



FY 2018 Mid-Year Update

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Introduction

Introduction

This is the Mid-Year Update to the Financial Plan (“Financial Plan” or “Mid-Year Update”) for Fiscal Year (FY) 2018. Except for specific revisions described herein, the projections in the Financial Plan (and the assumptions upon which they are based) are consistent with the projections set forth in the First Quarterly Update to the Financial Plan (the “First Quarterly Update” or “Prior Financial Plan”) issued in August 2017. The State’s FY 2018 began on April 1, 2017 and ends on March 31, 2018. The Division of the Budget (DOB) expects to next update the State’s official Financial Plan projections with the FY 2019 Executive Budget.

The factors affecting the State’s financial condition are complex. This Financial Plan contains forecasts, projections, and estimates that are based on expectations and assumptions, which existed at the time they were prepared, and contains statements relating to future results and economic performance that are “forward-looking statements” as defined in the Private Securities Litigation Reform Act of 1995. Since many factors may materially affect fiscal and economic conditions in the State, the inclusion in this Financial Plan of forecasts, projections, and estimates should not be regarded as a representation that such forecasts, projections, and estimates will occur. The forward-looking statements contained herein are based on the State’s expectations and are necessarily dependent upon assumptions, estimates, and data that it believes are reasonable as of the date made but that may be incorrect, incomplete or imprecise or not reflective of actual results. Forecasts, projections, and estimates are not intended as representations of fact or guarantees of results. The words “expects”, “forecasts”, “projects”, “intends”, “anticipates”, “estimates”, “assumes” and analogous expressions are intended to identify forward-looking statements in this Financial Plan. Any such statements inherently are subject to a variety of risks and uncertainties that could cause actual results to differ materially and adversely from those projected. Such risks and uncertainties include, among others, general economic and business conditions; changes in political, social, economic and environmental conditions, including climate change and extreme weather events; impediments to the implementation of gap-closing actions; regulatory initiatives and compliance with governmental regulations; litigation; Federal tax law changes; actions by the Federal government to reduce or disallow expected aid, including Federal aid authorized or appropriated by Congress but subject to sequestration, administrative actions, or other actions that would reduce aid to the State; and various other events, conditions and circumstances, many of which are beyond the control of the State. These forward-looking statements speak only as of the date of this Financial Plan.

The Office of the State Comptroller (OSC) issued the State’s Basic Financial Statements for FY 2017 (ended March 31, 2017) and the Comptroller’s Annual Report to the Legislature on State Funds Cash Basis of Accounting in accordance with the annual statutory deadline of July 29, 2017. Copies of this report may be obtained by contacting the Office of the State Comptroller, 110 State Street, Albany, NY 12236 and on its website at www.osc.state.ny.us. The Basic Financial Statements for FY 2017 can also be accessed through the Electronic Municipal Market Access System at www.emma.msrb.org.

Budgetary and Accounting Practices

Unless clearly noted otherwise, all financial information in this Financial Plan is presented on a cash basis of accounting.

The State's **General Fund** receives the majority of State taxes and all income not earmarked for a particular program or activity. State law requires the Governor to submit, and the Legislature to enact, a General Fund budget that is balanced. The General Fund is balanced using the cash basis of accounting. The State Constitution and State Finance Law do not provide a precise definition of budget balance. In practice, the General Fund is considered balanced if sufficient resources are, or are expected to be, available during the fiscal year for the State to: (a) make all planned payments, including Personal Income Tax (PIT) refunds, without the issuance of deficit notes or bonds, or extraordinary cash management actions, (b) restore the balances in the Tax Stabilization Reserve and Rainy Day Reserve to levels at or above the levels on deposit when the fiscal year began, and (c) maintain other reserves, as required by law. For purposes of calculating budget balance, the General Fund includes transfers to and from other funds.

The General Fund is the sole financing source for the School Tax Relief (STAR) fund, and is typically the financing source of last resort for the State's other major funds, which include the Health Care Reform Act (HCRA) funds, the Dedicated Highway and Bridge Trust Fund (DHBTF), the Lottery Fund, and the mental hygiene program and patient income accounts. Therefore, the General Fund projections account for any estimated funding shortfalls in these funds. Since the General Fund is the fund that is required by law to be balanced, the focus of the State's budgetary and gap-closing discussion in this Financial Plan is generally weighted toward the General Fund.

From time to time, DOB will informally designate unrestricted balances in the General Fund for specific policy goals (e.g., the payment of costs related to potential labor contracts covering prior contract periods). These amounts are typically identified with the phrase "reserved for," and are not held in distinct accounts within the General Fund and may be used for other purposes.

State Operating Funds is a broader measure of spending for operations (as distinct from capital purposes) that is funded with State resources. It includes financial activity not only in the General Fund, but also State-funded special revenue funds and debt service funds (spending from capital project funds and Federal funds is excluded). As a significant amount of financial activity occurs in funds outside of the General Fund, State Operating Funds is, in DOB's view, a more comprehensive measure of State-funded activities for operating purposes that are funded with State resources (e.g., taxes, assessments, fees, tuition). The State Operating Funds perspective has the advantage of eliminating certain distortions in operating activities that may be caused by, among other things, the State's complex fund structure, the transfer of money among funds, and the accounting of disbursements against appropriations in different funds. For example, the State funds its share of the Medicaid program from both the General Fund and HCRA funds, the latter being State Special Revenue Funds. The State Operating Funds perspective captures Medicaid disbursements from both fund types, giving a more complete accounting of State-funded Medicaid disbursements. For such reasons, the discussion of disbursement projections

often emphasizes the State Operating Funds perspective. The State's adherence to a 2 percent annual spending growth limitation is calculated on the State Operating Funds basis.

As described later, the Financial Plan reflects some actions that are expected to reduce the amount of annual spending that is accounted for in the State Operating Funds basis of reporting, including (i) realignment of certain operating costs to the capital budget to provide consistency in reporting across all agencies and a more accurate accounting of the overall capital budget, (ii) the payment of certain operating costs using available resources in accounts outside of the State Operating Funds basis of reporting, and (iii) the restructuring of the STAR program such that the spending for certain benefits is instead provided in the form of a tax credit for consistency with how other State tax credits are reported. If these and other transactions are not implemented as planned, annual spending growth in State Operating Funds would increase above current projections, all else being equal.

The State also reports disbursements and receipts activity for **All Governmental Funds** (All Funds), which includes spending from Capital Projects Funds and State and Federal operating funds, providing the most comprehensive view of the cash-basis financial operations of the State. The State accounts for receipts and disbursements by the fund in which the activity takes place (such as the General Fund), and the broad category or purpose of that activity (such as State Operations). The Financial Plan tables present State projections and results by fund and category.

Fund types of the State include: the General Fund; State Special Revenue Funds, which receive certain dedicated taxes, fees and other revenues that are used for specified purposes; Federal Special Revenue Funds, which receive certain Federal grants; State and Federal Capital Projects Funds, which account for costs incurred in the construction, maintenance, and rehabilitation of roads, bridges, prisons, university facilities, and other infrastructure projects; and Debt Service Funds, which account for the payment of principal, interest, and related expenses for debt issued by the State and on the State's behalf by its public authorities.

State Finance Law also requires DOB to prepare a pro forma financial plan using, to the extent practicable, generally accepted accounting principles (GAAP). The GAAP-basis financial plan is informational only and not used by DOB as a benchmark for managing State finances during the fiscal year and is not updated on a quarterly basis. The GAAP-basis financial plan follows, to the extent practicable, the accrual methodologies and fund accounting rules applied by OSC in preparation of the audited Basic Financial Statements, but there can be no assurance that the pro forma GAAP Financial Plan conforms to all GAAP principles.

The Financial Plan projections for future years may show budget gaps or budget surpluses in the General Fund. Budget gaps represent the difference between: (a) the projected General Fund disbursements, including transfers to other funds, needed to maintain current services levels and specific commitments, and (b) the projected level of resources, including transfers from other funds, to pay for these disbursements. The General Fund projections are based on a number of assumptions and are developed by the DOB in conjunction with other State agencies. Some projections are based on specific, known information (e.g., a statutory requirement to increase payments to a prescribed level), while others are based on more uncertain or speculative information (e.g., the pace at which a new program will enroll recipients). In general, the Financial Plan assumes that money appropriated in one fiscal year will continue to be appropriated in future years, even for programs that were not created in permanent law and that the State has no obligation to fund. Funding levels for nearly all State programs are reviewed annually, taking into account the current and projected fiscal position of the State.

The Financial Plan projections for FY 2019 and thereafter, set forth in this Financial Plan, reflect the savings that DOB estimates would be realized if the Governor continues to propose, and the Legislature continues to enact, balanced budgets that limit annual growth in State Operating Funds spending, as State Operating Funds is currently constituted in this Financial Plan, to no greater than 2 percent.¹ Total disbursements in the Financial Plan tables and narrative, contained in this Financial Plan, do not reflect these assumed savings, which are instead reflected on a distinct line and labeled as “Adherence to 2 Percent Spending Benchmark.” Financial Plan projections are subject to many risks and uncertainties, as well as future budgetary decisions and other factors not known. If the 2 percent State Operating Funds spending benchmark is not adhered to, the projected budget gaps would be higher (or projected surpluses would be lower).

¹ Differences may occur from time to time between the State's Financial Plan and OSC's financial reports in the presentation and reporting of receipts and disbursements. For example, the Financial Plan may reflect a net expenditure amount while OSC may report the gross amount of the expenditure. If such differences in reporting between DOB and OSC occur, it would result in differences in the presentation and reporting of receipts and disbursements for discrete funds, as well as differences in the presentation and reporting for total disbursements under different fund perspectives (e.g., State Operating Funds and total All Governmental Funds).

Financial Plan Overview

Financial Plan At-A-Glance: Key Measures

The following table provides certain Financial Plan information for FY 2017 and FY 2018.

FINANCIAL PLAN AT-A-GLANCE: KEY MEASURES (millions of dollars)				
	FY 2017	FY 2018		
	Results	Enacted	First Quarter	Mid-Year
State Operating Funds Disbursements				
Size of Budget	\$96,199	\$98,134	\$98,168	\$98,104
Annual Growth	2.0%	2.0%	2.0%	2.0%
Other Disbursement Measures				
General Fund (Excluding Transfers)	\$57,988	\$61,035	\$61,087	\$60,678
Annual Growth	2.3%	5.3%	5.3%	4.6%
General Fund (Including Transfers) ¹	\$68,080	\$71,199	\$71,233	\$70,373
Annual Growth	0.1%	4.6%	4.6%	3.4%
State Funds (Including Capital)	\$104,029	\$109,396	\$109,341	\$109,252
Annual Growth	2.8%	5.2%	5.1%	5.0%
Capital Budget (Federal and State)	\$10,156	\$13,111	\$13,046	\$13,020
Annual Growth	13.1%	29.1%	28.5%	28.2%
Federal Operating Aid ²	\$41,397	\$41,625	\$42,280	\$42,553
Annual Growth	2.0%	0.6%	2.1%	2.8%
All Funds ^{2,3}	\$147,752	\$152,870	\$153,494	\$153,677
Annual Growth	2.7%	3.5%	3.9%	4.0%
Capital Budget (Including "Off-Budget" Capital ⁴)	\$10,737	\$13,794	\$13,729	\$13,703
Annual Growth	12.4%	28.5%	27.9%	27.6%
All Funds (Including "Off-Budget" Capital ^{2,4})	\$148,333	\$153,553	\$154,177	\$154,360
Annual Growth	2.7%	3.5%	3.9%	4.1%
Inflation (CPI)	1.6%	2.2%	1.5%	1.9%
All Funds Receipts				
Taxes	\$74,372	\$77,926	\$77,923	\$77,088
Annual Growth	-0.4%	4.8%	4.8%	3.7%
Miscellaneous Receipts	\$26,594	\$26,509	\$26,755	\$27,736
Annual Growth	-2.5%	-0.3%	0.6%	4.3%
Federal Receipts ²	\$46,144	\$45,884	\$46,646	\$46,972
Annual Growth	3.7%	-0.6%	1.1%	1.8%
Total Receipts ^{2,3}	\$147,110	\$150,319	\$151,324	\$151,796
Annual Growth	0.5%	2.2%	2.9%	3.2%
General Fund Cash Balance	\$7,749	\$6,384	\$6,694	\$6,882
Tax Stabilization/Rainy Day Reserve	\$1,798	\$1,798	\$1,798	\$1,798
Extraordinary Monetary Settlements	\$5,335	\$3,870	\$4,181	\$4,369
All Other Reserves/Fund Balances	\$616	\$716	\$715	\$715
Debt				
Debt Service as % All Funds Receipts	4.1%	3.8%	3.7%	3.7%
State-Related Debt Outstanding	\$50,709	\$52,337	\$52,296	\$52,174
Debt Outstanding as % Personal Income	4.2%	4.2%	4.2%	4.3%
State Workforce FTEs (Subject to Direct Executive Control) - All Funds	117,907	118,481	118,481	118,481

¹ Annual growth includes the planned transfer of Extraordinary Monetary Settlements from the General Fund to other funds.

² All Funds and Federal Operating Aid receipts and disbursements exclude: (a) Federal disaster aid for Superstorm Sandy, and (b) additional Federal aid associated with Federal health care reform. Federal grants reimburse certain transactions accounted for as transfers, and are not shown in disbursement totals in this table.

³ All Funds disbursements are expected to exceed receipts (including other financing sources) by \$1.2 billion in FY 2018 with the difference funded from other available resources, including Extraordinary Monetary Settlements and GO bond proceeds to reimburse planned first-instance capital spending.

⁴ Represents capital spending that occurs outside the All Funds budget financed directly from State-supported bond proceeds held by public authorities.

Financial Plan Update Summary

Overview

- Tax receipts through September 2017 were short of DOB's estimates. On an All Funds basis, tax receipts were \$692 million lower than the Enacted Budget forecast, with a large shortfall in PIT receipts (\$1.1 billion), offset in part by stronger collections in other taxes (\$408 million).
- The disappointing tax collections to date are at odds with key economic indicators, which signal an improving economy. One explanation is that taxpayer behavior is changing in response to the evolving features and prospects of a Federal tax bill. But what effect, if any, behavioral changes are having on collections will not be known until there is better information on what, if any, Federal tax law changes will be enacted.
- Given the uncertainties, DOB is reducing its annual estimate for State tax receipts in this Mid-Year Update by approximately \$850 million in FY 2018, and by comparable amounts in each of the following fiscal years.² Material changes to the tax receipts forecast are possible in future updates to the Financial Plan, as more information becomes available.
- DOB expects the Financial Plan for FY 2018 to remain in balance on a cash basis in the General Fund, with the revisions to tax receipts offset in the current year by lower estimated disbursements, including transfers to other funds, reflecting operating results to date, the cautious estimation of expenses, with an increase in reimbursements for bond-financed Capital Projects. State Operating Funds disbursements are estimated at \$98.1 billion in the current year, an increase of 2 percent over FY 2017, consistent with the spending benchmark.
- Since the start of the fiscal year, the State has received \$575 million from two extraordinary monetary settlements. Of this amount, \$76 million will fund a one-time litigation expense, with the remaining \$499 million to be held in the General Fund reserve for Extraordinary Monetary Settlements. In addition, consistent with the FY 2018 Enacted Budget Financial Plan, the General Fund is expected to maintain \$1.8 billion in rainy day reserves, \$500 million for debt management, and smaller balances in other reserves.

² Exclusive of changes in debt service, which affect the transfer of tax receipts to the General Fund.

Budget Gaps

- DOB expects the current Administration will continue to propose, and the Legislature will continue to enact, balanced budgets in future years that limit annual growth in State Operating Funds to no greater than 2 percent. The spending benchmark is calculated using the cash basis of accounting, as described herein, and is based on the current composition of the State Operating Funds perspective as reported by DOB. The General Fund operating projections for FY 2019, FY 2020, and FY 2021 are calculated based on this assumption. DOB expects that specific proposals to limit spending growth to 2 percent will be included in future Budget proposals.
- The Financial Plan displays the General Fund budget gaps both before and after savings that would be estimated to occur if annual growth in State Operating Funds spending is held to 2 percent in FY 2019 and each year thereafter.
- The General Fund budget gap for FY 2019, before adherence to the 2 percent spending benchmark, is estimated at \$4.4 billion. The budget gap after adherence to the 2 percent spending benchmark is estimated at \$1.7 billion.

General Fund Operating Results Through September

- General Fund receipts, including transfers from other funds, totaled \$33.8 billion through September 2017, \$68 million higher than projected in the Enacted Budget Financial Plan. Tax receipts were approximately \$596 million below the Enacted Budget Financial Plan forecast, offset by the receipt of \$575 million in Extraordinary Monetary Settlements with BNPP and Habib Bank. PIT collections were \$962 million below projected levels, primarily due to weaker than expected estimated payments and the timing of both STAR property tax exemption-conversion credits and refund payments. Other tax variances include lower sales tax collections due to weaker than expected consumer spending, higher corporate franchise tax receipts driven by refund timing, and the receipt of an unusually large estate tax payment.
- General Fund disbursements, including transfers to other funds, totaled \$35 billion through September 2017, \$630 million below the amount projected in the Enacted Budget Financial Plan. The variance was due mainly to the timing of planned local assistance payments and transfers to capital projects funds. This lower spending was partly offset by higher spending for agency operations due to the timing of agency reimbursement to the General Fund for fringe benefit expenses, and higher than projected spending in the State University of New York (SUNY), the Department of Corrections and Community Supervision (DOCCS) and Judiciary.
- The State ended September 2017 with a General Fund closing balance of \$6.5 billion, \$698 million higher than the amount estimated in the Enacted Budget Financial Plan.
- See “FY 2018 Mid-Year Operating Results” herein for an extended discussion of results through September 2017 compared to the estimates contained in the Enacted Budget and First Quarterly Update, and to the same six-month period in 2016.

Multi-Year Financial Plan Revisions

General Fund

The following table summarizes the revisions to the FY 2018 First Quarterly Update. Descriptions of significant changes follow the table below.

SUMMARY OF REVISIONS TO FIRST QUARTERLY FINANCIAL PLAN				
GENERAL FUND BUDGETARY BASIS OF ACCOUNTING				
SAVINGS/(COSTS)				
(millions of dollars)				
	FY 2018	FY 2019	FY 2020	FY 2021
FIRST QUARTERLY UPDATE SURPLUS/(GAP)	0	(4,083)	(6,005)	(7,756)
Receipts Revisions¹	(672)	(860)	(871)	(865)
Tax Receipts (before Debt Service)	(845)	(923)	(927)	(944)
Debt Service (impact on Tax Receipts) ¹	(61)	46	39	63
Habib Settlement Payment	225	0	0	0
Other Non-Tax Receipts	9	17	17	16
Disbursements Revisions	860	500	491	568
Local Assistance	329	201	111	135
Agency Operations	80	191	262	329
Transfers to Other Funds	451	108	118	104
Change in Extraordinary Monetary Settlements Reserve	(188)	0	0	0
Habib Settlement Payment	(225)	0	0	0
CSX Payment	37	0	0	0
MID-YEAR UPDATE SURPLUS/(GAP)²	0	(4,443)	(6,385)	(8,053)
Adherence to 2% SOF Spending - Calculated Savings ³	0	2,706	5,491	7,733
MID-YEAR UPDATE SURPLUS/(GAP)	0	(1,737)	(894)	(320)

¹ Includes the impact of changes to estimated debt service that alter the amount of tax receipts that are transferred to the General Fund.

² Before actions to adhere to the 2 percent benchmark.

³ Represents calculated savings from limiting annual spending growth in future years to 2 percent and assumes all savings from holding spending growth to 2 percent are made available to the General Fund. The calculated savings is based on the current FY 2018 SOF spending estimate. The Governor is expected to propose, and negotiate with the Legislature to enact, budgets in each fiscal year that hold State Operating Funds spending growth to 2 percent. Total disbursements in Financial Plan tables do not reflect these savings. If the 2 percent State Operating Funds spending benchmark is not adhered to, the projected budget gaps would be higher (or the projected surpluses lower).

Receipts Revisions

General Fund receipts, including transfers from other funds, are now projected to total \$69.5 billion in FY 2018, a decrease of \$672 million from First Quarterly Update projections.

- **Tax Receipts:** Projected General Fund tax receipts have been lowered in each year of the Financial Plan. The reductions mainly reflect collection experience through September 2017. The most significant revisions are as follows.
 - PIT receipts through the first half of the fiscal year, were considerably lower than expected, mainly for estimated payments, which has led to a \$750 million downward adjustment to projected PIT receipts in each year of the Plan.
 - Business tax receipts have been lowered by \$120 million in FY 2018, with smaller outyear reductions, to reflect lower corporate franchise payments.
 - Sales tax collections have been lowered by \$70 million in the current year with similar outyear revisions to reflect weaker than expected consumer spending.
 - Current year reductions in PIT collections are partly offset by an increase in estate tax receipts to reflect the receipt of an unusually large (i.e., over \$25 million) estate tax payment.
- **Debt Service:** Transfers of tax receipts initially reserved in dedicated funds to support debt service have been lowered in FY 2018, and increased in FY 2019 and beyond. The updated debt service costs reflect actual bond sales to date and savings from refunding certain bonds.
- **Habib Settlement Payment:** The State received a \$225 million civil monetary penalty payment from Habib Bank in September 2017 pursuant to a consent order between the State DFS and Habib Bank.
- **Non-Tax Receipts:** Certain miscellaneous receipts and transfers from other State funds have been revised based on updated information and accounting reclassifications.

Disbursements Revisions

General Fund disbursements, including transfers to other funds, are expected to total \$70.4 billion in FY 2018, a decrease of \$860 million from the projections in the First Quarterly Update. Revisions to General Fund disbursements are based on a review of operating results to date and updated information on programs and activities.

Projected General Fund disbursements for local assistance have been lowered in each year of the Financial Plan, with significant downward revisions in FY 2018. The reductions reflect DOB's cautious estimation of General Fund disbursements. General Fund disbursements for local assistance are expected to total \$46.8 billion in FY 2018, a decrease of \$329 million from the First Quarterly Update. Notable revisions include:

- **School Aid:** Spending for Community Schools Grants and certain other reimbursement-based grant programs is expected to occur more slowly than initially planned. This reduction in FY 2018 spending is partially offset by a timing-related spending increase due to the consolidation of the Priority Prekindergarten program into the Universal Prekindergarten program.
- **Medicaid:** Slower than anticipated spending in the Statewide Health Information Network for New York (SHIN-NY) program has been reflected across all years. SHIN-NY is an Information Technology (IT) initiative that funds the modernization of hospitals with computer integration and aids in creating networks so providers can communicate with one another to streamline care.
- **Higher Education:** Spending estimates have been revised upward to reflect increased support for the City University of New York (CUNY) as alternative resources expected from the sale of certain properties will not be available during the current fiscal year. This is partially offset by decreased spending based on updated enrollment and participation data for community colleges and the Tuition Assistance Program (TAP).
- **Children and Families:** Spending for Adult Protective/Domestic Violence and Adoption has been revised upward to reflect experience to date. In addition, the rates for the human services Cost-of-Living Adjustment (COLA) have been updated.
- **Other:** Local assistance spending in FY 2019 and beyond has been lowered based on cautious estimation of expenses, and updated enrollment, applications, participation and spending to date data, for both the Prekindergarten and Summer School special education programs, the Local Government Restructuring/Efficiency Program, the Pay for Success program, and other programs.

General Fund disbursements for agency operations, including fringe benefits, are expected to total \$13.9 billion in FY 2018, a decrease of \$80 million from the First Quarterly Update projections. The most significant changes include:

- **Pensions:** The FY 2018 pension final bill received in October 2017 was \$33 million lower than the estimated payment made in April 2017, due to a lower salary base. Estimated costs have also been updated to reflect FY 2017 investment results, which lower expected costs in FY 2019 and beyond. In addition, the projected cost of the Employees' Retirement System (ERS) Veteran's Pension Credit has been lowered based on actual costs through September 2017.
- **Health Insurance:** Health insurance spending estimates have been lowered across all years based on updated rate projections and the application of \$20 million in dividends to reduce FY 2019 costs. In addition, a credit has been applied for excess payments for the Opt Out program that were made from October 2015 through March 2017.
- **Court of Claims:** The State settled a lawsuit alleging gross negligence and medical malpractice by the New York State Athletic Commission related to injuries suffered by a boxer. The cost of the settlement is \$22 million.
- **State Police:** Spending has been increased to reflect costs for public safety emergency response and counter-terrorist activities throughout the State, provided by the Division of State Police (DSP).
- **Medicaid:** Administrative costs of the Essential Plan (EP) and Medicaid program are now expected to exceed initial estimates, but are entirely offset by a reduction in local assistance spending under the Medicaid spending cap (or "Global Cap"). In addition, costs of the Qualified Health Plan (QHP) portion of the New York State of Health (NYSOH) insurance exchange have been reduced by \$19 million beginning in FY 2019 and beyond based on experience to date.

General Fund transfers to other funds are expected to total \$9.7 billion in FY 2018, a decrease of \$451 million from the First Quarterly Update. The most significant changes include:

- **Transfers to Capital Projects Funds:** General Fund transfers to Capital Projects Funds are expected to be lower than previously anticipated mainly due to higher than expected capital reimbursements from bond proceeds in FY 2018 and revised levels of spending.
- **Hurricane Relief:** Transfers to Capital Projects Funds have been increased in FY 2018 for the costs of State assistance provided to Texas, Florida and Puerto Rico in the wake of recent hurricanes. The State costs are expected to be fully reimbursed. The Emergency Management Assistance Compact (EMAC), administered by the National Emergency Management Association, is a national interstate agreement that enables states to share resources during times of disaster. The EMAC includes provisions for reimbursement from the State receiving aid, and the Financial Plan assumes these costs will be reimbursed in later years.
- **CSX Transportation, Inc. (CSX) Payment:** The State paid \$100 million to settle two eminent domain cases with CSX (CSX 1 and CSX 2), including interest and fees. The First Quarterly Update reflected an initial cost estimate of \$63 million (\$39 million State share and \$24 million Federal share), plus appropriate interest charges and attorneys' fees for CSX 1. The Mid-Year Update reflects the additional \$37 million paid by the State to finalize the settlement of both CSX 1 and CSX 2, with \$75 million attributable to CSX 1 and \$25 million attributable to CSX 2. The total \$76 million State share of the \$100 million settlement for CSX 1 and CSX 2 has been paid from the DHBTF, with the transfer funded with a portion of the Extraordinary Monetary Settlements received since adoption of the FY 2018 Budget.

Annual Spending Growth

DOB estimates spending in State Operating Funds will grow at 2 percent from FY 2017 to FY 2018, consistent with the 2 percent spending benchmark. The table below illustrates the major sources of annual change in State spending by major program, purpose and fund perspective.

STATE SPENDING MEASURES (millions of dollars)				
	FY 2017 Results	FY 2018 Updated	Annual Change	
			\$	%
LOCAL ASSISTANCE	64,369	66,053	1,684	2.6%
School Aid (School Year Basis)	24,689	25,727	1,038	4.2%
DOH Medicaid ¹	18,243	19,085	842	4.6%
Transportation	4,977	5,024	47	0.9%
STAR ²	3,139	2,630	(509)	-16.2%
Social Services	2,935	2,964	29	1.0%
Higher Education	2,874	2,832	(42)	-1.5%
Mental Hygiene	2,461	2,487	26	1.1%
All Other ³	5,051	5,304	253	5.0%
STATE OPERATIONS/FRINGE BENEFITS/GENERAL STATE CHARGES	26,314	26,763	449	1.7%
State Operations	18,680	18,785	105	0.6%
Personal Service:	<u>13,093</u>	<u>13,037</u>	<u>(56)</u>	<u>-0.4%</u>
Executive Agencies	7,302	7,172	(130)	-1.8%
University Systems	3,816	3,863	47	1.2%
Elected Officials	1,975	2,002	27	1.4%
Non-Personal Service:	<u>5,587</u>	<u>5,748</u>	<u>161</u>	<u>2.9%</u>
Executive Agencies	2,717	2,860	143	5.3%
University Systems	2,281	2,274	(7)	-0.3%
Elected Officials	589	614	25	4.2%
General State Charges	7,634	7,978	344	4.5%
Pension Contribution	2,446	2,460	14	0.6%
Health Insurance	3,708	3,956	248	6.7%
Other Fringe Benefits/Fixed Costs	1,480	1,562	82	5.5%
DEBT SERVICE	5,514	5,288	(226)	-4.1%
CAPITAL PROJECTS	2	0	(2)	-100.0%
TOTAL STATE OPERATING FUNDS	96,199	98,104	1,905	2.0%
Capital Projects (State and Federal Funds)	10,156	13,020	2,864	28.2%
Federal Operating Aid⁴	41,397	42,553	1,156	2.8%
TOTAL ALL GOVERNMENTAL FUNDS⁵	147,752	153,677	5,925	4.0%

¹ Includes the Essential Plan (EP), which is an insurance plan for individuals who are not eligible for Medicaid and who meet certain income threshold standards. The EP is not a Medicaid program; but State-funded support is managed within total DOH Medicaid Global Cap resources. In addition, total State share Medicaid funding excludes MSA payments to the State that will be deposited directly to the Medicaid Management Information System (MMIS) Escrow Fund to defray the State cost of the local MA takeover.

² The FY 2018 Enacted Budget converts the NYC PIT rate reduction benefit to a nonrefundable State PIT credit. This change has no impact on the STAR benefits received by homeowners; it will decrease reported disbursements for STAR and decrease reported PIT receipts by an identical amount. See "STAR Program" in "State Financial Plan Projections Fiscal Years 2018 through 2021" herein.

³ "All Other" includes a reconciliation between school year and State fiscal year spending for School Aid. On a State Fiscal Year basis, School Aid is estimated to total \$25.7 billion in FY 2018, an increase of \$1.4 billion from FY 2017. It also includes the portion of the MA takeover that will be funded from MSA payments deposited directly to the MMIS escrow fund (\$103 million in FY 2018). Lastly, it includes spending for public health, other education, local government assistance, parks, environment, economic development, and public safety.

^{4,5} Federal Operating Funds and All Funds disbursements exclude extraordinary aid for Federal health care reform and Superstorm Sandy.

State Operating Funds -- Summary of Annual Spending Change

Local Assistance

- Medicaid and School Aid are the State's largest local aid programs, comprising approximately 45 percent of State Operating Funds spending. In School Year (SY) 2018, School Aid is expected to total \$25.7 billion, an increase of \$1.0 billion (4.2 percent), including a \$700 million increase in Foundation Aid.³ Medicaid, subject to the Global Cap, will grow at the indexed rate of 3.3 percent⁴ to \$18.3 billion. In total, Medicaid funded from State resources will increase to \$19.5 billion, including the EP,⁵ the takeover of local Medicaid costs, and other spending outside the Global Cap.
- In FY 2018, the bonds secured by annual payments under the Master Settlement Agreement (MSA) with tobacco manufacturers were fully retired. DOB expects MSA payments of approximately \$103 million in FY 2018, \$329 million in FY 2019, \$327 million in FY 2020, and \$371 million in FY 2021 will be available for State purposes. The FY 2018 Enacted Budget authorizes using the payments to help defray the costs of the State's takeover of Medicaid costs for counties and New York City. The State takeover, in which local Medicaid costs are capped permanently at 2015 calendar year levels, was fully implemented in FY 2014 and is expected to cost the State \$735 million in FY 2018 and \$917 million in FY 2019. As authorized in State law, MSA payments will be directly deposited to the Medicaid Management Information System (MMIS) Escrow Fund. The deposit mechanism has no impact on overall Medicaid spending funded with State resources, but does decrease reported State-supported Medicaid spending accounted for in State Operating Funds.
- STAR spending is affected by the conversion of STAR benefits to State PIT credits, in addition to other program reestimates. The conversion of STAR benefits to PIT credits has no impact on the value of the STAR benefits received by taxpayers, but does decrease reported disbursements for STAR on a State Operating Funds basis of reporting and decreases the level of reported PIT receipts by an identical amount.

³ Total education aid, including charter school supplemental tuition reimbursement and Smart Schools Bond Act debt service, will total \$25.8 billion, an annual increase of \$1.1 billion or 4.4 percent from SY 2017 to SY 2018.

⁴ The Medicaid Global Cap is a statutory limit on annual State-funded Medicaid expenditures, indexed to the Medical component of the Consumer Price Index (CPI). Total State-funded Medicaid expenditures also include certain program costs which are not subject to the indexed provisions of the Global Cap.

⁵ The EP is an insurance program authorized under the Affordable Care Act (ACA) for individuals who are not eligible for Medicaid and who meet certain income threshold standards. Approximately 90 percent of program expenses are subsidized with Federal funds. The EP is not a Medicaid program; however, the State Funds support is managed within total Department of Health (DOH) Medicaid Global Cap resources.

- The annual change in local assistance spending reported in the Financial Plan is affected by the accounting treatment of State payments to the Sales Tax Asset Receivable Corporation (STARC). Pursuant to legislation enacted in FY 2017, New York City is remitting savings to the State from a 2014 refunding of STARC bonds, which are supported solely by the annual payment of State aid. The FY 2017 legislation specified that the money refunded from STARC could be received by the State as a miscellaneous receipt, or directed by the State to a State public authority to offset debt service costs on State-supported bonds. In the FY 2018 Enacted Budget, the Legislature provided that money recouped from the STARC refunding can be treated as an offset to State spending by adding specific language to the STARC appropriation. The Financial Plan reflects the offset to spending in the calculation of State Operating Funds spending. In FY 2017, the State accounted for the money as a miscellaneous receipt.

State Operations/Fringe Benefits

- Spending for Executive agency operations is expected to increase slightly in FY 2018 from the prior year. The current spending estimates for personal service assume potential costs of labor agreements with all State unions generally patterned on the three-year labor contract ratified by the Public Employees Federation (PEF) in December 2016. The spending estimates also include the costs of the five-year labor contract ratified by the Civil Service Employees Association (CSEA) in August 2017. In addition, Executive agencies are required to implement management plans intended to ensure that they can operate within existing cash ceilings. The estimated FY 2018 costs of potential labor agreements reflected in the Financial Plan for Executive agencies are roughly equal to the savings expected from the management plans.
- Agency spending growth is also affected by the reclassification of 3,173 Full-Time Equivalent (FTE) positions whose titles are associated with the maintenance, preservation and/or operation of facilities (e.g., Plant Utilities Engineers, General Mechanics, Electricians, etc.) to the Capital Projects Fund.
- Operations spending for the university systems and elected officials is expected to increase by 0.7 percent and 2.0 percent, respectively. More than half of the FTE reclassifications to Capital Project Funds occur in SUNY, thereby offsetting the annual spending growth.
- Spending growth for fringe benefits is mainly due to rising employee health care and prescription drug costs.

Debt Service

- Spending from debt service funds is expected to decrease by 4.1 percent from 2017, which is a result of the payment of certain FY 2018 debt service costs in FY 2017.

All Funds Spending

All Funds spending, which includes spending from capital funds and Federal funds, is budgeted to increase by 4.0 percent from FY 2017 to FY 2018, excluding extraordinary Federal aid for disaster-related costs and health care transformation.

TOTAL DISBURSEMENTS (millions of dollars)				
	FY 2017 Results	FY 2018 Updated	Annual Change	Annual % Change
STATE OPERATING FUNDS	96,199	98,104	1,905	2.0%
General Fund (excluding transfers)	57,988	60,678	2,690	4.6%
Other State Funds	32,659	32,101	(558)	-1.7%
Debt Service Funds	5,552	5,325	(227)	-4.1%
ALL GOVERNMENTAL FUNDS (Excluding Extraordinary Aid)	147,752	153,677	5,925	4.0%
ALL GOVERNMENTAL FUNDS	157,014	164,053	7,039	4.5%
State Operating Funds	96,199	98,104	1,905	2.0%
Capital Projects Funds	10,156	13,020	2,864	28.2%
Federal Operating Funds	<u>50,659</u>	<u>52,929</u>	<u>2,270</u>	<u>4.5%</u>
Federal Disaster Aid for Superstorm Sandy	881	525	(356)	-40.4%
Federal Health Care Reform	8,381	9,851	1,470	17.5%
All Other Federal Aid	41,397	42,553	1,156	2.8%
GENERAL FUND (INCLUDING TRANSFERS)	68,080	70,373	2,293	3.4%
STATE FUNDS	104,029	109,252	5,223	5.0%

Growth in Capital Projects Funds spending, which affects All Funds and State Funds spending, as well as transfers from the General Fund, reflects the continued implementation of major initiatives enacted in prior years, as well as new initiatives enacted in FY 2018. Major initiatives include: over \$55 billion in total capital funding for the Department of Transportation (DOT) and MTA multi-year capital plans; \$2.5 billion in capital funding for Affordable and Homeless Housing; nearly \$3 billion in capital funding for clean water infrastructure; \$2 billion in total capital funding for the Smart Schools Bond Act; over \$3 billion in total capital funding for Health Care capital grants; and capital grants for economic development programs, including the Buffalo Billion, expansion of the Jacob K. Javits Convention Center, and redevelopment of Moynihan Station.

In addition, capital spending is expected to increase due to the multi-year disbursements of \$7.7 billion from Extraordinary Monetary Settlements that have been appropriated from Capital Projects Funds. This funding will support initiatives such as the Thruway Stabilization Program, Upstate Revitalization Initiative, and the expansion of statewide broadband services.

Increased Federal spending is mainly concentrated in health care and is partly offset by diminishing levels of Federal reimbursement for recovery costs related to severe storms (e.g., Superstorm Sandy).

General Fund spending, including transfers, is expected to grow by approximately 3.4 percent from FY 2017, and includes planned transfers of Extraordinary Monetary Settlements to fund spending appropriated in Capital Projects Funds and the costs associated with the CSX payments. In addition, funding for many agencies and programs is charged to several funds, and is affected by offsets and accounting reclassifications.

General Fund Financial Plan

FY 2018 Financial Plan

DOB estimates that the Financial Plan provides for balanced operations in the General Fund in FY 2018. The following table summarizes the projected annual change from FY 2017 to FY 2018 in General Fund receipts, disbursements, and fund balances, with and without the impact of Extraordinary Monetary Settlement activity (for purposes other than operating relief and the funding set aside for potential costs of labor contracts).

GENERAL FUND FINANCIAL PLAN (millions of dollars)				
	FY 2017 Results	FY 2018 Updated	Annual Change	
			Dollar	Percent
Opening Fund Balance (Excluding Extraordinary Monetary Settlements)	2,634	2,414	(220)	-8.4%
Total Receipts	<u>65,743</u>	<u>68,898</u>	<u>3,155</u>	<u>4.8%</u>
Taxes	62,264	65,554	3,290	5.3%
Miscellaneous Receipts/Federal Grants ¹	2,661	2,134	(527)	-19.8%
Transfers from Other Funds	818	1,210	392	47.9%
Total Disbursements	<u>65,963</u>	<u>69,491</u>	<u>3,528</u>	<u>5.3%</u>
Local Assistance	44,439	46,752	2,313	5.2%
State Operations	13,549	13,926	377	2.8%
Transfers to Other Funds ¹	7,975	8,813	838	10.5%
Net Change in Operations	(220)	(593)	(373)	-169.5%
Deposit to/ Use Of Reserves ²	0	692	692	-
Closing Fund Balance (Excluding Extraordinary Monetary Settlements) ²	<u>2,414</u>	<u>2,513</u>	<u>99</u>	<u>4.1%</u>
Extraordinary Monetary Settlements ¹				
Settlements on Hand as of April 1	6,300	5,335	(965)	-15.3%
New Settlements Received/Expected	1,317	641	(676)	-51.3%
Transfers/Uses ²	(2,282)	(1,607)	675	29.6%
Closing Balance (Extraordinary Monetary Settlements)	<u>5,335</u>	<u>4,369</u>	<u>(966)</u>	<u>-18.1%</u>
Closing Fund Balance (Including Extraordinary Monetary Settlements)	<u>7,749</u>	<u>6,882</u>	<u>(867)</u>	<u>-11.2%</u>

¹ New settlements received reflect the gross value of Extraordinary Monetary Settlements paid to the State and the uses of such funds are accounted for by purpose. However, the General Fund miscellaneous receipts and transfers to other funds only exclude the amount that is received by the General Fund and transferred to other funds. Thus, it does not include any amounts retained and used for General Fund operations or Department of Law operations.

² In FY 2018, \$461 million in Extraordinary Monetary Settlements will be utilized to support ongoing operations and \$76 million will be used to fund an unbudgeted CSX payment. Another \$155 million will be retained in the General Fund to fund potential retroactive salary increases and is thus included in the General Fund closing balance.

As shown in the table above, the State expects to end FY 2018 with a General Fund cash balance of \$6.9 billion, a decrease of \$867 million from FY 2017 results. DOB intends to make transfers of Extraordinary Monetary Settlements on an as-needed basis each year as spending occurs from appropriations funded with the Extraordinary Monetary Settlements. Legislation approved in the FY 2017 Enacted Budget provides transfer authority from the General Fund to the Dedicated Infrastructure Investment Fund (DIIF) through FY 2021.

Receipts (Excluding Extraordinary Monetary Settlements)

General Fund receipts estimates, including transfers from other funds, total \$68.9 billion in FY 2018, an increase of \$3.2 billion (4.8 percent) from FY 2017 results. Estimated tax collections, including transfers of tax receipts to the General Fund after payment of debt service, total \$65.6 billion in FY 2018, an increase of \$3.3 billion (5.3 percent) from FY 2017 results.

Estimated PIT receipts, including transfers after payment of debt service on State PIT Revenue Bonds, total \$44.5 billion, an increase of \$1.7 billion (4.0 percent) from FY 2017. This primarily reflects growth in withholding and estimated payments attributable to the projected increase in wage and non-wage income and a decline in STAR Fund deposits associated with legislation included in the FY 2018 Enacted Budget, partially offset by the first year of middle income tax cuts enacted in FY 2017.

Consumption/use tax receipts, including transfers after payment of debt service on the Local Government Assistance Corporation (LGAC) and Sales Tax Revenue Bonds, are estimated to total \$13.2 billion in FY 2018, an increase of \$606 million (4.8 percent) from FY 2017, which mainly reflects projected growth in disposable income and taxable consumption.

Business tax receipts are estimated at \$5.6 billion in FY 2018, an increase of \$837 million (17.6 percent) from FY 2017. The significant growth is primarily attributable to the absence of one-time factors that affected FY 2017 receipts. Prior fiscal year collections were lower than planned due to lower audit receipts from corporate franchise taxpayers and a shortfall in cash payments associated with tax year 2015 final returns. These issues are not expected to recur.

Other tax receipts, including transfers after payment of debt service on Clean Water/Clean Air Bonds, are expected to total \$2.2 billion in FY 2018, an increase of \$155 million (7.6 percent) from FY 2017. This increase is mainly attributable to actual estate tax receipts through September 2017, and projected growth in real estate transfer tax receipts due to an anticipated increase in housing starts and appreciation of home prices, partly offset by the continued phase-in of estate tax cuts.

Non-tax receipts and transfers are estimated at \$3.3 billion in FY 2018, a decrease of \$135 million (-3.9 percent) from FY 2017, which largely reflects State Insurance Fund (SIF) reserves released in FY 2017 that do not recur in FY 2018.

General Fund receipts are affected by the deposit of dedicated taxes in other funds for debt service and other purposes, the transfer of balances among funds of the State, and other factors. For a more comprehensive discussion of the State's projections for tax receipts, miscellaneous receipts, and transfers, presented on a State Funds and All Funds basis, see "State Financial Plan Projections Fiscal Years 2018 Through 2021" herein.

Disbursements (Excluding Extraordinary Monetary Settlements)

General Fund disbursements, including transfers to other funds, are expected to total \$69.5 billion in FY 2018, an increase of \$3.5 billion (5.3 percent) from FY 2017. General Fund disbursements reflect the cautious estimation of disbursements in each financial category, a practice that provides a cushion for potential receipts shortfalls and other unanticipated costs.

Projected local assistance spending is \$46.8 billion in FY 2018, an increase of \$2.3 billion (5.2 percent) from FY 2017. The increase includes \$1.3 billion for School Aid (on a State fiscal year basis) and \$901 million for Medicaid and the EP. Additional annual changes reflect anticipated growth in payments for social services, higher education, and other programs, as well as accounting reclassifications that have the effect of moving spending between financial categories and across fund types.

On a State Operating Funds basis, most executive agencies are expected to hold operations spending at FY 2017 levels.⁶ The Financial Plan estimates for State Operations are affected by the reclassification to Capital Projects Funds of certain personnel expenses related to maintenance and preservation of State assets; the costs of approved labor settlements, as well as the potential costs of labor agreements with other State unions patterned on the labor contract ratified by PEF in December 2016; and expected savings from agency management plans. General Fund personal and non-personal service costs are expected to total \$8.2 billion in FY 2018, an increase of \$126 million (1.6 percent) from FY 2017. Operating costs for many agencies are charged to several funds outside the General Fund, and are thus affected by varying levels of offsets and accounting reclassifications.

General State Charges (GSCs), which account for fringe benefits and certain fixed costs, are projected to increase by \$251 million (4.6 percent) over FY 2017. Health insurance costs for State employees and retirees are projected to increase by \$248 million (6.7 percent), mainly due to increases in premiums. The State's annual pension payment is projected to grow by \$14 million (0.6 percent).

General Fund transfers to other funds are projected to total \$8.8 billion in FY 2018, an increase of \$838 million from FY 2017. Transfers for capital projects (excluding transfers funded with Extraordinary Monetary Settlements) are projected to increase by \$868 million, reflecting the timing of reimbursement from bond proceeds and planned disbursements from the DHBTF.

General Fund disbursements are affected by the level of financing sources available in other funds, transfers of balances between funds of the State, and other factors that may change from year to year. For a more comprehensive discussion of the State's disbursements projections by major activity, presented on a State Operating Funds basis, see "State Financial Plan Projections Fiscal Years 2018 through 2021" herein.

⁶ Limited exceptions include DOH costs attributable to Medicaid administration, the EP program and increased State Police costs for additional security measures.

Closing Balance for FY 2018

DOB projects that the State will end FY 2018 with a General Fund cash balance of \$6.9 billion, a decrease of \$867 million from FY 2017. The estimated balance of Extraordinary Monetary Settlements at the close of FY 2018 is \$4.4 billion, a decrease of \$966 million from FY 2017. The decrease in Extraordinary Monetary Settlements is due to the expected transfer of \$882 million to capital projects funds, and the planned use of \$461 million for general operating purposes, \$76 million for litigation expenses, and \$33 million for Department of Law operating purposes, as well as the reserve of \$155 million for labor contracts. Offsetting these withdrawals and reserve of General Fund resources is the actual and expected receipt of \$641 million in additional Extraordinary Monetary Settlements during FY 2018. (See "Uses of Extraordinary Monetary Settlements" herein.)

The estimated General Fund cash balance, excluding Extraordinary Monetary Settlements, is \$2.5 billion at the close of FY 2018, or \$99 million higher than FY 2017. The annual change in the balance reflects the \$155 million of Extraordinary Monetary Settlements in the General Fund that DOB has informally earmarked to fund retroactive salary increases for FY 2017 that may occur in FY 2018 or later, which is partly offset by the use in FY 2017 of \$25 million in reserves for the payment of retroactive salary increases for Management/Confidential (M/C) employees. During FY 2018, DOB may change the purposes for which the money is currently earmarked, depending on the fiscal environment. Other changes include resources carried in the Community Projects Fund (\$17 million), and the undesignated fund balance carried in from FY 2017 (\$14 million).

The Financial Plan maintains a reserve of \$500 million for debt management purposes in FY 2018, unchanged from the level held at the end of FY 2017. DOB will decide on the use of these funds based on market conditions, financial needs, and other factors.

TOTAL BALANCES (millions of dollars)			
	FY 2017 Results	FY 2018 Updated	Annual Change
TOTAL GENERAL FUND BALANCE	7,749	6,882	(867)
General Fund Total (Excluding Extraordinary Monetary Settlements)	2,414	2,513	99
Statutory Reserves:			
"Rainy Day" Reserves	1,798	1,798	0
Community Projects	56	39	(17)
Contingency Reserve	21	21	0
Fund Balance Reserved for:			
Debt Management	500	500	0
Labor Agreements	25	155	130
Undesignated Fund Balance	14	0	(14)
Extraordinary Monetary Settlements Fund Balance	5,335	4,369	(966)

Cash Flow

State Finance Law authorizes the General Fund to borrow money temporarily from available funds held in the Short-Term Investment Pool (STIP). Money may be borrowed for up to four months, or until the end of the fiscal year, whichever period is shorter. The State last used this authorization in April 2011 when the General Fund borrowed funds from STIP for a period of five days. The amount of resources that can be borrowed by the General Fund is limited to the available balances in STIP, as determined by the State Comptroller. Available balances include money in the State's governmental funds and a relatively small amount of other money belonging to the State. Several accounts in Debt Service Funds and Capital Projects Funds that are part of All Governmental Funds are excluded from the balances deemed available in STIP. These excluded funds consist of bond proceeds and money obligated for debt service payments.

DOB expects that the State will have sufficient liquidity in FY 2018 to make all planned payments as they become due without having to temporarily borrow from STIP. The State continues to reserve money on a quarterly basis for debt service payments that are financed with General Fund resources. Money to pay debt service on bonds secured by dedicated receipts, including PIT bonds and Sales Tax bonds, continues to be set aside as required by law and bond covenants.

ALL FUNDS MONTH-END CASH BALANCES¹			
FY 2018			
(millions of dollars)			
	General Fund	Other Funds	All Funds
April 2017	7,405	4,111	11,516
May 2017	3,140	3,344	6,484
June 2017	3,014	4,666	7,680
July 2017	3,774	5,543	9,317
August 2017	3,883	5,612	9,495
September 2017	6,523	3,328	9,851
October 2017	6,404	3,565	9,969
November 2017	4,389	2,811	7,200
December 2017	8,699	2,486	11,185
January 2018	10,746	4,438	15,184
February 2018	10,480	4,576	15,056
March 2018	6,882	3,022	9,904

¹ April - September 2017, results. October 2017 - March 2018, projections.

Extraordinary Monetary Settlements

From the beginning of FY 2015 through FY 2018, DOB estimates that the State will receive a total of \$10.5 billion in Extraordinary Monetary Settlements for violations of State laws by major financial and other institutions. The following table lists the Extraordinary Monetary Settlements by firm and amount.

SUMMARY OF RECEIPTS OF EXTRAORDINARY MONETARY SETTLEMENTS BETWEEN REGULATORS AND FINANCIAL INSTITUTIONS (millions of dollars)					
	<u>FY 2015</u>	<u>FY 2016</u>	<u>FY 2017</u>	<u>FY 2018</u>	<u>Total</u>
Extraordinary Monetary Settlements	4,942	3,605	1,317	641	10,505
BNP Paribas	<u>2,243</u>	<u>1,348</u>	<u>0</u>	<u>350</u>	<u>3,941</u>
Department of Financial Services (DFS)	2,243	0	0	350	2,593
Asset Forfeiture (DANY)	0	1,348	0	0	1,348
Deutsche Bank	0	800	444	0	1,244
Credit Suisse AG	715	30	0	0	745
Commerzbank	610	82	0	0	692
Barclays	0	670	0	0	670
Credit Agricole	0	459	0	0	459
Bank of Tokyo Mitsubishi	315	0	0	0	315
Bank of America	300	0	0	0	300
Standard Chartered Bank	300	0	0	0	300
Goldman Sachs	0	50	190	0	240
Morgan Stanley	0	150	0	0	150
Bank Leumi	130	0	0	0	130
Ocwen Financial	100	0	0	0	100
Citigroup (State Share)	92	0	0	0	92
MetLife Parties	50	0	0	0	50
American International Group, Inc.	35	0	0	0	35
PricewaterhouseCoopers LLP	25	0	0	0	25
AXA Equitable Life Insurance Company	20	0	0	0	20
Promontory	0	15	0	0	15
New Day	0	1	0	0	1
Volkswagen	0	0	32	33	65
Mega Bank	0	0	180	0	180
Agricultural Bank of China	0	0	215	0	215
PHH Mortgage	0	0	28	0	28
Intesa SanPaolo	0	0	235	0	235
Habib Bank	0	0	0	225	225
Other Settlements	7	0	(7)	33	33

Since the FY 2018 Enacted Budget Financial Plan was issued, the State has received two settlement payments. Pursuant to a May 24, 2017 consent order between the State DFS and BNP Paribas, S.A., New York Branch (BNPP), BNPP made a \$350 million civil monetary penalty payment. The order pertains to BNPP engaging in improper, unsafe and unsound conduct, in violation of State laws and regulations, that included collusive conduct, improper exchange of information, manipulation of the price at which daily benchmark rates were set, and misleading customers.

Habib Bank Limited and Habib Bank Limited, New York Branch (together “Habib Bank”) paid a \$225 million civil monetary penalty pursuant to a September 7, 2017 consent order between Habib Bank and the New York State Department of Financial Services (DFS). This consent order pertains to Habib Bank’s failure to comply with laws and regulations designed to combat money laundering, terrorist financing, and other illicit financial transactions.

Uses of Extraordinary Monetary Settlements

A total of \$7.7 billion in Extraordinary Monetary Settlements has, or is expected to, finance various purposes from capital appropriations, including operating activities associated with the maintenance, protection, preservation, and operation of capital assets. Another \$2.3 billion is, or is expected to be used for other purposes, including resolution of Office for People with Developmental Disabilities (OPWDD) Federal disallowances in FY 2016, funding for retroactive labor costs, General Fund operations, one-time payments to CSX, and costs of the Department of Law’s Litigation Services Bureau. Approximately \$500 million has no specified use at this time.

GENERAL FUND SUMMARY OF RECEIPTS AND USE/TRANSFER OF FUNDS FROM EXTRAORDINARY MONETARY SETTLEMENTS BETWEEN REGULATORS AND FINANCIAL INSTITUTIONS (millions of dollars)								
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022
Opening Settlement Balance in General Fund	0	4,667	6,300	5,335	4,369	2,707	1,620	967
Receipt of Extraordinary Monetary Settlement Payment	4,942	3,605	1,317	641	0	0	0	0
Use/Transfer of Funds	275	1,972	2,282	1,607	1,662	1,087	653	468
Capital Purposes:								
Transfer to DIIF	0	857	697	1,402	1,767	1,217	933	438
Transfer to Environmental Protection Fund	0	0	120	0	0	0	0	0
Transfer to Capital Projects Fund - Mass Transit	0	0	0	85	0	0	0	0
Transfer to Capital Projects Fund - Healthcare	0	0	0	25	45	50	50	30
Transfer to DIIF for Javits Center Expansion	0	0	0	160	350	320	170	0
Bond Proceed Receipts for Javits Center Expansion	0	0	0	0	0	(500)	(500)	0
FY 2017 Temporary Loan to Capital Projects Fund	0	0	1,300	(1,300)	0	0	0	0
FY 2018 Temporary Loan to Capital Projects Fund	0	0	0	500	(500)	0	0	0
Other Purposes:								
Transfer to Audit Disallowance - Federal Settlement	0	850	0	0	0	0	0	0
CSX Payment	0	0	0	76	0	0	0	0
Financial Plan - General Fund Operating Purposes	275	250	102	461	0	0	0	0
Transfer to Local Assistance Account - Mass Transit Operating	0	0	0	10	0	0	0	0
Department of Law - Litigation Services Operations	0	10	63	33	0	0	0	0
Transfer to OASAS Chemical Dependence Program	0	5	0	0	0	0	0	0
Reservation of Funds:								
Reserve for Retroactive Labor Settlements	0	0	0	155	0	0	0	0
Closing Settlement Balance in General Fund	4,667	6,300	5,335	4,369	2,707	1,620	967	499

The Financial Plan reflects the 2018 Enacted Budget allocation of an additional \$1.9 billion in Extraordinary Monetary Settlements to support the following measures:

- **Buffalo Billion Phase II (\$400 million):** The Financial Plan reflects an additional investment of \$400 million from Extraordinary Monetary Settlement funds to support the second phase of the Buffalo Billion Initiative, which totals \$500 million.
- **Life Sciences (\$320 million):** The Financial Plan reflects the commitment of \$320 million from Extraordinary Monetary Settlement funds to support the State's multi-year \$620 million Life Sciences Initiative. The State will provide \$220 million to support state-of-the-art laboratory space, equipment, and technology. Furthermore, \$100 million will be provided in investment capital for early stage life science firms, which is expected to be matched by private sector partners.
- **Health Care Capital Grants (\$200 million):** The Financial Plan includes a \$500 million increase to the health care facility transformation program, of which \$200 million will be funded from Extraordinary Monetary Settlements.
- **Security and Emergency Response Preparedness (\$100 million):** The Financial Plan reflects the continued use of \$100 million to continue counter-terrorism and disaster recovery efforts.
- **Downtown Revitalization (\$100 million):** The Financial Plan reflects an additional \$100 million for the Downtown Revitalization Initiative to fund housing, economic development, transportation, and community projects to attract and retain residents, visitors, and businesses to downtowns. The existing program provides \$100 million to ten communities currently experiencing population loss and/or economic decline.
- **MTA Capital Plan (\$65 million):** The Financial Plan reflects the commitment of an additional \$65 million to the MTA's 2015-2019 Capital Program. These new resources must be paid to the MTA before December 31, 2018.
- **Non-MTA Transit (\$30 million):** The Financial Plan invests an additional \$20 million in funds from Extraordinary Monetary Settlements toward DOT's mass transit capital program. Funds will be directed by DOT toward upstate and downstate public transportation systems other than the MTA to defray the costs of capital projects or acquisitions. The Financial Plan also provides \$10 million for operating costs related to non-MTA Mass Transit purposes.

- **First-Year Costs of Potential Labor Agreements (\$155 million):** The Financial Plan reserves \$155 million in Extraordinary Monetary Settlements to fund the year one costs of potential labor agreements with the remaining unionized employees, patterned on the PEF contract.
- **General Fund Operations (\$461 million):** The Financial Plan reflects the use of Extraordinary Monetary Settlements that were not appropriated in the FY 2018 Enacted Budget.
- **CSX Payment (\$76 million):** The Financial Plan assumes the State's share of the CSX payments will be funded with settlement receipts.



Other Matters Affecting the Financial Plan

General

The State's Financial Plan is subject to complex economic, social, financial, political, and environmental risks and uncertainties, many of which are outside the ability of the State to control. DOB believes that the projections of receipts and disbursements in the Financial Plan are based on reasonable assumptions, but there can be no assurance that actual results will not differ materially and adversely from these projections. For instance, actual receipts collections have fallen substantially below the levels forecasted in certain fiscal years. In addition, projections in future years are based on the assumption that annual growth in State Operating Funds spending will be limited to 2 percent, and that all savings that result from the 2 percent limit will be made available to the General Fund.

DOB routinely executes cash management actions to manage the State's large and complex budget. These actions are intended for a variety of purposes that include improving the State's cash flow, managing resources within and across State fiscal years, adhering to spending targets, and better positioning the State to address future risks and unanticipated costs, such as economic downturns, unexpected revenue deterioration, and unplanned expenditures. As such, the State regularly makes certain payments above those initially planned, to maintain budget flexibility. All payments made above the planned amount are reflected in the year they occur, consistent with the cash basis of accounting, and adhere to the limit of the State's 2 percent spending benchmark.

The Financial Plan is based on numerous assumptions, including the condition of the State and national economies, and the concomitant receipt of economically sensitive tax receipts in the amounts projected. Other uncertainties and risks concerning the economic and receipts forecasts include the impacts of: national and international events; ongoing financial instability in the Euro-zone; changes in consumer confidence, oil supplies and oil prices; major terrorist events, hostilities or war; climate change and extreme weather events; Federal tax law changes; Federal statutory and regulatory changes concerning financial sector activities; changes concerning financial sector bonus payouts, as well as any future legislation governing the structure of compensation; shifts in monetary policy affecting interest rates and the financial markets; financial and real estate market developments which may adversely affect bonus income and capital gains realizations; the effect of household debt on consumer spending and State tax collections; and the outcomes of litigation and other claims affecting the State.

The Financial Plan is subject to various uncertainties and contingencies relating to: wage and benefit increases for State employees that exceed projected annual costs; changes in the size of the State's workforce; the realization of the projected rate of return for pension fund assets and current assumptions with respect to wages for State employees affecting the State's required pension fund contributions; the willingness and ability of the Federal government to provide the aid expected in the Financial Plan; the ability of the State to implement cost reduction initiatives, including reductions in State agency operations, and the success with which the State controls expenditures; and the ability of the State and its public authorities to market securities successfully in the public credit markets. Some of these issues are described in more detail herein. The projections and assumptions contained in the Financial Plan are subject to revisions which may result in substantial change. No assurance can be given that these estimates and projections, which depend in part upon actions the State expects to be taken but which are not within the State's control, will be realized.

Budget Risks and Uncertainties

There can be no assurance that the State's financial position will not change materially and adversely from current projections. If this were to occur, the State would be required to take gap-closing actions. Such actions may include, but are not limited to: reductions in State agency operations; delays or reductions in payments to local governments or other recipients of State aid; delays in or suspension of capital maintenance and construction; extraordinary financing of operating expenses; use of non-recurring resources; or other measures. In some cases, the ability of the State to implement such actions requires the approval of the Legislature and cannot be implemented solely by action of the Governor.

The Financial Plan projections for the outyears generally assume that School Aid and Medicaid disbursements will be limited to the annual growth in New York State personal income, and the ten-year average growth of the medical component of the CPI, respectively. However, since FY 2014, the State has annually authorized School Aid spending to increase above the personal income growth index. In FY 2018, the Enacted Budget Financial Plan reflects a 4.2 percent School Aid increase, compared to the 3.9 percent growth in the index. In SY 2019, School Aid is projected to increase by 4.3 percent, a level \$100 million higher than the 3.9 percent growth in personal income estimated in the Enacted Budget.

State law grants the Commissioner of Health certain powers and authority to maintain Medicaid spending levels assumed in the Financial Plan. Over the past six years, DOH State Funds Medicaid spending levels have remained at or below indexed levels without requiring the Commissioner to exercise this authority. However, Medicaid program spending is sensitive to several factors including fluctuations in economic conditions, which may increase caseload, and changes in Federal aid, which could affect State health care spending. The Commissioner's powers are intended to limit the rate of annual growth in DOH State Funds Medicaid spending to the levels estimated for the current fiscal year, through actions which may include reducing rates to providers. These actions may be dependent upon timely Federal approvals and other elements of the program that govern implementation. It should further be noted that the Medicaid Cap, which is indexed to historical CPI Medical trends, applies to State Operating Funds and, therefore, General Fund spending remains sensitive to revenue performance in the State's HCRA fund. The HCRA fund finances approximately one-quarter of the DOH State-share costs of Medicaid.

The Financial Plan forecast contains specific transaction risks and other uncertainties including, but not limited to: receipt of certain payments from public authorities; receipt of certain payments under the Tribal-State compact, including payments from the Seneca Nation⁷; receipt of miscellaneous revenues at the levels expected in the Financial Plan, and achievement of cost-saving measures including, but not limited to, transfer of available fund balances to the General Fund at levels currently projected. Such risks and uncertainties, if they were to materialize, could adversely impact the Financial Plan in current and/or future years.

⁷ The Seneca Nation has withheld payments to the State that were expected in June and September 2017. The State and Seneca Nation are currently in the arbitration process. The Financial Plan assumes successful resolution by March 2018.

The Financial Plan also includes actions that affect the spending reported in the State Operating Funds basis of reporting, including (i) the realignment of certain operating costs to the capital budget to provide consistency in reporting across all agencies and a more accurate accounting of the overall capital budget; (ii) the payment of certain operating costs using available resources in accounts outside of the State Operating Funds basis of reporting; and (iii) the restructuring of the STAR program such that the spending for certain benefits is instead provided in the form of a tax credit for consistency with the reporting of other State tax credits. If these and other transactions are not implemented as planned, annual spending growth in State Operating Funds could increase above current estimates.

In developing and updating the Financial Plan, DOB attempts to mitigate the financial risks from receipts volatility, litigation, and unexpected costs, with an emphasis on the General Fund. It does this by, among other things, exercising caution when calculating total General Fund disbursements, and managing the accumulation of financial resources that can be used to offset new costs (including, but not limited to, fund balances not needed in a particular year, acceleration of tax refunds above the level budgeted in a given year, and prepayment of expenses). There can be no assurance that such resources will be sufficient to address risks that may materialize in any given fiscal year.

Federal Issues

The State receives a substantial amount of Federal aid for health care, education, transportation, and other governmental purposes, as well as Federal funding to respond to, and recover from, severe weather events and other disasters. Many of the policies that drive this Federal aid are subject to change under the current presidential administration and Congress. Current Federal aid projections and the assumptions on which they rely, are subject to revision in future financial updates as a result of changes in Federal policy.

The Federal government may enact budgetary changes or take other actions that adversely affect State finances. State legislation approved with the FY 2018 Enacted Budget sets forth a process by which the State would manage significant reductions in Federal aid during FY 2018 should they arise. Specifically, the legislation requires the Budget Director to prepare a plan for consideration by the Legislature in the event that Federal budget or continuing resolutions in force for Federal fiscal years 2017 or 2018, or both, or Federal statutory or regulatory changes: (i) reduce Federal financial participation in Medicaid funding to New York State or its subdivisions by \$850 million or more; or (ii) reduce Federal financial participation or other Federal aid funding to New York State that affects the State Operating Funds financial plan by \$850 million or more, exclusive of any cuts to Medicaid. Each limit is triggered separately and is not additive. The plan prepared by the Budget Director must equally and proportionally reduce appropriations and cash disbursements in the General Fund and State special revenue funds. Upon receipt of the plan, the Legislature has 90 days to prepare its own corrective action plan, which may be adopted by concurrent resolution passed by both houses, or the plan submitted by the Budget Director takes effect automatically.

In addition, the Financial Plan may also be adversely affected by other Federal government actions, including audits, disallowances, and changes to Federal participation rates or other Medicaid rules. For instance, the Financial Plan includes reimbursement to the Federal government of \$100 million annually through FY 2027 pursuant to a March 2015 agreement between the State and the Centers for Medicare and Medicaid Services (CMS). The agreement resolved a pending disallowance for FY 2011, and all related payment disputes for State-operated services prior to April 1, 2013, including home and community-based waiver services. Pursuant to the agreement, the State must adjust the Federal/State share of future Medicaid costs to reimburse the Federal government. The State used \$850 million in Extraordinary Monetary Settlement payments, previously set aside for financial risks, to finance the initial repayment amount in FY 2016.

Current Federal issues of note are described below.

Federal Tax Law Changes

Recently, the House of Representatives published a tax bill that would, if enacted into law, make extensive changes to Federal personal income, corporate income, and estate taxes. A senate bill is expected in the near future. Given the potential flow-through impacts on the calculation of State imposed taxes, as well as the potential impacts on taxpayer behavior, DOB will continue to closely monitor developments to determine the impact, if any on State receipts.

Current Federal Aid

The Trump Administration has proposed significant cuts to domestic programs in Federal FY 2018, and Federal funding for some mandatory programs such as the Children's Health Insurance Program which expired at the end of Federal FY 2017. By failing to reauthorize the Child Health Insurance Plan by October 1, Congress has put at risk health care for over 350,000 children in New York. This program is the safety net that provides critical, preventative health care for children in families who don't qualify for Medicaid, but can't afford any other insurance coverage.

If the proposed cuts are adopted or the mandatory programs that expired with Federal FY 2017 are not funded, it could reduce Federal aid to New York by billions of dollars.

Currently, the Federal government is operating under a continuing resolution for Federal FY 2018 that keeps the Federal government operating through December 8, 2017. The continuing resolution is part of a package (PL 115-56) that includes \$15 billion for hurricane response, and a temporary suspension of the debt limit through December 8, 2017.

Medicaid Disproportionate Share Hospital (DSH) Payments

Provisions within the Medicaid statute allow for a capped amount of payments to hospitals that treat a disproportionate number of Medicaid recipients. Changes made initially in the Patient Protection and Affordable Care Act (and subsequently delayed by other intervening laws) to reduce the aggregate amount of Federal reimbursements for DSH payments came into effect with the start of Federal Fiscal Year (FFY) 2018, at the beginning of October 2017.

Current Federal law requires that the amount otherwise available for DSH payments be reduced by \$2 billion in FFY 2018 and increasing to \$8 billion for FFYs 2024 & 2025, for an aggregate reduction of \$43 billion. The Division estimates that New York will see the largest reduction among all states – amounting to 16 percent of the total cut nationally – costing \$1.1 billion in lost Federal DSH payments through SFY 2019 and increasing to \$2.6 billion when fully phased in.

DOB is monitoring the potential for Congress to repeal the DSH reduction for FFY 2018.

Federal Health Care Policy

Passage of H.R. 1628, the American Health Care Act, in the United States House of Representatives, and the proposal of an amended version of H.R. 1628 by certain United States Senators, puts a significant amount of Federal health care aid at risk. Major components of the bill, as passed by the House of Representatives and proposed amendments by certain Senators, include ending the Basic Health Program (Essential Plan in New York State), the Patient Protection and Affordable Care Act's Medicaid expansion, and shifting a larger share of the growth in Medicaid costs to the states by imposing per capita caps on Medicaid spending in lieu of Medicaid's current open-ended entitlement. If this bill were adopted by both houses of Congress, these policies would have a substantial adverse impact on the Financial Plan. It remains possible that this or other legislative proposals may become law. As such, DOB continues to monitor Federal health care policy.

Excise Tax on High-Cost Employer-Sponsored Health Coverage (“Cadillac Tax”)

The Excise Tax on High-Cost Employer Sponsored Health Coverage (26 USC 4980I) is a 40 percent excise tax assessed on the portion of the premium for an employer-sponsored health insurance plan that exceeds a certain annual limit. The provision was initially included in the Patient Protection and ACA as an offset for the legislation's increase in spending, but has since been altered by intervening laws to delay the implementation of the tax until 2020.

Regulations from the Internal Revenue Service have yet to be published. DOB has no current estimate as to the potential financial impact on the State from this Federal excise tax, however, at this point it is unclear if or how the tax will be changed as a result of ongoing health care and tax reform discussions.

Medicaid Redesign Team (MRT) Medicaid Waiver

The Federal CMS and the State have an agreement authorizing up to \$8 billion in new Federal funding, over several years, to transform New York's health care system and ensure access to quality care for all Medicaid beneficiaries. This funding, provided through an amendment to the State's Partnership Plan 1115 Medicaid waiver, is divided among the Interim Access Assurance Fund (IAAF), the Delivery System Reform Incentive Payment (DSRIP) Program, Health Homes, and various other Medicaid redesign initiatives.

Since January 1, 2014, in accordance with provisions of the ACA, the State has been eligible for enhanced Federal Medical Assistance Percentage (FMAP) funding associated with childless adults. The DOH continues to work with the CMS, and to refine the eligibility data systems to draw the appropriate amount of enhanced FMAP funding. This reconciliation may result in a modification of payments to the State and local governments.

Federal Debt Limit

On September 8, 2017, enacted Federal legislation suspended the Federal debt limit through December 8, 2017, forestalling the possibility of a default by the Federal government until at least that time. Previously, a similar temporary suspension of the debt limit expired in March 2017, with the U.S. Treasury operating under "extraordinary measures" in the interim to finance outlays without further borrowing.

A Federal government default on payments, particularly for a prolonged period, could have a materially adverse effect on the national and State economies, financial markets, and intergovernmental aid payments. The specific effects on the Financial Plan of a future Federal government default are unknown and impossible to predict. However, data from past economic downturns suggest that the State's revenue loss could be substantial if the economy goes into a recession due to a Federal default.

A payment default by the United States may adversely affect the municipal bond market. Municipal issuers, as well as the State, could face higher borrowing costs and impaired market access. This would jeopardize planned capital investments in transportation infrastructure, higher education facilities, hazardous waste remediation, environmental projects, and economic development projects. Additionally, the market for and market value of outstanding municipal obligations, including municipal obligations of the State, could be adversely affected.

Current Labor Negotiations and Agreements (Current Contract Period)

The State has labor agreements in place with two of the largest unions, as well as several other unions. The State is in active negotiations with all other employee unions whose contracts concluded in FY 2016, including United University Professions (UUP), Council 82, and District Council 37 (DC-37 Housing). Negotiations also continue with the Police Benevolent Association of New York State (PBANYS), whose contract expired at the end of FY 2015. In addition, the State continues to negotiate with the New York State Correctional Officers and Police Benevolent Association (NYSCOPBA) following the March 2017 membership rejection of a tentative agreement on a five-year labor contract through FY 2021⁸.

Employees represented by PEF and the Graduate Student Employees Union (GSEU) have a three-year collective bargaining agreement providing 2 percent annual salary increases in FYs 2017 through 2019. The State has legislation providing comparable increases for M/C employees. CSEA employees have a five-year labor contract that provides annual salary increases of 2 percent for FYs 2017 through 2021.

Members of the Police Benevolent Association of the New York State Troopers (NYSPBA) and the New York State Police Investigators Association (NYSPIA) have a multi-year collective bargaining agreement that provides 2 percent general salary increase for each of FY 2015 and FY 2016, and a 1.5 percent general salary increase for each of FY 2017 and FY 2018.

On June 27, 2016, CUNY Board of Trustees approved collective bargaining agreements between CUNY and unions representing almost all of the University's faculty and staff. For CUNY senior colleges, these agreements are estimated to cost approximately \$250 million for retroactive payments and \$150 million in ongoing annual costs. At the request of CUNY, the State advanced its planned payment of approximately \$250 million State support for CUNY senior colleges from October 2017 to June 2017, to make resources available for retroactive payments in the academic year ending June 2017.

The Judiciary has reached agreement with 11 of 12 unions. The contract periods vary from FY 2018 to FY 2020 for the Civil Service Employees Association (CSEA), FY 2012 to FY 2019 for Court Officers Benevolent Association of Nassau County (COBANC), FY 2012 to FY 2021 for the NYS Supreme Court Officers Association and the NYS Court Officers Association, and FY 2017 to FY 2019 for seven other unions. The Mid-Year Update reflects no changes to the Judiciary cash estimates.

⁸ The five-year agreement with NYSCOPBA that was not ratified would have provided for annual 2 percent general salary increases through FY 2021, and differentials typically received within the law enforcement community (e.g., Hazardous Duty Pay), the costs of which were offset by benefit design changes within the New York State Health Insurance Program (NYSHIP) and reductions in overtime costs.

Pension Contributions

Overview

The State makes annual contributions to the New York State and Local Retirement System (NYSLRS) for employees in ERS and Police and Fire Retirement System (PFRS). This section discusses contributions from the State, including the Judiciary, to the NYSLRS, which account for the majority of the State's pension costs.⁹ All projections are based on projected market returns and numerous actuarial assumptions which, if unrealized, could change these projections materially.

During FY 2016, the NYSLRS updated its actuarial assumptions based on the results of the 2015 five-year experience study. In September 2015, the System announced that employer contribution rates would decrease for FY 2017 and the assumed rate of return would be lowered from 7.5 percent to 7 percent. The salary scale assumptions were also changed – for ERS the scale was reduced from 4.8 percent to 3.8 percent and for PFRS the scale was reduced from 5.4 percent to 4.5 percent.

FY 2018 Projections

The State's FY 2018 ERS/PFRS pension liability is \$2.2 billion based on the estimated bill prepared by OSC in October 2017. This includes payment of \$432 million towards the balance outstanding on prior-year deferrals (i.e., amortizations) and additional interest savings from paying the majority of the pension bill in April 2017. The State's FY 2018 ERS/PFRS pension estimate is revised downward based on actual FY 2017 salary base (used to calculate the FY 2018 bill), which was lower than previously projected.

FY 2019 Projections

The preliminary FY 2019 ERS/PFRS pension liability of \$2.3 billion is impacted by the FY 2017 investment return of 11.48 percent, which was above the Comptroller's assumed rate of return (7 percent). The estimate also reflects the impact of past investment performance and growth in the number of lower cost Tier 6 members. As a result, the average contribution rate for ERS will decrease from 15.3 percent of payroll to 14.9, while the average contribution rate for PFRS will decrease from 24.4 percent of payroll to 23.5 percent.¹⁰

The pension liability also reflects changes to military service credit provisions found in Section 1000 of the Retirement and Social Security Law (RSSL) enacted during the 2016 legislative session (Chapter 41 of the Laws of 2016). All veterans who are members of NYSLRS may, upon application, receive extra service credit for up to three years of military duty if such veterans (i) were honorably discharged, (ii) have achieved five years of credited service in a public retirement system, and (iii) agree to pay the employee share of such additional pension credit.

⁹ The State's aggregate pension costs also include costs for State employees in the Teachers' Retirement System (TRS) for both SUNY and the State Education Department (SED), the Optional Retirement Program (ORP) for both SUNY and SED, and the New York State Voluntary Defined Contribution Plan (VDC).

¹⁰ Average contribution rates include the Group Life Insurance Program (GLIP), and thus differ from the system average normal rates reported in the pension amortization section.

Costs to the State for employees in ERS will be incurred at the time each member purchases credit, as documented by OSC at the end of each calendar year, while costs for employees in PFRS will be distributed across PFRS employers and billed on a two-year lag (e.g. FY 2017 costs will first be billed in FY 2019). Additionally, Section 25 of the RSSL requires the State to pay the ERS employer contributions associated with this credit on behalf of local governments. The State is also permitted to amortize the cost of past service credits newly incurred in a given fiscal year; however, the State does not anticipate choosing this option as there would be an interest rate of 7 percent applied to this amortization. The ERS cost to the State (including the costs covered for local ERS) was \$77 million in FY 2017 based on actual credit purchased through December 31, 2016. DOB currently estimates ERS costs of \$55 million in FY 2018; \$55 million in FY 2019; and \$39 million in FY 2020. Additionally, the State expects ongoing costs of \$7 million beginning in FY 2021 as new cohorts of veterans become eligible to purchase the credit.

Outyear Projections

Pension estimates for FY 2020 and beyond, as projected by DOB, reflect growth in normal costs primarily based on the expectation that collective bargaining will result in continued salary increases and that investment returns will be below the actuarially assumed 7 percent rate of return in the near-to-mid-term.

Pension Amortization

Under legislation enacted in August 2010, the State and local governments may amortize (defer paying) a portion of their annual pension costs. Amortization temporarily reduces the pension costs that must be paid by public employers in a given fiscal year, but results in higher costs overall when repaid with interest.

The State and local governments are required to begin repayment on each new amortization in the fiscal year immediately following the year in which the amortization was initiated. The full amount of each amortization must be repaid within ten years at a fixed interest rate determined by OSC. Legislation included in the FY 2017 Enacted Budget authorizes the State to prepay a portion of remaining principal associated with an amortization, and then pay a lower re-calculated interest installment in any subsequent year for which the principal has been prepaid. This option does not allow the State to delay the original ten-year repayment schedule, nor does it allow for the interest rate initially applied to the amortization amount to be modified.

The portion of an employer's annual pension costs that may be amortized is determined by comparing the employer's amortization-eligible contributions as a percentage of employee salaries (i.e., the normal rate¹¹) to a system-wide amortization threshold (i.e., the graded rate). Graded rates are determined for ERS and PFRS according to a formula enacted in the 2010 legislation and generally move toward their system's average normal rate by up to one percentage point per year. When an employer's normal rate is greater than the system-wide graded rate, the employer can elect to amortize the difference. However, when the normal rate of an employer that previously amortized is less than the system-wide graded rate, the employer

¹¹ For the purpose of this discussion, the "normal rate" refers to all amortization-eligible costs (i.e. normal and administrative costs, as well as certain employer-provided options such as sick leave credit) divided by salary base.

Other Matters Affecting the Financial Plan



is required to pay the graded rate. Additional contributions are first used to pay off existing amortizations and are then deposited into a reserve account to offset future increases in contribution rates. Chapter 48 of the Laws of 2017 changed the graded rate computation to provide an employer specific graded rate based on the employer's own tier and plan demographics.

The State has not amortized its pension costs (including the Office of Court Administration (OCA)) since FY 2016.

The amortization threshold is projected to equal the normal rate in upcoming fiscal years. The following table reflects projected pension contributions and amortizations exclusively for Executive branch and Judiciary employers participating in ERS and PFRS.

EMPLOYEE RETIREMENT SYSTEM AND POLICE AND FIRE RETIREMENT SYSTEM IMPACTS OF AMORTIZATION ON PENSION CONTRIBUTIONS (millions of dollars)									
Fiscal Year	Statewide Pension Payments ¹				Rates for Determining (Amortization Amount) / Excess Contributions				
	Normal Costs ²	(Amortized) / Excess Contributions	Amortization Payments	Total Statewide Pension Payments	Interest Rate on Amortization Amount (%) ³	System Average Normal Rate ⁴		Amortization Threshold (Graded Rate)	
						ERS (%)	PFRS (%)	ERS (%)	PFRS (%)
2011	1,543.2	(249.6)	0.0	1,293.6	5.00	11.5	18.1	9.5	17.5
2012	2,037.6	(562.9)	32.3	1,507.0	3.75	15.9	21.6	10.5	18.5
2013	2,076.1	(778.5)	100.8	1,398.4	3.00	18.5	25.7	11.5	19.5
2014	2,633.8	(937.0)	192.0	1,888.8	3.67	20.5	28.9	12.5	20.5
2015	2,325.7	(713.1)	305.7	1,918.3	3.15	19.7	27.5	13.5	21.5
2016	1,972.1	(356.1)	389.9	2,005.9	3.21	17.7	24.7	14.5	22.5
2017	1,788.6	0.0	432.1	2,220.7	2.33	15.1	24.3	15.1	23.5
2018 Est.	1,803.4	0.0	432.1	2,235.5	2.84	14.9	24.3	14.9	24.3
2019 Est.	1,866.7	0.0	432.1	2,298.8	0.00	14.4	23.5	14.4	23.5
-----Projected by DOB ⁵ -----									
2020	1,921.4	0.0	432.1	2,353.5	0.00	15.2	24.0	15.2	24.0
2021	2,080.3	0.0	432.1	2,512.4	0.00	16.2	25.0	16.2	25.0
2022	2,272.5	0.0	399.8	2,672.3	0.00	17.2	26.0	17.2	26.0
2023	2,436.7	0.0	331.3	2,768.0	0.00	17.9	25.8	17.9	25.8
2024	2,460.1	0.0	240.1	2,700.2	0.00	17.7	25.4	17.7	25.4
2025	2,483.7	0.0	126.4	2,610.1	0.00	17.5	25.0	17.5	25.0
2026	2,507.2	0.0	42.2	2,549.4	0.00	17.3	24.6	17.3	24.6

¹ Pension contribution values in this table do not include pension costs related to the ORP, VDC, and TRS for SUNY and SED, whereas the projected pension costs in other Financial Plan tables include such pension disbursements.

² Normal costs include payments from amortizations prior to FY 2011, which ended in FY 2016 as a result of early repayments.

³ Interest rates are determined by the Comptroller based on the market rate of return on comparable taxed fixed income investments (e.g., Ten-Year Treasuries). The interest rate is fixed for the duration of the ten-year repayment period.

⁴ The system average normal rate represents system-wide amortization-eligible costs (i.e. normal and administrative costs, as well as the cost of certain employer options) as a percentage of the system's total salary base. The normal rate does not include the following costs, which are not eligible for amortization: Group Life Insurance Program (GLIP) contributions, deficiency contributions, previous amortizations, incentive costs, administrative costs, costs of new legislation in some cases, and prior-year adjustments. "(Amortized) / Excess Contributions" are calculated for each employer in the system using employer-specific normal rates, which may differ from the system average.

⁵ Outyear projections are prepared by DOB. The retirement system does not prepare, or make available, outyear projections of pension costs.

The “Normal Costs” column shows the State’s underlying pension cost in each fiscal year, before the effects of amortization. The “(Amortized) / Excess Contributions” column shows amounts amortized. The “Amortization Payments” column provides the amount paid in principal and interest towards the outstanding balance on prior-year amortizations. The “Total” column provides the State’s actual or planned pension contribution, inclusive of amortization. The “Interest Rate” column provides the interest rate at which the State will repay the amortized contribution, as determined by OSC. The remaining columns provide information on the normal rate and graded rate, which are used to determine the maximum allowed “(Amortized)” amount or the mandatory “Excess Contributions” amount for a given fiscal year.

Other Post-Employment Benefits (OPEB)

State employees become eligible for post-employment benefits (e.g., health insurance) if they reach retirement while working for the State and are enrolled in NYSHIP, or are enrolled in the NYSHIP opt-out program, at the time they reach retirement and have at least ten years of eligible service for NYSHIP benefits. The cost of providing post-retirement health insurance is shared between the State and the retired employee. Contributions are established by law and may be amended by the Legislature. The State pays its share of costs on a Pay-As-You-Go (PAYGO) basis as required by law.

In accordance with the Governmental Accounting Standards Board (GASB) Statement 45, the State must perform an actuarial valuation every two years for purposes of calculating OPEB liabilities. As disclosed in Note 13 of the State’s Basic Financial Statements for FY 2017, the State’s Annual Required Contribution (ARC) represents the annual level of funding that, if set aside on an ongoing basis, is projected to cover normal costs each year and to amortize any unfunded liabilities of the plan over a period not to exceed 30 years. Amounts required but not actually set aside to pay for these benefits are accumulated, with interest, as part of the net OPEB obligation, after adjusting for amounts previously required.

As reported in the State’s Basic Financial Statements for FY 2017, the unfunded actuarial accrued liability for FY 2017 is \$87.3 billion (\$72.830 billion for the State and \$14.427 billion for SUNY), an increase of \$9.4 billion from FY 2016 (attributable entirely to the State). The unfunded actuarial accrued liability for FY 2017 used an actuarial valuation of OPEB liabilities as of April 1, 2016 for the State and April 1, 2014 for SUNY. These valuations were determined using the Frozen Entry Age actuarial cost method, and are amortized over an open period of 30 years using the level percentage of projected payroll amortization method. A significant portion of the annual growth in the State’s unfunded actuarial accrued liability has been driven by the reduction of the discount rate from 3.155 to 2.637 percent, calculated as the average STIP rate for the past 20 years at the time of valuation. The decline in the discount rate increases the present value of the projected benefit obligation.

The actuarially determined annual OPEB cost for FY 2017 totaled \$4.2 billion (\$3.242 billion for the State and \$923 million for SUNY), a decline of \$7 million from FY 2016 (\$4 million for the State and \$3 million for SUNY). The actuarially-determined cost is calculated using the Frozen Entry Age actuarial cost method, allocating costs on a level basis over earnings. The actuarially determined cost was \$2.4 billion (\$1.795 billion for the State and \$639 million for SUNY) greater

than the PAYGO required cash payments for retiree costs made in FY 2017. This difference between the State's PAYGO costs, and the actuarially determined ARC under GASB Statement 45, reduced the State's net asset condition at the end of FY 2017 by \$2.4 billion.

GASB has no authority to require the additional costs to be funded on the State's budgetary (cash) basis, and no additional funding is assumed for this purpose in the Financial Plan. The State continues to fund these costs, along with all other employee health care expenses, on a PAYGO basis.

There is no provision in the Financial Plan to fund the ARC for OPEB. If the State began making a contribution, the additional cost above the PAYGO amounts would be lowered. However, it is not expected that the State will alter its current PAYGO funding practice.

The FY 2018 Enacted Budget included legislation creating a Retiree Health Benefit Trust Fund (the "Trust Fund") that authorizes the State to reserve money for the payment of health benefits of retired employees and their dependents. Under the legislation, the State may deposit, in any given fiscal year, up to 0.5 percent of total then-current unfunded actuarial accrued OPEB liability (currently \$72.8 billion for the State and \$14.4 billion for SUNY). The Financial Plan does not include any deposits to the Trust Fund.

The provisions of GASB Statement 75 (Accounting and Financial Reporting for Postemployment Benefits Other Than Pensions), which amends GASB Statement 45 and GASB Statement 57, will be incorporated into the State's FY 2019 financial statements. The FY 2019 statements are expected to be issued in July 2019. The GASB Statement alters the actuarial methods used to calculate OPEB liabilities, standardizes asset smoothing and discount rates, and requires the unfunded net OPEB obligation to be reported by the State in its Statement of Net Assets. Reporting the unfunded OPEB liability on the Statement of Net Assets, rather than as a note disclosure, is expected to significantly increase the State's total long-term liabilities and show the State in a net negative asset position.

GASB Statement 75 is not expected to alter the Financial Plan cash PAYGO projections for health insurance costs, as the DOB methodology for forecasting these costs over a multi-year period already incorporates factors and considerations consistent with the new actuarial methods and calculations required by the GASB Statement.

Litigation

Litigation against the State may include potential challenges to the constitutionality of various actions. The State may also be affected by adverse decisions that are the result of various lawsuits. Such adverse decisions may not meet the materiality threshold to warrant individual description but, in the aggregate, could still adversely affect the Financial Plan.

Climate Change Adaptation

Climate change poses long-term threats to physical and biological systems. Potential hazards and risks related to climate change for the State include, among other things, rising sea levels, more severe coastal flooding and erosion hazards, and more intense storms. Storms in recent years, including Superstorm Sandy, Hurricane Irene, and Tropical Storm Lee, have demonstrated vulnerabilities in the State's infrastructure (including mass transit systems, power transmission and distribution systems, and other critical lifelines) to extreme weather events including coastal flooding caused by storm surges. Significant long-term planning and investment by the Federal government, State, municipalities, and public utilities are expected to be needed for adapting existing infrastructure to climate change risks.

New York State continues to recover from the damage sustained during powerful storms that crippled entire regions. In August 2011, Hurricane Irene disrupted power and caused extensive flooding to various State counties. In September 2011, Tropical Storm Lee caused flooding in additional State counties and, in some cases, exacerbated the damage caused by Hurricane Irene two weeks earlier. On October 29, 2012, Superstorm Sandy struck the East Coast, causing widespread infrastructure damage and economic losses to the greater New York region. The frequency and intensity of these storms present economic and financial risks to the State. Reimbursement claims for costs of the immediate response, recovery, and future mitigation efforts continue, largely supported by Federal funds. In January 2013, the Federal government approved approximately \$60 billion in Federal disaster aid for general recovery, rebuilding, and mitigation activity nationwide. It is anticipated that the State, MTA, and State localities may receive approximately one-half of this amount for response, recovery, and mitigation costs. To date, a total of \$17 billion has been committed to repairing impacted homes and businesses, restoring community services, and mitigating future storm risks across the State. There can be no assurance that all anticipated Federal disaster aid described above will be provided to the State and its affected entities over the coming years.

Cybersecurity

New York State government, like many other large public and private entities, relies on a large and complex technology environment to conduct its operations. As a recipient and provider of personal, private, or sensitive information, the State and its public corporations and municipalities face multiple cyber threats including, but not limited to, hacking, viruses, malware and other attacks on computer and other sensitive digital networks and systems. Entities or individuals may attempt to gain unauthorized access to the State's digital systems for the purposes of misappropriating assets or information or causing operational disruption and damage. To mitigate the risk of business operations impact and/or damage from cyber incidents or cyber-attacks, the State invests in multiple forms of cybersecurity and operational controls. The State's Enterprise Information Security Office (EISO) within the State's Office of Information Technology Services (ITS) maintains a cyber command center hotline and related procedures for cyber incident reporting and response, distributes real-time advisories and alerts, provides managed security services, and implements statewide information security training and exercises for State and local government. While controls are routinely reviewed and tested, no assurances can be given that

such security and operational control measures will be completely successful to guard against cyber threats and attacks. The results of any such attack could impact business operations and/or damage State digital networks and systems and the costs of remedying any such damage could be substantial.

New York State has also adopted regulations designed to protect the financial services industry from cyberattacks. Banks, insurance companies and other covered entities regulated by DFS are, unless eligible for limited exemptions, required to: (i) maintain a cyber security program, create written cybersecurity policies and perform risk assessments, (ii) designate a Chief Information Security Officer with responsibility to oversee the cybersecurity program, (iii) annually certify compliance with the cybersecurity regulations, and (iv) report to DFS cybersecurity events that have a reasonable likelihood of materially harming any material part of the entity's normal operation(s) or of which notice is required to any government body, self-regulatory agency, or supervisory body.

Financial Condition of New York State Localities

The financial demands on State aid may be affected by the fiscal conditions of New York City and potentially other localities, which rely in part on State aid to balance their budgets and meet their cash requirements. Certain localities outside New York City, including cities and counties, have experienced financial problems and have requested and received additional State assistance during the last several State fiscal years. In 2013, the Financial Restructuring Board for Local Governments was created to provide assistance to distressed local governments by performing comprehensive reviews, and providing grants and loans as a condition of implementing recommended efficiency initiatives. For additional details on the Restructuring Board, please visit www.frb.ny.gov.

Bond Market

Implementation of the Financial Plan is dependent on the State's ability to market bonds successfully. The State finances much of its capital spending in the first instance from the General Fund or the STIP, which it then reimburses with proceeds from the sale of bonds. If the State cannot sell bonds at the levels (or on the timetable) expected in the capital plan, the State's overall cash position and capital funding plan may be adversely affected. The success of projected public sales will be subject to prevailing market conditions, among other things. Future developments in the financial markets, including possible changes in Federal tax law relating to the taxation of interest on municipal bonds, as well as future developments concerning the State and public discussion of such developments generally, may affect the market for outstanding State-supported and State-related debt.

Debt Reform Act Limit

The Debt Reform Act of 2000 (“Debt Reform Act”) restricts the issuance of State-supported debt to capital purposes only, and for a maximum term of 30 years. The Debt Reform Act limits the amount of new State-supported debt to 4 percent of State personal income, and new State-supported debt service costs to 5 percent of All Funds receipts. The restrictions apply to all new State-supported debt issued since April 1, 2000. DOB, as administrator of the Act, determined that the State was in compliance with the statutory caps in the most recent calculation period (FY 2017).

The statute requires that the limitations on the amount of State-supported debt and debt service costs be calculated by October 31 of each year and reported in the Mid-Year Financial Plan. If the actual amount of new State-supported debt outstanding and debt service costs for the prior fiscal year are below the caps at this time, State-supported debt may continue to be issued. However, if either the debt outstanding or debt service caps are met or exceeded, the State would be precluded from issuing new State-supported debt until the next annual cap calculation is made and debt is found to be within the applicable limitations.

For FY 2017, the cumulative debt outstanding and debt service caps are 4.00 and 5.00 percent, respectively. As shown in the following tables, the actual levels of debt outstanding and debt service costs continue to remain below the statutory caps. From April 1, 2000 through March 31, 2017, the State has issued new debt resulting in \$41.6 billion of debt outstanding applicable to the debt reform cap. This is about \$5.4 billion below the statutory debt outstanding limitation. In addition, the debt service costs on this new debt totaled \$4.3 billion in FY 2017, or roughly \$3.5 billion below the statutory debt service limitation.

DEBT OUTSTANDING CAP (millions of dollars)		
	Dollar	Percent
Personal Income (CY 2016) ¹	1,176,080	
Max. Allowable Debt Outstanding	47,043	4.00%
Debt Outstanding Subject to Cap	41,623	3.54%
Remaining Capacity	5,420	0.46%

¹ Bureau of Economic Analysis (BEA).

DEBT SERVICE CAP (millions of dollars)		
	Dollar	Percent
All Funds Receipts (FY 2017)	156,372	
Max. Allowable Debt Service	7,819	5.00%
Debt Service Subject to Cap	4,279	2.74%
Remaining Capacity	3,540	2.26%

Current projections anticipate that debt outstanding and debt service will continue to remain below the limits imposed by the Debt Reform Act. Based on the most recent personal income and debt outstanding forecasts, the available room under the debt outstanding cap is expected to decline from \$5.4 billion in FY 2017 to about \$58 million in FY 2021. This includes the estimated impact of the bond-financed portion of increased capital commitment levels. In addition, the projected room under the debt cap is dependent on expected growth for State personal income. Debt outstanding and debt service caps continue to include the existing SUNY Dormitory Facilities lease revenue bonds, which are backed by a general obligation pledge of SUNY. Bonds issued under the new SUNY Dormitory Facilities Revenue credit (which are not

Other Matters Affecting the Financial Plan



backed by a general obligation pledge of SUNY) are not included in the State's calculation of debt caps. Capital spending priorities and debt financing practices may be adjusted from time to time to preserve available debt capacity and stay within the statutory limits, as events warrant.

DEBT OUTSTANDING SUBJECT TO CAP (millions of dollars)								TOTAL STATE-SUPPORTED DEBT (millions of dollars)	
Year	Personal Income	Cap %	Cap \$	Debt Outstanding Since April 1, 2000	\$ Remaining Capacity	Debt as a % of PI	% Remaining Capacity	Debt Outstanding Prior to April 1, 2000	Total State-Supported Debt Outstanding
FY 2017	1,176,080	4.00%	47,043	41,623	5,420	3.54%	0.46%	7,999	49,622
FY 2018	1,225,515	4.00%	49,021	45,194	3,826	3.69%	0.31%	6,614	51,808
FY 2019	1,271,353	4.00%	50,854	49,008	1,846	3.85%	0.15%	6,381	55,389
FY 2020	1,327,889	4.00%	53,116	52,667	448	3.97%	0.03%	5,248	57,916
FY 2021	1,386,795	4.00%	55,472	55,414	58	4.00%	0.00%	3,427	58,841
FY 2022	1,447,006	4.00%	57,880	57,664	216	3.99%	0.01%	2,789	60,453

DEBT SERVICE SUBJECT TO CAP (millions of dollars)								TOTAL STATE-SUPPORTED DEBT SERVICE (millions of dollars)	
Year	All Funds Receipts	Cap %	Cap \$	Debt Service Since April 1, 2000	\$ Remaining Capacity	DS as a % of Revenue	% Remaining Capacity	Debt Service Prior to April 1, 2000	Total State-Supported Debt Service
FY 2017	156,372	5.00%	7,819	4,279	3,540	2.74%	2.26%	1,206	5,484
FY 2018	161,863	5.00%	8,093	4,493	3,600	2.78%	2.22%	781	5,274
FY 2019	166,628	5.00%	8,331	5,077	3,254	3.05%	1.95%	1,321	6,398
FY 2020	170,170	5.00%	8,509	5,602	2,907	3.29%	1.71%	1,541	7,143
FY 2021	172,198	5.00%	8,610	5,994	2,616	3.48%	1.52%	1,469	7,463
FY 2022	174,819	5.00%	8,741	6,290	2,451	3.60%	1.40%	1,087	7,377

The change in the State's available debt capacity compared to the First Quarterly Update reflects the impact of several factors. These include an adjustment to debt issuances to reflect actual bond sale results to date and, more significantly, a reduction to the personal income forecast due almost entirely to income revisions by the Bureau of Economic Analysis (BEA). In recent quarters, BEA has made sizeable revisions to prior-year income levels, which in turn changes the base on which DOB calculates income going forward. The substantial reduction to personal income makes it necessary to make capital spending reductions in order to stay within the debt cap in future years. The spending reductions are expected to be managed within anticipated underspending on capital projects throughout the plan period. Debt capacity amounts continue to assume that SUNY Dormitory Facilities lease revenue bonds will be refunded into the new SUNY Dormitory Facilities Revenue Bond credit within one year of their call dates. The impact on the debt cap is shown in the following chart.

DEBT OUTSTANDING SUBJECT TO CAP REMAINING CAPACITY SUMMARY (millions of dollars)						
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022
First Quarterly Update Financial Plan	6,141	4,932	2,704	892	88	168
Debt Issuances Adjustment	-	26	(11)	(26)	(42)	(34)
Personal Income Forecast Adjustment	(721)	(1,132)	(1,455)	(1,616)	(1,759)	(1,937)
Capital Reestimates	-	-	607	1,198	1,770	2,019
Mid-Year Update to the Financial Plan	5,420	3,826	1,845	448	57	216

Secured Hospital Program

Under the Secured Hospital Program, the State entered into service contracts to enable certain financially distressed not-for-profit hospitals to have tax-exempt debt issued on their behalf, to pay for upgrading their primary health care facilities. Revenues pledged to pay debt service on the bonds include hospital payments made under loan agreements between the Dormitory Authority of the State of New York (DASNY) and the hospitals and certain reserve funds held by the applicable trustees for the bonds. In the event of revenue shortfalls to pay debt service on the Secured Hospital bonds, the service contracts obligate the State to pay debt service, subject to annual appropriations by the Legislature, on bonds issued by DASNY through the Secured Hospital Program. As of March 31, 2017, there were approximately \$220 million of bonds outstanding for this program.

Three of the four remaining hospitals in the State's Secured Hospital Program are in poor financial condition. In relation to the Secured Hospital Program, the State's contingent contractual obligation was invoked to pay debt service for the first time in FY 2014. Since then the State has paid \$85 million for debt service costs. DASNY also estimates the State will pay debt service costs of approximately \$14 million in FY 2018, \$28 million annually in FY 2019 through FY 2021, and \$22 million in FY 2022. These amounts are based on the actual experience to date of the participants in the program, and would cover the debt service costs for one hospital whose debt service obligation was discharged in bankruptcy but is paying rent which offsets a portion of the debt service, a second hospital which closed in 2010, and a third hospital that is currently delinquent in its payments. The State has estimated additional exposure of up to \$9 million annually, if all hospitals in the Program failed to meet the terms of their agreements with DASNY and if available reserve funds were depleted.

SUNY Downstate Hospital and the Long Island College Hospital (LICH)

In May 2011, the New York State Supreme Court issued an order that approved the transfer of real property and other assets of LICH to a New York State not-for-profit corporation (“Holdings”), the sole member of which is SUNY. Subsequent to such transfer, Holdings leased the LICH hospital facility to SUNY University Hospital at Brooklyn. In 2012, DASNY issued tax exempt State PIT Revenue Bonds (“PIT Bonds”), to refund approximately \$120 million in outstanding debt originally incurred by LICH and assumed by Holdings.

Pursuant to a court-approved settlement in 2014, SUNY, together with Holdings, issued a request for proposals (RFP) seeking a qualified party to provide or arrange to provide health care services at LICH and to purchase the LICH property.

In accordance with the settlement, Holdings has entered into a purchase and sale agreement with the FPG Cobble Hill Acquisitions, LLC (the “Purchaser”), an affiliate of Fortis Property Group, LLC (“Fortis”) (also party to the agreement), which proposes to purchase the LICH property, and with NYU Hospitals Center, which will provide both interim and long-term health care services. The Fortis affiliate plans to develop a mixed-use project. The agreement was approved by the Offices of the Attorney General and the State Comptroller, and the sale of all or substantially all of the assets of Holdings was approved by the State Supreme Court in Kings County. The initial closing was held as of September 1, 2015, and on September 3, 2015 sale proceeds of approximately \$120 million were transferred to the trustee for the PIT Bonds, which were paid and legally defeased from such proceeds. Titles to 17 of the 20 properties were conveyed to the special purpose entities formed by the Purchaser to hold title.

The next closing, when title to the New Medical Site (NMS) portion of the LICH property is to be conveyed to NYU Hospitals Center (the NMS Closing), is anticipated to occur within 30 days after all buildings on the NMS are fully demolished and all environmental issues remediated by the Purchaser. In its efforts to complete the demolitions and environmental remediation, the Purchaser is addressing issues raised by adjoining property owners and community groups. These challenges have delayed, and may continue to delay, demolition and environmental remediation.

As the NMS Closing did not occur on or before June 30, 2016, NYU Hospitals Center has the right to terminate its obligations under the purchase and sale agreement upon 30 days prior notice to Purchaser and Holdings. There can be no assurance that NYU Hospitals Center will not exercise its right to terminate. If NYU Hospitals Center terminates its obligations under the purchase and sale agreement, it has the contractual right to close its interim emergency department services immediately, but that right would be subject to obtaining regulatory approval for the closure. Also, if NYU Hospitals Center terminates its obligations under the purchase and sale agreement, the Purchaser has the ability under the purchase and sale agreement to continue with the final closing if, among other things, the Purchaser can identify a replacement provider with a confirming letter of interest to provide certain of the health care services expected to be provided by NYU Hospitals Center.



Other Matters Affecting the Financial Plan

To date, Holdings has received no indication that NYU Hospitals Center intends to terminate its obligations under the purchase and sale agreement. As an alternative to termination, in light of the delays, each of Holdings and NYU Hospitals Center has the contractual right at any time to take over and complete the demolition and environmental remediation at the Purchaser's sole cost and expense. If Holdings elects to take over the demolition and environmental remediation, it may do so directly or through a designee (i.e., a contractor).

The final closing is anticipated to occur within 36 months after the NMS Closing. At the final closing, titles to the two remaining portions of the LICH properties will be conveyed to special purpose entities of Fortis, and Holdings will receive the balance of the purchase price, \$120 million less the remaining down payment. The final closing is conditioned upon completion of the New Medical Building by NYU Hospitals Center and relocation of the emergency department to the New Medical Building.

There can be no assurance that the resolution of legal, financial, and regulatory issues surrounding LICH, including the payment of outstanding liabilities, will not have a materially adverse impact on SUNY.



State Financial Plan Projections Fiscal Years 2018 Through 2021

Introduction

This section presents the State's multi-year Financial Plan projections for receipts and disbursements, reflecting the impact of forecast revisions in FY 2018 through FY 2021, with an emphasis on the FY 2018 projections.

The State's cash-basis budgeting system, complex fund structure, and practice of earmarking certain tax receipts for specific purposes complicate the discussion of the State's receipts and disbursements projections. Therefore, to minimize the distortions caused by these factors and, equally important, to highlight relevant aspects of the projections, DOB has adopted the following approaches in summarizing the projections:

- **Receipts:** The detailed discussion of tax receipts covers projections for both the General Fund and State Funds (including capital projects). The State Funds perspective reflects estimated tax receipts before their diversion among various funds and accounts, including tax receipts dedicated to capital projects funds (which fall outside of the General Fund and State Operating Funds accounting perspectives). DOB believes this presentation provides a clearer picture of projected receipts, trends, and forecast assumptions, by factoring out the distorting effects of earmarking certain tax receipts.
- **Disbursements:** Roughly 38 percent of projected State-financed spending for operating purposes (excluding transfers) is accounted for outside of the General Fund, concentrated primarily in the areas of health care, School Aid, higher education, transportation, and mental hygiene. To provide a clear picture of spending commitments, the multi-year projections and growth rates are presented, where appropriate, on both a General Fund and State Operating Funds basis.

In evaluating the State's multi-year operating forecast, it should be noted that the reliability of the estimates and projections as a predictor of the State's future financial position is likely to diminish, the further removed such estimates and projections are from the date of the Financial Plan. Accordingly, in terms of outyear projections, the first "outyear" of the FY 2018 budget, FY 2019, is the most relevant from a planning perspective.

State Financial Plan Projections Fiscal Years 2018 Through 2021



Summary

The Financial Plan reflects a 2 percent annual growth in State Operating Funds, consistent with the expectation of adherence with a 2 percent spending benchmark.

The projections for FY 2019 and thereafter set forth in the Financial Plan reflect savings that DOB estimates would be realized if the Governor continues to propose, and the Legislature continues to enact, balanced budgets in future years that limit annual growth in State Operating Funds spending to no greater than 2 percent. The calculations are developed using the State Operating Funds accounting perspective, as it is currently reflected in the Financial Plan. From time to time, the State has approved legislation that has affected the spending reflected in State Operating Funds.

Estimated savings are labeled on a distinct line in the Financial Plan tables as “Adherence to 2 percent Spending Benchmark.” The total disbursements in the Financial Plan tables do not assume these savings. Such savings will be developed and proposed in future budgets. If the State exceeds the 2 percent State Operating Funds spending benchmark in FY 2019, FY 2020, and/or FY 2021, the projected operating position could decline.

The following tables present the Financial Plan multi-year projections for the General Fund and State Operating Funds, as well as reconciliation between the State Operating Funds projections and the General Fund budget gaps. The tables are followed by a summary of the multi-year receipts and disbursements forecasts.

General Fund Projections

GENERAL FUND PROJECTIONS (millions of dollars)					
	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
RECEIPTS					
Taxes (After Debt Service)	62,264	65,554	67,899	70,552	72,950
Miscellaneous Receipts/Federal Grants	3,813	2,742	2,155	2,159	2,082
Other Transfers	818	1,210	729	713	712
Total Receipts	66,895	69,506	70,783	73,424	75,744
DISBURSEMENTS					
Local Assistance	44,439	46,752	49,968	53,075	55,728
School Aid	21,017	22,296	23,423	24,539	25,781
Medicaid/EP	12,447	13,358	14,258	15,391	16,260
All Other	10,975	11,098	12,287	13,145	13,687
State Operations	8,087	8,213	8,750	9,105	9,575
Personal Service	6,065	5,981	6,249	6,506	6,950
Non-Personal Service	2,022	2,232	2,501	2,599	2,625
General State Charges	5,462	5,713	6,152	6,583	7,090
Transfers to Other Funds	10,092	9,695	12,018	12,133	12,057
Debt Service	924	916	1,144	1,042	1,067
Capital Projects	2,569	2,192	3,950	3,755	3,382
State Share of Mental Hygiene Medicaid	1,239	1,301	1,231	1,119	1,119
SUNY Operations	996	1,022	1,021	1,020	1,021
All Other	4,364	4,264	4,672	5,197	5,468
Total Disbursements	68,080	70,373	76,888	80,896	84,450
Use (Reservation) of Fund Balance:	1,185	867	1,662	1,087	653
Community Projects	7	17	0	0	0
Labor Agreements	140	(130)	0	0	0
Undesignated Fund Balance	73	14	0	0	0
Rainy Day Reserve	0	0	0	0	0
Financial Plan Risk	0	0	0	0	0
Debt Management	0	0	0	0	0
Extraordinary Monetary Settlements ¹	965	966	1,662	1,087	653
BUDGET SURPLUS/(GAP) PROJECTIONS²	0	0	(4,443)	(6,385)	(8,053)
Adherence to 2% Spending Benchmark³	n/a	n/a	2,706	5,491	7,733
BUDGET SURPLUS/(GAP) PROJECTIONS	0	0	(1,737)	(894)	(320)

¹ Reflect transfers of Extraordinary Monetary Settlement funds from the General Fund to the Dedicated Infrastructure Investment Fund, the Environmental Protection Fund, and the Capital Projects Fund.

² Before actions to adhere to the 2 percent benchmark.

³ Represents calculated savings from limiting annual spending growth in future years to 2 percent and assumes all savings from holding spending growth to 2 percent are made available to the General Fund. The calculated savings is based on the current FY 2018 SOF spending estimate. The Governor is expected to propose, and negotiate with the Legislature to enact, budgets in each fiscal year that hold State Operating Funds spending growth to 2 percent. Total disbursements in Financial Plan tables and discussions do not reflect these savings. If the 2 percent State Operating Funds spending benchmark is not adhered to, the projected budget gaps would be higher (or the projected surpluses lower).

State Financial Plan Projections Fiscal Years 2018 Through 2021



State Operating Funds Projections

STATE OPERATING FUNDS PROJECTIONS (millions of dollars)					
	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
RECEIPTS					
Taxes	72,989	75,755	78,966	82,233	84,886
Miscellaneous Receipts/Federal Grants	21,830	20,239	18,919	18,831	18,594
Total Receipts	94,819	95,994	97,885	101,064	103,480
DISBURSEMENTS					
Local Assistance	64,369	66,053	68,844	72,006	74,712
School Aid (School Year Basis)	24,689	25,727	26,827	27,950	29,260
DOH Medicaid ¹	18,243	19,085	20,135	21,162	21,990
Transportation	4,977	5,024	5,077	5,185	5,249
STAR	3,139	2,630	2,520	2,453	2,437
Higher Education	2,874	2,832	3,080	3,156	3,206
Social Services	2,935	2,964	3,044	3,197	3,280
Mental Hygiene	2,461	2,487	2,936	3,212	3,424
All Other ²	5,051	5,304	5,225	5,691	5,866
State Operations	18,680	18,785	19,133	19,549	20,268
Personal Service	13,093	13,037	13,251	13,614	14,278
Non-Personal Service	5,587	5,748	5,882	5,935	5,990
General State Charges	7,634	7,978	8,485	8,999	9,604
Pension Contribution	2,446	2,460	2,526	2,585	2,749
Health Insurance	3,708	3,956	4,189	4,495	4,810
All Other	1,480	1,562	1,770	1,919	2,045
Debt Service	5,514	5,288	6,310	7,004	7,257
Capital Projects	2	0	0	0	0
Total Disbursements³	96,199	98,104	102,772	107,558	111,841
Net Other Financing Sources/(Uses)	364	740	(989)	(714)	(296)
RECONCILIATION TO GENERAL FUND GAP					
Designated Fund Balances:	1,016	1,370	1,433	823	604
General Fund	1,185	867	1,662	1,087	653
Special Revenue Funds	(185)	506	(225)	(259)	(44)
Debt Service Funds	16	(3)	(4)	(5)	(5)
GENERAL FUND BUDGET SURPLUS/(GAP)³	0	0	(4,443)	(6,385)	(8,053)
Adherence to 2% Spending Benchmark⁴	n/a	n/a	2,706	5,491	7,733
GENERAL FUND BUDGET SURPLUS/(GAP)	0	0	(1,737)	(894)	(320)

¹ Includes the Essential Plan (EP), which is an insurance plan for individuals who are not eligible for Medicaid and who meet certain income threshold standards. The EP is not a Medicaid program; however, State-funded support is managed within total DOH Medicaid Global Cap resources. In addition, total State share Medicaid funding includes the utilization of tobacco MSA proceeds which will be directly deposited to the MMIS Escrow Fund to cover a portion of local Medicaid growth.

² All Other includes other education, parks, environment, economic development, public safety, and reconciliation between school year and State fiscal year spending on School Aid.

³ Before actions to adhere to the 2 percent benchmark.

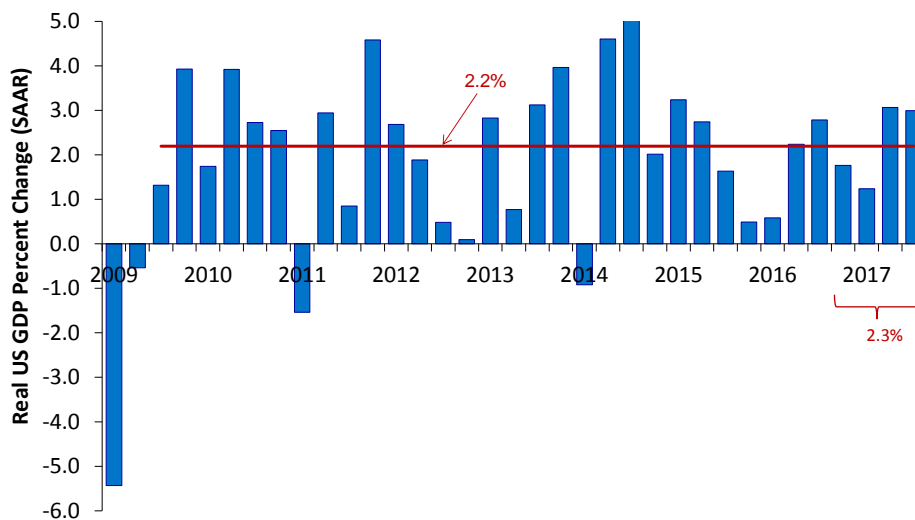
⁴ Represents calculated savings from limiting annual spending growth in future years to 2 percent and assumes all savings from holding spending growth to 2 percent are made available to the General Fund. The calculated savings is based on the current FY 2018 SOF spending estimate. The Governor is expected to propose, and negotiate with the Legislature to enact, budgets in each fiscal year that hold State Operating Funds spending growth to 2 percent. Total disbursements in Financial Plan tables and discussions do not reflect these savings. If the 2 percent State Operating Funds spending benchmark is not adhered to, the projected budget gaps would be higher (or the projected surpluses lower).

Economic Backdrop

The National Economy

Despite three devastating hurricanes during August and September 2017, the national economy entered the fourth quarter of calendar year 2017 with substantial momentum, having grown by 3.1 percent and 3.0 percent in the second and third quarters, respectively. Nevertheless, the damage inflicted by Hurricanes Harvey, Irma, and Maria cannot be overstated. Preliminary estimates from the U.S. BEA indicate that Hurricanes Harvey and Irma resulted in losses of \$121.0 billion in privately-owned fixed assets and \$10.4 billion in government-owned fixed assets. But recovery from the storms appears to be proceeding at a brisk pace. Indeed, light vehicle sales were historically strong during the months of September and October as Gulf Coast residents quickly replaced autos destroyed by intense and prolonged rains and flooding. DOB expects that the ongoing replacement of damaged goods and equipment, along with a continued inventory buildup, will support above trend growth in the fourth quarter.

US Economic Growth Improving But Unlikely to Remain at 3 Percent



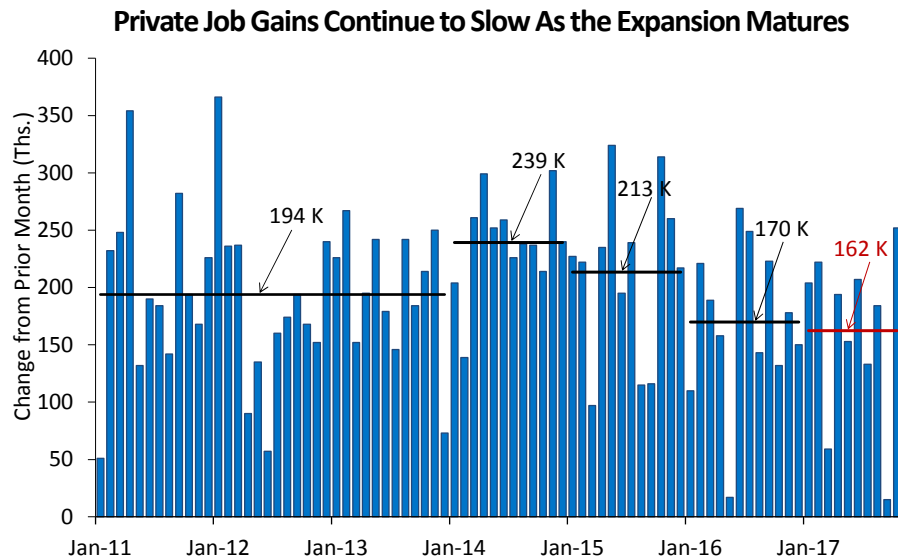
Source: Moody's Analytics.

However, we note that the strong second and third quarter performances followed subpar growth of 1.8 percent and 1.2 percent in the prior two quarters. Thus, growth for the most recent four quarters averaged 2.3 percent, only marginally above the average for the expansion to date. The national economy is estimated to grow 2.2 percent in 2017, well above last year's growth of 1.5 percent, but no better than the economy's recent average performance.

State Financial Plan Projections Fiscal Years 2018 Through 2021



Hurricanes Harvey and Irma appear to have injected a large degree of volatility into the national labor market data. After adding only 15,000 private sector jobs in September, the labor market came roaring back in October with a gain of 252,000. Above trend growth is expected for November as well. But on balance, the average monthly job gain for 2017 is virtually unchanged from July. Total nonagricultural employment growth of 1.5 percent is projected for 2017, a significant deceleration from the 1.8 percent growth experienced in 2016. Employment growth is expected to slow further as the expansion matures, with average monthly gains of 150,000 projected for 2018, more than sufficient to continue bringing down the unemployment rate. Although the conventional unemployment rate has fallen to 4.1 percent, its lowest level in 17 years, broader measures of under-employment, including the percentage of the workforce working part-time, remain elevated, an indication that some labor market slack remains.



Source: Moody's Analytics.

The persistence of labor market slack indicates that the labor market still has room to run without imposing more than modest upward pressure on wages, except in those pockets of the labor market where shortages have emerged. Anecdotal evidence suggests that construction is one such area. Though third quarter storms added some volatility to the wage data, DOB continues to project wage growth of 3.4 percent for 2017, following growth of 2.9 percent for 2016. Overall personal income growth of 3.2 percent is estimated for 2017, following growth of 2.4 percent for 2016.

Unusually warm winter weather pulled new residential construction forward into the first quarter of 2017, which was immediately followed by two consecutive quarters of decline. Moreover, these declines were accompanied by higher home prices, underlining the uneven performance of the nation's housing market. Growth is expected to return to the housing market in the fourth quarter, due in part to the rebuilding and repair of homes damaged or destroyed by the hurricanes. But fourth quarter growth is unlikely to be rise above 5 percent due at least in part to shortages of skilled laborers. DOB now estimates real growth in residential fixed investment of 1.2 percent for 2017, representing a substantial downward revision from the First Quarterly Update forecast, and a significant deceleration from the 5.5 percent growth experienced in 2016.

U.S. ECONOMIC INDICATORS (Percent change from prior calendar year)			
	2016 <u>(Actual)</u>	2017 <u>(Forecast)</u>	2018 <u>(Forecast)</u>
Real U.S. Gross Domestic Product	1.5	2.2	2.5
Consumer Price Index (CPI)	1.3	2.1	2.1
Personal Income	2.4	3.2	4.1
Nonagricultural Employment	1.8	1.5	1.4

Source: Moody's Analytics; DOB staff estimates.

The global economy appears to be entering a long-awaited period of synchronized growth, which, along with a dollar that has remained below its most recent peak, points to stronger growth in global demand for U.S. exports and strengthening corporate earnings going forward. Indeed, export data through the first nine months of this year have been stronger than projected in the First Quarterly Update forecast. As a result, real export growth for 2017 has been revised up to 3.3 percent, following a 0.3 percent decline in 2016. Increases in global demand for U.S. exports are also aiding in the recovery of business investment from a decline in 2016. Nonresidential fixed investment exhibited average quarterly growth close to 7 percent in the first half of 2017, fueled in part by growth in the energy production sector. However, with the rig count flattening and disruptions to oil production due to the hurricanes, DOB expects growth in energy-related investment to decelerate in the second half of 2017. As a result, real growth in business fixed investment has been revised down slightly to 4.2 percent for 2017, following a decline of 0.6 percent for 2016.

