	7,650	538	17,729	(25,558)	69	10,925	240,234	461	0	0	1
Receipts:												
Taxes	0	0	0	2,308,600	0	0	0	0	0	0	0	0
Miscellaneous Receipts	48,496	10,000	50	17,500	43,200	0	1,719	344,024	115	75	0	0
Federal Grants	0	0	0	0	0	0	0	0	0	0	0	0
Total Receipts	48,496	10,000	50	2,326,100	43,200	0	1,719	344,024	115	75	0	0
Disbursements:												
Local Assistance	0	0	0	2,279,866	0	0	0	0	0	0	0	0
State Operations	33,684	12,600	91	4,051	23,224	0	950	0	59	75	0	0
General State Charges	13,505	200	0	2,124	12,176	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	47,189	12,800	91	2,286,021	35,400	0	950	0	59	75	0	0
Other Financing Sources (Uses):												
Transfers from Other Funds	0	0	0	37,319	0	0	0	0	0	0	0	0
Transfers to Other Funds	0	0	(7)	(17,421)	(6,404)	0	0	(384,016)	0	0	0	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	0	0	(7)	19,898	(6,404)	0	0	(384,016)	0	0	0	0
Change in Fund Balance	1,307	(2,800)	(48)	59,977	1,396	0	769	(50,992)	56	0	0	0
Closing Fund Balance	5,640	4,850	490	17,706	(24,162)	69	11,694	240,242	517	0	0	1

	ARTS CAPITAL RISKS (2150-2199)	MISCELLANEOUS STATE SPECIAL TRUST (2100-2199)	COURT FACILITIES INCL. (2200-2249)	EMPLOYMENT (2250-2299)	STATE UNIVERSITY (2250-2299)	CHEMICAL DEFENSE (2270-2299)	LAKE GEORGE PROTECTION (2270-2299)	LAW ENFORCEMENT & MOTOR VEHICLE THEFT & INSURANCE PROGRAMS (2280-2289)	NEW YORK GREAT LAKES (2250-2299)	FEDERAL REVENUE MAXIMIZATION (2300-2399)	HOBBS TRUST (2350-2399)
Opening Fund Balance	915	1,240,365	2,884	51	1,370,595	14,526	(142)	27,137	704	23	10,558
Receipts:											
Taxes	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	60	1,833,068	150	0	4,761,222	106,479	1,208	123,567	160	0	900
Federal Grants	0	89	0	0	0	0	0	0	0	0	0
Total Receipts	60	1,833,157	150	0	4,761,222	106,479	1,208	123,567	160	0	900
Disbursements:											
Local Assistance	98	357,706	108,700	0	0	0	0	4,237	0	0	852
State Operations	0	1,175,932	1,800	0	5,946,301	6,456	971	9,244	155	0	0
General State Charges	0	380,685	800	0	474,407	0	425	35	53	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	98	1,914,323	111,300	0	6,420,708	6,456	1,396	13,516	208	0	852
Other Financing Sources (Uses):											
Transfers from Other Funds	0	690,156	110,000	0	1,756,576	0	0	0	0	0	0
Transfers to Other Funds	0	(615,896)	(167)	0	(212,727)	(113,500)	0	(116,520)	0	0	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	(38)	(6,906)	(1,317)	0	1,543,849	(113,500)	(1,888)	(116,520)	(48)	0	48
Change in Fund Balance	877	1,233,459	1,567	51	1,254,938	1,049	(330)	20,668	656	23	10,606
Closing Fund Balance	6,517	6,083,319	4,457	51	6,015,630	15,615	764	240,910	1,173	23	11,514

**CASH COMBINING STATEMENT
SPECIAL REVENUE FUNDS
FY 2019**
(Thousands of dollars)

	RF'S DOT HIGHWAY SAFETY PROGRAM (29000-29049)	VOLUNTARY REHABILITATION PROGRAM (23500-23599)	DRINKING WATER PROCESsing MANAGEMENT AND ADMINISTRATION (23100-23149)	NEW YORK CITY COMMUNITY OPERATIONS OFFSET (23150-23199)	JUDGARY OFFICE PROCESSING OFFSET (23200-23249)	CITY UNIVERSITY TUITION REIMBURSEMENT (23250-23449)	US OLYMPIC COMMITTEE/ LAKERS OLYMPIC TRAINING (23300-23349)	INDUSTRIAL SERVICES LEGAL (23350-23399)	UNEMPLOYMENT ADMINISTRATION (25900-25949)	UNEMPLOYMENT INTEREST (26000-26049)	UNEMPLOYMENT OCCUPATIONAL TRAINING (25950-25999)
Opening Fund Balance	(10,507)	4	(5,351)	(25,782)	19,608	169,199	179	265,674	128,159	35,534	(510)
Receipts:											
Taxes	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	3,068	100	0	34,900	40,000	97,641	85	132,000	53,840	9,600	0
Federal Grants	0	0	0	0	0	0	0	0	306,186	0	7,780
Total Receipts	3,068	100	0	34,900	40,000	97,641	85	132,000	360,026	9,600	7,780
Disbursements:											
Local Assistance	0	20	0	0	0	0	0	161,187	9,339	0	7,780
State Operations	3,449	25	0	23,400	24,700	76,209	75	28,815	213,034	2,685	0
General State Charges	0	0	0	11,500	8,900	7,892	0	1,906	87,084	1,173	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	3,449	45	0	34,900	33,600	84,101	75	191,908	309,457	3,858	7,780
Other Financing Sources (Uses):											
Transfers from Other Funds	0	0	0	4,400	0	0	0	35,000	0	0	0
Transfers to Other Funds	0	(32)	(1,108)	0	0	0	0	(50,569)	0	(11,583)	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
Net Other financing sources (uses)	(32)	(32)	(1,108)	4,400	6,400	13,540	10	35,000	(50,569)	(11,583)	0
Change in Fund Balance	(10,548)	23	(1,108)	(21,382)	26,008	182,739	189	238,766	128,159	29,683	(510)
Closing Fund Balance	(10,548)	27	(6,459)	(21,382)	26,008	182,739	189	238,766	128,159	29,683	(510)

	FEDERAL EMPLOYMENT AND TRAINING GRANTS (26000-26049)	NEW YORK COMMERCIAL GAMING (23700-23749)	MEDICAL MARIJUANA TRUST (23750-23799)	MISCELLANEOUS REVENUE (23800-23801)	DISTRICTED REVENUE (24850-24851)	FANTASY SPORTS (24950-24951)	HEALTH CARE TRANSFER	CHARITABLE TRUST FUND	SPECIAL REVENUE OTHER	SUB TOTAL	ELIMINATIONS	FINANCIAL PLAN
Opening Fund Balance	(1,209)	23,435	5,616	4,112	8,007	0	0	0	0	4,302,051	0	4,302,051
Receipts:												
Taxes	0	0	2,100	3,000	0	0	0	(1,000)	0	6,136,759	0	6,136,759
Miscellaneous Receipts	0	168,411	0	1,867	5,000	0	1,068,000	0	0	17,713,346	0	17,713,346
Federal Grants	162,466	0	0	0	0	0	0	0	0	57,576,141	0	57,576,141
Total Receipts	162,466	168,411	2,100	4,867	5,000	0	1,068,000	TBD	(1,000)	81,426,246	0	81,426,246
Disbursements:												
Local Assistance	134,762	173,580	1,800	0	0	0	0	TBD	0	68,950,984	0	68,950,984
State Operations	22,354	3,523	4,604	1,335	708	0	47,000	0	47,000	9,755,263	0	9,755,263
General State Charges	8,258	1,346	1,110	491	292	0	0	0	0	1,312,078	0	1,312,078
Debt Service	0	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	165,374	178,449	7,514	1,826	1,000	0	47,000	TBD	47,000	80,018,325	0	80,018,325
Other Financing Sources (Uses):												
Transfers from Other Funds	0	0	6,550	0	0	0	0	0	0	3,210,854	(1,037,623)	2,173,231
Transfers to Other Funds	0	(1,502)	0	(65)	0	0	(500,000)	0	0	(4,394,015)	1,037,623	(3,356,392)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0
Net Other financing sources (uses)	(2,908)	(11,540)	6,550	(65)	0	0	(500,000)	0	0	(1,183,161)	0	(1,183,161)
Change in Fund Balance	(4,177)	11,895	6,752	7,108	12,007	0	568,000	TBD	(48,000)	4,526,811	0	4,526,811
Closing Fund Balance	(4,177)	11,895	6,752	7,108	12,007	0	568,000	TBD	(48,000)	4,526,811	0	4,526,811

CASH COMBINING STATEMENT BY ACCOUNT
SPECIAL REVENUE OTHER FUNDS (Excluding Fund 339)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local Assistance			NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
								72	PS	72									
019.201000-Ment Hpy Gifts	2,332	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2,332	
020.201000-Combined Exp Tr	(95)	0	(179,300)	0	0	179,300	0	0	0	0	0	0	0	0	0	0	0	0	
020.201014-Peering Fields	1,453	0	360	0	0	0	360	0	216	48	7	0	188	0	0	0	407	1,368	
020.201015-Chemical Restor	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	
020.201016-Aging Disease	51	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	51	
020.201017-DOCS Gift & Don	52	0	5	0	0	0	5	0	0	5	0	0	0	0	0	0	5	52	
020.201018-Helen Hayes Hsp	59	0	0	0	0	0	0	0	0	35	0	0	0	0	0	0	35	24	
020.201110-Oxford Donation	308	0	166	0	0	0	166	0	0	50	0	0	0	0	0	0	50	424	
020.201111-Dorab StAdams	5	0	0	0	0	0	0	0	0	13	0	0	0	0	0	0	13	(8)	
020.201112-CVB Gifts & Beq	89	0	5	0	0	0	5	0	0	0	0	0	0	0	0	0	5	86	
020.201113-Donations-Batav	24	0	19	0	0	0	19	0	0	40	0	0	0	0	0	0	40	3	
020.201114-Montrose Donati	180	0	12	0	0	0	12	0	0	13	0	0	0	0	0	0	13	179	
020.201116-IBR Genetic Cou	33	0	108	0	0	0	108	0	0	108	0	0	0	0	0	0	108	33	
020.201118-Tech Transfer	15	0	50	0	0	0	50	0	0	24	0	0	0	0	0	0	24	41	
020.201200-Spec Events	2,506	0	138	0	0	0	138	0	0	0	0	0	0	0	0	0	0	2,644	
020.201212-L.M. Josephthal	48	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	48	
020.201214-OSC Misc Grant	14	0	0	0	0	0	0	0	44	518	1	0	28	0	0	0	519	1,663	
020.201216-NYSCB Ven Stand	1,304	0	950	0	0	0	950	0	0	1	0	0	0	0	0	0	1	1,863	
020.201217-DMNA Military	12	0	0	0	0	0	0	750	0	0	0	0	0	0	0	0	0	3,433	
020.201218-WB Hoyt Memoria	3,561	0	0	0	0	622	622	0	0	17	0	0	0	0	0	0	17	1,959	
020.201219-NYSCB Gift & Beq	176	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	27,225	
020.201300-SI Transm Money	19,725	0	8,000	0	0	0	8,000	0	43	418	0	0	17	0	0	0	478	(203)	
020.20142-Youth Grants &	275	0	0	0	0	0	0	820	0	0	0	0	0	0	0	0	820	1,380	
020.20143-Abtheims Dis	1,640	0	270	0	0	270	540	0	0	7	0	0	0	0	0	0	7	150	
020.20144-Local Gov Comm	145	0	12	0	0	0	12	0	0	0	0	0	0	0	0	0	0	1	
020.20147-Prostate/Telc	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	
020.20149-Aulian Awaie &	292	0	10	0	0	0	10	0	0	18	0	0	0	0	0	0	18	284	
020.20150-Emergency Serv	15,864	0	5,688	0	0	0	5,688	3,101	127	83	4	0	30	0	0	0	3,355	18,217	
020.20151-Belton Chapel	345	0	20	0	0	0	20	0	0	23	0	0	0	0	0	0	23	342	
020.20152-Rome-Gifts And	75	0	20	0	0	0	20	0	0	19	0	0	0	0	0	0	19	66	
020.20155-6r Cain Res & Ed	7,659	0	540	0	0	500	1,040	2,580	0	0	0	0	0	0	0	0	2,580	6,119	
020.20159-Community Relat	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	
020.20162-Disast Tech Asst	30	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	30	
020.20165-DMNA Youth Prog	105	0	5	0	0	0	5	0	0	5	0	0	0	0	0	0	5	105	
020.20166-Erie Canal Muse	14	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	14	
020.20167-Grants and Bequ	8	0	1	0	0	0	1	0	0	2	0	0	0	0	0	0	2	7	
020.20174-Life Pass It on	1,392	0	400	0	0	0	400	0	0	177	0	0	0	0	0	0	177	1,615	
020.20175-Misc. Gifts Acc	(1,051)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1,051)	
020.20179-Multiple Sclero	8	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	8	
020.20182-Parole Ofc Mem	43	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	43	
020.20183-Prostate Cancor	2,778	0	240	0	0	200	440	520	0	0	0	0	0	0	0	0	520	2,698	
020.20185-Percy T Phillip	41	0	0	0	0	0	0	0	0	142	0	0	0	0	0	0	142	41	
020.20192-Missing Children	96	0	0	0	0	0	0	0	256	0	0	0	0	0	0	0	0	105	
020.20197-DCU01 Combs Gift	(6)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(6)	
020.20199-HESC Gifts Dona	534	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	534	
020.201B4-DFY Rec & Welfr	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)	
020.201B8-DAAA Grnts And	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)	
020.201DR-Human Rights Dis	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)	
020.201F1-Women Vet Monum	150	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	150	
020.201FF-Ford Foundation	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)	
020.201GW-CCF Grts & Beqs	62	0	100	0	0	0	100	0	14	90	1	0	9	0	0	0	114	48	
020.201HH-OMH Grant & Beq	794	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	794	
020.201HRRPML-Schoolcaf	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	
020.201HPC-DCUS. MLNY Pol	(1)	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	(1)	
020.201RP-Aging Grants-An	(6)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(6)	
020.201RX-RW-Jonsson Foun	1,777	0	1,500	0	0	0	1,500	1,000	0	67	0	0	0	0	0	0	1,067	2,210	
020.201XXS-U Resistic Cur	12	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	12	
020.201Z5-Grants	262	0	300	0	0	0	300	0	0	0	0	0	0	0	0	0	0	562	
020.201ZZ-Donated Funds	(82)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(82)	
020.20200-NY Teen Hlt Ed	0	0	120	0	0	0	120	120	0	0	0	0	0	0	0	0	0	0	
020.20201-Veterans Rem Ce	902	0	75	0	0	0	75	0	0	0	0	0	0	0	0	0	0	977	
020.20205-Mental Illness	155	0	58	0	0	0	58	100	0	0	0	0	0	0	0	0	0	213	
020.20206-Women's Cancer	157	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	157	
020.MHC-GG-MH-Combined Gifts	0	0	222	0	0	0	222	0	0	225	0	0	0	0	0	0	225	(3)	
023.20300-N Y Int Lawyers	39,951	0	21,600	0	0	0	21,600	23,000	820	619	54	0	524	0	0	0	25,017	36,534	
024.20350-NYS Archvs Pine	51	0	318	0	0	300	618	0	292	119	9	0	187	0	0	0	615	54	
025.20401-Child Performer	27	0	65	0	0	300	365	0	232	9	6	0	149	0	0	0	396	(4)	

