

# New York State



## 2009-10 Enacted Budget Financial Plan

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# ***INTRODUCTION***

This Enacted Budget Financial Plan sets forth the State's budgetary projections for the 2009-10 through 2012-13 fiscal years, based on the Enacted Budget for the 2009-10 fiscal year. The Financial Plan is intended to assist the Legislature and public in understanding the current operating forecast and the impact of the Enacted Budget on State finances over a multi-year period.

The Financial Plan is organized in four general sections:

- **Financial Plan Overview:** A review of the impact of the 2009-10 Enacted Budget on key fiscal performance measures, including current and projected operating results before and after the Enacted Budget actions; the size of the budget; the steps taken to close the projected imbalance between receipts and disbursements (the "budget gap") and to fund new initiatives; the impact on reserve levels; and an assessment of budgetary risks.
- **2009-10 Financial Plan:** A summary of DOB's<sup>1</sup> revised economic forecast and in-depth explanations of the 2009-10 receipts and disbursements projections by major tax category and function.
- **Outyear Projections:** Description of the General Fund Financial Plan projections for 2010-11 through 2012-13.
- **Supplemental Information and Reporting:** A range of Financial Plan information, including (a) an analysis of potential risks to DOB's current estimates, (b) monthly cash flow projections by fund type, (c) Financial Plan projections prepared in accordance with GAAP, and (d) the fiscal impact of the Enacted Budget on local governments.

The Financial Plan Tables include the General Fund, State Operating Funds, State Funds, the Capital Budget, and All Governmental Funds (hereafter, "All Funds") cash-basis Financial Plans; monthly cash flow by fund type; the Financial Plan on a GAAP basis; the HCRA Financial Plan; the monthly HCRA cash flow; and spending and workforce information presented by agency or function.

The 2009-10 Enacted Budget Financial Plan is available on-line at [www.budget.state.ny.us](http://www.budget.state.ny.us) or by contacting the Division of the Budget, State Capitol, Albany, NY 12224, (518) 474-8282.

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<sup>1</sup> Please see Glossary of Acronyms for the definitions of commonly used acronyms and abbreviations that appear in the text.



# FINANCIAL PLAN OVERVIEW

## FINANCIAL PLAN AT-A-GLANCE

FINANCIAL PLAN AT-A-GLANCE: IMPACT ON KEY MEASURES				
(millions of dollars)				
	2007-08 Actuals	2008-09 Results*	2009-10	
			Before Actions	Enacted Budget
<b>State Operating Funds Budget</b>				
Size of Budget	\$77,003	\$78,168	\$88,154	\$78,742
Annual Growth	4.8%	1.5%	12.8%	0.7%
<b>Other Budget Measures (Annual Growth)</b>				
General Fund (with transfers)	\$53,387 3.5%	\$54,607 2.3%	\$63,565 16.4%	\$54,908 0.6%
State Funds (Including Capital)	\$81,379 5.3%	\$83,146 2.2%	\$94,243 13.3%	\$84,657 1.8%
Capital Budget (Federal and State)	\$6,131 10.3%	\$6,830 11.4%	\$7,983 16.9%	\$8,832 29.3%
Federal Operating	\$32,924 -2.3%	\$36,573 11.1%	\$36,616 0.1%	\$44,361 21.3%
All Funds	\$116,058 2.9%	\$121,571 4.8%	\$132,753 9.2%	\$131,935 8.5%
All Funds (Including "Off-Budget" Capital)	\$117,692 3.2%	\$123,833 5.2%	\$134,420 8.5%	\$133,737 8.0%
<b>Inflation (CPI) Growth</b>	3.3%	2.7%	-0.2%	-0.2%
<b>All Funds Receipts (Annual Growth)</b>				
Taxes	\$60,871 6.7%	\$60,337 -0.9%	\$56,654 -6.1%	\$60,647 0.5%
Miscellaneous Receipts	\$19,643 7.4%	\$20,064 2.1%	\$19,917 -0.7%	\$22,185 10.6%
Federal Grants	\$34,909 -2.6%	\$38,834 11.2%	\$38,610 -0.6%	\$47,718 22.9%
Total Receipts	\$115,423 3.8%	\$119,235 3.3%	\$115,181 -3.4%	\$130,550 9.5%
<b>Base Tax Growth/(Decline) **</b>	6.0%	-3.0%	-6.5%	-6.5%
<b>Combined General Fund/HCRA Outyear Gap Forecast</b>				
2008-09	N/AP	N/AP	(\$2,219)	\$0
2009-10	N/AP	N/AP	(\$17,857)	\$0
2010-11	N/AP	N/AP	(\$20,374)	(\$2,166)
2011-12	N/AP	N/AP	(\$21,900)	(\$8,757)
2012-13	N/AP	N/AP	(\$22,845)	(\$13,706)
Cumulative Gaps	N/AP	N/AP	(\$85,195)	(\$24,629)
<b>Total General Fund Reserves</b>	\$2,754	\$1,948	N/AP	\$1,378
<b>State Workforce (Subject to Executive Control)</b>	137,635	136,490	136,108	128,803
<b>Debt</b>				
Debt Service as % All Funds	4.0%	4.3%	4.5%	4.5%
State-Related Debt Outstanding	\$49,884	\$51,730	\$54,532	\$54,532

\* Unaudited Year-End Results.

\*\* Reflects estimated growth/decline in tax receipts excluding the impact of Tax Law changes since SFY 1986-87.

## ***FINANCIAL PLAN OVERVIEW***

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### **SUMMARY**

#### ***Budget Needed to Address Extraordinary Budget Gap***

The Enacted Budget for 2009-10 closes the largest budget gap ever faced by the State. The combined current services budget gap for 2008-09 and 2009-10 totaled \$20.1 billion (2008-09: \$2.2 billion; 2009-10: \$17.9 billion), before the gap-closing actions approved by the Governor and Legislature and the receipt of extraordinary Federal aid.<sup>2</sup> The cumulative gap for the five-year planning period from 2008-09 through 2012-13, before approved gap-closing actions, totaled \$85.2 billion. For perspective, the two-year budget gap that needed to be closed was equal to approximately 37 percent of total General Fund receipts in 2008-09.

The combined current-services gap for 2008-09 and 2009-10 grew steadily over the past year, increasing four-fold since May 2008. The \$15 billion increase in the gap, to \$20.1 billion, was due almost exclusively to the precipitous decline in projected receipts, reflecting the severity of the current economic downturn and dislocation in the financial markets. The current recession has been characterized by a loss of vast sums of wealth from depressed equity and real estate markets. As of the fourth quarter of 2008, an unprecedented \$12.8 trillion in net wealth had been destroyed nationwide since the third quarter of calendar year 2007. This is expected to have a substantial impact on taxable income and, by extension, State tax receipts. To understand the impact of the downturn on income, a comparison to the last recession is instructive: New York State adjusted gross income fell by \$28 billion in 2001 and another \$21 billion in 2002, following the collapse of the high-tech/Internet bubble and the attacks of September 11. In contrast, gross income losses of \$52 billion in 2008-09 and \$53 billion in 2009-10 – or more than twice the last recession – are projected. (See “Economic Backdrop” herein.)

#### ***Closing the Gap***

To close the two-year budget gap in 2008-09 and 2009-10, the Governor and Legislature approved a total of \$13.9 billion in gap-closing actions, including \$6.5 billion in actions to restrain spending, \$5.4 billion in actions to increase receipts, and \$2 billion in non-recurring actions (more than half of which were used in 2008-09 to close a gap that opened in the last half of the fiscal year). The most significant actions include freezing the Foundation aid and UPK education aid programs at 2008-09 levels; eliminating the Middle-Class STAR rebate program (but maintaining the STAR exemption program that will provide \$3.5 billion in property tax relief); instituting Medicaid cost-containment; reducing the size of the State workforce; and increasing PIT rates on high-income earners.

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<sup>2</sup> The current-services gap represented (a) the difference between the General Fund disbursements expected to be needed to maintain current service levels and specific commitments, and the expected level of resources to pay for them, plus (b) the operating deficit projected in HCRA, which helps finance a number of State health care programs including a share of the Medicaid program.



## FINANCIAL PLAN OVERVIEW

In addition, the gap-closing plan includes \$6.15 billion in direct fiscal relief that the Federal government is providing to the State under the American Recovery and Reinvestment Act of 2009 (ARRA) to stabilize State finances and help prevent reductions in essential services. This extraordinary aid consists of \$5 billion in State savings resulting from a temporary increase in the amount of Medicaid spending that is paid for by the Federal government (known as “FMAP”) and \$1.15 billion in Federal aid provided by the ARRA State Fiscal Stabilization Fund (SFSF) to restore proposed reductions in education, higher education, and other essential government services. The President signed the ARRA on February 17, 2009, after the Governor had submitted his Executive Budget. By law, the direct Federal fiscal relief must be used effectively and expeditiously to promote economic recovery, and may not be allocated for other purposes, such as funding reserves or paying down debt.

In addition to this funding, the State also expects to receive a substantial amount of other Federal aid under the ARRA that cannot be used to close the budget gaps, but will pass through the State’s All Funds Financial Plan in 2009-10 and 2010-11 to fund a range of programs intended to promote economic growth and assist individuals and families affected by the national recession. Because ARRA funding – including the local benefit of the FMAP increase – must be appropriated in the Enacted Budget Financial Plan, it increases All Funds spending growth. (See “Spending Levels” and “Extraordinary Federal Aid” herein for more information.)

The table below summarizes the gap-closing plan.

<b>ENACTED BUDGET GAP-CLOSING PLAN: GENERAL FUND AND HCRA SAVINGS/(COSTS) (millions of dollars)</b>						
	<u>2008-09</u>	<u>2009-10</u>	<u>Two-Year Total</u>	<u>2010-11</u>	<u>2011-12</u>	<u>2012-13</u>
<b>CURRENT-SERVICES GAPS BEFORE ACTIONS</b>	<u>(2,219)</u>	<u>(17,857)</u>	<u>(20,076)</u>	<u>(20,374)</u>	<u>(21,900)</u>	<u>(22,845)</u>
<i>Cumulative Gap</i>						(85,195)
<b>Enacted Gap-Closing Actions</b>	<b>1,595</b>	<b>12,332</b>	<b>13,927</b>	<b>13,794</b>	<b>13,144</b>	<b>9,214</b>
Spending Actions	413	6,047	6,460	7,360	8,234	8,138
Revenue Actions	118	5,279	5,397	6,443	4,974	1,110
Non-Recurring Resources	1,064	1,006	2,070	(9)	(64)	(34)
<b>Direct Federal Aid</b>	<b>1,299</b>	<b>4,850</b>	<b>6,149</b>	<b>4,414</b>	<b>(1)</b>	<b>(75)</b>
Enhanced FMAP/Medicaid Relief	1,299	3,702	5,001	3,387	0	0
State Fiscal Stabilization Relief	0	1,150	1,150	1,508	359	0
Federal Tax Relief Extended to State Tax Code	0	(2)	(2)	(481)	(360)	(75)
<b>Net Available Resources Applied in 2009-10</b>	<b>(675)</b>	<b>675</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>ENACTED BUDGET GAPS</b>	<u><b>0</b></u>	<u><b>0</b></u>	<u><b>0</b></u>	<u><b>(2,166)</b></u>	<u><b>(8,757)</b></u>	<u><b>(13,706)</b></u>
<i>Cumulative Gap</i>						(24,629)

## ***FINANCIAL PLAN OVERVIEW***

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The gap-closing plan for 2008-09 and 2009-10 was enacted in two parts. First, in early February 2009, the Governor and Legislature approved a deficit reduction plan (DRP) for 2008-09. The DRP provided approximately \$2.4 billion in savings over the two-year period, reducing the combined gap from \$20.1 to \$17.7 billion. Second, in March 2009, the Governor and Legislature reached final agreement on a budget for 2009-10, with the Legislature completing action on all appropriations and enabling legislation to implement the budget on April 3, 2009 (all debt service appropriations for 2009-10 were enacted on March 5, 2009). The Enacted Budget includes \$11.5 billion in gap-closing actions, beyond the \$2.4 billion approved in the DRP, for a total of \$13.9 billion in gap-closing actions.<sup>3</sup>

### ***Budget Outcomes***

DOB estimates that, after gap-closing actions and Federal aid, the General Fund and HCRA Financial Plan for 2009-10 is balanced, and leaves budget gaps of \$2.2 billion in fiscal year 2010-11, \$8.8 billion in fiscal year 2011-12, and \$13.7 billion in 2012-13. After actions, the State ended the 2008-09 fiscal year in balance in the General Fund and HCRA.<sup>4</sup> Based on DOB's current estimates, the cumulative budget gap for the five-year period (2008-09 through 2012-13) has been reduced from \$85.2 billion to \$24.6 billion, a reduction of approximately \$60.6 billion – or over 70 percent – from the current-services forecast.<sup>5</sup>

The Enacted Budget institutes a range of reforms in health care, human services, public safety, environmental protection, and State agency operations. It preserves the entire \$1.2 billion in the State's rainy day reserves. It limits reliance on non-recurring resources, which is demonstrated by the substantial reduction in the budget gaps for future fiscal years. The budget does not rely on deficit financing, extraordinary asset sales, or other fiscal "engineering" that would have long-term negative implications for State finances. The State workforce is expected to undergo a substantial reduction.

Annual growth of the State-financed portion of the budget – that is, spending financed directly by State residents through State taxes, fees, and other revenues – is held nearly flat. General Fund disbursements, including transfers to other funds, are expected to total \$54.9 billion, an increase of \$301 million (0.6 percent) from 2008-09 results. Projected General Fund spending has been reduced by \$8.7 billion compared to the current services forecast. State Operating Funds spending, which excludes Federal operating aid and capital spending, is projected to total \$78.7 billion in 2009-10, an increase of \$574 million (0.7 percent) over 2008-09 results. State Operating Funds spending in the Enacted Budget has been reduced by \$9.4 billion compared to the current services forecast.

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<sup>3</sup> For purposes of this Enacted Budget Financial Plan, the gap-closing plan described herein refers to the combined actions taken in the DRP and the Enacted Budget for 2009-10, unless otherwise noted.

<sup>4</sup> See "2008-09 Operating Results" herein for more information.

<sup>5</sup> The estimates beyond 2009-10 are meant to provide a general perspective on the State's long-term operating forecast, and will be revised with each quarterly Financial Plan Update.

## FINANCIAL PLAN OVERVIEW

As explained more fully later in this Financial Plan, the ARRA and other Federal aid substantially increase All Funds spending in 2009-10. Extraordinary Federal aid – including the local share of FMAP and Federal aid under ARRA for a range of programs intended to promote economic recovery and assist individuals and families affected by the recession – is responsible for \$7.2 billion of the projected All Funds increase above the Executive Budget proposal. Medicaid caseload and utilization increases, which are driven for the most part by the economic downturn, are responsible for an additional \$1.4 billion in All Funds spending in 2009-10. Extraordinary Federal aid and accelerating Medicaid entitlement costs together comprise \$8.6 billion of the total All Funds increase.

### EVOLUTION OF THE BUDGET GAPS

The \$20.1 billion, two-year budget gap that was ultimately closed by the Enacted Budget for 2009-10 (including the DRP) was \$15.1 billion larger than originally anticipated in May 2008, when the State finalized its Financial Plan for the then-current fiscal year. At that time, DOB projected balanced operations for 2008-09 and a budget gap of approximately \$5 billion for 2009-10. Since then, projected General Fund receipts over the two years have been revised downward by \$13.2 billion (before actions taken in this budget). Receipts were lowered by \$2.6 billion in 2008-09 and by \$10.6 billion in 2009-10 compared to the May 2008 Plan.

<b>2008-09 AND 2009-10 COMBINED GENERAL FUND GAP (BEFORE ACTIONS)</b>	
<b>(millions of dollars)</b>	
<b>May 2008 Gap (Two-Year)</b>	<b>(5,016)</b>
Current-Services Revisions Since May 2008	<b>(15,060)</b>
Lower Receipts (Two-Year Combined Total)	(13,228)
Higher Disbursements (Two-Year Combined Total)	(1,445)
HCRA Operating Deficit	(784)
Use of General Fund Reserves	397
<b>Current-Services Gap Before 2009-10 Actions</b>	<b>(20,076)</b>

DOB's economic forecast on which the May 2008 Financial Plan was based predicted a relatively mild recession, consistent with the expectations of many other forecasters, but noted that financial market uncertainties posed a high degree of risk for New York. In July and August 2008, with economic indicators pointing toward a more prolonged slowdown, the State responded by taking across-the-board reductions of 7 percent to State agency operations and enacting a range of cost-savings measures. It was expected at the time that these actions would be sufficient to maintain balanced operations during the 2008-09 fiscal year.

In September 2008, however, the character of the economic downturn changed dramatically. The Lehman Brothers bankruptcy in mid-September triggered a wave of financial sector shocks that transformed the downturn into a global financial crisis. Credit markets froze. Market indexes plummeted. The Federal government intervened in the economy on a scale not seen since the Great Depression, nationalizing giant mortgage and insurance companies. Wall Street's remaining large independent investment banks

## ***FINANCIAL PLAN OVERVIEW***

disappeared, with Bank of America taking over Merrill Lynch, and Goldman Sachs and Morgan Stanley becoming bank holding companies.

The impact on State finances was expected to be severe, reflecting both the damage to the State's financial services sector, which historically has generated approximately 20 percent of annual State tax receipts, and the widening reach of the recession into other sectors of the economy.<sup>6</sup> Economic data and tax collections through the final quarter of calendar year 2008 confirmed the severity of the downturn. By the time the Governor completed his Executive Budget amendments for 2009-10 in January 2009, DOB's estimate of the combined current-services gap for 2008-09 and 2009-10 that would need to be closed had reached \$15.4 billion, an increase of \$10.4 billion from May 2008. The Governor's Executive Budget for 2009-10 recommended \$15.4 billion in savings and new resources to balance the budgets in the 2008-09 and 2009-10 fiscal years. The Executive Budget included a DRP to address the imbalance in 2008-09 and a complete plan of savings proposals and new resources to balance 2009-10.<sup>7</sup>

The projections in the Executive Budget were based on information and operating results through December 2008. In the following months, the scope and depth of the economic downturn continued to exceed expectations. As more information on economic activity, receipts collections, and the status of certain transactions became available in the first quarter of calendar year 2009, it became apparent that the budget gaps that needed to be addressed in 2008-09 and 2009-10 would be even larger than anticipated in the Executive Budget. In total, the Governor and Legislature identified \$4.7 billion in additional reductions to projected receipts and new mandated costs beyond the Executive Budget current-services forecast, bringing the combined gap for 2008-09 and 2009-10 to \$20.1 billion. The table below summarizes the revisions to the current-services forecast since the introduction of the Executive Budget.

<b>SUMMARY OF CHANGES TO GENERAL FUND/HCRA CURRENT SERVICES FORECAST SAVINGS/(COSTS)</b> (millions of dollars)						
	<u>2008-09</u>	<u>2009-10</u>	<u>Two-Year Total</u>	<u>2010-11</u>	<u>2011-12</u>	<u>2012-13</u>
<b>EXECUTIVE BUDGET CURRENT-SERVICES GAPS</b>	<b><u>(1,592)</u></b>	<b><u>(13,806)</u></b>	<b><u>(15,398)</u></b>	<b><u>(17,273)</u></b>	<b><u>(18,719)</u></b>	<b><u>(19,791)</u></b>
<i>Cumulative Gap</i>						(71,181)
<b>Post-Executive Revenue Revisions (Current-Services)</b>	<b>(388)</b>	<b>(2,878)</b>	<b>(3,266)</b>	<b>(2,564)</b>	<b>(2,322)</b>	<b>(2,218)</b>
Receipts Forecast Revisions	(388)	(2,596)	(2,984)	(2,531)	(2,297)	(2,218)
Conversion Proceeds	0	(282)	(282)	(33)	(25)	0
<b>Post-Executive Spending Revisions (Current-Services)</b>	<b>(607)</b>	<b>(805)</b>	<b>(1,412)</b>	<b>(537)</b>	<b>(859)</b>	<b>(836)</b>
VLT Franchise Payment	(370)	0	(370)	0	0	0
Medicaid Enrollment/Utilization Increases	(260)	(490)	(750)	(770)	(975)	(875)
All Other Revisions	23	(315)	(292)	233	116	39
<b>Timing Changes</b>	<b>368</b>	<b>(368)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>REVISED BUDGET GAPS BEFORE ACTIONS</b>	<b><u>(2,219)</u></b>	<b><u>(17,857)</u></b>	<b><u>(20,076)</u></b>	<b><u>(20,374)</u></b>	<b><u>(21,900)</u></b>	<b><u>(22,845)</u></b>
<i>Cumulative Gap</i>						(85,195)

<sup>6</sup> See "First Quarterly Update to the 2008-09 Financial Plan" and "Mid-Year Update to the 2008-09 Financial Plan" for a description of the revisions to the economic, receipts and disbursements forecasts.

<sup>7</sup> See "2009-10 Executive Budget Financial Plan" and "2009-10 Executive Budget Updated for Governor's Amendments."

## ***FINANCIAL PLAN OVERVIEW***

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Projected tax receipts over the two-year period were lowered by a total of \$3 billion compared to the Executive Budget current-services forecast, reflecting continuing weakness in economic output and updated information on tax collections. In addition, unfavorable market conditions resulted in a reduction in projected receipts related to conversions of health insurance companies to for-profit status.

Projected disbursements were increased by \$1.4 billion compared to the Executive Budget current-services forecast, reflecting several developments. First, expected General Fund spending for Medicaid was increased by \$750 million over the two fiscal years, largely in response to caseload, utilization, and payment trends in the final quarter of 2008-09. In addition, the State did not receive an expected franchise payment of \$370 million from the private operator that had been selected to develop and operate a VLT facility at Aqueduct Racetrack. As part of its winning bid, the operator was to remit the franchise payment in 2008-09, but was ultimately unable to make it.<sup>8</sup> Third, the Executive Budget Financial Plan had assumed enactment of a budget by March 1, 2009, one month ahead of the start of the fiscal year, consistent with the earlier submission of the Executive Budget.<sup>9</sup> The passage of the budget in April 2009 meant that savings from certain approved actions would be implemented later than expected. Other revisions included adjustments to spending estimates based on 2008-09 operating results, and updated programmatic and claims information.

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<sup>8</sup> The State is seeking new proposals in 2009-10 for development rights at Aqueduct, but the Financial Plan assumes no up-front payment at this time.

<sup>9</sup> The Governor submitted his Executive Budget on December 16, 2008, 35 days in advance of the constitutional deadline, and amendments to the Executive Budget on January 15, 2009.

# FINANCIAL PLAN OVERVIEW

## ADDRESSING THE BUDGET GAPS

To close the two-year budget gap in 2008-09 and 2009-10, the Governor and Legislature approved a total of \$13.9 billion in gap-closing actions, including \$6.5 billion in actions to restrain spending, \$5.4 billion in actions to increase receipts, and \$2 billion in non-recurring actions. In addition, the gap-closing plan includes \$6.15 billion in direct fiscal relief that the Federal government is providing to the State under ARRA to stabilize State finances and help prevent reductions in essential services. The table below summarizes the gap-closing plan by major function and activity.

COMBINED GENERAL FUND AND HCRA GAP-CLOSING PLAN FOR 2009-10 ENACTED BUDGET INCLUDING DRP (millions of dollars)						
	2008-09	2009-10	Two-Year Total	2010-11	2011-12	2012-13
<b>REVISED CURRENT SERVICES GAP ESTIMATES*</b>	(2,219)	(17,857)	(20,076)	(20,374)	(21,900)	(22,845)
<b>TOTAL ENACTED BUDGET GAP-CLOSING ACTIONS</b>	<b>1,595</b>	<b>12,332</b>	<b>13,927</b>	<b>13,794</b>	<b>13,144</b>	<b>9,214</b>
<b>Spending Restraint</b>	<b>413</b>	<b>6,047</b>	<b>6,460</b>	<b>7,360</b>	<b>8,234</b>	<b>8,138</b>
Health Care	63	1,961	2,024	1,673	1,719	1,735
School Tax Relief Program	93	1,559	1,652	2,051	2,113	2,181
School Aid/Lottery Aid	0	948	948	1,870	2,835	2,695
Mental Hygiene	4	388	392	398	368	352
Higher Education	55	197	252	257	198	171
Public Safety	2	215	217	251	256	297
Human Services/Labor/Housing	4	188	192	189	129	60
Transportation	0	152	152	271	337	390
Repeal Planned Member Item Deposits	30	104	134	(85)	(85)	0
Local Government Aid	3	94	97	171	168	165
Other Education Aid	7	21	28	61	53	53
State Workforce	5	170	175	328	328	328
Convert Capital to PAYGO	0	0	0	(100)	(200)	(300)
All Other	147	50	197	25	15	11
<b>Revenue Actions</b>	<b>118</b>	<b>5,279</b>	<b>5,397</b>	<b>6,443</b>	<b>4,974</b>	<b>1,110</b>
Temporary PIT Increase	0	3,948	3,948	4,778	3,720	0
Increase 18-A Utility Assessment	0	557	557	557	557	557
Bottle Bill Unclaimed Deposits	0	115	115	115	115	115
Limit Itemized Deductions for High Income Taxpayers	0	140	140	200	150	150
Reform Empire Zones Program	0	90	90	101	113	126
Impose Fee on Non-LLC Partnerships	0	50	50	50	50	50
Impose Sales Tax on Certain Transportation-related Activities	0	26	26	34	34	34
Increase Beer/Wine Tax	0	14	14	14	14	14
Film Credit Restructuring	0	0	0	192	(180)	(228)
Reissue License Plates	0	0	0	129	129	20
All Other Revenue Actions	118	339	457	273	272	272
<b>Non-Recurring Resources</b>	<b>1,064</b>	<b>1,006</b>	<b>2,070</b>	<b>(9)</b>	<b>(64)</b>	<b>(34)</b>
Delay extra MA Cycle (two years)	0	400	400	0	(400)	0
Increase Business Tax Prepayment to 40 Percent	0	333	333	0	0	0
NVPA Payments	306	170	476	0	(25)	(25)
Equipment Financing	0	104	104	(4)	(4)	(4)
VLT Franchise Payment	0	0	0	0	370	0
Medicaid DRP Savings/CUNY Payment	300	(300)	0	0	0	0
All Other	458	299	757	(5)	(5)	(5)
<b>FEDERAL ARRA AID</b>	<b>1,299</b>	<b>4,850</b>	<b>6,149</b>	<b>4,414</b>	<b>(1)</b>	<b>(75)</b>
Enhanced FMAP/Medicaid Relief (excludes local share)	1,299	3,702	5,001	3,387	0	0
State Fiscal Stabilization Relief	0	1,150	1,150	1,508	359	0
Federal Tax Relief Extended to State Tax Code	0	(2)	(2)	(481)	(360)	(75)
<b>NET AVAILABLE RESOURCES APPLIED IN 2009-10</b>	<b>(675)</b>	<b>675</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>ENACTED BUDGET SURPLUS/(GAP) ESTIMATE</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>(2,166)</b>	<b>(8,757)</b>	<b>(13,706)</b>

\* Before 2008-09 Enacted DRP.

**Elements of the Gap-Closing Plan**

Before the dramatic economic events of 2008, it was reasonable to argue that sustained growth in spending commitments since the last economic recovery was the principal contributor to the State’s growing budget gaps. Over the last year, however, the precipitous decline in actual and projected receipts caused by the economic downturn has been the dominant cause of the extraordinary increase in the budget gaps. This is illustrated by looking at the combined budget gap for 2008-09 and 2009-10. As noted earlier, in May 2008, the projected gap of \$5 billion was driven almost exclusively by expected spending growth. In contrast, the \$15 billion incremental increase to the combined gap since that time is almost entirely due to the worsening outlook for receipts.

Accordingly, the gap-closing plan under the State’s control (that is, excluding Federal aid) is weighted toward spending restraint, but also relies on substantial tax and fee increases. Actions to restrain spending constitute approximately 46 percent of the State portion of the gap-closing plan. Actions to increase receipts constitute approximately 39 percent of the plan. Non-recurring resources make up the remainder.

The section below provides a summary of the actions under each category that have been approved for 2009-10. Additional information on the Budget actions for major programs and activities appears in the sections entitled “2009-10 All Funds Financial Plan” and “General Fund Outyear Projections” herein.

**Spending Restraint**

Actions to restrain General Fund spending affect most activities funded by the State. General Fund spending in the Enacted Budget is projected to total \$54.9 billion in 2009-10, an increase of \$301 million over 2008-09 results. General Fund spending was reduced by \$8.7 billion from current services levels.

<b>COMBINED GENERAL FUND AND HCRA GAP-CLOSING PLAN FOR 2009-10 - SPENDING RESTRAINT</b>						
<b>(millions of dollars)</b>						
	<b>2008-09</b>	<b>2009-10</b>	<b>Total</b>	<b>2010-11</b>	<b>2011-12</b>	<b>2012-13</b>
<b>Spending Restraint (net of adds)</b>	<b>413</b>	<b>6,047</b>	<b>6,460</b>	<b>7,360</b>	<b>8,234</b>	<b>8,138</b>
Health Care	63	1,961	2,024	1,673	1,719	1,735
School Tax Relief Program	93	1,559	1,652	2,051	2,113	2,181
School Aid/Lottery Aid	0	948	948	1,870	2,835	2,695
Mental Hygiene	4	388	392	398	368	352
Higher Education	55	197	252	257	198	171
Public Safety	2	215	217	251	256	297
Human Services/Labor/Housing	4	188	192	189	129	60
Transportation	0	152	152	271	337	390
Repeal Planned Member Item Deposits	30	104	134	(85)	(85)	0
Local Government Aid	3	94	97	171	168	165
Other Education Aid	7	21	28	61	53	53
State Workforce	5	170	175	328	328	328
Convert Capital to PAYGO	0	0	0	(100)	(200)	(300)
All Other	147	50	197	25	15	11

## ***FINANCIAL PLAN OVERVIEW***

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The most significant actions in the Enacted Budget that restrain General Fund spending include the following:

- **Health Care (\$2.0 billion):** Enacts cost-containment measures, including rate reductions; updating the base year on which rates are calculated; re-establishing certain industry assessments; financing a greater share of Medicaid spending through HCRA; eliminating a planned Human Services COLA in 2009-10; and other targeted public health and aging reductions. In addition, the Enacted Budget authorizes savings actions to fully eliminate the HCRA operating deficit, including an increase in the Covered Lives Assessment, instituting a tax on for-profit HMOs, and increasing certain surcharges;
- **STAR (\$1.7 billion):** Eliminates the Middle-class STAR rebate program (but maintains the STAR exemption program that will continue to provide tax relief); reduces the PIT credit for New York City taxpayers; and adjusts the timing of reimbursement to New York City;
- **School Aid (\$948 million on a State fiscal year basis):** Maintains selected aids at 2008-09 school year levels; extends the phase-in of Foundation aid and the UPK program from four to seven years; and authorizes additional lottery games that would increase projected resources available to education;
- **Mental Hygiene (\$392 million):** Eliminates a cost-of-living increase for providers; institutes programmatic reforms to align reimbursement with actual costs (including closing, consolidating, and restructuring facility operations, thereby reducing the planned workforce by 865 positions); maximizes available Federal aid; and other measures;
- **Higher Education (\$252 million):** Includes tuition increases at public universities approved by the SUNY and CUNY Boards of Trustees; reductions in support for the four statutory colleges at Cornell University and the College of Ceramics at Alfred University; an assessment on the SUNY and CUNY research foundations; inclusion of public sector pension income in TAP determinations; and other savings;
- **Public Safety (\$217 million):** Closes three prison camps and various annexes in correctional facilities; improves parolee release and violation processes; eliminates farm operations at correctional facilities; reduces programs for inmates; and other operational changes;
- **Human Services (\$192 million):** Increases the level of Federal funding that local districts are required to spend on child welfare services; eliminates the human services COLA; lowers reimbursement for optional, community-based preventive services; closes or downsizes 11 underutilized facilities (8 residential facilities and 3 non-residential facilities), and other measures;



## ***FINANCIAL PLAN OVERVIEW***

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- **Transportation (\$152 million):** Reduces the General Fund subsidy to the DHBTF (which is made possible by an increase in certain fees) and to transit systems, and lowers spending on DOT operations consistent with the overall reduction in planned capital activities;
- **Member item funding (\$134 million):** Eliminates deposits into the Community Projects Fund for the Governor and Assembly that had been authorized in prior years. The Enacted Budget includes \$170 million in new member item deposits split equally between the Senate and Assembly. The new legislative deposits are scheduled to be made in 2010-11 and 2011-12. The Governor did not accept any new member-item funding;
- **Local Government Aid (\$97 million):** Holds aid and incentive payments for cities, towns, and villages outside of New York City at 2008-09 levels; reduces VLT aid; and other measures; and
- **Other Education Aid (\$28 million):** Reduces funding for, among other things, attendance-taking requirements at non-public schools, library aid, prior-year claims, and supplemental funding for certain after-school programs.

The gap-closing plan includes savings from instituting a workforce reduction plan (WRP). The WRP would reduce the State Executive Branch workforce by approximately 8,700 unionized employees through attritions, layoffs, and abolitions of funded vacancies. These reductions are in addition to those that are expected to result from the facility closures and other actions affecting the workforce that were approved in this budget.

The Executive Budget had proposed achieving workforce savings without a substantial reduction in force through, among other things, the elimination of a planned 3 percent general salary increase for State employees in 2009-10 and a one-week wage deferral payable upon separation from State service. The State's public employee unions rejected the proposals. Pursuant to the Governor's directive, most non-unionized "management/confidential" employees in 2009-10 will not receive the planned general salary increase, merit awards, longevity payments, and performance advances and therefore will not be subject to the layoffs required in the WRP. See "State Workforce" herein for more information.

The Enacted Budget will finance a larger share of economic development projects with ongoing resources rather than with long-term debt, starting in fiscal year 2010-11. This will help relieve pressure on the State's statutory debt cap and realize debt service savings in future years. The determination to allocate the "pay-as-you-go resources" to economic development takes into account that projects in this area typically have above-average financing costs. See "Bond Market Issues" herein for more information.

The Enacted Budget includes a modest level of new initiatives in 2009-10, the costs of which are counted against the savings actions presented in this Financial Plan. The most significant initiatives include a new low-cost student loan program to which the State will make an initial contribution of \$50 million in 2009-10; extension of a program

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to assist homeowners facing foreclosure; an increase in the basic public assistance grant of 10 percent annually over the next three years; and additional funding for HEAL-NY, quality incentive pools for nursing homes and home care agencies, and other health initiatives.

### ***Revenue Actions***

Balancing the budget exclusively through spending reductions in 2009-10 would have required an extraordinary retrenchment in State services. Absent any actions to raise receipts, General Fund spending would have had to have been reduced by nearly \$18 billion from the level required to meet existing commitments – and by almost \$9 billion from 2008-09 results – to achieve a balanced budget in 2009-10. Spending reductions of this magnitude would be in direct conflict with Federal efforts to stimulate the economy during a severe recession, raise grave health and public safety concerns, and place additional pressure on local property taxes. Therefore, to maintain essential services and assist residents affected by the economic downturn, the Enacted Budget includes a package of tax increases and other revenue enhancements to help close the budget gap and address the further deterioration in the revenue base.

COMBINED GENERAL FUND AND HCRA GAP-CLOSING PLAN FOR 2009-10 - REVENUE ACTIONS (millions of dollars)						
	2008-09	2009-10	Two-Year Total	2010-11	2011-12	2012-13
<b>Revenue Actions</b>	<b>118</b>	<b>5,279</b>	<b>5,397</b>	<b>6,443</b>	<b>4,974</b>	<b>1,110</b>
Temporary PIT Increase	0	3,948	3,948	4,778	3,720	0
Increase 18-A Utility Assessment	0	557	557	557	557	557
Bottle Bill Unclaimed Deposits	0	115	115	115	115	115
Limit Itemized Deductions for High Income Taxpayers	0	140	140	200	150	150
Reform Empire Zones Program	0	90	90	101	113	126
Impose Fee on Non-LLC Partnerships	0	50	50	50	50	50
Impose Sales Tax on Certain Transportation-related Activities	0	26	26	34	34	34
Increase Beer/Wine Tax	0	14	14	14	14	14
Film Credit Restructuring	0	0	0	192	(180)	(228)
Reissue License Plates	0	0	0	129	129	20
All Other Revenue Actions	118	339	457	273	272	272

The most significant actions include:

- **Temporary PIT Increase (\$3.9 billion):** The State PIT rate will temporarily increase for higher-income filers for a three-year period from tax year 2009 through tax year 2011. The rate for married couples filing jointly will increase from 6.85 percent to 7.85 percent with incomes above \$300,000 and to 8.97 percent for filers with incomes above \$500,000;
- **Increase Utility Assessment (\$557 million):** Increases the current regulatory fee on public utilities, including electric, gas, and water. The action will pay for State regulatory and management oversight by raising the fee from 1/3 of 1 percent to 1 percent of intrastate revenues, expanding the fee to include energy service companies, and establishing an additional 1 percent State energy and utility service conservation assessment, which will expire on March 31, 2014. In recognition of the competitive nature of the telecommunications industry,

## **FINANCIAL PLAN OVERVIEW**

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telecommunications utilities regulated under Public Service Law Section 18-A are exempted from this temporary assessment;

- **Bottle Bill (\$115 million):** Expands the 5-cent deposit on carbonated beverages to include bottled water, and mandates that the State retain 80 percent of all unclaimed bottle deposits;
- **High-Income Itemized Deductions (\$140 million):** Limits the ability of taxpayers with incomes over \$1 million to reduce their tax liability by claiming itemized deductions (\$140 million). Currently, taxpayers with incomes over \$525,000 are allowed to claim 50 percent of the value of itemized deductions. To sustain philanthropic giving, charitable deductions are excluded from this provision and may still be claimed as itemized deductions for the purposes of State income taxes;
- **Empire Zones (\$90 million):** Decertifies “shirt-changers” (that is, firms that change their names to maximize Zone benefits without providing any economic benefit) and firms producing less than \$1 in actual investment and wages for every \$1 in State tax incentives. The Empire Zone program will sunset on June 30, 2010 – one year earlier than in current law;
- **Non-LLC Partnerships (\$50 million):** Imposes a new fee on non-LLC partnerships equal to fee amounts that currently apply to LLCs. Amounts would range from \$1,900 to \$4,500. Unlike the current LLC fee, partnerships with New York-source gross income under \$1 million would be exempt;
- **Transportation Services (\$26 million):** Broadens the sales tax base to cover certain transportation-related services, such as limousine and black car services, but excludes taxis;
- **Beer/Wine Tax (\$14 million):** Increases the excise tax on wine and beer. The tax on wine would increase from 18.9 cents per gallon to 30 cents per gallon, and the beer tax would increase from 11 cents per gallon to 14 cents per gallon. This translates into approximately 2 cents per bottle of wine and one and one-half cents per six pack of beer. These taxes were last increased in 1991, and are still among the lowest in the nation; and
- **License Plates (\$129 million starting in 2010-11):** Effective April 1, 2010, the license plate reissuance fee is increased from \$15 to \$25, with revenues directed to the General Fund. License plates were last reissued in 2001.

Other revenue actions include increases in the bond issuance charge for public authorities and industrial development agencies; fines related to certain motor vehicle violations; real property transfer fees paid whenever a deed is recorded; and fees for license suspension. The Financial Plan also includes a potential franchise payment in 2011-12 related to the development of a new VLT facility. In addition, the Enacted Budget includes \$350 million in new authorization for the State’s film tax and television

## ***FINANCIAL PLAN OVERVIEW***

production credit, which is intended to help keep entertainment industry jobs in New York State.

The Enacted Budget does not include approximately \$1.2 billion in tax and fee proposals that had been proposed in the Executive Budget. Extraordinary Federal aid was used to eliminate these “regressive” tax proposals, since they would have had a disproportionate impact on working families. Proposals included new or increased taxes on, among things, clothing under \$110, soft drinks, cable and satellite television services, and other actions to broaden the sales tax base. See “2009-10 All Funds Receipts Forecast” herein for a complete summary of the tax and fee actions included in the Enacted Budget.

### ***Non-Recurring Resources***

The two-year gap-closing plan included approximately \$1 billion in non-recurring resources in 2008-09 and a comparable amount in 2009-10. The 2008-09 gap had to be closed within a three-month period, which severely limited the types of savings measures that were possible.

COMBINED GENERAL FUND AND HCRA GAP-CLOSING PLAN FOR 2009-10 - NON-RECURRING RESOURCES (millions of dollars)						
	2008-09	2009-10	Two-Year Total	2010-11	2011-12	2012-13
<b>Non-Recurring Resources</b>	<b>1,064</b>	<b>1,006</b>	<b>2,070</b>	<b>(9)</b>	<b>(64)</b>	<b>(34)</b>
Delay extra MA Cycle (two years)	0	400	400	0	(400)	0
Increase Business Tax Prepayment to 40 Percent	0	333	333	0	0	0
NYPA Payments	306	170	476	0	(25)	(25)
Equipment Financing	0	104	104	(4)	(4)	(4)
Finance CUNY Payments with Jan-Mar '09 MA Savings	300	(300)	0	0	0	0
EPF Sweep/Capital Bonding	75	50	125	0	0	0
School Aid Overpayment Recoveries	0	80	80	0	0	0
Medicaid Reimbursement of Education Costs	0	20	20	0	0	0
Recoup Overpayments to NYC (General Public Health Works)	11	15	26	0	0	0
Increase Pre-Paid Sales Tax on Cigarettes	0	14	14	0	0	0
Recoup Overpayments to NYC (Early Intervention)	0	9	9	0	0	0
Continue TADA software bonding	0	3	3	0	0	0
VLT Franchise Payment	0	0	0	0	370	0
Fund Sweeps/Other	372	108	480	(5)	(5)	(5)

The largest non-recurring actions over the two year period include:

- **Delay of the 53<sup>rd</sup> Medicaid Cycle Payment (\$400 million):** The 2009-10 fiscal year included 53 weekly cycle payments, compared to the typical 52 annual payments. This action delays the payment of a 53<sup>rd</sup> cycle until fiscal year 2011-12;
- **Increase Business Tax Prepayment (\$333 million):** Increases the mandatory first installment of tax due from certain business taxpayers from 30 percent to 40 percent of the previous year’s tax liability. For most taxpayers, this installment is due in March with the filing of the previous year’s tax return. This will not change the amount of tax liability, but simply the timing of payments;
- **New York Power Authority Excess Resources (\$476 million):** Authorizes the transfer of \$476 million to the General Fund (of which \$306 million was received

## ***FINANCIAL PLAN OVERVIEW***

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in 2008-09 and \$170 million is planned in 2009-10). Of this amount, \$215 million represents funds that were reserved by NYPA to pay for the disposal of waste at a Federal repository. It is anticipated that NYPA will not need these funds for several years. The remaining transfer represents assets not necessary to meet NYPA's short term operating, capital or debt service costs;

- **Equipment Financing (\$104 million):** Authorizes the use of bond financing for eligible capital projects that were originally planned to be paid for with cash resources. DOB will make an annual determination on the financing for equipment, depending on Financial Plan needs, market conditions and debt management considerations; and
- **City University (no net impact):** To realize the benefit of health care savings in the DRP that were applicable to the final quarter of the 2008-09 fiscal year, but where the cash savings would occur in 2009-10, the State adjusted its reimbursement schedule to New York City related to the City University. Certain payments that were due in the first quarter of 2009-10, but that had been budgeted in 2008-09, will be made on their statutory due dates, not ahead of schedule. There is no net impact over the two fiscal years.

Other non-recurring resources consist of transfers of existing fund balances, cost-recoveries for overpayments in prior years, and other routine transactions.

### ***Extraordinary Federal Aid***

The gap-closing plan included \$6.15 billion in fiscal relief that the Federal government is providing to the State under ARRA to stabilize State finances and help prevent reductions in essential services. Direct Federal aid for fiscal relief consists of the increase in the Federal matching rate for eligible State Medicaid expenditures and funds provided through the SFSF to restore proposed reductions in education, higher education, and to maintain other essential government services. By law, the direct Federal fiscal relief must be used effectively and expeditiously to promote economic recovery, and may not be allocated for other purposes, such as funding reserves or paying down debt.

In addition, a substantial amount of other Federal aid that affects spending from Federal funds, but which has no impact on the budget gaps, will pass through the State's All Funds Financial Plan in 2009-10 and 2010-11. Most of this is related to the ARRA, but also reflects the timing of Federal aid payments, changes in distribution patterns, and other factors. The following table shows the components of extraordinary Federal aid and their impact on the Financial Plan.

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<b>SUMMARY OF 2009-10 EXTRAORDINARY FEDERAL AID</b> (millions of dollars)			
	<b>State Operating Funds</b>	<b>Federal Funds</b>	<b>All Funds</b>
Medicaid Assistance:			
State Share of FMAP	(3,702)	3,702	0
Local Share of FMAP	0	1,438	1,438
State Fiscal Stabilization Fund	0	1,150	1,150
Federal ARRA/Extraordinary Aid	0	4,571	4,571
<b>TOTAL FEDERAL AID</b>	<b>(3,702)</b>	<b>10,861</b>	<b>7,159</b>

### *ARRA Fiscal Relief Aid: Medicaid Assistance*

The ARRA increased the Federal government contribution, or matching rate, on eligible State Medicaid expenditures for the period from October 1, 2008 through December 31, 2010. The FMAP benefit to the State in 2008-09 totaled \$1.3 billion, and is projected at \$3.7 billion in 2009-10. In the Financial Plan, every \$1 increase in the Federal matching rate corresponds to a \$1 decrease in required State support for Medicaid, thus creating General Fund fiscal relief.

For reporting purposes, the Federal government requires states to identify the purposes for which FMAP was used. New York is in a unique position of receiving FMAP between the time the Executive Budget was introduced and final enactment of the budget for 2009-10. The following table summarizes, for Federal reporting requirements, the purposes for which FMAP was used.

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<b>FMAP FISCAL RELIEF DISTRIBUTION</b> <b>STATE FISCAL YEARS 2008-09 AND 2009-10</b> (millions of dollars)		
<b>NYC AIM Funding Restoration</b>		<b>328</b>
<b>Human Services Restorations:</b>		<b>126</b>
Redirect SSI COLA	84	
Community Optional Preventive Services	29	
Homeless Prevention Program	5	
Single Room Occupancy Program	2	
Neighborhood & Rural Preservation	2	
Caseload Reduction	2	
NY/NYIII Bed Reduction	1	
HIV Welfare to Work	1	
<b>Mental Hygiene Restorations:</b>		<b>38</b>
January 2009 Human Services COLA Reduction	16	
Sheltered Employment/Day Habilitation	11	
OASAS AIDS/Case Management Services	4	
Unified Services	3	
Other Mental Health	4	
<b>Medicaid Enrollment/Utilization Increases:</b>		<b>750</b>
<b>HCRA Shortfall</b>		<b>282</b>
<b>Health Care Restorations:</b>		<b>981</b>
Hospitals	393	
Nursing Homes	174	
Home Care	133	
Insurance	112	
Pharmacy	72	
Other	97	
<b>Rejected Tax/Fee Increases:</b>		<b>1,271</b>
<b>Gap Closing</b>		<b>1,225</b>
<b>TOTAL FMAP AVAILABLE (October 2009 - March 2010)</b>		<b>5,001</b>

With one important exception, FMAP has no impact on the State’s All Funds spending levels, since every dollar saved in the General Fund is offset by a corresponding increase in Federal Funds. However, since all Federal Medicaid payments must flow through the State’s Financial Plan, the increase in FMAP results in an increase in the “pass-through” of more Federal aid to counties and New York City, which contribute to the financing of the State’s Medicaid program. This pass-through amount totaled \$440 million in 2008-09 and is projected at \$1.4 billion in 2009-10. See “Spending Levels” herein for a discussion of the impact of Federal aid on State All Funds spending in 2009-10.

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### *ARRA Fiscal Relief: State Fiscal Stabilization Fund*

The SFSF will provide \$1.15 billion in fiscal relief in 2009-10. The SFSF consists of two parts: an Education Fund, which must be used to restore proposed reductions in education and higher education, and an Other Governmental Services Fund, which must be used to maintain essential government services.

Direct Federal fiscal relief from the Education Fund is projected to total \$876 million in 2009-10. Fiscal relief from the other Governmental Services Fund is expected to total \$274 million in 2009-10. This aid adds \$1.15 billion in spending to the All Funds budget. The following table summarizes the spending from Federal Funds that will be financed from the SFSF.

<b>ARRA STATE FISCAL STABILIZATION FUND 2009-10 RESTORATIONS (millions of dollars)</b>	
<b>Education Aid:</b>	<b>876</b>
Deficit Reduction Assessment	769
Higher Education (Community College Base Aid)	39
Other Education	68
<b>State Fiscal Stabilization Fund Restorations:</b>	<b>274</b>
Preschool Special Education	133
Higher Education (Community College Base Aid)	11
Tuition Assistance Program (TAP)	36
Teacher Centers	28
Mortgage Foreclosure Program	25
All Other	41
<b>TOTAL</b>	<b>1,150</b>

### *Extraordinary Federal Aid Unrelated to Gap-Closing Plan*

The ARRA is intended both to provide fiscal relief to preserve essential services and to stimulate the economy. Accordingly, a substantial amount of Federal aid will flow to the State – and through the State Financial Plan to end recipients – that has no direct impact on the State’s budget gaps. In addition, Federal spending is affected by the timing of certain transactions, including the approval of State health care initiatives, and the Federal match on spending restorations authorized in the Enacted Budget. In 2009-10, the State expects to receive extraordinary Federal aid of approximately \$4.6 billion. Every dollar of extraordinary Federal aid increases the State’s All Funds budget, but has no relationship to the gap-closing plan. The following table summarizes the major items in this category.



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2009-10 EXTRAORDINARY FEDERAL AID: SPENDING IMPACT (millions of dollars)	
<b>ARRA:</b>	
State and Local Highway (Capital)	459
Educational Support Services	453
Individuals with Disabilities Education Act Grants	397
Labor Programs	272
Weatherization Program	263
Tax Credit Assistance Program	253
Clean Water State Revolving Fund	200
School Improvement Grants	127
Drinking Water State Revolving Fund	87
Foster Care and Adoption	59
Other Human Services Programs	57
Health Information Technology Program	55
Child Care Subsidy Payments	50
Competitive Transportation Aid	50
Community Services Block Grant	44
Public Health/Aging Programs	32
Public Health Prevention and Wellness Programs	30
Education Technology	28
Education - Vocational Rehabilitation Services	28
SUNY PELL Grants	28
Criminal Justice (Byrne/JAG; VOCA; VAWA; State Police)	28
Other Environmental Programs	26
Technology (Broadband)	12
Other Transportation	12
Emergency Food Assistance	8
Other Education Programs	8
<b>Federal Match of Selected Health Care Restorations</b>	<b>602</b>
<b>Federal Hospital/Nursing Home Payments</b>	<b>439</b>
<b>TANF Contingency Fund</b>	<b>272</b>
<b>Hospital Disproportionate Share Payments</b>	<b>192</b>
<b>GRAND TOTAL</b>	<b>4,571</b>

Major elements of the extraordinary Federal aid are described in greater detail in the section entitled, "All Funds Disbursements Projections" herein.

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### ***SPENDING LEVELS***

General Fund disbursements, including transfers to other funds, are expected to total \$54.9 billion, an increase of \$301 million (0.6 percent) from 2008-09 results. Projected General Fund spending has been reduced by \$8.7 billion compared to the current services forecast. State Operating Funds spending, which excludes Federal operating aid and capital spending, is projected to total \$78.7 billion in 2009-10, an increase of \$574 million (0.7 percent) over 2008-09 results. State Operating Funds spending in the Enacted Budget has been reduced by \$9.4 billion compared to the current services forecast.

<b>TOTAL DISBURSEMENTS</b> (millions of dollars)							
	<b>2008-09 Results **</b>	<b>2009-10 Base</b>	<b>Before Actions **</b>		<b>After Actions</b>		
			<b>Annual \$ Change</b>	<b>Annual % Change</b>	<b>2009-10 Enacted</b>	<b>Annual \$ Change</b>	<b>Annual % Change</b>
<b>State Operating Funds</b>	<b>78,168</b>	<b>88,154</b>	<b>9,986</b>	<b>12.8%</b>	<b>78,742</b>	<b>574</b>	<b>0.7%</b>
General Fund *	48,436	57,136	8,700	18.0%	49,449	1,013	2.1%
Other State Funds	25,146	25,804	658	2.6%	24,075	(1,071)	-4.3%
Debt Service Funds	4,586	5,214	628	13.7%	5,218	632	13.8%
<b>All Governmental Funds</b>	<b>121,571</b>	<b>132,753</b>	<b>11,182</b>	<b>9.2%</b>	<b>131,935</b>	<b>10,364</b>	<b>8.5%</b>
State Operating Funds	78,168	88,154	9,986	12.8%	78,742	574	0.7%
Capital Projects Funds	6,830	7,983	1,153	16.9%	8,832	2,002	29.3%
Federal Operating Funds	36,573	36,616	43	0.1%	44,361	7,788	21.3%
<b>General Fund, including Transfers</b>	<b>54,607</b>	<b>63,565</b>	<b>8,958</b>	<b>16.4%</b>	<b>54,908</b>	<b>301</b>	<b>0.6%</b>

\* Excludes transfers.

As explained above, the Federal ARRA and other Federal aid substantially increase All Funds spending in 2009-10. In total, Federal aid is responsible for \$7.2 billion of the projected All Funds increase above the Executive Budget proposal. In addition, growing costs in Medicaid caseload and utilization trends, which are directly related to the economic downturn, add an additional \$1.4 billion in costs to the All Funds budget. Therefore, extraordinary Federal aid and accelerating Medicaid entitlement costs together comprise \$8.6 billion of the total increase in All Funds spending.

## FINANCIAL PLAN OVERVIEW

### GENERAL FUND BALANCES

The State ended 2008-09 with a General Fund balance of \$1.9 billion. The State expects to use approximately \$570 million in available balances to finance operations in 2009-10, resulting in a projected year-end balance of \$1.4 billion on March 31, 2010. Funds reserved by DOB for debt management purposes may also be spent during the 2009-10 fiscal year, depending on market conditions.

<b>GENERAL FUND ESTIMATED CLOSING BALANCE</b> (millions of dollars)			
	<b>2008-09</b> <b>Results*</b>	<b>2009-10</b> <b>Enacted</b>	<b>Change</b>
<b>Projected Year-End Fund Balance</b>	<b>1,948</b>	<b>1,378</b>	<b>(570)</b>
Tax Stabilization Reserve Fund	1,031	1,031	0
Rainy Day Reserve Fund	175	175	0
Contingency Reserve Fund	21	21	0
Reserved for Debt Reduction	73	73	0
Community Projects Fund	145	78	(67)
Remaining Reserve for 2009-10 Use	340	0	(340)
2008-09 Timing Related Changes	163	0	(163)

The timing of payments reflects differences between planned and actual disbursements that occur in any fiscal year. Approximately \$163 million in payments that were planned to occur in 2008-09 are now budgeted in 2009-10. The State manages its cash balances to meet these payments. The table below summarizes the General Fund payments budgeted in 2008-09 but now expected to be made in the 2009-10 fiscal year.

<b>2008-09 YEAR-END RESULTS</b> <b>GENERAL FUND TIMING RELATED CHANGES</b> <b>DECREASE/(INCREASE)</b> (millions of dollars)	
<b>Timing Related Changes</b>	<b>163</b>
Non-public School Aid	51
Other Education programs, including school aid	45
PBA labor settlement	44
Lower Medicaid spending	23
Taxes on State Owned Lands	27
Higher capital spending	(44)
All Other	17

HCRA ended the 2008-09 fiscal year with a balance of \$240 million. It is expected that HCRA will use this balance to finance spending in 2009-10, including \$205 million in payments that were originally planned to occur in 2008-09. See the "HCRA Financial Plan" herein for more information.

## ***FINANCIAL PLAN OVERVIEW***

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### ***STATE CASH FLOW PROJECTIONS***

DOB currently projects that each month of the 2009-10 fiscal year will end with a positive cash balance in the General Fund. However, the General Fund's 2009-10 opening cash position of \$1.9 billion was lower than in recent fiscal years and DOB expects tight operating margins, especially in the first quarter of the fiscal year, before the benefit of approved actions in the Enacted Budget are fully realized. The June 2009 closing balance of \$111 million is the lowest projected for the fiscal year, based on the current forecast. DOB projects cash balances \$2.8 billion by September 30, 2009, \$1.2 billion by December 30, 2009, and \$1.4 billion by March 31, 2010. The settlement of tax liabilities for calendar year 2008, which primarily takes place in April and May, has the potential to significantly alter the cash flow position of the State. DOB and the Department of Taxation and Finance are monitoring collections and refund activity closely.

The Enacted Budget authorizes the General Fund to borrow resources temporarily from other funds for a period not to exceed four months. In addition, under existing law, the General Fund is authorized to use resources in the State's Tax Stabilization Reserve for cash flow purposes, but is required to repay the amounts in full by the close of the fiscal year. Technical legislation approved in the Enacted Budget expands this authorization to include funds available in the Rainy Day Reserve and Contingency Reserve.

### ***STATE WORKFORCE***

#### ***Workforce Reductions***

On March 24, 2009, the Executive announced that it would implement a WRP. DOB expects that the WRP will result in a State workforce reduction equivalent to approximately 8,700 employees, and will generate savings of approximately \$160 million in 2009-10 growing to over \$300 million in 2010-11. On April 7, 2009, DOB directed all State agencies to prepare WRPs to be submitted to DOB by April 21, 2009. The State workforce subject to Executive control finished 2008-09 at 136,490 positions compared to the Executive Budget estimate of 137,745, a decline of 1,255. In 2009-10, this portion of the workforce is expected to be reduced to 128,803 positions, a reduction of 7,687. DOB's plans to reflect the impact of the approved plans in the First Quarterly Update to the Financial Plan.

#### ***Labor Settlements***

The unions representing corrections officers, graduate students, and supervisory security/park police have not reached settlements with the State at this time. The Enacted Budget for 2009-10 includes spending for potential settlements with these unions of \$400 million in 2009-10 and \$275 million for all remaining contract years. The spending estimates assume that the unsettled unions will agree to the same terms that have been ratified by settled unions. Any costs above the pattern settlement from arbitration or negotiations are not assumed in the Financial Plan.

### **BOND MARKET ISSUES**

Current projections estimate that the level of State-supported debt outstanding and debt service costs will continue to remain below the limits imposed by the Debt Reform Act of 2000 through 2011-12. However, the State has entered into a period of significantly declining debt capacity. Based on the most recent personal income and debt outstanding forecasts, the State is now expected to exceed the debt outstanding cap in 2012-13 by approximately \$300 million. The State expects to propose actions in the 2010-11 Executive Budget in order to stay within the statutory limitations.

### **FINANCIAL PLAN RISKS**

The Enacted Budget Financial Plan forecast is subject to many complex economic, social, and political risks and uncertainties, many of which are outside the ability of the State to control. These include, but are not limited to, the performance of the national and State economies; the impact of continuing write-downs and other costs affecting the profitability of the financial services sector, and the concomitant effect on bonus income and capital gains realizations; the impact of calendar year 2008 wage and bonus activity on the State tax settlement in fiscal year 2009-10; access to the capital markets in light of the disruption in the municipal bond market; litigation against the State, including challenges to certain tax actions and other actions authorized in the Enacted Budget; and actions taken by the Federal government, including audits, disallowances, and changes in aid levels.

In addition, the forecast contains specific transaction risks and other uncertainties including, but not limited to, the development of new VLT facilities; the receipt of certain payments from public authorities; the receipt of miscellaneous revenues at the levels expected in the Financial Plan; the enforcement of certain tax regulations on Native American reservations; the timing and value of proceeds from the sale of Well Point stock that is expected to finance certain health care spending; and the achievement of cost-saving measures including, but not limited to, administrative savings in State agencies through the WRP and the transfer of available fund balances to the General Fund, at the levels currently projected. Such risks and uncertainties, if they were to materialize, could have an adverse impact on the Financial Plan in the current year (see "Financial Plan Risks" herein.)

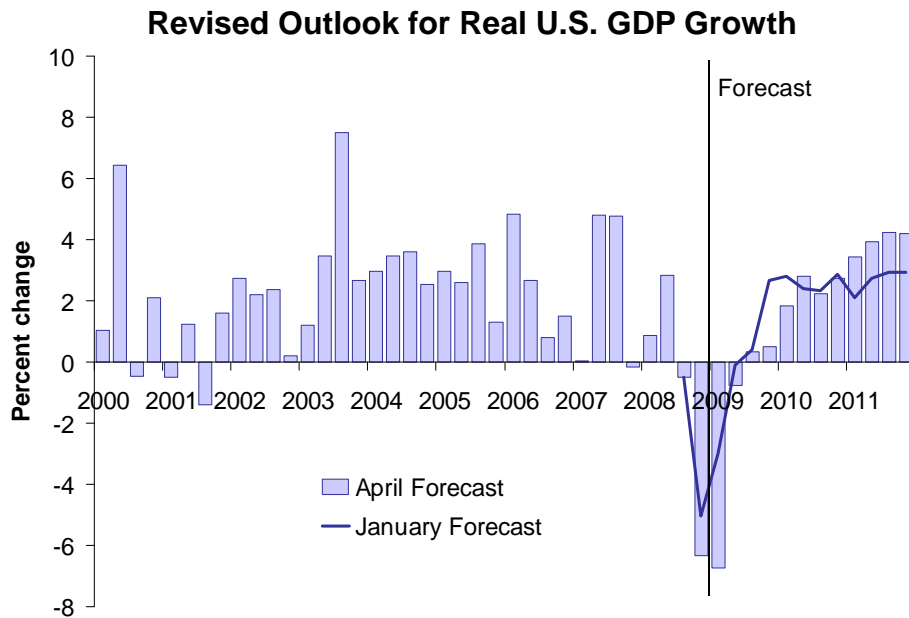
Finally, there can be no assurance that (1) receipts will not fall below current projections, requiring additional budget-balancing actions in the current year, and (2) the gaps projected for future years will not increase materially from the projections set forth herein.



# ECONOMIC BACKDROP

## THE NATIONAL ECONOMY

Data released or revised since the release of the Executive Budget forecast in January 2009 indicate that national economic conditions deteriorated substantially over the last six months. Revised data indicate that the U.S. economy contracted 6.3 percent in the fourth quarter of 2008, after adjusting for inflation, the largest decline since the first quarter of 1982. The decline was particularly broad-based ranging from a 4.3 percent decline in real household consumption to a 23.6 percent decline in real exports. DOB projects a decline of similar magnitude for the first quarter of this year, with a more modest decline projected for the second quarter. The U.S. economy is now projected to contract 2.9 percent for all of 2009, the largest annual decline of the postwar period and more than twice the rate of decline projected in January 2009. Real GDP growth for 2010 has been revised down to 1.5 percent.



Source: Moody's Economy.com; DOB staff estimates.

The pace of the economy's contraction is reflected in the accelerated rate of job loss that occurred in the fall of 2008. The U.S. labor market lost an average of 667,000 jobs each month from November 2008 through March 2009, compared with an average loss of 180,000 jobs during the first 10 months of last year. Jobs have been shed from every major sector of the economy except for health care. The nation's unemployment rate rose to 8.5 percent in March 2009, compared with 5.1 percent for the same month of 2008. DOB now projects non-agricultural employment to fall 3.7 percent in 2009, followed by a smaller decline of 0.1 percent in 2010. The unemployment rate is now expected to average 8.9 percent for 2009, followed by 9.4 percent for 2010. In addition to cutting jobs, the most recent data indicate that private sector businesses are cutting even more deeply into investment for equipment, structures, and inventories than projected in January. Total non-residential investment, which includes both plant and equipment, is

## ***ECONOMIC BACKDROP***

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now projected to fall 12.4 percent in 2009. The size of the inventory correction projected for 2009, as a percentage of GDP, would be the largest since 1949.

There are signs that credit market conditions among banks have improved since the fall of Lehman Brothers sent the financial world into a tailspin. But an unknown quantity of asset-backed debt of uncertain value remains on bank balance sheets, causing lending institutions to restrain lending activity. Residential and commercial mortgage-backed debt continue to be of particular concern. In addition, the contracting economy has increased default risk across all other types of debt, also constraining the supply of credit. Lower spending by households and businesses has reduced the demand for borrowed funds as well. The Federal Reserve reports that credit card debt fell 0.8 percent in February 2009, the largest monthly decline since the mid-1970s, likely reflecting both demand and supply conditions. Consequently, the total volume of lending remains subdued, with the uncertainty associated with bank balance sheets continuing to add to equity market volatility.

Economic weakness and a declining labor market are expected to result in declines in wages and several of the non-wage components of personal income. Wages are now projected to fall 1.4 percent for 2009, followed by growth of 2.7 percent for 2010. Total personal income is now expected to fall 0.3 percent for 2009, the first annual decline since 1949. In response to tight credit market conditions and declining employment and income, households are adjusting their balance sheets by increasing their savings and reducing consumption. Consumption fell 3.8 percent in the third quarter of 2008, followed by an even greater decline of 4.3 percent in the fourth. These declines represent the largest since 1980. Auto sales reported for early 2009 are near historic lows. DOB has revised real household consumption growth down to a decline of 1.0 percent for 2009, with growth of only 1.4 percent projected for 2010.

The global recession has only deepened since the January forecast. Real U.S. exports are now projected to fall 15.1 percent for 2009, with a much steeper decline projected for imports as well. Another casualty of the global downturn has been oil prices, which have fallen from their July 2008 peak of \$147 per barrel to about \$50. Other commodity prices have followed suit, with gasoline prices also way off of their most recent highs. As a result, the general price level, as measured by the Consumer Price Index, is now projected to fall 0.5 percent in 2009, the first annual decline since 1955, followed by consumer price inflation of 1.7 percent for 2010. Consequently, DOB does not expect the Federal Reserve to alter its current Federal funds policy target until the middle of 2010.

Led by the nation's troubled financial sector, U.S. corporate profits fell for six consecutive quarters starting in the third quarter of 2007. The 51.4 percent decline for the fourth quarter of 2008 was the largest since the fourth quarter of 1953, with three more declines expected for the first three quarters of 2009. Consequently, DOB has revised down its outlook for profits since the release of the January forecast. DOB projects U.S. corporate profits from current production, including the capital consumption and inventory valuation adjustments, to fall 22.0 percent in 2009, followed by growth of 4.6 percent for 2010. Though equity markets have risen from their recent



lows, DOB projects prices, as represented by the S&P 500, to fall 31.2 percent on an annual average basis for 2009, followed by growth of 10.0 percent for 2010.

DOB's outlook calls for an end to the current recession sometime in the third quarter, making it the longest since the Great Depression. However, there are a number of risks to the forecast. The large economic stimulus package passed by Congress in February 2009 and a Federal Reserve interest rate target of near zero, along with its massive injections of liquidity into the financial system, are expected to contribute to positive, albeit low growth in real U.S. GDP by the third quarter of this year. However, the response of the economy to this stimulus depends in part to the normal functioning of credit markets. Further delay in the return of normalcy to markets could in turn delay the onset of the recovery. A weaker labor market than projected could result in even lower incomes and weaker household spending than projected. The global economy could contract further than anticipated, further depressing demand for U.S. exports and putting additional downward pressure on corporate earnings. Improving equity prices as markets look beyond the current crisis have been a recent bright spot, but slower corporate earnings growth than expected could further depress equity markets, delaying their recovery and that of Wall Street. On the other hand, a stronger response to the stimulus package, higher equity prices, or stronger global growth than anticipated could result in stronger economic growth than is reflected in the forecast.

<b>U.S. ECONOMIC INDICATORS</b> (Percent change from prior calendar year)			
	<b>2008</b> <b>(Actual)</b>	<b>2009</b> <b>(Forecast)</b>	<b>2010</b> <b>(Forecast)</b>
Real U.S. Gross Domestic Product	1.1	-2.9	1.5
Consumer Price Index (CPI)	3.8	-0.5	1.7
Personal Income	3.8	-0.3	2.8
Nonagricultural Employment	-0.4	-3.7	-0.1

Source: Moody's Economy.com; DOB staff estimates.

### THE NEW YORK STATE ECONOMY

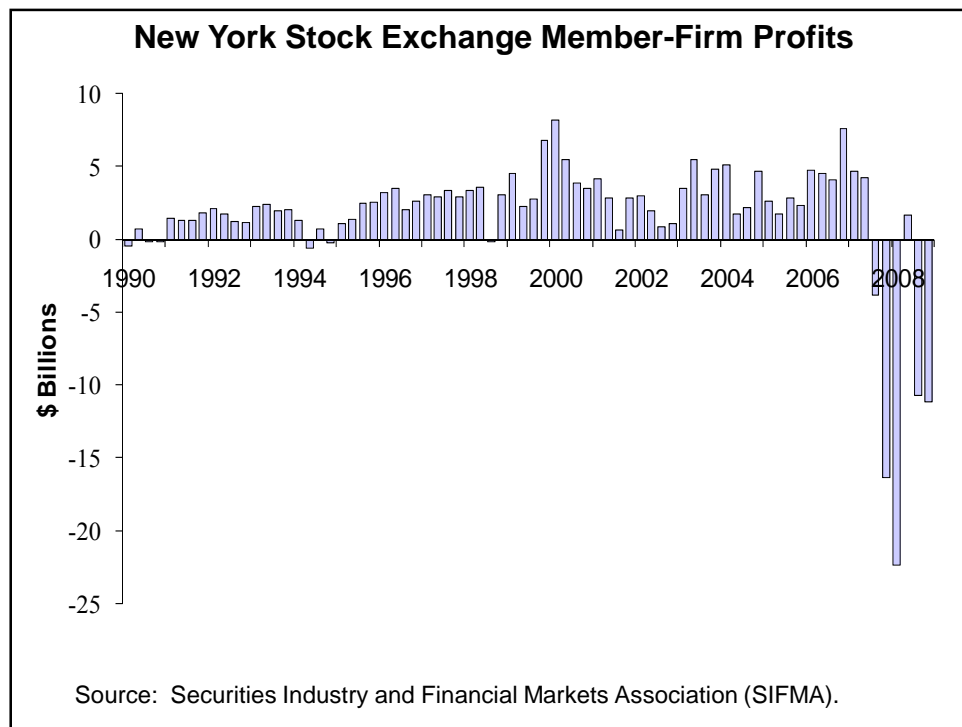
DOB estimates that the New York State economy experienced a business cycle peak in August 2008, fully eight months after the nation as a whole. However, as the epicenter of the global financial crisis, it is likely that the State downturn could be deeper than those of the recent past. If the Lehman Brothers bankruptcy last September was a watershed for the national economy, it was even more so for the State. Data released since January indicate that the fourth quarter of 2008 was a significant turning point for the State economy. The three-month increase in the State unemployment rate from November to February on a seasonally adjusted basis was the largest, in both absolute and percentage terms, over the history of the series. Although there was no change from February to March, the March rate was fully three percentage points above its year ago value. Initial unemployment benefit claims for March 2009 were up 75.3 percent from

## ***ECONOMIC BACKDROP***

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the same month in 2008. As a result, State private sector employment is now projected to fall 2.5 percent for 2009, followed by a decline of 0.3 percent for 2010.

As the figure below illustrates, the securities industry has seen an unprecedented decline in profitability since the third quarter of 2007. With the investment banking industry as we knew it now gone, the profit levels achieved earlier in the decade may no longer be attainable. Consequently, DOB projects a decline in State wages for 2009 of 4.2 percent, the largest annual decline in the history of the Quarterly Census of Employment and Wages data. Wage growth for 2010 has been revised down to 2.0 percent.



The current downturn has spread far beyond Wall Street. DOB now projects significant declines in every sector of the economy except for education and health care and social assistance. Falling U.S. corporate earnings is reducing the demand for the State's business and professional services, where some of the largest job losses are expected. Large rates of decline are also expected for financial services, manufacturing, and construction. Credit market conditions and rising debt default rates are expected to continue to depress real estate activity, particularly in the commercial sector where high-value transactions contribute significantly to State and local government revenues. The volume of such transactions can be expected to fall as office vacancy rates rise; the downtown New York City office vacancy rate rose 32 percent between the fourth quarter of 2007 and the fourth quarter of 2008, while the midtown rate rose 67 percent.

## **ECONOMIC BACKDROP**

The State's real estate market slowdown lagged the nation by a substantial period, particularly in New York City, contributing to the State's delayed entry into recession. The S&P/Case-Shiller single-family home price index for New York City fell 15.1 percent between January 2006 and January 2009, compared with a 29.0 percent decline in the 10-city composite for the nation. This comparison suggests that the State's real estate market may have a long way to go before prices stabilize. Consistent with the State's belated fall into recession, its recovery is expected to lag as well.

The current recession has been characterized by a loss of vast sums of wealth from both a depressed equity market and a depressed real estate market. The simultaneous decline of both markets distinguishes current economic conditions from those that existed during the last recession. As of the fourth quarter of 2008, an unprecedented \$12.8 trillion in net wealth had been destroyed since it's the third quarter of 2007 peak. Consequently, DOB is projecting even larger declines in taxable income than occurred during the last recession. New York State adjusted gross income fell 5.5 percent in 2001 and another 4.4 percent in 2002, following the collapse of the high-tech/Internet bubble and the attacks of September 11. For 2008 and 2009, declines of 7.1 percent and 7.9 percent are projected, respectively. The loss of wealth, along with declining State employment and income, is also having an impact on household spending, depressing taxable sales as well.

All of the risks to the U.S. forecast apply to the State forecast as well, although as the nation's financial capital, financial market uncertainty poses a particularly large degree of risk for New York. Lower levels of financial market activity than anticipated could result in a further delay in the recovery of Wall Street profits and bonuses. A more severe national recession than expected could prolong the State's downturn, producing weaker employment and wage growth than projected. Weaker equity and real estate activity than anticipated could negatively affect household spending and taxable capital gains realizations. These effects could ripple though the economy, further depressing both employment and wage growth. In contrast, should the national and world economies grow faster than expected, a stronger upturn in stock prices, along with even stronger activity in mergers and acquisitions and other Wall Street activities, could result in higher wage and bonuses growth than projected.

<b>NEW YORK STATE ECONOMIC INDICATORS</b>			
(Percent change from prior calendar year)			
	<b>2008</b> <b>(Estimate)</b>	<b>2009</b> <b>(Forecast)</b>	<b>2010</b> <b>(Forecast)</b>
Personal Income	2.5	-2.6	1.8
Wages	0.7	-4.2	2.0
Nonagricultural Employment	0.6	-2.1	-0.2

*Source: Moody's Economy.com; New York State Department of Labor; DOB staff estimates.*



# **2009-10 ALL FUNDS FINANCIAL PLAN**

## **INTRODUCTION**

This section describes the State's Financial Plan projections for receipts and disbursements based on the 2009-10 Enacted Budget recommendations. The receipts forecast describes estimates for the State's principal taxes, miscellaneous receipts, and transfers from other funds. The spending projections summarize the annual growth in current services spending and the impact of Enacted Budget recommendations on each of the State's major areas of spending (i.e., Medicaid, school aid, mental hygiene).

Financial Plan projections are presented on an All Funds basis, which encompasses activity in the General Fund, State Operating Funds, Capital Projects Funds, and Federal Operating Funds, thus providing the most comprehensive view of the financial operations of the State.

## **2009-10 RECEIPTS FORECAST**

Financial Plan receipts comprise a variety of taxes, fees, charges for State-provided services, Federal grants, and other miscellaneous receipts. The receipts estimates and projections have been prepared by DOB on a multi-year basis with the assistance of the Department of Taxation and Finance and other agencies responsible for the collection of State receipts.

### **Overview of the Revenue Situation**

- The current economic slowdown has broadened to virtually every sector of the New York State economy except for education, health care and social assistance. As a result, DOB anticipates that weaker employment, declining corporate earnings, reduced household spending and lower real estate activity will negatively impact State revenue in 2009-10.
- Base receipt growth over the period 2006-07 to 2008-09, supported by a strong financial services sector and real estate market, averaged 5.3 percent. However, the current decline in economic activity is estimated to negatively impact receipt growth for 2009-10 and 2010-11. As a result, base tax receipts (adjusting for law changes) are expected to fall 6.5 percent in 2009-10 and grow by 4.8 percent in 2010-11.
- The negative impact of the depressed equity and real estate markets on the State's economy in general and the financial services industry in particular is expected to result in major declines in bonus payouts during the current fiscal year (down 20 percent from prior year) and reduced growth in business tax receipts over the remaining years of the Financial Plan.
- The volatile real estate and financial markets represent even greater risks to revenues due to the high concentration of taxable income among a relatively small segment of the taxpaying population.

## 2009-10 ALL FUNDS FINANCIAL PLAN

- The decline in the residential housing market is projected to largely eliminate the surge in taxable capital gains realizations associated with real estate sales that characterized the last few years.
- The economy is expected to continue to decline, and as a result, 2009-10 growth in PIT withholding and sales tax collections will be weak absent the legislation enacted with this Budget.
- The combined impact of the declining real estate and financial markets and the deepening recession results in estimated changes in PIT liability of -9.8 percent in 2008, and 11.7 percent in 2009, before the impact of the temporary rate increase effective in 2009.
- The broadening impact of the economic slowdown has reduced consumption of durable goods, non-durable goods and taxable services. In addition, the outlook for the nominal value of cars purchased and disposable income have deteriorated, all negatively impacting growth in the sales tax revenue base.
- The large audit settlements associated with financial service industry firms continued into 2008-09 but are expected to be largely concluded before 2009-10, and this loss of resources must be compensated for by other tax compliance actions included with this Budget.

All Funds receipts are projected to total \$130.6 billion, an increase of \$11.3 billion over 2008-09 results. The table below summarizes the receipts projections for 2009-10 and 2010-11.

TOTAL RECEIPTS (millions of dollars)							
	2008-09 Results*	2009-10 Estimated	Annual \$ Change	Annual % Change	2010-11 Projected	Annual \$ Change	Annual % Change
<b>General Fund</b>	<b>53,801</b>	<b>54,338</b>	<b>537</b>	<b>1.0%</b>	<b>56,896</b>	<b>2,558</b>	<b>4.7%</b>
Taxes	38,301	39,401	1,100	2.9%	42,218	2,817	7.1%
Miscellaneous Receipts	3,105	3,381	276	8.9%	3,022	(359)	-10.6%
Federal Grants	45	0	(45)	-100.0%	0	0	0.0%
Transfers	12,350	11,556	(794)	-6.4%	11,656	100	0.9%
<b>State Funds</b>	<b>80,265</b>	<b>82,675</b>	<b>2,410</b>	<b>3.0%</b>	<b>85,885</b>	<b>3,210</b>	<b>3.9%</b>
Taxes	60,337	60,647	310	0.5%	64,383	3,736	6.2%
Miscellaneous Receipts	19,883	22,027	2,144	10.8%	21,501	(526)	-2.4%
Federal Grants	45	1	(44)	-97.8%	1	0	0.0%
<b>All Funds</b>	<b>119,235</b>	<b>130,550</b>	<b>11,315</b>	<b>9.5%</b>	<b>134,554</b>	<b>4,004</b>	<b>3.1%</b>
Taxes	60,337	60,647	310	0.5%	64,383	3,736	6.2%
Miscellaneous Receipts	20,064	22,185	2,121	10.6%	21,653	(532)	-2.4%
Federal Grants	38,834	47,718	8,884	22.9%	48,518	800	1.7%

\* Unaudited Year-End Results.

## 2009-10 ALL FUNDS FINANCIAL PLAN

Base growth in tax receipts is estimated to decline 6.5 percent adjusted for law changes for fiscal year 2009-10 and rise by 4.8 percent for 2010-11. Overall base growth in tax receipts is dependent on many factors. For several years prior to fiscal year 2008-09 the most important factors explaining tax receipt growth were related to:

- Improvements in overall economic activity, especially in New York City and surrounding counties;
- Continued profitability and compensation gains of financial services companies;
- Continued growth in the downstate commercial real estate market; and
- Continued positive impact of high-income taxpayers on PIT growth.

The retrenchment that characterized these sectors in 2008-09 is expected to reverse and retard growth in 2009-10 and 2010-11.

### Personal Income Tax

PERSONAL INCOME TAX (millions of dollars)							
	2008-09 Results*	2009-10 Estimated	Annual \$ Change	Annual % Change	2010-11 Projected	Annual \$ Change	Annual % Change
<b>General Fund**</b>	<b>23,196</b>	<b>24,404</b>	<b>1,208</b>	<b>5.2%</b>	<b>26,612</b>	<b>2,208</b>	<b>9.0%</b>
Gross Collections	44,011	44,070	59	0.1%	47,558	3,488	7.9%
Refunds/Offsets	(7,171)	(6,832)	339	-4.7%	(7,435)	(603)	8.8%
STAR	(4,434)	(3,524)	910	-20.5%	(3,480)	44	-1.2%
RBTF	(9,210)	(9,310)	(100)	1.1%	(10,031)	(721)	7.7%
<b>State/All Funds</b>	<b>36,840</b>	<b>37,238</b>	<b>398</b>	<b>1.1%</b>	<b>40,123</b>	<b>2,885</b>	<b>7.7%</b>
Gross Collections	44,011	44,070	59	0.1%	47,558	3,488	7.9%
Refunds	(7,171)	(6,832)	339	-4.7%	(7,435)	(603)	8.8%

\* Unaudited Year-End Results.

\*\* Excludes Transfers.

All Funds PIT receipts, which reflect gross payments minus refunds, are estimated at \$37.2 billion for 2009-10, a \$398 million increase from the prior year. This is primarily attributable to an increase in withholding of \$2.9 billion due to the three-year temporary increase in tax rates adopted in the Enacted Budget Plan. The increase is partially offset by decreases in extension payments and final payments for tax year 2008 of \$2.5 billion (53 percent) and \$565 million (22.6 percent), respectively. The decrease reflects the extraordinary weak settlement in tax year 2008 returns attributable to the declining economy. Estimated payments for tax year 2009 are projected to increase by \$50 million (0.6 percent), with the increase entirely due to the impact of the temporary tax rate increase. Receipts from delinquencies are projected to increase \$166 million over the prior year while refunds are estimated to decline by \$339 million (4.7 percent). The following table summarizes, by component, actual receipts for 2008-09 and forecast amounts through 2012-13.

## 2009-10 ALL FUNDS FINANCIAL PLAN

PERSONAL INCOME TAX FISCAL YEAR COLLECTION COMPONENTS ALL FUNDS (millions of dollars)					
	2008-09 (Results)*	2009-10 (Enacted)	2010-11 (Projected)	2011-12 (Projected)	2012-13 (Projected)
<b>Receipts</b>					
Withholding	27,686	30,626	31,063	32,350	32,949
Estimated Payments	12,690	10,193	13,033	13,285	11,945
Current Year	7,889	7,938	9,605	9,932	8,675
Prior Year*	4,801	2,255	3,428	3,353	3,270
Final Returns	2,686	2,136	2,293	2,459	2,637
Current Year	192	207	207	207	207
Prior Year**	2,494	1,929	2,086	2,252	2,430
Delinquent Collections	949	1,115	1,169	1,207	1,247
Gross Receipts	44,011	44,070	47,558	49,301	48,777
<b>Refunds</b>					
Prior Year*	4,544	4,238	4,823	5,109	5,352
Previous Years	402	344	324	324	324
Current Year*	1,750	1,750	1,750	1,750	1,750
State-City Offset*	475	500	538	621	712
<b>Total Refunds</b>	<b>7,171</b>	<b>6,832</b>	<b>7,435</b>	<b>7,804</b>	<b>8,138</b>
<b>Net Receipts</b>	<b>36,840</b>	<b>37,238</b>	<b>40,123</b>	<b>41,497</b>	<b>40,639</b>

\* Unaudited Year-End Results

\*\* These components, collectively, are known as the "settlement" on the prior year's tax liability.

The table below shows the tax liability and fiscal impacts of the temporary tax rate increase by components.

TEMPORARY PERSONAL INCOME TAX INCREASE ALL FUNDS (millions of dollars)					
Tax Year		Fiscal Year			Liability Totals
		2009-10	2010-11	2011-12	
2009	Withholding	2,340	0	0	
	Estimated Tax	937	0	0	
	Settlement	0	623	0	
	<b>Total</b>	<b>3,277</b>	<b>623</b>	<b>0</b>	<b>3,900</b>
2010	Withholding	671	1,494	0	
	Estimated Tax	0	1,818	0	
	Settlement	0	0	348	
	<b>Total</b>	<b>671</b>	<b>3,312</b>	<b>348</b>	<b>4,331</b>
2011	Withholding	0	843	1,686	
	Estimated Tax	0	0	1,686	
	Settlement	0	0	0	
	<b>Total</b>	<b>0</b>	<b>843</b>	<b>3,372</b>	<b>4,215</b>
	<b>Cash Total</b>	<b>3,948</b>	<b>4,778</b>	<b>3,720</b>	<b>12,446</b>



## 2009-10 ALL FUNDS FINANCIAL PLAN

All Funds income tax receipts of \$40.1 billion for 2010-11 are projected to increase \$2.9 billion or 7.7 percent from the prior year. Gross receipts are projected to grow 7.9 percent, largely reflecting projected increases in tax year 2010, estimated payments of \$1.7 billion (21.0 percent), extension payments of \$1.2 billion (52.0 percent) and withholding of \$437 million (1.4 percent). Most of the increases in estimated payments and withholding are due to the enacted PIT temporary increase. Payments from final returns for tax year 2009 are projected to increase by \$157 million (8.1 percent) and receipts from delinquencies are projected to increase \$54 million (4.8 percent) over the prior year. Refunds are estimated to grow by \$603 million or 8.8 percent, largely reflecting the impact of tax reductions contained in the Federal ARRA that affect the State's tax base.

General Fund income tax receipts are the net of deposits to the STAR Fund, which provides property tax relief, and the RBTF, which supports debt service payments on State PIT revenue bonds. General Fund income tax receipts of \$24.4 billion for 2009-10 are expected to increase by \$1.2 billion or 5.2 percent from the prior year. This increase reflects a decrease in STAR deposits of \$910 million as a result of elimination of both the STAR rebate program and associated enhanced NYC STAR credit for 2009-10, partly offset by an increase in deposits to RBTF of \$100 million.

General Fund income tax receipts of \$26.6 billion for 2010-11 are projected to grow by \$2.2 billion, or 9.0 percent over the current year. Along with the increase in All Funds receipts noted above, there is a marginal decrease of \$44 million in STAR deposits. Deposits to the RBTF are expected to increase by 7.7 percent, the same percentage increase as projected for net collections since the transfer equals 25 percent of net collections.

<b>PERSONAL INCOME TAX CHANGE FROM EXECUTIVE BUDGET</b> (millions of dollars)								
	<b>2009-2010</b>				<b>2010-2011</b>			
	<b>Executive Budget</b>	<b>Enacted Budget</b>	<b>\$ Change</b>	<b>% Change</b>	<b>Executive Update</b>	<b>Enacted Budget</b>	<b>\$ Change</b>	<b>% Change</b>
<b>General Fund*</b>	<b>22,512</b>	<b>24,404</b>	<b>1,892</b>	<b>8.4%</b>	<b>24,361</b>	<b>26,612</b>	<b>2,251</b>	<b>9.2%</b>
Gross Collections	41,533	44,070	2,537	6.1%	44,498	47,558	3,060	6.9%
Refunds/Offsets	(6,964)	(6,832)	132	-1.9%	(7,523)	(7,435)	88	-1.2%
STAR	(3,416)	(3,524)	(108)	3.2%	(3,371)	(3,480)	(109)	3.2%
RBTF	(8,641)	(9,310)	(669)	7.7%	(9,243)	(10,031)	(788)	8.5%
<b>State/All Funds</b>	<b>34,569</b>	<b>37,238</b>	<b>2,669</b>	<b>7.7%</b>	<b>36,975</b>	<b>40,123</b>	<b>3,148</b>	<b>8.5%</b>
Gross Collections	41,533	44,070	2,537	6.1%	44,498	47,558	3,060	6.9%
Refunds	(6,964)	(6,832)	132	-1.9%	(7,523)	(7,435)	88	-1.2%

\* Excludes Transfers.

Compared to the Executive Budget, 2009-10 All Funds income tax receipts are revised up by \$ 2.7 billion, or 7.7 percent. This is due to increases in withholding of \$2.7 billion (8.8 percent) and current estimated tax payments related to the 2009 tax year of \$887 million (12.6 percent), both of which are primarily due to the temporary increase. Refunds are revised down by \$132 million (1.9 percent).

## **2009-10 ALL FUNDS FINANCIAL PLAN**

Compared to the Executive Budget, 2010-11 All Funds income tax receipts are revised upward by \$3.1 billion or 8.5 percent. This reflects increases in withholding of \$2.0 billion (7.0 percent) and estimated payments for the 2010 tax year of \$1.7 billion (21.2 percent). Again, most of the growth results from the temporary increase. Extension payments for the 2009 tax year are revised downward by \$397 million (10.4 percent). Refunds are projected to be \$88 million below the Executive Budget estimate.

<b>PERSONAL INCOME TAX</b>					
<b>(millions of dollars)</b>					
	<b>2010-11 Projected</b>	<b>2011-12 Projected</b>	<b>Annual \$ Change</b>	<b>2012-13 Projected</b>	<b>Annual \$ Change</b>
<b>General Fund*</b>	<b>26,612</b>	<b>27,447</b>	<b>835</b>	<b>26,625</b>	<b>(822)</b>
Gross Collections	47,558	49,301	1,743	48,777	(524)
Refunds/Offsets	(7,435)	(7,804)	(369)	(8,138)	(334)
STAR	(3,480)	(3,677)	(197)	(3,854)	(177)
RBTF	(10,031)	(10,373)	(342)	(10,160)	213
<b>State/All Funds</b>	<b>40,123</b>	<b>41,497</b>	<b>1,374</b>	<b>40,639</b>	<b>(858)</b>
Gross Collections	47,558	49,301	1,743	48,777	(524)
Refunds	(7,435)	(7,804)	(369)	(8,138)	(334)

\* Excludes Transfers.

All Funds income tax receipts of \$41.5 billion for 2011-12 are projected to increase \$1.4 billion, or 3.4 percent over the prior year. Gross receipts are projected to increase 3.7 percent and reflect withholding that is projected to grow by 4.1 percent (\$1.3 billion). Total estimated taxes on prior and current year liabilities will increase by an estimated 1.9 percent (\$252 million). Payments from final returns are expected to increase 7.2 percent (\$166 million). Delinquencies are projected to increase \$38 million or 3.3 percent over the prior year. Growth in total refunds is projected to increase \$369 million or 5.0 percent over the prior year.

General Fund income tax receipts of \$27.4 billion for 2011-12 are projected to increase by \$835 million, or 3.1 percent from 2010-11. General Fund receipts for 2011-12 reflect a \$197 million increase in STAR deposits, and a \$342 million increase in deposits to the RBTF.

All Funds income tax receipts for 2012-13 are projected to be \$40.6 billion. General Fund receipts are projected at \$26.6 billion. Both figures reflect declines from the prior year due to the expiration of the temporary PIT increase after tax year 2011 (with the last fiscal impact of the temporary increase occurring in 2011-12).

## 2009-10 ALL FUNDS FINANCIAL PLAN

### User Taxes and Fees

<b>USER TAXES AND FEES</b> (millions of dollars)							
	<b>2008-09</b> <b>Results*</b>	<b>2009-10</b> <b>Estimated</b>	<b>Annual \$</b> <b>Change</b>	<b>Annual %</b> <b>Change</b>	<b>2010-11</b> <b>Projected</b>	<b>Annual \$</b> <b>Change</b>	<b>Annual %</b> <b>Change</b>
<b>General Fund**</b>	<b>8,361</b>	<b>8,520</b>	<b>159</b>	<b>1.9%</b>	<b>8,819</b>	<b>299</b>	<b>3.5%</b>
Sales Tax	7,707	7,793	86	1.1%	7,962	169	2.2%
Cigarette and Tobacco Taxes	446	425	(21)	-4.7%	421	(4)	-0.9%
Motor Vehicle Fees	(42)	19	61	-145.2%	149	130	684.2%
Alcoholic Beverage Taxes	206	235	29	14.1%	239	4	1.7%
ABC License Fees	44	48	4	9.1%	48	0	0.0%
<b>State/All Funds</b>	<b>14,004</b>	<b>14,375</b>	<b>371</b>	<b>2.6%</b>	<b>14,793</b>	<b>418</b>	<b>2.9%</b>
Sales Tax	10,985	11,147	162	1.5%	11,386	239	2.1%
Cigarette and Tobacco Taxes	1,340	1,331	(9)	-0.7%	1,324	(7)	-0.5%
Motor Fuel	504	520	16	3.2%	523	3	0.6%
Motor Vehicle Fees	723	876	153	21.2%	1,058	182	20.8%
Highway Use Tax	141	155	14	9.9%	149	(6)	-3.9%
Alcoholic Beverage Taxes	206	235	29	14.1%	239	4	1.7%
ABC License Fees	44	48	4	9.1%	48	0	0.0%
Auto Rental Tax	61	63	2	3.3%	66	3	4.8%

\* Unaudited Year-End Results.

\*\* Excludes Transfers.

All Funds user taxes and fees receipts for 2009-10 are estimated to be approximately \$14.4 billion, an increase of \$371 million or 2.6 percent from 2008-09. Sales tax receipts are expected to increase by \$162 million from the prior year due to a base growth decrease of over 2 percent, which is more than offset by tax law changes. Non sales tax user taxes and fees are estimated to increase by \$209 million from 2008-09 mainly due to tax law changes in motor vehicle fees.

General Fund user taxes and fees receipts are expected to total \$8.5 billion in 2009-10, an increase of \$159 million or 1.9 percent from 2008-09. The increase largely reflects an increase in receipts due to sales tax receipts (\$86 million), motor vehicle fees (\$61 million) and alcoholic beverage taxes (\$29 million), partially offset by a decrease in cigarette tax collections (\$21 million).

All Funds user taxes and fees receipts for 2010-11 are projected to be \$14.8 billion, an increase of \$418 million, or 2.9 percent from 2009-10. This increase largely reflects fee and tax law changes in sales and use tax collections and motor vehicle fees. General Fund user taxes and fees receipts are projected to total \$8.8 billion in 2010-11, an increase of \$299 million, or 3.5 percent from 2009-10. This increase largely reflects fee and tax law changes in sales and use tax collections and motor vehicle fees.

## 2009-10 ALL FUNDS FINANCIAL PLAN

USER TAXES AND FEES CHANGE FROM EXECUTIVE BUDGET (millions of dollars)								
	2009-2010				2010-2011			
	Executive Budget	Enacted Budget	\$ Change	% Change	Executive Update	Enacted Budget	\$ Change	% Change
<b>General Fund*</b>	<b>10,022</b>	<b>8,520</b>	<b>(1,502)</b>	<b>-15.0%</b>	<b>10,589</b>	<b>8,819</b>	<b>(1,770)</b>	<b>-16.7%</b>
Sales Tax	9,097	7,793	(1,304)	-14.3%	9,583	7,962	(1,621)	-16.9%
Cigarette and Tobacco Taxes	439	425	(14)	-3.2%	434	421	(13)	-3.0%
Motor Vehicle Fees	37	19	(18)	-48.6%	167	149	(18)	-10.8%
Alcoholic Beverage Taxes	297	235	(62)	-20.9%	306	239	(67)	-21.9%
ABC License Fees	152	48	(104)	-68.4%	99	48	(51)	-51.5%
<b>State/All Funds</b>	<b>16,780</b>	<b>14,375</b>	<b>(2,405)</b>	<b>-14.3%</b>	<b>17,692</b>	<b>14,793</b>	<b>(2,899)</b>	<b>-16.4%</b>
Sales Tax	13,288	11,147	(2,141)	-16.1%	14,086	11,386	(2,700)	-19.2%
Cigarette and Tobacco Taxes	1,384	1,331	(53)	-3.8%	1,361	1,324	(37)	-2.7%
Motor Fuel	528	520	(8)	-1.5%	529	523	(6)	-1.1%
Motor Vehicle Fees	908	876	(32)	-3.5%	1,091	1,058	(33)	-3.0%
Highway Use Tax	160	155	(5)	-3.1%	154	149	(5)	-3.2%
Alcoholic Beverage Taxes	297	235	(62)	-20.9%	306	239	(67)	-21.9%
ABC License Fees	152	48	(104)	-68.4%	99	48	(51)	-51.5%
Auto Rental Tax	63	63	0	0.0%	66	66	0	0.0%

\* Excludes Transfers.

All Funds user taxes and fees in 2009-10 have been revised downward by \$2.4 billion from the Executive Budget. All Funds user taxes and fees in 2010-11 have been revised downward by \$2.9 billion from the Executive Budget. These downward revisions include rejections of various law changes proposed with the Governor's 2009-10 Executive Budget valued at \$1.7 billion in 2009-10 and \$2.2 billion in 2010-11.

USER TAXES AND FEES (millions of dollars)					
	2010-11 Projected	2011-12 Projected	Annual \$ Change	2012-13 Projected	Annual \$ Change
<b>General Fund*</b>	<b>8,819</b>	<b>9,193</b>	<b>374</b>	<b>9,469</b>	<b>276</b>
Sales Tax	7,962	8,325	363	8,693	368
Cigarette and Tobacco Taxes	421	416	(5)	409	(7)
Motor Vehicle Fees	149	160	11	67	(93)
Alcoholic Beverage Taxes	239	244	5	249	5
ABC License Fees	48	48	0	51	3
<b>State/All Funds</b>	<b>14,793</b>	<b>15,284</b>	<b>491</b>	<b>15,698</b>	<b>414</b>
Sales Tax	11,386	11,864	478	12,383	519
Cigarette and Tobacco Taxes	1,324	1,307	(17)	1,283	(24)
Motor Fuel	523	525	2	528	3
Motor Vehicle Fees	1,058	1,074	16	976	(98)
Highway Use Tax	149	155	6	160	5
Alcoholic Beverage Taxes	239	244	5	249	5
ABC License Fees	48	48	0	51	3
Auto Rental Tax	66	67	1	68	1

\* Excludes Transfers.

All Funds user taxes and fees are projected to increase by \$491 million in 2011-12 and then increase by \$414 million in 2012-13. This reflects the proposed fee and tax law changes becoming fully effective.

## 2009-10 ALL FUNDS FINANCIAL PLAN

### Business Taxes

<b>BUSINESS TAXES</b> (millions of dollars)							
	<b>2008-09</b> <b>Results*</b>	<b>2009-10</b> <b>Estimated</b>	<b>Annual \$</b> <b>Change</b>	<b>Annual %</b> <b>Change</b>	<b>2010-11</b> <b>Projected</b>	<b>Annual \$</b> <b>Change</b>	<b>Annual %</b> <b>Change</b>
<b>General Fund</b>	<b>5,556</b>	<b>5,495</b>	<b>(61)</b>	<b>-1.1%</b>	<b>5,828</b>	<b>333</b>	<b>6.1%</b>
Corporate Franchise Tax	2,755	2,916	161	5.8%	3,211	295	10.1%
Corporation & Utilities Tax	654	729	75	11.5%	690	(39)	-5.3%
Insurance Tax	1,086	1,171	85	7.8%	1,181	10	0.9%
Bank Tax	1,061	679	(382)	-36.0%	746	67	9.9%
<b>State/All Funds</b>	<b>7,604</b>	<b>7,676</b>	<b>72</b>	<b>0.9%</b>	<b>8,045</b>	<b>369</b>	<b>4.8%</b>
Corporate Franchise Tax	3,221	3,374	153	4.8%	3,704	330	9.8%
Corporation & Utilities Tax	863	955	92	10.7%	905	(50)	-5.2%
Insurance Tax	1,181	1,434	253	21.4%	1,471	37	2.6%
Bank Tax	1,233	793	(440)	-35.7%	878	85	10.7%
Petroleum Business Tax	1,106	1,120	14	1.3%	1,087	(33)	-2.9%

\* Unaudited Year-End Results.

All Funds business tax receipts for 2009-10 are estimated at \$7.7 billion, an increase of \$72 million, or 0.9 percent from the prior year. The estimates reflect a net increase in receipts of \$585 million resulting from tax law changes. The increase in the prepayment rate from 30 percent to 40 percent for most business taxpayers and the imposition of the insurance premiums tax on for-profit HMOs are the major tax law changes. Absent these provisions, All Funds business tax receipts are expected to decline by \$513 million or 6.7 percent. The majority of this decline is in the corporate franchise tax and the bank tax. Corporate profits are expected to decline 22 percent in calendar year 2009 although the related revenue decline will be far less due to a higher proportion of taxpayers filing under non-income tax bases. Bank tax receipts in 2008-09 were bolstered by one-time receipts from the three month reopening of VCI. This program, which allowed taxpayers to voluntarily report the use of IRS designated tax shelters, accounted for \$370 million, or 81 percent of All Funds audit collections of \$455 million. Bank tax audit collections are expected to fall to \$71 million in 2009-10. Excluding Enacted Budget provisions, corporation and utilities tax receipts are expected to grow 4.6 percent as revenue from the telecommunication sector remains strong and the insurance tax is expected to remain virtually unchanged.

All Funds business tax receipts for 2010-11 of \$8.0 billion are projected to increase by \$369 million, or 4.8 percent over the prior year, reflecting rebound induced growth rates of 9.8 and 10.7 percent in corporate franchise tax and bank tax receipts respectively.

General Fund business tax receipts for 2009-10 of \$5.5 billion are estimated to decrease by \$61 million, or 1.1 percent below 2008-09 results. The General Fund decrease in business tax receipts is larger than the All Funds decline because the net revenue from the imposition of the insurance premiums tax on for-profit HMOs is dedicated to HCRA. Aside from this Enacted Budget provision, business tax receipts deposited to the General Fund reflect the All Funds trends discussed above.

## 2009-10 ALL FUNDS FINANCIAL PLAN

General Fund business tax receipts for 2010-11 of \$5.8 billion are projected to increase \$333 million, or 6.1 percent over the prior year. Corporate franchise tax and bank tax receipts are projected to increase 10.1 percent and 9.9 percent, respectively as the economy begins to recover.

ALL FUNDS BUSINESS TAX AUDIT AND NON-AUDIT RECEIPTS (EXCLUDING PBT) (millions of dollars)						
	2004-05 Actual	2005-06 Actual	2006-07 Actual	2007-08 Actual	2008-09 Results*	2009-10 Projected
<b>Corporate Franchise Tax</b>	<b>2,110</b>	<b>3,053</b>	<b>4,228</b>	<b>3,998</b>	<b>3,221</b>	<b>3,374</b>
Audit	397	653	1,133	1,189	906	928
Non-Audit	1,713	2,400	3,095	2,809	2,315	2,446
<b>Corporation and Utilities Taxes</b>	<b>827</b>	<b>832</b>	<b>820</b>	<b>801</b>	<b>863</b>	<b>955</b>
Audit	43	101	52	35	47	24
Non-Audit	784	731	768	766	816	931
<b>Insurance Taxes</b>	<b>1,108</b>	<b>1,083</b>	<b>1,258</b>	<b>1,219</b>	<b>1,181</b>	<b>1,434</b>
Audit	32	33	56	44	41	48
Non-Audit	1,076	1,050	1,202	1,175	1,140	1,386
<b>Bank Taxes</b>	<b>675</b>	<b>975</b>	<b>1,210</b>	<b>1,058</b>	<b>1,233</b>	<b>793</b>
Audit	24	330	299	104	455	71
Non-Audit	651	645	911	954	778	722
<b>Total Business Taxes (less PBT)</b>	<b>4,720</b>	<b>5,943</b>	<b>7,516</b>	<b>7,076</b>	<b>6,498</b>	<b>6,556</b>
Audit	496	1,117	1,547	1,372	1,449	1,071
Non-Audit	4,224	4,826	5,969	5,704	5,049	5,485

\* Unaudited Year-End Results.

Non-audit business tax receipts for 2009-10, including Enacted Budget initiatives, are projected to increase by \$436 million, or 8.6 percent. The overall increase reflects a projected increase in non-audit corporate franchise tax receipts of 5.6 percent, corporation and utilities taxes of 14.1 percent and insurance taxes of 21.6 percent, partially offset by a 7.2 percent decrease in non-audit bank tax receipts. Audit receipts related to All Funds business taxes (less PBT) are projected to decrease by 26.0 percent or roughly \$378 million from 2008-09 primarily the result of a significant decrease (84.3 percent) in bank tax audits. Bank tax audits in 2008-09 were driven by the VCI discussed above. Additionally, corporation and utilities tax audit receipts are expected to decline by nearly 50 percent in 2009-10 as large 2008-09 audits in sections 183 and 189 will not be repeated in 2009-10.

## 2009-10 ALL FUNDS FINANCIAL PLAN

BUSINESS TAXES CHANGE FROM EXECUTIVE BUDGET (millions of dollars)								
	2009-2010				2010-2011			
	Executive Budget	Enacted Budget	\$ Change	% Change	Executive Update	Enacted Budget	\$ Change	% Change
<b>General Fund</b>	<b>6,084</b>	<b>5,495</b>	<b>(589)</b>	<b>-9.7%</b>	<b>6,236</b>	<b>5,828</b>	<b>(408)</b>	<b>-6.5%</b>
Corporate Franchise Tax	3,425	2,916	(509)	-14.9%	3,539	3,211	(328)	-9.3%
Corporation & Utilities Tax	714	729	15	2.1%	695	690	(5)	-0.7%
Insurance Tax	1,268	1,171	(97)	-7.6%	1,258	1,181	(77)	-6.1%
Bank Tax	677	679	2	0.3%	744	746	2	0.3%
<b>State/All Funds</b>	<b>8,134</b>	<b>7,676</b>	<b>(458)</b>	<b>-5.6%</b>	<b>8,315</b>	<b>8,045</b>	<b>(270)</b>	<b>-3.2%</b>
Corporate Franchise Tax	3,852	3,374	(478)	-12.4%	4,001	3,704	(297)	-7.4%
Corporation & Utilities Tax	929	955	26	2.8%	910	905	(5)	-0.5%
Insurance Tax	1,397	1,434	37	2.6%	1,397	1,471	74	5.3%
Bank Tax	781	793	12	1.5%	866	878	12	1.4%
Petroleum Business Tax	1,175	1,120	(55)	-4.7%	1,141	1,087	(54)	-4.7%

Compared to the Executive Budget, 2009-10 All Funds business tax receipts are revised down by \$458 million, while General Fund receipts are revised down by \$589 million. A portion of these declines, \$52 million and \$198 million, respectively, are due to changes in legislative proposals as part of the Enacted Budget. The foregone revenue from the rejection of various legislative proposals was partially offset through the imposition of the insurance premiums tax on for-profit HMOs. The remainder of the downward revision is primarily attributed to the corporate franchise tax due to lower than expected 2008-09 results and lower forecast tax year 2009 corporate profits. The decline in Petroleum Business Tax receipts reflects lower consumption due to the recession.

Compared to the Executive Budget, 2010-11 All Funds business tax receipts are revised down by \$270 million, while General Fund receipts are revised down by \$408 million.

BUSINESS TAXES (millions of dollars)					
	2010-11 Projected	2011-12 Projected	Annual \$ Change	2012-13 Projected	Annual \$ Change
<b>General Fund</b>	<b>5,828</b>	<b>5,925</b>	<b>97</b>	<b>6,398</b>	<b>473</b>
Corporate Franchise Tax	3,211	3,129	(82)	3,513	384
Corporation & Utilities Tax	690	722	32	754	32
Insurance Tax	1,181	1,252	71	1,332	80
Bank Tax	746	822	76	799	(23)
<b>State/All Funds</b>	<b>8,045</b>	<b>8,177</b>	<b>132</b>	<b>8,697</b>	<b>520</b>
Corporate Franchise Tax	3,704	3,628	(76)	4,047	419
Corporation & Utilities Tax	905	942	37	979	37
Insurance Tax	1,471	1,550	79	1,636	86
Bank Tax	878	967	89	940	(27)
Petroleum Business Tax	1,087	1,090	3	1,095	5

## 2009-10 ALL FUNDS FINANCIAL PLAN

All Funds business tax receipts for 2011-12 and 2012-13 reflect trend growth that is determined in part by the expected levels of corporate profits, taxable insurance premiums, electric utility consumption prices, the consumption of telecommunications services and automobile fuel consumption and fuel prices. Business tax receipts are projected to increase to \$8.2 billion (1.6 percent) in 2011-12, and \$8.7 billion (6.4 percent) in 2012-13. General Fund business tax receipts over this period are expected to increase to \$5.9 billion (1.7 percent) in 2011-12 and \$6.4 billion (8.0 percent) in 2012-13

### Other Taxes

OTHER TAXES (millions of dollars)							
	2008-09 Results*	2009-10 Estimated	Annual \$ Change	Annual % Change	2010-11 Projected	Annual \$ Change	Annual % Change
<b>General Fund**</b>	<b>1,188</b>	<b>982</b>	<b>(206)</b>	<b>-17.3%</b>	<b>959</b>	<b>(23)</b>	<b>-2.3%</b>
Estate Tax	1,163	958	(205)	-17.6%	935	(23)	-2.4%
Gift Tax	2	0	(2)	-100.0%	0	0	0.0%
Real Property Gains Tax	0	0	0	N/A	0	0	0.0%
Pari-Mutuel Taxes	22	23	1	4.5%	23	0	0.0%
All Other Taxes	1	1	0	0.0%	1	0	0.0%
<b>State/All Funds</b>	<b>1,889</b>	<b>1,357</b>	<b>(532)</b>	<b>-28.2%</b>	<b>1,422</b>	<b>65</b>	<b>4.8%</b>
Estate Tax	1,163	958	(205)	-17.6%	935	(23)	-2.4%
Gift Tax	2	0	(2)	-100.0%	0	0	0.0%
Real Property Gains Tax	0	0	0	N/A	0	0	0.0%
Real Estate Transfer Tax	701	375	(326)	-46.5%	463	88	23.5%
Pari-Mutuel Taxes	22	23	1	4.5%	23	0	0.0%
All Other Taxes	1	1	0	0.0%	1	0	0.0%

\* Unaudited Year-End Results.

\* Excludes Transfers.

All Funds other tax receipts for 2009-10 are estimated to be \$1.4 billion, down \$532 million or 28.2 percent from 2008-09 receipts. This decrease reflects a 17.6 percent decline in the estate tax collections due to declines in equity and home values experienced over the past year, combined with a nearly 47 percent decline in real estate transfer tax collections as a result of current conditions in the real estate and credit markets. General Fund other tax receipts are expected to total \$982 million in fiscal year 2009-10, reflecting the \$205 million decline in estate tax collections.



## 2009-10 ALL FUNDS FINANCIAL PLAN

All Funds other tax receipts for 2010-11 are projected to be \$1.4 billion, up \$65 million or 4.8 percent from 2009-10, reflecting growth in the real estate transfer tax of 23.5 percent, reflecting the beginning of a rebound in the residential and commercial markets, partially offset by a 2.4 percent decline in estate tax collections. General Fund other tax receipts are expected to total \$959 million in fiscal year 2010-11, an decrease of \$23 million which is attributable to a projected decline in the estate tax.

OTHER TAXES CHANGE FROM EXECUTIVE BUDGET (millions of dollars)								
	2009-2010				2010-2011			
	Executive Budget	Enacted Budget	\$ Change	% Change	Executive Update	Enacted Budget	\$ Change	% Change
<b>General Fund*</b>	<b>1,048</b>	<b>982</b>	<b>(66)</b>	<b>-6.3%</b>	<b>1,096</b>	<b>959</b>	<b>(137)</b>	<b>-12.5%</b>
Estate Tax	1,024	958	(66)	-6.4%	1,072	935	(137)	-12.8%
Gift Tax	0	0	0	0.0%	0	0	0	0.0%
Real Property Gains Tax	0	0	0	0.0%	0	0	0	0.0%
Pari-Mutuel Taxes	23	23	0	0.0%	23	23	0	0.0%
All Other Taxes	1	1	0	0.0%	1	1	0	0.0%
<b>State/All Funds</b>	<b>1,688</b>	<b>1,357</b>	<b>(331)</b>	<b>-19.6%</b>	<b>1,831</b>	<b>1,422</b>	<b>(409)</b>	<b>-22.3%</b>
Estate Tax	1,024	958	(66)	-6.4%	1,072	935	(137)	-12.8%
Gift Tax	0	0	0	0.0%	0	0	0	0.0%
Real Property Gains Tax	0	0	0	0.0%	0	0	0	0.0%
Real Estate Transfer Tax	640	375	(265)	-41.4%	735	463	(272)	-37.0%
Pari-Mutuel Taxes	23	23	0	0.0%	23	23	0	0.0%
All Other Taxes	1	1	0	0.0%	1	1	0	0.0%

\* Excludes Transfers.

All Funds other tax receipts in 2009-10 are revised down by \$331 million from the Executive Budget. All Funds other taxes are revised down by \$409 million for 2010-11. These revisions are mainly due to declines in equity prices and real estate markets resulting in downward revisions in the estate tax (\$66 million in 2009-10 and \$137 million in 2010-11) and real estate transfer tax (\$265 million in 2009-10 and \$272 million in 2010-11).

OTHER TAXES (millions of dollars)					
	2010-11 Projected	2011-12 Projected	Annual \$ Change	2012-13 Projected	Annual \$ Change
<b>General Fund*</b>	<b>959</b>	<b>1,015</b>	<b>56</b>	<b>1,077</b>	<b>62</b>
Estate Tax	935	991	56	1,053	62
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	23	23	0	23	0
All Other Taxes	1	1	0	1	0
<b>State/All Funds</b>	<b>1,422</b>	<b>1,566</b>	<b>144</b>	<b>1,708</b>	<b>142</b>
Estate Tax	935	991	56	1,053	62
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Real Estate Transfer Tax	463	551	88	631	80
Pari-Mutuel Taxes	23	23	0	23	0
All Other Taxes	1	1	0	1	0

\* Excludes Transfers.

## **2009-10 ALL FUNDS FINANCIAL PLAN**

The 2011-12 All Funds receipts projection for other taxes is nearly \$1.6 billion, up \$144 million or 10.1 percent from 2010-11 receipts. Growth in the estate tax is projected to follow expected increases in household net worth as equity prices begin to rebound. Receipts from the real estate transfer tax are projected to increase, reflecting the continued improvement in the residential and commercial markets.

The 2012-13 All Funds receipts projection for other taxes of \$1.7 billion is up \$142 million or 9.1 percent from 2011-12 receipts.

### **Miscellaneous Receipts and Federal Grants**

<b>MISCELLANEOUS RECEIPTS AND FEDERAL GRANTS</b>							
<b>(millions of dollars)</b>							
	<b>2008-09</b>	<b>2009-10</b>	<b>Annual \$</b>	<b>Annual %</b>	<b>2010-11</b>	<b>Annual \$</b>	<b>Annual %</b>
	<b>Results*</b>	<b>Estimated</b>	<b>Change</b>	<b>Change</b>	<b>Projected</b>	<b>Change</b>	<b>Change</b>
<b>General Fund</b>	<b>3,150</b>	<b>3,381</b>	<b>231</b>	<b>7.3%</b>	<b>3,022</b>	<b>(359)</b>	<b>-10.6%</b>
Miscellaneous Receipts	3,105	3,381	276	8.9%	3,022	(359)	-10.6%
Federal Grants	45	0	(45)	-100.0%	0	0	0.0%
<b>State Funds</b>	<b>19,928</b>	<b>22,028</b>	<b>2,100</b>	<b>10.5%</b>	<b>21,502</b>	<b>(526)</b>	<b>-2.4%</b>
Miscellaneous Receipts	19,883	22,027	2,144	10.8%	21,501	(526)	-2.4%
Federal Grants	45	1	(44)	-97.8%	1	0	0.0%
<b>All Funds</b>	<b>58,898</b>	<b>69,903</b>	<b>11,005</b>	<b>18.7%</b>	<b>70,171</b>	<b>268</b>	<b>0.4%</b>
Miscellaneous Receipts	20,064	22,185	2,121	10.6%	21,653	(532)	-2.4%
Federal Grants	38,834	47,718	8,884	22.9%	48,518	800	1.7%

\* Unaudited Year-End Results.

All Funds miscellaneous receipts include moneys received from HCRA financing sources, SUNY tuition and patient income, lottery receipts for education, assessments on regulated industries, and a variety of fees and licenses. All Funds miscellaneous receipts are projected to total \$22.2 billion in 2009-10, an increase of \$2.1 billion from 2008-09 results, largely driven by programs financed with authority bond proceeds (\$718 million), including spending in economic development, SUNY and State equipment financing; growth in SUNY tuition, fee, patient, and other income (\$459 million), increased lottery receipts, including VLT (\$213 million) and growth in HCRA receipts (\$470 million).

Federal grants help pay for State spending on Medicaid, temporary and disability assistance, mental hygiene, school aid, public health, and other activities. Annual changes to Federal grants generally correspond to changes in federally-reimbursed spending. Accordingly, DOB typically plans that Federal reimbursement will be received in the State fiscal year in which spending occurs, but timing is often unpredictable. All Funds Federal grants are projected to total \$47.7 billion in 2009-10, an increase of \$8.9 billion from 2008-09 results driven by receipt of Federal ARRA monies.

General Fund miscellaneous receipts collections are estimated to be approximately \$3.4 billion in 2009-10, up \$276 million from 2008-09 results. This increase is primarily due to actions taken with the 2009-10 Enacted Budget.

## 2009-10 ALL FUNDS FINANCIAL PLAN

All Funds miscellaneous receipts are projected to total \$21.7 billion in 2010-11, a decrease of \$532 million from the current year, driven by General Fund changes of \$359 million primarily due to the loss of several one-time receipts including payments related to NYPA, augmented by a decline in programs financed with authority bond proceeds (\$150 million).

All Funds Federal grants are projected to total \$48.5 billion in 2010-11, an increase of \$800 million from the current year reflecting an increase in Federal ARRA funding.

<b>MISCELLANEOUS RECEIPTS AND FEDERAL GRANTS CHANGE FROM EXECUTIVE BUDGET</b>								
(millions of dollars)								
	2009-2010				2010-2011			
	Executive Budget	Enacted Budget	\$ Change	% Change	Executive Update	Enacted Budget	\$ Change	% Change
<b>General Fund*</b>	<b>3,806</b>	<b>3,381</b>	<b>(425)</b>	<b>-11.2%</b>	<b>3,189</b>	<b>3,022</b>	<b>(167)</b>	<b>-5.2%</b>
Miscellaneous Receipts	3,806	3,381	(425)	-11.2%	3,189	3,022	(167)	-5.2%
Federal Grants	0	0	0	0.0%	0	0	0	0.0%
<b>State Funds</b>	<b>22,949</b>	<b>22,028</b>	<b>(921)</b>	<b>-4.0%</b>	<b>22,914</b>	<b>21,502</b>	<b>(1,412)</b>	<b>-6.2%</b>
Miscellaneous Receipts	22,948	22,027	(921)	-4.0%	22,913	21,501	(1,412)	-6.2%
Federal Grants	1	1	0	0.0%	1	1	0	0.0%
<b>All Funds</b>	<b>58,891</b>	<b>69,903</b>	<b>11,012</b>	<b>18.7%</b>	<b>60,433</b>	<b>70,171</b>	<b>9,738</b>	<b>16.1%</b>
Miscellaneous Receipts	23,054	22,185	(869)	-3.8%	23,019	21,653	(1,366)	-5.9%
Federal Grants	35,837	47,718	11,881	33.2%	37,414	48,518	11,104	29.7%

\* Excludes Transfers.

All Funds miscellaneous receipts are projected to total \$22.2 billion in 2009-10, a decrease of \$869 million from the Executive Budget, primarily driven by delayed Emblem proceeds to the HCRA fund, and the loss of several Executive Budget proposals including sweeps of Battery Park funds, Automated Speed Enforcement Fines, and a modification to the 18A Assessment in the General Fund and health care and lottery proposals in other State funds. All Funds Federal grants are projected to total \$47.7 billion in 2009-10, an increase of \$11.9 billion from the Executive Budget, primarily driven by Federal ARRA funding.

General Fund miscellaneous receipts are projected to total \$3.4 billion in 2009-10, a decrease of \$425 million from the Executive Budget. Revisions to the forecast primarily reflect the loss of several Executive Budget proposals, including sweeps of Battery Park funds, Automated Speed Enforcement Fines, and a modification to the 18A Assessment.

All Funds Federal grants are projected to total \$48.5 billion in 2010-11, an upward revision of \$11.1 billion from the Executive Budget which reflects Federal ARRA aid.

General Fund miscellaneous receipts projections for 2010-11 are revised down by \$167 million from the Executive Budget, and primarily reflect the rejection of several Executive Budget proposals, including Automated Speed Enforcement and a modification to the 18-A Assessment.

## 2009-10 ALL FUNDS FINANCIAL PLAN

<b>MISCELLANEOUS RECEIPTS AND FEDERAL GRANTS</b> (millions of dollars)					
	<b>2010-11</b>	<b>2011-12</b>	<b>Annual \$</b>	<b>2012-13</b>	<b>Annual \$</b>
	<b>Projected</b>	<b>Projected</b>	<b>Change</b>	<b>Projected</b>	<b>Change</b>
<b>General Fund</b>	<b>3,022</b>	<b>3,017</b>	<b>(5)</b>	<b>3,043</b>	<b>26</b>
Miscellaneous Receipts	3,022	3,017	(5)	3,043	26
Federal Grants	0	0	0	0	0
<b>State Funds</b>	<b>21,502</b>	<b>22,472</b>	<b>970</b>	<b>21,863</b>	<b>(609)</b>
Miscellaneous Receipts	21,501	22,471	970	21,862	(609)
Federal Grants	1	1	0	1	0
<b>All Funds</b>	<b>70,171</b>	<b>65,677</b>	<b>(4,494)</b>	<b>64,362</b>	<b>(1,315)</b>
Miscellaneous Receipts	21,653	22,574	921	21,965	(609)
Federal Grants	48,518	43,103	(5,415)	42,397	(706)

General Fund miscellaneous receipts and Federal grants are projected to be \$3.0 billion in each year beginning in 2010-11.

All funds miscellaneous receipts are projected to increase by \$921 million in 2011-12 and decline by \$609 million in 2012-13 driven by the one-time receipt of franchise fees related to the development of VLT facilities (\$370 million).

The loss of Federal ARRA aid drives the All Funds Federal grant declines of \$5.4 billion in 2011-12 and \$706 million in 2012-13.

### **ENACTED BUDGET REVENUE ACTIONS**

To preserve essential services while closing a combined record \$20.1 billion budget gap for the 2008-09 and 2009-10 fiscal years, the Enacted Budget authorizes a number of revenue actions.

On a General Fund basis, actions in the DRP and Enacted Budget will together increase tax or fee revenue by a total of \$4.8 billion (\$6.1 billion All Funds) in 2009-10. Other General Fund revenue actions total \$581 million (\$610 million All Funds) in 2009-10.

#### **Increased Taxes or Fees**

(General Fund: \$4.8 billion; All Funds: \$6.1 billion in 2009-10)

- **Tax Reform and Actions.** The Enacted Budget contains actions that would reform or change certain components of the State's tax code to ensure that tax burdens are fairly distributed, improve consistency with other taxing jurisdictions, ease tax administration, close loopholes, and eliminate unaffordable or ineffective exemptions. These actions are expected to produce \$4.2 billion in additional tax revenue in 2009-10 on a General Fund basis (\$4.3 billion on an All Funds basis).
- **New or Increased Fees.** The Enacted Budget includes new or increased fees, most of which finance specific activities and/or have not been increased in several

## **2009-10 ALL FUNDS FINANCIAL PLAN**

years. They are expected to produce \$78 million in additional General Fund revenue (\$271 million All Funds) during the 2009-10 fiscal year.

- **Charges and Assessments for Specific Sectors.** The Enacted Budget contains targeted charges and assessments either on specific sectors or to finance health care-related investments. These actions are expected to produce \$557 million in additional General Fund revenue (\$1.5 billion All Funds) during the 2009-10 fiscal year.

### **Other Revenues**

(General Fund: \$581 million; All Funds: \$610 million in 2009-10)

- **New or Increased Fines.** The Enacted Budget includes new fines meant to discourage illegal or dangerous behavior and improve public safety. These fines are expected to produce \$21 million in revenue on both a General Fund and an All Funds basis during the 2009-10 fiscal year.
- **Other Revenue Actions.** The Enacted Budget contains other revenue actions, ranging from expanding the bottle bill, reforming the Empire Zone Program, and changing the timing of certain tax payments but not a taxpayer's overall liability, among others. They are expected to produce \$564 million in additional General Fund revenues (\$593 million All Funds) during the 2009-10 fiscal year.
- **New or Expanded Tax Credits.** The Enacted Budget includes two new or expanded tax credits at a cost of \$4 million in 2009-10 on both a General Fund and All Funds basis.

ENACTED BUDGET REVENUE ACTIONS	ENACTED BUDGET AGREEMENT (millions of dollars)			
	2009-10		2010-11	
	General	All Funds	General	All Funds
<b>TAX REFORMS AND ACTIONS</b>				
Temporary PIT Increase	3,948.0	3,948.0	4,778.0	4,778.0
Impose Sales Tax on Transportation-Related Spending	25.6	25.6	34.2	34.2
Itemized Deduction Limitation	140.0	140.0	200.0	200.0
Cigar Tax Increase	10.0	10.0	15.0	15.0
Eliminate Underutilized Tax Credits	2.0	2.0	2.0	2.0
Gain from the Sale of Partnerships	0.0	0.0	10.0	10.0
Amend the Definition of Presence in New York	0.0	0.0	5.0	5.0
Abusive Tax Avoidance	4.0	4.0	6.3	6.3
Affiliate Nexus	9.0	9.0	12.0	12.0
Change Filing Requirement for Overcapitalized Captive Insurance Corporations	31.0	33.0	25.0	29.0
Increase Beer and Wine Tax Rates	14.0	14.0	14.0	14.0
Increase Auto Rental Tax	0.0	8.0	0.0	10.0
Film Credit Program Modifications			192.0	192.0
Impose Insurance Premiums Tax for For-Profit HMOs	0.0	150.0	0.0	171.6
<b>TOTAL TAX REFORMS AND ACTIONS</b>	<b>4,183.6</b>	<b>4,343.6</b>	<b>5,293.5</b>	<b>5,479.1</b>

## **2009-10 ALL FUNDS FINANCIAL PLAN**

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### ***Tax Reforms and Actions***

- **Temporary PIT Increase.** For tax years 2009, 2010, and 2011 a new 7.85 percent bracket applies to single filers with taxable income above \$200,000, head of household filers with taxable income above \$250,000 and married filing jointly filers with taxable incomes above \$300,000. In addition, a new 8.97 percent bracket applies to all filing statuses with taxable incomes in excess of \$500,000.
- **Extend Sales Tax to Transportation-Related Spending.** Imposes a sales tax on transportation-related consumer spending, including limousines and black cars. Sixteen states currently tax limousine services.
- **Limit Itemized Deduction for High Income Taxpayers.** Limits the ability of taxpayers with incomes over \$1 million to reduce their tax liability by claiming itemized deductions. Currently, taxpayers with incomes over \$525,000 are allowed to claim 50 percent of the value of itemized deductions. Charitable deductions are excluded from this limitation and may still be claimed as itemized deductions for the purposes of State income taxes. Additionally, all of these deductions can still be claimed on Federal taxes.
- **Increase Tobacco Tax Rate.** Increases the tobacco products tax from 37 percent of the wholesale price to 46 percent of the wholesale price. This tax was last increased in 2002.
- **Eliminate Underutilized Tax Credits.** Eliminates two credits currently allowed under corporate franchise taxes and PIT. Both of these credits are underused, as indicated by the number of claimants and by the small total dollars claimed. The eliminated credits are the fuel cell electric generating credit and the transportation improvement contributions credit.
- **Treat Gain from the Sale of Partnerships as Income.** Requires nonresidents to include gains from the sale of entity interests as New York-source income if the gain is from sales of real property located in New York. Previously, nonresidents could create partnerships to sell property located in New York State and then sell their partnership interest, which was not taxable for a nonresident because it was considered intangible income.
- **Amend the Definition of Presence in New York.** Closes the loophole which allowed taxpayers to avoid residency in New York (and therefore avoid New York tax liability) by having family members stay at sites other than the taxpayer's primary residence. This legislation requires that spouses and children only be "present in New York" instead of "present at taxpayers' PPA in New York" for purposes of a test of New York residency.
- **Address Abusive Tax Avoidance.** Ends two sales tax avoidance schemes used during the purchase of motor vehicles, aircrafts (including but not limited to corporate jets), and vessels (including but not limited to yachts). First, this proposal targets business entities that avoid sales tax on their purchases of

aircrafts used primarily to transport their corporate executives. Such entities take advantage of a sales tax exemption for commercial aircrafts by having the airplane purchased by a non-resident affiliate, which then charges resident affiliate employees for use of the aircraft. Second, the proposal targets New York residents that avoid sales tax on motor vehicles, vessels, and aircraft used in-state by forming a new corporation or a limited liability corporation that purchases the item in question out-of-state, brings the item into the State, and then allows the New York resident to use the item at will.

- **Expand Definition of Affiliate Nexus for Internet Sales.** Prevents a company from avoiding charging sales and use tax on internet purchases by creating independent but affiliated out-of-state entities to make those sales. Under this proposal, a company would create “nexus” with the State (and thus be required to collect sales tax) if an in-state affiliate uses a trademark, service mark, or trade name the same as or similar to that of the remote affiliate or if an in-state affiliate engages in activities that help the remote affiliate develop or maintain a market for its goods or services.
- **Change Filing Requirement for Overcapitalized Captive Insurance Corporations.** Clarifies that captive insurance companies receiving 50 percent or less of their gross receipts from insurance premiums will no longer meet the definition of an insurance business and must file a combined return with their closest affiliated corporate taxpayer. This provision closes a tax loophole that allowed companies to form a captive insurance subsidiary to shelter excessive amounts of income from assets held in pay-out reserves.
- **Increase Beer and Wine Tax Rates.** Increases the excise tax on wine and beer. The tax on wine would increase from 18.9 cents per gallon to 30 cents per gallon (approximately 2 cents per bottle of wine), and the beer tax would increase from 11 cents per gallon to 14 cents per gallon (approximately one-and-a-half cents per six pack). Alcohol excise taxes were last increased in 1991. These tax rates are still among the lowest in the nation.
- **Increase Auto Rental Tax.** Increases the auto rental tax from 5 percent to 6 percent on charges for the rental or use in the State of a passenger car with a gross vehicle weight of 9,000 pounds or less. This tax was established in 1990 and has not been increased since that time.
- **Film Credit Program Modifications.** For tax years beginning in 2009 or later, credit claims greater than or equal to \$1 million will be payable over two or three years, depending on the size of the credit. See also the Film Credit Expansion in the New or Expanded Tax Credits section below.
- **Impose Insurance Premiums Tax for For-Profit HMOs.** Includes for-profit health maintenance organizations as insurance corporations subject to the premiums tax under Article 33 of the Tax Law.

## **2009-10 ALL FUNDS FINANCIAL PLAN**

### ***New or Increased Fees***

<b>NEW OR INCREASED FEES</b> (millions of dollars)				
	<b>2009-10</b>		<b>2010-11</b>	
	<b>General Fund</b>	<b>All Funds</b>	<b>General Fund</b>	<b>All Funds</b>
Increase Feed Tonnage Fees	0.0	0.1	0.0	0.1
Increase Food Licensing Fees	0.0	3.2	0.0	3.2
Increase Civil Service Exam Fees	1.4	1.4	1.4	1.4
Increase Public Management Intern Placement Fee	0.0	0.2	0.0	0.2
Establish Security Guard Training Fees	0.4	0.4	0.4	0.4
Increase Nuclear Power Plant Fee	0.0	2.7	0.0	2.7
Motor Vehicle Registration Fee Increases	0.0	51.9	0.0	103.7
Motor Vehicle License Fee Increases	0.0	18.8	0.0	37.6
License Plate Issuance	0.0	0.0	129.0	129.0
Establish a Fee for MV-278 Certificate	0.4	0.4	0.5	0.5
Increase State Pollutant Discharge Elimination System Fees	0.0	5.0	0.0	5.0
Establish New Marine Fishing License	0.0	3.0	0.0	6.0
Increase DEC Education Camp Fee	0.0	0.1	0.0	0.1
Increase Certificate of Need Fees	0.0	4.0	0.0	4.0
Increase Asbestos Fee	6.5	6.5	6.5	6.5
Increase Boiler Fee	1.0	1.0	1.0	1.0
Establish Explosives Fees and Penalties	0.3	0.3	0.3	0.3
Increase Real Property Transfer Fee	14.3	14.3	19.0	19.0
Increase Parks Administrative Fee	0.0	6.5	0.0	6.5
Establish Horse Entrance Fee	0.0	1.0	0.0	1.0
Increase in Surcharge on Auto Insurance	0.0	48.4	0.0	64.5
Establish Bad Check Fee	1.5	1.5	1.5	1.5
Establish Tax Preparer Fee	4.0	4.0	4.0	4.0
Increase Highway Use Tax Renewal Fees	0.0	4.6	0.0	0.0
Cigarette and Tobacco Retail Registration Fee	(1.8)	16.7	(7.4)	6.2
Establish Non-LLC Partnership Fee	50.0	50.0	50.0	50.0
Establish Pesticide Applicator Fee	0.0	5.0	0.0	5.0
Establish Wetland Permit Application Fee	0.0	1.3	0.0	1.3
Increase Mined Land Reclamation Fees	0.0	1.7	0.0	1.7
Increase Hunting and Fishing License Fees	0.0	16.0	0.0	16.0
Increase Title V Program Fees	0.0	0.9	0.0	0.9
<b>TOTAL NEW OR INCREASED FEES</b>	<b>78.0</b>	<b>270.9</b>	<b>206.2</b>	<b>479.4</b>

- **Increase Feed Tonnage Fees.** Increases fees for distributors transporting feed from \$.05 per ton to \$.10 per ton to help recoup a greater portion of inspection costs. This fee was last amended in 1996.
- **Increase Food Licensing Fees.** Increases biennial food licensing fees from \$200 to \$400 for food processors and warehouses; from \$200 to \$900 for complex food processors requiring more frequent inspection; and from \$100 to \$250 for retail food stores. Revenues will help to cover the costs of conducting inspections. Food processing fees were last amended in 2002, while retail food store and food warehouse fees were last increased in 2003.
- **Increase Civil Service Exam Fees.** Increases fees for open-competitive exams by \$5 above the existing range of \$20 to \$40, establishes fees for promotional exams ranging from \$10 to \$25, and changes the charge for local exam fees to a



per-application cost rather than a per-completed test cost. The existing fees were last changed in 2004.

- **Increase Public Management Intern Placement Fee.** Increases the fee paid by State agencies to recruit and place a Public Management Intern from \$5,000 to \$7,600 per appointment to fully offset program costs. The last increase to this fee was in 2006.
- **Establish Security Guard Training Fee.** Establishes an initial certification fee of \$500 to qualify as a security guard instructor, as well as a renewal fee of \$250 every five years. In addition, security guard training schools would be required to pay an initial certification fee of \$1,000, and a renewal fee of \$500 every two years.
- **Increase Nuclear Power Plant Fee.** Increases fees paid by nuclear power plant operators from \$550,000 to \$1 million per nuclear reactor. These annual fees support the radiological disaster preparedness efforts of the State and the local communities where the plants are located. These fees were last changed in 1994.
- **Increase Motor Vehicle Registration Fee.** Increases registration fees for most vehicles and fees for distinctive plates by 25 percent. The average vehicle registration fee will increase from \$44 to \$55. Revenues will be directed to the Dedicated Highway and Bridge Trust Fund. These fees were last increased in 1998.
- **Increase Motor Vehicle License Fee.** Increases original and renewal driver's license fees by approximately 25 percent. Revenues will be directed to the Dedicated Highway and Bridge Trust Fund. These fees were last increased in 1992.
- **Reissue License Plates.** Effective April 1, 2010, the license plate reissuance fee is increased from \$15 to \$25, with the additional revenues directed to the General Fund. License plates were last reissued in 2001.
- **Establish a Fee for MV-278 Certificates.** Creates a new \$50 fee for a book of MV-278 pre-licensing classroom certificates. Currently, these books are distributed to driving schools at no charge.
- **Increase State Pollutant Discharge Elimination System Fees.** Increases 13 permit fees for private, commercial and institutional facilities, industrial facilities and stormwater facilities. A portion of these fees were last increased in 2003.
- **Establish New Marine Fishing License.** Establishes a new marine fishing license at a cost of \$10 for State residents, and \$15 for out-of-state individuals. The marine fishing licensing would also serve as a registry of New York marine anglers and would fulfill requirements of the National Saltwater Angler Registry and State Exemption Program.

## **2009-10 ALL FUNDS FINANCIAL PLAN**

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- **Increase DEC Education Camp Fee.** Increases tuition at DEC's four education camps from \$250 to \$325. This fee was last increased in 2005.
- **Increase Certificate of Need Fees.** Increases fees on health care providers for new establishment/licensure, construction or renovation. Revenue generated by such fees will be used to support DOH's administration of the certificate of need process. These fees were last raised in 2001.
- **Increase Asbestos Project Notification Fees.** Increases the fee for asbestos abatement project notification, which is based on project scale. The fee ranges from \$100 to \$1,000 and will be doubled. This fee was last increased in 1988.
- **Increase Insurance Company Boiler Fees.** Increases the fee for insurance industry boiler inspection reports. The fee is currently \$50 and will be doubled. This fee was last increased in 2004.
- **Establish Explosives Fee and Penalty.** Adds explosives handling and pyrotechnics to the explosives licensing requirements, and adds new civil penalties for violation of these requirements. This change would impose a civil penalty of up to \$10,000 per day for each violation of licensing and other explosives requirements.
- **Increase Real Property Transfer Fee.** Raises the real property transfer fee that is paid whenever a deed is recorded. Last changed in 2004, the fee would increase from \$75 to \$125 for residential property, from \$165 to \$250 for commercial property, and from \$50 to \$100 for non-deeded transfers (housing cooperatives) in New York City.
- **Increase Parks Administrative Fees.** Raises administrative fees for various park activities, such as camping, cabin rentals, golf, and marina usage, as well as for Empire passports, passes and permits. These fees were last increased at various times between 2003 and 2006.
- **Establish Horse Entrance Fee.** Establishes a new fee of \$10 for every horse starting in pari-mutuel races conducted in New York State.
- **Increase Surcharge on Auto Insurance.** Increases the Motor Vehicle Law Enforcement Fee on auto insurance policies from \$5 to \$10 to support State police operations. This fee was last raised in 2003.
- **Establish Bad Check Fee.** Creates a new fee of \$50 for checks returned due to insufficient funds by the Department of Taxation and Finance.
- **Establish Tax Preparer Fee.** Creates a new fee of \$100 to be paid by all persons who are compensated for the preparation of 10 or more tax returns.

## **2009-10 ALL FUNDS FINANCIAL PLAN**

- **Increase Highway Use Tax Registration Fee.** Increase the highway use fee for a registration certificate from \$4 to \$15 for a motor vehicle, and from \$2 to \$15 for a trailer, semi-trailer, dolly or other drawn device. The fee was last amended in 1984.
- **Increase Cigarette and Tobacco Retail Registration Fee.** Increases the cigarette and tobacco retailer registration fee from \$100 to \$1,000 for retailers with gross sales of under \$1 million, to \$2,500 for retailers with gross sales of \$1 million but less than \$10 million, and to \$5,000 for retailers with gross sales of \$10 million or more. This fee was last changed in 1990.
- **Establish Non-LLC Partnership Fee.** Imposes a new fee on non-LLC partnerships equal to fee amounts that currently apply to LLCs. Amounts range from \$1,500 to \$4,500. Unlike the current LLC fee, partnerships with New York-source gross income under \$1 million would be exempt.
- **Increase Pesticide Applicator Fee.** Pesticide applicator permit fees are doubled. The first \$5 million of revenues are deposited into EPF.
- **Establish Wetland Permit Application Fee.** Wetland permit application fees are established at various levels for all wetland applications. All fees collected will be deposited into EPF.
- **Increase Mined Land Reclamation Fees.** Fees are doubled for mined land reclamation at sites of 20 acres or more. Proceeds will be directed to the Mined Land Reclamation account.
- **Increase Hunting and Fishing License Fees.** Increases various hunting and fishing license fees. Proceeds will be directed to the Conservation Fund.
- **Increase Title V Program Fees.** Title V operation permit program fees are increased on a sliding scale based on emissions levels. Revenues will be deposited to the operating permit program account.

### ***Charges and Assessments for Specific Sectors***

<b>CHARGES AND ASSESSMENTS FOR SPECIFIC SECTORS</b>				
<i>(millions of dollars)</i>				
	<b>2009-10</b>		<b>2010-11</b>	
	<b>General Fund</b>	<b>All Funds</b>	<b>General Fund</b>	<b>All Funds</b>
Increase Utility Assessment	557.0	557.0	557.0	557.0
Reinstitute Hospital Assessment	0.0	124.3	0.0	135.6
Reinstitute Home Care Assessment	0.0	14.2	0.0	16.0
Increase Hospital Surcharges	0.0	99.0	0.0	108.0
Increase Covered Lives Assessment	0.0	240.0	0.0	120.0
Extend the Covered Lives Assessment	0.0	5.0	0.0	5.0
Increase Insurance Assessment for Public Health Programs	0.0	399.5	0.0	221.0
<b>TOTAL CHARGES AND ASSESSMENTS FOR SPECIFIC SECTORS</b>	<b>557.0</b>	<b>1,439.0</b>	<b>557.0</b>	<b>1,162.6</b>

## ***2009-10 ALL FUNDS FINANCIAL PLAN***

- **Increase Utility Assessment.** Increases the current regulatory fee on public utilities, including electric, gas, and water. This action will pay for State regulatory and management oversight by raising the fee from 1/3 of 1 percent to 1 percent of intrastate revenues, expanding the fee to include energy service companies, and establishing an additional 1 percent State energy and utility service conservation assessment, which will expire on March 31, 2014. In recognition of the competitive nature of the telecommunications industry, telecommunications utilities regulated under Public Service Law Section 18-a are exempted from this temporary assessment.
- **Reinstitute Hospital Assessment.** Reinstates the 0.35 percent assessment on hospital inpatient revenues.
- **Reinstitute Home Care Assessment.** Reinstates a 0.35 percent assessment on total home care provider revenues. A similar assessment was in effect from 1995 to 1999.
- **Increase Hospital Surcharges.** Proportionately increases the three health care surcharges paid by insurers on hospital patient services. These were last increased in 2006.
- **Increase Covered Lives Assessment.** Increases the covered lives assessment on insurers to \$1.04 billion. This assessment was last raised in 2008.
- **Extend the Covered Lives Assessment.** Applies the covered lives assessment to insurers headquartered out-of-state.
- **Increase Insurance Assessment for Public Health Programs.** Finances the Healthy New York and HMO Direct Pay programs through assessments on the insurance industry.

### ***New or Increased Fines***

<b>NEW OR INCREASED FINES</b> (millions of dollars)				
	<b>2009-10</b>		<b>2010-11</b>	
	<b>General Fund</b>	<b>All Funds</b>	<b>General Fund</b>	<b>All Funds</b>
Allow Civil Penalties for Non-Housing Cases	0.1	0.1	0.2	0.2
Remove Cap on Surcharges	6.0	6.0	7.1	7.1
Increase Vehicle and Safety Fines	0.6	0.6	0.7	0.7
Establish Uncertified Crane Operation Penalty	0.4	0.4	0.4	0.4
Increase License Suspension Fees	13.4	13.4	16.1	16.1
Increase Food Safety Violation Penalties	0.6	0.6	0.6	0.6
<b>TOTAL NEW OR INCREASED FINES</b>	<b>21.1</b>	<b>21.1</b>	<b>25.1</b>	<b>25.1</b>

## ***2009-10 ALL FUNDS FINANCIAL PLAN***

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- **Allow Civil Penalties for Non-Housing Cases.** Authorizes the assessment of civil penalties for violations of Human Rights Law. Currently, civil penalties are authorized only for housing discrimination violations of the Human Rights Law. These penalties were established in 1997 and carry a maximum civil penalty of \$50,000 unless the discrimination is shown to be malicious, in which case the maximum penalty is \$100,000.
- **Increase Cap on Traffic Violation Surcharges.** Raises the cap on surcharges for violators with two or more convictions arising out of the same traffic incident from \$100 to \$180.
- **Increase Vehicle Safety Fines.** Increases the civil penalty for repair shops, inspection stations, and dealers who falsify safety and emission inspections or falsify vehicle repairs, from a maximum of \$350 for each violation to \$750 maximum for first violation and \$1,000 maximum for additional violations within a thirty month period. This bill mandates a minimum of \$350 fine for each fraud violation. This bill will also increase the civil penalty for dealers from a maximum penalty of \$1,000 for each violation to a maximum of \$1,000 for the first violation and a maximum of \$1,500 for additional violations within a thirty month period.
- **Establish Uncertified Crane Operation Penalty.** Adds a new civil penalty of \$1,000 to \$3,000 for individuals that operate a crane without a certificate of competence issued by Department of Labor. In addition, employers that knowingly allow employees to operate a crane without certification will be assessed a penalty of \$5,000 to \$10,000.
- **Increase License Suspension Fees.** Increases fees for license suspension from \$25 to \$50 for non-alcohol related suspensions. This proposal also increases the scofflaw termination fee from \$35 to \$70, and increases the license reinstatement fee from \$50 to \$100. These fees were last increased in 1992.
- **Increase Food Safety Violation Penalties.** Increases the maximum penalty for the first critical health violation of Agriculture & Markets Law from \$300 to \$600, and from \$600 to \$1,200 for each subsequent finding. This penalty was last amended in 1990. Additionally, the maximum penalty for the first critical health deficiency finding related to a rule or order of the Department will increase from \$200 to \$400 and from \$400 to \$800 for each subsequent finding. This penalty was last amended in 1968. Both increased penalties are intended to improve compliance with statutes and regulations.

## **2009-10 ALL FUNDS FINANCIAL PLAN**

### **Other Revenue Actions**

<b>OTHER REVENUE ACTIONS</b> (millions of dollars)				
	<b>2009-10</b>		<b>2010-11</b>	
	<b>General Fund</b>	<b>All Funds</b>	<b>General Fund</b>	<b>All Funds</b>
Expand the Bottle Bill	115.0	115.0	115.0	115.0
Reform the Empire Zones Program	90.0	90.0	101.0	101.0
Expand Tax Compliance	6.9	6.9	(41.1)	(41.1)
Reciprocal Vendor Offset	5.0	5.0	30.0	30.0
Increase Prepaid Sales Tax Rates on Cigarettes	14.0	14.0	0.0	0.0
Increase Prepayment to 40%	333.0	351.0	0.0	0.0
Allow Additional Multi-Jurisdictional Lottery Games	0.0	11.0	0.0	21.0
<b>TOTAL OTHER REVENUE ACTIONS</b>	<b>563.9</b>	<b>592.9</b>	<b>204.9</b>	<b>225.9</b>

- **Expand the Bottle Bill.** Expands the 5-cent deposit to include additional beverage containers and provides for the return of a portion of the unclaimed deposits to the State. Proceeds will be directed to the General Fund.
- **Reform the Empire Zones Program.** The Enacted Budget includes a number of reforms that will rein in long-documented abuses in the Empire Zone program. Firms that have re-incorporated to maximize Empire Zone credits without making any new investments or creating any new jobs (“shirt-changers”), as well as those producing less than \$1 in actual investment and wages for every \$1 in State tax incentives (below 1:1 cost-benefit standard) will be decertified from the program. For companies certified after April 1, 2009, a 75 percent cap on the amount of Real Property Tax Credits that a firm can receive will be imposed, and no State sales tax exemption will be provided to a firm unless the local county also provides an Empire Zone sales tax exemption. Additionally, the Enacted Budget creates a statutory requirement that all new program participants meet a 20:1 cost-benefit standard, except for manufacturing firms, which must meet a 10:1 standard, reflecting the unique nature of their industry. To accelerate future reforms, the Empire Zone program will sunset on June 30, 2010 – one year earlier than in current law. Overall, these actions will provide savings of \$90 million in 2009-10.
- **Expand Tax Compliance.** Provides the Department of Taxation and Finance with a variety of tax enforcement tools to ensure that taxpayers are remitting the taxes they owe, including the ability to verify tax liability through the use of third party information, and increases in the interest rates tied to the underpayment of tax. This package will provide for a more comprehensive audit, compliance and tax enforcement program.
- **Enact Reciprocal Vendor Offsets.** Create a reciprocal program with the U.S. Treasury Department and other states to intercept vendor payments to satisfy legally enforceable and past due income tax debts.

## **2009-10 ALL FUNDS FINANCIAL PLAN**

- **Increase Prepaid Sales Tax Rates on Cigarettes.** Increase the prepaid sales tax rate on cigarettes from 7 percent to 8 percent, consistent with the sales tax rate in most New York State jurisdictions. This will not change the amount of tax liability, but simply the timing of payments.
- **Allow for Mailing of Decals To TMT Carriers.** Provides the authority for the Tax Department to mail decals to TMT carriers. The State amended its Highway Use Tax law due to a Federal law that stated that states were no longer allowed to use a permit/decal system. That law has since been repealed.
- **Increase Tax Prepayment.** Increases the mandatory first installment of tax due from certain taxpayers from 30 percent to 40 percent of the previous year's tax liability. For most taxpayers, this installment is due in March with the filing of the previous year's tax return. This will not change the amount of tax liability, but simply the timing of payments.
- **Extend Pari-Mutuel Tax.** Extends lower pari-mutuel tax rates for one year. This provision will also extend by one year the rules governing the simulcasting of out-of-state races and the authorization for account wagering.
- **VLT Technical Amendments.** Makes technical amendments to the VLT law to remove duplicative language and to clarify administrative provisions of the law.
- **Allow for Additional Multi-Jurisdictional Lottery Games.** Removes the restriction that currently allows the Division of the Lottery to join only one multi-jurisdictional game. This action will allow the Lottery to be part of new multi-jurisdictional gaming associations.

### ***New or Expanded Tax Credits***

<b>NEW OR EXPANDED TAX CREDITS</b>				
<b>(millions of dollars)</b>				
	<b>2009-10</b>		<b>2010-11</b>	
	<b>General Fund</b>	<b>All Funds</b>	<b>General Fund</b>	<b>All Funds</b>
Expand the Low Income Housing Tax Credit Program	(4.0)	(4.0)	(4.0)	(4.0)
Film Credit Expansion	0.0	0.0	0.0	0.0
<b>TOTAL NEW OR EXPANDED TAX CREDITS</b>	<b>(4.0)</b>	<b>(4.0)</b>	<b>(4.0)</b>	<b>(4.0)</b>

- **Expand the Low Income Housing Tax Credit Program.** Authorizes the Commissioner of the Division of Housing and Community Renewal to allocate an additional \$4 million in aggregate credit awards to taxpayers that develop qualifying housing projects for low-income New Yorkers. Credits are given in equal installments for a 10 year period, so that the total amount of credits that will be awarded from this new authorization will be \$40 million.
- **Film Credit Expansion.** Includes \$350 million in new authorization for the State's film tax credit.

## 2009-10 ALL FUNDS FINANCIAL PLAN

### 2009-10 DISBURSEMENTS FORECAST

TOTAL DISBURSEMENTS (millions of dollars)							
	2008-09 Results **	2009-10 Base	Before Actions **		2009-10 Enacted	After Actions	
			Annual \$ Change	Annual % Change		Annual \$ Change	Annual % Change
<b>State Operating Funds</b>	<b>78,168</b>	<b>88,154</b>	<b>9,986</b>	<b>12.8%</b>	<b>78,742</b>	<b>574</b>	<b>0.7%</b>
General Fund *	48,436	57,136	8,700	18.0%	49,449	1,013	2.1%
Other State Funds	25,146	25,804	658	2.6%	24,075	(1,071)	-4.3%
Debt Service Funds	4,586	5,214	628	13.7%	5,218	632	13.8%
<b>All Governmental Funds</b>	<b>121,571</b>	<b>132,753</b>	<b>11,182</b>	<b>9.2%</b>	<b>131,935</b>	<b>10,364</b>	<b>8.5%</b>
State Operating Funds	78,168	88,154	9,986	12.8%	78,742	574	0.7%
Capital Projects Funds	6,830	7,983	1,153	16.9%	8,832	2,002	29.3%
Federal Operating Funds	36,573	36,616	43	0.1%	44,361	7,788	21.3%
<b>General Fund, including Transfers</b>	<b>54,607</b>	<b>63,565</b>	<b>8,958</b>	<b>16.4%</b>	<b>54,908</b>	<b>301</b>	<b>0.6%</b>

\* Excludes transfers.

\*\* Unaudited Results.

\*\*\* i.e. current services.

General Fund disbursements, including transfers to other funds, are projected to total \$54.9 billion in 2009-10, an increase of \$301 million from 2008-09 results. State Operating Funds spending, which includes both the General Fund and spending from other operating funds supported by assessments, tuition, HCRA resources and other non-Federal revenues, is projected to total \$78.7 billion in 2009-10. The General Fund and State Operating Funds spending totals are reduced by the increase in FMAP. The projected receipt of extraordinary Federal aid in 2009-10 adds approximately \$7.2 billion to the All Funds spending total. See "Financial Plan Overview" herein for a discussion of FMAP and other Federal aid. All Funds spending, which includes capital spending and Federal aid in addition to State Operating Funds, is projected to total \$131.9 billion in 2009-10.



## 2009-10 ALL FUNDS FINANCIAL PLAN

The major sources of annual spending change between 2008-09 and 2009-10 (after Enacted Budget actions) are summarized in the table below.

ENACTED BUDGET SPENDING PROJECTIONS - AFTER ENACTED BUDGET ACTIONS						
MAJOR SOURCES OF ANNUAL CHANGE						
(millions of dollars)						
	General Fund *	Other State Funds**	Total State Operating Funds	Capital Projects Funds	Federal Operating Funds	Total All Funds
<b>2008-09 Results***</b>	<b>48,436</b>	<b>29,732</b>	<b>78,168</b>	<b>6,830</b>	<b>36,573</b>	<b>121,571</b>
<b>Major Functions</b>						
<i>Public Health:</i>						
Medicaid	(1,740)	1,073	(667)	0	4,272	3,605
Public Health	165	(406)	(241)	151	72	(18)
<i>K-12 Education:</i>						
School Aid	263	(197)	66	0	1,426	1,492
All Other Education Aid	16	(5)	11	113	592	716
STAR	0	(911)	(911)	0	0	(911)
Higher Education	578	427	1,005	232	110	1,347
<i>Social Services:</i>						
Temporary and Disability Assistance	66	(3)	63	(2)	(1)	60
Children and Family Services	148	(1)	147	(1)	37	183
Mental Hygiene	85	(98)	(13)	56	253	296
Transportation	(8)	(367)	(375)	735	(7)	353
General State Charges	620	(327)	293	0	97	390
Debt Service	49	564	613	0	0	613
<b>All Other Changes</b>						
Economic Development	(34)	217	183	436	301	920
Potential Labor Settlements	400	24	424	0	0	424
Labor	9	(3)	6	0	312	318
Homeland Security	46	(7)	39	(2)	217	254
Technology	11	0	11	97	12	120
Local Government Aid	97	0	97	0	0	97
State Police	(8)	66	58	26	(4)	80
Military and Naval Affairs	18	4	22	(7)	58	73
Judiciary	23	14	37	23	1	61
Elections	4	(3)	1	0	59	60
Empire State Stem Cell Trust Fund	0	38	38	0	0	38
Department of State	7	(3)	4	(14)	43	33
Criminal Justice Services	(13)	(9)	(22)	0	(1)	(23)
Parks and Recreation	(14)	(21)	(35)	13	(2)	(24)
Correctional Services	(71)	1	(70)	36	9	(25)
All Other	296	(506)	(210)	110	(68)	(168)
<b>2009-10 Enacted Budget</b>	<b>49,449</b>	<b>29,293</b>	<b>78,742</b>	<b>8,832</b>	<b>44,361</b>	<b>131,935</b>
<i>Annual Dollar Change</i>	<i>1,013</i>	<i>(439)</i>	<i>574</i>	<i>2,002</i>	<i>7,788</i>	<i>10,364</i>
<i>Annual Percent Change</i>	<i>2.1%</i>	<i>-1.5%</i>	<i>0.7%</i>	<i>29.3%</i>	<i>21.3%</i>	<i>8.5%</i>

\* Excludes Transfers.

\*\* Includes State Special Revenue and Debt Service Funds.

\*\*\* Unaudited Year-End Results.

## **2009-10 ALL FUNDS FINANCIAL PLAN**

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The spending forecast for each of the State's major programs and activities follows. In general, the forecasts are described in two parts: the current services estimate for each functional area or activity, and the Enacted Budget actions and resulting annual change in spending.

Projected current services disbursements are based on agency staffing levels, program caseloads, formulas contained in State and Federal law, inflation and other factors. The factors that affect spending estimates vary by program. For example, welfare spending is based primarily on anticipated caseloads that are estimated by analyzing historical trends, projected economic conditions, and changes in Federal law. All projections account for the timing of payments, since not all the amounts appropriated in the Budget are disbursed in the same fiscal year.

### ***Health Care***

#### ***Introduction***

DOH is responsible for statewide public health programs, including Medicaid and insurance programs such as CHP and FHP. DOH works with the local health departments, including New York City, to coordinate statewide health activities. DOH operates one hospital, four nursing homes for veterans and three laboratories.

The majority of government-financed health care programs are included under DOH, but many programs are supported through multi-agency efforts. Medicaid finances inpatient hospital care, outpatient hospital services and clinics, nursing homes, managed care, prescription drugs, homecare, FHP, and services provided in community-based settings (including mental health, substance abuse treatment, developmental disabilities services, school-based services and foster care services). The State and Federal shares of Medicaid spending are budgeted and expended principally through DOH (\$36.9 billion in 2009-10), but State share spending also appears in OMH, OMRDD, OASAS, OCFS, and SED. Medicaid spending is reported separately in the Financial Plan tables for each of the agencies.