Hurricane Harvey stymied between 20 percent and 40 percent of U.S. refining capacity, while Hurricane Irma disrupted the supply chain needed to deliver gasoline to Florida. Both of these developments helped drive gasoline prices higher, boosting headline inflation in the third quarter of this year. Over the same period, increased energy prices impacted transportation costs and airfares, putting modest upward pressure on core inflation. Consumer price inflation of 2.1 percent is estimated for 2017, two-tenths of a percentage point above the First Quarterly Update forecast. However, the hurricane impact on inflation is likely to be transitory, and DOB expects inflation to take a gradual path toward the Federal Reserve's long-term target of 2.0 percent.

State Financial Plan Projections Fiscal Years 2018 Through 2021



After the Federal Open Market Committee announced an October 2017 plan to gradually reduce and normalize its \$4.5 trillion balance sheet, long-term interest rates have made several attempts to inch up accordingly, but none of these attempts have been sustained. DOB continues to expect that the Federal Reserve's balance sheet normalization plan will further lift long-term interest rates over the medium to long term, helping to steepen the yield curve. However, the ten-year Treasury yield has remained stubbornly low, with the implication that if the Federal Reserve raises its short-term interest rate target as expected in December 2017, the yield curve will flatten further. Although lower long-term interest rates represent a boon to the continued recovery of the housing market, a flatter yield curve could crimp banking sector profits over the near term.

DOB's baseline forecast continues to abstract from future fiscal policy changes at the Federal level, but the Federal tax reform packages now taking shape present both upside and downside risks to the forecast. Policies that stimulate more private business investment than anticipated could result in stronger growth in both the near term and the long term, particularly if those investments raise productivity growth. On the negative side, policies that substantially widen the Federal budget deficit without enhancing productivity growth could result in both accelerating inflation and higher interest rates, which, in turn, could result in weaker household and business investment spending than anticipated.

Additionally, a stronger rebound from the summer's severe storms could result in stronger employment and income growth than currently projected. A stronger housing market or stronger global growth than projected could have a similar result. In contrast, a heightening of international tensions could reduce global growth, resulting in weaker growth in U.S. exports, corporate profits, and equity market prices. Finally, the response of both domestic and global financial markets to the unwinding of the Federal Reserve's unprecedentedly accommodative policies continues to pose risks, particularly in light of the uncertainty stemming from the fiscal policy side.

The New York State Economy

New York State's private-sector labor market appears to be stabilizing after a substantial slowdown in 2016. After 10 consecutive quarters of over 2 percent growth, the private job growth rate fell to 1.8 percent in the second quarter of 2016, declining further to 1.4 percent by the final quarter of 2016. The deceleration in State private sector job growth occurred against a backdrop of weak national and global growth, from which New York was not immune. A stabilizing job market is consistent with the strengthening in the national economy that began in the second quarter. Although unusually warm weather likely boosted State job growth slightly above trend in the first quarter of 2017, only modest declines in growth are projected going forward, consistent with a similar national trend. On balance, the forecast for private-sector job growth for 2017 remains virtually unchanged from the First Quarterly Update estimate of 1.4 percent, following 1.8 percent growth in 2016. Total employment growth of 1.3 percent is projected for 2017, after growth of 1.6 percent in 2016.

The post-election anticipation of lower personal income tax rates at the Federal level resulted in a significant shifting of wages, most likely in the form of bonus payouts, from the end of 2016 into the beginning of 2017. Following a 1.1 percent fourth quarter decline, total State wages for the first quarter of 2017 exhibited strong growth of 7.5 percent. In light of the updated data, State wage growth for FY 2017 has been revised up by 0.4 percent to 3.8 percent. As the revision was primarily concentrated in the finance and insurance sector, FY 2017 finance and insurance sector bonus growth has been revised up from 2.0 percent to 5.9 percent, with non-bonus wage growth unchanged from the First Quarterly Update forecast.

Although Wall Street revenues had a strong start in the first quarter of 2017, they have deteriorated over the course of the year, despite record-breaking equity market valuations and accelerating national and global growth. Low volatility has been blamed for reducing not only trading volumes, but also financial firm revenues earned from trading activity. However, the uncertainty surrounding prospects for tax reform may also have been depressing activity, with investors possibly delaying financial transactions in the hope of a lower tax rate effective in 2018. Similarly, declining growth in bank lending since the end of 2016 could also indicate that at least some decisions related to both capital and real estate spending may have also been delayed, as changes to the nation’s corporate tax laws are debated and take shape. Consequently, projected FY 2018 finance and insurance sector bonus growth has been revised down to 3.4 percent. Overall wage growth of 3.7 percent is projected for FY 2018, 0.6 percent lower than the First Quarterly Update forecast.

NEW YORK STATE ECONOMIC INDICATORS (Percent change from prior State fiscal year)			
	FY 2016 (Actual)	FY 2017 (Estimated)	FY 2018 (Forecast)
Personal Income	3.9	2.7	3.4
Wages	4.3	3.8	3.7
Nonagricultural Employment	1.9	1.5	1.2

Source: Moody’s Analytics; New York State Department of Labor; DOB staff estimates.

At present, the debate over changes to Federal tax policy may represent the greatest source of uncertainty surrounding the underlying growth in State wage and non-wage income. With taxpayers strategically responding to anticipated changes in tax policy, the uncertainty that typically surrounds the forecast for bonuses and various forms of taxable non-wage income is heightened even further. For example, capital gains realizations are estimated to have fallen close to 20 percent in tax year 2016. At least a portion of that decline likely was due to taxpayers deferring financial transactions in anticipation of a lower tax rate effective in 2017. That tax rate reduction never materialized. As a result, a moderate rebound in realizations was projected for 2017. However, weak personal income tax collections indicate that the 2017 rebound may be weaker than anticipated in the First Quarterly Update forecast. Those same taxpayers that deferred transactions in 2016 may have acted similarly in 2017. Although the tax policy changes now being debated in Congress do not appear to include a reduction in the long-term capital gains tax rate, much uncertainty remains. Consequently, capital gains realizations growth for 2017 has been revised down to 8.5 percent.

State Financial Plan Projections Fiscal Years 2018 Through 2021



In addition to the uncertainty surrounding tax reform, all risks to the U.S. forecast apply to the State forecast as well as the nation's financial capital, however, New York faces a particularly large degree of uncertainty associated with both the volume of financial market activity and the volatility in equity markets. Under a still evolving regulatory environment, the pattern of Wall Street bonus payouts continues to shift, with payments now more widely dispersed throughout the year. Taxable payouts can represent both current-year awards and deferred payments from prior years, with the deferral ratio itself proving to be unstable. These risks are further amplified by recent actions taken by the Federal Reserve to normalize both interest rates and its balance sheet. In contrast, stronger national and global growth could result in stronger employment and wage growth than projected. Finally, the net impact of Federal tax reform on New York State disposable income will depend on the details of the final version of the bill that passes both houses of Congress. To the extent that those details are known before the release date of the Executive Budget in January 2018, an impact analysis will be provided therein.

Receipts

Financial Plan receipts include a variety of taxes, fees and assessments, charges for State-provided services, Federal grants, and other miscellaneous receipts, as well as collection of a payroll tax on businesses in the MTA region. The multi-year tax and miscellaneous receipts estimates are prepared by DOB with the assistance of the Department of Taxation and Finance (DTF) and other agencies responsible for collecting State receipts, and are predicated on economic analysis and forecasts.

Overall base growth in tax receipts is dependent on many factors. In general, base tax receipts growth rates are determined by economic changes including, but not limited to, changes in interest rates, prices, wages, employment, non-wage income, capital gains realizations, taxable consumption, corporate profits, household net worth, real estate prices and gasoline prices. Federal law changes can influence taxpayer behavior, which often alters base tax receipts. State taxes account for approximately half of total All Funds receipts.

The projections of Federal receipts generally correspond to the anticipated spending levels of a variety of programs including Medicaid, public assistance, mental hygiene, education, public health, and other activities, including extraordinary aid.

Where noted, certain tables in the following section display General Fund tax receipts that exclude amounts transferred to the General Fund in excess of amounts needed for certain debt service obligations (e.g., PIT receipts in excess of the amount transferred for debt service on revenue bonds).

State Financial Plan Projections Fiscal Years 2018 Through 2021



Overview of the Receipts Forecast

All Funds receipts in FY 2018 are projected to total \$162.2 billion, an increase of 3.7 percent above FY 2017 results.

ALL FUNDS RECEIPTS (millions of dollars)									
	FY 2017 Results	FY 2018 Updated	Change	FY 2019 Projected	Change	FY 2020 Projected	Change	FY 2021 Projected	Change
Personal Income Tax	47,565	48,632	2.2%	51,123	5.1%	53,168	4.0%	54,716	2.9%
Consumption/Use Taxes	16,212	16,753	3.3%	17,345	3.5%	17,893	3.2%	18,456	3.1%
Business Taxes	6,979	7,879	12.9%	8,098	2.8%	8,608	6.3%	8,980	4.3%
Other Taxes	2,236	2,386	6.7%	2,302	-3.5%	2,409	4.6%	2,510	4.2%
Payroll Mobility Tax	1,380	1,438	4.2%	1,503	4.5%	1,578	5.0%	1,645	4.2%
Total State Taxes	74,372	77,088	3.7%	80,371	4.3%	83,656	4.1%	86,307	3.2%
Miscellaneous Receipts	26,594	27,736	4.3%	26,638	-4.0%	26,218	-1.6%	25,401	-3.1%
Federal Receipts	55,406	57,348	3.5%	58,886	2.7%	59,686	1.4%	60,196	0.9%
Total All Funds Receipts	156,372	162,172	3.7%	165,895	2.3%	169,560	2.2%	171,904	1.4%

State tax receipts are projected to increase 3.7 percent in FY 2018, with increases across all tax categories. The projected rebound to moderate growth is due mainly to the impact of the 2016 corporate franchise tax rate cut, which reduced the growth rate in FY 2017 but not in FY 2018.

Consistent with the projected growth in the State economy over the multi-year Financial Plan period beyond FY 2018, most tax categories are projected to exhibit annual out-year growth.

After controlling for the impact of tax law changes, base tax revenue decreased 0.1 percent in FY 2017, and is projected to increase by 3.6 percent in FY 2018 and 4.6 percent in FY 2019.

Personal Income Tax

PERSONAL INCOME TAX (millions of dollars)									
	FY 2017	FY 2018		FY 2019		FY 2020		FY 2021	
	Results	Updated	Change	Projected	Change	Projected	Change	Projected	Change
STATE/ALL FUNDS	47,565	48,632	2.2%	51,123	5.1%	53,168	4.0%	54,716	2.9%
Gross Collections	56,517	58,560	3.6%	62,241	6.3%	65,245	4.8%	66,071	1.3%
Refunds (Incl. State/City Offset)	(8,952)	(9,928)	-10.9%	(11,118)	-12.0%	(12,077)	-8.6%	(11,355)	6.0%
GENERAL FUND¹	32,535	33,844	4.0%	35,822	5.8%	37,423	4.5%	38,652	3.3%
Gross Collections	56,517	58,560	3.6%	62,241	6.3%	65,245	4.8%	66,071	1.3%
Refunds (Incl. State/City Offset)	(8,952)	(9,928)	-10.9%	(11,118)	-12.0%	(12,077)	-8.6%	(11,355)	6.0%
STAR	(3,139)	(2,630)	16.2%	(2,520)	4.2%	(2,453)	2.7%	(2,385)	2.8%
RBTF	(11,891)	(12,158)	-2.2%	(12,781)	-5.1%	(13,292)	-4.0%	(13,679)	-2.9%

¹Excludes Transfers.

All Funds PIT receipts for FY 2018 are projected to total \$48.6 billion, an increase of \$1.1 billion (2.2 percent) from FY 2017 results. This increase is driven by growth in withholding and estimated payments for tax year 2017. Growth in these categories is partially offset by declines in final returns and extension payments attributable to the 2016 tax year, in addition to an increase in total refunds.

The following table summarizes, by component, actual receipts for FY 2017 and forecast amounts through FY 2021.

State Financial Plan Projections Fiscal Years 2018 Through 2021



ALL FUNDS PERSONAL INCOME TAX FISCAL YEAR COLLECTION COMPONENTS (millions of dollars)					
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	Results	Updated	Projected	Projected	Projected
Receipts					
Withholding	37,524	39,459	41,314	42,557	43,543
Estimated Payments	14,972	15,224	16,821	18,369	18,012
Current Year	10,912	11,778	12,729	13,742	12,583
Prior Year ¹	4,060	3,446	4,092	4,627	5,429
Final Returns	2,588	2,461	2,619	2,768	2,928
Current Year	260	274	289	304	319
Prior Year ¹	2,328	2,187	2,330	2,464	2,609
Delinquent	1,433	1,416	1,487	1,551	1,588
Gross Receipts	56,517	58,560	62,241	65,245	66,071
Refunds					
Prior Year ¹	5,199	6,115	6,698	7,239	7,693
Previous Years	474	500	525	555	585
Current Year ¹	1,750	1,751	1,750	1,750	1,749
Advanced Credit Payment	678	689	1,247	1,709	479
State/City Offset ¹	851	873	898	824	849
Total Refunds	8,952	9,928	11,118	12,077	11,355
Net Receipts	47,565	48,632	51,123	53,168	54,716

¹These components, collectively, are known as the "settlement" on the prior year's tax liability.

Withholding in FY 2018 is projected to be \$1.9 billion (5.2 percent) higher than FY 2017 results, driven by moderate wage growth partially associated with improved bonus growth. Extension payments related to tax year 2016 are expected to decline by \$614 million (15.1 percent), primarily due to declines in capital gains resulting, in part, from taxpayer uncertainty regarding potential tax year 2017 Federal tax rate cuts. Estimated payments for tax year 2017 are projected to grow \$866 million (7.9 percent), driven by non-wage income growth of 7.7 percent, including 9.2 percent growth in net capital gains. FY 2018 final return payments and delinquencies are projected to decline by \$127 million (4.9 percent) and \$17 million (1.2 percent), respectively.

The projected growth in total refunds of \$976 million (10.9 percent) includes increases of \$916 million (17.6 percent) in prior (tax year 2016) refunds, \$26 million (5.5 percent) in previous (tax year 2015 and earlier) refunds, \$11 million (1.6 percent) in advanced credit payments related to tax year 2017, and \$22 million (2.6 percent) in the state-city offset.

General Fund PIT receipts are net of deposits to the STAR Fund, which provides property tax relief, and the Revenue Bond Tax Fund (RBTF), which supports debt service payments on State PIT revenue bonds. General Fund PIT receipts for FY 2018 of \$33.8 billion are projected to increase by \$1.3 billion (4 percent) from FY 2017 results, mainly reflecting the increase in All Funds receipts noted above. RBTF deposits are projected to be \$12.2 billion and the STAR transfer is projected to be \$2.6 billion.

All Funds PIT receipts for FY 2019 of \$51.1 billion are projected to increase by \$2.5 billion (5.1 percent) from FY 2018 estimates. Gross PIT receipts are projected to increase 6.3 percent, reflecting withholding that is projected to grow by \$1.9 billion (4.7 percent) and estimated payments related to tax year 2018 that are projected to grow by \$951 million (8.1 percent). Payments from extensions for tax year 2017 are projected to increase by \$646 million (18.7 percent), reflecting the taxpayer behavior related to potential Federal tax rate changes noted earlier. Final returns are expected to increase by \$158 million (6.4 percent) and delinquencies are projected to increase \$71 million (5 percent) from the prior year. Total refunds are projected to increase by \$1.2 billion (12 percent) from the prior year, primarily due to the property tax relief credit enacted in 2015 and the recent conversions of New York City STAR benefits into State tax credits.

General Fund PIT receipts for FY 2019 of \$35.8 billion are projected to increase by \$2 billion (5.8 percent). RBTF deposits are projected to be \$12.8 billion, and the STAR transfer is projected to be \$2.5 billion.

All Funds PIT receipts for FY 2020 of \$53.2 billion are projected to increase by over \$2 billion (4 percent) from FY 2019 estimates. Gross PIT receipts are projected to increase 4.8 percent, reflecting withholding that is projected to grow by \$1.2 billion (3 percent). The relatively low growth rate reflects the expiration of the FY 2018 Enacted Budget two-year high-income surcharge extension, scheduled to sunset after tax year 2019. Estimated payments related to tax year 2019 are projected to grow by \$1 billion (8 percent), payments from extensions for tax year 2018 are projected to increase by \$535 million (13.1 percent), and final returns are expected to increase by \$149 million (5.7 percent). Delinquencies are projected to increase \$64 million (4.3 percent) from the prior year. Total refunds are projected to increase by \$959 million (8.6 percent) from the prior year.

General Fund PIT receipts for FY 2020 of \$37.4 billion are projected to increase by \$1.6 billion (4.5 percent). RBTF deposits are projected to be \$13.3 billion, and the STAR transfer is projected to be \$2.5 billion.

All Funds PIT receipts in FY 2021 are projected to increase by over \$1.5 billion to \$54.7 billion, while General Fund PIT receipts are projected to total \$38.7 billion. This projected modest growth is driven by the scheduled expiration of the high-income surcharge rate extension beginning in tax year 2020, combined with continued phase-in of the FY 2017 Enacted Budget middle income tax cuts.

State Financial Plan Projections Fiscal Years 2018 Through 2021



Consumption/Use Taxes

CONSUMPTION/USE TAXES (millions of dollars)									
	FY 2017	FY 2018		FY 2019		FY 2020		FY 2021	
	Results	Updated	Change	Projected	Change	Projected	Change	Projected	Change
STATE/ALL FUNDS	16,212	16,753	3.3%	17,345	3.5%	17,893	3.2%	18,456	3.1%
Sales Tax	13,869	14,510	4.6%	15,090	4.0%	15,676	3.9%	16,273	3.8%
Cigarette and Tobacco Taxes	1,236	1,177	-4.8%	1,137	-3.4%	1,091	-4.0%	1,048	-3.9%
Motor Fuel Tax	519	515	-0.8%	512	-0.6%	507	-1.0%	504	-0.6%
Highway Use Tax	138	96	-30.4%	142	47.9%	142	0.0%	143	0.7%
Alcoholic Beverage Taxes	258	262	1.6%	267	1.9%	272	1.9%	276	1.5%
Medical Marihuana Excise Tax	1	1	0.0%	1	0.0%	1	0.0%	1	0.0%
Taxicab Surcharge	64	59	-7.8%	59	0.0%	59	0.0%	59	0.0%
Auto Rental Tax	127	133	4.7%	137	3.0%	145	5.8%	152	4.8%
GENERAL FUND¹	7,101	7,386	4.0%	7,655	3.6%	7,924	3.5%	8,197	3.4%
Sales Tax	6,483	6,784	4.6%	7,057	4.0%	7,332	3.9%	7,612	3.8%
Cigarette and Tobacco Taxes	360	340	-5.6%	331	-2.6%	320	-3.3%	309	-3.4%
Alcoholic Beverage Taxes	258	262	1.6%	267	1.9%	272	1.9%	276	1.5%

¹Excludes Transfers.

All Funds consumption/use tax receipts for FY 2018 are projected to total nearly \$16.8 billion, a \$541 million (3.3 percent) increase from FY 2017 results. Sales tax receipts are projected to increase \$641 million (4.6 percent) from the prior year, reflecting base growth (i.e., absent law changes) of 4.7 percent. This base growth stems from projected disposable income and consumption growth. Cigarette and tobacco tax collections are projected to decrease by \$59 million (4.8 percent), reflecting a trend decline in taxable cigarette consumption. Highway use tax (HUT) collections are projected to decrease by \$42 million (30.4 percent) due to a \$44 million increase in refund payments resulting from the Independent Owner Operator Drivers Association v. New York Department of Taxation and Finance court decision. Motor fuel tax collections are projected to decrease by \$4 million (0.8 percent), reflecting higher refunds, which are partially offset by slight growth in both taxable motor fuel and diesel fuel consumption. Taxicab receipts are estimated to decline by \$5 million (7.8 percent) resulting from consumers choosing alternative transportation services not subject to the surcharge. Auto rental tax receipts are projected to increase by \$6 million (4.7 percent).

General Fund sales tax receipts are net of deposits to the Local Government Assistance Tax Fund (25 percent), and the Sales Tax Revenue Bond Fund (25 percent), which support debt service payments on bonds issued under LGAC and State Sales Tax Revenue Bond programs. Receipts in excess of the debt service requirements of the funds and the local assistance payments to New York City, or its assignee, are transferred back to the General Fund.

General Fund consumption/use tax receipts for FY 2018 are projected to total nearly \$7.4 billion, a \$285 million (4 percent) increase from FY 2017 results. This increase largely reflects the All Funds sales and use tax and cigarette and tobacco tax trends, noted above.

All Funds consumption/use tax receipts for FY 2019 are projected to total over \$17.3 billion, a \$592 million (3.5 percent) increase from FY 2018 estimates. The projected \$580 million (4 percent) increase in sales tax receipts reflects sales tax base growth of 3.3 percent, a decrease from FY 2018 related to the projected slower growth in both the consumption of taxable goods and disposable income. HUT receipts are projected to increase \$46 million (47.9 percent) as long-term trend levels are resumed following the previous year's refund increases noted above. A continued trend decline in taxable cigarette consumption is also projected.

General Fund consumption/use tax receipts are projected to be nearly \$7.7 billion in FY 2019, a \$269 million (3.6 percent) increase from FY 2018. The projected increase largely reflects the All Funds sales and use tax and cigarette and tobacco tax trends, noted above.

All Funds consumption/use tax receipts for FY 2020 are projected to be nearly \$17.9 billion, a \$548 million (3.2 percent) increase from FY 2019. The projected \$586 million (3.9 percent) increase in sales tax receipts reflects sales tax base growth of 3.9 percent, and is slightly offset by a trend decline in taxable cigarette consumption. FY 2020 General Fund consumption/use tax receipts are projected to increase to \$7.9 billion, a \$269 million (3.5 percent) increase from FY 2019 projections.

All Funds consumption/use tax receipts are projected to reach nearly \$18.5 billion (3.1 percent growth) in FY 2021, largely representing base growth in sales tax receipts, slightly offset by a continued trend decline in taxable cigarette consumption.

General Fund consumption/use tax receipts are projected to increase to nearly \$8.2 billion (3.4 percent growth) in FY 2021, reflecting the All Funds sales and use tax and cigarette and tobacco tax trends, noted above.

State Financial Plan Projections Fiscal Years 2018 Through 2021



Business Taxes

BUSINESS TAXES (millions of dollars)									
	FY 2017	FY 2018		FY 2019		FY 2020		FY 2021	
	Results	Updated	Change	Projected	Change	Projected	Change	Projected	Change
STATE/ALL FUNDS	6,979	7,879	12.9%	8,098	2.8%	8,608	6.3%	8,980	4.3%
Corporate Franchise Tax	3,166	3,809	20.3%	4,259	11.8%	4,716	10.7%	5,018	6.4%
Corporation and Utilities Tax	720	780	8.3%	744	-4.6%	754	1.3%	764	1.3%
Insurance Tax	1,580	1,691	7.0%	1,809	7.0%	1,895	4.8%	2,035	7.4%
Bank Tax	389	499	28.3%	143	-71.3%	71	-50.3%	0	-100.0%
Petroleum Business Tax	1,124	1,100	-2.1%	1,143	3.9%	1,172	2.5%	1,163	-0.8%
GENERAL FUND	4,761	5,598	17.6%	5,707	1.9%	6,136	7.5%	6,473	5.5%
Corporate Franchise Tax	2,476	3,041	22.8%	3,397	11.7%	3,803	12.0%	4,068	7.0%
Corporation and Utilities Tax	538	596	10.8%	563	-5.5%	569	1.1%	575	1.1%
Insurance Tax	1,410	1,524	8.1%	1,625	6.6%	1,704	4.9%	1,830	7.4%
Bank Tax	337	437	29.7%	122	-72.1%	60	-50.8%	0	-100.0%
Petroleum Business Tax	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%

All Funds business tax receipts for FY 2018 are projected to total \$7.9 billion, an increase of \$900 million (12.9 percent) from FY 2017 results. The estimate reflects increases for all business taxes apart from a \$24 million decline in the petroleum business tax (PBT).

Corporation franchise tax receipts are projected to increase \$643 million (20.3 percent) in FY 2018, reflecting a rebound in gross receipts and audits. FY 2017 results were negatively impacted by a cut in the business income tax rate from 7.1 to 6.5 percent as well as a shortfall in cash remittances on tax year 2015 final returns. This lack of March 2017 cash remittances indicated taxpayers significantly overpaid on 2015 liability during previous estimated payment events. This is not expected to recur when tax year 2016 final returns are submitted in FY 2018. Audit receipts are projected to increase in FY 2018 (by \$115 million) as a greater number of large cases are expected to be closed.

Corporation and utilities tax receipts are projected to increase \$60 million (8.3 percent) in FY 2018. Gross receipts are expected to increase from FY 2017 results resulting from late filings of mandatory first installment payments. The 2017 mandatory first installment was due March 15 (i.e. in FY 2017), but several taxpayers did not file these payments until April 2017 (i.e. within FY 2018). Audits are expected to increase as several telecommunication company audits are closed.

Insurance tax receipts for FY 2018 are projected to increase \$111 million (7 percent) from FY 2017 results. Projected growth in tax year 2017 liability is partially offset by higher refunds as life insurers continue to claim the tax credit for assessments paid to the Life Insurance Guaranty Corporation (LIGC). The LIGC exists to protect policyholders from the insolvency of their life insurers. This is the second year of refund claims for the credit for assessments paid earlier.

Receipts from the repealed bank tax (all from prior liability periods) are projected to increase by \$110 million in FY 2018, stemming from higher audit receipts (additional \$60 million) and smaller prior period adjustments.

PBT receipts are projected to decline \$24 million (2.1 percent) in FY 2018, primarily due to the 5 percent decrease in the PBT rate index effective January 2017. This decline is partially offset by projected slight growth in both taxable motor fuel and diesel fuel consumption and the projected 5 percent increase in the PBT rate index effective January 2018.

General Fund business tax receipts for FY 2018 of \$5.6 billion are projected to increase \$837 million (17.6 percent) from FY 2017 results, reflecting the All Funds trends discussed above.

All Funds business tax receipts for FY 2019 of \$8.1 billion are projected to increase by \$219 million (2.8 percent) from current estimates. The corporation franchise tax receipts increase of \$450 million (11.8 percent) reflects projected growth in corporate profits and higher audits. The corporation and utilities tax receipts decline of \$36 million (4.6 percent) is attributable to the one-time late filings (April 2017) and higher audits related to telecommunication companies that are not expected to recur.

Insurance tax receipts for FY 2019 of \$1.8 billion are projected to increase \$118 million (7 percent) from current year estimates. Projected growth in insurance tax premiums combined with lower expected LIGC credit claims contribute to this year-over-year growth. Bank tax receipts are projected to decrease by \$356 million (71.3 percent), due to lower projected audit receipts. PBT receipts are projected to increase \$43 million (3.9 percent), primarily due to the projected 5 percent and 4.5 percent increases in the PBT rate index effective January 2018 and January 2019, respectively. These index-based increases are partially offset by a projected slight decline in taxable motor fuel and diesel fuel consumption.

General Fund business tax receipts for FY 2019 of nearly \$5.7 billion are projected to increase \$109 million (1.9 percent) from current year estimates, reflecting the All Funds trends discussed above.

All Funds business tax receipts for FY 2020 of \$8.6 billion are projected to increase by \$510 million (6.3 percent), and General Fund business tax receipts are projected to increase to \$6.1 billion (7.5 percent growth) from FY 2019 projections. The increase is primarily reflective of growth in corporation franchise tax receipts driven by higher gross receipts and lower refunds. Increases in projected corporation and utilities, insurance tax, and PBT receipts are partially offset by a decline in projected bank tax receipts.

All Funds business tax receipts for FY 2021 reflect projected trends in corporate profits, taxable insurance premiums, electric utility consumption and prices, the consumption of taxable telecommunications services, and automobile fuel consumption and fuel prices. In FY 2021, All Funds business tax receipts are projected to increase to nearly \$9 billion (4.3 percent growth), and General Fund business tax receipts are projected to increase to nearly \$6.5 billion (5.5 percent growth).

State Financial Plan Projections Fiscal Years 2018 Through 2021



Other Taxes

OTHER TAXES (millions of dollars)									
	FY 2017 Results	FY 2018 Updated	Change	FY 2019 Projected	Change	FY 2020 Projected	Change	FY 2021 Projected	Change
STATE/ALL FUNDS	2,236	2,386	6.7%	2,302	-3.5%	2,409	4.6%	2,510	4.2%
Estate Tax	1,091	1,173	7.5%	1,033	-11.9%	1,092	5.7%	1,155	5.8%
Gift Tax	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Real Property Gains Tax	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Real Estate Transfer Tax	1,126	1,196	6.2%	1,252	4.7%	1,300	3.8%	1,337	2.8%
Pari-Mutuel Taxes	16	15	-6.3%	15	0.0%	15	0.0%	15	0.0%
All Other Taxes	3	2	-33.3%	2	0.0%	2	0.0%	3	50.0%
GENERAL FUND¹	1,110	1,190	7.2%	1,050	-11.8%	1,109	5.6%	1,173	5.8%
Estate Tax	1,091	1,173	7.5%	1,033	-11.9%	1,092	5.7%	1,155	5.8%
Gift Tax	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Real Property Gains Tax	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Pari-Mutuel Taxes	16	15	-6.3%	15	0.0%	15	0.0%	15	0.0%
All Other Taxes	3	2	-33.3%	2	0.0%	2	0.0%	3	50.0%

¹Excludes Transfers.

All Funds other tax receipts for FY 2018 are estimated to total nearly \$2.4 billion, an increase of \$150 million (6.7 percent) from FY 2017 results. This is primarily due to an estimated \$70 million (6.2 percent) increase in real estate transfer tax receipts related to estimated growth in both housing starts and housing prices, combined with an estimated \$82 million (7.5 percent) increase in estate tax receipts owing to an unusually large payment that is partially offset by the continued phase-in of the increased filing threshold.

General Fund other tax receipts are estimated to be just under \$1.2 billion in FY 2018, an increase of \$80 million (7.2 percent) from FY 2017 results, reflecting the estate tax receipts increase noted above.

All Funds other tax receipts for FY 2019 are projected to total \$2.3 billion, an \$84 million (3.5 percent) decrease of from current year estimates. The \$140 million (11.9 percent) projected decline in estate tax receipts reflects a return to a historical number and average payment value of super-large (i.e. over \$25 million) payments. Real estate transfer tax receipts are projected to increase by \$56 million (4.7 percent), reflecting projected growth in housing starts and housing prices.

General Fund other tax receipts for FY 2019 are projected to be just under \$1.1 billion, declining \$140 million (11.8 percent) from current year estimates owing to the projected decline in estate tax receipts noted above.

All Funds other tax receipts for FY 2020 are projected to be over \$2.4 billion, a \$107 million (4.6 percent) increase from FY 2019 projections. Estate tax receipts are projected to increase by \$59 million (5.7 percent), reflecting projected growth in household net worth. The \$48 million (3.8 percent) projected increase in real estate transfer tax receipts reflects projected growth in housing starts and prices.

General Fund other tax receipts for FY 2020 are projected to total over \$1.1 billion, an increase of \$59 million (5.6 percent), resulting from the projected increase in estate tax receipts noted above.

All Funds other tax receipts for FY 2021 reflect projected trend growth in household net worth, housing starts, and housing prices. All Funds other tax receipts are projected to be over \$2.5 billion in FY 2021, a \$101 million (4.2 percent) increase over prior year projections. General Fund other tax receipts are projected to be slightly below \$1.2 billion, an annual increase of \$64 million (5.8 percent growth), reflecting the household net worth trend noted above.

Miscellaneous Receipts

MISCELLANEOUS RECEIPTS (millions of dollars)									
	FY 2017 Results	FY 2018 Updated	Change	FY 2019 Projected	Change	FY 2020 Projected	Change	FY 2021 Projected	Change
ALL FUNDS	26,594	27,736	4.3%	26,638	-4.0%	26,218	-1.6%	25,401	-3.1%
General Fund	3,813	2,742	-28.1%	2,155	-21.4%	2,159	0.2%	2,082	-3.6%
Special Revenue Funds	17,686	17,123	-3.2%	16,391	-4.3%	16,298	-0.6%	16,140	-1.0%
Capital Projects Funds	4,637	7,412	59.8%	7,634	3.0%	7,302	-4.3%	6,720	-8.0%
Debt Service Funds	458	459	0.2%	458	-0.2%	459	0.2%	459	0.0%

All Funds miscellaneous receipts include moneys received from HCRA financing sources, SUNY tuition and patient income, lottery receipts used for education, assessments on regulated industries, tribal-state compact revenue, Extraordinary Monetary Settlements and a variety of fees. As such, miscellaneous receipts are driven in part by year-to-year variations in health care surcharges and other HCRA resources, bond proceeds, and tuition income revenue.

All Funds miscellaneous receipts are projected to total \$27.7 billion in FY 2018, an increase of 4.3 percent from FY 2017 results. This increase is primarily due to higher bond financed capital spending on a year-over-year basis. Bond-financed capital expenses are paid from the General Fund (or Short-Term Investment Pool) in the first instance and subsequently reimbursed with authority bond proceeds, at which time they are captured in the Financial Plan as miscellaneous receipts.

State Financial Plan Projections Fiscal Years 2018 Through 2021



All Funds miscellaneous receipts are projected to decline annually in FY 2019 and beyond reflecting the impact of Extraordinary Monetary Settlements received in FY 2018, and a decrease in bond proceeds reimbursements in later years, which subsequently corresponds to the spending out of bond-financed capital projects.

Federal Grants

FEDERAL GRANTS (millions of dollars)									
	FY 2017	FY 2018		FY 2019		FY 2020		FY 2021	
	Results	Updated	Change	Projected	Change	Projected	Change	Projected	Change
ALL FUNDS	55,406	57,348	3.5%	58,886	2.7%	59,686	1.4%	60,196	0.9%
General Fund	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Special Revenue Funds	52,725	55,005	4.3%	56,384	2.5%	57,398	1.8%	57,952	1.0%
Capital Projects Funds	2,608	2,270	-13.0%	2,429	7.0%	2,215	-8.8%	2,171	-2.0%
Debt Service Funds	73	73	0.0%	73	0.0%	73	0.0%	73	0.0%

Aid from the Federal government helps to pay for a variety of programs including Medicaid, public assistance, mental hygiene, school aid, public health, transportation, and other activities. Annual changes to Federal grants generally correspond to changes in federally-reimbursed spending. Accordingly, DOB typically projects Federal reimbursements will be received in the State fiscal year in which spending occurs, but due to the variable timing of Federal grant receipts, actual results often differ from the projections.

All Funds Federal grants projections primarily reflect the continuation of growth in Federal Medicaid spending related to Federal health care transformation initiatives, partly offset by the projected phase-down of Federal disaster assistance aid. All Federal receipts are subject to Congressional authorization, appropriations and budget action.

With the current presidential administration and Congress, many of the policies that drive Federal aid may be subject to change. At this time it is not possible to assess the potential fiscal impact of policies that may be proposed and adopted by the current administration and Congress. If Federal funding to the State were reduced, this could have a materially adverse impact on the Updated Financial Plan. The FY 2018 Enacted Budget included authorization to develop a mitigation plan to offset the impact of significant Federal funding reductions.

Disbursements

Total disbursements in FY 2018 are estimated at \$70.4 billion in the State's General Fund (including transfers) and \$98 billion in total State Operating Funds. School Aid, Medicaid, pensions, debt service, and health benefits are significant drivers of annual spending growth.

The multi-year disbursements projections take into account various factors including statutorily-indexed rates, agency staffing levels, program caseloads, inflation, and funding formulas contained in State and Federal law. Factors that affect spending estimates vary by program. For example, public assistance spending is based primarily on anticipated caseloads that are estimated by analyzing historical trends and projected economic conditions. Projections also account for the timing of payments, since not all of the amounts appropriated pursuant to an enacted budget are disbursed in the same fiscal year. Consistent with past years, the aggregate spending projections (i.e., the sum of all projected spending by individual agencies) in State Special Revenue Funds have been adjusted downward in all fiscal years, based on typical spending patterns and the observed variance between estimated and actual results over time. A corresponding downward adjustment is also made to miscellaneous receipts.

State Financial Plan Projections Fiscal Years 2018 Through 2021



Local Assistance Grants

Local Assistance spending includes payments to local governments, school districts, health care providers, and other entities, as well as financial assistance to, or on behalf of, individuals, families and not-for-profit organizations. Local assistance spending in State Operating Funds is estimated at \$66 billion in FY 2018, approximately two-thirds of total State Operating Funds spending. Education and health care spending account for nearly three-quarters of State Operating Funds local assistance spending.

Certain major factors considered in preparing the spending projections for the State's major local assistance programs and activities are summarized below.

FORECAST FOR SELECTED PROGRAM MEASURES AFFECTING OPERATING ACTIVITIES (millions of dollars)					
	FY 2017 Results	Forecast			
		FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
HEALTH CARE					
Medicaid - Individuals Covered	6,133,681	6,178,707	6,206,629	6,220,590	6,227,570
Essential Plan - Individuals Covered	674,478	684,352	689,095	691,466	692,652
Child Health Plus - Individuals Covered	333,531	359,855	369,605	375,230	377,386
State Takeover of County/NYC Costs ¹	\$2,891	\$3,228	\$3,565	\$3,889	\$4,212
EDUCATION					
School Aid (School Year Basis Funding)	\$24,689	\$25,727	\$26,827	\$27,950	\$29,260
HIGHER EDUCATION					
Public Higher Education Enrollment (FTEs)	562,873	557,854	N/A	N/A	N/A
Tuition Assistance Program (Recipients)	276,303	275,916	N/A	N/A	N/A
PUBLIC ASSISTANCE					
Family Assistance Program (Families)	234,902	230,387	227,493	224,803	222,161
Safety Net Program (Families)	123,264	121,194	119,638	118,217	116,832
Safety Net Program (Singles)	204,947	207,139	209,728	212,134	214,779
MENTAL HYGIENE					
OMH Community Beds	43,052	44,526	46,957	48,057	48,257
OPWDD Community Beds	42,443	42,867	43,296	43,729	44,166
OASAS Community Beds	<u>13,370</u>	<u>13,562</u>	<u>13,635</u>	<u>13,825</u>	<u>13,860</u>
Total	98,865	100,955	103,888	105,611	106,283
PRISON POPULATION					
	51,300	51,000	51,000	51,000	51,000

¹ Reflects the total State cost of taking over the local share of Medicaid growth, which was initially capped at approximately 3 percent annually, then phased-out completely as of calendar year 2015. A portion of the State takeover costs are funded from Master Settlement Agreement resources.

Education

School Aid

School Aid helps support elementary and secondary education for New York pupils enrolled in the 674 major school districts throughout the State. State funding is provided to districts based on statutory aid formulas and through reimbursement of categorical expenses, such as prekindergarten programs, education of homeless children, and bilingual education. State funding for schools assists districts in meeting locally defined needs, supports the construction of school facilities, and finances school transportation for nearly three million students statewide.

School Year (July 1 – June 30)

School Aid is expected to increase by \$1.0 billion (4.2 percent) in SY 2018, including a \$700 million Foundation Aid increase. A Community Schools set-aside of \$150 million within Foundation Aid, a \$50 million increase from the prior year, provides funds intended to facilitate the transformation of schools into community hubs. In addition, another \$288 million supports increased reimbursement in expense-based aid programs such as transportation, Boards of Cooperative Educational Services (BOCES), school construction, and other miscellaneous aid categories.

The Financial Plan also provides \$50 million in new competitive grant programs, highlighted by a \$35 million investment to expand after-school programs targeted towards low-income students within high need communities, and \$5 million to expand prekindergarten for three- and four-year olds in high-need school districts. New York State provides over \$800 million in recurring annual support for three- and four-year old prekindergarten programs, including \$340 million for the Statewide Universal Full-Day Prekindergarten programs.

School Aid is projected to increase by an additional \$1.1 billion (4.3 percent) in SY 2019.

SCHOOL AID - SCHOOL YEAR BASIS (JULY 1 - JUNE 30) ¹									
(millions of dollars)									
	SY 2017	SY 2018	Change	SY 2019	Change	SY 2020	Change	SY 2021	Change
Total	24,689	25,727	1,038 4.2%	26,827	1,100 4.3%	27,950	1,123 4.2%	29,260	1,310 4.7%

¹School year values reflected in table do not include aid for Statewide Universal Full-Day Prekindergarten programs.

State Financial Plan Projections Fiscal Years 2018 Through 2021



State Fiscal Year

The State finances School Aid from General Fund, commercial gaming and Lottery Fund receipts, including video lottery terminals (VLTs). Commercial gaming and Lottery Fund receipts are accounted for and disbursed from dedicated accounts. Because the State fiscal year begins on April 1, the State typically pays approximately 70 percent of the annual school year commitment during the State fiscal year in which the related budget is enacted, and pays the remaining 30 percent in the first three months of the following State fiscal year.

The table below summarizes the projected sources of School Aid spending on a State fiscal year basis.

SCHOOL AID - STATE FISCAL YEAR BASIS (millions of dollars)									
	FY 2017	FY 2018		FY 2019		FY 2020		FY 2021	
	Results	Updated	Change	Projected	Change	Projected	Change	Projected	Change
TOTAL STATE OPERATING FUNDS	24,351	25,738	5.7%	26,788	4.1%	27,943	4.3%	29,189	4.5%
General Fund Local Assistance	21,017	22,295	6.1%	23,423	5.1%	24,539	4.8%	25,782	5.1%
Core Lottery Aid	2,360	2,395	1.5%	2,294	-4.2%	2,288	-0.3%	2,291	0.1%
VLT Lottery Aid	957	925	-3.3%	868	-6.2%	888	2.3%	888	0.0%
Commercial Gaming - VLT Offset	4	42	950.0%	90	114.3%	70	-22.2%	70	0.0%
Commercial Gaming	13	81	523.1%	113	39.5%	158	39.8%	158	0.0%

State fiscal year spending for School Aid is projected to total \$25.7 billion in FY 2018. Over the multi-year Financial Plan, an increasing share of School Aid spending is projected to be financed by commercial gaming revenues as opposed to traditional core lottery sales, as described in greater detail below. In addition to State aid, school districts currently receive more than \$3 billion annually in Federal aid.

State aid payments for School Aid have been supplemented by commercial gaming revenues in FY 2017, following the State's receipt of one-time licensing fees in FY 2016. These receipts are expected to increase in FY 2018 and the outyears, with gaming revenues shared by the State and commercial gaming facilities. Between December 2014 and August 2016, four casino resorts were recommended by the State's Gaming Facility Location Board and approved by the State Gaming Commission. Three of the four approved casinos have since opened and are in operation, and the fourth approved casino is expected to open in 2018. In the event that casino revenue resources do not materialize at the level expected, or as timely as expected, then the additional School Aid projected to be funded from casino revenue resources must be paid from the General Fund.

Other Education Funding

In addition to School Aid, the State provides funding and support for various other education-related programs. These include: special education services; programs administered by the Office of Prekindergarten through Grade 12 education; cultural education; higher and professional education programs; and adult career and continuing education services.

OTHER EDUCATION (millions of dollars)									
	FY 2017 Results	FY 2018 Updated	Change	FY 2019 Projected	Change	FY 2020 Projected	Change	FY 2021 Projected	Change
TOTAL STATE OPERATING FUNDS	2,193	2,262	3.1%	2,283	0.9%	2,344	2.7%	2,452	4.6%
Special Education	1,317	1,336	1.4%	1,358	1.6%	1,445	6.4%	1,530	5.9%
All Other Education	876	926	5.7%	925	-0.1%	899	-2.8%	922	2.6%

The State helps fund special education services for approximately 500,000 students with disabilities, from ages 3 to 21. Major programs under the Office of Prekindergarten through Grade 12 address specialized student needs or reimburse school districts for education-related services, including the school breakfast and lunch programs, after-school programs and other educational grant programs. Cultural education includes aid for operating expenses of the major cultural institutions, State Archives, State Library, and State Museum, as well as support for the Office of Educational Television and Public Broadcasting. Higher and professional education programs monitor the quality and availability of post-secondary education programs, and license and regulate over 50 professions. Adult career and continuing education services focus on the education and employment needs of the State's adult citizens, ensuring that such individuals have access to a one-stop source for all their employment needs, and are made aware of the full range of services available in other agencies.

Projected FY 2018 and FY 2019 Special Education spending is below historical growth rates primarily due to a moderation in cost and enrollment growth for the preschool special education program, particularly in New York City. All Other Education growth primarily reflects increased reimbursement of charter school supplemental basic tuition and other one-time aid and grants.

Outyear growth for Special Education is attributable to increased State reimbursement to special education providers for minimum wage costs and projected enrollment and cost growth in preschool and summer school special education programs.

State Financial Plan Projections Fiscal Years 2018 Through 2021



School Tax Relief Program

The STAR program provides school tax relief to taxpayers by exempting the first \$30,000 of every eligible homeowner's property value from the local school tax levy. Lower-income senior citizens receive a \$65,500 exemption in FY 2018. The DTF oversees local property assessment administration, and is responsible for establishing STAR property tax exemption amounts.

The three components of STAR and their approximate shares of projected FY 2018 program costs are: the basic school property tax exemption or credit for homeowners with incomes under \$500,000 (58 percent); enhanced school property tax exemption or credit for senior citizen homeowners with incomes under \$86,000 (31 percent); and a credit for income-eligible resident New York City personal income taxpayers (11 percent). The FY 2018 Enacted Budget includes the conversion of the New York City PIT rate reduction benefit into a PIT tax credit, which will reduce and eventually eliminate it as a component of State Operating Funds spending. This change will have no impact on the value of the STAR benefit received by taxpayers.

STAR property tax exemption spending reflects reimbursements made to school districts to offset a reduction in the amount of property tax revenue collected from STAR-eligible homeowners. In FY 2017, the STAR exemption program began a gradual shift from a spending program into an advance refundable PIT credit program, with this change applying to first-time homebuyers and to homeowners who move. Likewise, this change will have no impact on the value of the STAR benefit received by homeowners.

SCHOOL TAX RELIEF (STAR) - REVENUE REDUCTION RESULTING FROM STAR ACTIONS									
(millions of dollars)									
	FY 2017 Results	FY 2018 Updated	Change	FY 2019 Projected	Change	FY 2020 Projected	Change	FY 2021 Projected	Change
TOTAL STATE OPERATING FUNDS	3,139	2,630	-16.2%	2,520	-4.2%	2,453	-2.7%	2,437	-0.7%
Gross Program Costs	3,330	3,457	3.8%	3,458	0.0%	3,509	1.5%	3,613	3.0%
Program Conversion	0	(277)	N/A	0	100.0%	0	0.0%	0	0.0%
Personal Income Tax Credit	(191)	(492)	-157.6%	(938)	-90.7%	(1,056)	-12.6%	(1,176)	-11.4%
FY 2017 Overpayment ¹	0	(58)	N/A	0	100.0%	0	0.0%	0	0.0%
Basic Exemption	1,695	1,672	-1.4%	1,636	-2.2%	1,592	-2.7%	1,582	-0.6%
Gross Program Costs	1,763	1,807	2.5%	1,839	1.8%	1,862	1.3%	1,920	3.1%
Personal Income Tax Credit	(68)	(135)	-98.5%	(203)	-50.4%	(270)	-33.0%	(338)	-25.2%
Enhanced (Senior) Exemption	916	903	-1.4%	884	-2.1%	861	-2.6%	855	-0.7%
Gross Program Costs	952	976	2.5%	993	1.7%	1,007	1.4%	1,037	3.0%
Personal Income Tax Credit	(36)	(73)	-102.8%	(109)	-49.3%	(146)	-33.9%	(182)	-24.7%
New York City PIT	528	55	-89.6%	0	-100.0%	0	0.0%	0	0.0%
Gross Program Costs	615	674	9.6%	626	-7.1%	640	2.2%	656	2.5%
Program Conversion	0	(277)	N/A	0	100.0%	0	0.0%	0	0.0%
Personal Income Tax Credit	(87)	(284)	-226.4%	(626)	-120.4%	(640)	-2.2%	(656)	-2.5%
FY 2017 Overpayment ¹	0	(58)	N/A	0	100.0%	0	0.0%	0	0.0%

¹ Conversion of the NYC Rate Reduction Benefit to Personal Income Credit pertains to 2017 tax year, as such, it was retroactively made effective to January 1, 2017.

Much of the spending decline projected for FY 2018 is attributable to the timing of the New York City rate reduction benefit payout, upon conversion to a PIT credit. STAR actions enacted with the FY 2017 budget, will result in reduced revenues in addition to the spending changes noted above. Projected revenue reductions will increase over the course of the Financial Plan as STAR actions are implemented, in particular those driven by the conversion of the New York City PIT rate reduction benefit.

Higher Education

Local assistance for higher education spending includes funding for CUNY, SUNY, and HESC.

HIGHER EDUCATION (millions of dollars)									
	FY 2017 Results	FY 2018 Updated	Change	FY 2019 Projected	Change	FY 2020 Projected	Change	FY 2021 Projected	Change
TOTAL STATE OPERATING FUNDS	2,874	2,832	-1.5%	3,080	8.8%	3,156	2.5%	3,206	1.6%
City University	1,424	1,470	3.2%	1,476	0.4%	1,513	2.5%	1,545	2.1%
Senior Colleges	1,176	1,211	3.0%	1,229	1.5%	1,265	2.9%	1,298	2.6%
Community College	248	259	4.4%	247	-4.6%	248	0.4%	247	-0.4%
Higher Education Services	958	880	-8.1%	1,118	27.0%	1,157	3.5%	1,175	1.6%
Tuition Assistance Program	896	757	-15.5%	930	22.9%	940	1.1%	950	1.1%
Scholarships/Awards	51	111	117.6%	176	58.6%	205	16.5%	213	3.9%
Aid for Part-Time Study	11	12	9.1%	12	0.0%	12	0.0%	12	0.0%
State University	492	482	-2.0%	486	0.8%	486	0.0%	486	0.0%
Community College	487	477	-2.1%	482	1.0%	482	0.0%	482	0.0%
Other/Cornell	5	5	0.0%	4	-20.0%	4	0.0%	4	0.0%

SUNY and CUNY administer 47 four-year colleges and graduate schools with a total enrollment of 404,000 full- and part-time students. SUNY and CUNY also operate 37 community colleges, serving 320,000 students. Spending for SUNY is concentrated in State Operations and thus does not appear in the table above. State funds support a significant portion of SUNY and CUNY operations. In addition to a \$1.1 billion General Fund transfer to support operations, the State pays employee fringe benefit costs for SUNY¹². The State support for SUNY fringe benefits is estimated at nearly \$1.8 billion. The State also provides a sizeable benefit to the university systems by paying debt service on bond-financed capital projects. State debt service payments for capital projects at SUNY and CUNY are estimated at \$1.2 billion in FY 2018, an increase of \$49 million from FY 2017 levels. Neither the fringe benefits nor debt service costs are reflected in annual spending totals for the university systems.

HESC administers TAP, which provides financial awards to income-eligible students. It also provides centralized processing for other student financial aid programs, and offers prospective students information and guidance on how to finance a college education. The financial aid programs that HESC administers are funded by the State and the Federal government.

Higher Education spending is projected to modestly decrease between FY 2017 to FY 2018. This change in spending largely reflects the reconciliation of prior year TAP payment advances to colleges. Lower spending is partially offset in FY 2018 by new higher education initiatives. The Excelsior Scholarship program will allow eligible students of working and middle-class families to attend college tuition-free at all public universities in New York State. The Enacted Budget Financial Plan also provides new funding to begin implementation of open educational resources (low cost alternative to traditional textbooks) for students at SUNY and CUNY. SUNY and CUNY will use this funding to target high-enrollment courses to maximize student savings.

¹² State support for CUNY fringe benefit costs is included in total spending for Senior Colleges and reflected in local assistance.

Health Care

Local assistance for health care-related spending includes Medicaid, statewide public health programs and a variety of mental hygiene programs. The State DOH works with local health departments and social services departments, including those located in New York City, to coordinate and administer statewide health insurance programs and activities. The majority of government-financed health care programs are included under DOH, but a number of programs are also supported through multi-agency efforts.

DOH is also engaged in a multi-year initiative to implement DSRIP program through an approved Federal waiver amendment to reinvest \$8 billion in Federal savings generated by the MRT reforms. The DSRIP program will promote community-level collaborations and focus on system reform, specifically a goal to achieve 25 percent reduction in avoidable hospital use over five years. The Financial Plan reflects the impact of the DSRIP program through additional Federal funds disbursements of nearly \$8 billion through FY 2021. A portion of DSRIP program funding flows through the SUNY hospital system and other State-operated health care facilities.

Medicaid

Medicaid is a means-tested program that finances health care services for low-income individuals and long-term care services for the elderly and disabled, primarily through payments to health care providers. The Medicaid program is financed jointly by the State, Federal government, and local governments. Eligible services include inpatient hospital care, outpatient hospital services, clinics, nursing homes, managed care, prescription drugs, home care and services provided in a variety of community-based settings (including mental health, substance abuse treatment, developmental disabilities services, school-based services and foster care services).

In FY 2012, legislation was enacted to limit the year-to-year growth in DOH State funds Medicaid spending to the ten-year rolling average of the medical component of the CPI. The statutory provisions of the Medicaid spending cap (or “Global Cap”) also allow for flexibility in adjusting Medicaid projections to meet unanticipated costs resulting from a disaster. Certain authorizations exist which allow the Governor to take actions to reduce Medicaid spending in order to maintain spending within the Global Cap limit.

The Financial Plan reflects the continuation of the Medicaid spending cap through FY 2021, and the projections assume that statutory authority will be extended in subsequent years. Upward adjustments to the statutorily indexed provisions of the Global Cap reflect an increase to the 10-year rolling average of the Medical component of the CPI relative to previous forecast assumptions. The revised estimates increase the Global Cap by \$11 million in FY 2018, \$37 million in FY 2019, \$75 million in FY 2020 and \$135 million in FY 2021. Allowable growth under the cap for medical services is 3.3 percent for FY 2018. Projecting medical CPI growth, DOB currently forecasts allowable cap growth at 3.2 percent in FY 2019; 3.1 percent in FY 2020; and 3.1 percent in FY 2021.

MEDICAID GLOBAL CAP FORECAST (millions of dollars)					
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
Global Medicaid Cap¹	17,692	18,270	18,863	19,446	20,048
Annual % Change		3.3%	3.2%	3.1%	3.1%

¹ Under the Global Cap, forecasted Medicaid services growth is indexed to the 10-year average of the medical component of the CPI.

The indexed provisions of the Global Cap apply to a majority of the State share of Medicaid spending that is budgeted and expended principally through DOH. However, the Global Cap is adjusted for State costs associated with the takeover of local Medicaid growth and the multi-year assumption of local Medicaid administration, increased Federal Financial Participation (FFP) pursuant to the ACA that became effective in January 2014, as well as the statewide minimum wage increases authorized in the FY 2017 Enacted Budget. State share Medicaid spending also appears in the Financial Plan estimates for other State agencies, including the mental hygiene agencies, child welfare programs, and education aid.

TOTAL STATE-SHARE MEDICAID DISBURSEMENTS ¹ (millions of dollars)					
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	Results	Updated	Projected	Projected	Projected
Department of Health Medicaid	<u>18,235</u>	<u>19,246</u>	<u>19,841</u>	<u>20,861</u>	<u>21,641</u>
Local Assistance	17,974	19,003	19,780	20,797	21,615
State Operations	261	346	390	391	397
MSA Payments (Share of Local Growth) ²	0	(103)	(329)	(327)	(371)
Other State Agency Medicaid Spending	<u>4,441</u>	<u>4,466</u>	<u>4,715</u>	<u>4,915</u>	<u>5,114</u>
Mental Hygiene	4,302	4,330	4,565	4,760	4,950
Foster Care	82	86	100	105	114
Education	57	50	50	50	50
Total State Share Medicaid (All Agencies)	22,676	23,712	24,556	25,776	26,755
Annual \$ Change		1,036	844	1,220	979
Annual % Change		4.6%	3.6%	5.0%	3.8%
Essential Plan³	313	178	457	463	468

¹ DOH spending in the Financial Plan includes certain items that are excluded from the indexed provisions of the Medicaid Global Cap. This includes administrative costs, such as the takeover of local administrative responsibilities; the decision of Monroe County to participate in the Medicaid local cap program, rather than continuing the sales tax intercept option; increased Federal Financial Participation that became effective in January 2014; and minimum wage increases.

² Tobacco MSA proceeds will be deposited directly to the MMIS Escrow Fund to cover total State share support for Medicaid.

³ The EP is not a Medicaid program; however, State-funded resources for the EP are managed under the Medicaid Global Cap.

State Financial Plan Projections Fiscal Years 2018 Through 2021



The State share of DOH Medicaid spending is financed by a combination of the General Fund, HCRA resources, indigent care support, provider assessment revenue, and tobacco settlement proceeds. The following table provides information on the financing sources for State Medicaid spending (More information on HCRA can be found in the section entitled "HCRA Financial Plan").

DEPARTMENT OF HEALTH MEDICAID ¹ (millions of dollars)									
	FY 2017 Results	FY 2018 Updated	Change	FY 2019 Projected	Change	FY 2020 Projected	Change	FY 2021 Projected	Change
STATE OPERATING FUNDS	22,989	23,890	3.9%	25,013	4.7%	26,239	4.9%	27,223	3.8%
Department of Health Medicaid	18,548	19,424	4.7%	20,298	4.5%	21,324	5.1%	22,109	3.7%
General Fund - DOH Medicaid Local	12,178	13,276	9.0%	13,903	4.7%	15,026	8.1%	15,885	5.7%
DOH Medicaid	10,457	11,142	6.6%	11,529	3.5%	12,205	5.9%	12,880	5.5%
Mental Hygiene - Global Cap Adjustment ²	1,125	1,247	10.8%	1,207	-3.2%	1,210	0.2%	1,211	0.1%
Minimum Wage	44	255	479.5%	579	127.1%	838	44.7%	882	5.3%
Local Growth Takeover (Zero Growth Phase-in) ³	552	735	33.2%	917	24.8%	1,100	20.0%	1,283	16.6%
MSA Payments (Share of Local Growth) ⁴	0	(103)	0.0%	(329)	-219.4%	(327)	0.6%	(371)	-13.5%
General Fund - DOH Medicaid State Ops	261	346	32.6%	390	12.7%	391	0.3%	397	1.5%
General Fund - Essential Plan	313	178	-43.1%	457	156.7%	463	1.3%	468	1.1%
Local Assistance	269	82	-69.5%	355	332.9%	365	2.8%	375	2.7%
State Operations	44	96	118.2%	102	6.3%	98	-3.9%	93	-5.1%
Other State Funds - DOH Medicaid Local	5,796	5,624	-3.0%	5,548	-1.4%	5,444	-1.9%	5,359	-1.6%
HCRA Financing	3,981	3,900	-2.0%	3,824	-1.9%	3,721	-2.7%	3,636	-2.3%
Indigent Care Support	965	892	-7.6%	892	0.0%	892	0.0%	892	0.0%
Provider Assessment Revenue	850	832	-2.1%	832	0.0%	831	-0.1%	831	0.0%
Other State Agency Medicaid Spending	4,441	4,466	0.6%	4,715	5.6%	4,915	4.2%	5,114	4.0%
USE OF MSA PAYMENTS (Share of Local Growth)⁴	0	103	0.0%	329	219.4%	327	-0.6%	371	13.5%
LOCAL SHARE OF MEDICAID^{5,6}	8,343	7,784	-6.7%	7,887	1.3%	7,653	-3.0%	7,598	-0.7%
FEDERAL SHARE OF MEDICAID	37,719	40,267	6.8%	41,660	3.5%	43,029	3.3%	43,940	2.1%
DOH Medicaid	34,462	36,737	6.6%	37,555	2.2%	38,551	2.7%	38,998	1.2%
Essential Plan	3,257	3,530	8.4%	4,105	16.3%	4,478	9.1%	4,942	10.4%
ALL FUNDING SOURCES	69,051	72,044	4.3%	74,889	3.9%	77,248	3.1%	79,132	2.4%

¹ The EP is not a Medicaid program; however, State funded resources for EP are managed under the Medicaid Global Cap.
² The DOH Medicaid budget includes resources to fund a portion of Medicaid-related Mental Hygiene program costs under the Global Cap.
³ As of County Year (CY) 2015 the full share of local Medicaid services growth has been financed with State resources.
⁴ MSA payments will be deposited directly to the MMIS Escrow Fund to cover a portion of the State's share of local Medicaid growth.
⁵ The Local Share of Medicaid is paid by the Local Social Service Districts (counties), and is not included in the State's All Governmental Funds disbursement totals.
⁶ Fluctuation in the local share of Medicaid is related to certain supplemental payments made by local districts. Local Medicaid services payments are capped at CY 2015 levels.

The Financial Plan reflects greater than anticipated utilization of Federal Funds by \$17 million in the SHIN-NY program. SHIN-NY is an Information Technology (IT) initiative that funds the modernization of hospitals with computer integration and aids in creating networks so providers can communicate with one another to streamline care.

With the retirement of all of the State's tobacco securitization bonds on June 1, 2017, MSA payments will be used to fund a portion of the non-Federal share of annual Medicaid growth formerly borne by local governments, which the State now pays on behalf of local governments. The use of MSA payments will not affect total funding for the Medicaid program, but is expected to provide Financial Plan relief through lower annual General Fund Medicaid disbursements. The table below lists the adjusted funding shares.

FUNDING SOURCES FOR STATE MEDICAID CONTRIBUTIONS (millions of dollars)					
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	Results	Updated	Projected	Projected	Projected
State Share Support	<u>22,989</u>	<u>23,993</u>	<u>25,342</u>	<u>26,566</u>	<u>27,594</u>
State Funds Medicaid Disbursements ¹	22,989	23,890	25,013	26,239	27,223
MSA Payments (Local Growth)	0	103	329	327	371

¹ The EP is not a Medicaid program; however, State funded resources for EP are managed under the Medicaid Global Cap.

The Financial Plan provides General Fund support to the Global Cap to fund the costs of the regionally-based, multi-year increase in the statewide minimum wage, including the impact of legislation (Chapter 56 of the Laws of 2016) which ensures that rates for the total compensation for home health care workers in Westchester, New York, Nassau, and Suffolk counties will be increased commensurate with the schedule of statutory minimum wage increases.¹³ The impact of these Minimum wage initiatives is projected to increase annual Medicaid spending above statutory Global Cap limits by \$255 million in FY 2018; \$579 million in FY 2019; \$838 million in FY 2020; and \$882 million in FY 2021.

Fluctuation in enrollment, costs of provider health care services, and health care utilization levels are among factors that drive higher Medicaid spending within the Global Cap. The number of Medicaid recipients are expected to reach about 6.2 million by the end of FY 2018, a slight increase from FY 2017.

The ability to offset rising costs within the Medicaid Global Cap exists through the Medicaid integrity and efficiency initiative, which was authorized in the FY 2017 Enacted Budget. Upon election by a local service district to participate in this initiative, DOH and such local service district may formulate a plan to achieve new audit recoveries, efficiencies and other cost avoidance measures to provide savings. Financial Plan savings associated with the Medicaid program are realized through the Mental Hygiene Global Cap Adjustment, which finances certain OPWDD-related Medicaid costs available under the Global Cap, as noted above.

With the current presidential administration and Congress, many of the policies that drive Federal aid are subject to change. It is not possible at this time to assess the potential fiscal impact of policies that may be proposed and adopted by the current administration and Congress. The FY 2018 Enacted Budget includes Federal flexibility provisions to allow for the management of reductions of \$850 million or more in Federal funding for the State’s Medicaid program during FY 2018. Management of such reduction levels would occur only through actions within the State’s Medicaid program.

¹³ Home health care workers in New York City and certain counties receive a benefit portion of total compensation in addition to their wage-based compensation rate levels (\$4.09 for New York City; \$3.22 for Westchester, Nassau, and Suffolk counties), resulting in total compensation which otherwise would have exceeded minimum wage levels and therefore was not factored into previous cost analysis. The impact of this legislation, however, effectively exempts the benefit portion of total compensation from the minimum wage calculation and ensures that home health care workers in these counties will receive incremental growth in wage compensation commensurate to the new minimum wage schedule.

State Financial Plan Projections Fiscal Years 2018 Through 2021



Essential Plan (EP)

The EP is a health insurance program which receives Federal subsidies authorized through the ACA. The FY 2015 Enacted Budget authorized the State to participate in the EP, which includes health insurance coverage for certain legally residing immigrants previously receiving State-only Medicaid coverage. Individuals who meet the EP eligibility standards are enrolled through the NYSOH insurance exchange, with the cost of insurance premiums subsidized by the State and Federal governments. When fully implemented, approximately 90 percent of program expenditures are expected to be paid by the Federal government.

ESSENTIAL PLAN (millions of dollars)									
	FY 2017 Results	FY 2018 Updated	Change	FY 2019 Projected	Change	FY 2020 Projected	Change	FY 2021 Projected	Change
TOTAL ALL FUNDS SPENDING	3,570	3,708	3.9%	4,562	23.0%	4,941	8.3%	5,410	9.5%
State Operating Funds	313	178	-43.1%	457	156.7%	463	1.3%	468	1.1%
Local Assistance	269	82	-69.5%	355	332.9%	365	2.8%	375	2.7%
State Operations	44	96	118.2%	102	6.3%	98	-3.9%	93	-5.1%
Federal Operating Funds	3,257	3,530	8.4%	4,105	16.3%	4,478	9.1%	4,942	10.4%

FY 2018 EP program spending has been revised downward since the FY 2018 Enacted Budget to reflect a mix of factors, including stabilizing enrollment trends. In addition, growth in the marketplace premium index for the Federal reimbursement rate is outpacing growth in the premium index for the State reimbursement rate, thus contributing to the anticipation of a greater share of base program expenses being funded from Federal resources in the current year. The EP program savings will be managed by DOH to ensure balance related to other potential cost drivers within the Global Cap.

State costs associated with the EP program and related savings are managed within the total available resources of the Medicaid Global Cap. This includes a portion of spending associated with increasing EP enrollment in part, reflecting the transition of certain individuals from the Medicaid program to the EP program based on changes in income levels.

With the current presidential administration and Congress, many of the policies that drive Federal aid are subject to change. It is not possible at this time to assess the potential fiscal impact of policies that may be proposed and adopted by the current administration and Congress. The FY 2018 Enacted Budget includes authorization to develop a mitigation plan to offset the impact of significant Federal funding reductions.

Public Health/Aging Programs

Public Health includes the Child Health Plus (CHP) program that finances health insurance coverage for children of low-income families, up to the age of 19; the General Public Health Work (GPHW) program that reimburses local health departments for the cost of providing certain public health services; the Elderly Pharmaceutical Insurance Coverage (EPIC) program that provides prescription drug insurance to seniors; and the Early Intervention (EI) program that pays for services to infants and toddlers under the age of three, with disabilities or developmental delays. Many public health programs, such as EI and GPHW programs, are run by county health departments that are reimbursed by the State for a share of program costs. State spending projections do not include the county share of public health costs. In addition, a significant portion of HCRA spending is included under the Public Health budget.

The State Office for the Aging (SOFA) promotes and administers programs and services for New Yorkers 60 years of age and older. SOFA primarily oversees community-based services (including in-home services and nutrition assistance) provided through a network of county Area Agencies on Aging (AAA) and local providers.

PUBLIC HEALTH AND AGING (millions of dollars)									
	FY 2017 Results	FY 2018 Updated	Change	FY 2019 Projected	Change	FY 2020 Projected	Change	FY 2021 Projected	Change
TOTAL STATE OPERATING FUNDS	1,640	1,647	0.4%	1,701	3.3%	1,837	8.0%	1,973	7.4%
Public Health	1,515	1,524	0.6%	1,578	3.5%	1,708	8.2%	1,839	7.7%
Child Health Plus	219	256	16.9%	296	15.6%	413	39.5%	537	30.0%
General Public Health Work	194	195	0.5%	200	2.6%	204	2.0%	208	2.0%
EPIC	132	130	-1.5%	128	-1.5%	128	0.0%	128	0.0%
Early Intervention	173	175	1.2%	173	-1.1%	173	0.0%	165	-4.6%
HCRA Program	405	399	-1.5%	399	0.0%	398	-0.3%	398	0.0%
All Other	392	369	-5.9%	382	3.5%	392	2.6%	403	2.8%
Aging	125	123	-1.6%	123	0.0%	129	4.9%	134	3.9%

Declining spending for HCRA and other health programs is partly affected by funding Roswell Park Cancer Institute (RPCI) from capital projects funds. Outyear increases are driven largely by anticipated growth in COLA funding. The decline is also driven by a shift of funding for the Medicaid Utilization Review Program under the Medicaid Global Cap.

Enrollment growth has driven increased costs in the CHP program consistent with Financial Plan projections. Since April 2017, CHP enrollment has increased by 5 percent. CHP spending is also anticipated to increase significantly in FY 2020, due to the September 2019 expiration of enhanced Federal support currently provided through the ACA. Growth in FY 2021 reflects the full annual impact of the expiration of enhanced Federal support.

Reduced expenses for SHIN-NY/All Payer Database (APD) are due to greater than anticipated utilization of Federal funds. Additional funding for the Spinal Cord Injury Research Program, Quality of Care Improvement Program, Breast Cancer Research program, Alzheimer's Research program and the Sickle Cell program has been added to the FY 2018 spending estimates based on updated program needs.

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HCRA Financial Plan

HCRA was established in 1996 to help fund a portion of State health care activities. Extensions and modifications to HCRA have financed new health care programs, including Family Health Plus (FHP) and CHP. HCRA has also provided additional funding for the health care industry, including investments in worker recruitment and retention, and Doctors Across New York program. HCRA authorization is extended through FY 2020, pursuant to legislation included in the FY 2018 Enacted Budget.

HCRA receipts include surcharges and assessments on hospital revenues, a “covered lives” assessment paid by insurance carriers, and a portion of cigarette tax revenues. In total, HCRA resources are used to fund roughly 25 percent of the State share of Medicaid, as well as CHP, EPIC, Physician Excess Medical Malpractice Insurance, and Indigent Care payments (the latter of which provides funding to hospitals serving a disproportionate share of individuals without health insurance).

HCRA FINANCIAL PLAN FY 2017 THROUGH FY 2021 (millions of dollars)					
	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
OPENING BALANCE	78	12	0	0	0
TOTAL RECEIPTS	5,799	5,762	5,786	5,807	5,779
Surcharges	3,262	3,311	3,369	3,428	3,496
Covered Lives Assessment	1,161	1,110	1,110	1,110	1,045
Cigarette Tax Revenue	876	837	806	771	739
Hospital Assessments	412	424	424	424	424
NYC Cigarette Tax Transfer/Other	88	80	77	74	75
TOTAL DISBURSEMENTS AND TRANSERS	5,865	5,774	5,786	5,807	5,779
Medicaid Assistance Account ¹	<u>3,981</u>	<u>3,900</u>	<u>3,824</u>	<u>3,721</u>	<u>3,636</u>
Medicaid Costs	3,784	3,703	3,627	3,524	3,439
Workforce Recruitment & Retention	197	197	197	197	197
Hospital Indigent Care	965	892	892	892	892
HCRA Program Account	413	408	407	407	406
Child Health Plus	223	261	307	428	556
Elderly Pharmaceutical Insurance Coverage	143	141	139	139	140
SHIN-NY/APCD	10	21	40	40	0
All Other	130	151	177	180	149
ANNUAL OPERATING SURPLUS/(DEFICIT)	(66)	(12)	0	0	0
CLOSING BALANCE	12	0	0	0	0

¹ NYSOH spending will be financed with available HCRA resources through the Medicaid program.

Beginning in FY 2018, total HCRA receipts are forecasted to grow moderately through FY 2020, due primarily to higher surcharge collections generated from continued growth in health care utilization levels. The level of annual growth forecast for total HCRA revenue through the remainder of the multi-year planning period mainly reflects increases consistent with historic collection patterns. Continued outyear declines for cigarette tax collections, attributable to declining taxable consumption, partly offsets total HCRA receipts growth.

The Financial Plan reflects the inclusion of a three-year extension of program support, estimated at \$21 million in FY 2018, and \$40 million in FYs 2019 - 2020, for the SHIN-NY/APD infrastructure development initiative to improve informational and data capabilities associated with claiming records.

Enrollment growth has driven increased costs in the CHP program consistent with Financial Plan projections. Since April 2017, CHP enrollment has increased by 5 percent. State operations expenses related to the Children's Health Insurance Program (CHIP) portion of the NYSOH insurance exchange have been updated to reflect slight cost increases in FYs 2018 – 2021. Enhanced Federal funding provided through the ACA is scheduled to expire after September 30, 2019, driving significant State funds cost increases beginning in FY 2020.

HCRA is expected to remain in balance over the multi-year projection period. Under the current HCRA appropriation structure, spending reductions will occur if resources are insufficient to meet spending levels. Any potential spending reductions could affect General Fund Medicaid funding or HCRA programs. Conversely, any unanticipated balances or excess resources in HCRA are expected to fund Medicaid costs that would otherwise be paid from the General Fund.

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Mental Hygiene

The Department of Mental Hygiene is comprised of OPWDD, Office of Mental Health (OMH), Office of Alcoholism and Substance Abuse Services (OASAS), the Developmental Disabilities Planning Council (DDPC), and the Justice Center for the Protection of People with Special Needs (Justice Center). Services are administered to adults with serious mental illness; children with serious emotional disturbances; individuals with developmental disabilities and their families; persons with chemical dependencies; and individuals with compulsive gambling problems.

These agencies provide services directly to their clients through State-operated facilities, and indirectly through community service providers. The costs associated with providing these services are supported by reimbursement from Medicaid, Medicare, third-party insurance and State funding. Patient care revenues are pledged first to the payment of debt service on outstanding mental hygiene bonds, which were issued to finance infrastructure improvements at State mental hygiene facilities, with the remaining revenue used to support State operating costs.

MENTAL HYGIENE (millions of dollars)									
	FY 2017	FY 2018		FY 2019		FY 2020		FY 2021	
	Results	Updated	Change	Projected	Change	Projected	Change	Projected	Change
TOTAL STATE OPERATING FUNDS	2,461	2,487	1.1%	2,936	18.1%	3,212	9.4%	3,424	6.6%
People with Developmental Disabilities	2,208	2,283	3.4%	2,476	8.5%	2,657	7.3%	2,812	5.8%
Residential Services	1,334	1,379	3.4%	1,496	8.5%	1,605	7.3%	1,698	5.8%
Day Programs	554	573	3.4%	621	8.4%	666	7.2%	706	6.0%
Clinic	24	25	4.2%	27	8.0%	29	7.4%	30	3.4%
All Other Local/Resources	296	306	3.4%	332	8.5%	357	7.5%	378	5.9%
Mental Health	1,187	1,205	1.5%	1,395	15.8%	1,480	6.1%	1,533	3.6%
Adult Local Services	952	962	1.1%	1,117	16.1%	1,187	6.3%	1,229	3.5%
Children Local Services	235	243	3.4%	278	14.4%	293	5.4%	304	3.8%
Alcohol and Substance Abuse	304	334	9.9%	351	5.1%	373	6.3%	386	3.5%
Outpatient/Methadone	117	128	9.4%	135	5.5%	143	5.9%	148	3.5%
Residential	120	133	10.8%	141	6.0%	151	7.1%	157	4.0%
Prevention and Program Support	58	64	10.3%	67	4.7%	71	6.0%	74	4.2%
Crisis	9	9	0.0%	8	-11.1%	8	0.0%	7	-12.5%
Justice Center	1	1	0.0%	1	0.0%	1	0.0%	1	0.0%
SUBTOTAL BEFORE ADJUSTMENTS	3,700	3,823	3.3%	4,223	10.5%	4,511	6.8%	4,732	4.9%
OPWDD Offsets and Recoupments¹	(1,239)	(1,336)	-7.8%	(1,287)	3.7%	(1,299)	-0.9%	(1,308)	-0.7%

¹ Includes the DOH Global Cap Adjustment (\$1.1 billion in FY 2017 and \$1.2 billion in FYs 2018-2021), and other offsets and recoupment actions to reduce net expenses.

Local assistance accounts for approximately 40 percent of total mental hygiene spending from State Operating Funds, and is projected to grow by an average rate of 8.6 percent annually. The main factors driving this level of growth are expanded in community mental health services; enhancements in community-based employment and residential opportunities for individuals with disabilities; and new or increased funding for not-for-profit providers for growth in employee wages related to minimum wage increases.

The FY 2018 Enacted Budget provides approximately \$124 million in increased local assistance funding for mental hygiene agencies. The spending increase is largely related to new community investments in OPWDD and OMH, as individuals are transitioned from State-operated services to community-integrated settings; new service investments in the OPWDD system, including funding to promote access to vital supports and services for individuals aging out of their educational settings or moving from home; community reinvestment for individuals relocating from institutional settings; expansion of the Systemic Therapeutic Assessment Respite and Treatment (START) model to downstate and funding to support the direct cost of minimum wage increases; transition of new residential beds opening in the mental health provider community; and funding in OASAS to address the heroin and opioid crisis.

The FY 2018 Enacted Budget also provides funding to support a 6.5 percent raise over the next two years for direct care workers, and a 3.25 percent raise for clinical workers serving the mental hygiene community, both aimed at assisting non-profits in the recruitment and retention of employees. Partly offsetting these cost increases is a deferral of the statutory COLA in FY 2018 and FY 2019.

The additional funding increase is offset by technical adjustments to the Medicaid Global Cap, as a greater share of OPWDD-related spending will be financed from Global Cap resources. These technical adjustments have no impact on service delivery or operations of OMH, OPWDD, OASAS or the Justice Center.

State Funds local assistance spending for mental hygiene services is expected to increase in FY 2019 relative to the current-year projections due primarily to continued investments in community services.

The Financial Plan reflects state operations savings associated with the transition of certain State-operated inpatient and supported residential placements, to integrated community-based settings where individual needs can be served more appropriately and provided more cost-efficiently, as noted above.

State Financial Plan Projections Fiscal Years 2018 Through 2021



Social Services

Office of Temporary and Disability Assistance (OTDA)

OTDA local assistance programs provide cash benefits and supportive services to low-income families. The State's three main programs include Family Assistance, Safety Net Assistance and Supplemental Security Income (SSI). The Family Assistance program, funded by the Federal government, provides time-limited cash assistance to eligible families. The Safety Net Assistance program, financed by the State and local districts, provides cash assistance for single adults, childless couples, and families that have exhausted their five-year limit on Family Assistance imposed by Federal law. The State SSI Supplementation program provides a supplement to the Federal SSI benefit for the elderly, visually handicapped, and disabled.

TEMPORARY AND DISABILITY ASSISTANCE (millions of dollars)									
	FY 2017 Results	FY 2018 Updated	Change	FY 2019 Projected	Change	FY 2020 Projected	Change	FY 2021 Projected	Change
TOTAL STATE OPERATING FUNDS	1,220	1,309	7.3%	1,343	2.6%	1,354	0.8%	1,357	0.2%
SSI	645	658	2.0%	661	0.5%	663	0.3%	667	0.6%
Public Assistance Benefits	474	526	11.0%	545	3.6%	545	0.0%	541	-0.7%
Public Assistance Initiatives	11	26	136.4%	33	26.9%	33	0.0%	33	0.0%
All Other	90	99	10.0%	104	5.1%	113	8.7%	116	2.7%

OTDA spending on SSI is projected to increase between FY 2017 and FY 2018 and to continue to increase gradually over the course of the multi-year Financial Plan due to updated caseload projections. Public assistance benefits spending is projected to increase from FY 2017 to FY 2018 due to expected spending associated with the implementation of programmatic changes. DOB's caseload models project a total of 558,720 recipients in FY 2018. Approximately 230,387 families are expected to receive benefits through the Family Assistance program in FY 2018, a decrease of 1.9 percent from FY 2017. The Safety Net caseload for families is projected at 121,194 in FY 2018, a decrease of 1.7 percent from FY 2017. The caseload for single adults/childless couples supported through the Safety Net program is projected at 207,139 in FY 2018, an increase of 1.1 percent from FY 2017.

Office of Children and Family Services (OCFS)

OCFS provides funding for foster care, adoption, child protective services, preventive services, delinquency prevention, and child care. OCFS oversees the State’s system of family support and child welfare services administered by local social services departments and community-based organizations. Specifically, child welfare services, which are financed jointly by the Federal government, the State, and local districts, are structured to encourage local governments to invest in preventive services for reducing out-of-home placement of children. In addition, the Child Care Block Grant, which is also financed by a combination of Federal, State and local sources, supports child care subsidies for public assistance and low-income families.

CHILDREN AND FAMILY SERVICES (millions of dollars)									
	FY 2017	FY 2018		FY 2019		FY 2020		FY 2021	
	Results	Updated	Change	Projected	Change	Projected	Change	Projected	Change
TOTAL STATE OPERATING FUNDS	1,715	1,655	-3.5%	1,701	2.8%	1,843	8.3%	1,923	4.3%
Child Welfare Service	551	472	-14.3%	482	2.1%	491	1.9%	501	2.0%
Foster Care Block Grant	446	384	-13.9%	391	1.8%	400	2.3%	410	2.5%
Adoption	150	149	-0.7%	150	0.7%	150	0.0%	151	0.7%
Day Care	203	254	25.1%	239	-5.9%	240	0.4%	241	0.4%
Youth Programs	100	147	47.0%	182	23.8%	294	61.5%	339	15.3%
Medicaid	82	86	4.9%	100	16.3%	105	5.0%	115	9.5%
Committees on Special Education	50	26	-48.0%	23	-11.5%	24	4.3%	26	8.3%
Adult Protective/Domestic Violence	43	43	0.0%	45	4.7%	48	6.7%	51	6.3%
All Other	90	94	4.4%	89	-5.3%	91	2.2%	89	-2.2%

OCFS State Operating Funds spending is projected to decline from FY 2017 to FY 2018 due to a variety of factors, including restructuring the financing approach for foster care tuition and residential school placements of children with special needs in New York City; adjustments to the State share reimbursement under the Foster Care Block Grant to an estimated 50 percent, net of Federal funding; and the elimination of the planned Human Services COLA in FY 2018.

Spending in the outyears reflects increases driven primarily by the implementation of the “Raise the Age” initiative, which will increase the age limit of juvenile jurisdiction from 16 to 18, and planned spending increases consistent with anticipated program growth. Partly offsetting these increases is a reduction in the costs attributable to the Pay for Success program. The spending for Pay for Success is reduced by \$25 million annually based on program participation and spending to date.

State Financial Plan Projections Fiscal Years 2018 Through 2021



Transportation

In FY 2018, the State will provide approximately \$5 billion in operating aid to mass transit systems, funded mainly from various dedicated taxes and fees. The MTA, the nation's largest transit and commuter rail system, receives the majority of this aid. The MTA receives additional, exclusive operating support from the MTA Financial Assistance Fund, authorized in May 2009 to collect regional taxes and fees imposed within the Metropolitan Commuter Transportation District (MCTD). The State collects these taxes and fees on behalf of, and disburses the entire amount to, the MTA. Pursuant to legislation enacted in December 2011, the MTA payroll tax was eliminated for all elementary and secondary schools and small business operators within the MCTD. The General Fund provides additional annual support to the MTA, subject to appropriation, to partially offset this revenue loss.

TRANSPORTATION (millions of dollars)									
	FY 2017 Results	FY 2018 Updated	Change	FY 2019 Projected	Change	FY 2020 Projected	Change	FY 2021 Projected	Change
STATE OPERATING FUNDS SUPPORT	4,977	5,024	0.9%	5,077	1.1%	5,185	2.1%	5,249	1.2%
Mass Transit Operating Aid:	<u>2,279</u>	<u>2,282</u>	<u>0.1%</u>	<u>2,282</u>	<u>0.0%</u>	<u>2,282</u>	<u>0.0%</u>	<u>2,282</u>	<u>0.0%</u>
Metro Mass Transit Aid	2,152	2,152	0.0%	2,152	0.0%	2,152	0.0%	2,152	0.0%
Public Transit Aid	83	86	3.6%	86	0.0%	86	0.0%	86	0.0%
18-b General Fund Aid	19	19	0.0%	19	0.0%	19	0.0%	19	0.0%
School Fare	25	25	0.0%	25	0.0%	25	0.0%	25	0.0%
Mobility Tax and MTA Aid Trust	1,967	1,997	1.5%	2,055	2.9%	2,151	4.7%	2,215	3.0%
Dedicated Mass Transit	669	673	0.6%	683	1.5%	695	1.8%	695	0.0%
AMTAP	62	70	12.9%	57	-18.6%	57	0.0%	57	0.0%
All Other	0	2	0.0%	0	-100.0%	0	0.0%	0	0.0%

Projected operating aid to the MTA and other transit systems reflects the current receipts forecast and timing associated with the availability of resources. The Financial Plan includes revised spending estimates for transit assistance in each year to reflect the most recent revenue forecast assumptions. Funding is also included for Department of Motor Vehicles (DMV) county special traffic options programs for driving while intoxicated.

Local Government Assistance

Direct aid to local governments includes the Aid and Incentives for Municipalities (AIM) program, which was created in FY 2006 to consolidate various unrestricted local aid funding streams; miscellaneous financial assistance for certain counties, towns, and villages; and efficiency-based incentive grants provided to local governments.

LOCAL GOVERNMENT ASSISTANCE - AIM PROGRAM (millions of dollars)									
	FY 2017	FY 2018	FY 2019		FY 2020		FY 2021		
	Results	Updated	Change	Projected	Change	Projected	Change	Projected	
TOTAL STATE OPERATING FUNDS	715	723	1.1%	736	1.8%	763	3.7%	763	0.0%
Big Four Cities	429	429	0.0%	429	0.0%	429	0.0%	429	0.0%
Other Cities	218	218	0.0%	218	0.0%	218	0.0%	218	0.0%
Towns and Villages	68	68	0.0%	68	0.0%	68	0.0%	68	0.0%
Restructuring/Efficiency	0	8	100.0%	21	162.5%	48	128.6%	48	0.0%

State Operating Funds spending for the various efficiency and restructuring grants within the AIM program is projected to grow modestly from FY 2017 to FY 2018, due to revisions in the timing of spending. Additional increases in the outyears reflect potential awards from the Financial Restructuring Board for Local Governments.

State Financial Plan Projections Fiscal Years 2018 Through 2021



Agency Operations

Agency operating costs consist of Personal Service (PS), Non-Personal Service (NPS), and GSCs. PS includes the salaries of State employees of the Executive, Legislative, and Judicial branches, as well as the salaries of temporary/seasonal employees. NPS includes real estate rentals, utilities, contractual payments (i.e., consultants, IT, and professional business services), supplies and materials, equipment, and telephone service. GSCs, which are discussed separately, reflect the cost of fringe benefits (i.e., pensions, health insurance) provided to State employees and retirees of the Executive, Legislative and Judicial branches, and certain fixed costs paid by the State, such as taxes on public lands and litigations. Certain agency operating costs of the DOT and DMV are included in the capital projects fund type and are not reflected in State Operating Funds. The PS estimates reflect current negotiated collective bargaining agreements.

Approximately 94 percent of the State workforce is unionized. The largest unions include CSEA, which represents office support staff and administrative personnel, machine operators, skilled trade workers, and therapeutic and custodial care staff; PEF, which represents professional and technical personnel (attorneys, nurses, accountants, engineers, social workers, and institution teachers); UUP, which represents faculty and nonteaching professional staff within the State University system; and NYSCOPBA, which represents security personnel (correction officers, safety and security officers).

The following table presents certain variables used in preparing the spending projections for agency operations.

FORECAST OF SELECTED PROGRAM MEASURES AFFECTING PERSONAL SERVICE AND FRINGE BENEFITS					
	FY 2017 Results	Forecast			
		FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
Negotiated Base Salary Increases ¹					
Council 82/UUP/DC-37/NYSCOPBA/PBANY	TBD	TBD	TBD	TBD	TBD
PEF/GSEU/MC	2%	2%	2%	TBD	TBD
CSEA	2%	2%	2%	2%	2%
NYSBPA/NYSPIA ²	1.5%	1.5%	TBD	TBD	TBD
State Workforce ³	117,907	118,481	TBD	TBD	TBD
ERS Contribution Rate					
Before Amortization ⁴	16.6%	16.2%	15.7%	15.9%	17.2%
After Amortization ⁵	20.2%	20.0%	19.4%	19.6%	20.8%
PFRS Contribution Rate					
Before Amortization ⁴	25.1%	25.1%	24.1%	24.0%	25.1%
After Amortization ⁵	28.5%	28.1%	26.9%	27.4%	28.4%
Employee/Retiree Health Insurance Growth Rates	6.2%	7.5%	5.9%	7.3%	7.0%
PS/Fringe as % of Receipts (All Funds Basis)	13.6%	13.3%	13.4%	13.6%	14.2%

¹ Reflects current collective bargaining agreements with settled unions. Does not reflect potential impact of future negotiated labor agreements.
² Contracts contain "reopener" language which allows the union to reopen negotiations if any other State bargaining unit receives a general salary increase exceeding 1.5 percent in FY 2017 and in FY 2018.
³ Reflects workforce that is subject to direct Executive control.
⁴ Before amortization contribution rate reflects normal and administrative costs, contributions for the Group Life Insurance Plan (GLIP), and Chapter 41 of 2016 veterans' pension credit legislation.
⁵ After amortization contribution rate additionally includes new amortization, if any, and payments on prior amortizations.

Operating costs for PS/NPS are projected to increase over the Financial Plan period, from \$18.8 billion in FY 2018 to \$20.3 billion in FY 2021. Most executive agencies are expected to hold spending at FY 2017 levels. Increases in the outyears of the Financial Plan are driven mainly by juvenile justice reform, higher Medicaid administration expenses expected to support the NYSOH insurance exchange as available Federal funding expires, and an additional administrative payroll in FY 2021.

STATE OPERATING FUNDS - PERSONAL SERVICE / NON-PERSONAL SERVICE COSTS					
(millions of dollars)					
	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
SUBJECT TO DIRECT EXECUTIVE CONTROL	10,019	10,032	10,229	10,484	10,826
Mental Hygiene	2,761	2,744	2,729	2,764	2,808
Corrections and Community Supervision	2,641	2,617	2,620	2,627	2,633
State Police	720	713	687	687	712
Information Technology Services ¹	548	536	560	560	570
Public Health	384	369	373	377	383
Tax and Finance	332	330	329	329	340
Medicaid Admin/EP	305	442	492	490	491
Children and Family Services	250	244	289	375	442
Environmental Conservation	225	211	211	212	220
Financial Services	209	207	207	211	217
Parks, Recreation and Historic Preservation	178	167	169	170	176
General Services	161	144	142	138	139
Workers' Compensation Board	139	142	143	145	151
Temporary and Disability Assistance	138	126	127	133	138
Gaming	138	111	96	96	97
Potential Labor Agreements ²	0	555	650	765	880
Agency Financial Management Plan	0	(500)	(500)	(500)	(500)
All Other	890	874	905	905	929
UNIVERSITY SYSTEMS	6,097	6,137	6,273	6,430	6,735
State University	6,003	6,030	6,182	6,338	6,642
City University	94	107	91	92	93
INDEPENDENT AGENCIES	319	324	321	323	337
Law	170	175	174	176	184
Audit & Control (OSC)	149	149	147	147	153
TOTAL, EXCLUDING JUDICIARY AND LEGISLATURE	16,435	16,493	16,823	17,237	17,898
Judiciary	2,019	2,066	2,092	2,094	2,151
Legislature	226	226	218	218	219
Statewide Total	18,680	18,785	19,133	19,549	20,268
Personal Service	13,093	13,037	13,251	13,614	14,278
Non-Personal Service	5,587	5,748	5,882	5,935	5,990

¹ Reflects consolidation of IT costs from other agencies within ITS, which does not change total governmental spending.
² Includes the five-year settlement with CSEA and excludes the value of a settlement with UUP.

State Financial Plan Projections Fiscal Years 2018 Through 2021



The most significant changes to spending for agency operations include:

- **State Police:** The modest decline in spending reflects the reclassification of certain personal service spending offset by a new class of 225 recruits in July 2017.
- **Medicaid Administration/EP:** Increased spending starting in FY 2018 is mainly attributable to aligning administrative costs with NYSOH enrollment trends.
- **Gaming:** A change in the accounting structure related to advertising costs, whereby direct payments are made to the vendors from a third party instead of through the Gaming Commission.
- **Children and Family Services:** The Enacted Budget Financial Plan includes additional funding in OCFS to support raising the age of juvenile jurisdiction from 16 to 18 by October 1, 2019.
- **State University:** SUNY spending reflects anticipated operating needs at SUNY campuses and affiliated hospitals, which are cumulatively supported through campus revenues, State funding and hospital revenues.
- **Judiciary:** The Financial Plan reflects the Judiciary's request to increase operating support, including the addition of 200 non-judicial positions in support of trial court operations, and temporary service funding for acting city, town and village justices.
- **Potential and Settled Labor Agreements:** Increased costs/spending reflects the recently negotiated settlement agreements with PEF, which have been extended to unrepresented M/C employees. Additional costs are associated with the five-year settlement with CSEA and parity, with remaining unsettled unions assuming the PEF model.
- **Agency Financial Management Plans:** The Financial Plan includes \$500 million in annual savings that will be allocated to agencies as agency management plans are completed. All Executive agencies have been directed to implement cost-control measures on a recurring basis, starting in FY 2018. Agency plans identifying cost reductions and efficiencies to achieve the targeted savings are expected to be reviewed and approved by DOB prior to implementation, and must preserve funding for core services and strategic initiatives.

Workforce

In FY 2018, \$13 billion or 13.3 percent of the State Operating Funds budget is projected to be spent on PS costs. This funding supports roughly 95,000 FTE employees under direct Executive control; individuals employed by SUNY and CUNY (43,252) and Independent Agencies (18,276); employees paid on a non-annual salaried basis; and overtime pay. Roughly 63 percent of all Executive agency PS spending occurs in the mental hygiene agencies and DOCCS.

STATE OPERATING FUNDS		
FY 2018 FTEs ¹ AND PERSONAL SERVICE SPENDING BY AGENCY (millions of dollars)		
	Dollars	FTEs
Subject to Direct Executive Control	7,172	94,888
Mental Hygiene Agencies	2,231	32,587
Corrections and Community Supervision	2,052	27,309
State Police	653	5,636
Tax and Finance	270	3,978
Information Technology Services	281	3,406
Health	256	3,693
Environmental Conservation	170	2,124
Children and Family Services	160	2,406
Financial Services	152	1,382
Parks, Recreation and Historic Preservation	124	1,305
Education	88	1,263
Workers' Compensation Board	84	1,165
Temporary and Disability Assistance	69	1,033
General Services	57	907
All Other	525	6,694
University Systems	3,863	43,252
State University	3,794	42,869
City University ²	69	383
Independent Agencies	2,002	18,276
Law	121	1,583
Audit & Control (OSC)	115	1,603
Judiciary	1,595	15,089
Legislature ³	171	1
Total	13,037	156,416

¹ FTEs represent the number of annual-salaried full-time filled positions (e.g., one FTE may represent a single employee serving at 100 percent full-time, or a combination of employees serving at less than full-time that, when combined, equal a full-time position). The reported FTEs do not include non-annual salaried positions, such as positions filled on an hourly, per-diem or seasonal basis.

² CUNY employees are funded primarily through an agency trust fund that supports an additional 13,166 FTEs, which are excluded from this table.

³ Legislative employees are nonannual salaried and are excluded from this table, with the exception of the Lieutenant Governor, who serves as President of the Senate.

State Financial Plan Projections Fiscal Years 2018 Through 2021



General State Charges

Employee fringe benefit payments, many of which are mandated by statute or collective bargaining agreements, include employer contributions for pensions, the State's employer-share of Social Security, health insurance, workers' compensation, unemployment insurance, survivors' benefits fund, employee benefits funds, and dental and vision benefits. The majority of employee fringe benefit costs are paid centrally from statewide appropriations in the GSCs budget.¹⁴ The Judiciary pays its fringe benefit costs directly.

Employee fringe benefits that are paid through GSCs are financed from the General Fund in the first instance, and then partially reimbursed by revenue collected from fringe benefit assessments. The largest reimbursement to the General Fund comes from the mental hygiene agencies, which combined account for nearly half of all payments.

GSCs also include fixed costs for several categories including State payments in lieu of taxes (PILOT), payments for local assessments on State-owned land, and judgments against the State pursuant to the Court of Claims Act.

GSCs are projected to increase at an average annual rate of 5.9 percent over the multi-year Financial Plan period, driven primarily by cost increases for workers' compensation, growing pension contribution levels, and the State's share of costs for employee and retiree health insurance benefits.

In FY 2018, State Operating Funds spending for GSCs is projected to increase by \$ 344 million (4.5 percent). Health insurance increases reflect rising prescription drug costs, greater use of more expensive specialty drugs for chronic conditions, generic drug price inflation, increased outpatient utilization, and increased inpatient/outpatient utilization in Mental Health. Pension cost increases are driven by actual and forecasted salary base assumptions and the repayment of prior-year amortizations, partially offset by an increase in lower cost Tier 6 entrants.

GENERAL STATE CHARGES (millions of dollars)									
	FY 2017 Results	FY 2018 Updated	Change	FY 2019 Projected	Change	FY 2020 Projected	Change	FY 2021 Projected	Change
TOTAL STATE OPERATING FUNDS	7,634	7,978	4.5%	8,485	6.4%	8,999	6.1%	9,604	6.7%
Fringe Benefits	7,212	7,533	4.5%	8,041	6.7%	8,549	6.3%	9,149	7.0%
Health Insurance	3,708	3,956	6.7%	4,189	5.9%	4,495	7.3%	4,810	7.0%
Pensions	2,446	2,460	0.6%	2,526	2.7%	2,585	2.3%	2,749	6.3%
Social Security	992	1,016	2.4%	1,015	-0.1%	1,025	1.0%	1,035	1.0%
Workers' Compensation	230	326	41.7%	476	46.0%	591	24.2%	681	15.2%
Employee Benefits	94	92	-2.1%	95	3.3%	98	3.2%	101	3.1%
Dental Insurance	64	65	1.6%	61	-6.2%	64	4.9%	65	1.6%
Unemployment Insurance	11	12	9.1%	12	0.0%	12	0.0%	12	0.0%
All Other/Non-State Escrow	(333)	(394)	-18.3%	(333)	15.5%	(321)	3.6%	(304)	5.3%
Fixed Costs	422	445	5.5%	444	-0.2%	450	1.4%	455	1.1%

¹⁴ As of July 2015, SUNY Teachers Insurance and Annuity Association - College Retirement Equities Fund (TIAA- CREF) and other SUNY fringe benefit costs are no longer paid directly by SUNY, and have been shifted to the central statewide appropriation.

Growth in base GSC spending in FY 2018 has been partly offset by gap-closing savings of approximately \$81 million included as part of the Enacted Budget Financial Plan, as well as the expected use of an additional \$105 million from SIF reserves to reduce Workers' Compensation costs in FY 2018. The savings are primarily driven by \$63 million in interest savings achieved by paying the majority of the State pension bill in April 2017, rather than on a monthly basis as previously assumed.

Over the multi-year Financial Plan period, outyear pension costs are anticipated to decrease based on the FY 2018 pension bill received in October 2017 which reflects base costs that are \$33 million lower than estimated payment made in April 2017. In addition to a lower salary base, the FY 2017 investment performance exceeded expectations, which results in a downward revision to estimated cost in FY 2019 and beyond. Lastly, the projected cost of the ERS Veterans Pension Credit has been lowered based on actual costs through September 2017. Health insurance spending estimates have been lowered due to updated rate projections and the application of \$20 million in dividends to reduce FY 2019 costs. The cost of the Employee Opt Out program is paid through the health insurance program. Excess payments for the Opt Out program were made during the period of October 2015 through March 2017. A credit for the overpayments has occurred, resulting in savings in the current year.

The State settled a lawsuit alleging gross negligence and medical malpractice by the New York State Athletic Commission related to injuries suffered by a boxer. The cost of the settlement is \$22 million.

All other Underlying workers' compensation growth is driven by an increase in funding liability, while net Financial Plan funding reflects the use of excess balances which are scheduled to be transferred by the Workers' Compensation Board (WCB) directly to SIF, or accessed directly from available balances residing with SIF, to partially offset workers' compensation payments from General Fund resources through FY 2020.

Agency fringe benefit spending, and the reimbursement of such payments to the General Fund, have been updated based on recent billing and payment activity.

State Financial Plan Projections Fiscal Years 2018 Through 2021



Transfers to Other Funds (General Fund Basis)

General Fund transfers help finance the State's share of Medicaid costs for mental hygiene facilities, debt service for bonds that do not have dedicated revenues, SUNY operating costs, certain capital initiatives, and a range of other activities.

GENERAL FUND TRANSFERS TO OTHER FUNDS (millions of dollars)					
	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
TOTAL TRANSFERS TO OTHER FUNDS	10,092	9,695	12,018	12,133	12,057
State Share of Mental Hygiene Medicaid ¹	1,239	1,301	1,231	1,119	1,119
Debt Service	924	916	1,144	1,042	1,067
SUNY University Operations	996	1,022	1,021	1,020	1,021
Capital Projects	2,569	2,192	3,950	3,755	3,382
Dedicated Highway and Bridge Trust Fund	562	678	525	841	903
Dedicated Infrastructure Investment Fund	697	1,402	1,767	1,217	933
FY 2017 Temporary Loan to Capital Projects Fund ²	1,300	(1,300)	0	0	0
FY 2018 Temporary Loan to Capital Projects Fund ²	0	500	(500)	0	0
Transfer to DIIF for Javits Expansion	0	160	350	320	170
Bond Proceeds Receipts for Javits Expansion	0	0	0	(500)	(500)
Mass Transit Capital from Settlements	0	85	0	0	0
Statewide Health Care Capital from Settlements	0	25	45	50	50
Environmental Protection Fund	146	28	28	28	28
All Other Capital ³	(136)	614	1,735	1,799	1,798
ALL OTHER TRANSFERS	4,364	4,264	4,672	5,197	5,468
Mental Hygiene	3,287	3,171	3,565	4,071	4,315
Department of Transportation (MTA Payroll Tax)	334	269	269	269	270
SUNY - Medicaid Reimbursement	267	232	243	243	243
Judiciary Funds	107	106	110	109	110
SUNY - Hospital Operations	88	79	79	79	79
Dedicated Mass Transportation Trust Fund	63	66	66	66	66
Banking Services	42	53	53	53	53
Indigent Legal Services	31	35	35	58	82
Mass Transportation Operating Assistance	19	21	21	21	21
Public Transportation Systems	15	16	16	16	16
Correctional Industries	11	12	12	12	12
Spinal Cord Injury	8	9	9	9	9
Medical Marihuana Fund	5	5	7	5	7
SUNY - General Income Fund Reimbursable Account	14	14	0	0	0
All Other	73	176	187	186	185

¹ Includes transfers related to the multi-year OPWDD disallowance repayments.

² Represents the temporary use of Extraordinary Monetary Settlement fund balances to pay for capital projects in the first instance. These advances will be repaid in the following year when the State reimburses the capital spending from bond proceeds.

³ FY 2017 reflects the use of available bond proceeds to reimburse first-instance capital spending from prior years. This timing-related issue resulted in the State reimbursing more than it disbursed in FY 2017.



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A significant portion of the capital and operating expenses of DOT and DMV are funded from DHBTF, which receives various dedicated tax and fee revenues, including statutory allocations of PBT, motor fuel tax, and HUT. The Financial Plan includes transfers from the General Fund that effectively subsidize the expenses of the DHBTF, as the cumulative expenses of the fund (DOT and DMV capital and operating expenses, and certain debt service on transportation bonds) exceed current and projected revenue deposits and bond proceeds.

General Fund transfers to other funds are expected to total \$9.7 billion in FY 2018, a \$397 million decrease from FY 2017. The revision is driven by a \$1.2 billion decline in transfers of Extraordinary Monetary Settlement funds for projects appropriated from DIIF and the capital projects fund in FY 2018, including temporary loans and planned repayment of funds related to debt management actions and offset by increased support for the DHBTF (\$116 million); as well as lower than anticipated transfers to capital projects funds in 2017, reflecting the timing of bond proceeds reimbursements from a March 2017 bond sale (\$750 million).

State Financial Plan Projections Fiscal Years 2018 Through 2021



Debt Service

The State pays debt service on all outstanding State-supported bonds. These include General Obligation bonds, for which the State is constitutionally obligated to pay debt service, as well as certain bonds issued by State public authorities, such as Empire State Development (ESD), DASNY, and the New York State Thruway Authority (NYSTA), the payment obligation on which is subject to appropriation. Depending on the credit structure, debt service is financed by transfers from the General Fund, dedicated taxes and fees, and other resources such as patient income revenues.

DEBT SERVICE SPENDING PROJECTIONS (millions of dollars)									
	FY 2017 Results	FY 2018 Updated	Change	FY 2019 Projected	Change	FY 2020 Projected	Change	FY 2021 Projected	Change
General Fund	924	916	-0.9%	1,144	24.9%	1,042	-8.9%	1,067	2.4%
Other State Support	4,590	4,372	-4.7%	5,166	18.2%	5,962	15.4%	6,190	3.8%
State Operating/All Funds Total	5,514	5,288	-4.1%	6,310	19.3%	7,004	11.0%	7,257	3.6%

Total State Operating/All Funds debt service is projected at \$5.3 billion in FY 2018, of which approximately \$916 million is paid from the General Fund via transfers, and \$4.4 billion from other State funds supported by dedicated tax receipts. The General Fund transfer finances debt service payments on General Obligation and service contract bonds. Debt service for the State's revenue bonds is paid directly from other dedicated State funds, subject to appropriation, including PIT and Sales Tax bonds, DHBTf bonds, and mental health facilities bonds.

Financial Plan estimates for debt service spending have been revised to reflect a number of factors, including bond sale results to date, refunding savings, and the adjustment of debt issuances to align with projected bond-financed capital spending. Debt service spending in FY 2017 reflected pre-payments of about \$490 million of debt service due during FY 2018.



FY 2018
Mid-Year Operating Results

This section provides a summary of operating results for April 2017 through September 2017 compared to: (1) results for the same time period of the prior fiscal year (April 2016 through September 2016); (2) the projections set forth in the FY 2018 Enacted Budget Financial Plan (“initial estimates”); and (3) the FY 2018 First Quarterly Update (“revised estimates”). The focus of the spending discussion is on a State Operating Funds basis.

Results Compared to Prior Year - All Governmental Funds

Comparing 2017 to 2016, for the period of April through September, All Funds receipts increased by \$1.5 billion, reflecting \$2.1 billion in higher Federal aid and \$168 million in higher miscellaneous receipts, offset by \$767 million in lower tax receipts. Disbursements increased by \$4.4 billion, of which Federal operating aid comprised nearly \$3 billion. The change in operations along with the lower cash balance at the start of FY 2018 (\$705 million) contributed to a closing balance at the end of September 2017 that was \$3.6 billion lower than the September 2016 closing balance.

ALL GOVERNMENTAL FUNDS - RESULTS COMPARED TO PRIOR YEAR				
APRIL THROUGH SEPTEMBER				
(millions of dollars)				
	Results		Increase/(Decrease)	
	FY 2017	FY 2018	\$	%
OPENING BALANCE	11,810	11,105	(705)	-6.0%
ALL FUNDS RECEIPTS:	75,040	76,562	1,522	2.0%
Total Taxes	36,855	36,088	(767)	-2.1%
Personal Income Tax	23,499	22,175	(1,324)	-5.6%
All Other Taxes	13,356	13,913	557	4.2%
Miscellaneous Receipts	12,270	12,438	168	1.4%
Federal Grants	25,915	28,036	2,121	8.2%
ALL FUNDS DISBURSEMENTS:	73,399	77,751	4,352	5.9%
STATE OPERATING FUNDS	45,445	46,492	1,047	2.3%
Local Assistance	29,958	30,607	649	2.2%
School Aid	10,321	10,981	660	6.4%
DOH Medicaid ¹	10,088	10,491	403	4.0%
All Other	9,549	9,135	(414)	-4.3%
State Operations	14,022	14,330	308	2.2%
Agency Operations	9,197	9,308	111	1.2%
Executive Agencies	4,993	4,985	(8)	-0.2%
University Systems	2,949	3,021	72	2.4%
Elected Officials	1,255	1,302	47	3.7%
Fringe Benefits/Fixed Costs	4,825	5,022	197	4.1%
Pension Contribution	2,224	2,303	79	3.6%
Health Insurance	1,828	1,941	113	6.2%
Other Fringe Benefits/Fixed Costs	773	778	5	0.6%
Debt Service	1,465	1,555	90	6.1%
CAPITAL PROJECTS (State and Federal Funds)	4,496	4,844	348	7.7%
FEDERAL OPERATING AID	23,458	26,415	2,957	12.6%
NET OTHER FINANCING SOURCES	(24)	(63)	(39)	-162.5%
CHANGE IN OPERATIONS	1,617	(1,252)	(2,869)	-177.4%
CLOSING BALANCE	13,427	9,853	(3,574)	-26.6%

¹ Includes the Essential Plan

Receipts

For April through September 2017, All Funds tax receipts were \$767 million, or 2.1 percent, lower than for the same period in 2016. The reductions were primarily concentrated in PIT (\$1.3 billion, or 5.6 percent lower). The PIT decline is comprised of lower extension payments (\$611 million) due to weak non-wage income growth in Tax Year 2016 and an increase in refunds (\$1.1 billion). The growth in refunds is partly attributable to the payment of an additional \$800 million in refunds in January through March 2016 compared to the same period in 2017 due to administrative timing.

All other taxes combined were \$557 million higher, mainly due to sales and business tax collections, as well as large estate tax payments. Higher sales tax collections reflect base growth augmented by fewer telecommunications credits taken against sales tax payments. Business tax collections reflect higher bank tax receipts attributable to a large audit received in August and strong growth in insurance tax gross receipts. These increases were partially offset by declines in corporate, highway use, cigarette and tobacco tax collections.

Miscellaneous receipts were \$168 million higher than the prior year, primarily reflecting increased motor vehicle fees and abandoned property receipts.

Federal grants were \$2.1 billion (8.2 percent) higher than FY 2017, consistent with the growth in Federal spending.

Spending

From April through September 2017, State Operating Funds spending totaled \$46.5 billion, an increase of \$1 billion, or 2.3 percent, above the same period last year. As described below, the Enacted Budget Financial Plan anticipated substantial increases in spending for the first half of FY 2018 compared to the same period in FY 2017, but actual results were lower than expected in the Enacted Budget Financial Plan due to the timing of certain payments.

Local assistance growth reflects growth in School Aid (\$660 million) and Medicaid (\$403 million), partially offset by declines in other local assistance program spending (\$414 million). School Aid includes growth in funding provided to school districts based on aid formulas and earlier than anticipated payments of Universal Prekindergarten claims. Medicaid spending is driven by increased claiming for monthly managed care and managed long term care programs, and by increased State remittance of Federal Part D Clawback payments. These increases are partially offset by underspending in the EP, attributable to a lower State share due to increased Federal reimbursement.

All other local assistance spending declined, driven largely by reduced spending for STAR due to the conversion of the NYC benefit to a tax credit, as well as the timing of payments for preschool special education and social service programs. These declines are partly offset by accelerated payments to CUNY to fund retroactive collective bargaining agreements scheduled in the academic year ending June 2017, and higher public health costs for payments to the Medical Indemnity Fund.

State Operations spending growth includes planned cost increases for pensions and health insurance (\$192 million). Executive agency spending is essentially flat compared to the prior year, reflecting the timing of retroactive salary payments in both FY 2017 and FY 2018, offset by higher contractual payments for the Medicaid and EP programs, which were disbursed earlier than in prior years.

University Systems spending increased for personal service expenses. Elected Officials spending growth is driven by retroactive salary payments made pursuant to recently settled Judiciary contracts and higher than projected non-personal service spending.

In addition, changes in the level of debt service prepayments made at the end of FY 2017 compared to FY 2016 resulted in higher payments in April through September 2017.

Outside of State Operating Funds, Capital Projects (\$348 million) spending increased primarily due to expenses related to the Moynihan Station construction project (\$275 million), and for mental hygiene projects (\$81 million).

Federal operating spending growth of nearly \$3 billion includes higher spending for Medicaid (\$2.4 billion), the Essential Plan (\$605 million), Education (\$366 million), and Public Health/Child Health Plus (\$454 million), partly offset by lower spending for social services (\$574 million), Division of Homeland Security & Emergency Services (DHSES) (\$134 million), and OCFS (\$111 million).

Results Compared to Plan

All Governmental Funds Results

The State ended September 2017 with a closing balance of \$9.9 billion in All Funds, which is higher than both the initial estimate in the Enacted Budget Financial Plan (\$1.6 billion) and the revised estimate in the First Quarterly Update (\$648 million). The higher balance, compared to initial estimates, reflects almost \$2 billion in lower spending and \$360 million in lower receipts. Compared to the revised estimates, the balance is driven almost entirely by lower spending. The explanation of variances that follows the table below is focused on the comparison to the initial estimates.

ALL GOVERNMENTAL FUNDS RESULTS COMPARED TO PLAN							
APRIL THROUGH SEPTEMBER 2017							
(millions of dollars)							
	Enacted Plan	Revised Plan	Results	Above/(Below)			
				Enacted Plan		Revised Plan	
				\$	%	\$	%
OPENING BALANCE	11,105	11,105	11,105	0	0.0%	0	0.0%
ALL FUNDS RECEIPTS:	76,922	76,539	76,562	(360)	-0.5%	23	0.0%
Total Taxes	36,780	36,474	36,088	(692)	-1.9%	(386)	-1.1%
Personal Income Tax	23,275	22,546	22,175	(1,100)	-4.7%	(371)	-1.6%
Consumption / Use Tax	8,502	8,394	8,354	(148)	-1.7%	(40)	-0.5%
Business Taxes	3,218	3,724	3,641	423	13.1%	(83)	-2.2%
Other Taxes	1,785	1,810	1,918	133	7.5%	108	6.0%
Miscellaneous Receipts	12,054	11,692	12,438	384	3.2%	746	6.4%
Federal Grants	28,088	28,373	28,036	(52)	-0.2%	(337)	-1.2%
ALL FUNDS DISBURSEMENTS:	79,716	78,402	77,751	(1,965)	-2.5%	(651)	-0.8%
STATE OPERATING FUNDS	47,125	46,678	46,492	(633)	-1.3%	(186)	-0.4%
Local Assistance	31,322	30,964	30,607	(715)	-2.3%	(357)	-1.2%
School Aid	11,000	10,886	10,981	(19)	-0.2%	95	0.9%
DOH Medicaid ¹	10,279	10,254	10,491	212	2.1%	237	2.3%
Transportation	2,443	2,472	2,465	22	0.9%	(7)	-0.3%
STAR	167	58	57	(110)	-65.9%	(1)	-1.7%
Social Services	1,525	1,431	1,160	(365)	-23.9%	(271)	-18.9%
Higher Education	1,583	1,533	1,540	(43)	-2.7%	7	0.5%
Mental Hygiene	1,212	1,248	1,176	(36)	-3.0%	(72)	-5.8%
All Other	3,113	3,082	2,737	(376)	-12.1%	(345)	-11.2%
State Operations	14,212	14,189	14,330	118	0.8%	141	1.0%
Agency Operations	9,275	9,265	9,308	33	0.4%	43	0.5%
Personal Service:	6,541	6,519	6,611	70	1.1%	92	1.4%
Executive Agencies	3,677	3,594	3,654	(23)	-0.6%	60	1.7%
University Systems	1,864	1,908	1,918	54	2.9%	10	0.5%
Elected Officials	1,000	1,017	1,039	39	3.9%	22	2.2%
Non-Personal Service:	2,734	2,746	2,697	(37)	-1.4%	(49)	-1.8%
Executive Agencies	1,362	1,358	1,331	(31)	-2.3%	(27)	-2.0%
University Systems	1,095	1,136	1,103	8	0.7%	(33)	-2.9%
Elected Officials	277	252	263	(14)	-5.1%	11	4.4%
Fringe Benefits/Fixed Costs	4,937	4,924	5,022	85	1.7%	98	2.0%
Pension Contribution	2,306	2,306	2,303	(3)	-0.1%	(3)	-0.1%
Health Insurance	1,963	1,942	1,941	(22)	-1.1%	(1)	-0.1%
Other Fringe Benefits/Fixed Costs	668	676	778	110	16.5%	102	15.1%
Debt Service	1,591	1,525	1,555	(36)	-2.3%	30	2.0%
CAPITAL PROJECTS (State and Federal Funds)	6,347	5,307	4,844	(1,503)	-23.7%	(463)	-8.7%
FEDERAL OPERATING AID	26,244	26,417	26,415	171	0.7%	(2)	0.0%
NET OTHER FINANCING SOURCES	(36)	(37)	(63)	(27)	-75.0%	(26)	-70.3%
CHANGE IN OPERATIONS	(2,830)	(1,900)	(1,252)	1,578	55.8%	648	34.1%
CLOSING BALANCE	8,275	9,205	9,853	1,578	19.1%	648	7.0%

¹ Includes the Essential Plan

Receipts

Through September 2017, total tax receipts were \$692 million below the initial plan. PIT collections were \$1.1 billion below the estimate. The shortfall in PIT receipts was primarily due to weaker than expected estimated payments related to Tax Year 2017, and to the timing of both STAR property tax exemption-conversion credits and refunds related to Tax Year 2016. Sales tax collections lagged initial estimates due to weaker than expected consumer spending growth. Higher business tax collections are due to higher gross receipts in the insurance tax, refund timing in the corporation franchise tax, and audit timing in the bank tax. Estate tax collections were \$150 million higher than planned due to an unusually large estate tax payment received.

Miscellaneous receipts were \$384 million higher than the initial plan, primarily reflecting the impact of the Extraordinary Monetary Settlement payments from BNPP (\$350 million) and Habib Bank (\$225 million), as well as higher than planned abandoned property receipts. These increases are partly offset by the timing of reimbursement from bond proceeds.

Compared to the revised plan, total tax receipts were \$386 million below plan, driven by lower than projected tax receipts across almost all major tax categories apart from the estate tax, and are generally consistent with the explanations above. Business tax receipts reflect lower gross receipts from the corporation franchise tax, driven by lower September estimated payments. Higher miscellaneous receipts include the Extraordinary Monetary Settlement payment from the Habib Bank (\$225 million) and higher SUNY receipts.

Variances in Federal grants are largely driven by Federal spending, as described below, as well as timing-related reimbursement of costs incurred.

Spending

Compared to initial projections, State Operating Funds spending was \$633 million or 1.3 percent below plan, reflecting lower spending for local assistance (\$715 million) and debt service (\$36 million), and higher expenses for State Operations (\$118 million).

Local assistance spending was lower than planned across several agencies and programs. The most notable variances include:

- Social Services (\$365 million lower) driven by timing-related changes in payments for several OCFS Programs including day care (\$137 million), Child Welfare Services (\$52 million), Foster Care Block Grant (\$47 million), and various youth programs (\$47 million); and benefit payments (\$67 million).
- STAR (\$110 million lower) due to the timing of the conversion of the NYC PIT rate reduction benefit.

- All Other (\$376 million lower) primarily includes lower education spending due to timing-related delays in payments for several claims-based programs, including preschool special education (\$191 million), summer school special education (\$59 million), and nonpublic school aid (\$67 million).
- DOH Medicaid (\$212 million higher) comprised of \$388 million for Medicaid, partly offset by underspending for the EP (\$156 million) and Medicaid Administration (\$19 million). Higher Medicaid spending is comprised of delayed drug rebates (\$150 million), additional Managed Long Term Care claims due to enrollment levels (\$68 million), and the delayed application of the MSA Tobacco Settlement receipts offset (\$103 million). Essential Plan underspending is due to the shift in Federal-State reimbursement, where the Federal government is funding a greater share of the program, resulting in a decreased State contribution to EP.

State Operations (including fringe benefits) spending was \$118 million above plan, primarily due to higher than projected spending for SUNY (\$62 million), DOCCS for personnel costs including overtime (\$48 million), and Judiciary due to retroactive salary payments made pursuant to recently settled contracts (\$23 million). Higher fringe benefits and fixed costs (\$85 million) include timing-related delay of reimbursement by agencies operating outside of the General Fund.

Lower debt service spending was attributable to savings generated from the July bond sale.

Lower capital spending (\$1.5 billion) was largely driven by slower spending for DOT projects for JFK Access and Upstate Aviation Competition (\$555 million), MTA spending (\$347 million), and various other capital programs, including wastewater infrastructure projects and Smart Schools initiatives. Monthly capital spending estimates have been revised to move the spending to later months.

Compared to the revised plan, the spending variances are generally consistent with the initial estimates as described above, except for capital spending, which varied to a lesser degree compared to plan due to timing revisions reflected in the First Quarterly Update.

Results Compared to Plan - General Fund

The General Fund closing balance at the end of September 2017 was \$698 million higher than the Enacted Budget estimate. The shortfall in tax receipts was offset by the receipt of two monetary settlements, and disbursements fell well below planned levels.

