

# SLFRF Compliance Report SLT-1201 - P&E Report - 2021 - Quarterly Report Period: March - December 2021

## Recipient Profile

### Recipient Information

Recipient UEI	DKBAJQ45GQS8
Recipient TIN	146013200
Recipient Legal Entity Name	New York State
Recipient Type	
FAIN	SLFRP0124
CFDA No./Assistance Listing	
Recipient Address	110 State Street
Recipient Address 2	
Recipient Address 3	
Recipient City	Albany
Recipient State/Territory	New York
Recipient Zip5	12236
Recipient Zip+4	
Recipient Reporting Tier	Tier 1. States, U.S. territories, metropolitan cities and counties with a population that exceeds 250,000 residents
Discrepancies Explanation	
Is the Recipient Registered in SAM.Gov?	Yes

## Project Overview

### Project Name: Small Business Grants

Project Identification Number	1
Project Expenditure Category	2-Negative Economic Impacts
Project Expenditure Subcategory	
Status To Completion	Completed 50% or more
Adopted Budget	825000000
Total Cumulative Obligations	0
Total Cumulative Expenditures	0
Current Period Obligations	
Current Period Expenditures	
Project Description	Empire State Development (ESD), the State of New York State's chief economic development entity, is administering the program to assist COVID-19 impacted small businesses and is in order to support the small businesses that typically have the least access to resources. The program strategically encourages participation from micro-businesses, socially and economically disadvantaged business owners, minority and/or women owned businesses, and small businesses that

	did not receive adequate federal COVID-19 support. Program grants generally represent a significant proportion of an awarded small business' operating expenses, and the investment has helped small businesses recover from the devastating impacts of the COVID-19 pandemic. Grant awards from the Program are allowing small businesses across the State to cover costs such as payroll, rent or mortgage payments, personal protective equipment (PPE) expenses, utility bills, and any costs associated with compliance with COVID-19 health and safety protocols.
What Impacted and/or Disproportionally Impacted population does this project primarily serve?	
Brief description of structure and objectives of assistance program(s), including public health or negative economic impact experienced	
Brief description of recipient's approach to ensuring that response is reasonable and proportional to a public health or negative economic impact of Covid-19	
Number of Non-Profits served (by program if recipient establishes multiple separate non-profit assistance programs)	

**Project Name: Arts and Cultural Organization Recovery Grant Program**

Project Identification Number	2
Project Expenditure Category	2-Negative Economic Impacts
Project Expenditure Subcategory	
Status To Completion	Completed 50% or more
Adopted Budget	20000000
Total Cumulative Obligations	0
Total Cumulative Expenditures	0
Current Period Obligations	
Current Period Expenditures	
Project Description	The New York State Council on the Arts is administering the Arts and Cultural Organization Recovery Grant Program to support not-for-profit arts and cultural nonprofit organizations negatively affected by the COVID-19 pandemic. Awarded under a competitive process, the recovery grants will be used to support the general costs of operating arts and cultural nonprofit organizations in a COVID-19 compliant environment, including expenses of artistic, programmatic, administrative and other personnel, space (rent, mortgage, utilities, costs of temporary or permanent outdoor performance spaces), talent, artistic and other contractual fees, equipment, and other operating costs such as marketing and communications costs.
What Impacted and/or Disproportionally Impacted population does this project primarily serve?	
Brief description of structure and objectives of assistance program(s), including public health or negative economic impact experienced	
Brief description of recipient's approach to ensuring that	

response is reasonable and proportional to a public health or negative economic impact of Covid-19	
Number of Non-Profits served (by program if recipient establishes multiple separate non-profit assistance programs)	

**Project Name: Tourism Recovery Fund**

Project Identification Number	3
Project Expenditure Category	2-Negative Economic Impacts
Project Expenditure Subcategory	
Status To Completion	Completed 50% or more
Adopted Budget	100000000
Total Cumulative Obligations	0
Total Cumulative Expenditures	0
Current Period Obligations	
Current Period Expenditures	
Project Description	The New York State Department of Labor (DOL) is administering the program in order to provide one-time stimulus payments of \$2,750 to as many as 36,000 workers in select tourism sectors who have been displaced from employment because these sectors and jobs were least likely to have returned following the pandemic. Industries impacted especially hard by the pandemic include tourism-specific industries, such as hotels and motels, food service contractors, limousine service, and travel agencies. The Department of Labor is contacting eligible New Yorkers regarding this one-time payment directly by email and text message with further instructions. Eligible New Yorkers must complete a self-attestation through DocuSign and certify that they are not fully employed to receive the one-time payment.
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**Project Name: New York State ERA Project**