CASH COMBINING STATEMENT BY ACCOUNT
SPECIAL REVENUE OTHER FUNDS (Excluding Fund 339)
FY 2019

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local Assistance		PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance	
								Local	State											
050.2045-T.Mtrn Remb	4,671	0	705	0	0	0	705	0	0	0	200	0	0	101	0	0	23	324	5,622	
050.2045-Voc School Stipe	2,064	0	3,600	0	0	0	3,600	0	1,173	0	700	53	0	1,094	0	0	242	3,862	1,662	
052.2050-Voc Con Record	4,906	0	9,233	0	0	0	9,233	5,056	1,745	0	350	54	0	1,115	0	0	1,363	9,703	4,436	
053.2050-Sch Tax Relief	(1)	0	0	0	0	0	0	2,459,259	0	0	0	0	0	0	0	0	0	2,459,259	(1)	
054.2001-Charter School	5,665	0	0	0	0	4,837	4,837	0	0	0	0	0	0	0	0	0	0	4,837	5,665	
055.2070-T.Greeway Commun	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)	
056.2070-Greeway Heft	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	
059.2075-Alcohol&Subst A	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)	
061.2080-LTC Ins Res Acc	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)	
061.2080-Tobacco Cntr &	83	0	0	0	0	0	0	0	1,885	0	140	59	0	1,162	0	0	0	3,276	(3,193)	
061.2080-Health Care Srv	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	
061.2080-Medical Fraud	(2)	0	0	0	0	0	0	3,836,500	0	0	0	0	0	0	0	0	0	3,836,500	(2)	
061.2080-Medical Asst.	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)	
061.2080-Enhanced Com	696	0	0	0	0	0	0	371,684	0	0	8,209	0	0	0	0	0	0	379,873	(379,177)	
061.2080-HCRA Program	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)	
061.2080-HCRA Trainon	997	0	0	0	0	0	0	10,570	2,168	0	1,381	67	0	1,371	0	0	131	15,688	(14,691)	
061.2081-Child Health In	(45)	0	0	0	0	0	0	256,029	722	0	9,966	175	0	558	0	0	208,597	307,450	(307,465)	
061.2081-HCRA Underinbu	10,578	791,000	4,936,725	0	0	0	5,727,725	0	0	0	0	0	0	0	0	0	0	208,597	5,520,716	
061.2081-Ad Home Res Co	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)	
061.2081-Primary Care In	78	0	0	0	0	0	0	60	0	0	0	0	0	0	0	0	158	619	(541)	
061.2081-Proz Coll Maint	(1)	0	0	0	0	0	0	0	277	0	0	0	0	175	0	0	0	0	(1)	
061.2081-Prid Health In	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	102	102	(69)	
061.2081-Indepnt Care	1,168	0	0	0	0	0	0	882,500	0	0	0	0	0	0	0	0	0	882,500	(889,201)	
061.2081-EPIC Premiam	306	0	43,377	0	0	0	43,377	134,789	716	10,189	23	0	0	467	0	0	0	136,178	(82,465)	
061.2081-Health Occup De	277	0	0	0	0	0	0	0	655	168	0	0	0	414	0	0	0	1,279	(1,002)	
061.2082-Miam & CH HIV	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	
061.2082-Cig Task Force	244	0	0	0	0	0	0	0	2,419	2	206	64	0	144	0	0	0	380	(196)	
073.2085-Traiser Author	51,663	370,828	112,872	0	0	51,394	535,054	534,825	0	0	0	0	0	1,487	0	0	0	4,176	(4,048)	
073.2085-Railroad Accoun	9,248	65,464	19,802	0	0	9,216	94,462	93,410	0	0	0	0	0	0	0	0	0	534,825	51,632	
073.2085-DMTF	14,141	38,508	11,815	0	0	5,274	55,997	54,609	0	0	0	0	0	0	0	0	0	93,410	10,320	
160.2090-Education - New	135	0	2,294,000	0	0	0	2,294,000	2,294,000	0	0	0	0	0	0	0	0	0	54,609	15,239	
160.2090-Lettery Admin New	97,278	0	40,921	0	0	0	40,921	17,842	0	0	0	0	0	10,151	0	0	4,204	62,921	75,278	
160.2090-VLT Administrat	8,481	0	12,040	0	0	0	12,040	2,978	0	0	1,280	81	0	1,682	0	0	666	13,634	13,634	
160.2090-VLT - Education	59	0	906,800	0	0	0	906,800	906,800	0	0	0	0	0	0	0	0	0	906,800	59	
221.2090-Comb Student Ln	9,490	0	27,467	650	0	288,885	288,885	402,334	0	0	28,348	0	0	0	0	0	0	28,348	9,259	
225.2051-Mobility Tax Tr	134,703	0	0	0	0	0	0	292,500	0	0	0	0	0	0	0	0	2,000	404,334	(746)	
225.2052-MTA Ad Trust	30,486	99,000	193,500	0	0	0	392,500	292,500	0	0	0	0	0	0	0	0	225	292,225	30,771	
300.21002-Emon Admin Acc	(3,344)	0	900	0	0	0	900	0	418	14	0	0	0	85	0	0	0	517	(2,961)	
301.21051-ErCon Energy Ef	156	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	156	
301.21052-ErCon-Subsid As	224	0	20	0	0	0	20	0	0	0	0	0	0	0	0	0	0	244	0	
301.21053-Wst Tree Mgr/Re	24,941	0	19,200	0	0	0	19,200	0	12,842	2,000	0	0	0	8,205	0	0	0	23,443	20,698	
301.21054-Oil & Gas Accou	129	0	108	0	0	0	108	0	0	0	98	0	0	0	0	0	0	98	139	
301.21055-Mineral/Cosial	177	0	13	0	0	0	13	0	0	0	0	0	0	0	0	0	0	0	190	
301.21060-Indirect Charge	2,207	0	350	0	0	10,157	10,157	0	4,895	3,895	160	0	0	3,128	0	0	2,085	14,124	(1,780)	
301.21061-Hazardous Sub B	948	0	0	0	0	0	0	0	243	0	40	0	0	0	0	0	0	389	909	
301.21063-S-Area Landfill	20	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	20	
301.21064-Utility Envr R	1	0	0	0	0	0	0	0	2,000	0	0	0	0	639	0	0	0	2,670	(2,669)	
301.21065-Federal Grant I	635	0	40	0	0	9,000	9,040	0	8,452	168	0	0	0	0	0	0	1,041	9,661	14	
301.21066-Low Level Radio	(3,849)	0	2,811	0	0	0	2,811	0	1,393	227	46	0	0	837	0	0	453	2,866	(4,094)	
301.21067-Recreation Acco	(1,689)	0	10,200	0	0	0	10,200	0	2,917	970	230	0	0	608	0	0	455	5,160	3,351	
301.21077-Public Safety R	6	0	30	0	0	0	30	0	0	0	0	0	0	0	0	0	0	30	6	
301.21080-Emon Magazine	760	0	705	0	0	0	705	0	13,943	164	0	0	0	0	0	150	314	1,171	(41,251)	
301.21081-Environmental R	(37,620)	0	28,600	0	0	0	28,600	0	577	397	464	0	0	369	0	0	400	1,852	(12,518)	
301.21082-Natural Resourc	(15,487)	0	4,811	0	0	0	4,811	0	0	0	89	0	0	0	0	0	0	0	409	0
301.21083-UST-Trust Recov	397	0	12	0	0	0	12	0	2,162	117	72	0	0	1,382	0	0	0	3,733	4,094	
301.21084-Mined Land Recl	3,617	0	4,210	0	0	0	4,210	0	0	0	0	0	0	0	0	0	0	0	0	0
301.21089-SEQR Review	(43)	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	0	1	(43)	0
301.21097-Town Of Rivette	17	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	17	0
301.21022-Monitors-Agrie	19,716	0	6,000	0	0	0	6,000	0	4,009	483	124	0	0	2,562	0	0	0	7,188	18,528	
302.21150-Conservation	8,097	0	43,222	0	0	75	43,297	0	20,540	2,108	692	0	0	13,171	0	0	1,820	36,331	13,063	
302.21151-Marne Resource	3,479	0	1,480	0	0	0	1,480	0	991	0	74	0	0	634	0	0	0	1,669	3,360	
302.21152-Migraby Bird	97	0	10	0	0	0	10	0	0	0	45	0	0	0	0	0	0	45	62	
302.21153-Guides License	164	0	55	0	0	0	55	0	51	6	2	0	0	33	0	0	0	92	127	0
302.21154-Fish And Game T	61,697	0	2,000	0	0	0	2,000	0	0	0	0	0	0	0	0	0	75	63,692	63,692	

CASH COMBINING STATEMENT BY ACCOUNT
SPECIAL REVENUE OTHER FUNDS (Excluding Fund 339)

FY 2019
(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance		
302.21155-Self-Admin/Quinto	(1)	0	0	0	0	0	0	0	26	29	1	0	17	0	0	0	73	(74)		
302.21156-Habitat Account	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1		
302.21157-Venison Donor	2	0	25	0	0	0	25	0	0	0	0	0	0	0	0	0	0	27		
302.21158-OUTDOOR REC & T	17	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	17		
303.21201-OI Spill - DAC	4	0	280	0	0	705	985	0	592	98	15	0	365	0	0	1,000	(41)			
303.21202-OI Spill - DAC	3	0	0	0	0	301	301	0	189	14	6	0	120	0	0	329	(25)			
303.21203-OI Spill - DEC	(2)	0	0	0	0	18,000	18,000	0	11,226	910	346	0	7,173	0	0	0	19,655	(1,657)		
303.21204-OI Spill - DAC	36,750	0	43,996	0	0	0	43,996	0	0	0	0	0	0	0	0	35,606	35,606	45,140		
303.21205-License Fee Sur	0	0	13,700	0	0	0	13,700	0	0	0	0	0	0	0	0	13,700	13,700	0		
305.21251-OSH Trng & Educ	850	0	26,357	0	0	0	26,357	0	10,802	7,201	277	0	7,172	0	0	0	25,452	1,755		
305.21252-OSHA Inspection	3,479	0	22,139	0	0	0	22,139	0	6,333	3,224	305	0	6,333	0	0	0	21,737	3,881		
306.21301-CSF Regis Fee	7,632	0	10,000	0	0	0	10,000	0	500	12,100	0	0	200	0	0	0	12,800	4,832		
307.21351-Equipment Loan	540	0	50	0	0	0	50	0	0	91	0	0	0	0	0	7	98	492		
313.21401-Pub Trn Systems	9,777	75,420	17,000	0	0	16,144	91,564	92,429	660	195	20	0	422	0	0	0	93,726	7,615		
313.21402-Metropolitan Ma	7,841	2,233,180	17,000	0	0	21,175	2,271,855	2,187,437	2,664	410	82	0	1,702	0	0	17,421	2,209,716	69,980		
313.21403-Urban Mass Trn	108	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	108	(1)	
313.21404-Add Mass Trns	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)	
314.21451-Operating Permit	(22,802)	0	9,200	0	0	0	9,200	0	2,602	647	162	0	1,663	0	0	0	5,074	(18,676)		
314.21452-Mobile Source	(2,760)	0	34,000	0	0	0	34,000	0	16,455	2,851	507	0	10,513	0	0	6,404	36,720	(5,460)		
318.21501-Housing Reserve	67	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	67	0	
321.21651-Legal Camp R&D	10,853	0	1,717	0	0	0	1,717	0	0	950	0	0	0	0	0	0	950	11,530	64	
321.21652-Demographic Re	62	0	2	0	0	2	2	0	0	0	0	0	0	0	0	0	0	200,240	200,240	
330.40350-S U Dem Income	240,232	0	344,024	0	0	0	344,024	0	0	0	0	0	0	0	0	384,016	384,016	6		
332.21651-Bonnie Award	232	0	6	0	0	0	6	0	0	6	0	0	0	0	0	0	6	232	0	
332.21652-Rocky Mountain	5	0	110	0	0	0	110	0	0	52	0	0	0	0	0	0	52	63	0	
332.21656-OPWDD Nonexp Tr	74	0	(1)	0	0	0	(1)	0	0	1	0	0	0	0	0	0	0	72	15	
332.21658-Helen Hayes Hus	15	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	15	0	
332.21657-Cunningham Fund	100	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	100	0	
333.21700-Winter Sports Ed	0	0	75	0	0	0	75	0	0	75	0	0	0	0	0	0	75	0	0	
335.21750-Nys Musical Ins	915	0	60	0	0	0	60	0	0	0	0	0	0	0	0	0	0	1	0	
338.21851-Arts Capital Re	2,883	0	150	0	0	110,000	110,150	108,700	1,700	100	0	0	800	0	0	167	111,467	1,566	0	
340.22501-CFOA Undistrib	51	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	51	0	
341.22552-DFY-NYC Summer	19,437	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	16,628	0	
345.22852-L Vels Home	749,819	0	812,404	0	0	41,093	46,141	0	28,649	20,301	0	0	4,000	0	0	77,336	895,236	708,050	0	
345.22853-S U Genl IFR	(19,785)	0	0	0	0	0	0	0	188,700	625,200	0	0	0	0	0	0	0	(19,785)	0	
345.22854-S U Inc Offset	184,319	0	1,987,916	0	0	1,033,801	3,001,817	0	2,318,271	555,254	0	0	4,270	0	0	88,122	2,946,917	219,219	0	
345.22855-Gen Rev Offset	282,818	0	1,787,305	0	0	681,612	2,468,917	0	1,138,088	934,069	0	0	461,880	0	0	87,289	2,601,286	150,449	0	
345.22856-S U Hosp Ops	41,457	0	0	0	0	0	0	0	400	600	0	0	0	0	0	0	1,000	40,457	0	
345.22857-SUNY Stabilizat	14,832	0	36,528	0	0	0	36,528	0	40,117	2,919	0	0	0	0	0	0	43,036	8,324	0	
345.22858-State Univ Hosp	117,127	0	110,928	0	0	0	110,928	0	53,213	39,540	0	0	4,257	0	0	0	97,010	131,945	0	
345.22859-SUNY Tulloh Re	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2	0	
345.22860-Bridge Program	14,525	0	6,479	0	0	0	6,479	0	0	6,456	0	0	0	0	0	0	0	1,048	0	
346.22700-Chem Dep Svcs	(140)	0	1,208	0	0	0	1,208	0	701	250	20	0	425	0	0	100,000	100,000	(328)	0	
346.22701-Oped Fire Tr Re	3,873	0	4,742	0	0	0	4,742	4,237	136	4	4	0	35	0	0	1,100	5,516	3,089	0	
349.22751-LK George Park	23,265	0	118,825	0	0	0	118,825	0	4,000	5,100	0	0	0	0	0	115,420	124,520	17,570	0	
354.22802-SI Police MV/En	709	0	160	0	0	0	160	0	82	70	3	0	53	0	0	0	208	652	0	
355.22851-Gravel Lakes Pro	1,577	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,577	0	
359.22901-Revenue Mainiz	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	0	
359.22902-Local Mainiz	(1,555)	0	0	0	0	0	0	852	0	0	0	0	0	0	0	0	852	(1,555)	0	
359.22903-Rev Mainz Contr	10,557	0	900	0	0	0	900	0	0	0	0	0	0	0	0	0	0	10,605	0	
360.22950-Housing Develop	(10,567)	0	3,068	0	0	0	3,068	0	2,954	495	0	0	0	0	0	0	3,449	(10,988)	0	
362.23001-DOJ Comm Var Sa	5	0	100	0	0	0	100	20	0	25	0	0	0	0	0	32	77	28	0	
365.23051-Vocal Rehabili	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	0	
366.23101-Drinking Water	(5,352)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(6,460)	1	
366.23102-Drink Water DOH	(25,781)	0	34,900	0	0	4,400	39,300	0	20,000	3,400	0	0	11,500	0	0	1,108	1,108	(6,460)	0	
368.23151-NYC County Cler	19,608	0	40,000	0	0	0	40,000	0	18,700	6,000	0	0	8,900	0	0	0	34,900	(21,381)	0	
369.23201-Jud Data Proc O	4,955	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4,955	0	
377.23267-CUNY Stabiliz	81,575	0	5,108	0	0	0	5,108	0	5,818	0	0	0	0	0	0	0	0	80,865	0	
377.2327X-CUNY Tuin Reim	82,669	0	92,533	0	0	0	92,533	0	31,962	38,429	0	0	7,892	0	0	0	5,818	96,919	0	
377.2327Y-CUNY Inc Reim	179	0	85	0	0	0	85	0	0	0	0	0	0	0	0	0	75	189	0	
385.23501-Lk Phaed Train	283,673	0	132,000	0	0	35,000	167,000	161,187	2,982	25,738	95	0	1,906	0	0	0	191,908	238,765	0	
390.23515-Indigent Legl	35,532	0	9,600	0	0	0	9,600	0	1,643	1,000	42	0	1,173	0	0	11,593	15,441	28,091	0	
482.23801-Sp Int & Pen																				