GENERAL FUND OPERATING RESULTS COMPARED TO PLAN							
APRIL THROUGH SEPTEMBER 2017							
(millions of dollars)							
	Enacted Plan	Revised Plan	Results	Above/(Below) Variance			
				Enacted Plan		Revised Plan	
				\$	%	\$	%
OPENING BALANCE	7,749	7,749	7,749	0	0.0%	0	0.0%
Total Receipts	33,703	33,881	33,771	68	0.2%	(110)	-0.3%
Taxes:	32,719	32,520	32,123	(596)	-1.8%	(397)	-1.2%
Personal Income Tax ¹	22,667	22,111	21,705	(962)	-4.2%	(406)	-1.8%
Consumption / Use Taxes ¹	6,785	6,697	6,675	(110)	-1.6%	(22)	-0.3%
Business Taxes	2,205	2,629	2,540	335	15.2%	(89)	-3.4%
Other Taxes ¹	1,062	1,083	1,203	141	13.3%	120	11.1%
Receipts and Grants	854	1,231	1,531	677	79.3%	300	24.4%
Transfers From Other Funds	130	130	117	(13)	-10.0%	(13)	-10.0%
Total Spending	35,627	35,085	34,997	(630)	-1.8%	(88)	-0.3%
Local Assistance	22,053	21,762	21,463	(590)	-2.7%	(299)	-1.4%
Agency Operations (including GSCs)	7,907	7,839	8,146	239	3.0%	307	3.9%
Transfers to Other Funds	5,667	5,484	5,388	(279)	-4.9%	(96)	-1.8%
Debt Service Transfer	351	365	350	(1)	-0.3%	(15)	-4.1%
Capital Projects Transfer	1,550	1,431	1,345	(205)	-13.2%	(86)	-6.0%
State Share of Mental Hygiene Medicaid Transf	708	636	647	(61)	-8.6%	11	1.7%
SUNY Operations Transfer	835	835	834	(1)	-0.1%	(1)	-0.1%
All Other Transfers	2,223	2,217	2,212	(11)	-0.5%	(5)	-0.2%
Change in Operations	(1,924)	(1,204)	(1,226)	698	36.3%	(22)	-1.8%
CLOSING BALANCE	5,825	6,545	6,523	698	12.0%	(22)	-0.3%

¹ Includes transfers from other funds after debt service.

General Fund tax collections were \$596 million below the initial estimate, consistent with the All Funds summary above. This was offset by unplanned Extraordinary Monetary Settlements totaling \$575 million.

Through September 2017, General Fund disbursements, including transfers to other funds, were \$630 million and \$88 million below the initial and revised projections, respectively. The causes of lower local assistance and higher agency operations spending are consistent with the State Operating Funds variances summarized above.

In addition, certain General Fund transfers did not occur as planned and are now expected in future months, most notably for transfers to support capital spending for transportation programs, which materialized at a slower than anticipated rate through September 2017.

Glossary of Acronyms

AAA	Area Agencies on Aging
ACA	Affordable Care Act
AG	Attorney General
AGI	Adjusted Gross Income
AIG	American International Group, Inc.
AIM	Aid and Incentives for Municipalities
ALICO	American Life Insurance Company
AML	Anti-Money Laundering
AMTAP	Additional Mass Transportation Assistance Program
APCD	All-Payer Claims Databases
APD	All Payer Database
ARC	Annual Required Contribution
AXA	AXA Equitable Life Insurance Company
BANs	Bond Anticipation Notes
BEA	Bureau of Economic Analysis
BNPP	BNP Paribas, S.A., New York Branch
BOCES	Boards of Cooperative Educational Services
BofA	Bank of America
BSA	Bank Security Act
BTMU	Bank of Tokyo-Mitsubishi UFJ, Ltd.
CBO	Congressional Budget Office
CHIP	Children's Health Insurance Program
CHP	Child Health Plus
CMS	Centers for Medicare & Medicaid Services
COLA	Cost-of-Living Adjustment
CPI	Consumer Price Index
CSEA	Civil Service Employees Association
CSX	CSX Transportation, Inc.
CUNY	City University of New York
DA	District Attorney
DANY	New York County District Attorney
DASNY	Dormitory Authority of the State of New York
DC-37	District Council 37
DDPC	Developmental Disabilities Planning Council
DEC	Department of Environmental Conservation
DelAm	Delaware American Life Insurance Company
DFS	Department of Financial Services
DHBTF	Dedicated Highway and Bridge Trust Fund
DHSES	Division of Homeland Security & Emergency Services
DIIF	Dedicated Infrastructure Investment Fund
DMV	Department of Motor Vehicles
DOB	Division of the Budget
DOCCS	Department of Corrections and Community Supervision
DOH	Department of Health
DOS	Department of State
DOT	Department of Transportation
DS	Debt Service
DSH	Disproportionate Share Hospital
DSP	Division of State Police
DSRIP	Delivery System Reform Incentive Payment
DTF	Department of Taxation and Finance
EI	Early Intervention
EISO	Enterprise Information Security Office
EMAC	Emergency Management Assistance Compact
EP	Essential Plan
EPF	Environmental Protection Fund

Glossary of Acronyms



EPIC	Elderly Pharmaceutical Insurance Coverage
ERS	Employees' Retirement System
ESD	Empire State Development
ESPRI	Empire State Poverty Reduction Initiative
FFP	Federal Financial Participation
FFY	Federal Fiscal Year
FHP	Family Health Plus
FMAP	Federal Medical Assistance Percentage
FPG	Fortis Property Group
FTE	Full-Time Equivalent
FY	Fiscal Year
GAAP	Generally Accepted Accounting Principles
GASB	Governmental Accounting Standards Board
GDP	Gross Domestic Product
GEA	Gap Elimination Adjustment
GLIP	Group Life Insurance Plan
GLOP	General Legislative Operations Program
GPHW	General Public Health Work
GSCs	General State Charges
GSEU	Graduate Student Employees Union
HCRA	Health Care Reform Act
HESC	Higher Education Services Corporation
HUT	Highway Use Tax
IAAF	Interim Access Assurance Fund
IPOs	Initial Public Offerings
IT	Information Technology
ITS	Information Technology Services
LGAC	Local Government Assistance Corporation
LICH	Long Island College Hospital
LIGC	Life Insurance Guaranty Corporation
LLC	Limited Liability Company
MA	Medicaid
M/C	Management/Confidential
MCTD	Metropolitan Commuter Transportation District
MMIS	Medicaid Management Information System
MRT	Medicaid Redesign Team
MSA	Master Settlement Agreement
MTA	Metropolitan Transportation Authority
NMS	New Medical Site
NPS	Non-Personal Service
NYC	New York City
NYS	New York State
NYSCOPBA	New York State Correctional Officers and Police Benevolent Association
NYSHIP	New York State Health Insurance Program
NYSLRS	New York State and Local Retirement System
NYSOH	New York State of Health
NYSPBA	Police Benevolent Association of the New York State Troopers
NYSPIA	New York State Police Investigators Association
NYSTA	New York State Thruway Authority
NYU	New York University
OAG	Office of the Attorney General
OASAS	Office of Alcoholism and Substance Abuse Services
OCA	Office of Court Administration
OCFS	Office of Children and Family Services
OMH	Office of Mental Health
OPEB	Other Post-Employment Benefits

OPWDD	Office for People with Developmental Disabilities
ORDA	Olympic Regional Development Authority
ORP	Optional Retirement Program
OSC	Office of the State Comptroller
OTDA	Office of Temporary and Disability Assistance
PA	Public Assistance
PAYGO	Pay-As-You-Go
PBANYS	Police Benevolent Association of New York State
PBT	Petroleum Business Tax
PEF	Public Employees Federation
PFRS	Police and Fire Retirement System
PI	Personal Income
PILOT	Payments in Lieu of Taxes
PIT	Personal Income Tax
PS	Personal Service
PwC	PricewaterhouseCoopers LLP
QHP	Qualified Health Plan (NYSOH)
RBTF	Revenue Bond Tax Fund
RFP	Request for Proposals
RPCI	Roswell Park Cancer Institute
RSSL	Retirement and Social Security Law
SCB	Standard Chartered Bank
SCB NY	Standard Chartered Bank, New York Branch
SED	State Education Department
SHIN-NY	Statewide Health Information Network for New York
SIF	State Insurance Fund
SOF	State Operating Funds
SOFA	State Office for the Aging
SRO	State Special Revenue
SSI	Supplemental Security Income
STAR	School Tax Relief
STARC	Sales Tax Asset Receivable Corporation
START	Systemic Therapeutic Assessment Respite and Treatment
STEM	Science, Technology, Engineering and Mathematics
STIP	Short-Term Investment Pool
SUNY	State University of New York
SY	School Year
TAP	Tuition Assistance Program
TIAA - CREF	Teachers Insurance and Annuity Association - College Retirement Equities Fund
TNC	Transportation Network Company
TRS	Teachers' Retirement System
UPL	Upper Payment Limit
URI	Upstate Revitalization Initiative
U.S.	United States
UUP	United University Professions
VDC	Voluntary Defined Contribution
VLТ	Video Lottery Terminal
WCB	Workers' Compensation Board



Financial Plan Tables and Accompanying Notes

The notes to the Financial Plan tables herein should be read in conjunction with the tables that follow.

Note 1 – Basis of Accounting

Pursuant to law, all Financial Plan tables presented herein are prepared on the cash basis of accounting, unless otherwise noted. Under the cash basis of accounting, revenues are recognized when received, and spending is recorded when cash is disbursed.

Note 2 – Fund Types and Perspectives

The State records its transactions in the following fund types:

Governmental Funds

General Fund - This is the major operating fund of the State. It receives all State income not earmarked for a particular program or activity and not specified by law to be deposited in another fund. State income for Financial Plan purposes consists of moneys (taxes, fees, and miscellaneous receipts including certain repayments of State advances) deposited to the credit of the General Fund or transferred from other funds during the fiscal year.

Special Revenue - These funds account for State receipts from specific revenue sources and are legally restricted to disbursement for specified purposes. This governmental fund type is divided into two classifications: (1) State Special Revenue Funds; and (2) Federal Special Revenue Funds. An example of a State Special Revenue Fund is the Conservation Fund which finances a number of State environmental programs. An example of a Federal Special Revenue Fund is the Health and Human Services Fund which finances various social services programs, including Medicaid and public assistance. Although any earmarked revenue fund is treated as a Special Revenue Fund for cash-basis budgeting and reporting purposes, some are combined with the General Fund for purposes of reporting on the basis of GAAP.

Debt Service - All tax-financed State debt service on long-term debt and payments on certain lease-purchase or other contractual obligations are paid from Debt Service funds. These account for the accumulation of money for, and the payment of principal and interest on, general long-term debt. Lease-purchase payments for Health and Mental Hygiene facilities under contractual agreements with public authorities are also paid from funds classified as Debt Service funds. Debt service on highway bonds supported by dedicated highway revenues is also reflected in this fund type. Sources of revenue for this fund type include transfers from the General Fund, dedicated taxes, and other revenues.

Capital Projects - These funds finance a variety of capital construction costs including: (i) planning, land acquisition, design, construction, construction management and supervision, and equipment costs; (ii) highway, parkway and rail preservation projects; (iii) outdoor recreation and environmental conservation projects; (iv) buildings and other capital facilities required by various State departments and agencies; (v) payments to local governments to help finance their

capital programs, including highway, parkway, bridge, mass transportation, aviation, economic development, port development, community college, community and State mental health, outdoor recreation, State-assisted housing, and environmental quality; and (vi) advances for capital construction costs reimbursable by public authorities, instrumentalities of the State, the Federal government or local governments. Sources of revenue for this fund type include transfers from other State funds, including the General Fund and Special Revenue Funds, dedicated taxes and other revenues, reimbursement of advances, bond proceeds, and Federal capital grants.

State Operating Funds

The State Funds operating budget is a broader measure of spending for operations (as distinct from capital purposes) that is funded with State resources. It comprises the General Fund and other State-supported activities financed by dedicated revenues in State Special Revenue funds, as well as Debt Service funds accounting for the payment of debt service on all tax-financed State long-term debt.

Proprietary Funds

Internal Service Funds - Account for the financing of goods or services supplied by one State agency to another State agency or other governmental entities on a cost-reimbursement basis.

Enterprise Funds - Account for operations that are similar to private business enterprises.

The Internal Service funds and Enterprise funds are treated as Proprietary funds for cash-basis budgeting and reporting purposes, and are combined with the General Fund for purposes of reporting on a GAAP basis.

Fiduciary Funds

Private-Purpose Trust Funds - Account for all trust arrangements under which principal and income benefit individuals, private organizations, or other governments.

Pension Trust Fund - Account for the cash-basis results of operations for the administrative portion of the State's Common Retirement Fund. It does not reflect investment activity, balances, or other assets available to this Fund. In addition, pension contributions and payments to retirees are excluded since these payments are not required to be appropriated.

Agency Funds - Account for funds held by the State in a purely custodial capacity. Cash is held temporarily until disbursements are made to individuals, private organizations, or other governments.

Note 3 – Disbursement Descriptions

The State's Financial Plan and reporting include only those payments made pursuant to an appropriation and paid from funds available in the State Treasury. All State spending is classified in one of the following categories:

Local Assistance Grants - Include payments to counties, cities, towns, villages, school districts and other local entities, as well as certain financial assistance to, or on behalf of, individuals and nonprofit organizations. Certain disbursements from capital projects funds, including payments to local government units and public authorities, are recorded as local assistance.

PS - Includes the payment of salaries and compensation for State employees.

NPS - Includes payments for operational costs, such as miscellaneous contractual obligations, supplies and materials, travel, rentals and repairs, utilities, postage and shipping, printing, and telephone.

GSCs - Includes costs mandated by statute, collective bargaining agreements or court order. Charges in this category can be further subdivided into the following:

Fringe Benefits: Contributions to pension systems, the employer's share of Social Security contributions, employer contributions toward the cost of health insurance, workers' compensation and unemployment insurance, and contributions to union employee benefit funds which provide vision care and other services.

Fixed Costs: For State PILOT programs, as well as payments for local assessments on State-owned land, judgments against the State pursuant to the Court of Claims Act, defenses by private counsel or alternatively payments on behalf of State officers and employees in civil judicial proceedings.

Debt Service - Includes payments made for tax-financed State debt service on long-term debt; contractual-obligation and lease-purchase arrangements with several public authorities and municipalities; and lease-purchase payments for Health and Mental Hygiene facilities.

Capital Projects - Includes payments made for the acquisition or construction of the State's capital facilities. Included in this category are planning, land acquisition, design, construction, engineering services, and equipment costs; highway, parkway and rail preservation projects; and outdoor recreation and environmental conservation projects. Advances are made for capital construction costs reimbursable by public authorities, the Federal or local governments, or from the proceeds of State bond and note sales.

Bond Proceeds - Includes the proceeds of General Obligation Bonds and short-term notes issued in the form of commercial paper or Bond Anticipation Notes (BANs), and are stated net of notes redeemed from the proceeds of long-term bonds or reissued notes.

Operating Transfers - Constitutes legally authorized transfers from a fund receiving revenues, to a fund through which disbursements will ultimately be made.

Note 4 – Reservations of General Fund Balance

Funds of the General Fund may be legally segregated for specific future use or informally reserved for specified purposes. The following funds of the General Fund are established in law:

Tax Stabilization Reserve - Created to provide a reserve to finance a cash-basis operating deficit in the General Fund at the end of the fiscal year, and to make temporary loans to the General Fund during the year. Annual deposits may not exceed 0.2 percent of General Fund spending, and the balance may not exceed 2 percent of General Fund spending. These amounts may be borrowed by the General Fund temporarily and repaid within the same fiscal year. They may also be borrowed to cover an operating deficit at year end, but these loans must be repaid within six years in no fewer than three annual installments.

Rainy Day Reserve - Created pursuant to law to account for funds set aside for use during economic downturns or in response to a catastrophic event, as defined in the law. The economic downturn clause is triggered after five consecutive months of decline in the State's composite index of business cycle indicators. The reserve may have a maximum balance equal to 5 percent of projected General Fund spending during the fiscal year immediately following the then-current fiscal year.

Contingency Reserve - Created pursuant to law to provide a reserve to fund extraordinary needs arising from litigation against the State. These amounts may be used for payment of judgments against the State where the amount is in excess of \$25 million and such payments are not previously appropriated, or emergency payments relating to natural or physical disasters, or to make payments for the enhancement of the State's economy.

Community Projects - Created pursuant to law to finance discretionary, usually local, projects ("member items") sponsored by individual legislators and the Governor. In previous years, the Budget included lump sum appropriations for the Governor, Senate and the Assembly, to be designated for various grants, awards and contracts with local governments, not-for-profit organizations and community groups. The FY 2018 Budget includes no new appropriations.

The following funds of the General Fund are reserved for specified or undesignated purposes:

Debt Management - Reserved for i) the payment of principal, interest, or related expenses; ii) retiring or defeasing existing State-supported debt obligations, including accrued interest; and iii) the funding of capital projects, equipment, or similar expenses that would otherwise be financed with debt.

Undesignated Fund Balance - From time to time, DOB will informally designate unrestricted balances in the General Fund for specific purposes. These amounts are typically identified with the phrase "reserved for." For example, due to the nature of the timing of labor agreements, DOB will informally reserve balances for possible prior-year costs for unions without current contracts.

Extraordinary Monetary Settlements - Includes the balance of Extraordinary Monetary Settlements after planned uses.

Note 5 – Items Affecting Annual Comparability

Superstorm Sandy - In October 2012, Superstorm Sandy caused widespread flooding, power failures, and wind damage to public and private property in New York City, Long Island, and other downstate areas. Public infrastructure, including mass transit systems, public schools, and municipal buildings sustained serious damage. The Financial Plan reflects Federal aid which will flow to local governments, public authorities, and not-for-profits over the multi-year Plan to continue the State's recovery from Superstorm Sandy.

Federal Health Care Transformation

- **ACA** - The ACA, which became effective January 1, 2014, is intended to provide access to health insurance coverage to ensure appropriate access to care, and to restrain escalating health care costs. The Financial Plan reflects the impact of the ACA in a number of ways including, but not limited to, the benefit of lower costs associated with the State share of Medicaid as a result of enhanced Federal funding provided for Medicaid costs and program expansion. In addition, beginning in FY 2015, DOH assumed new costs associated with operating the NYSOH insurance exchange, which is the State's single point of access insurance marketplace in accordance with the ACA, and has begun implementing a new information system designed to improve health insurance claiming.
- **Federal DSRIP** - On April 14, 2014, Governor Andrew M. Cuomo announced that terms and conditions were finalized between the State and Federal governments, allowing the State to reinvest \$8 billion in Federal savings generated by MRT reforms. The waiver amendment will address critical health care issues statewide and allow for comprehensive reform through a DSRIP program. The DSRIP program will promote community-level collaborations and focus on system reform, specifically a goal to achieve a 25 percent reduction in avoidable hospital use over five years, and clinical and population health improvements. The Financial Plan reflects the impact of the DSRIP program through additional Federal funds disbursements of approximately \$7 billion over the five-year planning period. The remainder of the DSRIP program reinvestment funding is expected to be disbursed beyond the current planning period.
- **EP** - The EP is a health insurance program authorized through the ACA. The FY 2015 Enacted Budget authorized the State's option to participate in the EP. In April 2015, the State began phasing-in certain legally residing immigrants who were receiving State-only Medicaid coverage. Individuals who meet the eligibility standards of the EP are enrolled through the NYSOH insurance exchange, with the cost of insurance premiums subsidized by the State and Federal governments. Upon full implementation of EP, approximately 90 percent of program expenditures are expected to be funded by the Federal government. State funding for the EP is offset by lower State Funds Medicaid program spending and additional Federal Funds.

Note 6 – State Funding of SUNY Operating Support

Effective with the 2013 academic year that began in July 2012, all General Fund support for SUNY operations is transferred from the General Fund to the State University Income special revenue fund, from which all university operations are funded.

Note 7 – Off-Budget Transactions

These represent certain capital spending that is not reported in cash spending results, but is reported in the State's GAAP Financial Statements. This spending is related to programs which are financed directly from bond proceeds on deposit at various public authorities, rather than from a STIP or General Fund loan.

Note 8 – General Fund/HCRA Combined Gap

Current HCRA authorization ends April 1, 2020. HCRA is projected to remain balanced through FY 2021. Any unforeseen shortfall would need to be financed by the General Fund or HCRA programs.

Note 9 – MTA Financial Assistance Fund

The Metropolitan Commuter Transportation Mobility Tax (Mobility Tax) is imposed on certain employers and self-employed individuals engaging in business within the MCTD. This revenue source is collected by the State on behalf of, and disbursed in its entirety to, the MTA. Due to requirements of the enabling legislation, the tax is reflected in the State's Special Revenue Funds, increasing estimated receipts and disbursements.

Additional funding to support the MTA is provided through a transfer from the General Fund, pursuant to December 2011 tax reform legislation which exempts school districts and small businesses operating within the MCTD from the provisions of the Mobility Tax.

Note 10 – Changes to the Medicaid Program

Since January 2006, the State has paid any annual Medicaid increases above a fixed level that otherwise would have been paid by Local Social Services Districts. The statutory indexing provisions were amended through legislation to implement a three-year phased-takeover of the Local Social Services Districts' share of all growth above the previous year's enacted levels beginning on April 1, 2013 for County Year 2013, with the State assuming all growth in County Year 2015.

Note 11 — Statewide Cash Flow Administration

OSC invests General Fund moneys, bond proceeds, and other funds not immediately required to make payments through STIP, which is comprised of joint custody funds (Governmental Funds, Internal Service Funds, Enterprise Funds and Private Purpose Trust Funds), as well as several sole custody funds including the Tobacco Settlement Fund.

OSC is authorized to make short-term loans from STIP to cover temporary cash shortfalls in certain funds and accounts, including the General Fund, resulting from the timing of receipts and disbursements. The Legislature authorizes the funds and accounts that may receive loans each year, based on legislation submitted annually. Loans may be granted only for amounts that the Director of the Budget certifies are “receivable on account” or can be repaid from the current operating receipts of the fund (i.e., loans cannot be granted in expectation of future revenue enhancements).

The General Fund is authorized to borrow resources temporarily from STIP for up to four months, or to the end of the fiscal year, whichever period is shorter. The State last used this authorization in April 2011 when the General Fund needed to temporarily borrow a minimal amount of funds from STIP for a period of five days. The amount of resources that can be borrowed by the General Fund is limited to the available balances in STIP, as determined by the State Comptroller. Available balances include money in the State’s governmental funds and a relatively small amount of other money belonging to the State. Several accounts in Debt Service Funds and Capital Projects Funds that are part of All Governmental Funds are excluded from the balances deemed available in STIP. These excluded funds consist of bond proceeds and money obligated for debt service payments.

Note 12 — Transfer Eliminations

The Special Revenue Funds and Capital Projects Funds include transfers of resources between Federal and State accounts within each fund. In some instances, Federal aid that is initially deposited into a Federal account is transferred to State accounts where the aid is used to support the programs it is intended to fund. To avoid recording spending twice, initially as the transfer of resources to another account and subsequently when payments are made, these transfers are eliminated in these funds. The transfer eliminations are consistent with the State Comptroller’s accounting practices shown in Exhibit A of the Comptroller’s Annual Report to the Legislature.

Note 13 — Temporary Loans Summary

The total outstanding loan balance as of March 31, 2017 was \$2.8 billion, comprised of activities that are financed initially by the advances for bond-reimbursable capital spending pending the receipt of bond proceeds, State pending Federal receipt, State Special Revenue Funds, and Proprietary Funds. The low balance as of March 31, 2016 was \$2.7 billion.

The total loan balance typically increases throughout the State fiscal year, reaching its peak between the second and third quarters. The spike mainly reflects the payment of lottery aid for education, which is financed in large part by a loan that is repaid over the course of the year as lottery revenues are received.

TEMPORARY LOANS OUTSTANDING			
(millions of dollars)			
	March 31		Annual Change
	2016	2017	
Total Loans Outstanding	2,748	2,759	11
State Special Revenue Funds	345	298	(47)
Federal Funds	1,258	980	(278)
Capital Funds	966	1,246	280
Proprietary Funds	179	235	56

Note 14 — 2 Percent Spending Benchmark

The line on the Financial Plan tables labeled “Adherence to 2 percent Spending Benchmark” is the estimated savings that would be realized by limiting annual spending growth in future years to 2 percent. The current Administration is expected to propose, and negotiate with the Legislature to enact, Budgets that hold State Operating Funds spending growth to 2 percent. The General Fund operating projections assume that all savings from adhering to 2 percent spending growth are made available to the General Fund.

Note 15 - List of Extraordinary Monetary Settlements Received

The following Extraordinary Monetary Settlements were received by the State between April 1, 2014 through September 2017.

- BNP Paribas, S.A. New York Branch (BNPP) paid nearly \$3.6 billion pursuant to (i) a June 29, 2014 consent order between the DFS and BNPP and (ii) a June 30, 2014 plea agreement between BNPP and the New York County District Attorney (DANY) in connection with conduct by BNPP which violated U.S. national security and foreign policy and raised serious safety and soundness concerns for regulators. BNPP's conduct included obstructing governmental administration, failing to report crimes and misconduct, offering false instruments for filing, and falsifying business records.
- BNP Paribas S.A. and BNP Paribas S.A., New York Branch (collectively "BNPP") paid a \$350 million civil monetary penalty pursuant to a May 24, 2017 consent order between BNPP and the New York State DFS. This consent order pertains to BNPP's significant and material failure with respect to implementing effective controls over its Foreign Exchange trading business.
- Credit Suisse AG paid a \$715 million civil monetary penalty pursuant to a May 18, 2014 consent order between DFS and Credit Suisse AG. This consent order pertained to Credit Suisse AG's decades-long operation of an illegal cross-border banking business whereby Credit Suisse AG knowingly and willfully (i) aided thousands of U.S. clients in opening and maintaining undeclared accounts, and (ii) concealed offshore assets and income from the Internal Revenue Service and New York authorities.
- Credit Suisse Securities (USA) LLC paid the State \$30 million pursuant to a settlement agreement between the Office of the Attorney General and Credit Suisse Securities, to settle investigations into false statements and omissions made in connection with the marketing of dark pools and other high-speed electronic equities trading services.
- Commerzbank AG New York Branch and Commerzbank AG (collectively "Commerzbank") paid a \$610 million civil monetary penalty pursuant to a March 12, 2015 consent order between Commerzbank and DFS. This consent order pertained to Commerzbank's transactions on behalf of Iran, Sudan, and a Japanese corporation which engaged in accounting fraud in violation of New York State Banking Law and regulations. Additionally, Commerzbank AG paid \$81.7 million in fines and forfeiture payments pursuant to a Deferred Prosecution Agreement between Commerzbank, the New York County District Attorney's Office and the United States Department of Justice. This Deferred Prosecution Agreement pertained to Commerzbank's actions in moving more than \$250 million through the U.S. financial system primarily on behalf of Iranian and Sudanese customers in violation of U.S. sanctions, by concealing the illegal nature of these transactions and deceiving U.S. banks into processing illegal wire payments.

- Deutsche Bank AG and Deutsche Bank AG New York Branch (collectively “Deutsch Bank”) paid a \$600 million civil monetary penalty in accordance with an April 23, 2015 consent order between Deutsche Bank and DFS. This consent order pertained to Deutsche Bank’s manipulation of benchmark interest rates, including (i) the London Interbank Offered Rate, (ii) the Euro Interbank Offered Rate and (iii) the Euroyen Tokyo Interbank Offered Rate, in violation of New York State Banking Law and regulations.
- Deutsche Bank paid an additional \$200 million civil monetary penalty pursuant to a November 3, 2015 consent order between DFS and Deutsche Bank. This consent order pertained to Deutsche Bank’s use of non-transparent methods and practices to conduct more than 27,200 U.S. dollar clearing transactions, valued at over \$10.86 billion, on behalf of Iranian, Libyan, Syrian, Burmese, and Sudanese financial institutions and other entities subject to U.S. economic sanctions, including entities on the Specially Designated Nationals List of the U.S. Treasury Department’s Office of Foreign Assets Control.
- Deutsche Bank Securities Inc. (“Deutsche Bank”) paid in January 2017 a \$18.5 million monetary penalty in accordance with a 2016 settlement agreement between Deutsche Bank and the Office of the Attorney General of the State of New York. This settlement agreement pertained to Deutsche Bank’s material misstatements and omissions in various written materials disseminated to clients and potential clients with respect to Deutsche bank’s routing technology.
- On February 7, 2017, the State received a \$425 million fine from Deutsche Bank AG and Deutsche Bank AG New York Branch (collectively "Deutsche Bank") in accordance with a consent order with DFS. The consent order addresses compliance deficiencies at Deutsche Bank that allowed bank traders and offshore entities to improperly and covertly transfer more than \$10 billion out of Russia through security trading schemes known as "mirror trades."
- Barclays paid \$635 million, which included (i) a \$485 million civil monetary penalty in accordance with a May 2015 consent order between Barclays and DFS, and (ii) a \$150 million civil monetary penalty in accordance with a November 2015 consent order between Barclays and DFS. The May 2015 consent order pertained to Barclays’ attempted manipulation of benchmark foreign exchange rates and other manipulative conduct in violation of New York State Banking Law and regulations. The November 2015 consent order pertained to Barclays’ automated electronic foreign exchange trading misconduct.
- Barclays Capital Inc. paid the State \$35 million pursuant to a settlement agreement between the Office of the Attorney General and Barclays Capital Inc. to settle investigations into false statements and omissions made in connection with the marketing of their dark pools and other high-speed electronic equities trading services.

- Credit Agricole paid \$459 million, which included (i) a \$385 million civil monetary penalty pursuant to an October 2015 consent order between DFS and Credit Agricole, and (ii) an asset forfeiture of \$74 million pursuant to a deferred prosecution agreement with the New York County District Attorney's office. Both the consent order and deferred prosecution agreement pertained to Credit Agricole's processing billions of dollars of payments on behalf of certain sanctioned parties.
- The Bank of Tokyo-Mitsubishi UFJ, Ltd. (BTMU) paid a \$315 million civil monetary penalty pursuant to a November 18, 2014 consent order between DFS and BTMU. This consent order pertained to BTMU's wrongful actions in misleading DFS concerning BTMU's U.S. dollar clearing services conducted on behalf of sanctioned Sudanese, Iranian, and Burmese parties. Previously, BTMU paid a \$250 million civil monetary penalty pursuant to a June 19, 2013 consent order between DFS and BTMU. Such consent order pertained to BTMU's unlawful clearance through the New York Branch and other New York-based financial institutions of approximately 28,000 U.S. dollar payments, valued at approximately \$100 billion, on behalf of certain sanctioned parties.
- Bank of America (BofA) paid \$300 million pursuant to an August 18, 2014 settlement agreement to remediate harms related to BofA's violations of State law in connection with the packaging, origination, marketing, sale, structuring, arrangement, and issuance of residential mortgage-backed securities and collateralized debt obligations. The settlement agreement is the result of investigations by Federal and State entities into BofA Corporation, Bank of America, N.A., and BofA Mortgage Securities, as well as their current and former subsidiaries and affiliates.
- Standard Chartered Bank, New York Branch (SCB NY) paid \$300 million pursuant to an August 19, 2014 consent order between the DFS and SCB NY for failure to fully comply with a September 21, 2012 consent order between the parties. The August 19, 2014 consent order pertained to SCB NY's use of ineffective risk management systems for the identification and management of compliance risks related to compliance with the Bank Security Act (BSA) and anti-money laundering (AML) laws, rules, and regulations. Such risks included U.S. dollar clearing for clients of SCB United Arab Emirates and SCB Hong Kong, among others.
- Morgan Stanley paid \$150 million pursuant to a 2016 settlement agreement between the Office of the Attorney General and Morgan Stanley. This settlement agreement pertained to harms to the State allegedly resulting from Morgan Stanley's creation, packaging, marketing, underwriting, sale, structuring, arrangement, and issuance of residential mortgage-backed securities in 2006 and 2007.
- Bank Leumi paid a \$130 million civil monetary penalty pursuant to a December 22, 2014 consent order between DFS and Bank Leumi. This consent order pertained to Bank Leumi's (i) knowing and willful operation of a wrongful cross-border banking business which assisted U.S. clients in concealing offshore assets and evading U.S. tax obligations, and (ii) misleading DFS about Bank Leumi's improper activities.

- Ocwen Financial (Ocwen) paid a \$100 million civil monetary penalty and another \$50 million as restitution to current and former Ocwen borrowers pursuant to a December 19, 2014 consent order between DFS and Ocwen. This consent order pertained to, among other things, numerous and significant violations of a 2011 agreement between Ocwen and DFS which required Ocwen to adhere to certain servicing practices in the best interest of borrowers and investors. The \$100 million payment is to be used by the State for housing, foreclosure relief, and community redevelopment programs supporting New York’s housing recovery. The \$50 million restitution payment will be used to make \$10,000 payments to current and former Ocwen borrowers in New York whose homes were foreclosed upon by Ocwen between January 1, 2009 and December 19, 2014. The balance of the \$50 million will then be distributed equally among borrowers who had foreclosure actions filed against them by Ocwen between January 1, 2009 and December 19, 2014, but in which Ocwen did not complete such foreclosure action.
- Citigroup Inc. (“Citigroup”) paid \$92 million pursuant to a July 2014 settlement agreement to remediate harms to the State resulting from the packaging, marketing, sale, structuring, arrangement, and issuance of residential mortgage-backed securities and collateralized debt obligations. The settlement agreement is the result of investigations by Federal and State entities into Citigroup.
- Goldman Sachs Group, Inc. and Goldman Sachs and Co. (collectively “Goldman”) paid a \$50 million civil monetary penalty pursuant to an October 2015 consent order between DFS and Goldman. This consent order pertained to Goldman’s failure to implement and maintain adequate policies and procedures relating to post-employment restrictions of former government employees.
- Goldman Sachs Group, Inc. as well as its current and former subsidiaries (collectively “Goldman Sachs”), paid the State \$190 million pursuant to an April 2016 settlement agreement between the Office of the Attorney General and Goldman. This settlement agreement pertained to the marketing, structuring, arrangement, underwriting, issuance, and sale of residential mortgage-backed securities by Goldman in 2006 and 2007.
- American Life Insurance Company (ALICO), Delaware American Life Insurance Company (DelAm), and MetLife, Inc. (MetLife) (collectively “MetLife Parties”) paid \$50 million as a civil fine pursuant to a March 31, 2014 consent order between DFS and MetLife Parties. This consent order pertained to a DFS investigation into whether ALICO and DelAm conducted an insurance business in New York without a New York license, and aided other insurers in conducting businesses in New York without a New York license.
- American International Group, Inc. (AIG) paid a \$35 million civil monetary penalty pursuant to an October 31, 2014 consent order between DFS and AIG. This consent order pertained to a DFS investigation which uncovered former subsidiaries ALICO and DelAm (i) solicited insurance business in New York without a license and (ii) made intentional misrepresentations and omissions to DFS concerning such activities.

- PricewaterhouseCoopers LLP (PwC) paid \$25 million pursuant to an August 14, 2014 settlement agreement between DFS and PwC to (i) resolve the DFS's investigation of PwC's actions in performing certain consulting services for the Tokyo Branch of The Bank of Tokyo-Mitsubishi UFJ, Ltd. in 2007 and 2008, and (ii) establish the basis for a constructive relationship between the parties that will better protect investors and the public.
- AXA Equitable Life Insurance Company (AXA) paid a \$20 million civil fine pursuant to a March 17, 2014 consent order between DFS and AXA. The consent order pertained to whether AXA properly informed DFS regarding the implementation of its "AXA Tactical Manager" strategy.
- Promontory Financial Group, LLC (Promontory) paid the State \$15 million pursuant to an August 18, 2015 agreement between DFS and Promontory. This agreement pertained to Promontory's performance of regulatory compliance work for Standard Chartered Bank wherein Promontory failed to meet DFS's requirements for consultants performing such regulatory compliance work.
- New Day Financial LLC Fulton, Maryland (New Day) paid a \$1 million civil monetary penalty pursuant to a November 18, 2015 consent order between the DFS and New Day. The consent order pertained to New Day's violation of the Nationwide Multistate Licensing System and Registry Rules of Conduct and New York Mortgage Banking laws.
- The NYS Attorney General announced that Volkswagen AG, Audi and Porsche Affiliates (collectively, "Volkswagen") paid the State \$32 million in monetary recoveries in accordance with a series of proposed settlement agreements between the Office of the Attorney General (amongst others) and Volkswagen. This settlement agreement pertains to Volkswagen's violations of emissions standards and state consumer protection laws. Additionally, the State will receive, and the Department of Environmental Conservation (DEC) will administer, approximately \$117 million for air quality improvement programs in New York.
- Volkswagen AG, Audi AG, Volkswagen Group of America, Inc. (d/b/a Volkswagen of America, Inc. or Audi of America, Inc.), Audi of America, L.L.C., and Volkswagen Group of America Chattanooga Operations LLC (collectively "Volkswagen"), will pay \$157.4 million pursuant to a March 29, 2017, Second Partial Settlement Agreement between Volkswagen and Dr. Ing. h.c. F. Porsche AG and Porsche Cars North America, Inc. (together, "Porsche") (Volkswagen and Porsche together, "Defendants") and the Attorneys General of the States of Connecticut, Delaware, Maine, New York, Oregon, Rhode Island, Vermont, and Washington, and the Commonwealths of Massachusetts and Pennsylvania. The Second Partial Settlement Agreement resolves any claims or potential claims against Defendants for their use of "Defeat Devices" in certain vehicles made pursuant to (a) federal, state and/or local environmental laws, rules and/or regulations, including but not limited to, laws, rules and/or regulations regarding (i) mobile source emissions, (ii) certification, (iii) reporting of information, inspection and maintenance of vehicles, and/or (iv) anti-tampering provisions, and (b) with the exception of Vermont,

claims that could be brought for injunctive relief and/or restitution or other monetary payments to consumers under applicable consumer protection, unfair trade, or deceptive acts and practices laws, rules and/or regulations, as well as common law and equitable claims to the extent not previously resolved under the First Partial Settlement Agreement. New York State has been allocated \$32.5 million of the \$157.4 million settlement to be paid by Volkswagen and may, consistent with state law and at its sole discretion, use all or a portion of its allocation for any environmentally beneficial purpose.

- Mega International Commercial Bank Co., LTD. and Mega International Commercial Bank Co. LTD. – New York Branch (collectively “Mega Bank”) paid the State a \$180 million monetary penalty in accordance with an August 19, 2016 consent order between DFS and Mega Bank. This consent order pertains to Mega Bank’s failure to maintain effective complaint and compliance programs, its failure to report the discovery of certain misconduct, and for other violations of New York Banking Law.
- Agricultural Bank of China Limited and Agricultural Bank of China, New York Branch (collectively “Agricultural Bank of China”) paid the State a \$215 million civil monetary penalty pursuant to a consent order between the NYS DFS and Agricultural Bank of China. This consent order pertained to Agricultural Bank of China’s serious and persistent compliance failures which indicate a fundamental lack of recognition of the need for a vigorous compliance infrastructure, and inadequate attention to the state of compliance.
- PHH Mortgage and PHH Homes Loans, LLC (collectively “PHH Mortgage”) will pay a \$28 million penalty in accordance with a 2016 consent order between PHH Mortgage and DFS. This consent order pertained to PHH Mortgage’s failure to (i) maintain books, accounts, records, and files in an appropriate manner, (ii) adequately and accurately disclose certain fees, and (iii) comply with other laws and regulations.
- Intesa Sanpaola S.p.A. and Intesa Sanpaola S.p.A. New York Branch (collectively “Intesa Bank”) paid the State a \$235 million civil monetary penalty pursuant to a consent order between the NYS DFS and Intesa Bank. This consent order pertains to compliance failures by Intesa Bank in 2005-06 and over the past several years, and Intesa Bank’s use of non-transparent practices from 2002-2006 to process payments on behalf of Iranian clients and other entities.
- Habib Bank Limited and Habib Bank Limited, New York Branch (together “Habib Bank”) paid a \$225 million civil monetary penalty pursuant to a September 7, 2017 consent order between Habib Bank and the New York State Department of Financial Services (DFS). This consent order pertains to Habib Bank’s failure to comply with laws and regulations designed to combat money laundering, terrorist financing, and other illicit financial transactions.

Note 16 – List of Settlement Uses (Prior to FY 2018 Enacted Budget)

The following purposes were identified in past budgets and are expected to continue to be funded with Extraordinary Monetary Settlement funds reappropriated in FY 2018.

- **Thruway Stabilization (\$2.0 billion):** The \$2.0 billion investment will support both the New NY Bridge project and other transportation infrastructure needs for the rest of the Thruway system.
- **Upstate Revitalization Program (\$1.7 billion):** Awarded \$1.5 billion in 2015 to the three Upstate regions selected as the Upstate Revitalization Initiative (URI) best plan awardees. An additional \$200 million (\$170 million from Extraordinary Monetary Settlements) was provided in 2016 to support projects in the remaining four eligible Upstate regions.
- **Affordable and Homeless Housing (\$640 million):** Settlement funds will augment the multi-year investment in affordable housing services, and provide housing opportunities for individuals and families who are homeless or at risk of homelessness. Funds will be invested over five years to create new housing opportunities for individuals and families in need of supportive services, as well as to assist vulnerable populations in securing stable housing.
- **Broadband Initiative (\$500 million):** Funds the New NY Broadband Fund Program to expand the availability and capacity of broadband across the State, or development of other telecommunication infrastructure. This program is intended to expand the creation of high-speed networks and promote broadband adoption.
- **Health Care/Hospitals (\$400 million):** Provides \$355 million in grants to essential health care providers that facilitate mergers, consolidation, acquisition, or other significant corporate restructuring activities to create a financially sustainable system of care. The Plan also funds capital expenses of the RPCI (\$15.5 million); a community health care revolving loan (\$19.5 million), and IT and other infrastructure costs associated with the inclusion of behavioral health sciences in the Medicaid Managed Care benefit package (\$10 million).
- **Penn Station Access (\$250 million):** The MTA Penn Station Access project, which will open a new Metro-North link directly into Penn Station, is expected to provide enhanced system resiliency, improvement in regional mobility, and construction of four new Metro-North stations in the Bronx.
- **Transportation Capital Plan (\$200 million):** Provides funding for transportation infrastructure projects across the State.

- **Municipal Restructuring and Consolidation Competition (\$170 million):** Includes \$20 million in funding for a Municipal Consolidation Competition to encourage the reduction of costs through a competitive process to be administered by the Department of State (DOS). This funding is in addition to \$150 million for the first Downtown Revitalization Initiative and for municipal restructuring to assist and encourage local governments and school districts to implement shared services, cooperation agreements, mergers, and other actions that permanently reduce operational costs and property tax burdens.
- **Resiliency, Mitigation, Security, and Emergency Response (\$150 million):** Provides funding for operating purposes such as preparedness and response efforts related to severe weather events, as well as efforts to prevent, prepare for, and respond to acts of terrorism, other public safety and health emergencies, and natural and man-made disasters.
- **Transformative Economic Development Projects (\$150 million):** Includes funds to promote economic development in Nassau and Suffolk counties.
- **Infrastructure Improvements (\$115 million):** Funding for infrastructure improvements to support transportation, upstate transit, rail, airport, port, and other projects.
- **Economic Development (\$85 million):** Funding for the economic development strategy of creating jobs, strengthening and diversifying economies, and generating economic opportunities across the State, including investments in infrastructure.
- **Southern Tier/Hudson Valley Farm Initiative (\$50 million):** Funding to help landowners in the Southern Tier and Hudson Valley maintain and develop farming, agricultural, and related businesses.
- **Empire State Poverty Reduction Initiative (ESPRI) (\$25 million):** To combat poverty throughout the State, \$25 million was provided for the ESPRI. This program will bring together State and local government, nonprofits, and community groups to design and implement coordinated solutions for addressing poverty in 16 municipalities: Albany, Binghamton, the Bronx, Buffalo, Elmira, Hempstead, Jamestown, Newburgh, Niagara Falls, Oneonta, Oswego, Rochester, Syracuse, Troy, Utica, and Watertown.
- **EPF (\$120 million):** This \$120 million and other EPF resources would provide dedicated funding to communities throughout New York State to improve the environment, combat climate change, and reduce greenhouse gas emissions.

A large portion, \$7.7 billion, of the Extraordinary Monetary Settlements have been programmed for capital projects that are expected to spend over multiple years. This has provided the flexibility to use these cash resources temporarily to support capital spending and avoid issuing debt immediately, saving on interest costs. Accordingly, the Financial Plan continues to assume that Extraordinary Monetary Settlements will temporarily be used for two different purposes:

1. Use \$1.3 billion to fund bond-financed capital disbursements. As shown in the table below, settlement resources were used to pay for \$1.3 billion of capital spending in FY 2017 to support higher education, transportation, and economic development. This \$1.3 billion advance from settlement funds will be repaid when the State reimburses this capital spending with bond proceeds in FY 2018. This will allow the settlement funds to be made available for the projects appropriated from DIIF. In addition, \$500 million of settlement resources will be utilized to support capital spending in FY 2018 with repayment projected in FY 2019.
2. Meet initial capital funding requirements for the Javits expansion project. As shown in the table below, the spending for the Javits expansion will be supported by settlement fund balances in the first instance, beginning in FY 2019. Subsequently, these expenses will be reimbursed from bond proceeds that are planned to be issued in FYs 2020 and 2021.

TEMPORARY USE OF EXTRAORDINARY MONETARY SETTLEMENTS FOR CAPITAL PROJECTS FUNDS (millions of dollars)						
	<u>FY 2017</u>	<u>FY 2018</u>	<u>FY 2019</u>	<u>FY 2020</u>	<u>FY 2021</u>	<u>Total</u>
Total Settlement Funds Replenished/(Used)	(1,300)	640	150	180	330	0
Transfer to DIIF for Javits Center Expansion	0	(160)	(350)	(320)	(170)	(1,000)
Bond Proceed Receipts for Javits Center Expansion	0	0	0	500	500	1,000
FY 2017 Temporary Loan to Capital Projects Fund	(1,300)	1,300	0	0	0	0
FY 2018 Temporary Loan to Capital Projects Fund	0	(500)	500	0	0	0

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**CASH FINANCIAL PLAN
GENERAL FUND
FY 2018 through FY 2021
(millions of dollars)**

	<u>FY 2018 Mid-Year</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>
Receipts:				
Taxes:				
Personal Income Tax	33,844	35,822	37,423	38,652
Consumption/Use Taxes	7,386	7,655	7,924	8,197
Business Taxes	5,598	5,707	6,136	6,473
Other Taxes	1,190	1,050	1,109	1,173
Miscellaneous Receipts	2,742	2,155	2,159	2,082
Federal Receipts	0	0	0	0
Transfers from Other Funds:				
PIT in Excess of Revenue Bond Debt Service	10,658	10,671	10,596	10,930
Sales Tax in Excess of LGAC	3,102	3,132	3,269	3,542
Sales Tax in Excess of Revenue Bond Debt Service	2,761	2,788	2,970	2,817
Real Estate Taxes in Excess of CW/CA Debt Service	1,015	1,074	1,125	1,166
All Other	1,210	729	713	712
Total Receipts	<u>69,506</u>	<u>70,783</u>	<u>73,424</u>	<u>75,744</u>
Disbursements:				
Local Assistance	46,752	49,968	53,075	55,728
State Operations:				
Personal Service	5,981	6,249	6,506	6,950
Non-Personal Service	2,232	2,501	2,599	2,625
General State Charges	5,713	6,152	6,583	7,090
Transfers to Other Funds:				
Debt Service	916	1,144	1,042	1,067
Capital Projects	2,192	3,950	3,755	3,382
State Share of Mental Hygiene Medicaid	1,301	1,231	1,119	1,119
SUNY Operations	1,022	1,021	1,020	1,021
Other Purposes	4,264	4,672	5,197	5,468
Total Disbursements	<u>70,373</u>	<u>76,888</u>	<u>80,896</u>	<u>84,450</u>
Use (Reservation) of Fund Balance:				
Community Projects	17	0	0	0
Potential Labor Agreements	(130)	0	0	0
Undesignated Fund Balance	14	0	0	0
Extraordinary Monetary Settlements	966	1,662	1,087	653
Total Use (Reservation) of Fund Balance	<u>867</u>	<u>1,662</u>	<u>1,087</u>	<u>653</u>
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)				
	0	(4,443)	(6,385)	(8,053)
Adherence to 2% Spending Benchmark				
	0	2,706	5,491	7,733
Net General Fund Surplus (Deficit)	<u>0</u>	<u>(1,737)</u>	<u>(894)</u>	<u>(320)</u>

**CASH FINANCIAL PLAN
GENERAL FUND
ANNUAL CHANGE FROM CURRENT YEAR
(millions of dollars)**

	<u>FY 2017 Results</u>	<u>FY 2018 Mid-Year</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
Opening Fund Balance	8,934	7,749	(1,185)	-13.3%
Receipts:				
Taxes:				
Personal Income Tax	32,535	33,844	1,309	4.0%
Consumption/Use Taxes	7,101	7,386	285	4.0%
Business Taxes	4,761	5,598	837	17.6%
Other Taxes	1,110	1,190	80	7.2%
Miscellaneous Receipts	3,813	2,742	(1,071)	-28.1%
Federal Receipts	0	0	0	0.0%
Transfers from Other Funds:				
PIT in Excess of Revenue Bond Debt Service	10,275	10,658	383	3.7%
Sales Tax in Excess of LGAC	2,870	3,102	232	8.1%
Sales Tax in Excess of Revenue Bond Debt Service	2,672	2,761	89	3.3%
Real Estate Taxes in Excess of CW/CA Debt Service	940	1,015	75	8.0%
All Other	818	1,210	392	47.9%
Total Receipts	66,895	69,506	2,611	3.9%
Disbursements:				
Local Assistance	44,439	46,752	2,313	5.2%
State Operations:				
Personal Service	6,065	5,981	(84)	-1.4%
Non-Personal Service	2,022	2,232	210	10.4%
General State Charges	5,462	5,713	251	4.6%
Transfers to Other Funds:				
Debt Service	924	916	(8)	-0.9%
Capital Projects	2,569	2,192	(377)	-14.7%
State Share of Mental Hygiene Medicaid	1,239	1,301	62	5.0%
SUNY Operations	996	1,022	26	2.6%
Other Purposes	4,364	4,264	(100)	-2.3%
Total Disbursements	68,080	70,373	2,293	3.4%
Excess (Deficiency) of Receipts Over Disbursements	(1,185)	(867)	318	26.8%
Closing Fund Balance	7,749	6,882	(867)	-11.2%
Statutory Reserves				
Tax Stabilization Reserve	1,258	1,258	0	
Rainy Day Reserve	540	540	0	
Contingency Reserve	21	21	0	
Community Projects	56	39	(17)	
Reserved For				
Potential Labor Agreements	25	155	130	
Undesignated Fund Balance	14	0	(14)	
Debt Management	500	500	0	
Extraordinary Monetary Settlements	5,335	4,369	(966)	

CASH FINANCIAL PLAN
GENERAL FUND
FY 2018
(millions of dollars)

	<u>First Quarter</u>	<u>Change</u>	<u>Mid-Year</u>
Receipts:			
Taxes:			
Personal Income Tax	34,407	(563)	33,844
Consumption/Use Taxes	7,436	(50)	7,386
Business Taxes	5,718	(120)	5,598
Other Taxes	1,072	118	1,190
Miscellaneous Receipts	2,505	237	2,742
Federal Receipts	0	0	0
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	10,906	(248)	10,658
Sales Tax in Excess of LGAC	3,119	(17)	3,102
Sales Tax in Excess of Revenue Bond Debt Service	2,779	(18)	2,761
Real Estate Taxes in Excess of CW/CA Debt Service	1,023	(8)	1,015
All Other	1,213	(3)	1,210
Total Receipts	<u>70,178</u>	<u>(672)</u>	<u>69,506</u>
Disbursements:			
Local Assistance	47,081	(329)	46,752
State Operations:			
Personal Service	5,976	5	5,981
Non-Personal Service	2,246	(14)	2,232
General State Charges	5,784	(71)	5,713
Transfers to Other Funds:			
Debt Service	916	0	916
Capital Projects	2,623	(431)	2,192
State Share of Mental Hygiene Medicaid	1,301	0	1,301
SUNY Operations	1,015	7	1,022
Other Purposes	4,291	(27)	4,264
Total Disbursements	<u>71,233</u>	<u>(860)</u>	<u>70,373</u>
Use (Reservation) of Fund Balance:			
Community Projects	17	0	17
Potential Labor Agreements	(130)	0	(130)
Undesignated Fund Balance	14	0	14
Extraordinary Monetary Settlements	1,154	(188)	966
Total Use (Reservation) of Fund Balance	<u>1,055</u>	<u>(188)</u>	<u>867</u>
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)	<u>0</u>	<u>0</u>	<u>0</u>
Adherence to 2% Spending Benchmark	<u>0</u>	<u>0</u>	<u>0</u>
Net General Fund Surplus (Deficit)	<u>0</u>	<u>0</u>	<u>0</u>

**CASH FINANCIAL PLAN
GENERAL FUND
FY 2019
(millions of dollars)**

	<u>First Quarter</u>	<u>Change</u>	<u>Mid-Year</u>
Receipts:			
Taxes:			
Personal Income Tax	36,385	(563)	35,822
Consumption/Use Taxes	7,719	(64)	7,655
Business Taxes	5,770	(63)	5,707
Other Taxes	1,053	(3)	1,050
Miscellaneous Receipts	2,131	24	2,155
Federal Receipts	0	0	0
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	10,812	(141)	10,671
Sales Tax in Excess of LGAC	3,150	(18)	3,132
Sales Tax in Excess of Revenue Bond Debt Service	2,807	(19)	2,788
Real Estate Taxes in Excess of CW/CA Debt Service	1,080	(6)	1,074
All Other	736	(7)	729
Total Receipts	<u>71,643</u>	<u>(860)</u>	<u>70,783</u>
Disbursements:			
Local Assistance	50,169	(201)	49,968
State Operations:			
Personal Service	6,239	10	6,249
Non-Personal Service	2,534	(33)	2,501
General State Charges	6,320	(168)	6,152
Transfers to Other Funds:			
Debt Service	1,144	0	1,144
Capital Projects	4,047	(97)	3,950
State Share of Mental Hygiene Medicaid	1,231	0	1,231
SUNY Operations	1,005	16	1,021
Other Purposes	4,699	(27)	4,672
Total Disbursements	<u>77,388</u>	<u>(500)</u>	<u>76,888</u>
Use (Reservation) of Fund Balance:			
Extraordinary Monetary Settlements	1,662	0	1,662
Total Use (Reservation) of Fund Balance	<u>1,662</u>	<u>0</u>	<u>1,662</u>
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)	<u>(4,083)</u>	<u>(360)</u>	<u>(4,443)</u>
Adherence to 2% Spending Benchmark	<u>3,242</u>	<u>(536)</u>	<u>2,706</u>
Net General Fund Surplus (Deficit)	<u>(841)</u>	<u>(896)</u>	<u>(1,737)</u>

**CASH FINANCIAL PLAN
GENERAL FUND
FY 2020
(millions of dollars)**

	<u>First Quarter</u>	<u>Change</u>	<u>Mid-Year</u>
Receipts:			
Taxes:			
Personal Income Tax	37,986	(563)	37,423
Consumption/Use Taxes	7,993	(69)	7,924
Business Taxes	6,190	(54)	6,136
Other Taxes	1,112	(3)	1,109
Miscellaneous Receipts	2,135	24	2,159
Federal Receipts	0	0	0
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	10,744	(148)	10,596
Sales Tax in Excess of LGAC	3,290	(21)	3,269
Sales Tax in Excess of Revenue Bond Debt Service	2,992	(22)	2,970
Real Estate Taxes in Excess of CW/CA Debt Service	1,133	(8)	1,125
All Other	720	(7)	713
Total Receipts	<u>74,295</u>	<u>(871)</u>	<u>73,424</u>
Disbursements:			
Local Assistance	53,186	(111)	53,075
State Operations:			
Personal Service	6,490	16	6,506
Non-Personal Service	2,677	(78)	2,599
General State Charges	6,783	(200)	6,583
Transfers to Other Funds:			
Debt Service	1,042	0	1,042
Capital Projects	3,869	(114)	3,755
State Share of Mental Hygiene Medicaid	1,119	0	1,119
SUNY Operations	1,001	19	1,020
Other Purposes	5,220	(23)	5,197
Total Disbursements	<u>81,387</u>	<u>(491)</u>	<u>80,896</u>
Use (Reservation) of Fund Balance:			
Extraordinary Monetary Settlements	1,087	0	1,087
Total Use (Reservation) of Fund Balance	<u>1,087</u>	<u>0</u>	<u>1,087</u>
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)	<u>(6,005)</u>	<u>(380)</u>	<u>(6,385)</u>
Adherence to 2% Spending Benchmark	<u>5,968</u>	<u>(477)</u>	<u>5,491</u>
Net General Fund Surplus (Deficit)	<u>(37)</u>	<u>(857)</u>	<u>(894)</u>

**CASH FINANCIAL PLAN
GENERAL FUND
FY 2021
(millions of dollars)**

	<u>First Quarter</u>	<u>Change</u>	<u>Mid-Year</u>
Receipts:			
Taxes:			
Personal Income Tax	39,214	(562)	38,652
Consumption/Use Taxes	8,271	(74)	8,197
Business Taxes	6,522	(49)	6,473
Other Taxes	1,175	(2)	1,173
Miscellaneous Receipts	2,058	24	2,082
Federal Receipts	0	0	0
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	11,053	(123)	10,930
Sales Tax in Excess of LGAC	3,565	(23)	3,542
Sales Tax in Excess of Revenue Bond Debt Service	2,842	(25)	2,817
Real Estate Taxes in Excess of CW/CA Debt Service	1,189	(23)	1,166
All Other	720	(8)	712
Total Receipts	<u>76,609</u>	<u>(865)</u>	<u>75,744</u>
Disbursements:			
Local Assistance	55,863	(135)	55,728
State Operations:			
Personal Service	6,927	23	6,950
Non-Personal Service	2,723	(98)	2,625
General State Charges	7,344	(254)	7,090
Transfers to Other Funds:			
Debt Service	1,067	0	1,067
Capital Projects	3,488	(106)	3,382
State Share of Mental Hygiene Medicaid	1,119	0	1,119
SUNY Operations	1,001	20	1,021
Other Purposes	5,486	(18)	5,468
Total Disbursements	<u>85,018</u>	<u>(568)</u>	<u>84,450</u>
Use (Reservation) of Fund Balance:			
Extraordinary Monetary Settlements	653	0	653
Total Use (Reservation) of Fund Balance	<u>653</u>	<u>0</u>	<u>653</u>
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)	<u>(7,756)</u>	<u>(297)</u>	<u>(8,053)</u>
Adherence to 2% Spending Benchmark	<u>8,156</u>	<u>(423)</u>	<u>7,733</u>
Net General Fund Surplus (Deficit)	<u>400</u>	<u>(720)</u>	<u>(320)</u>

**CASH RECEIPTS
CURRENT STATE RECEIPTS
GENERAL FUND
FY 2018 THROUGH FY 2021
(millions of dollars)**

	<u>FY 2018</u> <u>Mid-Year</u>	<u>FY 2019</u> <u>Projected</u>	<u>FY 2020</u> <u>Projected</u>	<u>FY 2021</u> <u>Projected</u>
Taxes:				
Withholdings	39,459	41,314	42,557	43,543
Estimated Payments	15,224	16,821	18,369	18,012
Final Payments	2,461	2,619	2,768	2,928
Other Payments	1,416	1,487	1,551	1,588
Gross Collections	58,560	62,241	65,245	66,071
State/City Offset	(873)	(898)	(824)	(849)
Refunds	(9,055)	(10,220)	(11,253)	(10,506)
Reported Tax Collections	48,632	51,123	53,168	54,716
STAR (Dedicated Deposits)	(2,630)	(2,520)	(2,453)	(2,385)
RBTF (Dedicated Transfers)	(12,158)	(12,781)	(13,292)	(13,679)
Personal Income Tax	33,844	35,822	37,423	38,652
Sales and Use Tax	13,568	14,114	14,664	15,224
Cigarette and Tobacco Taxes	340	331	320	309
Motor Fuel Tax	0	0	0	0
Alcoholic Beverage Taxes	262	267	272	276
Medical Marihuana Excise Tax	0	0	0	0
Highway Use Tax	0	0	0	0
Auto Rental Tax	0	0	0	0
Taxicab Surcharge	0	0	0	0
Gross Utility Taxes and Fees	14,170	14,712	15,256	15,809
LGAC/STBF (Dedicated Transfers)	(6,784)	(7,057)	(7,332)	(7,612)
Consumption/Use Taxes	7,386	7,655	7,924	8,197
Corporation Franchise Tax	3,041	3,397	3,803	4,068
Corporation and Utilities Tax	596	563	569	575
Insurance Taxes	1,524	1,625	1,704	1,830
Bank Tax	437	122	60	0
Petroleum Business Tax	0	0	0	0
Business Taxes	5,598	5,707	6,136	6,473
Estate Tax	1,173	1,033	1,092	1,155
Real Estate Transfer Tax	1,196	1,252	1,300	1,337
Gift Tax	0	0	0	0
Real Property Gains Tax	0	0	0	0
Pari-Mutuel Taxes	15	15	15	15
Other Taxes	2	2	2	3
Gross Other Taxes	2,386	2,302	2,409	2,510
Real Estate Transfer Tax (Dedicated)	(1,196)	(1,252)	(1,300)	(1,337)
Other Taxes	1,190	1,050	1,109	1,173
Payroll Tax	0	0	0	0
Total Taxes	48,018	50,234	52,592	54,495
Licenses, Fees, Etc.	665	658	681	664
Abandoned Property	450	450	450	450
Motor Vehicle Fees	232	245	254	249
ABC License Fee	65	66	66	62
Reimbursements	278	279	283	283
Investment Income	25	10	8	8
Extraordinary Settlements	608	0	0	0
Other Transactions	419	447	417	366
Miscellaneous Receipts	2,742	2,155	2,159	2,082
Federal Receipts	0	0	0	0
Total	50,760	52,389	54,751	56,577

**CURRENT STATE RECEIPTS
GENERAL FUND
FY 2017 and FY 2018
(millions of dollars)**

	<u>FY 2017 Results</u>	<u>FY 2018 Mid-Year</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
Taxes:				
Withholdings	37,524	39,459	1,935	5.2%
Estimated Payments	14,972	15,224	252	1.7%
Final Payments	2,588	2,461	(127)	-4.9%
Other Payments	1,433	1,416	(17)	-1.2%
Gross Collections	56,517	58,560	2,043	3.6%
State/City Offset	(851)	(873)	(22)	-2.6%
Refunds	(8,101)	(9,055)	(954)	-11.8%
Reported Tax Collections	47,565	48,632	1,067	2.2%
STAR (Dedicated Deposits)	(3,139)	(2,630)	509	16.2%
RBTF (Dedicated Transfers)	(11,891)	(12,158)	(267)	-2.2%
Personal Income Tax	32,535	33,844	1,309	4.0%
Sales and Use Tax	12,966	13,568	602	4.6%
Cigarette and Tobacco Taxes	360	340	(20)	-5.6%
Motor Fuel Tax	0	0	0	0.0%
Alcoholic Beverage Taxes	258	262	4	1.6%
Medical Marihuana Excise Tax	0	0	0	0.0%
Highway Use Tax	0	0	0	0.0%
Auto Rental Tax	0	0	0	0.0%
Taxicab Surcharge	0	0	0	0.0%
Gross Utility Taxes and Fees	13,584	14,170	586	4.3%
LGAC/STBF (Dedicated Transfers)	(6,483)	(6,784)	(301)	-4.6%
Consumption/Use Taxes	7,101	7,386	285	4.0%
Corporation Franchise Tax	2,476	3,041	565	22.8%
Corporation and Utilities Tax	538	596	58	10.8%
Insurance Taxes	1,410	1,524	114	8.1%
Bank Tax	337	437	100	29.7%
Petroleum Business Tax	0	0	0	0.0%
Business Taxes	4,761	5,598	837	17.6%
Estate Tax	1,091	1,173	82	7.5%
Real Estate Transfer Tax	1,126	1,196	70	6.2%
Gift Tax	0	0	0	0.0%
Real Property Gains Tax	0	0	0	0.0%
Pari-Mutuel Taxes	16	15	(1)	-6.3%
Other Taxes	3	2	(1)	-33.3%
Gross Other Taxes	2,236	2,386	150	6.7%
Real Estate Transfer Tax (Dedicated)	(1,126)	(1,196)	(70)	-6.2%
Other Taxes	1,110	1,190	80	7.2%
Payroll Tax	0	0	0	0.0%
Total Taxes	45,507	48,018	2,511	5.5%
Licenses, Fees, Etc.	650	665	15	2.3%
Abandoned Property	438	450	12	2.7%
Motor Vehicle Fees	174	232	58	33.3%
ABC License Fee	61	65	4	6.6%
Reimbursements	246	278	32	13.0%
Investment Income	24	25	1	4.2%
Extraordinary Settlements	1,255	608	(647)	-51.6%
Other Transactions	965	419	(546)	-56.6%
Miscellaneous Receipts	3,813	2,742	(1,071)	-28.1%
Federal Receipts	0	0	0	0.0%
Total	49,320	50,760	1,440	2.9%

CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2017
(millions of dollars)

	General Fund	State Special Revenue Funds	Debt Service Funds	State Operating Funds Total
Opening Fund Balance	8,934	3,547	160	12,641
Receipts:				
Taxes	45,507	8,101	19,381	72,989
Miscellaneous Receipts	3,813	17,487	458	21,758
Federal Receipts	0	(1)	73	72
Total Receipts	49,320	25,587	19,912	94,819
Disbursements:				
Local Assistance	44,439	19,930	0	64,369
State Operations:				
Personal Service	6,065	7,028	0	13,093
Non-Personal Service	2,022	3,527	38	5,587
General State Charges	5,462	2,172	0	7,634
Debt Service	0	0	5,514	5,514
Capital Projects	0	2	0	2
Total Disbursements	57,988	32,659	5,552	96,199
Other Financing Sources (Uses):				
Transfers from Other Funds	17,575	7,733	3,609	28,917
Transfers to Other Funds	(10,092)	(476)	(17,985)	(28,553)
Bond and Note Proceeds	0	0	0	0
Net Other Financing Sources (Uses)	7,483	7,257	(14,376)	364
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(1,185)	185	(16)	(1,016)
Closing Fund Balance	7,749	3,732	144	11,625

CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2018
(millions of dollars)

	General Fund	State Special Revenue Funds	Debt Service Funds	State Operating Funds Total
Opening Fund Balance	7,749	3,732	144	11,625
Receipts:				
Taxes	48,018	7,718	20,019	75,755
Miscellaneous Receipts	2,742	16,964	459	20,165
Federal Receipts	0	1	73	74
Total Receipts	50,760	24,683	20,551	95,994
Disbursements:				
Local Assistance	46,752	19,301	0	66,053
State Operations:				
Personal Service	5,981	7,056	0	13,037
Non-Personal Service	2,232	3,479	37	5,748
General State Charges	5,713	2,265	0	7,978
Debt Service	0	0	5,288	5,288
Capital Projects	0	0	0	0
Total Disbursements	60,678	32,101	5,325	98,104
Other Financing Sources (Uses):				
Transfers from Other Funds	18,746	7,844	3,695	30,285
Transfers to Other Funds	(9,695)	(932)	(18,918)	(29,545)
Bond and Note Proceeds	0	0	0	0
Net Other Financing Sources (Uses)	9,051	6,912	(15,223)	740
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(867)	(506)	3	(1,370)
Closing Fund Balance	6,882	3,226	147	10,255

**CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2019
(millions of dollars)**

	General Fund	State Special Revenue Funds	Debt Service Funds	State Operating Funds Total
Receipts:				
Taxes	50,234	7,761	20,971	78,966
Miscellaneous Receipts	2,155	16,232	458	18,845
Federal Receipts	0	1	73	74
Total Receipts	52,389	23,994	21,502	97,885
Disbursements:				
Local Assistance	49,968	18,876	0	68,844
State Operations:				
Personal Service	6,249	7,002	0	13,251
Non-Personal Service	2,501	3,331	50	5,882
General State Charges	6,152	2,333	0	8,485
Debt Service	0	0	6,310	6,310
Capital Projects	0	0	0	0
Total Disbursements	64,870	31,542	6,360	102,772
Other Financing Sources (Uses):				
Transfers from Other Funds	18,394	8,107	3,835	30,336
Transfers to Other Funds	(12,018)	(334)	(18,973)	(31,325)
Bond and Note Proceeds	0	0	0	0
Net Other Financing Sources (Uses)	6,376	7,773	(15,138)	(989)
Use (Reservation) of Fund Balance:				
Extraordinary Monetary Settlements	1,662	0	0	1,662
Total Use (Reservation) of Fund Balance	1,662	0	0	1,662
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)	(4,443)	225	4	(4,214)
Adherence to 2% Spending Benchmark	2,706	0	0	2,706
Net Surplus (Deficit)	(1,737)	225	4	(1,508)

CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2020
(millions of dollars)

	General Fund	State Special Revenue Funds	Debt Service Funds	State Operating Funds Total
Receipts:				
Taxes	52,592	7,836	21,805	82,233
Miscellaneous Receipts	2,159	16,139	459	18,757
Federal Receipts	0	1	73	74
Total Receipts	54,751	23,976	22,337	101,064
Disbursements:				
Local Assistance	53,075	18,931	0	72,006
State Operations:				
Personal Service	6,506	7,108	0	13,614
Non-Personal Service	2,599	3,286	50	5,935
General State Charges	6,583	2,416	0	8,999
Debt Service	0	0	7,004	7,004
Capital Projects	0	0	0	0
Total Disbursements	68,763	31,741	7,054	107,558
Other Financing Sources (Uses):				
Transfers from Other Funds	18,673	8,358	3,829	30,860
Transfers to Other Funds	(12,133)	(334)	(19,107)	(31,574)
Bond and Note Proceeds	0	0	0	0
Net Other Financing Sources (Uses)	6,540	8,024	(15,278)	(714)
Use (Reservation) of Fund Balance:				
Extraordinary Monetary Settlements	1,087	0	0	1,087
Total Use (Reservation) of Fund Balance	1,087	0	0	1,087
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)	(6,385)	259	5	(6,121)
Adherence to 2% Spending Benchmark	5,491	0	0	5,491
Net Surplus (Deficit)	(894)	259	5	(630)

CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2021
(millions of dollars)

	General Fund	State Special Revenue Funds	Debt Service Funds	State Operating Funds Total
Receipts:				
Taxes	54,495	7,882	22,509	84,886
Miscellaneous Receipts	2,082	15,979	459	18,520
Federal Receipts	0	1	73	74
Total Receipts	56,577	23,862	23,041	103,480
Disbursements:				
Local Assistance	55,728	18,984	0	74,712
State Operations:				
Personal Service	6,950	7,328	0	14,278
Non-Personal Service	2,625	3,315	50	5,990
General State Charges	7,090	2,514	0	9,604
Debt Service	0	0	7,257	7,257
Capital Projects	0	0	0	0
Total Disbursements	72,393	32,141	7,307	111,841
Other Financing Sources (Uses):				
Transfers from Other Funds	19,167	8,555	3,799	31,521
Transfers to Other Funds	(12,057)	(232)	(19,528)	(31,817)
Bond and Note Proceeds	0	0	0	0
Net Other Financing Sources (Uses)	7,110	8,323	(15,729)	(296)
Use (Reservation) of Fund Balance:				
Extraordinary Monetary Settlements	653	0	0	653
Total Use (Reservation) of Fund Balance	653	0	0	653
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)	(8,053)	44	5	(8,004)
Adherence to 2% Spending Benchmark	7,733	0	0	7,733
Net Surplus (Deficit)	(320)	44	5	(271)

CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2017 and FY 2018
(millions of dollars)

	<u>FY 2017</u> <u>Results</u>	<u>FY 2018</u> <u>First Quarter</u>	<u>Annual</u> <u>\$ Change</u>	<u>Annual</u> <u>% Change</u>
Opening Fund Balance	12,641	11,625	(1,016)	-8.0%
Receipts:				
Taxes	72,989	75,755	2,766	3.8%
Miscellaneous Receipts	21,758	20,165	(1,593)	-7.3%
Federal Receipts	72	74	2	2.8%
Total Receipts	94,819	95,994	1,175	1.2%
Disbursements:				
Local Assistance	64,369	66,053	1,684	2.6%
State Operations:				
Personal Service	13,093	13,037	(56)	-0.4%
Non-Personal Service	5,587	5,748	161	2.9%
General State Charges	7,634	7,978	344	4.5%
Debt Service	5,514	5,288	(226)	-4.1%
Capital Projects	2	0	(2)	-100.0%
Total Disbursements	96,199	98,104	1,905	2.0%
Other Financing Sources (Uses):				
Transfers from Other Funds	28,917	30,285	1,368	4.7%
Transfers to Other Funds	(28,553)	(29,545)	(992)	-3.5%
Bond and Note Proceeds	0	0	0	0.0%
Net Other Financing Sources (Uses)	364	740	376	103.3%
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(1,016)	(1,370)	(354)	-34.8%
Closing Fund Balance	11,625	10,255	(1,370)	-11.8%

CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2017
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	All Funds Total
Opening Fund Balance	8,934	3,607	(891)	160	11,810
Receipts:					
Taxes	45,507	8,101	1,383	19,381	74,372
Miscellaneous Receipts	3,813	17,686	4,637	458	26,594
Federal Receipts	0	52,725	2,608	73	55,406
Total Receipts	49,320	78,512	8,628	19,912	156,372
Disbursements:					
Local Assistance	44,439	68,294	3,604	0	116,337
State Operations:					
Personal Service	6,065	7,659	0	0	13,724
Non-Personal Service	2,022	4,898	0	38	6,958
General State Charges	5,462	2,465	0	0	7,927
Debt Service	0	0	0	5,514	5,514
Capital Projects	0	2	6,552	0	6,554
Total Disbursements	57,988	83,318	10,156	5,552	157,014
Other Financing Sources (Uses):					
Transfers from Other Funds	17,575	7,733	2,751	3,609	31,668
Transfers to Other Funds	(10,092)	(2,262)	(1,392)	(17,985)	(31,731)
Bond and Note Proceeds	0	0	0	0	0
Net Other Financing Sources (Uses)	7,483	5,471	1,359	(14,376)	(63)
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(1,185)	665	(169)	(16)	(705)
Closing Fund Balance	7,749	4,272	(1,060)	144	11,105

**CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2018
(millions of dollars)**

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	All Funds Total
Opening Fund Balance	7,749	4,272	(1,060)	144	11,105
Receipts:					
Taxes	48,018	7,718	1,333	20,019	77,088
Miscellaneous Receipts	2,742	17,123	7,412	459	27,736
Federal Receipts	0	55,005	2,270	73	57,348
Total Receipts	<u>50,760</u>	<u>79,846</u>	<u>11,015</u>	<u>20,551</u>	<u>162,172</u>
Disbursements:					
Local Assistance	46,752	69,917	4,831	0	121,500
State Operations:					
Personal Service	5,981	7,673	0	0	13,654
Non-Personal Service	2,232	4,858	0	37	7,127
General State Charges	5,713	2,582	0	0	8,295
Debt Service	0	0	0	5,288	5,288
Capital Projects	0	0	8,189	0	8,189
Total Disbursements	<u>60,678</u>	<u>85,030</u>	<u>13,020</u>	<u>5,325</u>	<u>164,053</u>
Other Financing Sources (Uses):					
Transfers from Other Funds	18,746	7,856	2,602	3,695	32,899
Transfers to Other Funds	(9,695)	(2,996)	(1,398)	(18,918)	(33,007)
Bond and Note Proceeds	0	0	788	0	788
Net Other Financing Sources (Uses)	<u>9,051</u>	<u>4,860</u>	<u>1,992</u>	<u>(15,223)</u>	<u>680</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(867)	(324)	(13)	3	(1,201)
Closing Fund Balance	<u><u>6,882</u></u>	<u><u>3,948</u></u>	<u><u>(1,073)</u></u>	<u><u>147</u></u>	<u><u>9,904</u></u>

CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2019
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	All Funds Total
Receipts:					
Taxes	50,234	7,761	1,405	20,971	80,371
Miscellaneous Receipts	2,155	16,391	7,634	458	26,638
Federal Receipts	0	56,384	2,429	73	58,886
Total Receipts	52,389	80,536	11,468	21,502	165,895
Disbursements:					
Local Assistance	49,968	70,928	5,268	0	126,164
State Operations:					
Personal Service	6,249	7,630	0	0	13,879
Non-Personal Service	2,501	4,771	0	50	7,322
General State Charges	6,152	2,654	0	0	8,806
Debt Service	0	0	0	6,310	6,310
Capital Projects	0	0	9,800	0	9,800
Total Disbursements	64,870	85,983	15,068	6,360	172,281
Other Financing Sources (Uses):					
Transfers from Other Funds	18,394	8,119	4,236	3,835	34,584
Transfers to Other Funds	(12,018)	(2,343)	(1,370)	(18,973)	(34,704)
Bond and Note Proceeds	0	0	718	0	718
Net Other Financing Sources (Uses)	6,376	5,776	3,584	(15,138)	598
Use (Reservation) of Fund Balance:					
Extraordinary Monetary Settlements	1,662	0	0	0	1,662
Total Use (Reservation) of Fund Balance	1,662	0	0	0	1,662
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)					
	(4,443)	329	(16)	4	(4,126)
Adherence to 2% Spending Benchmark					
	2,706	0	0	0	2,706
Net Surplus (Deficit)	(1,737)	329	(16)	4	(1,420)

CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2020
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	All Funds Total
Receipts:					
Taxes	52,592	7,836	1,423	21,805	83,656
Miscellaneous Receipts	2,159	16,298	7,302	459	26,218
Federal Receipts	0	57,398	2,215	73	59,686
Total Receipts	54,751	81,532	10,940	22,337	169,560
Disbursements:					
Local Assistance	53,075	72,165	4,670	0	129,910
State Operations:					
Personal Service	6,506	7,740	0	0	14,246
Non-Personal Service	2,599	4,771	0	50	7,420
General State Charges	6,583	2,742	0	0	9,325
Debt Service	0	0	0	7,004	7,004
Capital Projects	0	0	9,055	0	9,055
Total Disbursements	68,763	87,418	13,725	7,054	176,960
Other Financing Sources (Uses):					
Transfers from Other Funds	18,673	8,370	4,016	3,829	34,888
Transfers to Other Funds	(12,133)	(2,114)	(1,651)	(19,107)	(35,005)
Bond and Note Proceeds	0	0	412	0	412
Net Other Financing Sources (Uses)	6,540	6,256	2,777	(15,278)	295
Use (Reservation) of Fund Balance:					
Extraordinary Monetary Settlements	1,087	0	0	0	1,087
Total Use (Reservation) of Fund Balance	1,087	0	0	0	1,087
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)					
	(6,385)	370	(8)	5	(6,018)
Adherence to 2% Spending Benchmark	5,491	0	0	0	5,491
Net Surplus (Deficit)	(894)	370	(8)	5	(527)

CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2021
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	All Funds Total
Receipts:					
Taxes	54,495	7,882	1,421	22,509	86,307
Miscellaneous Receipts	2,082	16,140	6,720	459	25,401
Federal Receipts	0	57,952	2,171	73	60,196
Total Receipts	56,577	81,974	10,312	23,041	171,904
Disbursements:					
Local Assistance	55,728	72,865	4,066	0	132,659
State Operations:					
Personal Service	6,950	7,987	0	0	14,937
Non-Personal Service	2,625	4,767	0	50	7,442
General State Charges	7,090	2,851	0	0	9,941
Debt Service	0	0	0	7,257	7,257
Capital Projects	0	0	8,417	0	8,417
Total Disbursements	72,393	88,470	12,483	7,307	180,653
Other Financing Sources (Uses):					
Transfers from Other Funds	19,167	8,567	3,578	3,799	35,111
Transfers to Other Funds	(12,057)	(2,012)	(1,641)	(19,528)	(35,238)
Bond and Note Proceeds	0	0	243	0	243
Net Other Financing Sources (Uses)	7,110	6,555	2,180	(15,729)	116
Use (Reservation) of Fund Balance:					
Extraordinary Monetary Settlements	653	0	0	0	653
Total Use (Reservation) of Fund Balance	653	0	0	0	653
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)					
	(8,053)	59	9	5	(7,980)
Adherence to 2% Spending Benchmark					
	7,733	0	0	0	7,733
Net Surplus (Deficit)	(320)	59	9	5	(247)

**CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2017 and FY 2018
(millions of dollars)**

	<u>FY 2017 Results</u>	<u>FY 2018 Mid-Year</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
Opening Fund Balance	11,810	11,105	(705)	-6.0%
Receipts:				
Taxes	74,372	77,088	2,716	3.7%
Miscellaneous Receipts	26,594	27,736	1,142	4.3%
Federal Receipts	55,406	57,348	1,942	3.5%
Total Receipts	156,372	162,172	5,800	3.7%
Disbursements:				
Local Assistance	116,337	121,500	5,163	4.4%
State Operations:				
Personal Service	13,724	13,654	(70)	-0.5%
Non-Personal Service	6,958	7,127	169	2.4%
General State Charges	7,927	8,295	368	4.6%
Debt Service	5,514	5,288	(226)	-4.1%
Capital Projects	6,554	8,189	1,635	24.9%
Total Disbursements	157,014	164,053	7,039	4.5%
Other Financing Sources (Uses):				
Transfers from Other Funds	31,668	32,899	1,231	3.9%
Transfers to Other Funds	(31,731)	(33,007)	(1,276)	-4.0%
Bond and Note Proceeds	0	788	788	0.0%
Net Other Financing Sources (Uses)	(63)	680	743	1179.4%
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(705)	(1,201)	(496)	-70.4%
Closing Fund Balance	11,105	9,904	(1,201)	-10.8%

CASH RECEIPTS
ALL GOVERNMENTAL FUNDS
FY 2017
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	Total
Taxes:					
Withholdings	37,524	0	0	0	37,524
Estimated Payments	14,972	0	0	0	14,972
Final Payments	2,588	0	0	0	2,588
Other Payments	1,433	0	0	0	1,433
Gross Collections	56,517	0	0	0	56,517
State/City Offset	(851)	0	0	0	(851)
Refunds	(8,101)	0	0	0	(8,101)
Reported Tax Collections	47,565	0	0	0	47,565
STAR (Dedicated Deposits)	(3,139)	3,139	0	0	0
RBTF (Dedicated Transfers)	(11,891)	0	0	11,891	0
Personal Income Tax	32,535	3,139	0	11,891	47,565
Sales and Use Tax	12,966	903	0	0	13,869
Cigarette and Tobacco Taxes	360	876	0	0	1,236
Motor Fuel Tax	0	109	410	0	519
Alcoholic Beverage Taxes	258	0	0	0	258
Medical Marihuana Excise Tax	0	1	0	0	1
Highway Use Tax	0	2	136	0	138
Auto Rental Tax	0	49	78	0	127
Taxicab Surcharge	0	64	0	0	64
Gross Utility Taxes and Fees	13,584	2,004	624	0	16,212
LGAC/STBF (Dedicated Transfers)	(6,483)	0	0	6,483	0
Consumption/Use Taxes	7,101	2,004	624	6,483	16,212
Corporation Franchise Tax	2,476	690	0	0	3,166
Corporation and Utilities Tax	538	167	15	0	720
Insurance Taxes	1,410	170	0	0	1,580
Bank Tax	337	52	0	0	389
Petroleum Business Tax	0	499	625	0	1,124
Business Taxes	4,761	1,578	640	0	6,979
Estate Tax	1,091	0	0	0	1,091
Real Estate Transfer Tax	1,126	0	0	0	1,126
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	16	0	0	0	16
Other Taxes	3	0	0	0	3
Gross Other Taxes	2,236	0	0	0	2,236
Real Estate Transfer Tax (Dedicated)	(1,126)	0	119	1,007	0
Other Taxes	1,110	0	119	1,007	2,236
Payroll Tax	0	1,380	0	0	1,380
Total Taxes	45,507	8,101	1,383	19,381	74,372
Licenses, Fees, Etc.	650	0	0	0	650
Abandoned Property	438	0	0	0	438
Motor Vehicle Fees	174	401	787	0	1,362
ABC License Fee	61	0	0	0	61
Reimbursements	246	0	0	0	246
Investment Income	24	0	0	0	24
Extraordinary Settlements	1,255	0	0	0	1,255
Other Transactions	965	17,285	3,850	458	22,558
Miscellaneous Receipts	3,813	17,686	4,637	458	26,594
Federal Receipts	0	52,725	2,608	73	55,406
Total	49,320	78,512	8,628	19,912	156,372

**CASH RECEIPTS
ALL GOVERNMENTAL FUNDS
FY 2018
(millions of dollars)**

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	Total
Taxes:					
Withholdings	39,459	0	0	0	39,459
Estimated Payments	15,224	0	0	0	15,224
Final Payments	2,461	0	0	0	2,461
Other Payments	1,416	0	0	0	1,416
Gross Collections	58,560	0	0	0	58,560
State/City Offset	(873)	0	0	0	(873)
Refunds	(9,055)	0	0	0	(9,055)
Reported Tax Collections	48,632	0	0	0	48,632
STAR (Dedicated Deposits)	(2,630)	2,630	0	0	0
RBTF (Dedicated Transfers)	(12,158)	0	0	12,158	0
Personal Income Tax	33,844	2,630	0	12,158	48,632
Sales and Use Tax	13,568	942	0	0	14,510
Cigarette and Tobacco Taxes	340	837	0	0	1,177
Motor Fuel Tax	0	108	407	0	515
Alcoholic Beverage Taxes	262	0	0	0	262
Medical Marihuana Excise Tax	0	1	0	0	1
Highway Use Tax	0	2	94	0	96
Auto Rental Tax	0	48	85	0	133
Taxicab Surcharge	0	59	0	0	59
Gross Utility Taxes and Fees	14,170	1,997	586	0	16,753
LGAC/STBF (Dedicated Transfers)	(6,784)	0	0	6,784	0
Consumption/Use Taxes	7,386	1,997	586	6,784	16,753
Corporation Franchise Tax	3,041	768	0	0	3,809
Corporation and Utilities Tax	596	169	15	0	780
Insurance Taxes	1,524	167	0	0	1,691
Bank Tax	437	62	0	0	499
Petroleum Business Tax	0	487	613	0	1,100
Business Taxes	5,598	1,653	628	0	7,879
Estate Tax	1,173	0	0	0	1,173
Real Estate Transfer Tax	1,196	0	0	0	1,196
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	15	0	0	0	15
Other Taxes	2	0	0	0	2
Gross Other Taxes	2,386	0	0	0	2,386
Real Estate Transfer Tax (Dedicated)	(1,196)	0	119	1,077	0
Other Taxes	1,190	0	119	1,077	2,386
Payroll Tax	0	1,438	0	0	1,438
Total Taxes	48,018	7,718	1,333	20,019	77,088
Licenses, Fees, Etc.	665	0	0	0	665
Abandoned Property	450	0	0	0	450
Motor Vehicle Fees	232	416	810	0	1,458
ABC License Fee	65	0	0	0	65
Reimbursements	278	0	0	0	278
Investment Income	25	0	0	0	25
Extraordinary Settlements	608	0	0	0	608
Other Transactions	419	16,707	6,602	459	24,187
Miscellaneous Receipts	2,742	17,123	7,412	459	27,736
Federal Receipts	0	55,005	2,270	73	57,348
Total	50,760	79,846	11,015	20,551	162,172

**CASH RECEIPTS
ALL GOVERNMENTAL FUNDS
FY 2019
(millions of dollars)**

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	Total
Taxes:					
Withholdings	41,314	0	0	0	41,314
Estimated Payments	16,821	0	0	0	16,821
Final Payments	2,619	0	0	0	2,619
Other Payments	<u>1,487</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>1,487</u>
Gross Collections	62,241	0	0	0	62,241
State/City Offset	(898)	0	0	0	(898)
Refunds	<u>(10,220)</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>(10,220)</u>
Reported Tax Collections	51,123	0	0	0	51,123
STAR (Dedicated Deposits)	(2,520)	2,520	0	0	0
RBTF (Dedicated Transfers)	<u>(12,781)</u>	<u>0</u>	<u>0</u>	<u>12,781</u>	<u>0</u>
Personal Income Tax	35,822	2,520	0	12,781	51,123
Sales and Use Tax	14,114	976	0	0	15,090
Cigarette and Tobacco Taxes	331	806	0	0	1,137
Motor Fuel Tax	0	107	405	0	512
Alcoholic Beverage Taxes	267	0	0	0	267
Medical Marihuana Excise Tax	0	1	0	0	1
Highway Use Tax	0	3	139	0	142
Auto Rental Tax	0	50	87	0	137
Taxicab Surcharge	0	59	0	0	59
Gross Utility Taxes and Fees	14,712	2,002	631	0	17,345
LGAC/STBF (Dedicated Transfers)	<u>(7,057)</u>	<u>0</u>	<u>0</u>	<u>7,057</u>	<u>0</u>
Consumption/Use Taxes	7,655	2,002	631	7,057	17,345
Corporation Franchise Tax	3,397	862	0	0	4,259
Corporation and Utilities Tax	563	167	14	0	744
Insurance Taxes	1,625	184	0	0	1,809
Bank Tax	122	21	0	0	143
Petroleum Business Tax	0	502	641	0	1,143
Business Taxes	5,707	1,736	655	0	8,098
Estate Tax	1,033	0	0	0	1,033
Real Estate Transfer Tax	1,252	0	0	0	1,252
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	15	0	0	0	15
Other Taxes	<u>2</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>2</u>
Gross Other Taxes	2,302	0	0	0	2,302
Real Estate Transfer Tax (Dedicated)	<u>(1,252)</u>	<u>0</u>	<u>119</u>	<u>1,133</u>	<u>0</u>
Other Taxes	1,050	0	119	1,133	2,302
Payroll Tax	0	1,503	0	0	1,503
Total Taxes	50,234	7,761	1,405	20,971	80,371
Licenses, Fees, Etc.	658	0	0	0	658
Abandoned Property	450	0	0	0	450
Motor Vehicle Fees	245	418	817	0	1,480
ABC License Fee	66	0	0	0	66
Reimbursements	279	0	0	0	279
Investment Income	10	0	0	0	10
Extraordinary Settlements	0	0	0	0	0
Other Transactions	<u>447</u>	<u>15,973</u>	<u>6,817</u>	<u>458</u>	<u>23,695</u>
Miscellaneous Receipts	2,155	16,391	7,634	458	26,638
Federal Receipts	0	56,384	2,429	73	58,886
Total	52,389	80,536	11,468	21,502	165,895

CASH RECEIPTS
ALL GOVERNMENTAL FUNDS
FY 2020
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	Total
Taxes:					
Withholdings	42,557	0	0	0	42,557
Estimated Payments	18,369	0	0	0	18,369
Final Payments	2,768	0	0	0	2,768
Other Payments	1,551	0	0	0	1,551
Gross Collections	65,245	0	0	0	65,245
State/City Offset	(824)	0	0	0	(824)
Refunds	(11,253)	0	0	0	(11,253)
Reported Tax Collections	53,168	0	0	0	53,168
STAR (Dedicated Deposits)	(2,453)	2,453	0	0	0
RBTF (Dedicated Transfers)	(13,292)	0	0	13,292	0
Personal Income Tax	37,423	2,453	0	13,292	53,168
Sales and Use Tax	14,664	1,012	0	0	15,676
Cigarette and Tobacco Taxes	320	771	0	0	1,091
Motor Fuel Tax	0	106	401	0	507
Alcoholic Beverage Taxes	272	0	0	0	272
Medical Marihuana Excise Tax	0	1	0	0	1
Highway Use Tax	0	2	140	0	142
Auto Rental Tax	0	53	92	0	145
Taxicab Surcharge	0	59	0	0	59
Gross Utility Taxes and Fees	15,256	2,004	633	0	17,893
LGAC/STBF (Dedicated Transfers)	(7,332)	0	0	7,332	0
Consumption/Use Taxes	7,924	2,004	633	7,332	17,893
Corporation Franchise Tax	3,803	913	0	0	4,716
Corporation and Utilities Tax	569	171	14	0	754
Insurance Taxes	1,704	191	0	0	1,895
Bank Tax	60	11	0	0	71
Petroleum Business Tax	0	515	657	0	1,172
Business Taxes	6,136	1,801	671	0	8,608
Estate Tax	1,092	0	0	0	1,092
Real Estate Transfer Tax	1,300	0	0	0	1,300
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	15	0	0	0	15
Other Taxes	2	0	0	0	2
Gross Other Taxes	2,409	0	0	0	2,409
Real Estate Transfer Tax (Dedicated)	(1,300)	0	119	1,181	0
Other Taxes	1,109	0	119	1,181	2,409
Payroll Tax	0	1,578	0	0	1,578
Total Taxes	52,592	7,836	1,423	21,805	83,656
Licenses, Fees, Etc.	681	0	0	0	681
Abandoned Property	450	0	0	0	450
Motor Vehicle Fees	254	421	810	0	1,485
ABC License Fee	66	0	0	0	66
Reimbursements	283	0	0	0	283
Investment Income	8	0	0	0	8
Extraordinary Settlements	0	0	0	0	0
Other Transactions	417	15,877	6,492	459	23,245
Miscellaneous Receipts	2,159	16,298	7,302	459	26,218
Federal Receipts	0	57,398	2,215	73	59,686
Total	54,751	81,532	10,940	22,337	169,560

**CASH RECEIPTS
ALL GOVERNMENTAL FUNDS
FY 2021
(millions of dollars)**

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	Total
Taxes:					
Withholdings	43,543	0	0	0	43,543
Estimated Payments	18,012	0	0	0	18,012
Final Payments	2,928	0	0	0	2,928
Other Payments	1,588	0	0	0	1,588
Gross Collections	66,071	0	0	0	66,071
State/City Offset	(849)	0	0	0	(849)
Refunds	(10,506)	0	0	0	(10,506)
Reported Tax Collections	54,716	0	0	0	54,716
STAR (Dedicated Deposits)	(2,385)	2,385	0	0	0
RBTF (Dedicated Transfers)	(13,679)	0	0	13,679	0
Personal Income Tax	38,652	2,385	0	13,679	54,716
Sales and Use Tax	15,224	1,049	0	0	16,273
Cigarette and Tobacco Taxes	309	739	0	0	1,048
Motor Fuel Tax	0	105	399	0	504
Alcoholic Beverage Taxes	276	0	0	0	276
Medical Marihuana Excise Tax	0	1	0	0	1
Highway Use Tax	0	2	141	0	143
Auto Rental Tax	0	56	96	0	152
Taxicab Surcharge	0	59	0	0	59
Gross Utility Taxes and Fees	15,809	2,011	636	0	18,456
LGAC/STBF (Dedicated Transfers)	(7,612)	0	0	7,612	0
Consumption/Use Taxes	8,197	2,011	636	7,612	18,456
Corporation Franchise Tax	4,068	950	0	0	5,018
Corporation and Utilities Tax	575	175	14	0	764
Insurance Taxes	1,830	205	0	0	2,035
Bank Tax	0	0	0	0	0
Petroleum Business Tax	0	511	652	0	1,163
Business Taxes	6,473	1,841	666	0	8,980
Estate Tax	1,155	0	0	0	1,155
Real Estate Transfer Tax	1,337	0	0	0	1,337
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	15	0	0	0	15
Other Taxes	3	0	0	0	3
Gross Other Taxes	2,510	0	0	0	2,510
Real Estate Transfer Tax (Dedicated)	(1,337)	0	119	1,218	0
Other Taxes	1,173	0	119	1,218	2,510
Payroll Tax	0	1,645	0	0	1,645
Total Taxes	54,495	7,882	1,421	22,509	86,307
Licenses, Fees, Etc.	664	0	0	0	664
Abandoned Property	450	0	0	0	450
Motor Vehicle Fees	249	420	810	0	1,479
ABC License Fee	62	0	0	0	62
Reimbursements	283	0	0	0	283
Investment Income	8	0	0	0	8
Extraordinary Settlements	0	0	0	0	0
Other Transactions	366	15,720	5,910	459	22,455
Miscellaneous Receipts	2,082	16,140	6,720	459	25,401
Federal Receipts	0	57,952	2,171	73	60,196
Total	56,577	81,974	10,312	23,041	171,904

**CURRENT STATE RECEIPTS
ALL GOVERNMENTAL FUNDS
FY 2017 and FY 2018
(millions of dollars)**

	FY 2017 Results	FY 2018 Mid-Year	Annual \$ Change	Annual % Change
Taxes:				
Withholdings	37,524	39,459	1,935	5.2%
Estimated Payments	14,972	15,224	252	1.7%
Final Payments	2,588	2,461	(127)	-4.9%
Other Payments	1,433	1,416	(17)	-1.2%
Gross Collections	56,517	58,560	2,043	3.6%
State/City Offset	(851)	(873)	(22)	-2.6%
Refunds	(8,101)	(9,055)	(954)	-11.8%
Reported Tax Collections	47,565	48,632	1,067	2.2%
STAR (Dedicated Deposits)	0	0	0	0.0%
RBTF (Dedicated Transfers)	0	0	0	0.0%
Personal Income Tax	47,565	48,632	1,067	2.2%
Sales and Use Tax	13,869	14,510	641	4.6%
Cigarette and Tobacco Taxes	1,236	1,177	(59)	-4.8%
Motor Fuel Tax	519	515	(4)	-0.8%
Alcoholic Beverage Taxes	258	262	4	1.6%
Medical Marihuana Excise Tax	1	1	0	0.0%
Highway Use Tax	138	96	(42)	-30.4%
Auto Rental Tax	127	133	6	4.7%
Taxicab Surcharge	64	59	(5)	-7.8%
Gross Utility Taxes and Fees	16,212	16,753	541	3.3%
LGAC/STBF (Dedicated Transfers)	0	0	0	0.0%
Consumption/Use Taxes	16,212	16,753	541	3.3%
Corporation Franchise Tax	3,166	3,809	643	20.3%
Corporation and Utilities Tax	720	780	60	8.3%
Insurance Taxes	1,580	1,691	111	7.0%
Bank Tax	389	499	110	28.3%
Petroleum Business Tax	1,124	1,100	(24)	-2.1%
Business Taxes	6,979	7,879	900	12.9%
Estate Tax	1,091	1,173	82	7.5%
Real Estate Transfer Tax	1,126	1,196	70	6.2%
Gift Tax	0	0	0	0.0%
Real Property Gains Tax	0	0	0	0.0%
Pari-Mutuel Taxes	16	15	(1)	-6.3%
Other Taxes	3	2	(1)	-33.3%
Gross Other Taxes	2,236	2,386	150	6.7%
Real Estate Transfer Tax (Dedicated)	0	0	0	0.0%
Other Taxes	2,236	2,386	150	6.7%
Payroll Tax	1,380	1,438	58	4.2%
Total Taxes	74,372	77,088	2,716	3.7%
Licenses, Fees, Etc.	650	665	15	2.3%
Abandoned Property	438	450	12	2.7%
Motor Vehicle Fees	1,362	1,458	96	7.0%
ABC License Fee	61	65	4	6.6%
Reimbursements	246	278	32	13.0%
Investment Income	24	25	1	4.2%
Extraordinary Settlements	1,255	608	(647)	-51.6%
Other Transactions	22,558	24,187	1,629	7.2%
Miscellaneous Receipts	26,594	27,736	1,142	4.3%
Federal Receipts	55,406	57,348	1,942	3.5%
Total	156,372	162,172	5,800	3.7%

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2017
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	3,547	60	3,607
Receipts:			
Taxes	8,101	0	8,101
Miscellaneous Receipts	17,487	199	17,686
Federal Receipts	(1)	52,726	52,725
Total Receipts	25,587	52,925	78,512
Disbursements:			
Local Assistance	19,930	48,364	68,294
State Operations:			
Personal Service	7,028	631	7,659
Non-Personal Service	3,527	1,371	4,898
General State Charges	2,172	293	2,465
Capital Projects	2	0	2
Total Disbursements	32,659	50,659	83,318
Other Financing Sources (Uses):			
Transfers from Other Funds	7,733	0	7,733
Transfers to Other Funds	(476)	(1,786)	(2,262)
Net Other Financing Sources (Uses)	7,257	(1,786)	5,471
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	185	480	665
Closing Fund Balance	3,732	540	4,272

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2018
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	3,732	540	4,272
Receipts:			
Taxes	7,718	0	7,718
Miscellaneous Receipts	16,964	159	17,123
Federal Receipts	1	55,004	55,005
Total Receipts	24,683	55,163	79,846
Disbursements:			
Local Assistance	19,301	50,616	69,917
State Operations:			
Personal Service	7,056	617	7,673
Non-Personal Service	3,479	1,379	4,858
General State Charges	2,265	317	2,582
Capital Projects	0	0	0
Total Disbursements	32,101	52,929	85,030
Other Financing Sources (Uses):			
Transfers from Other Funds	7,844	12	7,856
Transfers to Other Funds	(932)	(2,064)	(2,996)
Net Other Financing Sources (Uses)	6,912	(2,052)	4,860
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(506)	182	(324)
Closing Fund Balance	3,226	722	3,948

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2019
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	3,226	722	3,948
Receipts:			
Taxes	7,761	0	7,761
Miscellaneous Receipts	16,232	159	16,391
Federal Receipts	1	56,383	56,384
Total Receipts	23,994	56,542	80,536
Disbursements:			
Local Assistance	18,876	52,052	70,928
State Operations:			
Personal Service	7,002	628	7,630
Non-Personal Service	3,331	1,440	4,771
General State Charges	2,333	321	2,654
Capital Projects	0	0	0
Total Disbursements	31,542	54,441	85,983
Other Financing Sources (Uses):			
Transfers from Other Funds	8,107	12	8,119
Transfers to Other Funds	(334)	(2,009)	(2,343)
Net Other Financing Sources (Uses)	7,773	(1,997)	5,776
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	225	104	329
Closing Fund Balance	3,451	826	4,277

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2020
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	3,451	826	4,277
Receipts:			
Taxes	7,836	0	7,836
Miscellaneous Receipts	16,139	159	16,298
Federal Receipts	1	57,397	57,398
Total Receipts	23,976	57,556	81,532
Disbursements:			
Local Assistance	18,931	53,234	72,165
State Operations:			
Personal Service	7,108	632	7,740
Non-Personal Service	3,286	1,485	4,771
General State Charges	2,416	326	2,742
Capital Projects	0	0	0
Total Disbursements	31,741	55,677	87,418
Other Financing Sources (Uses):			
Transfers from Other Funds	8,358	12	8,370
Transfers to Other Funds	(334)	(1,780)	(2,114)
Net Other Financing Sources (Uses)	8,024	(1,768)	6,256
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	259	111	370
Closing Fund Balance	3,710	937	4,647

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2021
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	3,710	937	4,647
Receipts:			
Taxes	7,882	0	7,882
Miscellaneous Receipts	15,979	161	16,140
Federal Receipts	1	57,951	57,952
Total Receipts	23,862	58,112	81,974
Disbursements:			
Local Assistance	18,984	53,881	72,865
State Operations:			
Personal Service	7,328	659	7,987
Non-Personal Service	3,315	1,452	4,767
General State Charges	2,514	337	2,851
Capital Projects	0	0	0
Total Disbursements	32,141	56,329	88,470
Other Financing Sources (Uses):			
Transfers from Other Funds	8,555	12	8,567
Transfers to Other Funds	(232)	(1,780)	(2,012)
Net Other Financing Sources (Uses)	8,323	(1,768)	6,555
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	44	15	59
Closing Fund Balance	3,754	952	4,706

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2017 and FY 2018
(millions of dollars)**

	<u>FY 2017 Results</u>	<u>FY 2018 Mid-Year</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
Opening Fund Balance	3,607	4,272	665	18.4%
Receipts:				
Taxes	8,101	7,718	(383)	-4.7%
Miscellaneous Receipts	17,686	17,123	(563)	-3.2%
Federal Receipts	52,725	55,005	2,280	4.3%
Total Receipts	78,512	79,846	1,334	1.7%
Disbursements:				
Local Assistance	68,294	69,917	1,623	2.4%
State Operations:				
Personal Service	7,659	7,673	14	0.2%
Non-Personal Service	4,898	4,858	(40)	-0.8%
General State Charges	2,465	2,582	117	4.7%
Debt Service	0	0	0	0.0%
Capital Projects	2	0	(2)	-100.0%
Total Disbursements	83,318	85,030	1,712	2.1%
Other Financing Sources (Uses):				
Transfers from Other Funds	7,733	7,856	123	1.6%
Transfers to Other Funds	(2,262)	(2,996)	(734)	-32.4%
Net Other Financing Sources (Uses)	5,471	4,860	(611)	-11.2%
Excess (Deficiency) of Receipts and Other	665	(324)	(989)	-148.7%
Closing Fund Balance	4,272	3,948	(324)	-7.6%

**CASH RECEIPTS
SPECIAL REVENUE FUNDS
FY 2018 THROUGH FY 2021
(millions of dollars)**

	FY 2018	FY 2019	FY 2020	FY 2021
	Mid-Year	Projected	Projected	Projected
Personal Income Tax	2,630	2,520	2,453	2,385
Consumption/Use Taxes	1,997	2,002	2,004	2,011
Sales and Use Tax	942	976	1,012	1,049
Cigarette and Tobacco Taxes	837	806	771	739
Motor Fuel Tax	108	107	106	105
Highway Use Tax	2	3	2	2
Medical Marihuana Excise Tax	1	1	1	1
Auto Rental Tax	48	50	53	56
Taxicab Surcharge	59	59	59	59
Business Taxes	1,653	1,736	1,801	1,841
Corporation Franchise Tax	768	862	913	950
Corporation and Utilities Tax	169	167	171	175
Insurance Taxes	167	184	191	205
Bank Tax	62	21	11	0
Petroleum Business Tax	487	502	515	511
Payroll Tax	1,438	1,503	1,578	1,645
Total Taxes	7,718	7,761	7,836	7,882
Miscellaneous Receipts	17,123	16,391	16,298	16,140
HCRA	4,925	4,980	5,036	5,040
State University Income	4,586	4,761	4,926	5,037
Lottery	3,407	3,328	3,342	3,345
Medicaid	832	832	832	832
Industry Assessments	669	674	682	701
Motor Vehicle Fees	416	418	421	420
All Other	2,288	1,398	1,059	765
Federal Receipts	55,005	56,384	57,398	57,952
Total	79,846	80,536	81,532	81,974

**CASH RECEIPTS
SPECIAL REVENUE FUNDS
FY 2017 and FY 2018
(millions of dollars)**

	FY 2017 Results	FY 2018 Mid-Year	Annual \$ Change	Annual % Change
Personal Income Tax	3,139	2,630	(509)	-16.2%
Consumption/Use Taxes	2,004	1,997	(7)	-0.3%
Sales and Use Tax	903	942	39	4.3%
Cigarette and Tobacco Taxes	876	837	(39)	-4.5%
Motor Fuel Tax	109	108	(1)	-0.9%
Highway Use Tax	2	2	0	0.0%
Medical Marihuana Excise Tax	1	1	0	0.0%
Auto Rental Tax	49	48	(1)	-2.0%
Taxicab Surcharge	64	59	(5)	-7.8%
Business Taxes	1,578	1,653	75	4.8%
Corporation Franchise Tax	690	768	78	11.3%
Corporation and Utilities Tax	167	169	2	1.2%
Insurance Taxes	170	167	(3)	-1.8%
Bank Tax	52	62	10	19.2%
Petroleum Business Tax	499	487	(12)	-2.4%
Payroll Tax	1,380	1,438	58	4.2%
Total Taxes	8,101	7,718	(383)	-4.7%
Miscellaneous Receipts	17,686	17,123	(563)	-3.2%
HCRA	4,923	4,925	2	0.0%
State University Income	4,689	4,586	(103)	-2.2%
Lottery	3,380	3,407	27	0.8%
Medicaid	850	832	(18)	-2.1%
Industry Assessments	577	669	92	15.9%
Motor Vehicle Fees	401	416	15	3.7%
All Other	2,866	2,288	(578)	-20.2%
Federal Receipts	52,725	55,005	2,280	4.3%
Total	78,512	79,846	1,334	1.7%

CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
FY 2017
(millions of dollars)

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	(333)	(558)	(891)
Receipts:			
Taxes	1,383	0	1,383
Miscellaneous Receipts	4,635	2	4,637
Federal Receipts	5	2,603	2,608
Total Receipts	6,023	2,605	8,628
Disbursements:			
Local Assistance	2,754	850	3,604
State Operations:			
Personal Service	0	0	0
Non-Personal Service	0	0	0
General State Charges	0	0	0
Debt Service	0	0	0
Capital Projects	5,076	1,476	6,552
Total Disbursements	7,830	2,326	10,156
Other Financing Sources (Uses):			
Transfers from Other Funds	3,032	(281)	2,751
Transfers to Other Funds	(1,383)	(9)	(1,392)
Bond and Note Proceeds	0	0	0
Net Other Financing Sources (Uses)	1,649	(290)	1,359
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(158)	(11)	(169)
Closing Fund Balance	(491)	(569)	(1,060)

CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
FY 2018
(millions of dollars)

	State	Federal	Total
Opening Fund Balance	(491)	(569)	(1,060)
Receipts:			
Taxes	1,333	0	1,333
Miscellaneous Receipts	7,411	1	7,412
Federal Receipts	5	2,265	2,270
Total Receipts	8,749	2,266	11,015
Disbursements:			
Local Assistance	4,125	706	4,831
State Operations:			
Personal Service	0	0	0
Non-Personal Service	0	0	0
General State Charges	0	0	0
Debt Service	0	0	0
Capital Projects	7,023	1,166	8,189
Total Disbursements	11,148	1,872	13,020
Other Financing Sources (Uses):			
Transfers from Other Funds	2,962	(360)	2,602
Transfers to Other Funds	(1,386)	(12)	(1,398)
Bond and Note Proceeds	788	0	788
Net Other Financing Sources (Uses)	2,364	(372)	1,992
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(35)	22	(13)
Closing Fund Balance	(526)	(547)	(1,073)

CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
FY 2019
(millions of dollars)

	State	Federal	Total
Opening Fund Balance	(526)	(547)	(1,073)
Receipts:			
Taxes	1,405	0	1,405
Miscellaneous Receipts	7,634	0	7,634
Federal Receipts	5	2,424	2,429
Total Receipts	9,044	2,424	11,468
Disbursements:			
Local Assistance	4,562	706	5,268
State Operations:			
Personal Service	0	0	0
Non-Personal Service	0	0	0
General State Charges	0	0	0
Debt Service	0	0	0
Capital Projects	8,490	1,310	9,800
Total Disbursements	13,052	2,016	15,068
Other Financing Sources (Uses):			
Transfers from Other Funds	4,612	(376)	4,236
Transfers to Other Funds	(1,358)	(12)	(1,370)
Bond and Note Proceeds	718	0	718
Net Other Financing Sources (Uses)	3,972	(388)	3,584
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(36)	20	(16)
Closing Fund Balance	(562)	(527)	(1,089)

CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
FY 2020
(millions of dollars)

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	(562)	(527)	(1,089)
Receipts:			
Taxes	1,423	0	1,423
Miscellaneous Receipts	7,302	0	7,302
Federal Receipts	5	2,210	2,215
Total Receipts	8,730	2,210	10,940
Disbursements:			
Local Assistance	3,964	706	4,670
State Operations:			
Personal Service	0	0	0
Non-Personal Service	0	0	0
General State Charges	0	0	0
Debt Service	0	0	0
Capital Projects	7,979	1,076	9,055
Total Disbursements	11,943	1,782	13,725
Other Financing Sources (Uses):			
Transfers from Other Funds	4,411	(395)	4,016
Transfers to Other Funds	(1,640)	(11)	(1,651)
Bond and Note Proceeds	412	0	412
Net Other Financing Sources (Uses)	3,183	(406)	2,777
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(30)	22	(8)
Closing Fund Balance	(592)	(505)	(1,097)

CASH FINANCIAL PLAN
 CAPITAL PROJECTS FUNDS
 FY 2021
 (millions of dollars)

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	(592)	(505)	(1,097)
Receipts:			
Taxes	1,421	0	1,421
Miscellaneous Receipts	6,720	0	6,720
Federal Receipts	5	2,166	2,171
Total Receipts	8,146	2,166	10,312
Disbursements:			
Local Assistance	3,360	706	4,066
State Operations:			
Personal Service	0	0	0
Non-Personal Service	0	0	0
General State Charges	0	0	0
Debt Service	0	0	0
Capital Projects	7,391	1,026	8,417
Total Disbursements	10,751	1,732	12,483
Other Financing Sources (Uses):			
Transfers from Other Funds	3,980	(402)	3,578
Transfers to Other Funds	(1,630)	(11)	(1,641)
Bond and Note Proceeds	243	0	243
Net Other Financing Sources (Uses)	2,593	(413)	2,180
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(12)	21	9
Closing Fund Balance	(604)	(484)	(1,088)

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
FY 2017 and FY 2018
(millions of dollars)**

	<u>FY 2017 Results</u>	<u>FY 2018 Mid-Year</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
Opening Fund Balance	<u>(891)</u>	<u>(1,060)</u>	<u>(169)</u>	<u>-19.0%</u>
Receipts:				
Taxes	1,383	1,333	(50)	-3.6%
Miscellaneous Receipts	4,637	7,412	2,775	59.8%
Federal Receipts	2,608	2,270	(338)	-13.0%
Total Receipts	<u>8,628</u>	<u>11,015</u>	<u>2,387</u>	<u>27.7%</u>
Disbursements:				
Local Assistance	3,604	4,831	1,227	34.0%
State Operations:				
Personal Service	0	0	0	0.0%
Non-Personal Service	0	0	0	0.0%
General State Charges	0	0	0	0.0%
Debt Service	0	0	0	0.0%
Capital Projects	6,552	8,189	1,637	25.0%
Total Disbursements	<u>10,156</u>	<u>13,020</u>	<u>2,864</u>	<u>28.2%</u>
Other Financing Sources (Uses):				
Transfers From Other Funds	2,751	2,602	(149)	-5.4%
Transfers to Other Funds	(1,392)	(1,398)	(6)	-0.4%
Bond and Note Proceeds	0	788	788	0.0%
Net Other Financing Sources (Uses)	<u>1,359</u>	<u>1,992</u>	<u>633</u>	<u>46.6%</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>(169)</u>	<u>(13)</u>	<u>156</u>	<u>92.3%</u>
Closing Fund Balance	<u>(1,060)</u>	<u>(1,073)</u>	<u>(13)</u>	<u>-1.2%</u>

**CASH RECEIPTS
CAPITAL PROJECTS FUNDS
FY 2018 THROUGH FY 2021
(millions of dollars)**

	FY 2018 Mid-Year	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
Consumption/Use Taxes	586	631	633	636
Motor Fuel Tax	407	405	401	399
Highway Use Tax	94	139	140	141
Auto Rental Tax	85	87	92	96
Business Taxes	628	655	671	666
Corporation and Utilities Tax	15	14	14	14
Petroleum Business Tax	613	641	657	652
Other Taxes	119	119	119	119
Real Estate Transfer Tax	119	119	119	119
Total Taxes	1,333	1,405	1,423	1,421
Miscellaneous Receipts	7,412	7,634	7,302	6,720
Authority Bond Proceeds	5,418	6,533	6,197	5,629
State Park Fees	123	127	129	114
Environmental Revenues	77	77	77	77
Motor Vehicle Fees	810	817	810	810
All Other	984	80	89	90
Federal Receipts	2,270	2,429	2,215	2,171
Total	11,015	11,468	10,940	10,312

**CASH RECEIPTS
CAPITAL PROJECTS FUNDS
FY 2017 and FY 2018
(millions of dollars)**

	FY 2017 Results	FY 2018 Mid-Year	Annual \$ Change	Annual % Change
Consumption/Use Taxes	624	586	(38)	-6.1%
Motor Fuel Tax	410	407	(3)	-0.7%
Highway Use Tax	136	94	(42)	-30.9%
Auto Rental Tax	78	85	7	9.0%
Business Taxes	640	628	(12)	-1.9%
Corporation and Utilities Tax	15	15	0	0.0%
Petroleum Business Tax	625	613	(12)	-1.9%
Other Taxes	119	119	0	0.0%
Real Estate Transfer Tax	119	119	0	0.0%
Total Taxes	1,383	1,333	(50)	-3.6%
Miscellaneous Receipts	4,637	7,412	2,775	59.8%
Authority Bond Proceeds	3,619	5,418	1,799	49.7%
State Park Fees	125	123	(2)	-1.6%
Environmental Revenues	77	77	0	0.0%
Motor Vehicle Fees	787	810	23	2.9%
All Other	29	984	955	3293.1%
Federal Receipts	2,608	2,270	(338)	-13.0%
Total	8,628	11,015	2,387	27.7%

CASH DISBURSEMENTS BY FUNCTION
CAPITAL OFF-BUDGET SPENDING
(millions of dollars)

	<u>FY 2017</u> Results	<u>FY 2018</u> Mid-Year	<u>FY 2019</u> Projected	<u>FY 2020</u> Projected	<u>FY 2021</u> Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Economic Development	7	9	9	5	5
Empire State Development Corporation	<u>1</u>	<u>13</u>	<u>13</u>	<u>13</u>	<u>13</u>
Functional Total	<u>8</u>	<u>22</u>	<u>22</u>	<u>18</u>	<u>18</u>
TRANSPORTATION					
Transportation, Department of	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Functional Total	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
MENTAL HEALTH					
Mental Health, Office of	83	109	103	103	107
People with Developmental Disabilities, Office for	13	36	37	38	38
Alcoholism and Substance Abuse Services, Office of	<u>4</u>	<u>5</u>	<u>4</u>	<u>4</u>	<u>4</u>
Functional Total	<u>100</u>	<u>150</u>	<u>144</u>	<u>145</u>	<u>149</u>
EDUCATION					
Education School Aid	<u>15</u>	<u>20</u>	<u>20</u>	<u>20</u>	<u>10</u>
Functional Total	<u>15</u>	<u>20</u>	<u>20</u>	<u>20</u>	<u>10</u>
HIGHER EDUCATION					
City University of New York	359	385	395	395	394
State University of New York	<u>83</u>	<u>90</u>	<u>90</u>	<u>90</u>	<u>90</u>
Functional Total	<u>442</u>	<u>475</u>	<u>485</u>	<u>485</u>	<u>484</u>
ALL OTHER					
Judiciary	<u>16</u>	<u>16</u>	<u>0</u>	<u>0</u>	<u>0</u>
Functional Total	<u>16</u>	<u>16</u>	<u>0</u>	<u>0</u>	<u>0</u>
TOTAL CAPITAL OFF-BUDGET SPENDING	<u><u>581</u></u>	<u><u>683</u></u>	<u><u>671</u></u>	<u><u>668</u></u>	<u><u>661</u></u>

Note: This table reflects certain capital spending that is not reported in actual cash spending results, but is reported in the State's GAAP Financial Statements. This spending is related to programs which are financed directly from bond proceeds that are on deposit at various public authorities rather than from a short-term loan from the Short-Term Investment Pool or cash from the General Fund.