Health-related spending in other State agencies/program areas includes:

- The State's share of health insurance premiums for current and retired employees and Medicare payroll taxes (\$2.7 billion);
- Operational costs of the three State University teaching hospitals (\$1.5 billion);
- Non-Medicaid services, including mental health, substance abuse treatment and developmental disabilities community services, provided in community-based settings (\$1.8 billion);
- Services provided to students in school-based settings, including speech pathology and physical therapy services (\$385 million for public elementary and

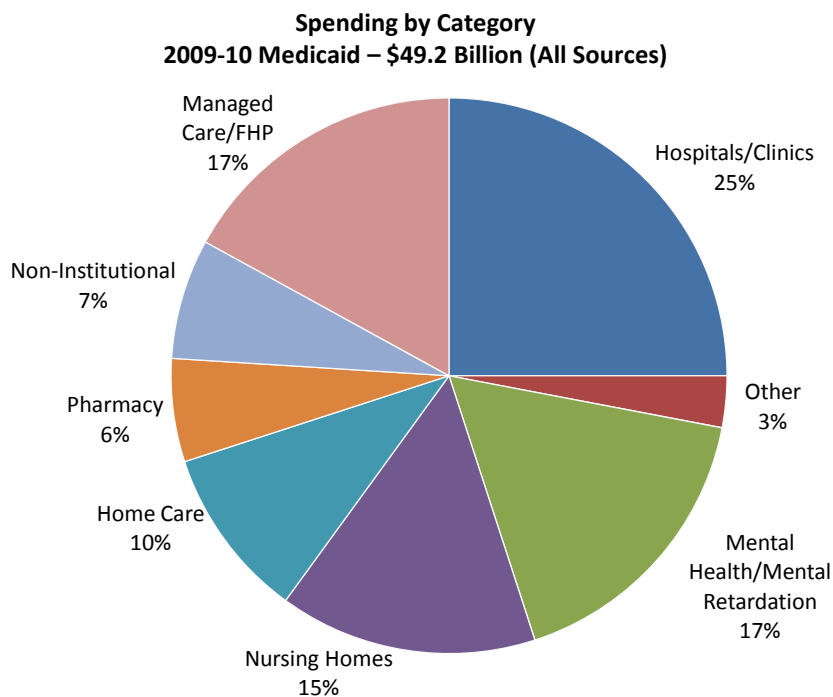
## 2009-10 ALL FUNDS FINANCIAL PLAN

- secondary students, and \$290 million for students in pre-school and private special education settings);
- Services provided to persons in DOH facilities (\$120 million reflected in other public health spending); and
- Health care services to inmates, including pharmaceuticals, clinic care and outside hospital care (\$366 million).

### Statewide Medicaid Program

Medicaid, the largest program in the All Funds Budget, finances health care services for low-income individuals, long-term care for the elderly, and services for disabled individuals, primarily through payments to health care providers.

The Medicaid program, including administrative costs, is financed jointly by the State, the Federal government, and counties (including New York City). New York's Medicaid spending is projected to total \$49.2 billion for 2009-10, including the local contribution. The ARRA temporarily increases the Federal match rate for the 27-month period beginning October 1, 2008 and ending December 31, 2010, which results in a concomitant decrease in the State and local share. The base Federal match rate is 50 percent will be increased up to approximately 61 percent over the period that the ARRA is in effect. The State contribution is \$13.4 billion. The Federal contribution is \$29.2 billion. The local government contribution, which is \$6.6 billion, is not included in the State spending totals. Thus, State spending and Federal reimbursement are included in the All Funds Medicaid budget total of \$42.6 billion, while the local contribution to Medicaid is not.



## 2009-10 ALL FUNDS FINANCIAL PLAN

2009-10 STATEWIDE MEDICAID PROGRAM PROJECTED TOTAL DISBURSEMENTS* (millions of dollars)				
	General Fund	Special Revenue	Federal Funds	All Government
DOH - Medicaid	6,401	4,502	26,031	36,934
Mental Hygiene - Medicaid	1,822	518	3,189	5,529
OCFS - Medicaid	71	0	0	71
SED - Medicaid	40	0	0	40
<b>State and Federal Share Total</b>	<b>8,334</b>	<b>5,020</b>	<b>29,220</b>	<b>42,574</b>
Local Share	0	0	0	6,584
<b>Grand Total</b>	<b>8,334</b>	<b>5,020</b>	<b>29,220</b>	<b>49,158</b>

\* Includes Local Assistance, State Operations, and General State Charges.

As of January 2006, the State pays for the entire non-Federal share of the FHP program and any annual Medicaid increases for counties above a fixed level. Local Medicaid costs in 2009, due to statutory indexing provisions, will be capped at 2.7 percent over 2008 cap payments for eligible expenses. County and New York City savings from these two local fiscal relief initiatives are expected to total nearly \$1 billion during the 2009-10 State fiscal year, an annual increase in local savings of \$237 million over 2008-09 levels.

In 2008-09 funding from the Federal ARRA package helped to reduce State share Medicaid spending by \$1.3 billion. Initial estimates of Federal assistance are projected to help reduce State share costs by approximately \$3.7 billion in 2009-10 and \$3.4 billion in 2010-11.

### Department of Health – Medicaid

DEPARTMENT OF HEALTH - MEDICAID (INCLUDING ADMINISTRATION) SPENDING PROJECTIONS (millions of dollars)				
	2008-09 Results*	2009-10 Enacted	Annual \$ Change	Annual % Change
General Fund	8,141	6,401	(1,740)	-21.4%
Other State Support	3,429	4,502	1,073	31.3%
<b>State Operating Funds</b>	<b>11,570</b>	<b>10,903</b>	<b>(667)</b>	<b>-5.8%</b>
Capital Projects Funds	0	0	0	0%
Federal Operating Funds	21,759	26,031	4,272	19.6%
<b>Total All Funds</b>	<b>33,329</b>	<b>36,934</b>	<b>3,605</b>	<b>10.8%</b>

\* Unaudited Year-End Results.

## 2009-10 ALL FUNDS FINANCIAL PLAN

The State-share cost of DOH Medicaid is projected to decrease by \$667 million or 5.8 percent in 2009-10. This reflects additional Federal assistance, current services growth, and certain savings actions, and new initiatives approved in the Enacted Budget as described below.

DEPARTMENT OF HEALTH - MEDICAID (INCLUDING ADMINISTRATION)						
SOURCES OF ANNUAL SPENDING INCREASE/(DECREASE) FROM 2008-09 to 2009-10						
(millions of dollars)						
	General Fund	Other State Funds	Total State Operating Funds	Capital Projects Funds	Federal Operating Funds	Total All Funds
<b>2008-09 Results (unaudited)*</b>	<b>8,141</b>	<b>3,429</b>	<b>11,570</b>	<b>0</b>	<b>21,759</b>	<b>33,329</b>
<b>Current Services:</b>	<b>2,115</b>	<b>169</b>	<b>2,284</b>	<b>0</b>	<b>1,666</b>	<b>3,950</b>
Program Growth	1,917	(14)	1,903	0	1,377	3,280
Local Medicaid Cap	216	0	216	0	0	216
Family Health Plus	(38)	38	0	0	0	0
HCRA Financing	20	145	165	0	289	454
<b>Extraordinary Federal Aid:</b>	<b>(2,063)</b>	<b>0</b>	<b>(2,063)</b>	<b>0</b>	<b>3,253</b>	<b>1,190</b>
Enhanced FMAP - State Share	(2,063)	0	(2,063)	0	2,063	0
Enhanced FMAP - Local Share	0	0	0	0	998	998
Disproportionate Share Payment	0	0	0	0	192	192
<b>Enacted Savings:</b>	<b>(1,847)</b>	<b>902</b>	<b>(945)</b>	<b>0</b>	<b>(704)</b>	<b>(1,649)</b>
Hospital Care	(205)	289	84	0	274	358
Nursing Homes	(225)	0	(225)	0	(225)	(450)
Home Care Savings	(76)	14	(62)	0	(65)	(127)
Managed Care Savings	(88)	0	(88)	0	1	(87)
Pharmaceutical Savings	(24)	0	(24)	0	(24)	(48)
HCRA	(599)	599	0	0	0	0
Delay Medicaid Cycle Payment	(400)	0	(400)	0	(400)	(800)
Increase Medicaid Audit Savings	(175)	0	(175)	0	(175)	(350)
Other Medicaid Savings	(55)	0	(55)	0	(90)	(145)
<b>New Initiatives:</b>	<b>55</b>	<b>2</b>	<b>57</b>	<b>0</b>	<b>57</b>	<b>114</b>
Legislative Adds	53	2	55	0	55	110
All Other	2	0	2	0	2	4
<b>2009-10 Enacted</b>	<b>6,401</b>	<b>4,502</b>	<b>10,903</b>	<b>0</b>	<b>26,031</b>	<b>36,934</b>
Annual Change	(1,740)	1,073	(667)	0	4,272	3,605

## ***2009-10 ALL FUNDS FINANCIAL PLAN***

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### ***CURRENT SERVICES***

**Program Growth:** Medicaid spending is growing due to several factors, including the increasing cost of providing health care services, a projected rise in the number of recipients which results in an increase in medical service utilization, particularly in managed care programs, and the timing of certain payments. The number of Medicaid recipients is projected at nearly 4 million in 2009-10, an increase of nearly 8 percent over the current fiscal year.

**Local Medicaid Cap:** State costs for Medicaid (excluding FHP takeover) also reflect growth in spending attributable to the local Medicaid cap. State spending above the Medicaid cap payments is projected to grow to a total of \$516 million in 2009-10, up from an estimated \$300 million in 2008-09.

**Family Health Plus:** Spending in FHP reflects savings to the General Fund associated with shifting pharmacy costs to fee-for-service delivery.

**HCRA Financing:** HCRA-financing of General Fund Medicaid costs is reduced in 2009-10 as fewer surplus funds are expected to be available.

### ***EXTRAORDINARY FEDERAL AID***

The ARRA increases the Federal matching rate on eligible State Medicaid expenditures for the period October 1, 2008 through December 31, 2010. The FMAP benefit for the State and counties for all Medicaid expenditures (including those outside of DOH) is expected to increase from approximately \$1.7 billion in 2008-09 to \$5.1 billion in 2009-10. Importantly, New York State will share this benefit with local governments, reducing their share of Medicaid below the currently capped levels. The Federal benefit continues to pass through the State's Financial Plan, increasing spending in 2009-10 by \$1.4 billion.

In addition to ARRA, the State expects to receive \$192 million in additional Federal aid in 2009-10 related to the Disproportionate Share Hospital program. Similar to ARRA, this is Federal money that passes through the State's Financial Plan, pursuant to Federal law.

### ***2009-10 ENACTED SAVINGS***

**Hospital Care:** The re-institution of the 0.35 percent assessment on hospital revenue is expected to generate \$124 million in savings in 2009-10. Further savings will be achieved through additional actions including a reduction of the remaining inflationary trends of 1.495 percent in 2008 (\$29 million) and 2.1 percent in 2009 (\$40 million), and the acceleration of inpatient detox service reform (\$8 million). Hospital rebasing and additional related investments will result in net General Fund savings of \$4 million.

**Nursing Homes:** The elimination of planned nursing home "rebasings" (updating base year costs) will be capped at \$230 million, resulting in \$95 million in savings in 2009-10. Additional savings actions include a reduction of the nursing home inflationary trend of 1.495 percent in 2008 and 2.1 percent in 2009 for savings of \$101 million, the reduction

## ***2009-10 ALL FUNDS FINANCIAL PLAN***

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of payments to nursing homes related to bed hold payments (\$10 million), a reduction in Adult Day Health Care Program payments for transportation (\$8 million), a five-year phase-out of 6,000 nursing home beds offset by a corresponding phase-in of 6,000 assisted living program beds (\$5 million), and a reduction in the nursing home payment rate for AIDS patients (\$5 million).

**Home Care Savings:** Savings are achieved through a reduction of the remaining inflationary trend in Personal Care (\$14 million for the 2008-09 rate; \$18 million for the 2009-10 rate) and Home Care (\$12 million for the 2008-09 rate; \$16 million for the 2009-10 rate), the establishment of a 0.35 percent assessment on certified home health agencies, the long-term home health program, and personal care service providers (\$14 million), and a reduction in funding for the NY Connects program (\$4 million) as well as numerous smaller actions. These savings are partially offset by new investments in a uniform assessment tool to track, analyze, and evaluate information related to consumer health status, availability of supports, program utilization, and other health related data (\$5 million).

**Managed Care Savings:** Projected savings result from a cap on marketing plan expenses for Medicaid-related programs (\$13 million), a claim on the enhanced Federal match for family planning services (\$10 million), administrative efficiencies in the Managed Long-Term Care program (\$8 million), and maximizing enrollment of individuals eligible for both Medicaid and Medicare in the Medicaid Advantage and Medicaid Advantage Plus programs (\$3 million).

**Pharmaceutical Savings:** Pharmaceutical savings include improved drug utilization review (\$8 million), measures to ensure the appropriate use of mental health drugs (\$2 million), a requirement to use certain brand name drugs when the total net cost after rebate is less than their generic equivalent (\$2 million), the negotiation of additional supplemental rebates with pharmaceutical manufacturers (\$2 million) and incentives for prescribers to submit prescriptions electronically, reducing medication errors (\$1 million).

**HCRA:** Recommended HCRA savings, which are described later in this section, will be used to finance a portion of Medicaid costs in 2009-10.

**Delay Medicaid Cycle Payment:** The calendar for 2009-10 includes an extra weekly Medicaid cycle payment. Savings will be achieved through delaying this extra cycle payment into 2011-12, when the State will make 53 cycle payments.

**Increase Medicaid Audit Savings:** Additional recoveries and cost avoidance savings associated with increased audit and data mining activities as well as growth in Office of the Medicaid Inspector General staffing, are expected to result in savings of \$175 million.

**Other Medicaid Savings:** The elimination of the trend factor reconciliation will result in savings across several Medicaid categories, including nursing homes (\$30 million), hospitals (\$22 million), and home care (\$18 million). Other savings include reforms in Medicaid spending on Mental Hygiene programs, including the CDT program (\$6 million).

## **2009-10 ALL FUNDS FINANCIAL PLAN**

### **NEW INITIATIVES**

**Legislative Adds:** Legislative adds include additional spending on hospitals (\$25 million), an increase in disproportionate share payments for SUNY hospitals (\$24 million), additional support for information technology to certain clinics (\$4 million) and funding for the Consumer Directed Assistance Program to promote consistency among the participating counties (\$1 million).

**All Other:** Other new initiatives include a streamlining of the application process for the Medicaid and FHP programs to make enrollment easier (\$2 million).

The table below summarizes the annual change in State Operating Funds spending after the recommended actions:

<b>DEPARTMENT OF HEALTH - MEDICAID (INCLUDING ADMINISTRATION)</b>			
<b>SOURCES OF ANNUAL SPENDING INCREASE/(DECREASE)</b>			
<b>FROM 2008-09 TO 2009-10</b>			
<b>(millions of dollars)</b>			
	<b>2008-09</b>		<b>2009-10</b>
	<b>Results*</b>	<b>Change</b>	<b>Enacted</b>
<b>State Operating Funds Total</b>	<b>11,569</b>	<b>(667)</b>	<b>10,902</b>
Hospitals/Clinics	2,683	438	3,121
Nursing Homes	2,945	96	3,041
Managed Care	1,627	451	2,078
Home Care	2,272	102	2,374
Non-Institutional/Other	917	210	1,127
Pharmacy	1,355	102	1,457
Family Health Plus	862	(3)	859
Enhanced FMAP	(1,092)	(2,063)	(3,155)

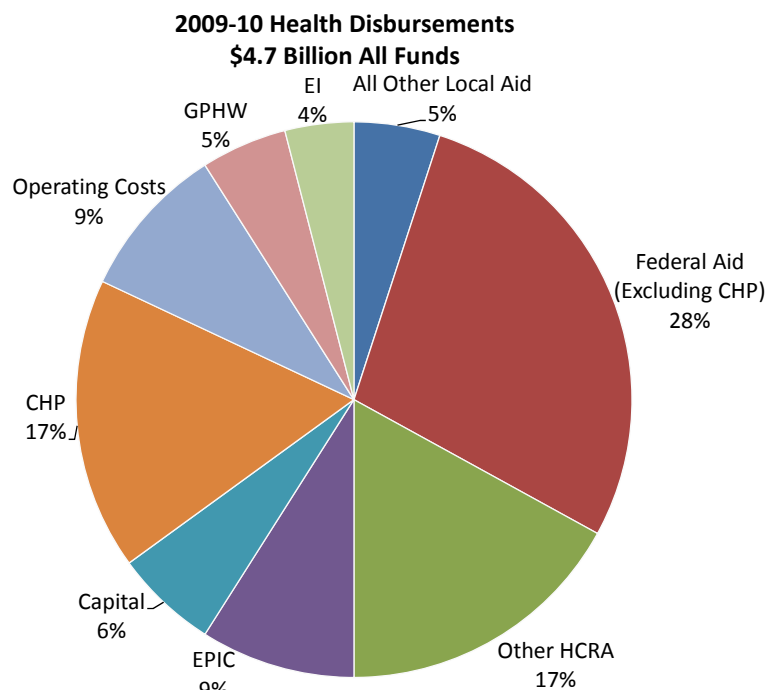
\* Unaudited Year-End Results.



## 2009-10 ALL FUNDS FINANCIAL PLAN

### Other Public Health Programs

Public health spending in New York is financed by the Federal government, the State, and local governments. Several public health programs, such as the EI and GPHW programs, are run by county health departments and reimbursed by the State for a share of program costs. The State spending projections do not include the county share of public health funding, but do include Federal aid. In addition, a significant portion of HCRA spending is included under the public health budget. For more information on HCRA projections, see the section entitled "HCRA Financial Plan."



All Funds spending for public health includes the EPIC program that provides prescription drug insurance to low-income seniors (\$468 million), the CHP program that finances health insurance coverage for children of low-income families up to the age of 19 (\$723 million), the GPHW program that reimburses local health departments for the cost of providing certain public health services (\$218 million), the EI program that pays for services to infants and toddlers under the age of three with disabilities or developmental delays (\$183 million), and various other HCRA Public Health programs (\$946 million). Other spending includes Federal aid for programs including the Special Supplemental Nutrition program for WIC (\$1.6 billion); operating costs including support for administrative functions, personnel, and five health care facilities (\$429 million); capital spending (\$376 million); and various other local aid programs.

PUBLIC HEALTH SPENDING PROJECTIONS				
(millions of dollars)				
	2008-09 Results*	2009-10 Enacted	Annual \$ Change	Annual % Change
General Fund	694	859	165	23.8%
Other State Support	2,168	1,762	(406)	-18.7%
<b>State Operating Funds</b>	<b>2,862</b>	<b>2,621</b>	<b>(241)</b>	<b>-8.4%</b>
Capital Projects Funds	225	376	151	67.1%
Federal Operating Funds	1,623	1,695	72	4.4%
<b>Total All Funds</b>	<b>4,710</b>	<b>4,692</b>	<b>(18)</b>	<b>-0.4%</b>

\* Unaudited Year-End Results.

## 2009-10 ALL FUNDS FINANCIAL PLAN

All Funds spending in 2009-10 for public health is projected to total \$4.7 billion, a decline of \$18 million from 2008-09. State Operating Funds spending increases finance current services needs for the EPIC and CHP programs, as well as other public health programs. The Capital Projects Fund supports the HEAL-NY program. Federal aid is provided for the WIC program, disease prevention, health screening, and other public health programs.

Spending on public health will reimburse providers and localities for a share of the costs of operating public health programs (\$3.6 billion), and pay for DOH costs, including personal service costs (\$342 million), operational expenses (\$459 million), and capital projects to maintain DOH facilities (\$376 million). DOH has 5,704 employees.

General Fund support is expected to increase \$165 million in 2009-10 reflecting the rising costs of health care offset by savings actions. Public health spending in State funds outside of the General Fund is projected to decrease.

PUBLIC HEALTH						
SOURCES OF ANNUAL SPENDING INCREASE/(DECREASE) FROM 2008-09 to 2009-10						
(millions of dollars)						
	General Fund	Other State Funds	Total State Operating Funds	Capital Projects Funds	Federal Operating Funds	Total All Funds
<b>2008-09 Results*</b>	<b>694</b>	<b>2,168</b>	<b>2,862</b>	<b>225</b>	<b>1,623</b>	<b>4,710</b>
<b>Current Services:</b>	<b>199</b>	<b>309</b>	<b>508</b>	<b>151</b>	<b>(97)</b>	<b>562</b>
General Public Health	60	0	60	0	0	60
2008-09 Spending and Timing	48	170	218	0	(190)	28
Early Intervention	29	0	29	0	0	29
Human Services COLA	17	0	17	0	0	17
Child Health Plus	0	85	85	0	15	100
HEAL-NY Capital	0	0	0	151	0	151
All Other	45	54	99	0	78	177
<b>Extraordinary Federal Aid:</b>	<b>0</b>	<b>1</b>	<b>1</b>	<b>0</b>	<b>112</b>	<b>113</b>
<b>Enacted Savings:</b>	<b>(57)</b>	<b>(724)</b>	<b>(781)</b>	<b>0</b>	<b>57</b>	<b>(724)</b>
HCRA Savings Actions	0	(642)	(642)	0	0	(642)
Child Health Plus	0	(74)	(74)	0	63	(11)
One-Time Actions	(24)	0	(24)	0	0	(24)
Human Services COLA	(17)	0	(17)	0	0	(17)
State Operations Savings	(15)	(7)	(22)	0	(6)	(28)
All Other	(1)	(1)	(2)	0	0	(2)
<b>New Initiatives:</b>	<b>23</b>	<b>8</b>	<b>31</b>	<b>0</b>	<b>0</b>	<b>31</b>
Legislative Adds	15	8	23	0	0	23
Food Banks	4	0	4	0	0	4
All Other	4	0	4	0	0	4
<b>2009-10 Enacted</b>	<b>859</b>	<b>1,762</b>	<b>2,621</b>	<b>376</b>	<b>1,695</b>	<b>4,692</b>
Annual Change	165	(406)	(241)	151	72	(18)

\*Unaudited Year-End Results.

### ***CURRENT SERVICES***

**General Public Health:** State reimbursement to local governments for the cost of providing local public health services is projected to increase, in large part due to increasing utilization of these services.

**2008-09 Spending and Timing:** Reflects spending results in fiscal year 2008-09 and the timing of certain payments originally projected for 2008-09 that will instead occur in 2009-10. Lower than projected spending in the General Fund and in Other State Funds in 2008-09 is primarily the result of additional savings measures that took effect at the end of the year. Higher than projected Federal spending in 2008-09 will result in a net decrease in spending growth from 2008-09 to 2009-10. This was primarily the result of increased spending in nutrition programs in 2008-09.

**Early Intervention:** Reflects general inflationary increases in spending per beneficiary. Enrollment is expected to remain level in 2009-10 at approximately 72,000 children.

**Human Services COLA:** The 2008-09 Enacted Budget authorized a cost-of-living extension for various public health and AIDS programs. The 2009-10 increase before Enacted Budget actions reflects the statutorily required inflationary increases.

**Child Health Plus:** Higher costs are related to increases in the cost of providing services and projected enrollment increases of roughly 12 percent in 2009-10.

**HEAL-NY Capital:** Reflects projected growth in HEAL-NY capital projects to upgrade information and health care technology, enhance the efficiency of facility operations and support facility improvement.

### ***EXTRAORDINARY FEDERAL AID***

The Federal ARRA package includes assistance for Public Health spending in addition to Medicaid assistance (discussed earlier in this section). Federal aid will assist with spending on Health Information Technology, Public Health and Wellness Programs, Programs for WIC, and EI.

### ***2009-10 ENACTED SAVINGS***

**HCRA Savings Actions:** HCRA savings actions include financing Healthy-NY and HMO Direct Pay through the Insurance Department Assessment (\$399 million), redirecting Graduate Medical Education funding to hospital indigent care to generate Federal Financial Participation (\$141 million), the utilization of Federal funding for the AIDS Drug Assistance Program (\$65 million), the shifting of public hospital health workforce recruitment and retention grants to Medicaid, consistent with hospital rebasing (\$11 million), reductions in several low-priority HCRA programs (\$21 million) and a reduction in spending for anti-tobacco initiatives (\$10 million).

## ***2009-10 ALL FUNDS FINANCIAL PLAN***

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**Child Health Plus:** State savings will be realized as a result of full Federal participation in the expansion of CHP coverage to families at 250-400 percent of the Federal poverty level (\$52 million) and to immigrant children (\$14 million), and by bringing family contributions for the program more in line with rates in other states (\$7 million).

**One-Time Actions:** Actions that will generate a one-time savings in 2009-10 include the recovery of General Public Health Works and EI overpayments to New York.

**Human Services COLA:** Reflects the one-year elimination of the planned human services COLA authorized in the 2008-09 Enacted Budget.

**State Operations Savings:** Savings will be achieved through the reduction of personal service costs through vacancy controls, reductions, and expected staff attrition, and through non-personal service controls.

**All Other:** Other savings include the reduction of several smaller programs and revisions to savings in programs such as the American Red Cross.

### ***NEW INITIATIVES***

**Legislative Adds:** Includes a variety of public health programs in areas such as primary care and quality incentive programs.

**Food Banks:** A new investment of \$4 million will be made to food banks.

**All Other:** Other new public health investments include an enhancement of the lead poisoning program (\$3 million) and additional funding for vaccine storage and supplies (\$1 million).

### **HCRA**

This 2009-10 Financial Plan for HCRA includes the receipts and disbursements projections for the 2008-09 through 2012-13 period. The Enacted HCRA plan is balanced through 2012-13. The estimates of receipts and disbursements for the current and upcoming fiscal years are also detailed in the Financial Plan tables.

### **Overview**

HCRA was established in 1996 to improve the fiscal health of hospitals and expand affordable and quality health care coverage. HCRA spending can be found in the following areas of the budget: Medicaid, public health, mental hygiene, the State Office for the Aging, and the Insurance Department.

Subsequent extensions and modifications have initiated new health care programs including FHP and Healthy New York, and provided additional funding for the expansion of existing programs such as CHP. HCRA has also provided financing for the health care industry, including investments in worker recruitment and retention, and in HEAL-NY, a capital program.

The current HCRA authorization expires on March 31, 2011. However, in order to prevent a shortfall projected to occur before this time as a result of, among other things, the level of resources available from health insurance conversions, the 2009-10 Enacted Budget includes savings and revenue actions totaling approximately \$550 million in 2009-10, as well as additional savings in the outyears.

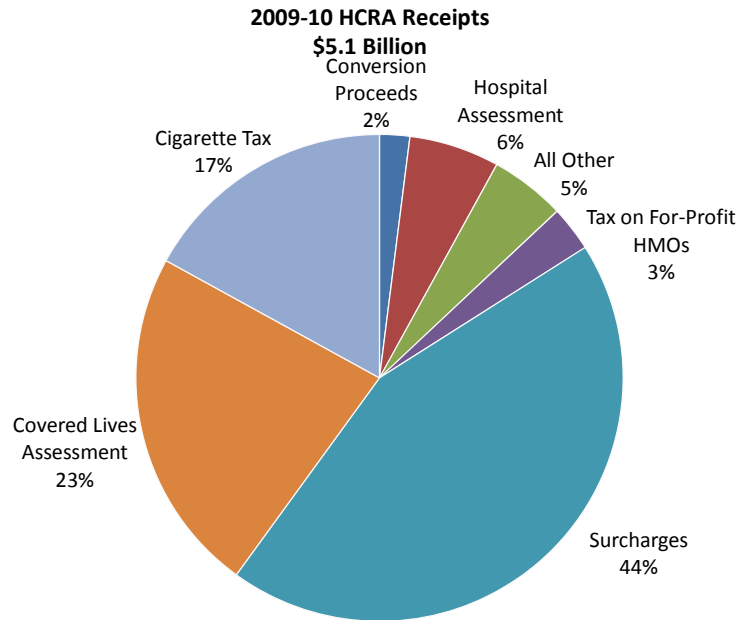
In 2009-10, HCRA receipts are projected to total \$5.1 billion, an increase of \$600 million over 2008-09 results. Disbursements are estimated at \$5.3 billion, an increase of approximately \$420 million. The 2009-10 plan will draw on \$240 million in existing HCRA balances, primarily to finance payments planned in 2008-09 but now expected in 2009-10.

## 2009-10 ALL FUNDS FINANCIAL PLAN

### HCRA Receipts

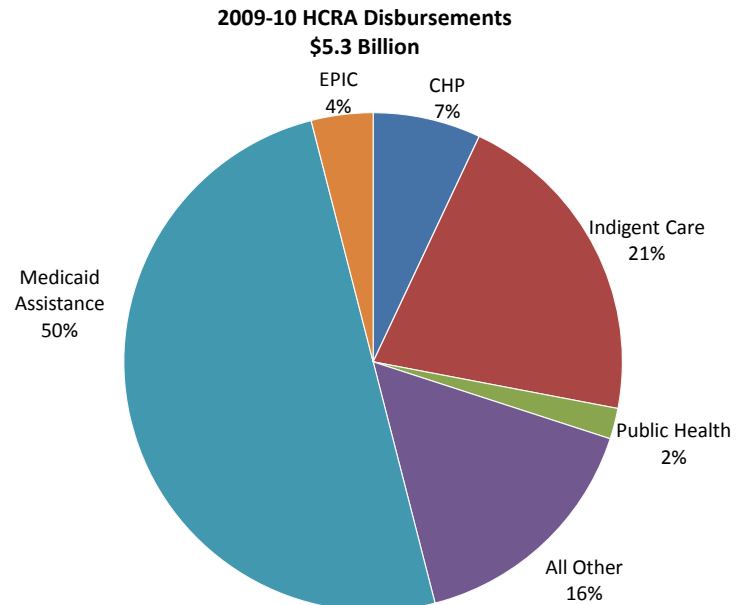
HCRA receipts include surcharges and assessments on hospital revenues, a “covered lives” assessment paid by insurance carriers, a portion of cigarette tax revenues, and other revenues dedicated by statute, as well as proceeds from insurance company conversions.

Total 2009-10 receipts are expected to be \$5.1 billion, including surcharges (\$2.2 billion), a covered lives assessment (\$1.2 billion), cigarette tax receipts (\$884 million), 1 percent hospital assessment (\$306 million), revenues related to health insurance conversions (\$95 million) and a tax on for-profit HMOs (\$131 million).



### HCRA Disbursements

Total disbursements of \$5.3 billion are projected in 2009-10, an increase of approximately \$420 million from 2008-09 results. HCRA provides support for various Medicaid and public health costs, EPIC, CHP, and FHP. Other large areas of spending include: Indigent care payments, which provide funds to hospitals that serve a disproportionate share of individuals without health insurance (\$1 billion); Physician Excess Medical Malpractice Insurance subsidies for medical professionals (\$127 million); Workforce Recruitment and Retention grants and rate adjustments to health facilities (\$331 million); and HEAL-NY funds for capital improvement to health care facilities (\$157 million).



## 2009-10 ALL FUNDS FINANCIAL PLAN

### Enacted Budget HCRA Financial Plan

HCRA FINANCIAL PLAN 2008-09 THROUGH 2012-13 (millions of dollars)					
	2008-09 Results*	2009-10	2010-11	2011-12	2012-13
<b>Opening Balance</b>	<b>597</b>	<b>240</b>	<b>0</b>	<b>15</b>	<b>20</b>
<b>Total Receipts</b>	<b>4,508</b>	<b>5,096</b>	<b>5,048</b>	<b>5,147</b>	<b>5,233</b>
Surcharges	2,054	2,242	2,310	2,367	2,425
Covered Lives Assessment	925	1,165	1,045	1,045	1,045
Cigarette Tax Revenue	894	884	876	864	846
Conversion Proceeds	233	95	242	275	300
Hospital Assessment (1 percent)	294	306	324	344	365
Tax on For-Profit HMOs	0	131	149	149	149
All Other	108	273	102	103	103
<b>Total Disbursements</b>	<b>4,865</b>	<b>5,336</b>	<b>5,033</b>	<b>5,142</b>	<b>5,195</b>
Medicaid Assistance Account	<u>2,032</u>	<u>2,674</u>	<u>2,244</u>	<u>2,224</u>	<u>2,349</u>
Medicaid Costs	805	1,265	999	926	1,029
Family Health Plus	541	579	597	634	656
Workforce Recruitment & Retention	200	282	198	197	197
All Other	486	548	450	467	467
HCRA Program Account	979	602	558	558	558
Hospital Indigent Care	847	1,147	1,147	1,147	1,147
Elderly Prescription Insurance Coverage	236	221	233	266	302
Child Health Plus	345	356	375	389	411
Public Health Programs	103	104	104	104	104
All Other	323	232	372	454	324
<b>Annual Operating Surplus/(Deficit)</b>	<b>(357)</b>	<b>(240)</b>	<b>15</b>	<b>5</b>	<b>38</b>
<b>Closing Balance</b>	<b>240</b>	<b>0</b>	<b>15</b>	<b>20</b>	<b>58</b>

\* Unaudited Year-End Results.

The Enacted Budget projects that HCRA will remain balanced on a budgetary (cash) basis of accounting through 2012-13. Under the current HCRA appropriation structure, spending reductions will occur if resources are insufficient to meet spending levels. These spending reductions could potentially impact core HCRA programs. The reauthorizations of HCRA in prior years restored HCRA's solvency without the need for automatic spending reductions.

The following table summarizes the 2009-10 Enacted Budget changes and their impact on the HCRA balance.

## 2009-10 ALL FUNDS FINANCIAL PLAN

<b>HCRA FINANCIAL PLAN AND SUMMARY OF CHANGES</b>				
<b>(millions of dollars)</b>				
	<b>2009-10</b>	<b>2010-11</b>	<b>2011-12</b>	<b>2012-13</b>
<b>EXECUTIVE BUDGET CURRENT-SERVICES SURPLUS/(GAP)</b>	<b>(315)</b>	<b>(117)</b>	<b>(25)</b>	<b>14</b>
<b>Post-Executive Revenue Revisions (Current-Services)</b>	<b>(281)</b>	<b>(15)</b>	<b>(1)</b>	<b>29</b>
Conversion Proceeds	(282)	(33)	(25)	0
All Other Changes	1	18	24	29
<b>Timing Changes</b>	<b>(205)</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>REVISED BUDGET CURRENT-SERVICES SURPLUS/(GAP)</b>	<b>(801)</b>	<b>(132)</b>	<b>(26)</b>	<b>43</b>
<b>TOTAL ENACTED BUDGET GAP-CLOSING ACTIONS</b>	<b>561</b>	<b>147</b>	<b>31</b>	<b>(5)</b>
<b>Funding Shifts</b>	<b>550</b>	<b>396</b>	<b>396</b>	<b>396</b>
Reprogram GME Money to Disproportionate Share	141	141	141	141
Reduce Anti-Tobacco Spending	10	10	10	10
Shift Healthy NY/HMO DP to Insurance	399	245	245	245
<b>Increase HCRA Revenues</b>	<b>501</b>	<b>394</b>	<b>394</b>	<b>394</b>
Increase Covered Lives Assessment	240	120	120	120
Establish For-Profit HMO Tax (net of CHP premium increase)	129	147	147	147
Increase Surcharges	108	108	108	108
Increase Tobacco Retail License Fee	19	14	14	14
Covered Lives Assessment for Out-of-State Insurers	5	5	5	5
<b>Federal SCHIP Changes</b>	<b>26</b>	<b>47</b>	<b>49</b>	<b>53</b>
Impact of Federal Cig. Tax Change	(40)	(40)	(40)	(40)
Fed. Financial Participation for Expansion	52	72	74	77
Fed. Financial Participation for Immigrant Coverage	14	15	15	16
<b>Child Health Plus</b>	<b>11</b>	<b>16</b>	<b>16</b>	<b>16</b>
Modify Family Contributions	7	12	12	12
Cap Marketing Spending	4	4	4	4
<b>Elderly Pharmaceutical Insurance Coverage</b>	<b>5</b>	<b>17</b>	<b>17</b>	<b>17</b>
Maximize Part D LIS	4	15	15	15
Cover Part D Mail Order	1	2	2	2
<b>Other Programmatic Changes</b>	<b>67</b>	<b>(59)</b>	<b>(110)</b>	<b>(46)</b>
Utilize ADAP Balances	65	0	0	0
Eliminate Low Priority HCRA Programs	21	21	21	21
Eliminate Low Priority SOFA Programs	3	3	3	3
HEAL NY Added Bonding	0	0	0	(9)
HEAL NY Extension	(25)	(118)	(169)	(96)
Shift Funding for HCRA Hospital Grants for Rebasing	35	35	35	35
Partially Restore Hospital Grant Funding for Delayed Rebasing	(24)	0	0	0
Increase Funding for D&TC, BD&CC (Senate Add)	(8)	0	0	0
<b>Increase Pharmacy Offloads to the General Fund</b>	<b>(599)</b>	<b>(664)</b>	<b>(731)</b>	<b>(835)</b>
<b>NET AVAILABLE RESOURCES APPLIED TO 2009-10</b>	<b>240</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>ENACTED BUDGET OPERATING SURPLUS/(GAP)</b>	<b>0</b>	<b>15</b>	<b>5</b>	<b>38</b>



### **REESTIMATES**

Reestimates represent changes to HCRA revenue and spending that have occurred without budgetary actions. Projected conversion proceeds for 2009-10 for Emblem and for other insurance conversions have been reduced due to adverse market conditions. These insurance conversions are now planned for a later date. CHP spending reestimates are based on historical expenditures and lower than expected enrollment-to-date for the expansion of coverage to those at 250-400 percent of the Federal Poverty Line. Other reestimates include a delay in increased surcharges due to budget enactment on April 1 rather than March 1, a projected increase in receipts from taxes on cigarettes, and lower-than-projected debt service transfers to-date.

### **ENACTED BUDGET ACTIONS**

**Increase Offloads to the General Fund:** Savings and revenue actions achieved in several areas, as described below, will be used to offset nearly \$600 million in General Fund Medicaid costs in 2009-10. The offsets are expected to increase in the outyears.

**Increase HCRA Revenue:** An increase in HCRA revenues will be achieved through an increase in the covered lives assessment, a new premium tax on for-profit HMOs, increased surcharges on net patient service revenue, an increase in the annual tobacco retailer license fee, and expanding the covered lives assessment to out-of-State insurers.

**Federal SCHIP Changes:** Changes in the Federal SCHIP program will result in greater Federal support for the already-planned State expansion of CHP coverage for children in families with income no greater than 400 percent of the Federal Poverty Line and to immigrant children. These savings are partially offset by Federal changes expected to result in lower revenue from tobacco taxes, which had been planned to help support CHP expenses.

**Child Health Plus Program:** Savings include the modification of CHP monthly family contributions to bring contributions more in line with those of other states, and a cap on marketing costs for the program.

**Elderly Pharmaceutical Insurance Coverage Program:** Savings will be achieved in the EPIC program through the maximization of Federal support through Medicare part D. Eligible seniors receiving EPIC assistance will be required to sign up for the Medicare Savings Program, and will receive assistance with this process. EPIC will also offer wrap-around coverage for out-of-state mail order purchases, which will allow the program to take advantage of more cost-effective mail order options.

**Funding Sources:** Funding of several programs, including Healthy-NY and HMO Direct Pay will move from HCRA to the Insurance Department Assessment, resulting in \$399 million in savings to HCRA in 2009-10 and ongoing savings of \$245 million in the outyears. The reprogramming of funding for State-only graduate medical education to indigent care hospitals is expected to result in State share savings of \$141 million as a result of obtaining Federal Financial Participation. An additional savings of \$10 million results from a reduction to anti-tobacco spending.

## 2009-10 ALL FUNDS FINANCIAL PLAN

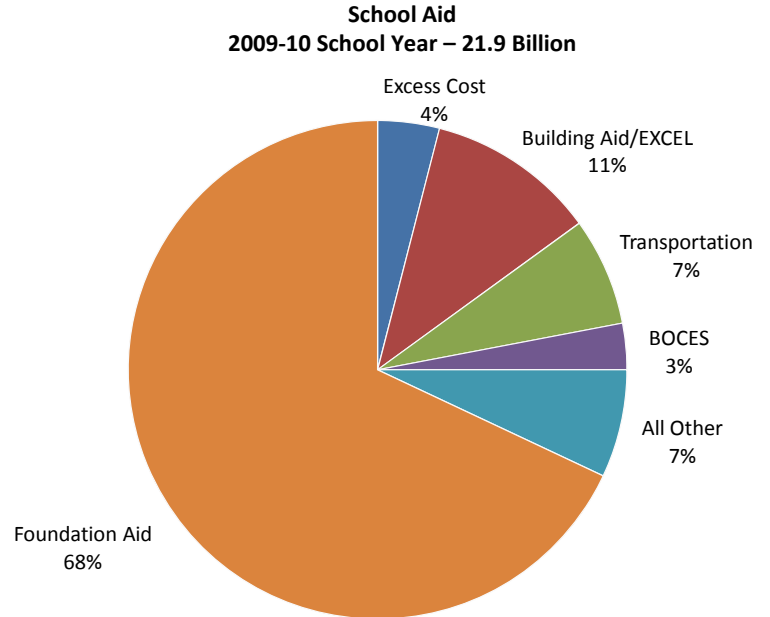
**Other Programmatic Changes:** Other HCRA spending actions include the utilization of Federal funding for the AIDS Drug Assistance Program, the elimination of low-priority HCRA programs in both the DOH and the State Office for the Aging, an extension of the HEAL-NY program for capital projects, the shifting of a portion of hospital workforce recruitment and retention grant funding to Medicaid consistent with rebasing, and additional funding for clinic bad debt and charity care.

### K-12 Education

#### School Aid

School aid, the single largest State-financed program, helps support elementary and secondary education for New York pupils enrolled in nearly 680 school districts throughout the State. State funding is provided to districts based on statutory aid formulas and through reimbursement for various categorical programs.

State funding for schools assists districts in meeting locally defined needs, supports the construction of school facilities, and finances school transportation for approximately three million students statewide.



<b>MULTI-YEAR SCHOOL AID PLAN</b>						
<b>SCHOOL YEAR BASIS</b>						
<b>(millions of dollars)</b>						
	<u>Actual</u>	<u>Results</u>	<u>Plan</u>			
	<u>2007-08</u>	<u>2008-09</u>	<u>2009-10</u>	<u>2010-11</u>	<u>2011-12</u>	<u>2012-13</u>
<b>School Year Recommendation</b>	<b>19,747</b>	<b>21,452</b>	<b>21,857</b>	<b>22,420</b>	<b>23,990</b>	<b>26,170</b>
Cumulative Increase Since 2007-08		1,705	2,110	2,673	4,243	6,423

School aid funding, including Federal stabilization funds, of \$21.9 billion is recommended for the 2009-10 school year. Major components of the school-year recommendation include foundation aid (\$14.9 billion), building aid (\$2.3 billion), transportation aid (\$1.6 billion), BOCES aid (\$715 million) and excess cost aid (\$769 million). Beyond the 2009-10 school year, school aid is projected to grow by an additional \$560 million in 2010-11, \$1.6 billion in 2011-12 and another \$2.2 billion in 2012-13.

## **2009-10 ALL FUNDS FINANCIAL PLAN**

### **ENACTED BUDGET ACTIONS (SCHOOL YEAR SUMMARY)**

**Foundation Aid and Other Operating Aids:** The Enacted Budget maintains State support for foundation aid at 2008 levels for 2009-10 and 2010-11, and extends the phase-in until 2013-14. Since the inception of foundation aid, school districts will have received approximately \$2.3 billion in additional foundation aid payments. After the Enacted Budget modifications, total foundation aid is expected to grow to \$17.4 billion by the 2012-13 school year. In addition, the 2009-10 Enacted Budget maintains other operating aids, including high tax aid and education grants, at the 2008-09 school year levels.

**Universal Prekindergarten:** The Enacted Budget maintains funding for UPK at the 2008-09 level of \$376 million for the 2009-10 and 2010-11 school years.

### **STATE FISCAL YEAR IMPACT OF SCHOOL AID PLAN**

The State finances school aid from General Fund and Lottery Fund receipts, including VLTs, which are accounted for and disbursed from a Special Revenue Fund. In school years 2009-10 and 2010-11, extraordinary Federal aid made available under the ARRA will also support school aid. In enacting the school aid budget, the State determines the level of funding on a school-year basis (July 1 through June 30). Since the State fiscal year begins on April 1, the State pays approximately 70 percent of the annual school year commitment during the fiscal year in which it is enacted, with the remaining 30 percent paid in the first three months of the following fiscal year.

The following table summarizes the impact of the school aid increase on a fiscal-year basis. The financial impact of school aid consists of changes in Lottery Fund contributions toward school aid as well as disbursement variations between the school year and the State's fiscal year, the level of spending growth already budgeted into the State's current services Financial Plan, and the increase in General Fund resources in the Enacted Budget to support the school year increase.

<b>SCHOOL AID SPENDING PROJECTIONS: STATE FISCAL YEAR</b>				
<b>(millions of dollars)</b>				
	<b>2008-09 Results*</b>	<b>2009-10 Enacted</b>	<b>Annual \$ Change</b>	<b>Annual % Change</b>
General Fund	17,756	18,019	263	1.5%
Other State Support	2,954	2,757	(197)	-6.7%
<b>State Operating Funds</b>	<b>20,710</b>	<b>20,776</b>	<b>66</b>	<b>0.3%</b>
Capital Projects Funds	0	0	0	0.0%
Federal Funds	2,560	3,986	1,426	55.7%
<b>Total All Funds</b>	<b>23,270</b>	<b>24,762</b>	<b>1,492</b>	<b>6.4%</b>

\* Unaudited Year-End Results.

## 2009-10 ALL FUNDS FINANCIAL PLAN

In State fiscal year 2009-10, All Funds spending for school aid is projected to total \$24.8 billion and includes General Fund support of \$18.0 billion, other State funds supported by the Lottery Fund of \$2.8 billion, and Federal aid of \$4.0 billion. Federal aid supports a range of services for disadvantaged students, including free and reduced-price school meals.

SCHOOL AID - STATE FISCAL YEAR (millions of dollars)						
	General Fund	Other State Funds	Total State Operating Funds	Capital Projects Funds	Federal Operating Funds	Total All Funds
<b>2008-09 Results*</b>	<b>17,756</b>	<b>2,954</b>	<b>20,710</b>	<b>0</b>	<b>2,560</b>	<b>23,270</b>
<b>Current Services:</b>	<b>2,113</b>	<b>(208)</b>	<b>1,905</b>	<b>0</b>	<b>(60)</b>	<b>1,845</b>
Underlying Growth	1,905	0	1,905	0	0	1,905
Lottery Fund Changes	208	(208)	0	0	0	0
Federal Funds	0	0	0	0	(60)	(60)
<b>Extraordinary Federal Aid:</b>	<b>(808)</b>	<b>0</b>	<b>(808)</b>	<b>0</b>	<b>1,486</b>	<b>678</b>
Deficit Reduction Assessment Restoration	(769)	0	(769)	0	769	0
February School Aid Database Update	0	0	0	0	68	68
Teacher Centers Restoration	(28)	0	(28)	0	28	0
Teacher-Mentor Intern Program	(7)	0	(7)	0	1	(6)
Academic Improvement Grant	(4)	0	(4)	0	4	0
Title I Grants Pass-Through	0	0	0	0	580	580
Enhancing Education Technology Pass-Through	0	0	0	0	28	28
NSLEA Grants Pass-Through	0	0	0	0	6	6
Education of Homeless Children Pass-Through	0	0	0	0	2	2
<b>Enacted Savings:</b>	<b>(1,031)</b>	<b>0</b>	<b>(1,031)</b>	<b>0</b>	<b>0</b>	<b>(1,031)</b>
Maintain Operating Aid	(942)	0	(942)	0	0	(942)
Overpayment Recoveries	(80)	0	(80)	0	0	(80)
Other Savings	(9)	0	(9)	0	0	(9)
<b>New Initiatives:</b>	<b>(11)</b>	<b>11</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Authorize Multi-Jurisdictional Lottery Games	(11)	11	0	0	0	0
<b>2009-10 Enacted</b>	<b>18,019</b>	<b>2,757</b>	<b>20,776</b>	<b>0</b>	<b>3,986</b>	<b>24,762</b>
Annual Change	263	(197)	66	0	1,426	1,492

\* Unaudited Year-End Results.

### CURRENT SERVICE

**Underlying Growth:** Growth reflects the balance of the 2008-09 school year increase and the level of spending growth which was already projected in the State's Financial Plan. School aid commitments are made on a school-year basis that starts on July 1. Thus, in each fiscal year, there is a "tail" of payments related to the prior-school year increase (roughly 30 percent of the prior school-year total). The underlying spending growth in the 2008-09 school year includes a maximum increase of 15 percent in total foundation aid provided to school districts, projected increases in expense-based reimbursement, and other aid. The increased school aid enables school districts to cover costs associated with providing educational programs for all pupils in prekindergarten through grade twelve, including paying teacher salaries and benefits, purchasing

equipment and supplies, transporting students, accommodating students with disabilities and special needs, and other operating costs.

**Lottery Fund Changes:** The projected decrease in 2009-10 lottery funds available to offset education spending reflects the impact of the 2008-09 lottery aid guarantee (\$370 million), partly offset by additional funding from the lottery education account (\$78 million) and additional funding from the VLT education account (\$84 million).

**Federal Funds:** Existing Federal aid, which reflects grants to school districts around the State and supplements State and local school aid spending, is expected to decline slightly from the 2008-09 level due to the timing of claims-based payments.

### ***EXTRAORDINARY FEDERAL AID***

The ARRA includes a State Fiscal Stabilization Fund (see “Financial Plan Overview” for more information on SFSF) that consists of two parts: an Education Fund and an Other Governmental Services Fund. The Education Fund represents 82 percent of the SFSF State allocation and must be used to mitigate proposed reductions in education and higher education programs and fund scheduled increases in K-12 education. The Enacted Budget applies the State’s share of the Education Fund as it relates to K-12 education as follows:

**Deficit Reduction Assessment:** The Enacted Budget eliminates the planned one-time Deficit Reduction Assessment (\$1.098 billion on a school year basis) to school districts, through the use of Federal economic recovery funding. The General Fund savings is realized, however, because the “restoration” of the Deficit Reduction Assessment is financed by extraordinary Federal aid allocated to New York from the ARRA State Fiscal Stabilization Fund - Education Fund.

**February School Aid Database Update:** The February 2009 update to the school aid database resulted in higher costs of \$98 million in the 2009-10 school year, based on additional claims filed since the Executive Budget for the 2008-09 and previous school years. These additional claims will be paid using funds from the SFSF Education Fund. In the 2009-10 State fiscal year, the State will pay \$68 million of these claims, with the remaining claims to be paid in the 2010-11 State fiscal year, but during the 2009-10 school year. These additional claims were filed after the Executive Budget and would not have been eligible for reimbursement pursuant to the Executive Budget. The SFSF Education Fund provides a vehicle to finance the payment of these additional unanticipated school aid claims.

The Other Governmental Services Fund represents the remaining 18 percent received from the SFSF in 2009-10 and may be used to maintain any essential government services, including elementary and secondary education services. The State’s share of the Other Governmental Fund is used for K-12 education as follows:

**Teacher Centers:** Funding for Teacher Centers, which are designed to provide training opportunities for teachers, is restored with the SFSF Other Governmental Services Fund.

## ***2009-10 ALL FUNDS FINANCIAL PLAN***

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**Teacher Mentor Intern Program:** The Enacted Budget reduces funding for the Teacher Mentor Intern Program, which is designed to provide school districts with funds so that more experienced teachers and new teachers (those with two years or less professional experience) can participate in professional support programs. The Teacher Mentor Intern program is partially restored with the SFSF Other Governmental Services Fund.

**Academic Improvement Grant:** The Enacted Budget fully restores the academic improvement grant to the Roosevelt School District with the SFSF Other Governmental Services Fund.

In addition to the SFSF, the ARRA also provides extraordinary Federal funding which will pass through the State's budget to fund additional Title I grants, and other programs. This funding includes the following:

**Title I Grants to School Districts:** In 2009-10, the State expects to provide \$453 million in additional Title I grants for school districts, and an additional \$126 million in Title I school improvement grants, to supplement educational services for disadvantaged children.

**Enhancing Education through Technology:** The Enacted Budget includes Federal aid to school districts for the purpose of improving students' academic performance through effective use of technology.

**Natural School Lunch Equipment Grants:** Reflects a direct allocation of National School Lunch Equipment Assistance Grants to school districts, which will be made available from the U.S. Department of Agriculture in the form of competitive grants to schools for the purchase of cafeteria equipment. The priority of grant awards will be given to schools where at least half of the students are eligible for free or reduced-price meals.

**Education of Homeless Children:** Federal ARRA is provided to the State to be distributed to school districts for costs associated with educating children who are homeless.

### ***2009-10 ENACTED SAVINGS***

**Maintain Selected Aid Categories at 2008-09 Level:** The Enacted Budget maintains State funding for selected aid categories, including foundation aid, UPK and other aid categories that provide operating support at the 2008-09 school year levels for two consecutive years. The basic elements of foundation aid, such as calculating funding based on the cost of a successful education and student needs, will remain in place. In 2009-10 and 2010-11, the Enacted Budget provides that State support for foundation aid be limited to the amount provided for in the 2008-09 school year, and that the full phase-in of foundation aid, which began in 2007-08, will be extended to 2013-14. Funding for UPK during 2009-10 and 2010-11 will also be held at the same level provided in 2008-09 in order to provide stability in funding for this program.

## 2009-10 ALL FUNDS FINANCIAL PLAN

**Overpayment Recoveries:** The Enacted Budget includes a one-time savings from recovering prior-year overpayments to school districts.

**Other Savings:** The Enacted Budget takes actions to eliminate State support for a variety of discretionary programs. These actions include the elimination of State funding for math and science initiatives, Full Day Kindergarten and Universal Prekindergarten planning grants, and the Rochester Community School Pilot program.

### **NEW INITIATIVES**

**Authorize Multi-Jurisdictional Lottery Games:** Authorizes the State lottery agency to expand into additional multi-state lottery enterprises, and if determined feasible, to initiate the formation of a new multi-state lottery game. The State's use of this authorization is expected to generate additional lottery revenues for education aid funding.

### *School Tax Relief Program*

The STAR program provides school tax relief to taxpayers across New York. The three components of STAR and their approximate shares in 2009-10 are: the basic school property tax exemption for homeowners (53 percent), the enhanced school property tax exemption for eligible senior citizen homeowners (21 percent), and a flat refundable credit and rate reduction for New York City resident personal-income taxpayers (26 percent).

Spending for the STAR program reflects reimbursements made to school districts to offset the reduction in property tax revenues. The STAR program exempts the first \$30,000 of every homeowner's property value from the local school tax levy. Lower-income senior citizens receive a \$60,100 exemption.

The table below provides the cash-basis preliminary results for 2008-09 STAR spending compared to the 2009-10 proposed STAR spending.

<b>STAR SPENDING PROJECTIONS</b>				
(millions of dollars)				
	2008-09 Results*	2009-10 Enacted	Annual \$ Change	Annual % Change
<b>Cash-Basis State Operating/All Funds</b>	4,435	3,524	(911)	-20.5%

\* Unaudited Year-End Results.

## **2009-10 ALL FUNDS FINANCIAL PLAN**

After actions, spending for STAR is expected to total \$3.5 billion in 2009-10, a decrease of \$911 million from the 2008-09 fiscal year, and comprises \$1.8 billion for the basic property tax exemption, \$755 million for the enhanced property tax exemption, and \$917 million for the New York City PIT component. The annual changes are described in more detail below.

<b>STAR AND LOCAL PROPERTY TAX REBATE/CREDIT</b>	
<b>SOURCES OF ANNUAL SPENDING INCREASE/(DECREASE) FROM 2008-09 TO 2009-10</b>	
<b>(millions of dollars)</b>	
	<u>All Funds</u>
<b>2008-09 Results*</b>	<b>4,435</b>
<b>Current Services:</b>	<b>648</b>
New York City Personal Income Tax Relief	315
Middle-Class Property Tax Rebates	216
Basic and Enhanced Property Tax Exemption	117
<b>Enacted Savings:</b>	<b>(1,559)</b>
Eliminate Middle Class Rebate	(1,427)
New York City Personal Income Tax Credit	(112)
New York City Payment Deferral	(20)
<b>2009-10 Enacted</b>	<b>3,524</b>
<b>Annual Change</b>	<b>(911)</b>

\* Unaudited Year-End Results.

### **CURRENT SERVICES**

**New York City PIT Relief:** The annual increase largely reflects the reduced spending commitment during the State's 2008-09 fiscal year by deferring the December 2008 payment to New York City until the first quarter of the State's 2009-10 fiscal year. The delay will not impact the City's budget since all payments are expected to be made by the end of the City's fiscal year. The annual increase also reflects growth in incomes and liabilities.

**Middle-Class Property Tax Rebates:** Reflects the previously-planned third phase of middle-class STAR that would have provided rebates to property owners during 2009-10.

**Basic and Enhanced Property Tax Exemption:** Current services spending for the basic and enhanced property tax exemptions in 2009-10 is budgeted to grow by \$72 million and \$45 million respectively, driven by higher participation rates, property tax rates, and property values.



## 2009-10 ALL FUNDS FINANCIAL PLAN

### 2009-10 ENACTED SAVINGS

**Eliminate Middle-Class Rebate:** The middle-class rebate program, which was enacted in fiscal year 2007-08, has been eliminated beginning in 2009-10. The rebates under this program were targeted to benefit homeowners with incomes of \$250,000 or less, with benefits declining as incomes increased. This component of the STAR program had a fiscal year cash impact of \$1.1 billion in 2007-08, \$1.2 billion in 2008-09, and the current service spending requirements associated with this program were budgeted to increase to \$1.4 billion in 2009-10. Limitations of the middle-class rebate program included its inability to distinguish between rebate recipients who actually paid their property taxes in full, those whose tax levy has been reduced through various local exemptions, and those who are delinquent in paying their property taxes.

**New York City PIT Credit:** Reduces the value of the flat refundable credit that is available for New York City resident personal income taxpayers from the current value of \$145 per individual to the 2005 tax year value of \$62.50 per individual.

**New York City Payment:** Reflects the 2009-10 impact of continuing to pay the traditional December payments to New York City in the first quarter of the State's subsequent fiscal year.

### Other Education Aid

In addition to school aid, education aid is provided for special education services and other programs, including elementary, middle, secondary and continuing education; cultural education; higher and professional education programs; and Vocational and Educational Services for Individuals with Disabilities. Major programs under elementary, middle, secondary and continuing education address specialized student needs or reimburse school districts for education-related services, including the School Lunch and School Breakfast Programs, non-public school aid and various special education programs. In special education, New York provides a full spectrum of services to over 400,000 students from ages 3 to 21. Higher and professional education programs monitor the quality and availability of postsecondary education programs and regulate the licensing and oversight of 49 professions.

OTHER EDUCATION AID SPENDING PROJECTIONS (millions of dollars)				
	2008-09 Results*	2009-10 Enacted	Annual \$ Change	Annual % Change
General Fund	1,677	1,693	16	1.0%
Other State Support	107	102	(5)	-4.7%
<b>State Operating Funds</b>	<b>1,784</b>	<b>1,795</b>	<b>11</b>	<b>0.6%</b>
Capital Projects Funds	18	131	113	627.8%
Federal Operating Funds	979	1,571	592	60.5%
<b>Total All Funds</b>	<b>2,781</b>	<b>3,497</b>	<b>716</b>	<b>25.7%</b>

\* Unaudited Year-End Results.

## 2009-10 ALL FUNDS FINANCIAL PLAN

Enacted All Funds spending of \$3.5 billion includes funding for special education services (\$2.3 billion), local assistance to other education programs (\$803 million), State operating costs (\$312 million), and aid for capital projects (\$131 million). The annual changes are summarized in the following table and described in more detail below.

<b>OTHER EDUCATION AID</b>						
<b>SOURCES OF ANNUAL SPENDING INCREASE/(DECREASE) FROM 2008-09 TO 2009-10</b>						
<b>(millions of dollars)</b>						
	<u>General Fund</u>	<u>Other State Funds</u>	<u>Total State Operating Funds</u>	<u>Capital Projects Fund</u>	<u>Federal Operating Funds</u>	<u>Total All Funds</u>
<b>2008-09 Results*</b>	<b>1,677</b>	<b>107</b>	<b>1,784</b>	<b>18</b>	<b>979</b>	<b>2,781</b>
<b>Current Services:</b>	<b>174</b>	<b>(5)</b>	<b>169</b>	<b>106</b>	<b>26</b>	<b>301</b>
Special Education Program Costs	70	0	70	0	23	93
Aid to Non-Public Schools	93	0	93	0	0	93
Higher Education Programs	16	0	16	63	0	79
Vocational Services	19	0	19	0	0	19
Grants in Aid to School Districts	(13)	0	(13)	0	0	(13)
Cultural Education	(6)	(4)	(10)	32	0	22
Other Changes	(5)	(1)	(6)	11	3	8
<b>Extraordinary Federal Aid:</b>	<b>(141)</b>	<b>0</b>	<b>(141)</b>	<b>0</b>	<b>566</b>	<b>425</b>
IDEA Special Education	0	0	0	0	397	397
Preschool Special Education Stabilization	(133)	0	(133)	0	133	0
Vocational Services	0	0	0	0	28	28
Public Broadcasting Aid Stabilization	(8)	0	(8)	0	6	(2)
Other Federal ARRA	0	0	0	0	2	2
<b>Enacted Savings:</b>	<b>(56)</b>	<b>0</b>	<b>(56)</b>	<b>7</b>	<b>0</b>	<b>(49)</b>
Aid to Non-Public Schools	(14)	0	(14)	0	0	(14)
Federal IDEA Discretionary Funds	(10)	0	(10)	0	0	(10)
Prior Year Claim Payments	(9)	0	(9)	0	0	(9)
After School Programs	(7)	0	(7)	0	0	(7)
Operating Costs	(6)	0	(6)	0	0	(6)
Cultural Education	(5)	0	(5)	7	0	2
Other Recommended Savings	(5)	0	(5)	0	0	(5)
<b>New Legislative Initiatives:</b>	<b>39</b>	<b>0</b>	<b>39</b>	<b>0</b>	<b>0</b>	<b>39</b>
Grants in Aid to School Districts	30	0	30	0	0	30
Special Education Staff Retention	2	0	2	0	0	2
All Other New Initiatives	7	0	7	0	0	7
<b>2009-10 Enacted</b>	<b>1,693</b>	<b>102</b>	<b>1,795</b>	<b>131</b>	<b>1,571</b>	<b>3,497</b>
Annual Change	16	(5)	11	113	592	716

\* Unaudited Year-End Results.

### ***CURRENT SERVICES***

**Special Education Program Costs:** The projected increase reflects growth in program costs and enrollment in the preschool special education program and in the summer school special education program, and growth in operating costs at State-supported schools which serve blind and deaf children. Federal aid is expected to increase slightly in 2009-10.

**Aid to Non-Public Schools:** Reflects claiming patterns by non-public schools for State-mandated services. The aid not disbursed during 2008-09 has been added to the 2009-10 current services forecast.

**Higher Education Programs:** Largely reflects the delay in certain payments for higher education programs until 2009-10, and anticipated disbursements in 2009-10 for capital programs that finance infrastructure improvements for colleges and universities.

**Vocational Services:** Largely reflects the timing of certain payments for VESID.

**Grants in Aid to School Districts:** The 2008-09 budget included additional spending for legislatively-directed aid targeted to specific school districts and not-for-profit organizations which was intended to be one-time spending.

**Cultural Education:** Reflects the annual impact of the 6 percent local assistance reduction which was enacted during the August 2008 special session. Since the cuts to local assistance enacted during the special session applied to the remainder of 2008-09 and to the entire 2009-10 fiscal year and beyond, reductions are greater in 2009-10. The growth in capital projects spending reflects the delay from 2008-09 to 2009-10 in spending for the construction and renovation of cultural education facilities.

**Other Changes:** The changes are attributable primarily to the timing of spending for State education programs.

### ***EXTRAORDINARY FEDERAL AID***

**IDEA Special Education Grants to School Districts:** In 2009-10, the State expects to receive \$397 million in additional IDEA grants to support the excess costs of educating students with disabilities that exceed the average costs for a general education student.

**Preschool Special Education Stabilization:** The proposed allocation of 15 percent of preschool special education costs to school districts has been rejected and removed from the Enacted Budget, and the associated spending has been restored with Federal ARRA.

**Vocational Rehabilitation and Services:** The ARRA provides significant new funding for vocational rehabilitation and vocational services. The vocational rehabilitation program helps individuals with significant disabilities prepare for, obtain, and maintain employment. New funding is also provided to promote the integration of individuals with disabilities into the mainstream of American society.

## ***2009-10 ALL FUNDS FINANCIAL PLAN***

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**Public Broadcasting Aid Stabilization:** The proposed reduction in public funding, provided to 9 public television and 17 public radio stations, has been largely restored through use of Federal stabilization funds.

**Other Federal ARRA:** Other ARRA funding is provided to State high schools which specialize in math and science, and for educational services and expenses of the Syracuse City School District for the Say Yes to Education demonstration program.

### ***2009-10 ENACTED SAVINGS***

**Aid to Non-Public Schools:** Reflects a reduction in spending for comprehensive attendance-taking at non-public schools.

**Federal IDEA Discretionary Funds:** Reflects the use of available Federal IDEA funds to offset a portion of General Fund spending for special education costs.

**Prior-Year Claims:** Reflects a reduction in funding, from \$24 million to \$15 million, for payment of adjustments to school aid claims submitted by school districts.

**After-School Programs:** Eliminates \$10 million (school year) provided to supplement Federal funding for competitively awarded grants for after-school programs.

**Operating Costs:** The Enacted Budget reduces operating costs by 10 percent. The General Fund supports the operations of four main offices within the agency: the Office of Management Services; Elementary, Middle, Secondary and Continuing Education; the Office of Higher Education and the Professions; and the Office of Cultural Education.

**Cultural Education:** Reduces aid available to cover operating expenses of public library systems by \$5 million, from \$94 million to \$89 million. Apart from the \$5 million Enacted Budget savings, the Legislature added \$2.4 million for new library aid initiatives, bringing the total annual spending for library aid to \$91 million in 2009-10. While the Enacted Budget reduces the level of general aid provided to the public library systems, the Enacted Budget continues \$14 million in capital funds for the renovation and maintenance of public libraries.

**Other Savings:** Reflects the timing of spending for legislative items, a reduction in unrestricted aid to independent colleges and private universities, and the discontinuation of certain spending initiatives added during prior-year budget negotiations.

## 2009-10 ALL FUNDS FINANCIAL PLAN

### ***New Legislative Initiatives***

**Grants in Aid to School Districts:** The Enacted Budget provides additional non-recurring resources for legislatively-directed aid targeted to specific school districts and not-for-profit organizations.

**Special Education Staff Retention:** New funding is provided to help private special education schools maintain a stable special education workforce by reducing teacher turnover and attrition.

**Other New Initiatives:** The Enacted Budget includes additional spending for library aid, adult literacy, dental clinics, ATTAIN, labs, and other legislative items.

### ***Higher Education***

Higher education includes administrative and programmatic costs for SUNY, CUNY, and HESC. The higher education budget is comprised of General Fund support, tuition and fee revenues, and revenues from self-supported programs such as hospitals, residence halls, and fee-for-service activities.

The SUNY system is the largest public university system in the nation with 64 campuses, including 30 community colleges, and offers a range of academic, professional and vocational programs. Currently, there are nearly 440,000 SUNY students pursuing studies ranging from one-year certificates to doctoral degrees.

The CUNY system is the largest urban public university system in the nation. The State pays for CUNY's senior college operations, and works in conjunction with the City of New York to support CUNY's community colleges. Approximately 243,000 full-time and part-time students are currently enrolled in degree programs at CUNY.

HESC is responsible for administering the TAP grant awards to income-eligible students and for providing centralized processing under other student financial aid programs. The Corporation also provides prospective students with information and guidance on how to finance a college education. The financial aid programs that the Corporation administers are funded by the State and the Federal government.

<b>HIGHER EDUCATION SPENDING PROJECTIONS</b>				
<b>(millions of dollars)</b>				
	<b>2008-09 Results*</b>	<b>2009-10 Enacted</b>	<b>Annual \$ Change</b>	<b>Annual % Change</b>
General Fund	3,479	4,057	578	16.6%
Other State Support	3,728	4,155	427	11.5%
<b>State Operating Funds</b>	<b>7,207</b>	<b>8,212</b>	<b>1,005</b>	<b>13.9%</b>
Capital Projects Funds	591	823	232	<b>39.3%</b>
Federal Operating Funds	210	320	110	<b>52.4%</b>
<b>Total All Funds</b>	<b>8,008</b>	<b>9,355</b>	<b>1,347</b>	<b>16.8%</b>

\* Unaudited Year-End Results.

## 2009-10 ALL FUNDS FINANCIAL PLAN

HIGHER EDUCATION						
SOURCES OF ANNUAL SPENDING INCREASES/(DECREASE) FROM 2008-09 to 2009-10						
(millions of dollars)						
	General Fund	Other State Funds	Total State Operating Funds	Capital Projects Funds	Federal Operating Funds	Total All Funds
<b>2008-09 Results*</b>	<b>3,479</b>	<b>3,728</b>	<b>7,207</b>	<b>591</b>	<b>210</b>	<b>8,008</b>
<b>Current Services:</b>	<b>235</b>	<b>162</b>	<b>397</b>	<b>232</b>	<b>(2)</b>	<b>627</b>
SUNY/CUNY Senior College Operating Costs	183	136	319	0	0	319
SUNY/CUNY Community College Local Aid	35	0	35	0	0	35
HESC Tuition Assistance	17	26	43	0	0	43
SUNY Capital Projects	0	0	0	232	0	232
SUNY Federal Aid	0	0	0	0	(2)	(2)
<b>Extraordinary Federal Aid:</b>	<b>(84)</b>	<b>0</b>	<b>(84)</b>	<b>0</b>	<b>112</b>	<b>28</b>
SUNY/CUNY Community College Base-Aid	(49)	0	(49)	0	49	0
HESC TAP Award Criteria and Other Adjustments	(35)	0	(35)	0	35	0
SUNY Pell Grants Pass-Through	0	0	0	0	28	28
<b>Enacted Savings:</b>	<b>367</b>	<b>209</b>	<b>576</b>	<b>0</b>	<b>0</b>	<b>576</b>
CUNY Payment Deferral	600	0	600	0	0	600
SUNY/CUNY/Undergrad Tuition Rate Increase (Net of Tap)	(146)	152	6	0	0	6
HESC TAP Pension Equity	(11)	0	(11)	0	0	(11)
SUNY Statutory College Funding	(4)	0	(4)	0	0	(4)
SUNY/CUNY Self-Supporting Revenues	(44)	44	0	0	0	0
SUNY/CUNY Research Foundation Assessment	(10)	8	(2)	0	0	(2)
SUNY/CUNY Graduate Tuition Increase	(13)	11	(2)	0	0	(2)
HESC Workforce Reduction Plan	0	(3)	(3)	0	0	(3)
Higher Education All Other Savings	(5)	(3)	(8)	0	0	(8)
<b>New Initiatives:</b>	<b>60</b>	<b>56</b>	<b>116</b>	<b>0</b>	<b>0</b>	<b>116</b>
SUNY Student Enrollment Increase	0	53	53	0	0	53
HESC Student Loan Program	50	3	53	0	0	53
Higher Education All Other New Initiatives	10	0	10	0	0	10
<b>2009-10 Enacted</b>	<b>4,057</b>	<b>4,155</b>	<b>8,212</b>	<b>823</b>	<b>320</b>	<b>9,355</b>
Annual Change	578	427	1,005	232	110	1,347

\* Unaudited Year-End Results.

\*\* Represents the impact of savings in 2009-10 related to the Deficit Reduction Plan.

All Funds spending of \$9.4 billion in 2009-10 is comprised of \$6.6 billion for SUNY, \$1.7 billion for CUNY (which includes the deferral from 2008-09 to 2009-10 of \$300 million in senior college payments), and \$1.0 billion for HESC. Additional money for CUNY is paid from a State fiduciary fund (outside the All Funds Financial Plan) that consists primarily of senior college tuition revenues. The annual changes are described in more detail below.

### **CURRENT SERVICES**

**SUNY/CUNY Senior College Operating Costs:** Reflects funding for inflationary increases at SUNY and CUNY, costs associated with collective bargaining settlements, and increases associated with the State support for fringe benefit costs. The total taxpayer-supported workforce for SUNY and CUNY is approximately 35,000 positions.

## ***2009-10 ALL FUNDS FINANCIAL PLAN***

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The annual growth is driven largely by costs associated with multi-year initiatives enacted in prior budgets.

**SUNY/CUNY Community College Local Aid:** Reflects additional base aid to both SUNY and CUNY community colleges associated with growth in enrollment. The State currently provides community college base aid of \$2,675 per full-time student.

**HESC Tuition Assistance:** Reflects increased spending resulting from increased enrollment in New York State's institutions of higher education.

**SUNY Capital Projects:** Annual growth in spending reflects continued implementation of SUNY's current capital program.

**SUNY Federal Aid:** Primarily reflects timing of Federal payments and increased Federal aid to SUNY for Pell grants.

### ***EXTRAORDINARY FEDERAL AID***

**SUNY/CUNY Community College Base Aid:** The proposed 10 percent reduction to base aid at both SUNY and CUNY community colleges has been fully restored to the Financial Plan with fiscal stabilization monies provided to the State through the ARRA. The General Fund savings associated with the original proposal are realized during 2009-10 and 2010-11 as the State restores community college base aid with Federal ARRA funds provided to the State over the next two fiscal years.

**HESC Tap Award Criteria and Other TAP Adjustments:** Executive Budget proposals to prorate TAP awards for students enrolled in 10 to 14 credits per semester, and a series of other reforms and adjustments to strengthen TAP eligibility criteria and establish parity, have been fully restored with fiscal stabilization monies provided to the State through the ARRA. The General Fund savings associated with TAP proposals is realized during 2009-10 and 2010-11, and part of 2011-12, as the State restores the additional tuition assistance spending with Federal ARRA provided to the State over the next two and a half State fiscal years.

**SUNY Federal ARRA Pass-Through:** Reflects an increased flow of Pell grant awards to students, made available through increased funding from the ARRA.

### ***2009-10 ENACTED SAVINGS***

**CUNY Payment Deferral:** The State deferred \$300 million in senior college payments from 2008-09 to 2009-10, to reduce its 2008-09 deficit. Payment in the first quarter of 2009-10 is expected to be financed with savings for health care cost containment attributable to the final quarter of 2008-09, but where the actual cash benefit will be realized in 2009-10. The City's 2008-09 budget will not be affected by this payment.

## **2009-10 ALL FUNDS FINANCIAL PLAN**

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**SUNY/CUNY Undergraduate Tuition Rate Increase:** Reflects an increase in tuition revenues generated at SUNY State-operated campuses and CUNY's senior colleges by an increase in the resident undergraduate tuition rate. These tuition revenues will be partially offset by additional TAP costs associated with the tuition rate increases.

As approved by the SUNY Board of Trustees, tuition will increase by \$620 per year for resident undergraduate students (resulting in an annual undergraduate tuition rate of \$4,970) 14 percent for resident graduate students, and 21 percent for non-resident undergraduate and graduate students. The increased tuition rates are expected to reduce General Fund spending requirements, and allow SUNY to retain a portion of the additional revenues. The increase to resident undergraduate tuition by \$620 represents a 14 percent increase at SUNY, which is below those enacted the last two instances when tuition was increased (in 1995-96 (28 percent) and 2003-04 (28 percent)). SUNY resident undergraduate tuition and fee rates remain below those at all public colleges in the Northeast and Mid-Atlantic regions, as well as the national average.

As approved by the CUNY Board, CUNY will increase tuition by 15 percent per year for resident undergraduate students, resulting in an annual undergraduate tuition rate of \$4,600, with similar increases to graduate and first professional program tuition. The increased tuition rates are expected to reduce General Fund spending requirements, and allow CUNY to retain a portion of the additional revenues. The 15 percent increase to undergraduate tuition by \$600 is below those enacted the last two instances when tuition was increased (in 1995-96 (31 percent) and 2003-04 (25 percent)). CUNY resident undergraduate tuition and fee rates remain below those at all public colleges in the Northeast and Mid-Atlantic regions, as well as the national average.

**HESC TAP Pension Equity:** Implements a process to recognize public sector pension income in determining TAP award eligibility. Currently, private sector pension income is included in TAP award eligibility determinations, but public sector income is not.

**SUNY Statutory College Funding:** Reflects reduced General Fund support for the four statutory colleges at Cornell University and the College of Ceramics at Alfred University.

**SUNY/CUNY Self-Supporting Revenues:** Reflects reduced General Fund spending requirements by utilizing revenues generated through student fees and revenues from other self-supporting functions. These revenues are currently held in various special revenue fund account balances.

**SUNY/CUNY Research Foundation Assessment:** Savings assume that SUNY and CUNY will receive from respective research foundations an amount equivalent to 10 percent of indirect cost recoveries on Federal grants as partial reimbursement for using State-funded facilities. This requirement was last imposed by SUNY in fiscal year 1991-92, and by CUNY in fiscal year 1986-87.



## ***2009-10 ALL FUNDS FINANCIAL PLAN***

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**SUNY/CUNY Graduate Tuition Increase:** Reflects an increase to tuition revenues generated at SUNY and CUNY graduate and first professional programs. Assumed savings are contingent upon SUNY and CUNY increasing fall 2009 tuition rates to levels 21 and 20 percent, respectively, above those charged in fall 2008. The increased tuition rates are expected to reduce General Fund spending requirements, and allow SUNY and CUNY to retain a portion of the additional revenues.

**HESC Workforce Reduction Plan:** Reflects the elimination of FTEs in accordance with the Governor's plan to achieve savings through the reduction of the State workforce. The workforce reductions are scheduled to go into effect in July 2009. Savings amounts will be achieved on a recurring basis, and will be fully annualized by fiscal year 2010-11.

**Higher Education All Other Savings:** Includes the elimination of State support for the Levin Institute, the statutory expiration of Regents scholarships, a reduction to the Volunteer Recruitment Services Scholarship program, and the use of revenues in agency fund balances.

### ***2009-10 NEW INITIATIVES***

**SUNY Student Enrollment Increases:** Reflects a realization of full tuition revenues generated through current and prior-year increases in undergraduate enrollment.

**HESC Student Loan Program:** Establishes NYHELPS to provide New York residents with access to affordable student loans not currently available in the private loan market. This program will be a public/private partnership, for which the State will contribute \$50 million in the initial year and \$10 million per year thereafter to support default reserve funds and enable favorable borrower interest rates and fees.

**Higher Education All Other New Initiatives:** Includes new funding for the CUNY Law Community Legal Resource Network and the creation of the Flight 3407 Memorial Scholarship, which will provide surviving children and other financial dependents of the victims of the flight 3407 tragedy with scholarships equivalent in value to the average cost of attendance for a State resident at a four-year SUNY school. Also includes an unallocated lump sum within the HESC budget to support yet to be determined legislative initiatives.

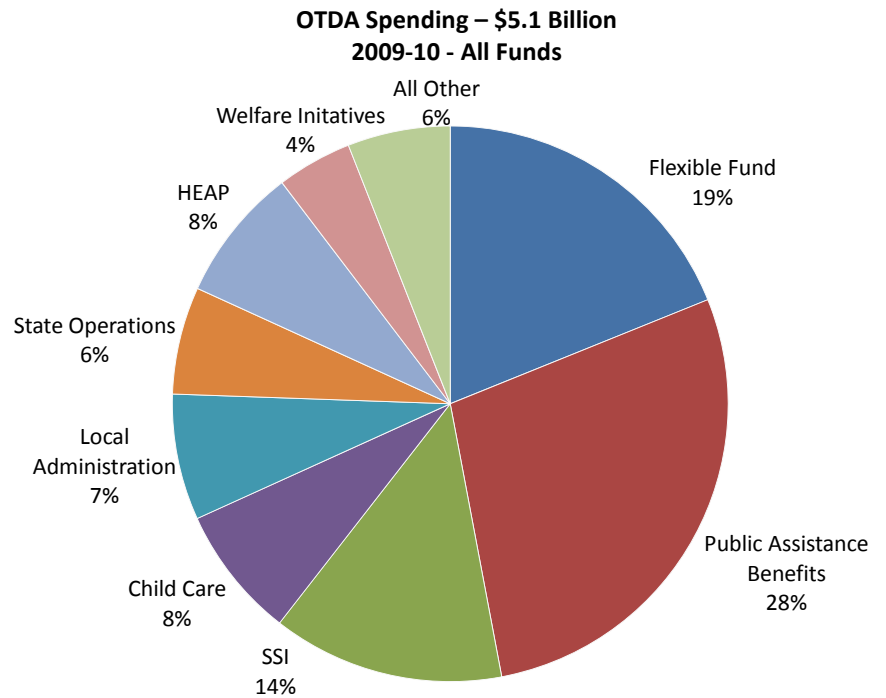
## 2009-10 ALL FUNDS FINANCIAL PLAN

### Social Services

#### Office of Temporary and Disability Assistance

OTDA local assistance programs provide cash benefits and supportive services to low-income families. The State's three main programs include Family Assistance, SSI, and Safety Net Assistance. The Family Assistance program, which is financed jointly by the State, the Federal government, and local districts, provides employment assessments, support services and time-limited cash assistance to eligible families and children. The State also provides a supplement to the Federal SSI benefit for the elderly, visually handicapped, and disabled.

The Safety Net Assistance program, financed jointly by the State and local districts, provides cash assistance for single adults, childless couples, and families that have exhausted their five-year limit on Family Assistance imposed by Federal law. Funding is also provided for OTDA State Operations spending which includes staffing related to the disability determinations program, the child support enforcement program, and the operation of computer systems that support public assistance programs.



The primary Federal funding source for public assistance programs is the TANF block grant. TANF funding is made available to the local social services districts through the Flexible Fund for Family Services (Flexible Fund). Districts are responsible for determining the distribution of their allocation for all non-benefit programs, including child welfare, and for public assistance administration. Federal funding is also provided through the Food Stamp program, which helps low-income households buy food, and HEAP, which assists low-income households in meeting their home energy needs.

## 2009-10 ALL FUNDS FINANCIAL PLAN

TEMPORARY AND DISABILITY ASSISTANCE SPENDING PROJECTIONS				
(millions of dollars)				
	2008-09 Results*	2009-10 Enacted	Annual \$ Change	Annual % Change
General Fund	1,264	1,330	66	5.2%
Other State Support	15	12	(3)	-20.0%
<b>State Operating Funds</b>	<b>1,279</b>	<b>1,342</b>	<b>63</b>	<b>4.9%</b>
Capital Projects Funds	32	30	(2)	-6.3%
Federal Operating Funds	3,735	3,734	(1)	0.0%
<b>Total All Funds</b>	<b>5,046</b>	<b>5,106</b>	<b>60</b>	<b>1.2%</b>

\* Unaudited Year-End Results.

Spending by program includes: public assistance (\$1.4 billion), the Flexible Fund (\$965 Million), SSI (\$689 million), HEAP (\$400 million); child care (\$393 million), local administration (\$375 million), State Operations (\$320 million), and welfare initiatives (\$224 million).

The average public assistance caseload is projected to total roughly 503,750 recipients in 2009-10, an increase of 2 percent from average 2008-09 levels. Approximately 237,450 families are expected to receive benefits through the Family Assistance program, an increase of less than 1 percent from the current year. In the Safety Net program, an average of 114,250 families are expected to be helped in 2009-10, an increase of less than 1 percent. The caseload for single adults/childless couples supported through the Safety Net program is projected at 152,050, an increase of 5 percent.

## 2009-10 ALL FUNDS FINANCIAL PLAN

TEMPORARY AND DISABILITY ASSISTANCE						
SOURCES OF ANNUAL SPENDING INCREASE/(DECREASE) FROM 2008-09 TO 2009-10						
(millions of dollars)						
	General Fund	Other State Funds	Total State Operating Funds	Capital Projects Funds	Federal Operating Funds	Total All Funds
<b>2008-09 Results*</b>	<b>1,264</b>	<b>15</b>	<b>1,279</b>	<b>32</b>	<b>3,735</b>	<b>5,046</b>
<b>Current Services:</b>	<b>73</b>	<b>(3)</b>	<b>70</b>	<b>(2)</b>	<b>(306)</b>	<b>(238)</b>
Public Assistance	53	0	53	0	(264)	(211)
Revenue Maximization	17	0	17	0	(17)	0
SSI Payments	3	0	3	0	0	3
All Other	0	(3)	(3)	(2)	(25)	(30)
<b>Extraordinary Federal Aid:</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>311</b>	<b>311</b>
TANF Contingency Fund	0	0	0	0	272	272
All Other	0	0	0	0	39	39
<b>Enacted Savings:</b>	<b>(22)</b>	<b>0</b>	<b>(22)</b>	<b>0</b>	<b>(6)</b>	<b>(28)</b>
Public Assistance Reimbursement	(6)	0	(6)	0	0	(6)
Training/Welfare to Work Financing	(3)	0	(3)	0	0	(3)
Welfare Administration	(3)	0	(3)	0	0	(3)
Workforce Reduction Plan	(3)	0	(3)	0	(6)	(9)
All Other	(7)	0	(7)	0	0	(7)
<b>New Initiatives:</b>	<b>15</b>	<b>0</b>	<b>15</b>	<b>0</b>	<b>0</b>	<b>15</b>
Public Assistance Grant	8	0	8	0	0	8
Legislative Adds	7	0	7	0	0	7
<b>2009-10 Enacted</b>	<b>1,330</b>	<b>12</b>	<b>1,342</b>	<b>30</b>	<b>3,734</b>	<b>5,106</b>
Annual Change	66	(3)	63	(2)	(1)	60

\* Unaudited Year-End Results.

### CURRENT SERVICES

**Public Assistance:** The General and Federal Fund increases reflect an estimated 2 percent increase in the public assistance caseload. The decline in the Federal Operating Funds is a function of higher than projected 2008-09 expenditures in public assistance families and TANF-funded initiatives, such as child care.

**Revenue Maximization:** Federal moneys used to offset General Fund costs in 2008-09 are no longer available.

**Supplemental Security Income Payments:** SSI payments will increase due to caseload growth, federally-imposed administrative fee increases, and the statutory cost-of-living increase to the State supplement for recipients in Congregate Care Level 3 facilities.

**All Other:** Other State Funds savings reflect a non-recurring settlement payment to New York City in 2008-09. The decrease in Federal funding reflects higher than anticipated expenditures in the HEAP and Food Stamp programs in 2008-09, partially offset by modest State Operations growth in 2009-10.

## ***2009-10 ALL FUNDS FINANCIAL PLAN***

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### ***EXTRAORDINARY FEDERAL AID***

**TANF Contingency Fund:** Reflects increased Federal spending resulting from recent State eligibility for the TANF Contingency Fund.

**All Other:** Federal ARRA monies received will be used to support Child Support, Food Stamp administration, and Homeless Assistance programs.

### ***2009-10 ENACTED SAVINGS***

**Public Assistance Reimbursement:** Local service districts incur the costs for public assistance benefits in the first instance and then receive reimbursement from the State for the Federal and State share of such costs. This action limits the reimbursement period to the year in which the services were incurred.

**Training/Welfare to Work Financing:** Reflects financing certain training and welfare to work programs with resources in a special revenue fund instead of the General Fund.

**Welfare Administration:** Welfare Administration savings are achieved by eliminating General Fund support for Strengthening Families Through Stronger Fathers and increasing the local share of fair hearings costs.

**Workforce Reduction Plan:** Reflects savings associated with the Management/Confidential withholding compensation actions and Governor's workforce reduction plan.

**All Other:** Reduces funding available for system development projects (\$3 million); and continues the use of debt financing for statewide Welfare Management System software development costs (\$3 million).

### ***NEW INITIATIVES***

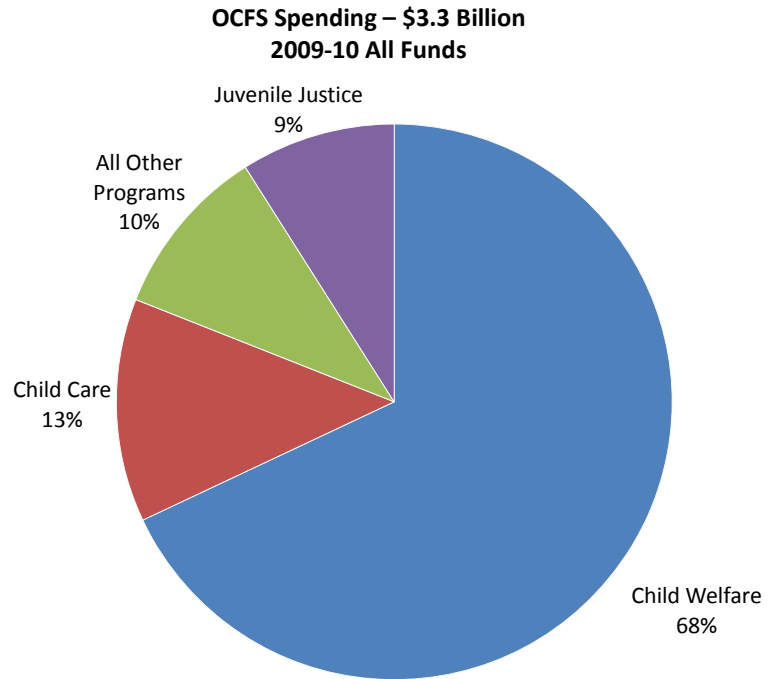
**Public Assistance Grant:** Increases the basic allowance portion of the public assistance grant by 10 percent a year for three years, starting July 2009.

**Legislative Adds:** Includes a Green Jobs Corp Program that adds a General Fund component to the new TANF-funded initiative to subsidize employment in entry-level high-growth energy efficiency and environmental conservation industries; Health Care Jobs Program that adds a General Fund component to the new TANF-funded initiative to subsidize employment in health care community outreach positions; Low-Income Worker Initiative to support participation of low-income New Yorkers in the workforce; and additional funding for the Single Room Occupancy Supportive Services Program.

## 2009-10 ALL FUNDS FINANCIAL PLAN

### Office of Children and Family Services

OCFS provides funding for foster care, adoption, child protective services, preventive services, delinquency prevention, and child care. OCFS oversees the State's system of family support and child welfare services administered by local departments of social services and community-based organizations. Specifically, child welfare services, which are financed jointly by the Federal government, the State, and local districts, are structured to encourage local governments to invest in preventive services to reduce out-of-home placement of children. In addition, the Child Care Block Grant, which is also financed by a combination of Federal, State and local sources, supports child care subsidies for public assistance and low-income families. The youth facilities program serves youth directed by family or criminal courts to be placed in residential facilities. Federal funding for OCFS programs is provided through the TANF Block Grant, Federal Title IV-E Foster Care and Adoption Assistance funding, the Federal Child Care and Development Fund, and the Title XX Social Services Block Grant.



<b>CHILDREN AND FAMILY SERVICES SPENDING PROJECTIONS</b>				
(millions of dollars)				
	<u>2008-09 Results*</u>	<u>2009-10 Enacted</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
General Fund	1,934	2,082	148	7.7%
Other State Support	8	7	(1)	-12.5%
<b>State Operating Funds</b>	<b>1,942</b>	<b>2,089</b>	<b>147</b>	<b>7.6%</b>
Capital Projects Funds	29	28	(1)	-3.4%
Federal Operating Funds	1,162	1,199	37	3.2%
<b>Total All Funds</b>	<b>3,133</b>	<b>3,316</b>	<b>183</b>	<b>5.8%</b>

\* Unaudited Year-End Results.

## 2009-10 ALL FUNDS FINANCIAL PLAN

All Funds spending for OCFS is primarily for child welfare (\$2 billion), child care (\$438 million), and juvenile justice services, including delinquency prevention, youth facilities, and local detention facilities (\$282 million). The annual changes are described below.

CHILDREN AND FAMILY SERVICES SOURCES OF ANNUAL SPENDING INCREASE/(DECREASE) FROM 2008-09 to 2009-10 (millions of dollars)						
	General Fund	Other State Funds	Total State Operating Funds	Capital Projects Funds	Federal Operating Funds	Total All Funds
<b>2008-09 Results*</b>	<b>1,934</b>	<b>8</b>	<b>1,942</b>	<b>29</b>	<b>1,162</b>	<b>3,133</b>
<b>Current Services:</b>	<b>364</b>	<b>(1)</b>	<b>363</b>	<b>(1)</b>	<b>(74)</b>	<b>288</b>
Child Welfare Services	77	0	77	0	5	82
Adoption Subsidy	11	0	11	0	0	11
Human Services COLA	50	0	50	0	0	50
Medicaid Waiver	21	0	21	0	0	21
Program Realignment	42	0	42	0	(5)	37
Legislative Adds Elimination	(17)	0	(17)	0	0	(17)
Software Bonding	20	0	20	0	0	20
Capital Projects	0	0	0	(1)	0	(1)
All Other	160	(1)	159	0	(74)	85
<b>Extraordinary Federal Aid</b>	<b>(22)</b>	<b>0</b>	<b>(22)</b>	<b>0</b>	<b>112</b>	<b>90</b>
<b>Enacted Savings:</b>	<b>(195)</b>	<b>0</b>	<b>(195)</b>	<b>0</b>	<b>(1)</b>	<b>(196)</b>
Increase Child Welfare Offset	(67)	0	(67)	0	0	(67)
Human Services COLA	(55)	0	(55)	0	0	(55)
Community Optional Preventive Services	(5)	0	(5)	0	0	(5)
Delinquency Prevention Programs	(14)	0	(14)	0	0	(14)
Preventive Contract Program	(19)	0	(19)	0	0	(19)
Youth Facility Closures/Downsizing	(10)	0	(10)	0	0	(10)
Workforce Reduction Plan	(10)	0	(10)	0	(2)	(12)
All Other	(15)	0	(15)	0	1	(14)
<b>New Initiatives:</b>	<b>1</b>	<b>0</b>	<b>1</b>	<b>0</b>	<b>0</b>	<b>1</b>
Legislative Adds	1	0	1	0	0	1
<b>2009-10 Enacted</b>	<b>2,082</b>	<b>7</b>	<b>2,089</b>	<b>28</b>	<b>1,199</b>	<b>3,316</b>
Annual Change	148	(1)	147	(1)	37	183

\* Unaudited Year-End Results.

### **CURRENT SERVICES**

**Child Welfare Services:** Increased General Fund support reflects growth in local child welfare claims.

**Adoption Subsidy:** Increased General Fund support reflects program growth.

**Human Service COLA:** The 2008-09 Enacted Budget authorized a cost-of-living increase for human services providers. The 2009-10 growth reflects statutorily required inflationary increases.

**Medicaid Waiver:** Under the waiver, foster care children at risk of institutional placement will receive specialized services in addition to traditional Medicaid services to help avoid institutional placement. The 2009-10 increase reflects the phase-in of waiver slots for this program, which began January 1, 2008 and will be fully implemented by December 31, 2010.

## **2009-10 ALL FUNDS FINANCIAL PLAN**

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**Program Realignment:** In 2008-09, funding for advantage schools, home visiting, preventive services, and kinship programs was financed from TANF instead of the General Fund. The increase in 2009-10 reflects the annualization of this funding change.

**Legislative Adds Elimination:** Reduces various legislatively-initiated programs including 2-1-1 and Boys and Girls Clubs.

**Software Bonding:** Reflects the one time use of debt financing for the software development costs related to the statewide Child Welfare System.

**All Other:** The General Fund spending increase is due largely to the delay of revenue collection, and timing of local assistance disbursements. Federal Funds decline reflects higher than anticipated expenditures in the foster care and adoption programs in 2008-09.

### ***EXTRAORDINARY FEDERAL AID***

The ARRA reflects funding for Commission for the Blind and Visually Handicapped, Child Care, Foster Care and Adoption programs. Increase in the Federal IV-E funding share provides General Fund and local district savings.

### ***2009-10 ENACTED SAVINGS***

**Increase Child Welfare Offset:** This action increases the amount of FFFS funding that districts are required to spend on child welfare services.

**Human Services COLA:** This action eliminates the 2009-10 Human Services COLA authorized in the 2008-09 Enacted Budget.

**Community Optional Preventive Services:** This action reduces State reimbursement to support preventive services that are community-based and not mandated.

**Delinquency Prevention Programs:** This action reduces General Fund support by \$4 million and shifts an additional \$10 million in costs to TANF.

**Preventive Contract Program:** Funding for a portion of the Preventive Contract Program will be financed by TANF.

**Closure and Downsizing Youth Facilities:** Savings are generated by closing or downsizing eight underutilized youth facilities as well as three underutilized evening reporting centers.

**Workforce Reduction Plan:** Savings associated with the Management/Confidential withholding compensation actions and Governor's WRP.



## 2009-10 ALL FUNDS FINANCIAL PLAN

**All Other:** Other savings actions include: workforce reductions (\$4 million); eliminating the Caseworker Training Initiative (\$2 million); reducing the Evidence Based Community Initiatives (\$2 million); eliminating the substance abuse co-location demonstration project (\$1 million); eliminating the preventive services COLA (\$3 million); and Federal revenue maximization (\$1 million).

### NEW INITIATIVES

**Legislative Adds:** New funding is provided for the Settlement Houses and Guardianship Programs.

### Mental Hygiene

The Mental Hygiene agencies provide services to individuals with mental illness, developmental disabilities, and chemical dependence through institutional and community-based settings. These agencies – OMH, OMRDD, OASAS, CQCAPD and DDPC – are expected to serve nearly one million individuals in 2009-10, including 600,000 persons with mental illness, 260,000 persons with chemical dependencies, and 125,000 persons with developmental disabilities. Specifically, OMH plans and operates an integrated mental health care system that serves adults with serious and persistent mental illness and children with serious emotional disturbances. OMRDD serves individuals with developmental disabilities and their families. OASAS licenses and evaluates service providers and implements programs for the prevention, treatment, and recovery from chemical dependence and program gambling. CQCAPD provides outreach, information, referral and advocacy services to individuals with disabilities. DDPC prepares, implements, and monitors plans for improving the quality of life for people with developmental disabilities.

OMH, OMRDD and OASAS provide services directly to their patients through State-operated facilities and indirectly through community service providers, receiving reimbursement from Medicaid, Medicare and third-party insurance. Patient revenues are pledged first to the payment of debt service on outstanding mental hygiene bonds, with the remaining revenue deposited to the Patient Income Account, which supports State costs of providing services.

<b>MENTAL HYGIENE SPENDING PROJECTIONS</b>				
<b>(millions of dollars)</b>				
	<b>2008-09 Results*</b>	<b>2009-10 Enacted</b>	<b>Annual Change</b>	<b>Percent Change</b>
General Fund	2,069	2,154	85	4.1%
Other State Support	2,114	2,016	(98)	-4.6%
<b>State Operating Funds</b>	<b>4,183</b>	<b>4,170</b>	<b>(13)</b>	<b>-0.3%</b>
Capital Projects Funds	241	297	56	23.2%
Federal Operating Funds	2,402	2,655	253	10.5%
<b>Total All Funds</b>	<b>6,826</b>	<b>7,122</b>	<b>296</b>	<b>4.3%</b>

\*Unaudited Year-End Results.

## 2009-10 ALL FUNDS FINANCIAL PLAN

All Funds spending for mental hygiene consists of \$3.7 billion for OMRDD, \$2.8 billion for OMH, \$618 million for OASAS, \$15 million for CQCAPD, and \$5 million for DDPC. The State share of Medicaid spending in the Department of Mental Hygiene is projected to total \$2.4 billion in 2009-10. The annual increase in mental hygiene programs is described in more detail below. The table below does not include transfers, which means that spending changes may be tracked differently than in other Financial Plan tables.

MENTAL HYGIENE SOURCES OF ANNUAL SPENDING INCREASE/(DECREASE) FROM 2008-09 TO 2009-10 (millions of dollars)						
	General Fund	Other State Funds	Total State Operating Funds	Capital Projects Funds	Federal Operating Funds	Total All Funds
<b>2008-09 Results*</b>	<b>2,069</b>	<b>2,114</b>	<b>4,183</b>	<b>241</b>	<b>2,402</b>	<b>6,826</b>
<b>Current Services:</b>	<b>102</b>	<b>319</b>	<b>421</b>	<b>59</b>	<b>131</b>	<b>611</b>
OMRDD Local Programs	39	24	63	0	8	71
OMH Local Programs	0	98	98	0	(8)	90
OASAS Local Programs	(6)	56	50	0	(5)	45
State Operations Growth	2	116	118	0	136	254
Human Services COLA	67	25	92	0	0	92
Capital Programs	0	0	0	59	0	59
<b>Extraordinary Federal Aid**</b>	<b>0</b>	<b>(267)</b>	<b>(267)</b>	<b>0</b>	<b>267</b>	<b>0</b>
<b>Enacted Savings:</b>	<b>(17)</b>	<b>(150)</b>	<b>(167)</b>	<b>(3)</b>	<b>(145)</b>	<b>(315)</b>
Eliminate 2009-10 COLA (5.6%)	(45)	(47)	(92)	0	(1)	(93)
OMRDD Revenue Maximization	37	(4)	33	0	(212)	(179)
OMRDD State Operations Efficiencies	0	(3)	(3)	0	(10)	(13)
Reform/Rationalize OMRDD Local Assistance	(12)	0	(12)	0	(9)	(21)
Restructure OMRDD Out-of-State Placements	3	0	3	0	(6)	(3)
OMH Forensic (SOMTA/SHU) Reforms	0	(22)	(22)	0	0	(22)
OMH Ward Reductions/Efficiencies	0	(9)	(9)	0	(6)	(15)
OMH Local Restructuring	(5)	(18)	(23)	0	0	(23)
OASAS Manhattan ATC Closure/Efficiencies	0	(7)	(7)	0	0	(7)
OASAS Local Restructuring	0	(17)	(17)	0	0	(17)
Rockefeller Drug Law Reform	1	0	1	10	0	11
Workforce Reduction Plan	0	(31)	(31)	0	(80)	(111)
Capital	0	0	0	(13)	0	(13)
Central Recognition of Fringe Benefit Savings	0	12	12	0	4	16
Revenue Impact of OMRDD Actions	4	0	4	0	178	182
All Other	0	(4)	(4)	0	(3)	(7)
<b>2009-10 Enacted</b>	<b>2,154</b>	<b>2,016</b>	<b>4,170</b>	<b>297</b>	<b>2,655</b>	<b>7,122</b>
Total Annual Change	85	(98)	(13)	56	253	296

\* Unaudited Year-End Results.

\*\* Reflects Year-to-Year Operating Growth Only.

### ***CURRENT SERVICES***

**OMRDD Local Programs:** Projected increases in existing program commitments and mandates including NYS-CARES, the development of children's beds for out-of-state placements and other mandated populations, and projected increases in the State share of Medicaid costs comprise the majority of local program growth.

**OMH Local Programs:** Growth in other State funds is primarily attributable to additional bed development, largely related to the New York/New York III supportive housing agreement, as well as the increasing costs of providing mental health care services and increases in service utilization.

**OASAS Local Programs:** Reflects increases in existing programs for high priority populations.

**State Operations Growth:** State Operations spending is projected to increase due to salary increases, first time payment of indirect costs, and non-recurring 2008-09 savings.

**Human Services COLA:** The 2008-09 Enacted Budget continued a planned COLA increase for targeted OMH, OASAS and OMRDD service providers. The projected 2009-10 growth prior to Enacted Budget recommendations reflects statutorily required inflationary increases associated with the COLA.

**Capital Programs:** Capital projects spending is expected to increase primarily for the New York/New York III supportive housing agreement and other pipeline bed development in OMH, OASAS, and OMRDD.

### ***EXTRAORDINARY FEDERAL AID***

The ARRA increases the Federal matching rate on eligible State Medicaid expenditures for the period October 1, 2008 through December 31, 2010. The FMAP benefit for the State and counties for all Medicaid expenditures (including those outside of DOH) is expected to increase from approximately \$1.7 billion in 2008-09 to \$5.1 billion in 2009-10. Importantly, New York State will share this benefit with local governments, reducing their share of Medicaid below the currently capped levels. The Federal benefit continues to pass through the State's Financial Plan, increasing spending in 2009-10 by \$1.4 billion. The Enhanced FMAP are projected to reduce the State share of Medicaid spending in State operations by an additional \$267 million in the Department of Mental Hygiene.

## **2009-10 ALL FUNDS FINANCIAL PLAN**

### **2009-10 ENACTED SAVINGS**

**Eliminate Planned 5.6 percent 2009-10 COLA:** Reflects a one-year elimination of the planned 5.6 percent 2009-10 Human Services COLA. A COLA is still planned for 2010-11 and 2011-12, and extension of a COLA for 2012-13 is included to continue with the three-year planning process.

**OMRDD Revenue Maximization:** Includes recognizing increased Food Stamp benefits, increasing utilization of Home and Community-Based Services Waiver programs, continuing a health care reimbursement initiative, and ensuring that families and individuals apply for all Medicaid and Medicare benefits to which they are entitled.

**OMRDD State Operations Efficiencies:** Actions will reduce the planned workforce by 284, and include closing the Howard Park campus in Queens, consolidating administrative functions at central and regional offices, streamlining administrative and research functions at the Institute for Basic Research and managing position vacancies and controlling non-personal service costs.

**Reform/Rationalize OMRDD Local Assistance:** These actions include implementing regional rates based on actual costs for day habilitation services beginning on July 1, 2010, reducing reimbursement for less intensive Medicaid Service Coordination services, denying payments for services that were not pre-authorized, eliminating enhanced funding for certain Article 16 and Article 28 clinics, and reducing voluntary fingerprinting costs. Though fully supported in 2009-10, unified services funding will sunset on July 1, 2010 to provide adequate planning/implementation time.

**Restructure OMRDD Out-of-State Placements:** Provide 88 individuals that are currently receiving more costly services in out-of-State or residential school settings and their families with the option of relocating to an in-state OMRDD-certified program, or continuing to reside in their current, more costly setting and receiving reimbursement at an amount no greater than what OMRDD would reimburse the appropriate in-state program.

**OMH Forensic (SOMTA/SHU) Reforms:** Shift treatment for sex offenders from a more costly inpatient psychiatric model to treatment standards used in other states. In addition, the budget reflects a delay in implementing certain special housing unit programs.

**OMH Ward Reductions/Efficiencies:** Restructures adult inpatient capacity by shifting 450 beds (11 percent of capacity) to outpatient/community services saving \$6 million in 2009-10. Additionally, aggressive controls on attrition and non-personal service spending will reduce costs by \$10 million.

**OMH Local Restructuring:** Includes a longer phase-in of additional funding for Community Residences/Family Based Treatment programs, and freezing the Community Residential Pipeline, excluding those beds targeted for the New York/New York III supportive housing agreement. OMH will continue to move forward with the restructuring of the Ambulatory Care system to rationalize the reimbursement of

## ***2009-10 ALL FUNDS FINANCIAL PLAN***

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providers of mental health clinical services. Additionally, OMH continues to restructure the CDT program, shifting to half-day/full-day claiming effective April 1, 2009. Article 28 and Article 31 CDTs will be fully restructured on April 1, 2009 and July 1, 2010, respectively. Though fully supported in 2009-10, unified services funding will sunset on July 1, 2010 to provide adequate planning/implementation time.

**OASAS Manhattan ATC Closure/Efficiencies:** State operations savings are achieved by closing the 52-bed State-operated Manhattan ATC (\$5 million), and continuing to aggressively control hiring and non-personal service spending (\$2 million).

**OASAS Local Restructuring:** Reflects a \$5 million reduction to prevention services funding in New York City schools, including \$3 million enacted in the 2008-09 Deficit Reduction Plan. Also reduces funding for a variety of services that did not achieve performance objectives, slows bed development, and delays the implementation of a medical model for residential adolescent treatment services. Though fully supported in 2009-10, unified services funding will sunset on July 1, 2010 to provide adequate planning/implementation time.

**Rockefeller Drug Law Reform:** Reflects costs to the OASAS system to enhance substance abuse treatment and prevention programs. This includes increased utilization of outpatient services (\$1 million), as well as increased capital spending to enhance community residential treatment capacity (\$10 million). Another \$4 million is expected to be available from DCJS to support additional residential treatment programs.

**Capital:** Limits disbursements to only essential, high priority projects.

**Workforce Reduction:** Reflects a reduction of 2,551 positions and related savings in OMH, OMRDD, OASAS, and CQCAPD, to be achieved in accordance with the Governor's workforce reduction plan, including savings associated with changes to Management/Confidential compensation levels.

**Revenue Impacts/Fringe Benefits:** Reflects the net Financial Plan impact of the savings items noted above, including fringe benefits savings that are shown centrally in the GSC budget rather than in the mental hygiene agencies, and other actions that are reflected as either reductions in transfers (shown centrally) or as fund sweeps.

**All Other:** Reflects a variety of administrative cost controls throughout the mental hygiene agencies.

## ***2009-10 ALL FUNDS FINANCIAL PLAN***

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### ***Transportation***

New York's transportation network includes 240,000 lane miles of roads, 19,500 bridges, 4,000 railroad miles, 147 public-use airports, 12 major ports and over 130 public transportation operators. The State helps maintain and improve this extensive collection of assets through taxes, Federal grants, general obligation bonds, and bonds issued by public authorities pursuant to contractual agreements with the State. The principal agencies represented in Transportation are the Department of Transportation, the Thruway Authority, Metropolitan Transportation Authority, and Department of Motor Vehicles as further described below.

#### ***Department of Transportation***

DOT directly maintains the more than 38,000 State highway lane miles and 7,500 bridges. In addition to State-owned transportation assets, the DOT assists in funding projects for highways, bridges, transit systems and other transportation facilities which are owned by local governments, and public authorities.

#### ***Thruway Authority***

Through its subsidiary, the New York State Canal Corporation, the TA maintains and operates a 524-mile navigable waterway and related structures and facilities. Revenues from canal tolls and other user fees are deposited into the Canal System Development Fund and are used exclusively for canals.

#### ***Metropolitan Transportation Authority***

MTA is responsible for operating, maintaining and improving public transportation in the Metropolitan Commuter Transportation District consisting of New York City and Dutchess, Nassau, Orange, Putnam, Rockland, Suffolk, and Westchester counties. The Authority oversees the operations of the bus and subway systems in New York City, commuter railroads in the region, and seven bridges and two tunnels in New York City. This oversight includes general policy direction and development of operating and capital programs.

The Authority comprises three independent entities: MTA, MTA New York City Transit, and MTA Bridges and Tunnels. MTA has six subsidiaries: MTA Staten Island Rapid Transit, MTA Long Island Rail Road, MTA Long Island Bus, MTA Metro North Railroad, MTA Bus and MTA Capital Construction. MTA New York City Transit, which operates the New York City Subway and bus systems, has one subsidiary: MTA Manhattan and Bronx Surface Transit Operating Authority.

## 2009-10 ALL FUNDS FINANCIAL PLAN

### Department of Motor Vehicles

DMV issues drivers' licenses and vehicle registrations, promotes highway safety, and collects revenues used for transportation purposes, including capital projects.

TRANSPORTATION SPENDING PROJECTIONS				
(millions of dollars)				
	2008-09 Results*	2009-10 Enacted	Annual \$ Change	Annual % Change
General Fund	109	101	(8)	-7.3%
Other State Support	2,972	2,605	(367)	-12.3%
<b>State Operating Funds</b>	<b>3,081</b>	<b>2,706</b>	<b>(375)</b>	<b>-12.2%</b>
Capital Projects Funds	3,793	4,528	735	<b>19.4%</b>
Federal Operating Funds	73	66	(7)	-9.6%
<b>Total All Funds</b>	<b>6,947</b>	<b>7,300</b>	<b>353</b>	<b>5.1%</b>

\* Unaudited Year-End Results.

All Funds spending consists of \$4.5 billion from capital projects funds, including agency staff and related operations financed through the DHBTF, and \$2.6 billion for statewide mass transit operations and local highway and bridge aid. Operations consists primarily of engineering services and related capital program support, snow and ice removal, preventive maintenance activities, regulatory activities and DMV operations.

Spending from State capital projects funds is projected to total \$2.4 billion and reflects, in part, implementation of the five-year transportation capital plans for DOT and MTA, as amended. Federal capital projects funds spending totals \$2.1 billion, an increase of \$511 million over 2008-09, primarily attributable to additional Federal funds made available to New York through ARRA.

## 2009-10 ALL FUNDS FINANCIAL PLAN

TRANSPORTATION						
SOURCES OF ANNUAL SPENDING INCREASE/(DECREASE)						
FROM 2008-09 TO 2009-10						
(millions of dollars)						
	General Fund	Other State Funds	Total State Operating Funds	Capital Projects Funds	Federal Operating Funds	Total All Funds
<b>2008-09 Results*</b>	<b>109</b>	<b>2,972</b>	<b>3,081</b>	<b>3,793</b>	<b>73</b>	<b>6,947</b>
<b>Current Services:</b>	<b>(9)</b>	<b>(252)</b>	<b>(261)</b>	<b>403</b>	<b>(7)</b>	<b>135</b>
Non-Recurring Local Assistance	(9)	(197)	(206)	(36)	0	(242)
MMTOA/PTOA Spending Delays	0	(55)	(55)	0	0	(55)
2005 Transportation Bond Act	0	0	0	218	0	218
DHBTF	0	0	0	194	0	194
Federal Aid	0	0	0	27	(7)	20
<b>Extraordinary Federal Aid:</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>508</b>	<b>0</b>	<b>508</b>
State and Local Highway	0	0	0	417	0	417
Federal Rail Capital Aid	0	0	0	25	0	25
Federal Transportation Grants	0	0	0	25	0	25
Engineering	0	0	0	29	0	29
Federal Aviation Aid	0	0	0	5	0	5
Transit Non-Urbanized Capital	0	0	0	7	0	7
<b>Enacted Savings:</b>	<b>0</b>	<b>(115)</b>	<b>(115)</b>	<b>(176)</b>	<b>0</b>	<b>(291)</b>
Transit System Funding	0	(112)	(112)	0	0	(112)
DOT Capital Plan Deductions/Efficiencies	0	0	0	(137)	0	(137)
DOT/DMV Workforce Reduction Plan	0	(3)	(3)	(39)	0	(42)
<b>New Initiatives:</b>	<b>1</b>	<b>0</b>	<b>1</b>	<b>0</b>	<b>0</b>	<b>1</b>
Transit Legislative Adds	1	0	1	0	0	1
<b>2009-10 Enacted</b>	<b>101</b>	<b>2,605</b>	<b>2,706</b>	<b>4,528</b>	<b>66</b>	<b>7,300</b>
Annual Change	(8)	(367)	(375)	735	(7)	353

\* Unaudited Year-End Results.

### CURRENT SERVICES

**Non-Recurring Local Assistance:** The reduction in General Fund current services reflects the annualization of the 2008 reduction of 6 percent to transit operating aid and the rejection of the transit DRP proposal by the Legislature. The reduction in Other State Funds largely reflects the non-recurrence of aid that was accelerated to the MTA in 2008-09 as a one-time distribution of fund balances. The reduction in Capital Projects Funds reflects a one-time increase in transit capital assistance during the 2008-09 fiscal year, not expected to recur in 2009-10.

**Transit MMTOA/PTOA Spending Delays:** The reduction in Other State Funds reflects a necessary spending reduction in 2009-10 in order to preserve a positive year-end balance. This decrease in current fiscal year spending may result in increased spending in fiscal year 2010-11 depending on available resources.

**2005 Transportation Bond Act:** Consists of a planned increase of approximately \$155 million in spending from the Rebuild and Renew New York Transportation Bond Act passed by voters in 2005.



## ***2009-10 ALL FUNDS FINANCIAL PLAN***

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**DHBTF:** Reflects increased spending, primarily from the DHBTF, based on planned program levels in the DOT five-year capital plan adjusted for updated project data and certain cost increases.

**Federal Aid:** Transportation spending financed with Federal funds is expected to increase based on available Federal aid.

### ***EXTRAORDINARY FEDERAL AID***

**State and Local Highway:** Reflects increased capital spending designated for the State and Local Highway systems. These projects are funded through additional Federal formula aid made available to New York through ARRA.

**Rail Capital:** Reflects capital spending increase intended for Rail systems within New York, to be supported through the use of ARRA funds.

**Competitive Transportation Grants:** Reflects capital spending associated with multi-modal transportation needs through the availability of ARRA funding.

**Engineering:** Reflects ARRA spending designated for increased State forces and consultant engineering costs associated with ARRA-funded capital expenses.

**Aviation Aid:** Reflects ARRA aid flowing directly to State airports.

**Transit Non-Urbanized Capital:** Reflects an increase in operational costs associated with federally funded capital projects. These costs will be supported through the use of ARRA funds.

### ***2009-10 Enacted Savings***

**Transit System Funding:** The Enacted Budget actions reduce other State funding by \$112 million statewide as a result of declines in revenue. The funding is reduced, commensurate with each transit system's share of total State transit aid. State transit systems are funded through revenues from regional business and sales taxes, the statewide petroleum business tax, and the statewide transmission tax.

**DOT Capital Plan Reductions/Efficiencies:** Reflects reductions in capital project disbursements for construction, engineering, rail, and aviation projects. The 2009-10 spending level will maintain core funding for preventive and demand maintenance and match available Federal aid. Other efficiencies include savings from reduced utilization of consultant staff for information technology and construction inspection activities.

**DOT/DMV Workforce Reduction Plan:** Reflects the elimination of FTEs in accordance with the Governor's plan to achieve savings through the reduction of the State workforce. The workforce reductions are scheduled to go into effect on July 1, 2009. Savings amounts will be achieved on a recurring basis, and will be fully annualized by fiscal year 2010-11. Additional savings will be achieved in the current fiscal year through the withholding of M/C salary and performance advances.

## **2009-10 ALL FUNDS FINANCIAL PLAN**

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### ***2009-10 New Initiatives***

**Legislative Additions:** General fund spending added to the Additional Mass Transportation program.

### ***All Other Significant Changes by Program Area***

In addition to the programs described above, the Enacted Budget includes funding for economic development, public protection, general government, the Judiciary, and various other programs. Significant sources of annual change in these areas include:

**Economic Development:** Reflects additional spending from other State funds, financed through assessments on the insurance industry, which offsets General Fund spending. Annual growth also reflects capital investments to fund various infrastructure projects including projects to enhance economic conditions across the State.

**Potential Labor Settlements:** The Financial Plan includes \$400 million in spending related to potential labor settlements in 2009-10. The spending assumes that unions currently without a contract agree to comparable terms as the unions that have settled contracts.

**Labor:** The increase in the General Fund is primarily attributable to the legislative addition to workforce development and displaced homemakers programs. The growth in the Other State Operating Funds is the result of an increase in appropriation authority to pay potential interest assessment payments to the Federal Government. The large increase in Federal Operating Funds is mainly due to increased funding for Unemployment Insurance, Workforce Investment Act, Trade Adjustment Assistance, and technology improvements.

**Homeland Security:** All Funds spending grows by \$254 million, primarily attributable to timing adjustments associated with Federal Homeland Security grant distribution and changes in Federal guidelines, less-than anticipated spending in 2008-09, and increased spending in 2009-10 related to the December 2008 ice storm that is shared between the General Fund (12.5 percent) and the Federal Operating Funds (87.5 percent).

**Technology:** The increase in Capital Projects Funds includes \$32 million for the SWN, \$29 million for the Consolidated Data Center, \$6 million for rehabilitation of the interim data center and \$6 million for the Universal Broadband Initiative.

**Local Government Aid:** Achieves \$94 million in savings primarily by maintaining AIM funding to municipalities outside New York City at current-year levels, eliminating a previously scheduled increase worth \$61 million. Additional savings are realized through reductions in VLT impact aid (\$29 million) and local government incentive grant funding (\$9 million). This is offset by a \$5 million legislative add for the City of Yonkers.

**State Police:** The increase in the General Fund is largely due to the timing of payments related to the PBA contract settlement from 2008-09 to 2009-10. This is offset by a reduction in the General Fund and commensurate increase in other State funds related to the increase in the auto insurance surcharge from \$5 to \$10 in the portion of the fee used

## ***2009-10 ALL FUNDS FINANCIAL PLAN***

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to support the increasing cost of highway and public safety activities, including investments in automobiles, protective vests and more sophisticated equipment (\$48 million). The increase in Capital Projects Funds is related to the start-up costs for the Troop G Headquarters and evidence storage facilities.

**Military and Naval Affairs:** The General Fund increase is driven by the expected timing of disaster assistance disbursements whereby costs originally projected in 2008-09 are now projected in 2009-10. The decrease in Capital Projects Funds is due to reduction in Federal funds related to Federal plans and timing for new construction. In addition, the December 2008 ice storm relief is shared between the General Fund (12.5 percent) and the Federal Operating Funds (87.5 percent).

**Judiciary:** All Funds spending grows by \$61 million, as a result of projected increases in State Operations costs. Personal service spending growth is primarily due to the salary increases as the result of 2008-09 contract negotiation. In non-personal service, new regulations regarding maximum caseload for Law Guardians contribute to increased costs. The increase in Capital Projects Funds is due to the start-up of authority bonded programs for the renovations to the Court of Appeals Centennial Hall Annex and the Brooklyn Court Officer Training Facility as well as the expansion of drugs courts as part of Rockefeller Drug Reform.

**Elections:** The increased All Funds spending is primarily driven by the timing of voting machine purchases.

**Empire State Stem Cell Trust Fund:** Growth is attributable to additional funding for stem cell research, which was included in the 2008-09 Enacted Budget.

**Criminal Justice Services:** General Fund reduction is mainly attributable to eliminating under-utilized and underperforming programs, achieving non-personal service savings through the award of a new maintenance contract, and reduced spending estimates based on 08-09 results. Decline in the Other State Funds reflects primarily the legislative rejection of a \$9 million fund shift from the General Fund to CJIA.

**Parks and Recreation:** The annual decline reflects a reduced capital spending plan in 2009-10 for projects in the State's park system.

**Correctional Services:** Savings is expected to be generated by the closure of three minimum security correctional camps at Pharsalia, Mt. McGregor, and Gabriels and the closure of annexes at various facilities. Other savings actions include improving the parole release and violation processes; operational efficiencies; and improving the release process for terminally and chronically ill inmates subject to Parole Board determination. In addition, Rockefeller drug law reforms are expected to result in savings.

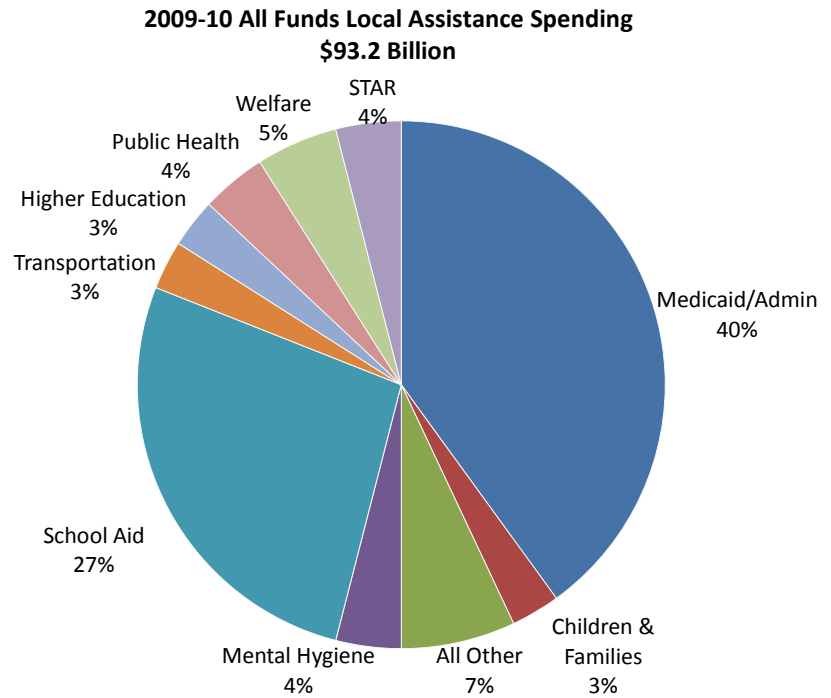
**All Other:** Outside the General Fund, the change mainly reflects an adjustment to the 2009-10 estimates based on historical results, which have been substantially lower than budget projections, especially for capital projects, Federal grants and certain special revenue funds. In the General Fund, the change reflects unallocated spending that is budgeted to address potential cost over-runs or other Financial Plan needs.

## 2009-10 ALL FUNDS FINANCIAL PLAN

### Grants to Local Governments

Grants to Local Governments (Local Assistance) include payments to local governments, school districts, health care providers, and other local entities, as well as certain financial assistance to, or on behalf of, individuals, families, and nonprofit organizations. Local Assistance comprises 71 percent of All Funds spending.

In 2009-10, All Funds spending for local assistance is proposed to total \$93.2 billion. Total spending is comprised of State aid to medical assistance providers and public health programs (\$40.5 billion); State aid for education, including school districts, universities, and tuition assistance (\$34.3 billion); temporary and disability assistance (\$4.8 billion); mental hygiene programs (\$3.9 billion); transportation (\$3.1 billion); children and family services (\$2.7 billion); and local government assistance (\$1.1 billion). Other local assistance programs include criminal justice, economic development, housing, parks and recreation, and environmental quality.



<b>LOCAL ASSISTANCE SPENDING PROJECTIONS</b>				
(millions of dollars)				
	<b>2008-09</b>	<b>2009-10</b>	<b>Annual \$</b>	<b>Annual %</b>
	<b>Results*</b>	<b>Enacted</b>	<b>Change</b>	<b>Change</b>
<b>General Fund</b>	<b>37,040</b>	<b>37,086</b>	<b>46</b>	<b>0.1%</b>
Other State Support	16,944	16,199	(745)	-4.4%
<b>State Operating Funds</b>	<b>53,984</b>	<b>53,285</b>	<b>(699)</b>	<b>-1.3%</b>
Capital Project Funds	1,356	860	(496)	-36.6%
Federal Operating Funds	31,927	39,046	7,119	22.3%
<b>All Funds</b>	<b>87,267</b>	<b>93,191</b>	<b>5,924</b>	<b>6.8%</b>

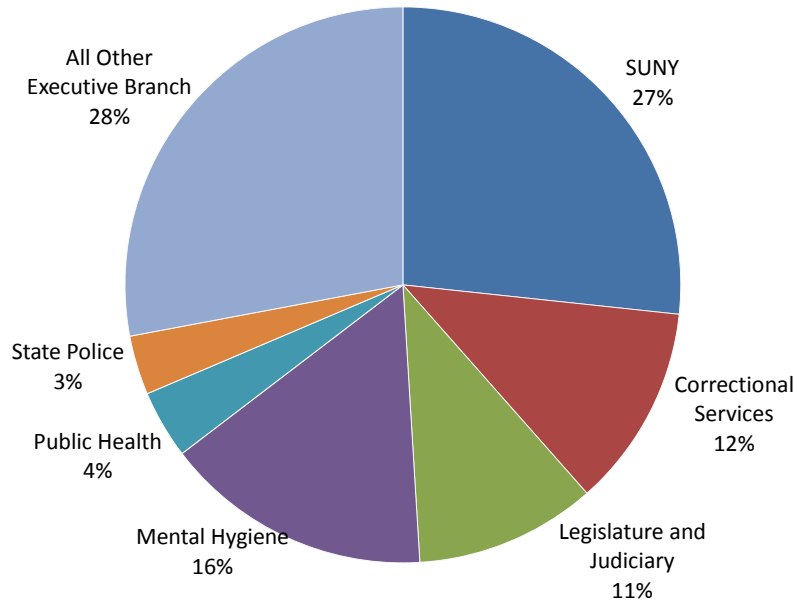
\* Unaudited Year-End Results.

More information on these annual changes in local assistance, as further categorized by current service requirements, extraordinary Federal aid, Enacted Budget savings and new initiatives, is provided for specific local programs in the functional narratives presented earlier in this Financial Plan.

**State Operations**

State Operations spending is for personal service and non-personal service costs. Personal service costs, which account for approximately two-thirds of State Operations spending, include salaries of State employees of the Executive Branch, Legislature, and Judiciary, as well as overtime payments and costs for temporary employees. Non-personal service costs, which account for the remaining one-third of State Operations, represent other operating costs of State agencies, including real estate rental, utilities, contractual payments (i.e., consultants, information technology, and professional business services), supplies and materials, equipment, telephone service and employee travel.

**2009-10 All Funds State Operations Spending  
\$19.9 Billion**



Approximately 93 percent of the State workforce is unionized. The largest unions include CSEA, which primarily represents office support staff and administrative personnel, machine operators, skilled trade workers, and therapeutic and custodial care staff; PEF, which primarily represents professional and technical personnel (i.e., attorneys, nurses, accountants, social workers, and institution teachers); UUP, which represents faculty and non-teaching professional staff within the State University system; and NYSCOPBA, which represents security personnel (correction officers, safety and security officers).

The State workforce subject to Executive control (i.e., OSC, Law, SUNY/CUNY, and excluding the Legislature, Judiciary, and contractual labor), is projected to total 128,803 FTEs in 2009-10, a decrease of 7,687 from 2008-09 levels. Decreases are expected in nearly all agencies, mainly as a result of facility closures and the WRP. Tables that summarize the prior, current, and projected workforce levels appear in the section titled “Financial Plan Tables.”

## 2009-10 ALL FUNDS FINANCIAL PLAN

State Operations spending, which is projected to total \$19.9 billion in 2009-10, finances the costs of Executive agencies (\$17.8 billion), the Legislature and Judiciary (\$2.1 billion) and SUNY (\$5.3 billion). The largest agencies in dollar terms and staffing levels include SUNY (\$5.3 billion; 40,609 FTEs), Correctional Services (\$2.4 billion; 29,175 FTEs), Mental Hygiene (\$3.1 billion; 38,160 FTEs), DOH (\$800 million; 5,441 FTEs), and State Police (\$715 million; 5,607 FTEs).

STATE OPERATIONS SPENDING PROJECTIONS (millions of dollars)				
	2008-09 Results*	2009-10 Enacted	Annual \$ Change	Annual % Change
<b>General Fund</b>	<b>8,312</b>	<b>8,659</b>	<b>347</b>	<b>4.2%</b>
Other State Support	6,942	6,968	26	0.4%
<b>State Operating Funds</b>	<b>15,254</b>	<b>15,627</b>	<b>373</b>	<b>2.4%</b>
Capital Projects Funds	0	0	0	N/A
Federal Operating Funds	3,712	4,284	572	15.4%
<b>Total All Funds</b>	<b>18,966</b>	<b>19,911</b>	<b>945</b>	<b>5.0%</b>

\* Unaudited Year-End Results.

State Operations spending by category, based upon prior year spending trends, is allocated among employee regular salaries (69 percent), overtime payments (3 percent), contractual services (19 percent), supplies and materials (4 percent), equipment (2 percent), employee travel (1 percent), and other operational costs (2 percent).

STATE OPERATIONS SPENDING PROJECTIONS MAJOR SOURCES OF ANNUAL CHANGE - STATE OPERATING FUNDS (millions of dollars)			
	Personal Service	Non-Personal Service	State Operations
<b>2008-09 Results*</b>	<b>10,329</b>	<b>4,925</b>	<b>15,254</b>
Reserve for Unsettled Unions	424	0	424
Workforce Reduction	(267)	0	(267)
SUNY	106	194	300
State Police	103	(17)	86
Tax and Finance	42	5	47
Stem Cell Research	(1)	39	38
Judiciary	73	(42)	31
Labor management Committee	(4)	29	25
Correctional Services	(36)	54	18
Temporary and Disability Assistance	2	14	16
Public Health	3	22	25
Mental Hygiene	(187)	(2)	(189)
Insurance	(7)	(63)	(70)
2009-10 Spending Controls	0	(50)	(50)
All Other	(110)	49	(61)
<b>2009-10 Enacted</b>	<b>10,470</b>	<b>5,157</b>	<b>15,627</b>
<i>Annual Dollar Change</i>	<i>141</i>	<i>232</i>	<i>373</i>
<i>Annual Percent Change</i>	<i>1.4%</i>	<i>4.7%</i>	<i>2.4%</i>

\* Unaudited Year-End Results.

## 2009-10 ALL FUNDS FINANCIAL PLAN

The State Operating Funds spending increase of \$373 million (2.4 percent) in State Operations is primarily driven by a reserve to finance potential collective bargaining agreements with unsettled unions (\$424 million), SUNY (\$300 million), State Police (\$86 million), Department of Taxation and Finance (\$47 million), and stem cell research (\$38 million) offset by a planned workforce reduction and a decline in State share Medicaid payments to State-owned mental hygiene facilities due to increased Federal Medicaid participation. The annual changes by personal service and non-personal service are summarized in the following tables.

More information on these annual changes in State Operations, as further categorized by current service requirements, the Federal ARRA, Enacted Budget savings, and new initiatives is provided for specific programs in the functional narratives presented earlier in this Financial Plan.

### Personal Service

PERSONAL SERVICE SOURCES OF ANNUAL SPENDING INCREASE/(DECREASE) FROM 2008-09 TO 2009-10 (millions of dollars)						
	General Fund	Other State Funds	Total State Operating Funds	Capital Projects Funds	Federal Operating Funds	Total All Funds
<b>2008-09 Results*</b>	<b>6,168</b>	<b>4,161</b>	<b>10,329</b>	<b>0</b>	<b>2,280</b>	<b>12,609</b>
<b>Current Services:</b>	<b>731</b>	<b>(21)</b>	<b>710</b>	<b>0</b>	<b>22</b>	<b>732</b>
Reserve for Unsettled Unions	400	24	424	0	0	424
Judiciary	58	0	58	0	(2)	56
Public Health	22	(18)	4	0	(18)	(14)
Children and Family Services	19	0	19	0	(3)	16
State University	38	(26)	12	0	1	13
State Police	86	13	99	0	(2)	97
Mental Hygiene	1	100	101	0	(19)	82
Agency Salary Adjustments	74	42	116	0	23	139
Workforce Changes	33	(156)	(123)	0	42	(81)
<b>Extraordinary Federal Aid:</b>	<b>0</b>	<b>(267)</b>	<b>(267)</b>	<b>0</b>	<b>301</b>	<b>34</b>
Mental Hygiene FMAP	0	(267)	(267)	0	267	0
Labor	0	0	0	0	30	30
All Other	0	0	0	0	4	4
<b>Enacted Savings:</b>	<b>(478)</b>	<b>130</b>	<b>(348)</b>	<b>0</b>	<b>(114)</b>	<b>(462)</b>
Workforce Reduction	(191)	(76)	(267)	0	(111)	(378)
SUNY Tuition Increase	(87)	108	21	0	0	21
Auto Insurance Surcharge	(48)	48	0	0	0	0
SUNY	(45)	88	43	0	0	43
DOCS Facility Closures/Correctional Services	(58)	0	(58)	0	0	(58)
Delay Mental Health Expansion	(11)	0	(11)	0	0	(11)
Youth Facility Closures/Downsizing	(10)	0	(10)	0	0	(10)
Real Property Services Fund Shift	20	(20)	0	0	0	0
Mental Hygiene	0	(29)	(29)	0	(10)	(39)
All Other	(48)	11	(37)	0	7	(30)
<b>New Initiatives:</b>	<b>44</b>	<b>2</b>	<b>46</b>	<b>0</b>	<b>0</b>	<b>46</b>
Tax and Finance	41	0	41	0	0	41
All Other	3	2	5	0	0	5
<b>2009-10 Enacted</b>	<b>6,465</b>	<b>4,005</b>	<b>10,470</b>	<b>0</b>	<b>2,489</b>	<b>12,959</b>
<i>Total Annual Change</i>	<i>297</i>	<i>(156)</i>	<i>141</i>	<i>0</i>	<i>209</i>	<i>350</i>

\* Unaudited Year-End Results.

## 2009-10 ALL FUNDS FINANCIAL PLAN

### Non-Personal Service

NON-PERSONAL SERVICE SOURCES OF ANNUAL SPENDING INCREASE/(DECREASE) FROM 2008-09 TO 2009-10 (millions of dollars)						
	General Fund	Other State Funds	Total State Operating Funds	Capital Projects Funds	Federal Operating Funds	All Funds
<b>2008-09 Results*</b>	<b>2,144</b>	<b>2,781</b>	<b>4,925</b>	<b>0</b>	<b>1,432</b>	<b>6,357</b>
<b>Current Services:</b>	<b>194</b>	<b>89</b>	<b>283</b>	<b>0</b>	<b>208</b>	<b>491</b>
Correctional Services	76	0	76	0	0	76
Mental Hygiene	0	7	7	0	139	146
State University	63	116	179	0	(5)	174
State Police	15	(24)	(9)	0	(2)	(11)
Temporary and Disability Assistance	22	0	22	0	(9)	13
Public Health	16	9	25	0	3	28
Labor Management Committee	28	1	29	0	0	29
Judiciary	(45)	2	(43)	0	4	(39)
Elections	1	(3)	(2)	0	42	40
Insurance	(84)	2	(82)	0	0	(82)
Stem Cell Research	0	60	60	0	0	60
All Other	102	(81)	21	0	36	57
<b>Extraordinary Federal Aid:</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>173</b>	<b>173</b>
Labor	0	0	0	0	86	86
SUNY Pell Grants	0	0	0	0	28	28
Technology	0	0	0	0	12	12
Public Health	0	0	0	0	26	26
Criminal Justice	0	0	0	0	8	8
All Other	0	0	0	0	13	13
<b>Enacted Savings:</b>	<b>(199)</b>	<b>85</b>	<b>(114)</b>	<b>0</b>	<b>(18)</b>	<b>(132)</b>
DOCS Facility Closures/Correctional Services	(28)	0	(28)	0	0	(28)
2009-10 Spending Controls	(50)	0	(50)	0	0	(50)
Health Program Financing	0	15	15	0	0	15
SUNY Tuition Increase	(35)	45	10	0	0	10
Workers Compensation Board	0	20	20	0	0	20
SUNY	(19)	24	5	0	0	5
Mental Hygiene	0	(9)	(9)	0	(13)	(22)
SWN Funding	(26)	26	0	0	0	0
Public Safety	(13)	0	(13)	0	0	(13)
Economic Development	(11)	0	(11)	0	0	(11)
Stem Cell	0	(21)	(21)	0	0	(21)
All Other	(17)	(15)	(32)	0	(5)	(37)
<b>New Initiatives:</b>	<b>55</b>	<b>8</b>	<b>63</b>	<b>0</b>	<b>0</b>	<b>63</b>
Higher Education	50	3	53	0	0	53
All Other	5	5	10	0	0	10
<b>2009-10 Enacted</b>	<b>2,194</b>	<b>2,963</b>	<b>5,157</b>	<b>0</b>	<b>1,795</b>	<b>6,952</b>
<i>Total Annual Change</i>	<i>50</i>	<i>182</i>	<i>232</i>	<i>0</i>	<i>363</i>	<i>595</i>

\* Unaudited Year-End Results.

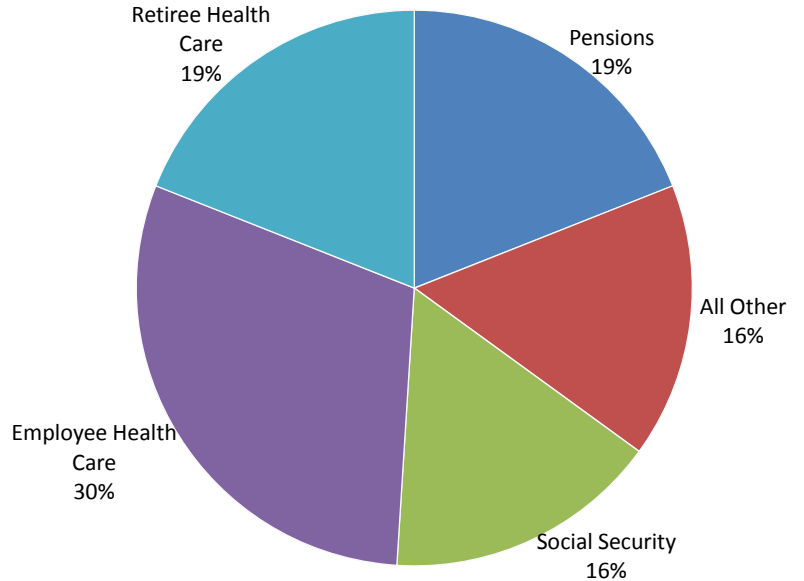


**General State Charges**

GSCs account for the costs of fringe benefits provided to State employees and retirees of the Executive, Legislative and Judicial branches, and certain fixed costs paid by the State. Fringe benefit payments, many of which are mandated by statute or collective bargaining agreements, include employer contributions for pensions, Social Security, health insurance, workers' compensation and unemployment insurance. Fixed costs include State taxes paid to local governments for certain State-owned lands, and payments related to lawsuits against the State and its public officers.

For most agencies, employee fringe benefit costs are paid centrally from appropriations made to GSCs. These centrally-paid fringe benefit costs represent the majority of GSCs spending. However, certain agencies, such as the Judiciary and SUNY, directly pay all or a portion of their employees' fringe benefit costs from their respective budgets. Employee fringe benefits paid through the GSCs account are paid from the General Fund in the first instance and then partially reimbursed by revenue collected from fringe benefit assessments on Federal funds and other special revenue accounts. The funding source of fringe benefit costs directly paid by certain agencies is dependent on the respective agencies' funding sources. Fixed costs are paid in full by General Fund revenues from the GSCs account.

**General State Charges – \$5.7 Billion  
2009-10 All Funds Spending**



<b>GENERAL STATE CHARGES SPENDING PROJECTIONS</b>				
<b>(millions of dollars)</b>				
	<b>2008-09</b>	<b>2009-10</b>	<b>Annual \$</b>	<b>Annual %</b>
	<b>Results*</b>	<b>Enacted</b>	<b>Change</b>	<b>Change</b>
General Fund	3,084	3,704	620	20.1%
Other State Support	1,307	980	(327)	-25.0%
<b>State Operating Funds</b>	<b>4,391</b>	<b>4,684</b>	<b>293</b>	<b>6.7%</b>
Capital Projects Funds	0	0	0	0.0%
Federal Operating Funds	934	1,031	97	10.4%
<b>Total All Funds</b>	<b>5,325</b>	<b>5,715</b>	<b>390</b>	<b>7.3%</b>

\* Unaudited Year-End Results.

## 2009-10 ALL FUNDS FINANCIAL PLAN

All Funds spending on GSCs is expected to total \$5.7 billion in 2009-10, and includes health insurance spending for employees (\$1.7 billion) and retirees (\$1.1 billion), pensions (\$1.1 billion) and Social Security (\$962 million). The annual changes are described in more detail below.

GENERAL STATE CHARGES						
SOURCES OF ANNUAL SPENDING INCREASE/(DECREASE) FROM 2008-09 TO 2009-10						
(millions of dollars)						
	General Fund	Other State Funds	Total State Operating Funds	Capital Projects Funds	Federal Operating Funds	Total All Funds
<b>2008-09 Results*</b>	<b>3,084</b>	<b>1,307</b>	<b>4,391</b>	<b>0</b>	<b>934</b>	<b>5,325</b>
<b>Current Services:</b>	<b>580</b>	<b>(291)</b>	<b>289</b>	<b>0</b>	<b>6</b>	<b>295</b>
Employee and Retiree Health Care	127	0	127	0	0	127
Pension Contribution	92	0	92	0	0	92
Workers' Compensation	23	0	23	0	0	23
Employer Social Security	46	0	46	0	0	46
Fringe Benefit Escrow Payments	113	(291)	(178)	0	0	(178)
Taxes on State-Owned Lands	97	0	97	0	0	97
All Other	82	0	82	0	6	88
<b>Enacted Savings</b>	<b>40</b>	<b>(36)</b>	<b>4</b>	<b>0</b>	<b>91</b>	<b>95</b>
Fringe Benefit Savings	(7)	(22)	(29)	0	112	83
Workforce Reduction	47	(14)	33	0	(21)	12
<b>2009-10 Enacted</b>	<b>3,704</b>	<b>980</b>	<b>4,684</b>	<b>0</b>	<b>1,031</b>	<b>5,715</b>
Annual Change	620	(327)	293	0	97	390

\* Unaudited Year-End Results.

### CURRENT SERVICES

**Employee and Retiree Health Care:** Spending for the State health plan is projected to increase by 4.7 percent in 2009-10 or by a total of \$127 million for active employees and retirees.

**Pension Contribution:** Although the State's pension contribution rate to the New York State and Local Retirement System declined from 8.8 percent for 2008-09 to 7.6 percent for 2009-10, pension costs in 2009-10 are projected to increase by \$92 million over 2008-09 to total \$1.1 billion. This growth is driven by the prepayment of the State's 2008-09 amortization and other pension costs in 2007-08 and modest growth in the salary base.

**Workers' Compensation:** The increase in expected spending is based on updated workers' compensation claims and utilization experience.

**Employer Social Security:** The employer contribution is expected to increase by 5.0 percent in 2009-10, reflecting the projected increase in wages under labor settlements that have been agreed to by certain State employee unions.

**Fringe Benefit Escrow Payments:** In 2008-09, mental hygiene agencies made a one-time offset payment for statewide fringe benefit costs. The elimination of this offset in 2009-10 is largely responsible for the increased General Fund cost.

## 2009-10 ALL FUNDS FINANCIAL PLAN

**Taxes on State-Owned Lands:** This increase is caused by both an increase in program costs and processing delay in 2008-2009 payments, pushing them into 2009-2010.

**All Other:** An increase in Judiciary fringe benefits is largely responsible for this growth.

### 2009-10 ENACTED SAVINGS

**Fringe Benefit Savings:** Fringe benefit savings achieved through the implementation of various workforce actions in the Enacted Budget.

**Workforce Reduction:** Increased costs to General State charges stemming from the Governor's workforce reduction plan, primarily due to unemployment insurance. Other funds costs represent anticipated decreases in fringe benefit escrow payments that partially reimburse General Fund costs.

### Debt Service

The State pays debt service on all outstanding State-supported bonds. These include general obligation bonds, for which the State is constitutionally obligated to pay debt service, as well as bonds issued by State public authorities (i.e., ESDC, DASNY, and the TA, subject to an appropriation). Depending on the credit structure, debt service is financed through transfers from the General Fund, dedicated taxes and fees, and other resources, such as patient income revenues.

A more complete discussion on State debt levels, debt service costs and debt management initiatives will be contained in the Enacted Budget Five-Year Capital Program and Financing Plan, which is expected to be issued in early May 2009.

<b>DEBT SERVICE SPENDING PROJECTIONS</b>				
(millions of dollars)				
	<b>2008-09 Results*</b>	<b>2009-10 Enacted</b>	<b>Annual \$ Change</b>	<b>Annual % Change</b>
<b>General Fund</b>	<b>1,734</b>	<b>1,783</b>	<b>49</b>	<b>2.8%</b>
Other State Support	2,796	3,360	564	20.2%
<b>State Operating Funds</b>	<b>4,530</b>	<b>5,143</b>	<b>613</b>	<b>13.5%</b>
Capital Projects Funds	0	0	0	0.0%
<b>Total All Funds</b>	<b>4,530</b>	<b>5,143</b>	<b>613</b>	<b>13.5%</b>

\* Unaudited Year-End Results.

## 2009-10 ALL FUNDS FINANCIAL PLAN

All Funds debt service is projected at \$5.1 billion in 2009-10, of which \$1.8 billion is paid from the General Fund through transfers and \$3.4 billion from other State funds. The General Fund transfer primarily finances debt service payments on general obligation and service contract bonds. Debt service is paid directly from other State funds for the State's revenue bonds, including PIT bonds, DHBTf bonds, and mental health facilities bonds.

DEBT SERVICE					
SOURCES OF ANNUAL SPENDING INCREASE/(DECREASE) FROM 2008-09 TO 2009-10					
(millions of dollars)					
	General Fund	Other State Funds	Total State Operating Funds	Capital Projects Funds	Total All Funds
2008-09 Results*	1,734	2,796	4,530	0	4,530
Current Services:	53	572	625	0	625
Enacted Savings:	(4)	(8)	(12)	0	(12)
<b>2009-10 Enacted</b>	<b>1,783</b>	<b>3,360</b>	<b>5,143</b>	<b>0</b>	<b>5,143</b>
Annual Change	49	564	613	0	613

\* Unaudited Year-End Results.

### CURRENT SERVICES

**Underlying Growth:** Primarily reflects increases in debt service costs to support ongoing capital spending. The increased spending is primarily for education purposes (\$239 million, of which \$90 million is for EXCEL), economic development and housing (\$122 million), transportation (\$120 million), State facilities and equipment (\$119 million), health and mental hygiene (\$55 million), LGAC (\$23 million) and the environment (\$17 million), and is offset by reduced spending for DRRF disbursements made during 2008-09 (\$49 million). Variable interest rates are conservatively projected at 3.75 percent for 2009-10.

### 2009-10 ENACTED SAVINGS

The Enacted Budget includes \$12 million in savings from debt management actions. Legislation was enacted to provide greater flexibility in administering PIT revenue bond program by permitting DASNY and ESDC to issue bonds for any authorized PIT bond purpose. This is expected to result in improved scheduling and sizing for PIT bond sales, producing savings through efficiencies in bond pricing and administration. Administrative actions to reduce costs will be continued. These include a goal of selling 25 percent of bonds on a competitive basis, market conditions permitting, and maximizing refunding opportunities, including through consolidated service contract structures.

## 2009-10 ALL FUNDS FINANCIAL PLAN

### Capital Projects

The following section briefly summarizes activity in Capital Projects Funds. A complete explanation of the State's capital programs will be contained in the Enacted Budget Five-Year Capital Program and Financing Plan, expected to be issued in early May 2009.

Capital Projects account for spending across all functional areas to finance costs related to the acquisition, construction, repair or renovation of fixed assets. Spending from appropriations made from over 30 capital projects funds are financed from four sources: annual State taxes or dedicated miscellaneous receipts, grants from the Federal government, the proceeds of notes or bonds issued pursuant to general obligation bond acts which are approved by the State voters, and the proceeds of notes or bonds issued by public authorities pursuant to legal authorization for State capital spending.

<b>CAPITAL PROJECTS SPENDING PROJECTIONS</b>				
<b>(millions of dollars)</b>				
	<b>2008-09</b>	<b>2009-10</b>	<b>Annual \$</b>	<b>Annual %</b>
	<b>Results*</b>	<b>Enacted</b>	<b>Change</b>	<b>Change</b>
<b>General Fund</b>	<b>473</b>	<b>551</b>	<b>78</b>	<b>16.5%</b>
Other State Support	4,505	5,364	859	19.1%
<b>State Funds</b>	<b>4,978</b>	<b>5,915</b>	<b>937</b>	<b>18.8%</b>
Federal Funds	1,852	2,917	1,065	57.5%
<b>All Funds</b>	<b>6,830</b>	<b>8,832</b>	<b>2,002</b>	<b>29.3%</b>

\* Unaudited Year-End Results.

All Funds capital spending is expected to total \$8.8 billion in 2009-10. Transportation spending, primarily for improvements and maintenance to the State's highways and bridges, continues to account for the largest share (51 percent) of this total. The balance of projected spending will support capital investments in the areas of economic development (14 percent), education (11 percent), mental hygiene and public protection (7 percent), and parks and the environment (10 percent). The remainder of projected capital projects spending is spread across health and social welfare, general government and other areas (7 percent). State funds are expected to increase by \$937 million, or 19 percent, primarily attributable to changes in transportation spending for the Five-Year Capital Plan (\$200 million), education spending for SUNY and infrastructure improvements for private colleges and universities (\$295 million), and economic development for previously authorized projects (\$195 million). Federal ARRA funds represent 98 percent of the annual change in Federal spending. These funds are projected to increase Federal spending by \$1.0 billion, providing significant investments in the State's capital infrastructure. Nearly half of this amount will be directed to DOT for much needed infrastructure improvements.

## 2009-10 ALL FUNDS FINANCIAL PLAN

<b>CAPITAL PROJECTS</b>				
<b>SOURCES OF ANNUAL SPENDING INCREASE/(DECREASE)</b>				
<b>(millions of dollars)</b>				
	<b>General Fund**</b>	<b>State Funds</b>	<b>Federal Funds</b>	<b>All Funds</b>
<b>2008-09 Results*</b>	<b>473</b>	<b>4,978</b>	<b>1,852</b>	<b>6,830</b>
<b>Current Services:</b>	<b>316</b>	<b>1,108</b>	<b>40</b>	<b>1,148</b>
Transportation	196	377	26	403
Economic Development	0	265	0	265
Higher Education/Education	0	337	0	337
Mental Hygiene	7	59	0	59
State Equipment	97	0	0	0
All Other Reestimates	16	70	14	84
<b>Extraordinary Federal Aid:</b>	<b>0</b>	<b>0</b>	<b>1,048</b>	<b>1,048</b>
Transportation	0	0	508	508
Housing & Community Renewal	0	0	253	253
Health	0	0	87	87
Environmental Conservation	0	0	200	200
<b>Enacted Savings:</b>	<b>(238)</b>	<b>(314)</b>	<b>(23)</b>	<b>(337)</b>
Transportation	(151)	(118)	(19)	(137)
Economic Development	0	(100)	0	(100)
State Equipment	(104)	0	0	0
Environmental Protection Fund	0	(33)	0	(33)
Workforce Reductions	0	(35)	(4)	(39)
All Other	17	(28)	0	(28)
<b>New Initiatives:</b>	<b>0</b>	<b>143</b>	<b>0</b>	<b>143</b>
Economic Development	0	25	0	25
Library Construction	0	7	0	7
HEAL-NY	0	25	0	25
New Judiciary Facilities	0	18	0	18
Parks Stewardship	0	20	0	20
EPF Bonding	0	45	0	45
All Other	0	3	0	3
<b>2009-10 Enacted</b>	<b>551</b>	<b>5,915</b>	<b>2,917</b>	<b>8,832</b>
<b>Annual Change</b>	<b>78</b>	<b>937</b>	<b>1,065</b>	<b>2,002</b>

\* Unaudited Year-End Results.

\*\* Includes transfers to the Capital Projects Fund.

### CURRENT SERVICES

The increase in current services spending reflects the State's ongoing capital investments. The projected \$403 million spending increase in transportation reflects spending for ongoing commitments to fund improvements and maintenance of State transportation systems, including an increase of \$218 million for spending from the 2005 Rebuild and Renew New York Transportation Bond Act. The projected \$265 million spending increase for economic development reflects the cumulative impact of initiatives begun in previous years and includes a significant investment in the AMD project. Education spending is projected to increase by \$337 million, which largely reflects the financing of the five-year capital investment program at SUNY and anticipated spending for grants that fund infrastructure improvements at private colleges and universities.

## **2009-10 ALL FUNDS FINANCIAL PLAN**

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Mental health agencies are projected to increase spending by \$59 million, largely attributable to the New York III supportive housing agreement and other pipeline bed development. The increase of \$84 million for other spending is spread across various other program areas, including \$151 million for HEAL-NY projects offset by other reductions.

### **EXTRAORDINARY FEDERAL AID**

Federal ARRA funds totaling \$1.0 billion are expected to finance new infrastructure projects. The Department of Transportation is expected to receive over \$500 million in funds for shovel-ready projects including highway and road repair, bridgework and other long-term improvements to the State's infrastructure; capital aid for rail, rural transit systems, and capital improvements to State-owned aviation facilities. Federal ARRA funds totaling \$253 million in affordable housing grants have already been awarded for projects administered by the State Division of Housing and Community Renewal. Funding will also benefit projects related to the Clean Water State Revolving Fund (\$200 million), and Drinking Water State Revolving Fund (\$87 million).

### **2009-10 ENACTED SAVINGS**

Savings actions of \$337 million have been identified, primarily for reductions in non-essential services or projects that are not expected to affect program delivery. They include \$137 million for DOT, \$100 million for economic development projects, \$33 million for the Environmental Protection Fund, \$39 million for workforce reductions, and \$28 million for various other programs, including \$13 million for mental hygiene programs.

### **NEW INITIATIVES**

The Enacted Plan reflects \$143 million in spending on new initiatives, including \$25 million for Economic Development Fund bonding, \$25 million for HEAL-NY, \$18 million for new facilities related to Rockefeller Drug Reform, \$20 million for parks stewardship, \$45 million related to Environmental Protection Fund bonding, \$7 million for new library construction and \$3 million for various other projects.

### **Other Financing Sources/(Uses)**

Every year, the State authorizes the transfer of resources among funds and accounts. A complete schedule of proposed transfers is contained in "Financial Plan Tables" herein.

The most significant General Fund transfers to other funds in 2009-10 include transfers for State share Medicaid (\$2.4 billion), general debt service (\$1.8 billion), and capital projects (\$551 million, including \$168 million for PAYGO projects and a \$383 million subsidy to the DHBTF). Judiciary funding includes money transferred to the Court Facilities Incentive Aid Fund, New York City County Clerks Fund, and Judiciary Data Processing Fund (\$149 million). Also included in General Fund transfers to other funds are transfers representing payments for patients residing in State-operated health and SUNY facilities (\$193 million), and SUNY hospital subsidy payments (\$135 million).

## ***2009-10 ALL FUNDS FINANCIAL PLAN***

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In Special Revenue Funds, transfers to other funds include transfers to the Debt Service Funds representing the Federal share of Medicaid payments for patients residing in State-operated health and mental hygiene facilities and community homes, and patients at SUNY hospitals (\$3.5 billion), a transfer from HCRA to the Capital Projects Fund to finance anticipated non-bondable spending for HEAL-NY (\$140 million) and transfer of moneys from several Special Revenue accounts in excess of spending requirements (\$1.0 billion).

Capital Projects funds transfers include transfers to the General Debt Service Fund from the DHBTF (\$1.0 billion), and transfers from the Hazardous Waste Remedial Fund (\$27 million), and the Environmental Protection Fund (\$95 million), to the General Fund.