CASH COMBINING STATEMENT BY ACCOUNT
 SPECIAL REVENUE OTHER FUNDS (Excluding Fund 339)

FY 2019
 (Thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
HCT HCTXX-Hlt Care Trans	0	0	1,988,000	0	0	0	1,988,000	0	0	0	0	0	0	0	0	500,000	500,000	568,000
S01.2370-H-Commercial Grant	26,333	0	160,000	0	0	0	160,000	170,040	0	0	0	0	0	0	0	0	170,040	16,393
S01.23702-Comm Game Regul	(8,465)	0	4,871	0	0	0	4,871	170,040	2,236	1,223	64	0	1,346	0	0	0	4,871	(8,465)
S01.23703-Prod Grant Svcs	3,572	0	3,540	0	0	0	3,540	3,540	0	0	0	0	0	0	0	1,500	5,040	2,072
S02.23750-Med Marsh Code	1,112	945	0	0	0	0	945	0	0	0	0	0	0	0	0	0	0	2,057
S02.23752-MMIF - County DI	280	945	0	0	0	0	945	1,800	0	0	0	0	0	0	0	0	1,800	(565)
S02.23753-MMIF - Law Enfor	124	105	0	0	0	0	105	0	0	0	0	0	0	0	0	0	0	229
S02.23754-MMIF - Addiction	3,988	105	0	0	0	0	105	0	1,755	0	0	0	0	0	0	0	0	229
S03.23755-Health Operatio	124	0	0	0	0	6,550	6,550	0	0	0	0	0	1,110	0	0	0	0	0
S03.23800-Inter Recip Pas	3,988	0	567	0	0	0	567	0	1,755	2,794	55	0	0	0	0	0	5,714	4,804
S03.23801-Hwy Use Tax Adm	3,260	3,000	1,300	0	0	0	4,300	0	273	70	8	0	174	0	0	45	570	845
S03.23802-Cure Childhood	4	0	0	0	0	0	0	0	515	455	14	0	317	0	0	0	1,301	6,259
S04.24950-Fan Sports Educ	8,007	0	5,000	0	0	0	5,000	0	0	0	0	0	0	0	0	0	0	4
S04.24951-Fan Sport Admin	0	0	0	0	0	0	0	0	480	214	14	0	282	0	0	0	0	13,007
S06.30601-Charitable Gifts Trust Fund	0	0	TBD	0	0	0	TBD	TBD	0	0	0	0	0	0	0	0	0	(1,000)
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	TBD

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)
FY 2019
(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.21901-Article VII Int	5,897	0	500	0	0	0	500	67	0	0	0	0	0	0	0	0	6,330
339.21902-S P A R C S	6,996	0	6,600	0	0	0	6,600	0	516	559	16	0	326	0	0	4,214	7,965
339.21904-Fire Prev/Code	42,774	0	14,810	0	0	0	14,810	0	0	0	0	0	0	0	0	14,810	42,774
339.21905-NYS Tvy Police	10,902	0	56,203	0	0	0	56,203	0	35,186	16	0	0	21,001	0	0	0	10,902
339.21906-DMV Seiz Assets	115	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	115
339.21907-Mental Hygiene	(1,586)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1,586)
339.21909-M H Patient Inc	37,958	0	0	0	0	0	0	0	0	0	0	0	0	0	0	37,958	0
339.21911-Fin Chtrl Board	(723)	0	3,044	0	0	0	3,044	0	1,389	811	45	0	799	0	0	0	(723)
339.21912-Reg of Racing	(3,719)	0	12,647	0	0	0	12,647	0	6,809	5,577	171	0	1,755	0	0	458	(5,842)
339.21913-NY Metro Trans	(18,293)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(18,293)
339.21914-S U Constr Fund	109	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	109
339.21915-Quality Care	65,839	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	65,839
339.21916-Nurses Aide Reg	1,019	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,019
339.21917-Seized Assets	173	0	238	0	0	0	238	0	0	238	0	0	0	0	0	0	173
339.21918-Child Care & Pr	1,659	0	463	0	0	0	463	100	0	0	0	0	0	0	0	0	2,022
339.21919-Cyber Sec Upgr	895	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	895
339.21920-Cert of Need	9,063	0	2,959	0	0	0	2,959	0	1,703	1,516	53	0	1,077	0	0	7,769	(96)
339.21921-Lobbying Enforc	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.21922-Continuing Care	1,067	0	131	0	0	0	131	0	50	5	2	0	32	0	0	2	1,107
339.21923-DOL Fee Penalty	3,688	0	20,383	0	0	0	20,383	0	5,991	1,215	154	0	4,167	0	0	300	12,244
339.21924-Educ Museum	207	0	863	0	0	0	863	0	282	334	9	0	180	0	0	62	2,03
339.21925-Ns Hm Receiptshp	2,876	0	25	0	0	0	25	0	0	0	0	0	0	0	0	0	2,901
339.21926-3rd Party Hlth	458	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	458
339.21927-Boating Noise L	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.21928-I Love NY Ves	1	0	921	0	0	0	921	0	0	0	0	0	0	0	0	0	922
339.21929-Summer Sch Arts	90	0	684	0	0	0	684	0	111	528	3	0	20	0	0	0	112
339.21930-I Lve NY W Boat	90	0	245	0	0	0	245	0	206	25	3	0	82	0	0	0	19
339.21932-Snowmobile	5,680	0	6,150	0	0	0	6,150	5,450	1,417	649	9	0	70	0	0	0	4,235
339.21933-Tr Surplus Prop	3,803	0	2,200	0	0	0	2,200	0	0	974	0	0	0	0	0	1,803	3,226
339.21934-Hosp & Nurs Mgt	4	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4
339.21935-Watershed Ptnr	(4)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(4)
339.21936-World Univ Game	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.21937-S U Dorm Reimb	(5)	0	0	0	0	310,025	310,025	0	114,546	136,710	0	0	0	0	0	0	58,764
339.21938-ODTA Train Cont	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.21939-ODTA State Matc	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.21941-Methadone Regis	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.21943-Energy Research	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.21944-Radiology	3,270	0	6,000	0	0	0	6,000	3,000	703	687	30	0	453	0	0	1,350	3,047
339.21945-Crim Jus Improv	31,842	0	42,724	0	0	0	42,724	27,956	2,733	396	116	0	1,650	0	0	8,596	33,119
339.21948-Farm Prod Insp-	606	0	1,390	0	0	0	1,390	0	648	123	20	0	414	0	0	0	791
339.21950-FgpriniID&Tech	34,704	0	15,000	0	0	0	15,000	0	0	2,515	0	0	0	0	0	20,543	26,646
339.21953-NY Fire Academy	336	0	468	0	0	0	468	0	278	314	9	0	239	0	0	0	(36)
339.21958-Domestic Awaren	92	0	7	0	0	0	7	0	0	3	0	0	0	0	0	0	96
339.21959-Environmental L	3,413	0	3,700	0	0	0	3,700	0	1,466	568	46	0	927	0	0	131	3,975
339.21960-HESC Ins Prem P	64,022	0	69,881	0	0	0	69,881	0	13,314	33,316	422	0	8,958	0	0	16,221	61,672
339.21961-Train Mgmt Eval	13	0	1,720	0	0	0	1,720	0	1,572	92	46	0	987	0	0	8	(972)
339.21962-Clin Lab Refrnc	(8,756)	0	18,059	0	0	0	18,059	0	5,701	2,478	176	0	3,605	0	0	4,848	(7,505)
339.21964-Pub Emp Rel Brd	748	0	86	0	0	0	86	0	0	44	0	0	0	0	0	0	790
339.21965-Radio Hlth Prot	1,631	0	4,048	0	0	0	4,048	0	2,272	141	70	0	1,437	0	0	796	963
339.21966-Cons Food Indus	57	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	57
339.21967-OHRD St Match	1,410	0	0	0	0	6,000	6,000	0	0	3,867	0	0	0	0	0	0	3,543

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2019

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.21968-Educain Library	155	0	65	0	0	0	65	0	0	60	0	0	0	0	0	0	160
339.21969-Teacher Certif	4,512	0	6,600	0	0	0	6,600	0	3,400	643	105	0	2,172	0	0	450	4,342
339.21970-Banking Deptmnt	33,467	0	99,682	0	0	0	99,682	0	52,149	12,609	1,607	0	33,317	0	0	0	33,467
339.21971-Cable TV Acct	6,516	0	3,130	0	0	0	3,130	0	1,361	109	42	0	870	0	0	2,500	4,764
339.21972-Econ Devel Asst	309	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	309
339.21973-Fin Svcs Seized	715	0	250	0	0	0	250	0	0	250	0	0	0	0	0	0	715
339.21975-ODD Earned Revn	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.21976-Motorcycle Sfty	249	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	249
339.21977-Business and Li	87,745	0	86,443	0	0	0	86,443	939	16,517	10,407	509	0	10,552	0	0	52,145	83,119
339.21978-Indir Cost Reco	4,402	0	0	0	0	18,907	18,907	0	9,125	4,362	0	0	5,830	0	0	0	3,992
339.21979-High School Equ	1,193	0	225	0	0	0	225	0	0	225	0	0	0	0	0	0	1,193
339.21980-OTDA Program	2,862	0	0	0	0	500	500	0	0	1,700	0	0	128	0	0	0	1,534
339.21981-Disas Prep Conf	24	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	24
339.21982-Administration	1,551	0	13	0	0	8,500	8,513	0	3,842	2,181	119	0	2,430	0	0	1,343	1,449
339.21983-Rail Safety Ins	4	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4
339.21984-Fedl Admin Reim	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.21985-Abandon Prop Au	1	0	15,896	0	0	0	15,896	0	10,076	5,820	0	0	0	0	0	0	1
339.21986-Seized Assets	18	0	2	0	0	0	2	0	0	0	0	0	0	0	0	0	20
339.21987-Spinal Injury	6,011	0	0	0	0	8,500	8,500	8,500	0	0	0	0	0	0	0	0	6,011
339.21988-Child Supp Rev	78	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	78
339.21989-Mult Agen Train	9,258	0	0	0	0	7,400	7,400	0	1,551	9,158	46	0	642	0	0	0	5,261
339.21990-OCTF Crime Forf	29	0	1,436	0	0	0	1,436	0	0	1,436	0	0	0	0	0	0	29
339.21991-DMNA-Seiz Asset	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.21992-Critical Infras	263	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	263
339.21993-Radon Detection	410	0	20	0	0	0	20	0	0	9	0	0	0	0	0	2	419
339.21994-Insurance Dept	133,423	0	273,578	0	0	0	273,578	64,404	104,548	34,472	3,224	0	66,855	0	0	0	133,498
339.21995-Workers' Compn	21,021	0	226,202	0	0	0	226,202	0	84,993	56,091	2,239	0	53,220	0	0	36,352	14,328
339.21996-Fire Protection	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.21998-Public Work Enf	8,897	0	3,982	0	0	0	3,982	0	1,987	217	51	0	1,493	0	0	0	9,131
339.21999-Asset Forfeitur	0	0	250	0	0	0	250	0	0	250	0	0	0	0	0	0	0
339.219A2-MMIA	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219AC-Non-Ivd Wage Wf	(58)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(58)
339.219AF-Hosp Grants	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.219AK-Ins Voucher Pro	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.219AM-Hlth Care Advis	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219AR-Adopt Info Regi	(1)	0	50	0	0	0	50	0	0	0	0	0	0	0	0	0	49
339.219AS-Quality Assuran	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219BO-Primary Care In	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.219BU-Land Utilizatio	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219C2-Jones Bch Theat	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219CB-FS Reinvestment	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219CC-Tech & Scientif	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219CH-Child Hlth Ins	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219D1-Food Stp Rec Fr	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219DM-EAD Metallurgl	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219DN-Fines Penalties	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219E7-Unif Commerc Cd	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219EA-Bus & Licen Srv	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219EB-Antitrust Enfor	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219EE-Map Revenue	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219EF-TAP Sys Redesign	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)

**CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)
FY 2019
(thousands of dollars)**

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.219EM-Emerg Med Svcs	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219F6-Lc On Solid Was	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219FC-Fostir Care Savi	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219H3-Pilot Health In	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219H5-Triple Prescr F	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.219IG-Ins Gent Operns	(22)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(22)
339.219JD-Probim Solv Cou	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219K2-Equip Repair	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219K3-Catastrophic HI	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219K4-Primary Hlth Cr	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219L5-Adult Cyst Fibr	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219L8-DOS Licensing	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219LB-Health Occup De	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219LC-Matern Child Hlv	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.219SA-Health Services	31	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	31
339.219SS-DOT Sign Shop	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219TF--Tran Fees Perms	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219XX-A&M-Aggregated	829	0	1,200	0	0	0	1,200	0	425	14	13	0	272	0	0	0	1,305
339.219YL-OGS Bldg Admin	13,319	0	1,166	0	0	0	1,166	0	0	1,119	0	0	0	0	0	12,000	1,366
339.219YN-OGS Std & Purch	8,725	0	5,660	0	0	0	5,660	0	858	1,831	26	0	548	0	0	3,000	8,122
339.219Z3-MHP/IA OMR NPS	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219Z6-Human Rights Ac	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.219Z7-Just Ct Oper	(4,089)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(4,089)
339.219ZR-Milk Producers	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219ZV-S T A Research	(19)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(19)
339.22001-VESID SS	2,409	0	2,500	0	0	0	2,500	2,000	182	100	6	0	116	0	0	52	2,453
339.22003-Bell Jar Collec	16	0	1,875	0	0	0	1,875	0	689	124	20	0	427	0	0	0	631
339.22004-Ind & Util Serv	3,468	0	2,547	0	0	0	2,547	0	1,750	150	46	0	1,076	0	0	0	2,993
339.22008-Courts Special	1,265	0	3,000	0	0	0	3,000	0	0	1,300	0	0	0	0	0	0	2,965
339.22009-Asbestos Trning	(214)	0	330	0	0	0	330	0	226	15	7	0	144	0	0	0	(276)
339.22010-HMP R P Tax Adm	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.22011-Public Service	36,562	0	87,082	0	0	0	87,082	0	41,554	7,598	1,273	0	26,412	0	0	5,671	41,136
339.22012-Atty Licensing	5,921	0	32,000	0	0	0	32,000	0	18,100	5,100	0	0	8,200	0	0	0	6,521
339.22014-DSS Prov Recovs	191	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	191
339.22015-Crimes Against	560	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	560
339.22017-Camp Smith Bill	57	0	197	0	0	0	197	0	161	9	4	0	9	0	0	0	71
339.22018-Fire Safe Cigar	0	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	0
339.22020-Comm Feed Lic	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.22021-Regulation of M	1,898	0	20	0	0	0	20	0	0	0	0	0	0	0	0	20	1,898
339.22022-College Savings	15,034	0	813	0	0	0	813	0	198	75	18	0	150	0	0	0	15,406
339.22023-Discover Queens	20	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	20
339.22024-Reven Arrearage	70,700	0	25,000	0	0	0	25,000	0	1,622	2,500	45	0	984	0	0	20,503	70,046
339.22025-Comm Svce Assis	8,490	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	8,490
339.22026-Cell Phone Towe	3,371	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3,371
339.22027-Spec Conserv Ac	28	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	28
339.22028-State Central R	7,615	0	4,700	0	0	0	4,700	0	130	0	4	0	81	0	0	1,822	10,278
339.22029-Plant Industry	455	0	529	0	0	0	529	0	274	0	8	0	175	0	0	0	527
339.22032-Batavia School	(9,575)	0	9,600	0	0	900	10,500	0	5,676	628	175	0	3,646	0	0	0	(9,200)
339.22034-Investment Serv	1,385	0	4,038	0	0	0	4,038	0	2,070	673	64	0	1,231	0	0	0	1,385
339.22035-Diabetes Resear	33	0	6	0	0	0	6	0	0	44	0	0	0	0	0	0	(5)
339.22037-Keep Kids Drug	54	0	9	0	0	0	9	0	0	0	0	0	0	0	0	0	63

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2019
(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.22038-OPWDD Day Servi	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22039-OSDC Finan Over	(1,848)	0	4,848	0	0	0	4,848	0	2,877	125	76	0	1,770	0	0	0	(1,843)
339.22040-Senate Recyclab	590	0	20	0	0	0	20	0	0	0	0	0	0	0	0	0	610
339.22041-Medicaid Fraud	14,056	0	13,593	0	0	0	13,593	0	6,752	2,401	197	0	4,243	0	0	0	14,056
339.22042-DED Marketing A	3,322	0	1,944	0	0	0	1,944	0	63	1,710	2	0	28	0	0	131	3,332
339.22044-Tug Hill Admin	139	0	38	0	0	0	38	0	29	3	0	0	0	0	0	10	135
339.22045-Settlement Enf	594	0	600	0	0	0	600	550	0	50	0	0	0	0	0	0	594
339.22046-Regulation of I	(72,940)	0	13,388	0	0	0	13,388	0	8,293	765	207	0	4,821	0	0	329	(73,967)
339.22047-NYS FLEX Spend	277	0	300	0	0	0	300	0	0	300	0	0	0	0	0	0	277
339.22050-Crime Victims B	5	0	105	0	0	0	105	0	0	105	0	0	0	0	0	0	5
339.22051-Ofc of Professi	42,739	0	47,265	0	0	0	47,265	0	19,327	9,795	595	0	12,348	0	0	10,332	37,607
339.22052-Armory Rental A	3,503	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3,503
339.22053-Rome School	(2,746)	0	9,600	0	0	1,020	10,620	0	4,280	652	132	0	2,895	0	0	0	(85)
339.22054-Seized Assets	(5,599)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(5,599)
339.22055-Traf Adjudicatn	(13,172)	0	44,500	0	0	0	44,500	0	20,024	8,605	617	0	12,793	0	0	0	(10,711)
339.22056-Fed Salary Shar	0	0	0	0	0	2,727	2,727	419	1,392	0	42	0	874	0	0	0	0
339.22057-Cook/Chill Acco	1,374	0	1,000	0	0	0	1,000	0	0	1,000	0	0	0	0	0	0	1,374
339.22060-Credential Svcs	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22061-Seized Assets	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.22062-NYC Assessment	24,698	0	79,653	0	0	0	79,653	0	36,881	24,553	1,420	0	22,553	0	0	0	18,944
339.22063-Cultural Educat	(2,560)	0	27,170	0	0	0	27,170	0	11,872	5,400	366	0	7,585	0	0	1,976	(2,589)
339.22064-Distance Learn	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.22065-Exam & Misc Rev	43	0	3,150	0	0	0	3,150	0	359	417	11	0	229	0	0	0	216
339.22067-Trans Regul Acc	11,631	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,961	13
339.22068-Cons Prot Acct	1,962	0	91	0	0	0	91	0	0	67	0	0	151	0	0	2,165	9,466
339.22070-OER NASDER	(4)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,835
339.22071-Fin Aid Audit	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(4)
339.22072-8th Air Force H	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22074-FMS Account	30	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.22075-Funeral	2,132	0	470	0	0	0	470	0	233	9	8	0	147	0	0	95	30
339.22076-FSHRP	13	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2,110
339.22077-Educ Archives	40	0	15	0	0	0	15	0	0	15	0	0	0	0	0	0	13
339.22078-Local Services	1,195	0	1,153	0	0	0	1,153	0	722	50	19	0	373	0	0	0	40
339.22080-Adult Shelter	18,285	0	2,600	0	0	0	2,600	0	0	0	0	0	0	0	0	0	1,184
339.22081-QAA Earned Rev	400	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	20,885
339.22082-Family Pres Svc	3,052	0	3,618	0	0	3,618	3,618	2,732	0	0	0	0	0	0	0	0	400
339.22083-Electronic Bene	45	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3,938
339.22084-Federal-Seized	0	0	0	0	0	0	0	0	0	38	0	0	0	0	0	0	45
339.22085-DHCR Mortgage S	(9,788)	0	3,833	0	0	0	3,833	0	4,418	0	0	0	0	0	0	0	(38)
339.22086-OMH-Research OH	74	0	2,848	0	0	0	2,848	0	103	2,817	0	0	0	0	0	0	(10,373)
339.22087-DMV-Compulsory	770	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.22088-Prof Medic Cond	8,738	0	24,900	0	0	0	24,900	990	10,847	6,341	335	0	6,860	0	0	3,960	770
339.22089-Hwy Const & Ma	1,726	0	260	0	0	0	260	0	0	135	0	0	0	0	0	0	4,305
339.22090-Housing Indirec	(3,485)	0	193	0	0	5,739	5,739	0	2,471	0	0	0	0	0	0	201	1,851
339.22091-Adult Home Qual	2,344	0	193	0	0	0	193	0	0	0	0	0	0	0	0	21	(418)
339.22092-Homeless Hsg	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2,516
339.22093-COCOT	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22094-Accid Prevent C	231	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.22095-IG Szrd Assets	100	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	231
339.22096-Leg Svcs Assist	37,376	0	20,500	0	0	0	20,500	14,100	0	0	0	0	0	0	0	0	100
339.22097-Loc Pub Hlth	6,376	0	84	0	0	0	84	0	305	4	10	0	193	0	0	59	33,946
																	5,889

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2019

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.22098-Local Dist Trai	0	0	0	0	0	0	0	0	0	301	0	0	0	0	0	0	(301)
339.22099-Voting Mach Exa	58	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	58
339.2200Z-Interest Assess	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22100-DHCR HCA Applic	(3,381)	0	5,000	0	0	0	5,000	0	2,804	0	71	0	1,584	0	0	489	(3,329)
339.22101-EPIC Premium Ac	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.22102-Drug Enforce Ta	7	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	7
339.22103-Vital Records M	7,443	0	4,840	0	0	0	4,840	0	644	354	20	0	407	0	0	4,687	6,171
339.22104-CHCCDP Transfer	35	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	35
339.22105-Tobacco Enforce	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.22108-Hwy Rev/Soc Sec	1,023	0	267	0	0	0	267	0	0	232	0	0	0	0	0	0	1,058
339.22109-Conference & Sp	96	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	96
339.22110-Assisted Living	1,419	0	259	0	0	0	259	250	0	0	0	0	0	0	0	9	1,419
339.22111-OCFS Program	373	0	0	0	0	0	0	0	0	645	0	0	0	0	0	0	(272)
339.22112-OTDA Income Acc	139	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	139
339.22114-Disabil Determs	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.22115-OPWDD Jt-Clin O	37	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	37
339.22116-Special Medical	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.22117-Litigation Sett	12,498	0	73,581	0	0	0	73,581	0	22,413	35,157	691	0	14,320	0	0	1,000	12,498
339.22118-Animal Populati	464	0	855	0	0	0	855	0	0	867	0	0	0	0	0	0	464
339.22119-Love Your Libra	76	0	6	0	0	0	6	0	0	0	0	0	0	0	0	0	82
339.22120-DISTINCTIVE PLA	0	0	0	0	0	0	0	0	15	0	1	0	9	0	0	0	(25)
339.22122-Local Wireless	118	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	118
339.22123-Pub Safe Commun	53,972	0	123,000	0	0	0	123,000	44,466	20,770	37,845	0	0	0	0	0	12,161	61,730
339.22124-Cuba Lake Mgmt	162	0	200	0	0	0	200	0	0	206	0	0	0	0	0	0	166
339.22126-St Justice Inst	70	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	70
339.22128-Med Reimb Acct	1,590	0	1,500	0	0	0	1,500	1,500	0	0	0	0	0	0	0	0	1,590
339.22130-Low Inc Housing	3,373	0	3,631	0	0	0	3,631	0	2,277	(1)	58	0	812	0	0	150	3,708
339.22131-Medicaid Inquir	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22132-New York Alert	55	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	55
339.22133-Procure Op News	348	0	0	0	0	0	0	0	40	135	0	0	0	0	0	0	173
339.22134-OVS RESTITUTION	605	0	593	0	0	0	593	0	443	150	0	0	0	0	0	0	605
339.22135-EFC Corp Admin	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.22136-Food Prod Ctr	842	0	1,323	0	0	0	1,323	0	219	1,052	6	0	106	0	0	0	782
339.22137-Pet Dealer	111	0	32	0	0	0	32	0	0	0	0	0	0	0	0	0	143
339.22138-Auth Bdg't Office	1,389	0	2,088	0	0	1,826	3,914	0	989	254	30	0	642	0	0	45	3,343
339.22139-Patient Safety	3,459	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3,459
339.22140-Helen Hayes Hos	13,259	0	115	0	0	59,583	59,698	0	37,154	20,788	0	0	2,000	0	0	1,585	11,430
339.22141-NYC Veterans	2,462	0	350	0	0	30,837	31,187	0	14,258	8,538	0	0	6,925	0	0	392	3,536
339.22142-NYS Home-Vetera	455	0	120	0	0	23,669	23,789	0	16,850	6,176	0	0	0	0	0	581	637
339.22143-WNY Vets Home	858	0	55	0	0	12,708	12,763	0	8,656	4,117	0	0	0	0	0	132	716
339.22144-Montrose S V H	818	0	30	0	0	27,785	27,815	0	17,260	7,588	0	0	0	0	0	295	3,490
339.22145-DOH Hospital Ho	3,143	0	0	0	0	123,191	123,191	0	0	0	0	0	0	0	0	123,745	2,589
339.22146-HEAP Earned Rev	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.22147-Quality of Care	8,269	0	1,387	0	0	0	1,387	1,000	0	0	0	0	0	0	0	0	8,656
339.22149-Motor Fuel Qual	1,572	0	2,800	0	0	0	2,800	0	1,137	1,214	35	0	726	0	0	0	1,260
339.22150-Weights Measure	69	0	325	0	0	0	325	0	221	101	7	0	141	0	0	0	(76)
339.22151-Deler Comp Adm	(110)	0	820	0	0	0	820	0	378	183	23	0	205	0	0	0	(79)
339.22152-Hazard Abatemen	999	0	200	0	0	0	200	150	0	0	0	0	0	0	0	0	1,049
339.22153-Education Stats	819	0	0	89	0	0	89	0	0	34	0	0	0	0	0	0	874
339.22154-Real Estate Fin	4,748	0	3,312	0	0	0	3,312	0	1,156	1,381	36	0	739	0	0	0	4,748
339.22156-NYC Rent Rev	2,107	0	48,862	0	0	0	48,862	0	23,854	7,750	604	0	549	0	0	11,449	6,763

CASH COMBINING STATEMENT BY ACCOUNT
 MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2019