**CASH RECEIPTS
DEBT SERVICE FUNDS
FY 2018 THROUGH FY 2021
(millions of dollars)**

	<u>FY 2018 Mid-Year</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>
Personal Income Tax	12,158	12,781	13,292	13,679
Consumption/Use Taxes	6,784	7,057	7,332	7,612
Sales and Use Tax	6,784	7,057	7,332	7,612
Other Taxes	1,077	1,133	1,181	1,218
Real Estate Transfer Tax	1,077	1,133	1,181	1,218
Total Taxes	20,019	20,971	21,805	22,509
Miscellaneous Receipts	459	458	459	459
Mental Hygiene Patient Receipts	310	311	311	311
SUNY Dormitory Fees	0	0	0	0
Health Patient Receipts	144	144	144	144
All Other	5	3	4	4
Federal Receipts	73	73	73	73
Total	20,551	21,502	22,337	23,041

**CASH RECEIPTS
DEBT SERVICE FUNDS
FY 2017 and FY 2018
(millions of dollars)**

	<u>FY 2017 Results</u>	<u>FY 2018 Mid-Year</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
Personal Income Tax	11,891	12,158	267	2.2%
Consumption/Use Taxes	6,483	6,784	301	4.6%
Sales and Use Tax	6,483	6,784	301	4.6%
Other Taxes	1,007	1,077	70	7.0%
Real Estate Transfer Tax	1,007	1,077	70	7.0%
Total Taxes	19,381	20,019	638	3.3%
Miscellaneous Receipts	458	459	1	0.2%
Mental Hygiene Patient Receipts	329	310	(19)	-5.8%
SUNY Dormitory Fees	0	0	0	0.0%
Health Patient Receipts	123	144	21	17.1%
All Other	6	5	(1)	-16.7%
Federal Receipts	73	73	0	0.0%
Total	19,912	20,551	639	3.2%

CASH FINANCIAL PLAN
STATE FUNDS
FY 2017
(millions of dollars)

	General Fund	State Special Revenue Funds	State Capital Projects Funds	Debt Service Funds	State Funds Total
Opening Fund Balance	<u>8,934</u>	<u>3,547</u>	<u>(333)</u>	<u>160</u>	<u>12,308</u>
Receipts:					
Taxes	45,507	8,101	1,383	19,381	74,372
Miscellaneous Receipts	3,813	17,487	4,635	458	26,393
Federal Receipts	0	(1)	5	73	77
Total Receipts	<u>49,320</u>	<u>25,587</u>	<u>6,023</u>	<u>19,912</u>	<u>100,842</u>
Disbursements:					
Local Assistance	44,439	19,930	2,754	0	67,123
State Operations:					
Personal Service	6,065	7,028	0	0	13,093
Non-Personal Service	2,022	3,527	0	38	5,587
General State Charges	5,462	2,172	0	0	7,634
Debt Service	0	0	0	5,514	5,514
Capital Projects	0	2	5,076	0	5,078
Total Disbursements	<u>57,988</u>	<u>32,659</u>	<u>7,830</u>	<u>5,552</u>	<u>104,029</u>
Other Financing Sources (Uses):					
Transfers from Other Funds	17,575	7,733	3,032	3,609	31,949
Transfers to Other Funds	(10,092)	(476)	(1,383)	(17,985)	(29,936)
Bond and Note Proceeds	0	0	0	0	0
Net Other Financing Sources (Uses)	<u>7,483</u>	<u>7,257</u>	<u>1,649</u>	<u>(14,376)</u>	<u>2,013</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>(1,185)</u>	<u>185</u>	<u>(158)</u>	<u>(16)</u>	<u>(1,174)</u>
Closing Fund Balance	<u><u>7,749</u></u>	<u><u>3,732</u></u>	<u><u>(491)</u></u>	<u><u>144</u></u>	<u><u>11,134</u></u>

CASH FINANCIAL PLAN
STATE FUNDS
FY 2018
(millions of dollars)

	General Fund	State Special Revenue Funds	State Capital Projects Funds	Debt Service Funds	State Funds Total
Opening Fund Balance	7,749	3,732	(491)	144	11,134
Receipts:					
Taxes	48,018	7,718	1,333	20,019	77,088
Miscellaneous Receipts	2,742	16,964	7,411	459	27,576
Federal Receipts	0	1	5	73	79
Total Receipts	50,760	24,683	8,749	20,551	104,743
Disbursements:					
Local Assistance	46,752	19,301	4,125	0	70,178
State Operations:					
Personal Service	5,981	7,056	0	0	13,037
Non-Personal Service	2,232	3,479	0	37	5,748
General State Charges	5,713	2,265	0	0	7,978
Debt Service	0	0	0	5,288	5,288
Capital Projects	0	0	7,023	0	7,023
Total Disbursements	60,678	32,101	11,148	5,325	109,252
Other Financing Sources (Uses):					
Transfers from Other Funds	18,746	7,844	2,962	3,695	33,247
Transfers to Other Funds	(9,695)	(932)	(1,386)	(18,918)	(30,931)
Bond and Note Proceeds	0	0	788	0	788
Net Other Financing Sources (Uses)	9,051	6,912	2,364	(15,223)	3,104
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(867)	(506)	(35)	3	(1,405)
Closing Fund Balance	6,882	3,226	(526)	147	9,729

CASH FINANCIAL PLAN
STATE FUNDS
FY 2019
(millions of dollars)

	General Fund	State Special Revenue Funds	State Capital Projects Funds	Debt Service Funds	State Funds Total
Receipts:					
Taxes	50,234	7,761	1,405	20,971	80,371
Miscellaneous Receipts	2,155	16,232	7,634	458	26,479
Federal Receipts	0	1	5	73	79
Total Receipts	52,389	23,994	9,044	21,502	106,929
Disbursements:					
Local Assistance	49,968	18,876	4,562	0	73,406
State Operations:					
Personal Service	6,249	7,002	0	0	13,251
Non-Personal Service	2,501	3,331	0	50	5,882
General State Charges	6,152	2,333	0	0	8,485
Debt Service	0	0	0	6,310	6,310
Capital Projects	0	0	8,490	0	8,490
Total Disbursements	64,870	31,542	13,052	6,360	115,824
Other Financing Sources (Uses):					
Transfers from Other Funds	18,394	8,107	4,612	3,835	34,948
Transfers to Other Funds	(12,018)	(334)	(1,358)	(18,973)	(32,683)
Bond and Note Proceeds	0	0	718	0	718
Net Other Financing Sources (Uses)	6,376	7,773	3,972	(15,138)	2,983
Use (Reservation) of Fund Balance:					
Extraordinary Monetary Settlements	1,662				
Total Use (Reservation) of Fund Balance	1,662				
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)	(4,443)				
Adherence to 2% Spending Benchmark	2,706				
Net General Fund Surplus (Deficit)	(1,737)				

CASH FINANCIAL PLAN
STATE FUNDS
FY 2020
(millions of dollars)

	<u>General</u> <u>Fund</u>	<u>State Special</u> <u>Revenue</u> <u>Funds</u>	<u>State Capital</u> <u>Projects</u> <u>Funds</u>	<u>Debt</u> <u>Service</u> <u>Funds</u>	<u>State</u> <u>Funds</u> <u>Total</u>
Receipts:					
Taxes	52,592	7,836	1,423	21,805	83,656
Miscellaneous Receipts	2,159	16,139	7,302	459	26,059
Federal Receipts	0	1	5	73	79
Total Receipts	54,751	23,976	8,730	22,337	109,794
Disbursements:					
Local Assistance	53,075	18,931	3,964	0	75,970
State Operations:					
Personal Service	6,506	7,108	0	0	13,614
Non-Personal Service	2,599	3,286	0	50	5,935
General State Charges	6,583	2,416	0	0	8,999
Debt Service	0	0	0	7,004	7,004
Capital Projects	0	0	7,979	0	7,979
Total Disbursements	68,763	31,741	11,943	7,054	119,501
Other Financing Sources (Uses):					
Transfers from Other Funds	18,673	8,358	4,411	3,829	35,271
Transfers to Other Funds	(12,133)	(334)	(1,640)	(19,107)	(33,214)
Bond and Note Proceeds	0	0	412	0	412
Net Other Financing Sources (Uses)	6,540	8,024	3,183	(15,278)	2,469
Use (Reservation) of Fund Balance:					
Extraordinary Monetary Settlements	1,087				
Total Use (Reservation) of Fund Balance	1,087				
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)	(6,385)				
Adherence to 2% Spending Benchmark	5,491				
Net General Fund Surplus (Deficit)	(894)				

CASH FINANCIAL PLAN
STATE FUNDS
FY 2021
(millions of dollars)

	General Fund	State Special Revenue Funds	State Capital Projects Funds	Debt Service Funds	State Funds Total
Receipts:					
Taxes	54,495	7,882	1,421	22,509	86,307
Miscellaneous Receipts	2,082	15,979	6,720	459	25,240
Federal Receipts	0	1	5	73	79
Total Receipts	56,577	23,862	8,146	23,041	111,626
Disbursements:					
Local Assistance	55,728	18,984	3,360	0	78,072
State Operations:					
Personal Service	6,950	7,328	0	0	14,278
Non-Personal Service	2,625	3,315	0	50	5,990
General State Charges	7,090	2,514	0	0	9,604
Debt Service	0	0	0	7,257	7,257
Capital Projects	0	0	7,391	0	7,391
Total Disbursements	72,393	32,141	10,751	7,307	122,592
Other Financing Sources (Uses):					
Transfers from Other Funds	19,167	8,555	3,980	3,799	35,501
Transfers to Other Funds	(12,057)	(232)	(1,630)	(19,528)	(33,447)
Bond and Note Proceeds	0	0	243	0	243
Net Other Financing Sources (Uses)	7,110	8,323	2,593	(15,729)	2,297
Use (Reservation) of Fund Balance:					
Extraordinary Monetary Settlements	653				
Total Use (Reservation) of Fund Balance	653				
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)	(8,053)				
Adherence to 2% Spending Benchmark	7,733				
Net General Fund Surplus (Deficit)	(320)				

CASH FINANCIAL PLAN
STATE FUNDS
FY 2017 and FY 2018
(millions of dollars)

	<u>FY 2017</u> <u>Results</u>	<u>FY 2018</u> <u>Mid-Year</u>	<u>Annual</u> <u>\$ Change</u>	<u>Annual</u> <u>% Change</u>
Opening Fund Balance	12,308	11,134	(1,174)	-9.5%
Receipts:				
Taxes	74,372	77,088	2,716	3.7%
Miscellaneous Receipts	26,393	27,576	1,183	4.5%
Federal Receipts	77	79	2	2.6%
Total Receipts	100,842	104,743	3,901	3.9%
Disbursements:				
Local Assistance	67,123	70,178	3,055	4.6%
State Operations:				
Personal Service	13,093	13,037	(56)	-0.4%
Non-Personal Service	5,587	5,748	161	2.9%
General State Charges	7,634	7,978	344	4.5%
Debt Service	5,514	5,288	(226)	-4.1%
Capital Projects	5,078	7,023	1,945	38.3%
Total Disbursements	104,029	109,252	5,223	5.0%
Other Financing Sources (Uses):				
Transfers from Other Funds	31,949	33,247	1,298	4.1%
Transfers to Other Funds	(29,936)	(30,931)	(995)	-3.3%
Bond and Note Proceeds	0	788	788	0.0%
Net Other Financing Sources (Uses)	2,013	3,104	1,091	54.2%
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(1,174)	(1,405)	(231)	-19.7%
Closing Fund Balance	11,134	9,729	(1,405)	-12.6%

**CASHFLOW
GENERAL FUND
FY 2017
(dollars in millions)**

	2016 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2017 January Results	February Results	March Results	Total
OPENING BALANCE	8,934	10,893	7,751	7,210	6,765	6,229	9,566	8,923	7,532	9,054	11,085	12,026	8,934
RECEIPTS:													
Personal Income Tax	4,787	1,639	3,123	1,921	2,205	3,420	1,895	1,560	3,457	3,452	2,620	2,456	32,535
Consumption/Use Taxes	547	523	711	569	537	713	549	552	687	594	474	645	7,101
Business Taxes	158	84	871	71	42	1,079	409	(116)	1,045	(8)	(301)	1,427	4,761
Other Taxes	75	108	79	74	125	88	100	94	158	64	59	86	1,110
Total Taxes	5,567	2,354	4,784	2,635	2,909	5,300	2,953	2,090	5,347	4,102	2,852	4,614	45,507
Abandoned Property	0	0	0	0	0	38	29	159	49	0	0	163	438
ABC License Fee	6	5	4	4	5	5	5	4	4	6	6	7	61
Investment Income	2	2	2	1	1	1	1	2	1	1	3	7	24
Licenses, Fees, etc.	22	70	54	49	62	33	52	53	65	69	28	87	644
Motor Vehicle Fees	17	16	26	(4)	30	6	(50)	69	17	8	10	29	174
Reimbursements	6	16	36	8	7	49	4	28	28	3	21	40	246
Extraordinary Settlements	0	127	0	0	180	0	0	275	235	19	425	(6)	1,255
Other Transactions	16	277	54	29	36	149	56	29	110	33	28	154	971
Total Miscellaneous Receipts	69	513	176	87	321	281	97	619	509	139	521	481	3,813
Federal Receipts	0	0	0	0	0	0	0	0	0	0	0	0	0
PIT in Excess of Revenue Bond Debt Service	1,594	531	1,181	469	270	1,430	633	246	1,187	582	602	1,550	10,275
Tax in Excess of LGAC	247	111	453	254	203	327	250	248	317	268	4	188	2,870
Sales Tax Bond Fund	196	184	274	201	189	295	191	205	260	211	162	304	2,672
Real Estate Taxes in Excess of CW/CA Debt Service	74	74	91	96	85	83	79	77	71	78	75	57	940
All Other	5	39	23	6	0	44	21	43	4	87	50	496	818
Total Transfers from Other Funds	2,116	939	2,022	1,026	747	2,179	1,174	819	1,839	1,226	893	2,595	17,575
TOTAL RECEIPTS	7,752	3,806	6,982	3,748	3,977	7,760	4,224	3,528	7,695	5,467	4,266	7,690	66,895
DISBURSEMENTS:													
School Aid	754	2,900	1,898	48	645	1,620	911	1,421	1,782	585	625	7,828	21,017
Higher Education	19	25	648	218	124	166	357	42	151	51	107	966	2,874
All Other Education	52	118	514	146	238	146	113	195	79	33	212	418	2,186
Medicaid - DOH	998	1,267	1,441	1,087	1,288	1,216	1,020	1,367	1,223	822	405	313	12,447
Public Health	20	207	52	57	39	36	41	65	41	96	34	42	730
Mental Hygiene	3	1	202	1	4	199	12	2	242	(1)	139	83	887
Children and Families	27	33	271	194	69	88	65	96	315	120	178	256	1,712
Temporary & Disability Assistance	95	94	156	93	97	110	96	93	91	94	95	106	1,220
Transportation	0	23	11	0	24	0	0	24	10	0	14	0	106
Unrestricted Aid	0	11	389	9	0	98	8	1	181	0	0	63	760
All Other	9	19	93	31	46	53	58	30	36	(64)	125	64	500
Total Local Assistance	1,977	4,698	5,675	1,884	2,574	3,654	2,681	3,336	4,151	1,736	1,934	10,139	44,439
Personal Service	475	488	609	476	490	578	462	621	484	430	451	501	6,065
Non-Personal Service	103	135	162	132	186	171	221	205	130	152	163	262	2,022
Total State Operations	578	623	771	608	676	749	683	826	614	582	614	763	8,087
General State Charges	2,440	193	391	404	365	0	500	(20)	402	350	220	217	5,462
Debt Service	245	(2)	(3)	167	(18)	(80)	104	(2)	(2)	344	(15)	186	924
Capital Projects	162	179	107	344	342	(8)	281	287	443	243	481	(292)	2,569
State Share Medicaid	95	105	162	127	89	61	80	178	94	50	129	69	1,239
SUNY Operations	213	213	213	179	0	0	0	179	0	0	0	0	996
Other Purposes	83	939	208	480	485	47	538	135	471	131	(38)	885	4,364
Total Transfers to Other Funds	798	1,434	686	1,297	898	20	1,003	777	1,006	768	557	848	10,092
TOTAL DISBURSEMENTS	5,793	6,948	7,523	4,193	4,513	4,423	4,867	4,919	6,173	3,436	3,325	11,967	68,080
Excess/(Deficiency) of Receipts over Disbursements	1,959	(3,142)	(541)	(445)	(536)	3,337	(643)	(1,391)	1,522	2,031	941	(4,277)	(1,185)
CLOSING BALANCE	10,893	7,751	7,210	6,765	6,229	9,566	8,923	7,532	9,054	11,085	12,026	7,749	7,749

**CASHFLOW
STATE OPERATING FUNDS
FY 2017
(dollars in millions)**

	2016 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2017 January Results	February Results	March Results	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	12,641	15,345	13,151	12,549	13,152	13,391	13,902	13,932	11,976	13,325	17,202	18,041		12,641
RECEIPTS:														
Personal Income Tax	6,384	2,185	4,724	2,562	2,940	4,704	2,533	2,094	4,752	7,595	3,493	3,599	0	47,565
Consumption/Use Taxes	1,230	1,143	1,566	1,250	1,189	1,550	1,219	1,213	1,504	1,301	1,042	1,381	0	15,588
Business Taxes	231	139	1,096	139	112	1,326	543	(69)	1,315	30	(273)	1,700	0	6,339
Other Taxes	282	277	270	261	277	282	273	273	341	327	284	279	0	3,497
Total Taxes	8,127	3,744	7,656	4,212	4,564	7,862	4,593	3,511	7,912	9,303	4,546	6,959	0	72,989
Abandoned Property	0	0	0	0	0	38	29	159	49	49	0	163	0	438
ABC License Fee	6	5	4	4	5	5	5	4	4	6	6	7	0	61
HCRA	366	433	440	435	394	405	418	383	466	393	408	382	0	4,923
Investment Income	2	2	2	2	1	1	1	2	1	1	3	7	0	24
Licenses, Fees, etc.	22	70	54	49	62	33	52	53	65	69	28	87	0	644
Lottery	267	280	336	278	321	249	247	305	282	221	263	331	0	3,380
Medicaid	77	72	70	71	76	69	70	66	66	70	73	68	0	850
Motor Vehicle Fees	50	54	61	34	62	39	(18)	100	46	41	40	66	0	575
Reimbursements	6	16	36	8	7	49	4	28	28	3	21	40	0	246
State University Income	283	265	249	237	439	695	424	278	273	399	757	390	0	4,689
Extraordinary Settlements	0	127	0	0	180	0	0	275	235	19	425	(6)	0	1,255
Other Transactions	279	533	423	322	316	608	253	226	559	316	226	612	0	4,673
Total Miscellaneous Receipts	1,358	1,857	1,677	1,439	1,863	2,191	1,485	1,879	2,078	1,538	2,250	2,147	0	21,758
Federal Receipts	15	1	0	1	20	1	3	(3)	(2)	2	35	(1)	0	72
TOTAL RECEIPTS	9,500	5,602	9,333	5,652	6,447	10,054	6,081	5,387	9,984	10,843	6,831	9,105	0	94,819
DISBURSEMENTS:														
School Aid	754	2,900	2,203	48	645	3,771	1,056	1,565	1,926	729	769	7,985	0	24,351
Higher Education	19	25	648	218	124	166	42	42	151	51	107	966	0	2,874
All Other Education	52	118	514	146	240	70	114	195	80	33	212	419	0	2,193
STAR	1	0	416	0	0	108	1	19	107	2,243	0	244	0	3,139
Medicaid - DOH	1,335	1,765	1,870	1,729	1,766	1,623	1,455	2,011	1,653	1,315	980	741	0	18,243
Public Health	82	235	188	113	90	95	94	103	87	166	61	201	0	1,515
Mental Hygiene	90	40	439	107	49	447	112	35	519	91	195	335	0	2,459
Children and Families	27	34	271	194	69	88	65	97	315	120	179	256	0	1,715
Temporary & Disability Assistance	95	94	156	93	97	110	96	93	91	94	95	106	0	1,220
Transportation	192	481	524	308	490	429	376	622	882	206	298	169	0	4,977
Unrestricted Aid	0	11	389	9	0	98	8	1	181	0	0	63	0	760
All Other	31	57	134	57	95	76	68	90	63	(35)	151	136	0	923
Total Local Assistance	2,678	5,760	7,752	3,022	3,655	7,081	3,802	4,873	6,055	5,013	3,047	11,621	0	64,369
Personal Service	1,026	1,016	1,314	1,004	1,036	1,211	994	1,335	1,025	994	994	1,144	0	13,093
Non-Personal Service	318	429	486	365	515	477	503	495	447	425	474	653	0	5,587
Total State Operations	1,344	1,445	1,800	1,369	1,551	1,688	1,497	1,830	1,472	1,419	1,468	1,797	0	18,680
General State Charges	2,619	431	495	430	433	417	523	472	508	438	438	430	0	7,634
Debt Service	113	163	90	25	282	790	33	74	364	26	660	2,894	0	5,514
Capital Projects	0	0	0	1	0	1	(1)	1	1	0	0	(1)	0	2
TOTAL DISBURSEMENTS	6,754	7,799	10,137	4,847	5,931	9,977	5,854	7,250	8,400	6,896	5,613	16,741	0	96,199
OTHER FINANCING SOURCES (USES):														
Transfers from other funds	3,034	2,443	2,969	2,323	1,506	2,729	2,038	1,598	2,690	2,003	1,248	4,709	(373)	28,917
Transfers to other funds	(3,076)	(2,440)	(2,767)	(2,525)	(1,783)	(2,295)	(2,235)	(1,691)	(2,925)	(2,073)	(1,627)	(3,489)	373	(28,553)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES/(USES)	(42)	3	202	(202)	(277)	434	(197)	(93)	(235)	(70)	(379)	1,220	0	364
Excess/(Deficiency) of Receipts over Disbursements	2,704	(2,194)	(602)	603	239	511	30	(1,956)	1,349	3,877	839	(6,416)	0	(1,016)
CLOSING BALANCE	35,345	13,151	12,549	13,152	13,391	13,902	13,932	11,976	13,325	17,202	18,041	11,625	0	11,625

**CASHFLOW
ALL GOVERNMENTAL FUNDS
FY 2017**
(dollars in millions)

	2016 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2017 January Results	February Results	March Results	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	11,810	14,230	12,089	12,220	12,500	12,187	13,427	13,271	10,457	12,970	16,730	16,886		11,810
RECEIPTS:														
Personal Income Tax	6,384	2,185	4,724	2,562	2,940	4,704	2,533	2,094	4,752	7,595	3,493	3,599	0	47,565
Consumption/Use Taxes	1,274	1,183	1,632	1,299	1,241	1,620	1,261	1,261	1,571	1,351	1,089	1,425	0	16,212
Business Taxes	280	182	1,150	206	164	1,382	598	(17)	1,374	134	(2,089)	1,752	0	6,979
Other Taxes	282	277	282	273	334	295	283	354	354	339	296	291	0	3,616
Total Taxes	8,220	3,827	7,788	4,340	4,679	8,001	4,707	3,621	8,051	9,419	4,652	7,067	0	74,372
Abandoned Property	0	0	0	0	0	38	29	159	49	0	0	163	0	438
ABC License Fee	6	5	4	4	5	5	5	4	4	6	6	7	0	61
HCRA	366	433	440	435	394	405	418	383	466	393	408	382	0	4,923
Investment Income	2	2	2	1	1	1	2	1	1	1	3	7	0	24
Licenses, Fees, etc.	22	70	54	49	62	33	52	53	65	69	28	87	0	644
Lottery	267	280	336	278	321	249	247	305	282	221	263	331	0	3,380
Medical	77	72	70	71	76	69	70	66	66	73	73	66	0	850
Motor Vehicle Fees	50	54	61	34	62	39	(18)	100	46	41	66	66	0	575
Reimbursements	6	16	36	8	7	49	4	28	28	3	21	40	0	246
State University Income	283	265	249	237	439	695	424	278	273	399	757	390	0	4,689
Extraordinary Settlements	0	127	0	0	180	0	0	275	235	19	425	(6)	0	1,255
Other Transactions	377	776	1,094	425	419	1,275	593	318	695	549	323	2,665	0	9,509
Total Miscellaneous Receipts	1,456	2,100	2,348	1,542	1,966	2,858	1,825	1,971	2,210	1,771	2,347	4,200	0	26,594
Federal Receipts	3,276	4,197	5,209	3,646	4,205	5,382	3,562	4,207	5,992	4,075	3,129	8,526	0	55,406
TOTAL RECEIPTS	12,952	10,124	15,345	9,528	10,850	16,241	10,094	9,799	16,253	15,265	10,128	19,793	0	156,372
DISBURSEMENTS:														
School Aid	955	3,083	2,431	174	751	3,859	1,334	1,698	2,088	877	1,470	8,531	0	27,251
Higher Education	19	25	648	218	124	166	357	42	151	51	107	966	0	2,874
All Other Education	119	218	583	195	331	111	187	235	105	74	262	467	0	2,887
STAR	1	0	416	0	0	108	1	19	107	2,243	0	244	0	3,139
Medicaid - DOH	3,835	4,615	5,070	4,636	5,024	3,789	4,064	5,643	4,725	4,498	2,940	6,709	0	55,548
Public Health	220	381	309	243	216	432	212	209	328	323	178	430	0	3,481
Mental Hygiene	120	58	457	128	472	53	136	53	535	116	217	366	0	2,721
Children and Families	87	136	324	295	237	326	138	112	348	125	187	262	0	2,577
Temporary & Disability Assistance	269	274	417	249	257	843	281	326	435	277	342	423	0	4,393
Transportation	225	524	608	385	574	663	449	661	1,166	253	504	568	0	6,580
Unrestricted Aid	0	11	389	9	0	98	8	1	181	0	0	63	0	760
All Other	181	238	327	300	499	288	288	379	513	116	472	524	0	4,125
Total Local Assistance	6,031	9,563	11,979	6,832	8,076	11,155	7,455	9,378	10,682	8,953	6,679	19,553	0	116,336
Personal Service	1,075	1,060	1,386	1,045	1,095	1,258	1,039	1,405	1,074	1,038	1,043	1,206	0	13,724
Non-Personal Service	363	523	603	444	667	656	605	606	531	564	642	754	0	6,958
Total State Operations	1,438	1,583	1,989	1,489	1,762	1,914	1,644	2,011	1,605	1,602	1,685	1,960	0	20,682
General State Charges	2,630	466	509	430	467	454	527	502	548	462	458	474	0	7,927
Debt Service	113	163	90	25	282	790	33	74	364	26	660	2,894	0	5,514
Capital Projects	313	486	647	471	561	691	586	646	540	465	485	664	0	6,555
TOTAL DISBURSEMENTS	10,525	12,261	15,214	9,247	11,148	15,004	10,245	12,611	13,739	11,508	9,967	25,545	0	157,014
OTHER FINANCING SOURCES (USES):														
Transfers from other funds	3,195	2,627	3,108	2,686	1,859	2,729	2,325	1,898	3,145	2,259	1,749	4,461	(373)	31,668
Transfers to other funds	(3,202)	(2,631)	(3,108)	(2,687)	(1,874)	(2,726)	(2,330)	(1,900)	(3,146)	(2,256)	(1,754)	(4,490)	373	(31,731)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES (USES)	(7)	(4)	0	(1)	(15)	3	(5)	(2)	(1)	3	(5)	(29)	0	(63)
Excess/(Deficiency) of Receipts over Disbursements	2,420	(2,141)	131	280	(313)	1,240	(156)	(2,814)	2,513	3,760	156	(5,781)	0	(705)
CLOSING BALANCE	14,230	12,089	12,220	12,500	12,187	13,427	13,271	10,457	12,970	16,730	16,886	11,105	0	11,105

CASHFLOW
SPECIAL REVENUE FUNDS
FY 2017
(dollars in millions)

	2016 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2017 January Results	February Results	March Results	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	3,607	3,870	4,768	5,296	5,554	5,577	4,365	4,566	3,001	4,178	4,121	3,489		3,607
RECEIPTS:														
Personal Income Tax	1	0	420	0	0	108	5	11	107	2,244	0	243	0	3,139
Consumption/Use Taxes	185	145	200	173	167	184	170	200	184	172	131	130	0	2,004
Business Taxes	73	55	225	68	70	247	134	47	270	88	28	273	0	1,578
Other Taxes	117	94	100	85	108	105	114	96	106	180	144	131	0	1,380
Total Taxes	376	294	945	326	345	644	423	317	667	2,684	303	777	0	8,101
HCRA	366	433	440	435	394	405	418	383	466	393	408	382	0	4,923
State University Income	283	265	249	237	249	278	424	278	273	399	757	390	0	4,689
Lottery	267	280	336	278	321	247	240	305	282	221	263	331	0	3,380
Medical	77	72	72	71	76	69	70	66	66	70	73	68	0	850
Motor Vehicle Fees	33	38	35	38	32	31	32	31	29	33	30	37	0	401
Other Transactions	265	275	416	169	245	428	180	184	434	213	179	455	0	3,443
Total Miscellaneous Receipts	1,291	1,363	1,548	1,228	1,507	1,879	1,371	1,247	1,550	1,329	1,710	1,663	0	17,686
Federal Receipts	3,150	4,041	4,981	3,491	3,940	5,020	3,397	4,004	5,612	3,934	2,959	8,196	0	52,725
TOTAL RECEIPTS	4,817	5,698	7,474	5,045	5,792	7,543	5,191	5,568	7,829	7,947	4,972	10,636	0	78,512
DISBURSEMENTS:														
School Aid	201	183	533	126	106	2,237	420	274	299	292	838	690	0	6,199
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	67	100	69	41	87	43	74	40	26	41	50	49	0	687
STAR	1	0	416	0	0	108	1	19	107	2,243	0	244	0	3,139
Medicaid - DOH	2,837	3,348	3,629	3,549	3,736	2,573	3,044	4,276	3,502	3,676	2,535	6,396	0	43,101
Public Health	200	170	257	182	177	392	167	144	284	189	140	385	0	2,687
Mental Hygiene	113	49	248	121	55	116	116	44	286	105	70	263	0	1,735
Children and Families	60	103	53	101	168	238	73	16	33	5	9	6	0	865
Temporary & Disability Assistance	174	164	261	156	160	717	185	233	344	168	247	310	0	3,119
Transportation	197	461	519	310	471	431	378	600	877	210	286	180	0	4,920
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	111	190	32	155	250	144	132	218	205	71	154	180	0	1,842
Total Local Assistance	3,961	4,768	6,017	4,741	5,210	7,148	4,590	5,866	5,963	7,000	4,329	8,703	0	68,294
Personal Service	600	572	777	569	605	680	577	784	590	608	592	705	0	7,659
Non-Personal Service	259	386	440	297	478	482	384	399	400	411	478	484	0	4,898
Total State Operations	859	958	1,217	866	1,083	1,162	961	1,183	990	1,019	1,070	1,189	0	12,557
General State Charges	190	273	118	26	102	454	27	522	146	112	238	257	0	2,465
Capital Projects	0	0	0	1	0	1	(1)	1	1	0	0	(1)	0	2
TOTAL DISBURSEMENTS	5,010	5,999	7,352	5,634	6,395	8,765	5,577	7,570	7,100	8,131	5,637	10,148	0	83,318
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	560	1,365	755	996	708	219	677	635	656	372	197	966	(373)	7,733
Transfers to Other Funds	(104)	(149)	(349)	(82)	(82)	(209)	(90)	(198)	(208)	(245)	(164)	(671)	373	(2,262)
NET OTHER FINANCING SOURCES/(USES)	456	1,199	406	847	626	10	587	437	448	127	33	295	0	5,471
Excess/(Deficiency) of Receipts over Disbursements	263	898	528	258	23	(1,212)	201	(1,565)	1,177	(57)	(632)	783	0	665
CLOSING BALANCE	3,870	4,768	5,296	5,554	5,577	4,365	4,566	3,001	4,178	4,121	3,489	4,272	0	4,272

**CASHFLOW
SPECIAL REVENUE STATE FUNDS
FY 2017**
(dollars in millions)

	2016 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2017 January Results	February Results	March Results	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	3,547	4,127	4,982	4,973	5,579	6,054	3,961	4,436	3,568	3,552	3,634	3,571		3,547
RECEIPTS:														
Personal Income Tax	1	0	420	0	0	108	5	11	107	2,244	0	243	0	3,139
Consumption/Use Taxes	185	145	200	173	167	184	170	163	184	172	131	130	0	2,004
Business Taxes	73	55	225	68	70	247	134	270	270	88	28	273	0	1,578
Other Taxes	117	94	100	85	108	105	114	96	106	180	144	131	0	1,380
Total Taxes	376	294	945	326	345	644	423	317	667	2,684	303	777	0	8,101
HCRA	366	433	440	435	394	405	418	383	466	393	408	382	0	4,923
State University Income	283	265	249	237	439	695	424	278	273	399	757	390	0	4,689
Lottery	267	280	336	278	321	249	247	305	282	221	263	331	0	3,380
Medicaid	77	72	72	72	72	69	70	66	66	70	70	68	0	850
Motor Vehicle Fees	73	36	36	36	36	36	36	36	36	36	36	36	0	301
Other Transactions	232	231	407	152	228	413	163	163	433	201	163	433	0	3,204
Total Miscellaneous Receipts	1,278	1,319	1,539	1,214	1,490	1,868	1,354	1,232	1,541	1,317	1,694	1,641	0	17,487
Federal Receipts	15	1	0	(1)	(15)	1	3	(3)	(1)	0	0	(1)	0	(1)
TOTAL RECEIPTS	1,669	1,614	2,484	1,639	1,820	2,513	1,780	1,546	2,207	4,001	1,997	2,417	0	25,587
DISBURSEMENTS:														
School Aid	0	0	305	0	0	2,151	145	144	144	144	144	157	0	3,334
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	0	0	0	0	2	2	1	0	1	0	0	1	0	7
STAR	1	0	416	0	108	108	1	19	107	2,243	0	244	0	3,139
Medicaid - DOH	337	498	429	642	478	407	435	644	430	493	575	428	0	5,796
Public Health	62	28	136	56	51	59	53	38	46	70	27	159	0	785
Mental Hygiene	87	39	237	106	45	248	100	33	277	92	56	252	0	1,572
Children and Families	0	1	0	0	0	0	0	1	0	0	1	0	0	3
Temporary & Disability Assistance	192	458	513	308	466	429	376	598	872	206	284	169	0	4,871
Transportation	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Unrestricted Aid	22	38	41	26	49	23	10	60	27	29	26	72	0	423
All Other	701	1,062	2,077	1,138	1,091	3,427	1,121	1,537	1,904	3,277	1,113	1,482	0	19,950
Total Local Assistance	51	528	705	528	546	633	532	714	541	564	543	643	0	7,028
Personal Service	214	292	323	326	326	303	282	288	316	272	310	383	0	3,527
Non-Personal Service	765	820	1,028	746	872	936	814	1,002	857	836	853	1,026	0	10,555
Total State Operations	179	238	104	26	68	417	23	492	106	88	218	213	0	2,172
General State Charges	0	0	0	1	0	1	(1)	1	1	0	0	(1)	0	2
Capital Projects	1,645	2,120	3,209	1,911	2,031	4,781	1,957	3,032	2,868	4,201	2,184	2,720	0	32,659
TOTAL DISBURSEMENTS	560	1,365	755	996	708	219	677	635	656	372	197	966	(373)	7,733
OTHER FINANCING SOURCES (USES):	(4)	(4)	(39)	(18)	(22)	(44)	(25)	(17)	(11)	(90)	(73)	(502)	373	(416)
Transfers from Other Funds	556	1,361	716	978	686	175	652	618	645	282	124	464	0	7,257
Transfers to Other Funds	580	855	(9)	606	475	(2,093)	475	(868)	(16)	82	(63)	161	0	185
NET OTHER FINANCING SOURCES/(USES)	4,127	4,982	4,973	5,579	6,054	3,961	4,436	3,568	3,552	3,634	3,571	3,732	0	3,732
Excess/(Deficiency) of Receipts over Disbursements														
CLOSING BALANCE														

CASHFLOW
SPECIAL REVENUE FEDERAL FUNDS
FY 2017
(dollars in millions)

	2016 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2017 January Results	February Results	March Results	Total
OPENING BALANCE	60	(257)	(214)	323	(25)	(477)	404	130	(567)	626	487	(82)	60
RECEIPTS:													
Miscellaneous Receipts	13	44	9	14	17	11	17	15	9	12	16	22	199
Federal Receipts	3,135	4,040	4,981	3,492	3,955	5,019	3,394	4,007	5,613	3,934	2,959	8,197	52,726
TOTAL RECEIPTS	3,148	4,084	4,990	3,506	3,972	5,030	3,411	4,022	5,622	3,946	2,975	8,219	52,925
DISBURSEMENTS:													
School Aid	201	183	228	126	106	86	275	130	155	148	694	533	2,865
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	67	100	69	41	85	41	73	40	25	41	50	48	680
STAR	0	0	0	0	0	0	0	0	0	0	0	0	0
Medicaid - DOH	2,500	2,850	3,200	2,907	3,258	2,166	2,609	3,632	3,072	3,183	1,960	5,968	37,305
Public Health	138	142	121	126	126	333	114	106	238	119	113	226	1,902
Mental Hygiene	26	10	11	15	10	17	16	11	9	13	14	11	163
Children and Families	60	102	53	101	168	238	73	15	33	5	8	6	862
Temporary & Disability Assistance	174	164	261	156	160	717	185	233	344	168	247	310	3,119
Transportation	5	3	6	2	5	2	2	2	5	4	2	11	49
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	89	152	(9)	129	201	121	122	158	178	42	128	108	1,419
Total Local Assistance	3,260	3,706	3,940	3,603	4,119	3,721	3,469	4,327	4,059	3,723	3,216	7,221	48,364
Personal Service	49	44	72	41	59	47	45	70	49	44	49	62	631
Non-Personal Service	45	94	117	79	152	179	102	111	84	139	168	101	1,371
Total State Operations	94	138	189	120	211	226	147	181	133	183	217	163	2,002
General State Charges	11	35	14	0	34	37	4	30	40	24	20	44	293
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL DISBURSEMENTS	3,365	3,879	4,143	3,723	4,364	3,984	3,620	4,538	4,232	3,930	3,453	7,428	50,659
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	0	0	0	0	0	0	0	0	0	0	0	0	0
Transfers to Other Funds	(100)	(162)	(310)	(131)	(60)	(165)	(65)	(181)	(197)	(155)	(91)	(169)	(1,786)
NET OTHER FINANCING SOURCES/(USES)	(100)	(162)	(310)	(131)	(60)	(165)	(65)	(181)	(197)	(155)	(91)	(169)	(1,786)
Excess/(Deficiency) of Receipts over Disbursements	(317)	43	537	(348)	(452)	881	(274)	(697)	1,193	(139)	(569)	622	480
CLOSING BALANCE	(257)	(214)	323	(25)	(477)	404	130	(567)	626	487	(82)	540	540

**CASHFLOW
DEBT SERVICE FUNDS
FY 2017**
(dollars in millions)

	2016 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2017 January Results	February Results	March Results	Total
OPENING BALANCE	160	325	418	366	808	1,108	375	573	876	719	2,483	2,444	160
RECEIPTS:													
Personal Income Tax	1,596	546	1,181	641	735	1,176	633	523	1,188	1,899	873	900	11,891
Consumption/Use Taxes	498	475	655	508	485	653	500	498	633	535	437	606	6,483
Other Taxes	90	75	91	102	90	89	84	83	77	83	81	62	1,007
Total Taxes	2,184	1,096	1,927	1,251	1,310	1,918	1,217	1,104	1,898	2,517	1,391	1,568	19,381
Miscellaneous Receipts	11	25	(38)	138	52	42	34	28	24	82	35	25	458
Federal Receipts	0	0	0	2	35	0	0	0	(1)	2	35	0	73
TOTAL RECEIPTS	2,195	1,121	1,889	1,391	1,397	1,960	1,251	1,132	1,921	2,601	1,461	1,593	19,912
DISBURSEMENTS:													
State Operations	1	2	1	15	3	3	0	2	1	1	1	8	38
Debt Service	113	163	90	25	282	790	33	74	364	26	660	2,894	5,514
TOTAL DISBURSEMENTS	114	165	91	40	285	793	33	76	365	27	661	2,902	5,552
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	358	139	192	301	51	331	187	144	195	405	158	1,148	3,609
Transfers to Other Funds	(2,274)	(1,002)	(2,042)	(1,210)	(863)	(2,231)	(1,207)	(897)	(1,908)	(1,215)	(997)	(2,139)	(17,985)
NET OTHER FINANCING SOURCES/(USES)	(1,916)	(863)	(1,850)	(909)	(812)	(1,900)	(1,020)	(753)	(1,713)	(810)	(839)	(991)	(14,376)
Excess/(Deficiency) of Receipts over Disbursements	165	93	(52)	442	300	(733)	198	303	(457)	1,764	(39)	(2,300)	(16)
CLOSING BALANCE	325	418	366	808	1,108	375	573	876	719	2,483	2,444	144	160

**CASHFLOW
CAPITAL PROJECTS FUNDS
FY 2017**
(dollars in millions)

	2016 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2017 January Results	February Results	March Results	Total
OPENING BALANCE	(891)	(858)	(848)	(652)	(627)	(727)	(879)	(791)	(952)	(981)	(959)	(1,073)	(891)
RECEIPTS:													
Consumption/Use Taxes	44	40	66	49	52	70	47	48	67	50	47	44	624
Business Taxes	49	43	54	67	52	56	55	52	59	54	47	52	640
Other Taxes	0	0	12	12	11	13	12	10	13	12	12	12	119
Total Taxes	93	83	132	128	115	139	114	110	139	116	106	108	1,383
Miscellaneous Receipts	85	199	662	89	86	656	323	77	127	221	81	2,031	4,637
Federal Receipts	126	156	228	153	230	362	165	203	381	139	135	330	2,608
TOTAL RECEIPTS	304	438	1,022	370	431	1,157	602	390	647	476	322	2,469	8,628
DISBURSEMENTS:													
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	0	0	0	8	6	0	0	0	0	0	0	0	14
Public Health	0	4	0	4	0	4	4	0	3	38	4	3	64
Mental Hygiene	4	8	7	6	8	8	8	7	7	12	8	20	99
School Aid	0	0	0	0	0	2	3	3	7	0	7	13	35
Temporary & Disability Assistance	0	16	0	0	0	16	0	0	0	15	0	7	54
Transportation	28	40	78	75	79	232	71	37	279	43	204	388	1,554
All Other Local	61	29	202	114	203	91	98	131	272	109	193	280	1,783
Total Local Assistance	93	97	287	207	292	353	184	178	568	217	416	711	3,603
Economic Development	6	7	7	14	8	13	10	9	12	6	3	7	102
Parks & the Environment	18	30	39	28	33	82	30	35	60	54	40	70	519
Transportation	175	263	365	268	331	425	340	442	305	275	296	314	3,799
Health & Social Welfare	3	2	(11)	3	3	0	21	11	7	(15)	11	31	66
Mental Hygiene	11	11	23	13	10	14	24	15	21	16	11	41	210
Public Protection	18	29	31	26	46	24	35	34	30	31	33	76	413
Education	22	66	81	70	71	81	77	66	73	67	59	69	802
All Other	60	78	112	48	59	51	50	33	31	31	32	58	643
Total Capital Projects	313	486	647	470	561	690	587	645	539	465	485	666	6,554
TOTAL DISBURSEMENTS	406	583	934	677	853	1,043	771	823	1,107	682	901	1,377	10,157
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	161	184	139	363	353	0	287	300	455	256	501	(248)	2,751
Transfers to Other Funds	(26)	(29)	(31)	(31)	(31)	(266)	(30)	(28)	(24)	(28)	(36)	(832)	(1,392)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES/(USES)	135	155	108	332	322	(266)	257	272	431	228	465	(1,080)	1,359
Excess/(Deficiency) of Receipts over Disbursements	33	10	196	25	(100)	(152)	88	(161)	(29)	22	(114)	12	(170)
CLOSING BALANCE	(858)	(848)	(652)	(627)	(727)	(879)	(791)	(952)	(981)	(959)	(1,073)	(1,061)	(1,061)

**CASHFLOW
CAPITAL PROJECTS STATE FUNDS
FY 2017
(dollars in millions)**

	2016 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2017 January Results	February Results	March Results	Total
OPENING BALANCE	(333)	(348)	(348)	(220)	(157)	(271)	(433)	(283)	(455)	(536)	(455)	(321)	(333)
RECEIPTS:													
Consumption/Use Taxes	44	40	66	49	52	70	47	48	67	50	47	44	624
Business Taxes	49	43	54	67	52	56	55	52	59	54	47	52	640
Other Taxes	0	0	12	12	11	13	12	10	13	12	12	12	119
Total Taxes	93	83	132	128	115	139	114	110	139	116	106	108	1,383
Miscellaneous Receipts	84	199	662	89	86	656	323	76	127	221	81	2,031	4,635
Federal Receipts	0	0	0	0	0	2	0	1	0	0	0	2	5
TOTAL RECEIPTS	177	282	794	217	201	797	437	187	266	337	187	2,141	6,023
DISBURSEMENTS:													
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	0	0	0	8	6	0	0	0	0	0	0	0	14
Public Health	0	0	0	4	0	0	4	0	0	2	4	(1)	17
Mental Hygiene	4	8	7	6	4	8	8	7	7	12	8	20	99
School Aid	0	0	0	0	0	0	3	3	7	0	7	13	35
Temporary & Disability Assistance	0	16	0	0	0	16	0	0	0	15	0	7	54
Transportation	6	3	51	11	14	117	10	6	221	6	121	344	910
All Other Local	61	29	202	114	203	89	98	131	130	109	183	265	1,624
Total Local Assistance	71	60	280	143	227	232	123	147	365	144	333	648	2,753
Economic Development	6	7	7	14	8	13	10	9	12	6	3	7	102
Parks & the Environment	17	29	39	28	33	28	30	35	60	51	39	69	458
Transportation	121	159	241	149	183	283	178	287	184	156	233	258	2,432
Health & Social Welfare	3	2	(11)	3	3	0	21	11	7	(16)	11	26	60
Mental Hygiene	11	11	23	13	10	14	24	15	21	16	11	41	210
Public Protection	17	26	28	23	43	22	32	30	26	30	29	72	378
Education	22	66	81	70	71	81	77	66	73	67	59	69	802
All Other	61	77	108	44	59	50	50	33	29	31	33	60	635
Total Capital Projects	258	377	516	344	410	491	422	486	412	341	418	602	5,077
TOTAL DISBURSEMENTS	329	437	776	487	637	723	545	633	777	485	751	1,250	7,830
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	162	184	138	362	353	30	287	300	455	258	734	(231)	3,032
Transfers to Other Funds	(25)	(29)	(28)	(29)	(31)	(266)	(29)	(26)	(25)	(29)	(36)	(830)	(1,383)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES/(USES)	137	155	110	333	322	(236)	258	274	430	229	698	(1,061)	1,649
Excess/(Deficiency) of Receipts over Disbursements	(15)	0	128	63	(114)	(162)	150	(172)	(81)	81	134	(170)	(158)
CLOSING BALANCE	(348)	(348)	(220)	(157)	(271)	(433)	(283)	(455)	(536)	(536)	(321)	(491)	(491)

**CASHFLOW
CAPITAL PROJECTS FEDERAL FUNDS
FY 2017
(dollars in millions)**

	2016 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2017 January Results	February Results	March Results	Total
OPENING BALANCE	(558)	(510)	(500)	(432)	(471)	(457)	(447)	(511)	(498)	(446)	(505)	(753)	(558)
RECEIPTS:													
Consumption/Use Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Business Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Other Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	1	0	0	0	0	0	0	1	0	0	0	0	2
Federal Receipts	126	156	228	153	230	360	165	202	381	139	135	328	2,603
TOTAL RECEIPTS	127	156	228	153	230	360	165	203	381	139	135	328	2,605
DISBURSEMENTS:													
Public Health	0	0	0	0	0	4	0	0	3	36	0	4	47
Transportation	22	37	27	64	65	115	61	31	58	37	83	44	644
All Other Local	0	0	0	0	0	2	0	0	142	0	0	15	159
Total Local Assistance	22	37	27	64	65	121	61	31	203	73	83	63	850
Economic Development	0	0	0	0	0	0	0	0	0	0	0	0	0
Parks & the Environment	1	1	0	0	0	54	0	0	0	3	1	1	61
Transportation	54	104	124	119	148	142	162	155	121	119	63	56	1,367
Health & Social Welfare	0	0	0	0	0	0	0	0	0	1	0	5	6
Mental Hygiene	0	0	0	0	0	0	0	0	0	0	0	0	0
Public Protection	1	3	3	3	3	2	3	4	4	1	4	4	35
Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	(1)	1	4	4	0	1	0	0	2	0	(1)	(3)	7
Total Capital Projects	55	109	131	126	151	199	165	159	127	124	67	63	1,476
TOTAL DISBURSEMENTS	77	146	158	190	216	320	226	190	330	197	150	126	2,326
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	(1)	0	1	0	0	(30)	0	0	0	(2)	(233)	(16)	(281)
Transfers to Other Funds	(1)	0	(3)	(2)	0	0	(3)	0	1	1	0	(2)	(9)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES/(USES)	(2)	0	(2)	(2)	0	(30)	(3)	0	1	(1)	(233)	(18)	(290)
Excess/(Deficiency) of Receipts over Disbursements	48	10	68	(39)	14	10	(64)	13	52	(59)	(248)	184	(11)
CLOSING BALANCE	(510)	(500)	(432)	(471)	(457)	(447)	(511)	(498)	(446)	(505)	(753)	(569)	(569)

**CASHFLOW
STATE FUNDS
FY 2017**
(dollars in millions)

	2016 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2017 January Results	February Results	March Results	Total	Intra-Fund Transfer Eliminations
OPENING BALANCE	12,308	14,997	12,803	12,329	12,995	13,120	13,469	13,649	11,521	12,789	16,747	17,720	12,308	
RECEIPTS:														
Personal Income Tax	6,384	2,185	4,724	2,562	2,940	4,704	2,533	2,094	4,752	7,595	3,493	3,599	47,565	0
Consumption/Use Taxes	1,274	1,183	1,632	1,299	1,241	1,620	1,266	1,261	1,571	1,351	1,089	1,425	16,212	0
Business Taxes	282	182	1,150	206	164	1,382	598	(17)	1,374	134	(226)	1,752	6,979	0
Other Taxes	8,220	3,827	7,788	4,340	4,679	8,001	4,707	3,621	8,051	9,419	4,652	7,067	3,616	0
Total Taxes	0	0	0	0	0	38	29	159	49	0	0	163	74,372	0
Abandoned Property	6	5	4	4	5	5	5	4	4	6	6	7	61	0
ABC License Fee	366	483	440	435	394	405	418	383	466	393	408	382	4,923	0
HCRA	2	2	2	1	1	1	1	2	1	1	3	7	24	0
Investment Income	22	70	54	28	62	33	52	53	65	69	28	87	644	0
Licenses, Fees, etc.	267	280	336	278	321	249	247	305	282	221	263	331	3,380	0
Medicaid	77	72	72	71	76	69	70	66	66	70	73	68	850	0
Motor Vehicle Fees	50	54	61	34	62	39	(18)	100	46	41	40	66	575	0
Reimbursements	6	16	8	8	7	49	4	28	28	3	21	40	246	0
State University Income	283	265	249	237	439	695	424	278	273	399	757	390	4,689	0
Extraordinary Settlements	0	127	0	0	180	0	0	275	235	19	425	(6)	1,255	0
Other Transactions	363	732	1,085	411	402	1,264	576	302	686	537	307	2,643	9,308	0
Total Miscellaneous Receipts	1,442	2,056	2,339	1,528	1,949	2,847	1,808	1,955	2,701	1,759	2,331	4,178	26,393	0
Federal Receipts	15	1	0	1	20	3	3	(2)	(2)	2	35	1	77	0
TOTAL RECEIPTS	9,677	5,884	10,127	5,869	6,648	10,851	6,518	5,574	10,250	11,180	7,018	11,246	100,842	0
DISBURSEMENTS:														
School Aid	754	2,900	2,203	48	645	3,773	1,059	1,568	1,933	729	776	7,998	24,386	0
Higher Education	19	25	218	124	124	166	42	42	151	51	107	966	2,874	0
All Other Education	52	118	514	154	246	108	114	195	80	33	212	419	2,207	0
STAR	1	0	416	0	0	1	19	107	107	2,243	0	244	3,139	0
Medicaid - DOH	1,335	1,765	1,870	1,729	1,766	1,623	1,455	2,011	1,653	1,315	980	741	18,243	0
Public Health	82	239	188	117	90	95	98	103	87	168	65	200	1,532	0
Mental Hygiene	94	48	446	113	53	455	120	42	526	103	203	355	2,558	0
Children and Families	27	34	271	194	69	88	65	97	315	120	179	256	1,715	0
Temporary & Disability Assistance	95	110	156	93	97	126	96	93	91	109	95	113	1,274	0
Transportation	198	484	575	319	504	546	386	628	1,103	212	419	513	5,887	0
Unrestricted Aid	0	11	389	9	0	98	8	1	181	0	0	63	760	0
All Other	92	86	336	171	298	165	166	221	193	74	344	401	2,547	0
Total Local Assistance	2,749	5,820	8,012	3,165	3,892	7,313	3,925	5,020	6,420	5,157	3,380	12,269	67,122	0
Personal Service	1,026	1,016	1,314	1,004	1,036	1,211	994	1,335	1,025	994	994	1,144	13,093	0
Non-Personal Service	318	429	486	365	515	477	503	495	447	425	474	653	5,587	0
Total State Operations	1,344	1,445	1,800	1,369	1,551	1,688	1,497	1,830	1,472	1,419	1,468	1,797	18,680	0
General State Charges	2,619	431	495	430	433	417	523	472	508	438	438	430	7,634	0
Debt Service	113	163	90	25	282	790	33	74	364	26	660	2,894	5,514	0
Capital Projects	258	377	516	345	410	492	421	487	413	341	418	601	5,079	0
TOTAL DISBURSEMENTS	7,083	8,236	10,913	5,334	6,568	10,700	6,399	7,883	9,177	7,381	6,364	17,991	104,029	0
OTHER FINANCING SOURCES (USES):														
Transfers from other funds	3,196	2,627	3,107	2,685	1,859	2,759	2,325	1,898	3,145	2,261	1,982	4,478	31,949	(373)
Transfers to other funds	(3,101)	(2,469)	(2,795)	(2,554)	(1,814)	(2,561)	(2,264)	(1,717)	(2,950)	(2,102)	(1,663)	(4,319)	(29,936)	373
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES/(USES)	95	158	312	131	45	198	61	181	195	159	319	159	2,013	0
Excess/(Deficiency) of Receipts over Disbursements	2,689	(2,194)	(474)	666	125	349	180	(2,128)	1,268	3,958	973	(6,586)	(1,174)	0
CLOSING BALANCE	14,997	12,803	12,329	12,995	13,120	13,469	13,649	11,521	12,789	16,747	17,720	11,134	11,134	0

**CASHFLOW
GENERAL FUND
FY 2018
(dollars in millions)**

	2017					2018					Total		
	April Results	May Results	June Results	July Results	August Results	September Results	October Projected	November Projected	December Projected	January Projected		February Projected	March Projected
OPENING BALANCE	7,749	7,405	3,140	3,014	3,774	3,883	6,523	6,404	4,389	8,699	10,746	10,480	7,749
RECEIPTS:													
Personal Income Tax	3,751	1,576	3,431	1,987	2,292	3,536	2,047	1,805	4,228	4,138	2,541	2,512	33,844
Consumption/Use Taxes	522	539	731	582	567	737	563	589	726	632	503	695	7,386
Business Taxes	421	114	103	66	86	214	82	87	1,470	15	(158)	1,732	5,598
Other Taxes	4,785	2,366	5,035	2,690	3,321	5,269	2,655	2,516	6,511	4,872	2,973	5,025	1,190
Total Taxes													
Abandoned Property	0	0	0	0	1	64	5	150	50	0	0	180	450
ABC License Fee	6	6	6	5	6	6	6	4	5	5	5	5	65
Investment Income	4	1	2	1	2	2	4	2	2	2	2	1	25
Licenses, Fees, etc.	27	73	36	51	36	77	63	55	65	70	35	77	665
Motor Vehicle Fees	36	29	31	(5)	34	7	18	19	14	15	16	18	232
Reimbursements	2	23	37	9	24	26	11	20	40	10	20	56	278
Extraordinary Settlements	0	350	0	0	0	231	0	0	0	0	0	27	608
Other Transactions	20	31	52	51	24	106	43	37	76	37	36	(94)	419
Total Miscellaneous Receipts	95	513	164	112	127	519	150	287	252	139	114	270	2,742
Federal Receipts	0	0	0	0	0	0	0	0	0	0	0	0	0
PIT in Excess of Revenue Bond Debt Service	1,249	509	1,162	455	356	1,400	684	402	1,448	699	682	1,612	10,658
Tax in Excess of LGAC	234	54	508	263	226	341	257	267	338	286	4	324	3,102
Sales Tax Bond Fund	179	186	280	205	210	310	193	203	274	222	170	329	2,761
Real Estate Taxes in Excess of CW/CA Debt Service	81	95	89	84	86	93	65	104	84	91	78	65	1,015
All Other	10	2	3	6	5	94	13	23	5	44	153	852	1,210
Total Transfers from Other Funds	1,753	846	2,042	1,013	883	2,238	1,212	999	2,149	1,342	1,087	3,182	18,746
TOTAL RECEIPTS	6,633	3,725	7,241	3,815	4,331	8,026	4,017	3,802	8,912	6,353	4,174	8,477	69,506
DISBURSEMENTS:													
School Aid	868	3,358	1,878	38	570	1,753	801	1,513	1,987	548	688	8,294	22,296
Higher Education	19	37	954	221	128	181	93	56	201	75	361	506	2,832
All Other Education	95	484	75	158	56	49	61	377	160	40	204	489	2,248
Medicaid - DOH	1,376	1,408	1,370	1,165	1,248	1,150	1,220	1,204	837	992	867	521	13,358
Public Health	68	177	50	63	44	36	35	39	61	33	39	61	706
Mental Hygiene	2	3	184	(1)	2	216	0	8	226	5	65	180	890
Children and Families	36	101	194	71	23	134	70	138	307	123	164	291	1,652
Temporary & Disability Assistance	95	112	143	92	93	67	63	117	123	117	129	158	1,309
Transportation	0	25	14	0	25	0	0	25	12	0	13	0	114
Unrestricted Aid	0	11	388	2	0	102	7	2	182	1	1	66	762
All Other	11	16	90	30	74	31	17	80	78	76	85	(3)	585
Total Local Assistance	2,570	5,732	5,340	1,839	2,263	3,719	2,367	3,559	4,174	2,010	2,616	10,563	46,752
Personal Service	485	642	475	466	565	477	498	587	422	423	421	520	5,981
Non-Personal Service	91	226	185	142	212	179	181	201	196	195	192	232	2,232
Total State Operations	576	868	660	608	777	656	679	788	618	618	613	752	8,213
General State Charges	2,398	292	409	347	41	514	397	104	264	475	181	291	5,713
Debt Service	274	(1)	2	148	14	(87)	360	0	(2)	300	(20)	(72)	916
Capital Projects	310	268	438	(602)	495	437	(270)	541	(651)	633	370	223	2,192
State Share Medicaid	100	138	98	64	151	95	63	121	121	121	121	108	1,301
SUNY Operations	218	218	218	181	0	0	0	181	6	0	0	0	1,022
Other Purposes	531	475	202	470	481	52	540	523	72	149	559	210	4,264
Total Transfers to Other Funds	1,433	1,098	958	261	1,141	497	693	1,366	(454)	1,203	1,030	469	9,695
TOTAL DISBURSEMENTS	6,977	7,990	7,367	3,055	4,222	5,386	4,136	5,817	4,602	4,306	4,440	12,075	70,373
Excess/(Deficiency) of Receipts over Disbursements	(344)	(4,265)	(126)	760	109	2,640	(119)	(2,015)	4,310	2,047	(266)	(3,598)	(867)
CLOSING BALANCE	7,405	3,140	3,014	3,774	3,883	6,523	6,404	4,389	8,699	10,746	10,480	6,882	6,882

**CASHFLOW
STATE OPERATING FUNDS
FY 2018
(dollars in millions)**

	2017 April Results	May Results	June Results	July Results	August Results	September Results	October Projected	November Projected	December Projected	2018 January Projected	February Projected	March Projected	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	11,625	12,636	8,247	8,315	10,096	10,520	10,984	11,689	9,468	12,608	16,905	17,233		11,625
RECEIPTS:														
Personal Income Tax	5,001	2,102	4,652	2,649	3,056	4,715	2,736	2,416	5,792	8,763	3,388	3,362	0	48,632
Consumption/Use Taxes	1,178	1,184	1,590	1,280	1,251	1,977	1,250	1,279	1,586	1,379	1,105	1,488	0	16,167
Business Taxes	556	198	977	1,300	474	995	20	82	1,758	64	(108)	2,105	0	7,251
Other Taxes	306	316	298	249	289	413	270	302	289	380	318	275	0	3,705
Total Taxes	7,041	3,800	7,517	4,308	5,070	7,720	4,276	4,079	9,425	10,586	4,703	7,230	0	75,755
Abandoned Property	0	0	0	0	1	64	5	150	50	5	0	180	0	450
ABC License Fee	6	6	6	5	6	6	6	4	5	5	5	5	0	65
HCRA	397	395	398	473	440	407	436	400	405	399	657	118	0	4,925
Investment Income	4	1	2	2	36	2	4	55	65	2	2	1	0	25
Licenses, Fees, etc.	27	73	36	51	77	63	77	35	70	35	35	77	0	665
Lottery	263	308	288	259	362	260	256	305	250	311	271	274	0	3,407
Medicaid	71	68	68	75	70	78	63	63	63	78	63	62	0	832
Motor Vehicle Fees	71	66	69	30	71	43	53	50	47	48	48	52	0	648
Reimbursements	2	23	37	9	24	26	11	20	40	10	20	56	0	278
State University Income	290	248	301	245	364	753	325	285	264	488	660	363	0	4,586
Extraordinary Settlements	0	350	0	0	0	231	0	0	0	0	0	27	0	608
Other Transactions	257	1,206	477	297	207	640	266	231	368	242	306	179	0	3,676
Total Miscellaneous Receipts	1,388	1,744	1,682	1,445	1,583	2,582	1,503	1,565	1,559	1,653	2,067	1,394	0	20,165
Federal Receipts	0	0	0	2	35	0	2	0	0	0	2	33	0	74
TOTAL RECEIPTS	8,429	5,544	9,199	5,755	6,688	10,302	5,781	5,644	10,984	12,239	6,772	8,657	0	95,994
DISBURSEMENTS:														
School Aid	868	3,358	2,205	38	570	3,942	946	1,658	2,132	693	833	8,495	0	25,738
Higher Education	19	37	954	221	128	181	93	56	201	75	361	506	0	2,832
All Other Education	95	484	76	160	58	50	61	378	161	41	205	492	0	2,261
STAR	0	0	58	0	0	0	1	11	116	2,435	0	9	0	2,630
Medicaid - DOH	1,765	1,939	1,717	1,486	1,915	1,669	1,611	1,828	1,293	1,485	1,366	908	0	18,982
Public Health	111	223	142	255	89	74	84	116	116	97	73	145	0	1,525
Mental Hygiene	39	124	448	106	41	417	48	98	470	112	194	429	0	2,486
Children and Families	36	102	194	71	23	134	70	138	307	123	164	294	0	1,656
Temporary & Disability Assistance	95	112	143	92	93	67	63	117	123	117	129	158	0	1,309
Transportation	245	504	434	372	496	413	362	611	892	198	245	249	0	5,021
Unrestricted Aid	0	11	388	2	0	102	7	2	182	1	1	66	0	762
All Other	42	41	110	60	114	50	66	85	98	84	94	7	0	851
Total Local Assistance	3,315	6,935	6,869	2,863	3,527	7,099	3,412	5,098	6,091	5,461	3,625	11,758	0	66,053
Personal Service	1,050	1,371	1,013	998	1,181	998	1,064	1,259	1,062	974	967	1,100	0	13,037
Non-Personal Service	322	500	526	364	526	460	505	502	519	477	501	546	0	5,748
Total State Operations	1,372	1,871	1,539	1,362	1,707	1,458	1,569	1,761	1,581	1,451	1,468	1,646	0	18,785
General State Charges	2,452	739	467	393	429	542	534	509	416	545	537	415	0	7,978
Debt Service	87	148	186	29	348	757	26	83	530	21	712	2,361	0	5,288
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL DISBURSEMENTS	7,226	9,693	9,061	4,647	6,011	9,856	5,541	7,451	8,618	7,478	6,342	16,180	0	98,104
OTHER FINANCING SOURCES (USES):														
Transfers from other funds	3,169	1,936	3,151	2,091	1,945	2,800	2,478	2,045	2,585	2,190	2,050	4,335	(490)	30,285
Transfers to other funds	(3,361)	(2,176)	(3,221)	(1,418)	(2,198)	(2,782)	(2,013)	(2,459)	(1,811)	(2,654)	(2,152)	(3,790)	490	(29,545)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES/(USES)	(192)	(240)	(70)	673	(253)	18	465	(414)	774	(464)	(102)	545	0	740
Excess/(Deficiency) of Receipts over Disbursements	1,011	(4,389)	68	1,781	424	464	705	(2,221)	3,140	4,297	328	(6,978)	0	(1,370)
CLOSING BALANCE	12,636	8,247	8,315	10,096	10,520	10,984	11,689	9,468	12,608	16,905	17,233	10,255	0	10,255

**CASHFLOW
ALL GOVERNMENTAL FUNDS
FY 2018**
(dollars in millions)

	2017 April Results	May Results	June Results	July Results	August Results	September Results	October Projected	November Projected	December Projected	2018 January Projected	February Projected	March Projected	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	11,105	11,516	6,484	7,680	9,317	9,495	9,851	9,969	7,200	11,185	15,184	15,056		11,105
RECEIPTS:														
Personal Income Tax	5,001	2,102	4,652	2,649	3,056	4,715	2,736	2,416	5,792	8,763	3,388	3,362	0	48,632
Consumption/Use Taxes	1,230	1,188	1,643	1,335	1,306	1,652	1,307	1,332	1,641	1,430	1,155	1,534	0	16,753
Business Taxes	603	244	1,032	185	524	1,053	68	134	1,817	115	(54)	2,158	0	7,879
Other Taxes	306	310	310	261	301	425	282	314	301	392	330	286	0	3,824
Total Taxes	7,140	3,850	7,637	4,430	5,187	7,845	4,393	4,136	9,551	10,700	4,819	7,340	0	77,088
Abandoned Property	0	0	0	0	1	64	5	150	50	0	0	180	0	450
ABC License Fee	6	6	6	5	6	6	6	4	5	5	5	5	0	65
HCRA	397	395	398	473	440	407	436	400	405	399	657	118	0	4,935
Investment Income	4	1	2	1	2	2	2	2	2	2	2	1	0	25
Licenses, Fees, etc.	27	73	36	51	36	77	63	55	65	70	35	77	0	665
Lottery	263	308	288	259	362	260	256	305	250	311	271	274	0	3,407
Medical	71	68	75	73	70	73	78	63	63	78	63	63	0	832
Motor Vehicle Fees	71	66	69	30	71	43	53	50	47	48	48	52	0	648
Reimbursements	2	23	37	9	24	26	11	20	40	10	20	56	0	278
State University Income	290	248	301	245	364	753	325	285	264	488	660	363	0	4,586
Extraordinary Settlements	0	350	0	0	0	231	0	0	0	0	0	27	0	608
Other Transactions	363	348	680	1,522	388	796	1,238	337	2,311	352	713	2,199	0	11,247
Total Miscellaneous Receipts	1,494	1,885	1,885	2,670	1,764	2,738	2,475	1,671	3,502	1,763	2,474	3,414	0	27,736
Federal Receipts	3,473	4,696	5,680	3,774	5,262	5,150	4,217	4,422	5,131	4,672	4,185	6,686	0	57,348
TOTAL RECEIPTS	12,107	10,432	15,202	10,874	12,213	15,733	11,085	10,289	18,184	17,135	11,478	17,440	0	162,172
DISBURSEMENTS:														
School Aid	1,081	3,564	2,523	239	723	4,051	1,079	1,962	2,432	993	1,133	9,057	0	28,837
Higher Education	19	37	954	221	128	181	93	56	201	75	361	506	0	2,832
All Other Education	146	643	235	229	142	93	128	406	189	2,435	233	531	0	3,045
STAR	0	0	58	0	0	0	1	11	116	0	0	9	0	2,630
Medicaid - DOH	4,786	5,844	4,698	4,462	6,008	4,698	4,729	5,693	4,217	5,038	4,342	4,290	0	58,805
Public Health	171	408	322	433	192	379	223	(356)	4,290	304	277	590	0	3,778
Mental Hygiene	58	155	469	122	56	441	82	121	490	135	176	494	0	2,799
Children and Families	127	291	303	102	166	181	162	166	335	151	192	318	0	2,494
Temporary & Disability Assistance	256	236	378	242	195	300	653	407	463	424	477	785	0	4,816
Transportation	285	559	522	402	632	512	435	735	1,116	242	300	1,465	0	7,205
Unrestricted Aid	0	11	388	2	0	102	7	2	182	1	1	66	0	762
All Other	376	239	287	322	477	359	311	386	349	218	274	(101)	0	3,497
Total Local Assistance	7,305	11,987	11,137	6,776	8,719	11,753	7,903	9,589	10,469	10,086	7,766	18,010	0	121,500
Personal Service	1,100	1,450	1,065	1,045	1,228	1,047	1,112	1,321	1,110	1,019	1,012	1,145	0	13,654
Non-Personal Service	369	619	612	427	659	647	643	637	637	589	624	683	0	7,127
Total State Operations	1,469	2,069	1,677	1,472	1,887	1,694	1,755	1,939	1,747	1,608	1,636	1,828	0	20,781
General State Charges	2,459	785	478	404	487	562	558	517	469	561	548	467	0	8,295
Debt Service	87	148	186	29	348	757	26	83	530	21	712	2,361	0	5,288
Capital Projects	350	472	525	556	577	596	718	911	972	855	936	721	0	8,189
TOTAL DISBURSEMENTS	11,670	15,461	14,003	9,237	12,018	15,362	10,960	13,039	14,187	13,131	11,598	23,387	0	164,053
OTHER FINANCING SOURCES (USES):														
Transfers from other funds	3,485	2,313	3,628	1,507	2,457	3,239	2,212	2,586	1,937	2,823	2,420	4,782	(490)	32,899
Transfers to other funds	(3,511)	(2,316)	(3,631)	(1,507)	(2,474)	(3,254)	(2,219)	(2,605)	(1,949)	(2,828)	(2,428)	(4,775)	490	(33,007)
Bond and note proceeds	0	0	0	0	0	0	(7)	0	0	0	0	788	0	788
NET OTHER FINANCING SOURCES (USES)	(26)	(3)	(3)	0	(17)	(15)	(7)	(19)	(12)	(5)	(8)	795	0	680
Excess/(Deficiency) of Receipts over Disbursements	411	(5,032)	1,196	1,637	178	356	118	(2,769)	3,985	3,999	(128)	(5,152)	0	(1,201)
CLOSING BALANCE	11,516	6,484	7,680	9,317	9,495	9,851	9,969	7,200	11,185	15,184	15,056	9,904	0	9,904

CASHFLOW
SPECIAL REVENUE FUNDS
FY 2018
(dollars in millions)

	2017 April Results	May Results	June Results	July Results	August Results	September Results	October Projected	November Projected	December Projected	2018 January Projected	February Projected	March Projected	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	4,272	4,732	3,896	5,154	5,592	5,523	4,213	4,014	3,288	3,218	3,363	3,814		4,272
RECEIPTS:														
Personal Income Tax	0	0	58	0	0	0	5	7	116	2,434	0	10	0	2,630
Consumption/Use Taxes	183	157	183	171	169	179	173	156	183	175	131	137	0	1,987
Business Taxes	135	61	207	75	98	213	57	47	288	49	50	373	0	1,653
Other Taxes	120	107	106	99	105	100	118	106	113	196	147	121	0	1,438
Total Taxes	438	325	554	345	372	492	353	316	700	2,854	328	641	0	7,718
HCRA	397	395	398	473	440	407	436	400	405	399	657	118	0	4,925
State University Income	290	248	301	245	364	753	325	285	264	488	660	363	0	4,586
Lottery	263	308	288	259	362	260	256	305	250	311	271	274	0	3,407
Medical	71	68	68	73	70	73	78	63	63	78	63	62	0	832
Motor Vehicle Fees	35	37	38	35	37	36	31	33	33	33	32	34	0	416
Other Transactions	200	181	381	218	238	448	196	182	280	184	249	200	0	2,957
Total Miscellaneous Receipts	1,256	1,237	1,474	1,305	1,511	1,977	1,326	1,266	1,295	1,493	1,932	1,051	0	17,123
Federal Receipts	3,337	4,583	5,398	3,633	5,067	5,002	4,087	4,152	4,928	4,469	3,990	6,359	0	55,005
TOTAL RECEIPTS	5,031	6,145	7,426	5,283	6,950	7,471	5,766	5,734	6,923	8,816	6,250	8,051	0	79,846
DISBURSEMENTS:														
School Aid	213	206	623	195	149	2,292	258	439	435	435	435	486	0	6,166
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	51	159	160	54	86	44	67	29	29	29	29	28	0	765
STAR	0	0	58	0	0	0	1	11	116	2,435	0	9	0	2,630
Medical - DOH	3,410	4,436	3,328	3,297	4,760	3,548	3,509	4,489	3,380	4,046	3,475	3,769	0	45,447
Public Health	103	222	270	360	142	790	171	(408)	265	241	211	377	0	2,744
Mental Hygiene	50	136	273	116	45	213	71	104	258	121	102	270	0	1,759
Children and Families	91	190	109	31	143	47	92	28	28	28	28	27	0	842
Temporary & Disability Assistance	161	124	235	150	86	233	590	290	340	283	348	604	0	3,444
Transportation	251	481	426	374	473	415	364	588	882	200	234	253	0	4,941
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	153	93	22	136	150	84	164	126	132	14	80	25	0	1,179
Total Local Assistance	4,483	6,047	5,504	4,713	6,034	7,666	5,287	5,696	5,865	7,832	4,942	5,848	0	69,917
Personal Service	615	808	590	579	663	570	614	734	688	596	591	625	0	7,673
Non-Personal Service	277	391	423	277	446	464	462	415	439	393	430	441	0	4,858
Total State Operations	892	1,199	1,013	856	1,109	1,034	1,076	1,149	1,127	989	1,021	1,066	0	12,531
General State Charges	61	493	69	57	446	48	161	413	205	86	367	176	0	2,582
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL DISBURSEMENTS	5,436	7,739	6,586	5,626	7,589	8,748	6,524	7,258	7,197	8,907	6,330	7,090	0	85,030
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	1,026	977	834	856	856	234	753	920	315	422	785	368	(490)	7,856
Transfers to Other Funds	(161)	(219)	(416)	(75)	(286)	(267)	(194)	(122)	(111)	(186)	(254)	(1,195)	490	(2,996)
NET OTHER FINANCING SOURCES/(USES)	865	758	418	781	570	(33)	559	798	204	236	531	(827)	0	4,860
Excess/(Deficiency) of Receipts over Disbursements	460	(836)	1,258	438	(69)	(1,310)	(199)	(726)	(70)	145	451	134	0	(324)
CLOSING BALANCE	4,732	3,896	5,154	5,592	5,523	4,213	4,014	3,288	3,218	3,363	3,814	3,948	0	3,948

**CASHFLOW
SPECIAL REVENUE STATE FUNDS
FY 2018
(dollars in millions)**

	2017 April Results	May Results	June Results	July Results	August Results	September Results	October Projected	November Projected	December Projected	2018 January Projected	February Projected	March Projected	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	3,732	4,805	4,536	4,883	5,542	5,634	4,065	4,410	3,968	3,216	3,576	4,314		3,732
RECEIPTS:														
Personal Income Tax	0	0	58	0	0	0	5	7	116	2,434	0	10	0	2,630
Consumption/Use Taxes	183	157	183	171	169	179	173	156	183	173	131	137	0	1,997
Business Taxes	135	61	207	75	98	213	57	47	288	49	50	373	0	1,653
Other Taxes	120	107	106	99	105	100	118	106	113	196	147	121	0	1,438
Total Taxes	438	325	554	345	372	492	353	316	700	2,854	328	641	0	7,718
HCRA	397	395	398	473	440	407	436	400	405	399	657	118	0	4,925
State University Income	290	248	301	245	364	753	325	285	264	488	660	363	0	4,586
Lottery	268	308	288	259	362	260	256	305	250	311	271	274	0	3,407
Medicaid	71	68	68	35	70	78	78	63	63	78	63	92	0	832
Motor Vehicle Fees	15	37	38	35	37	46	33	33	35	34	32	34	0	416
Other Transactions	187	133	369	205	213	430	134	151	255	168	232	245	0	2,796
Total Miscellaneous Receipts	1,243	1,189	1,462	1,292	1,486	1,968	1,324	1,241	1,270	1,477	1,916	1,096	0	16,964
Federal Receipts	0	0	0	0	0	0	0	0	0	0	0	1	0	1
TOTAL RECEIPTS	1,681	1,514	2,016	1,637	1,858	2,460	1,677	1,557	1,970	4,331	2,244	1,738	0	24,683
DISBURSEMENTS:														
School Aid	0	0	327	0	0	2,189	145	145	145	145	145	201	0	3,442
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	0	0	1	2	2	1	0	1	1	1	1	3	0	13
STAR	0	0	58	0	0	0	1	11	116	2,435	0	9	0	2,630
Medicaid - DOH	389	531	347	321	667	519	391	624	456	493	499	387	0	5,624
Public Health	43	46	92	192	45	38	49	77	55	64	34	84	0	819
Mental Hygiene	37	121	264	107	39	201	48	90	244	107	89	249	0	1,596
Children and Families	0	1	0	0	0	0	0	0	0	0	0	3	0	4
Temporary & Disability Assistance	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Transportation	245	479	420	372	471	413	362	586	880	198	232	249	0	4,907
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	31	25	20	30	40	19	49	5	20	8	9	0	0	266
Total Local Assistance	745	1,203	1,529	1,024	1,264	3,380	1,045	1,539	1,917	3,451	1,009	1,195	0	19,301
Personal Service	565	729	538	532	616	521	566	672	640	551	546	580	0	7,056
Non-Personal Service	230	272	337	214	313	277	324	299	321	281	307	304	0	3,479
Total State Operations	795	1,001	875	746	929	798	890	971	961	832	853	884	0	10,535
General State Charges	54	447	58	46	388	28	137	405	152	70	356	124	0	2,265
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL DISBURSEMENTS	1,594	2,651	2,462	1,816	2,581	4,206	2,072	2,915	3,030	4,353	2,218	2,203	0	32,101
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	1,026	977	834	856	856	234	753	920	312	422	785	359	(490)	7,844
Transfers to Other Funds	(40)	(109)	(41)	(18)	(41)	(57)	(13)	(4)	(4)	(40)	(73)	(962)	490	(932)
NET OTHER FINANCING SOURCES/(USES)	986	868	793	838	815	177	740	916	308	382	712	(623)	0	6,912
Excess/(Deficiency) of Receipts over Disbursements	1,073	(269)	347	659	92	(1,569)	345	(442)	(752)	360	738	(1,088)	0	(506)
CLOSING BALANCE	4,805	4,536	4,883	5,542	5,634	4,065	4,410	3,968	3,216	3,576	4,314	3,226	0	3,226

CASHFLOW
SPECIAL REVENUE FEDERAL FUNDS
FY 2018
(dollars in millions)

	2017	April	May	June	July	August	September	October	November	December	2018	January	February	March	Total
	Results	Results	Results	Results	Results	Results	Results	Projected	Projected	Projected	Projected	Projected	Projected	Projected	
OPENING BALANCE	540	(73)	(640)	271	50	(111)	148	(396)	2	(213)	540				
RECEIPTS:															
Miscellaneous Receipts	13	48	12	13	25	9	2	25	25	25	16	16	16	(45)	159
Federal Receipts	3,337	4,583	5,398	3,633	5,067	5,002	4,087	4,152	4,928	4,928	4,469	3,990	3,990	6,358	55,004
TOTAL RECEIPTS	3,350	4,631	5,410	3,646	5,092	5,011	4,089	4,177	4,953	4,953	4,485	4,006	4,006	6,313	55,163
DISBURSEMENTS:															
School Aid	213	206	296	195	149	103	113	294	290	290	290	290	290	284	2,723
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	51	159	159	52	84	43	67	28	28	28	28	28	28	25	752
STAR	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Medicaid - DOH	3,021	3,905	2,981	2,976	4,093	3,029	3,118	3,865	2,924	2,924	3,553	2,976	2,976	3,382	39,823
Public Health	60	176	178	168	97	752	122	(485)	210	210	177	177	177	294	1,926
Mental Hygiene	13	15	9	12	6	12	23	14	14	14	14	13	13	21	163
Children and Families	91	189	109	31	143	47	92	28	28	28	28	28	28	24	838
Temporary & Disability Assistance	161	124	235	150	86	233	590	290	340	340	283	348	348	604	3,444
Transportation	6	2	6	2	2	2	2	2	2	2	2	2	2	4	34
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	122	68	2	106	110	65	115	121	112	112	6	71	71	15	913
Total Local Assistance	3,738	4,844	3,975	3,689	4,770	4,286	4,242	4,157	3,948	3,948	4,381	3,933	3,933	4,653	50,616
Personal Service	50	79	52	49	47	49	48	62	48	48	45	45	45	45	617
Non-Personal Service	47	119	86	63	133	187	138	116	118	118	112	123	123	137	1,379
Total State Operations	97	198	138	110	180	236	186	178	166	166	157	168	168	182	1,996
General State Charges	7	46	11	11	58	20	24	8	53	53	16	11	11	52	317
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL DISBURSEMENTS	3,842	5,088	4,124	3,810	5,008	4,542	4,452	4,343	4,167	4,167	4,554	4,112	4,112	4,887	52,929
OTHER FINANCING SOURCES (USES):															
Transfers from Other Funds	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Transfers to Other Funds	(121)	(110)	(375)	(57)	(245)	(210)	(181)	(118)	(107)	(107)	(146)	(181)	(181)	(213)	(2,064)
NET OTHER FINANCING SOURCES/(USES)	(121)	(110)	(375)	(57)	(245)	(210)	(181)	(118)	(104)	(104)	(146)	(181)	(181)	(204)	(2,064)
Excess/(Deficiency) of Receipts over Disbursements	(613)	(567)	911	(221)	(161)	259	(544)	(284)	(680)	(680)	(215)	(287)	(287)	1,222	182
CLOSING BALANCE	(73)	(640)	271	50	(111)	148	(396)	(680)	2	(213)	722	(500)	(500)	722	

**CASHFLOW
DEBT SERVICE FUNDS
FY 2018**
(dollars in millions)

	2017	April	May	June	July	August	September	October	November	December	2018	January	February	March	Total
	Results	Results	Results	Results	Results	Results	Results	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected
OPENING BALANCE	144		426	571	418	780	1,003	396	875	1,111	693	2,583	2,439		144
RECEIPTS:															
Personal Income Tax	1,250		526	1,163	662	764	1,179	684	604	1,448	2,191	847	840		12,158
Consumption/Use Taxes	473		488	676	527	515	681	514	534	677	572	471	656		6,784
Other Taxes	95		95	89	84	98	99	70	109	89	97	84	68		1,077
Total Taxes	1,818		1,109	1,928	1,273	1,377	1,959	1,268	1,247	2,214	2,860	1,402	1,564		20,019
Miscellaneous Receipts	50		42	56	41	(30)	95	29	37	37	37	37	28		459
Federal Receipts	0		0	0	2	35	0	2	0	0	0	2	32		73
TOTAL RECEIPTS	1,868		1,151	1,984	1,316	1,382	2,054	1,299	1,284	2,251	2,897	1,441	1,624		20,551
DISBURSEMENTS:															
State Operations	1		2	4	8	1	4	0	2	2	1	2	10		37
Debt Service	87		148	186	29	348	757	26	83	530	21	712	2,361		5,288
TOTAL DISBURSEMENTS	88		150	190	37	349	761	26	85	532	22	714	2,371		5,325
OTHER FINANCING SOURCES (USES):															
Transfers from Other Funds	390		113	275	222	206	328	513	126	124	426	178	794		3,695
Transfers to Other Funds	(1,888)		(969)	(2,222)	(1,139)	(1,016)	(2,228)	(1,307)	(1,089)	(2,261)	(1,411)	(1,049)	(2,339)		(18,918)
NET OTHER FINANCING SOURCES/(USES)	(1,498)		(856)	(1,947)	(917)	(810)	(1,900)	(794)	(963)	(2,137)	(985)	(871)	(1,545)		(15,223)
Excess/(Deficiency) of Receipts over Disbursements	282		145	(153)	362	223	(607)	479	236	(418)	1,890	(144)	(2,292)		3
CLOSING BALANCE	426		571	418	780	1,003	396	875	1,111	693	2,583	2,439	147		147

**CASHFLOW
CAPITAL PROJECTS FUNDS
FY 2018**
(dollars in millions)

	2017 April Results	May Results	June Results	July Results	August Results	September Results	October Projected	November Projected	December Projected	2018 January Projected	February Projected	March Projected	Total
OPENING BALANCE	(1,060)	(1,047)	(1,123)	(906)	(829)	(914)	(1,281)	(1,324)	(1,588)	(1,425)	(1,508)	(1,677)	(1,060)
RECEIPTS:													
Consumption/Use Taxes	52	4	53	55	55	55	57	53	55	51	50	46	586
Business Taxes	47	46	55	55	50	58	48	52	59	51	54	53	628
Other Taxes	0	0	12	12	12	12	12	12	12	12	12	11	119
Total Taxes	99	50	120	122	117	125	117	117	126	114	116	110	1,333
Miscellaneous Receipts	93	94	191	1,212	156	147	970	81	1,918	94	391	2,065	7,412
Federal Receipts	136	113	282	139	160	148	128	270	203	203	193	295	2,270
TOTAL RECEIPTS	328	257	593	1,473	433	420	1,215	468	2,247	411	700	2,470	11,015
DISBURSEMENTS:													
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	0	0	0	17	0	0	0	0	0	0	0	14	32
Public Health	0	9	2	10	6	9	17	13	53	30	27	152	328
Mental Hygiene	6	16	7	12	9	12	11	9	6	9	9	44	150
School Aid	0	0	22	6	4	6	20	10	10	10	10	277	375
Temporary & Disability Assistance	0	0	0	0	16	0	0	0	0	24	0	23	63
Transportation	34	53	82	28	134	97	71	122	222	42	53	1,212	2,150
All Other Local	212	130	175	156	253	244	130	180	139	128	109	(123)	1,733
Total Local Assistance	252	208	293	224	422	368	249	334	430	244	208	1,599	4,831
Economic Development	1	4	11	5	17	14	6	99	106	115	113	158	649
Parks & the Environment	20	34	48	34	42	35	46	143	130	129	131	48	840
Transportation	216	262	266	354	297	362	445	354	363	302	395	473	4,089
Health & Social Welfare	2	0	5	4	7	(11)	9	35	20	28	20	61	180
Mental Hygiene	17	18	34	13	25	24	18	23	17	15	22	32	258
Public Protection	19	36	51	43	31	46	40	40	86	45	46	107	585
Education	49	81	70	75	93	78	108	66	105	73	65	110	973
All Other	26	37	40	28	65	48	51	151	145	148	144	(268)	615
Total Capital Projects	350	472	525	556	577	596	718	911	972	855	936	721	8,189
TOTAL DISBURSEMENTS	602	680	818	780	999	964	967	1,245	1,402	1,099	1,144	2,320	13,020
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	316	377	477	(584)	512	439	(266)	541	(651)	633	370	438	2,602
Transfers to Other Funds	(29)	(30)	(35)	(31)	(31)	(262)	(25)	(28)	(31)	(28)	(95)	(772)	(1,398)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	788	788
NET OTHER FINANCING SOURCES/(USES)	287	347	442	(616)	481	177	(291)	513	(682)	605	275	454	1,992
Excess/(Deficiency) of Receipts over Disbursements	13	(76)	217	77	(85)	(367)	(43)	(264)	163	(83)	(169)	604	(13)
CLOSING BALANCE	(1,047)	(1,123)	(906)	(829)	(914)	(1,281)	(1,324)	(1,588)	(1,425)	(1,508)	(1,677)	(1,073)	(1,073)

CASHFLOW
CAPITAL PROJECTS STATE FUNDS
FY 2018
(dollars in millions)

	2017 April Results	May Results	June Results	July Results	August Results	September Results	October Projected	November Projected	December Projected	2018 January Projected	February Projected	March Projected	Total
OPENING BALANCE	(491)	(502)	(557)	(470)	(406)	(471)	(815)	(787)	(1,092)	(964)	(1,154)	(1,368)	(491)
RECEIPTS:													
Consumption/Use Taxes	52	4	53	55	55	55	57	53	55	51	50	46	586
Business Taxes	47	46	55	55	50	58	48	52	52	51	54	53	628
Other Taxes	0	0	0	12	12	12	12	12	12	12	12	11	119
Total Taxes	99	50	120	122	117	125	117	117	126	114	116	110	1,333
Miscellaneous Receipts	92	94	191	1,212	156	147	970	81	1,918	94	391	2,065	7,411
Federal Receipts	0	0	0	0	0	3	0	0	0	0	0	2	5
TOTAL RECEIPTS	191	144	311	1,334	273	275	1,087	198	2,044	208	507	2,177	8,749
DISBURSEMENTS:													
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	0	0	0	17	0	0	0	0	0	1	0	14	32
Public Health	0	9	2	10	6	4	17	13	11	29	20	137	258
Mental Hygiene	6	16	12	7	9	12	11	9	6	9	9	44	150
School Aid	0	0	22	6	4	6	20	10	10	10	10	277	375
Temporary & Disability Assistance	0	0	0	0	0	0	0	0	0	0	0	23	63
Transportation	3	10	51	3	73	72	12	31	202	30	26	1,156	1,669
All Other Local	212	130	175	156	253	241	130	155	114	98	74	(160)	1,578
Total Local Assistance	221	165	262	199	361	335	190	218	343	201	139	1,491	4,125
Economic Development	1	4	11	5	17	14	6	99	106	115	113	158	649
Parks & the Environment	20	33	47	33	42	34	45	141	128	126	128	48	825
Transportation	136	175	154	257	184	232	311	247	292	256	353	398	2,995
Health & Social Welfare	2	0	4	4	6	(11)	9	35	19	28	19	56	171
Mental Hygiene	17	18	34	13	25	24	18	23	17	15	22	32	258
Public Protection	17	34	49	40	29	42	32	38	84	43	44	107	559
Education	26	81	70	75	93	78	108	66	105	73	65	110	973
All Other	26	36	40	28	62	48	49	149	143	146	142	(276)	593
Total Capital Projects	268	381	409	455	458	461	578	798	894	802	886	633	7,023
TOTAL DISBURSEMENTS	489	546	671	654	819	796	768	1,016	1,237	1,003	1,025	2,124	11,148
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	316	377	477	(584)	513	438	(266)	541	(651)	633	399	769	2,962
Transfers to Other Funds	(29)	(30)	(30)	(32)	(32)	(261)	(25)	(28)	(28)	(28)	(95)	(768)	(1,386)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0	788
NET OTHER FINANCING SOURCES/(USES)	287	347	447	(616)	481	177	(291)	513	(679)	605	304	789	2,364
Excess/(Deficiency) of Receipts over Disbursements	(111)	(55)	87	64	(65)	(344)	28	(305)	128	(190)	(214)	842	(35)
CLOSING BALANCE	(502)	(557)	(470)	(406)	(471)	(815)	(787)	(1,092)	(964)	(1,154)	(1,368)	(1,368)	(526)

**CASHFLOW
CAPITAL PROJECTS FEDERAL FUNDS
FY 2018
(dollars in millions)**

	2017 April Results	May Results	June Results	July Results	August Results	September Results	October Projected	November Projected	December Projected	2018 January Projected	February Projected	March Projected	Total
OPENING BALANCE	(569)	(545)	(566)	(436)	(423)	(443)	(466)	(537)	(496)	(461)	(354)	(309)	(569)
RECEIPTS:													
Consumption/Use Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Business Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Other Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	1	0	0	0	0	0	0	0	0	0	0	0	1
Federal Receipts	136	113	282	139	160	145	128	270	203	203	193	293	2,265
TOTAL RECEIPTS	137	113	282	139	160	145	128	270	203	203	193	293	2,266
DISBURSEMENTS:													
Public Health	0	0	0	0	0	5	0	0	42	1	7	15	70
Transportation	31	43	31	25	61	25	59	91	20	12	27	57	482
All Other Local	0	0	0	0	0	3	0	25	25	30	35	36	154
Total Local Assistance	31	43	31	25	61	33	59	116	87	43	69	108	706
Economic Development	0	0	0	0	0	0	0	0	0	0	0	0	0
Parks & the Environment	0	1	1	1	0	1	1	2	2	3	3	0	15
Transportation	80	87	112	97	113	130	134	107	71	46	42	75	1,094
Health & Social Welfare	0	0	1	0	1	0	0	0	1	0	1	5	9
Mental Hygiene	0	0	0	0	0	0	0	0	0	0	0	0	0
Public Protection	2	2	2	3	2	4	3	2	2	2	2	0	26
Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	0	1	0	0	3	0	2	2	2	2	2	8	22
Total Capital Projects	82	91	116	101	119	135	140	113	78	53	50	88	1,166
TOTAL DISBURSEMENTS	113	134	147	126	180	168	199	229	165	96	119	196	1,872
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	0	0	0	0	(1)	1	0	0	0	0	(29)	(331)	(360)
Transfers to Other Funds	0	0	(5)	0	1	(1)	0	0	(3)	0	0	(4)	(12)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES/(USES)	0	0	(5)	0	0	0	0	0	(3)	0	(29)	(335)	(372)
Excess/(Deficiency) of Receipts over Disbursements	24	(21)	130	13	(20)	(23)	(71)	41	35	107	45	(238)	22
CLOSING BALANCE	(545)	(566)	(436)	(423)	(443)	(466)	(537)	(496)	(461)	(354)	(309)	(547)	(547)

**CASHFLOW
STATE FUNDS
FY 2018
(dollars in millions)**

	2017 April Results	May Results	June Results	July Results	August Results	September Results	October Projected	November Projected	December Projected	2018 January Projected	February Projected	March Projected	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	11,134	12,134	7,690	7,845	9,690	10,049	10,169	10,902	8,276	11,644	15,751	15,865		11,134
RECEIPTS:														
Personal Income Tax	5,001	2,102	4,652	2,649	3,056	4,715	2,736	2,416	5,792	8,763	3,388	3,362	0	48,632
Consumption/Use Taxes	1,230	1,188	1,643	1,335	1,306	1,652	1,307	1,332	1,641	1,430	1,155	1,534	0	16,753
Business Taxes	603	244	1,032	1,485	524	1,053	68	134	1,817	115	(54)	2,158	0	7,879
Other Taxes	306	316	310	261	301	425	282	314	301	392	330	286	0	3,824
Total Taxes	7,140	3,850	7,637	4,430	5,187	7,845	4,393	4,196	9,551	10,700	4,819	7,340	0	77,088
Abandoned Property	0	0	0	0	1	64	5	150	50	0	0	180	0	450
ABC License Fee	6	6	6	5	6	6	6	4	5	5	5	5	0	65
HCRA	397	395	398	473	440	407	436	400	405	399	657	118	0	4,925
Investment Income	4	1	2	2	2	2	2	2	2	2	2	1	0	25
Licenses, Fees, etc.	27	73	36	51	36	77	63	55	65	70	35	77	0	665
Lottery	263	308	288	259	362	260	256	305	250	311	271	274	0	3,407
Medicaid	71	68	68	75	70	73	78	63	63	78	63	62	0	832
Motor Vehicle Fees	71	66	69	30	71	43	53	50	47	48	48	52	0	648
Reimbursements	2	23	37	9	24	26	11	20	40	10	20	56	0	278
State University Income	290	248	301	245	364	753	325	285	264	488	660	363	0	4,586
Extraordinary Settlements	0	350	0	0	0	231	0	0	312	0	0	27	0	608
Other Transactions	349	300	668	1,509	363	787	1,236	312	2,286	336	697	2,244	0	11,087
Total Miscellaneous Receipts	1,480	1,838	1,873	2,657	1,739	2,729	2,473	1,646	3,477	1,747	2,458	3,459	0	27,576
Federal Receipts	0	0	0	2	35	3	2	0	0	0	2	35	0	79
TOTAL RECEIPTS	8,620	5,688	9,510	7,089	6,961	10,577	6,868	5,842	13,028	12,447	7,279	10,834	0	104,743
DISBURSEMENTS:														
School Aid	868	3,358	2,227	44	574	3,948	966	1,668	2,442	703	843	8,772	0	26,113
Higher Education	19	37	954	221	128	181	93	56	201	75	361	506	0	2,832
All Other Education	95	484	76	177	58	50	61	378	161	42	205	506	0	2,293
STAR	0	0	58	0	0	0	0	11	116	2,435	0	9	0	2,630
Medicaid - DOH	1,765	1,939	1,717	1,486	1,915	1,669	1,611	1,828	1,293	1,485	1,366	908	0	18,982
Public Health	111	232	144	265	95	78	101	129	107	126	93	282	0	1,783
Mental Hygiene	45	140	460	113	50	429	59	107	476	121	163	473	0	2,636
Children and Families	36	102	194	71	23	134	70	138	307	123	164	294	0	1,656
Temporary & Disability Assistance	95	112	143	92	109	67	63	117	123	141	129	181	0	1,372
Transportation	248	514	485	375	569	485	374	642	1,094	228	271	1,405	0	6,690
Unrestricted Aid	0	11	388	2	0	102	7	2	182	1	1	66	0	762
All Other	254	171	285	216	367	291	196	240	212	182	168	(153)	0	2,429
Total Local Assistance	3,536	7,100	7,131	3,062	3,888	7,434	3,602	5,316	6,434	5,662	3,764	13,249	0	70,178
Personal Service	1,050	1,371	1,013	998	1,181	998	1,064	1,259	1,062	974	967	1,100	0	13,037
Non-Personal Service	322	500	526	364	460	460	505	502	519	477	501	546	0	5,748
Total State Operations	1,372	1,871	1,539	1,362	1,707	1,458	1,569	1,761	1,581	1,451	1,468	1,646	0	18,785
General State Charges	2,452	739	467	393	429	542	534	509	416	545	537	415	0	7,978
Debt Service	87	148	186	29	348	757	26	83	530	21	712	2,361	0	5,288
Capital Projects	268	381	409	455	458	461	578	798	894	802	886	633	0	7,023
TOTAL DISBURSEMENTS	7,715	10,239	9,732	5,301	6,830	10,652	6,309	8,467	9,855	8,481	7,367	18,304	0	109,252
OTHER FINANCING SOURCES (USES):														
Transfers from other funds	3,485	2,313	3,628	1,507	2,458	3,238	2,212	2,586	1,934	2,823	2,449	5,104	(490)	33,247
Transfers to other funds	(3,390)	(2,206)	(3,251)	(1,450)	(2,230)	(3,043)	(2,038)	(2,487)	(1,839)	(2,682)	(2,247)	(4,558)	490	(30,931)
Bond and note proceeds	95	107	377	57	228	195	174	99	95	141	202	788	0	788
NET OTHER FINANCING SOURCES/(USES)	1,000	(4,444)	155	1,845	359	120	733	(2,526)	3,268	4,107	114	(6,136)	0	3,104
Excess/(Deficiency) of Receipts over Disbursements	12,134	7,690	7,845	9,690	10,049	10,169	10,902	8,376	11,644	15,751	15,865	9,729	0	9,729
CLOSING BALANCE														

CASH FINANCIAL PLAN
HEALTH CARE REFORM ACT RESOURCES FUND
FY 2018
(millions of dollars)

	<u>First Quarter</u>	<u>Change</u>	<u>Mid-Year Updated</u>
Opening Fund Balance	<u>12</u>	<u>0</u>	<u>12</u>
Receipts:			
Taxes	847	(10)	837
Miscellaneous receipts	<u>4,925</u>	<u>0</u>	<u>4,925</u>
Total Receipts	<u>5,772</u>	<u>(10)</u>	<u>5,762</u>
Disbursements and Transfers:			
Medical Assistance Account	3,893	7	3,900
Hospital Indigent Care Fund	892	0	892
HCRA Program Account	408	0	408
Child Health Plus (CHP)	261	0	261
Elderly Pharmaceutical Insurance Coverage (EPIC)	142	(1)	141
SHIN-NY/APCD	38	(17)	21
New York State of Health	0	0	0
All Other	<u>150</u>	<u>1</u>	<u>151</u>
Total Disbursements and Transfers	<u>5,784</u>	<u>(10)</u>	<u>5,774</u>
Change in Fund Balance	<u>(12)</u>	<u>0</u>	<u>(12)</u>
Closing Fund Balance	<u>0</u>	<u>0</u>	<u>0</u>

CASH FINANCIAL PLAN
HEALTH CARE REFORM ACT RESOURCES FUND
FY 2018 THROUGH FY 2021
(millions of dollars)

	<u>FY 2018</u> <u>Mid-Year</u>	<u>FY 2019</u> <u>Projected</u>	<u>FY 2020</u> <u>Projected</u>	<u>FY 2021</u> <u>Projected</u>
Opening Fund Balance	12	0	0	0
Receipts:				
Taxes	837	806	771	739
Miscellaneous receipts	4,925	4,980	5,036	5,040
Total Receipts	<u>5,762</u>	<u>5,786</u>	<u>5,807</u>	<u>5,779</u>
Disbursements and Transfers:				
Medical Assistance Account	3,900	3,824	3,721	3,636
Hospital Indigent Care Fund	892	892	892	892
HCRA Program Account	408	407	407	406
Child Health Plus (CHP)	261	307	428	556
Elderly Pharmaceutical Insurance Coverage (EPIC)	141	139	139	140
SHIN-NY/APCD	21	40	40	0
All Other	151	177	180	149
Total Disbursements and Transfers	<u>5,774</u>	<u>5,786</u>	<u>5,807</u>	<u>5,779</u>
Change in Fund Balance	<u>(12)</u>	<u>0</u>	<u>0</u>	<u>0</u>
Closing Fund Balance	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>

CASH FINANCIAL PLAN
HEALTH CARE REFORM ACT RESOURCES FUND
FY 2017 and FY 2018
(millions of dollars)

	<u>FY 2017</u> <u>Results</u>	<u>FY 2018</u> <u>Mid-Year</u>	<u>Annual</u> <u>Change</u>
Opening Fund Balance	78	12	(66)
Receipts:			
Taxes	876	837	(39)
Miscellaneous receipts	4,923	4,925	2
Total Receipts	<u>5,799</u>	<u>5,762</u>	<u>(37)</u>
Disbursements and Transfers:			
Medical Assistance Account	3,981	3,900	(81)
Hospital Indigent Care Fund	965	892	(73)
HCRA Program Account	413	408	(5)
Child Health Plus (CHP)	223	261	38
Elderly Pharmaceutical Insurance Coverage (EPIC)	143	141	(2)
SHIN-NY/APCD	10	21	11
All Other	130	151	21
Total Disbursements and Transfers	<u>5,865</u>	<u>5,774</u>	<u>(91)</u>
Change in Fund Balance	<u>(66)</u>	<u>(12)</u>	<u>54</u>
Closing Fund Balance	<u>12</u>	<u>0</u>	<u>(12)</u>

CASH FLOW
HEALTH CARE REFORM ACT RESOURCES FUND
FY 2017
(dollars in millions)

	April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	January Results	February Results	March Results	Total
Opening Fund Balance	78	175	149	251	135	158	214	228	144	252	235	167	78
Receipts:													
Taxes	70	70	87	75	86	76	73	79	72	73	57	58	876
Miscellaneous receipts	366	433	440	435	394	405	418	383	466	393	408	382	4,923
Total Receipts	436	503	527	510	480	481	491	462	538	466	465	440	5,799
Disbursements and Transfers:													
Medical Assistance Account	260	355	249	415	338	287	326	442	314	352	436	207	3,981
Hospital Indigent Care Fund	0	143	36	156	64	63	97	65	62	59	66	154	965
HCRA Program Account	42	4	114	25	25	1	25	10	3	41	5	118	413
Child Health Plus (CHP)	17	15	10	16	12	42	14	13	30	12	15	27	223
Elderly Pharmaceutical Insurance Coverage (EPIC)	3	10	13	13	14	14	13	13	13	15	6	16	143
SHIN-NY/APCD	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	17	2	3	1	4	18	2	3	8	4	5	63	130
Total	339	529	425	626	457	425	477	546	430	483	533	595	5,865
Change in Fund Balance	97	(26)	102	(116)	23	56	14	(84)	108	(17)	(68)	(155)	(66)
Closing Fund Balance	175	149	251	135	158	214	228	144	252	235	167	12	12

CASH FLOW
HEALTH CARE REFORM ACT RESOURCES FUND
FY 2018
(dollars in millions)

	April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	January Results	February Results	March Results	Total
Opening Fund Balance	12	111	58	158	190	140	124	191	97	118	105	340	12
Receipts:													
Taxes	64	77	75	71	84	70	75	70	70	70	55	56	837
Miscellaneous receipts	397	395	398	473	440	407	436	400	405	399	657	118	4,925
Total Receipts	461	472	473	544	524	477	511	470	475	469	712	174	5,762
Disbursements and Transfers:													
Medical Assistance Account	250	377	248	225	450	318	353	373	329	348	369	260	3,900
Hospital Indigent Care Fund	69	84	31	96	72	128	38	111	65	67	67	64	892
HCRA Program Account	38	1	54	142	24	4	19	43	17	33	7	26	408
Child Health Plus (CHP)	(1)	34	26	33	8	20	19	20	19	19	20	44	261
Elderly Pharmaceutical Insurance Coverage (EPIC)	3	11	12	12	15	13	12	16	14	12	10	11	141
SHIN-NY/APCD	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	3	18	2	4	5	10	3	1	9	3	5	88	151
Total	362	525	375	512	574	493	444	564	454	482	477	514	5,774
Change in Fund Balance	99	(53)	100	32	(50)	(16)	67	(94)	21	(13)	235	(340)	(12)
Closing Fund Balance	111	58	158	190	140	124	191	97	118	105	340	0	0

CASH FINANCIAL PLAN
 PROPRIETARY AND FIDUCIARY FUNDS
 (millions of dollars)

	FY 2017 Results			FY 2018 Mid-Year			FY 2019 Projected			FY 2020 Projected			FY 2021 Projected		
	Internal Service	Enterprise	Fiduciary	Internal Service	Enterprise	Fiduciary	Internal Service	Enterprise	Fiduciary	Internal Service	Enterprise	Fiduciary	Internal Service	Enterprise	Fiduciary
Opening Fund Balance	<u>(127)</u>	<u>66</u>	<u>(47)</u>	<u>(200)</u>	<u>23</u>	<u>(38)</u>	<u>(195)</u>	<u>21</u>	<u>(73)</u>	<u>(184)</u>	<u>18</u>	<u>(71)</u>	<u>(168)</u>	<u>14</u>	<u>(70)</u>
Receipts:															
Unemployment Taxes	0	2,156	0	0	2,450	0	0	2,450	0	0	2,450	0	0	2,450	0
Miscellaneous Receipts	443	59	(1)	653	72	1	646	72	1	646	72	1	647	72	1
Federal Receipts	0	19	0	0	50	0	0	50	0	0	50	0	0	50	0
Total Receipts	<u>443</u>	<u>2,234</u>	<u>(1)</u>	<u>653</u>	<u>2,572</u>	<u>1</u>	<u>646</u>	<u>2,572</u>	<u>1</u>	<u>646</u>	<u>2,572</u>	<u>1</u>	<u>647</u>	<u>2,572</u>	<u>1</u>
Disbursements:															
Local Assistance	0	0	0	0	0	73	0	0	40	0	0	31	0	0	31
State Operations:															
Personal Service	94	5	0	108	9	0	107	9	0	107	10	0	110	10	0
Non-Personal Service	421	51	0	545	59	0	540	59	0	540	59	0	548	59	0
Unemployment Benefits	0	2,220	0	0	2,500	0	0	2,500	0	0	2,500	0	0	2,500	0
General State Charges	53	1	0	68	5	0	68	6	0	70	6	0	75	6	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	<u>568</u>	<u>2,277</u>	<u>0</u>	<u>721</u>	<u>2,573</u>	<u>73</u>	<u>715</u>	<u>2,574</u>	<u>40</u>	<u>717</u>	<u>2,575</u>	<u>31</u>	<u>733</u>	<u>2,575</u>	<u>31</u>
Other Financing Sources (Uses):															
Transfers from Other Funds	88	0	10	109	0	37	108	0	41	108	0	31	108	0	31
Transfers to Other Funds	(36)	0	0	(36)	(1)	0	(28)	(1)	0	(21)	(1)	0	(12)	(1)	0
	52	0	10	73	(1)	37	80	(1)	41	87	(1)	31	96	(1)	31
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>(73)</u>	<u>(43)</u>	<u>9</u>	<u>5</u>	<u>(2)</u>	<u>(35)</u>	<u>11</u>	<u>(3)</u>	<u>2</u>	<u>16</u>	<u>(4)</u>	<u>1</u>	<u>10</u>	<u>(4)</u>	<u>1</u>
Closing Fund Balance	<u>(200)</u>	<u>23</u>	<u>(38)</u>	<u>(195)</u>	<u>21</u>	<u>(73)</u>	<u>(184)</u>	<u>18</u>	<u>(71)</u>	<u>(168)</u>	<u>14</u>	<u>(70)</u>	<u>(158)</u>	<u>10</u>	<u>(69)</u>

Workforce Impact Summary

General Fund 2015-16 Through 2017-18

	2015-16 Actuals (03/31/16)	2016-17 Actuals (03/31/17)	2017-18 Estimate (03/31/18)
Major Agencies			
Children and Family Services, Office of	2,403	2,440	2,362
Corrections and Community Supervision, Department of	28,742	28,846	27,305
Education Department, State	280	278	279
Environmental Conservation, Department of	1,065	941	933
General Services, Office of	1,073	1,188	894
Health, Department of	1,482	1,540	1,592
Information Technology Services, Office of	3,596	3,486	3,406
Labor, Department of	0	0	1
Parks, Recreation and Historic Preservation, Office of	1,372	1,268	1,124
State Police, Division of	5,369	5,295	5,300
Taxation and Finance, Department of	4,154	3,888	3,277
Temporary and Disability Assistance, Office of	949	1,002	1,033
Subtotal - Major Agencies	50,485	50,172	47,506
Minor Agencies			
Adirondack Park Agency	54	53	54
Aging, Office for the	10	11	12
Agriculture and Markets, Department of	377	380	357
Alcoholic Beverage Control, Division of	0	112	127
Alcoholism and Substance Abuse Services, Office of	2	2	0
Arts, Council on the	27	28	30
Budget, Division of the	222	224	245
Civil Service, Department of	141	158	171
Correction, Commission of	30	31	32
Criminal Justice Services, Division of	379	377	399
Economic Development, Department of	146	146	147
Elections, State Board of	73	75	79
Employee Relations, Office of	22	26	26
Executive Chamber	122	121	136
Gaming Commission, New York State	53	56	57
Housing and Community Renewal, Division of	78	67	54
Hudson River Valley Greenway Communities Council	1	0	1
Human Rights, Division of	139	125	124
Inspector General, Office of the	89	92	109
Judicial Conduct, Commission on	43	44	50
Justice Center for the Protection of People with Special Needs	401	402	422
Labor Management Committees	72	75	77
Lieutenant Governor, Office of the	5	5	7
Medicaid Inspector General, Office of the	224	217	214
Military and Naval Affairs, Division of	139	145	94
Prevention of Domestic Violence, Office for	17	18	18
Public Employment Relations Board	31	30	33
Public Ethics, Joint Commission on	47	51	58
State, Department of	199	198	139
Statewide Financial System	138	137	141
Tax Appeals, Division of	24	23	27
Veterans' Affairs, Division of	76	82	90
Welfare Inspector General, Office of	6	7	7
Subtotal - Minor Agencies	3,387	3,518	3,537
Subtotal - Subject to Direct Executive Control	53,872	53,690	51,043
Independently Elected Agencies			
Audit and Control, Department of	1,297	1,356	1,425
Law, Department of	1,075	1,075	1,065
Subtotal - Independently Elected Agencies	2,372	2,431	2,490
Grand Total	56,244	56,121	53,533

Workforce Impact Summary

State Operating Funds 2015-16 Through 2017-18

	2015-16 Actuals (03/31/16)	2016-17 Actuals (03/31/17)	2017-18 Estimate (03/31/18)
Major Agencies			
Children and Family Services, Office of	2,442	2,483	2,406
Corrections and Community Supervision, Department of	28,746	28,849	27,309
Education Department, State	1,258	1,216	1,263
Environmental Conservation, Department of	2,238	2,147	2,124
Financial Services, Department of	1,351	1,325	1,382
General Services, Office of	1,131	1,247	907
Health, Department of	3,697	3,615	3,693
Information Technology Services, Office of	3,596	3,486	3,406
Labor, Department of	371	348	508
Mental Health, Office of	14,350	14,156	13,191
Motor Vehicles, Department of	669	501	503
Parks, Recreation and Historic Preservation, Office of	1,574	1,509	1,305
People with Developmental Disabilities, Office for	18,954	18,946	18,235
State Police, Division of	5,435	5,645	5,636
Taxation and Finance, Department of	4,249	3,946	3,978
Temporary and Disability Assistance, Office of	949	1,002	1,033
Transportation, Department of	82	90	46
Workers' Compensation Board	1,093	1,122	1,165
Subtotal - Major Agencies	92,185	91,633	88,090
Minor Agencies	6,580	6,577	6,792
Subtotal - Subject to Direct Executive Control	98,765	98,210	94,882
University Systems			
City University of New York	338	391	383
State University of New York	44,249	45,314	42,869
Subtotal - University Systems	44,587	45,705	43,252
Independently Elected Agencies			
Audit and Control, Department of	1,467	1,530	1,603
Law, Department of	1,559	1,562	1,583
Subtotal - Independently Elected Agencies	3,026	3,092	3,186
Grand Total	146,378	147,007	141,320

Workforce Impact Summary

State Operating Funds 2015-16 Through 2017-18

	2015-16 Actuals (03/31/16)	2016-17 Actuals (03/31/17)	2017-18 Estimate (03/31/18)
Minor Agencies			
Adirondack Park Agency	54	53	54
Aging, Office for the	10	11	12
Agriculture and Markets, Department of	421	427	400
Alcoholic Beverage Control, Division of	111	112	127
Alcoholism and Substance Abuse Services, Office of	740	730	725
Arts, Council on the	27	28	30
Budget, Division of the	237	234	261
Civil Service, Department of	142	160	176
Correction, Commission of	30	31	32
Criminal Justice Services, Division of	383	379	404
Deferred Compensation Board	4	4	4
Economic Development, Department of	147	147	148
Elections, State Board of	73	75	79
Employee Relations, Office of	22	26	26
Executive Chamber	122	121	136
Financial Control Board, New York State	11	12	12
Gaming Commission, New York State	381	410	404
Higher Education Services Corporation, New York State	241	200	212
Homeland Security and Emergency Services, Division of	301	312	406
Housing and Community Renewal, Division of	600	564	561
Hudson River Valley Greenway Communities Council	1	0	1
Human Rights, Division of	139	125	124
Indigent Legal Services, Office of	18	17	30
Inspector General, Office of the	89	92	109
Interest on Lawyer Account	8	9	8
Judicial Conduct, Commission on	43	44	50
Justice Center for the Protection of People with Special Needs	418	417	436
Labor Management Committees	72	75	77
Lieutenant Governor, Office of the	5	5	7
Medicaid Inspector General, Office of the	224	217	214
Military and Naval Affairs, Division of	143	147	96
Prevention of Domestic Violence, Office for	17	18	18
Public Employment Relations Board	31	30	33
Public Ethics, Joint Commission on	47	51	58
Public Service Department	486	508	495
State, Department of	494	486	508
Statewide Financial System	138	137	141
Tax Appeals, Division of	24	23	27
Veterans' Affairs, Division of	76	82	90
Victim Services, Office of	44	51	54
Welfare Inspector General, Office of	6	7	7
Subtotal - Minor Agencies	6,580	6,577	6,792

Workforce Impact Summary

State Funds
2015-16 Through 2017-18

	2015-16 Actuals (03/31/16)	2016-17 Actuals (03/31/17)	2017-18 Estimate (03/31/18)
Major Agencies			
Children and Family Services, Office of	2,446	2,487	2,475
Corrections and Community Supervision, Department of	28,774	28,879	28,318
Education Department, State	1,258	1,216	1,263
Environmental Conservation, Department of	2,617	2,680	2,668
Financial Services, Department of	1,351	1,325	1,382
General Services, Office of	1,131	1,247	1,235
Health, Department of	3,770	3,685	3,831
Information Technology Services, Office of	3,596	3,486	3,406
Labor, Department of	371	348	508
Mental Health, Office of	14,382	14,209	13,874
Motor Vehicles, Department of	2,146	2,166	2,239
Parks, Recreation and Historic Preservation, Office of	1,734	1,733	1,730
People with Developmental Disabilities, Office for	18,954	18,946	18,612
State Police, Division of	5,435	5,645	5,711
Taxation and Finance, Department of	4,249	3,946	3,978
Temporary and Disability Assistance, Office of	954	1,007	1,041
Transportation, Department of	8,361	8,423	8,327
Workers' Compensation Board	1,093	1,122	1,165
Subtotal - Major Agencies	102,622	102,550	101,763
Minor Agencies	6,580	6,592	6,862
Subtotal - Subject to Direct Executive Control	109,202	109,142	108,625
University Systems			
City University of New York	338	391	383
State University Construction Fund	143	144	152
State University of New York	44,249	45,314	44,732
Subtotal - University Systems	44,730	45,849	45,267
Independently Elected Agencies			
Audit and Control, Department of	1,467	1,530	1,603
Law, Department of	1,564	1,566	1,590
Subtotal - Independently Elected Agencies	3,031	3,096	3,193
Grand Total	156,963	158,087	157,085

Workforce Impact Summary

State Funds
2015-16 Through 2017-18

	2015-16 Actuals (03/31/16)	2016-17 Actuals (03/31/17)	2017-18 Estimate (03/31/18)
Minor Agencies			
Adirondack Park Agency	54	53	54
Aging, Office for the	10	11	12
Agriculture and Markets, Department of	421	427	400
Alcoholic Beverage Control, Division of	111	112	127
Alcoholism and Substance Abuse Services, Office of	740	745	741
Arts, Council on the	27	28	30
Budget, Division of the	237	234	261
Civil Service, Department of	142	160	176
Correction, Commission of	30	31	32
Criminal Justice Services, Division of	383	379	404
Deferred Compensation Board	4	4	4
Economic Development, Department of	147	147	148
Elections, State Board of	73	75	79
Employee Relations, Office of	22	26	26
Executive Chamber	122	121	136
Financial Control Board, New York State	11	12	12
Gaming Commission, New York State	381	410	404
Higher Education Services Corporation, New York State	241	200	212
Homeland Security and Emergency Services, Division of	301	312	406
Housing and Community Renewal, Division of	600	564	561
Hudson River Valley Greenway Communities Council	1	0	1
Human Rights, Division of	139	125	124
Indigent Legal Services, Office of	18	17	30
Inspector General, Office of the	89	92	109
Interest on Lawyer Account	8	9	8
Judicial Conduct, Commission on	43	44	50
Justice Center for the Protection of People with Special Needs	418	417	436
Labor Management Committees	72	75	77
Lieutenant Governor, Office of the	5	5	7
Medicaid Inspector General, Office of the	224	217	214
Military and Naval Affairs, Division of	143	147	150
Prevention of Domestic Violence, Office for	17	18	18
Public Employment Relations Board	31	30	33
Public Ethics, Joint Commission on	47	51	58
Public Service Department	486	508	495
State, Department of	494	486	508
Statewide Financial System	138	137	141
Tax Appeals, Division of	24	23	27
Veterans' Affairs, Division of	76	82	90
Victim Services, Office of	44	51	54
Welfare Inspector General, Office of	6	7	7
Subtotal - Minor Agencies	6,580	6,592	6,862

Workforce Impact Summary

All Funds

2015-16 Through 2017-18

	2015-16 Actuals (03/31/16)	2016-17 Actuals (03/31/17)	2017-18 Estimate (03/31/18)
Major Agencies			
Children and Family Services, Office of	2,843	2,932	2,965
Corrections and Community Supervision, Department of	29,094	29,189	29,254
Education Department, State	2,700	2,590	2,692
Environmental Conservation, Department of	2,900	2,956	2,945
Financial Services, Department of	1,351	1,325	1,382
General Services, Office of	1,643	1,755	1,811
Health, Department of	4,898	4,853	5,082
Information Technology Services, Office of	3,596	3,486	3,406
Labor, Department of	2,880	2,861	2,992
Mental Health, Office of	14,391	14,221	13,903
Motor Vehicles, Department of	2,163	2,184	2,256
Parks, Recreation and Historic Preservation, Office of	1,751	1,752	1,749
People with Developmental Disabilities, Office for	18,964	18,958	18,630
State Police, Division of	5,435	5,645	5,711
Taxation and Finance, Department of	4,249	3,946	3,978
Temporary and Disability Assistance, Office of	1,868	1,973	2,026
Transportation, Department of	8,419	8,487	8,453
Workers' Compensation Board	1,093	1,122	1,165
Subtotal - Major Agencies	110,238	110,235	110,400
Minor Agencies	7,627	7,672	8,081
Subtotal - Subject to Direct Executive Control	117,865	117,907	118,481
University Systems			
City University of New York	13,681	13,635	13,549
State University Construction Fund	143	144	152
State University of New York	44,250	45,316	44,732
Subtotal - University Systems	58,074	59,095	58,433
Independently Elected Agencies			
Audit and Control, Department of	2,508	2,647	2,663
Law, Department of	1,776	1,787	1,839
Subtotal - Independently Elected Agencies	4,284	4,434	4,502
Grand Total	180,223	181,436	181,416

Workforce Impact Summary

All Funds
2015-16 Through 2017-18

	2015-16 Actuals (03/31/16)	2016-17 Actuals (03/31/17)	2017-18 Estimate (03/31/18)
Minor Agencies			
Adirondack Park Agency	54	53	54
Aging, Office for the	93	90	95
Agriculture and Markets, Department of	467	472	483
Alcoholic Beverage Control, Division of	111	112	127
Alcoholism and Substance Abuse Services, Office of	740	745	741
Arts, Council on the	27	28	30
Budget, Division of the	237	234	261
Civil Service, Department of	300	324	347
Correction, Commission of	30	31	32
Criminal Justice Services, Division of	415	410	436
Deferred Compensation Board	4	4	4
Economic Development, Department of	147	147	148
Elections, State Board of	73	75	80
Employee Relations, Office of	30	32	37
Executive Chamber	122	121	136
Financial Control Board, New York State	11	12	12
Gaming Commission, New York State	381	410	404
Higher Education Services Corporation, New York State	241	200	212
Homeland Security and Emergency Services, Division of	449	467	564
Housing and Community Renewal, Division of	693	644	682
Hudson River Valley Greenway Communities Council	1	0	1
Human Rights, Division of	158	155	164
Indigent Legal Services, Office of	18	17	30
Inspector General, Office of the	89	92	109
Interest on Lawyer Account	8	9	8
Judicial Conduct, Commission on	43	44	50
Justice Center for the Protection of People with Special Needs	418	417	441
Labor Management Committees	72	75	77
Lieutenant Governor, Office of the	5	5	7
Medicaid Inspector General, Office of the	446	432	426
Military and Naval Affairs, Division of	326	366	354
Prevention of Domestic Violence, Office for	26	26	28
Public Employment Relations Board	31	30	33
Public Ethics, Joint Commission on	47	51	58
Public Service Department	486	508	520
State, Department of	510	499	525
Statewide Financial System	138	137	141
Tax Appeals, Division of	24	23	27
Veterans' Affairs, Division of	82	89	98
Victim Services, Office of	68	79	92
Welfare Inspector General, Office of	6	7	7
Subtotal - Minor Agencies	7,627	7,672	8,081