Debt Service Fund transfers to the General Fund include tax receipts in excess of debt service requirements for general obligation, LGAC and PIT revenue bonds (\$10.4 billion). Transfers to Special Revenue Funds represent receipts in excess of lease/purchase obligations that are used to finance a portion of the operating expenses for DOH, mental hygiene, and SUNY (\$3.8 billion).



## **GENERAL FUND OUTYEAR PROJECTIONS (2010-11 THROUGH 2012-13)**

DOB projects that the Enacted Budget Financial Plan is balanced in the General Fund in 2009-10 and projects outyear budget gaps of \$2.2 billion in 2010-11, \$8.8 billion in 2011-12, and \$13.7 billion in 2012-13.

After actions, General Fund spending is projected to grow at an average annual rate of 7.2 percent from 2008-09 through 2012-13. Spending growth in the General Fund is projected to increase sharply in 2011-12, reflecting a return to a lower Federal match rate for Medicaid expenditures on January 1, 2010, which will increase General Fund costs. The spending is driven by Medicaid growth, rising costs for education, the State-financed cap on local Medicaid spending, employee and retiree health benefits, and child welfare programs. The receipts growth is consistent with DOB's economic forecast for the recession and recovery. The temporary PIT increase, which covers calendar years 2009 through 2011, is expected to provide substantial additional receipts through fiscal year 2011-12. The following table summarizes the General Fund projections by major tax and Financial Plan category.

# OUTYEAR PROJECTIONS

OUTYEAR GENERAL FUND PROJECTIONS (millions of dollars)								
	<u>2009-10</u>	<u>2010-11</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>	<u>2011-12</u>	<u>Annual % Change</u>	<u>2012-13</u>	<u>Annual % Change</u>
<b>Receipts</b>								
Taxes	49,788	53,151	3,363	6.8%	54,747	3.0%	54,471	-0.5%
Personal Income Tax*	32,533	35,144	2,611	8.0%	36,026	2.5%	34,735	-3.6%
User Taxes and Fees*	10,721	11,073	352	3.3%	11,537	4.2%	11,932	3.4%
Business Taxes	5,495	5,828	333	6.1%	5,925	1.7%	6,398	8.0%
Other Taxes*	1,039	1,106	67	6.4%	1,259	13.8%	1,406	11.7%
Miscellaneous Receipts	3,381	3,022	(359)	-10.6%	3,017	-0.2%	3,043	0.9%
Other Transfers	1,169	723	(446)	-38.2%	684	-5.4%	695	1.6%
<b>Total Receipts</b>	<b><u>54,338</u></b>	<b><u>56,896</u></b>	<b><u>2,558</u></b>	<b><u>4.7%</u></b>	<b><u>58,448</u></b>	<b><u>2.7%</u></b>	<b><u>58,209</u></b>	<b><u>-0.4%</u></b>
<b>Disbursements</b>								
Grants to Local Governments:	37,086	39,664	2,578	7.0%	46,467	17.2%	50,283	8.2%
School Aid	18,019	18,787	768	4.3%	19,738	5.1%	21,953	11.2%
Total Medicaid (incl. administration)	<u>6,401</u>	<u>8,640</u>	<u>2,239</u>	<u>35.0%</u>	<u>13,536</u>	<u>56.7%</u>	<u>14,644</u>	<u>8.2%</u>
Medicaid (before local relief)	5,440	7,327	1,887	34.7%	11,827	61.4%	12,479	5.5%
Medicaid Cap/FHP Takeover	961	1,313	352	36.6%	1,709	30.2%	2,165	26.7%
Higher Education	2,837	2,578	(259)	-9.1%	2,718	5.4%	2,763	1.7%
Mental Hygiene	2,148	2,266	118	5.5%	2,407	6.2%	2,534	5.3%
Children and Family Services	1,823	1,968	145	8.0%	2,170	10.3%	2,313	6.6%
Other Education Aid	1,640	1,617	(23)	-1.4%	1,841	13.9%	1,925	4.6%
Temporary and Disability Assistance	1,275	1,301	26	2.0%	1,341	3.1%	1,428	6.5%
Local Government Assistance	1,135	1,130	(5)	-0.4%	1,134	0.4%	1,137	0.3%
Public Health	653	578	(75)	-11.5%	598	3.5%	635	6.2%
All Other	1,155	799	(356)	-30.8%	984	23.2%	951	-3.4%
State Operations:	<u>8,659</u>	<u>8,925</u>	<u>266</u>	<u>3.1%</u>	<u>9,175</u>	<u>2.8%</u>	<u>9,312</u>	<u>1.5%</u>
Personal Service	6,465	6,621	156	2.4%	6,801	2.7%	6,870	1.0%
Non-Personal Service	2,194	2,304	110	5.0%	2,374	3.0%	2,442	2.9%
General State Charges	<u>3,704</u>	<u>4,042</u>	<u>338</u>	<u>9.1%</u>	<u>4,344</u>	<u>7.5%</u>	<u>4,760</u>	<u>9.6%</u>
Pensions	1,148	1,412	264	23.0%	1,525	8.0%	1,654	8.5%
Health Insurance:								
Active Employees	1,712	1,906	194	11.3%	2,056	7.9%	2,217	7.8%
Retired Employees	1,123	1,247	124	11.0%	1,348	8.1%	1,456	8.0%
Fringe Benefit Escrow	(2,247)	(2,435)	(188)	8.4%	(2,534)	4.1%	(2,541)	0.3%
All Other	1,968	1,912	(56)	-2.8%	1,949	1.9%	1,974	1.3%
Transfers to Other Funds:	<u>5,459</u>	<u>6,391</u>	<u>932</u>	<u>17.1%</u>	<u>7,265</u>	<u>13.7%</u>	<u>7,690</u>	<u>5.8%</u>
State Share Medicaid	2,362	2,388	26	1.1%	2,887	20.9%	2,888	0.0%
Debt Service	1,783	1,762	(21)	-1.2%	1,739	-1.3%	1,725	-0.8%
Capital Projects	551	1,162	611	110.9%	1,319	13.5%	1,491	13.0%
All Other	763	1,079	316	41.4%	1,320	22.3%	1,586	20.2%
<b>Total Disbursements</b>	<b><u>54,908</u></b>	<b><u>59,022</u></b>	<b><u>4,114</u></b>	<b><u>7.5%</u></b>	<b><u>67,251</u></b>	<b><u>13.9%</u></b>	<b><u>72,045</u></b>	<b><u>7.1%</u></b>
<b>Change in Reserves</b>								
Timing Related Reserve	(163)	0			0		0	
Prior Year Reserves	(340)	0			0		0	
Community Projects Fund	(67)	55			(41)		(92)	
<b>Deposit to/(Use of) Reserves</b>	<b><u>(570)</u></b>	<b><u>55</u></b>			<b><u>(41)</u></b>		<b><u>(92)</u></b>	
<b>General Fund Budget Surplus/(Gap) Estimate</b>	<b>0</b>	<b>(2,181)</b>			<b>(8,762)</b>		<b>(13,744)</b>	
<b>Add: HCRA Operating Surplus</b>	<b>0</b>	<b>15</b>			<b>5</b>		<b>38</b>	
<b>Combined Budget Surplus/(Gap) Estimate</b>	<b><u>0</u></b>	<b><u>(2,166)</u></b>			<b><u>(8,757)</u></b>		<b><u>(13,706)</u></b>	

\* Includes transfers after debt service.

## OUTYEAR PROJECTIONS

In evaluating the State's outyear operating forecast, it should be noted that the reliability of the estimates as a predictor of the State's future fiscal condition is likely to diminish as one moves further from the current year and budget year estimates. Accordingly, in terms of the outyear projections, 2010-11 is perhaps the most relevant from a planning perspective, since any gap in that year must be closed with the next budget and the variability of the estimates is likely to be less than in later years. The State will provide quarterly revisions to its multi-year estimates.

The following table provides a "zero-based" look at the causes of the 2010-11 General Fund budget gap. Detailed explanations of the assumptions underlying the outyear revenue and spending projections appear below.

<b>2010-11 GENERAL FUND ANNUAL CHANGE</b>				
<b>SAVINGS/(COSTS)</b>				
<b>(millions of dollars)</b>				
	<b>2009-10</b>	<b>2010-11</b>	<b>Annual \$ Change</b>	<b>Annual % Change</b>
<b>RECEIPTS GROWTH</b>	<b>54,338</b>	<b>56,896</b>	<b>2,558</b>	<b>4.7%</b>
Personal Income Tax*	32,533	35,144	2,611	8.0%
User Taxes and Fees*	10,721	11,073	352	3.3%
Business Taxes	5,495	5,828	333	6.1%
Other Taxes*	1,039	1,106	67	6.4%
Miscellaneous Receipts/Federal Grants	3,381	3,022	(359)	-10.6%
All Other Transfers	1,169	723	(446)	-38.2%
<i>* Includes transfers after debt service</i>				
<b>DISBURSEMENTS GROWTH</b>	<b>54,908</b>	<b>59,022</b>	<b>4,114</b>	<b>7.5%</b>
<b>Local Assistance</b>	<b>37,086</b>	<b>39,664</b>	<b>2,578</b>	<b>7.0%</b>
Medicaid (incl. admin)	6,401	8,640	2,239	35.0%
<i>Program Growth/Other</i>	2,026	4,223	2,197	108.4%
<i>Medicaid Cap/Family Health Plus Takeover</i>	961	1,313	352	36.6%
<i>Change in HCRA/Provider Assessment Financing</i>	3,414	3,104	(310)	-9.1%
School Aid	18,019	18,787	768	4.3%
Other Education Aid	1,640	1,617	(23)	-1.4%
Higher Education	2,837	2,578	(259)	-9.1%
Children and Family Services	1,823	1,968	145	8.0%
Mental Hygiene	2,148	2,266	118	5.5%
All Other Local Assistance	4,218	3,808	(410)	-9.7%
<b>State Operations</b>	<b>8,659</b>	<b>8,925</b>	<b>266</b>	<b>3.1%</b>
Personal Service	6,465	6,621	156	2.4%
Non-personal Service	2,194	2,304	110	5.0%
<b>General State Charges</b>	<b>3,704</b>	<b>4,042</b>	<b>338</b>	<b>9.1%</b>
Health Insurance	2,835	3,153	318	11.2%
Pensions	1,148	1,412	264	23.0%
Fringe Benefit Escrow Offset	(2,247)	(2,435)	(188)	8.4%
All Other	1,968	1,912	(56)	-2.8%
<b>Transfers to Other Funds</b>	<b>5,459</b>	<b>6,391</b>	<b>932</b>	
<b>Change in Reserves</b>	<b>570</b>	<b>(55)</b>	<b>(625)</b>	
Timing Related Reserve	163	-	(163)	
Prior Year Reserves	340	-	(340)	
Community Projects Fund	67	(55)	(122)	
<b>"CURRENT SERVICES" BUDGET GAP FOR 2010-11 *</b>			<b>(2,181)</b>	

*\* Excludes HCRA balance, which is projected to remain positive over the multi-year Financial Plan.*

## ***OUTYEAR PROJECTIONS***

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The outyear forecast for 2010-11 is based on assumptions of economic performance, revenue collections, spending patterns, and projections for the current services costs of program activities. DOB believes the estimates of annual change in receipts and disbursements that constitute the current services gap forecast are based on reasonable assumptions and methodologies.

### ***OUTYEAR RECEIPTS/PROJECTIONS***

Overall, tax receipts growth in the two fiscal years following 2009-10 is expected to grow within a range of 2 to 8 percent. This reflects an economic forecast of a national recovery beginning in the third quarter of 2009 with many aspects of New York State's recovery lagging into 2010. The receipts growth is supported significantly by revenue actions in the Budget, including the three-year temporary increase in PIT rates. Tax receipts in 2012-13 are expected to decline slightly, primarily due to the expiration of the temporary rate increase.

- Total General Fund receipts are projected to reach \$56.9 billion in 2010-11, \$58.4 billion in 2011-12 and \$58.2 billion in 2012-13.
- Total State Funds receipts are projected to be approximately \$85.9 billion in 2010-11, \$89.0 billion in 2011-12 and \$88.6 billion in 2012-13.
- Total All Funds receipts in 2010-11 are projected to reach \$134.6 billion, an increase of \$4.0 billion, or 3 percent over 2009-10 estimates. All Funds receipts in 2011-12 are expected to decrease by \$2.4 billion (1.7 percent) over the prior year. In 2012-13, receipts are expected to decrease by \$1.1 billion (0.8 percent) from 2011-12 projections.
- All Funds tax receipts are expected to increase by 6.2 percent in 2010-11, 3.3 percent in 2011-12, and 0.3 percent in 2012-13.

See "2009-10 All Funds Receipts Forecast" herein.

## OUTYEAR PROJECTIONS

### OUTYEAR DISBURSEMENT PROJECTIONS

DOB forecasts General Fund spending of \$59 billion in 2010-11, an increase of \$4.1 billion (7.5 percent) over estimates 2009-10 levels. Growth in 2011-12 is projected at \$8.2 billion (13.9 percent) and in 2012-13 at \$4.8 billion (7.1 percent). The growth levels are based on current services projections, as modified by the actions contained in the 2009-10 Enacted Budget. They do not incorporate any estimate of potential new actions to control spending in future years.

### Grants to Local Governments

Annual growth in local assistance over the plan period is driven primarily by Medicaid (including administrative costs and local cost sharing), school aid and aid for children and family services. The following table summarizes some of the factors that affect the local assistance projections over the Financial Plan period.

<b>FORECAST FOR SELECTED PROGRAM MEASURES AFFECTING LOCAL ASSISTANCE</b>						
<b>(millions of dollars, where applicable)</b>						
	<b>Results</b>	<b>Forecast</b>				
	<b>2007-08</b>	<b>2008-09</b>	<b>2009-10</b>	<b>2010-11</b>	<b>2011-12</b>	<b>2012-13</b>
<b>Medicaid</b>						
Medicaid Coverage	3,559,381	3,691,391	3,983,166	4,271,459	4,564,665	4,861,432
Family Health Plus Coverage	518,189	424,949	424,788	460,584	552,384	552,384
Child Health Plus Coverage	360,436	381,303	428,220	437,220	446,220	455,220
Medicaid Inflation	2.0%	2.9%	3.0%	3.0%	3.0%	3.0%
Medicaid Utilization	-3.0%	-2.4%	1.8%	5.8%	5.0%	4.0%
State Takeover of County/NYC Costs (Total)	\$564	\$724	\$961	\$1,313	\$1,709	\$2,165
- Family Health Plus	\$396	\$424	\$445	\$477	\$507	\$518
- Medicaid	\$168	\$300	\$516	\$836	\$1,202	\$1,647
<b>Education</b>						
School Aid (School Year)	\$19,747	\$21,452	\$21,857	\$22,420	\$23,990	\$26,170
Public Higher Education Enrollment	512,362	537,190	542,509	546,547	550,616	554,558
Tuition Assistance Program Recipients	309,320	312,362	312,655	313,155	313,655	314,000
<b>Welfare</b>						
Family Assistance Caseload	372,964	350,370	351,718	354,609	357,608	359,485
Single Adult/No Children Caseload	150,447	144,591	152,033	160,380	165,546	170,609
<b>Mental Hygiene</b>						
Office of Mental Health	30,088	31,570	33,170	34,766	35,898	37,429
OMRDD	34,571	35,248	36,162	37,220	38,101	38,756
OASAS	15,553	15,561	16,047	16,457	16,517	16,577
Total - Mental Hygiene Community Beds	80,212	82,379	85,379	88,443	90,516	92,762

## OUTYEAR PROJECTIONS

### Medicaid

General Fund spending for Medicaid is expected to grow by \$2.2 billion in 2010-11, \$4.9 billion in 2011-12, and another \$1.1 billion in 2012-13, which includes a reduction in the State share resulting from the enhanced FMAP provided through the Federal ARRA.

MAJOR SOURCES OF ANNUAL CHANGE IN MEDICAID (millions of dollars)								
	2009-10	2010-11	Annual \$ Change	Annual % Change	2011-12	Annual % Change	2012-13	Annual % Change
<b>Base Growth Before Enhanced FMAP</b>	<b>14,057</b>	<b>15,608</b>	<b>1,551</b>	<b>11.0%</b>	<b>17,601</b>	<b>12.8%</b>	<b>18,834</b>	<b>7.0%</b>
Enhanced FMAP -- State Share *	(3,155)	(2,883)	272	-8.6%	0	-100.0%	0	-
<b>State Funds Base Growth (After FMAP)</b>	<b>10,902</b>	<b>12,725</b>	<b>1,823</b>	<b>16.7%</b>	<b>17,601</b>	<b>38.3%</b>	<b>18,834</b>	<b>7.0%</b>
<b>Less: Other State Funds Support</b>	<b>4,501</b>	<b>4,085</b>	<b>(416)</b>	<b>-9.2%</b>	<b>4,065</b>	<b>-0.5%</b>	<b>4,190</b>	<b>3.1%</b>
HCRA Financing	2,668	2,238	(430)	-16.1%	2,218	-0.9%	2,343	5.6%
Provider Assessment Revenue	686	700	14	2.0%	700	0.0%	700	0.0%
Indigent Care Revenue	1,147	1,147	0	0.0%	1,147	0.0%	1,147	0.0%
<b>Total General Fund</b>	<b>6,401</b>	<b>8,640</b>	<b>2,239</b>	<b>35.0%</b>	<b>13,536</b>	<b>56.7%</b>	<b>14,644</b>	<b>8.2%</b>
Local Government Relief (incl. above)	961	1,313	352	36.6%	1,709	103.4%	2,165	106.1%

\* Excludes enhanced FMAP for other state agencies.

Medicaid growth results, in part, from the combination of projected increases in recipients, service utilization, and medical care cost inflation that affect nearly all categories of service (i.e., hospitals, nursing homes, etc.). The State cap on local Medicaid costs and takeover of local FHP costs, which are included in base categories of service, are projected to increase spending by \$352 million in 2010-11, and \$396 million in 2011-12. In 2011-12, \$2.9 billion of the State Funds spending increase is due to the scheduled cessation of Federal assistance that had been granted to the State in 2009-10 and 2010-11 in accordance with ARRA. In addition, an extra weekly payment to providers deferred from 2009-10 adds \$400 million in base spending across all categories of service in 2011-12.

The average number of Medicaid recipients is expected to grow to 4.27 in 2010-11, an increase of 7.2 percent from the estimated 2009-10 caseload of 3.98 million. FHP enrollment is estimated to grow to approximately 460,600 individuals in 2010-11, an increase of 8.4 percent over projected 2008-09 enrollment of almost 424,800 individuals.

## OUTYEAR PROJECTIONS

### School Aid

MULTI-YEAR SCHOOL AID PROJECTIONS - SCHOOL-YEAR BASIS (millions of dollars)								
	2009-10	2010-11	Annual \$ Change	Annual % Change	2011-12	Annual % Change	2012-13	Annual % Change
Foundation Aid	14,876	14,876	0	0.0%	15,890	6.8%	17,390	9.4%
Universal Pre-kindergarten	376	376	0	0.0%	460	22.3%	520	13.0%
High Tax Aid	205	205	0	0.0%	100	-51.2%	100	0.0%
EXCEL Building Aid*	165	185	20	12.1%	192	3.8%	192	0.0%
Expense-Based Aids	5,595	6,080	485	8.7%	6,600	8.6%	7,170	8.6%
Other Aid Categories/Initiatives	640	698	58	9.1%	748	7.2%	798	6.7%
<b>Total School Aid</b>	<b>21,857</b>	<b>22,420</b>	<b>563</b>	<b>2.6%</b>	<b>23,990</b>	<b>7.0%</b>	<b>26,170</b>	<b>9.1%</b>

\* Represents State debt service costs.

School aid is projected to increase in 2009-10 and beyond. In future years, increases in foundation aid and UPK are also projected primarily due to increases in expense-based aids such as building aid and transportation aid. On a school-year basis, school aid is projected at \$22.4 billion in 2010-11, \$24.0 billion in 2011-12, and \$26.2 billion in 2012-13. On a State fiscal-year basis, General Fund school aid spending is projected to grow by \$563 million in 2010-11, \$1.6 billion in 2011-12, and \$2.2 billion in 2012-13.

Outside the General Fund, revenues from core lottery sales are projected to increase by \$27 million in 2010-11, by \$67 million in 2011-12, and \$106 million in 2012-13 (totaling \$2.5 billion in 2012-13). Revenues from VLTs are projected to increase by \$68 million in 2010-11, by \$657 million in 2011-12 and decrease by \$260 million in 2012-13 (totaling \$944 million in 2012-13). VLT estimates for 2011-12 assume the one-time receipt of \$370 million in additional revenues from the State's sale of operating rights at a VLT facility, and assume the start of operations at Aqueduct in 2011, and Belmont by 2012.

### Mental Hygiene

Mental hygiene spending is projected at \$2.3 billion in 2010-11, \$2.4 billion in 2011-12, and \$2.5 billion in 2012-13. Sources of growth include: increases in the projected State share of Medicaid costs; projected expansion of the various mental hygiene service systems including the OMH's children's services; increases in the NYS-CARES program and in the development of children's beds in OMRDD to bring children back from out-of-state placements; the New York/New York III Supportive Housing agreement and community bed expansion in OMH; and several chemical dependence treatment and prevention initiatives in OASAS, including treatment costs associated with Rockefeller Drug Law reform.

## **OUTYEAR PROJECTIONS**

### *Children and Family Services*

Children and Family Services local assistance spending is projected to grow by \$145 million in 2010-11, \$202 million in 2011-12 and \$143 million in 2012-13. The increases are driven primarily by expected growth in local claims-based programs, including child welfare.

### *Temporary and Disability Assistance*

Spending is projected at \$1.3 billion in 2010-11, and is expected to increase to \$1.4 billion by 2012-13, primarily the result of an expected decrease in Federal offsets, which increases the level of General Fund resources needed to fund existing commitments.

### **State Operations**

<b>FORECAST OF SELECTED PROGRAM MEASURES AFFECTING STATE OPERATIONS</b>						
	<b>Results</b>		<b>Forecast</b>			
	<b>2007-08</b>	<b>2008-09</b>	<b>2009-10</b>	<b>2010-11</b>	<b>2011-12</b>	<b>2012-13</b>
<b>State Operations</b>						
Prison Population (Corrections)	62,261	61,400	59,500	59,400	59,300	59,300
Negotiated Salary Increases*	3.0%	3.0%	3.0%	4.0%	0.0%	0.0%
Personal Service Inflation	1.0%	1.0%	1.0%	1.0%	1.0%	1.0%
State Workforce	199,754	199,916	190,335	190,195	190,195	190,195

\* Negotiated salary increases reflect labor settlements included in the Financial Plan estimates.

State Operations spending is expected to total \$8.9 billion in 2010-11, an annual increase of \$266 million (3.1 percent). In 2011-12, spending is projected to grow by another \$250 million (2.8 percent) to a total of \$9.1 billion, followed by another \$137 million (1.5 percent) for a total of \$9.3 billion in 2012-13. The personal service portion of these increases reflects both the impact of the settled labor contracts and potential spending for unsettled unions (assuming comparable agreements to currently-settled unions), salary adjustments for performance advances, longevity payments and promotions; and increased staffing levels. Inflationary increases for non-personal service costs result in higher spending in all years. Additional growth is driven by spending for ongoing initiatives, including the civil commitment program for sexual offenders, and medical and pharmacy costs in the areas of mental hygiene and corrections.



## OUTYEAR PROJECTIONS

The agencies and authorities experiencing the most significant personal service and non-personal service growth are depicted in the charts below, followed by brief descriptions.

### Personal Service

GENERAL FUND - PERSONAL SERVICE (millions of dollars)					
	2009-10	2010-11	Annual \$ Change	2011-12	2012-13
<b>Total</b>	<b>6,465</b>	<b>6,621</b>	<b>156</b>	<b>6,801</b>	<b>6,870</b>
Potential Labor Settlements	400	275	(125)	275	275
Workforce Reduction	(191)	(219)	(28)	(219)	(219)
Judiciary	1,500	1,681	181	1,829	1,862
State University	806	876	70	895	913
Correctional Services	1,773	1,807	34	1,803	1,807
Tax and Finance	281	296	15	296	296
State Police	453	420	(33)	420	420
All Other	1,443	1,485	42	1,502	1,516

- **Potential Labor Settlements:** The Financial Plan includes spending for potential settlements with unions that have not yet reached agreement with the State. The spending assumes settlements at the same terms that have been ratified by settled unions.
- **Workforce Reduction:** Reflects the WRP and the elimination of 2009-10 general salary increase, merit awards, longevity payments, and performance advances for most non-unionized employees.
- **Judiciary:** Reflects projections of anticipated needs for OCA.
- **State University:** Primarily reflects negotiated salary increases and increased investment in operations afforded by tuition increases.
- **Correctional Services:** Growth reflects facility closures, reductions in force, and ongoing cost controls.
- **Department of Taxation and Finance:** Changes reflect the annualization of additional full-time employees added for enhanced audit activity and information technology purposes.
- **State Police:** The higher spending in 2009-10 over 2010-11 is driven by the retroactive component of the PBA labor contract settlement expected to be paid in 2009-10.

## OUTYEAR PROJECTIONS

### Non-Personal Service

GENERAL FUND - NON-PERSONAL SERVICE (millions of dollars)					
	2009-10	2010-11	Annual \$ Change	2011-12	2012-13
<b>Total</b>	<b>2,194</b>	<b>2,304</b>	<b>110</b>	<b>2,374</b>	<b>2,442</b>
Correctional Services	615	643	28	666	700
State Police	50	55	5	80	74
Public Health	127	146	19	150	150
State University	364	379	15	397	421
All Other	1,038	1,081	43	1,081	1,097

- **Correctional Services:** Growth is primarily driven by the escalating costs of food, fuel, utilities, and providing health care services and prescription drugs to inmates.
- **State Police:** Spending growth reflects costs previously supported by cellular surcharge revenues in other State funds, that will be supported by General Fund revenues in 2009-10.
- **Public Health:** Growth is largely driven by the annualization of funding for the State to directly enroll individuals into Medicaid, CHP and FHP.
- **State University:** Primarily reflects funding for inflationary increases in non-personal service at SUNY.

### General State Charges

FORECAST OF SELECTED PROGRAM MEASURES AFFECTING GENERAL STATE CHARGES						
	Results	Forecast				
	2007-08	2008-09	2009-10	2010-11	2011-12	2012-13
<b>General State Charges</b>						
Pension Contribution Rate as % of Salary	9.7%	8.8%	7.6%	10.5%	11.4%	11.5%
Rate of Growth Employee/Retiree Health Insurance	5.4%	4.9%	6.6%	10.5%	8.5%	8.5%

GSCs are projected to total \$4.0 billion in 2010-11, \$4.3 billion in 2011-12 and \$4.8 billion in 2012-13. The annual increases are due mainly to anticipated cost increases in pensions and health insurance for State employees and retirees.

The State's pension contribution rate to the New York State and Local Retirement System, which is 7.6 percent for 2009-10, is expected to increase to 10.5 percent for 2010-11, 11.4 percent for 2011-12 and 11.5 percent in 2012-13. Pension costs in 2010-11 are projected to total \$1.4 billion, an increase of \$264 million over 2009-10. In 2011-12, costs are projected to increase an additional \$113 million to total \$1.5 billion. In 2012-13, they are expected to increase by \$129 million to total \$1.7 billion. Growth in all years is driven by anticipated increases in the employer contribution rate.

## OUTYEAR PROJECTIONS

<b>FORECAST OF NEW YORK STATE EMPLOYEE HEALTH INSURANCE COSTS</b> (millions of dollars)			
<b>Health Insurance</b>			
<b>Active</b>			
<b>Year</b>	<b>Employees</b>	<b>Retirees</b>	<b>Total State</b>
<b>2007-08 (Actual)</b>	1,390	1,182	2,572
<b>2008-09 (Results)</b>	1,639	1,068	2,707
<b>2009-10 (Projected)</b>	1,712	1,123	2,835
<b>2010-11 (Projected)</b>	1,906	1,247	3,153
<b>2011-12 (Projected)</b>	2,056	1,348	3,404
<b>2012-13 (Projected)</b>	2,217	1,456	3,673

*All numbers reflect the cost of health insurance for General State Charges (Executive and Legislative branches) and the Office of Court Administration.*

Spending for employee and retiree health care costs is expected to increase by \$318 million in 2010-11, \$251 million in 2011-12, and another \$269 million in 2012-13, and assumes an average annual premium increase of approximately 8.0 percent. Health insurance is projected at \$3.2 billion in 2010-11 (\$1.9 billion for active employees and \$1.25 billion for retired employees), \$3.4 billion in 2011-12 (\$2.1 billion for active employees and \$1.3 billion for retired employees), and \$3.7 billion in 2012-13 (\$2.2 billion for active employees and \$1.5 billion for retired employees).

See discussion of the GASB Statement 45 later in this Financial Plan for the valuation of future State health insurance costs for State employees.

### **Transfers to Other Funds**

<b>OUTYEAR DISBURSEMENT PROJECTIONS - TRANSFERS TO OTHER FUNDS</b> (millions of dollars)					
	<b>2009-10</b>	<b>2010-11</b>	<b>Annual \$ Change</b>	<b>2011-12</b>	<b>2012-13</b>
<b>Transfers to Other Funds:</b>	<b>5,459</b>	<b>6,391</b>	<b>932</b>	<b>7,265</b>	<b>7,690</b>
Medicaid State Share	2,362	2,388	26	2,887	2,888
Debt Service	1,783	1,762	(21)	1,739	1,725
Capital Projects	551	1,162	611	1,319	1,491
Dedicated Highway and Bridge Trust Fund	383	763	380	842	923
All Other Capital	168	399	231	477	568
All Other Transfers	763	1,079	316	1,320	1,586
Mental Hygiene	12	295	283	494	705
Medicaid Payments for State Facility Patients	193	193	0	193	193
Judiciary Funds	149	150	1	156	161
SUNY- Hospital Operations	135	134	(1)	167	167
Banking Services	66	66	0	66	66
Empire State Stem Cell Trust Fund	16	13	(3)	-	56
Statewide Financial System	0	35	35	50	60
All Other	192	193	1	194	178

## ***OUTYEAR PROJECTIONS***

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In 2010-11, transfers to other funds are estimated at \$6.4 billion, an increase of \$932 million over 2009-10. This includes increased transfers to the DHBTF (see additional discussion below), capital projects funds, and the mental hygiene system. In addition, transfers are increasing to fund the development of the State's new financial management system.

In 2011-12, transfers to other funds are expected to increase by \$874 million. This increase reflects projected Medicaid State Share transfers without the benefit of the Federal ARRA package (or enhanced FMAPs), and expected increases in transfers to supplement resources available for the mental hygiene system. In 2012-13, transfers are expected to increase by \$425 million, mainly to supplement resources available to the mental hygiene system and subsidize the DHBTF, as well as funding for banking services and stem cell research.

### ***Dedicated Highway and Bridge Trust Fund***

A significant portion of the capital and operating expenses of DOT and DMV are funded from the DHBTF. The Fund receives dedicated tax and fee revenue from the Petroleum Business Tax, the Motor Fuel Tax, the Auto Rental Tax, highway use taxes, transmission taxes and motor vehicle fees administered by DMV. The Financial Plan includes transfers from the General Fund that effectively subsidize the expenses of the DHBTF. The subsidy is required because the cumulative expenses of the fund – capital and operating expenses of DOT and DMV, debt service on DHBTF bonds and transfers for debt service on bonds that fund CHIPs and local transportation programs – exceed current and projected revenue deposits and bond proceeds. This updated Financial Plan revises the forecast for the General Fund subsidy to reflect Enacted Budget projections. The subsidy is projected at \$763 million for 2010-11 and \$842 million for 2011-12, with continued growth thereafter.

# **FINANCIAL PLAN RISKS**

## **RISKS**

### **Overview**

The Enacted Budget Financial Plan forecast is subject to many complex economic, social, and political risks and uncertainties, many of which are outside the ability of the State to control. These include, but are not limited to, the performance of the national and State economies; the impact of continuing write-downs and other costs affecting the profitability of the financial services sector, and the concomitant effect on bonus income and capital gains realizations; the impact of calendar year 2008 wage and bonus activity on the State tax settlement in fiscal year 2009-10; access to the capital markets in light of the disruption in the municipal bond market; litigation against the State, including challenges to certain tax actions and other actions authorized in the Enacted Budget; and actions taken by the Federal government, including audits, disallowances, and changes in aid levels.

In addition, the forecast contains specific transaction risks and other uncertainties including, but not limited to, the development of new VLT facilities; the receipt of certain payments from public authorities; the receipt of miscellaneous revenues at the levels expected in the Financial Plan; the enforcement of certain tax regulations on Native American reservations; the timing and value of proceeds from the sale of Well Point stock that is expected to finance health care costs; and the achievement of cost-saving measures including, but not limited to, administrative savings in State agencies through the WRP and the transfer of available fund balances to the General Fund, at the levels currently projected. Such risks and uncertainties, if they were to materialize, could have an adverse impact on the Financial Plan in the current year (see "Financial Plan Risks" herein).

Finally, there can be no assurance that (1) receipts will not fall below current projections, requiring additional budget-balancing actions in the current year, and (2) the gaps projected for future years will not increase materially from the projections set forth herein.

### **Economic Outlook**

DOB outlook calls for an end to the current recession sometime in the third quarter, making it the longest since the Great Depression. However, there are a number of risks to the forecast. The large economic stimulus package passed by Congress in February and a Federal Reserve interest rate target of near zero, along with its massive injections of liquidity into the financial system, are expected to contribute to positive, albeit low growth in real U.S. GDP by the third quarter of this year. However, the response of the economy to this stimulus depends in part to the normal functioning of credit markets. Further delay in the return of normalcy to markets could in turn delay the onset of the recovery. A weaker labor market than projected could result in even lower incomes and weaker household spending than projected. The global economy could contract further than anticipated, further depressing demand for U.S. exports and putting additional downward pressure on corporate earnings. Improving equity prices as markets look

## ***FINANCIAL PLAN RISKS***

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beyond the current crisis have been a recent bright spot, but slower corporate earnings growth than expected could further depress equity markets, delaying their recovery and that of Wall Street. On the other hand, a stronger response to the stimulus package, higher equity prices, or stronger global growth than anticipated could result in stronger economic growth than is reflected in the forecast.

All of the risks to the U.S. forecast apply to the State forecast as well, although as the nation's financial capital, financial market uncertainty poses a particularly large degree of risk for New York. Lower levels of financial market activity than anticipated could result in a further delay in the recovery of Wall Street profits and bonuses. A more severe national recession than expected could prolong the State's downturn, producing weaker employment and wage growth than projected. Weaker equity and real estate activity than anticipated could negatively affect household spending and taxable capital gains realizations. These effects could ripple through the economy, further depressing both employment and wage growth. In contrast, should the national and world economies grow faster than expected, a stronger upturn in stock prices, along with even stronger activity in mergers and acquisitions and other Wall Street activities, could result in higher wage and bonuses growth than projected.

### ***Labor Settlements***

The State has reached labor settlements with several labor unions, CSEA, PEF, UUP District Council 37, and the Police Benevolent Association. Under terms of these four-year contracts, which run from April 1, 2007 through April 1, 2011 (July 2, 2007 through July 1, 2011 for UUP), employees will receive pay increases of 3 percent annually in 2007-08 to 2009-10 and 4 percent in 2010-11. Pursuant to the Governor's directive, most non-unionized "management/confidential" will not receive the planned general salary increase, merit awards, longevity payments, and performance advances in 2009-10.

Other unions representing uniformed correctional officers, graduate students, and security/park police have not reached settlements with the State at this time. DOB estimates that if all the unsettled unions were to agree to the same terms that have been ratified by other unions, it would result in added costs of approximately \$400 million in 2009-10, assuming a retroactive component for fiscal year 2007-08 and 2008-09, and approximately \$275 million in both 2010-11 and 2011-12. The Enacted Budget for 2009-10 assumes spending related to these settlements. There can be no assurance that actual settlements will not exceed the amounts included in the Plan. In addition, no reserve has been set aside for potential pay raises for judges.

### ***School Supportive Health Services***

The OIG of the United States Department of Health and Human Services has conducted six audits of aspects of New York State's School Supportive Health Services program with regard to Medicaid reimbursement. The audits cover \$1.4 billion in claims submitted between 1990 and 2001. To date, OIG has issued four final audit reports, which cover claims submitted by upstate and New York City school districts for speech pathology and transportation services. The final audits recommend that the CMS disallow \$173 million of the \$362 million in claims for upstate speech pathology

services, \$17 million of \$72 million for upstate transportation services, \$436 million of the \$551 million in claims submitted for New York City speech pathology services, and \$96 million of the \$123 million for New York City transportation services. New York State disagrees with the audit findings on several grounds and has requested that they be withdrawn. If the recommended disallowances are not withdrawn, the State expects to appeal.

While CMS has not taken any action with regard to the disallowances recommended by OIG, CMS is deferring 25 percent of New York City claims and 9.7 percent of claims submitted by the rest of the State, pending completion of the audits.

### ***Proposed Federal Rule on Medicaid Funding***

On May 25, 2007, CMS issued a final rule that, if implemented, would significantly curtail Federal Medicaid funding to public hospitals (including New York City's HHC) and programs operated by both OMRDD and OMH. The rule seeks to restrict State access to Federal Medicaid resources by changing the upper payment limit for certain rates to actual facility reported costs. It is estimated that this rule could result in a loss of \$350 million annually in Federal funds for HHC and potentially larger losses in aid for the State Mental Hygiene System. As part of the Federal ARRA, implementation has been delayed until July 1, 2009.

On May 23, 2007, CMS issued another rule that would eliminate Medicaid funding for GME. The proposed rule clarifies that costs and payments associated with GME programs are not expenditures of Medicaid for which Federal reimbursement is available. This rule could result in a Financial Plan impact of up to \$600 million since the State would be legally obligated to pay the lost non-Federal share. As part of the Federal ARRA, implementation has been delayed indefinitely.

On February 22, 2008, CMS issued a change to the rules that regulate State taxation of healthcare entities, effective April 22, 2008. The rule affords CMS flexibility in identifying a "linkage" between provider taxes and Medicaid payments rendering the tax invalid. The State currently uses a substantial amount of provider tax receipts to finance various healthcare programs that serve the State's most vulnerable populations. While the State strongly believes that our imposed taxes are in full compliance, the vagueness of the new rules provides no assurance that these funding streams are adequately protected. As part of the Federal ARRA, implementation has been delayed until July 1, 2009.

## ***FINANCIAL PLAN RISKS***

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CMS has also issued a rule regarding targeted case management which clarifies the definition of covered services. The final rule was issued on December 4, 2007 and made effective March 3, 2008. The State is currently in the process of litigating this issue and has requested a one-year implementation extension. As part of the Federal ARRA, implementation has been delayed until July 1, 2009.

Further, CMS has proposed to restrict Medicaid coverage for rehabilitative services and reimbursement for school based health services, which could pose a risk to the Financial Plan and result in hundreds of millions of dollars in reduced Federal-share funding. As part of the ARRA, implementation of restrictions for rehabilitation services has been delayed indefinitely, while school based health services has been deferred until July 1, 2009.

On all rules, the State is actively lobbying the Federal government to be held harmless, either through an extension/modification of the current moratorium or through other administrative or statutory means. The State is joined by many other states in challenging the adoption on the basis that CMS is overstepping its authority and ignoring Congressional intent.

### ***New York City Personal Care Audit***

The OIG of the United States DOH and Human Services released a September 2008 draft audit with regard to Medicaid reimbursement for personal care services in New York City. The draft audit reviewed claims for the period July 1, 2004 through December 31, 2006. Based upon their review, the OIG is calling for the State to repay an estimated \$815 million in Federal Medicaid because payments were not supported with required medical exams and social and nursing assessments. Both New York City and DOH disagree with these findings and have since conducted their own claims review. On February 10, 2009, DOH submitted its formal response to OIG contesting the audit findings. To date, OIG has shared no additional comments.



# **FUND BALANCES AND CASH FLOW FORECAST**

## **GENERAL FUND**

DOB projects the State will end the 2009-10 fiscal year with a General Fund balance of \$1.4 billion. The balance is comprised of \$1.0 billion in the State's Tax Stabilization Reserve, \$175 million in the Rainy Day Reserve, \$78 million in the Community Projects Fund to finance existing "member item" initiatives for the Legislature, \$73 million for debt management purposes, and \$21 million in the Contingency Reserve Fund for litigation risks.

<b>GENERAL FUND ESTIMATED CLOSING BALANCE</b> (millions of dollars)			
	<b>2008-09 Results*</b>	<b>2009-10 Enacted</b>	<b>Change</b>
<b>Projected Year-End Fund Balance</b>	<b>1,948</b>	<b>1,378</b>	<b>(570)</b>
Tax Stabilization Reserve Fund	1,031	1,031	0
Rainy Day Reserve Fund	175	175	0
Contingency Reserve Fund	21	21	0
Reserved for Debt Reduction	73	73	0
Community Projects Fund	145	78	(67)
Remaining Reserve for 2009-10 Use	340	0	(340)
2008-09 Timing Related Changes	163	0	(163)

\* Unaudited Year-End Results.

The projected closing balance is \$570 million below the 2008-09 year-end results, which reflects the use of available balances to finance operations and member item spending (financed by the Community Projects Fund within the General Fund).

The 2008-09 closing balance is affected by the timing of \$163 million in certain transactions that cross fiscal years. Specifically, certain payments that were originally planned in 2008-09 are now expected to be made in 2009-10, as detailed below.

<b>2008-09 YEAR-END RESULTS</b> <b>GENERAL FUND TIMING RELATED CHANGES</b> <b>DECREASE/(INCREASE)</b> (millions of dollars)	
<b>Timing Related Changes</b>	<b>163</b>
Non-public School Aid	51
Other Education programs, including school aid	45
PBA labor settlement	44
Lower Medicaid spending	23
Taxes on State Owned Lands	27
Higher capital spending	(44)
All Other	17

## **FUND BALANCES AND CASH FLOW FORECAST**

The most significant timing changes include slower-than-expected educational program payments, including non-public school aid, elementary, middle, secondary and continuing education, general school aid contracts, VESID case services, and various programs administered by the Office of Higher education; delayed PBA labor settlement payments due to later than expected passage of the paybill; lower Medicaid spending due to delayed Federal approval of the State's Plan Amendment; and taxes on State-owned land payment processing delays. These reductions are partially offset by higher capital projects costs resulting from lower-than-projected authority bond reimbursements.

### **STATE OPERATING FUNDS**

<b>STATE OPERATING FUNDS ESTIMATED CLOSING BALANCE</b>			
<b>(millions of dollars)</b>			
	<b>2008-09</b>	<b>2009-10</b>	
	<b>Results*</b>	<b>Enacted</b>	<b>Change</b>
<b>Projected Year-End Fund Balance</b>	<b>4,717</b>	<b>3,698</b>	<b>(1,019)</b>
General Fund	1,948	1,378	(570)
Special Revenue Funds	2,471	2,031	(440)
Miscellaneous Special Revenue	955	890	(65)
<i>Industry Assessments</i>	454	452	(2)
<i>Health and Social Welfare</i>	36	(4)	(40)
<i>General Government</i>	95	85	(10)
<i>All Other</i>	370	357	(13)
State University Income	742	676	(66)
Mass Transportation Operating Assistance	121	104	(17)
Health Care Resources Fund	240	0	(240)
Lottery Fund	15	14	(1)
All Other	398	347	(51)
Debt Service Funds	298	289	(9)

\* Unaudited Year-End Results.

## ***FUND BALANCES AND CASH FLOW FORECAST***

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The combined balances in State Operating Funds are projected to total \$3.7 billion in 2009-10, a decrease of \$1.0 billion from 2008-09. The balances held in State Special Revenue Funds include moneys designated to finance existing or planned commitments, or funds that are restricted or dedicated for specified statutory purposes. The largest balances in the State Special Revenue Funds include moneys on hand to finance future costs for State University programs, operating assistance for transportation programs, various health care programs financed from the Health Care Resources Fund, and lottery revenues used for school aid. The remaining fund balances are held in numerous funds, primarily the Miscellaneous Special Revenue Fund, and accounts that support a variety of programs including industry regulation, public health, general government, and public safety. See the Financial Plan tables for a comprehensive list of balances for All Funds and accounts.

### ***MONTHLY CASH FLOW FORECAST***

#### ***General Fund Discussion***

DOB currently projects that each month of the 2009-10 fiscal year will end with a positive cash balance in the General Fund. However, the General Fund's 2009-10 opening cash position of \$1.9 billion was lower than in recent fiscal years and DOB expects tight operating margins, especially in the first quarter of the fiscal year, before the benefit of approved actions in the Enacted Budget are fully realized. The June 2009 closing balance of \$111 million is the lowest projected for the fiscal year, based on the current forecast. DOB projects cash balances \$2.8 billion by September 30, 2009, \$1.2 billion by December 30, 2009, and \$1.4 billion by March 31, 2010. The settlement of tax liabilities for calendar year 2008, which primarily takes place in April and May, has the potential to significantly alter the cash flow position of the State. DOB and the Department of Taxation and Finance are monitoring collections and refund activity closely.

The Enacted Budget authorizes the General Fund to borrow resources temporarily from other funds for a period not to exceed four months. In addition, under existing law, the General Fund is authorized to use resources in the State's Tax Stabilization Reserve for cash flow purposes, but is required to repay the amounts in full by the close of the fiscal year. Technical legislation approved in the Enacted Budget expands this authorization to include funds available in the Rainy Day Reserve and Contingency Reserve.

DOB's detailed monthly cash flow projections for 2009-10 and 2010-11 are set forth in the sections entitled "Financial Plan Tables" and "Supplemental Financial Plan Information."

#### ***Statewide Cash Management***

OSC invests General Fund moneys, bond proceeds, and other funds not immediately required to make payments through STIP, which is comprised of joint custody funds (Governmental Funds, Internal Service Funds, Enterprise Funds and Private Purpose

## ***FUND BALANCES AND CASH FLOW FORECAST***

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Trust Funds), as well as several sole custody funds including the Tobacco Settlement Fund.

OSC is authorized to make short-term loans from STIP to cover temporary cash shortfalls in certain funds and accounts resulting from the timing of receipts and disbursements. The Legislature authorizes the funds and accounts that may receive loans each year, based on legislation submitted with the Enacted Budget. Loans may be granted only for amounts that the Director of the Budget certifies are “receivable on account” or can be repaid from the current operating receipts of the fund (i.e., loans cannot be granted in expectation of future revenue enhancements). The Enacted Budget includes new loan authorization for the General Fund, as described above.

The total outstanding loan balance was \$1.6 billion on March 31, 2009. This was comprised of advances to finance capital spending that will be reimbursed by bond proceeds or Federal grants (\$808 million), activities financed by the State in the first instance that will be reimbursed by Federal aid (\$411 million), and loans across several State Special Revenue Funds (\$279 million) and Proprietary Funds (\$53 million).

The total loan balance typically increases throughout the State fiscal year, reaching its peak between the second and third quarters. The spike mainly reflects the payment of lottery aid for education, which is financed in large part by a loan that is repaid over the course of the year as lottery revenues are received.

# 2008-09 OPERATING RESULTS

## GENERAL FUND

General Fund receipts, including transfers from other funds, totaled \$53.8 billion in 2008-09. Receipts were \$1.8 billion lower than projected in the Enacted Budget for 2008-09, and \$335 million lower than projected in the Third Quarterly Update to the Financial Plan (Third Quarterly Update)<sup>10</sup>. Disbursements, including transfers to other funds, totaled \$54.6 billion. This was \$1.8 billion below the Enacted forecast and \$769 million lower than the Third Quarterly Update. The General Fund closing balance was \$1.9 billion. This was \$434 million higher than projected in the Third Quarterly Update, but \$83 million below the Enacted forecast. As described more fully elsewhere in this Financial Plan, the year-end balance was substantially improved by the receipt of \$1.3 billion in unplanned General Fund relief from the temporary increase in the Federal matching rate for Medicaid expenditures. The table below compares preliminary, unaudited results for March 31, 2009 to the estimates included in the Executive Budget as amended, and Enacted Financial Plans, as well as prior year results.

2008-09 FISCAL YEAR GENERAL FUND RESULTS VS. ESTIMATES (millions of dollars)						
	2008-09 Enacted Budget	2009-10 Executive Budget	2008-09 Year-End Results*	Results* vs. Estimates Favorable/(Unfavorable)		Increase/ (Decrease) from Prior Year
				2008-09 Enacted Budget	2009-10 Executive Budget	
<b>Opening Balance (April 1, 2008)</b>	<b>2,754</b>	<b>2,754</b>	<b>2,754</b>	<b>n/a</b>	<b>n/a</b>	<b>(291)</b>
<b>Receipts</b>	<b>55,638</b>	<b>54,136</b>	<b>53,801</b>	<b>(1,837)</b>	<b>(335)</b>	<b>705</b>
Taxes	52,145	49,556	49,252	(2,893)	(304)	(655)
Personal Income Tax **	32,503	31,399	31,600	(903)	201	368
User Taxes and Fees **	11,292	10,854	10,556	(736)	(298)	(357)
Business Taxes	6,559	5,645	5,556	(1,003)	(89)	(461)
All Other Taxes **	1,791	1,658	1,540	(251)	(118)	(205)
Receipts & Grants	2,546	3,165	3,150	604	(15)	621
Other Transfers	947	1,415	1,399	452	(16)	739
<b>Disbursements</b>	<b>56,361</b>	<b>55,376</b>	<b>54,607</b>	<b>1,754</b>	<b>769</b>	<b>1,220</b>
Local Assistance	39,126	38,193	37,040	2,086	1,153	626
Medicaid, including admin	9,194	8,990	9,218	(24)	(228)	224
Enhanced FMAP	0	0	(1,092)	1,092	1,092	(1,092)
School Aid	17,825	17,766	17,756	69	10	1,560
Higher Education	2,460	2,091	2,233	227	(142)	(78)
All Other Education	1,763	1,711	1,628	135	83	(70)
Public Health	627	574	512	115	62	(159)
Mental Hygiene	2,060	2,057	2,064	(4)	(7)	199
Children and Families	1,763	1,728	1,672	91	56	62
Temporary and Disability Assistance	1,213	1,211	1,222	(9)	(11)	(310)
Transportation	110	104	107	3	(3)	1
All Other	2,111	1,961	1,720	391	241	289
State Operations	8,662	8,353	8,312	350	41	(1,267)
Personal Service	6,275	6,215	6,168	107	47	(491)
Non-Personal Service	2,387	2,138	2,144	243	(6)	(776)
General State Charqes	3,023	3,119	3,084	(61)	35	(1,536)
Transfers To Other Funds	5,550	5,711	6,171	(621)	(460)	3,397
Debt Service	1,692	1,688	1,734	(42)	(46)	186
Capital Projects	433	428	473	(40)	(45)	332
State Medicaid Share	2,655	2,664	2,832	(177)	(168)	2,832
Enhanced FMAP (State Medicaid Share)	0	0	(207)	207	207	(207)
All Other	770	931	1,339	(569)	(408)	254
<b>Change in Operations</b>	<b>(723)</b>	<b>(1,240)</b>	<b>(806)</b>	<b>n/a</b>	<b>n/a</b>	<b>(515)</b>
<b>Closing Balance</b>	<b>2,031</b>	<b>1,514</b>	<b>1,948</b>	<b>(83)</b>	<b>434</b>	<b>(806)</b>
Tax Stabilization Reserve	1,031	1,031	1,031	0	0	0
Rainy Day Reserve	175	175	175	0	0	0
Contingency Reserve	21	21	21	0	0	0
Community Projects Fund	237	142	145	(92)	3	(195)
Debt Reduction Reserve	122	0	73	(49)	73	(49)
Labor Settlement Reserve	445	145	145	(300)	0	(920)
Remaining Reserve	0	0	195	195	195	195
Timing Related Delays	0	0	163	163	163	163

\* Preliminary results. Subject to revision.

\*\* Includes transfers after debt service.

<sup>10</sup> The Governor's Executive Budget, as amended, contained the third quarterly update to the Financial Plan projections for 2008-09.

## **2008-09 OPERATING RESULTS**

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### ***General Fund Comparison to Third Quarterly Update***

General Fund receipts were \$335 million lower than the Third Quarterly Update forecast. Primary components of the decline included a \$238 million drop in sales tax receipts as the result of slowing consumer activity, a \$311 million shortfall in corporate franchise tax receipts due to weaker-than-expected corporate profits and audit collections and a \$79 million negative variance in the estate tax as the result on unexpectedly high refunds and lower real estate and equity values. These declines were partially offset by better than forecasted collections in PIT (\$157 million in gross collections net of refunds) and bank taxes (\$233 million) and actions taken as part of the 2008-09 DRP.

General Fund disbursements were \$769 million lower than projected in the Third Quarterly Update. General Fund disbursements were \$530 million higher than projected in the Third Quarterly Update excluding FMAP. The Federal increase in FMAP, which was retroactive to October 1, 2008, reduced planned General Fund spending by \$1.2 billion. The largest spending variances include:

- **Medicaid, including Administration (\$864 million lower than planned):** Primarily attributable to Federal ARRA funds directed towards Medicaid (\$1.1 billion). Without these funds, Medicaid spending would actually have been over projections by \$228 million, primarily due to higher than projected Medicaid cycle spending.
- **Transfers To Other Funds (\$408 million higher than planned):** Largely reflects the non-payment of a \$370 million franchise payment in 2008-09 from a private operator for development rights related to a VLT facility at Aqueduct Racetrack, and downward revisions to lottery and VLT receipts of \$40 million, which increased General Fund transfers to the Lottery Fund to support school and spending.
- **Higher Education (\$142 million higher than planned):** Largely results from a \$130 million reduction to the amount of CUNY aid that was deferred from 2008-09 to 2009-10, as part of the DRP. At the Third Quarterly Update, the State expected to defer \$429 million from 2008-09 to 2009-10. At year-end, the State had actually deferred \$299 million. The \$142 million variance from the Executive Budget also reflects the legislative rejection of the DRP proposal to reduce base aid to both SUNY and CUNY community colleges during the first quarter of the 2008-09 fiscal year.
- **All Other Education (\$83 million lower than planned):** Primarily reflects the delay in certain aid payments until 2009-10, and the suballocation of appropriation authority to other agencies and programs.
- **Public Health (\$62 million lower than planned):** Primarily due to lower than expected spending in EI and in a number of other smaller programs.
- **Children and Families (\$56 million lower than planned):** Largely driven by the timing of spending in adoption subsidies and contract-based youth programs.

### **General Fund Comparison to Enacted Budget Projections**

General Fund receipts, including transfers from other funds, were \$1.8 billion less than the initial forecast. This variance is due to lower-than-expected collections in the business taxes (\$1.0 billion), PIT (\$903 million), the sales and use tax (\$736 million), and all other taxes (\$251 million). These decreases were offset by higher-than-planned revenues from receipts and grants and transfers from other funds.

Total disbursements from in 2008-09 were \$1.8 billion lower than projected at the time of the Enacted Budget. The largest spending variances include:

- **Medicaid, including Administration (\$1.1 billion lower than planned):** Primarily attributable to Federal ARRA funds directed towards Medicaid (\$1.1 billion). Without these funds, Medicaid spending would have been over projections, primarily due to higher than projected Medicaid cycle spending.
- **Transfers To All Other Funds (\$569 million higher than planned):** Largely reflects the non-payment of a \$250 million franchise payment in 2008-09 from a private operator for development rights related to a VLT facility at Aqueduct Racetrack, and downward revisions to lottery and VLT receipts of \$160 million, which increase General Fund transfers to the lottery funds to support school and spending.
- **State Operations (\$350 million lower than planned):** Reflects savings as the result of statewide initiatives of hiring freeze, promotion freeze, and strict control of non-personal spending.
- **Higher Education (\$227 million lower than planned):** Largely reflects the deferral of \$300 million in CUNY senior college payments until the first quarter of the State's 2009-10 fiscal year, partly offset by higher spending for a CUNY collective bargaining settlement in November 2008.
- **All Other Education (\$135 million lower than planned):** Largely reflects actions taken, since the Enacted Budget, to adjust the General Fund payment schedule.
- **Public Health (\$115 million lower than planned):** Primarily due to lower than expected spending in EI and in a number of other smaller programs.
- **Children and Families (\$91 million lower than planned):** Driven largely by slower than anticipated spending in adoption subsidies, adult protective, and contract-based youth programs.

## **2008-09 OPERATING RESULTS**

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### **General Fund Annual Change**

On a year-over-year basis, General Fund receipts for 2008-09 were \$705 million, or 1.3 percent, higher than in 2007-08. This annual increase is largely attributable to increases in PIT, transfers from other funds, and miscellaneous receipts and grants, offset by lower-than-projected collections in business taxes, sales and use taxes, and all other taxes.

General Fund spending for 2008-09 was \$1.2 billion higher than fiscal year 2007-08 results. Significant changes, excluding the impact of Medicaid restructuring on Mental Hygiene, State Operations, and General State Charges, include:

- **School Aid (\$1.6 billion growth):** Driven partly by the annual increase in “tail” payments for the 2007-08 school year, and partly by the annual increase in the leading payments for the 2008-09 school year. On a school year basis, the State increased School Aid by \$1.8 billion in 2007-08, and by another \$1.7 billion in 2008-09.
- **Transfers to Capital Projects (\$332 million growth):** Primarily attributable to the growth in authority bond spending by economic development agencies (\$305 million).
- **All Other Local Disbursements (\$289 million growth):** Largely reflects growth in local government aid payments due to a restoration of AIM funding to New York City, and a change in the level of local assistance payments reclassified to other financial plan categories.
- **Transfers To All Other Funds (\$254 million growth):** Attributable to the inaccessible lottery franchise engagement (\$306 million), \$90 million in additional funding to the Office of Mental Retardation’s NYS-OPTS Program, partly offset by lower subsidy payments to SUNY Hospitals of \$63 million and a transfer reduction of \$69 million to the State’s DRRF.
- **Transfers to Debt Service Funds (\$186 million growth):** Mainly due to the timing of debt service payments on certain SUNY educational facilities bonds.
- **Medicaid (\$868 million decline):** Primarily attributable to Federal ARRA funds directed towards Medicaid (\$1.1 billion). Without these funds Medicaid spending would have experienced modest growth, primarily due to the increasing costs of providing health care services across all categories of service and enrollment growth (\$224 million).
- **Temporary and Disability Assistance (\$310 million decline):** Primarily attributable to the timing of payments to local districts.
- **Public Health (\$159 million decline):** Primarily due to slower than expected end-of-the-year spending. This was due in large part to the enactment of savings measures to reduce spending at the end of the year.



## 2008-09 OPERATING RESULTS

2008-09 FISCAL YEAR						
STATE OPERATING RESULTS VS. ESTIMATES						
(millions of dollars)						
	2008-09 Enacted Budget	2009-10 Executive Budget	2008-09 Year-End Results*	Results* vs. Estimates Favorable/(Unfavorable)		Increase/ (Decrease) from Prior Year
				2008-09 Enacted Budget	2009-10 Executive Budget	
<b>Total Receipts</b>	<b>78,623</b>	<b>75,487</b>	<b>75,228</b>	<b>(3,395)</b>	<b>(259)</b>	<b>(371)</b>
Taxes	61,794	58,744	58,322	(3,472)	(422)	(502)
Personal Income Tax	38,149	36,683	36,840	(1,309)	157	276
User Taxes and Fees	13,640	13,216	12,857	(783)	(359)	44
Business Taxes	8,091	7,064	6,973	(1,118)	(91)	(602)
Other Taxes	1,914	1,781	1,652	(262)	(129)	(220)
Miscellaneous Receipts	16,787	16,701	16,861	74	160	155
Federal Grants	42	42	45	3	3	(24)
<b>Total Disbursements</b>	<b>80,862</b>	<b>79,433</b>	<b>78,168</b>	<b>2,694</b>	<b>1,265</b>	<b>1,165</b>
Local Assistance	56,356	55,078	53,984	2,372	1,094	1,413
Medicaid (Including Admin)	12,823	12,560	11,555	1,268	1,005	(809)
School Aid	20,749	20,721	20,710	39	11	1,727
Higher Education	2,493	2,124	2,235	258	(111)	(86)
All Other Education	1,777	1,736	1,650	127	86	(61)
STAR	4,694	4,440	4,435	259	5	(222)
Public Health	2,948	2,603	2,374	574	229	(347)
Mental Hygiene	2,966	3,113	3,091	(125)	22	984
Children and Families	1,765	1,729	1,674	91	55	63
Temporary and Disability Assistance	1,215	1,218	1,227	(12)	(9)	(305)
Transportation	3,000	2,981	2,982	18	(1)	157
All Other	1,926	1,853	2,051	(125)	(198)	312
State Operations	15,263	15,142	15,254	9	(112)	185
Personal Service	10,216	10,368	10,329	(113)	39	598
Non-Personal Service	5,047	4,774	4,925	122	(151)	(413)
General State Charges	4,588	4,591	4,391	197	200	(861)
Capital Projects	3	8	9	(6)	(1)	2
Debt Service	4,652	4,614	4,530	122	84	426

\* Preliminary results. Subject to revision.

### STATE OPERATING FUNDS

#### State Operating Funds Comparison to Third Quarterly Update

State Operating Funds receipts are \$259 million lower than the Third Quarterly Update (based on preliminary data). Tax receipts totaled \$58.3 billion, \$422 million less than the 2009-10 last quarterly update to the Financial Plan. The decrease is primarily the result of lower-than-expected collections in sales and use tax and other tax, offset by higher-than-anticipated collections in miscellaneous receipts and PIT.

State Operating Funds disbursements totaled were \$1.3 billion below the forecast, primarily driven by the General Fund variances described above, augmented by the timing of disbursements from HCRA funds. State Operations reflects an adjustment to 2008-09 projections based upon prior year results which have been substantially lower than budget projections.

## ***2008-09 OPERATING RESULTS***

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### ***State Operating Funds Comparison to Enacted Budget Projections***

State Operating Funds receipts totaled were \$3.4 billion below the Enacted Budget projection. Tax receipts totaled \$58.3 billion, \$3.5 billion less than the Enacted Budget estimate. The variance is due to reductions in all tax areas.

State Operating Funds disbursements were \$2.7 billion below the Enacted Budget. The largest variances outside the General Fund include the timing of HCRA-supported public health spending as well as lower-than-projected STAR payments.

### ***State Operating Funds Annual Change***

Total taxes decreased by \$371 million, or 0.8 percent, compared to the same period in 2007-08. This decrease is attributable to a large decline in the business taxes and other taxes, offset by increases in PIT and miscellaneous receipts.

Compared to the same period in 2007-08, State Operating Funds disbursements were \$1.2 billion higher in the current year. The most significant changes outside of the General Fund include:

- **Transportation (\$157 million growth):** Primarily reflects increased transit aid to meet the MTA Financial Plan needs and planned increases in non-MTA capital expenditures, which is financed from dedicated resources.
- **STAR (\$222 million decline):** Largely reflects the 2008-09 impact of a new schedule whereby the traditional December payment to New York City will be made in the first quarter of the State's 2009-10 fiscal year.
- **Public Health (\$347 million decline):** Results primarily from slower than projected spending in the EPIC program due to both lower than projected drug costs and lower than projected participation in the program, and also from slower spending out of the HCRA program account. The slower program account spending is due to lower than projected spending in the Healthy-NY program as well as in several smaller HCRA programs.

## 2008-09 OPERATING RESULTS

### CAPITAL PROJECTS FUNDS

2008-09 FISCAL YEAR CAPITAL PROJECTS RESULTS VS. ESTIMATES (millions of dollars)						
	2008-09 Enacted Budget	2009-10 Executive Budget	2008-09 Year-End Results*	Results* vs. Estimates Favorable/(Unfavorable)		Increase/ (Decrease) from Prior Year
				2008-09 Enacted Budget	2009-10 Executive Budget	
<b>Total Receipts</b>	<b>7,275</b>	<b>6,862</b>	<b>6,922</b>	<b>(353)</b>	<b>60</b>	<b>395</b>
Taxes	2,110	2,056	2,015	(95)	(41)	(32)
Miscellaneous Receipts	3,172	2,900	3,025	(147)	125	290
Federal Grants	1,993	1,906	1,882	(111)	(24)	137
<b>Total Disbursements</b>	<b>7,080</b>	<b>6,678</b>	<b>6,830</b>	<b>250</b>	<b>(152)</b>	<b>699</b>
Economic Development	710	650	772	(62)	(122)	330
Parks & the Environment	664	614	594	70	20	(32)
Transportation	3,879	3,824	3,793	86	31	267
Health and Social Welfare	240	242	285	(45)	(43)	90
Mental Hygiene	292	265	241	51	24	(8)
Public Protection	393	358	330	63	28	7
Education	773	696	610	163	86	1
All Other	129	29	205	(76)	(176)	44

\* Preliminary results. Subject to revision.

#### **Capital Projects Funds Comparison to Executive Budget Projections**

Capital Projects Funds receipts were \$60 million more than the Third Quarterly Update forecast primarily due to the timing of reimbursement from bond financing programs that resulted in higher than expected Miscellaneous Receipts.

Capital Projects Funds disbursements were \$152 million higher than the Third Quarterly Update projection. The overall variance reflects higher than anticipated spending by the Economic Development agencies (\$122 million) and Health and Social Welfare agencies (\$43 million). The variance in the All Other category reflects a \$250 million adjustment that was eliminated during the last quarterly update. This adjustment has historically been maintained to reflect anticipated delays in authority bond-financed capital projects. Higher disbursement amounts are offset by lower than anticipated spending totaling \$189 million, primarily by Education agencies (\$86 million).

#### **Capital Projects Funds Comparison to Enacted Budget Projections**

Capital Projects Funds receipts were \$353 million less than the Enacted Budget forecast, largely due to decreased collections in the petroleum business tax (\$61 million), the motor fuel tax (\$24 million) and the highway use tax (\$14 million). Federal grants lagged behind the estimated forecast. Capital Projects Funds disbursements totaled \$6.8 billion, \$250 million below the Enacted Budget projection, largely driven by slower spending for transportation projects.

## 2008-09 OPERATING RESULTS

### Capital Projects Funds Annual Change

Total receipts increased by \$395 million compared to the same period in 2007-08, driven by higher Miscellaneous Receipts and Federal Grants. Total disbursements were \$699 million higher than the same period in 2007-08, driven by increases in: Economic Development (\$330 million), reflecting implementation of a \$1.6 billion program for fiscal year 2008-09, including \$105 million associated with the acquisition of horse racing track ownership of Belmont, Aqueduct and Saratoga tracks from NYRA, \$60 million for SEMATECH infrastructure and equipment in Albany, \$47 million for the Atlantic Yards Project in Brooklyn, \$45 million for IBM for a wafer packaging center in Fishkill, \$25 million for the Albany Index Project, and related timing issues; Transportation (\$267 million), reflecting increases in programs to fund highway and bridge construction; and Health and Social Welfare (\$90 million), primarily attributable to HEAL-NY funding used to assist facilities in complying with mandates of the Berger Commission.

### FEDERAL OPERATING FUNDS

2008-09 FISCAL YEAR FEDERAL OPERATING RESULTS VS. ESTIMATES (millions of dollars)						
	2008-09 Enacted Budget	2009-10 Executive Budget	2008-09 Year-End Results*	Results* vs. Estimates Favorable/(Unfavorable)		Increase/ (Decrease) from Prior Year
				2008-09 Enacted Budget	2009-10 Executive Budget	
<b>Total Receipts</b>	<b>34,041</b>	<b>34,138</b>	<b>37,085</b>	<b>3,044</b>	<b>2,947</b>	<b>3,788</b>
Miscellaneous Receipts	120	108	178	58	70	(24)
Federal Grants	33,921	34,030	36,907	2,986	2,877	3,812
<b>Total Disbursements</b>	<b>33,664</b>	<b>33,651</b>	<b>36,573</b>	<b>(2,909)</b>	<b>(2,922)</b>	<b>3,649</b>
Local Assistance	29,349	29,250	31,927	(2,578)	(2,677)	2,372
Medicaid (Including Admin)	19,768	19,687	21,759	(1,991)	(2,072)	2,249
Temporary and Disability Assistance	2,981	2,982	3,466	(485)	(484)	621
Children and Family Services	937	939	1,003	(66)	(64)	82
Public Health	1,122	1,162	1,333	(211)	(171)	342
School Aid	2,597	2,597	2,560	37	37	0
Mental Hygiene	477	476	462	15	14	(822)
All Other	1,467	1,407	1,344	123	63	(100)
State Operations	3,474	3,530	3,712	(238)	(182)	567
General State Charges	841	871	934	(93)	(63)	710

\* Preliminary results. Subject to revision.

### Federal Operating Funds Comparison to Executive Budget

Federal Operating Funds receipts were \$2.9 billion higher than the Third Quarterly Update forecast. This was due to FMAP (\$1.7 billion) and higher than projected other Federal grants. Disbursements were \$2.9 billion higher than the Third Quarterly Update projection. Federal Medicaid spending through March was \$2.1 billion higher than originally projected. However \$1.7 billion of this is from FMAP assistance.

## 2008-09 OPERATING RESULTS

### Federal Operating Funds Comparison to Enacted Budget Projections

Federal Operating Funds receipts were \$3.0 billion above the Enacted Budget projection. Disbursements totaled \$36.7 billion, \$2.9 billion higher than the Enacted Budget projection. This is largely attributable to higher-than-anticipated Federal spending for Medicaid, Temporary and Disability Assistance, and Public Health.

### Federal Operating Funds Annual Change

Total receipts increased by \$3.8 billion compared to the same period in 2007-08. The annual growth is driven by the timing of Federal aid. Total disbursements were \$3.6 billion higher, due primarily to growth in Medicaid, welfare, and public health. Federal TADA spending has increased due to higher-than-projected spending in public assistance, HEAP and food stamp programs. The restructuring of Medicaid spending distorts the growth trends for mental hygiene, State Operations, and GSCs.

### ALL FUNDS SUMMARY

2008-09 ALL FUNDS DISBURSEMENTS MAJOR SOURCES OF CHANGE FROM THIRD QUARTERLY UPDATE (millions of dollars)						
	General Fund *	Other State Funds**	Total State Operating Funds	Capital Projects Funds	Federal Operating Funds	Total All Funds
<b>Executive Financial Plan</b>	49,665	29,768	79,433	6,679	33,651	119,763
<i>Annual Percent Change</i>	-1.9%	12.8%	3.2%	-79.7%	448.9%	3.2%
<b>Spending Changes (Excluding Timing)</b>	<b>163</b>	<b>400</b>	<b>563</b>	<b>202</b>	<b>1,635</b>	<b>2,400</b>
Medicaid, including Admin	266	(17)	249	0	773	1,022
Temporary and Disability Assistance	8	(1)	7	0	486	493
City University of New York	133	2	135	(1)	0	134
Economic Development	6	0	6	120	(2)	124
Children and Family Services	29	1	30	(2)	77	105
Other Education	(4)	0	(4)	1	96	93
Public Health	(73)	(57)	(130)	46	187	103
All Other	(202)	472	270	38	18	326
<b>Timing</b>	<b>(300)</b>	<b>(229)</b>	<b>(529)</b>	<b>(51)</b>	<b>(452)</b>	<b>(1,032)</b>
Medicaid, including Admin	(23)	(129)	(152)	0	(438)	(590)
Children and Family Services	(82)	0	(82)	0	0	(82)
Public Health	0	(76)	(76)	0	0	(76)
State Police	(42)	(8)	(50)	(2)	0	(52)
Other Education	(83)	0	(83)	0	0	(83)
GSC's	(31)	0	(31)	0	0	(31)
All Other	(39)	(16)	(55)	(49)	(14)	(118)
<b>2008-09 Results*** Before FMAP</b>	<b>49,528</b>	<b>29,939</b>	<b>79,467</b>	<b>6,830</b>	<b>34,834</b>	<b>121,131</b>
<b>Change from Executive Plan before FMAP</b>	<b>(137)</b>	<b>171</b>	<b>34</b>	<b>151</b>	<b>1,183</b>	<b>1,368</b>
<i>Percent Change from Executive Plan</i>	-0.3%	0.6%	0.0%	2.3%	3.5%	1.1%
<i>Annual Change before FMAP</i>	-2.1%	13.4%	3.2%	-79.3%	468.2%	4.4%
<b>Enhanced FMAP</b>	<b>(1,092)</b>	<b>(207)</b>	<b>(1,299)</b>	<b>0</b>	<b>1,739</b>	<b>440</b>
State Share	(1,092)	(207)	(1,299)	0	1,299	0
Local Share	0	0	0	0	440	440
<b>2008-09 Results***</b>	<b>48,436</b>	<b>29,732</b>	<b>78,168</b>	<b>6,830</b>	<b>36,573</b>	<b>121,571</b>
<b>Change from Executive Plan with FMAP</b>	<b>(1,229)</b>	<b>(36)</b>	<b>(1,265)</b>	<b>151</b>	<b>2,922</b>	<b>1,808</b>
<i>Percent Change from Executive Plan</i>	-2.5%	-0.1%	-1.6%	2.3%	8.7%	1.5%
<i>Annual Change</i>	-4.3%	12.7%	1.5%	-79.3%	496.5%	4.8%

\* Excludes Transfers

\*\* Includes State Special Revenue and Debt Service Funds

\*\*\* Unaudited Year-End Results

## 2008-09 OPERATING RESULTS

On an All Funds basis, year-end spending differed from the last quarterly update mainly due to higher-than-expected Federal spending. Higher Federal spending was driven by: increasing costs of providing health care services across all categories of service and enrollment growth in Medicaid; higher-than-expected welfare spending, foster care claims and claiming patterns by school districts in the final months of the 2008-09 fiscal year for IDEA; increased utilization of the DOH nutrition, HEAP and Food Stamp programs, and the local share of FMAP. Other significant variances, outside of the General Fund described above, include higher economic development capital projects spending related to the Albany SEMATECH project, the Atlantic Yards development project, and the Roosevelt Island Operating Corporation Tramway, and lower HCRA spending due to delayed Federal approval of the State's Plan Amendment.

2008-09 FISCAL YEAR ALL FUNDS RESULTS VS. ESTIMATES (millions of dollars)						
	2008-09 Enacted Budget	2009-10 Executive Budget	2008-09 Year-End Results*	Results* vs. Estimates Favorable/(Unfavorable)		Increase/ (Decrease) from Prior Year
				2008-09 Enacted Budget	2009-10 Executive Budget	
<b>Total Receipts</b>	<b>119,944</b>	<b>116,487</b>	<b>119,235</b>	<b>(709)</b>	<b>2,748</b>	<b>3,812</b>
Taxes	63,904	60,800	60,337	(3,567)	(463)	(534)
<i>Personal Income Tax</i>	38,149	36,683	36,840	(1,309)	157	276
<i>User Taxes and Fees</i>	14,822	14,384	14,004	(818)	(380)	12
<i>Business Taxes</i>	8,782	7,715	7,604	(1,178)	(111)	(627)
<i>Other Taxes</i>	2,151	2,018	1,889	(262)	(129)	(195)
Miscellaneous Receipts	20,084	19,709	20,064	(20)	355	421
Federal Grants	35,956	35,978	38,834	2,878	2,856	3,925
<b>Total Disbursements</b>	<b>121,035</b>	<b>119,763</b>	<b>121,571</b>	<b>(536)</b>	<b>(1,808)</b>	<b>5,513</b>
General Fund**	50,811	49,665	48,436	2,375	1,229	(2,177)
Special Revenue Funds	59,002	58,732	61,719	(2,717)	(2,987)	6,541
Capital Projects Funds	6,509	6,679	6,830	(321)	(151)	699
Debt Service Funds	4,713	4,687	4,586	127	101	450

\* Preliminary results. Subject to revision.

\*\* Excludes Transfers.

## **GAAP-BASIS FINANCIAL PLANS**

The State Budget is required to be balanced on a cash basis, which is DOB's primary focus in preparing and implementing the State Financial Plan. State Finance Law also requires the Financial Plan be presented for informational purposes on a GAAP basis, in accordance with standards and regulations set forth by GASB. Thus, the GAAP projections provided herein are intended to supplement, for informational purposes, the cash-basis Financial Plan. The GAAP-basis plans model the accounting principles applied by OSC in preparation of the 2007-08 Financial Statements. Tables comparing the cash basis and GAAP basis General Fund Financial Plans are provided at the end of this Financial Plan. OSC will issue the 2008-09 GAAP-basis Financial Statements in July 2009.

In 2009-10, the General Fund GAAP Financial Plan shows total revenues of \$46.5 billion, total expenditures of \$54.6 billion, and net other financing sources of \$8.7 billion, resulting in an operating surplus of \$561 million. These results reflect the impact of the Enacted Budget gap-closing actions.

The GAAP-basis results for 2007-08 showed the State in a net positive asset condition of \$47.7 billion after reflecting the impact of GASBS 45 "Accounting and Financial Reporting by Employers for Post-Retirement Benefits."

The State used an independent actuarial consulting firm to calculate retiree health care liabilities. The analysis calculated the present value of the actuarial accrued total liability for benefits as of March 31, 2008 at \$49.9 billion (\$41.4 billion for the State and \$8.5 billion for SUNY), using the level percentage of projected payroll approach under the Frozen Entry Age actuarial cost method. The actuarial accrued liability was calculated using a 4.2 percent annual discount rate. DOB expects the present value of the actuarial accrued total liability for benefits as of March 31, 2009 for the State, including SUNY, may increase by as much as \$9 billion.

This liability was disclosed in the 2007-08 basic GAAP financial statements issued by the State Comptroller in July 2008. GASB rules indicate the liability may be amortized over a 30-year period; therefore, only the annual amortized liability above the current PAYGO costs is recognized in the financial statements. The 2007-08 liability totaled \$3.8 billion (\$3.1 billion for the State and \$0.7 billion for SUNY) under the Frozen Entry Age actuarial cost method amortized based on a level percent of salary, or roughly \$2.7 billion (\$2.1 billion for the State and \$0.6 billion for SUNY) above the current PAYGO retiree costs. This difference between the State's PAYGO costs and the actuarially determined required annual contribution under GASBS 45 reduced the State's currently positive net asset condition at the end of 2007-08 by \$2.7 billion.

## **GAAP BASIS FINANCIAL PLANS**

GASB does not require the additional costs to be funded on the State's budgetary basis, and no funding is assumed for this purpose in the Financial Plan. On a budgetary (cash) basis, the State continues to finance these costs, along with all other employee health care expenses, on a PAYGO basis. Anticipated increases in these costs are reflected in the State's multi-year Financial Plan as detailed below.

<b>HISTORY AND FORECAST OF NEW YORK STATE EMPLOYEE HEALTH INSURANCE</b> (millions of dollars)			
<b>Health Insurance</b>			
<b>Year</b>	<b>Active Employees</b>	<b>Retirees</b>	<b>Total State</b>
<b>1999-00</b>	777	466	1,243
<b>2000-01</b>	876	521	1,397
<b>2001-02</b>	937	565	1,502
<b>2002-03</b>	1,023	634	1,657
<b>2003-04</b>	1,072	729	1,801
<b>2004-05</b>	1,216	838	2,054
<b>2005-06</b>	1,331	885	2,216
<b>2006-07</b>	1,518	913	2,431
<b>2007-08</b>	1,390	1,182	2,572
<b>2008-09*</b>	1,639	1,068	2,707
<b>2009-10*</b>	1,712	1,123	2,835
<b>2010-11*</b>	1,906	1,247	3,153
<b>2011-12*</b>	2,056	1,348	3,404
<b>2012-13*</b>	2,217	1,456	3,673

*All numbers reflect the cost of Health Insurance for General State Charges (Executive and Legislative branches); actuals through 2007-08.*

*\* Estimated.*

As noted, the current Financial Plan does not assume pre-funding of the GASBS 45 liability. If such liability were pre-funded at this time, the additional cost above the PAYGO amounts would be lowered. The State's Health Insurance Council, which consists of GOER, Civil Service, and DOB will continue to review this matter, and seek input from the State Comptroller, the legislative fiscal committees and other outside parties.

DOB's detailed GAAP Financial Plans for 2008-09 through 2012-13 are provided in the Financial Plan Tables.



# ***FISCAL IMPACT ON LOCAL GOVERNMENTS***

This section presents the estimated impact of the 2009-10 Enacted Budget on municipalities in New York State. It is summarized by class of local government and is based on local fiscal years. Detailed tables showing the impact of the Enacted Budget on local governments are provided in the Financial Plan Tables section of this report.

## ***OVERVIEW***

The Enacted Budget will have an estimated \$2.9 billion positive impact on municipalities in local fiscal years ending in 2010 -- the first full-annual local fiscal year affected by changes in the Budget. This increase in aid largely consists of new funding authorized pursuant to the Federal ARRA.

Significant Enacted Budget actions affecting municipalities in the 2010 local fiscal years are summarized as follows:

- **School Districts:** School districts outside New York City will experience an estimated \$600 million increase in school aid and other education funding attributable to the ARRA.
- **New York City:** A \$1.8 billion positive impact is estimated for New York City, with ARRA funds supporting a \$999 million increase in FMAP and \$622 million in additional aid for New York City schools. Other significant increases include: \$120 million from various actions to enhance revenue collections; and \$82 million that will restore general purpose aid under the AIM program to the 2006-07 funding level.
- **Counties:** County governments will realize an estimated \$401 million net positive impact in 2010, mostly attributable to \$335 million from the FMAP increase and \$95 million from initiatives to increase sales tax and other revenue collections. Reductions in several other programs that affect county budgets will be partially offset by new ARRA funding for human services.
- **Other Municipalities:** Other cities, towns and villages will experience an estimated \$14 million net positive impact, largely attributable to \$24 million in new revenue from efforts to improve sales tax collections that will offset targeted reductions in VLT Impact Aid and Local Government Efficiency Grants.

In addition to the Enacted Budget actions summarized above, more than \$959 million in fiscal relief is continued for counties and New York City under the State's cap on local Medicaid expenditures and takeover of the FHP program. Counting this assistance, the total positive fiscal impact on local governments in 2010 is \$3.8 billion.

## ***FISCAL IMPACT ON LOCAL GOVERNMENTS***

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Finally, it should also be noted that many local governments across the State will benefit from ARRA capital funding for transportation, sewer, water and other infrastructure projects intended to create jobs and promote economic recovery. Project award decisions will continue to be made in the coming months.

### ***CHANGES FROM THE EXECUTIVE BUDGET***

The overall fiscal benefit to local governments in the 2010 local fiscal years increased by \$3.4 billion from the 2009-10 Executive Budget, primarily due to the availability of funding under the ARRA. The major components include:

- \$1.92 billion in funding for school districts (including \$828 million for New York City), which restores a \$698 million reduction proposed in the Executive Budget and allows the State to provide a \$1.22 billion increase in aid to public schools;
- \$1.33 billion from the FMAP increase;
- \$133 million to restore savings from a proposal to have school districts pay a share of preschool special education costs; and
- \$100 million in spending for various human services programs.

In addition, the State is using a portion of its share of FMAP savings to restore New York City's AIM funding to \$328 million, as well as to support \$37 million in funding for human services and mental health programs administered by local governments. The FMAP savings, however, have also allowed the State to avoid the imposition of various sales tax increases that would have raised \$437 million in additional revenue for local governments in the 2010 local fiscal years.

There were several other notable restorations to Executive Budget savings actions that were made without the direct use of ARRA funds, including: \$69 million in transportation funding; \$24 million for public health program reimbursement; \$21 million in aid for youth services; and a proposal to achieve \$8.5 million in savings by capping taxes on State lands.

Finally, the Enacted Budget does not include the following Executive Budget proposals that were advanced to benefit municipalities: authorization for certain cities and counties to raise revenue through red light camera programs (\$148 million); various mandate relief initiatives, including a new tier of pension benefits (\$86 million); and proposals to reduce county and New York City costs for the EI program (\$25 million).

## **GLOSSARY OF ACRONYMS**

(AFSCME)	American Federation of State, County, and Municipal Employees
(AHC)	Affordable Housing Corporation
(AIG)	American International Group
(AIM)	Aid and Incentive for Municipalities
(ARRA)	American Recovery and Reinvestment Act of 2009
(ARS)	Auction Rate Securities
(ATC)	Addiction Treatment Center
(AWP)	Average Wholesale Price
(BANS)	Bond Anticipation Notes
(BIC)	Bond Issuance Change
(BMA)	Bond Market Association
(BOCES)	Board of Cooperative Education Services
(CAFR)	Comprehensive Annual Financial Report
(CAP)	Comprehensive Attendance Program
(CDT)	Continuing Day Treatment Clinic
(CFE)	Campaign for Fiscal Equity
(CFIA)	Court Facilities Incentive Aid
(CHCCDP)	Community Health Care Conversion Demonstration Project
(CHIPs)	Consolidated Highway Improvement Programs
(CHP)	Child Health Plus
(CMS)	Centers for Medicaid and Medicare Services
(CLCs)	21st Century Community Learning Centers
(CLRN)	Community Legal Resources Network
(COLA)	Cost-of-Living Adjustment
(COPS)	Community Optional Preventative Services
(CPFs)	Community Projects Funds
(CPI)	Consumer Price Index
(CQCAPD)	Commission on Quality Care and Advocacy for Persons with Disabilities
(CRF)	Contingency Reserve Fund
(CSEA)	Civil Service Employees Association
(CSTEP)	Collegiate Science and Technology Entry Program
(CW/CA)	Clean Water/Clean Air
(CWSRF)	Clean Water State Revolving Fund
(CEFAP)	Community Enhancement Facilities Assistance Program
(DBE)	Disadvantaged Business Enterprise
(DHBTF)	Dedicated Highway and Bridge Trust Fund
(DRRF)	Debt Reduction Reserve Fund
(DRP)	Deficit Reduction Plan
(DSFs)	Debt Service Funds
(DWSRF)	Drinking Water Revolving Fund
(EI)	Early Intervention
(EITC)	Earned Income Tax Credit
(EMSC)	Elementary, Middle, Secondary and Continuing Education
(EOCs)	Educational Opportunity Centers
(EOP)	Educational Opportunity Program
(EPF)	Environmental Protection Fund

(EPIC)	Elderly Pharmaceutical Insurance Coverage
(ESCO)	Energy Service Companies
(EXCEL)	Expanding our Children’s Education and Learning
(FCB)	Financial Control Board
(FHP)	Family Health Plus
(FMAP)	Federal Medical Assistance Percentage
(FMP)	Fiscal Management Plan
(FSA)	Financial Security Assurance
(GAAP)	Generally Accepted Accounting Principles
(GASB)	Governmental Accounting Standards Board
(GASB 34)	Governmental Accounting Standards Board Statement 34
(GDP)	Gross Domestic Product
(GHI)	Group Health Insurance
(GME)	Graduate Medical Education
(GOER)	Governor's Office of Employee Relations
(GPHW)	General Public Health Works
(GSCs)	General State Charges
(GSEW)	Graduate Student Employees Union
(HAF)	Housing Assistance Fund
(HCBS)	Home and Community Based Services
(HCRA)	Health Care Reform Act
(HEAL NY)	Health Care Equity and Affordability Law for New Yorkers
(HEAP)	Home Energy Assistance Program
(HELP)	Higher Education Loan Program
(HHC)	Health and Hospital Corporation
(HESC)	Higher Education Services Corporation
(HHAC)	Homeless Housing Assistance Corporation
(HHAP)	Homeless Housing Assistance Program
(HIP)	Health Insurance Plan
(HMO)	Health Maintenance Organization
(HRPT)	Hudson River Park Trust
(HTFC)	Housing Trust Fund Corporation
(IDEA)	Individuals with Disabilities Education Act
(IFP)	Industrial Finance Program
(IPO)	Initial Public Offering
(ITC)	Investment Tax Credit
(LGAC)	Local Government Assistance Corporation
(LIBOR)	London Inter Bank Offered Rates
(LLC)	Limited Liability Company
(MCFFA)	Medical Care Facilities Finance Agency
(MCTD)	Metropolitan Commuter Transportation District
(MMTOA)	Metropolitan Mass Transportation Operating Assistance Fund
(MTOA)	Mass Transportation Operating Assistance Fund
(MOU)	Memorandum of Understanding
(M/WBE)	Minority/Women-Owned Business Enterprises
(NAICS)	North American Industry Classification System
(NBER)	National Bureau of Economic Research
(NPS)	Non-Personal Service
(NTI)	New York State Net Taxable Income

(NYSCOPBRA)	New York State Correctional Officers and Police Benevolent Association
(NYS-OPTS)	New York State Options for People Through Services
(OCA)	Office of Court Administration
(PACB)	Public Authorities Control Board
(PAYGO)	Pay-as-you-go
(PEF)	Public Employees Federation
(PEP)	Professional Education Pool
(PFM)	Public Financial Management
(PIA)	Patient Income Account
(PILOT)	Payment in Lieu of Taxes
(PIT)	Personal Income Tax
(PPA)	Permanent Place of Abode
(PPI)	Petroleum Price Index
(PRAG)	Public Resources Advisory Group
(PSYCKES)	Psychiatric Services and Clinical Knowledge Enhancement System
(PYCs)	Prior Year Claims
(QPAI)	Qualified Production Activity Income
(QCEW)	Quarterly Census of Employment and Wages
(REIT)	Real Estate Investment Fund
(RESCUE)	Rebuilding Schools to Uphold Education
(RIC)	Regulated Investment Company
(RBTF)	Revenue Bond Tax Fund
(SAFETEA-LU)	Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users
(SBE)	Sound Basic Education
(SEIP)	Supplemental Education Improvement Program
(SFSF)	State Fiscal Stabilization Fund
(SHU)	Special Housing Unit
(SIP)	Strategic Investment Program
(SOMTA)	Sex Offender Management Treatment Act
(SPIF)	State Parks Infrastructure Fund
(SRFs)	Special Revenue Funds
(SSI)	Supplemental Security Income
(STAR)	School Tax Relief
(STARC)	State Tax Asset Receivable Corporation
(STEP)	Science and Technology Entry Programs
(ST&I)	Science, Technology, and Innovation
(STIP)	Short-Term Investment Pool
(SWN)	Statewide Wireless Network
(TAG)	Technical Assistance Grant
(TANF)	Temporary Assistance for Needy Families
(TAP)	Tuition Assistance Program
(TAS)	Technical Advisory Service
(TFA)	Transitional Finance Authority
(TMT)	Truck Mileage Tax
(TRANS)	Tax and Revenue Anticipation Notes
(TSA)	Teacher Support Aid
(TSFC)	Tobacco Settlement Financing Corporation
(TSRF)	Tax Stabilization Reserve Fund

(UDC)	Urban Development Corporation
(UUP)	United University Professions
(VCI)	Voluntary Compliance Initiative
(VESID)	Vocational and Educational Services for Individuals with Disabilities
(VLT)	Video Lottery Terminal
(VRDBs)	Variable-Rate Demand Bonds
(WHTI)	Western Hemisphere Travel Initiative
(WMS)	Welfare Management System
(WRP)	Workforce Reduction Plan

## **NEW YORK STATE AGENCIES AND PUBLIC AUTHORITIES**

(CUNY)	City University of New York
(DASNY)	Dormitory Authority of the State of New York
(DCJS)	Division of Criminal Justice Services
(DEC)	Department of Environmental Conservation
(DHCR)	Division of Housing and Community Renewal
(DMNA)	Department of Military and Naval Affairs
(DOB)	Division of the Budget
(DOCS)	Department of Correctional Services
(DOH)	Department of Health
(DOS)	Department of State
(DOT)	Department of Transportation
(DSP)	Division of State Police
(EFC)	Environmental Facilities Corporation
(ERDA)	Energy Research and Development Authority
(ESDC)	Empire State Development Corporation
(HFA)	Housing Finance Agency
(JDA)	Job Development Authority
(LIPA)	Long Island Power Authority
(MAC)	Municipal Assistance Corporation
(MTA)	Metropolitan Transportation Authority
(NYCOMB)	New York City Office of Management and Budget
(NYHELPS)	New York Higher Education Loan Program
(NYRA)	New York Racing Authority
(NYSTAR)	Office of Science, Technology and Academic Research
(OASAS)	Office of Alcoholism and Substance Abuse Services
(OCFS)	Office of Children and Family Services
(OCR)	Department of Transportation's Office of Civil Rights
(OFT)	Office for Technology
(OGS)	Office of General Services
(OMH)	Office of Mental Health
(OMIG)	Office of the Medicaid Inspector General
(OMRDD)	Office of Mental Retardation and Developmental Disabilities
(ORPS)	Office of Real Property Services
(OSC)	Office of the State Comptroller
(OTDA)	Office of Temporary and Disability Assistance
(PASNY)	Power Authority of the State of New York
(SED)	State Education Department
(SONYMA)	State of New York Mortgage Agency
(SUNY)	State University of New York

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**CASH FINANCIAL PLAN  
GENERAL FUND  
2009-2010 through 2012-2013  
(millions of dollars)**

	<u>2009-2010 Enacted</u>	<u>2010-2011 Projected</u>	<u>2011-2012 Projected</u>	<u>2012-2013 Projected</u>
<b>Receipts:</b>				
Taxes:				
Personal income tax	24,404	26,612	27,447	26,625
User taxes and fees	8,520	8,819	9,193	9,469
Business taxes	5,495	5,828	5,925	6,398
Other taxes	982	959	1,015	1,077
Miscellaneous receipts	3,381	3,022	3,017	3,043
Federal grants	0	0	0	0
Transfers from other funds:				
PIT in excess of Revenue Bond debt service	8,130	8,532	8,579	8,110
Sales tax in excess of LGAC debt service	2,200	2,254	2,344	2,463
Real estate taxes in excess of CW/CA debt service	57	147	244	329
All other transfers	1,169	723	684	695
<b>Total receipts</b>	<u>54,338</u>	<u>56,896</u>	<u>58,448</u>	<u>58,209</u>
<b>Disbursements:</b>				
Grants to local governments	37,086	39,664	46,467	50,283
State operations:				
Personal Service	6,465	6,621	6,801	6,870
Non-Personal Service	2,194	2,304	2,374	2,442
General State charges	3,704	4,042	4,344	4,760
Transfers to other funds:				
Debt service	1,783	1,762	1,739	1,725
Capital projects	551	1,162	1,319	1,491
State Share Medicaid	2,362	2,388	2,887	2,888
Other purposes	763	1,079	1,320	1,586
<b>Total disbursements</b>	<u>54,908</u>	<u>59,022</u>	<u>67,251</u>	<u>72,045</u>
<b>Deposit to/(use of) Community Projects Fund</b>	<u>(67)</u>	<u>55</u>	<u>(41)</u>	<u>(92)</u>
<b>Deposit to/(use of) Reserve for Timing Related Delays</b>	<u>(163)</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>Deposit to/(use of) Remaining Prior Year Reserves</b>	<u>(340)</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>General Fund Margin</b>	<u>0</u>	<u>(2,181)</u>	<u>(8,762)</u>	<u>(13,744)</u>
<b>HCRA Operating Surplus</b>	<u>0</u>	<u>15</u>	<u>5</u>	<u>38</u>
<b>Combined General Fund/HCRA Margin</b>	<u>0</u>	<u>(2,166)</u>	<u>(8,757)</u>	<u>(13,706)</u>

**CASH FINANCIAL PLAN  
GENERAL FUND  
2008-2009 and 2009-2010  
(millions of dollars)**

	<u>2008-2009 Year-End*</u>	<u>2009-2010 Enacted</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
<b>Opening fund balance</b>	<u>2,754</u>	<u>1,948</u>	<u>(806)</u>	
<b>Receipts:</b>				
Taxes:				
Personal income tax	23,196	24,404	1,208	5.2%
User taxes and fees	8,361	8,520	159	1.9%
Business taxes	5,556	5,495	(61)	-1.1%
Other taxes	1,188	982	(206)	-17.3%
Miscellaneous receipts	3,105	3,381	276	8.9%
Federal grants	45	0	(45)	-100.0%
Transfers from other funds:				
PIT in excess of Revenue Bond debt service	8,404	8,130	(274)	-3.3%
Sales tax in excess of LGAC debt service	2,195	2,200	5	0.2%
Real estate taxes in excess of CW/CA debt service	352	57	(295)	-83.8%
All other transfers	1,399	1,169	(230)	-16.4%
<b>Total receipts</b>	<u>53,801</u>	<u>54,338</u>	<u>537</u>	<u>1.0%</u>
<b>Disbursements:</b>				
Grants to local governments	37,040	37,086	46	0.1%
State operations:				
Personal Service	6,168	6,465	297	4.8%
Non-Personal Service	2,144	2,194	50	2.3%
General State charges	3,084	3,704	620	20.1%
Transfers to other funds:				
Debt service	1,734	1,783	49	2.8%
Capital projects	473	551	78	16.5%
State Share Medicaid	2,625	2,362	(263)	-10.0%
Other purposes	1,339	763	(576)	-43.0%
<b>Total disbursements</b>	<u>54,607</u>	<u>54,908</u>	<u>301</u>	<u>0.6%</u>
<b>Change in fund balance</b>	<u>(806)</u>	<u>(570)</u>	<u>236</u>	<u>-29.3%</u>
<b>Closing fund balance</b>	<u>1,948</u>	<u>1,378</u>	<u>(570)</u>	<u>-29.3%</u>
<b>Reserves</b>				
Tax Stabilization Reserve Fund	1,031	1,031	0	
Statutory Rainy Day Reserve Fund	175	175	0	
Contingency Reserve Fund	21	21	0	
Community Projects Fund	145	78	(67)	
Debt Reduction Reserve Fund **	73	73	0	
Reserve for Timing Related Delays**	163	0	(163)	
Remaining Reserve for 2009-10 Use**	340	0	(340)	

*\*Unaudited Year-end Results*

*\*\*Reserve Funds that are DOB-designated uses of the Refund Reserve Account.*



**CASH FINANCIAL PLAN  
GENERAL FUND  
2009-2010  
(millions of dollars)**

	<u>Executive</u>	<u>Change</u>	<u>Enacted</u>
<b>Opening fund balance</b>	<u>1,514</u>	<u>434</u>	<u>1,948</u>
<b>Receipts:</b>			
Taxes:			
Personal income tax	22,512	1,892	24,404
User taxes and fees	10,021	(1,501)	8,520
Business taxes	6,084	(589)	5,495
Other taxes	1,048	(66)	982
Miscellaneous receipts	3,806	(425)	3,381
Federal Grants	0	0	0
Transfers from other funds:			
PIT in excess of Revenue Bond debt service	7,472	658	8,130
Sales tax in excess of LGAC debt service	2,634	(434)	2,200
Real estate taxes in excess of CW/CA debt service	443	(386)	57
All other	1,063	106	1,169
<b>Total receipts</b>	<u>55,083</u>	<u>(745)</u>	<u>54,338</u>
<b>Disbursements:</b>			
Grants to local governments	37,357	(271)	37,086
State operations:			
Personal Service	6,288	177	6,465
Non-Personal Service	2,251	(57)	2,194
General State charges	3,545	159	3,704
Transfers to other funds:			
Debt service	1,780	3	1,783
Capital projects	520	31	551
State Share Medicaid	2,732	(370)	2,362
Other purposes	882	(119)	763
<b>Total disbursements</b>	<u>55,355</u>	<u>(447)</u>	<u>54,908</u>
<b>Change in fund balance</b>	<u>(272)</u>	<u>(298)</u>	<u>(570)</u>
<b>Closing fund balance</b>	<u>1,242</u>	<u>136</u>	<u>1,378</u>
<b>Reserves</b>			
Tax Stabilization Reserve Fund	1,031	0	1,031
Statutory Rainy Day Reserve Fund	175	0	175
Contingency Reserve Fund	21	0	21
Community Projects Fund	15	63	78
Debt Reduction Reserve Fund **	0	73	73

*\*\*Reserve Funds that are DOB-designated uses of the Refund Reserve Account.*

**CASH FINANCIAL PLAN  
GENERAL FUND  
2010-2011  
(millions of dollars)**

	<u>Executive</u>	<u>Change</u>	<u>Enacted</u>
<b>Receipts:</b>			
Taxes:			
Personal income tax	24,361	2,251	26,612
User taxes and fees	10,589	(1,770)	8,819
Business taxes	6,236	(408)	5,828
Other taxes	1,096	(137)	959
Miscellaneous receipts	3,189	(167)	3,022
Federal Grants	0	0	0
Transfers from other funds:			
PIT in excess of Revenue Bond debt service	7,770	762	8,532
Sales tax in excess of LGAC debt service	2,793	(539)	2,254
Real estate taxes in excess of CW/CA debt service	541	(394)	147
All other	611	112	723
<b>Total receipts</b>	<u>57,186</u>	<u>(290)</u>	<u>56,896</u>
<b>Disbursements:</b>			
Grants to local governments	39,486	178	39,664
State operations:			
Personal Service	6,626	(5)	6,621
Non-Personal Service	2,319	(15)	2,304
General State charges	3,970	72	4,042
Transfers to other funds:			
Debt service	1,757	5	1,762
Capital projects	1,071	91	1,162
State Share Medicaid	2,716	(328)	2,388
Other purposes	1,226	(147)	1,079
<b>Total disbursements</b>	<u>59,171</u>	<u>(149)</u>	<u>59,022</u>
<b>Deposit to/(use of) Community Projects Fund</b>	<u>0</u>	<u>55</u>	<u>55</u>
<b>Margin*</b>	<u>(1,985)</u>	<u>(196)</u>	<u>(2,181)</u>

\* Excludes HCRA Operating Surplus

**CASH FINANCIAL PLAN  
GENERAL FUND  
2011-2012  
(millions of dollars)**

	<u>Executive</u>	<u>Change</u>	<u>Enacted</u>
<b>Receipts:</b>			
Taxes:			
Personal income tax	25,898	1,549	27,447
User taxes and fees	10,913	(1,720)	9,193
Business taxes	6,405	(480)	5,925
Other taxes	1,154	(139)	1,015
Miscellaneous receipts	3,158	(141)	3,017
Federal Grants	0	0	0
Transfers from other funds:			
PIT in excess of Revenue Bond debt service	8,054	525	8,579
Sales tax in excess of LGAC debt service	2,885	(541)	2,344
Real estate taxes in excess of CW/CA debt service	621	(377)	244
Other	647	37	684
<b>Total receipts</b>	<u>59,735</u>	<u>(1,287)</u>	<u>58,448</u>
<b>Disbursements:</b>			
Grants to local governments	43,452	3,015	46,467
State operations:			
Personal Service	6,817	(16)	6,801
Non-Personal Service	2,405	(31)	2,374
General State charges	4,230	114	4,344
Transfers to other funds:			
Debt service	1,732	7	1,739
Capital projects	1,220	99	1,319
State Share Medicaid	2,710	177	2,887
Other purposes	1,364	(44)	1,320
<b>Total disbursements</b>	<u>63,930</u>	<u>3,321</u>	<u>67,251</u>
<b>Deposit to/(use of) Community Projects Fund</b>	<u>0</u>	<u>(41)</u>	<u>(41)</u>
<b>Margin*</b>	<u>(4,195)</u>	<u>(4,567)</u>	<u>(8,762)</u>

\* Excludes HCRA Operating Surplus

**CASH FINANCIAL PLAN  
GENERAL FUND  
2012-2013  
(millions of dollars)**

	<u>Executive</u>	<u>Change</u>	<u>Enacted</u>
<b>Receipts:</b>			
Taxes:			
Personal income tax	27,724	(1,099)	26,625
User taxes and fees	11,180	(1,711)	9,469
Business taxes	6,805	(407)	6,398
Other taxes	1,221	(144)	1,077
Miscellaneous receipts	2,786	257	3,043
Federal Grants	0	0	0
Transfers from other funds:			
PIT in excess of Revenue Bond debt service	8,462	(352)	8,110
Sales tax in excess of LGAC debt service	3,002	(539)	2,463
Real estate taxes in excess of CW/CA debt service	700	(371)	329
All other	659	36	695
<b>Total receipts</b>	<u>62,539</u>	<u>(4,330)</u>	<u>58,209</u>
<b>Disbursements:</b>			
Grants to local governments	46,759	3,524	50,283
State operations:			
Personal Service	6,908	(38)	6,870
Non-Personal Service	2,477	(35)	2,442
General State charges	4,604	156	4,760
Transfers to other funds:			
Debt service	1,720	5	1,725
Capital projects	1,384	107	1,491
State Share Medicaid	2,712	176	2,888
Other purposes	1,636	(50)	1,586
<b>Total disbursements</b>	<u>68,200</u>	<u>3,845</u>	<u>72,045</u>
<b>Deposit to/(use of) Community Projects Fund</b>	<u>0</u>	<u>(92)</u>	<u>(92)</u>
<b>Margin*</b>	<u>(5,661)</u>	<u>(8,083)</u>	<u>(13,744)</u>

\* Excludes HCRA Operating Surplus

**GENERAL FUND**  
**2009-2010 THROUGH 2012-2013**  
(millions of dollars)

	<u>2009-2010</u> <u>Enacted</u>	<u>2010-2011</u> <u>Projected</u>	<u>2011-2012</u> <u>Projected</u>	<u>2012-2013</u> <u>Projected</u>
<b>Taxes:</b>				
Withholdings	30,626	31,063	32,350	32,949
Estimated Payments	10,193	13,033	13,285	11,945
Final Payments	2,136	2,293	2,459	2,637
Other Payments	1,115	1,169	1,207	1,246
<b>Gross Collections</b>	<u>44,070</u>	<u>47,558</u>	<u>49,301</u>	<u>48,777</u>
State/City Offset	(500)	(538)	(621)	(712)
Refunds	(6,332)	(6,897)	(7,183)	(7,426)
<b>Reported Tax Collections</b>	<u>37,238</u>	<u>40,123</u>	<u>41,497</u>	<u>40,639</u>
STAR (dedicated deposits)	(3,524)	(3,480)	(3,677)	(3,854)
RBTF (dedicated transfers)	(9,310)	(10,031)	(10,373)	(10,160)
<b>Personal income tax</b>	<u>24,404</u>	<u>26,612</u>	<u>27,447</u>	<u>26,625</u>
Sales and use tax	10,389	10,612	11,067	11,554
Cigarette and tobacco taxes	425	421	416	409
Motor fuel tax	0	0	0	0
Motor vehicle fees	19	149	160	67
Alcoholic beverages taxes	235	239	244	249
Highway Use tax	0	0	0	0
Alcoholic beverage control license fees	48	48	48	51
Auto rental tax	0	0	0	0
<b>Gross Utility Taxes and fees</b>	<u>11,116</u>	<u>11,469</u>	<u>11,935</u>	<u>12,330</u>
LGAC Sales Tax (dedicated transfers)	(2,596)	(2,650)	(2,742)	(2,861)
<b>User Taxes and fees</b>	<u>8,520</u>	<u>8,819</u>	<u>9,193</u>	<u>9,469</u>
Corporation franchise tax	2,916	3,211	3,129	3,513
Corporation and utilities tax	729	690	722	754
Insurance taxes	1,171	1,181	1,252	1,332
Bank tax	679	746	822	799
Petroleum business tax	0	0	0	0
<b>Business taxes</b>	<u>5,495</u>	<u>5,828</u>	<u>5,925</u>	<u>6,398</u>
Estate tax	958	935	991	1,053
Real estate transfer tax	375	463	551	631
Gift tax	0	0	0	0
Real property gains tax	0	0	0	0
Pari-mutuel taxes	23	23	23	23
Other taxes	1	1	1	1
<b>Gross Other taxes</b>	<u>1,357</u>	<u>1,422</u>	<u>1,566</u>	<u>1,708</u>
Real estate transfer tax (dedicated)	(375)	(463)	(551)	(631)
<b>Other taxes</b>	<u>982</u>	<u>959</u>	<u>1,015</u>	<u>1,077</u>
<b>Total Taxes</b>	<u>39,401</u>	<u>42,218</u>	<u>43,580</u>	<u>43,569</u>
Licenses, fees, etc.	690	629	632	633
Abandoned property	700	700	700	700
Reimbursements	172	172	172	172
Investment income	155	160	180	200
Other transactions	1,664	1,361	1,333	1,338
<b>Miscellaneous receipts</b>	<u>3,381</u>	<u>3,022</u>	<u>3,017</u>	<u>3,043</u>
<b>Federal grants</b>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>Total</b>	<u>42,782</u>	<u>45,240</u>	<u>46,597</u>	<u>46,612</u>

**CURRENT STATE RECEIPTS  
GENERAL FUND  
2008-2009 and 2009-2010  
(millions of dollars)**

	<b>2008-2009 Year-End*</b>	<b>2009-2010 Enacted</b>	<b>Annual \$ Change</b>	<b>Annual % Change</b>
<b>Taxes:</b>				
Withholdings	27,686	30,626	2,940	10.6%
Estimated Payments	12,690	10,193	(2,497)	-19.7%
Final Payments	2,686	2,136	(550)	-20.5%
Other Payments	949	1,115	166	17.5%
<b>Gross Collections</b>	<b>44,011</b>	<b>44,070</b>	<b>59</b>	<b>0.1%</b>
State/City Offset	(475)	(500)	(25)	5.3%
Refunds	(6,696)	(6,332)	364	-5.4%
<b>Reported Tax Collections</b>	<b>36,840</b>	<b>37,238</b>	<b>398</b>	<b>1.1%</b>
STAR (dedicated deposits)	(4,434)	(3,524)	910	-20.5%
RBTF (dedicated transfers)	(9,210)	(9,310)	(100)	1.1%
<b>Personal income tax</b>	<b>23,196</b>	<b>24,404</b>	<b>1,208</b>	<b>5.2%</b>
Sales and use tax	10,274	10,389	115	1.1%
Cigarette and tobacco taxes	446	425	(21)	-4.7%
Motor fuel tax	0	0	0	--
Motor vehicle fees	(42)	19	61	-145.2%
Alcoholic beverages taxes	206	235	29	14.1%
Highway Use tax	0	0	0	--
Alcoholic beverage control license fees	44	48	4	9.1%
Auto rental tax	0	0	0	--
<b>Gross Utility Taxes and fees</b>	<b>10,928</b>	<b>11,116</b>	<b>188</b>	<b>1.7%</b>
LGAC Sales Tax (dedicated transfers)	(2,567)	(2,596)	(29)	1.1%
<b>User Taxes and fees</b>	<b>8,361</b>	<b>8,520</b>	<b>159</b>	<b>1.9%</b>
Corporation franchise tax	2,755	2,916	161	5.8%
Corporation and utilities tax	654	729	75	11.5%
Insurance taxes	1,086	1,171	85	7.8%
Bank tax	1,061	679	(382)	-36.0%
Petroleum business tax	0	0	0	--
<b>Business taxes</b>	<b>5,556</b>	<b>5,495</b>	<b>(61)</b>	<b>-1.1%</b>
Estate tax	1,163	958	(205)	-17.6%
Real estate transfer tax	701	375	(326)	-46.5%
Gift tax	2	0	(2)	-100.0%
Real property gains tax	0	0	0	--
Pari-mutuel taxes	22	23	1	4.5%
Other taxes	1	1	0	0.0%
<b>Gross Other taxes</b>	<b>1,889</b>	<b>1,357</b>	<b>(532)</b>	<b>-28.2%</b>
Real estate transfer tax (dedicated)	(701)	(375)	326	-46.5%
<b>Other taxes</b>	<b>1,188</b>	<b>982</b>	<b>(206)</b>	<b>-17.3%</b>
<b>Total Taxes</b>	<b>38,301</b>	<b>39,401</b>	<b>1,100</b>	<b>2.9%</b>
Licenses, fees, etc.	1,006	690	(316)	-31.4%
Abandoned property	698	700	2	0.3%
Reimbursements	1,089	172	(917)	-84.2%
Investment income	104	155	51	49.0%
Other transactions	208	1,664	1,456	700.0%
<b>Miscellaneous receipts</b>	<b>3,105</b>	<b>3,381</b>	<b>276</b>	<b>8.9%</b>
<b>Federal grants</b>	<b>45</b>	<b>0</b>	<b>(45)</b>	<b>-100.0%</b>
<b>Total</b>	<b>41,451</b>	<b>42,782</b>	<b>1,331</b>	<b>3.2%</b>

\*Unaudited Year-end Results

**CASH FINANCIAL PLAN  
STATE FUNDS  
2008-2009\*  
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>(MEMO) Total</u>
<b>Opening fund balance</b>	2,754	3,520	(146)	286	6,414
<b>Receipts:</b>					
Taxes	38,301	7,780	2,015	12,241	60,337
Miscellaneous receipts	3,105	12,911	3,022	845	19,883
Federal grants	45	0	0	0	45
<b>Total receipts</b>	<u>41,451</u>	<u>20,691</u>	<u>5,037</u>	<u>13,086</u>	<u>80,265</u>
<b>Disbursements:</b>					
Grants to local governments	37,040	16,944	814	0	54,798
State operations:					
Personal Service	6,168	4,161	0	0	10,329
Non-Personal Service	2,144	2,725	0	56	4,925
General State charges	3,084	1,307	0	0	4,391
Debt service	0	0	0	4,530	4,530
Capital projects	0	9	4,164	0	4,173
<b>Total disbursements</b>	<u>48,436</u>	<u>25,146</u>	<u>4,978</u>	<u>4,586</u>	<u>83,146</u>
<b>Other financing sources (uses):</b>					
Transfers from other funds	12,350	4,562	790	5,976	23,678
Transfers to other funds	(6,171)	(1,156)	(1,369)	(14,464)	(23,160)
Bond and note proceeds	0	0	457	0	457
<b>Net other financing sources (uses)</b>	<u>6,179</u>	<u>3,406</u>	<u>(122)</u>	<u>(8,488)</u>	<u>975</u>
<b>Change in fund balance</b>	<u>(806)</u>	<u>(1,049)</u>	<u>(63)</u>	<u>12</u>	<u>(1,906)</u>
Deposit to/(use of) Community Projects Fund	(195)				
Deposit to/(use of) Prior Year Reserves	(562)				
Deposit to/(use of) Debt Reduction Reserve	(49)				
<b>Closing fund balance</b>	<u>1,948</u>	<u>2,471</u>	<u>(209)</u>	<u>298</u>	<u>4,508</u>

\*Unaudited Year-end Results

**CASH FINANCIAL PLAN  
STATE FUNDS  
2009-2010  
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>(MEMO) Total</u>
<b>Opening fund balance</b>	1,948	2,471	(209)	298	4,508
<b>Receipts:</b>					
Taxes	39,401	7,076	2,088	12,082	60,647
Miscellaneous receipts	3,381	14,076	3,740	830	22,027
Federal grants	0	1	0	0	1
<b>Total receipts</b>	<u>42,782</u>	<u>21,153</u>	<u>5,828</u>	<u>12,912</u>	<u>82,675</u>
<b>Disbursements:</b>					
Grants to local governments	37,086	16,199	331	0	53,616
State operations:					
Personal Service	6,465	4,005	0	0	10,470
Non-Personal Service	2,194	2,888	0	75	5,157
General State charges	3,704	980	0	0	4,684
Debt service	0	0	0	5,143	5,143
Capital projects	0	3	5,584	0	5,587
<b>Total disbursements</b>	<u>49,449</u>	<u>24,075</u>	<u>5,915</u>	<u>5,218</u>	<u>84,657</u>
<b>Other financing sources (uses):</b>					
Transfers from other funds	11,556	3,769	785	6,520	22,630
Transfers to other funds	(5,459)	(1,287)	(1,173)	(14,223)	(22,142)
Bond and note proceeds	0	0	532	0	532
<b>Net other financing sources (uses)</b>	<u>6,097</u>	<u>2,482</u>	<u>144</u>	<u>(7,703)</u>	<u>1,020</u>
<b>Deposit to/(use of) Community Projects Fund</b>	<u>(67)</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>(67)</u>
<b>Deposit to/(use of) Prior Year Reserves</b>	<u>(503)</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>(503)</u>
<b>Change in fund balance</b>	<u>0</u>	<u>(440)</u>	<u>57</u>	<u>(9)</u>	<u>(392)</u>
<b>Closing fund balance</b>	<u>1,378</u>	<u>2,031</u>	<u>(152)</u>	<u>289</u>	<u>3,546</u>



**CASH FINANCIAL PLAN  
STATE FUNDS  
2010-2011  
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>(MEMO) Total</u>
<b>Opening fund balance</b>	0	2,031	(152)	289	2,168
<b>Receipts:</b>					
Taxes	42,218	7,098	2,122	12,945	64,383
Miscellaneous receipts	3,022	14,069	3,590	820	21,501
Federal grants	0	1	0	0	1
<b>Total receipts</b>	<u>45,240</u>	<u>21,168</u>	<u>5,712</u>	<u>13,765</u>	<u>85,885</u>
<b>Disbursements:</b>					
Grants to local governments	39,664	15,985	326	0	55,975
State operations:					
Personal Service	6,621	4,167	0	0	10,788
Non-Personal Service	2,304	2,953	0	75	5,332
General State charges	4,042	1,039	0	0	5,081
Debt service	0	0	0	5,791	5,791
Capital projects	0	2	6,032	0	6,034
<b>Total disbursements</b>	<u>52,631</u>	<u>24,146</u>	<u>6,358</u>	<u>5,866</u>	<u>89,001</u>
<b>Other financing sources (uses):</b>					
Transfers from other funds	11,656	3,874	1,524	6,830	23,884
Transfers to other funds	(6,391)	(1,076)	(1,402)	(14,737)	(23,606)
Bond and note proceeds	0	0	597	0	597
<b>Net other financing sources (uses)</b>	<u>5,265</u>	<u>2,798</u>	<u>719</u>	<u>(7,907)</u>	<u>875</u>
<b>Deposit to/(use of) Community Projects Fund</b>	<u>55</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>55</u>
<b>Change in fund balance</b>	<u>(2,181)</u>	<u>(180)</u>	<u>73</u>	<u>(8)</u>	<u>(2,296)</u>
<b>Closing fund balance</b>	<u>(2,181)</u>	<u>1,851</u>	<u>(79)</u>	<u>281</u>	<u>(128)</u>

**CASH FINANCIAL PLAN  
STATE FUNDS  
2011-2012  
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>(MEMO) Total</u>
<b>Opening fund balance</b>	0	1,851	(79)	281	2,053
<b>Receipts:</b>					
Taxes	43,580	7,342	2,135	13,468	66,525
Miscellaneous receipts	3,017	15,054	3,561	839	22,471
Federal grants	0	1	0	0	1
<b>Total receipts</b>	<u>46,597</u>	<u>22,397</u>	<u>5,696</u>	<u>14,307</u>	<u>88,997</u>
<b>Disbursements:</b>					
Grants to local governments	46,467	17,061	387	0	63,915
State operations:					
Personal Service	6,801	4,551	0	0	11,352
Non-Personal Service	2,374	2,976	0	75	5,425
General State charges	4,344	1,239	0	0	5,583
Debt service	0	0	0	6,183	6,183
Capital projects	0	2	5,986	0	5,988
<b>Total disbursements</b>	<u>59,986</u>	<u>25,829</u>	<u>6,373</u>	<u>6,258</u>	<u>98,446</u>
<b>Other financing sources (uses):</b>					
Transfers from other funds	11,851	4,534	1,749	6,378	24,512
Transfers to other funds	(7,265)	(1,138)	(1,458)	(14,419)	(24,280)
Bond and note proceeds	0	0	454	0	454
<b>Net other financing sources (uses)</b>	<u>4,586</u>	<u>3,396</u>	<u>745</u>	<u>(8,041)</u>	<u>686</u>
<b>Deposit to/(use of) Community Projects Fund</b>	<u>(41)</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>(41)</u>
<b>Change in fund balance</b>	<u>(8,762)</u>	<u>(36)</u>	<u>68</u>	<u>8</u>	<u>(8,722)</u>
<b>Closing fund balance</b>	<u>(8,762)</u>	<u>1,815</u>	<u>(11)</u>	<u>289</u>	<u>(6,669)</u>

**CASH FINANCIAL PLAN  
STATE FUNDS  
2012-2013  
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>(MEMO) Total</u>
<b>Opening fund balance</b>	0	1,815	(11)	289	2,093
<b>Receipts:</b>					
Taxes	43,569	7,580	2,140	13,453	66,742
Miscellaneous receipts	3,043	15,101	2,860	858	21,862
Federal grants	0	1	0	0	1
<b>Total receipts</b>	<u>46,612</u>	<u>22,682</u>	<u>5,000</u>	<u>14,311</u>	<u>88,605</u>
<b>Disbursements:</b>					
Grants to local governments	50,283	17,345	393	0	68,021
State operations:					
Personal Service	6,870	4,565	0	0	11,435
Non-Personal Service	2,442	3,159	0	75	5,676
General State charges	4,760	1,297	0	0	6,057
Debt service	0	0	0	6,549	6,549
Capital projects	0	2	5,133	0	5,135
<b>Total disbursements</b>	<u>64,355</u>	<u>26,368</u>	<u>5,526</u>	<u>6,624</u>	<u>102,873</u>
<b>Other financing sources (uses):</b>					
Transfers from other funds	11,597	4,710	1,708	6,446	24,461
Transfers to other funds	(7,690)	(967)	(1,493)	(14,138)	(24,288)
Bond and note proceeds	0	0	382	0	382
<b>Net other financing sources (uses)</b>	<u>3,907</u>	<u>3,743</u>	<u>597</u>	<u>(7,692)</u>	<u>555</u>
<b>Deposit to/(use of) Community Projects Fund</b>	<u>(92)</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>(92)</u>
<b>Change in fund balance</b>	<u>(13,744)</u>	<u>57</u>	<u>71</u>	<u>(5)</u>	<u>(13,621)</u>
<b>Closing fund balance</b>	<u>(13,744)</u>	<u>1,872</u>	<u>60</u>	<u>284</u>	<u>(11,528)</u>

**CASH FINANCIAL PLAN  
STATE FUNDS  
2008-2009 and 2009-2010  
(millions of dollars)**

	<u>2008-2009 Year-End*</u>	<u>2009-2010 Enacted</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
<b>Opening fund balance</b>	<u>6,414</u>	<u>4,508</u>	<u>(1,906)</u>	
<b>Receipts:</b>				
Taxes	60,337	60,647	310	0.5%
Miscellaneous receipts	19,883	22,027	2,144	10.8%
Federal grants	45	1	(44)	-97.8%
<b>Total receipts</b>	<u>80,265</u>	<u>82,675</u>	<u>2,410</u>	<u>3.0%</u>
<b>Disbursements:</b>				
Grants to local governments	54,798	53,616	(1,182)	-2.2%
State operations:				
Personal Service	10,329	10,470	141	1.4%
Non-Personal Service	4,925	5,157	232	4.7%
General State charges	4,391	4,684	293	6.7%
Debt service	4,530	5,143	613	13.5%
Capital projects	4,173	5,587	1,414	33.9%
<b>Total disbursements</b>	<u>83,146</u>	<u>84,657</u>	<u>1,511</u>	<u>1.8%</u>
<b>Other financing sources (uses):</b>				
Transfers from other funds	23,678	22,630	(1,048)	-4.4%
Transfers to other funds	(23,160)	(22,142)	1,018	-4.4%
Bond and note proceeds	457	532	75	16.4%
<b>Net other financing sources (uses)</b>	<u>975</u>	<u>1,020</u>	<u>45</u>	<u>4.6%</u>
<b>Deposit to/(use of) Community Projects Fund</b>	<u>(195)</u>	<u>(67)</u>	<u>128</u>	
<b>Deposit to/(use of) Prior Year Reserves</b>	<u>(562)</u>	<u>(503)</u>	<u>59</u>	
<b>Deposit to/(use of) Debt Reduction Reserve</b>	<u>(49)</u>	<u>0</u>	<u>49</u>	
<b>Change in fund balance</b>	<u>(1,100)</u>	<u>(392)</u>	<u>708</u>	
<b>Closing fund balance</b>	<u>4,508</u>	<u>3,546</u>	<u>(962)</u>	

\*Unaudited Year-end Results

**CASH FINANCIAL PLAN  
STATE OPERATING FUNDS BUDGET  
2008-2009\*  
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Debt Service Funds</u>	<u>(MEMO) Total</u>
<b>Opening fund balance</b>	<u>2,754</u>	<u>3,520</u>	<u>286</u>	<u>6,560</u>
<b>Receipts:</b>				
Taxes	38,301	7,780	12,241	58,322
Miscellaneous receipts	3,105	12,911	845	16,861
Federal grants	45	0	0	45
<b>Total receipts</b>	<u>41,451</u>	<u>20,691</u>	<u>13,086</u>	<u>75,228</u>
<b>Disbursements:</b>				
Grants to local governments	37,040	16,944	0	53,984
State operations:				
Personal Service	6,168	4,161	0	10,329
Non-Personal Service	2,144	2,725	56	4,925
General State charges	3,084	1,307	0	4,391
Debt service	0	0	4,530	4,530
Capital projects	0	9	0	9
<b>Total disbursements</b>	<u>48,436</u>	<u>25,146</u>	<u>4,586</u>	<u>78,168</u>
<b>Other financing sources (uses):</b>				
Transfers from other funds	12,350	4,562	5,976	22,888
Transfers to other funds	(6,171)	(1,156)	(14,464)	(21,791)
Bond and note proceeds	0	0	0	0
<b>Net other financing sources (uses)</b>	<u>6,179</u>	<u>3,406</u>	<u>(8,488)</u>	<u>1,097</u>
<b>Change in fund balance:</b>	<u>(806)</u>	<u>(1,049)</u>	<u>12</u>	<u>(1,843)</u>
Deposit to/(use of) Community Projects Fund	(195)			
Deposit to/(use of) Prior Year Reserves	(562)			
Deposit to/(use of) Debt Reduction Reserve	(49)			
<b>Closing fund balance</b>	<u>1,948</u>	<u>2,471</u>	<u>298</u>	<u>4,717</u>

*\*Unaudited Year-end Results*

**CASH FINANCIAL PLAN  
STATE OPERATING FUNDS BUDGET  
2009-2010  
(millions of dollars)**

	<b>General Fund</b>	<b>Special Revenue Funds</b>	<b>Debt Service Funds</b>	<b>(MEMO) Total</b>
<b>Opening fund balance</b>	<u>1,948</u>	<u>2,471</u>	<u>298</u>	<u>4,717</u>
<b>Receipts:</b>				
Taxes	39,401	7,076	12,082	58,559
Miscellaneous receipts	3,381	14,076	830	18,287
Federal grants	0	1	0	1
<b>Total receipts</b>	<u>42,782</u>	<u>21,153</u>	<u>12,912</u>	<u>76,847</u>
<b>Disbursements:</b>				
Grants to local governments	37,086	16,199	0	53,285
State operations:				
Personal Service	6,465	4,005	0	10,470
Non-Personal Service	2,194	2,888	75	5,157
General State charges	3,704	980	0	4,684
Debt service	0	0	5,143	5,143
Capital projects	0	3	0	3
<b>Total disbursements</b>	<u>49,449</u>	<u>24,075</u>	<u>5,218</u>	<u>78,742</u>
<b>Other financing sources (uses):</b>				
Transfers from other funds	11,556	3,769	6,520	21,845
Transfers to other funds	(5,459)	(1,287)	(14,223)	(20,969)
Bond and note proceeds	0	0	0	0
<b>Net other financing sources (uses)</b>	<u>6,097</u>	<u>2,482</u>	<u>(7,703)</u>	<u>876</u>
<b>Deposit to/(use of) Community Projects Fund</b>	<u>(67)</u>	<u>0</u>	<u>0</u>	<u>(67)</u>
<b>Deposit to/(use of) Prior Year Reserves</b>	<u>(503)</u>	<u>0</u>	<u>0</u>	<u>(503)</u>
<b>Change in fund balance</b>	<u>0</u>	<u>(440)</u>	<u>(9)</u>	<u>(449)</u>
<b>Closing fund balance</b>	<u>1,378</u>	<u>2,031</u>	<u>289</u>	<u>3,698</u>

**CASH FINANCIAL PLAN**  
**STATE OPERATING FUNDS BUDGET**  
**2010-2011**  
(millions of dollars)

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Debt Service Funds</u>	<u>(MEMO) Total</u>
<b>Opening fund balance</b>	<u>0</u>	<u>2,031</u>	<u>289</u>	<u>2,320</u>
<b>Receipts:</b>				
Taxes	42,218	7,098	12,945	62,261
Miscellaneous receipts	3,022	14,069	820	17,911
Federal grants	0	1	0	1
<b>Total receipts</b>	<u>45,240</u>	<u>21,168</u>	<u>13,765</u>	<u>80,173</u>
<b>Disbursements:</b>				
Grants to local governments	39,664	15,985	0	55,649
State operations:				
Personal Service	6,621	4,167	0	10,788
Non-Personal Service	2,304	2,953	75	5,332
General State charges	4,042	1,039	0	5,081
Debt service	0	0	5,791	5,791
Capital projects	0	2	0	2
<b>Total disbursements</b>	<u>52,631</u>	<u>24,146</u>	<u>5,866</u>	<u>82,643</u>
<b>Other financing sources (uses):</b>				
Transfers from other funds	11,656	3,874	6,830	22,360
Transfers to other funds	(6,391)	(1,076)	(14,737)	(22,204)
Bond and note proceeds	0	0	0	0
<b>Net other financing sources (uses)</b>	<u>5,265</u>	<u>2,798</u>	<u>(7,907)</u>	<u>156</u>
<b>Deposit to/(use of) Community Projects Fund</b>	<u>55</u>	<u>0</u>	<u>0</u>	<u>55</u>
<b>Change in fund balance</b>	<u>(2,181)</u>	<u>(180)</u>	<u>(8)</u>	<u>(2,369)</u>
<b>Closing fund balance</b>	<u>(2,181)</u>	<u>1,851</u>	<u>281</u>	<u>(49)</u>

**CASH FINANCIAL PLAN  
STATE OPERATING FUNDS BUDGET  
2011-2012  
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Debt Service Funds</u>	<u>(MEMO) Total</u>
<b>Opening fund balance</b>	<u>0</u>	<u>1,851</u>	<u>281</u>	<u>2,132</u>
<b>Receipts:</b>				
Taxes	43,580	7,342	13,468	64,390
Miscellaneous receipts	3,017	15,054	839	18,910
Federal grants	0	1	0	1
<b>Total receipts</b>	<u>46,597</u>	<u>22,397</u>	<u>14,307</u>	<u>83,301</u>
<b>Disbursements:</b>				
Grants to local governments	46,467	17,061	0	63,528
State operations:				
Personal Service	6,801	4,551	0	11,352
Non-Personal Service	2,374	2,976	75	5,425
General State charges	4,344	1,239	0	5,583
Debt service	0	0	6,183	6,183
Capital projects	0	2	0	2
<b>Total disbursements</b>	<u>59,986</u>	<u>25,829</u>	<u>6,258</u>	<u>92,073</u>
<b>Other financing sources (uses):</b>				
Transfers from other funds	11,851	4,534	6,378	22,763
Transfers to other funds	(7,265)	(1,138)	(14,419)	(22,822)
Bond and note proceeds	0	0	0	0
<b>Net other financing sources (uses)</b>	<u>4,586</u>	<u>3,396</u>	<u>(8,041)</u>	<u>(59)</u>
<b>Deposit to/(use of) Community Projects Fund</b>	<u>(41)</u>	<u>0</u>	<u>0</u>	<u>(41)</u>
<b>Change in fund balance</b>	<u>(8,762)</u>	<u>(36)</u>	<u>8</u>	<u>(8,790)</u>
<b>Closing fund balance</b>	<u>(8,762)</u>	<u>1,815</u>	<u>289</u>	<u>(6,658)</u>



**CASH FINANCIAL PLAN  
STATE OPERATING FUNDS BUDGET  
2012-2013  
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Debt Service Funds</u>	<u>(MEMO) Total</u>
<b>Opening fund balance</b>	<u>0</u>	<u>1,815</u>	<u>289</u>	<u>2,104</u>
<b>Receipts:</b>				
Taxes	43,569	7,580	13,453	64,602
Miscellaneous receipts	3,043	15,101	858	19,002
Federal grants	0	1	0	1
<b>Total receipts</b>	<u>46,612</u>	<u>22,682</u>	<u>14,311</u>	<u>83,605</u>
<b>Disbursements:</b>				
Grants to local governments	50,283	17,345	0	67,628
State operations:				
Personal Service	6,870	4,565	0	11,435
Non-Personal Service	2,442	3,159	75	5,676
General State charges	4,760	1,297	0	6,057
Debt service	0	0	6,549	6,549
Capital projects	0	2	0	2
<b>Total disbursements</b>	<u>64,355</u>	<u>26,368</u>	<u>6,624</u>	<u>97,347</u>
<b>Other financing sources (uses):</b>				
Transfers from other funds	11,597	4,710	6,446	22,753
Transfers to other funds	(7,690)	(967)	(14,138)	(22,795)
Bond and note proceeds	0	0	0	0
<b>Net other financing sources (uses)</b>	<u>3,907</u>	<u>3,743</u>	<u>(7,692)</u>	<u>(42)</u>
<b>Deposit to/(use of) Community Projects Fund</b>	<u>(92)</u>	<u>0</u>	<u>0</u>	<u>(92)</u>
<b>Change in fund balance</b>	<u>(13,744)</u>	<u>57</u>	<u>(5)</u>	<u>(13,692)</u>
<b>Closing fund balance</b>	<u>(13,744)</u>	<u>1,872</u>	<u>284</u>	<u>(11,588)</u>

**CASH FINANCIAL PLAN  
STATE OPERATING FUNDS BUDGET  
2008-2009 and 2009-2010  
(millions of dollars)**

	<u>2008-2009 Year-End*</u>	<u>2009-2010 Enacted</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
<b>Opening fund balance</b>	<u>6,560</u>	<u>4,717</u>	<u>(1,843)</u>	
<b>Receipts:</b>				
Taxes	58,322	58,559	237	0.4%
Miscellaneous receipts	16,861	18,287	1,426	8.5%
Federal grants	45	1	(44)	-97.8%
<b>Total receipts</b>	<u>75,228</u>	<u>76,847</u>	<u>1,619</u>	<u>2.2%</u>
<b>Disbursements:</b>				
Grants to local governments	53,984	53,285	(699)	-1.3%
State operations:				
Personal Service	10,329	10,470	141	1.4%
Non-Personal Service	4,925	5,157	232	4.7%
General State charges	4,391	4,684	293	6.7%
Debt service	4,530	5,143	613	13.5%
Capital projects	9	3	(6)	-66.7%
<b>Total disbursements</b>	<u>78,168</u>	<u>78,742</u>	<u>574</u>	<u>0.7%</u>
<b>Other financing sources (uses):</b>				
Transfers from other funds	22,888	21,845	(1,043)	-4.6%
Transfers to other funds	(21,791)	(20,969)	822	-3.8%
Bond and note proceeds	0	0	0	--
<b>Net other financing sources (uses)</b>	<u>1,097</u>	<u>876</u>	<u>(221)</u>	<u>-20.1%</u>
<b>Deposit to/(use of) Community Projects Fund</b>	<u>(195)</u>	<u>(67)</u>	<u>128</u>	
<b>Deposit to/(use of) Prior Year Reserves</b>	<u>(562)</u>	<u>(503)</u>	<u>59</u>	
<b>Deposit to/(use of) Debt Reduction Reserve</b>	<u>(49)</u>	<u>0</u>	<u>49</u>	
<b>Change in fund balance</b>	<u>(1,037)</u>	<u>(449)</u>	<u>588</u>	
<b>Closing fund balance</b>	<u>4,717</u>	<u>3,698</u>	<u>(1,019)</u>	

\*Unaudited Year-end Results

**CASH FINANCIAL PLAN  
ALL GOVERNMENTAL FUNDS  
2008-2009\*  
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>(MEMO) Total</u>
<b>Opening fund balance</b>	2,754	3,879	(433)	286	6,486
<b>Receipts:</b>					
Taxes	38,301	7,780	2,015	12,241	60,337
Miscellaneous receipts	3,105	13,089	3,025	845	20,064
Federal grants	45	36,907	1,882	0	38,834
<b>Total receipts</b>	<u>41,451</u>	<u>57,776</u>	<u>6,922</u>	<u>13,086</u>	<u>119,235</u>
<b>Disbursements:</b>					
Grants to local governments	37,040	48,871	1,356	0	87,267
State operations:					
Personal Service	6,168	6,441	0	0	12,609
Non-Personal Service	2,144	4,157	0	56	6,357
General State charges	3,084	2,241	0	0	5,325
Debt service	0	0	0	4,530	4,530
Capital projects	0	9	5,474	0	5,483
<b>Total disbursements</b>	<u>48,436</u>	<u>61,719</u>	<u>6,830</u>	<u>4,586</u>	<u>121,571</u>
<b>Other financing sources (uses):</b>					
Transfers from other funds	12,350	7,308	790	5,976	26,424
Transfers to other funds	(6,171)	(4,397)	(1,413)	(14,464)	(26,445)
Bond and note proceeds	0	0	457	0	457
<b>Net other financing sources (uses)</b>	<u>6,179</u>	<u>2,911</u>	<u>(166)</u>	<u>(8,488)</u>	<u>436</u>
<b>Change in fund balance</b>	<u>(806)</u>	<u>(1,032)</u>	<u>(74)</u>	<u>12</u>	<u>(1,900)</u>
Deposit to/(use of) Community Projects Fund	(195)				
Deposit to/(use of) Prior Year Reserves	(562)				
Deposit to/(use of) Debt Reduction Reserve	(49)				
<b>Closing fund balance</b>	<u>1,948</u>	<u>2,847</u>	<u>(507)</u>	<u>298</u>	<u>4,586</u>

\*Unaudited Year-end Results

**CASH FINANCIAL PLAN  
ALL GOVERNMENTAL FUNDS  
2009-2010  
(millions of dollars)**

	<b>General Fund</b>	<b>Special Revenue Funds</b>	<b>Capital Projects Funds</b>	<b>Debt Service Funds</b>	<b>(MEMO) Total</b>
<b>Opening fund balance</b>	<u>1,948</u>	<u>2,847</u>	<u>(507)</u>	<u>298</u>	<u>4,586</u>
<b>Receipts:</b>					
Taxes	39,401	7,076	2,088	12,082	60,647
Miscellaneous receipts	3,381	14,234	3,740	830	22,185
Federal grants	0	44,779	2,939	0	47,718
<b>Total receipts</b>	<u>42,782</u>	<u>66,089</u>	<u>8,767</u>	<u>12,912</u>	<u>130,550</u>
<b>Disbursements:</b>					
Grants to local governments	37,086	55,245	860	0	93,191
State operations:					
Personal Service	6,465	6,494	0	0	12,959
Non-Personal Service	2,194	4,683	0	75	6,952
General State charges	3,704	2,011	0	0	5,715
Debt service	0	0	0	5,143	5,143
Capital projects	0	3	7,972	0	7,975
<b>Total disbursements</b>	<u>49,449</u>	<u>68,436</u>	<u>8,832</u>	<u>5,218</u>	<u>131,935</u>
<b>Other financing sources (uses):</b>					
Transfers from other funds	11,556	6,841	785	6,520	25,702
Transfers to other funds	(5,459)	(4,845)	(1,187)	(14,223)	(25,714)
Bond and note proceeds	0	0	532	0	532
<b>Net other financing sources (uses)</b>	<u>6,097</u>	<u>1,996</u>	<u>130</u>	<u>(7,703)</u>	<u>520</u>
<b>Deposit to/(use of) Community Projects Fund</b>	<u>(67)</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>(67)</u>
<b>Deposit to/(use of) Prior Year Reserves</b>	<u>(503)</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>(503)</u>
<b>Change in fund balance</b>	<u>0</u>	<u>(351)</u>	<u>65</u>	<u>(9)</u>	<u>(295)</u>
<b>Closing fund balance</b>	<u>1,378</u>	<u>2,496</u>	<u>(442)</u>	<u>289</u>	<u>3,721</u>

**CASH FINANCIAL PLAN  
ALL GOVERNMENTAL FUNDS  
2010-2011  
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>(MEMO) Total</u>
<b>Opening fund balance</b>	0	2,496	(442)	289	2,343
<b>Receipts:</b>					
Taxes	42,218	7,098	2,122	12,945	64,383
Miscellaneous receipts	3,022	14,221	3,590	820	21,653
Federal grants	0	45,448	3,070	0	48,518
<b>Total receipts</b>	<u>45,240</u>	<u>66,767</u>	<u>8,782</u>	<u>13,765</u>	<u>134,554</u>
<b>Disbursements:</b>					
Grants to local governments	39,664	55,844	855	0	96,363
State operations:					
Personal Service	6,621	6,707	0	0	13,328
Non-Personal Service	2,304	4,626	0	75	7,005
General State charges	4,042	2,119	0	0	6,161
Debt service	0	0	0	5,791	5,791
Capital projects	0	2	8,525	0	8,527
<b>Total disbursements</b>	<u>52,631</u>	<u>69,298</u>	<u>9,380</u>	<u>5,866</u>	<u>137,175</u>
<b>Other financing sources (uses):</b>					
Transfers from other funds	11,656	7,136	1,524	6,830	27,146
Transfers to other funds	(6,391)	(4,637)	(1,416)	(14,737)	(27,181)
Bond and note proceeds	0	0	597	0	597
<b>Net other financing sources (uses)</b>	<u>5,265</u>	<u>2,499</u>	<u>705</u>	<u>(7,907)</u>	<u>562</u>
<b>Deposit to/(use of) Community Projects Fund</b>	<u>55</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>55</u>
<b>Change in fund balance</b>	<u>(2,181)</u>	<u>(32)</u>	<u>107</u>	<u>(8)</u>	<u>(2,114)</u>
<b>Closing fund balance</b>	<u>(2,181)</u>	<u>2,464</u>	<u>(335)</u>	<u>281</u>	<u>229</u>

**CASH FINANCIAL PLAN  
ALL GOVERNMENTAL FUNDS  
2011-2012  
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>(MEMO) Total</u>
<b>Opening fund balance</b>	0	2,464	(335)	281	2,410
<b>Receipts:</b>					
Taxes	43,580	7,342	2,135	13,468	66,525
Miscellaneous receipts	3,017	15,157	3,561	839	22,574
Federal grants	0	40,426	2,677	0	43,103
<b>Total receipts</b>	<u>46,597</u>	<u>62,925</u>	<u>8,373</u>	<u>14,307</u>	<u>132,202</u>
<b>Disbursements:</b>					
Grants to local governments	46,467	52,440	916	0	99,823
State operations:					
Personal Service	6,801	6,736	0	0	13,537
Non-Personal Service	2,374	4,608	0	75	7,057
General State charges	4,344	2,174	0	0	6,518
Debt service	0	0	0	6,183	6,183
Capital projects	0	2	8,086	0	8,088
<b>Total disbursements</b>	<u>59,986</u>	<u>65,960</u>	<u>9,002</u>	<u>6,258</u>	<u>141,206</u>
<b>Other financing sources (uses):</b>					
Transfers from other funds	11,851	7,323	1,749	6,378	27,301
Transfers to other funds	(7,265)	(4,183)	(1,472)	(14,419)	(27,339)
Bond and note proceeds	0	0	454	0	454
<b>Net other financing sources (uses)</b>	<u>4,586</u>	<u>3,140</u>	<u>731</u>	<u>(8,041)</u>	<u>416</u>
<b>Deposit to/(use of) Community Projects Fund</b>	<u>(41)</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>(41)</u>
<b>Change in fund balance</b>	<u>(8,762)</u>	<u>105</u>	<u>102</u>	<u>8</u>	<u>(8,547)</u>
<b>Closing fund balance</b>	<u>(8,762)</u>	<u>2,569</u>	<u>(233)</u>	<u>289</u>	<u>(6,137)</u>

**CASH FINANCIAL PLAN  
ALL GOVERNMENTAL FUNDS  
2012-2013  
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>(MEMO) Total</u>
<b>Opening fund balance</b>	0	2,569	(233)	289	2,625
<b>Receipts:</b>					
Taxes	43,569	7,580	2,140	13,453	66,742
Miscellaneous receipts	3,043	15,204	2,860	858	21,965
Federal grants	0	39,954	2,443	0	42,397
<b>Total receipts</b>	<u>46,612</u>	<u>62,738</u>	<u>7,443</u>	<u>14,311</u>	<u>131,104</u>
<b>Disbursements:</b>					
Grants to local governments	50,283	52,267	922	0	103,472
State operations:					
Personal Service	6,870	6,760	0	0	13,630
Non-Personal Service	2,442	4,794	0	75	7,311
General State charges	4,760	2,296	0	0	7,056
Debt service	0	0	0	6,549	6,549
Capital projects	0	2	7,000	0	7,002
<b>Total disbursements</b>	<u>64,355</u>	<u>66,119</u>	<u>7,922</u>	<u>6,624</u>	<u>145,020</u>
<b>Other financing sources (uses):</b>					
Transfers from other funds	11,597	7,589	1,708	6,446	27,340
Transfers to other funds	(7,690)	(4,014)	(1,507)	(14,138)	(27,349)
Bond and note proceeds	0	0	382	0	382
<b>Net other financing sources (uses)</b>	<u>3,907</u>	<u>3,575</u>	<u>583</u>	<u>(7,692)</u>	<u>373</u>
<b>Deposit to/(use of) Community Projects Fund</b>	<u>(92)</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>(92)</u>
<b>Change in fund balance</b>	<u>(13,744)</u>	<u>194</u>	<u>104</u>	<u>(5)</u>	<u>(13,451)</u>
<b>Closing fund balance</b>	<u>(13,744)</u>	<u>2,763</u>	<u>(129)</u>	<u>284</u>	<u>(10,826)</u>

**CASH FINANCIAL PLAN  
ALL GOVERNMENTAL FUNDS  
2008-2009 and 2009-2010  
(millions of dollars)**

	<u>2008-2009</u> <u>Year-End*</u>	<u>2009-2010</u> <u>Enacted</u>	<u>Annual</u> <u>\$ Change</u>	<u>Annual</u> <u>% Change</u>
<b>Opening fund balance</b>	<u>6,486</u>	<u>4,586</u>	<u>(1,900)</u>	
<b>Receipts:</b>				
Taxes	60,337	60,647	310	0.5%
Miscellaneous receipts	20,064	22,185	2,121	10.6%
Federal grants	38,834	47,718	8,884	22.9%
<b>Total receipts</b>	<u>119,235</u>	<u>130,550</u>	<u>11,315</u>	<u>9.5%</u>
<b>Disbursements:</b>				
Grants to local governments	87,267	93,191	5,924	6.8%
State operations:				
Personal Service	12,609	12,959	350	2.8%
Non-Personal Service	6,357	6,952	595	9.4%
General State charges	5,325	5,715	390	7.3%
Debt service	4,530	5,143	613	13.5%
Capital projects	5,483	7,975	2,492	45.4%
<b>Total disbursements</b>	<u>121,571</u>	<u>131,935</u>	<u>10,364</u>	<u>8.5%</u>
<b>Other financing sources (uses):</b>				
Transfers from other funds	26,424	25,702	(722)	-2.7%
Transfers to other funds	(26,445)	(25,714)	731	-2.8%
Bond and note proceeds	457	532	75	16.4%
<b>Net other financing sources (uses)</b>	<u>436</u>	<u>520</u>	<u>84</u>	<u>19.3%</u>
<b>Deposit to/(use of) Community Projects Fund</b>	<u>(195)</u>	<u>(67)</u>	<u>128</u>	
<b>Deposit to/(use of) Prior Year Reserves</b>	<u>(562)</u>	<u>(503)</u>	<u>59</u>	
<b>Deposit to/(use of) Debt Reduction Reserve</b>	<u>(49)</u>	<u>0</u>	<u>49</u>	
<b>Change in fund balance</b>	<u>(1,094)</u>	<u>(295)</u>	<u>799</u>	
<b>Closing fund balance</b>	<u>4,586</u>	<u>3,721</u>	<u>(865)</u>	

*\*Unaudited Year-end Results*



**CASH RECEIPTS  
ALL GOVERNMENTAL FUNDS  
2008-2009\*  
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>Total</u>
<b>Taxes:</b>					
Withholdings	27,686	0	0	0	27,686
Estimated Payments	12,690	0	0	0	12,690
Final Payments	2,686	0	0	0	2,686
Other Payments	949	0	0	0	949
<b>Gross Collections</b>	<u>44,011</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>44,011</u>
State/City Offset	(475)	0	0	0	(475)
Refunds	(6,696)	0	0	0	(6,696)
<b>Reported Tax Collections</b>	<u>36,840</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>36,840</u>
STAR (dedicated deposits)	(4,434)	4,434	0	0	0
RBTF (dedicated transfers)	(9,210)	0	0	9,210	0
<b>Personal income tax</b>	<u>23,196</u>	<u>4,434</u>	<u>0</u>	<u>9,210</u>	<u>36,840</u>
Sales and use tax	10,274	711	0	0	10,985
Cigarette and tobacco taxes	446	894	0	0	1,340
Motor fuel tax	0	106	398	0	504
Motor vehicle fees	(42)	218	547	0	723
Alcoholic beverages taxes	206	0	0	0	206
Highway Use tax	0	0	141	0	141
Alcoholic beverage control license fees	44	0	0	0	44
Auto rental tax	0	0	61	0	61
<b>Gross Utility Taxes and fees</b>	<u>10,928</u>	<u>1,929</u>	<u>1,147</u>	<u>0</u>	<u>14,004</u>
LGAC Sales Tax (dedicated transfers)	(2,567)	0	0	2,567	0
<b>User Taxes and fees</b>	<u>8,361</u>	<u>1,929</u>	<u>1,147</u>	<u>2,567</u>	<u>14,004</u>
Corporation franchise tax	2,755	466	0	0	3,221
Corporation and utilities tax	654	191	18	0	863
Insurance taxes	1,086	95	0	0	1,181
Bank tax	1,061	172	0	0	1,233
Petroleum business tax	0	493	613	0	1,106
<b>Business taxes</b>	<u>5,556</u>	<u>1,417</u>	<u>631</u>	<u>0</u>	<u>7,604</u>
Estate tax	1,163	0	0	0	1,163
Real estate transfer tax	701	0	0	0	701
Gift tax	2	0	0	0	2
Real property gains tax	0	0	0	0	0
Pari-mutuel taxes	22	0	0	0	22
Other taxes	1	0	0	0	1
<b>Gross Other taxes</b>	<u>1,889</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>1,889</u>
Real estate transfer tax (dedicated)	(701)	0	237	464	0
<b>Other taxes</b>	<u>1,188</u>	<u>0</u>	<u>237</u>	<u>464</u>	<u>1,889</u>
<b>Total Taxes</b>	<u>38,301</u>	<u>7,780</u>	<u>2,015</u>	<u>12,241</u>	<u>60,337</u>
Licenses, fees, etc.	1,006	0	0	0	1,006
Abandoned property	698	0	0	0	698
Reimbursements	1,089	0	0	0	1,089
Investment income	104	0	0	0	104
Other transactions	208	13,089	3,025	845	17,167
<b>Miscellaneous receipts</b>	<u>3,105</u>	<u>13,089</u>	<u>3,025</u>	<u>845</u>	<u>20,064</u>
<b>Federal grants</b>	<u>45</u>	<u>36,907</u>	<u>1,882</u>	<u>0</u>	<u>38,834</u>
<b>Total</b>	<u>41,451</u>	<u>57,776</u>	<u>6,922</u>	<u>13,086</u>	<u>119,235</u>

\*Unaudited Year-end Results

**CASH RECEIPTS  
ALL GOVERNMENTAL FUNDS  
2009-2010  
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>Total</u>
<b>Taxes:</b>					
Withholdings	30,626	0	0	0	30,626
Estimated Payments	10,193	0	0	0	10,193
Final Payments	2,136	0	0	0	2,136
Other Payments	1,115	0	0	0	1,115
<b>Gross Collections</b>	<u>44,070</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>44,070</u>
State/City Offset	(500)	0	0	0	(500)
Refunds	(6,332)	0	0	0	(6,332)
<b>Reported Tax Collections</b>	<u>37,238</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>37,238</u>
STAR (dedicated deposits)	(3,524)	3,525	0	0	1
RBTF (dedicated transfers)	(9,310)	0	0	9,310	0
<b>Personal income tax</b>	<u>24,404</u>	<u>3,525</u>	<u>0</u>	<u>9,310</u>	<u>37,239</u>
Sales and use tax	10,389	758	0	0	11,147
Cigarette and tobacco taxes	425	906	0	0	1,331
Motor fuel tax	0	109	411	0	520
Motor vehicle fees	19	234	623	0	876
Alcoholic beverages taxes	235	0	0	0	235
Highway Use tax	0	0	155	0	155
Alcoholic beverage control license fees	48	0	0	0	48
Auto rental tax	0	0	63	0	63
<b>Gross Utility Taxes and fees</b>	<u>11,116</u>	<u>2,007</u>	<u>1,252</u>	<u>0</u>	<u>14,375</u>
LGAC Sales Tax (dedicated transfers)	(2,596)	0	0	2,596	0
<b>User Taxes and fees</b>	<u>8,520</u>	<u>2,007</u>	<u>1,252</u>	<u>2,596</u>	<u>14,375</u>
Corporation franchise tax	2,916	458	0	0	3,374
Corporation and utilities tax	729	209	17	0	955
Insurance taxes	1,171	263	0	0	1,434
Bank tax	679	114	0	0	793
Petroleum business tax	0	500	620	0	1,120
<b>Business taxes</b>	<u>5,495</u>	<u>1,544</u>	<u>637</u>	<u>0</u>	<u>7,676</u>
Estate tax	958	0	0	0	958
Real estate transfer tax	375	0	0	0	375
Gift tax	0	0	0	0	0
Real property gains tax	0	0	0	0	0
Pari-mutuel taxes	23	0	0	0	23
Other taxes	1	0	0	0	1
<b>Gross Other taxes</b>	<u>1,357</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>1,357</u>
Real estate transfer tax (dedicated)	(375)	0	199	176	0
<b>Other taxes</b>	<u>982</u>	<u>0</u>	<u>199</u>	<u>176</u>	<u>1,357</u>
<b>Total Taxes</b>	<u>39,401</u>	<u>7,076</u>	<u>2,088</u>	<u>12,082</u>	<u>60,647</u>
Licenses, fees, etc.	690	0	0	0	690
Abandoned property	700	0	0	0	700
Reimbursements	172	0	0	0	172
Investment income	155	0	0	0	155
Other transactions	1,664	14,234	3,740	830	20,468
<b>Miscellaneous receipts</b>	<u>3,381</u>	<u>14,234</u>	<u>3,740</u>	<u>830</u>	<u>22,185</u>
<b>Federal grants</b>	<u>0</u>	<u>44,779</u>	<u>2,939</u>	<u>0</u>	<u>47,718</u>
<b>Total</b>	<u>42,782</u>	<u>66,089</u>	<u>8,767</u>	<u>12,912</u>	<u>130,550</u>

**CASH RECEIPTS  
ALL GOVERNMENTAL FUNDS  
2010-2011  
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>Total</u>
<b>Taxes:</b>					
Withholdings	31,063	0	0	0	31,063
Estimated Payments	13,033	0	0	0	13,033
Final Payments	2,293	0	0	0	2,293
Other Payments	1,169	0	0	0	1,169
<b>Gross Collections</b>	<u>47,558</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>47,558</u>
State/City Offset	(538)	0	0	0	(538)
Refunds	(6,897)	0	0	0	(6,897)
<b>Reported Tax Collections</b>	<u>40,123</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>40,123</u>
STAR (dedicated deposits)	(3,480)	3,480	0	0	0
RBTF (dedicated transfers)	(10,031)	0	0	10,031	0
<b>Personal income tax</b>	<u>26,612</u>	<u>3,480</u>	<u>0</u>	<u>10,031</u>	<u>40,123</u>
Sales and use tax	10,612	774	0	0	11,386
Cigarette and tobacco taxes	421	903	0	0	1,324
Motor fuel tax	0	110	413	0	523
Motor vehicle fees	149	233	676	0	1,058
Alcoholic beverages taxes	239	0	0	0	239
Highway Use tax	0	0	149	0	149
Alcoholic beverage control license fees	48	0	0	0	48
Auto rental tax	0	0	66	0	66
<b>Gross Utility Taxes and fees</b>	<u>11,469</u>	<u>2,020</u>	<u>1,304</u>	<u>0</u>	<u>14,793</u>
LGAC Sales Tax (dedicated transfers)	(2,650)	0	0	2,650	0
<b>User Taxes and fees</b>	<u>8,819</u>	<u>2,020</u>	<u>1,304</u>	<u>2,650</u>	<u>14,793</u>
Corporation franchise tax	3,211	493	0	0	3,704
Corporation and utilities tax	690	198	17	0	905
Insurance taxes	1,181	290	0	0	1,471
Bank tax	746	132	0	0	878
Petroleum business tax	0	485	602	0	1,087
<b>Business taxes</b>	<u>5,828</u>	<u>1,598</u>	<u>619</u>	<u>0</u>	<u>8,045</u>
Estate tax	935	0	0	0	935
Real estate transfer tax	463	0	0	0	463
Gift tax	0	0	0	0	0
Real property gains tax	0	0	0	0	0
Pari-mutuel taxes	23	0	0	0	23
Other taxes	1	0	0	0	1
<b>Gross Other taxes</b>	<u>1,422</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>1,422</u>
Real estate transfer tax (dedicated)	(463)	0	199	264	0
<b>Other taxes</b>	<u>959</u>	<u>0</u>	<u>199</u>	<u>264</u>	<u>1,422</u>
<b>Total Taxes</b>	<u>42,218</u>	<u>7,098</u>	<u>2,122</u>	<u>12,945</u>	<u>64,383</u>
Licenses, fees, etc.	629	0	0	0	629
Abandoned property	700	0	0	0	700
Reimbursements	172	0	0	0	172
Investment income	160	0	0	0	160
Other transactions	1,361	14,221	3,590	820	19,992
<b>Miscellaneous receipts</b>	<u>3,022</u>	<u>14,221</u>	<u>3,590</u>	<u>820</u>	<u>21,653</u>
<b>Federal grants</b>	<u>0</u>	<u>45,448</u>	<u>3,070</u>	<u>0</u>	<u>48,518</u>
<b>Total</b>	<u>45,240</u>	<u>66,767</u>	<u>8,782</u>	<u>13,765</u>	<u>134,554</u>