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.22157-Medicaid Income	619	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	619
339.22158-Rent Revenue	(155)	0	550	0	0	0	550	0	380	0	10	0	125	0	0	0	(120)
339.22159-CSFP Salvage Ac	63	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	63
339.22161-ES Stem Cell Tr	(145)	0	0	0	0	33,134	33,134	0	452	32,382	14	0	286	0	0	510	(655)
339.22162-Systems & Tech	11,427	0	7,300	0	0	0	7,300	0	729	120	28	0	673	0	0	5,320	11,857
339.22163-Patron Services	5,580	0	72,235	0	0	0	72,235	0	35,553	30,637	28	0	2,826	0	0	3,992	4,779
339.22165-Trans Aviatn	1,692	0	3,660	0	0	0	3,660	0	0	4,649	1	0	21	0	0	0	681
339.22166-Teacher Ed Accr	11	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	11
339.22167-Training Academ	537	0	10	0	0	0	10	0	0	10	0	0	0	0	0	0	537
339.22168-Tax Rev Arrear	4,438	0	0	0	0	1,500	1,500	0	0	1,500	0	0	0	0	0	0	4,438
339.22169-TSCR Account	82,181	0	328,000	0	0	0	328,000	110,570	0	0	0	0	0	0	0	207,200	92,411
339.22170-Statewide Gamin	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22171-Recruitment Inc	3,292	0	39	0	0	2,087	2,126	0	0	1,186	0	0	0	0	0	0	4,232
339.22172-Undrgrnd Sfty T	2,299	0	110	0	0	0	110	0	0	0	0	0	0	0	175	0	2,234
339.22173-Vol Fire Rec&Re	903	0	200	0	0	0	200	200	0	0	0	0	0	0	0	0	903
339.22174-HAVA Match	1,554	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,554
339.22175-VRSS	16	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	16
339.22177-Occ Hlth Clinic	889	0	9,000	0	0	0	9,000	9,560	369	11	12	0	233	0	0	76	(372)
339.22178-Crim Back Check	385	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	385
339.22180-SR-Connections	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22181-NYS Water Rescu	11	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	11
339.22182-OWIG Adm Reimb	3,176	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3,176
339.22184-Wine Industry	5	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5
339.22185-Assembly Recyc	685	0	40	0	0	0	40	0	0	0	0	0	0	0	0	0	725
339.22186-Yth Fac PerDiem	90,504	0	199,604	0	0	0	199,604	0	0	0	0	0	0	0	199,604	0	90,504
339.22187-Provider Assess	113	0	864,000	0	0	0	864,000	864,000	0	0	0	0	0	0	0	0	113
339.22188-Fed Indirect Re	7	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	7
339.22189-DOCS Asset Forf	306	0	314	0	0	0	314	0	0	314	0	0	0	0	0	0	306
339.22190-Conference&Sign	109	0	35	0	0	0	35	0	0	35	0	0	0	0	0	0	109
339.22191-Educ Assessment	6	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	6
339.22192-Tax Ret Prep Fe	6,286	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	6,286
339.22193-Sales Tax Re Fe	12	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	12
339.22195-Equitable Shari	2,428	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2,428
339.22196-C & F Qual Enha	14	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	14
339.22197-ULTVI Radia Dev	600	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	600
339.22198-HEP	69	0	0	0	0	0	0	0	0	300	0	0	0	0	0	0	(231)
339.22199-Airport Securit	43	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	43
339.22202-SECI Account	13	0	5,000	0	0	0	5,000	1,400	0	0	0	0	0	0	0	0	3,613
339.22203-Article X Inter	103	0	0	0	0	0	0	69	0	0	0	0	0	0	0	0	34
339.22206-Wholesale Mkt	7,927	0	0	0	0	0	0	0	0	700	0	0	0	0	0	0	7,227
339.22207-Tech Financing	5,414	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(86)
339.22208-Offender Progra	0	0	1,000	0	0	0	1,000	0	0	1,000	0	0	0	0	0	0	0
339.22212-Lake George Inv	231	0	350	0	0	0	350	0	35	285	10	0	20	0	0	0	231
339.22213-BOE Enforcement	171	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	171
339.22214-Fireworks Reven	827	0	320	0	0	0	320	0	135	50	5	0	87	0	0	0	870
339.22215-Delivery Transf	969	0	1,000	0	0	0	1,000	0	0	1,000	0	0	0	0	0	0	969
339.IAXXX-Institut Accred	0	0	48	0	0	0	48	0	0	0	0	0	0	0	0	0	48
339.NYSCA-NY Sec Choice	0	0	0	0	0	0	0	0	354	3,408	11	0	227	0	0	0	(4,000)

CASH COMBINING STATEMENT
CAPITAL PROJECTS FUNDS
FY 2019
(thousands of dollars)

	STATE CAPITAL PROJECTS (80000-30049)	DEDICATED HIGHWAY AND BRIDGE TRUST (80550-30099)	STATE UNIVERSITY RESIDENCE HALLS REHABILITATION AND REPAIR (80100-30299)	NEW YORK STATE CANAL SYSTEM DEVELOPMENT (80300-30349)	STATE PARK INFRASTRUCTURE (80350-30399)	PASSENGER FACILITY CHARGE (80400-30449)	ENVIRONMENTAL PROTECTION (30450-30499)	CONSERVATION THROUGH IMPROVED TRANSPORTATION BOND (30600-30609)	PURE WATERS BOND (30620-30629)	TRANSPORTATION CAPITAL FACILITIES BOND (30630-30639)
Opening Fund Balance	(25)	(40,622)	142,533	8,554	(9,455)	14	150,959	164	668	3,328
Receipts:										
Taxes	0	1,275,800	0	0	0	0	119,100	0	0	0
Miscellaneous Receipts	4,618,884	1,536,751	0	250	137,100	0	34,650	0	0	0
Federal Grants	0	4,892	0	0	0	0	0	0	0	0
Total Receipts	4,618,884	2,817,443	0	250	137,100	0	153,750	0	0	0
Disbursements:										
Local Assistance	3,829,995	61,940	0	0	0	0	0	0	0	0
State Operations	0	0	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0	0	0
Debt Service	2,709,343	1,970,826	50,000	250	137,100	0	240,275	0	0	0
Capital Projects	6,539,338	2,032,766	50,000	250	137,100	0	240,275	0	0	0
Total Disbursements	13,078,686	4,665,532	100,000	500	274,200	0	480,550	0	0	0
Other Financing Sources (Uses):										
Transfers from Other Funds	1,924,582	554,680	50,000	0	0	0	28,000	0	0	0
Transfers to Other Funds	(4,162)	(1,328,927)	0	0	0	0	(25)	(25)	(240)	25
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	1,920,420	(774,247)	50,000	0	0	0	28,000	0	0	0
Change in Fund Balance	(34)	(10,430)	0	0	0	0	(58,525)	0	0	0
Closing Fund Balance	(59)	(30,192)	142,533	8,554	(9,455)	14	92,434	164	668	3,328

	ENVIRONMENTAL PROTECTION BOND ACT (1972) (80400-30649)	REBUILD & RENEW NY TRANSPORTATION BOND (30650-30659)	TRANSPORTATION INFRASTRUCTURE RENEWAL BOND (30660-30669)	ENVIRONMENTAL QUALITY BOND ACT (1986) (30670-30679)	ACCELERATED CAPACITY AND TRANSPORTATION IMPROVEMENTS BOND (30680-30689)	CLEAN WATER/ CLEAN AIR BOND (30690-30699)	FEDERAL CAPITAL PROJECTS (31500-31449)	FOREST PRESERVE EXPANSION (31450-31499)	HAZARDOUS WASTE REMEDIAL (31500-31549)	SUBURBAN TRANSPORTATION (31600-31699)
Opening Fund Balance	1,420	18,980	4,255	6,217	2,778	1,428	(582,766)	917	(79,179)	516
Receipts:										
Taxes	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	0	0	0	0	0	0	0	10	103,250	0
Federal Grants	0	0	0	0	0	0	2,428,388	0	0	0
Total Receipts	0	0	0	0	0	0	2,428,388	10	103,250	0
Disbursements:										
Local Assistance	0	0	0	0	0	0	705,981	0	0	0
State Operations	0	0	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	1,314,771	10	104,996	0
Total Disbursements	0	0	0	0	0	0	2,020,752	10	104,996	0
Other Financing Sources (Uses):										
Transfers from Other Funds	0	0	0	0	0	0	0	0	20,200	0
Transfers to Other Funds	(615)	(205,639)	(25)	(3,807)	(25)	(3,075)	(391,398)	0	(25,200)	0
Bond & Note Proceeds	615	205,639	25	4,807	25	10,075	0	0	0	0
Net Other Financing Sources (Uses)	0	0	0	1,000	0	7,000	(391,398)	0	(5,000)	0
Change in Fund Balance	0	0	0	1,000	0	7,000	(16,238)	0	(6,746)	0
Closing Fund Balance	1,420	18,980	4,255	7,217	2,778	8,428	(566,528)	917	(85,925)	516

**CASH COMBINING STATEMENT
CAPITAL PROJECTS FUNDS
FY 2019**
(thousands of dollars)

	DIVISION FOR YOUTH FACILITIES IMPROVEMENT (31700-31749)	HOUSING ASSISTANCE (31800-31849)	HOUSING PROGRAM (31950-31999)	NATURAL RESOURCE DAMAGES (31900-31949)	TRANSPORTATION ENGINEERING SERVICES (31950-31999)	STATE UNIVERSITY CAPITAL PROJECTS (32400-32499)	MISCELLANEOUS CAPITAL PROJECTS (32200-32249)	CITY UNIVERSITY OF NEW YORK CAPITAL PROJECTS (32300-32399)	MENTAL HYGIENE FACILITIES CAPITAL IMPROVEMENT (32300-32349)	CORRECTIONAL FACILITIES CAPITAL IMPROVEMENT (32300-32399)
Opening Fund Balance	(25,429)	(13,109)	(176,008)	17,582	(12,441)	160,418	60,980	(26)	(599,929)	(180,020)
Receipts:										
Taxes	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	62,329	0	544,081	1,000	0	100,000	25,265	0	227,276	271,564
Federal Grants	0	0	0	0	0	0	0	0	0	0
Total Receipts	62,329	0	544,081	1,000	0	100,000	25,265	0	227,276	271,564
Disbursements:										
Local Assistance	0	0	544,656	0	0	0	0	0	121,387	0
State Operations	0	0	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	61,829	0	0	1,017	0	100,000	73,900	0	106,139	378,637
Total Disbursements	61,829	0	544,656	1,017	0	100,000	73,900	0	227,526	378,637
Other Financing Sources (Uses):										
Transfers from Other Funds	0	0	575	0	0	25,000	46,900	0	6,587	107,073
Transfers to Other Funds	0	0	0	0	0	0	0	0	0	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	0	0	575	0	0	25,000	46,900	0	6,587	107,073
Change in Fund Balance	500	0	0	(17)	0	25,000	(4,735)	0	6,337	0
Closing Fund Balance	(24,929)	(13,109)	(176,008)	17,565	(12,441)	185,418	59,245	(26)	(593,592)	(180,020)

	SMART SCHOOLS BOND FUND (30710-30749)	NEW YORK STATE STORM RECOVERY (33000-33049)	DEDICATED INFRASTRUCTURE INVESTMENT (33050-33091)	CAPITAL PROJECTS OTHER	Sub Total	Eliminations	Financial Plan
Opening Fund Balance	0	(75,606)	61,656	0	(1,151,248)	0	(1,151,248)
Receipts:							
Taxes	0	0	0	0	1,394,900	0	1,394,900
Miscellaneous Receipts	0	4,181	0	301	7,666,892	0	7,666,892
Federal Grants	0	0	0	0	2,433,280	0	2,433,280
Total Receipts	0	4,181	0	301	11,495,072	0	11,495,072
Disbursements:							
Local Assistance	0	0	349,409	0	5,613,368	0	5,613,368
State Operations	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0
Capital Projects	0	4,181	1,607,611	0	8,860,885	0	8,860,885
Total Disbursements	0	4,181	1,957,020	0	14,474,253	0	14,474,253
Other Financing Sources (Uses):							
Transfers from Other Funds	0	0	1,957,020	0	4,720,617	(1,087,519)	3,623,098
Transfers to Other Funds	(500,000)	0	0	0	(2,463,163)	1,097,519	(1,365,644)
Bond & Note Proceeds	490,000	0	0	0	711,476	0	711,476
Net Other Financing Sources (Uses)	(10,000)	0	1,957,020	0	2,968,930	0	2,968,930
Change in Fund Balance	(10,000)	(75,606)	0	301	(10,251)	0	(10,251)
Closing Fund Balance	(10,000)	(75,606)	61,656	301	(1,161,499)	0	(1,161,499)

CASH COMBINING STATEMENT
DEBT SERVICE
FY 2019

	MENTAL HEALTH SERVICES (40100-40149)	GENERAL DEBT SERVICE (40150-40199)	HOUSING DEBT (40250-40299)	DEPARTMENT OF HEALTH INCOME (40300-40349)	CLEAN WATER/CLEAN AIR (40400-40449)	LOCAL GOVERNMENT ASSISTANCE TAX (40450-40499)	Sub Total	Eliminations	Financial Plan
Opening Fund Balance	117,944	0	0	35,164	0	(1)	153,107	0	153,107
Receipts:									
Taxes	0	28,733,690	0	0	1,058,900	3,528,900	33,321,490	0	33,321,490
Miscellaneous Receipts	350,178	0	3,624	143,702	0	500	498,004	0	498,004
Federal Grants	0	73,630	0	0	0	0	73,630	0	73,630
Total Receipts	350,178	28,807,320	3,624	143,702	1,058,900	3,529,400	33,893,124	0	33,893,124
Disbursements:									
Local Assistance	0	0	0	0	0	0	0	0	0
State Operations	1,535	40,631	0	2,161	0	2,855	47,182	0	47,182
General State Charges	0	0	0	0	0	0	0	0	0
Debt Service	138,548	4,842,638	4,624	26,166	0	370,271	5,382,247	0	5,382,247
Capital Projects	0	0	0	0	0	0	0	0	0
Total Disbursements	140,083	4,883,269	4,624	28,327	0	373,126	5,429,429	0	5,429,429
Other Financing Sources (Uses):									
Transfers from Other Funds	1,361,453	2,546,906	1,000	42,069	0	0	3,951,428	(344,154)	3,607,274
Transfers to Other Funds	(1,570,097)	(26,470,956)	0	(154,028)	(1,058,901)	(3,155,874)	(32,409,856)	344,154	(32,065,702)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	(208,644)	(23,924,050)	1,000	(111,959)	(1,058,901)	(3,155,874)	(28,458,428)	0	(28,458,428)
Change in Fund Balance	1,451	1	0	3,416	(1)	400	5,267	0	5,267
Closing Fund Balance	119,395	1	0	38,580	(1)	399	158,374	0	158,374