Workforce Impact Summary

Special Revenue Funds - Other 2015-16 Through 2017-18

	2015-16 Actuals (03/31/16)	2016-17 Actuals (03/31/17)	2017-18 Estimate (03/31/18)
Major Agencies			
Children and Family Services, Office of	39	43	44
Corrections and Community Supervision, Department of	4	3	4
Education Department, State	978	938	984
Environmental Conservation, Department of	1,173	1,206	1,191
Financial Services, Department of	1,351	1,325	1,382
General Services, Office of	58	59	13
Health, Department of	2,215	2,075	2,101
Labor, Department of	371	348	507
Mental Health, Office of	14,350	14,156	13,191
Motor Vehicles, Department of	669	501	503
Parks, Recreation and Historic Preservation, Office of	202	241	181
People with Developmental Disabilities, Office for	18,954	18,946	18,235
State Police, Division of	66	350	336
Taxation and Finance, Department of	95	58	701
Transportation, Department of	82	90	46
Workers' Compensation Board	1,093	1,122	1,165
Subtotal - Major Agencies	41,700	41,461	40,584
Minor Agencies			
Agriculture and Markets, Department of	44	47	43
Alcoholic Beverage Control, Division of	111	0	0
Alcoholism and Substance Abuse Services, Office of	738	728	725
Budget, Division of the	15	10	16
Civil Service, Department of	1	2	5
Criminal Justice Services, Division of	4	2	5
Deferred Compensation Board	4	4	4
Economic Development, Department of	1	1	1
Financial Control Board, New York State	11	12	12
Gaming Commission, New York State	328	354	347
Higher Education Services Corporation, New York State	241	200	212
Homeland Security and Emergency Services, Division of	301	312	406
Housing and Community Renewal, Division of	522	497	507
Indigent Legal Services, Office of	18	17	30
Interest on Lawyer Account	8	9	8
Justice Center for the Protection of People with Special Needs	17	15	14
Military and Naval Affairs, Division of	4	2	2
Public Service Department	486	508	495
State, Department of	295	288	369
Victim Services, Office of	44	51	54
Subtotal - Minor Agencies	3,193	3,059	3,255
Subtotal - Subject to Direct Executive Control	44,893	44,520	43,839
University Systems			
City University of New York	338	391	383
State University of New York	44,249	45,314	42,869
Subtotal - University Systems	44,587	45,705	43,252
Independently Elected Agencies			
Audit and Control, Department of	170	174	178
Law, Department of	484	487	518
Subtotal - Independently Elected Agencies	654	661	696
Grand Total	90,134	90,886	87,787

Workforce Impact Summary

Special Revenue Funds - Federal 2015-16 Through 2017-18

	2015-16 Actuals (03/31/16)	2016-17 Actuals (03/31/17)	2017-18 Estimate (03/31/18)
Major Agencies			
Children and Family Services, Office of	354	367	391
Corrections and Community Supervision, Department of	37	37	646
Education Department, State	1,292	1,227	1,285
Environmental Conservation, Department of	276	271	269
Health, Department of	1,128	1,122	1,211
Labor, Department of	2,487	2,494	2,468
Mental Health, Office of	0	0	11
Motor Vehicles, Department of	17	18	17
Parks, Recreation and Historic Preservation, Office of	17	19	19
People with Developmental Disabilities, Office for	10	12	18
Temporary and Disability Assistance, Office of	914	966	985
Transportation, Department of	58	64	126
Subtotal - Major Agencies	6,590	6,597	7,446
Minor Agencies			
Aging, Office for the	83	79	83
Agriculture and Markets, Department of	6	6	35
Criminal Justice Services, Division of	32	31	32
Elections, State Board of	0	0	1
Homeland Security and Emergency Services, Division of	148	155	158
Housing and Community Renewal, Division of	93	80	101
Human Rights, Division of	19	30	40
Justice Center for the Protection of People with Special Needs	0	0	5
Medicaid Inspector General, Office of the	222	215	212
Military and Naval Affairs, Division of	183	187	202
Public Service Department	0	0	25
State, Department of	16	13	17
Veterans' Affairs, Division of	6	7	8
Victim Services, Office of	24	28	38
Subtotal - Minor Agencies	832	831	957
Subtotal - Subject to Direct Executive Control	7,422	7,428	8,403
University Systems			
State University of New York	1	2	0
Subtotal - University Systems	1	2	0
Independently Elected Agencies			
Audit and Control, Department of	5	5	5
Law, Department of	212	221	249
Subtotal - Independently Elected Agencies	217	226	254
Grand Total	7,640	7,656	8,657

Workforce Impact Summary

Capital Projects Funds - Other 2015-16 Through 2017-18

	2015-16 Actuals (03/31/16)	2016-17 Actuals (03/31/17)	2017-18 Estimate (03/31/18)
Major Agencies			
Children and Family Services, Office of	4	4	69
Corrections and Community Supervision, Department of	28	30	1,009
Environmental Conservation, Department of	379	533	544
General Services, Office of	0	0	328
Health, Department of	73	70	138
Mental Health, Office of	32	53	683
Motor Vehicles, Department of	1,477	1,665	1,736
Parks, Recreation and Historic Preservation, Office of	160	224	425
People with Developmental Disabilities, Office for	0	0	377
State Police, Division of	0	0	75
Temporary and Disability Assistance, Office of	5	5	8
Transportation, Department of	8,279	8,333	8,281
Subtotal - Major Agencies	10,437	10,917	13,673
Minor Agencies			
Alcoholism and Substance Abuse Services, Office of	0	15	16
Military and Naval Affairs, Division of	0	0	54
Subtotal - Minor Agencies	0	15	70
Subtotal - Subject to Direct Executive Control	10,437	10,932	13,743
University Systems			
State University Construction Fund	143	144	152
State University of New York	0	0	1,863
Subtotal - University Systems	143	144	2,015
Independently Elected Agencies			
Law, Department of	5	4	7
Subtotal - Independently Elected Agencies	5	4	7
Grand Total	10,585	11,080	15,765

Workforce Impact Summary

Capital Projects Funds - Federal 2015-16 Through 2017-18

	2015-16 Actuals (03/31/16)	2016-17 Actuals (03/31/17)	2017-18 Estimate (03/31/18)
Major Agencies			
Environmental Conservation, Department of	7	5	8
Health, Department of	0	46	40
Subtotal - Major Agencies	7	51	48
Minor Agencies			
Housing and Community Renewal, Division of	0	0	20
Military and Naval Affairs, Division of	0	32	0
Subtotal - Minor Agencies	0	32	20
Subtotal - Subject to Direct Executive Control	7	83	68
Grand Total	7	83	68

Workforce Impact Summary

Enterprise Funds 2015-16 Through 2017-18

	2015-16 Actuals (03/31/16)	2016-17 Actuals (03/31/17)	2017-18 Estimate (03/31/18)
Major Agencies			
Corrections and Community Supervision, Department of	7	5	10
General Services, Office of	11	9	62
Mental Health, Office of	0	0	5
Subtotal - Major Agencies	18	14	77
Minor Agencies			
Agriculture and Markets, Department of	38	36	45
Military and Naval Affairs, Division of	0	0	2
Subtotal - Minor Agencies	38	36	47
Subtotal - Subject to Direct Executive Control	56	50	124
Grand Total	56	50	124

Workforce Impact Summary

Internal Service Funds 2015-16 Through 2017-18

	2015-16 Actuals (03/31/16)	2016-17 Actuals (03/31/17)	2017-18 Estimate (03/31/18)
Major Agencies			
Children and Family Services, Office of	43	78	99
Corrections and Community Supervision, Department of	276	268	280
Education Department, State	150	147	144
General Services, Office of	501	499	514
Labor, Department of	22	19	16
Mental Health, Office of	9	12	13
Subtotal - Major Agencies	1,001	1,023	1,066
Minor Agencies			
Civil Service, Department of	158	164	171
Employee Relations, Office of	8	6	11
Prevention of Domestic Violence, Office for	9	8	10
Subtotal - Minor Agencies	175	178	192
Subtotal - Subject to Direct Executive Control	1,176	1,201	1,258
Independently Elected Agencies			
Audit and Control, Department of	79	174	78
Subtotal - Independently Elected Agencies	79	174	78
Grand Total	1,255	1,375	1,336

Workforce Impact Summary

Agency Trust Funds 2015-16 Through 2017-18

	2015-16 Actuals (03/31/16)	2016-17 Actuals (03/31/17)	2017-18 Estimate (03/31/18)
University Systems			
City University of New York	13,343	13,244	13,166
Subtotal - University Systems	13,343	13,244	13,166
Grand Total	13,343	13,244	13,166

Workforce Impact Summary

Pension Trust Funds 2015-16 Through 2017-18

	2015-16 Actuals (03/31/16)	2016-17 Actuals (03/31/17)	2017-18 Estimate (03/31/18)
Independently Elected Agencies			
Audit and Control, Department of	957	938	977
Subtotal - Independently Elected Agencies	957	938	977
Grand Total	957	938	977

Workforce Impact Summary

Private Purpose Trust Funds 2015-16 Through 2017-18

	2015-16 Actuals (03/31/16)	2016-17 Actuals (03/31/17)	2017-18 Estimate (03/31/18)
Minor Agencies			
Agriculture and Markets, Department of	2	3	3
Subtotal - Minor Agencies	2	3	3
Subtotal - Subject to Direct Executive Control	2	3	3
Grand Total	2	3	3

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
<i>Agriculture and Markets, Department of</i>	59,962	64,846	62,673	59,819	59,819	56,830
Local Assistance	27,279	31,980	31,611	28,757	28,757	24,757
State Operations	32,683	32,866	31,062	31,062	31,062	32,073
Personal Service	25,269	27,374	26,278	26,278	26,278	27,289
Non-Personal Service	7,414	5,492	4,784	4,784	4,784	4,784
<i>Alcoholic Beverage Control, Division of</i>	0	10,843	12,683	12,683	12,744	13,060
State Operations	0	10,843	12,683	12,683	12,744	13,060
Personal Service	0	7,567	8,147	8,147	8,208	8,524
Non-Personal Service	0	3,276	4,536	4,536	4,536	4,536
<i>Economic Development, Department of</i>	63,748	73,002	71,071	71,730	71,730	71,730
Local Assistance	44,963	53,748	53,470	54,129	54,129	54,129
State Operations	18,785	19,254	17,601	17,601	17,601	17,601
Personal Service	11,974	13,152	12,826	12,826	12,826	12,826
Non-Personal Service	6,811	6,102	4,775	4,775	4,775	4,775
<i>Empire State Development Corporation</i>	58,756	122,298	123,981	132,246	132,246	137,246
Local Assistance	58,756	122,298	123,981	132,246	132,246	137,246
<i>Olympic Regional Development Authority</i>	3,011	2,736	2,536	5,736	5,736	5,736
State Operations	3,011	2,736	2,536	5,736	5,736	5,736
Personal Service	2,548	2,548	2,548	2,548	2,548	2,548
Non-Personal Service	463	188	(12)	3,188	3,188	3,188
Functional Total	185,477	273,725	272,944	282,214	282,275	284,602
PARKS AND THE ENVIRONMENT						
<i>Adirondack Park Agency</i>	4,297	4,535	4,413	4,413	4,413	4,571
State Operations	4,297	4,535	4,413	4,413	4,413	4,571
Personal Service	4,036	4,112	4,108	4,108	4,108	4,266
Non-Personal Service	261	423	305	305	305	305
<i>Environmental Conservation, Department of</i>	98,676	92,279	97,139	100,477	108,477	124,247
Local Assistance	4,262	1,906	6,911	4,618	4,618	4,618
State Operations	94,414	90,373	90,228	95,859	103,859	119,629
Personal Service	85,641	83,931	77,041	77,111	84,311	95,281
Non-Personal Service	8,773	6,442	13,187	18,748	19,548	24,348
<i>Parks, Recreation and Historic Preservation, Office of</i>	115,847	113,058	103,126	103,129	104,243	109,191
Local Assistance	4,891	4,298	1,790	750	750	750
State Operations	110,956	108,760	101,336	102,379	103,493	108,441
Personal Service	105,937	103,327	93,989	95,032	96,146	101,094
Non-Personal Service	5,019	5,433	7,347	7,347	7,347	7,347
Functional Total	218,820	209,872	204,678	208,019	217,133	238,009
TRANSPORTATION						
<i>Motor Vehicles, Department of</i>	0	0	1,600	0	0	0
Local Assistance	0	0	1,600	0	0	0
<i>Thruway Authority, New York State</i>	16,569	0	0	0	0	0
State Operations	16,569	0	0	0	0	0
Non-Personal Service	16,569	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
Transportation, Department of	112,503	107,383	115,520	102,020	102,020	102,020
Local Assistance	111,351	106,214	114,351	100,851	100,851	100,851
State Operations	1,152	1,169	1,169	1,169	1,169	1,169
Non-Personal Service	1,152	1,169	1,169	1,169	1,169	1,169
Functional Total	129,072	107,383	117,120	102,020	102,020	102,020
HEALTH						
Aging, Office for the	128,410	126,347	124,579	124,669	129,779	135,102
Local Assistance	127,134	125,116	123,348	123,438	128,548	133,828
State Operations	1,276	1,231	1,231	1,231	1,231	1,274
Personal Service	1,110	1,125	1,125	1,125	1,125	1,168
Non-Personal Service	166	106	106	106	106	106
Health, Department of	13,271,579	13,614,139	14,626,827	15,588,578	16,732,672	17,612,567
Medical Assistance	11,551,940	11,709,956	12,839,371	13,483,948	14,638,177	15,496,808
Local Assistance	11,551,940	11,709,956	12,839,371	13,483,948	14,638,177	15,496,808
Essential Plan	32,575	312,837	178,318	456,610	462,574	467,570
Local Assistance	19,215	269,000	82,000	354,940	364,878	374,730
State Operations	13,360	43,837	96,318	101,670	97,696	92,840
Personal Service	391	1,367	3,668	4,015	3,835	3,769
Non-Personal Service	12,969	42,470	92,650	97,655	93,861	89,071
Medicaid Administration	836,542	728,644	783,101	808,866	779,268	785,424
Local Assistance	565,205	467,643	437,106	418,908	387,511	387,511
State Operations	271,337	261,001	345,995	389,958	391,757	397,913
Personal Service	31,809	36,908	40,260	48,556	52,075	55,060
Non-Personal Service	239,528	224,093	305,735	341,402	339,682	342,853
Public Health	850,522	862,702	826,037	839,154	852,653	862,765
Local Assistance	713,523	730,107	705,731	720,378	733,777	740,784
State Operations	136,999	132,595	120,306	118,776	118,876	121,981
Personal Service	86,985	87,337	81,138	77,195	77,195	80,300
Non-Personal Service	50,014	45,258	39,168	41,581	41,681	41,681
Medicaid Inspector General, Office of the	20,619	20,489	18,533	18,111	18,111	18,718
State Operations	20,619	20,489	18,533	18,111	18,111	18,718
Personal Service	16,621	16,415	15,358	14,936	14,936	15,543
Non-Personal Service	3,998	4,074	3,175	3,175	3,175	3,175
Functional Total	13,420,608	13,760,975	14,769,939	15,731,358	16,880,562	17,766,387
SOCIAL WELFARE						
Children and Family Services, Office of	1,966,086	1,929,379	1,877,664	1,967,844	2,195,601	2,342,909
OCFS	1,877,164	1,847,132	1,791,292	1,867,538	2,090,539	2,228,619
Local Assistance	1,644,520	1,629,928	1,565,223	1,597,908	1,734,403	1,805,788
State Operations	232,644	217,204	226,069	269,630	356,136	422,831
Personal Service	164,764	166,257	156,386	188,064	257,269	310,896
Non-Personal Service	67,880	50,947	69,683	81,566	98,867	111,935
OCFS - Other	88,922	82,247	86,372	100,306	105,062	114,290
Local Assistance	88,922	82,247	86,372	100,306	105,062	114,290
Housing and Community Renewal, Division of	11,952	12,376	9,180	33,356	43,987	44,995
Local Assistance	4,764	4,585	4,630	28,806	39,437	40,090
State Operations	7,188	7,791	4,550	4,550	4,550	4,905
Personal Service	4,919	5,000	4,199	4,199	4,199	4,492
Non-Personal Service	2,269	2,791	351	351	351	413

CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
Human Rights, Division of	10,263	10,382	9,921	9,921	9,921	10,590
State Operations	10,263	10,382	9,921	9,921	9,921	10,590
Personal Service	9,398	9,533	9,461	9,461	9,461	10,121
Non-Personal Service	865	849	460	460	460	469
Labor, Department of	12,355	15,392	15,558	288	288	349
Local Assistance	12,149	15,081	15,270	0	0	0
State Operations	206	311	288	288	288	349
Personal Service	38	120	88	88	88	94
Non-Personal Service	168	191	200	200	200	255
National and Community Service	458	471	687	690	690	699
Local Assistance	142	267	350	350	350	350
State Operations	316	204	337	340	340	349
Personal Service	311	203	328	331	331	340
Non-Personal Service	5	1	9	9	9	9
Temporary and Disability Assistance, Office of	1,360,435	1,356,683	1,434,372	1,468,983	1,486,114	1,493,407
Welfare Assistance	1,122,359	1,130,191	1,209,734	1,239,423	1,241,423	1,241,423
Local Assistance	1,122,359	1,130,191	1,209,734	1,239,423	1,241,423	1,241,423
All Other	238,076	226,492	224,638	229,560	244,691	251,984
Local Assistance	91,073	89,812	99,474	104,396	113,196	115,996
State Operations	147,003	136,680	125,164	125,164	131,495	135,988
Personal Service	69,932	73,429	68,985	68,985	74,144	77,513
Non-Personal Service	77,071	63,251	56,179	56,179	57,351	58,475
Functional Total	3,361,549	3,324,683	3,347,382	3,481,082	3,736,601	3,892,949
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	26,276	27,098	40,156	34,853	34,853	34,853
OASAS	4,951	5,773	18,831	13,528	13,528	13,528
Local Assistance	4,951	5,773	18,831	13,528	13,528	13,528
OASAS - Other	21,325	21,325	21,325	21,325	21,325	21,325
Local Assistance	21,325	21,325	21,325	21,325	21,325	21,325
Justice Center	37,210	37,222	39,049	38,705	38,971	40,985
Local Assistance	114	200	170	170	170	170
State Operations	37,096	37,022	38,879	38,535	38,801	40,815
Personal Service	25,430	28,113	29,773	29,773	29,773	31,173
Non-Personal Service	11,666	8,909	9,106	8,762	9,028	9,642
Mental Health, Office of	285,014	277,868	269,624	300,614	311,817	323,300
OMH	4,778	7,368	800	800	800	800
Local Assistance	4,299	6,587	0	0	0	0
State Operations	479	781	800	800	800	800
Non-Personal Service	479	781	800	800	800	800

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
OMH - Other	280,236	270,500	268,824	299,814	311,017	322,500
Local Assistance	280,236	270,500	268,824	299,814	311,017	322,500
People with Developmental Disabilities, Office for	863,457	583,212	580,889	784,494	897,604	1,006,507
OPWDD	84	92	0	0	0	0
Local Assistance	84	92	0	0	0	0
OPWDD - Other	863,373	583,120	580,889	784,494	897,604	1,006,507
Local Assistance	863,373	583,120	580,889	784,494	897,604	1,006,507
Functional Total	1,211,957	925,400	929,718	1,158,666	1,283,245	1,405,645
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,297	2,431	2,651	2,651	2,651	2,773
State Operations	2,297	2,431	2,651	2,651	2,651	2,773
Personal Service	2,042	2,234	2,330	2,414	2,414	2,531
Non-Personal Service	255	197	321	237	237	242
Correctional Services, Department of	2,695,483	2,643,575	2,619,924	2,622,680	2,629,835	2,635,465
Local Assistance	4,251	4,457	5,497	5,497	5,497	5,497
State Operations	2,691,232	2,639,118	2,614,427	2,617,183	2,624,338	2,629,968
Personal Service	2,166,584	2,113,239	2,052,008	2,054,764	2,061,919	2,067,549
Non-Personal Service	524,648	525,879	562,419	562,419	562,419	562,419
Criminal Justice Services, Division of	162,498	163,222	170,000	172,830	172,830	173,794
Local Assistance	127,977	129,354	136,282	139,109	139,109	139,109
State Operations	34,521	33,868	33,718	33,721	33,721	34,685
Personal Service	24,246	26,740	25,190	25,190	25,190	26,154
Non-Personal Service	10,275	7,128	8,528	8,531	8,531	8,531
Disaster Assistance	(51,789)	0	0	0	0	0
State Operations	(51,789)	0	0	0	0	0
Personal Service	(1,768)	0	0	0	0	0
Non-Personal Service	(50,021)	0	0	0	0	0
Homeland Security and Emergency Services, Division of	(11,215)	6,640	5,042	4,972	4,972	4,972
Local Assistance	(15,533)	5,501	3,972	3,972	3,972	3,972
State Operations	4,318	1,139	1,070	1,000	1,000	1,000
Personal Service	2,072	992	1,070	1,000	1,000	1,000
Non-Personal Service	2,246	147	0	0	0	0
Judicial Conduct, Commission on	5,567	5,505	5,584	5,643	5,708	5,936
State Operations	5,567	5,505	5,584	5,643	5,708	5,936
Personal Service	4,208	4,181	4,281	4,312	4,347	4,548
Non-Personal Service	1,359	1,324	1,303	1,331	1,361	1,388
Judicial Nomination, Commission on	20	10	30	30	30	30
State Operations	20	10	30	30	30	30
Non-Personal Service	20	10	30	30	30	30
Judicial Screening Committees, New York State	14	9	38	38	38	38
State Operations	14	9	38	38	38	38
Non-Personal Service	14	9	38	38	38	38
Military and Naval Affairs, Division of	23,369	24,734	21,155	21,438	21,579	21,875
Local Assistance	805	786	820	820	820	820
State Operations	22,564	23,948	20,335	20,618	20,759	21,055
Personal Service	16,694	16,761	14,161	14,161	14,161	14,457
Non-Personal Service	5,870	7,187	6,174	6,457	6,598	6,598
State Police, Division of	652,278	652,546	669,541	648,931	648,211	673,291

CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
State Operations	652,278	652,546	669,541	648,931	648,211	673,291
Personal Service	607,551	608,046	641,682	616,232	615,482	640,532
Non-Personal Service	44,727	44,500	27,859	32,699	32,729	32,759
Statewide Financial System	30,070	30,309	30,137	30,137	30,137	30,580
State Operations	30,070	30,309	30,137	30,137	30,137	30,580
Personal Service	10,234	11,045	11,513	11,513	11,513	11,956
Non-Personal Service	19,836	19,264	18,624	18,624	18,624	18,624
Victim Services, Office of	1,870	1,201	2,788	2,788	2,788	2,788
Local Assistance	1,870	1,201	2,788	2,788	2,788	2,788
Functional Total	3,510,462	3,530,182	3,526,890	3,512,138	3,518,779	3,551,542
HIGHER EDUCATION						
City University of New York	1,430,239	1,424,632	1,469,629	1,476,363	1,512,527	1,545,366
Local Assistance	1,429,462	1,424,129	1,469,629	1,476,363	1,512,527	1,545,366
State Operations	777	503	0	0	0	0
Non-Personal Service	777	503	0	0	0	0
Higher Education Services Corporation, New York State	1,009,146	957,504	880,408	1,117,821	1,156,854	1,175,127
Local Assistance	1,009,146	957,504	880,408	1,117,821	1,156,854	1,175,127
State University of New York	551,618	492,548	481,847	486,498	486,165	486,165
Local Assistance	500,675	491,891	481,847	486,498	486,165	486,165
State Operations	9,841	657	0	0	0	0
Personal Service	1,591	0	0	0	0	0
Non-Personal Service	8,250	657	0	0	0	0
General State Charges	41,102	0	0	0	0	0
Functional Total	2,991,003	2,874,684	2,831,884	3,080,682	3,155,546	3,206,658
EDUCATION						
Arts, Council on the	41,819	42,832	45,315	45,155	45,155	45,251
Local Assistance	38,332	38,907	40,995	40,835	40,835	40,835
State Operations	3,487	3,925	4,320	4,320	4,320	4,416
Personal Service	2,253	2,491	2,498	2,498	2,498	2,594
Non-Personal Service	1,234	1,434	1,822	1,822	1,822	1,822
Education, Department of	22,253,306	23,260,901	24,607,063	25,752,788	26,928,474	28,279,901
School Aid	20,133,021	21,016,609	22,296,053	23,422,635	24,538,814	25,781,149
Local Assistance	20,133,021	21,016,609	22,296,053	23,422,635	24,538,814	25,781,149
Special Education Categorical Programs	1,316,581	1,317,359	1,335,690	1,357,580	1,444,628	1,529,918
Local Assistance	1,316,581	1,317,359	1,335,690	1,357,580	1,444,628	1,529,918
All Other	803,704	926,933	975,320	972,573	945,032	968,834
Local Assistance	758,709	868,560	912,507	911,787	886,224	909,054
State Operations	44,995	58,373	62,813	60,786	58,808	59,780
Personal Service	27,609	30,913	29,811	29,812	29,334	30,306
Non-Personal Service	17,386	27,460	33,002	30,974	29,474	29,474
Functional Total	22,295,125	23,303,733	24,652,378	25,797,943	26,973,629	28,325,152
GENERAL GOVERNMENT						
Budget, Division of the	21,079	22,118	24,422	23,895	23,895	24,749
State Operations	21,079	22,118	24,422	23,895	23,895	24,749
Personal Service	18,945	19,346	22,216	22,216	22,216	23,070
Non-Personal Service	2,134	2,772	2,206	1,679	1,679	1,679

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
<i>Civil Service, Department of</i>	12,951	12,614	12,451	12,544	12,643	13,113
State Operations	12,951	12,614	12,451	12,544	12,643	13,113
Personal Service	11,407	12,061	12,050	12,138	12,229	12,699
Non-Personal Service	1,544	553	401	406	414	414
<i>Deferred Compensation Board</i>	61	39	57	57	57	58
State Operations	61	39	57	57	57	58
Personal Service	24	29	32	32	32	33
Non-Personal Service	37	10	25	25	25	25
<i>Elections, State Board of</i>	7,697	7,084	8,482	8,587	8,697	9,033
Local Assistance	93	93	0	0	0	0
State Operations	7,604	6,991	8,482	8,587	8,697	9,033
Personal Service	5,639	5,765	5,875	6,018	6,063	6,346
Non-Personal Service	1,965	1,226	2,607	2,569	2,634	2,687
<i>Employee Relations, Office of</i>	2,247	2,334	2,581	2,601	2,621	2,719
State Operations	2,247	2,334	2,581	2,601	2,621	2,719
Personal Service	2,200	2,269	2,510	2,529	2,548	2,646
Non-Personal Service	47	65	71	72	73	73
<i>Gaming Commission, New York State</i>	5,613	5,709	6,362	6,362	6,362	6,527
State Operations	5,613	5,709	6,362	6,362	6,362	6,527
Personal Service	3,362	3,724	4,282	4,282	4,282	4,447
Non-Personal Service	2,251	1,985	2,080	2,080	2,080	2,080
<i>General Services, Office of</i>	146,202	153,855	139,889	138,089	133,989	134,451
State Operations	146,202	153,855	139,889	138,089	133,989	134,451
Personal Service	66,839	73,515	56,093	56,093	56,093	59,479
Non-Personal Service	79,363	80,340	83,796	81,996	77,896	74,972
<i>Inspector General, Office of the</i>	7,061	7,179	7,367	7,427	7,487	7,826
State Operations	7,061	7,179	7,367	7,427	7,487	7,826
Personal Service	6,230	5,833	6,552	6,600	6,648	6,970
Non-Personal Service	831	1,346	815	827	839	856
<i>Labor Management Committees</i>	24,882	28,818	25,000	25,000	25,000	25,211
State Operations	24,882	28,818	25,000	25,000	25,000	25,211
Personal Service	6,619	7,871	5,446	5,446	5,487	5,698
Non-Personal Service	18,263	20,947	19,554	19,554	19,513	19,513
<i>Prevention of Domestic Violence, Office for</i>	2,039	2,028	2,876	2,876	2,976	3,048
Local Assistance	575	528	1,285	1,285	1,385	1,385
State Operations	1,464	1,500	1,591	1,591	1,591	1,663
Personal Service	1,351	1,338	1,423	1,423	1,423	1,456
Non-Personal Service	113	162	168	168	168	207
<i>Public Employment Relations Board</i>	3,400	3,269	3,529	3,560	3,589	3,719
State Operations	3,400	3,269	3,529	3,560	3,589	3,719
Personal Service	3,207	3,056	3,336	3,363	3,388	3,518
Non-Personal Service	193	213	193	197	201	201
<i>Public Integrity, Commission on</i>	4,332	4,876	5,531	5,576	5,630	5,835
State Operations	4,332	4,876	5,531	5,576	5,630	5,835
Personal Service	3,480	3,808	4,620	4,646	4,681	4,867
Non-Personal Service	852	1,068	911	930	949	968
<i>State, Department of</i>	27,242	24,962	26,608	16,103	16,103	16,465
Local Assistance	15,063	11,701	16,945	6,440	6,440	6,440
State Operations	12,179	13,261	9,663	9,663	9,663	10,025
Personal Service	11,756	12,334	9,424	9,424	9,424	9,786
Non-Personal Service	423	927	239	239	239	239

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
<i>Tax Appeals, Division of</i>	3,035	2,833	3,040	3,040	3,040	3,150
State Operations	3,035	2,833	3,040	3,040	3,040	3,150
Personal Service	2,763	2,604	2,870	2,870	2,870	2,980
Non-Personal Service	272	229	170	170	170	170
<i>Taxation and Finance, Department of</i>	264,676	263,572	257,033	255,908	256,533	265,226
Local Assistance	914	908	926	926	926	926
State Operations	263,762	262,664	256,107	254,982	255,607	264,300
Personal Service	247,132	234,901	226,554	226,253	226,554	235,247
Non-Personal Service	16,630	27,763	29,553	28,729	29,053	29,053
<i>Technology, Office for</i>	505,949	548,366	536,032	559,582	559,582	569,995
State Operations	505,949	548,366	536,032	559,582	559,582	569,995
Personal Service	283,573	298,543	280,946	286,565	292,296	308,763
Non-Personal Service	222,376	249,823	255,086	273,017	267,286	261,232
<i>Veterans' Affairs, Division of</i>	12,922	14,291	15,560	13,873	13,873	14,119
Local Assistance	7,855	8,340	9,389	7,637	7,637	7,637
State Operations	5,067	5,951	6,171	6,236	6,236	6,482
Personal Service	4,731	5,086	5,879	5,938	5,938	6,178
Non-Personal Service	336	865	292	298	298	304
<i>Welfare Inspector General, Office of</i>	569	592	672	686	701	731
State Operations	569	592	672	686	701	731
Personal Service	511	565	617	621	626	654
Non-Personal Service	58	27	55	65	75	77
Functional Total	1,051,957	1,104,539	1,077,492	1,085,766	1,082,778	1,105,975
ELECTED OFFICIALS						
<i>Audit and Control, Department of</i>	158,450	165,774	164,355	162,404	162,404	168,091
Local Assistance	32,025	32,025	32,024	32,024	32,024	32,024
State Operations	126,425	133,749	132,331	130,380	130,380	136,067
Personal Service	101,198	103,758	104,068	102,672	102,672	107,805
Non-Personal Service	25,227	29,991	28,263	27,708	27,708	28,262
<i>Executive Chamber</i>	13,704	14,653	13,578	13,578	13,578	14,032
State Operations	13,704	14,653	13,578	13,578	13,578	14,032
Personal Service	10,669	10,746	11,113	11,113	11,113	11,567
Non-Personal Service	3,035	3,907	2,465	2,465	2,465	2,465
<i>Judiciary</i>	2,504,174	2,589,330	2,693,700	2,751,503	2,751,503	2,808,503
Local Assistance	2,451	2,443	3,000	18,000	18,000	18,000
State Operations	1,838,149	1,911,837	1,956,000	1,981,400	1,983,900	2,040,900
Personal Service	1,451,032	1,509,278	1,536,400	1,561,800	1,564,300	1,621,300
Non-Personal Service	387,117	402,559	419,600	419,600	419,600	419,600
General State Charges	663,574	675,050	734,700	752,103	749,603	749,603
<i>Law, Department of</i>	102,098	104,150	105,434	102,838	103,761	108,535
State Operations	102,098	104,150	105,434	102,838	103,761	108,535
Personal Service	89,952	92,699	92,098	89,405	90,070	94,570
Non-Personal Service	12,146	11,451	13,336	13,433	13,691	13,965
<i>Legislature</i>	214,111	224,312	224,380	217,845	217,845	218,161
State Operations	214,111	224,312	224,380	217,845	217,845	218,161
Personal Service	167,444	170,623	171,104	166,331	166,331	166,647
Non-Personal Service	46,667	53,689	53,276	51,514	51,514	51,514

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
Lieutenant Governor, Office of the	499	510	614	614	614	634
State Operations	499	510	614	614	614	634
Personal Service	336	364	523	523	523	543
Non-Personal Service	163	146	91	91	91	91
Functional Total	2,993,036	3,098,729	3,202,061	3,248,782	3,249,705	3,317,956
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	728,288	714,839	722,974	735,548	763,347	763,347
Local Assistance	728,288	714,732	722,974	735,548	763,347	763,347
State Operations	0	107	0	0	0	0
Non-Personal Service	0	107	0	0	0	0
Efficiency Incentive Grants Program	1,289	0	348	0	0	0
Local Assistance	1,289	0	348	0	0	0
Miscellaneous Financial Assistance	11,846	16,046	9,271	2,250	2,250	0
Local Assistance	11,846	16,046	9,271	2,250	2,250	0
Municipalities with VLT Facilities	29,331	29,331	28,885	28,885	28,885	28,885
Local Assistance	29,331	29,331	28,885	28,885	28,885	28,885
Small Government Assistance	217	217	218	218	218	218
Local Assistance	217	217	218	218	218	218
Functional Total	770,971	760,433	761,696	766,901	794,700	792,450
ALL OTHER CATEGORIES						
General State Charges	4,681,599	4,782,944	4,973,712	5,395,283	5,828,960	6,336,214
General State Charges	4,681,599	4,782,944	4,973,712	5,395,283	5,828,960	6,336,214
Miscellaneous	(154,896)	(69,320)	10,776	1,019,445	1,657,778	2,067,745
Local Assistance	(187,744)	(95,195)	(31,912)	556,057	944,390	1,111,057
State Operations	21,892	21,571	38,268	458,968	708,968	952,268
Personal Service	63	49	(4,944)	226,256	381,256	609,056
Non-Personal Service	21,829	21,522	43,212	232,712	327,712	343,212
General State Charges	10,956	4,304	4,420	4,420	4,420	4,420
Functional Total	4,526,703	4,713,624	4,984,488	6,414,728	7,486,738	8,403,959
TOTAL GENERAL FUND SPENDING	56,666,740	57,987,962	60,678,670	64,870,299	68,763,711	72,393,304

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	59,962	64,846	62,673	59,819	59,819	56,830
Alcoholic Beverage Control, Division of	0	10,843	12,683	12,683	12,744	13,060
Economic Development, Department of	63,748	73,002	71,071	71,730	71,730	71,730
Empire State Development Corporation	58,756	122,298	123,981	132,246	132,246	137,246
Olympic Regional Development Authority	3,011	2,736	2,536	5,736	5,736	5,736
Functional Total	185,477	273,725	272,944	282,214	282,275	284,602
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,297	4,535	4,413	4,413	4,413	4,571
Environmental Conservation, Department of	98,676	92,279	97,139	100,477	108,477	124,247
Parks, Recreation and Historic Preservation, Office of	115,847	113,058	103,126	103,129	104,243	109,191
Functional Total	218,820	209,872	204,678	208,019	217,133	238,009
TRANSPORTATION						
Motor Vehicles, Department of	0	0	1,600	0	0	0
Thruway Authority, New York State	16,569	0	0	0	0	0
Transportation, Department of	112,503	107,383	115,520	102,020	102,020	102,020
Functional Total	129,072	107,383	117,120	102,020	102,020	102,020
HEALTH						
Aging, Office for the	128,410	126,347	124,579	124,669	129,779	135,102
Health, Department of	13,271,579	13,614,139	14,626,827	15,588,578	16,732,672	17,612,567
<i>Medical Assistance</i>	11,551,940	11,709,956	12,839,371	13,483,948	14,638,177	15,496,808
<i>Essential Plan</i>	32,575	312,837	178,318	456,610	462,574	467,570
<i>Medicaid Administration</i>	836,542	728,644	783,101	808,866	779,268	785,424
<i>Public Health</i>	850,522	862,702	826,037	839,154	852,653	862,765
Medicaid Inspector General, Office of the	20,619	20,489	18,533	18,111	18,111	18,718
Functional Total	13,420,608	13,760,975	14,769,939	15,731,358	16,880,562	17,766,387
SOCIAL WELFARE						
Children and Family Services, Office of	1,966,086	1,929,379	1,877,664	1,967,844	2,195,601	2,342,909
<i>OCFS</i>	1,877,164	1,847,132	1,791,292	1,867,538	2,090,539	2,228,619
<i>OCFS - Other</i>	88,922	82,247	86,372	100,306	105,062	114,290
Housing and Community Renewal, Division of	11,952	12,376	9,180	33,356	43,987	44,995
Human Rights, Division of	10,263	10,382	9,921	9,921	9,921	10,590
Labor, Department of	12,355	15,392	15,558	288	288	349
National and Community Service	458	471	687	690	690	699
Temporary and Disability Assistance, Office of	1,360,435	1,356,683	1,434,372	1,468,983	1,486,114	1,493,407
<i>Welfare Assistance</i>	1,122,359	1,130,191	1,209,734	1,239,423	1,241,423	1,241,423
<i>All Other</i>	238,076	226,492	224,638	229,560	244,691	251,984
Functional Total	3,361,549	3,324,683	3,347,382	3,481,082	3,736,601	3,892,949
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	26,276	27,098	40,156	34,853	34,853	34,853
<i>OASAS</i>	4,951	5,773	18,831	13,528	13,528	13,528
<i>OASAS - Other</i>	21,325	21,325	21,325	21,325	21,325	21,325
Justice Center	37,210	37,222	39,049	38,705	38,971	40,985
Mental Health, Office of	285,014	277,868	269,624	300,614	311,817	323,300
<i>OMH</i>	4,778	7,368	800	800	800	800
<i>OMH - Other</i>	280,236	270,500	268,824	299,814	311,017	322,500
People with Developmental Disabilities, Office for	863,457	583,212	580,889	784,494	897,604	1,006,507
<i>OPWDD</i>	84	92	0	0	0	0
<i>OPWDD - Other</i>	863,373	583,120	580,889	784,494	897,604	1,006,507
Functional Total	1,211,957	925,400	929,718	1,158,666	1,283,245	1,405,645
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,297	2,431	2,651	2,651	2,651	2,773

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
Correctional Services, Department of	2,695,483	2,643,575	2,619,924	2,622,680	2,629,835	2,635,465
Criminal Justice Services, Division of	162,498	163,222	170,000	172,830	172,830	173,794
Disaster Assistance	(51,789)	0	0	0	0	0
Homeland Security and Emergency Services, Division of	(11,215)	6,640	5,042	4,972	4,972	4,972
Judicial Conduct, Commission on	5,567	5,505	5,584	5,643	5,708	5,936
Judicial Nomination, Commission on	20	10	30	30	30	30
Judicial Screening Committees, New York State	14	9	38	38	38	38
Military and Naval Affairs, Division of	23,369	24,734	21,155	21,438	21,579	21,875
State Police, Division of	652,278	652,546	669,541	648,931	648,211	673,291
Statewide Financial System	30,070	30,309	30,137	30,137	30,137	30,580
Victim Services, Office of	1,870	1,201	2,788	2,788	2,788	2,788
Functional Total	3,510,462	3,530,182	3,526,890	3,512,138	3,518,779	3,551,542
HIGHER EDUCATION						
City University of New York	1,430,239	1,424,632	1,469,629	1,476,363	1,512,527	1,545,366
Higher Education Services Corporation, New York State	1,009,146	957,504	880,408	1,117,821	1,156,854	1,175,127
State University of New York	551,618	492,548	481,847	486,498	486,165	486,165
Functional Total	2,991,003	2,874,684	2,831,884	3,080,682	3,155,546	3,206,658
EDUCATION						
Arts, Council on the	41,819	42,832	45,315	45,155	45,155	45,251
Education, Department of	22,253,306	23,260,901	24,607,063	25,752,788	26,928,474	28,279,901
<i>School Aid</i>	20,133,021	21,016,609	22,296,053	23,422,635	24,538,814	25,781,149
<i>Special Education Categorical Programs</i>	1,316,581	1,317,359	1,335,690	1,357,580	1,444,628	1,529,918
<i>All Other</i>	803,704	926,933	975,320	972,573	945,032	968,834
Functional Total	22,295,125	23,303,733	24,652,378	25,797,943	26,973,629	28,325,152
GENERAL GOVERNMENT						
Budget, Division of the	21,079	22,118	24,422	23,895	23,895	24,749
Civil Service, Department of	12,951	12,614	12,451	12,544	12,643	13,113
Deferred Compensation Board	61	39	57	57	57	58
Elections, State Board of	7,697	7,084	8,482	8,587	8,697	9,033
Employee Relations, Office of	2,247	2,334	2,581	2,601	2,621	2,719
Gaming Commission, New York State	5,613	5,709	6,362	6,362	6,362	6,527
General Services, Office of	146,202	153,855	139,889	138,089	133,989	134,451
Inspector General, Office of the	7,061	7,179	7,367	7,427	7,487	7,826
Labor Management Committees	24,882	28,818	25,000	25,000	25,000	25,211
Prevention of Domestic Violence, Office for	2,039	2,028	2,876	2,876	2,976	3,048
Public Employment Relations Board	3,400	3,269	3,529	3,560	3,589	3,719
Public Integrity, Commission on	4,332	4,876	5,531	5,576	5,630	5,835
State, Department of	27,242	24,962	26,608	16,103	16,103	16,465
Tax Appeals, Division of	3,035	2,833	3,040	3,040	3,040	3,150
Taxation and Finance, Department of	264,676	263,572	257,033	255,908	256,533	265,226
Technology, Office for	505,949	548,366	536,032	559,582	559,582	569,995
Veterans' Affairs, Division of	12,922	14,291	15,560	13,873	13,873	14,119
Welfare Inspector General, Office of	569	592	672	686	701	731
Functional Total	1,051,957	1,104,539	1,077,492	1,085,766	1,082,778	1,105,975
ELECTED OFFICIALS						
Audit and Control, Department of	158,450	165,774	164,355	162,404	162,404	168,091
Executive Chamber	13,704	14,653	13,578	13,578	13,578	14,032
Judiciary	2,504,174	2,589,330	2,693,700	2,751,503	2,751,503	2,808,503
Law, Department of	102,098	104,150	105,434	102,838	103,761	108,535
Legislature	214,111	224,312	224,380	217,845	217,845	218,161
Lieutenant Governor, Office of the	499	510	614	614	614	634
Functional Total	2,993,036	3,098,729	3,202,061	3,248,782	3,249,705	3,317,956
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	728,288	714,839	722,974	735,548	763,347	763,347
Efficiency Incentive Grants Program	1,289	0	348	0	0	0

CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
Miscellaneous Financial Assistance	11,846	16,046	9,271	2,250	2,250	0
Municipalities with VLT Facilities	29,331	29,331	28,885	28,885	28,885	28,885
Small Government Assistance	217	217	218	218	218	218
Functional Total	770,971	760,433	761,696	766,901	794,700	792,450
ALL OTHER CATEGORIES						
General State Charges	4,681,599	4,782,943	4,973,712	5,395,283	5,828,960	6,336,214
Miscellaneous	(154,896)	(69,320)	10,776	1,019,445	1,657,778	2,067,745
Functional Total	4,526,703	4,713,623	4,984,488	6,414,728	7,486,738	8,403,959
TOTAL GENERAL FUND SPENDING	56,666,740	57,987,961	60,678,670	64,870,299	68,763,711	72,393,304

CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
LOCAL ASSISTANCE
(thousands of dollars)

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	27,279	31,980	31,611	28,757	28,757	24,757
Economic Development, Department of	44,963	53,748	53,470	54,129	54,129	54,129
Empire State Development Corporation	58,756	122,298	123,981	132,246	132,246	137,246
Functional Total	130,998	208,026	209,062	215,132	215,132	216,132
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	4,262	1,906	6,911	4,618	4,618	4,618
Parks, Recreation and Historic Preservation, Office of	4,891	4,298	1,790	750	750	750
Functional Total	9,153	6,204	8,701	5,368	5,368	5,368
TRANSPORTATION						
Motor Vehicles, Department of	0	0	1,600	0	0	0
Transportation, Department of	111,351	106,214	114,351	100,851	100,851	100,851
Functional Total	111,351	106,214	115,951	100,851	100,851	100,851
HEALTH						
Aging, Office for the	127,134	125,116	123,348	123,438	128,548	133,828
Health, Department of	12,849,883	13,176,706	14,064,208	14,978,174	16,124,343	16,999,833
<i>Medical Assistance</i>	11,551,940	11,709,956	12,839,371	13,483,948	14,638,177	15,496,808
<i>Essential Plan</i>	19,215	269,000	82,000	354,940	364,878	374,730
<i>Medicaid Administration</i>	565,205	467,643	437,106	418,908	387,511	387,511
<i>Public Health</i>	713,523	730,107	705,731	720,378	733,777	740,784
Functional Total	12,977,017	13,301,822	14,187,556	15,101,612	16,252,891	17,133,661
SOCIAL WELFARE						
Children and Family Services, Office of	1,733,442	1,712,175	1,651,595	1,698,214	1,839,465	1,920,078
<i>OCFS</i>	1,644,520	1,629,928	1,565,223	1,597,908	1,734,403	1,805,788
<i>OCFS - Other</i>	88,922	82,247	86,372	100,306	105,062	114,290
Housing and Community Renewal, Division of	4,764	4,585	4,630	28,806	39,437	40,090
Labor, Department of	12,149	15,081	15,270	0	0	0
National and Community Service	142	267	350	350	350	350
Temporary and Disability Assistance, Office of	1,213,432	1,220,003	1,309,208	1,343,819	1,354,619	1,357,419
<i>Welfare Assistance</i>	1,122,359	1,130,191	1,209,734	1,239,423	1,241,423	1,241,423
<i>All Other</i>	91,073	89,812	99,474	104,396	113,196	115,996
Functional Total	2,963,929	2,952,111	2,981,053	3,071,189	3,233,871	3,317,937
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	26,276	27,098	40,156	34,853	34,853	34,853
<i>OASAS</i>	4,951	5,773	18,831	13,528	13,528	13,528
<i>OASAS - Other</i>	21,325	21,325	21,325	21,325	21,325	21,325
Justice Center	114	200	170	170	170	170
Mental Health, Office of	284,535	277,087	268,824	299,814	311,017	322,500
<i>OMH</i>	4,299	6,587	0	0	0	0
<i>OMH - Other</i>	280,236	270,500	268,824	299,814	311,017	322,500
People with Developmental Disabilities, Office for	863,457	583,212	580,889	784,494	897,604	1,006,507
<i>OPWDD</i>	84	92	0	0	0	0
<i>OPWDD - Other</i>	863,373	583,120	580,889	784,494	897,604	1,006,507
Functional Total	1,174,382	887,597	890,039	1,119,331	1,243,644	1,364,030
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	4,251	4,457	5,497	5,497	5,497	5,497
Criminal Justice Services, Division of	127,977	129,354	136,282	139,109	139,109	139,109
Homeland Security and Emergency Services, Division of	(15,533)	5,501	3,972	3,972	3,972	3,972
Military and Naval Affairs, Division of	805	786	820	820	820	820
Victim Services, Office of	1,870	1,201	2,788	2,788	2,788	2,788
Functional Total	119,370	141,299	149,359	152,186	152,186	152,186

CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
LOCAL ASSISTANCE
(thousands of dollars)

	<u>FY 2016</u> <u>Results</u>	<u>FY 2017</u> <u>Results</u>	<u>FY 2018</u> <u>Updated</u>	<u>FY 2019</u> <u>Projected</u>	<u>FY 2020</u> <u>Projected</u>	<u>FY 2021</u> <u>Projected</u>
HIGHER EDUCATION						
City University of New York	1,429,462	1,424,129	1,469,629	1,476,363	1,512,527	1,545,366
Higher Education Services Corporation, New York State	1,009,146	957,504	880,408	1,117,821	1,156,854	1,175,127
State University of New York	500,675	491,891	481,847	486,498	486,165	486,165
Functional Total	<u>2,939,283</u>	<u>2,873,524</u>	<u>2,831,884</u>	<u>3,080,682</u>	<u>3,155,546</u>	<u>3,206,658</u>
EDUCATION						
Arts, Council on the	38,332	38,907	40,995	40,835	40,835	40,835
Education, Department of	22,208,311	23,202,528	24,544,250	25,692,002	26,869,666	28,220,121
<i>School Aid</i>	20,133,021	21,016,609	22,296,053	23,422,635	24,538,814	25,781,149
<i>Special Education Categorical Programs</i>	1,316,581	1,317,359	1,335,690	1,357,580	1,444,628	1,529,918
<i>All Other</i>	758,709	868,560	912,507	911,787	886,224	909,054
Functional Total	<u>22,246,643</u>	<u>23,241,435</u>	<u>24,585,245</u>	<u>25,732,837</u>	<u>26,910,501</u>	<u>28,260,956</u>
GENERAL GOVERNMENT						
Elections, State Board of	93	93	0	0	0	0
Prevention of Domestic Violence, Office for	575	528	1,285	1,285	1,385	1,385
State, Department of	15,063	11,701	16,945	6,440	6,440	6,440
Taxation and Finance, Department of	914	908	926	926	926	926
Veterans' Affairs, Division of	7,855	8,340	9,389	7,637	7,637	7,637
Functional Total	<u>24,500</u>	<u>21,570</u>	<u>28,545</u>	<u>16,288</u>	<u>16,388</u>	<u>16,388</u>
ELECTED OFFICIALS						
Audit and Control, Department of	32,025	32,025	32,024	32,024	32,024	32,024
Judiciary	2,451	2,443	3,000	18,000	18,000	18,000
Functional Total	<u>34,476</u>	<u>34,468</u>	<u>35,024</u>	<u>50,024</u>	<u>50,024</u>	<u>50,024</u>
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	728,288	714,732	722,974	735,548	763,347	763,347
Efficiency Incentive Grants Program	1,289	0	348	0	0	0
Miscellaneous Financial Assistance	11,846	16,046	9,271	2,250	2,250	0
Municipalities with VLT Facilities	29,331	29,331	28,885	28,885	28,885	28,885
Small Government Assistance	217	217	218	218	218	218
Functional Total	<u>770,971</u>	<u>760,326</u>	<u>761,696</u>	<u>766,901</u>	<u>794,700</u>	<u>792,450</u>
ALL OTHER CATEGORIES						
Miscellaneous	(187,744)	(95,195)	(31,912)	556,057	944,390	1,111,057
Functional Total	<u>(187,744)</u>	<u>(95,195)</u>	<u>(31,912)</u>	<u>556,057</u>	<u>944,390</u>	<u>1,111,057</u>
TOTAL LOCAL ASSISTANCE SPENDING	<u>43,314,329</u>	<u>44,439,401</u>	<u>46,752,203</u>	<u>49,968,458</u>	<u>53,075,492</u>	<u>55,727,698</u>

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
STATE OPERATIONS
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	32,683	32,866	31,062	31,062	31,062	32,073
Alcoholic Beverage Control, Division of	0	10,843	12,683	12,683	12,744	13,060
Economic Development, Department of	18,785	19,254	17,601	17,601	17,601	17,601
Olympic Regional Development Authority	3,011	2,736	2,536	5,736	5,736	5,736
Functional Total	54,479	65,699	63,882	67,082	67,143	68,470
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,297	4,535	4,413	4,413	4,413	4,571
Environmental Conservation, Department of	94,414	90,373	90,228	95,859	103,859	119,629
Parks, Recreation and Historic Preservation, Office of	110,956	108,760	101,336	102,379	103,493	108,441
Functional Total	209,667	203,668	195,977	202,651	211,765	232,641
TRANSPORTATION						
Thruway Authority, New York State	16,569	0	0	0	0	0
Transportation, Department of	1,152	1,169	1,169	1,169	1,169	1,169
Functional Total	17,721	1,169	1,169	1,169	1,169	1,169
HEALTH						
Aging, Office for the	1,276	1,231	1,231	1,231	1,231	1,274
Health, Department of	421,696	437,433	562,619	610,404	608,329	612,734
<i>Essential Plan</i>	13,360	43,837	96,318	101,670	97,696	92,840
<i>Medicaid Administration</i>	271,337	261,001	345,995	389,958	391,757	397,913
<i>Public Health</i>	136,999	132,595	120,306	118,776	118,876	121,981
Medicaid Inspector General, Office of the	20,619	20,489	18,533	18,111	18,111	18,718
Functional Total	443,591	459,153	582,383	629,746	627,671	632,726
SOCIAL WELFARE						
Children and Family Services, Office of	232,644	217,204	226,069	269,630	356,136	422,831
<i>OCFS</i>	232,644	217,204	226,069	269,630	356,136	422,831
Housing and Community Renewal, Division of	7,188	7,791	4,550	4,550	4,550	4,905
Human Rights, Division of	10,263	10,382	9,921	9,921	9,921	10,590
Labor, Department of	206	311	288	288	288	349
National and Community Service	316	204	337	340	340	349
Temporary and Disability Assistance, Office of	147,003	136,680	125,164	125,164	131,495	135,988
<i>All Other</i>	147,003	136,680	125,164	125,164	131,495	135,988
Functional Total	397,620	372,572	366,329	409,893	502,730	575,012
MENTAL HYGIENE						
Justice Center	37,096	37,022	38,879	38,535	38,801	40,815
Mental Health, Office of	479	781	800	800	800	800
<i>OMH</i>	479	781	800	800	800	800
Functional Total	37,575	37,803	39,679	39,335	39,601	41,615
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,297	2,431	2,651	2,651	2,651	2,773
Correctional Services, Department of	2,691,232	2,639,118	2,614,427	2,617,183	2,624,338	2,629,968
Criminal Justice Services, Division of	34,521	33,868	33,718	33,721	33,721	34,685
Disaster Assistance	(51,789)	0	0	0	0	0
Homeland Security and Emergency Services, Division of	4,318	1,139	1,070	1,000	1,000	1,000
Judicial Conduct, Commission on	5,567	5,505	5,584	5,643	5,708	5,936
Judicial Nomination, Commission on	20	10	30	30	30	30
Judicial Screening Committees, New York State	14	9	38	38	38	38
Military and Naval Affairs, Division of	22,564	23,948	20,335	20,618	20,759	21,055
State Police, Division of	652,278	652,546	669,541	648,931	648,211	673,291
Statewide Financial System	30,070	30,309	30,137	30,137	30,137	30,580
Functional Total	3,391,092	3,388,883	3,377,531	3,359,952	3,366,593	3,399,356
HIGHER EDUCATION						
City University of New York	777	503	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
STATE OPERATIONS
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
State University of New York	9,841	657	0	0	0	0
Functional Total	10,618	1,160	0	0	0	0
EDUCATION						
Arts, Council on the	3,487	3,925	4,320	4,320	4,320	4,416
Education, Department of	44,995	58,373	62,813	60,786	58,808	59,780
<i>All Other</i>	44,995	58,373	62,813	60,786	58,808	59,780
Functional Total	48,482	62,298	67,133	65,106	63,128	64,196
GENERAL GOVERNMENT						
Budget, Division of the	21,079	22,118	24,422	23,895	23,895	24,749
Civil Service, Department of	12,951	12,614	12,451	12,544	12,643	13,113
Deferred Compensation Board	61	39	57	57	57	58
Elections, State Board of	7,604	6,991	8,482	8,587	8,697	9,033
Employee Relations, Office of	2,247	2,334	2,581	2,601	2,621	2,719
Gaming Commission, New York State	5,613	5,709	6,362	6,362	6,362	6,527
General Services, Office of	146,202	153,855	139,889	138,089	133,989	134,451
Inspector General, Office of the	7,061	7,179	7,367	7,427	7,487	7,826
Labor Management Committees	24,882	28,818	25,000	25,000	25,000	25,211
Prevention of Domestic Violence, Office for	1,464	1,500	1,591	1,591	1,591	1,663
Public Employment Relations Board	3,400	3,269	3,529	3,560	3,589	3,719
Public Integrity, Commission on	4,332	4,876	5,531	5,576	5,630	5,835
State, Department of	12,179	13,261	9,663	9,663	9,663	10,025
Tax Appeals, Division of	3,035	2,833	3,040	3,040	3,040	3,150
Taxation and Finance, Department of	263,762	262,664	256,107	254,982	255,607	264,300
Technology, Office for	505,949	548,366	536,032	559,582	559,582	569,995
Veterans' Affairs, Division of	5,067	5,951	6,171	6,236	6,236	6,482
Welfare Inspector General, Office of	569	592	672	686	701	731
Functional Total	1,027,457	1,082,969	1,048,947	1,069,478	1,066,390	1,089,587
ELECTED OFFICIALS						
Audit and Control, Department of	126,425	133,749	132,331	130,380	130,380	136,067
Executive Chamber	13,704	14,653	13,578	13,578	13,578	14,032
Judiciary	1,838,149	1,911,837	1,956,000	1,981,400	1,983,900	2,040,900
Law, Department of	102,098	104,150	105,434	102,838	103,761	108,535
Legislature	214,111	224,312	224,380	217,845	217,845	218,161
Lieutenant Governor, Office of the	499	510	614	614	614	634
Functional Total	2,294,986	2,389,211	2,432,337	2,446,655	2,450,078	2,518,329
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	0	107	0	0	0	0
Functional Total	0	107	0	0	0	0
ALL OTHER CATEGORIES						
Miscellaneous	21,892	21,571	38,268	458,968	708,968	952,268
Functional Total	21,892	21,571	38,268	458,968	708,968	952,268
TOTAL STATE OPERATIONS SPENDING	7,955,180	8,086,263	8,213,635	8,750,035	9,105,236	9,575,369

CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
PERSONAL SERVICE
(thousands of dollars)

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	25,269	27,374	26,278	26,278	26,278	27,289
Alcoholic Beverage Control, Division of	0	7,567	8,147	8,147	8,208	8,524
Economic Development, Department of	11,974	13,152	12,826	12,826	12,826	12,826
Olympic Regional Development Authority	2,548	2,548	2,548	2,548	2,548	2,548
Functional Total	39,791	50,641	49,799	49,799	49,860	51,187
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,036	4,112	4,108	4,108	4,108	4,266
Environmental Conservation, Department of	85,641	83,931	77,041	77,111	84,311	95,281
Parks, Recreation and Historic Preservation, Office of	105,937	103,327	93,989	95,032	96,146	101,094
Functional Total	195,614	191,370	175,138	176,251	184,565	200,641
HEALTH						
Aging, Office for the	1,110	1,125	1,125	1,125	1,125	1,168
Health, Department of	119,185	125,612	125,066	129,766	133,105	139,129
<i>Essential Plan</i>	391	1,367	3,668	4,015	3,835	3,769
<i>Medicaid Administration</i>	31,809	36,908	40,260	48,556	52,075	55,060
<i>Public Health</i>	86,985	87,337	81,138	77,195	77,195	80,300
Medicaid Inspector General, Office of the	16,621	16,415	15,358	14,936	14,936	15,543
Functional Total	136,916	143,152	141,549	145,827	149,166	155,840
SOCIAL WELFARE						
Children and Family Services, Office of	164,764	166,257	156,386	188,064	257,269	310,896
<i>OCFS</i>	164,764	166,257	156,386	188,064	257,269	310,896
Housing and Community Renewal, Division of	4,919	5,000	4,199	4,199	4,199	4,492
Human Rights, Division of	9,398	9,533	9,461	9,461	9,461	10,121
Labor, Department of	38	120	88	88	88	94
National and Community Service	311	203	328	331	331	340
Temporary and Disability Assistance, Office of	69,932	73,429	68,985	68,985	74,144	77,513
<i>All Other</i>	69,932	73,429	68,985	68,985	74,144	77,513
Functional Total	249,362	254,542	239,447	271,128	345,492	403,456
MENTAL HYGIENE						
Justice Center	25,430	28,113	29,773	29,773	29,773	31,173
Functional Total	25,430	28,113	29,773	29,773	29,773	31,173
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,042	2,234	2,330	2,414	2,414	2,531
Correctional Services, Department of	2,166,584	2,113,239	2,052,008	2,054,764	2,061,919	2,067,549
Criminal Justice Services, Division of	24,246	26,740	25,190	25,190	25,190	26,154
Disaster Assistance	(1,768)	0	0	0	0	0
Homeland Security and Emergency Services, Division of	2,072	992	1,070	1,000	1,000	1,000
Judicial Conduct, Commission on	4,208	4,181	4,281	4,312	4,347	4,548
Military and Naval Affairs, Division of	16,694	16,761	14,161	14,161	14,161	14,457
State Police, Division of	607,551	608,046	641,682	616,232	615,482	640,532
Statewide Financial System	10,234	11,045	11,513	11,513	11,513	11,956
Functional Total	2,831,863	2,783,238	2,752,235	2,729,586	2,736,026	2,768,727
HIGHER EDUCATION						
State University of New York	1,591	0	0	0	0	0
Functional Total	1,591	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
PERSONAL SERVICE
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
EDUCATION						
Arts, Council on the	2,253	2,491	2,498	2,498	2,498	2,594
Education, Department of	27,609	30,913	29,811	29,812	29,334	30,306
<i>All Other</i>	27,609	30,913	29,811	29,812	29,334	30,306
Functional Total	29,862	33,404	32,309	32,310	31,832	32,900
GENERAL GOVERNMENT						
Budget, Division of the	18,945	19,346	22,216	22,216	22,216	23,070
Civil Service, Department of	11,407	12,061	12,050	12,138	12,229	12,699
Deferred Compensation Board	24	29	32	32	32	33
Elections, State Board of	5,639	5,765	5,875	6,018	6,063	6,346
Employee Relations, Office of	2,200	2,269	2,510	2,529	2,548	2,646
Gaming Commission, New York State	3,362	3,724	4,282	4,282	4,282	4,447
General Services, Office of	66,839	73,515	56,093	56,093	56,093	59,479
Inspector General, Office of the	6,230	5,833	6,552	6,600	6,648	6,970
Labor Management Committees	6,619	7,871	5,446	5,446	5,487	5,698
Prevention of Domestic Violence, Office for	1,351	1,338	1,423	1,423	1,423	1,456
Public Employment Relations Board	3,207	3,056	3,336	3,363	3,388	3,518
Public Integrity, Commission on	3,480	3,808	4,620	4,646	4,681	4,867
State, Department of	11,756	12,334	9,424	9,424	9,424	9,786
Tax Appeals, Division of	2,763	2,604	2,870	2,870	2,870	2,980
Taxation and Finance, Department of	247,132	234,901	226,554	226,253	226,554	235,247
Technology, Office for	283,573	298,543	280,946	286,565	292,296	308,763
Veterans' Affairs, Division of	4,731	5,086	5,879	5,938	5,938	6,178
Welfare Inspector General, Office of	511	565	617	621	626	654
Functional Total	679,769	692,648	650,725	656,457	662,798	694,837
ELECTED OFFICIALS						
Audit and Control, Department of	101,198	103,758	104,068	102,672	102,672	107,805
Executive Chamber	10,669	10,746	11,113	11,113	11,113	11,567
Judiciary	1,451,032	1,509,278	1,536,400	1,561,800	1,564,300	1,621,300
Law, Department of	89,952	92,699	92,098	89,405	90,070	94,570
Legislature	167,444	170,623	171,104	166,331	166,331	166,647
Lieutenant Governor, Office of the	336	364	523	523	523	543
Functional Total	1,820,631	1,887,468	1,915,306	1,931,844	1,935,009	2,002,432
ALL OTHER CATEGORIES						
Miscellaneous	63	49	(4,944)	226,256	381,256	609,056
Functional Total	63	49	(4,944)	226,256	381,256	609,056
TOTAL PERSONAL SERVICE SPENDING	6,010,892	6,064,625	5,981,337	6,249,231	6,505,777	6,950,249

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
NON-PERSONAL SERVICE
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	7,414	5,492	4,784	4,784	4,784	4,784
Alcoholic Beverage Control, Division of	0	3,276	4,536	4,536	4,536	4,536
Economic Development, Department of	6,811	6,102	4,775	4,775	4,775	4,775
Olympic Regional Development Authority	463	188	(12)	3,188	3,188	3,188
Functional Total	14,688	15,058	14,083	17,283	17,283	17,283
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	261	423	305	305	305	305
Environmental Conservation, Department of	8,773	6,442	13,187	18,748	19,548	24,348
Parks, Recreation and Historic Preservation, Office of	5,019	5,433	7,347	7,347	7,347	7,347
Functional Total	14,053	12,298	20,839	26,400	27,200	32,000
TRANSPORTATION						
Thruway Authority, New York State	16,569	0	0	0	0	0
Transportation, Department of	1,152	1,169	1,169	1,169	1,169	1,169
Functional Total	17,721	1,169	1,169	1,169	1,169	1,169
HEALTH						
Aging, Office for the	166	106	106	106	106	106
Health, Department of	302,511	311,821	437,553	480,638	475,224	473,605
<i>Essential Plan</i>	12,969	42,470	92,650	97,655	93,861	89,071
<i>Medicaid Administration</i>	239,528	224,093	305,735	341,402	339,682	342,853
<i>Public Health</i>	50,014	45,258	39,168	41,581	41,681	41,681
Medicaid Inspector General, Office of the	3,998	4,074	3,175	3,175	3,175	3,175
Functional Total	306,675	316,001	440,834	483,919	478,505	476,886
SOCIAL WELFARE						
Children and Family Services, Office of	67,880	50,947	69,683	81,566	98,867	111,935
<i>OCFS</i>	67,880	50,947	69,683	81,566	98,867	111,935
Housing and Community Renewal, Division of	2,269	2,791	351	351	351	413
Human Rights, Division of	865	849	460	460	460	469
Labor, Department of	168	191	200	200	200	255
National and Community Service	5	1	9	9	9	9
Temporary and Disability Assistance, Office of	77,071	63,251	56,179	56,179	57,351	58,475
<i>All Other</i>	77,071	63,251	56,179	56,179	57,351	58,475
Functional Total	148,258	118,030	126,882	138,765	157,238	171,556
MENTAL HYGIENE						
Justice Center	11,666	8,909	9,106	8,762	9,028	9,642
Mental Health, Office of	479	781	800	800	800	800
<i>OMH</i>	479	781	800	800	800	800
Functional Total	12,145	9,690	9,906	9,562	9,828	10,442
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	255	197	321	237	237	242
Correctional Services, Department of	524,648	525,879	562,419	562,419	562,419	562,419
Criminal Justice Services, Division of	10,275	7,128	8,528	8,531	8,531	8,531
Disaster Assistance	(50,021)	0	0	0	0	0
Homeland Security and Emergency Services, Division of	2,246	147	0	0	0	0
Judicial Conduct, Commission on	1,359	1,324	1,303	1,331	1,361	1,388
Judicial Nomination, Commission on	20	10	30	30	30	30
Judicial Screening Committees, New York State	14	9	38	38	38	38
Military and Naval Affairs, Division of	5,870	7,187	6,174	6,457	6,598	6,598
State Police, Division of	44,727	44,500	27,859	32,699	32,729	32,759
Statewide Financial System	19,836	19,264	18,624	18,624	18,624	18,624
Functional Total	559,229	605,645	625,296	630,366	630,567	630,629

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
NON-PERSONAL SERVICE
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
HIGHER EDUCATION						
City University of New York	777	503	0	0	0	0
State University of New York	8,250	657	0	0	0	0
Functional Total	9,027	1,160	0	0	0	0
EDUCATION						
Arts, Council on the	1,234	1,434	1,822	1,822	1,822	1,822
Education, Department of	17,386	27,460	33,002	30,974	29,474	29,474
<i>All Other</i>	17,386	27,460	33,002	30,974	29,474	29,474
Functional Total	18,620	28,894	34,824	32,796	31,296	31,296
GENERAL GOVERNMENT						
Budget, Division of the	2,134	2,772	2,206	1,679	1,679	1,679
Civil Service, Department of	1,544	553	401	406	414	414
Deferred Compensation Board	37	10	25	25	25	25
Elections, State Board of	1,965	1,226	2,607	2,569	2,634	2,687
Employee Relations, Office of	47	65	71	72	73	73
Gaming Commission, New York State	2,251	1,985	2,080	2,080	2,080	2,080
General Services, Office of	79,363	80,340	83,796	81,996	77,896	74,972
Inspector General, Office of the	831	1,346	815	827	839	856
Labor Management Committees	18,263	20,947	19,554	19,554	19,513	19,513
Prevention of Domestic Violence, Office for	113	162	168	168	168	207
Public Employment Relations Board	193	213	193	197	201	201
Public Integrity, Commission on	852	1,068	911	930	949	968
State, Department of	423	927	239	239	239	239
Tax Appeals, Division of	272	229	170	170	170	170
Taxation and Finance, Department of	16,630	27,763	29,553	28,729	29,053	29,053
Technology, Office for	222,376	249,823	255,086	273,017	267,286	261,232
Veterans' Affairs, Division of	336	865	292	298	298	304
Welfare Inspector General, Office of	58	27	55	65	75	77
Functional Total	347,688	390,321	398,222	413,021	403,592	394,750
ELECTED OFFICIALS						
Audit and Control, Department of	25,227	29,991	28,263	27,708	27,708	28,262
Executive Chamber	3,035	3,907	2,465	2,465	2,465	2,465
Judiciary	387,117	402,559	419,600	419,600	419,600	419,600
Law, Department of	12,146	11,451	13,336	13,433	13,691	13,965
Legislature	46,667	53,689	53,276	51,514	51,514	51,514
Lieutenant Governor, Office of the	163	146	91	91	91	91
Functional Total	474,355	501,743	517,031	514,811	515,069	515,897
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	0	107	0	0	0	0
Functional Total	0	107	0	0	0	0
ALL OTHER CATEGORIES						
Miscellaneous	21,829	21,522	43,212	232,712	327,712	343,212
Functional Total	21,829	21,522	43,212	232,712	327,712	343,212
TOTAL NON-PERSONAL SERVICE SPENDING	1,944,288	2,021,638	2,232,298	2,500,804	2,599,459	2,625,120

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
GENERAL STATE CHARGES
(thousands of dollars)**

	<u>FY 2016 Results</u>	<u>FY 2017 Results</u>	<u>FY 2018 Updated</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>
HIGHER EDUCATION						
State University of New York	41,102	0	0	0	0	0
Functional Total	<u>41,102</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
ELECTED OFFICIALS						
Judiciary	663,574	675,050	734,700	752,103	749,603	749,603
Functional Total	<u>663,574</u>	<u>675,050</u>	<u>734,700</u>	<u>752,103</u>	<u>749,603</u>	<u>749,603</u>
ALL OTHER CATEGORIES						
General State Charges	4,681,599	4,782,943	4,973,712	5,395,283	5,828,960	6,336,214
Miscellaneous	10,956	4,304	4,420	4,420	4,420	4,420
Functional Total	<u>4,692,555</u>	<u>4,787,247</u>	<u>4,978,132</u>	<u>5,399,703</u>	<u>5,833,380</u>	<u>6,340,634</u>
TOTAL GENERAL STATE CHARGES SPENDING	<u><u>5,397,231</u></u>	<u><u>5,462,297</u></u>	<u><u>5,712,832</u></u>	<u><u>6,151,806</u></u>	<u><u>6,582,983</u></u>	<u><u>7,090,237</u></u>

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
<i>Agriculture and Markets, Department of</i>	83,541	78,233	69,846	82,900	82,974	80,261
Local Assistance	27,279	31,980	31,611	28,757	28,757	24,757
State Operations	54,745	44,534	36,449	52,252	52,257	53,376
Personal Service	27,923	30,523	29,183	29,238	29,243	30,358
Non-Personal Service/Indirect Costs	26,822	14,011	7,266	23,014	23,014	23,018
General State Charges	1,517	1,719	1,786	1,891	1,960	2,128
<i>Alcoholic Beverage Control, Division of</i>	17,277	11,248	12,683	12,683	12,744	13,060
State Operations	12,501	11,127	12,683	12,683	12,744	13,060
Personal Service	7,622	7,742	8,147	8,147	8,208	8,524
Non-Personal Service/Indirect Costs	4,879	3,385	4,536	4,536	4,536	4,536
General State Charges	4,776	121	0	0	0	0
<i>Economic Development, Department of</i>	82,617	75,181	78,049	78,708	78,708	78,708
Local Assistance	62,153	53,998	58,470	59,129	59,129	59,129
State Operations	20,464	21,183	19,551	19,551	19,551	19,551
Personal Service	11,974	13,152	12,929	12,929	12,929	12,929
Non-Personal Service/Indirect Costs	8,490	8,031	6,622	6,622	6,622	6,622
General State Charges	0	0	28	28	28	28
<i>Empire State Development Corporation</i>	58,756	122,298	123,981	132,246	132,246	137,246
Local Assistance	58,756	122,298	123,981	132,246	132,246	137,246
<i>Energy Research and Development Authority</i>	3,808	0	0	0	0	0
Local Assistance	1,842	0	0	0	0	0
State Operations	1,431	0	0	0	0	0
Personal Service	1,024	0	0	0	0	0
Non-Personal Service/Indirect Costs	407	0	0	0	0	0
General State Charges	535	0	0	0	0	0
<i>Financial Services, Department of</i>	360,052	362,563	358,144	363,477	371,252	389,935
Local Assistance	80,686	66,703	57,988	59,430	59,412	59,406
State Operations	201,930	209,208	206,743	206,985	210,945	217,190
Personal Service	149,000	151,522	151,883	151,928	152,663	158,612
Non-Personal Service/Indirect Costs	52,930	57,686	54,860	55,057	58,282	58,578
General State Charges	77,436	86,652	93,413	97,062	100,895	113,339
<i>Olympic Regional Development Authority</i>	3,111	2,736	2,686	5,886	5,886	5,886
State Operations	3,091	2,736	2,686	5,886	5,886	5,886
Personal Service	2,593	2,548	2,548	2,548	2,548	2,548
Non-Personal Service/Indirect Costs	498	188	138	3,338	3,338	3,338
General State Charges	20	0	0	0	0	0
<i>Public Service Department</i>	68,475	75,529	76,535	76,529	77,450	80,679
Local Assistance	0	0	155	155	155	155
State Operations	49,483	50,006	49,558	49,613	49,613	51,226
Personal Service	40,858	41,485	41,886	41,886	41,886	43,497
Non-Personal Service/Indirect Costs	8,625	8,521	7,672	7,727	7,727	7,729
General State Charges	18,992	25,523	26,822	26,761	27,682	29,298
Functional Total	677,637	727,788	721,924	752,429	761,260	785,775
PARKS AND THE ENVIRONMENT						
<i>Adirondack Park Agency</i>	4,297	4,535	4,413	4,413	4,413	4,571
State Operations	4,297	4,535	4,413	4,413	4,413	4,571
Personal Service	4,036	4,112	4,108	4,108	4,108	4,266
Non-Personal Service/Indirect Costs	261	423	305	305	305	305
<i>Environmental Conservation, Department of</i>	287,360	274,904	269,574	269,775	267,625	276,629
Local Assistance	4,262	2,105	6,911	4,618	4,618	4,618

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
State Operations	237,912	225,085	210,955	211,271	211,970	220,206
Personal Service	181,598	176,058	170,209	170,560	170,677	178,987
Non-Personal Service/Indirect Costs	56,314	49,027	40,746	40,711	41,293	41,219
General State Charges	45,186	47,714	51,708	53,886	51,037	51,805
<i>Parks, Recreation and Historic Preservation, Office of</i>	195,155	191,925	177,687	177,887	179,207	185,678
Local Assistance	9,645	7,963	7,240	6,200	6,200	6,200
State Operations	180,898	178,446	167,303	168,530	169,842	176,165
Personal Service	135,656	135,346	123,597	124,824	126,135	132,456
Non-Personal Service/Indirect Costs	45,242	43,100	43,706	43,706	43,707	43,709
General State Charges	2,863	2,972	3,144	3,157	3,165	3,313
Capital Projects	1,749	2,544	0	0	0	0
Functional Total	486,812	471,364	451,674	452,075	451,245	466,878
TRANSPORTATION						
<i>Motor Vehicles, Department of</i>	83,762	70,033	74,387	73,925	74,728	77,365
Local Assistance	0	0	1,600	0	0	0
State Operations	59,397	49,560	50,565	50,619	50,619	51,411
Personal Service	43,684	35,655	36,479	36,479	36,479	37,249
Non-Personal Service/Indirect Costs	15,713	13,905	14,086	14,140	14,140	14,162
General State Charges	24,365	20,473	22,222	23,306	24,109	25,954
<i>Thruway Authority, New York State</i>	16,569	0	0	0	0	0
State Operations	16,569	0	0	0	0	0
Non-Personal Service/Indirect Costs	16,569	0	0	0	0	0
<i>Transportation, Department of</i>	4,767,454	5,002,277	5,035,811	5,090,148	5,198,593	5,262,579
Local Assistance	4,745,228	4,977,299	5,021,674	5,076,781	5,185,150	5,248,776
State Operations	18,588	21,228	11,442	11,157	11,157	11,293
Personal Service	6,407	6,497	3,413	3,459	3,459	3,591
Non-Personal Service/Indirect Costs	12,181	14,731	8,029	7,698	7,698	7,702
General State Charges	3,638	3,750	2,695	2,210	2,286	2,510
Functional Total	4,867,785	5,072,310	5,110,198	5,164,073	5,273,321	5,339,944
HEALTH						
<i>Aging, Office for the</i>	128,410	126,347	124,580	124,670	129,780	135,103
Local Assistance	127,134	125,116	123,348	123,438	128,548	133,828
State Operations	1,276	1,231	1,232	1,232	1,232	1,275
Personal Service	1,110	1,125	1,125	1,125	1,125	1,168
Non-Personal Service/Indirect Costs	166	106	107	107	107	107
<i>Health, Department of</i>	19,823,519	20,477,016	21,349,956	22,279,602	23,441,744	24,366,177
Medical Assistance	16,868,571	17,505,933	18,463,271	19,031,653	20,082,258	20,855,980
Local Assistance	16,868,571	17,505,933	18,463,271	19,031,653	20,082,258	20,855,980
Essential Plan	32,575	312,837	178,318	456,610	462,574	467,570
Local Assistance	19,215	269,000	82,000	354,940	364,878	374,730
State Operations	13,360	43,837	96,318	101,670	97,696	92,840
Personal Service	391	1,367	3,668	4,015	3,835	3,769
Non-Personal Service/Indirect Costs	12,969	42,470	92,650	97,655	93,861	89,071
Medicaid Administration	836,542	728,644	783,101	808,866	779,268	785,424
Local Assistance	565,205	467,643	437,106	418,908	387,511	387,511
State Operations	271,337	261,001	345,995	389,958	391,757	397,913
Personal Service	31,809	36,908	40,260	48,556	52,075	55,060
Non-Personal Service/Indirect Costs	239,528	224,093	305,735	341,402	339,682	342,853
Public Health	2,085,831	1,929,602	1,925,266	1,982,473	2,117,644	2,257,203

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
Local Assistance	1,647,316	1,515,383	1,524,352	1,577,519	1,707,668	1,839,108
State Operations	406,943	383,676	369,384	373,082	376,975	383,351
Personal Service	229,651	223,681	212,302	209,070	209,171	212,761
Non-Personal Service/Indirect Costs	177,292	159,995	157,082	164,012	167,804	170,590
General State Charges	31,572	30,543	31,530	31,872	33,001	34,744
Medicaid Inspector General, Office of the	20,619	20,489	18,533	18,111	18,111	18,718
State Operations	20,619	20,489	18,533	18,111	18,111	18,718
Personal Service	16,621	16,415	15,358	14,936	14,936	15,543
Non-Personal Service/Indirect Costs	3,998	4,074	3,175	3,175	3,175	3,175
Functional Total	19,972,548	20,623,852	21,493,069	22,422,383	23,589,635	24,519,998
SOCIAL WELFARE						
Children and Family Services, Office of	2,001,389	1,966,820	1,901,252	1,992,071	2,219,858	2,367,636
OCFS	1,912,467	1,884,573	1,814,880	1,891,765	2,114,796	2,253,346
Local Assistance	1,646,887	1,633,092	1,568,805	1,601,490	1,737,985	1,809,370
State Operations	262,651	249,825	244,352	288,511	375,017	442,152
Personal Service	167,328	169,024	159,708	191,418	260,623	314,379
Non-Personal Service/Indirect Costs	95,323	80,801	84,644	97,093	114,394	127,773
General State Charges	2,929	1,656	1,723	1,764	1,794	1,824
OCFS - Other	88,922	82,247	86,372	100,306	105,062	114,290
Local Assistance	88,922	82,247	86,372	100,306	105,062	114,290
Housing and Community Renewal, Division of	71,365	60,118	69,205	89,308	99,939	103,844
Local Assistance	5,059	4,370	5,482	29,658	40,289	40,942
State Operations	50,330	50,299	49,246	49,246	49,246	52,498
Personal Service	38,788	42,730	40,403	40,403	40,403	43,225
Non-Personal Service/Indirect Costs	11,542	7,569	8,843	8,843	8,843	9,273
General State Charges	15,976	5,449	14,477	10,404	10,404	10,404
Human Rights, Division of	10,263	10,382	9,921	9,921	9,921	10,590
State Operations	10,263	10,382	9,921	9,921	9,921	10,590
Personal Service	9,398	9,533	9,461	9,461	9,461	10,121
Non-Personal Service/Indirect Costs	865	849	460	460	460	469
Labor, Department of	72,790	82,569	82,426	67,156	67,156	69,893
Local Assistance	12,207	15,403	15,420	150	150	150
State Operations	46,543	47,669	46,519	46,519	46,519	49,256
Personal Service	32,557	34,150	32,618	32,618	32,618	34,864
Non-Personal Service/Indirect Costs	13,986	13,519	13,901	13,901	13,901	14,392
General State Charges	14,040	19,497	20,487	20,487	20,487	20,487
National and Community Service	458	471	687	690	690	699
Local Assistance	142	267	350	350	350	350
State Operations	316	204	337	340	340	349
Personal Service	311	203	328	331	331	340
Non-Personal Service/Indirect Costs	5	1	9	9	9	9
Temporary and Disability Assistance, Office of	1,360,670	1,357,751	1,435,700	1,470,811	1,487,942	1,495,235
Welfare Assistance	1,122,359	1,130,191	1,209,734	1,239,423	1,241,423	1,241,423
Local Assistance	1,122,359	1,130,191	1,209,734	1,239,423	1,241,423	1,241,423
All Other	238,311	227,560	225,966	231,388	246,519	253,812
Local Assistance	91,162	89,812	99,474	104,396	113,196	115,996
State Operations	147,079	137,626	126,364	126,864	133,195	137,688
Personal Service	69,932	73,429	68,985	68,985	74,144	77,513
Non-Personal Service/Indirect Costs	77,147	64,197	57,379	57,879	59,051	60,175

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
General State Charges	70	122	128	128	128	128
Functional Total	3,516,935	3,478,111	3,499,191	3,629,957	3,885,506	4,047,897
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	419,184	420,226	443,911	463,810	488,592	505,680
OASAS	332,361	330,886	360,957	379,145	402,616	417,502
Local Assistance	285,592	282,770	312,641	329,898	352,210	365,440
State Operations	33,651	34,313	31,155	31,829	32,318	33,128
Personal Service	19,602	19,701	23,287	23,746	23,997	24,627
Non-Personal Service/Indirect Costs	14,049	14,612	7,868	8,083	8,321	8,501
General State Charges	13,118	13,803	17,161	17,418	18,088	18,934
OASAS - Other	86,823	89,340	82,954	84,665	85,976	88,178
Local Assistance	21,325	21,325	21,325	21,325	21,325	21,325
State Operations	46,573	48,092	42,499	42,974	43,576	44,727
Personal Service	34,515	34,856	31,621	31,776	32,090	32,961
Non-Personal Service/Indirect Costs	12,058	13,236	10,878	11,198	11,486	11,766
General State Charges	18,925	19,923	19,130	20,366	21,075	22,126
Justice Center	39,478	39,719	41,745	41,480	41,765	43,779
Local Assistance	544	679	649	649	649	649
State Operations	38,304	38,190	40,203	39,860	40,127	42,141
Personal Service	26,608	29,240	31,060	31,060	31,060	32,460
Non-Personal Service/Indirect Costs	11,696	8,950	9,143	8,800	9,067	9,681
General State Charges	630	850	893	971	989	989
Mental Health, Office of	3,165,602	3,152,278	3,152,562	3,357,262	3,483,949	3,557,963
OMH	1,366,837	1,457,079	1,460,365	1,633,412	1,717,236	1,757,614
Local Assistance	862,255	916,016	935,845	1,095,393	1,169,451	1,209,829
State Operations	354,871	348,833	348,185	352,542	356,947	356,947
Personal Service	289,883	288,507	299,096	302,088	305,124	305,124
Non-Personal Service/Indirect Costs	64,988	60,326	49,089	50,454	51,823	51,823
General State Charges	149,711	192,230	176,335	185,477	190,838	190,838
OMH - Other	1,798,765	1,695,199	1,692,197	1,723,850	1,766,713	1,800,349
Local Assistance	280,236	270,500	268,824	299,814	311,017	322,500
State Operations	1,062,786	995,433	983,172	966,593	981,897	1,004,050
Personal Service	833,717	784,057	754,672	735,243	746,094	762,281
Non-Personal Service/Indirect Costs	229,069	211,376	228,500	231,350	235,803	241,769
General State Charges	455,743	429,266	440,201	457,443	473,799	473,799
Mental Hygiene, Department of	219	227	0	0	0	0
State Operations	219	227	0	0	0	0
Non-Personal Service/Indirect Costs	219	227	0	0	0	0
People with Developmental Disabilities, Office for	3,183,985	2,903,369	2,921,879	3,161,237	3,367,205	3,559,218
OPWDD	331,163	384,881	366,665	405,212	459,808	497,074
Local Assistance	331,146	384,818	366,484	405,031	459,627	496,893
State Operations	17	63	181	181	181	181
Non-Personal Service/Indirect Costs	17	63	181	181	181	181
OPWDD - Other	2,852,822	2,518,488	2,555,214	2,756,025	2,907,397	3,062,144
Local Assistance	864,528	583,954	580,889	784,494	897,604	1,006,507
State Operations	1,367,609	1,297,334	1,299,552	1,294,044	1,308,647	1,327,104
Personal Service	1,151,790	1,092,002	1,090,604	1,084,782	1,094,674	1,108,191
Non-Personal Service/Indirect Costs	215,819	205,332	208,948	209,262	213,973	218,913
General State Charges	620,685	637,200	674,773	677,487	701,146	728,533
Functional Total	6,808,468	6,515,819	6,560,097	7,023,789	7,381,511	7,666,640

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,297	2,431	2,651	2,651	2,651	2,773
State Operations	2,297	2,431	2,651	2,651	2,651	2,773
Personal Service	2,042	2,234	2,330	2,414	2,414	2,531
Non-Personal Service/Indirect Costs	255	197	321	237	237	242
Correctional Services, Department of	2,696,856	2,645,220	2,622,876	2,625,632	2,632,787	2,638,417
Local Assistance	4,251	4,457	5,497	5,497	5,497	5,497
State Operations	2,692,513	2,640,662	2,617,273	2,620,029	2,627,184	2,632,814
Personal Service	2,166,752	2,113,404	2,052,227	2,054,983	2,062,138	2,067,768
Non-Personal Service/Indirect Costs	525,761	527,258	565,046	565,046	565,046	565,046
General State Charges	92	101	106	106	106	106
Criminal Justice Services, Division of	198,307	191,089	197,486	195,374	195,374	196,349
Local Assistance	162,227	155,863	158,511	156,546	156,546	156,546
State Operations	36,039	35,193	38,887	38,793	38,793	39,768
Personal Service	24,588	26,998	25,504	25,582	25,582	26,557
Non-Personal Service/Indirect Costs	11,451	8,195	13,383	13,211	13,211	13,211
General State Charges	41	33	88	35	35	35
Disaster Assistance	(51,789)	0	0	0	0	0
State Operations	(51,789)	0	0	0	0	0
Personal Service	(1,768)	0	0	0	0	0
Non-Personal Service/Indirect Costs	(50,021)	0	0	0	0	0
Homeland Security and Emergency Services, Division of	50,307	70,123	64,058	95,469	130,825	129,773
Local Assistance	18,169	43,427	32,733	59,039	93,773	91,542
State Operations	31,631	26,030	30,626	35,621	36,235	37,396
Personal Service	13,037	16,748	18,383	22,583	23,302	24,458
Non-Personal Service/Indirect Costs	18,594	9,282	12,243	13,038	12,933	12,938
General State Charges	507	666	699	809	817	835
Indigent Legal Services, Office of	60,116	63,641	99,781	165,528	189,621	213,781
Local Assistance	58,068	60,503	95,465	160,672	184,765	208,859
State Operations	1,449	2,171	3,300	3,300	3,300	3,366
Personal Service	1,211	1,678	2,520	2,520	2,520	2,586
Non-Personal Service/Indirect Costs	238	493	780	780	780	780
General State Charges	599	967	1,016	1,556	1,556	1,556
Judicial Conduct, Commission on	5,567	5,505	5,584	5,643	5,708	5,936
State Operations	5,567	5,505	5,584	5,643	5,708	5,936
Personal Service	4,208	4,181	4,281	4,312	4,347	4,548
Non-Personal Service/Indirect Costs	1,359	1,324	1,303	1,331	1,361	1,388
Judicial Nomination, Commission on	20	10	30	30	30	30
State Operations	20	10	30	30	30	30
Non-Personal Service/Indirect Costs	20	10	30	30	30	30
Judicial Screening Committees, New York State	14	9	38	38	38	38
State Operations	14	9	38	38	38	38
Non-Personal Service/Indirect Costs	14	9	38	38	38	38
Military and Naval Affairs, Division of	26,849	27,594	22,505	22,788	22,929	23,230
Local Assistance	805	786	820	820	820	820
State Operations	26,051	26,799	21,676	21,959	22,100	22,401
Personal Service	17,129	17,164	14,295	14,295	14,295	14,596
Non-Personal Service/Indirect Costs	8,922	9,635	7,381	7,664	7,805	7,805
General State Charges	(7)	9	9	9	9	9
State Police, Division of	695,750	738,493	715,854	690,323	689,713	715,505
State Operations	693,311	720,382	713,033	687,423	686,703	712,152
Personal Service	618,908	649,718	653,489	628,039	627,289	652,679

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
Non-Personal Service/Indirect Costs	74,403	70,664	59,544	59,384	59,414	59,473
General State Charges	2,439	18,111	2,821	2,900	3,010	3,353
Statewide Financial System	30,070	30,309	30,137	30,137	30,137	30,580
State Operations	30,070	30,309	30,137	30,137	30,137	30,580
Personal Service	10,234	11,045	11,513	11,513	11,513	11,956
Non-Personal Service/Indirect Costs	19,836	19,264	18,624	18,624	18,624	18,624
Victim Services, Office of	24,469	28,996	41,067	41,067	41,067	41,184
Local Assistance	19,401	23,748	35,466	35,466	35,466	35,466
State Operations	3,533	3,678	3,951	3,951	3,951	4,068
Personal Service	3,154	3,145	3,176	3,176	3,176	3,293
Non-Personal Service/Indirect Costs	379	533	775	775	775	775
General State Charges	1,535	1,570	1,650	1,650	1,650	1,650
Functional Total	3,738,833	3,803,420	3,802,067	3,874,680	3,940,880	3,997,596
HIGHER EDUCATION						
City University of New York	1,524,823	1,526,003	1,584,553	1,574,714	1,612,367	1,646,723
Local Assistance	1,429,462	1,424,129	1,469,629	1,476,363	1,512,527	1,545,366
State Operations	87,469	94,213	107,032	90,459	91,948	93,465
Personal Service	46,870	48,975	68,996	47,755	48,390	49,035
Non-Personal Service/Indirect Costs	40,599	45,238	38,036	42,704	43,558	44,430
General State Charges	7,892	7,661	7,892	7,892	7,892	7,892
Higher Education - Miscellaneous	259	529	441	441	441	441
State Operations	198	386	291	291	291	291
Personal Service	133	283	198	198	198	198
Non-Personal Service/Indirect Costs	65	103	93	93	93	93
General State Charges	61	143	150	150	150	150
Higher Education Services Corporation, New York State	1,077,526	1,014,320	936,418	1,173,831	1,212,864	1,231,137
Local Assistance	1,025,146	957,504	880,408	1,117,821	1,156,854	1,175,127
State Operations	43,763	48,292	47,052	47,052	47,052	47,052
Personal Service	15,253	14,475	13,314	13,314	13,314	13,314
Non-Personal Service/Indirect Costs	28,510	33,817	33,738	33,738	33,738	33,738
General State Charges	8,617	8,524	8,958	8,958	8,958	8,958
State University of New York	6,778,626	6,914,895	6,958,384	7,142,211	7,327,627	7,668,773
Local Assistance	500,675	491,891	481,847	486,498	486,165	486,165
State Operations	5,866,320	6,002,721	6,029,755	6,181,306	6,337,438	6,642,045
Personal Service	3,628,296	3,766,633	3,793,669	3,915,644	4,041,694	4,315,699
Non-Personal Service/Indirect Costs	2,238,024	2,236,088	2,236,086	2,265,662	2,295,744	2,326,346
General State Charges	411,631	420,283	446,782	474,407	504,024	540,563
Functional Total	9,381,234	9,455,747	9,479,796	9,891,197	10,153,299	10,547,074
EDUCATION						
Arts, Council on the	41,819	42,832	45,413	45,253	45,253	45,349
Local Assistance	38,332	38,907	41,093	40,933	40,933	40,933
State Operations	3,487	3,925	4,320	4,320	4,320	4,416
Personal Service	2,253	2,491	2,498	2,498	2,498	2,594
Non-Personal Service/Indirect Costs	1,234	1,434	1,822	1,822	1,822	1,822
Education, Department of	28,884,200	29,854,304	30,812,645	31,772,485	32,921,124	34,266,264
School Aid	23,302,030	24,350,703	25,738,401	26,788,135	27,943,314	29,188,649
Local Assistance	23,302,030	24,350,703	25,738,401	26,788,135	27,943,314	29,188,649
STAR Property Tax Relief	3,334,700	3,139,051	2,629,997	2,519,559	2,452,538	2,437,295
Local Assistance	3,334,700	3,139,051	2,629,997	2,519,559	2,452,538	2,437,295

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
Special Education Categorical Programs	1,316,581	1,317,359	1,335,690	1,357,580	1,444,628	1,529,918
Local Assistance	1,316,581	1,317,359	1,335,690	1,357,580	1,444,628	1,529,918
All Other	930,889	1,047,191	1,108,557	1,107,211	1,080,644	1,110,402
Local Assistance	768,228	875,873	925,540	924,820	899,257	922,087
State Operations	130,640	139,176	146,737	144,928	142,766	146,034
Personal Service	85,429	86,602	88,089	88,090	87,484	90,693
Non-Personal Service/Indirect Costs	45,211	52,574	58,648	56,838	55,282	55,341
General State Charges	32,021	32,142	36,280	37,463	38,621	42,281
Functional Total	28,926,019	29,897,136	30,858,058	31,817,738	32,966,377	34,311,613
GENERAL GOVERNMENT						
Budget, Division of the	24,227	25,335	31,123	30,596	30,596	31,603
State Operations	23,396	24,559	29,466	28,939	28,939	29,886
Personal Service	20,436	20,619	24,567	24,567	24,567	25,511
Non-Personal Service/Indirect Costs	2,960	3,940	4,899	4,372	4,372	4,375
General State Charges	831	776	1,657	1,657	1,657	1,717
Civil Service, Department of	13,424	13,379	13,410	13,560	13,680	14,189
State Operations	13,277	13,295	13,205	13,331	13,441	13,926
Personal Service	11,662	12,212	12,384	12,497	12,591	13,075
Non-Personal Service/Indirect Costs	1,615	1,083	821	834	850	851
General State Charges	147	84	205	229	239	263
Deferred Compensation Board	524	613	846	846	853	854
State Operations	377	418	641	641	648	649
Personal Service	304	368	410	410	413	414
Non-Personal Service/Indirect Costs	73	50	231	231	235	235
General State Charges	147	195	205	205	205	205
Elections, State Board of	7,918	7,188	8,482	8,587	8,697	9,033
Local Assistance	93	93	0	0	0	0
State Operations	7,825	7,095	8,482	8,587	8,697	9,033
Personal Service	5,639	5,765	5,875	6,018	6,063	6,346
Non-Personal Service/Indirect Costs	2,186	1,330	2,607	2,569	2,634	2,687
Employee Relations, Office of	2,247	2,334	2,581	2,601	2,621	2,719
State Operations	2,247	2,334	2,581	2,601	2,621	2,719
Personal Service	2,200	2,269	2,510	2,529	2,548	2,646
Non-Personal Service/Indirect Costs	47	65	71	72	73	73
Gaming Commission, New York State	251,593	253,064	224,003	245,033	244,803	247,220
Local Assistance	91,881	101,820	95,700	131,730	131,500	131,500
State Operations	146,817	138,467	110,728	95,728	95,728	97,255
Personal Service	31,549	32,822	38,757	38,757	38,757	40,248
Non-Personal Service/Indirect Costs	115,268	105,645	71,971	56,971	56,971	57,007
General State Charges	12,895	12,777	17,575	17,575	17,575	18,465
General Services, Office of	159,528	161,219	144,398	142,727	138,646	139,273
State Operations	156,939	160,812	143,871	142,179	138,079	138,646
Personal Service	71,454	77,580	56,951	56,951	56,951	60,376
Non-Personal Service/Indirect Costs	85,485	83,232	86,920	85,228	81,128	78,270
General State Charges	2,589	407	527	548	567	627
Inspector General, Office of the	7,061	7,179	7,367	7,427	7,487	7,826
State Operations	7,061	7,179	7,367	7,427	7,487	7,826
Personal Service	6,230	5,833	6,552	6,600	6,648	6,970
Non-Personal Service/Indirect Costs	831	1,346	815	827	839	856
Labor Management Committees	24,882	28,818	25,300	25,300	25,306	25,517
State Operations	24,882	28,818	25,300	25,300	25,306	25,517

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
Personal Service	6,619	7,871	5,446	5,446	5,487	5,698
Non-Personal Service/Indirect Costs	18,263	20,947	19,854	19,854	19,819	19,819
<i>Prevention of Domestic Violence, Office for</i>	2,039	2,028	2,881	2,881	2,981	3,053
Local Assistance	575	528	1,285	1,285	1,385	1,385
State Operations	1,464	1,500	1,596	1,596	1,596	1,668
Personal Service	1,351	1,338	1,423	1,423	1,423	1,456
Non-Personal Service/Indirect Costs	113	162	173	173	173	212
<i>Public Employment Relations Board</i>	3,433	3,281	3,573	3,604	3,634	3,764
State Operations	3,433	3,281	3,573	3,604	3,634	3,764
Personal Service	3,207	3,056	3,336	3,363	3,388	3,518
Non-Personal Service/Indirect Costs	226	225	237	241	246	246
<i>Public Integrity, Commission on</i>	4,332	4,876	5,531	5,576	5,630	5,835
State Operations	4,332	4,876	5,531	5,576	5,630	5,835
Personal Service	3,480	3,808	4,620	4,646	4,681	4,867
Non-Personal Service/Indirect Costs	852	1,068	911	930	949	968
<i>State, Department of</i>	67,405	69,942	71,642	61,597	62,010	64,339
Local Assistance	15,701	12,643	17,884	7,379	7,379	7,379
State Operations	43,332	47,676	42,130	42,153	42,154	43,266
Personal Service	28,886	29,588	28,337	28,337	28,337	29,425
Non-Personal Service/Indirect Costs	14,446	18,088	13,793	13,816	13,817	13,841
General State Charges	8,372	9,623	11,628	12,065	12,477	13,694
<i>Tax Appeals, Division of</i>	3,035	2,833	3,040	3,040	3,040	3,150
State Operations	3,035	2,833	3,040	3,040	3,040	3,150
Personal Service	2,763	2,604	2,870	2,870	2,870	2,980
Non-Personal Service/Indirect Costs	272	229	170	170	170	170
<i>Taxation and Finance, Department of</i>	354,747	357,907	358,640	358,315	358,140	369,667
Local Assistance	914	1,080	2,726	2,726	2,726	2,726
State Operations	335,774	331,810	329,624	329,132	329,124	339,531
Personal Service	292,613	284,526	269,907	269,907	269,907	280,269
Non-Personal Service/Indirect Costs	43,161	47,284	59,717	59,225	59,217	59,262
General State Charges	18,059	25,017	26,290	26,457	26,290	27,410
<i>Technology, Office for</i>	505,949	548,366	536,032	559,582	559,582	569,995
State Operations	505,949	548,366	536,032	559,582	559,582	569,995
Personal Service	283,573	298,543	280,946	286,565	292,296	308,763
Non-Personal Service/Indirect Costs	222,376	249,823	255,086	273,017	267,286	261,232
<i>Veterans' Affairs, Division of</i>	12,922	14,291	15,560	13,873	13,873	14,119
Local Assistance	7,855	8,340	9,389	7,637	7,637	7,637
State Operations	5,067	5,951	6,171	6,236	6,236	6,482
Personal Service	4,731	5,086	5,879	5,938	5,938	6,178
Non-Personal Service/Indirect Costs	336	865	292	298	298	304
<i>Welfare Inspector General, Office of</i>	569	592	672	686	701	731
State Operations	569	592	672	686	701	731
Personal Service	511	565	617	621	626	654
Non-Personal Service/Indirect Costs	58	27	55	65	75	77
<i>Workers' Compensation Board</i>	183,210	187,641	193,016	196,792	198,595	208,376
State Operations	139,016	138,722	141,607	143,390	145,193	150,513
Personal Service	78,723	82,632	84,378	81,493	82,104	86,209
Non-Personal Service/Indirect Costs	60,293	56,090	57,229	61,897	63,089	64,304
General State Charges	44,194	48,919	51,409	53,402	53,402	57,863
Functional Total	1,629,045	1,690,886	1,648,097	1,682,623	1,680,875	1,721,263

ELECTED OFFICIALS

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
Audit and Control, Department of	174,200	182,905	183,148	181,070	181,253	187,628
Local Assistance	32,025	32,025	32,024	32,024	32,024	32,024
State Operations	140,681	149,275	149,094	146,661	146,844	153,187
Personal Service	111,792	115,964	115,350	113,609	113,687	119,369
Non-Personal Service/Indirect Costs	28,889	33,311	33,744	33,052	33,157	33,818
General State Charges	1,494	1,605	2,030	2,385	2,385	2,417
Executive Chamber	13,704	14,653	13,578	13,578	13,578	14,032
State Operations	13,704	14,653	13,578	13,578	13,578	14,032
Personal Service	10,669	10,746	11,113	11,113	11,113	11,567
Non-Personal Service/Indirect Costs	3,035	3,907	2,465	2,465	2,465	2,465
Judiciary	2,758,587	2,822,160	2,938,497	2,996,306	2,996,305	3,053,306
Local Assistance	114,655	101,099	108,497	123,503	123,502	123,503
State Operations	1,958,631	2,018,899	2,065,700	2,091,100	2,093,600	2,150,600
Personal Service	1,509,384	1,568,100	1,595,200	1,620,600	1,623,100	1,680,100
Non-Personal Service/Indirect Costs	449,247	450,799	470,500	470,500	470,500	470,500
General State Charges	685,301	702,162	764,300	781,703	779,203	779,203
Law, Department of	185,419	184,901	193,308	194,641	197,364	205,560
State Operations	168,775	170,170	175,579	174,387	176,833	183,996
Personal Service	119,099	120,219	121,274	119,097	120,450	126,506
Non-Personal Service/Indirect Costs	49,676	49,951	54,305	55,290	56,383	57,490
General State Charges	16,644	14,731	17,729	20,254	20,531	21,564
Legislature	215,580	225,893	225,980	218,795	218,795	219,111
State Operations	215,580	225,893	225,980	218,795	218,795	219,111
Personal Service	167,444	170,623	171,104	166,331	166,331	166,647
Non-Personal Service/Indirect Costs	48,136	55,270	54,876	52,464	52,464	52,464
Lieutenant Governor, Office of the	499	510	614	614	614	634
State Operations	499	510	614	614	614	634
Personal Service	336	364	523	523	523	543
Non-Personal Service/Indirect Costs	163	146	91	91	91	91
Functional Total	3,347,989	3,431,022	3,555,125	3,605,004	3,607,909	3,680,271
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	728,288	714,839	722,974	735,548	763,347	763,347
Local Assistance	728,288	714,732	722,974	735,548	763,347	763,347
State Operations	0	107	0	0	0	0
Non-Personal Service/Indirect Costs	0	107	0	0	0	0
Efficiency Incentive Grants Program	1,289	0	348	0	0	0
Local Assistance	1,289	0	348	0	0	0
Miscellaneous Financial Assistance	11,846	16,046	9,271	2,250	2,250	0
Local Assistance	11,846	16,046	9,271	2,250	2,250	0
Municipalities with VLT Facilities	29,331	29,331	28,885	28,885	28,885	28,885
Local Assistance	29,331	29,331	28,885	28,885	28,885	28,885
Small Government Assistance	217	217	218	218	218	218
Local Assistance	217	217	218	218	218	218
Functional Total	770,971	760,433	761,696	766,901	794,700	792,450
ALL OTHER CATEGORIES						
General State Charges	4,681,599	4,782,944	4,973,712	5,395,283	5,828,960	6,336,214
General State Charges	4,681,599	4,782,944	4,973,712	5,395,283	5,828,960	6,336,214
Long-Term Debt Service	5,635,102	5,552,429	5,325,137	6,360,430	7,054,457	7,307,597

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)

	<u>FY 2016 Results</u>	<u>FY 2017 Results</u>	<u>FY 2018 Updated</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>
State Operations	36,616	38,647	36,852	50,389	50,389	50,389
Non-Personal Service/Indirect Costs	36,616	38,647	36,852	50,389	50,389	50,389
Debt Service	5,598,486	5,513,782	5,288,285	6,310,041	7,004,068	7,257,208
Miscellaneous	(152,484)	(64,162)	(135,834)	(66,471)	188,378	320,146
Local Assistance	(189,144)	(94,357)	(219,243)	(244,943)	(105,110)	(88,443)
State Operations	24,621	24,783	77,825	172,841	287,857	402,940
Personal Service	1,982	1,939	33,053	128,058	243,063	358,144
Non-Personal Service/Indirect Costs	22,639	22,844	44,772	44,783	44,794	44,796
General State Charges	12,039	5,412	5,584	5,631	5,631	5,649
Functional Total	10,164,217	10,271,211	10,163,015	11,689,242	13,071,795	13,963,957
TOTAL STATE OPERATING FUNDS SPENDING	94,288,493	96,199,099	98,104,007	102,772,091	107,558,313	111,841,356

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	83,541	78,233	69,846	82,900	82,974	80,261
Alcoholic Beverage Control, Division of	17,277	11,248	12,683	12,683	12,744	13,060
Economic Development, Department of	82,617	75,181	78,049	78,708	78,708	78,708
Empire State Development Corporation	58,756	122,298	123,981	132,246	132,246	137,246
Energy Research and Development Authority	3,808	0	0	0	0	0
Financial Services, Department of	360,052	362,563	358,144	363,477	371,252	389,935
Olympic Regional Development Authority	3,111	2,736	2,686	5,886	5,886	5,886
Public Service Department	68,475	75,529	76,535	76,529	77,450	80,679
Functional Total	677,637	727,788	721,924	752,429	761,260	785,775
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,297	4,535	4,413	4,413	4,413	4,571
Environmental Conservation, Department of	287,360	274,904	269,574	269,775	267,625	276,629
Parks, Recreation and Historic Preservation, Office of	195,155	191,925	177,687	177,887	179,207	185,678
Functional Total	486,812	471,364	451,674	452,075	451,245	466,878
TRANSPORTATION						
Motor Vehicles, Department of	83,762	70,033	74,387	73,925	74,728	77,365
Thruway Authority, New York State	16,569	0	0	0	0	0
Transportation, Department of	4,767,454	5,002,277	5,035,811	5,090,148	5,198,593	5,262,579
Functional Total	4,867,785	5,072,310	5,110,198	5,164,073	5,273,321	5,339,944
HEALTH						
Aging, Office for the	128,410	126,347	124,580	124,670	129,780	135,103
Health, Department of	19,823,519	20,477,016	21,349,956	22,279,602	23,441,744	24,366,177
<i>Medical Assistance</i>	16,868,571	17,505,933	18,463,271	19,031,653	20,082,258	20,855,980
<i>Essential Plan</i>	32,575	312,837	178,318	456,610	462,574	467,570
<i>Medicaid Administration</i>	836,542	728,644	783,101	808,866	779,268	785,424
<i>Public Health</i>	2,085,831	1,929,602	1,925,266	1,982,473	2,117,644	2,257,203
Medicaid Inspector General, Office of the	20,619	20,489	18,533	18,111	18,111	18,718
Functional Total	19,972,548	20,623,852	21,493,069	22,422,383	23,589,635	24,519,998
SOCIAL WELFARE						
Children and Family Services, Office of	2,001,389	1,966,820	1,901,252	1,992,071	2,219,858	2,367,636
<i>OCFS</i>	1,912,467	1,884,573	1,814,880	1,891,765	2,114,796	2,253,346
<i>OCFS - Other</i>	88,922	82,247	86,372	100,306	105,062	114,290
Housing and Community Renewal, Division of	71,365	60,118	69,205	89,308	99,939	103,844
Human Rights, Division of	10,263	10,382	9,921	9,921	9,921	10,590
Labor, Department of	72,790	82,569	82,426	67,156	67,156	69,893
National and Community Service	458	471	687	690	690	699
Temporary and Disability Assistance, Office of	1,360,670	1,357,751	1,435,700	1,470,811	1,487,942	1,495,235
<i>Welfare Assistance</i>	1,122,359	1,130,191	1,209,734	1,239,423	1,241,423	1,241,423
<i>All Other</i>	238,311	227,560	225,966	231,388	246,519	253,812
Functional Total	3,516,935	3,478,111	3,499,191	3,629,957	3,885,506	4,047,897
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	419,184	420,226	443,911	463,810	488,592	505,680
<i>OASAS</i>	332,361	330,886	360,957	379,145	402,616	417,502
<i>OASAS - Other</i>	86,823	89,340	82,954	84,665	85,976	88,178
Justice Center	39,478	39,719	41,745	41,480	41,765	43,779
Mental Health, Office of	3,165,602	3,152,278	3,152,562	3,357,262	3,483,949	3,557,963
<i>OMH</i>	1,366,837	1,457,079	1,460,365	1,633,412	1,717,236	1,757,614
<i>OMH - Other</i>	1,798,765	1,695,199	1,692,197	1,723,850	1,766,713	1,800,349
Mental Hygiene, Department of	219	227	0	0	0	0
People with Developmental Disabilities, Office for	3,183,985	2,903,369	2,921,879	3,161,237	3,367,205	3,559,218
<i>OPWDD</i>	331,163	384,881	366,665	405,212	459,808	497,074
<i>OPWDD - Other</i>	2,852,822	2,518,488	2,555,214	2,756,025	2,907,397	3,062,144
Functional Total	6,808,468	6,515,819	6,560,097	7,023,789	7,381,511	7,666,640

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,297	2,431	2,651	2,651	2,651	2,773
Correctional Services, Department of	2,696,856	2,645,220	2,622,876	2,625,632	2,632,787	2,638,417
Criminal Justice Services, Division of	198,307	191,089	197,486	195,374	195,374	196,349
Disaster Assistance	(51,789)	0	0	0	0	0
Homeland Security and Emergency Services, Division of	50,307	70,123	64,058	95,469	130,825	129,773
Indigent Legal Services, Office of	60,116	63,641	99,781	165,528	189,621	213,781
Judicial Conduct, Commission on	5,567	5,505	5,584	5,643	5,708	5,936
Judicial Nomination, Commission on	20	10	30	30	30	30
Judicial Screening Committees, New York State	14	9	38	38	38	38
Military and Naval Affairs, Division of	26,849	27,594	22,505	22,788	22,929	23,230
State Police, Division of	695,750	738,493	715,854	690,323	689,713	715,505
Statewide Financial System	30,070	30,309	30,137	30,137	30,137	30,580
Victim Services, Office of	24,469	28,996	41,067	41,067	41,067	41,184
Functional Total	3,738,833	3,803,420	3,802,067	3,874,680	3,940,880	3,997,596
HIGHER EDUCATION						
City University of New York	1,524,823	1,526,003	1,584,553	1,574,714	1,612,367	1,646,723
Higher Education - Miscellaneous	259	529	441	441	441	441
Higher Education Services Corporation, New York State	1,077,526	1,014,320	936,418	1,173,831	1,212,864	1,231,137
State University of New York	6,778,626	6,914,894	6,958,384	7,142,211	7,327,627	7,668,773
Functional Total	9,381,234	9,455,746	9,479,796	9,891,197	10,153,299	10,547,074
EDUCATION						
Arts, Council on the	41,819	42,832	45,413	45,253	45,253	45,349
Education, Department of	28,884,200	29,854,304	30,812,645	31,772,485	32,921,124	34,266,264
<i>School Aid</i>	23,302,030	24,350,703	25,738,401	26,788,135	27,943,314	29,188,649
<i>STAR Property Tax Relief</i>	3,334,700	3,139,051	2,629,997	2,519,559	2,452,538	2,437,295
<i>Special Education Categorical Programs</i>	1,316,581	1,317,359	1,335,690	1,357,580	1,444,628	1,529,918
<i>All Other</i>	930,889	1,047,191	1,108,557	1,107,211	1,080,644	1,110,402
Functional Total	28,926,019	29,897,136	30,858,058	31,817,738	32,966,377	34,311,613
GENERAL GOVERNMENT						
Budget, Division of the	24,227	25,335	31,123	30,596	30,596	31,603
Civil Service, Department of	13,424	13,379	13,410	13,560	13,680	14,189
Deferred Compensation Board	524	613	846	846	853	854
Elections, State Board of	7,918	7,188	8,482	8,587	8,697	9,033
Employee Relations, Office of	2,247	2,334	2,581	2,601	2,621	2,719
Gaming Commission, New York State	251,593	253,064	224,003	245,033	244,803	247,220
General Services, Office of	159,528	161,219	144,398	142,727	138,646	139,273
Inspector General, Office of the	7,061	7,179	7,367	7,427	7,487	7,826
Labor Management Committees	24,882	28,818	25,300	25,300	25,306	25,517
Prevention of Domestic Violence, Office for	2,039	2,028	2,881	2,881	2,981	3,053
Public Employment Relations Board	3,433	3,281	3,573	3,604	3,634	3,764
Public Integrity, Commission on	4,332	4,876	5,531	5,576	5,630	5,835
State, Department of	67,405	69,942	71,642	61,597	62,010	64,339
Tax Appeals, Division of	3,035	2,833	3,040	3,040	3,040	3,150
Taxation and Finance, Department of	354,747	357,907	358,640	358,315	358,140	369,667
Technology, Office for	505,949	548,366	536,032	559,582	559,582	569,995
Veterans' Affairs, Division of	12,922	14,291	15,560	13,873	13,873	14,119
Welfare Inspector General, Office of	569	592	672	686	701	731
Workers' Compensation Board	183,210	187,641	193,016	196,792	198,595	208,376
Functional Total	1,629,045	1,690,886	1,648,097	1,682,623	1,680,875	1,721,263
ELECTED OFFICIALS						
Audit and Control, Department of	174,200	182,905	183,148	181,070	181,253	187,628
Executive Chamber	13,704	14,653	13,578	13,578	13,578	14,032
Judiciary	2,758,586	2,822,161	2,938,497	2,996,306	2,996,305	3,053,306
Law, Department of	185,419	184,901	193,308	194,641	197,364	205,560
Legislature	215,580	225,893	225,980	218,795	218,795	219,111

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	<u>FY 2016 Results</u>	<u>FY 2017 Results</u>	<u>FY 2018 Updated</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>
Lieutenant Governor, Office of the	499	510	614	614	614	634
Functional Total	<u>3,347,988</u>	<u>3,431,023</u>	<u>3,555,125</u>	<u>3,605,004</u>	<u>3,607,909</u>	<u>3,680,271</u>
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	728,288	714,839	722,974	735,548	763,347	763,347
Efficiency Incentive Grants Program	1,289	0	348	0	0	0
Miscellaneous Financial Assistance	11,846	16,046	9,271	2,250	2,250	0
Municipalities with VLT Facilities	29,331	29,331	28,885	28,885	28,885	28,885
Small Government Assistance	217	217	218	218	218	218
Functional Total	<u>770,971</u>	<u>760,433</u>	<u>761,696</u>	<u>766,901</u>	<u>794,700</u>	<u>792,450</u>
ALL OTHER CATEGORIES						
General State Charges	4,681,599	4,782,943	4,973,712	5,395,283	5,828,960	6,336,214
Long-Term Debt Service	5,635,102	5,552,429	5,325,137	6,360,430	7,054,457	7,307,597
Miscellaneous	(152,484)	(64,162)	(135,834)	(66,471)	188,378	320,146
Functional Total	<u>10,164,217</u>	<u>10,271,210</u>	<u>10,163,015</u>	<u>11,689,242</u>	<u>13,071,795</u>	<u>13,963,957</u>
TOTAL STATE OPERATING FUNDS SPENDING	<u><u>94,288,492</u></u>	<u><u>96,199,098</u></u>	<u><u>98,104,007</u></u>	<u><u>102,772,091</u></u>	<u><u>107,558,313</u></u>	<u><u>111,841,356</u></u>

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
LOCAL ASSISTANCE
(thousands of dollars)

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	27,279	31,980	31,611	28,757	28,757	24,757
Economic Development, Department of	62,153	53,998	58,470	59,129	59,129	59,129
Empire State Development Corporation	58,756	122,298	123,981	132,246	132,246	137,246
Energy Research and Development Authority	1,842	0	0	0	0	0
Financial Services, Department of	80,686	66,703	57,988	59,430	59,412	59,406
Public Service Department	0	0	155	155	155	155
Functional Total	230,716	274,979	272,205	279,717	279,699	280,693
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	4,262	2,105	6,911	4,618	4,618	4,618
Parks, Recreation and Historic Preservation, Office of	9,645	7,963	7,240	6,200	6,200	6,200
Functional Total	13,907	10,068	14,151	10,818	10,818	10,818
TRANSPORTATION						
Motor Vehicles, Department of	0	0	1,600	0	0	0
Transportation, Department of	4,745,228	4,977,299	5,021,674	5,076,781	5,185,150	5,248,776
Functional Total	4,745,228	4,977,299	5,023,274	5,076,781	5,185,150	5,248,776
HEALTH						
Aging, Office for the	127,134	125,116	123,348	123,438	128,548	133,828
Health, Department of	19,100,307	19,757,959	20,506,729	21,383,020	22,542,315	23,457,329
<i>Medical Assistance</i>	16,868,571	17,505,933	18,463,271	19,031,653	20,082,258	20,855,980
<i>Essential Plan</i>	19,215	269,000	82,000	354,940	364,878	374,730
<i>Medicaid Administration</i>	565,205	467,643	437,106	418,908	387,511	387,511
<i>Public Health</i>	1,647,316	1,515,383	1,524,352	1,577,519	1,707,668	1,839,108
Functional Total	19,227,441	19,883,075	20,630,077	21,506,458	22,670,863	23,591,157
SOCIAL WELFARE						
Children and Family Services, Office of	1,735,809	1,715,339	1,655,177	1,701,796	1,843,047	1,923,660
<i>OCFS</i>	1,646,887	1,633,092	1,568,805	1,601,490	1,737,985	1,809,370
<i>OCFS - Other</i>	88,922	82,247	86,372	100,306	105,062	114,290
Housing and Community Renewal, Division of	5,059	4,370	5,482	29,658	40,289	40,942
Labor, Department of	12,207	15,403	15,420	150	150	150
National and Community Service	142	267	350	350	350	350
Temporary and Disability Assistance, Office of	1,213,521	1,220,003	1,309,208	1,343,819	1,354,619	1,357,419
<i>Welfare Assistance</i>	1,122,359	1,130,191	1,209,734	1,239,423	1,241,423	1,241,423
<i>All Other</i>	91,162	89,812	99,474	104,396	113,196	115,996
Functional Total	2,966,738	2,955,382	2,985,637	3,075,773	3,238,455	3,322,521
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	306,917	304,095	333,966	351,223	373,535	386,765
<i>OASAS</i>	285,592	282,770	312,641	329,898	352,210	365,440
<i>OASAS - Other</i>	21,325	21,325	21,325	21,325	21,325	21,325
Justice Center	544	679	649	649	649	649
Mental Health, Office of	1,142,491	1,186,516	1,204,669	1,395,207	1,480,468	1,532,329
<i>OMH</i>	862,255	916,016	935,845	1,095,393	1,169,451	1,209,829
<i>OMH - Other</i>	280,236	270,500	268,824	299,814	311,017	322,500
People with Developmental Disabilities, Office for	1,195,674	968,772	947,373	1,189,525	1,357,231	1,503,400
<i>OPWDD</i>	331,146	384,818	366,484	405,031	459,627	496,893
<i>OPWDD - Other</i>	864,528	583,954	580,889	784,494	897,604	1,006,507
Functional Total	2,645,626	2,460,062	2,486,657	2,936,604	3,211,883	3,423,143
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	4,251	4,457	5,497	5,497	5,497	5,497
Criminal Justice Services, Division of	162,227	155,863	158,511	156,546	156,546	156,546
Homeland Security and Emergency Services, Division of	18,169	43,427	32,733	59,039	93,773	91,542
Indigent Legal Services, Office of	58,068	60,503	95,465	160,672	184,765	208,859