**CASH RECEIPTS  
ALL GOVERNMENTAL FUNDS  
2011-2012  
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>Total</u>
<b>Taxes:</b>					
Withholdings	32,350	0	0	0	32,350
Estimated Payments	13,285	0	0	0	13,285
Final Payments	2,459	0	0	0	2,459
Other Payments	1,207	0	0	0	1,207
<b>Gross Collections</b>	<u>49,301</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>49,301</u>
State/City Offset	(621)	0	0	0	(621)
Refunds	(7,183)	0	0	0	(7,183)
<b>Reported Tax Collections</b>	<u>41,497</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>41,497</u>
STAR (dedicated deposits)	(3,677)	3,677	0	0	0
RBTF (dedicated transfers)	(10,373)	0	0	10,374	1
<b>Personal income tax</b>	<u>27,447</u>	<u>3,677</u>	<u>0</u>	<u>10,374</u>	<u>41,498</u>
Sales and use tax	11,067	797	0	0	11,864
Cigarette and tobacco taxes	416	891	0	0	1,307
Motor fuel tax	0	110	415	0	525
Motor vehicle fees	160	235	679	0	1,074
Alcoholic beverages taxes	244	0	0	0	244
Highway Use tax	0	0	155	0	155
Alcoholic beverage control license fees	48	0	0	0	48
Auto rental tax	0	0	67	0	67
<b>Gross Utility Taxes and fees</b>	<u>11,935</u>	<u>2,033</u>	<u>1,316</u>	<u>0</u>	<u>15,284</u>
LGAC Sales Tax (dedicated transfers)	(2,742)	0	0	2,742	0
<b>User Taxes and fees</b>	<u>9,193</u>	<u>2,033</u>	<u>1,316</u>	<u>2,742</u>	<u>15,284</u>
Corporation franchise tax	3,129	499	0	0	3,628
Corporation and utilities tax	722	203	17	0	942
Insurance taxes	1,252	298	0	0	1,550
Bank tax	822	145	0	0	967
Petroleum business tax	0	487	603	0	1,090
<b>Business taxes</b>	<u>5,925</u>	<u>1,632</u>	<u>620</u>	<u>0</u>	<u>8,177</u>
Estate tax	991	0	0	0	991
Real estate transfer tax	551	0	0	0	551
Gift tax	0	0	0	0	0
Real property gains tax	0	0	0	0	0
Pari-mutuel taxes	23	0	0	0	23
Other taxes	1	0	0	0	1
<b>Gross Other taxes</b>	<u>1,566</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>1,566</u>
Real estate transfer tax (dedicated)	(551)	0	199	352	0
<b>Other taxes</b>	<u>1,015</u>	<u>0</u>	<u>199</u>	<u>352</u>	<u>1,566</u>
<b>Total Taxes</b>	<u>43,580</u>	<u>7,342</u>	<u>2,135</u>	<u>13,468</u>	<u>66,525</u>
Licenses, fees, etc.	632	0	0	0	632
Abandoned property	700	0	0	0	700
Reimbursements	172	0	0	0	172
Investment income	180	0	0	0	180
Other transactions	1,333	15,157	3,561	839	20,890
<b>Miscellaneous receipts</b>	<u>3,017</u>	<u>15,157</u>	<u>3,561</u>	<u>839</u>	<u>22,574</u>
<b>Federal grants</b>	<u>0</u>	<u>40,426</u>	<u>2,677</u>	<u>0</u>	<u>43,103</u>
<b>Total</b>	<u>46,597</u>	<u>62,925</u>	<u>8,373</u>	<u>14,307</u>	<u>132,202</u>

**CASH RECEIPTS**  
**ALL GOVERNMENTAL FUNDS**  
**2012-2013**  
(millions of dollars)

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>Total</u>
<b>Taxes:</b>					
Withholdings	32,949	0	0	0	32,949
Estimated Payments	11,945	0	0	0	11,945
Final Payments	2,637	0	0	0	2,637
Other Payments	1,246	0	0	0	1,246
<b>Gross Collections</b>	<u>48,777</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>48,777</u>
State/City Offset	(712)	0	0	0	(712)
Refunds	(7,426)	0	0	0	(7,426)
<b>Reported Tax Collections</b>	<u>40,639</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>40,639</u>
STAR (dedicated deposits)	(3,854)	3,854	0	0	0
RBTF (dedicated transfers)	(10,160)	0	0	10,160	0
<b>Personal income tax</b>	<u>26,625</u>	<u>3,854</u>	<u>0</u>	<u>10,160</u>	<u>40,639</u>
Sales and use tax	11,554	829	0	0	12,383
Cigarette and tobacco taxes	409	874	0	0	1,283
Motor fuel tax	0	111	417	0	528
Motor vehicle fees	67	236	673	0	976
Alcoholic beverages taxes	249	0	0	0	249
Highway Use tax	0	0	160	0	160
Alcoholic beverage control license fees	51	0	0	0	51
Auto rental tax	0	0	68	0	68
<b>Gross Utility Taxes and fees</b>	<u>12,330</u>	<u>2,050</u>	<u>1,318</u>	<u>0</u>	<u>15,698</u>
LGAC Sales Tax (dedicated transfers)	(2,861)	0	0	2,861	0
<b>User Taxes and fees</b>	<u>9,469</u>	<u>2,050</u>	<u>1,318</u>	<u>2,861</u>	<u>15,698</u>
Corporation franchise tax	3,513	534	0	0	4,047
Corporation and utilities tax	754	208	17	0	979
Insurance taxes	1,332	304	0	0	1,636
Bank tax	799	141	0	0	940
Petroleum business tax	0	489	606	0	1,095
<b>Business taxes</b>	<u>6,398</u>	<u>1,676</u>	<u>623</u>	<u>0</u>	<u>8,697</u>
Estate tax	1,053	0	0	0	1,053
Real estate transfer tax	631	0	0	0	631
Gift tax	0	0	0	0	0
Real property gains tax	0	0	0	0	0
Pari-mutuel taxes	23	0	0	0	23
Other taxes	1	0	0	0	1
<b>Gross Other taxes</b>	<u>1,708</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>1,708</u>
Real estate transfer tax (dedicated)	(631)	0	199	432	0
<b>Other taxes</b>	<u>1,077</u>	<u>0</u>	<u>199</u>	<u>432</u>	<u>1,708</u>
<b>Total Taxes</b>	<u>43,569</u>	<u>7,580</u>	<u>2,140</u>	<u>13,453</u>	<u>66,742</u>
Licenses, fees, etc.	633	0	0	0	633
Abandoned property	700	0	0	0	700
Reimbursements	172	0	0	0	172
Investment income	200	0	0	0	200
Other transactions	1,338	15,204	2,860	858	20,260
<b>Miscellaneous receipts</b>	<u>3,043</u>	<u>15,204</u>	<u>2,860</u>	<u>858</u>	<u>21,965</u>
<b>Federal grants</b>	<u>0</u>	<u>39,954</u>	<u>2,443</u>	<u>0</u>	<u>42,397</u>
<b>Total</b>	<u>46,612</u>	<u>62,738</u>	<u>7,443</u>	<u>14,311</u>	<u>131,104</u>

**CURRENT STATE RECEIPTS  
ALL GOVERNMENTAL FUNDS  
2008-2009 and 2009-2010  
(millions of dollars)**

	<b>2008-2009 Year-End*</b>	<b>2009-2010 Enacted</b>	<b>Annual \$ Change</b>	<b>Annual % Change</b>
<b>Taxes:</b>				
Withholdings	27,686	30,626	2,940	10.6%
Estimated Payments	12,690	10,193	(2,497)	-19.7%
Final Payments	2,686	2,136	(550)	-20.5%
Other Payments	949	1,115	166	17.5%
<b>Gross Collections</b>	<b>44,011</b>	<b>44,070</b>	<b>59</b>	<b>0.1%</b>
State/City Offset	(475)	(500)	(25)	5.3%
Refunds	(6,696)	(6,332)	364	-5.4%
<b>Reported Tax Collections</b>	<b>36,840</b>	<b>37,238</b>	<b>398</b>	<b>1.1%</b>
STAR (dedicated deposits)	0	1	1	--
RBTF (dedicated transfers)	0	0	0	--
<b>Personal income tax</b>	<b>36,840</b>	<b>37,239</b>	<b>399</b>	<b>1.1%</b>
Sales and use tax	10,985	11,147	162	1.5%
Cigarette and tobacco taxes	1,340	1,331	(9)	-0.7%
Motor fuel tax	504	520	16	3.2%
Motor vehicle fees	723	876	153	21.2%
Alcoholic beverages taxes	206	235	29	14.1%
Highway Use tax	141	155	14	9.9%
Alcoholic beverage control license fees	44	48	4	9.1%
Auto rental tax	61	63	2	3.3%
<b>Gross Utility Taxes and fees</b>	<b>14,004</b>	<b>14,375</b>	<b>371</b>	<b>2.6%</b>
LGAC Sales Tax (dedicated transfers)	0	0	0	--
<b>User Taxes and fees</b>	<b>14,004</b>	<b>14,375</b>	<b>371</b>	<b>2.6%</b>
Corporation franchise tax	3,221	3,374	153	4.8%
Corporation and utilities tax	863	955	92	10.7%
Insurance taxes	1,181	1,434	253	21.4%
Bank tax	1,233	793	(440)	-35.7%
Petroleum business tax	1,106	1,120	14	1.3%
<b>Business taxes</b>	<b>7,604</b>	<b>7,676</b>	<b>72</b>	<b>0.9%</b>
Estate tax	1,163	958	(205)	-17.6%
Real estate transfer tax	701	375	(326)	-46.5%
Gift tax	2	0	(2)	-100.0%
Real property gains tax	0	0	0	--
Pari-mutuel taxes	22	23	1	4.5%
Other taxes	1	1	0	0.0%
<b>Gross Other taxes</b>	<b>1,889</b>	<b>1,357</b>	<b>(532)</b>	<b>-28.2%</b>
Real estate transfer tax (dedicated)	0	0	0	--
<b>Other taxes</b>	<b>1,889</b>	<b>1,357</b>	<b>(532)</b>	<b>-28.2%</b>
<b>Total Taxes</b>	<b>60,337</b>	<b>60,647</b>	<b>310</b>	<b>0.5%</b>
Licenses, fees, etc.	1,006	690	(316)	-31.4%
Abandoned property	698	700	2	0.3%
Reimbursements	1,089	172	(917)	-84.2%
Investment income	104	155	51	49.0%
Other transactions	17,167	20,468	3,301	19.2%
<b>Miscellaneous receipts</b>	<b>20,064</b>	<b>22,185</b>	<b>2,121</b>	<b>10.6%</b>
<b>Federal grants</b>	<b>38,834</b>	<b>47,718</b>	<b>8,884</b>	<b>22.9%</b>
<b>Total</b>	<b>119,235</b>	<b>130,550</b>	<b>11,315</b>	<b>9.5%</b>

\*Unaudited Year-end Results

**CASH FINANCIAL PLAN  
SPECIAL REVENUE FUNDS  
2008-2009\*  
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
<b>Opening fund balance</b>	<u>3,520</u>	<u>359</u>	<u>3,879</u>
<b>Receipts:</b>			
Taxes	7,780	0	7,780
Miscellaneous receipts	12,911	178	13,089
Federal grants	0	36,907	36,907
<b>Total receipts</b>	<u>20,691</u>	<u>37,085</u>	<u>57,776</u>
<b>Disbursements:</b>			
Grants to local governments	16,944	31,927	48,871
State operations:			
Personal Service	4,161	2,280	6,441
Non-Personal Service	2,725	1,432	4,157
General State charges	1,307	934	2,241
Debt service	0	0	0
Capital projects	9	0	9
<b>Total disbursements</b>	<u>25,146</u>	<u>36,573</u>	<u>61,719</u>
<b>Other financing sources (uses):</b>			
Transfers from other funds	4,562	2,746	7,308
Transfers to other funds	(1,156)	(3,241)	(4,397)
Bond and note proceeds	0	0	0
<b>Net other financing sources (uses)</b>	<u>3,406</u>	<u>(495)</u>	<u>2,911</u>
<b>Change in fund balance</b>	<u>(1,049)</u>	<u>17</u>	<u>(1,032)</u>
<b>Closing fund balance</b>	<u>2,471</u>	<u>376</u>	<u>2,847</u>

*\*Unaudited Year-end Results*

**CASH FINANCIAL PLAN  
SPECIAL REVENUE FUNDS  
2009-2010  
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
<b>Opening fund balance</b>	<u>2,471</u>	<u>376</u>	<u>2,847</u>
<b>Receipts:</b>			
Taxes	7,076	0	7,076
Miscellaneous receipts	14,076	158	14,234
Federal grants	<u>1</u>	<u>44,778</u>	<u>44,779</u>
<b>Total receipts</b>	<u>21,153</u>	<u>44,936</u>	<u>66,089</u>
<b>Disbursements:</b>			
Grants to local governments	16,199	39,046	55,245
State operations:			
Personal Service	4,005	2,489	6,494
Non-Personal Service	2,888	1,795	4,683
General State charges	980	1,031	2,011
Debt service	0	0	0
Capital projects	<u>3</u>	<u>0</u>	<u>3</u>
<b>Total disbursements</b>	<u>24,075</u>	<u>44,361</u>	<u>68,436</u>
<b>Other financing sources (uses):</b>			
Transfers from other funds	3,769	3,072	6,841
Transfers to other funds	(1,287)	(3,558)	(4,845)
Bond and note proceeds	<u>0</u>	<u>0</u>	<u>0</u>
<b>Net other financing sources (uses)</b>	<u>2,482</u>	<u>(486)</u>	<u>1,996</u>
<b>Change in fund balance</b>	<u>(440)</u>	<u>89</u>	<u>(351)</u>
<b>Closing fund balance</b>	<u>2,031</u>	<u>465</u>	<u>2,496</u>



**CASH FINANCIAL PLAN  
SPECIAL REVENUE FUNDS  
2010-2011  
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
<b>Opening fund balance</b>	<u>2,031</u>	<u>465</u>	<u>2,496</u>
<b>Receipts:</b>			
Taxes	7,098	0	7,098
Miscellaneous receipts	14,069	152	14,221
Federal grants	<u>1</u>	<u>45,447</u>	<u>45,448</u>
<b>Total receipts</b>	<u>21,168</u>	<u>45,599</u>	<u>66,767</u>
<b>Disbursements:</b>			
Grants to local governments	15,985	39,859	55,844
State operations:			
Personal Service	4,167	2,540	6,707
Non-Personal Service	2,953	1,673	4,626
General State charges	1,039	1,080	2,119
Debt service	0	0	0
Capital projects	<u>2</u>	<u>0</u>	<u>2</u>
<b>Total disbursements</b>	<u>24,146</u>	<u>45,152</u>	<u>69,298</u>
<b>Other financing sources (uses):</b>			
Transfers from other funds	3,874	3,262	7,136
Transfers to other funds	(1,076)	(3,561)	(4,637)
Bond and note proceeds	<u>0</u>	<u>0</u>	<u>0</u>
<b>Net other financing sources (uses)</b>	<u>2,798</u>	<u>(299)</u>	<u>2,499</u>
<b>Change in fund balance</b>	<u>(180)</u>	<u>148</u>	<u>(32)</u>
<b>Closing fund balance</b>	<u>1,851</u>	<u>613</u>	<u>2,464</u>

**CASH FINANCIAL PLAN  
SPECIAL REVENUE FUNDS  
2011-2012  
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
<b>Opening fund balance</b>	<u>1,851</u>	<u>613</u>	<u>2,464</u>
<b>Receipts:</b>			
Taxes	7,342	0	7,342
Miscellaneous receipts	15,054	103	15,157
Federal grants	<u>1</u>	<u>40,425</u>	<u>40,426</u>
<b>Total receipts</b>	<u>22,397</u>	<u>40,528</u>	<u>62,925</u>
<b>Disbursements:</b>			
Grants to local governments	17,061	35,379	52,440
State operations:			
Personal Service	4,551	2,185	6,736
Non-Personal Service	2,976	1,632	4,608
General State charges	1,239	935	2,174
Debt service	0	0	0
Capital projects	<u>2</u>	<u>0</u>	<u>2</u>
<b>Total disbursements</b>	<u>25,829</u>	<u>40,131</u>	<u>65,960</u>
<b>Other financing sources (uses):</b>			
Transfers from other funds	4,534	2,789	7,323
Transfers to other funds	(1,138)	(3,045)	(4,183)
Bond and note proceeds	<u>0</u>	<u>0</u>	<u>0</u>
<b>Net other financing sources (uses)</b>	<u>3,396</u>	<u>(256)</u>	<u>3,140</u>
<b>Change in fund balance</b>	<u>(36)</u>	<u>141</u>	<u>105</u>
<b>Closing fund balance</b>	<u>1,815</u>	<u>754</u>	<u>2,569</u>

**CASH FINANCIAL PLAN  
SPECIAL REVENUE FUNDS  
2012-2013  
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
<b>Opening fund balance</b>	<u>1,815</u>	<u>754</u>	<u>2,569</u>
<b>Receipts:</b>			
Taxes	7,580	0	7,580
Miscellaneous receipts	15,101	103	15,204
Federal grants	<u>1</u>	<u>39,953</u>	<u>39,954</u>
<b>Total receipts</b>	<u>22,682</u>	<u>40,056</u>	<u>62,738</u>
<b>Disbursements:</b>			
Grants to local governments	17,345	34,922	52,267
State operations:			
Personal Service	4,565	2,195	6,760
Non-Personal Service	3,159	1,635	4,794
General State charges	1,297	999	2,296
Debt service	0	0	0
Capital projects	<u>2</u>	<u>0</u>	<u>2</u>
<b>Total disbursements</b>	<u>26,368</u>	<u>39,751</u>	<u>66,119</u>
<b>Other financing sources (uses):</b>			
Transfers from other funds	4,710	2,879	7,589
Transfers to other funds	(967)	(3,047)	(4,014)
Bond and note proceeds	<u>0</u>	<u>0</u>	<u>0</u>
<b>Net other financing sources (uses)</b>	<u>3,743</u>	<u>(168)</u>	<u>3,575</u>
<b>Change in fund balance</b>	<u>57</u>	<u>137</u>	<u>194</u>
<b>Closing fund balance</b>	<u>1,872</u>	<u>891</u>	<u>2,763</u>

**CASH FINANCIAL PLAN  
SPECIAL REVENUE FUNDS  
2008-2009 and 2009-2010  
(millions of dollars)**

	<u>2008-2009</u> <u>Year-End*</u>	<u>2009-2010</u> <u>Enacted</u>	<u>Annual</u> <u>\$ Change</u>	<u>Annual</u> <u>% Change</u>
<b>Opening fund balance</b>	<u>3,879</u>	<u>2,847</u>	<u>(1,032)</u>	<u>-26.6%</u>
<b>Receipts:</b>				
Taxes	7,780	7,076	(704)	-9.0%
Miscellaneous receipts	13,089	14,234	1,145	8.7%
Federal grants	36,907	44,779	7,872	21.3%
<b>Total receipts</b>	<u>57,776</u>	<u>66,089</u>	<u>8,313</u>	<u>14.4%</u>
<b>Disbursements:</b>				
Grants to local governments	48,871	55,245	6,374	13.0%
State operations:				
Personal Service	6,441	6,494	53	0.8%
Non-Personal Service	4,157	4,683	526	12.7%
General State charges	2,241	2,011	(230)	-10.3%
Debt service	0	0	0	--
Capital projects	9	3	(6)	-66.7%
<b>Total disbursements</b>	<u>61,719</u>	<u>68,436</u>	<u>6,717</u>	<u>10.9%</u>
<b>Other financing sources (uses):</b>				
Transfers from other funds	7,308	6,841	(467)	-6.4%
Transfers to other funds	(4,397)	(4,845)	(448)	10.2%
Bond and note proceeds	0	0	0	--
<b>Net other financing sources (uses)</b>	<u>2,911</u>	<u>1,996</u>	<u>(915)</u>	<u>-31.4%</u>
<b>Change in fund balance</b>	<u>(1,032)</u>	<u>(351)</u>	<u>681</u>	<u>-66.0%</u>
<b>Closing fund balance</b>	<u>2,847</u>	<u>2,496</u>	<u>(351)</u>	<u>-12.3%</u>

*\*Unaudited Year-end Results*

**CASH RECEIPTS  
SPECIAL REVENUE FUNDS  
2009-2010 THROUGH 2012-2013  
(millions of dollars)**

	<b>2009-2010 Enacted</b>	<b>2010-2011 Projected</b>	<b>2011-2012 Projected</b>	<b>2012-2013 Projected</b>
<b>Personal income tax</b>	3,525	3,480	3,677	3,854
<b>User taxes and fees</b>	2,007	2,020	2,033	2,050
Sales and use tax	758	774	797	829
Cigarette and tobacco taxes	906	903	891	874
Motor fuel tax	109	110	110	111
Motor vehicle fees	234	233	235	236
Highway Use Tax	0	0	0	0
<b>Business taxes</b>	1,544	1,598	1,632	1,676
Corporation franchise tax	458	493	499	534
Corporation and utilities tax	209	198	203	208
Insurance taxes	263	290	298	304
Bank tax	114	132	145	141
Petroleum business tax	500	485	487	489
<b>Total Taxes</b>	7,076	7,098	7,342	7,580
<b>Miscellaneous receipts</b>	14,234	14,221	15,157	15,204
HCRA	3,881	3,995	4,106	4,210
State university income	3,384	3,442	3,482	3,525
Lottery	2,945	3,040	3,764	3,610
Medicaid	687	700	700	700
Industry assessments	1,036	816	842	842
All other	2,301	2,228	2,263	2,317
<b>Federal grants</b>	44,779	45,448	40,426	39,954
<b>Total</b>	66,089	66,767	62,925	62,738

**CASH RECEIPTS  
SPECIAL REVENUE FUNDS  
2008-2009 and 2009-2010  
(millions of dollars)**

	<b>2008-2009 Year-End*</b>	<b>2009-2010 Enacted</b>	<b>Annual \$ Change</b>	<b>Annual % Change</b>
<b>Personal income tax</b>	4,434	3,525	(909)	-20.5%
<b>User taxes and fees</b>	1,929	2,007	78	4.0%
Sales and use tax	711	758	47	6.6%
Cigarette and tobacco taxes	894	906	12	1.3%
Motor fuel tax	106	109	3	2.8%
Motor vehicle fees	218	234	16	7.3%
Highway Use Tax	0	0		
<b>Business taxes</b>	1,417	1,544	127	9.0%
Corporation franchise tax	466	458	(8)	-1.7%
Corporation and utilities tax	191	209	18	9.4%
Insurance taxes	95	263	168	176.8%
Bank tax	172	114	(58)	-33.7%
Petroleum business tax	493	500	7	1.4%
<b>Total Taxes</b>	7,780	7,076	(704)	-9.0%
<b>Miscellaneous receipts</b>	13,089	14,234	1,145	8.7%
HCRA	3,614	3,881	267	7.4%
State university income	2,958	3,384	426	14.4%
Lottery	2,732	2,945	213	7.8%
Medicaid	562	687	125	22.2%
Industry assessments	868	1,036	168	19.4%
All other	2,355	2,301	(54)	-2.3%
<b>Federal grants</b>	36,907	44,779	7,872	21.3%
<b>Total</b>	57,776	66,089	8,313	14.4%

\*Unaudited Year-end Results

**CASH FINANCIAL PLAN  
CAPITAL PROJECTS FUNDS  
2008-2009\*  
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
<b>Opening fund balance</b>	<u>(146)</u>	<u>(287)</u>	<u>(433)</u>
<b>Receipts:</b>			
Taxes	2,015	0	2,015
Miscellaneous receipts	3,022	3	3,025
Federal grants	0	1,882	1,882
<b>Total receipts</b>	<u>5,037</u>	<u>1,885</u>	<u>6,922</u>
<b>Disbursements:</b>			
Grants to local governments	814	542	1,356
State operations:			
Personal Service	0	0	0
Non-Personal Service	0	0	0
General State charges	0	0	0
Debt service	0	0	0
Capital projects	4,164	1,310	5,474
<b>Total disbursements</b>	<u>4,978</u>	<u>1,852</u>	<u>6,830</u>
<b>Other financing sources (uses):</b>			
Transfers from other funds	790	0	790
Transfers to other funds	(1,369)	(44)	(1,413)
Bond and note proceeds	457	0	457
<b>Net other financing sources (uses)</b>	<u>(122)</u>	<u>(44)</u>	<u>(166)</u>
<b>Change in fund balance</b>	<u>(63)</u>	<u>(11)</u>	<u>(74)</u>
<b>Closing fund balance</b>	<u>(209)</u>	<u>(298)</u>	<u>(507)</u>

*\*Unaudited Year-end Results*

**CASH FINANCIAL PLAN  
CAPITAL PROJECTS FUNDS  
2009-2010  
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
<b>Opening fund balance</b>	<u>(209)</u>	<u>(298)</u>	<u>(507)</u>
<b>Receipts:</b>			
Taxes	2,088	0	2,088
Miscellaneous receipts	3,740	0	3,740
Federal grants	0	2,939	2,939
<b>Total receipts</b>	<u>5,828</u>	<u>2,939</u>	<u>8,767</u>
<b>Disbursements:</b>			
Grants to local governments	331	529	860
State operations:			
Personal Service	0	0	0
Non-Personal Service	0	0	0
General State charges	0	0	0
Debt service	0	0	0
Capital projects	5,584	2,388	7,972
<b>Total disbursements</b>	<u>5,915</u>	<u>2,917</u>	<u>8,832</u>
<b>Other financing sources (uses):</b>			
Transfers from other funds	785	0	785
Transfers to other funds	(1,173)	(14)	(1,187)
Bond and note proceeds	532	0	532
<b>Net other financing sources (uses)</b>	<u>144</u>	<u>(14)</u>	<u>130</u>
<b>Change in fund balance</b>	<u>57</u>	<u>8</u>	<u>65</u>
<b>Closing fund balance</b>	<u>(152)</u>	<u>(290)</u>	<u>(442)</u>



**CASH FINANCIAL PLAN  
CAPITAL PROJECTS FUNDS  
2010-2011  
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
<b>Opening fund balance</b>	<u>(152)</u>	<u>(290)</u>	<u>(442)</u>
<b>Receipts:</b>			
Taxes	2,122	0	2,122
Miscellaneous receipts	3,590	0	3,590
Federal grants	<u>0</u>	<u>3,070</u>	<u>3,070</u>
<b>Total receipts</b>	<u>5,712</u>	<u>3,070</u>	<u>8,782</u>
<b>Disbursements:</b>			
Grants to local governments	326	529	855
State operations:			
Personal Service	0	0	0
Non-Personal Service	0	0	0
General State charges	0	0	0
Debt service	0	0	0
Capital projects	<u>6,032</u>	<u>2,493</u>	<u>8,525</u>
<b>Total disbursements</b>	<u>6,358</u>	<u>3,022</u>	<u>9,380</u>
<b>Other financing sources (uses):</b>			
Transfers from other funds	1,524	0	1,524
Transfers to other funds	(1,402)	(14)	(1,416)
Bond and note proceeds	<u>597</u>	<u>0</u>	<u>597</u>
<b>Net other financing sources (uses)</b>	<u>719</u>	<u>(14)</u>	<u>705</u>
<b>Change in fund balance</b>	<u>73</u>	<u>34</u>	<u>107</u>
<b>Closing fund balance</b>	<u>(79)</u>	<u>(256)</u>	<u>(335)</u>

**CASH FINANCIAL PLAN  
CAPITAL PROJECTS FUNDS  
2011-2012  
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
<b>Opening fund balance</b>	<u>(79)</u>	<u>(256)</u>	<u>(335)</u>
<b>Receipts:</b>			
Taxes	2,135	0	2,135
Miscellaneous receipts	3,561	0	3,561
Federal grants	0	2,677	2,677
<b>Total receipts</b>	<u>5,696</u>	<u>2,677</u>	<u>8,373</u>
<b>Disbursements:</b>			
Grants to local governments	387	529	916
State operations:			
Personal Service	0	0	0
Non-Personal Service	0	0	0
General State charges	0	0	0
Debt service	0	0	0
Capital projects	5,986	2,100	8,086
<b>Total disbursements</b>	<u>6,373</u>	<u>2,629</u>	<u>9,002</u>
<b>Other financing sources (uses):</b>			
Transfers from other funds	1,749	0	1,749
Transfers to other funds	(1,458)	(14)	(1,472)
Bond and note proceeds	454	0	454
<b>Net other financing sources (uses)</b>	<u>745</u>	<u>(14)</u>	<u>731</u>
<b>Change in fund balance</b>	<u>68</u>	<u>34</u>	<u>102</u>
<b>Closing fund balance</b>	<u>(11)</u>	<u>(222)</u>	<u>(233)</u>

**CASH FINANCIAL PLAN  
CAPITAL PROJECTS FUNDS  
2012-2013  
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
<b>Opening fund balance</b>	<u>(11)</u>	<u>(222)</u>	<u>(233)</u>
<b>Receipts:</b>			
Taxes	2,140	0	2,140
Miscellaneous receipts	2,860	0	2,860
Federal grants	0	2,443	2,443
<b>Total receipts</b>	<u>5,000</u>	<u>2,443</u>	<u>7,443</u>
<b>Disbursements:</b>			
Grants to local governments	393	529	922
State operations:			
Personal Service	0	0	0
Non-Personal Service	0	0	0
General State charges	0	0	0
Debt service	0	0	0
Capital projects	5,133	1,867	7,000
<b>Total disbursements</b>	<u>5,526</u>	<u>2,396</u>	<u>7,922</u>
<b>Other financing sources (uses):</b>			
Transfers from other funds	1,708	0	1,708
Transfers to other funds	(1,493)	(14)	(1,507)
Bond and note proceeds	382	0	382
<b>Net other financing sources (uses)</b>	<u>597</u>	<u>(14)</u>	<u>583</u>
<b>Change in fund balance</b>	<u>71</u>	<u>33</u>	<u>104</u>
<b>Closing fund balance</b>	<u>60</u>	<u>(189)</u>	<u>(129)</u>

**CASH FINANCIAL PLAN  
CAPITAL PROJECTS FUNDS  
2008-2009 and 2009-2010  
(millions of dollars)**

	<u>2008-2009 Year-End*</u>	<u>2009-2010 Executive</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
<b>Opening fund balance</b>	<u>(433)</u>	<u>(507)</u>	<u>(74)</u>	
<b>Receipts:</b>				
Taxes	2,015	2,088	73	3.6%
Miscellaneous receipts	3,025	3,740	715	23.6%
Federal grants	1,882	2,939	1,057	56.2%
<b>Total receipts</b>	<u>6,922</u>	<u>8,767</u>	<u>1,845</u>	<u>26.7%</u>
<b>Disbursements:</b>				
Grants to local governments	1,356	860	(496)	-36.6%
State operations:				
Personal Service	0	0	0	--
Non-Personal Service	0	0	0	--
General State charges	0	0	0	--
Debt service	0	0	0	--
Capital projects	5,474	7,972	2,498	45.6%
<b>Total disbursements</b>	<u>6,830</u>	<u>8,832</u>	<u>2,002</u>	<u>29.3%</u>
<b>Other financing sources (uses):</b>				
Transfers from other funds	790	785	(5)	-0.6%
Transfers to other funds	(1,413)	(1,187)	226	-16.0%
Bond and note proceeds	457	532	75	16.4%
<b>Net other financing sources (uses)</b>	<u>(166)</u>	<u>130</u>	<u>296</u>	<u>-178.3%</u>
<b>Change in fund balance</b>	<u>(74)</u>	<u>65</u>	<u>139</u>	
<b>Closing fund balance</b>	<u>(507)</u>	<u>(442)</u>	<u>65</u>	

*\*Unaudited Year-end Results*

**CASH RECEIPTS  
CAPITAL PROJECTS FUNDS  
2009-2010 THROUGH 2012-2013  
(millions of dollars)**

	<u>2009-2010 Enacted</u>	<u>2010-2011 Projected</u>	<u>2011-2012 Projected</u>	<u>2012-2013 Projected</u>
<b>User taxes and fees</b>	1,252	1,304	1,316	1,318
Motor fuel tax	411	413	415	417
Motor vehicle fees	623	676	679	673
Highway use tax	155	149	155	160
Auto rental tax	63	66	67	68
<b>Business taxes</b>	637	619	620	623
Corporation and utilities tax	17	17	17	17
Petroleum business tax	620	602	603	606
<b>Other taxes</b>	199	199	199	199
Real estate transfer tax	199	199	199	199
<b>Total Taxes</b>	<u>2,088</u>	<u>2,122</u>	<u>2,135</u>	<u>2,140</u>
<b>Miscellaneous receipts</b>	3,740	3,590	3,561	2,860
Authority bond proceeds	3,475	3,310	3,271	2,577
State park fees	93	35	24	24
Environmental revenues	77	103	103	103
All other	95	142	163	156
<b>Federal grants</b>	<u>2,939</u>	<u>3,070</u>	<u>2,677</u>	<u>2,443</u>
<b>Total</b>	<u><u>8,767</u></u>	<u><u>8,782</u></u>	<u><u>8,373</u></u>	<u><u>7,443</u></u>

**CASH RECEIPTS  
CAPITAL PROJECTS FUNDS  
2008-2009 and 2009-2010  
(millions of dollars)**

	<u>2008-2009 Year-End*</u>	<u>2009-2010 Enacted</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
<b>User taxes and fees</b>	1,147	1,252	105	9.2%
Motor fuel tax	398	411	13	3.3%
Motor vehicle fees	547	623	76	13.9%
Highway use tax	141	155	14	9.9%
Auto rental tax	61	63	2	3.3%
<b>Business taxes</b>	631	637	6	1.0%
Corporation and utilities tax	18	17	(1)	-5.6%
Petroleum business tax	613	620	7	1.1%
<b>Other taxes</b>	237	199	(38)	-16.0%
Real estate transfer tax	237	199	(38)	-16.0%
<b>Total Taxes</b>	<u>2,015</u>	<u>2,088</u>	<u>73</u>	<u>3.6%</u>
<b>Miscellaneous receipts</b>	3,025	3,740	715	23.6%
Authority bond proceeds	2,759	3,475	716	26.0%
State park fees	74	93	19	25.7%
Environmental revenues	51	77	26	51.0%
All other	141	95	(46)	-32.6%
<b>Federal grants</b>	1,882	2,939	1,057	56.2%
<b>Total</b>	<u><u>6,922</u></u>	<u><u>8,767</u></u>	<u><u>1,845</u></u>	<u><u>26.7%</u></u>

*\*Unaudited Year-end Results*

**CASH DISBURSEMENTS BY FUNCTION  
CAPITAL OFF-BUDGET SPENDING**  
(thousands of dollars)

	2008-2009 Actuals*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Economic Development	100,970	40,750	36,000	36,000	36,000
Empire State Development Corporation	9,627	177,595	213,515	231,770	113,000
<b>Functional Total</b>	<b>110,597</b>	<b>218,345</b>	<b>249,515</b>	<b>267,770</b>	<b>149,000</b>
<b>TRANSPORTATION</b>					
Transportation, Department of	464,285	450,000	403,400	403,400	403,400
<b>Functional Total</b>	<b>464,285</b>	<b>450,000</b>	<b>403,400</b>	<b>403,400</b>	<b>403,400</b>
<b>HEALTH AND SOCIAL WELFARE</b>					
Health All Other	112	0	0	0	0
<b>Functional Total</b>	<b>112</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>MENTAL HEALTH</b>					
Mental Health, Office of	134,250	214,929	218,680	256,274	305,016
Mental Retardation and Developmental Disabilities, Office of	69,562	77,975	77,825	73,000	79,300
Alcoholism and Substance Abuse Services, Office of	2,792	5,000	5,000	5,000	5,000
<b>Functional Total</b>	<b>206,604</b>	<b>297,904</b>	<b>301,505</b>	<b>334,274</b>	<b>389,316</b>
<b>EDUCATION</b>					
City University of New York	402,071	396,785	431,565	560,638	724,819
Education, Department of	934,606	306,555	411,054	0	0
<i>EXCEL School Construction</i>	933,288	306,555	411,054	0	0
<i>All Other</i>	1,318	0	0	0	0
State University of New York	143,946	132,000	118,000	124,000	116,000
<b>Functional Total</b>	<b>1,480,623</b>	<b>835,340</b>	<b>960,619</b>	<b>684,638</b>	<b>840,819</b>
<b>ALL OTHER</b>					
Judiciary	31	0	0	0	0
<b>Functional Total</b>	<b>31</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>TOTAL CAPITAL OFF-BUDGET SPENDING</b>	<b>2,262,252</b>	<b>1,801,589</b>	<b>1,915,039</b>	<b>1,690,082</b>	<b>1,782,535</b>

Reflects certain capital spending that is not reported in actual cash spending results, but is reported in the State's GAAP Financial Statements. This spending is related to programs which are financed directly from bond proceeds that are on deposit at various public authorities rather than from a short-term loan from the Short-Term Investment Pool or cash from the General Fund.

\*Unaudited Year-end Results

**CASH RECEIPTS  
DEBT SERVICE FUNDS  
2009-2010 THROUGH 2012-2013  
(millions of dollars)**

	<u>2009-2010 Enacted</u>	<u>2010-2011 Projected</u>	<u>2011-2012 Projected</u>	<u>2012-2013 Projected</u>
<b>Personal income tax</b>	9,310	10,031	10,374	10,160
<b>User taxes and fees</b>	2,596	2,650	2,742	2,861
Sales and use tax	2,596	2,650	2,742	2,861
Motor fuel tax	0	0	0	0
<b>Other taxes</b>	176	264	352	432
Real estate transfer tax	176	264	352	432
<b>Total Taxes</b>	<u>12,082</u>	<u>12,945</u>	<u>13,468</u>	<u>13,453</u>
<b>Miscellaneous receipts</b>	830	820	839	858
Mental hygiene patient receipts	376	365	385	407
SUNY dormitory fees	338	341	341	341
Health patient receipts	98	98	98	98
All other	18	16	15	12
<b>Total</b>	<u><u>12,912</u></u>	<u><u>13,765</u></u>	<u><u>14,307</u></u>	<u><u>14,311</u></u>



**CASH RECEIPTS  
DEBT SERVICE FUNDS  
2008-2009 and 2009-2010  
(millions of dollars)**

	<u>2008-2009 Year-End</u>	<u>2009-2010 Enacted</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
<b>Personal income tax</b>	9,210	9,310	100	1.1%
<b>User taxes and fees</b>	2,567	2,596	29	1.1%
Sales and use tax	2,567	2,596	29	1.1%
Motor fuel tax	0	0	0	--
<b>Other taxes</b>	464	176	(288)	-62.1%
Real estate transfer tax	464	176	(288)	-62.1%
<b>Total Taxes</b>	12,241	12,082	(159)	-1.3%
<b>Miscellaneous receipts</b>	845	830	(15)	-1.8%
Mental hygiene patient receipts	298	376	78	26.2%
SUNY dormitory fees	419	338	(81)	-19.3%
Health patient receipts	108	98	(10)	-9.3%
All other	20	18	(2)	-10.0%
<b>Total</b>	<u>13,086</u>	<u>12,912</u>	<u>(174)</u>	<u>-1.3%</u>

*\*Unaudited Year-end Results*

**CASHFLOW  
GENERAL FUND  
2008-2009  
(dollars in millions)**

	2008		2009											
	April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	January Results	February Results	March Results*	Total	
<b>OPENING BALANCE</b>	2,754	7,589	3,546	3,618	4,234	4,396	5,673	3,888	1,166	1,794	5,753	5,607	2,754	
<b>RECEIPTS:</b>														
Personal Income Tax	5,613	850	2,382	1,715	1,540	2,099	252	(70)	1,856	4,359	1,371	1,229	23,196	
User Taxes and Fees	637	651	847	704	684	877	645	631	779	683	545	678	8,361	
Business Taxes	102	(17)	948	59	85	1,218	36	64	1,362	52	167	1,478	5,556	
Other Taxes	6,456	1,618	4,257	2,772	2,391	4,258	1,022	705	4,097	5,208	2,128	3,389	1,188	
Total Taxes	12,808	3,481	8,444	6,272	5,864	12,452	3,392	1,370	12,115	15,407	4,113	6,774	38,301	
Licenses, Fees, etc.	43	64	42	17	42	57	38	56	55	44	39	509	1,006	
Abandoned Property	0	5	4	0	16	50	30	114	31	100	118	230	698	
Reimbursements	5	10	21	6	11	29	13	11	30	59	144	750	1,089	
Investment Income	35	0	12	11	5	2	13	8	7	10	0	1	104	
Other Transactions	33	110	200	45	44	85	32	44	113	326	123	(947)	208	
Total Miscellaneous Receipts	116	189	279	79	118	223	126	233	236	539	424	543	3,105	
Federal Grants	3	0	0	13	0	14	0	0	14	0	0	1	45	
PIT in Excess of Revenue Bond Debt Service	1,870	212	950	571	308	1,017	493	78	926	1,289	67	623	8,404	
Sales Tax in Excess of LGAC Debt Service	174	27	424	205	139	272	198	184	241	209	5	117	2,195	
Real Estate Taxes in Excess of CW/CA Debt Service	54	54	52	36	52	32	28	22	12	8	8	(6)	352	
All Other	1	10	44	90	20	9	11	4	139	86	101	884	1,399	
Total Transfers from Other Funds	2,099	303	1,470	902	519	1,330	730	288	1,318	1,592	181	1,618	12,350	
<b>TOTAL RECEIPTS</b>	8,674	2,110	6,006	3,766	3,028	5,825	1,878	1,226	5,665	7,339	2,733	5,551	53,801	
<b>DISBURSEMENTS:</b>														
School Aid	410	2,284	1,923	137	477	1,403	559	1,084	1,518	491	813	6,657	17,756	
Higher Education	20	18	454	82	223	46	159	358	102	169	47	555	2,233	
All Other Education	19	751	394	113	79	133	156	64	167	133	62	233	1,628	
Medicaid - DOH	892	1,271	761	833	363	404	1,194	839	525	883	793	(632)	8,126	
Public Health	50	14	14	19	20	193	28	90	38	17	14	15	512	
Mental Hygiene	60	69	359	4	(30)	349	44	105	463	38	135	468	2,064	
Children and Families	8	69	167	201	146	144	78	93	41	286	71	368	1,672	
Temporary & Disability Assistance	123	123	320	152	153	195	(131)	91	126	72	(54)	52	1,222	
Transportation	0	14	32	0	17	1	0	27	6	0	9	1	107	
All Other	29	34	413	61	43	244	82	50	444	13	(34)	341	1,720	
Total Local Assistance Grants	1,611	3,971	4,837	1,602	1,491	3,112	2,169	2,801	3,430	2,102	1,856	8,058	37,040	
Personal Service	775	419	476	661	532	460	699	496	521	434	365	330	6,168	
Non-Personal Service	226	206	191	198	181	226	139	115	187	141	177	157	2,144	
Total State Operations	1,001	625	667	859	713	686	838	611	708	575	542	487	8,312	
General State Charges	489	1,020	(142)	341	278	19	376	39	168	296	118	82	3,084	
Debt Service	240	132	220	49	36	279	1	193	403	4	17	160	1,734	
Capital Projects	100	77	72	45	90	118	(4)	7	109	89	(1)	(229)	473	
State Share Medicaid	267	286	203	228	205	232	249	226	225	227	237	30	2,625	
Other Purposes	131	32	77	26	53	102	34	71	54	27	110	622	1,339	
Total Transfers to Other Funds	738	537	572	348	384	731	280	497	791	347	363	583	6,171	
<b>TOTAL DISBURSEMENTS</b>	3,839	6,153	5,934	3,150	2,866	4,548	3,663	3,948	5,097	3,320	2,879	9,210	54,607	
Excess/(Deficiency) of Receipts over Disbursements	4,835	(4,043)	72	616	162	1,277	(1,785)	(2,722)	568	4,019	(146)	(3,659)	(806)	
<b>CLOSING BALANCE</b>	7,589	3,546	3,618	4,234	4,396	5,673	3,888	1,166	1,734	5,753	5,607	1,948	2,754	

\* Preliminary results, subject to revisions.

**CASHFLOW  
STATE OPERATING FUNDS  
2008-2009**  
(dollars in millions)

	2008 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2009 January Results	February Results	March Results*	Total
<b>OPENING BALANCE</b>	6,560	12,300	8,742	8,603	9,063	8,733	8,782	7,051	4,616	4,869	8,505	8,700	6,560
<b>RECEIPTS:</b>													
Taxes	8,846	2,321	6,295	3,808	3,407	6,761	3,394	2,400	6,638	7,089	2,952	4,411	58,322
Miscellaneous Receipts	1,193	1,104	1,281	1,211	1,076	1,621	1,309	1,161	1,401	1,657	1,508	2,339	16,861
Federal Grants	3	0	0	13	0	14	0	0	14	0	0	1	45
<b>TOTAL RECEIPTS</b>	10,042	3,425	7,576	5,032	4,483	8,396	4,703	3,561	8,053	8,746	4,460	6,751	75,228
<b>DISBURSEMENTS:</b>													
School Aid	410	2,284	2,251	136	477	3,310	674	1,199	1,633	606	928	6,803	20,711
Higher Education	20	18	454	82	223	46	159	359	102	169	47	556	2,235
All Other Education	19	78	394	114	83	138	159	65	169	134	63	234	1,650
STAR	1	1	390	4	0	430	1,660	802	247	885	2	13	4,435
Medicaid - DOH	937	1,305	795	1,234	1,055	803	1,532	1,051	965	1,043	1,047	(213)	11,554
Public Health	109	135	195	171	346	325	148	192	193	151	121	288	2,374
Mental Hygiene	83	88	385	169	61	458	146	140	579	148	198	636	3,091
Children and Families	8	69	167	201	146	144	78	94	41	286	71	369	1,674
Temporary & Disability Assistance	123	123	320	158	153	195	(131)	91	126	72	(54)	52	1,228
Transportation	67	368	256	334	413	272	108	317	632	57	103	56	2,983
All Other	45	50	438	84	80	296	102	58	453	26	25	392	2,049
<b>Total Local Assistance Grants</b>	1,822	4,519	6,045	2,687	3,037	6,417	4,635	4,368	5,140	3,577	2,551	9,186	53,984
Personal Service	1,119	733	739	975	789	796	1,139	810	1,062	769	777	621	10,329
Non-Personal Service	431	421	489	401	415	402	424	330	453	353	377	429	4,925
<b>Total State Operations</b>	1,550	1,154	1,228	1,376	1,204	1,198	1,563	1,140	1,515	1,122	1,154	1,050	15,254
General State Charges	550	1,060	(16)	478	341	165	439	110	448	415	291	110	4,391
Debt service	316	211	410	110	213	708	100	262	744	64	224	1,168	4,530
Capital Projects	0	0	1	1	2	1	1	1	1	0	1	0	9
<b>TOTAL DISBURSEMENTS</b>	4,238	6,944	7,668	4,652	4,797	8,489	6,738	5,881	7,848	5,178	4,221	11,514	78,168
<b>OTHER FINANCING SOURCES (uses):</b>													
Transfers from other funds	3,106	1,138	2,263	1,529	1,107	2,392	1,369	1,095	2,351	2,177	837	3,505	22,888
Transfers to other funds	(3,170)	(1,177)	(2,310)	(1,449)	(1,123)	(2,250)	(1,085)	(1,210)	(2,313)	(2,099)	(881)	(2,724)	(21,791)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>NET OTHER FINANCING SOURCES/(USES)</b>	(64)	(39)	(47)	80	(16)	142	304	(115)	38	78	(44)	781	1,097
Excess/(Deficiency) of Receipts over Disbursements	5,740	(3,558)	(139)	460	(330)	49	(1,731)	(2,435)	243	3,646	195	(3,983)	(1,843)
<b>CLOSING BALANCE</b>	12,300	8,742	8,603	9,063	8,733	8,782	7,051	4,616	4,859	8,505	8,700	4,717	4,717

\* Preliminary results, subject to revisions.

**CASHFLOW**  
**CAPITAL PROJECTS FUNDS**  
**2008-2009**  
(dollars in millions)

	2008 April Results	2008 May Results	2008 June Results	2008 July Results	2008 August Results	2008 September Results	2008 October Results	2008 November Results	2008 December Results	2009 January Results	2009 February Results	2009 March Results*	Total
<b>OPENING BALANCE</b>	(433)	(489)	(591)	(666)	(802)	(964)	(1,116)	(1,120)	(1,244)	(1,127)	(1,201)	(1,110)	(433)
<b>RECEIPTS:</b>													
Taxes	146	146	179	188	170	139	196	149	183	155	158	206	2,015
Miscellaneous Receipts	60	84	198	89	72	402	244	108	371	128	334	935	3,025
Federal Grants	120	98	123	174	126	150	297	170	151	99	127	247	1,882
<b>TOTAL RECEIPTS</b>	<b>326</b>	<b>328</b>	<b>500</b>	<b>451</b>	<b>368</b>	<b>691</b>	<b>737</b>	<b>427</b>	<b>705</b>	<b>382</b>	<b>619</b>	<b>1,388</b>	<b>6,922</b>
<b>DISBURSEMENTS:</b>													
Local Assistance Grants	86	57	129	93	80	113	109	42	77	104	82	384	1,356
Total Local Assistance Grants	<b>86</b>	<b>57</b>	<b>129</b>	<b>93</b>	<b>80</b>	<b>113</b>	<b>109</b>	<b>42</b>	<b>77</b>	<b>104</b>	<b>82</b>	<b>384</b>	<b>1,356</b>
Economic Development	40	64	23	11	20	137	11	21	82	53	42	24	528
Parks & the Environment	28	34	28	29	39	57	59	45	56	24	36	19	454
Transportation	199	208	303	290	301	328	338	300	251	198	255	198	3,169
Health & Social Welfare	3	12	4	11	4	4	11	3	3	10	3	2	70
Mental Hygiene	7	7	9	14	8	10	10	10	10	10	5	21	121
Public Protection	20	29	39	24	28	28	27	25	26	24	14	46	330
Education	38	29	51	50	70	68	56	45	50	51	48	42	598
All Other	12	11	14	15	15	36	31	8	12	15	11	24	204
Total Capital Projects	<b>347</b>	<b>394</b>	<b>471</b>	<b>444</b>	<b>485</b>	<b>668</b>	<b>543</b>	<b>457</b>	<b>490</b>	<b>385</b>	<b>414</b>	<b>376</b>	<b>5,474</b>
<b>TOTAL DISBURSEMENTS</b>	<b>433</b>	<b>451</b>	<b>600</b>	<b>537</b>	<b>565</b>	<b>781</b>	<b>652</b>	<b>499</b>	<b>567</b>	<b>489</b>	<b>496</b>	<b>760</b>	<b>6,830</b>
<b>OTHER FINANCING SOURCES (uses):</b>													
Transfers from other funds	107	81	81	58	90	118	2	7	119	93	(1)	35	790
Transfers to other funds	(56)	(60)	(56)	(108)	(55)	(180)	(91)	(59)	(140)	(60)	(31)	(517)	(1,413)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	457	457
<b>NET OTHER FINANCING SOURCES/(USES)</b>	<b>51</b>	<b>21</b>	<b>25</b>	<b>(50)</b>	<b>35</b>	<b>(62)</b>	<b>(89)</b>	<b>(52)</b>	<b>(21)</b>	<b>33</b>	<b>(32)</b>	<b>(25)</b>	<b>(166)</b>
Excess/(Deficiency) of Receipts over Disbursements	(56)	(102)	(75)	(136)	(162)	(152)	(4)	(124)	117	(74)	91	603	(74)
<b>CLOSING BALANCE</b>	<b>(489)</b>	<b>(591)</b>	<b>(666)</b>	<b>(802)</b>	<b>(964)</b>	<b>(1,116)</b>	<b>(1,120)</b>	<b>(1,244)</b>	<b>(1,127)</b>	<b>(1,201)</b>	<b>(1,110)</b>	<b>(507)</b>	<b>(507)</b>

\* Preliminary results, subject to revisions.

**CASHFLOW**  
**CAPITAL PROJECTS STATE FUNDS**  
**2008-2009**  
(dollars in millions)

	<b>2008</b>	<b>April</b>	<b>May</b>	<b>June</b>	<b>July</b>	<b>August</b>	<b>September</b>	<b>October</b>	<b>November</b>	<b>December</b>	<b>2009</b>	<b>January</b>	<b>February</b>	<b>March</b>	<b>Total</b>
	<b>Results</b>	<b>Results</b>	<b>Results</b>	<b>Results</b>	<b>Results</b>	<b>Results</b>	<b>Results</b>	<b>Results</b>	<b>Results</b>	<b>Results</b>	<b>Results</b>	<b>Results</b>	<b>Results</b>	<b>Results*</b>	<b>Total</b>
<b>OPENING BALANCE</b>	(146)	(346)	(252)	(346)	(426)	(560)	(666)	(804)	(924)	(1,040)	(942)	(942)	(1,027)	(988)	(146)
<b>RECEIPTS:</b>															
Taxes	146	179	146	179	188	170	139	196	149	183	155	155	158	206	2,015
Miscellaneous Receipts	60	198	84	198	89	71	402	244	108	371	126	126	334	835	3,022
Federal Grants	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>TOTAL RECEIPTS</b>	<b>206</b>	<b>377</b>	<b>230</b>	<b>377</b>	<b>277</b>	<b>241</b>	<b>541</b>	<b>440</b>	<b>257</b>	<b>554</b>	<b>281</b>	<b>281</b>	<b>492</b>	<b>1,141</b>	<b>5,037</b>
<b>DISBURSEMENTS:</b>															
Local Assistance Grants	60	114	35	114	33	39	73	59	16	30	74	74	55	226	814
<b>Total Local Assistance Grants</b>	<b>60</b>	<b>114</b>	<b>35</b>	<b>114</b>	<b>33</b>	<b>39</b>	<b>73</b>	<b>59</b>	<b>16</b>	<b>30</b>	<b>74</b>	<b>74</b>	<b>55</b>	<b>226</b>	<b>814</b>
Economic Development	39	64	23	64	11	20	136	10	21	82	53	53	42	23	524
Parks & the Environment	27	32	27	32	29	38	55	58	44	55	23	23	36	19	443
Transportation	160	137	207	137	181	166	212	220	154	172	150	150	211	(20)	1,950
Health & Social Welfare	3	12	4	12	4	4	4	11	3	3	10	10	3	2	70
Mental Hygiene	7	7	7	7	14	8	10	10	10	9	10	10	5	22	121
Public Protection	19	26	37	26	21	26	25	22	23	23	21	21	13	44	300
Education	38	29	51	29	50	70	68	56	45	50	51	51	48	42	598
All Other	10	7	7	10	11	11	34	25	5	11	7	7	8	19	158
<b>Total Capital Projects</b>	<b>303</b>	<b>314</b>	<b>314</b>	<b>368</b>	<b>328</b>	<b>343</b>	<b>544</b>	<b>412</b>	<b>305</b>	<b>405</b>	<b>325</b>	<b>325</b>	<b>366</b>	<b>151</b>	<b>4,164</b>
<b>TOTAL DISBURSEMENTS</b>	<b>363</b>	<b>349</b>	<b>349</b>	<b>482</b>	<b>361</b>	<b>382</b>	<b>617</b>	<b>471</b>	<b>321</b>	<b>435</b>	<b>399</b>	<b>399</b>	<b>421</b>	<b>377</b>	<b>4,978</b>
<b>OTHER FINANCING SOURCES (uses):</b>															
Transfers from other funds	107	81	81	81	58	90	118	2	7	119	93	93	(1)	35	790
Transfers to other funds	(56)	(56)	(56)	(56)	(108)	(55)	(180)	(91)	(59)	(140)	(60)	(60)	(31)	(477)	(1,369)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0	457	457
<b>NET OTHER FINANCING SOURCES/(USES)</b>	<b>51</b>	<b>25</b>	<b>25</b>	<b>25</b>	<b>(50)</b>	<b>35</b>	<b>(62)</b>	<b>(89)</b>	<b>(52)</b>	<b>(21)</b>	<b>33</b>	<b>33</b>	<b>(32)</b>	<b>15</b>	<b>(122)</b>
<b>Excess/(Deficiency) of Receipts over Disbursements</b>	<b>(106)</b>	<b>(94)</b>	<b>(94)</b>	<b>(80)</b>	<b>(134)</b>	<b>(106)</b>	<b>(138)</b>	<b>(120)</b>	<b>(116)</b>	<b>98</b>	<b>(85)</b>	<b>(85)</b>	<b>39</b>	<b>779</b>	<b>(63)</b>
<b>CLOSING BALANCE</b>	<b>(252)</b>	<b>(346)</b>	<b>(346)</b>	<b>(426)</b>	<b>(560)</b>	<b>(666)</b>	<b>(804)</b>	<b>(924)</b>	<b>(1,040)</b>	<b>(942)</b>	<b>(1,027)</b>	<b>(1,027)</b>	<b>(988)</b>	<b>(209)</b>	<b>(209)</b>

\* Preliminary results, subject to revisions.

**CASHFLOW  
CAPITAL PROJECTS FEDERAL FUNDS  
2008-2009**  
(dollars in millions)

	2008	2009	2008	2009	2008	2009	2008	2009	2008	2009	2008	2009	2008	2009	2008	2009											
	April	May	June	July	August	September	October	November	December	January	February	March	Total	April	May	June	July	August	September	October	November	December	January	February	March	Total	
	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	
<b>OPENING BALANCE</b>	(287)	(237)	(245)	(240)	(242)	(299)	(313)	(197)	(205)	(185)	(176)	(124)	(287)	(287)	(237)	(245)	(240)	(242)	(299)	(313)	(197)	(205)	(185)	(176)	(124)	(287)	
<b>RECEIPTS:</b>																											
Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Federal Grants	120	98	123	174	126	150	297	170	151	99	127	247	1,882	120	98	123	174	126	150	297	170	151	99	127	247	1,882	
<b>TOTAL RECEIPTS</b>	120	98	123	174	126	150	297	170	152	99	127	249	1,885	120	98	123	174	126	150	297	170	152	99	127	249	1,885	
<b>DISBURSEMENTS:</b>																											
Local Assistance Grants	26	22	15	60	41	40	50	26	47	30	27	158	542	26	22	15	60	41	40	50	26	47	30	27	158	542	
Total Local Assistance Grants	26	22	15	60	41	40	50	26	47	30	27	158	542	26	22	15	60	41	40	50	26	47	30	27	158	542	
Economic Development	1	0	0	0	0	1	1	0	0	0	0	0	4	1	0	0	0	0	0	0	0	0	0	0	0	0	4
Parks & the Environment	1	2	1	0	1	2	1	1	1	1	1	0	11	1	1	1	1	1	1	1	1	1	1	1	0	0	11
Transportation	39	71	96	109	135	116	118	146	79	48	44	218	1,219	39	71	96	109	135	116	118	146	79	48	44	218	1,219	
Health & Social Welfare	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Mental Hygiene	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Public Protection	1	3	2	3	2	3	5	2	3	3	1	2	30	1	3	2	3	2	3	5	2	3	3	1	2	30	
Education	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	2	4	4	4	4	2	6	3	1	8	3	5	46	2	4	4	4	4	2	6	3	1	8	3	5	46	
Total Capital Projects	44	80	103	116	142	124	131	152	85	60	48	225	1,310	44	80	103	116	142	124	131	152	85	60	48	225	1,310	
<b>TOTAL DISBURSEMENTS</b>	70	102	118	176	183	164	181	178	132	90	75	383	1,852	70	102	118	176	183	164	181	178	132	90	75	383	1,852	
<b>OTHER FINANCING SOURCES (uses):</b>																											
Transfers from other funds	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Transfers to other funds	0	(4)	0	0	0	0	0	0	0	0	0	(40)	(44)	0	0	0	0	0	0	0	0	0	0	0	(40)	(44)	
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>NET OTHER FINANCING SOURCES/(USES)</b>	0	(4)	0	0	0	0	0	0	0	0	0	(40)	(44)	0	0	0	0	0	0	0	0	0	0	0	(40)	(44)	
Excess/(Deficiency) of Receipts over Disbursements	50	(8)	5	(2)	(57)	(14)	116	(8)	20	9	52	(174)	(11)	50	(8)	5	(2)	(57)	(14)	116	(8)	20	9	52	(174)	(11)	
<b>CLOSING BALANCE</b>	(237)	(245)	(240)	(242)	(299)	(313)	(197)	(205)	(185)	(176)	(124)	(298)	(298)	(237)	(245)	(240)	(242)	(299)	(313)	(197)	(205)	(185)	(176)	(124)	(298)	(298)	

\* Preliminary results, subject to revisions.

**CASHFLOW**  
**SPECIAL REVENUE FUNDS**  
**2008-2009**  
(dollars in millions)

	2008	2009	2008	2009	2008	2009	2008	2009	2008	2009	2008	2009	2008	2009	2008	2009	2008	2009	2008	2009	2008	2009	2008	2009				
	April	May	June	July	August	September	October	November	December	January	February	March	April	May	June	July	August	September	October	November	December	January	February	March	April			
	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results*	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Total		
<b>OPENING BALANCE</b>	3,879	4,358	5,319	5,111	4,716	4,069	2,648	2,412	2,819	2,291	2,234	2,355	3,879															
<b>RECEIPTS:</b>																												
Personal Income Tax	0	0	390	0	0	782	1,397	971	900	0	1	(7)	4,434															
User Taxes and Fees	208	119	183	147	169	192	164	144	199	155	137	112	1,929															
Business Taxes	59	38	210	62	63	256	27	56	263	48	59	276	1,417															
Total Taxes	267	157	783	209	232	1,230	1,588	1,171	1,362	203	197	381	7,780															
HCRA	307	273	278	280	255	279	271	281	295	294	245	556	3,614															
State University Income	178	163	166	180	282	408	245	175	193	363	386	219	2,958															
Lottery	266	227	207	259	203	205	247	205	263	197	220	233	2,732															
Medicaid	44	37	48	40	44	52	62	58	46	47	44	40	562															
Other receipts	229	197	258	339	125	360	298	172	322	129	88	706	3,223															
Total Miscellaneous Receipts	1,024	897	957	1,088	909	1,304	1,123	891	1,119	1,030	983	1,754	13,089															
Federal Grants	2,239	3,212	2,901	2,774	2,523	2,842	3,369	2,829	2,603	3,099	3,165	5,551	36,907															
<b>TOTAL RECEIPTS</b>	3,530	4,266	4,641	4,081	3,664	5,176	6,080	4,891	5,084	4,332	4,345	7,687	57,776															
<b>DISBURSEMENTS:</b>																												
School Aid	230	176	600	211	107	2,072	251	315	339	366	466	382	5,514															
Higher Education	0	0	0	0	0	0	0	1	0	0	0	1	2															
All Other Education	136	45	70	59	18	27	61	75	67	86	94	94	832															
STAR	1	1	390	4	0	430	1,660	802	247	885	2	13	4,435															
Medicaid - DOH	1,861	1,713	1,535	2,252	2,208	1,874	2,178	1,882	2,435	1,574	1,993	3,682	25,187															
Public Health	142	207	270	286	439	255	227	197	297	230	197	448	3,195															
Mental Hygiene	37	34	60	254	123	149	134	50	149	165	95	239	1,489															
Children and Families	3	3	140	25	220	80	68	88	63	8	139	168	1,005															
Temporary & Disability Assistance	135	155	380	188	184	301	539	314	340	112	256	568	3,472															
Transportation	69	355	228	337	400	274	112	295	631	61	96	50	2,908															
All Other	58	74	57	80	77	98	83	48	61	59	32	105	832															
Total Local Assistance Grants	2,672	2,763	3,730	3,696	3,776	5,560	5,313	4,067	4,629	3,546	3,370	5,750	48,871															
Personal Service	472	510	462	605	420	504	649	477	613	514	587	628	6,441															
Non-Personal Service	261	296	423	272	338	392	404	304	370	320	371	406	4,157															
Total State Operations	733	806	885	877	758	896	1,053	781	983	834	958	1,034	10,598															
General State Charges	65	79	461	138	72	381	76	112	296	101	313	147	2,241															
Capital Projects	0	0	1	1	2	1	1	1	1	0	1	0	9															
<b>TOTAL DISBURSEMENTS</b>	3,470	3,648	5,077	4,712	4,608	6,838	6,443	4,961	5,909	4,481	4,642	6,931	61,719															
<b>OTHER FINANCING SOURCES (uses):</b>																												
Transfers from other funds	699	646	493	515	531	493	383	718	583	415	755	1,077	7,308															
Transfers to other funds	(280)	(303)	(265)	(279)	(234)	(252)	(256)	(241)	(286)	(323)	(337)	(1,341)	(4,397)															
<b>NET OTHER FINANCING SOURCES/(USES)</b>	419	343	228	236	297	241	127	477	297	92	418	(264)	2,911															
Excess/(Deficiency) of Receipts over Disbursements	479	961	(208)	(395)	(647)	(1,421)	(236)	407	(528)	(67)	121	482	(1,032)															
<b>CLOSING BALANCE</b>	4,358	5,319	5,111	4,716	4,069	2,648	2,412	2,819	2,291	2,234	2,355	2,847	2,847															

**CASHFLOW**  
**SPECIAL REVENUE STATE FUNDS**  
**2008-2009**  
(dollars in millions)

	2008 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2009 January Results	February Results	March Results*	Total
<b>OPENING BALANCE</b>	3,520	4,390	4,626	4,688	4,528	3,871	2,756	2,551	2,745	2,717	2,007	2,043	3,520
<b>RECEIPTS:</b>													
Personal Income Tax	0	0	390	0	0	782	1,397	971	900	0	1	(7)	4,434
User Taxes and Fees	208	119	183	147	169	192	164	144	199	155	137	112	1,929
Business Taxes	59	38	210	62	63	256	27	56	263	48	59	276	1,417
Total Taxes	267	157	783	209	232	1,230	1,588	1,171	1,362	203	197	381	7,780
HCRA	307	273	278	280	255	279	271	281	295	294	245	556	3,614
State University Income	178	163	166	180	282	408	245	175	193	363	386	219	2,958
Lottery	266	227	207	259	203	205	247	205	263	197	220	233	2,732
Medicaid	44	37	48	40	44	52	62	58	46	47	44	40	562
Other receipts	216	159	247	327	105	348	288	156	311	119	78	691	3,045
Miscellaneous Receipts	1,011	859	946	1,086	889	1,292	1,113	875	1,108	1,020	973	1,739	12,911
Federal Grants	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>TOTAL RECEIPTS</b>	1,278	1,016	1,729	1,295	1,121	2,522	2,701	2,046	2,470	1,223	1,170	2,120	20,691
<b>DISBURSEMENTS:</b>													
School Aid	0	0	328	(1)	0	1,907	115	115	115	115	115	146	2,955
Higher Education	0	0	0	0	0	0	0	1	0	0	0	1	2
All Other Education	0	3	0	1	4	5	3	1	2	1	1	1	22
STAR	1	1	390	4	0	430	1,660	802	247	885	2	13	4,435
Medicaid - DOH	45	34	34	401	692	399	338	212	440	160	254	419	3,428
Public Health	59	121	181	152	326	132	120	102	155	134	107	273	1,862
Mental Hygiene	23	19	26	165	91	109	102	35	116	110	63	168	1,027
Children and Families	0	0	0	0	0	0	0	1	0	0	0	1	2
Temporary & Disability Assistance	0	0	0	6	0	0	0	0	0	0	0	0	6
Transportation	67	354	224	334	396	271	108	290	626	57	94	55	2,876
All Other	16	16	25	23	37	52	20	8	9	13	59	51	329
Total Local Assistance Grants	211	548	1,208	1,085	1,546	3,305	2,466	1,567	1,710	1,475	695	1,128	16,944
Personal Service	344	314	263	314	257	336	440	314	541	335	412	291	4,161
Non-Personal Service	205	212	289	188	230	171	284	213	257	212	197	267	2,725
Total State Operations	549	526	552	502	487	507	724	527	798	547	609	558	6,886
General State Charges	61	40	126	137	63	146	63	71	280	119	173	28	1,307
Capital Projects	0	0	1	1	2	1	1	1	1	0	1	0	9
<b>TOTAL DISBURSEMENTS</b>	821	1,114	1,887	1,725	2,098	3,959	3,254	2,166	2,789	2,141	1,478	1,714	25,146
<b>OTHER FINANCING SOURCES (uses):</b>													
Transfers from other funds	426	343	279	286	270	330	337	311	340	285	368	987	4,562
Transfers to other funds	(13)	(9)	(59)	(16)	50	(8)	11	3	(49)	(77)	(24)	(965)	(1,156)
<b>NET OTHER FINANCING SOURCES/(USES)</b>	413	334	220	270	320	322	348	314	291	208	344	22	3,406
Excess/(Deficiency) of Receipts over Disbursements	870	236	62	(160)	(657)	(1,115)	(205)	194	(28)	(710)	36	428	(1,049)
<b>CLOSING BALANCE</b>	4,390	4,626	4,688	4,528	3,871	2,756	2,551	2,745	2,717	2,007	2,043	2,471	2,471



**CASHFLOW**  
**SPECIAL REVENUE FEDERAL FUNDS**  
**2008-2009**  
(dollars in millions)

	2008	2009	2008	2009	2008	2009	2008	2009	2008	2009	2008	2009	2008	2009	2008	2009
	April	May	June	July	August	September	October	November	December	January	February	March	April	May	June	July
	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results*	Results	Results	Results	Results
<b>OPENING BALANCE</b>	359	(32)	693	423	188	198	(108)	(139)	74	(426)	227	312	359			
<b>RECEIPTS:</b>																
Miscellaneous Receipts	13	38	11	12	20	12	10	16	11	10	10	15	178			
Federal Grants	2,239	3,212	2,901	2,774	2,523	2,642	3,369	2,829	2,603	3,099	3,165	5,551	36,907			
<b>TOTAL RECEIPTS</b>	<b>2,252</b>	<b>3,250</b>	<b>2,912</b>	<b>2,786</b>	<b>2,543</b>	<b>2,654</b>	<b>3,379</b>	<b>2,845</b>	<b>2,614</b>	<b>3,109</b>	<b>3,175</b>	<b>5,566</b>	<b>37,085</b>			
<b>DISBURSEMENTS:</b>																
School Aid	230	176	272	212	107	165	136	200	224	251	351	236	2,560			
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0			
All Other Education	136	42	70	58	14	22	58	74	65	85	93	93	810			
STAR	0	0	0	0	0	0	0	0	0	0	0	0	0			
Medicaid - DOH	1,816	1,679	1,501	1,851	1,516	1,475	1,840	1,670	1,995	1,414	1,739	3,263	21,759			
Public Health	83	86	89	134	113	123	107	95	142	96	90	175	1,333			
Mental Hygiene	14	15	34	89	32	40	32	15	33	55	32	71	462			
Children and Families	3	3	140	25	220	80	68	87	63	8	139	167	1,003			
Temporary & Disability Assistance	135	155	380	182	184	301	539	314	340	112	256	568	3,466			
Transportation	2	1	4	3	4	3	4	5	5	4	2	(5)	32			
All Other	42	58	32	57	40	46	63	40	52	46	(27)	53	502			
<b>Total Local Assistance Grants</b>	<b>2,461</b>	<b>2,215</b>	<b>2,522</b>	<b>2,611</b>	<b>2,230</b>	<b>2,255</b>	<b>2,847</b>	<b>2,500</b>	<b>2,919</b>	<b>2,071</b>	<b>2,675</b>	<b>4,621</b>	<b>31,927</b>			
Personal Service	128	196	199	291	163	168	209	163	72	179	175	337	2,280			
Non-Personal Service	56	84	134	84	108	221	120	91	113	108	174	139	1,432			
<b>Total State Operations</b>	<b>184</b>	<b>280</b>	<b>333</b>	<b>375</b>	<b>271</b>	<b>389</b>	<b>329</b>	<b>254</b>	<b>185</b>	<b>287</b>	<b>349</b>	<b>476</b>	<b>3,712</b>			
General State Charges	4	39	335	1	9	235	13	41	16	(18)	140	119	934			
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0			
<b>TOTAL DISBURSEMENTS</b>	<b>2,649</b>	<b>2,534</b>	<b>3,190</b>	<b>2,987</b>	<b>2,510</b>	<b>2,879</b>	<b>3,189</b>	<b>2,795</b>	<b>3,120</b>	<b>2,340</b>	<b>3,164</b>	<b>5,216</b>	<b>36,573</b>			
<b>OTHER FINANCING SOURCES (uses):</b>																
Transfers from other funds	273	303	214	229	261	163	46	407	243	130	387	90	2,746			
Transfers to other funds	(267)	(294)	(206)	(263)	(284)	(244)	(287)	(244)	(237)	(246)	(313)	(376)	(3,241)			
<b>NET OTHER FINANCING SOURCES/(USES)</b>	<b>6</b>	<b>9</b>	<b>8</b>	<b>(34)</b>	<b>(23)</b>	<b>(81)</b>	<b>(221)</b>	<b>163</b>	<b>6</b>	<b>(116)</b>	<b>74</b>	<b>(286)</b>	<b>(495)</b>			
Excess/(Deficiency) of Receipts over Disbursements	(391)	725	(270)	(235)	10	(306)	(31)	213	(500)	653	85	64	17			
<b>CLOSING BALANCE</b>	<b>(32)</b>	<b>693</b>	<b>423</b>	<b>188</b>	<b>198</b>	<b>(108)</b>	<b>(139)</b>	<b>74</b>	<b>(426)</b>	<b>227</b>	<b>312</b>	<b>376</b>	<b>376</b>			

**CASHFLOW  
DEBT SERVICE FUNDS  
2008-2009**  
(dollars in millions)

	2008	2009											Total
	April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	January Results	February Results	March Results*	
<b>OPENING BALANCE</b>	286	321	571	298	302	467	353	612	705	408	745	1,050	286
<b>RECEIPTS:</b>													
Taxes	2,123	546	1,255	827	784	1,272	784	524	1,179	1,678	627	642	12,241
Miscellaneous Receipts	66	56	56	46	69	106	70	53	57	98	111	57	845
Federal Grants	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>TOTAL RECEIPTS</b>	2,189	602	1,311	873	853	1,378	854	577	1,236	1,776	738	699	13,086
<b>DISBURSEMENTS:</b>													
State Operations	0	3	9	15	4	5	1	2	9	0	3	5	56
Debt Service	316	211	410	110	213	708	100	262	744	64	224	1,168	4,530
<b>TOTAL DISBURSEMENTS</b>	316	214	419	125	217	713	101	264	753	64	227	1,173	4,586
<b>OTHER FINANCING SOURCES (uses):</b>													
Transfers from other funds	581	493	514	341	318	732	322	496	693	300	288	898	5,976
Transfers to other funds	(2,419)	(631)	(1,679)	(1,065)	(789)	(1,511)	(816)	(716)	(1,473)	(1,675)	(494)	(1,176)	(14,464)
<b>NET OTHER FINANCING SOURCES/(USES)</b>	(1,838)	(138)	(1,165)	(744)	(471)	(779)	(494)	(220)	(780)	(1,375)	(206)	(278)	(8,488)
Excess/(Deficiency) of Receipts over Disbursements	35	250	(273)	4	165	(114)	259	93	(297)	337	305	(752)	12
<b>CLOSING BALANCE</b>	321	571	298	302	467	353	612	705	408	745	1,050	298	298

\* Preliminary results, subject to revisions.

**CASHFLOW**  
**ALL GOVERNMENTAL FUNDS**  
**2008-2009**  
**(dollars in millions)**

	2008	2009						Total					
	April Results	May Results	June Results	July Results	August Results	September Results	October Results		November Results	December Results	January Results	February Results	March Results*
<b>OPENING BALANCE</b>	6,486	11,780	8,846	8,363	8,452	7,970	7,561	5,795	3,449	3,309	7,534	7,905	6,486
<b>RECEIPTS:</b>													
Taxes	8,992	2,467	6,474	3,996	3,577	6,900	3,590	2,549	6,821	7,244	3,110	4,617	60,337
Miscellaneous Receipts	1,266	1,226	1,490	1,312	1,168	2,035	1,563	1,285	1,783	1,795	1,852	3,289	20,064
Federal Grants	2,363	3,311	3,025	2,961	2,649	2,806	3,666	2,999	2,768	3,198	3,292	5,796	38,834
<b>TOTAL RECEIPTS</b>	12,621	7,004	10,989	8,269	7,394	11,741	8,819	6,833	11,372	12,237	8,254	13,702	119,235
<b>DISBURSEMENTS:</b>													
School Aid	640	2,460	2,523	348	584	3,475	810	1,399	1,857	857	1,279	7,039	23,271
Higher Education	20	18	454	82	223	46	159	359	102	169	47	556	2,235
All Other Education	155	120	464	172	97	160	217	139	234	219	156	327	2,460
STAR	1	1	390	4	0	430	1,660	802	247	885	2	13	4,435
Medicaid - DOH	2,753	2,984	2,296	3,085	2,571	2,278	3,372	2,721	2,960	2,457	2,786	3,050	33,313
Public Health	192	221	284	305	459	448	255	287	335	247	211	463	3,707
Mental Hygiene	97	103	419	258	93	498	178	155	612	203	230	707	3,553
Children and Families	11	72	307	226	366	224	146	181	104	294	210	536	2,677
Temporary & Disability Assistance	258	278	700	340	337	496	408	405	466	184	202	620	4,694
Transportation	69	369	260	337	417	275	112	322	637	61	105	51	3,015
All Other	173	165	599	234	200	455	274	140	582	176	80	829	3,907
<b>Total Local Assistance Grants</b>	4,369	6,791	8,696	5,391	5,347	8,765	7,591	6,910	8,136	5,752	5,308	14,191	87,267
Personal Service	1,247	929	938	1,266	952	964	1,348	973	1,134	948	952	958	12,609
Non-Personal Service	487	505	623	485	523	623	544	421	566	461	551	568	6,357
<b>Total State Operations</b>	1,734	1,434	1,561	1,751	1,475	1,587	1,892	1,394	1,700	1,409	1,503	1,526	18,966
General State Charges	554	1,099	319	479	350	400	452	151	464	397	431	229	5,325
Debt service	316	211	410	110	213	708	100	262	744	64	224	1,168	4,530
Capital Projects	347	394	472	445	487	669	544	458	491	385	415	376	5,483
<b>TOTAL DISBURSEMENTS</b>	7,320	9,929	11,458	8,176	7,872	12,149	10,579	9,175	11,535	8,007	7,881	17,490	121,571
<b>OTHER FINANCING SOURCES (uses):</b>													
Transfers from other funds	3,486	1,522	2,558	1,816	1,458	2,673	1,437	1,509	2,713	2,400	1,223	3,630	26,424
Transfers to other funds	(3,493)	(1,531)	(2,572)	(1,820)	(1,462)	(2,674)	(1,443)	(1,513)	(2,690)	(2,405)	(1,225)	(3,617)	(26,445)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	467	467
<b>NET OTHER FINANCING SOURCES/(USES)</b>	(7)	(9)	(14)	(4)	(4)	(1)	(6)	(4)	23	(5)	(2)	470	436
Excess/(Deficiency) of Receipts over Disbursements	5,294	(2,934)	(483)	89	(482)	(409)	(1,766)	(2,346)	(140)	4,225	371	(3,319)	(1,900)
<b>CLOSING BALANCE</b>	11,780	8,846	8,363	8,452	7,970	7,561	5,795	3,449	3,309	7,534	7,905	4,586	4,586

\* Preliminary results, subject to revisions.

**CASHFLOW  
STATE FUNDS  
2008-2009**  
(dollars in millions)

	2008	April	May	June	July	August	September	October	November	December	2009	January	February	March	Total	
	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results*	Total
<b>OPENING BALANCE</b>	6,414	12,048	3,655	7,953	5,309	4,724	8,067	7,978	6,127	3,576	3,917	7,478	7,478	7,712	6,414	
<b>RECEIPTS:</b>																
Taxes	8,992	2,467	6,474	1,479	3,996	1,147	6,900	3,590	2,548	6,821	7,244	3,110	3,110	4,617	60,337	
Miscellaneous Receipts	1,253	1,188	0	0	1,300	0	2,023	1,553	1,269	1,772	1,783	1,842	1,842	3,274	19,883	
Federal Grants	3	0	0	0	13	0	14	0	0	14	0	0	0	1	45	
<b>TOTAL RECEIPTS</b>	10,248	3,655	7,953	5,309	4,724	8,067	7,978	6,127	3,818	8,607	9,027	4,952	4,952	7,892	80,265	
<b>DISBURSEMENTS:</b>																
School Aid	410	2,284	2,251	6,159	2,720	3,076	6,490	4,694	4,384	5,170	3,654	2,606	2,606	9,412	54,798	
Higher Education	20	18	454	739	975	789	796	1,139	810	1,062	769	777	777	621	10,329	
All Other Education	19	78	394	489	401	415	402	424	330	453	353	377	377	429	4,925	
STAR	1	1	390	1,228	1,376	1,204	1,198	1,563	1,140	1,515	1,122	1,154	1,154	1,050	15,254	
Medicaid - DOH	937	1,305	795	1,234	1,234	1,055	803	1,532	1,051	965	1,043	1,047	1,047	(213)	11,554	
Public Health	109	135	195	256	334	346	325	148	192	193	151	121	121	288	2,374	
Mental Hygiene	83	88	385	552	119	119	369	161	74	483	100	80	80	618	2,983	
Children and Families	8	69	167	201	146	146	144	78	94	41	286	148	198	369	3,091	
Temporary & Disability Assistance	123	123	320	320	158	153	195	(131)	91	126	72	(54)	(54)	52	1,228	
Transportation	67	368	256	334	413	413	272	108	317	632	57	103	103	56	2,983	
All Other	105	85	552	119	119	119	369	161	74	483	100	80	80	618	2,983	
<b>Total Local Assistance Grants</b>	1,882	4,554	6,159	2,720	3,076	3,076	6,490	4,694	4,384	5,170	3,654	2,606	2,606	9,412	54,798	
Personal Service	1,119	733	739	489	401	415	402	424	330	453	353	377	377	429	4,925	
Non-Personal Service	431	421	489	1,228	1,376	1,204	1,198	1,563	1,140	1,515	1,122	1,154	1,154	1,050	15,254	
<b>Total State Operations</b>	1,550	1,154	1,228	1,228	1,376	1,204	1,198	1,563	1,140	1,515	1,122	1,154	1,154	1,050	15,254	
General State Charges	550	1,060	(16)	(16)	478	341	165	439	110	448	415	291	291	110	4,391	
Debt service	316	211	410	410	110	213	708	100	262	744	64	224	224	1,168	4,530	
Capital Projects	303	314	369	369	329	345	545	413	306	406	325	367	367	151	4,173	
<b>TOTAL DISBURSEMENTS</b>	4,601	7,293	8,150	8,150	5,013	5,179	9,106	7,209	6,202	8,283	5,577	4,842	4,842	11,891	83,146	
<b>OTHER FINANCING SOURCES (uses):</b>																
Transfers from other funds	3,213	1,219	2,344	(2,366)	1,587	1,197	2,510	1,391	1,102	2,470	2,270	836	836	3,540	23,678	
Transfers to other funds	(3,226)	(1,233)	(2,366)	(2,366)	(1,557)	(1,178)	(2,430)	(1,176)	(1,269)	(2,453)	(2,159)	(912)	(912)	(3,201)	(23,160)	
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0	0	457	
<b>NET OTHER FINANCING SOURCES/(USES)</b>	(13)	(14)	(22)	(22)	30	19	80	215	(167)	17	111	(76)	(76)	796	975	
Excess/(Deficiency) of Receipts over Disbursements	5,634	(3,652)	(219)	(219)	326	(436)	(89)	(1,851)	(2,551)	341	3,561	234	234	(3,204)	(1,906)	
<b>CLOSING BALANCE</b>	12,048	8,396	8,177	8,503	8,067	7,978	6,127	3,576	3,917	7,478	7,712	7,712	7,712	4,508	4,508	

\* Preliminary results, subject to revisions.

**CASHFLOW  
GENERAL FUND  
2009-2010  
(dollars in millions)**

	2009		2010		Total								
	April Projected	May Projected	June Projected	July Projected		August Projected	September Projected	October Projected	November Projected	December Projected	January Projected	February Projected	March Projected
<b>OPENING BALANCE</b>	1,948	2,860	134	111	1,076	1,113	2,777	2,516	762	1,231	5,621	4,827	1,948
<b>RECEIPTS:</b>													
Personal Income Tax	2,983	1,004	2,083	1,987	1,764	2,964	1,236	433	2,105	4,729	1,210	1,906	24,404
User Taxes and Fees	627	643	860	684	678	855	666	657	797	711	571	771	8,520
Business Taxes	10	27	958	96	99	1,145	93	42	1,123	83	126	1,693	5,495
Other Taxes	52	84	86	85	85	85	84	84	84	84	84	85	982
Total Taxes	3,672	1,758	3,987	2,852	2,626	5,049	2,079	1,216	4,109	5,607	1,991	4,455	39,401
Licenses, Fees, etc.	45	70	50	35	60	45	55	50	35	40	70	135	690
Abandoned Property	19	0	16	16	10	52	14	172	38	69	56	238	700
Reimbursements	4	9	23	5	13	20	10	11	24	6	11	36	172
Investment Income	39	7	25	22	0	6	18	15	3	14	0	6	155
Other Transactions	40	45	81	47	57	758	48	37	89	41	36	385	1,664
Total Miscellaneous Receipts	147	131	195	125	140	881	145	285	189	170	173	800	3,381
Federal Grants	0	0	0	0	0	0	0	0	0	0	0	0	0
PIT in Excess of Revenue Bond Debt Service	1,049	256	926	661	312	1,090	616	110	1,024	979	217	890	8,130
Sales Tax in Excess of LGAC Debt Service	178	22	430	202	202	211	199	195	239	212	1	109	2,200
Real Estate Taxes in Excess of CW/CA Debt Service	20	20	0	0	0	0	0	0	0	5	5	7	57
All Other	1	0	187	44	0	70	12	0	133	10	10	702	1,169
Total Transfers from Other Funds	1,248	298	1,543	907	514	1,371	827	305	1,396	1,206	233	1,708	11,556
<b>TOTAL RECEIPTS</b>	5,067	2,187	5,725	3,884	3,280	7,301	3,051	1,806	5,694	6,983	2,397	6,963	54,338
<b>DISBURSEMENTS:</b>													
School Aid	578	2,656	2,017	129	526	1,261	554	982	1,598	288	785	6,645	18,019
Higher Education	28	20	764	84	224	163	368	26	240	47	332	540	2,836
All Other Education	57	150	280	115	117	66	109	94	142	98	153	259	1,640
Medicaid - DOH	974	666	1,077	793	714	322	543	822	429	433	549	49	6,401
Public Health	55	57	45	62	34	59	61	38	45	111	27	59	653
Mental Hygiene	12	38	366	44	16	506	45	8	453	125	142	393	2,148
Children and Families	27	198	91	278	98	107	91	110	283	71	82	387	1,823
Temporary & Disability Assistance	60	60	361	60	60	287	60	60	(13)	60	3	216	1,274
Transportation	0	16	28	0	16	3	0	19	4	0	10	4	100
All Other	37	38	494	63	56	215	58	53	534	44	43	557	2,192
Total Local Assistance Grants	1,828	3,899	4,553	1,628	1,861	2,989	1,889	2,212	3,715	1,277	2,126	9,109	37,086
Personal Service	735	546	478	641	515	853	437	484	551	455	377	393	6,465
Non-Personal Service	182	186	176	182	190	201	164	159	193	181	192	188	2,194
Total State Operations	917	732	654	823	705	1,054	601	643	744	636	569	581	8,659
General State Charges	409	(24)	168	348	290	999	422	292	82	375	219	124	3,704
Debt Service	617	0	0	13	50	278	16	107	436	12	47	207	1,783
Capital Projects	27	78	127	(113)	102	8	166	46	(1)	75	11	25	551
State Share Medicaid	238	197	197	197	197	197	197	197	197	197	197	154	2,362
Other Purposes	119	31	49	23	38	112	21	63	52	21	22	212	763
Total Transfers to Other Funds	1,001	306	373	120	387	595	400	413	684	305	277	598	5,459
<b>TOTAL DISBURSEMENTS</b>	4,155	4,913	5,748	2,919	3,243	5,637	3,312	3,560	5,225	2,593	3,191	10,412	54,908
Excess/(Deficiency) of Receipts over Disbursements	912	(2,726)	(23)	965	37	1,664	(261)	(1,754)	469	4,390	(794)	(3,449)	(570)
<b>CLOSING BALANCE</b>	2,860	134	111	1,076	1,113	2,777	2,516	762	1,231	5,621	4,827	1,378	1,378

**CASHFLOW  
STATE OPERATING FUNDS  
2009-2010  
(dollars in millions)**

	2009 April Projected	2009 May Projected	2009 June Projected	2009 July Projected	2009 August Projected	2009 September Projected	2009 October Projected	2009 November Projected	2009 December Projected	2010 January Projected	2010 February Projected	2010 March Projected	Total
<b>OPENING BALANCE</b>	4,717	6,409	3,857	3,029	4,299	4,816	4,737	4,682	3,551	3,228	8,608	8,202	4,717
<b>RECEIPTS:</b>													
Taxes	5,180	2,537	6,283	3,962	3,662	7,044	3,870	2,915	6,864	7,625	2,789	5,828	58,559
Miscellaneous Receipts	1,231	1,344	1,299	1,244	1,364	2,266	1,414	1,505	1,481	1,357	1,375	2,407	18,287
Federal Grants	0	0	0	0	0	0	0	0	0	0	0	1	1
<b>TOTAL RECEIPTS</b>	6,411	3,881	7,582	5,206	5,026	9,310	5,284	4,420	8,345	8,982	4,164	8,236	76,847
<b>DISBURSEMENTS:</b>													
School Aid	578	2,656	2,342	129	526	3,263	626	1,054	1,670	360	857	6,715	20,776
Higher Education	28	20	729	84	224	163	368	26	240	47	332	562	2,823
All Other Education	58	151	281	116	118	71	110	95	143	99	155	266	1,663
STAR	0	0	696	0	0	221	704	861	1,042	0	0	0	3,524
Medicaid - DOH	1,348	1,188	613	1,157	1,010	706	949	1,025	770	729	901	507	10,903
Public Health	233	167	134	146	141	147	173	150	138	202	210	283	2,124
Mental Hygiene	69	70	450	213	54	639	159	43	591	221	205	584	3,298
Children and Families	27	198	91	278	99	107	91	110	283	71	82	388	1,825
Temporary & Disability Assistance	60	60	361	60	61	288	60	60	13	61	3	215	1,276
Transportation	43	361	173	129	338	147	118	350	770	51	79	51	2,610
All Other	78	41	529	81	59	255	70	58	537	48	43	664	2,463
<b>Total Local Assistance Grants</b>	2,522	4,912	6,399	2,393	2,630	6,007	3,428	3,332	6,171	1,889	2,867	10,235	53,285
Personal Service	1,058	833	800	886	781	1,228	811	822	954	762	707	828	10,470
Non-Personal Service	362	404	426	367	459	457	432	394	491	415	420	530	5,157
<b>Total State Operations</b>	1,420	1,237	1,226	1,253	1,240	1,685	1,243	1,216	1,445	1,177	1,127	1,358	15,627
General State Charges	479	21	293	412	343	1,121	486	328	235	437	270	259	4,684
Debt service	326	240	436	94	254	764	87	192	882	82	328	1,458	5,143
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	3	3
<b>TOTAL DISBURSEMENTS</b>	4,747	6,410	8,354	4,152	4,467	9,577	5,244	5,568	8,733	3,585	4,592	13,313	78,742
<b>OTHER FINANCING SOURCES (uses):</b>													
Transfers from other funds	2,608	899	2,159	1,515	1,180	2,485	1,440	1,052	2,461	1,815	852	3,379	21,845
Transfers to other funds	(2,580)	(922)	(2,215)	(1,299)	(1,222)	(2,297)	(1,595)	(1,035)	(2,396)	(1,832)	(830)	(2,806)	(20,969)
<b>NET OTHER FINANCING SOURCES/(USES)</b>	28	(23)	(56)	216	(42)	188	(65)	17	65	(17)	22	573	876
Excess/(Deficiency) of Receipts over Disbursements	1,692	(2,552)	(828)	1,270	517	(79)	(55)	(1,131)	(323)	5,380	(406)	(4,504)	(1,019)
<b>CLOSING BALANCE</b>	6,409	3,857	3,029	4,299	4,816	4,737	4,682	3,551	3,228	8,608	8,202	3,698	3,698

**CASHFLOW**  
**CAPITAL PROJECTS FUNDS**  
2009-2010  
(dollars in millions)

	2009 April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2010			Total
										January Projected	February Projected	March Projected	
<b>OPENING BALANCE</b>	(507)	(342)	(355)	(350)	(401)	(444)	(551)	(576)	(526)	(478)	(456)	(406)	(507)
<b>RECEIPTS:</b>													
Taxes	141	146	181	187	170	179	168	160	199	167	170	220	2,088
Miscellaneous Receipts	229	237	240	349	234	358	255	354	307	246	292	639	3,740
Federal Grants	147	199	210	220	236	246	253	234	211	214	198	571	2,939
<b>TOTAL RECEIPTS</b>	<b>517</b>	<b>582</b>	<b>631</b>	<b>756</b>	<b>640</b>	<b>783</b>	<b>676</b>	<b>748</b>	<b>717</b>	<b>627</b>	<b>660</b>	<b>1,430</b>	<b>8,767</b>
<b>DISBURSEMENTS:</b>													
Local Assistance Grants	32	40	79	54	54	96	56	58	83	42	49	217	860
Total Local Assistance Grants	32	40	79	54	54	96	56	58	83	42	49	217	860
Economic Development	67	162	85	70	102	91	113	80	59	83	60	114	1,086
Parks & the Environment	19	25	24	33	38	33	39	41	36	38	42	362	730
Transportation	263	281	373	331	378	376	393	375	327	325	278	428	4,128
Health & Social Welfare	17	23	23	30	23	24	45	23	23	32	23	113	399
Mental Hygiene	8	10	9	10	16	10	11	11	13	9	14	23	144
Public Protection	27	25	32	28	31	29	35	31	29	30	30	55	382
Education	58	53	99	81	88	85	97	65	68	63	85	98	940
All Other	14	23	40	24	27	48	40	33	50	32	34	(202)	163
Total Capital Projects	473	602	685	607	703	696	773	659	605	612	566	992	7,972
<b>TOTAL DISBURSEMENTS</b>	<b>505</b>	<b>642</b>	<b>764</b>	<b>661</b>	<b>757</b>	<b>792</b>	<b>829</b>	<b>717</b>	<b>688</b>	<b>654</b>	<b>615</b>	<b>1,209</b>	<b>8,832</b>
<b>OTHER FINANCING SOURCES (uses):</b>													
Transfers from other funds	30	81	173	(110)	105	59	168	48	51	78	13	89	785
Transfers to other funds	(61)	(60)	(60)	(66)	(63)	(191)	(75)	(63)	(63)	(63)	(36)	(386)	(1,187)
Bond and note proceeds	184	26	25	30	32	34	35	34	31	34	28	39	532
<b>NET OTHER FINANCING SOURCES/(USES)</b>	<b>153</b>	<b>47</b>	<b>138</b>	<b>(146)</b>	<b>74</b>	<b>(98)</b>	<b>128</b>	<b>19</b>	<b>19</b>	<b>49</b>	<b>5</b>	<b>(258)</b>	<b>130</b>
Excess/(Deficiency) of Receipts over Disbursements	165	(13)	5	(51)	(43)	(107)	(25)	50	48	22	50	(37)	65
<b>CLOSING BALANCE</b>	<b>(342)</b>	<b>(355)</b>	<b>(350)</b>	<b>(401)</b>	<b>(444)</b>	<b>(551)</b>	<b>(576)</b>	<b>(526)</b>	<b>(478)</b>	<b>(456)</b>	<b>(406)</b>	<b>(442)</b>	<b>(442)</b>

**CASHFLOW**  
**CAPITAL PROJECTS STATE FUNDS**  
**2009-2010**  
(dollars in millions)

	2009	2010	2010	2010	2010	2010	2010	2010	2010	2010	2010	2010	2010	2010	2010	2010	2010
	April	May	June	July	August	September	October	November	December	January	February	March	April	May	June	July	August
	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected
<b>OPENING BALANCE</b>	(209)	(46)	(61)	(53)	(91)	(105)	(189)	(193)	(111)	(46)	(12)	38	(209)				
<b>RECEIPTS:</b>																	
Taxes	141	146	181	187	170	179	168	160	199	167	170	220	2,088				
Miscellaneous Receipts	229	237	240	349	234	358	255	354	307	246	292	639	3,740				
Federal Grants	0	0	0	0	0	0	0	0	0	0	0	0	0				
<b>TOTAL RECEIPTS</b>	<b>370</b>	<b>383</b>	<b>421</b>	<b>536</b>	<b>404</b>	<b>537</b>	<b>423</b>	<b>514</b>	<b>506</b>	<b>413</b>	<b>462</b>	<b>859</b>	<b>5,828</b>				
<b>DISBURSEMENTS:</b>																	
Local Assistance Grants	12	10	49	14	14	54	16	18	42	12	19	71	331				
Total Local Assistance Grants	12	10	49	14	14	54	16	18	42	12	19	71	331				
Economic Development	67	129	63	49	80	68	82	57	49	58	38	92	832				
Parks & the Environment	14	15	14	18	17	13	19	21	15	18	22	329	515				
Transportation	148	163	229	181	203	201	219	201	178	181	159	308	2,371				
Health & Social Welfare	17	23	23	30	23	24	45	23	23	32	23	25	311				
Mental Hygiene	8	10	9	10	16	10	11	11	13	9	14	22	143				
Public Protection	25	23	30	26	29	27	33	30	27	28	28	52	358				
Education	58	53	99	81	88	85	97	65	68	63	85	98	940				
All Other	12	20	36	20	23	42	34	26	46	28	30	(203)	114				
Total Capital Projects	349	436	503	415	479	470	540	434	419	417	399	723	5,584				
<b>TOTAL DISBURSEMENTS</b>	<b>361</b>	<b>446</b>	<b>552</b>	<b>429</b>	<b>493</b>	<b>524</b>	<b>556</b>	<b>452</b>	<b>461</b>	<b>429</b>	<b>418</b>	<b>794</b>	<b>5,915</b>				
<b>OTHER FINANCING SOURCES (uses):</b>																	
Transfers from other funds	30	81	173	(110)	105	59	168	48	51	78	13	89	785				
Transfers to other funds	(60)	(59)	(59)	(65)	(62)	(190)	(74)	(62)	(62)	(62)	(35)	(383)	(1,173)				
Bond and note proceeds	184	26	25	30	32	34	35	34	31	34	28	39	532				
<b>NET OTHER FINANCING SOURCES/(USES)</b>	<b>154</b>	<b>48</b>	<b>139</b>	<b>(145)</b>	<b>75</b>	<b>(97)</b>	<b>129</b>	<b>20</b>	<b>20</b>	<b>50</b>	<b>6</b>	<b>(255)</b>	<b>144</b>				
Excess/(Deficiency) of Receipts over Disbursements	163	(15)	8	(38)	(14)	(84)	(4)	82	65	34	50	(190)	57				
<b>CLOSING BALANCE</b>	<b>(46)</b>	<b>(61)</b>	<b>(53)</b>	<b>(91)</b>	<b>(105)</b>	<b>(189)</b>	<b>(193)</b>	<b>(111)</b>	<b>(46)</b>	<b>(12)</b>	<b>38</b>	<b>(152)</b>	<b>(152)</b>				



**CASHFLOW**  
**CAPITAL PROJECTS FEDERAL FUNDS**  
**2009-2010**  
(dollars in millions)

	2009	2010	2010	2010	2010	2010	2010	2010	2010	2010	2010	2010	2010
	April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	January Projected	February Projected	March Projected	Total
<b>OPENING BALANCE</b>	(298)	(296)	(294)	(297)	(310)	(339)	(362)	(383)	(415)	(432)	(444)	(444)	(298)
<b>RECEIPTS:</b>													
Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	0	0	0	0	0	0	0	0	0	0	0	0	0
Federal Grants	147	199	210	220	236	246	253	234	211	214	198	571	2,939
<b>TOTAL RECEIPTS</b>	<b>147</b>	<b>199</b>	<b>210</b>	<b>220</b>	<b>236</b>	<b>246</b>	<b>253</b>	<b>234</b>	<b>211</b>	<b>214</b>	<b>198</b>	<b>571</b>	<b>2,939</b>
<b>DISBURSEMENTS:</b>													
Local Assistance Grants	20	30	30	40	40	42	40	40	41	30	30	146	529
Total Local Assistance Grants	20	30	30	40	40	42	40	40	41	30	30	146	529
Economic Development	0	33	22	21	22	23	31	23	10	25	22	22	254
Parks & the Environment	5	10	10	15	21	20	20	20	21	20	20	33	215
Transportation	115	118	144	150	175	175	174	174	149	144	119	120	1,757
Health & Social Welfare	0	0	0	0	0	0	0	0	0	0	0	88	88
Mental Hygiene	0	0	0	0	0	0	0	0	0	0	0	1	1
Public Protection	2	2	2	2	2	2	2	1	2	2	2	3	24
Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	2	3	4	4	4	6	6	7	4	4	4	1	49
Total Capital Projects	124	166	182	192	224	226	233	225	186	195	167	268	2,388
<b>TOTAL DISBURSEMENTS</b>	<b>144</b>	<b>196</b>	<b>212</b>	<b>232</b>	<b>264</b>	<b>268</b>	<b>273</b>	<b>265</b>	<b>227</b>	<b>225</b>	<b>197</b>	<b>414</b>	<b>2,917</b>
<b>OTHER FINANCING SOURCES (uses):</b>													
Transfers from other funds	0	0	0	0	0	0	0	0	0	0	0	0	0
Transfers to other funds	(1)	(1)	(1)	(1)	(1)	(1)	(1)	(1)	(1)	(1)	(1)	(3)	(14)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>NET OTHER FINANCING SOURCES/(USES)</b>	<b>(1)</b>	<b>(1)</b>	<b>(1)</b>	<b>(1)</b>	<b>(1)</b>	<b>(1)</b>	<b>(1)</b>	<b>(1)</b>	<b>(1)</b>	<b>(1)</b>	<b>(1)</b>	<b>(3)</b>	<b>(14)</b>
Excess/(Deficiency) of Receipts over Disbursements	2	2	(3)	(13)	(29)	(23)	(21)	(32)	(17)	(12)	0	154	8
<b>CLOSING BALANCE</b>	<b>(296)</b>	<b>(294)</b>	<b>(297)</b>	<b>(310)</b>	<b>(339)</b>	<b>(362)</b>	<b>(383)</b>	<b>(415)</b>	<b>(432)</b>	<b>(444)</b>	<b>(444)</b>	<b>(290)</b>	<b>(290)</b>

**CASHFLOW  
SPECIAL REVENUE FUNDS  
2009-2010**  
(dollars in millions)

	2009		2010		2010		2010		2010		2010		2010		Total
	April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	January Projected	February Projected	March Projected	March Projected	March Projected	
<b>OPENING BALANCE</b>	2,847	2,868	2,785	2,426	2,792	3,157	1,485	1,671	1,990	1,292	1,687	1,951	1,951	2,847	
<b>RECEIPTS:</b>															
Personal Income Tax	0	0	696	0	0	221	704	861	1,043	0	0	0	0	3,525	
User Taxes and Fees	234	165	185	164	167	185	181	147	165	155	128	131	131	2,007	
Business Taxes	63	53	212	67	64	251	46	51	241	55	78	363	363	1,544	
Total Taxes	297	218	1,093	231	231	657	931	1,059	1,449	210	206	494	494	7,076	
HCRA	305	425	305	305	305	305	305	305	305	305	305	406	406	3,881	
State University Income	261	213	199	163	213	378	351	294	331	327	321	333	333	3,384	
Lottery	266	216	206	256	222	251	223	236	272	243	244	310	310	2,945	
Medicaid	47	46	58	62	58	62	54	58	61	62	53	66	66	687	
Other receipts	153	250	283	281	374	334	272	269	264	194	228	435	435	3,337	
Total Miscellaneous Receipts	1,032	1,150	1,051	1,067	1,172	1,330	1,205	1,162	1,233	1,131	1,151	1,550	1,550	14,234	
Federal Grants	2,710	3,125	3,246	3,715	3,200	4,816	3,244	3,330	4,412	3,383	3,555	6,043	6,043	44,779	
<b>TOTAL RECEIPTS</b>	4,039	4,493	5,390	5,013	4,603	6,803	5,380	5,551	7,094	4,724	4,912	8,087	8,087	66,089	
<b>DISBURSEMENTS:</b>															
School Aid	235	145	500	149	144	3,083	320	296	344	378	500	649	649	6,743	
Higher Education	0	14	0	0	0	35	0	0	0	0	0	22	22	71	
All Other Education	61	61	61	80	105	99	116	102	107	197	201	225	225	1,415	
STAR	0	0	696	0	0	221	704	861	1,042	0	0	0	0	3,524	
Medicaid - DOH	2,609	2,462	2,462	2,851	2,234	2,877	2,329	2,125	2,852	2,224	2,313	3,195	3,195	30,533	
Public Health	249	231	209	203	234	211	214	218	219	216	288	378	378	2,870	
Mental Hygiene	83	60	134	196	66	183	141	61	189	122	89	242	242	1,566	
Children and Families	9	89	64	68	131	68	73	75	101	87	87	195	195	1,047	
Temporary & Disability Assistance	120	238	231	209	219	216	255	366	633	240	232	523	523	3,482	
Transportation	46	348	148	132	325	147	121	336	771	54	72	55	55	2,555	
All Other	120	116	131	115	118	126	94	129	138	110	108	134	134	1,439	
Total Local Assistance Grants	3,532	3,764	4,636	4,003	3,576	7,266	4,367	4,569	6,396	3,628	3,890	5,618	5,618	55,245	
Personal Service	518	471	528	477	446	611	555	521	652	489	525	701	701	6,494	
Non-Personal Service	285	335	375	294	406	439	442	372	424	370	395	576	576	4,683	
Total State Operations	773	806	903	771	852	1,050	997	893	1,076	859	920	1,277	1,277	11,177	
General State Charges	77	248	246	67	67	353	68	46	403	70	67	299	299	2,011	
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	3	3	3	
<b>TOTAL DISBURSEMENTS</b>	4,382	4,818	5,785	4,841	4,495	8,669	5,432	5,508	7,875	4,557	4,877	7,197	7,197	68,436	
<b>OTHER FINANCING SOURCES (uses):</b>															
Transfers from other funds	668	535	548	528	550	621	531	569	558	531	532	670	670	6,841	
Transfers to other funds	(304)	(293)	(512)	(394)	(293)	(427)	(293)	(293)	(475)	(303)	(303)	(1,015)	(1,015)	(4,845)	
<b>NET OTHER FINANCING SOURCES/(USES)</b>	364	242	36	194	257	194	238	276	83	228	229	(345)	(345)	1,996	
Excess/(Deficiency) of Receipts over Disbursements	21	(83)	(359)	366	365	(1,672)	186	319	(698)	395	264	545	545	(351)	
<b>CLOSING BALANCE</b>	2,868	2,785	2,426	2,792	3,157	1,485	1,671	1,990	1,292	1,687	1,951	2,496	2,496	2,496	

**CASHFLOW**  
**SPECIAL REVENUE STATE FUNDS**  
**2009-2010**  
(dollars in millions)

	2009 April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2010 January Projected	February Projected	March Projected	Total
<b>OPENING BALANCE</b>	2,471	2,883	2,933	2,622	2,887	3,191	1,653	1,781	2,047	1,562	1,906	2,133	2,471
<b>RECEIPTS:</b>													
Personal Income Tax	0	0	696	0	0	221	704	861	1,043	0	0	0	3,525
User Taxes and Fees	234	165	185	164	167	185	181	147	165	155	128	131	2,007
Business Taxes	63	53	212	67	64	251	46	51	241	55	78	363	1,544
Total Taxes	297	218	1,093	231	231	657	931	1,059	1,449	210	206	494	7,076
HCRA	305	425	305	305	305	305	305	305	305	305	305	406	3,881
State University Income	261	213	199	163	213	378	351	294	331	327	321	333	3,384
Lottery	266	216	206	256	222	251	223	236	272	243	244	310	2,945
Medicaid	47	46	58	62	58	62	54	58	61	62	53	66	687
Other receipts	136	244	267	264	357	320	267	258	254	181	210	421	3,179
Miscellaneous Receipts	1,015	1,144	1,035	1,050	1,155	1,316	1,200	1,151	1,223	1,118	1,133	1,536	14,076
Federal Grants	0	0	0	0	0	0	0	0	0	0	0	1	1
<b>TOTAL RECEIPTS</b>	1,312	1,362	2,128	1,281	1,386	1,973	2,131	2,210	2,672	1,328	1,339	2,031	21,153
<b>DISBURSEMENTS:</b>													
School Aid	0	0	325	0	0	2,002	72	72	72	72	72	70	2,757
Higher Education	0	0	(35)	0	0	0	0	0	0	0	0	22	(13)
All Other Education	1	1	1	1	1	5	1	1	1	1	2	7	23
STAR	0	0	696	0	0	221	704	861	1,042	0	0	0	3,524
Medicaid - DOH	374	522	506	364	296	384	406	203	341	296	352	458	4,502
Public Health	178	110	89	84	107	88	112	112	93	91	183	224	1,471
Mental Hygiene	57	32	84	169	38	133	114	35	138	96	63	191	1,150
Children and Families	0	0	0	0	0	0	0	0	0	0	0	1	2
Temporary & Disability Assistance	0	0	0	0	1	1	0	0	0	1	0	(1)	2
Transportation	43	345	145	129	322	144	118	331	766	51	69	47	2,510
All Other	41	3	35	18	3	40	12	5	3	4	0	107	271
Total Local Assistance Grants	694	1,013	1,846	765	769	3,018	1,539	1,620	2,456	612	741	1,126	16,190
Personal Service	323	287	322	245	266	375	374	338	403	307	330	435	4,005
Non-Personal Service	179	215	238	185	253	246	267	232	284	234	223	332	2,886
Total State Operations	502	502	560	430	519	621	641	570	687	541	553	767	6,893
General State Charges	70	45	125	64	53	122	64	36	153	62	51	135	980
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	3	3
<b>TOTAL DISBURSEMENTS</b>	1,266	1,560	2,531	1,259	1,341	3,761	2,244	2,226	3,296	1,215	1,345	2,031	24,075
<b>OTHER FINANCING SOURCES (uses):</b>													
Transfers from other funds	377	248	261	241	259	334	241	282	271	241	243	771	3,769
Transfers to other funds	(11)	0	(169)	2	0	(84)	0	0	(132)	(10)	(10)	(873)	(1,287)
<b>NET OTHER FINANCING SOURCES/(USES)</b>	366	248	92	243	259	250	241	282	139	231	233	(102)	2,482
Excess/(Deficiency) of Receipts over Disbursements	412	50	(311)	265	304	(1,538)	128	266	(485)	344	227	(102)	(440)
<b>CLOSING BALANCE</b>	2,863	2,933	2,622	2,887	3,191	1,653	1,781	2,047	1,562	1,906	2,133	2,031	2,031

**CASHFLOW**  
**SPECIAL REVENUE FEDERAL FUNDS**  
**2009-2010**  
(dollars in millions)

	<b>2009</b>	<b>2009</b>	<b>2009</b>	<b>2009</b>	<b>2009</b>	<b>2009</b>	<b>2009</b>	<b>2009</b>	<b>2009</b>	<b>2010</b>	<b>2010</b>	<b>2010</b>	<b>2010</b>	<b>2010</b>	<b>2010</b>	<b>2010</b>	<b>2010</b>	<b>2010</b>	<b>2010</b>		
	<b>April</b>	<b>May</b>	<b>June</b>	<b>July</b>	<b>August</b>	<b>September</b>	<b>October</b>	<b>November</b>	<b>December</b>	<b>January</b>	<b>February</b>	<b>March</b>	<b>Projected</b>	<b>Projected</b>	<b>Projected</b>	<b>Projected</b>	<b>Projected</b>	<b>Projected</b>	<b>Projected</b>	<b>Total</b>	
	<b>Projected</b>	<b>Projected</b>	<b>Projected</b>	<b>Projected</b>	<b>Projected</b>	<b>Projected</b>	<b>Projected</b>	<b>Projected</b>	<b>Projected</b>	<b>Projected</b>	<b>Projected</b>	<b>Projected</b>	<b>Projected</b>	<b>Projected</b>	<b>Projected</b>	<b>Projected</b>	<b>Projected</b>	<b>Projected</b>	<b>Projected</b>	<b>Total</b>	
<b>OPENING BALANCE</b>	376	(15)	(148)	(196)	(95)	(34)	(168)	(110)	(57)	(270)	(219)	(182)								376	
<b>RECEIPTS:</b>																					
Miscellaneous Receipts	17	6	16	17	17	14	5	11	10	13	18	14								158	
Federal Grants	2,710	3,125	3,246	3,715	3,200	4,816	3,244	3,330	4,412	3,383	3,555	6,042								44,778	
<b>TOTAL RECEIPTS</b>	<b>2,727</b>	<b>3,131</b>	<b>3,262</b>	<b>3,732</b>	<b>3,217</b>	<b>4,830</b>	<b>3,249</b>	<b>3,341</b>	<b>4,422</b>	<b>3,396</b>	<b>3,573</b>	<b>6,056</b>								<b>44,936</b>	
<b>DISBURSEMENTS:</b>																					
School Aid	235	145	175	149	144	1,081	248	224	272	306	428	579								3,986	
Higher Education	0	14	35	0	0	35	0	0	0	0	0	0								84	
All Other Education	60	60	60	79	104	94	115	101	106	196	199	218								1,392	
STAR	0	0	0	0	0	0	0	0	0	0	0	0								0	
Medicaid - DOH	2,235	1,940	1,956	2,487	1,938	2,493	1,923	1,922	2,511	1,928	1,961	2,737								26,031	
Public Health	71	121	120	119	127	123	102	106	126	125	105	154								1,399	
Mental Hygiene	26	28	50	27	28	50	27	26	51	26	26	51								416	
Children and Families	9	89	64	68	130	68	73	75	101	87	87	194								1,045	
Temporary & Disability Assistance	120	238	231	209	218	215	255	366	633	239	232	524								3,480	
Transportation	3	3	3	3	3	3	3	5	5	3	3	8								45	
All Other	79	113	96	97	115	86	82	124	135	106	108	27								1,168	
<b>Total Local Assistance Grants</b>	<b>2,838</b>	<b>2,751</b>	<b>2,790</b>	<b>3,238</b>	<b>2,807</b>	<b>4,248</b>	<b>2,828</b>	<b>2,949</b>	<b>3,940</b>	<b>3,016</b>	<b>3,149</b>	<b>4,492</b>								<b>39,046</b>	
Personal Service	195	184	206	232	180	236	181	183	249	182	195	266								2,489	
Non-Personal Service	76	120	137	109	153	193	175	140	140	136	172	244								1,795	
<b>Total State Operations</b>	<b>271</b>	<b>304</b>	<b>343</b>	<b>341</b>	<b>333</b>	<b>429</b>	<b>356</b>	<b>323</b>	<b>389</b>	<b>318</b>	<b>367</b>	<b>510</b>								<b>4,284</b>	
General State Charges	7	203	121	3	14	231	4	10	250	8	16	164								1,031	
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0								0	
<b>TOTAL DISBURSEMENTS</b>	<b>3,116</b>	<b>3,258</b>	<b>3,254</b>	<b>3,582</b>	<b>3,154</b>	<b>4,908</b>	<b>3,188</b>	<b>3,282</b>	<b>4,579</b>	<b>3,342</b>	<b>3,532</b>	<b>5,166</b>								<b>44,361</b>	
<b>OTHER FINANCING SOURCES (uses):</b>																					
Transfers from other funds	291	287	287	287	291	287	290	287	287	290	289	(101)								3,072	
Transfers to other funds	(293)	(293)	(343)	(336)	(293)	(343)	(293)	(293)	(343)	(293)	(293)	(142)								(3,558)	
<b>NET OTHER FINANCING SOURCES/(USES)</b>	<b>(2)</b>	<b>(6)</b>	<b>(56)</b>	<b>(49)</b>	<b>(2)</b>	<b>(56)</b>	<b>(3)</b>	<b>(6)</b>	<b>(56)</b>	<b>(3)</b>	<b>(4)</b>	<b>(243)</b>								<b>(486)</b>	
Excess/(Deficiency) of Receipts over Disbursements	(391)	(133)	(48)	101	61	(134)	58	53	(213)	51	37	647								89	
<b>CLOSING BALANCE</b>	<b>(15)</b>	<b>(148)</b>	<b>(196)</b>	<b>(95)</b>	<b>(34)</b>	<b>(168)</b>	<b>(110)</b>	<b>(57)</b>	<b>(270)</b>	<b>(219)</b>	<b>(182)</b>	<b>465</b>								<b>465</b>	

**CASHFLOW**  
**DEBT SERVICE FUNDS**  
**2009-2010**  
(dollars in millions)

	2009		2010		Total								
	April Projected	May Projected	June Projected	July Projected		August Projected	September Projected	October Projected	November Projected	December Projected	January Projected	February Projected	March Projected
<b>OPENING BALANCE</b>	298	666	790	296	336	512	307	385	742	435	1,081	1,242	298
<b>RECEIPTS:</b>													
Taxes	1,211	561	1,203	879	805	1,338	860	640	1,306	1,808	592	879	12,082
Miscellaneous Receipts	69	69	69	69	69	69	69	69	69	69	69	71	830
Federal Grants	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>TOTAL RECEIPTS</b>	<b>1,280</b>	<b>630</b>	<b>1,272</b>	<b>948</b>	<b>874</b>	<b>1,407</b>	<b>929</b>	<b>709</b>	<b>1,375</b>	<b>1,877</b>	<b>661</b>	<b>950</b>	<b>12,912</b>
<b>DISBURSEMENTS:</b>													
Slate Operations	1	3	12	0	16	10	1	3	14	0	5	10	75
Debt Service	326	240	436	94	254	764	87	192	882	82	328	1,458	5,143
<b>TOTAL DISBURSEMENTS</b>	<b>327</b>	<b>243</b>	<b>448</b>	<b>94</b>	<b>270</b>	<b>774</b>	<b>88</b>	<b>195</b>	<b>896</b>	<b>82</b>	<b>333</b>	<b>1,468</b>	<b>5,218</b>
<b>OTHER FINANCING SOURCES (uses):</b>													
Transfers from other funds	983	353	355	367	407	780	372	465	794	368	376	900	6,520
Transfers to other funds	(1,568)	(616)	(1,673)	(1,181)	(835)	(1,618)	(1,135)	(622)	(1,580)	(1,517)	(543)	(1,335)	(14,223)
<b>NET OTHER FINANCING SOURCES/(USES)</b>	<b>(585)</b>	<b>(263)</b>	<b>(1,318)</b>	<b>(814)</b>	<b>(428)</b>	<b>(838)</b>	<b>(763)</b>	<b>(157)</b>	<b>(786)</b>	<b>(1,149)</b>	<b>(167)</b>	<b>(435)</b>	<b>(7,703)</b>
Excess/(Deficiency) of Receipts over Disbursements	368	124	(494)	40	176	(205)	78	357	(307)	646	161	(953)	(9)
<b>CLOSING BALANCE</b>	<b>666</b>	<b>790</b>	<b>296</b>	<b>336</b>	<b>512</b>	<b>307</b>	<b>385</b>	<b>742</b>	<b>435</b>	<b>1,081</b>	<b>1,242</b>	<b>289</b>	<b>289</b>

**CASHFLOW**  
**ALL GOVERNMENTAL FUNDS**  
**2009-2010**  
(dollars in millions)

	2009	2010												Total
	April	May	June	July	August	September	October	November	December	January	February	March		
	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected		
<b>OPENING BALANCE</b>	4,586	6,053	3,355	2,485	3,805	4,340	4,020	3,998	2,970	2,482	7,935	7,616	4,586	
<b>RECEIPTS:</b>														
Taxes														
Miscellaneous Receipts	5,321	2,683	6,464	4,149	3,832	7,223	4,038	3,075	7,063	7,792	2,959	6,048	60,647	
Federal Grants	1,477	1,587	1,555	1,610	1,615	2,638	1,674	1,870	1,798	1,616	1,685	3,060	22,185	
TOTAL RECEIPTS	2,868	3,325	3,457	3,935	3,436	5,062	3,497	3,564	4,623	3,597	3,753	6,611	47,718	
9,656	7,595	11,476	9,694	8,883	14,923	9,209	8,509	13,484	13,005	8,397	15,719	130,550		
<b>DISBURSEMENTS:</b>														
School Aid	813	2,801	2,517	278	670	4,344	874	1,278	1,942	666	1,285	7,294	24,762	
Higher Education	28	34	764	84	224	198	368	26	240	47	332	562	2,907	
All Other Education	118	211	341	195	222	165	225	196	249	295	354	484	3,055	
STAR	0	0	696	0	0	221	704	861	1,042	0	0	0	3,524	
Medicaid - DOH	3,583	3,128	2,569	3,644	2,948	3,199	2,872	2,947	3,281	2,657	2,862	3,244	36,934	
Public Health	304	288	254	265	268	270	275	256	264	327	315	437	3,523	
Mental Hygiene	95	98	500	240	82	186	186	69	642	247	231	635	3,714	
Children and Families	36	287	155	346	229	175	164	185	384	158	169	582	2,870	
Temporary & Disability Assistance	180	298	592	269	279	503	315	426	620	300	235	739	4,756	
Transportation	46	364	176	132	341	150	121	355	775	54	82	59	2,655	
All Other	189	194	704	232	228	437	208	240	755	196	200	908	4,491	
Total Local Assistance Grants	5,392	7,703	9,268	5,685	5,491	10,351	6,312	6,839	10,194	4,947	6,065	14,944	93,191	
Personal Service	1,253	1,017	1,006	1,118	961	1,464	992	1,005	1,203	944	902	1,094	12,959	
Non-Personal Service	438	524	563	476	612	650	607	534	631	551	592	774	6,952	
Total State Operations	1,691	1,541	1,569	1,594	1,573	2,114	1,599	1,539	1,834	1,495	1,494	1,868	19,911	
General State Charges	486	224	414	415	357	1,352	490	338	485	445	286	423	5,715	
Debt service	326	240	436	94	254	764	87	192	882	82	328	1,458	5,143	
Capital Projects	473	602	685	607	703	696	773	659	605	612	566	993	7,975	
TOTAL DISBURSEMENTS	8,368	10,310	12,372	8,395	8,378	15,277	9,261	9,567	14,000	7,581	8,739	19,686	131,935	
<b>OTHER FINANCING SOURCES (uses):</b>														
Transfers from other funds	2,929	1,266	2,619	1,692	1,576	2,831	1,898	1,387	2,799	2,183	1,154	3,368	25,702	
Transfers to other funds	(2,934)	(1,275)	(2,618)	(1,701)	(1,578)	(2,831)	(1,903)	(1,391)	(2,802)	(2,188)	(1,159)	(3,334)	(25,714)	
Bond and note proceeds	184	26	25	30	32	34	35	34	31	34	28	39	532	
NET OTHER FINANCING SOURCES/(USES)	179	17	26	21	30	34	30	30	28	29	23	73	520	
Excess/(Deficiency) of Receipts over Disbursements	1,467	(2,698)	(870)	1,320	535	(320)	(22)	(1,028)	(488)	5,453	(319)	(3,895)	(865)	
<b>CLOSING BALANCE</b>	6,053	3,355	2,485	3,805	4,340	4,020	3,998	2,970	2,482	7,935	7,616	3,721	3,721	

**CASHFLOW  
STATE FUNDS  
2009-2010  
(dollars in millions)**

	2009	2010							Total				
	April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected		December Projected	January Projected	February Projected	March Projected
<b>OPENING BALANCE</b>	4,508	6,363	3,795	2,975	4,207	4,710	4,548	4,489	3,440	3,182	8,596	8,240	4,508
<b>RECEIPTS:</b>													
Taxes	5,321	2,683	6,464	4,149	3,832	7,224	4,038	3,075	7,063	7,792	2,959	6,047	60,647
Miscellaneous Receipts	1,460	1,581	1,539	1,593	1,598	2,624	1,669	1,859	1,788	1,603	1,667	3,046	22,027
Federal Grants	0	0	0	0	0	0	0	0	0	0	0	1	1
<b>TOTAL RECEIPTS</b>	6,781	4,264	8,003	5,742	5,430	9,848	5,707	4,934	8,851	9,395	4,626	9,094	82,675
<b>DISBURSEMENTS:</b>													
School Aid	578	2,656	2,342	129	526	3,263	626	1,054	1,670	360	857	6,715	20,776
Higher Education	28	20	729	84	224	163	368	26	240	47	332	562	2,823
All Other Education	58	151	281	116	118	71	110	95	143	99	155	266	1,663
STAR	0	0	696	0	0	221	704	861	1,042	0	0	0	3,524
Medicaid - DOH	1,348	1,188	613	1,157	1,010	706	949	1,025	770	729	901	507	10,903
Public Health	233	167	134	146	141	147	173	150	138	202	210	283	2,124
Mental Hygiene	69	70	450	213	54	639	159	43	283	221	205	584	3,298
Children and Families	27	198	91	278	99	107	91	110	283	71	82	388	1,825
Temporary & Disability Assistance	60	60	361	60	61	288	60	60	(13)	61	3	215	1,276
Transportation	43	361	173	129	338	147	118	350	770	51	79	51	2,610
All Other	90	51	578	95	73	309	86	76	579	60	62	735	2,794
<b>Total Local Assistance Grants</b>	2,534	4,922	6,448	2,407	2,644	6,061	3,444	3,850	6,213	1,901	2,886	10,306	53,616
Personal Service	1,058	833	800	886	781	1,228	811	822	954	762	707	828	10,470
Non-Personal Service	362	404	426	367	459	457	432	394	491	415	420	530	5,157
<b>Total State Operations</b>	1,420	1,237	1,226	1,253	1,240	1,685	1,243	1,216	1,445	1,177	1,127	1,358	15,627
General State Charges	479	21	293	412	343	1,121	486	328	235	437	270	259	4,684
Debt service	326	240	436	94	254	764	87	192	882	82	328	1,458	5,143
Capital Projects	349	436	503	415	479	470	540	434	419	417	399	726	5,587
<b>TOTAL DISBURSEMENTS</b>	5,108	6,856	8,906	4,581	4,960	10,101	5,800	6,020	9,194	4,014	5,010	14,107	84,657
<b>OTHER FINANCING SOURCES (uses):</b>													
Transfers from other funds	2,638	979	2,332	1,405	1,285	2,544	1,608	1,100	2,512	1,893	865	3,468	22,630
Transfers to other funds	(2,640)	(981)	(2,274)	(1,364)	(1,284)	(2,487)	(1,609)	(1,097)	(2,458)	(1,894)	(865)	(3,189)	(22,142)
Bond and note proceeds	184	26	25	30	32	34	35	34	31	34	28	39	532
<b>NET OTHER FINANCING SOURCES/(USES)</b>	182	24	83	71	33	91	34	37	85	33	28	318	1,020
Excess/(Deficiency) of Receipts over Disbursements	1,855	(2,568)	(820)	1,232	503	(162)	(59)	(1,049)	(258)	5,414	(356)	(4,696)	(962)
<b>CLOSING BALANCE</b>	6,363	3,795	2,975	4,207	4,710	4,548	4,489	3,440	3,182	8,596	8,240	3,544	3,546

**CASHFLOW**  
**GENERAL FUND (Before 2010-2011 Budget Actions)**  
**2010-2011**  
(dollars in millions)

	2009	May	June	July	August	September	October	November	December	2010	February	March	Total
	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	
<b>RECEIPTS:</b>													
Personal Income Tax	4,029	927	2,503	2,027	1,743	3,132	1,208	292	2,053	5,276	1,413	2,009	26,612
User Taxes and Fees	641	659	872	693	690	866	683	673	815	727	586	914	8,819
Business Taxes	123	88	836	138	119	1,192	108	47	1,185	108	148	1,736	5,828
Other Taxes	79	79	81	80	81	81	80	80	80	79	79	80	959
Total Taxes	4,872	1,753	4,292	2,938	2,633	5,271	2,079	1,092	4,133	6,190	2,226	4,739	42,218
Licenses, Fees, etc.	35	60	50	40	60	40	50	60	50	50	65	69	629
Abandoned Property	19	0	16	16	10	52	14	172	38	69	56	238	700
Reimbursements	4	9	23	5	13	20	10	11	24	11	36	11	172
Investment Income	22	7	25	22	5	6	35	15	3	14	0	6	160
Other Transactions	355	44	91	50	56	421	44	40	88	39	67	66	1,361
Total Miscellaneous Receipts	435	120	205	133	144	539	153	298	203	178	199	415	3,022
Federal Grants	0	0	0	0	0	0	0	0	0	0	0	0	0
PIT in Excess of Revenue Bond Debt Service	1,342	202	999	674	245	1,175	561	33	1,052	1,172	124	953	8,532
Sales Tax in Excess of LGAC Debt Service	182	23	440	207	207	216	204	200	245	218	1	111	2,254
Real Estate Taxes in Excess of CW/CA Debt Service	52	52	1	1	1	1	1	1	1	13	13	10	147
All Other	1	1	55	65	1	14	9	11	181	30	37	318	723
Total Transfers from Other Funds	1,577	278	1,495	947	454	1,406	775	245	1,479	1,433	175	1,392	11,656
<b>TOTAL RECEIPTS</b>	<b>6,884</b>	<b>2,151</b>	<b>5,992</b>	<b>4,018</b>	<b>3,231</b>	<b>7,216</b>	<b>3,007</b>	<b>1,635</b>	<b>5,815</b>	<b>7,801</b>	<b>2,600</b>	<b>6,546</b>	<b>56,896</b>
<b>DISBURSEMENTS:</b>													
School Aid	630	2,731	2,070	173	571	1,338	610	1,046	1,667	353	861	6,737	18,787
Higher Education	19	19	448	82	219	163	394	26	237	49	359	563	2,578
All Other Education	34	151	131	169	110	123	131	33	224	108	176	227	1,617
Medicaid - DOH	882	1,065	455	666	801	197	723	910	637	739	851	714	8,640
Public Health	50	61	50	55	29	54	56	33	42	100	17	31	578
Mental Hygiene	11	19	416	28	14	536	29	18	498	114	12	572	2,267
Children and Families	96	94	95	225	173	122	98	104	113	282	93	473	1,968
Temporary & Disability Assistance	61	61	380	61	61	305	61	61	5	61	3	181	1,301
Transportation	0	11	28	1	16	3	0	19	4	0	10	8	100
All Other	45	63	454	36	67	187	51	55	507	42	87	234	1,828
Total Local Assistance Grants	1,828	4,275	4,527	1,496	2,061	3,028	2,153	2,305	3,934	1,848	2,469	9,740	39,664
Personal Service	524	566	650	618	529	720	460	469	597	526	437	525	6,621
Non-Personal Service	205	202	184	186	187	192	169	186	178	201	212	202	2,304
Total State Operations	729	768	834	804	716	912	629	655	775	727	649	727	8,925
General State Charges	528	307	68	370	292	1,132	408	293	76	336	247	(15)	4,042
Debt Service	237	155	216	14	53	272	17	105	426	12	50	205	1,762
Capital Projects	110	112	(35)	59	42	(94)	98	62	176	94	51	487	1,162
State Share Medicaid	199	199	199	199	199	199	199	199	199	199	199	199	2,388
Other Purposes	152	29	46	24	39	114	21	64	38	27	23	502	1,079
Total Transfers to Other Funds	698	495	426	296	333	491	335	430	839	332	323	1,393	6,391
<b>TOTAL DISBURSEMENTS</b>	<b>3,783</b>	<b>5,845</b>	<b>5,855</b>	<b>2,966</b>	<b>3,402</b>	<b>5,563</b>	<b>3,525</b>	<b>3,683</b>	<b>5,624</b>	<b>3,243</b>	<b>3,688</b>	<b>11,845</b>	<b>59,022</b>
Excess/(Deficiency) of Receipts over Disbursements	3,101	(3,694)	137	1,052	(171)	1,653	(518)	(2,048)	191	4,558	(1,088)	(5,299)	(2,126)
Deposit to/(use of) Community Projects Fund													55
General Fund Margin													(2,181)



**CASHFLOW**  
**STATE OPERATING FUNDS (Before 2010-2011 Budget Actions)**  
**2010-2011**  
(dollars in millions)

	2009 April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2010 January Projected	February Projected	March Projected	Total
<b>RECEIPTS:</b>													
Taxes	6,753	2,495	6,475	4,079	3,671	7,368	3,930	2,822	6,999	8,394	3,101	6,174	62,261
Miscellaneous Receipts	1,618	1,267	1,342	1,220	1,299	2,025	1,502	1,470	1,426	1,403	1,363	1,976	17,911
Federal Grants	0	0	0	0	0	0	0	0	0	0	0	1	1
<b>TOTAL RECEIPTS</b>	<b>8,371</b>	<b>3,762</b>	<b>7,817</b>	<b>5,299</b>	<b>4,970</b>	<b>9,393</b>	<b>5,432</b>	<b>4,292</b>	<b>8,425</b>	<b>9,797</b>	<b>4,464</b>	<b>8,151</b>	<b>80,173</b>
<b>DISBURSEMENTS:</b>													
School Aid	630	2,731	2,390	173	571	3,378	692	1,128	1,749	435	943	6,819	21,639
Higher Education	19	19	401	82	219	163	394	26	237	49	359	585	2,553
All Other Education	35	153	132	170	111	128	132	34	225	109	177	228	1,634
STAR	0	0	497	0	0	223	745	911	1,104	0	0	0	3,480
Medicaid - DOH	1,051	1,225	817	1,043	1,095	790	1,037	1,111	860	1,159	1,402	1,135	12,725
Public Health	141	172	141	163	140	147	166	153	142	199	193	267	2,024
Mental Hygiene	83	70	477	164	76	670	171	100	593	242	79	828	3,553
Children and Families	154	182	158	292	302	189	170	178	213	368	179	617	3,002
Temporary & Disability Assistance	61	61	380	61	61	305	61	61	5	61	3	182	1,302
Transportation	101	301	176	133	342	150	120	354	772	54	82	64	2,649
All Other	6	(25)	448	(19)	(62)	220	(20)	(17)	430	(44)	1	170	1,088
<b>Total Local Assistance Grants</b>	<b>2,281</b>	<b>4,889</b>	<b>6,017</b>	<b>2,262</b>	<b>2,855</b>	<b>6,363</b>	<b>3,668</b>	<b>4,039</b>	<b>6,330</b>	<b>2,632</b>	<b>3,418</b>	<b>10,895</b>	<b>55,649</b>
Personal Service	827	907	985	863	810	1,068	891	833	1,010	874	799	921	10,788
Non-Personal Service	433	418	439	377	446	435	454	423	470	451	465	521	5,332
<b>Total State Operations</b>	<b>1,260</b>	<b>1,325</b>	<b>1,424</b>	<b>1,240</b>	<b>1,256</b>	<b>1,503</b>	<b>1,345</b>	<b>1,256</b>	<b>1,480</b>	<b>1,325</b>	<b>1,264</b>	<b>1,442</b>	<b>16,120</b>
General State Charges	628	353	175	437	351	1,248	495	328	228	403	303	132	5,081
Debt service	354	263	487	124	292	833	117	218	1,009	112	358	1,624	5,791
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	2	2
<b>TOTAL DISBURSEMENTS</b>	<b>4,523</b>	<b>6,830</b>	<b>8,103</b>	<b>4,063</b>	<b>4,754</b>	<b>9,947</b>	<b>5,625</b>	<b>5,841</b>	<b>9,047</b>	<b>4,472</b>	<b>5,343</b>	<b>14,095</b>	<b>82,643</b>
<b>OTHER FINANCING SOURCES (uses):</b>													
Transfers from other funds	2,581	1,061	2,352	1,588	1,154	2,543	1,420	1,020	2,550	2,079	815	3,197	22,360
Transfers to other funds	(2,605)	(1,086)	(2,268)	(1,505)	(1,104)	(2,257)	(1,420)	(988)	(2,659)	(2,082)	(814)	(3,416)	(22,204)
<b>NET OTHER FINANCING SOURCES/(USES)</b>	<b>(24)</b>	<b>(25)</b>	<b>84</b>	<b>83</b>	<b>50</b>	<b>286</b>	<b>0</b>	<b>32</b>	<b>(109)</b>	<b>(3)</b>	<b>1</b>	<b>(219)</b>	<b>156</b>
Excess/(Deficiency) of Receipts over Disbursements	3,824	(3,093)	(202)	1,319	266	(268)	(193)	(1,517)	(731)	5,322	(878)	(6,163)	(2,314)

**CASHFLOW**  
**CAPITAL PROJECTS FUNDS (Before 2010-2011 Budget Actions)**  
**2010-2011**  
(dollars in millions)

	2009		June		July		August		September		October		November		December		January		February		March		Total
	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	
<b>RECEIPTS:</b>																							
Taxes	155	155	192	197	180	182	170	170	156	156	195	195	163	163	166	166	211	211	2,122				
Miscellaneous Receipts	277	206	295	292	297	382	303	303	295	295	309	309	257	257	254	254	423	423	3,590				
Federal Grants	183	211	234	257	287	291	290	290	284	284	259	259	234	234	215	215	325	325	3,070				
<b>TOTAL RECEIPTS</b>	<b>615</b>	<b>572</b>	<b>721</b>	<b>746</b>	<b>764</b>	<b>855</b>	<b>763</b>	<b>763</b>	<b>735</b>	<b>735</b>	<b>763</b>	<b>763</b>	<b>654</b>	<b>654</b>	<b>635</b>	<b>635</b>	<b>959</b>	<b>959</b>	<b>8,782</b>				
<b>DISBURSEMENTS:</b>																							
Local Assistance Grants	57	41	46	69	56	61	81	81	61	61	73	73	46	46	66	66	198	198	855				
Total Local Assistance Grants	57	41	46	69	56	61	81	81	61	61	73	73	46	46	66	66	198	198	855				
Economic Development	48	84	83	55	59	73	96	96	84	84	66	66	77	77	49	49	81	81	855				
Parks & the Environment	45	46	48	45	44	46	45	45	44	44	44	44	44	44	47	47	201	201	699				
Transportation	298	316	363	384	423	433	433	433	423	423	589	589	362	362	314	314	305	305	4,643				
Health & Social Welfare	11	3	3	11	3	3	12	12	3	3	3	3	12	12	3	3	366	366	433				
Mental Hygiene	10	11	10	10	11	10	10	10	10	10	10	10	10	10	10	10	12	12	124				
Public Protection	32	29	38	31	32	32	34	34	31	31	31	31	32	32	30	30	65	65	420				
Education	92	102	107	107	107	112	92	92	86	86	101	101	101	101	89	89	103	103	1,199				
All Other	34	33	35	35	35	34	34	34	33	33	34	34	32	32	32	32	(219)	(219)	152				
Total Capital Projects	570	624	687	678	714	743	756	756	717	717	878	878	670	670	574	574	914	914	8,525				
<b>TOTAL DISBURSEMENTS</b>	<b>627</b>	<b>665</b>	<b>733</b>	<b>747</b>	<b>770</b>	<b>804</b>	<b>837</b>	<b>837</b>	<b>778</b>	<b>778</b>	<b>951</b>	<b>951</b>	<b>716</b>	<b>716</b>	<b>640</b>	<b>640</b>	<b>1,112</b>	<b>1,112</b>	<b>9,380</b>				
<b>OTHER FINANCING SOURCES (uses):</b>																							
Transfers from other funds	112	114	51	62	45	(12)	104	104	64	64	258	258	96	96	53	53	577	577	1,524				
Transfers to other funds	(89)	(89)	(89)	(102)	(94)	(213)	(102)	(102)	(94)	(94)	(94)	(94)	(93)	(93)	(53)	(53)	(304)	(304)	(1,416)				
Bond and note proceeds	14	17	18	13	11	9	9	9	9	9	7	7	7	7	6	6	477	477	597				
<b>NET OTHER FINANCING SOURCES/(USES)</b>	<b>37</b>	<b>42</b>	<b>(20)</b>	<b>(27)</b>	<b>(38)</b>	<b>(216)</b>	<b>11</b>	<b>11</b>	<b>(21)</b>	<b>(21)</b>	<b>(17)</b>	<b>(17)</b>	<b>10</b>	<b>10</b>	<b>6</b>	<b>6</b>	<b>750</b>	<b>750</b>	<b>705</b>				
Excess/(Deficiency) of Receipts over Disbursements	25	(51)	(32)	(28)	(44)	(165)	(63)	(63)	(64)	(64)	(17)	(17)	(52)	(52)	1	1	597	597	107				

**CASHFLOW**  
**CAPITAL PROJECTS STATE FUNDS (Before 2010-2011 Budget Actions)**  
**2010-2011**  
(dollars in millions)

	2009		2010		Total								
	April Projected	May Projected	June Projected	July Projected		August Projected	September Projected	October Projected	November Projected	December Projected	January Projected	February Projected	March Projected
<b>RECEIPTS:</b>													
Taxes	155	155	192	197	180	182	170	156	195	163	166	211	2,122
Miscellaneous Receipts	277	206	295	292	297	382	303	295	309	257	254	423	3,590
Federal Grants	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>TOTAL RECEIPTS</b>	<b>432</b>	<b>361</b>	<b>487</b>	<b>489</b>	<b>477</b>	<b>564</b>	<b>473</b>	<b>451</b>	<b>504</b>	<b>420</b>	<b>420</b>	<b>634</b>	<b>5,712</b>
<b>DISBURSEMENTS:</b>													
Local Assistance Grants	32	16	16	34	16	16	36	21	38	16	41	44	326
Total Local Assistance Grants	32	16	16	34	16	16	36	21	38	16	41	44	326
Economic Development	48	84	83	55	59	73	96	84	66	77	49	81	855
Parks & the Environment	25	25	27	24	23	26	24	23	24	24	26	179	450
Transportation	168	173	192	193	208	213	213	208	399	192	172	162	2,493
Health & Social Welfare	11	3	3	11	3	3	12	3	3	12	3	366	433
Mental Hygiene	10	11	10	10	11	10	10	10	10	10	10	12	124
Public Protection	27	24	33	26	27	27	32	31	29	29	28	62	375
Education	92	102	107	107	107	112	92	86	101	101	89	103	1,199
All Other	30	30	32	31	30	28	28	29	28	28	28	(219)	103
Total Capital Projects	411	452	487	457	468	492	507	474	660	473	405	746	6,032
<b>TOTAL DISBURSEMENTS</b>	<b>443</b>	<b>468</b>	<b>503</b>	<b>491</b>	<b>484</b>	<b>508</b>	<b>543</b>	<b>495</b>	<b>698</b>	<b>489</b>	<b>446</b>	<b>790</b>	<b>6,358</b>
<b>OTHER FINANCING SOURCES (uses):</b>													
Transfers from other funds	112	114	51	62	45	(12)	104	64	258	96	53	577	1,524
Transfers to other funds	(88)	(88)	(88)	(101)	(93)	(212)	(100)	(92)	(92)	(92)	(52)	(304)	(1,402)
Bond and note proceeds	14	17	18	13	11	9	9	9	7	7	6	477	597
<b>NET OTHER FINANCING SOURCES/(USES)</b>	<b>38</b>	<b>43</b>	<b>(19)</b>	<b>(26)</b>	<b>(37)</b>	<b>(215)</b>	<b>13</b>	<b>(19)</b>	<b>173</b>	<b>11</b>	<b>7</b>	<b>750</b>	<b>719</b>
Excess/(Deficiency) of Receipts over Disbursements	27	(64)	(35)	(28)	(44)	(159)	(57)	(63)	(21)	(58)	(19)	594	73

**CASHFLOW**  
**CAPITAL PROJECTS FEDERAL FUNDS (Before 2010-2011 Budget Actions)**  
**2010-2011**  
(dollars in millions)

	2009		June		July		August		September		October		November		December		2010		March		Total
	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	
<b>RECEIPTS:</b>																					
Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Federal Grants	183	211	234	257	287	287	287	291	291	290	290	284	284	259	259	234	234	215	215	325	3,070
<b>TOTAL RECEIPTS</b>	<b>183</b>	<b>211</b>	<b>234</b>	<b>257</b>	<b>287</b>	<b>287</b>	<b>287</b>	<b>291</b>	<b>291</b>	<b>290</b>	<b>290</b>	<b>284</b>	<b>284</b>	<b>259</b>	<b>259</b>	<b>234</b>	<b>234</b>	<b>215</b>	<b>215</b>	<b>325</b>	<b>3,070</b>
<b>DISBURSEMENTS:</b>																					
Local Assistance Grants	25	25	30	35	40	40	40	45	45	45	45	40	40	35	35	30	30	25	25	154	529
Total Local Assistance Grants	25	25	30	35	40	40	40	45	45	45	45	40	40	35	35	30	30	25	25	154	529
Economic Development	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Parks & the Environment	20	21	21	21	21	21	21	20	20	21	21	21	21	20	20	20	20	21	21	22	249
Transportation	130	143	171	191	215	215	220	220	220	220	220	215	215	190	190	170	170	142	142	143	2,150
Health & Social Welfare	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Mental Hygiene	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Public Protection	5	5	5	5	5	5	5	5	5	2	2	3	3	2	2	3	3	2	2	3	45
Education	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	4	3	3	4	5	4	5	6	6	6	6	4	4	6	6	4	4	4	4	0	49
Total Capital Projects	159	172	200	221	246	221	246	251	251	249	249	243	243	218	218	197	197	169	169	168	2,493
<b>TOTAL DISBURSEMENTS</b>	<b>184</b>	<b>197</b>	<b>230</b>	<b>256</b>	<b>286</b>	<b>256</b>	<b>286</b>	<b>296</b>	<b>296</b>	<b>294</b>	<b>294</b>	<b>283</b>	<b>283</b>	<b>253</b>	<b>253</b>	<b>227</b>	<b>227</b>	<b>194</b>	<b>194</b>	<b>322</b>	<b>3,022</b>
<b>OTHER FINANCING SOURCES (uses):</b>																					
Transfers from other funds	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Transfers to other funds	(1)	(1)	(1)	(1)	(1)	(1)	(1)	(1)	(1)	(2)	(2)	(2)	(2)	(2)	(2)	(1)	(1)	(1)	(1)	0	(14)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>NET OTHER FINANCING SOURCES/(USES)</b>	<b>(1)</b>	<b>(1)</b>	<b>(1)</b>	<b>(1)</b>	<b>(1)</b>	<b>(1)</b>	<b>(1)</b>	<b>(1)</b>	<b>(1)</b>	<b>(2)</b>	<b>(2)</b>	<b>(2)</b>	<b>(2)</b>	<b>(2)</b>	<b>(2)</b>	<b>(1)</b>	<b>(1)</b>	<b>(1)</b>	<b>(1)</b>	<b>0</b>	<b>(14)</b>
Excess/(Deficiency) of Receipts over Disbursements	(2)	13	3	0	0	0	0	(6)	(6)	(6)	(6)	(1)	(1)	4	4	6	6	20	20	3	34

**CASHFLOW**  
**SPECIAL REVENUE FUNDS (Before 2010-2011 Budget Actions)**  
**2010-2011**  
(dollars in millions)

	2009 April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2010 January Projected	February Projected	March Projected	Total
<b>OPENING BALANCE</b>	2,496	3,251	3,618	3,418	3,753	3,422	1,574	1,773	1,936	1,240	1,424	1,357	2,496
Personal Income Tax	0	0	497	0	0	223	745	911	1,104	0	0	0	3,480
User Taxes and Fees	250	144	179	173	169	186	187	146	171	152	138	124	2,019
Business Taxes	59	51	218	65	61	281	41	50	268	52	69	384	1,599
Total Taxes	309	195	894	238	230	690	973	1,107	1,543	204	207	508	7,098
HCRA	312	312	312	312	312	433	312	312	312	312	312	442	3,995
State University Income	286	261	230	165	249	323	439	296	302	304	268	319	3,442
Lottery	197	195	231	200	195	233	196	194	233	221	216	729	3,040
Medicaid	59	58	61	58	57	61	53	57	59	61	52	64	700
Other receipts	272	275	243	293	291	377	285	257	256	272	256	(33)	3,044
Total Miscellaneous Receipts	1,126	1,101	1,077	1,028	1,104	1,427	1,285	1,116	1,162	1,170	1,104	1,521	14,221
Federal Grants	2,872	2,951	3,613	3,160	2,571	4,698	3,123	3,206	4,975	3,939	3,952	6,388	45,448
TOTAL RECEIPTS	4,307	4,247	5,584	4,426	3,905	6,815	5,381	5,429	7,660	5,313	5,263	8,417	66,767
<b>DISBURSEMENTS:</b>													
School Aid	0	0	320	91	86	3,014	215	215	822	817	841	1,027	7,448
Higher Education	0	18	0	0	0	50	0	0	0	0	0	22	90
All Other Education	12	13	12	62	62	66	62	62	220	255	255	320	1,401
STAR	0	0	497	0	0	223	745	911	1,104	0	0	0	3,480
Medicaid - DOH	2,180	2,192	2,974	2,403	2,323	3,188	2,328	2,213	2,837	2,439	2,604	3,049	30,730
Public Health	201	236	206	220	252	220	223	234	231	210	287	342	2,862
Mental Hygiene	101	82	100	167	100	177	171	115	134	159	99	310	1,715
Children and Families	58	88	63	67	129	67	72	74	100	86	86	144	1,034
Temporary & Disability Assistance	221	219	212	197	200	197	232	344	610	238	230	434	3,334
Transportation	104	293	151	135	329	150	123	338	771	57	75	68	2,594
All Other	88	74	124	78	83	164	75	66	84	65	64	191	1,156
Total Local Assistance Grants	2,965	3,215	4,659	3,420	3,564	7,516	4,246	4,572	6,913	4,326	4,541	5,907	55,844
Personal Service	490	527	555	450	475	606	646	558	644	539	555	662	6,707
Non-Personal Service	341	328	373	319	391	411	425	364	418	375	377	504	4,626
Total State Operations	831	855	928	769	866	1,017	1,071	922	1,062	914	932	1,166	11,333
General State Charges	109	49	311	81	63	347	100	38	392	104	60	465	2,119
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	2	2
TOTAL DISBURSEMENTS	3,905	4,119	5,898	4,270	4,493	8,880	5,417	5,532	8,367	5,344	5,533	7,540	69,298
<b>OTHER FINANCING SOURCES (uses):</b>													
Transfers from other funds	659	532	544	528	550	622	531	569	543	537	532	989	7,136
Transfers to other funds	(306)	(293)	(430)	(349)	(293)	(405)	(296)	(303)	(552)	(322)	(329)	(759)	(4,637)
NET OTHER FINANCING SOURCES/(USES)	353	239	114	179	257	217	235	266	(9)	215	203	230	2,499
Excess/(Deficiency) of Receipts over Disbursements	755	367	(200)	335	(331)	(1,848)	199	163	(696)	184	(67)	1,107	(32)

**CASHFLOW**  
**SPECIAL REVENUE STATE FUNDS (Before 2010-2011 Budget Actions)**  
**2010-2011**  
(dollars in millions)

	2009		2010		2010		2010		2010		2010		
	April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	January Projected	February Projected	March Projected	Total
<b>RECEIPTS:</b>													
Personal Income Tax	0	0	497	0	0	223	745	911	1,104	0	0	0	3,480
User Taxes and Fees	250	144	179	173	169	186	187	146	171	152	138	124	2,019
Business Taxes	59	51	218	65	61	281	41	50	268	52	69	384	1,599
Total Taxes	309	195	894	238	230	690	973	1,107	1,543	204	207	508	7,098
HCRA	312	312	312	312	312	433	312	312	312	312	312	442	3,995
State University Income	286	261	230	165	249	323	439	296	302	304	268	319	3,442
Lottery	197	195	231	200	195	233	196	194	233	221	216	729	3,040
Medicaid	59	58	61	58	57	61	53	57	59	61	52	64	700
Other receipts	261	253	235	284	274	368	281	245	249	259	248	(65)	2,892
Miscellaneous Receipts	1,115	1,079	1,069	1,019	1,087	1,418	1,281	1,104	1,155	1,157	1,096	1,489	14,069
Federal Grants	0	0	0	0	0	0	0	0	0	0	0	1	1
<b>TOTAL RECEIPTS</b>	1,424	1,274	1,963	1,257	1,317	2,108	2,254	2,211	2,698	1,361	1,303	1,998	21,168

	2009		2010		2010		2010		2010		2010		2010	
	April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	January Projected	February Projected	March Projected	Total	
<b>DISBURSEMENTS:</b>														
School Aid	0	0	320	0	0	2,040	82	82	82	82	82	82	2,852	
Higher Education	1	0	(47)	0	0	0	0	0	0	0	0	22	(25)	
All Other Education	1	2	1	1	1	5	1	1	1	1	1	1	17	
STAR	0	0	497	0	0	223	745	911	1,104	0	0	0	3,480	
Medicaid - DOH	169	160	362	377	294	593	314	201	223	420	551	421	4,085	
Public Health	91	111	91	108	111	93	110	120	100	99	176	236	1,446	
Mental Hygiene	72	51	61	136	62	134	142	82	95	128	67	256	1,286	
Children and Families	58	88	63	67	129	67	72	74	100	86	86	144	1,034	
Temporary & Disability Assistance	0	0	0	0	0	0	0	0	0	0	0	1	1	
Transportation	101	290	148	132	326	147	120	335	768	54	72	56	2,549	
All Other	(39)	(88)	(6)	(65)	(129)	33	(71)	(72)	(77)	(86)	(86)	(64)	(740)	
Total Local Assistance Grants	453	614	1,490	766	794	3,335	1,515	1,734	2,396	784	949	1,155	15,985	
Personal Service	303	341	335	245	281	348	431	364	413	348	362	396	4,167	
Non-Personal Service	227	213	243	191	243	233	284	234	278	250	248	309	2,953	
Total State Operations	530	554	578	436	524	581	715	598	691	598	610	705	7,120	
General State Charges	100	46	107	67	59	116	87	35	152	67	56	147	1,039	
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	2	2	
<b>TOTAL DISBURSEMENTS</b>	1,083	1,214	2,175	1,269	1,377	4,032	2,317	2,367	3,239	1,449	1,615	2,009	24,146	

**OTHER FINANCING SOURCES (uses):**

Transfers from other funds	370	247	259	243	261	337	243	284	258	249	245	878	3,874
Transfers to other funds	(13)	0	(87)	(13)	0	(60)	(3)	(10)	(209)	(29)	(36)	(616)	(1,076)
													0

**NET OTHER FINANCING SOURCES/(USES)**

Excess/(Deficiency) of Receipts over Disbursements

	698	307	(40)	218	201	(1,647)	177	118	(492)	132	(103)	251	(180)
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**CASHFLOW**  
**SPECIAL REVENUE FEDERAL FUNDS (Before 2010-2011 Budget Actions)**  
**2010-2011**  
(dollars in millions)

	2009		July		August		September		October		November		December		2010		March		Total
	April	May	June	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	
<b>RECEIPTS:</b>																			
Miscellaneous Receipts	11	22	8	9	17	9	4	12	7	13	8	32	152						
Federal Grants	2,872	2,951	3,613	3,160	2,571	4,698	3,123	3,206	4,975	3,939	3,952	6,387	45,447						
<b>TOTAL RECEIPTS</b>	<b>2,883</b>	<b>2,973</b>	<b>3,621</b>	<b>3,169</b>	<b>2,588</b>	<b>4,707</b>	<b>3,127</b>	<b>3,218</b>	<b>4,982</b>	<b>3,952</b>	<b>3,960</b>	<b>6,419</b>	<b>45,599</b>						
<b>DISBURSEMENTS:</b>																			
School Aid	0	0	0	91	86	974	133	133	740	735	759	945	4,596						
Higher Education	0	18	47	0	0	50	0	0	0	0	0	0	115						
All Other Education	11	11	11	61	61	61	61	61	219	254	254	319	1,384						
STAR	0	0	0	0	0	0	0	0	0	0	0	0	0						
Medicaid - DOH	2,011	2,032	2,612	2,026	2,029	2,595	2,014	2,012	2,614	2,019	2,053	2,628	26,645						
Public Health	110	125	115	112	141	127	113	114	131	111	111	106	1,416						
Mental Hygiene	29	31	39	31	38	43	29	33	39	31	32	54	429						
Children and Families	0	0	0	0	0	0	0	0	0	0	0	0	0						
Temporary & Disability Assistance	221	219	212	197	200	197	232	344	610	238	230	433	3,333						
Transportation	3	3	3	3	3	3	3	3	3	3	3	12	45						
All Other	127	162	130	133	212	131	146	138	161	151	150	255	1,896						
<b>Total Local Assistance Grants</b>	<b>2,512</b>	<b>2,601</b>	<b>3,169</b>	<b>2,654</b>	<b>2,770</b>	<b>4,181</b>	<b>2,731</b>	<b>2,838</b>	<b>4,517</b>	<b>3,542</b>	<b>3,592</b>	<b>4,752</b>	<b>39,850</b>						
Personal Service	187	186	220	205	194	258	215	194	231	191	193	266	2,540						
Non-Personal Service	114	115	130	128	148	178	141	130	140	125	129	195	1,673						
<b>Total State Operations</b>	<b>301</b>	<b>301</b>	<b>350</b>	<b>333</b>	<b>342</b>	<b>436</b>	<b>356</b>	<b>324</b>	<b>371</b>	<b>316</b>	<b>322</b>	<b>461</b>	<b>4,213</b>						
General State Charges	9	3	204	14	4	231	13	3	240	37	4	318	1,080						
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0						
<b>TOTAL DISBURSEMENTS</b>	<b>2,822</b>	<b>2,905</b>	<b>3,723</b>	<b>3,001</b>	<b>3,116</b>	<b>4,848</b>	<b>3,100</b>	<b>3,165</b>	<b>5,128</b>	<b>3,895</b>	<b>3,918</b>	<b>5,531</b>	<b>45,152</b>						
<b>OTHER FINANCING SOURCES (uses):</b>																			
Transfers from other funds	289	285	285	285	289	285	288	285	285	288	285	287	3,262						
Transfers to other funds	(293)	(293)	(343)	(336)	(293)	(345)	(293)	(293)	(343)	(293)	(293)	(143)	(3,561)						
<b>NET OTHER FINANCING SOURCES/(USES)</b>	<b>(4)</b>	<b>(8)</b>	<b>(58)</b>	<b>(51)</b>	<b>(4)</b>	<b>(60)</b>	<b>(5)</b>	<b>(8)</b>	<b>(58)</b>	<b>(5)</b>	<b>(6)</b>	<b>(32)</b>	<b>(299)</b>						
Excess/(Deficiency) of Receipts over Disbursements	57	60	(160)	117	(532)	(201)	22	45	(204)	52	36	856	148						