**CASH COMBINING STATEMENT BY ACCOUNT
INTERNAL SERVICE
FY 2019**
(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
323.55009-Admin Support	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
323.55010-Design & Constr	(4,429)	0	64,626	0	0	0	64,626	0	29,251	15,423	901	0	18,688	0	0	1,866	66,129	(5,932)
323.55020-OGS Ent Contr	(72,553)	0	200,000	0	0	0	200,000	0	600	199,074	18	0	383	0	0	0	200,075	(72,628)
323.55022-Business Svc Ct	(4,638)	0	13,591	0	0	6,000	19,591	0	5,686	5,000	175	0	3,633	0	0	0	14,494	459
323.550ML-Broome St Mastie	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
323.550XX-Misc Centrl Svc	107	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	107
323.5502X-OGS Exec Direct	(11,667)	0	134,630	0	0	21,783	156,413	0	4,447	129,208	137	0	2,841	0	0	19,919	156,552	(11,806)
323.5502Y-OGS Bldg Admin	(3,654)	0	26,429	0	0	12,000	38,429	0	2,231	19,876	69	0	1,425	0	0	0	23,601	11,174
323.5502Z-OGS Sld & Purch	(2,150)	0	12,057	0	0	0	12,057	0	3,211	5,430	99	0	2,052	0	0	0	10,792	(885)
334.55050-Agencies Int Sv	15	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	15
334.55052-Archives R	223	0	1,638	0	0	0	1,638	0	920	114	27	0	488	0	0	0	1,549	312
334.55053-Fedl Single Aud	2,295	0	1,500	0	0	0	1,500	0	0	1,500	0	0	0	0	0	0	1,500	2,295
334.55054-Quick Copy Cent	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
334.55055-CS Administrat	2,285	0	5,963	0	0	0	5,963	0	2,792	500	86	0	1,784	0	0	1,651	6,813	1,435
334.55056-EHS Occup Hlth	251	0	870	0	0	60,109	60,609	0	635	517	20	0	406	0	0	0	1,578	(457)
334.55057-Banking Service	(8)	0	500	0	0	0	500	0	0	57,254	0	0	0	0	0	0	57,254	3,347
334.55058-Cult Resources	(4,376)	0	7,329	0	0	1,000	7,329	0	1,429	4,082	42	0	840	0	0	284	6,677	(3,724)
334.55059-Neighbor Work P	(12,383)	0	8,200	0	0	18,300	18,300	0	0	9,200	0	0	0	0	0	12,500	9,200	(12,383)
334.55060-Auto/Print Chgb	2,660	0	17,613	0	0	0	17,613	0	8,419	4,345	0	0	4,946	0	0	0	17,710	2,563
334.55061-NYT Account	(2,146)	0	9,800	0	0	0	9,800	0	0	9,800	0	0	0	0	0	0	9,800	(2,146)
334.55062-State Data Ctr	(55,237)	0	0	0	0	18,300	18,300	0	0	0	0	0	0	0	0	0	0	(36,937)
334.55063-Human Svcs Tele	4,068	0	0	0	0	0	0	0	0	0	0	0	0	0	0	12,500	12,500	(8,432)
334.55065-OPWDD Copy Cent	754	0	150	0	0	0	150	0	0	150	0	0	0	0	0	0	150	754
334.55066-Intrusion Dete	(1,263)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1,263)
334.55067-Dom Violence Cr	(93)	0	807	0	0	0	807	0	703	101	3	0	0	0	0	0	807	(93)
334.55068-Statewide Train	126	0	0	0	0	0	0	0	0	0	0	0	600	0	0	0	600	(474)
334.55069-Centralized Tec	(43,985)	0	30,000	0	0	13,960	43,960	0	0	30,000	0	0	908	0	0	0	30,908	(30,983)
334.55070-Learning Mgmt S	1,768	0	0	0	0	0	0	0	0	0	0	0	0	0	0	300	300	1,468
334.55071-Labor Cont Ctr	110	0	2,021	0	0	0	2,021	0	1,055	164	27	0	654	0	0	0	1,900	231
334.55072-HS Cont Ctr	920	0	17,717	0	0	0	17,717	0	8,815	3,127	268	0	5,334	0	0	0	17,544	1,093
334.55074-Civil Recoverie	(4,752)	0	20,530	0	0	0	20,530	0	3,370	14,902	104	0	2,154	0	0	0	20,530	(4,752)
334.5507R-Civil Recover	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
334.550MI-Personnel Mgmt	29	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	29
334.550PF-Public Finanng	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
334.550XZ-Misc Intl Serv	(116)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(116)
343.55100-Mental Hygiene	38	0	1,600	0	0	0	1,600	0	652	500	17	0	401	0	0	0	1,570	68
347.55150-DFY Voc Educatn	68	0	25	0	0	0	25	0	0	0	0	0	0	0	0	0	0	93
394.55200-Joint Labor-Mgt	1,750	0	2,000	0	0	0	2,000	0	912	406	30	0	322	0	0	0	1,670	2,080
395.55251-Ex Dir Intl Aud	(6,473)	0	4,269	0	0	0	4,269	0	2,558	71	67	0	1,573	0	0	0	4,269	(6,473)
395.55252-CIO INFO TECH C	(21,979)	0	29,110	0	0	0	29,110	0	11,091	11,410	272	0	6,337	0	0	0	29,110	(21,979)
396.55300-Health Insuranc	(4,547)	0	14,121	0	0	8,083	22,204	0	9,150	1,700	282	0	5,846	0	0	3,428	20,406	(2,749)
396.55301-CS EBD Adm Reim	(5,266)	0	4,500	0	0	0	4,500	0	1,850	335	57	0	1,182	0	0	639	4,063	(4,829)
397.55350-Correctional In	(24,930)	0	49,000	0	0	20,773	69,773	0	25,700	34,831	535	0	12,011	0	0	357	73,434	(28,591)

**CASH COMBINING STATEMENT BY ACCOUNT
ENTERPRISE
FY 2019**
(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
324.50000-DPY Commissary	104	0	120	0	0	0	120	0	0	120	0	0	0	0	0	0	120	104
325.50050-State Fair Rece	1,410	0	14,350	0	0	0	14,350	0	4,074	8,596	125	0	2,603	0	0	0	15,398	362
326.50100-DOCS Commissary	3,449	0	39,262	0	0	0	39,262	0	0	39,180	0	0	0	0	0	0	39,180	3,531
331.50301-Mental Disab Pr	17	0	7	0	0	0	7	0	0	7	0	0	0	0	0	0	7	17
331.50302-DFY Products	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
331.50303-Aging Enterpris	0	0	2	0	0	0	2	0	0	2	0	0	0	0	0	0	2	0
331.50304-Maps And Demogr	13	0	1	0	0	0	1	0	0	0	0	0	0	0	0	0	0	14
331.50305-Empire St Games	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
331.50306-DSS Trng Matrfs	174	0	24	0	0	0	24	0	0	0	0	0	0	0	0	0	0	198
331.50311-Arts & Crafts	1	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	1
331.50313-TRAID Services	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
331.50318-Convention Cir	(72)	0	1,497	0	0	0	1,497	0	609	441	19	0	446	0	0	0	1,515	(90)
331.50319-Attica Emp Mess	1,435	0	1,256	0	0	0	1,256	0	282	803	10	0	167	0	0	0	1,262	1,429
331.50322-Asset Preservat	98	0	14	0	0	0	14	0	0	23	0	0	0	0	0	0	23	89
331.50323-Farm Program	685	0	629	0	0	0	629	0	123	465	2	0	63	0	0	0	643	671
331.50327-Emp Plz Gift Sh	(89)	0	500	0	0	0	500	0	105	331	3	0	67	0	0	0	506	(95)
331.5032Z-DDPC Pub Acct	0	0	10	0	0	0	10	0	0	10	0	0	0	0	0	0	10	0
331.ARMRT-Armory Rental	0	0	0	0	0	0	0	0	659	691	18	0	66	0	0	0	1,434	(1,434)
331.OGSPS-Parking Servs	0	0	8,092	0	0	0	8,092	0	2,900	3,612	89	0	1,853	0	0	1,000	9,454	(1,362)
331.OGSSE-Special Events	0	0	874	0	0	0	874	0	28	833	1	0	18	0	0	0	880	(6)
331.OGSSW-Solid Waste	0	0	105	0	0	0	105	0	100	5	3	0	64	0	0	0	172	(67)
351.50400-OMH Sheit Wkshs	2,138	0	2,200	0	0	0	2,200	0	0	2,200	0	0	0	0	0	0	2,200	2,138
352.50450-MR Shel Wkshop	1,869	0	950	0	0	0	950	0	0	1,050	0	0	0	0	0	0	1,050	1,769
353.50500-MH & MR Communi	4,210	0	2,200	0	0	0	2,200	0	383	1,172	10	0	229	0	0	0	1,794	4,616
353.50516-MR Community St	147	0	551	0	0	0	551	0	219	326	9	0	0	0	0	0	554	144
450.2595F-IEA / State Fai	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
481.50650-U / Benefit Fnd	863,441	2,450,000	0	50,000	0	0	2,500,000	0	0	0	0	2,500,000	0	0	0	0	2,500,000	863,441
481.50651-Interest Assess	5,478	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5,478
481.506FS-Federal Stimulu	(860,933)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(860,933)

GENERAL FUND CASH TO APPROPRIATION TABLE
NEW, REAPPROPRIATIONS AND DEFICIENCY APPROPRIATIONS
FY 2018 RESULTS
(thousands of dollars)

	Local Assistance		State Operations	
	Cash	Appropriation	Cash	Appropriation
ECONOMIC DEVELOPMENT				
Agriculture and Markets, Department of	32,275	73,959	33,048	47,244
Alcohol Beverage and Control Alcoholic Beverage Control, Division of	0	0	11,819	13,313
Economic Development, Department of	52,795	260,225	17,920	27,012
Empire State Development Corporation	124,571	428,547	0	0
Olympic Regional Development Authority	0	0	2,736	3,893
FUNCTIONAL TOTAL	209,641	762,731	65,523	91,462
PARKS AND THE ENVIRONMENT				
Adirondack Park Agency	0	0	4,468	4,444
Environmental Conservation, Department of	2,319	19,113	94,304	136,348
Parks, Recreation and Historic Preservation, Office of	1,709	11,791	102,323	129,156
FUNCTIONAL TOTAL	4,028	30,904	201,095	269,948
TRANSPORTATION				
Motor Vehicles	1,600	1,600	0	0
Thruway Authority, New York State	0	0	0	0
Transportation, Department of	114,708	117,679	1,395	1,050
FUNCTIONAL TOTAL	116,308	119,279	1,395	1,050
HEALTH				
Aging, Office for the	123,293	244,663	1,200	1,236
Health, Department of	14,104,581	75,679,119	506,291	921,411
Medicaid Inspector General, Office of the	0	0	18,282	19,426
FUNCTIONAL TOTAL	14,227,874	75,923,782	525,773	942,073
SOCIAL WELFARE				
Children and Family Services, Office of	1,602,284	2,763,400	227,550	329,705
Housing and Community Renewal, Division of	3,885	26,988	5,793	12,674
Human Rights, Division of	0	0	9,465	12,135
Labor, Department of	12,463	37,845	197	287
National and Community Service	477	1,597	293	336
Temporary and Disability Assistance, Office of	1,229,518	1,501,683	121,646	186,269
FUNCTIONAL TOTAL	2,848,627	4,331,513	364,944	541,406
MENTAL HYGIENE				
Alcoholism and Substance Abuse Services, Office of	36,208	59,666	0	0
Mental Health, Office of	272,895	395,482	697	796
People with Developmental Disabilities, Office for Justice Center	438,058	3,317,997	0	0
	170	289	37,627	41,685
FUNCTIONAL TOTAL	747,331	3,773,434	38,324	42,481
PUBLIC PROTECTION				
Correction, Commission of	0	0	2,594	2,955
Correctional Services, Department of	5,686	52,991	2,626,633	2,664,733
Criminal Justice Services, Division of	136,282	321,482	33,851	38,017
Disaster Assistance	0	0	0	0
Homeland Security and Emergency Services, Division of	3,404	1,041,618	1,070	1,000
Judicial Conduct, Commission on	0	0	5,552	5,584
Judicial Nomination, Commission on	0	0	10	30
Judicial Screening, Committees	0	0	14	38
Military and Naval Affairs, Division of	819	2,080	22,919	25,354
State Police, Division of	0	0	664,644	671,621
Statewide Financial Services	0	0	30,137	30,491
Victim Services	(537)	5,967	0	0
FUNCTIONAL TOTAL	145,654	1,424,138	3,387,424	3,439,823
EDUCATION				
Arts, Council on the	34,950	76,440	4,260	5,312
City University of New York	1,464,984	1,506,168	0	0
Education, Department of	24,151,791	50,781,286	56,396	90,965
Higher Education Services Corporation, New York State	879,322	1,202,720	0	0
State University of New York	488,757	493,959	1,049	1,616,626
FUNCTIONAL TOTAL	27,019,804	54,060,573	61,705	1,712,903
GENERAL GOVERNMENT				
Budget, Division of the	0	0	23,931	29,778
Civil Service, Department of	0	0	14,117	14,553
Deferred Compensation	0	0	33	111
Elections, State Board of	50	1,900	7,719	9,659
Employee Relations, Office of	0	0	3,569	2,913
Gaming Commission, New York State	0	0	4,373	6,431
General Services, Office of	0	0	138,445	152,917
Inspector General, Office of the	0	0	7,166	6,944
Labor Management Committee	0	0	24,773	93,658
Prevention of Domestic Violence, Office for	791	2,892	1,558	1,767
Public Employment Relations Board	0	0	3,232	3,600
Public Integrity, Commission on	0	0	5,115	5,582
State, Department of	8,288	31,019	10,750	11,379
Tax Appeals, Division of	0	0	2,688	3,040
Taxation and Finance, Department of	888	926	306,121	262,174
Technology, Office for	0	0	535,977	582,793
Veterans' Affairs, Division of	7,813	21,012	5,885	6,792
Welfare Inspector General, Office of	0	0	671	1,162
FUNCTIONAL TOTAL	17,830	57,749	1,096,123	1,195,253
ELECTED OFFICIALS				
Audit and Control, Department of	32,025	32,025	132,420	132,331
Executive Chamber	0	0	13,560	17,854
Law, Department of	0	0	105,993	105,435
Judiciary	2,974	33,000	1,966,636	2,685,590
Legislature	0	0	222,212	408,030
Lieutenant Governor, Office of the	0	0	492	630
FUNCTIONAL TOTAL	34,999	65,025	2,441,313	3,349,870
LOCAL GOVERNMENTS/SALES TAX ASSET RECEIVABLE CORPORATION				
Sales Tax Asset Receivable Corporation	170,000	170,000	0	0
Local Government Assistance	760,509	898,679	131	2,500
FUNCTIONAL TOTAL	930,509	1,068,679	131	2,500

NOTE 1: Cash disbursements can vary from the level of appropriation authority due to intra-year transfers or suballocation, changes in the amount/timing of carry-over spending, and the use of agency appropriation interchange authorization. Appropriation amounts include new authority, reappropriations, and other amendments, but do not include carry-in authority from prior years which is subject to lapse.

NOTE 2: Special statewide appropriation authority is available to all State agencies to meet funding needs during emergencies, and for the Federal Government's share of costs resulting from natural or man-made natural disasters in advance of the availability of Federal reimbursement.

NOTE 3: The State maintains two-year appropriations for Medicaid programs. The Medicaid appropriation also includes authorization for Medicaid spending that is expected to occur outside of DOH.

**GENERAL FUND CASH TO APPROPRIATION TABLE
NEW, REAPPROPRIATIONS AND DEFICIENCY APPROPRIATIONS
FY 2019 ENACTED
(thousands of dollars)**