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
LOCAL ASSISTANCE
(thousands of dollars)

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
Military and Naval Affairs, Division of	805	786	820	820	820	820
Victim Services, Office of	19,401	23,748	35,466	35,466	35,466	35,466
Functional Total	262,921	288,784	328,492	418,040	476,867	498,730
HIGHER EDUCATION						
City University of New York	1,429,462	1,424,129	1,469,629	1,476,363	1,512,527	1,545,366
Higher Education Services Corporation, New York State	1,025,146	957,504	880,408	1,117,821	1,156,854	1,175,127
State University of New York	500,675	491,891	481,847	486,498	486,165	486,165
Functional Total	2,955,283	2,873,524	2,831,884	3,080,682	3,155,546	3,206,658
EDUCATION						
Arts, Council on the	38,332	38,907	41,093	40,933	40,933	40,933
Education, Department of	28,721,539	29,682,986	30,629,628	31,590,094	32,739,737	34,077,949
<i>School Aid</i>	23,302,030	24,350,703	25,738,401	26,788,135	27,943,314	29,188,649
<i>STAR Property Tax Relief</i>	3,334,700	3,139,051	2,629,997	2,519,559	2,452,538	2,437,295
<i>Special Education Categorical Programs</i>	1,316,581	1,317,359	1,335,690	1,357,580	1,444,628	1,529,918
<i>All Other</i>	768,228	875,873	925,540	924,820	899,257	922,087
Functional Total	28,759,871	29,721,893	30,670,721	31,631,027	32,780,670	34,118,882
GENERAL GOVERNMENT						
Elections, State Board of	93	93	0	0	0	0
Gaming Commission, New York State	91,881	101,820	95,700	131,730	131,500	131,500
Prevention of Domestic Violence, Office for	575	528	1,285	1,285	1,385	1,385
State, Department of	15,701	12,643	17,884	7,379	7,379	7,379
Taxation and Finance, Department of	914	1,080	2,726	2,726	2,726	2,726
Veterans' Affairs, Division of	7,855	8,340	9,389	7,637	7,637	7,637
Functional Total	117,019	124,504	126,984	150,757	150,627	150,627
ELECTED OFFICIALS						
Audit and Control, Department of	32,025	32,025	32,024	32,024	32,024	32,024
Judiciary	114,655	101,099	108,497	123,503	123,502	123,503
Functional Total	146,680	133,124	140,521	155,527	155,526	155,527
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	728,288	714,732	722,974	735,548	763,347	763,347
Efficiency Incentive Grants Program	1,289	0	348	0	0	0
Miscellaneous Financial Assistance	11,846	16,046	9,271	2,250	2,250	0
Municipalities with VLT Facilities	29,331	29,331	28,885	28,885	28,885	28,885
Small Government Assistance	217	217	218	218	218	218
Functional Total	770,971	760,326	761,696	766,901	794,700	792,450
ALL OTHER CATEGORIES						
Miscellaneous	(189,144)	(94,357)	(219,243)	(244,943)	(105,110)	(88,443)
Functional Total	(189,144)	(94,357)	(219,243)	(244,943)	(105,110)	(88,443)
TOTAL LOCAL ASSISTANCE SPENDING	62,653,257	64,368,663	66,053,056	68,844,142	72,005,694	74,711,539

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
STATE OPERATIONS
(thousands of dollars)

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	54,745	44,534	36,449	52,252	52,257	53,376
Alcoholic Beverage Control, Division of	12,501	11,127	12,683	12,683	12,744	13,060
Economic Development, Department of	20,464	21,183	19,551	19,551	19,551	19,551
Energy Research and Development Authority	1,431	0	0	0	0	0
Financial Services, Department of	201,930	209,208	206,743	206,985	210,945	217,190
Olympic Regional Development Authority	3,091	2,736	2,686	5,886	5,886	5,886
Public Service Department	49,483	50,006	49,558	49,613	49,613	51,226
Functional Total	343,645	338,794	327,670	346,970	350,996	360,289
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,297	4,535	4,413	4,413	4,413	4,571
Environmental Conservation, Department of	237,912	225,085	210,955	211,271	211,970	220,206
Parks, Recreation and Historic Preservation, Office of	180,898	178,446	167,303	168,530	169,842	176,165
Functional Total	423,107	408,066	382,671	384,214	386,225	400,942
TRANSPORTATION						
Motor Vehicles, Department of	59,397	49,560	50,565	50,619	50,619	51,411
Thruway Authority, New York State	16,569	0	0	0	0	0
Transportation, Department of	18,588	21,228	11,442	11,157	11,157	11,293
Functional Total	94,554	70,788	62,007	61,776	61,776	62,704
HEALTH						
Aging, Office for the	1,276	1,231	1,232	1,232	1,232	1,275
Health, Department of	691,640	688,514	811,697	864,710	866,428	874,104
<i>Essential Plan</i>	13,360	43,837	96,318	101,670	97,696	92,840
<i>Medicaid Administration</i>	271,337	261,001	345,995	389,958	391,757	397,913
<i>Public Health</i>	406,943	383,676	369,384	373,082	376,975	383,351
Medicaid Inspector General, Office of the	20,619	20,489	18,533	18,111	18,111	18,718
Functional Total	713,535	710,234	831,462	884,053	885,771	894,097
SOCIAL WELFARE						
Children and Family Services, Office of	262,651	249,825	244,352	288,511	375,017	442,152
<i>OCFS</i>	262,651	249,825	244,352	288,511	375,017	442,152
Housing and Community Renewal, Division of	50,330	50,299	49,246	49,246	49,246	52,498
Human Rights, Division of	10,263	10,382	9,921	9,921	9,921	10,590
Labor, Department of	46,543	47,669	46,519	46,519	46,519	49,256
National and Community Service	316	204	337	340	340	349
Temporary and Disability Assistance, Office of	147,079	137,626	126,364	126,864	133,195	137,688
<i>All Other</i>	147,079	137,626	126,364	126,864	133,195	137,688
Functional Total	517,182	496,005	476,739	521,401	614,238	692,533
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	80,224	82,405	73,654	74,803	75,894	77,855
<i>OASAS</i>	33,651	34,313	31,155	31,829	32,318	33,128
<i>OASAS - Other</i>	46,573	48,092	42,499	42,974	43,576	44,727
Justice Center	38,304	38,190	40,203	39,860	40,127	42,141
Mental Health, Office of	1,417,657	1,344,266	1,331,357	1,319,135	1,338,844	1,360,997
<i>OMH</i>	354,871	348,833	348,185	352,542	356,947	356,947
<i>OMH - Other</i>	1,062,786	995,433	983,172	966,593	981,897	1,004,050
Mental Hygiene, Department of	219	227	0	0	0	0
People with Developmental Disabilities, Office for	1,367,626	1,297,397	1,299,733	1,294,225	1,308,828	1,327,285
<i>OPWDD</i>	17	63	181	181	181	181
<i>OPWDD - Other</i>	1,367,609	1,297,334	1,299,552	1,294,044	1,308,647	1,327,104
Functional Total	2,904,030	2,762,485	2,744,947	2,728,023	2,763,693	2,808,278
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,297	2,431	2,651	2,651	2,651	2,773

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
STATE OPERATIONS
(thousands of dollars)

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
Correctional Services, Department of	2,692,513	2,640,662	2,617,273	2,620,029	2,627,184	2,632,814
Criminal Justice Services, Division of	36,039	35,193	38,887	38,793	38,793	39,768
Disaster Assistance	(51,789)	0	0	0	0	0
Homeland Security and Emergency Services, Division of	31,631	26,030	30,626	35,621	36,235	37,396
Indigent Legal Services, Office of	1,449	2,171	3,300	3,300	3,300	3,366
Judicial Conduct, Commission on	5,567	5,505	5,584	5,643	5,708	5,936
Judicial Nomination, Commission on	20	10	30	30	30	30
Judicial Screening Committees, New York State	14	9	38	38	38	38
Military and Naval Affairs, Division of	26,051	26,799	21,676	21,959	22,100	22,401
State Police, Division of	693,311	720,382	713,033	687,423	686,703	712,152
Statewide Financial System	30,070	30,309	30,137	30,137	30,137	30,580
Victim Services, Office of	3,533	3,678	3,951	3,951	3,951	4,068
Functional Total	3,470,706	3,493,179	3,467,186	3,449,575	3,456,830	3,491,322
HIGHER EDUCATION						
City University of New York	87,469	94,213	107,032	90,459	91,948	93,465
Higher Education - Miscellaneous	198	386	291	291	291	291
Higher Education Services Corporation, New York State	43,763	48,292	47,052	47,052	47,052	47,052
State University of New York	5,866,320	6,002,720	6,029,755	6,181,306	6,337,438	6,642,045
Functional Total	5,997,750	6,145,611	6,184,130	6,319,108	6,476,729	6,782,853
EDUCATION						
Arts, Council on the	3,487	3,925	4,320	4,320	4,320	4,416
Education, Department of	130,640	139,176	146,737	144,928	142,766	146,034
<i>All Other</i>	130,640	139,176	146,737	144,928	142,766	146,034
Functional Total	134,127	143,101	151,057	149,248	147,086	150,450
GENERAL GOVERNMENT						
Budget, Division of the	23,396	24,559	29,466	28,939	28,939	29,886
Civil Service, Department of	13,277	13,295	13,205	13,331	13,441	13,926
Deferred Compensation Board	377	418	641	641	648	649
Elections, State Board of	7,825	7,095	8,482	8,587	8,697	9,033
Employee Relations, Office of	2,247	2,334	2,581	2,601	2,621	2,719
Gaming Commission, New York State	146,817	138,467	110,728	95,728	95,728	97,255
General Services, Office of	156,939	160,812	143,871	142,179	138,079	138,646
Inspector General, Office of the	7,061	7,179	7,367	7,427	7,487	7,826
Labor Management Committees	24,882	28,818	25,300	25,300	25,306	25,517
Prevention of Domestic Violence, Office for	1,464	1,500	1,596	1,596	1,596	1,668
Public Employment Relations Board	3,433	3,281	3,573	3,604	3,634	3,764
Public Integrity, Commission on	4,332	4,876	5,531	5,576	5,630	5,835
State, Department of	43,332	47,676	42,130	42,153	42,154	43,266
Tax Appeals, Division of	3,035	2,833	3,040	3,040	3,040	3,150
Taxation and Finance, Department of	335,774	331,810	329,624	329,132	329,124	339,531
Technology, Office for	505,949	548,366	536,032	559,582	559,582	569,995
Veterans' Affairs, Division of	5,067	5,951	6,171	6,236	6,236	6,482
Welfare Inspector General, Office of	569	592	672	686	701	731
Workers' Compensation Board	139,016	138,722	141,607	143,390	145,193	150,513
Functional Total	1,424,792	1,468,584	1,411,617	1,419,728	1,417,836	1,450,392
ELECTED OFFICIALS						
Audit and Control, Department of	140,681	149,275	149,094	146,661	146,844	153,187
Executive Chamber	13,704	14,653	13,578	13,578	13,578	14,032
Judiciary	1,958,631	2,018,899	2,065,700	2,091,100	2,093,600	2,150,600
Law, Department of	168,775	170,170	175,579	174,387	176,833	183,996
Legislature	215,580	225,893	225,980	218,795	218,795	219,111
Lieutenant Governor, Office of the	499	510	614	614	614	634
Functional Total	2,497,870	2,579,400	2,630,545	2,645,135	2,650,264	2,721,560
LOCAL GOVERNMENT ASSISTANCE						

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
STATE OPERATIONS
(thousands of dollars)

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
Aid and Incentives for Municipalities	0	107	0	0	0	0
Functional Total	<u>0</u>	<u>107</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
ALL OTHER CATEGORIES						
Long-Term Debt Service	36,616	38,647	36,852	50,389	50,389	50,389
Miscellaneous	24,621	24,783	77,825	172,841	287,857	402,940
Functional Total	<u>61,237</u>	<u>63,430</u>	<u>114,677</u>	<u>223,230</u>	<u>338,246</u>	<u>453,329</u>
TOTAL STATE OPERATIONS SPENDING	<u>18,582,535</u>	<u>18,679,784</u>	<u>18,784,708</u>	<u>19,132,461</u>	<u>19,549,690</u>	<u>20,268,749</u>

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
PERSONAL SERVICE
(thousands of dollars)

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	27,923	30,523	29,183	29,238	29,243	30,358
Alcoholic Beverage Control, Division of	7,622	7,742	8,147	8,147	8,208	8,524
Economic Development, Department of	11,974	13,152	12,929	12,929	12,929	12,929
Energy Research and Development Authority	1,024	0	0	0	0	0
Financial Services, Department of	149,000	151,522	151,883	151,928	152,663	158,612
Olympic Regional Development Authority	2,593	2,548	2,548	2,548	2,548	2,548
Public Service Department	40,858	41,485	41,886	41,886	41,886	43,497
Functional Total	240,994	246,972	246,576	246,676	247,477	256,468
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,036	4,112	4,108	4,108	4,108	4,266
Environmental Conservation, Department of	181,598	176,058	170,209	170,560	170,677	178,987
Parks, Recreation and Historic Preservation, Office of	135,656	135,346	123,597	124,824	126,135	132,456
Functional Total	321,290	315,516	297,914	299,492	300,920	315,709
TRANSPORTATION						
Motor Vehicles, Department of	43,684	35,655	36,479	36,479	36,479	37,249
Transportation, Department of	6,407	6,497	3,413	3,459	3,459	3,591
Functional Total	50,091	42,152	39,892	39,938	39,938	40,840
HEALTH						
Aging, Office for the	1,110	1,125	1,125	1,125	1,125	1,168
Health, Department of	261,851	261,956	256,230	261,641	265,081	271,590
<i>Essential Plan</i>	391	1,367	3,668	4,015	3,835	3,769
<i>Medicaid Administration</i>	31,809	36,908	40,260	48,556	52,075	55,060
<i>Public Health</i>	229,651	223,681	212,302	209,070	209,171	212,761
Medicaid Inspector General, Office of the	16,621	16,415	15,358	14,936	14,936	15,543
Functional Total	279,582	279,496	272,713	277,702	281,142	288,301
SOCIAL WELFARE						
Children and Family Services, Office of	167,328	169,024	159,708	191,418	260,623	314,379
<i>OCFS</i>	167,328	169,024	159,708	191,418	260,623	314,379
Housing and Community Renewal, Division of	38,788	42,730	40,403	40,403	40,403	43,225
Human Rights, Division of	9,398	9,533	9,461	9,461	9,461	10,121
Labor, Department of	32,557	34,150	32,618	32,618	32,618	34,864
National and Community Service	311	203	328	331	331	340
Temporary and Disability Assistance, Office of	69,932	73,429	68,985	68,985	74,144	77,513
<i>All Other</i>	69,932	73,429	68,985	68,985	74,144	77,513
Functional Total	318,314	329,069	311,503	343,216	417,580	480,442
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	54,117	54,557	54,908	55,522	56,087	57,588
<i>OASAS</i>	19,602	19,701	23,287	23,746	23,997	24,627
<i>OASAS - Other</i>	34,515	34,856	31,621	31,776	32,090	32,961
Justice Center	26,608	29,240	31,060	31,060	31,060	32,460
Mental Health, Office of	1,123,600	1,072,564	1,053,768	1,037,331	1,051,218	1,067,405
<i>OMH</i>	289,883	288,507	299,096	302,088	305,124	305,124
<i>OMH - Other</i>	833,717	784,057	754,672	735,243	746,094	762,281
People with Developmental Disabilities, Office for	1,151,790	1,092,002	1,090,604	1,084,782	1,094,674	1,108,191
<i>OPWDD - Other</i>	1,151,790	1,092,002	1,090,604	1,084,782	1,094,674	1,108,191
Functional Total	2,356,115	2,248,363	2,230,340	2,208,695	2,233,039	2,265,644
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,042	2,234	2,330	2,414	2,414	2,531
Correctional Services, Department of	2,166,752	2,113,404	2,052,227	2,054,983	2,062,138	2,067,768
Criminal Justice Services, Division of	24,588	26,998	25,504	25,582	25,582	26,557
Disaster Assistance	(1,768)	0	0	0	0	0

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
PERSONAL SERVICE
(thousands of dollars)

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
Homeland Security and Emergency Services, Division of	13,037	16,748	18,383	22,583	23,302	24,458
Indigent Legal Services, Office of	1,211	1,678	2,520	2,520	2,520	2,586
Judicial Conduct, Commission on	4,208	4,181	4,281	4,312	4,347	4,548
Military and Naval Affairs, Division of	17,129	17,164	14,295	14,295	14,295	14,596
State Police, Division of	618,908	649,718	653,489	628,039	627,289	652,679
Statewide Financial System	10,234	11,045	11,513	11,513	11,513	11,956
Victim Services, Office of	3,154	3,145	3,176	3,176	3,176	3,293
Functional Total	2,859,495	2,846,315	2,787,718	2,769,417	2,776,576	2,810,972
HIGHER EDUCATION						
City University of New York	46,870	48,975	68,996	47,755	48,390	49,035
Higher Education - Miscellaneous	133	283	198	198	198	198
Higher Education Services Corporation, New York State	15,253	14,475	13,314	13,314	13,314	13,314
State University of New York	3,628,295	3,766,631	3,793,669	3,915,644	4,041,694	4,315,699
Functional Total	3,690,551	3,830,364	3,876,177	3,976,911	4,103,596	4,378,246
EDUCATION						
Arts, Council on the	2,253	2,491	2,498	2,498	2,498	2,594
Education, Department of	85,429	86,602	88,089	88,090	87,484	90,693
<i>All Other</i>	85,429	86,602	88,089	88,090	87,484	90,693
Functional Total	87,682	89,093	90,587	90,588	89,982	93,287
GENERAL GOVERNMENT						
Budget, Division of the	20,436	20,619	24,567	24,567	24,567	25,511
Civil Service, Department of	11,662	12,212	12,384	12,497	12,591	13,075
Deferred Compensation Board	304	368	410	410	413	414
Elections, State Board of	5,639	5,765	5,875	6,018	6,063	6,346
Employee Relations, Office of	2,200	2,269	2,510	2,529	2,548	2,646
Gaming Commission, New York State	31,549	32,822	38,757	38,757	38,757	40,248
General Services, Office of	71,454	77,580	56,951	56,951	56,951	60,376
Inspector General, Office of the	6,230	5,833	6,552	6,600	6,648	6,970
Labor Management Committees	6,619	7,871	5,446	5,446	5,487	5,698
Prevention of Domestic Violence, Office for	1,351	1,338	1,423	1,423	1,423	1,456
Public Employment Relations Board	3,207	3,056	3,336	3,363	3,388	3,518
Public Integrity, Commission on	3,480	3,808	4,620	4,646	4,681	4,867
State, Department of	28,886	29,588	28,337	28,337	28,337	29,425
Tax Appeals, Division of	2,763	2,604	2,870	2,870	2,870	2,980
Taxation and Finance, Department of	292,613	284,526	269,907	269,907	269,907	280,269
Technology, Office for	283,573	298,543	280,946	286,565	292,296	308,763
Veterans' Affairs, Division of	4,731	5,086	5,879	5,938	5,938	6,178
Welfare Inspector General, Office of	511	565	617	621	626	654
Workers' Compensation Board	78,723	82,632	84,378	81,493	82,104	86,209
Functional Total	855,931	877,085	835,765	838,938	845,595	885,603
ELECTED OFFICIALS						
Audit and Control, Department of	111,792	115,964	115,350	113,609	113,687	119,369
Executive Chamber	10,669	10,746	11,113	11,113	11,113	11,567
Judiciary	1,509,383	1,568,100	1,595,200	1,620,600	1,623,100	1,680,100
Law, Department of	119,099	120,219	121,274	119,097	120,450	126,506
Legislature	167,444	170,623	171,104	166,331	166,331	166,647
Lieutenant Governor, Office of the	336	364	523	523	523	543
Functional Total	1,918,723	1,986,016	2,014,564	2,031,273	2,035,204	2,104,732
ALL OTHER CATEGORIES						
Miscellaneous	1,982	1,939	33,053	128,058	243,063	358,144
Functional Total	1,982	1,939	33,053	128,058	243,063	358,144
TOTAL PERSONAL SERVICE SPENDING	12,980,750	13,092,380	13,036,802	13,250,904	13,614,112	14,278,388

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	26,822	14,011	7,266	23,014	23,014	23,018
Alcoholic Beverage Control, Division of	4,879	3,385	4,536	4,536	4,536	4,536
Economic Development, Department of	8,490	8,031	6,622	6,622	6,622	6,622
Energy Research and Development Authority	407	0	0	0	0	0
Financial Services, Department of	52,930	57,686	54,860	55,057	58,282	58,578
Olympic Regional Development Authority	498	188	138	3,338	3,338	3,338
Public Service Department	8,625	8,521	7,672	7,727	7,727	7,729
Functional Total	102,651	91,822	81,094	100,294	103,519	103,821
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	261	423	305	305	305	305
Environmental Conservation, Department of	56,314	49,027	40,746	40,711	41,293	41,219
Parks, Recreation and Historic Preservation, Office of	45,242	43,100	43,706	43,706	43,707	43,709
Functional Total	101,817	92,550	84,757	84,722	85,305	85,233
TRANSPORTATION						
Motor Vehicles, Department of	15,713	13,905	14,086	14,140	14,140	14,162
Thruway Authority, New York State	16,569	0	0	0	0	0
Transportation, Department of	12,181	14,731	8,029	7,698	7,698	7,702
Functional Total	44,463	28,636	22,115	21,838	21,838	21,864
HEALTH						
Aging, Office for the	166	106	107	107	107	107
Health, Department of	429,789	426,558	555,467	603,069	601,347	602,514
<i>Essential Plan</i>	12,969	42,470	92,650	97,655	93,861	89,071
<i>Medicaid Administration</i>	239,528	224,093	305,735	341,402	339,682	342,853
<i>Public Health</i>	177,292	159,995	157,082	164,012	167,804	170,590
Medicaid Inspector General, Office of the	3,998	4,074	3,175	3,175	3,175	3,175
Functional Total	433,953	430,738	558,749	606,351	604,629	605,796
SOCIAL WELFARE						
Children and Family Services, Office of	95,323	80,801	84,644	97,093	114,394	127,773
<i>OCFS</i>	95,323	80,801	84,644	97,093	114,394	127,773
Housing and Community Renewal, Division of	11,542	7,569	8,843	8,843	8,843	9,273
Human Rights, Division of	865	849	460	460	460	469
Labor, Department of	13,986	13,519	13,901	13,901	13,901	14,392
National and Community Service	5	1	9	9	9	9
Temporary and Disability Assistance, Office of	77,147	64,197	57,379	57,879	59,051	60,175
<i>All Other</i>	77,147	64,197	57,379	57,879	59,051	60,175
Functional Total	198,868	166,936	165,236	178,185	196,658	212,091
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	26,107	27,848	18,746	19,281	19,807	20,267
<i>OASAS</i>	14,049	14,612	7,868	8,083	8,321	8,501
<i>OASAS - Other</i>	12,058	13,236	10,878	11,198	11,486	11,766
Justice Center	11,696	8,950	9,143	8,800	9,067	9,681
Mental Health, Office of	294,057	271,702	277,589	281,804	287,626	293,592
<i>OMH</i>	64,988	60,326	49,089	50,454	51,823	51,823
<i>OMH - Other</i>	229,069	211,376	228,500	231,350	235,803	241,769
Mental Hygiene, Department of	219	227	0	0	0	0
People with Developmental Disabilities, Office for	215,836	205,395	209,129	209,443	214,154	219,094
<i>OPWDD</i>	17	63	181	181	181	181
<i>OPWDD - Other</i>	215,819	205,332	208,948	209,262	213,973	218,913
Functional Total	547,915	514,122	514,607	519,328	530,654	542,634
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	255	197	321	237	237	242

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
Correctional Services, Department of	525,761	527,258	565,046	565,046	565,046	565,046
Criminal Justice Services, Division of	11,451	8,195	13,383	13,211	13,211	13,211
Disaster Assistance	(50,021)	0	0	0	0	0
Homeland Security and Emergency Services, Division of	18,594	9,282	12,243	13,038	12,933	12,938
Indigent Legal Services, Office of	238	493	780	780	780	780
Judicial Conduct, Commission on	1,359	1,324	1,303	1,331	1,361	1,388
Judicial Nomination, Commission on	20	10	30	30	30	30
Judicial Screening Committees, New York State	14	9	38	38	38	38
Military and Naval Affairs, Division of	8,922	9,635	7,381	7,664	7,805	7,805
State Police, Division of	74,403	70,664	59,544	59,384	59,414	59,473
Statewide Financial System	19,836	19,264	18,624	18,624	18,624	18,624
Victim Services, Office of	379	533	775	775	775	775
Functional Total	611,211	646,864	679,468	680,158	680,254	680,350
HIGHER EDUCATION						
City University of New York	40,599	45,238	38,036	42,704	43,558	44,430
Higher Education - Miscellaneous	65	103	93	93	93	93
Higher Education Services Corporation, New York State	28,510	33,817	33,738	33,738	33,738	33,738
State University of New York	2,238,025	2,236,089	2,236,086	2,265,662	2,295,744	2,326,346
Functional Total	2,307,199	2,315,247	2,307,953	2,342,197	2,373,133	2,404,607
EDUCATION						
Arts, Council on the	1,234	1,434	1,822	1,822	1,822	1,822
Education, Department of	45,211	52,574	58,648	56,838	55,282	55,341
<i>All Other</i>	45,211	52,574	58,648	56,838	55,282	55,341
Functional Total	46,445	54,008	60,470	58,660	57,104	57,163
GENERAL GOVERNMENT						
Budget, Division of the	2,960	3,940	4,899	4,372	4,372	4,375
Civil Service, Department of	1,615	1,083	821	834	850	851
Deferred Compensation Board	73	50	231	231	235	235
Elections, State Board of	2,186	1,330	2,607	2,569	2,634	2,687
Employee Relations, Office of	47	65	71	72	73	73
Gaming Commission, New York State	115,268	105,645	71,971	56,971	56,971	57,007
General Services, Office of	85,485	83,232	86,920	85,228	81,128	78,270
Inspector General, Office of the	831	1,346	815	827	839	856
Labor Management Committees	18,263	20,947	19,854	19,854	19,819	19,819
Prevention of Domestic Violence, Office for	113	162	173	173	173	212
Public Employment Relations Board	226	225	237	241	246	246
Public Integrity, Commission on	852	1,068	911	930	949	968
State, Department of	14,446	18,088	13,793	13,816	13,817	13,841
Tax Appeals, Division of	272	229	170	170	170	170
Taxation and Finance, Department of	43,161	47,284	59,717	59,225	59,217	59,262
Technology, Office for	222,376	249,823	255,086	273,017	267,286	261,232
Veterans' Affairs, Division of	336	865	292	298	298	304
Welfare Inspector General, Office of	58	27	55	65	75	77
Workers' Compensation Board	60,293	56,090	57,229	61,897	63,089	64,304
Functional Total	568,861	591,499	575,852	580,790	572,241	564,789
ELECTED OFFICIALS						
Audit and Control, Department of	28,889	33,311	33,744	33,052	33,157	33,818
Executive Chamber	3,035	3,907	2,465	2,465	2,465	2,465
Judiciary	449,248	450,799	470,500	470,500	470,500	470,500
Law, Department of	49,676	49,951	54,305	55,290	56,383	57,490
Legislature	48,136	55,270	54,876	52,464	52,464	52,464
Lieutenant Governor, Office of the	163	146	91	91	91	91
Functional Total	579,147	593,384	615,981	613,862	615,060	616,828
LOCAL GOVERNMENT ASSISTANCE						

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
Aid and Incentives for Municipalities	0	107	0	0	0	0
Functional Total	0	107	0	0	0	0
ALL OTHER CATEGORIES						
Long-Term Debt Service	36,616	38,647	36,852	50,389	50,389	50,389
Miscellaneous	22,639	22,844	44,772	44,783	44,794	44,796
Functional Total	59,255	61,491	81,624	95,172	95,183	95,185
TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING	5,601,785	5,587,404	5,747,906	5,881,557	5,935,578	5,990,361

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	1,517	1,719	1,786	1,891	1,960	2,128
Alcoholic Beverage Control, Division of	4,776	121	0	0	0	0
Economic Development, Department of	0	0	28	28	28	28
Energy Research and Development Authority	535	0	0	0	0	0
Financial Services, Department of	77,436	86,652	93,413	97,062	100,895	113,339
Olympic Regional Development Authority	20	0	0	0	0	0
Public Service Department	18,992	25,523	26,822	26,761	27,682	29,298
Functional Total	103,276	114,015	122,049	125,742	130,565	144,793
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	45,186	47,714	51,708	53,886	51,037	51,805
Parks, Recreation and Historic Preservation, Office of	2,863	2,972	3,144	3,157	3,165	3,313
Functional Total	48,049	50,686	54,852	57,043	54,202	55,118
TRANSPORTATION						
Motor Vehicles, Department of	24,365	20,473	22,222	23,306	24,109	25,954
Transportation, Department of	3,638	3,750	2,695	2,210	2,286	2,510
Functional Total	28,003	24,223	24,917	25,516	26,395	28,464
HEALTH						
Health, Department of	31,572	30,543	31,530	31,872	33,001	34,744
<i>Public Health</i>	31,572	30,543	31,530	31,872	33,001	34,744
Functional Total	31,572	30,543	31,530	31,872	33,001	34,744
SOCIAL WELFARE						
Children and Family Services, Office of	2,929	1,656	1,723	1,764	1,794	1,824
<i>OCFS</i>	2,929	1,656	1,723	1,764	1,794	1,824
Housing and Community Renewal, Division of	15,976	5,449	14,477	10,404	10,404	10,404
Labor, Department of	14,040	19,497	20,487	20,487	20,487	20,487
Temporary and Disability Assistance, Office of	70	122	128	128	128	128
<i>All Other</i>	70	122	128	128	128	128
Functional Total	33,015	26,724	36,815	32,783	32,813	32,843
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	32,043	33,726	36,291	37,784	39,163	41,060
<i>OASAS</i>	13,118	13,803	17,161	17,418	18,088	18,934
<i>OASAS - Other</i>	18,925	19,923	19,130	20,366	21,075	22,126
Justice Center	630	850	893	971	989	989
Mental Health, Office of	605,454	621,496	616,536	642,920	664,637	664,637
<i>OMH</i>	149,711	192,230	176,335	185,477	190,838	190,838
<i>OMH - Other</i>	455,743	429,266	440,201	457,443	473,799	473,799
People with Developmental Disabilities, Office for	620,685	637,200	674,773	677,487	701,146	728,533
<i>OPWDD - Other</i>	620,685	637,200	674,773	677,487	701,146	728,533
Functional Total	1,258,812	1,293,272	1,328,493	1,359,162	1,405,935	1,435,219
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	92	101	106	106	106	106
Criminal Justice Services, Division of	41	33	88	35	35	35
Homeland Security and Emergency Services, Division of	507	666	699	809	817	835
Indigent Legal Services, Office of	599	967	1,016	1,556	1,556	1,556
Military and Naval Affairs, Division of	(7)	9	9	9	9	9
State Police, Division of	2,439	18,111	2,821	2,900	3,010	3,353
Victim Services, Office of	1,535	1,570	1,650	1,650	1,650	1,650
Functional Total	5,206	21,457	6,389	7,065	7,183	7,544
HIGHER EDUCATION						

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
GENERAL STATE CHARGES
(thousands of dollars)

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
City University of New York	7,892	7,661	7,892	7,892	7,892	7,892
Higher Education - Miscellaneous	61	143	150	150	150	150
Higher Education Services Corporation, New York State	8,617	8,524	8,958	8,958	8,958	8,958
State University of New York	411,631	420,283	446,782	474,407	504,024	540,563
Functional Total	428,201	436,611	463,782	491,407	521,024	557,563
 EDUCATION						
Education, Department of	32,021	32,142	36,280	37,463	38,621	42,281
<i>All Other</i>	32,021	32,142	36,280	37,463	38,621	42,281
Functional Total	32,021	32,142	36,280	37,463	38,621	42,281
 GENERAL GOVERNMENT						
Budget, Division of the	831	776	1,657	1,657	1,657	1,717
Civil Service, Department of	147	84	205	229	239	263
Deferred Compensation Board	147	195	205	205	205	205
Gaming Commission, New York State	12,895	12,777	17,575	17,575	17,575	18,465
General Services, Office of	2,589	407	527	548	567	627
State, Department of	8,372	9,623	11,628	12,065	12,477	13,694
Taxation and Finance, Department of	18,059	25,017	26,290	26,457	26,290	27,410
Workers' Compensation Board	44,194	48,919	51,409	53,402	53,402	57,863
Functional Total	87,234	97,798	109,496	112,138	112,412	120,244
 ELECTED OFFICIALS						
Audit and Control, Department of	1,494	1,605	2,030	2,385	2,385	2,417
Judiciary	685,300	702,163	764,300	781,703	779,203	779,203
Law, Department of	16,644	14,731	17,729	20,254	20,531	21,564
Functional Total	703,438	718,499	784,059	804,342	802,119	803,184
 ALL OTHER CATEGORIES						
General State Charges	4,681,599	4,782,943	4,973,712	5,395,283	5,828,960	6,336,214
Miscellaneous	12,039	5,412	5,584	5,631	5,631	5,649
Functional Total	4,693,638	4,788,355	4,979,296	5,400,914	5,834,591	6,341,863
 TOTAL GENERAL STATE CHARGES SPENDING	 7,452,465	 7,634,325	 7,977,958	 8,485,447	 8,998,861	 9,603,860

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
CAPITAL PROJECTS
(thousands of dollars)**

	<u>FY 2016 Results</u>	<u>FY 2017 Results</u>	<u>FY 2018 Updated</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>
PARKS AND THE ENVIRONMENT						
Parks, Recreation and Historic Preservation, Office of	1,749	2,544	0	0	0	0
Functional Total	<u>1,749</u>	<u>2,544</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
TOTAL CAPITAL PROJECTS SPENDING	<u><u>1,749</u></u>	<u><u>2,544</u></u>	<u><u>0</u></u>	<u><u>0</u></u>	<u><u>0</u></u>	<u><u>0</u></u>

**CASH DISBURSEMENTS BY FUNCTION
CAPITAL PROJECTS FUNDS
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	4,776	4,337	29,215	36,165	13,715	4,715
Economic Development Capital	6,614	1,844	29,276	22,587	21,600	20,862
Economic Development, Department of	1,569	4,617	8,274	10,442	0	5,525
Empire State Development Corporation	680,080	985,203	1,550,593	1,802,800	1,584,461	1,642,415
Energy Research and Development Authority	11,383	14,545	25,273	24,842	15,352	13,720
Olympic Regional Development Authority	7,500	7,500	24,000	13,300	0	0
Power Authority, New York	0	1,916	28,328	18,000	37,500	2,500
Regional Economic Development Program	2,787	4,156	512	338	337	337
Strategic Investment Program	1,427	683	6,000	7,002	6,650	11,046
Functional Total	716,136	1,024,801	1,701,471	1,935,476	1,679,615	1,701,120
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	536,048	692,871	873,250	944,731	923,505	914,880
Hudson River Park Trust	3,452	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	141,328	151,320	156,492	162,703	164,532	149,714
Functional Total	680,828	844,191	1,029,742	1,107,434	1,088,037	1,064,594
TRANSPORTATION						
Metropolitan Transportation Authority	0	250,000	970,856	250,000	350,000	0
Motor Vehicles, Department of	189,879	217,104	223,036	232,491	234,316	240,184
Thruway Authority, New York State	1,379	0	0	0	0	0
Transportation, Department of	4,327,332	4,887,049	5,045,221	5,298,978	5,017,354	4,887,594
Functional Total	4,518,590	5,354,153	6,239,113	5,781,469	5,601,670	5,127,778
HEALTH						
Health, Department of	107,158	105,396	405,957	1,020,082	832,582	683,482
<i>Public Health</i>	107,158	105,396	405,957	1,020,082	832,582	683,482
Functional Total	107,158	105,396	405,957	1,020,082	832,582	683,482
SOCIAL WELFARE						
Children and Family Services, Office of	21,186	24,392	64,936	68,695	23,738	23,917
<i>OCFS</i>	21,186	24,392	64,936	68,695	23,738	23,917
Housing and Community Renewal, Division of	83,880	130,959	283,227	473,215	478,970	482,994
Nonprofit Infrastructure Capital Investment Program	0	0	33,000	45,000	25,000	14,000
Temporary and Disability Assistance, Office of	45,876	54,596	63,800	60,650	60,650	60,650
<i>All Other</i>	45,876	54,596	63,800	60,650	60,650	60,650
Functional Total	150,942	209,947	444,963	647,560	588,358	581,561
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	44,857	55,331	77,317	59,936	54,961	54,961
<i>OASAS</i>	44,857	55,331	77,317	59,936	54,961	54,961
Mental Health, Office of	159,048	184,328	253,045	223,523	219,218	219,218
<i>OMH</i>	159,048	184,328	253,045	223,523	219,218	219,218
People with Developmental Disabilities, Office for	39,094	69,870	77,486	77,808	78,257	78,257
<i>OPWDD</i>	39,094	69,870	77,486	77,808	78,257	78,257
Functional Total	242,999	309,529	407,848	361,267	352,436	352,436
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	260,188	303,275	363,322	353,637	331,342	327,432
Homeland Security and Emergency Services, Division of	104,394	64,492	112,321	36,153	5,000	5,000
Military and Naval Affairs, Division of	38,115	70,039	76,395	36,392	25,507	23,544
State Police, Division of	19,121	31,235	78,867	46,874	43,334	41,408
Functional Total	421,818	469,041	630,905	473,056	405,183	397,384
HIGHER EDUCATION						
City University of New York	33,460	36,527	35,400	35,900	36,620	37,352
Higher Education Facilities Capital Matching Grants Program	136	0	5,000	12,500	12,500	15,000

CASH DISBURSEMENTS BY FUNCTION
CAPITAL PROJECTS FUNDS
(thousands of dollars)

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
State University of New York	945,565	760,044	920,337	909,760	911,133	903,310
Functional Total	979,161	796,571	960,737	958,160	960,253	955,662
EDUCATION						
Education, Department of	21,325	54,635	423,780	774,787	453,000	270,707
<i>School Aid</i>	0	35,205	375,000	700,000	400,000	230,000
<i>All Other</i>	21,325	19,430	48,780	74,787	53,000	40,707
Functional Total	21,325	54,635	423,780	774,787	453,000	270,707
GENERAL GOVERNMENT						
General Services, Office of	101,785	92,531	172,478	229,757	165,628	114,944
State, Department of	2,065	866	4,000	2,000	2,000	0
Technology, Office for	95,311	86,220	139,716	29,865	18,751	24,700
Workers' Compensation Board	3,117	3,752	15,000	15,000	15,000	0
Functional Total	202,278	183,369	331,194	276,622	201,379	139,644
ELECTED OFFICIALS						
Audit and Control, Department of	0	2,100	3,900	0	0	0
Judiciary	0	0	8,000	7,000	0	0
Law, Department of	1,449	6,201	10,000	2,000	1,287	0
Functional Total	1,449	8,301	21,900	9,000	1,287	0
ALL OTHER CATEGORIES						
Arts and Cultural Facilities Improvement	0	0	5,000	5,000	0	0
Miscellaneous	212,525	147,950	(499,241)	406,131	580,750	629,296
Special Infrastructure Account	725,592	649,103	917,071	1,310,923	980,604	579,520
Functional Total	938,117	797,053	422,830	1,722,054	1,561,354	1,208,816
TOTAL CAPITAL PROJECTS FUNDS SPENDING	8,980,801	10,156,987	13,020,440	15,066,967	13,725,154	12,483,184

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
<i>Agriculture and Markets, Department of</i>	103,855	96,908	114,275	134,282	111,894	100,295
Local Assistance	27,279	31,980	31,611	28,757	28,757	24,757
State Operations	68,227	56,825	49,616	65,337	65,311	66,422
Personal Service	32,116	34,188	32,596	32,651	32,656	33,771
Non-Personal Service/Indirect Costs	36,111	22,637	17,020	32,686	32,655	32,651
General State Charges	3,573	3,766	3,833	4,023	4,111	4,401
Capital Projects	4,776	4,337	29,215	36,165	13,715	4,715
<i>Alcoholic Beverage Control, Division of</i>	17,277	11,248	12,683	12,683	12,744	13,060
State Operations	12,501	11,127	12,683	12,683	12,744	13,060
Personal Service	7,622	7,742	8,147	8,147	8,208	8,524
Non-Personal Service/Indirect Costs	4,879	3,385	4,536	4,536	4,536	4,536
General State Charges	4,776	121	0	0	0	0
<i>Economic Development Capital</i>	6,614	1,844	29,276	22,587	21,600	20,862
Local Assistance	6,614	1,844	12	0	0	0
Capital Projects	0	0	29,264	22,587	21,600	20,862
<i>Economic Development, Department of</i>	92,494	84,240	94,623	97,450	87,008	92,533
Local Assistance	71,410	62,448	66,525	67,184	67,184	67,184
State Operations	21,056	21,775	19,796	19,796	19,796	19,796
Personal Service	11,974	13,152	12,929	12,929	12,929	12,929
Non-Personal Service/Indirect Costs	9,082	8,623	6,867	6,867	6,867	6,867
General State Charges	0	0	28	28	28	28
Capital Projects	28	17	8,274	10,442	0	5,525
<i>Empire State Development Corporation</i>	738,836	1,107,501	1,674,574	1,935,046	1,716,707	1,779,661
Local Assistance	705,116	1,031,640	1,166,896	1,386,268	1,244,029	1,244,733
Capital Projects	33,720	75,861	507,678	548,778	472,678	534,928
<i>Energy Research and Development Authority</i>	15,191	14,545	25,273	24,842	15,352	13,720
Local Assistance	1,842	0	0	0	0	0
State Operations	1,431	0	0	0	0	0
Personal Service	1,024	0	0	0	0	0
Non-Personal Service/Indirect Costs	407	0	0	0	0	0
General State Charges	535	0	0	0	0	0
Capital Projects	11,383	14,545	25,273	24,842	15,352	13,720
<i>Financial Services, Department of</i>	361,476	362,898	359,544	363,477	371,252	389,935
Local Assistance	80,686	66,703	59,388	59,430	59,412	59,406
State Operations	203,339	209,543	206,743	206,985	210,945	217,190
Personal Service	149,000	151,522	151,883	151,928	152,663	158,612
Non-Personal Service/Indirect Costs	54,339	58,021	54,860	55,057	58,282	58,578
General State Charges	77,451	86,652	93,413	97,062	100,895	113,339
<i>Olympic Regional Development Authority</i>	10,611	10,236	26,686	19,186	5,886	5,886
Local Assistance	0	0	10,000	0	0	0
State Operations	3,091	2,736	2,686	5,886	5,886	5,886
Personal Service	2,593	2,548	2,548	2,548	2,548	2,548
Non-Personal Service/Indirect Costs	498	188	138	3,338	3,338	3,338
General State Charges	20	0	0	0	0	0
Capital Projects	7,500	7,500	14,000	13,300	0	0
<i>Power Authority, New York</i>	0	1,916	28,328	18,000	37,500	2,500
Local Assistance	0	1,916	0	0	0	0
Capital Projects	0	0	28,328	18,000	37,500	2,500
<i>Public Service Department</i>	71,087	78,938	79,500	79,494	80,415	83,644
Local Assistance	0	0	155	155	155	155
State Operations	51,548	51,775	50,800	50,855	50,855	52,468
Personal Service	42,792	42,918	43,088	43,088	43,088	44,699

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
Non-Personal Service/Indirect Costs	8,756	8,857	7,712	7,767	7,767	7,769
General State Charges	19,539	27,163	28,545	28,484	29,405	31,021
Regional Economic Development Program	2,787	4,156	512	338	337	337
Local Assistance	2,787	4,156	0	0	0	0
Capital Projects	0	0	512	338	337	337
Strategic Investment Program	1,427	683	6,000	7,002	6,650	11,046
Local Assistance	1,427	683	0	0	0	0
Capital Projects	0	0	6,000	7,002	6,650	11,046
Functional Total	1,421,655	1,775,113	2,451,274	2,714,387	2,467,345	2,513,479

PARKS AND THE ENVIRONMENT

Adirondack Park Agency	4,350	4,547	4,763	4,763	4,763	4,921
State Operations	4,350	4,547	4,763	4,763	4,763	4,921
Personal Service	4,036	4,112	4,108	4,108	4,108	4,266
Non-Personal Service/Indirect Costs	314	435	655	655	655	655
Environmental Conservation, Department of	878,293	1,026,898	1,202,834	1,274,536	1,251,160	1,253,047
Local Assistance	312,669	327,482	195,966	190,618	190,618	190,618
State Operations	280,475	269,816	255,840	256,156	256,855	266,173
Personal Service	207,472	202,238	197,968	198,319	198,436	207,814
Non-Personal Service/Indirect Costs	73,003	67,578	57,872	57,837	58,419	58,359
General State Charges	57,508	62,106	66,833	69,031	66,182	67,376
Capital Projects	227,641	367,494	684,195	758,731	737,505	728,880
Hudson River Park Trust	3,452	0	0	0	0	0
Capital Projects	3,452	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	344,507	353,753	337,717	344,128	347,277	339,000
Local Assistance	13,682	13,281	8,510	7,470	7,470	7,470
State Operations	184,894	183,671	169,571	170,798	172,110	178,479
Personal Service	137,415	138,056	124,720	125,947	127,258	133,623
Non-Personal Service/Indirect Costs	47,479	45,615	44,851	44,851	44,852	44,856
General State Charges	2,863	2,972	3,144	3,157	3,165	3,337
Capital Projects	143,068	153,829	156,492	162,703	164,532	149,714
Functional Total	1,230,602	1,385,198	1,545,314	1,623,427	1,603,200	1,596,968

TRANSPORTATION

Metropolitan Transportation Authority	0	250,000	970,856	250,000	350,000	0
Local Assistance	0	250,000	970,856	250,000	350,000	0
Motor Vehicles, Department of	292,446	308,315	323,691	332,684	335,312	344,058
Local Assistance	14,681	15,199	19,600	18,000	18,000	18,000
State Operations	62,922	54,854	58,113	58,167	58,167	59,114
Personal Service	44,887	37,012	40,214	40,214	40,214	41,128
Non-Personal Service/Indirect Costs	18,035	17,842	17,899	17,953	17,953	17,986
General State Charges	24,964	21,158	22,942	24,026	24,829	26,760
Capital Projects	189,879	217,104	223,036	232,491	234,316	240,184
Thruway Authority, New York State	17,948	0	0	0	0	0
Local Assistance	1,379	0	0	0	0	0
State Operations	16,569	0	0	0	0	0
Non-Personal Service/Indirect Costs	16,569	0	0	0	0	0
Transportation, Department of	9,124,697	9,950,606	10,145,263	10,453,672	10,280,550	10,215,849
Local Assistance	5,680,162	6,331,110	6,234,817	6,194,467	6,290,178	6,341,774
State Operations	23,687	31,448	35,100	35,226	35,226	35,790
Personal Service	10,209	12,251	13,760	13,943	13,943	14,478

CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
Non-Personal Service/Indirect Costs	13,478	19,197	21,340	21,283	21,283	21,312
General State Charges	5,745	6,299	9,341	8,760	8,893	9,762
Capital Projects	3,415,103	3,581,749	3,866,005	4,215,219	3,946,253	3,828,523
Functional Total	9,435,091	10,508,921	11,439,810	11,036,356	10,965,862	10,559,907
HEALTH						
Aging, Office for the	229,479	225,544	228,559	230,116	237,731	244,944
Local Assistance	217,793	213,003	216,602	219,084	225,494	232,522
State Operations	11,686	12,541	11,957	11,032	12,237	12,422
Personal Service	7,025	7,196	7,515	7,646	7,782	7,967
Non-Personal Service/Indirect Costs	4,661	5,345	4,442	3,386	4,455	4,455
Health, Department of	55,632,709	60,565,508	64,255,138	67,357,954	69,625,583	71,025,926
Medical Assistance	48,094,607	51,178,257	54,266,509	55,640,531	57,636,224	58,891,983
Local Assistance	48,094,607	51,178,257	54,266,509	55,640,531	57,636,224	58,891,983
Essential Plan	1,539,298	3,569,893	3,708,740	4,561,689	4,940,915	5,409,143
Local Assistance	1,525,938	3,526,056	3,612,422	4,460,019	4,843,219	5,316,303
State Operations	13,360	43,837	96,318	101,670	97,696	92,840
Personal Service	391	1,367	3,668	4,015	3,835	3,769
Non-Personal Service/Indirect Costs	12,969	42,470	92,650	97,655	93,861	89,071
Medicaid Administration	1,666,498	1,518,498	1,716,194	1,755,341	1,775,323	1,747,797
Local Assistance	1,005,222	843,305	926,542	823,344	791,947	791,947
State Operations	658,002	673,671	785,840	925,782	976,734	948,801
Personal Service	61,581	71,191	81,108	98,510	105,902	111,993
Non-Personal Service/Indirect Costs	596,421	602,480	704,732	827,272	870,832	836,808
General State Charges	3,274	1,522	3,812	6,215	6,642	7,049
Public Health	4,332,306	4,298,860	4,563,695	5,400,393	5,273,121	4,977,003
Local Assistance	3,555,898	3,482,012	3,777,881	4,604,266	4,472,244	4,217,013
State Operations	653,045	708,621	637,808	627,770	630,157	636,813
Personal Service	284,476	288,062	269,144	265,749	265,765	271,470
Non-Personal Service/Indirect Costs	368,569	420,559	368,664	362,021	364,392	365,343
General State Charges	62,215	67,062	70,070	71,296	73,659	78,116
Capital Projects	61,148	41,165	77,936	97,061	97,061	45,061
Medicaid Inspector General, Office of the	51,999	50,994	48,627	48,043	48,406	50,445
State Operations	43,045	41,851	39,019	38,175	38,175	39,438
Personal Service	33,122	32,737	30,910	30,066	30,066	31,287
Non-Personal Service/Indirect Costs	9,923	9,114	8,109	8,109	8,109	8,151
General State Charges	8,954	9,143	9,608	9,868	10,231	11,007
Functional Total	55,914,187	60,842,046	64,532,324	67,636,113	69,911,720	71,321,315
SOCIAL WELFARE						
Children and Family Services, Office of	3,015,520	2,941,351	2,910,925	3,006,017	3,189,365	3,340,572
OCFS	2,926,598	2,859,104	2,824,553	2,905,711	3,084,303	3,226,282
Local Assistance	2,543,854	2,494,992	2,407,105	2,439,790	2,576,285	2,647,670
State Operations	344,820	328,252	338,992	384,749	471,255	540,631
Personal Service	190,637	194,832	188,665	220,665	289,870	344,830
Non-Personal Service/Indirect Costs	154,183	133,420	150,327	164,084	181,385	195,801
General State Charges	16,738	11,468	13,520	12,477	13,025	14,064
Capital Projects	21,186	24,392	64,936	68,695	23,738	23,917
OCFS - Other	88,922	82,247	86,372	100,306	105,062	114,290
Local Assistance	88,922	82,247	86,372	100,306	105,062	114,290
Housing and Community Renewal, Division of	217,494	256,695	415,051	625,266	641,652	650,557

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
Local Assistance	139,769	187,653	334,143	548,307	564,693	569,370
State Operations	58,235	59,782	59,426	59,550	59,550	63,778
Personal Service	45,570	49,632	47,923	47,998	47,998	51,350
Non-Personal Service/Indirect Costs	12,665	10,150	11,503	11,552	11,552	12,428
General State Charges	19,490	9,260	18,482	14,409	14,409	14,409
Capital Projects	0	0	3,000	3,000	3,000	3,000
Human Rights, Division of	14,639	14,890	14,289	14,343	14,343	15,257
State Operations	14,639	14,890	14,289	14,343	14,343	15,257
Personal Service	11,899	12,205	12,567	12,596	12,596	13,475
Non-Personal Service/Indirect Costs	2,740	2,685	1,722	1,747	1,747	1,782
Labor, Department of	546,390	534,361	566,009	549,929	550,739	568,320
Local Assistance	168,509	150,118	167,312	152,042	152,042	152,042
State Operations	271,348	273,212	282,017	282,017	282,017	299,598
Personal Service	202,854	204,836	192,484	192,484	192,484	206,660
Non-Personal Service/Indirect Costs	68,494	68,376	89,533	89,533	89,533	92,938
General State Charges	106,533	111,031	116,680	115,870	116,680	116,680
National and Community Service	15,100	17,282	16,253	16,564	16,571	16,904
Local Assistance	142	267	350	350	350	350
State Operations	14,958	17,015	15,679	15,985	15,985	16,312
Personal Service	556	465	701	708	708	730
Non-Personal Service/Indirect Costs	14,402	16,550	14,978	15,277	15,277	15,582
General State Charges	0	0	224	229	236	242
Nonprofit Infrastructure Capital Investment Program	0	0	33,000	45,000	25,000	14,000
Capital Projects	0	0	33,000	45,000	25,000	14,000
Temporary and Disability Assistance, Office of	5,289,204	4,722,939	5,140,328	5,174,860	5,191,991	5,199,284
Welfare Assistance	3,985,752	3,430,246	3,836,310	3,865,999	3,867,999	3,867,999
Local Assistance	3,985,752	3,430,246	3,836,310	3,865,999	3,867,999	3,867,999
All Other	1,303,452	1,292,693	1,304,018	1,308,861	1,323,992	1,331,285
Local Assistance	974,149	963,125	979,474	981,246	990,046	992,846
State Operations	289,826	286,879	279,246	282,317	288,648	293,141
Personal Service	142,685	154,062	145,924	146,740	151,899	155,268
Non-Personal Service/Indirect Costs	147,141	132,817	133,322	135,577	136,749	137,873
General State Charges	39,101	42,343	44,498	44,498	44,498	44,498
Capital Projects	376	346	800	800	800	800
Functional Total	9,098,347	8,487,518	9,095,855	9,431,979	9,629,661	9,804,894
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	594,596	604,265	642,925	645,519	665,405	682,493
OASAS	507,773	514,925	559,971	560,854	579,429	594,315
Local Assistance	454,010	458,058	499,448	499,524	516,961	530,191
State Operations	40,645	41,998	38,362	39,112	39,680	40,490
Personal Service	24,709	24,808	28,396	28,899	29,193	29,823
Non-Personal Service/Indirect Costs	15,936	17,190	9,966	10,213	10,487	10,667
General State Charges	13,118	13,803	17,161	17,418	18,088	18,934
Capital Projects	0	1,066	5,000	4,800	4,700	4,700
OASAS - Other	86,823	89,340	82,954	84,665	85,976	88,178
Local Assistance	21,325	21,325	21,325	21,325	21,325	21,325
State Operations	46,573	48,092	42,499	42,974	43,576	44,727
Personal Service	34,515	34,856	31,621	31,776	32,090	32,961
Non-Personal Service/Indirect Costs	12,058	13,236	10,878	11,198	11,486	11,766
General State Charges	18,925	19,923	19,130	20,366	21,075	22,126
Developmental Disabilities Planning Council	3,386	3,892	4,200	4,200	4,200	4,200

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
State Operations	2,932	3,661	3,456	3,415	3,415	3,415
Personal Service	838	976	1,266	1,266	1,266	1,266
Non-Personal Service/Indirect Costs	2,094	2,685	2,190	2,149	2,149	2,149
General State Charges	454	231	744	785	785	785
Justice Center	40,205	41,625	42,447	42,183	42,468	44,482
Local Assistance	544	679	649	649	649	649
State Operations	38,996	40,096	40,842	40,499	40,766	42,780
Personal Service	26,675	29,334	31,163	31,163	31,163	32,563
Non-Personal Service/Indirect Costs	12,321	10,762	9,679	9,336	9,603	10,217
General State Charges	665	850	956	1,035	1,053	1,053
Mental Health, Office of	3,359,196	3,379,732	3,447,400	3,622,588	3,744,983	3,811,043
OMH	1,560,431	1,684,533	1,755,203	1,898,738	1,978,270	2,010,694
Local Assistance	951,573	1,001,866	1,049,907	1,198,645	1,267,703	1,300,127
State Operations	356,185	350,032	349,553	353,910	358,315	358,315
Personal Service	290,796	289,320	299,909	302,901	305,937	305,937
Non-Personal Service/Indirect Costs	65,389	60,712	49,644	51,009	52,378	52,378
General State Charges	150,200	192,665	176,781	185,933	191,307	191,307
Capital Projects	102,473	139,970	178,962	160,250	160,945	160,945
OMH - Other	1,798,765	1,695,199	1,692,197	1,723,850	1,766,713	1,800,349
Local Assistance	280,236	270,500	268,824	299,814	311,017	322,500
State Operations	1,062,786	995,433	983,172	966,593	981,897	1,004,050
Personal Service	833,717	784,057	754,672	735,243	746,094	762,281
Non-Personal Service/Indirect Costs	229,069	211,376	228,500	231,350	235,803	241,769
General State Charges	455,743	429,266	440,201	457,443	473,799	473,799
Mental Hygiene, Department of	219	227	0	0	0	0
State Operations	219	227	0	0	0	0
Non-Personal Service/Indirect Costs	219	227	0	0	0	0
People with Developmental Disabilities, Office for	3,223,358	2,974,349	3,008,865	3,248,545	3,454,962	3,646,975
OPWDD	370,536	455,861	453,651	492,520	547,565	584,831
Local Assistance	334,196	385,635	378,863	417,410	472,006	509,272
State Operations	296	1,173	1,181	1,181	1,181	1,181
Non-Personal Service/Indirect Costs	296	1,173	1,181	1,181	1,181	1,181
Capital Projects	36,044	69,053	73,607	73,929	74,378	74,378
OPWDD - Other	2,852,822	2,518,488	2,555,214	2,756,025	2,907,397	3,062,144
Local Assistance	864,528	583,954	580,889	784,494	897,604	1,006,507
State Operations	1,367,609	1,297,334	1,299,552	1,294,044	1,308,647	1,327,104
Personal Service	1,151,790	1,092,002	1,090,604	1,084,782	1,094,674	1,108,191
Non-Personal Service/Indirect Costs	215,819	205,332	208,948	209,262	213,973	218,913
General State Charges	620,685	637,200	674,773	677,487	701,146	728,533
Functional Total	7,220,960	7,004,090	7,145,837	7,563,035	7,912,018	8,189,193

PUBLIC PROTECTION/CRIMINAL JUSTICE

Correction, Commission of	2,297	2,431	2,651	2,651	2,651	2,773
State Operations	2,297	2,431	2,651	2,651	2,651	2,773
Personal Service	2,042	2,234	2,330	2,414	2,414	2,531
Non-Personal Service/Indirect Costs	255	197	321	237	237	242
Correctional Services, Department of	2,982,445	2,971,422	3,004,183	2,997,254	2,982,114	2,983,834
Local Assistance	4,251	4,457	5,497	5,497	5,497	5,497
State Operations	2,717,010	2,662,598	2,634,217	2,636,973	2,644,128	2,649,758
Personal Service	2,190,226	2,134,801	2,067,980	2,070,736	2,077,891	2,083,521
Non-Personal Service/Indirect Costs	526,784	527,797	566,237	566,237	566,237	566,237
General State Charges	996	1,092	1,147	1,147	1,147	1,147

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
Capital Projects	260,188	303,275	363,322	353,637	331,342	327,432
<i>Criminal Justice Services, Division of</i>	224,078	215,234	222,485	220,373	220,373	221,427
Local Assistance	180,062	171,426	174,311	172,346	172,346	172,346
State Operations	43,716	43,444	47,738	47,644	47,644	48,698
Personal Service	29,647	31,283	30,106	30,184	30,184	31,238
Non-Personal Service/Indirect Costs	14,069	12,161	17,632	17,460	17,460	17,460
General State Charges	300	364	436	383	383	383
<i>Disaster Assistance</i>	(51,789)	0	0	0	0	0
State Operations	(51,789)	0	0	0	0	0
Personal Service	(1,768)	0	0	0	0	0
Non-Personal Service/Indirect Costs	(50,021)	0	0	0	0	0
<i>Homeland Security and Emergency Services, Division of</i>	1,974,600	1,432,507	1,145,869	1,015,759	875,962	818,910
Local Assistance	1,837,898	1,353,983	1,027,917	944,810	813,544	755,313
State Operations	120,000	62,691	43,928	48,923	49,537	50,698
Personal Service	27,041	26,736	24,873	29,073	29,792	30,948
Non-Personal Service/Indirect Costs	92,959	35,955	19,055	19,850	19,745	19,750
General State Charges	10,022	7,388	7,763	7,873	7,881	7,899
Capital Projects	6,680	8,445	66,261	14,153	5,000	5,000
<i>Indigent Legal Services, Office of</i>	60,116	63,641	99,781	165,528	189,621	213,781
Local Assistance	58,068	60,503	95,465	160,672	184,765	208,859
State Operations	1,449	2,171	3,300	3,300	3,300	3,366
Personal Service	1,211	1,678	2,520	2,520	2,520	2,586
Non-Personal Service/Indirect Costs	238	493	780	780	780	780
General State Charges	599	967	1,016	1,556	1,556	1,556
<i>Judicial Conduct, Commission on</i>	5,567	5,505	5,584	5,643	5,708	5,936
State Operations	5,567	5,505	5,584	5,643	5,708	5,936
Personal Service	4,208	4,181	4,281	4,312	4,347	4,548
Non-Personal Service/Indirect Costs	1,359	1,324	1,303	1,331	1,361	1,388
<i>Judicial Nomination, Commission on</i>	20	10	30	30	30	30
State Operations	20	10	30	30	30	30
Non-Personal Service/Indirect Costs	20	10	30	30	30	30
<i>Judicial Screening Committees, New York State</i>	14	9	38	38	38	38
State Operations	14	9	38	38	38	38
Non-Personal Service/Indirect Costs	14	9	38	38	38	38
<i>Military and Naval Affairs, Division of</i>	102,534	130,428	132,178	93,116	83,043	82,065
Local Assistance	805	786	820	820	820	820
State Operations	57,893	53,525	48,576	49,397	50,087	50,947
Personal Service	35,517	29,020	26,295	26,535	26,780	27,330
Non-Personal Service/Indirect Costs	22,376	24,505	22,281	22,862	23,307	23,617
General State Charges	5,721	6,078	6,387	6,507	6,629	6,754
Capital Projects	38,115	70,039	76,395	36,392	25,507	23,544
<i>State Police, Division of</i>	733,485	788,957	823,221	765,697	761,547	785,703
Local Assistance	0	91	0	0	0	0
State Operations	710,507	737,575	740,033	714,423	713,703	739,442
Personal Service	629,333	655,292	660,489	635,039	634,289	659,969
Non-Personal Service/Indirect Costs	81,174	82,283	79,544	79,384	79,414	79,473
General State Charges	3,857	20,056	4,321	4,400	4,510	4,853
Capital Projects	19,121	31,235	78,867	46,874	43,334	41,408
<i>Statewide Financial System</i>	30,070	30,309	30,137	30,137	30,137	30,580
State Operations	30,070	30,309	30,137	30,137	30,137	30,580
Personal Service	10,234	11,045	11,513	11,513	11,513	11,956
Non-Personal Service/Indirect Costs	19,836	19,264	18,624	18,624	18,624	18,624
<i>Victim Services, Office of</i>	64,276	73,166	86,737	90,237	90,237	90,405

CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
Local Assistance	58,114	65,814	78,966	82,466	82,466	82,466
State Operations	4,627	5,782	6,121	6,121	6,121	6,289
Personal Service	4,074	4,638	4,834	4,834	4,834	5,002
Non-Personal Service/Indirect Costs	553	1,144	1,287	1,287	1,287	1,287
General State Charges	1,535	1,570	1,650	1,650	1,650	1,650
Functional Total	6,127,713	5,713,619	5,552,894	5,386,463	5,241,461	5,235,482
HIGHER EDUCATION						
City University of New York	1,565,917	1,564,711	1,627,587	1,618,248	1,656,621	1,691,709
Local Assistance	1,429,462	1,424,129	1,469,629	1,476,363	1,512,527	1,545,366
State Operations	95,103	96,394	114,666	98,093	99,582	101,099
Personal Service	46,870	48,975	68,996	47,755	48,390	49,035
Non-Personal Service/Indirect Costs	48,233	47,419	45,670	50,338	51,192	52,064
General State Charges	7,892	7,661	7,892	7,892	7,892	7,892
Capital Projects	33,460	36,527	35,400	35,900	36,620	37,352
Higher Education - Miscellaneous	259	529	441	441	441	441
State Operations	198	386	291	291	291	291
Personal Service	133	283	198	198	198	198
Non-Personal Service/Indirect Costs	65	103	93	93	93	93
General State Charges	61	143	150	150	150	150
Higher Education Facilities Capital Matching Grants Program	136	0	5,000	12,500	12,500	15,000
Local Assistance	136	0	0	0	0	0
Capital Projects	0	0	5,000	12,500	12,500	15,000
Higher Education Services Corporation, New York State	1,084,054	1,020,224	943,052	1,180,465	1,219,498	1,237,771
Local Assistance	1,025,184	957,549	880,408	1,117,821	1,156,854	1,175,127
State Operations	50,252	54,150	53,685	53,685	53,685	53,685
Personal Service	15,256	14,530	14,150	14,150	14,150	14,150
Non-Personal Service/Indirect Costs	34,996	39,620	39,535	39,535	39,535	39,535
General State Charges	8,618	8,525	8,959	8,959	8,959	8,959
State University of New York	8,042,847	7,985,267	8,190,761	8,364,011	8,550,800	8,884,123
Local Assistance	514,892	491,891	481,847	486,498	486,165	486,165
State Operations	6,184,867	6,313,023	6,341,744	6,493,295	6,649,427	6,954,034
Personal Service	3,637,323	3,775,120	3,800,898	3,922,873	4,048,923	4,322,928
Non-Personal Service/Indirect Costs	2,547,544	2,537,903	2,540,846	2,570,422	2,600,504	2,631,106
General State Charges	411,740	420,308	446,833	474,458	504,075	540,614
Capital Projects	931,348	760,045	920,337	909,760	911,133	903,310
Functional Total	10,693,213	10,570,731	10,766,841	11,175,665	11,439,860	11,829,044
EDUCATION						
Arts, Council on the	42,178	43,884	46,113	45,953	45,953	46,049
Local Assistance	38,691	39,959	41,693	41,533	41,533	41,533
State Operations	3,487	3,925	4,420	4,420	4,420	4,516
Personal Service	2,253	2,491	2,498	2,498	2,498	2,594
Non-Personal Service/Indirect Costs	1,234	1,434	1,922	1,922	1,922	1,922
Education, Department of	32,811,466	33,672,197	34,911,816	36,289,151	37,170,002	38,393,734
School Aid	25,501,461	27,250,623	28,836,801	30,257,985	31,160,672	32,284,587
Local Assistance	25,501,153	27,250,623	28,836,801	30,257,985	31,160,672	32,284,587
State Operations	296	0	0	0	0	0
Personal Service	82	0	0	0	0	0
Non-Personal Service/Indirect Costs	214	0	0	0	0	0
General State Charges	12	0	0	0	0	0
STAR Property Tax Relief	3,334,700	3,139,051	2,629,997	2,519,559	2,452,538	2,437,295

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
Local Assistance	3,334,700	3,139,051	2,629,997	2,519,559	2,452,538	2,437,295
Special Education Categorical Programs	2,201,098	1,907,839	2,015,690	2,047,580	2,139,628	2,229,918
Local Assistance	2,178,960	1,907,839	2,015,690	2,047,580	2,139,628	2,229,918
State Operations	17,627	0	0	0	0	0
Personal Service	9,167	0	0	0	0	0
Non-Personal Service/Indirect Costs	8,460	0	0	0	0	0
General State Charges	4,511	0	0	0	0	0
All Other	1,774,207	1,374,684	1,429,328	1,464,027	1,417,164	1,441,934
Local Assistance	1,379,274	979,302	1,029,366	1,043,027	994,964	1,017,794
State Operations	315,511	310,252	296,124	294,315	292,153	299,152
Personal Service	161,635	174,795	172,575	172,576	171,970	178,430
Non-Personal Service/Indirect Costs	153,876	135,457	123,549	121,739	120,183	120,722
General State Charges	72,002	79,985	86,558	88,398	91,047	98,281
Capital Projects	7,420	5,145	17,280	38,287	39,000	26,707
Functional Total	32,853,644	33,716,081	34,957,929	36,335,104	37,215,955	38,439,783
GENERAL GOVERNMENT						
Budget, Division of the	24,227	25,335	31,123	30,596	30,596	31,603
State Operations	23,396	24,559	29,466	28,939	28,939	29,886
Personal Service	20,436	20,619	24,567	24,567	24,567	25,511
Non-Personal Service/Indirect Costs	2,960	3,940	4,899	4,372	4,372	4,375
General State Charges	831	776	1,657	1,657	1,657	1,717
Civil Service, Department of	13,424	13,379	13,410	13,560	13,680	14,189
State Operations	13,277	13,295	13,205	13,331	13,441	13,926
Personal Service	11,662	12,212	12,384	12,497	12,591	13,075
Non-Personal Service/Indirect Costs	1,615	1,083	821	834	850	851
General State Charges	147	84	205	229	239	263
Deferred Compensation Board	524	613	846	846	853	854
State Operations	377	418	641	641	648	649
Personal Service	304	368	410	410	413	414
Non-Personal Service/Indirect Costs	73	50	231	231	235	235
General State Charges	147	195	205	205	205	205
Elections, State Board of	12,447	10,289	17,982	8,587	8,697	9,033
Local Assistance	586	647	300	0	0	0
State Operations	11,861	9,593	17,682	8,587	8,697	9,033
Personal Service	5,730	5,863	5,875	6,018	6,063	6,346
Non-Personal Service/Indirect Costs	6,131	3,730	11,807	2,569	2,634	2,687
General State Charges	0	49	0	0	0	0
Employee Relations, Office of	2,247	2,334	2,581	2,601	2,621	2,719
State Operations	2,247	2,334	2,581	2,601	2,621	2,719
Personal Service	2,200	2,269	2,510	2,529	2,548	2,646
Non-Personal Service/Indirect Costs	47	65	71	72	73	73
Gaming Commission, New York State	251,593	253,064	224,003	245,033	244,803	247,220
Local Assistance	91,881	101,820	95,700	131,730	131,500	131,500
State Operations	146,817	138,467	110,728	95,728	95,728	97,255
Personal Service	31,549	32,822	38,757	38,757	38,757	40,248
Non-Personal Service/Indirect Costs	115,268	105,645	71,971	56,971	56,971	57,007
General State Charges	12,895	12,777	17,575	17,575	17,575	18,465
General Services, Office of	268,221	262,189	322,113	377,721	309,511	259,454
Local Assistance	0	0	250	250	250	250
State Operations	163,847	169,251	148,858	147,166	143,066	143,633
Personal Service	71,454	77,580	56,951	56,951	56,951	60,376
Non-Personal Service/Indirect Costs	92,393	91,671	91,907	90,215	86,115	83,257

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
General State Charges	2,589	407	527	548	567	627
Capital Projects	101,785	92,531	172,478	229,757	165,628	114,944
<i>Inspector General, Office of the</i>	7,061	7,179	7,367	7,427	7,487	7,826
State Operations	7,061	7,179	7,367	7,427	7,487	7,826
Personal Service	6,230	5,833	6,552	6,600	6,648	6,970
Non-Personal Service/Indirect Costs	831	1,346	815	827	839	856
<i>Labor Management Committees</i>	24,882	28,818	25,300	25,300	25,306	25,517
State Operations	24,882	28,818	25,300	25,300	25,306	25,517
Personal Service	6,619	7,871	5,446	5,446	5,487	5,698
Non-Personal Service/Indirect Costs	18,263	20,947	19,854	19,854	19,819	19,819
<i>Prevention of Domestic Violence, Office for</i>	2,056	2,062	2,881	2,881	2,981	3,053
Local Assistance	575	528	1,285	1,285	1,385	1,385
State Operations	1,481	1,534	1,596	1,596	1,596	1,668
Personal Service	1,368	1,368	1,423	1,423	1,423	1,456
Non-Personal Service/Indirect Costs	113	166	173	173	173	212
<i>Public Employment Relations Board</i>	3,433	3,281	3,573	3,604	3,634	3,764
State Operations	3,433	3,281	3,573	3,604	3,634	3,764
Personal Service	3,207	3,056	3,336	3,363	3,388	3,518
Non-Personal Service/Indirect Costs	226	225	237	241	246	246
<i>Public Integrity, Commission on</i>	4,332	4,876	5,531	5,576	5,630	5,835
State Operations	4,332	4,876	5,531	5,576	5,630	5,835
Personal Service	3,480	3,808	4,620	4,646	4,681	4,867
Non-Personal Service/Indirect Costs	852	1,068	911	930	949	968
<i>State, Department of</i>	128,351	133,681	141,661	129,616	130,029	130,358
Local Assistance	72,431	71,828	73,341	62,836	62,836	62,836
State Operations	46,485	51,058	49,900	49,923	49,924	51,036
Personal Service	31,060	32,134	32,068	32,068	32,068	33,156
Non-Personal Service/Indirect Costs	15,425	18,924	17,832	17,855	17,856	17,880
General State Charges	9,435	10,795	14,420	14,857	15,269	16,486
Capital Projects	0	0	4,000	2,000	2,000	0
<i>Tax Appeals, Division of</i>	3,035	2,833	3,040	3,040	3,040	3,150
State Operations	3,035	2,833	3,040	3,040	3,040	3,150
Personal Service	2,763	2,604	2,870	2,870	2,870	2,980
Non-Personal Service/Indirect Costs	272	229	170	170	170	170
<i>Taxation and Finance, Department of</i>	354,936	359,123	359,860	359,535	359,360	370,887
Local Assistance	914	1,080	2,726	2,726	2,726	2,726
State Operations	335,963	333,026	330,844	330,352	330,344	340,751
Personal Service	292,613	284,526	269,907	269,907	269,907	280,269
Non-Personal Service/Indirect Costs	43,350	48,500	60,937	60,445	60,437	60,482
General State Charges	18,059	25,017	26,290	26,457	26,290	27,410
<i>Technology, Office for</i>	602,366	635,173	682,336	589,447	578,333	594,695
State Operations	506,821	548,953	542,620	559,582	559,582	569,995
Personal Service	284,010	298,543	280,946	286,565	292,296	308,763
Non-Personal Service/Indirect Costs	222,811	250,410	261,674	273,017	267,286	261,232
General State Charges	234	0	0	0	0	0
Capital Projects	95,311	86,220	139,716	29,865	18,751	24,700
<i>Veterans' Affairs, Division of</i>	13,612	15,067	17,137	15,481	15,496	15,789
Local Assistance	7,855	8,340	9,389	7,637	7,637	7,637
State Operations	5,553	6,509	7,519	7,604	7,604	7,883
Personal Service	5,117	5,512	6,675	6,742	6,742	7,004
Non-Personal Service/Indirect Costs	436	997	844	862	862	879
General State Charges	204	218	229	240	255	269
<i>Welfare Inspector General, Office of</i>	569	592	672	686	701	731

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
State Operations	569	592	672	686	701	731
Personal Service	511	565	617	621	626	654
Non-Personal Service/Indirect Costs	58	27	55	65	75	77
Workers' Compensation Board	194,970	193,275	208,016	211,792	213,595	208,376
State Operations	147,659	140,604	141,607	143,390	145,193	150,513
Personal Service	78,723	82,632	84,378	81,493	82,104	86,209
Non-Personal Service/Indirect Costs	68,936	57,972	57,229	61,897	63,089	64,304
General State Charges	44,194	48,919	51,409	53,402	53,402	57,863
Capital Projects	3,117	3,752	15,000	15,000	15,000	0
Functional Total	1,912,286	1,953,163	2,069,432	2,033,329	1,956,353	1,935,053
ELECTED OFFICIALS						
Audit and Control, Department of	174,200	185,005	187,048	181,070	181,253	187,628
Local Assistance	32,025	32,025	32,024	32,024	32,024	32,024
State Operations	140,681	149,275	149,094	146,661	146,844	153,187
Personal Service	111,792	115,964	115,350	113,609	113,687	119,369
Non-Personal Service/Indirect Costs	28,889	33,311	33,744	33,052	33,157	33,818
General State Charges	1,494	1,605	2,030	2,385	2,385	2,417
Capital Projects	0	2,100	3,900	0	0	0
Executive Chamber	13,704	14,653	13,578	13,578	13,578	14,032
State Operations	13,704	14,653	13,578	13,578	13,578	14,032
Personal Service	10,669	10,746	11,113	11,113	11,113	11,567
Non-Personal Service/Indirect Costs	3,035	3,907	2,465	2,465	2,465	2,465
Judiciary	2,764,516	2,828,688	2,953,997	3,010,806	3,003,805	3,060,806
Local Assistance	114,655	101,099	108,497	123,503	123,502	123,503
State Operations	1,964,295	2,024,995	2,072,800	2,098,200	2,100,700	2,157,700
Personal Service	1,510,827	1,570,097	1,596,800	1,622,200	1,624,700	1,681,700
Non-Personal Service/Indirect Costs	453,468	454,898	476,000	476,000	476,000	476,000
General State Charges	685,566	702,594	764,700	782,103	779,603	779,603
Capital Projects	0	0	8,000	7,000	0	0
Law, Department of	225,402	226,651	241,984	236,944	239,953	249,021
State Operations	195,131	195,421	203,433	202,510	205,455	213,788
Personal Service	136,608	138,838	140,969	139,078	140,779	147,851
Non-Personal Service/Indirect Costs	58,523	56,583	62,464	63,432	64,676	65,937
General State Charges	28,822	25,029	28,551	32,434	33,211	35,233
Capital Projects	1,449	6,201	10,000	2,000	1,287	0
Legislature	215,580	225,893	225,980	218,795	218,795	219,111
State Operations	215,580	225,893	225,980	218,795	218,795	219,111
Personal Service	167,444	170,623	171,104	166,331	166,331	166,647
Non-Personal Service/Indirect Costs	48,136	55,270	54,876	52,464	52,464	52,464
Lieutenant Governor, Office of the	499	510	614	614	614	634
State Operations	499	510	614	614	614	634
Personal Service	336	364	523	523	523	543
Non-Personal Service/Indirect Costs	163	146	91	91	91	91
Functional Total	3,393,901	3,481,400	3,623,201	3,661,807	3,657,998	3,731,232
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	728,288	714,839	722,974	735,548	763,347	763,347
Local Assistance	728,288	714,732	722,974	735,548	763,347	763,347
State Operations	0	107	0	0	0	0
Non-Personal Service/Indirect Costs	0	107	0	0	0	0
Efficiency Incentive Grants Program	1,289	0	348	0	0	0

CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
Local Assistance	1,289	0	348	0	0	0
Miscellaneous Financial Assistance	11,846	16,046	9,271	2,250	2,250	0
Local Assistance	11,846	16,046	9,271	2,250	2,250	0
Municipalities with VLT Facilities	29,331	29,331	28,885	28,885	28,885	28,885
Local Assistance	29,331	29,331	28,885	28,885	28,885	28,885
Small Government Assistance	217	217	218	218	218	218
Local Assistance	217	217	218	218	218	218
Functional Total	770,971	760,433	761,696	766,901	794,700	792,450
ALL OTHER CATEGORIES						
Arts and Cultural Facilities Improvement	0	0	5,000	5,000	0	0
Capital Projects	0	0	5,000	5,000	0	0
General State Charges	4,681,599	4,782,944	4,973,712	5,395,283	5,828,960	6,336,214
General State Charges	4,681,599	4,782,944	4,973,712	5,395,283	5,828,960	6,336,214
Long-Term Debt Service	5,635,102	5,552,429	5,325,137	6,360,430	7,054,457	7,307,597
State Operations	36,616	38,647	36,852	50,389	50,389	50,389
Non-Personal Service/Indirect Costs	36,616	38,647	36,852	50,389	50,389	50,389
Debt Service	5,598,486	5,513,782	5,288,285	6,310,041	7,004,068	7,257,208
Miscellaneous	(406,376)	(167,516)	(1,109,785)	(157,005)	301,190	481,504
Local Assistance	(479,776)	(230,963)	(568,953)	(621,608)	(449,338)	(407,623)
State Operations	24,639	24,815	77,825	172,841	287,857	402,940
Personal Service	1,982	1,939	33,053	128,058	243,063	358,144
Non-Personal Service/Indirect Costs	22,657	22,876	44,772	44,783	44,794	44,796
General State Charges	12,039	5,412	5,584	5,631	5,631	5,649
Capital Projects	36,722	33,220	(624,241)	286,131	457,040	480,538
Special Infrastructure Account	725,592	649,103	917,071	1,310,923	980,604	579,520
Local Assistance	33,159	230,875	40,000	40,000	30,000	30,000
Capital Projects	692,433	418,228	877,071	1,270,923	950,604	549,520
Functional Total	10,635,917	10,816,960	10,111,135	12,914,631	14,165,211	14,704,835
TOTAL ALL GOVERNMENTAL FUNDS SPENDING	150,708,487	157,015,273	164,053,542	172,279,197	176,961,344	180,653,635

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	103,855	96,908	114,275	134,282	111,894	100,295
Alcoholic Beverage Control, Division of	17,277	11,248	12,683	12,683	12,744	13,060
Economic Development Capital	6,614	1,844	29,276	22,587	21,600	20,862
Economic Development, Department of	92,494	84,240	94,623	97,450	87,008	92,533
Empire State Development Corporation	738,836	1,107,501	1,674,574	1,935,046	1,716,707	1,779,661
Energy Research and Development Authority	15,191	14,545	25,273	24,842	15,352	13,720
Financial Services, Department of	361,476	362,898	359,544	363,477	371,252	389,935
Olympic Regional Development Authority	10,611	10,236	26,686	19,186	5,886	5,886
Power Authority, New York	0	1,916	28,328	18,000	37,500	2,500
Public Service Department	71,087	78,938	79,500	79,494	80,415	83,644
Regional Economic Development Program	2,787	4,156	512	338	337	337
Strategic Investment Program	1,427	683	6,000	7,002	6,650	11,046
Functional Total	1,421,655	1,775,113	2,451,274	2,714,387	2,467,345	2,513,479
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,350	4,547	4,763	4,763	4,763	4,921
Environmental Conservation, Department of	878,293	1,026,898	1,202,834	1,274,536	1,251,160	1,253,047
Hudson River Park Trust	3,452	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	344,507	353,753	337,717	344,128	347,277	339,000
Functional Total	1,230,602	1,385,198	1,545,314	1,623,427	1,603,200	1,596,968
TRANSPORTATION						
Metropolitan Transportation Authority	0	250,000	970,856	250,000	350,000	0
Motor Vehicles, Department of	292,446	308,315	323,691	332,684	335,312	344,058
Thruway Authority, New York State	17,948	0	0	0	0	0
Transportation, Department of	9,124,697	9,950,606	10,145,263	10,453,672	10,280,550	10,215,849
Functional Total	9,435,091	10,508,921	11,439,810	11,036,356	10,965,862	10,559,907
HEALTH						
Aging, Office for the	229,479	225,544	228,559	230,116	237,731	244,944
Health, Department of	55,632,709	60,565,508	64,255,138	67,357,954	69,625,583	71,025,926
<i>Medical Assistance</i>	48,094,607	51,178,257	54,266,509	55,640,531	57,636,224	58,891,983
<i>Essential Plan</i>	1,539,298	3,569,893	3,708,740	4,561,689	4,940,915	5,409,143
<i>Medicaid Administration</i>	1,666,498	1,518,498	1,716,194	1,755,341	1,775,323	1,747,797
<i>Public Health</i>	4,332,306	4,298,860	4,563,695	5,400,393	5,273,121	4,977,003
Medicaid Inspector General, Office of the	51,999	50,994	48,627	48,043	48,406	50,445
Functional Total	55,914,187	60,842,046	64,532,324	67,636,113	69,911,720	71,321,315
SOCIAL WELFARE						
Children and Family Services, Office of	3,015,520	2,941,351	2,910,925	3,006,017	3,189,365	3,340,572
<i>OCFS</i>	2,926,598	2,859,104	2,824,553	2,905,711	3,084,303	3,226,282
<i>OCFS - Other</i>	88,922	82,247	86,372	100,306	105,062	114,290
Housing and Community Renewal, Division of	217,494	256,695	415,051	625,266	641,652	650,557
Human Rights, Division of	14,639	14,890	14,289	14,343	14,343	15,257
Labor, Department of	546,390	534,361	566,009	549,929	550,739	568,320
National and Community Service	15,100	17,282	16,253	16,564	16,571	16,904
Nonprofit Infrastructure Capital Investment Program	0	0	33,000	45,000	25,000	14,000
Temporary and Disability Assistance, Office of	5,289,204	4,722,939	5,140,328	5,174,860	5,191,991	5,199,284
<i>Welfare Assistance</i>	3,985,752	3,430,246	3,836,310	3,865,999	3,867,999	3,867,999
<i>All Other</i>	1,303,452	1,292,693	1,304,018	1,308,861	1,323,992	1,331,285
Functional Total	9,098,347	8,487,518	9,095,855	9,431,979	9,629,661	9,804,894
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	594,596	604,265	642,925	645,519	665,405	682,493
<i>OASAS</i>	507,773	514,925	559,971	560,854	579,429	594,315
<i>OASAS - Other</i>	86,823	89,340	82,954	84,665	85,976	88,178
Developmental Disabilities Planning Council	3,386	3,892	4,200	4,200	4,200	4,200
Justice Center	40,205	41,625	42,447	42,183	42,468	44,482

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
Mental Health, Office of	3,359,196	3,379,732	3,447,400	3,622,588	3,744,983	3,811,043
<i>OMH</i>	1,560,431	1,684,533	1,755,203	1,898,738	1,978,270	2,010,694
<i>OMH - Other</i>	1,798,765	1,695,199	1,692,197	1,723,850	1,766,713	1,800,349
Mental Hygiene, Department of	219	227	0	0	0	0
People with Developmental Disabilities, Office for	3,223,358	2,974,349	3,008,865	3,248,545	3,454,962	3,646,975
<i>OPWDD</i>	370,536	455,861	453,651	492,520	547,565	584,831
<i>OPWDD - Other</i>	2,852,822	2,518,488	2,555,214	2,756,025	2,907,397	3,062,144
Functional Total	7,220,960	7,004,090	7,145,837	7,563,035	7,912,018	8,189,193

PUBLIC PROTECTION/CRIMINAL JUSTICE

Correction, Commission of	2,297	2,431	2,651	2,651	2,651	2,773
Correctional Services, Department of	2,982,445	2,971,422	3,004,183	2,997,254	2,982,114	2,983,834
Criminal Justice Services, Division of	224,078	215,234	222,485	220,373	220,373	221,427
Disaster Assistance	(51,789)	0	0	0	0	0
Homeland Security and Emergency Services, Division of	1,974,600	1,432,507	1,145,869	1,015,759	875,962	818,910
Indigent Legal Services, Office of	60,116	63,641	99,781	165,528	189,621	213,781
Judicial Conduct, Commission on	5,567	5,505	5,584	5,643	5,708	5,936
Judicial Nomination, Commission on	20	10	30	30	30	30
Judicial Screening Committees, New York State	14	9	38	38	38	38
Military and Naval Affairs, Division of	102,534	130,428	132,178	93,116	83,043	82,065
State Police, Division of	733,485	788,957	823,221	765,697	761,547	785,703
Statewide Financial System	30,070	30,309	30,137	30,137	30,137	30,580
Victim Services, Office of	64,276	73,166	86,737	90,237	90,237	90,405
Functional Total	6,127,713	5,713,619	5,552,894	5,386,463	5,241,461	5,235,482

HIGHER EDUCATION

City University of New York	1,565,917	1,564,711	1,627,587	1,618,248	1,656,621	1,691,709
Higher Education - Miscellaneous	259	529	441	441	441	441
Higher Education Facilities Capital Matching Grants Program	136	0	5,000	12,500	12,500	15,000
Higher Education Services Corporation, New York State	1,084,054	1,020,224	943,052	1,180,465	1,219,498	1,237,771
State University of New York	8,042,846	7,985,264	8,190,761	8,364,011	8,550,800	8,884,123
Functional Total	10,693,212	10,570,728	10,766,841	11,175,665	11,439,860	11,829,044

EDUCATION

Arts, Council on the	42,178	43,884	46,113	45,953	45,953	46,049
Education, Department of	32,811,466	33,672,197	34,911,816	36,289,151	37,170,002	38,393,734
<i>School Aid</i>	25,501,461	27,250,623	28,836,801	30,257,985	31,160,672	32,284,587
<i>STAR Property Tax Relief</i>	3,334,700	3,139,051	2,629,997	2,519,559	2,452,538	2,437,295
<i>Special Education Categorical Programs</i>	2,201,098	1,907,839	2,015,690	2,047,580	2,139,628	2,229,918
<i>All Other</i>	1,774,207	1,374,684	1,429,328	1,464,027	1,417,164	1,441,934
Functional Total	32,853,644	33,716,081	34,957,929	36,335,104	37,215,955	38,439,783

GENERAL GOVERNMENT

Budget, Division of the	24,227	25,335	31,123	30,596	30,596	31,603
Civil Service, Department of	13,424	13,379	13,410	13,560	13,680	14,189
Deferred Compensation Board	524	613	846	846	853	854
Elections, State Board of	12,447	10,289	17,982	8,587	8,697	9,033
Employee Relations, Office of	2,247	2,334	2,581	2,601	2,621	2,719
Gaming Commission, New York State	251,593	253,064	224,003	245,033	244,803	247,220
General Services, Office of	268,221	262,189	322,113	377,721	309,511	259,454
Inspector General, Office of the	7,061	7,179	7,367	7,427	7,487	7,826
Labor Management Committees	24,882	28,818	25,300	25,300	25,306	25,517
Prevention of Domestic Violence, Office for	2,056	2,062	2,881	2,881	2,981	3,053
Public Employment Relations Board	3,433	3,281	3,573	3,604	3,634	3,764
Public Integrity, Commission on	4,332	4,876	5,531	5,576	5,630	5,835
State, Department of	128,351	133,681	141,661	129,616	130,029	130,358
Tax Appeals, Division of	3,035	2,833	3,040	3,040	3,040	3,150
Taxation and Finance, Department of	354,936	359,123	359,860	359,535	359,360	370,887
Technology, Office for	602,366	635,173	682,336	589,447	578,333	594,695

CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
Veterans' Affairs, Division of	13,612	15,067	17,137	15,481	15,496	15,789
Welfare Inspector General, Office of	569	592	672	686	701	731
Workers' Compensation Board	194,970	193,275	208,016	211,792	213,595	208,376
Functional Total	1,912,286	1,953,163	2,069,432	2,033,329	1,956,353	1,935,053
ELECTED OFFICIALS						
Audit and Control, Department of	174,200	185,005	187,048	181,070	181,253	187,628
Executive Chamber	13,704	14,653	13,578	13,578	13,578	14,032
Judiciary	2,764,517	2,828,689	2,953,997	3,010,806	3,003,805	3,060,806
Law, Department of	225,402	226,651	241,984	236,944	239,953	249,021
Legislature	215,580	225,893	225,980	218,795	218,795	219,111
Lieutenant Governor, Office of the	499	510	614	614	614	634
Functional Total	3,393,902	3,481,401	3,623,201	3,661,807	3,657,998	3,731,232
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	728,288	714,839	722,974	735,548	763,347	763,347
Efficiency Incentive Grants Program	1,289	0	348	0	0	0
Miscellaneous Financial Assistance	11,846	16,046	9,271	2,250	2,250	0
Municipalities with VLT Facilities	29,331	29,331	28,885	28,885	28,885	28,885
Small Government Assistance	217	217	218	218	218	218
Functional Total	770,971	760,433	761,696	766,901	794,700	792,450
ALL OTHER CATEGORIES						
Arts and Cultural Facilities Improvement	0	0	5,000	5,000	0	0
General State Charges	4,681,599	4,782,943	4,973,712	5,395,283	5,828,960	6,336,214
Long-Term Debt Service	5,635,102	5,552,429	5,325,137	6,360,430	7,054,457	7,307,597
Miscellaneous	(406,376)	(167,516)	(1,109,785)	(157,005)	301,190	481,504
Special Infrastructure Account	725,592	649,103	917,071	1,310,923	980,604	579,520
Functional Total	10,635,917	10,816,959	10,111,135	12,914,631	14,165,211	14,704,835
TOTAL ALL GOVERNMENTAL FUNDS SPENDING	150,708,487	157,015,270	164,053,542	172,279,197	176,961,344	180,653,635

CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
LOCAL ASSISTANCE
(thousands of dollars)

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	27,279	31,980	31,611	28,757	28,757	24,757
Economic Development Capital	6,614	1,844	12	0	0	0
Economic Development, Department of	71,410	62,448	66,525	67,184	67,184	67,184
Empire State Development Corporation	705,116	1,031,640	1,166,896	1,386,268	1,244,029	1,244,733
Energy Research and Development Authority	1,842	0	0	0	0	0
Financial Services, Department of	80,686	66,703	59,388	59,430	59,412	59,406
Olympic Regional Development Authority	0	0	10,000	0	0	0
Power Authority, New York	0	1,916	0	0	0	0
Public Service Department	0	0	155	155	155	155
Regional Economic Development Program	2,787	4,156	0	0	0	0
Strategic Investment Program	1,427	683	0	0	0	0
Functional Total	897,161	1,201,370	1,334,587	1,541,794	1,399,537	1,396,235
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	312,669	327,482	195,966	190,618	190,618	190,618
Parks, Recreation and Historic Preservation, Office of	13,682	13,281	8,510	7,470	7,470	7,470
Functional Total	326,351	340,763	204,476	198,088	198,088	198,088
TRANSPORTATION						
Metropolitan Transportation Authority	0	250,000	970,856	250,000	350,000	0
Motor Vehicles, Department of	14,681	15,199	19,600	18,000	18,000	18,000
Thruway Authority, New York State	1,379	0	0	0	0	0
Transportation, Department of	5,680,162	6,331,110	6,234,817	6,194,467	6,290,178	6,341,774
Functional Total	5,696,222	6,596,309	7,225,273	6,462,467	6,658,178	6,359,774
HEALTH						
Aging, Office for the	217,793	213,003	216,602	219,084	225,494	232,522
Health, Department of	54,181,665	59,029,630	62,583,354	65,528,160	67,743,634	69,217,246
<i>Medical Assistance</i>	48,094,607	51,178,257	54,266,509	55,640,531	57,636,224	58,891,983
<i>Essential Plan</i>	1,525,938	3,526,056	3,612,422	4,460,019	4,843,219	5,316,303
<i>Medicaid Administration</i>	1,005,222	843,305	926,542	823,344	791,947	791,947
<i>Public Health</i>	3,555,898	3,482,012	3,777,881	4,604,266	4,472,244	4,217,013
Functional Total	54,399,458	59,242,633	62,799,956	65,747,244	67,969,128	69,449,768
SOCIAL WELFARE						
Children and Family Services, Office of	2,632,776	2,577,239	2,493,477	2,540,096	2,681,347	2,761,960
<i>OCFS</i>	2,543,854	2,494,992	2,407,105	2,439,790	2,576,285	2,647,670
<i>OCFS - Other</i>	88,922	82,247	86,372	100,306	105,062	114,290
Housing and Community Renewal, Division of	139,769	187,653	334,143	548,307	564,693	569,370
Labor, Department of	168,509	150,118	167,312	152,042	152,042	152,042
National and Community Service	142	267	350	350	350	350
Temporary and Disability Assistance, Office of	4,959,901	4,393,371	4,815,784	4,847,245	4,858,045	4,860,845
<i>Welfare Assistance</i>	3,985,752	3,430,246	3,836,310	3,865,999	3,867,999	3,867,999
<i>All Other</i>	974,149	963,125	979,474	981,246	990,046	992,846
Functional Total	7,901,097	7,308,648	7,811,066	8,088,040	8,256,477	8,344,567
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	475,335	479,383	520,773	520,849	538,286	551,516
<i>OASAS</i>	454,010	458,058	499,448	499,524	516,961	530,191
<i>OASAS - Other</i>	21,325	21,325	21,325	21,325	21,325	21,325
Justice Center	544	679	649	649	649	649
Mental Health, Office of	1,231,809	1,272,366	1,318,731	1,498,459	1,578,720	1,622,627
<i>OMH</i>	951,573	1,001,866	1,049,907	1,198,645	1,267,703	1,300,127
<i>OMH - Other</i>	280,236	270,500	268,824	299,814	311,017	322,500

CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
LOCAL ASSISTANCE
(thousands of dollars)

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
People with Developmental Disabilities, Office for	1,198,724	969,589	959,752	1,201,904	1,369,610	1,515,779
<i>OPWDD</i>	334,196	385,635	378,863	417,410	472,006	509,272
<i>OPWDD - Other</i>	864,528	583,954	580,889	784,494	897,604	1,006,507
Functional Total	2,906,412	2,722,017	2,799,905	3,221,861	3,487,265	3,690,571
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	4,251	4,457	5,497	5,497	5,497	5,497
Criminal Justice Services, Division of	180,062	171,426	174,311	172,346	172,346	172,346
Homeland Security and Emergency Services, Division of	1,837,898	1,353,983	1,027,917	944,810	813,544	755,313
Indigent Legal Services, Office of	58,068	60,503	95,465	160,672	184,765	208,859
Military and Naval Affairs, Division of	805	786	820	820	820	820
State Police, Division of	0	91	0	0	0	0
Victim Services, Office of	58,114	65,814	78,966	82,466	82,466	82,466
Functional Total	2,139,198	1,657,060	1,382,976	1,366,611	1,259,438	1,225,301
HIGHER EDUCATION						
City University of New York	1,429,462	1,424,129	1,469,629	1,476,363	1,512,527	1,545,366
Higher Education Facilities Capital Matching Grants Program	136	0	0	0	0	0
Higher Education Services Corporation, New York State	1,025,184	957,549	880,408	1,117,821	1,156,854	1,175,127
State University of New York	514,892	491,891	481,847	486,498	486,165	486,165
Functional Total	2,969,674	2,873,569	2,831,884	3,080,682	3,155,546	3,206,658
EDUCATION						
Arts, Council on the	38,691	39,959	41,693	41,533	41,533	41,533
Education, Department of	32,394,087	33,276,815	34,511,854	35,868,151	36,747,802	37,969,594
<i>School Aid</i>	25,501,153	27,250,623	28,836,801	30,257,985	31,160,672	32,284,587
<i>STAR Property Tax Relief</i>	3,334,700	3,139,051	2,629,997	2,519,559	2,452,538	2,437,295
<i>Special Education Categorical Programs</i>	2,178,960	1,907,839	2,015,690	2,047,580	2,139,628	2,229,918
<i>All Other</i>	1,379,274	979,302	1,029,366	1,043,027	994,964	1,017,794
Functional Total	32,432,778	33,316,774	34,553,547	35,909,684	36,789,335	38,011,127
GENERAL GOVERNMENT						
Elections, State Board of	586	647	300	0	0	0
Gaming Commission, New York State	91,881	101,820	95,700	131,730	131,500	131,500
General Services, Office of	0	0	250	250	250	250
Prevention of Domestic Violence, Office for	575	528	1,285	1,285	1,385	1,385
State, Department of	72,431	71,828	73,341	62,836	62,836	62,836
Taxation and Finance, Department of	914	1,080	2,726	2,726	2,726	2,726
Veterans' Affairs, Division of	7,855	8,340	9,389	7,637	7,637	7,637
Functional Total	174,242	184,243	182,991	206,464	206,334	206,334
ELECTED OFFICIALS						
Audit and Control, Department of	32,025	32,025	32,024	32,024	32,024	32,024
Judiciary	114,655	101,099	108,497	123,503	123,502	123,503
Functional Total	146,680	133,124	140,521	155,527	155,526	155,527
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	728,288	714,732	722,974	735,548	763,347	763,347
Efficiency Incentive Grants Program	1,289	0	348	0	0	0
Miscellaneous Financial Assistance	11,846	16,046	9,271	2,250	2,250	0
Municipalities with VLT Facilities	29,331	29,331	28,885	28,885	28,885	28,885
Small Government Assistance	217	217	218	218	218	218
Functional Total	770,971	760,326	761,696	766,901	794,700	792,450

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
LOCAL ASSISTANCE
(thousands of dollars)**

	<u>FY 2016 Results</u>	<u>FY 2017 Results</u>	<u>FY 2018 Updated</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>
ALL OTHER CATEGORIES						
Miscellaneous	(479,776)	(230,963)	(568,953)	(621,608)	(449,338)	(407,623)
Special Infrastructure Account	33,159	230,875	40,000	40,000	30,000	30,000
Functional Total	<u>(446,617)</u>	<u>(88)</u>	<u>(528,953)</u>	<u>(581,608)</u>	<u>(419,338)</u>	<u>(377,623)</u>
TOTAL LOCAL ASSISTANCE SPENDING	<u>110,313,627</u>	<u>116,336,748</u>	<u>121,499,925</u>	<u>126,163,755</u>	<u>129,910,214</u>	<u>132,658,777</u>

CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
STATE OPERATIONS
(thousands of dollars)

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	68,227	56,825	49,616	65,337	65,311	66,422
Alcoholic Beverage Control, Division of	12,501	11,127	12,683	12,683	12,744	13,060
Economic Development, Department of	21,056	21,775	19,796	19,796	19,796	19,796
Energy Research and Development Authority	1,431	0	0	0	0	0
Financial Services, Department of	203,339	209,543	206,743	206,985	210,945	217,190
Olympic Regional Development Authority	3,091	2,736	2,686	5,886	5,886	5,886
Public Service Department	51,548	51,775	50,800	50,855	50,855	52,468
Functional Total	361,193	353,781	342,324	361,542	365,537	374,822
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,350	4,547	4,763	4,763	4,763	4,921
Environmental Conservation, Department of	280,475	269,816	255,840	256,156	256,855	266,173
Parks, Recreation and Historic Preservation, Office of	184,894	183,671	169,571	170,798	172,110	178,479
Functional Total	469,719	458,034	430,174	431,717	433,728	449,573
TRANSPORTATION						
Motor Vehicles, Department of	62,922	54,854	58,113	58,167	58,167	59,114
Thruway Authority, New York State	16,569	0	0	0	0	0
Transportation, Department of	23,687	31,448	35,100	35,226	35,226	35,790
Functional Total	103,178	86,302	93,213	93,393	93,393	94,904
HEALTH						
Aging, Office for the	11,686	12,541	11,957	11,032	12,237	12,422
Health, Department of	1,324,407	1,426,129	1,519,966	1,655,222	1,704,587	1,678,454
<i>Essential Plan</i>	13,360	43,837	96,318	101,670	97,696	92,840
<i>Medicaid Administration</i>	658,002	673,671	785,840	925,782	976,734	948,801
<i>Public Health</i>	653,045	708,621	637,808	627,770	630,157	636,813
Medicaid Inspector General, Office of the	43,045	41,851	39,019	38,175	38,175	39,438
Functional Total	1,379,138	1,480,521	1,570,942	1,704,429	1,754,999	1,730,314
SOCIAL WELFARE						
Children and Family Services, Office of	344,820	328,252	338,992	384,749	471,255	540,631
<i>OCFS</i>	344,820	328,252	338,992	384,749	471,255	540,631
Housing and Community Renewal, Division of	58,235	59,782	59,426	59,550	59,550	63,778
Human Rights, Division of	14,639	14,890	14,289	14,343	14,343	15,257
Labor, Department of	271,348	273,212	282,017	282,017	282,017	299,598
National and Community Service	14,958	17,015	15,679	15,985	15,985	16,312
Temporary and Disability Assistance, Office of	289,826	286,879	279,246	282,317	288,648	293,141
<i>All Other</i>	289,826	286,879	279,246	282,317	288,648	293,141
Functional Total	993,826	980,030	989,649	1,038,961	1,131,798	1,228,717
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	87,218	90,090	80,861	82,086	83,256	85,217
<i>OASAS</i>	40,645	41,998	38,362	39,112	39,680	40,490
<i>OASAS - Other</i>	46,573	48,092	42,499	42,974	43,576	44,727
Developmental Disabilities Planning Council	2,932	3,661	3,456	3,415	3,415	3,415
Justice Center	38,996	40,096	40,842	40,499	40,766	42,780
Mental Health, Office of	1,418,971	1,345,465	1,332,725	1,320,503	1,340,212	1,362,365
<i>OMH</i>	356,185	350,032	349,553	353,910	358,315	358,315
<i>OMH - Other</i>	1,062,786	995,433	983,172	966,593	981,897	1,004,050
Mental Hygiene, Department of	219	227	0	0	0	0
People with Developmental Disabilities, Office for	1,367,905	1,298,507	1,300,733	1,295,225	1,309,828	1,328,285
<i>OPWDD</i>	296	1,173	1,181	1,181	1,181	1,181
<i>OPWDD - Other</i>	1,367,609	1,297,334	1,299,552	1,294,044	1,308,647	1,327,104
Functional Total	2,916,241	2,778,046	2,758,617	2,741,728	2,777,477	2,822,062
PUBLIC PROTECTION/CRIMINAL JUSTICE						

CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
STATE OPERATIONS
(thousands of dollars)

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
Correction, Commission of	2,297	2,431	2,651	2,651	2,651	2,773
Correctional Services, Department of	2,717,010	2,662,598	2,634,217	2,636,973	2,644,128	2,649,758
Criminal Justice Services, Division of	43,716	43,444	47,738	47,644	47,644	48,698
Disaster Assistance	(51,789)	0	0	0	0	0
Homeland Security and Emergency Services, Division of	120,000	62,691	43,928	48,923	49,537	50,698
Indigent Legal Services, Office of	1,449	2,171	3,300	3,300	3,300	3,366
Judicial Conduct, Commission on	5,567	5,505	5,584	5,643	5,708	5,936
Judicial Nomination, Commission on	20	10	30	30	30	30
Judicial Screening Committees, New York State	14	9	38	38	38	38
Military and Naval Affairs, Division of	57,893	53,525	48,576	49,397	50,087	50,947
State Police, Division of	710,507	737,575	740,033	714,423	713,703	739,442
Statewide Financial System	30,070	30,309	30,137	30,137	30,137	30,580
Victim Services, Office of	4,627	5,782	6,121	6,121	6,121	6,289
Functional Total	3,641,381	3,606,050	3,562,353	3,545,280	3,553,084	3,588,555
HIGHER EDUCATION						
City University of New York	95,103	96,394	114,666	98,093	99,582	101,099
Higher Education - Miscellaneous	198	386	291	291	291	291
Higher Education Services Corporation, New York State	50,252	54,150	53,685	53,685	53,685	53,685
State University of New York	6,184,867	6,313,021	6,341,744	6,493,295	6,649,427	6,954,034
Functional Total	6,330,420	6,463,951	6,510,386	6,645,364	6,802,985	7,109,109
EDUCATION						
Arts, Council on the	3,487	3,925	4,420	4,420	4,420	4,516
Education, Department of	333,434	310,252	296,124	294,315	292,153	299,152
<i>School Aid</i>	296	0	0	0	0	0
<i>Special Education Categorical Programs</i>	17,627	0	0	0	0	0
<i>All Other</i>	315,511	310,252	296,124	294,315	292,153	299,152
Functional Total	336,921	314,177	300,544	298,735	296,573	303,668
GENERAL GOVERNMENT						
Budget, Division of the	23,396	24,559	29,466	28,939	28,939	29,886
Civil Service, Department of	13,277	13,295	13,205	13,331	13,441	13,926
Deferred Compensation Board	377	418	641	641	648	649
Elections, State Board of	11,861	9,593	17,682	8,587	8,697	9,033
Employee Relations, Office of	2,247	2,334	2,581	2,601	2,621	2,719
Gaming Commission, New York State	146,817	138,467	110,728	95,728	95,728	97,255
General Services, Office of	163,847	169,251	148,858	147,166	143,066	143,633
Inspector General, Office of the	7,061	7,179	7,367	7,427	7,487	7,826
Labor Management Committees	24,882	28,818	25,300	25,300	25,306	25,517
Prevention of Domestic Violence, Office for	1,481	1,534	1,596	1,596	1,596	1,668
Public Employment Relations Board	3,433	3,281	3,573	3,604	3,634	3,764
Public Integrity, Commission on	4,332	4,876	5,531	5,576	5,630	5,835
State, Department of	46,485	51,058	49,900	49,923	49,924	51,036
Tax Appeals, Division of	3,035	2,833	3,040	3,040	3,040	3,150
Taxation and Finance, Department of	335,963	333,026	330,844	330,352	330,344	340,751
Technology, Office for	506,821	548,953	542,620	559,582	559,582	569,995
Veterans' Affairs, Division of	5,553	6,509	7,519	7,604	7,604	7,883
Welfare Inspector General, Office of	569	592	672	686	701	731
Workers' Compensation Board	147,659	140,604	141,607	143,390	145,193	150,513
Functional Total	1,449,096	1,487,180	1,442,730	1,435,073	1,433,181	1,465,770

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
STATE OPERATIONS
(thousands of dollars)**

	<u>FY 2016 Results</u>	<u>FY 2017 Results</u>	<u>FY 2018 Updated</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>
ELECTED OFFICIALS						
Audit and Control, Department of	140,681	149,275	149,094	146,661	146,844	153,187
Executive Chamber	13,704	14,653	13,578	13,578	13,578	14,032
Judiciary	1,964,296	2,024,994	2,072,800	2,098,200	2,100,700	2,157,700
Law, Department of	195,131	195,421	203,433	202,510	205,455	213,788
Legislature	215,580	225,893	225,980	218,795	218,795	219,111
Lieutenant Governor, Office of the	499	510	614	614	614	634
Functional Total	<u>2,529,891</u>	<u>2,610,746</u>	<u>2,665,499</u>	<u>2,680,358</u>	<u>2,685,986</u>	<u>2,758,452</u>
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	0	107	0	0	0	0
Functional Total	<u>0</u>	<u>107</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
ALL OTHER CATEGORIES						
Long-Term Debt Service	36,616	38,647	36,852	50,389	50,389	50,389
Miscellaneous	24,639	24,815	77,825	172,841	287,857	402,940
Functional Total	<u>61,255</u>	<u>63,462</u>	<u>114,677</u>	<u>223,230</u>	<u>338,246</u>	<u>453,329</u>
TOTAL STATE OPERATIONS SPENDING	<u>20,572,259</u>	<u>20,682,387</u>	<u>20,781,108</u>	<u>21,199,810</u>	<u>21,666,987</u>	<u>22,379,275</u>

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	32,116	34,188	32,596	32,651	32,656	33,771
Alcoholic Beverage Control, Division of	7,622	7,742	8,147	8,147	8,208	8,524
Economic Development, Department of	11,974	13,152	12,929	12,929	12,929	12,929
Energy Research and Development Authority	1,024	0	0	0	0	0
Financial Services, Department of	149,000	151,522	151,883	151,928	152,663	158,612
Olympic Regional Development Authority	2,593	2,548	2,548	2,548	2,548	2,548
Public Service Department	42,792	42,918	43,088	43,088	43,088	44,699
Functional Total	247,121	252,070	251,191	251,291	252,092	261,083
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,036	4,112	4,108	4,108	4,108	4,266
Environmental Conservation, Department of	207,472	202,238	197,968	198,319	198,436	207,814
Parks, Recreation and Historic Preservation, Office of	137,415	138,056	124,720	125,947	127,258	133,623
Functional Total	348,923	344,406	326,796	328,374	329,802	345,703
TRANSPORTATION						
Motor Vehicles, Department of	44,887	37,012	40,214	40,214	40,214	41,128
Transportation, Department of	10,209	12,251	13,760	13,943	13,943	14,478
Functional Total	55,096	49,263	53,974	54,157	54,157	55,606
HEALTH						
Aging, Office for the	7,025	7,196	7,515	7,646	7,782	7,967
Health, Department of	346,448	360,620	353,920	368,274	375,502	387,232
<i>Essential Plan</i>	391	1,367	3,668	4,015	3,835	3,769
<i>Medicaid Administration</i>	61,581	71,191	81,108	98,510	105,902	111,993
<i>Public Health</i>	284,476	288,062	269,144	265,749	265,765	271,470
Medicaid Inspector General, Office of the	33,122	32,737	30,910	30,066	30,066	31,287
Functional Total	386,595	400,553	392,345	405,986	413,350	426,486
SOCIAL WELFARE						
Children and Family Services, Office of	190,637	194,832	188,665	220,665	289,870	344,830
<i>OCFS</i>	190,637	194,832	188,665	220,665	289,870	344,830
Housing and Community Renewal, Division of	45,570	49,632	47,923	47,998	47,998	51,350
Human Rights, Division of	11,899	12,205	12,567	12,596	12,596	13,475
Labor, Department of	202,854	204,836	192,484	192,484	192,484	206,660
National and Community Service	556	465	701	708	708	730
Temporary and Disability Assistance, Office of	142,685	154,062	145,924	146,740	151,899	155,268
<i>All Other</i>	142,685	154,062	145,924	146,740	151,899	155,268
Functional Total	594,201	616,032	588,264	621,191	695,555	772,313
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	59,224	59,664	60,017	60,675	61,283	62,784
<i>OASAS</i>	24,709	24,808	28,396	28,899	29,193	29,823
<i>OASAS - Other</i>	34,515	34,856	31,621	31,776	32,090	32,961
Developmental Disabilities Planning Council	838	976	1,266	1,266	1,266	1,266
Justice Center	26,675	29,334	31,163	31,163	31,163	32,563
Mental Health, Office of	1,124,513	1,073,377	1,054,581	1,038,144	1,052,031	1,068,218
<i>OMH</i>	290,796	289,320	299,909	302,901	305,937	305,937
<i>OMH - Other</i>	833,717	784,057	754,672	735,243	746,094	762,281
People with Developmental Disabilities, Office for	1,151,790	1,092,002	1,090,604	1,084,782	1,094,674	1,108,191
<i>OPWDD - Other</i>	1,151,790	1,092,002	1,090,604	1,084,782	1,094,674	1,108,191
Functional Total	2,363,040	2,255,353	2,237,631	2,216,030	2,240,417	2,273,022
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,042	2,234	2,330	2,414	2,414	2,531
Correctional Services, Department of	2,190,226	2,134,801	2,067,980	2,070,736	2,077,891	2,083,521
Criminal Justice Services, Division of	29,647	31,283	30,106	30,184	30,184	31,238

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
Disaster Assistance	(1,768)	0	0	0	0	0
Homeland Security and Emergency Services, Division of	27,041	26,736	24,873	29,073	29,792	30,948
Indigent Legal Services, Office of	1,211	1,678	2,520	2,520	2,520	2,586
Judicial Conduct, Commission on	4,208	4,181	4,281	4,312	4,347	4,548
Military and Naval Affairs, Division of	35,517	29,020	26,295	26,535	26,780	27,330
State Police, Division of	629,333	655,292	660,489	635,039	634,289	659,969
Statewide Financial System	10,234	11,045	11,513	11,513	11,513	11,956
Victim Services, Office of	4,074	4,638	4,834	4,834	4,834	5,002
Functional Total	2,931,765	2,900,908	2,835,221	2,817,160	2,824,564	2,859,629
HIGHER EDUCATION						
City University of New York	46,870	48,975	68,996	47,755	48,390	49,035
Higher Education - Miscellaneous	133	283	198	198	198	198
Higher Education Services Corporation, New York State	15,256	14,530	14,150	14,150	14,150	14,150
State University of New York	3,637,321	3,775,119	3,800,898	3,922,873	4,048,923	4,322,928
Functional Total	3,699,580	3,838,907	3,884,242	3,984,976	4,111,661	4,386,311
EDUCATION						
Arts, Council on the	2,253	2,491	2,498	2,498	2,498	2,594
Education, Department of	170,884	174,795	172,575	172,576	171,970	178,430
<i>School Aid</i>	82	0	0	0	0	0
<i>Special Education Categorical Programs</i>	9,167	0	0	0	0	0
<i>All Other</i>	161,635	174,795	172,575	172,576	171,970	178,430
Functional Total	173,137	177,286	175,073	175,074	174,468	181,024
GENERAL GOVERNMENT						
Budget, Division of the	20,436	20,619	24,567	24,567	24,567	25,511
Civil Service, Department of	11,662	12,212	12,384	12,497	12,591	13,075
Deferred Compensation Board	304	368	410	410	413	414
Elections, State Board of	5,730	5,863	5,875	6,018	6,063	6,346
Employee Relations, Office of	2,200	2,269	2,510	2,529	2,548	2,646
Gaming Commission, New York State	31,549	32,822	38,757	38,757	38,757	40,248
General Services, Office of	71,454	77,580	56,951	56,951	56,951	60,376
Inspector General, Office of the	6,230	5,833	6,552	6,600	6,648	6,970
Labor Management Committees	6,619	7,871	5,446	5,446	5,487	5,698
Prevention of Domestic Violence, Office for	1,368	1,368	1,423	1,423	1,423	1,456
Public Employment Relations Board	3,207	3,056	3,336	3,363	3,388	3,518
Public Integrity, Commission on	3,480	3,808	4,620	4,646	4,681	4,867
State, Department of	31,060	32,134	32,068	32,068	32,068	33,156
Tax Appeals, Division of	2,763	2,604	2,870	2,870	2,870	2,980
Taxation and Finance, Department of	292,613	284,526	269,907	269,907	269,907	280,269
Technology, Office for	284,010	298,543	280,946	286,565	292,296	308,763
Veterans' Affairs, Division of	5,117	5,512	6,675	6,742	6,742	7,004
Welfare Inspector General, Office of	511	565	617	621	626	654
Workers' Compensation Board	78,723	82,632	84,378	81,493	82,104	86,209
Functional Total	859,036	880,185	840,292	843,473	850,130	890,160
ELECTED OFFICIALS						
Audit and Control, Department of	111,792	115,964	115,350	113,609	113,687	119,369
Executive Chamber	10,669	10,746	11,113	11,113	11,113	11,567
Judiciary	1,510,827	1,570,097	1,596,800	1,622,200	1,624,700	1,681,700
Law, Department of	136,608	138,838	140,969	139,078	140,779	147,851
Legislature	167,444	170,623	171,104	166,331	166,331	166,647
Lieutenant Governor, Office of the	336	364	523	523	523	543
Functional Total	1,937,676	2,006,632	2,035,859	2,052,854	2,057,133	2,127,677

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	<u>FY 2016 Results</u>	<u>FY 2017 Results</u>	<u>FY 2018 Updated</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>
ALL OTHER CATEGORIES						
Miscellaneous	1,982	1,939	33,053	128,058	243,063	358,144
Functional Total	<u>1,982</u>	<u>1,939</u>	<u>33,053</u>	<u>128,058</u>	<u>243,063</u>	<u>358,144</u>
TOTAL PERSONAL SERVICE SPENDING	<u><u>13,598,152</u></u>	<u><u>13,723,534</u></u>	<u><u>13,653,941</u></u>	<u><u>13,878,624</u></u>	<u><u>14,246,392</u></u>	<u><u>14,937,158</u></u>

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	36,111	22,637	17,020	32,686	32,655	32,651
Alcoholic Beverage Control, Division of	4,879	3,385	4,536	4,536	4,536	4,536
Economic Development, Department of	9,082	8,623	6,867	6,867	6,867	6,867
Energy Research and Development Authority	407	0	0	0	0	0
Financial Services, Department of	54,339	58,021	54,860	55,057	58,282	58,578
Olympic Regional Development Authority	498	188	138	3,338	3,338	3,338
Public Service Department	8,756	8,857	7,712	7,767	7,767	7,769
Functional Total	114,072	101,711	91,133	110,251	113,445	113,739
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	314	435	655	655	655	655
Environmental Conservation, Department of	73,003	67,578	57,872	57,837	58,419	58,359
Parks, Recreation and Historic Preservation, Office of	47,479	45,615	44,851	44,851	44,852	44,856
Functional Total	120,796	113,628	103,378	103,343	103,926	103,870
TRANSPORTATION						
Motor Vehicles, Department of	18,035	17,842	17,899	17,953	17,953	17,986
Thruway Authority, New York State	16,569	0	0	0	0	0
Transportation, Department of	13,478	19,197	21,340	21,283	21,283	21,312
Functional Total	48,082	37,039	39,239	39,236	39,236	39,298
HEALTH						
Aging, Office for the	4,661	5,345	4,442	3,386	4,455	4,455
Health, Department of	977,959	1,065,509	1,166,046	1,286,948	1,329,085	1,291,222
<i>Essential Plan</i>	12,969	42,470	92,650	97,655	93,861	89,071
<i>Medicaid Administration</i>	596,421	602,480	704,732	827,272	870,832	836,808
<i>Public Health</i>	368,569	420,559	368,664	362,021	364,392	365,343
Medicaid Inspector General, Office of the	9,923	9,114	8,109	8,109	8,109	8,151
Functional Total	992,543	1,079,968	1,178,597	1,298,443	1,341,649	1,303,828
SOCIAL WELFARE						
Children and Family Services, Office of	154,183	133,420	150,327	164,084	181,385	195,801
<i>OCFS</i>	154,183	133,420	150,327	164,084	181,385	195,801
Housing and Community Renewal, Division of	12,665	10,150	11,503	11,552	11,552	12,428
Human Rights, Division of	2,740	2,685	1,722	1,747	1,747	1,782
Labor, Department of	68,494	68,376	89,533	89,533	89,533	92,938
National and Community Service	14,402	16,550	14,978	15,277	15,277	15,582
Temporary and Disability Assistance, Office of	147,141	132,817	133,322	135,577	136,749	137,873
<i>All Other</i>	147,141	132,817	133,322	135,577	136,749	137,873
Functional Total	399,625	363,998	401,385	417,770	436,243	456,404
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	27,994	30,426	20,844	21,411	21,973	22,433
<i>OASAS</i>	15,936	17,190	9,966	10,213	10,487	10,667
<i>OASAS - Other</i>	12,058	13,236	10,878	11,198	11,486	11,766
Developmental Disabilities Planning Council	2,094	2,685	2,190	2,149	2,149	2,149
Justice Center	12,321	10,762	9,679	9,336	9,603	10,217
Mental Health, Office of	294,458	272,088	278,144	282,359	288,181	294,147
<i>OMH</i>	65,389	60,712	49,644	51,009	52,378	52,378
<i>OMH - Other</i>	229,069	211,376	228,500	231,350	235,803	241,769
Mental Hygiene, Department of	219	227	0	0	0	0
People with Developmental Disabilities, Office for	216,115	206,505	210,129	210,443	215,154	220,094
<i>OPWDD</i>	296	1,173	1,181	1,181	1,181	1,181
<i>OPWDD - Other</i>	215,819	205,332	208,948	209,262	213,973	218,913
Functional Total	553,201	522,693	520,986	525,698	537,060	549,040
PUBLIC PROTECTION/CRIMINAL JUSTICE						