**CASHFLOW**  
**DEBT SERVICE FUNDS (Before 2010-2011 Budget Actions)**  
**2010-2011**  
(dollars in millions)

	2009		2010		2010		2010		2010		2010		2010		2010		2010		
	April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	January Projected	February Projected	March Projected	Total						
<b>RECEIPTS:</b>																			
Taxes	1,572	547	1,289	903	808	1,407	878	623	1,323	2,000	668	927	12,945						
Miscellaneous Receipts	68	68	68	68	68	68	68	68	68	68	68	72	820						
Federal Grants	0	0	0	0	0	0	0	0	0	0	0	0	0						
<b>TOTAL RECEIPTS</b>	<b>1,640</b>	<b>615</b>	<b>1,357</b>	<b>971</b>	<b>876</b>	<b>1,475</b>	<b>946</b>	<b>691</b>	<b>1,391</b>	<b>2,068</b>	<b>736</b>	<b>999</b>	<b>13,765</b>						
<b>DISBURSEMENTS:</b>																			
State Operations	1	3	12	0	16	10	1	3	14	0	5	10	75						
Debt Service	354	263	487	124	292	833	117	218	1,009	112	358	1,624	5,791						
<b>TOTAL DISBURSEMENTS</b>	<b>355</b>	<b>266</b>	<b>499</b>	<b>124</b>	<b>308</b>	<b>843</b>	<b>118</b>	<b>221</b>	<b>1,023</b>	<b>112</b>	<b>363</b>	<b>1,634</b>	<b>5,866</b>						
<b>OTHER FINANCING SOURCES (uses):</b>																			
Transfers from other funds	634	536	598	398	439	800	402	491	813	397	395	927	6,830						
Transfers to other funds	(1,894)	(591)	(1,755)	(1,196)	(771)	(1,706)	(1,082)	(548)	(1,611)	(1,721)	(455)	(1,407)	(14,737)						
<b>NET OTHER FINANCING SOURCES/(USES)</b>	<b>(1,260)</b>	<b>(55)</b>	<b>(1,157)</b>	<b>(798)</b>	<b>(332)</b>	<b>(906)</b>	<b>(680)</b>	<b>(57)</b>	<b>(798)</b>	<b>(1,324)</b>	<b>(60)</b>	<b>(480)</b>	<b>(7,907)</b>						
Excess/(Deficiency) of Receipts over Disbursements	25	294	(299)	49	236	(274)	148	413	(430)	632	313	(1,115)	(8)						



**CASHFLOW**  
**ALL GOVERNMENTAL FUNDS (Before 2010-2011 Budget Actions)**  
**2010-2011**  
(dollars in millions)

	<b>2009</b>		<b>July</b>		<b>August</b>		<b>September</b>		<b>October</b>		<b>November</b>		<b>December</b>		<b>2010</b>		<b>Total</b>		
	<b>April</b>	<b>May</b>	<b>Projected</b>	<b>Projected</b>	<b>Projected</b>	<b>Projected</b>	<b>Projected</b>	<b>Projected</b>	<b>Projected</b>	<b>Projected</b>	<b>Projected</b>	<b>Projected</b>	<b>Projected</b>	<b>Projected</b>	<b>Projected</b>	<b>January</b>		<b>February</b>	<b>March</b>
<b>RECEIPTS:</b>																			
Taxes	6,908	2,650	6,667	4,276	3,851	7,550	4,100	2,978	7,194	8,557	3,267	6,385	64,383						
Miscellaneous Receipts	1,906	1,495	1,645	1,521	1,613	2,416	1,809	1,777	1,742	1,673	1,625	2,431	21,653						
Federal Grants	3,056	3,163	3,848	3,417	2,858	4,989	3,413	3,490	5,234	4,173	4,167	6,710	48,518						
<b>TOTAL RECEIPTS</b>	<b>11,870</b>	<b>7,308</b>	<b>12,160</b>	<b>9,214</b>	<b>8,322</b>	<b>14,955</b>	<b>9,322</b>	<b>8,245</b>	<b>14,170</b>	<b>14,403</b>	<b>9,059</b>	<b>15,526</b>	<b>134,554</b>						
<b>DISBURSEMENTS:</b>																			
School Aid	630	2,731	2,390	264	657	4,352	825	1,261	2,489	1,170	1,702	7,764	26,235						
Higher Education	19	37	448	82	219	213	394	26	237	49	359	585	2,668						
All Other Education	46	164	143	231	172	189	193	95	444	363	431	547	3,018						
STAR	0	0	497	0	0	223	745	911	1,104	0	0	0	3,480						
Medicaid - DOH	3,062	3,257	3,429	3,069	3,124	3,385	3,051	3,123	3,474	3,178	3,455	3,763	39,370						
Public Health	251	297	256	275	281	274	279	267	273	310	304	373	3,440						
Mental Hygiene	112	101	516	195	114	713	200	133	632	273	111	882	3,982						
Children and Families	154	182	158	292	302	189	170	178	213	368	179	617	3,002						
Temporary & Disability Assistance	282	280	592	258	261	502	293	405	615	299	233	615	4,635						
Transportation	104	304	179	136	345	153	123	357	775	57	85	76	2,694						
All Other	190	178	624	183	206	412	207	182	664	153	217	623	3,839						
Total Local Assistance Grants	4,850	7,531	9,232	4,985	5,681	10,605	6,480	6,338	10,920	6,220	7,076	15,845	96,363						
Personal Service	1,014	1,093	1,205	1,068	1,004	1,326	1,106	1,027	1,241	1,065	992	1,187	13,328						
Non-Personal Service	547	533	569	505	594	613	595	553	610	576	594	716	7,005						
Total State Operations	1,561	1,626	1,774	1,573	1,598	1,939	1,701	1,580	1,851	1,641	1,586	1,903	20,333						
General State Charges	637	356	379	451	355	1,479	508	331	468	440	307	450	6,161						
Debt service	354	263	487	124	292	833	117	218	1,009	112	358	1,624	5,791						
Capital Projects	570	624	687	678	714	743	756	717	878	670	574	915	8,527						
<b>TOTAL DISBURSEMENTS</b>	<b>7,972</b>	<b>10,400</b>	<b>12,559</b>	<b>7,811</b>	<b>8,640</b>	<b>15,599</b>	<b>9,562</b>	<b>9,784</b>	<b>15,126</b>	<b>9,083</b>	<b>9,901</b>	<b>20,737</b>	<b>137,175</b>						
<b>OTHER FINANCING SOURCES (uses):</b>																			
Transfers from other funds	2,982	1,459	2,688	1,935	1,488	2,816	1,812	1,369	3,093	2,463	1,155	3,886	27,146						
Transfers to other funds	(2,987)	(1,468)	(2,700)	(1,943)	(1,491)	(2,815)	(1,815)	(1,375)	(3,096)	(2,468)	(1,160)	(3,863)	(27,181)						
Bond and note proceeds	14	17	18	13	11	9	9	9	7	7	6	477	597						
<b>NET OTHER FINANCING SOURCES/(USES)</b>	<b>9</b>	<b>8</b>	<b>6</b>	<b>5</b>	<b>8</b>	<b>10</b>	<b>6</b>	<b>3</b>	<b>4</b>	<b>2</b>	<b>1</b>	<b>500</b>	<b>562</b>						
Excess/(Deficiency) of Receipts over Disbursements	3,907	(3,084)	(393)	1,408	(310)	(634)	(234)	(1,536)	(952)	5,322	(841)	(4,712)	(2,059)						

**CASHFLOW**  
**STATE FUNDS (Before 2010-2011 Budget Actions)**  
**2010-2011**  
(dollars in millions)

	2009	2010	2010											Total	
	April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	January Projected	February Projected	March Projected			
<b>RECEIPTS:</b>															
Taxes	6,908	2,850	6,667	4,276	3,851	7,551	4,100	2,978	7,194	8,557	3,267	6,384	64,383		
Miscellaneous Receipts	1,895	1,473	1,637	1,512	1,596	2,407	1,805	1,765	1,735	1,660	1,617	2,399	21,501		
Federal Grants	0	0	0	0	0	0	0	0	0	0	0	1	1		
<b>TOTAL RECEIPTS</b>	<b>8,803</b>	<b>4,123</b>	<b>8,304</b>	<b>5,788</b>	<b>5,447</b>	<b>9,958</b>	<b>5,905</b>	<b>4,743</b>	<b>8,929</b>	<b>10,217</b>	<b>4,884</b>	<b>8,784</b>	<b>85,885</b>		
<b>DISBURSEMENTS:</b>															
School Aid	630	2,731	2,390	173	571	3,378	692	1,128	1,749	435	943	6,819	21,639		
Higher Education	19	401	401	82	219	163	394	26	237	49	359	585	2,553		
All Other Education	35	153	132	170	111	128	132	34	225	109	177	228	1,634		
STAR	0	0	497	0	0	223	745	911	1,104	0	0	0	3,480		
Medicaid - DOH	1,051	1,225	817	1,043	1,095	790	1,037	1,111	860	1,159	1,402	1,135	12,725		
Public Health	141	172	141	163	140	147	166	153	142	199	193	267	2,024		
Mental Hygiene	83	70	477	164	76	670	171	100	593	242	79	828	3,553		
Children and Families	154	182	158	292	302	189	170	178	213	368	179	617	3,002		
Temporary & Disability Assistance	61	61	380	61	61	305	61	61	5	5	3	182	1,302		
Transportation	101	301	176	133	342	150	120	354	772	54	82	64	2,649		
All Other	38	(9)	464	15	(46)	236	16	4	468	(28)	42	214	1,414		
<b>Total Local Assistance Grants</b>	<b>2,313</b>	<b>4,905</b>	<b>6,033</b>	<b>2,296</b>	<b>2,871</b>	<b>6,379</b>	<b>3,704</b>	<b>4,060</b>	<b>6,368</b>	<b>2,648</b>	<b>3,459</b>	<b>10,939</b>	<b>55,975</b>		
Personal Service	827	907	985	863	810	1,068	891	833	1,010	874	799	921	10,788		
Non-Personal Service	433	418	439	377	446	435	454	423	470	451	465	521	5,332		
<b>Total State Operations</b>	<b>1,260</b>	<b>1,325</b>	<b>1,424</b>	<b>1,240</b>	<b>1,256</b>	<b>1,503</b>	<b>1,345</b>	<b>1,256</b>	<b>1,480</b>	<b>1,325</b>	<b>1,264</b>	<b>1,442</b>	<b>16,120</b>		
General State Charges	628	353	175	437	351	1,248	495	328	228	403	303	132	5,081		
Debt service	354	263	487	124	292	833	117	218	1,009	112	358	1,624	5,791		
Capital Projects	411	452	487	457	468	492	507	474	660	473	405	748	6,034		
<b>TOTAL DISBURSEMENTS</b>	<b>4,966</b>	<b>7,298</b>	<b>8,606</b>	<b>4,564</b>	<b>5,238</b>	<b>10,455</b>	<b>6,168</b>	<b>6,336</b>	<b>9,745</b>	<b>4,961</b>	<b>5,789</b>	<b>14,885</b>	<b>89,001</b>		
<b>OTHER FINANCING SOURCES (uses):</b>															
Transfers from other funds	2,693	1,174	2,403	1,650	1,199	2,531	1,524	1,084	2,808	2,175	868	3,774	23,884		
Transfers to other funds	(2,693)	(1,174)	(2,356)	(1,606)	(1,197)	(2,469)	(1,520)	(1,080)	(2,751)	(2,174)	(868)	(3,720)	(23,606)		
Bond and note proceeds	14	17	18	13	11	9	9	9	7	7	6	477	597		
<b>NET OTHER FINANCING SOURCES/(USES)</b>	<b>14</b>	<b>17</b>	<b>65</b>	<b>57</b>	<b>13</b>	<b>71</b>	<b>13</b>	<b>13</b>	<b>64</b>	<b>8</b>	<b>8</b>	<b>531</b>	<b>875</b>		
Excess/(Deficiency) of Receipts over Disbursements	3,851	(3,158)	(237)	1,291	222	(426)	(250)	(1,580)	(752)	5,264	(697)	(5,571)	(2,241)		

**CASH FINANCIAL PLAN**  
**HEALTH CARE REFORM ACT RESOURCES FUND**  
**2009-2010**  
(millions of dollars)

	<u>Executive</u>	<u>Change</u>	<u>Enacted</u>
<b>Opening fund balance</b>	<u>0</u>	<u>240</u>	<u>240</u>
<b>Receipts:</b>			
Taxes	1,349	(134)	1,215
Miscellaneous receipts	<u>4,445</u>	<u>(564)</u>	<u>3,881</u>
<b>Total receipts</b>	<u>5,794</u>	<u>(698)</u>	<u>5,096</u>
<b>Disbursements:</b>			
Medical Assistance Account	3,310	(636)	2,674
HCRA Program Account	593	9	602
Hospital Indigent Care Fund	982	165	1,147
Elderly Pharmaceutical Insurance Coverage (EPIC)	168	53	221
Child Health Plus (CHP)	390	(34)	356
Public Health	99	5	104
All Other	<u>252</u>	<u>(20)</u>	<u>232</u>
<b>Total disbursements</b>	<u>5,794</u>	<u>(458)</u>	<u>5,336</u>
<b>Legislative/Administrative Actions to Close Gap</b>	<u>0</u>	<u>0</u>	<u>0</u>
<b>Change in fund balance</b>	<u>0</u>	<u>(240)</u>	<u>(240)</u>
<b>Closing fund balance</b>	<u>0</u>	<u>0</u>	<u>0</u>

**CASH FINANCIAL PLAN**  
**HEALTH CARE REFORM ACT RESOURCES FUND**  
**2009-2010**  
(millions of dollars)

	<u>2009-2010</u> <u>Enacted</u>	<u>2010-2011</u> <u>Projected</u>	<u>2011-2012</u> <u>Projected</u>	<u>2012-2013</u> <u>Projected</u>
<b>Opening fund balance</b>	<u>240</u>	<u>0</u>	<u>15</u>	<u>20</u>
<b>Receipts:</b>				
Taxes	1,215	1,053	1,041	1,023
Miscellaneous receipts	<u>3,881</u>	<u>3,995</u>	<u>4,106</u>	<u>4,210</u>
<b>Total receipts</b>	<u>5,096</u>	<u>5,048</u>	<u>5,147</u>	<u>5,233</u>
<b>Disbursements:</b>				
Medical Assistance Account	2,674	2,244	2,224	2,349
HCRA Program Account	602	558	558	558
Hospital Indigent Care Fund	1,147	1,147	1,147	1,147
Elderly Pharmaceutical Insurance Coverage (EPIC)	221	233	266	302
Child Health Plus (CHP)	356	375	389	411
Public Health	104	104	104	104
All Other	<u>232</u>	<u>372</u>	<u>454</u>	<u>324</u>
<b>Total disbursements</b>	<u>5,336</u>	<u>5,033</u>	<u>5,142</u>	<u>5,195</u>
<b>Change in fund balance</b>	<u>(240)</u>	<u>15</u>	<u>5</u>	<u>38</u>
<b>Legislative/Administrative Actions to Close Gap</b>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>Closing fund balance</b>	<u>0</u>	<u>15</u>	<u>20</u>	<u>58</u>

*Note: Statutory authorization for HCRA expires on March 31, 2011.*

**CASH FINANCIAL PLAN**  
**HEALTH CARE REFORM ACT RESOURCES FUND**  
**2009-2010**  
(millions of dollars)

	<u>2008-2009</u> <u>Year-End*</u>	<u>2009-2010</u> <u>Projected</u>	<u>Annual</u> <u>Change</u>
<b>Opening fund balance</b>	<u>597</u>	<u>240</u>	<u>(357)</u>
<b>Receipts:</b>			
Taxes	894	1,215	321
Miscellaneous receipts	<u>3,614</u>	<u>3,881</u>	<u>267</u>
<b>Total receipts</b>	<u>4,508</u>	<u>5,096</u>	<u>588</u>
<b>Disbursements:</b>			
Medical Assistance Account	2,032	2,674	642
HCRA Program Account	979	602	(377)
Hospital Indigent Care Fund	847	1,147	300
Elderly Pharmaceutical Insurance Coverage (EPIC)	236	221	(15)
Child Health Plus (CHP)	345	356	11
Public Health	103	104	1
All Other	<u>323</u>	<u>232</u>	<u>(91)</u>
<b>Total disbursements</b>	<u>4,865</u>	<u>5,336</u>	<u>471</u>
<b>Legislative/Administrative Actions to Close Gap</b>	<u>0</u>	<u>0</u>	<u>0</u>
<b>Change in fund balance</b>	<u>(357)</u>	<u>(240)</u>	<u>117</u>
<b>Closing fund balance</b>	<u>240</u>	<u>0</u>	<u>(240)</u>

*\*Unaudited Year-end Results*

**CASH FLOW  
HEALTH CARE REFORM ACT RESOURCES FUND  
2008-2009\***  
(dollars in millions)

	April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	January Results	February Results	March Results	Total
<b>Opening fund balance</b>	597	860	1,059	1,217	1,126	542	480	426	526	467	518	505	597
<b>Receipts:</b>													
Taxes	53	52	58	90	84	97	79	78	107	74	64	58	894
Miscellaneous receipts	307	273	278	280	255	279	271	281	295	293	245	557	3,614
<b>Total receipts</b>	360	325	336	370	339	376	350	359	402	367	309	615	4,508
<b>Disbursements:</b>													
Medical Assistance Account	0	0	1	255	555	259	197	71	238	74	147	235	2,032
HCRA Program Account	15	48	122	78	230	52	33	65	64	39	39	194	979
Hospital Indigent Care Fund	45	34	34	86	78	81	82	81	81	86	47	112	847
Elderly Pharmaceutical Insurance Coverage (EPIC)	0	0	0	0	0	0	57	20	15	55	30	59	236
Child Health Plus (CHP)	22	31	10	27	47	32	20	9	51	51	25	20	345
Public Health	9	7	7	11	9	11	10	9	8	7	7	8	103
All Other	6	6	4	4	4	3	5	4	4	4	27	252	323
<b>Total disbursements</b>	97	126	178	461	923	438	404	259	461	316	322	880	4,865
<b>Change in fund balance</b>	263	199	158	(91)	(584)	(62)	(54)	100	(59)	51	(13)	(265)	(357)
<b>Legislative/Administrative Actions to Close Gap</b>	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>Closing fund balance</b>	860	1,059	1,217	1,126	542	480	426	526	467	518	505	240	240

\*Unaudited Year-end Results

**CASH FLOW**  
**HEALTH CARE REFORM ACT RESOURCES FUND**  
**2009-2010**  
(dollars in millions)

	April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	January Projected	February Projected	March Projected	Total
Opening fund balance	240	149	73	89	106	178	175	120	242	252	301	203	240
<b>Receipts:</b>													
Taxes	87	71	281	85	80	123	74	72	113	71	59	99	1,215
Miscellaneous receipts	305	425	305	305	304	305	305	305	305	304	305	408	3,881
<b>Total receipts</b>	<b>392</b>	<b>496</b>	<b>586</b>	<b>390</b>	<b>384</b>	<b>428</b>	<b>379</b>	<b>377</b>	<b>418</b>	<b>375</b>	<b>364</b>	<b>507</b>	<b>5,086</b>
<b>Disbursements:</b>													
Medical Assistance Account	188	437	425	214	130	218	240	37	175	130	172	308	2,674
HCRA Program Account	111	43	20	18	40	21	17	40	20	17	104	151	602
Hospital Indigent Care Fund	141	50	45	100	100	101	100	100	101	100	101	108	1,147
Elderly Pharmaceutical Insurance Coverage (EPIC)	0	0	0	0	0	0	36	36	36	36	36	41	221
Child Health Plus (CHP)	30	29	32	30	30	30	30	29	30	30	29	27	356
Public Health	8	8	8	8	8	9	8	8	9	9	13	8	104
All Other	5	5	40	3	4	52	3	5	37	4	7	67	232
<b>Total disbursements</b>	<b>483</b>	<b>572</b>	<b>570</b>	<b>373</b>	<b>312</b>	<b>431</b>	<b>434</b>	<b>255</b>	<b>408</b>	<b>326</b>	<b>462</b>	<b>710</b>	<b>5,386</b>
Change in fund balance	(91)	(76)	16	17	72	(3)	(55)	122	10	49	(98)	(203)	(240)
<b>Legislative/Administrative Actions to Close Gap</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Closing fund balance	149	73	89	106	178	175	120	242	252	301	203	0	0

**CASH FLOW  
HEALTH CARE REFORM ACT RESOURCES FUND  
2010-2011  
(dollars in millions)**

	April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	January Projected	February Projected	March Projected	Total
Opening fund balance	0	213	404	400	391	461	329	359	472	561	491	187	0
<b>Receipts:</b>													
Taxes	84	72	105	86	85	121	81	73	118	69	63	96	1,053
Miscellaneous receipts	312	312	312	312	312	433	312	312	312	312	312	442	3,995
<b>Total receipts</b>	<b>396</b>	<b>384</b>	<b>417</b>	<b>398</b>	<b>397</b>	<b>554</b>	<b>383</b>	<b>385</b>	<b>430</b>	<b>381</b>	<b>375</b>	<b>538</b>	<b>5,048</b>
<b>Disbursements:</b>													
Medical Assistance Account	61	56	250	214	130	415	151	37	46	257	367	240	2,244
HCRA Program Account	23	42	22	41	44	25	21	43	24	21	98	154	558
Hospital Indigent Care Fund	55	50	45	110	110	110	110	110	110	110	110	117	1,147
Elderly Pharmaceutical Insurance Coverage (EPIC)	0	0	0	0	0	0	38	39	39	39	39	39	233
Child Health Plus (CHP)	31	31	31	32	31	31	31	32	31	31	31	32	375
Public Health	8	8	8	9	8	8	8	9	8	8	8	14	104
All Other	5	6	65	1	4	97	4	2	63	5	6	114	372
<b>Total disbursements</b>	<b>183</b>	<b>193</b>	<b>421</b>	<b>407</b>	<b>327</b>	<b>686</b>	<b>363</b>	<b>272</b>	<b>321</b>	<b>471</b>	<b>679</b>	<b>710</b>	<b>5,033</b>
Change in fund balance	213	191	(4)	(9)	70	(132)	30	113	109	(90)	(304)	(172)	15
<b>Legislative/Administrative Actions to Close Gap</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Closing fund balance	213	404	400	391	461	329	359	472	581	491	187	15	15



**CASH FINANCIAL PLAN  
 PROPRIETARY AND FIDUCIARY FUNDS  
 2008-2009\*  
 (millions of dollars)**

	<u>Internal Service</u>	<u>Enterprise</u>	<u>Fiduciary</u>
<b>Opening fund balance</b>	<u>(8)</u>	<u>(10)</u>	<u>(4)</u>
<b>Receipts:</b>			
Unemployment taxes	0	3,297	0
Miscellaneous receipts	551	63	1
Federal grants	<u>0</u>	<u>1,142</u>	<u>0</u>
<b>Total receipts</b>	<u>551</u>	<u>4,502</u>	<u>1</u>
<b>Disbursements:</b>			
Grants to local governments	0	0	0
State operations			
Personal Service	118	7	0
Non-Personal Service	395	53	0
Unemployment benefits	0	4,487	0
General State charges	54	2	0
Debt service	0	0	0
Capital projects	<u>0</u>	<u>0</u>	<u>0</u>
<b>Total disbursements</b>	<u>567</u>	<u>4,549</u>	<u>0</u>
<b>Other financing sources (uses):</b>			
Transfers from other funds	87	0	0
Transfers to other funds	(35)	0	(32)
Bond & Note Proceeds	<u>0</u>	<u>0</u>	<u>0</u>
	<u>52</u>	<u>0</u>	<u>(32)</u>
<b>Change in fund balance</b>	<u>36</u>	<u>(47)</u>	<u>(31)</u>
<b>Closing fund balance</b>	<u>28</u>	<u>(57)</u>	<u>(35)</u>

*\*Unaudited Year-end Results*

**CASH FINANCIAL PLAN  
 PROPRIETARY AND FIDUCIARY FUNDS  
 2009-2010  
 (millions of dollars)**

	<u>Internal Service</u>	<u>Enterprise</u>	<u>Fiduciary</u>
<b>Opening fund balance</b>	<u>28</u>	<u>(57)</u>	<u>(35)</u>
<b>Receipts:</b>			
Unemployment taxes	0	3,025	0
Miscellaneous receipts	716	92	1
Federal grants	<u>0</u>	<u>2,888</u>	<u>0</u>
<b>Total receipts</b>	<u>716</u>	<u>6,005</u>	<u>1</u>
<b>Disbursements:</b>			
Grants to local governments	0	0	0
State operations			
Personal Service	125	7	0
Non-Personal Service	552	79	0
Unemployment benefits	0	6,313	0
General State charges	60	3	0
Debt service	0	0	0
Capital projects	<u>0</u>	<u>0</u>	<u>0</u>
<b>Total disbursements</b>	<u>737</u>	<u>6,402</u>	<u>0</u>
<b>Other financing sources (uses):</b>			
Transfers from other funds	88	0	0
Transfers to other funds	(75)	0	0
Bond & Note Proceeds	<u>0</u>	<u>0</u>	<u>0</u>
	<u>13</u>	<u>0</u>	<u>0</u>
<b>Change in fund balance</b>	<u>(8)</u>	<u>(397)</u>	<u>1</u>
<b>Closing fund balance</b>	<u>20</u>	<u>(454)</u>	<u>(34)</u>

**CASH FINANCIAL PLAN  
 PROPRIETARY AND FIDUCIARY FUNDS  
 2010-2011  
 (millions of dollars)**

	<u>Internal Service</u>	<u>Enterprise</u>	<u>Fiduciary</u>
<b>Opening fund balance</b>	<u>20</u>	<u>(454)</u>	<u>(34)</u>
<b>Receipts:</b>			
Unemployment taxes	0	3,325	0
Miscellaneous receipts	728	94	1
Federal grants	<u>0</u>	<u>235</u>	<u>0</u>
<b>Total receipts</b>	<u>728</u>	<u>3,654</u>	<u>1</u>
<b>Disbursements:</b>			
Grants to local governments	0	0	0
State operations			
Personal Service	130	7	0
Non-Personal Service	565	80	0
Unemployment benefits	0	3,860	0
General State charges	63	4	0
Debt service	0	0	0
Capital projects	<u>0</u>	<u>0</u>	<u>0</u>
<b>Total disbursements</b>	<u>758</u>	<u>3,951</u>	<u>0</u>
<b>Other financing sources (uses):</b>			
Transfers from other funds	104	0	0
Transfers to other funds	(68)	0	0
Bond & Note Proceeds	<u>0</u>	<u>0</u>	<u>0</u>
	<u>36</u>	<u>0</u>	<u>0</u>
<b>Change in fund balance</b>	<u>6</u>	<u>(297)</u>	<u>1</u>
<b>Closing fund balance</b>	<u>26</u>	<u>(751)</u>	<u>(33)</u>

**CASH FINANCIAL PLAN  
 PROPRIETARY AND FIDUCIARY FUNDS  
 2011-2012  
 (millions of dollars)**

	<u>Internal Service</u>	<u>Enterprise</u>	<u>Fiduciary</u>
<b>Opening fund balance</b>	<u>26</u>	<u>(751)</u>	<u>(33)</u>
<b>Receipts:</b>			
Unemployment taxes	0	3,325	0
Miscellaneous receipts	744	96	1
Federal grants	<u>0</u>	<u>25</u>	<u>0</u>
<b>Total receipts</b>	<u>744</u>	<u>3,446</u>	<u>1</u>
<b>Disbursements:</b>			
Grants to local governments	0	0	0
State operations			
Personal Service	132	7	0
Non-Personal Service	574	81	0
Unemployment benefits	0	3,650	0
General State charges	67	4	0
Debt service	0	0	0
Capital projects	<u>0</u>	<u>0</u>	<u>0</u>
<b>Total disbursements</b>	<u>773</u>	<u>3,742</u>	<u>0</u>
<b>Other financing sources (uses):</b>			
Transfers from other funds	104	0	0
Transfers to other funds	(65)	0	0
Bond & Note Proceeds	<u>0</u>	<u>0</u>	<u>0</u>
	<u>39</u>	<u>0</u>	<u>0</u>
<b>Change in fund balance</b>	<u>10</u>	<u>(296)</u>	<u>1</u>
<b>Closing fund balance</b>	<u>36</u>	<u>(1,047)</u>	<u>(32)</u>

**CASH FINANCIAL PLAN  
 PROPRIETARY AND FIDUCIARY FUNDS  
 2012-2013  
 (millions of dollars)**

	<u>Internal Service</u>	<u>Enterprise</u>	<u>Fiduciary</u>
<b>Opening fund balance</b>	<u>36</u>	<u>(1,047)</u>	<u>(32)</u>
<b>Receipts:</b>			
Unemployment taxes	0	3,325	0
Miscellaneous receipts	768	99	1
Federal grants	<u>0</u>	<u>25</u>	<u>0</u>
<b>Total receipts</b>	<u>768</u>	<u>3,449</u>	<u>1</u>
<b>Disbursements:</b>			
Grants to local governments	0	0	0
State operations			
Personal Service	132	7	0
Non-Personal Service	576	82	0
Unemployment benefits	0	3,650	0
General State charges	68	4	0
Debt service	0	0	0
Capital projects	<u>0</u>	<u>0</u>	<u>0</u>
<b>Total disbursements</b>	<u>776</u>	<u>3,743</u>	<u>0</u>
<b>Other financing sources (uses):</b>			
Transfers from other funds	88	0	0
Transfers to other funds	(79)	0	0
Bond & Note Proceeds	<u>0</u>	<u>0</u>	<u>0</u>
	<u>9</u>	<u>0</u>	<u>0</u>
<b>Change in fund balance</b>	<u>1</u>	<u>(294)</u>	<u>1</u>
<b>Closing fund balance</b>	<u>37</u>	<u>(1,341)</u>	<u>(31)</u>

**WORKFORCE IMPACT SUMMARY REPORT  
GENERAL FUND  
2007-08 Through 2009-10**

<b>Major Agencies</b>	<b>2007-08 Actual (03/31/08)</b>	<b>2008-09 Actual (03/31/09)</b>	<b>2009-10 Estimate (03/31/10)</b>
Children and Family Services	3,266	3,350	2,946
Correctional Services	30,724	30,562	28,146
Education	418	415	368
Environmental Conservation	1,531	1,514	1,278
General Services	1,022	953	920
Health	2,055	2,078	1,950
Labor	8	15	14
Parks, Recreation and Historic Preservation	1,758	1,785	1,583
Parole	2,121	2,135	1,981
State Police	5,470	5,499	5,120
Taxation and Finance	5,010	4,261	4,244
Temporary and Disability Assistance	959	647	608
<b>SUBTOTAL - Major Agencies</b>	<b>54,342</b>	<b>53,214</b>	<b>49,158</b>
<b>Minor Agencies</b>	<b>4,236</b>	<b>4,545</b>	<b>4,512</b>
<b>Subtotal - Subject to Executive Control</b>	<b>58,578</b>	<b>57,759</b>	<b>53,670</b>
<b>Not Subject to Executive Control</b>			
Audit and Control	1,586	1,708	1,708
Law	1,264	1,287	1,287
State University	24,677	24,723	24,700
<b>Subtotal - Not Subject to Executive Control</b>	<b>27,527</b>	<b>27,718</b>	<b>27,695</b>
<b>Off-Budget Agencies</b>			
Science, Technology and Innovation	27	26	25
<b>GRAND TOTAL</b>	<b>86,132</b>	<b>85,503</b>	<b>81,390</b>

**WORKFORCE IMPACT SUMMARY REPORT  
GENERAL FUND  
2007-08 Through 2009-10**

<b>Minor Agencies</b>	<b>2007-08 Actual (03/31/08)</b>	<b>2008-09 Actual (03/31/09)</b>	<b>2009-10 Estimate (03/31/10)</b>
Adirondack Park	71	72	68
Aging	33	33	31
Agriculture and Markets	409	389	332
Alcoholism and Substance Abuse Services	2	0	0
Arts Council	47	48	44
Budget	282	297	297
Civil Service	289	310	285
Consumer Protection		0	31
Correction Commission	33	34	32
Crime Victims Board	62	65	0
Criminal Justice Services	595	618	572
Economic Development	172	194	183
Elections	64	83	60
Employee Relations	39	42	37
Environmental Facilities Corporation	3	3	3
Executive Chamber	153	174	169
Homeland Security	86	110	135
Housing and Community Renewal	303	358	286
Hudson River Greenway	1	3	3
Human Rights	205	194	142
Inspector General	60	66	63
Insurance	8	12	11
Judicial Commissions	48	51	51
Labor Management Committees	76	78	106
Lieutenant Governor		0	0
Lottery		25	25
Medicaid Inspector General	294	325	361
Military and Naval Affairs	233	251	237
National and Community Service		5	5
Northeastern Queens Nature and Historical	1	2	0
Prevention of Domestic Violence	13	15	14
Probation and Correctional Alternatives	35	30	34
Public Employment Relations Board	35	37	38
Public Integrity	54	55	55
Quality of Care and Advocacy for the Disabled	44	57	55
Real Property Services		0	274
Regulatory Reform	32	35	23
State	190	185	174
Tax Appeals	32	31	29
Technology	132	158	149
Veterans Affairs	97	96	94
Welfare Inspector General	3	4	4
<b>SUBTOTAL - Minor Agencies</b>	<b>4,236</b>	<b>4,545</b>	<b>4,512</b>

**WORKFORCE IMPACT SUMMARY REPORT  
STATE OPERATING FUNDS  
2007-08 Through 2009-10**

<b>Major Agencies</b>	<b>2007-08 Actual (03/31/08)</b>	<b>2008-09 Actual (03/31/09)</b>	<b>2009-10 Estimate (03/31/10)</b>
Children and Family Services	3,409	3,499	3,005
Correctional Services	30,724	30,602	28,186
Education	1,615	1,591	1,490
Environmental Conservation	2,857	2,769	2,518
General Services	1,088	1,020	985
Health	4,772	4,810	4,521
Labor	373	623	591
Mental Health	16,656	8,037	5,223
Mental Retardation	22,573	7,214	2,520
Motor Vehicles	877	896	840
Parks, Recreation and Historic Preservation	2,032	2,068	1,940
Parole	2,121	2,135	1,981
State Police	5,901	5,948	5,566
Taxation and Finance	5,049	5,036	5,019
Temporary and Disability Assistance	1,099	945	854
Transportation	163	192	179
Workers Compensation Board	1,463	1,533	1,441
<b>SUBTOTAL - Major Agencies</b>	<b>102,772</b>	<b>78,918</b>	<b>66,859</b>
<b>Minor Agencies</b>	<b>10,281</b>	<b>10,470</b>	<b>9,846</b>
<b>Subtotal - Subject to Executive Control</b>	<b>113,053</b>	<b>89,388</b>	<b>76,705</b>
<b>Not Subject to Executive Control</b>			
Audit and Control	1,617	1,742	1,742
City University	286	212	212
Law, Department of	1,684	1,740	1,740
State University Construction Fund	120	135	135
State University	41,597	40,623	40,600
<b>Subtotal - Not Subject to Executive Control</b>	<b>45,304</b>	<b>44,452</b>	<b>44,429</b>
<b>Off-Budget Agencies</b>			
Roswell Park Cancer Institute	1,947	1,947	2,025
Science, Technology and Innovation	27	26	25
<b>GRAND TOTAL</b>	<b>160,331</b>	<b>135,813</b>	<b>123,184</b>



**WORKFORCE IMPACT SUMMARY REPORT  
STATE OPERATING FUNDS  
2007-08 Through 2009-10**

<b>Minor Agencies</b>	<b>2007-08 Actual (03/31/08)</b>	<b>2008-09 Actual (03/31/09)</b>	<b>2009-10 Estimate (03/31/10)</b>
Adirondack Park	71	72	68
Aging	34	34	32
Agriculture and Markets	552	537	503
Alcoholic Beverage Control	155	164	155
Alcoholism and Substance Abuse Services	848	665	630
Arts Council	47	48	44
Authority Budget Office	0	8	8
Banking	559	545	540
Budget	357	365	365
Civil Service	294	315	290
Consumer Protection	28	33	32
Correction Commission	33	34	32
Crime Victims Board	67	70	64
Criminal Justice Services	601	625	579
Deferred Compensation Board	4	4	4
Economic Development	176	200	189
Elections	64	83	60
Employee Relations	39	42	37
Environmental Facilities Corporation	92	97	97
Executive Chamber	153	174	169
Financial Control Board	15	15	15
Higher Education Services	642	682	640
Homeland Security	131	152	135
Housing and Community Renewal	772	772	686
Hudson River Greenway	1	3	3
Human Rights	205	194	142
Inspector General	60	66	63
Insurance	946	937	876
Interest on Lawyer Account	8	9	9
Judicial Commissions	48	51	51
Labor Management Committees	76	78	106
Lieutenant Governor	0	0	0
Lottery	341	361	337
Medicaid Inspector General	297	338	364
Military and Naval Affairs	256	276	262
National and Community Service	0	5	5
Northeastern Queens Nature and Historical Preserve	1	2	0
Prevention of Domestic Violence	13	15	14
Probation and Correctional Alternatives	35	30	34
Public Employment Relations Board	35	37	38
Public Integrity	54	55	55
Public Service	528	528	535
Quality of Care and Advocacy for the Disabled	75	90	87
Racing and Wagering	127	122	99
Real Property Services	347	358	306
Regulatory Reform	32	35	23
State	757	802	748
Wireless Network	38	47	33
Tax Appeals	32	31	29
Technology	132	158	149
Veterans Affairs	97	96	94
Welfare Inspector General	6	10	10
<b>SUBTOTAL - Minor Agencies</b>	<b>10,281</b>	<b>10,470</b>	<b>9,846</b>

**WORKFORCE IMPACT SUMMARY REPORT  
STATE FUNDS  
2007-08 Through 2009-10**

<b>Major Agencies</b>	<b>2007-08 Actual (03/31/08)</b>	<b>2008-09 Actual (03/31/09)</b>	<b>2009-10 Estimate (03/31/10)</b>
Children and Family Services	3,415	3,506	3,012
Correctional Services	31,100	31,002	28,573
Education	1,781	1,780	1,656
Environmental Conservation	3,328	3,233	2,960
General Services	1,652	1,601	1,526
Health	4,842	4,882	4,601
Labor	373	623	591
Mental Health	16,716	8,108	5,294
Mental Retardation	22,574	7,214	2,520
Motor Vehicles	2,800	2,842	2,706
Parks, Recreation and Historic Preservation	2,172	2,207	2,060
Parole	2,121	2,135	1,981
State Police	5,901	5,948	5,566
Taxation and Finance	5,049	5,036	5,019
Temporary and Disability Assistance	1,100	949	858
Transportation	10,102	9,818	9,217
Workers' Compensation Board	1,463	1,533	1,441
<b>SUBTOTAL - Major Agencies</b>	<b>116,489</b>	<b>92,417</b>	<b>79,581</b>
<b>Minor Agencies</b>	<b>11,088</b>	<b>11,302</b>	<b>10,648</b>
<b>Subtotal - Subject to Executive Control</b>	<b>127,577</b>	<b>103,719</b>	<b>90,229</b>
<b>Not Subject to Executive Control</b>			
Audit and Control	2,512	2,635	2,635
Law	1,689	1,747	1,747
City University	12,653	11,455	11,455
State University Construction Fund	120	135	135
State University	41,602	40,628	40,605
<b>Subtotal - Not Subject to Executive Control</b>	<b>58,576</b>	<b>56,600</b>	<b>56,577</b>
<b>Off-Budget Agencies</b>			
Roswell Park Cancer Institute	1,947	1,947	2,025
Science, Technology and Innovation	27	26	25
State Insurance Fund	2,622	2,736	2,564
Industrial Exhibit Authority		49	44
<b>GRAND TOTAL</b>	<b>190,749</b>	<b>165,077</b>	<b>151,464</b>

**WORKFORCE IMPACT SUMMARY REPORT  
STATE FUNDS  
2007-08 Through 2009-10**

<b>Minor Agencies</b>	<b>2007-08 Actual (03/31/08)</b>	<b>2008-09 Actual (03/31/09)</b>	<b>2009-10 Estimate (03/31/10)</b>
Adirondack Park	71	72	68
Aging	34	34	32
Agriculture and Markets	602	542	508
Alcoholic Beverage Control	155	164	155
Alcoholism and Substance Abuse Services	856	673	638
Arts Council	47	48	44
Authority Budget Office		8	8
Banking	559	545	540
Budget	357	365	365
Civil Service	535	560	526
Consumer Protection Board	28	33	32
Correction Commission	33	34	32
Crime Victims Board	67	70	64
Criminal Justice Services	601	625	579
Deferred Compensation Board	4	4	4
Economic Development	176	200	189
Elections	64	83	60
Employee Relations	53	56	51
Environmental Facilities Corporation	92	97	97
Executive Chamber	153	174	169
Financial Control Board	15	15	15
Higher Education Services	642	682	640
Homeland Security	131	152	135
Housing and Community Renewal	772	772	686
Hudson River Greenway	1	3	3
Human Rights	205	194	142
Inspector General	60	66	63
Insurance	946	937	876
Interest on Lawyer Account	8	9	9
Judicial Commissions	48	51	51
Labor Management Committees	76	78	106
Lieutenant Governor		0	0
Lottery	341	361	337
Medicaid Inspector General	297	338	364
Military and Naval Affairs	256	276	262
National and Community Service		5	5
Northeastern Queens Nature and Historical	1	2	0
Prevention of Domestic Violence	26	31	29
Probation and Correctional Alternatives	35	30	34
Public Employment Relations Board	35	37	38
Public Integrity	54	55	55
Public Service	528	528	535
Quality of Care and Advocacy for the Disabled	75	90	87
Racing and Wagering	127	122	99
Real Property Services	347	358	306
Regulatory Reform	32	35	23
State	757	802	748
Wireless Network	38	47	33
Tax Appeals	32	31	29
Technology	613	702	673
Veterans Affairs	97	96	94
Welfare Inspector General	6	10	10
<b>SUBTOTAL - Minor Agencies</b>	<b>11,088</b>	<b>11,302</b>	<b>10,648</b>

**WORKFORCE IMPACT SUMMARY REPORT  
ALL FUNDS  
2007-08 Through 2009-10**

<b>Major Agencies</b>	<b>2007-08 Actual (03/31/08)</b>	<b>2008-09 Actual (03/31/09)</b>	<b>2009-10 Estimate (03/31/10)</b>
Children and Family Services	3,980	3,874	3,436
Correctional Services	32,179	31,159	29,175
Education	3,207	3,129	3,000
Environmental Conservation	3,779	3,657	3,281
General Services	1,723	1,652	1,526
Health	5,690	5,704	5,441
Labor	3,393	3,779	3,266
Mental Health	17,014	16,716	16,133
Mental Retardation	22,579	22,590	21,016
Motor Vehicles	2,766	2,820	2,725
Parks, Recreation, and Historic Preservation	2,217	2,188	2,081
Parole	2,151	2,121	1,981
State Police	5,870	5,901	5,607
Taxation and Finance	4,781	5,049	5,019
Temporary and Disability Assistance	2,244	2,191	2,136
Transportation	10,245	10,185	9,294
Workers' Compensation Board	1,504	1,463	1,441
<b>SUBTOTAL - Major Agencies</b>	<b>125,322</b>	<b>124,178</b>	<b>116,558</b>
<b>Minor Agencies</b>	<b>12,313</b>	<b>12,312</b>	<b>12,245</b>
<b>Subtotal - Subject to Executive Control</b>	<b>137,635</b>	<b>136,490</b>	<b>128,803</b>
<b>Not Subject to Executive Control</b>			
Audit and Control	2,515	2,517	2,643
Law	1,891	1,935	2,032
City University	12,032	12,653	11,455
State University Construction Fund	112	120	135
State University	41,009	41,605	40,609
<b>Subtotal - Not Subject to Executive Control</b>	<b>57,559</b>	<b>58,830</b>	<b>56,874</b>
<b>Off-Budget Agencies</b>			
Industrial Exhibit Authority	45	0	44
Roswell Park Cancer Institute	1,872	1,947	2,025
State Insurance Fund	2,616	2,622	2,564
Science, Technology, and Innovation	27	27	25
<b>GRAND TOTAL</b>	<b>199,754</b>	<b>199,916</b>	<b>190,335</b>

**WORKFORCE IMPACT SUMMARY REPORT  
ALL FUNDS  
2007-08 Through 2009-10**

<b>Minor Agencies</b>	<b>2007-08 Actual (03/31/08)</b>	<b>2008-09 Actual (03/31/09)</b>	<b>2009-10 Estimate (03/31/10)</b>
Adirondack Park	71	71	68
Aging	128	130	126
Agriculture and Markets	574	606	532
Alcoholism and Substance Abuse Services	957	943	900
Alcoholic Beverage Control	151	155	155
Arts Council	47	47	44
Authority Budget Office	0	0	8
Banking	535	559	540
Budget	349	357	365
Capital Defender's Office	5	0	0
Civil Service	552	535	526
Consumer Protection	31	28	32
Correction Commission	33	33	32
Crime Victims Board	86	90	92
Criminal Justice Services	700	691	674
Deferred Compensation Board	4	4	4
Economic Development	192	176	189
Elections	60	64	60
Employee Relations	65	53	51
Environmental Facilities Corporation	98	92	97
Executive Chamber	168	153	169
Financial Control Board	16	15	15
Higher Education Services	689	642	640
Homeland Security	175	169	176
Housing and Community Renewal	912	917	865
Hudson River Greenway	3	1	3
Human Rights	197	217	196
Inspector General	65	60	63
Insurance	962	946	876
Interest on Lawyer Account	8	8	9
Judicial Commissions	37	48	51
Labor Management Committees	60	76	106
Lieutenant Governor	11	0	0
Lottery	338	341	337
Medicaid Inspector General	462	594	729
Military and Naval Affairs	606	580	604
National and Community Service	0	0	11
Northeastern Queens Nature and Historical	2	1	0
Prevention of Domestic Violence	29	27	31
Probation and Correctional Alternatives	35	36	34
Public Employment Relations Board	34	35	38
Public Integrity	0	54	55
Public Service	539	540	547
Quality of Care and Advocacy for the Disabled	98	98	111
Racing and Wagering	127	127	99
Real Property Services	358	347	306
Regulatory Reform	36	32	23
State	896	818	806
Tax Appeals	32	32	29
Technology	594	613	673
TSC Investigation	30	0	0
Veterans' Affairs	112	107	105
Welfare Inspector General	7	6	10
Wireless Network	37	38	33
<b>SUBTOTAL - Minor Agencies</b>	<b>12,313</b>	<b>12,312</b>	<b>12,245</b>

**WORKFORCE IMPACT SUMMARY REPORT  
SPECIAL REVENUE - OTHER  
2007-08 Through 2009-10**

<b>Major Agencies</b>	<b>2007-08 Actual (03/31/08)</b>	<b>2008-09 Actual (03/31/09)</b>	<b>2009-10 Estimate (03/31/10)</b>
Children and Family Services	143	149	59
Correctional Services	0	40	40
Education	1,197	1,176	1122
Environmental Conservation	1,326	1,255	1240
General Services	66	67	65
Health	2,717	2,732	2571
Labor	365	608	577
Mental Health	16,656	8,037	5223
Mental Retardation	22,573	7,214	2520
Motor Vehicles	877	896	840
Parks, Recreation and Historic Preservation	274	283	357
State Police	431	449	446
Taxation and Finance	39	775	775
Temporary and Disability Assistance	140	298	246
Transportation	163	192	179
Workers Compensation Board	1,463	1,533	1441
<b>SUBTOTAL - Major Agencies</b>	<b>48,430</b>	<b>25,704</b>	<b>17,701</b>
<b>Minor Agencies</b>	<b>6,045</b>	<b>5,925</b>	<b>5,334</b>
<b>Subtotal - Subject to Executive Control</b>	<b>54,475</b>	<b>31,629</b>	<b>23,035</b>
<b>Not Subject to Executive Control</b>			
Audit and Control	31	34	34
Law	420	453	453
City University	286	212	212
State University Construction Fund	120	135	135
State University	16,920	15,900	15900
<b>Subtotal - Not Subject to Executive Control</b>	<b>17,777</b>	<b>16,734</b>	<b>16,734</b>
<b>Off-Budget Agencies</b>			
Roswell Park Cancer Institute	1,947	1,947	2025
<b>GRAND TOTAL</b>	<b>74,199</b>	<b>50,310</b>	<b>41,794</b>

**WORKFORCE IMPACT SUMMARY REPORT  
SPECIAL REVENUE - OTHER  
2007-08 Through 2009-10**

<b>Minor Agencies</b>	<b>2007-08 Actual (03/31/08)</b>	<b>2008-09 Actual (03/31/09)</b>	<b>2009-10 Estimate (03/31/10)</b>
Aging	1	1	1
Agriculture and Markets	143	148	171
Alcoholic Beverage Control	155	164	155
Alcoholism and Substance Abuse Services	846	665	630
Authority Budget Office		8	8
Banking	559	545	540
Budget	75	68	68
Civil Service	5	5	5
Consumer Protection	28	33	1
Crime Victims Board	5	5	64
Criminal Justice Services	6	7	7
Deferred Compensation Board	4	4	4
Economic Development	4	6	6
Environmental Facilities Corporation	89	94	94
Financial Control Board	15	15	15
Higher Education Services	642	682	640
Homeland Security	45	42	0
Housing and Community Renewal	469	414	400
Insurance	938	925	865
Interest on Lawyer Account	8	9	9
Lottery	341	336	312
Medicaid Inspector General	3	13	3
Military and Naval Affairs	23	25	25
Public Service	528	528	535
Quality of Care and Advocacy for the Disabled	31	33	32
Racing and Wagering	127	122	99
Real Property Services	347	358	32
State	567	617	574
Wireless Network	38	47	33
Tax Appeals		0	0
Welfare Inspector General	3	6	6
<b>SUBTOTAL - Minor Agencies</b>	<b>6,045</b>	<b>5,925</b>	<b>5,334</b>

**WORKFORCE IMPACT SUMMARY REPORT  
SPECIAL REVENUE - FEDERAL  
2007-08 Through 2009-10**

<b>Major Agencies</b>	<b>2007-08 Actual (03/31/08)</b>	<b>2008-09 Actual (03/31/09)</b>	<b>2009-10 Estimate (03/31/10)</b>
Children and Family Services	459	460	424
Correctional Services	59	671	602
Education	1,348	1,440	1344
Environmental Conservation	322	313	314
Health	862	925	840
Labor	3,406	2,853	2675
Mental Health	0	8,963	10839
Mental Retardation	16	15,289	18496
Motor Vehicles	20	19	19
Parks, Recreation and Historic Preservation	16	19	21
State Police	0	41	41
Temporary and Disability Assistance	1,091	1,331	1278
Transportation	83	79	77
<b>SUBTOTAL - Major Agencies</b>	<b>7,682</b>	<b>32,403</b>	<b>36,970</b>
<b>Minor Agencies</b>			
Aging	96	99	94
Agriculture and Markets	4	25	24
Alcoholism and Substance Abuse Services	87	318	262
Crime Victims Board	23	28	28
Criminal Justice Services	90	102	95
Homeland Security	38	40	41
Housing and Community Renewal	110	131	136
Human Rights	12	14	54
Medicaid Inspector General	297	341	365
Military and Naval Affairs	324	367	342
National and Community Service		6	6
Prevention of Domestic Violence	1	2	2
Probation and Correctional Alternatives	1	5	0
Public Service	12	12	12
Quality of Care and Advocacy for the Disabled	23	26	24
State	61	58	58
Veterans Affairs	10	12	11
<b>SUBTOTAL - Minor Agencies</b>	<b>1,189</b>	<b>1,586</b>	<b>1,554</b>
<b>Subtotal - Subject to Executive Control</b>	<b>8,871</b>	<b>33,989</b>	<b>38,524</b>
<b>Not Subject to Executive Control</b>			
Audit and Control	5	8	8
Law	246	285	285
State University	3	4	4
<b>Subtotal - Not Subject to Executive Control</b>	<b>254</b>	<b>297</b>	<b>297</b>
<b>GRAND TOTAL</b>	<b>9,125</b>	<b>34,286</b>	<b>38,821</b>



**WORKFORCE IMPACT SUMMARY REPORT  
CAPITAL PROJECTS - OTHER  
2007-08 Through 2009-10**

<b>Major Agencies</b>	<b>2007-08 Actual (03/31/08)</b>	<b>2008-09 Actual (03/31/09)</b>	<b>2009-10 Estimate (03/31/10)</b>
Children and Family Services	6	7	7
Correctional Services	27	32	32
Environmental Conservation	471	464	442
Health	70	72	80
Law	5	7	7
Mental Health	36	41	41
Motor Vehicles	1,923	1,946	1,866
Parks, Recreation and Historic Preservation	140	139	120
Transportation	9,939	9,626	9,038
<b>SUBTOTAL - Major Agencies</b>	<b>12,617</b>	<b>12,334</b>	<b>11,633</b>
<b>Minor Agencies</b>			
Alcoholism and Substance Abuse Services	8	8	8
<b>Subtotal - Subject to Executive Control</b>	<b>12,625</b>	<b>12,342</b>	<b>11,641</b>
<b>Not Subject to Executive Control</b>			
State University	5	5	5
<b>GRAND TOTAL</b>	<b>12,630</b>	<b>12,347</b>	<b>11,646</b>

**WORKFORCE IMPACT SUMMARY REPORT  
CAPITAL PROJECTS - FEDERAL  
2007-08 Through 2009-10**

<b>Major Agencies</b>	<b>2007-08 Actual (03/31/08)</b>	<b>2008-09 Actual (03/31/09)</b>	<b>2009-10 Estimate (03/31/10)</b>
Environmental Conservation	7	0	7
<b>Minor Agencies</b>			
Housing and Community Renewal	35	37	43
<b>GRAND TOTAL</b>	<b>42</b>	<b>37</b>	<b>50</b>

**WORKFORCE IMPACT SUMMARY REPORT**  
**ENTERPRISE FUND**  
**2007-08 Through 2009-10**

	2007-08	2008-09	2009-10
<b>Major Agencies</b>	<b>Actual</b>	<b>Actual</b>	<b>Estimate</b>
	<b>(03/31/08)</b>	<b>(03/31/09)</b>	<b>(03/31/10)</b>
Correctional Services	5	11	11
General Services	11	11	11
Mental Health	5	10	10
Mental Retardation	1	0	0
<b>SUBTOTAL - Major Agencies</b>	<b>22</b>	<b>32</b>	<b>32</b>
<b>Off Budget Agencies</b>			
Industrial Exhibit Authority	0	49	44
<b>GRAND TOTAL</b>	<b>22</b>	<b>81</b>	<b>76</b>

**WORKFORCE IMPACT SUMMARY REPORT  
INTERNAL SERVICE FUND  
2007-08 Through 2009-10**

<b>Major Agencies</b>	<b>2007-08 Actual (03/31/08)</b>	<b>2008-09 Actual (03/31/09)</b>	<b>2009-10 Estimate (03/31/10)</b>
Correctional Services	344	357	344
Education	166	189	166
General Services	553	570	530
Mental Health	19	20	20
Temporary and Disability Assistance	1	4	4
<b>SUBTOTAL - Major Agencies</b>	<b>1,083</b>	<b>1,140</b>	<b>1,064</b>
<b>Minor Agencies</b>			
Civil Service	241	245	236
Employee Relations	14	14	14
Prevention of Domestic Violence	13	16	15
Technology	481	544	524
<b>Subtotal - Subject to Executive Control</b>	<b>1,832</b>	<b>1,959</b>	<b>1,853</b>
<b>Not Subject to Executive Control</b>			
Audit and Control	23	24	24
<b>GRAND TOTAL</b>	<b>1,855</b>	<b>1,983</b>	<b>1,877</b>

**WORKFORCE IMPACT SUMMARY REPORT  
 AGENCY TRUST FUND  
 2007-08 Through 2009-10**

<b>Off Budget Agencies</b>	<b>2007-08 Actual (03/31/08)</b>	<b>2008-09 Actual (03/31/09)</b>	<b>2009-10 Estimate (03/31/10)</b>
State Insurance Fund	2,622	2,736	2,564
<b>Not Subject to Executive Control</b>			
City University	12,367	11,243	11,243
<b>GRAND TOTAL</b>	<b>14,989</b>	<b>13,979</b>	<b>13,807</b>

**WORKFORCE IMPACT SUMMARY REPORT  
PENSION TRUST FUND  
2007-08 Through 2009-10**

<b>Not Subject to Executive Control</b>	<b>2007-08 Actual (03/31/08)</b>	<b>2008-09 Actual (03/31/09)</b>	<b>2009-10 Estimate (03/31/10)</b>
Audit and Control	872	869	869
<b>GRAND TOTAL</b>	<b>872</b>	<b>869</b>	<b>869</b>

**WORKFORCE IMPACT SUMMARY REPORT  
PRIVATE PURPOSE TRUST FUND  
2007-08 Through 2009-10**

<b>Minor Agencies</b>	<b>2007-08 Actual (03/31/08)</b>	<b>2008-09 Actual (03/31/09)</b>	<b>2009-10 Estimate (03/31/10)</b>
Agriculture and Markets	6	5	5
<b>GRAND TOTAL</b>	<b>6</b>	<b>5</b>	<b>5</b>

**Fiscal Impact of the 2009-10 Enacted Budget on Local Governments**  
**Local Fiscal Year Ending in 2009**  
(\$ in Millions)

	Total	NYC	School Districts	Counties	Other Cities	Towns & Villages
<b>School Aid / Education</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
- School Aid	0.0	0.0	0.0	0.0	0.0	0.0
<b>Revenue Actions</b>	<b>118.1</b>	<b>25.2</b>	<b>1.1</b>	<b>76.1</b>	<b>7.1</b>	<b>8.6</b>
- Increase Sales Tax Revenue	110.8	19.9	1.1	74.6	6.6	8.6
- Modify PIT - Limit Deductions / Presence in NY - Loophole / Yonkers Revenue	5.8	5.3	0.0	0.0	0.5	0.0
- Increase DMV Fees	1.5	0.0	0.0	1.5	0.0	0.0
<b>Human Services</b>	<b>3.4</b>	<b>0.8</b>	<b>0.0</b>	<b>2.6</b>	<b>0.0</b>	<b>0.0</b>
- Reduce Local Administration Fund Availability	(30.1)	(10.1)	0.0	(20.0)	0.0	0.0
- Reduce Community Optional Preventive Services	(2.8)	(0.4)	0.0	(2.4)	0.0	0.0
- Increase Child Care Block Grant Funding*	TBD	TBD	0.0	TBD	0.0	0.0
- Increase Child Support Administration Funding*	5.6	0.9	0.0	4.7	0.0	0.0
- Increase Food Stamp Administration Funding*	7.9	2.9	0.0	5.0	0.0	0.0
- Increase Title IV-E Funding*	16.4	5.6	0.0	10.8	0.0	0.0
- Modify Youth Program Funding	0.8	0.2	0.0	0.6	0.0	0.0
- Freeze Maximum State Aid Rates	5.6	1.7	0.0	3.9	0.0	0.0
<b>Health / Medicaid</b>	<b>966.3</b>	<b>531.9</b>	<b>0.0</b>	<b>434.4</b>	<b>0.0</b>	<b>0.0</b>
- Increase FMAP Funding* **	971.0	531.0	0.0	440.0	0.0	0.0
- Eliminate 2009-10 COLA	2.4	0.4	0.0	2.0	0.0	0.0
- Increase Aging Nutrition Funding*	1.9	0.5	0.0	1.4	0.0	0.0
- Discontinue Emergency Preparedness Funding	(9.0)	0.0	0.0	(9.0)	0.0	0.0
<b>Mental Hygiene</b>	<b>(4.4)</b>	<b>(1.0)</b>	<b>0.0</b>	<b>(3.4)</b>	<b>0.0</b>	<b>0.0</b>
- Eliminate 2009-10 COLA - OMRDD/OASAS	(1.1)	(0.2)	0.0	(0.9)	0.0	0.0
- Restructure School-Based Prevention Services in NYC	(0.5)	(0.5)	0.0	0.0	0.0	0.0
- Eliminate Case Management Services in Upstate Counties	(0.9)	0.0	0.0	(0.9)	0.0	0.0
- All Other Mental Hygiene	(1.9)	(0.3)	0.0	(1.6)	0.0	0.0
<b>Transportation</b>	<b>(1.7)</b>	<b>(1.1)</b>	<b>0.0</b>	<b>(0.6)</b>	<b>0.0</b>	<b>0.0</b>
- Modify Transit Aid	0.7	(0.6)	0.0	1.3	0.0	0.0
- Delay Transit Aid Payments	(2.4)	(0.5)	0.0	(1.9)	0.0	0.0
<b>Municipal Aid</b>	<b>(6.9)</b>	<b>0.0</b>	<b>0.0</b>	<b>(4.3)</b>	<b>(0.1)</b>	<b>(2.5)</b>
- Reduce VLT Aid Outside Yonkers	(8.7)	0.0	0.0	(2.6)	(4.0)	(2.1)
- Increase Yonkers Assistance	5.0	0.0	0.0	5.0	0.0	0.0
- Reduce Local Government Efficiency Grants	(3.2)	0.0	0.0	(1.7)	(1.1)	(0.4)
<b>Public Protection</b>	<b>(5.4)</b>	<b>(1.6)</b>	<b>0.0</b>	<b>(3.8)</b>	<b>0.0</b>	<b>0.0</b>
- Eliminate Board of Prisoner Payments - Parole Violators / State Readies	(5.3)	(1.3)	0.0	(4.0)	0.0	0.0
- Provide Rockefeller Drug Law Reform Funding - Byrne/JAG*	3.4	0.3	0.0	3.1	0.0	0.0
- Reduce Local Probation Aid	(2.5)	(0.3)	0.0	(2.2)	0.0	0.0
- Eliminate Road to Recovery	(0.7)	0.0	0.0	(0.7)	0.0	0.0
- All Other Public Protection	(0.3)	(0.3)	0.0	0.0	0.0	0.0
<b>All Other Impacts</b>	<b>3.5</b>	<b>(1.9)</b>	<b>0.0</b>	<b>0.9</b>	<b>1.8</b>	<b>2.7</b>
- Increase Community Development Block Grant Funding*	8.6	0.0	0.0	2.2	2.1	4.3
- Reduce NYC Special Accidental Death Benefit Reimbursement	(1.9)	(1.9)	0.0	0.0	0.0	0.0
- Miscellaneous Local Impacts	(3.2)	0.0	0.0	(1.3)	(0.3)	(1.6)
<b>Total 2009-10 Enacted Budget Actions</b>	<b>1,072.9</b>	<b>552.3</b>	<b>1.1</b>	<b>501.9</b>	<b>8.8</b>	<b>8.8</b>
Continuing Medicaid Cap & FHP Takeover Savings	684.4	371.3	0.0	313.1	0.0	0.0
<b>Grand Total</b>	<b>1,757.3</b>	<b>923.6</b>	<b>1.1</b>	<b>815.0</b>	<b>8.8</b>	<b>8.8</b>

\* Funding provided through the American Recovery and Reinvestment Act of 2009 (ARRA)  
\*\* Local share of FMAP benefits reflect Executive Budget assumptions and are subject to changes in Medicaid program growth resulting from factors such as increased caseload and restorations of proposed savings actions



**Fiscal Impact of the 2009-10 Enacted Budget on Local Governments**  
**Local Fiscal Year Ending in 2010**

(\$ in Millions)

	Total	NYC	School Districts	Counties	Other Cities	Towns & Villages
<b>School Aid / Education</b>	<b>1,258.0</b>	<b>622.0</b>	<b>600.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
- School Aid*	405.0	130.0	275.0	0.0	0.0	0.0
- Title I and IDEA*	817.0	492.0	325.0	0.0	0.0	0.0
- Educational Technology / Other*	36.0	TBD	TBD	0.0	0.0	0.0
<b>Revenue Actions</b>	<b>244.1</b>	<b>120.2</b>	<b>4.0</b>	<b>94.7</b>	<b>13.2</b>	<b>12.0</b>
- Increase Sales Tax Revenue	190.8	75.2	4.0	87.4	12.2	12.0
- Modify PIT: Limit Deductions / Presence in NY: Loophole / Yonkers Revenue	46.0	45.0	0.0	0.0	1.0	0.0
- Increase DMV Fees	7.3	0.0	0.0	7.3	0.0	0.0
<b>Human Services</b>	<b>37.0</b>	<b>(1.5)</b>	<b>0.0</b>	<b>(3.6)</b>	<b>0.0</b>	<b>0.0</b>
- Reduce Local Administration Fund Availability	(66.9)	(40.2)	0.0	(26.7)	0.0	0.0
- Reduce Community Optional Preventive Services	(5.0)	(1.8)	0.0	(3.2)	0.0	0.0
- Increase Child Care Block Grant Funding*	42.1	TBD	0.0	TBD	0.0	0.0
- Increase Child Support Administration Funding*	10.0	3.7	0.0	6.3	0.0	0.0
- Increase Food Stamp Administration Funding*	14.3	10.2	0.0	4.1	0.0	0.0
- Increase Title IV-E Funding*	33.7	21.4	0.0	12.3	0.0	0.0
- Modify Youth Program Funding	(1.5)	0.0	0.0	(1.5)	0.0	0.0
- Increase Fair Hearings Chargeback	(1.6)	(1.5)	0.0	(0.1)	0.0	0.0
- Freeze Maximum State Aid Rates	11.9	6.7	0.0	5.2	0.0	0.0
<b>Health / Medicaid</b>	<b>1,330.2</b>	<b>1,002.7</b>	<b>0.0</b>	<b>327.5</b>	<b>0.0</b>	<b>0.0</b>
- Increase FMAP Funding**	1,334.0	999.0	0.0	335.0	0.0	0.0
- Eliminate 2009-10 COLA	4.3	1.7	0.0	2.6	0.0	0.0
- Increase Aging Nutrition Funding*	3.9	2.0	0.0	1.9	0.0	0.0
- Discontinue Emergency Preparedness Funding	(12.0)	0.0	0.0	(12.0)	0.0	0.0
<b>Mental Hygiene</b>	<b>(9.1)</b>	<b>(3.8)</b>	<b>0.0</b>	<b>(5.3)</b>	<b>0.0</b>	<b>0.0</b>
- Restructure School-Based Prevention Services in NYC	(2.0)	(2.0)	0.0	0.0	0.0	0.0
- Eliminate 2009-10 COLA - OMRDD/OASAS	(2.0)	(0.7)	0.0	(1.3)	0.0	0.0
- Eliminate Case Management Services in Upstate Counties	(1.2)	0.0	0.0	(1.2)	0.0	0.0
- Reduce MH Unified Services Enriched Funding	(0.5)	0.0	0.0	(0.5)	0.0	0.0
- All Other Mental Hygiene	(3.4)	(1.1)	0.0	(2.3)	0.0	0.0
<b>Transportation</b>	<b>(0.2)</b>	<b>(3.2)</b>	<b>0.0</b>	<b>3.0</b>	<b>0.0</b>	<b>0.0</b>
- Modify Transit Aid	(0.5)	(2.2)	0.0	1.7	0.0	0.0
- Delay Transit Aid Payments	0.3	(1.0)	0.0	0.3	0.0	0.0
<b>Municipal Aid</b>	<b>44.3</b>	<b>62.4</b>	<b>0.0</b>	<b>(5.4)</b>	<b>(7.4)</b>	<b>(5.3)</b>
- Restore NYC AIM Funding to 2006-07 Level	82.0	82.0	0.0	0.0	0.0	0.0
- Reduce VLT Aid Outside Yonkers	(29.9)	(19.6)	0.0	(2.6)	(4.8)	(2.9)
- Reduce Local Government Efficiency Grants	(7.8)	0.0	0.0	(2.8)	(2.6)	(2.4)
<b>Public Protection</b>	<b>(16.4)</b>	<b>(7.4)</b>	<b>0.0</b>	<b>(9.0)</b>	<b>0.0</b>	<b>0.0</b>
- Eliminate Board of Prisoner Payments - Parole Violators / State Readies	(15.7)	(6.3)	0.0	(9.4)	0.0	0.0
- Provide Rockefeller Drug Law Reform Funding - Byrne/JAG*	5.6	1.4	0.0	4.2	0.0	0.0
- Reduce Local Probation Aid	(4.1)	(1.2)	0.0	(2.9)	0.0	0.0
- Eliminate Road to Recovery	(1.0)	0.0	0.0	(1.0)	0.0	0.0
- All Other Public Protection	(1.2)	(1.3)	0.0	0.1	0.0	0.0
<b>All Other Impacts</b>	<b>(7.9)</b>	<b>(8.5)</b>	<b>0.0</b>	<b>(0.5)</b>	<b>0.7</b>	<b>0.4</b>
- Increase Community Development Block Grant Funding*	4.4	0.0	0.0	4.4	1.1	2.2
- Reduce NYC Special Accidental Death Benefit Reimbursement	(7.7)	(7.7)	0.0	0.0	0.0	0.0
- Miscellaneous Local Impacts	(4.6)	(0.8)	0.0	(1.6)	(0.4)	(1.8)
<b>Total 2009-10 Enacted Budget Actions</b>	<b>2,880.0</b>	<b>1,782.9</b>	<b>604.0</b>	<b>401.4</b>	<b>6.5</b>	<b>7.1</b>
Continuing Medicaid Cap & FHP Takeover Savings	959.5	501.6	0.0	457.9	0.0	0.0
<b>Grand Total</b>	<b>3,839.5</b>	<b>2,284.5</b>	<b>604.0</b>	<b>859.3</b>	<b>6.5</b>	<b>7.1</b>

\* Funding provided through the American Recovery and Reinvestment Act of 2009 (ARRA)

\*\* Local share of FMAP benefits reflect Executive Budget assumptions and are subject to changes in Medicaid program growth resulting from factors such as increased caseload and restorations of proposed savings actions

**Fiscal Impact of the 2009-10 Enacted Budget on Local Governments  
Local Fiscal Years Ending in 2009 through 2012**

(\$ in Millions)

	<b>LFY Ending in 2009</b>	<b>LFY Ending in 2010</b>	<b>LFY Ending in 2011</b>	<b>LFY Ending in 2012</b>
NYC	552.3	1,782.9	1,365.8	1,076.2
School Districts	1.1	604.0	879.6	1,493.7
Counties	501.9	401.4	43.0	17.4
Other Cities	8.8	6.5	5.6	6.4
Towns & Villages	8.8	7.1	5.7	5.5
Unallocated ARRA funding*	TBD	78.1	0.0	0.0
<b>Total 2009-10 Enacted Budget Actions</b>	<b>1,072.9</b>	<b>2,880.0</b>	<b>2,299.7</b>	<b>2,599.2</b>
Continuing Medicaid Cap & FHP Takeover Savings	684.4	959.5	1,311.2	1,722.7
<b>Grand Total</b>	<b>1,757.3</b>	<b>3,839.5</b>	<b>3,610.9</b>	<b>4,321.9</b>

\* Includes \$42.1 million in Child Care Block Grant funding, and \$36 million in certain educational program funding not allocated by municipal class at this time

**Fiscal Impact of the 2009-10 Enacted Budget on New York City  
City Fiscal Years 2008-09 through 2011-12**

(\$ in Millions)

	CFY 2008-09	CFY 2009-10	CFY 2010-11	CFY 2011-12
<b>School Aid / Education</b>				
- School Aid*	0.0	622.0	859.0	994.0
- Title I and IDEA*	0.0	130.0	367.0	994.0
- Educational Technology / Other*	0.0	492.0	492.0	0.0
	0.0	TBD	TBD	0.0
<b>Revenue Actions</b>	<b>25.2</b>	<b>120.2</b>	<b>97.1</b>	<b>97.1</b>
- Increase Sales Tax Revenue	19.9	75.2	62.1	62.1
- Modify PIT: Limit Deductions / 'Presence in NY' Loophole	5.3	45.0	35.0	35.0
	<b>0.8</b>	<b>(1.5)</b>	<b>(18.2)</b>	<b>(51.5)</b>
<b>Human Services</b>	5.6	21.4	13.5	0.0
- Increase Title IV-E Funding*	TBD	TBD	TBD	0.0
- Increase Child Care Block Grant Funding*	2.9	10.2	4.3	0.0
- Increase Food Stamp Administration Funding*	0.9	3.7	2.8	0.0
- Increase Child Support Administration Funding*	1.7	6.7	6.7	6.7
- Freeze Maximum State Aid Rates	0.2	0.0	(2.0)	(2.0)
- Partial Restoration of Youth Programs	0.0	(1.5)	(1.5)	(1.5)
- Increase Fair Hearings Chargeback	(10.1)	(40.2)	(40.2)	(40.2)
- Reduce Local Administration Fund Availability	0.0	0.0	0.0	(12.7)
- Increase Public Assistance Grants / Basic Allowance	(0.4)	(1.8)	(1.8)	(1.8)
- Reduce Community Optional Preventive Services	<b>531.9</b>	<b>1,002.7</b>	<b>390.2</b>	<b>1.3</b>
<b>Health / Medicaid</b>	531.0	999.0	387.0	0.0
- Increase FMAP Funding**	0.5	2.0	1.5	0.0
- Increase Aging Nutrition Funding*	0.4	1.7	1.7	1.3
- Eliminate 2009-10 COLA - SOFA	<b>(1.0)</b>	<b>(3.8)</b>	<b>(3.9)</b>	<b>(3.8)</b>
<b>Mental Hygiene</b>	(0.2)	(0.7)	(0.7)	(0.7)
- Eliminate 2009-10 COLA - OMRDD/OASAS	(0.5)	(2.0)	(2.0)	(2.0)
- Restructure School-Based Prevention Services in NYC	(0.3)	(1.1)	(1.2)	(1.1)
- All Other Mental Hygiene	<b>(1.1)</b>	<b>(3.2)</b>	<b>(0.7)</b>	<b>(2.2)</b>
<b>Transportation</b>	(0.6)	(2.2)	(2.2)	(2.2)
- Modify Transit Aid	(0.5)	(1.0)	1.5	0.0
- Delay Transit Aid Payments	<b>0.0</b>	<b>62.4</b>	<b>62.4</b>	<b>62.4</b>
<b>Municipal Aid</b>	0.0	82.0	82.0	82.0
- Restore NYC AIM Funding to 2006-07 Level	0.0	(19.6)	(19.6)	(19.6)
- Reduce VLT Aid Outside Yorkers	<b>(1.6)</b>	<b>(7.4)</b>	<b>(11.6)</b>	<b>(12.6)</b>
<b>Public Protection</b>	0.3	1.4	1.0	0.0
- Provide Rockefeller Drug Law Reform Funding - Byrne/JAG*	(0.3)	(1.2)	(1.2)	(1.2)
- Reduce Local Probation Aid	(1.3)	(6.3)	(10.1)	(10.1)
- Eliminate Board of Prisoner Payments - Parole Violators / State Readies	(0.3)	(1.3)	(1.3)	(1.3)
- Reduce Criminal Justice Funding	<b>(1.9)</b>	<b>(8.5)</b>	<b>(8.5)</b>	<b>(8.5)</b>
<b>All Other Impacts</b>	0.0	(0.8)	(0.8)	(0.8)
- Eliminate STAR Administration Aid	(1.9)	(7.7)	(7.7)	(7.7)
- Reduce NYC Special Accidental Death Benefit Reimbursement	<b>552.3</b>	<b>1,782.9</b>	<b>1,365.8</b>	<b>1,076.2</b>
<b>Total 2009-10 Enacted Budget Actions</b>	371.3	501.6	711.7	977.2
Continuing Medicaid Cap & FHP Takeover Savings	<b>923.6</b>	<b>2,284.5</b>	<b>2,077.5</b>	<b>2,053.4</b>
<b>Grand Total</b>				

\* Funding provided through the American Recovery and Reinvestment Act of 2009 (ARRA)

\*\* Local share of FMAP benefits reflect Executive Budget assumptions and are subject to changes in Medicaid program growth resulting from factors such as increased caseload and restorations of proposed savings actions

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND  
(thousands of dollars)**

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
<b>Agriculture and Markets, Department of</b>					
Grants to Local Governments	64,531	52,267	50,790	51,586	51,533
State Operations	27,695	20,620	17,267	17,097	17,097
Personal Service	36,836	31,647	33,523	34,489	34,436
Non-Personal Service/Indirect Cost	24,685	21,700	22,833	23,807	23,564
	12,151	9,947	10,590	10,882	10,882
<b>Banking Department</b>	<b>125</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Grants to Local Governments	125	0	0	0	0
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
<b>Consumer Protection Board</b>	<b>0</b>	<b>2,914</b>	<b>3,082</b>	<b>3,131</b>	<b>3,221</b>
Grants to Local Governments	0	0	0	0	0
State Operations	0	2,914	3,082	3,131	3,221
Personal Service	0	2,320	2,444	2,471	2,541
Non-Personal Service/Indirect Cost	0	594	638	660	680
<b>Economic Development, Department of</b>	<b>45,850</b>	<b>42,758</b>	<b>39,627</b>	<b>39,655</b>	<b>39,655</b>
Grants to Local Governments	11,564	10,493	7,611	6,943	6,943
State Operations	34,286	32,265	32,016	32,712	32,712
Personal Service	13,487	13,846	14,346	14,444	14,444
Non-Personal Service/Indirect Cost	20,799	18,419	17,670	18,268	18,268
<b>Empire State Development Corporation</b>	<b>52,255</b>	<b>33,121</b>	<b>38,121</b>	<b>36,754</b>	<b>36,754</b>
Grants to Local Governments	52,255	33,121	38,121	36,754	36,754
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
<b>Energy Research and Development Authority</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Grants to Local Governments	0	0	0	0	0
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND  
(thousands of dollars)**

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>Housing and Community Renewal, Division of</b>					
Grants to Local Governments	84,635	78,483	72,324	72,869	73,964
State Operations	54,841	52,301	45,097	44,584	44,584
Personal Service	29,794	26,182	27,227	28,285	29,380
Non-Personal Service/Indirect Cost	17,032	12,237	13,161	13,844	14,555
	12,762	13,945	14,066	14,441	14,825
<b>Insurance Department</b>					
Grants to Local Governments	86,099	91,783	91,779	91,779	91,779
State Operations	0	89,743	89,743	89,743	89,743
Personal Service	86,099	2,040	2,036	2,036	2,036
Non-Personal Service/Indirect Cost	699	1,100	1,096	1,096	1,096
	85,400	940	940	940	940
<b>Olympic Regional Development Authority</b>					
Grants to Local Governments	6,454	7,137	7,342	7,552	7,552
State Operations	0	0	0	0	0
Personal Service	6,454	7,137	7,342	7,552	7,552
Non-Personal Service/Indirect Cost	4,357	3,679	3,679	3,679	3,679
	2,097	3,458	3,663	3,873	3,873
<b>Science, Technology and Innovation, Foundation for</b>					
Grants to Local Governments	27,186	26,122	26,674	27,455	27,455
State Operations	24,244	22,365	22,835	23,555	23,555
Personal Service	2,942	3,757	3,839	3,900	3,900
Non-Personal Service/Indirect Cost	2,192	2,221	2,293	2,310	2,310
	750	1,536	1,546	1,590	1,590
<b>Functional Total</b>	<b>367,135</b>	<b>334,585</b>	<b>329,739</b>	<b>330,781</b>	<b>331,913</b>
<b>PARKS AND THE ENVIRONMENT</b>					
<b>Adirondack Park Agency</b>					
Grants to Local Governments	5,298	5,217	5,388	5,391	5,393
State Operations	25	0	0	0	0
Personal Service	5,273	5,217	5,388	5,391	5,393
Non-Personal Service/Indirect Cost	4,547	4,524	4,695	4,698	4,700
	726	693	693	693	693
<b>Environmental Conservation, Department of</b>					
Grants to Local Governments	134,072	120,725	125,822	125,444	125,452
State Operations	8,158	4,690	6,936	6,537	6,537
Personal Service	125,914	116,035	118,886	118,907	118,915
Non-Personal Service/Indirect Cost	107,393	93,831	96,673	96,688	96,696
	18,521	22,204	22,213	22,219	22,219

**CASH DISBURSEMENTS BY FUNCTION**  
**GENERAL FUND**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>Environmental Facilities Corporation</b>	<b>143</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Grants to Local Governments	0	0	0	0	0
State Operations	143	0	0	0	0
Personal Service	135	0	0	0	0
Non-Personal Service/Indirect Cost	8	0	0	0	0
<b>Parks, Recreation and Historic Preservation, Office of</b>	<b>158,527</b>	<b>144,518</b>	<b>149,126</b>	<b>147,718</b>	<b>149,332</b>
Grants to Local Governments	27,734	17,950	17,950	15,100	15,100
State Operations	130,793	126,568	131,176	132,618	134,232
Personal Service	113,928	97,098	101,176	101,981	102,854
Non-Personal Service/Indirect Cost	16,865	29,470	30,000	30,637	31,378
<b>Functional Total</b>	<b>298,040</b>	<b>270,460</b>	<b>280,336</b>	<b>278,553</b>	<b>280,177</b>
<b>TRANSPORTATION</b>					
<b>Motor Vehicles, Department of</b>	<b>54</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Grants to Local Governments	0	0	0	0	0
State Operations	54	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	54	0	0	0	0
<b>Transportation, Department of</b>	<b>108,895</b>	<b>101,256</b>	<b>100,975</b>	<b>100,538</b>	<b>100,538</b>
Grants to Local Governments	106,546	100,292	100,011	99,574	99,574
State Operations	2,349	964	964	964	964
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	2,349	964	964	964	964
<b>FUNCTIONAL TOTAL</b>	<b>108,949</b>	<b>101,256</b>	<b>100,975</b>	<b>100,538</b>	<b>100,538</b>
<b>HEALTH AND SOCIAL WELFARE</b>					
<b>Aging, Office for the</b>	<b>121,883</b>	<b>118,647</b>	<b>121,585</b>	<b>125,479</b>	<b>125,479</b>
Grants to Local Governments	118,936	116,279	119,137	122,944	122,944
State Operations	2,947	2,368	2,448	2,535	2,535
Personal Service	2,486	1,921	2,001	2,065	2,065
Non-Personal Service/Indirect Cost	461	447	447	470	470
<b>Children and Family Services, Office of</b>	<b>1,933,494</b>	<b>2,081,769</b>	<b>2,237,124</b>	<b>2,442,658</b>	<b>2,593,553</b>
<b>Children and Family Services</b>	<b>1,887,661</b>	<b>2,010,925</b>	<b>2,120,438</b>	<b>2,304,303</b>	<b>2,450,867</b>
Grants to Local Governments	1,625,976	1,752,418	1,851,238	2,031,610	2,169,779
State Operations	261,685	258,507	269,200	272,693	281,068
Personal Service	171,484	161,971	167,931	168,784	172,455
Non-Personal Service/Indirect Cost	90,201	96,536	101,269	103,909	108,633

**CASH DISBURSEMENTS BY FUNCTION**  
**GENERAL FUND**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>Children and Family Services - Medicaid</b>					
Grants to Local Governments	45,833	70,844	116,686	138,355	142,686
State Operations	45,833	70,844	116,686	138,355	142,686
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
<b>Health, Department of</b>	<b>8,834,536</b>	<b>7,259,517</b>	<b>9,451,419</b>	<b>14,374,712</b>	<b>15,519,147</b>
<b>Medical Assistance</b>					
Grants to Local Governments	7,688,921	5,942,001	8,159,066	13,032,240	14,117,245
State Operations	7,674,371	5,942,001	8,159,066	13,032,240	14,117,245
Personal Service	14,550	0	0	0	0
Non-Personal Service/Indirect Cost	500	0	0	0	0
	14,050	0	0	0	0
<b>Medicaid Administration</b>	<b>451,783</b>	<b>458,500</b>	<b>481,250</b>	<b>503,750</b>	<b>526,750</b>
Grants to Local Governments	451,783	458,500	481,250	503,750	526,750
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
<b>Public Health</b>	<b>693,832</b>	<b>859,016</b>	<b>811,103</b>	<b>838,722</b>	<b>875,152</b>
Grants to Local Governments	512,388	653,461	577,646	598,076	634,506
State Operations	181,444	205,555	233,457	240,646	240,646
Personal Service	67,069	78,094	87,469	90,945	90,945
Non-Personal Service/Indirect Cost	114,375	127,461	145,988	149,701	149,701
<b>Human Rights, Division of</b>	<b>12,214</b>	<b>11,087</b>	<b>12,781</b>	<b>12,775</b>	<b>12,775</b>
Grants to Local Governments	0	0	0	0	0
State Operations	12,214	11,087	12,781	12,775	12,775
Personal Service	9,228	8,031	9,725	9,719	9,719
Non-Personal Service/Indirect Cost	2,986	3,056	3,056	3,056	3,056
<b>Labor, Department of</b>	<b>13,768</b>	<b>23,017</b>	<b>6,138</b>	<b>4,092</b>	<b>4,092</b>
Grants to Local Governments	11,683	21,414	4,505	2,459	2,459
State Operations	2,085	1,603	1,633	1,633	1,633
Personal Service	1,372	1,124	1,154	1,154	1,154
Non-Personal Service/Indirect Cost	713	479	479	479	479
<b>Medicaid Inspector General, Office of</b>	<b>22,124</b>	<b>30,621</b>	<b>32,183</b>	<b>35,600</b>	<b>35,600</b>
Grants to Local Governments	0	0	0	0	0
State Operations	22,124	30,621	32,183	35,600	35,600
Personal Service	13,468	21,436	21,957	22,104	22,104
Non-Personal Service/Indirect Cost	8,656	9,185	10,226	13,496	13,496

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND  
(thousands of dollars)**

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>Prevention of Domestic Violence, Office for</b>	<b>2,422</b>	<b>2,346</b>	<b>2,283</b>	<b>2,295</b>	<b>2,316</b>
Grants to Local Governments	792	843	685	685	685
State Operations	1,630	1,503	1,598	1,610	1,631
Personal Service	1,060	1,149	1,233	1,233	1,246
Non-Personal Service/Indirect Cost	570	354	365	377	385
<b>Temporary and Disability Assistance, Office of</b>	<b>1,264,182</b>	<b>1,330,065</b>	<b>1,363,751</b>	<b>1,406,578</b>	<b>1,494,614</b>
<b>Welfare Assistance</b>	<b>742,277</b>	<b>1,101,503</b>	<b>1,129,111</b>	<b>1,167,911</b>	<b>1,253,111</b>
Grants to Local Governments	742,277	1,101,503	1,129,111	1,167,911	1,253,111
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
<b>Welfare Administration</b>	<b>361,065</b>	<b>56,433</b>	<b>55,041</b>	<b>55,041</b>	<b>55,041</b>
Grants to Local Governments	361,065	56,433	55,041	55,041	55,041
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
<b>All Other</b>	<b>160,840</b>	<b>172,129</b>	<b>179,599</b>	<b>183,626</b>	<b>186,462</b>
Grants to Local Governments	118,403	116,507	117,007	118,323	119,639
State Operations	42,437	55,622	62,592	65,303	66,823
Personal Service	14,723	13,415	13,763	13,901	14,041
Non-Personal Service/Indirect Cost	27,714	42,207	48,829	51,402	52,782
<b>Welfare Inspector General, Office of</b>	<b>382</b>	<b>343</b>	<b>355</b>	<b>358</b>	<b>358</b>
Grants to Local Governments	0	0	0	0	0
State Operations	382	343	355	358	358
Personal Service	341	343	355	358	358
Non-Personal Service/Indirect Cost	41	0	0	0	0
<b>Functional Total</b>	<b>12,205,085</b>	<b>10,857,412</b>	<b>13,227,619</b>	<b>18,404,547</b>	<b>19,787,934</b>
<b>MENTAL HEALTH</b>					
<b>Mental Health, Office of</b>	<b>506,707</b>	<b>513,029</b>	<b>532,867</b>	<b>564,481</b>	<b>590,651</b>
<b>Office of Mental Health</b>	<b>107,379</b>	<b>111,867</b>	<b>114,055</b>	<b>118,289</b>	<b>121,989</b>
Grants to Local Governments	107,379	111,867	114,055	118,289	121,989
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
<b>Office of Mental Health - Medicaid</b>	<b>399,328</b>	<b>401,162</b>	<b>418,812</b>	<b>446,192</b>	<b>468,662</b>
Grants to Local Governments	399,328	401,162	418,812	446,192	468,662
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0



**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>Mental Hygiene, Department of</b>	0	0	0	0	0
Grants to Local Governments	0	0	0	0	0
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
<b>Mental Retardation and Developmental Disabilities, Office of</b>	1,436,642	1,508,089	1,590,987	1,690,239	1,787,348
<b>Office of Mental Retardation</b>	151,968	120,448	118,180	121,954	133,485
Grants to Local Governments	151,947	120,448	118,180	121,954	133,485
State Operations	21	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	21	0	0	0	0
<b>Office of Mental Retardation - Medicaid</b>	1,284,674	1,387,641	1,472,807	1,568,285	1,653,863
Grants to Local Governments	1,284,674	1,387,641	1,472,807	1,568,285	1,653,863
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
<b>Alcoholism and Substance Abuse Services, Office of</b>	120,740	126,816	142,683	152,727	155,814
<b>Alcoholism and Substance Abuse Services</b>	88,060	93,957	106,288	114,542	117,618
Grants to Local Governments	87,754	93,957	106,288	114,542	117,618
State Operations	306	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	306	0	0	0	0
<b>Alcoholism and Substance Abuse Services - Medicaid</b>	32,680	32,859	36,395	38,185	38,196
Grants to Local Governments	32,680	32,859	36,395	38,185	38,196
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
<b>Quality of Care for the Mentally Disabled, Commission on</b>	4,907	5,768	5,963	6,000	6,080
Grants to Local Governments	533	413	413	390	390
State Operations	4,374	5,355	5,550	5,610	5,690
Personal Service	3,471	3,912	4,074	4,099	4,142
Non-Personal Service/Indirect Cost	903	1,443	1,476	1,511	1,548
<b>Functional Total</b>	<b>2,068,996</b>	<b>2,153,702</b>	<b>2,272,500</b>	<b>2,413,447</b>	<b>2,539,893</b>

**CASH DISBURSEMENTS BY FUNCTION**  
**GENERAL FUND**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>PUBLIC PROTECTION</b>					
<b>Capital Defenders Office</b>	<b>370</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Grants to Local Governments	0	0	0	0	0
State Operations	370	0	0	0	0
Personal Service	227	0	0	0	0
Non-Personal Service/Indirect Cost	143	0	0	0	0
<b>Correction, Commission of</b>	<b>2,653</b>	<b>2,658</b>	<b>2,785</b>	<b>2,814</b>	<b>2,848</b>
Grants to Local Governments	0	0	0	0	0
State Operations	2,653	2,658	2,785	2,814	2,848
Personal Service	2,206	2,168	2,283	2,298	2,322
Non-Personal Service/Indirect Cost	447	490	502	516	526
<b>Correctional Services, Department of</b>	<b>2,374,406</b>	<b>2,300,854</b>	<b>2,340,030</b>	<b>2,358,739</b>	<b>2,397,085</b>
Grants to Local Governments	1,666	2,740	300	243	243
State Operations	2,370,740	2,298,114	2,339,730	2,358,496	2,396,842
Personal Service	1,808,840	1,682,995	1,696,888	1,692,631	1,697,313
Non-Personal Service/Indirect Cost	561,900	615,119	642,842	665,865	699,529
General State Charges	2,000	0	0	0	0
<b>Crime Victims Board</b>	<b>4,223</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Grants to Local Governments	0	0	0	0	0
State Operations	4,223	0	0	0	0
Personal Service	3,411	0	0	0	0
Non-Personal Service/Indirect Cost	812	0	0	0	0
<b>Criminal Justice Services, Division of</b>	<b>151,447</b>	<b>137,504</b>	<b>130,413</b>	<b>130,924</b>	<b>130,021</b>
Grants to Local Governments	91,807	79,529	70,038	68,397	68,297
State Operations	59,648	57,975	60,375	62,527	61,724
Personal Service	37,506	32,361	31,335	31,644	31,989
Non-Personal Service/Indirect Cost	22,142	25,614	29,040	30,883	29,735
General State Charges	(8)	0	0	0	0
<b>Homeland Security</b>	<b>18,180</b>	<b>63,934</b>	<b>63,735</b>	<b>65,391</b>	<b>62,753</b>
Grants to Local Governments	0	0	0	0	0
State Operations	18,180	63,934	63,735	65,391	62,753
Personal Service	8,358	42,652	45,744	50,593	47,930
Non-Personal Service/Indirect Cost	9,822	21,282	17,991	14,798	14,823
<b>Investigation, Temporary State Commission of</b>	<b>3,403</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Grants to Local Governments	0	0	0	0	0
State Operations	3,403	0	0	0	0
Personal Service	2,568	0	0	0	0
Non-Personal Service/Indirect Cost	835	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND  
(thousands of dollars)**

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>Judicial Commissions</b>	<b>5,288</b>	<b>5,214</b>	<b>5,208</b>	<b>5,311</b>	<b>5,385</b>
Grants to Local Governments	0	0	0	0	0
State Operations	5,288	5,214	5,208	5,311	5,385
Personal Service	3,602	3,860	3,832	3,861	3,901
Non-Personal Service/Indirect Cost	1,686	1,354	1,376	1,450	1,484
<b>Military and Naval Affairs, Division of</b>	<b>56,706</b>	<b>75,083</b>	<b>44,532</b>	<b>30,145</b>	<b>30,503</b>
Grants to Local Governments	17,345	46,757	19,835	6,466	6,466
State Operations	39,361	28,321	24,695	23,677	24,035
Personal Service	25,764	12,790	13,608	13,612	13,758
Non-Personal Service/Indirect Cost	13,597	15,531	11,087	10,065	10,277
General State Charges	0	5	2	2	2
<b>Parole, Division of</b>	<b>196,370</b>	<b>186,698</b>	<b>191,628</b>	<b>195,982</b>	<b>199,975</b>
Grants to Local Governments	23,453	16,301	10,999	12,582	14,129
State Operations	172,917	172,397	180,629	183,400	185,846
Personal Service	137,530	135,014	141,307	141,543	142,962
Non-Personal Service/Indirect Cost	35,387	37,383	39,322	41,857	42,884
<b>Probation and Correctional Alternatives, Division of</b>	<b>79,007</b>	<b>69,137</b>	<b>70,773</b>	<b>76,961</b>	<b>78,496</b>
Grants to Local Governments	76,522	66,691	68,200	74,358	75,858
State Operations	2,485	2,446	2,573	2,603	2,638
Personal Service	2,101	1,973	2,056	2,076	2,097
Non-Personal Service/Indirect Cost	384	473	517	527	541
<b>State Police, Division of</b>	<b>484,081</b>	<b>476,168</b>	<b>447,684</b>	<b>472,624</b>	<b>467,124</b>
Grants to Local Governments	0	0	0	0	0
State Operations	484,081	476,168	447,684	472,624	467,124
Personal Service	415,875	425,830	392,843	392,847	392,847
Non-Personal Service/Indirect Cost	68,206	50,338	54,841	79,777	74,277
<b>Functional Total</b>	<b>3,376,134</b>	<b>3,319,250</b>	<b>3,296,788</b>	<b>3,338,891</b>	<b>3,374,190</b>
<b>EDUCATION</b>					
<b>Arts, Council on the</b>	<b>45,571</b>	<b>48,205</b>	<b>48,209</b>	<b>48,209</b>	<b>48,209</b>
Grants to Local Governments	40,073	42,650	42,400	42,400	42,400
State Operations	5,498	5,555	5,809	5,809	5,809
Personal Service	3,754	3,779	3,989	3,990	3,990
Non-Personal Service/Indirect Cost	1,744	1,776	1,820	1,819	1,819
<b>City University of New York</b>	<b>957,512</b>	<b>1,555,590</b>	<b>1,332,925</b>	<b>1,394,721</b>	<b>1,424,962</b>
Grants to Local Governments	957,512	1,555,590	1,332,925	1,394,721	1,424,962
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>Education, Department of</b>					
<b>School Aid</b>	<b>19,435,735</b>	<b>19,713,810</b>	<b>20,456,912</b>	<b>21,633,842</b>	<b>23,933,611</b>
Grants to Local Governments	17,649,857	17,979,073	18,706,513	19,657,556	21,873,390
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
<b>School Aid - Medicaid Assistance</b>	<b>106,331</b>	<b>40,000</b>	<b>80,000</b>	<b>80,000</b>	<b>80,000</b>
Grants to Local Governments	106,331	40,000	80,000	80,000	80,000
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
<b>Special Education Categorical Programs</b>	<b>1,041,373</b>	<b>970,090</b>	<b>1,062,950</b>	<b>1,292,470</b>	<b>1,368,790</b>
Grants to Local Governments	1,041,373	970,090	1,062,950	1,292,470	1,368,790
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
<b>All Other</b>	<b>638,174</b>	<b>724,647</b>	<b>607,449</b>	<b>603,816</b>	<b>611,431</b>
Grants to Local Governments	586,323	669,721	553,826	549,428	556,265
State Operations	50,001	53,181	51,878	52,643	53,421
Personal Service	30,865	32,126	32,343	32,637	32,934
Non-Personal Service/Indirect Cost	19,136	21,055	19,535	20,006	20,487
General State Charges	1,850	1,745	1,745	1,745	1,745
<b>Higher Education Services Corporation</b>	<b>808,733</b>	<b>874,988</b>	<b>810,791</b>	<b>842,771</b>	<b>857,841</b>
Grants to Local Governments	808,733	824,988	800,791	832,771	847,841
State Operations	0	50,000	10,000	10,000	10,000
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	50,000	10,000	10,000	10,000
<b>State University of New York</b>	<b>1,892,137</b>	<b>1,812,775</b>	<b>1,897,450</b>	<b>1,980,030</b>	<b>2,021,884</b>
Grants to Local Governments	467,010	455,896	444,086	489,919	489,919
State Operations	1,245,309	1,169,976	1,255,309	1,292,056	1,333,910
Personal Service	891,194	806,276	876,397	894,587	912,929
Non-Personal Service/Indirect Cost	354,115	363,700	378,912	397,469	420,981
General State Charges	179,818	186,903	198,055	198,055	198,055
<b>Functional Total</b>	<b>23,139,688</b>	<b>24,005,368</b>	<b>24,546,287</b>	<b>25,899,573</b>	<b>28,286,507</b>

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND  
(thousands of dollars)**

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>GENERAL GOVERNMENT</b>					
<b><i>Audit and Control, Department of</i></b>					
Grants to Local Governments	174,651	177,635	177,695	182,038	186,511
State Operations	37,755	32,024	32,024	32,024	32,024
Personal Service	136,896	145,611	145,671	150,014	154,487
Non-Personal Service/Indirect Cost	107,828	110,770	109,650	111,802	115,396
	29,068	34,841	36,021	38,212	39,091
<b><i>Budget, Division of the</i></b>					
Grants to Local Governments	25,528	26,557	27,456	28,227	28,644
State Operations	0	0	0	0	0
Personal Service	25,528	26,557	27,456	28,227	28,644
Non-Personal Service/Indirect Cost	21,683	21,547	22,448	23,156	23,884
	3,845	5,010	5,008	5,071	4,760
<b><i>Civil Service, Department of</i></b>					
Grants to Local Governments	22,380	19,727	20,561	20,734	20,937
State Operations	0	0	0	0	0
Personal Service	22,380	19,727	20,561	20,734	20,937
Non-Personal Service/Indirect Cost	20,720	18,642	19,451	19,599	19,765
	1,660	1,085	1,110	1,135	1,172
<b><i>Elections, State Board of</i></b>					
Grants to Local Governments	6,894	10,741	7,175	7,284	7,426
State Operations	375	3,888	0	0	0
Personal Service	6,519	6,853	7,175	7,284	7,426
Non-Personal Service/Indirect Cost	4,081	3,470	3,631	3,639	3,686
	2,438	3,383	3,544	3,645	3,740
<b><i>Employee Relations, Office of</i></b>					
Grants to Local Governments	3,690	3,418	3,743	3,779	3,816
State Operations	0	0	0	0	0
Personal Service	3,690	3,418	3,743	3,779	3,816
Non-Personal Service/Indirect Cost	3,480	3,096	3,417	3,445	3,474
	210	322	326	334	342
<b><i>Executive Chamber</i></b>					
Grants to Local Governments	19,252	17,077	18,023	18,647	18,924
State Operations	0	0	0	0	0
Personal Service	19,252	17,077	18,023	18,647	18,924
Non-Personal Service/Indirect Cost	15,420	14,310	15,044	15,542	16,055
	3,832	2,767	2,979	3,105	2,869
<b><i>General Services, Office of **</i></b>					
Grants to Local Governments	133,597	139,267	140,686	142,994	146,980
State Operations	99	400	400	324	324
Personal Service	133,498	138,867	140,286	142,670	146,656
Non-Personal Service/Indirect Cost	57,101	55,679	53,979	54,157	55,037
	76,397	83,188	86,307	88,513	91,619

**CASH DISBURSEMENTS BY FUNCTION  
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	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b><i>Inspector General, Office of</i></b>					
Grants to Local Governments	6,460	6,375	6,689	6,765	6,850
State Operations	0	0	0	0	0
Personal Service	6,460	6,375	6,689	6,765	6,850
Non-Personal Service/Indirect Cost	5,700	5,485	5,755	5,799	5,860
	760	890	934	966	990
<b><i>Law, Department of</i></b>					
Grants to Local Governments	131,001	135,024	135,327	136,869	138,456
State Operations	0	100	100	81	81
Personal Service	131,001	134,924	135,227	136,788	138,375
Non-Personal Service/Indirect Cost	101,751	104,380	103,919	104,698	105,483
	29,250	30,544	31,308	32,090	32,892
<b><i>Lieutenant Governor, Office of the</i></b>					
Grants to Local Governments	133	0	276	1,193	1,208
State Operations	0	0	0	0	0
Personal Service	133	0	276	1,193	1,208
Non-Personal Service/Indirect Cost	79	0	230	1,006	1,016
	54	0	46	187	192
<b><i>Public Employment Relations Board</i></b>					
Grants to Local Governments	3,660	3,735	4,026	4,193	4,373
State Operations	0	0	0	0	0
Personal Service	3,660	3,735	4,026	4,193	4,373
Non-Personal Service/Indirect Cost	3,150	3,269	3,546	3,569	3,603
	510	466	480	624	770
<b><i>Public Integrity, Commission on</i></b>					
Grants to Local Governments	4,879	4,865	5,017	5,350	5,530
State Operations	0	0	0	0	0
Personal Service	4,879	4,865	5,017	5,350	5,530
Non-Personal Service/Indirect Cost	3,631	3,588	3,730	4,053	4,197
	1,248	1,277	1,287	1,297	1,333
<b><i>Racing and Wagering Board, State</i></b>					
Grants to Local Governments	823	0	0	0	0
State Operations	0	0	0	0	0
Personal Service	823	0	0	0	0
Non-Personal Service/Indirect Cost	823	0	0	0	0
<b><i>Real Property Services, Office of</i></b>					
Grants to Local Governments	17,557	38,339	38,917	39,849	40,289
State Operations	17,443	13,870	13,821	13,836	13,886
Personal Service	114	24,469	25,296	26,013	26,303
Non-Personal Service/Indirect Cost	0	18,924	19,405	19,542	19,707
	114	5,545	5,891	6,471	6,596

**CASH DISBURSEMENTS BY FUNCTION**  
**GENERAL FUND**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>Regulatory Reform, Governor's Office of</b>					
Grants to Local Governments	3,438	542	697	697	697
State Operations	0	0	0	0	0
Personal Service	3,438	542	697	697	697
Non-Personal Service/Indirect Cost	2,877	512	665	665	665
	561	30	32	32	32
<b>State, Department of</b>					
Grants to Local Governments	46,291	52,625	41,544	37,683	37,683
State Operations	24,556	34,037	22,891	19,091	19,091
Personal Service	21,735	18,588	18,653	18,592	18,592
Non-Personal Service/Indirect Cost	13,975	12,626	12,844	12,805	12,805
	7,760	5,962	5,809	5,787	5,787
<b>Tax Appeals, Division of</b>					
Grants to Local Governments	3,422	3,025	3,152	3,152	3,152
State Operations	0	0	0	0	0
Personal Service	3,422	3,025	3,152	3,152	3,152
Non-Personal Service/Indirect Cost	2,980	2,625	2,740	2,740	2,740
	442	400	412	412	412
<b>Taxation and Finance, Department of</b>					
Grants to Local Governments	295,925	336,286	350,290	350,341	350,341
State Operations	0	0	0	0	0
Personal Service	295,925	336,286	350,290	350,341	350,341
Non-Personal Service/Indirect Cost	234,078	266,016	277,702	277,753	277,753
	61,847	70,270	72,588	72,588	72,588
<b>Technology, Office for</b>					
Grants to Local Governments	21,238	32,257	28,475	29,497	30,543
State Operations	0	2,500	0	0	0
Personal Service	21,238	29,757	28,475	29,497	30,543
Non-Personal Service/Indirect Cost	10,256	10,852	12,093	12,167	12,287
	10,982	18,905	16,382	17,330	18,256
<b>Lobbying, Temporary State Commission on</b>					
Grants to Local Governments	(77)	0	0	0	0
State Operations	0	0	0	0	0
Personal Service	(77)	0	0	0	0
Non-Personal Service/Indirect Cost	(77)	0	0	0	0
	0	0	0	0	0
<b>Veterans Affairs, Division of</b>					
Grants to Local Governments	14,251	15,569	16,347	15,910	15,985
State Operations	8,065	8,975	9,513	9,076	9,076
Personal Service	6,186	6,594	6,834	6,834	6,909
Non-Personal Service/Indirect Cost	5,638	5,725	5,978	5,978	6,036
	548	869	856	856	873
<b>Functional Total</b>	<b>934,993</b>	<b>1,023,064</b>	<b>1,026,096</b>	<b>1,035,202</b>	<b>1,048,345</b>

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND  
(thousands of dollars)**

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>ALL OTHER</b>					
<b>Legislature</b>					
Grants to Local Governments	220,629	224,767	219,767	219,767	219,767
State Operations	0	0	0	0	0
Personal Service	220,629	224,767	219,767	219,767	219,767
Non-Personal Service/Indirect Cost	168,856	169,817	164,784	164,784	164,784
	53,773	54,950	54,983	54,983	54,983
<b>Judiciary</b>					
Grants to Local Governments	2,200,084	2,245,400	2,460,208	2,645,438	2,695,580
State Operations	8,812	4,800	4,800	4,800	4,800
Personal Service	1,737,766	1,765,100	1,954,349	2,111,448	2,157,343
Non-Personal Service/Indirect Cost	1,427,931	1,500,021	1,681,016	1,828,999	1,862,036
General State Charges	309,835	265,079	273,333	282,449	295,307
	453,506	475,500	501,059	529,190	533,437
<b>Local Government Assistance</b>					
Grants to Local Governments	1,037,389	1,134,517	1,129,524	1,132,764	1,135,888
State Operations	1,037,389	1,134,517	1,129,524	1,132,764	1,135,888
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
<b>General State Charges</b>					
Grants to Local Governments	2,443,102	3,035,762	3,336,744	3,610,540	4,022,379
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	2,443,102	3,035,762	3,336,744	3,610,540	4,022,379
<b>Miscellaneous</b>					
Grants to Local Governments	35,730	743,962	404,485	575,799	531,759
State Operations	(3,658)	311,225	34,655	234,368	200,154
Personal Service	35,861	428,317	365,410	337,011	327,187
Non-Personal Service/Indirect Cost	11,527	407,912	284,074	283,966	284,055
General State Charges	24,334	20,405	81,336	53,045	43,132
	3,527	4,420	4,420	4,420	4,418
<b>Functional Total</b>	<b>5,936,934</b>	<b>7,384,408</b>	<b>7,550,728</b>	<b>8,184,308</b>	<b>8,605,373</b>
<b>TOTAL GENERAL FUND SPENDING</b>	<b>48,435,954</b>	<b>49,449,505</b>	<b>52,631,068</b>	<b>59,985,840</b>	<b>64,354,870</b>

\*Unaudited Year-end Results

\*\* To facilitate comparability, the new Office of Procurement Services is reflected within the amounts shown for the Office of General Services.



**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND  
(thousands of dollars)**

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	64,531	52,267	50,790	51,586	51,533
Alcoholic Beverage Control	0	0	0	0	0
Banking Department	125	0	0	0	0
Consumer Protection Board	0	2,914	3,082	3,131	3,221
Economic Development Capital Programs	0	0	0	0	0
Economic Development, Department of	45,846	40,267	39,627	38,965	38,965
Empire State Development Corporation	52,255	35,612	38,121	36,754	36,754
Energy Research and Development Authority	0	0	0	0	0
Housing and Community Renewal, Division of	84,635	78,483	72,324	72,869	73,964
Insurance Department	86,099	91,783	91,779	91,779	91,779
Olympic Regional Development Authority	6,454	7,137	7,342	7,552	7,552
Public Service, Department of	0	0	0	0	0
Science, Technology and Innovation, Foundation for	27,190	26,122	26,674	28,145	28,145
Strategic Investment	0	0	0	0	0
<b>Functional Total</b>	<b>367,135</b>	<b>334,585</b>	<b>329,739</b>	<b>330,781</b>	<b>331,913</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Adirondack Park Agency	5,298	5,217	5,388	5,391	5,393
Environmental Conservation, Department of	134,072	120,725	125,822	125,444	125,452
Environmental Facilities Corporation	143	0	0	0	0
Hudson River Park Trust	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	158,527	144,518	149,126	147,718	149,332
<b>Functional Total</b>	<b>298,040</b>	<b>270,460</b>	<b>280,336</b>	<b>278,553</b>	<b>280,177</b>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	54	0	0	0	0
Thruway Authority	0	0	0	0	0
Metropolitan Transportation Authority	0	0	0	0	0
Transportation, Department of	108,895	101,256	100,975	100,538	100,538
<b>Functional Total</b>	<b>108,949</b>	<b>101,256</b>	<b>100,975</b>	<b>100,538</b>	<b>100,538</b>
<b>HEALTH AND SOCIAL WELFARE</b>					
Aging, Office for the	121,883	118,647	121,585	125,479	125,479
Children and Family Services, Office of	1,933,494	2,081,769	2,237,124	2,442,658	2,593,553
OCFS	1,887,661	2,010,925	2,120,438	2,304,303	2,450,867
OCFS - Medicaid	45,833	70,844	116,686	138,355	142,686
Health, Department of	8,834,536	7,259,517	9,451,419	14,374,712	15,519,147
Medical Assistance	7,688,921	5,942,001	8,159,066	13,032,240	14,117,245
Medicaid Administration	451,783	458,500	481,250	503,750	526,750
Public Health	693,832	859,016	811,103	838,722	875,152
Health - Medicaid Assistance	0	0	0	0	0
Human Rights, Division of	12,214	11,087	12,781	12,775	12,775
Labor, Department of	13,768	23,017	6,138	4,092	4,092
Medicaid Inspector General, Office of	22,124	30,621	32,183	35,600	35,600
Prevention of Domestic Violence, Office for	2,422	2,346	2,283	2,295	2,316
Stem Cell and Innovation	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION**  
**GENERAL FUND**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>HEALTH AND SOCIAL WELFARE (Continued)</b>					
Temporary and Disability Assistance, Office of					
<i>Welfare Assistance</i>	1,264,182	1,330,065	1,363,751	1,406,578	1,494,614
<i>Welfare Administration</i>	742,277	1,101,503	1,129,111	1,167,911	1,253,111
<i>All Other</i>	361,065	56,433	55,041	55,041	55,041
<i>Workers' Compensation Board</i>	160,840	172,129	179,599	183,626	186,462
<i>Welfare Inspector General, Office of</i>	382	343	355	358	358
<i>Workers' Compensation Board</i>	80	0	0	0	0
<b>Functional Total</b>	<b>12,205,085</b>	<b>10,857,412</b>	<b>13,227,619</b>	<b>18,404,547</b>	<b>19,787,934</b>
<b>MENTAL HEALTH</b>					
Mental Health, Office of					
<i>OMH</i>	506,707	513,029	532,867	564,481	590,651
<i>OMH - Medicaid</i>	107,379	111,867	114,055	118,289	121,989
Mental Hygiene, Department of	399,328	401,162	418,812	446,192	468,662
Mental Retardation and Developmental Disabilities, Office of	0	0	0	0	0
<i>OMRDD</i>	1,436,642	1,508,089	1,590,987	1,690,239	1,787,348
<i>OMRDD - Medicaid</i>	151,968	120,448	118,180	121,954	133,485
Alcoholism and Substance Abuse Services, Office of	1,284,674	1,387,641	1,472,807	1,568,285	1,653,863
<i>OASAS</i>	120,740	126,816	142,683	152,727	155,814
<i>OASAS - Medicaid</i>	88,060	93,957	106,288	114,542	117,618
Developmental Disabilities Planning Council	32,680	32,859	36,395	38,185	38,196
Quality of Care for the Mentally Disabled, Commission on	0	0	0	0	0
<b>Functional Total</b>	<b>4,907</b>	<b>5,768</b>	<b>5,963</b>	<b>6,000</b>	<b>6,080</b>
	<b>2,068,996</b>	<b>2,153,702</b>	<b>2,272,500</b>	<b>2,413,447</b>	<b>2,539,893</b>
<b>PUBLIC PROTECTION</b>					
Capital Defenders Office	370	0	0	0	0
Correction, Commission of	2,653	2,658	2,785	2,814	2,848
Correctional Services, Department of	2,374,406	2,300,854	2,340,030	2,358,739	2,397,085
Crime Victims Board	4,223	0	0	0	0
Criminal Justice Services, Division of	151,447	137,504	130,413	130,924	130,021
Homeland Security	18,180	63,934	63,735	65,391	62,753
Investigation, Temporary State Commission of	3,403	0	0	0	0
Judicial Commissions	5,288	5,214	5,208	5,311	5,385
Military and Naval Affairs, Division of	56,706	75,083	44,532	30,145	30,503
Parole, Division of	196,370	188,698	191,628	195,982	199,975
Probation and Correctional Alternatives, Division of	79,007	69,137	70,773	76,961	78,496
State Police, Division of	484,081	476,168	447,684	472,624	467,124
<b>Functional Total</b>	<b>3,376,134</b>	<b>3,319,250</b>	<b>3,296,788</b>	<b>3,338,891</b>	<b>3,374,190</b>

**CASH DISBURSEMENTS BY FUNCTION**  
**GENERAL FUND**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>EDUCATION</b>					
Arts, Council on the	45,571	48,205	48,209	48,209	48,209
City University of New York	957,512	1,555,590	1,332,925	1,394,721	1,424,962
Education, Department of	19,435,735	19,713,810	20,456,912	21,633,842	23,933,611
<i>School Aid</i>	17,649,857	17,979,073	18,706,513	19,657,556	21,873,390
<i>School Aid - Medicaid Assistance</i>	106,331	40,000	80,000	80,000	80,000
<i>STAR, Property Tax Relief</i>	0	0	0	0	0
<i>Special Education Categorical Programs</i>	1,041,373	970,090	1,062,950	1,292,470	1,368,790
<i>All Other</i>	638,174	724,647	607,449	603,816	611,431
Higher Education Services Corporation	808,733	874,988	810,791	842,771	857,841
Higher Education Capital Grants	0	0	0	0	0
State University Construction Fund	0	0	0	0	0
State University of New York	1,892,137	1,812,775	1,897,450	1,980,030	2,021,884
<b>Functional Total</b>	<b>23,139,688</b>	<b>24,005,368</b>	<b>24,546,287</b>	<b>25,899,573</b>	<b>28,286,507</b>
<b>GENERAL GOVERNMENT</b>					
Audit and Control, Department of	174,651	177,635	177,695	182,038	186,511
Budget, Division of the	25,528	26,557	27,456	28,227	28,644
Civil Services, Department of	22,380	19,727	20,561	20,734	20,937
Elections, State Board of	6,894	10,741	7,175	7,284	7,426
Employee Relations, Office of	3,690	3,418	3,743	3,779	3,816
Executive Chamber	19,252	17,077	18,023	18,647	18,924
General Services, Office of **	133,597	139,267	140,686	142,994	146,980
Inspector General, Office of	6,460	6,375	6,689	6,765	6,850
Law, Department of	131,001	135,024	135,327	136,869	138,456
Lieutenant Governor, Office of the	133	0	276	1,193	1,208
Lottery, Division of	0	0	0	0	0
Public Employment Relations Board	3,660	3,735	4,026	4,193	4,373
Public Integrity, Commission on	4,879	4,865	5,017	5,350	5,530
Racing and Wagering Board, State	823	0	0	0	0
Real Property Services, Office of	17,557	38,339	38,917	39,849	40,289
Regulatory Reform, Governor's Office of	3,438	542	697	697	697
State, Department of	46,291	52,625	41,544	37,683	37,683
Tax Appeals, Division of	3,422	3,025	3,152	3,152	3,152
Taxation and Finance, Department of	295,925	336,286	350,290	350,341	350,341
Technology, Office for	21,238	32,257	28,475	29,497	30,543
Lobbying, Temporary State Commission on	(77)	0	0	0	0
Veterans Affairs, Division of	14,251	15,569	16,347	15,910	15,985
<b>Functional Total</b>	<b>934,993</b>	<b>1,023,064</b>	<b>1,026,096</b>	<b>1,035,202</b>	<b>1,048,345</b>

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>ALL OTHER CATEGORIES</b>					
Legislature	220,629	224,767	219,767	219,767	219,767
Judiciary (excluding fringe benefits)	2,200,084	2,245,400	2,460,208	2,645,438	2,695,580
World Trade Center	0	0	0	0	0
Local Government Assistance	1,037,389	1,134,517	1,129,524	1,132,764	1,135,888
Long-Term Debt Service	0	0	0	0	0
Capital Projects	0	0	0	0	0
General State Charges	2,443,102	3,035,762	3,336,744	3,610,540	4,022,379
Miscellaneous	35,730	743,962	404,485	575,799	531,759
<b>Functional Total</b>	<b>5,936,934</b>	<b>7,384,408</b>	<b>7,550,728</b>	<b>8,184,308</b>	<b>8,605,373</b>
<b>TOTAL GENERAL FUND SPENDING</b>	<b>48,435,954</b>	<b>49,449,505</b>	<b>52,631,068</b>	<b>59,985,840</b>	<b>64,354,870</b>

GSC: Agency disbursements include grants to local governments, state operations and general state charges, which is a departure from prior Financial plan publications. In prior reports, general state charges were excluded from agency spending totals.

\*Unaudited Year-end Results

\*\* To facilitate comparability, the new Office of Procurement Services is reflected within the amounts shown for the Office of General Services.

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND  
LOCAL ASSISTANCE SPENDING  
(thousands of dollars)**

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	27,695	20,620	17,267	17,097	17,097
Alcoholic Beverage Control	0	0	0	0	0
Banking Department	125	0	0	0	0
Consumer Protection Board	0	0	0	0	0
Economic Development Capital Programs	0	2,491	0	0	0
Economic Development, Department of	11,564	8,002	7,611	6,943	6,943
Empire State Development Corporation	52,255	33,121	38,121	36,754	36,754
Energy Research and Development Authority	0	0	0	0	0
Housing and Community Renewal, Division of	54,841	52,301	45,097	44,584	44,584
Insurance Department	0	89,743	89,743	89,743	89,743
Olympic Regional Development Authority	0	0	0	0	0
Public Service, Department of	0	0	0	0	0
Science, Technology and Innovation, Foundation for	24,244	22,365	22,835	23,555	23,555
Strategic Investment	0	0	0	0	0
<b>Functional Total</b>	<b>170,724</b>	<b>228,643</b>	<b>220,674</b>	<b>218,676</b>	<b>218,676</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Adirondack Park Agency	25	0	0	0	0
Environmental Conservation, Department of	8,158	4,690	6,936	6,537	6,537
Environmental Facilities Corporation	0	0	0	0	0
Hudson River Park Trust	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	27,734	17,950	17,950	15,100	15,100
<b>Functional Total</b>	<b>35,917</b>	<b>22,640</b>	<b>24,886</b>	<b>21,637</b>	<b>21,637</b>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	0	0	0	0	0
Thruway Authority	0	0	0	0	0
Metropolitan Transportation Authority	0	0	0	0	0
Transportation, Department of	106,546	100,292	100,011	99,574	99,574
<b>Functional Total</b>	<b>106,546</b>	<b>100,292</b>	<b>100,011</b>	<b>99,574</b>	<b>99,574</b>
<b>HEALTH AND SOCIAL WELFARE</b>					
Aging, Office for the	118,936	116,279	119,137	122,944	122,944
Children and Family Services, Office of	1,671,809	1,823,262	1,967,924	2,169,965	2,312,465
OCFS	1,625,976	1,752,418	1,851,238	2,031,610	2,169,779
OCFS - Medicaid	45,833	70,844	116,686	138,355	142,686
Health, Department of	8,638,542	7,053,962	9,217,962	14,134,066	15,278,501
Medical Assistance	7,674,371	5,942,001	8,159,066	13,032,240	14,117,245
Medicaid Administration	451,783	458,500	481,250	503,750	526,750
Public Health	512,388	653,461	577,646	598,076	634,506
Health - Medicaid Assistance	0	0	0	0	0
Human Rights, Division of	0	0	0	0	0
Labor, Department of	11,683	21,414	4,505	2,459	2,459
Medicaid Inspector General, Office of	0	0	0	0	0
Prevention of Domestic Violence, Office for	792	843	685	685	685
Stem Cell and Innovation	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION**  
**GENERAL FUND**  
**LOCAL ASSISTANCE SPENDING**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>HEALTH AND SOCIAL WELFARE (Continued)</b>					
Temporary and Disability Assistance, Office of	1,221,745	1,274,443	1,301,159	1,341,275	1,427,791
Welfare Assistance	742,277	1,101,503	1,129,111	1,167,911	1,253,111
Welfare Administration	361,065	56,433	55,041	55,041	55,041
All Other	118,403	116,507	117,007	118,323	119,639
Welfare Inspector General, Office of	0	0	0	0	0
Workers' Compensation Board	0	0	0	0	0
<b>Functional Total</b>	<b>11,663,507</b>	<b>10,290,203</b>	<b>12,611,372</b>	<b>17,771,394</b>	<b>19,144,845</b>
<b>MENTAL HYGIENE</b>					
Mental Health, Office of	506,707	513,029	532,867	564,481	590,651
OMH	107,379	111,867	114,055	118,289	121,989
OMH - Medicaid	399,328	401,162	418,812	446,192	468,662
Mental Hygiene, Department of	0	0	0	0	0
Mental Retardation and Developmental Disabilities, Office of	1,436,621	1,508,089	1,590,987	1,690,239	1,787,348
OMRDD	151,947	120,448	118,180	121,954	133,485
OMRDD - Medicaid	1,284,674	1,387,641	1,472,807	1,568,285	1,653,863
Alcoholism and Substance Abuse Services, Office of	120,434	126,816	142,683	152,727	155,814
OASAS	87,754	93,957	106,288	114,542	117,618
OASAS - Medicaid	32,680	32,859	36,395	38,185	38,196
Developmental Disabilities Planning Council	0	0	0	0	0
Quality of Care for the Mentally Disabled, Commission on	533	413	413	390	390
<b>Functional Total</b>	<b>2,064,295</b>	<b>2,148,347</b>	<b>2,266,950</b>	<b>2,407,837</b>	<b>2,534,203</b>
<b>PUBLIC PROTECTION</b>					
Capital Defenders Office	0	0	0	0	0
Correction, Commission of	0	0	0	0	0
Correctional Services, Department of	1,666	2,740	300	243	243
Crime Victims Board	0	0	0	0	0
Criminal Justice Services, Division of	91,807	79,529	70,038	68,397	68,297
Homeland Security	0	0	0	0	0
Investigation, Temporary State Commission of	0	0	0	0	0
Judicial Commissions	0	0	0	0	0
Military and Naval Affairs, Division of	17,345	46,757	19,835	6,466	6,466
Parole, Division of	23,453	16,301	10,999	12,582	14,129
Probation and Correctional Alternatives, Division of	76,522	66,691	68,200	74,358	75,858
State Police, Division of	0	0	0	0	0
<b>Functional Total</b>	<b>210,793</b>	<b>212,018</b>	<b>169,372</b>	<b>162,046</b>	<b>164,993</b>

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND  
LOCAL ASSISTANCE SPENDING**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>EDUCATION</b>					
Arts, Council on the	40,073	42,650	42,400	42,400	42,400
City University of New York	957,512	1,555,590	1,332,925	1,394,721	1,424,962
Education, Department of	19,363,884	19,658,884	20,403,289	21,579,454	23,878,445
<i>School Aid</i>	17,649,857	17,979,073	18,706,513	19,657,556	21,873,390
<i>School Aid - Medicaid Assistance</i>	106,331	40,000	80,000	80,000	80,000
<i>STAR Property Tax Relief</i>	0	0	0	0	0
<i>Special Education Categorical Programs</i>	1,041,373	970,090	1,062,950	1,292,470	1,368,790
<i>All Other</i>	586,323	669,721	553,826	549,428	556,265
Higher Education Services Corporation	808,733	824,988	800,791	832,771	847,841
Higher Education Capital Grants	0	0	0	0	0
State University Construction Fund	0	0	0	0	0
State University of New York	467,010	455,896	444,086	489,919	489,919
<b>Functional Total</b>	<b>21,657,212</b>	<b>22,538,008</b>	<b>23,023,491</b>	<b>24,339,265</b>	<b>26,683,567</b>
<b>GENERAL GOVERNMENT</b>					
Audit and Control, Department of	37,755	32,024	32,024	32,024	32,024
Budget, Division of the	0	0	0	0	0
Civil Service, Department of	0	0	0	0	0
Elections, State Board of	375	3,888	0	0	0
Employee Relations, Office of	0	0	0	0	0
Executive Chamber	0	0	0	0	0
General Services, Office of **	99	400	400	324	324
Inspector General, Office of	0	0	0	0	0
Law, Department of	0	100	100	81	81
Lieutenant Governor, Office of the	0	0	0	0	0
Lottery, Division of	0	0	0	0	0
Public Employment Relations Board	0	0	0	0	0
Public Integrity, Commission on	0	0	0	0	0
Racing and Wagering Board, State	0	0	0	0	0
Real Property Services, Office of	17,443	13,870	13,621	13,836	13,986
Regulatory Reform, Governor's Office of	0	0	0	0	0
State, Department of	24,556	34,037	22,891	19,091	19,091
Tax Appeals, Division of	0	0	0	0	0
Taxation and Finance, Department of	0	0	0	0	0
Technology, Office for	0	2,500	0	0	0
Lobbying, Temporary State Commission on	0	0	0	0	0
Veterans Affairs, Division of	8,065	8,975	9,513	9,076	9,076
<b>Functional Total</b>	<b>88,293</b>	<b>95,794</b>	<b>78,549</b>	<b>74,432</b>	<b>74,582</b>

**CASH DISBURSEMENTS BY FUNCTION**  
**GENERAL FUND**  
**LOCAL ASSISTANCE SPENDING**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>ALL OTHER CATEGORIES</b>					
Legislature	0	0	0	0	0
Judiciary (excluding fringe benefits)	8,812	4,800	4,800	4,800	4,800
World Trade Center	0	0	0	0	0
Local Government Assistance	1,037,389	1,134,517	1,129,524	1,132,764	1,135,888
Long-Term Debt Service	0	0	0	0	0
General State Charges	0	0	0	0	0
Miscellaneous	(3,658)	311,225	34,655	234,368	200,154
<b>Functional Total</b>	<b>1,042,543</b>	<b>1,450,542</b>	<b>1,168,979</b>	<b>1,371,932</b>	<b>1,340,842</b>
<b>TOTAL LOCAL ASSISTANCE SPENDING</b>	<b>37,039,830</b>	<b>37,086,487</b>	<b>39,664,284</b>	<b>46,466,793</b>	<b>50,282,919</b>

GSC: Agency disbursements include grants to local governments, state operations and general state charges, which is a departure from prior Financial plan publications. In prior reports, general state charges were excluded from agency spending totals.

\*Unaudited Year-end Results

\*\* To facilitate comparability, the new Office of Procurement Services is reflected within the amounts shown for the Office of General Services.



**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND  
STATE OPERATIONS SPENDING  
(thousands of dollars)**

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	36,836	31,647	33,523	34,489	34,436
Alcoholic Beverage Control	0	0	0	0	0
Banking Department	0	0	0	0	0
Consumer Protection Board	0	2,914	3,082	3,131	3,221
Economic Development Capital Programs	0	0	0	0	0
Economic Development, Department of	34,286	32,265	32,016	32,712	32,712
Empire State Development Corporation	0	0	0	0	0
Energy Research and Development Authority	0	0	0	0	0
Housing and Community Renewal, Division of	29,794	26,182	27,227	28,285	29,380
Insurance Department	86,099	2,040	2,036	2,036	2,036
Olympic Regional Development Authority	6,454	7,137	7,342	7,552	7,552
Public Service, Department of	0	0	0	0	0
Science, Technology and Innovation, Foundation for	2,942	3,757	3,839	3,900	3,900
Strategic Investment	0	0	0	0	0
<b>Functional Total</b>	<b>196,411</b>	<b>105,942</b>	<b>109,065</b>	<b>112,105</b>	<b>113,237</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Adirondack Park Agency	5,273	5,217	5,388	5,391	5,393
Environmental Conservation, Department of	125,914	116,035	118,886	118,907	118,915
Environmental Facilities Corporation	143	0	0	0	0
Hudson River Park Trust	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	130,793	126,568	131,176	132,618	134,232
<b>Functional Total</b>	<b>262,123</b>	<b>247,820</b>	<b>255,450</b>	<b>256,916</b>	<b>258,540</b>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	54	0	0	0	0
Thruway Authority	0	0	0	0	0
Metropolitan Transportation Authority	0	0	0	0	0
Transportation, Department of	2,349	964	964	964	964
<b>Functional Total</b>	<b>2,403</b>	<b>964</b>	<b>964</b>	<b>964</b>	<b>964</b>
<b>HEALTH AND SOCIAL WELFARE</b>					
Aging, Office for the	2,947	2,368	2,448	2,535	2,535
Children and Family Services, Office of	261,685	258,507	269,200	272,693	281,088
OCFS	0	258,507	269,200	272,693	281,088
OCFS - Medicaid	0	0	0	0	0
Health, Department of	195,994	205,555	233,457	240,646	240,646
Medical Assistance	14,550	0	0	0	0
Medicaid Administration	0	0	0	0	0
Public Health	181,444	205,555	233,457	240,646	240,646
Health - Medicaid Assistance	0	0	0	0	0
Human Rights, Division of	12,214	11,087	12,781	12,775	12,775
Labor, Department of	2,085	1,603	1,633	1,633	1,633
Medicaid Inspector General, Office of	22,124	30,621	32,183	35,600	35,600
Prevention of Domestic Violence, Office for	1,630	1,503	1,598	1,610	1,631
Stem Cell and Innovation	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND  
STATE OPERATIONS SPENDING**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>HEALTH AND SOCIAL WELFARE (Continued)</b>					
Temporary and Disability Assistance, Office of					
<i>Welfare Assistance</i>	42,437	55,622	62,592	65,303	66,823
<i>Welfare Administration</i>	0	0	0	0	0
<i>All Other</i>	42,437	55,622	62,592	65,303	66,823
Welfare Inspector General, Office of	382	343	355	358	358
Workers' Compensation Board	80	0	0	0	0
<b>Functional Total</b>	<b>541,578</b>	<b>567,209</b>	<b>616,247</b>	<b>633,153</b>	<b>643,089</b>
<b>MENTAL HYGIENE</b>					
Mental Health, Office of	0	0	0	0	0
<i>OMH</i>	0	0	0	0	0
<i>OMH - Medicaid</i>	0	0	0	0	0
Mental Hygiene, Department of	0	0	0	0	0
Mental Retardation and Developmental Disabilities, Office of	21	0	0	0	0
<i>OMRDD</i>	21	0	0	0	0
<i>OMRDD - Medicaid</i>	0	0	0	0	0
Alcoholism and Substance Abuse Services, Office of	306	0	0	0	0
<i>OASAS</i>	306	0	0	0	0
<i>OASAS - Medicaid</i>	0	0	0	0	0
Developmental Disabilities Planning Council	0	0	0	0	0
Quality of Care for the Mentally Disabled, Commission on	4,374	5,355	5,550	5,610	5,690
<b>Functional Total</b>	<b>4,701</b>	<b>5,355</b>	<b>5,550</b>	<b>5,610</b>	<b>5,690</b>
<b>PUBLIC PROTECTION</b>					
Capital Defenders Office	370	0	0	0	0
Correction, Commission of	2,653	2,658	2,785	2,814	2,848
Correctional Services, Department of	2,370,740	2,298,114	2,339,730	2,358,496	2,396,842
Crime Victims Board	4,223	0	0	0	0
Criminal Justice Services, Division of	59,648	57,975	60,375	62,527	61,724
Homeland Security	18,180	63,934	63,735	65,391	62,753
Investigation, Temporary State Commission of	3,403	0	0	0	0
Judicial Commissions	5,288	5,214	5,208	5,311	5,385
Military and Naval Affairs, Division of	39,361	28,321	24,695	23,677	24,035
Parole, Division of	172,917	172,397	180,629	183,400	185,846
Probation and Correctional Alternatives, Division of	2,485	2,446	2,573	2,603	2,638
State Police, Division of	484,081	476,168	447,684	472,624	467,124
<b>Functional Total</b>	<b>3,163,349</b>	<b>3,107,227</b>	<b>3,127,414</b>	<b>3,176,843</b>	<b>3,209,195</b>

**CASH DISBURSEMENTS BY FUNCTION**  
**GENERAL FUND**  
**STATE OPERATIONS SPENDING**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>EDUCATION</b>					
Arts, Council on the	5,498	5,555	5,809	5,809	5,809
City University of New York	0	0	0	0	0
Education, Department of	50,001	53,181	51,878	52,643	53,421
<i>School Aid</i>	0	0	0	0	0
<i>School Aid - Medicaid Assistance</i>	0	0	0	0	0
<i>STAR Property Tax Relief</i>	0	0	0	0	0
<i>Special Education Categorical Programs</i>	0	0	0	0	0
<i>All Other</i>	50,001	53,181	51,878	52,643	53,421
Higher Education Services Corporation	0	50,000	10,000	10,000	10,000
Higher Education Capital Grants	0	0	0	0	0
State University Construction Fund	0	0	0	0	0
State University of New York	1,245,309	1,169,976	1,255,309	1,292,056	1,333,910
<b>Functional Total</b>	<u>1,300,808</u>	<u>1,278,712</u>	<u>1,322,996</u>	<u>1,360,508</u>	<u>1,403,140</u>
<b>GENERAL GOVERNMENT</b>					
Audit and Control, Department of	136,896	145,611	145,671	150,014	154,487
Budget, Division of the	25,528	26,557	27,456	28,227	28,644
Civil Service, Department of	22,380	19,727	20,561	20,734	20,937
Elections, State Board of	6,519	6,853	7,175	7,284	7,426
Employee Relations, Office of	3,690	3,418	3,743	3,779	3,816
Executive Chamber	19,252	17,077	18,023	18,647	18,924
General Services, Office of **	133,498	138,867	140,286	142,670	146,656
Inspector General, Office of	6,460	6,375	6,689	6,765	6,850
Law, Department of	131,001	134,924	135,227	136,788	138,375
Lieutenant Governor, Office of the	133	0	276	1,193	1,208
Lottery, Division of	0	0	0	0	0
Public Employment Relations Board	3,660	3,735	4,026	4,193	4,373
Public Integrity, Commission on	4,879	4,865	5,017	5,350	5,530
Racing and Wagering Board, State	823	0	0	0	0
Real Property Services, Office of	114	24,469	25,296	25,013	26,303
Regulatory Reform, Governor's Office of	3,438	542	697	697	697
State, Department of	21,735	18,588	18,653	18,592	18,592
Tax Appeals, Division of	3,422	3,025	3,152	3,152	3,152
Taxation and Finance, Department of	295,925	336,286	350,290	350,341	350,341
Technology, Office for	21,238	29,757	28,475	29,497	30,543
Lobbying, Temporary State Commission on	(77)	0	0	0	0
Veterans Affairs, Division of	6,191	6,594	6,834	6,834	6,909
<b>Functional Total</b>	<u>846,705</u>	<u>927,270</u>	<u>947,547</u>	<u>960,770</u>	<u>973,763</u>

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND  
STATE OPERATIONS SPENDING**  
(thousands of dollars)

ALL OTHER CATEGORIES	2008-2009	2009-2010	2010-2011	2011-2012	2012-2013
	Year-End*	Enacted	Projected	Projected	Projected
Legislature	220,629	224,767	219,767	219,767	219,767
Judiciary (excluding fringe benefits)	1,737,766	1,765,100	1,954,349	2,111,448	2,157,343
World Trade Center	0	0	0	0	0
Local Government Assistance	0	0	0	0	0
Long-Term Debt Service	0	0	0	0	0
General State Charges	0	0	0	0	0
Miscellaneous	35,861	428,317	365,410	337,011	327,187
<b>Functional Total</b>	<b>1,994,256</b>	<b>2,418,184</b>	<b>2,539,526</b>	<b>2,668,226</b>	<b>2,704,297</b>
<b>TOTAL STATE OPERATIONS SPENDING</b>	<b>8,312,334</b>	<b>8,658,683</b>	<b>8,924,759</b>	<b>9,175,095</b>	<b>9,311,915</b>

GSC: Agency disbursements include grants to local governments, state operations and general state charges, which is a departure from prior Financial plan publications. In prior reports, general state charges were excluded from agency spending totals.

\*Unaudited Year-end Results

\*\* To facilitate comparability, the new Office of Procurement Services is reflected within the amounts shown for the Office of General Services.

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND  
PERSONAL SERVICE SPENDING  
(thousands of dollars)**

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	24,685	21,700	22,933	23,607	23,554
Alcoholic Beverage Control	0	0	0	0	0
Banking Department	0	0	0	0	0
Consumer Protection Board	0	2,320	2,444	2,471	2,541
Economic Development Capital Programs	0	0	0	0	0
Economic Development, Department of	13,487	13,846	14,346	14,444	14,444
Empire State Development Corporation	0	0	0	0	0
Energy Research and Development Authority	0	0	0	0	0
Housing and Community Renewal, Division of	17,032	12,237	13,161	13,844	14,555
Insurance Department	699	1,100	1,096	1,096	1,096
Olympic Regional Development Authority	4,357	3,679	3,679	3,679	3,679
Public Service, Department of	0	0	0	0	0
Science, Technology and Innovation, Foundation for	2,192	2,221	2,293	2,310	2,310
Strategic Investment	0	0	0	0	0
<b>Functional Total</b>	<b>62,452</b>	<b>57,103</b>	<b>59,952</b>	<b>61,451</b>	<b>62,179</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Adirondack Park Agency	4,547	4,524	4,695	4,698	4,700
Environmental Conservation, Department of	107,393	93,831	96,673	96,688	96,696
Environmental Facilities Corporation	135	0	0	0	0
Hudson River Park Trust	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	113,928	97,098	101,176	101,981	102,854
<b>Functional Total</b>	<b>226,003</b>	<b>195,453</b>	<b>202,544</b>	<b>203,367</b>	<b>204,250</b>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	0	0	0	0	0
Thruway Authority	0	0	0	0	0
Metropolitan Transportation Authority	0	0	0	0	0
Transportation, Department of	0	0	0	0	0
<b>Functional Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>HEALTH AND SOCIAL WELFARE</b>					
Aging, Office for the	2,486	1,921	2,001	2,065	2,065
Children and Family Services, Office of	171,484	161,971	167,931	168,784	172,455
OCFS	171,484	161,971	167,931	168,784	172,455
OCFS - Medicaid	0	0	0	0	0
Health, Department of	67,569	78,094	87,469	90,945	90,945
Medical Assistance	500	0	0	0	0
Medicaid Administration	0	0	0	0	0
Public Health	67,069	78,094	87,469	90,945	90,945
Health - Medicaid Assistance	0	0	0	0	0
Human Rights, Division of	9,228	8,031	9,725	9,719	9,719
Labor, Department of	1,372	1,124	1,154	1,154	1,154
Medicaid Inspector General, Office of	13,468	21,436	21,957	22,104	22,104
Prevention of Domestic Violence, Office for	1,060	1,149	1,233	1,233	1,246
Stem Cell and Innovation	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND  
PERSONAL SERVICE SPENDING**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>HEALTH AND SOCIAL WELFARE (Continued)</b>					
Temporary and Disability Assistance, Office of	14,723	13,415	13,763	13,901	14,041
<i>Welfare Assistance</i>	0	0	0	0	0
<i>Welfare Administration</i>	0	0	0	0	0
<i>All Other</i>	14,723	13,415	13,763	13,901	14,041
Welfare Inspector General, Office of	341	343	355	358	358
Workers' Compensation Board	0	0	0	0	0
<b>Functional Total</b>	<b>281,731</b>	<b>287,484</b>	<b>305,588</b>	<b>310,263</b>	<b>314,087</b>
<b>MENTAL HYGIENE</b>					
Mental Health, Office of	0	0	0	0	0
<i>OMH</i>	0	0	0	0	0
<i>OMH - Medicaid</i>	0	0	0	0	0
Mental Hygiene, Department of	0	0	0	0	0
Mental Retardation and Developmental Disabilities, Office of	0	0	0	0	0
<i>OMRDD</i>	0	0	0	0	0
<i>OMRDD - Medicaid</i>	0	0	0	0	0
Alcoholism and Substance Abuse Services, Office of	0	0	0	0	0
<i>OASAS</i>	0	0	0	0	0
<i>OASAS - Medicaid</i>	0	0	0	0	0
Developmental Disabilities Planning Council	0	0	0	0	0
Quality of Care for the Mentally Disabled, Commission on	3,471	3,912	4,074	4,099	4,142
<b>Functional Total</b>	<b>3,471</b>	<b>3,912</b>	<b>4,074</b>	<b>4,099</b>	<b>4,142</b>
<b>PUBLIC PROTECTION</b>					
Capital Defenders Office	227	0	0	0	0
Correction, Commission of	2,206	2,168	2,283	2,298	2,322
Correctional Services, Department of	1,808,840	1,682,995	1,696,888	1,692,631	1,697,313
Crime Victims Board	3,411	0	0	0	0
Criminal Justice Services, Division of	37,506	32,361	31,335	31,644	31,989
Homeland Security	8,358	42,652	45,744	50,593	47,930
Investigation, Temporary State Commission of	2,568	0	0	0	0
Judicial Commissions	3,602	3,860	3,832	3,861	3,901
Military and Naval Affairs, Division of	25,764	12,790	13,608	13,612	13,758
Parole, Division of	137,530	135,014	141,307	141,543	142,962
Probation and Correctional Alternatives, Division of	2,101	1,973	2,056	2,076	2,097
State Police, Division of	415,875	425,830	392,843	392,847	392,847
<b>Functional Total</b>	<b>2,447,988</b>	<b>2,339,643</b>	<b>2,329,896</b>	<b>2,331,105</b>	<b>2,335,119</b>

**CASH DISBURSEMENTS BY FUNCTION**  
**GENERAL FUND**  
**PERSONAL SERVICE SPENDING**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>EDUCATION</b>					
Arts, Council on the	3,754	3,779	3,989	3,990	3,990
City University of New York	0	0	0	0	0
Education, Department of	30,865	32,126	32,343	32,637	32,934
<i>School Aid</i>	0	0	0	0	0
<i>School Aid - Medicaid Assistance</i>	0	0	0	0	0
<i>STAR Property Tax Relief</i>	0	0	0	0	0
<i>Special Education Categorical Programs</i>	0	0	0	0	0
<i>All Other</i>	30,865	32,126	32,343	32,637	32,934
Higher Education Services Corporation	0	0	0	0	0
Higher Education Capital Grants	0	0	0	0	0
State University Construction Fund	0	0	0	0	0
State University of New York	891,194	806,276	876,397	894,587	912,929
<b>Functional Total</b>	<b>925,813</b>	<b>842,181</b>	<b>912,729</b>	<b>931,214</b>	<b>949,853</b>
<b>GENERAL GOVERNMENT</b>					
Audit and Control, Department of	107,828	110,770	109,650	111,802	115,396
Budget, Division of the	21,683	21,547	22,448	23,156	23,884
Civil Service, Department of	20,720	18,642	19,451	19,599	19,765
Elections, State Board of	4,081	3,470	3,631	3,639	3,686
Employee Relations, Office of	3,480	3,096	3,417	3,445	3,474
Executive Chamber	15,420	14,310	15,044	15,542	16,055
General Services, Office of **	57,101	55,679	53,979	54,157	55,037
Inspector General, Office of	5,700	5,485	5,755	5,799	5,860
Law, Department of	101,751	104,380	103,919	104,698	105,483
Lieutenant Governor, Office of the	79	0	230	1,006	1,016
Lottery, Division of	0	0	0	0	0
Public Employment Relations Board	3,150	3,269	3,546	3,569	3,603
Public Integrity, Commission on	3,631	3,588	3,730	4,053	4,197
Racing and Wagering Board, State	0	0	0	0	0
Real Property Services, Office of	0	18,924	19,405	19,542	19,707
Regulatory Reform, Governor's Office of	2,877	512	665	665	665
State, Department of	13,975	12,844	12,844	12,805	12,805
Tax Appeals, Division of	2,980	2,625	2,740	2,740	2,740
Taxation and Finance, Department of	234,078	266,016	277,702	277,753	277,753
Technology, Office for	10,256	10,852	12,093	12,167	12,287
Lobbying, Temporary State Commission on	(77)	0	0	0	0
Veterans Affairs, Division of	5,643	5,725	5,978	5,978	6,036
<b>Functional Total</b>	<b>614,356</b>	<b>661,516</b>	<b>676,227</b>	<b>682,115</b>	<b>689,449</b>

**CASH DISBURSEMENTS BY FUNCTION**  
**GENERAL FUND**  
**PERSONAL SERVICE SPENDING**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>ALL OTHER CATEGORIES</b>					
Legislature	166,856	169,817	164,784	164,784	164,784
Judiciary (excluding fringe benefits)	1,427,931	1,500,021	1,681,016	1,828,999	1,862,036
World Trade Center	0	0	0	0	0
Local Government Assistance	0	0	0	0	0
Long-Term Debt Service	0	0	0	0	0
General State Charges	0	0	0	0	0
Miscellaneous	11,527	407,912	284,074	283,966	284,055
<b>Functional Total</b>	<u>1,606,314</u>	<u>2,077,750</u>	<u>2,129,874</u>	<u>2,277,749</u>	<u>2,310,875</u>
<b>TOTAL PERSONAL SERVICE SPENDING</b>	<u>6,168,128</u>	<u>6,465,042</u>	<u>6,620,884</u>	<u>6,801,363</u>	<u>6,869,954</u>

GSC: Agency disbursements include grants to local governments, state operations and general state charges, which is a departure from prior Financial plan publications. In prior reports, general state charges were excluded from agency spending totals.

\*Unaudited Year-end Results

\*\* To facilitate comparability, the new Office of Procurement Services is reflected within the amounts shown for the Office of General Services.



**CASH DISBURSEMENTS BY FUNCTION**  
**GENERAL FUND**  
**NON-PERSONAL SERVICE SPENDING (includes Indirect Costs)**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	12,151	9,947	10,590	10,882	10,882
Alcoholic Beverage Control	0	0	0	0	0
Banking Department	0	0	0	0	0
Consumer Protection Board	0	594	638	660	680
Economic Development Capital Programs	0	0	0	0	0
Economic Development, Department of	20,799	18,419	17,670	18,268	18,268
Empire State Development Corporation	0	0	0	0	0
Energy Research and Development Authority	0	0	0	0	0
Housing and Community Renewal, Division of	12,762	13,945	14,066	14,441	14,825
Insurance Department	85,400	940	940	940	940
Olympic Regional Development Authority	2,097	3,458	3,663	3,873	3,873
Public Service, Department of	0	0	0	0	0
Science, Technology and Innovation, Foundation for	750	1,536	1,546	1,590	1,590
Strategic Investment	0	0	0	0	0
<b>Functional Total</b>	<b>133,959</b>	<b>48,839</b>	<b>49,113</b>	<b>50,654</b>	<b>51,058</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Adirondack Park Agency	726	693	693	693	693
Environmental Conservation, Department of	18,521	22,204	22,213	22,219	22,219
Environmental Facilities Corporation	8	0	0	0	0
Hudson River Park Trust	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	16,865	29,470	30,000	30,637	31,378
<b>Functional Total</b>	<b>36,120</b>	<b>52,367</b>	<b>52,906</b>	<b>53,549</b>	<b>54,290</b>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	54	0	0	0	0
Thruway Authority	0	0	0	0	0
Metropolitan Transportation Authority	0	0	0	0	0
Transportation, Department of	2,349	964	964	964	964
<b>Functional Total</b>	<b>2,403</b>	<b>964</b>	<b>964</b>	<b>964</b>	<b>964</b>
<b>HEALTH AND SOCIAL WELFARE</b>					
Aging, Office for the	461	447	447	470	470
Children and Family Services, Office of	90,201	96,536	101,269	103,909	108,633
OCFS	90,201	96,536	101,269	103,909	108,633
OCFS - Medicaid	0	0	0	0	0
Health, Department of	128,425	127,461	145,988	149,701	149,701
Medical Assistance	14,050	0	0	0	0
Medicaid Administration	0	0	0	0	0
Public Health	114,375	127,461	145,988	149,701	149,701
Health - Medicaid Assistance	0	0	0	0	0
Human Rights, Division of	2,986	3,056	3,056	3,056	3,056
Labor, Department of	713	479	479	479	479
Medicaid Inspector General, Office of	8,656	9,185	10,226	13,496	13,496
Prevention of Domestic Violence, Office for	570	354	365	377	385
Stem Cell and Innovation	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION**  
**GENERAL FUND**  
**NON-PERSONAL SERVICE SPENDING (includes Indirect Costs)**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>HEALTH AND SOCIAL WELFARE (Continued)</b>					
Temporary and Disability Assistance, Office of					
<i>Welfare Assistance</i>	27,714	42,207	48,829	51,402	52,782
<i>Welfare Administration</i>	0	0	0	0	0
<i>All Other</i>	27,714	42,207	48,829	51,402	52,782
Welfare Inspector General, Office of	41	0	0	0	0
Workers' Compensation Board	80	0	0	0	0
<b>Functional Total</b>	<b>259,847</b>	<b>279,725</b>	<b>310,659</b>	<b>322,890</b>	<b>329,002</b>
<b>MENTAL HYGIENE</b>					
Mental Health, Office of	0	0	0	0	0
<i>OMH</i>	0	0	0	0	0
<i>OMH - Medicaid</i>	0	0	0	0	0
Mental Hygiene, Department of	0	0	0	0	0
Mental Retardation and Developmental Disabilities, Office of	21	0	0	0	0
<i>OMRDD</i>	21	0	0	0	0
<i>OMRDD - Medicaid</i>	0	0	0	0	0
Alcoholism and Substance Abuse Services, Office of	306	0	0	0	0
<i>OASAS</i>	306	0	0	0	0
<i>OASAS - Medicaid</i>	0	0	0	0	0
Developmental Disabilities Planning Council	0	0	0	0	0
Quality of Care for the Mentally Disabled, Commission on	903	1,443	1,476	1,511	1,548
<b>Functional Total</b>	<b>1,230</b>	<b>1,443</b>	<b>1,476</b>	<b>1,511</b>	<b>1,548</b>
<b>PUBLIC PROTECTION</b>					
Capital Defenders Office	143	0	0	0	0
Correction, Commission of	447	490	502	516	526
Correctional Services, Department of	561,900	615,119	642,842	665,865	699,529
Crime Victims Board	812	0	0	0	0
Criminal Justice Services, Division of	22,142	25,614	29,040	30,883	29,735
Homeland Security	9,822	21,282	17,991	14,798	14,823
Investigation, Temporary State Commission of	835	0	0	0	0
Judicial Commissions	1,686	1,364	1,376	1,450	1,484
Military and Naval Affairs, Division of	13,597	15,531	11,087	10,065	10,277
Parole, Division of	35,387	37,383	39,322	41,857	42,884
Probation and Correctional Alternatives, Division of	384	473	517	527	541
State Police, Division of	68,206	50,338	54,841	79,777	74,277
<b>Functional Total</b>	<b>715,361</b>	<b>767,584</b>	<b>797,518</b>	<b>845,738</b>	<b>874,076</b>

**CASH DISBURSEMENTS BY FUNCTION**  
**GENERAL FUND**  
**NON-PERSONAL SERVICE SPENDING (includes Indirect Costs)**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>EDUCATION</b>					
Arts, Council on the	1,744	1,776	1,820	1,819	1,819
City University of New York	0	0	0	0	0
Education, Department of	19,136	21,055	19,535	20,006	20,487
School Aid	0	0	0	0	0
School Aid - Medicaid Assistance	0	0	0	0	0
STAR Property Tax Relief	0	0	0	0	0
Special Education Categorical Programs	0	0	0	0	0
All Other	19,136	21,055	19,535	20,006	20,487
Higher Education Services Corporation	0	50,000	10,000	10,000	10,000
Higher Education Capital Grants	0	0	0	0	0
State University Construction Fund	0	0	0	0	0
State University of New York	354,115	363,700	378,912	397,469	420,981
<b>Functional Total</b>	<b>374,995</b>	<b>436,531</b>	<b>410,267</b>	<b>429,294</b>	<b>453,287</b>
<b>GENERAL GOVERNMENT</b>					
Audit and Control, Department of	29,068	34,841	36,021	38,212	39,091
Budget, Division of the	3,845	5,010	5,008	5,071	4,760
Civil Service, Department of	1,660	1,085	1,110	1,135	1,172
Elections, State Board of	2,438	3,383	3,544	3,645	3,740
Employee Relations, Office of	210	322	326	334	342
Executive Chamber	3,832	2,767	2,979	3,105	2,869
General Services, Office of **	76,397	83,188	86,307	88,513	91,619
Inspector General, Office of	760	890	934	966	990
Law, Department of	29,250	30,544	31,308	32,090	32,892
Lieutenant Governor, Office of the	54	0	46	187	192
Lottery, Division of	0	0	0	0	0
Public Employment Relations Board	510	466	480	624	770
Public Integrity, Commission on	1,248	1,277	1,287	1,297	1,333
Racing and Wagering Board, State	823	0	0	0	0
Real Property Services, Office of	114	5,545	5,891	6,471	6,596
Regulatory Reform, Governor's Office of	561	30	32	32	32
State, Department of	7,760	5,962	5,809	5,787	5,787
Tax Appeals, Division of	442	400	412	412	412
Taxation and Finance, Department of	61,847	70,270	72,588	72,588	72,588
Technology, Office for	10,982	18,905	16,382	17,330	18,256
Lobbying, Temporary State Commission on	0	0	0	0	0
Veterans Affairs, Division of	548	869	856	856	873
<b>Functional Total</b>	<b>232,349</b>	<b>285,754</b>	<b>271,320</b>	<b>278,655</b>	<b>284,314</b>

**CASH DISBURSEMENTS BY FUNCTION**  
**GENERAL FUND**  
**NON-PERSONAL SERVICE SPENDING (includes Indirect Costs)**  
(thousands of dollars)

<b>ALL OTHER CATEGORIES</b>	<b>2008-2009 Year-End*</b>	<b>2009-2010 Enacted</b>	<b>2010-2011 Projected</b>	<b>2011-2012 Projected</b>	<b>2012-2013 Projected</b>
Legislature	53,773	54,983	54,983	54,983	54,983
Judiciary (excluding fringe benefits)	309,835	265,079	273,333	282,449	295,307
World Trade Center	0	0	0	0	0
Local Government Assistance	0	0	0	0	0
Long-Term Debt Service	0	0	0	0	0
General State Charges	0	0	0	0	0
Miscellaneous	24,334	20,405	81,336	53,045	43,132
<b>Functional Total</b>	<b>387,942</b>	<b>340,434</b>	<b>409,652</b>	<b>390,477</b>	<b>393,422</b>
<b>TOTAL NON-PERSONAL SERVICE (includes Indirect Costs) SPENDING</b>	<b>2,144,206</b>	<b>2,193,641</b>	<b>2,303,875</b>	<b>2,373,732</b>	<b>2,441,961</b>

GSC: Agency disbursements include grants to local governments, state operations and general state charges, which is a departure from prior Financial plan publications. In prior reports, general state charges were excluded from agency spending totals.

\*Unaudited Year-end Results

\*\* To facilitate comparability, the new Office of Procurement Services is reflected within the amounts shown for the Office of General Services.