	Local Assistance		State Operations	
	Cash	Appropriation	Cash	Appropriation
ECONOMIC DEVELOPMENT				
Agriculture and Markets, Department of	38,271	65,228	32,448	61,002
Economic Development, Department of	58,699	242,232	15,341	25,339
Empire State Development Corporation	97,936	412,847	0	0
Olympic Regional Development Authority	0	0	8,783	9,940
FUNCTIONAL TOTAL	194,906	720,307	56,572	96,281
PARKS AND THE ENVIRONMENT				
Adirondack Park Agency	0	0	4,469	4,544
Environmental Conservation, Department of	4,795	18,394	101,253	145,528
Parks, Recreation and Historic Preservation, Office of	406	9,810	104,312	129,156
FUNCTIONAL TOTAL	5,201	28,204	210,034	279,228
TRANSPORTATION				
Thruway Authority, New York State	0	0	0	0
Transportation, Department of	303,851	308,520	271,405	340,401
FUNCTIONAL TOTAL	303,851	308,520	271,405	340,401
HEALTH				
Aging, Office for the	129,072	247,868	1,231	1,236
Health, Department of	15,000,505	102,259,405	591,787	974,106
Medicaid Inspector General, Office of the	0	0	18,111	19,426
FUNCTIONAL TOTAL	15,129,577	102,507,273	611,129	994,768
SOCIAL WELFARE				
Children and Family Services, Office of	1,687,489	2,899,421	269,956	341,113
Housing and Community Renewal, Division of	9,406	31,098	4,550	12,674
Human Rights, Division of	0	0	9,921	12,135
Labor, Department of	14,512	37,599	288	287
National and Community Service	350	1,587	340	336
Temporary and Disability Assistance, Office of	1,280,884	1,541,649	123,817	183,750
FUNCTIONAL TOTAL	2,992,641	4,511,354	408,872	550,295
MENTAL HYGIENE				
Alcoholism and Substance Abuse Services, Office of	352,821	460,900	76,151	135,482
Mental Health, Office of	1,325,118	1,890,350	1,309,988	2,819,400
People with Developmental Disabilities, Office for	574,408	4,242,699	1,277,207	2,416,781
Justice Center	170	302	40,522	43,328
FUNCTIONAL TOTAL	2,252,517	6,594,251	2,703,868	5,414,991
PUBLIC PROTECTION				
Correction, Commission of	0	0	2,651	2,955
Correctional Services, Department of	6,836	62,284	2,603,335	2,647,885
Criminal Justice Services, Division of	158,998	378,661	33,721	38,017
Disaster Assistance	0	0	0	0
Homeland Security and Emergency Services, Division of	5,572	1,196,518	1,000	1,000
Judicial Conduct, Commission on	0	0	5,696	5,696
Judicial Nomination, Commission on	0	0	30	30
Judicial Screening, Committees	0	0	38	38
Military and Naval Affairs, Division of	820	1,563	20,618	25,354
State Police, Division of	0	0	651,653	679,655
Statewide Financial Services	0	0	30,137	30,491
Victim Services	2,788	8,156	0	0
FUNCTIONAL TOTAL	175,014	1,647,182	3,348,879	3,431,121
EDUCATION				
Arts, Council on the	40,995	82,820	4,320	4,319
City University of New York	1,493,737	1,579,738	0	0
Education, Department of	25,503,163	28,003,348	60,786	99,564
Higher Education Services Corporation, New York State	1,089,071	1,191,541	0	0
State University of New York	482,289	483,644	700	1,722,000
FUNCTIONAL TOTAL	28,609,255	31,341,091	65,806	1,825,883
GENERAL GOVERNMENT				
Budget, Division of the	0	0	23,722	29,078
Civil Service, Department of	0	1,000	12,544	14,553
Deferred Compensation	0	0	57	111
Elections, State Board of	0	1,838	8,587	14,658
Employee Relations, Office of	0	0	2,601	2,913
Gaming Commission, New York State	0	0	6,362	6,431
General Services, Office of	0	0	132,981	148,417
Inspector General, Office of the	0	0	7,427	6,944
Labor Management Committee	0	0	31,000	108,473
Prevention of Domestic Violence, Office for	1,285	3,612	1,659	1,767
Public Employment Relations Board	0	0	3,560	3,600
Public Integrity, Commission on	0	0	5,576	5,582
State, Department of	14,022	35,108	9,392	11,553
Tax Appeals, Division of	0	0	3,040	3,040
Taxation and Finance, Department of	926	1,852	254,922	262,174
Technology, Office for	0	0	544,955	582,793
Veterans' Affairs, Division of	9,792	21,976	6,236	6,922
Welfare Inspector General, Office of	0	0	686	1,162
FUNCTIONAL TOTAL	26,025	65,386	1,055,307	1,210,171
ELECTED OFFICIALS				
Audit and Control, Department of	32,024	32,025	134,713	134,713
Executive Chamber	0	0	13,578	17,854
Law, Department of	0	0	107,538	107,538
Judiciary	3,500	18,500	2,006,700	2,765,376
Legislature	0	0	228,908	337,556
Lieutenant Governor, Office of the	0	0	614	630
FUNCTIONAL TOTAL	35,524	50,525	2,492,051	3,363,667
LOCAL GOVERNMENTS/SALES TAX ASSET RECEIVABLE				
Sales Tax Asset Receivable Corporation	0	170,000	0	0
Local Government Assistance	765,263	1,129,957	0	2,500
FUNCTIONAL TOTAL	765,263	1,299,957	0	2,500

NOTE 1: Cash disbursements can vary from the level of appropriation authority due to intra-year transfers or suballocation, changes in the amount/timing of carry-out spending, and the use of agency appropriation interchange authorization. Appropriation amounts include new authority, reappropriations, and other amendments, but do not include carry-in authority from prior years which is subject to lapse.

NOTE 2: Special statewide appropriation authority is available to all State agencies to meet funding needs during emergencies, and for the Federal Government's share of costs resulting from natural or man-made natural disasters in advance of the availability of Federal reimbursement.

NOTE 3: The State maintains two-year Medicaid appropriations along with authorization for Medicaid spending outside of DOH.

**GAAP FINANCIAL PLAN
GENERAL FUND
FY 2019
(millions of dollars)**

	<u>Executive</u>	<u>Change</u>	<u>Enacted</u>
Revenues:			
Taxes:			
Personal Income Tax	23,457	(304)	23,153
Consumption/Use Taxes	7,472	(111)	7,361
Business Taxes	5,531	(211)	5,320
Other Taxes	1,051	0	1,051
Miscellaneous Receipts	5,025	(115)	4,910
Federal Receipts	0	0	0
Total Receipts	<u>42,536</u>	<u>(741)</u>	<u>41,795</u>
Expenditures:			
Local Assistance	49,366	950	50,316
State Operations	13,005	247	13,252
General State Charges	8,244	19	8,263
Debt Service	0	0	0
Capital Projects	0	0	0
Total Disbursements	<u>70,615</u>	<u>1,216</u>	<u>71,831</u>
Other Financing Sources (Uses):			
Transfers From Other Funds	31,981	1,218	33,199
Transfers To Other Funds	(7,332)	30	(7,302)
Proceeds From Financing Arrangements/ Advance Refundings	0	0	0
Net Other Financing Sources (Uses)	<u>24,649</u>	<u>1,248</u>	<u>25,897</u>
Operating Surplus/(Deficit)	<u>(3,430)</u>	<u>(709)</u>	<u>(4,139)</u>
Accumulated Surplus/(Deficit)¹	<u>(1,463)</u>		<u>(2,172)</u>

¹ FY 2018 results are expected to be made available in July 2018. FY 2019 projections are predicated upon assumptions made while projecting FY 2018 results, but there can be no assurance that actual results will not differ materially from these projections. Such variances could substantially impact FY 2019 GAAP projections.

**GAAP FINANCIAL PLAN
ALL FUNDS
FY 2019
(millions of dollars)**

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	(MEMO) Total
Revenues:					
Taxes	36,885	6,144	1,395	33,336	77,760
Public Health/Patient Fees	0	4,980	0	494	5,474
Miscellaneous Receipts	4,910	2,829	915	3	8,657
Federal Receipts	0	63,050	2,464	74	65,588
Total Receipts	41,795	77,003	4,774	33,907	157,479
Expenditures:					
Local Assistance	50,316	73,979	5,620	0	129,915
State Operations	13,252	2,585	0	47	15,884
General State Charges	8,263	449	0	0	8,712
Debt Service	0	0	0	4,335	4,335
Capital Projects	0	0	8,772	0	8,772
Total Disbursements	71,831	77,013	14,392	4,382	167,618
Other Financing Sources (Uses):					
Transfers From Other Funds	33,199	3,941	3,548	3,607	44,295
Transfers To Other Funds	(7,302)	(3,542)	(1,366)	(33,113)	(45,323)
Proceeds Of General Obligation Bonds	0	0	711	0	711
Proceeds From Financing Arrangements/ Advance Refundings	0	0	6,670	0	6,670
Net Other Financing Sources (Uses)	25,897	399	9,563	(29,506)	6,353
Operating Surplus/(Deficit)	(4,139)	389	(55)	19	(3,786)

**GAAP FINANCIAL PLAN
ALL FUNDS
FY 2019
(millions of dollars)**

	Major Funds					Eliminations	Total
	General Fund	Federal Special Revenue	General Debt Service	Other Governmental Funds			
Revenues:							
Taxes:							
Personal Income Tax	23,153	0	25,205	2,460	0	50,818	
Consumption/Use Taxes	7,361	0	3,529	6,140	0	17,030	
Business Taxes	5,320	0	0	2,363	0	7,683	
Other Taxes	1,051	0	0	1,178	0	2,229	
Public Health/Patient Fees	0	0	0	5,474	0	5,474	
Miscellaneous Receipts	4,910	205	0	3,542	0	8,657	
Federal Receipts	0	63,011	73	2,504	0	65,588	
Total Receipts	41,795	63,216	28,807	23,661	0	157,479	
Expenditures:							
Local Assistance	50,316	58,938	0	20,661	0	129,915	
State Operations	13,252	1,838	41	753	0	15,884	
General State Charges	8,263	331	0	118	0	8,712	
Debt Service	0	0	3,795	540	0	4,335	
Capital Projects	0	0	0	8,772	0	8,772	
Total Disbursements	71,831	61,107	3,836	30,844	0	167,618	
Other Financing Sources (Uses):							
Transfers From Other Funds	33,199	12	2,547	8,537	(38,166)	6,129	
Transfers To Other Funds	(7,302)	(2,124)	(27,518)	(8,379)	38,166	(7,157)	
Proceeds Of General Obligation Bonds				711	0	711	
Proceeds From Financing Arrangements/ Advance Refundings	0	0	0	6,670	0	6,670	
Net Other Financing Sources (Uses)	25,897	(2,112)	(24,971)	7,539	0	6,353	
Operating Surplus/(Deficit)	(4,139)	(3)	0	356	0	(3,786)	

GAP COMBINING STATEMENT
GENERAL FUND
FY 2019
(millions of dollars)

	LOCAL ASSISTANCE ACCOUNT (10000-10049)	STATE OPERATIONS ACCOUNT (10500-10099)	COMMUNITY PROJECTS (10250-10299)	FRINGE BENEFIT ESCROW ACCOUNT (10500-10549)	RAINY DAY RESERVE (10300-10349)	CENTRALIZED SERVICES (65000-55049)	STATE EXPOSITION SPECIAL (50900-50999)	CORRECTIONAL SERVICES COMMISSARY (50100-50299)	AGENCY ENTERPRISE (50800-50399)	AGENCY INTERNAL SERVICE (50500-50699)
Revenues:										
Personal Income Tax	0	23,153	0	0	0	0	0	0	0	0
Consumption/Use Taxes	0	7,361	0	0	0	0	0	0	0	0
Business Taxes	0	5,320	0	0	0	0	0	0	0	0
Other Taxes	0	1,051	0	0	0	0	0	0	0	0
Miscellaneous Receipts	0	2,117	0	863	0	451	14	39	13	125
Federal Receipts	0	0	0	0	0	0	0	0	0	0
Total Receipts	0	39,092	0	863	0	451	14	39	13	125
Expenditures:										
Local Assistance	49,948	0	10	0	0	0	0	0	0	0
State Operations	0	12,185	0	48	0	420	13	39	12	158
General State Charges	0	6,987	0	815	0	29	3	0	3	18
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0
Total Disbursements	49,948	19,172	10	863	0	449	16	39	15	176
Other Financing Sources (Uses):										
Transfers From Other Funds	0	38,135	0	0	0	40	0	0	0	66
Transfers To Other Funds	(1,511)	(6,890)	(19)	0	0	(22)	0	0	(1)	(2)
Proceeds From Financing Arrangements/Advance Refundings	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	(1,511)	27,245	(19)	0	0	18	0	0	(1)	64
Operating Surplus/(Deficit)	(51,459)	47,975	(29)	0	0	20	(2)	0	(9)	13
Revenues:										
Personal Income Tax	0	0	0	0	0	0	0	0	0	23,153
Consumption/Use Taxes	0	0	0	0	0	0	0	0	0	7,361
Business Taxes	0	0	0	0	0	0	0	0	0	5,320
Other Taxes	0	0	0	0	0	0	0	0	0	1,051
Miscellaneous Receipts	1,833	2	3	3	2	33	19	49	(656)	4,910
Federal Receipts	0	0	0	0	0	0	0	0	0	0
Total Receipts	1,833	2	3	3	2	33	19	49	(656)	41,795
Expenditures:										
Local Assistance	358	0	0	0	0	0	0	0	0	50,316
Agency Operations	925	2	3	2	1	25	13	62	(656)	13,252
Fringe Benefits/Fixed Costs	381	0	0	0	0	8	7	12	0	8,263
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0
Total Disbursements	1,664	2	3	2	1	33	20	74	(656)	71,831
Other Financing Sources (Uses):										
Transfers From Other Funds	546	0	0	0	0	0	8	21	(617)	33,199
Transfers To Other Funds	(470)	0	0	0	0	0	0	0	617	(7,302)
Proceeds From Financing Arrangements/Advance Refundings	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	76	0	0	0	0	0	8	21	617	25,897
Operating Surplus/(Deficit)	245	0	0	1	3	0	3	(4)	0	(4,139)

CASH TO GAAP CONVERSION TABLE
GENERAL FUND
FY 2019
(millions of dollars)

	Cash Financial Plan		Perspective Difference		Entity Difference		Cash Basis Subtotal	Changes in Accruals	Eliminations	Intrafund Eliminations	Reclassification	GAAP Financial Plan
	Plan	Financial	Special Revenue Funds	Other Funds	Special Revenue Funds	Other Funds						
Revenues:												
Taxes:												
Personal Income Tax	22,746						22,746	407				23,153
Consumption/Use Taxes	7,647						7,647	(286)				7,361
Business Taxes	5,626						5,626	(306)				5,320
Other Taxes	1,051		0	0			1,051	0				1,051
Miscellaneous Receipts	2,127		1,833	753			4,713	9	793	(656)	51	4,910
Federal Receipts	0		0				0					0
Total Revenues	39,197		1,833	753			41,783	(176)	793	(656)	51	41,795
Expenditures:												
Local Assistance	51,063		358	0			51,421	83	0	0	(1,188)	50,316
State Operations	11,745		1,176	750			13,671	38	5	(656)	194	13,252
General State Charges	7,553		381	82			8,016	(79)	788	0	(462)	8,263
Debt Service	0		0				0			0		0
Capital Projects	0		0	0			0	0	0	0	0	0
Total Expenditures	70,361		1,915	832			73,108	42	793	(656)	(1,456)	71,831
Other Financing Sources (Uses):												
Transfers From Other Funds	33,463		546	135			34,144	0	(575)	0	(370)	33,199
Transfers To Other Funds	(6,240)		(471)	(29)			(6,740)	0	575	0	(1,137)	(7,302)
Proceeds From Financing Arrangements/ Advance Refundings	0		0	0			0	0	0	0	0	0
Net Other Financing Sources (Uses)	27,223		75	106			27,404	0	0	0	(1,507)	25,897
Excess (deficiency) Of Revenues And Other Financing Sources Over Expenditures And Other Financing Uses	(3,941)		(7)	27			(3,921)	(218)	0	0	0	(4,139)
(Increase)/Decrease In Reserves Operating Surplus/(Deficit)	(3,941)		(7)	27			(3,921)	(218)	0	0	0	(4,139)

CASH TO GAAP CONVERSION TABLE
SPECIAL REVENUE FUNDS

FY 2019

(millions of dollars)

Estimated Cash Disbursements	CUNY (Fund 377)	SUNY (Fund 345)	MSRF (Fund 539)	LOTTERY (Fund 160)	Food Stamps	Reclass Public Health	Reclass SUNY	Interfund Activity	System Accruals	Estimated GAAP Expenditures
Taxes	6,138	0	0	0	0	0	0	0	6	6,144
Public Health	0	0	0	0	0	4,980	0	0	0	4,980
Miscellaneous Receipts	17,713	(98)	(4,761)	(1,833)	(3,254)	(4,980)	0	0	42	2,829
Federal Receipts	57,576	0	0	0	5,331	0	0	0	143	63,050
Total Receipts	81,427	(98)	(4,761)	(1,833)	(3,254)	0	0	0	191	77,003
Expenditures:										
Local Assistance	68,951	0	0	(358)	5,331	0	0	0	55	73,979
State Operations	9,755	(76)	(5,946)	(1,176)	0	0	0	0	81	2,585
General State Charges	1,312	(8)	(474)	(381)	0	0	0	0	12	449
Capital Projects	0	0	0	0	0	0	0	0	0	0
Total Disbursements	80,018	(84)	(6,420)	(1,915)	5,331	0	0	0	148	77,013
Other Financing Sources (Uses):										
Transfers From Other Funds	2,684	0	(1,757)	(546)	0	0	0	359	0	3,941
Transfers To Other Funds	(3,867)	0	213	471	0	0	0	(359)	0	(3,542)
Net Other Financing Sources (Uses)	(1,183)	0	(1,544)	(75)	0	0	0	0	0	309
Operating Surplus/(Deficit)	226	(14)	115	7	0	0	0	0	43	309