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
Correction, Commission of	255	197	321	237	237	242
Correctional Services, Department of	526,784	527,797	566,237	566,237	566,237	566,237
Criminal Justice Services, Division of	14,069	12,161	17,632	17,460	17,460	17,460
Disaster Assistance	(50,021)	0	0	0	0	0
Homeland Security and Emergency Services, Division of	92,959	35,955	19,055	19,850	19,745	19,750
Indigent Legal Services, Office of	238	493	780	780	780	780
Judicial Conduct, Commission on	1,359	1,324	1,303	1,331	1,361	1,388
Judicial Nomination, Commission on	20	10	30	30	30	30
Judicial Screening Committees, New York State	14	9	38	38	38	38
Military and Naval Affairs, Division of	22,376	24,505	22,281	22,862	23,307	23,617
State Police, Division of	81,174	82,283	79,544	79,384	79,414	79,473
Statewide Financial System	19,836	19,264	18,624	18,624	18,624	18,624
Victim Services, Office of	553	1,144	1,287	1,287	1,287	1,287
Functional Total	709,616	705,142	727,132	728,120	728,520	728,926
HIGHER EDUCATION						
City University of New York	48,233	47,419	45,670	50,338	51,192	52,064
Higher Education - Miscellaneous	65	103	93	93	93	93
Higher Education Services Corporation, New York State	34,996	39,620	39,535	39,535	39,535	39,535
State University of New York	2,547,546	2,537,902	2,540,846	2,570,422	2,600,504	2,631,106
Functional Total	2,630,840	2,625,044	2,626,144	2,660,388	2,691,324	2,722,798
EDUCATION						
Arts, Council on the	1,234	1,434	1,922	1,922	1,922	1,922
Education, Department of	162,550	135,457	123,549	121,739	120,183	120,722
<i>School Aid</i>	214	0	0	0	0	0
<i>Special Education Categorical Programs</i>	8,460	0	0	0	0	0
<i>All Other</i>	153,876	135,457	123,549	121,739	120,183	120,722
Functional Total	163,784	136,891	125,471	123,661	122,105	122,644
GENERAL GOVERNMENT						
Budget, Division of the	2,960	3,940	4,899	4,372	4,372	4,375
Civil Service, Department of	1,615	1,083	821	834	850	851
Deferred Compensation Board	73	50	231	231	235	235
Elections, State Board of	6,131	3,730	11,807	2,569	2,634	2,687
Employee Relations, Office of	47	65	71	72	73	73
Gaming Commission, New York State	115,268	105,645	71,971	56,971	56,971	57,007
General Services, Office of	92,393	91,671	91,907	90,215	86,115	83,257
Inspector General, Office of the	831	1,346	815	827	839	856
Labor Management Committees	18,263	20,947	19,854	19,854	19,819	19,819
Prevention of Domestic Violence, Office for	113	166	173	173	173	212
Public Employment Relations Board	226	225	237	241	246	246
Public Integrity, Commission on	852	1,068	911	930	949	968
State, Department of	15,425	18,924	17,832	17,855	17,856	17,880
Tax Appeals, Division of	272	229	170	170	170	170
Taxation and Finance, Department of	43,350	48,500	60,937	60,445	60,437	60,482
Technology, Office for	222,811	250,410	261,674	273,017	267,286	261,232
Veterans' Affairs, Division of	436	997	844	862	862	879
Welfare Inspector General, Office of	58	27	55	65	75	77
Workers' Compensation Board	68,936	57,972	57,229	61,897	63,089	64,304
Functional Total	590,060	606,995	602,438	591,600	583,051	575,610

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	<u>FY 2016 Results</u>	<u>FY 2017 Results</u>	<u>FY 2018 Updated</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>
ELECTED OFFICIALS						
Audit and Control, Department of	28,889	33,311	33,744	33,052	33,157	33,818
Executive Chamber	3,035	3,907	2,465	2,465	2,465	2,465
Judiciary	453,469	454,897	476,000	476,000	476,000	476,000
Law, Department of	58,523	56,583	62,464	63,432	64,676	65,937
Legislature	48,136	55,270	54,876	52,464	52,464	52,464
Lieutenant Governor, Office of the	163	146	91	91	91	91
Functional Total	<u>592,215</u>	<u>604,114</u>	<u>629,640</u>	<u>627,504</u>	<u>628,853</u>	<u>630,775</u>
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	0	107	0	0	0	0
Functional Total	<u>0</u>	<u>107</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
ALL OTHER CATEGORIES						
Long-Term Debt Service	36,616	38,647	36,852	50,389	50,389	50,389
Miscellaneous	22,657	22,876	44,772	44,783	44,794	44,796
Functional Total	<u>59,273</u>	<u>61,523</u>	<u>81,624</u>	<u>95,172</u>	<u>95,183</u>	<u>95,185</u>
TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING	<u><u>6,974,107</u></u>	<u><u>6,958,853</u></u>	<u><u>7,127,167</u></u>	<u><u>7,321,186</u></u>	<u><u>7,420,595</u></u>	<u><u>7,442,117</u></u>

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	3,573	3,766	3,833	4,023	4,111	4,401
Alcoholic Beverage Control, Division of	4,776	121	0	0	0	0
Economic Development, Department of	0	0	28	28	28	28
Energy Research and Development Authority	535	0	0	0	0	0
Financial Services, Department of	77,451	86,652	93,413	97,062	100,895	113,339
Olympic Regional Development Authority	20	0	0	0	0	0
Public Service Department	19,539	27,163	28,545	28,484	29,405	31,021
Functional Total	105,894	117,702	125,819	129,597	134,439	148,789
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	57,508	62,106	66,833	69,031	66,182	67,376
Parks, Recreation and Historic Preservation, Office of	2,863	2,972	3,144	3,157	3,165	3,337
Functional Total	60,371	65,078	69,977	72,188	69,347	70,713
TRANSPORTATION						
Motor Vehicles, Department of	24,964	21,158	22,942	24,026	24,829	26,760
Transportation, Department of	5,745	6,299	9,341	8,760	8,893	9,762
Functional Total	30,709	27,457	32,283	32,786	33,722	36,522
HEALTH						
Health, Department of	65,489	68,584	73,882	77,511	80,301	85,165
<i>Medicaid Administration</i>	3,274	1,522	3,812	6,215	6,642	7,049
<i>Public Health</i>	62,215	67,062	70,070	71,296	73,659	78,116
Medicaid Inspector General, Office of the	8,954	9,143	9,608	9,868	10,231	11,007
Functional Total	74,443	77,727	83,490	87,379	90,532	96,172
SOCIAL WELFARE						
Children and Family Services, Office of	16,738	11,468	13,520	12,477	13,025	14,064
<i>OCFS</i>	16,738	11,468	13,520	12,477	13,025	14,064
Housing and Community Renewal, Division of	19,490	9,260	18,482	14,409	14,409	14,409
Labor, Department of	106,533	111,031	116,680	115,870	116,680	116,680
National and Community Service	0	0	224	229	236	242
Temporary and Disability Assistance, Office of	39,101	42,343	44,498	44,498	44,498	44,498
<i>All Other</i>	39,101	42,343	44,498	44,498	44,498	44,498
Functional Total	181,862	174,102	193,404	187,483	188,848	189,893
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	32,043	33,726	36,291	37,784	39,163	41,060
<i>OASAS</i>	13,118	13,803	17,161	17,418	18,088	18,934
<i>OASAS - Other</i>	18,925	19,923	19,130	20,366	21,075	22,126
Developmental Disabilities Planning Council	454	231	744	785	785	785
Justice Center	665	850	956	1,035	1,053	1,053
Mental Health, Office of	605,943	621,931	616,982	643,376	665,106	665,106
<i>OMH</i>	150,200	192,665	176,781	185,933	191,307	191,307
<i>OMH - Other</i>	455,743	429,266	440,201	457,443	473,799	473,799
People with Developmental Disabilities, Office for	620,685	637,200	674,773	677,487	701,146	728,533
<i>OPWDD - Other</i>	620,685	637,200	674,773	677,487	701,146	728,533
Functional Total	1,259,790	1,293,938	1,329,746	1,360,467	1,407,253	1,436,537

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	996	1,092	1,147	1,147	1,147	1,147
Criminal Justice Services, Division of	300	364	436	383	383	383
Homeland Security and Emergency Services, Division of	10,022	7,388	7,763	7,873	7,881	7,899
Indigent Legal Services, Office of	599	967	1,016	1,556	1,556	1,556
Military and Naval Affairs, Division of	5,721	6,078	6,387	6,507	6,629	6,754
State Police, Division of	3,857	20,056	4,321	4,400	4,510	4,853
Victim Services, Office of	1,535	1,570	1,650	1,650	1,650	1,650
Functional Total	23,030	37,515	22,720	23,516	23,756	24,242
HIGHER EDUCATION						
City University of New York	7,892	7,661	7,892	7,892	7,892	7,892
Higher Education - Miscellaneous	61	143	150	150	150	150
Higher Education Services Corporation, New York State	8,618	8,525	8,959	8,959	8,959	8,959
State University of New York	411,739	420,308	446,833	474,458	504,075	540,614
Functional Total	428,310	436,637	463,834	491,459	521,076	557,615
EDUCATION						
Education, Department of	76,525	79,985	86,558	88,398	91,047	98,281
<i>School Aid</i>	12	0	0	0	0	0
<i>Special Education Categorical Programs</i>	4,511	0	0	0	0	0
<i>All Other</i>	72,002	79,985	86,558	88,398	91,047	98,281
Functional Total	76,525	79,985	86,558	88,398	91,047	98,281
GENERAL GOVERNMENT						
Budget, Division of the	831	776	1,657	1,657	1,657	1,717
Civil Service, Department of	147	84	205	229	239	263
Deferred Compensation Board	147	195	205	205	205	205
Elections, State Board of	0	49	0	0	0	0
Gaming Commission, New York State	12,895	12,777	17,575	17,575	17,575	18,465
General Services, Office of	2,589	407	527	548	567	627
State, Department of	9,435	10,795	14,420	14,857	15,269	16,486
Taxation and Finance, Department of	18,059	25,017	26,290	26,457	26,290	27,410
Technology, Office for	234	0	0	0	0	0
Veterans' Affairs, Division of	204	218	229	240	255	269
Workers' Compensation Board	44,194	48,919	51,409	53,402	53,402	57,863
Functional Total	88,735	99,237	112,517	115,170	115,459	123,305
ELECTED OFFICIALS						
Audit and Control, Department of	1,494	1,605	2,030	2,385	2,385	2,417
Judiciary	685,566	702,596	764,700	782,103	779,603	779,603
Law, Department of	28,822	25,029	28,551	32,434	33,211	35,233
Functional Total	715,882	729,230	795,281	816,922	815,199	817,253
ALL OTHER CATEGORIES						
General State Charges	4,681,599	4,782,943	4,973,712	5,395,283	5,828,960	6,336,214
Miscellaneous	12,039	5,412	5,584	5,631	5,631	5,649
Functional Total	4,693,638	4,788,355	4,979,296	5,400,914	5,834,591	6,341,863
TOTAL GENERAL STATE CHARGES SPENDING	7,739,189	7,926,963	8,294,925	8,806,279	9,325,269	9,941,185

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
CAPITAL PROJECTS
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	4,776	4,337	29,215	36,165	13,715	4,715
Economic Development Capital	0	0	29,264	22,587	21,600	20,862
Economic Development, Department of	28	17	8,274	10,442	0	5,525
Empire State Development Corporation	33,720	75,861	507,678	548,778	472,678	534,928
Energy Research and Development Authority	11,383	14,545	25,273	24,842	15,352	13,720
Olympic Regional Development Authority	7,500	7,500	14,000	13,300	0	0
Power Authority, New York	0	0	28,328	18,000	37,500	2,500
Regional Economic Development Program	0	0	512	338	337	337
Strategic Investment Program	0	0	6,000	7,002	6,650	11,046
Functional Total	57,407	102,260	648,544	681,454	567,832	593,633
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	227,641	367,494	684,195	758,731	737,505	728,880
Hudson River Park Trust	3,452	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	143,068	153,829	156,492	162,703	164,532	149,714
Functional Total	374,161	521,323	840,687	921,434	902,037	878,594
TRANSPORTATION						
Motor Vehicles, Department of	189,879	217,104	223,036	232,491	234,316	240,184
Transportation, Department of	3,415,103	3,581,749	3,866,005	4,215,219	3,946,253	3,828,523
Functional Total	3,604,982	3,798,853	4,089,041	4,447,710	4,180,569	4,068,707
HEALTH						
Health, Department of	61,148	41,165	77,936	97,061	97,061	45,061
<i>Public Health</i>	61,148	41,165	77,936	97,061	97,061	45,061
Functional Total	61,148	41,165	77,936	97,061	97,061	45,061
SOCIAL WELFARE						
Children and Family Services, Office of	21,186	24,392	64,936	68,695	23,738	23,917
<i>OCFS</i>	21,186	24,392	64,936	68,695	23,738	23,917
Housing and Community Renewal, Division of	0	0	3,000	3,000	3,000	3,000
Nonprofit Infrastructure Capital Investment Program	0	0	33,000	45,000	25,000	14,000
Temporary and Disability Assistance, Office of	376	346	800	800	800	800
<i>All Other</i>	376	346	800	800	800	800
Functional Total	21,562	24,738	101,736	117,495	52,538	41,717
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	0	1,066	5,000	4,800	4,700	4,700
<i>OASAS</i>	0	1,066	5,000	4,800	4,700	4,700
Mental Health, Office of	102,473	139,970	178,962	160,250	160,945	160,945
<i>OMH</i>	102,473	139,970	178,962	160,250	160,945	160,945
People with Developmental Disabilities, Office for	36,044	69,053	73,607	73,929	74,378	74,378
<i>OPWDD</i>	36,044	69,053	73,607	73,929	74,378	74,378
Functional Total	138,517	210,089	257,569	238,979	240,023	240,023
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	260,188	303,275	363,322	353,637	331,342	327,432
Homeland Security and Emergency Services, Division of	6,680	8,445	66,261	14,153	5,000	5,000
Military and Naval Affairs, Division of	38,115	70,039	76,395	36,392	25,507	23,544
State Police, Division of	19,121	31,235	78,867	46,874	43,334	41,408
Functional Total	324,104	412,994	584,845	451,056	405,183	397,384

CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
CAPITAL PROJECTS
(thousands of dollars)

	<u>FY 2016 Results</u>	<u>FY 2017 Results</u>	<u>FY 2018 Updated</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>
HIGHER EDUCATION						
City University of New York	33,460	36,527	35,400	35,900	36,620	37,352
Higher Education Facilities Capital Matching Grants Program	0	0	5,000	12,500	12,500	15,000
State University of New York	931,348	760,044	920,337	909,760	911,133	903,310
Functional Total	<u>964,808</u>	<u>796,571</u>	<u>960,737</u>	<u>958,160</u>	<u>960,253</u>	<u>955,662</u>
EDUCATION						
Education, Department of	7,420	5,145	17,280	38,287	39,000	26,707
<i>All Other</i>	7,420	5,145	17,280	38,287	39,000	26,707
Functional Total	<u>7,420</u>	<u>5,145</u>	<u>17,280</u>	<u>38,287</u>	<u>39,000</u>	<u>26,707</u>
GENERAL GOVERNMENT						
General Services, Office of	101,785	92,531	172,478	229,757	165,628	114,944
State, Department of	0	0	4,000	2,000	2,000	0
Technology, Office for	95,311	86,220	139,716	29,865	18,751	24,700
Workers' Compensation Board	3,117	3,752	15,000	15,000	15,000	0
Functional Total	<u>200,213</u>	<u>182,503</u>	<u>331,194</u>	<u>276,622</u>	<u>201,379</u>	<u>139,644</u>
ELECTED OFFICIALS						
Audit and Control, Department of	0	2,100	3,900	0	0	0
Judiciary	0	0	8,000	7,000	0	0
Law, Department of	1,449	6,201	10,000	2,000	1,287	0
Functional Total	<u>1,449</u>	<u>8,301</u>	<u>21,900</u>	<u>9,000</u>	<u>1,287</u>	<u>0</u>
ALL OTHER CATEGORIES						
Arts and Cultural Facilities Improvement	0	0	5,000	5,000	0	0
Miscellaneous	36,722	33,220	(624,241)	286,131	457,040	480,538
Special Infrastructure Account	692,433	418,228	877,071	1,270,923	950,604	549,520
Functional Total	<u>729,155</u>	<u>451,448</u>	<u>257,830</u>	<u>1,562,054</u>	<u>1,407,644</u>	<u>1,030,058</u>
TOTAL CAPITAL PROJECTS SPENDING	<u>6,484,926</u>	<u>6,555,390</u>	<u>8,189,299</u>	<u>9,799,312</u>	<u>9,054,806</u>	<u>8,417,190</u>

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
(thousands of dollars)**

	<u>FY 2016 Results</u>	<u>FY 2017 Results</u>	<u>FY 2018 Updated</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	88,317	82,570	99,061	119,065	96,689	84,976
Alcoholic Beverage Control, Division of	17,277	11,248	12,683	12,683	12,744	13,060
Economic Development Capital	6,614	1,844	29,276	22,587	21,600	20,862
Economic Development, Department of	84,186	79,798	86,323	89,150	78,708	84,233
Empire State Development Corporation	738,836	1,107,501	1,674,574	1,935,046	1,716,707	1,779,661
Energy Research and Development Authority	15,191	14,545	25,273	24,842	15,352	13,720
Financial Services, Department of	360,052	362,563	358,144	363,477	371,252	389,935
Olympic Regional Development Authority	10,611	10,236	26,686	19,186	5,886	5,886
Power Authority, New York	0	1,916	28,328	18,000	37,500	2,500
Public Service Department	68,475	75,529	76,535	76,529	77,450	80,679
Regional Economic Development Program	2,787	4,156	512	338	337	337
Strategic Investment Program	1,427	683	6,000	7,002	6,650	11,046
Functional Total	<u>1,393,773</u>	<u>1,752,589</u>	<u>2,423,395</u>	<u>2,687,905</u>	<u>2,440,875</u>	<u>2,486,895</u>
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,297	4,535	4,413	4,413	4,413	4,571
Environmental Conservation, Department of	663,309	754,553	975,237	1,046,919	1,023,543	1,023,922
Hudson River Park Trust	3,452	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	330,250	338,130	331,379	337,790	340,939	332,592
Functional Total	<u>1,001,308</u>	<u>1,097,218</u>	<u>1,311,029</u>	<u>1,389,122</u>	<u>1,368,895</u>	<u>1,361,085</u>
TRANSPORTATION						
Metropolitan Transportation Authority	0	250,000	970,856	250,000	350,000	0
Motor Vehicles, Department of	273,641	287,137	297,423	306,416	309,044	317,549
Thruway Authority, New York State	17,948	0	0	0	0	0
Transportation, Department of	7,313,847	7,876,559	8,506,416	8,665,550	8,695,968	8,677,978
Functional Total	<u>7,605,436</u>	<u>8,413,696</u>	<u>9,774,695</u>	<u>9,221,966</u>	<u>9,355,012</u>	<u>8,995,527</u>
HEALTH						
Aging, Office for the	128,410	126,347	124,580	124,670	129,780	135,103
Health, Department of	19,884,667	20,529,647	21,679,624	23,223,395	24,198,037	24,973,370
<i>Medical Assistance</i>	16,868,571	17,505,933	18,463,271	19,031,653	20,082,258	20,855,980
<i>Essential Plan</i>	32,575	312,837	178,318	456,610	462,574	467,570
<i>Medicaid Administration</i>	836,542	728,644	783,101	808,866	779,268	785,424
<i>Public Health</i>	2,146,979	1,982,233	2,254,934	2,926,266	2,873,937	2,864,396
Medicaid Inspector General, Office of the	20,619	20,489	18,533	18,111	18,111	18,718
Functional Total	<u>20,033,696</u>	<u>20,676,483</u>	<u>21,822,737</u>	<u>23,366,176</u>	<u>24,345,928</u>	<u>25,127,191</u>
SOCIAL WELFARE						
Children and Family Services, Office of	2,022,575	1,991,212	1,966,188	2,060,766	2,243,596	2,391,553
<i>OCFS</i>	1,933,653	1,908,965	1,879,816	1,960,460	2,138,534	2,277,263
<i>OCFS - Other</i>	88,922	82,247	86,372	100,306	105,062	114,290
Housing and Community Renewal, Division of	155,245	191,077	349,432	559,523	575,909	583,838
Human Rights, Division of	10,263	10,382	9,921	9,921	9,921	10,590
Labor, Department of	72,790	82,569	82,426	67,156	67,156	69,893
National and Community Service	458	471	687	690	690	699
Nonprofit Infrastructure Capital Investment Program	0	0	33,000	45,000	25,000	14,000
Temporary and Disability Assistance, Office of	1,406,546	1,412,347	1,499,500	1,531,461	1,548,592	1,555,885
<i>Welfare Assistance</i>	1,122,359	1,130,191	1,209,734	1,239,423	1,241,423	1,241,423
<i>All Other</i>	284,187	282,156	289,766	292,038	307,169	314,462
Functional Total	<u>3,667,877</u>	<u>3,688,058</u>	<u>3,941,154</u>	<u>4,274,517</u>	<u>4,470,864</u>	<u>4,626,458</u>
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	464,041	475,557	521,228	523,746	543,553	560,641
<i>OASAS</i>	377,218	386,217	438,274	439,081	457,577	472,463
<i>OASAS - Other</i>	86,823	89,340	82,954	84,665	85,976	88,178
Justice Center	39,478	39,719	41,745	41,480	41,765	43,779
Mental Health, Office of	3,324,650	3,336,606	3,405,607	3,580,785	3,703,167	3,777,181

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
(thousands of dollars)

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
<i>OMH</i>	1,525,885	1,641,407	1,713,410	1,856,935	1,936,454	1,976,832
<i>OMH - Other</i>	1,798,765	1,695,199	1,692,197	1,723,850	1,766,713	1,800,349
Mental Hygiene, Department of	219	227	0	0	0	0
People with Developmental Disabilities, Office for	3,223,079	2,973,239	2,999,365	3,239,045	3,445,462	3,637,475
<i>OPWDD</i>	370,257	454,751	444,151	483,020	538,065	575,331
<i>OPWDD - Other</i>	2,852,822	2,518,488	2,555,214	2,756,025	2,907,397	3,062,144
Functional Total	7,051,467	6,825,348	6,967,945	7,385,056	7,733,947	8,019,076
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,297	2,431	2,651	2,651	2,651	2,773
Correctional Services, Department of	2,957,044	2,948,495	2,986,198	2,979,269	2,964,129	2,965,849
Criminal Justice Services, Division of	198,307	191,089	197,486	195,374	195,374	196,349
Disaster Assistance	(51,789)	0	0	0	0	0
Homeland Security and Emergency Services, Division of	154,701	134,615	176,379	131,622	135,825	134,773
Indigent Legal Services, Office of	60,116	63,641	99,781	165,528	189,621	213,781
Judicial Conduct, Commission on	5,567	5,505	5,584	5,643	5,708	5,936
Judicial Nomination, Commission on	20	10	30	30	30	30
Judicial Screening Committees, New York State	14	9	38	38	38	38
Military and Naval Affairs, Division of	43,855	62,989	72,900	33,180	36,436	36,774
State Police, Division of	714,871	769,728	794,721	737,197	733,047	756,913
Statewide Financial System	30,070	30,309	30,137	30,137	30,137	30,580
Victim Services, Office of	24,469	28,996	41,067	41,067	41,067	41,184
Functional Total	4,139,542	4,237,817	4,406,972	4,321,736	4,334,063	4,384,980
HIGHER EDUCATION						
City University of New York	1,558,283	1,562,530	1,619,953	1,610,614	1,648,987	1,684,075
Higher Education - Miscellaneous	259	529	441	441	441	441
Higher Education Facilities Capital Matching Grants Program	136	0	5,000	12,500	12,500	15,000
Higher Education Services Corporation, New York State	1,077,526	1,014,320	936,418	1,173,831	1,212,864	1,231,137
State University of New York	7,724,191	7,674,938	7,878,721	8,051,971	8,238,760	8,572,083
Functional Total	10,360,395	10,252,317	10,440,533	10,849,357	11,113,552	11,502,736
EDUCATION						
Arts, Council on the	41,819	42,832	45,413	45,253	45,253	45,349
Education, Department of	28,905,525	29,908,939	31,236,425	32,547,272	33,374,124	34,536,971
<i>School Aid</i>	23,302,030	24,385,908	26,113,401	27,488,135	28,343,314	29,418,649
<i>STAR Property Tax Relief</i>	3,334,700	3,139,051	2,629,997	2,519,559	2,452,538	2,437,295
<i>Special Education Categorical Programs</i>	1,316,581	1,317,359	1,335,690	1,357,580	1,444,628	1,529,918
<i>All Other</i>	952,214	1,066,621	1,157,337	1,181,998	1,133,644	1,151,109
Functional Total	28,947,344	29,951,771	31,281,838	32,592,525	33,419,377	34,582,320

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
(thousands of dollars)

	<u>FY 2016</u> Results	<u>FY 2017</u> Results	<u>FY 2018</u> Updated	<u>FY 2019</u> Projected	<u>FY 2020</u> Projected	<u>FY 2021</u> Projected
GENERAL GOVERNMENT						
Budget, Division of the	24,227	25,335	31,123	30,596	30,596	31,603
Civil Service, Department of	13,424	13,379	13,410	13,560	13,680	14,189
Deferred Compensation Board	524	613	846	846	853	854
Elections, State Board of	7,918	7,188	8,482	8,587	8,697	9,033
Employee Relations, Office of	2,247	2,334	2,581	2,601	2,621	2,719
Gaming Commission, New York State	251,593	253,064	224,003	245,033	244,803	247,220
General Services, Office of	261,313	253,750	316,876	372,484	304,274	254,217
Inspector General, Office of the	7,061	7,179	7,367	7,427	7,487	7,826
Labor Management Committees	24,882	28,818	25,300	25,300	25,306	25,517
Prevention of Domestic Violence, Office for	2,039	2,028	2,881	2,881	2,981	3,053
Public Employment Relations Board	3,433	3,281	3,573	3,604	3,634	3,764
Public Integrity, Commission on	4,332	4,876	5,531	5,576	5,630	5,835
State, Department of	69,470	70,808	75,642	63,597	64,010	64,339
Tax Appeals, Division of	3,035	2,833	3,040	3,040	3,040	3,150
Taxation and Finance, Department of	354,747	357,907	358,640	358,315	358,140	369,667
Technology, Office for	601,260	634,586	675,748	589,447	578,333	594,695
Veterans' Affairs, Division of	12,922	14,291	15,560	13,873	13,873	14,119
Welfare Inspector General, Office of	569	592	672	686	701	731
Workers' Compensation Board	186,327	191,393	208,016	211,792	213,595	208,376
Functional Total	<u>1,831,323</u>	<u>1,874,255</u>	<u>1,979,291</u>	<u>1,959,245</u>	<u>1,882,254</u>	<u>1,860,907</u>
ELECTED OFFICIALS						
Audit and Control, Department of	174,200	185,005	187,048	181,070	181,253	187,628
Executive Chamber	13,704	14,653	13,578	13,578	13,578	14,032
Judiciary	2,758,586	2,822,161	2,946,497	3,003,306	2,996,305	3,053,306
Law, Department of	186,868	191,102	203,308	196,641	198,651	205,560
Legislature	215,580	225,893	225,980	218,795	218,795	219,111
Lieutenant Governor, Office of the	499	510	614	614	614	634
Functional Total	<u>3,349,437</u>	<u>3,439,324</u>	<u>3,577,025</u>	<u>3,614,004</u>	<u>3,609,196</u>	<u>3,680,271</u>
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	728,288	714,839	722,974	735,548	763,347	763,347
Efficiency Incentive Grants Program	1,289	0	348	0	0	0
Miscellaneous Financial Assistance	11,846	16,046	9,271	2,250	2,250	0
Municipalities with VLT Facilities	29,331	29,331	28,885	28,885	28,885	28,885
Small Government Assistance	217	217	218	218	218	218
Functional Total	<u>770,971</u>	<u>760,433</u>	<u>761,696</u>	<u>766,901</u>	<u>794,700</u>	<u>792,450</u>
ALL OTHER CATEGORIES						
Arts and Cultural Facilities Improvement	0	0	5,000	5,000	0	0
General State Charges	4,681,599	4,782,943	4,973,712	5,395,283	5,828,960	6,336,214
Long-Term Debt Service	5,635,102	5,552,429	5,325,137	6,360,430	7,054,457	7,307,597
Miscellaneous	37,275	76,129	(657,075)	323,360	769,128	949,442
Special Infrastructure Account	725,592	649,103	917,071	1,310,923	980,604	579,520
Functional Total	<u>11,079,568</u>	<u>11,060,604</u>	<u>10,563,845</u>	<u>13,394,996</u>	<u>14,633,149</u>	<u>15,172,773</u>
TOTAL STATE FUNDS SPENDING	<u>101,232,137</u>	<u>104,029,913</u>	<u>109,252,155</u>	<u>115,823,506</u>	<u>119,501,812</u>	<u>122,592,669</u>

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
LOCAL ASSISTANCE
(thousands of dollars)

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	27,279	31,980	31,611	28,757	28,757	24,757
Economic Development Capital	6,614	1,844	12	0	0	0
Economic Development, Department of	63,694	58,598	58,470	59,129	59,129	59,129
Empire State Development Corporation	705,116	1,031,640	1,166,896	1,386,268	1,244,029	1,244,733
Energy Research and Development Authority	1,842	0	0	0	0	0
Financial Services, Department of	80,686	66,703	57,988	59,430	59,412	59,406
Olympic Regional Development Authority	0	0	10,000	0	0	0
Power Authority, New York	0	1,916	0	0	0	0
Public Service Department	0	0	155	155	155	155
Regional Economic Development Program	2,787	4,156	0	0	0	0
Strategic Investment Program	1,427	683	0	0	0	0
Functional Total	889,445	1,197,520	1,325,132	1,533,739	1,391,482	1,388,180
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	154,566	169,993	40,966	35,618	35,618	35,618
Parks, Recreation and Historic Preservation, Office of	9,654	7,998	7,240	6,200	6,200	6,200
Functional Total	164,220	177,991	48,206	41,818	41,818	41,818
TRANSPORTATION						
Metropolitan Transportation Authority	0	250,000	970,856	250,000	350,000	0
Motor Vehicles, Department of	0	0	1,600	0	0	0
Thruway Authority, New York State	1,379	0	0	0	0	0
Transportation, Department of	5,250,252	5,637,160	5,719,909	5,679,559	5,775,270	5,826,866
Functional Total	5,251,631	5,887,160	6,692,365	5,929,559	6,125,270	5,826,866
HEALTH						
Aging, Office for the	127,134	125,116	123,348	123,438	128,548	133,828
Health, Department of	19,100,307	19,775,413	20,764,750	22,236,041	23,207,836	24,025,750
<i>Medical Assistance</i>	16,868,571	17,505,933	18,463,271	19,031,653	20,082,258	20,855,980
<i>Essential Plan</i>	19,215	269,000	82,000	354,940	364,878	374,730
<i>Medicaid Administration</i>	565,205	467,643	437,106	418,908	387,511	387,511
<i>Public Health</i>	1,647,316	1,532,837	1,782,373	2,430,540	2,373,189	2,407,529
Functional Total	19,227,441	19,900,529	20,888,098	22,359,479	23,336,384	24,159,578
SOCIAL WELFARE						
Children and Family Services, Office of	1,735,809	1,715,339	1,655,177	1,701,796	1,843,047	1,923,660
<i>OCFS</i>	1,646,887	1,633,092	1,568,805	1,601,490	1,737,985	1,809,370
<i>OCFS - Other</i>	88,922	82,247	86,372	100,306	105,062	114,290
Housing and Community Renewal, Division of	88,939	135,329	285,709	499,873	516,259	520,936
Labor, Department of	12,207	15,403	15,420	150	150	150
National and Community Service	142	267	350	350	350	350
Temporary and Disability Assistance, Office of	1,259,021	1,274,253	1,372,208	1,403,669	1,414,469	1,417,269
<i>Welfare Assistance</i>	1,122,359	1,130,191	1,209,734	1,239,423	1,241,423	1,241,423
<i>All Other</i>	136,662	144,062	162,474	164,246	173,046	175,846
Functional Total	3,096,118	3,140,591	3,328,864	3,605,838	3,774,275	3,862,365
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	351,774	358,360	406,283	406,359	423,796	437,026
<i>OASAS</i>	330,449	337,035	384,958	385,034	402,471	415,701
<i>OASAS - Other</i>	21,325	21,325	21,325	21,325	21,325	21,325
Justice Center	544	679	649	649	649	649
Mental Health, Office of	1,199,066	1,230,874	1,278,752	1,458,480	1,538,741	1,590,602
<i>OMH</i>	918,830	960,374	1,009,928	1,158,666	1,227,724	1,268,102
<i>OMH - Other</i>	280,236	270,500	268,824	299,814	311,017	322,500

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
LOCAL ASSISTANCE
(thousands of dollars)

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
People with Developmental Disabilities, Office for	1,198,724	969,589	951,252	1,193,404	1,361,110	1,507,279
<i>OPWDD</i>	334,196	385,635	370,363	408,910	463,506	500,772
<i>OPWDD - Other</i>	864,528	583,954	580,889	784,494	897,604	1,006,507
Functional Total	2,750,108	2,559,502	2,636,936	3,058,892	3,324,296	3,535,556
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	4,251	4,457	5,497	5,497	5,497	5,497
Criminal Justice Services, Division of	162,227	155,863	158,511	156,546	156,546	156,546
Homeland Security and Emergency Services, Division of	115,883	99,474	78,793	81,039	93,773	91,542
Indigent Legal Services, Office of	58,068	60,503	95,465	160,672	184,765	208,859
Military and Naval Affairs, Division of	805	786	820	820	820	820
Victim Services, Office of	19,401	23,748	35,466	35,466	35,466	35,466
Functional Total	360,635	344,831	374,552	440,040	476,867	498,730
HIGHER EDUCATION						
City University of New York	1,429,462	1,424,129	1,469,629	1,476,363	1,512,527	1,545,366
Higher Education Facilities Capital Matching Grants Program	136	0	0	0	0	0
Higher Education Services Corporation, New York State	1,025,146	957,504	880,408	1,117,821	1,156,854	1,175,127
State University of New York	514,892	491,891	481,847	486,498	486,165	486,165
Functional Total	2,969,636	2,873,524	2,831,884	3,080,682	3,155,546	3,206,658
EDUCATION						
Arts, Council on the	38,332	38,907	41,093	40,933	40,933	40,933
Education, Department of	28,735,444	29,732,476	31,036,128	32,326,594	33,153,737	34,321,949
<i>School Aid</i>	23,302,030	24,385,908	26,113,401	27,488,135	28,343,314	29,418,649
<i>STAR Property Tax Relief</i>	3,334,700	3,139,051	2,629,997	2,519,559	2,452,538	2,437,295
<i>Special Education Categorical Programs</i>	1,316,581	1,317,359	1,335,690	1,357,580	1,444,628	1,529,918
<i>All Other</i>	782,133	890,158	957,040	961,320	913,257	936,087
Functional Total	28,773,776	29,771,383	31,077,221	32,367,527	33,194,670	34,362,882
GENERAL GOVERNMENT						
Elections, State Board of	93	93	0	0	0	0
Gaming Commission, New York State	91,881	101,820	95,700	131,730	131,500	131,500
Prevention of Domestic Violence, Office for	575	528	1,285	1,285	1,385	1,385
State, Department of	17,766	13,509	17,884	7,379	7,379	7,379
Taxation and Finance, Department of	914	1,080	2,726	2,726	2,726	2,726
Veterans' Affairs, Division of	7,855	8,340	9,389	7,637	7,637	7,637
Functional Total	119,084	125,370	126,984	150,757	150,627	150,627
ELECTED OFFICIALS						
Audit and Control, Department of	32,025	32,025	32,024	32,024	32,024	32,024
Judiciary	114,655	101,099	108,497	123,503	123,502	123,503
Functional Total	146,680	133,124	140,521	155,527	155,526	155,527
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	728,288	714,732	722,974	735,548	763,347	763,347
Efficiency Incentive Grants Program	1,289	0	348	0	0	0
Miscellaneous Financial Assistance	11,846	16,046	9,271	2,250	2,250	0
Municipalities with VLT Facilities	29,331	29,331	28,885	28,885	28,885	28,885
Small Government Assistance	217	217	218	218	218	218
Functional Total	770,971	760,326	761,696	766,901	794,700	792,450
ALL OTHER CATEGORIES						
Miscellaneous	(13,341)	20,373	(94,243)	(124,943)	18,600	60,315
Special Infrastructure Account	33,159	230,875	40,000	40,000	30,000	30,000
Functional Total	19,818	251,248	(54,243)	(84,943)	48,600	90,315
TOTAL LOCAL ASSISTANCE SPENDING	64,539,563	67,123,099	70,178,216	73,405,816	75,970,061	78,071,552

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
STATE OPERATIONS
(thousands of dollars)

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	54,745	44,534	36,449	52,252	52,257	53,376
Alcoholic Beverage Control, Division of	12,501	11,127	12,683	12,683	12,744	13,060
Economic Development, Department of	20,464	21,183	19,551	19,551	19,551	19,551
Energy Research and Development Authority	1,431	0	0	0	0	0
Financial Services, Department of	201,930	209,208	206,743	206,985	210,945	217,190
Olympic Regional Development Authority	3,091	2,736	2,686	5,886	5,886	5,886
Public Service Department	49,483	50,006	49,558	49,613	49,613	51,226
Functional Total	343,645	338,794	327,670	346,970	350,996	360,289
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,297	4,535	4,413	4,413	4,413	4,571
Environmental Conservation, Department of	237,912	225,085	210,955	211,271	211,970	220,206
Parks, Recreation and Historic Preservation, Office of	180,898	178,446	167,303	168,530	169,842	176,165
Functional Total	423,107	408,066	382,671	384,214	386,225	400,942
TRANSPORTATION						
Motor Vehicles, Department of	59,397	49,560	50,565	50,619	50,619	51,411
Thruway Authority, New York State	16,569	0	0	0	0	0
Transportation, Department of	18,588	21,228	11,442	11,157	11,157	11,293
Functional Total	94,554	70,788	62,007	61,776	61,776	62,704
HEALTH						
Aging, Office for the	1,276	1,231	1,232	1,232	1,232	1,275
Health, Department of	691,640	688,514	811,697	864,710	866,428	874,104
<i>Essential Plan</i>	13,360	43,837	96,318	101,670	97,696	92,840
<i>Medicaid Administration</i>	271,337	261,001	345,995	389,958	391,757	397,913
<i>Public Health</i>	406,943	383,676	369,384	373,082	376,975	383,351
Medicaid Inspector General, Office of the	20,619	20,489	18,533	18,111	18,111	18,718
Functional Total	713,535	710,234	831,462	884,053	885,771	894,097
SOCIAL WELFARE						
Children and Family Services, Office of	262,651	249,825	244,352	288,511	375,017	442,152
<i>OCFS</i>	262,651	249,825	244,352	288,511	375,017	442,152
Housing and Community Renewal, Division of	50,330	50,299	49,246	49,246	49,246	52,498
Human Rights, Division of	10,263	10,382	9,921	9,921	9,921	10,590
Labor, Department of	46,543	47,669	46,519	46,519	46,519	49,256
National and Community Service	316	204	337	340	340	349
Temporary and Disability Assistance, Office of	147,079	137,626	126,364	126,864	133,195	137,688
<i>All Other</i>	147,079	137,626	126,364	126,864	133,195	137,688
Functional Total	517,182	496,005	476,739	521,401	614,238	692,533
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	80,224	82,405	73,654	74,803	75,894	77,855
<i>OASAS</i>	33,651	34,313	31,155	31,829	32,318	33,128
<i>OASAS - Other</i>	46,573	48,092	42,499	42,974	43,576	44,727
Justice Center	38,304	38,190	40,203	39,860	40,127	42,141
Mental Health, Office of	1,417,657	1,344,266	1,331,357	1,319,135	1,338,844	1,360,997
<i>OMH</i>	354,871	348,833	348,185	352,542	356,947	356,947
<i>OMH - Other</i>	1,062,786	995,433	983,172	966,593	981,897	1,004,050
Mental Hygiene, Department of	219	227	0	0	0	0
People with Developmental Disabilities, Office for	1,367,626	1,297,397	1,299,733	1,294,225	1,308,828	1,327,285
<i>OPWDD</i>	17	63	181	181	181	181
<i>OPWDD - Other</i>	1,367,609	1,297,334	1,299,552	1,294,044	1,308,647	1,327,104
Functional Total	2,904,030	2,762,485	2,744,947	2,728,023	2,763,693	2,808,278
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,297	2,431	2,651	2,651	2,651	2,773

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
STATE OPERATIONS
(thousands of dollars)

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
Correctional Services, Department of	2,692,513	2,640,662	2,617,273	2,620,029	2,627,184	2,632,814
Criminal Justice Services, Division of	36,039	35,193	38,887	38,793	38,793	39,768
Disaster Assistance	(51,789)	0	0	0	0	0
Homeland Security and Emergency Services, Division of	31,631	26,030	30,626	35,621	36,235	37,396
Indigent Legal Services, Office of	1,449	2,171	3,300	3,300	3,300	3,366
Judicial Conduct, Commission on	5,567	5,505	5,584	5,643	5,708	5,936
Judicial Nomination, Commission on	20	10	30	30	30	30
Judicial Screening Committees, New York State	14	9	38	38	38	38
Military and Naval Affairs, Division of	26,051	26,799	21,676	21,959	22,100	22,401
State Police, Division of	693,311	720,382	713,033	687,423	686,703	712,152
Statewide Financial System	30,070	30,309	30,137	30,137	30,137	30,580
Victim Services, Office of	3,533	3,678	3,951	3,951	3,951	4,068
Functional Total	3,470,706	3,493,179	3,467,186	3,449,575	3,456,830	3,491,322
HIGHER EDUCATION						
City University of New York	87,469	94,213	107,032	90,459	91,948	93,465
Higher Education - Miscellaneous	198	386	291	291	291	291
Higher Education Services Corporation, New York State	43,763	48,292	47,052	47,052	47,052	47,052
State University of New York	5,866,320	6,002,720	6,029,755	6,181,306	6,337,438	6,642,045
Functional Total	5,997,750	6,145,611	6,184,130	6,319,108	6,476,729	6,782,853
EDUCATION						
Arts, Council on the	3,487	3,925	4,320	4,320	4,320	4,416
Education, Department of	130,640	139,176	146,737	144,928	142,766	146,034
<i>All Other</i>	130,640	139,176	146,737	144,928	142,766	146,034
Functional Total	134,127	143,101	151,057	149,248	147,086	150,450
GENERAL GOVERNMENT						
Budget, Division of the	23,396	24,559	29,466	28,939	28,939	29,886
Civil Service, Department of	13,277	13,295	13,205	13,331	13,441	13,926
Deferred Compensation Board	377	418	641	641	648	649
Elections, State Board of	7,825	7,095	8,482	8,587	8,697	9,033
Employee Relations, Office of	2,247	2,334	2,581	2,601	2,621	2,719
Gaming Commission, New York State	146,817	138,467	110,728	95,728	95,728	97,255
General Services, Office of	156,939	160,812	143,871	142,179	138,079	138,646
Inspector General, Office of the	7,061	7,179	7,367	7,427	7,487	7,826
Labor Management Committees	24,882	28,818	25,300	25,300	25,306	25,517
Prevention of Domestic Violence, Office for	1,464	1,500	1,596	1,596	1,596	1,668
Public Employment Relations Board	3,433	3,281	3,573	3,604	3,634	3,764
Public Integrity, Commission on	4,332	4,876	5,531	5,576	5,630	5,835
State, Department of	43,332	47,676	42,130	42,153	42,154	43,266
Tax Appeals, Division of	3,035	2,833	3,040	3,040	3,040	3,150
Taxation and Finance, Department of	335,774	331,810	329,624	329,132	329,124	339,531
Technology, Office for	505,949	548,366	536,032	559,582	559,582	569,995
Veterans' Affairs, Division of	5,067	5,951	6,171	6,236	6,236	6,482
Welfare Inspector General, Office of	569	592	672	686	701	731
Workers' Compensation Board	139,016	138,722	141,607	143,390	145,193	150,513
Functional Total	1,424,792	1,468,584	1,411,617	1,419,728	1,417,836	1,450,392
ELECTED OFFICIALS						
Audit and Control, Department of	140,681	149,275	149,094	146,661	146,844	153,187
Executive Chamber	13,704	14,653	13,578	13,578	13,578	14,032
Judiciary	1,958,631	2,018,899	2,065,700	2,091,100	2,093,600	2,150,600
Law, Department of	168,775	170,170	175,579	174,387	176,833	183,996
Legislature	215,580	225,893	225,980	218,795	218,795	219,111
Lieutenant Governor, Office of the	499	510	614	614	614	634
Functional Total	2,497,870	2,579,400	2,630,545	2,645,135	2,650,264	2,721,560
LOCAL GOVERNMENT ASSISTANCE						

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
STATE OPERATIONS
(thousands of dollars)

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
Aid and Incentives for Municipalities	0	107	0	0	0	0
Functional Total	<u>0</u>	<u>107</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
ALL OTHER CATEGORIES						
Long-Term Debt Service	36,616	38,647	36,852	50,389	50,389	50,389
Miscellaneous	24,621	24,783	77,825	172,841	287,857	402,940
Functional Total	<u>61,237</u>	<u>63,430</u>	<u>114,677</u>	<u>223,230</u>	<u>338,246</u>	<u>453,329</u>
TOTAL STATE OPERATIONS SPENDING	<u>18,582,535</u>	<u>18,679,784</u>	<u>18,784,708</u>	<u>19,132,461</u>	<u>19,549,690</u>	<u>20,268,749</u>

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
PERSONAL SERVICE
(thousands of dollars)

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	27,923	30,523	29,183	29,238	29,243	30,358
Alcoholic Beverage Control, Division of	7,622	7,742	8,147	8,147	8,208	8,524
Economic Development, Department of	11,974	13,152	12,929	12,929	12,929	12,929
Energy Research and Development Authority	1,024	0	0	0	0	0
Financial Services, Department of	149,000	151,522	151,883	151,928	152,663	158,612
Olympic Regional Development Authority	2,593	2,548	2,548	2,548	2,548	2,548
Public Service Department	40,858	41,485	41,886	41,886	41,886	43,497
Functional Total	240,994	246,972	246,576	246,676	247,477	256,468
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,036	4,112	4,108	4,108	4,108	4,266
Environmental Conservation, Department of	181,598	176,058	170,209	170,560	170,677	178,987
Parks, Recreation and Historic Preservation, Office of	135,656	135,346	123,597	124,824	126,135	132,456
Functional Total	321,290	315,516	297,914	299,492	300,920	315,709
TRANSPORTATION						
Motor Vehicles, Department of	43,684	35,655	36,479	36,479	36,479	37,249
Transportation, Department of	6,407	6,497	3,413	3,459	3,459	3,591
Functional Total	50,091	42,152	39,892	39,938	39,938	40,840
HEALTH						
Aging, Office for the	1,110	1,125	1,125	1,125	1,125	1,168
Health, Department of	261,851	261,956	256,230	261,641	265,081	271,590
<i>Essential Plan</i>	391	1,367	3,668	4,015	3,835	3,769
<i>Medicaid Administration</i>	31,809	36,908	40,260	48,556	52,075	55,060
<i>Public Health</i>	229,651	223,681	212,302	209,070	209,171	212,761
Medicaid Inspector General, Office of the	16,621	16,415	15,358	14,936	14,936	15,543
Functional Total	279,582	279,496	272,713	277,702	281,142	288,301
SOCIAL WELFARE						
Children and Family Services, Office of	167,328	169,024	159,708	191,418	260,623	314,379
<i>OCFS</i>	167,328	169,024	159,708	191,418	260,623	314,379
Housing and Community Renewal, Division of	38,788	42,730	40,403	40,403	40,403	43,225
Human Rights, Division of	9,398	9,533	9,461	9,461	9,461	10,121
Labor, Department of	32,557	34,150	32,618	32,618	32,618	34,864
National and Community Service	311	203	328	331	331	340
Temporary and Disability Assistance, Office of	69,932	73,429	68,985	68,985	74,144	77,513
<i>All Other</i>	69,932	73,429	68,985	68,985	74,144	77,513
Functional Total	318,314	329,069	311,503	343,216	417,580	480,442
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	54,117	54,557	54,908	55,522	56,087	57,588
<i>OASAS</i>	19,602	19,701	23,287	23,746	23,997	24,627
<i>OASAS - Other</i>	34,515	34,856	31,621	31,776	32,090	32,961
Justice Center	26,608	29,240	31,060	31,060	31,060	32,460
Mental Health, Office of	1,123,600	1,072,564	1,053,768	1,037,331	1,051,218	1,067,405
<i>OMH</i>	289,883	288,507	299,096	302,088	305,124	305,124
<i>OMH - Other</i>	833,717	784,057	754,672	735,243	746,094	762,281
People with Developmental Disabilities, Office for	1,151,790	1,092,002	1,090,604	1,084,782	1,094,674	1,108,191
<i>OPWDD - Other</i>	1,151,790	1,092,002	1,090,604	1,084,782	1,094,674	1,108,191
Functional Total	2,356,115	2,248,363	2,230,340	2,208,695	2,233,039	2,265,644
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,042	2,234	2,330	2,414	2,414	2,531
Correctional Services, Department of	2,166,752	2,113,404	2,052,227	2,054,983	2,062,138	2,067,768
Criminal Justice Services, Division of	24,588	26,998	25,504	25,582	25,582	26,557
Disaster Assistance	(1,768)	0	0	0	0	0

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
PERSONAL SERVICE
(thousands of dollars)

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
Homeland Security and Emergency Services, Division of	13,037	16,748	18,383	22,583	23,302	24,458
Indigent Legal Services, Office of	1,211	1,678	2,520	2,520	2,520	2,586
Judicial Conduct, Commission on	4,208	4,181	4,281	4,312	4,347	4,548
Military and Naval Affairs, Division of	17,129	17,164	14,295	14,295	14,295	14,596
State Police, Division of	618,908	649,718	653,489	628,039	627,289	652,679
Statewide Financial System	10,234	11,045	11,513	11,513	11,513	11,956
Victim Services, Office of	3,154	3,145	3,176	3,176	3,176	3,293
Functional Total	2,859,495	2,846,315	2,787,718	2,769,417	2,776,576	2,810,972
HIGHER EDUCATION						
City University of New York	46,870	48,975	68,996	47,755	48,390	49,035
Higher Education - Miscellaneous	133	283	198	198	198	198
Higher Education Services Corporation, New York State	15,253	14,475	13,314	13,314	13,314	13,314
State University of New York	3,628,295	3,766,631	3,793,669	3,915,644	4,041,694	4,315,699
Functional Total	3,690,551	3,830,364	3,876,177	3,976,911	4,103,596	4,378,246
EDUCATION						
Arts, Council on the	2,253	2,491	2,498	2,498	2,498	2,594
Education, Department of	85,429	86,602	88,089	88,090	87,484	90,693
<i>All Other</i>	85,429	86,602	88,089	88,090	87,484	90,693
Functional Total	87,682	89,093	90,587	90,588	89,982	93,287
GENERAL GOVERNMENT						
Budget, Division of the	20,436	20,619	24,567	24,567	24,567	25,511
Civil Service, Department of	11,662	12,212	12,384	12,497	12,591	13,075
Deferred Compensation Board	304	368	410	410	413	414
Elections, State Board of	5,639	5,765	5,875	6,018	6,063	6,346
Employee Relations, Office of	2,200	2,269	2,510	2,529	2,548	2,646
Gaming Commission, New York State	31,549	32,822	38,757	38,757	38,757	40,248
General Services, Office of	71,454	77,580	56,951	56,951	56,951	60,376
Inspector General, Office of the	6,230	5,833	6,552	6,600	6,648	6,970
Labor Management Committees	6,619	7,871	5,446	5,446	5,487	5,698
Prevention of Domestic Violence, Office for	1,351	1,338	1,423	1,423	1,423	1,456
Public Employment Relations Board	3,207	3,056	3,336	3,363	3,388	3,518
Public Integrity, Commission on	3,480	3,808	4,620	4,646	4,681	4,867
State, Department of	28,886	29,588	28,337	28,337	28,337	29,425
Tax Appeals, Division of	2,763	2,604	2,870	2,870	2,870	2,980
Taxation and Finance, Department of	292,613	284,526	269,907	269,907	269,907	280,269
Technology, Office for	283,573	298,543	280,946	286,565	292,296	308,763
Veterans' Affairs, Division of	4,731	5,086	5,879	5,938	5,938	6,178
Welfare Inspector General, Office of	511	565	617	621	626	654
Workers' Compensation Board	78,723	82,632	84,378	81,493	82,104	86,209
Functional Total	855,931	877,085	835,765	838,938	845,595	885,603
ELECTED OFFICIALS						
Audit and Control, Department of	111,792	115,964	115,350	113,609	113,687	119,369
Executive Chamber	10,669	10,746	11,113	11,113	11,113	11,567
Judiciary	1,509,383	1,568,100	1,595,200	1,620,600	1,623,100	1,680,100
Law, Department of	119,099	120,219	121,274	119,097	120,450	126,506
Legislature	167,444	170,623	171,104	166,331	166,331	166,647
Lieutenant Governor, Office of the	336	364	523	523	523	543
Functional Total	1,918,723	1,986,016	2,014,564	2,031,273	2,035,204	2,104,732
ALL OTHER CATEGORIES						
Miscellaneous	1,982	1,939	33,053	128,058	243,063	358,144
Functional Total	1,982	1,939	33,053	128,058	243,063	358,144
TOTAL PERSONAL SERVICE SPENDING	12,980,750	13,092,380	13,036,802	13,250,904	13,614,112	14,278,388

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	26,822	14,011	7,266	23,014	23,014	23,018
Alcoholic Beverage Control, Division of	4,879	3,385	4,536	4,536	4,536	4,536
Economic Development, Department of	8,490	8,031	6,622	6,622	6,622	6,622
Energy Research and Development Authority	407	0	0	0	0	0
Financial Services, Department of	52,930	57,686	54,860	55,057	58,282	58,578
Olympic Regional Development Authority	498	188	138	3,338	3,338	3,338
Public Service Department	8,625	8,521	7,672	7,727	7,727	7,729
Functional Total	102,651	91,822	81,094	100,294	103,519	103,821
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	261	423	305	305	305	305
Environmental Conservation, Department of	56,314	49,027	40,746	40,711	41,293	41,219
Parks, Recreation and Historic Preservation, Office of	45,242	43,100	43,706	43,706	43,707	43,709
Functional Total	101,817	92,550	84,757	84,722	85,305	85,233
TRANSPORTATION						
Motor Vehicles, Department of	15,713	13,905	14,086	14,140	14,140	14,162
Thruway Authority, New York State	16,569	0	0	0	0	0
Transportation, Department of	12,181	14,731	8,029	7,698	7,698	7,702
Functional Total	44,463	28,636	22,115	21,838	21,838	21,864
HEALTH						
Aging, Office for the	166	106	107	107	107	107
Health, Department of	429,789	426,558	555,467	603,069	601,347	602,514
<i>Essential Plan</i>	12,969	42,470	92,650	97,655	93,861	89,071
<i>Medicaid Administration</i>	239,528	224,093	305,735	341,402	339,682	342,853
<i>Public Health</i>	177,292	159,995	157,082	164,012	167,804	170,590
Medicaid Inspector General, Office of the	3,998	4,074	3,175	3,175	3,175	3,175
Functional Total	433,953	430,738	558,749	606,351	604,629	605,796
SOCIAL WELFARE						
Children and Family Services, Office of	95,323	80,801	84,644	97,093	114,394	127,773
<i>OCFS</i>	95,323	80,801	84,644	97,093	114,394	127,773
Housing and Community Renewal, Division of	11,542	7,569	8,843	8,843	8,843	9,273
Human Rights, Division of	865	849	460	460	460	469
Labor, Department of	13,986	13,519	13,901	13,901	13,901	14,392
National and Community Service	5	1	9	9	9	9
Temporary and Disability Assistance, Office of	77,147	64,197	57,379	57,879	59,051	60,175
<i>All Other</i>	77,147	64,197	57,379	57,879	59,051	60,175
Functional Total	198,868	166,936	165,236	178,185	196,658	212,091
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	26,107	27,848	18,746	19,281	19,807	20,267
<i>OASAS</i>	14,049	14,612	7,868	8,083	8,321	8,501
<i>OASAS - Other</i>	12,058	13,236	10,878	11,198	11,486	11,766
Justice Center	11,696	8,950	9,143	8,800	9,067	9,681
Mental Health, Office of	294,057	271,702	277,589	281,804	287,626	293,592
<i>OMH</i>	64,988	60,326	49,089	50,454	51,823	51,823
<i>OMH - Other</i>	229,069	211,376	228,500	231,350	235,803	241,769
Mental Hygiene, Department of	219	227	0	0	0	0
People with Developmental Disabilities, Office for	215,836	205,395	209,129	209,443	214,154	219,094
<i>OPWDD</i>	17	63	181	181	181	181
<i>OPWDD - Other</i>	215,819	205,332	208,948	209,262	213,973	218,913
Functional Total	547,915	514,122	514,607	519,328	530,654	542,634
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	255	197	321	237	237	242

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
Correctional Services, Department of	525,761	527,258	565,046	565,046	565,046	565,046
Criminal Justice Services, Division of	11,451	8,195	13,383	13,211	13,211	13,211
Disaster Assistance	(50,021)	0	0	0	0	0
Homeland Security and Emergency Services, Division of	18,594	9,282	12,243	13,038	12,933	12,938
Indigent Legal Services, Office of	238	493	780	780	780	780
Judicial Conduct, Commission on	1,359	1,324	1,303	1,331	1,361	1,388
Judicial Nomination, Commission on	20	10	30	30	30	30
Judicial Screening Committees, New York State	14	9	38	38	38	38
Military and Naval Affairs, Division of	8,922	9,635	7,381	7,664	7,805	7,805
State Police, Division of	74,403	70,664	59,544	59,384	59,414	59,473
Statewide Financial System	19,836	19,264	18,624	18,624	18,624	18,624
Victim Services, Office of	379	533	775	775	775	775
Functional Total	611,211	646,864	679,468	680,158	680,254	680,350
HIGHER EDUCATION						
City University of New York	40,599	45,238	38,036	42,704	43,558	44,430
Higher Education - Miscellaneous	65	103	93	93	93	93
Higher Education Services Corporation, New York State	28,510	33,817	33,738	33,738	33,738	33,738
State University of New York	2,238,025	2,236,089	2,236,086	2,265,662	2,295,744	2,326,346
Functional Total	2,307,199	2,315,247	2,307,953	2,342,197	2,373,133	2,404,607
EDUCATION						
Arts, Council on the	1,234	1,434	1,822	1,822	1,822	1,822
Education, Department of	45,211	52,574	58,648	56,838	55,282	55,341
<i>All Other</i>	45,211	52,574	58,648	56,838	55,282	55,341
Functional Total	46,445	54,008	60,470	58,660	57,104	57,163
GENERAL GOVERNMENT						
Budget, Division of the	2,960	3,940	4,899	4,372	4,372	4,375
Civil Service, Department of	1,615	1,083	821	834	850	851
Deferred Compensation Board	73	50	231	231	235	235
Elections, State Board of	2,186	1,330	2,607	2,569	2,634	2,687
Employee Relations, Office of	47	65	71	72	73	73
Gaming Commission, New York State	115,268	105,645	71,971	56,971	56,971	57,007
General Services, Office of	85,485	83,232	86,920	85,228	81,128	78,270
Inspector General, Office of the	831	1,346	815	827	839	856
Labor Management Committees	18,263	20,947	19,854	19,854	19,819	19,819
Prevention of Domestic Violence, Office for	113	162	173	173	173	212
Public Employment Relations Board	226	225	237	241	246	246
Public Integrity, Commission on	852	1,068	911	930	949	968
State, Department of	14,446	18,088	13,793	13,816	13,817	13,841
Tax Appeals, Division of	272	229	170	170	170	170
Taxation and Finance, Department of	43,161	47,284	59,717	59,225	59,217	59,262
Technology, Office for	222,376	249,823	255,086	273,017	267,286	261,232
Veterans' Affairs, Division of	336	865	292	298	298	304
Welfare Inspector General, Office of	58	27	55	65	75	77
Workers' Compensation Board	60,293	56,090	57,229	61,897	63,089	64,304
Functional Total	568,861	591,499	575,852	580,790	572,241	564,789
ELECTED OFFICIALS						
Audit and Control, Department of	28,889	33,311	33,744	33,052	33,157	33,818
Executive Chamber	3,035	3,907	2,465	2,465	2,465	2,465
Judiciary	449,248	450,799	470,500	470,500	470,500	470,500
Law, Department of	49,676	49,951	54,305	55,290	56,383	57,490
Legislature	48,136	55,270	54,876	52,464	52,464	52,464
Lieutenant Governor, Office of the	163	146	91	91	91	91
Functional Total	579,147	593,384	615,981	613,862	615,060	616,828
LOCAL GOVERNMENT ASSISTANCE						

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
Aid and Incentives for Municipalities	0	107	0	0	0	0
Functional Total	<u>0</u>	<u>107</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
ALL OTHER CATEGORIES						
Long-Term Debt Service	36,616	38,647	36,852	50,389	50,389	50,389
Miscellaneous	22,639	22,844	44,772	44,783	44,794	44,796
Functional Total	<u>59,255</u>	<u>61,491</u>	<u>81,624</u>	<u>95,172</u>	<u>95,183</u>	<u>95,185</u>
TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING	<u>5,601,785</u>	<u>5,587,404</u>	<u>5,747,906</u>	<u>5,881,557</u>	<u>5,935,578</u>	<u>5,990,361</u>

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	1,517	1,719	1,786	1,891	1,960	2,128
Alcoholic Beverage Control, Division of	4,776	121	0	0	0	0
Economic Development, Department of	0	0	28	28	28	28
Energy Research and Development Authority	535	0	0	0	0	0
Financial Services, Department of	77,436	86,652	93,413	97,062	100,895	113,339
Olympic Regional Development Authority	20	0	0	0	0	0
Public Service Department	18,992	25,523	26,822	26,761	27,682	29,298
Functional Total	103,276	114,015	122,049	125,742	130,565	144,793
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	45,186	47,714	51,708	53,886	51,037	51,805
Parks, Recreation and Historic Preservation, Office of	2,863	2,972	3,144	3,157	3,165	3,313
Functional Total	48,049	50,686	54,852	57,043	54,202	55,118
TRANSPORTATION						
Motor Vehicles, Department of	24,365	20,473	22,222	23,306	24,109	25,954
Transportation, Department of	3,638	3,750	2,695	2,210	2,286	2,510
Functional Total	28,003	24,223	24,917	25,516	26,395	28,464
HEALTH						
Health, Department of	31,572	30,543	31,530	31,872	33,001	34,744
<i>Public Health</i>	31,572	30,543	31,530	31,872	33,001	34,744
Functional Total	31,572	30,543	31,530	31,872	33,001	34,744
SOCIAL WELFARE						
Children and Family Services, Office of	2,929	1,656	1,723	1,764	1,794	1,824
<i>OCFS</i>	2,929	1,656	1,723	1,764	1,794	1,824
Housing and Community Renewal, Division of	15,976	5,449	14,477	10,404	10,404	10,404
Labor, Department of	14,040	19,497	20,487	20,487	20,487	20,487
Temporary and Disability Assistance, Office of	70	122	128	128	128	128
<i>All Other</i>	70	122	128	128	128	128
Functional Total	33,015	26,724	36,815	32,783	32,813	32,843
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	32,043	33,726	36,291	37,784	39,163	41,060
<i>OASAS</i>	13,118	13,803	17,161	17,418	18,088	18,934
<i>OASAS - Other</i>	18,925	19,923	19,130	20,366	21,075	22,126
Justice Center	630	850	893	971	989	989
Mental Health, Office of	605,454	621,496	616,536	642,920	664,637	664,637
<i>OMH</i>	149,711	192,230	176,335	185,477	190,838	190,838
<i>OMH - Other</i>	455,743	429,266	440,201	457,443	473,799	473,799
People with Developmental Disabilities, Office for	620,685	637,200	674,773	677,487	701,146	728,533
<i>OPWDD - Other</i>	620,685	637,200	674,773	677,487	701,146	728,533
Functional Total	1,258,812	1,293,272	1,328,493	1,359,162	1,405,935	1,435,219
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	92	101	106	106	106	106
Criminal Justice Services, Division of	41	33	88	35	35	35
Homeland Security and Emergency Services, Division of	507	666	699	809	817	835
Indigent Legal Services, Office of	599	967	1,016	1,556	1,556	1,556
Military and Naval Affairs, Division of	(7)	9	9	9	9	9
State Police, Division of	2,439	18,111	2,821	2,900	3,010	3,353
Victim Services, Office of	1,535	1,570	1,650	1,650	1,650	1,650
Functional Total	5,206	21,457	6,389	7,065	7,183	7,544
HIGHER EDUCATION						

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
GENERAL STATE CHARGES
(thousands of dollars)

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
City University of New York	7,892	7,661	7,892	7,892	7,892	7,892
Higher Education - Miscellaneous	61	143	150	150	150	150
Higher Education Services Corporation, New York State	8,617	8,524	8,958	8,958	8,958	8,958
State University of New York	411,631	420,283	446,782	474,407	504,024	540,563
Functional Total	428,201	436,611	463,782	491,407	521,024	557,563
EDUCATION						
Education, Department of	32,021	32,142	36,280	37,463	38,621	42,281
<i>All Other</i>	32,021	32,142	36,280	37,463	38,621	42,281
Functional Total	32,021	32,142	36,280	37,463	38,621	42,281
GENERAL GOVERNMENT						
Budget, Division of the	831	776	1,657	1,657	1,657	1,717
Civil Service, Department of	147	84	205	229	239	263
Deferred Compensation Board	147	195	205	205	205	205
Gaming Commission, New York State	12,895	12,777	17,575	17,575	17,575	18,465
General Services, Office of	2,589	407	527	548	567	627
State, Department of	8,372	9,623	11,628	12,065	12,477	13,694
Taxation and Finance, Department of	18,059	25,017	26,290	26,457	26,290	27,410
Workers' Compensation Board	44,194	48,919	51,409	53,402	53,402	57,863
Functional Total	87,234	97,798	109,496	112,138	112,412	120,244
ELECTED OFFICIALS						
Audit and Control, Department of	1,494	1,605	2,030	2,385	2,385	2,417
Judiciary	685,300	702,163	764,300	781,703	779,203	779,203
Law, Department of	16,644	14,731	17,729	20,254	20,531	21,564
Functional Total	703,438	718,499	784,059	804,342	802,119	803,184
ALL OTHER CATEGORIES						
General State Charges	4,681,599	4,782,943	4,973,712	5,395,283	5,828,960	6,336,214
Miscellaneous	12,039	5,412	5,584	5,631	5,631	5,649
Functional Total	4,693,638	4,788,355	4,979,296	5,400,914	5,834,591	6,341,863
TOTAL GENERAL STATE CHARGES SPENDING	7,452,465	7,634,325	7,977,958	8,485,447	8,998,861	9,603,860

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
CAPITAL PROJECTS
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	4,776	4,337	29,215	36,165	13,715	4,715
Economic Development Capital	0	0	29,264	22,587	21,600	20,862
Economic Development, Department of	28	17	8,274	10,442	0	5,525
Empire State Development Corporation	33,720	75,861	507,678	548,778	472,678	534,928
Energy Research and Development Authority	11,383	14,545	25,273	24,842	15,352	13,720
Olympic Regional Development Authority	7,500	7,500	14,000	13,300	0	0
Power Authority, New York	0	0	28,328	18,000	37,500	2,500
Regional Economic Development Program	0	0	512	338	337	337
Strategic Investment Program	0	0	6,000	7,002	6,650	11,046
Functional Total	57,407	102,260	648,544	681,454	567,832	593,633
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	225,645	311,761	671,608	746,144	724,918	716,293
Hudson River Park Trust	3,452	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	136,835	148,714	153,692	159,903	161,732	146,914
Functional Total	365,932	460,475	825,300	906,047	886,650	863,207
TRANSPORTATION						
Motor Vehicles, Department of	189,879	217,104	223,036	232,491	234,316	240,184
Transportation, Department of	2,041,369	2,214,421	2,772,370	2,972,624	2,907,255	2,837,309
Functional Total	2,231,248	2,431,525	2,995,406	3,205,115	3,141,571	3,077,493
HEALTH						
Health, Department of	61,148	35,177	71,647	90,772	90,772	38,772
<i>Public Health</i>	61,148	35,177	71,647	90,772	90,772	38,772
Functional Total	61,148	35,177	71,647	90,772	90,772	38,772
SOCIAL WELFARE						
Children and Family Services, Office of	21,186	24,392	64,936	68,695	23,738	23,917
<i>OCFS</i>	21,186	24,392	64,936	68,695	23,738	23,917
Nonprofit Infrastructure Capital Investment Program	0	0	33,000	45,000	25,000	14,000
Temporary and Disability Assistance, Office of	376	346	800	800	800	800
<i>All Other</i>	376	346	800	800	800	800
Functional Total	21,562	24,738	98,736	114,495	49,538	38,717
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	0	1,066	5,000	4,800	4,700	4,700
<i>OASAS</i>	0	1,066	5,000	4,800	4,700	4,700
Mental Health, Office of	102,473	139,970	178,962	160,250	160,945	160,945
<i>OMH</i>	102,473	139,970	178,962	160,250	160,945	160,945
People with Developmental Disabilities, Office for	36,044	69,053	73,607	73,929	74,378	74,378
<i>OPWDD</i>	36,044	69,053	73,607	73,929	74,378	74,378
Functional Total	138,517	210,089	257,569	238,979	240,023	240,023
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	260,188	303,275	363,322	353,637	331,342	327,432
Homeland Security and Emergency Services, Division of	6,680	8,445	66,261	14,153	5,000	5,000
Military and Naval Affairs, Division of	17,006	35,395	50,395	10,392	13,507	13,544
State Police, Division of	19,121	31,235	78,867	46,874	43,334	41,408
Functional Total	302,995	378,350	558,845	425,056	393,183	387,384
HIGHER EDUCATION						
City University of New York	33,460	36,527	35,400	35,900	36,620	37,352
Higher Education Facilities Capital Matching Grants Program	0	0	5,000	12,500	12,500	15,000
State University of New York	931,348	760,044	920,337	909,760	911,133	903,310
Functional Total	964,808	796,571	960,737	958,160	960,253	955,662

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
CAPITAL PROJECTS
(thousands of dollars)

	<u>FY 2016</u> <u>Results</u>	<u>FY 2017</u> <u>Results</u>	<u>FY 2018</u> <u>Updated</u>	<u>FY 2019</u> <u>Projected</u>	<u>FY 2020</u> <u>Projected</u>	<u>FY 2021</u> <u>Projected</u>
EDUCATION						
Education, Department of	7,420	5,145	17,280	38,287	39,000	26,707
<i>All Other</i>	7,420	5,145	17,280	38,287	39,000	26,707
Functional Total	<u>7,420</u>	<u>5,145</u>	<u>17,280</u>	<u>38,287</u>	<u>39,000</u>	<u>26,707</u>
GENERAL GOVERNMENT						
General Services, Office of	101,785	92,531	172,478	229,757	165,628	114,944
State, Department of	0	0	4,000	2,000	2,000	0
Technology, Office for	95,311	86,220	139,716	29,865	18,751	24,700
Workers' Compensation Board	3,117	3,752	15,000	15,000	15,000	0
Functional Total	<u>200,213</u>	<u>182,503</u>	<u>331,194</u>	<u>276,622</u>	<u>201,379</u>	<u>139,644</u>
ELECTED OFFICIALS						
Audit and Control, Department of	0	2,100	3,900	0	0	0
Judiciary	0	0	8,000	7,000	0	0
Law, Department of	1,449	6,201	10,000	2,000	1,287	0
Functional Total	<u>1,449</u>	<u>8,301</u>	<u>21,900</u>	<u>9,000</u>	<u>1,287</u>	<u>0</u>
ALL OTHER CATEGORIES						
Arts and Cultural Facilities Improvement	0	0	5,000	5,000	0	0
Miscellaneous	13,956	25,561	(646,241)	269,831	457,040	480,538
Special Infrastructure Account	692,433	418,228	877,071	1,270,923	950,604	549,520
Functional Total	<u>706,389</u>	<u>443,789</u>	<u>235,830</u>	<u>1,545,754</u>	<u>1,407,644</u>	<u>1,030,058</u>
TOTAL CAPITAL PROJECTS SPENDING	<u><u>5,059,088</u></u>	<u><u>5,078,923</u></u>	<u><u>7,022,988</u></u>	<u><u>8,489,741</u></u>	<u><u>7,979,132</u></u>	<u><u>7,391,300</u></u>

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
LOCAL ASSISTANCE
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Economic Development, Department of	17,190	250	5,000	5,000	5,000	5,000
Energy Research and Development Authority	1,842	0	0	0	0	0
Financial Services, Department of	80,686	66,703	57,988	59,430	59,412	59,406
Public Service Department	0	0	155	155	155	155
Functional Total	99,718	66,953	63,143	64,585	64,567	64,561
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	0	199	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	4,754	3,665	5,450	5,450	5,450	5,450
Functional Total	4,754	3,864	5,450	5,450	5,450	5,450
TRANSPORTATION						
Transportation, Department of	4,633,877	4,871,085	4,907,323	4,975,930	5,084,299	5,147,925
Functional Total	4,633,877	4,871,085	4,907,323	4,975,930	5,084,299	5,147,925
HEALTH						
Health, Department of	6,250,424	6,581,253	6,442,521	6,404,846	6,417,972	6,457,496
<i>Medical Assistance</i>	5,316,631	5,795,977	5,623,900	5,547,705	5,444,081	5,359,172
<i>Public Health</i>	933,793	785,276	818,621	857,141	973,891	1,098,324
Functional Total	6,250,424	6,581,253	6,442,521	6,404,846	6,417,972	6,457,496
SOCIAL WELFARE						
Children and Family Services, Office of	2,367	3,164	3,582	3,582	3,582	3,582
<i>OCFS</i>	2,367	3,164	3,582	3,582	3,582	3,582
Housing and Community Renewal, Division of	295	(215)	852	852	852	852
Labor, Department of	58	322	150	150	150	150
Temporary and Disability Assistance, Office of	89	0	0	0	0	0
<i>All Other</i>	89	0	0	0	0	0
Functional Total	2,809	3,271	4,584	4,584	4,584	4,584
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	280,641	276,997	293,810	316,370	338,682	351,912
<i>OASAS</i>	280,641	276,997	293,810	316,370	338,682	351,912
Justice Center	430	479	479	479	479	479
Mental Health, Office of	857,956	909,429	935,845	1,095,393	1,169,451	1,209,829
<i>OMH</i>	857,956	909,429	935,845	1,095,393	1,169,451	1,209,829
People with Developmental Disabilities, Office for	332,217	385,560	366,484	405,031	459,627	496,893
<i>OPWDD</i>	331,062	384,726	366,484	405,031	459,627	496,893
<i>OPWDD - Other</i>	1,155	834	0	0	0	0
Functional Total	1,471,244	1,572,465	1,596,618	1,817,273	1,968,239	2,059,113
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Criminal Justice Services, Division of	34,250	26,509	22,229	17,437	17,437	17,437
Homeland Security and Emergency Services, Division of	33,702	37,926	28,761	55,067	89,801	87,570
Indigent Legal Services, Office of	58,068	60,503	95,465	160,672	184,765	208,859
Victim Services, Office of	17,531	22,547	32,678	32,678	32,678	32,678
Functional Total	143,551	147,485	179,133	265,854	324,681	346,544
HIGHER EDUCATION						
Higher Education Services Corporation, New York State	16,000	0	0	0	0	0
Functional Total	16,000	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
LOCAL ASSISTANCE
(thousands of dollars)**

	<u>FY 2016 Results</u>	<u>FY 2017 Results</u>	<u>FY 2018 Updated</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>
EDUCATION						
Arts, Council on the	0	0	98	98	98	98
Education, Department of	6,513,228	6,480,458	6,085,378	5,898,092	5,870,071	5,857,828
<i>School Aid</i>	3,169,009	3,334,094	3,442,348	3,365,500	3,404,500	3,407,500
<i>STAR Property Tax Relief</i>	3,334,700	3,139,051	2,629,997	2,519,559	2,452,538	2,437,295
<i>All Other</i>	9,519	7,313	13,033	13,033	13,033	13,033
Functional Total	<u>6,513,228</u>	<u>6,480,458</u>	<u>6,085,476</u>	<u>5,898,190</u>	<u>5,870,169</u>	<u>5,857,926</u>
GENERAL GOVERNMENT						
Gaming Commission, New York State	91,881	101,820	95,700	131,730	131,500	131,500
State, Department of	638	942	939	939	939	939
Taxation and Finance, Department of	0	172	1,800	1,800	1,800	1,800
Functional Total	<u>92,519</u>	<u>102,934</u>	<u>98,439</u>	<u>134,469</u>	<u>134,239</u>	<u>134,239</u>
ELECTED OFFICIALS						
Judiciary	112,204	98,656	105,497	105,503	105,502	105,503
Functional Total	<u>112,204</u>	<u>98,656</u>	<u>105,497</u>	<u>105,503</u>	<u>105,502</u>	<u>105,503</u>
ALL OTHER CATEGORIES						
Miscellaneous	(1,400)	838	(187,331)	(801,000)	(1,049,500)	(1,199,500)
Functional Total	<u>(1,400)</u>	<u>838</u>	<u>(187,331)</u>	<u>(801,000)</u>	<u>(1,049,500)</u>	<u>(1,199,500)</u>
TOTAL LOCAL ASSISTANCE SPENDING	<u><u>19,338,928</u></u>	<u><u>19,929,262</u></u>	<u><u>19,300,853</u></u>	<u><u>18,875,684</u></u>	<u><u>18,930,202</u></u>	<u><u>18,983,841</u></u>

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	2,654	3,149	2,905	2,960	2,965	3,069
Alcoholic Beverage Control, Division of	7,622	175	0	0	0	0
Economic Development, Department of	0	0	103	103	103	103
Energy Research and Development Authority	1,024	0	0	0	0	0
Financial Services, Department of	149,000	151,522	151,883	151,928	152,663	158,612
Olympic Regional Development Authority	45	0	0	0	0	0
Public Service Department	40,858	41,485	41,886	41,886	41,886	43,497
Functional Total	201,203	196,331	196,777	196,877	197,617	205,281
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	95,957	92,127	93,168	93,449	86,366	83,706
Parks, Recreation and Historic Preservation, Office of	29,719	32,019	29,608	29,792	29,989	31,362
Functional Total	125,676	124,146	122,776	123,241	116,355	115,068
TRANSPORTATION						
Motor Vehicles, Department of	43,684	35,655	36,479	36,479	36,479	37,249
Transportation, Department of	6,407	6,497	3,413	3,459	3,459	3,591
Functional Total	50,091	42,152	39,892	39,938	39,938	40,840
HEALTH						
Health, Department of	142,666	136,344	131,164	131,875	131,976	132,461
<i>Public Health</i>	142,666	136,344	131,164	131,875	131,976	132,461
Functional Total	142,666	136,344	131,164	131,875	131,976	132,461
SOCIAL WELFARE						
Children and Family Services, Office of	2,564	2,767	3,322	3,354	3,354	3,483
<i>OCFS</i>	2,564	2,767	3,322	3,354	3,354	3,483
Housing and Community Renewal, Division of	33,869	37,730	36,204	36,204	36,204	38,733
Labor, Department of	32,519	34,030	32,530	32,530	32,530	34,770
Functional Total	68,952	74,527	72,056	72,088	72,088	76,986
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	54,117	54,557	54,908	55,522	56,087	57,588
<i>OASAS</i>	19,602	19,701	23,287	23,746	23,997	24,627
<i>OASAS - Other</i>	34,515	34,856	31,621	31,776	32,090	32,961
Justice Center	1,178	1,127	1,287	1,287	1,287	1,287
Mental Health, Office of	1,123,600	1,072,564	1,053,768	1,037,331	1,051,218	1,067,405
<i>OMH</i>	289,883	288,507	299,096	302,088	305,124	305,124
<i>OMH - Other</i>	833,717	784,057	754,672	735,243	746,094	762,281
People with Developmental Disabilities, Office for	1,151,790	1,092,002	1,090,604	1,084,782	1,094,674	1,108,191
<i>OPWDD - Other</i>	1,151,790	1,092,002	1,090,604	1,084,782	1,094,674	1,108,191
Functional Total	2,330,685	2,220,250	2,200,567	2,178,922	2,203,266	2,234,471
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	168	165	219	219	219	219
Criminal Justice Services, Division of	342	258	314	392	392	403
Homeland Security and Emergency Services, Division of	10,965	15,756	17,313	21,583	22,302	23,458
Indigent Legal Services, Office of	1,211	1,678	2,520	2,520	2,520	2,586
Military and Naval Affairs, Division of	435	403	134	134	134	139
State Police, Division of	11,357	41,672	11,807	11,807	11,807	12,147
Victim Services, Office of	3,154	3,145	3,176	3,176	3,176	3,293
Functional Total	27,632	63,077	35,483	39,831	40,550	42,245
HIGHER EDUCATION						
City University of New York	46,870	48,975	68,996	47,755	48,390	49,035
Higher Education - Miscellaneous	133	283	198	198	198	198
Higher Education Services Corporation, New York State	15,253	14,475	13,314	13,314	13,314	13,314

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
State University of New York	3,626,705	3,766,633	3,793,669	3,915,644	4,041,694	4,315,699
Functional Total	3,688,961	3,830,366	3,876,177	3,976,911	4,103,596	4,378,246
EDUCATION						
Education, Department of	57,820	55,689	58,278	58,278	58,150	60,387
<i>All Other</i>	57,820	55,689	58,278	58,278	58,150	60,387
Functional Total	57,820	55,689	58,278	58,278	58,150	60,387
GENERAL GOVERNMENT						
Budget, Division of the	1,491	1,273	2,351	2,351	2,351	2,441
Civil Service, Department of	255	151	334	359	362	376
Deferred Compensation Board	280	339	378	378	381	381
Gaming Commission, New York State	28,187	29,098	34,475	34,475	34,475	35,801
General Services, Office of	4,615	4,065	858	858	858	897
State, Department of	17,130	17,254	18,913	18,913	18,913	19,639
Taxation and Finance, Department of	45,481	49,625	43,353	43,654	43,353	45,022
Workers' Compensation Board	78,723	82,632	84,378	81,493	82,104	86,209
Functional Total	176,162	184,437	185,040	182,481	182,797	190,766
ELECTED OFFICIALS						
Audit and Control, Department of	10,594	12,206	11,282	10,937	11,015	11,564
Judiciary	58,352	58,822	58,800	58,800	58,800	58,800
Law, Department of	29,147	27,520	29,176	29,692	30,380	31,936
Functional Total	98,093	98,548	99,258	99,429	100,195	102,300
ALL OTHER CATEGORIES						
Miscellaneous	1,919	1,890	37,997	(98,198)	(138,193)	(250,912)
Functional Total	1,919	1,890	37,997	(98,198)	(138,193)	(250,912)
TOTAL PERSONAL SERVICE SPENDING	6,969,860	7,027,757	7,055,465	7,001,673	7,108,335	7,328,139

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	19,408	8,519	2,482	18,230	18,230	18,234
Alcoholic Beverage Control, Division of	4,879	109	0	0	0	0
Economic Development, Department of	1,679	1,929	1,847	1,847	1,847	1,847
Energy Research and Development Authority	407	0	0	0	0	0
Financial Services, Department of	52,930	57,686	54,860	55,057	58,282	58,578
Olympic Regional Development Authority	35	0	150	150	150	150
Public Service Department	8,625	8,521	7,672	7,727	7,727	7,729
Functional Total	87,963	76,764	67,011	83,011	86,236	86,538
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	47,541	42,585	27,559	21,963	21,745	16,871
Parks, Recreation and Historic Preservation, Office of	40,223	37,667	36,359	36,359	36,360	36,362
Functional Total	87,764	80,252	63,918	58,322	58,105	53,233
TRANSPORTATION						
Motor Vehicles, Department of	15,713	13,905	14,086	14,140	14,140	14,162
Transportation, Department of	11,029	13,562	6,860	6,529	6,529	6,533
Functional Total	26,742	27,467	20,946	20,669	20,669	20,695
HEALTH						
Aging, Office for the	0	0	1	1	1	1
Health, Department of	127,278	114,737	117,914	122,431	126,123	128,909
<i>Public Health</i>	127,278	114,737	117,914	122,431	126,123	128,909
Functional Total	127,278	114,737	117,915	122,432	126,124	128,910
SOCIAL WELFARE						
Children and Family Services, Office of	27,443	29,854	14,961	15,527	15,527	15,838
<i>OCFS</i>	27,443	29,854	14,961	15,527	15,527	15,838
Housing and Community Renewal, Division of	9,273	4,778	8,492	8,492	8,492	8,860
Labor, Department of	13,818	13,328	13,701	13,701	13,701	14,137
Temporary and Disability Assistance, Office of	76	946	1,200	1,700	1,700	1,700
<i>All Other</i>	76	946	1,200	1,700	1,700	1,700
Functional Total	50,610	48,906	38,354	39,420	39,420	40,535
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	26,107	27,848	18,746	19,281	19,807	20,267
<i>OASAS</i>	14,049	14,612	7,868	8,083	8,321	8,501
<i>OASAS - Other</i>	12,058	13,236	10,878	11,198	11,486	11,766
Justice Center	30	41	37	38	39	39
Mental Health, Office of	293,578	270,921	276,789	281,004	286,826	292,792
<i>OMH</i>	64,509	59,545	48,289	49,654	51,023	51,023
<i>OMH - Other</i>	229,069	211,376	228,500	231,350	235,803	241,769
Mental Hygiene, Department of	219	227	0	0	0	0
People with Developmental Disabilities, Office for	215,836	205,395	209,129	209,443	214,154	219,094
<i>OPWDD</i>	17	63	181	181	181	181
<i>OPWDD - Other</i>	215,819	205,332	208,948	209,262	213,973	218,913
Functional Total	535,770	504,432	504,701	509,766	520,826	532,192

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	1,113	1,379	2,627	2,627	2,627	2,627
Criminal Justice Services, Division of	1,176	1,067	4,855	4,680	4,680	4,680
Homeland Security and Emergency Services, Division of	16,348	9,135	12,243	13,038	12,933	12,938
Indigent Legal Services, Office of	238	493	780	780	780	780
Military and Naval Affairs, Division of	3,052	2,448	1,207	1,207	1,207	1,207
State Police, Division of	29,676	26,164	31,685	26,685	26,685	26,714
Victim Services, Office of	379	533	775	775	775	775
Functional Total	51,982	41,219	54,172	49,792	49,687	49,721
HIGHER EDUCATION						
City University of New York	39,822	44,735	38,036	42,704	43,558	44,430
Higher Education - Miscellaneous	65	103	93	93	93	93
Higher Education Services Corporation, New York State	28,510	33,817	33,738	33,738	33,738	33,738
State University of New York	2,229,774	2,235,431	2,236,086	2,265,662	2,295,744	2,326,346
Functional Total	2,298,171	2,314,086	2,307,953	2,342,197	2,373,133	2,404,607
EDUCATION						
Education, Department of	27,825	25,114	25,646	25,864	25,808	25,867
<i>All Other</i>	27,825	25,114	25,646	25,864	25,808	25,867
Functional Total	27,825	25,114	25,646	25,864	25,808	25,867
GENERAL GOVERNMENT						
Budget, Division of the	826	1,168	2,693	2,693	2,693	2,696
Civil Service, Department of	71	530	420	428	436	437
Deferred Compensation Board	36	40	206	206	210	210
Elections, State Board of	221	104	0	0	0	0
Gaming Commission, New York State	113,017	103,660	69,891	54,891	54,891	54,927
General Services, Office of	6,122	2,892	3,124	3,232	3,232	3,298
Labor Management Committees	0	0	300	300	306	306
Prevention of Domestic Violence, Office for	0	0	5	5	5	5
Public Employment Relations Board	33	12	44	44	45	45
State, Department of	14,023	17,161	13,554	13,577	13,578	13,602
Taxation and Finance, Department of	26,531	19,521	30,164	30,496	30,164	30,209
Workers' Compensation Board	60,293	56,090	57,229	61,897	63,089	64,304
Functional Total	221,173	201,178	177,630	167,769	168,649	170,039
ELECTED OFFICIALS						
Audit and Control, Department of	3,662	3,320	5,481	5,344	5,449	5,556
Judiciary	62,130	48,240	50,900	50,900	50,900	50,900
Law, Department of	37,530	38,500	40,969	41,857	42,692	43,525
Legislature	1,469	1,581	1,600	950	950	950
Functional Total	104,791	91,641	98,950	99,051	99,991	100,931
ALL OTHER CATEGORIES						
Miscellaneous	810	1,322	1,560	(187,929)	(282,918)	(298,416)
Functional Total	810	1,322	1,560	(187,929)	(282,918)	(298,416)
TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING	3,620,879	3,527,118	3,478,756	3,330,364	3,285,730	3,314,852

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	1,517	1,719	1,786	1,891	1,960	2,128
Alcoholic Beverage Control, Division of	4,776	121	0	0	0	0
Economic Development, Department of	0	0	28	28	28	28
Energy Research and Development Authority	535	0	0	0	0	0
Financial Services, Department of	77,436	86,652	93,413	97,062	100,895	113,339
Olympic Regional Development Authority	20	0	0	0	0	0
Public Service Department	18,992	25,523	26,822	26,761	27,682	29,298
Functional Total	103,276	114,015	122,049	125,742	130,565	144,793
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	45,186	47,714	51,708	53,886	51,037	51,805
Parks, Recreation and Historic Preservation, Office of	2,863	2,972	3,144	3,157	3,165	3,313
Functional Total	48,049	50,686	54,852	57,043	54,202	55,118
TRANSPORTATION						
Motor Vehicles, Department of	24,365	20,473	22,222	23,306	24,109	25,954
Transportation, Department of	3,638	3,750	2,695	2,210	2,286	2,510
Functional Total	28,003	24,223	24,917	25,516	26,395	28,464
HEALTH						
Health, Department of	31,572	30,543	31,530	31,872	33,001	34,744
<i>Public Health</i>	31,572	30,543	31,530	31,872	33,001	34,744
Functional Total	31,572	30,543	31,530	31,872	33,001	34,744
SOCIAL WELFARE						
Children and Family Services, Office of	2,929	1,656	1,723	1,764	1,794	1,824
<i>OCFS</i>	2,929	1,656	1,723	1,764	1,794	1,824
Housing and Community Renewal, Division of	15,976	5,449	14,477	10,404	10,404	10,404
Labor, Department of	14,040	19,497	20,487	20,487	20,487	20,487
Temporary and Disability Assistance, Office of	70	122	128	128	128	128
<i>All Other</i>	70	122	128	128	128	128
Functional Total	33,015	26,724	36,815	32,783	32,813	32,843
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	32,043	33,726	36,291	37,784	39,163	41,060
<i>OASAS</i>	13,118	13,803	17,161	17,418	18,088	18,934
<i>OASAS - Other</i>	18,925	19,923	19,130	20,366	21,075	22,126
Justice Center	630	850	893	971	989	989
Mental Health, Office of	605,454	621,496	616,536	642,920	664,637	664,637
<i>OMH</i>	149,711	192,230	176,335	185,477	190,838	190,838
<i>OMH - Other</i>	455,743	429,266	440,201	457,443	473,799	473,799
People with Developmental Disabilities, Office for	620,685	637,200	674,773	677,487	701,146	728,533
<i>OPWDD - Other</i>	620,685	637,200	674,773	677,487	701,146	728,533
Functional Total	1,258,812	1,293,272	1,328,493	1,359,162	1,405,935	1,435,219
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	92	101	106	106	106	106
Criminal Justice Services, Division of	41	33	88	35	35	35
Homeland Security and Emergency Services, Division of	507	666	699	809	817	835
Indigent Legal Services, Office of	599	967	1,016	1,556	1,556	1,556
Military and Naval Affairs, Division of	(7)	9	9	9	9	9
State Police, Division of	2,439	18,111	2,821	2,900	3,010	3,353
Victim Services, Office of	1,535	1,570	1,650	1,650	1,650	1,650
Functional Total	5,206	21,457	6,389	7,065	7,183	7,544
HIGHER EDUCATION						

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
City University of New York	7,892	7,661	7,892	7,892	7,892	7,892
Higher Education - Miscellaneous	61	143	150	150	150	150
Higher Education Services Corporation, New York State	8,617	8,524	8,958	8,958	8,958	8,958
State University of New York	370,529	420,283	446,782	474,407	504,024	540,563
Functional Total	387,099	436,611	463,782	491,407	521,024	557,563
EDUCATION						
Education, Department of	32,021	32,142	36,280	37,463	38,621	42,281
<i>All Other</i>	32,021	32,142	36,280	37,463	38,621	42,281
Functional Total	32,021	32,142	36,280	37,463	38,621	42,281
GENERAL GOVERNMENT						
Budget, Division of the	831	776	1,657	1,657	1,657	1,717
Civil Service, Department of	147	84	205	229	239	263
Deferred Compensation Board	147	195	205	205	205	205
Gaming Commission, New York State	12,895	12,777	17,575	17,575	17,575	18,465
General Services, Office of	2,589	407	527	548	567	627
State, Department of	8,372	9,623	11,628	12,065	12,477	13,694
Taxation and Finance, Department of	18,059	25,017	26,290	26,457	26,290	27,410
Workers' Compensation Board	44,194	48,919	51,409	53,402	53,402	57,863
Functional Total	87,234	97,798	109,496	112,138	112,412	120,244
ELECTED OFFICIALS						
Audit and Control, Department of	1,494	1,605	2,030	2,385	2,385	2,417
Judiciary	21,727	27,112	29,600	29,600	29,600	29,600
Law, Department of	16,644	14,731	17,729	20,254	20,531	21,564
Functional Total	39,865	43,448	49,359	52,239	52,516	53,581
ALL OTHER CATEGORIES						
Miscellaneous	1,083	1,108	1,164	1,211	1,211	1,229
Functional Total	1,083	1,108	1,164	1,211	1,211	1,229
TOTAL GENERAL STATE CHARGES SPENDING	2,055,235	2,172,027	2,265,126	2,333,641	2,415,878	2,513,623

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
LOCAL ASSISTANCE
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Economic Development, Department of	7,716	3,850	8,055	8,055	8,055	8,055
Financial Services, Department of	0	0	1,400	0	0	0
Functional Total	7,716	3,850	9,455	8,055	8,055	8,055
PARKS AND THE ENVIRONMENT						
Parks, Recreation and Historic Preservation, Office of	4,028	5,283	1,270	1,270	1,270	1,270
Functional Total	4,028	5,283	1,270	1,270	1,270	1,270
TRANSPORTATION						
Motor Vehicles, Department of	14,681	15,199	18,000	18,000	18,000	18,000
Transportation, Department of	22,705	48,511	33,927	33,927	33,927	33,927
Functional Total	37,386	63,710	51,927	51,927	51,927	51,927
HEALTH						
Aging, Office for the	90,659	87,887	93,254	95,646	96,946	98,694
Health, Department of	35,035,348	39,207,440	41,748,604	43,222,119	44,465,798	45,121,496
<i>Medical Assistance</i>	31,226,036	33,672,324	35,803,238	36,608,878	37,553,966	38,036,003
<i>Essential Plan</i>	1,506,723	3,257,056	3,530,422	4,105,079	4,478,341	4,941,573
<i>Medicaid Administration</i>	440,017	375,662	489,436	404,436	404,436	404,436
<i>Public Health</i>	1,862,572	1,902,398	1,925,508	2,103,726	2,029,055	1,739,484
Functional Total	35,126,007	39,295,327	41,841,858	43,317,765	44,562,744	45,220,190
SOCIAL WELFARE						
Children and Family Services, Office of	896,967	861,900	838,300	838,300	838,300	838,300
<i>OCFS</i>	896,967	861,900	838,300	838,300	838,300	838,300
Housing and Community Renewal, Division of	50,830	52,324	48,434	48,434	48,434	48,434
Labor, Department of	156,302	134,715	151,892	151,892	151,892	151,892
Temporary and Disability Assistance, Office of	3,700,880	3,119,118	3,443,576	3,443,576	3,443,576	3,443,576
<i>Welfare Assistance</i>	2,863,393	2,300,055	2,626,576	2,626,576	2,626,576	2,626,576
<i>All Other</i>	837,487	819,063	817,000	817,000	817,000	817,000
Functional Total	4,804,979	4,168,057	4,482,202	4,482,202	4,482,202	4,482,202
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	123,561	121,023	114,490	114,490	114,490	114,490
<i>OASAS</i>	123,561	121,023	114,490	114,490	114,490	114,490
Mental Health, Office of	32,743	41,492	39,979	39,979	39,979	32,025
<i>OMH</i>	32,743	41,492	39,979	39,979	39,979	32,025
People with Developmental Disabilities, Office for	0	0	8,500	8,500	8,500	8,500
<i>OPWDD</i>	0	0	8,500	8,500	8,500	8,500
Functional Total	156,304	162,515	162,969	162,969	162,969	155,015
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Criminal Justice Services, Division of	17,835	15,563	15,800	15,800	15,800	15,800
Homeland Security and Emergency Services, Division of	1,722,015	1,254,509	949,124	863,771	719,771	663,771
State Police, Division of	0	91	0	0	0	0
Victim Services, Office of	38,713	42,066	43,500	47,000	47,000	47,000
Functional Total	1,778,563	1,312,229	1,008,424	926,571	782,571	726,571
HIGHER EDUCATION						
Higher Education Services Corporation, New York State	38	45	0	0	0	0
Functional Total	38	45	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
LOCAL ASSISTANCE
(thousands of dollars)**

	<u>FY 2016 Results</u>	<u>FY 2017 Results</u>	<u>FY 2018 Updated</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>
EDUCATION						
Arts, Council on the	359	1,052	600	600	600	600
Education, Department of	<u>3,658,643</u>	<u>3,544,339</u>	<u>3,475,726</u>	<u>3,541,557</u>	<u>3,594,065</u>	<u>3,647,645</u>
<i>School Aid</i>	2,199,123	2,864,715	2,723,400	2,769,850	2,817,358	2,865,938
<i>Special Education Categorical Programs</i>	862,379	590,480	680,000	690,000	695,000	700,000
<i>All Other</i>	597,141	89,144	72,326	81,707	81,707	81,707
Functional Total	<u>3,659,002</u>	<u>3,545,391</u>	<u>3,476,326</u>	<u>3,542,157</u>	<u>3,594,665</u>	<u>3,648,245</u>
GENERAL GOVERNMENT						
Elections, State Board of	493	554	300	0	0	0
General Services, Office of	0	0	250	250	250	250
State, Department of	<u>54,665</u>	<u>58,319</u>	<u>55,457</u>	<u>55,457</u>	<u>55,457</u>	<u>55,457</u>
Functional Total	<u>55,158</u>	<u>58,873</u>	<u>56,007</u>	<u>55,707</u>	<u>55,707</u>	<u>55,707</u>
ALL OTHER CATEGORIES						
Miscellaneous	<u>(466,435)</u>	<u>(251,336)</u>	<u>(474,710)</u>	<u>(496,665)</u>	<u>(467,938)</u>	<u>(467,938)</u>
Functional Total	<u>(466,435)</u>	<u>(251,336)</u>	<u>(474,710)</u>	<u>(496,665)</u>	<u>(467,938)</u>	<u>(467,938)</u>
TOTAL LOCAL ASSISTANCE SPENDING	<u><u>45,162,746</u></u>	<u><u>48,363,944</u></u>	<u><u>50,615,728</u></u>	<u><u>52,051,958</u></u>	<u><u>53,234,172</u></u>	<u><u>53,881,244</u></u>

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	4,193	3,665	3,413	3,413	3,413	3,413
Public Service Department	1,934	1,433	1,202	1,202	1,202	1,202
Functional Total	6,127	5,098	4,615	4,615	4,615	4,615
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	25,874	26,180	27,759	27,759	27,759	28,827
Parks, Recreation and Historic Preservation, Office of	1,759	2,710	1,123	1,123	1,123	1,167
Functional Total	27,633	28,890	28,882	28,882	28,882	29,994
TRANSPORTATION						
Motor Vehicles, Department of	1,203	1,357	3,735	3,735	3,735	3,879
Transportation, Department of	3,802	5,754	10,347	10,484	10,484	10,887
Functional Total	5,005	7,111	14,082	14,219	14,219	14,766
HEALTH						
Aging, Office for the	5,915	6,071	6,390	6,521	6,657	6,799
Health, Department of	84,597	98,664	97,690	106,633	110,421	115,642
<i>Medicaid Administration</i>	29,772	34,283	40,848	49,954	53,827	56,933
<i>Public Health</i>	54,825	64,381	56,842	56,679	56,594	58,709
Medicaid Inspector General, Office of the	16,501	16,322	15,552	15,130	15,130	15,744
Functional Total	107,013	121,057	119,632	128,284	132,208	138,185
SOCIAL WELFARE						
Children and Family Services, Office of	23,309	25,808	28,957	29,247	29,247	30,451
<i>OCFS</i>	23,309	25,808	28,957	29,247	29,247	30,451
Housing and Community Renewal, Division of	6,782	6,902	7,520	7,595	7,595	8,125
Human Rights, Division of	2,501	2,672	3,106	3,135	3,135	3,354
Labor, Department of	170,297	170,686	159,866	159,866	159,866	171,796
National and Community Service	245	262	373	377	377	390
Temporary and Disability Assistance, Office of	72,753	80,633	76,939	77,755	77,755	77,755
<i>All Other</i>	72,753	80,633	76,939	77,755	77,755	77,755
Functional Total	275,887	286,963	276,761	277,975	277,975	291,871
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	5,107	5,107	5,109	5,153	5,196	5,196
<i>OASAS</i>	5,107	5,107	5,109	5,153	5,196	5,196
Developmental Disabilities Planning Council	838	976	1,266	1,266	1,266	1,266
Justice Center	67	94	103	103	103	103
Mental Health, Office of	913	813	813	813	813	813
<i>OMH</i>	913	813	813	813	813	813
Functional Total	6,925	6,990	7,291	7,335	7,378	7,378
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	23,474	21,397	15,753	15,753	15,753	15,753
Criminal Justice Services, Division of	5,059	4,285	4,602	4,602	4,602	4,681
Homeland Security and Emergency Services, Division of	14,004	9,988	6,490	6,490	6,490	6,490
Military and Naval Affairs, Division of	18,388	11,856	12,000	12,240	12,485	12,734
State Police, Division of	10,425	5,574	7,000	7,000	7,000	7,290
Victim Services, Office of	920	1,493	1,658	1,658	1,658	1,709
Functional Total	72,270	54,593	47,503	47,743	47,988	48,657
HIGHER EDUCATION						
Higher Education Services Corporation, New York State	3	55	836	836	836	836
State University of New York	9,026	8,488	7,229	7,229	7,229	7,229
Functional Total	9,029	8,543	8,065	8,065	8,065	8,065

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
EDUCATION						
Education, Department of	85,455	88,193	84,486	84,486	84,486	87,737
<i>School Aid</i>	82	0	0	0	0	0
<i>Special Education Categorical Programs</i>	9,167	0	0	0	0	0
<i>All Other</i>	76,206	88,193	84,486	84,486	84,486	87,737
Functional Total	85,455	88,193	84,486	84,486	84,486	87,737
GENERAL GOVERNMENT						
Elections, State Board of	91	98	0	0	0	0
Prevention of Domestic Violence, Office for	17	30	0	0	0	0
State, Department of	2,174	2,546	3,731	3,731	3,731	3,731
Technology, Office for	437	0	0	0	0	0
Veterans' Affairs, Division of	386	426	796	804	804	826
Functional Total	3,105	3,100	4,527	4,535	4,535	4,557
ELECTED OFFICIALS						
Judiciary	1,444	1,997	1,600	1,600	1,600	1,600
Law, Department of	17,509	18,619	19,695	19,981	20,329	21,345
Functional Total	18,953	20,616	21,295	21,581	21,929	22,945
TOTAL PERSONAL SERVICE SPENDING	617,402	631,154	617,139	627,720	632,280	658,770

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	9,289	8,626	9,754	9,672	9,641	9,633
Economic Development, Department of	592	592	245	245	245	245
Financial Services, Department of	1,409	335	0	0	0	0
Public Service Department	131	336	40	40	40	40
Functional Total	11,421	9,889	10,039	9,957	9,926	9,918
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	53	12	350	350	350	350
Environmental Conservation, Department of	16,689	18,551	17,126	17,126	17,126	17,140
Parks, Recreation and Historic Preservation, Office of	2,237	2,515	1,145	1,145	1,145	1,147
Functional Total	18,979	21,078	18,621	18,621	18,621	18,637
TRANSPORTATION						
Motor Vehicles, Department of	2,322	3,937	3,813	3,813	3,813	3,824
Transportation, Department of	1,297	4,466	13,311	13,585	13,585	13,610
Functional Total	3,619	8,403	17,124	17,398	17,398	17,434
HEALTH						
Aging, Office for the	4,495	5,239	4,335	3,279	4,348	4,348
Health, Department of	548,170	638,951	610,579	683,879	727,738	688,708
<i>Medicaid Administration</i>	356,893	378,387	398,997	485,870	531,150	493,955
<i>Public Health</i>	191,277	260,564	211,582	198,009	196,588	194,753
Medicaid Inspector General, Office of the	5,925	5,040	4,934	4,934	4,934	4,976
Functional Total	558,590	649,230	619,848	692,092	737,020	698,032
SOCIAL WELFARE						
Children and Family Services, Office of	58,860	52,619	65,683	66,991	66,991	68,028
<i>OCFS</i>	58,860	52,619	65,683	66,991	66,991	68,028
Housing and Community Renewal, Division of	1,123	2,581	2,660	2,709	2,709	3,155
Human Rights, Division of	1,875	1,836	1,262	1,287	1,287	1,313
Labor, Department of	54,508	54,857	75,632	75,632	75,632	78,546
National and Community Service	14,397	16,549	14,969	15,268	15,268	15,573
Temporary and Disability Assistance, Office of	69,994	68,620	75,943	77,698	77,698	77,698
<i>All Other</i>	69,994	68,620	75,943	77,698	77,698	77,698
Functional Total	200,757	197,062	236,149	239,585	239,585	244,313
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	1,887	2,578	2,098	2,130	2,166	2,166
<i>OASAS</i>	1,887	2,578	2,098	2,130	2,166	2,166
Developmental Disabilities Planning Council	2,094	2,685	2,190	2,149	2,149	2,149
Justice Center	625	1,812	536	536	536	536
Mental Health, Office of	401	386	555	555	555	555
<i>OMH</i>	401	386	555	555	555	555
People with Developmental Disabilities, Office for	279	1,110	1,000	1,000	1,000	1,000
<i>OPWDD</i>	279	1,110	1,000	1,000	1,000	1,000
Functional Total	5,286	8,571	6,379	6,370	6,406	6,406
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	1,023	539	1,191	1,191	1,191	1,191
Criminal Justice Services, Division of	2,618	3,966	4,249	4,249	4,249	4,249
Homeland Security and Emergency Services, Division of	74,365	26,673	6,812	6,812	6,812	6,812
Military and Naval Affairs, Division of	13,454	14,870	14,900	15,198	15,502	15,812
State Police, Division of	6,771	11,619	20,000	20,000	20,000	20,000
Victim Services, Office of	174	611	512	512	512	512
Functional Total	98,405	58,278	47,664	47,962	48,266	48,576

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
HIGHER EDUCATION						
City University of New York	7,634	2,181	7,634	7,634	7,634	7,634
Higher Education Services Corporation, New York State	6,486	5,803	5,797	5,797	5,797	5,797
State University of New York	309,521	301,813	304,760	304,760	304,760	304,760
Functional Total	323,641	309,797	318,191	318,191	318,191	318,191
EDUCATION						
Arts, Council on the	0	0	100	100	100	100
Education, Department of	117,339	82,883	64,901	64,901	64,901	65,381
<i>School Aid</i>	214	0	0	0	0	0
<i>Special Education Categorical Programs</i>	8,460	0	0	0	0	0
<i>All Other</i>	108,665	82,883	64,901	64,901	64,901	65,381
Functional Total	117,339	82,883	65,001	65,001	65,001	65,481
GENERAL GOVERNMENT						
Elections, State Board of	3,945	2,400	9,200	0	0	0
General Services, Office of	6,908	8,439	4,987	4,987	4,987	4,987
Prevention of Domestic Violence, Office for	0	4	0	0	0	0
State, Department of	979	836	4,039	4,039	4,039	4,039
Taxation and Finance, Department of	189	1,216	1,220	1,220	1,220	1,220
Technology, Office for	435	587	6,588	0	0	0
Veterans' Affairs, Division of	100	132	552	564	564	575
Workers' Compensation Board	8,643	1,882	0	0	0	0
Functional Total	21,199	15,496	26,586	10,810	10,810	10,821
ELECTED OFFICIALS						
Judiciary	4,221	4,098	5,500	5,500	5,500	5,500
Law, Department of	8,847	6,632	8,159	8,142	8,293	8,447
Functional Total	13,068	10,730	13,659	13,642	13,793	13,947
ALL OTHER CATEGORIES						
Miscellaneous	18	32	0	0	0	0
Functional Total	18	32	0	0	0	0
TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING	1,372,322	1,371,449	1,379,261	1,439,629	1,485,017	1,451,756

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	2,056	2,047	2,047	2,132	2,151	2,273
Financial Services, Department of	15	0	0	0	0	0
Public Service Department	547	1,640	1,723	1,723	1,723	1,723
Functional Total	2,618	3,687	3,770	3,855	3,874	3,996
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	12,322	14,392	15,125	15,145	15,145	15,571
Parks, Recreation and Historic Preservation, Office of	0	0	0	0	0	24
Functional Total	12,322	14,392	15,125	15,145	15,145	15,595
TRANSPORTATION						
Motor Vehicles, Department of	599	685	720	720	720	806
Transportation, Department of	2,107	2,549	6,646	6,550	6,607	7,252
Functional Total	2,706	3,234	7,366	7,270	7,327	8,058
HEALTH						
Health, Department of	33,917	38,041	42,352	45,639	47,300	50,421
<i>Medicaid Administration</i>	3,274	1,522	3,812	6,215	6,642	7,049
<i>Public Health</i>	30,643	36,519	38,540	39,424	40,658	43,372
Medicaid Inspector General, Office of the	8,954	9,143	9,608	9,868	10,231	11,007
Functional Total	42,871	47,184	51,960	55,507	57,531	61,428
SOCIAL WELFARE						
Children and Family Services, Office of	13,809	9,812	11,797	10,713	11,231	12,240
<i>OCFS</i>	13,809	9,812	11,797	10,713	11,231	12,240
Housing and Community Renewal, Division of	3,514	3,811	4,005	4,005	4,005	4,005
Labor, Department of	92,493	91,534	96,193	95,383	96,193	96,193
National and Community Service	0	0	224	229	236	242
Temporary and Disability Assistance, Office of	39,031	42,221	44,370	44,370	44,370	44,370
<i>All Other</i>	39,031	42,221	44,370	44,370	44,370	44,370
Functional Total	148,847	147,378	156,589	154,700	156,035	157,050
MENTAL HYGIENE						
Developmental Disabilities Planning Council	454	231	744	785	785	785
Justice Center	35	0	63	64	64	64
Mental Health, Office of	489	435	446	456	469	469
<i>OMH</i>	489	435	446	456	469	469
Functional Total	978	666	1,253	1,305	1,318	1,318
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	904	991	1,041	1,041	1,041	1,041
Criminal Justice Services, Division of	259	331	348	348	348	348
Homeland Security and Emergency Services, Division of	9,515	6,722	7,064	7,064	7,064	7,064
Military and Naval Affairs, Division of	5,728	6,069	6,378	6,498	6,620	6,745
State Police, Division of	1,418	1,945	1,500	1,500	1,500	1,500
Functional Total	17,824	16,058	16,331	16,451	16,573	16,698
HIGHER EDUCATION						
Higher Education Services Corporation, New York State	1	1	1	1	1	1
State University of New York	108	25	51	51	51	51
Functional Total	109	26	52	52	52	52

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	FY 2016 Results	FY 2017 Results	FY 2018 Updated	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
EDUCATION						
Education, Department of	44,504	47,843	50,278	50,935	52,426	56,000
<i>School Aid</i>	12	0	0	0	0	0
<i>Special Education Categorical Programs</i>	4,511	0	0	0	0	0
<i>All Other</i>	39,981	47,843	50,278	50,935	52,426	56,000
Functional Total	44,504	47,843	50,278	50,935	52,426	56,000
GENERAL GOVERNMENT						
Elections, State Board of	0	49	0	0	0	0
State, Department of	1,063	1,172	2,792	2,792	2,792	2,792
Technology, Office for	234	0	0	0	0	0
Veterans' Affairs, Division of	204	218	229	240	255	269
Functional Total	1,501	1,439	3,021	3,032	3,047	3,061
ELECTED OFFICIALS						
Judiciary	265	433	400	400	400	400
Law, Department of	12,178	10,298	10,822	12,180	12,680	13,669
Functional Total	12,443	10,731	11,222	12,580	13,080	14,069
TOTAL GENERAL STATE CHARGES SPENDING	286,723	292,638	316,967	320,832	326,408	337,325

General Fund Transfers From Other Funds
(thousands of dollars)

SFS Fund	Account Name	FY 2017 Results	FY 2018 Mid-Year	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
RBTB - Dedicated PIT in excess of Debt Service		10,274,975	10,657,558	10,670,884	10,595,901	10,930,391
STBF - Sales Tax Bond Fund		2,671,910	2,761,288	2,788,052	2,970,106	2,817,154
LGAC - Dedicated Sales Tax in excess of Debt Service		2,870,297	3,101,504	3,131,763	3,269,029	3,542,033
CWCA - Real Estate Transfer Tax in excess of Debt Service		940,201	1,015,128	1,074,136	1,125,209	1,166,219
Total All Other Transfers		817,703	1,210,257	729,045	712,873	712,257
339.21982	Administration Program	399	1,301	1,301	1,301	1,301
339.22091	Adult Home Quality Enhancement Account	21	21	21	21	21
339.22033	Alcohol Beverage Control	2,236	0	0	0	0
339.22110	Assisted Living Residence Quality Oversight Account	9	9	9	9	9
339.22138	Authority Budget Office Account	45	45	45	45	45
339.22003	Bell Jar Collection Account	955	1	1	1	1
339.21977	Business and Licensing Services Account	40,171	66,508	49,599	49,295	48,679
323.55022	Business Services Center	54	0	0	0	0
339.21920	Certificate of Need Account	3,577	1,086	1,086	1,086	1,086
061.20810	Child Health Insurance Account	32	0	0	0	0
025.20401	Child Performer Protection Account	10	0	0	0	0
334.55055	Civil Service Administration Account	2,123	1,651	1,651	1,651	1,651
396.55301	Civil Service EBD Administration Reimbursement Account	0	639	639	639	639
339.21962	Clinical Laboratory Reference Fee Account	510	289	289	289	289
501.23702	Commercial Gaming Regulation	0	2	2	2	2
501.23701	Commercial Gaming Revenue Account	120,800	0	0	0	0
339.21922	Continuing Care Retirement Community Account	2	2	2	2	2
397.55350	Correctional Industries Account	357	357	357	357	357
339.21945	Criminal Justice Improvement Account	8,864	8,596	8,596	8,596	8,596
339.22042	DED Marketing Account	131	131	131	131	131
072.30050	Dedicated Highway and Bridge Trust Fund	0	57,567	57,567	57,567	57,567
339.21923	Department of Labor Fee and Penalty Account	9,040	8,372	8,372	8,372	8,372
323.55010	Design and Construction Account	1,434	1,866	1,866	1,866	1,866
486.26000	DOL Federal Grants	2,185	0	0	0	0
366.23102	Drinking Water Program Management and Administration - Health Account	0	1,108	1,108	1,108	1,108
061.20809	Emergency Medical Services Training Account	361	131	131	131	131
301.21080	EnCon Magazine Acct	150	150	150	150	150
339.21959	Environmental Laboratory Fee Account	0	131	131	131	131
301.21081	Environmental Regulatory Account	2,242	2,242	2,242	2,242	2,242
061.20818	EPIC Premium Account - HCRA	69	0	0	0	0
307.21351	Equipment Loan Fund Account	0	7	7	7	7
339.22065	Examination and Miscellaneous Revenue Account	3,301	1,961	1,961	1,961	1,961
291.313DD	Federal Grants - Capital	197	0	0	0	0
267.25200	Federal Education Fund	1,538	1,569	1,569	1,569	1,569
301.21065	Federal Grant Indirect Cost Recovery Account	1,041	1,634	1,634	1,634	1,634
265.25100	Federal Health and Human Services Fund	107,710	117,423	117,423	103,423	103,423
290.25300	Federal Operating Grants Fund	97	576	576	576	576
261.25000	Federal USDA/Food and Nutrition Services Fund	38,496	33,801	33,801	33,801	33,801
339.21950	Fingerprint Identification & Technology Account	6,218	18,504	12,563	12,563	12,563
339.21904	Fire Prevention and Code Enforcement Account	0	43,692	14,810	14,810	14,810
339.22075	Funeral Directing Program Account	27	8	8	8	8
312.31500	Hazardous Waste Remedial Fund	25,277	25,200	25,200	25,200	25,200
061.20821	Health Care Delivery Administration Account	13	0	0	0	0
396.55300	Health Insurance Internal Services Account	2,100	3,428	3,428	3,428	3,428
061.20819	Health Occup Dev Wkpl Dem	43	0	0	0	0
502.23755	Health Operation and Oversight Account	160	0	0	0	0
339.22140	Helen Hayes Hospital Account	0	299	299	299	299
339.21960	HESC - Insurance Premium Payments	14,439	17,402	16,221	15,827	15,827
339.22090	Housing Indirect Cost Recovery Account	201	201	201	201	201
301.21060	Indirect Charges Account	2,163	2,608	2,085	2,085	2,085
339.21994	Insurance Department Account	1,178	0	0	0	0
334.55071	Labor Contact Center Account	150	0	0	0	0
339.22096	Legal Services Assistance Fund	2,830	9,545	2,830	2,830	2,830
052.20501	Local Government Records Management Account	782	782	782	782	782
339.22097	Local Public Health Services Account	12	5	5	5	5
160.20902	Lottery Administration - New	4,466	4,204	4,204	4,204	4,204
301.21066	Low Level Radioactive Waste Account	103	103	103	103	103
225.23652	Metropolitan Transportation Authority Aid Trust Account	250	225	225	225	225
314.21452	Mobile Source Account	7,386	6,404	6,404	6,404	6,404
225.23651	Mobility Tax Trust Account	1,249	2,000	2,000	2,000	2,000
339.22144	Montrose State Veterans Home	0	67	67	67	67
354.22801	Motor Vehicle Theft and Insurance Fraud Account	300	4,300	300	300	300
339.22142	New York State Home for Veterans and their Dependents (Oxford) Account	0	119	119	119	119
339.22141	NYC Veterans Home (St. Albans) Account	0	107	107	107	107
339.22177	Occupational Health Clinic Account	13	0	0	0	0
305.21252	Occupational Safety and Health Inspection Account	1,299	0	0	0	0
305.21251	Occupational Safety and Health Training and Education Account	2,161	0	0	0	0
339.22051	Office of the Professions Account	2,777	2,777	2,777	2,777	2,777
323.5502Y	OGS Building Administration Account - Internal Service	19	0	0	0	0
339.219YV	OGS Building Administration Account - Special Revenue State	1,086	0	0	0	0
323.5502X	OGS Executive Direction Account	287	105	105	105	105
323.5502Z	OGS Standards and Purchase Account - Internal Service	34	0	0	0	0
339.219YV	OGS Standards and Purchase Account - Special Revenue State	3,000	3,000	3,000	3,000	3,000
331.OGSPS	Parking Services	0	1,000	1,000	1,000	1,000
339.22163	Patron Services Account	1,568	1,568	1,568	1,568	1,568

General Fund Transfers From Other Funds
(thousands of dollars)