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND  
GENERAL STATE CHARGES SPENDING**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>PUBLIC PROTECTION</b>					
Correctional Services, Department of	2,000	0	0	0	0
Military and Naval Affairs, Department of	0	5	2	2	2
Criminal Justice Services, Division of	(8)	0	0	0	0
<b>Functional Total</b>	<u>1,992</u>	<u>5</u>	<u>2</u>	<u>2</u>	<u>2</u>
<b>EDUCATION</b>					
Education, Department of	1,850	1,745	1,745	1,745	1,745
State University of New York	179,818	186,903	198,055	198,055	198,055
<b>Functional Total</b>	<u>181,668</u>	<u>188,648</u>	<u>199,800</u>	<u>199,800</u>	<u>199,800</u>
<b>ALL OTHER CATEGORIES</b>					
Judiciary	453,506	475,500	501,059	529,190	533,437
General State Charges	2,443,102	3,035,762	3,336,744	3,610,540	4,022,379
Miscellaneous	3,522	4,420	4,420	4,420	4,418
<b>Functional Total</b>	<u>2,900,130</u>	<u>3,515,682</u>	<u>3,842,223</u>	<u>4,144,150</u>	<u>4,560,234</u>
<b>TOTAL GENERAL STATE CHARGES SPENDING</b>	<u>3,083,790</u>	<u>3,704,335</u>	<u>4,042,025</u>	<u>4,343,952</u>	<u>4,760,036</u>

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\*Unaudited Year-end Results

**CASH DISBURSEMENTS BY FUNCTION**  
**STATE OPERATING FUNDS**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
<b>Agriculture and Markets, Department of</b>					
Grants to Local Governments	93,854	85,173	85,857	88,739	89,486
State Operations	27,778	20,659	17,306	17,136	17,136
Personal Service	62,702	60,203	63,577	66,125	66,524
Non-Personal Service/Indirect Cost	32,566	31,344	33,743	35,244	35,174
General State Charges	30,136	28,859	29,834	30,881	31,350
	3,374	4,311	4,974	5,478	5,826
	<b>17,022</b>	<b>18,075</b>	<b>18,781</b>	<b>19,114</b>	<b>19,607</b>
	0	0	0	0	0
<b>Alcoholic Beverage Control</b>					
Grants to Local Governments	13,260	13,981	14,436	14,633	14,821
State Operations	8,853	8,926	9,253	9,327	9,401
Personal Service	4,407	5,055	5,183	5,306	5,420
Non-Personal Service/Indirect Cost	3,762	4,094	4,345	4,481	4,786
General State Charges					
	<b>78,971</b>	<b>79,009</b>	<b>81,698</b>	<b>80,831</b>	<b>82,212</b>
	661	1,000	0	0	0
<b>Banking Department</b>					
Grants to Local Governments	62,194	58,436	60,976	59,900	59,900
State Operations	45,425	42,636	44,642	44,054	44,054
Personal Service	16,769	15,800	16,334	15,846	15,846
Non-Personal Service/Indirect Cost	16,116	19,573	20,722	20,931	22,312
General State Charges					
	<b>3,840</b>	<b>3,096</b>	<b>3,266</b>	<b>3,231</b>	<b>3,321</b>
	0	0	0	0	0
<b>Consumer Protection Board</b>					
Grants to Local Governments	2,850	3,051	3,221	3,231	3,321
State Operations	2,153	2,427	2,553	2,471	2,541
Personal Service	697	624	668	760	780
Non-Personal Service/Indirect Cost	990	45	45	0	0
General State Charges					
	<b>46,833</b>	<b>43,634</b>	<b>42,994</b>	<b>43,022</b>	<b>43,022</b>
	11,610	7,974	7,583	6,915	6,915
<b>Economic Development, Department of</b>					
Grants to Local Governments	35,136	35,632	35,383	36,079	36,079
State Operations	13,665	13,900	14,400	14,498	14,498
Personal Service	21,471	21,732	20,983	21,581	21,581
Non-Personal Service/Indirect Cost	87	28	28	28	28
General State Charges					

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
(thousands of dollars)**

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>Empire State Development Corporation</b>					
Grants to Local Governments	52,255	33,121	38,121	36,754	36,754
State Operations	52,255	33,121	38,121	36,754	36,754
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
<b>Energy Research and Development Authority</b>					
Grants to Local Governments	17,524	16,060	16,298	16,541	16,541
State Operations	10,014	9,234	9,234	9,234	9,234
Personal Service	5,817	5,114	5,300	5,490	5,490
Non-Personal Service/Indirect Cost	3,446	3,928	4,040	4,154	4,154
General State Charges	2,371	1,186	1,260	1,336	1,336
	1,693	1,712	1,764	1,817	1,817
<b>Housing and Community Renewal, Division of</b>					
Grants to Local Governments	138,330	130,802	125,317	127,584	130,341
State Operations	56,303	53,276	46,072	45,559	45,559
Personal Service	68,146	62,172	64,010	66,122	67,724
Non-Personal Service/Indirect Cost	49,655	42,913	44,327	45,656	46,786
General State Charges	13,881	19,259	19,683	20,466	20,938
Debt Service	0	15,354	15,235	15,903	17,058
	0	0	0	0	0
<b>Insurance Department</b>					
Grants to Local Governments	292,668	521,987	540,616	564,639	569,531
State Operations	10,203	307,489	321,389	344,389	344,389
Personal Service	246,945	173,001	175,548	175,548	176,793
Non-Personal Service/Indirect Cost	104,231	93,642	96,406	96,406	97,606
General State Charges	142,714	79,359	79,142	79,142	79,187
	35,520	41,497	43,679	44,702	48,349
<b>Olympic Regional Development Authority</b>					
Grants to Local Governments	6,578	10,000	7,714	7,924	7,924
State Operations	0	2,491	0	0	0
Personal Service	6,578	7,509	7,714	7,924	7,924
Non-Personal Service/Indirect Cost	4,417	3,679	3,679	3,679	3,679
General State Charges	2,161	3,830	4,035	4,245	4,245
	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION**  
**STATE OPERATING FUNDS**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>Public Service, Department of</b>	<b>77,154</b>	<b>77,740</b>	<b>82,811</b>	<b>85,625</b>	<b>88,140</b>
Grants to Local Governments	0	400	400	400	400
State Operations	56,075	58,101	60,936	62,672	63,458
Personal Service	42,232	42,881	45,843	47,116	47,577
Non-Personal Service/Indirect Cost	13,843	15,220	15,093	15,556	15,881
General State Charges	21,079	19,239	21,475	22,553	24,282
<b>Science, Technology and Innovation, Foundation for</b>	<b>27,186</b>	<b>26,122</b>	<b>26,674</b>	<b>27,455</b>	<b>27,455</b>
Grants to Local Governments	24,244	22,365	22,835	23,555	23,555
State Operations	2,942	3,757	3,839	3,900	3,900
Personal Service	2,192	2,221	2,293	2,310	2,310
Non-Personal Service/Indirect Cost	750	1,536	1,546	1,590	1,590
General State Charges	0	0	0	0	0
<b>Functional Total</b>	<b>852,215</b>	<b>1,044,819</b>	<b>1,070,147</b>	<b>1,101,459</b>	<b>1,114,334</b>
<b>PARKS AND THE ENVIRONMENT</b>					
<b>Adirondack Park Agency</b>	<b>5,298</b>	<b>5,217</b>	<b>5,388</b>	<b>5,391</b>	<b>5,393</b>
Grants to Local Governments	25	0	0	0	0
State Operations	5,273	5,217	5,388	5,391	5,393
Personal Service	4,547	4,524	4,695	4,698	4,700
Non-Personal Service/Indirect Cost	726	693	693	693	693
General State Charges	0	0	0	0	0
<b>Environmental Conservation, Department of</b>	<b>335,739</b>	<b>324,298</b>	<b>326,369</b>	<b>325,575</b>	<b>326,479</b>
Grants to Local Governments	8,928	4,690	6,936	6,537	6,537
State Operations	286,182	278,563	284,460	285,146	285,830
Personal Service	187,899	172,470	177,340	178,001	178,666
Non-Personal Service/Indirect Cost	98,283	106,093	107,120	107,145	107,164
General State Charges	40,629	41,045	34,973	33,892	34,112



**CASH DISBURSEMENTS BY FUNCTION**  
**STATE OPERATING FUNDS**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>Environmental Facilities Corporation</b>	<b>9,885</b>	<b>9,624</b>	<b>9,903</b>	<b>10,085</b>	<b>10,269</b>
Grants to Local Governments	0	0	0	0	0
State Operations	7,941	7,530	7,767	7,906	8,047
Personal Service	6,758	6,320	6,554	6,690	6,828
Non-Personal Service/Indirect Cost	1,183	1,210	1,213	1,216	1,219
General State Charges	1,944	2,094	2,136	2,179	2,222
<b>Parks, Recreation and Historic Preservation, Office of</b>	<b>242,039</b>	<b>207,075</b>	<b>213,603</b>	<b>211,984</b>	<b>213,602</b>
Grants to Local Governments	33,278	22,400	22,400	19,550	19,550
State Operations	196,938	179,778	185,835	187,280	188,897
Personal Service	141,399	121,378	126,904	127,711	128,586
Non-Personal Service/Indirect Cost	55,539	58,400	58,931	59,569	60,311
General State Charges	3,506	2,897	3,368	3,154	3,155
Capital Projects	8,317	2,000	2,000	2,000	2,000
<b>Functional Total</b>	<b>592,961</b>	<b>546,214</b>	<b>555,263</b>	<b>553,035</b>	<b>555,743</b>

**TRANSPORTATION**

<b>Motor Vehicles, Department of</b>	<b>94,583</b>	<b>98,166</b>	<b>103,625</b>	<b>106,953</b>	<b>106,953</b>
Grants to Local Governments	0	0	0	0	0
State Operations	70,316	74,689	76,222	77,763	77,763
Personal Service	50,901	50,022	51,134	51,473	51,473
Non-Personal Service/Indirect Cost	19,415	24,667	25,088	26,290	26,290
General State Charges	23,757	23,477	27,403	29,190	29,190
Capital Projects	510	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
(thousands of dollars)**

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>Transportation, Department of</b>					
Grants to Local Governments	3,012,602	2,634,614	2,673,831	2,672,352	2,679,459
State Operations	2,982,102	2,610,013	2,648,696	2,646,442	2,652,746
Personal Service	27,625	21,104	21,725	22,366	23,027
Non-Personal Service/Indirect Cost	6,766	6,841	7,118	7,400	7,893
General State Charges	20,859	14,263	14,607	14,966	15,334
Capital Projects	2,870	3,497	3,410	3,544	3,686
	5	0	0	0	0
	<u>3,107,185</u>	<u>2,732,780</u>	<u>2,777,456</u>	<u>2,779,305</u>	<u>2,786,412</u>
<b>FUNCTIONAL TOTAL</b>					
<b>HEALTH AND SOCIAL WELFARE</b>					
<b>Aging, Office for the</b>					
Grants to Local Governments	124,708	118,568	121,506	125,400	125,400
State Operations	121,712	116,199	119,057	122,864	122,864
Personal Service	2,980	2,369	2,449	2,536	2,536
Non-Personal Service/Indirect Cost	2,513	1,921	2,001	2,065	2,065
General State Charges	467	448	448	471	471
	16	0	0	0	0
	<u>1,942,655</u>	<u>2,089,630</u>	<u>2,245,145</u>	<u>2,450,459</u>	<u>2,501,480</u>
<b>Children and Family Services, Office of</b>					
<b>Children and Family Services</b>					
Grants to Local Governments	1,896,822	2,018,786	2,128,459	2,312,104	2,458,794
State Operations	1,627,691	1,754,018	1,852,838	2,033,210	2,171,379
Personal Service	267,995	263,586	274,402	277,626	286,147
Non-Personal Service/Indirect Cost	173,587	163,762	169,859	170,712	174,441
General State Charges	94,408	99,824	104,543	106,914	111,706
	1,136	1,182	1,219	1,268	1,268

**CASH DISBURSEMENTS BY FUNCTION**  
**STATE OPERATING FUNDS**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>Children and Family Services - Medicaid</b>					
Grants to Local Governments	45,833	70,844	116,686	138,355	142,686
State Operations	45,833	70,844	116,686	138,355	142,686
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
<b>Health, Department of</b>	<b>14,466,206</b>	<b>13,562,764</b>	<b>15,319,829</b>	<b>20,283,271</b>	<b>21,631,908</b>
<b>Medical Assistance</b>					
Grants to Local Governments	11,117,662	10,443,673	12,243,852	17,097,312	18,307,118
State Operations	11,103,112	10,443,673	12,243,852	17,097,312	18,307,118
Personal Service	500	0	0	0	0
Non-Personal Service/Indirect Cost	14,050	0	0	0	0
General State Charges	0	0	0	0	0
<b>Medicaid Administration</b>					
Grants to Local Governments	451,783	458,500	481,250	503,750	526,750
State Operations	451,783	458,500	481,250	503,750	526,750
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
<b>Public Health</b>					
Grants to Local Governments	2,896,761	2,660,591	2,594,727	2,682,209	2,798,040
State Operations	2,374,146	2,123,937	2,023,822	2,098,492	2,214,322
Personal Service	487,606	496,863	531,346	542,279	542,280
Non-Personal Service/Indirect Cost	228,278	215,189	229,211	236,490	236,490
General State Charges	259,328	281,674	302,135	305,789	305,790
Debt Service	35,009	39,791	39,559	41,438	41,438
	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION**  
**STATE OPERATING FUNDS**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>Human Rights, Division of</b>					
Grants to Local Governments	12,214	11,087	12,781	12,775	12,775
State Operations	0	0	0	0	0
Personal Service	12,214	11,087	12,781	12,775	12,775
Non-Personal Service/Indirect Cost	9,228	8,031	9,725	9,719	9,719
General State Charges	2,986	3,056	3,056	3,056	3,056
	0	0	0	0	0
<b>Labor, Department of</b>					
Grants to Local Governments	74,403	79,248	64,679	63,739	64,758
State Operations	11,819	21,564	4,680	2,659	2,659
Personal Service	48,314	44,446	45,659	46,269	46,269
Non-Personal Service/Indirect Cost	33,363	30,072	32,280	32,529	32,529
General State Charges	14,951	14,374	13,379	13,740	13,740
	14,270	13,238	14,340	14,811	15,830
<b>Medicaid Inspector General, Office of</b>					
Grants to Local Governments	26,234	34,449	36,057	39,474	39,474
State Operations	0	0	0	0	0
Personal Service	26,082	34,339	35,931	39,348	39,348
Non-Personal Service/Indirect Cost	17,412	25,132	25,683	25,830	25,830
General State Charges	8,670	9,207	10,248	13,518	13,518
	152	110	126	126	126
<b>Prevention of Domestic Violence, Office for</b>					
Grants to Local Governments	2,422	2,374	2,311	2,323	2,344
State Operations	792	843	685	685	685
Personal Service	1,630	1,531	1,626	1,638	1,659
Non-Personal Service/Indirect Cost	1,060	1,149	1,233	1,233	1,246
General State Charges	570	382	393	405	413
	0	0	0	0	0
<b>Stem Cell and Innovation</b>					
Grants to Local Governments	7,797	46,321	71,500	50,000	167,826
State Operations	0	0	0	0	0
Personal Service	7,797	46,321	71,500	50,000	167,826
Non-Personal Service/Indirect Cost	541	0	0	0	0
General State Charges	7,256	46,321	71,500	50,000	167,826
	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION**  
**STATE OPERATING FUNDS**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>Temporary and Disability Assistance, Office of</b>					
<b>Welfare Assistance</b>	1,280,059	1,342,723	1,374,803	1,417,824	1,506,067
Grants to Local Governments	742,277	1,101,503	1,129,111	1,167,911	1,253,111
State Operations	742,277	1,101,503	1,129,111	1,167,911	1,253,111
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
<b>Welfare Administration</b>	361,065	56,433	55,041	55,041	55,041
Grants to Local Governments	361,065	56,433	55,041	55,041	55,041
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
<b>All Other</b>	176,717	184,787	190,651	194,872	197,915
Grants to Local Governments	124,103	118,688	118,279	119,595	120,911
State Operations	51,935	65,151	71,689	74,578	76,274
Personal Service	18,211	17,153	16,936	17,094	17,258
Non-Personal Service/Indirect Cost	33,724	47,998	54,753	57,484	59,016
General State Charges	679	948	683	699	730
<b>Welfare Inspector General, Office of</b>	382	343	355	358	358
Grants to Local Governments	0	0	0	0	0
State Operations	382	343	355	358	358
Personal Service	341	343	355	358	358
Non-Personal Service/Indirect Cost	41	0	0	0	0
General State Charges	0	0	0	0	0
<b>Workers' Compensation Board</b>	201,505	205,669	189,892	194,066	198,951
Grants to Local Governments	0	0	0	0	0
State Operations	161,156	166,895	149,690	152,214	154,407
Personal Service	87,462	84,657	87,520	88,206	88,849
Non-Personal Service/Indirect Cost	73,694	82,238	62,170	64,008	65,558
General State Charges	40,349	38,774	40,202	41,852	44,544
<b>Functional Total</b>	<b>18,138,585</b>	<b>17,493,176</b>	<b>19,438,858</b>	<b>24,639,689</b>	<b>26,351,341</b>

**CASH DISBURSEMENTS BY FUNCTION**  
**STATE OPERATING FUNDS**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>MENTAL HEALTH</b>					
<i><b>Mental Health, Office of</b></i>					
Office of Mental Health	2,045,731	1,915,458	2,148,265	2,518,932	2,605,721
Grants to Local Governments	1,233,675	1,280,346	1,434,595	1,515,110	1,561,452
State Operations	632,654	705,982	822,260	886,041	910,589
Personal Service	444,555	429,568	459,581	469,357	478,298
Non-Personal Service/Indirect Cost	378,329	319,744	333,294	340,280	343,864
General State Charges	66,226	109,824	126,287	129,077	134,434
	156,466	144,796	152,754	159,712	172,565
<b>Office of Mental Health - Medicaid</b>					
Grants to Local Governments	812,056	635,112	713,670	1,003,822	1,044,269
State Operations	399,328	401,162	418,812	446,192	468,662
Personal Service	335,590	193,637	238,350	416,763	423,905
Non-Personal Service/Indirect Cost	176,916	86,699	124,650	298,787	301,650
General State Charges	158,674	106,938	113,700	117,976	122,255
	77,138	40,313	56,508	140,867	151,702
<b>Mental Hygiene, Department of</b>					
Grants to Local Governments	308,114	0	0	0	0
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	308,114	0	0	0	0
Debt Service	0	0	0	0	0
<b>Mental Retardation and Developmental Disabilities, Office of</b>					
Office of Mental Retardation	2,092,168	2,093,729	2,232,647	2,616,347	2,741,147
Grants to Local Governments	475,806	470,188	476,474	489,328	510,481
State Operations	475,597	470,012	476,298	489,152	510,305
Personal Service	209	176	176	176	176
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	209	176	176	176	176
<b>Office of Mental Retardation - Medicaid</b>					
Grants to Local Governments	1,616,362	1,623,541	1,756,173	2,127,019	2,230,666
State Operations	1,284,674	1,387,641	1,472,807	1,568,285	1,653,863
Personal Service	240,768	176,175	212,103	399,558	405,144
Non-Personal Service/Indirect Cost	205,551	130,532	156,735	337,679	341,556
General State Charges	35,217	45,643	55,368	61,879	63,588
	90,920	59,725	71,263	159,176	171,659

**CASH DISBURSEMENTS BY FUNCTION**  
**STATE OPERATING FUNDS**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>Alcoholism and Substance Abuse Services, Office of</b>	<b>382,380</b>	<b>420,673</b>	<b>454,592</b>	<b>499,310</b>	<b>520,979</b>
<b>Alcoholism and Substance Abuse Services</b>	<b>306,286</b>	<b>340,035</b>	<b>369,395</b>	<b>411,556</b>	<b>432,395</b>
Grants to Local Governments	265,878	299,835	326,300	365,694	383,935
State Operations	32,560	30,617	32,716	34,650	35,955
Personal Service	20,640	19,904	21,311	22,386	22,931
Non-Personal Service/Indirect Cost	11,920	10,713	11,405	12,264	13,024
General State Charges	7,848	9,583	10,379	11,212	12,505
<b>Alcoholism and Substance Abuse Services - Medicaid</b>	<b>76,094</b>	<b>80,638</b>	<b>85,197</b>	<b>87,754</b>	<b>88,584</b>
Grants to Local Governments	32,680	32,859	36,395	38,185	38,196
State Operations	33,938	36,025	36,668	37,101	37,292
Personal Service	21,841	27,188	27,678	27,969	28,078
Non-Personal Service/Indirect Cost	12,097	8,837	8,990	9,132	9,214
General State Charges	9,476	11,754	12,134	12,468	13,096
<b>Quality of Care for the Mentally Disabled, Commission on</b>	<b>5,072</b>	<b>6,053</b>	<b>6,304</b>	<b>6,341</b>	<b>6,423</b>
Grants to Local Governments	569	473	473	450	450
State Operations	4,479	5,555	5,804	5,864	5,944
Personal Service	3,525	3,967	4,132	4,157	4,200
Non-Personal Service/Indirect Cost	954	1,588	1,672	1,707	1,744
General State Charges	24	25	27	27	29
<b>Functional Total</b>	<b>4,833,465</b>	<b>4,435,913</b>	<b>4,841,808</b>	<b>5,640,930</b>	<b>5,874,270</b>

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>PUBLIC PROTECTION</b>					
<b>Capital Defenders Office</b>					
Grants to Local Governments	370	0	0	0	0
State Operations	0	0	0	0	0
Personal Service	227	0	0	0	0
Non-Personal Service/Indirect Cost	143	0	0	0	0
General State Charges	0	0	0	0	0
Capital Projects	0	1,000	0	0	0
	<b>2,653</b>	<b>2,658</b>	<b>2,785</b>	<b>2,814</b>	<b>2,848</b>
<b>Correction, Commission of</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Grants to Local Governments	2,653	2,658	2,785	2,814	2,848
State Operations	2,206	2,168	2,283	2,298	2,322
Personal Service	447	490	502	516	526
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
	<b>2,374,878</b>	<b>2,302,658</b>	<b>2,341,202</b>	<b>2,360,296</b>	<b>2,399,046</b>
<b>Correctional Services, Department of</b>	<b>1,666</b>	<b>2,740</b>	<b>300</b>	<b>243</b>	<b>243</b>
Grants to Local Governments	2,371,212	2,298,918	2,340,902	2,360,053	2,398,803
State Operations	1,808,840	1,682,995	1,696,888	1,692,631	1,697,313
Personal Service	562,372	615,923	644,014	667,422	701,490
Non-Personal Service/Indirect Cost	2,000	0	0	0	0
General State Charges	0	1,000	0	0	0
Capital Projects	0	0	0	0	0
	<b>29,994</b>	<b>33,046</b>	<b>33,060</b>	<b>33,162</b>	<b>33,355</b>
<b>Crime Victims Board</b>	<b>25,327</b>	<b>26,753</b>	<b>26,690</b>	<b>26,690</b>	<b>26,690</b>
Grants to Local Governments	4,659	4,633	4,634	4,687	4,746
State Operations	3,736	3,569	3,542	3,569	3,608
Personal Service	923	1,064	1,092	1,118	1,138
Non-Personal Service/Indirect Cost	8	1,660	1,736	1,785	1,919
General State Charges	0	0	0	0	0
	<b>209,287</b>	<b>186,871</b>	<b>171,770</b>	<b>169,113</b>	<b>168,310</b>
<b>Criminal Justice Services, Division of</b>	<b>122,797</b>	<b>106,789</b>	<b>92,380</b>	<b>89,839</b>	<b>89,839</b>
Grants to Local Governments	86,408	78,029	79,324	79,208	78,405
State Operations	37,851	32,800	31,798	32,107	32,452
Personal Service	48,557	45,229	47,526	47,101	45,953
Non-Personal Service/Indirect Cost	82	53	66	66	66
General State Charges	0	0	0	0	0



**CASH DISBURSEMENTS BY FUNCTION**  
**STATE OPERATING FUNDS**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>Homeland Security</b>					
Grants to Local Governments	27,822	65,394	65,229	66,606	63,968
State Operations	0	0	0	0	0
Personal Service	26,757	65,386	65,217	66,594	63,956
Non-Personal Service/Indirect Cost	11,015	42,815	45,917	50,766	48,103
General State Charges	15,742	22,571	19,300	15,828	15,853
	1,065	8	12	12	12
<b>Investigation, Temporary State Commission of</b>					
Grants to Local Governments	3,554	0	0	0	0
State Operations	0	0	0	0	0
Personal Service	3,554	0	0	0	0
Non-Personal Service/Indirect Cost	2,588	0	0	0	0
General State Charges	986	0	0	0	0
	0	0	0	0	0
<b>Judicial Commissions</b>					
Grants to Local Governments	5,288	5,214	5,208	5,311	5,385
State Operations	0	0	0	0	0
Personal Service	5,288	5,214	5,208	5,311	5,385
Non-Personal Service/Indirect Cost	3,602	3,860	3,832	3,861	3,901
General State Charges	1,686	1,354	1,376	1,450	1,484
	0	0	0	0	0
<b>Military and Naval Affairs, Division of</b>					
Grants to Local Governments	65,876	88,005	54,667	39,655	40,226
State Operations	18,962	49,757	22,835	9,466	9,466
Personal Service	46,077	37,361	30,934	29,268	29,719
Non-Personal Service/Indirect Cost	27,944	14,735	15,539	15,546	15,710
General State Charges	18,133	22,626	15,395	13,722	14,009
	837	887	898	921	1,041
<b>Parole, Division of</b>					
Grants to Local Governments	196,590	188,700	191,630	195,984	199,977
State Operations	23,453	16,301	10,999	12,582	14,129
Personal Service	173,137	172,399	180,631	183,402	185,848
Non-Personal Service/Indirect Cost	137,530	135,014	141,307	141,543	142,962
General State Charges	35,607	37,385	39,324	41,859	42,886
	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION**  
**STATE OPERATING FUNDS**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>Probation and Correctional Alternatives, Division of</b>					
Grants to Local Governments	79,007	69,137	70,773	76,961	78,496
State Operations	76,522	66,691	68,200	74,358	75,858
Personal Service	2,485	2,446	2,573	2,603	2,638
Non-Personal Service/Indirect Cost	2,101	1,973	2,056	2,076	2,097
General State Charges	384	473	517	527	541
	0	0	0	0	0
<b>State Police, Division of</b>					
Grants to Local Governments	640,944	704,369	688,451	689,022	685,098
State Operations	0	0	0	0	0
Personal Service	623,337	681,015	666,523	666,411	660,915
Non-Personal Service/Indirect Cost	512,930	587,188	568,111	568,480	568,480
General State Charges	110,407	93,827	98,412	97,931	92,435
	17,607	23,354	21,928	22,611	24,183
<b>Functional Total</b>	<b>3,636,263</b>	<b>3,646,052</b>	<b>3,624,775</b>	<b>3,638,924</b>	<b>3,676,709</b>
<b>EDUCATION</b>					
<b>Arts, Council on the</b>					
Grants to Local Governments	45,571	48,303	48,209	48,307	48,307
State Operations	40,073	42,748	42,400	42,498	42,498
Personal Service	5,498	5,555	5,809	5,809	5,809
Non-Personal Service/Indirect Cost	3,754	3,779	3,989	3,990	3,990
General State Charges	1,744	1,776	1,820	1,819	1,819
	0	0	0	0	0
<b>City University of New York</b>					
Grants to Local Governments	1,065,184	1,693,590	1,472,620	1,536,138	1,568,130
State Operations	957,512	1,555,590	1,332,925	1,394,721	1,424,962
Personal Service	103,024	130,400	131,920	133,463	135,031
Non-Personal Service/Indirect Cost	79,033	95,440	96,157	96,877	97,604
General State Charges	23,991	34,960	35,763	36,586	37,427
	4,648	7,600	7,775	7,954	8,137

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
(thousands of dollars)**

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>Education, Department of</b>					
<b>School Aid</b>	<b>26,959,907</b>	<b>26,120,926</b>	<b>26,912,050</b>	<b>29,011,691</b>	<b>31,337,135</b>
Grants to Local Governments	20,603,952	20,736,073	21,558,513	23,233,956	25,296,190
State Operations	0	0	21,558,513	23,233,956	25,296,190
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
<b>School Aid - Medicaid Assistance</b>	<b>106,331</b>	<b>40,000</b>	<b>80,000</b>	<b>80,000</b>	<b>80,000</b>
Grants to Local Governments	106,331	40,000	80,000	80,000	80,000
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
<b>STAR Property Tax Relief</b>	<b>4,435,383</b>	<b>3,524,450</b>	<b>3,480,270</b>	<b>3,677,620</b>	<b>3,854,167</b>
Grants to Local Governments	4,435,383	3,524,450	3,480,270	3,677,620	3,854,167
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
<b>Special Education Categorical Programs</b>	<b>1,041,373</b>	<b>970,090</b>	<b>1,062,950</b>	<b>1,292,470</b>	<b>1,368,790</b>
Grants to Local Governments	1,041,373	970,090	1,062,950	1,292,470	1,368,790
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
<b>All Other</b>	<b>772,868</b>	<b>850,313</b>	<b>730,317</b>	<b>727,645</b>	<b>737,988</b>
Grants to Local Governments	608,599	692,216	571,425	567,027	573,864
State Operations	134,563	133,048	132,425	133,495	136,750
Personal Service	87,448	85,209	86,040	86,613	88,025
Non-Personal Service/Indirect Cost	47,115	47,839	46,385	46,882	48,725
General State Charges	29,706	25,049	26,467	27,123	27,374

**CASH DISBURSEMENTS BY FUNCTION**  
**STATE OPERATING FUNDS**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>Higher Education Services Corporation</b>	<b>905,937</b>	<b>995,070</b>	<b>935,835</b>	<b>970,423</b>	<b>988,875</b>
Grants to Local Governments	810,378	847,188	822,991	854,971	870,041
State Operations	78,862	132,897	95,963	97,972	100,045
Personal Service	37,615	34,841	36,299	36,589	36,881
Non-Personal Service/Indirect Cost	41,247	98,056	59,664	61,383	63,164
General State Charges	16,697	14,985	16,881	17,480	18,789
<b>State University Construction Fund</b>	<b>16,482</b>	<b>19,586</b>	<b>20,992</b>	<b>21,463</b>	<b>21,822</b>
Grants to Local Governments	0	0	0	0	0
State Operations	12,965	14,754	15,438	15,614	15,800
Personal Service	10,363	12,004	12,578	12,672	12,767
Non-Personal Service/Indirect Cost	2,612	2,750	2,860	2,942	3,033
General State Charges	3,517	4,832	5,554	5,849	6,022
<b>State University of New York</b>	<b>5,693,775</b>	<b>6,020,379</b>	<b>6,203,304</b>	<b>6,331,565</b>	<b>6,421,122</b>
Grants to Local Governments	467,010	455,896	444,086	489,919	489,919
State Operations	4,789,745	5,090,497	5,257,416	5,337,929	5,424,911
Personal Service	3,036,115	3,142,600	3,257,043	3,282,696	3,310,958
Non-Personal Service/Indirect Cost	1,753,630	1,947,897	2,000,373	2,055,233	2,113,953
General State Charges	437,020	473,986	501,802	503,717	506,292
Debt Service	0	0	0	0	0
<b>Functional Total</b>	<b>34,686,856</b>	<b>34,897,854</b>	<b>35,593,010</b>	<b>37,919,587</b>	<b>40,385,591</b>

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>GENERAL GOVERNMENT</b>					
<b><i>Audit and Control, Department of</i></b>					
Grants to Local Governments	258,126	263,980	265,052	269,832	274,416
State Operations	117,017	108,469	109,319	109,744	109,744
Personal Service	139,902	153,979	154,148	158,497	162,938
Non-Personal Service/Indirect Cost	110,480	113,373	112,362	114,517	118,142
General State Charges	29,422	40,606	41,786	43,980	44,796
	1,207	1,532	1,585	1,591	1,734
	<b>43,813</b>	<b>77,301</b>	<b>84,259</b>	<b>97,199</b>	<b>107,291</b>
	29	0	0	0	0
State Operations	42,309	74,418	81,112	93,785	103,763
Personal Service	27,410	32,973	36,712	39,070	39,973
Non-Personal Service/Indirect Cost	14,899	41,445	44,400	54,715	63,790
General State Charges	1,475	2,883	3,147	3,414	3,528
	<b>23,744</b>	<b>21,679</b>	<b>22,551</b>	<b>22,763</b>	<b>23,014</b>
	0	0	0	0	0
State Operations	23,598	21,440	22,304	22,507	22,743
Personal Service	21,034	19,168	19,980	20,130	20,300
Non-Personal Service/Indirect Cost	2,564	2,272	2,324	2,377	2,443
General State Charges	146	239	247	256	271
	<b>14,624</b>	<b>15,741</b>	<b>17,175</b>	<b>17,284</b>	<b>17,426</b>
	375	3,888	0	0	0
State Operations	14,249	11,853	7,175	7,284	7,426
Personal Service	4,081	3,470	3,631	3,639	3,686
Non-Personal Service/Indirect Cost	10,168	8,383	3,544	3,645	3,740
General State Charges	0	0	0	0	0
	<b>3,694</b>	<b>3,465</b>	<b>3,795</b>	<b>3,833</b>	<b>3,872</b>
	0	0	0	0	0
State Operations	3,694	3,465	3,795	3,833	3,872
Personal Service	3,480	3,096	3,417	3,445	3,474
Non-Personal Service/Indirect Cost	214	369	378	388	398
General State Charges	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION**  
**STATE OPERATING FUNDS**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>Executive Chamber</b>					
Grants to Local Governments	19,252	17,077	18,023	18,647	18,924
State Operations	0	0	0	0	0
Personal Service	19,252	17,077	18,023	18,647	18,924
Non-Personal Service/Indirect Cost	15,420	14,310	15,044	15,542	16,055
General State Charges	3,832	2,767	2,979	3,105	2,869
	0	0	0	0	0
<b>General Services, Office of **</b>	<b>144,070</b>	<b>149,564</b>	<b>151,151</b>	<b>153,652</b>	<b>157,842</b>
Grants to Local Governments	99	400	400	324	324
State Operations	142,141	147,401	148,884	151,389	155,476
Personal Service	60,928	59,442	57,728	57,938	58,837
Non-Personal Service/Indirect Cost	81,213	87,959	91,156	93,451	96,639
General State Charges	1,830	1,763	1,867	1,939	2,042
<b>Inspector General, Office of</b>	<b>6,446</b>	<b>6,462</b>	<b>6,776</b>	<b>6,852</b>	<b>6,937</b>
Grants to Local Governments	0	0	0	0	0
State Operations	6,446	6,462	6,776	6,852	6,937
Personal Service	5,700	5,485	5,755	5,799	5,860
Non-Personal Service/Indirect Cost	746	977	1,021	1,053	1,077
General State Charges	0	0	0	0	0
<b>Law, Department of</b>	<b>200,015</b>	<b>201,296</b>	<b>200,026</b>	<b>206,346</b>	<b>209,769</b>
Grants to Local Governments	0	100	100	81	81
State Operations	191,536	191,164	189,564	194,868	197,008
Personal Service	123,628	128,145	127,695	128,652	129,616
Non-Personal Service/Indirect Cost	67,908	63,019	61,869	66,216	67,392
General State Charges	8,479	10,032	10,362	11,397	12,680
<b>Lieutenant Governor, Office of the</b>	<b>133</b>	<b>0</b>	<b>276</b>	<b>1,193</b>	<b>1,208</b>
Grants to Local Governments	0	0	0	0	0
State Operations	133	0	276	1,193	1,208
Personal Service	79	0	230	1,006	1,016
Non-Personal Service/Indirect Cost	54	0	46	187	192
General State Charges	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
(thousands of dollars)**

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>Lottery, Division of</b>					
Grants to Local Governments	200,951	188,151	193,807	194,069	194,751
State Operations	0	0	0	0	0
Personal Service	191,467	177,731	182,553	182,553	182,553
Non-Personal Service/Indirect Cost	20,703	23,311	24,305	24,305	24,305
General State Charges	170,764	154,420	158,248	158,248	158,248
	9,484	11,254	11,254	11,516	12,198
<b>Public Employment Relations Board</b>					
Grants to Local Governments	3,660	4,270	4,561	4,600	4,648
State Operations	0	0	0	0	0
Personal Service	3,660	4,270	4,561	4,600	4,648
Non-Personal Service/Indirect Cost	3,150	3,389	3,666	3,689	3,724
General State Charges	510	881	895	911	924
	0	0	0	0	0
<b>Public Integrity, Commission on</b>					
Grants to Local Governments	4,879	4,865	5,017	5,350	5,530
State Operations	0	0	0	0	0
Personal Service	4,879	4,865	5,017	5,350	5,530
Non-Personal Service/Indirect Cost	3,631	3,588	3,730	4,053	4,197
General State Charges	1,248	1,277	1,287	1,297	1,333
	0	0	0	0	0
<b>Racing and Wagering Board, State</b>					
Grants to Local Governments	24,307	21,065	21,802	21,902	22,235
State Operations	0	0	0	0	0
Personal Service	18,606	16,386	16,870	16,855	16,855
Non-Personal Service/Indirect Cost	12,328	9,624	9,935	9,925	9,925
General State Charges	6,278	6,762	6,935	6,930	6,930
	5,701	4,679	4,932	5,047	5,380
<b>Real Property Services, Office of</b>					
Grants to Local Governments	58,369	46,269	42,761	43,772	44,359
State Operations	17,443	13,870	13,621	13,836	13,886
Personal Service	30,120	28,730	27,950	28,702	29,034
Non-Personal Service/Indirect Cost	23,648	22,157	21,948	22,118	22,322
General State Charges	10,806	6,573	6,002	6,584	6,712
		3,669	1,190	1,234	1,339

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
(thousands of dollars)**

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>Regulatory Reform, Governor's Office of</b>					
Grants to Local Governments	3,438	542	697	697	697
State Operations	0	0	0	0	0
Personal Service	3,438	542	697	697	697
Non-Personal Service/Indirect Cost	2,877	512	665	665	665
General State Charges	561	30	32	32	32
	0	0	0	0	0
<b>State, Department of</b>					
Grants to Local Governments	98,899	104,081	94,473	90,833	91,467
State Operations	40,781	48,374	37,228	33,428	33,428
Personal Service	51,566	47,509	48,708	48,647	48,647
Non-Personal Service/Indirect Cost	32,809	30,140	31,434	31,395	31,395
General State Charges	18,757	17,369	17,274	17,252	17,252
	6,552	8,198	8,537	8,758	9,392
<b>Tax Appeals, Division of</b>					
Grants to Local Governments	3,422	3,025	3,152	3,152	3,152
State Operations	0	0	0	0	0
Personal Service	3,422	3,025	3,152	3,152	3,152
Non-Personal Service/Indirect Cost	2,980	2,625	2,740	2,740	2,740
General State Charges	442	400	412	412	412
	0	0	0	0	0
<b>Taxation and Finance, Department of</b>					
Grants to Local Governments	372,354	411,851	426,768	427,207	428,323
State Operations	0	0	0	0	0
Personal Service	363,890	395,728	409,892	409,943	409,943
Non-Personal Service/Indirect Cost	275,743	302,357	314,199	314,250	314,250
General State Charges	88,147	93,371	95,693	95,693	95,693
	8,464	16,123	16,876	17,264	18,380
<b>Technology, Office for</b>					
Grants to Local Governments	21,238	32,257	28,475	29,497	30,543
State Operations	0	2,500	0	0	0
Personal Service	21,238	29,757	28,475	29,497	30,543
Non-Personal Service/Indirect Cost	10,256	10,852	12,093	12,167	12,287
General State Charges	10,982	18,905	16,382	17,330	18,256
	0	0	0	0	0



**CASH DISBURSEMENTS BY FUNCTION**  
**STATE OPERATING FUNDS**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>Lobbying, Temporary State Commission on</b>					
Grants to Local Governments	(77)	0	0	0	0
State Operations	0	0	0	0	0
Personal Service	(77)	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
<b>Veterans Affairs, Division of</b>	<b>14,251</b>	<b>15,569</b>	<b>16,347</b>	<b>15,910</b>	<b>15,985</b>
Grants to Local Governments	8,065	8,975	9,513	9,076	9,076
State Operations	6,191	6,594	6,834	6,834	6,909
Personal Service	5,643	5,725	5,978	5,978	6,036
Non-Personal Service/Indirect Cost	548	869	856	856	873
General State Charges	(5)	0	0	0	0
<b>Functional Total</b>	<b>1,519,608</b>	<b>1,588,510</b>	<b>1,596,944</b>	<b>1,624,590</b>	<b>1,652,389</b>
<b>ALL OTHER</b>					
<b>Legislature</b>	<b>221,729</b>	<b>225,717</b>	<b>220,717</b>	<b>220,717</b>	<b>220,717</b>
Grants to Local Governments	0	0	0	0	0
State Operations	221,729	225,717	220,717	220,717	220,717
Personal Service	166,856	169,817	164,784	164,784	164,784
Non-Personal Service/Indirect Cost	54,873	55,900	55,933	55,933	55,933
General State Charges	0	0	0	0	0
<b>Judiciary</b>	<b>2,418,030</b>	<b>2,481,026</b>	<b>2,694,941</b>	<b>2,888,126</b>	<b>2,935,010</b>
Grants to Local Governments	116,278	122,300	121,800	124,800	125,800
State Operations	1,838,729	1,869,363	2,062,609	2,220,163	2,266,250
Personal Service	1,486,928	1,559,974	1,744,566	1,892,549	1,925,628
Non-Personal Service/Indirect Cost	351,801	309,389	318,043	327,614	340,622
General State Charges	463,023	489,363	510,532	543,163	542,960

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
(thousands of dollars)**

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>Local Government Assistance</b>					
Grants to Local Governments	1,037,389	1,134,517	1,129,524	1,132,764	1,135,888
State Operations	1,037,389	1,134,517	1,129,524	1,132,764	1,135,888
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
<b>General State Charges</b>	<b>2,443,102</b>	<b>3,035,762</b>	<b>3,336,744</b>	<b>3,610,540</b>	<b>4,022,379</b>
Grants to Local Governments	0	0	0	0	0
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	2,443,102	3,035,762	3,336,744	3,610,540	4,022,379
<b>Miscellaneous</b>	<b>4,679,459</b>	<b>5,479,893</b>	<b>5,762,749</b>	<b>6,322,749</b>	<b>6,636,079</b>
Grants to Local Governments	33,748	47,860	(228,554)	(33,166)	(63,499)
State Operations	109,651	280,588	192,632	164,595	142,233
Personal Service	17,679	279,274	144,588	144,657	131,825
Non-Personal Service/Indirect Cost	91,972	1,314	48,044	19,938	10,408
General State Charges	6,391	8,068	8,082	8,270	8,565
Debt Service	4,529,669	5,143,377	5,790,589	6,183,050	6,548,780
<b>Functional Total</b>	<b>10,799,709</b>	<b>12,356,915</b>	<b>13,144,675</b>	<b>14,174,896</b>	<b>14,950,073</b>
<b>TOTAL STATE FUNDS OPERATING SPENDING</b>	<b>78,166,847</b>	<b>78,742,233</b>	<b>82,642,936</b>	<b>92,072,415</b>	<b>97,346,662</b>

\*Unaudited Year-end Results

\*\* To facilitate comparability, the new Office of Procurement Services is reflected within the amounts shown for the Office of General Services.

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	93,854	85,173	85,857	88,739	89,486
Alcoholic Beverage Control	17,022	18,075	18,781	19,114	19,607
Banking Department	78,971	79,009	81,698	80,831	82,212
Consumer Protection Board	3,840	3,096	3,286	3,231	3,321
Economic Development Capital Programs	0	0	0	0	0
Economic Development, Department of	46,833	46,125	42,994	43,022	43,022
Empire State Development Corporation	52,255	33,121	38,121	36,754	36,754
Energy Research and Development Authority	17,524	16,060	16,298	16,541	16,541
Housing and Community Renewal, Division of	138,330	130,802	125,317	127,584	130,341
Insurance Department	292,668	521,987	540,616	564,639	569,531
Olympic Regional Development Authority	6,578	7,509	7,714	7,924	7,924
Public Service, Department of	77,154	77,740	82,811	85,625	88,140
Science, Technology and Innovation, Foundation for	27,186	26,122	26,674	27,455	27,455
Strategic Investment	0	0	0	0	0
<b>Functional Total</b>	<b>852,215</b>	<b>1,044,819</b>	<b>1,070,147</b>	<b>1,101,459</b>	<b>1,114,334</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Adirondack Park Agency	5,298	5,217	5,388	5,391	5,393
Environmental Conservation, Department of	335,739	324,298	326,369	325,575	326,479
Environmental Facilities Corporation	9,885	9,624	9,903	10,085	10,269
Hudson River Park Trust	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	242,039	207,075	213,603	211,984	213,602
<b>Functional Total</b>	<b>592,961</b>	<b>546,214</b>	<b>555,263</b>	<b>553,035</b>	<b>555,743</b>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	94,583	98,166	103,625	106,953	106,953
Thruway Authority	0	0	0	0	0
Metropolitan Transportation Authority	0	0	0	0	0
Transportation, Department of	3,012,602	2,634,614	2,673,831	2,672,352	2,679,459
<b>Functional Total</b>	<b>3,107,185</b>	<b>2,732,780</b>	<b>2,777,456</b>	<b>2,779,305</b>	<b>2,786,412</b>
<b>HEALTH AND SOCIAL WELFARE</b>					
Aging, Office for the	124,708	118,568	121,506	125,400	125,400
Children and Family Services, Office of	1,942,655	2,089,630	2,245,145	2,450,459	2,601,480
OCFS	1,896,822	2,018,786	2,128,459	2,312,104	2,458,794
OCFS - Medicaid	45,833	70,844	116,686	138,355	142,686
Health, Department of	14,466,206	13,562,764	15,319,829	20,283,271	21,631,908
Medical Assistance	11,117,662	10,443,673	12,243,852	17,097,312	18,307,118
Medicaid Administration	451,783	458,500	481,250	503,750	526,750
Public Health	2,896,761	2,660,591	2,594,727	2,682,209	2,798,040
Health - Medicaid Assistance	0	0	0	0	0
Human Rights, Division of	12,214	11,087	12,781	12,775	12,775
Labor, Department of	74,403	79,248	64,679	63,739	64,758
Medicaid Inspector General, Office of	26,234	34,449	36,057	39,474	39,474
Prevention of Domestic Violence, Office for	2,422	2,374	2,311	2,323	2,344
Stem Cell and Innovation	7,797	46,321	71,500	50,000	167,826

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
(thousands of dollars)**

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>HEALTH AND SOCIAL WELFARE (Continued)</b>					
Temporary and Disability Assistance, Office of	1,280,059	1,342,723	1,374,803	1,417,824	1,506,067
Welfare Assistance	742,277	1,101,503	1,129,111	1,167,911	1,253,111
Welfare Administration	361,065	56,433	55,041	55,041	55,041
All Other	176,717	184,787	190,651	194,872	197,915
Welfare Inspector General, Office of	382	343	355	358	358
Workers' Compensation Board	201,505	205,669	189,892	194,066	198,951
<b>Functional Total</b>	<b>18,138,585</b>	<b>17,493,176</b>	<b>19,438,858</b>	<b>24,639,689</b>	<b>26,351,341</b>
<b>MENTAL HEALTH</b>					
Mental Health, Office of	2,045,731	1,915,458	2,148,265	2,518,932	2,605,721
OMH	1,233,675	1,280,346	1,434,595	1,515,110	1,561,452
OMH - Medicaid	812,056	635,112	713,670	1,003,822	1,044,269
Mental Hygiene, Department of	308,114	0	0	0	0
Mental Retardation and Developmental Disabilities, Office of	2,092,168	2,093,729	2,232,647	2,616,347	2,741,147
OMRDD	475,806	470,188	476,474	489,328	510,481
OMRDD - Medicaid	1,616,362	1,623,541	1,756,173	2,127,019	2,230,666
Alcoholism and Substance Abuse Services, Office of	382,380	420,673	454,592	499,310	520,979
OASAS	306,286	340,035	369,395	411,556	432,395
OASAS - Medicaid	76,094	80,638	85,197	87,754	88,584
Developmental Disabilities Planning Council	0	0	0	0	0
Quality of Care for the Mentally Disabled, Commission on	5,072	6,053	6,304	6,341	6,423
<b>Functional Total</b>	<b>4,833,465</b>	<b>4,435,913</b>	<b>4,841,808</b>	<b>5,640,930</b>	<b>5,874,270</b>
<b>PUBLIC PROTECTION</b>					
Capital Defenders Office	370	0	0	0	0
Correction, Commission of	2,653	2,658	2,785	2,814	2,848
Correctional Services, Department of	2,374,878	2,302,658	2,341,202	2,360,296	2,399,046
Crime Victims Board	29,994	33,046	33,060	33,162	33,355
Criminal Justice Services, Division of	209,287	186,871	171,770	169,113	168,310
Homeland Security	27,822	65,394	65,229	66,606	63,968
Investigation, Temporary State Commission of	3,554	0	0	0	0
Judicial Commissions	5,288	5,214	5,208	5,311	5,385
Military and Naval Affairs, Division of	65,876	88,005	54,667	39,655	40,226
Parole, Division of	196,590	188,700	191,630	195,984	199,977
Probation and Correctional Alternatives, Division of	79,007	69,137	70,773	76,961	78,496
State Police, Division of	640,944	704,369	688,451	689,022	685,098
<b>Functional Total</b>	<b>3,636,263</b>	<b>3,624,775</b>	<b>3,624,775</b>	<b>3,636,924</b>	<b>3,676,709</b>

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
(thousands of dollars)**

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>EDUCATION</b>					
Arts, Council on the	45,571	48,303	48,209	48,307	48,307
City University of New York	1,065,184	1,693,590	1,472,620	1,536,138	1,568,130
Education, Department of	26,959,907	26,120,926	26,912,050	29,011,691	31,337,135
<i>School Aid</i>	20,803,952	20,736,073	21,558,513	23,233,956	25,296,190
<i>School Aid - Medicaid Assistance</i>	106,331	40,000	80,000	80,000	80,000
<i>STAR Property Tax Relief</i>	4,435,383	3,524,450	3,480,270	3,677,620	3,854,167
<i>Special Education Categorical Programs</i>	1,041,373	970,090	1,062,950	1,292,470	1,368,790
<i>All Other</i>	772,868	850,313	730,317	727,645	737,988
Higher Education Services Corporation	905,937	995,070	935,835	970,423	988,875
Higher Education Capital Grants	0	0	0	0	0
State University Construction Fund	16,482	19,586	20,992	21,463	21,822
State University of New York	5,693,775	6,020,379	6,203,304	6,331,565	6,421,122
<b>Functional Total</b>	<b>34,686,856</b>	<b>34,897,854</b>	<b>35,593,010</b>	<b>37,919,587</b>	<b>40,385,391</b>
<b>GENERAL GOVERNMENT</b>					
Audit and Control, Department of	258,126	283,980	285,052	289,832	274,416
Budget, Division of the	43,813	77,301	84,259	97,199	107,291
Civil Service, Department of	23,744	21,679	22,551	22,763	23,014
Elections, State Board of	14,624	15,741	7,175	7,284	7,426
Employee Relations, Office of	3,694	3,465	3,795	3,833	3,872
Executive Chamber	19,252	17,077	18,023	18,647	18,924
General Services, Office of **	144,070	149,564	151,151	153,652	157,842
Inspector General, Office of	6,446	6,462	6,776	6,852	6,937
Law, Department of	200,015	201,296	200,026	206,346	209,769
Lieutenant Governor, Office of the	133	0	276	1,193	1,208
Lottery, Division of	200,951	188,151	193,807	194,069	194,751
Public Employment Relations Board	3,660	4,270	4,561	4,600	4,648
Public Integrity, Commission on	4,879	4,865	5,017	5,350	5,530
Racing and Wagering Board, State	24,307	21,065	21,802	21,902	22,235
Real Property Services, Office of	58,369	46,269	42,761	43,772	44,359
Regulatory Reform, Governor's Office of	3,438	542	697	697	697
State, Department of	98,899	104,081	94,473	90,833	91,467
Tax Appeals, Division of	3,422	3,025	3,152	3,152	3,152
Taxation and Finance, Department of	372,354	411,851	426,768	427,207	428,323
Technology, Office for	21,238	32,257	28,475	29,497	30,543
Lobbying, Temporary State Commission on	(77)	0	0	0	0
Veterans Affairs, Division of	14,251	15,569	16,347	15,910	15,985
<b>Functional Total</b>	<b>1,519,608</b>	<b>1,588,510</b>	<b>1,596,944</b>	<b>1,624,590</b>	<b>1,652,389</b>

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>ALL OTHER CATEGORIES</b>					
Legislature	221,729	225,717	220,717	220,717	220,717
Judiciary (excluding fringe benefits)	2,418,030	2,481,026	2,694,941	2,888,126	2,935,010
World Trade Center	0	0	0	0	0
Local Government Assistance	1,037,389	1,134,517	1,129,524	1,132,764	1,135,888
Long-Term Debt Service	4,537,236	5,218,118	5,865,330	6,257,784	6,623,514
Capital Projects	0	0	0	0	0
General State Charges	2,443,102	3,035,762	3,336,744	3,610,540	4,022,379
Miscellaneous	142,223	261,775	(102,581)	64,965	12,565
<b>Functional Total</b>	<b>10,799,709</b>	<b>12,356,915</b>	<b>13,144,675</b>	<b>14,174,896</b>	<b>14,950,073</b>
<b>TOTAL STATE FUNDS OPERATING SPENDING</b>	<b>78,166,847</b>	<b>78,742,233</b>	<b>82,642,936</b>	<b>92,072,415</b>	<b>97,346,662</b>

GSC: Agency disbursements include grants to local governments, state operations and general state charges, which is a departure from prior Financial plan publications. In prior reports, general state charges were excluded from agency spending totals.

\*Unaudited Year-end Results

\*\* To facilitate comparability, the new Office of Procurement Services is reflected within the amounts shown for the Office of General Services.

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
LOCAL ASSISTANCE SPENDING**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	27,778	20,659	17,306	17,136	17,136
Alcoholic Beverage Control	0	0	0	0	0
Banking Department	661	1,000	0	0	0
Consumer Protection Board	0	0	0	0	0
Economic Development Capital Programs	0	2,491	0	0	0
Economic Development, Department of	11,610	7,974	7,583	6,915	6,915
Empire State Development Corporation	52,255	33,121	38,121	36,754	36,754
Energy Research and Development Authority	10,014	9,234	9,234	9,234	9,234
Housing and Community Renewal, Division of	56,303	53,276	46,072	45,559	45,559
Insurance Department	10,203	307,489	321,389	344,389	344,389
Olympic Regional Development Authority	0	0	0	0	0
Public Service, Department of	0	400	400	400	400
Science, Technology and Innovation, Foundation for	24,244	22,365	22,835	23,555	23,555
Strategic Investment	0	0	0	0	0
<b>Functional Total</b>	<b>193,068</b>	<b>458,009</b>	<b>462,940</b>	<b>483,942</b>	<b>483,942</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Adirondack Park Agency	25	0	0	0	0
Environmental Conservation, Department of	8,928	4,690	6,936	6,537	6,537
Environmental Facilities Corporation	0	0	0	0	0
Hudson River Park Trust	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	33,278	22,400	22,400	19,550	19,550
<b>Functional Total</b>	<b>42,231</b>	<b>27,090</b>	<b>29,336</b>	<b>26,087</b>	<b>26,087</b>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	0	0	0	0	0
Thruway Authority	0	0	0	0	0
Metropolitan Transportation Authority	0	0	0	0	0
Transportation, Department of	2,982,102	2,610,013	2,648,696	2,646,442	2,652,746
<b>Functional Total</b>	<b>2,982,102</b>	<b>2,610,013</b>	<b>2,648,696</b>	<b>2,646,442</b>	<b>2,652,746</b>
<b>HEALTH AND SOCIAL WELFARE</b>					
Aging, Office for the	121,712	116,199	119,057	122,864	122,864
Children and Family Services, Office of	1,673,524	1,824,862	1,969,524	2,171,565	2,314,065
OCFS	1,627,691	1,754,018	1,852,838	2,033,210	2,171,379
OCFS - Medicaid	45,833	70,844	116,686	138,355	142,686
Health, Department of	13,929,041	13,026,110	14,748,924	19,699,554	21,048,190
Medical Assistance	11,103,112	10,443,673	12,243,852	17,097,312	18,307,118
Medicaid Administration	451,783	458,500	481,250	503,750	526,750
Public Health	2,374,146	2,123,937	2,023,822	2,098,492	2,214,322
Health - Medicaid Assistance	0	0	0	0	0
Human Rights, Division of	0	0	0	0	0
Labor, Department of	11,819	21,564	4,680	2,659	2,659
Medicaid Inspector General, Office of	0	0	0	0	0
Prevention of Domestic Violence, Office for	792	843	685	685	685
Stem Cell and Innovation	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION**  
**STATE OPERATING FUNDS**  
**LOCAL ASSISTANCE SPENDING**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>HEALTH AND SOCIAL WELFARE (Continued)</b>					
Temporary and Disability Assistance, Office of	1,227,445	1,276,624	1,302,431	1,342,547	1,429,063
<i>Welfare Assistance</i>	742,277	1,101,503	1,129,111	1,167,911	1,253,111
<i>Welfare Administration</i>	361,065	56,433	55,041	55,041	55,041
<i>All Other</i>	124,103	118,688	118,279	119,595	120,911
Welfare Inspector General, Office of	0	0	0	0	0
Workers' Compensation Board	0	0	0	0	0
<b>Functional Total</b>	<b>16,964,333</b>	<b>16,266,202</b>	<b>18,145,301</b>	<b>23,339,874</b>	<b>24,917,526</b>
<b>MENTAL HYGIENE</b>					
Mental Health, Office of	1,031,982	1,107,144	1,241,072	1,332,233	1,379,251
<i>OMH</i>	632,654	705,982	822,260	886,041	910,589
<i>OMH - Medicaid</i>	399,328	401,162	418,812	446,192	468,662
Mental Hygiene, Department of	0	0	0	0	0
Mental Retardation and Developmental Disabilities, Office of	1,760,271	1,857,653	1,949,105	2,057,437	2,164,168
<i>OMRDD</i>	475,597	470,012	476,298	489,152	510,305
<i>OMRDD - Medicaid</i>	1,284,674	1,387,641	1,472,807	1,568,285	1,653,863
Alcoholism and Substance Abuse Services, Office of	298,558	332,694	362,695	403,879	422,131
OASAS	265,878	299,835	326,300	365,694	383,935
<i>OASAS - Medicaid</i>	32,680	32,859	36,395	38,185	38,196
Developmental Disabilities Planning Council	0	0	0	0	0
Quality of Care for the Mentally Disabled, Commission on	569	473	473	450	450
<b>Functional Total</b>	<b>3,091,380</b>	<b>3,297,964</b>	<b>3,553,345</b>	<b>3,793,999</b>	<b>3,966,000</b>
<b>PUBLIC PROTECTION</b>					
Capital Defenders Office	0	0	0	0	0
Correctional, Commission of	0	0	0	0	0
Correctional Services, Department of	1,666	2,740	300	243	243
Crime Victims Board	25,327	26,753	26,690	26,690	26,690
Criminal Justice Services, Division of	122,797	108,789	92,380	89,839	89,839
Homeland Security	0	0	0	0	0
Investigation, Temporary State Commission of	0	0	0	0	0
Judicial Commissions	0	0	0	0	0
Military and Naval Affairs, Division of	18,962	49,757	22,835	9,466	9,466
Parole, Division of	23,453	16,301	10,999	12,582	14,129
Probation and Correctional Alternatives, Division of	76,522	66,691	68,200	74,358	75,858
State Police, Division of	0	0	0	0	0
<b>Functional Total</b>	<b>268,727</b>	<b>271,031</b>	<b>221,404</b>	<b>213,178</b>	<b>216,225</b>



**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
LOCAL ASSISTANCE SPENDING**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>EDUCATION</b>					
Arts, Council on the	40,073	42,748	42,400	42,498	42,498
City University of New York	957,512	1,555,590	1,332,925	1,394,721	1,424,962
Education, Department of	26,795,638	25,962,829	26,753,158	28,851,073	31,173,011
<i>School Aid</i>	20,603,952	20,736,073	21,558,513	23,233,956	25,296,190
<i>School Aid - Medicaid Assistance</i>	106,331	40,000	80,000	80,000	80,000
<i>STAR Property Tax Relief</i>	4,435,383	3,524,450	3,480,270	3,677,620	3,854,167
<i>Special Education Categorical Programs</i>	1,041,373	970,090	1,062,950	1,292,470	1,368,790
<i>All Other</i>	608,599	692,216	571,425	567,027	573,864
Higher Education Services Corporation	810,378	847,188	822,991	854,971	870,041
Higher Education Capital Grants	0	0	0	0	0
State University Construction Fund	0	0	0	0	0
State University of New York	467,010	455,896	444,086	489,919	489,919
<b>Functional Total</b>	<b>29,070,611</b>	<b>28,864,251</b>	<b>29,395,560</b>	<b>31,633,182</b>	<b>34,000,431</b>
<b>GENERAL GOVERNMENT</b>					
Audit and Control, Department of	117,017	108,469	109,319	109,744	109,744
Budget, Division of the	29	0	0	0	0
Civil Service, Department of	0	0	0	0	0
Elections, State Board of	375	3,888	0	0	0
Employee Relations, Office of	0	0	0	0	0
Executive Chamber	0	0	0	0	0
General Services, Office of **	99	400	400	324	324
Inspector General, Office of	0	0	0	0	0
Law, Department of	0	100	100	81	81
Lieutenant Governor, Office of the	0	0	0	0	0
Lottery, Division of	0	0	0	0	0
Public Employment Relations Board	0	0	0	0	0
Public Integrity, Commission on	0	0	0	0	0
Racing and Wagering Board, State	0	0	0	0	0
Real Property Services, Office of	17,443	13,870	13,621	13,836	13,986
Regulatory Reform, Governor's Office of	0	0	0	0	0
State, Department of	40,781	48,374	37,228	33,428	33,428
Tax Appeals, Division of	0	0	0	0	0
Taxation and Finance, Department of	0	0	0	0	0
Technology, Office for	0	2,500	0	0	0
Lobbying, Temporary State Commission on	0	0	0	0	0
Veterans Affairs, Division of	8,065	8,975	9,513	9,076	9,076
<b>Functional Total</b>	<b>183,809</b>	<b>186,576</b>	<b>170,181</b>	<b>166,489</b>	<b>166,639</b>

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
LOCAL ASSISTANCE SPENDING**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>ALL OTHER CATEGORIES</b>					
Legislature	0	0	0	0	0
Judiciary (excluding fringe benefits)	116,278	122,300	121,800	124,800	125,800
World Trade Center	0	0	0	0	0
Local Government Assistance	1,037,389	1,134,517	1,129,524	1,132,764	1,135,888
Long-Term Debt Service	0	0	0	0	0
General State Charges	33,748	0	0	0	0
Miscellaneous	0	47,860	(228,554)	(33,166)	(63,499)
<b>Functional Total</b>	<b>1,187,415</b>	<b>1,304,677</b>	<b>1,022,770</b>	<b>1,224,398</b>	<b>1,198,189</b>
<b>TOTAL LOCAL ASSISTANCE SPENDING</b>	<b>53,983,676</b>	<b>53,285,813</b>	<b>55,649,533</b>	<b>63,527,591</b>	<b>67,627,785</b>

GSC: Agency disbursements include grants to local governments, state operations and general state charges, which is a departure from prior Financial plan publications. In prior reports, general state charges were excluded from agency spending totals.

\*Unaudited Year-end Results

\*\* To facilitate comparability, the new Office of Procurement Services is reflected within the amounts shown for the Office of General Services.

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
STATE OPERATIONS SPENDING**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	62,702	60,203	63,577	66,125	66,524
Alcoholic Beverage Control	13,260	13,981	14,436	14,633	14,821
Banking Department	62,194	58,436	60,976	59,900	59,900
Consumer Protection Board	2,850	3,051	3,221	3,231	3,321
Economic Development Capital Programs	0	0	0	0	0
Economic Development, Department of	35,136	35,632	35,383	36,079	36,079
Empire State Development Corporation	0	0	0	0	0
Energy Research and Development Authority	5,817	5,114	5,300	5,490	5,490
Housing and Community Renewal, Division of	68,146	62,172	64,010	66,122	67,724
Insurance Department	246,945	173,001	175,548	175,548	176,793
Olympic Regional Development Authority	6,578	7,509	7,714	7,924	7,924
Public Service, Department of	56,075	58,101	60,936	62,672	63,458
Science, Technology and Innovation, Foundation for	2,942	3,757	3,839	3,900	3,900
Strategic Investment	0	0	0	0	0
<b>Functional Total</b>	<b>562,645</b>	<b>480,957</b>	<b>494,940</b>	<b>501,624</b>	<b>505,934</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Adirondack Park Agency	5,273	5,217	5,388	5,391	5,393
Environmental Conservation, Department of	286,182	278,563	284,460	285,146	285,830
Environmental Facilities Corporation	7,941	7,530	7,767	7,906	8,047
Hudson River Park Trust	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	196,938	179,778	185,835	187,280	188,897
<b>Functional Total</b>	<b>496,334</b>	<b>471,088</b>	<b>483,450</b>	<b>485,723</b>	<b>488,167</b>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	70,316	74,689	76,222	77,763	77,763
Thruway Authority	0	0	0	0	0
Metropolitan Transportation Authority	0	0	0	0	0
Transportation, Department of	27,625	21,104	21,725	22,366	23,027
<b>Functional Total</b>	<b>97,941</b>	<b>95,793</b>	<b>97,947</b>	<b>100,129</b>	<b>100,790</b>
<b>HEALTH AND SOCIAL WELFARE</b>					
Aging, Office for the	2,980	2,369	2,449	2,536	2,536
Children and Family Services, Office of	267,995	263,586	274,402	277,626	286,147
OCFS	267,995	263,586	274,402	277,626	286,147
OCFS - Medicaid	0	0	0	0	0
Health, Department of	502,156	496,863	531,346	542,279	542,280
Medical Assistance	14,550	0	0	0	0
Medicaid Administration	0	0	0	0	0
Public Health	487,606	496,863	531,346	542,279	542,280
Health - Medicaid Assistance	0	0	0	0	0
Human Rights, Division of	12,214	11,087	12,781	12,775	12,775
Labor, Department of	48,314	44,446	45,659	46,269	46,269
Medicaid Inspector General, Office of	26,082	34,339	35,931	39,348	39,348
Prevention of Domestic Violence, Office for	1,630	1,531	1,626	1,638	1,659
Stem Cell and Innovation	7,797	46,321	71,500	50,000	167,826

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
STATE OPERATIONS SPENDING**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>HEALTH AND SOCIAL WELFARE (Continued)</b>					
Temporary and Disability Assistance, Office of	51,935	65,151	71,689	74,578	76,274
<i>Welfare Assistance</i>	0	0	0	0	0
<i>Welfare Administration</i>	0	0	0	0	0
<i>All Other</i>	51,935	65,151	71,689	74,578	76,274
Welfare Inspector General, Office of	382	343	355	358	358
Workers' Compensation Board	161,156	166,895	149,690	152,214	154,407
<b>Functional Total</b>	<b>1,082,641</b>	<b>1,132,931</b>	<b>1,197,428</b>	<b>1,199,621</b>	<b>1,329,879</b>
<b>MENTAL HYGIENE</b>					
Mental Health, Office of	780,145	623,205	697,931	886,120	902,203
<i>OMH</i>	444,555	429,568	459,581	469,357	478,298
<i>OMH - Medicaid</i>	335,590	193,637	238,350	416,763	423,905
Mental Hygiene, Department of	0	0	0	0	0
Mental Retardation and Developmental Disabilities, Office of	240,977	176,351	212,279	399,734	405,320
<i>OMRDD</i>	209	176	176	176	176
<i>OMRDD - Medicaid</i>	240,768	176,175	212,103	399,558	405,144
Alcoholism and Substance Abuse Services, Office of	66,498	66,642	69,384	71,751	73,247
OASAS	32,560	30,617	32,716	34,650	35,955
OASAS - Medicaid	33,938	36,025	36,668	37,101	37,292
Developmental Disabilities Planning Council	0	0	0	0	0
Quality of Care for the Mentally Disabled, Commission on	4,479	5,555	5,804	5,864	5,944
<b>Functional Total</b>	<b>1,082,099</b>	<b>871,753</b>	<b>985,398</b>	<b>1,363,469</b>	<b>1,386,714</b>
<b>PUBLIC PROTECTION</b>					
Capital Defenders Office	370	0	0	0	0
Correction, Commission of	2,653	2,658	2,785	2,814	2,848
Correctional Services, Department of	2,371,212	2,298,918	2,340,902	2,360,053	2,398,803
Crime Victims Board	4,659	4,633	4,634	4,687	4,746
Criminal Justice Services, Division of	86,408	78,029	79,324	79,208	78,405
Homeland Security	26,757	65,386	65,217	66,594	63,956
Investigation, Temporary State Commission of	3,554	0	0	0	0
Judicial Commissions	5,288	5,214	5,208	5,311	5,385
Military and Naval Affairs, Division of	46,077	37,361	30,934	29,268	29,719
Parole, Division of	173,137	172,399	180,631	183,402	185,848
Probation and Correctional Alternatives, Division of	2,485	2,446	2,573	2,603	2,638
State Police, Division of	623,337	681,015	666,523	666,411	680,915
<b>Functional Total</b>	<b>3,345,937</b>	<b>3,348,059</b>	<b>3,378,731</b>	<b>3,400,351</b>	<b>3,433,263</b>

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
STATE OPERATIONS SPENDING**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>EDUCATION</b>					
Arts, Council on the	5,498	5,555	5,809	5,809	5,809
City University of New York	103,024	130,400	131,920	133,463	135,031
Education, Department of	134,563	133,048	132,425	133,495	136,750
<i>School Aid</i>	0	0	0	0	0
<i>School Aid - Medicaid Assistance</i>	0	0	0	0	0
<i>STAR Property Tax Relief</i>	0	0	0	0	0
<i>Special Education Categorical Programs</i>	0	0	0	0	0
<i>All Other</i>	134,563	133,048	132,425	133,495	136,750
Higher Education Services Corporation	78,862	132,897	95,963	97,972	100,045
Higher Education Capital Grants	0	0	0	0	0
Slate University Construction Fund	12,965	14,754	15,438	15,614	15,800
Slate University of New York	4,789,745	5,090,497	5,257,416	5,337,929	5,424,911
<b>Functional Total</b>	<b>5,124,657</b>	<b>5,607,151</b>	<b>5,638,971</b>	<b>5,724,282</b>	<b>5,818,346</b>
<b>GENERAL GOVERNMENT</b>					
Audit and Control, Department of	139,902	153,979	154,148	158,497	162,938
Budget, Division of the	42,309	74,418	81,112	93,785	103,763
Civil Service, Department of	23,598	21,440	22,304	22,507	22,743
Elections, State Board of	14,249	11,853	7,175	7,284	7,426
Employee Relations, Office of	3,694	3,465	3,795	3,833	3,872
Executive Chamber	19,252	17,077	18,023	18,647	18,924
General Services, Office of **	142,141	147,401	148,884	151,389	155,476
Inspector General, Office of	6,446	6,462	6,776	6,852	6,937
Law, Department of	191,536	191,164	189,564	194,868	197,008
Lieutenant Governor, Office of the	133	0	276	1,193	1,208
Lottery, Division of	191,467	177,731	182,553	182,553	182,553
Public Employment Relations Board	3,660	4,270	4,561	4,600	4,648
Public Integrity, Commission on	4,879	4,865	5,017	5,350	5,530
Racing and Wagering Board, State	18,606	16,386	16,870	16,855	16,855
Real Property Services, Office of	30,120	28,730	27,950	28,702	29,034
Regulatory Reform, Governor's Office of	3,438	542	697	697	697
State, Department of	51,566	47,509	48,708	48,647	48,647
Tax Appeals, Division of	3,422	3,025	3,152	3,152	3,152
Taxation and Finance, Department of	363,890	395,728	409,892	409,943	409,943
Technology, Office for	21,238	29,757	28,475	29,497	30,543
Lobbying, Temporary State Commission on	(77)	0	0	0	0
Veterans Affairs, Division of	6,191	6,594	6,834	6,834	6,909
<b>Functional Total</b>	<b>1,281,660</b>	<b>1,342,396</b>	<b>1,366,766</b>	<b>1,395,685</b>	<b>1,418,806</b>

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
STATE OPERATIONS SPENDING**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>ALL OTHER CATEGORIES</b>					
Legislature	221,729	225,717	220,717	220,717	220,717
Judiciary (excluding fringe benefits)	1,838,729	1,869,363	2,062,609	2,220,163	2,266,250
World Trade Center	0	0	0	0	0
Local Government Assistance	0	0	0	0	0
Long-Term Debt Service	0	0	0	0	0
General State Charges	0	0	0	0	0
Miscellaneous	109,651	280,588	192,632	164,595	142,233
<b>Functional Total</b>	<u>2,170,109</u>	<u>2,375,668</u>	<u>2,475,958</u>	<u>2,605,475</u>	<u>2,629,200</u>
<b>TOTAL STATE OPERATIONS SPENDING</b>	<u>15,254,023</u>	<u>15,625,796</u>	<u>16,119,589</u>	<u>16,776,359</u>	<u>17,111,099</u>

GSC: Agency disbursements include grants to local governments, state operations and general state charges, which is a departure from prior Financial plan publications. In prior reports, general state charges were excluded from agency spending totals.

\*Unaudited Year-end Results

\*\* To facilitate comparability, the new Office of Procurement Services is reflected within the amounts shown for the Office of General Services.

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
PERSONAL SERVICE SPENDING**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	32,566	31,344	33,743	35,244	35,174
Alcoholic Beverage Control	8,853	8,926	9,253	9,327	9,401
Banking Department	45,425	42,636	44,642	44,054	44,054
Consumer Protection Board	2,153	2,427	2,553	2,471	2,541
Economic Development Capital Programs	0	0	0	0	0
Economic Development, Department of	13,665	13,900	14,400	14,498	14,498
Empire State Development Corporation	0	0	0	0	0
Energy Research and Development Authority	3,446	3,928	4,040	4,154	4,154
Housing and Community Renewal, Division of	49,655	42,913	44,327	45,656	46,786
Insurance Department	104,231	93,642	96,406	96,406	97,606
Olympic Regional Development Authority	4,417	3,679	3,679	3,679	3,679
Public Service, Department of	42,232	42,881	45,843	47,116	47,577
Science, Technology and Innovation, Foundation for	2,192	2,221	2,293	2,310	2,310
Strategic Investment	0	0	0	0	0
<b>Functional Total</b>	<b>308,835</b>	<b>288,497</b>	<b>301,179</b>	<b>304,915</b>	<b>307,780</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Adirondack Park Agency	4,547	4,524	4,685	4,698	4,700
Environmental Conservation, Department of	187,899	172,470	177,340	178,001	178,666
Environmental Facilities Corporation	6,758	6,320	6,554	6,690	6,828
Hudson River Park Trust	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	141,389	121,378	126,904	127,711	128,586
<b>Functional Total</b>	<b>340,603</b>	<b>304,692</b>	<b>315,483</b>	<b>317,100</b>	<b>318,780</b>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	50,901	50,022	51,134	51,473	51,473
Thruway Authority	0	0	0	0	0
Metropolitan Transportation Authority	0	0	0	0	0
Transportation, Department of	6,766	6,841	7,118	7,400	7,693
<b>Functional Total</b>	<b>57,667</b>	<b>56,863</b>	<b>58,252</b>	<b>58,873</b>	<b>59,166</b>
<b>HEALTH AND SOCIAL WELFARE</b>					
Aging, Office for the	2,513	1,921	2,001	2,065	2,065
Children and Family Services, Office of	173,587	163,762	169,859	170,712	174,441
OCFS	173,587	163,762	169,859	170,712	174,441
OCFS - Medicaid	0	0	0	0	0
Health, Department of	228,778	215,189	229,211	236,490	236,490
Medical Assistance	500	0	0	0	0
Medicaid Administration	0	0	0	0	0
Public Health	228,278	215,189	229,211	236,490	236,490
Health - Medicaid Assistance	0	0	0	0	0
Human Rights, Division of	9,228	8,031	9,725	9,719	9,719
Labor, Department of	33,363	30,072	32,280	32,529	32,529
Medicaid Inspector General, Office of	17,412	25,132	25,683	25,830	25,830
Prevention of Domestic Violence, Office for	1,060	1,149	1,233	1,233	1,246
Stem Cell and Innovation	541	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION**  
**STATE OPERATING FUNDS**  
**PERSONAL SERVICE SPENDING**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>HEALTH AND SOCIAL WELFARE (Continued)</b>					
Temporary and Disability Assistance, Office of	18,211	17,153	16,936	17,094	17,258
<i>Welfare Assistance</i>	0	0	0	0	0
<i>Welfare Administration</i>	0	0	0	0	0
<i>All Other</i>	18,211	17,153	16,936	17,094	17,258
Welfare Inspector General, Office of	341	343	355	358	358
Workers' Compensation Board	87,462	84,657	88,520	88,206	88,849
<b>Functional Total</b>	<b>572,496</b>	<b>547,409</b>	<b>574,803</b>	<b>584,236</b>	<b>588,785</b>
<b>MENTAL HYGIENE</b>					
Mental Health, Office of	555,245	406,443	457,944	639,067	645,514
<i>OMH</i>	378,329	319,744	333,294	340,280	343,864
<i>OMH - Medicaid</i>	176,916	86,699	124,650	298,787	301,650
Mental Hygiene, Department of	0	0	0	0	0
Mental Retardation and Developmental Disabilities, Office of	205,551	130,532	156,735	337,679	341,556
<i>OMRDD</i>	0	0	0	0	0
<i>OMRDD - Medicaid</i>	205,551	130,532	156,735	337,679	341,556
Alcoholism and Substance Abuse Services, Office of	42,481	47,092	48,989	50,355	51,009
OASAS	20,640	19,904	21,311	22,386	22,931
OASAS - Medicaid	21,841	27,188	27,678	27,969	28,078
Developmental Disabilities Planning Council	0	0	0	0	0
Quality of Care for the Mentally Disabled, Commission on	3,525	3,967	4,132	4,157	4,200
<b>Functional Total</b>	<b>806,802</b>	<b>588,034</b>	<b>667,800</b>	<b>1,031,258</b>	<b>1,042,279</b>
<b>PUBLIC PROTECTION</b>					
Capital Defenders Office	227	0	0	0	0
Correction, Commission of	2,206	2,168	2,283	2,298	2,322
Correctional Services, Department of	1,808,840	1,682,995	1,696,888	1,692,631	1,697,313
Crime Victims Board	3,736	3,569	3,542	3,569	3,608
Criminal Justice Services, Division of	37,851	32,800	31,798	32,107	32,452
Homeland Security	11,015	42,815	45,917	50,766	48,103
Investigation, Temporary State Commission of	2,568	0	0	0	0
Judicial Commissions	3,602	3,860	3,832	3,861	3,901
Military and Naval Affairs, Division of	27,944	14,735	15,539	15,546	15,710
Parole, Division of	137,530	135,014	141,307	141,543	142,962
Probation and Correctional Alternatives, Division of	2,101	1,973	2,056	2,076	2,087
State Police, Division of	512,930	587,188	568,111	568,480	568,480
<b>Functional Total</b>	<b>2,550,550</b>	<b>2,507,117</b>	<b>2,511,273</b>	<b>2,512,877</b>	<b>2,516,948</b>



**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
PERSONAL SERVICE SPENDING**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>EDUCATION</b>					
Arts, Council on the	3,754	3,779	3,989	3,990	3,990
City University of New York	79,033	95,440	96,157	96,877	97,604
Education, Department of	87,448	85,209	86,040	86,613	88,025
<i>School Aid</i>	0	0	0	0	0
<i>School Aid - Medicaid Assistance</i>	0	0	0	0	0
<i>STAR Property Tax Relief</i>	0	0	0	0	0
<i>Special Education Categorical Programs</i>	0	0	0	0	0
<i>All Other</i>	87,448	85,209	86,040	86,613	88,025
Higher Education Services Corporation	37,615	34,841	36,299	36,589	36,881
Higher Education Capital Grants	0	0	0	0	0
Slate University Construction Fund	10,353	12,004	12,578	12,672	12,767
Slate University of New York	3,036,115	3,142,600	3,257,043	3,282,696	3,310,958
<b>Functional Total</b>	<b>3,254,318</b>	<b>3,373,873</b>	<b>3,492,106</b>	<b>3,519,437</b>	<b>3,550,225</b>
<b>GENERAL GOVERNMENT</b>					
Audit and Control, Department of	110,480	113,373	112,362	114,517	118,142
Budget, Division of the	27,410	32,973	36,712	39,070	39,973
Civil Service, Department of	21,034	19,168	19,980	20,130	20,300
Elections, State Board of	4,081	3,470	3,631	3,639	3,686
Employee Relations, Office of	3,480	3,096	3,417	3,445	3,474
Executive Chamber	15,420	14,310	15,044	15,542	16,055
General Services, Office of **	60,928	59,442	57,728	57,938	58,837
Inspector General, Office of	5,700	5,485	5,755	5,799	5,860
Law, Department of	123,628	128,145	127,695	128,652	129,616
Lieutenant Governor, Office of the	79	0	230	1,006	1,016
Lottery, Division of	20,703	23,311	24,305	24,305	24,305
Public Employment Relations Board	3,150	3,389	3,666	3,689	3,724
Public Integrity, Commission on	3,631	3,588	3,730	4,053	4,197
Racing and Wagering Board, State	12,328	9,624	9,935	9,925	9,925
Real Property Services, Office of	23,648	22,157	21,948	22,118	22,322
Regulatory Reform, Governor's Office of	2,877	512	665	665	665
State, Department of	32,809	30,140	31,434	31,395	31,395
Tax Appeals, Division of	2,980	2,625	2,740	2,740	2,740
Taxation and Finance, Department of	275,743	302,357	314,199	314,250	314,250
Technology, Office for	10,256	10,852	12,093	12,167	12,287
Lobbying, Temporary State Commission on	(77)	0	0	0	0
Veterans Affairs, Division of	5,643	5,725	5,978	5,978	6,036
<b>Functional Total</b>	<b>765,931</b>	<b>793,742</b>	<b>813,247</b>	<b>821,023</b>	<b>828,805</b>

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
PERSONAL SERVICE SPENDING**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>ALL OTHER CATEGORIES</b>					
Legislature	166,856	169,817	164,784	164,784	164,784
Judiciary (excluding fringe benefits)	1,486,928	1,559,974	1,744,566	1,892,549	1,925,628
World Trade Center	0	0	0	0	0
Local Government Assistance	0	0	0	0	0
Long-Term Debt Service	0	0	0	0	0
General State Charges	0	0	0	0	0
Miscellaneous	17,679	279,274	144,588	144,657	131,825
<b>Functional Total</b>	<b>1,671,463</b>	<b>2,009,065</b>	<b>2,053,938</b>	<b>2,201,990</b>	<b>2,222,237</b>
<b>TOTAL PERSONAL SERVICE SPENDING</b>	<b>10,328,665</b>	<b>10,469,292</b>	<b>10,788,091</b>	<b>11,351,709</b>	<b>11,435,005</b>

GSC: Agency disbursements include grants to local governments, state operations and general state charges, which is a departure from prior Financial plan publications. In prior reports, general state charges were excluded from agency spending totals.

\*Unaudited Year-end Results

\*\* To facilitate comparability, the new Office of Procurement Services is reflected within the amounts shown for the Office of General Services.

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
NON-PERSONAL SERVICE SPENDING (includes Indirect Costs)**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	30,136	28,859	29,834	30,881	31,350
Alcoholic Beverage Control	4,407	5,055	5,183	5,306	5,420
Banking Department	16,769	15,800	16,334	15,846	15,846
Consumer Protection Board	697	624	668	760	780
Economic Development Capital Programs	0	0	0	0	0
Economic Development, Department of	21,471	21,732	20,983	21,581	21,581
Empire State Development Corporation	0	0	0	0	0
Energy Research and Development Authority	2,371	1,186	1,260	1,336	1,336
Housing and Community Renewal, Division of	18,491	19,259	19,683	20,466	20,938
Insurance Department	142,714	79,359	79,142	79,142	79,187
Olympic Regional Development Authority	2,161	3,830	4,035	4,245	4,245
Public Service, Department of	13,843	15,220	15,093	15,566	15,881
Science, Technology and Innovation, Foundation for	750	1,536	1,546	1,590	1,590
Strategic Investment	0	0	0	0	0
<b>Functional Total</b>	<b>253,810</b>	<b>192,460</b>	<b>193,761</b>	<b>196,709</b>	<b>198,154</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Adirondack Park Agency	726	693	693	693	693
Environmental Conservation, Department of	98,283	106,093	107,120	107,145	107,164
Environmental Facilities Corporation	1,183	1,210	1,213	1,216	1,219
Hudson River Park Trust	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	55,539	58,400	58,931	59,569	60,311
<b>Functional Total</b>	<b>155,731</b>	<b>166,396</b>	<b>167,957</b>	<b>168,623</b>	<b>169,387</b>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	19,415	24,667	25,088	26,290	26,290
Thruway Authority	0	0	0	0	0
Metropolitan Transportation Authority	0	0	0	0	0
Transportation, Department of	20,859	14,263	14,607	14,966	15,334
<b>Functional Total</b>	<b>40,274</b>	<b>38,930</b>	<b>39,695</b>	<b>41,256</b>	<b>41,624</b>
<b>HEALTH AND SOCIAL WELFARE</b>					
Aging, Office for the	467	448	448	471	471
Children and Family Services, Office of	94,408	99,824	104,543	106,914	111,706
OCFS	94,408	99,824	104,543	106,914	111,706
OCFS - Medicaid	0	0	0	0	0
Health, Department of	273,378	281,674	302,135	305,789	305,790
Medical Assistance	14,050	0	0	0	0
Medicaid Administration	0	0	0	0	0
Public Health	259,328	281,674	302,135	305,789	305,790
Health - Medicaid Assistance	0	0	0	0	0
Human Rights, Division of	2,886	3,056	3,056	3,056	3,056
Labor, Department of	14,951	14,374	13,379	13,740	13,740
Medicaid Inspector General, Office of	8,670	9,207	10,248	13,518	13,518
Prevention of Domestic Violence, Office for	570	382	393	405	413
Stem Cell and Innovation	7,256	46,321	71,500	50,000	167,826

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
NON-PERSONAL SERVICE SPENDING (includes Indirect Costs)**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>HEALTH AND SOCIAL WELFARE (Continued)</b>					
Temporary and Disability Assistance, Office of					
<i>Welfare Assistance</i>	33,724	47,998	54,753	57,484	59,016
<i>Welfare Administration</i>	0	0	0	0	0
<i>All Other</i>	33,724	47,998	54,753	57,484	59,016
Welfare Inspector General, Office of	41	0	0	0	0
Workers' Compensation Board	73,694	82,238	62,170	64,008	65,558
<b>Functional Total</b>	<b>510,145</b>	<b>585,522</b>	<b>622,625</b>	<b>615,385</b>	<b>741,094</b>
<b>MENTAL HYGIENE</b>					
Mental Health, Office of	224,900	216,762	239,987	247,053	256,689
<i>OMH</i>	66,226	109,824	126,287	129,077	134,434
<i>OMH - Medicaid</i>	158,674	106,938	113,700	117,976	122,255
Mental Hygiene, Department of	0	0	0	0	0
Mental Retardation and Developmental Disabilities, Office of	35,426	45,819	55,544	62,055	63,764
<i>OMRDD</i>	209	176	176	176	176
<i>OMRDD - Medicaid</i>	35,217	45,643	55,368	61,879	63,588
Alcoholism and Substance Abuse Services, Office of	24,017	19,550	20,395	21,396	22,238
OASAS	11,920	10,713	11,405	12,264	13,024
OASAS - Medicaid	12,097	8,837	8,990	9,132	9,214
Developmental Disabilities Planning Council	0	0	0	0	0
Quality of Care for the Mentally Disabled, Commission on	954	1,588	1,672	1,707	1,744
<b>Functional Total</b>	<b>285,297</b>	<b>283,719</b>	<b>317,598</b>	<b>332,211</b>	<b>344,435</b>
<b>PUBLIC PROTECTION</b>					
Capital Defenders Office	143	0	0	0	0
Correction, Commission of	447	490	502	516	526
Correctional Services, Department of	562,372	615,923	644,014	667,422	701,490
Crime Victims Board	923	1,064	1,092	1,118	1,138
Criminal Justice Services, Division of	48,557	45,229	47,526	47,101	45,953
Homeland Security	15,742	22,571	19,300	15,828	15,853
Investigation, Temporary State Commission of	986	0	0	0	0
Judicial Commissions	1,686	1,354	1,376	1,450	1,484
Military and Naval Affairs, Division of	18,133	22,626	15,395	13,722	14,009
Parole, Division of	35,607	37,385	39,324	41,859	42,886
Probation and Correctional Alternatives, Division of	384	473	517	527	541
State Police, Division of	110,407	93,827	98,412	97,931	92,435
<b>Functional Total</b>	<b>795,387</b>	<b>840,942</b>	<b>867,458</b>	<b>887,474</b>	<b>916,315</b>

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
NON-PERSONAL SERVICE SPENDING (includes Indirect Costs)**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>EDUCATION</b>					
Arts, Council on the	1,744	1,776	1,820	1,819	1,819
City University of New York	23,991	34,960	35,763	36,586	37,427
Education, Department of	47,115	47,839	46,385	46,882	48,725
<i>School Aid</i>	0	0	0	0	0
<i>School Aid - Medicaid Assistance</i>	0	0	0	0	0
<i>STAR Property Tax Relief</i>	0	0	0	0	0
<i>Special Education Categorical Programs</i>	0	0	0	0	0
<i>All Other</i>	47,115	47,839	46,385	46,882	48,725
Higher Education Services Corporation	41,247	98,056	59,664	61,383	63,164
Higher Education Capital Grants	0	0	0	0	0
State University Construction Fund	2,612	2,750	2,860	2,942	3,033
State University of New York	1,753,630	1,947,897	2,000,373	2,055,233	2,113,953
<b>Functional Total</b>	<b>1,870,339</b>	<b>2,133,278</b>	<b>2,146,865</b>	<b>2,204,845</b>	<b>2,268,121</b>
<b>GENERAL GOVERNMENT</b>					
Audit and Control, Department of	29,422	40,606	41,786	43,980	44,796
Budget, Division of the	14,899	41,445	44,400	54,715	63,790
Civil Service, Department of	2,564	2,272	2,324	2,377	2,443
Elections, State Board of	10,168	8,383	3,544	3,645	3,740
Employee Relations, Office of	214	369	378	388	398
Executive Chamber	3,832	2,767	2,979	3,105	2,869
General Services, Office of **	81,213	87,959	91,156	93,451	96,639
Inspector General, Office of	746	977	1,021	1,053	1,077
Law, Department of	67,908	63,019	61,869	66,216	67,392
Lieutenant Governor, Office of the	54	0	46	187	192
Lottery, Division of	170,764	154,420	158,248	158,248	158,248
Public Employment Relations Board	510	881	895	911	924
Public Integrity, Commission on	1,248	1,277	1,287	1,297	1,333
Racing and Wagering Board, State	6,278	6,767	6,940	6,935	6,935
Real Property Services, Office of	6,472	6,573	6,002	6,584	6,712
Regulatory Reform, Governor's Office of	561	30	32	32	32
State, Department of	18,757	17,369	17,274	17,252	17,252
Tax Appeals, Division of	442	400	412	412	412
Taxation and Finance, Department of	88,147	93,371	95,693	95,693	95,693
Technology, Office for	10,982	18,905	16,382	17,330	18,256
Lobbying, Temporary State Commission on	0	0	0	0	0
Veterans Affairs, Division of	548	869	856	866	873
<b>Functional Total</b>	<b>515,729</b>	<b>548,659</b>	<b>553,524</b>	<b>574,667</b>	<b>590,006</b>

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
NON-PERSONAL SERVICE SPENDING (includes Indirect Costs)  
(thousands of dollars)**

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>ALL OTHER CATEGORIES</b>					
Legislature	54,873	55,900	55,933	55,933	55,933
Judiciary (excluding fringe benefits)	351,801	309,389	318,043	327,614	340,622
World Trade Center	0	0	0	0	0
Local Government Assistance	0	0	0	0	0
Long-Term Debt Service	0	0	0	0	0
General State Charges	0	0	0	0	0
Miscellaneous	91,972	1,314	48,044	19,938	10,408
<b>Functional Total</b>	<u>498,646</u>	<u>366,603</u>	<u>422,020</u>	<u>403,485</u>	<u>406,963</u>
<b>TOTAL NON-PERSONAL SERVICE (includes Indirect Costs) SPENDING</b>	<u>4,925,358</u>	<u>5,156,509</u>	<u>5,331,503</u>	<u>5,424,655</u>	<u>5,676,099</u>

GSC: Agency disbursements include grants to local governments, state operations and general state charges, which is a departure from prior Financial plan publications. In prior reports, general state charges were excluded from agency spending totals.

\*Unaudited Year-end Results

\*\* To facilitate comparability, the new Office of Procurement Services is reflected within the amounts shown for the Office of General Services.

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
GENERAL STATE CHARGES SPENDING**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	3,374	4,311	4,974	5,478	5,826
Alcoholic Beverage Control	3,762	4,094	4,345	4,481	4,786
Banking Department	16,116	19,573	20,722	20,931	22,312
Consumer Protection Board	990	45	45	0	0
Economic Development Capital Programs	0	0	0	0	0
Economic Development, Department of	87	28	28	28	28
Empire State Development Corporation	0	0	0	0	0
Energy Research and Development Authority	1,693	1,712	1,764	1,817	1,817
Housing and Community Renewal, Division of	13,881	15,354	15,235	15,903	17,058
Insurance Department	35,520	41,497	43,679	44,702	48,349
Olympic Regional Development Authority	0	0	0	0	0
Public Service, Department of	21,079	19,239	21,475	22,553	24,282
Science, Technology and Innovation, Foundation for	0	0	0	0	0
Strategic Investment	0	0	0	0	0
<b>Functional Total</b>	<b>96,502</b>	<b>105,853</b>	<b>112,267</b>	<b>115,893</b>	<b>124,458</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Adirondack Park Agency	0	0	0	0	0
Environmental Conservation, Department of	40,629	41,045	34,973	33,892	34,112
Environmental Facilities Corporation	1,944	2,094	2,136	2,179	2,222
Hudson River Park Trust	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	3,506	2,897	3,368	3,154	3,155
<b>Functional Total</b>	<b>46,079</b>	<b>46,036</b>	<b>40,477</b>	<b>39,225</b>	<b>39,489</b>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	23,757	23,477	27,403	29,190	29,190
Thruway Authority	0	0	0	0	0
Metropolitan Transportation Authority	0	0	0	0	0
Transportation, Department of	2,870	3,497	3,410	3,544	3,686
<b>Functional Total</b>	<b>26,627</b>	<b>26,974</b>	<b>30,813</b>	<b>32,734</b>	<b>32,876</b>
<b>HEALTH AND SOCIAL WELFARE</b>					
Aging, Office for the	16	0	0	0	0
Children and Family Services, Office of	1,136	1,182	1,219	1,268	1,268
OCFS	1,136	1,182	1,219	1,268	1,268
OCFS - Medicaid	0	0	0	0	0
Health, Department of	35,009	39,791	39,559	41,438	41,438
Medical Assistance	0	0	0	0	0
Medicaid Administration	0	0	0	0	0
Public Health	35,009	39,791	39,559	41,438	41,438
Health - Medicaid Assistance	0	0	0	0	0
Human Rights, Division of	0	0	0	0	0
Labor, Department of	14,270	13,238	14,340	14,811	15,830
Medicaid Inspector General, Office of	152	110	126	126	126
Prevention of Domestic Violence, Office for	0	0	0	0	0
Stem Cell and Innovation	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
GENERAL STATE CHARGES SPENDING**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>HEALTH AND SOCIAL WELFARE (Continued)</b>					
Temporary and Disability Assistance, Office of	679	948	683	699	730
<i>Welfare Assistance</i>	0	0	0	0	0
<i>Welfare Administration</i>	0	0	0	0	0
<i>All Other</i>	679	948	683	699	730
Welfare Inspector General, Office of	0	0	0	0	0
Workers' Compensation Board	40,349	38,774	40,202	41,852	44,544
<b>Functional Total</b>	<b>91,611</b>	<b>94,043</b>	<b>96,129</b>	<b>100,194</b>	<b>103,936</b>
<b>MENTAL HYGIENE</b>					
Mental Health, Office of	233,604	185,109	209,262	300,579	324,267
<i>OMH</i>	156,466	144,796	152,754	153,712	172,565
<i>OMH - Medicaid</i>	77,138	40,313	56,508	140,867	151,702
Mental Hygiene, Department of	308,114	0	0	0	0
Mental Retardation and Developmental Disabilities, Office of	90,920	59,725	71,263	159,176	171,659
<i>OMRDD</i>	0	0	0	0	0
<i>OMRDD - Medicaid</i>	90,920	59,725	71,263	159,176	171,659
Alcoholism and Substance Abuse Services, Office of	17,324	21,337	22,513	23,680	25,601
OASAS	7,848	9,583	10,379	11,212	12,505
<i>OASAS - Medicaid</i>	9,476	11,754	12,134	12,468	13,096
Developmental Disabilities Planning Council	0	0	0	0	0
Quality of Care for the Mentally Disabled, Commission on	24	25	27	27	29
<b>Functional Total</b>	<b>649,986</b>	<b>266,196</b>	<b>303,065</b>	<b>483,462</b>	<b>521,556</b>
<b>PUBLIC PROTECTION</b>					
Capital Defenders Office	0	0	0	0	0
Correction, Commission of	0	0	0	0	0
Correctional Services, Department of	2,000	0	0	0	0
Crime Victims Board	8	1,660	1,736	1,785	1,919
Criminal Justice Services, Division of	82	53	66	66	66
Homeland Security	1,065	8	12	12	12
Investigation, Temporary State Commission of	0	0	0	0	0
Judicial Commissions	0	0	0	0	0
Military and Naval Affairs, Division of	837	887	898	921	1,041
Parole, Division of	0	0	0	0	0
Probation and Correctional Alternatives, Division of	0	0	0	0	0
State Police, Division of	17,607	23,354	21,928	22,611	24,163
<b>Functional Total</b>	<b>21,599</b>	<b>25,962</b>	<b>24,640</b>	<b>25,395</b>	<b>27,221</b>



**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
GENERAL STATE CHARGES SPENDING**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>EDUCATION</b>					
Arts, Council on the	0	0	0	0	0
City University of New York	4,648	7,600	7,775	7,954	8,137
Education, Department of	29,706	25,049	26,467	27,123	27,374
<i>School Aid</i>	0	0	0	0	0
<i>School Aid - Medicaid Assistance</i>	0	0	0	0	0
<i>STAR Property Tax Relief</i>	0	0	0	0	0
<i>Special Education Categorical Programs</i>	0	0	0	0	0
<i>All Other</i>	29,706	25,049	26,467	27,123	27,374
Higher Education Services Corporation	16,697	14,985	16,881	17,480	18,789
Higher Education Capital Grants	0	0	0	0	0
State University Construction Fund	3,517	4,832	5,554	5,849	6,022
State University of New York	437,020	473,986	501,802	503,717	506,292
<b>Functional Total</b>	<b>491,588</b>	<b>526,452</b>	<b>558,479</b>	<b>562,123</b>	<b>566,614</b>
<b>GENERAL GOVERNMENT</b>					
Audit and Control, Department of	1,207	1,532	1,585	1,591	1,734
Budget, Division of the	1,475	2,883	3,147	3,414	3,528
Civil Service, Department of	146	239	247	256	271
Elections, State Board of	0	0	0	0	0
Employee Relations, Office of	0	0	0	0	0
Executive Chamber	0	0	0	0	0
General Services, Office of **	1,830	1,763	1,867	1,939	2,042
Inspector General, Office of	0	0	0	0	0
Law, Department of	8,479	10,032	10,362	11,397	12,680
Lieutenant Governor, Office of the	0	0	0	0	0
Lottery, Division of	9,484	10,420	11,254	11,516	12,198
Public Employment Relations Board	0	0	0	0	0
Public Integrity, Commission on	0	0	0	0	0
Racing and Wagering Board, State	5,701	4,678	4,932	5,047	5,380
Real Property Services, Office of	10,806	3,669	1,190	1,234	1,339
Regulatory Reform, Governor's Office of	0	0	0	0	0
State, Department of	6,552	8,198	8,537	8,758	9,392
Tax Appeals, Division of	0	0	0	0	0
Taxation and Finance, Department of	8,464	16,123	16,876	17,264	18,380
Technology, Office for	0	0	0	0	0
Lobbying, Temporary State Commission on	0	0	0	0	0
Veterans Affairs, Division of	(6)	0	0	0	0
<b>Functional Total</b>	<b>54,139</b>	<b>59,538</b>	<b>59,997</b>	<b>62,416</b>	<b>66,944</b>

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
GENERAL STATE CHARGES SPENDING**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>ALL OTHER CATEGORIES</b>					
Legislature	0	0	0	0	0
Judiciary (excluding fringe benefits)	463,023	489,363	510,532	543,163	542,960
World Trade Center	0	0	0	0	0
Local Government Assistance	0	0	0	0	0
Long-Term Debt Service	0	0	0	0	0
General State Charges	2,443,102	3,035,762	3,336,744	3,610,540	4,022,379
Miscellaneous	6,391	8,068	8,082	8,270	8,565
<b>Functional Total</b>	<u>2,912,516</u>	<u>3,533,193</u>	<u>3,855,358</u>	<u>4,161,973</u>	<u>4,573,904</u>
<b>TOTAL GENERAL STATE CHARGES SPENDING</b>	<u>4,390,647</u>	<u>4,684,247</u>	<u>5,081,225</u>	<u>5,583,415</u>	<u>6,066,998</u>

GSC: Agency disbursements include grants to local governments, state operations and general state charges, which is a departure from prior Financial plan publications. In prior reports, general state charges were excluded from agency spending totals.

\*Unaudited Year-end Results

\*\* To facilitate comparability, the new Office of Procurement Services is reflected within the amounts shown for the Office of General Services.

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
CAPITAL PROJECTS SPENDING**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	0	0	0	0	0
Alcoholic Beverage Control	0	0	0	0	0
Banking Department	0	0	0	0	0
Consumer Protection Board	0	0	0	0	0
Economic Development Capital Programs	0	0	0	0	0
Economic Development, Department of	0	0	0	0	0
Empire State Development Corporation	0	0	0	0	0
Energy Research and Development Authority	0	0	0	0	0
Housing and Community Renewal, Division of	0	0	0	0	0
Insurance Department	0	0	0	0	0
Olympic Regional Development Authority	0	0	0	0	0
Public Service, Department of	0	0	0	0	0
Science, Technology and Innovation, Foundation for	0	0	0	0	0
Strategic Investment	0	0	0	0	0
<b>Functional Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Adirondack Park Agency	0	0	0	0	0
Environmental Conservation, Department of	0	0	0	0	0
Environmental Facilities Corporation	0	0	0	0	0
Hudson River Park Trust	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	8,317	2,000	2,000	2,000	2,000
<b>Functional Total</b>	<b>8,317</b>	<b>2,000</b>	<b>2,000</b>	<b>2,000</b>	<b>2,000</b>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	510	0	0	0	0
Thruway Authority	0	0	0	0	0
Metropolitan Transportation Authority	0	0	0	0	0
Transportation, Department of	5	0	0	0	0
<b>Functional Total</b>	<b>515</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>HEALTH AND SOCIAL WELFARE</b>					
Aging, Office for the	0	0	0	0	0
Children and Family Services, Office of	0	0	0	0	0
OCFS	0	0	0	0	0
OCFS - Medicaid	0	0	0	0	0
Health, Department of	0	0	0	0	0
Medical Assistance	0	0	0	0	0
Medicaid Administration	0	0	0	0	0
Public Health	0	0	0	0	0
Health - Medicaid Assistance	0	0	0	0	0
Human Rights, Division of	0	0	0	0	0
Labor, Department of	0	0	0	0	0
Medicaid Inspector General, Office of	0	0	0	0	0
Prevention of Domestic Violence, Office for	0	0	0	0	0
Stem Cell and Innovation	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
CAPITAL PROJECTS SPENDING**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>HEALTH AND SOCIAL WELFARE (Continued)</b>					
Temporary and Disability Assistance, Office of	0	0	0	0	0
<i>Welfare Assistance</i>	0	0	0	0	0
<i>Welfare Administration</i>	0	0	0	0	0
<i>All Other</i>	0	0	0	0	0
Welfare Inspector General, Office of	0	0	0	0	0
Workers' Compensation Board	0	0	0	0	0
<b>Functional Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>MENTAL HYGIENE</b>					
Mental Health, Office of	0	0	0	0	0
<i>OMH</i>	0	0	0	0	0
<i>OMH - Medicaid</i>	0	0	0	0	0
Mental Hygiene, Department of	0	0	0	0	0
Mental Retardation and Developmental Disabilities, Office of	0	0	0	0	0
<i>OMRDD</i>	0	0	0	0	0
<i>OMRDD - Medicaid</i>	0	0	0	0	0
Alcoholism and Substance Abuse Services, Office of	0	0	0	0	0
<i>OASAS</i>	0	0	0	0	0
<i>OASAS - Medicaid</i>	0	0	0	0	0
Developmental Disabilities Planning Council	0	0	0	0	0
Quality of Care for the Mentally Disabled, Commission on	0	0	0	0	0
<b>Functional Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>PUBLIC PROTECTION</b>					
Capital Defenders Office	0	0	0	0	0
Correction, Commission of	0	0	0	0	0
Correctional Services, Department of	0	1,000	0	0	0
Crime Victims Board	0	0	0	0	0
Criminal Justice Services, Division of	0	0	0	0	0
Homeland Security	0	0	0	0	0
Investigation, Temporary State Commission of	0	0	0	0	0
Judicial Commissions	0	0	0	0	0
Military and Naval Affairs, Division of	0	0	0	0	0
Parole, Division of	0	0	0	0	0
Probation and Correctional Alternatives, Division of	0	0	0	0	0
State Police, Division of	0	0	0	0	0
<b>Functional Total</b>	<b>0</b>	<b>1,000</b>	<b>0</b>	<b>0</b>	<b>0</b>

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
CAPITAL PROJECTS SPENDING**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>EDUCATION</b>					
Arts, Council on the	0	0	0	0	0
City University of New York	0	0	0	0	0
Education, Department of	0	0	0	0	0
<i>School Aid</i>	0	0	0	0	0
<i>School Aid - Medicaid Assistance</i>	0	0	0	0	0
<i>STAR Property Tax Relief</i>	0	0	0	0	0
<i>Special Education Categorical Programs</i>	0	0	0	0	0
<i>All Other</i>	0	0	0	0	0
Higher Education Services Corporation	0	0	0	0	0
Higher Education Capital Grants	0	0	0	0	0
State University Construction Fund	0	0	0	0	0
State University of New York	0	0	0	0	0
<b>Functional Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>GENERAL GOVERNMENT</b>					
Audit and Control, Department of	0	0	0	0	0
Budget, Division of the	0	0	0	0	0
Civil Service, Department of	0	0	0	0	0
Elections, State Board of	0	0	0	0	0
Employee Relations, Office of	0	0	0	0	0
Executive Chamber	0	0	0	0	0
General Services, Office of **	0	0	0	0	0
Inspector General, Office of	0	0	0	0	0
Law, Department of	0	0	0	0	0
Lieutenant Governor, Office of the	0	0	0	0	0
Lottery, Division of	0	0	0	0	0
Public Employment Relations Board	0	0	0	0	0
Public Integrity, Commission on	0	0	0	0	0
Racing and Wagering Board, State	0	0	0	0	0
Real Property Services, Office of	0	0	0	0	0
Regulatory Reform, Governor's Office of	0	0	0	0	0
State, Department of	0	0	0	0	0
Tax Appeals, Division of	0	0	0	0	0
Taxation and Finance, Department of	0	0	0	0	0
Technology, Office for	0	0	0	0	0
Lobbying, Temporary State Commission on	0	0	0	0	0
Veterans Affairs, Division of	0	0	0	0	0
<b>Functional Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
CAPITAL PROJECTS SPENDING  
(thousands of dollars)**

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>ALL OTHER CATEGORIES</b>					
Legislature	0	0	0	0	0
Judiciary (excluding fringe benefits)	0	0	0	0	0
World Trade Center	0	0	0	0	0
Local Government Assistance	0	0	0	0	0
Long-Term Debt Service	0	0	0	0	0
General State Charges	0	0	0	0	0
Miscellaneous	0	0	0	0	0
<b>Functional Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>TOTAL CAPITAL PROJECTS SPENDING</b>	<b>8,832</b>	<b>3,000</b>	<b>2,000</b>	<b>2,000</b>	<b>2,000</b>

\*Unaudited Year-end Results

\*\* To facilitate comparability, the new Office of Procurement Services is reflected within the amounts shown for the Office of General Services.

**CASH DISBURSEMENTS BY FUNCTION  
CAPITAL PROJECTS FUNDS  
TOTAL SPENDING  
(thousands of dollars)**

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	2,439	13,466	26,250	17,380	5,250
Alcoholic Beverage Control	0	0	0	0	0
Banking Department	0	0	0	0	0
Consumer Protection Board	0	0	0	0	0
Economic Development Capital Programs	78,649	78,675	144,050	160,599	170,890
Economic Development, Department of	0	0	0	0	0
Empire State Development Corporation	568,313	716,602	657,618	621,850	294,000
Energy Research and Development Authority	5,217	13,500	13,500	13,500	13,500
Housing and Community Renewal, Division of	111,140	372,235	102,112	97,863	93,262
Insurance Department	0	0	0	0	0
Olympic Regional Development Authority	2,925	2,000	0	0	0
Public Service, Department of	0	0	0	0	0
Science, Technology and Innovation, Foundation for	0	0	0	0	0
Strategic Investment	3,195	9,000	14,000	10,376	5,000
<b>Functional Total</b>	<b>771,878</b>	<b>1,205,478</b>	<b>957,530</b>	<b>921,568</b>	<b>581,902</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Adirondack Park Agency	0	0	0	0	0
Environmental Conservation, Department of	486,147	743,010	778,010	529,965	520,510
Environmental Facilities Corporation	4,873	343	343	343	343
Hudson River Park Trust	14,290	21,392	10,000	0	0
Parks, Recreation and Historic Preservation, Office of	88,270	101,050	43,050	32,050	32,050
<b>Functional Total</b>	<b>593,580</b>	<b>865,795</b>	<b>831,403</b>	<b>562,358</b>	<b>552,903</b>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	207,174	210,348	219,807	226,419	229,962
Thruway Authority	1,419	1,804	1,876	1,951	2,029
Metropolitan Transportation Authority	160,000	195,300	206,500	194,500	183,600
Transportation, Department of	3,424,915	4,120,701	4,617,411	4,352,045	4,117,569
<b>Functional Total</b>	<b>3,793,508</b>	<b>4,528,153</b>	<b>5,045,594</b>	<b>4,774,915</b>	<b>4,533,160</b>
<b>HEALTH AND SOCIAL WELFARE</b>					
Aging, Office for the	0	0	0	0	0
Children and Family Services, Office of	28,606	28,400	23,000	20,900	20,900
OCFS	28,606	28,400	23,000	20,900	20,900
OCFS - Medicaid	0	0	0	0	0
Health, Department of	225,038	376,076	411,183	554,465	224,420
Medical Assistance	0	0	0	0	0
Medicaid Administration	0	0	0	0	0
Public Health	225,038	376,076	411,183	554,465	224,420
Health - Medicaid Assistance	0	0	0	0	0
Human Rights, Division of	0	0	0	0	0
Labor, Department of	0	0	0	0	0
Medicaid Inspector General, Office of	0	0	0	0	0
Prevention of Domestic Violence, Office for	0	0	0	0	0
Stem Cell and Innovation	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION  
CAPITAL PROJECTS FUNDS  
TOTAL SPENDING**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>HEALTH AND SOCIAL WELFARE (Continued)</b>					
Temporary and Disability Assistance, Office of	31,600	30,390	35,000	35,000	35,000
<i>Welfare Assistance</i>	0	0	0	0	0
<i>Welfare Administration</i>	0	0	0	0	0
<i>All Other</i>	31,600	30,390	35,000	35,000	35,000
Welfare Inspector General, Office of	0	0	0	0	0
Workers' Compensation Board	0	0	0	0	0
<b>Functional Total</b>	<b>285,244</b>	<b>434,866</b>	<b>469,183</b>	<b>610,365</b>	<b>280,320</b>
<b>MENTAL HYGIENE</b>					
Mental Health, Office of	130,296	164,897	163,918	210,081	210,081
<i>OMH</i>	130,296	164,897	163,918	210,081	210,081
<i>OMH - Medicaid</i>	0	0	0	0	0
Mental Hygiene, Department of	0	0	0	0	0
Mental Retardation and Developmental Disabilities, Office of	49,526	40,894	41,709	47,069	49,099
<i>OMRDD</i>	49,526	40,894	41,709	47,069	49,099
<i>OMRDD - Medicaid</i>	0	0	0	0	0
Alcoholism and Substance Abuse Services, Office of	61,002	91,114	94,988	124,522	135,779
<i>OASAS</i>	61,002	91,114	94,988	124,522	135,779
<i>OASAS - Medicaid</i>	0	0	0	0	0
Developmental Disabilities Planning Council	0	0	0	0	0
Quality of Care for the Mentally Disabled, Commission on	0	0	0	0	0
<b>Functional Total</b>	<b>240,824</b>	<b>296,905</b>	<b>300,615</b>	<b>381,672</b>	<b>394,959</b>
<b>PUBLIC PROTECTION</b>					
Capital Defenders Office	0	0	0	0	0
Correction, Commission of	0	0	0	0	0
Correctional Services, Department of	279,151	314,500	323,000	330,000	330,000
Crime Victims Board	0	0	0	0	0
Criminal Justice Services, Division of	0	0	0	0	0
Homeland Security	3,225	1,225	0	0	0
Investigation, Temporary State Commission of	0	0	0	0	0
Judicial Commissions	0	0	0	0	0
Military and Naval Affairs, Division of	43,117	35,900	55,600	51,000	51,000
Parole, Division of	0	0	0	0	0
Probation and Correctional Alternatives, Division of	0	0	0	0	0
State Police, Division of	4,162	29,772	40,949	37,800	17,800
<b>Functional Total</b>	<b>329,655</b>	<b>381,397</b>	<b>419,549</b>	<b>418,800</b>	<b>398,800</b>



**CASH DISBURSEMENTS BY FUNCTION  
CAPITAL PROJECTS FUNDS  
TOTAL SPENDING**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>EDUCATION</b>					
Arts, Council on the	0	0	0	0	0
City University of New York	6,093	9,572	11,482	13,705	15,144
Education, Department of	14,345	62,850	63,130	40,215	22,320
<i>School Aid</i>	0	0	0	0	0
<i>School Aid - Medicaid Assistance</i>	0	0	0	0	0
<i>STAR Property Tax Relief</i>	0	0	0	0	0
<i>Special Education Categorical Programs</i>	0	0	0	0	0
<i>All Other</i>	14,345	62,850	63,130	40,215	22,320
Higher Education Services Corporation	0	0	0	0	0
Higher Education Capital Grants	4,254	67,746	40,000	38,000	0
State University Construction Fund	0	0	0	0	0
State University of New York	584,935	813,000	1,099,000	1,122,200	1,103,000
<b>Functional Total</b>	<b>609,627</b>	<b>953,168</b>	<b>1,213,612</b>	<b>1,214,120</b>	<b>1,140,464</b>
<b>GENERAL GOVERNMENT</b>					
Audit and Control, Department of	0	0	0	0	0
Budget, Division of the	0	0	0	0	0
Civil Service, Department of	0	0	0	0	0
Elections, State Board of	0	0	0	0	0
Employee Relations, Office of	0	0	0	0	0
Executive Chamber	0	0	0	0	0
General Services, Office of **	66,530	68,059	66,459	72,250	72,250
Inspector General, Office of	0	0	0	0	0
Law, Department of	0	0	0	0	0
Lieutenant Governor, Office of the	0	0	0	0	0
Lottery, Division of	0	0	0	0	0
Public Employment Relations Board	0	0	0	0	0
Public Integrity, Commission on	0	0	0	0	0
Racing and Wagering Board, State	0	0	0	0	0
Real Property Services, Office of	0	0	0	0	0
Regulatory Reform, Governor's Office of	0	0	0	0	0
State, Department of	18,920	5,225	2,750	2,750	2,750
Tax Appeals, Division of	0	0	0	0	0
Taxation and Finance, Department of	0	0	0	0	0
Technology, Office for	126	96,874	115,000	118,095	90,000
Lobbying, Temporary State Commission on	0	0	0	0	0
Veterans Affairs, Division of	0	0	0	0	0
<b>Functional Total</b>	<b>85,576</b>	<b>170,158</b>	<b>184,209</b>	<b>193,095</b>	<b>165,000</b>

**CASH DISBURSEMENTS BY FUNCTION  
CAPITAL PROJECTS FUNDS  
TOTAL SPENDING  
(thousands of dollars)**

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>ALL OTHER CATEGORIES</b>					
Legislature	0	0	0	0	0
Judiciary (excluding fringe benefits)	1,862	24,500	23,500	23,700	4,200
World Trade Center	48,622	54,119	44,119	34,118	20,000
Local Government Assistance	0	0	0	0	0
Long-Term Debt Service	0	0	0	0	0
General State Charges	0	0	0	0	0
Miscellaneous	70,160	(82,200)	(108,900)	(133,500)	(150,000)
<b>Functional Total</b>	<u>120,644</u>	<u>(3,581)</u>	<u>(41,281)</u>	<u>(75,682)</u>	<u>(125,800)</u>
<b>TOTAL CAPITAL PROJECTS FUNDS SPENDING</b>	<u>6,830,536</u>	<u>8,832,339</u>	<u>9,380,414</u>	<u>9,001,211</u>	<u>7,921,708</u>

\*Unaudited Year-end Results

\*\* To facilitate comparability, the new Office of Procurement Services is reflected within the amounts shown for the Office of General Services.

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

	2008-2009 Results*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
<b>Agriculture and Markets, Department of</b>					
Grants to Local Governments	109,631	109,190	122,793	116,827	105,495
State Operations	27,778	20,659	17,306	17,136	17,136
Personal Service	75,183	70,050	73,470	76,018	76,417
Non-Personal Service/Indirect Cost	34,900	33,005	35,447	36,948	36,878
General State Charges	40,283	37,045	38,023	39,070	39,539
Capital Projects	4,231	5,015	5,767	6,293	6,692
	2,439	13,466	26,250	17,380	5,250
<b>Alcoholic Beverage Control</b>					
Grants to Local Governments	17,022	18,075	18,781	19,114	19,607
State Operations	0	0	0	0	0
Personal Service	13,260	13,981	14,436	14,633	14,821
Non-Personal Service/Indirect Cost	8,853	8,926	9,253	9,327	9,401
General State Charges	4,407	5,055	5,183	5,306	5,420
	3,762	4,094	4,345	4,481	4,786
<b>Banking Department</b>					
Grants to Local Governments	78,971	79,009	81,698	80,831	82,212
State Operations	661	1,000	0	0	0
Personal Service	62,194	58,436	60,976	59,900	59,900
Non-Personal Service/Indirect Cost	45,425	42,636	44,642	44,054	44,054
General State Charges	16,769	15,800	16,334	15,846	15,846
	16,116	19,573	20,722	20,931	22,312
<b>Consumer Protection Board</b>					
Grants to Local Governments	3,840	3,096	3,266	3,231	3,321
State Operations	0	0	0	0	0
Personal Service	2,850	3,051	3,221	3,231	3,321
Non-Personal Service/Indirect Cost	2,153	2,427	2,553	2,471	2,541
General State Charges	697	624	668	760	780
	990	45	45	0	0
<b>Economic Development Capital Programs</b>					
Grants to Local Governments	21,176	18,300	0	0	0
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
Capital Projects	21,176	18,300	0	0	0
<b>Economic Development, Department of</b>					
Grants to Local Governments	104,306	106,845	187,389	203,966	214,257
State Operations	38,017	10,565	7,683	7,015	7,015
Personal Service	35,136	35,877	35,628	36,324	36,324
Non-Personal Service/Indirect Cost	13,665	13,900	14,400	14,498	14,498
General State Charges	21,471	21,977	21,228	21,826	21,826
Capital Projects	87	28	28	28	28
	31,066	60,375	144,050	160,599	170,890

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS**  
(thousands of dollars)

	2008-2009 Results*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>Empire State Development Corporation</b>					
Grants to Local Governments	620,568	749,723	695,739	658,604	330,754
State Operations	159,326	33,121	38,121	36,754	36,754
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
Capital Projects	461,242	716,602	657,618	621,850	294,000
<b>Energy Research and Development Authority</b>					
Grants to Local Governments	22,786	29,560	29,798	30,041	30,041
State Operations	10,014	9,234	9,234	9,234	9,234
Personal Service	5,862	5,114	5,300	5,490	5,490
Non-Personal Service/Indirect Cost	3,446	3,928	4,040	4,154	4,154
General State Charges	2,416	1,186	1,260	1,336	1,336
Capital Projects	1,693	1,712	1,764	1,817	1,817
	5,217	13,500	13,500	13,500	13,500
<b>Housing and Community Renewal, Division of</b>					
Grants to Local Governments	320,605	878,541	441,678	303,489	302,846
State Operations	225,024	535,757	350,081	208,919	204,318
Personal Service	75,870	71,869	73,737	76,042	78,251
Non-Personal Service/Indirect Cost	56,442	49,631	51,140	52,538	53,737
General State Charges	19,428	22,238	22,597	23,504	24,514
Capital Projects	16,420	17,793	17,860	18,528	20,277
Debt Service	3,291	253,122	0	0	0
	0	0	0	0	0
<b>Insurance Department</b>					
Grants to Local Governments	292,668	521,987	540,616	564,639	569,531
State Operations	10,203	307,489	321,389	344,389	344,389
Personal Service	246,945	173,001	175,548	175,548	176,793
Non-Personal Service/Indirect Cost	104,231	93,642	96,406	96,406	97,606
General State Charges	142,714	79,359	79,142	79,142	79,187
	35,520	41,497	43,679	44,702	48,349
<b>Olympic Regional Development Authority</b>					
Grants to Local Governments	9,503	9,509	7,714	7,924	7,924
State Operations	2,825	0	0	0	0
Personal Service	6,578	7,509	7,714	7,924	7,924
Non-Personal Service/Indirect Cost	4,417	3,679	3,679	3,679	3,679
General State Charges	2,161	3,830	4,035	4,245	4,245
Capital Projects	0	0	0	0	0
	100	2,000	0	0	0

**CASH DISBURSEMENTS BY FUNCTION**  
**ALL GOVERNMENTAL FUNDS**  
(thousands of dollars)

	2008-2009 Results*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>Public Service, Department of</b>					
Grants to Local Governments	78,697	79,427	84,615	87,440	90,004
State Operations	0	400	400	400	400
Personal Service	57,224	59,407	62,287	64,023	64,825
Non-Personal Service/Indirect Cost	43,160	43,800	46,806	48,079	48,548
General State Charges	14,064	15,607	15,481	15,944	16,277
Capital Projects	21,473	19,620	21,928	23,017	24,779
<b>Science, Technology and Innovation, Foundation for</b>					
Grants to Local Governments	27,186	26,122	26,674	27,455	27,455
State Operations	24,248	22,365	22,835	24,245	24,245
Personal Service	2,942	3,757	3,839	3,900	3,900
Non-Personal Service/Indirect Cost	2,192	2,221	2,293	2,310	2,310
General State Charges	750	1,536	1,546	1,590	1,590
Capital Projects	0	0	0	0	0
	(4)	0	0	(690)	(690)
<b>Strategic Investment</b>					
Grants to Local Governments	3,195	9,000	14,000	10,376	5,000
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
Capital Projects	3,195	9,000	14,000	10,376	5,000
<b>Functional Total</b>	<b>1,710,154</b>	<b>2,638,384</b>	<b>2,254,761</b>	<b>2,113,937</b>	<b>1,788,447</b>
<b>PARKS AND THE ENVIRONMENT</b>					
<b>Adirondack Park Agency</b>					
Grants to Local Governments	5,510	5,567	5,738	5,741	5,743
State Operations	25	0	0	0	0
Personal Service	5,485	5,567	5,738	5,741	5,743
Non-Personal Service/Indirect Cost	4,547	4,524	4,695	4,698	4,700
General State Charges	938	1,043	1,043	1,043	1,043
Capital Projects	0	0	0	0	0
<b>Environmental Conservation, Department of</b>					
Grants to Local Governments	878,910	1,151,980	1,165,955	917,116	908,565
State Operations	136,147	151,290	127,936	127,537	127,537
Personal Service	331,316	323,580	331,152	331,838	332,522
Non-Personal Service/Indirect Cost	213,786	200,931	207,476	208,137	208,802
General State Charges	117,530	122,649	123,676	123,701	123,720
Capital Projects	52,519	55,100	49,857	48,776	48,996
	358,928	622,010	657,010	408,965	399,510

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

	2008-2009 Results*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b><i>Environmental Facilities Corporation</i></b>	<b>14,758</b>	<b>9,967</b>	<b>10,246</b>	<b>10,428</b>	<b>10,612</b>
Grants to Local Governments	4,400	0	0	0	0
State Operations	7,941	7,530	7,767	7,906	8,047
Personal Service	6,758	6,320	6,554	6,690	6,828
Non-Personal Service/Indirect Cost	1,183	1,210	1,213	1,216	1,219
General State Charges	1,944	2,094	2,136	2,179	2,222
Capital Projects	473	343	343	343	343
<b><i>Hudson River Park Trust</i></b>	<b>14,290</b>	<b>21,392</b>	<b>10,000</b>	<b>0</b>	<b>0</b>
Grants to Local Governments	0	15,000	10,000	0	0
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
Capital Projects	14,290	6,392	0	0	0
<b><i>Parks, Recreation and Historic Preservation, Office of</i></b>	<b>337,061</b>	<b>312,021</b>	<b>260,581</b>	<b>247,962</b>	<b>249,580</b>
Grants to Local Governments	43,259	23,670	23,670	20,820	20,820
State Operations	201,247	181,897	187,976	189,421	191,038
Personal Service	142,642	122,412	127,960	128,767	129,642
Non-Personal Service/Indirect Cost	58,605	59,485	60,016	60,654	61,396
General State Charges	4,033	3,404	3,885	3,671	3,672
Capital Projects	88,522	103,050	45,050	34,050	34,050
<b>Functional Total</b>	<b>1,250,529</b>	<b>1,500,927</b>	<b>1,452,520</b>	<b>1,181,247</b>	<b>1,174,500</b>
<b>TRANSPORTATION</b>					
<b><i>Motor Vehicles, Department of</i></b>	<b>318,270</b>	<b>325,285</b>	<b>340,192</b>	<b>350,227</b>	<b>353,770</b>
Grants to Local Governments	13,354	13,200	13,200	13,200	13,200
State Operations	73,038	77,703	79,177	80,775	80,775
Personal Service	52,007	51,173	52,342	52,694	52,694
Non-Personal Service/Indirect Cost	21,031	26,530	26,835	28,081	28,081
General State Charges	24,194	24,034	28,008	29,833	29,833
Capital Projects	207,684	210,348	219,807	226,419	229,962
<b><i>Thruway Authority</i></b>	<b>1,419</b>	<b>1,804</b>	<b>1,876</b>	<b>1,951</b>	<b>2,029</b>
Grants to Local Governments	1,419	0	0	0	0
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
Capital Projects	0	1,804	1,876	1,951	2,029

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

	2008-2009 Results*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>Metropolitan Transportation Authority</b>	<b>160,000</b>	<b>195,300</b>	<b>206,500</b>	<b>194,500</b>	<b>183,600</b>
Grants to Local Governments	160,000	0	0	0	0
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
Capital Projects	0	195,300	206,500	194,500	183,600
<b>Transportation, Department of</b>	<b>6,498,414</b>	<b>6,810,399</b>	<b>7,347,377</b>	<b>7,081,440</b>	<b>6,855,123</b>
Grants to Local Governments	3,476,977	3,042,137	3,080,831	3,078,577	3,084,881
State Operations	52,969	39,550	40,831	42,112	43,434
Personal Service	16,404	17,183	17,903	18,604	19,331
Non-Personal Service/Indirect Cost	36,565	22,367	22,928	23,508	24,103
General State Charges	6,320	8,211	8,504	8,906	9,439
Capital Projects	2,962,148	3,720,501	4,217,211	3,951,845	3,717,369
<b>FUNCTIONAL TOTAL</b>	<b>6,978,103</b>	<b>7,332,788</b>	<b>7,895,945</b>	<b>7,628,118</b>	<b>7,394,522</b>
<b>HEALTH AND SOCIAL WELFARE</b>					
<b>Aging, Office for the</b>	<b>239,660</b>	<b>227,132</b>	<b>230,296</b>	<b>229,686</b>	<b>229,686</b>
Grants to Local Governments	228,978	216,353	219,211	218,633	218,633
State Operations	10,536	10,553	10,869	10,790	10,790
Personal Service	8,499	8,720	9,036	9,199	9,199
Non-Personal Service/Indirect Cost	2,037	1,833	1,833	1,591	1,591
General State Charges	146	226	216	263	263
<b>Children and Family Services, Office of</b>	<b>3,143,806</b>	<b>3,327,059</b>	<b>3,466,221</b>	<b>3,570,622</b>	<b>3,722,697</b>
<b>Children and Family Services</b>	<b>3,097,973</b>	<b>3,256,215</b>	<b>3,349,535</b>	<b>3,432,267</b>	<b>3,580,011</b>
Grants to Local Governments	2,630,595	2,799,400	2,885,143	2,968,010	3,106,179
State Operations	427,407	417,399	430,139	431,933	441,508
Personal Service	227,893	220,375	228,026	229,217	233,168
Non-Personal Service/Indirect Cost	199,514	197,024	202,113	202,716	208,340
General State Charges	11,378	11,016	11,253	11,424	11,424
Capital Projects	28,593	28,400	23,000	20,900	20,900
<b>Children and Family Services - Medicaid</b>	<b>45,833</b>	<b>70,844</b>	<b>116,686</b>	<b>138,355</b>	<b>142,686</b>
Grants to Local Governments	45,833	70,844	116,686	138,355	142,686
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS**  
(thousands of dollars)

	2008-2009 Results*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>Health, Department of</b>	<b>38,097,712</b>	<b>41,689,321</b>	<b>44,116,173</b>	<b>47,156,679</b>	<b>48,176,383</b>
<b>Medical Assistance</b>	<b>32,427,350</b>	<b>36,017,967</b>	<b>38,410,425</b>	<b>41,261,545</b>	<b>42,420,513</b>
Grants to Local Governments	32,412,800	36,017,967	38,410,425	41,261,545	42,420,513
State Operations	14,550	0	0	0	0
Personal Service	500	0	0	0	0
Non-Personal Service/Indirect Cost	14,050	0	0	0	0
General State Charges	0	0	0	0	0
<b>Medicaid Administration</b>	<b>900,664</b>	<b>915,500</b>	<b>959,500</b>	<b>1,003,750</b>	<b>1,049,750</b>
Grants to Local Governments	900,664	915,500	959,500	1,003,750	1,049,750
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
<b>Public Health</b>	<b>4,769,698</b>	<b>4,755,854</b>	<b>4,746,248</b>	<b>4,891,384</b>	<b>4,706,120</b>
Grants to Local Governments	3,922,460	3,558,780	3,475,265	3,496,135	3,640,465
State Operations	777,042	792,456	830,400	811,431	811,882
Personal Service	355,038	319,282	336,765	340,142	340,592
Non-Personal Service/Indirect Cost	422,004	473,174	493,635	471,289	471,290
General State Charges	60,110	64,067	64,925	64,878	64,878
Capital Projects	10,086	340,551	375,658	518,940	188,895
Debt Service	0	0	0	0	0
<b>Human Rights, Division of</b>	<b>19,043</b>	<b>22,579</b>	<b>21,103</b>	<b>21,159</b>	<b>21,351</b>
Grants to Local Governments	0	0	0	0	0
State Operations	18,821	19,256	18,798	18,750	18,792
Personal Service	13,192	12,715	14,668	14,757	14,788
Non-Personal Service/Indirect Cost	5,629	6,541	4,130	3,993	4,004
General State Charges	222	3,323	2,305	2,409	2,559
<b>Labor, Department of</b>	<b>581,613</b>	<b>917,791</b>	<b>736,053</b>	<b>629,520</b>	<b>620,267</b>
Grants to Local Governments	170,863	346,997	250,841	174,149	174,149
State Operations	323,704	466,298	378,076	351,875	337,840
Personal Service	204,272	242,727	232,765	217,411	213,411
Non-Personal Service/Indirect Cost	119,432	223,571	145,311	134,464	124,429
General State Charges	87,046	104,496	107,136	103,496	108,278
<b>Medicaid Inspector General, Office of</b>	<b>61,224</b>	<b>80,022</b>	<b>82,520</b>	<b>85,937</b>	<b>85,937</b>
Grants to Local Governments	0	0	0	0	0
State Operations	54,227	72,833	74,993	78,410	78,410
Personal Service	34,872	50,616	51,735	51,882	51,882
Non-Personal Service/Indirect Cost	19,355	22,217	23,258	26,528	26,528
General State Charges	6,997	7,189	7,527	7,527	7,527



**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

	2008-2009 Results*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>Prevention of Domestic Violence, Office for</b>					
Grants to Local Governments	2,482	2,374	2,311	2,323	2,344
State Operations	792	843	685	685	685
Personal Service	1,690	1,531	1,626	1,638	1,659
Non-Personal Service/Indirect Cost	1,120	1,149	1,233	1,233	1,246
General State Charges	570	382	393	405	413
	0	0	0	0	0
<b>Stem Cell and Innovation</b>					
Grants to Local Governments	7,797	46,321	71,500	50,000	167,826
State Operations	0	0	0	0	0
Personal Service	7,797	46,321	71,500	50,000	167,826
Non-Personal Service/Indirect Cost	541	0	0	0	0
General State Charges	7,256	46,321	71,500	50,000	167,826
	0	0	0	0	0
<b>Temporary and Disability Assistance, Office of</b>					
Grants to Local Governments	5,084,635	5,146,806	5,045,459	5,120,793	5,132,029
State Operations	3,339,685	3,707,723	3,593,383	3,694,344	3,696,450
Personal Service	3,339,685	3,707,723	3,593,383	3,694,344	3,696,450
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
	0	0	0	0	0
<b>Welfare Administration</b>					
Grants to Local Governments	361,065	56,433	55,041	55,041	55,041
State Operations	361,065	56,433	55,041	55,041	55,041
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
	0	0	0	0	0
<b>All Other</b>					
Grants to Local Governments	1,383,885	1,382,650	1,397,035	1,371,408	1,380,538
State Operations	992,502	992,638	986,729	954,845	956,161
Personal Service	321,379	319,594	331,487	336,337	340,688
Non-Personal Service/Indirect Cost	157,824	150,440	153,644	154,293	155,467
General State Charges	163,555	169,154	177,843	182,044	185,221
Capital Projects	38,404	40,028	43,819	45,226	48,689
	31,600	30,390	35,000	35,000	35,000

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

	2008-2009 Results*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>Welfare Inspector General, Office of</b>					
Grants to Local Governments	1,180	1,403	1,432	1,456	1,472
State Operations	0	0	0	0	0
Personal Service	1,095	1,191	1,225	1,242	1,251
Non-Personal Service/Indirect Cost	581	738	760	766	766
General State Charges	514	453	465	476	485
	85	212	207	214	221
<b>Workers' Compensation Board</b>					
Grants to Local Governments	205,090	209,201	193,424	197,598	202,483
State Operations	0	0	0	0	0
Personal Service	164,741	170,427	153,222	155,746	157,939
Non-Personal Service/Indirect Cost	87,462	84,657	87,520	88,206	88,849
General State Charges	77,279	85,770	65,702	67,540	69,090
	40,349	38,774	40,202	41,852	44,544
<b>Functional Total</b>	<b>47,444,242</b>	<b>51,670,009</b>	<b>53,966,492</b>	<b>57,065,773</b>	<b>58,362,475</b>

**MENTAL HEALTH**

<b>Mental Health, Office of</b>					
<b>Office of Mental Health</b>					
Grants to Local Governments	3,084,590	3,246,186	3,515,210	3,697,727	3,817,148
State Operations	1,423,983	1,496,517	1,649,787	1,776,465	1,822,807
Personal Service	732,821	827,144	953,422	1,065,639	1,090,187
Non-Personal Service/Indirect Cost	445,755	430,311	460,324	470,100	479,041
General State Charges	379,190	320,322	333,872	340,858	344,442
Capital Projects	66,565	109,989	126,452	129,242	134,599
	156,932	145,240	153,198	160,156	173,009
	88,475	93,822	82,843	80,570	80,570
<b>Office of Mental Health - Medicaid</b>					
Grants to Local Governments	1,660,607	1,749,669	1,865,423	1,921,262	1,994,341
State Operations	399,328	401,162	418,812	446,192	468,662
Personal Service	946,516	1,009,753	1,079,470	1,095,297	1,115,835
Non-Personal Service/Indirect Cost	724,877	756,668	807,773	815,612	823,845
General State Charges	221,639	253,085	271,697	279,685	291,990
	314,763	338,754	367,141	379,773	409,844

**CASH DISBURSEMENTS BY FUNCTION**  
**ALL GOVERNMENTAL FUNDS**  
(thousands of dollars)

	2008-2009 Results*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>Mental Hygiene, Department of</b>					
Grants to Local Governments	308,318	1,570	1,997	1,484	1,484
State Operations	0	0	0	0	0
Personal Service	204	1,570	1,997	1,484	1,484
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	308,114	0	0	0	0
Debt Service	0	0	0	0	0
	<b>4,183,851</b>	<b>4,220,703</b>	<b>4,443,119</b>	<b>4,607,926</b>	<b>4,795,937</b>
<b>Mental Retardation and Developmental Disabilities, Office of</b>					
<b>Office of Mental Retardation</b>					
Grants to Local Governments	559,080	544,435	551,643	569,908	593,245
State Operations	493,938	472,041	479,177	492,631	514,184
Personal Service	33,947	33,496	33,582	33,632	33,782
Non-Personal Service/Indirect Cost	46	80	116	116	116
General State Charges	33,901	33,416	33,466	33,516	33,666
Capital Projects	10	33	54	55	59
	31,185	38,865	38,830	43,590	45,220
	<b>3,624,771</b>	<b>3,676,268</b>	<b>3,891,476</b>	<b>4,038,018</b>	<b>4,202,592</b>
<b>Office of Mental Retardation - Medicaid</b>					
Grants to Local Governments	1,578,401	1,648,810	1,746,661	1,851,508	1,947,701
State Operations	1,495,140	1,526,669	1,609,912	1,633,700	1,657,636
Personal Service	1,126,954	1,104,381	1,163,328	1,173,668	1,186,914
Non-Personal Service/Indirect Cost	368,186	422,288	446,584	460,032	470,722
General State Charges	551,230	500,789	534,903	552,810	597,255
	<b>584,954</b>	<b>647,810</b>	<b>686,399</b>	<b>760,870</b>	<b>796,435</b>
<b>Alcoholism and Substance Abuse Services, Office of</b>					
<b>Alcoholism and Substance Abuse Services</b>					
Grants to Local Governments	484,789	545,856	579,021	650,770	684,794
State Operations	435,188	484,796	519,636	584,519	616,217
Personal Service	40,065	38,154	39,879	41,798	42,159
Non-Personal Service/Indirect Cost	26,400	25,456	27,144	28,219	27,804
General State Charges	13,665	12,698	12,735	13,579	14,355
Capital Projects	7,855	11,783	12,884	13,786	15,251
	1,681	11,123	6,622	10,667	11,167
	<b>100,165</b>	<b>101,954</b>	<b>107,378</b>	<b>110,100</b>	<b>111,641</b>
<b>Alcoholism and Substance Abuse Services - Medicaid</b>					
Grants to Local Governments	32,680	32,859	36,395	38,185	38,196
State Operations	51,969	50,894	52,056	52,489	52,841
Personal Service	39,872	41,461	42,446	42,737	43,001
Non-Personal Service/Indirect Cost	12,097	9,433	9,610	9,752	9,840
General State Charges	15,516	18,201	18,927	19,426	20,604
	<b>4,915</b>	<b>4,200</b>	<b>4,200</b>	<b>4,200</b>	<b>4,200</b>
<b>Developmental Disabilities Planning Council</b>					
Grants to Local Governments	0	0	0	0	0
State Operations	4,502	3,727	3,665	3,658	3,617
Personal Service	1,213	1,181	1,197	1,197	1,197
Non-Personal Service/Indirect Cost	3,289	2,546	2,468	2,461	2,420
General State Charges	413	473	535	542	583

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

	2008-2009 Results*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>Quality of Care for the Mentally Disabled, Commission on</b>					
Grants to Local Governments	15,207	16,676	18,319	18,404	18,612
State Operations	789	723	912	889	889
Personal Service	12,889	14,338	15,535	15,595	15,675
Non-Personal Service/Indirect Cost	6,907	7,450	8,121	8,146	8,189
General State Charges	5,982	6,888	7,414	7,449	7,486
	1,529	1,615	1,872	1,920	2,048
<b>Functional Total</b>	<b>8,181,835</b>	<b>8,137,145</b>	<b>8,669,244</b>	<b>9,090,611</b>	<b>9,433,716</b>
<b>PUBLIC PROTECTION</b>					
<b>Capital Defenders Office</b>					
Grants to Local Governments	370	0	0	0	0
State Operations	0	0	0	0	0
Personal Service	370	0	0	0	0
Non-Personal Service/Indirect Cost	227	0	0	0	0
General State Charges	143	0	0	0	0
	0	0	0	0	0
<b>Correction, Commission of</b>					
Grants to Local Governments	2,687	2,658	2,785	2,814	2,848
State Operations	0	0	0	0	0
Personal Service	2,682	2,658	2,785	2,814	2,848
Non-Personal Service/Indirect Cost	2,231	2,168	2,283	2,298	2,322
General State Charges	451	490	502	516	526
	5	0	0	0	0
<b>Correctional Services, Department of</b>					
Grants to Local Governments	2,699,307	2,672,125	2,698,627	2,724,797	2,763,547
State Operations	1,666	2,740	300	243	243
Personal Service	2,415,559	2,352,616	2,373,933	2,393,139	2,431,889
Non-Personal Service/Indirect Cost	1,852,314	1,735,564	1,728,780	1,724,567	1,729,249
General State Charges	563,245	617,052	645,153	668,572	702,640
Capital Projects	2,931	1,269	1,394	1,415	1,415
	279,151	315,500	323,000	330,000	330,000
<b>Crime Victims Board</b>					
Grants to Local Governments	65,521	69,822	65,216	65,318	65,511
State Operations	59,177	60,431	55,668	55,668	55,668
Personal Service	6,306	7,418	7,486	7,539	7,598
Non-Personal Service/Indirect Cost	4,957	4,702	4,742	4,769	4,808
General State Charges	1,349	2,716	2,744	2,770	2,790
	38	1,973	2,062	2,111	2,245

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS**  
(thousands of dollars)

	2008-2009 Results*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>Criminal Justice Services, Division of</b>	<b>295,559</b>	<b>273,675</b>	<b>269,244</b>	<b>253,587</b>	<b>233,034</b>
Grants to Local Governments	194,887	161,389	156,980	141,439	130,439
State Operations	100,535	110,844	110,532	110,979	100,979
Personal Service	42,470	48,035	46,292	46,601	44,946
Non-Personal Service/Indirect Cost	58,065	62,809	64,356	63,931	56,033
General State Charges	137	1,442	1,616	1,616	1,616
<b>Homeland Security</b>	<b>108,459</b>	<b>362,166</b>	<b>285,458</b>	<b>551,984</b>	<b>549,093</b>
Grants to Local Governments	72,145	280,742	202,302	467,190	467,190
State Operations	32,024	78,879	81,766	83,264	80,458
Personal Service	13,500	45,348	48,615	53,576	50,740
Non-Personal Service/Indirect Cost	18,524	33,531	33,151	29,688	29,718
General State Charges	1,065	1,320	1,390	1,530	1,445
Capital Projects	3,225	1,225	0	0	0
<b>Investigation, Temporary State Commission of</b>	<b>3,554</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Grants to Local Governments	0	0	0	0	0
State Operations	3,554	0	0	0	0
Personal Service	2,568	0	0	0	0
Non-Personal Service/Indirect Cost	986	0	0	0	0
General State Charges	0	0	0	0	0
<b>Judicial Commissions</b>	<b>5,288</b>	<b>5,214</b>	<b>5,208</b>	<b>5,311</b>	<b>5,385</b>
Grants to Local Governments	0	0	0	0	0
State Operations	5,288	5,214	5,208	5,311	5,385
Personal Service	3,602	3,860	3,832	3,861	3,901
Non-Personal Service/Indirect Cost	1,686	1,354	1,376	1,450	1,484
General State Charges	0	0	0	0	0
<b>Military and Naval Affairs, Division of</b>	<b>234,686</b>	<b>308,508</b>	<b>222,387</b>	<b>188,491</b>	<b>189,502</b>
Grants to Local Governments	93,568	188,989	87,835	59,466	59,466
State Operations	90,272	74,622	68,608	67,415	67,595
Personal Service	56,882	33,212	34,777	34,937	35,152
Non-Personal Service/Indirect Cost	33,390	41,410	33,831	32,478	32,443
General State Charges	7,729	8,997	10,344	10,610	11,441
Capital Projects	43,117	35,900	55,600	51,000	51,000
<b>Parole, Division of</b>	<b>196,590</b>	<b>188,700</b>	<b>191,630</b>	<b>195,984</b>	<b>199,977</b>
Grants to Local Governments	23,453	16,301	10,999	12,582	14,129
State Operations	173,137	172,399	180,631	183,402	185,848
Personal Service	137,530	135,014	141,307	141,543	142,962
Non-Personal Service/Indirect Cost	35,607	37,385	39,324	41,859	42,886
General State Charges	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION**  
**ALL GOVERNMENTAL FUNDS**  
(thousands of dollars)

	2008-2009 Results*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>Probation and Correctional Alternatives, Division of</b>					
Grants to Local Governments	79,273	69,144	70,783	76,971	78,506
State Operations	76,522	66,691	68,200	74,358	75,858
Personal Service	2,664	2,451	2,580	2,610	2,645
Non-Personal Service/Indirect Cost	2,259	1,978	2,063	2,083	2,104
General State Charges	405	473	517	527	541
	87	2	3	3	3
<b>State Police, Division of</b>	<b>653,750</b>	<b>740,746</b>	<b>736,005</b>	<b>732,627</b>	<b>708,703</b>
Grants to Local Governments	0	0	0	0	0
State Operations	631,514	686,633	672,141	671,229	665,733
Personal Service	518,562	590,885	571,808	572,177	572,177
Non-Personal Service/Indirect Cost	112,952	95,748	100,333	99,052	93,556
General State Charges	18,074	24,341	22,915	23,598	25,170
Capital Projects	4,162	29,772	40,949	37,800	17,800
<b>Functional Total</b>	<b>4,345,044</b>	<b>4,692,758</b>	<b>4,547,343</b>	<b>4,797,884</b>	<b>4,796,106</b>
<b>EDUCATION</b>					
<b>Arts, Council on the</b>	<b>45,842</b>	<b>49,183</b>	<b>48,729</b>	<b>48,827</b>	<b>48,827</b>
Grants to Local Governments	40,344	43,528	42,820	42,918	42,918
State Operations	5,498	5,655	5,909	5,909	5,909
Personal Service	3,754	3,779	3,989	3,990	3,990
Non-Personal Service/Indirect Cost	1,744	1,876	1,920	1,919	1,919
General State Charges	0	0	0	0	0
<b>City University of New York</b>	<b>1,071,277</b>	<b>1,716,892</b>	<b>1,502,408</b>	<b>1,549,843</b>	<b>1,563,274</b>
Grants to Local Governments	957,512	1,569,320	1,351,231	1,394,721	1,424,962
State Operations	103,024	130,400	131,920	133,463	135,031
Personal Service	79,033	95,440	96,157	96,877	97,604
Non-Personal Service/Indirect Cost	23,991	34,960	35,763	36,586	37,427
General State Charges	4,648	7,600	7,775	7,954	8,137
Capital Projects	6,093	9,572	11,482	13,705	15,144
<b>Education, Department of</b>	<b>30,553,372</b>	<b>31,794,871</b>	<b>33,257,387</b>	<b>33,060,194</b>	<b>35,005,696</b>
<b>School Aid</b>	<b>23,164,174</b>	<b>24,722,363</b>	<b>26,154,513</b>	<b>26,122,156</b>	<b>27,923,190</b>
Grants to Local Governments	23,164,174	24,722,363	26,154,513	26,122,156	27,923,190
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

	2008-2009 Results*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>School Aid - Medicaid Assistance</b>					
Grants to Local Governments	106,331	40,000	80,000	80,000	80,000
State Operations	106,331	40,000	80,000	80,000	80,000
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
<b>STAR Property Tax Relief</b>					
Grants to Local Governments	4,435,383	3,524,450	3,480,270	3,677,620	3,854,167
State Operations	4,435,383	3,524,450	3,480,270	3,677,620	3,854,167
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
<b>Special Education Categorical Programs</b>					
Grants to Local Governments	1,783,639	2,264,890	2,376,750	2,057,470	2,058,790
State Operations	1,783,639	2,264,890	2,376,750	2,057,470	2,058,790
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
<b>All Other</b>					
Grants to Local Governments	1,063,845	1,243,168	1,165,854	1,122,948	1,089,549
State Operations	683,208	803,192	654,748	643,431	624,421
Personal Service	303,967	311,011	378,959	370,029	373,284
Non-Personal Service/Indirect Cost	181,555	176,783	200,463	201,036	202,448
General State Charges	122,412	134,228	178,496	168,993	170,836
Capital Projects	69,403	79,468	82,617	83,273	83,524
	7,267	49,497	49,530	26,215	8,320
<b>Higher Education Services Corporation</b>					
Grants to Local Governments	909,663	1,035,721	991,406	991,014	994,546
State Operations	810,378	882,168	872,891	869,891	870,041
Personal Service	82,588	138,568	101,634	103,643	105,716
Non-Personal Service/Indirect Cost	37,615	34,841	36,299	36,589	36,881
General State Charges	44,973	103,727	65,335	67,054	68,835
	16,697	14,985	16,881	17,480	18,789
<b>Higher Education Capital Grants</b>					
Grants to Local Governments	4,254	67,746	40,000	38,000	0
State Operations	4,254	67,746	40,000	38,000	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
Capital Projects	0	67,746	40,000	38,000	0

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

	2008-2009 Results*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>State University Construction Fund</b>					
Grants to Local Governments	16,482	19,586	20,992	21,463	21,822
State Operations	0	0	0	0	0
Personal Service	12,965	14,754	15,438	15,614	15,800
Non-Personal Service/Indirect Cost	10,353	12,004	12,578	12,672	12,767
General State Charges	2,612	2,750	2,860	2,942	3,033
	3,517	4,832	5,554	5,849	6,022
<b>State University of New York</b>					
Grants to Local Governments	6,484,894	7,098,551	7,596,072	7,705,386	7,775,743
State Operations	467,059	491,211	491,173	489,919	489,919
Personal Service	4,985,864	5,320,304	5,504,047	5,589,500	5,676,482
Non-Personal Service/Indirect Cost	3,044,781	3,152,009	3,266,523	3,292,247	3,320,509
General State Charges	1,951,083	2,168,295	2,237,524	2,297,253	2,355,973
Capital Projects	437,036	474,036	501,852	503,767	506,342
Debt Service	584,935	813,000	1,099,000	1,122,200	1,103,000
	0	0	0	0	0
<b>Functional Total</b>	<b>39,085,784</b>	<b>41,782,550</b>	<b>43,456,994</b>	<b>43,414,727</b>	<b>45,429,908</b>
<b>GENERAL GOVERNMENT</b>					
<b>Audit and Control, Department of</b>					
Grants to Local Governments	258,126	263,980	265,052	269,832	274,416
State Operations	117,017	108,469	109,319	109,744	109,744
Personal Service	139,902	153,979	154,148	158,497	162,938
Non-Personal Service/Indirect Cost	110,480	113,373	112,362	114,517	118,142
General State Charges	29,422	40,606	41,786	43,980	44,796
	1,207	1,532	1,585	1,591	1,734
<b>Budget, Division of the</b>					
Grants to Local Governments	43,813	77,301	84,259	97,199	107,291
State Operations	29	0	0	0	0
Personal Service	42,309	74,418	81,112	93,785	103,763
Non-Personal Service/Indirect Cost	27,410	32,973	36,712	39,070	39,973
General State Charges	14,899	41,445	44,400	54,715	63,790
	1,475	2,883	3,147	3,414	3,528
<b>Civil Service, Department of</b>					
Grants to Local Governments	23,744	21,679	22,551	22,763	23,014
State Operations	0	0	0	0	0
Personal Service	23,598	21,440	22,304	22,507	22,743
Non-Personal Service/Indirect Cost	21,034	19,168	19,980	20,130	20,300
General State Charges	2,564	2,272	2,324	2,377	2,443
	146	239	247	256	271



**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS**  
(thousands of dollars)

	2008-2009 Results*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>Elections, State Board of</b>	<b>97,117</b>	<b>157,241</b>	<b>7,175</b>	<b>7,284</b>	<b>7,426</b>
Grants to Local Governments	2,015	22,888	0	0	0
State Operations	95,088	134,353	7,175	7,284	7,426
Personal Service	4,111	3,631	3,631	3,639	3,686
Non-Personal Service/Indirect Cost	90,977	130,883	3,544	3,645	3,740
General State Charges	14	0	0	0	0
<b>Employee Relations, Office of</b>	<b>3,694</b>	<b>3,465</b>	<b>3,795</b>	<b>3,833</b>	<b>3,872</b>
Grants to Local Governments	0	0	0	0	0
State Operations	3,694	3,465	3,795	3,833	3,872
Personal Service	3,480	3,096	3,417	3,445	3,474
Non-Personal Service/Indirect Cost	214	369	378	388	398
General State Charges	0	0	0	0	0
<b>Executive Chamber</b>	<b>19,252</b>	<b>17,077</b>	<b>18,023</b>	<b>18,647</b>	<b>18,924</b>
Grants to Local Governments	0	0	0	0	0
State Operations	19,252	17,077	18,023	18,647	18,924
Personal Service	15,420	14,310	15,044	15,542	16,055
Non-Personal Service/Indirect Cost	3,832	2,767	2,979	3,105	2,869
General State Charges	0	0	0	0	0
<b>General Services, Office of **</b>	<b>215,793</b>	<b>230,610</b>	<b>224,397</b>	<b>231,139</b>	<b>235,329</b>
Grants to Local Governments	99	650	650	574	574
State Operations	147,334	160,138	155,421	156,376	160,463
Personal Service	60,928	59,442	57,728	57,938	58,837
Non-Personal Service/Indirect Cost	86,406	100,696	97,693	98,438	101,626
General State Charges	1,830	1,763	1,867	1,939	2,042
Capital Projects	66,530	68,059	66,459	72,250	72,250
<b>Inspector General, Office of</b>	<b>6,446</b>	<b>6,462</b>	<b>6,776</b>	<b>6,852</b>	<b>6,937</b>
Grants to Local Governments	0	0	0	0	0
State Operations	6,446	6,462	6,776	6,852	6,937
Personal Service	5,700	5,485	5,755	5,799	5,860
Non-Personal Service/Indirect Cost	746	977	1,021	1,053	1,077
General State Charges	0	0	0	0	0
<b>Law, Department of</b>	<b>231,205</b>	<b>239,390</b>	<b>240,144</b>	<b>247,122</b>	<b>251,646</b>
Grants to Local Governments	0	100	100	81	81
State Operations	217,095	220,589	219,585	225,247	227,630
Personal Service	142,850	148,542	148,908	150,024	151,148
Non-Personal Service/Indirect Cost	74,245	72,047	70,677	75,223	76,482
General State Charges	14,110	18,701	20,459	21,794	23,935

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS**  
(thousands of dollars)

	2008-2009 Results*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>Lieutenant Governor, Office of the</b>					
Grants to Local Governments	133	0	276	1,193	1,208
State Operations	0	0	0	0	0
Personal Service	133	0	276	1,193	1,208
Non-Personal Service/Indirect Cost	79	0	230	1,006	1,016
General State Charges	54	0	46	187	192
	0	0	0	0	0
<b>Lottery, Division of</b>					
Grants to Local Governments	200,951	188,151	193,807	194,069	194,751
State Operations	0	0	0	0	0
Personal Service	191,467	177,731	182,553	182,553	182,553
Non-Personal Service/Indirect Cost	20,703	23,311	24,305	24,305	24,305
General State Charges	170,764	154,420	158,248	158,248	158,248
	9,484	10,420	11,254	11,516	12,198
<b>Public Employment Relations Board</b>					
Grants to Local Governments	3,660	4,270	4,561	4,600	4,648
State Operations	0	0	0	0	0
Personal Service	3,660	4,270	4,561	4,600	4,648
Non-Personal Service/Indirect Cost	3,150	3,388	3,686	3,689	3,724
General State Charges	510	881	895	911	924
	0	0	0	0	0
<b>Public Integrity, Commission on</b>					
Grants to Local Governments	4,879	4,865	5,017	5,350	5,530
State Operations	0	0	0	0	0
Personal Service	4,879	4,865	5,017	5,350	5,530
Non-Personal Service/Indirect Cost	3,631	3,588	3,730	4,053	4,197
General State Charges	1,248	1,277	1,287	1,297	1,333
	0	0	0	0	0
<b>Racing and Wagering Board, State</b>					
Grants to Local Governments	24,307	21,065	21,802	21,902	22,235
State Operations	0	0	0	0	0
Personal Service	18,606	16,386	16,870	16,855	16,855
Non-Personal Service/Indirect Cost	12,328	9,624	9,935	9,925	9,925
General State Charges	6,278	6,762	6,935	6,930	6,930
	5,701	4,679	4,932	5,047	5,380
<b>Real Property Services, Office of</b>					
Grants to Local Governments	58,369	46,269	42,761	43,772	44,359
State Operations	17,443	13,870	13,621	13,836	13,986
Personal Service	30,120	28,730	27,950	28,702	29,034
Non-Personal Service/Indirect Cost	23,648	22,157	21,948	22,118	22,322
General State Charges	6,472	6,573	6,002	6,584	6,712
	10,806	3,669	1,190	1,234	1,339

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

	2008-2009 Results*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>Regulatory Reform, Governor's Office of</b>					
Grants to Local Governments	3,438	542	697	697	697
State Operations	0	0	0	0	0
Personal Service	3,438	542	697	697	697
Non-Personal Service/Indirect Cost	2,877	512	665	665	665
General State Charges	561	30	32	32	32
	0	0	0	0	0
<b>State, Department of</b>					
Grants to Local Governments	181,137	217,311	205,566	158,531	161,067
State Operations	99,509	149,806	136,185	88,885	88,885
Personal Service	55,457	54,898	56,290	56,229	56,229
Non-Personal Service/Indirect Cost	35,765	33,718	35,205	35,166	35,166
General State Charges	19,692	21,180	21,085	21,063	21,063
Capital Projects	7,251	9,857	10,341	10,667	13,203
	18,920	2,750	2,750	2,750	2,750
	3,422	3,025	3,152	3,152	3,152
<b>Tax Appeals, Division of</b>					
Grants to Local Governments	0	0	0	0	0
State Operations	3,422	3,025	3,152	3,152	3,152
Personal Service	2,980	2,625	2,740	2,740	2,740
Non-Personal Service/Indirect Cost	442	400	412	412	412
General State Charges	0	0	0	0	0
<b>Taxation and Finance, Department of</b>					
Grants to Local Governments	372,992	412,154	427,072	427,511	428,627
State Operations	0	0	0	0	0
Personal Service	364,528	396,002	410,166	410,217	410,217
Non-Personal Service/Indirect Cost	275,743	302,417	314,259	314,310	314,310
General State Charges	88,785	93,585	95,907	95,907	95,907
	8,464	16,152	16,906	17,294	18,410
<b>Technology, Office for</b>					
Grants to Local Governments	21,364	141,081	149,275	147,592	120,543
State Operations	0	2,500	0	0	0
Personal Service	21,238	41,707	34,275	29,497	30,543
Non-Personal Service/Indirect Cost	10,256	10,852	12,093	12,167	12,287
General State Charges	10,982	30,855	22,182	17,330	18,256
Capital Projects	0	0	0	0	0
	126	96,874	115,000	118,095	90,000
<b>Lobbying, Temporary State Commission on</b>					
Grants to Local Governments	(77)	0	0	0	0
State Operations	0	0	0	0	0
Personal Service	(77)	0	0	0	0
Non-Personal Service/Indirect Cost	(77)	0	0	0	0
General State Charges	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

	<b>2008-2009 Results*</b>	<b>2009-2010 Enacted</b>	<b>2010-2011 Projected</b>	<b>2011-2012 Projected</b>	<b>2012-2013 Projected</b>
<b>Veterans Affairs, Division of</b>					
Grants to Local Governments	15,720	17,122	18,000	17,574	17,700
State Operations	8,065	8,975	9,513	9,076	9,076
Personal Service	7,287	7,267	8,055	8,055	8,146
Non-Personal Service/Indirect Cost	6,460	6,607	6,904	6,904	6,972
General State Charges	827	1,160	1,151	1,151	1,174
	368	380	432	443	478
<b>Functional Total</b>	<b>1,789,485</b>	<b>2,073,060</b>	<b>1,944,158</b>	<b>1,930,614</b>	<b>1,933,372</b>
<b>ALL OTHER</b>					
<b>Legislature</b>					
Grants to Local Governments	221,729	225,717	220,717	220,717	220,717
State Operations	0	0	0	0	0
Personal Service	221,729	225,717	220,717	220,717	220,717
Non-Personal Service/Indirect Cost	166,856	169,817	164,784	164,784	164,784
General State Charges	54,873	55,900	55,933	55,933	55,933
	0	0	0	0	0
<b>Judiciary</b>					
Grants to Local Governments	2,425,844	2,513,026	2,725,941	2,919,326	2,946,710
State Operations	116,278	122,300	121,800	124,800	125,800
Personal Service	1,844,492	1,876,863	2,070,109	2,227,663	2,273,750
Non-Personal Service/Indirect Cost	1,488,707	1,559,974	1,744,566	1,892,549	1,925,628
General State Charges	355,785	316,889	325,543	335,114	348,122
Capital Projects	463,212	489,363	510,532	543,163	542,960
	1,862	24,500	23,500	23,700	4,200
<b>World Trade Center</b>					
Grants to Local Governments	48,622	54,119	44,119	34,118	20,000
State Operations	2,375	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
Capital Projects	46,247	54,119	44,119	34,118	20,000
<b>Local Government Assistance</b>					
Grants to Local Governments	1,037,389	1,134,517	1,129,524	1,132,764	1,135,888
State Operations	1,037,389	1,134,517	1,129,524	1,132,764	1,135,888
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS**  
(thousands of dollars)

	2008-2009 Results*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>General State Charges</b>					
Grants to Local Governments	2,443,102	3,035,762	3,336,744	3,610,540	4,022,379
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	2,443,102	3,035,762	3,336,744	3,610,540	4,022,379
<b>Miscellaneous</b>					
Grants to Local Governments	4,609,742	5,144,856	5,531,012	6,065,022	6,361,852
State Operations	(120,381)	(228,013)	(374,425)	(179,037)	(209,370)
Personal Service	123,903	300,182	212,226	182,799	160,437
Non-Personal Service/Indirect Cost	18,010	283,825	149,146	149,215	136,383
General State Charges	105,893	16,357	63,080	33,584	24,054
Capital Projects	6,391	11,508	11,522	11,710	12,005
Debt Service	70,160	(82,198)	(108,900)	(133,500)	(150,000)
	4,529,669	5,143,377	5,790,589	6,183,050	6,548,780
<b>Functional Total</b>	<b>10,786,428</b>	<b>12,107,997</b>	<b>12,988,057</b>	<b>13,982,487</b>	<b>14,707,546</b>
<b>TOTAL ALL GOVERNMENTAL FUNDS SPENDING</b>	<b>121,571,604</b>	<b>131,935,618</b>	<b>137,175,514</b>	<b>141,205,398</b>	<b>145,020,592</b>

\*Unaudited Year-end Results

\*\* To facilitate comparability, the new Office of Procurement Services is reflected within the amounts shown for the Office of General Services.