Project Identification Number	ERA-2101081032
Project Expenditure Category	
Project Expenditure Subcategory	
Status To Completion	
Adopted Budget	0
Total Cumulative Obligations	0

Total Cumulative Expenditures	0
Current Period Obligations	
Current Period Expenditures	
Project Description	<p>The New York State Emergency Rental Assistance Program (ERAP) began accepting applications on June 1, 2021. ERAP funding is primarily providing assistance in the form of rent, rental arrears, and utility arrears. However, \$25 million has been allocated to fund legal services or attorney's fees for tenants who are facing eviction proceedings with the goal of maintaining housing stability. The New York State Office of Temporary and Disability Assistance (OTDA) has partnered with two contracted vendors responsible for application development and processing, and customer contact center operations, respectively. Additionally, community-based organizations (CBOs) across the State are providing application assistance and outreach. The strategies and outreach services provided by CBOs throughout New York State include, but are not limited to:</p> <ul style="list-style-type: none"> <li>• promoting ERAP at community events such as block parties, festivals, and faith-based gatherings — and other community hubs frequented by vulnerable populations (e.g., food pantries, libraries, delis)</li> <li>• canvassing neighborhoods heavily impacted by COVID-19 and distributing ERAP door hangers, knocking on doors, and promoting the program in the lobbies of large apartment complex</li> <li>• holding virtual and in-person ERAP information sessions for eligible tenants and landlords</li> <li>• hosting ERAP walk-in centers in well-known community hubs, where tenants and landlords can receive application assistance during both regular and evening/weekend hours</li> <li>• providing translation services and other forms of assistance for non-English speaking households</li> <li>• offering mobile application and technology assistance for those who are homebound or face other barriers</li> <li>• leveraging all types of media for ERAP outreach, including radio, TV, print media, social media, digital media. In many cases, this has included non-English speaking outlets</li> <li>• informing local courts about ERAP and providing on-site application assistance for eligible households facing an eviction proceeding.</li> </ul>
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**Project Name: New York State ERA2 Project**

Project Identification Number	era2-0395
Project Expenditure Category	
Project Expenditure Subcategory	

Status To Completion	
Adopted Budget	0
Total Cumulative Obligations	0
Total Cumulative Expenditures	0
Current Period Obligations	
Current Period Expenditures	
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Number of Non-Profits served (by program if recipient establishes multiple separate non-profit assistance programs)	

## Subrecipients

**Subrecipient Name: Saint Paul Downtown Alliance**

TIN	
Unique Entity Identifier	
POC Email Address	
Address Line 1	401 North Robert Street Suite 150
Address Line 2	
Address Line 3	
City	Saint Paul
State	MN
Zip	55101
Zip+4	
Entity Type	
Is the Recipient Registered in SAM.Gov?	Yes

**Subawards**

**Subaward No:**

Subaward Type	
Subaward Obligation	
Subaward Date	
Place of Performance Address 1	
Place of Performance Address 2	
Place of Performance Address 3	
Place of Performance City	
Place of Performance State	
Place of Performance Zip	
Place of Performance Zip+4	
Description	
Subrecipient	
Period of Performance Start	
Period of Performance End	

**Expenditures**

**Expenditures for Awards more than \$50,000**

Expenditure:

Project Name	
Subaward ID	
Subaward No	

Subaward Amount	
Subaward Type	
Subrecipient Name	
Expenditure Start	
Expenditure End	
Expenditure Amount	

### Aggregate Expenditures for Awards less than \$50,000

Expenditure:

Project Name	
Subaward Type (Aggregates)	
Total Period Expenditure Amount	
Total Period Obligation Amount	

### Payments To Individuals

## Report

### Revenue Replacement

Is your jurisdiction electing to use the standard allowance of up to \$10 million, not to exceed your total award allocation, for identifying revenue loss?	No
Revenue Loss Due to Covid-19 Public Health Emergency	11861000000
Were Fiscal Recovery Funds used to make a deposit into a pension fund?	0
Please provide an explanation of how revenue replacement funds were allocated to government services	

### Ineligible Activities: Tax Offset Provision

Revenue-reducing Covered Changes from date of award through July 31, 2021	0
Revenue-reducing Covered Changes from August 1, 2021 – September 30, 2021	0

## Overview

Total Obligations	0
Total Expenditures	0
Total Number of Projects	5
Total Number of Subawards	0
Total Number of Expenditures	0

## Certification

Name	[REDACTED]
Telephone	[REDACTED]
Title	[REDACTED]
Email	[REDACTED]
Submission Date	[REDACTED]