SFS Fund	Account Name	FY 2017 Results	FY 2018 Mid-Year	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
061.20816	Pilot Health Insurance	0	102	102	102	102
061.20814	Primary Care Initiatives Account	0	158	158	158	158
339.22088	Professional Medical Conduct Account	1,008	291	291	291	291
061.20815	Provider Collection Monitoring Account	79	0	0	0	0
339.22123	Public Safety Communication Account	3,257	55,161	5,161	5,161	5,161
339.22011	Public Service Account	4,652	5,671	5,671	5,671	5,671
339.21998	Public Work Enforcement	3,769	0	0	0	0
339.21915	Quality of Care Account	0	65,099	0	0	0
339.21965	Radiological Health Protection	393	216	216	216	216
339.21944	Radiology Emergency Preparedness Account	1,350	1,350	1,350	1,350	1,350
339.21993	Radon Detection Device Account	2	2	2	2	2
301.21067	Recreation Account	200	200	200	200	200
339.22046	Regulation of Indian Gaming Account	0	329	329	329	329
339.22021	Regulation of Manufactured Housing Account	20	20	20	20	20
339.21912	Regulation of Racing Account	0	458	458	458	458
339.22156	Rent Revenue Other - New York City	7,449	7,115	115	115	115
339.21900	Reserve for Transaction Risks	0	0	(144,465)	(145,939)	(145,939)
339.22024	Revenue Arrearage Account	0	60,442	18,677	18,677	18,677
020.20120	Special Events Account	27	0	0	0	0
339.22028	State Central Register Account	1,822	1,822	1,822	1,822	1,822
354.22802	State Police Motor Vehicle Enforcement Account	111,600	116,420	112,420	112,420	112,420
345.22653	State University General IFR Account	4,000	32,000	32,000	32,000	32,000
345.22656	State University Hospital IFR Operations Account	36,423	43,130	38,564	38,564	38,564
339.21902	Statewide Planning and Research Cooperative System (SPARCS) Account	1,308	4,214	4,214	4,214	4,214
339.22162	Systems and Technology Account	5,328	5,320	5,320	5,320	5,320
061.20801	Tobacco Control and Cancer Services Account	182	0	0	0	0
339.22055	Traffic Adjudication Account	3,132	2,288	2,288	2,288	2,288
339.21961	Training Management and Evaluation Account	0	8	8	8	8
339.21933	Transportation Surplus Property Account	1,803	1,803	1,803	1,803	1,803
339.22169	Tribal State Compact Revenue Account	136,017	121,200	121,200	121,200	121,200
339.22044	Tug Hill Administrative Account	10	10	10	10	10
050.20451	Tuition Reimbursement Account	23	23	23	23	23
339.22172	Underground Facilities Safety Training Account	175	175	175	175	175
480.25900	Unemployment Insurance Administration Fund	39,051	50,569	50,569	50,569	50,569
482.23601	Unemployment Insurance Special Interest and Penalty Fund	4,873	3,211	3,211	3,211	3,211
339.22103	Vital Records Management Account	3,001	2,405	2,405	2,405	2,405
160.20903	VLT Administration Account	866	666	666	666	666
365.23051	Vocational Rehabilitation Fund	32	32	32	32	32
050.20452	Vocational School Supervision Account	297	297	0	0	0
339.21995	Workers' Compensation Account	15,806	16,352	16,352	16,352	16,352
339.22186	Youth Facilities Per Diem Account	0	154,869	55,000	55,000	55,000
		17,575,086	18,745,735	18,393,880	18,673,118	19,168,054

General Fund Transfers To Other Funds
(thousands of dollars)

<u>SFS Fund</u>	<u>Account Name</u>	<u>FY 2017 Results</u>	<u>FY 2018 Mid-Year</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>
Transfers to State Share of Mental Hygiene Medicaid		1,238,987	1,301,239	1,231,172	1,118,733	1,118,637
Transfers to Debt Service Funds		923,569	915,583	1,143,595	1,041,895	1,066,725
Transfers to Capital Projects Funds		2,569,547	2,191,790	3,950,073	3,754,592	3,381,767
Transfers to SUNY University Operations		996,256	1,022,439	1,020,738	1,020,170	1,021,339
Total All Other Transfers		4,364,298	4,263,677	4,671,620	5,197,091	5,468,457
020.20143	Alzheimers Disease Assistance	257	270	270	270	270
334.55057	Banking Services Account	41,618	53,435	53,435	53,435	53,435
339.22032	Batavia School For the Blind Account	900	900	900	900	900
020.20155	Breast Cancer Research and Education Account	400	500	500	500	500
334.55069	Centralized Technology Services Account	2,360	13,060	11,860	11,460	11,460
054.20601	Charter School Stimulus Account	4,837	4,837	4,837	4,837	4,837
020.20100	Combined Expendable Trust Fund	0	95,839	109,300	109,300	109,300
397.55350	Correctional Industries Account	10,500	12,000	12,000	12,000	12,000
340.22501	Court Facility Income Account	107,237	106,000	110,000	109,000	110,000
339.22015	Crimes Against Revenue Program Account	6,300	2,000	0	0	0
073.20853	Dedicated Mass Transportation Non MTA	5,013	5,274	5,274	5,274	5,274
319.40300	Health Income Fund	13,410	16,079	16,079	16,079	16,079
396.55300	Health Insurance Internal Services Account	11,311	8,083	8,083	8,083	8,083
502.23755	Health Operation and Oversight Account	4,886	4,540	6,550	4,626	6,913
316.40250	Housing Debt Fund	1,803	1,000	1,000	1,000	1,000
390.23551	Indigent Legal Services	31,394	35,000	35,000	57,621	81,781
339.22157	Medicaid Income Account	527	0	0	0	0
339.21909	Mental Hygiene Patient Income Account	1,617,712	1,463,076	1,523,474	1,734,358	1,869,664
339.21907	Mental Hygiene Program Fund Account	1,669,351	1,708,439	2,042,192	2,337,112	2,445,346
313.21402	Metropolitan Mass Transportation Operating Assistance Account	18,713	21,175	21,175	21,175	21,175
225.23651	Mobility Tax Trust Account	334,035	268,524	268,885	269,255	269,634
334.55059	Neighbor Work Proj Acct	0	1,000	1,000	1,000	1,000
368.23151	NYC County Clerk Operations Offset Fund	4,378	4,400	4,400	4,400	4,400
323.5502X	OGS Executive Direction Account	21,789	21,783	21,783	21,783	21,783
020.20183	Prostate Cancer Research and Education	172	200	200	200	200
313.21401	Public Transportation Systems Operating Assistance Account	15,046	16,020	16,020	16,020	16,020
073.20852	Railroad Account	8,773	9,216	9,216	9,216	9,216
339.22171	Recruitment Incentive Account	2,087	2,087	2,087	2,087	2,087
339.22053	Rome School for the Deaf Account	1,025	1,020	1,020	1,020	1,020
339.21987	Spinal Cord Injury	7,500	8,500	8,500	8,500	8,500
345.22653	State University General IFR Account	14,251	13,540	0	0	0
345.22656	State University Hospital IFR Operations Account	354,837	310,864	321,564	321,564	321,564
339.22168	Tax Revenue Arrearage Account	3,000	3,000	3,000	3,000	3,000
073.20851	Transit Authorities Account	48,876	51,394	51,394	51,394	51,394
020.20128	WB Hoyt Memorial Trust Fund	0	622	622	622	622
		<u>10,092,657</u>	<u>9,694,728</u>	<u>12,017,198</u>	<u>12,132,481</u>	<u>12,056,925</u>

CASH COMBINING STATEMENT
GENERAL FUND
FY 2018
(millions of dollars)

	General Fund	Stabilization Reserve Fund	Tax Reserve Fund	Contingency Reserve Fund	Community Projects Fund	Rainy Day Reserve Fund	Extraordinary Monetary Settlements	Refund Reserve	Debt Management	Escrow Account	Fringe Benefits	Eliminations	Total
Opening Fund Balance	0	1,258	21	56	540	5,874	0	0	0	0	0	0	7,749
Receipts:													
Taxes	48,018	0	0	0	0	0	0	0	0	0	0	0	48,018
Miscellaneous Receipts	2,134	0	0	0	0	608	0	0	0	0	0	0	2,742
Federal Grants	0	0	0	0	0	0	0	0	0	0	0	0	0
Total receipts	50,152	0	0	0	0	608	0	0	0	0	0	0	50,760
Disbursements:													
Local Assistance	46,751	0	0	1	0	0	0	0	0	0	0	0	46,752
State Operations	8,213	0	0	0	0	0	0	0	0	0	0	0	8,213
General State Charges	5,713	0	0	0	0	0	0	0	0	0	0	0	5,713
Debt Service	0	0	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0
Total disbursements	60,677	0	0	1	0	0	0	0	0	0	0	0	60,678
Other financing sources (uses):													
Transfers from Other Funds	49,524	0	0	0	0	5,335	168	500	0	0	0	(36,781)	18,746
Transfers to Other Funds	(38,999)	0	0	(16)	0	(1,574)	(5,887)	0	0	0	0	36,781	(9,695)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0
Net other financing sources (uses)	10,525	0	0	(16)	0	3,761	(5,719)	500	0	0	0	0	9,051
Change in Fund Balance	0	0	0	(17)	0	4,369	(5,719)	500	0	0	0	0	(867)
Closing Fund Balance	0	1,258	21	39	540	155	500	500	0	0	0	0	6,882

**CASH COMBINING STATEMENT
SPECIAL REVENUE FUNDS
FY 2018
(thousands of dollars)**

	MENTAL HEALTH GIFTS AND DONATIONS (20000-20099)	COMBINED EXPENDABLE TRUST (20100-20299)	NEW YORK INTEREST ON LAWYER ACCOUNT (20300-20349)	NEW YORK STATE ARCHIVES PARTNERSHIP TRUST (20350-20399)	CHILD PROTECTION (20400-20449)	REIMBURSEMENT (20450-20499)	GOVERNMENT RECORDS MANAGEMENT IMPROVEMENT (20500-20549)	SCHOOL TAX RELIEF (20550-20599)	CHARTER SCHOOL STIMULUS (20600-20649)	HEALTH CARE REFORM ACT STIMULUS RESOURCES (20800-20849)
Opening Fund Balance	2,280	59,928	41,036	61	55	6,581	4,308	0	6,563	11,906
Receipts:										
Taxes	0	0	0	0	0	0	0	2,629,997	0	837,000
Miscellaneous Receipts	220	(89,737)	20,000	318	65	4,305	9,233	0	0	4,925,480
Federal Grants	0	0	0	0	0	0	0	0	0	0
Total Receipts	220	(89,737)	20,000	318	65	4,305	9,233	2,629,997	0	5,762,480
Disbursements:										
Local Assistance	0	8,497	23,000	0	0	0	5,056	2,629,997	4,837	5,578,712
State Operations	222	3,753	1,255	419	247	2,658	2,141	0	0	34,484
General State Charges	0	195	422	180	149	1,154	1,073	0	0	5,237
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0
Total Disbursements	222	12,445	24,677	599	396	3,812	8,270	2,629,997	4,837	5,618,433
Other Financing Sources (Uses):										
Transfers from Other Funds	0	97,431	0	300	300	0	0	0	4,837	0
Transfers to Other Funds	0	0	0	(8)	(562)	0	(1,383)	0	0	(155,947)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	(2)	97,431	0	292	300	(562)	(1,383)	0	4,837	(155,947)
Change in Fund Balance	(2)	(4,751)	(4,677)	11	(31)	(69)	(420)	0	0	(11,900)
Closing Fund Balance	2,278	55,177	36,359	72	24	6,512	3,888	0	6,563	6

	DEDICATED MASS TRANSPORTATION (20850-20899)	STATE LOTTERY (20900-20949)	COMBINED STATUS (20950-20999)	MTA FINANCIAL (23650-23699)	FEDERAL USDA/FOOD AND NUTRITION (25000-25099)	FEDERAL HEALTH AND HUMAN SERVICES (25100-25199)	FEDERAL EDUCATION (25200-25249)	MISCELLANEOUS OPERATING (25300-25399)	FEDERAL MANAGEMENT AND ADMINISTRATION (21000-21049)	SEWAGE TREATMENT PROGRAM (21050-21149)	ENCON SPECIAL SERVICES (21150-21199)
Opening Fund Balance	80,919	122,396	5,683	155,468	13,226	628,949	(10,810)	(174,705)	(3,499)	(13,886)	
Receipts:											
Taxes	465,700	0	0	1,545,000	0	0	0	0	0	0	0
Miscellaneous Receipts	140,489	3,406,827	27,728	192,500	100,011	354	392	4,255	900	81,911	0
Federal Grants	0	0	650	0	2,083,438	47,957,090	3,101,476	1,386,605	0	0	0
Total Receipts	606,189	3,406,827	28,378	1,737,500	2,183,449	47,957,444	3,101,868	1,390,860	900	81,911	0
Disbursements:											
Local Assistance	672,237	3,361,348	0	1,996,900	2,078,114	44,762,049	2,512,540	1,111,144	0	0	0
State Operations	0	82,905	27,792	0	58,090	950,440	520,840	231,642	145	66,940	0
General State Charges	0	11,833	0	0	13,153	103,923	55,661	48,078	84	26,572	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	672,237	3,456,086	27,792	1,996,900	2,149,357	45,816,412	3,089,041	1,390,864	229	93,512	0
Other Financing Sources (Uses):											
Transfers from Other Funds	65,884	18,034	0	268,524	0	0	0	11,517	0	19,131	0
Transfers to Other Funds	0	(4,870)	0	(2,225)	(34,092)	(1,955,319)	(12,827)	(11,554)	0	(11,274)	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	65,884	13,164	0	266,299	(34,092)	(1,955,319)	(12,827)	(37)	0	7,857	0
Change in Fund Balance	(164)	(36,095)	586	6,899	0	185,713	(41)	(41)	671	(3,744)	0
Closing Fund Balance	80,755	86,301	6,269	162,367	13,226	814,662	(10,810)	(174,746)	(2,828)	(17,690)	0

**CASH COMBINING STATEMENT
SPECIAL REVENUE FUNDS**

FY 2018

(thousands of dollars)

	ENVIRONMENTAL PROTECTION AND OIL SPILL COMPENSATION (21200-21249)	TRAINING AND EDUCATION PROGRAM ON OCCUPATIONAL SAFETY AND HEALTH (21250-21299)	LAWYERS' FUND FOR CLIENT PROTECTION (21300-21349)	EQUIPMENT LOAN FUND FOR THE DISABLED (21350-21399)	MASS TRANSPORTATION OPERATING ASSISTANCE (21400-21449)	CLEAN AIR (21450-21499)	NEW YORK STATE INFRASTRUCTURE TRUST (21500-21549)	LEGISLATIVE SERVICES (21550-21599)	STATE UNIVERSITY DORMITORY INCOME (40350-40999)
Opening Fund Balance	74,632	33,303	2,374	530	100,622	(22,158)	68	10,717	238,245
Receipts:									
Taxes	0	0	0	0	2,236,500	0	0	0	0
Miscellaneous Receipts	46,792	45,217	48,496	50	17,500	43,200	0	1,719	344,024
Federal Grants	0	0	0	0	0	0	0	0	0
Total Receipts	46,792	45,217	48,496	50	2,254,000	43,200	0	1,719	344,024
Disbursements:									
Local Assistance	0	0	0	0	2,238,186	0	0	0	0
State Operations	34,888	33,684	33,684	89	4,130	27,058	0	1,500	0
General State Charges	15,835	7,362	13,505	200	1,921	12,670	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0
Total Disbursements	50,723	21,444	47,189	89	2,244,237	39,728	0	1,500	0
Other Financing Sources (Uses):									
Transfers from Other Funds	75	19,006	350	0	37,195	0	0	0	0
Bond & Note Proceeds	(1,869)	(36,706)	0	(7)	(121,548)	(6,404)	0	0	(360,025)
Change in Fund Balance	(1,794)	(17,700)	350	(7)	(84,353)	(6,404)	0	0	(360,025)
Net Other Financing Sources (Uses)	(5,725)	6,073	1,657	(46)	(74,590)	(2,932)	0	219	(16,001)
Closing Fund Balance	68,907	39,376	4,031	484	26,032	(25,090)	68	10,936	222,244

	COMBINED NON- EXPENDABLE PROJECT (21650-21699)	WINTER SPORTS EDUCATION (21700-21749)	MUSICAL INSTRUMENT REVOLVING FUND (21750-21799)	ARTS CAPITAL PROJECTS (21850-21899)	MISCELLANEOUS STATE SPECIAL REVENUE (21900-21999)	COURT FACILITIES INCENTIVE (22000-22049)	EMPLOYMENT TRAINING SERVICE (22350-22399)	STATE UNIVERSITY CLOTHES (22650-22699)	CHEMICAL DEPENDENCE SERVICE (22700-22749)	LAKE GEORGE STATE CLOTHES (22750-22799)
Opening Fund Balance	458	0	1	846	1,070,994	8,358	50	1,232,579	37,239	(13)
Receipts:										
Taxes	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	115	75	0	60	2,526,126	150	0	4,586,444	6,467	1,208
Federal Grants	0	0	0	0	89	0	0	0	0	0
Total Receipts	115	75	0	60	2,526,215	150	0	4,586,444	6,467	1,208
Disbursements:										
Local Assistance	0	0	0	98	2,466,397	105,497	0	0	5,870	0
State Operations	59	75	0	0	4,183,854	1,800	0	5,752,732	574	946
General State Charges	0	0	0	0	1,685,732	800	0	446,782	0	404
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0
Total Disbursements	59	75	0	98	8,335,983	108,097	0	6,199,514	6,444	1,350
Other Financing Sources (Uses):										
Transfers from Other Funds	0	0	0	0	7,767,632	106,000	0	1,804,563	0	0
Bond & Note Proceeds	0	0	0	0	(2,268,759)	(1,311)	0	(199,560)	(26,000)	0
Change in Fund Balance	0	0	0	0	5,498,873	104,689	0	1,605,003	(26,000)	0
Net Other Financing Sources (Uses)	56	0	0	(38)	(310,895)	(3,258)	0	(8,067)	(25,977)	(142)
Closing Fund Balance	514	0	1	808	760,099	5,100	50	1,224,512	11,262	(155)

**CASH COMBINING STATEMENT
SPECIAL REVENUE FUNDS
FY 2018**
(thousands of dollars)

	STATE POLICE MOTOR VEHICLE LAW ENFORCEMENT & MOTOR VEHICLE THEFT & INSURANCE FRAUD PREVENTION (22800-22849)	NEW YORK GREAT LAKES PROTECTION (22850-22859)	FEDERAL REVENUE MAXIMIZATION CONTRACT (22900-22949)	HOUSING DEVELOPMENT (22950-22999)	INVS DOT HIGHWAY SAFETY PROGRAM (23000-23049)	VOCATIONAL REHABILITATION PROGRAM (23050-23099)	DRINKING WATER PROGRAM AND ADMINISTRATION (23100-23149)	NEW YORK CITY COUNTY CLERKS OPERATIONS OFFSET (23150-23199)	JUDICIARY DATA PROCESSING OFFSET (23200-23249)	CITY UNIVERSITY TUITION REIMBURSEMENT (23250-23449)
Opening Fund Balance	33,127	612	23	10,660	(9,324)	82	(5,351)	(25,438)	9,757	177,019
Receipts:										
Taxes	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	123,567	160	0	900	3,068	100	0	34,900	40,000	96,098
Federal Grants	0	0	0	0	0	0	0	0	0	0
Total Receipts	123,567	160	0	900	3,068	100	0	34,900	40,000	96,098
Disbursements:										
Local Assistance	4,184	0	0	852	0	0	0	0	0	0
State Operations	9,244	155	0	0	3,449	25	0	23,100	24,700	107,032
General State Charges	88	50	0	0	0	0	0	11,400	8,800	7,892
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0
Total Disbursements	13,516	205	0	852	3,449	45	0	34,500	33,500	114,924
Other Financing Sources (Uses):										
Transfers from Other Funds	0	0	0	0	0	0	0	4,400	0	0
Transfers to Other Funds	(120,720)	0	0	0	0	(32)	(1,108)	0	0	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	(120,720)	0	0	0	0	(32)	(1,108)	4,400	0	0
Change in Fund Balance	(10,660)	(45)	0	48	(381)	23	(1,108)	4,800	6,500	(18,825)
Closing Fund Balance	22,467	567	23	10,708	(9,705)	105	(6,459)	(20,638)	16,257	158,193

	US OLYMPIC COMMITTEE/ LAKE PLACID OLYMPIC TRAINING (23500-23549)	INDIGENT LEGAL SERVICES (23550-23599)	UNEMPLOYMENT INSURANCE ADMINISTRATION (25900-25949)	UNEMPLOYMENT INSURANCE INTEREST AND PENALTY (23600-23649)	UNEMPLOYMENT INSURANCE OCCUPATIONAL TRAINING (25950-25999)	FEDERAL EMPLOYMENT AND TRAINING GRANTS (26000-26049)	NEW YORK COMMERCIAL GAMING (23700-23749)	MEDICAL MARIHUANA TRUST (23750-23799)	MISCELLANEOUS REVENUE (23800-23801)	SPECIAL REVENUE OTHER	SUB TOTAL	ELIMINATIONS	FINANCIAL PLAN
Opening Fund Balance	91	215,264	82,992	26,506	1,170	(944)	17,171	3,949	2,156	0	4,272,201	0	4,272,201
Receipts:													
Taxes	0	0	0	0	0	0	0	1,000	2,000	1,000	7,718,197	0	7,718,197
Miscellaneous Receipts	85	132,000	53,840	9,600	0	0	119,264	2,000	1,064	0	17,123,340	0	17,123,340
Federal Grants	0	0	305,095	0	7,780	162,466	0	0	0	0	55,004,689	0	55,004,689
Total Receipts	85	132,000	358,935	9,600	7,780	162,466	119,264	3,000	3,064	1,000	79,846,226	0	79,846,226
Disbursements:													
Local Assistance	0	95,465	9,339	0	7,780	134,762	101,900	1,800	0	0	69,916,581	0	69,916,581
State Operations	75	28,300	213,034	2,685	0	22,354	3,523	5,348	745	37,000	12,531,621	0	12,531,621
General State Charges	0	1,016	87,894	1,173	0	8,258	1,346	686	273	0	2,582,093	0	2,582,093
Debt Service	0	0	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	75	124,781	310,267	3,858	7,780	165,374	106,769	7,834	1,018	37,000	85,030,295	0	85,030,295
Other Financing Sources (Uses):													
Transfers from Other Funds	0	35,000	0	0	0	0	0	4,540	0	0	10,264,719	(2,408,470)	7,856,249
Transfers to Other Funds	0	0	(50,569)	(3,211)	0	0	(18,036)	0	(45)	1,000	(5,404,971)	2,408,470	(2,996,501)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	0	35,000	(50,569)	(3,211)	0	0	(18,036)	4,540	(45)	1,000	4,859,748	0	4,859,748
Change in Fund Balance	10	42,219	(1,901)	2,531	0	(2,908)	(5,541)	(294)	2,001	(35,000)	(324,321)	0	(324,321)
Closing Fund Balance	101	257,483	81,091	29,037	1,170	(3,852)	11,630	3,655	4,157	(35,000)	3,947,880	0	3,947,880

CASH COMBINING STATEMENT BY ACCOUNT
SPECIAL REVENUE OTHER FUNDS (Excluding Fund 339)

FY 2018
(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCS	Debt	Capital	Transfers To	Total Disb.	Closing Balance	
019.20000-Went Hyg Gifts	2,277	0	220	0	0	0	220	0	0	222	0	0	0	0	0	0	222	2,275	
020.20100-Combined Exp Tr	(35)	0	(109,300)	0	0	95,839	(13,461)	0	0	0	0	0	0	0	0	0	0	0	(13,496)
020.20101-Planting Fields	1,443	0	350	0	0	0	350	0	216	48	7	0	131	0	0	0	402	1,391	
020.20103-Chambers Restor	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	
020.20105-Animal Disease	51	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	51	
020.20107-DOCS Gift & Don	53	0	5	0	0	0	5	0	0	5	0	0	0	0	0	0	5	53	
020.20109-Helen Hayes Hsp	45	0	0	0	0	0	0	0	0	35	0	0	0	0	0	0	35	10	
020.20110-Oxford Donation	277	0	166	0	0	0	166	0	0	50	0	0	0	0	0	0	50	393	
020.20111-Donat-St.Albans	5	0	0	0	0	0	0	0	0	13	0	0	0	0	0	0	13	(8)	
020.20112-CVB Gifts & Beq	62	0	5	0	0	0	5	0	0	8	0	0	0	0	0	0	8	59	
020.20113-Donations-Batav	16	0	19	0	0	0	19	0	0	40	0	0	0	0	0	0	40	(5)	
020.20114-Montrose Donati	174	0	12	0	0	0	12	0	0	13	0	0	0	0	0	0	13	173	
020.20116-IBR Genetic Cou	37	0	108	0	0	0	108	0	0	108	0	0	0	0	0	0	108	37	
020.20118-Tech Transfer	30	0	50	0	0	0	50	0	0	25	0	0	0	0	0	0	25	55	
020.20120-Spec Events	1,206	0	138	0	0	0	138	0	0	0	0	0	0	0	0	0	0	1,344	
020.20123-L.M. Josephthal	48	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	48	
020.20124-OSC Misc Grant	14	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	14	
020.20126-NYSCB Ven Stand	1,193	0	715	0	0	0	715	0	44	508	1	0	27	0	0	0	580	1,328	
020.20127-DMNA Military	12	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	12	
020.20128-WB Hoyt Memoria	2,713	0	0	0	0	622	622	750	0	0	0	0	0	0	0	0	750	2,585	
020.20129-NYSCB Gift& Beq	189	0	0	0	0	0	0	0	0	32	0	0	0	0	0	0	32	157	
020.20130-St Transm Money	19,502	0	8,000	0	0	0	8,000	0	0	930	0	0	0	0	0	0	930	26,572	
020.20142-Youth Grants &	273	0	0	0	0	0	0	0	43	410	0	0	0	0	0	0	453	(180)	
020.20143-Alzheimers Dis	1,764	0	270	0	0	270	540	663	0	0	0	0	0	0	0	0	663	1,641	
020.20144-Local Gov Comm	143	0	12	0	0	0	12	0	0	7	0	0	0	0	0	0	7	148	
020.20147-Prostate/Testic	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	
020.20149-Autism Aware &	149	0	10	0	0	0	10	0	0	18	0	0	0	0	0	0	18	141	
020.20150-Emergency Serv	13,635	0	5,688	0	0	0	5,688	3,101	127	93	4	0	28	0	0	0	3,363	15,970	
020.20151-Batavia-Charlot	347	0	20	0	0	0	20	0	0	23	0	0	0	0	0	0	23	344	
020.20152-Rome-Gifts And	74	0	20	0	0	0	20	0	0	19	0	0	0	0	0	0	19	75	
020.20155-Br Can Res & Ed	8,303	0	540	0	0	500	1,040	1,923	0	0	0	0	0	0	0	0	1,923	7,420	
020.20159-Community Relat	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	
020.20162-Disab Tech Asst	30	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	30	
020.20165-DMNA Youth Prog	98	0	5	0	0	0	5	0	5	0	0	0	0	0	0	0	5	98	
020.20166-Erie Canal Muse	12	0	1	0	0	0	1	0	0	0	0	0	0	0	0	0	0	13	
020.20167-Grants and Bequ	8	0	1	0	0	0	1	0	0	2	0	0	0	0	0	0	2	7	
020.20174-Life Pass It on	1,311	0	400	0	0	0	400	0	0	179	0	0	0	0	0	0	179	1,532	
020.20176-Misc. Gifts Acc	(1,051)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1,051)	
020.20178-Multiple Solero	3	0	2	0	0	0	2	0	0	0	0	0	0	0	0	0	0	5	
020.20182-Parole Ofcr Mem	43	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	43	
020.20183-Prostate Cancer	3,259	0	240	0	0	200	440	840	0	0	0	0	0	0	0	0	840	2,859	
020.20185-Percy T Phillip	40	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	40	
020.20192-Missng Children	392	0	407	0	0	0	407	0	178	314	0	0	0	0	0	0	482	307	
020.20197-DCJ01 Comb Gift	(6)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(6)	
020.20198-HESC Gifts Dona	528	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	528	
020.201B4-DFY Rec & Welfr	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)	
020.201B8-DAAA Grnts And	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)	
020.201DR-Human Rights Dis	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)	
020.201F1-Women Vet Monum	150	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	150	
020.201FF-Ford Foundation	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)	
020.201GW-CCF Grts & Beqs	155	0	100	0	0	0	100	0	14	88	1	0	9	0	0	0	112	143	
020.201HH-OWH Grant & Beq	864	0	74	0	0	0	74	0	0	75	0	0	0	0	0	0	75	863	
020.201MI-RPMI Schoellkpf	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	
020.201PG-DCJS - MUNY Pol	(1)	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	(1)	
020.201RW-RW Johnson Foun	(5)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(5)	

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020.201XK-Grants Account	1,349	0	1,500	0	0	0	1,500	1,000	0	67	0	0	0	0	0	0	1,067	1,782
020.201XX-S U Restrict Cur	12	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	12
020.201ZS-Grants	240	0	300	0	0	0	300	0	0	0	0	0	0	0	0	0	0	540
020.201ZZ-Donated Funds	(92)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(92)
020.20200-NY Teen Hth Ed	0	0	120	0	0	0	120	120	0	0	0	0	0	0	0	0	120	0
020.20201-Veterans Rem Ce	597	0	224	0	0	0	224	0	0	0	0	0	0	0	0	0	0	821
020.20205-Mental Illness	117	0	58	0	0	0	58	0	0	0	0	0	0	0	0	0	0	175
020.20206-Women's Cancer	148	0	0	0	0	0	0	100	0	0	0	0	0	0	0	0	100	48
023.20300-N Y Int Lawyers	41,035	0	20,000	0	0	0	20,000	23,000	647	554	54	0	422	0	0	0	24,677	36,358
024.20350-NYS Archvs Phe	62	0	318	0	0	300	618	0	292	119	8	0	180	0	0	8	607	73
025.20401-Child Performer	58	0	65	0	0	300	365	0	232	9	6	0	149	0	0	0	396	27
050.20451-Tuition Reimb	4,752	0	705	0	0	0	705	0	0	200	0	0	101	0	0	23	324	5,133
050.20452-Voc School Supp	1,829	0	3,600	0	0	0	3,600	0	1,713	700	45	0	1,053	0	0	539	4,050	1,379
052.20501-Loc Govt Record	4,306	0	9,233	0	0	0	9,233	5,056	1,745	350	46	0	1,073	0	0	1,383	9,653	3,886
053.20550-Sch Tax Relief	0	2,629,997	0	0	0	0	2,629,997	2,629,997	0	0	0	0	0	0	0	0	2,629,997	0
054.20601-Charter School	6,564	0	0	0	0	4,837	4,837	4,837	0	0	0	0	0	0	0	0	4,837	6,564
056.20701-Greenway Commun	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
056.20702-Greenway Herit	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
059.20751-Alcohol&Subst A	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
061.20800-LTC Ins Res Acc	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
061.20801-Tobacco Cntr &	117	0	0	0	0	0	0	0	1,883	144	42	0	1,132	0	0	0	3,201	(3,084)
061.20802-Health Care Srv	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
061.20803-Medicaid Fraud	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
061.20804-Medical Assist.	6	0	0	0	0	0	0	3,900,400	0	0	0	0	0	0	0	0	3,900,400	(3,900,394)
061.20805-Enhanced Com	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
061.20807-HCRA Program	1,049	0	0	0	0	0	0	399,212	0	8,328	0	0	0	0	0	0	407,540	(406,491)
061.20808-HCRA Transition	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
061.20809-EMS Training	860	0	0	0	0	0	0	10,570	2,168	1,421	5	0	1,324	0	0	131	15,619	(14,759)
061.20810-Child Health In	344	0	0	0	0	0	0	256,390	378	3,891	31	0	373	0	0	0	261,063	(260,719)
061.20811-HCRA Undistrib	5,463	837,000	4,880,803	0	0	0	5,717,803	0	0	0	0	0	0	0	0	146,556	146,556	5,576,710
061.20812-Hospital Based	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
061.20813-Ad Home Res Co	90	0	0	0	0	0	0	60	0	0	0	0	0	0	0	0	60	30
061.20814-Primary Care In	0	0	0	0	0	0	0	277	0	0	5	0	176	0	0	158	616	(616)
061.20815-Prov Coll Monit	24	0	0	0	0	0	0	0	0	0	0	0	73	0	0	0	73	(49)
061.20816-Pilot Health In	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	102	102	(99)
061.20817-Indigent Care	1,207	0	0	0	0	0	0	882,500	0	0	0	0	0	0	0	9,000	891,500	(890,293)
061.20818-EPIC Premium	1,854	0	44,677	0	0	0	44,677	129,580	715	10,342	4	0	440	0	0	0	141,081	(84,550)
061.20819-Health Occup De	298	0	0	0	0	0	0	0	655	352	10	0	398	0	0	0	1,415	(1,117)
061.20820-Matern & Ch HIV	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
061.20821-Health Care Del	104	0	0	0	0	0	0	0	227	2	8	0	139	0	0	0	376	(272)
061.20822-Cig Task Force	486	0	0	0	0	0	0	0	1,942	1,605	49	0	1,182	0	0	0	4,778	(4,292)
073.20851-Transit Authori	56,637	363,900	109,752	0	0	51,394	525,046	525,906	0	0	0	0	0	0	0	0	525,906	55,777
073.20852-Railroad Account	10,032	64,100	19,242	0	0	9,216	92,558	91,722	0	0	0	0	0	0	0	0	91,722	10,868
073.20853-DWTF	14,247	37,700	11,495	0	0	5,274	54,469	54,609	0	0	0	0	0	0	0	0	54,609	14,107
160.20901-Education - New	93,718	0	2,301,000	0	0	0	2,301,000	2,394,714	0	0	0	0	0	0	0	0	2,394,714	4
160.20902-Lottery Adm New	18,708	0	153,587	0	0	0	153,587	0	17,842	60,236	488	0	10,151	0	0	4,204	92,921	79,374
160.20903-VLT Administrat	1,571	0	12,040	0	0	0	12,040	0	2,978	1,280	81	0	1,682	0	0	666	6,687	6,924
160.20904-VLT - Education	8,400	0	940,200	0	0	18,034	958,234	966,634	0	0	0	0	0	0	0	0	966,634	0
221.20950-Comb Student Ln	5,684	0	27,728	650	0	0	28,378	0	0	27,792	0	0	0	0	0	0	27,792	6,270
225.23651-Mobility Tax Tr	128,825	1,438,000	1,000	0	0	268,524	1,707,524	1,698,900	0	0	0	0	0	0	0	2,000	1,700,900	135,449
225.23652-MTA Afd Trust	20,153	107,000	191,500	0	0	0	298,500	298,000	0	0	0	0	0	0	0	225	298,225	20,428
300.21002-Encon Admin Acc	(3,501)	0	900	0	0	0	900	0	136	9	0	0	84	0	0	0	229	(2,830)
301.21051-EnCon Energy Ef	161	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	161
301.21052-EnCon-Seized As	446	0	20	0	0	0	20	0	0	0	0	0	0	0	0	0	0	466
301.21053-Vst Tire Mgr/Re	19,863	0	24,000	0	0	0	24,000	0	12,727	4,800	379	0	7,825	0	0	0	25,731	18,132
301.21054-Oil & Gas Accou	122	0	108	0	0	0	108	0	0	98	0	0	0	0	0	0	98	132

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301.21055-Marine/Coastal	143	0	13	0	0	0	13	0	0	0	0	0	0	0	0	0	0	156
301.21060-Indirect Charge	2,661	0	0	0	0	10,131	10,131	0	2,971	3,306	100	0	1,827	0	0	2,608	10,812	1,980
301.21061-Hazardous Sub B	691	0	350	0	0	0	350	0	152	33	8	0	93	0	0	0	286	755
301.21063-S-Area Landfill	20	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	20
301.21064-Utility Envrir R	1	0	0	0	0	0	0	0	1,000	0	30	0	614	0	0	0	1,644	(1,643)
301.21065-Federal Grant I	505	0	40	0	0	9,000	9,040	0	7,152	168	0	0	0	0	0	1,634	8,954	591
301.21066-Low Level Radio	(4,516)	0	2,811	0	0	0	2,811	0	1,393	171	31	0	851	0	0	433	2,879	(4,584)
301.21067-Recreation Acco	(6,712)	0	10,200	0	0	0	10,200	0	2,917	812	230	0	608	0	0	455	5,022	(1,534)
301.21077-Public Safety R	22	0	30	0	0	0	30	0	0	0	0	0	0	0	0	0	30	22
301.21080-Ercom Magazine	827	0	705	0	0	0	705	0	0	164	0	0	0	0	0	150	314	1,218
301.21081-Environmental R	(33,668)	0	28,600	0	0	0	28,600	0	15,698	2,424	514	0	9,652	0	0	5,594	33,892	(38,950)
301.21082-Natural Resourc	(17,256)	0	4,811	0	0	0	4,811	0	2,127	397	136	0	1,308	0	0	400	4,368	(16,813)
301.21083-UST-Trust Recov	350	0	12	0	0	0	12	0	0	0	0	0	0	0	0	0	0	362
301.21084-Mined Land Recl	2,858	0	4,210	0	0	0	4,210	0	2,162	117	72	0	1,329	0	0	0	3,680	3,388
301.21089-SEQR Review	(43)	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	(43)
301.21087-Town Of Riverhe	17	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	17
301.210ZZ-Monitors-Aggre	19,622	0	6,000	0	0	0	6,000	0	4,009	493	118	0	2,465	0	0	0	7,085	18,537
302.21150-Conservation	11,697	0	43,222	0	0	75	43,297	0	24,674	6,961	814	0	15,170	0	0	1,794	49,413	5,581
302.21151-Marine Resource	2,793	0	1,480	0	0	0	1,480	0	991	1,216	74	0	618	0	0	0	2,899	1,374
302.21152-Migratory Bird	139	0	10	0	0	0	10	0	0	45	0	0	0	0	0	0	45	104
302.21153-Guides License	92	0	55	0	0	0	55	0	51	6	1	0	31	0	0	0	89	58
302.21154-Fish And Game T	59,869	0	2,000	0	0	0	2,000	0	0	0	0	0	0	0	0	75	75	61,794
302.21155-Surf Clam/Quaho	20	0	0	0	0	0	0	0	26	29	0	0	16	0	0	0	71	(51)
302.21156-Habitat Account	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
302.21157-Venison Donatio	2	0	25	0	0	0	25	0	0	0	0	0	0	0	0	0	0	27
302.21158-OUTDOOR REC & T	15	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	15
303.21201-Oil Spill - DAC	3	0	121	0	0	705	826	0	523	223	(59)	0	343	0	0	0	1,030	(201)
303.21202-Oil Sp Relocatin	3	0	0	0	0	301	301	0	189	14	0	0	117	0	0	0	320	(16)
303.21203-Oil Spill - DEC	(1)	0	0	0	0	18,000	18,000	0	11,226	1,635	331	0	6,902	0	0	4,000	24,004	(6,095)
303.21204-Oil Spill - DAC	33,299	0	31,396	0	0	0	31,396	0	0	0	0	0	0	0	0	19,006	19,006	45,689
303.21205-License Fee Sur	0	0	13,700	0	0	0	13,700	0	0	0	0	0	0	0	0	13,700	13,700	0
305.21251-OSH Trng & Educ	342	0	26,357	0	0	0	26,357	0	10,802	7,201	277	0	7,172	0	0	0	25,452	1,247
305.21252-OSHA Inspection	2,028	0	22,139	0	0	350	22,489	0	11,875	3,224	305	0	6,333	0	0	0	21,737	2,780
306.21301-CSF Regis Fee	4,214	0	8,800	0	0	0	8,800	0	500	10,200	0	0	200	0	0	0	10,900	2,114
307.21351-Equipment Loan	532	0	50	0	0	0	50	0	0	89	0	0	0	0	0	7	96	486
313.21401-Pub Tran Systems	6,733	77,100	0	0	0	16,020	93,120	86,446	636	504	19	0	391	0	0	0	87,996	11,857
313.21402-Metropolitan Ma	93,779	2,159,400	17,500	0	0	21,175	2,198,075	2,151,740	2,488	410	73	0	1,530	0	0	121,548	2,277,789	14,065
313.21403-Urban Mass Tran	107	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	107
313.21404-Add Mass Trans	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
314.21451-Operatng Permit	(20,346)	0	9,200	0	0	0	9,200	0	4,152	1,647	209	0	2,553	0	0	0	8,561	(19,707)
314.21452-Mobile Source	(1,816)	0	34,000	0	0	0	34,000	0	16,455	4,108	487	0	10,117	0	0	6,404	37,571	(5,387)
318.21501-Housing Reserve	66	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	66
321.21551-Legisli/Comp R&D	10,656	0	1,717	0	0	0	1,717	0	0	1,500	0	0	0	0	0	0	1,500	10,873
321.21552-Demographics/Re	61	0	2	0	0	0	2	0	0	0	0	0	0	0	0	0	0	63
330.40350-S U Dorm Income	238,243	0	344,024	0	0	0	344,024	0	0	0	0	0	0	0	0	360,025	360,025	222,242
332.21651-Brummer Award	38	0	6	0	0	0	6	0	0	6	0	0	0	0	0	0	6	38
332.21652-William Vorce F	229	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	229
332.21653-Rocky Pocantico	5	0	110	0	0	0	110	0	0	52	0	0	0	0	0	0	52	63
332.21654-OPWDD Nonexp Tr	74	0	(1)	0	0	0	(1)	0	0	1	0	0	0	0	0	0	1	72
332.21656-Helen Hayes Hos	15	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	15
332.21657-Cunningham Fund	100	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	100
333.21700-Wintr Sports Ed	0	0	75	0	0	0	75	0	0	75	0	0	0	0	0	0	75	0
335.21750-Nys Musical Ins	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
338.21851-Arts Capital Re	846	0	60	0	0	0	60	98	0	0	0	0	0	0	0	0	98	808
340.22501-CFIA Undistrib	8,357	0	150	0	0	106,000	106,150	105,497	1,700	100	0	0	800	0	0	1,311	109,408	5,099
341.22552-DFY-NYC Summer	50	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	50

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345.22652-L I Vets Home	32,592	0	45,459	0	0	0	45,459	0	28,032	20,100	0	0	0	0	0	443	48,575	29,476
345.22653-S U Genl IFR	650,769	0	800,398	0	0	50,851	851,249	0	185,000	520,000	0	0	4,000	0	0	72,246	781,246	720,772
345.22654-S U Inc Offset	(19,543)	0	10	0	0	0	10	0	0	0	0	0	0	0	0	0	0	(19,533)
345.22655-Cen Rev Offset	91,839	0	1,857,916	0	0	1,022,439	2,880,355	0	2,241,496	539,142	0	0	4,270	0	0	58,504	2,843,412	128,782
345.22656-S U Hosp Ops	303,009	0	1,737,009	0	0	731,273	2,468,282	0	1,135,844	949,924	0	0	434,338	0	0	68,130	2,588,236	183,055
345.22657-SUNY Stabilizat	48,079	0	10	0	0	0	10	0	400	600	0	0	0	0	0	0	1,000	47,089
345.22658-State Univ Hosp	13,738	0	35,812	0	0	0	35,812	0	38,206	2,862	0	0	0	0	0	0	41,068	8,482
345.22659-SUNY Tuition Re	111,545	0	109,830	0	0	0	109,830	0	52,170	38,956	0	0	4,174	0	0	237	95,537	125,838
345.2266P-Bridge Program	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
346.22700-Chem Dep Svcs	37,238	0	6,467	0	0	0	6,467	5,870	0	574	0	0	0	0	0	26,000	32,444	11,261
349.22751-Lk George Park	(11)	0	1,208	0	0	0	1,208	0	677	250	19	0	404	0	0	0	1,350	(153)
354.22801-MVTIFA	7,252	0	4,742	0	0	0	4,742	4,184	136	4	4	0	88	0	0	4,300	8,716	3,278
354.22802-St Police NV En	25,876	0	118,825	0	0	0	118,825	0	4,000	5,100	0	0	0	0	0	116,420	125,520	19,181
355.22851-Great Lakes Pro	608	0	160	0	0	0	160	0	82	70	3	0	50	0	0	0	205	563
359.22901-Revenue Maximiz	1,577	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,577
359.22902-Local Maximizat	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
359.22903-Rev Maxim Contr	(1,555)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1,555)
360.22950-Housing Develop	10,659	0	900	0	0	0	900	852	0	0	0	0	0	0	0	0	852	10,707
362.23001-DOT Comm Veh Sa	(9,324)	0	3,068	0	0	0	3,068	0	2,954	495	0	0	0	0	0	0	3,449	(9,705)
365.23051-Vocatl Rehabil	83	0	100	0	0	0	100	20	0	25	0	0	0	0	0	32	77	106
366.23101-Drinking Water	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
366.23102-Drink Water DOH	(5,352)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(5,352)
368.23151-NYC County Cler	(25,437)	0	34,900	0	0	4,400	39,300	0	19,800	3,300	0	0	11,400	0	0	1,108	1,108	(6,460)
369.23201-Jud Data Proc O	9,757	0	40,000	0	0	0	40,000	0	18,700	6,000	0	0	8,800	0	0	0	33,500	(20,637)
377.23267-CUNY Stabilizn	4,899	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4,899
377.2322X-CUNY Tuin Reim	74,470	0	10,000	0	0	0	10,000	0	5,818	0	0	0	0	0	0	0	5,818	78,652
377.232ZY-CUNY Inc Reimb	97,650	0	86,098	0	0	0	86,098	0	63,178	38,036	0	0	7,892	0	0	0	109,106	74,642
385.23501-Lk Placid Train	91	0	85	0	0	0	85	0	0	75	0	0	0	0	0	0	75	101
390.23551-Indigent Legal	215,263	0	132,000	0	0	35,000	167,000	95,465	2,520	25,610	170	0	1,016	0	0	0	124,781	257,482
482.23601-UJ Sp Int & Pen	26,504	0	9,600	0	0	0	9,600	0	1,643	1,000	42	0	1,173	0	0	3,211	7,069	29,035
501.23701-Commercial Gami	20,763	0	109,071	0	0	0	109,071	101,900	0	0	0	0	0	0	0	18,034	119,934	9,900
501.23702-Comm Game Regul	(4,522)	0	10,193	0	0	0	10,193	0	2,236	1,223	64	0	1,346	0	0	2	4,871	800
501.23703-Prob Gambal Svcs	935	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	935
502.23750-Med Warth Colle	266	450	0	0	0	0	450	0	0	0	0	0	0	0	0	0	0	716
502.23752-MMF - County Di	98	450	0	0	0	0	450	1,800	0	0	0	0	0	0	0	0	1,800	(1,252)
502.23753-MMF - Law Enfor	30	50	0	0	0	0	50	0	0	0	0	0	0	0	0	0	0	80
502.23754-MMF - Addiction	30	50	0	0	0	0	50	0	0	0	0	0	0	0	0	0	0	80
502.23755-Health Operatio	3,527	0	2,000	0	0	4,540	6,540	0	1,596	3,752	0	0	686	0	0	0	6,034	4,033
503.23800-Inter Receipt Pos	210	0	564	0	0	0	564	0	273	70	7	0	168	0	0	45	563	211
503.23801-Hwy Use Tax Adm	1,946	2,000	500	0	0	0	2,500	0	188	202	5	0	105	0	0	0	500	3,946
504.24950-Fan Sports Educ	3,163	0	5,000	0	0	0	5,000	0	0	0	0	0	0	0	0	0	0	8,163
504.24951-Fan Sport Admin	0	0	0	0	0	0	0	0	480	214	14	0	292	0	0	0	1,000	(1,000)

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2018
(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.21901-Article VII Int	5,062	0	500	0	0	0	500	77	0	0	0	0	0	0	0	0	5,485
339.21902-S P A R C S	6,390	0	6,600	0	0	0	6,600	0	516	588	0	0	318	0	0	4,214	7,354
339.21904-Fire Prev/Code	28,882	0	14,810	0	0	0	14,810	0	0	0	0	0	0	0	0	43,692	0
339.21905-NYS Twy Police	(1,913)	0	3	0	0	0	3	0	1	1	0	0	1	0	0	0	(1,913)
339.21906-DMV Seiz Assets	226	0	0	0	0	0	0	0	0	0	0	0	0	0	0	114	112
339.21907-Mental Hygiene	8,733	0	(100,000)	0	0	3,024,678	2,924,678	1,588,769	712,966	120,237	20,887	0	426,419	0	0	57,400	6,733
339.21909-M H Patient Inc	60,556	0	199	0	0	2,749,320	2,749,519	0	1,486,211	316,676	40,767	0	901,181	0	0	2,637	62,603
339.21911-Fin Cntrl Board	(733)	0	3,044	0	0	0	3,044	0	1,350	913	39	0	742	0	0	0	(733)
339.21912-Reg of Racing	(4,601)	0	12,647	0	0	0	12,647	0	6,809	5,577	171	0	1,755	0	0	458	(6,724)
339.21913-NY Metro Trans	(22,970)	0	0	0	0	882	882	0	157	0	32	0	693	0	0	0	(22,970)
339.21914-S U Constr Fund	108	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	108
339.21915-Quality Care	65,099	0	0	0	0	0	0	0	0	0	0	0	0	0	0	65,099	0
339.21916-Nurses Aide Reg	1,008	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,008
339.21917-Seized Assets	284	0	50	0	0	0	50	0	0	6	0	0	0	0	0	0	328
339.21918-Child Care & Pr	1,131	0	160	0	0	0	160	100	0	0	0	0	0	0	0	0	1,191
339.21919-Cyber Sec Upgr	885	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	885
339.21920-Cert of Need	10,947	0	2,959	0	0	0	2,959	0	1,703	1,605	0	0	1,038	0	0	7,769	1,791
339.21921-Lobbying Enforc	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.21922-Continuing Care	1,006	0	131	0	0	0	131	0	50	5	0	0	25	0	0	2	1,055
339.21923-DOL Fee Penalty	4,217	0	20,383	0	0	0	20,383	0	5,991	1,215	154	0	4,167	0	0	8,672	4,401
339.21924-Educ Museum	149	0	842	0	0	0	842	0	282	334	7	0	173	0	0	62	133
339.21925-Ns Hm Receiptship	2,844	0	25	0	0	0	25	0	0	0	0	0	0	0	0	0	2,869
339.21926-3rd Party Hlth	453	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	453
339.21927-Boating Noise L	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.21928-I Love NY Ves	1	0	921	0	0	0	921	0	0	0	0	0	0	0	0	0	922
339.21929-Summer Sch Arts	143	0	684	0	0	0	684	0	111	528	3	0	18	0	0	0	167
339.21930-I Lve NY W Boat	101	0	245	0	0	0	245	0	130	25	3	0	79	0	0	0	109
339.21932-Snowmobile	4,359	0	6,150	0	0	0	6,150	5,450	111	363	9	0	67	0	0	0	4,509
339.21933-Tr Surplus Prop	2,811	0	2,200	0	0	0	2,200	0	0	974	0	0	0	0	0	1,803	2,234
339.21934-Hosp & Nurs Mgt	4	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4
339.21935-Watershed Ptnr	(4)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(4)
339.21936-World Univ Game	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.21937-S U Dorm Reimb	(5)	0	0	0	0	310,025	310,025	0	112,521	136,710	0	0	0	0	0	22,384	38,405
339.21938-ODTA Train Cont	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.21939-ODTA State Matic	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.21941-Methadone Regis	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.21943-Energy Research	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.21944-Radiology	2,922	0	6,000	0	0	0	6,000	3,000	703	687	30	0	436	0	0	1,350	2,716
339.21945-Crim Jus Improv	24,978	0	42,724	0	0	0	42,724	32,678	2,733	396	116	0	1,650	0	0	8,596	21,533
339.21948-Farm Prod Insp-	672	0	1,390	0	0	0	1,390	0	648	123	17	0	398	0	0	0	876
339.21950-Fingerprint&Tech	32,552	0	15,000	0	0	0	15,000	0	278	4,495	0	0	0	0	0	18,504	24,553
339.21953-NY Fire Academy	286	0	468	0	0	0	468	0	0	314	9	0	235	0	0	0	(82)
339.21958-Domestic Awatren	84	0	7	0	0	0	7	0	0	3	0	0	0	0	0	0	88
339.21959-Environmental L	2,296	0	3,700	0	0	0	3,700	0	1,466	576	0	0	901	0	0	131	2,922
339.21960-HESC Ins Prem P	27,759	0	69,881	0	0	0	69,881	0	13,314	33,316	422	0	8,958	0	0	17,402	24,228
339.21961-Train Mgmt Eval	501	0	2,300	0	0	0	2,300	0	1,541	87	46	0	966	0	0	8	153
339.21962-Clin Lab Refrnc	(11,082)	0	18,059	0	0	0	18,059	0	5,701	3,026	0	0	3,479	0	0	4,848	(10,077)
339.21964-Pub Emp Rel Brd	701	0	86	0	0	0	86	0	0	44	0	0	0	0	0	0	743
339.21965-Radio Hlth Prot	2,514	0	4,048	0	0	0	4,048	0	2,272	143	0	0	1,380	0	0	796	1,971
339.21966-Cons Food Indus	57	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	57
339.21967-OHRD St Match	890	0	0	0	0	6,000	6,000	0	0	3,791	0	0	0	0	0	0	3,099

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2018

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.21968-Educatn Library	135	0	65	0	0	0	65	0	0	60	0	0	0	0	0	0	140
339.21969-Teacher Certif	4,339	0	6,600	0	0	0	6,600	0	3,400	643	90	0	2,090	0	0	450	4,266
339.21970-Banking Deptmnt	32,037	0	96,102	0	0	0	96,102	0	50,509	13,049	1,491	0	31,053	0	0	0	32,037
339.21971-Cable TV Acct	5,226	0	3,130	0	0	0	3,130	0	1,361	109	40	0	837	0	0	0	6,009
339.21972-Econ Devel Asst	304	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	304
339.21973-Fin Svcs Seized	708	0	250	0	0	0	250	0	0	250	0	0	0	0	0	0	708
339.21975-ODD Earned Revn	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.21976-Motorcycle Sfty	3,276	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3,065	211
339.21977-Business and Li	44,752	0	86,443	0	0	0	86,443	939	16,619	11,262	491	0	10,217	0	0	66,508	25,159
339.21978-Indir Cost Reco	2,754	0	0	0	0	18,907	18,907	0	9,125	4,362	0	0	5,610	0	0	0	2,564
339.21979-High School Equ	1,021	0	225	0	0	0	225	0	0	225	0	0	0	0	0	0	1,021
339.21980-OTDA Program	1,581	0	0	0	0	500	500	0	0	1,200	0	0	128	0	0	0	753
339.21981-Disas Prep Conf	24	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	24
339.21982-Administration	1,828	0	13	0	0	8,500	8,513	0	3,842	2,272	0	0	2,283	0	1,343	601	0
339.21983-Rail Safety Ins	4	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4
339.21984-Fedl Admin Reim	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.21985-Abandon Prop Au	1	0	12,619	0	0	0	12,619	0	8,000	5,000	0	0	0	0	0	0	(380)
339.21986-Seized Assets	18	0	2	0	0	0	2	0	0	0	0	0	0	0	0	0	20
339.21987-Spinal Injury	5,343	0	0	0	0	8,500	8,500	8,200	0	0	0	0	0	0	0	0	5,643
339.21988-Child Supp Rev	78	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	78
339.21989-Mult Agen Train	13,212	0	0	0	0	7,400	7,400	0	1,551	8,704	46	0	642	0	0	0	9,669
339.21990-OCTF Crime Forf	1,590	0	3,050	0	0	0	3,050	0	0	2,236	0	0	0	0	0	0	2,404
339.21991-DMNA-Seiz Asset	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.21992-Critical Infrs	260	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	260
339.21993-Radon Detection	402	0	20	0	0	0	20	0	0	9	0	0	0	0	0	2	411
339.21994-Insurance Dept	25,864	0	260,262	0	0	0	260,262	57,438	101,374	36,098	2,992	0	62,360	0	0	0	25,864
339.21995-Workers' Compn	88,547	0	226,202	0	0	0	226,202	0	84,378	54,923	2,306	0	51,409	0	0	31,702	90,031
339.21996-Fire Protection	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.21998-Public Work Enf	7,395	0	3,982	0	0	0	3,982	0	1,987	217	51	0	1,493	0	0	0	7,629
339.21999-Asset Forfeitur	0	0	250	0	0	0	250	0	0	250	0	0	0	0	0	0	0
339.219A2-MMIA	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219AC-Non-Ivd Wage Wf	(58)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(58)
339.219AF-Hosp Grants	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.219AK-Ins Voucher Pro	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.219AM-Hlth Care Advls	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219AR-Adopt Info Regi	(1)	0	50	0	0	0	50	0	0	0	0	0	0	0	0	0	49
339.219AS-Quality Assuran	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219BO-Primary Care In	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.219BU-Land Utilizatio	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219C2-Jones Bch Theat	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219CB-FS Reinvestment	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219CC-Tech & Scientif	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219CH-Child Hlth Ins	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219D1-Food Stp Rec Fr	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219DM-EAD Metallurgi	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219DN-Fines Penalties	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219E7-Unif Commerc Cd	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219EA-Bus & Licen Srv	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219EB-Antitrust Enhfor	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219EE-Map Revenue	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219EF-TAP Sys Redesgn	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2018

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.219EM-Emerg Med Svcs	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219F6-Lc On Solid Was	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219FC-Fosir Care Savi	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219H3-Pilot Health In	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219H5-Triple Prescr F	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.219IG-Ins Gent Opens	(22)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(22)
339.219JD-Problm Solv Cou	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219K2-Equip Repair	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219K3-Catastrophic HI	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219KA-Primary Hlth Cr	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219L5-Adult Cyst Fibr	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219L8-DOS Licensing	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219LB-Health Occup De	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219LC-Matern Chld Hlv	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.219SA-Health Services	31	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	31
339.219SS-DOT Sign Shop	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219TF--Tran Fees Perms	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219XX-A&M-Aggregated	735	0	810	0	0	0	810	0	375	77	13	0	231	0	0	0	849
339.219YL-OGS Bldg Admin	8,079	0	1,166	0	0	0	1,166	0	0	1,014	0	0	0	0	0	0	8,231
339.219YN-OGS Std & Purch	10,401	0	5,660	0	0	0	5,660	0	858	1,881	23	0	527	0	0	3,000	9,772
339.219Z3-MHP/IA OMR NPS	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219Z6-Human Rights Ac	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.219Z7-Just Ct Oper	(2,453)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2,453)
339.219ZR-Milk Producers	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219ZV-S T A Research	(19)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(19)
339.22001-VESID SS	2,602	0	2,500	0	0	0	2,500	2,000	182	100	5	0	112	0	0	52	2,651
339.22003-Bell Jar Collec	4	0	1,875	0	0	0	1,875	0	689	124	20	0	427	0	0	1	618
339.22004-Ind & Util Serv	2,930	0	2,547	0	0	0	2,547	0	1,550	0	74	0	846	0	0	0	3,007
339.22008-Courts Special	1,926	0	3,000	0	0	0	3,000	0	0	1,300	0	0	0	0	0	0	3,626
339.22009-Asbestos Trning	(220)	0	330	0	0	0	330	0	226	15	0	0	145	0	0	0	(276)
339.22010-IMP R P Tax Adm	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.22011-Public Service	41,656	0	87,082	0	0	0	87,082	0	41,240	6,498	1,216	0	26,424	0	0	5,671	47,689
339.22012-Atty Licensing	8,762	0	30,000	0	0	0	30,000	0	18,100	5,000	0	0	8,400	0	0	0	7,262
339.22014-DSS Prov Recovs	189	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	189
339.22015-Crimes Against	2,846	0	0	0	0	2,000	2,000	4,845	0	0	0	0	0	0	0	0	88
339.22017-Camp Smith Bill	47	0	197	0	0	0	197	0	134	9	4	0	9	0	0	0	0
339.22018-Fire Sale Cigar	0	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	0
339.22020-Comm Feed Lic	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.22021-Regulation of M	1,290	0	20	0	0	0	20	0	0	0	0	0	0	0	0	20	1,290
339.22022-College Savings	11,883	0	813	0	0	0	813	0	198	75	18	0	150	0	0	0	12,255
339.22023-Discover Queens	18	0	1	0	0	0	1	0	0	0	0	0	0	0	0	0	19
339.22024-Reven Arrearage	56,156	0	25,000	0	0	0	25,000	0	1,622	2,500	45	0	984	0	0	62,268	13,737
339.22025-Comm Svce Assis	8,497	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	8,497
339.22026-Cell Phone Towe	2,766	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2,766
339.22027-Spec Conserv Ac	28	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	28
339.22028-State Central R	5,099	0	3,333	0	0	0	3,333	0	129	0	4	0	79	0	0	1,822	6,398
339.22029-Plant Industry	(7)	0	529	0	0	0	529	0	274	0	7	0	168	0	0	0	73
339.22032-Batavia School	(6,118)	0	9,600	0	0	900	10,500	0	5,676	628	150	0	3,574	0	0	0	(5,646)
339.22033-Alcohol Beverag	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.22034-Investment Serv	715	0	4,038	0	0	0	4,038	0	2,070	673	64	0	1,231	0	0	0	715
339.22035-Diabetes Resear	14	0	6	0	0	0	6	0	0	45	0	0	0	0	0	0	(25)

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2018

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.22037-Keep Kids Drug	46	0	9	0	0	0	9	0	0	0	0	0	0	0	0	0	55
339.22038-OPWDD Day Servi	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22039-OSDC Finan Over	(1,899)	0	4,304	0	0	0	4,304	0	2,759	243	74	0	1,687	0	0	0	(2,358)
339.22040-Senate Recyclab	559	0	20	0	0	0	20	0	0	50	0	0	0	0	0	0	529
339.22041-Medicaid Fraud	14,110	0	14,000	0	0	0	14,000	0	6,217	2,694	176	0	3,613	0	0	0	15,410
339.22042-DED Marketing A	4,214	0	1,944	0	0	0	1,944	0	63	1,710	2	0	28	0	0	131	4,224
339.22044-Tug Hill Admin	83	0	38	0	0	0	38	0	29	3	0	0	0	0	10	79	997
339.22045-Settlement Enrf	997	0	600	0	0	0	600	550	0	50	0	0	0	0	0	0	997
339.22046-Regulation of I	(64,021)	0	13,388	0	0	0	13,388	0	8,293	765	207	0	4,742	0	0	329	(64,969)
339.22047-NYS FLEX Spend	111	0	300	0	0	0	300	0	0	300	0	0	0	0	0	0	111
339.22050-Crime Victims B	4	0	105	0	0	0	105	0	0	105	0	0	0	0	0	0	4
339.22051-Ofc of Professi	32,082	0	47,265	0	0	0	47,265	0	19,327	9,795	510	0	11,989	0	0	6,032	31,694
339.22052-Army Rental A	2,930	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2,930
339.22053-Rome School	(1,590)	0	9,600	0	0	1,020	10,620	0	4,280	652	113	0	2,840	0	0	0	1,145
339.22054-Seized Assets	(8,114)	0	0	0	0	45	45	0	0	0	0	0	0	0	0	0	(8,069)
339.22055-Traf Adjudicatr	(8,386)	0	44,500	0	0	0	44,500	0	20,024	8,870	583	0	12,105	0	0	2,288	(7,756)
339.22056-Fed Salary Shar	(1)	0	0	0	0	2,637	2,637	419	1,287	0	37	0	893	0	0	0	0
339.22057-Cook/Chill Acco	2,022	0	1,000	0	0	0	1,000	0	0	1,000	0	0	0	0	0	0	2,022
339.22060-Credentia! SNS	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22061-Seized Assets	43	0	0	0	0	0	0	0	0	0	0	0	0	0	45	0	(2)
339.22062-NYC Assessment	18,883	0	79,653	0	0	0	79,653	0	36,881	24,553	1,420	0	22,553	0	0	0	13,129
339.22063-Cultural Educat	(2,753)	0	26,775	0	0	0	26,775	0	11,872	5,400	313	0	7,299	0	0	1,976	(2,838)
339.22064-Distance Learn	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.22065-Exam & Misc Rev	2,010	0	3,150	0	0	0	3,150	0	334	409	11	0	205	0	0	1,961	2,240
339.22067-Trans Regul Acc	14,709	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2,026	12,683
339.22068-Cons Prot Act	1,958	0	91	0	0	0	91	0	236	77	7	0	145	0	0	0	1,584
339.22070-OER NASDER	(4)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(4)
339.22071-Fin Aid Audit	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22072-8th Air Force H	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.22074-FMS Account	30	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	30
339.22075-Funeral	1,974	0	470	0	0	0	470	0	233	9	0	0	143	0	0	95	1,964
339.22076-FSHRP	13	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	13
339.22077-Educ Archives	44	0	15	0	0	0	15	0	0	15	0	0	0	0	0	0	44
339.22078-Local Services	1,063	0	1,153	0	0	0	1,153	0	722	0	19	0	373	0	0	0	1,102
339.22080-Adult Shelter	15,572	0	2,600	0	0	0	2,600	0	0	0	0	0	0	0	0	0	18,172
339.22081-QAA Earned Rev	396	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	396
339.22082-Family Pres Svc	2,468	0	0	0	0	4,000	4,000	2,732	0	0	0	0	0	0	0	0	3,736
339.22083-Electronic Bene	44	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	44
339.22084-Federal-Seized	3	0	0	0	0	0	0	0	0	38	0	0	0	0	0	0	(35)
339.22085-DHCR Mortgage S	(6,495)	0	3,833	0	0	0	3,833	0	4,418	0	0	0	0	0	0	0	(7,080)
339.22086-OMH-Research OH	73	0	2,848	0	0	0	2,848	0	103	2,817	0	0	0	0	0	0	1
339.22087-DMV-Compulsory	770	0	0	0	0	0	0	990	10,847	6,589	0	0	6,629	0	0	3,960	4,540
339.22088-Prof Medic Cond	8,655	0	24,900	0	0	0	24,900	0	0	135	0	0	0	0	0	0	1,768
339.22089-Hway Const & Ma	1,643	0	260	0	0	0	260	0	2,471	0	0	0	0	0	0	201	(1,584)
339.22090-Housing Indirec	(4,651)	0	0	0	0	5,739	5,739	0	0	0	0	0	0	0	0	21	1,841
339.22091-Adult Home Qual	1,669	0	193	0	0	0	193	0	0	0	0	0	0	0	0	0	1
339.22092-Homeless Hsg	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.22093-COCOT	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.22094-Accid Prevent C	3,849	0	15	0	0	0	15	0	0	0	0	0	0	0	0	3,662	202
339.22095-IG Szd Assets	99	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	99
339.22096-Leg Svcs Assit	30,927	0	22,700	0	0	0	22,700	13,200	0	0	0	0	0	0	0	9,545	30,882

CASH COMBINING STATEMENT BY ACCOUNT
 MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2018
 (thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.22097-Loc Pub Hlth	5,267	0	84	0	0	0	84	0	93	4	0	0	57	0	0	59	5,138
339.22098-Local Dist Trai	0	0	0	0	0	0	0	0	0	295	0	0	0	0	0	0	(295)
339.22099-Voicing Mach Exa	69	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	69
339.2200Z-Interest Assess	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22100-DHCR HCA Applic	(1,734)	0	5,000	0	0	0	5,000	0	2,804	0	71	0	1,567	0	0	489	(1,665)
339.22101-EPIC Premium Ac	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.22102-Drug Enforce Ta	48	0	0	0	0	0	0	0	0	3	0	0	0	0	0	0	45
339.22103-Vital Records M	7,100	0	4,840	0	0	0	4,840	0	644	460	0	0	396	0	0	4,687	5,753
339.22104-CHCCDP Transfer	35	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	35
339.22105-Tobacco Enforce	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.22108-Hwy Rev/Soc Sec	1,048	0	267	0	0	0	267	0	0	227	0	0	0	0	0	0	1,088
339.22109-Conference & Sp	44	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	44
339.22110-Assisted Living	1,257	0	259	0	0	0	259	250	0	0	0	0	0	0	0	9	1,257
339.22111-OCFS Program	743	0	2	0	0	0	2	0	0	632	0	0	0	0	0	0	113
339.22112-OTDA Income Acc	139	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	139
339.22114-Disabil Determs	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.22115-OPWDD Jt Clin O	37	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	37
339.22116-Special Medical	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.22117-Litigation Sett	13,235	0	69,952	0	0	0	69,952	0	21,911	33,799	646	0	13,471	0	0	0	13,360
339.22118-Animal Populat	296	0	855	0	0	0	855	0	0	867	0	0	0	0	0	0	284
339.22119-Love Your Libra	67	0	6	0	0	0	6	0	0	0	0	0	0	0	0	0	73
339.22122-Local Wireless	117	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	117
339.22123-Pub Safe Commun	65,828	0	112,000	0	0	0	112,000	22,460	16,205	36,605	0	0	0	0	0	63,868	38,690
339.22124-Cuba Lake Mgmt	160	0	200	0	0	0	200	0	0	206	0	0	0	0	0	0	154
339.22126-St Justice Inst	69	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	69
339.22128-Med Reimb Acct	1,400	0	1,500	0	0	0	1,500	1,500	0	0	0	0	0	0	0	0	1,400
339.22130-Low Inc Housing	3,982	0	3,631	0	0	0	3,631	0	2,277	(1)	58	0	1,272	0	0	150	3,857
339.22131-Medicaid Inquir	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22132-New York Alert	54	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	54
339.22133-Procure Op News	410	0	0	0	0	0	0	0	40	135	0	0	0	0	0	0	235
339.22134-OVS RESTITUTION	669	0	593	0	0	0	593	0	443	150	0	0	0	0	0	0	669
339.22135-EFC Corp Admin	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.22136-Food Prod Cir	945	0	1,323	0	0	0	1,323	0	219	1,052	6	0	106	0	0	0	885
339.22137-Pet Dealer	93	0	32	0	0	0	32	0	0	0	0	0	0	0	0	0	125
339.22138-Auth Bdgt Office	1,323	0	2,088	0	0	1,826	3,914	0	917	254	27	0	596	0	0	45	3,398
339.22139-Patient Safety	3,253	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3,253
339.22140-Helen Hayes Hos	11,078	0	115	0	0	59,583	59,698	0	34,254	20,902	0	0	1,000	0	0	1,585	13,035
339.22141-NYC Veterans	3,261	0	350	0	0	30,837	31,187	343	17,158	8,538	0	0	8,025	0	0	392	335
339.22142-NYS Home-Vetera	2,627	0	120	0	0	23,669	23,789	0	16,850	6,176	0	0	0	0	0	581	2,809
339.22143-WNY Vets Home	1,081	0	55	0	0	12,708	12,763	0	8,656	4,245	0	0	0	0	0	132	811
339.22144-Montrose S V H	3,742	0	30	0	0	27,785	27,815	0	17,260	7,588	0	0	0	0	0	295	6,414
339.22145-DOH Hospital Ho	2	0	0	0	0	123,191	123,191	0	0	0	0	0	0	0	0	123,745	(552)
339.22146-HEAP Earned Rev	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.22147-Quality of Care	7,662	0	1,387	0	0	1,387	1,387	343	0	0	0	0	0	0	0	0	8,706
339.22149-Motor Fuel Qual	1,176	0	2,800	0	0	0	2,800	0	1,132	1,214	30	0	696	0	0	0	904
339.22150-Weights Measure	147	0	325	0	0	0	325	0	221	101	6	0	136	0	0	0	8
339.22151-Defier Comp Adm	(244)	0	820	0	0	0	820	150	378	183	23	0	205	0	0	0	(213)
339.22152-Hazard Abatemen	930	0	200	0	0	0	200	0	0	0	0	0	0	0	0	0	980
339.22153-Education Stais	262	0	0	89	0	0	89	0	0	34	0	0	0	0	0	0	317
339.22154-Real Estate Fin	4,944	0	1,693	0	0	0	1,693	0	1,048	1,381	31	0	645	0	0	0	3,532
339.22156-NYC Rent Rev	1,760	0	48,862	0	0	0	48,862	0	23,854	7,750	604	0	11,426	0	0	11,115	(4,127)

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2018

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.22157-Medicaid Income	408	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	408
339.22158-Rent Revenue	(462)	0	550	0	0	0	550	0	380	0	10	0	212	0	0	0	(514)
339.22159-CSFP Salvage Ac	62	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	62
339.22161-ES Slem Cell Tr	562	0	0	0	0	30,555	30,555	0	458	26,261	0	0	338	0	0	510	3,550
339.22162-Systems & Tech	9,019	0	7,300	0	0	0	7,300	0	729	120	28	0	673	0	0	5,320	9,449
339.22163-Patron Services	5,135	0	72,235	0	0	0	72,235	0	29,091	35,822	28	0	2,831	0	0	3,992	5,606
339.22165-Trans Aviatn	1,607	0	3,660	0	0	0	3,660	0	132	4,709	4	0	81	0	0	0	341
339.22166-Teacher Ed Accr	11	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	11
339.22167-Training Academ	300	0	10	0	0	0	10	0	0	10	0	0	0	0	0	0	300
339.22168-Tax Rev Arrear	3,416	0	0	0	0	3,000	3,000	0	0	1,500	0	0	0	0	0	0	4,916
339.22169-TSCR Account	45,820	0	200,000	0	0	0	200,000	74,800	0	0	0	0	0	0	0	121,200	49,820
339.22170-Statewide Gamin	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22171-Recruitment Inc	3,259	0	39	0	0	2,087	2,126	0	0	1,186	0	0	0	0	0	0	4,199
339.22172-Undgrmd Sfty T	1,353	0	110	0	0	0	110	0	0	0	0	0	0	0	175	0	1,288
339.22173-Vol Fire Rec&Re	796	0	200	0	0	0	200	200	0	0	0	0	0	0	0	0	796
339.22174-HAVA Match	1,568	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,568
339.22175-VRSS	16	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	16
339.22177-Occ Hlth Clinic	1,212	0	9,000	0	0	0	9,000	9,560	369	11	0	0	227	0	0	76	(31)
339.22178-Crim Back Check	381	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	381
339.22180-SR-Connections	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22181-NYS Water Rescu	10	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	10
339.22182-OWIG Adm Reimb	3,174	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3,174
339.22184-Wine Industry	5	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5
339.22185-Assembly Recyc	665	0	40	0	0	0	40	0	0	50	0	0	0	0	0	0	655
339.22186-Yth Fac PerDiem	99,869	0	55,000	0	0	0	55,000	0	0	0	0	0	0	0	154,869	0	0
339.22187-Provider Assess	200	0	832,000	0	0	0	832,000	832,000	0	0	0	0	0	0	0	0	200
339.22188-Fed Indirect Re	27	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	27
339.22189-DOCS Asset Forf	367	0	314	0	0	0	314	0	0	314	0	0	0	0	0	0	367
339.22190-Conference&Sign	108	0	35	0	0	0	35	0	0	35	0	0	0	0	0	0	108
339.22191-Educ Assessment	6	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	6
339.22192-Tax Ret Prep Fe	4,836	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4,836
339.22193-Sales Tax Re Fe	12	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	12
339.22195-Equitable Shari	2,401	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2,401
339.22196-C & F Qual Enha	14	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	14
339.22197-ULTVI Radia Dev	511	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	511
339.22198-HEP	79	0	0	0	0	0	0	0	0	300	0	0	0	0	0	0	(221)
339.22199-Airport Securit	25	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	25
339.22202-SECI Account	13	0	5,000	0	0	0	5,000	5,000	0	0	0	0	0	0	0	0	13
339.22203-Article X Inter	1	0	0	0	0	0	0	78	0	0	0	0	0	0	0	0	(77)
339.22206-Wholesale Mkt	8,610	0	0	0	0	0	0	0	0	700	0	0	0	0	0	0	7,910
339.22207-Tech Financing	5,353	0	0	0	0	0	0	0	0	1,000	0	0	0	0	0	0	5,353
339.22208-Offender Progra	0	0	1,000	0	0	0	1,000	0	0	1,000	0	0	0	0	0	0	0
339.22212-Lake George Inv	86	0	350	0	0	0	350	0	35	285	10	0	20	0	0	0	86
339.22213-BOE Enforcement	152	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	152
339.22214-Fireworks Reven	486	0	220	0	0	0	220	0	0	0	0	0	0	0	0	0	706
339.22215-Delivery Transf	84	0	1,000	0	0	0	1,000	0	0	1,000	0	0	0	0	0	0	84

**CASH COMBINING STATEMENT
CAPITAL PROJECTS FUNDS
FY 2018**
(thousands of dollars)

	STATE CAPITAL PROJECTS (30000-30049)	DEDICATED HIGHWAY AND BRIDGE TRUST (30050-30099)	STATE UNIVERSITY RESIDENCE HALLS REHABILITATION AND REPAIR (30100-30299)	NEW YORK STATE CANAL SYSTEM DEVELOPMENT (30300-30349)	STATE PARK INFRASTRUCTURE (30350-30399)	PASSENGER FACILITY CHARGE (30400-30449)	ENVIRONMENTAL PROTECTION (30450-30499)	CONSERVATION THROUGH IMPROVED TRANSPORTATION BOND (30600-30609)	PURE WATERS BOND (30620-30629)	TRANSPORTATION CAPITAL FACILITIES BOND (30630-30639)
Opening Fund Balance	0	(31,050)	144,047	6,242	(84,302)	14	147,158	164	668	3,328
Receipts:										
Taxes	0	1,214,100	0	0	0	0	119,100	0	0	0
Miscellaneous Receipts	4,895,532	1,378,790	90	2,328	123,265	0	34,650	0	0	0
Federal Grants	0	4,892	0	0	0	0	0	0	0	0
Total Receipts	4,895,532	2,597,782	90	2,328	123,265	0	153,750	0	0	0
Disbursements:										
Local Assistance	3,488,548	78,757	0	0	0	0	2,033	0	0	0
State Operations	0	0	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	2,300,786	2,206,342	50,000	2,328	123,100	0	215,242	0	0	0
Total Disbursements	5,789,334	2,285,099	50,000	2,328	123,100	0	217,275	0	0	0
Other Financing Sources (Uses):										
Transfers from Other Funds	896,093	1,047,496	50,000	0	0	0	28,000	0	0	0
Transfers to Other Funds	(2,325)	(1,360,408)	(2,500)	0	0	0	0	(25)	(200)	(25)
Bond & Note Proceeds	0	0	0	0	0	0	0	25	200	25
Net Other Financing Sources (Uses)	893,768	(312,912)	47,500	0	0	0	28,000	0	0	0
Change in Fund Balance	(34)	(229)	(2,410)	0	165	0	(35,525)	0	0	0
Closing Fund Balance	(34)	(31,279)	141,637	6,242	(84,137)	14	111,633	164	668	3,328

	ENVIRONMENTAL PROTECTION BOND ACT (1972) (30640-30649)	REBUILD & RENEW NY TRANSPORTATION BOND (30650-30659)	TRANSPORTATION INFRASTRUCTURE RENEWAL BOND (30660-30669)	ENVIRONMENTAL QUALITY BOND ACT (1986) (30670-30679)	ACCELERATED CAPACITY AND TRANSPORTATION IMPROVEMENTS BOND (30680-30689)	CLEAN WATER/CLEAN AIR BOND (30690-30699)	FEDERAL CAPITAL PROJECTS (31350-31449)	FOREST PRESERVE EXPANSION (31450-31499)	HAZARDOUS WASTE REMEDIAL (31500-31549)	SUBURBAN TRANSPORTATION (31650-31699)
Opening Fund Balance	1,451	21,606	4,255	5,576	2,778	1,428	(569,605)	907	(156,030)	510
Receipts:										
Taxes	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	0	0	0	0	0	0	0	0	0	0
Federal Grants	0	0	0	0	0	0	717	10	103,250	0
Total Receipts	0	0	0	0	0	0	2,264,731	10	103,250	0
Disbursements:										
Local Assistance	0	0	0	0	0	0	2,265,448	10	103,250	0
State Operations	0	0	0	0	0	0	705,981	0	15	0
General State Charges	0	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	1,166,311	10	104,882	0
Total Disbursements	0	0	0	0	0	0	1,872,292	10	104,897	0
Other Financing Sources (Uses):										
Transfers from Other Funds	0	0	0	0	0	0	0	0	15,500	0
Transfers to Other Funds	(750)	(407,312)	(25)	(2,260)	(25)	(4,000)	(372,379)	0	(25,200)	0
Bond & Note Proceeds	750	407,312	25	4,260	25	15,000	(372,379)	0	0	0
Net Other Financing Sources (Uses)	0	0	0	2,000	0	11,000	(372,379)	0	(9,700)	0
Change in Fund Balance	0	0	0	2,000	0	11,000	20,777	0	(11,347)	0
Closing Fund Balance	1,451	21,606	4,255	7,576	2,778	12,428	(548,828)	907	(167,377)	510

**CASH COMBINING STATEMENT
CAPITAL PROJECTS FUNDS
FY 2018**
(thousands of dollars)

	DIVISION FOR YOUTH FACILITIES IMPROVEMENT (31700-31749)	HOUSING ASSISTANCE (31800-31849)	HOUSING PROGRAM (31850-31899)	NATURAL RESOURCE DAMAGES (31900-31949)	TRANSPORTATION ENGINEERING SERVICES (31950-31999)	STATE UNIVERSITY CAPITAL PROJECTS (32400-32999)	MISCELLANEOUS CAPITAL PROJECTS (32200-32499)	CITY UNIVERSITY OF NEW YORK CAPITAL PROJECTS (32250-32999)	MENTAL HYGIENE FACILITIES CAPITAL IMPROVEMENT (32300-32499)	CORRECTIONAL FACILITIES CAPITAL IMPROVEMENT (32350-32999)
Opening Fund Balance	(15,112)	(13,631)	(125,086)	19,172	(12,616)	184,346	45,635	(24)	(481,743)	(186,714)
Receipts:										
Taxes	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	59,031	0	167,652	1,000	0	110,000	28,870	0	223,890	264,564
Federal Grants	0	0	0	0	0	0	0	0	0	0
Total Receipts	59,031	0	167,652	1,000	0	110,000	28,870	0	223,890	264,564
Disbursements:										
Local Assistance	0	0	168,227	47	0	0	0	0	122,567	0
State Operations	0	0	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	59,031	0	0	970	0	110,000	64,750	0	106,573	363,322
Total Disbursements	59,031	0	168,227	1,017	0	110,000	64,750	0	229,140	363,322
Other Financing Sources (Uses):										
Transfers from Other Funds	0	0	575	0	0	27,500	25,000	0	5,250	98,758
Transfers to Other Funds	0	0	0	0	0	0	0	0	0	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	0	0	575	0	0	27,500	25,000	0	5,250	98,758
Change in Fund Balance	(15,112)	(13,631)	(125,086)	19,155	(12,616)	211,846	34,755	(24)	(481,743)	(186,714)
Closing Fund Balance	0	0	0	0	0	0	0	0	0	0

	SMART SCHOOLS BOND FUND (30710-30719)	NEW YORK STATE STORM RECOVERY (33000-33049)	DEDICATED INFRASTRUCTURE INVESTMENT (33050-33051)	CAPITAL PROJECTS OTHER	Sub Total	Eliminations	Financial Plan
Opening Fund Balance	0	(56,524)	82,648	0	(1,060,504)	0	(1,060,504)
Receipts:							
Taxes	0	0	0	0	1,333,200	0	1,333,200
Miscellaneous Receipts	0	18,181	0	1	7,411,821	0	7,411,821
Federal Grants	0	0	0	0	2,269,623	0	2,269,623
Total Receipts	0	18,181	0	1	11,014,644	0	11,014,644
Disbursements:							
Local Assistance	0	0	265,000	0	4,831,175	0	4,831,175
State Operations	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0
Debt Service	0	18,181	1,297,471	0	8,189,299	0	8,189,299
Capital Projects	0	18,181	1,562,471	0	13,020,474	0	13,020,474
Total Disbursements	0	36,362	2,864,942	0	18,921,149	0	18,921,149
Other Financing Sources (Uses):							
Transfers from Other Funds	(375,000)	0	1,562,471	0	3,756,643	(1,154,648)	2,601,995
Transfers to Other Funds	360,000	0	0	0	(2,552,434)	1,154,648	(1,397,786)
Bond & Note Proceeds	0	0	0	0	787,622	0	787,622
Net Other Financing Sources (Uses)	(15,000)	0	1,562,471	0	1,991,831	0	1,991,831
Change in Fund Balance	(15,000)	(18,381)	1,562,471	1	(15,999)	0	(15,999)
Closing Fund Balance	0	(74,905)	0	1	(1,074,503)	0	(1,074,503)

CASH COMBINING STATEMENT
DEBT SERVICE
FY 2018

	MENTAL HEALTH SERVICES (40100-40149)	GENERAL DEBT SERVICE (40150-40199)	HOUSING DEBT (40250-40299)	DEPARTMENT OF HEALTH INCOME (40300-40349)	CLEAN WATER/CLEAN AIR (40400-40449)	GOVERNMENT ASSISTANCE TAX (40450-40499)	LOCAL	Eliminations	Financial Plan
							Sub Total		
Opening Fund Balance	116,565	0	0	27,794	0	0	144,359	0	144,359
Receipts:									
Taxes	0	15,549,982	0	0	1,076,900	3,391,750	20,018,632	0	20,018,632
Miscellaneous Receipts	310,406	0	4,715	143,702	0	500	459,323	0	459,323
Federal Grants	0	73,364	0	0	0	0	73,364	0	73,364
Total Receipts	310,406	15,623,346	4,715	143,702	1,076,900	3,392,250	20,551,319	0	20,551,319
Disbursements:									
Local Assistance	0	0	0	0	0	0	0	0	0
State Operations	2,778	28,919	0	2,246	0	2,909	36,852	0	36,852
General State Charges	0	0	0	0	0	0	0	0	0
Debt Service	171,014	4,797,264	5,715	26,555	0	287,737	5,288,285	0	5,288,285
Capital Projects	0	0	0	0	0	0	0	0	0
Total Disbursements	173,792	4,826,183	5,715	28,801	0	290,646	5,325,137	0	5,325,137
Other Financing Sources (Uses):									
Transfers from Other Funds	1,345,260	2,621,680	1,000	42,069	0	0	4,010,009	(314,802)	3,695,207
Transfers to Other Funds	(1,481,874)	(13,418,846)	0	(154,028)	(1,076,900)	(3,101,504)	(19,233,152)	314,802	(18,918,350)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	(136,614)	(10,797,166)	1,000	(111,959)	(1,076,900)	(3,101,504)	(15,223,143)	0	(15,223,143)
Change in Fund Balance	0	(3)	0	2,942	0	100	3,039	0	3,039
Closing Fund Balance	116,565	(3)	0	30,736	0	100	147,398	0	147,398

**CASH COMBINING STATEMENT BY ACCOUNT
INTERNAL SERVICE
FY 2018**
(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
323.55009-Admin Support	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
323.55010-Design & Constr	(903)	0	63,652	0	0	0	63,652	0	29,251	15,423	772	0	17,984	0	0	1,866	65,296	(2,547)
323.55020-OGS Ent Contr	(60,853)	0	200,000	0	0	0	200,000	0	600	199,074	16	0	369	0	0	0	200,059	(60,912)
323.55022-Business Svc Ct	882	0	13,169	0	0	0	13,169	0	5,686	5,000	150	0	3,496	0	0	0	14,332	(281)
323.550ML-Broome St Mastie	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
323.550XX-Misc Centrl Svc	107	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	107
323.5502X-OGS Exec Direct	(18,837)	0	142,837	0	0	21,783	164,620	0	4,447	129,208	117	0	2,734	0	0	28,268	164,774	(18,991)
323.5502Y-OGS Bldg Admin	2,052	0	26,429	0	0	0	26,429	0	2,231	19,876	59	0	1,372	0	0	0	23,538	4,943
323.5502Z-OGS Sld & Purch	(1,419)	0	11,954	0	0	0	11,954	0	3,211	5,430	85	0	1,974	0	0	0	10,700	(165)
334.55050-Agencies Int Sv	15	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	15
334.55052-Archives R	76	0	1,627	0	0	0	1,627	0	920	114	27	0	477	0	0	0	1,538	165
334.55053-Fedl Single Aud	2,444	0	1,500	0	0	0	1,500	0	0	1,500	0	0	0	0	0	0	1,500	2,444
334.55054-Quick Copy Cent	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
334.55055-CS Administrat	2,017	0	5,963	0	0	0	5,963	0	2,772	500	84	0	1,704	0	0	1,651	6,711	1,269
334.55056-EHS Occup Hlth	31	0	870	0	0	0	870	0	631	507	18	0	388	0	0	0	1,544	(643)
334.55057-Banking Service	(8)	0	500	0	0	53,435	53,935	0	0	50,610	0	0	0	0	0	0	50,610	3,317
334.55058-Cult Resources	(4,654)	0	7,329	0	0	0	7,329	0	1,429	4,082	42	0	822	0	0	284	6,659	(3,984)
334.55059-Neighbor Work P	(9,717)	0	8,200	0	0	1,000	9,200	0	0	9,200	0	0	0	0	0	0	9,200	(9,717)
334.55060-Auto/Print Chgb	1,438	0	17,613	0	0	0	17,613	0	8,419	4,345	0	0	4,838	0	0	0	17,602	1,449
334.55061-NYF Account	(3,291)	0	9,800	0	0	0	9,800	0	0	9,800	0	0	0	0	0	0	9,800	(3,291)
334.55062-State Data Ctr	(54,400)	0	1,000	0	0	0	1,000	0	0	1,000	0	0	0	0	0	0	1,000	(54,400)
334.55063-Human Svcs Tele	12,449	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	12,449
334.55065-OPWDD Copy Cent	717	0	150	0	0	0	150	0	0	150	0	0	0	0	0	0	150	717
334.55066-Intrusion Detecc	(1,244)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1,244)
334.55067-Dom Violence Cr	(104)	0	807	0	0	0	807	0	703	101	3	0	0	0	0	0	807	(104)
334.55068-Statewide Train	106	0	0	0	0	0	0	0	0	150	0	0	0	0	0	0	150	(44)
334.55069-Centralized Tec	(14,801)	0	30,000	0	0	13,080	43,080	0	0	30,000	0	0	908	0	0	0	30,908	(2,649)
334.55070-Learning Mgmt S	1,769	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,769
334.55071-Labor Cont Ctr	(19)	0	2,021	0	0	0	2,021	0	1,055	164	27	0	654	0	0	0	1,900	102
334.55072-HS Cont Ctr	(139)	0	17,717	0	0	0	17,717	0	8,815	3,127	268	0	3,018	0	0	0	15,228	2,350
334.550CR-Civil Recover	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
334.550MI-Personnel Mgmt	29	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	29
334.550PF-Public Finanong	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
334.550XZ-Misc Intl Serv	(116)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(116)
343.55100-Mental Hygiene	308	0	1,600	0	0	0	1,600	0	652	500	17	0	401	0	0	0	1,570	338
347.55150-DFY Voc Educatn	57	0	25	0	0	0	25	0	0	6	0	0	0	0	0	0	6	76
394.55200-Joint Labor-Mgt	1,467	0	2,000	0	0	0	2,000	0	912	406	30	0	322	0	0	0	1,670	1,797
395.55251-Ex Dir Intl Aud	(5,008)	0	1,950	0	0	0	1,950	0	2,290	577	541	40	756	0	0	0	4,204	(7,262)
395.55252-CIO INFO TECH C	(10,625)	0	17,000	0	0	0	17,000	0	5,100	12,700	71	0	6,561	0	0	0	24,432	(18,057)
396.55300-Health Insuranc	(8,177)	0	14,121	0	0	8,083	22,204	0	9,150	1,700	270	0	5,625	0	0	3,428	20,173	(6,146)
396.55301-CS EBD Adm Reim	(9,360)	0	4,500	0	0	0	4,500	0	1,850	335	56	0	1,137	0	0	639	4,017	(8,877)
397.55350-Correctional In	(22,664)	0	49,000	0	0	12,000	61,000	0	17,828	35,930	535	0	12,011	0	0	357	66,661	(28,325)

**CASH COMBINING STATEMENT BY ACCOUNT
ENTERPRISE
FY 2018**
(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
324.50000-DPY Commissary	144	0	120	0	0	0	120	0	0	120	0	0	0	0	0	0	120	144
325.50050-State Fair Rece	886	0	14,300	0	0	0	14,300	0	4,048	8,496	107	0	2,489	0	0	0	15,140	46
326.50100-DOCS Commissary	3,229	0	39,262	0	0	0	39,262	0	0	39,180	0	0	0	0	0	0	39,180	3,311
331.50301-Mental Disab Pr	17	0	7	0	0	0	7	0	0	7	0	0	0	0	0	0	7	17
331.50302-DFY Products	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
331.50303-Aging Enterpris	0	0	2	0	0	0	2	0	0	2	0	0	0	0	0	0	2	0
331.50304-Maps And Demogr	13	0	1	0	0	0	1	0	0	0	0	0	0	0	0	0	0	14
331.50305-Empire St Games	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
331.50306-DSS Trng Matrls	172	0	24	0	0	0	24	0	0	0	0	0	0	0	0	0	0	196
331.50311-Arts & Crafts	1	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	1
331.50313-TRAID Services	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
331.50318-Convention Ctr	29	0	1,222	0	0	0	1,222	0	609	166	16	0	426	0	0	0	1,217	34
331.50319-Attica Emp Mess	1,297	0	1,256	0	0	0	1,256	0	282	803	10	0	167	0	0	0	1,262	1,291
331.50322-Asset Preservat	84	0	14	0	0	0	14	0	0	23	0	0	0	0	0	0	23	75
331.50323-Farm Program	798	0	629	0	0	0	629	0	123	465	2	0	63	0	0	0	643	784
331.50327-Emp Plz Gift Sh	(72)	0	300	0	0	0	300	0	105	131	3	0	65	0	0	0	304	(76)
331.5032Z-DDPC Pub Acct	0	0	10	0	0	0	10	0	0	10	0	0	0	0	0	0	10	0
331.ARMRT-Armory Rental	0	0	0	0	0	0	0	0	659	691	18	0	66	0	0	0	1,434	(1,434)
331.OGSPS-Parking Servs	0	0	8,092	0	0	0	8,092	0	2,900	3,612	77	0	1,783	0	0	1,000	9,372	(1,280)
331.OGSSSE-Special Events	0	0	874	0	0	0	874	0	28	833	1	0	17	0	0	0	879	(5)
331.OGSSW-Solid Waste	0	0	105	0	0	0	105	0	100	5	3	0	61	0	0	0	169	(64)
351.50400-OMH Sheit Wkshs	2,175	0	2,200	0	0	0	2,200	0	0	2,200	0	0	0	0	0	0	2,200	2,175
352.50450-MR Shel Wkshp	1,793	0	950	0	0	0	950	0	0	1,050	0	0	0	0	0	0	1,050	1,693
353.50500-MH & MR Communi	4,152	0	2,200	0	0	0	2,200	0	383	1,172	10	0	223	0	0	0	1,788	4,564
353.50516-MR Community St	145	0	551	0	0	0	551	0	219	326	9	0	0	0	0	0	554	142
450.2595F-IEA / State Fai	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
481.50650-U / Benefit Fnd	863,566	2,450,000	0	50,000	0	0	2,500,000	0	0	0	0	2,500,000	0	0	0	0	2,500,000	863,566
481.50651-Interest Assess	5,147	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5,147
481.506FS-Federal Stimulu	(860,933)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(860,933)

STATE DEBT OUTSTANDING
SUMMARIZED BY FINANCING PROGRAM AND PROGRAM AREA
FY 2017 THROUGH FY 2022
(thousands of dollars)

	<u>FY 2017</u>	<u>FY 2018</u>	<u>FY 2019</u>	<u>FY 2020</u>	<u>FY 2021</u>	<u>FY 2022</u>
GENERAL OBLIGATION BONDS	<u>2,462,615</u>	<u>3,222,652</u>	<u>3,459,417</u>	<u>3,592,779</u>	<u>3,633,861</u>	<u>3,564,772</u>
REVENUE BONDS						
Personal Income Tax	31,782,820	34,423,122	37,403,532	40,220,741	42,108,924	43,682,754
Sales Tax	5,008,165	6,047,205	6,965,004	8,021,343	8,889,311	9,912,234
Dedicated Highway	2,264,035	1,622,115	1,495,450	1,325,520	918,335	838,250
Mental Health Services	754,125	598,645	486,965	393,605	309,865	248,930
SUNY Dorms	649,780	393,740	367,930	293,355	157,300	7,050
Health Income	184,120	165,850	147,680	128,610	108,620	88,320
LGAC	<u>1,758,175</u>	<u>1,446,980</u>	<u>1,241,285</u>	<u>900,205</u>	<u>543,270</u>	<u>303,265</u>
Subtotal Revenue Bonds	<u>42,401,220</u>	<u>44,697,657</u>	<u>48,107,847</u>	<u>51,283,378</u>	<u>53,035,625</u>	<u>55,080,804</u>
SERVICE CONTRACT	<u>4,757,704</u>	<u>3,888,172</u>	<u>3,213,793</u>	<u>2,702,770</u>	<u>2,151,053</u>	<u>1,790,451</u>
TOTAL STATE-SUPPORTED	<u>49,621,539</u>	<u>51,808,482</u>	<u>54,781,057</u>	<u>57,578,927</u>	<u>58,820,539</u>	<u>60,436,027</u>
BY PROGRAM AREA						
Economic Development & Housing	5,576,723	5,582,863	6,064,252	6,608,471	7,139,618	7,714,421
Education	16,362,178	17,303,232	18,188,269	18,956,176	19,412,873	19,660,989
Environment	2,292,323	2,661,724	3,041,405	3,436,790	3,683,548	3,875,190
Health & Mental Hygiene	4,119,770	4,476,666	4,909,140	5,392,203	5,737,710	6,106,842
State Facilities & Equipment	5,320,448	5,211,332	5,313,086	5,402,251	5,324,611	5,350,954
Transportation	14,191,922	15,125,684	16,023,620	16,882,831	16,978,909	17,424,367
LGAC	<u>1,758,175</u>	<u>1,446,980</u>	<u>1,241,285</u>	<u>900,205</u>	<u>543,270</u>	<u>303,265</u>
TOTAL STATE-SUPPORTED	<u>49,621,539</u>	<u>51,808,482</u>	<u>54,781,057</u>	<u>57,578,928</u>	<u>58,820,539</u>	<u>60,436,027</u>

STATE DEBT OUTSTANDING
FY 2017 THROUGH FY 2022
(thousands of dollars)

	<u>FY 2017</u>	<u>FY 2018</u>	<u>FY 2019</u>	<u>FY 2020</u>	<u>FY 2021</u>	<u>FY 2022</u>
SUBTOTAL STATE-SUPPORTED	<u>49,621,539</u>	<u>51,808,482</u>	<u>54,781,057</u>	<u>57,578,927</u>	<u>58,820,539</u>	<u>60,436,027</u>
OTHER STATE DEBT OBLIGATIONS						
Contingent Contractual						
DASNY/MCFFA Secured Hospitals Program	220,040	193,190	165,020	135,480	104,395	77,635
Tobacco Settlement Financing Corp.	659,865	0	0	0	0	0
Moral Obligation						
Housing Finance Agency	1,400	800	155	0	0	0
State Guaranteed Debt						
Job Development Authority	3,085	0	0	0	0	0
Other						
MBBA Prior Year School Aid Claims	203,375	171,605	138,605	104,165	67,985	30,000
SUBTOTAL OTHER STATE	<u>1,087,765</u>	<u>365,595</u>	<u>303,780</u>	<u>239,645</u>	<u>172,381</u>	<u>107,635</u>
GRAND TOTAL STATE-RELATED	<u>50,709,304</u>	<u>52,174,077</u>	<u>55,084,837</u>	<u>57,818,572</u>	<u>58,992,920</u>	<u>60,543,662</u>

STATE DEBT SERVICE
SUMMARIZED BY FINANCING PROGRAM AND PROGRAM AREA
FY 2017 THROUGH FY 2022
(thousands of dollars)

	<u>FY 2017</u>	<u>FY 2018</u>	<u>FY 2019</u>	<u>FY 2020</u>	<u>FY 2021</u>	<u>FY 2022</u>
GENERAL OBLIGATION BONDS	<u>379,986</u>	<u>334,844</u>	<u>384,890</u>	<u>408,326</u>	<u>424,798</u>	<u>445,592</u>
REVENUE BONDS						
Personal Income Tax	2,990,728	2,844,155	3,501,211	4,165,291	4,648,462	4,896,331
Sales Tax	569,097	629,901	739,822	695,019	988,220	931,277
Dedicated Highway	251,147	249,128	246,673	475,715	127,291	108,032
Mental Health Services	192,864	171,014	138,548	115,160	101,016	74,165
Health Income	25,572	26,555	26,166	26,158	25,821	24,799
LGAC	<u>368,408</u>	<u>287,737</u>	<u>394,328</u>	<u>394,312</u>	<u>261,557</u>	<u>136,719</u>
Subtotal Revenue Bonds	<u>4,397,816</u>	<u>4,208,490</u>	<u>5,046,749</u>	<u>5,871,655</u>	<u>6,152,367</u>	<u>6,171,323</u>
SERVICE CONTRACT	<u>706,429</u>	<u>730,659</u>	<u>850,493</u>	<u>695,549</u>	<u>665,495</u>	<u>494,796</u>
TOTAL STATE-SUPPORTED	<u>5,484,231</u>	<u>5,273,993</u>	<u>6,282,131</u>	<u>6,975,529</u>	<u>7,242,659</u>	<u>7,111,711</u>
BY PROGRAM AREA						
Economic Development & Housing	763,322	795,661	1,044,054	1,077,789	997,710	947,752
Education	1,324,049	1,274,980	1,522,705	1,709,932	1,841,663	2,013,912
Environment	272,307	235,830	333,747	369,052	481,794	533,365
Health & Mental Hygiene	494,540	429,011	605,210	623,714	711,533	686,074
State Facilities & Equipment	594,464	573,450	616,034	656,498	760,760	660,060
Transportation	1,667,141	1,677,324	1,766,052	2,144,234	2,187,640	2,133,828
LGAC	<u>368,408</u>	<u>287,737</u>	<u>394,328</u>	<u>394,312</u>	<u>261,557</u>	<u>136,719</u>
TOTAL STATE-SUPPORTED	<u>5,484,231</u>	<u>5,273,993</u>	<u>6,282,131</u>	<u>6,975,529</u>	<u>7,242,659</u>	<u>7,111,711</u>

STATE DEBT SERVICE
FY 2017 THROUGH FY 2022
(thousands of dollars)

	<u>FY 2017</u>	<u>FY 2018</u>	<u>FY 2019</u>	<u>FY 2020</u>	<u>FY 2021</u>	<u>FY 2022</u>
SUBTOTAL STATE-SUPPORTED	<u>5,484,231</u>	<u>5,273,993</u>	<u>6,282,131</u>	<u>6,975,529</u>	<u>7,242,659</u>	<u>7,111,711</u>
OTHER STATE DEBT OBLIGATIONS						
Contigent Contractual						
DASNY/MCFFA Secured Hospitals Program	49,217	37,845	37,853	37,844	37,859	31,857
Tobacco Settlement Financing Corp.	768,365	676,288	0	0	0	0
Moral Obligation						
Housing Finance Agency	695	700	697	161	0	0
State Guaranteed Debt						
Job Development Authority	3,416	3,252	0	0	0	0
Other						
MBBA Prior Year School Aid Claims	40,966	40,986	40,964	41,204	41,263	41,265
SUBTOTAL OTHER STATE	<u>862,660</u>	<u>759,071</u>	<u>79,513</u>	<u>79,209</u>	<u>79,122</u>	<u>73,122</u>
GRAND TOTAL STATE-RELATED	<u>6,346,891</u>	<u>6,033,064</u>	<u>6,361,645</u>	<u>7,054,739</u>	<u>7,321,782</u>	<u>7,184,832</u>

STATE DEBT ISSUANCES
SUMMARIZED BY FINANCING PROGRAM AND PROGRAM AREA
FY 2017 THROUGH FY 2022
(thousands of dollars)

	<u>FY 2017</u>	<u>FY 2018</u>	<u>FY 2019</u>	<u>FY 2020</u>	<u>FY 2021</u>	<u>FY 2022</u>
GENERAL OBLIGATION BONDS	<u>0</u>	<u>989,622</u>	<u>479,771</u>	<u>385,106</u>	<u>299,218</u>	<u>204,825</u>
REVENUE BONDS						
Personal Income Tax	1,977,810	3,821,012	4,756,519	5,040,640	4,399,444	4,219,288
Sales Tax	<u>1,089,370</u>	<u>1,380,550</u>	<u>1,326,720</u>	<u>1,366,521</u>	<u>1,407,517</u>	<u>1,449,742</u>
Subtotal Revenue Bonds	<u>3,067,180</u>	<u>5,201,562</u>	<u>6,083,239</u>	<u>6,407,162</u>	<u>5,806,961</u>	<u>5,669,030</u>
TOTAL STATE-SUPPORTED	<u>3,067,180</u>	<u>6,191,184</u>	<u>6,563,010</u>	<u>6,792,268</u>	<u>6,106,179</u>	<u>5,873,855</u>
BY PROGRAM AREA						
Economic Development & Housing	1,140,830	769,581	1,270,620	1,315,005	1,182,176	1,137,198
Education	259,550	1,872,319	1,547,938	1,602,011	1,440,191	1,385,396
Environment	176,190	565,535	585,964	606,433	545,177	524,435
Health & Mental Hygiene	247,815	699,141	810,249	838,552	753,850	725,168
State Facilities & Equipment	202,245	248,920	455,098	470,995	423,420	407,310
Transportation	1,040,550	2,035,688	1,893,141	1,959,272	1,761,365	1,694,350
SUBTOTAL STATE-SUPPORTED	<u>3,067,180</u>	<u>6,191,184</u>	<u>6,563,010</u>	<u>6,792,268</u>	<u>6,106,179</u>	<u>5,873,855</u>

STATE DEBT RETIREMENTS
SUMMARIZED BY FINANCING PROGRAM AND PROGRAM AREA
FY 2017 THROUGH FY 2022
(thousands of dollars)

	<u>FY 2017</u>	<u>FY 2018</u>	<u>FY 2019</u>	<u>FY 2020</u>	<u>FY 2021</u>	<u>FY 2022</u>
GENERAL OBLIGATION BONDS	<u>264,845</u>	<u>229,585</u>	<u>243,006</u>	<u>251,745</u>	<u>258,135</u>	<u>273,914</u>
REVENUE BONDS						
Personal Income Tax	1,502,070	1,508,935	1,776,110	2,223,432	2,511,261	2,645,458
Sales Tax	335,225	341,510	408,921	310,183	539,549	426,819
Dedicated Highway	187,040	137,850	126,665	169,930	407,185	80,085
Mental Health Services	147,140	137,425	111,680	93,360	83,740	60,935
SUNY Dorms	32,395	29,835	25,810	21,935	19,095	15,885
Health Income	17,570	18,270	18,170	19,070	19,990	20,300
LGAC	<u>300,225</u>	<u>292,495</u>	<u>205,695</u>	<u>341,080</u>	<u>356,935</u>	<u>240,005</u>
Subtotal Revenue Bonds	<u>2,521,665</u>	<u>2,466,320</u>	<u>2,673,050</u>	<u>3,178,990</u>	<u>3,937,754</u>	<u>3,489,487</u>
SERVICE CONTRACT	<u>711,932</u>	<u>832,532</u>	<u>674,379</u>	<u>511,023</u>	<u>551,717</u>	<u>360,601</u>
TOTAL STATE-SUPPORTED	<u>3,498,442</u>	<u>3,528,437</u>	<u>3,590,435</u>	<u>3,941,758</u>	<u>4,747,607</u>	<u>4,124,002</u>
BY PROGRAM AREA						
Economic Development & Housing	633,168	735,611	789,230	770,786	651,030	562,395
Education	586,686	626,475	662,902	781,464	866,535	1,002,915
Environment	198,494	192,264	206,284	211,047	298,419	332,793
Health & Mental Hygiene	334,070	324,160	377,775	355,490	408,342	356,036
State Facilities & Equipment	347,047	358,011	353,345	381,830	501,060	380,966
Transportation	1,098,751	999,421	995,205	1,100,061	1,665,287	1,248,893
LGAC	<u>300,225</u>	<u>292,495</u>	<u>205,695</u>	<u>341,080</u>	<u>356,935</u>	<u>240,005</u>
TOTAL STATE-SUPPORTED	<u>3,498,442</u>	<u>3,528,437</u>	<u>3,590,435</u>	<u>3,941,758</u>	<u>4,747,607</u>	<u>4,124,002</u>

STATE DEBT RETIREMENTS
FY 2017 THROUGH FY 2022
(thousands of dollars)

	<u>FY 2017</u>	<u>FY 2018</u>	<u>FY 2019</u>	<u>FY 2020</u>	<u>FY 2021</u>	<u>FY 2022</u>
SUBTOTAL STATE-SUPPORTED	<u>3,498,442</u>	<u>3,528,437</u>	<u>3,590,435</u>	<u>3,941,758</u>	<u>4,747,607</u>	<u>4,124,002</u>
OTHER STATE DEBT OBLIGATIONS						
Contingent Contractual						
DASNY/MCFFA Secured Hospitals Program	36,485	26,850	28,170	29,540	31,085	26,760
Tobacco Settlement Financing Corp.	717,770	659,865	0	0	0	0
Moral Obligation						
Housing Finance Agency	550	600	645	155	0	0
State Guaranteed Debt						
Job Development Authority	3,085	3,085	0	0	0	0
Other						
MBBA Prior Year School Aid Claims	30,295	31,770	33,000	34,440	36,180	37,985
SUBTOTAL OTHER STATE	<u>788,185</u>	<u>722,170</u>	<u>61,815</u>	<u>64,135</u>	<u>67,266</u>	<u>64,745</u>
GRAND TOTAL STATE-RELATED	<u>4,286,627</u>	<u>4,250,607</u>	<u>3,652,250</u>	<u>4,005,893</u>	<u>4,814,873</u>	<u>4,188,747</u>

STATE OF NEW YORK LIST OF JOINT CUSTODY FUNDS - CLASSIFIED BY OSC			APPENDIX
SFS FUND RANGE	CAS FUND NUMBER	FUND NAME	FUND CLASSIFICATION
10000-10049	001	Local Assistance Account	General
10050-10099	003	State Operations Account	General
10100-10149	004	Tax Stabilization Reserve Account	General
10150-10199	005	Contingency Reserve Fund	General
10200-10249	006	Universal Pre-Kindergarten Reserve	General
10250-10299	007	Community Projects Fund	General
10300-10349	008	Rainy Day Reserve Fund	General
10400-10449	017	Refund Reserve Account	General
10450-10499	100	General Fund	General
10500-10549	166	Fringe Benefit Escrow Account	General
10550-10599	348	Tobacco Revenue Guarantee Fund	General
20000-20099	019	Mental Health Gifts and Donations Fund	Special Revenue
20100-20299	020	Combined Expendable Trust Fund	Special Revenue
20300-20349	023	New York Interest on Lawyer Account Fund	Special Revenue
20350-20399	024	NYS Archives Partnership Trust Fund	Special Revenue
20400-20449	025	Child Performer's Protection Fund	Special Revenue
20450-20499	050	Tuition Reimbursement Fund	Special Revenue
20500-20549	052	NYS Local Government Records Management Improvement Fund	Special Revenue
20550-20599	053	School Tax Relief Fund	Special Revenue
20600-20649	054	Charter Schools Stimulus Fund	Special Revenue
20650-20699	055	Not-For-Profit Short-Term Revolving Loan Fund	Special Revenue
20800-20849	061	Health Care Reform Act (HCRA) Resources Fund	Special Revenue
20850-20899	073	Dedicated Mass Transportation Trust Fund	Special Revenue
20900-20949	160	State Lottery Fund	Special Revenue
20950-20999	221	Combined Student Loan Fund	Special Revenue
21000-21049	300	Sewage Treatment Program Management and Administration Fund	Special Revenue
21050-21149	301	Environmental Conservation Special Revenue Fund	Special Revenue
21150-21199	302	Conservation Fund	Special Revenue
21200-21249	303	Environmental Protection and Oil Spill Compensation Fund	Special Revenue
21250-21299	305	Training and Education Program on Occupational Safety and Health Fund	Special Revenue
21300-21349	306	Lawyers' Fund For Client Protection	Special Revenue
21350-21399	307	Equipment Loan Fund for the Disabled	Special Revenue
21400-21449	313	Mass Transportation Operating Assistance Fund	Special Revenue
21450-21499	314	Clean Air Fund	Special Revenue
21500-21549	318	New York State Infrastructure Trust Fund	Special Revenue
21550-21599	321	Legislative Computer Services Fund	Special Revenue
21600-21649	328	Biodiversity Stewardship and Research Fund	Special Revenue
21650-21699	332	Combined Non-Expendable Trust Fund	Special Revenue
21700-21749	333	Winter Sports Education Trust Fund	Special Revenue
21750-21799	335	Musical Instrument Revolving Fund	Special Revenue
21850-21899	338	Arts Capital Revolving Fund	Special Revenue
21900-22499	339	Miscellaneous Special Revenue Account	Special Revenue
22500-22549	340	Court Facilities Incentive Aid Fund	Special Revenue
22550-22599	341	Employment Training Fund	Special Revenue
22650-22699	345	State University Income Fund	Special Revenue
22700-22749	346	Chemical Dependence Service Fund	Special Revenue
22750-22799	349	Lake George Park Trust Fund	Special Revenue
22800-22849	354	State Police Motor Vehicle Law Enforcement and Motor Vehicle Theft and Insurance Fraud Prevention Fund	Special Revenue
22850-22899	355	New York Great Lakes Protection Fund	Special Revenue
22900-22949	359	Federal Revenue Maximization Fund	Special Revenue
22950-22999	360	Housing Development Fund	Special Revenue
23000-23049	362	NYS DOT Highway Safety Program Fund	Special Revenue
23050-23099	365	Vocational Rehabilitation Fund	Special Revenue
23100-23149	366	Drinking Water Program Management and Administration Fund	Special Revenue
23150-23199	368	New York City County Clerks' Operations Offset Fund	Special Revenue
23200-23249	369	Judiciary Data Processing Offset Fund	Special Revenue
23250-23449	377	IFR/City University Tuition Fund	Special Revenue
23500-23549	385	US Olympic Committee/Lake Placid Olympic Training Fund	Special Revenue
23550-23599	390	Indigent Legal Services Fund	Special Revenue
23600-23649	482	Unemployment Insurance Interest and Penalty Fund	Special Revenue
23650-23699	225	Metropolitan Transportation Authority (MTA) Financial Assistance Fund	Special Revenue
23700-23749		New York State Commercial Gaming Fund	Special Revenue
23750-23799		Medical Marihuana Trust Fund	Special Revenue
23800-23899		Dedicated Miscellaneous Special Revenue Account	Special Revenue

STATE OF NEW YORK LIST OF JOINT CUSTODY FUNDS - CLASSIFIED BY OSC			APPENDIX
SFS FUND RANGE	CAS FUND NUMBER	FUND NAME	FUND CLASSIFICATION
25000-25099	261	Federal USDA-Food and Nutrition Services Fund	Special Revenue
25100-25199	265	Federal Health and Human Services Fund	Special Revenue
25200-25249	267	Federal Education Fund	Special Revenue
25300-25899	290	Federal Miscellaneous Operating Grants Fund	Special Revenue
25900-25949	480	Federal Unemployment Insurance Administration Fund	Special Revenue
25950-25999	484	Federal Unemployment Insurance Occupational Training Fund	Special Revenue
26000-26049	486	Federal Employment and Training Grants	Special Revenue
40350-40399	330	State University Dormitory Income Fund	Special Revenue
30000-30049	002	State Capital Projects Fund	Capital Projects
30050-30099	072	Dedicated Highway and Bridge Trust Fund	Capital Projects
30100-30299	074	SUNY Residence Halls Rehabilitation and Repair Fund	Capital Projects
30300-30349	075	NYS Canal System Development Fund	Capital Projects
30350-30399	076	State Park Infrastructure Fund	Capital Projects
30400-30449	077	Passenger Facility Charge Fund	Capital Projects
30450-30499	078	Environmental Protection Fund	Capital Projects
30500-30549	079	Clean Water/Clean Air Implementation Fund	Capital Projects
30600-30609	101	Energy Conservation Thru Improved Transportation Bond Fund	Capital Projects
30610-30619	103	Park and Recreation Land Acquisition Bond Fund	Capital Projects
30620-30629	105	Pure Waters Bond Fund	Capital Projects
30630-30639	109	Transportation Capital Facilities Bond Fund	Capital Projects
30640-30649	115	Environmental Quality Protection Fund	Capital Projects
	118	Rail Preservation and Development Bond Fund	Capital Projects
30650-30659	121	Rebuild and Renew New York Transportation Bond Fund	Capital Projects
30660-30669	123	Transportation Infrastructure Renewal Bond Fund	Capital Projects
30670-30679	124	Environmental Quality Bond Act Fund	Capital Projects
30680-30689	126	Accelerated Capacity and Transportation Improvements Bond Fund	Capital Projects
30690-30699	127	Clean Water/Clean Air Bond Fund	Capital Projects
30700-30709	119	State Housing Bond Fund	Capital Projects
30710-30719		Smart School Bond Fund	Capital Projects
30750-30799	106	Outdoor Recreation Development Bond Fund	Capital Projects
31350-31449	291	Federal Capital Projects Fund	Capital Projects
31450-31499	310	Forest Preserve Expansion Fund	Capital Projects
31500-31549	312	Hazardous Waste Remedial Fund	Capital Projects
31650-31699	327	Suburban Transportation Fund	Capital Projects
31700-31749	357	Division for Youth Facilities Improvement Fund	Capital Projects
31800-31849	374	Housing Assistance Fund	Capital Projects
31850-31899	376	Housing Program Fund	Capital Projects
31900-31949	378	Natural Resource Damages Fund	Capital Projects
31950-31999	380	Department of Transportation Engineering Services Fund	Capital Projects
32200-32249	387	Miscellaneous Capital Projects Fund	Capital Projects
32250-32299	388	City University of New York Capital Projects Fund	Capital Projects
32300-32349	389	Mental Hygiene Facilities Capital Improvement Fund	Capital Projects
32350-32399	399	Correctional Facilities Capital Improvement Fund	Capital Projects
32400-32999	384	State University Capital Projects Fund	Capital Projects
33000-33049		New York State Storm Recovery Capital Fund	Capital Projects
33050-33099		Dedicated Infrastructure Investment Fund	Capital Projects
40000-40049	064	Debt Reduction Reserve Fund	Debt Service
40100-40149	304	Mental Health Services Fund	Debt Service
40150-40199	311	General Debt Service Fund	Debt Service
40250-40299	316	Housing Debt Fund	Debt Service
40300-40349	319	Department of Health Income Fund	Debt Service
40400-40449	361	Clean Water/Clean Air Fund	Debt Service
40450-40499	364	Local Government Assistance Tax Fund	Debt Service
50000-50049	324	Youth Commissary Account	Enterprise
50050-50099	325	State Exposition Special Account	Enterprise
50100-50299	326	Correctional Services Commissary Account	Enterprise
50300-50399	331	Agencies Enterprise Fund	Enterprise
50400-50449	351	Office of Mental Health Sheltered Workshop Fund	Enterprise
50450-50499	352	Office for Persons with Developmental Disabilities Sheltered Workshop Fund	Enterprise
50500-50599	353	Mental Hygiene Community Stores Account	Enterprise
50650-50699	481	Unemployment Insurance Benefit Fund	Enterprise
55000-55049	323	Centralized Services Account	Internal Service
55050-55099	334	Agencies Internal Service Account	Internal Service
55100-55149	343	Mental Hygiene Revolving Account	Internal Service

STATE OF NEW YORK LIST OF JOINT CUSTODY FUNDS - CLASSIFIED BY OSC			APPENDIX
SFS FUND RANGE	CAS FUND NUMBER	FUND NAME	FUND CLASSIFICATION
55150-55199	347	Youth Vocational Education Account	Internal Service
55200-55249	394	Joint Labor/Management Administration Account	Internal Service
55250-55299	395	Audit and Control Revolving Account	Internal Service
55300-55349	396	Health Insurance Revolving Account	Internal Service
55350-55399	397	Correctional Industries Revolving Account	Internal Service
60050-60149	130	School Capital Facilities Financing Reserve Fund	Agency
60150-60199	135 136 137	Child Performer's Holding Fund	Agency
60200-60249	152	Employees Health Insurance Fund	Agency
60250-60299	153	Social Security Contribution Fund	Agency
60300-60399	154	Payroll Deduction Escrow Fund	Agency
60400-60449	162	Employees Dental Insurance Fund	Agency
60450-60499	163	Management Confidential Group Insurance Fund	Agency
60500-60549	165	Lottery Prize Fund	Agency
60550-60599	167	Health Insurance Reserve Receipts Fund	Agency
60600-60799	169	Miscellaneous NYS Agency Fund	Agency
60800-60849	175	EPIC Escrow Fund	Agency
60850-60899	176	CUNY Senior College Operating Fund	Agency
60900-60949	179	MMIS Statewide Escrow Fund	Agency
60950-60999	309	Special Education Fund	Agency
61000-61099	344	State University New York Revenue Collection Fund	Agency
61100-61999	382	State University Federal Direct Lending Program Fund	Agency
62000-62049		SSP SSI Payment Escrow Fund	Agency
65000-65049	400	Common Retirement Fund	Pension Trust
66000-66049	021	Agriculture Producers' Security Fund	Private Purpose Trust
66050-66099	022	Milk Producers' Security Fund	Private Purpose Trust

STATE OF NEW YORK FUND STRUCTURE