**CASH DISBURSEMENTS BY FUNCTION**  
**ALL GOVERNMENTAL FUNDS**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	109,631	109,190	122,793	116,827	105,495
Alcoholic Beverage Control	17,022	18,075	18,781	19,114	19,607
Banking Department	78,971	79,009	81,698	80,831	82,212
Consumer Protection Board	3,840	3,096	3,266	3,231	3,321
Economic Development Capital Programs	21,176	18,300	0	0	0
Economic Development, Department of	104,306	106,845	137,389	128,966	89,257
Empire State Development Corporation	620,568	749,723	745,739	733,604	455,754
Energy Research and Development Authority	22,786	29,560	29,798	30,041	30,041
Housing and Community Renewal, Division of	320,605	878,541	441,678	303,489	302,846
Insurance Department	292,668	521,987	540,616	564,639	569,531
Olympic Regional Development Authority	9,503	9,509	7,714	7,924	7,924
Public Service, Department of	78,697	79,427	84,615	87,440	90,004
Science, Technology and Innovation, Foundation for	27,186	26,122	26,674	27,455	27,455
Strategic Investment	3,195	9,000	14,000	10,376	5,000
<b>Functional Total</b>	<b>1,710,154</b>	<b>2,638,384</b>	<b>2,254,761</b>	<b>2,113,937</b>	<b>1,788,447</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Adirondack Park Agency	5,510	5,567	5,738	5,741	5,743
Environmental Conservation, Department of	878,910	1,151,980	1,165,955	917,116	908,565
Environmental Facilities Corporation	14,758	9,967	10,246	10,428	10,612
Hudson River Park Trust	14,290	21,392	10,000	0	0
Parks, Recreation and Historic Preservation, Office of	337,061	312,021	260,581	247,962	249,580
<b>Functional Total</b>	<b>1,250,529</b>	<b>1,500,927</b>	<b>1,452,520</b>	<b>1,181,247</b>	<b>1,174,500</b>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	318,270	325,285	340,192	350,227	353,770
Thruway Authority	1,419	1,804	1,876	1,951	2,029
Metropolitan Transportation Authority	160,000	195,300	206,500	194,500	183,600
Transportation, Department of	6,498,414	6,810,399	7,347,377	7,081,440	6,855,123
<b>Functional Total</b>	<b>6,978,103</b>	<b>7,332,788</b>	<b>7,895,945</b>	<b>7,628,118</b>	<b>7,394,522</b>
<b>HEALTH AND SOCIAL WELFARE</b>					
Aging, Office for the	239,660	227,132	230,296	229,686	229,686
Children and Family Services, Office of	3,143,806	3,327,059	3,466,221	3,570,622	3,722,697
OCFS	3,097,973	3,256,215	3,349,535	3,432,267	3,580,011
OCFS - Medicaid	45,833	70,844	116,686	138,355	142,686
Health, Department of	38,097,712	41,689,321	44,116,173	47,156,679	48,176,383
Medical Assistance	32,427,350	36,017,967	38,410,425	41,261,545	42,420,513
Medicaid Administration	900,664	915,500	959,500	1,003,750	1,049,750
Public Health	4,769,698	4,755,854	4,746,248	4,891,384	4,706,120
Health - Medicaid Assistance	0	0	0	0	0
Human Rights, Division of	19,043	22,579	21,103	21,159	21,351
Labor, Department of	581,613	917,791	736,053	629,520	620,267
Medicaid Inspector General, Office of	61,224	80,022	82,520	85,937	85,937
Prevention of Domestic Violence, Office for	2,482	2,374	2,311	2,323	2,344
Stem Cell and Innovation	7,797	46,321	71,500	50,000	167,826

**CASH DISBURSEMENTS BY FUNCTION**  
**ALL GOVERNMENTAL FUNDS**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>HEALTH AND SOCIAL WELFARE (Continued)</b>					
Temporary and Disability Assistance, Office of					
<i>Welfare Assistance</i>	5,084,635	5,146,806	5,045,459	5,120,793	5,132,029
<i>Welfare Administration</i>	3,339,685	3,707,723	3,593,383	3,694,344	3,696,450
All Other	361,065	56,433	55,041	55,041	55,041
Welfare Inspector General, Office of	1,383,885	1,382,650	1,397,035	1,371,408	1,380,538
Workers' Compensation Board	1,180	1,403	1,432	1,456	1,472
<i>Functional Total</i>	205,090	209,201	193,424	197,598	202,483
	47,444,242	51,670,009	53,966,492	57,065,773	58,362,475
<b>MENTAL HEALTH</b>					
Mental Health, Office of					
<i>OMH</i>	3,084,590	3,246,186	3,515,210	3,697,727	3,817,148
<i>OMH - Medicaid</i>	1,423,983	1,496,517	1,649,787	1,776,465	1,822,807
Mental Hygiene, Department of	1,660,607	1,749,669	1,865,423	1,921,262	1,994,341
Mental Retardation and Developmental Disabilities, Office of	308,318	1,570	1,997	1,484	1,484
<i>OMRDD</i>	4,183,851	4,220,703	4,443,119	4,607,926	4,795,837
<i>OMRDD - Medicaid</i>	559,080	544,435	551,643	569,908	593,245
Alcoholism and Substance Abuse Services, Office of	3,624,771	3,676,268	3,891,476	4,038,018	4,202,592
<i>OASAS</i>	584,954	647,810	686,399	760,870	796,435
<i>OASAS - Medicaid</i>	484,789	545,856	579,021	650,770	684,794
Developmental Disabilities Planning Council	100,165	101,954	107,378	110,100	111,641
Quality of Care for the Mentally Disabled, Commission on	4,915	4,200	4,200	4,200	4,200
<i>Functional Total</i>	15,207	16,676	18,319	18,404	18,612
	8,181,835	8,137,145	8,669,244	9,090,611	9,433,716
<b>PUBLIC PROTECTION</b>					
Capital Defenders Office	370	0	0	0	0
Correction, Commission of	2,687	2,658	2,785	2,814	2,848
Correctional Services, Department of	2,699,307	2,672,125	2,698,627	2,724,797	2,763,547
Crime Victims Board	65,521	69,822	65,216	65,318	65,511
Criminal Justice Services, Division of	295,559	273,675	269,244	253,587	233,034
Homeland Security	108,459	362,166	285,458	551,984	549,093
Investigation, Temporary State Commission of	3,554	0	0	0	0
Judicial Commissions	5,288	5,214	5,208	5,311	5,385
Military and Naval Affairs, Division of	234,686	308,508	222,387	188,491	189,502
Parole, Division of	196,590	188,700	191,630	195,984	199,977
Probation and Correctional Alternatives, Division of	79,273	69,144	70,783	76,971	78,506
State Police, Division of	653,750	740,146	736,005	732,627	708,703
<i>Functional Total</i>	4,345,044	4,692,758	4,547,343	4,797,884	4,796,106

**CASH DISBURSEMENTS BY FUNCTION**  
**ALL GOVERNMENTAL FUNDS**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>EDUCATION</b>					
Arts, Council on the	45,842	49,183	48,729	48,827	48,827
City University of New York	1,071,277	1,716,892	1,502,408	1,549,843	1,583,274
Education, Department of	30,553,372	31,794,871	33,257,387	33,060,194	35,005,696
<i>School Aid</i>	23,164,174	24,722,363	26,154,513	26,122,156	27,923,190
<i>School Aid - Medicaid Assistance</i>	106,331	40,000	80,000	80,000	80,000
<i>STAR, Property Tax Relief</i>	4,435,383	3,524,450	3,480,270	3,677,620	3,864,167
<i>Special Education Categorical Programs</i>	1,783,639	2,264,890	2,376,750	2,057,470	2,058,790
<i>All Other</i>	1,063,845	1,243,168	1,165,854	1,122,948	1,089,549
Higher Education Services Corporation	909,663	1,035,721	991,406	991,014	994,546
Higher Education Capital Grants	4,254	67,746	40,000	38,000	0
State University Construction Fund	16,482	19,586	20,992	21,463	21,822
State University of New York	6,484,894	7,098,551	7,596,072	7,705,386	7,775,743
<b>Functional Total</b>	<b>39,085,784</b>	<b>41,782,350</b>	<b>43,456,994</b>	<b>43,414,727</b>	<b>45,429,908</b>
<b>GENERAL GOVERNMENT</b>					
Audit and Control, Department of	258,126	263,980	265,052	269,832	274,416
Budget, Division of the	43,813	77,301	84,259	97,199	107,291
Civil Services, Department of	23,744	21,679	22,551	22,763	23,014
Elections, State Board of	97,117	157,241	7,175	7,284	7,426
Employee Relations, Office of	3,694	3,465	3,795	3,833	3,872
Executive Chamber	19,252	17,077	18,023	18,647	18,924
General Services, Office of **	215,793	230,610	224,397	231,139	235,329
Inspector General, Office of	6,446	6,462	6,776	6,852	6,937
Law, Department of	231,205	239,390	240,144	247,122	251,646
Lieutenant Governor, Office of the	133	0	276	1,193	1,208
Lottery, Division of	200,951	188,151	193,807	194,069	194,751
Public Employment Relations Board	3,660	4,270	4,561	4,600	4,648
Public Integrity, Commission on	4,879	4,865	5,017	5,350	5,530
Racing and Wagering Board, State	24,307	21,065	21,802	21,902	22,235
Real Property Services, Office of	58,369	46,269	42,761	43,772	44,359
Regulatory Reform, Governor's Office of	3,438	542	697	697	697
State, Department of	181,137	217,311	205,566	158,531	161,067
Tax Appeals, Division of	3,422	3,025	3,152	3,152	3,152
Taxation and Finance, Department of	372,992	412,154	427,072	427,511	428,627
Technology, Office for	21,364	141,081	149,275	147,592	120,543
Lobbying, Temporary State Commission on	(77)	0	0	0	0
Veterans Affairs, Division of	15,720	17,122	18,000	17,574	17,700
<b>Functional Total</b>	<b>1,789,485</b>	<b>2,073,060</b>	<b>1,944,158</b>	<b>1,930,614</b>	<b>1,933,372</b>



**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>ALL OTHER CATEGORIES</b>					
Legislature	221,729	225,717	220,717	220,717	220,717
Judiciary (excluding fringe benefits)	2,425,844	2,513,026	2,725,941	2,919,326	2,946,710
World Trade Center	48,622	54,119	44,119	34,118	20,000
Local Government Assistance	1,037,389	1,134,517	1,129,524	1,132,764	1,135,888
Long-Term Debt Service	4,537,236	5,218,118	5,865,330	6,257,784	6,623,514
Capital Projects	0	0	0	0	0
General State Charges	2,443,102	3,035,762	3,336,744	3,610,540	4,022,379
Miscellaneous	72,506	(73,262)	(334,318)	(192,762)	(261,662)
<b>Functional Total</b>	<b>10,786,428</b>	<b>12,107,997</b>	<b>12,988,057</b>	<b>13,982,487</b>	<b>14,707,546</b>
<b>TOTAL ALL GOVERNMENTAL FUNDS SPENDING</b>	<b>121,571,604</b>	<b>131,935,618</b>	<b>137,175,514</b>	<b>141,205,398</b>	<b>145,020,592</b>

GSC: Agency disbursements include grants to local governments, state operations and general state charges, which is a departure from prior Financial plan publications. In prior reports, general state charges were excluded from agency spending totals.

\*Unaudited Year-end Results

\*\* To facilitate comparability, the new Office of Procurement Services is reflected within the amounts shown for the Office of General Services.

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
LOCAL ASSISTANCE SPENDING**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	27,778	20,659	17,306	17,136	17,136
Alcoholic Beverage Control	0	0	0	0	0
Banking Department	661	1,000	0	0	0
Consumer Protection Board	0	0	0	0	0
Economic Development Capital Programs	26,407	2,491	0	0	0
Economic Development, Department of	11,610	8,074	7,683	7,015	7,015
Empire State Development Corporation	159,326	33,121	38,121	36,754	36,754
Energy Research and Development Authority	10,014	9,234	9,234	9,234	9,234
Housing and Community Renewal, Division of	225,024	535,757	350,081	208,919	204,318
Insurance Department	10,203	307,489	321,389	344,389	344,389
Olympic Regional Development Authority	2,825	0	0	0	0
Public Service, Department of	0	400	400	400	400
Science, Technology and Innovation, Foundation for	24,244	22,365	22,835	23,555	23,555
Strategic Investment	0	0	0	0	0
<b>Functional Total</b>	<b>498,092</b>	<b>940,590</b>	<b>767,049</b>	<b>647,402</b>	<b>642,801</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Adirondack Park Agency	25	0	0	0	0
Environmental Conservation, Department of	136,147	151,290	127,936	127,537	127,537
Environmental Facilities Corporation	4,400	0	0	0	0
Hudson River Park Trust	0	15,000	10,000	0	0
Parks, Recreation and Historic Preservation, Office of	43,259	23,670	23,670	20,820	20,820
<b>Functional Total</b>	<b>183,831</b>	<b>189,960</b>	<b>161,606</b>	<b>148,357</b>	<b>148,357</b>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	13,354	13,200	13,200	13,200	13,200
Thruway Authority	1,419	0	0	0	0
Metropolitan Transportation Authority	160,000	0	0	0	0
Transportation, Department of	3,476,977	3,042,137	3,080,831	3,078,577	3,084,881
<b>Functional Total</b>	<b>3,651,750</b>	<b>3,055,337</b>	<b>3,094,031</b>	<b>3,091,777</b>	<b>3,098,081</b>
<b>HEALTH AND SOCIAL WELFARE</b>					
Aging, Office for the	228,978	216,353	219,211	218,633	218,633
Children and Family Services, Office of	2,676,428	2,870,244	3,001,829	3,106,365	3,248,865
OCFS	2,630,595	2,799,400	2,885,143	2,968,010	3,106,179
OCFS - Medicaid	45,833	70,844	116,686	138,355	142,686
Health, Department of	37,235,924	40,492,247	42,845,190	45,761,430	47,110,728
Medical Assistance	32,412,800	36,017,967	38,410,425	41,261,545	42,420,513
Medicaid Administration	900,664	915,500	959,500	1,003,750	1,049,750
Public Health	3,922,460	3,558,780	3,475,265	3,496,135	3,640,465
Health - Medicaid Assistance	0	0	0	0	0
Human Rights, Division of	0	0	0	0	0
Labor, Department of	170,863	346,997	250,841	174,149	174,149
Medicaid Inspector General, Office of	0	0	0	0	0
Prevention of Domestic Violence, Office for	792	843	685	685	685
Stem Cell and Innovation	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION**  
**ALL GOVERNMENTAL FUNDS**  
**LOCAL ASSISTANCE SPENDING**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>HEALTH AND SOCIAL WELFARE (Continued)</b>					
Temporary and Disability Assistance, Office of	4,693,252	4,756,794	4,635,153	4,704,230	4,707,652
Welfare Assistance	3,339,685	3,707,723	3,593,383	3,694,344	3,696,450
Welfare Administration	361,065	56,433	55,041	55,041	55,041
All Other	992,502	992,638	986,729	954,845	956,161
Welfare Inspector General, Office of	0	0	0	0	0
Workers' Compensation Board	0	0	0	0	0
<b>Functional Total</b>	<b>45,006,237</b>	<b>48,683,478</b>	<b>50,952,908</b>	<b>53,965,492</b>	<b>55,460,712</b>
<b>MENTAL HYGIENE</b>					
Mental Health, Office of	1,132,149	1,228,306	1,372,234	1,511,831	1,558,849
OMH	732,821	827,144	953,422	1,065,639	1,090,187
OMH - Medicaid	399,328	401,162	418,812	446,192	468,662
Mental Hygiene, Department of	0	0	0	0	0
Mental Retardation and Developmental Disabilities, Office of	2,072,339	2,120,851	2,225,838	2,344,139	2,461,885
OMRDD	493,938	472,041	479,177	492,631	514,184
OMRDD - Medicaid	1,578,401	1,648,810	1,746,661	1,851,508	1,947,701
Alcoholism and Substance Abuse Services, Office of	467,868	517,655	556,031	622,704	654,413
OASAS	435,188	484,796	519,636	584,519	616,217
OASAS - Medicaid	32,680	32,859	36,395	38,185	38,196
Developmental Disabilities Planning Council	0	0	0	0	0
Quality of Care for the Mentally Disabled, Commission on	789	723	912	889	889
<b>Functional Total</b>	<b>3,673,145</b>	<b>3,867,535</b>	<b>4,155,015</b>	<b>4,479,563</b>	<b>4,676,036</b>
<b>PUBLIC PROTECTION</b>					
Capital Defenders Office	0	0	0	0	0
Correction, Commission of	0	0	0	0	0
Correctional Services, Department of	1,666	2,740	300	243	243
Crime Victims Board	59,177	60,431	55,668	55,668	55,668
Criminal Justice Services, Division of	194,887	161,389	156,980	141,439	130,439
Homeland Security	72,145	280,742	202,302	467,190	467,190
Investigation, Temporary State Commission of	0	0	0	0	0
Judicial Commissions	0	0	0	0	0
Military and Naval Affairs, Division of	93,568	188,989	87,835	59,466	59,466
Parole, Division of	23,453	16,301	10,999	12,582	14,129
Probation and Correctional Alternatives, Division of	76,522	66,691	68,200	74,358	75,858
State Police, Division of	0	0	0	0	0
<b>Functional Total</b>	<b>521,418</b>	<b>777,283</b>	<b>582,284</b>	<b>810,946</b>	<b>802,993</b>

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
LOCAL ASSISTANCE SPENDING**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>EDUCATION</b>					
Arts, Council on the	40,344	43,528	42,820	42,918	42,918
City University of New York	957,512	1,569,320	1,351,231	1,394,721	1,424,962
Education, Department of	30,172,735	31,354,895	32,746,281	32,580,677	34,540,568
<i>School Aid</i>	23,164,174	24,722,363	26,154,513	26,122,156	27,923,190
<i>School Aid - Medicaid Assistance</i>	106,331	40,000	80,000	80,000	80,000
<i>STAR Property Tax Relief</i>	4,435,383	3,524,450	3,480,270	3,677,620	3,854,167
<i>Special Education Categorical Programs</i>	1,763,639	2,264,890	2,376,750	2,057,470	2,068,790
<i>All Other</i>	683,208	803,192	654,748	643,431	624,421
Higher Education Services Corporation	810,378	882,168	872,891	869,891	870,041
Higher Education Capital Grants	4,254	0	0	0	0
State University Construction Fund	0	0	0	0	0
State University of New York	467,059	491,211	491,173	489,919	489,919
<b>Functional Total</b>	<b>32,452,282</b>	<b>34,341,122</b>	<b>35,504,396</b>	<b>35,378,126</b>	<b>37,368,408</b>
<b>GENERAL GOVERNMENT</b>					
Audit and Control, Department of	117,017	108,469	109,319	109,744	109,744
Budget, Division of the	29	0	0	0	0
Civil Service, Department of	0	0	0	0	0
Elections, State Board of	2,015	22,888	0	0	0
Employee Relations, Office of	0	0	0	0	0
Executive Chamber	0	0	0	0	0
General Services, Office of **	99	650	650	574	574
Inspector General, Office of	0	0	0	0	0
Law, Department of	0	100	100	81	81
Lieutenant Governor, Office of the	0	0	0	0	0
Lottery, Division of	0	0	0	0	0
Public Employment Relations Board	0	0	0	0	0
Public Integrity, Commission on	0	0	0	0	0
Racing and Wagering Board, State	0	0	0	0	0
Real Property Services, Office of	17,443	13,870	13,621	13,836	13,986
Regulatory Reform, Governor's Office of	0	0	0	0	0
State, Department of	99,509	149,806	136,185	88,885	88,885
Tax Appeals, Division of	0	0	0	0	0
Taxation and Finance, Department of	0	0	0	0	0
Technology, Office for	0	2,500	0	0	0
Lobbying, Temporary State Commission on	0	0	0	0	0
Veterans Affairs, Division of	8,065	8,975	9,513	9,076	9,076
<b>Functional Total</b>	<b>244,177</b>	<b>307,258</b>	<b>269,388</b>	<b>222,196</b>	<b>222,346</b>

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
LOCAL ASSISTANCE SPENDING**  
(thousands of dollars)

ALL OTHER CATEGORIES	2008-2009	2009-2010	2010-2011	2011-2012	2012-2013
	Year-End*	Enacted	Projected	Projected	Projected
Legislature	0	0	0	0	0
Judiciary (excluding fringe benefits)	116,278	122,300	121,800	124,800	125,800
World Trade Center	2,375	0	0	0	0
Local Government Assistance	1,037,389	1,134,517	1,129,524	1,132,764	1,135,888
Long-Term Debt Service	0	0	0	0	0
General State Charges	0	0	0	0	0
Miscellaneous	(120,381)	(228,013)	(374,425)	(179,037)	(209,370)
<b>Functional Total</b>	<b>1,035,661</b>	<b>1,028,804</b>	<b>876,899</b>	<b>1,078,527</b>	<b>1,062,318</b>
<b>TOTAL LOCAL ASSISTANCE SPENDING</b>	<b>87,266,593</b>	<b>93,191,367</b>	<b>96,363,577</b>	<b>99,822,386</b>	<b>103,472,052</b>

GSC: Agency disbursements include grants to local governments, state operations and general state charges, which is a departure from prior Financial plan publications. In prior reports, general state charges were excluded from agency spending totals.

\*Unaudited Year-end Results

\*\* To facilitate comparability, the new Office of Procurement Services is reflected within the amounts shown for the Office of General Services.

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
STATE OPERATIONS SPENDING  
(thousands of dollars)**

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	75,183	70,050	73,470	76,018	76,417
Alcoholic Beverage Control	13,260	13,981	14,436	14,633	14,821
Banking Department	62,194	58,436	60,976	59,900	59,900
Consumer Protection Board	2,850	3,051	3,221	3,231	3,321
Economic Development Capital Programs	0	0	0	0	0
Economic Development, Department of	35,136	35,877	35,628	36,324	36,324
Empire State Development Corporation	0	0	0	0	0
Energy Research and Development Authority	5,862	5,114	5,300	5,490	5,490
Housing and Community Renewal, Division of	75,870	71,869	73,737	76,042	78,251
Insurance Department	246,945	173,001	175,548	175,548	176,793
Olympic Regional Development Authority	6,578	7,509	7,714	7,924	7,924
Public Service, Department of	57,224	59,407	62,287	64,023	64,825
Science, Technology and Innovation, Foundation for	2,942	3,757	3,839	3,900	3,900
Strategic Investment	0	0	0	0	0
<b>Functional Total</b>	<b>584,044</b>	<b>502,052</b>	<b>516,156</b>	<b>523,033</b>	<b>527,966</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Adirondack Park Agency	5,485	5,567	5,738	5,741	5,743
Environmental Conservation, Department of	331,316	323,580	331,152	331,838	332,522
Environmental Facilities Corporation	7,941	7,530	7,767	7,906	8,047
Hudson River Park Trust	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	201,247	181,897	187,976	189,421	191,038
<b>Functional Total</b>	<b>545,989</b>	<b>518,574</b>	<b>532,633</b>	<b>534,906</b>	<b>537,350</b>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	73,038	77,703	79,177	80,775	80,775
Thruway Authority	0	0	0	0	0
Metropolitan Transportation Authority	0	0	0	0	0
Transportation, Department of	52,969	39,550	40,831	42,112	43,434
<b>Functional Total</b>	<b>126,007</b>	<b>117,253</b>	<b>120,008</b>	<b>122,887</b>	<b>124,209</b>
<b>HEALTH AND SOCIAL WELFARE</b>					
Aging, Office for the	10,536	10,553	10,869	10,790	10,790
Children and Family Services, Office of	427,407	417,399	430,139	431,933	441,508
OCFS	427,407	417,399	430,139	431,933	441,508
OCFS - Medicaid	0	0	0	0	0
Health, Department of	791,692	792,456	830,400	811,431	811,862
Medical Assistance	14,550	0	0	0	0
Medicaid Administration	0	0	0	0	0
Public Health	777,042	792,456	830,400	811,431	811,862
Health - Medicaid Assistance	0	0	0	0	0
Human Rights, Division of	18,821	19,256	18,798	18,750	18,792
Labor, Department of	323,704	466,298	378,076	351,875	337,840
Medicaid Inspector General, Office of	54,227	72,833	74,993	78,410	78,410
Prevention of Domestic Violence, Office for	1,690	1,531	1,626	1,638	1,659
Stem Cell and Innovation	7,797	46,321	71,500	50,000	167,826

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
STATE OPERATIONS SPENDING**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>HEALTH AND SOCIAL WELFARE (Continued)</b>					
Temporary and Disability Assistance, Office of	321,379	319,594	331,487	336,337	340,688
<i>Welfare Assistance</i>	0	0	0	0	0
<i>Welfare Administration</i>	0	0	0	0	0
<i>All Other</i>	321,379	319,594	331,487	336,337	340,688
Welfare Inspector General, Office of	1,095	1,191	1,225	1,242	1,251
Workers' Compensation Board	164,741	170,427	153,222	155,746	157,939
<b>Functional Total</b>	<b>2,122,989</b>	<b>2,317,859</b>	<b>2,302,335</b>	<b>2,248,152</b>	<b>2,368,585</b>
<b>MENTAL HYGIENE</b>					
Mental Health, Office of	1,392,271	1,440,064	1,539,794	1,565,397	1,594,876
<i>OMH</i>	445,755	430,311	460,324	470,100	479,041
<i>OMH - Medicaid</i>	946,516	1,009,753	1,079,470	1,095,297	1,115,835
Mental Hygiene, Department of	204	1,570	1,997	1,484	1,484
Mental Retardation and Developmental Disabilities, Office of	1,529,087	1,560,165	1,643,494	1,667,332	1,691,418
<i>OMRDD</i>	33,947	33,496	33,582	33,632	33,782
<i>OMRDD - Medicaid</i>	1,495,140	1,526,669	1,609,912	1,633,700	1,657,636
Alcoholism and Substance Abuse Services, Office of	92,034	89,048	91,935	94,287	95,000
OASAS	40,065	38,154	39,879	41,796	42,159
OASAS - <i>Medicaid</i>	51,969	50,894	52,056	52,489	52,841
Developmental Disabilities Planning Council	4,502	3,727	3,665	3,658	3,617
Quality of Care for the Mentally Disabled, Commission on	12,889	14,338	15,535	15,595	15,675
<b>Functional Total</b>	<b>3,030,987</b>	<b>3,108,912</b>	<b>3,296,420</b>	<b>3,347,753</b>	<b>3,402,070</b>
<b>PUBLIC PROTECTION</b>					
Capital Defenders Office	370	0	0	0	0
Correction, Commission of	2,682	2,658	2,785	2,814	2,848
Correctional Services, Department of	2,415,659	2,352,616	2,373,933	2,393,139	2,431,889
Crime Victims Board	6,306	7,418	7,486	7,539	7,598
Criminal Justice Services, Division of	100,535	110,844	110,648	110,532	100,979
Homeland Security	32,024	78,879	81,766	83,264	80,458
Investigation, Temporary State Commission of	3,554	0	0	0	0
Judicial Commissions	5,288	5,214	5,208	5,311	5,385
Military and Naval Affairs, Division of	90,272	74,622	68,608	67,415	67,595
Parole, Division of	173,137	172,399	180,631	183,402	185,848
Probation and Correctional Alternatives, Division of	2,664	2,451	2,580	2,610	2,645
State Police, Division of	631,514	686,633	672,141	671,229	665,733
<b>Functional Total</b>	<b>3,463,905</b>	<b>3,493,734</b>	<b>3,505,786</b>	<b>3,527,255</b>	<b>3,550,978</b>

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
STATE OPERATIONS SPENDING**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>EDUCATION</b>					
Arts, Council on the	5,498	5,655	5,909	5,909	5,909
City University of New York	103,024	130,400	131,920	133,463	135,031
Education, Department of	303,967	311,011	378,959	370,029	373,284
<i>School Aid</i>	0	0	0	0	0
<i>School Aid - Medicaid Assistance</i>	0	0	0	0	0
<i>STAR Property Tax Relief</i>	0	0	0	0	0
<i>Special Education Categorical Programs</i>	0	0	0	0	0
<i>All Other</i>	303,967	311,011	378,959	370,029	373,284
Higher Education Services Corporation	82,988	138,568	101,634	103,643	105,716
Higher Education Capital Grants	0	0	0	0	0
Slate University Construction Fund	12,965	14,754	15,438	15,614	15,800
Slate University of New York	4,995,864	5,320,304	5,504,047	5,589,500	5,676,482
<b>Functional Total</b>	<b>5,503,906</b>	<b>5,920,892</b>	<b>6,137,907</b>	<b>6,218,158</b>	<b>6,312,222</b>
<b>GENERAL GOVERNMENT</b>					
Audit and Control, Department of	139,902	153,979	154,148	158,497	162,938
Budget, Division of the	42,309	74,418	81,112	93,785	103,763
Civil Service, Department of	23,598	21,440	22,304	22,507	22,743
Elections, State Board of	95,088	134,353	71,175	7,284	7,426
Employee Relations, Office of	3,694	3,465	3,795	3,833	3,872
Executive Chamber	19,252	17,077	18,023	18,647	18,924
General Services, Office of **	147,334	160,138	155,421	156,376	160,463
Inspector General, Office of	6,446	6,462	6,776	6,852	6,937
Law, Department of	217,095	220,589	219,585	225,247	227,630
Lieutenant Governor, Office of the	133	0	276	1,193	1,208
Lottery, Division of	191,467	177,731	182,553	182,553	182,553
Public Employment Relations Board	3,660	4,270	4,561	4,600	4,648
Public Integrity, Commission on	4,879	4,865	5,017	5,350	5,530
Racing and Wagering Board, State	18,606	16,386	16,670	16,855	16,855
Real Property Services, Office of	30,120	28,730	27,950	28,702	29,034
Regulatory Reform, Governor's Office of	3,438	542	697	697	697
State, Department of	55,457	54,898	56,290	56,229	56,229
Tax Appeals, Division of	3,422	3,025	3,152	3,152	3,152
Taxation and Finance, Department of	364,528	396,002	410,166	410,217	410,217
Technology, Office for	21,238	41,707	34,275	29,497	30,543
Lobbying, Temporary State Commission on	(77)	0	0	0	0
Veterans Affairs, Division of	7,287	7,767	8,055	8,055	8,146
<b>Functional Total</b>	<b>1,398,876</b>	<b>1,527,844</b>	<b>1,418,201</b>	<b>1,440,128</b>	<b>1,463,508</b>



**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
STATE OPERATIONS SPENDING**  
(thousands of dollars)

ALL OTHER CATEGORIES	2008-2009	2009-2010	2010-2011	2011-2012	2012-2013
	Year-End*	Enacted	Projected	Projected	Projected
Legislature	221,729	225,717	220,717	220,717	220,717
Judiciary (excluding fringe benefits)	1,844,492	1,876,863	2,070,109	2,227,663	2,273,750
World Trade Center	0	0	0	0	0
Local Government Assistance	0	0	0	0	0
Long-Term Debt Service	0	0	0	0	0
General State Charges	0	0	0	0	0
Miscellaneous	123,903	300,182	212,226	182,799	160,437
<b>Functional Total</b>	<b>2,190,124</b>	<b>2,402,762</b>	<b>2,503,052</b>	<b>2,631,179</b>	<b>2,654,904</b>
<b>TOTAL STATE OPERATIONS SPENDING</b>	<b>18,966,827</b>	<b>19,909,682</b>	<b>20,332,498</b>	<b>20,593,451</b>	<b>20,941,792</b>

GSC: Agency disbursements include grants to local governments, state operations and general state charges, which is a departure from prior Financial plan publications. In prior reports, general state charges were excluded from agency spending totals.

\*Unaudited Year-end Results

\*\* To facilitate comparability, the new Office of Procurement Services is reflected within the amounts shown for the Office of General Services.

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
PERSONAL SERVICE SPENDING  
(thousands of dollars)**

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	34,900	33,005	35,447	36,948	36,878
Alcoholic Beverage Control	8,853	8,926	9,253	9,327	9,401
Banking Department	45,425	42,636	44,642	44,054	44,054
Consumer Protection Board	2,153	2,427	2,553	2,471	2,541
Economic Development Capital Programs	0	0	0	0	0
Economic Development, Department of	13,665	13,900	14,400	14,498	14,498
Empire State Development Corporation	0	0	0	0	0
Energy Research and Development Authority	3,446	3,928	4,040	4,154	4,154
Housing and Community Renewal, Division of	56,442	49,631	51,140	52,538	53,737
Insurance Department	104,231	93,642	96,406	96,406	97,606
Olympic Regional Development Authority	4,417	3,679	3,679	3,679	3,679
Public Service, Department of	43,160	43,800	46,806	48,079	48,548
Science, Technology and Innovation, Foundation for	2,192	2,221	2,293	2,310	2,310
Strategic Investment	0	0	0	0	0
<b>Functional Total</b>	<b>318,884</b>	<b>297,795</b>	<b>310,659</b>	<b>314,464</b>	<b>317,406</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Adirondack Park Agency	4,547	4,524	4,685	4,698	4,700
Environmental Conservation, Department of	213,786	200,931	207,476	208,137	208,802
Environmental Facilities Corporation	6,758	6,320	6,554	6,690	6,828
Hudson River Park Trust	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	142,642	122,412	127,960	128,767	129,642
<b>Functional Total</b>	<b>367,733</b>	<b>334,187</b>	<b>346,685</b>	<b>348,292</b>	<b>349,972</b>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	52,007	51,173	52,342	52,694	52,694
Thruway Authority	0	0	0	0	0
Metropolitan Transportation Authority	0	0	0	0	0
Transportation, Department of	16,404	17,183	17,903	18,604	19,331
<b>Functional Total</b>	<b>68,411</b>	<b>68,356</b>	<b>70,245</b>	<b>71,298</b>	<b>72,025</b>
<b>HEALTH AND SOCIAL WELFARE</b>					
Aging, Office for the	8,499	8,720	9,036	9,199	9,199
Children and Family Services, Office of	227,893	220,375	228,026	229,217	233,168
OCFS	227,893	220,375	228,026	229,217	233,168
OCFS - Medicaid	0	0	0	0	0
Health, Department of	355,538	319,282	336,765	340,142	340,592
Medical Assistance	500	0	0	0	0
Medicaid Administration	0	0	0	0	0
Public Health	355,038	319,282	336,765	340,142	340,592
Health - Medicaid Assistance	0	0	0	0	0
Human Rights, Division of	13,192	12,715	14,668	14,757	14,788
Labor, Department of	204,272	242,727	232,765	217,411	213,411
Medicaid Inspector General, Office of	34,872	50,616	51,735	51,882	51,882
Prevention of Domestic Violence, Office for	1,120	1,149	1,233	1,233	1,246
Stem Cell and Innovation	541	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION**  
**ALL GOVERNMENTAL FUNDS**  
**PERSONAL SERVICE SPENDING**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>HEALTH AND SOCIAL WELFARE (Continued)</b>					
Temporary and Disability Assistance, Office of	157,824	150,440	153,644	154,293	155,467
<i>Welfare Assistance</i>	0	0	0	0	0
<i>Welfare Administration</i>	0	0	0	0	0
<i>All Other</i>	157,824	150,440	153,644	154,293	155,467
Welfare Inspector General, Office of	581	738	760	766	766
Workers' Compensation Board	87,462	84,657	88,206	88,206	88,849
<b>Functional Total</b>	<b>1,091,794</b>	<b>1,091,419</b>	<b>1,116,152</b>	<b>1,107,106</b>	<b>1,109,368</b>
<b>MENTAL HYGIENE</b>					
Mental Health, Office of	1,104,067	1,076,990	1,141,645	1,156,470	1,168,287
<i>OMH</i>	379,190	320,322	333,672	340,856	344,442
<i>OMH - Medicaid</i>	724,877	756,668	807,773	815,612	823,845
Mental Hygiene, Department of	0	1,570	1,997	1,484	1,484
Mental Retardation and Developmental Disabilities, Office of	1,127,000	1,104,461	1,163,444	1,173,784	1,187,030
<i>OMRDD</i>	46	80	116	116	116
<i>OMRDD - Medicaid</i>	1,126,954	1,104,381	1,163,328	1,173,668	1,186,914
Alcoholism and Substance Abuse Services, Office of	66,272	66,917	69,590	70,956	70,805
OASAS	26,400	25,456	27,144	28,219	27,804
OASAS - <i>Medicaid</i>	39,872	41,461	42,446	42,737	43,001
Developmental Disabilities Planning Council	1,213	1,181	1,197	1,197	1,197
Quality of Care for the Mentally Disabled, Commission on	6,907	7,450	8,121	8,146	8,189
<b>Functional Total</b>	<b>2,305,459</b>	<b>2,258,569</b>	<b>2,386,994</b>	<b>2,412,037</b>	<b>2,436,992</b>
<b>PUBLIC PROTECTION</b>					
Capital Defenders Office	227	0	0	0	0
Correction, Commission of	2,231	2,168	2,283	2,298	2,322
Correctional Services, Department of	1,852,314	1,735,564	1,728,780	1,724,567	1,729,249
Crime Victims Board	4,957	4,702	4,742	4,769	4,808
Criminal Justice Services, Division of	42,470	48,035	46,292	46,601	44,946
Homeland Security	13,500	45,348	48,615	53,576	50,740
Investigation, Temporary State Commission of	2,568	0	0	0	0
Judicial Commissions	3,602	3,860	3,832	3,861	3,901
Military and Naval Affairs, Division of	56,882	33,212	34,777	34,937	35,152
Parole, Division of	137,530	135,014	141,307	141,543	142,962
Probation and Correctional Alternatives, Division of	2,259	1,978	2,063	2,083	2,104
State Police, Division of	518,562	590,885	571,808	572,177	572,177
<b>Functional Total</b>	<b>2,637,102</b>	<b>2,600,766</b>	<b>2,584,499</b>	<b>2,586,412</b>	<b>2,588,361</b>

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
PERSONAL SERVICE SPENDING**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>EDUCATION</b>					
Arts, Council on the	3,754	3,779	3,989	3,990	3,990
City University of New York	79,033	95,440	96,157	96,877	97,604
Education, Department of	181,555	176,783	200,463	201,036	202,448
<i>School Aid</i>	0	0	0	0	0
<i>School Aid - Medicaid Assistance</i>	0	0	0	0	0
<i>STAR Property Tax Relief</i>	0	0	0	0	0
<i>Special Education Categorical Programs</i>	0	0	0	0	0
<i>All Other</i>	181,555	176,783	200,463	201,036	202,448
Higher Education Services Corporation	37,615	34,841	36,299	36,589	36,881
Higher Education Capital Grants	0	0	0	0	0
Slate University Construction Fund	10,353	12,004	12,578	12,672	12,767
Slate University of New York	3,044,781	3,152,009	3,266,523	3,292,247	3,320,509
<b>Functional Total</b>	<b>3,357,091</b>	<b>3,474,856</b>	<b>3,616,009</b>	<b>3,643,411</b>	<b>3,674,199</b>
<b>GENERAL GOVERNMENT</b>					
Audit and Control, Department of	110,480	113,373	112,362	114,517	118,142
Budget, Division of the	27,410	32,973	36,712	39,070	39,973
Civil Service, Department of	21,034	19,168	19,980	20,130	20,300
Elections, State Board of	4,111	3,470	3,631	3,639	3,686
Employee Relations, Office of	3,480	3,096	3,417	3,445	3,474
Executive Chamber	15,420	14,310	15,044	15,542	16,055
General Services, Office of **	60,928	59,442	57,728	57,938	58,837
Inspector General, Office of	5,700	5,485	5,755	5,799	5,860
Law, Department of	142,850	148,542	148,908	150,024	151,148
Lieutenant Governor, Office of the	79	0	230	1,006	1,016
Lottery, Division of	20,703	23,311	24,305	24,305	24,305
Public Employment Relations Board	3,150	3,389	3,666	3,689	3,724
Public Integrity, Commission on	3,631	3,588	3,730	4,053	4,197
Racing and Wagering Board, State	12,328	9,624	9,935	9,925	9,925
Real Property Services, Office of	23,648	22,157	21,948	22,118	22,322
Regulatory Reform, Governor's Office of	2,877	512	665	665	665
State, Department of	35,765	33,718	35,205	35,166	35,166
Tax Appeals, Division of	2,980	2,625	2,740	2,740	2,740
Taxation and Finance, Department of	275,743	302,417	314,259	314,310	314,310
Technology, Office for	10,256	10,852	12,093	12,167	12,287
Lobbying, Temporary State Commission on	(77)	0	0	0	0
Veterans Affairs, Division of	6,460	6,607	6,904	6,904	6,972
<b>Functional Total</b>	<b>768,956</b>	<b>818,659</b>	<b>839,217</b>	<b>847,152</b>	<b>855,104</b>

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
PERSONAL SERVICE SPENDING**  
(thousands of dollars)

ALL OTHER CATEGORIES	2008-2009	2009-2010	2010-2011	2011-2012	2012-2013
	Year-End*	Enacted	Projected	Projected	Projected
Legislature	166,856	169,817	164,784	164,784	164,784
Judiciary (excluding fringe benefits)	1,488,707	1,559,974	1,744,566	1,892,549	1,925,628
World Trade Center	0	0	0	0	0
Local Government Assistance	0	0	0	0	0
Long-Term Debt Service	0	0	0	0	0
General State Charges	0	0	0	0	0
Miscellaneous	18,010	283,825	149,146	149,215	136,383
<b>Functional Total</b>	<b>1,673,573</b>	<b>2,013,616</b>	<b>2,058,496</b>	<b>2,206,548</b>	<b>2,226,795</b>
<b>TOTAL PERSONAL SERVICE SPENDING</b>	<b>12,609,003</b>	<b>12,958,223</b>	<b>13,327,956</b>	<b>13,536,720</b>	<b>13,630,222</b>

GSC: Agency disbursements include grants to local governments, state operations and general state charges, which is a departure from prior Financial plan publications. In prior reports, general state charges were excluded from agency spending totals.

\*Unaudited Year-end Results

\*\* To facilitate comparability, the new Office of Procurement Services is reflected within the amounts shown for the Office of General Services.

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
NON-PERSONAL SERVICE SPENDING (includes Indirect Costs)  
(thousands of dollars)**

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	40,283	37,045	38,023	39,070	39,539
Alcoholic Beverage Control	4,407	5,055	5,183	5,306	5,420
Banking Department	16,769	15,800	16,334	15,846	15,846
Consumer Protection Board	697	624	668	760	780
Economic Development Capital Programs	0	0	0	0	0
Economic Development, Department of	21,471	21,977	21,228	21,826	21,826
Empire State Development Corporation	0	0	0	0	0
Energy Research and Development Authority	2,416	1,186	1,260	1,336	1,336
Housing and Community Renewal, Division of	19,428	22,238	22,597	23,504	24,514
Insurance Department	142,714	79,359	79,142	79,142	79,187
Olympic Regional Development Authority	2,161	3,830	4,035	4,245	4,245
Public Service, Department of	14,064	15,607	15,481	15,944	16,277
Science, Technology and Innovation, Foundation for	750	1,536	1,546	1,590	1,590
Strategic Investment	0	0	0	0	0
<b>Functional Total</b>	<b>265,160</b>	<b>204,257</b>	<b>205,497</b>	<b>208,569</b>	<b>210,560</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Adirondack Park Agency	838	1,043	1,043	1,043	1,043
Environmental Conservation, Department of	117,530	122,649	123,676	123,701	123,720
Environmental Facilities Corporation	1,183	1,210	1,213	1,216	1,219
Hudson River Park Trust	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	58,605	59,485	60,016	60,654	61,396
<b>Functional Total</b>	<b>178,256</b>	<b>184,387</b>	<b>185,948</b>	<b>186,614</b>	<b>187,378</b>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	21,031	26,530	26,835	28,081	28,081
Thruway Authority	0	0	0	0	0
Metropolitan Transportation Authority	0	0	0	0	0
Transportation, Department of	36,565	22,367	22,928	23,508	24,103
<b>Functional Total</b>	<b>57,596</b>	<b>48,897</b>	<b>49,763</b>	<b>51,589</b>	<b>52,184</b>
<b>HEALTH AND SOCIAL WELFARE</b>					
Aging, Office for the	2,037	1,833	1,833	1,591	1,591
Children and Family Services, Office of	199,514	197,024	202,113	202,716	208,340
OCFS	199,514	197,024	202,113	202,716	208,340
OCFS - Medicaid	0	0	0	0	0
Health, Department of	436,054	473,174	493,635	471,289	471,290
Medical Assistance	14,050	0	0	0	0
Medical Administration	0	0	0	0	0
Public Health	422,004	473,174	493,635	471,289	471,290
Health - Medicaid Assistance	0	0	0	0	0
Human Rights, Division of	5,629	6,541	4,130	3,993	4,004
Labor, Department of	119,432	223,571	145,311	134,464	124,429
Medicaid Inspector General, Office of	19,355	22,217	23,258	26,528	26,528
Prevention of Domestic Violence, Office for	570	382	393	405	413
Stem Cell and Innovation	7,256	46,321	71,500	50,000	167,826

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
NON-PERSONAL SERVICE SPENDING (includes Indirect Costs)**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>HEALTH AND SOCIAL WELFARE (Continued)</b>					
Temporary and Disability Assistance, Office of					
<i>Welfare Assistance</i>	163,555	169,154	177,843	182,044	185,221
<i>Welfare Administration</i>	0	0	0	0	0
<i>All Other</i>	163,555	169,154	177,843	182,044	185,221
Welfare Inspector General, Office of	514	453	465	476	485
Workers' Compensation Board	77,279	85,770	65,702	67,540	69,090
<b>Functional Total</b>	<b>1,031,195</b>	<b>1,226,440</b>	<b>1,186,183</b>	<b>1,141,046</b>	<b>1,259,217</b>
<b>MENTAL HYGIENE</b>					
Mental Health, Office of	288,204	363,074	398,149	408,927	426,589
<i>OMH</i>	66,565	109,989	126,452	128,242	134,599
<i>OMH - Medicaid</i>	221,639	253,085	271,697	279,685	291,990
Mental Hygiene, Department of	204	0	0	0	0
Mental Retardation and Developmental Disabilities, Office of	402,087	455,704	480,050	493,548	504,388
<i>OMRDD</i>	33,901	33,416	33,466	33,516	33,666
<i>OMRDD - Medicaid</i>	368,186	422,288	446,584	460,032	470,722
Alcoholism and Substance Abuse Services, Office of	25,762	22,131	22,345	23,331	24,195
OASAS	13,665	12,698	12,735	13,579	14,355
OASAS - Medicaid	12,097	9,433	9,610	9,752	9,840
Developmental Disabilities Planning Council	3,289	2,546	2,468	2,461	2,420
Quality of Care for the Mentally Disabled, Commission on	5,982	6,888	7,414	7,449	7,486
<b>Functional Total</b>	<b>725,528</b>	<b>850,343</b>	<b>910,426</b>	<b>935,716</b>	<b>965,078</b>
<b>PUBLIC PROTECTION</b>					
Capital Defenders Office	143	0	0	0	0
Correction, Commission of	451	490	502	516	526
Correctional Services, Department of	563,245	617,052	645,153	668,572	702,640
Crime Victims Board	1,349	2,716	2,744	2,770	2,790
Criminal Justice Services, Division of	58,065	62,809	64,356	63,931	56,033
Homeland Security	18,524	33,531	33,151	29,688	29,718
Investigation, Temporary State Commission of	986	0	0	0	0
Judicial Commissions	1,686	1,354	1,376	1,450	1,484
Military and Naval Affairs, Division of	33,390	41,410	33,831	32,478	32,443
Parole, Division of	35,607	37,385	39,324	41,859	42,886
Probation and Correctional Alternatives, Division of	405	473	517	527	541
State Police, Division of	112,952	95,748	100,333	99,052	93,556
<b>Functional Total</b>	<b>826,803</b>	<b>892,968</b>	<b>921,287</b>	<b>940,843</b>	<b>962,617</b>

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
NON-PERSONAL SERVICE SPENDING (includes Indirect Costs)**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>EDUCATION</b>					
Arts, Council on the	1,744	1,876	1,920	1,919	1,919
City University of New York	23,991	34,960	35,763	36,586	37,427
Education, Department of	122,412	134,228	178,496	168,993	170,836
School Aid	0	0	0	0	0
School Aid - Medicaid Assistance	0	0	0	0	0
STAR Property Tax Relief	0	0	0	0	0
Special Education Categorical Programs	0	0	0	0	0
All Other	122,412	134,228	178,496	168,993	170,836
Higher Education Services Corporation	44,973	103,727	65,335	67,054	68,835
Higher Education Capital Grants	0	0	0	0	0
Slate University Construction Fund	2,612	2,750	2,860	2,942	3,033
Slate University of New York	1,951,083	2,168,295	2,237,524	2,297,253	2,355,973
<b>Functional Total</b>	<b>2,146,815</b>	<b>2,445,836</b>	<b>2,521,898</b>	<b>2,574,747</b>	<b>2,638,023</b>
<b>GENERAL GOVERNMENT</b>					
Audit and Control, Department of	29,422	40,606	41,786	43,980	44,796
Budget, Division of the	14,899	41,445	44,400	54,715	63,790
Civil Service, Department of	2,564	2,272	2,324	2,377	2,443
Elections, State Board of	90,977	130,883	3,544	3,645	3,740
Employee Relations, Office of	214	369	378	388	398
Executive Chamber	3,832	2,767	2,979	3,105	2,869
General Services, Office of **	86,406	100,696	97,693	98,438	101,626
Inspector General, Office of	746	977	1,021	1,053	1,077
Law, Department of	74,245	72,047	70,677	75,223	76,482
Lieutenant Governor, Office of the	54	0	46	187	192
Lottery, Division of	170,764	154,420	158,248	158,248	158,248
Public Employment Relations Board	510	881	895	911	924
Public Integrity, Commission on	1,248	1,277	1,287	1,297	1,333
Racing and Wagering Board, State	6,278	6,767	6,940	6,935	6,935
Real Property Services, Office of	6,472	6,573	6,002	6,584	6,712
Regulatory Reform, Governor's Office of	561	30	32	32	32
State, Department of	19,692	21,180	21,085	21,063	21,063
Tax Appeals, Division of	442	400	412	412	412
Taxation and Finance, Department of	88,785	93,585	95,907	95,907	95,907
Technology, Office for	10,982	30,855	22,182	17,330	18,256
Lobbying, Temporary State Commission on	0	0	0	0	0
Veterans Affairs, Division of	827	1,160	1,151	1,151	1,174
<b>Functional Total</b>	<b>609,920</b>	<b>709,190</b>	<b>578,989</b>	<b>592,981</b>	<b>608,409</b>



**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
NON-PERSONAL SERVICE SPENDING (includes Indirect Costs)**  
(thousands of dollars)

ALL OTHER CATEGORIES	2008-2009	2009-2010	2010-2011	2011-2012	2012-2013
	Year-End*	Enacted	Projected	Projected	Projected
Legislature	54,873	55,900	55,933	55,933	55,933
Judiciary (excluding fringe benefits)	355,785	316,889	325,543	335,114	348,122
World Trade Center	0	0	0	0	0
Local Government Assistance	0	0	0	0	0
Long-Term Debt Service	0	0	0	0	0
General State Charges	0	0	0	0	0
Miscellaneous	105,893	16,357	63,080	33,584	24,054
<b>Functional Total</b>	<b>516,551</b>	<b>389,146</b>	<b>444,550</b>	<b>424,631</b>	<b>428,109</b>
<b>TOTAL NON-PERSONAL SERVICE (includes Indirect Costs) SPENDING</b>	<b>6,357,824</b>	<b>6,951,464</b>	<b>7,004,547</b>	<b>7,056,736</b>	<b>7,311,575</b>

GSC: Agency disbursements include grants to local governments, state operations and general state charges, which is a departure from prior Financial plan publications. In prior reports, general state charges were excluded from agency spending totals.

\*Unaudited Year-end Results

\*\* To facilitate comparability, the new Office of Procurement Services is reflected within the amounts shown for the Office of General Services.

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
GENERAL STATE CHARGES SPENDING**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	4,231	5,015	5,767	6,293	6,692
Alcoholic Beverage Control	3,762	4,094	4,345	4,481	4,786
Banking Department	16,116	19,573	20,722	20,931	22,312
Consumer Protection Board	990	45	45	0	0
Economic Development Capital Programs	0	0	0	0	0
Economic Development, Department of	87	28	28	28	28
Empire State Development Corporation	0	0	0	0	0
Energy Research and Development Authority	1,693	1,712	1,764	1,817	1,817
Housing and Community Renewal, Division of	16,420	17,793	17,860	18,528	20,277
Insurance Department	35,520	41,497	43,679	44,702	48,349
Olympic Regional Development Authority	0	0	0	0	0
Public Service, Department of	21,473	19,620	21,928	23,017	24,779
Science, Technology and Innovation, Foundation for	0	0	0	0	0
Strategic Investment	0	0	0	0	0
<b>Functional Total</b>	<b>100,292</b>	<b>109,377</b>	<b>116,138</b>	<b>119,797</b>	<b>129,040</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Adirondack Park Agency	0	0	0	0	0
Environmental Conservation, Department of	52,519	55,100	49,857	48,776	48,996
Environmental Facilities Corporation	1,944	2,094	2,136	2,179	2,222
Hudson River Park Trust	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	4,033	3,404	3,885	3,671	3,672
<b>Functional Total</b>	<b>58,496</b>	<b>60,598</b>	<b>55,878</b>	<b>54,626</b>	<b>54,890</b>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	24,194	24,034	28,008	29,833	29,833
Thruway Authority	0	0	0	0	0
Metropolitan Transportation Authority	0	0	0	0	0
Transportation, Department of	6,320	8,211	8,504	8,906	9,439
<b>Functional Total</b>	<b>30,514</b>	<b>32,245</b>	<b>36,512</b>	<b>38,739</b>	<b>39,272</b>
<b>HEALTH AND SOCIAL WELFARE</b>					
Aging, Office for the	146	226	216	263	263
Children and Family Services, Office of	11,378	11,016	11,253	11,424	11,424
OCFS	11,378	11,016	11,253	11,424	11,424
OCFS - Medicaid	0	0	0	0	0
Health, Department of	60,110	64,067	64,925	64,878	64,878
Medical Assistance	0	0	0	0	0
Medicaid Administration	0	0	0	0	0
Public Health	60,110	64,067	64,925	64,878	64,878
Health - Medicaid Assistance	0	0	0	0	0
Human Rights, Division of	222	3,323	2,305	2,409	2,559
Labor, Department of	87,046	104,496	107,136	103,496	108,278
Medicaid Inspector General, Office of	6,997	7,189	7,527	7,527	7,527
Prevention of Domestic Violence, Office for	0	0	0	0	0
Stem Cell and Innovation	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
GENERAL STATE CHARGES SPENDING**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>HEALTH AND SOCIAL WELFARE (Continued)</b>					
Temporary and Disability Assistance, Office of					
<i>Welfare Assistance</i>	38,404	40,028	43,819	45,226	48,689
<i>Welfare Administration</i>	0	0	0	0	0
<i>All Other</i>	38,404	40,028	43,819	45,226	48,689
Welfare Inspector General, Office of	85	212	207	214	221
Workers' Compensation Board	40,349	38,774	40,202	41,852	44,544
<b>Functional Total</b>	<b>244,737</b>	<b>269,331</b>	<b>277,590</b>	<b>277,289</b>	<b>288,383</b>
<b>MENTAL HYGIENE</b>					
Mental Health, Office of	471,695	483,994	520,339	539,929	582,853
<i>OMH</i>	156,932	145,240	153,198	160,156	173,009
<i>OMH - Medicaid</i>	314,763	338,754	367,141	379,773	409,844
Mental Hygiene, Department of	308,114	0	0	0	0
Mental Retardation and Developmental Disabilities, Office of	551,240	500,822	534,957	552,865	597,314
<i>OMRDD</i>	10	33	54	55	59
<i>OMRDD - Medicaid</i>	551,230	500,789	534,903	552,810	597,255
Alcoholism and Substance Abuse Services, Office of	23,371	29,984	31,811	33,212	35,855
<i>OASAS</i>	7,855	11,783	12,884	13,786	15,251
<i>OASAS - Medicaid</i>	15,516	18,201	19,927	19,426	20,604
Developmental Disabilities Planning Council	413	473	535	542	583
Quality of Care for the Mentally Disabled, Commission on	1,529	1,615	1,872	1,920	2,048
<b>Functional Total</b>	<b>1,356,362</b>	<b>1,016,888</b>	<b>1,089,514</b>	<b>1,128,468</b>	<b>1,218,653</b>
<b>PUBLIC PROTECTION</b>					
Capital Defenders Office	0	0	0	0	0
Correction, Commission of	5	0	0	0	0
Correctional Services, Department of	2,831	1,269	1,394	1,415	1,415
Crime Victims Board	38	1,973	2,062	2,111	2,245
Criminal Justice Services, Division of	137	1,442	1,616	1,616	1,616
Homeland Security	1,065	1,320	1,390	1,530	1,445
Investigation, Temporary State Commission of	0	0	0	0	0
Judicial Commissions	0	0	0	0	0
Military and Naval Affairs, Division of	7,729	8,997	10,344	10,610	11,441
Parole, Division of	0	0	0	0	0
Probation and Correctional Alternatives, Division of	87	2	3	3	3
State Police, Division of	18,074	24,341	22,915	23,598	25,170
<b>Functional Total</b>	<b>30,066</b>	<b>39,344</b>	<b>39,724</b>	<b>40,883</b>	<b>43,335</b>

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
GENERAL STATE CHARGES SPENDING**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>EDUCATION</b>					
Arts, Council on the	0	0	0	0	0
City University of New York	4,648	7,600	7,775	7,954	8,137
Education, Department of	69,403	79,468	82,617	83,273	83,524
<i>School Aid</i>	0	0	0	0	0
<i>School Aid - Medicaid Assistance</i>	0	0	0	0	0
<i>STAR Property Tax Relief</i>	0	0	0	0	0
<i>Special Education Categorical Programs</i>	0	0	0	0	0
<i>All Other</i>	69,403	79,468	82,617	83,273	83,524
Higher Education Services Corporation	16,697	14,985	16,881	17,480	18,789
Higher Education Capital Grants	0	0	0	0	0
State University Construction Fund	3,517	4,832	5,554	5,849	6,022
State University of New York	437,036	474,036	501,852	503,767	506,342
<b>Functional Total</b>	<b>531,301</b>	<b>560,921</b>	<b>614,679</b>	<b>618,323</b>	<b>622,814</b>
<b>GENERAL GOVERNMENT</b>					
Audit and Control, Department of	1,207	1,532	1,585	1,591	1,734
Budget, Division of the	1,475	2,883	3,147	3,414	3,528
Civil Service, Department of	146	239	247	256	271
Elections, State Board of	14	0	0	0	0
Employee Relations, Office of	0	0	0	0	0
Executive Chamber	0	0	0	0	0
General Services, Office of **	1,830	1,763	1,867	1,939	2,042
Inspector General, Office of	0	0	0	0	0
Law, Department of	14,110	18,701	20,459	21,794	23,935
Lieutenant Governor, Office of the	0	0	0	0	0
Lottery, Division of	9,484	10,420	11,254	11,516	12,198
Public Employment Relations Board	0	0	0	0	0
Public Integrity, Commission on	0	0	0	0	0
Racing and Wagering Board, State	5,701	4,678	4,932	5,047	5,380
Real Property Services, Office of	10,806	3,669	1,190	1,234	1,339
Regulatory Reform, Governor's Office of	0	0	0	0	0
State, Department of	7,251	9,857	10,341	10,667	13,203
Tax Appeals, Division of	0	0	0	0	0
Taxation and Finance, Department of	8,464	16,152	16,906	17,294	18,410
Technology, Office for	0	0	0	0	0
Lobbying, Temporary State Commission on	0	0	0	0	0
Veterans Affairs, Division of	368	380	432	443	478
<b>Functional Total</b>	<b>60,856</b>	<b>70,275</b>	<b>72,360</b>	<b>75,195</b>	<b>82,518</b>

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
GENERAL STATE CHARGES SPENDING**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>ALL OTHER CATEGORIES</b>					
Legislature	0	0	0	0	0
Judiciary (excluding fringe benefits)	463,212	489,363	510,532	543,163	542,960
World Trade Center	0	0	0	0	0
Local Government Assistance	0	0	0	0	0
Long-Term Debt Service	0	0	0	0	0
General State Charges	2,443,102	3,035,762	3,336,744	3,610,540	4,022,379
Miscellaneous	6,391	11,508	11,522	11,710	12,005
<b>Functional Total</b>	<b>2,912,705</b>	<b>3,536,633</b>	<b>3,858,798</b>	<b>4,165,413</b>	<b>4,577,344</b>
<b>TOTAL GENERAL STATE CHARGES SPENDING</b>	<b>5,325,329</b>	<b>5,715,612</b>	<b>6,161,193</b>	<b>6,518,733</b>	<b>7,056,249</b>

GSC: Agency disbursements include grants to local governments, state operations and general state charges, which is a departure from prior Financial plan publications. In prior reports, general state charges were excluded from agency spending totals.

\*Unaudited Year-end Results

\*\* To facilitate comparability, the new Office of Procurement Services is reflected within the amounts shown for the Office of General Services.

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
CAPITAL PROJECTS SPENDING**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	2,439	13,466	26,250	17,380	5,250
Alcoholic Beverage Control	0	0	0	0	0
Banking Department	0	0	0	0	0
Consumer Protection Board	0	0	0	0	0
Economic Development Capital Programs	52,242	78,675	144,050	160,599	170,890
Economic Development, Department of	0	0	0	0	0
Empire State Development Corporation	461,242	716,602	657,618	621,850	294,000
Energy Research and Development Authority	5,217	13,500	13,500	13,500	13,500
Housing and Community Renewal, Division of	3,291	253,122	0	0	0
Insurance Department	0	0	0	0	0
Olympic Regional Development Authority	100	2,000	0	0	0
Public Service, Department of	0	0	0	0	0
Science, Technology and Innovation, Foundation for	0	0	0	0	0
Strategic Investment	3,195	9,000	14,000	10,376	5,000
<b>Functional Total</b>	<b>527,726</b>	<b>1,086,365</b>	<b>855,416</b>	<b>823,705</b>	<b>488,640</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Adirondack Park Agency	0	0	0	0	0
Environmental Conservation, Department of	388,928	622,010	657,010	408,965	399,510
Environmental Facilities Corporation	473	343	343	343	343
Hudson River Park Trust	14,290	6,392	0	0	0
Parks, Recreation and Historic Preservation, Office of	88,522	103,050	45,050	34,050	34,050
<b>Functional Total</b>	<b>462,213</b>	<b>731,795</b>	<b>702,403</b>	<b>443,358</b>	<b>433,903</b>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	207,684	210,348	219,807	226,419	229,962
Thruway Authority	0	1,804	1,876	1,951	2,029
Metropolitan Transportation Authority	0	195,300	206,500	194,500	183,600
Transportation, Department of	2,962,148	3,720,501	4,217,211	3,951,845	3,717,369
<b>Functional Total</b>	<b>3,169,832</b>	<b>4,127,953</b>	<b>4,646,394</b>	<b>4,374,715</b>	<b>4,132,960</b>
<b>HEALTH AND SOCIAL WELFARE</b>					
Aging, Office for the	0	0	0	0	0
Children and Family Services, Office of	28,593	28,400	23,000	20,900	20,900
OCFS	28,593	28,400	23,000	20,900	20,900
OCFS - Medicaid	0	0	0	0	0
Health, Department of	10,086	340,551	375,658	518,940	188,895
Medical Assistance	0	0	0	0	0
Medicaid Administration	0	0	0	0	0
Public Health	10,086	340,551	375,658	518,940	188,895
Health - Medicaid Assistance	0	0	0	0	0
Human Rights, Division of	0	0	0	0	0
Labor, Department of	0	0	0	0	0
Medicaid Inspector General, Office of	0	0	0	0	0
Prevention of Domestic Violence, Office for	0	0	0	0	0
Stem Cell and Innovation	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
CAPITAL PROJECTS SPENDING**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>HEALTH AND SOCIAL WELFARE (Continued)</b>					
Temporary and Disability Assistance, Office of					
<i>Welfare Assistance</i>	31,600	30,390	35,000	35,000	35,000
<i>Welfare Administration</i>	0	0	0	0	0
<i>All Other</i>	0	0	0	0	0
Welfare Inspector General, Office of	31,600	30,390	35,000	35,000	35,000
Workers' Compensation Board	0	0	0	0	0
<b>Functional Total</b>	<b>70,279</b>	<b>399,341</b>	<b>433,658</b>	<b>574,840</b>	<b>244,795</b>
<b>MENTAL HYGIENE</b>					
Mental Health, Office of					
<i>OMH</i>	88,475	93,822	82,843	80,570	80,570
<i>OMH - Medicaid</i>	0	93,822	82,843	80,570	80,570
Mental Hygiene, Department of	0	0	0	0	0
Mental Retardation and Developmental Disabilities, Office of	31,185	0	0	0	0
<i>OMRDD</i>	31,185	38,865	38,830	43,590	45,220
<i>OMRDD - Medicaid</i>	0	0	0	0	0
Alcoholism and Substance Abuse Services, Office of	1,681	11,123	6,622	10,667	11,167
<i>OASAS</i>	1,681	11,123	6,622	10,667	11,167
<i>OASAS - Medicaid</i>	0	0	0	0	0
Developmental Disabilities Planning Council	0	0	0	0	0
Quality of Care for the Mentally Disabled, Commission on	0	0	0	0	0
<b>Functional Total</b>	<b>121,341</b>	<b>143,810</b>	<b>128,295</b>	<b>134,827</b>	<b>196,957</b>
<b>PUBLIC PROTECTION</b>					
Capital Defenders Office	0	0	0	0	0
Correction, Commission of	0	0	0	0	0
Correctional Services, Department of	279,151	315,500	323,000	330,000	330,000
Crime Victims Board	0	0	0	0	0
Criminal Justice Services, Division of	0	0	0	0	0
Homeland Security	3,225	1,225	0	0	0
Investigation, Temporary State Commission of	0	0	0	0	0
Judicial Commissions	0	0	0	0	0
Military and Naval Affairs, Division of	43,117	35,900	55,600	51,000	51,000
Parole, Division of	0	0	0	0	0
Probation and Correctional Alternatives, Division of	0	0	0	0	0
State Police, Division of	4,162	29,772	40,949	37,800	17,800
<b>Functional Total</b>	<b>329,655</b>	<b>382,397</b>	<b>419,549</b>	<b>418,800</b>	<b>398,800</b>

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
CAPITAL PROJECTS SPENDING**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>EDUCATION</b>					
Arts, Council on the	0	0	0	0	0
City University of New York	6,093	9,572	11,482	13,705	15,144
Education, Department of	7,267	49,497	49,530	26,215	8,320
<i>School Aid</i>	0	0	0	0	0
<i>School Aid - Medicaid Assistance</i>	0	0	0	0	0
<i>STAR Property Tax Relief</i>	0	0	0	0	0
<i>Special Education Categorical Programs</i>	0	0	0	0	0
<i>All Other</i>	7,267	49,497	49,530	26,215	8,320
Higher Education Services Corporation	0	0	0	0	0
Higher Education Capital Grants	0	67,746	40,000	38,000	0
State University Construction Fund	0	0	0	0	0
State University of New York	584,935	813,000	1,099,000	1,122,200	1,103,000
<b>Functional Total</b>	<b>598,295</b>	<b>939,815</b>	<b>1,200,012</b>	<b>1,200,120</b>	<b>1,126,464</b>
<b>GENERAL GOVERNMENT</b>					
Audit and Control, Department of	0	0	0	0	0
Budget, Division of the	0	0	0	0	0
Civil Service, Department of	0	0	0	0	0
Elections, State Board of	0	0	0	0	0
Employee Relations, Office of	0	0	0	0	0
Executive Chamber	0	0	0	0	0
General Services, Office of **	66,530	68,059	66,459	72,250	72,250
Inspector General, Office of	0	0	0	0	0
Law, Department of	0	0	0	0	0
Lieutenant Governor, Office of the	0	0	0	0	0
Lottery, Division of	0	0	0	0	0
Public Employment Relations Board	0	0	0	0	0
Public Integrity, Commission on	0	0	0	0	0
Racing and Wagering Board, State	0	0	0	0	0
Real Property Services, Office of	0	0	0	0	0
Regulatory Reform, Governor's Office of	0	0	0	0	0
State, Department of	18,920	2,750	2,750	2,750	2,750
Tax Appeals, Division of	0	0	0	0	0
Taxation and Finance, Department of	0	0	0	0	0
Technology, Office for	126	96,874	115,000	118,095	90,000
Lobbying, Temporary State Commission on	0	0	0	0	0
Veterans Affairs, Division of	0	0	0	0	0
<b>Functional Total</b>	<b>85,576</b>	<b>167,683</b>	<b>184,209</b>	<b>193,095</b>	<b>165,000</b>



**CASH DISBURSEMENTS BY FUNCTION**  
**ALL GOVERNMENTAL FUNDS**  
**CAPITAL PROJECTS SPENDING**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>ALL OTHER CATEGORIES</b>					
Legislature	0	0	0	0	0
Judiciary (excluding fringe benefits)	1,862	24,500	23,500	23,700	4,200
World Trade Center	46,247	54,119	44,119	34,118	20,000
Local Government Assistance	0	0	0	0	0
Long-Term Debt Service	0	0	0	0	0
General State Charges	0	0	0	0	0
Miscellaneous	70,160	(82,198)	(108,900)	(133,500)	(150,000)
<b>Functional Total</b>	<u>118,269</u>	<u>(3,579)</u>	<u>(41,281)</u>	<u>(75,682)</u>	<u>(125,800)</u>
<b>TOTAL CAPITAL PROJECTS SPENDING</b>	<u>5,483,186</u>	<u>7,975,560</u>	<u>8,527,657</u>	<u>8,087,778</u>	<u>7,001,719</u>

\*Unaudited Year-end Results

\*\* To facilitate comparability, the new Office of Procurement Services is reflected within the amounts shown for the Office of General Services.

**CASH DISBURSEMENTS BY FUNCTION**  
**STATE FUNDS**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	96,293	98,639	112,107	106,119	94,736
Alcoholic Beverage Control	17,022	18,075	18,781	19,114	19,607
Banking Department	78,971	79,009	81,698	80,831	82,212
Consumer Protection Board	3,840	3,096	3,266	3,231	3,321
Economic Development Capital Programs	21,176	18,300	0	0	0
Economic Development, Department of	104,306	106,500	187,044	203,621	213,912
Empire State Development Corporation	620,568	749,723	695,739	658,604	330,754
Energy Research and Development Authority	22,741	29,560	28,798	30,041	30,041
Housing and Community Renewal, Division of	246,179	247,037	224,429	222,447	220,603
Insurance Department	292,668	521,987	540,616	564,639	569,531
Olympic Regional Development Authority	9,503	9,509	7,714	7,924	7,924
Public Service, Department of	77,154	77,740	82,811	85,625	88,140
Science, Technology and Innovation, Foundation for	27,186	26,122	26,674	27,455	27,455
Strategic Investment	3,195	9,000	14,000	10,376	5,000
<b>Functional Total</b>	<b>1,620,802</b>	<b>1,994,297</b>	<b>2,024,677</b>	<b>2,020,027</b>	<b>1,693,236</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Adirondack Park Agency	5,298	5,217	5,388	5,391	5,393
Environmental Conservation, Department of	740,184	764,808	766,879	753,040	744,489
Environmental Facilities Corporation	14,758	9,967	10,246	10,428	10,612
Hudson River Park Trust	14,290	21,392	10,000	0	0
Parks, Recreation and Historic Preservation, Office of	325,679	305,325	253,853	241,234	242,852
<b>Functional Total</b>	<b>1,100,209</b>	<b>1,106,709</b>	<b>1,046,366</b>	<b>1,010,093</b>	<b>1,003,346</b>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	301,757	308,514	323,432	333,372	336,915
Thruway Authority	1,419	1,804	1,876	1,951	2,029
Metropolitan Transportation Authority	160,000	195,300	206,500	194,500	183,600
Transportation, Department of	4,791,891	4,599,150	4,737,163	4,614,578	4,606,367
<b>Functional Total</b>	<b>5,255,067</b>	<b>5,104,768</b>	<b>5,268,971</b>	<b>5,144,401</b>	<b>5,128,911</b>
<b>HEALTH AND SOCIAL WELFARE</b>					
Aging, Office for the	124,708	118,568	121,506	125,400	125,400
Children and Family Services, Office of	1,971,261	2,118,030	2,268,145	2,471,359	2,622,380
OCFS	1,925,428	2,047,186	2,151,459	2,333,004	2,479,694
OCFS - Medicaid	45,833	70,844	116,686	138,355	142,686
Health, Department of	14,652,884	13,816,315	15,695,487	20,802,211	21,820,803
Medical Assistance	11,117,662	10,443,673	12,243,852	17,097,312	18,307,118
Medicaid Administration	451,783	458,500	481,250	503,750	526,750
Public Health	3,083,439	2,914,142	2,970,385	3,201,149	2,986,935
Health - Medicaid Assistance	0	0	0	0	0
Human Rights, Division of	12,214	11,087	12,781	12,775	12,775
Labor, Department of	74,403	79,248	64,679	63,739	64,758
Medicaid Inspector General, Office of	26,234	34,449	36,057	39,474	39,474
Prevention of Domestic Violence, Office for	2,422	2,374	2,311	2,323	2,344
Stem Cell and Innovation	7,797	46,321	71,500	50,000	167,826

**CASH DISBURSEMENTS BY FUNCTION**  
**STATE FUNDS**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>HEALTH AND SOCIAL WELFARE (Continued)</b>					
Temporary and Disability Assistance, Office of	1,311,659	1,373,113	1,409,803	1,452,824	1,541,067
<i>Welfare Assistance</i>	742,277	1,101,503	1,129,111	1,167,911	1,253,111
<i>Welfare Administration</i>	361,065	56,433	55,041	55,041	55,041
<i>All Other</i>	208,317	215,177	225,651	229,872	232,915
Welfare Inspector General, Office of	382	343	355	358	358
Workers' Compensation Board	201,505	205,669	189,892	194,066	198,951
<b>Functional Total</b>	<b>18,385,469</b>	<b>17,805,517</b>	<b>19,872,516</b>	<b>25,214,529</b>	<b>26,596,136</b>
<b>MENTAL HEALTH</b>					
Mental Health, Office of	2,176,027	2,080,355	2,312,183	2,729,013	2,815,802
<i>OMH</i>	1,363,971	1,445,243	1,598,513	1,725,191	1,771,533
<i>OMH - Medicaid</i>	812,056	635,112	713,670	1,003,822	1,044,269
Mental Hygiene, Department of	308,114	0	0	0	0
Mental Retardation and Developmental Disabilities, Office of	2,141,694	2,134,623	2,274,356	2,663,416	2,790,246
<i>OMRDD</i>	525,332	511,082	518,183	536,397	559,580
<i>OMRDD - Medicaid</i>	1,616,362	1,623,541	1,756,173	2,127,019	2,230,666
Alcoholism and Substance Abuse Services, Office of	443,382	511,787	549,980	623,832	656,758
<i>OASAS</i>	367,288	431,149	464,383	536,078	568,174
<i>OASAS - Medicaid</i>	76,094	80,638	85,197	87,754	88,584
Developmental Disabilities Planning Council	0	0	0	0	0
Quality of Care for the Mentally Disabled, Commission on	5,072	6,053	6,304	6,341	6,423
<b>Functional Total</b>	<b>5,074,289</b>	<b>4,732,818</b>	<b>5,142,423</b>	<b>6,022,602</b>	<b>6,269,229</b>
<b>PUBLIC PROTECTION</b>					
Capital Defenders Office	370	0	0	0	0
Correction, Commission of	2,653	2,658	2,785	2,814	2,848
Correctional Services, Department of	2,654,029	2,617,158	2,664,202	2,690,296	2,729,046
Crime Victims Board	29,994	33,046	33,060	33,162	33,355
Criminal Justice Services, Division of	209,287	186,871	171,770	169,113	168,310
Homeland Security	28,982	65,819	65,229	66,606	63,968
Investigation, Temporary State Commission of	3,554	0	0	0	0
Judicial Commissions	5,288	5,214	5,208	5,311	5,385
Military and Naval Affairs, Division of	81,266	101,905	65,267	49,655	50,226
Parole, Division of	196,590	188,700	191,630	195,984	199,977
Probation and Correctional Alternatives, Division of	79,007	69,137	70,773	76,961	78,496
State Police, Division of	645,106	734,141	729,400	726,822	702,898
<b>Functional Total</b>	<b>3,936,126</b>	<b>4,004,649</b>	<b>3,999,324</b>	<b>4,016,724</b>	<b>4,034,509</b>

**CASH DISBURSEMENTS BY FUNCTION**  
**STATE FUNDS**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>EDUCATION</b>					
Arts, Council on the	45,571	48,303	48,209	48,307	48,307
City University of New York	1,071,277	1,703,162	1,484,102	1,549,843	1,583,274
Education, Department of	26,974,252	26,183,776	26,975,180	29,051,906	31,359,455
<i>School Aid</i>	20,603,952	20,736,073	21,558,513	23,233,956	25,296,190
<i>School Aid - Medicaid Assistance</i>	106,331	40,000	80,000	80,000	80,000
<i>STAR Property Tax Relief</i>	4,435,383	3,524,450	3,480,270	3,677,620	3,854,167
<i>Special Education Categorical Programs</i>	1,041,373	970,090	1,062,950	1,292,470	1,368,790
<i>All Other</i>	787,213	913,163	793,447	767,860	760,308
Higher Education Services Corporation	905,937	995,070	935,835	970,423	988,875
Higher Education Capital Grants	4,254	67,746	40,000	38,000	0
State University Construction Fund	16,482	19,586	20,992	21,463	21,822
State University of New York	6,278,710	6,833,379	7,302,304	7,453,765	7,524,122
<b>Functional Total</b>	<b>35,296,483</b>	<b>35,851,022</b>	<b>36,806,622</b>	<b>39,133,707</b>	<b>41,525,855</b>
<b>GENERAL GOVERNMENT</b>					
Audit and Control, Department of	258,126	263,980	265,052	269,832	274,416
Budget, Division of the	43,813	77,301	84,259	97,199	107,291
Civil Services, Department of	23,744	21,679	22,551	22,763	23,014
Elections, State Board of	14,624	15,741	7,175	7,284	7,426
Employee Relations, Office of	3,694	3,466	3,795	3,833	3,872
Executive Chamber	19,252	17,077	18,023	18,647	18,924
General Services, Office of **	210,600	217,623	217,610	225,902	230,092
Inspector General, Office of	6,446	6,462	6,776	6,852	6,937
Law, Department of	200,015	201,296	200,026	206,346	209,769
Lieutenant Governor, Office of the	133	0	276	1,193	1,208
Lottery, Division of	200,951	188,151	193,807	194,069	194,751
Public Employment Relations Board	3,660	4,270	4,561	4,600	4,648
Public Integrity, Commission on	4,879	4,865	5,017	5,350	5,530
Racing and Wagering Board, State	24,307	21,065	21,802	21,902	22,235
Real Property Services, Office of	58,369	46,269	42,761	43,772	44,359
Regulatory Reform, Governor's Office of	3,438	542	697	697	697
State, Department of	117,819	109,306	97,223	93,583	94,217
Tax Appeals, Division of	3,422	3,025	3,152	3,152	3,152
Taxation and Finance, Department of	372,354	411,951	426,768	427,207	428,323
Technology, Office for	21,364	129,131	143,475	147,592	120,543
Lobbying, Temporary State Commission on	(77)	0	0	0	0
Veterans Affairs, Division of	14,251	15,569	16,347	15,910	15,985
<b>Functional Total</b>	<b>1,605,184</b>	<b>1,758,668</b>	<b>1,781,153</b>	<b>1,817,685</b>	<b>1,817,389</b>

**CASH DISBURSEMENTS BY FUNCTION**  
**STATE FUNDS**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>ALL OTHER CATEGORIES</b>					
Legislature	221,729	225,717	220,717	220,717	220,717
Judiciary (excluding fringe benefits)	2,419,892	2,505,526	2,718,441	2,911,826	2,939,210
World Trade Center	0	0	0	0	0
Local Government Assistance	1,037,389	1,134,517	1,129,524	1,132,764	1,135,888
Long-Term Debt Service	4,537,236	5,218,118	5,865,330	6,257,784	6,623,514
Capital Projects	0	0	0	0	0
General State Charges	2,443,102	3,035,762	3,336,744	3,610,540	4,022,379
Miscellaneous	212,383	179,575	(211,481)	(68,535)	(137,435)
<b>Functional Total</b>	<u>10,871,731</u>	<u>12,299,215</u>	<u>13,059,275</u>	<u>14,065,096</u>	<u>14,804,273</u>
<b>TOTAL STATE FUNDS SPENDING</b>	<u>83,145,360</u>	<u>84,657,663</u>	<u>89,001,327</u>	<u>98,444,864</u>	<u>102,872,884</u>

GSC: Agency disbursements include grants to local governments, state operations and general state charges, which is a departure from prior Financial plan publications. In prior reports, general state charges were excluded from agency spending totals.

\*Unaudited Year-end Results

\*\* To facilitate comparability, the new Office of Procurement Services is reflected within the amounts shown for the Office of General Services.

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
LOCAL ASSISTANCE SPENDING  
(thousands of dollars)**

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	27,778	20,659	17,306	17,136	17,136
Alcoholic Beverage Control	0	0	0	0	0
Banking Department	661	1,000	0	0	0
Consumer Protection Board	0	0	0	0	0
Economic Development Capital Programs	26,407	2,491	0	0	0
Economic Development, Department of	11,610	7,974	7,583	6,915	6,915
Empire State Development Corporation	159,326	33,121	38,121	36,754	36,754
Energy Research and Development Authority	10,014	9,234	9,234	9,234	9,234
Housing and Community Renewal, Division of	164,152	169,389	145,184	140,422	135,821
Insurance Department	10,203	307,489	321,389	344,389	344,389
Olympic Regional Development Authority	2,825	0	0	0	0
Public Service, Department of	0	400	400	400	400
Science, Technology and Innovation, Foundation for	24,244	22,365	22,835	23,555	23,555
Strategic Investment	0	0	0	0	0
<b>Functional Total</b>	<b>437,220</b>	<b>574,122</b>	<b>562,052</b>	<b>578,805</b>	<b>574,204</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Adirondack Park Agency	25	0	0	0	0
Environmental Conservation, Department of	61,039	35,690	37,936	37,537	37,537
Environmental Facilities Corporation	4,400	0	0	0	0
Hudson River Park Trust	0	15,000	10,000	0	0
Parks, Recreation and Historic Preservation, Office of	41,343	22,400	22,400	19,550	19,550
<b>Functional Total</b>	<b>106,807</b>	<b>73,090</b>	<b>70,336</b>	<b>57,087</b>	<b>57,087</b>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	0	0	0	0	0
Thruway Authority	1,419	0	0	0	0
Metropolitan Transportation Authority	160,000	0	0	0	0
Transportation, Department of	3,018,670	2,610,213	2,648,996	2,646,642	2,652,946
<b>Functional Total</b>	<b>3,180,089</b>	<b>2,610,213</b>	<b>2,648,996</b>	<b>2,646,642</b>	<b>2,652,946</b>
<b>HEALTH AND SOCIAL WELFARE</b>					
Aging, Office for the	121,712	116,199	119,057	122,864	122,864
Children and Family Services, Office of	1,673,537	1,824,862	1,969,524	2,171,565	2,314,065
OCFS	1,627,704	1,754,018	1,852,838	2,033,210	2,171,379
OCFS - Medicaid	45,833	70,844	116,686	138,355	142,686
Health, Department of	14,105,633	13,026,110	14,748,924	19,699,554	21,048,190
Medical Assistance	11,103,112	10,443,673	12,243,852	17,097,312	18,307,118
Medicaid Administration	451,783	458,500	481,250	503,750	526,750
Public Health	2,550,738	2,123,937	2,023,822	2,098,492	2,214,322
Health - Medicaid Assistance	0	0	0	0	0
Human Rights, Division of	0	0	0	0	0
Labor, Department of	11,819	21,564	4,680	2,659	2,659
Medicaid Inspector General, Office of	0	0	0	0	0
Prevention of Domestic Violence, Office for	792	843	685	685	685
Stem Cell and Innovation	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION**  
**STATE FUNDS**  
**LOCAL ASSISTANCE SPENDING**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>HEALTH AND SOCIAL WELFARE (Continued)</b>					
Temporary and Disability Assistance, Office of	1,227,445	1,276,624	1,302,431	1,342,547	1,429,063
Welfare Assistance	742,277	1,101,503	1,129,111	1,167,911	1,253,111
Welfare Administration	361,065	56,433	55,041	55,041	55,041
All Other	124,103	118,688	118,279	119,595	120,911
Welfare Inspector General, Office of	0	0	0	0	0
Workers' Compensation Board	0	0	0	0	0
<b>Functional Total</b>	<b>17,140,938</b>	<b>16,266,202</b>	<b>18,145,301</b>	<b>23,339,874</b>	<b>24,917,526</b>
<b>MENTAL HYGIENE</b>					
Mental Health, Office of	1,073,803	1,178,219	1,322,147	1,461,744	1,508,762
OMH	674,475	777,057	903,335	1,015,552	1,040,100
OMH - Medicaid	389,328	401,162	418,812	446,192	468,662
Mental Hygiene, Department of	0	0	0	0	0
Mental Retardation and Developmental Disabilities, Office of	1,778,612	1,859,682	1,951,984	2,060,916	2,168,047
OMRDD	493,938	472,041	479,177	492,631	514,184
OMRDD - Medicaid	1,284,674	1,387,641	1,472,807	1,568,285	1,653,863
Alcoholism and Substance Abuse Services, Office of	357,879	412,685	451,061	517,734	546,743
OASAS	325,199	379,826	414,666	479,549	508,547
OASAS - Medicaid	32,680	32,859	36,395	38,185	38,196
Developmental Disabilities Planning Council	0	0	0	0	0
Quality of Care for the Mentally Disabled, Commission on	569	473	473	450	450
<b>Functional Total</b>	<b>3,210,863</b>	<b>3,451,059</b>	<b>3,725,665</b>	<b>4,040,844</b>	<b>4,224,002</b>
<b>PUBLIC PROTECTION</b>					
Capital Defenders Office	0	0	0	0	0
Correctional, Commission of	0	0	0	0	0
Correctional Services, Department of	1,666	2,740	300	243	243
Crime Victims Board	25,327	26,753	26,690	26,690	26,690
Criminal Justice Services, Division of	122,797	108,789	92,380	89,839	89,839
Homeland Security	0	0	0	0	0
Investigation, Temporary State Commission of	0	0	0	0	0
Judicial Commissions	0	0	0	0	0
Military and Naval Affairs, Division of	18,962	49,757	22,835	9,466	9,466
Parole, Division of	23,453	16,301	10,999	12,582	14,129
Probation and Correctional Alternatives, Division of	76,522	66,691	68,200	74,358	75,858
State Police, Division of	0	0	0	0	0
<b>Functional Total</b>	<b>268,727</b>	<b>271,031</b>	<b>221,404</b>	<b>213,178</b>	<b>216,225</b>

**CASH DISBURSEMENTS BY FUNCTION**  
**STATE FUNDS**  
**LOCAL ASSISTANCE SPENDING**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>EDUCATION</b>					
Arts, Council on the	40,073	42,748	42,400	42,498	42,498
City University of New York	957,512	1,555,590	1,332,925	1,394,721	1,424,962
Education, Department of	26,802,716	25,976,182	26,766,758	28,865,073	31,187,011
<i>School Aid</i>	20,603,952	20,736,073	21,558,513	23,233,956	25,296,190
<i>School Aid - Medicaid Assistance</i>	106,331	40,000	80,000	80,000	80,000
<i>STAR Property Tax Relief</i>	4,435,383	3,524,450	3,480,270	3,677,620	3,854,167
<i>Special Education Categorical Programs</i>	1,041,373	970,090	1,062,950	1,292,470	1,368,790
<i>All Other</i>	615,677	705,569	585,025	581,027	587,864
Higher Education Services Corporation	810,378	847,188	822,991	854,971	870,041
Higher Education Capital Grants	4,254	0	0	0	0
State University Construction Fund	0	0	0	0	0
State University of New York	467,010	455,896	444,086	489,919	489,919
<b>Functional Total</b>	<b>29,081,943</b>	<b>28,877,604</b>	<b>29,409,160</b>	<b>31,647,182</b>	<b>34,014,431</b>
<b>GENERAL GOVERNMENT</b>					
Audit and Control, Department of	117,017	108,469	109,319	109,744	109,744
Budget, Division of the	29	0	0	0	0
Civil Service, Department of	0	0	0	0	0
Elections, State Board of	375	3,888	0	0	0
Employee Relations, Office of	0	0	0	0	0
Executive Chamber	0	0	0	0	0
General Services, Office of **	99	400	400	324	324
Inspector General, Office of	0	0	0	0	0
Law, Department of	0	100	100	81	81
Lieutenant Governor, Office of the	0	0	0	0	0
Lottery, Division of	0	0	0	0	0
Public Employment Relations Board	0	0	0	0	0
Public Integrity, Commission on	0	0	0	0	0
Racing and Wagering Board, State	0	0	0	0	0
Real Property Services, Office of	17,443	13,870	13,621	13,836	13,986
Regulatory Reform, Governor's Office of	0	0	0	0	0
State, Department of	40,781	50,849	37,228	33,428	33,428
Tax Appeals, Division of	0	0	0	0	0
Taxation and Finance, Department of	0	0	0	0	0
Technology, Office for	0	2,500	0	0	0
Lobbying, Temporary State Commission on	0	0	0	0	0
Veterans Affairs, Division of	8,065	8,975	9,513	9,076	9,076
<b>Functional Total</b>	<b>183,809</b>	<b>189,051</b>	<b>170,181</b>	<b>166,489</b>	<b>166,639</b>



**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
LOCAL ASSISTANCE SPENDING**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>ALL OTHER CATEGORIES</b>					
Legislature	0	0	0	0	0
Judiciary (excluding fringe benefits)	116,278	122,300	121,800	124,800	125,800
World Trade Center	0	0	0	0	0
Local Government Assistance	1,037,389	1,134,517	1,129,524	1,132,764	1,135,888
Long-Term Debt Service	0	0	0	0	0
General State Charges	33,748	47,859	(228,554)	(33,166)	(63,499)
Miscellaneous	1,187,415	1,304,676	1,022,770	1,224,398	1,198,189
<b>Functional Total</b>					
	<u>54,797,811</u>	<u>53,617,048</u>	<u>55,975,765</u>	<u>63,914,499</u>	<u>68,021,249</u>
<b>TOTAL LOCAL ASSISTANCE SPENDING</b>					

GSC: Agency disbursements include grants to local governments, state operations and general state charges, which is a departure from prior Financial plan publications. In prior reports, general state charges were excluded from agency spending totals.

\*Unaudited Year-end Results

\*\* To facilitate comparability, the new Office of Procurement Services is reflected within the amounts shown for the Office of General Services.

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
STATE OPERATIONS SPENDING  
(thousands of dollars)**

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	62,702	60,203	63,577	66,125	66,524
Alcoholic Beverage Control	13,260	13,981	14,436	14,633	14,821
Banking Department	62,194	58,436	60,976	59,900	59,900
Consumer Protection Board	2,850	3,051	3,221	3,231	3,321
Economic Development Capital Programs	0	0	0	0	0
Economic Development, Department of	35,136	35,632	35,383	36,079	36,079
Empire State Development Corporation	0	0	0	0	0
Energy Research and Development Authority	5,817	5,114	5,300	5,490	5,490
Housing and Community Renewal, Division of	68,146	62,172	64,010	66,122	67,724
Insurance Department	246,945	173,001	175,548	175,548	176,793
Olympic Regional Development Authority	6,578	7,509	7,714	7,924	7,924
Public Service, Department of	56,075	58,101	60,936	62,672	63,458
Science, Technology and Innovation, Foundation for	2,942	3,757	3,839	3,900	3,900
Strategic Investment	0	0	0	0	0
<b>Functional Total</b>	<b>562,645</b>	<b>480,957</b>	<b>494,940</b>	<b>501,624</b>	<b>505,934</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Adirondack Park Agency	5,273	5,217	5,388	5,391	5,393
Environmental Conservation, Department of	286,182	278,563	284,460	285,146	286,830
Environmental Facilities Corporation	7,941	7,530	7,767	7,906	8,047
Hudson River Park Trust	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	196,938	179,778	185,835	187,280	188,897
<b>Functional Total</b>	<b>496,334</b>	<b>471,088</b>	<b>483,450</b>	<b>485,723</b>	<b>488,167</b>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	70,316	74,689	76,222	77,763	77,763
Thruway Authority	0	0	0	0	0
Metropolitan Transportation Authority	0	0	0	0	0
Transportation, Department of	27,625	21,104	21,725	22,366	23,027
<b>Functional Total</b>	<b>97,941</b>	<b>95,793</b>	<b>97,947</b>	<b>100,129</b>	<b>100,790</b>
<b>HEALTH AND SOCIAL WELFARE</b>					
Aging, Office for the	2,980	2,369	2,449	2,536	2,536
Children and Family Services, Office of	267,995	263,586	274,402	277,626	286,147
OCFS	267,995	263,586	274,402	277,626	286,147
OCFS - Medicaid	0	0	0	0	0
Health, Department of	502,156	496,863	531,346	542,279	542,280
Medical Assistance	14,550	0	0	0	0
Medicaid Administration	0	0	0	0	0
Public Health	487,606	496,863	531,346	542,279	542,280
Health - Medicaid Assistance	0	0	0	0	0
Human Rights, Division of	12,214	11,087	12,781	12,775	12,775
Labor, Department of	48,314	44,446	45,659	46,269	46,269
Medicaid Inspector General, Office of	26,082	34,339	35,931	39,348	39,348
Prevention of Domestic Violence, Office for	1,630	1,531	1,626	1,638	1,659
Stem Cell and Innovation	7,797	46,321	71,500	50,000	167,826

**CASH DISBURSEMENTS BY FUNCTION**  
**STATE FUNDS**  
**STATE OPERATIONS SPENDING**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>HEALTH AND SOCIAL WELFARE (Continued)</b>					
Temporary and Disability Assistance, Office of	51,935	65,151	71,689	74,578	76,274
<i>Welfare Assistance</i>	0	0	0	0	0
<i>Welfare Administration</i>	0	0	0	0	0
<i>All Other</i>	51,935	65,151	71,689	74,578	76,274
Welfare Inspector General, Office of	382	343	355	358	358
Workers' Compensation Board	161,156	166,895	149,690	152,214	154,407
<b>Functional Total</b>	<b>1,082,641</b>	<b>1,132,931</b>	<b>1,197,426</b>	<b>1,199,621</b>	<b>1,329,879</b>
<b>MENTAL HYGIENE</b>					
Mental Health, Office of	780,145	623,205	697,931	886,120	902,203
<i>OMH</i>	444,555	429,568	459,581	469,357	478,298
<i>OMH - Medicaid</i>	335,590	193,637	238,350	416,763	423,905
Mental Hygiene, Department of	0	0	0	0	0
Mental Retardation and Developmental Disabilities, Office of	240,977	176,351	212,279	399,734	405,320
<i>OMRDD</i>	209	176	176	176	176
<i>OMRDD - Medicaid</i>	240,768	176,175	212,103	399,558	405,144
Alcoholism and Substance Abuse Services, Office of	66,498	66,642	69,384	71,751	73,247
OASAS	32,560	30,617	32,716	34,650	35,955
OASAS - Medicaid	33,938	36,025	36,668	37,101	37,292
Developmental Disabilities Planning Council	0	0	0	0	0
Quality of Care for the Mentally Disabled, Commission on	4,479	5,555	5,804	5,864	5,944
<b>Functional Total</b>	<b>1,082,099</b>	<b>871,753</b>	<b>985,398</b>	<b>1,363,469</b>	<b>1,386,714</b>
<b>PUBLIC PROTECTION</b>					
Capital Defenders Office	370	0	0	0	0
Correction, Commission of	2,653	2,658	2,785	2,814	2,848
Correctional Services, Department of	2,371,212	2,298,918	2,340,902	2,360,053	2,398,803
Crime Victims Board	4,659	4,633	4,634	4,687	4,746
Criminal Justice Services, Division of	86,408	78,029	79,324	79,208	78,405
Homeland Security	26,757	65,386	65,217	66,594	63,956
Investigation, Temporary State Commission of	3,554	0	0	0	0
Judicial Commissions	5,288	5,214	5,208	5,311	5,385
Military and Naval Affairs, Division of	46,077	37,361	30,934	29,268	29,719
Parole, Division of	173,137	172,399	180,631	183,402	185,848
Probation and Correctional Alternatives, Division of	2,485	2,446	2,573	2,603	2,638
State Police, Division of	623,337	681,015	666,523	666,411	680,915
<b>Functional Total</b>	<b>3,345,937</b>	<b>3,348,059</b>	<b>3,378,731</b>	<b>3,400,351</b>	<b>3,433,263</b>

**CASH DISBURSEMENTS BY FUNCTION**  
**STATE FUNDS**  
**STATE OPERATIONS SPENDING**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>EDUCATION</b>					
Arts, Council on the	5,498	5,555	5,809	5,809	5,809
City University of New York	103,024	130,400	131,920	133,463	135,031
Education, Department of	134,563	133,048	132,425	133,495	136,750
<i>School Aid</i>	0	0	0	0	0
<i>School Aid - Medicaid Assistance</i>	0	0	0	0	0
<i>STAR Property Tax Relief</i>	0	0	0	0	0
<i>Special Education Categorical Programs</i>	0	0	0	0	0
<i>All Other</i>	134,563	133,048	132,425	133,495	136,750
Higher Education Services Corporation	78,862	132,897	95,963	97,972	100,045
Higher Education Capital Grants	0	0	0	0	0
Slate University Construction Fund	12,965	14,754	15,438	15,614	15,800
Slate University of New York	4,789,745	5,090,497	5,257,416	5,337,929	5,424,911
<b>Functional Total</b>	<b>5,124,657</b>	<b>5,607,151</b>	<b>5,638,971</b>	<b>5,724,282</b>	<b>5,818,346</b>
<b>GENERAL GOVERNMENT</b>					
Audit and Control, Department of	139,902	153,979	154,148	158,497	162,938
Budget, Division of the	42,309	74,418	81,112	93,785	103,763
Civil Service, Department of	23,598	21,440	22,304	22,507	22,743
Elections, State Board of	14,249	11,853	7,175	7,284	7,426
Employee Relations, Office of	3,694	3,465	3,795	3,833	3,872
Executive Chamber	19,252	17,077	18,023	18,647	18,924
General Services, Office of **	142,141	147,401	148,884	151,389	155,476
Inspector General, Office of	6,446	6,462	6,776	6,852	6,937
Law, Department of	191,536	191,164	189,564	194,868	197,008
Lieutenant Governor, Office of the	133	0	276	1,193	1,208
Lottery, Division of	191,467	177,731	182,553	182,553	182,553
Public Employment Relations Board	3,660	4,270	4,561	4,600	4,648
Public Integrity, Commission on	4,879	4,865	5,017	5,350	5,530
Racing and Wagering Board, State	18,606	16,386	16,870	16,855	16,855
Real Property Services, Office of	30,120	28,730	27,950	28,702	29,034
Regulatory Reform, Governor's Office of	3,438	542	697	697	697
State, Department of	51,566	47,509	48,708	48,647	48,647
Tax Appeals, Division of	3,422	3,025	3,152	3,152	3,152
Taxation and Finance, Department of	363,890	395,728	409,892	409,943	409,943
Technology, Office for	21,238	29,757	28,475	29,497	30,543
Lobbying, Temporary State Commission on	(77)	0	0	0	0
Veterans Affairs, Division of	6,191	6,594	6,834	6,834	6,909
<b>Functional Total</b>	<b>1,281,660</b>	<b>1,342,396</b>	<b>1,366,766</b>	<b>1,395,685</b>	<b>1,418,806</b>

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
STATE OPERATIONS SPENDING**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>ALL OTHER CATEGORIES</b>					
Legislature	221,729	225,717	220,717	220,717	220,717
Judiciary (excluding fringe benefits)	1,838,729	1,869,363	2,062,609	2,220,163	2,266,250
World Trade Center	0	0	0	0	0
Local Government Assistance	0	0	0	0	0
Long-Term Debt Service	0	0	0	0	0
General State Charges	0	0	0	0	0
Miscellaneous	109,651	280,588	192,632	164,595	142,233
<b>Functional Total</b>	<b>2,170,109</b>	<b>2,375,668</b>	<b>2,475,958</b>	<b>2,605,475</b>	<b>2,629,200</b>
<b>TOTAL STATE OPERATIONS SPENDING</b>	<b>15,254,023</b>	<b>15,625,796</b>	<b>16,119,589</b>	<b>16,776,359</b>	<b>17,111,099</b>

GSC: Agency disbursements include grants to local governments, state operations and general state charges, which is a departure from prior Financial plan publications. In prior reports, general state charges were excluded from agency spending totals.

\*Unaudited Year-end Results

\*\* To facilitate comparability, the new Office of Procurement Services is reflected within the amounts shown for the Office of General Services.

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
PERSONAL SERVICE SPENDING  
(thousands of dollars)**

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	32,566	31,344	33,743	35,244	35,174
Alcoholic Beverage Control	8,853	8,926	9,253	9,327	9,401
Banking Department	45,425	42,636	44,642	44,054	44,054
Consumer Protection Board	2,153	2,427	2,553	2,471	2,541
Economic Development Capital Programs	0	0	0	0	0
Economic Development, Department of	13,665	13,900	14,400	14,498	14,498
Empire State Development Corporation	0	0	0	0	0
Energy Research and Development Authority	3,446	3,928	4,040	4,154	4,154
Housing and Community Renewal, Division of	49,655	42,913	44,327	45,656	46,786
Insurance Department	104,231	93,642	96,406	96,406	97,606
Olympic Regional Development Authority	4,417	3,679	3,679	3,679	3,679
Public Service, Department of	42,232	42,881	45,843	47,116	47,577
Science, Technology and Innovation, Foundation for	2,192	2,221	2,293	2,310	2,310
Strategic Investment	0	0	0	0	0
<b>Functional Total</b>	<b>308,835</b>	<b>288,497</b>	<b>301,179</b>	<b>304,915</b>	<b>307,780</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Adirondack Park Agency	4,547	4,524	4,685	4,698	4,700
Environmental Conservation, Department of	187,899	172,470	177,340	178,001	178,666
Environmental Facilities Corporation	6,758	6,320	6,554	6,690	6,828
Hudson River Park Trust	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	141,389	121,378	126,904	127,711	128,586
<b>Functional Total</b>	<b>340,603</b>	<b>304,692</b>	<b>315,493</b>	<b>317,100</b>	<b>318,780</b>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	50,901	50,022	51,134	51,473	51,473
Thruway Authority	0	0	0	0	0
Metropolitan Transportation Authority	0	0	0	0	0
Transportation, Department of	6,766	6,841	7,118	7,400	7,693
<b>Functional Total</b>	<b>57,667</b>	<b>56,863</b>	<b>58,252</b>	<b>58,873</b>	<b>59,166</b>
<b>HEALTH AND SOCIAL WELFARE</b>					
Aging, Office for the	2,513	1,921	2,001	2,065	2,065
Children and Family Services, Office of	173,587	163,762	169,859	170,712	174,441
OCFS	173,587	163,762	169,859	170,712	174,441
OCFS - Medicaid	0	0	0	0	0
Health, Department of	228,778	215,189	229,211	236,490	236,490
Medical Assistance	500	0	0	0	0
Medicaid Administration	0	0	0	0	0
DOH - Other	228,278	215,189	229,211	236,490	236,490
Health - Medicaid Assistance	0	0	0	0	0
Human Rights, Division of	9,228	8,031	9,725	9,719	9,719
Labor, Department of	33,363	30,072	32,280	32,529	32,529
Medicaid Inspector General, Office of	17,412	25,132	25,683	25,830	25,830
Prevention of Domestic Violence, Office for	1,060	1,149	1,233	1,233	1,246
Stem Cell and Innovation	541	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION**  
**STATE FUNDS**  
**PERSONAL SERVICE SPENDING**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>HEALTH AND SOCIAL WELFARE (Continued)</b>					
Temporary and Disability Assistance, Office of	18,211	17,153	16,936	17,094	17,258
<i>Welfare Assistance</i>	0	0	0	0	0
<i>Welfare Administration</i>	0	0	0	0	0
<i>All Other</i>	18,211	17,153	16,936	17,094	17,258
Welfare Inspector General, Office of	341	343	355	358	358
Workers' Compensation Board	87,462	84,657	88,520	88,206	88,849
<b>Functional Total</b>	<b>572,496</b>	<b>547,409</b>	<b>574,803</b>	<b>584,236</b>	<b>588,785</b>
<b>MENTAL HYGIENE</b>					
Mental Health, Office of	555,245	406,443	457,944	639,067	645,514
<i>OMH</i>	378,329	319,744	333,294	340,280	343,864
<i>OMH - Medicaid</i>	176,916	86,699	124,650	298,787	301,650
Mental Hygiene, Department of	0	0	0	0	0
Mental Retardation and Developmental Disabilities, Office of	205,651	130,532	156,735	337,679	341,556
<i>OMRDD</i>	0	0	0	0	0
<i>OMRDD - Medicaid</i>	205,651	130,532	156,735	337,679	341,556
Alcoholism and Substance Abuse Services, Office of	42,481	47,092	48,989	50,355	51,009
OASAS	20,640	19,904	21,311	22,386	22,931
OASAS - Medicaid	21,841	27,188	27,678	27,969	28,078
Developmental Disabilities Planning Council	0	0	0	0	0
Quality of Care for the Mentally Disabled, Commission on	3,525	3,967	4,132	4,157	4,200
<b>Functional Total</b>	<b>806,802</b>	<b>588,034</b>	<b>667,800</b>	<b>1,031,258</b>	<b>1,042,279</b>
<b>PUBLIC PROTECTION</b>					
Capital Defenders Office	227	0	0	0	0
Correction, Commission of	2,206	2,168	2,283	2,298	2,322
Correctional Services, Department of	1,808,840	1,682,995	1,696,888	1,692,631	1,697,313
Crime Victims Board	3,736	3,569	3,542	3,569	3,608
Criminal Justice Services, Division of	37,851	32,800	31,798	32,107	32,452
Homeland Security	11,015	42,815	45,917	50,766	48,103
Investigation, Temporary State Commission of	2,568	0	0	0	0
Judicial Commissions	3,602	3,860	3,832	3,861	3,901
Military and Naval Affairs, Division of	27,944	14,735	15,539	15,546	15,710
Parole, Division of	137,530	135,014	141,307	141,543	142,962
Probation and Correctional Alternatives, Division of	2,101	1,973	2,056	2,076	2,087
State Police, Division of	512,930	587,188	568,111	568,480	568,480
<b>Functional Total</b>	<b>2,550,550</b>	<b>2,507,117</b>	<b>2,511,273</b>	<b>2,512,877</b>	<b>2,516,948</b>

**CASH DISBURSEMENTS BY FUNCTION**  
**STATE FUNDS**  
**PERSONAL SERVICE SPENDING**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>EDUCATION</b>					
Arts, Council on the	3,754	3,779	3,989	3,990	3,990
City University of New York	79,033	95,440	96,157	96,877	97,604
Education, Department of	87,448	85,209	86,040	86,613	88,025
<i>School Aid</i>	0	0	0	0	0
<i>School Aid - Medicaid Assistance</i>	0	0	0	0	0
<i>STAR Property Tax Relief</i>	0	0	0	0	0
<i>Special Education Categorical Programs</i>	0	0	0	0	0
<i>All Other</i>	87,448	85,209	86,040	86,613	88,025
Higher Education Services Corporation	37,615	34,841	36,299	36,589	36,881
Higher Education Capital Grants	0	0	0	0	0
Slate University Construction Fund	10,353	12,004	12,578	12,672	12,767
Slate University of New York	3,036,115	3,142,600	3,257,043	3,282,696	3,310,958
<b>Functional Total</b>	<b>3,254,318</b>	<b>3,373,873</b>	<b>3,492,106</b>	<b>3,519,437</b>	<b>3,550,225</b>
<b>GENERAL GOVERNMENT</b>					
Audit and Control, Department of	110,480	113,373	112,362	114,517	118,142
Budget, Division of the	27,410	32,973	36,712	39,070	39,973
Civil Service, Department of	21,034	19,168	19,980	20,130	20,300
Elections, State Board of	4,081	3,470	3,631	3,639	3,686
Employee Relations, Office of	3,480	3,096	3,417	3,445	3,474
Executive Chamber	15,420	14,310	15,044	15,542	16,055
General Services, Office of **	60,928	59,442	57,728	57,938	58,837
Inspector General, Office of	5,700	5,485	5,755	5,799	5,860
Law, Department of	123,628	128,145	127,695	128,652	129,616
Lieutenant Governor, Office of the	79	0	230	1,006	1,016
Lottery, Division of	20,703	23,311	24,305	24,305	24,305
Public Employment Relations Board	3,150	3,389	3,666	3,689	3,724
Public Integrity, Commission on	3,631	3,588	3,730	4,053	4,197
Racing and Wagering Board, State	12,328	9,624	9,935	9,925	9,925
Real Property Services, Office of	23,648	22,157	21,948	22,118	22,322
Regulatory Reform, Governor's Office of	2,877	512	665	665	665
Slate, Department of	32,809	30,140	31,434	31,395	31,395
Tax Appeals, Division of	2,980	2,625	2,740	2,740	2,740
Taxation and Finance, Department of	275,743	302,357	314,199	314,250	314,250
Technology, Office for	10,256	10,852	12,093	12,167	12,287
Lobbying, Temporary State Commission on	(77)	0	0	0	0
Veterans Affairs, Division of	5,643	5,725	5,978	5,978	6,036
<b>Functional Total</b>	<b>765,931</b>	<b>793,742</b>	<b>813,247</b>	<b>821,023</b>	<b>828,805</b>



**CASH DISBURSEMENTS BY FUNCTION**  
**STATE FUNDS**  
**PERSONAL SERVICE SPENDING**  
(thousands of dollars)

ALL OTHER CATEGORIES	2008-2009	2009-2010	2010-2011	2011-2012	2012-2013
	Year-End*	Enacted	Projected	Projected	Projected
Legislature	166,856	169,817	164,784	164,784	164,784
Judiciary (excluding fringe benefits)	1,486,928	1,559,974	1,744,566	1,892,549	1,925,628
World Trade Center	0	0	0	0	0
Local Government Assistance	0	0	0	0	0
Long-Term Debt Service	0	0	0	0	0
General State Charges	0	0	0	0	0
Miscellaneous	17,679	279,274	144,588	144,657	131,825
<b>Functional Total</b>	<b>1,671,463</b>	<b>2,009,065</b>	<b>2,053,938</b>	<b>2,201,990</b>	<b>2,222,237</b>
<b>TOTAL PERSONAL SERVICE SPENDING</b>	<b>10,328,665</b>	<b>10,469,292</b>	<b>10,788,091</b>	<b>11,351,709</b>	<b>11,435,005</b>

GSC: Agency disbursements include grants to local governments, state operations and general state charges, which is a departure from prior Financial plan publications. In prior reports, general state charges were excluded from agency spending totals.

\*Unaudited Year-end Results

\*\* To facilitate comparability, the new Office of Procurement Services is reflected within the amounts shown for the Office of General Services.

**CASH DISBURSEMENTS BY FUNCTION**  
**STATE FUNDS**  
**NON-PERSONAL SERVICE SPENDING (includes Indirect Costs)**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	30,136	28,859	29,834	30,881	31,350
Alcoholic Beverage Control	4,407	5,055	5,183	5,306	5,420
Banking Department	16,769	15,800	16,334	15,846	15,846
Consumer Protection Board	697	624	668	760	780
Economic Development Capital Programs	0	0	0	0	0
Economic Development, Department of	21,471	21,732	20,983	21,581	21,581
Empire State Development Corporation	0	0	0	0	0
Energy Research and Development Authority	2,371	1,186	1,260	1,336	1,336
Housing and Community Renewal, Division of	18,491	19,259	19,683	20,466	20,938
Insurance Department	142,714	79,359	79,142	79,142	79,187
Olympic Regional Development Authority	2,161	3,830	4,035	4,245	4,245
Public Service, Department of	13,843	15,220	15,093	15,566	15,881
Science, Technology and Innovation, Foundation for	750	1,536	1,546	1,590	1,590
Strategic Investment	0	0	0	0	0
<b>Functional Total</b>	<b>253,810</b>	<b>192,460</b>	<b>193,761</b>	<b>196,709</b>	<b>198,154</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Adirondack Park Agency	726	693	693	693	693
Environmental Conservation, Department of	98,283	106,093	107,120	107,145	107,164
Environmental Facilities Corporation	1,183	1,210	1,213	1,216	1,219
Hudson River Park Trust	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	55,539	58,400	58,931	59,569	60,311
<b>Functional Total</b>	<b>155,731</b>	<b>166,396</b>	<b>167,957</b>	<b>168,623</b>	<b>169,387</b>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	19,415	24,667	25,088	26,290	26,290
Thruway Authority	0	0	0	0	0
Metropolitan Transportation Authority	0	0	0	0	0
Transportation, Department of	20,859	14,263	14,607	14,966	15,334
<b>Functional Total</b>	<b>40,274</b>	<b>38,930</b>	<b>39,695</b>	<b>41,256</b>	<b>41,624</b>
<b>HEALTH AND SOCIAL WELFARE</b>					
Aging, Office for the	467	448	448	471	471
Children and Family Services, Office of	94,408	99,824	104,543	106,914	111,706
OCFS	94,408	99,824	104,543	106,914	111,706
OCFS - Medicaid	0	0	0	0	0
Health, Department of	273,378	281,674	302,135	305,789	305,790
Medical Assistance	14,050	0	0	0	0
Medicaid Administration	0	0	0	0	0
Public Health	259,328	281,674	302,135	305,789	305,790
Health - Medicaid Assistance	0	0	0	0	0
Human Rights, Division of	2,986	3,056	3,056	3,056	3,056
Labor, Department of	14,951	14,374	13,379	13,740	13,740
Medicaid Inspector General, Office of	8,670	9,207	10,248	13,518	13,518
Prevention of Domestic Violence, Office for	570	382	393	405	413
Stem Cell and Innovation	7,256	46,321	71,500	50,000	167,826

**CASH DISBURSEMENTS BY FUNCTION**  
**STATE FUNDS**  
**NON-PERSONAL SERVICE SPENDING (includes Indirect Costs)**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>HEALTH AND SOCIAL WELFARE (Continued)</b>					
Temporary and Disability Assistance, Office of					
<i>Welfare Assistance</i>	33,724	47,998	54,753	57,484	59,016
<i>Welfare Administration</i>	0	0	0	0	0
<i>All Other</i>	33,724	47,998	54,753	57,484	59,016
Welfare Inspector General, Office of	41	0	0	0	0
Workers' Compensation Board	73,694	82,238	62,170	64,008	65,558
<b>Functional Total</b>	<b>510,145</b>	<b>585,522</b>	<b>622,625</b>	<b>615,385</b>	<b>741,094</b>
<b>MENTAL HYGIENE</b>					
Mental Health, Office of					
<i>OMH</i>	224,900	216,762	239,987	247,053	256,689
<i>OMH - Medicaid</i>	66,226	109,824	126,287	129,077	134,434
Mental Hygiene, Department of	158,674	106,938	113,700	117,976	122,255
Mental Retardation and Developmental Disabilities, Office of	0	0	0	0	0
<i>OMRDD</i>	35,426	45,819	55,544	62,055	63,764
<i>OMRDD - Medicaid</i>	209	176	176	176	176
Alcoholism and Substance Abuse Services, Office of	35,217	45,643	55,368	61,879	63,588
OASAS	24,017	19,550	20,395	21,396	22,238
OASAS - Medicaid	11,920	10,713	11,405	12,264	13,024
Developmental Disabilities Planning Council	12,097	8,837	8,990	9,132	9,214
Quality of Care for the Mentally Disabled, Commission on	0	0	0	0	0
<b>Functional Total</b>	<b>954</b>	<b>1,588</b>	<b>1,672</b>	<b>1,707</b>	<b>1,744</b>
	<b>285,297</b>	<b>283,719</b>	<b>317,598</b>	<b>332,211</b>	<b>344,435</b>
<b>PUBLIC PROTECTION</b>					
Capital Defenders Office	143	0	0	0	0
Correction, Commission of	447	490	502	516	526
Correctional Services, Department of	562,372	615,923	644,014	667,422	701,490
Crime Victims Board	923	1,064	1,092	1,118	1,138
Criminal Justice Services, Division of	48,557	45,229	47,526	47,101	45,953
Homeland Security	15,742	22,571	19,300	15,828	15,853
Investigation, Temporary State Commission of	986	0	0	0	0
Judicial Commissions	1,686	1,354	1,376	1,450	1,484
Military and Naval Affairs, Division of	18,133	22,626	15,395	13,722	14,009
Parole, Division of	35,607	37,385	39,324	41,859	42,886
Probation and Correctional Alternatives, Division of	384	473	517	527	541
State Police, Division of	110,407	93,827	98,412	97,931	92,455
<b>Functional Total</b>	<b>795,387</b>	<b>840,942</b>	<b>867,458</b>	<b>887,474</b>	<b>916,315</b>

**CASH DISBURSEMENTS BY FUNCTION**  
**STATE FUNDS**  
**NON-PERSONAL SERVICE SPENDING (includes Indirect Costs)**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>EDUCATION</b>					
Atts. Council on the	1,744	1,776	1,820	1,819	1,819
City University of New York	23,991	34,960	35,763	36,586	37,427
Education, Department of	47,115	47,839	46,385	46,882	48,725
<i>School Aid</i>	0	0	0	0	0
<i>School Aid - Medicaid Assistance</i>	0	0	0	0	0
<i>STAR Property Tax Relief</i>	0	0	0	0	0
<i>Special Education Categorical Programs</i>	0	0	0	0	0
<i>All Other</i>	47,115	47,839	46,385	46,882	48,725
Higher Education Services Corporation	41,247	98,056	59,664	61,383	63,164
Higher Education Capital Grants	0	0	0	0	0
Slate University Construction Fund	2,612	2,750	2,860	2,942	3,033
Slate University of New York	1,753,630	1,947,897	2,000,373	2,055,233	2,113,953
<b>Functional Total</b>	<b>1,870,339</b>	<b>2,133,278</b>	<b>2,146,865</b>	<b>2,204,845</b>	<b>2,268,121</b>
<b>GENERAL GOVERNMENT</b>					
Audit and Control, Department of	29,422	40,606	41,786	43,980	44,796
Budget, Division of the	14,899	41,445	44,400	54,715	63,790
Civil Service, Department of	2,564	2,272	2,324	2,377	2,443
Elections, State Board of	10,168	8,383	3,544	3,645	3,740
Employee Relations, Office of	214	369	378	388	398
Executive Chamber	3,832	2,767	2,979	3,105	2,869
General Services, Office of **	81,213	87,959	91,156	93,451	96,639
Inspector General, Office of	746	977	1,021	1,053	1,077
Law, Department of	67,908	63,019	61,869	66,216	67,392
Lieutenant Governor, Office of the	54	0	46	187	192
Lottery, Division of	170,764	154,420	158,248	158,248	158,248
Public Employment Relations Board	510	881	895	911	924
Public Integrity, Commission on	1,248	1,277	1,287	1,297	1,333
Racing and Wagering Board, State	6,278	6,767	6,940	6,935	6,935
Real Property Services, Office of	6,472	6,573	6,002	6,584	6,712
Regulatory Reform, Governor's Office of	561	30	32	32	32
State, Department of	18,757	17,369	17,274	17,252	17,252
Tax Appeals, Division of	442	400	412	412	412
Taxation and Finance, Department of	88,147	93,371	95,693	95,693	95,693
Technology, Office for	10,982	18,905	16,382	17,330	18,256
Lobbying, Temporary State Commission on	0	0	0	0	0
Veterans Affairs, Division of	548	869	856	867	873
<b>Functional Total</b>	<b>515,729</b>	<b>548,659</b>	<b>553,524</b>	<b>574,667</b>	<b>580,006</b>

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
NON-PERSONAL SERVICE SPENDING (includes Indirect Costs)  
(thousands of dollars)**

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>ALL OTHER CATEGORIES</b>					
Legislature	54,873	55,900	55,933	55,933	55,933
Judiciary (excluding fringe benefits)	351,801	309,389	318,043	327,614	340,622
World Trade Center	0	0	0	0	0
Local Government Assistance	0	0	0	0	0
Long-Term Debt Service	0	0	0	0	0
General State Charges	0	0	0	0	0
Miscellaneous	91,972	1,314	48,044	19,938	10,408
<b>Functional Total</b>	<u>498,646</u>	<u>366,603</u>	<u>422,020</u>	<u>403,485</u>	<u>406,963</u>
<b>TOTAL NON-PERSONAL SERVICE (includes Indirect Costs) SPENDING</b>	<u>4,925,358</u>	<u>5,156,509</u>	<u>5,331,503</u>	<u>5,424,655</u>	<u>5,676,099</u>

GSC: Agency disbursements include grants to local governments, state operations and general state charges, which is a departure from prior Financial plan publications. In prior reports, general state charges were excluded from agency spending totals.

\*Unaudited Year-end Results

\*\* To facilitate comparability, the new Office of Procurement Services is reflected within the amounts shown for the Office of General Services.

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
GENERAL STATE CHARGES SPENDING  
(thousands of dollars)**

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	3,374	4,311	4,974	5,478	5,826
Alcoholic Beverage Control	3,762	4,094	4,345	4,481	4,786
Banking Department	16,116	19,573	20,722	20,931	22,312
Consumer Protection Board	990	45	45	0	0
Economic Development Capital Programs	0	0	0	0	0
Economic Development, Department of	87	28	28	28	28
Empire State Development Corporation	0	0	0	0	0
Energy Research and Development Authority	1,693	1,712	1,764	1,817	1,817
Housing and Community Renewal, Division of	13,881	15,354	15,235	15,903	17,058
Insurance Department	35,520	41,497	43,679	44,702	48,349
Olympic Regional Development Authority	0	0	0	0	0
Public Service, Department of	21,079	19,239	21,475	22,553	24,282
Science, Technology and Innovation, Foundation for	0	0	0	0	0
Strategic Investment	0	0	0	0	0
<b>Functional Total</b>	<b>96,502</b>	<b>105,853</b>	<b>112,267</b>	<b>115,893</b>	<b>124,458</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Adirondack Park Agency	0	0	0	0	0
Environmental Conservation, Department of	40,629	41,045	34,973	33,892	34,112
Environmental Facilities Corporation	1,944	2,094	2,136	2,179	2,222
Hudson River Park Trust	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	3,506	2,897	3,368	3,154	3,155
<b>Functional Total</b>	<b>46,079</b>	<b>46,036</b>	<b>40,477</b>	<b>39,225</b>	<b>39,489</b>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	23,757	23,477	27,403	29,190	29,190
Thruway Authority	0	0	0	0	0
Metropolitan Transportation Authority	0	0	0	0	0
Transportation, Department of	2,870	3,497	3,410	3,544	3,686
<b>Functional Total</b>	<b>26,627</b>	<b>26,974</b>	<b>30,813</b>	<b>32,734</b>	<b>32,876</b>
<b>HEALTH AND SOCIAL WELFARE</b>					
Aging, Office for the	16	0	0	0	0
Children and Family Services, Office of	1,136	1,182	1,219	1,268	1,268
OCFS	1,136	1,182	1,219	1,268	1,268
OCFS - Medicaid	0	0	0	0	0
Health, Department of	35,009	39,791	39,559	41,438	41,438
Medical Assistance	0	0	0	0	0
Medicaid Administration	0	0	0	0	0
Public Health	35,009	39,791	39,559	41,438	41,438
Health - Medicaid Assistance	0	0	0	0	0
Human Rights, Division of	0	0	0	0	0
Labor, Department of	14,270	13,238	14,340	14,811	15,830
Medicaid Inspector General, Office of	152	110	126	126	126
Prevention of Domestic Violence, Office for	0	0	0	0	0
Stem Cell and Innovation	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
GENERAL STATE CHARGES SPENDING**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>HEALTH AND SOCIAL WELFARE (Continued)</b>					
Temporary and Disability Assistance, Office of	679	948	683	699	730
<i>Welfare Assistance</i>	0	0	0	0	0
<i>Welfare Administration</i>	0	0	0	0	0
<i>All Other</i>	679	948	683	699	730
Welfare Inspector General, Office of	0	0	0	0	0
Workers' Compensation Board	40,349	38,774	40,202	41,852	44,544
<b>Functional Total</b>	<b>91,611</b>	<b>94,043</b>	<b>96,129</b>	<b>100,194</b>	<b>103,936</b>
<b>MENTAL HYGIENE</b>					
Mental Health, Office of	233,604	185,109	209,262	300,579	324,267
<i>OMH</i>	156,466	144,796	152,754	153,712	172,565
<i>OMH - Medicaid</i>	77,138	40,313	56,508	140,867	151,702
Mental Hygiene, Department of	308,114	0	0	0	0
Mental Retardation and Developmental Disabilities, Office of	90,920	59,725	71,263	159,176	171,659
<i>OMRDD</i>	0	0	0	0	0
<i>OMRDD - Medicaid</i>	90,920	59,725	71,263	159,176	171,659
Alcoholism and Substance Abuse Services, Office of	17,324	21,337	22,513	23,680	25,601
OASAS	7,848	9,583	10,379	11,212	12,505
<i>OASAS - Medicaid</i>	9,476	11,754	12,134	12,468	13,096
Developmental Disabilities Planning Council	0	0	0	0	0
Quality of Care for the Mentally Disabled, Commission on	24	25	27	27	29
<b>Functional Total</b>	<b>649,986</b>	<b>266,196</b>	<b>303,065</b>	<b>483,462</b>	<b>521,556</b>
<b>PUBLIC PROTECTION</b>					
Capital Defenders Office	0	0	0	0	0
Correction, Commission of	0	0	0	0	0
Correctional Services, Department of	2,000	0	0	0	0
Crime Victims Board	8	1,660	1,736	1,785	1,919
Criminal Justice Services, Division of	82	53	66	66	66
Homeland Security	1,065	8	12	12	12
Investigation, Temporary State Commission of	0	0	0	0	0
Judicial Commissions	0	0	0	0	0
Military and Naval Affairs, Division of	837	887	898	921	1,041
Parole, Division of	0	0	0	0	0
Probation and Correctional Alternatives, Division of	0	0	0	0	0
State Police, Division of	17,607	23,354	21,928	22,611	24,163
<b>Functional Total</b>	<b>21,599</b>	<b>25,962</b>	<b>24,640</b>	<b>25,395</b>	<b>27,221</b>

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
GENERAL STATE CHARGES SPENDING**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>EDUCATION</b>					
Arts, Council on the	0	0	0	0	0
City University of New York	4,648	7,600	7,775	7,954	8,137
Education, Department of	29,706	25,049	26,467	27,123	27,374
<i>School Aid</i>	0	0	0	0	0
<i>School Aid - Medicaid Assistance</i>	0	0	0	0	0
<i>STAR Property Tax Relief</i>	0	0	0	0	0
<i>Special Education Categorical Programs</i>	0	0	0	0	0
<i>All Other</i>	29,706	25,049	26,467	27,123	27,374
Higher Education Services Corporation	16,697	14,985	16,881	17,480	18,789
Higher Education Capital Grants	0	0	0	0	0
State University Construction Fund	3,517	4,832	5,554	5,849	6,022
State University of New York	437,020	473,986	501,802	503,717	506,292
<b>Functional Total</b>	<b>491,588</b>	<b>526,452</b>	<b>558,479</b>	<b>562,123</b>	<b>566,614</b>
<b>GENERAL GOVERNMENT</b>					
Audit and Control, Department of	1,207	1,532	1,585	1,591	1,734
Budget, Division of the	1,475	2,883	3,147	3,414	3,528
Civil Service, Department of	146	239	247	256	271
Elections, State Board of	0	0	0	0	0
Employee Relations, Office of	0	0	0	0	0
Executive Chamber	0	0	0	0	0
General Services, Office of **	1,830	1,763	1,867	1,939	2,042
Inspector General, Office of	0	0	0	0	0
Law, Department of	8,479	10,032	10,362	11,397	12,680
Lieutenant Governor, Office of the	0	0	0	0	0
Lottery, Division of	9,484	10,420	11,254	11,516	12,198
Public Employment Relations Board	0	0	0	0	0
Public Integrity, Commission on	0	0	0	0	0
Racing and Wagering Board, State	5,701	4,678	4,932	5,047	5,380
Real Property Services, Office of	10,806	3,669	1,190	1,234	1,339
Regulatory Reform, Governor's Office of	0	0	0	0	0
State, Department of	6,552	8,198	8,537	8,758	9,392
Tax Appeals, Division of	0	0	0	0	0
Taxation and Finance, Department of	8,464	16,123	16,876	17,264	18,380
Technology, Office for	0	0	0	0	0
Lobbying, Temporary State Commission on	0	0	0	0	0
Veterans Affairs, Division of	(6)	0	0	0	0
<b>Functional Total</b>	<b>54,139</b>	<b>59,538</b>	<b>59,997</b>	<b>62,416</b>	<b>66,944</b>



**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
GENERAL STATE CHARGES SPENDING**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>ALL OTHER CATEGORIES</b>					
Legislature	0	0	0	0	0
Judiciary (excluding fringe benefits)	463,023	489,363	510,532	543,163	542,960
World Trade Center	0	0	0	0	0
Local Government Assistance	0	0	0	0	0
Long-Term Debt Service	0	0	0	0	0
General State Charges	2,443,102	3,035,762	3,336,744	3,610,540	4,022,379
Miscellaneous	6,391	8,068	8,082	8,270	8,565
<b>Functional Total</b>	<b>2,912,516</b>	<b>3,533,193</b>	<b>3,855,358</b>	<b>4,161,973</b>	<b>4,573,904</b>
<b>TOTAL GENERAL STATE CHARGES SPENDING</b>	<b>4,390,647</b>	<b>4,684,247</b>	<b>5,081,225</b>	<b>5,583,415</b>	<b>6,066,998</b>

GSC: Agency disbursements include grants to local governments, state operations and general state charges, which is a departure from prior Financial plan publications. In prior reports, general state charges were excluded from agency spending totals.

\*Unaudited Year-end Results

\*\* To facilitate comparability, the new Office of Procurement Services is reflected within the amounts shown for the Office of General Services.

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
CAPITAL PROJECTS SPENDING  
(thousands of dollars)**

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	2,439	13,466	26,250	17,380	5,250
Alcoholic Beverage Control	0	0	0	0	0
Banking Department	0	0	0	0	0
Consumer Protection Board	0	0	0	0	0
Economic Development Capital Programs	52,242	78,875	144,050	160,599	170,890
Economic Development, Department of	0	0	0	0	0
Empire State Development Corporation	461,242	716,602	657,618	621,850	294,000
Energy Research and Development Authority	5,217	13,500	13,500	13,500	13,500
Housing and Community Renewal, Division of	0	122	0	0	0
Insurance Department	0	0	0	0	0
Olympic Regional Development Authority	100	2,000	0	0	0
Public Service, Department of	0	0	0	0	0
Science, Technology and Innovation, Foundation for	0	0	0	0	0
Strategic Investment	3,195	9,000	14,000	10,376	5,000
<b>Functional Total</b>	<b>524,435</b>	<b>833,365</b>	<b>855,416</b>	<b>823,705</b>	<b>488,640</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Adirondack Park Agency	0	0	0	0	0
Environmental Conservation, Department of	352,334	409,510	409,510	396,465	387,010
Environmental Facilities Corporation	473	343	343	343	343
Hudson River Park Trust	14,290	6,392	0	0	0
Parks, Recreation and Historic Preservation, Office of	83,892	100,250	42,250	31,250	31,250
<b>Functional Total</b>	<b>450,989</b>	<b>516,495</b>	<b>452,103</b>	<b>428,058</b>	<b>418,603</b>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	207,684	210,348	219,807	226,419	229,962
Thruway Authority	0	1,804	1,876	1,951	2,029
Metropolitan Transportation Authority	0	195,300	206,500	194,500	183,600
Transportation, Department of	1,742,726	1,964,336	2,063,132	1,942,026	1,926,708
<b>Functional Total</b>	<b>1,950,410</b>	<b>2,371,788</b>	<b>2,491,315</b>	<b>2,364,896</b>	<b>2,342,299</b>
<b>HEALTH AND SOCIAL WELFARE</b>					
Aging, Office for the	0	0	0	0	0
Children and Family Services, Office of	28,593	28,400	23,000	20,900	20,900
OCFS	28,593	28,400	23,000	20,900	20,900
OCFS - Medicaid	0	0	0	0	0
Health, Department of	10,086	253,551	375,658	518,940	188,895
Medical Assistance	0	0	0	0	0
Medicaid Administration	0	0	0	0	0
Public Health	10,086	253,551	375,658	518,940	188,895
Health - Medicaid Assistance	0	0	0	0	0
Human Rights, Division of	0	0	0	0	0
Labor, Department of	0	0	0	0	0
Medicaid Inspector General, Office of	0	0	0	0	0
Prevention of Domestic Violence, Office for	0	0	0	0	0
Stem Cell and Innovation	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
CAPITAL PROJECTS SPENDING**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>HEALTH AND SOCIAL WELFARE (Continued)</b>					
Temporary and Disability Assistance, Office of	31,600	30,390	35,000	35,000	35,000
<i>Welfare Assistance</i>	0	0	0	0	0
<i>Welfare Administration</i>	0	0	0	0	0
<i>All Other</i>	31,600	30,390	35,000	35,000	35,000
Welfare Inspector General, Office of	0	0	0	0	0
Workers' Compensation Board	0	0	0	0	0
<b>Functional Total</b>	<b>70,279</b>	<b>312,341</b>	<b>433,658</b>	<b>574,840</b>	<b>244,795</b>
<b>MENTAL HYGIENE</b>					
Mental Health, Office of	88,475	93,822	82,843	80,570	80,570
<i>OMH</i>	88,475	93,822	82,843	80,570	80,570
<i>OMH - Medicaid</i>	0	0	0	0	0
Mental Hygiene, Department of	0	0	0	0	0
Mental Retardation and Developmental Disabilities, Office of	31,185	38,865	38,830	43,590	45,220
<i>OMRDD</i>	31,185	38,865	38,830	43,590	45,220
<i>OMRDD - Medicaid</i>	0	0	0	0	0
Alcoholism and Substance Abuse Services, Office of	1,681	11,123	6,622	10,667	11,167
<i>OASAS</i>	1,681	11,123	6,622	10,667	11,167
<i>OASAS - Medicaid</i>	0	0	0	0	0
Developmental Disabilities Planning Council	0	0	0	0	0
Quality of Care for the Mentally Disabled, Commission on	0	0	0	0	0
<b>Functional Total</b>	<b>121,341</b>	<b>143,810</b>	<b>128,295</b>	<b>134,827</b>	<b>136,957</b>
<b>PUBLIC PROTECTION</b>					
Capital Defenders Office	0	0	0	0	0
Correction, Commission of	0	0	0	0	0
Correctional Services, Department of	279,151	315,500	323,000	330,000	330,000
Crime Victims Board	0	0	0	0	0
Criminal Justice Services, Division of	0	0	0	0	0
Homeland Security	1,160	425	0	0	0
Investigation, Temporary State Commission of	0	0	0	0	0
Judicial Commissions	0	0	0	0	0
Military and Naval Affairs, Division of	15,390	13,900	10,600	10,000	10,000
Parole, Division of	0	0	0	0	0
Probation and Correctional Alternatives, Division of	0	0	0	0	0
State Police, Division of	4,162	29,772	40,949	37,800	17,800
<b>Functional Total</b>	<b>299,863</b>	<b>359,597</b>	<b>374,549</b>	<b>377,800</b>	<b>357,800</b>

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
CAPITAL PROJECTS SPENDING**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>EDUCATION</b>					
Arts, Council on the	0	0	0	0	0
City University of New York	6,093	9,572	11,482	13,705	15,144
Education, Department of	7,267	49,497	49,530	26,215	8,320
<i>School Aid</i>	0	0	0	0	0
<i>School Aid - Medicaid Assistance</i>	0	0	0	0	0
<i>STAR Property Tax Relief</i>	0	0	0	0	0
<i>Special Education Categorical Programs</i>	0	0	0	0	0
<i>All Other</i>	7,267	49,497	49,530	26,215	8,320
Higher Education Services Corporation	0	0	0	0	0
Higher Education Capital Grants	0	67,746	40,000	38,000	0
State University Construction Fund	0	0	0	0	0
State University of New York	584,935	813,000	1,099,000	1,122,200	1,103,000
<b>Functional Total</b>	<b>598,295</b>	<b>939,815</b>	<b>1,200,012</b>	<b>1,200,120</b>	<b>1,126,464</b>
<b>GENERAL GOVERNMENT</b>					
Audit and Control, Department of	0	0	0	0	0
Budget, Division of the	0	0	0	0	0
Civil Service, Department of	0	0	0	0	0
Elections, State Board of	0	0	0	0	0
Employee Relations, Office of	0	0	0	0	0
Executive Chamber	0	0	0	0	0
General Services, Office of **	66,530	68,059	66,459	72,250	72,250
Inspector General, Office of	0	0	0	0	0
Law, Department of	0	0	0	0	0
Lieutenant Governor, Office of the	0	0	0	0	0
Lottery, Division of	0	0	0	0	0
Public Employment Relations Board	0	0	0	0	0
Public Integrity, Commission on	0	0	0	0	0
Racing and Wagering Board, State	0	0	0	0	0
Real Property Services, Office of	0	0	0	0	0
Regulatory Reform, Governor's Office of	0	0	0	0	0
State, Department of	18,920	2,750	2,750	2,750	2,750
Tax Appeals, Division of	0	0	0	0	0
Taxation and Finance, Department of	0	0	0	0	0
Technology, Office for	126	96,874	115,000	118,095	90,000
Lobbying, Temporary State Commission on	0	0	0	0	0
Veterans Affairs, Division of	0	0	0	0	0
<b>Functional Total</b>	<b>85,576</b>	<b>167,683</b>	<b>184,209</b>	<b>193,095</b>	<b>165,000</b>

**CASH DISBURSEMENTS BY FUNCTION**  
**STATE FUNDS**  
**CAPITAL PROJECTS SPENDING**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>ALL OTHER CATEGORIES</b>					
Legislature	0	0	0	0	0
Judiciary (excluding fringe benefits)	1,862	24,500	23,500	23,700	4,200
World Trade Center	0	0	0	0	0
Local Government Assistance	0	0	0	0	0
Long-Term Debt Service	0	0	0	0	0
General State Charges	0	0	0	0	0
Miscellaneous	70,160	(82,199)	(108,900)	(133,500)	(150,000)
<b>Functional Total</b>	<u>72,022</u>	<u>(57,699)</u>	<u>(85,400)</u>	<u>(109,800)</u>	<u>(145,800)</u>
<b>TOTAL CAPITAL PROJECTS SPENDING</b>	<u>4,173,210</u>	<u>5,667,195</u>	<u>6,034,159</u>	<u>5,987,541</u>	<u>5,134,758</u>

\*Unaudited Year-end Results

\*\* To facilitate comparability, the new Office of Procurement Services is reflected within the amounts shown for the Office of General Services.

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE STATE FUNDS  
LOCAL ASSISTANCE SPENDING**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	83	39	39	39	39
Alcoholic Beverage Control	0	0	0	0	0
Banking Department	536	1,000	0	0	0
Consumer Protection Board	0	0	0	0	0
Economic Development Capital Programs	0	0	0	0	0
Economic Development, Department of	46	(28)	(28)	(28)	(28)
Empire State Development Corporation	0	0	0	0	0
Energy Research and Development Authority	10,014	9,234	9,234	9,234	9,234
Housing and Community Renewal, Division of	1,462	975	975	975	975
Insurance Department	10,203	2,17,746	231,646	254,646	254,646
Olympic Regional Development Authority	0	0	0	0	0
Public Service, Department of	0	400	400	400	400
Science, Technology and Innovation, Foundation for	0	0	0	0	0
Strategic Investment	0	0	0	0	0
<b>Functional Total</b>	<b>22,344</b>	<b>229,366</b>	<b>242,266</b>	<b>265,266</b>	<b>265,266</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Adirondack Park Agency	0	0	0	0	0
Environmental Conservation, Department of	770	0	0	0	0
Environmental Facilities Corporation	0	0	0	0	0
Hudson River Park Trust	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	5,544	4,450	4,450	4,450	4,450
<b>Functional Total</b>	<b>6,314</b>	<b>4,450</b>	<b>4,450</b>	<b>4,450</b>	<b>4,450</b>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	0	0	0	0	0
Thruway Authority	0	0	0	0	0
Metropolitan Transportation Authority	0	0	0	0	0
Transportation, Department of	2,875,556	2,509,721	2,548,685	2,546,868	2,553,172
<b>Functional Total</b>	<b>2,875,556</b>	<b>2,509,721</b>	<b>2,548,685</b>	<b>2,546,868</b>	<b>2,553,172</b>
<b>HEALTH AND SOCIAL WELFARE</b>					
Aging, Office for the	2,776	(80)	(80)	(80)	(80)
Children and Family Services, Office of	1,715	1,600	1,600	1,600	1,600
OCFS	1,715	1,600	1,600	1,600	1,600
OCFS - Medicaid	0	0	0	0	0
Health, Department of	5,290,499	5,972,148	5,530,962	5,565,488	5,769,689
Medical Assistance	3,428,741	4,501,672	4,084,786	4,065,072	4,189,873
Medicaid Administration	0	0	0	0	0
Public Health	1,861,758	1,470,476	1,446,176	1,500,416	1,579,816
Health - Medicaid Assistance	0	0	0	0	0
Human Rights, Division of	0	0	0	0	0
Labor, Department of	136	150	175	200	200
Medicaid Inspector General, Office of	0	0	0	0	0
Prevention of Domestic Violence, Office for	0	0	0	0	0
Stem Cell and Innovation	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE STATE FUNDS  
LOCAL ASSISTANCE SPENDING**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>HEALTH AND SOCIAL WELFARE (Continued)</b>					
Temporary and Disability Assistance, Office of		2,181	1,272	1,272	1,272
<i>Welfare Assistance</i>	5,700	0	0	0	0
<i>Welfare Administration</i>	0	0	0	0	0
<i>All Other</i>	5,700	2,181	1,272	1,272	1,272
Welfare Inspector General, Office of	0	0	0	0	0
Workers' Compensation Board	0	0	0	0	0
<b>Functional Total</b>	<b>5,300,826</b>	<b>5,975,999</b>	<b>5,533,929</b>	<b>5,568,480</b>	<b>5,772,681</b>
<b>MENTAL HYGIENE</b>					
Mental Health, Office of	525,275	594,115	708,205	767,752	788,600
<i>OMH</i>	525,275	594,115	708,205	767,752	788,600
<i>OMH - Medicaid</i>	0	0	0	0	0
Mental Hygiene, Department of	0	0	0	0	0
Mental Retardation and Developmental Disabilities, Office of	323,650	349,564	358,118	367,198	376,820
<i>OMRDD</i>	323,650	349,564	358,118	367,198	376,820
<i>OMRDD - Medicaid</i>	0	0	0	0	0
Alcoholism and Substance Abuse Services, Office of	178,124	205,878	220,012	251,152	266,317
<i>OASAS</i>	178,124	205,878	220,012	251,152	266,317
<i>OASAS - Medicaid</i>	0	0	0	0	0
Developmental Disabilities Planning Council	0	0	0	0	0
Quality of Care for the Mentally Disabled, Commission on	36	60	60	60	60
<b>Functional Total</b>	<b>1,027,085</b>	<b>1,149,617</b>	<b>1,286,395</b>	<b>1,386,162</b>	<b>1,431,797</b>
<b>PUBLIC PROTECTION</b>					
Capital Defenders Office	0	0	0	0	0
Correction, Commission of	0	0	0	0	0
Correctional Services, Department of	0	0	0	0	0
Crime Victims Board	25,327	26,753	26,690	26,690	26,690
Criminal Justice Services, Division of	30,990	29,260	22,342	21,442	21,542
Homeland Security	0	0	0	0	0
Investigation, Temporary State Commission of	0	0	0	0	0
Judicial Commissions	0	0	0	0	0
Military and Naval Affairs, Division of	1,617	3,000	3,000	3,000	3,000
Parole, Division of	0	0	0	0	0
Probation and Correctional Alternatives, Division of	0	0	0	0	0
State Police, Division of	0	0	0	0	0
<b>Functional Total</b>	<b>57,934</b>	<b>59,013</b>	<b>52,032</b>	<b>51,132</b>	<b>51,232</b>

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE STATE FUNDS  
LOCAL ASSISTANCE SPENDING**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>EDUCATION</b>					
Arts, Council on the	0	98	0	98	98
City University of New York	0	0	0	0	0
Education, Department of	7,411,754	6,303,945	6,349,869	7,271,619	7,294,566
<i>School Aid</i>	2,954,095	2,757,000	2,852,000	3,576,400	3,422,800
<i>School Aid - Medicaid Assistance</i>	0	0	0	0	0
<i>STAR Property Tax Relief</i>	4,435,383	3,524,450	3,480,270	3,677,620	3,854,167
<i>Special Education Categorical Programs</i>	0	0	0	0	0
<i>All Other</i>	22,276	22,495	17,599	17,599	17,599
Higher Education Services Corporation	1,645	22,200	22,200	22,200	22,200
Higher Education Capital Grants	0	0	0	0	0
State University Construction Fund	0	0	0	0	0
State University of New York	0	0	0	0	0
<b>Functional Total</b>	<b>7,413,399</b>	<b>6,326,243</b>	<b>6,372,069</b>	<b>7,293,917</b>	<b>7,316,864</b>
<b>GENERAL GOVERNMENT</b>					
Audit and Control, Department of	79,262	76,445	77,295	77,720	77,720
Budget, Division of the	29	0	0	0	0
Civil Service, Department of	0	0	0	0	0
Elections, State Board of	0	0	0	0	0
Employee Relations, Office of	0	0	0	0	0
Executive Chamber	0	0	0	0	0
General Services, Office of **	0	0	0	0	0
Inspector General, Office of	0	0	0	0	0
Law, Department of	1,645	0	0	0	0
Lieutenant Governor, Office of the	0	0	0	0	0
Lottery, Division of	0	0	0	0	0
Public Employment Relations Board	0	0	0	0	0
Public Integrity, Commission on	0	0	0	0	0
Racing and Wagering Board, State	0	0	0	0	0
Real Property Services, Office of	0	0	0	0	0
Regulatory Reform, Governor's Office of	0	0	0	0	0
State, Department of	16,225	14,337	14,337	14,337	14,337
Tax Appeals, Division of	0	0	0	0	0
Taxation and Finance, Department of	0	0	0	0	0
Technology, Office for	0	0	0	0	0
Lobbying, Temporary State Commission on	0	0	0	0	0
Veterans Affairs, Division of	0	0	0	0	0
<b>Functional Total</b>	<b>95,516</b>	<b>90,782</b>	<b>91,632</b>	<b>92,057</b>	<b>92,057</b>



**CASH DISBURSEMENTS BY FUNCTION**  
**SPECIAL REVENUE STATE FUNDS**  
**LOCAL ASSISTANCE SPENDING**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>ALL OTHER CATEGORIES</b>					
Legislature	0	0	0	0	0
Judiciary (excluding fringe benefits)	107,466	117,500	117,000	120,000	121,000
World Trade Center	0	0	0	0	0
Local Government Assistance	0	0	0	0	0
Long-Term Debt Service	0	0	0	0	0
General State Charges	0	0	0	0	0
Miscellaneous	37,406	(263,365)	(263,209)	(267,534)	(263,653)
<b>Functional Total</b>	<u>144,872</u>	<u>(145,865)</u>	<u>(146,209)</u>	<u>(147,534)</u>	<u>(142,653)</u>
<b>TOTAL LOCAL ASSISTANCE SPENDING</b>	<u>16,943,846</u>	<u>16,199,326</u>	<u>15,985,249</u>	<u>17,060,798</u>	<u>17,344,866</u>

GSC: Agency disbursements include grants to local governments, state operations and general state charges, which is a departure from prior Financial plan publications. In prior reports, general state charges were excluded from agency spending totals.

\*Unaudited Year-end Results

\*\* To facilitate comparability, the new Office of Procurement Services is reflected within the amounts shown for the Office of General Services.