CASH TO GAAP CONVERSION TABLE
CAPITAL PROJECTS FUND

FY 2019

(millions of dollars)

Estimated Cash Disbursements	SUNY Rehab (Fund 074)	SUNY Capital (Fund 384)	SUNY/CUNY (Fund 002)	Appropriated Loans	COPS	Off-Budget Capital	Reclass Proceeds	System Accruals	Estimated GAAP Expenditures
Taxes	1,395	0	0	0	0	0	0	0	1,395
Miscellaneous Receipts	7,667	0	(100)	(590)	(8)	0	(6,061)	7	915
Federal Receipts	2,433	0	0	0	0	0	0	31	2,464
Total Receipts	11,495	0	(100)	(590)	(8)	0	(6,061)	38	4,774
Expenditures:									
Local Assistance	5,613	0	0	0	0	0	0	7	5,620
Capital Projects	8,861	(50)	(100)	(808)	(8)	625	0	252	8,772
Total Disbursements	14,474	(50)	(100)	(808)	(8)	625	0	259	14,392
Other Financing Sources (Uses):									
Transfers From Other Funds	3,623	(50)	(25)	0	0	0	0	0	3,548
Transfers To Other Funds	(1,366)	0	0	0	0	0	0	0	(1,366)
Proceeds Of GO Bonds	711	0	0	0	0	0	0	0	711
Proceeds From Financing Arrangements/ Advance Refundings	0	0	0	0	0	609	6,061	0	6,670
Net Other Financing Sources (Uses)	2,968	(50)	(25)	0	0	609	6,061	0	9,983
Operating Surplus/(Deficit)	(11)	0	(25)	218	0	(16)	0	(221)	(55)

CASH TO GAAP CONVERSION TABLE
DEBT SERVICE FUND

FY 2019

(millions of dollars)

Estimated Cash Disbursements	LGAC	Patient Fees	Reclass	SUNY/CUNY/DS	System Accruals	Estimated GAAP Expenditures
Taxes	33,321	0	0	0	15	33,336
Patient Fees	0	0	494	0	0	494
Miscellaneous Receipts	498	(1)	(494)	0	3	3
Federal Receipts	74	0	0	0	0	74
Total Receipts	33,893	(1)	0	0	15	33,907
Expenditures:						
State Operations	47	0	0	0	0	47
Debt Service	5,382	0	0	(1,047)	0	4,335
Total Disbursements	5,429	0	0	(1,047)	0	4,382
Other Financing Sources (Uses):						
Transfers From Other Funds	3,607	0	0	0	0	3,607
Transfers To Other Funds	(32,065)	0	0	(1,047)	0	(33,113)
Net Other Financing Sources (Uses)	(28,458)	0	0	(1,047)	0	(29,506)
Operating Surplus/(Deficit)	5	(1)	0	0	15	29

STATE OF NEW YORK LIST OF JOINT CUSTODY FUNDS - CLASSIFIED BY OSC			APPENDIX
SFS FUND RANGE	CAS FUND NUMBER	FUND NAME	FUND CLASSIFICATION
10000-10049	001	Local Assistance Account	General
10050-10099	003	State Operations Account	General
10100-10149	004	Tax Stabilization Reserve Account	General
10150-10199	005	Contingency Reserve Fund	General
10200-10249	006	Universal Pre-Kindergarten Reserve	General
10250-10299	007	Community Projects Fund	General
10300-10349	008	Rainy Day Reserve Fund	General
10400-10449	017	Refund Reserve Account	General
10450-10499	100	General Fund	General
10500-10549	166	Fringe Benefit Escrow Account	General
10550-10599	348	Tobacco Revenue Guarantee Fund	General
20000-20099	019	Mental Health Gifts and Donations Fund	Special Revenue
20100-20299	020	Combined Expendable Trust Fund	Special Revenue
20300-20349	023	New York Interest on Lawyer Account Fund	Special Revenue
20350-20399	024	NYS Archives Partnership Trust Fund	Special Revenue
20400-20449	025	Child Performer's Protection Fund	Special Revenue
20450-20499	050	Tuition Reimbursement Fund	Special Revenue
20500-20549	052	NYS Local Government Records Management Improvement Fund	Special Revenue
20550-20599	053	School Tax Relief Fund	Special Revenue
20600-20649	054	Charter Schools Stimulus Fund	Special Revenue
20650-20699	055	Not-For-Profit Short-Term Revolving Loan Fund	Special Revenue
20800-20849	061	Health Care Reform Act (HCRA) Resources Fund	Special Revenue
20850-20899	073	Dedicated Mass Transportation Trust Fund	Special Revenue
20900-20949	160	State Lottery Fund	Special Revenue
20950-20999	221	Combined Student Loan Fund	Special Revenue
21000-21049	300	Sewage Treatment Program Management and Administration Fund	Special Revenue
21050-21149	301	Environmental Conservation Special Revenue Fund	Special Revenue
21150-21199	302	Conservation Fund	Special Revenue
21200-21249	303	Environmental Protection and Oil Spill Compensation Fund	Special Revenue
21250-21299	305	Training and Education Program on Occupational Safety and Health Fund	Special Revenue
21300-21349	306	Lawyers' Fund For Client Protection	Special Revenue
21350-21399	307	Equipment Loan Fund for the Disabled	Special Revenue
21400-21449	313	Mass Transportation Operating Assistance Fund	Special Revenue
21450-21499	314	Clean Air Fund	Special Revenue
21500-21549	318	New York State Infrastructure Trust Fund	Special Revenue
21550-21599	321	Legislative Computer Services Fund	Special Revenue
21600-21649	328	Biodiversity Stewardship and Research Fund	Special Revenue
21650-21699	332	Combined Non-Expendable Trust Fund	Special Revenue
21700-21749	333	Winter Sports Education Trust Fund	Special Revenue
21750-21799	335	Musical Instrument Revolving Fund	Special Revenue
21850-21899	338	Arts Capital Revolving Fund	Special Revenue
21900-22499	339	Miscellaneous Special Revenue Account	Special Revenue
22500-22549	340	Court Facilities Incentive Aid Fund	Special Revenue
22550-22599	341	Employment Training Fund	Special Revenue
22650-22699	345	State University Income Fund	Special Revenue
22700-22749	346	Chemical Dependence Service Fund	Special Revenue
22750-22799	349	Lake George Park Trust Fund	Special Revenue
22800-22849	354	State Police Motor Vehicle Law Enforcement and Motor Vehicle Theft and Insurance Fraud Prevention Fund	Special Revenue
22850-22899	355	New York Great Lakes Protection Fund	Special Revenue
22900-22949	359	Federal Revenue Maximization Fund	Special Revenue
22950-22999	360	Housing Development Fund	Special Revenue
23000-23049	362	NYS DOT Highway Safety Program Fund	Special Revenue
23050-23099	365	Vocational Rehabilitation Fund	Special Revenue
23100-23149	366	Drinking Water Program Management and Administration Fund	Special Revenue
23150-23199	368	New York City County Clerks' Operations Offset Fund	Special Revenue
23200-23249	369	Judiciary Data Processing Offset Fund	Special Revenue
23250-23449	377	IFR/City University Tuition Fund	Special Revenue
23500-23549	385	US Olympic Committee/Lake Placid Olympic Training Fund	Special Revenue
23550-23599	390	Indigent Legal Services Fund	Special Revenue
23600-23649	482	Unemployment Insurance Interest and Penalty Fund	Special Revenue
23650-23699	225	Metropolitan Transportation Authority (MTA) Financial Assistance Fund	Special Revenue
23700-23749		New York State Commercial Gaming Fund	Special Revenue
23750-23799		Medical Marihuana Trust Fund	Special Revenue
23800-23899		Dedicated Miscellaneous Special Revenue Account	Special Revenue
25000-25099	261	Federal USDA-Food and Nutrition Services Fund	Special Revenue

STATE OF NEW YORK LIST OF JOINT CUSTODY FUNDS - CLASSIFIED BY OSC			APPENDIX
SFS FUND RANGE	CAS FUND NUMBER	FUND NAME	FUND CLASSIFICATION
25100-25199	265	Federal Health and Human Services Fund	Special Revenue
25200-25249	267	Federal Education Fund	Special Revenue
25300-25899	290	Federal Miscellaneous Operating Grants Fund	Special Revenue
25900-25949	480	Federal Unemployment Insurance Administration Fund	Special Revenue
25950-25999	484	Federal Unemployment Insurance Occupational Training Fund	Special Revenue
26000-26049	486	Federal Employment and Training Grants	Special Revenue
40350-40399	330	State University Dormitory Income Fund	Special Revenue
30000-30049	002	State Capital Projects Fund	Capital Projects
30050-30099	072	Dedicated Highway and Bridge Trust Fund	Capital Projects
30100-30299	074	SUNY Residence Halls Rehabilitation and Repair Fund	Capital Projects
30300-30349	075	NYS Canal System Development Fund	Capital Projects
30350-30399	076	State Park Infrastructure Fund	Capital Projects
30400-30449	077	Passenger Facility Charge Fund	Capital Projects
30450-30499	078	Environmental Protection Fund	Capital Projects
30500-30549	079	Clean Water/Clean Air Implementation Fund	Capital Projects
30600-30609	101	Energy Conservation Thru Improved Transportation Bond Fund	Capital Projects
30610-30619	103	Park and Recreation Land Acquisition Bond Fund	Capital Projects
30620-30629	105	Pure Waters Bond Fund	Capital Projects
30630-30639	109	Transportation Capital Facilities Bond Fund	Capital Projects
30640-30649	115	Environmental Quality Protection Fund	Capital Projects
	118	Rail Preservation and Development Bond Fund	Capital Projects
30650-30659	121	Rebuild and Renew New York Transportation Bond Fund	Capital Projects
30660-30669	123	Transportation Infrastructure Renewal Bond Fund	Capital Projects
30670-30679	124	Environmental Quality Bond Act Fund	Capital Projects
30680-30689	126	Accelerated Capacity and Transportation Improvements Bond Fund	Capital Projects
30690-30699	127	Clean Water/Clean Air Bond Fund	Capital Projects
30700-30709	119	State Housing Bond Fund	Capital Projects
30710-30719		Smart School Bond Fund	Capital Projects
30750-30799	106	Outdoor Recreation Development Bond Fund	Capital Projects
31350-31449	291	Federal Capital Projects Fund	Capital Projects
31450-31499	310	Forest Preserve Expansion Fund	Capital Projects
31500-31549	312	Hazardous Waste Remedial Fund	Capital Projects
31650-31699	327	Suburban Transportation Fund	Capital Projects
31700-31749	357	Division for Youth Facilities Improvement Fund	Capital Projects
31800-31849	374	Housing Assistance Fund	Capital Projects
31850-31899	376	Housing Program Fund	Capital Projects
31900-31949	378	Natural Resource Damages Fund	Capital Projects
31950-31999	380	Department of Transportation Engineering Services Fund	Capital Projects
32200-32249	387	Miscellaneous Capital Projects Fund	Capital Projects
32250-32299	388	City University of New York Capital Projects Fund	Capital Projects
32300-32349	389	Mental Hygiene Facilities Capital Improvement Fund	Capital Projects
32350-32399	399	Correctional Facilities Capital Improvement Fund	Capital Projects
32400-32999	384	State University Capital Projects Fund	Capital Projects
33000-33049		New York State Storm Recovery Capital Fund	Capital Projects
33050-33099		Dedicated Infrastructure Investment Fund	Capital Projects
40000-40049	064	Debt Reduction Reserve Fund	Debt Service
40100-40149	304	Mental Health Services Fund	Debt Service
40150-40199	311	General Debt Service Fund	Debt Service
40250-40299	316	Housing Debt Fund	Debt Service
40300-40349	319	Department of Health Income Fund	Debt Service
40400-40449	361	Clean Water/Clean Air Fund	Debt Service
40450-40499	364	Local Government Assistance Tax Fund	Debt Service
50000-50049	324	Youth Commissary Account	Enterprise
50050-50099	325	State Exposition Special Account	Enterprise
50100-50299	326	Correctional Services Commissary Account	Enterprise
50300-50399	331	Agencies Enterprise Fund	Enterprise
50400-50449	351	Office of Mental Health Sheltered Workshop Fund	Enterprise
50450-50499	352	Office for Persons with Developmental Disabilities Sheltered Workshop Fund	Enterprise
50500-50599	353	Mental Hygiene Community Stores Account	Enterprise
50650-50699	481	Unemployment Insurance Benefit Fund	Enterprise
55000-55049	323	Centralized Services Account	Internal Service
55050-55099	334	Agencies Internal Service Account	Internal Service
55100-55149	343	Mental Hygiene Revolving Account	Internal Service
55150-55199	347	Youth Vocational Education Account	Internal Service
55200-55249	394	Joint Labor/Management Administration Account	Internal Service

STATE OF NEW YORK LIST OF JOINT CUSTODY FUNDS - CLASSIFIED BY OSC			APPENDIX
SFS FUND RANGE	CAS FUND NUMBER	FUND NAME	FUND CLASSIFICATION
55250-55299	395	Audit and Control Revolving Account	Internal Service
55300-55349	396	Health Insurance Revolving Account	Internal Service
55350-55399	397	Correctional Industries Revolving Account	Internal Service
60050-60149	130	School Capital Facilities Financing Reserve Fund	Agency
60150-60199	135 136 137	Child Performer's Holding Fund	Agency
60200-60249	152	Employees Health Insurance Fund	Agency
60250-60299	153	Social Security Contribution Fund	Agency
60300-60399	154	Payroll Deduction Escrow Fund	Agency
60400-60449	162	Employees Dental Insurance Fund	Agency
60450-60499	163	Management Confidential Group Insurance Fund	Agency
60500-60549	165	Lottery Prize Fund	Agency
60550-60599	167	Health Insurance Reserve Receipts Fund	Agency
60600-60799	169	Miscellaneous NYS Agency Fund	Agency
60800-60849	175	EPIC Escrow Fund	Agency
60850-60899	176	CUNY Senior College Operating Fund	Agency
60900-60949	179	MMIS Statewide Escrow Fund	Agency
60950-60999	309	Special Education Fund	Agency
61000-61099	344	State University New York Revenue Collection Fund	Agency
61100-61999	382	State University Federal Direct Lending Program Fund	Agency
62000-62049		SSP SSI Payment Escrow Fund	Agency
65000-65049	400	Common Retirement Fund	Pension Trust
66000-66049	021	Agriculture Producers' Security Fund	Private Purpose Trust
66050-66099	022	Milk Producers' Security Fund	Private Purpose Trust

STATE OF NEW YORK FUND STRUCTURE