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE STATE FUNDS  
PERSONAL SERVICE SPENDING**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	7,881	9,644	10,810	11,637	11,620
Alcoholic Beverage Control	8,853	8,926	9,253	9,327	9,401
Banking Department	45,425	42,636	44,642	44,054	44,054
Consumer Protection Board	2,153	107	109	0	0
Economic Development Capital Programs	0	0	0	0	0
Economic Development, Department of	178	54	54	54	54
Empire State Development Corporation	0	0	0	0	0
Energy Research and Development Authority	3,446	3,928	4,040	4,154	4,154
Housing and Community Renewal, Division of	32,623	30,676	31,166	31,812	32,231
Insurance Department	103,532	92,542	95,310	95,310	96,510
Olympic Regional Development Authority	60	0	0	0	0
Public Service, Department of	42,232	42,881	45,843	47,116	47,577
Science, Technology and Innovation, Foundation for	0	0	0	0	0
Strategic Investment	0	0	0	0	0
<b>Functional Total</b>	<b>246,383</b>	<b>231,394</b>	<b>241,227</b>	<b>243,464</b>	<b>245,601</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Adirondack Park Agency	0	0	0	0	0
Environmental Conservation, Department of	80,506	78,639	80,667	81,313	81,970
Environmental Facilities Corporation	6,623	6,320	6,554	6,690	6,828
Hudson River Park Trust	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	27,471	24,280	25,728	25,730	25,732
<b>Functional Total</b>	<b>114,600</b>	<b>109,239</b>	<b>112,949</b>	<b>113,733</b>	<b>114,530</b>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	50,901	50,022	51,134	51,473	51,473
Thruway Authority	0	0	0	0	0
Metropolitan Transportation Authority	0	0	0	0	0
Transportation, Department of	6,766	6,841	7,118	7,400	7,693
<b>Functional Total</b>	<b>57,667</b>	<b>56,863</b>	<b>58,252</b>	<b>58,873</b>	<b>59,166</b>
<b>HEALTH AND SOCIAL WELFARE</b>					
Aging, Office for the	27	0	0	0	0
Children and Family Services, Office of	2,103	1,791	1,928	1,928	1,986
OCFS	2,103	1,791	1,928	1,928	1,986
OCFS - Medicaid	0	0	0	0	0
Health, Department of	161,209	137,095	141,742	145,545	145,545
Medical Assistance	0	0	0	0	0
Medicaid Administration	0	0	0	0	0
Public Health	161,209	137,095	141,742	145,545	145,545
Health - Medicaid Assistance	0	0	0	0	0
Human Rights, Division of	0	0	0	0	0
Labor, Department of	31,991	28,948	31,126	31,375	31,375
Medicaid Inspector General, Office of	3,944	3,696	3,726	3,726	3,726
Prevention of Domestic Violence, Office for	0	0	0	0	0
Stem Cell and Innovation	541	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE STATE FUNDS  
PERSONAL SERVICE SPENDING**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>HEALTH AND SOCIAL WELFARE (Continued)</b>					
Temporary and Disability Assistance, Office of					
<i>Welfare Assistance</i>	3,488	3,738	3,173	3,193	3,217
<i>Welfare Administration</i>	0	0	0	0	0
<i>All Other</i>	3,488	3,738	3,173	3,193	3,217
Welfare Inspector General, Office of	0	0	0	0	0
Workers' Compensation Board	87,462	84,657	87,520	88,206	88,849
<b>Functional Total</b>	<b>290,765</b>	<b>259,925</b>	<b>269,215</b>	<b>273,973</b>	<b>274,698</b>
<b>MENTAL HYGIENE</b>					
Mental Health, Office of	555,245	406,443	457,944	639,067	645,514
<i>OMH</i>	378,329	319,744	333,294	340,280	343,864
<i>OMH - Medicaid</i>	176,916	86,699	124,650	298,787	301,650
Mental Hygiene, Department of	0	0	0	0	0
Mental Retardation and Developmental Disabilities, Office of	205,551	130,532	156,735	337,679	341,556
<i>OMRDD</i>	0	0	0	0	0
<i>OMRDD - Medicaid</i>	205,551	130,532	156,735	337,679	341,556
Alcoholism and Substance Abuse Services, Office of	42,481	47,092	48,989	50,355	51,009
OASAS	20,640	19,904	21,311	22,386	22,931
OASAS - <i>Medicaid</i>	21,841	27,188	27,678	27,969	28,078
Developmental Disabilities Planning Council	0	0	0	0	0
Quality of Care for the Mentally Disabled, Commission on	54	55	58	58	58
<b>Functional Total</b>	<b>803,331</b>	<b>584,122</b>	<b>663,726</b>	<b>1,027,159</b>	<b>1,038,137</b>
<b>PUBLIC PROTECTION</b>					
Capital Defenders Office	0	0	0	0	0
Correction, Commission of	0	0	0	0	0
Correctional Services, Department of	0	0	0	0	0
Crime Victims Board	325	3,569	3,542	3,569	3,608
Criminal Justice Services, Division of	345	439	463	463	463
Homeland Security	2,657	163	173	173	173
Investigation, Temporary State Commission of	0	0	0	0	0
Judicial Commissions	0	0	0	0	0
Military and Naval Affairs, Division of	2,180	1,945	1,931	1,934	1,952
Parole, Division of	0	0	0	0	0
Probation and Correctional Alternatives, Division of	0	0	0	0	0
State Police, Division of	97,055	161,358	175,268	175,633	175,633
<b>Functional Total</b>	<b>102,562</b>	<b>167,474</b>	<b>181,377</b>	<b>181,772</b>	<b>181,829</b>

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE STATE FUNDS  
PERSONAL SERVICE SPENDING**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>EDUCATION</b>					
Arts, Council on the	0	0	0	0	0
City University of New York	79,033	95,440	96,157	96,877	97,604
Education, Department of	56,583	53,083	53,697	53,976	55,091
<i>School Aid</i>	0	0	0	0	0
<i>School Aid - Medicaid Assistance</i>	0	0	0	0	0
<i>STAR Property Tax Relief</i>	0	0	0	0	0
<i>Special Education Categorical Programs</i>	0	0	0	0	0
<i>All Other</i>	56,583	53,083	53,697	53,976	55,091
Higher Education Services Corporation	37,615	34,841	36,299	36,589	36,881
Higher Education Capital Grants	0	0	0	0	0
State University Construction Fund	10,353	12,004	12,578	12,672	12,767
State University of New York	2,144,921	2,336,324	2,380,646	2,388,109	2,388,029
<b>Functional Total</b>	<b>2,328,505</b>	<b>2,531,692</b>	<b>2,579,377</b>	<b>2,588,223</b>	<b>2,600,372</b>
<b>GENERAL GOVERNMENT</b>					
Audit and Control, Department of	2,652	2,603	2,712	2,715	2,746
Budget, Division of the	5,727	11,426	14,264	15,914	16,089
Civil Service, Department of	314	526	529	531	535
Elections, State Board of	0	0	0	0	0
Employee Relations, Office of	0	0	0	0	0
Executive Chamber	0	0	0	0	0
General Services, Office of **	3,827	3,763	3,749	3,781	3,800
Inspector General, Office of	0	0	0	0	0
Law, Department of	21,877	23,765	23,776	23,954	24,133
Lieutenant Governor, Office of the	0	0	0	0	0
Lottery, Division of	20,703	23,311	24,305	24,305	24,305
Public Employment Relations Board	0	120	120	120	121
Public Integrity, Commission on	0	0	0	0	0
Racing and Wagering Board, State	12,328	9,624	9,935	9,925	9,925
Real Property Services, Office of	23,648	3,233	2,543	2,576	2,615
Regulatory Reform, Governor's Office of	0	0	0	0	0
State, Department of	18,834	17,514	18,590	18,590	18,590
Tax Appeals, Division of	0	0	0	0	0
Taxation and Finance, Department of	41,665	36,341	36,497	36,497	36,497
Technology, Office for	0	0	0	0	0
Lobbying, Temporary State Commission on	0	0	0	0	0
Veterans Affairs, Division of	0	0	0	0	0
<b>Functional Total</b>	<b>151,575</b>	<b>132,226</b>	<b>137,020</b>	<b>138,908</b>	<b>139,356</b>

**CASH DISBURSEMENTS BY FUNCTION**  
**SPECIAL REVENUE STATE FUNDS**  
**PERSONAL SERVICE SPENDING**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>ALL OTHER CATEGORIES</b>					
Legislature	0	0	0	0	0
Judiciary (excluding fringe benefits)	58,997	59,953	63,550	63,550	63,592
World Trade Center	0	0	0	0	0
Local Government Assistance	0	0	0	0	0
Long-Term Debt Service	0	0	0	0	0
General State Charges	0	0	0	0	0
Miscellaneous	6,152	(128,388)	(139,236)	(139,059)	(151,980)
<b>Functional Total</b>	<u>65,149</u>	<u>(68,435)</u>	<u>(75,686)</u>	<u>(75,509)</u>	<u>(88,388)</u>
<b>TOTAL PERSONAL SERVICE SPENDING</b>	<u>4,160,537</u>	<u>4,004,500</u>	<u>4,167,457</u>	<u>4,550,596</u>	<u>4,565,301</u>

GSC: Agency disbursements include grants to local governments, state operations and general state charges, which is a departure from prior Financial plan publications. In prior reports, general state charges were excluded from agency spending totals.

\*Unaudited Year-end Results

\*\* To facilitate comparability, the new Office of Procurement Services is reflected within the amounts shown for the Office of General Services.

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE STATE FUNDS  
NON-PERSONAL SERVICE SPENDING (includes Indirect Costs)**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	17,985	18,912	19,244	19,999	20,468
Alcoholic Beverage Control	4,407	5,055	5,183	5,306	5,420
Banking Department	16,769	15,800	16,334	15,846	15,846
Consumer Protection Board	697	30	30	100	100
Economic Development Capital Programs	0	0	0	0	0
Economic Development, Department of	672	3,313	3,313	3,313	3,313
Empire State Development Corporation	0	0	0	0	0
Energy Research and Development Authority	2,371	1,186	1,260	1,336	1,336
Housing and Community Renewal, Division of	5,729	5,314	5,617	6,025	6,113
Insurance Department	57,314	78,419	78,202	78,202	78,247
Olympic Regional Development Authority	64	372	372	372	372
Public Service, Department of	13,843	15,220	15,093	15,556	15,881
Science, Technology and Innovation, Foundation for	0	0	0	0	0
Strategic Investment	0	0	0	0	0
<b>Functional Total</b>	<b>119,851</b>	<b>143,621</b>	<b>144,648</b>	<b>146,055</b>	<b>147,086</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Adirondack Park Agency	0	0	0	0	0
Environmental Conservation, Department of	79,762	83,889	84,907	84,926	84,945
Environmental Facilities Corporation	1,175	1,210	1,213	1,216	1,219
Hudson River Park Trust	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	38,674	28,930	28,931	28,932	28,933
<b>Functional Total</b>	<b>119,611</b>	<b>114,029</b>	<b>115,051</b>	<b>115,074</b>	<b>115,097</b>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	19,361	24,667	25,088	26,290	26,290
Thruway Authority	0	0	0	0	0
Metropolitan Transportation Authority	0	0	0	0	0
Transportation, Department of	18,510	13,299	13,643	14,002	14,370
<b>Functional Total</b>	<b>37,871</b>	<b>37,966</b>	<b>38,731</b>	<b>40,292</b>	<b>40,660</b>
<b>HEALTH AND SOCIAL WELFARE</b>					
Aging, Office for the	6	1	1	1	1
Children and Family Services, Office of	4,207	3,288	3,274	3,005	3,073
OCFS	4,207	3,288	3,274	3,005	3,073
OCFS - Medicaid	0	0	0	0	0
Health, Department of	144,953	154,213	156,147	156,088	156,089
Medical Assistance	0	0	0	0	0
Medicaid Administration	0	0	0	0	0
Public Health	144,953	154,213	156,147	156,088	156,089
Health - Medicaid Assistance	0	0	0	0	0
Human Rights, Division of	0	0	0	0	0
Labor, Department of	14,238	13,895	12,900	13,261	13,261
Medicaid Inspector General, Office of	14	22	22	22	22
Prevention of Domestic Violence, Office for	0	28	28	28	28
Stem Cell and Innovation	7,256	46,321	71,500	50,000	167,826

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE STATE FUNDS  
NON-PERSONAL SERVICE SPENDING (includes Indirect Costs)**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>HEALTH AND SOCIAL WELFARE (Continued)</b>					
Temporary and Disability Assistance, Office of					
<i>Welfare Assistance</i>	6,010	5,791	5,924	6,082	6,234
<i>Welfare Administration</i>	0	0	0	0	0
<i>All Other</i>	6,010	5,791	5,924	6,082	6,234
Welfare Inspector General, Office of	0	0	0	0	0
Workers' Compensation Board	73,614	82,238	62,170	64,008	65,558
<b>Functional Total</b>	<b>250,298</b>	<b>305,797</b>	<b>311,966</b>	<b>292,495</b>	<b>412,092</b>
<b>MENTAL HYGIENE</b>					
Mental Health, Office of					
<i>OMH</i>	224,900	216,762	239,987	247,053	256,689
<i>OMH - Medicaid</i>	66,226	109,824	126,287	129,077	134,434
Mental Hygiene, Department of	158,674	106,938	113,700	117,976	122,255
Mental Retardation and Developmental Disabilities, Office of	0	0	0	0	0
<i>OMRDD</i>	35,405	45,819	55,544	62,055	63,764
<i>OMRDD - Medicaid</i>	188	176	176	176	176
Alcoholism and Substance Abuse Services, Office of	35,217	45,643	55,368	61,879	63,588
OASAS	23,711	19,550	20,395	21,396	22,238
OASAS - Medicaid	11,614	10,713	11,405	12,264	13,024
Developmental Disabilities Planning Council	12,097	8,837	8,990	9,132	9,214
Quality of Care for the Mentally Disabled, Commission on	0	0	0	0	0
<i>Functional Total</i>	<b>51</b>	<b>145</b>	<b>196</b>	<b>196</b>	<b>196</b>
	<b>284,067</b>	<b>282,276</b>	<b>316,122</b>	<b>330,700</b>	<b>342,887</b>
<b>PUBLIC PROTECTION</b>					
Capital Defenders Office	0	0	0	0	0
Correction, Commission of	0	0	0	0	0
Correctional Services, Department of	472	804	1,172	1,557	1,961
Crime Victims Board	111	1,064	1,092	1,118	1,138
Criminal Justice Services, Division of	26,415	19,615	18,486	16,218	16,218
Homeland Security	5,920	1,289	1,309	1,030	1,030
Investigation, Temporary State Commission of	151	0	0	0	0
Judicial Commissions	0	0	0	0	0
Military and Naval Affairs, Division of	4,536	7,095	4,308	3,657	3,732
Parole, Division of	220	2	2	2	2
Probation and Correctional Alternatives, Division of	0	0	0	0	0
State Police, Division of	42,201	43,489	43,571	18,154	18,158
<b>Functional Total</b>	<b>80,026</b>	<b>73,358</b>	<b>69,940</b>	<b>41,736</b>	<b>42,239</b>

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE STATE FUNDS  
NON-PERSONAL SERVICE SPENDING (includes Indirect Costs)**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>EDUCATION</b>					
Arts, Council on the	0	0	0	0	0
City University of New York	23,991	34,960	35,763	36,586	37,427
Education, Department of	27,979	26,784	26,850	26,876	28,238
School Aid	0	0	0	0	0
School Aid - Medicaid Assistance	0	0	0	0	0
STAR Property Tax Relief	0	0	0	0	0
Special Education Categorical Programs	0	0	0	0	0
All Other	27,979	26,784	26,850	26,876	28,238
Higher Education Services Corporation	41,247	48,056	49,664	51,383	53,164
Higher Education Capital Grants	0	0	0	0	0
State University Construction Fund	2,612	2,750	2,860	2,942	3,033
State University of New York	1,399,515	1,584,197	1,621,461	1,657,764	1,692,972
<b>Functional Total</b>	<b>1,495,344</b>	<b>1,696,747</b>	<b>1,736,598</b>	<b>1,775,551</b>	<b>1,814,834</b>
<b>GENERAL GOVERNMENT</b>					
Audit and Control, Department of	354	5,765	5,765	5,768	5,705
Budget, Division of the	11,054	36,435	39,392	49,644	59,030
Civil Service, Department of	904	1,187	1,214	1,242	1,271
Elections, State Board of	7,730	5,000	0	0	0
Employee Relations, Office of	4	47	52	54	56
Executive Chamber	0	0	0	0	0
General Services, Office of **	4,816	4,771	4,849	4,938	5,020
Inspector General, Office of	(14)	87	87	87	87
Law, Department of	38,658	32,475	30,561	34,126	34,500
Lieutenant Governor, Office of the	0	0	0	0	0
Lottery, Division of	170,764	154,420	158,248	158,248	158,248
Public Employment Relations Board	0	415	415	287	154
Public Integrity, Commission on	0	0	0	0	0
Racing and Wagering Board, State	5,455	6,767	6,940	6,935	6,935
Real Property Services, Office of	6,358	1,028	111	113	116
Regulatory Reform, Governor's Office of	0	0	0	0	0
State, Department of	10,997	11,407	11,465	11,465	11,465
Tax Appeals, Division of	0	0	0	0	0
Taxation and Finance, Department of	26,300	23,101	23,105	23,105	23,105
Technology, Office for	0	0	0	0	0
Lobbying, Temporary State Commission on	0	0	0	0	0
Veterans Affairs, Division of	0	0	0	0	0
<b>Functional Total</b>	<b>283,380</b>	<b>282,905</b>	<b>282,204</b>	<b>296,012</b>	<b>305,692</b>



**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE STATE FUNDS  
NON-PERSONAL SERVICE SPENDING (includes Indirect Costs)**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>ALL OTHER CATEGORIES</b>					
Legislature	1,100	950	950	950	950
Judiciary (excluding fringe benefits)	41,966	44,310	44,710	45,165	45,315
World Trade Center	0	0	0	0	0
Local Government Assistance	0	0	0	0	0
Long-Term Debt Service	0	0	0	0	0
General State Charges	0	0	0	0	0
Miscellaneous	11,491	(94,082)	(108,283)	(108,091)	(107,708)
<b>Functional Total</b>	<u>54,557</u>	<u>(48,822)</u>	<u>(62,623)</u>	<u>(61,976)</u>	<u>(61,443)</u>
<b>TOTAL NON-PERSONAL SERVICE (includes Indirect Costs) SPENDING</b>	<u>2,725,005</u>	<u>2,887,877</u>	<u>2,952,637</u>	<u>2,875,939</u>	<u>3,159,154</u>

GSC: Agency disbursements include grants to local governments, state operations and general state charges, which is a departure from prior Financial Plan publications. In prior reports, general state charges were excluded from agency spending totals.

\*Unaudited Year-end Results

\*\* To facilitate comparability, the new Office of Procurement Services is reflected within the amounts shown for the Office of General Services.

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE STATE FUNDS  
GENERAL STATE CHARGES SPENDING**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	3,374	4,311	4,974	5,478	5,826
Alcoholic Beverage Control	3,762	4,094	4,345	4,481	4,786
Banking Department	16,116	19,573	20,722	20,931	22,312
Consumer Protection Board	990	45	45	0	0
Economic Development Capital Programs	0	0	0	0	0
Economic Development, Department of	87	28	28	28	28
Empire State Development Corporation	0	0	0	0	0
Energy Research and Development Authority	1,693	1,712	1,764	1,817	1,817
Housing and Community Renewal, Division of	13,881	15,354	15,235	15,903	17,058
Insurance Department	35,520	41,497	43,679	44,702	48,349
Olympic Regional Development Authority	0	0	0	0	0
Public Service, Department of	21,079	19,239	21,475	22,553	24,282
Science, Technology and Innovation, Foundation for	0	0	0	0	0
Strategic Investment	0	0	0	0	0
<b>Functional Total</b>	<b>96,502</b>	<b>105,853</b>	<b>112,267</b>	<b>115,893</b>	<b>124,458</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Adirondack Park Agency	0	0	0	0	0
Environmental Conservation, Department of	40,629	41,045	34,973	33,892	34,112
Environmental Facilities Corporation	1,944	2,094	2,136	2,179	2,222
Hudson River Park Trust	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	3,506	2,897	3,368	3,154	3,155
<b>Functional Total</b>	<b>46,079</b>	<b>46,036</b>	<b>40,477</b>	<b>39,225</b>	<b>39,489</b>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	23,757	23,477	27,403	29,190	29,190
Thruway Authority	0	0	0	0	0
Metropolitan Transportation Authority	0	0	0	0	0
Transportation, Department of	2,870	3,497	3,410	3,544	3,686
<b>Functional Total</b>	<b>26,627</b>	<b>26,974</b>	<b>30,813</b>	<b>32,734</b>	<b>32,876</b>
<b>HEALTH AND SOCIAL WELFARE</b>					
Aging, Office for the	16	0	0	0	0
Children and Family Services, Office of	1,136	1,182	1,219	1,268	1,268
OCFS	1,136	1,182	1,219	1,268	1,268
OCFS - Medicaid	0	0	0	0	0
Health, Department of	35,009	39,791	39,559	41,438	41,438
Medical Assistance	0	0	0	0	0
Medicaid Administration	0	0	0	0	0
Public Health	35,009	39,791	39,559	41,438	41,438
Health - Medicaid Assistance	0	0	0	0	0
Human Rights, Division of	0	0	0	0	0
Labor, Department of	14,270	13,238	14,340	14,811	15,830
Medicaid Inspector General, Office of	152	110	126	126	126
Prevention of Domestic Violence, Office for	0	0	0	0	0
Stem Cell and Innovation	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE STATE FUNDS  
GENERAL STATE CHARGES SPENDING**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>HEALTH AND SOCIAL WELFARE (Continued)</b>					
Temporary and Disability Assistance, Office of					
<i>Welfare Assistance</i>	679	948	683	699	730
<i>Welfare Administration</i>	0	0	0	0	0
<i>All Other</i>	679	948	683	699	730
Welfare Inspector General, Office of	0	0	0	0	0
Workers' Compensation Board	40,349	38,774	40,202	41,852	44,544
<b>Functional Total</b>	<b>91,611</b>	<b>94,043</b>	<b>96,129</b>	<b>100,194</b>	<b>103,936</b>
<b>MENTAL HYGIENE</b>					
Mental Health, Office of	233,604	185,109	209,262	300,579	324,267
<i>OMH</i>	156,466	144,796	152,754	153,712	172,565
<i>OMH - Medicaid</i>	77,138	40,313	56,508	140,867	151,702
Mental Hygiene, Department of	308,114	0	0	0	0
Mental Retardation and Developmental Disabilities, Office of	90,920	59,725	71,263	159,176	171,659
<i>OMRDD</i>	0	0	0	0	0
<i>OMRDD - Medicaid</i>	90,920	59,725	71,263	159,176	171,659
Alcoholism and Substance Abuse Services, Office of	17,324	21,337	22,513	23,680	25,601
OASAS	7,848	9,583	10,379	11,212	12,505
<i>OASAS - Medicaid</i>	9,476	11,754	12,134	12,468	13,096
Developmental Disabilities Planning Council	0	0	0	0	0
Quality of Care for the Mentally Disabled, Commission on	24	25	27	27	29
<b>Functional Total</b>	<b>649,986</b>	<b>266,196</b>	<b>303,065</b>	<b>483,462</b>	<b>521,556</b>
<b>PUBLIC PROTECTION</b>					
Capital Defenders Office	0	0	0	0	0
Correction, Commission of	0	0	0	0	0
Correctional Services, Department of	0	0	0	0	0
Crime Victims Board	8	1,660	1,736	1,785	1,919
Criminal Justice Services, Division of	90	53	66	66	66
Homeland Security	1,065	8	12	12	12
Investigation, Temporary State Commission of	0	0	0	0	0
Judicial Commissions	0	0	0	0	0
Military and Naval Affairs, Division of	837	882	896	919	1,039
Parole, Division of	0	0	0	0	0
Probation and Correctional Alternatives, Division of	0	0	0	0	0
State Police, Division of	17,607	23,354	21,928	22,611	24,183
<b>Functional Total</b>	<b>19,607</b>	<b>25,957</b>	<b>24,638</b>	<b>25,393</b>	<b>27,219</b>

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE STATE FUNDS  
GENERAL STATE CHARGES SPENDING**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>EDUCATION</b>					
Arts, Council on the	0	0	0	0	0
City University of New York	4,648	7,600	7,775	7,954	8,137
Education, Department of	27,856	23,304	24,722	25,378	25,629
<i>School Aid</i>	0	0	0	0	0
<i>School Aid - Medicaid Assistance</i>	0	0	0	0	0
<i>STAR Property Tax Relief</i>	0	0	0	0	0
<i>Special Education Categorical Programs</i>	0	0	0	0	0
<i>All Other</i>	27,856	23,304	24,722	25,378	25,629
Higher Education Services Corporation	16,697	14,985	16,681	17,480	18,789
Higher Education Capital Grants	0	0	0	0	0
State University Construction Fund	3,517	4,832	5,554	5,849	6,022
State University of New York	257,202	287,083	303,747	305,662	308,237
<b>Functional Total</b>	<b>309,920</b>	<b>337,804</b>	<b>358,679</b>	<b>362,323</b>	<b>366,814</b>
<b>GENERAL GOVERNMENT</b>					
Audit and Control, Department of	1,207	1,532	1,585	1,591	1,734
Budget, Division of the	1,475	2,883	3,147	3,414	3,528
Civil Service, Department of	146	239	247	256	271
Elections, State Board of	0	0	0	0	0
Employee Relations, Office of	0	0	0	0	0
Executive Chamber	0	0	0	0	0
General Services, Office of **	1,830	1,763	1,867	1,939	2,042
Inspector General, Office of	0	0	0	0	0
Law, Department of	8,479	10,032	10,362	11,397	12,680
Lieutenant Governor, Office of the	0	0	0	0	0
Lottery, Division of	9,484	10,420	11,254	11,516	12,198
Public Employment Relations Board	0	0	0	0	0
Public Integrity, Commission on	0	0	0	0	0
Racing and Wagering Board, State	5,701	4,678	4,932	5,047	5,380
Real Property Services, Office of	10,806	3,669	1,190	1,234	1,339
Regulatory Reform, Governor's Office of	0	0	0	0	0
State, Department of	6,552	8,198	8,537	8,758	9,392
Tax Appeals, Division of	0	0	0	0	0
Taxation and Finance, Department of	8,464	16,123	16,876	17,264	18,380
Technology, Office for	0	0	0	0	0
Lobbying, Temporary State Commission on	0	0	0	0	0
Veterans Affairs, Division of	0	0	0	0	0
<b>Functional Total</b>	<b>54,144</b>	<b>59,538</b>	<b>59,997</b>	<b>62,416</b>	<b>66,944</b>

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE STATE FUNDS  
GENERAL STATE CHARGES SPENDING**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>ALL OTHER CATEGORIES</b>					
Legislature	0	0	0	0	0
Judiciary (excluding fringe benefits)	9,517	13,863	9,473	13,973	9,523
World Trade Center	0	0	0	0	0
Local Government Assistance	0	0	0	0	0
Long-Term Debt Service	0	0	0	0	0
General State Charges	0	0	0	0	0
Miscellaneous	2,864	3,648	3,662	3,850	4,147
<b>Functional Total</b>	<u>12,381</u>	<u>17,511</u>	<u>13,135</u>	<u>17,823</u>	<u>13,670</u>
<b>TOTAL GENERAL STATE CHARGES SPENDING</b>	<u>1,306,857</u>	<u>979,912</u>	<u>1,039,200</u>	<u>1,239,463</u>	<u>1,296,962</u>

GSC: Agency disbursements include grants to local governments, state operations and general state charges, which is a departure from prior Financial plan publications. In prior reports, general state charges were excluded from agency spending totals.

\*Unaudited Year-end Results

\*\* To facilitate comparability, the new Office of Procurement Services is reflected within the amounts shown for the Office of General Services.

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE FEDERAL FUNDS  
LOCAL ASSISTANCE SPENDING**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	0	0	0	0	0
Alcoholic Beverage Control	0	0	0	0	0
Banking Department	0	0	0	0	0
Consumer Protection Board	0	0	0	0	0
Economic Development Capital Programs	0	0	0	0	0
Economic Development, Department of	0	100	100	100	100
Empire State Development Corporation	0	0	0	0	0
Energy Research and Development Authority	0	0	0	0	0
Housing and Community Renewal, Division of	60,872	363,368	201,897	65,497	65,497
Insurance Department	0	0	0	0	0
Olympic Regional Development Authority	0	0	0	0	0
Public Service, Department of	0	0	0	0	0
Science, Technology and Innovation, Foundation for	0	0	0	0	0
Strategic Investment	0	0	0	0	0
<b>Functional Total</b>	<b>60,872</b>	<b>363,468</b>	<b>201,997</b>	<b>65,597</b>	<b>65,597</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Adirondack Park Agency	0	0	0	0	0
Environmental Conservation, Department of	0	25,600	0	0	0
Environmental Facilities Corporation	0	0	0	0	0
Hudson River Park Trust	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	1,916	1,270	1,270	1,270	1,270
<b>Functional Total</b>	<b>1,916</b>	<b>26,870</b>	<b>1,270</b>	<b>1,270</b>	<b>1,270</b>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	13,354	13,200	13,200	13,200	13,200
Thruway Authority	0	0	0	0	0
Metropolitan Transportation Authority	0	0	0	0	0
Transportation, Department of	32,103	31,924	31,935	31,935	31,935
<b>Functional Total</b>	<b>45,457</b>	<b>45,124</b>	<b>45,135</b>	<b>45,135</b>	<b>45,135</b>
<b>HEALTH AND SOCIAL WELFARE</b>					
Aging, Office for the	107,266	100,154	100,154	95,769	95,769
Children and Family Services, Office of	1,002,891	1,045,382	1,032,305	934,800	934,800
OCFS	1,002,891	1,045,382	1,032,305	934,800	934,800
OCFS - Medicaid	0	0	0	0	0
Health, Department of	23,091,931	27,430,612	28,060,741	26,026,351	26,027,013
Medical Assistance	21,309,688	25,574,294	26,166,573	24,164,233	24,113,395
Medical Administration	448,881	457,000	478,250	500,000	523,000
Public Health	1,333,362	1,399,318	1,415,918	1,362,118	1,390,618
Health - Medicaid Assistance	0	0	0	0	0
Human Rights, Division of	0	0	0	0	0
Labor, Department of	159,044	325,433	246,161	171,490	171,490
Medicaid Inspector General, Office of	0	0	0	0	0
Prevention of Domestic Violence, Office for	0	0	0	0	0
Stem Cell and Innovation	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION**  
**SPECIAL REVENUE FEDERAL FUNDS**  
**LOCAL ASSISTANCE SPENDING**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>HEALTH AND SOCIAL WELFARE (Continued)</b>					
Temporary and Disability Assistance, Office of	3,465,807	3,480,170	3,332,722	3,361,683	3,278,589
<i>Welfare Assistance</i>	2,597,408	2,606,220	2,464,272	2,526,433	2,443,339
<i>Welfare Administration</i>	0	0	0	0	0
<i>All Other</i>	868,399	873,950	868,450	835,250	835,250
Welfare Inspector General, Office of	0	0	0	0	0
Workers' Compensation Board	0	0	0	0	0
<b>Functional Total</b>	<b>27,826,939</b>	<b>32,381,751</b>	<b>32,772,083</b>	<b>30,590,093</b>	<b>30,507,661</b>
<b>MENTAL HYGIENE</b>					
Mental Health, Office of	58,346	50,087	50,087	50,087	50,087
<i>OMH</i>	58,346	50,087	50,087	50,087	50,087
<i>OMH - Medicaid</i>	0	0	0	0	0
Mental Hygiene, Department of	0	0	0	0	0
Mental Retardation and Developmental Disabilities, Office of	293,727	261,169	273,854	283,223	293,838
<i>OMRDD</i>	0	0	0	0	0
<i>OMRDD - Medicaid</i>	293,727	261,169	273,854	283,223	293,838
Alcoholism and Substance Abuse Services, Office of	109,989	104,970	104,970	104,970	107,670
<i>OASAS</i>	109,989	104,970	104,970	104,970	107,670
<i>OASAS - Medicaid</i>	0	0	0	0	0
Developmental Disabilities Planning Council	0	0	0	0	0
Quality of Care for the Mentally Disabled, Commission on	220	250	439	439	439
<b>Functional Total</b>	<b>462,282</b>	<b>416,476</b>	<b>429,350</b>	<b>438,719</b>	<b>452,034</b>
<b>PUBLIC PROTECTION</b>					
Capital Defenders Office	0	0	0	0	0
Correction, Commission of	0	0	0	0	0
Correctional Services, Department of	0	0	0	0	0
<i>Crime Victims Board</i>	33,850	33,678	28,978	28,978	28,978
<i>Criminal Justice Services, Division of</i>	72,090	52,600	64,600	51,600	40,600
<i>Homeland Security</i>	72,145	280,742	202,302	467,190	467,190
<i>Investigation, Temporary State Commission of</i>	0	0	0	0	0
<i>Judicial Commissions</i>	0	0	0	0	0
<i>Military and Naval Affairs, Division of</i>	74,606	139,232	65,000	50,000	50,000
<i>Parole, Division of</i>	0	0	0	0	0
<i>Probation and Correctional Alternatives, Division of</i>	0	0	0	0	0
<i>State Police, Division of</i>	0	0	0	0	0
<b>Functional Total</b>	<b>252,691</b>	<b>506,252</b>	<b>360,880</b>	<b>597,768</b>	<b>586,768</b>

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE FEDERAL FUNDS  
LOCAL ASSISTANCE SPENDING**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>EDUCATION</b>					
Arts, Council on the	271	780	420	420	420
City University of New York	0	13,730	18,306	0	0
Education, Department of	3,370,019	5,378,713	5,979,523	3,715,604	3,363,557
<i>School Aid</i>	2,560,222	3,986,290	4,596,000	2,888,200	2,627,000
<i>School Aid - Medicaid Assistance</i>	0	0	0	0	0
<i>STAR Property Tax Relief</i>	0	0	0	0	0
<i>Special Education Categorical Programs</i>	742,266	1,294,800	1,313,800	765,000	690,000
<i>All Other</i>	67,531	97,623	69,723	62,404	36,557
Higher Education Services Corporation	0	34,980	49,900	14,920	0
Higher Education Capital Grants	0	0	0	0	0
State University Construction Fund	0	0	0	0	0
State University of New York	49	35,315	47,087	0	0
<b>Functional Total</b>	<b>3,370,339</b>	<b>5,463,518</b>	<b>6,095,236</b>	<b>3,730,944</b>	<b>3,353,977</b>
<b>GENERAL GOVERNMENT</b>					
Audit and Control, Department of	0	0	0	0	0
Budget, Division of the	0	0	0	0	0
Civil Service, Department of	0	0	0	0	0
Elections, State Board of	1,640	19,000	0	0	0
Employee Relations, Office of	0	0	0	0	0
Executive Chamber	0	0	0	0	0
General Services, Office of **	0	250	250	250	250
Inspector General, Office of	0	0	0	0	0
Law, Department of	0	0	0	0	0
Lieutenant Governor, Office of the	0	0	0	0	0
Lottery, Division of	0	0	0	0	0
Public Employment Relations Board	0	0	0	0	0
Public Integrity, Commission on	0	0	0	0	0
Racing and Wagering Board, State	0	0	0	0	0
Real Property Services, Office of	0	0	0	0	0
Regulatory Reform, Governor's Office of	0	0	0	0	0
State, Department of	58,728	98,957	98,957	55,457	55,457
Tax Appeals, Division of	0	0	0	0	0
Taxation and Finance, Department of	0	0	0	0	0
Technology, Office for	0	0	0	0	0
Lobbying, Temporary State Commission on	0	0	0	0	0
Veterans Affairs, Division of	0	0	0	0	0
<b>Functional Total</b>	<b>60,368</b>	<b>118,207</b>	<b>99,207</b>	<b>55,707</b>	<b>55,707</b>



**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE FEDERAL FUNDS  
LOCAL ASSISTANCE SPENDING**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>ALL OTHER CATEGORIES</b>					
Legislature	0	0	0	0	0
Judiciary (excluding fringe benefits)	0	0	0	0	0
World Trade Center	0	0	0	0	0
Local Government Assistance	0	0	0	0	0
Long-Term Debt Service	0	0	0	0	0
General State Charges	0	0	0	0	0
Miscellaneous	(154,129)	(275,871)	(145,871)	(145,871)	(145,871)
<b>Functional Total</b>	<u>(154,129)</u>	<u>(275,871)</u>	<u>(145,871)</u>	<u>(145,871)</u>	<u>(145,871)</u>
<b>TOTAL LOCAL ASSISTANCE SPENDING</b>	<u>31,926,735</u>	<u>39,045,795</u>	<u>39,859,287</u>	<u>35,379,362</u>	<u>34,922,278</u>

GSC: Agency disbursements include grants to local governments, state operations and general state charges, which is a departure from prior Financial plan publications. In prior reports, general state charges were excluded from agency spending totals.

\*Unaudited Year-end Results

\*\* To facilitate comparability, the new Office of Procurement Services is reflected within the amounts shown for the Office of General Services.

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE FEDERAL FUNDS  
PERSONAL SERVICE SPENDING**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	2,334	1,661	1,704	1,704	1,704
Alcoholic Beverage Control	0	0	0	0	0
Banking Department	0	0	0	0	0
Consumer Protection Board	0	0	0	0	0
Economic Development Capital Programs	0	0	0	0	0
Economic Development, Department of	0	0	0	0	0
Empire State Development Corporation	0	0	0	0	0
Energy Research and Development Authority	0	0	0	0	0
Housing and Community Renewal, Division of	6,787	6,718	6,813	6,882	6,951
Insurance Department	0	0	0	0	0
Olympic Regional Development Authority	0	0	0	0	0
Public Service, Department of	928	919	963	963	971
Science, Technology and Innovation, Foundation for	0	0	0	0	0
Strategic Investment	0	0	0	0	0
<b>Functional Total</b>	<b>10,049</b>	<b>9,298</b>	<b>9,480</b>	<b>9,549</b>	<b>9,626</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Adirondack Park Agency	0	0	0	0	0
Environmental Conservation, Department of	25,887	28,461	30,136	30,136	30,136
Environmental Facilities Corporation	0	0	0	0	0
Hudson River Park Trust	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	1,243	1,034	1,056	1,056	1,056
<b>Functional Total</b>	<b>27,130</b>	<b>29,495</b>	<b>31,192</b>	<b>31,192</b>	<b>31,192</b>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	1,106	1,151	1,208	1,221	1,221
Thruway Authority	0	0	0	0	0
Metropolitan Transportation Authority	0	0	0	0	0
Transportation, Department of	9,638	10,342	10,785	11,204	11,638
<b>Functional Total</b>	<b>10,744</b>	<b>11,493</b>	<b>11,993</b>	<b>12,425</b>	<b>12,859</b>
<b>HEALTH AND SOCIAL WELFARE</b>					
Aging, Office for the	5,986	6,799	7,035	7,134	7,134
Children and Family Services, Office of	54,306	56,613	58,167	58,505	58,727
OCFS	54,306	56,613	58,167	58,505	58,727
OCFS - Medicaid	0	0	0	0	0
Health, Department of	126,760	104,093	107,554	103,652	104,102
Medical Assistance	0	0	0	0	0
Medicaid Administration	0	0	0	0	0
Public Health	126,760	104,093	107,554	103,652	104,102
Health - Medicaid Assistance	0	0	0	0	0
Human Rights, Division of	3,964	4,684	4,943	5,038	5,069
Labor, Department of	170,909	212,655	200,485	184,882	180,882
Medicaid Inspector General, Office of	17,460	25,484	26,052	26,052	26,052
Prevention of Domestic Violence, Office for	60	0	0	0	0
Stem Cell and Innovation	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION**  
**SPECIAL REVENUE FEDERAL FUNDS**  
**PERSONAL SERVICE SPENDING**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>HEALTH AND SOCIAL WELFARE (Continued)</b>					
Temporary and Disability Assistance, Office of					
<i>Welfare Assistance</i>	139,613	133,287	136,708	137,199	138,209
<i>Welfare Administration</i>	0	0	0	0	0
<i>All Other</i>	139,613	133,287	136,708	137,199	138,209
Welfare Inspector General, Office of	240	395	405	408	408
Workers' Compensation Board	0	0	0	0	0
<b>Functional Total</b>	<b>519,298</b>	<b>544,010</b>	<b>541,349</b>	<b>522,870</b>	<b>520,583</b>
<b>MENTAL HYGIENE</b>					
Mental Health, Office of					
<i>OMH</i>	548,822	670,547	683,701	517,403	522,773
<i>OMH - Medicaid</i>	861	578	578	578	578
Mental Hygiene, Department of	547,961	669,969	683,123	516,825	522,195
Mental Retardation and Developmental Disabilities, Office of	0	1,570	1,997	1,484	1,484
<i>OMRDD</i>	921,449	973,929	1,006,709	836,105	845,474
<i>OMRDD - Medicaid</i>	46	80	116	116	116
Alcoholism and Substance Abuse Services, Office of	921,403	973,849	1,006,593	835,989	845,358
OASAS	23,791	19,825	20,601	20,601	19,796
OASAS - <i>Medicaid</i>	5,760	5,552	5,833	5,833	4,873
Developmental Disabilities Planning Council	18,031	14,273	14,768	14,768	14,923
Quality of Care for the Mentally Disabled, Commission on	1,213	1,181	1,197	1,197	1,197
Quality of Care for the Mentally Disabled, Commission on	3,382	3,483	3,989	3,989	3,989
<b>Functional Total</b>	<b>1,498,657</b>	<b>1,670,535</b>	<b>1,718,194</b>	<b>1,380,779</b>	<b>1,394,713</b>
<b>PUBLIC PROTECTION</b>					
Capital Defenders Office	0	0	0	0	0
Correction, Commission of	25	0	0	0	0
Correctional Services, Department of	43,474	52,569	31,892	31,936	31,936
Crime Victims Board	1,221	1,133	1,200	1,200	1,200
Criminal Justice Services, Division of	4,619	15,235	14,494	14,494	12,484
Homeland Security	2,485	2,533	2,698	2,810	2,637
Investigation, Temporary State Commission of	0	0	0	0	0
Judicial Commissions	0	0	0	0	0
Military and Naval Affairs, Division of	28,938	18,477	19,238	19,391	19,442
Parole, Division of	0	0	0	0	0
Probation and Correctional Alternatives, Division of	158	5	7	7	7
State Police, Division of	5,632	3,697	3,697	3,697	3,697
<b>Functional Total</b>	<b>86,552</b>	<b>93,649</b>	<b>73,226</b>	<b>73,535</b>	<b>71,413</b>

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE FEDERAL FUNDS  
PERSONAL SERVICE SPENDING**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>EDUCATION</b>					
Arts, Council on the	0	0	0	0	0
City University of New York	0	0	0	0	0
Education, Department of	94,107	91,574	114,423	114,423	114,423
<i>School Aid</i>	0	0	0	0	0
<i>School Aid - Medicaid Assistance</i>	0	0	0	0	0
<i>STAR Property Tax Relief</i>	0	0	0	0	0
<i>Special Education Categorical Programs</i>	0	0	0	0	0
<i>All Other</i>	94,107	91,574	114,423	114,423	114,423
Higher Education Services Corporation	0	0	0	0	0
Higher Education Capital Grants	0	0	0	0	0
State University Construction Fund	0	0	0	0	0
State University of New York	8,666	9,409	9,480	9,551	9,551
<b>Functional Total</b>	<b>102,773</b>	<b>100,983</b>	<b>123,903</b>	<b>123,974</b>	<b>123,974</b>
<b>GENERAL GOVERNMENT</b>					
Audit and Control, Department of	0	0	0	0	0
Budget, Division of the	0	0	0	0	0
Civil Service, Department of	0	0	0	0	0
Elections, State Board of	30	0	0	0	0
Employee Relations, Office of	0	0	0	0	0
Executive Chamber	0	0	0	0	0
General Services, Office of **	0	0	0	0	0
Inspector General, Office of	0	0	0	0	0
Law, Department of	19,222	20,397	21,213	21,372	21,532
Lieutenant Governor, Office of the	0	0	0	0	0
Lottery, Division of	0	0	0	0	0
Public Employment Relations Board	0	0	0	0	0
Public Integrity, Commission on	0	0	0	0	0
Racing and Wagering Board, State	0	0	0	0	0
Real Property Services, Office of	0	0	0	0	0
Regulatory Reform, Governor's Office of	0	0	0	0	0
State, Department of	2,956	3,578	3,771	3,771	3,771
Tax Appeals, Division of	0	0	0	0	0
Taxation and Finance, Department of	0	60	60	60	60
Technology, Office for	0	0	0	0	0
Lobbying, Temporary State Commission on	0	0	0	0	0
Veterans Affairs, Division of	817	882	926	926	926
<b>Functional Total</b>	<b>23,025</b>	<b>24,917</b>	<b>25,970</b>	<b>26,129</b>	<b>26,299</b>

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE FEDERAL FUNDS  
PERSONAL SERVICE SPENDING**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>ALL OTHER CATEGORIES</b>					
Legislature	0	0	0	0	0
Judiciary (excluding fringe benefits)	1,779	0	0	0	0
World Trade Center	0	0	0	0	0
Local Government Assistance	0	0	0	0	0
Long-Term Debt Service	0	0	0	0	0
General State Charges	0	0	0	0	0
Miscellaneous	331	4,551	4,558	4,558	4,558
<b>Functional Total</b>	<u>2,110</u>	<u>4,551</u>	<u>4,558</u>	<u>4,558</u>	<u>4,558</u>
<b>TOTAL PERSONAL SERVICE SPENDING</b>	<u>2,280,338</u>	<u>2,488,931</u>	<u>2,539,865</u>	<u>2,185,011</u>	<u>2,195,217</u>

GSC: Agency disbursements include grants to local governments, state operations and general state charges, which is a departure from prior Financial plan publications. In prior reports, general state charges were excluded from agency spending totals.

\*Unaudited Year-end Results

\*\* To facilitate comparability, the new Office of Procurement Services is reflected within the amounts shown for the Office of General Services.

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE FEDERAL FUNDS  
NON-PERSONAL SERVICE SPENDING (includes Indirect Costs)**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	10,147	8,186	8,189	8,189	8,189
Alcoholic Beverage Control	0	0	0	0	0
Banking Department	0	0	0	0	0
Consumer Protection Board	0	0	0	0	0
Economic Development Capital Programs	0	0	0	0	0
Economic Development, Department of	0	245	245	245	245
Empire State Development Corporation	0	0	0	0	0
Energy Research and Development Authority	45	0	0	0	0
Housing and Community Renewal, Division of	937	2,979	2,914	3,038	3,576
Insurance Department	0	0	0	0	0
Olympic Regional Development Authority	0	0	0	0	0
Public Service, Department of	221	387	388	388	396
Science, Technology and Innovation, Foundation for	0	0	0	0	0
Strategic Investment	0	0	0	0	0
<b>Functional Total</b>	<b>11,350</b>	<b>11,797</b>	<b>11,736</b>	<b>11,860</b>	<b>12,406</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Adirondack Park Agency	212	350	350	350	350
Environmental Conservation, Department of	19,247	16,556	16,556	16,556	16,556
Environmental Facilities Corporation	0	0	0	0	0
Hudson River Park Trust	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	3,066	1,085	1,085	1,085	1,085
<b>Functional Total</b>	<b>22,525</b>	<b>17,991</b>	<b>17,991</b>	<b>17,991</b>	<b>17,991</b>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	1,616	1,863	1,747	1,791	1,791
Thruway Authority	0	0	0	0	0
Metropolitan Transportation Authority	0	0	0	0	0
Transportation, Department of	15,706	8,104	8,321	8,542	8,769
<b>Functional Total</b>	<b>17,322</b>	<b>9,967</b>	<b>10,068</b>	<b>10,333</b>	<b>10,560</b>
<b>HEALTH AND SOCIAL WELFARE</b>					
Aging, Office for the	1,570	1,385	1,385	1,120	1,120
Children and Family Services, Office of	105,106	97,200	97,570	95,802	96,634
OCFS	105,106	97,200	97,570	95,802	96,634
OCFS - Medicaid	0	0	0	0	0
Health, Department of	162,676	191,500	191,500	165,500	165,500
Medical Assistance	0	0	0	0	0
Medicaid Administration	0	0	0	0	0
Public Health	162,676	191,500	191,500	165,500	165,500
Health - Medicaid Assistance	0	0	0	0	0
Human Rights, Division of	2,643	3,485	1,074	937	948
Labor, Department of	104,481	209,197	131,932	120,724	110,689
Medicaid Inspector General, Office of	10,685	13,010	13,010	13,010	13,010
Prevention of Domestic Violence, Office for	0	0	0	0	0
Stem Cell and Innovation	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE FEDERAL FUNDS  
NON-PERSONAL SERVICE SPENDING (includes Indirect Costs)**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>HEALTH AND SOCIAL WELFARE (Continued)</b>					
Temporary and Disability Assistance, Office of	129,831	121,156	123,090	124,560	126,205
<i>Welfare Assistance</i>	0	0	0	0	0
<i>Welfare Administration</i>	0	0	0	0	0
<i>All Other</i>	129,831	121,156	123,090	124,560	126,205
Welfare Inspector General, Office of	473	465	465	476	485
Workers' Compensation Board	3,585	3,532	3,532	3,532	3,532
<b>Functional Total</b>	<b>521,050</b>	<b>640,918</b>	<b>563,558</b>	<b>525,561</b>	<b>518,123</b>
<b>MENTAL HYGIENE</b>					
Mental Health, Office of	63,304	146,312	158,162	161,874	169,900
<i>OMH</i>	339	165	165	165	165
<i>OMH - Medicaid</i>	62,965	146,147	157,997	161,709	169,735
Mental Hygiene, Department of	204	0	0	0	0
Mental Retardation and Developmental Disabilities, Office of	366,681	409,885	424,506	431,493	440,624
<i>OMRDD</i>	33,692	33,240	33,290	33,340	33,490
<i>OMRDD - Medicaid</i>	332,989	376,645	391,216	398,153	407,134
Alcoholism and Substance Abuse Services, Office of	1,745	2,581	1,950	1,935	1,957
<i>OASAS</i>	1,745	1,985	1,330	1,315	1,331
<i>OASAS - Medicaid</i>	0	596	620	620	626
Developmental Disabilities Planning Council	3,289	2,546	2,468	2,461	2,420
Quality of Care for the Mentally Disabled, Commission on	5,028	5,300	5,742	5,742	5,742
<b>Functional Total</b>	<b>440,231</b>	<b>566,624</b>	<b>592,828</b>	<b>603,505</b>	<b>620,643</b>
<b>PUBLIC PROTECTION</b>					
Capital Defenders Office	0	0	0	0	0
Correction, Commission of	4	0	0	0	0
Correctional Services, Department of	873	1,129	1,139	1,150	1,150
Crime Victims Board	426	1,652	1,652	1,652	1,652
Criminal Justice Services, Division of	9,508	17,580	16,830	16,830	10,080
Homeland Security	2,782	10,960	13,851	13,860	13,865
Investigation, Temporary State Commission of	0	0	0	0	0
Judicial Commissions	0	0	0	0	0
Military and Naval Affairs, Division of	15,257	18,784	18,436	18,756	18,434
Parole, Division of	0	0	0	0	0
Probation and Correctional Alternatives, Division of	21	0	0	0	0
State Police, Division of	2,545	1,921	1,921	1,121	1,121
<b>Functional Total</b>	<b>31,416</b>	<b>52,026</b>	<b>53,829</b>	<b>53,369</b>	<b>46,302</b>

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE FEDERAL FUNDS  
NON-PERSONAL SERVICE SPENDING (includes Indirect Costs)**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>EDUCATION</b>					
Arts, Council on the	0	100	100	100	100
City University of New York	0	0	0	0	0
Education, Department of	75,297	86,389	132,111	122,111	122,111
School Aid	0	0	0	0	0
School Aid - Medicaid Assistance	0	0	0	0	0
STAR Property Tax Relief	0	0	0	0	0
Special Education Categorical Programs	0	0	0	0	0
All Other	75,297	86,389	132,111	122,111	122,111
Higher Education Services Corporation	3,726	5,671	5,671	5,671	5,671
Higher Education Capital Grants	0	0	0	0	0
State University Construction Fund	0	0	0	0	0
State University of New York	197,453	220,398	237,151	242,020	242,020
<b>Functional Total</b>	<u>276,476</u>	<u>312,558</u>	<u>375,033</u>	<u>369,902</u>	<u>369,902</u>
<b>GENERAL GOVERNMENT</b>					
Audit and Control, Department of	0	0	0	0	0
Budget, Division of the	0	0	0	0	0
Civil Service, Department of	0	0	0	0	0
Elections, State Board of	80,809	122,500	0	0	0
Employee Relations, Office of	0	0	0	0	0
Executive Chamber	0	0	0	0	0
General Services, Office of **	5,193	12,737	6,537	4,987	4,987
Inspector General, Office of	0	0	0	0	0
Law, Department of	6,337	9,028	8,808	9,007	9,090
Lieutenant Governor, Office of the	0	0	0	0	0
Lottery, Division of	0	0	0	0	0
Public Employment Relations Board	0	0	0	0	0
Public Integrity, Commission on	0	0	0	0	0
Racing and Wagering Board, State	0	0	0	0	0
Real Property Services, Office of	0	0	0	0	0
Regulatory Reform, Governor's Office of	0	0	0	0	0
State, Department of	935	3,811	3,811	3,811	3,811
Tax Appeals, Division of	0	0	0	0	0
Taxation and Finance, Department of	638	214	214	214	214
Technology, Office for	0	11,950	5,800	0	0
Lobbying, Temporary State Commission on	0	0	0	0	0
Veterans Affairs, Division of	279	291	295	295	301
<b>Functional Total</b>	<u>94,191</u>	<u>160,531</u>	<u>25,465</u>	<u>18,314</u>	<u>18,403</u>



**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE FEDERAL FUNDS  
NON-PERSONAL SERVICE SPENDING (includes Indirect Costs)**  
(thousands of dollars)

ALL OTHER CATEGORIES	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
Legislature	0	0	0	0	0
Judiciary (excluding fringe benefits)	3,984	7,500	7,500	7,500	7,500
World Trade Center	0	0	0	0	0
Local Government Assistance	0	0	0	0	0
Long-Term Debt Service	0	0	0	0	0
General State Charges	0	0	0	0	0
Miscellaneous	13,921	15,043	15,036	13,646	13,646
<b>Functional Total</b>	<u>17,905</u>	<u>22,543</u>	<u>22,536</u>	<u>21,146</u>	<u>21,146</u>
<b>TOTAL NON-PERSONAL SERVICE (includes Indirect Costs) SPENDING</b>	<u>1,432,466</u>	<u>1,794,955</u>	<u>1,673,044</u>	<u>1,632,081</u>	<u>1,635,476</u>

GSC: Agency disbursements include grants to local governments, state operations and general state charges, which is a departure from prior Financial plan publications. In prior reports, general state charges were excluded from agency spending totals.

\*Unaudited Year-end Results

\*\* To facilitate comparability, the new Office of Procurement Services is reflected within the amounts shown for the Office of General Services.

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE FEDERAL FUNDS  
GENERAL STATE CHARGES SPENDING**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	857	704	793	815	866
Alcoholic Beverage Control	0	0	0	0	0
Banking Department	0	0	0	0	0
Consumer Protection Board	0	0	0	0	0
Economic Development Capital Programs	0	0	0	0	0
Economic Development, Department of	0	0	0	0	0
Empire State Development Corporation	0	0	0	0	0
Energy Research and Development Authority	0	0	0	0	0
Housing and Community Renewal, Division of	2,539	2,439	2,625	2,625	3,219
Insurance Department	0	0	0	0	0
Olympic Regional Development Authority	0	0	0	0	0
Public Service, Department of	394	381	453	464	497
Science, Technology and Innovation, Foundation for	0	0	0	0	0
Strategic Investment	0	0	0	0	0
<b>Functional Total</b>	<b>3,790</b>	<b>3,524</b>	<b>3,871</b>	<b>3,904</b>	<b>4,582</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Adirondack Park Agency	0	0	0	0	0
Environmental Conservation, Department of	11,890	14,055	14,884	14,884	14,884
Environmental Facilities Corporation	0	0	0	0	0
Hudson River Park Trust	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	527	507	517	517	517
<b>Functional Total</b>	<b>12,417</b>	<b>14,562</b>	<b>15,401</b>	<b>15,401</b>	<b>15,401</b>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	437	557	605	643	643
Thruway Authority	0	0	0	0	0
Metropolitan Transportation Authority	0	0	0	0	0
Transportation, Department of	3,450	4,714	5,094	5,362	5,753
<b>Functional Total</b>	<b>3,887</b>	<b>5,271</b>	<b>5,699</b>	<b>6,005</b>	<b>6,396</b>
<b>HEALTH AND SOCIAL WELFARE</b>					
Aging, Office for the	130	226	216	263	263
Children and Family Services, Office of	10,242	9,834	10,034	10,156	10,156
OCFS	10,242	9,834	10,034	10,156	10,156
OCFS - Medicaid	0	0	0	0	0
Health, Department of	25,101	24,276	25,366	23,440	23,440
Medical Assistance	0	0	0	0	0
Medicaid Administration	0	0	0	0	0
Public Health	25,101	24,276	25,366	23,440	23,440
Health - Medicaid Assistance	0	0	0	0	0
Human Rights, Division of	222	3,323	2,305	2,409	2,559
Labor, Department of	72,776	91,258	92,796	88,685	92,448
Medicaid Inspector General, Office of	6,845	7,079	7,401	7,401	7,401
Prevention of Domestic Violence, Office for	0	0	0	0	0
Stem Cell and Innovation	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE FEDERAL FUNDS  
GENERAL STATE CHARGES SPENDING**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>HEALTH AND SOCIAL WELFARE (Continued)</b>					
Temporary and Disability Assistance, Office of	37,725	39,080	43,136	44,527	47,959
<i>Welfare Assistance</i>	0	0	0	0	0
<i>Welfare Administration</i>	0	0	0	0	0
<i>All Other</i>	37,725	39,080	43,136	44,527	47,959
Welfare Inspector General, Office of	85	212	207	214	221
Workers' Compensation Board	0	0	0	0	0
<b>Functional Total</b>	<b>153,126</b>	<b>175,288</b>	<b>181,461</b>	<b>177,095</b>	<b>184,447</b>
<b>MENTAL HYGIENE</b>					
Mental Health, Office of	238,091	298,885	311,077	239,350	258,586
<i>OMH</i>	466	444	444	444	444
<i>OMH - Medicaid</i>	237,625	298,441	310,633	238,906	258,142
Mental Hygiene, Department of	0	0	0	0	0
Mental Retardation and Developmental Disabilities, Office of	460,320	441,097	463,694	393,689	425,655
<i>OMRDD</i>	10	33	54	55	59
<i>OMRDD - Medicaid</i>	460,310	441,064	463,640	393,634	425,596
Alcoholism and Substance Abuse Services, Office of	6,047	8,647	9,298	9,532	10,254
OASAS	7	2,200	2,505	2,574	2,746
<i>OASAS - Medicaid</i>	6,040	6,447	6,793	6,958	7,508
Developmental Disabilities Planning Council	413	473	535	542	583
Quality of Care for the Mentally Disabled, Commission on	1,505	1,590	1,845	1,893	2,019
<b>Functional Total</b>	<b>706,376</b>	<b>750,692</b>	<b>786,449</b>	<b>645,006</b>	<b>697,097</b>
<b>PUBLIC PROTECTION</b>					
Capital Defenders Office	0	0	0	0	0
Correction, Commission of	5	0	0	0	0
Correctional Services, Department of	931	1,269	1,394	1,415	1,415
Crime Victims Board	30	313	326	326	326
Criminal Justice Services, Division of	55	1,389	1,550	1,550	1,550
Homeland Security	0	1,312	1,378	1,518	1,433
Investigation, Temporary State Commission of	0	0	0	0	0
Judicial Commissions	0	0	0	0	0
Military and Naval Affairs, Division of	6,892	8,110	9,446	9,689	10,400
Parole, Division of	0	0	0	0	0
Probation and Correctional Alternatives, Division of	87	2	3	3	3
State Police, Division of	467	987	987	987	987
<b>Functional Total</b>	<b>8,467</b>	<b>13,382</b>	<b>15,084</b>	<b>15,488</b>	<b>16,114</b>

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE FEDERAL FUNDS  
GENERAL STATE CHARGES SPENDING**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>EDUCATION</b>					
Arts, Council on the	0	0	0	0	0
City University of New York	0	0	0	0	0
Education, Department of	39,697	54,419	56,150	56,150	56,150
<i>School Aid</i>	0	0	0	0	0
<i>School Aid - Medicaid Assistance</i>	0	0	0	0	0
<i>STAR Property Tax Relief</i>	0	0	0	0	0
<i>Special Education Categorical Programs</i>	0	0	0	0	0
<i>All Other</i>	39,697	54,419	56,150	56,150	56,150
Higher Education Services Corporation	0	0	0	0	0
Higher Education Capital Grants	0	0	0	0	0
State University Construction Fund	0	0	0	0	0
State University of New York	16	50	50	50	50
<b>Functional Total</b>	<b>39,713</b>	<b>54,469</b>	<b>56,200</b>	<b>56,200</b>	<b>56,200</b>
<b>GENERAL GOVERNMENT</b>					
Audit and Control, Department of	0	0	0	0	0
Budget, Division of the	0	0	0	0	0
Civil Service, Department of	0	0	0	0	0
Elections, State Board of	14	0	0	0	0
Employee Relations, Office of	0	0	0	0	0
Executive Chamber	0	0	0	0	0
General Services, Office of **	0	0	0	0	0
Inspector General, Office of	0	0	0	0	0
Law, Department of	5,631	8,669	10,097	10,397	11,255
Lieutenant Governor, Office of the	0	0	0	0	0
Lottery, Division of	0	0	0	0	0
Public Employment Relations Board	0	0	0	0	0
Public Integrity, Commission on	0	0	0	0	0
Racing and Wagering Board, State	0	0	0	0	0
Real Property Services, Office of	0	0	0	0	0
Regulatory Reform, Governor's Office of	0	0	0	0	0
State, Department of	699	1,659	1,804	1,909	3,811
Tax Appeals, Division of	0	0	0	0	0
Taxation and Finance, Department of	0	29	30	30	30
Technology, Office for	0	0	0	0	0
Lobbying, Temporary State Commission on	0	0	0	0	0
Veterans Affairs, Division of	373	380	432	443	478
<b>Functional Total</b>	<b>6,717</b>	<b>10,737</b>	<b>12,363</b>	<b>12,779</b>	<b>15,574</b>

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE FEDERAL FUNDS  
GENERAL STATE CHARGES SPENDING**  
(thousands of dollars)

	2008-2009 Year-End*	2009-2010 Enacted	2010-2011 Projected	2011-2012 Projected	2012-2013 Projected
<b>ALL OTHER CATEGORIES</b>					
Legislature	0	0	0	0	0
Judiciary (excluding fringe benefits)	189	0	0	0	0
World Trade Center	0	0	0	0	0
Local Government Assistance	0	0	0	0	0
Long-Term Debt Service	0	0	0	0	0
General State Charges	0	0	0	0	0
Miscellaneous	0	3,440	3,440	3,440	3,440
<b>Functional Total</b>	<b>189</b>	<b>3,440</b>	<b>3,440</b>	<b>3,440</b>	<b>3,440</b>
<b>TOTAL GENERAL STATE CHARGES SPENDING</b>	<b>934,682</b>	<b>1,031,365</b>	<b>1,079,968</b>	<b>935,318</b>	<b>999,251</b>

GSC: Agency disbursements include grants to local governments, state operations and general state charges, which is a departure from prior Financial plan publications. In prior reports, general state charges were excluded from agency spending totals.

\*Unaudited Year-end Results

\*\*To facilitate comparability, the new Office of Procurement Services is reflected within the amounts shown for the Office of General Services.

**General Fund Transfers From Other Funds**  
(thousands of dollars)

			<u>2008-2009</u>	<u>2009-2010</u>	<u>2010-2011</u>	<u>2011-12</u>	<u>2012-13</u>
<b>RBTF - Dedicated PIT in excess of Debt Service</b>			<b>8,403,556</b>	<b>8,129,635</b>	<b>8,532,179</b>	<b>8,579,286</b>	<b>8,110,482</b>
<b>LGAC - Dedicated Sales Tax in excess of Debt Service</b>			<b>2,195,276</b>	<b>2,200,686</b>	<b>2,253,995</b>	<b>2,344,186</b>	<b>2,463,148</b>
<b>CWCA - Real Estate Transfer Tax in excess of Debt Service</b>			<b>351,701</b>	<b>57,130</b>	<b>147,578</b>	<b>243,969</b>	<b>328,531</b>
<u>Sending Agency</u>	<u>Fund</u>	<u>Account</u>					
<b>Total All Other Transfers</b>			<b>1,399,008</b>	<b>1,169,398</b>	<b>723,064</b>	<b>684,219</b>	<b>695,372</b>
ENCON	078.00	Environ Protect	275,000	95,000	-	-	-
OMH	339.10	Mental Hygiene	161,270	3,264	-	-	-
TADA OTH	265	Federal HHS	136,000	41,000	41,000	41,000	41,000
HLTH OTH	061.99	HCRA Undistribu	127,354	-	-	-	-
HLTH OTH	339.J6	EPIC Premium Ac	81,047	-	-	-	-
SWN	339.LZ	Pub Safe Commun	65,000	40,000	20,000	20,000	20,000
MED ASST	339.YV	Provider Assess	50,000	-	-	-	-
CFS	339.YF	Yth Fac PerDiem	43,834	202,457	119,300	128,400	128,400
DOB	339.CR	Reven Arrearage	40,770	15,000	15,000	15,000	15,000
STATE	339.AG	Business Licens	37,090	42,191	39,799	39,352	39,352
ENCON	312.00	Hazardous Waste	36,712	26,700	26,700	26,700	26,700
DMV	339.H7	DMV-Compulsory	35,550	12,300	12,300	12,300	12,300
TSCR	339.TS	TSCR Account	31,078	107,643	179,510	142,370	154,011
CUNY	377.A1	CUNY Stabilizn	25,000	-	-	-	-
SUNY	345.10	S U Genl IFR	22,000	22,000	22,000	22,000	22,000
STATE	339.07	Fire Prev/Code	19,260	14,260	14,260	14,260	14,260
CVB	339.62	Crim Jus Improv	19,083	800	800	800	800
SED OTH	339.EN	Cultural Educat	15,000	-	-	-	-
LABOR	339.30	DOL Fee Penalty	13,883	7,450	7,450	7,450	7,450
ENCON	301.48	Wst Tire Mgt/Re	11,750	-	-	-	-
OMHM	339.13	M H Patient Inc	11,270	-	-	-	-
STATE	339.LW	Local Wireless	10,000	-	-	-	-
OASAS	346.00	Subst Abuse Srv	9,500	-	-	-	-
FPDRR	064.00	Debt Reduct Res	9,197	-	-	-	-
DCJS	339.IM	Leg Svcs Assist	9,000	-	-	-	-
HLTH OTH	339.26	Cert of Need	6,152	-	-	-	-
BANKING	339.A5	Banking Deptmnt	6,000	8,000	-	-	-
TADA OTH	339.GA	Adult Shelter	6,000	-	-	-	-
HLTH OTH	339.JA	Vital Rec Mgmt	5,200	2,200	2,200	2,200	2,200
HLTH OTH	339.J1	Loc Pub Hlth	5,010	-	-	-	-
HLTH OTH	339.Q3	NYC Veterans	5,000	-	-	-	-
DCJS	354.01	MVTIFA	5,000	-	-	-	-
OMRDDM	339.13	M H Patient Inc	4,750	-	-	-	-
INSUR	339.B6	Insurance Dept	4,500	15,000	-	-	-
LABOR	339.BA	Public Work Enf	4,269	-	-	-	-
OGS	339.YN	OGS Std & Purch	4,000	3,000	3,000	3,000	3,000
OMH	339.10	Mental Hygiene	3,762	4,000	-	-	-
DOB	339.ST	Systems & Tech	3,424	-	-	-	-
HLTH OTH	339.44	Hosp & Nurs Mgt	3,292	-	-	-	-
CFS	265	Federal HHS	2,500	2,500	2,500	2,500	2,500
LABOR	482.01	UI Sp Int & Pen	2,500	5,000	5,000	5,000	5,000
SED OTH	339.A4	Teacher Certif	2,276	-	-	-	-
HLTH OTH	339.21	Nurses Aide Reg	2,194	-	-	-	-
ENCON	355.01	Great Lakes Pro	2,010	-	-	-	-
CIV SVC	339.ER	Exam & Misc Rev	2,000	1,485	1,503	1,506	1,506
HLTH OTH	339.QC	Quality of Care	2,000	-	-	-	-
OSC	390.01	Indigent Legal	1,561	7,200	7,200	7,200	7,200
LABOR	305.01	OSH Trng & Educ	1,545	9,000	-	-	-
HLTH OTH	339.W4	Occ Hlth Clinic	1,376	-	-	-	-
DHCR	339.H2	DHCR Mortgage S	1,339	-	-	-	-
DOT	313.06	Add Mass Trans	1,300	-	-	-	-
HLTH OTH	339.H9	Prof Medic Cond	1,286	-	-	-	-
HLTH OTH	339.Q4	NYS Home-Vetera	1,273	-	-	-	-

**General Fund Transfers From Other Funds**  
(thousands of dollars)

			<u>2008-2009</u>	<u>2009-2010</u>	<u>2010-2011</u>	<u>2011-12</u>	<u>2012-13</u>
HLTH OTH	339.81	Envir.Lab.Fee A	1,095	-	-	-	-
DOT	339.42	Tr Surplus Prop	1,000	-	-	-	-
DOT	339.G7	DOT-Accident Da	1,000	-	-	-	-
HLTH OTH	339.L2	Asst Living Res	1,000	-	-	-	-
PARKS	339.T2	OPR Patron Serv	1,000	-	-	-	-
DM & NA	339.U2	Recruitment Inc	1,000	-	-	-	-
OGS	339.YL	OGS Bldg Admin	958	10,000	1,000	1,000	1,000
HLTH OTH	339.AP	Administration	867	-	-	-	-
SED OTH	052.01	Loc Govt Record	763	-	-	-	-
ENCON	301.BJ	Indirect Charge	665	-	-	-	-
RACING	339.BJ	Bell Jar Collec	558	-	-	-	-
SED OTH	050.01	Tuition Reimb	500	-	-	-	-
SED OTH	054.01	Chtr Sch Sti Ac	261	-	-	-	-
CFS	341.04	DFY-NYC Summer	250	244	-	-	-
DOCS	339.CT	Cell Phone Towe	200	-	-	-	-
ENCON	301.XB	Mined Land Recl	160	1,700	1,700	1,700	1,700
JUDICIAR	339.JD	Problm Solv Cou	100	-	-	-	-
PUB SVC	339.US	Undrgrnd Sfty T	100	100	100	100	100
TADA OTH	339.GD	EBT/CBIC	58	-	-	-	-
STATE	349.01	Lk George Park	58	-	-	-	-
HLTH OTH	339.ES	Eating Disorder	53	-	-	-	-
SED OTH	339.A3	Educatn Library	50	-	-	-	-
ENCON	301.S4	Encon Magazine	30	-	-	-	-
ENCON	301.49	Oil & Gas Accou	19	-	-	-	-
ENCON	301.W8	UST-Trust Recov	18	-	-	-	-
DOCS	329.01	DOCS Family Ben	18	-	-	-	-
JUDICIAR	339.RE	Erie Co Fam Cou	17	-	-	-	-
ORDA	333.02	Wintr Sports Ed	14	-	-	-	-
HLTH OTH	339.H5	Triple Prescr F	14	-	-	-	-
DCJS	339.CA	Crimes Against	5	-	-	-	-
SED OTH	290.00	Fed Oper Grant	1	-	-	-	-
CFS	339.FC	Fostr Care Savi	1	-	-	-	-
OMH	339.10	Mental Hygiene	-	420	-	-	-
OMRDD	339.10	Mental Hygiene	-	43,336	43,097	42,736	42,248
TADA OTH	339.GA	Adult Shelter	-	6,000	-	-	-
HLTH OTH	061.01	Tobacco Cntr &	-	503	503	503	503
HLTH OTH	061.02	Health Care Srv	-	4,214	4,214	4,214	4,214
HLTH OTH	061.22	EMS Training	-	667	667	667	667
HLTH OTH	061.29	Child Health In	-	394	394	394	394
HLTH OTH	061.BO	Primary Care In	-	125	125	125	125
HLTH OTH	061.DN	Prov Coll Monit	-	492	492	492	492
HLTH OTH	061.H3	Pilot Health In	-	286	286	286	286
HLTH OTH	061.LB	Health Occup De	-	129	129	129	129
HLTH OTH	061.LE	Health Care Del	-	39	39	39	39
DCJS	339.62	Crim Jus Improv	-	9,146	-	-	-
WCB	339.B7	Workers Comp Bd	-	50,000	-	-	-
SPEC REV	SRO.00	SRO Account	-	100,045	137,446	139,446	139,446
TADA OTH	265.FS	Federal Stimulu	-	7,000	7,000	-	-
OMRDD	332.09	ICF/HCBS Loan	-	3,600	-	-	-
HESC	339.VR	VRSS	-	463	-	-	-
OGS	323.ZY	OGS Bldg Admin	-	10,000	5,000	-	-
DMH	339.10	Mental Hygiene	-	197,371	-	-	-
DMH	339.13	M H Patient Inc	-	24,124	-	-	-
DM & NA	339.61	Radiology	-	1,350	1,350	1,350	1,350
TADA OTH	339.L7	Fedl Admin Reim	-	10,000	-	-	-
PUB SVC	339.US	Undrgrnd Sfty T	-	200	-	-	-
<b>Total General Fund Transfers from Other Funds</b>			<u>12,349,533</u>	<u>11,575,849</u>	<u>11,675,816</u>	<u>11,872,660</u>	<u>11,617,533</u>

**General Fund Transfers to Other Funds**  
(thousands of dollars)

<u>Receiving Agency</u>	<u>Fund</u>	<u>Account</u>	<u>2008-2009</u>	<u>2009-2010</u>	<u>2010-2011</u>	<u>2011-12</u>	<u>2012-13</u>
<b>Total Transfers to Debt Service Funds</b>			<b>1,733,508</b>	<b>1,783,046</b>	<b>1,762,161</b>	<b>1,738,658</b>	<b>1,725,372</b>
DEBT SVC	311	Genl Debt Servc	1,733,508	1,783,046	1,762,161	1,738,658	1,725,372
<b>Total Transfers to Capital Projects Funds</b>			<b>473,473</b>	<b>551,414</b>	<b>1,162,104</b>	<b>1,319,124</b>	<b>1,491,284</b>
DOT	072.00	DHBTf	237,187	383,089	762,691	842,449	922,725
CAP PROJ	002.00	Capital Projects	61,685	202,325	389,413	466,675	548,559
OMRDD	002.00	Capital Projects	43,393	-	-	-	-
OMH	002.00	Capital Projects	29,299	-	-	-	-
OGS	002.00	Capital Projects	21,027	-	-	-	-
ENCON	002.00	Capital Projects	12,520	-	-	-	-
DM & NA	002.00	Capital Projects	12,390	-	-	-	-
SUNY	002.00	Capital Projects	10,567	-	-	-	-
HLTH OTH	002.00	Capital Projects	10,086	-	-	-	-
OASAS	002.00	Capital Projects	8,534	-	-	-	-
SED OTH	002.00	Capital Projects	7,267	-	-	-	-
CUNY	002.00	Capital Projects	6,093	-	-	-	-
EFC	002.00	Capital Projects	4,400	-	-	-	-
ST POLIC	002.00	Capital Projects	2,094	-	-	-	-
AG&MKTS	002.00	Capital Projects	1,769	-	-	-	-
JUDICIAR	002.00	Capital Projects	1,674	-	-	-	-
HLS	002.00	Capital Projects	1,160	-	-	-	-
DHCR	002.00	Capital Projects	1,123	-	-	-	-
CFS	002.00	Capital Projects	787	-	-	-	-
STATE	002.00	Capital Projects	418	-	-	-	-
ENCON	312	Hazardous Waste	-	5,000	5,000	5,000	15,000
PARKS	076.00	Parks Infrastuc	-	5,000	5,000	5,000	5,000
ESDC	002.CC	CPF - Auth Bond	-	(44,000)	-	-	-
<b>Total All Other Transfers</b>			<b>3,963,609</b>	<b>3,124,287</b>	<b>3,467,023</b>	<b>4,206,978</b>	<b>4,473,322</b>
DMH	339.10	State Share Medicaid	2,625,155	2,361,739	2,387,939	2,886,539	2,888,039
SED GSPS	160.06	VLT - Education	301,720	-	-	-	-
SUNY	345.22	S U Hosp Ops	141,179	135,095	133,540	166,925	166,925
OMRDD	339.05	OMRDD Provider	132,930	-	8,000	16,240	24,727
SUNY	345.22	S U Hosp Ops	126,265	176,500	176,500	176,500	176,500
SED GSPS	160.03	Education - New	108,403	-	-	-	-
JUDICIAR	340.AA	CFIA Undistrib	95,756	120,000	120,000	125,000	130,000
TAX	334.12	Banking Service	65,282	66,045	66,045	66,045	66,045
FPDRR	064.00	Debt Reduct Res	57,820	-	-	-	-
SUNY	345.31	SUNY Stabilizat	43,932	-	-	-	-
OSC	390.01	Indigent Legal	42,396	40,000	40,000	40,000	40,000
DOT	313.02	Metro Mass Tran	29,505	19,100	19,100	19,100	19,100
DMH	304.00	M. Health Servi	27,943	-	-	-	-
JUDICIAR	369.01	Jud Data Proc O	21,153	-	(404)	(402)	(402)
HLTH OTH	319.00	DOH Income Fund	20,205	16,079	16,079	16,079	16,079
DOT	313.01	Pub Tran Systms	19,000	14,183	19,000	19,000	19,000
JUDICIAR	368.01	NYCCC Operat Of	18,445	15,309	15,309	15,309	15,309
ABC	339.DB	Alcohol Beverag	14,556	18,163	18,296	18,296	18,296
DOCS	397.00	Corr Industries	14,000	14,000	30,000	30,000	14,000
HLTH OTH	339.AW	Spinal Injury	8,500	8,500	8,500	8,500	8,500
SUNY	345.11	S U Inc Offset	8,318	8,318	8,318	8,318	8,318
CIV SVC	396.00	Health Insurnce	8,083	7,843	7,843	7,843	7,843
JUDICIAR	368.01	NYCCC Operat Of	8,080	14,026	14,990	16,380	16,380
DCJS	339.CA	Crimes Against	6,000	6,000	6,000	6,000	6,000
SED OTH	054.01	Chtr Sch Sti Ac	5,527	-	-	-	-
OMH	339.10	Mental Hygiene	5,165	6,902	13,129	22,511	6,902
DM & NA	339.U2	Recruitment Inc	2,087	2,087	2,087	2,087	2,087
CFS	020.78	WB Hoyt Memoria	1,842	1,382	1,382	1,382	1,382
DEBT SVC	316.00	Housing Debt	1,762	-	-	-	-



**General Fund Transfers to Other Funds**  
(thousands of dollars)

<u>Receiving Agency</u>	<u>Fund</u>	<u>Account</u>	<u>2008-2009</u>	<u>2009-2010</u>	<u>2010-2011</u>	<u>2011-12</u>	<u>2012-13</u>
HESC	339.FA	Fin Aid Audit	1,393	-	-	-	-
SED OTH	339.D9	Batavia School	700	-	-	-	-
SED OTH	339.E8	Rome School	507	400	400	400	400
OASAS	339.10	Mental Hygiene	-	5,055	5,055	20,367	20,367
OMRDDM	339.13	M H Patient Inc	-	210	210	210	210
ORPS	339.BZ	IMP R P Tax Adm	-	24,500	9,500	10,000	10,000
SED OTH	339.D9	Batavia School	-	700	700	700	700
FPADJ	020.00	Combined Exp Tr	-	30,000	30,000	30,000	30,000
HLTH OTH	020.AA	Alzheimers Dis	-	250	250	250	250
HLTH OTH	020.BD	Br Can Res & Ed	-	650	650	650	650
HLTH OTH	020.PR	Prostate Cancer	-	150	150	150	150
DHCR	316.00	Housing Debt	-	1,000	1,000	1,000	1,000
DMH	339.10	Mental Hygiene	-	-	50,900	146,296	257,642
DMH	339.13	M H Patient Inc	-	-	217,515	289,063	394,633
DOB	339.FM	FMS Account	-	-	35,000	50,000	60,000
SCI	339.SR	ES Stem Cell Tr	-	15,650	13,300	-	56,050
CIV SVC	396.01	CS EBD Adm Reim	-	240	240	240	240
ORPS	339.BZ	IMP R P Tax Adm	-	(5,789)	(9,500)	(10,000)	(10,000)
<b>Total General Fund Transfers to Other Funds</b>			<b><u>6,170,590</u></b>	<b><u>5,458,747</u></b>	<b><u>6,391,288</u></b>	<b><u>7,264,760</u></b>	<b><u>7,689,978</u></b>

**2008-2009 SPECIAL REVENUE FUND BALANCE SWEEPS  
PURSUANT TO \$350 MILLION AUTHORIZATION  
(dollars in thousands)**

<u>Dispensing Fund/Account</u>		<u>Receiving Fund/Account</u>		
061.99	HCRA -- Undistributed Revenue	003	General Fund	127,354,000
339.YV	Provider Assessments	003	General Fund	50,000,000
339.CR	Revenue Arrearage Account	003	General Fund	25,770,000
339.30	DOL-Fee & Penalty Account	003	General Fund	12,955,000
339.J6	EPIC - Elderly Pharmaceutical Insur Cov Prem	003	General Fund	11,047,000
301.48	Waste Tire Management/Recycling	003	General Fund	10,029,368
339.LW	Local Wireless Public Safety Answering Point	003	General Fund	10,000,000
346.00	Substance Abuse Srv	003	General Fund	9,500,000
339.IM	Legal Services Assistance Fund	003	General Fund	9,000,000
339.26	Certificate of Need Account	003	General Fund	6,152,000
339.J1	Local Public Health Services Program Account	003	General Fund	5,010,000
339.Q3	NYC Veterans-St Albans	003	General Fund	5,000,000
354.01	MV Theft & Ins Fraud Prevention	003	General Fund	5,000,000
339.07	Code Enforcement Account	003	General Fund	5,000,000
339.13	Mental Hygiene Patient Income Account	003	General Fund	4,750,000
339.10	Mental Hygiene Program Fund Account	003	General Fund	3,762,000
339.ST	System & Tech Account	003	General Fund	3,424,000
339.44	Hospital & Nursing Home Management Account	003	General Fund	3,292,152
339.BA	Public Work Enforcement Account	003	General Fund	3,143,000
339.JA	Vital Records Management Fund	003	General Fund	3,000,000
482.01	Unemployment Insurance Interest and Penalty	003	General Fund	2,500,000
339.A4	Teacher Certification Program Account	003	General Fund	2,276,000
339.21	Nurses Aide Registry Account	003	General Fund	2,194,000
355.01	New York Great Lakes Protection	003	General Fund	2,010,000
339.QC	Quality of Care Improvement	003	General Fund	2,000,000
339.H7	Compulsory Insurance Account	003	General Fund	2,000,000
390.01	Indigent Legal Services Fund	003	General Fund	1,560,778
339.W4	Occupational Health Clinic Network Account	003	General Fund	1,376,000
339.H2	DHCR - Mortgage Servicing Account	003	General Fund	1,339,000
313.06	Mass Transportation Operating Assistance	003	General Fund	1,300,000
339.H9	Professional Medical Conduct Account	003	General Fund	1,286,253
339.Q4	NYS Veterans Home-Oxford	003	General Fund	1,273,000
339.81	Environment Laboratory Fee Account	003	General Fund	1,095,000
339.L2	Assisted Living Residence Quality Oversight	003	General Fund	1,000,000
339.U2	DMNA - Recruitment Incentive and Retention	003	General Fund	1,000,000
339.G7	DOT - Accident Damage Recovery Account	003	General Fund	1,000,000
339.42	DOT - Surplus Property Account	003	General Fund	1,000,000
339.T2	OPR Patron Serv	003	General Fund	1,000,000
339.AP	Administration Program	003	General Fund	866,955
052.01	Local Government Records Mgmt	003	General Fund	763,000
301.BJ	Indirect Charge	003	General Fund	665,000
050.01	Tuition Reimbursement	003	General Fund	500,000
054.01	Charter School	003	General Fund	261,000
339.CT	Cell Tower	003	General Fund	200,000
301.XB	Mined Land Recl	003	General Fund	160,000
305.01	OSH Training and Education	003	General Fund	154,000
339.GD	TADA - Electronic Benefit Issuance Account	003	General Fund	58,000
349.01	Lake George Park	003	General Fund	58,000
339.ES	Eating Disorders	003	General Fund	53,000
339.A3	Education Library Account	003	General Fund	50,000
301.S4	Encon Magazine	003	General Fund	30,000
301.49	Oil and Gas Account	003	General Fund	19,000
301.W8	UST-Trust Recov	003	General Fund	18,000
333.Q2	Winter Sports Education	003	General Fund	14,000
339.CA	Crimes Against Revenue Account	003	General Fund	4,680
339.FC	Foster Care	003	General Fund	515
<b>Total</b>				<b>344,273,701</b>

*The above schedule identifies \$344.3 million from specific funds and accounts that were transferred pursuant to the \$350 million authorization. The remaining \$5.7 million was applied towards end-year operational needs in two Special Revenue Accounts: Hospital & Nursing Management Account (339.44) and Crimes Against Revenue Account (339.CA).*

**CASH COMBINING STATEMENT**  
**GENERAL FUND**  
 2009-2010  
 (millions of dollars)

	General Fund	Stabilization Reserve Fund	Tax Reserve Fund	Contingency Reserve Fund	Community Projects Fund	Rainy Day Reserve Fund	State Employees' Fund	Victim's Fund	Personal Income Tax Reserve Fund	Debt Reduction Reserve Fund	Labor Settlement	Eliminations	Total
<b>Opening fund balance</b>	0	1,031	21	145	175	0	0	1	73	503	0	1,949	
<b>Receipts:</b>													
Taxes	39,382	0	0	0	0	0	0	0	0	0	0	0	39,382
Miscellaneous receipts	3,381	0	0	0	0	0	0	0	0	0	0	0	3,381
Federal grants	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total receipts</b>	42,763	0	0	0	0	0	0	0	0	0	0	0	42,763
<b>Disbursements:</b>													
Grants to local governments	36,927	0	0	159	0	0	0	0	0	0	0	0	37,086
State operations	8,657	0	0	0	0	0	2	0	0	0	0	0	8,659
General State charges	3,704	0	0	0	0	0	0	0	0	0	0	0	3,704
Debt service	0	0	0	0	0	0	0	0	0	0	0	0	0
Capital projects	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total disbursements</b>	49,288	0	0	159	0	0	2	0	0	0	0	0	49,449
<b>Other financing sources (uses):</b>													
Transfers from other funds	41,814	0	0	93	0	0	2	0	0	(503)	(29,831)	0	11,575
Transfers to other funds	(35,290)	0	0	0	0	0	0	0	0	0	29,831	0	(5,459)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>Net other financing sources (uses)</b>	6,524	0	0	93	0	0	2	0	0	(503)	0	0	6,116
<b>Change in fund balance</b>	(1)	0	0	(66)	0	0	0	0	0	(503)	0	0	(570)
<b>Closing fund balance</b>	(1)	1,031	21	79	175	0	0	1	73	0	0	0	1,379

**CASH COMBINING STATEMENT  
SPECIAL REVENUE FUNDS  
2009-2010  
(thousands of dollars)**

	<u>019</u>	<u>020</u>	<u>023</u>	<u>024</u>	<u>025</u>	<u>050</u>	<u>052</u>	<u>053</u>	<u>054</u>	<u>059</u>	<u>061</u>
<b>Opening Fund Balance</b>	2,149	59,027	34,896	129	54	3,540	3,240	4,731	7,064	19	239,962
<b>Receipts:</b>											
Taxes	0	0	0	0	0	0	0	3,524,450	0	0	1,036,900
Miscellaneous Receipts	140	35,543	30,000	212	230	3,576	10,866	0	200	0	3,881,400
Federal Grants	0	0	0	0	0	0	0	0	0	0	0
<b>Total Receipts</b>	140	35,543	30,000	212	230	3,576	10,866	3,524,450	200	0	4,918,300
<b>Disbursements:</b>											
Grants to Local Governments	0	7,468	35,000	0	0	207	8,641	3,524,450	3,806	0	5,080,613
State Operations	140	51,998	1,693	328	158	1,917	2,553	0	1,982	0	60,561
General State Charges	0	2,943	357	133	48	686	948	0	0	0	6,673
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	2,000	0	0	0	0	0	0	0	0	0
<b>Total Disbursements</b>	140	64,309	37,050	461	206	2,810	12,142	3,524,450	5,788	0	5,147,847
<b>Other Financing Sources (Uses):</b>											
Transfers from Other Funds	0	33,932	0	300	0	0	0	0	0	0	177,700
Transfers to Other Funds	0	0	0	(49)	0	0	(686)	0	0	0	(187,216)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	0	33,932	0	251	0	0	(686)	0	0	0	(9,516)
<b>Change in Fund Balance</b>	0	5,166	(7,050)	2	24	766	(1,962)	0	(5,588)	0	(239,063)
<b>Closing Fund Balance</b>	2,149	64,193	27,846	131	78	4,306	1,278	4,731	1,476	19	899

**CASH COMBINING STATEMENT  
SPECIAL REVENUE FUNDS  
2009-2010  
(thousands of dollars)**

	<u>073</u>	<u>160</u>	<u>221</u>	<u>261</u>	<u>265</u>	<u>267</u>	<u>269</u>	<u>290</u>	<u>300</u>	<u>301</u>	<u>302</u>
<b>Opening Fund Balance</b>	96,326	14,844	17,596	(625)	(29,041)	(5,405)	654	175,431	1,057	4,908	30,414
<b>Receipts:</b>											
Taxes	666,791	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	9,921	2,944,571	35,800	106,650	62,624	2,645	2,704	(28,016)	6,568	89,947	63,931
Federal Grants	0	0	650	1,573,805	35,447,462	5,284,363	182,061	1,453,366	0	0	0
<b>Total Receipts</b>	676,712	2,944,571	36,450	1,680,455	35,510,086	5,287,008	184,765	1,425,350	6,568	89,947	63,931
<b>Disbursements:</b>											
Grants to Local Governments	633,285	2,757,000	0	1,583,850	30,670,404	4,794,491	144,625	956,181	0	0	0
State Operations	0	177,731	22,236	59,742	450,048	431,301	26,943	405,165	6,509	79,072	42,016
General State Charges	0	10,420	0	8,546	73,764	47,637	10,797	41,948	2,128	14,547	17,834
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
<b>Total Disbursements</b>	633,285	2,945,151	22,236	1,652,138	31,194,216	5,273,429	182,365	1,403,294	8,637	93,619	59,850
<b>Other Financing Sources (Uses):</b>											
Transfers from Other Funds	0	0	0	0	0	0	0	500	0	21,947	1,300
Transfers to Other Funds	0	0	0	(28,317)	(4,315,870)	(13,579)	(2,400)	(22,556)	(71)	(5,914)	(3,010)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	0	0	0	(28,317)	(4,315,870)	(13,579)	(2,400)	(22,056)	(71)	16,033	(1,710)
<b>Change in Fund Balance</b>	43,427	(580)	14,214	0	0	0	0	0	(2,140)	12,361	2,371
<b>Closing Fund Balance</b>	139,753	14,264	31,810	(625)	(29,041)	(5,405)	654	175,431	(1,083)	17,269	32,785

**CASH COMBINING STATEMENT  
SPECIAL REVENUE FUNDS  
2009-2010  
(thousands of dollars)**

	<u>303</u>	<u>305</u>	<u>306</u>	<u>307</u>	<u>313</u>	<u>314</u>	<u>318</u>	<u>321</u>	<u>332</u>	<u>333</u>	<u>338</u>
<b>Opening Fund Balance</b>	(3,232)	11,903	5,339	540	120,987	1,278	66	9,918	7,056	1,181	660
<b>Receipts:</b>											
Taxes	0	0	0	0	1,806,300	37,300	0	0	0	0	0
Miscellaneous Receipts	55,809	44,392	7,500	80	26,431	12,100	0	1,719	162	200	50
Federal Grants	0	0	0	0	0	0	0	0	0	0	0
<b>Total Receipts</b>	55,809	44,392	7,500	80	1,832,731	49,400	0	1,719	162	200	50
<b>Disbursements:</b>											
Grants to Local Governments	0	196	0	0	1,876,436	0	0	0	0	0	98
State Operations	30,203	31,185	8,019	60	4,499	35,845	0	950	89	186	0
General State Charges	4,486	9,250	98	0	1,755	13,246	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
<b>Total Disbursements</b>	34,689	40,631	8,117	60	1,882,690	49,091	0	950	89	186	98
<b>Other Financing Sources (Uses):</b>											
Transfers from Other Funds	20,306	0	0	0	50,004	0	0	0	0	0	0
Transfers to Other Funds	(36,958)	(9,000)	0	0	(16,721)	(70)	0	0	(3,600)	0	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	(16,652)	(9,000)	0	0	33,283	(70)	0	0	(3,600)	0	0
<b>Change in Fund Balance</b>	4,468	(5,239)	(617)	20	(16,676)	239	0	769	(3,527)	14	(48)
<b>Closing Fund Balance</b>	1,236	6,664	4,722	560	104,311	1,517	66	10,687	3,529	1,195	612

**CASH COMBINING STATEMENT  
SPECIAL REVENUE FUNDS  
2009-2010  
(thousands of dollars)**

	<u>339</u>	<u>340</u>	<u>341</u>	<u>345</u>	<u>346</u>	<u>349</u>	<u>354</u>	<u>355</u>	<u>359</u>	<u>360</u>	<u>362</u>
<b>Opening Fund Balance</b>	1,076,245	1,166	191	742,314	5,468	1,026	6,182	1,763	60	11,565	(575)
<b>Receipts:</b>											
Taxes	4,000	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	3,072,563	750	25	3,384,444	5,461	1,208	111,375	380	3,709	1,000	5,568
Federal Grants	0	0	0	0	0	0	0	0	0	0	0
<b>Total Receipts</b>	3,076,563	750	25	3,384,444	5,461	1,208	111,375	380	3,709	1,000	5,568
<b>Disbursements:</b>											
Grants to Local Governments	2,633,432	117,500	0	0	6,970	0	5,542	0	0	975	0
State Operations	4,869,809	1,761	10	3,628,809	481	810	109,024	136	0	1,370	3,211
General State Charges	1,352,230	465	5	279,831	0	217	44	42	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	1,000	0	0	0	0	0	0	0	0	0	0
<b>Total Disbursements</b>	8,856,471	119,726	15	3,908,640	7,451	1,027	114,610	178	0	2,345	3,211
<b>Other Financing Sources (Uses):</b>											
Transfers from Other Funds	9,395,234	120,000	0	575,092	0	0	0	0	0	0	0
Transfers to Other Funds	(3,595,106)	(1,680)	(244)	(117,266)	0	0	0	0	0	0	0
Bond & Note Proceeds	1,884	0	0	0	0	0	0	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	5,802,012	118,320	(244)	457,826	0	0	0	0	0	0	0
<b>Change in Fund Balance</b>	22,104	(656)	(234)	(66,370)	(1,990)	181	(3,235)	202	3,709	(1,345)	2,357
<b>Closing Fund Balance</b>	1,098,349	510	(43)	675,944	3,478	1,207	2,947	1,965	3,769	10,220	1,782

**CASH COMBINING STATEMENT  
SPECIAL REVENUE FUNDS  
2009-2010  
(thousands of dollars)**

	<u>365</u>	<u>366</u>	<u>368</u>	<u>369</u>	<u>377</u>	<u>385</u>	<u>390</u>	<u>480</u>	<u>482</u>
<b>Opening Fund Balance</b>	106	(867)	(14,233)	9,931	85,493	14	14,542	75,137	13,923
<b>Receipts:</b>									
Taxes	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	152	6,393	0	19,500	138,000	200	63,300	3,000	9,200
Federal Grants	0	0	0	0	0	0	0	414,579	0
<b>Total Receipts</b>	152	6,393	0	19,500	138,000	200	63,300	417,579	9,200
<b>Disbursements:</b>									
Grants to Local Governments	49	0	0	0	0	0	76,445	9,483	0
State Operations	90	6,008	25,299	17,848	130,400	186	25,000	324,677	1,976
General State Charges	0	1,910	5,000	3,800	7,600	0	0	83,419	802
Debt Service	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0
<b>Total Disbursements</b>	139	7,918	30,299	21,648	138,000	186	101,445	417,579	2,778
<b>Other Financing Sources (Uses):</b>									
Transfers from Other Funds	0	0	29,335	405	0	0	40,000	0	0
Transfers to Other Funds	0	0	0	0	0	0	(7,200)	0	(5,000)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	0	0	29,335	405	0	0	32,800	0	(5,000)
<b>Change in Fund Balance</b>	13	(1,525)	(964)	(1,743)	0	14	(5,345)	0	1,422
<b>Closing Fund Balance</b>	119	(2,392)	(15,197)	8,188	85,493	28	9,197	75,137	15,345



**CASH COMBINING STATEMENT  
SPECIAL REVENUE FUNDS  
2009-2010  
(thousands of dollars)**

	<u>484</u>	<u>486</u>	<u>SRO</u>	<u>Sub Total</u>	<u>Eliminations</u>	<u>Financial Plan</u>
<b>Opening Fund Balance</b>	177	(77)	0	2,846,217	0	2,846,217
<b>Receipts:</b>						
Taxes	0	0	0	7,075,741	0	7,075,741
Miscellaneous Receipts	0	0	0	14,234,183	0	14,234,183
Federal Grants	54,284	367,762	0	44,778,332	0	44,778,332
<b>Total Receipts</b>	54,284	367,762	0	66,088,256	0	66,088,256
<b>Disbursements:</b>						
Grants to Local Governments	0	318,054	0	55,245,201	0	55,245,201
State Operations	54,284	42,040	0	11,176,258	0	11,176,258
General State Charges	0	7,668	0	2,011,277	0	2,011,277
Debt Service	0	0	0	0	0	0
Capital Projects	0	0	0	3,000	0	3,000
<b>Total Disbursements</b>	54,284	367,762	0	68,435,736	0	68,435,736
<b>Other Financing Sources (Uses):</b>						
Transfers from Other Funds	0	0	0	10,466,055	(3,627,408)	6,838,647
Transfers to Other Funds	0	0	(100,045)	(8,472,558)	3,627,408	(4,845,150)
Bond & Note Proceeds	0	0	0	1,884	0	1,884
<b>Net Other Financing Sources (Uses)</b>	0	0	(100,045)	1,995,381	0	1,995,381
<b>Change in Fund Balance</b>	0	0	(100,045)	(352,099)	0	(352,099)
<b>Closing Fund Balance</b>	177	(77)	(100,045)	2,494,118	0	2,494,118

CASH COMBINING STATEMENT BY ACCOUNT  
SPECIAL REVENUE OTHER FUNDS (EXCLUDING FUND 339)  
2009-2010  
(Thousands of Dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
019.00-Ment Hyg Glts	2,300	0	140	0	0	0	140	0	0	140	0	0	0	0	0	0	140	2,300
020.00-Combined Exp Tr	(35)	0	0	0	0	30,000	30,000	0	0	30,000	0	0	0	0	0	0	30,000	(35)
020.01-Planting Fields	1,169	0	350	0	0	0	350	0	206	76	7	0	83	0	0	0	382	1,137
020.03-Chambers Restor	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
020.06-Animal Disease	51	0	51	0	0	0	51	0	0	46	0	0	0	0	0	0	46	56
020.20-DOCS Gift & Don	75	0	4	0	0	4	3	0	0	3	0	0	0	0	0	0	3	68
020.22-Helen Hayes Hsp	68	0	3	0	0	0	3	0	0	26	0	0	0	0	0	0	25	74
020.23-Oxford Donation	77	0	22	0	0	0	22	0	(1)	2	0	0	0	0	0	0	2	5
020.25-Donat-SI-Albans	5	0	2	0	0	0	2	0	0	0	0	0	0	0	0	0	8	39
020.28-CVB Gifts & Beq	42	0	5	0	0	0	5	0	0	25	0	0	0	0	0	0	25	1
020.29-DC/IS - MUNY Pol	1	0	25	0	0	0	25	0	0	9	0	0	0	0	0	0	9	35
020.30-Donations-Batav	34	0	10	0	0	0	10	0	0	105	0	0	0	0	0	0	105	188
020.33-Montrose Donati	62	0	0	0	0	0	0	0	0	33	0	0	0	0	0	0	33	8
020.36-IBR Genetic Cou	188	0	105	0	0	20	1246	0	88	930	32	0	49	0	0	0	1,099	315
020.49-Spec Events	168	0	1,246	0	0	0	3	0	0	2	0	0	0	0	0	0	2	53
020.62-L.M. Josephthal	52	0	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	26
020.63-RPMI Grnt & Beq	1,110	0	18,322	0	0	0	18,322	0	3,669	11,639	138	0	2,464	0	0	0	17,910	1,522
020.64-S.U Restrict Cur	1,200	0	1,109	0	0	0	1,109	0	47	879	0	0	213	0	0	0	1,139	1,170
020.69-CBVH Vend Stand	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
020.76-RPMI Schoellpr	12	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	12
020.77-DMNA Military	4,726	0	110	0	0	1,382	1,492	1,500	0	0	0	0	0	0	0	0	1,500	4,718
020.78-WB Hoyt Memoria	91	0	5	0	0	0	5	0	0	0	0	0	0	0	0	0	0	96
020.79-CBVH Gift & Beq	12,896	0	8,000	0	0	0	8,000	0	0	930	0	0	0	0	0	0	930	19,966
020.82-St Transm Money	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
020.83-Human Rights Dis	613	0	50	0	0	0	50	0	53	251	2	0	25	0	0	0	331	332
020.A7-Glts, Grants &	921	0	0	0	0	250	250	0	0	400	0	0	0	0	0	0	400	771
020.AA-Alzheimers Dis	142	0	12	0	0	0	12	0	0	8	0	0	0	0	0	0	8	146
020.AB-Local Gov Comm	256	0	0	0	0	0	0	0	0	7	0	0	0	0	0	0	7	249
020.AH-Prostate/ Testic	36	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	36
020.AR-Autism Aware &	2,164	0	2,688	0	0	1,500	4,188	3,998	125	4	5	0	55	0	0	0	4,187	2,165
020.AU-Emergency Serv	363	0	0	0	0	0	0	0	0	23	0	0	0	0	0	0	23	360
020.B1-Batavia-Charlot	1	0	20	0	0	0	20	0	0	19	0	0	0	0	0	0	19	2
020.B3-Rome-Glts And	21	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	21
020.B4-DFY Rec & Wellr	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
020.B8-AAA Grnts And	6,040	0	0	0	0	650	650	0	(13)	552	0	0	0	0	0	0	539	6,151
020.BD-Br Can Res & Ed	1	0	100	0	0	0	100	0	0	0	0	0	0	0	0	0	0	101
020.CE-Community Relat	140	0	155	0	0	0	155	0	55	72	2	0	25	0	0	0	154	141
020.D1-Disab Tech Asst	685	0	277	0	0	0	277	0	227	246	0	0	9	0	0	0	482	480
020.E1-Missing Children	16	0	350	0	0	0	350	0	0	279	0	0	0	0	0	0	279	87
020.E5-DMNA Youth Prog	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
020.EC-Erie Canal Muse	150	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	150
020.F1-Women Vet Monum	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
020.FE-Ford Foundation	20	0	10	0	0	0	10	0	0	9	0	0	0	0	0	0	9	21
020.G5-Grants and Bequ	94	0	87	0	0	0	87	0	23	37	1	0	8	0	0	0	69	112
020.GW-CCF Grts & Beqs	478	0	20	0	0	0	20	0	0	20	0	0	0	0	0	0	20	478
020.HH-OMH Grant & Beq	19	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	19
020.LP-Life Pass It on	21,034	0	2,000	0	0	0	2,000	0	0	0	0	0	0	2,000	0	0	2,000	21,034
020.MG-Misc. Glts Acc	14	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	14
020.MS-Multiple Sclero	43	0	0	0	0	150	150	0	0	0	0	0	0	0	0	0	0	43
020.PM-Parole Ofc Mem	1,419	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,419
020.PR-Prostate Cancer	39	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	39
020.PT-Percy T Phillip	(1)	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	(1)
020.RP-Aging Grants An	(5)	0	50	0	0	0	50	0	0	0	0	0	0	0	0	0	0	(5)
020.RW-RW Johnson Foun	2,028	0	300	0	0	0	300	1,960	71	270	0	0	2	0	0	0	2,303	(225)
020.XK-Grants Account	125	0	0	0	0	0	0	0	(30)	275	0	0	0	0	0	0	245	180
020.ZS-Grants	130	0	10	0	0	0	10	10	0	0	0	0	0	0	0	0	10	0
020.ZV-Misc. Glts Acc	34,896	0	30,000	0	0	0	30,000	35,000	769	854	50	0	357	0	0	0	37,050	27,846
023.00-N.Y Int Lawyers	129	0	212	0	0	300	512	0	255	64	9	0	133	0	0	49	510	131
024.00-NYS Archvs Pine	54	0	230	0	0	0	230	0	108	46	4	0	48	0	0	0	206	78
025.CF-Child Performer	1,706	0	1,030	0	0	0	1,030	207	0	0	0	0	0	0	0	0	0	2,529
050.01-Tuition Reimb																		





CASH COMBINING STATEMENT BY ACCOUNT  
SPECIAL REVENUE OTHER FUNDS (EXCLUDING FUND 339)  
2009-2010  
(Thousands of Dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers		Total Disb.	Closing Balance
																To	From		
369.01-Jud Data Proc.O	9,931	0	19,500	0	0	405	19,905	0	17,848	0	0	0	3,800	0	0	0	0	21,648	8,188
377.A1-CUNY Stabilizn	1,930	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,930
377.ZX-CUNY TuIn Reim	29,118	0	43,000	0	0	0	43,000	0	43,000	0	0	0	0	0	0	0	0	43,000	29,118
377.ZY-CUNY Inc Reimb	54,446	0	95,000	0	0	0	95,000	0	52,440	34,960	0	0	7,600	0	0	0	0	95,000	54,446
385.01-Lk Placid Train	13	0	200	0	0	0	200	0	0	186	0	0	0	0	0	0	0	186	27
390.01-Indigent Legal	14,542	0	63,300	0	0	40,000	103,300	76,445	0	25,000	0	0	0	0	0	0	7,200	108,645	9,197
482.01-UI Sp Int & Pen	13,923	0	9,200	0	0	0	9,200	0	1,817	86	73	0	802	0	0	0	5,000	7,778	15,345









CASH COMBINING STATEMENT BY ACCOUNT  
MISCELLANEOUS SPECIAL REVENUE FUND (339)

2009-2010  
(Thousands of Dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local	PS	NFS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
339.H3-Pilot Health In	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.H5-Triple Prescr F	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)	0	0	0
339.H6-OMH-Research OH	67	0	2,848	0	0	0	2,848	0	102	2,746	0	0	0	0	0	0	2,848	67
339.H7-DMV-Compulsory	6,088	3,000	27,000	0	0	0	30,088	0	9,089	3,760	329	0	4,104	0	0	12,300	29,562	6,516
339.H8-Prof Medic Cord	2,751	0	25,058	0	0	0	25,058	10,074	9,970	9,970	0	6,300	0	0	0	0	26,344	1,465
339.HC-Hway Const & Ma	550	0	200	0	0	0	200	0	0	194	0	0	0	0	0	0	194	556
339.HI-Housing Indirec	111	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	111
339.HQ-Adm Hme Qty E	513	0	350	0	0	0	350	(12)	0	0	0	0	0	0	0	0	(12)	875
339.HR-Homeless Hsg	196	0	850	0	0	0	850	0	650	0	25	0	311	0	0	0	986	60
339.IA-COCOT	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.IC-Accid Prevent C	(70)	0	900	0	0	0	900	0	163	899	6	0	72	0	0	0	1,140	(310)
339.IG-IG Szd Assels	144	0	85	0	0	0	85	0	0	87	0	0	0	0	0	0	87	142
339.IM-Leg Svcs Assait	5,384	0	12,000	0	0	0	12,000	15,500	0	0	0	0	0	0	0	0	15,500	1,884
339.J1-Loc Pub Hlth	2,868	0	950	0	0	0	950	113	113	22	12	65	0	0	0	0	3,606	212
339.J2-Local Dist Trai	529	0	800	0	0	0	800	0	0	744	0	0	0	0	0	0	744	585
339.J4-Voting Mach Exa	504	0	1,000	0	0	0	1,000	0	0	1,000	0	0	0	0	0	0	1,000	504
339.J5-DHCR HCA Applic	2,363	0	2,724	0	0	0	2,724	0	885	474	27	302	0	0	0	0	1,788	3,299
339.J6-EPIC Premium Ac	76,624	0	180,100	0	0	0	180,100	203,900	1,195	12,773	626	679	0	0	0	0	219,113	37,611
339.J7-Drug Enforce Ta	78	0	0	0	0	0	0	0	0	74	0	0	0	0	0	0	74	4
339.JA-Vital Rec Mgmt	1,543	0	4,273	0	0	0	4,273	0	848	260	160	530	0	0	0	2,200	3,998	1,818
339.JB-CHCDP Transfer	27,115	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	27,115
339.JD-Prblm Solv Cou	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.JE-Tobacco Enforce	15	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	15
339.K1-Hwy Rev/Soc Sec	1,201	0	406	0	0	0	406	0	0	448	0	0	0	0	0	0	448	1,159
339.K2-Equip Repair	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.K3-Catstrophic HI	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.KA-Primary Hlth Cr	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.KB-Conference & Sp	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.L2-Ass Living Res	151	0	2,000	0	0	0	2,000	0	310	82	0	283	0	0	0	0	675	1,476
339.L4-OCFS Program	3,988	0	100	0	0	0	100	0	95	2,080	0	48	0	0	0	0	2,223	1,875
339.L5-Adult Cystst Fibr	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.L7-Fedl Admin Reim	44,430	0	0	0	0	78,000	78,000	0	33,000	45,000	0	0	0	0	0	10,000	88,000	34,430
339.LB-DOS Licensing	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.LB-Health Occup De	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.LC-Matern Child Hiv	2	0	0	0	0	0	0	0	0	(272)	0	0	0	0	0	0	(272)	274
339.LF-Disabil Determs	(448)	0	2,400	0	0	0	2,400	0	880	1,088	32	390	0	0	0	0	2,390	(438)
339.LG-OMRDD-Jr Clinic	42	0	112	0	0	0	112	112	0	0	0	0	0	0	0	0	112	42
339.LH-Special Medical	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.LI-Litigation Sett	27,339	0	30,000	0	0	0	30,000	0	16,257	28,685	538	6,855	0	0	0	0	52,335	5,004
339.LJ-Animal Populati	483	0	620	0	0	0	620	0	0	1,007	0	0	0	0	0	30	1,037	66
339.LL-Love Your Libra	21	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	21
339.LW-Local Wireless	6,540	0	0	0	0	0	0	9,800	0	0	0	0	0	0	0	0	9,800	6,740
339.LZ-Pub Safe Commun	83,153	0	124,203	0	0	10,000	124,203	0	4,160	12,649	198	2,031	0	0	0	150,069	169,107	38,249
339.MC-Cuba Lake Mgmt	184	0	200	0	0	0	200	0	0	186	0	0	0	0	0	0	186	198
339.MH-Special MH Cour	52	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	52
339.MR-Medication Reim	4	0	1,500	0	0	0	1,500	1,500	0	0	0	0	0	0	0	0	1,500	4
339.NG-Low Inc Housing	1,556	0	2,571	0	0	0	2,571	0	912	0	41	454	0	0	0	0	1,407	2,720
339.NH-Provider 900	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.NY-New York Alert	3,857	0	100	0	0	4,600	4,700	0	19	3,534	1	10	0	0	0	0	3,564	4,993
339.P4-Procure Op News	888	0	832	0	0	0	832	0	(15)	763	0	0	0	0	0	0	748	972
339.P5-CVB Restitutio	832	0	414	0	0	0	414	0	322	172	14	126	0	0	0	0	634	612
339.P6-EFC Corp Admin	(930)	0	1,587	0	0	0	1,587	0	1,341	185	0	480	0	0	0	0	2,006	(1,349)
339.PC-Food Prod Ctr	378	0	786	0	0	0	786	0	0	786	0	0	0	0	0	0	786	378
339.PD-Per Dealer	130	0	40	0	0	0	40	0	50	3	2	22	0	0	0	0	77	93
339.PO-Auth Bdgt Office	793	0	0	0	0	1,326	1,326	0	643	325	23	284	0	0	0	0	1,275	844
339.Q2-Helen Hayes Hos	5,191	0	115	0	0	58,105	58,220	0	25,195	22,902	0	3,276	0	0	0	0	51,373	12,038
339.Q3-NYC Veterans	7,087	0	350	0	0	22,300	22,650	0	11,459	5,672	0	538	0	0	0	0	12,068	12,068
339.Q4-NYS Home-Vetera	7,035	0	120	0	0	14,795	14,915	0	12,221	4,575	0	1,144	0	0	0	0	17,940	4,010
339.Q5-WNY Vets Home	2,403	0	55	0	0	8,120	8,175	0	6,492	3,050	39	(56)	0	0	0	0	9,525	1,053
339.O6-Montrrose S V H	1,085	0	30	0	0	8,417	8,447	0	13,890	7,232	0	(55)	0	0	0	0	21,067	(11,535)
339.Q9-DOH Hospital Ho	3,045	0	0	0	0	81,000	81,000	0	0	0	0	0	0	0	0	91,437	91,437	(7,392)
339.QA-Spec Energy Adm	3,225	0	50	0	0	2,400	2,450	0	1,486	923	15	155	0	0	0	0	2,579	3,096

**CASH COMBINING STATEMENT BY ACCOUNT**  
**MISCELLANEOUS SPECIAL REVENUE FUND (339)**  
 2009-2010  
 (Thousands of Dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
339-OC-Quality of Care	1,565	0	1,000	0	0	0	1,000	0	0	(20)	0	0	0	0	0	0	(20)	2,585
339-R4-Motor Fuel Qual	1,667	0	2,918	0	0	0	2,918	0	1,463	1,466	51	0	642	0	0	0	3,622	963
339-R5-Weights Measure	285	0	400	0	0	0	400	0	218	44	7	0	107	0	0	50	426	269
339-R7-Defer Comp Adm	(133)	0	780	0	0	0	780	0	378	176	14	0	174	0	0	0	742	(95)
339-R9-Hazard Abatement	6	0	150	0	0	0	150	150	0	0	0	0	0	0	0	0	150	6
339-RD-Education Stats	11	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	11
339-RF-Real Estate Fin	1,725	0	1,300	0	0	0	1,300	0	457	0	16	0	193	0	0	0	666	2,359
339-RR-NYC Rent Rev	3,900	0	41,052	0	0	0	41,052	0	24,233	2,791	1,337	0	12,691	0	0	0	41,052	3,900
339-SI-Medicaid Income	(1,801)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1,801)
339-S8-Rent Revenue	4	0	650	0	0	0	650	0	493	0	30	0	306	0	0	0	829	(175)
339-SA-CSFP Salvage Ac	11	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	11
339-SR-ES Stem Cell Tr	7,258	0	0	0	0	38,721	38,721	0	0	46,321	0	0	0	0	0	0	46,321	(342)
339-SS-DOT Sign Shop	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339-ST-Systems & Tech	2,766	0	7,800	0	0	0	7,800	0	2,595	2,545	134	0	1,640	0	0	0	6,914	3,652
339-T2-OPRP Patron Serv	3,198	0	56,750	0	0	0	56,750	0	23,914	27,508	0	0	2,726	0	0	0	54,168	5,800
339-T5-Trans Altain	2,079	0	3,040	0	0	0	3,040	0	110	3,336	4	0	55	0	0	0	3,505	1,614
339-TM-Teacher Ed Acrr	52	0	84	0	0	0	84	0	3	50	0	0	1	0	0	0	54	82
339-TN-Training Academ	54	0	300	0	0	0	300	0	0	200	0	0	0	0	0	0	200	154
339-TR-Tax Rev Arrear	(712)	0	2,700	0	0	0	2,700	0	0	1,795	0	0	0	0	0	0	1,795	193
339-TS-TSCR Account	36,486	0	205,141	0	0	0	205,141	51,625	0	0	0	0	0	0	0	107,643	159,268	82,359
339-TW-Statewide Gamin	282	0	0	0	0	2,087	2,177	0	0	0	0	0	0	0	0	0	0	282
339-UG-Recruitment Inc	1,812	0	90	0	0	0	90	0	0	1,941	0	0	0	0	0	0	1,941	2,048
339-US-Undrgrnd Sfty T	194	0	110	0	0	0	110	0	0	0	0	0	0	0	0	300	300	4
339-VH-HAVA Match	349	0	4,000	0	0	0	4,000	0	0	4,000	0	0	0	0	0	0	4,000	349
339-VR-VRSS	440	0	0	0	0	0	0	0	0	0	0	0	0	0	0	463	463	(23)
339-W4-Occ Hlth Clinic	4,611	0	9,000	0	0	0	9,000	0	511	8,195	50	0	100	0	0	0	8,856	4,755
339-W6-Crim Back Check	516	0	0	0	0	0	0	0	0	(188)	0	0	0	0	0	0	(188)	684
339-WE-Medicaid Train	0	0	(1,000)	0	0	0	(1,000)	0	(600)	(400)	0	0	0	0	0	0	(1,000)	0
339-WK-SR-Connections	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339-WR-NYS Water Rescu	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339-WW-OWIG Adm Reimb	3,218	0	24	0	0	1,500	1,524	0	395	436	33	0	418	0	0	0	1,282	3,460
339-WZ-Durable Medical	0	0	545	0	0	0	545	0	169	0	0	0	0	0	0	0	169	376
339-XE-Wine Industry	304	0	0	0	0	39	39	0	0	94	0	0	0	0	0	0	133	171
339-XX-A&M-Aggregated	3,013	0	14,971	0	0	365	15,336	0	1,661	14,983	70	0	742	0	0	0	17,456	893
339-XZ-Early Intervent	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
339-Y7-Assembly Recyc	589	0	40	0	0	0	40	0	0	0	0	0	0	0	0	0	0	629
339-YF-Yth Fac PerDiem	1,186	0	202,457	0	0	0	202,457	0	0	0	0	0	0	0	0	202,457	202,457	1,186
339-YH-Auto Speed Enfr	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
339-YL-OGS Bldg Admin	1,955	0	16,001	0	0	0	16,001	0	2,810	2,436	96	0	1,234	0	0	10,000	16,576	1,360
339-YN-OGS Sid & Purch	6,218	0	4,591	0	0	0	4,591	0	865	1,069	22	0	480	0	0	3,000	5,436	5,373
339-YV-Provider Assess	1	0	686,700	0	0	0	686,700	686,700	0	0	0	0	0	0	0	0	686,700	1
339-Z2-NYS Ed Loan	0	0	3,000	0	0	0	3,000	0	0	3,000	0	0	0	0	0	0	3,000	0
339-Z3-MHP/IA OMR NPS	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339-Z4-Abandon Prop Au	0	0	5,000	0	0	0	5,000	0	0	5,000	0	0	0	0	0	0	5,000	0
339-Z5-Patient Safety	0	0	500	0	0	0	500	0	0	449	0	0	0	0	0	0	449	51
339-ZA-Fire Sale Cigar	0	0	500	0	0	0	500	0	0	449	0	0	0	0	0	0	449	51
339-ZM-License Plate	0	0	40	0	0	0	40	0	0	0	0	0	0	0	0	0	40	0
339-ZR-Milk Producers	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339-ZT-FHPEP	(19)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
339-ZV-S T A Research	(19)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(19)
339-ZW-DOCS Asset Forf	125	0	50	0	0	0	50	0	0	14	0	0	0	0	0	0	14	161

**CASH COMBINING STATEMENT  
CAPITAL PROJECTS FUNDS  
2009-2010  
(thousands of dollars)**

	<u>002</u>	<u>072</u>	<u>074</u>	<u>075</u>	<u>076</u>	<u>077</u>	<u>078</u>	<u>079</u>	<u>080</u>	<u>101</u>	<u>109</u>	<u>115</u>
<b>Opening Fund Balance</b>	(13)	(34,721)	75,411	1,465	(7,254)	14	12,299	(1,049)	88	163	3,392	2,061
<b>Receipts:</b>												
Taxes	0	1,888,757	0	0	0	0	199,300	0	0	0	0	0
Miscellaneous Receipts	1,958,847	697,851	0	1,804	93,006	0	105,800	0	0	0	0	0
Federal Grants	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total Receipts</b>	1,958,847	2,586,608	0	1,804	93,006	0	305,100	0	0	0	0	0
<b>Disbursements:</b>												
Grants to Local Governments	79,888	0	0	0	0	0	0	0	0	0	0	0
State Operations	0	0	0	0	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	2,704,005	2,207,580	31,000	1,804	96,500	0	180,000	343	0	0	0	0
<b>Total Disbursements</b>	2,783,893	2,207,580	31,000	1,804	96,500	0	180,000	343	0	0	0	0
<b>Other Financing Sources (Uses):</b>												
Transfers from Other Funds	880,570	711,522	30,000	0	5,000	0	0	343	0	0	0	0
Transfers to Other Funds	(55,524)	(1,051,714)	0	0	(1,506)	0	(95,000)	0	0	(25)	(300)	(1,500)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	25	300	1,500
<b>Net Other Financing Sources (Uses)</b>	825,046	(340,192)	30,000	0	3,494	0	(95,000)	343	0	0	0	0
<b>Change in Fund Balance</b>	0	38,836	(1,000)	0	0	0	30,100	0	0	0	0	0
<b>Closing Fund Balance</b>	(13)	4,115	74,411	1,465	(7,254)	14	42,399	(1,049)	88	163	3,392	2,061

**CASH COMBINING STATEMENT  
CAPITAL PROJECTS FUNDS  
2009-2010  
(thousands of dollars)**

	<u>121</u>	<u>123</u>	<u>124</u>	<u>126</u>	<u>127</u>	<u>291</u>	<u>310</u>	<u>312</u>
<b>Opening Fund Balance</b>	191,017	5,406	15,959	4,308	25,120	(296,765)	889	(29,325)
<b>Receipts:</b>								
Taxes	0	0	0	0	0	0	0	0
Miscellaneous Receipts	0	0	0	0	0	0	10	133,000
Federal Grants	0	0	0	0	0	2,939,161	0	0
<b>Total Receipts</b>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>2,939,161</u>	<u>10</u>	<u>133,000</u>
<b>Disbursements:</b>								
Grants to Local Governments	0	0	0	0	0	528,524	0	0
State Operations	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	2,109,042	10	136,100
<b>Total Disbursements</b>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>2,637,566</u>	<u>10</u>	<u>136,100</u>
<b>Other Financing Sources (Uses):</b>								
Transfers from Other Funds	0	0	0	0	0	0	0	18,700
Transfers to Other Funds	(469,477)	(4,000)	(4,000)	(2,000)	(50,343)	(293,094)	0	(26,700)
Bond & Note Proceeds	469,477	4,000	4,000	2,000	50,343	0	0	0
<b>Net Other Financing Sources (Uses)</b>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>(293,094)</u>	<u>0</u>	<u>(8,000)</u>
<b>Change in Fund Balance</b>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>8,501</u>	<u>0</u>	<u>(11,100)</u>
<b>Closing Fund Balance</b>	<u>191,017</u>	<u>5,406</u>	<u>15,959</u>	<u>4,308</u>	<u>25,120</u>	<u>(288,264)</u>	<u>889</u>	<u>(40,425)</u>

**CASH COMBINING STATEMENT  
CAPITAL PROJECTS FUNDS  
2009-2010  
(thousands of dollars)**

	<u>322</u>	<u>327</u>	<u>357</u>	<u>358</u>	<u>374</u>	<u>376</u>	<u>378</u>	<u>380</u>	<u>384</u>
<b>Opening Fund Balance</b>	0	501	(1,506)	0	(12,585)	(121,260)	20,068	(13,795)	96,217
<b>Receipts:</b>									
Taxes	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	0	0	25,000	0	22,000	123,928	1,000	0	46,000
Federal Grants	0	0	0	0	0	0	0	0	0
<b>Total Receipts</b>	<b>0</b>	<b>0</b>	<b>25,000</b>	<b>0</b>	<b>22,000</b>	<b>123,928</b>	<b>1,000</b>	<b>0</b>	<b>46,000</b>
<b>Disbursements:</b>									
Grants to Local Governments	0	0	0	0	22,000	94,113	0	0	0
State Operations	0	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	25,000	0	0	30,390	1,000	4,517	46,000
<b>Total Disbursements</b>	<b>0</b>	<b>0</b>	<b>25,000</b>	<b>0</b>	<b>22,000</b>	<b>124,503</b>	<b>1,000</b>	<b>4,517</b>	<b>46,000</b>
<b>Other Financing Sources (Uses):</b>									
Transfers from Other Funds	0	0	0	0	0	575	0	4,517	0
Transfers to Other Funds	0	0	0	0	0	0	0	0	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>575</b>	<b>0</b>	<b>4,517</b>	<b>0</b>
<b>Change in Fund Balance</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Closing Fund Balance</b>	<b>0</b>	<b>501</b>	<b>(1,506)</b>	<b>0</b>	<b>(12,585)</b>	<b>(121,260)</b>	<b>20,068</b>	<b>(13,795)</b>	<b>96,217</b>

**CASH COMBINING STATEMENT  
CAPITAL PROJECTS FUNDS  
2009-2010  
(thousands of dollars)**

	<u>387</u>	<u>388</u>	<u>389</u>	<u>399</u>	<u>CPO</u>	<u>Sub Total</u>	<u>Eliminations</u>	<u>Financial Plan</u>
<b>Opening Fund Balance</b>	25,075	(22)	(424,230)	(44,156)	0	(507,228)	(60,051)	(507,228)
<b>Receipts:</b>								
Taxes	0	0	0	0	0	2,088,057	0	2,088,057
Miscellaneous Receipts	255,250	0	212,223	314,500	(250,000)	3,740,219	0	3,740,219
Federal Grants	0	0	0	0	0	2,939,161	0	2,939,161
<b>Total Receipts</b>	<u>255,250</u>	<u>0</u>	<u>212,223</u>	<u>314,500</u>	<u>(250,000)</u>	<u>8,767,437</u>	<u>0</u>	<u>8,767,437</u>
<b>Disbursements:</b>								
Grants to Local Governments	0	0	135,235	0	(1)	859,759	0	859,759
State Operations	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0
Capital Projects	255,050	0	78,738	314,500	(249,999)	7,971,580	0	7,971,580
<b>Total Disbursements</b>	<u>255,050</u>	<u>0</u>	<u>213,973</u>	<u>314,500</u>	<u>(250,000)</u>	<u>8,831,339</u>	<u>0</u>	<u>8,831,339</u>
<b>Other Financing Sources (Uses):</b>								
Transfers from Other Funds	0	0	1,750	0	0	1,652,977	(867,863)	785,114
Transfers to Other Funds	0	0	0	0	0	(2,055,183)	867,863	(1,187,320)
Bond & Note Proceeds	0	0	0	0	0	531,645	0	531,645
<b>Net Other Financing Sources (Uses)</b>	<u>0</u>	<u>0</u>	<u>1,750</u>	<u>0</u>	<u>0</u>	<u>129,439</u>	<u>0</u>	<u>129,439</u>
<b>Change in Fund Balance</b>	<u>200</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>65,537</u>	<u>0</u>	<u>65,537</u>
<b>Closing Fund Balance</b>	<u>25,275</u>	<u>(22)</u>	<u>(424,230)</u>	<u>(44,156)</u>	<u>0</u>	<u>(441,691)</u>	<u>(60,051)</u>	<u>(441,691)</u>

**CASH COMBINING STATEMENT  
DEBT SERVICE  
2009-2010  
(thousands of dollars)**

	064	304	311	316	319	350	361	364	Sub Total	Eliminations	Financial Plan
<b>Opening Fund Balance</b>	0	28,778	0	0	29,068	240,251	0	0	298,097	0	298,097
<b>Receipts:</b>											
Taxes	0	0	9,372,125	0	0	0	175,700	2,553,220	12,101,045	0	12,101,045
Miscellaneous Receipts	0	375,515	0	18,099	97,830	338,000	0	500	829,944	0	829,944
Federal Grants	0	0	0	0	0	0	0	0	0	0	0
<b>Total Receipts</b>	0	375,515	9,372,125	18,099	97,830	338,000	175,700	2,553,720	12,930,989	0	12,930,989
<b>Disbursements:</b>											
Grants to Local Governments	0	0	0	0	0	0	0	0	0	0	0
State Operations	0	7,971	43,922	0	2,506	8,055	0	12,287	74,741	0	74,741
General State Charges	0	0	0	0	0	0	0	0	0	0	0
Debt Service	0	390,691	4,239,230	19,099	29,678	80,432	0	384,247	5,143,377	0	5,143,377
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
<b>Total Disbursements</b>	0	398,662	4,283,152	19,099	32,184	88,487	0	396,534	5,218,118	0	5,218,118
<b>Other Financing Sources (Uses):</b>											
Transfers from Other Funds	0	3,492,522	3,103,163	1,000	42,069	0	0	0	6,638,754	(118,570)	6,520,184
Transfers to Other Funds	0	(3,455,463)	(8,192,135)	0	(107,000)	(272,994)	(175,700)	(2,157,186)	(14,360,478)	118,570	(14,241,908)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	0	37,059	(5,088,972)	1,000	(64,931)	(272,994)	(175,700)	(2,157,186)	(7,721,724)	0	(7,721,724)
<b>Change in Fund Balance</b>	0	13,912	1	0	715	(23,481)	0	0	(8,853)	0	(8,853)
<b>Closing Fund Balance</b>	0	42,690	1	0	29,783	216,770	0	0	289,244	0	289,244

**GAAP FINANCIAL PLAN  
GENERAL FUND  
2009-2010  
(millions of dollars)**

	<u>Executive</u>	<u>Change</u>	<u>Enacted</u>
<b>Revenues:</b>			
Taxes:			
Personal income tax	23,070	2,331	25,401
User taxes and fees	10,022	(1,748)	8,274
Business taxes	6,084	(667)	5,417
Other taxes	1,081	(115)	966
Miscellaneous revenues	6,946	(520)	6,426
Federal grants	0	0	0
<b>Total revenues</b>	<u>47,203</u>	<u>(719)</u>	<u>46,484</u>
<b>Expenditures:</b>			
Grants to local governments	39,024	(530)	38,494
State operations	12,336	(135)	12,201
General State charges	3,808	124	3,932
Debt service	0	0	0
Capital projects	1	0	1
<b>Total expenditures</b>	<u>55,169</u>	<u>(541)</u>	<u>54,628</u>
<b>Other financing sources (uses):</b>			
Transfers from other funds	14,642	300	14,942
Transfers to other funds	(6,390)	(162)	(6,552)
Proceeds from financing arrangements/ advance refundings	0 315	0	0 315
<b>Net other financing sources (uses)</b>	<u>8,567</u>	<u>138</u>	<u>8,705</u>
<b>Excess (deficiency) of revenues and other financing sources over expenditures and other financing uses</b>	<u>601</u>	<u>(40)</u>	<u>561</u>
<b>Operating Surplus/(Deficit)</b>	<u>601</u>	<u>(40)</u>	<u>561</u>



**GAAP FINANCIAL PLAN  
GENERAL FUND  
2009-2010 THROUGH 2012-2013  
(millions of dollars)**

	<u>2009-2010 Enacted</u>	<u>2010-2011 Projected</u>	<u>2011-2012 Projected</u>	<u>2012-13 Projected</u>
<b>Revenues:</b>				
Taxes:				
Personal income tax	25,401	26,037	26,850	26,356
User taxes and fees	8,274	8,705	9,089	9,365
Business taxes	5,417	5,755	5,843	6,327
Other taxes	966	998	1,058	1,126
Miscellaneous revenues	6,426	5,654	5,758	5,834
Federal grants	0	0	0	0
<b>Total revenues</b>	<u>46,484</u>	<u>47,149</u>	<u>48,598</u>	<u>49,008</u>
<b>Expenditures:</b>				
Grants to local governments	38,494	41,780	48,721	52,573
State operations	12,201	12,401	14,313	15,114
General State charges	3,932	4,245	3,138	3,716
Debt service	0	0	0	0
Capital projects	1	0	0	0
<b>Total expenditures</b>	<u>54,628</u>	<u>58,426</u>	<u>66,172</u>	<u>71,403</u>
<b>Other financing sources (uses):</b>				
Transfers from other funds	14,942	15,055	15,471	20,298
Transfers to other funds	(6,552)	(6,958)	(7,423)	(11,844)
Proceeds from financing arrangements/ advance refundings	0 315	0 355	0 359	0 359
<b>Net other financing sources (uses)</b>	<u>8,705</u>	<u>8,452</u>	<u>8,407</u>	<u>8,813</u>
<b>Operating Surplus/(Deficit)</b>	<u>561</u>	<u>(2,825)</u>	<u>(9,167)</u>	<u>(13,582)</u>

**GAAP FINANCIAL PLAN  
ALL GOVERNMENTAL FUNDS  
2009-2010  
(millions of dollars)**

	<b>General Fund</b>	<b>Special Revenue Funds</b>	<b>Capital Projects Funds</b>	<b>Debt Service Funds</b>	<b>(MEMO) Total</b>
<b>Revenues:</b>					
Taxes	40,058	7,081	2,088	12,094	61,321
Public Health/Patient fees	0	3,881	0	473	4,354
Miscellaneous revenues	6,426	1,541	261	26	8,254
Federal grants	0	47,140	2,939	0	50,079
<b>Total revenues</b>	<b>46,484</b>	<b>59,643</b>	<b>5,288</b>	<b>12,593</b>	<b>124,008</b>
<b>Expenditures:</b>					
Grants to local governments	38,494	55,895	858	0	95,247
State operations	12,201	2,173	0	75	14,449
General State charges	3,932	363	0	0	4,295
Debt service	0	2	0	4,159	4,161
Capital projects	1	0	8,675	0	8,676
<b>Total expenditures</b>	<b>54,628</b>	<b>58,433</b>	<b>9,533</b>	<b>4,234</b>	<b>126,828</b>
<b>Other financing sources (uses):</b>					
Transfers from other funds	14,942	2,468	755	6,520	24,685
Transfers to other funds	(6,552)	(3,865)	(1,187)	(14,873)	(26,477)
Proceeds of general obligation bonds	0	0	532	0	532
Proceeds from financing arrangements/ advance refundings	315	0	4,031	0	4,346
<b>Net other financing sources (uses)</b>	<b>8,705</b>	<b>(1,397)</b>	<b>4,131</b>	<b>(8,353)</b>	<b>3,086</b>
<b>Operating Surplus/(Deficit)</b>	<b>561</b>	<b>(187)</b>	<b>(114)</b>	<b>6</b>	<b>266</b>

**GAAP FINANCIAL PLAN  
ALL GOVERNMENTAL FUNDS  
2009-2010  
(millions of dollars)**

	<b>Major Funds</b>			<b>Other Governmental Funds</b>	<b>Eliminations</b>	<b>Total</b>
	<b>General Fund</b>	<b>Federal Special Revenue</b>	<b>General Obligation Debt Service</b>			
<b>Revenues:</b>						
Taxes:						
Personal income tax	25,401	0	9,371	3,524	0	38,296
User taxes and fees	8,274	0	0	5,808	0	14,082
Business taxes	5,417	0	0	2,183	0	7,600
Other taxes	966	0	0	377	0	1,343
Public Health/Patient fees	0	0	0	4,354	0	4,354
Miscellaneous receipts	6,426	150	0	1,678	0	8,254
Federal grants	0	47,907	0	2,172	0	50,079
<b>Total revenues</b>	<b>46,484</b>	<b>48,057</b>	<b>9,371</b>	<b>20,096</b>	<b>0</b>	<b>124,008</b>
<b>Expenditures:</b>						
Grants to local governments	38,494	41,796	0	14,957	0	95,247
State operations	12,201	1,607	43	598	0	14,449
General State charges	3,932	271	0	92	0	4,295
Debt service	0	0	3,335	826	0	4,161
Capital projects	1	0	0	8,675	0	8,676
<b>Total expenditures</b>	<b>54,628</b>	<b>43,674</b>	<b>3,378</b>	<b>25,148</b>	<b>0</b>	<b>126,828</b>
<b>Other financing sources (uses):</b>						
Transfers from other funds	14,942	0	3,103	6,640	(19,646)	5,039
Transfers to other funds	(6,552)	(4,383)	(9,096)	(6,446)	19,646	(6,831)
Proceeds of General obligation bonds	0	0	0	532	0	532
Proceeds from financing arrangements/ advance refundings	315	0	0	4,031	0	4,346
<b>Net other financing sources (uses)</b>	<b>8,705</b>	<b>(4,383)</b>	<b>(5,993)</b>	<b>4,757</b>	<b>0</b>	<b>3,086</b>
<b>Operating Surplus/(Deficit)</b>	<b>561</b>	<b>0</b>	<b>0</b>	<b>(295)</b>	<b>0</b>	<b>266</b>

**GAAP COMBINING STATEMENT  
GENERAL FUND  
2009-10**  
(millions of dollars)

	001	003	007	DRRF	013	323	325	326	331
<b>Receipts:</b>									
Personal income tax	0	25,401	0	0	0	0	0	0	0
User taxes and fees	0	8,274	0	0	0	0	0	0	0
Business taxes	0	5,417	0	0	0	0	0	0	0
Other taxes	0	966	0	0	0	0	0	0	0
Miscellaneous receipts	0	3,296	0	0	242	12	35	33	33
Federal grants	0	0	0	0	0	0	0	0	0
<b>Total receipts</b>	<u>0</u>	<u>43,354</u>	<u>0</u>	<u>0</u>	<u>242</u>	<u>12</u>	<u>35</u>	<u>33</u>	<u>33</u>
<b>Disbursements:</b>									
Grants to local governments	35,702	0	159	0	0	0	0	0	0
State operations	0	7,548	0	0	2	168	12	35	29
General State charges	0	2,523	0	0	0	18	1	0	1
Debt service	0	0	0	0	0	0	0	0	0
Capital projects	0	0	0	0	0	0	0	0	0
<b>Total disbursements</b>	<u>35,702</u>	<u>10,071</u>	<u>159</u>	<u>0</u>	<u>2</u>	<u>186</u>	<u>13</u>	<u>35</u>	<u>30</u>
<b>Other financing sources (uses):</b>									
Transfers from other funds	11	11,485	92	0	2	0	0	0	0
Transfers to other funds	(3,968)	(4,799)	0	0	0	(75)	0	0	0
Proceeds from financing arrangements/advance refundings	315	0	0	0	0	0	0	0	0
<b>Net other financing sources (uses)</b>	<u>(3,642)</u>	<u>6,686</u>	<u>92</u>	<u>0</u>	<u>2</u>	<u>(75)</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>Operating Surplus/(Deficit)</b>	<u>(39,344)</u>	<u>39,969</u>	<u>(67)</u>	<u>0</u>	<u>0</u>	<u>(19)</u>	<u>(1)</u>	<u>0</u>	<u>3</u>

**GAAP COMBINING STATEMENT  
GENERAL FUND  
2009-10  
(millions of dollars)**

	334	339	343	351	352	353	394	395	396	397	450	Eliminations	Total
<b>Receipts:</b>													
Personal income tax	0	0	0	0	0	0	0	0	0	0	0	0	25,401
User taxes and fees	0	0	0	0	0	0	0	0	0	0	0	0	8,274
Business taxes	0	0	0	0	0	0	0	0	0	0	0	0	5,417
Other taxes	0	0	0	0	0	0	0	0	0	0	0	0	966
Miscellaneous receipts	386	3,037	2	2	1	3	3	2	19	62	5	(714)	6,426
Federal grants	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total receipts</b>	<u>386</u>	<u>3,037</u>	<u>2</u>	<u>2</u>	<u>1</u>	<u>3</u>	<u>3</u>	<u>2</u>	<u>19</u>	<u>62</u>	<u>5</u>	<u>(714)</u>	<u>46,484</u>
<b>Disbursements:</b>													
Grants to local governments	0	2,633	0	0	0	0	0	0	0	0	0	0	38,494
State operations	424	4,601	2	2	1	2	1	1	15	68	4	(714)	12,201
General State charges	24	1,343	1	0	0	1	1	1	6	11	1	0	3,932
Debt service	0	0	0	0	0	0	0	0	0	0	0	0	0
Capital projects	0	1	0	0	0	0	0	0	0	0	0	0	0
<b>Total disbursements</b>	<u>448</u>	<u>8,578</u>	<u>3</u>	<u>2</u>	<u>1</u>	<u>3</u>	<u>2</u>	<u>2</u>	<u>21</u>	<u>79</u>	<u>5</u>	<u>(714)</u>	<u>54,628</u>
<b>Other financing sources (uses):</b>													
Transfers from other funds	66	6,638	0	0	0	0	0	0	8	14	0	(3,374)	14,942
Transfers to other funds	0	(1,084)	0	0	0	0	0	0	0	0	0	3,374	(6,562)
Proceeds from financing arrangements/advance refundings	0	0	0	0	0	0	0	0	0	0	0	0	315
<b>Net other financing sources (uses)</b>	<u>66</u>	<u>5,554</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>8</u>	<u>14</u>	<u>0</u>	<u>0</u>	<u>8,705</u>
<b>Operating Surplus/(Deficit)</b>	<u>4</u>	<u>13</u>	<u>(1)</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>1</u>	<u>0</u>	<u>6</u>	<u>(3)</u>	<u>0</u>	<u>0</u>	<u>561</u>

**CASH TO GAAP CONVERSION TABLE**  
**GENERAL FUND**  
**2009-2010**  
(millions of dollars)

	Cash Financial Plan	Perspective Difference		Entity Difference		Cash Basis Subtotal	Changes in Accruals	Elimin- ations	Intrafund Eliminations	Reclass- ification	GAAP Financial Plan
		Cash Revenue Funds	Special Revenue Funds	Other Funds	Other Funds						
<b>Receipts/Revenues:</b>											
Taxes:											
Personal income tax	24,592	0	0	0	24,592	809	0	0	0	0	25,401
User taxes and fees	8,393	0	0	0	8,393	(119)	0	0	0	0	8,274
Business taxes	5,415	0	0	0	5,415	2	0	0	0	0	5,417
Other taxes	982	4	0	0	986	(20)	0	0	0	0	966
Miscellaneous receipts	3,381	3,073	807	807	7,261	0	(106)	(714)	(15)	(15)	6,426
Federal Grants	0	0	0	0	0	0	0	0	0	0	0
<b>Total receipts/revenues</b>	<b>42,763</b>	<b>3,077</b>	<b>807</b>	<b>807</b>	<b>46,647</b>	<b>672</b>	<b>(106)</b>	<b>(714)</b>	<b>(15)</b>	<b>(15)</b>	<b>46,484</b>
<b>Disbursements/expenditures:</b>											
Grants to local governments	37,086	2,633	0	0	39,719	(33)	0	0	0	(1,192)	38,494
State operations	8,659	4,870	764	764	14,293	(143)	(316)	(714)	(919)	(919)	12,201
General State charges	3,704	1,352	67	67	5,123	31	(30)	0	0	(1,192)	3,932
Debt service	0	0	0	0	0	0	0	0	0	0	0
Capital projects	0	1	0	0	1	0	0	0	0	0	1
<b>Total disbursements/expenditures</b>	<b>49,449</b>	<b>8,656</b>	<b>831</b>	<b>831</b>	<b>59,136</b>	<b>(145)</b>	<b>(346)</b>	<b>(714)</b>	<b>(3,303)</b>	<b>(3,303)</b>	<b>54,628</b>
<b>Other financing sources (uses):</b>											
Transfers from other funds	11,575	6,887	82	82	18,544	0	(243)	(3,374)	15	15	14,942
Transfers to other funds	(5,459)	(1,087)	(75)	(75)	(6,621)	(5)	3	3,374	(3,303)	(3,303)	(6,552)
Proceeds from financing arrangements/ advance refundings	0	0	0	0	0	315	0	0	0	0	315
<b>Net other financing sources (uses)</b>	<b>6,116</b>	<b>5,800</b>	<b>7</b>	<b>7</b>	<b>11,923</b>	<b>310</b>	<b>(240)</b>	<b>0</b>	<b>(3,288)</b>	<b>(3,288)</b>	<b>8,705</b>
<b>Excess (deficiency) of revenues and other financing sources over expenditures and other financing uses</b>	<b>(570)</b>	<b>21</b>	<b>(17)</b>	<b>(17)</b>	<b>(566)</b>	<b>1,127</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>561</b>
<b>(Increase)/decrease in reserves</b>	<b>67</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>67</b>	<b>(67)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Operating Surplus/(Deficit)</b>	<b>(503)</b>	<b>21</b>	<b>(17)</b>	<b>(17)</b>	<b>(499)</b>	<b>1,060</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>561</b>

**CASH TO GAAP CONVERSION TABLE**  
**SPECIAL REVENUE FUNDS**  
 2009-2010  
 (millions of dollars)

	Estimated Cash Disbursements	CUNY (Fund 377)	SUNY (Fund 345)	MSSRF (Fund 339)	LOTTERY (Fund 160)	Food Stamps	Reclass Public Health	Reclass SUNY	Interfund Activity	System Accruals	Estimated GAAP Expenditures
<b>Receipts/Revenues:</b>											
Taxes	7,076	0	0	(4)	0	0	0	0	0	9	7,081
Miscellaneous receipts	14,234	(138)	(3,384)	(3,073)	(3,067)	0	(3,881)	0	0	850	1,541
Public Health	0	0	0	0	0	0	3,881	0	0	0	3,881
Federal Grants	44,779	0	0	0	0	2,470	0	(191)	0	82	47,140
<b>Total receipts/revenues</b>	<b>66,089</b>	<b>(138)</b>	<b>(3,384)</b>	<b>(3,077)</b>	<b>(3,067)</b>	<b>2,470</b>	<b>0</b>	<b>(191)</b>	<b>0</b>	<b>941</b>	<b>59,643</b>
<b>Disbursements/expenses:</b>											
Grants to local governments	55,245	0	0	(2,633)	(11)	2,470	0	0	0	824	55,895
State operations	11,177	(130)	(3,629)	(4,870)	(178)	0	0	(192)	0	(5)	2,173
General State charges	2,011	0	(280)	(1,352)	(11)	0	0	0	0	(5)	363
Capital projects	3	0	0	(1)	0	0	0	0	0	0	2
<b>Total disbursements/expenses</b>	<b>68,436</b>	<b>(130)</b>	<b>(3,909)</b>	<b>(8,856)</b>	<b>(200)</b>	<b>2,470</b>	<b>0</b>	<b>(192)</b>	<b>0</b>	<b>814</b>	<b>58,433</b>
<b>Other financing sources (uses):</b>											
Transfers from other funds	6,839	0	(524)	(6,887)	2,868	0	0	0	172	0	2,468
Transfers to other funds	(4,845)	0	66	1,087	0	0	0	(1)	(172)	0	(3,865)
<b>Net other financing sources (uses)</b>	<b>1,994</b>	<b>0</b>	<b>(458)</b>	<b>(5,800)</b>	<b>2,868</b>	<b>0</b>	<b>0</b>	<b>(1)</b>	<b>0</b>	<b>0</b>	<b>(1,397)</b>
<b>Operating Surplus/(Deficit)</b>	<b>(353)</b>	<b>(8)</b>	<b>67</b>	<b>(21)</b>	<b>1</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>127</b>	<b>(187)</b>

CASH TO GAAP CONVERSION TABLE  
 CAPITAL PROJECTS FUND  
 2009-2010  
 (millions of dollars)

	Estimated Cash Disbursements	SUNY Rehab (Fund 074)	SUNY Capital (Fund 384)	SUNY/CUNY (Fund 002)	Appropriated Loans	Off-Budget Capital	Reclass Proceeds	System Accruals	Estimated GAAP Expenditures
<b>Receipts/Revenues:</b>									
Taxes	2,088	0	0	0	0	0	0	0	2,088
Miscellaneous receipts	3,740	0	(46)	(728)	(32)	0	(2,747)	74	261
Federal Grants	2,939	0	0	0	0	0	0	0	2,939
<b>Total receipts/revenues</b>	<b>8,767</b>	<b>0</b>	<b>(46)</b>	<b>(728)</b>	<b>(32)</b>	<b>0</b>	<b>(2,747)</b>	<b>74</b>	<b>5,288</b>
<b>Disbursements/expenditures:</b>									
Grants to local governments	860	0	0	(10)	0	0	0	8	858
Capital projects	7,972	(31)	(46)	(728)	(32)	1,377	0	163	8,675
<b>Total disbursements/expenditures</b>	<b>8,832</b>	<b>(31)</b>	<b>(46)</b>	<b>(738)</b>	<b>(32)</b>	<b>1,377</b>	<b>0</b>	<b>171</b>	<b>9,533</b>
<b>Other financing sources (uses):</b>									
Transfers from other funds	785	(30)	0	0	0	0	0	0	755
Transfers to other funds	(1,187)	0	0	0	0	0	0	0	(1,187)
Proceeds of GO Bonds	532	0	0	0	0	0	0	0	532
Proceeds from Financing Arrangements/ Advance Refundings	0	0	0	0	0	1,284	2,747	0	4,031
<b>Net other financing sources (uses)</b>	<b>130</b>	<b>(30)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1,284</b>	<b>2,747</b>	<b>0</b>	<b>4,131</b>
<b>Operating Surplus/(Deficit)</b>	<b>65</b>	<b>1</b>	<b>0</b>	<b>10</b>	<b>0</b>	<b>(93)</b>	<b>0</b>	<b>(97)</b>	<b>(114)</b>



**CASH TO GAAP CONVERSION TABLE**  
**DEBT SERVICE FUND**  
**2009-2010**  
(millions of dollars)

	Estimated Cash Disbursements	SUNY Dorms (Fund 330)	LGAC	Reclass Patient Fees	Reclass		System Accruals	Estimated GAAP Expenditures
					SUNY/ CUNY DS	SUNY/ CUNY DS		
<b>Receipts/Revenues:</b>								
Taxes	12,101	0	0	0	0	0	(7)	12,094
Patient fees	0	0	0	473	0	0	0	473
Miscellaneous receipts	830	(338)	7	(473)	0	0	0	26
<b>Total receipts/revenues</b>	<b>12,931</b>	<b>(338)</b>	<b>7</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>(7)</b>	<b>12,593</b>
<b>Disbursements/expenditures:</b>								
State operations	75	0	0	0	0	0	0	75
Debt Service	5,143	(80)	0	0	(904)	0	0	4,159
<b>Total disbursements/expenditures</b>	<b>5,218</b>	<b>(80)</b>	<b>0</b>	<b>0</b>	<b>(904)</b>	<b>0</b>	<b>0</b>	<b>4,234</b>
<b>Other financing sources (uses):</b>								
Transfers from other funds	6,520	0	0	0	0	0	0	6,520
Transfers to other funds	(14,242)	273	0	0	(904)	0	0	(14,873)
<b>Net other financing sources (uses)</b>	<b>(7,722)</b>	<b>273</b>	<b>0</b>	<b>0</b>	<b>(904)</b>	<b>0</b>	<b>0</b>	<b>(8,353)</b>
<b>Operating Surplus/(Deficit)</b>	<b>(9)</b>	<b>15</b>	<b>7</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>(7)</b>	<b>6</b>

**STATE OF NEW YORK  
LIST OF JOINT CUSTODY FUNDS**

**APPENDIX**

<b>FUND NUMBER</b>	<b>FUND NAME</b>	<b>FUND CLASSIFICATION</b>
001	Local Assistance Account	General
002	State Capital Projects	Capital Projects
003	State Operations Account	General
004	Tax Stabilization Reserve	General
005	Contingency Reserve	General
006	Universal Pre-Kindergarten Reserve	General
007	Community Projects	General
013	Attica State Employee Victims'	General
017	Refund Reserve Account	General
019	Mental Health Gift and Donations	Special Revenue
020	Combined Expendable Trust	Special Revenue
021	Agriculture Producers' Security	Private Purpose Trust
022	Milk Producers' Security	Private Purpose Trust
023	New York Interest on Lawyer Account (IOLA)	Special Revenue
024	New York State Archives Partnership Trust	Special Revenue
025	Child Performer's Protection	Special Revenue
050	Tuition Reimbursement	Special Revenue
052	New York State Local Government Records Management Improvement	Special Revenue
053	School Tax Relief	Special Revenue
054	Charter Schools Stimulus	Special Revenue
055	Not-For-Profit Short-Term Revolving Loan	Special Revenue
056	Hudson River Valley Greenway	Special Revenue
059	Rehabilitative Alcohol and Substance Abuse Treatment	Special Revenue
061	Health Care Reform Act Resources	Special Revenue
062	Tobacco Transfer	Special Revenue
064	Debt Reduction Reserve	Debt Service
065	State University Construction Fund Educational Facilities Payment	Debt Service
072	Dedicated Highway and Bridge Trust	Capital Projects
073	Dedicated Mass Transportation Trust	Special Revenue
074	State University Residence Halls Rehabilitation and Repair	Capital Projects
075	New York State Canal System Development	Capital Projects
076	State Park Infrastructure	Capital Projects
077	Passenger Facility Charge	Capital Projects
078	Environmental Protection	Capital Projects
079	Clean Water/Clean Air Implementation	Capital Projects
080	Hudson River Park	Capital Projects
101	Energy Conservation Thru Improved Transportation Bond	Capital Projects
103	Park and Recreation Land Acquisition Bond	Capital Projects
105	Pure Waters Bond	Capital Projects
106	Outdoor Recreation Development Bond	Capital Projects
109	Transportation Capital Facilities Bond	Capital Projects
115	Environmental Quality Protection Bond Act (1972)	Capital Projects
118	Rail Preservation and Development Bond	Capital Projects

**STATE OF NEW YORK  
LIST OF JOINT CUSTODY FUNDS**

**APPENDIX**

<b>FUND NUMBER</b>	<b>FUND NAME</b>	<b>FUND CLASSIFICATION</b>
119	State Housing Bond	Capital Projects
121	Rebuild and Renew New York Transportation Bond	Capital Projects
123	Transportation Infrastructure Renewal Bond	Capital Projects
124	Environmental Quality Bond Act (1986)	Capital Projects
126	Accelerated Capacity and Transportation Improvements Bond	Capital Projects
127	Clean Water/Clean Air Bond	Capital Projects
129	Not-For-Profit School Capital Facilities Financing Reserve	Agency
130	School Capital Facilities Financing Reserve	Agency
135	Child Performer's Holding	Agency
152	Employees Health Insurance	Agency
153	Social Security Contribution	Agency
154	Employee Payroll Withholding	Agency
160	State Lottery	Special Revenue
162	Employees Dental Insurance	Agency
163	Management Confidential Group Insurance	Agency
165	Lottery Prize	Agency
166	Fringe Benefit Escrow	General
167	Health Insurance Reserve Receipts	Agency
169	Miscellaneous New York State Agency	Agency
174	State Aid and Local Assistance Revenue Withhold Fund	Agency
175	Elderly Pharmaceutical Insurance Coverage (EPIC) Escrow	Agency
176	CUNY Senior College Operating	Agency
179	Medicaid Management Information System (MMIS) Escrow	Agency
221	Combined Student Loan	Special Revenue
261	Federal USDA/Food and Nutrition Services	Special Revenue
265	Federal Health and Human Services	Special Revenue
267	Federal Education	Special Revenue
269	Federal Block Grants	Special Revenue
290	Federal Miscellaneous Operating Grants	Special Revenue
291	Federal Capital Projects	Capital Projects
300	Sewage Treatment Program Management and Administration	Special Revenue
301	ENCon Special Revenue	Special Revenue
302	Conservation	Special Revenue
303	Environmental Protection and Oil Spill Compensation	Special Revenue
304	Mental Health Services	Debt Service
305	Training and Education Program on Occupational Safety and Health	Special Revenue
306	Lawyers' Fund For Client Protection	Special Revenue
307	Equipment Loan Fund for the Disabled	Special Revenue
309	Special Education	Agency
310	Forest Preserve Expansion	Capital Projects
311	General Debt Service	Debt Service

**STATE OF NEW YORK  
LIST OF JOINT CUSTODY FUNDS**

**APPENDIX**

<b>FUND NUMBER</b>	<b>FUND NAME</b>	<b>FUND CLASSIFICATION</b>
312	Hazardous Waste Remedial	Special Revenue
313	Mass Transportation Operating Assistance	Special Revenue
314	Clean Air	Special Revenue
315	Grade Crossing Elimination Debt Service	Debt Service
316	Housing Debt	Debt Service
317	Pine Barrens	Capital Projects
318	New York State Infrastructure Trust	Special Revenue
319	Department of Health Income	Debt Service
321	Legislative Computer Services	Special Revenue
322	Lake Champlain Bridges	Capital Projects
323	Centralized Services	Internal Service
324	Youth Commissary	Enterprise
325	State Exposition Special	Enterprise
326	Correctional Services Commissary	Enterprise
327	Suburban Transportation	Capital Projects
328	Biodiversity Stewardship and Research	Special Revenue
329	Correctional Services-Family Benefit	Enterprise
330	State University Dormitory Income	Debt Service
331	Agency Enterprise	Enterprise
332	Combined Non-Expendable Trust	Special Revenue
333	Winter Sports Education Trust	Special Revenue
334	Agency Internal Service	Internal Service
335	Musical Instrument Revolving	Special Revenue
337	Rural Housing Assistance	Special Revenue
338	Arts Capital Revolving	Special Revenue
339	Miscellaneous State Special Revenue	Special Revenue
340	Court Facilities Incentive Aid	Special Revenue
341	Employment Training	Special Revenue
342	Homeless Housing and Assistance	Special Revenue
343	Mental Hygiene Revolving	Internal Service
344	State University Revenue Collection	Agency
345	State University Income	Special Revenue
346	Chemical Dependence Service	Special Revenue
347	Youth Vocational Education	Internal Service
348	Tobacco Revenue Guarantee	General
349	Lake George Park Trust	Special Revenue
351	Sheltered Workshop	Enterprise
352	Patient Workshop	Enterprise
353	Mental Hygiene Community Stores	Enterprise
354	State Police and Motor Vehicle Law Enforcement and Motor Vehicle Theft and Insurance Fraud Prevention	Special Revenue
355	New York Great Lakes Protection	Special Revenue
357	Division For Youth Facilities Improvement	Capital Projects
358	Youth Centers Facility	Capital Projects
359	Federal Revenue Maximization Contract	Special Revenue
360	Housing Development	Special Revenue
361	Clean Water/Clean Air	Debt Service

**STATE OF NEW YORK  
LIST OF JOINT CUSTODY FUNDS**

**APPENDIX**

<b>FUND NUMBER</b>	<b>FUND NAME</b>	<b>FUND CLASSIFICATION</b>
362	NYSDOT Commercial Vehicle Safety Program	Special Revenue
364	Local Government Assistance Tax	Debt Service
365	Vocational Rehabilitation	Special Revenue
366	Drinking Water Program Management and Administration	Special Revenue
368	New York City County Clerks' Operations Offset	Special Revenue
369	Judiciary Data Processing Offset	Special Revenue
374	Housing Assistance	Capital Projects
376	Housing Program	Capital Projects
377	City University Tuition Reimbursement (CUTRA)	Special Revenue
378	Natural Resource Damages	Capital Projects
380	Department of Transportation Engineering Services	Capital Projects
382	State University Federal Direct Lending	Agency
383	Supplemental Jury Facilities	Special Revenue
384	State University Capital Projects	Capital Projects
385	US Olympic Committee/Lake Placid Olympic Training	Special Revenue
387	Miscellaneous Capital Projects	Capital Projects
388	City University of New York Capital Projects	Capital Projects
389	Mental Hygiene Facilities Capital Improvement	Capital Projects
390	Indigent Legal Services	Special Revenue
394	Joint Labor and Management Administration	Internal Service
395	Audit and Control Revolving	Internal Service
396	Health Insurance Revolving	Internal Service
397	Correctional Industries Revolving	Internal Service
399	Correctional Facilities Capital Improvement	Capital Projects
400	Common Retirement - Administration	Pension Trust
450	Industrial Exhibit Authority	Enterprise
480	Unemployment Insurance Administration	Special Revenue
481	Unemployment Insurance Benefit	Enterprise
482	Unemployment Insurance Interest and Penalty	Special Revenue
484	Unemployment Insurance Occupational Training	Special Revenue
486	Federal Employment and Training Grants	Special Revenue

**STATE OF NEW YORK FUND  
STRUCTURE  
AS OF MARCH 2009**

